



Daily Compliance Activity Report

Trade Date: 07/02/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		973 HK	S	500,000	2.11	n/a	n/a	non-brg	0.4
ABRAM M CHAPPELL	FID	AAI	S	400	4.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		AAPL	S	5,000	247.87	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ABG	S	30,000	10.26	n/a	4-May	non-brg	4.0
BUCK CAPITAL MGMT		AMZN	SS	10,000	109.56	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ANN	B	20,000	15.90	n/a	n/a	non-brg	2.5
EDWARD W WHEELER	FID	ARM	B	5000	12.49	n/a	n/a	0.00	0.0
ALEXANDER PRYLUCKI	FID	AXL	B	550	6.94	n/a	3-May	0.00	0.0
BUCK CAPITAL MGMT		AXL	B	35,000	6.75	n/a	3-May	non-brg	2.5
BUCK CAPITAL MGMT		AXL	B	44,000	7.01	n/a	3-May	non-brg	3.0
BUCK CAPITAL MGMT		AXL	B	75,000	6.81	n/a	3-May	non-brg	2.5
COOPER CREEK PART	028	AXL	B	38,700	6.99	n/a	3-May	1,161.00	3.0
BUCK CAPITAL MGMT		BEBE	B	20,000	6.37	n/a	n/a	non-brg	2.5
FIRST NEW YORK LEDDY	035	BSX	B	10,000	6.12	n/a	n/a	600.00	6.0
BANK OF AMERICA/MERRILL	259	BUD	B	3,000	48.90	n/a	n/a	150.00	5.0
COOPER CREEK PART	028	BWA	B	10,000	37.50	n/a	30-Apr	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		CAR	B	10,000	9.53	n/a	n/a	non-brg	3.0
SAXON WOODS ADVISORS	242	CAT	B	78,540	60.06	n/a	12-May	3,141.60	4.0
M&I FUNDS	047	CBE	S	59,426	43.65	n/a	27-May	2,377.04	4.0
MTB INV ADV	034	CBG	S	23,875	13.35	n/a	n/a	1,193.75	5.0
COLUMBIA PARTNERS	028	CFSG	S	19,071	8.77	n/a	n/a	572.13	3.0
B BUCK CAPITAL MGMT		CNW	B	28,000	29.69	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		COH	B	10,000	35.77	n/a	14-May	non-brg	0.8
B BUCK CAPITAL MGMT		COH	B	70,000	35.99	n/a	14-May	non-brg	4.0
f CICILY S BACKUS	FID	CPN	S	2000	12.68	n/a	n/a	0.00	0.0
f LBP ENTERPRISES INC	FID	CPN	S	2000	12.65	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	CRSOX	B	1149	7.66	n/a	n/a	0.00	0.0
f CHRISTOPHER ARTHUR K	FID	C	B	2500	3.81	n/a	25-Jun	0.00	0.0
f ABRAM M CHAPPELL	FID	DAL	B	175	10.88	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DAN	B	115,000	9.38	n/a	30-Apr	non-brg	2.5
B BUCK CAPITAL MGMT		DAN	B	45,000	9.31	n/a	30-Apr	non-brg	2.5
B BUCK CAPITAL MGMT		DAN	B	64,000	9.53	n/a	30-Apr	non-brg	3.0
EXIS CAPITAL	044	DECK	B	5,000	142.60	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	DHX	S	20,750	6.98	n/a	n/a	1,037.50	5.0
ß BUCK CAPITAL MGMT		DKS	SS	20,000	24.63	n/a	11-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		ECL	S	40,000	44.79	n/a	26-May	non-brg	4.0
ß BUCK CAPITAL MGMT		ECL	S	44,400	44.83	n/a	26-May	non-brg	2.5
THE JAY GOLDMAN	028	FDO	B	10,000	38.83	n/a	30-Jun	400.00	4.0
ß BUCK CAPITAL MGMT		FINL	B	50,000	14.17	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FOSL	B	100,000	36.16	n/a	n/a	non-brg	4.0
KENNEDY CAPITAL	046	FUL	B	17,600	18.98	n/a	n/a	704.00	4.0
ß BUCK CAPITAL MGMT		GCO	B	45,000	26.46	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	B	10,000	31.91	n/a	28-May	400.00	4.0
MTB INV ADV	034	GIII	S	3,125	22.78	n/a	n/a	156.25	5.0
MAIRS AND POWER INV	030	GIS	S	10,000	35.37	n/a	n/a	500.00	5.0
Õ Michael DiMattia		GT	B	100	9.97	n/a	n/a	0.00	0.0
DENVER INST. ADV	046	GYMB	B	38,900	44.03	n/a	30-Jun	1,556.00	4.0
ß BUCK CAPITAL MGMT		HBI	B	50,000	24.39	n/a	14-May	non-brg	4.0
f MANNY I KORMAN	FID	HCBK	S	15000	12.13	n/a	29-Jun	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WINSLOW CAPITAL	030	HPQ	B	140,100	42.48	n/a	n/a	5,604.00	4.0
B BUCK CAPITAL MGMT		HTZ	B	10,000	9.16	n/a	n/a	non-brg	3.0
f MANNY I KORMAN	FID	ICON	S	3000	13.47	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		IFF	S	50,500	41.97	n/a	10-May	non-brg	4.0
MTB INV ADV	034	INFA	S	3,100	23.77	n/a	n/a	155.00	5.0
IVORY CAPITAL	034	IWM	SS	804,500	60.20	n/a	n/a	4,022.50	0.5
f CHRISTOPHER ARTHUR K	FID	JCI	B	500	26.94	n/a	16-Jun	0.00	0.0
f MANNY I KORMAN	FID	JCI	S	2000	26.74	n/a	16-Jun	0.00	0.0
ROYCE TRADING	012	JNY	B	5,000	15.19	n/a	14-May	250.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	20,000	54.81	n/a	n/a	non-brg	2.5
DIAMONDBACK CAP MGMT	232	JOYG	SS	10,000	52.04	n/a	4-Jun	500.00	5.0
B BUCK CAPITAL MGMT		JPM	S	20,000	35.65	n/a	25-Jun	non-brg	0.8
B BUCK CAPITAL MGMT		KMX	SS	40,000	19.80	n/a	n/a	non-brg	4.0
ROYCE TRADING	012	KNDL	B	5,500	11.00	n/a	n/a	275.00	5.0
B BUCK CAPITAL MGMT		KNX	S	40,000	19.94	n/a	n/a	non-brg	4.0
THE JAY GOLDMAN	028	KSS	SS	10,000	48.77	n/a	30-Jun	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		LEA	S	49,400	64.19	n/a	7-May	non-brg	0.8
ROYCE TRADING	012	LIZ	B	10,000	4.10	n/a	7-Oct	300.00	3.0
ROYCE TRADING	012	LLNW	S	10,000	4.59	n/a	n/a	300.00	3.0
f MANNY I KORMAN	FID	LNCR	S	25000	28.50	n/a	n/a	0.00	0.0
ROYCE TRADING	012	LORL	S	1,229	43.12	n/a	n/a	61.45	5.0
T. ROWE PRICE	033	LPX	B	400,000	6.88	n/a	29-Jun	8,000.00	2.0
JP MORGAN VENTURES	046	LTD	S	15,000	22.60	n/a	n/a	750.00	5.0
ROYCE TRADING	012	LZB	B	5,000	7.24	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		MAC	SS	10,000	36.57	n/a	n/a	non-brg	4.0
BANK OF AMERICA/MERRILL	259	MCD	B	8,000	66.19	n/a	8-Jun	480.00	6.0
MTB INV ADV	034	MFB	S	3,125	20.14	n/a	n/a	156.25	5.0
B BUCK CAPITAL MGMT		MON	B	62,000	46.49	n/a	1-Jul	non-brg	4.0
f MANNY I KORMAN	FID	MON	S	7000	46.45	n/a	1-Jul	0.00	0.0
B BUCK CAPITAL MGMT		MSMSRAWW	SS	55,000	81.74	n/a	n/a	non-brg	8.2
BUCK CAPITAL MGMT	001	MS	B	20,000	22.77	n/a	25-Jun	800.00	4.0
EXIS CAPITAL	044	M	B	5,000	18.45	n/a	30-Jun	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		NDAQ	S	1,500	17.36	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		NILE	SS	10,000	45.08	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT B	FID	OCR	S	1000	23.39	n/a	n/a	0.00	0.0
f CICILY S BACKUS	FID	OCR	S	2000	23.39	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	OCR	S	5000	23.23	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		PAG	S	60,000	11.29	n/a	3-May	non-brg	4.0
f MANNY I KORMAN	FID	PAY	S	5000	18.91	n/a	n/a	0.00	0.0
COHE & STEERS	041	PCL	B	55,209	34.04	n/a	28-Jun	2,208.36	4.0
⚡ BUCK CAPITAL MGMT		PFCB	SS	20,000	38.78	n/a	11-Jun	non-brg	4.0
f MICHAEL MARRANO	FID	PHYAX	B	509	8.84	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	PIR	B	80,000	6.20	n/a	n/a	4,000.00	5.0
MTB INV ADV	034	PNRA	S	2,075	76.05	n/a	28-Apr	103.75	5.0
f CICILY S BACKUS	FID	PRGO	S	2000	57.49	n/a	28-Jun	0.00	0.0
⚡ BUCK CAPITAL MGMT		PRTY LN	S	200,000	3.32	n/a	n/a	non-brg	0.5
⚡ BUCK CAPITAL MGMT		PSS	S	100,000	16.68	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	PSS	B	5,000	16.84	n/a	n/a	250.00	5.0
⚡ BUCK CAPITAL MGMT		PVH	B	10,000	45.57	n/a	26-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CASTLEROCK	040	PVH	B	56,916	45.57	n/a	26-May	2,276.64	4.0
MELLON/BANK OF NEW YORK	046	QEP	B	91,660	29.60	n/a	n/a	2,749.80	3.0
MELLON/BANK OF NEW YORK	046	QEP	S	257,675	29.51	n/a	n/a	7,730.25	3.0
B BUCK CAPITAL MGMT		RDEN	SS	20,000	14.07	n/a	17-May	non-brg	4.0
CAVU CAP ADV	028	RDSA	B	50,000	50.16	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	RL	B	20,000	73.46	n/a	20-May	800.00	4.0
B BUCK CAPITAL MGMT		ROST	C	15,000	55.17	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ROST	SS	15,000	54.27	n/a	n/a	non-brg	4.0
GALT INVESTMENT	041	RRGB	B	25,000	19.00	n/a	n/a	1,000.00	4.0
COHE & STEERS	041	RYN	B	43,307	43.95	n/a	28-Jun	1,732.28	4.0
EGS ASSOCIATES LP	091	SAH	SS	4,000	8.67	n/a	n/a	240.00	6.0
B BUCK CAPITAL MGMT		SHOO	B	20,000	31.76	n/a	n/a	non-brg	2.5
GALT INVESTMENT	041	SHOO	B	8,906	31.25	n/a	n/a	445.30	5.0
B BUCK CAPITAL MGMT		SIAL	S	58,600	49.16	n/a	27-May	non-brg	4.0
BUCK CAPITAL MGMT	001	SIG	S	40,000	27.37	n/a	n/a	1,600.00	4.0
B BUCK CAPITAL MGMT		SPG	SS	5,000	79.76	n/a	n/a	non-brg	4.0
IVORY CAPITAL	034	SPY	C	582,007	102.68	n/a	n/a	2,910.04	0.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	STT	B	20,000	32.65	n/a	25-Jun	800.00	4.0
f MICHAEL MARRANO	FID	TEBIX	B	234	10.67	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		THI	SS	10,000	32.14	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TIF	C	40,000	37.66	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TLB	B	30,000	10.18	n/a	n/a	non-brg	2.5
GALT INVESTMENT	041	TLB	B	25,000	10.04	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		TSCO	SS	10,000	61.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		UAUA	B	10,000	19.27	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		UAUA	B	30,000	18.69	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ULTA	SS	20,000	23.03	n/a	n/a	non-brg	4.0
EMERSON CAPITAL MGMT	048	WEN	S	15,000	3.97	n/a	11-Jun	750.00	5.0
ROYCE TRADING	012	WFR	B	10,800	9.68	n/a	n/a	540.00	5.0
ß BUCK CAPITAL MGMT		WNC	B	28,000	6.60	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT	001	WRC	B	15,000	35.48	n/a	14-May	600.00	4.0
ß BUCK CAPITAL MGMT		WSM	SS	20,000	24.07	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WY	B	10,000	34.46	n/a	28-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	YUM	S	30,000	39.00	n/a	15-Apr	1,500.00	5.0
TOTAL (Trade Date):								72,989.89	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/01	ROSEDALE CAPITAL	046	AXL	B	50,000	6.72	n/a	3-May	2000.00 4.0
AO,CXL	07/01	ROSEDALE CAPITAL	046	AXL	B	50,000	6.72	n/a	3-May	2500.00 5.0
AO,CXL	06/30	CAVU CAP ADV	028	CPB	B	10,832	35.66	n/a	n/a	541.60 5.0
AO,CRT	06/30	CAVU CAP ADV	028	CPB	B	10,832	35.94	n/a	n/a	541.60 5.0
AO,CRT	06/29	CSFB GLOBAL PROPRIETARY	041	LPX	B	150,500	6.86	n/a	29-Jun	6020.00 4.0
AO,CXL	06/29	CSFB GLOBAL PROPRIETARY	041	LPX	B	150,500	6.86	n/a	29-Jun	4515.00 3.0
AO,	07/01	MADISON ST PARTNERS LLC	046	TIF	B	368	38.10	n/a	n/a	18.40 5.0
AO,CRT	07/01	MADISON ST PARTNERS LLC	046	TIF	B	24,632	38.10	n/a	n/a	1231.60 5.0
AO,CXL	07/01	MADISON ST PARTNERS LLC	046	TIF	B	25,000	38.10	n/a	n/a	1250.00 5.0

TOTAL (AO, CXL, CRT) :**1,005.00**

TOTAL (Trade Date) :**72,989.89****TOTAL (AO, CXL, CRT) :****1,005.00**

TOTAL (RUN DATE) / NFS:**73,994.89**

MRI Securities with Trade Activity on 2-Jul. NONE
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MRI Securities without Trade Activity on 2-Jul.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 7%
YTD = 10%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)