



Daily Compliance Activity Report

Trade Date: 04/23/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AAN	B	20,000	27.89	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	ABG	B	100,000	7.83	n/a	20-Apr	3,000.00	3.0
B BUCK CAPITAL MGMT		ABI BB	C	149,161	29.36	n/a	n/a	non-brg	4.4
CENTURION GLOBAL MGMT LL	041	ADI	S	30,000	20.75	n/a	n/a	900.00	3.0
B BUCK CAPITAL MGMT		ADS GR	C	196,243	39.35	n/a	n/a	non-brg	5.9
BALYASNY ASSET MGMT	044	AEO	S	30,000	14.87	20-Apr	20-Apr	1,500.00	5.0
f HARALAMBOS EFSTATHI	FID	AGN	S	200	46.72	24-Mar	21-Apr	0.00	0.0
BALYASNY ASSET MGMT	044	AN	SS	25,000	16.91	30-Jan	20-Apr	1,250.00	5.0
MILLENIUM PARTNERS	028	AN	B	40,000	16.96	30-Jan	20-Apr	1,600.00	4.0
f BRIAN W CRAGO	FID	ATVI	B	250	9.97	n/a	n/a	0.00	0.0
f ALEXANDER PRYLUCKI	FID	BAC	S	400	8.86	2-Mar	20-Apr	0.00	0.0
B BUCK CAPITAL MGMT		BBBY	C	20,000	29.83	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	BBY	S	40,080	40.18	n/a	27-Jul	1,603.20	4.0
NORTHPOINT	030	BCR	S	1,600	70.26	n/a	22-Apr	64.00	4.0
THE JAY GOLDMAN	034	BJ	B	50,000	31.65	n/a	27-Jul	2,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		BKC	B	40,000	18.32	16-Apr	16-Apr	non-brg	4.0
EPOCH INV MGMT	030	BK	S	80,000	26.04	22-Apr	22-Apr	3,200.00	4.0
B BUCK CAPITAL MGMT		BRBY LN	C	396,460	5.90	n/a	n/a	non-brg	0.9
THE JAY GOLDMAN	034	BRCM	B	10,000	22.97	n/a	n/a	400.00	4.0
TRELLUS PARTNERS LP	028	BWA	SS	5,000	26.32	12-Mar	20-Apr	250.00	5.0
NEWCASTLE FUNDS	033	CAKE	C	95,600	15.14	n/a	21-Apr	3,824.00	4.0
B BUCK CAPITAL MGMT		CBRL	C	20,000	34.43	n/a	n/a	non-brg	4.0
f JASON ERIC COHEN	FID	CCI	B	60	22.48	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CCL	C	234,313	27.65	n/a	26-Jan	non-brg	4.0
THE JAY GOLDMAN	034	CEG	S	15,000	22.90	n/a	n/a	600.00	4.0
COLUMBIA PARTNERS	028	CHS	C	45,824	7.51	5-Dec	30-Jan	1,374.72	3.0
BUCK CAPITAL MGMT	001	CIT	B	200,000	2.97	23-Apr	23-Apr	6,000.00	3.0
BUCK CAPITAL MGMT	001	COH	S	100,000	22.10	9-Jan	22-Apr	4,000.00	4.0
THE JAY GOLDMAN	034	COH	B	30,000	22.11	9-Jan	22-Apr	1,200.00	4.0
TROUBH PART	067	COH	B	20,000	21.85	9-Jan	22-Apr	1,000.00	5.0
f JOEL GIFFORD TISS	FID	COP	B	700	39.66	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	034	COST	SS	16,000	46.79	n/a	27-Jul	640.00	4.0
CATAPULT	040	CW	SS	7,839	31.38	n/a	n/a	391.95	5.0
SONTERRA CAP	041	CX	B	30,000	7.94	n/a	n/a	900.00	3.0
f BRIAN W CRAGO	FID	C	B	500	3.17	n/a	20-Apr	0.00	0.0
NORTHPOINT	030	DDR	S	1,870	3.16	n/a	n/a	56.10	3.0
B BUCK CAPITAL MGMT		DECK	B	35,000	63.32	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		DECK	S	20,000	63.37	n/a	n/a	non-brg	1.0
MAZAMA CAPITAL MGMT.	035	DECK	S	30,730	62.85	n/a	n/a	1,229.20	4.0
B BUCK CAPITAL MGMT		DHI	S	4,000	12.11	n/a	n/a	non-brg	1.0
Õ Steven Maguire		DHI	S	4000	12.09	n/a	n/a	0.00	0.0
f ALEXANDER PRYLUCKI	FID	DIA	S	50	79.56	n/a	n/a	0.00	0.0
GENERAL MOTORS INV CO	040	DRE	S	38,600	8.74	n/a	n/a	1,544.00	4.0
B BUCK CAPITAL MGMT		DRI	C	40,000	40.21	19-Mar	19-Mar	non-brg	4.0
THIRD COAST CAPITAL	035	DSW	SS	20,000	9.82	24-Mar	17-Apr	1,000.00	5.0
B BUCK CAPITAL MGMT		EAT	C	13,000	18.71	n/a	24-Apr	non-brg	1.0
BALYASNY ASSET MGMT	044	ETH	C	25,000	11.14	n/a	n/a	1,250.00	5.0
UBS OCONNOR	031	EV	C	79,500	25.01	7-Apr	20-Apr	2,385.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOEL GIFFORD TISS	FID	FAZ	B	2000	9.92	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	FAZ	B	3000	9.55	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	FDX	B	95,000	54.09	n/a	n/a	3,800.00	4.0
B BUCK CAPITAL MGMT		FOSL	S	20,000	18.85	23-Jan	30-Jan	non-brg	4.0
FRONTPOINT/COPIA-ANDREW	031	FST	S	63,400	15.84	n/a	n/a	2,219.00	3.5
BUCK CAPITAL MGMT	001	GES	S	20,000	24.12	n/a	n/a	800.00	4.0
MACKLOWE ASSET MGMT	041	GES	B	10,000	23.72	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		GIII	S	2,400	7.50	n/a	n/a	non-brg	1.5
B BUCK CAPITAL MGMT		GIII	S	99,800	7.51	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GIL	B	20,000	12.35	11-Dec	14-Apr	non-brg	4.0
BASIX	033	GNTX	SS	42,000	12.21	30-Jan	23-Apr	1,260.00	3.0
TRELLUS PARTNERS LP	028	GNTX	SS	8,900	12.41	30-Jan	23-Apr	445.00	5.0
BALYASNY ASSET MGMT	044	GPC	SS	20,000	33.71	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		GPS	B	80,000	14.88	n/a	30-Jan	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	041	GPS	B	50,000	14.67	n/a	30-Jan	2,500.00	5.0
B BUCK CAPITAL MGMT		GQR+SW[GRMN]	SS	1,000	0.85	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		GRMN	SS	50,000	21.90	n/a	n/a	non-brg	2.0
f ALEXANDER PRYLUCKI	FID	GS	S	55	121.43	n/a	14-Apr	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f RAGHAV NAYAR	FID	GWGI	S	1000	0.28	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GYMB	S	100,000	31.52	9-Apr	13-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		GYMB	S	20,000	31.13	9-Apr	13-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		HBI	B	50,000	13.79	n/a	n/a	non-brg	4.0
WAGONHOUND/NIC	048	HSC	B	14,700	26.02	29-Jan	16-Apr	588.00	4.0
f CHRISTOPHER ARTHUR K	FID	HUOQF	B	30	1.10	n/a	n/a	0.00	0.0
CATAPULT	040	HXL	S	16,859	8.46	n/a	n/a	842.95	5.0
ß BUCK CAPITAL MGMT		IGT	C	50,000	11.65	n/a	4-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		IMAX	B	100,000	6.33	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		IMAX	B	50,000	6.36	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		IMAX	B	50,000	6.42	n/a	n/a	non-brg	3.0
THOMAS WEISEL ASSET MGMT	035	IPI	S	3,170	19.11	n/a	n/a	95.10	3.0
CSFB GLOBAL PROPRIETARY	041	IP	SS	24,500	9.49	n/a	20-Apr	1,225.00	5.0
ß BUCK CAPITAL MGMT		ITX SM	C	68,570	43.84	n/a	n/a	non-brg	6.6
f ALEXANDER PRYLUCKI	FID	IYF	S	60	38.78	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	B	50,000	26.04	13-Apr	23-Apr	2,000.00	4.0
JP MORGAN VENTURES	046	JCP	S	40,000	26.33	13-Apr	23-Apr	2,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f ALEXANDER PRYLUCKI	FID	JPM	S	100	33.30	16-Jan	16-Apr	0.00	0.0
BALYASNY ASSET MGMT	044	JWN	B	20,000	21.02	20-Feb	13-Apr	1,000.00	5.0
THE JAY GOLDMAN	034	JWN	S	25,000	21.05	20-Feb	13-Apr	1,000.00	4.0
BMO NESBITT BURNS	041	KNX	SS	25,000	17.32	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		LEN	S	20,000	8.39	n/a	n/a	non-brg	3.0
THE JAY GOLDMAN	034	LIFE	B	10,000	30.37	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	LTD	B	20,000	10.69	8-Jan	30-Jan	800.00	4.0
LUCID PARTNERS	067	LTD	B	6,000	10.47	8-Jan	30-Jan	240.00	4.0
B BUCK CAPITAL MGMT		MDC	S	5,000	34.74	n/a	n/a	non-brg	4.0
MAZAMA CAPITAL MGMT.	035	MDC	B	15,585	33.53	n/a	n/a	623.40	4.0
B BUCK CAPITAL MGMT		MDTL	C	3,500	0.37	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	MGM	B	30,000	5.73	n/a	2-Apr	1,200.00	4.0
f CHRISTOPHER ARTHUR K	FID	MHGC	B	2000	4.20	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	MMM	B	10,000	53.38	n/a	17-Mar	400.00	4.0
B BUCK CAPITAL MGMT		MVRX	SS	60,000	118.40	n/a	n/a	non-brg	11.8
f HARALAMBOS EFSTATHI	FID	MWA	B	1500	3.63	n/a	4-Feb	0.00	0.0
BMO NESBITT BURNS	041	MWV	B	25,000	14.45	28-Jan	20-Apr	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	MWV	B	125,000	14.50	28-Jan	20-Apr	6,250.00	5.0
SCHOTTENFELD ASSOC	041	MWV	B	30,000	14.40	28-Jan	20-Apr	1,200.00	4.0
SCOPUS FUND	041	MWV	B	31,100	14.37	28-Jan	20-Apr	1,555.00	5.0
WASHINGTON ASSET	048	MWV	B	10,000	14.06	28-Jan	20-Apr	500.00	5.0
THIRD COAST CAPITAL	035	M	SS	18,000	12.15	n/a	13-Apr	900.00	5.0
BUCK CAPITAL MGMT	001	NKE	S	20,000	54.77	n/a	n/a	800.00	4.0
CR INTRINIC INVESTMENT	044	NTY	S	20,000	18.48	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		ORI SS	C	24,790	38.84	n/a	n/a	non-brg	5.8
BUCK CAPITAL MGMT	001	PAG	B	130,000	12.45	n/a	23-Apr	5,200.00	4.0
B BUCK CAPITAL MGMT		PAG	B	10,000	12.91	n/a	23-Apr	non-brg	2.0
COOPER CREEK PART	028	PAG	B	10,000	12.44	n/a	23-Apr	400.00	4.0
MILLRACE FUND LP	028	PAG	B	10,800	12.32	n/a	23-Apr	432.00	4.0
B BUCK CAPITAL MGMT		PENN	S	51,700	30.09	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PENN	S	90,000	29.81	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		PERY	B	3,531	5.85	n/a	n/a	non-brg	1.0
NICOLAS APPEGATE	048	PERY	B	5,800	5.92	n/a	n/a	174.00	3.0
B BUCK CAPITAL MGMT		PKG	C	10,000	14.69	8-Apr	21-Apr	non-brg	1.0
B BUCK CAPITAL MGMT		PKG	C	15,000	14.65	8-Apr	21-Apr	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	SS	23,250	14.86	8-Apr	21-Apr	1,162.50	5.0
NEUBERGER BERMAN	036	PLD	S	7,100	7.31	n/a	n/a	213.00	3.0
f DAVID GEORGE BUCK	FID	PMRO	S	7	1.35	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	PM	B	500	36.87	n/a	n/a	0.00	0.0
CATAPULT	040	POT	B	21,000	81.00	n/a	n/a	1,050.00	5.0
MILLRACE FUND LP	028	PRX	B	1,900	10.70	14-Apr	21-Apr	76.00	4.0
JP MORGAN VENTURES	046	PVH	S	75,000	28.76	20-Apr	20-Apr	3,750.00	5.0
FIRST NEW YORK NICK	029	Q	S	30,000	3.55	n/a	n/a	1,500.00	5.0
B BUCK CAPITAL MGMT		RIG	S	7,500	64.72	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		RL+SH[RL]	SS	1,000	1.80	n/a	n/a	non-brg	300.0
TROUBH PART	067	RL	B	20,000	51.90	n/a	16-Apr	1,000.00	5.0
B BUCK CAPITAL MGMT		RYL	S	10,000	21.01	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SAB LN	C	99,100	15.44	n/a	n/a	non-brg	2.3
B BUCK CAPITAL MGMT		SAI	SS	130,000	17.52	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SAI	SS	70,000	17.60	n/a	n/a	non-brg	2.5
f DAVID GEORGE BUCK	FID	SEP	B	1000	21.92	n/a	n/a	0.00	0.0
NATIONAL BK OF CAN	031	SF	B	8,000	47.78	n/a	n/a	320.00	4.0
f WILLIAM J GAULT	FID	SKF	B	1000	59.27	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	SKS	S	35,000	4.05	n/a	n/a	1,750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		SMG	B	50,000	37.90	5-Feb	15-Apr	non-brg	4.0
f HARALAMBOS EFSTATHI	FID	SMG	B	200	38.03	5-Feb	15-Apr	0.00	0.0
f ALEXANDER PRYLUCKI	FID	SPY	S	35	85.23	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SSO	S	1000	22.02	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	SWK	B	21,000	33.32	n/a	n/a	840.00	4.0
CUMBERLAND	038	S	SS	50,000	3.95	n/a	n/a	1,500.00	3.0
AVESTA CAP	229	TGT	SS	50,000	38.25	25-Feb	22-Apr	2,000.00	4.0
B BUCK CAPITAL MGMT		TIN	S	100,000	10.34	5-Dec	22-Apr	non-brg	2.5
CSFB GLOBAL PROPRIETARY	041	TIN	SS	22,000	9.86	5-Dec	22-Apr	1,100.00	5.0
MILLENIUM PARTNERS	028	TIN	S	50,000	9.85	5-Dec	22-Apr	2,500.00	5.0
MAZAMA CAPITAL MGMT.	035	TRLG	S	11,115	16.55	n/a	n/a	444.60	4.0
GRUBER MACBAINE	033	TROW	SS	20,000	37.60	n/a	22-Apr	800.00	4.0
BARROW HANLEY MEWHINNEY	031	T	S	82,800	25.40	n/a	4-Jan	3,312.00	4.0
B BUCK CAPITAL MGMT		UNFI	C	71,462	22.20	n/a	n/a	non-brg	2.5
f ANDREW SINCLAIR	FID	UPLUZ	B	50	3.04	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		URBN	B	20,000	16.64	n/a	30-Jan	non-brg	4.0
NEUBERGER BERMAN	036	VTR	S	16,300	27.05	n/a	n/a	733.50	4.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARROW HANLEY MEWHINNEY	031	VZ	S	25,400	30.75	n/a	4-Jan	1,016.00	4.0
f ANDREW SINCLAIR	FID	WBAAQ	S	50	3.10	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WFMI	C	25,000	19.06	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WFMI	C	25,000	19.30	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	WMT	S	50,000	48.59	n/a	9-Apr	2,000.00	4.0
THE JAY GOLDMAN	034	WMT	SS	7,500	48.86	n/a	9-Apr	300.00	4.0
B BUCK CAPITAL MGMT		WSM	C	100,000	13.46	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WSO	S	10,000	40.93	n/a	n/a	non-brg	4.0
BARROW HANLEY MEWHINNEY	031	WYE	S	54,800	42.25	n/a	31-Mar	2,192.00	4.0
GENERAL MOTORS INV CO	040	YHOO	S	13,800	14.50	n/a	n/a	552.00	4.0
TOTAL (Trade Date) :								119,823.22	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/22	STELLIAM INV ADV	040	DAL	S	50,000	7.91	n/a	n/a	2500.00 5.0
AO,CRT	04/22	STELLIAM INV ADV	040	DAL	S	50,000	7.91	n/a	n/a	1500.00 3.0
AO,	04/22	NOMURA CAPITAL	045	F	S	194,016	4.29	n/a	23-Apr	3880.32 2.0
AO,	04/22	LUCID PARTNERS	067	LTD	B	1,900	10.49	8-Jan	30-Jan	76.00 4.0
AO,	04/22	GENERAL MOTORS INV CO	040	MAC	B	120,000	15.24	n/a	n/a	4800.00 4.0
AO,	04/22	AELTUS/ING IVESTMENT MGT	215	MRK	S	179,250	23.07	n/a	n/a	6273.75 3.5
AO,CXL	04/20	CANTILLON CAPITAL	040	PG	S	384,800	51.36	12-Mar	20-Mar	19240.00 5.0
AO,CRT	04/20	CANTILLON CAPITAL	040	PG	S	384,800	51.36	12-Mar	20-Mar	15392.00 4.0
AO,CXL	04/22	STELLIAM INV ADV	040	SNDK	S	25,000	15.38	n/a	n/a	1250.00 5.0
AO,CRT	04/22	STELLIAM INV ADV	040	SNDK	S	25,000	15.38	n/a	n/a	1250.00 5.0
AO,CRT	04/22	AVESTA CAP	229	XOM	SS	25,000	65.23	n/a	n/a	1000.00 4.0
AO,CXL	04/22	AVESTA CAP	229	XOM	SS	24,700	65.23	n/a	n/a	988.00 4.0
AO,CXL	04/22	THIRD POINT MGMT	044	YHOO	B	250,000	15.02	n/a	n/a	10000.00 4.0
AO,CRT	04/22	THIRD POINT MGMT	044	YHOO	B	250,000	15.02	n/a	n/a	7500.00 3.0
TOTAL (AO, CXL, CRT) :										7,694.07

TOTAL (Trade Date):	119,823.22
TOTAL (AO, CXL, CRT):	7,694.07

TOTAL (RUN DATE) / NFS:	127,517.29
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MRI Securities with Trade Activity on 23-Apr. CIT
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MRI Securities without Trade Activity on 23-Apr. CMG, KMB, PFCB, SLM

BRG comm. as a % of BCM Total Comm. paid. T-Date = 21% YTD = 22%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)