



Daily Compliance Activity Report

Trade Date: 05/06/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MARC PAUL LUCHANSKY	FID	AAGPX	S	171	16.85	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AAI	B	25,000	5.04	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		AAPL	B	10,000	244.99	n/a	n/a	non-brg	4.0
LAGRANGE CAP ADV	048	ABG	B	20,000	14.17	n/a	4-May	1,000.00	5.0
f MARC PAUL LUCHANSKY	FID	ACAS	S	1000	5.92	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ADM	B	900	26.28	n/a	5-May	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	AEGFX	S	32	34.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AEO	B	20,000	16.08	n/a	3-May	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	B	3,000	16.42	n/a	3-May	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	S	88,200	16.09	n/a	3-May	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	AIS	B	3000	1.68	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	AKR	B	24,900	17.47	n/a	n/a	996.00	4.0
f MARC PAUL LUCHANSKY	FID	AMR	S	1000	6.65	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ANF	B	1,200	41.74	n/a	3-May	non-brg	4.0
B BUCK CAPITAL MGMT		ANF	B	23,800	42.30	n/a	3-May	non-brg	4.0
B BUCK CAPITAL MGMT		ANF	S	200,000	40.81	n/a	3-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLRACE FUND LP	028	ANF	B	6,000	41.70	n/a	3-May	240.00	4.0
DSM CAPITAL PARTNERS	032	ANSS	B	8,275	44.94	n/a	n/a	413.75	5.0
ß BUCK CAPITAL MGMT		AN	B	2,500	20.09	n/a	23-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		AN	S	100,000	18.82	n/a	23-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		APC	B	800	62.24	n/a	4-May	non-brg	4.0
ß BUCK CAPITAL MGMT		ARO	B	1,700	28.53	n/a	3-May	non-brg	4.0
ß BUCK CAPITAL MGMT		ARO	B	30,000	27.84	n/a	3-May	non-brg	4.0
ß BUCK CAPITAL MGMT		ARO	B	35,500	28.14	n/a	3-May	non-brg	4.0
ß BUCK CAPITAL MGMT		ARO	S	150,000	28.15	n/a	3-May	non-brg	4.0
EXIS CAPITAL	044	ARO	B	15,000	28.43	n/a	3-May	750.00	5.0
KACELA CAPITAL	034	ATHR	S	36,200	35.17	n/a	n/a	1,448.00	4.0
ß BUCK CAPITAL MGMT		ATVI	B	20,000	10.53	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	ATVI	S	700	10.68	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		AVP	S	471,292	28.62	n/a	3-May	non-brg	4.0
DELTEC ASSET MGMT.	040	AVP	B	7,000	28.21	n/a	3-May	350.00	5.0
ß BUCK CAPITAL MGMT		AVY	B	600	38.38	n/a	28-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		AXL	B	4,900	9.97	n/a	3-May	non-brg	3.0
ß BUCK CAPITAL MGMT		AXP	B	23,500	42.33	n/a	5-May	non-brg	4.0
ß BUCK CAPITAL MGMT		AXP	B	500	45.04	n/a	5-May	non-brg	4.0
ß BUCK CAPITAL MGMT		BAC	B	2,800	17.37	n/a	4-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		BAC	B	61,000	16.24	n/a	4-May	non-brg	4.0
CATAPULT	040	BAC	B	25,000	16.66	n/a	4-May	1,250.00	5.0
GRUBER MACBAINE	033	BBBY	B	10,000	43.29	n/a	n/a	400.00	4.0
⚡ BUCK CAPITAL MGMT		BBY	B	20,000	41.00	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BBY	B	30,000	43.02	n/a	n/a	non-brg	4.0
CAVU CAP ADV	028	BBY	B	20,000	42.54	n/a	n/a	1,000.00	5.0
FIRST INV MGMT CO	029	BBY	B	5,000	41.40	n/a	n/a	250.00	5.0
f JONATHAN RICHARD HAR	FID	BEE	S	2000	5.28	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	BEE	B	3000	5.74	n/a	n/a	0.00	0.0
f ALEXANDER A SACCO	FID	BGZ	S	485	14.90	n/a	n/a	0.00	0.0
BENCHMARK	025	BG	B	2,500	50.48	n/a	n/a	100.00	4.0
⚡ BUCK CAPITAL MGMT		BHI	B	1,000	47.47	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BID	SS	50,000	31.12	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BID	S	50,249	34.25	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	BJ	SS	7,500	37.40	n/a	n/a	300.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	BKRS	S	6807	2.20	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	BKRS	S	4900	2.12	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		BK	B	1,600	31.22	n/a	23-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BONT	B	92,657	14.86	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BX	B	200	12.85	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BX	B	300	12.85	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BX	B	600	12.85	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BX	B	7,100	12.85	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BX	B	800	12.85	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BYD	SS	50,000	11.63	n/a	n/a	non-brg	4.0
THE JAY GOLDMAN	028	BYD	S	16,500	12.84	n/a	n/a	660.00	4.0
ß BUCK CAPITAL MGMT		BYI	B	500	45.02	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BYI	S	10,000	43.19	n/a	n/a	non-brg	4.0
f FRANCIS PATRICK GALL	FID	BZ	B	400	6.03	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CAR	B	50,000	12.64	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	CCE	B	10,000	26.06	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		CHS	B	100,000	14.07	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		CHS	B	50,000	13.91	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		CHS	B	50,000	14.05	n/a	5-Feb	non-brg	4.0
RBC CAPITAL MARKETS	040	CHS	B	10,000	13.61	n/a	5-Feb	400.00	4.0
f MARC PAUL LUCHANSKY	FID	CIVVX	S	90	10.51	n/a	n/a	0.00	0.0
WHALE ROCK CAP	030	CMCSA	S	250,326	18.98	n/a	n/a	10,013.04	4.0
ß BUCK CAPITAL MGMT		COF	B	500	45.23	n/a	5-May	non-brg	4.0
ß BUCK CAPITAL MGMT		COH	S	70,000	39.19	n/a	21-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		CRI	S	20,000	31.79	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CROX	B	150,000	9.36	n/a	n/a	non-brg	3.0
CAVU CAP ADV	028	CROX	S	3,800	9.82	n/a	n/a	190.00	5.0
COUGAR TRADING	044	CRR	B	7,500	67.93	n/a	5-May	375.00	5.0
⚡ BUCK CAPITAL MGMT		CSTR	S	20,000	49.22	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	CVGI	B	1000	11.88	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	C	B	10000	4.11	n/a	4-May	0.00	0.0
SANDLER O'NEILL ASSET	031	C	S	400,000	4.07	n/a	4-May	8,000.00	2.0
⚡ BUCK CAPITAL MGMT		DAL	B	40,000	11.79	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DAN	B	1,500	12.95	n/a	30-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DAN	B	25,000	12.07	n/a	30-Apr	non-brg	4.0
GOLD SACHS SPECIAL SIT	030	DAN	B	225,000	11.55	n/a	30-Apr	11,250.00	5.0
f DAVID GEORGE BUCK	FID	DB	B	1000	60.71	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		DDS	C	70,000	25.46	n/a	n/a	non-brg	4.0
HARBORWATCH/DIAMBACK	232	DD	S	5,000	37.72	n/a	4-May	250.00	5.0
EPOCH INV MGMT	030	DE	B	17,950	56.99	n/a	30-Apr	538.50	3.0
⚡ BUCK CAPITAL MGMT		DFS	B	1,600	15.13	n/a	5-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		DFS	B	69,400	14.64	n/a	5-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DLTR	S	20,000	59.88	n/a	24-Feb	non-brg	4.0
EXIS CAPITAL	044	DPZ	S	25,000	13.25	n/a	5-May	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		EBAY	B	70,000	22.42	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		EBAY	S	200,997	22.73	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	EFA	S	2500	50.88	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		EL	B	50,000	59.67	n/a	28-Apr	non-brg	4.0
HARBORWATCH/DIAMBACK	232	EMN	S	5,000	64.12	n/a	n/a	250.00	5.0
BMO NESBITT BURNS	041	ENDP	B	15,000	21.73	6-May	6-May	600.00	4.0
FIRST NEW YORK LEDDY	035	ETFC	S	15,000	1.56	n/a	n/a	600.00	4.0
f MARC PAUL LUCHANSKY	FID	EXWAX	S	144	7.70	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FAGIX	S	265	8.85	n/a	n/a	0.00	0.0
Õ James Mitchell		FAS	B	400	25.88	n/a	n/a	0.00	0.0
COBALT	041	FBR	SS	100,000	17.58	n/a	n/a	5,000.00	5.0
f MARC PAUL LUCHANSKY	FID	FCSAX	S	518	10.05	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FDGRX	S	31	70.17	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	FDIVX	S	38	25.57	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FDO	C	100	39.45	n/a	7-Apr	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	FEMKX	S	57	21.16	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FIGRX	S	35	27.60	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	FII	B	20,000	23.45	n/a	2-Mar	1,000.00	5.0
f MARC PAUL LUCHANSKY	FID	FILEX	S	1050	7.64	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	FINL	B	1000	15.16	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FINSX	S	96	17.40	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FKRCX	S	21	41.67	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FLPSX	S	90	33.52	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOSL	B	20,000	35.38	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		FOSL	S	20,000	35.54	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	FPCIX	S	241	10.46	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSCFX	S	229	9.82	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSHBX	S	358	8.41	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FTBFX	S	383	10.78	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	FUSOX	S	105	8.67	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FVSAX	S	489	12.51	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		F	B	4,000	12.48	n/a	4-May	non-brg	4.0
MILLENIUM PARTNERS	028	F	B	75,000	12.47	n/a	4-May	3,000.00	4.0
ROSEDALE CAPITAL	046	F	B	40,000	12.05	n/a	4-May	1,600.00	4.0
KLEINHEINZ CAP PART	031	GCI	S	50,000	15.26	n/a	n/a	2,500.00	5.0
SIGMA CAP BOBBY	044	GENZ	SS	50,000	53.50	n/a	n/a	1,750.00	3.5
ß BUCK CAPITAL MGMT		GES	B	30,000	39.46	n/a	18-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	B	600	41.15	n/a	18-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		GIII	B	10,000	25.87	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIII	B	10,000	25.87	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIII	B	10,000	25.87	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIII	B	300	27.61	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIII	B	300	27.61	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIII	B	300	27.61	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIII	B	4,700	25.09	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIII	B	4,700	25.09	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIII	B	4,700	25.09	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIL	B	40,000	26.68	n/a	27-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	B	900	27.56	n/a	27-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	GLW	B	20,000	17.30	n/a	28-Apr	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		GLW	B	2,700	18.06	n/a	28-Apr	non-brg	4.0
CAMBIAR CAP	046	GLW	B	219,175	17.98	n/a	28-Apr	8,767.00	4.0
⚡ BUCK CAPITAL MGMT		GPS	B	20,000	23.60	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	GPS	B	20,000	23.75	n/a	n/a	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		GYMB	B	1,100	46.00	n/a	6-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GYMB	B	10,000	44.32	n/a	6-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HBI	S	40,000	27.55	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	HOLX	B	1000	16.27	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	HRB	S	15,900	18.05	n/a	n/a	636.00	4.0
f MARC PAUL LUCHANSKY	FID	HRINX	S	22	49.06	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		HTZ	B	30,000	12.67	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HTZ	S	186,000	12.14	n/a	n/a	non-brg	4.0
EMERSON CAPITAL MGMT	048	IFSIA	S	8,500	12.64	n/a	n/a	425.00	5.0
⚡ BUCK CAPITAL MGMT		IMAX	SS	40,000	18.40	n/a	n/a	non-brg	4.0
RWC PARTNERS LTD	067	IPG	S	563,900	8.04	n/a	n/a	16,917.00	3.0
RBC CAPITAL MARKETS	040	IP	S	60,000	23.37	n/a	29-Apr	2,400.00	4.0
BROADARCH CAP/OLD NEWC	070	IR	S	10,700	36.73	n/a	23-Apr	428.00	4.0
⚡ BUCK CAPITAL MGMT		JACK	S	20,000	22.64	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	JANSX	S	95	25.95	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JCG	S	30,000	45.00	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	JCG	S	5,000	44.83	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		JCP	B	20,000	28.29	n/a	3-May	non-brg	4.0
B BUCK CAPITAL MGMT		JCP	B	30,000	28.40	n/a	3-May	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	JMCVX	S	42	20.52	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JNY	B	50,000	20.19	n/a	29-Apr	non-brg	4.0
EXIS CAPITAL	044	JNY	B	5,000	20.57	n/a	29-Apr	250.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	40,000	60.55	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		JPM	B	24,300	40.90	n/a	5-May	non-brg	4.0
CAVU CAP ADV	028	JWN	B	13,100	42.44	n/a	3-May	655.00	5.0
BROADARCH CAP/OLD NEWC	070	KBH	B	4,300	17.25	n/a	n/a	172.00	4.0
CATAPULT	040	KEY	B	40,000	8.16	n/a	n/a	2,000.00	5.0
B BUCK CAPITAL MGMT		KSS	B	100,000	55.43	n/a	3-May	non-brg	4.0
B BUCK CAPITAL MGMT		KSS	B	400	55.92	n/a	3-May	non-brg	4.0
f BERNA GABRIELLE BARS	FID	KSWS	B	1000	10.01	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	KSWS	B	2000	10.67	n/a	n/a	0.00	0.0
BASIX	033	LEA	B	10,000	72.96	n/a	20-Apr	300.00	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B	BUCK CAPITAL MGMT		LEA	B	20,000	76.00	n/a	20-Apr	non-brg 2.5
B	BUCK CAPITAL MGMT		LEA	B	5,000	73.19	n/a	20-Apr	non-brg 4.0
	COUGAR TRADING	044	LEA	B	15,000	77.28	n/a	20-Apr	750.00 5.0
	COUGAR TRADING	044	LEA	S	15,000	75.16	n/a	20-Apr	750.00 5.0
	OZ MGMT	040	LEA	S	94,995	76.35	n/a	20-Apr	2,849.85 3.0
	EXIS CAPITAL	044	LIZ	B	10,000	6.65	n/a	7-Oct	500.00 5.0
	EXIS CAPITAL	044	LIZ	S	37,980	6.98	n/a	7-Oct	1,899.00 5.0
B	BUCK CAPITAL MGMT		LL	S	20,000	28.01	n/a	n/a	non-brg 4.0
	GRUBER MACBAINE	033	LOW	B	10,000	25.83	n/a	22-Feb	400.00 4.0
	EMERSON CAPITAL MGMT	048	LVL	B	20,000	1.32	n/a	n/a	1,000.00 5.0
	LIEBAU ASSET	048	L	B	6,000	34.01	n/a	n/a	300.00 5.0
B	BUCK CAPITAL MGMT		MA	B	4,400	228.11	n/a	4-May	non-brg 4.0
f	MARC PAUL LUCHANSKY	FID	MFOCX	S	81	15.34	n/a	n/a	0.00 0.0
	CAPITAL GROWTH MGMT.	241	MGA	B	130,000	70.12	n/a	n/a	6,500.00 5.0
f	MARC PAUL LUCHANSKY	FID	MIQBX	S	81	11.99	n/a	n/a	0.00 0.0
f	DAVID GEORGE BUCK	FID	MO	B	3000	21.09	n/a	n/a	0.00 0.0
B	BUCK CAPITAL MGMT		MS	B	35,700	27.74	n/a	21-Apr	non-brg 4.0
f	JONATHAN RICHARD HAR	FID	MTW	S	705	12.50	n/a	30-Apr	0.00 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		MW	SS	50,000	22.89	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		M	B	2,100	23.25	n/a	3-May	non-brg	4.0
ß BUCK CAPITAL MGMT		M	B	20,000	22.22	n/a	3-May	non-brg	0.8
EXIS CAPITAL	044	M	B	25,000	23.02	n/a	3-May	1,250.00	5.0
EXIS CAPITAL	044	M	S	20,000	22.30	n/a	3-May	1,000.00	5.0
THIRD POINT MGMT	044	M	S	220,000	22.41	n/a	3-May	8,800.00	4.0
WEINTRAUB CAPITAL MGT.	040	NDN	B	50,000	14.98	n/a	9-Apr	2,000.00	4.0
DSM CAPITAL PARTNERS	032	NTAP	S	10,255	34.10	n/a	n/a	512.75	5.0
CATAPULT	040	NTRS	SS	48,128	51.48	n/a	23-Apr	2,406.40	5.0
ß BUCK CAPITAL MGMT		NTY	B	30,000	38.55	n/a	n/a	non-brg	4.0
SANDLER CAPITAL	226	N	B	44,618	16.15	n/a	n/a	2,677.08	6.0
SIGMA CAP BOBBY	044	ODP	B	200,000	6.13	n/a	n/a	7,000.00	3.5
SIGMA CAP BOBBY	044	ONXX	SS	80,818	26.10	n/a	n/a	2,828.63	3.5
SIGMA CAP BOBBY	044	ONXX	S	900	26.14	n/a	n/a	31.50	3.5
ß BUCK CAPITAL MGMT		OZM	B	1,400	16.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	12,000	16.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	300	16.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	400	16.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	900	16.88	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	OZM	S	9000	17.38	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	PAHIX	S	254	6.56	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PCAR	S	300	42.34	n/a	30-Apr	0.00	0.0
COHE & STEERS	041	PCL	S	14,257	38.71	n/a	27-Apr	570.28	4.0
f MARC PAUL LUCHANSKY	FID	PCRRX	S	277	7.64	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	PEP	B	500	65.65	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PHYAX	S	139	9.02	n/a	n/a	0.00	0.0
BERMAN CAPITAL MGMT	046	PIR	B	30,000	7.37	n/a	n/a	900.00	3.0
B BUCK CAPITAL MGMT		PLCE	S	30,000	43.05	n/a	27-Apr	non-brg	4.0
ROARING BLUE LION MGMT	012	PLCE	B	3,500	43.03	n/a	27-Apr	140.00	4.0
WEINTRAUB CAPITAL MGT.	040	PLCE	B	75,000	43.07	n/a	27-Apr	3,000.00	4.0
NEUBERGER BERMAN	036	PLD	B	74,740	11.86	n/a	n/a	2,989.60	4.0
THE JAY GOLDMAN	028	PNK	B	17,500	13.82	n/a	n/a	700.00	4.0
f MARC PAUL LUCHANSKY	FID	PSFAX	S	154	9.88	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PSS	B	2,200	22.38	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PSS	B	50,000	21.76	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	PTRAX	S	333	11.14	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PVH	B	20,000	58.27	n/a	21-Apr	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		PVH	B	800	60.74	n/a	21-Apr	non-brg	4.0
MARC PAUL LUCHANSKY	FID	PZFBX	S	85	15.31	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	263	RGA	S	8,500	49.67	n/a	n/a	340.00	4.0
BUCK CAPITAL MGMT		RL	S	10,000	84.68	n/a	14-Apr	non-brg	4.0
MARC PAUL LUCHANSKY	FID	RODM	S	2000	3.27	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	RST	B	10,000	24.50	n/a	n/a	500.00	5.0
MARC PAUL LUCHANSKY	FID	RYFBX	S	136	9.99	n/a	n/a	0.00	0.0
MARC PAUL LUCHANSKY	FID	SBLGX	S	75	21.93	n/a	n/a	0.00	0.0
MILLRACE FUND LP	028	SBUX	B	10,142	26.05	n/a	22-Apr	405.68	4.0
MARC PAUL LUCHANSKY	FID	SDS	B	2000	33.37	n/a	n/a	0.00	0.0
DAVID GEORGE BUCK	FID	SEP	S	4000	30.21	n/a	n/a	0.00	0.0
THOMAS WEISEL ASSET MGMT	035	SFLY	S	13,678	21.94	n/a	n/a	410.34	3.0
BUCK CAPITAL MGMT		SIG	S	40,000	30.14	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	SKS	B	30,000	9.45	n/a	n/a	1,500.00	5.0
MARC PAUL LUCHANSKY	FID	SLASX	S	45	37.16	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SLB	B	400	67.75	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	JAMES H HLAVACEK II	FID	SLB	B	100	65.42	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		SLM	B	2,100	11.87	n/a	5-May	non-brg	4.0
B	BUCK CAPITAL MGMT		SLM	B	89,000	11.12	n/a	5-May	non-brg	4.0
f	KAREN F LEDDY	FID	SMN	S	840	37.64	n/a	n/a	0.00	0.0
	ARMATURE CAPITAL	041	SOA	B	10,000	16.39	n/a	n/a	400.00	4.0
f	MARC PAUL LUCHANSKY	FID	SPRXX	S	811	1.00	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	SPY	S	1500	116.11	n/a	n/a	0.00	0.0
f	FRANCIS MICHAEL MCCA	FID	SRI	B	200	9.25	n/a	n/a	0.00	0.0
f	FRANCIS MICHAEL MCCA	FID	SRI	B	200	9.25	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	SRVEX	S	64	14.02	n/a	n/a	0.00	0.0
	MATRIX ASSET ADV.	036	STJ	B	2,000	38.50	n/a	n/a	80.00	4.0
	SIGMA CAP BOBBY	044	STJ	SS	50,000	38.55	n/a	n/a	1,750.00	3.5
	BUCK CAPITAL MGMT	001	TGT	S	100,000	55.04	n/a	6-May	4,000.00	4.0
B	BUCK CAPITAL MGMT		TGT	B	30,000	55.22	n/a	6-May	non-brg	4.0
	SIGMA CAP BOBBY	044	TGT	SS	45,000	55.48	n/a	6-May	1,575.00	3.5
B	BUCK CAPITAL MGMT		TJX	B	1,100	45.03	n/a	3-May	non-brg	4.0
B	BUCK CAPITAL MGMT		TJX	B	100,000	44.86	n/a	3-May	non-brg	4.0
B	BUCK CAPITAL MGMT		TJX	B	100,000	44.86	n/a	3-May	non-brg	4.0
B	BUCK CAPITAL MGMT		TJX	B	20,000	45.07	n/a	3-May	non-brg	4.0
B	BUCK CAPITAL MGMT		TJX	B	20,000	45.07	n/a	3-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	TJX	SS	4,226	45.00	n/a	3-May	211.30	5.0
B BUCK CAPITAL MGMT		TLB	B	30,000	16.06	n/a	n/a	non-brg	0.8
RBC CAPITAL MARKETS	040	TLB	B	5,000	15.57	n/a	n/a	200.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	TNA	B	200	52.32	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	TPINX	S	132	13.02	n/a	n/a	0.00	0.0
PARTNER REINSURANCE	033	TWC	S	19,050	52.42	n/a	n/a	762.00	4.0
f MANNY I KORMAN	FID	TXN	B	5000	25.43	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	040	TYC	B	50,000	37.49	n/a	28-Apr	2,500.00	5.0
f JONATHAN RICHARD HAR	FID	TZA	B	4200	7.05	n/a	n/a	0.00	0.0
f RAGHAV NAYAR	FID	T	B	140	24.79	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UAUA	B	10,000	17.53	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		UAUA	B	10,000	17.90	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		UAUA	B	40,000	18.35	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		UA	SS	20,000	31.05	n/a	28-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		UBI FP	B	20,000	11.48	n/a	n/a	non-brg	1.7
B BUCK CAPITAL MGMT		URBN	B	10,000	35.89	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	USGLX	S	32	26.23	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RBC CAPITAL MARKETS	040	VALE	B	25,000	27.40	n/a	n/a	1,000.00	4.0
f MARC PAUL LUCHANSKY	FID	WBC	S	200	33.78	n/a	5-May	0.00	0.0
f MARC PAUL LUCHANSKY	FID	WBIGX	S	57	17.81	n/a	n/a	0.00	0.0
LIEBAU ASSET	048	WFC	B	5,000	30.97	n/a	22-Apr	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	WFC	S	254,300	31.99	n/a	22-Apr	7,629.00	3.0
ENTRUST CAPITAL	040	WGO	SS	60,900	14.69	n/a	n/a	3,045.00	5.0
ß BUCK CAPITAL MGMT		WMS	S	10,000	48.36	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WRC	B	20,000	43.10	n/a	2-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		XLF	SS	1,293,700	15.35	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XLF	SS	19,000	15.45	n/a	n/a	non-brg	2.0
SIGMA CAP BOBBY	044	XNPT	B	88,000	10.56	n/a	n/a	3,080.00	3.5
ß BUCK CAPITAL MGMT		XRT	SS	226,500	41.86	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	SS	4,300	40.78	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	SS	715,400	40.46	n/a	n/a	non-brg	2.0
f MANNY I KORMAN	FID	XRX	B	5000	10.11	n/a	n/a	0.00	0.0
SIGMA CAP BOBBY	044	XRX	B	200,000	9.93	n/a	n/a	7,000.00	3.5
HIGHBRIDGE CAP	041	YHOO	S	100,000	16.24	n/a	n/a	4,000.00	4.0
LAGRANGE CAP ADV	048	YHOO	B	20,000	16.10	n/a	n/a	1,000.00	5.0

TOTAL (Trade Date):

187,317.70

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/05	ROYCE TRADING	216	CLF	B	9,100	55.15	n/a	n/a	455.00 5.0
AO,CXL	05/05	HARVEST MGMT	029	GGC	SS	10,000	18.42	n/a	n/a	500.00 5.0
AO,CRT	05/05	HARVEY PARTNERS	012	GGC	SS	10,000	18.42	n/a	n/a	500.00 5.0
AO,	05/05	ROYCE TRADING	216	MED	S	15,000	30.25	n/a	n/a	750.00 5.0
AO,	05/05	ROYCE TRADING	216	OXY	B	8,300	83.81	n/a	n/a	415.00 5.0
AO,CRT	05/05	GALT INVESTMENT	041	PAG	B	30,000	13.83	n/a	3-May	1200.00 4.0
AO,CXL	05/05	GALT INVESTMENT	041	PAG	B	30,000	13.83	n/a	3-May	1500.00 5.0
AO,CXL	04/30	NEUBERGER BERMAN	036	TEVA	B	1,150	58.90	n/a	4-May	46.00 4.0
AO,CXL	05/05	GREEN ARROW DOUG	040	URI	B	21,000	13.54	n/a	n/a	1050.00 5.0
AO,CRT	05/05	GREEN ARROW DOUG	040	URI	B	21,000	13.54	n/a	n/a	840.00 4.0
AO,	05/05	ROYCE TRADING	216	WDC	B	17,000	41.12	n/a	n/a	850.00 5.0
TOTAL (AO, CXL, CRT) :										1,914.00

TOTAL (Trade Date):	187,317.70
TOTAL (AO, CXL, CRT):	1,914.00

TOTAL (RUN DATE) / NFS:

189,231.70

MRI Securities with Trade Activity on 6-May.

ENDP

MRI Securities without Trade Activity on 6-May.

SHW, KG, IFF

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 9%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)