



# Daily Compliance Activity Report

Trade Date: 05/07/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		973 HK	B	2,250,000	1.85	n/a	n/a	non-brg	0.4
WEINTRAUB CAPITAL MGT.	040	AAI	S	41,520	5.15	n/a	n/a	1,660.80	4.0
JONATHAN RICHARD HAR	FID	ACUZ	B	2500	1.28	n/a	n/a	0.00	0.0
JONATHAN RICHARD HAR	FID	ACUZ	S	3000	1.26	n/a	n/a	0.00	0.0
BENCHMARK	025	ADM	B	10,000	26.18	n/a	5-May	400.00	4.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	15.91	n/a	3-May	2,000.00	4.0
EXIS CAPITAL	044	AEO	B	50,000	15.85	n/a	3-May	2,500.00	5.0
KLEINHEINZ CAP PART	031	AES	S	180,000	10.23	n/a	n/a	9,000.00	5.0
LISTED ERR	099	AKR	S	200	17.60	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ANF	S	10,000	38.58	n/a	7-May	non-brg	4.0
EXIS CAPITAL	044	ANF	B	25,000	39.67	n/a	7-May	1,250.00	5.0
BUCK CAPITAL MGMT		ANN	B	70,000	23.05	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AN	S	70,800	19.23	n/a	23-Apr	non-brg	4.0
BUCK CAPITAL MGMT		ARO	B	10,000	27.02	n/a	7-May	non-brg	4.0
BUCK CAPITAL MGMT		ARO	B	10,000	27.02	n/a	7-May	non-brg	4.0
BUCK CAPITAL MGMT		ARO	B	30,000	27.65	n/a	7-May	non-brg	4.0
BUCK CAPITAL MGMT		ARO	B	30,000	27.65	n/a	7-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		ATVI	B	20,000	10.97	n/a	n/a	non-brg	4.0
Õ Nilsa Vazquez		ATVI	S	1000	10.87	n/a	n/a	0.00	0.0
ENTRUST CAPITAL	040	AVID	B	78,900	13.95	n/a	n/a	3,945.00	5.0
ß BUCK CAPITAL MGMT		AVP	S	181,947	28.11	n/a	3-May	non-brg	4.0
f JONATHAN RICHARD HAR	FID	BAC	S	400	16.13	n/a	4-May	0.00	0.0
ß BUCK CAPITAL MGMT		BBY	B	50,000	41.59	n/a	n/a	non-brg	4.0
f ALEXANDER A SACCO	FID	BGZ	B	350	15.45	n/a	n/a	0.00	0.0
f ALEXANDER A SACCO	FID	BGZ	S	350	16.06	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BID	C	18,600	33.51	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BID	C	18,600	33.51	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BID	C	31,400	31.81	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BID	C	31,400	31.81	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BONT	B	35,000	14.85	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BONT	B	5,754	14.52	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	BONT	B	2200	14.73	n/a	n/a	0.00	0.0
f ROBERT M DANIELS	FID	BQI	B	1000	0.80	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BYI	S	10,000	41.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CAR	B	30,000	12.05	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CHS	B	80,000	14.08	n/a	5-Feb	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	216	CLF	S	28,888	54.84	n/a	n/a	1,444.40	5.0
⚡ BUCK CAPITAL MGMT		COH	B	20,000	38.30	n/a	21-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		COH	B	20,000	38.30	n/a	21-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		COH	B	50,000	38.92	n/a	21-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		COH	B	50,000	38.92	n/a	21-Apr	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	COO	B	101,550	36.80	n/a	n/a	4,062.00	4.0
f MICHAEL C PERILLO	FID	CRBC	S	270	1.02	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		CSTR	S	20,000	46.21	n/a	n/a	non-brg	4.0
DSM CAPITAL PARTNERS	032	CTXS	B	6,485	44.64	n/a	n/a	324.25	5.0
f BARBARA S LEDDY	FID	CVGI	B	500	11.24	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	CVGI	S	19500	11.20	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CVGI	B	1000	11.29	n/a	n/a	0.00	0.0
f STEFFANIE CARMELA CA	FID	CVGI	S	900	11.25	n/a	n/a	0.00	0.0
CASTLEROCK	040	C	B	720,359	4.04	n/a	4-May	28,814.36	4.0
f MICHAEL C PERILLO	FID	C	B	115	4.01	n/a	4-May	0.00	0.0
SANDLER O'NEILL ASSET	031	C	S	100,000	4.02	n/a	4-May	2,000.00	2.0
⚡ BUCK CAPITAL MGMT		DAL	B	20,000	11.82	n/a	n/a	non-brg	4.0
COBALT	041	DAL	SS	200,000	11.90	n/a	n/a	10,000.00	5.0
GOLD SACHS SPECIAL SIT	030	DAN	B	175,000	11.54	n/a	30-Apr	8,750.00	5.0
⚡ BUCK CAPITAL MGMT		DDS	C	5,708	24.41	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	DE	B	25,000	55.50	n/a	30-Apr	1,000.00	4.0
WEINTRAUB CAPITAL MGT.	040	DNB	S	49,848	74.29	n/a	n/a	1,993.92	4.0
SIGMA CAP BOBBY	044	DNDN	S	42,000	43.02	n/a	n/a	1,470.00	3.5
ß BUCK CAPITAL MGMT		EBAY	B	50,000	22.47	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		EL	S	50,000	58.64	n/a	28-Apr	non-brg	4.0
f JONATHAN RICHARD HAR	FID	EUO	B	450	23.63	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	EUO	S	450	23.38	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	FIG	B	2000	4.69	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	FINL	B	500	14.43	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FL	B	40,000	13.98	n/a	6-May	non-brg	4.0
f WILLIAM J GAULT	FID	FOR	B	2000	19.40	n/a	19-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		GES	B	20,000	38.16	n/a	18-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	B	26,700	37.47	n/a	18-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		GIII	B	20,000	25.64	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIL	B	40,000	26.80	n/a	27-Apr	non-brg	4.0
f KAREN F LEDDY	FID	GIL	B	300	26.48	n/a	27-Apr	0.00	0.0
ST OF MICHIAN	047	GLW	B	400,000	17.47	n/a	28-Apr	12,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f</b> <b>ANDREW SINCLAIR</b>	<b>FID</b>	<b>GS</b>	<b>B</b>	<b>14</b>	<b>12.50</b>	<b>n/a</b>	<b>30-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>B</b>	<b>20,000</b>	<b>43.95</b>	<b>n/a</b>	<b>6-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>20,000</b>	<b>25.97</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>20,000</b>	<b>26.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
GRUBER MACBAINE	033	HD	B	40,000	33.71	n/a	23-Feb	1,600.00	4.0
CASTLEROCK	040	HOG	B	90,543	31.18	n/a	n/a	3,621.72	4.0
LATEFF MGMT	035	HPQ	B	1,500	47.11	n/a	n/a	360.00	24.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>HTZ</b>	<b>B</b>	<b>30,000</b>	<b>12.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>HTZ</b>	<b>S</b>	<b>125,500</b>	<b>11.88</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> <b>JAMES H HLAVACEK II</b>	<b>FID</b>	<b>HWD</b>	<b>B</b>	<b>150</b>	<b>10.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BROADARCH CAP/OLD NEWC	070	IGT	S	4,500	20.10	n/a	23-Apr	180.00	4.0
T. ROWE PRICE	033	IP	B	97,700	22.82	n/a	29-Apr	3,908.00	4.0
<b>f</b> <b>JASON ERIC COHEN</b>	<b>FID</b>	<b>IVV</b>	<b>B</b>	<b>40</b>	<b>110.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>JACK</b>	<b>S</b>	<b>10,000</b>	<b>22.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FIRST INV MGMT CO	029	JACK	S	42,400	22.51	n/a	n/a	2,120.00	5.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>S</b>	<b>10,000</b>	<b>44.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCP</b>	<b>B</b>	<b>10,000</b>	<b>27.57</b>	<b>n/a</b>	<b>7-May</b>	<b>400.00</b>	<b>4.0</b>

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⚡ BUCK CAPITAL MGMT		JCP	B	50,000	28.06	n/a	7-May	non-brg	4.0
EXIS CAPITAL	044	JCP	B	10,300	27.72	n/a	7-May	515.00	5.0
ROSEDALE CAPITAL	046	JNJ	B	10,000	62.42	n/a	n/a	500.00	5.0
LAGRANGE CAP ADV	048	JNS	B	20,000	12.05	n/a	n/a	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		JNY	B	53,100	18.89	n/a	29-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		JNY	B	80,000	19.48	n/a	29-Apr	non-brg	4.0
EXIS CAPITAL	044	JNY	B	10,000	19.12	n/a	29-Apr	500.00	5.0
⚡ BUCK CAPITAL MGMT		JOSB	B	20,000	59.56	n/a	n/a	non-brg	4.0
f ROBERT M DANIELS	FID	JST	B	200	15.02	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		JWN	S	150,000	40.10	n/a	3-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		KSS	B	50,000	54.61	n/a	7-May	non-brg	4.0
COUGAR TRADING	044	LEA	B	10,000	74.49	n/a	7-May	500.00	5.0
COUGAR TRADING	044	LEA	S	10,000	72.16	n/a	7-May	500.00	5.0
GRUBER MACBAINE	033	LOW	B	10,000	25.38	n/a	22-Feb	400.00	4.0
⚡ BUCK CAPITAL MGMT		LTD	B	30,000	25.09	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LTD	S	20,000	24.41	n/a	n/a	non-brg	4.0
KACELA CAPITAL	034	MAR	B	25,000	33.52	n/a	n/a	1,000.00	4.0
DSM CAPITAL PARTNERS	032	MDSO	B	18,360	14.47	n/a	n/a	918.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BROADARCH CAP/OLD NEWC	070	MGM	S	7,000	12.82	n/a	5-May	280.00	4.0
PAULSON & CO INC	041	MGM	B	500,000	13.39	n/a	5-May	15,000.00	3.0
FORD FDN.	032	MRK	B	23,000	34.00	n/a	n/a	920.00	4.0
⚡ BUCK CAPITAL MGMT		MSMSRAWW	SS	50,000	94.17	n/a	n/a	non-brg	9.4
⚡ BUCK CAPITAL MGMT		M	B	40,000	22.35	n/a	7-May	non-brg	4.0
DSM CAPITAL PARTNERS	032	NATI	B	11,185	31.93	n/a	n/a	559.25	5.0
WEINTRAUB CAPITAL MGT.	040	NDN	B	50,000	14.46	n/a	9-Apr	2,000.00	4.0
f ALEXANDRA ELISE ZOLI	FID	NKE	B	70	71.76	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	NKE	B	70	71.42	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		NTY	B	17,000	38.63	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		NTY	B	3,000	38.16	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		OMX	S	50,000	16.62	n/a	n/a	non-brg	2.0
LAGRANGE CAP ADV	048	PAG	B	10,000	13.04	n/a	3-May	500.00	5.0
LATEFF MGMT	035	PG	B	1,500	60.72	n/a	30-Apr	390.00	26.0
⚡ BUCK CAPITAL MGMT		PLCE	S	30,000	41.60	n/a	27-Apr	non-brg	4.0
EXIS CAPITAL	044	PLCE	SS	5,000	43.32	n/a	27-Apr	250.00	5.0
ROARING BLUE LION MGMT	012	PLCE	B	1,850	41.98	n/a	27-Apr	74.00	4.0
BAIRD INVESTMENT	047	PNC	B	8,675	64.05	n/a	n/a	433.75	5.0

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ß BUCK CAPITAL MGMT		PSS	B	48,500	20.70	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PSS	B	80,000	21.20	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		PVH	B	10,000	58.00	n/a	21-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		PVH	B	28,300	54.88	n/a	21-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	S	10,000	83.72	n/a	14-Apr	non-brg	4.0
NEUBERGER BERMAN	036	ROK	S	30,000	56.83	n/a	n/a	1,200.00	4.0
HIGHBRIDGE CAP	041	RYN	B	34,000	45.71	n/a	19-Apr	1,360.00	4.0
f JASON ERIC COHEN	FID	SDS	S	250	34.12	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	SD	S	266,947	6.28	n/a	n/a	8,008.41	3.0
ß BUCK CAPITAL MGMT		SIG	S	10,000	29.25	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SIG	S	20,000	28.79	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKX	S	57,415	34.28	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	SLB	S	1000	63.12	n/a	n/a	0.00	0.0
SCOPIA CAPITAL	048	SLM	B	143,125	10.96	n/a	5-May	5,725.00	4.0
f DAVID GEORGE BUCK	FID	SPY	B	1500	111.98	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	SRI	B	200	8.35	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	STFC	B	5,000	18.00	n/a	n/a	100.00	2.0



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PROSPECTOR PARTNERS LLC	036	STFC	S	5,000	18.00	n/a	n/a	100.00	2.0
CATAPULT	040	STT	B	16,200	41.84	n/a	7-May	648.00	4.0
f HARALAMBOS EFSTATHI	FID	STT	B	300	41.38	n/a	7-May	0.00	0.0
B BUCK CAPITAL MGMT		SUP	S	10,387	17.41	n/a	20-Apr	non-brg	2.5
SONTERRA CAP	041	SVU	B	20,000	13.45	n/a	n/a	800.00	4.0
f DAVID GEORGE BUCK	FID	SWG	B	20	2.92	n/a	n/a	0.00	0.0
TPG-AXON	040	SWN	C	63,000	37.10	n/a	30-Apr	3,150.00	5.0
f KEVIN MICHAEL O'GORM	FID	TBT	B	1250	41.02	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	TEVA	S	500	57.52	n/a	4-May	0.00	0.0
B BUCK CAPITAL MGMT		TGT	S	20,000	53.43	n/a	6-May	non-brg	4.0
IRIDIAN ASSET MGMT.	036	TIN	B	38,530	19.92	n/a	21-Apr	1,926.50	5.0
B BUCK CAPITAL MGMT		TJX	B	30,000	43.94	n/a	3-May	non-brg	4.0
EPOCH INV MGMT	030	TJX	S	73,250	44.02	n/a	3-May	2,197.50	3.0
THOMPSON SIEGAL&WALMSLEY	029	TJX	B	20,400	43.74	n/a	3-May	612.00	3.0
B BUCK CAPITAL MGMT		TLB	B	50,000	15.98	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	TLB	SS	30,500	16.05	n/a	n/a	1,525.00	5.0
B BUCK CAPITAL MGMT		TSCO	C	79,284	63.45	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	TZA	B	1200	7.65	n/a	n/a	0.00	0.0

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B BUCK CAPITAL MGMT		UAUA	B	20,000	18.10	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	UAUA	B	1000	18.51	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UBI FP	B	20,000	10.97	n/a	n/a	non-brg	1.6
HIGHBRIDGE CAP	041	UFS	B	15,000	61.46	n/a	n/a	600.00	4.0
TPG-AXON	040	UPL	C	57,000	43.24	n/a	27-Apr	2,850.00	5.0
B BUCK CAPITAL MGMT		URBN	B	10,000	35.64	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	B	28,500	35.05	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	URI	S	5000	12.31	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	VALE	B	50,000	27.60	n/a	n/a	2,000.00	4.0
f KAREN F LEDDY	FID	VXX	B	500	29.95	n/a	n/a	0.00	0.0
CONIFER/ISF	025	VZ	S	5,000	28.19	n/a	n/a	250.00	5.0
f JASON ERIC COHEN	FID	WLP	B	40	51.09	n/a	n/a	0.00	0.0
GRUBER MACBAIN	033	WMT	B	25,000	52.65	n/a	27-Apr	1,000.00	4.0
B BUCK CAPITAL MGMT		WRC	B	30,000	42.35	n/a	2-Mar	non-brg	4.0
EXIS CAPITAL	044	WRC	B	5,000	42.37	n/a	2-Mar	250.00	5.0
RBC CAPITAL MARKETS	040	WRC	SS	5,000	42.01	n/a	2-Mar	200.00	4.0
B BUCK CAPITAL MGMT		WSO	B	10,000	54.55	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		XRT	SS	125,000	39.74	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		XRT	SS	220,000	40.90	n/a	n/a	non-brg	2.0
EXIS CAPITAL	044	ZUMZ	B	10,000	16.99	n/a	n/a	500.00	5.0
<b>TOTAL (Trade Date) :</b>							<b>166,046.86</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/06	LISTED ERR	099	AKR	B	200	17.60	n/a	n/a	0.00	0.0
AO,CRT	05/06	CATAPULT	040	KEY	B	40,000	8.16	n/a	n/a	1200.00	3.0
AO,CXL	05/06	CATAPULT	040	KEY	B	40,000	8.16	n/a	n/a	2000.00	5.0
AO,CXL	05/05	COOPER CREEK PART	028	LPX	SS	70,000	11.34	n/a	19-Apr	2800.00	4.0
AO,CRT	05/05	COOPER CREEK PART	028	LPX	SS	70,000	11.34	n/a	19-Apr	2800.00	4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-800.00</b>
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TOTAL (Trade Date) :	166,046.86
TOTAL (AO, CXL, CRT) :	-800.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>165,246.86</b>
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MRI Securities with Trade Activity on 7-May. NONE
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MRI Securities without Trade Activity on 7-May. NONE
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BRG comm. as a % of BCM Total Comm. paid.
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**T-Date = 2%**

**YTD = 9%**

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**