



# RR Commissions Summary [ Nicolas Pakler ]

Trade Date: 10/22/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ALTIMETER CAPITAL MGMT.	029	0	17,560	17,560	30,382	0	0	0	17,560	17,560	30,382	0	0
ATLANTIC TRUST/STEINROE	029	0	0	0	0	15,000	15,000	28,000	15,000	15,000	28,000	36,000	78
BLUE RIDGE CAP	029	0	0	0	0	0	0	0	0	0	0	25,000	0
BRIGADE CAPITAL MGMT	029	0	3,095	3,095	77,458	0	0	0	3,095	3,095	77,458	123,550	63
COLUMBIA MGMT	029	0	0	0	0	0	0	18,500	0	0	18,500	0	0
DPB CAPITAL	029	0	250	250	5,000	0	0	0	250	250	5,000	0	0
EATON VANCE MGMT	029	0	8,014	8,014	30,421	0	0	0	8,014	8,014	30,421	85,718	35
FEDERATED INV/KAUFMAN	029	0	5,000	5,000	49,345	0	0	0	5,000	5,000	49,345	63,769	77
FIDELITY/NICK	029	0	0	0	0	0	0	1,647	0	0	1,647	0	0
FRONTIER	029	0	0	0	56,153	0	0	0	0	0	56,153	102,196	55
GLADE BROOK CAPITAL	029	0	0	0	0	6,960	6,960	30,621	6,960	6,960	30,621	4,645	659
GRANAHAN INV	029	0	0	0	0	0	0	0	0	0	0	7,953	0
GSA PROPHET/NICK	029	0	0	0	0	0	0	0	0	0	0	46,661	0
HARVEST MGMT (JMP)	029	0	1,700	1,700	16,848	0	0	0	1,700	1,700	16,848	31,712	53
LONG POND	029	0	28,200	28,200	28,200	0	0	0	28,200	28,200	28,200	29,026	97
MARCATO CAPITAL MGMT.	029	0	70,000	70,000	113,572	0	0	0	70,000	70,000	113,572	27,536	412
NOMURA CAPITAL	029	0	0	0	752	0	0	0	0	0	752	2,814	27
PAR CAPITAL	029	0	18,200	18,200	39,848	0	0	0	18,200	18,200	39,848	0	0
PENNANT CAP MGMT	029	0	0	0	2,900	0	0	0	0	0	2,900	32,400	9
RIVERFRONT INVESTMENT	029	0	0	0	0	0	0	0	0	0	0	29,000	0
SCOUT CAPITAL	029	0	0	0	0	0	0	10,000	0	0	10,000	40,000	25
SMITH MGMT/ALDEN GLOBAL	029	0	93,885	93,885	290,231	0	0	0	93,885	93,885	290,231	197,652	147
THE BOSTON COMPANY	029	0	0	0	0	0	0	40,775	0	0	40,775	70,696	58
THOMPSON SIEGAL&WALMSLEY	029	0	4,106	4,106	41,475	0	0	0	4,106	4,106	41,475	30,054	138
<b>TOTALS:</b>		<b>0</b>	<b>250,009</b>	<b>250,009</b>	<b>782,584</b>	<b>21,960</b>	<b>21,960</b>	<b>129,543</b>	<b>271,969</b>	<b>271,969</b>	<b>912,127</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
MANULIFE ASSET MGMT.	294	0	0	0	0	27,379	27,379	72,058	27,379	27,379	72,058	13,636	528
PENNANT CAP MGMT	250	0	1,600	1,600	3,120	0	0	0	1,600	1,600	3,120	32,400	9
PUTNAM SHARED	268	0	0	0	0	0	0	60,265	0	0	60,265	67,752	89
PYRAMIS GLOBAL	231	0	0	0	0	0	0	11,909	0	0	11,909	0	0
<b>TOTALS:</b>		<b>0</b>	<b>1,600</b>	<b>1,600</b>	<b>3,120</b>	<b>27,379</b>	<b>27,379</b>	<b>144,232</b>	<b>28,979</b>	<b>28,979</b>	<b>147,352</b>		

