



Daily Compliance Activity Report

Trade Date: 04/03/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	AAI	B	3,350	10.72	n/a	n/a	0.00	0.0
BARR	096	AAI	B	1,100	10.74	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	20,000	8.50	n/a	n/a	800.00	4.0
GALLEON MGMT LP	033	AMG	SS	10,000	110.07	5-Mar	28-Mar	500.00	5.0
JP MORGAN VENTURES	046	ANF	SS	5,000	78.24	2-Feb	21-Mar	250.00	5.0
COUGAR TRADING	044	ARO	S	5,000	41.81	n/a	16-Mar	250.00	5.0
IRIDIAN ASSET MGMT.	036	ASH	S	8,300	64.35	4-Jan	3-Apr	415.00	5.0
SSI INVESTORS	048	AT	S	34,241	62.50	n/a	n/a	1,369.64	4.0
PHILADELPHIA FINANCIAL	031	AVZ	B	56,300	22.43	5-Mar	28-Mar	2,815.00	5.0
Õ Glenn Redemann		BIDU	B	8	1.3200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BIDU	B	8	1.6100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BIDU	S	8	2.3400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BIDU	S	8	2.3900	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BIG	SS	10,000	33.34	9-Mar	27-Mar	400.00	4.0
IRIDIAN ASSET MGMT.	036	BLL	S	11,800	47.41	n/a	n/a	590.00	5.0
STERLING JOHNSTON	035	BONT	S	3,700	56.99	9-Mar	16-Mar	185.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
XYLEM GLOBAL	091	BOW	B	15,000	23.96	n/a	28-Mar	750.00	5.0
ARIENCE CAPITAL	036	BYD	B	22,725	48.33	21-Feb	21-Feb	1,136.25	5.0
BUCK CAPITAL MGMT	001	CBK	B	20,000	19.88	30-Mar	30-Mar	800.00	4.0
ZOLIDIS	011	CBK	B	1,000	19.76	30-Mar	30-Mar	0.00	0.0
DELTEC ASSET MGMT.	040	CC	B	71,000	18.35	20-Dec	30-Mar	3,550.00	5.0
FORTRESS CAPITAL	040	CC	B	200,000	18.64	20-Dec	30-Mar	10,000.00	5.0
BANK OF NEW YORK	040	CENT	S	12,280	14.58	8-Feb	8-Feb	491.20	4.0
GRANAHAN INV	039	CENT	B	76,900	14.63	8-Feb	8-Feb	3,076.00	4.0
LEDDY	035	CFC	B	300	33.33	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	CHS	B	70,000	25.07	27-Mar	27-Mar	2,800.00	4.0
SUN AMERICA ASSET MGMT	030	CL	B	47,500	66.37	31-Jan	31-Jan	2,375.00	5.0
BUCK CAPITAL MGMT	001	COH	S	10,000	51.13	23-Feb	23-Feb	400.00	4.0
BUCK CAPITAL MGMT	001	CRI	SS	10,000	25.50	n/a	n/a	400.00	4.0
NEUBERGER BERMAN	036	CRL	S	18,900	46.50	n/a	n/a	945.00	5.0
REINHART & MAHONEY	031	CTXS	B	14,170	33.02	n/a	n/a	708.50	5.0
ZOLIDIS	011	CWTR	C	1,000	20.70	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DKS	B	15,000	59.28	n/a	n/a	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND	048	EBAY	B	9,400	33.35	n/a	n/a	376.00	4.0
PRINCIPLE CAPITAL	040	EBHI	B	138,000	11.50	n/a	n/a	4,140.00	3.0
LISTED ERR	099	EBHI	B	2,000	11.45	n/a	n/a	0.00	0.0
LISTED ERR	099	EBHI	S	2,000	11.45	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	ENDP	S	16,870	29.45	23-Feb	2-Apr	843.50	5.0
BUCK CAPITAL MGMT	001	FD	S	135,000	45.59	26-Jan	9-Mar	5,400.00	4.0
COUGAR TRADING	044	FD	S	5,000	45.57	26-Jan	9-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	40,000	41.61	n/a	10-Jan	1,600.00	4.0
CIRCLE T PART	035	GPI	B	25,000	40.55	n/a	n/a	1,000.00	4.0
Õ Glenn Redemann		GRA	B	2	0.9200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GRA	B	2	1.3800	n/a	n/a	0.00	0.0
WAGONHOUND	048	HSC	B	14,100	45.46	2-Apr	2-Apr	564.00	4.0
NEUBERGER BERMAN	036	IHP	B	5,600	59.93	n/a	n/a	280.00	5.0
IRONBOUND CAPITAL	012	IP	SS	25,000	37.51	20-Mar	20-Mar	1,250.00	5.0
EMP ERROR	099	IP	SS	400	37.32	20-Mar	20-Mar	0.00	0.0
ASCEND PARTNERS	033	ISE	B	18,200	48.20	n/a	27-Mar	910.00	5.0
GALLEON MGMT LP	033	IWM	C	5,000	80.04	n/a	n/a	250.00	5.0
WAGONHOUND	048	JCI	B	3,200	95.35	16-Feb	16-Feb	128.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	JCP	B	5,000	83.54	23-Feb	5-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	KCP	B	10,000	26.44	n/a	28-Feb	400.00	4.0
SUN AMERICA ASSET MGMT	030	KFT	S	26,766	30.61	n/a	n/a	1,338.30	5.0
CAMBIAR CAP	046	KMT	S	7,700	67.60	n/a	n/a	308.00	4.0
BALYASNY ASSET MGMT	044	LM	B	5,000	95.85	16-Mar	28-Mar	250.00	5.0
BARR	096	MCO	SS	650	61.13	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	MER	B	1,600	83.90	19-Jan	13-Mar	80.00	5.0
CSFB GLOBAL PROPRIETARY	035	MWV	SS	1,600	31.96	20-Mar	20-Mar	80.00	5.0
CSFB GLOBAL PROPRIETARY	035	MWV	S	18,400	31.95	20-Mar	20-Mar	920.00	5.0
FIRST NEW YORK LEDDY	035	NOK	B	10,000	22.98	n/a	n/a	600.00	6.0
REINHART & MAHONEY	031	NU	S	12,705	33.39	n/a	n/a	635.25	5.0
FORSTMAN LEFF	098	NVS	S	14,800	55.17	n/a	n/a	740.00	5.0
BUCK CAPITAL MGMT	001	OXM	S	5,000	50.66	n/a	n/a	200.00	4.0
CAPITAL GROWTH MGMT.	241	PCU	B	100,000	75.40	n/a	n/a	5,000.00	5.0
KNOTT PARTNERS	044	PRX	B	10,000	25.88	30-Jan	2-Apr	500.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	10,000	59.75	28-Mar	28-Mar	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J L F ASSET MGMT	039	RFMD	B	293,986	6.26	n/a	n/a	11,759.44	4.0
TRIBECA/DOUG	040	RJF	S	40,000	30.01	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	15,000	90.70	2-Feb	16-Feb	600.00	4.0
CASTLEROCK	040	SAP	SS	33,900	45.52	n/a	n/a	1,356.00	4.0
CAMBIAR CAP	046	SCRX	B	29,750	23.36	20-Dec	2-Apr	1,190.00	4.0
REINHART & MAHONEY	031	SFD	B	15,455	30.75	n/a	n/a	772.75	5.0
COUGAR TRADING	044	SKX	SS	5,000	34.01	n/a	13-Mar	250.00	5.0
PILOT ROCK	028	SNDK	S	37,500	43.94	n/a	n/a	1,875.00	5.0
WAGONHOUND	048	TJX	B	10,600	27.36	21-Mar	21-Mar	424.00	4.0
BALYASNY ASSET MGMT	044	TROW	B	1,700	48.20	n/a	28-Mar	85.00	5.0
SANDLER O'NEILL ASSET	031	TROW	S	18,000	48.22	n/a	28-Mar	900.00	5.0
SAC TRADING HOWARD	038	TWB	B	17,000	36.20	22-Feb	22-Feb	850.00	5.0
STERLING JOHNSTON	035	TWB	S	75,000	36.39	22-Feb	22-Feb	3,750.00	5.0
GRISANTI BROWN & PARTNER	048	TWX	S	15,000	20.53	n/a	n/a	750.00	5.0
SINCLAIR	031	VOII	B	30,000	0.26	n/a	n/a	0.00	0.0
LEDDY	035	VOII	B	55,000	0.26	n/a	n/a	0.00	0.0
EFSTATHIOU	044	VOII	B	15,000	0.26	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	WDR	B	20,000	23.73	5-Mar	28-Mar	1,000.00	5.0
BRIGHTPOINT CAP	067	YUM	SS	5,000	58.52	12-Dec	13-Feb	250.00	5.0
S.A.C. CAPITAL MGMT.	044	YUM	B	15,000	58.73	12-Dec	13-Feb	750.00	5.0
TOTAL (Trade Date) :								92,461.83	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/02	BALYASNY ASSET MGMT (BALY)	044	AMG	SS	7,000	107.22	5-Mar	28-Mar	350.00 5.0
AO,	04/02	ARIENCE CAPITAL (ARIEGOLDMA)	036	BYD	B	34,410	47.61	21-Feb	21-Feb	1720.50 5.0
AO,CXL	04/02	EOS PARTNERS (EOSPMAIN A)	040	CME	S	2,000	542.85	n/a	3-Apr	100.00 5.0
AO,	04/02	EOS PARTNERS (EOSPMAIN A)	040	CME	S	1,000	546.00	n/a	3-Apr	50.00 5.0
AO,	04/02	EOS PARTNERS (EOSPMAIN A)	040	CME	S	1,000	539.70	n/a	3-Apr	50.00 5.0
AO,	04/02	NEUBERGER BERMAN (NBER9W16)	036	CRL	S	27,400	46.10	n/a	n/a	1370.00 5.0
AO,	03/29	MKT MAKER (MKT MAKER)	099	EAGL	B	77	39.84	n/a	n/a	0.00 0.0
AO,CXL	03/29	MILLENIUUM PARTNERS (MILP-IAN)	028	EAGL	B	33,577	39.84	n/a	n/a	1343.08 4.0
AO,CRT	03/29	MILLENIUUM PARTNERS (MILP-IAN)	028	EAGL	B	33,500	39.84	n/a	n/a	1340.00 4.0
AO,CXL	04/02	OPTIMUM INV ADV (OPTIPARVES)	030	HRS	S	5,000	51.03	31-Jan	31-Jan	250.00 5.0
AO,CRT	04/02	OPTIMUM INV ADV (OPTIPARVES)	030	HRS	S	5,000	51.03	31-Jan	31-Jan	200.00 4.0
CRT	04/03	EMP ERROR (EMP ERROR)	099	IP	SS	100	37.32	20-Mar	20-Mar	0.00 0.0
CRT	04/03	EMP ERROR (EMP ERROR)	099	IP	SS	300	37.32	20-Mar	20-Mar	0.00 0.0
AO,CXL	04/02	J L F ASSET MGMT (JLFA\$0.04)	039	RFMD	B	30,000	6.19	n/a	n/a	1200.00 4.0
AO,CRT	04/02	J L F ASSET MGMT (JLFA\$0.04)	039	RFMD	B	300,000	6.19	n/a	n/a	12000.00 4.0
AO,	04/02	GALLEON MGMT LP (GALLBOA.05)	033	SCUR	B	100,000	7.54	n/a	n/a	5000.00 5.0
AO,	03/30	ASCEND PARTNERS (ASCEML)	033	TLB	SS	544	23.58	10-Jan	8-Mar	27.20 5.0
AO,	04/02	CAXTON PART (CAXTKFZEMS)	040	VIAB	B	5,133	41.17	n/a	n/a	205.32 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.		
AO,	04/02	CAXTON PART (CAXTKFCIMS)	040	VIAB	B	9,867	41.17	n/a	n/a	394.68	4.0
TOTAL (AO, CXL, CRT) :										19,814.62	
TOTAL (Trade Date) :										92,461.83	
TOTAL (AO, CXL, CRT) :										19,814.62	
TOTAL (RUN DATE) / NFS :										112,276.45	

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION