



Daily Compliance Activity Report

Trade Date: 04/11/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BULL PATH CAPITAL	044	AEO	S	50,000	16.46	6-Mar	11-Apr	2,500.00	5.0
RHO CAPITAL MGMT	041	AIG	B	400	44.66	n/a	n/a	16.00	4.0
COUGAR TRADING	044	ANF	B	10,000	71.75	10-Apr	11-Apr	500.00	5.0
COUGAR TRADING	044	AN	SS	5,000	14.85	n/a	8-Feb	250.00	5.0
MCCARTAN	028	AOS	B	200	31.03	28-Mar	28-Mar	0.00	0.0
STADIA CAPITAL	035	ARO	B	60,000	27.47	29-Nov	11-Apr	2,400.00	4.0
FINANCIAL STOCK LP	028	AYR	SS	75,000	13.36	n/a	n/a	3,000.00	4.0
DIAMONDBACK CAP MGMT	232	BAC	C	25,000	37.24	13-Nov	3-Apr	1,250.00	5.0
JPMSI/TOM LEDDY	035	BBY	SS	10,000	41.30	n/a	27-Jul	500.00	5.0
IMPALA ASSET MMT	012	BG	B	149,800	103.11	n/a	n/a	4,494.00	3.0
BUCK CAPITAL MGMT	001	BIG	SS	5,200	21.23	6-Mar	11-Apr	208.00	4.0
MATTHES CAPITAL MGMT	033	BIG	SS	25,000	21.36	6-Mar	11-Apr	1,000.00	4.0
PORTER-ORLIN-FELDMAN	044	BIG	SS	150,000	21.26	6-Mar	11-Apr	7,500.00	5.0
PORTER-ORLIN-FELDMAN	044	BIG	S	47,200	21.11	6-Mar	11-Apr	2,360.00	5.0
SANDLER O'NEILL ASSET	031	BLK	B	10,000	211.16	21-Nov	10-Apr	500.00	5.0
BUCK CAPITAL MGMT	001	BRL	S	40,000	51.14	28-Feb	11-Apr	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IMPALA ASSET MMT	012	BTU	S	100,000	61.17	n/a	n/a	3,000.00	3.0
CHILTON CAPITAL MGMT.	036	BYD	SS	14,500	18.66	28-Feb	7-Mar	580.00	4.0
NEUBERGER BERMAN	036	BYI	S	2,400	33.11	n/a	n/a	108.00	4.5
IMPALA ASSET MMT	012	CAT	S	100,000	74.90	n/a	n/a	3,000.00	3.0
NEUBERGER BERMAN	036	CCC	S	3,100	14.44	n/a	n/a	139.50	4.5
SENECA CAPITAL LP NY	028	CELG	B	42,000	63.88	n/a	n/a	2,100.00	5.0
IMPALA ASSET MMT	012	CMI	B	100,000	48.98	n/a	n/a	3,000.00	3.0
WHEELER	008	COVJI	S	10	3.80	n/a	n/a	0.00	0.0
MCCARTAN	028	CPN	S	1,124	18.38	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	CQB	B	2,000	20.70	n/a	n/a	90.00	4.5
OSS CAPITAL/KEVIN DANN	067	ELN	SS	250,000	22.25	n/a	n/a	7,500.00	3.0
IMPALA ASSET MMT	012	EMR	S	128,156	50.18	n/a	n/a	3,844.68	3.0
GAULT	063	ETNDP	B	20	1.40	n/a	n/a	0.00	0.0
BRUNNER	012	ETN	B	400	78.60	28-Mar	7-Apr	0.00	0.0
RHO CAPITAL MGMT	041	EWI	S	900	18.38	n/a	n/a	36.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CHILTON CAPITAL MGMT.	036	FNB	SS	110,000	15.09	n/a	n/a	4,400.00	4.0
NEUBERGER BERMAN	036	GEO	B	4,000	27.59	n/a	n/a	180.00	4.5
MATTHES CAPITAL MGMT	033	GES	SS	25,000	40.64	n/a	n/a	1,000.00	4.0
IMPALA ASSET MMT	012	GLW	S	400,000	25.05	n/a	27-Mar	12,000.00	3.0
RAMIUS CAP	040	GNTX	SS	60,000	16.72	7-Apr	7-Apr	3,000.00	5.0
IMPALA ASSET MMT	012	GWV	S	73,748	79.75	28-Mar	28-Mar	2,212.44	3.0
PRINCIPLE CAPITAL	040	IACI	S	50,000	20.78	n/a	n/a	1,500.00	3.0
RHO CAPITAL MGMT	041	INTC	B	400	21.43	n/a	n/a	16.00	4.0
MAGUIRE	090	IOM	S	7,500	3.81	n/a	n/a	0.00	0.0
SCOPUS FUND	041	JBHT	B	15,000	29.40	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	JNY	S	100,000	13.28	n/a	15-Feb	4,000.00	4.0
ZOLIDIS	011	JOSB	B	600	25.95	n/a	n/a	0.00	0.0
NATIONAL BK OF CAN	031	JPM	B	20,000	42.78	17-Jan	3-Apr	800.00	4.0
WOOSTER CAPITAL	035	KSS	S	25,000	42.60	4-Jan	11-Apr	1,250.00	5.0
RAINIER INV MGMT	035	LHO	S	37,550	30.60	n/a	n/a	1,877.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	LMT	B	10,000	103.17	n/a	n/a	500.00	5.0
FIRST NY SEC/CHRIS	041	MCD	SS	5,000	55.33	n/a	24-Apr	250.00	5.0
PORTER-ORLIN-FELDMAN	044	MER	B	100,000	44.54	18-Jan	3-Apr	5,000.00	5.0
GRISANTI BROWN & PARTNER	048	M	S	37,400	23.00	7-Feb	11-Apr	1,870.00	5.0
OSS CAPITAL/KEVIN DANN	067	NCC	SS	100,000	8.59	n/a	n/a	3,000.00	3.0
NEUBERGER BERMAN	036	OEH	S	2,500	41.64	n/a	n/a	112.50	4.5
CHILTON CAPITAL MGMT.	036	OFG	SS	84,800	19.57	n/a	n/a	3,392.00	4.0
BUCK CAPITAL MGMT	001	OXM	SS	10,000	26.24	n/a	n/a	400.00	4.0
COUGAR TRADING	044	PAG	SS	5,000	19.53	6-Feb	7-Apr	250.00	5.0
GALLEON MGMT LP	033	PAS	SS	10,000	25.75	n/a	n/a	500.00	5.0
MCCARTAN	028	PDRT	B	2,000	2.01	n/a	n/a	0.00	0.0
NOLAN	067	PDRT	B	750	2.00	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	PII	S	75,000	41.08	n/a	n/a	2,250.00	3.0
ELM RIDGE ADVISORS	044	PKG	B	304,997	21.00	7-Apr	7-Apr	12,199.88	4.0
HART	056	QIAEW	B	14	1.35	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	ROK	S	100,000	57.06	n/a	n/a	3,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KNOTT PARTNERS	044	RVI	S	290,000	4.11	n/a	n/a	5,800.00	2.0
CATAPULT	040	SCHW	B	5,000	18.59	n/a	n/a	250.00	5.0
COLUMBIA PARTNERS	028	SHLD	SS	8,592	102.53	n/a	n/a	343.68	4.0
RHO CAPITAL MGMT	041	SLAB	B	500	32.57	n/a	n/a	20.00	4.0
KENNEDY CAPITAL	046	THS	B	20,000	22.47	n/a	n/a	800.00	4.0
MTB INV ADV	034	TJX	B	22,464	30.57	n/a	11-Apr	1,123.20	5.0
WEINTRAUB CAPITAL MGT.	040	TJX	B	50,000	30.51	n/a	11-Apr	2,000.00	4.0
DAVID J GREEN & CO	040	TKLC	S	50,000	13.01	n/a	22-Mar	1,999.32	4.0
WHEELER	008	TLGD	S	15,000	4.98	n/a	n/a	0.00	0.0
RHO CAPITAL MGMT	041	TLT	SS	150	95.80	n/a	n/a	6.00	4.0
CHILTON CAPITAL MGMT.	036	TRMK	SS	80,700	20.79	n/a	n/a	3,228.00	4.0
IMPALA ASSET MMT	012	TRN	S	100,000	25.36	n/a	n/a	3,000.00	3.0
ROTHSCHILD ASSET	040	TTC	B	23,960	41.45	n/a	n/a	1,078.20	4.5
IMPALA ASSET MMT	012	UAUA	S	244,187	23.28	n/a	n/a	7,325.61	3.0
CHILTON CAPITAL MGMT.	036	UBSI	SS	66,400	25.26	n/a	n/a	2,656.00	4.0
FIRST NY SEC/CHRIS	041	WEN	B	50,000	23.22	n/a	24-Apr	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HEALY CIRCLE	044	WHR	SS	12,000	82.17	n/a	n/a	600.00	5.0
BALYASNY ASSET MGMT	044	WMI	B	10,000	33.82	n/a	n/a	500.00	5.0
LEHMAN PROPRIETARY TRAD	041	WM	SS	30,000	10.94	n/a	n/a	1,200.00	4.0
CHILTON CAPITAL MGMT.	036	WSBC	SS	69,000	23.45	n/a	n/a	2,760.00	4.0
JPMSI/TOM LEDDY	035	WSM	SS	10,000	25.56	n/a	n/a	500.00	5.0
DIAMONDBACK CAP MGMT	232	XRX	SS	100,000	14.71	n/a	n/a	5,000.00	5.0
TOTAL (Trade Date) :								159,876.51	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/10	IMPALA ASSET MMT (IMPACITI)	012	AMR	B	219,396	9.83	n/a	n/a	2193.96 1.0
AO,CRT	04/10	IMPALA ASSET MMT (IMPAGOLDMA)	012	AMR	B	219,396	9.83	n/a	n/a	2193.96 1.0
CRT	04/11	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	BRL	S	39,700	51.14	28-Feb	11-Apr	1588.00 4.0
TOTAL (AO, CXL, CRT) :										1,588.00
TOTAL (Trade Date) :										159,876.51
TOTAL (AO, CXL, CRT) :										1,588.00
TOTAL (RUN DATE) / NFS:										161,464.51

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION