



# Daily Compliance Activity Report

Trade Date: 07/19/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		\$\$EURUSD	SS	2,119,200	1.23	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		\$\$EURUSD	SS	296,800	1.23	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		\$\$GBPUSD	C	635,571	1.57	n/a	n/a	non-brg	0.0
PATRICK O'GRADY	FID	ABCP	B	400	1.79	n/a	n/a	0.00	0.0
PIONEER OAKRIDGE	031	AGN	S	15,545	87.52	n/a	18-Jul	466.35	0.0
EVERGREEN	047	ALV	B	22,800	56.56	n/a	20-Jun	912.00	0.0
OZ MGMT	040	ALV	B	60,000	56.69	n/a	20-Jun	1,800.00	0.0
SPARTAN	093	ALV	B	10,000	56.70	n/a	20-Jun	300.00	3.0
TRELLUS PARTNERS LP	028	ALV	S	15,300	56.48	n/a	20-Jun	459.00	0.0
KLEINHEINZ CAP PART	031	ANF	B	30,000	36.81	n/a	17-May	1,500.00	5.0
ROARING BLUE LION MGMT	031	ARO	S	12,900	20.01	n/a	n/a	516.00	4.0
BUCK CAPITAL MGMT		ASNA	S	20,000	19.27	n/a	n/a	non-brg	4.0
SPARTAN	093	AXL	B	30,000	10.20	n/a	7-Jun	900.00	3.0
BUCK CAPITAL MGMT		AXP	B	18,000	55.80	n/a	18-Jul	non-brg	4.0
BUCK CAPITAL MGMT		BC IM	S	29,348	13.38	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BC IM	S	38,649	13.38	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BC IM	S	7,003	13.38	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRONSON POINT	036	BWA	SS	10,000	63.79	n/a	27-Apr	300.00	0.0
⚡ BUCK CAPITAL MGMT		CFR VX	C	50,000	55.62	n/a	n/a	non-brg	8.3
⚡ BUCK CAPITAL MGMT		CMG	S	10,200	371.57	n/a	16-Jul	non-brg	1.0
⚡ BUCK CAPITAL MGMT		CMG	S	10,200	371.57	n/a	16-Jul	non-brg	1.0
⚡ BUCK CAPITAL MGMT		CMG	S	6,000	401.99	n/a	16-Jul	non-brg	1.0
⚡ BUCK CAPITAL MGMT		CMG	S	6,000	401.99	n/a	16-Jul	non-brg	1.0
⚡ BUCK CAPITAL MGMT		COH	B	50,000	61.18	n/a	18-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		COH	C	37,819	60.79	n/a	18-Jul	non-brg	4.0
STATE TEACHERS RET SYS	047	DLR	B	15,000	73.26	n/a	n/a	750.00	5.0
SCOPUS FUND	041	DLTR	B	25,900	52.57	n/a	3-Jul	1,036.00	0.0
BASIX	033	DPZ	B	11,000	33.20	n/a	17-Jul	330.00	3.0
f MANNY I KORMAN	FID	DUA	B	5000	24.65	n/a	n/a	0.00	0.0
BRONSON POINT	036	ENR	SS	10,000	78.56	n/a	3-May	300.00	0.0
f DAVID GEORGE BUCK	FID	ENX	B	1900	14.33	n/a	n/a	0.00	0.0
GEOSPHERE CAPITAL MGMT	084	EQT	S	25,000	55.40	n/a	n/a	750.00	0.0
STATE TEACHERS RET SYS	047	EXL	B	8,000	12.30	n/a	n/a	400.00	0.0
LISTED ERR	099	EXL	S	717	12.29	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FDO	B	7,600	65.98	n/a	19-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓕ BUCK CAPITAL MGMT		FIVE	B	12,500	17.00	n/a	n/a	non-brg	0.0
Ⓕ BUCK CAPITAL MGMT		FIVE	B	12,500	17.00	n/a	n/a	non-brg	0.0
Ⓕ BUCK CAPITAL MGMT		FIVE	S	12,500	27.82	n/a	n/a	non-brg	4.0
f MITCHELL JAMES SPEIS	FID	FSNXX	B	10000	1.00	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	GES	B	50,000	28.67	n/a	18-Jul	1,500.00	3.0
Ⓕ BUCK CAPITAL MGMT		GIII	B	842	26.19	n/a	n/a	non-brg	4.0
MASTERS CAPITAL MANAGE	025	GLW	B	100,000	12.50	n/a	1-May	4,000.00	4.0
RBC CAPITAL MARKETS	040	HAIN	B	3,000	57.08	n/a	n/a	120.00	4.0
MACELLUM CAPITAL	086	HBI	S	17,620	30.28	n/a	18-Jul	528.60	3.0
HIGHBRIDGE CAP	041	HFC	S	7,000	36.61	n/a	n/a	210.00	3.0
ARSENAL ASSET MANAGEMENT	028	HUBB	B	5,000	82.83	n/a	n/a	200.00	0.0
CSFB GLOBAL PROPRIETARY	041	IP	B	50,000	32.13	n/a	2-Jul	2,500.00	5.0
f DAVID GEORGE BUCK	FID	IP	B	1000	32.04	n/a	2-Jul	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		IWM	SS	50,000	80.06	n/a	n/a	non-brg	1.0
OZ MGMT	040	JCI	C	35,238	26.27	n/a	17-Jul	1,057.14	0.0
PIONEER OAKRIDGE	031	JCI	S	60,290	26.00	n/a	17-Jul	1,808.70	0.0
PIONEER OAKRIDGE	031	JNJ	B	32,300	69.60	n/a	n/a	969.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		JNY	B	50,000	10.56	n/a	18-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	1,630	108.70	n/a	n/a	non-brg	8.7
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	1,630	108.79	n/a	n/a	non-brg	8.7
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	20,800	108.40	n/a	n/a	non-brg	0.5
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	29,620	108.40	n/a	n/a	non-brg	0.5
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	5,000	108.60	n/a	n/a	non-brg	8.7
⌘ BUCK CAPITAL MGMT		JPCMSBCM	SS	19,400	108.40	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		JPCMSBCM	SS	27,676	108.40	n/a	n/a	non-brg	0.0
TUDOR INVESTORS	028	JWN	B	25,000	53.35	n/a	6-Jul	750.00	3.0
RBC CAPITAL MARKETS	040	KMB	B	5,000	85.70	n/a	23-Apr	200.00	4.0
⌘ BUCK CAPITAL MGMT		KR	C	62,132	21.74	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KR	C	82,868	21.74	n/a	n/a	non-brg	4.0
BASIX	033	KSU	B	10,800	71.98	n/a	n/a	324.00	3.0
WOOSTER CAPITAL	035	LEA	B	50,000	36.99	n/a	4-May	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		LTD	B	50,000	47.12	n/a	n/a	non-brg	4.0
BRONSON POINT	036	LVS	B	20,000	41.99	n/a	13-Jun	600.00	0.0
MACELLUM CAPITAL	086	MGM	B	26,130	10.31	n/a	13-Jun	783.90	3.0
RAINIER INV MGMT	035	MKTX	S	19,150	30.12	n/a	n/a	766.00	0.0
⌘ BUCK CAPITAL MGMT		MNST	B	25,000	67.84	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		MNST	B	25,000	67.84	n/a	n/a	non-brg	1.0
	NOKOMIS PARTNERS	031	MSFT	S	56,000	30.57	n/a	n/a	1,680.00	3.0
ß	BUCK CAPITAL MGMT		MSMSBCM	C	100,000	108.66	n/a	n/a	non-brg	8.7
ß	BUCK CAPITAL MGMT		MSMSBCM	C	50,000	108.66	n/a	n/a	non-brg	8.7
ß	BUCK CAPITAL MGMT		MSMSBCM	C	50,000	108.74	n/a	n/a	non-brg	8.7
ß	BUCK CAPITAL MGMT		MSMSBCM	C	50,000	108.80	n/a	n/a	non-brg	8.7
ß	BUCK CAPITAL MGMT		MSMSBCM	C	702,000	108.84	n/a	n/a	non-brg	0.5
ß	BUCK CAPITAL MGMT		MSMSBCM	C	829,714	108.84	n/a	n/a	non-brg	0.5
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	655,000	108.84	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	774,427	108.84	n/a	n/a	non-brg	0.0
	RBC CAPITAL MARKETS	040	MTN	SS	3,000	49.84	n/a	n/a	120.00	4.0
	HIGHBRIDGE CAP	041	MTW	S	23,000	11.10	n/a	11-Jul	690.00	3.0
ß	BUCK CAPITAL MGMT		M	B	20,000	35.47	n/a	6-Jul	non-brg	4.0
ß	BUCK CAPITAL MGMT		M	B	30,000	35.47	n/a	6-Jul	non-brg	4.0
	TRELLUS PARTNERS LP	028	M	B	10,000	35.17	n/a	6-Jul	300.00	0.0
	RBC CAPITAL MARKETS	040	NKE	B	10,000	95.23	n/a	29-Jun	400.00	4.0
	MILLENIU	060	TONY060	B	14,300	74.14		n/a	n/a	429.00
	ICS OPPORTUNITIES		OIS							0.0
f	DAVID GEORGE BUCK	FID	OMPI	B	1000	15.76	n/a	n/a	0.00	0.0
	DEVENNY CAP LLC/MILLENIU	044	PAG	B	10,000	24.49	n/a	26-Apr	300.00	0.0
	CSFB GLOBAL PROPRIETARY	041	PKG	B	15,000	30.92	n/a	17-Jul	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MELLON/BANK OF NEW YORK	046	PNC	B	93,350	61.98	n/a	n/a	3,734.00	0.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>PNF</b>	<b>B</b>	<b>1500</b>	<b>12.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>PNI</b>	<b>B</b>	<b>5000</b>	<b>13.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
1798 CAP/LOMBARD ASSET	040	POT	C	12,500	45.38	n/a	n/a	625.00	0.0
CAMBIAR CAP	046	PRX	S	200,000	50.02	n/a	18-Jul	8,000.00	0.0
ASCEND PARTNERS	033	PVH	B	49,100	80.48	n/a	18-Jul	1,473.00	0.0
RBC CAPITAL MARKETS	040	RCL	B	7,700	24.94	n/a	n/a	308.00	4.0
HOLLIS CAPITAL	033	RKT	S	10,000	63.77	n/a	2-Jul	500.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>SAND SS</b>	<b>SS</b>	<b>75,000</b>	<b>13.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>SCSS</b>	<b>B</b>	<b>100,600</b>	<b>27.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>SCSS</b>	<b>B</b>	<b>44,400</b>	<b>27.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	SHW	S	2,423	123.94	n/a	19-Jul	72.69	3.0
RBC CAPITAL MARKETS	040	SJM	SS	3,000	76.73	n/a	n/a	120.00	4.0
<b>ß BUCK CAPITAL MGMT</b>		<b>SKUL</b>	<b>S</b>	<b>20,000</b>	<b>14.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>SLMAP</b>	<b>B</b>	<b>5000</b>	<b>47.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>SPY</b>	<b>SS</b>	<b>30,000</b>	<b>137.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SWFT	B	63,300	7.91	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		SWY	C	40,000	15.29	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SWY	C	40,000	15.31	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TEX	B	65,000	15.07	n/a	11-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		TOL	SS	24,090	29.14	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TOL	SS	24,090	29.14	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TOL	SS	40,910	28.99	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TOL	SS	40,910	28.99	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	TRIP	B	100	47.14	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	TROX	B	500	119.80	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		VFC	S	30,000	151.46	n/a	18-Jul	non-brg	4.0
INVESCO	031	WAG	B	122,911	34.30	n/a	n/a	4,916.44	0.0
ß BUCK CAPITAL MGMT		WERN	B	22,000	22.83	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	046	WFC	B	174,040	34.30	n/a	13-Jul	6,961.60	0.0
f WILLIAM J GAULT	FID	WHX	S	3000	9.15	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WRC	B	10,000	42.34	n/a	18-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		WRC	B	10,000	42.34	n/a	18-Jul	non-brg	4.0
BALLAST CAP	040	WY	S	32,000	23.18	n/a	4-May	1,600.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
VISIUM/BALY BOBBY	044	XLI	C	25,000	35.64	n/a	n/a	1,250.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>YUM</b>	<b>C</b>	<b>19,300</b>	<b>64.57</b>	<b>n/a</b>	<b>19-Jul</b>	<b>non-brg</b>	<b>4.0</b>
MERRILL ASSET/BLACKROCK	297	Y	B	3,500	344.66	n/a	n/a	140.00	0.0
BALYASNY ASSET MGMT	044	ZUMZ	S	9,550	36.25	n/a	n/a	477.50	0.0
<b>TOTAL (Trade Date) :</b>								<b>64,383.92</b>	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	01/23	LATEEF MGMT	035	SYX	B	150	30.14	n/a	n/a	2550.00	0.0
AO,CRT	01/23	LATEEF MGMT	035	SYX	B	150	30.14	n/a	n/a	25.50	17.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-2,524.50</b>
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TOTAL (Trade Date) :	64,383.92
TOTAL (AO, CXL, CRT) :	-2,524.50

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>61,859.42</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO	07/18	BUCK CAPITAL MGMT	SCSS	B	65,000	25.07	n/a	n/a	650.00 1.0

MRI Securities with Trade Activity on 19-Jul.  
NONE

MRI Securities without Trade Activity on 19-Jul.  
MS

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**