



Daily Compliance Activity Report

Trade Date: 02/17/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROSEDALE CAPITAL	046	ABT	B	30,000	46.16	n/a	n/a	1,500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	AEO	S	52,300	16.04	n/a	4-Feb	1,569.00	3.0
PATTEN & PATTEN	025	AML	B	2,500	16.35	n/a	n/a	125.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	30,000	58.78	n/a	17-Feb	900.00	3.0
LEVIN CAPITAL STRATEGIES	012	AN	B	10,000	34.44	n/a	9-Feb	300.00	3.0
f JONATHAN RICHARD HAR	FID	APC	B	10	6.10	n/a	1-Feb	0.00	0.0
f JOSEPH CIRO AMATURO	FID	APC	B	1000	81.65	n/a	1-Feb	0.00	0.0
BALYASNY ASSET MGMT	044	ASNA	SS	25,000	30.94	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		ASNA	S	10,000	31.10	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ASNA	S	20,000	30.61	n/a	n/a	non-brg	4.0
MILLENIUM PARTNERS	028	AXL	B	25,000	14.62	n/a	9-Feb	1,000.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	BBW	S	900	7.37	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	BEE	S	750	6.81	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CAR	S	50,000	16.44	n/a	n/a	non-brg	4.0
WALL STREET CAPITAL	084	CLF	B	25,700	100.38	n/a	n/a	1,156.50	4.5
WALL STREET CAPITAL	084	CMI	S	23,500	111.73	n/a	2-Feb	1,057.50	4.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOSEPH CIRO AMATURO	FID	COSI	S	3700	1.51	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	CSCO	B	25,000	18.71	n/a	n/a	1,250.00	5.0
COLUMBUS CAP MGMT LLC	084	CVGI	B	21,200	16.62	n/a	n/a	1,060.00	5.0
f IAN L MILLER	FID	CVGI	B	75	16.26	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CVGI	B	1200	15.79	n/a	n/a	0.00	0.0
f RAVI SADARANGANI	FID	CVGI	B	1500	15.52	n/a	n/a	0.00	0.0
f ROHINI T SADARANGANI	FID	CVGI	B	1000	16.08	n/a	n/a	0.00	0.0
f THOMAS MACTAGGART LE	FID	CVGI	B	500	15.98	n/a	n/a	0.00	0.0
THOMPSON SIEGAL&WALMSLEY	029	C	B	295,600	4.90	n/a	16-Feb	11,824.00	4.0
MILLENIUM PARTNERS	028	DAN	S	130,000	18.74	n/a	31-Jan	5,200.00	4.0
B BUCK CAPITAL MGMT		DECK	S	30,000	88.77	n/a	n/a	non-brg	2.5
f JOSEPH CIRO AMATURO	FID	EWZ	B	2000	74.39	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	FINL	S	1000	17.52	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	FINL	S	1000	17.54	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOR	S	20,000	20.00	n/a	7-Feb	non-brg	2.5
f KAREN F LEDDY	FID	FOR	S	1100	19.21	n/a	7-Feb	0.00	0.0
B BUCK CAPITAL MGMT		FOSL	S	10,000	77.87	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GIL	S	10,000	30.38	n/a	9-Feb	300.00	3.0
f KAREN F LEDDY	FID	GMCR	B	500	41.02	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARRIER HAWK MGMT	028	GM	S	29,000	36.42	n/a	2-Feb	1,160.00	4.0
PHILADELPHIA FINANCIAL	033	GM	SS	38,755	36.42	n/a	2-Feb	1,550.20	4.0
MADISON ST PARTNERS LLC	046	GT	S	159,700	14.85	n/a	n/a	7,985.00	5.0
SAXON WOODS ADVISORS	048	HAS	B	179,959	45.55	n/a	n/a	5,398.77	3.0
PAULSON & CO INC	041	HCP	S	100,000	37.20	n/a	n/a	3,000.00	3.0
CSFB GLOBAL PROPRIETARY	041	IP	S	76,455	30.04	n/a	3-Feb	3,822.75	5.0
NEWLAND CAPITAL MGT	025	IR	B	50,000	46.83	n/a	10-Feb	2,000.00	4.0
THOMPSON SIEGAL&WALMSLEY	029	IR	B	98,600	46.79	n/a	10-Feb	3,944.00	4.0
JET CAPITAL/CHRIS	041	JCG	S	100,000	43.60	n/a	n/a	3,000.00	3.0
B BUCK CAPITAL MGMT		JOSB	S	20,000	46.50	n/a	n/a	non-brg	4.0
DENVER INST. ADV	046	JOSB	S	100,000	46.53	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		JWN	B	20,000	46.46	n/a	7-Feb	non-brg	1.0
f CHRISTOPHER ROBERT C	FID	KMT	B	125	40.49	n/a	28-Jan	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	KR	S	596,000	23.01	n/a	n/a	23,840.00	4.0
CASTLE ARK	031	KSS	B	137,225	53.46	n/a	7-Feb	6,861.25	5.0
f JOHN MICHAEL ZOLIDIS	FID	KSWS	B	1000	10.67	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	KSWS	B	1000	10.68	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	LEA	S	112,550	112.03	n/a	2-Feb	3,376.50	3.0
B BUCK CAPITAL MGMT		LEN	S	20,000	21.25	n/a	n/a	non-brg	4.0
f IAN L MILLER	FID	LLY	B	30	34.73	n/a	n/a	0.00	0.0
M&I FUNDS	047	MA	S	10,000	251.22	n/a	17-Feb	400.00	4.0
RBC CAPITAL MARKETS	040	MGM	S	50,000	14.91	n/a	14-Feb	2,000.00	4.0
BASIX	033	MTW	S	33,000	20.85	n/a	2-Feb	990.00	3.0
RIDGECREST INV MGMT LLC	028	MWV	B	10,000	30.81	n/a	26-Jan	500.00	5.0
EPOCH INV MGMT	030	NST	S	1,000	44.45	n/a	n/a	30.00	3.0
B BUCK CAPITAL MGMT		ODFL	B	10,000	31.45	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		OXM	B	10,000	24.44	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	OXY	S	750	105.52	n/a	n/a	22.50	3.0
B BUCK CAPITAL MGMT		PAG	S	10,000	21.01	n/a	17-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		PAG	S	105,400	20.85	n/a	17-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		PAG	S	150,000	20.93	n/a	17-Feb	non-brg	4.0
COOPER CREEK PART	028	PAG	SS	25,000	20.96	n/a	17-Feb	1,000.00	4.0
f REUBEN D DVORETSKY	FID	PDLI	B	600	4.96	n/a	n/a	0.00	0.0
PNC CAPITAL ADV	028	PFE	B	7,985	19.35	n/a	n/a	319.40	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	PFM	S	4,000	14.60	n/a	n/a	200.00	5.0
PNC CAPITAL ADV	028	PG	B	2,100	64.00	n/a	28-Jan	84.00	4.0
HUNTER GLOBAL INVESTOR	028	PH	SS	13,569	91.19	n/a	21-Jan	678.45	5.0
BMO NESBITT BURNS	041	PKG	S	8,000	30.29	17-Feb	17-Feb	640.00	8.0
COUGAR TRADING	044	PKG	B	10,000	30.43	17-Feb	17-Feb	500.00	5.0
COUGAR TRADING	044	PKG	SS	10,000	30.10	17-Feb	17-Feb	500.00	5.0
LATEFF MGMT	035	PKG	S	4,000	30.40	17-Feb	17-Feb	440.00	11.0
SCHOTTENFELD ASSOC	041	PKG	B	1,915	30.10	17-Feb	17-Feb	57.45	3.0
EPOCH INV MGMT	030	PNC	S	550	64.18	n/a	n/a	16.50	3.0
f FRANCIS MICHAEL MCCA	FID	PRIM	B	750	8.90	n/a	n/a	0.00	0.0
f MARY B MCCARTAN	FID	PRIM	B	500	8.92	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PVH	B	20,000	59.52	n/a	1-Feb	600.00	3.0
B BUCK CAPITAL MGMT		PVH	B	14,000	58.88	n/a	1-Feb	non-brg	4.0
EPOCH INV MGMT	030	PX	S	950	97.13	n/a	26-Jan	28.50	3.0
EPOCH INV MGMT	030	Q	S	5,450	6.97	n/a	n/a	163.50	3.0
EPOCH INV MGMT	030	RE	S	600	88.53	n/a	n/a	18.00	3.0
B BUCK CAPITAL MGMT		SKS	C	100,000	12.95	n/a	n/a	non-brg	1.0
f IAN L MILLER	FID	SRI	B	75	16.05	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK LEDDY	035	S	B	40,000	4.46	n/a	n/a	1,600.00	4.0
FIRST NEW YORK NICK	029	S	B	20,000	4.43	n/a	n/a	1,000.00	5.0
MERRILL ASSET/BLACKROCK	266	THFF	S	255	32.03	n/a	n/a	10.20	4.0
EPOCH INV MGMT	030	TJX	S	1,450	49.41	n/a	7-Feb	43.50	3.0
EPOCH INV MGMT	030	TMO	S	1,500	56.75	n/a	n/a	45.00	3.0
B BUCK CAPITAL MGMT		TOL	S	20,000	22.28	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	TXN	S	2,400	36.17	n/a	n/a	72.00	3.0
PNC CAPITAL ADV	028	T	B	3,792	28.51	n/a	n/a	151.68	4.0
f JOSEPH CIRO AMATURO	FID	UNG	B	10000	5.30	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	USA	S	5000	5.36	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	VFC	SS	10,000	87.32	n/a	20-Jan	500.00	5.0
PNC CAPITAL ADV	028	VZ	B	3,100	36.40	n/a	n/a	124.00	4.0
PNC CAPITAL ADV	028	WFC	B	4,359	32.96	n/a	14-Feb	174.36	4.0
PATTEN & PATTEN	025	WPS	S	1,000	34.79	n/a	n/a	50.00	5.0
f EDWARD W WHEELER	FID		B	100000	100.60	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

111,389.51

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/16	SCOPUS FUND	041	DAN	S	30,000	18.49	n/a	31-Jan	1200.00 4.0
AO,CRT	02/16	SCOPUS FUND	041	DAN	S	30,000	18.49	n/a	31-Jan	1200.00 4.0
AO,CXL	02/16	BUCK CAPITAL MGMT	001	WRC	S	23,200	53.97	n/a	20-Jan	696.00 3.0
AO,CRT	02/16	BUCK CAPITAL MGMT	001	WRC	S	23,200	53.97	n/a	20-Jan	696.00 3.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date) :	111,389.51
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS :	111,389.51
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	02/16	BUCK CAPITAL MGMT.	MVRX	C	31,800	222.41	n/a	n/a	7072.76
CRT	02/16	BUCK CAPITAL MGMT	MVRX	C	31,800	222.49	n/a	n/a	7075.07 22.2

MRI Securities with Trade Activity on 17-Feb.

PKG

MRI Securities without Trade Activity on 17-Feb.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 8%

YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)