



RR Commissions Summary [Andrew Sinclair]

Trade Date: 08/27/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A. R. SCHMEIDLER & CO.	031	0	0	1,010	1,010	0	0	0	0	1,010	1,010	0	0
ASSOCIATED	031	0	0	0	0	0	0	0	0	0	0	15,509	0
BARROW HANLEY MEWHINNEY	031	0	21,326	22,733	76,059	0	0	9,000	21,326	22,733	85,059	89,062	96
CASTLE ARK	031	0	1,889	1,889	90,748	0	0	0	1,889	1,889	90,748	210,377	43
CHANNING CAPITAL	031	0	0	0	3,976	0	0	0	0	0	3,976	9,924	40
CHILTON CAPITAL MGMT	031	0	0	0	0	0	0	0	0	0	0	4,159	0
COPIA CAPITAL	031	0	0	0	0	0	0	0	0	0	0	16,875	0
CORTEX CAPITAL	031	180	2,670	2,670	2,670	0	0	0	2,670	2,670	2,670	0	0
ESSENCIAL CAPITAL	031	150	300	600	1,765	0	0	0	300	600	1,765	0	0
HAMILTON CAP PART FIN	031	0	0	0	4,020	0	0	0	0	0	4,020	4,520	89
HIGHLAND CAPITAL/PYXIS	031	0	0	0	0	0	0	0	0	0	0	1,828	0
INVESCO	031	0	0	56,480	119,961	0	0	30,834	0	56,480	150,795	114,396	132
JHL CAPITAL	031	0	0	0	0	0	0	0	0	0	0	12,500	0
KLEINHEINZ CAP PART	031	5,000	10,000	28,750	78,275	0	0	0	10,000	28,750	78,275	279,792	28
MAGNETAR FINANCIAL	031	0	1,440	1,440	1,440	0	0	0	1,440	1,440	1,440	0	0
MILLENIUM -MICHAEL EHRLICH	031	0	0	0	0	0	0	0	0	0	0	2,541	0
NEW VERNON	031	0	7,000	10,500	28,320	0	0	0	7,000	10,500	28,320	13,151	215
NOKOMIS PARTNERS	031	0	2,396	4,692	19,216	0	0	0	2,396	4,692	19,216	24,102	80
PIONEER OAKRIDGE	031	0	0	0	0	0	0	20,000	0	0	20,000	40,272	50
RAIL-SPLITTER	031	0	0	0	0	0	0	8,000	0	0	8,000	19,000	42
ROARING BLUE LION MGMT	031	0	5,012	5,012	35,323	0	0	0	5,012	5,012	35,323	17,869	198
ROYCE TRADING	031	0	0	0	53,089	0	0	0	0	0	53,089	101,758	52
SAC CHICAGO ANDREW	031	0	0	0	4,365	0	0	0	0	0	4,365	31,633	14
SANDLER O'NEILL ASSET	031	2,675	14,110	19,860	137,015	0	0	0	14,110	19,860	137,015	105,074	130
SHEFFIELD ASSET MGMT	031	0	3,974	4,626	20,974	0	0	0	3,974	4,626	20,974	38,713	54
TYVOR CAPITAL LLC	031	0	8,679	13,548	13,548	0	0	0	8,679	13,548	13,548	0	0
UBS OCONNOR	031	0	0	0	16,434	0	0	10,000	0	0	26,434	19,717	134
WANGER ASS	031	0	0	0	0	0	0	17,500	0	0	17,500	30,000	58
WOODWAY FINANCIAL	031	1,975	1,975	4,409	14,692	0	0	0	1,975	4,409	14,692	34,268	43
TOTALS:		9,980	80,771	178,219	722,901	0	0	95,334	80,771	178,219	818,235		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAISSE DEPOT PLACEMENT Q	295	0	0	0	17,997	0	0	0	0	0	17,997	12,444	0
CITADEL ADV	280	0	0	0	0	55,000	55,000	110,000	55,000	55,000	110,000	240,000	46
CITADEL ALPHA LEAGUE	280	0	0	0	0	44,789	44,789	78,281	44,789	44,789	78,281	100,035	78
LONE PINE MGMT	257	0	0	0	0	0	0	12,000	0	0	12,000	0	0
LORD ABBETT & COMPANY	098	0	0	0	0	0	0	0	0	0	0	0	0
MOORE CAPITAL	257	0	39,450	39,450	60,692	0	0	0	39,450	39,450	60,692	0	0
TOTALS:		0	39,450	39,450	78,689	99,789	99,789	200,281	139,239	139,239	278,970		

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