



Daily Compliance Activity Report

Trade Date: 05/22/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AAPL	B	2,000	113.48	n/a	n/a	100.00	5.0
PATTEN & PATTEN	067	AAPL	S	2,000	113.45	n/a	n/a	100.00	5.0
PATTEN & PATTEN	067	AA	B	6,000	39.12	n/a	n/a	300.00	5.0
PATTEN & PATTEN	067	AA	S	6,000	39.09	n/a	n/a	300.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	30,000	28.19	4-Jan	18-May	1,200.00	4.0
COUGAR TRADING	044	AEO	B	5,000	28.40	4-Jan	18-May	250.00	5.0
GRUBER MACBAINE	033	AEO	B	17,000	28.35	4-Jan	18-May	680.00	4.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	9,900	8.39	n/a	n/a	396.00	4.0
GLG PARTNERS	034	ANN	B	50,000	36.50	n/a	21-May	2,500.00	5.0
WASHINGTON ASSET	048	ASH	B	6,000	60.23	25-Apr	25-Apr	300.00	5.0
B BUCK CAPITAL MGMT		AVCI	C	28,100	8.43	n/a	n/a	non-brg	1.0
SUN AMERICA ASSET MGMT	030	A	S	20,600	38.30	n/a	n/a	1,030.00	5.0
POST ROAD CAP	068	BBW	B	8,200	29.81	19-Mar	11-May	328.00	4.0
SAC TRADING HOWARD	038	BBW	S	15,000	29.88	19-Mar	11-May	750.00	5.0
Ö Glenn Redemann		BIDU	B	7	0.85	n/a	n/a	0.00	0.0
Ö Glenn Redemann		BIDU	B	7	1.24	n/a	n/a	0.00	0.0
Ö Glenn Redemann		BIDU	SS	7	1.51	n/a	n/a	0.00	0.0
Ö Glenn Redemann		BIDU	SS	7	2.08	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ADAGE CAPITAL MGMT	030	BJ	S	100,000	36.34	10-Apr	21-May	4,000.00	4.0
LISTED ERR	099	BJ	B	4,091	36.43	10-Apr	21-May	0.00	0.0
LISTED ERR	099	BJ	S	4,091	38.39	10-Apr	21-May	0.00	0.0
GRUBER MACBAINE	033	BLK	B	3,000	148.06	11-May	17-May	120.00	4.0
B BUCK CAPITAL MGMT		BONT	S	5,000	52.27	9-Mar	21-May	non-brg	5.0
ARIENCE CAPITAL	036	BYD	B	36,450	50.17	21-Feb	27-Apr	1,822.50	5.0
HASAN	010	CALL	S	5,000	3.55	n/a	n/a	0.00	0.0
BARR	096	CFC	C	250	40.78	n/a	n/a	0.00	0.0
AMCLATONI	062	CHBD	S	10,900	0.87	n/a	n/a	0.00	0.0
BRIGHTPOINT CAP	067	CHS	S	10,000	24.51	27-Mar	22-May	500.00	5.0
PATTEN & PATTEN	067	CHTT	B	2,400	65.00	11-Apr	11-Apr	120.00	5.0
PATTEN & PATTEN	067	CHTT	S	2,400	64.86	11-Apr	11-Apr	120.00	5.0
CAPITAL GROWTH MGMT.	241	CMI	S	345,000	88.02	n/a	n/a	17,250.00	5.0
MILLENIUM PARTNERS	028	CMI	S	5,000	87.53	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	COH	B	5,000	49.26	23-Feb	25-Apr	200.00	4.0
COUGAR TRADING	044	COH	B	5,000	49.13	23-Feb	25-Apr	250.00	5.0
HARVEST MGMT	039	COH	B	40,000	49.12	23-Feb	25-Apr	2,000.00	5.0
HUNTER GLOBAL INVESTOR	067	COH	B	20,000	49.30	23-Feb	25-Apr	1,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	COH	B	10,000	49.28	23-Feb	25-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SEAROCK CAPITAL MANAGE	012	COH	S	116,600	49.25	23-Feb	25-Apr	4,664.00	4.0
WAGONHOUND	048	COH	B	10,000	49.21	23-Feb	25-Apr	400.00	4.0
LISTED ERR	099	COH	B	1,200	49.23	23-Feb	25-Apr	0.00	0.0
PATTEN & PATTEN	067	CVX	B	3,000	82.39	n/a	n/a	150.00	5.0
PATTEN & PATTEN	067	CVX	S	3,000	82.32	n/a	n/a	150.00	5.0
B BUCK CAPITAL MGMT		CWTR	B	5,000	20.87	n/a	n/a	non-brg	5.0
M. KRAUS & CO.	037	CYTC	S	48,900	43.03	21-May	21-May	2,445.00	5.0
MERRILL LYNCH STRATEGICI	034	DBRN	S	10,000	21.48	n/a	n/a	400.00	4.0
SUN AMERICA ASSET MGMT	030	DOV	B	27,100	49.22	n/a	n/a	1,355.00	5.0
HIGHBRIDGE CAPITAL	038	DV	B	3,500	34.37	n/a	n/a	175.00	5.0
MAIRS AND POWER INV	030	EFD	S	20,000	33.00	n/a	n/a	1,200.00	6.0
COUGAR TRADING	044	ENDP	S	10,000	33.79	23-Feb	22-May	500.00	5.0
BACKUS	005	EVST	S	1,000	21.37	n/a	n/a	0.00	0.0
Õ Glenn Redemann		EYE	B	3	0.62	n/a	n/a	0.00	0.0
Õ Glenn Redemann		EYE	B	3	0.63	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FDO	SS	10,000	33.67	19-Apr	11-May	400.00	4.0
B BUCK CAPITAL MGMT		FL	S	20,000	21.98	n/a	11-May	non-brg	5.0
HIGHBRIDGE CAPITAL	038	FRP	SS	100,000	18.18	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GIII	B	5,000	19.42	n/a	n/a	non-brg	5.0
KANTROWIT	N21	GIL	B	25	72.10	n/a	3-May	0.00	0.0
PAKLER	N22	GIL	B	40	71.85	n/a	3-May	0.00	0.0
LUCHANSKY	036	GPI	B	200	40.70	27-Apr	2-May	0.00	0.0
⌘ BUCK CAPITAL MGMT		GYMB	S	15,000	38.24	4-Jan	11-May	non-brg	5.0
⌘ BUCK CAPITAL MGMT		HLYS	B	10,000	30.50	n/a	n/a	non-brg	5.0
LUCHANSKY	036	HMA	S	500	10.73	5-Mar	25-Apr	0.00	0.0
MILLENIUM PARTNERS	028	HSC	B	5,000	51.82	23-Apr	23-Apr	250.00	5.0
WAGONHOUND	048	HSC	B	3,315	51.95	23-Apr	23-Apr	132.60	4.0
⌘ BUCK CAPITAL MGMT		ICON	S	10,000	20.96	n/a	n/a	non-brg	5.0
T. ROWE PRICE	243	IMB	S	64,300	34.58	n/a	n/a	2,572.00	4.0
⌘ BUCK CAPITAL MGMT		INTC	B	10,000	22.84	n/a	n/a	non-brg	5.0
VARDON PARTNERS	035	IPG	S	50,000	11.57	n/a	n/a	2,500.00	5.0
LUCHANSKY	036	ITCD	B	1,000	5.60	n/a	n/a	0.00	0.0
AAD CAPITAL	048	JNS	SS	39,100	27.58	26-Apr	1-May	1,564.00	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	10,000	39.40	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		KCI	B	4	0.30	n/a	n/a	0.00	0.0
Õ Glenn Redemann		KCI	B	4	0.60	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		KMX	S	10,000	23.55	n/a	10-Jan	non-brg	5.0
BUCK CAPITAL MGMT	001	KSS	B	5,000	73.03	n/a	18-May	200.00	4.0
COUGAR TRADING	044	KSS	S	5,000	73.39	n/a	18-May	250.00	5.0
STADIA CAPITAL	035	KSS	SS	100,000	73.20	n/a	18-May	4,000.00	4.0
MILLENIUUM PARTNERS	028	LIZ	B	10,000	33.68	n/a	2-May	400.00	4.0
ZOLIDIS	011	LVS	B	70	79.91	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LYO	B	7	0.15	14-May	14-May	0.00	0.0
Õ Glenn Redemann		LYO	B	7	0.15	14-May	14-May	0.00	0.0
Õ Glenn Redemann		LYO	SS	7	0.70	14-May	14-May	0.00	0.0
Õ Glenn Redemann		LYO	SS	7	0.70	14-May	14-May	0.00	0.0
SENTINAL CAPITAL	067	MDT	S	7,000	50.96	n/a	22-Apr	350.00	5.0
BACKUS	005	MEE	B	1,000	27.79	n/a	n/a	0.00	0.0
ZOLIDIS	011	MGM	S	70	79.45	n/a	22-May	0.00	0.0
KANTROWIT	N21	MOT	S	100	18.89	n/a	n/a	0.00	0.0
BONANZA PARTNERS	031	MOVI	S	150,010	2.76	n/a	n/a	3,000.20	2.0
SAILFISH	035	MSFT	SS	10,000	30.86	n/a	3-Apr	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		MSM	B	15,000	51.03	n/a	n/a	non-brg	5.0
SUN AMERICA ASSET MGMT	030	NG	B	10,000	14.28	n/a	n/a	500.00	5.0
BINDER	007	OMX	C	100	45.29	n/a	15-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		OXM	S	15,000	45.57	n/a	n/a	non-brg	5.0
BARR	096	PDFS	B	1,366	10.40	n/a	n/a	0.00	0.0
CASEY CAPITAL	067	PHM	B	4,000	27.38	n/a	n/a	200.00	5.0
⌘ BUCK CAPITAL MGMT		PLCE	C	10,000	56.17	12-Apr	11-May	non-brg	5.0
⌘ BUCK CAPITAL MGMT		PLCE	S	40,000	55.17	12-Apr	11-May	non-brg	5.0
SATELLITE ASSET MGMT	012	PLCE	S	25,000	54.77	12-Apr	11-May	1,000.00	4.0
CAXTON PART	040	PRU	S	25,181	102.65	n/a	n/a	1,007.24	4.0
⌘ BUCK CAPITAL MGMT		PSS	B	1,800	33.25	n/a	n/a	non-brg	0.4
⌘ BUCK CAPITAL MGMT		PSS	B	40,000	31.73	n/a	n/a	non-brg	5.0
VISIUM/BALY HOWARD	038	PSS	B	14,500	31.77	n/a	n/a	725.00	5.0
TRIBECA/DOUG	040	PTP	B	5,100	34.91	n/a	n/a	204.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	5,000	96.26	2-Feb	13-Apr	200.00	4.0
⌘ BUCK CAPITAL MGMT		SHOO	S	10,000	32.63	n/a	n/a	non-brg	5.0
BACKUS	005	SNEN	B	10,000	2.70	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CASEY CAPITAL	067	SPF	B	5,000	22.23	n/a	n/a	250.00	5.0
PROSPECTOR PARTNERS LLC	036	SRP	S	9,100	19.51	n/a	n/a	455.00	5.0
B BUCK CAPITAL MGMT		TJX	S	20,000	28.19	21-Mar	16-May	non-brg	5.0
B BUCK CAPITAL MGMT		TSCO	S	15,000	51.65	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	TWB	S	30,000	39.08	22-Feb	17-May	1,200.00	4.0
COUGAR TRADING	044	TWB	S	5,200	38.65	22-Feb	17-May	260.00	5.0
SAC TRADING HOWARD	038	TWB	B	15,000	38.74	22-Feb	17-May	750.00	5.0
DSG CAPITAL	236	TWTC	B	2,200	18.68	4-May	4-May	110.00	5.0
MCCARTAN	028	TYC	B	300	32.42	8-May	21-May	0.00	0.0
PATTEN & PATTEN	067	UNM	B	7,000	27.32	n/a	n/a	350.00	5.0
PATTEN & PATTEN	067	UNM	S	7,000	27.32	n/a	n/a	350.00	5.0
COUGAR TRADING	044	URBN	SS	15,000	25.32	2-Apr	11-May	750.00	5.0
HIGHBRIDGE CAPITAL	038	VMC	SS	6,100	116.49	n/a	n/a	305.00	5.0
HASAN	010	VSL	S	1,000	23.45	n/a	n/a	0.00	0.0
SAILFISH	035	WFC	B	15,000	36.08	n/a	n/a	750.00	5.0
JP MORGAN VENTURES	046	WRNC	S	15,000	33.92	9-May	9-May	750.00	5.0
B BUCK CAPITAL MGMT		WSO	B	10,000	57.13	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		WSO	B	5,000	57.53	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		WTSLA	B	40,000	5.59	n/a	n/a	non-brg	4.0
CROSS CAPITAL	028	XLE	SS	13,000	68.24	n/a	n/a	650.00	5.0
BRENCOURT	028	ZLC	S	5,300	27.49	n/a	n/a	265.00	5.0
TOTAL (Trade Date):								79,855.54	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/18	BUCK CAPITAL MGMT	001	FD	B	95,000	39.17	17-May	17-May	3800.00 4.0
AO,CXL	05/21	HIGHBRIDGE CAPITAL	038	FRP	SS	50,000	18.00	n/a	n/a	0.00 0.0
AO,CRT	05/21	HIGHBRIDGE CAPITAL	038	FRP	SS	50,000	18.00	n/a	n/a	2500.00 5.0
AO,CRT	05/21	TRELLUS PARTNERS LP	028	VC	S	100,000	8.75	n/a	n/a	3000.00 3.0
AO,CXL	05/21	TRELLUS PARTNERS LP	028	VC	S	100,000	8.75	n/a	n/a	5000.00 5.0

TOTAL (AO, CXL, CRT) :	-3,300.00
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TOTAL (Trade Date) :	79,855.54
TOTAL (AO, CXL, CRT) :	-3,300.00

TOTAL (RUN DATE) / NFS:	76,555.54
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MRI Securities with Trade Activity on 22-May. NONE

MRI Securities without Trade Activity on 22-May. NONE
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 18%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION