

Daily Compliance Activity Report Trade Date: 08/22/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

					- 0		11 11 12 1 9 1	TIEIDE (IO	
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
COUGAR TRADING	044	AEO	В	10,000	13.97	6-Mar	8-Aug	500.00	5.0
BUCK	015	AET	В	500	43.44	n/a	n/a	0.00	0.0
TRUST CO/COWEN/CALF.	040	ALO	S	82,025	33.66	n/a	n/a	4,101.25	5.0
BUCK CAPITAL MGMT	001	ANF	S	20,000	51.33	7-Aug	15-Aug	800.00	4.0
COUGAR TRADING	044	ANF	В	5,000	51.72	7-Aug	15-Aug	250.00	5.0
COUGAR TRADING	044	ANF	S	5,000	51.03	7-Aug	15-Aug	250.00	5.0
PRINCIPLE CAPITAL	040	ANF	В	37,600	51.45	7-Aug	15-Aug	1,504.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	40,000	33.99	28-Apr	22-Aug	1,600.00	4.0
MTB INV ADV	034	ARO	В	8,800	33.33	28-Apr	22-Aug	440.00	5.0
BUCK	015	BAC	В	1,000	29.65	n/a	15-Aug	0.00	0.0
PRINCIPLE CAPITAL	040	ВС	В	123,900	13.59	n/a	n/a	3,717.00	3.0
COUGAR TRADING	044	BKC	S	10,000	25.56	1-May	22-Aug	500.00	5.0
MTB INV ADV	034	BKC	S	41,500	25.57	1-May	22-Aug	2,075.00	5.0
HIGHFIELDS CAP MGMT	039	CDNS	В	200,000	7.95	n/a	n/a	8,000.00	4.0
BUCK CAPITAL MGMT	001	CHS	В	50,000	4.83	8-Aug	8-Aug	2,000.00	4.0
COUGAR TRADING	044	CMG	SS	2,000	71.78	24-Jul	24-Jul	100.00	5.0
CENTURION PARTNERS	255	CTXS	В	13,300	30.98	n/a	n/a	665.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
BUCK	015	СУРВ	В	5,000	6.80	n/a	n/a	0.00	0.0
CENTURION PARTNERS	255	DELL	В	75 , 000	25.46	n/a	n/a	3,750.00	5.0
AVESTA CAP	229	EFX	В	20,000	34.97	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	EL	В	10,000	50.43	15- A ug	15-Aug	400.00	4.0
UBS OCONNOR	031	EV	В	100,000	34.45	21-Aug	21-Aug	3,000.00	3.0
FULLER & THALER ASSET	033	FL	В	100,000	16.00	11-Aug	22-Aug	3,000.00	3.0
ROARING BLUE LION MGMT	012	FNM	SS	35,000	4.88	n/a	n/a	1,050.00	3.0
TRELLUS PARTNERS LP	028	F	С	100,000	4.40	25-Jul	28-Jul	3,000.00	3.0
WYCKOFF	013	GES	В	100	35.38	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	В	40,000	22.76	14-Aug	14-Aug	1,600.00	4.0
BULL PATH CAPITAL	044	GPS	SS	50,000	19.92	n/a	22-Aug	2,500.00	5.0
JP MORGAN VENTURES	046	GPS	SS		19.98	n/a	22-Aug	750.00	5.0
KENNEDY CAPITAL	046	GT	S	74 , 845	19.66	n/a	n/a	2,993.80	4.0
WYCKOFF	013	HSNI	В	100	15.96	n/a	n/a	0.00	0.0
LEDDY	035	ICON	s	800	13.38	n/a	n/a	0.00	0.0
BUCK	015	ISPH	s	4,000	4.33	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
COUGAR TRADING	044	JCG	В	15,000	26.05	n/a	20-Aug	750.00	5.0
COUGAR TRADING	044	JCG	S	30,000	25.71	n/a	20-Aug	1,500.00	5.0
WHITTIER CAP	048	JCG	В	1,625	25.78	n/a	20-Aug	65.00	4.0
CASTLEROCK	040	JEF	В	182 , 752	17.62	n/a	n/a	7,310.08	4.0
BUCK CAPITAL MGMT	001	JNY	SS	50,000	19.21	n/a	30-Jul	2,000.00	4.0
WYCKOFF	013	KCP	В	100	14.84	n/a	28-Sep	0.00	0.0
CENTURION PARTNERS	255	LCC	В	50,000	7.70	n/a	n/a	1,500.00	3.0
NATIONAL BK OF CAN	031	LEH	В	75 , 000	14.52	20-Aug	20-Aug	3,000.00	4.0
DISIPLINED GROWTH INV.	030	LTD	S	8,300	20.41	19-Aug	21-Aug	415.00	5.0
TRUST CO/COWEN/CALF.	040	MBI	В	128,605	10.07	n/a	n/a	5,144.20	4.0
WHITTIER CAP	048	MCD	S	655	63.63	9-Jun	8-Aug	26.20	4.0
BUCK	015	MCK	S	1,250	58.24	n/a	n/a	0.00	0.0
BUCK	015	MHS	В	1,500	48.07	n/a	n/a	0.00	0.0
виск	015	MRK	В	200	35.36	n/a	n/a	0.00	0.0
виск	015	MS	SS	500	38.46	15-Aug	15-Aug	0.00	0.0
AVESTA CAP	229	MTB	В	20,000	70.17	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	3	Shares	Price	MRI	Research	Comm. (Shr.
MTB INV ADV	034	MVL	В		15,050	33.60	n/a	n/a	752.50	5.0
BUCK CAPITAL MGMT	001	M	В		40,000	19.88	12-Aug	14-Aug	1,600.00	4.0
SYSTEMATIC FINANCIAL MGT	035	NKE		S	369,311	61.25	n/a	n/a	13,812.23	3.7
BUCK CAPITAL MGMT	001	NWY		SS	10,000	11.48	n/a	15-Aug	400.00	4.0
TRUST CO/COWEN/CALF.	040	NYB	В		46,450	15.57	n/a	n/a	1,858.00	4.0
MTB INV ADV	034	PFCB	В		23,400	25.98	n/a	6-Aug	1,170.00	5.0
REINHART & MAHONEY	031	PL		S	19,550	35.11	n/a	n/a	977.50	5.0
COUGAR TRADING	044	PSUN		SS	20,000	5.41	n/a	22-Aug	1,000.00	5.0
BUCK CAPITAL MGMT	001	PVH	В		12,000	35.70	5-May	22-Aug	480.00	4.0
DANIELS	N25	QXM	В		500	5.28	n/a	n/a	0.00	0.0
DISIPLINED GROWTH INV.	030	RCL		S	4,800	26.12	23-Jul	23-Jul	240.00	5.0
BUCK CAPITAL MGMT	001	RL		SS	20,000	73.57	n/a	7-Aug	800.00	4.0
IRIDIAN ASSET MGMT.	036	ROC	В		18,590	38.96	n/a	n/a	929.50	5.0
MTB INV ADV	034	ROST		S	21,300	38.98	n/a	n/a	1,065.00	5.0
COUGAR TRADING	044	SSO	В		5,000	62.04	n/a	n/a	250.00	5.0
	MTB INV ADV BUCK CAPITAL MGMT SYSTEMATIC FINANCIAL MGT BUCK CAPITAL MGMT TRUST CO/COWEN/CALF. MTB INV ADV REINHART & MAHONEY COUGAR TRADING BUCK CAPITAL MGMT DANIELS DISIPLINED GROWTH INV. BUCK CAPITAL MGMT IRIDIAN ASSET MGMT. MTB INV ADV	MTB INV ADV 034 BUCK CAPITAL MGMT 001 SYSTEMATIC FINANCIAL MGT 035 BUCK CAPITAL MGMT 001 TRUST CO/COWEN/CALF. 040 MTB INV ADV 034 REINHART & MAHONEY 031 COUGAR TRADING 044 BUCK CAPITAL MGMT 001 DANIELS N25 DISIPLINED GROWTH INV. 030 BUCK CAPITAL MGMT 001 IRIDIAN ASSET MGMT. 036 MTB INV ADV 034	MTB INV ADV 034 MVL BUCK CAPITAL MGMT 001 M SYSTEMATIC FINANCIAL MGT 035 NKE BUCK CAPITAL MGMT 001 NWY TRUST CO/COWEN/CALF. 040 NYB MTB INV ADV 034 PFCB REINHART & MAHONEY 031 PL COUGAR TRADING 044 PSUN BUCK CAPITAL MGMT 001 PVH DANIELS N25 QXM DISIPLINED GROWTH INV. 030 RCL BUCK CAPITAL MGMT 001 RL IRIDIAN ASSET MGMT. 036 ROC MTB INV ADV 034 ROST	MTB INV ADV 034 MVL B BUCK CAPITAL MGMT 001 M B SYSTEMATIC FINANCIAL MGT 035 NKE BUCK CAPITAL MGMT 001 NWY TRUST CO/COWEN/CALF. 040 NYB B MTB INV ADV 034 PFCB B REINHART & MAHONEY 031 PL COUGAR TRADING 044 PSUN BUCK CAPITAL MGMT 001 PVH B DANIELS N25 QXM B DISIPLINED GROWTH INV. 030 RCL BUCK CAPITAL MGMT 001 RL IRIDIAN ASSET MGMT. 036 ROC B MTB INV ADV 034 ROST	MTB INV ADV 034 MVL B BUCK CAPITAL MGMT 001 M B SYSTEMATIC FINANCIAL MGT 035 NKE S BUCK CAPITAL MGMT 001 NWY SS TRUST CO/COWEN/CALF. 040 NYB B MTB INV ADV 034 PFCB B REINHART & MAHONEY 031 PL S COUGAR TRADING 044 PSUN SS BUCK CAPITAL MGMT 001 PVH B DANIELS N25 QXM B DISIPLINED GROWTH INV. 030 RCL S BUCK CAPITAL MGMT 001 RL SS BUCK CAPITAL MGMT 001 RL SS HIRIDIAN ASSET MGMT. 036 ROC B MTB INV ADV 034 ROST S	MTB INV ADV 034 MVL B 15,050 BUCK CAPITAL MGMT 001 M B 40,000 SYSTEMATIC FINANCIAL MGT 035 NKE S 369,311 BUCK CAPITAL MGMT 001 NWY SS 10,000 TRUST CO/COWEN/CALF. 040 NYB B 46,450 MTB INV ADV 034 PFCB B 23,400 REINHART & MAHONEY 031 PL S 19,550 COUGAR TRADING 044 PSUN SS 20,000 BUCK CAPITAL MGMT 001 PVH B 12,000 DANIELS N25 QXM B 500 BUCK CAPITAL MGMT 030 RCL S 4,800 BUCK CAPITAL MGMT 001 RL SS 20,000 IRIDIAN ASSET MGMT 036 ROC B 18,590 MTB INV ADV 034 ROST S 21,300	MTB INV ADV 034 MVL B 15,050 33.60 BUCK CAPITAL MGMT 001 M B 40,000 19.88 SYSTEMATIC FINANCIAL MGT 035 NKE S 369,311 61.25 BUCK CAPITAL MGMT 001 NWY SS 10,000 11.48 TRUST CO/COWEN/CALF. 040 NYB B 46,450 15.57 MTB INV ADV 034 PFCB B 23,400 25.98 REINHART & MAHONEY 031 PL S 19,550 35.11 COUGAR TRADING 044 PSUN SS 20,000 5.41 BUCK CAPITAL MGMT 001 PVH B 12,000 35.70 DANIELS N25 QXM B 500 5.28 DISIPLINED GROWTH INV. 030 RCL S 4,800 26.12 BUCK CAPITAL MGMT 001 RL SS 20,000 73.57 IRIDIAN ASSET MGMT. 036 ROC B	MTB INV ADV 034 MVL B 15,050 33.60 n/a BUCK CAPITAL MGMT 001 M B 40,000 19.88 12-Aug SYSTEMATIC FINANCIAL MGT 035 NKE S 369,311 61.25 n/a BUCK CAPITAL MGMT 001 NWY SS 10,000 11.48 n/a TRUST CO/COWEN/CALF. 040 NYB B 46,450 15.57 n/a MTB INV ADV 034 PFCB B 23,400 25.98 n/a COUGAR TRADING 044 PSUN SS 20,000 5.41 n/a BUCK CAPITAL MGMT 001 PVH B 12,000 35.70 5-May DANIELS N25 QXM B 500 5.28 n/a DISIPLINED GROWTH INV. 030 RCL S 4,800 26.12 23-Jul BUCK CAPITAL MGMT 001 RL SS 20,000 73.57 n/a RISIDIAN ASSET	MTB INV ADV 034 MVL B 15,050 33.60 n/a n/a BUCK CAPITAL MGMT 001 M B 40,000 19.88 12-Aug 14-Aug SYSTEMATIC FINANCIAL MGT 035 NKE S 369,311 61.25 n/a n/a BUCK CAPITAL MGMT 001 NWY SS 10,000 11.48 n/a 15-Aug TRUST CO/COWEN/CALF. 040 NYB B 46,450 15.57 n/a n/a MTB INV ADV 034 PFCB B 23,400 25.98 n/a 6-Aug REINHART & MAHONEY 031 PL S 19,550 35.11 n/a n/a COUGAR TRADING 044 PSUN SS 20,000 5.41 n/a 22-Aug BUCK CAPITAL MGMT 001 PVH B 12,000 35.70 5-May 22-Aug BUCK CAPITAL MGMT 030 RCL S 4,800 26.12 23-Jul 23-Jul BUCK CAPITAL MGMT 001 RL SS 20,000 73.57 n/a 7-Aug IRIDIAN ASSET MGMT. 036 ROC B 18,590 38.96 n/a n/a MTB INV ADV 034 ROST S 21,300 38.98 n/a n/a	MIS INV ADV 034 MVL B 15,050 33.60 n/a n/a 752.50 BUCK CAPITAL MGMT 001 M B 40,000 19.88 12-Aug 14-Aug 1,600.00 SYSTEMATIC FINANCIAL MGT 035 NKE S 369,311 61.25 n/a n/a 13,812.23 BUCK CAPITAL MGMT 001 NWY SS 10,000 11.48 n/a 15-Aug 400.00 TRUST CO/COWEN/CALF. 040 NYB B 46,450 15.57 n/a n/a 1,858.00 MIB INV ADV 034 PFCB B 23,400 25.98 n/a 6-Aug 1,170.00 REINHART & MAHONEY 031 PL S 19,550 35.11 n/a n/a 977.50 COUGAR TRADING 044 PSUN SS 20,000 5.41 n/a 22-Aug 1,000.00 BUCK CAPITAL MGMT 001 PVH B 12,000 35.70 5-May 22-Aug 480.00 DANIELS N25 QXM B 500 5.28 n/a n/a 0.00 DISIPLINED GROWTH INV. 030 RCL S 4,800 26.12 23-Jul 23-Jul 240.00 BUCK CAPITAL MGMT 001 RL SS 20,000 73.57 n/a 7-Aug 800.00 BUCK CAPITAL MGMT. 036 RCC B 18,590 38.96 n/a n/a 929.50 MIB INV ADV 034 ROST S 21,300 38.98 n/a n/a 1,065.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
COUGAR TRADING	044	SSO	S	5,000	61.76	n/a	n/a	250.00	5.0
MERRILL ASSET	032	STC	S	20,000	17.22	n/a	n/a	900.00	4.5
T. ROWE PRICE	033	TEL	В	1,500	33.00	n/a	n/a	60.00	4.0
BUCK CAPITAL MGMT	001	TGT	В	20,000	52.04	n/a	20-Aug	800.00	4.0
DISIPLINED GROWTH INV.	030	TJX	S	9,900	35.68	11-Aug	12-Aug	495.00	5.0
MERRILL ASSET	032	UTI	S	50,000	16.99	n/a	n/a	2,250.00	4.5
MS PRINCIPAL STRATEGIES	041	WLK	SS	30,700	19.52	n/a	n/a	1,535.00	5.0
MTB INV ADV	034	WMS	В	12,850	31.90	n/a	n/a	642.50	5.0
BUCK CAPITAL MGMT	001	WRC	S	30,000	49.63	8-Aug	8-Aug	1,200.00	4.0
BUCK CAPITAL MGMT	001	www	SS	30,000	26.01	n/a	13-Mar	1,200.00	4.0

TOTAL (Trade Date): 106,923.76

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	;	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	08/19	SENATOR INVESTMENT GROUP (SENAMS)	067	EXC	В		7,500	73.84	n/a	n/a	300.00	4.0
AO,CRT	08/19	SENATOR INVESTMENT GROUP (SENAMS)	067	EXC	В		7,500	73.84	n/a	n/a	75.00	1.0
AO,	08/20	NICHOLAS ADVISORS (NICHUS1979)	034	IACID	В		20,000	16.74	n/a	n/a	1000.00	5.0
AO,CXL	08/20	NICHOLAS ADVISORS (NICHUS1979)	034	IACIV	В		20,000	0.00	n/a	n/a	1000.00	5.0
AO,CXL	08/21	REINHART & MAHONEY (REINNGLWM)	031	PL		S	2,350	34.72	n/a	n/a	1.74	0.0
AO, CRT	08/21	REINHART & MAHONEY (REINNGLWM)	031	PL		S	2,350	34.72	n/a	n/a	117.50	5.0
AO,CXL	08/21	COUGAR TRADING (COUGPB MS)	044	PSUN	В		10,000	7.83	n/a	22-Aug	500.00	5.0
AO, CRT	08/21	COUGAR TRADING (COUGPB MS)	044	PSUN	В		10,000	7.83	n/a	22-Aug	400.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40459)	035	PVH		S	4,200	34.85	5-May	22-Aug	168.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40369)	035	PVH		S	3,495	34.85	5-May	22-Aug	139.80	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40450)	035	PVH		S	14,130	34.85	5-May	22-Aug	565.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40368)	035	PVH		S	2,355	34.85	5-May	22-Aug	94.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40454)	035	PVH		S	3,475	34.85	5-May	22-Aug	139.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40367)	035	PVH		S	135	34.85	5-May	22-Aug	5.40	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40451)	035	PVH		S	2,840	34.85	5-May	22-Aug	113.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40366)	035	PVH		S	480	34.85	5-May	22-Aug	19.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40413)	035	PVH		S	365	34.85	5-May	22-Aug	14.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40364)	035	PVH		S	590	34.85	5-May	22-Aug	23.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40412)	035	PVH		S	770	34.85	5-May	22-Aug	30.80	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40351)	035	PVH		S	1,515	34.85	5-May	22-Aug	60.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40424)	035	PVH		S	495	34.85	5-May	22-Aug	19.80	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40336)	035	PVH		S	2,440	34.85	5-May	22-Aug	97.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40422)	035	PVH		S	1,015	34.85	5-May	22-Aug	40.60	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.	¢/Shr.
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40335)	035	PVH	S	1,440	34.85	5-May 22-Aug	57.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40429)	035	PVH	S	270	34.85	5-May 22-Aug	10.80	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40328)	035	PVH	S	215	34.85	5-May 22-Aug	8.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40446)	035	PVH	S	4,365	34.85	5-May 22-Aug	174.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40222)	035	PVH	S	13,900	34.85	5-May 22-Aug	556.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40434)	035	PVH	S	10,270	34.85	5-May 22-Aug	410.80	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40032)	035	PVH	S	430	34.85	5-May 22-Aug	17.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB39951)	035	PVH	S	170	34.85	5-May 22-Aug	6.80	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40030)	035	PVH	S	55	34.85	5-May 22-Aug	2.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB39993)	035	PVH	S	8,825	34.85	5-May 22-Aug	353.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40542)	035	PVH	S	475	34.85	5-May 22-Aug	19.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40543)	035	PVH	S	980	34.85	5-May 22-Aug	39.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40548)	035	PVH	S	3,780	34.85	5-May 22-Aug	151.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40549)	035	PVH	S	160	34.85	5-May 22-Aug	6.40	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40375)	035	PVH	S	5,480	34.85	5-May 22-Aug	219.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40381)	035	PVH	S	480	34.85	5-May 22-Aug	19.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40504)	035	PVH	S	175	34.85	5-May 22-Aug	7.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40520)	035	PVH	S	400	34.85	5-May 22-Aug	16.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40521)	035	PVH	S	555	34.85	5-May 22-Aug	22.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40400)	035	PVH	S	1,720	34.85	5-May 22-Aug	68.80	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40517)	035	PVH	S	65	34.85	5-May 22-Aug	2.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40951)	035	PVH	S	1,100	34.85	5-May 22-Aug	44.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40425)	035	PVH	S	7,165	34.85	5-May 22-Aug	286.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40952)	035	PVH	S	715	34.85	5-May 22-Aug	28.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40432)	035	PVH	S	710	34.85	5-May 22-Aug	28.40	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40953)	035	PVH	S	3,415	34.85	5-May 22-Aug	136.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40430)	035	PVH	S	515	34.85	5-May 22-Aug	20.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40882)	035	PVH	S	975	34.85	5-May 22-Aug	39.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40431)	035	PVH	S	370	34.85	5-May 22-Aug	14.80	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40794)	035	PVH	S	355	34.85	5-May 22-Aug	14.20	4.0

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40390)	035	PVH	S	90	34.85	5-May	22-Aug	3.60	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40795)	035	PVH	S	880	34.85	5-May	22-Aug	35.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40385)	035	PVH	S	1,525	34.85	5-May	22-Aug	61.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40474)	035	PVH	S	775	34.85	5-May	22-Aug	31.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40374)	035	PVH	S	12,335	34.85	5-May	22-Aug	493.40	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40467)	035	PVH	S	5,730	34.85	5-May	22-Aug	229.20	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40372)	035	PVH	S	225	34.85	5-May	22-Aug	9.00	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40466)	035	PVH	S	395	34.85	5-May	22-Aug	15.80	4.0
AO,	08/21	ROXBURY CAPITAL MGMT (ROXB40373)	035	PVH	S	265	34.85	5-May	22-Aug	10.60	4.0
AO,CXL	08/21	IRONBOUND CAPITAL (IROBGS)	012	TIN	S	27,202	16.35	29-Apr	30-Jul	1088.08	4.0
AO, CRT	08/21	IRONBOUND CAPITAL (IROBGS)	012	TIN	S	27,202	16.35	29-Apr	30-Jul	544.04	2.0

TOTAL (AO, CXL, CRT):

TOTAL (RUN DATE) / NFS:	111,372.48
TOTAL (AO, CXL, CRT):	4,448.72
TOTAL (Trade Date):	106,923.76

4,448.72

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION