

Daily Compliance Activity Report Trade Date: 06/16/2009

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	
REINHART & MAHONEY	031	ABT	В	180	44.84	n/a	22-Apr	9.00	5.0	
REINHART & MAHONEY	031	ACS	В	190	45.41	n/a	n/a	9.50	5.0	
BUCK CAPITAL MGMT	001	AEO	S	500,000	13.69	20-Apr	5-Jun	20,000.00	4.0	
COUGAR TRADING	044	AEO	В	1,700	13.40	20-Apr	5-Jun	85.00	5.0	
SANDELL ADV	040	AES	SS	25 , 000	10.31	n/a	n/a	1,000.00	4.0	
REINHART & MAHONEY	031	AFG	В	300	21.78	n/a	n/a	15.00	5.0	
LAGRANGE CAP ADV	048	ALGT	В	10,000	36.43	n/a	n/a	500.00	5.0	
REINHART & MAHONEY	031	AMGN	В	100	51.37	n/a	26-Jan	5.00	5.0	
JP MORGAN VENTURES	046	AMZN	В	15,000	82.30	n/a	n/a	750.00	5.0	
REINHART & MAHONEY	031	APOL	В	70	63.75	n/a	n/a	3.50	5.0	
BUCK CAPITAL MGMT	001	ARO	В	164,885	34.64	n/a	5-Jun	6,595.40	4.0	
DIAMONDBACK CAP MGMT	232	ARO	В	50,000	34.10	n/a	5-Jun	2,500.00	5.0	
CAVU CAP ADV	028	ATMI	S	29,300	15.56	n/a	n/a	1,465.00	5.0	
REINHART & MAHONEY	031	ATVI	В	300	12.36	n/a	n/a	15.00	5.0	
KLEINHEINZ CAP PART	031	AVP	S	50,000	25.70	2-Jun	2-Jun	2,500.00	5.0	
	REINHART & MAHONEY REINHART & MAHONEY BUCK CAPITAL MGMT COUGAR TRADING SANDELL ADV REINHART & MAHONEY LAGRANGE CAP ADV REINHART & MAHONEY JP MORGAN VENTURES REINHART & MAHONEY BUCK CAPITAL MGMT DIAMONDBACK CAP MGMT CAVU CAP ADV REINHART & MAHONEY	REINHART & MAHONEY 031 BUCK CAPITAL MGMT 001 COUGAR TRADING 044 SANDELL ADV 040 REINHART & MAHONEY 031 LAGRANGE CAP ADV 048 REINHART & MAHONEY 031 JP MORGAN VENTURES 046 REINHART & MAHONEY 031 BUCK CAPITAL MGMT 001 DIAMONDBACK CAP MGMT 232 CAVU CAP ADV 028 REINHART & MAHONEY 031	REINHART & MAHONEY REINHART & MAHONEY BUCK CAPITAL MGMT COUGAR TRADING SANDELL ADV REINHART & MAHONEY O11 AEO SANDELL ADV O40 AES REINHART & MAHONEY O31 AFG LAGRANGE CAP ADV O48 ALGT REINHART & MAHONEY O31 AMGN JP MORGAN VENTURES O46 AMZN REINHART & MAHONEY O31 APOL BUCK CAPITAL MGMT DIAMONDBACK CAP MGMT CAVU CAP ADV O31 ATVI	REINHART & MAHONEY REINHART & MAHONEY BUCK CAPITAL MGMT COUGAR TRADING SANDELL ADV REINHART & MAHONEY O11 AEO S SS REINHART & MAHONEY O40 AES SS REINHART & MAHONEY O31 AFG B LAGRANGE CAP ADV O48 ALGT B JP MORGAN VENTURES O46 AMZN B REINHART & MAHONEY O31 APOL B BUCK CAPITAL MGMT O11 ARO B BUCK CAPITAL MGMT O128 ATMI S REINHART & MAHONEY O28 ATMI S REINHART & MAHONEY O31 ATVI B	REINHART & MAHONEY REINHA	Account RR # Symbol B/S Shares Price REINHART & MAHONEY 031 ABT B 180 44.84 REINHART & MAHONEY 031 ACS B 190 45.41 BUCK CAPITAL MGMT 001 AEO S 500,000 13.69 COUGAR TRADING 044 AEO B 1,700 13.40 SANDELL ADV 040 AES SS 25,000 10.31 REINHART & MAHONEY 031 AFG B 300 21.78 LAGRANGE CAP ADV 048 ALGT B 10,000 36.43 REINHART & MAHONEY 031 AMGN B 15,000 82.30 REINHART & MAHONEY 031 APOL B 70 63.75 BUCK CAPITAL MGMT 001 ARO B 164,885 34.64 DIAMONDBACK CAP MGMT 232 ARO B 50,000 34.10 CAVU CAP ADV 028 ATMI S 2	Account RR # Symbol B/S Shares Price MRI REINHART & MAHONEY 031 ABT B 180 44.84 n/a REINHART & MAHONEY 031 ACS B 190 45.41 n/a BUCK CAPITAL MGMT 001 AEO S 500,000 13.69 20-Apr COUGAR TRADING 044 AEO B 1,700 13.40 20-Apr SANDELL ADV 040 AES SS 25,000 10.31 n/a REINHART & MAHONEY 031 AFG B 300 21.78 n/a JP MORGAN VENTURES 046 AMZN B 15,000 82.30 n/a REINHART & MAHONEY 031 APOL B 70 63.75 n/a BUCK CAPITAL MGMT 001 ARO B 164,885 34.64 n/a DIAMONDBACK CAP MGMT 232 ARO B 50,000 34.10 n/a CAVU CAP ADV	Account RR # Symbol B/S Shares Price MRI Research REINHART & MAHONEY 031 ABT B 180 44.84 n/a 22-Apr REINHART & MAHONEY 031 ACS B 190 45.41 n/a n/a BUCK CAPITAL MGMT 001 AEO S 500,000 13.69 20-Apr 5-Jun COUGAR TRADING 044 AEO B 1,700 13.40 20-Apr 5-Jun SANDELL ADV 040 AES SS 25,000 10.31 n/a n/a REINHART & MAHONEY 031 AFG B 300 21.78 n/a n/a JP MORGAN VENTURES 046 AMZN B 10,000 36.43 n/a n/a REINHART & MAHONEY 031 APOL B 70 63.75 n/a n/a BUCK CAPITAL MGMT 031 ARO B 164,885 34.64 n/a 5-Jun	Account RR # Symbol B/S Shares Price MRI Research Comm. of the community of the commu	

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	
FIRST NEW YORK LEDDY	035	AXL	В	10,000	3.39	n/a	10-Jun	600.00	6.0	
TRELLUS PARTNERS LP	028	AXL	В	30,000	3.54	n/a	10-Jun	900.00	3.0	
BUCK CAPITAL MGMT	001	BBY	В	110,000	36.79	n/a	27-Jul	4,400.00	4.0	
NEUBERGER BERMAN	036	BBY	В	77,900	36.46	n/a	27-Jul	3,505.50	4.5	
SAC TRADING HOWARD	038	BBY	SS	35,000	35.91	n/a	27-Jul	1,400.00	4.0	
SAC IRADING HOWARD	036	DDI	33	33,000	33.91	II/a	27-0u1	1,400.00	4.0	
REINHART & MAHONEY	031	BRKB	В	32,	912.00	n/a	n/a	0.15	5.0	
DSM CAPITAL PARTNERS	032	CHD	В	5,055	51.27	27-Apr	14-May	252.75	5.0	
JP MORGAN VENTURES	046	CT V	SS	15 000	EE 60	1 F T	15-Jun	750.00	5.0	
JP MORGAN VENTURES	046	CLX	55	15,000	55.69	15-Jun	15-Jun	750.00	5.0	
REINHART & MAHONEY	031	CSCO	В	125	19.18	n/a	22-Mar	6.25	5.0	
REINHART & MAHONEY	031	CVS	В	250	30.06	n/a	n/a	12.50	5.0	
SANDLER O'NEILL ASSET	031	DFS	S	16,639	9.30	n/a	16-Jun	499.17	3.0	
MKT MAKER	099	DKS	S	2,096	16.32	27-Apr	20-May	0.00	0.0	
NEUBERGER BERMAN	036	DLLR	В	17,711	14.07	n/a	n/a	797.00	4.5	
STADIA CAPITAL	035	ERTS	В	11,900	21.71	n/a	n/a	476.00	4.0	
RBC CAPITAL MARKETS	040	FDO	В	10,000	28.43	5-Mar	9-Jun	400.00	4.0	
REINHART & MAHONEY	031	FISV	В	200	45.99	n/a	n/a	10.00	5.0	
CRAMER ROSENTHAL/SCOTT	012	FO	SS	10,600	35.82	n/a	n/a	424.00	4.0	
CITATION RODUNTIAL/ DOULT	V 1 L	10	55	±0,000	JJ•UL	11/ a	11/α	124.00	1.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
REINHART & MAHONEY	031	GE	В	300	12.85	n/a	n/a	15.00	5.0
REINHART & MAHONEY	031	GLD	В	100	91.75	n/a	n/a	5.00	5.0
SCOPUS FUND	041	GPI	S	31,855	21.93	n/a	29-Apr	1,592.75	5.0
DSG CAPITAL	225	HAR	В	7 , 500	20.49	10-Jun	16-Jun	375.00	5.0
REINHART & MAHONEY	031	НСС	В	200	24.17	n/a	n/a	10.00	5.0
JMP ASSET MGMT	210	НОТТ	В	14,000	7.10	n/a	30-Jan	560.00	4.0
REINHART & MAHONEY	031	HPQ	В	150	36.95	n/a	n/a	7.50	5.0
REINHART & MAHONEY	031	IBM	В	100	107.65	n/a	n/a	5.00	5.0
RBC CAPITAL MARKETS	040	IGT	В	15,000	16.04	n/a	27-Apr	600.00	4.0
LISTED ERR LISTED ERR	099 099	IGT IGT	B SS	15,000 15,000	16.00 16.00	n/a n/a	27-Apr 27-Apr	0.00	0.0
REINHART & MAHONEY	031	INTC	В	200	15.95	n/a	n/a	10.00	5.0
SCOPUS FUND	041	IP	В	10,000	14.56	n/a	1-May	500.00	5.0
REINHART & MAHONEY	031	IRM	В	150	27.33	n/a	n/a	7.50	5.0
NEUBERGER BERMAN MKT MAKER	036 099	ISLE ISLE	В s	119,600 1,800	11.52 11.21	n/a n/a	26-Jan 26-Jan	5,382.00 0.00	4.5 0.0
M&I FUNDS	256	IVZ	S	40,000	17.63	5-Jun	15-Jun	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
REINHART & MAHONEY	031	IVZ	В	225	17.45	5-Jun	15-Jun	11.25	5.0
UBS OCONNOR	031	IVZ	S	120,000	17.65	5-Jun	15-Jun	3,600.00	3.0
REINHART & MAHONEY	031	IWC	В	125	32.50	n/a	n/a	6.25	5.0
BUCK CAPITAL MGMT	001	JCG	В	10,000	24.09	n/a	30-Jan	400.00	4.0
REINHART & MAHONEY	031	JNJ	В	275	54.88	n/a	22-Apr	13.75	5.0
			_		44.05	,			
BUCK CAPITAL MGMT	001	JNY	S	20,000	11.25	n/a	30-Apr	800.00	4.0
REINHART & MAHONEY	031	JPM	В	125	33.65	16-Jan	12-Jun	6.25	5.0
REINHARI & MAHONEI	031	UFM	ь	123	33.03	10-Jan	12-0411	0.23	3.0
BUCK CAPITAL MGMT	001	KSS	В	5,000	44.13	8-May	5-Jun	200.00	4.0
RBC CAPITAL MARKETS	040	KSS	В	10,000	43.76	8-May	5-Jun	400.00	4.0
KEEFE BRUYETTE WOODS A	045	LAZ	SS	4,209	27.91	n/a	12-Jun	210.45	5.0
RBC CAPITAL MARKETS	040	LOW	SS	10,000	19.17	12-May	18-May	400.00	4.0
BUCK CAPITAL MGMT	001	MAR	SS	20,000	22.40	n/a	n/a	800.00	4.0
RBC CAPITAL MARKETS	040	MAR	SS	15,000	22.56	n/a	n/a	600.00	4.0
LISTED ERR	099	MAR	В	4,100	22.65	n/a	n/a	0.00	0.0
LISTED ERR	099	MAR	S	4,100	22.53	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	MCD	В	10,000	57.29	n/a	11–Jun	400.00	4.0
REINHART & MAHONEY	031	MCD	В	75	57.34	n/a	11-Jun	3.75	5.0
DETNILADE 6 MALIONEV	021	MDT	D	105	22 F4	- /-	2.2 7	6.25	F 0
REINHART & MAHONEY	031	MDT	В	125	32.54	n/a	22-Apr	6.25	5.0
ROTHSCHILD ASSET	040	MLI	В	19,470	21.04	n/a	22-Apr	876.15	4.5
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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	
REINHART & MAHONEY	031	MSFT	В	600	23.51	n/a	3-Apr	30.00	5.0	
STADIA CAPITAL	035	MSFT	S	47,200	23.85	n/a	3-Apr	1,888.00	4.0	
LISTED ERR	099	M	В	10,000	11.27	n/a	5-Jun	0.00	0.0	
REINHART & MAHONEY	031	NTRS	В	100	53.11	22-Apr	12-Jun	5.00	5.0	
REINHART & MAHONEY	031	NU	В	400	21.75	n/a	n/a	20.00	5.0	
DALTON GREINER	012	ODC	S	19,770	14.21	n/a	n/a	988.50	5.0	
DLAT	012	ODC	S	230	14.21	n/a	n/a	11.50	5.0	
REINHART & MAHONEY	031	PEP	В	100	52.47	n/a	n/a	5.00	5.0	
REINHART & MAHONEY	031	PG	В	100	50.18	5-Jun	5-Jun	5.00	5.0	
REINHART & MAHONEY	031	PPDI	В	150	21.86	n/a	n/a	7.50	5.0	
REINHART & MAHONEY	031	RGA	В	355	34.19	n/a	n/a	17.75	5.0	
RAINIER INV MGMT	035	RJF	S	75,000	17.03	n/a	n/a	3,750.00	5.0	
SCOPUS FUND	041	RYN	В	10,000	36.59	n/a	1-May	500.00	5.0	
SANDELL ADV	040	SFD	В	18,783	10.64	n/a	n/a	751.32	4.0	
REINHART & MAHONEY	031	SHPGY	В	185	40.21	20-Feb	16-Jun	9.25	5.0	
RBC CAPITAL MARKETS	040	SPLS	В	10,000	20.41	n/a	27-Jul	400.00	4.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. (:/Shr.
RAINIER INV MGMT	035	STT	В	142,080	45.97	n/a	n/a	5,683.20	4.0
SCOPUS FUND	041	TEN	S	60,000	10.41	n/a	n/a	3,000.00	5.0
REINHART & MAHONEY	031	TEVA	В	100	47.92	18-Feb	16-Jun	5.00	5.0
BUCK CAPITAL MGMT	001	TGT	В	10,000	38.69	25-Feb	9–Jun	400.00	4.0
REINHART & MAHONEY	031	TMO	В	110	40.28	n/a	n/a	5.50	5.0
TRELLUS PARTNERS LP	028	TWI	В	60,300	8.70	n/a	n/a	3,015.00	5.0
ENTRUST CAPITAL	040	TXRH	В	137,983	10.50	n/a	n/a	6,899.15	5.0
REINHART & MAHONEY	031	TYC	В	150	26.57	1-Мау	1-May	7.50	5.0
SACC-SCHWARTZ	044	VALE	В	50,000	19.57	n/a	n/a	2,000.00	4.0
REINHART & MAHONEY	031	WMT	В	125	48.33	11-May	9-Jun	6.25	5.0
RBC CAPITAL MARKETS	040	WYNN	SS	10,000	36.34	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	YUM	SS	100,000	33.77	n/a	11-Jun	4,000.00	4.0

TOTAL (Trade Date): 103,099.99

Cancel/Corrects and As-Of Trades

			RR#	Symbol	B/S	S/S Shares		MRI Research		Comm.¢/Shr.	
6/12	LISTED ERR	(LISTED ERR)	099	M	S	100	12.00	n/a	5-Jun	0.00	0.0
6/12	LISTED ERR	(LISTED ERR)	099	M	S	9,900	11.98	n/a	5-Jun	0.00	0.0
6/15	SCOPUS FUND	(SCOPBANC O)	041	PKG	В	4,400	15.79	8-Apr	21-Apr	220.00	5.0
6/15	SCOPUS FUND	(SCOPBANC O)	041	PKG	В	5,504	15.79	8-Apr	21-Apr	275.20	5.0
6/15	SCOPUS FUND	(SCOPUBS)	041	PKG	В	15,600	15.79	8-Apr	21-Apr	780.00	5.0
6/15	SCOPUS FUND	(SCOPUBS)	041	PKG	В	14,496	15.79	8-Apr	21-Apr	724.80	5.0
06	5/15 5/15 5/15	5/15 SCOPUS FUND 5/15 SCOPUS FUND 5/15 SCOPUS FUND	5/12 LISTED ERR (LISTED ERR) 5/15 SCOPUS FUND (SCOPBANC O) 5/15 SCOPUS FUND (SCOPUBS) 5/15 SCOPUS FUND (SCOPUBS)	5/15 SCOPUS FUND (SCOPBANC O) 041 5/15 SCOPUS FUND (SCOPBANC O) 041 5/15 SCOPUS FUND (SCOPUBS) 041	5/15 SCOPUS FUND (SCOPBANC O) 041 PKG 5/15 SCOPUS FUND (SCOPBANC O) 041 PKG 5/15 SCOPUS FUND (SCOPUBS) 041 PKG	5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 5/15 SCOPUS FUND (SCOPUBS) 041 PKG B	5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 4,400 5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 5,504 5/15 SCOPUS FUND (SCOPUBS) 041 PKG B 15,600	5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 4,400 15.79 5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 5,504 15.79 5/15 SCOPUS FUND (SCOPUBS) 041 PKG B 15,600 15.79	5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 4,400 15.79 8-Apr 5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 5,504 15.79 8-Apr 5/15 SCOPUS FUND (SCOPUBS) 041 PKG B 15,600 15.79 8-Apr	5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 4,400 15.79 8-Apr 21-Apr 5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 5,504 15.79 8-Apr 21-Apr 5/15 SCOPUS FUND (SCOPUBS) 041 PKG B 15,600 15.79 8-Apr 21-Apr	5/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 4,400 15.79 8-Apr 21-Apr 220.00 6/15 SCOPUS FUND (SCOPBANC O) 041 PKG B 5,504 15.79 8-Apr 21-Apr 275.20 6/15 SCOPUS FUND (SCOPUBS) 041 PKG B 15,600 15.79 8-Apr 21-Apr 780.00

TOTAL	(AO, C	CXL, CRT) :	0.	00	0
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TOTAL (RUN DATE) / NFS:	103,099.99
TOTAL (AO, CXL, CRT):	0.00
TOTAL (Trade Date):	103,099.99

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION