



Daily Compliance Activity Report

Trade Date: 06/17/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SOROS	036	ALJ	SS	2,879	6.74	n/a	n/a	86.37	3.0
ROYCE TRADING	012	ARM	S	5,000	15.51	n/a	n/a	250.00	5.0
LAGRANGE CAP ADV	048	AXL	B	30,000	9.19	n/a	3-May	1,500.00	5.0
SLS CAPITAL LLC	224	AXL	B	53,500	9.30	n/a	3-May	1,605.00	3.0
BUCK CAPITAL MGMT	001	BAC	S	11,900	15.88	n/a	15-Jun	476.00	4.0
HUNTER GLOBAL INVESTOR	067	BBBY	S	116,400	43.45	n/a	n/a	4,656.00	4.0
PILOT ADV	242	BEN	SS	8,500	92.57	n/a	2-Mar	340.00	4.0
BUCK CAPITAL MGMT	001	BJ	SS	10,000	39.93	n/a	n/a	400.00	4.0
ROYCE TRADING	012	BWS	S	5,000	17.70	n/a	n/a	250.00	5.0
COOPER CREEK PART	028	CCL	B	10,000	36.14	n/a	n/a	400.00	4.0
CATAPULT	040	CMA	B	5,000	38.02	n/a	n/a	250.00	5.0
THE JAY GOLDMAN	028	COST	B	15,000	58.57	n/a	n/a	600.00	4.0
THE JAY GOLDMAN	028	COST	SS	10,000	58.21	n/a	n/a	400.00	4.0
ROYCE TRADING	012	CR	S	5,000	33.85	n/a	n/a	250.00	5.0
EXIS CAPITAL	044	CTSH	S	20,000	53.92	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	012	CTS	S	5,500	10.49	n/a	n/a	275.00	5.0
PILOT ADV	242	CYN	SS	8,000	56.50	n/a	n/a	320.00	4.0
SIGMA CAP BOBBY	044	DAN	S	100,000	11.32	n/a	30-Apr	3,500.00	3.5
PROSPECTOR PARTNERS LLC	036	FAF	B	10,000	13.39	n/a	n/a	400.00	4.0
ROYCE TRADING	012	FOE	S	5,000	8.49	n/a	n/a	250.00	5.0
ROYCE TRADING	012	FUL	S	500	21.96	n/a	n/a	25.00	5.0
RBC CAPITAL MARKETS	040	F	SS	50,000	11.44	n/a	3-Jun	2,000.00	4.0
EXIS CAPITAL	044	GDX	B	10,000	52.93	n/a	n/a	500.00	5.0
EXIS CAPITAL	044	GES	B	25,000	34.29	n/a	28-May	1,250.00	5.0
ROYCE TRADING	012	GFF	S	5,000	12.05	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	GIL	S	50,000	31.76	n/a	14-May	2,000.00	4.0
ROYCE TRADING	012	GPI	S	5,000	28.38	n/a	28-Apr	250.00	5.0
PILOT ADV	242	HD	SS	9,500	31.50	n/a	19-May	380.00	4.0
THE JAY GOLDMAN	028	HOT	S	5,000	48.87	n/a	n/a	200.00	4.0
ROYCE TRADING	012	HYC	S	500	4.78	n/a	n/a	15.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JNY	B	10,000	19.55	n/a	14-May	400.00	4.0
BUCK CAPITAL MGMT	001	KMX	SS	30,000	20.87	n/a	n/a	1,200.00	4.0
THE JAY GOLDMAN	028	KSS	B	10,000	52.32	n/a	4-Jun	400.00	4.0
PILOT ADV	242	LOW	SS	13,000	22.52	n/a	17-May	520.00	4.0
FIRST NEW YORK LEDDY	035	LVLTT	S	25,000	1.25	n/a	n/a	1,000.00	4.0
THE JAY GOLDMAN	028	LVS	SS	10,000	26.53	n/a	15-Jun	400.00	4.0
EXIS CAPITAL	044	M	B	10,000	21.44	n/a	4-Jun	500.00	5.0
MTB INV ADV	034	NFLX	S	3,325	125.62	n/a	n/a	166.25	5.0
CIRCLE T/SES PART	035	PAG	S	25,000	12.90	n/a	3-May	1,000.00	4.0
CAVU CAP ADV	028	PIR	S	85,000	8.10	n/a	n/a	4,250.00	5.0
SONTERRA CAP	041	RIG	S	65,000	49.02	n/a	n/a	2,600.00	4.0
CASTLEROCK	040	RRD	SS	77,786	17.97	n/a	n/a	3,111.44	4.0
WHITE PINE CAPITAL	030	RTIX	B	66,975	3.24	n/a	n/a	2,009.25	3.0
CATAPULT	040	SCHW	S	25,000	15.32	n/a	n/a	1,000.00	4.0
SOROS	036	SGY	S	2,500	14.50	n/a	n/a	100.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	SKS	SS	50,000	9.01	n/a	n/a	2,000.00	4.0
ROYCE TRADING	012	SOA	S	12,500	15.37	n/a	n/a	625.00	5.0
SENATOR INVESTMENT GROUP	036	SUN	SS	100,000	34.39	n/a	n/a	3,000.00	3.0
BUCK CAPITAL MGMT	001	SWY	SS	20,000	21.58	n/a	n/a	800.00	4.0
COUGAR TRADING	044	TM	B	5,000	72.75	n/a	n/a	250.00	5.0
MTB INV ADV	034	TPX	S	7,250	34.99	n/a	n/a	362.50	5.0
EXIS CAPITAL	044	UA	B	10,000	37.19	n/a	28-Apr	500.00	5.0
SIGMA CAPITAL ASSOCIATES	041	WMT	B	25,000	51.21	n/a	19-May	875.00	3.5
BROADARCH CAP/OLD NEWC	070	WYNN	B	3,500	85.60	n/a	15-Jun	140.00	4.0
COBALT	041	X	SS	50,000	45.07	n/a	n/a	2,500.00	5.0
TOTAL (Trade Date) :								53,787.81	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/16	GREEN ARROW DOUG (GADRMLICS)	040	AA	B	50,000	11.47	n/a	n/a	2500.00 5.0
AO,CRT	06/16	MILLENIUM PARTNERS (MILPMLICS)	028	AA	B	50,000	11.47	n/a	n/a	2000.00 4.0
CRT	06/17	HUNTER GLOBAL INVESTOR (HUNTDEUTSC)	067	BBBY	S	61,403	43.45	n/a	n/a	2456.12 4.0
CRT	06/17	COOPER CREEK PART (COOPJPM)	028	CCL	B	688	36.14	n/a	n/a	27.52 4.0
CRT	06/17	COOPER CREEK PART (COOPGS)	028	CCL	B	9,312	36.14	n/a	n/a	372.48 4.0
CRT	06/17	THE JAY GOLDMAN (GOLDGSBULK)	028	COST	B	15,000	58.57	n/a	n/a	600.00 4.0
AO,CXL	06/16	SONTERRA CAP (SONTMSBULK)	041	PDS	B	54,615	7.67	n/a	n/a	2184.60 4.0
AO,CRT	06/16	SONTERRA CAP (SONTMSBULK)	041	PDS	B	54,615	7.67	n/a	n/a	1638.45 3.0

TOTAL (AO, CXL, CRT) :	2,409.97
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TOTAL (Trade Date) :	53,787.81
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TOTAL (AO, CXL, CRT) :	2,409.97
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TOTAL (RUN DATE) / NFS :	56,197.78
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION