



## Daily Compliance Activity Report

Trade Date: 03/26/2010

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CSBD	070	ACI	S	100,000	23.20	n/a	n/a	4,000.00	4.0
PZENA INV ADV	044	AJG	S	11,150	25.09	n/a	n/a	390.25	3.5
M&I FUNDS	047	BIG	S	6,440	37.53	n/a	4-Mar	257.60	4.0
EXIS CAPITAL	044	BONT	B	800	12.55	n/a	n/a	40.00	5.0
LAGRANGE CAP ADV	048	BONT	SS	5,000	12.70	n/a	n/a	250.00	5.0
NEWLAND CAPITAL MGT	067	BW	B	10,000	21.73	n/a	n/a	400.00	4.0
KENNEDY CAPITAL	046	CBK	B	10,500	7.98	n/a	n/a	315.00	3.0
ASCEND PARTNERS	033	COH	B	24,180	40.10	n/a	21-Jan	1,209.00	5.0
PIONEER MICHAEL NOLAN	067	DAL	S	32,400	14.39	n/a	n/a	972.00	3.0
HOLLIS CAPITAL	033	FL	B	10,000	15.27	n/a	26-Mar	500.00	5.0
WAGONHOUND/NIC	048	FL	B	39,227	15.05	n/a	26-Mar	1,569.08	4.0
BNP PARIBAS SEC	040	F	SS	50,000	13.76	n/a	3-Mar	2,000.00	4.0
MILLENIUM PARTNERS	028	F	SS	25,000	13.81	n/a	3-Mar	1,000.00	4.0
OZ MGMT	040	F	C	116,268	13.82	n/a	3-Mar	3,488.04	3.0
TROUBH PART	067	F	B	75,000	13.96	n/a	3-Mar	3,750.00	5.0
MILLENIUM PARTNERS	028	GE	B	25,000	18.36	n/a	n/a	1,000.00	4.0
MTB INV ADV	034	GIII	B	23,000	27.61	n/a	n/a	1,150.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LAGRANGE CAP ADV	048	HAR	SS	1,000	46.63	n/a	9-Feb	50.00	5.0
EXIS CAPITAL	044	HGG	B	40,000	26.02	n/a	n/a	2,000.00	5.0
ASCEND PARTNERS	033	IP	B	72,697	25.05	n/a	26-Mar	3,634.85	5.0
WESLEY CAPITAL	029	IYR	SS	25,000	50.00	n/a	n/a	1,000.00	4.0
ADAGE CAPITAL MGMT	030	JCP	B	150,000	33.12	n/a	5-Mar	4,500.00	3.0
UBS OCONNOR	031	KMB	B	80,000	62.72	n/a	3-Mar	2,400.00	3.0
MELLON/BANK OF NEW YORK	046	KNX	B	85,100	20.18	n/a	n/a	4,255.00	5.0
UBS OCONNOR	031	KO	B	90,000	54.81	n/a	18-Mar	2,700.00	3.0
OZ MGMT	040	LEA	B	13,701	81.00	n/a	25-Mar	411.03	3.0
MILLENIUUM PARTNERS	028	LPX	B	15,000	8.01	n/a	26-Mar	600.00	4.0
SCOPUS FUND	041	LPX	B	120,000	8.13	n/a	26-Mar	6,000.00	5.0
MTB INV ADV	034	MFB	B	10,000	21.70	n/a	n/a	500.00	5.0
LAGRANGE CAP ADV	048	PAG	B	30,000	15.13	n/a	23-Mar	1,500.00	5.0
LAGRANGE CAP ADV	048	PALM	B	10,000	3.80	n/a	n/a	500.00	5.0
OZ MGMT	040	PSSI	B	41,268	23.39	n/a	n/a	1,238.04	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PSS</b>	<b>B</b>	<b>60,000</b>	<b>22.41</b>	<b>n/a</b>	<b>n/a</b>	<b>2,400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EXIS CAPITAL	044	PSUN	SS	20,000	5.33	n/a	n/a	1,000.00	5.0
MELLON/BANK OF NEW YORK	046	RA	B	13,800	11.44	n/a	n/a	690.00	5.0
LAGRANGE CAP ADV	048	SKX	SS	10,000	37.25	n/a	n/a	500.00	5.0
OSS CAPITAL/KEVIN DANN	067	STEI	B	37,001	5.98	n/a	n/a	1,110.03	3.0
RAINIER INV MGMT	035	THO	B	80,600	31.28	n/a	n/a	3,224.00	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	20,000	20.52	n/a	26-Mar	1,000.00	5.0
CSBD	070	TIN	B	22,000	20.62	n/a	26-Mar	880.00	4.0
COHE & STEERS	041	WY	S	1,313	44.40	n/a	26-Mar	52.52	4.0
WESLEY CAPITAL	029	WY	S	15,000	44.01	n/a	26-Mar	600.00	4.0
<b>TOTAL (Trade Date) :</b>								<b>65,036.44</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4280)	029	CMI	S	27,500	62.48	n/a	17-Mar	825.00 3.0
AO,CRT	03/25	AVENUE CAPITAL MGMT (AVENJPMBS)	070	DAN	S	100,000	13.20	n/a	25-Feb	3000.00 3.0
AO,CXL	03/25	AVENUE CAPITAL MGMT (AVENCITIPB)	070	DAN	S	100,000	13.20	n/a	25-Feb	4000.00 4.0
AO,	03/25	COLUMBUS CIRCLE (COLC3060)	036	FCX	S	3,800	80.06	n/a	n/a	152.00 4.0
AO,CRT	03/25	COLONIAL FUND LLC (COLOPERSHI)	036	JCI	B	25,000	33.39	n/a	26-Mar	1250.00 5.0
AO,CXL	03/25	COLONIAL FUND LLC (COLOMAINSL)	036	JCI	B	25,000	33.39	n/a	26-Mar	1250.00 5.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4150)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4112)	029	SATS	S	100	19.83	n/a	n/a	2.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4102)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4096)	029	SATS	S	400	19.83	n/a	n/a	8.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4091)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS32780)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4035)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4057)	029	SATS	S	100	19.83	n/a	n/a	2.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4191)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4264)	029	SATS	S	500	19.83	n/a	n/a	10.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4192)	029	SATS	S	750	19.83	n/a	n/a	15.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4213)	029	SATS	S	300	19.83	n/a	n/a	6.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4202)	029	SATS	S	450	19.83	n/a	n/a	9.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4205)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4207)	029	SATS	S	200	19.83	n/a	n/a	4.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4158)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4228)	029	SATS	S	710	19.83	n/a	n/a	14.20 2.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4229)	029	SATS	S	500	19.83	n/a	n/a	10.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4208)	029	SATS	S	850	19.83	n/a	n/a	17.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4195)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4193)	029	SATS	S	500	19.83	n/a	n/a	10.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4025)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4184)	029	SATS	S	600	19.83	n/a	n/a	12.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4087)	029	SATS	S	450	19.83	n/a	n/a	9.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4152)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4131)	029	SATS	S	100	19.83	n/a	n/a	2.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4129)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4109)	029	SATS	S	100	19.83	n/a	n/a	2.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4249)	029	SATS	S	43	19.83	n/a	n/a	0.86 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4240)	029	SATS	S	750	19.83	n/a	n/a	15.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4089)	029	SATS	S	450	19.83	n/a	n/a	9.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4085)	029	SATS	S	50	19.83	n/a	n/a	1.00 2.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY (THOS4125)	029	SATS	S	450	19.83	n/a	n/a	9.00 2.0
AO,CXL	03/23	PROSPECTOR PARTNERS LLC (PROSWHITNE)	036	SJT	S	3,200	20.41	n/a	n/a	128.00 4.0
AO,CRT	03/23	PROSPECTOR PARTNERS LLC (PROSWHITNE)	036	SJT	S	3,300	20.41	n/a	n/a	132.00 4.0
AO,CXL	03/23	PROSPECTOR PARTNERS LLC (PROSMERR)	036	SJT	S	1,300	20.41	n/a	n/a	52.00 4.0
AO,CRT	03/23	PROSPECTOR PARTNERS LLC (PROSMERR)	036	SJT	S	1,200	20.41	n/a	n/a	48.00 4.0

**TOTAL (AO, CXL, CRT) :**

**156.06**

TOTAL (Trade Date):

65,036.44

TOTAL (AO, CXL, CRT):

156.06

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TOTAL (RUN DATE) / NFS:

65,192.50

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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION