



# Daily Compliance Activity Report

Trade Date: 12/21/2006

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		AAPL	B	15,000	83.02	n/a	n/a	non-brg 5.0
BRIGHTPOINT CAP	067	ABD	S	6,000	27.06	n/a	n/a	300.00 5.0
KENNEDY CAPITAL	046	AMB	S	5,232	59.11	n/a	n/a	209.28 4.0
BUCK CAPITAL MGMT		ANF	C	2,000	70.47	3-Nov	1-Dec	non-brg 1.5
TRUSCO CAPITAL MGMT	040	AOS	B	38,800	37.12	21-Dec	21-Dec	1,940.00 5.0
BUCK CAPITAL MGMT		ARO	S	20,000	31.14	23-Oct	1-Dec	non-brg 5.0
BUCK CAPITAL MGMT		ASD	C	30,000	46.23	2-Oct	1-Dec	non-brg 5.0
Glenn Redemann		ATHR	B	12	0.10	n/a	n/a	0.00 0.0
Glenn Redemann		ATHR	B	12	0.20	n/a	n/a	0.00 0.0
Glenn Redemann		ATHR	S	12	0.50	n/a	n/a	0.00 0.0
Glenn Redemann		ATHR	S	12	0.68	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		AVCI	SS	20,000	8.13	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	AZO	B	60,000	117.11	n/a	n/a	2,400.00 4.0
BUCK CAPITAL MGMT		BEBE	B	10,000	20.04	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT		BGP	C	21,400	22.63	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT		BIG	C	50,000	23.73	16-Nov	1-Dec	non-brg 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SARAS CAPITAL	038	BIG	B	25,000	23.67	16-Nov	1-Dec	1,250.00	5.0
IRIDIAN ASSET MGMT.	036	BIIB	S	22,800	49.66	n/a	n/a	1,140.00	5.0
WHITE PINE CAPITAL	030	BIOS	S	1,000	3.53	n/a	n/a	20.00	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>B</b>	<b>60,000</b>	<b>35.42</b>	<b>23-Oct</b>	<b>1-Dec</b>	<b>non-brg</b>	<b>5.0</b>
TRIBECA	038	BRO	B	10,000	28.27	n/a	n/a	500.00	5.0
DISIPLINED GROWTH INV.	030	CAB	S	1,500	24.23	n/a	n/a	75.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CACH</b>	<b>B</b>	<b>30,000</b>	<b>25.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
ROYCE TRADING	216	CBK	B	50,000	19.30	11-Dec	20-Dec	2,500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>CBM</b>	<b>B</b>	<b>2,000</b>	<b>22.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	CBSS	SS	21,000	59.67	n/a	n/a	1,050.00	5.0
ROARING BLUE LION MGMT	012	CC	B	32,000	19.55	20-Dec	20-Dec	1,600.00	5.0
WEISS PECK	040	CHS	SS	9,400	21.57	29-Nov	8-Dec	470.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>B</b>	<b>30,000</b>	<b>43.91</b>	<b>7-Dec</b>	<b>7-Dec</b>	<b>1,200.00</b>	<b>4.0</b>
FRIESS MGMT	042	COH	S	465,900	43.92	7-Dec	7-Dec	20,965.50	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>C</b>	<b>40,000</b>	<b>57.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CRI</b>	<b>B</b>	<b>40,000</b>	<b>26.12</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		CTRN	B	15,000	41.22	n/a	n/a	non-brg 5.0
IRIDIAN ASSET MGMT.	036	C	S	14,800	54.73	n/a	15-Dec	740.00 5.0
BUCK CAPITAL MGMT		DBD	C	10,000	45.51	n/a	30-Oct	non-brg 1.5
BUCK CAPITAL MGMT		DBD	C	25,000	45.51	n/a	30-Oct	non-brg 5.0
BUCK CAPITAL MGMT		DBTK	S	13,000	12.15	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT		DECK	B	25,000	58.23	n/a	n/a	non-brg 5.0
FRIESS MGMT	042	DIS	S	374,000	34.60	n/a	n/a	16,830.00 4.5
BUCK CAPITAL MGMT	001	DKS	S	110,000	49.31	n/a	n/a	4,400.00 4.0
BUCK CAPITAL MGMT		DKS	C	30,000	49.59	n/a	n/a	non-brg 5.0
WESTLANE CAPITAL	044	EK	SS	2,700	25.82	n/a	n/a	135.00 5.0
PROSPECTOR PARTNERS LLC	036	ERIE	SS	23,300	57.49	n/a	n/a	0.00 0.0
CAPITAL GROWTH MGMT.	241	EV	S	50,000	32.69	2-Nov	22-Dec	2,500.00 5.0
DISCIPLINED GROWTH INV.	030	EW	S	2,500	47.74	n/a	n/a	125.00 5.0
BUCK CAPITAL MGMT	001	FDO	B	50,000	29.44	3-Nov	21-Dec	2,000.00 4.0
FARBER/WEISS PECK	012	FDO	C	15,000	29.23	3-Nov	21-Dec	750.00 5.0
DISCIPLINED GROWTH INV.	030	FDS	S	500	56.61	n/a	n/a	25.00 5.0
CAPITAL GROWTH MGMT.	241	FDX	S	84,000	108.74	n/a	n/a	4,200.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		FD	C	2,224	38.18	9-Nov	21-Dec	non-brg	1.5
⚡ BUCK CAPITAL MGMT		FD	S	50,000	38.20	9-Nov	21-Dec	non-brg	5.0
⚡ BUCK CAPITAL MGMT		FD	S	80,000	38.39	9-Nov	21-Dec	non-brg	5.0
COLONIAL FUND LLC	036	FD	SS	50,000	38.01	9-Nov	21-Dec	2,500.00	5.0
FARBER/WEISS PECK	012	FD	S	48,000	38.32	9-Nov	21-Dec	2,400.00	5.0
FIRST INV MGMT CORP	036	FIC	B	3,750	41.64	n/a	n/a	187.50	5.0
ROYCE TRADING	216	FII	B	12,400	33.94	n/a	22-Dec	620.00	5.0
⚡ BUCK CAPITAL MGMT		FRED	C	20,000	12.15	30-Nov	1-Dec	non-brg	5.0
TROOB CAPITAL	067	F	B	150,000	7.37	n/a	n/a	4,500.00	3.0
HOOVER INV. MGMT	033	GDP	S	64,500	37.41	n/a	n/a	3,225.00	5.0
IRIDIAN ASSET MGMT.	036	GD	S	26,100	74.72	n/a	n/a	1,305.00	5.0
DISCIPLINED GROWTH INV.	030	GENZ	S	2,000	62.97	n/a	n/a	100.00	5.0
ROYCE TRADING	216	GGG	B	11,700	40.10	n/a	n/a	585.00	5.0
WHITE PINE CAPITAL	030	GGG	B	1,075	40.09	n/a	n/a	53.75	5.0
⚡ BUCK CAPITAL MGMT		GIII	S	1,053	21.18	n/a	n/a	non-brg	2.0
DC CAPITAL	044	GLW	B	237,300	18.99	n/a	20-Dec	11,865.00	5.0
LISTED ERR	099	GLW	B	5	18.99	n/a	20-Dec	0.00	0.0
FRIESS MGMT	042	GRP	B	195,200	41.71	n/a	n/a	8,784.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAILFISH	037	HAL	S	10,000	31.96	n/a	n/a	500.00	5.0
DISCIPLINED GROWTH INV.	030	HLX	S	1,000	33.00	n/a	n/a	50.00	5.0
WHITE PINE CAPITAL	030	HMX	B	775	7.30	n/a	n/a	38.75	5.0
Õ Glenn Redemann		HW	B	3	0.74	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HW	B	3	0.86	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	ICON	S	175,000	18.66	n/a	n/a	8,750.00	5.0
WHITE PINE CAPITAL	030	IFF	B	200	49.25	10-Nov	22-Nov	10.00	5.0
DISCIPLINED GROWTH INV.	030	IMN	S	4,000	46.64	n/a	n/a	200.00	5.0
DISCIPLINED GROWTH INV.	030	INCY	S	1,000	6.01	n/a	n/a	50.00	5.0
DISCIPLINED GROWTH INV.	030	INTU	S	4,000	30.66	n/a	n/a	200.00	5.0
ROYCE TRADING	216	IO	S	50,000	13.52	n/a	n/a	2,500.00	5.0
WHITE PINE CAPITAL	030	IO	B	800	13.50	n/a	n/a	40.00	5.0
ß BUCK CAPITAL MGMT		ISIL	SS	5,000	24.12	n/a	n/a	non-brg	5.0
FIRST INV MGMT CORP	036	ISIL	B	20,000	24.28	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		ISLE	C	20,000	27.01	n/a	26-Jan	non-brg	5.0
RIVER RUN MGMT	031	ITCD	B	18,500	2.56	n/a	n/a	555.00	3.0
BUCK CAPITAL MGMT	001	JAS	B	20,000	24.57	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	JNY	SS	30,000	33.48	n/a	26-Oct	1,200.00	4.0
BUCK CAPITAL MGMT	001	JWN	B	30,000	48.77	11-Dec	11-Dec	1,200.00	4.0
PROSPECTOR PARTNERS LLC	036	KIE	SS	17,100	56.87	n/a	n/a	855.00	5.0
MAGNETAR FINANCIAL	247	KKD	S	19,500	10.45	n/a	n/a	780.00	4.0
BARR	096	KOG	S	2,300	4.25	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	KRE	SS	23,800	49.78	n/a	n/a	1,190.00	5.0
J L F ASSET MGMT	039	KSS	SS	75,600	70.00	10-Nov	1-Dec	3,024.00	4.0
PILOT ADV	038	KSS	SS	4,600	69.98	10-Nov	1-Dec	184.00	4.0
PRINCIPLE CAPITAL	040	KSS	B	72,600	69.50	10-Nov	1-Dec	2,904.00	4.0
ADAGE CAPITAL MGMT	030	K	B	150,600	50.44	n/a	n/a	7,530.00	5.0
ß BUCK CAPITAL MGMT		LAMR	B	20,000	64.92	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		LEND	B	2	0.95	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LEND	B	2	1.15	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	SS	30,000	43.73	n/a	27-Oct	1,200.00	4.0
ß BUCK CAPITAL MGMT		MAS	SS	40,000	29.26	n/a	n/a	non-brg	5.0
HIGHBRIDGE CAPITAL	038	MMC	S	76,600	30.07	n/a	n/a	3,830.00	5.0
WHITE PINE CAPITAL	030	MMR	S	1,100	15.10	n/a	n/a	55.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		MWRK	C	20,000	41.83	n/a	n/a	non-brg	5.0
XYLEM GLOBAL	024	MWV	B	50,000	29.90	n/a	14-Dec	2,500.00	5.0
CROWLEY	061	MZT	B	4,500	0.71	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NFI	B	3	0.40	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NFI	B	3	1.05	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		NKE	C	21,300	99.85	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	NLS	B	35,000	14.33	n/a	n/a	1,400.00	4.0
BINDER	007	NOK	S	150	20.26	n/a	n/a	0.00	0.0
WHALE ROCK CAP	030	NTRI	S	14,972	65.40	n/a	n/a	598.88	4.0
THE JAY GOLDMAN	034	NWY	B	30,000	13.42	1-Dec	1-Dec	1,200.00	4.0
XYLEM GLOBAL	024	OI	B	8,700	18.63	n/a	n/a	435.00	5.0
FRIESS MGMT	042	ORCL	B	974,400	17.16	n/a	n/a	43,848.00	4.5
DISIPLINED GROWTH INV.	030	OTEX	S	1,500	20.23	n/a	n/a	75.00	5.0
Õ Glenn Redemann		PETD	B	1	1.07	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PETD	B	1	1.86	n/a	n/a	0.00	0.0
VANDER MOOLEN	040	PG	B	5,000	64.41	15-Dec	15-Dec	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PROSPECTOR PARTNERS LLC	036	PMI	SS	26,700	46.98	n/a	n/a	1,335.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>B</b>	<b>37,600</b>	<b>51.98</b>	<b>22-Nov</b>	<b>22-Nov</b>	<b>1,504.00</b>	<b>4.0</b>
<b>CROWLEY</b>	<b>061</b>	<b>PXLW</b>	<b>S</b>	<b>4,000</b>	<b>2.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TROOB CAPITAL	067	RAD	B	3,600	5.45	n/a	n/a	108.00	3.0
TROOB CAPITAL	067	RAD	S	100,000	5.51	n/a	n/a	3,000.00	3.0
HIGHBRIDGE CAPITAL	038	RGC	SS	331,300	20.76	n/a	n/a	16,565.00	5.0
CAPITAL GROWTH MGMT.	241	RIG	B	25,000	80.59	n/a	n/a	1,250.00	5.0
<b>LUCHANSKY2</b>	<b>036</b>	<b>RIMM</b>	<b>B</b>	<b>100</b>	<b>134.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	RJF	B	20,000	30.31	n/a	n/a	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>72,800</b>	<b>79.66</b>	<b>7-Dec</b>	<b>7-Dec</b>	<b>2,912.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RL</b>	<b>B</b>	<b>10,000</b>	<b>79.48</b>	<b>7-Dec</b>	<b>7-Dec</b>	<b>non-brg</b>	<b>5.0</b>
XYLEM GLOBAL	024	RYN	B	20,000	40.73	n/a	14-Dec	1,000.00	5.0
<b>MAGUIRE</b>	<b>039</b>	<b>SDS</b>	<b>B</b>	<b>100</b>	<b>57.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	SF	SS	6,100	39.50	n/a	n/a	305.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>SHOO</b>	<b>B</b>	<b>25,000</b>	<b>35.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SHW</b>	<b>C</b>	<b>25,500</b>	<b>62.95</b>	<b>n/a</b>	<b>19-Oct</b>	<b>non-brg</b>	<b>5.0</b>



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		SKNN	B	50,000	2.59	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SKX	C	30,000	32.44	n/a	7-Dec	non-brg	5.0
ROSENBERG	034	SPC	S	1,000	10.51	n/a	15-Nov	0.00	0.0
WHITE PINE CAPITAL	030	SYNO	B	400	10.06	n/a	n/a	20.00	5.0
WHITE PINE CAPITAL	030	TCBI	B	225	19.79	n/a	n/a	11.25	5.0
BARR	096	TGIC	C	200	54.42	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	THG	SS	16,000	49.11	n/a	n/a	800.00	5.0
J L F ASSET MGMT	039	TJX	SS	47,500	28.75	6-Oct	1-Dec	1,900.00	4.0
IRIDIAN ASSET MGMT.	036	TK	B	5,500	43.50	n/a	n/a	275.00	5.0
RIDGECRST PARTNERS	035	TLB	SS	15,000	23.98	18-Oct	16-Nov	750.00	5.0
WHITE PINE CAPITAL	030	TSAI	B	125	33.02	n/a	n/a	6.25	5.0
B BUCK CAPITAL MGMT		TSCO	S	20,000	45.29	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	TSCO	SS	67,400	44.90	n/a	n/a	2,696.00	4.0
GALLEON MGMT LP	033	TUP	C	30,000	21.56	n/a	n/a	1,500.00	5.0
BUCK CAPITAL MGMT	001	TWB	B	20,000	41.52	5-Sep	8-Dec	800.00	4.0
J L F ASSET MGMT	039	TWB	B	22,200	41.67	5-Sep	8-Dec	888.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGAN LEWIS/MLH	046	TWX	S	10,000	21.98	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>50,000</b>	<b>23.18</b>	<b>7-Dec</b>	<b>11-Dec</b>	<b>non-brg</b>	<b>5.0</b>
TROOB CAPITAL	067	URI	B	50,000	25.74	n/a	n/a	1,500.00	3.0
WHITE PINE CAPITAL	030	USPI	B	900	27.77	n/a	n/a	45.00	5.0
J L F ASSET MGMT	039	WCC	SS	61,600	60.21	n/a	n/a	2,464.00	4.0
BALYASNY ASSET MGMT	044	WDR	S	17,500	27.02	15-Dec	22-Dec	875.00	5.0
FIRST INV MGMT CORP	036	WFR	B	5,000	40.81	n/a	n/a	250.00	5.0
<b>BINDER</b>	<b>007</b>	<b>WLV</b>	<b>B</b>	<b>3,500</b>	<b>1.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CROWLEY</b>	<b>061</b>	<b>WLV</b>	<b>S</b>	<b>2,000</b>	<b>1.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WMT</b>	<b>C</b>	<b>100,000</b>	<b>45.96</b>	<b>5-Oct</b>	<b>1-Dec</b>	<b>non-brg</b>	<b>5.0</b>
MARATHON CAPITAL MGMT.	032	WMT	S	5,100	45.72	5-Oct	1-Dec	204.00	4.0
WHITE PINE CAPITAL	030	WYN	S	240	32.57	n/a	n/a	12.00	5.0
<b>LEDY</b>	<b>035</b>	<b>XOHO</b>	<b>B</b>	<b>3,000</b>	<b>4.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>							<b>236,878.16</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/20	BRIGHTPOINT CAP	067	AAP	B	5,000	35.81	n/a	n/a	250.00 5.0
AO,CXL	12/20	BRIGHTPOINT CAP	067	AAP	B	5,000	35.83	n/a	n/a	250.00 5.0
AO,CRT	12/20	SEAROCK CAPITAL MANAGE	012	AEOS	B	75,900	31.20	15-Nov	5-Dec	2277.00 3.0
AO,CXL	12/20	SEAROCK CAPITAL MANAGE	012	AEOS	B	75,900	31.20	15-Nov	5-Dec	3036.00 4.0
AO,	12/20	AVENUE CAPITAL MGMT	036	NNI	S	100,000	28.99	n/a	n/a	5000.00 5.0
AO,	12/20	BAIRD INVESTMENT	031	SWN	B	40	37.61	7-Aug	20-Dec	2.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>4,243.00</b>

TOTAL (Trade Date) :	236,878.16
TOTAL (AO, CXL, CRT) :	4,243.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>241,121.16</b>
---------------------------------	-------------------

MRI Securities with Trade Activity on 21-Dec.

**AOS**

MRI Securities without Trade Activity on 21-Dec.

**LNCR**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 30%

YTD = 23%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION