



Daily Compliance Activity Report

Trade Date: 04/10/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BULL PATH CAPITAL	044	AEO	S	44,600	16.73	6-Mar	7-Apr	2,230.00	5.0
COUGAR TRADING	044	AEO	B	10,000	16.30	6-Mar	7-Apr	500.00	5.0
COUGAR TRADING	044	AEO	S	10,000	16.50	6-Mar	7-Apr	500.00	5.0
RHO CAPITAL MGMT	041	AIG	B	500	45.84	n/a	n/a	20.00	4.0
IMPALA ASSET MMT	012	AMR	B	219,396	9.83	n/a	n/a	2,193.96	1.0
BUCK CAPITAL MGMT	001	ANF	B	50,000	72.95	10-Apr	10-Apr	2,000.00	4.0
COUGAR TRADING	044	ANF	SS	10,000	73.85	10-Apr	10-Apr	500.00	5.0
JPMSI/TOM LEDDY	035	APC	S	5,000	64.96	20-Mar	1-Apr	250.00	5.0
LEDDY	035	ARGN	B	640	13.33	n/a	8-Apr	0.00	0.0
COUGAR TRADING	044	ARO	S	30,000	27.57	29-Nov	7-Apr	1,500.00	5.0
PRINCIPLE CAPITAL	040	BC	SS	104,300	16.25	n/a	n/a	3,129.00	3.0
PORTER-ORLIN-FELDMAN	044	BIG	S	50,000	21.56	6-Mar	8-Apr	2,500.00	5.0
J L F ASSET MGMT	039	CAKE	B	42,600	21.45	n/a	24-Apr	1,704.00	4.0
WEISS PECK	040	CHS	B	220,650	6.38	31-Jan	7-Apr	8,826.00	4.0
ZOLIDIS	011	CMGB	B	400	100.59	n/a	n/a	0.00	0.0
ZOLIDIS	011	CMG	S	400	113.34	n/a	24-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	COF	SS	1,000	49.59	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	COH	S	20,000	31.21	4-Apr	4-Apr	1,000.00	5.0
BUCK	015	COPQO	S	5	1.76	n/a	n/a	0.00	0.0
BUCK	015	COP	S	500	78.50	n/a	n/a	0.00	0.0
DSG CAPITAL	225	CPN	S	6,967	18.50	n/a	n/a	348.35	5.0
MERRILL ASSET	032	CRR	B	10,800	42.87	13-Feb	1-Apr	540.00	5.0
TROUBH PART	067	CSX	B	15,000	55.74	n/a	n/a	750.00	5.0
IMPALA ASSET MMT	012	DAL	B	200,000	9.71	n/a	n/a	2,000.00	1.0
ROTHSCHILD ASSET	040	DD	B	39,320	49.62	n/a	10-Apr	1,769.40	4.5
BUCK	015	DVNQT	B	5	2.10	n/a	n/a	0.00	0.0
NORTHPOINT	030	EMKR	B	308,195	7.40	n/a	n/a	9,245.85	3.0
DENVER INST. ADV	046	ENDP	B	264,600	26.27	17-Dec	10-Apr	10,584.00	4.0
IMPALA ASSET MMT	012	EOG	B	147,375	129.13	n/a	n/a	4,421.25	3.0
SUNOVA CAPITAL	031	FII	SS	25,000	35.23	7-Apr	10-Apr	1,250.00	5.0
BARR	096	FNM	SS	1,000	26.78	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DSG CAPITAL	225	FOR	B	626	24.00	n/a	n/a	31.30	5.0
BEAR STEARNS ASSET MGMT.	033	FWLT	C	9,400	60.75	n/a	n/a	376.00	4.0
LEDDY	035	GM	SS	1,200	20.37	3-Jan	8-Apr	0.00	0.0
RAMIUS CAP	040	GNTX	SS	100,000	16.87	7-Apr	7-Apr	5,000.00	5.0
COUGAR TRADING	044	GPS	B	428	18.30	n/a	7-Apr	21.40	5.0
COUGAR TRADING	044	GPS	SS	15,000	18.74	n/a	7-Apr	750.00	5.0
IRIDIAN ASSET MGMT.	036	GRP	S	31,930	54.18	n/a	n/a	1,596.50	5.0
TROUBH PART	067	HAL	SS	12,500	42.81	n/a	n/a	625.00	5.0
BARR	096	IOM	S	14,820	3.81	n/a	n/a	0.00	0.0
COMMISSUM CAPITAL	067	IVZ	S	10,000	23.03	10-Apr	10-Apr	500.00	5.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	43.29	28-Nov	12-Mar	400.00	4.0
COUGAR TRADING	044	JCG	S	10,000	43.59	28-Nov	12-Mar	500.00	5.0
BUCK	015	JJG	B	1,000	64.90	n/a	n/a	0.00	0.0
ZOLIDIS	011	JOSB	S	600	26.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JWN	B	5,000	34.00	31-Mar	7-Apr	250.00	5.0
COUGAR TRADING	044	JWN	S	5,000	34.47	31-Mar	7-Apr	250.00	5.0
COUGAR TRADING	044	KSS	B	5,000	41.38	4-Jan	7-Apr	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	LAVA	SS	1,500	9.84	n/a	n/a	0.00	0.0
BRANDWINE	028	LIZ	S	25,000	17.93	n/a	17-Mar	1,000.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	18.06	n/a	17-Mar	400.00	4.0
COUGAR TRADING	044	LTD	B	10,000	17.23	n/a	7-Apr	500.00	5.0
J L F ASSET MGMT	039	LTD	S	100,000	17.43	n/a	7-Apr	4,000.00	4.0
SIGMA CAPITAL ASSOCIATES	237	LTD	B	50,000	17.32	n/a	7-Apr	2,500.00	5.0
CHARTER OAK	033	LTM	S	76,851	31.04	n/a	n/a	3,842.55	5.0
RHO CAPITAL MGMT	041	MS	B	600	45.00	20-Dec	20-Mar	24.00	4.0
BALYASNY ASSET MGMT	044	NCX	SS	15,000	24.90	n/a	n/a	750.00	5.0
ROTHSCHILD ASSET	040	NOC	S	8,170	77.90	n/a	n/a	367.65	4.5
WAGONHOUND	048	OCN	B	15,475	4.15	n/a	n/a	464.25	3.0
MATRIX ASSET ADV.	036	ODP	B	10,000	11.06	n/a	27-Jul	300.00	3.0
BALYASNY ASSET MGMT	044	PCP	B	10,000	111.76	n/a	n/a	500.00	5.0
ELM RIDGE ADVISORS	044	PKG	B	37,603	21.27	7-Apr	7-Apr	1,504.12	4.0
COUGAR TRADING	044	PSUN	SS	15,000	12.88	n/a	7-Apr	750.00	5.0
CAXTON PART	040	QCOM	B	215,613	42.65	n/a	n/a	7,546.47	3.5
HART	056	QZSEX	S	5	4.20	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FIRST NEW YORK NICK	029	Q	S	5,000	4.66	n/a	n/a	250.00	5.0
GALLEON MGMT LP	033	RAD	C	30,000	2.59	n/a	n/a	1,500.00	5.0
BENCHMARK	028	RIMM	B	2,500	120.68	n/a	n/a	125.00	5.0
COUGAR TRADING	044	RL	SS	5,000	60.22	7-Feb	15-Feb	250.00	5.0
EGS ASSOCIATES LP	091	RL	SS	3,000	60.42	7-Feb	15-Feb	180.00	6.0
KNOTT PARTNERS	044	RVI	S	150,000	4.26	n/a	n/a	3,000.00	2.0
TROUBH PART	067	SLB	SS	12,500	91.07	n/a	n/a	625.00	5.0
TROUBH PART	067	SPY	S	25,000	136.00	n/a	n/a	1,250.00	5.0
IMPALA ASSET MMT	012	SU	B	200,000	107.42	n/a	n/a	6,000.00	3.0
CASTLE ARK	031	SWN	S	132,420	36.68	20-Mar	3-Apr	7,945.20	6.0
BARR	096	SYNP	S	2,268	7.87	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	TIN	B	200,000	13.32	19-Mar	7-Apr	10,000.00	5.0
ASCEND PARTNERS	033	TJX	C	50,000	31.05	n/a	7-Apr	2,500.00	5.0
BUCK CAPITAL MGMT	001	TJX	B	220,000	30.83	n/a	7-Apr	8,800.00	4.0
WAGONHOUND	048	TJX	B	3,680	31.28	n/a	7-Apr	147.20	4.0
WEINTRAUB CAPITAL MGT.	040	TJX	B	200,000	30.94	n/a	7-Apr	8,000.00	4.0
PERRY PARTNERS	041	TTWO	B	10,965	25.25	n/a	n/a	328.95	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	TWB	B	10,000	18.92	17-Mar	10-Apr	500.00	5.0
COUGAR TRADING	044	TWB	S	10,000	18.98	17-Mar	10-Apr	500.00	5.0
PROSPECTOR PARTNERS LLC	036	UFS	B	50,000	6.68	n/a	n/a	2,000.00	4.0
IRIDIAN ASSET MGMT.	036	VAL	B	37,370	21.96	n/a	n/a	1,868.50	5.0
SYSTEMATIC FINANCIAL MGT	035	VFC	B	23,825	76.81	n/a	n/a	893.44	3.8
BALYASNY ASSET MGMT	044	WG	S	20,000	34.59	n/a	n/a	1,000.00	5.0
MATRIX ASSET ADV.	036	WYE	S	2,000	45.75	n/a	31-Mar	80.00	4.0
SOUTH POINT CAP	067	XLF	B	50,000	25.81	n/a	n/a	2,500.00	5.0
DSG CAPITAL	225	XRT	SS	5,000	32.07	n/a	n/a	250.00	5.0
BARR	096	ZILG	B	5,800	3.70	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								153,254.34	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/09	LEVIN CAPITAL STRATEGIES (LEVSMS)	012	ALV	B	20,000	50.77	n/a	7-Apr	800.00 4.0
AO,CXL	04/07	MAGUIRE (MAGUIRE)	090	ANR	S	800	50.00	n/a	n/a	0.00 0.0
AO,CXL	04/07	MAGUIRE (MAGUIRE)	090	ANR	S	1,700	50.00	n/a	n/a	0.00 0.0
AO,CRT	04/07	MAGUIRE (MAGUIRE)	090	ANR	S	800	50.00	n/a	n/a	0.00 0.0
AO,CRT	04/07	MAGUIRE (MAGUIRE)	090	ANR	S	1,700	50.00	n/a	n/a	0.00 0.0
AO,CXL	04/09	AMATURO (AMATURO)	018	CHCG	B	5,000	1.83	n/a	n/a	0.00 0.0
AO,CRT	04/09	AMATURO (AMATURO)	018	CHCG	B	5,000	1.83	n/a	n/a	0.00 0.0
AO,	04/09	RHO CAPITAL MGMT (RHOCBSPB)	041	MS	B	400	46.01	20-Dec	20-Mar	16.00 4.0
CRT	04/10	KNOTT PARTNERS (KNOTGS)	044	RVI	S	150,000	4.26	n/a	n/a	3000.00 2.0
AO,	04/09	COMMISSUM CAPITAL (COMMBS#1)	067	SWIM	S	10,700	11.14	n/a	n/a	535.00 5.0
AO,	04/08	CARDINAL CAPITAL (CARDPRKARL)	044	WLL	S	400	68.53	n/a	n/a	20.00 5.0
AO,CXL	04/08	CARDINAL CAPITAL (CARDMIDCAP)	044	WLL	S	400	68.53	n/a	n/a	20.00 5.0
TOTAL (AO, CXL, CRT) :										4,351.00

TOTAL (Trade Date):	153,254.34
TOTAL (AO, CXL, CRT):	4,351.00

TOTAL (RUN DATE) / NFS:

157,605.34

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION