



Daily Compliance Activity Report

Trade Date: 06/22/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	5,000	26.30	n/a	12-Jun	250.00	5.0
ROARING BLUE LION MGMT	012	AEO	B	11,000	26.25	n/a	12-Jun	550.00	5.0
MENCKE	055	AFAM	S	15	18.40	n/a	n/a	0.00	0.0
MENCKE	055	ALD	S	115	32.03	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AMAG	B	3	0.6600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AMAG	B	3	0.8200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AMAG	SS	3	1.5300	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AMAG	SS	3	1.9500	n/a	n/a	0.00	0.0
TRUST CO/COWEN/CALF.	040	BBI	S	100,605	4.37	n/a	n/a	4,024.20	4.0
SLS CAPITAL LLC	224	BX	S	15,000	35.66	n/a	n/a	750.00	5.0
MENCKE	055	BX	B	100	36.45	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	BYD	B	12,690	49.74	n/a	27-Apr	634.50	5.0
Õ Glenn Redemann		CBK	B	8	0.2700	7-Jun	8-Jun	0.00	0.0
Õ Glenn Redemann		CBK	B	8	0.3300	7-Jun	8-Jun	0.00	0.0
Õ Glenn Redemann		CBK	SS	8	0.7800	7-Jun	8-Jun	0.00	0.0
Õ Glenn Redemann		CBK	SS	8	0.8700	7-Jun	8-Jun	0.00	0.0
LEDDY	035	CHCG	B	1,500	7.06	n/a	n/a	0.00	0.0
WHEELER	008	CHP	S	2,200	5.97	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	CHS	B	10,000	24.62	31-May	8-Jun	500.00	5.0
KORMAN	003	CLUB	S	1,500	19.35	n/a	n/a	0.00	0.0
COUGAR TRADING	044	COH	B	5,000	47.11	23-Feb	25-Apr	250.00	5.0
EGS ASSOCIATES LP	091	COH	B	3,500	46.59	23-Feb	25-Apr	210.00	6.0
TRELLUS PARTNERS LP	028	COH	B	30,000	47.06	23-Feb	25-Apr	1,500.00	5.0
LEDDY	035	CSCT	B	1,000	14.75	n/a	n/a	0.00	0.0
FRIESS MGMT	042	CVS	B	98,700	36.76	n/a	n/a	4,441.50	4.5
PROSPECTOR PARTNERS LLC	036	CVX	S	10,000	82.42	n/a	n/a	400.00	4.0
Glenn Redemann		DAKT	B	6	0.3000	n/a	n/a	0.00	0.0
Glenn Redemann		DAKT	B	6	0.3500	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	DDS	S	50,000	34.03	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	55.10	n/a	n/a	200.00	4.0
TROUBH PART	067	DPHIQ	S	25,000	2.81	n/a	n/a	1,250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ELY	B	16,000	18.07	n/a	n/a	600.00	3.8
METROPOLITAN CAPITAL	044	FL	B	329,800	21.44	24-May	21-Jun	16,490.00	5.0
CASTLE ARK	031	GIL	B	29,710	35.85	21-Jun	21-Jun	1,782.60	6.0
FRIESS MGMT	042	GME	B	178,000	39.91	n/a	n/a	8,010.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	GM	C	2,000	35.51	4-May	4-May	0.00	0.0
WHEELER	008	HSTX	S	5,100	17.60	n/a	n/a	0.00	0.0
SPRINGBOC	039	IESC	SS	32,200	30.65	n/a	n/a	1,610.00	5.0
PROSPECTOR PARTNERS LLC	036	IOSP	S	7,000	60.42	n/a	n/a	280.00	4.0
TRELLUS PARTNERS LP	028	JCP	B	20,000	72.63	21-Jun	21-Jun	1,000.00	5.0
STRONG/WELL HOWARD	038	KR	S	448,300	29.88	n/a	n/a	17,932.00	4.0
ACT II PARTNERS	067	LBTYA	SS	84,700	40.37	n/a	n/a	4,235.00	5.0
MKT MAKER	099	LBTYA	SS	23	40.37	n/a	n/a	0.00	0.0
IRON HORSE CAPITAL MGMT	038	LINTA	B	10,000	23.05	n/a	n/a	500.00	5.0
XYLEM GLOBAL	091	LPX	B	30,000	19.69	14-Jun	14-Jun	1,500.00	5.0
WHITE PINE CAPITAL	030	LTD	B	8,100	28.21	16-May	8-Jun	405.00	5.0
BARR	096	MEE	S	1,100	27.58	n/a	n/a	0.00	0.0
CROWLEY	061	MIRWSB	S	500	24.71	n/a	n/a	0.00	0.0
AMATURO	018	MPXL	B	1,000	11.55	n/a	n/a	0.00	0.0
WHEELER	008	MTRX	S	5,000	25.08	n/a	n/a	0.00	0.0
COUGAR TRADING	044	M	B	10,000	41.16	17-May	8-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TROUBH PART	067	M	B	30,000	42.25	17-May	8-Jun	1,500.00	5.0
TRIBECA/DOUG	040	NAL	B	66,500	14.93	n/a	n/a	2,660.00	4.0
DARUMA ASSET MGMT INC	034	NDN	B	125,000	12.40	16-Jan	18-Jun	6,250.00	5.0
Õ Glenn Redemann		OCR	B	4	0.3800	n/a	18-May	0.00	0.0
Õ Glenn Redemann		OCR	B	4	0.6700	n/a	18-May	0.00	0.0
XYLEM GLOBAL	091	OI	B	5,000	33.25	n/a	n/a	250.00	5.0
PRIME CAPITAL	048	ORCL	B	125,800	19.53	n/a	n/a	5,032.00	4.0
BUCK CAPITAL MGMT	001	OXM	S	5,000	45.43	n/a	n/a	200.00	4.0
WHEELER	008	RAE	B	24,200	2.30	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	RL	S	10,000	98.23	21-Jun	21-Jun	400.00	4.0
LEDDY	035	RVI	S	1,400	16.59	n/a	n/a	0.00	0.0
BARR	096	SCMR	B	2,000	4.09	n/a	n/a	0.00	0.0
FRIESS MGMT	042	SKS	B	157,900	21.48	n/a	n/a	7,105.50	4.5
LEDDY	035	SNEN	B	4,000	2.40	n/a	n/a	0.00	0.0
BARR	096	SWIM	C	1,000	10.16	n/a	n/a	0.00	0.0
SANDLER CAPITAL	038	TNB	S	35,000	58.43	n/a	n/a	2,100.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FRIESS MGMT	042	URBN	B	294,700	23.33	2-Apr	11-May	13,261.50	4.5
WHALE ROCK CAP	030	URBN	SS	250,000	23.26	2-Apr	11-May	10,000.00	4.0
KAICHER	064	WHT	S	2,500	3.81	n/a	n/a	0.00	0.0
XYLEM GLOBAL	091	WY	B	10,000	80.74	7-May	14-Jun	500.00	5.0
COUGAR TRADING	044	ZQK	B	5,000	13.65	9-Mar	11-Jun	250.00	5.0
TOTAL (Trade Date):								121,313.80	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/21	MATTHES CAPITAL MGMT (MATTGSPB)	033	CSV	S	500	8.33	n/a	n/a	25.00 5.0
AO,CRT	06/21	MATTHES CAPITAL MGMT (MATTGSPB)	033	CSV	S	500	8.33	n/a	n/a	20.00 4.0
AO,	06/21	NEUBERGER BERMAN (NBER9W16)	036	EFII	S	7,000	29.08	n/a	n/a	266.00 3.8
AO,CRT	06/21	MKT MAKER (MKT MAKER)	099	PFCB	B	100	35.00	24-Apr	24-Apr	0.00 0.0
AO,CXL	06/21	DAVIDSON TRUST CO (DAVTOMNI)	033	WFC	B	2,150	35.76	n/a	n/a	107.50 5.0
AO,CRT	06/21	DAVIDSON TRUST CO (DAVTOMNI)	033	WFC	B	2,150	35.76	n/a	n/a	129.00 6.0
TOTAL (AO, CXL, CRT) :										282.50
TOTAL (Trade Date) :									121,313.80	
TOTAL (AO, CXL, CRT) :									282.50	
TOTAL (RUN DATE) / NFS:									121,596.30	

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION