



Daily Compliance Activity Report

Trade Date: 06/28/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	S	5,000	571.97	n/a	n/a	non-brg	1.0
LATEEF MGMT	035	AA	B	2,500	8.42	n/a	n/a	175.00	7.0
BROADARCH CAP/OLD NEWC	070	ALB	SS	500	57.16	n/a	n/a	20.00	4.0
THE JAY GOLDMAN	028	ALV	B	5,000	52.51	n/a	20-Jun	200.00	4.0
WOOSTER CAPITAL	035	ALV	B	25,000	52.51	n/a	20-Jun	1,250.00	5.0
FIRST NY SEC/CHRIS	041	ANR	B	25,000	8.35	n/a	n/a	1,250.00	5.0
BROADARCH CAP/OLD NEWC	070	ARG	SS	500	80.13	n/a	13-Jun	20.00	4.0
BROADARCH CAP/OLD NEWC	070	ARNA	S	5,000	9.90	n/a	n/a	200.00	4.0
SCOPUS FUND	041	ARO	S	32,684	16.07	n/a	n/a	1,307.36	4.0
BROADARCH CAP/OLD NEWC	070	A	B	1,000	37.78	n/a	n/a	40.00	4.0
B BUCK CAPITAL MGMT		BBBY	S	50,000	59.09	n/a	n/a	non-brg	4.0
NEWLAND CAPITAL MGT	025	BECN	SS	15,000	24.17	n/a	n/a	600.00	4.0
TUDOR INVESTORS	028	BKE	B	75,000	37.84	n/a	n/a	2,250.00	3.0
B BUCK CAPITAL MGMT		BODY	S	136,400	8.72	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		BODY	S	63,600	8.74	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BROADARCH CAP/OLD NEWC	070	BRCM	S	3,000	32.44	n/a	n/a	120.00	4.0
f JOSEPH CIRO AMATURO	FID	BSJF	B	1000	25.71	n/a	n/a	0.00	0.0
LATEEF MGMT	035	CBL	S	2,500	18.52	n/a	n/a	225.00	9.0
B BUCK CAPITAL MGMT		CELG	B	10,000	62.11	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	CLF	B	1000	46.23	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CNC	B	30,000	31.58	n/a	n/a	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	COH	B	1,500	55.59	n/a	9-May	60.00	4.0
f JILL ROSENBERG	FID	CPN	S	500	16.34	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	CPN	S	58	16.37	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	CRSOX	S	1453	7.54	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	CVS	B	100	45.72	n/a	n/a	0.00	0.0
CHANNING CAPITAL	031	CYT	B	50,437	55.46	n/a	n/a	2,017.48	4.0
B BUCK CAPITAL MGMT		C	B	20,000	26.32	n/a	20-Jun	non-brg	4.0
WILLIAMS TRADING/BLUE MTN	025	C	B	75,000	25.70	n/a	20-Jun	2,250.00	3.0
B BUCK CAPITAL MGMT		DG	B	30,000	53.44	n/a	27-Jun	non-brg	4.0
RBC CAPITAL MARKETS	040	DNKN	B	8,000	33.73	n/a	n/a	320.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	DNKN	S	500	33.72	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		DO	SS	10,000	57.48	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DSW	S	20,000	53.61	n/a	11-Feb	non-brg	4.0
NEWLAND CAPITAL MGT	025	ECA	SS	25,000	19.96	n/a	n/a	1,000.00	4.0
f MARC PAUL LUCHANSKY	FID	EFA	S	66	47.90	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	EMC	S	7000	23.51	n/a	n/a	0.00	0.0
BROADARCH CAP/OLD NEWC	070	ESRX	B	1,000	54.12	n/a	n/a	40.00	4.0
⚡ BUCK CAPITAL MGMT		ESV	S	23,000	44.62	n/a	n/a	non-brg	4.0
MILLENNIUM-ANDEW	031	ETN	B	10,000	37.16	n/a	14-Jun	600.00	6.0
BROADARCH CAP/OLD NEWC	070	EWZ	S	2,000	48.36	n/a	n/a	80.00	4.0
f MARC PAUL LUCHANSKY	FID	FCTTX	S	159	10.98	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FDO	C	70,000	66.44	n/a	22-Jun	non-brg	4.0
CAERUS GLOBAL	041	FINL	S	25,000	18.38	n/a	22-Jun	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		GIL	S	10,000	26.70	n/a	12-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GIL	S	10,000	26.70	n/a	12-Jun	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	GLUU	B	5000	5.59	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	040	GNC	B	7,000	37.53	n/a	n/a	280.00	4.0
B BUCK CAPITAL MGMT		HAIN	B	10,000	53.23	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HAIN	B	20,000	53.23	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HAL	S	36,000	27.66	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	HBI	S	10000	26.58	n/a	25-May	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	HH	B	1606	0.59	n/a	n/a	0.00	0.0
FRONTIER	029	HMA	S	109,940	7.44	n/a	n/a	3,298.20	3.0
B BUCK CAPITAL MGMT		HOS	SS	14,000	36.36	n/a	n/a	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	HUM	B	2,000	79.06	n/a	n/a	80.00	4.0
BROADARCH CAP/OLD NEWC	070	HUM	SS	2,000	78.31	n/a	n/a	80.00	4.0
BROADARCH CAP/OLD NEWC	070	IBM	S	1,000	189.42	n/a	n/a	40.00	4.0
f MANNY I KORMAN	FID	INTC	S	10000	25.49	n/a	n/a	0.00	0.0
f JOEL GIFFORD TISS	FID	IR	S	2028	40.36	n/a	20-Jun	0.00	0.0
BROADARCH CAP/OLD NEWC	070	ITW	B	1,000	50.62	n/a	13-Jun	40.00	4.0
f MANNY I KORMAN	FID	JCI	S	5000	26.42	n/a	14-Jun	0.00	0.0
BALYASNY ASSET MGMT	044	JCP	C	15,000	22.09	n/a	16-May	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEISS PECK - ROBECO INV		040	JNY	B	10,200	9.13	n/a	26-Apr	408.00 4.0
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	35,539	104.78	n/a	n/a	non-brg	0.1
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	46,861	104.78	n/a	n/a	non-brg	0.1
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	6,600	104.92	n/a	n/a	non-brg	8.4
⌘ BUCK CAPITAL MGMT		JPCMSBCM	SS	35,000	104.78	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		JPCMSBCM	SS	46,374	104.78	n/a	n/a	non-brg	0.0
BROADARCH CAP/OLD NEWC	070	JPM	S	1,500	34.87	n/a	20-Jun	60.00	4.0
BRONSON POINT	036	JPM	B	25,000	35.87	n/a	20-Jun	750.00	3.0
⌘ BUCK CAPITAL MGMT		JPM	B	14,000	35.65	n/a	20-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JWN	B	10,000	48.40	n/a	28-Jun	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	KMX	SS	500	24.97	n/a	n/a	20.00	4.0
BROADARCH CAP/OLD NEWC	070	KORS	B	2,000	40.86	n/a	n/a	80.00	4.0
⌘ BUCK CAPITAL MGMT		KORS	B	10,000	39.80	n/a	n/a	non-brg	0.8
⌘ BUCK CAPITAL MGMT		KORS	B	10,000	39.98	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KORS	B	20,000	40.28	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	35,000	44.29	n/a	28-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LLY	B	20,000	41.49	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LPNT	B	10,000	40.58	n/a	n/a	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	LSI	S	2,200	5.96	n/a	n/a	88.00	4.0
f MARC PAUL LUCHANSKY	FID	MGIAX	B	176	24.52	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JASON ERIC COHEN	FID	MINI	B	10	1.15	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	MSFT	S	10000	29.55	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	1,265,000	103.79	n/a	n/a	non-brg	0.1
ß BUCK CAPITAL MGMT		MSMSBCM	C	1,320,763	103.79	n/a	n/a	non-brg	0.1
ß BUCK CAPITAL MGMT		MSMSBCM	C	100,000	104.95	n/a	n/a	non-brg	8.4
ß BUCK CAPITAL MGMT		MSMSBCM	C	100,000	104.95	n/a	n/a	non-brg	8.4
ß BUCK CAPITAL MGMT		MSMSBCM	SS	1,249,000	103.79	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MSMSBCM	SS	1,304,303	103.79	n/a	n/a	non-brg	0.0
BROADARCH CAP/OLD NEWC	070	MTD	SS	500	150.68	n/a	n/a	20.00	4.0
RBC CAPITAL MARKETS	040	MTN	SS	4,000	47.73	n/a	n/a	160.00	4.0
ß BUCK CAPITAL MGMT		MYL	SS	20,000	20.63	n/a	28-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		M	B	50,000	33.52	n/a	28-Jun	non-brg	4.0
FIRST NEW YORK LEDDY	035	NOK	S	20,000	2.12	n/a	n/a	800.00	4.0
BROADARCH CAP/OLD NEWC	070	NTAP	B	1,000	29.92	n/a	n/a	40.00	4.0
COOPER CREEK PART	028	PAG	B	20,000	20.46	n/a	26-Apr	800.00	4.0
f MARC PAUL LUCHANSKY	FID	PAIBX	S	361	9.68	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PCRRX	B	1679	6.10	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PFE	B	50,000	22.39	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BROADARCH CAP/OLD NEWC	070	POT	S	3,000	42.93	n/a	n/a	120.00	4.0
⌘ BUCK CAPITAL MGMT		PVH	B	10,000	75.22	n/a	25-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	20,000	74.83	n/a	25-May	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	35,550	74.41	n/a	25-May	1,066.50	3.0
CHANNING CAPITAL	031	PXP	B	17,370	32.52	n/a	n/a	694.80	4.0
GUGGENHEIM GLOBAL TRADING (GGT)	028	RA	S	6,900	23.77		n/a	n/a	207.00 3.0
BROADARCH CAP/OLD NEWC	070	RTH	SS	5,000	41.10	n/a	n/a	200.00	4.0
GALT INVESTMENT	041	RUE	B	10,000	24.49	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		SCSS	B	40,000	20.02	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		SIG	S	50,000	41.12	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	SJM	SS	5,000	74.23	n/a	n/a	200.00	4.0
⌘ BUCK CAPITAL MGMT		SKS	SS	20,000	10.34	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	10.18	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	10.18	n/a	n/a	non-brg	4.0
SIMMONS VENTURES	041	SKS	SS	10,000	10.20	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		SLB	S	16,000	62.16	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	SODA	S	3000	40.08	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SPHIX	B	5580	8.96	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CHANNING CAPITAL	031	SPN	B	22,683	18.94	n/a	n/a	907.32	4.0
BROADARCH CAP/OLD NEWC	070	SSO	B	1,000	51.63	n/a	n/a	40.00	4.0
B BUCK CAPITAL MGMT		TDG	SS	4,000	127.59	n/a	n/a	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	TECD	SS	750	46.67	n/a	n/a	30.00	4.0
BROADARCH CAP/OLD NEWC	070	TIF	SS	2,000	50.95	n/a	n/a	80.00	4.0
B BUCK CAPITAL MGMT		TIF	SS	40,000	50.93	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	TJX	B	5,000	41.56	n/a	28-Jun	200.00	4.0
THOMPSON SIEGAL&WALMSLEY	029	TJX	S	32,981	41.43	n/a	28-Jun	983.14	2.7
BROADARCH CAP/OLD NEWC	070	TMO	SS	1,000	50.21	n/a	n/a	40.00	4.0
f MARC PAUL LUCHANSKY	FID	TPINX	B	391	12.62	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	TUP	SS	4,000	53.65	n/a	n/a	160.00	4.0
B BUCK CAPITAL MGMT		VFC	B	10,000	133.36	n/a	27-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		VFC	B	20,000	136.09	n/a	27-Apr	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	VHS	B	5,000	8.36	n/a	n/a	200.00	4.0
BROADARCH CAP/OLD NEWC	070	WAT	B	750	76.04	n/a	n/a	30.00	4.0
f DAVID GEORGE BUCK	FID	WLP	B	200	65.72	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	WLP	B	25	3.05	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	DAVID GEORGE BUCK	FID	WLP	S	25	0.85	n/a	n/a	0.00	0.0
	BROADARCH CAP/OLD NEWC	070	WYNN	B	1,500	101.46	n/a	13-Jun	60.00	4.0
f	JOEL GIFFORD TISS	FID	XPO	S	1100	16.42	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :									28,937.80	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/27	VISIUM/BALY BOBBY	044	PWR	B	20,000	22.87	n/a	n/a	1000.00 5.0
AO,CXL	06/27	VISIUM/BALY BOBBY	044	PWR	B	20,000	22.87	n/a	n/a	1000.00 5.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	28,937.80
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	28,937.80
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
CXL	06/27	BUCK CAPITAL MGMT.	DSW	S	30,000	53.57	n/a 11-Feb	1200.00
CRT	06/27	BUCK CAPITAL MGMT	DSW	S	30,000	53.24	n/a 11-Feb	1200.00 4.0

MRI Securities with Trade Activity on 28-Jun.
NONE

MRI Securities without Trade Activity on 28-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)