



# Daily Compliance Activity Report

Trade Date: 12/16/2008

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AAP	B	20,000	31.90	n/a	n/a	800.00	4.0
COUGAR TRADING	044	ALV	SS	5,000	20.73	26-Nov	16-Dec	250.00	5.0
HOLDEN CAPITAL MGMT	035	ALV	SS	20,000	20.78	26-Nov	16-Dec	1,000.00	5.0
BUCK CAPITAL MGMT		AMB	C	17,960	18.52	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		APP	S	105,000	2.74	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		APP	S	20,000	2.79	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	AVP	B	30,000	23.08	3-Nov	9-Dec	1,200.00	4.0
PRINCIPLE CAPITAL	040	AVP	SS	45,300	23.09	3-Nov	9-Dec	1,359.00	3.0
BUCK CAPITAL MGMT		AZO	C	18,300	130.08	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BAC	B	183,300	14.88	15-Oct	12-Dec	non-brg	4.0
BUCK CAPITAL MGMT		BAC	B	300,000	15.04	15-Oct	12-Dec	non-brg	4.0
BUCK CAPITAL MGMT		BBBY	C	50,000	24.58	n/a	n/a	non-brg	4.0
MAGNETAR FINANCIAL	031	BBT	B	30,000	27.80	n/a	n/a	900.00	3.0
BUCK CAPITAL MGMT		BBY	SS	50,000	27.23	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT		BHI	B	120,000	30.75	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BHQ+MD [BBBY]	SS	2,000	0.71	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BHQ+MX[BBBY]	SS	2,000	1.35	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	BKE	S	10,000	21.59	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		CAKE	C	40,000	7.13	n/a	24-Oct	non-brg	3.0
BUCK CAPITAL MGMT		CHRS	B	50,000	1.63	n/a	22-Mar	non-brg	2.0
ARAGON GLOBAL	041	CMCSA	B	98,400	16.24	n/a	4-Jan	2,952.00	3.0
TRELLUS PARTNERS LP	028	CMI	B	30,000	25.43	14-Nov	11-Dec	1,500.00	5.0
AVESTA CAP	229	COV	S	15,000	34.46	n/a	n/a	750.00	5.0
MERRILL ASSET	032	CVS	S	35,000	27.39	n/a	n/a	1,575.00	4.5
BUCK CAPITAL MGMT	001	DIS	B	20,000	23.21	n/a	n/a	800.00	4.0
HUNTER GLOBAL INVESTOR	067	DIS	SS	85,500	23.51	n/a	n/a	4,275.00	5.0
ROBX	302	DTV	S	25,000	23.13	n/a	4-Jan	1,000.00	4.0
MERRILL ASSET	032	EDU	B	6,000	53.38	n/a	n/a	270.00	4.5
HUNTER GLOBAL INVESTOR	067	EQIX	B	65,000	51.35	n/a	n/a	2,600.00	4.0
BUCK CAPITAL MGMT		EQR	C	11,600	29.39	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		EXBD	C	36,800	20.99	n/a	n/a	non-brg	4.0
HOLLIS CAPITAL	033	EXPD	B	8,000	32.99	n/a	n/a	400.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MARINER/TRICADIA	041	FDML	S	3,500	3.60	n/a	n/a	175.00	5.0
TYNDALL	091	FOR	B	5,500	4.88	n/a	3-Oct	165.00	3.0
⚡ BUCK CAPITAL MGMT		FOSL	B	70,000	13.86	10-Nov	12-Dec	non-brg	1.0
⚡ BUCK CAPITAL MGMT		GCO	S	100,000	13.60	n/a	10-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	B	199,500	14.13	n/a	n/a	7,980.00	4.0
⚡ BUCK CAPITAL MGMT		GES	B	50,000	14.27	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GES	B	90,500	14.29	n/a	n/a	non-brg	2.0
FINANCIAL STOCK LP	028	GET	SS	100,000	11.14	n/a	n/a	4,000.00	4.0
COUGAR TRADING	044	GIL	B	5,000	9.50	11-Dec	12-Dec	200.00	4.0
WEISS PECK	040	GIL	B	28,600	9.17	11-Dec	12-Dec	1,144.00	4.0
COUGAR TRADING	044	GYMB	SS	5,000	22.05	7-Nov	16-Dec	250.00	5.0
⚡ BUCK CAPITAL MGMT		HD	C	80,000	24.30	n/a	27-Jul	non-brg	4.0
PRINCIPLE CAPITAL	040	HEI	B	11,000	30.48	n/a	n/a	330.00	3.0
⚡ BUCK CAPITAL MGMT		HELE	C	10,000	15.96	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		HELE	C	10,000	15.98	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HEW	C	50,200	27.13	n/a	n/a	non-brg	4.0
BENCHMARK	028	HOTT	B	15,000	8.26	n/a	12-Dec	600.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	HOT	B	30,000	15.12	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		HSII	C	38,200	19.86	n/a	n/a	non-brg	4.0
MAGNETAR FINANCIAL	031	HTS	S	50,000	23.94	n/a	n/a	1,500.00	3.0
ß BUCK CAPITAL MGMT		ICON	S	100,000	8.57	n/a	n/a	non-brg	3.0
MERRILL ASSET	032	IPI	B	25,000	19.71	n/a	n/a	1,125.00	4.5
BUCK CAPITAL MGMT	001	ITW	B	136,500	31.78	25-Nov	9-Dec	5,460.00	4.0
ß BUCK CAPITAL MGMT		JOSB	C	30,000	27.23	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	JPM	S	50,000	30.05	26-Sep	20-Nov	2,500.00	5.0
ß BUCK CAPITAL MGMT		KFY	C	45,600	11.06	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LL	B	17,845	10.19	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LL	B	26,500	10.21	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		LL	B	600	10.26	n/a	n/a	non-brg	1.0
SANDLER O'NEILL ASSET	031	LM	S	25,200	21.29	29-Oct	12-Dec	1,260.00	5.0
ß BUCK CAPITAL MGMT		LOW	C	45,600	22.56	n/a	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		MAN	C	50,000	32.54	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MAR	C	20,000	16.40	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AVESTA CAP	229	MTW	S	25,000	8.97	20-Oct	23-Nov	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		MWW	C	45,000	10.85	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	M	B	50,000	8.48	12-Aug	12-Dec	1,500.00	3.0
BUCK CAPITAL MGMT	001	NKE	B	100,000	48.75	n/a	n/a	4,000.00	4.0
MAGNETAR FINANCIAL	031	NLY	B	15,000	14.91	n/a	n/a	450.00	3.0
PROSPECTOR PARTNERS LLC	036	NP	B	10,000	9.18	16-Dec	16-Dec	400.00	4.0
HUNTER GLOBAL INVESTOR	067	NWSA	SS	248,500	8.08	n/a	n/a	7,455.00	3.0
⌘ BUCK CAPITAL MGMT		NWY	B	50,000	1.93	n/a	12-Dec	non-brg	2.0
PZENA INV ADV	044	OMC	B	5,225	27.39	n/a	n/a	182.88	3.5
LOCUS WOOD CAPITAL MGMT	044	ORCL	S	50,000	16.75	n/a	n/a	2,000.00	4.0
RAINIER INV MGMT	035	PAYX	S	38,945	25.50	n/a	n/a	1,947.25	5.0
BMO NESBITT BURNS	041	PCH	S	25,000	26.14	n/a	11-Dec	1,250.00	5.0
BMO NESBITT BURNS	041	PCL	B	25,000	33.51	n/a	15-Oct	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		PERY	S	20,000	6.19	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		PETM	C	50,000	16.96	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PRQ+MI [COST]	SS	1,000	0.76	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		PSA	C	29,400	68.85	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		QUK+LJ [DECK]	C	200	15.70	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		QUK+LK [DECK]	C	400	10.90	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		RECN	C	25,000	15.47	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RECN	C	40,000	15.66	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RHI	C	25,000	18.74	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RHI	C	40,000	18.78	n/a	n/a	non-brg	4.0
AVESTA CAP	229	RIG	S	10,000	56.00	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		RIG	B	5,000	57.03	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RIG	B	65,000	56.14	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHOO	SS	20,000	20.04	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKF	B	52,100	106.84	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	S	100,000	11.07	n/a	13-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		SPLS	SS	43,000	17.28	n/a	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		SPTN	C	20,000	22.94	n/a	n/a	non-brg	4.0
GRANAHAN INV	039	STNR	S	4,600	26.62	n/a	n/a	184.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ARAGON GLOBAL	041	S	B	230,000	1.88	n/a	n/a	4,600.00	2.0
DSG CAPITAL	225	TEX	S	7,500	17.00	23-Oct	23-Oct	375.00	5.0
ß BUCK CAPITAL MGMT		TJX	C	50,000	20.22	11-Aug	5-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		TMX	C	100,000	20.36	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		USTR	C	17,900	33.55	n/a	27-Jul	non-brg	4.0
CR INTRINIC INVESTMENT	044	VRX	SS	25,000	20.93	n/a	n/a	1,250.00	5.0
PROSPECTOR PARTNERS LLC	036	WAG	B	10,000	25.71	n/a	n/a	400.00	4.0
BALYASNY ASSET MGMT	044	WFC	SS	20,000	27.72	n/a	n/a	1,000.00	5.0
HUNTER GLOBAL INVESTOR	067	WFC	B	108,000	27.74	n/a	n/a	5,400.00	5.0
MERRILL ASSET	032	WGO	B	6,500	4.69	n/a	n/a	292.50	4.5
BUCK CAPITAL MGMT	001	WRC	B	161,500	17.21	7-Nov	7-Nov	6,460.00	4.0
ß BUCK CAPITAL MGMT		WRC	B	5,800	16.12	7-Nov	7-Nov	non-brg	2.0
ß BUCK CAPITAL MGMT		WRC	B	7,700	16.45	7-Nov	7-Nov	non-brg	1.0
BALYASNY ASSET MGMT	044	XL	B	140,000	3.56	n/a	n/a	4,200.00	3.0
ß BUCK CAPITAL MGMT		XRT	C	150,000	19.25	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	C	250,000	19.20	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ZVZZT	S	100	0.25	n/a	n/a	non-brg	1.0

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**TOTAL (Trade Date):**

**96,266.63**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	12/15	SANDLER O'NEILL ASSET	031	BK	B	300	26.02	9-Oct	12-Dec	15.00	5.0
AO,CXL	12/15	SANDLER O'NEILL ASSET	031	BK	B	25,000	26.02	9-Oct	12-Dec	1250.00	5.0
AO,CRT	12/15	MARINER/TRICADIA	041	FDML	S	8,300	4.17	n/a	n/a	415.00	5.0
AO,CXL	12/15	TRIBE CAP/MILLENNIUM	041	FDML	S	8,300	4.17	n/a	n/a	415.00	5.0
AO,CXL	12/12	COOPER CREEK PART	028	GT	S	20,000	5.46	n/a	n/a	1000.00	5.0
AO,CRT	12/12	COOPER CREEK PART	028	GT	S	20,000	5.46	n/a	n/a	600.00	3.0
AO,	12/15	AELTUS/ING INVESTMENT MGT	215	PG	B	171,800	59.19	10-Oct	12-Dec	6013.00	3.5
AO,CRT	12/12	HARVEST MGMT	039	SKS	SS	25,000	4.26	n/a	n/a	750.00	3.0
AO,CXL	12/12	HARVEST MGMT	039	SKS	SS	25,000	4.26	n/a	n/a	1250.00	5.0
AO,	12/15	ARAGON GLOBAL	041	SLV	B	116,000	10.52	n/a	n/a	5800.00	5.0
AO,CRT	12/15	ROSEDALE CAPITAL	046	TDC	B	30,000	14.69	n/a	n/a	1500.00	5.0
AO,CXL	12/15	ROSEDALE CAPITAL	046	TDC	B	30,000	14.69	n/a	n/a	1800.00	6.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>9,378.00</b>	

TOTAL (Trade Date):	96,266.63
TOTAL (AO, CXL, CRT):	9,378.00

TOTAL (RUN DATE) / NFS:

105,644.63

MRI Securities with Trade Activity on 16-Dec.

NP

MRI Securities without Trade Activity on 16-Dec.

BWA, ETN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 22%

YTD = 20%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**