



Daily Compliance Activity Report

Trade Date: 04/02/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROYCE TRADING	216	AEL	S	10,000	9.78	n/a	n/a	500.00	5.0
DIAMONDBACK CAP MGMT	232	AEO	B	7,800	18.99	6-Mar	13-Mar	390.00	5.0
COUGAR TRADING	044	ANF	B	2,000	76.77	10-Jan	10-Mar	100.00	5.0
ADAGE CAPITAL MGMT	030	ANN	S	22,900	25.31	14-Mar	14-Mar	1,145.00	5.0
LEDDY	035	AN	SS	700	16.24	n/a	8-Feb	0.00	0.0
LEDDY	035	ARGN	B	800	14.75	n/a	25-Feb	0.00	0.0
US BANCORP	030	ARO	B	60,000	28.47	29-Nov	14-Mar	3,000.00	5.0
CUMBERLAND	038	AXL	B	25,000	20.82	n/a	n/a	1,000.00	4.0
DIAMONDBACK CAP MGMT	232	BAC	S	25,000	40.08	13-Nov	17-Mar	1,250.00	5.0
WEINTRAUB CAPITAL MGT.	040	BBY	SS	100,000	43.80	n/a	27-Jul	4,000.00	4.0
ROYCE TRADING	216	BEZ	B	10,000	28.22	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	BG	B	75,000	88.98	n/a	n/a	3,750.00	5.0
1798 CAPITAL MGMT	040	BID	SS	15,000	27.49	n/a	n/a	750.00	5.0
LEDDY	035	BIG	C	500	23.07	6-Mar	10-Mar	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	BKH	C	10,000	37.72	n/a	n/a	400.00	4.0
NATIONAL BK OF CAN	031	BK	S	33,000	42.21	19-Oct	10-Mar	1,320.00	4.0
B BUCK CAPITAL MGMT		BONT	S	25,000	5.54	4-Jan	31-Mar	non-brg	2.5
B BUCK CAPITAL MGMT		BONT	S	4,565	5.54	4-Jan	31-Mar	non-brg	1.0
SCOPUS FUND	041	BWA	B	5,000	45.35	14-Jan	8-Feb	250.00	5.0
METROPOLITAN CAPITAL	044	BWS	S	5,000	16.72	n/a	n/a	250.00	5.0
TRUGENT CAPITAL	031	BX	B	20,000	17.69	n/a	n/a	800.00	4.0
THIRD COAST CAPITAL	035	CAO	B	20,000	11.74	n/a	n/a	1,000.00	5.0
KAICHER	064	CBI	B	1,000	43.90	n/a	n/a	0.00	0.0
ROYCE TRADING	216	CBR	S	10,000	5.24	n/a	n/a	500.00	5.0
MORGAN BANK /JPM	012	CF	B	175	104.47	n/a	n/a	5.25	3.0
PEREGRINE	030	CHS	B	350,000	7.16	31-Jan	31-Mar	17,500.00	5.0
ENTRUST CAPITAL	040	CKR	S	30,000	12.30	n/a	n/a	1,500.00	5.0
SANDLER O'NEILL ASSET	031	CLMS	B	21,500	17.27	13-Feb	2-Apr	1,075.00	5.0
GENERAL ELECTIC CO	033	CL	S	190,153	78.06	11-Dec	11-Feb	3,803.06	2.0
HARVEST MGMT	039	COH	B	50,000	32.30	24-Oct	14-Mar	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
N STRATTON	014	CVLL	B	99	12.00	n/a	n/a	0.00	0.0
CATTANI	041	C	S	400	24.17	16-Jan	17-Mar	0.00	0.0
8 BUCK CAPITAL MGMT		DDS	C	120,000	19.62	n/a	n/a	non-brg	4.0
MORGAN BANK /JPM	012	DHR	B	2,500	79.39	n/a	n/a	75.00	3.0
BUCK CAPITAL MGMT	001	DSW	S	20,000	13.23	28-Mar	28-Mar	800.00	4.0
SIGMA CAPITAL ASSOCIATES	237	EAT	B	50,000	19.43	n/a	24-Apr	2,500.00	5.0
8 BUCK CAPITAL MGMT		ETN	B	5,000	81.73	28-Mar	28-Mar	non-brg	4.0
THIRD COAST CAPITAL	035	ETN	S	9,000	81.78	28-Mar	28-Mar	450.00	5.0
PATTEN & PATTEN	067	EWI	B	300	18.50	n/a	n/a	15.00	5.0
BENNETT LAWRENCE	045	FDS	B	21,450	55.55	n/a	n/a	965.25	4.5
INDEPENDENCE INV	039	FE	S	196,900	71.92	n/a	n/a	7,876.00	4.0
8 BUCK CAPITAL MGMT		FLDR	C	1,722	6.10	n/a	n/a	non-brg	1.0
MORGAN BANK /JPM	012	GE	S	619	38.52	n/a	n/a	18.57	3.0
DAWSON	075	GILD	B	10	52.00	n/a	n/a	0.00	0.0
GAULT	063	GMO	B	2,000	8.01	n/a	n/a	0.00	0.0
DEMARTINI	065	GMO	B	1,000	8.01	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GOLD SACHS SPECIAL SIT	030	GNTX	SS	250,000	17.93	25-Jan	29-Jan	12,500.00	5.0
CATTANI	041	GS	B	100	180.88	19-Mar	19-Mar	0.00	0.0
NOMURA CAPITAL	045	HAYZ	S	6,500	2.82	n/a	n/a	260.00	4.0
TRIVIUM CAP MGMT	251	HPQ	B	25,000	47.19	n/a	n/a	1,000.00	4.0
KAICHER	064	JASO	S	1,000	20.01	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JOSB	B	30,000	20.86	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	JWN	S	10,000	34.51	31-Mar	31-Mar	500.00	5.0
HEALY CIRCLE	044	JWN	B	45,000	34.65	31-Mar	31-Mar	2,250.00	5.0
CHARTER OAK	033	KEX	B	38,000	58.17	n/a	n/a	1,900.00	5.0
BEAR STEARNS ASSET MGMT.	033	KSS	B	100,000	46.34	4-Jan	31-Mar	4,000.00	4.0
B BUCK CAPITAL MGMT		KSS	SS	100,000	45.84	4-Jan	31-Mar	non-brg	4.0
COUGAR TRADING	044	KSS	B	5,000	45.39	4-Jan	31-Mar	250.00	5.0
THIRD COAST CAPITAL	035	LEA	B	9,000	27.27	n/a	n/a	450.00	5.0
CENTURION GLOBAL MGMT LL	041	LLTC	B	4,900	32.09	n/a	n/a	147.00	3.0
MILLENIUM PARTNERS	028	LM	B	32,800	59.50	24-Mar	2-Apr	1,640.00	5.0
SCOPUS FUND	041	LPX	B	50,000	10.20	n/a	6-Feb	2,500.00	5.0
DEMARTINI	065	LPX	B	1,000	10.17	n/a	6-Feb	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		LTM+HG	SS	500	3.20	n/a	n/a	non-brg	300.0
SLS CAPITAL LLC	224	LWSN	B	25,000	7.88	n/a	n/a	750.00	3.0
BUCK	015	LYHPD	S	30	0.14	n/a	n/a	0.00	0.0
BUCK	015	LYHPF	B	30	0.45	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		M+DE	SS	1,000	1.25	n/a	n/a	non-brg	3.0
MAGUIRE	090	MAG	S	16,000	3.56	n/a	n/a	0.00	0.0
GENERAL ELECTIC CO	033	MCHP	S	27,479	34.55	n/a	n/a	549.58	2.0
PAKLER	029	MERSA	S	5	1.92	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	012	MFA	B	225	7.05	n/a	n/a	6.75	3.0
PATTEN & PATTEN	067	MS	B	100	49.16	20-Dec	20-Mar	5.00	5.0
MORGAN BANK /JPM	012	MTD	B	125	99.20	n/a	n/a	3.75	3.0
B BUCK CAPITAL MGMT		MVRX	SS	110,000	151.42	n/a	n/a	non-brg	15.1
GLOBAL 56 LLC	060	NLC	S	2,500	21.94	n/a	n/a	100.00	4.0
PROSPECTOR PARTNERS LLC	036	NP	B	10,000	26.55	8-Jan	23-Jan	400.00	4.0
MORGAN BANK /JPM	012	NX	B	250	52.59	n/a	n/a	7.50	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KINGDON ASSET MGMT	041	ONNN	S	200,000	6.24	n/a	n/a	10,000.00	5.0
MORGAN BANK /JPM	012	ORB	S	700	24.79	n/a	n/a	21.00	3.0
B BUCK CAPITAL MGMT		PCAR	B	5,000	48.50	n/a	n/a	non-brg	1.0
GALLEON MGMT LP	033	PEP	S	30,000	71.46	n/a	n/a	1,500.00	5.0
AMBER CAPITAL	046	PRM	S	27,272	7.07	n/a	n/a	1,090.88	4.0
B BUCK CAPITAL MGMT		PVH+EI	SS	1,000	0.81	n/a	n/a	non-brg	100.0
THOMSON HORSTMAN BRYANT	040	PVH	B	36,400	39.81	13-Mar	26-Mar	1,820.00	5.0
PATTEN & PATTEN	067	PWR	B	100	24.99	n/a	n/a	5.00	5.0
ACK ASSET	028	PZN	B	25,000	11.98	24-Mar	2-Apr	750.00	3.0
B BUCK CAPITAL MGMT		QDC+GI	SS	500	3.30	n/a	n/a	non-brg	300.0
FIRST NEW YORK LEDDY	035	Q	B	40,000	4.68	n/a	n/a	2,400.00	6.0
B BUCK CAPITAL MGMT		RL+SJ	SS	500	1.70	n/a	n/a	non-brg	300.0
BRIGHTPOINT CAP	067	RL	SS	35,000	62.04	7-Feb	15-Feb	1,400.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	61.90	7-Feb	15-Feb	400.00	4.0
COUGAR TRADING	044	RL	B	5,000	61.73	7-Feb	15-Feb	250.00	5.0
ZOLIDIS	011	RL	C	250	62.75	7-Feb	15-Feb	0.00	0.0
MORGAN BANK /JPM	012	ROH	S	280	55.13	n/a	28-Jan	8.40	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RVI	S	17,198	4.92	n/a	n/a	687.92	4.0
DIAMONDBACK CAP MGMT	232	SCHW	S	50,000	19.98	n/a	n/a	2,500.00	5.0
GALT INVESTMENT	041	SCSS	S	11,326	3.93	n/a	n/a	453.04	4.0
ZOLIDIS	011	SCVL	C	500	14.32	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SOAP	C	20,000	7.20	n/a	n/a	non-brg	1.0
PATTEN & PATTEN	067	STI	B	250	59.56	n/a	n/a	12.50	5.0
BARR	096	SYNP	S	9,900	7.83	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	012	TIP	B	150	108.30	n/a	n/a	4.50	3.0
⌘ BUCK CAPITAL MGMT		TJX+EG	SS	1,000	1.49	n/a	n/a	non-brg	300.0
LEVIN CAPITAL STRATEGIES	012	TJX	C	10,000	34.60	n/a	10-Mar	400.00	4.0
PECONIC PARTNERS	036	TJX	B	42,300	34.70	n/a	10-Mar	2,115.00	5.0
ROBX	302	TJX	B	15,000	34.70	n/a	10-Mar	0.00	0.0
⌘ BUCK CAPITAL MGMT		TLB	C	75,000	13.69	2-Apr	2-Apr	non-brg	4.0
ROYCE TRADING	216	TTMI	S	10,000	11.87	n/a	n/a	500.00	5.0
THIRD COAST CAPITAL	035	TWTI	S	25,000	9.26	n/a	n/a	1,250.00	5.0
MORGAN BANK /JPM	012	UHT	S	375	34.35	n/a	n/a	11.25	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		URBN	B	30,000	33.56	3-Apr	10-Mar	non-brg	4.0
COUGAR TRADING	044	URBN	B	5,000	33.04	3-Apr	10-Mar	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	URBN	SS	50,000	33.58	3-Apr	10-Mar	1,500.00	3.0
MORGAN BANK /JPM	012	UTX	S	4,300	71.73	n/a	n/a	129.00	3.0
B BUCK CAPITAL MGMT		VCGH	B	20,000	5.40	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		VIRL	S	25,000	5.96	n/a	n/a	non-brg	1.0
MORGAN BANK /JPM	012	WAB	S	375	38.97	n/a	n/a	11.25	3.0
CSFB GLOBAL PROPRIETARY	041	WBC	S	19,700	45.75	28-Mar	28-Mar	985.00	5.0
HIGHBRIDGE/MS OLDS	041	WBC	S	75,000	45.98	28-Mar	28-Mar	3,000.00	4.0
KERN	050	WBQT	B	20	1.90	n/a	n/a	0.00	0.0
MAGUIRE	090	WHT	S	10,500	2.75	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	WIRE	SS	50,000	18.64	n/a	n/a	2,500.00	5.0
STERLING JOHNSTON	035	WRNC	S	77,000	43.14	14-Jan	10-Mar	3,850.00	5.0
B BUCK CAPITAL MGMT		WSM	SS	20,000	26.28	n/a	n/a	non-brg	4.0
NOMURA CAPITAL	045	XOHO	S	6,760	1.29	n/a	n/a	135.20	2.0
ROSEDALE CAPITAL	046	ZQK	B	20,000	10.08	5-Feb	7-Mar	1,000.00	5.0
B BUCK CAPITAL MGMT		ZUMZ	SS	20,000	17.13	n/a	n/a	non-brg	4.0

TOTAL (Trade Date):

130,147.65

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/01	FIRST NEW YORK LEDDY	035	AN	SS	22,500	15.75	n/a	8-Feb	900.00 4.0
AO,CXL	04/01	ADAGE CAPITAL MGMT	030	ANN	S	52,100	25.12	14-Mar	14-Mar	2084.00 4.0
AO,CRT	04/01	ADAGE CAPITAL MGMT	030	ANN	S	52,100	25.12	14-Mar	14-Mar	2084.00 4.0
AO,CXL	03/31	KENSICO CAPITAL	041	JPM	B	250,000	43.65	17-Jan	25-Mar	12500.00 5.0
AO,CRT	03/31	KENSICO CAPITAL	041	JPM	B	250,000	43.65	17-Jan	25-Mar	7500.00 3.0
AO,	04/01	CHARTER OAK	033	KEX	B	62,000	58.17	n/a	n/a	3100.00 5.0
AO,	04/01	MKT MAKER	099	PRFT	S	36	8.25	n/a	n/a	0.00 0.0
AO,	04/01	RAMIUS CAP	040	TROW	SS	28,300	53.47	9-Jan	2-Apr	1415.00 5.0
TOTAL (AO, CXL, CRT) :										415.00

TOTAL (Trade Date) :	130,147.65
TOTAL (AO, CXL, CRT) :	415.00

TOTAL (RUN DATE) / NFS :	130,562.65
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MRI Securities with Trade Activity on 2-Apr. TLB

MRI Securities without Trade Activity on 2-Apr.

CHD, FL, GYMB

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 4%

YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION