



Daily Compliance Activity Report

Trade Date: 05/18/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROBX	302	ADS	S	86,500	78.33	n/a	n/a	3,460.00	4.0
⚡ BUCK CAPITAL MGMT		AEO	B	100,000	28.95	4-Jan	18-May	non-brg	5.0
⚡ BUCK CAPITAL MGMT		AEO	B	50,000	29.05	4-Jan	18-May	non-brg	5.0
⚡ BUCK CAPITAL MGMT		AEO	B	70,000	29.05	4-Jan	18-May	non-brg	5.0
SEMINOLE CAP	036	AIG	B	66,900	72.18	n/a	n/a	3,345.00	5.0
ROBX	302	ALK	S	5,000	28.40	n/a	n/a	200.00	4.0
⚡ BUCK CAPITAL MGMT		AMT	B	40,400	40.80	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	ANF	B	10,000	80.63	n/a	17-May	400.00	4.0
BUCK CAPITAL MGMT	001	ARO	B	77,100	46.92	n/a	11-May	3,084.00	4.0
⚡ BUCK CAPITAL MGMT		ARO	S	19,800	46.91	n/a	11-May	non-brg	5.0
STRONG/MARK GRONET	030	ASH	B	15,000	59.72	25-Apr	25-Apr	600.00	4.0
⚡ BUCK CAPITAL MGMT		AVCI	C	20,000	8.31	n/a	n/a	non-brg	1.0
DENVER INST. ADV	048	AVZ	B	23,000	24.55	26-Apr	17-May	920.00	4.0
U.S.TRUST COMPANY	012	AVZ	B	75,000	24.63	26-Apr	17-May	3,375.01	4.5
BELMONT GLOBAL ADV	248	BDSB	B	20,000	9.91	n/a	n/a	1,000.00	5.0
GRUBER MACBAINE	033	BLK	B	10,000	148.48	11-May	17-May	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WILLOW CREEK	033	BUSU	S	50,000	8.05	n/a	n/a	2,000.00	4.0
BARR	096	CCJ	B	300	49.92	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	CC	S	5,000	15.78	1-May	1-May	250.00	5.0
PRIME LOGIC ADV	039	CENT	B	25,000	14.26	8-Feb	8-May	1,250.00	5.0
SAILFISH	035	CMCSA	B	5,000	26.93	5-Apr	9-May	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	COH	C	15,900	47.56	23-Feb	25-Apr	636.00	4.0
B BUCK CAPITAL MGMT		CRI	B	10,000	25.54	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		CWTR	B	70,000	20.01	n/a	n/a	non-brg	5.0
MCCARTAN	028	CWTR	C	200	20.09	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DBRN	B	40,000	21.14	n/a	n/a	non-brg	5.0
MERRILL LYNCH STRATEGICI	034	DBRN	S	5,000	21.09	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		DSW	B	80,000	36.17	7-May	11-May	non-brg	5.0
GAULT	063	DURA OPER CORPB		100,000	38.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FDO	SS	50,000	33.40	19-Apr	11-May	2,000.00	4.0
BUCK CAPITAL MGMT	001	FD	B	95,000	39.17	17-May	17-May	3,800.00	4.0
SIGMA CAPITAL ASSOCIATES	044	FD	SS	50,000	39.11	17-May	17-May	2,500.00	5.0
CAPITAL GROWTH MGMT.	241	FLR	B	15,000	100.02	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		FL	S	40,000	21.83	n/a	11-May	non-brg	5.0
BUCK CAPITAL MGMT	001	GCO	SS	10,000	49.67	n/a	10-Jan	400.00	4.0
SYSTEMATIC FINANCIAL MGT	035	GCO	S	4,900	49.05	n/a	10-Jan	183.75	3.8
WHEELER	008	GHM	B	3,300	17.85	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GIII	B	10,000	19.48	n/a	n/a	non-brg	5.0
SEMINOLE CAP	036	HAL	B	130,900	36.31	n/a	n/a	6,545.00	5.0
DSG CAPITAL	236	HC	B	3,000	22.87	14-Mar	2-May	150.00	5.0
GLG PARTNERS	034	HD	B	50,000	38.61	n/a	16-May	2,500.00	5.0
LISTED ERR	099	HD	B	31,900	38.81	n/a	16-May	0.00	0.0
LISTED ERR	099	HD	SS	31,900	38.61	n/a	16-May	0.00	0.0
POLYGON INVESTMENT	034	HK	S	58,000	15.52	n/a	n/a	2,900.00	5.0
⌘ BUCK CAPITAL MGMT		HLYS	B	10,000	30.41	n/a	n/a	non-brg	5.0
ZOLIDIS	011	HLYS	B	200	30.63	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		ICON	S	40,000	20.11	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		IRF	SS	15,000	35.03	n/a	n/a	non-brg	5.0
MCCARTAN	028	ITCD	B	1,200	5.85	n/a	n/a	0.00	0.0
GRONET	030	ITCD	B	5,000	5.65	n/a	n/a	0.00	0.0
LUCHANSKY	036	ITCD	B	500	5.75	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CAPITAL GROWTH MGMT.	241	JEC	B	2,000	54.65	n/a	n/a	100.00	5.0
EFSTATHIOU	044	KCP	S	200	23.73	n/a	2-May	0.00	0.0
SAILFISH	035	KEY	B	5,000	36.86	n/a	n/a	250.00	5.0
MAZAMA CAPITAL MGMT.	035	KOG	S	58,400	6.26	n/a	n/a	2,336.00	4.0
SANDLER O'NEILL ASSET	031	LEH	B	11,400	73.09	n/a	11-May	570.00	5.0
MCCARTAN	028	LEH	S	100	72.61	n/a	11-May	0.00	0.0
GLG PARTNERS	034	LOW	SS	50,000	32.49	n/a	17-May	2,500.00	5.0
B BUCK CAPITAL MGMT		LTD	S	80,000	25.87	16-May	16-May	non-brg	5.0
SATELLITE ASSET MGMT	012	MAS	B	25,000	29.57	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		MIR	S	56,000	47.10	n/a	n/a	non-brg	5.0
PROSPECTOR PARTNERS LLC	036	MIR	S	10,000	45.67	n/a	n/a	500.00	5.0
CROWLEY	061	MIR	S	500	46.12	n/a	n/a	0.00	0.0
AMATURO	018	MPEL	B	500	14.02	n/a	n/a	0.00	0.0
SECOND CURVE	031	MTG	S	25,000	66.14	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		MVRX	C	20,000	200.59	n/a	n/a	non-brg	20.0
B BUCK CAPITAL MGMT		MVRX	C	75,000	200.59	n/a	n/a	non-brg	20.0
LUCHANSKY	036	NTRZ	B	1,600	3.75	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	OII	B	35,600	49.69	n/a	n/a	1,780.00	5.0
BUCK CAPITAL MGMT	001	OXM	S	15,000	44.70	n/a	n/a	600.00	4.0
CAPITAL GROWTH MGMT.	241	PCP	S	34,700	114.50	n/a	n/a	1,735.00	5.0
SAXON WOODS ADVISORS	038	PDS	S	15,000	26.25	n/a	n/a	750.00	5.0
MAZAMA CAPITAL MGMT.	035	PJC	B	11,100	62.71	n/a	n/a	444.00	4.0
Ⓐ BUCK CAPITAL MGMT		PLCE	S	20,000	51.14	12-Apr	11-May	non-brg	5.0
SECOND CURVE	031	PRAA	S	25,000	51.81	n/a	n/a	1,250.00	5.0
EFSTATHIOU	044	PRX	B	400	28.81	21-May	17-May	0.00	0.0
STANDARD PACIFIC CAPITAL	040	PSS	B	75,000	32.19	n/a	n/a	3,000.00	4.0
MERRILL ASSET	032	PVA	B	13,900	79.81	n/a	n/a	695.00	5.0
MAGUIRE	039	QID	B	100	47.62	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		RTH	C	31,700	103.53	n/a	n/a	non-brg	2.0
Ⓐ BUCK CAPITAL MGMT		RVI	B	15,000	18.22	n/a	n/a	non-brg	2.0
Ⓐ BUCK CAPITAL MGMT		RVI	B	25,000	18.22	n/a	n/a	non-brg	5.0
Ⓐ BUCK CAPITAL MGMT		SBAC	B	57,500	30.55	n/a	n/a	non-brg	5.0
MAGUIRE	039	SDS	B	100	51.48	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	SKX	B	50,000	30.70	n/a	13-Mar	2,000.00	4.0
COUGAR TRADING	044	SKX	B	5,000	30.42	n/a	13-Mar	250.00	5.0
CAXTON PART	040	SNE	C	22,400	55.68	n/a	n/a	896.00	4.0
PROSPECTOR PARTNERS LLC	036	SRP	S	10,000	18.79	n/a	n/a	500.00	5.0
BARR	096	SWIM	SS	800	12.29	n/a	n/a	0.00	0.0
MERRILL ASSET	032	SYK	B	11,000	66.83	n/a	n/a	550.00	5.0
GAULT	063	TFSM	S	1,000	11.74	n/a	n/a	0.00	0.0
MAGUIRE	039	THC	S	700	6.87	n/a	8-May	0.00	0.0
KERN	050	TIN	B	100	62.42	26-Apr	26-Apr	0.00	0.0
B BUCK CAPITAL MGMT		TJX	S	60,000	27.94	21-Mar	16-May	non-brg	5.0
CHARTER OAK	044	TRS	S	1,000	11.80	n/a	n/a	45.00	4.5
B BUCK CAPITAL MGMT		TSCO	S	15,000	50.72	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		TWB	S	70,000	37.76	22-Feb	17-May	non-brg	5.0
US BANCORP	030	TWB	S	89,800	37.91	22-Feb	17-May	4,490.00	5.0
ZOLIDIS	011	UA	SS	150	45.21	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	UNS	S	10,000	38.04	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Ⓐ BUCK CAPITAL MGMT		URBN	B	20,000	25.30	2-Apr	11-May	non-brg	5.0
POLYGON INVESTMENT	034	VCLK	B	300,000	31.08	n/a	n/a	15,000.00	5.0
TRELLUS PARTNERS LP	028	VC	B	3,500	7.91	n/a	n/a	105.00	3.0
HIGHBRIDGE CAPITAL	038	VMC	SS	18,400	114.71	n/a	n/a	920.00	5.0
KERN	050	VOII	S	10,000	0.14	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		WTSIA	B	20,000	5.64	n/a	n/a	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	044	ZQK	B	50,000	12.49	9-Mar	17-Apr	2,500.00	5.0
TOTAL (Trade Date):								89,914.76	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/17	MERRILL LYNCH STRATEGICI	034	DBRN	S	55,000	21.10	n/a	n/a	2200.00 4.0
AO,CXL	05/17	MERRILL LYNCH STRATEGICI	034	DBRN	S	55,000	21.10	n/a	n/a	0.00 0.0
AO,CRT	05/17	S.A.C. CAPITAL MGMT.	044	GT	S	50,000	34.36	n/a	n/a	2500.00 5.0
AO,CXL	05/17	SAC TRADING HOWARD	038	GT	S	50,000	34.36	n/a	n/a	2500.00 5.0
AO,	05/17	COUGAR TRADING	044	KSS	B	5,000	74.40	n/a	18-May	250.00 5.0
AO,CRT	05/17	GRUBER MACBAINE	033	LM	B	4,000	98.41	9-May	9-May	16000.00 400.0
AO,CXL	05/17	GRUBER MACBAINE	033	LM	B	4,000	98.41	9-May	9-May	3.94 0.0
AO,	05/17	US BANCORP	030	TWB	S	30,138	37.61	22-Feb	17-May	1506.90 5.0
AO,CRT	05/17	TRELLUS PARTNERS LP	028	VC	B	50,000	8.00	n/a	n/a	1500.00 3.0
AO,CXL	05/17	TRELLUS PARTNERS LP	028	VC	B	50,000	8.00	n/a	n/a	2500.00 5.0
AO,	05/17	MKT MAKER	099	WRNC	B	2	32.52	9-May	9-May	0.00 0.0
AO,	05/17	CRAMER ROSENTHAL/SCOTT	012	ZQK	S	200	12.50	9-Mar	17-Apr	10.00 5.0

TOTAL (AO, CXL, CRT) :**18,962.96**

TOTAL (Trade Date) :

89,914.76

TOTAL (AO, CXL, CRT) :

18,962.96

TOTAL (RUN DATE) / NFS:

108,877.72

MRI Securities with Trade Activity on 18-May.
NONE

MRI Securities without Trade Activity on 18-May.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 15%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION