



## Daily Compliance Activity Report

Trade Date: 06/24/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SWISS RE FINANCIAL SER	035	ABG	S	30,000	13.02	10-Jun	10-Jun	1,200.00	4.0
<b>BARR</b>	<b>096</b>	<b>ABX</b>	<b>B</b>	<b>300</b>	<b>41.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>AB</b>	<b>S</b>	<b>1,500</b>	<b>58.74</b>	<b>9-Apr</b>	<b>23-Jun</b>	<b>0.00</b>	<b>0.0</b>
CHART WELL ADV	028	ADS	B	39,105	58.21	n/a	n/a	1,564.20	4.0
COUGAR TRADING	044	AEO	B	12,000	15.83	6-Mar	6-Jun	600.00	5.0
<b>SINCUTMA</b>	<b>031</b>	<b>AG</b>	<b>B</b>	<b>45</b>	<b>52.30</b>	<b>n/a</b>	<b>23-May</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>AIG</b>	<b>SS</b>	<b>300</b>	<b>30.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	AMG	SS	20,000	94.87	7-Apr	23-Jun	1,000.00	5.0
FRONTPOINT	044	ANF	B	47,800	66.24	10-Apr	6-Jun	1,912.00	4.0
SOUTH POINT CAP	067	ANR	B	50,000	94.41	n/a	n/a	2,500.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>APCKT</b>	<b>B</b>	<b>40</b>	<b>1.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	ASBC	B	1,500	21.03	n/a	n/a	225.00	15.0
AMBER CAPITAL	046	BAC	SS	100,000	26.72	n/a	16-Jun	4,000.00	4.0
FRONTPOINT	044	BBBY	B	8,800	28.55	n/a	n/a	352.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEISS PECK	040	BBBY	C	18,900	27.79	n/a	n/a	945.00	5.0
<b>BUCK</b>	<b>015</b>	<b>BBY</b>	<b>SS</b>	<b>1,000</b>	<b>41.10</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
SWISS RE FINANCIAL SER	035	BJ	SS	20,000	38.54	n/a	27-Jul	800.00	4.0
LATEFF MGMT	035	BRL	B	1,250	42.85	24-Jun	24-Jun	300.00	24.0
TRELLUS PARTNERS LP	028	BWA	SS	1,900	47.55	10-Jun	10-Jun	95.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BYI</b>	<b>B</b>	<b>16,200</b>	<b>37.57</b>	<b>n/a</b>	<b>n/a</b>	<b>648.00</b>	<b>4.0</b>
<b>BUCK</b>	<b>015</b>	<b>CAL</b>	<b>SS</b>	<b>1,500</b>	<b>11.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>CAMD</b>	<b>B</b>	<b>3,000</b>	<b>3.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	CAO	S	35,000	10.82	n/a	n/a	1,750.00	5.0
1798 CAPITAL MGMT	040	CBRL	B	5,000	25.57	n/a	n/a	250.00	5.0
PROSPECTOR PARTNERS LLC	036	CCE	B	25,000	17.57	n/a	n/a	1,000.00	4.0
FIRST NEW YORK LEDDY	035	CCL	B	10,000	34.69	n/a	26-Jan	400.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>CHCG</b>	<b>S</b>	<b>15,800</b>	<b>1.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>B</b>	<b>25,000</b>	<b>29.43</b>	<b>4-Apr</b>	<b>23-Apr</b>	<b>1,000.00</b>	<b>4.0</b>
MATTHES CAPITAL MGMT	033	COH	B	75,000	29.06	4-Apr	23-Apr	3,000.00	4.0
THIRD COAST CAPITAL	035	DECK	SS	5,000	139.44	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRUSS CAPITAL	045	DEI	B	10,000	22.31	n/a	n/a	300.00	3.0
1798 CAPITAL MGMT	040	EL	B	5,000	45.88	7-May	24-Jun	250.00	5.0
UBS OCONNOR	031	EV	SS	25,000	42.73	n/a	23-Jun	750.00	3.0
MATTHES CAPITAL MGMT	033	FDO	B	50,000	18.67	8-Jan	5-Jun	2,000.00	4.0
BUCK CAPITAL MGMT	001	GCO	B	40,000	29.49	n/a	10-Jan	1,600.00	4.0
BAYPOINT TRADING/XYLEM	091	GEF	B	20,000	60.99	n/a	n/a	1,000.00	5.0
NOMURA CAPITAL	045	GETIL	B	200	21.71	n/a	n/a	10.00	5.0
PAKLER	029	GMUB	B	11	1.07	n/a	n/a	0.00	0.0
BENCHMARK	028	GM	B	10,000	13.44	24-Jun	24-Jun	500.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	1,200	21.40	10-Jun	10-Jun	60.00	5.0
1798 CAPITAL MGMT	040	HANS	SS	5,000	32.39	n/a	n/a	250.00	5.0
N STRATTON	014	HIBB	C	400	20.50	n/a	n/a	0.00	0.0
SOROS	036	INTC	SS	1,100,000	22.58	n/a	n/a	44,000.00	4.0
BUCK CAPITAL MGMT	001	JAH	B	20,000	19.04	n/a	n/a	800.00	4.0
FRIESS MGMT	033	JCG	B	223,600	32.65	n/a	30-May	8,944.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HOOVER INV. MGMT	033	JCG	S	166,500	32.30	n/a	30-May	8,325.00	5.0
BAIRD INVESTMENT	031	KNX	S	9,686	18.03	n/a	n/a	484.30	5.0
COUGAR TRADING	044	KSS	B	5,000	40.86	n/a	6-Jun	250.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>LEH</b>	<b>S</b>	<b>8,500</b>	<b>23.37</b>	<b>9-Jun</b>	<b>17-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>LPX</b>	<b>C</b>	<b>2,000</b>	<b>9.45</b>	<b>7-Apr</b>	<b>7-May</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	LTD	SS	58,600	17.72	n/a	6-Jun	2,344.00	4.0
<b>BUCK</b>	<b>015</b>	<b>LVS</b>	<b>C</b>	<b>1,000</b>	<b>53.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FORD FDN.	032	MER	S	82,704	35.17	24-Jun	24-Jun	4,135.20	5.0
NATIONAL BK OF CAN	031	MER	S	21,000	34.03	24-Jun	24-Jun	840.00	4.0
SLS CAPITAL LLC	224	MER	SS	90,400	34.38	24-Jun	24-Jun	3,616.00	4.0
<b>BUCK</b>	<b>015</b>	<b>MER</b>	<b>SS</b>	<b>1,500</b>	<b>35.52</b>	<b>24-Jun</b>	<b>24-Jun</b>	<b>0.00</b>	<b>0.0</b>
CAXTON PART	040	MET	S	71,608	55.73	n/a	n/a	2,506.29	3.5
NOMURA CAPITAL	045	MGI	B	50,000	1.11	n/a	n/a	750.00	1.5
<b>OGORMAN</b>	<b>046</b>	<b>MGM</b>	<b>SS</b>	<b>500</b>	<b>36.94</b>	<b>n/a</b>	<b>7-May</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	MRK	B	500	36.96	n/a	n/a	175.00	35.0
NATIONAL BK OF CAN	031	MS	B	10,000	38.02	n/a	19-Jun	400.00	4.0
SUNOVA CAPITAL	031	MTB	B	10,000	73.04	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLENIUM KOZLOW	028	MTW	B	20,000	37.40	n/a	23-May	1,000.00	5.0
PENA	029	MV	B	70,000	22.28	n/a	n/a	2,800.00	4.0
PROSPECTOR PARTNERS LLC	036	MWV	B	20,000	23.80	7-Apr	13-May	800.00	4.0
CR INTRINIC INVESTMENT	044	MYL	SS	25,000	12.05	30-May	24-Jun	1,250.00	5.0
GRISANTI BROWN & PARTNER	048	M	C	18,800	19.43	7-Feb	2-Jun	940.00	5.0
J L F ASSET MGMT	039	M	SS	59,000	19.23	7-Feb	2-Jun	2,360.00	4.0
JP MORGAN VENTURES	046	M	C	45,000	19.69	7-Feb	2-Jun	2,250.00	5.0
SWISS RE FINANCIAL SER	035	M	S	40,000	19.29	7-Feb	2-Jun	1,600.00	4.0
CASTLE ARK	031	NBR	S	141,120	49.37	n/a	n/a	8,467.20	6.0
SWISS RE FINANCIAL SER	035	NT	SS	25,000	8.66	n/a	22-Mar	1,000.00	4.0
COLONIAL FUND LLC	036	NVDA	S	25,000	20.01	n/a	n/a	1,250.00	5.0
SAC TRADING HOWARD	038	ORLY	B	28,000	23.79	n/a	n/a	1,400.00	5.0
GENERAL ELECTIC CO	033	PBG	B	21,423	29.07	n/a	n/a	428.46	2.0
PECONIC PARTNERS	036	PLCE	S	127,179	36.27	23-Jun	23-Jun	5,087.16	4.0
<b>OGORMAN</b>	<b>046</b>	<b>PNK</b>	<b>SS</b>	<b>1,000</b>	<b>11.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CENTURION GLOBAL MGMT LL	041	QID	S	17,200	42.19	n/a	n/a	516.00	3.0
FIRST NEW YORK NICK	029	Q	S	15,000	3.91	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RL	B	10,000	64.85	7-Feb	29-May	400.00	4.0
FRONTPOINT CHRIS C	041	SPY	SS	7,600	130.64	n/a	n/a	304.00	4.0
LISTED ERR	099	SPY	B	4,100	130.58	n/a	n/a	0.00	0.0
LISTED ERR	099	SPY	SS	4,100	130.46	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	SSCC	B	50,000	4.65	7-Apr	23-Apr	2,000.00	4.0
MKT MAKER	099	SSCC	B	100	4.66	7-Apr	23-Apr	0.00	0.0
J COHEN	N27	SSO	S	16	64.23	n/a	n/a	0.00	0.0
GRISANTI BROWN & PARTNER	048	TEX	B	10,500	61.12	n/a	3-Jun	525.00	5.0
KORMAN	003	TIF	SS	2,000	42.74	n/a	2-Jun	0.00	0.0
CAXTON PART	040	TIN	S	100,000	11.95	29-Apr	29-Apr	3,500.01	3.5
THIRD COAST CAPITAL	035	TLB	S	50,000	11.82	17-Apr	11-Jun	2,500.00	5.0
ROTHSCHILD ASSET	040	TUP	S	66,360	35.98	n/a	n/a	2,986.20	4.5
MATTHES CAPITAL MGMT	033	TXRH	B	11,200	9.00	n/a	n/a	448.00	4.0
TRUST CO/COWEN/CALF.	040	UNP	S	40,025	75.17	n/a	n/a	2,001.25	5.0
N STRATTON	014	URI	B	99	21.25	n/a	12-Jun	0.00	0.0
SINCLAIR	031	VGNMR	B	100	1.04	n/a	n/a	0.00	0.0
SINCLAIR	031	VGNMS	B	30	2.97	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J COHEN	N27	VLO	B	32	42.51	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	B	20,000	63.29	n/a	n/a	800.00	4.0
SLS CAPITAL LLC	224	WB	SS	195,700	17.02	n/a	n/a	7,828.00	4.0
SUNOVA CAPITAL	031	WDR	S	10,000	35.45	22-Apr	23-Jun	500.00	5.0
DIAMONDBACK CAP MGMT	232	WFC	B	25,000	25.21	n/a	n/a	1,250.00	5.0
THIRD POINT MGMT	044	WFC	SS	250,000	25.26	n/a	n/a	10,000.00	4.0
MATTHES CAPITAL MGMT	033	WYNN	B	15,000	84.81	n/a	n/a	600.00	4.0
FRONTPOINT CHRIS C	041	X	B	2,900	189.30	n/a	n/a	116.00	4.0
BUCK CAPITAL MGMT	001	ZLC	SS	35,000	19.55	n/a	n/a	1,400.00	4.0
TOTAL (Trade Date):								177,638.27	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	06/23	CENTURION PARTNERS (CENTGSCO)	255	CAR	SS	10,000	10.32	n/a	n/a	500.00	5.0
AO,CXL	06/23	COLONIAL FUND LLC (COLOMAINSL)	036	GM	SS	50,000	13.18	24-Jun	24-Jun	2500.00	5.0
AO,CRT	06/23	COLONIAL FUND LLC (COLOMAINSL)	036	GM	SS	50,000	13.18	24-Jun	24-Jun	2500.00	5.0
AO,CXL	06/23	DIAMONDBACK CAP MGMT (DIAMMSMAIN)	232	JAVA	SS	75,000	11.29	n/a	n/a	3750.00	5.0
AO,	06/23	DIAMONDBACK CAP MGMT (DIAMMSMAIN)	232	JAVA	SS	100,000	11.30	n/a	n/a	5000.00	5.0
AO,CXL	06/19	PROSPECTOR PARTNERS LLC (PROSFAREKO)	036	NCR	B	5,400	26.61	n/a	n/a	270.00	5.0
AO,CRT	06/19	PROSPECTOR PARTNERS LLC (PROSFAREKO)	036	NCR	B	5,400	26.61	n/a	n/a	216.00	4.0
AO,CXL	06/16	LISTED ERR (LISTED ERR)	099	PTV	B	4,800	23.80	n/a	n/a	0.00	0.0
AO,CRT	06/16	LISTED ERR (LISTED ERR)	099	PTV	B	4,800	23.87	n/a	n/a	0.00	0.0
AO,CXL	06/16	LISTED ERR (LISTED ERR)	099	PTV	S	4,800	23.88	n/a	n/a	0.00	0.0
AO,CRT	06/16	LISTED ERR (LISTED ERR)	099	PTV	S	4,800	23.88	n/a	n/a	0.00	0.0
CRT	06/24	MKT MAKER (MKT MAKER)	099	SSCC	B	100	4.66	7-Apr	23-Apr	0.00	0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>1,696.00</b>	
TOTAL (Trade Date):										177,638.27	
TOTAL (AO, CXL, CRT):										1,696.00	
<b>TOTAL (RUN DATE) / NFS:</b>										<b>179,334.27</b>	





Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION