



Daily Compliance Activity Report

Trade Date: 07/14/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	B	250,000	12.39	6-Mar	11-Jul	10,000.00	4.0
FRONTPOINT CHRIS C	041	AKAM	SS	4,100	30.42	n/a	n/a	164.00	4.0
OGORMAN	046	ALVR	S	1,000	5.83	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	ANF	S	111,300	54.08	10-Jul	11-Jul	4,452.00	4.0
COUGAR TRADING	044	ARO	SS	5,000	30.50	28-Apr	11-Jul	250.00	5.0
WAGONHOUND/NIC	048	ARO	S	1,095	31.11	28-Apr	11-Jul	43.80	4.0
CUMBERLAND	038	ARRS	B	50,000	7.53	n/a	n/a	2,000.00	4.0
WILLOW CREEK	033	AXP	SS	2,000	37.93	n/a	n/a	80.00	4.0
BARR	096	AXP	S	75	37.81	n/a	n/a	0.00	0.0
BUCK	015	BBBY	C	1,000	26.93	n/a	n/a	0.00	0.0
CASEY CAPITAL	067	BGG	SS	25,000	11.83	n/a	n/a	750.00	3.0
CROWN ADVISORS	045	BIG	B	4,000	28.66	29-May	11-Jul	200.00	5.0
LEVIN CAPITAL STRATEGIES	012	BIG	B	30,000	27.00	29-May	11-Jul	1,200.00	4.0
ETON PARK CAPITAL	041	BUD	S	500,000	67.38	n/a	n/a	20,000.00	4.0
GRUSS CAPITAL	045	BUD	B	169,700	67.18	n/a	n/a	5,091.00	3.0
US BANCORP	030	CAM	S	59,070	52.20	n/a	n/a	2,953.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CBL	S	10,000	20.40	n/a	n/a	400.00	4.0
COUGAR TRADING	044	CMG	B	1,000	70.24	n/a	24-Apr	50.00	5.0
LEDDY	035	CMI	SS	200	66.53	n/a	23-May	0.00	0.0
BARR	096	COF	SS	1,600	38.85	n/a	n/a	0.00	0.0
COUGAR TRADING	044	COH	B	10,000	26.18	4-Apr	9-Jul	500.00	5.0
RBC CAPITAL MARKETS	034	COST	SS	5,000	69.18	n/a	27-Jul	200.00	4.0
KAICHER	064	C	B	2,000	15.24	19-Jun	14-Jul	0.00	0.0
NORTHPOINT	030	DBTK	S	41,340	12.32	n/a	n/a	1,653.60	4.0
BUCK CAPITAL MGMT	001	DDR	S	10,000	29.77	n/a	n/a	400.00	4.0
GRUSS CAPITAL	045	DEI	B	11,000	20.75	n/a	n/a	330.00	3.0
ROTHSCHILD ASSET	040	DEI	B	3,050	20.55	n/a	n/a	137.25	4.5
BUCK CAPITAL MGMT	001	DRE	S	10,000	21.82	n/a	n/a	400.00	4.0
EPOCH INV MGMT	030	DUF	B	12,650	14.98	n/a	n/a	506.00	4.0
BARR	096	FNM	SS	9,000	12.49	n/a	n/a	0.00	0.0
COUGAR TRADING	044	FOSL	B	10,000	23.51	n/a	9-Jul	500.00	5.0
MENCKE	055	FRE	S	30	6.42	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	FRT	S	10,000	66.20	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	GES	B	20,000	32.11	n/a	n/a	800.00	4.0
WILLOW CREEK	033	GES	SS	2,000	31.44	n/a	n/a	80.00	4.0
BUCK CAPITAL MGMT	001	GGP	S	10,000	30.39	n/a	n/a	400.00	4.0
WILLOW CREEK	033	GIL	SS	2,000	22.89	30-Apr	9-Jul	80.00	4.0
WHEELER	008	GLDD	S	20,000	6.34	n/a	n/a	0.00	0.0
ETON PARK CAPITAL	041	GLD	S	100,000	95.62	n/a	n/a	3,000.00	3.0
BARR	096	GLD	B	500	95.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GRT	S	10,000	9.55	n/a	n/a	400.00	4.0
COUGAR TRADING	044	GYMB	S	5,000	36.85	11-Jul	11-Jul	250.00	5.0
WAGONHOUND/NIC	048	GYMB	S	700	35.84	11-Jul	11-Jul	28.00	4.0
BUCK	015	HAR	C	1,000	37.18	n/a	7-May	0.00	0.0
JP MORGAN VENTURES	046	HAS	C	15,000	35.01	n/a	n/a	750.00	5.0
KAICHER	064	HK	S	2,000	48.52	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	HRB	SS	34,800	22.36	n/a	n/a	1,740.00	5.0
BUCK	015	IGT	SS	1,000	23.49	n/a	18-Apr	0.00	0.0
WHEELER	008	INQAE	B	100	0.75	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	ISPH	B	2,000	3.74	n/a	n/a	0.00	0.0
WHEELER	008	IVGN	S	2,000	40.28	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JAH	S	200,000	17.61	n/a	n/a	8,000.00	4.0
COUGAR TRADING	044	JCG	SS	5,000	28.14	n/a	30-May	250.00	5.0
BUCK	015	JNJ	B	500	66.46	n/a	22-Apr	0.00	0.0
JP MORGAN VENTURES	046	JNY	B	20,000	12.98	n/a	1-May	1,000.00	5.0
JP MORGAN VENTURES	046	JWN	SS	15,000	27.41	10-Jul	11-Jul	750.00	5.0
BUCK CAPITAL MGMT	001	KIM	S	10,000	32.31	n/a	n/a	400.00	4.0
WEISS PECK	040	KMX	SS	10,900	12.08	n/a	10-Jan	545.00	5.0
BARR	096	KRE	SS	7,200	23.44	n/a	n/a	0.00	0.0
COUGAR TRADING	044	KSS	S	5,000	38.36	n/a	11-Jul	250.00	5.0
COLUMBIA PARTNERS	028	LCC	S	43,655	2.01	n/a	n/a	873.10	2.0
NATIONAL BK OF CAN	031	LEH	B	40,000	14.56	9-Jun	14-Jul	1,600.00	4.0
APEX CAPITAL MGMT.	091	LIZ	B	175,000	11.75	n/a	14-May	8,750.00	5.0
GLG PARTNERS	034	LOW	B	100,000	19.04	n/a	27-Jul	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SINCLAIR	031	LYHAX	S	25	2.60	n/a	n/a	0.00	0.0
PAKLER	029	LYHGF	S	10	0.01	n/a	n/a	0.00	0.0
WILLOW CREEK	033	MATK	SS	2,000	35.67	n/a	n/a	80.00	4.0
WILLOW CREEK	033	MDRX	SS	3,000	13.31	n/a	n/a	120.00	4.0
BARR	096	MER	SS	2,300	26.32	24-Jun	14-Jul	0.00	0.0
LEDDY	035	MTW	B	500	26.54	n/a	23-May	0.00	0.0
CATAPULT	040	MT	SS	22,000	87.41	n/a	n/a	1,100.00	5.0
PROSPECTOR PARTNERS LLC	036	MWV	B	10,000	22.32	7-Apr	11-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	M	B	370,000	16.32	14-Jul	14-Jul	14,800.00	4.0
COUGAR TRADING	044	M	S	5,000	16.54	14-Jul	14-Jul	250.00	5.0
RBC CAPITAL MARKETS	034	M	B	10,000	16.21	14-Jul	14-Jul	400.00	4.0
MORTAR ROCK	033	NCOC	B	50,000	6.67	n/a	n/a	2,500.00	5.0
BARR	096	NEM	B	500	51.91	n/a	n/a	0.00	0.0
WILLOW CREEK	033	NETL	SS	3,000	31.14	n/a	n/a	120.00	4.0
WILLOW CREEK	033	NFLX	SS	5,000	27.78	n/a	n/a	200.00	4.0
PROSPECTOR PARTNERS LLC	036	NP	B	15,000	14.88	n/a	11-Jul	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	NWY	S	50,000	8.55	n/a	22-May	2,000.00	4.0
JP MORGAN VENTURES	046	ORCL	C	100,000	20.71	n/a	n/a	5,000.00	5.0
CATAPULT	040	OTTR	B	19,914	42.43	n/a	n/a	995.70	5.0
BUCK CAPITAL MGMT	001	OXM	SS	40,000	18.63	n/a	n/a	1,600.00	4.0
BARR	096	PDFS	B	3,000	5.05	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PEI	S	10,000	19.62	n/a	n/a	400.00	4.0
FRONTPOINT	044	PLCM	SS	5,400	23.15	n/a	22-Mar	216.00	4.0
EGS ASSOCIATES LP	091	PNRA	SS	3,000	43.00	n/a	30-Jun	180.00	6.0
WILLOW CREEK	033	PNRA	SS	2,000	43.67	n/a	30-Jun	80.00	4.0
RBC CAPITAL MARKETS	034	PVH	SS	5,000	33.29	5-May	9-Jul	200.00	4.0
ROYAL CAPITAL	039	RGS	B	49,400	24.96	n/a	n/a	2,470.00	5.0
LISTED ERR	099	RGS	B	6,000	25.04	n/a	n/a	0.00	0.0
LISTED ERR	099	RGS	S	6,000	25.01	n/a	n/a	0.00	0.0
WILLOW CREEK	033	RIMM	SS	1,500	108.85	n/a	n/a	60.00	4.0
BARR	096	RIMM	SS	300	108.07	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	RSG	S	33,610	32.48	n/a	n/a	1,512.45	4.5
WILLOW CREEK	033	SAP	SS	2,000	51.58	n/a	n/a	80.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WILLOW CREEK	033	SCHN	SS	1,500	97.94	n/a	n/a	60.00	4.0
KINGDON ASSET MGMT	041	SIMO	C	100,000	13.14	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	SKT	S	10,000	35.70	n/a	n/a	400.00	4.0
KINGDON ASSET MGMT	041	SOL	B	150,000	15.65	n/a	n/a	6,000.00	4.0
CSFB GLOBAL PROPRIETARY	041	SSCC	B	20,000	4.26	7-Apr	11-Jul	1,000.00	5.0
BARR	096	STI	SS	2,000	28.66	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	STZ	B	30,000	20.92	n/a	n/a	1,200.00	4.0
JP MORGAN VENTURES	046	SWY	SS	10,000	28.37	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	TCO	S	10,000	45.28	n/a	n/a	400.00	4.0
RBC CAPITAL MARKETS	034	TJX	SS	5,000	32.09	n/a	11-Jul	200.00	4.0
SIGMA CAPITAL ASSOCIATES	237	TJX	B	75,000	32.14	n/a	11-Jul	3,750.00	5.0
WHEELER	008	TRMA	B	1,000	30.24	n/a	n/a	0.00	0.0
ZOLIDIS	011	TWM	B	200	82.57	n/a	n/a	0.00	0.0
WILLOW CREEK	033	TXN	SS	2,000	27.15	n/a	n/a	80.00	4.0
OGORMAN	046	UCC	S	500	35.77	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	034	VFC	B	2,500	69.90	n/a	n/a	100.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	034	WAG	S	15,000	32.48	n/a	n/a	600.00	4.0
MERRILL ASSET	032	WBS	B	40,000	15.31	n/a	n/a	1,800.00	4.5
BUCK	015	WBS	SS	1,500	14.40	n/a	n/a	0.00	0.0
WILLOW CREEK	033	WB	SS	11,000	11.67	n/a	n/a	440.00	4.0
SUNOVA CAPITAL	031	WDR	S	10,000	30.83	22-Apr	10-Jul	500.00	5.0
MAIRS AND POWER INV	030	WFC	B	20,000	21.70	n/a	n/a	1,000.00	5.0
US BANCORP	030	WFT	S	74,992	41.38	n/a	n/a	3,749.60	5.0
WILLOW CREEK	033	WM	SS	29,000	4.90	n/a	n/a	1,160.00	4.0
BUCK CAPITAL MGMT	001	WRI	S	10,000	28.14	n/a	n/a	400.00	4.0
KAICHER	064	XCO	S	1,000	37.69	n/a	n/a	0.00	0.0
CATAPULT	040	XIDE	S	32,392	14.12	n/a	n/a	1,619.60	5.0
NATIONAL BK OF CAN	031	XLF	B	75,000	18.35	n/a	n/a	2,250.00	3.0
OGORMAN	046	XLY	S	400	26.61	n/a	n/a	0.00	0.0
FRONTPOINT CHRIS C	041	XRAY	SS	6,800	36.45	n/a	n/a	272.00	4.0
BARR	096	X	SS	200	162.14	n/a	n/a	0.00	0.0
WILLOW CREEK	033	YHOO	SS	10,000	22.80	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	ZQK	B	30,000	8.90	5-Feb	9-Jul	1,500.00	5.0
ZOLIDIS	011	ZUMZ	S	500	12.56	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							156,352.60		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/11	GRISANTTI BROWN & PARTNER (GRISGS)	048	CAL	S	22,600	7.36	n/a	n/a	904.00 4.0
AO,CRT	07/11	EMP ERROR (EMP ERROR)	099	COH	B	100	24.50	4-Apr	9-Jul	0.00 0.0
AO,	07/11	KARPANANCY (KARPANANCY)	023	COH	B	100	24.55	4-Apr	9-Jul	0.00 0.0
AO,CXL	07/11	KARPANANCY (KARPANANCY)	023	COH	B	100	24.50	4-Apr	9-Jul	0.00 0.0
AO,	07/11	EMP ERROR (EMP ERROR)	099	COH	S	100	24.55	4-Apr	9-Jul	0.00 0.0
AO,	07/11	NOMURA CAPITAL (NOMUSTRATE)	045	NYT	SS	2,300	13.27	n/a	n/a	115.00 5.0
AO,	07/11	NOMURA CAPITAL (NOMUBATT2)	045	NYT	SS	2,535	13.27	n/a	n/a	126.75 5.0
AO,	07/11	NOMURA CAPITAL (NOMUBSMAN)	045	NYT	SS	3,165	13.27	n/a	n/a	158.25 5.0
TOTAL (AO, CXL, CRT) :										1,304.00

TOTAL (Trade Date):	156,352.60
TOTAL (AO, CXL, CRT):	1,304.00

TOTAL (RUN DATE) / NFS:	157,656.60
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION