



# Daily Compliance Activity Report

Trade Date: 12/28/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BNP PARIBAS SEC	040	ADM	S	10,000	30.01	n/a	16-Dec	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>50,000</b>	<b>14.39</b>	<b>n/a</b>	<b>3-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>80,000</b>	<b>14.41</b>	<b>n/a</b>	<b>3-Dec</b>	<b>non-brg</b>	<b>4.0</b>
LAGRANGE CAP ADV	048	AN	SS	2,802	28.15	n/a	29-Oct	140.10	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BID</b>	<b>S</b>	<b>20,000</b>	<b>44.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>B</b>	<b>30,000</b>	<b>30.15</b>	<b>n/a</b>	<b>7-Dec</b>	<b>900.00</b>	<b>3.0</b>
CHARTER OAK	033	BUD	B	25,000	57.04	n/a	15-Dec	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CHS</b>	<b>S</b>	<b>50,000</b>	<b>11.99</b>	<b>n/a</b>	<b>5-Feb</b>	<b>non-brg</b>	<b>4.0</b>
STRONG/MARK GRONET	030	CMO	S	20,000	12.96	n/a	n/a	800.00	4.0
STRONG/MARK GRONET	030	CRAY	B	30,000	7.04	n/a	n/a	900.00	3.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>CSCO</b>	<b>S</b>	<b>5500</b>	<b>20.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>100</b>	<b>16.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL C PERILLO</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>70</b>	<b>16.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
STRONG/MARK GRONET	030	CXS	B	4,000	13.00	n/a	n/a	160.00	4.0
MILLENNIUM-ANDEW	031	DO	S	10,000	64.37	n/a	n/a	600.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TROUBH PART	070	DV	S	10,000	47.43	n/a	n/a	500.00	5.0
BNP PARIBAS SEC	040	EMN	S	10,000	82.80	n/a	n/a	500.00	5.0
TROUBH PART	070	ESI	S	5,000	63.20	n/a	n/a	250.00	5.0
TYEE/TYGH	035	EXPE	S	100,000	25.55	n/a	n/a	4,000.00	4.0
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>FDP</b>	<b>S</b>	<b>150</b>	<b>24.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>S</b>	<b>20,000</b>	<b>17.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SAXON WOODS ADVISORS	242	FOR	S	13,333	19.18	n/a	3-Nov	533.32	4.0
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>FRO</b>	<b>S</b>	<b>300</b>	<b>25.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MITCHELL JAMES SPEIS</b>	<b>FID</b>	<b>FSNXX</b>	<b>S</b>	<b>40000</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>FSS</b>	<b>B</b>	<b>1200</b>	<b>6.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>S</b>	<b>20,000</b>	<b>47.19</b>	<b>n/a</b>	<b>24-Nov</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	GES	B	10,000	47.06	n/a	24-Nov	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GMCR</b>	<b>S</b>	<b>20,000</b>	<b>32.21</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
TROUBH PART	070	GM	B	25,000	35.32	n/a	6-Dec	1,250.00	5.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>HOV</b>	<b>S</b>	<b>2000</b>	<b>3.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HTZ</b>	<b>S</b>	<b>30,000</b>	<b>14.08</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		IJR	SS	560,000	69.20	n/a	n/a	non-brg	1.0
MILLENNIUM-ANDEW	031	ITRI	S	3,500	54.28	n/a	n/a	175.00	5.0
⌘ BUCK CAPITAL MGMT		IWM	C	492,600	78.79	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IWO	C	75,000	88.13	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IWR	SS	122,000	101.60	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JNY	S	50,000	15.34	n/a	28-Oct	non-brg	4.0
COHE & STEERS	041	JOE	S	11,881	22.18	n/a	n/a	356.43	3.0
ACK ASSET	028	KS	B	44,242	15.50	n/a	n/a	1,327.26	3.0
⌘ BUCK CAPITAL MGMT		LTD	S	30,000	30.86	n/a	n/a	non-brg	4.0
SURSUM CAPITAL	215	LTD	B	20,000	30.94	n/a	n/a	600.00	3.0
RBC CAPITAL MARKETS	040	MCD	B	25,000	76.23	n/a	8-Dec	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		MDY	C	74,900	165.16	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		MDY	SS	40,000	165.16	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		M	S	20,000	25.08	n/a	30-Nov	non-brg	4.0
COHE & STEERS	041	PCL	B	22,282	37.56	n/a	26-Oct	891.28	4.0
SURSUM CAPITAL	215	PG	SS	20,000	64.60	n/a	20-Dec	600.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>PHM</b>	<b>S</b>	<b>10000</b>	<b>7.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SNYDER CAPITAL	033	POWI	B	30,000	40.75	n/a	n/a	1,200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PSS</b>	<b>SS</b>	<b>20,000</b>	<b>20.60</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>3.0</b>
<b>f</b> HARALAMBOS EFSTATHI	<b>FID</b>	<b>RIMM</b>	<b>B</b>	<b>200</b>	<b>58.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>RTH</b>	<b>C</b>	<b>212,800</b>	<b>106.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
MILLENNIUM-ANDEW	031	SHAW	S	5,394	34.50	n/a	n/a	269.70	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SIG</b>	<b>B</b>	<b>10,000</b>	<b>43.11</b>	<b>n/a</b>	<b>n/a</b>	<b>300.00</b>	<b>3.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>SODA</b>	<b>B</b>	<b>10,000</b>	<b>31.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
SAXON WOODS ADVISORS	242	SPF	S	13,500	4.39	n/a	n/a	540.00	4.0
WEINTRAUB CAPITAL MGT.	040	SSCC	S	11,500	25.46	n/a	15-Dec	460.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>TGT</b>	<b>B</b>	<b>20,000</b>	<b>60.07</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>TGT</b>	<b>B</b>	<b>50,000</b>	<b>60.38</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>TOL</b>	<b>S</b>	<b>5000</b>	<b>19.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>VFC</b>	<b>SS</b>	<b>10,000</b>	<b>86.85</b>	<b>n/a</b>	<b>22-Oct</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	WAG	B	10,000	39.07	n/a	n/a	400.00	4.0
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>WIN</b>	<b>S</b>	<b>2000</b>	<b>14.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	091	WMS	B	5,700	45.46	n/a	23-Dec	342.00	6.0
f JOSEPH CIRO AMATURO	FID	WNC	B	10000	11.95	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	WNC	B	1500	11.95	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WRC	S	20,000	55.14	n/a	9-Nov	non-brg	4.0
RBC CAPITAL MARKETS	040	WRC	S	15,000	55.10	n/a	9-Nov	600.00	4.0
B BUCK CAPITAL MGMT		XLY	C	606,000	37.43	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		XRT	SS	790,000	48.15	n/a	n/a	non-brg	1.0
f ALICE BEEBE LONGLEY	FID	YACKX	B	5834	17.14	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							22,345.09		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CXL	12/27	MANNING & NAPIER	034	V	B	10	70.40	n/a 21-Dec	0.30 3.0
AO,CRT	12/27	MANNING & NAPIER	034	V	B	10	70.40	n/a 21-Dec	0.30 3.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
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TOTAL (Trade Date) :	22,345.09
TOTAL (AO, CXL, CRT) :	0.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>22,345.09</b>
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MRI Securities with Trade Activity on 28-Dec. NONE
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MRI Securities without Trade Activity on 28-Dec. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 4% YTD = 11%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)