



Daily Compliance Activity Report

Trade Date: 06/01/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAN+HF [AAN]	C	234	4.90	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AAN+HF [AAN]	SS	234	4.90	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AAN	B	22,579	32.92	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		AAN	S	22,579	32.92	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		AAPL	B	24,189	137.87	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		AAPL	S	24,189	137.87	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	14.98	20-Apr	1-Jun	2,000.00	4.0
BUCK CAPITAL MGMT		AEO	B	248,470	15.20	20-Apr	1-Jun	non-brg	1.0
BUCK CAPITAL MGMT		AEO	S	248,470	15.20	20-Apr	1-Jun	non-brg	1.0
NEWCASTLE FUNDS	255	AEO	S	30,000	15.50	20-Apr	1-Jun	1,200.00	4.0
MICHAEL THOMAS NOLAN	FID	AEP	B	100	26.93	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	AER	S	20,000	7.02	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT		ALV+RV [ALV]	C	235	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		ALV+UW [ALV]	C	113	0.30	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		ALV+UW [ALV]	SS	113	0.30	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		ALV	C	53,541	28.44	12-Mar	22-Apr	non-brg	1.0
BUCK CAPITAL MGMT		ALV	SS	53,541	28.44	12-Mar	22-Apr	non-brg	1.0
JASON ERIC COHEN	FID	AMT	B	60	32.15	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		AN+SB [AN]	C	226	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		AN+SV [AN]	C	226	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		AN+SV [AN]	SS	226	0.20	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	ANF	B	40,000	31.73	16-Apr	1-Jun	1,600.00	4.0
ß BUCK CAPITAL MGMT		ANF	B	10,325	31.31	16-Apr	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		ANF	S	10,325	31.31	16-Apr	1-Jun	non-brg	1.0
SIGMA CAP BOBBY	044	ANF	S	25,000	31.31	16-Apr	1-Jun	1,000.00	4.0
ß BUCK CAPITAL MGMT		AN	C	39,618	16.49	24-Apr	24-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		AN	SS	39,618	16.49	24-Apr	24-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		APP	B	101,286	3.87	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		APP	S	101,286	3.87	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		APV+GH [AAPL]	C	34	7.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		APV+GH [AAPL]	SS	34	7.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ARO	B	19,554	34.97	n/a	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		ARO	S	19,554	34.95	n/a	1-Jun	non-brg	1.0
WEINTRAUB CAPITAL MGT.	040	ARO	S	100,000	36.13	n/a	1-Jun	4,000.00	4.0
ß BUCK CAPITAL MGMT		AVP+SC [AVP]	C	117	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	AVP	SS	50,000	27.02	2-Jun	6-May	2,000.00	4.0
ß BUCK CAPITAL MGMT		AVP	C	22,957	27.09	2-Jun	6-May	non-brg	1.0
ß BUCK CAPITAL MGMT		AVP	SS	22,957	27.09	2-Jun	6-May	non-brg	1.0
ß BUCK CAPITAL MGMT		AVY	B	20,000	27.87	28-Apr	28-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		BAC	B	100,000	11.42	11-May	27-May	non-brg	4.0
JMP ASSET MGMT	210	BARE	SS	5,000	9.42	n/a	n/a	200.00	4.0
HUNTER GLOBAL INVESTOR	067	BAX	S	49,200	50.46	n/a	22-Apr	2,460.00	5.0
⚡ BUCK CAPITAL MGMT		BBBY	C	78,110	29.00	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		BBBY	SS	78,110	28.99	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		BBY+RT[BBY]	C	235	0.04	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BBY	C	13,670	36.38	n/a	27-Jul	non-brg	1.0
⚡ BUCK CAPITAL MGMT		BBY	SS	13,670	36.38	n/a	27-Jul	non-brg	1.0
f FRANCIS MICHAEL MCCA	FID	BGZ	S	400	32.99	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	S	13000	33.17	n/a	n/a	0.00	0.0
f STEFFANIE CARMELA CA	FID	BGZ	S	39	33.26	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		BHQ+TR[BBBY]	C	227	2.30	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BHQ+TR[BBBY]	SS	227	2.30	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BHQ+TS[BBBY]	C	340	1.20	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BHQ+TS[BBBY]	SS	340	1.20	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BHQ+TX[BBBY]	C	453	0.50	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BHQ+TX[BBBY]	SS	453	0.50	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BIG	B	18,227	23.51	28-May	28-May	non-brg	1.0
⚡ BUCK CAPITAL MGMT		BIG	S	18,227	23.51	28-May	28-May	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BJ+RF [BJ]	C	114	0.07	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKC	C	27,225	16.73	16-Apr	29-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		BKC	SS	27,225	16.73	16-Apr	29-Apr	non-brg	1.0
CIRCLE T/SES PART	035	BKC	B	25,000	16.24	16-Apr	29-Apr	1,000.00	4.0
JMP ASSET MGMT	210	BKC	B	5,000	16.20	16-Apr	29-Apr	250.00	5.0
f ALICE BEEBE LONGLEY	FID	BKF	B	200	38.07	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BKS+SV [BKS]	C	117	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKS+SW [BKS]	C	118	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKS	C	84,425	25.72	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BKS	SS	84,425	25.72	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BLK	S	4,600	162.54	4-Mar	1-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		BWA+SC [BWA]	C	111	0.04	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BWA+SR [BWA]	C	232	0.04	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BWA+SS [BWA]	C	111	0.12	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BWA+ST [BWA]	C	113	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BWA+ST [BWA]	SS	113	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BWA	C	60,422	33.52	1-May	1-May	non-brg	1.0
ß BUCK CAPITAL MGMT		BWA	SS	60,422	33.52	1-May	1-May	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MANNY I KORMAN	FID	BWA	B	6000	33.25	1-May	1-May	0.00	0.0
ß	BUCK CAPITAL MGMT		BYI	B	37,780	28.55	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		BYI	S	37,780	28.55	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CAB	C	16,670	13.69	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CAB	SS	16,670	13.69	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CACH	B	37,288	4.25	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CACH	S	37,288	4.25	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CAT	C	18,342	36.84	n/a	22-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		CAT	SS	18,342	36.84	n/a	22-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		CBRL	C	2,713	32.13	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CBRL	SS	2,713	31.99	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CCL+SR[CCL]	C	118	0.04	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		CECO	C	11,343	20.45	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CECO	SS	11,343	20.45	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CHRS	B	177,937	3.83	n/a	22-Mar	non-brg	1.0
ß	BUCK CAPITAL MGMT		CHRS	S	177,937	3.83	n/a	22-Mar	non-brg	1.0
ß	BUCK CAPITAL MGMT		CHS	B	108,727	10.05	n/a	30-Jan	non-brg	1.0
ß	BUCK CAPITAL MGMT		CHS	S	108,727	10.05	n/a	30-Jan	non-brg	1.0
	DSG CAPITAL	225	CIT	B	40,000	3.82	23-Apr	27-May	1,200.00	3.0
ß	BUCK CAPITAL MGMT		CJY+RH[CMG]	C	467	0.05	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		CLX	C	11,478	52.98	19-May	19-May	non-brg	1.0
ß BUCK CAPITAL MGMT		CLX	SS	11,478	52.98	19-May	19-May	non-brg	1.0
ß BUCK CAPITAL MGMT		CMG	C	13,779	81.75	14-May	14-May	non-brg	1.0
ß BUCK CAPITAL MGMT		CMG	SS	13,779	81.75	14-May	14-May	non-brg	1.0
ß BUCK CAPITAL MGMT		COCO	C	11,343	15.64	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		COCO	SS	11,343	15.64	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		COF	B	50,000	25.02	15-May	27-May	non-brg	4.0
ß BUCK CAPITAL MGMT		COH+FW[COH]	C	113	5.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		COH+FW[COH]	SS	113	5.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		COH	B	88,775	27.60	9-Jan	22-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		COH	S	88,775	27.60	9-Jan	22-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		COLM	C	48,019	32.46	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		COLM	SS	48,019	32.46	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		COST	C	28,725	48.90	n/a	27-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		COST	SS	28,725	48.90	n/a	27-Jul	non-brg	1.0
AVESTA CAP	229	CPB	SS	50,000	28.28	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		CRI	B	9,171	24.22	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CRI	S	9,171	24.20	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CRM	C	11,478	39.12	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CRM	SS	11,478	39.12	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CSTR	C	17,246	30.70	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CSTR	SS	17,246	30.70	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CTAS	C	11,509	23.24	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CTAS	SS	11,509	23.24	n/a	n/a	non-brg	1.0
f ANTHONY NG	FID	CTIC	B	250	2.19	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CUY+SC[CECO]	C	113	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CVGI	B	50,000	1.13	n/a	n/a	non-brg	5.0
f JOEL GIFFORD TISS	FID	CVGI	B	20000	1.10	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	CVGI	B	1400	1.09	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CVS	B	23,094	30.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CVS	S	23,094	30.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CWTR	B	76,813	3.99	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CWTR	S	76,813	3.99	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CXJ+TN[CAT]	C	113	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		C	B	100,000	3.73	n/a	26-May	non-brg	3.0
ß BUCK CAPITAL MGMT		DAI	C	38,856	39.30	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DAI	SS	38,856	39.30	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DAL	B	65,000	6.42	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MANNY I KORMAN	FID	DAL	B	5000	6.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DBD	B	30,000	25.84	n/a	5-May	non-brg	4.0
ß BUCK CAPITAL MGMT		DECK	B	82,691	60.78	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DECK	S	82,691	60.78	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DIN	B	11,478	29.33	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DIN	S	11,478	29.33	n/a	n/a	non-brg	1.0
f MANNY I KORMAN	FID	DIS	B	5000	24.99	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DLTR	B	20,534	45.32	8-May	27-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DLTR	S	20,534	45.32	8-May	27-May	non-brg	1.0
ß BUCK CAPITAL MGMT		EAT	C	17,842	18.48	n/a	24-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		EAT	SS	17,842	18.48	n/a	24-Apr	non-brg	1.0
BREEDEN CAP MGMT	080	EBAY	S	100,000	18.18	n/a	n/a	5,000.00	5.0
ß BUCK CAPITAL MGMT		ECL	B	20,000	38.15	28-Apr	22-May	non-brg	4.0
ß BUCK CAPITAL MGMT		EDU+SH[EDU]	C	113	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		EDU+SH[EDU]	SS	113	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		EDU	C	13,642	57.30	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		EDU	SS	13,642	57.30	n/a	n/a	non-brg	1.0
FIRST NEW YORK LEDDY	035	ETFC	S	10,000	1.50	n/a	n/a	600.00	6.0
f JOSEPH CIRO AMATURO	FID	FAZ	S	16000	4.32	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		FDO+RF [FDO]	C	113	0.70	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FDO+RF [FDO]	SS	113	0.70	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FDO+SF [FDO]	C	116	1.45	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FDO+SF [FDO]	SS	116	1.45	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FDO	C	20,652	30.75	5-Mar	18-May	non-brg 1.0
ß BUCK CAPITAL MGMT		FDO	SS	20,652	30.75	5-Mar	18-May	non-brg 1.0
SCHOTTENFELD ASSOC	041	FDO	B	18,914	31.23	5-Mar	18-May	945.70 5.0
ß BUCK CAPITAL MGMT		FINL	B	56,008	7.33	n/a	28-Mar	non-brg 1.0
ß BUCK CAPITAL MGMT		FINL	S	56,008	7.33	n/a	28-Mar	non-brg 1.0
ß BUCK CAPITAL MGMT		FMQ+TB [WFMI]	C	344	0.58	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FMQ+TB [WFMI]	SS	344	0.58	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FMQ+TI [WFMI]	C	111	0.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FMQ+TU [WFMI]	C	354	0.29	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FMQ+TU [WFMI]	SS	354	0.29	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FMQ+TY [WFMI]	C	117	0.08	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FO+RF [FO]	C	113	0.14	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FOSL	B	10,000	23.04	n/a	30-Jan	non-brg 2.0
ß BUCK CAPITAL MGMT		FOSL	B	191,826	22.81	n/a	30-Jan	non-brg 1.0
ß BUCK CAPITAL MGMT		FOSL	B	20,000	23.11	n/a	30-Jan	non-brg 4.0
ß BUCK CAPITAL MGMT		FOSL	S	191,826	22.81	n/a	30-Jan	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		FO	C	15,709	36.14	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		FO	SS	15,709	36.14	n/a	n/a	non-brg	1.0
JMP ASSET MGMT	210	FRED	SS	2,000	13.88	n/a	27-Mar	100.00	5.0
BUCK CAPITAL MGMT		FUA+FC[FOSL]	C	232	8.00	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FUA+FC[FOSL]	SS	232	8.00	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FUA+FD[FOSL]	C	227	3.40	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FUA+FD[FOSL]	SS	227	3.40	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FUA+IE[FOSL]	C	113	1.75	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FUA+IE[FOSL]	SS	113	1.75	n/a	n/a	non-brg	100.0
BMO NESBITT BURNS	041	F	SS	50,000	6.00	n/a	1-Jun	2,500.00	5.0
COUGAR TRADING	044	F	B	25,000	6.12	n/a	1-Jun	1,000.00	4.0
DSG CAPITAL	225	F	B	20,000	6.19	n/a	1-Jun	600.00	3.0
HILLTOP PARK ASSOC	041	F	S	100,000	6.14	n/a	1-Jun	4,000.00	4.0
BUCK CAPITAL MGMT		GCO	B	109,817	26.36	n/a	10-Jan	non-brg	1.0
BUCK CAPITAL MGMT		GCO	B	200	25.72	n/a	10-Jan	non-brg	1.0
BUCK CAPITAL MGMT		GCO	S	109,817	26.36	n/a	10-Jan	non-brg	1.0
BUCK CAPITAL MGMT	001	GES	B	20,000	27.32	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	GES	S	50,000	28.37	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		GES	B	159,751	27.73	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		GES	S	159,751	27.73	n/a	n/a	non-brg	1.0
SIGMA CAPITAL ASSOCIATES	041	GES	S	25,000	28.29	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT		GE	C	22,957	13.87	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		GE	SS	22,957	13.87	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		GIII	B	358,815	7.20	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		GIII	S	358,815	7.20	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		GIL	B	160,562	16.98	n/a	14-May	non-brg	1.0
BUCK CAPITAL MGMT		GIL	S	160,562	16.98	n/a	14-May	non-brg	1.0
AVESTA CAP	229	GIS	SS	50,000	51.42	n/a	n/a	2,000.00	4.0
CAXTON PART	040	GIS	B	5,507	51.52	n/a	n/a	192.76	3.5
BUCK CAPITAL MGMT		GLW	B	50,000	15.15	28-May	28-May	non-brg	4.0
BUCK CAPITAL MGMT		GMCR	C	5,123	81.47	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		GMCR	SS	5,123	81.39	n/a	n/a	non-brg	1.0
BRENCOURT	028	GM	B	200,000	0.57	19-Feb	1-Jun	4,000.00	2.0
BUCK CAPITAL MGMT		GNTX	C	17,067	12.23	30-Jan	23-Apr	non-brg	1.0
BUCK CAPITAL MGMT		GNTX	SS	17,067	12.23	30-Jan	23-Apr	non-brg	1.0
BUCK CAPITAL MGMT		GPS	B	66,773	18.31	n/a	30-Jan	non-brg	1.0
BUCK CAPITAL MGMT		GPS	S	66,773	18.31	n/a	30-Jan	non-brg	1.0
BUCK CAPITAL MGMT		GQR+SW[GRMN]	C	113	0.30	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GQR+SW[GRMN]	SS	113	0.30	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GQU+HE[Gymb]	C	236	13.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GQU+HE[Gymb]	SS	236	13.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GQU+HF[Gymb]	C	227	8.70	n/a	n/a	non-brg	100.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		GQU+HF [GYMB]	SS	227	8.70	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		GQU+HG [GYMB]	C	113	5.70	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		GQU+HG [GYMB]	SS	113	5.70	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		GQU+HI [GYMB]	C	113	1.42	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		GQU+HI [GYMB]	SS	113	1.42	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		GRMN	C	35,185	21.41	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		GRMN	SS	35,185	21.41	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		GSIC	B	64,056	13.08	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		GSIC	S	64,056	13.08	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		GYMB	B	164,818	37.52	21-May	1-Jun	non-brg	1.0
ß	BUCK CAPITAL MGMT		GYMB	S	164,818	37.52	21-May	1-Jun	non-brg	1.0
f	MICHAEL THOMAS NOLAN	FID	HAR	B	500	19.04	12-Mar	1-May	0.00	0.0
ß	BUCK CAPITAL MGMT		HAS	C	11,478	25.86	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		HAS	SS	11,478	25.86	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		HBI+JD [HBI]	C	113	2.10	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		HBI+JD [HBI]	SS	113	2.10	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		HBI	B	153,421	17.66	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		HBI	S	153,421	17.66	n/a	n/a	non-brg	1.0
f	JONATHAN RICHARD HAR	FID	HBI	S	200	18.35	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		HD+TJ [HD]	C	118	0.39	n/a	n/a	non-brg	100.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		HD+TJ[HD]	SS	118	0.39	n/a	n/a	non-brg	100.0
	BUCK CAPITAL MGMT	001	HD	B	30,000	23.68	12-May	20-May	1,200.00	4.0
ß	BUCK CAPITAL MGMT		HD	C	31,032	23.81	12-May	20-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		HD	SS	31,032	23.81	12-May	20-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		HOG	C	66,678	17.82	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		HOG	SS	66,678	17.82	n/a	n/a	non-brg	1.0
f	JOHN MICHAEL ZOLIDIS	FID	HOG	S	400	18.41	n/a	n/a	0.00	0.0
	AVESTA CAP	229	HON	B	50,000	34.87	n/a	24-Apr	2,000.00	4.0
ß	BUCK CAPITAL MGMT		HOTT	C	45,550	7.40	n/a	30-Jan	non-brg	1.0
ß	BUCK CAPITAL MGMT		HOTT	SS	45,550	7.40	n/a	30-Jan	non-brg	1.0
ß	BUCK CAPITAL MGMT		HOT	C	20,707	25.72	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		HOT	SS	20,707	25.72	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		HUO+SV[PFCB]	C	117	0.05	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		ICON	B	150,784	17.05	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ICON	B	4,100	16.78	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ICON	S	150,784	17.05	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IDXX	C	5,768	43.32	n/a	22-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		IDXX	SS	5,768	43.33	n/a	22-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		IGT	B	63,102	18.02	n/a	27-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		IGT	S	63,102	18.02	n/a	27-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		IMAX	B	81,963	7.71	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		IMAX	S	50,000	7.74	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		IMAX	S	81,963	7.71	n/a	n/a	non-brg	1.0
TYEE/TYGH	035	IVZ	B	68,951	17.09	11-May	1-Jun	2,758.04	4.0
⌘ BUCK CAPITAL MGMT		JBLU	B	75,000	4.87	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		JCG+RR[JCG]	C	246	0.05	n/a	n/a	non-brg	100.0
Ⓕ JOHN MICHAEL ZOLIDIS	FID	JCGIG	S	20	1.10	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		JCG	B	5,710	26.21	n/a	30-Jan	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JCG	S	5,710	26.20	n/a	30-Jan	non-brg	1.0
BALYASNY ASSET MGMT	044	JCP	S	20,000	29.88	13-Apr	1-Jun	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		JNY+HB[JNY]	C	113	1.35	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JNY+HB[JNY]	SS	113	1.35	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JNY+HU[JNY]	C	793	2.70	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JNY+HU[JNY]	SS	793	2.70	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JNY	B	112,879	9.45	n/a	30-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JNY	S	112,879	9.45	n/a	30-Apr	non-brg	1.0
CIRCLE T/SES PART	035	JNY	B	25,000	9.59	n/a	30-Apr	1,000.00	4.0
SCOPUS FUND	041	JNY	B	30,000	9.46	n/a	30-Apr	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	B	56,135	39.80	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JOSB	S	56,135	39.80	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JOZ+TC[HOG]	C	115	0.95	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		JOZ+TC[HOG]	SS	115	0.95	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JWN+SV[JWN]	C	454	0.10	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JWN+VV[JWN]	C	227	0.55	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JWN+VV[JWN]	SS	227	0.55	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JWN	C	20,649	20.99	20-Feb	1-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JWN	SS	20,649	20.99	20-Feb	1-Jun	non-brg	1.0
f FRANCIS MICHAEL MCCA	FID	JWN	B	200	22.56	20-Feb	1-Jun	0.00	0.0
DSG CAPITAL	225	KG	B	15,000	9.60	n/a	26-May	450.00	3.0
f FRANCIS MICHAEL MCCA	FID	KMX	B	400	11.37	n/a	10-Jan	0.00	0.0
NEWCASTLE FUNDS	255	KR	S	40,000	23.44	n/a	n/a	1,600.00	4.0
⌘ BUCK CAPITAL MGMT		KSS+GI[KSS]	C	117	2.70	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		KSS+GI[KSS]	SS	117	2.70	n/a	n/a	non-brg	100.0
BALYASNY ASSET MGMT	044	KSS	B	20,000	45.00	8-May	1-Jun	1,000.00	5.0
BMO NESBITT BURNS	041	KSS	SS	25,000	45.01	8-May	1-Jun	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		KSS	B	73,522	44.90	8-May	1-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		KSS	S	22,000	46.36	8-May	1-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	73,522	44.90	8-May	1-Jun	non-brg	1.0
f FRANCIS MICHAEL MCCA	FID	KSS	B	400	44.91	8-May	1-Jun	0.00	0.0
⌘ BUCK CAPITAL MGMT		LJJ+IU[LVS]	C	113	4.00	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		LJJ+IU[LVS]	SS	113	4.00	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		LL+HW[LL]	C	113	1.40	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		LL+HW[LL]	SS	113	1.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LL	B	110,657	15.70	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LL	S	110,657	15.70	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LTD+HB[LTD]	C	354	3.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LTD+HB[LTD]	SS	354	3.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LTD	B	34,907	13.33	n/a	30-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		LTD	S	34,907	13.33	n/a	30-Jan	non-brg	1.0
BUCK CAPITAL MGMT	001	LVS	S	75,000	10.65	n/a	n/a	3,000.00	4.0
ß BUCK CAPITAL MGMT		LVS	B	111,322	10.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LVS	S	111,322	10.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LVS	S	50,000	10.69	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	500,000	10.41	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LWW+SO[LOW]	C	118	0.15	n/a	n/a	non-brg	100.0
PROSPECTOR PARTNERS LLC	036	LZ	S	10,000	45.69	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		MAR	C	19,438	24.43	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MAR	SS	19,438	24.43	n/a	n/a	non-brg	1.0
f NEIL M STRATTON	FID	MCFVG	S	2	1.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MDTL	C	6,160	0.28	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MDTL	SS	6,160	0.28	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MHK+RH[MHK]	C	113	1.70	n/a	n/a	non-brg	100.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		MHK+RH [MHK]	SS	113	1.70	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		MHK+RV [MHK]	C	113	0.60	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		MHK+RV [MHK]	SS	113	0.60	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		MHK	C	25,465	40.40	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MHK	SS	25,465	40.40	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MNRO	B	15,054	26.75	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MNRO	S	15,054	26.75	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MOV	C	3,403	8.05	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MOV	SS	3,403	8.05	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MPEL	B	60,506	6.09	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MPEL	S	60,506	6.09	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MS	B	50,000	30.48	11-May	19-May	non-brg 4.0
ß	BUCK CAPITAL MGMT		MVL	C	11,663	33.80	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MVL	SS	11,663	33.80	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		MVRX	C	137,870	133.14	n/a	n/a	non-brg 5.0
ß	BUCK CAPITAL MGMT		MVRX	SS	137,870	133.14	n/a	n/a	non-brg 5.0
ß	BUCK CAPITAL MGMT		MW+TC [MW]	C	339	1.15	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		MW+TC [MW]	SS	339	1.15	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		MW+TV [MW]	C	113	0.50	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		MW+TV [MW]	SS	113	0.50	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		MW	C	49,893	18.18	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		MW	SS	49,893	18.18	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		NILE	C	9,171	46.48	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		NILE	SS	9,171	46.48	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		NKE+GK[NKE]	C	117	6.00	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		NKE+GK[NKE]	SS	117	6.00	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		NKE	B	8,312	57.72	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		NKE	S	8,312	57.72	n/a	n/a	non-brg 1.0
PROSPECTOR PARTNERS LLC	036	PCZ	S	10,000	45.43	n/a	n/a	400.00 4.0
ß BUCK CAPITAL MGMT		PDCO	C	15,200	20.85	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PDCO	SS	15,200	20.85	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PERY	B	109,940	8.63	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PERY	S	10,900	8.85	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PERY	S	109,940	8.63	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PERY	S	35,300	8.61	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PERY	S	51,588	8.71	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PFCB	C	29,496	33.15	29-May	29-May	non-brg 1.0
ß BUCK CAPITAL MGMT		PFCB	SS	29,496	33.15	29-May	29-May	non-brg 1.0
ß BUCK CAPITAL MGMT		PII	C	1,615	32.54	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PII	SS	1,615	32.39	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PLCE	B	64,725	37.20	19-Mar	1-Jun	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		PLCE	S	64,725	37.20	19-Mar	1-Jun	non-brg 1.0
CAXTON PART	040	PM	B	5,507	43.33	n/a	n/a	192.76 3.5
BUCK CAPITAL MGMT		PP FP	C	7,893	86.91	n/a	n/a	non-brg 1.7
BUCK CAPITAL MGMT		PP FP	SS	7,893	86.91	n/a	n/a	non-brg 1.7
BUCK CAPITAL MGMT		PRQ+SA[COST]	C	117	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PRQ+SD[COST]	C	111	0.11	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PRQ+SH[COST]	C	111	0.20	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PSS+FB[PSS]	C	234	5.60	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PSS+FB[PSS]	SS	234	5.60	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PSS+IC[PSS]	C	227	2.90	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PSS+IC[PSS]	SS	227	2.90	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PSS	B	132,685	15.81	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		PSS	S	132,685	15.81	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		PVH+FD[PVH]	C	117	10.90	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PVH+FD[PVH]	SS	117	10.90	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PVH+FE[PVH]	C	238	6.10	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PVH+FE[PVH]	SS	238	6.10	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PVH+FF[PVH]	C	116	2.20	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PVH+FF[PVH]	SS	116	2.20	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		PVH+FW[PVH]	C	232	13.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PVH+FW[PVH]	SS	232	13.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PVH8.5-2013	B	127,000	102.06	n/a	n/a	non-brg 0.1
ß BUCK CAPITAL MGMT		PVH8.5-2013	S	127,000	101.94	n/a	n/a	non-brg 0.1
ß BUCK CAPITAL MGMT		PVH	B	104,179	30.63	20-Apr	21-May	non-brg 1.0
ß BUCK CAPITAL MGMT		PVH	S	104,179	30.63	20-Apr	21-May	non-brg 1.0
ß BUCK CAPITAL MGMT		QAA+GT[AAPL]	C	58	38.80	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QAA+GT[AAPL]	SS	58	38.80	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QAA+MI[AAPL]	C	235	0.22	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FJ[DECK]	C	211	12.10	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FJ[DECK]	SS	211	12.10	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FL[DECK]	C	40	3.30	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FL[DECK]	SS	40	3.30	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FM[DECK]	C	59	1.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FM[DECK]	SS	59	1.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FO[DECK]	C	24	0.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+IP[DECK]	C	114	2.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+IP[DECK]	SS	114	2.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+GF[JOSB]	C	117	10.90	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		QZS+GF [JOSB]	SS	117	10.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QZS+GG [JOSB]	C	226	6.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QZS+GG [JOSB]	SS	226	6.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QZS+GH [JOSB]	C	114	3.50	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QZS+GH [JOSB]	SS	114	3.50	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QZS+GI [JOSB]	C	57	1.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QZS+GI [JOSB]	SS	57	1.40	n/a	n/a	non-brg	100.0
SLS CAPITAL LLC	224	RBA	SS	47,200	23.48	n/a	n/a	1,888.00	4.0
ß BUCK CAPITAL MGMT		RL+SH [RL]	C	227	0.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL+SH [RL]	SS	227	0.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL	B	10,382	57.37	n/a	28-May	non-brg	1.0
ß BUCK CAPITAL MGMT		RL	S	10,382	57.37	n/a	28-May	non-brg	1.0
ß BUCK CAPITAL MGMT		RMS FP	C	7,759	137.02	n/a	n/a	non-brg	2.7
ß BUCK CAPITAL MGMT		RMS FP	SS	7,759	137.02	n/a	n/a	non-brg	2.7
JMP ASSET MGMT	210	ROL	SS	2,000	17.55	n/a	n/a	100.00	5.0
ß BUCK CAPITAL MGMT		S4RETL	C	3,233	323.89	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		S4RETL	SS	3,233	323.89	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		S6RETL	C	2,999	165.50	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		S6RETL	SS	2,999	165.50	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		SAI	C	22,611	17.66	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SAI	SS	22,611	17.66	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SGMS	C	22,957	18.73	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SGMS	SS	22,957	18.73	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX+GB[SKX]	C	226	1.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+GB[SKX]	SS	226	1.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+GU[SKX]	C	573	3.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+GU[SKX]	SS	573	3.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX	B	386,945	10.22	n/a	13-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	S	386,945	10.22	n/a	13-Mar	non-brg	1.0
f FRANCIS MICHAEL MCCA	FID	SLV	B	300	15.55	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SONC	C	9,056	9.66	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SONC	SS	9,056	9.66	n/a	n/a	non-brg	1.0
f THE BUCKINGHAM AM RESE	FID	STI	B	1500	13.83	n/a	n/a	0.00	0.0
LISTED ERR	099	STI	B	1,500	13.25	n/a	n/a	0.00	0.0
EMP ERROR	099	STI	S	1,500	13.85	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SUMR	B	231,228	2.10	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SUMR	S	231,228	2.10	n/a	n/a	non-brg	1.0
f ANDREW SINCLAIR	FID	SWVUL	S	200	0.38	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	SZCSG	B	175	1.13	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	SZCSG	S	175	1.01	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ANDREW SINCLAIR	FID	SZCUW	S	100	1.15	n/a	n/a	0.00	0.0
f CHRISTOPHER ARTHUR K	FID	S	S	2000	4.95	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	TEN	B	400	7.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TEX	B	68,000	14.24	13-Feb	28-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		TGT	B	39,915	40.66	25-Feb	20-May	non-brg	1.0
B BUCK CAPITAL MGMT		TGT	S	39,915	40.66	25-Feb	20-May	non-brg	1.0
TRUST CO/COWEN/CALF.	040	THC	S	594,890	3.89	n/a	5-May	17,846.70	3.0
B BUCK CAPITAL MGMT		TIF+TB[TIF]	C	117	0.03	n/a	n/a	non-brg	100.0
f JASON ERIC COHEN	FID	TIN	S	70	13.83	n/a	22-Apr	0.00	0.0
AVESTA CAP	229	TJX	S	25,000	30.41	4-May	1-Jun	1,000.00	4.0
B BUCK CAPITAL MGMT		TJX	B	125,281	30.24	4-May	1-Jun	non-brg	1.0
B BUCK CAPITAL MGMT		TJX	B	50,000	29.94	4-May	1-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		TJX	S	125,281	30.24	4-May	1-Jun	non-brg	1.0
B BUCK CAPITAL MGMT		TQQ+RF[COLM]	C	113	0.35	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		TQQ+RF[COLM]	SS	113	0.35	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		TQQ+SE[COLM]	C	113	0.20	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		TQQ+SE[COLM]	SS	113	0.20	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		TSCO LN	C	47,817	5.92	n/a	n/a	non-brg	0.1

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		TSCO LN	SS	47,817	5.92	n/a	n/a	non-brg 0.1
ß BUCK CAPITAL MGMT		TUY+FF [PLCE]	C	116	7.60	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		TUY+FF [PLCE]	SS	116	7.60	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		TUY+FG [PLCE]	C	299	3.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		TUY+FG [PLCE]	SS	299	3.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		UAUA	B	75,000	4.99	n/a	n/a	non-brg 3.0
ß BUCK CAPITAL MGMT		UA	C	26,475	24.80	29-Apr	29-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		UA	SS	26,475	24.80	29-Apr	29-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		UCS+TV [COCO]	C	113	0.55	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		UCS+TV [COCO]	SS	113	0.55	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		UHO+TB [HOTT]	C	227	2.80	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		UHO+TB [HOTT]	SS	227	2.80	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		UHO+TU [HOTT]	C	96	1.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		UHO+TU [HOTT]	SS	96	1.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		URBN	B	50,000	21.06	n/a	30-Jan	non-brg 4.0
ß BUCK CAPITAL MGMT		URBN	B	92,300	21.08	n/a	30-Jan	non-brg 1.0
ß BUCK CAPITAL MGMT		URBN	S	92,300	21.08	n/a	30-Jan	non-brg 1.0
ß BUCK CAPITAL MGMT		URQ+FD [URBN]	C	117	1.95	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		URQ+FD [URBN]	SS	117	1.95	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		URQ+FW [URBN]	C	117	4.10	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		URQ+FW[URBN]	SS	117	4.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		URQ+FX[URBN]	C	235	0.74	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		VFC+TJ[VFC]	C	226	1.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		VFC+TJ[VFC]	SS	226	1.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		VIA/B	C	11,305	23.29	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		VIA/B	SS	11,305	23.29	n/a	n/a	non-brg	1.0
f ROBERT M DANIELS	FID	WEN	B	320	4.48	n/a	24-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		WFMI	C	95,283	20.09	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WFMI	SS	95,283	20.09	n/a	n/a	non-brg	1.0
f MANNY I KORMAN	FID	WFMI	B	5000	20.26	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WMS+SV[WMS]	C	235	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WMT+FK[WMT]	C	117	0.06	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WMT	B	27,907	49.77	11-May	14-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WMT	S	27,907	49.77	11-May	14-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC+FF[WRC]	C	115	4.50	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WRC+FF[WRC]	SS	115	4.50	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WRC+GE[WRC]	C	584	9.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WRC+GE[WRC]	SS	584	9.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WRC+GG[WRC]	C	116	1.75	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		WRC+GG[WRC]	SS	116	1.75	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	WRC	B	30,000	33.18	13-May	13-May	1,200.00	4.0
ß BUCK CAPITAL MGMT		WRC	B	255,304	33.68	13-May	13-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	S	255,304	33.68	13-May	13-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WSM+TA[WSM]	C	584	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WSM+TS[WSM]	C	118	0.19	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WSM	C	59,807	13.19	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WSM	SS	59,807	13.19	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WYNN	C	5,768	38.95	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WYNN	SS	5,768	38.91	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRAY	C	5,768	29.63	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRAY	SS	5,768	29.59	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRT	C	644,821	28.63	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRT	SS	644,821	28.63	n/a	n/a	non-brg	1.0
HILLTOP PARK ASSOC	041	X	S	25,000	36.67	n/a	n/a	1,250.00	5.0
ß BUCK CAPITAL MGMT		ZWR+TC[ANF]	C	111	0.07	n/a	n/a	non-brg	100.0
TOTAL (Trade Date):								85,683.96	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/29	GALT INVESTMENT	041	CWTR	B	50,000	3.76	n/a	n/a	1250.00 2.5
AO,CXL	05/29	GALT INVESTMENT	041	CWTR	B	50,000	3.76	n/a	n/a	2500.00 5.0
AO,CRT	05/29	BNP PARIBAS SEC	040	DE	B	12,000	43.16	26-Jan	20-May	600.00 5.0
AO,CXL	05/29	BNP PARIBAS SEC	040	DE	B	12,000	43.16	26-Jan	20-May	480.00 4.0
AO,CXL	05/29	FIRST NEW YORK LEDDY	035	F	B	50,000	5.60	n/a	1-Jun	3000.00 6.0
AO,CRT	05/29	FIRST NEW YORK LEDDY	035	F	B	50,000	5.60	n/a	1-Jun	1500.00 3.0
AO,CRT	05/29	THIRD POINT MGMT	044	GE	B	151,300	13.28	n/a	n/a	4539.00 3.0
AO,CXL	05/29	TRUST CO/COWEN/CALF.	040	GE	B	151,300	13.28	n/a	n/a	4539.00 3.0
AO,CRT	05/27	PEQUOT CAPITAL MGMT	070	HAR	B	44,400	18.90	12-Mar	1-May	1776.00 4.0
AO,CXL	05/27	PEQUOT CAPITAL MGMT	070	HAR	B	44,400	18.90	12-Mar	1-May	1776.00 4.0
AO,	05/29	TYEE/TYGH	035	IVZ	B	30,284	15.00	11-May	1-Jun	1211.36 4.0
AO,CXL	05/29	TYEE/TYGH	035	IVZ	B	30,284	15.00	11-May	1-Jun	1211.36 4.0
AO,CRT	05/29	BNP PARIBAS SEC	040	JOYG	SS	16,000	34.58	2-Jun	5-Mar	800.00 5.0
AO,CXL	05/29	BNP PARIBAS SEC	040	JOYG	SS	16,000	34.58	2-Jun	5-Mar	640.00 4.0
AO,CXL	05/29	MORGAN BANK /JPM	0	LMT	S	258	38.99	n/a	n/a	7.74 3.0
AO,CRT	05/29	MORGAN BANK /JPM	070	LMT	S	258	83.63	n/a	n/a	7.74 3.0
AO,CRT	05/29	COBALT	041	SGY	SS	100,000	8.38	n/a	n/a	3000.00 3.0
AO,CXL	05/29	COBALT	041	SGY	SS	100,000	8.38	n/a	n/a	5000.00 5.0
TOTAL (AO, CXL, CRT) :										-4,470.00

TOTAL (Trade Date):	85,683.96
TOTAL (AO, CXL, CRT):	-4,470.00

TOTAL (RUN DATE) / NFS:	81,213.96
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MRI Securities with Trade Activity on 1-Jun. NONE
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MRI Securities without Trade Activity on 1-Jun. ADM, CHTT
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 5% YTD = 21%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)