



Daily Compliance Activity Report

Trade Date: 04/30/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MCCARTAN	028	ABG	S	200	17.23	n/a	25-Apr	0.00	0.0
AVESTA CAP	229	ADP	B	25,000	44.02	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	40,000	18.65	6-Mar	11-Apr	1,600.00	4.0
COUGAR TRADING	044	AEO	B	20,000	18.78	6-Mar	11-Apr	1,000.00	5.0
EGS ASSOCIATES LP	091	AEO	B	5,500	18.83	6-Mar	11-Apr	330.00	6.0
STG INVESTORS	041	AMAT	B	30,000	18.62	n/a	n/a	900.00	3.0
CROWLEY	061	APNS	S	10,000	0.08	n/a	n/a	0.00	0.0
STG INVESTORS	041	ASML	SS	10,000	28.24	n/a	n/a	400.00	4.0
BANK OF NEW YORK	046	ATO	B	23,470	27.94	n/a	n/a	938.80	4.0
GAULT	063	AXE	B	700	58.44	28-Mar	22-Apr	0.00	0.0
PRINCIPLE CAPITAL	040	BECN	S	20,000	10.58	n/a	n/a	600.00	3.0
BALYASNY ASSET MGMT	044	BIG	S	25,000	27.60	6-Mar	29-Apr	1,250.00	5.0
LEDDY	035	BIG	SS	700	28.11	6-Mar	29-Apr	0.00	0.0
COUGAR TRADING	044	BKC	B	10,000	27.63	n/a	25-Apr	500.00	5.0
CROWLEY	061	BLJ	S	1,500	17.00	n/a	n/a	0.00	0.0
SCOPUS FUND	041	BWA	S	31,400	49.77	14-Jan	21-Apr	1,570.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	CAL	S	2,500	18.12	n/a	n/a	0.00	0.0
KAICHER	064	CBI	B	1,000	42.00	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	CBS	S	48,600	23.35	n/a	n/a	1,458.00	3.0
FORD FDN.	032	CEPH	S	20,000	62.55	n/a	30-Apr	1,000.00	5.0
NEUBERGER BERMAN	036	CL	S	142,735	72.07	n/a	11-Feb	6,423.09	4.5
EGS ASSOCIATES LP	091	COH	B	3,000	36.45	4-Apr	23-Apr	180.00	6.0
GALLEON MGMT LP	033	COH	C	65,000	35.62	4-Apr	23-Apr	3,250.00	5.0
J.W. SELIGMAN	041	COH	S	108,500	35.62	4-Apr	23-Apr	4,340.00	4.0
THIRD COAST CAPITAL	035	COH	B	20,000	35.88	4-Apr	23-Apr	1,000.00	5.0
MCCARTAN	028	CPN	S	200	19.85	n/a	n/a	0.00	0.0
NORTHPOINT	030	CSH	S	26,510	41.76	n/a	n/a	1,060.19	4.0
HUNTER GLOBAL INVESTOR	067	CVS	S	175,000	41.35	n/a	n/a	8,750.00	5.0
NOMURA CAPITAL	045	DENN	B	30,000	3.22	n/a	n/a	300.00	1.0
ADAGE CAPITAL MGMT	030	DLTR	B	50,000	31.43	n/a	11-Apr	2,000.00	4.0
N STRATTON	014	DSNY	B	1,000	0.50	n/a	n/a	0.00	0.0
GLOBAL 56 LLC	060	DY	B	3,000	14.60	n/a	n/a	120.00	4.0
BANK OF NEW YORK	046	EAT	B	19,590	23.14	n/a	24-Apr	783.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROTHSCHILD ASSET	040	EMR	S	27,250	52.76	n/a	n/a	1,226.25	4.5
BANK OF NEW YORK	046	EPR	B	8,950	53.24	n/a	n/a	358.00	4.0
SLS CAPITAL LLC	224	ESV	SS	114,300	64.34	n/a	n/a	4,572.00	4.0
MCCARTAN	028	FELE	S	100	38.38	n/a	n/a	0.00	0.0
NOLAN	067	FELE	S	60	38.50	n/a	n/a	0.00	0.0
WHEELER	008	GFG	B	10,000	7.80	n/a	n/a	0.00	0.0
James Mitchell		GFIG	B	430	11.7000	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GIL	B	15,000	25.86	30-Apr	30-Apr	750.00	5.0
RHO CAPITAL MGMT	041	GLD	S	500	85.52	n/a	n/a	20.00	4.0
ARCHON PARTNERS	032	GLW	B	130,000	26.97	n/a	29-Apr	6,500.00	5.0
DELTEC ASSET MGMT.	040	GLW	S	35,500	27.16	n/a	29-Apr	1,775.00	5.0
BALYASNY ASSET MGMT	044	GM	SS	10,000	23.28	29-Apr	29-Apr	500.00	5.0
DSG CAPITAL	225	GM	SS	10,000	24.11	29-Apr	29-Apr	500.00	5.0
WOOSTER CAPITAL	035	GM	SS	100,000	23.29	29-Apr	29-Apr	5,000.00	5.0
KORMAN	003	GM	SS	5,000	23.99	29-Apr	29-Apr	0.00	0.0
MCCARTAN	028	GM	SS	200	23.38	29-Apr	29-Apr	0.00	0.0
LEDDY	035	GM	SS	1,000	24.05	29-Apr	29-Apr	0.00	0.0
BALYASNY ASSET MGMT	044	GNTX	B	5,000	18.80	7-Apr	23-Apr	250.00	5.0
LEDDY	035	GNTX	SS	800	19.07	7-Apr	23-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLRACE FUND LP	028	GPI	S	13,900	26.35	n/a	30-Apr	556.00	4.0
COUGAR TRADING	044	GPS	B	5,000	18.64	n/a	11-Apr	250.00	5.0
LUCHANSKY	036	GRMN	S	200	41.01	n/a	n/a	0.00	0.0
CAXTON PART	040	HPQ	S	72,102	46.89	n/a	n/a	2,523.58	3.5
BARROW HANLEY MEWHINNEY	031	HRC	B	48,400	25.28	n/a	n/a	1,936.00	4.0
GAULT	063	HRS	S	1,000	54.69	30-Apr	30-Apr	0.00	0.0
WHEELER	008	HSTX	S	10,000	9.56	n/a	n/a	0.00	0.0
Õ James Mitchell		IAI	B	230	41.5000	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	IAR	S	48,400	3.24	n/a	n/a	1,452.00	3.0
ELM RIDGE ADVISORS	044	IP	B	160,000	26.06	8-Feb	7-Apr	6,400.00	4.0
SCOPUS FUND	041	IP	B	91,900	26.59	8-Feb	7-Apr	4,595.00	5.0
Õ James Mitchell		IVZ	B	385	25.8500	10-Apr	30-Apr	0.00	0.0
COUGAR TRADING	044	JCG	B	5,000	47.71	n/a	12-Mar	250.00	5.0
COUGAR TRADING	044	JCG	S	15,000	47.77	n/a	12-Mar	750.00	5.0
BUCK CAPITAL MGMT	001	JCP	SS	200,000	42.58	4-Jan	17-Apr	8,000.00	4.0
COUGAR TRADING	044	JWN	S	20,000	35.94	31-Mar	11-Apr	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	LEH	C	1,000	45.05	1-Apr	14-Apr	0.00	0.0
GAULT	063	LEH	B	800	46.39	1-Apr	14-Apr	0.00	0.0
RHO CAPITAL MGMT	041	LEN	S	3,000	18.65	n/a	n/a	120.00	4.0
EFSTATHIOU	044	LESET	B	15	2.08	n/a	n/a	0.00	0.0
Õ James Mitchell		LM	B	160	61.0000	24-Mar	30-Apr	0.00	0.0
N STRATTON	014	LNET	B	400	6.25	n/a	n/a	0.00	0.0
DISCIPLINED GROWTH INV.	030	LVLTT	B	9,400	3.05	n/a	4-Jan	282.00	3.0
BUCK	015	LVS	S	350	75.72	n/a	n/a	0.00	0.0
SOMERSET ADV	044	MAC	S	6,500	74.51	n/a	n/a	260.00	4.0
GAULT	063	MER	B	900	50.48	18-Jan	17-Apr	0.00	0.0
GENERAL ELECTIC CO	033	MRK	B	13,252	37.99	n/a	n/a	530.08	4.0
RAINIER INV MGMT	035	MUR	B	46,375	90.04	n/a	n/a	2,318.75	5.0
LEDDY	035	NEXC	B	2,700	3.16	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	NOV	B	8,550	66.78	n/a	n/a	427.50	5.0
MORRISON&FRASIER/BUTLER	040	NOV	B	10,000	67.05	n/a	n/a	500.00	5.0
STRONG/WELL HOWARD	038	NOV	S	10,000	67.50	n/a	n/a	0.00	0.0
LISTED ERR	099	NOV	B	10,000	67.07	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CROWLEY	061	NQI	B	2,500	13.39	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	NWSA	S	28,946	17.99	n/a	n/a	868.38	3.0
James Mitchell		OPY	S	500	40.0000	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	PSUN	SS	3,000	13.80	n/a	11-Apr	180.00	6.0
SIGMA CAPITAL ASSOCIATES	237	PVH	SS	25,000	43.17	13-Mar	26-Mar	1,250.00	5.0
COUGAR TRADING	044	RL	B	5,000	62.08	7-Feb	15-Feb	250.00	5.0
HARRIS ASSOCIATES	031	SAF	S	11,000	66.67	n/a	n/a	330.00	3.0
HARRIS ASSOCIATES	031	SGP	S	10,400	18.64	n/a	n/a	312.00	3.0
BALYASNY ASSET MGMT	044	SKS	S	20,000	13.14	n/a	n/a	1,000.00	5.0
RHO CAPITAL MGMT	041	SLAB	S	1,000	33.85	n/a	n/a	40.00	4.0
IRIDIAN ASSET MGMT.	036	SSCC	S	52,040	5.56	7-Apr	23-Apr	2,146.40	4.5
GAULT	063	STI	B	800	56.45	n/a	n/a	0.00	0.0
AAD CAPITAL	048	TIN	B	146,700	11.63	29-Apr	29-Apr	4,401.00	3.0
CAXTON PART	040	TIN	B	250,000	11.85	29-Apr	29-Apr	8,750.00	3.5
DSG CAPITAL	225	TIN	B	15,000	11.65	29-Apr	29-Apr	750.00	5.0
IRONBOUND CAPITAL	012	TIN	B	100,000	11.76	29-Apr	29-Apr	3,000.00	3.0
KERN	050	TIN	B	1,000	11.84	29-Apr	29-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DANIELS	N25	TIN	B	300	11.80	29-Apr	29-Apr	0.00	0.0
COUGAR TRADING	044	TJX	S	20,000	32.99	n/a	11-Apr	1,000.00	5.0
WAGONHOUND	048	TJX	B	370	32.72	n/a	11-Apr	18.50	5.0
WEINTRAUB CAPITAL MGT.	040	TJX	S	250,000	33.11	n/a	11-Apr	10,000.00	4.0
SANDLER O'NEILL ASSET	031	TROW	B	25,000	58.83	9-Jan	30-Apr	1,250.00	5.0
MILLENIUM PARTNERS	028	TWX	B	40,000	15.12	n/a	n/a	2,000.00	5.0
MAGUIRE	090	UAUA	S	1,500	14.69	n/a	n/a	0.00	0.0
FORD FDN.	032	UHS	S	29,400	62.55	n/a	25-Apr	1,470.00	5.0
GAULT	063	UYG	B	2,300	33.88	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	VC	B	25,000	4.41	n/a	21-Apr	750.00	3.0
TRELLUS PARTNERS LP	028	VC	S	54,400	4.43	n/a	21-Apr	1,632.00	3.0
LEDDY	035	VC	B	2,500	4.37	n/a	21-Apr	0.00	0.0
SINCLAIR	031	VGNMD	B	25	2.90	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	WBC	S	30,000	47.76	30-Apr	30-Apr	1,500.00	5.0
JCK PARTNERS	067	WBC	B	75,000	47.47	30-Apr	30-Apr	3,000.00	4.0
WASHINGTON ASSET	048	WBC	B	8,000	48.48	30-Apr	30-Apr	400.00	5.0
CATAPULT	040	WB	B	5,000	29.49	n/a	n/a	250.00	5.0
KORMAN	003	WFMI	SS	3,000	33.28	n/a	n/a	0.00	0.0
LEDDY	035	WFMI	SS	300	33.42	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PERFORMANCE PART LP #2	041	WY	S	11,000	63.74	7-Apr	7-Apr	550.00	5.0
NOMURA CAPITAL	045	XOHOL	S	9,748	0.02	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	YUM	B	4,000	40.87	n/a	24-Apr	240.00	6.0
SINCLAIR	031	ZXCAJ	B	5	12.10	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							141,944.12		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	04/29	JCK PARTNERS (JCKPMILPML)	067	BIG	SS	5,194	26.55	6-Mar	29-Apr	207.76	4.0
AO,	04/29	JCK PARTNERS (JCKPGOLDMA)	067	BIG	SS	14,806	26.55	6-Mar	29-Apr	592.24	4.0
AO,CXL	04/29	SERENGETI MGMT (SEREMSPB)	030	CBG	B	50,000	22.68	n/a	n/a	0.00	0.0
AO,CRT	04/29	SERENGETI MGMT (SEREMSPB)	030	CBG	B	50,000	22.68	n/a	n/a	2500.00	5.0
AO,CXL	04/29	BMO NESBITT BURNS (BMONFLIP)	035	PVH	B	6,130	39.70	13-Mar	26-Mar	245.20	4.0
AO,	04/29	ROXBURY CAPITAL MGMT (ROXB40375)	035	PVH	B	6,130	39.70	13-Mar	26-Mar	245.20	4.0
CRT	04/30	BALYASNY ASSET MGMT (BALY)	044	VC	B	25,000	4.41	n/a	21-Apr	750.00	3.0

TOTAL (AO, CXL, CRT) :**4,050.00**

TOTAL (Trade Date) :

141,944.12

TOTAL (AO, CXL, CRT) :

4,050.00

TOTAL (RUN DATE) / NFS:**145,994.12**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION