



Daily Compliance Activity Report

Trade Date: 06/21/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	S	5,000	276.19	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	AA	SS	30,000	11.80	n/a	n/a	1,200.00	4.0
B BUCK CAPITAL MGMT		ABG	S	1,600	12.32	n/a	4-May	non-brg	4.0
LAGRANGE CAP ADV	048	ALV	SS	1,000	53.05	n/a	28-Apr	50.00	5.0
CASTLEROCK	040	ANF	SS	81,825	34.71	n/a	4-Jun	3,273.00	4.0
LEVIN CAPITAL STRATEGIES	012	ANF	SS	20,000	34.47	n/a	4-Jun	600.00	3.0
BENNETT LAWRENCE	028	ANN	SS	25,750	19.50	n/a	n/a	1,030.00	4.0
BUCK CAPITAL MGMT	001	ANN	B	20,000	19.17	n/a	n/a	800.00	4.0
SNYDER CAPITAL	033	ARO	B	105,400	29.47	n/a	4-Jun	4,216.00	4.0
BARON ASSET MGMT*	012	AXE	B	70,000	47.18	n/a	15-Jun	3,500.00	5.0
ARMATURE CAPITAL	041	AXL	SS	35,000	9.42	n/a	3-May	1,400.00	4.0
f DAVID GEORGE BUCK	FID	BAC	B	2000	15.88	n/a	21-Jun	0.00	0.0
f ALEXANDER A SACCO	FID	BGZ	S	240	13.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BJ	SS	30,000	39.52	n/a	n/a	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	BK	S	20,000	26.49	n/a	11-Jun	600.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		BYI	S	50,000	36.62	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	CHS	B	40,000	11.14	n/a	5-Feb	1,600.00 4.0
f DAVID GEORGE BUCK	FID	CMA	S	2000	39.18	n/a	n/a	0.00 0.0
BIXBY LLC	070	CNX	B	1,000	39.76	n/a	n/a	40.00 4.0
B BUCK CAPITAL MGMT		COH	S	10,000	41.98	n/a	14-May	non-brg 4.0
B BUCK CAPITAL MGMT		COST	SS	20,000	58.08	n/a	n/a	non-brg 4.0
B BUCK CAPITAL MGMT		CROX	S	50,000	12.09	n/a	n/a	non-brg 4.0
f JONATHAN RICHARD HAR	FID	CVGI	B	150	12.04	n/a	n/a	0.00 0.0
f KAREN F LEDDY	FID	CVGI	B	1	11.98	n/a	n/a	0.00 0.0
WAGONHOUND/NIC	048	CVGI	S	9,100	12.48	n/a	n/a	364.00 4.0
WAGONHOUND/NIC	048	DAN	B	14,300	12.08	n/a	30-Apr	572.00 4.0
B BUCK CAPITAL MGMT		DDS	SS	30,000	26.55	n/a	n/a	non-brg 4.0
B BUCK CAPITAL MGMT		DECK	SS	5,000	165.47	n/a	n/a	non-brg 4.0
B BUCK CAPITAL MGMT		DG	SS	20,000	29.61	n/a	8-Jun	non-brg 4.0
RBC CAPITAL MARKETS	040	DOW	SS	25,000	26.95	n/a	21-May	1,000.00 4.0
COOPER CREEK PART	028	DSW	SS	4,501	25.15	n/a	11-Jun	180.04 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		EL	SS	10,000	58.66	n/a	7-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		ESI	SS	10,000	93.32	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	ESRX	B	2000	51.89	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	ESRX	B	300	51.87	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FAGIX	S	69	8.75	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FAZ	S	3000	14.28	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FCSAX	B	391	9.98	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FDGFX	S	25	24.04	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FDGRX	S	13	70.44	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	FII	B	20,000	22.13	n/a	2-Mar	1,000.00	5.0
f MARC PAUL LUCHANSKY	FID	FILEX	S	77	7.78	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	FINL	B	500	15.24	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FINSX	S	28	17.59	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	FIS	S	15,000	27.61	n/a	n/a	450.00	3.0
f MARC PAUL LUCHANSKY	FID	FKRCX	B	15	45.25	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FPIOX	B	194	9.29	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		FRED	SS	10,000	11.96	n/a	n/a	non-brg	4.0
MARC PAUL LUCHANSKY	FID	FSGFX	B	357	10.07	n/a	n/a	0.00	0.0
MARC PAUL LUCHANSKY	FID	FSHBX	B	95	8.41	n/a	n/a	0.00	0.0
MARC PAUL LUCHANSKY	FID	FUSOX	B	81	8.59	n/a	n/a	0.00	0.0
OZ MGMT	040	F	B	300,000	11.68	n/a	3-Jun	9,000.00	3.0
SCOPUS FUND	041	F	SS	50,000	11.70	n/a	3-Jun	2,500.00	5.0
BUCK CAPITAL MGMT		GIII	B	10,000	24.89	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GIL	S	10,000	32.53	n/a	14-May	non-brg	4.0
PAW PARTNERS	025	GLW	S	10,000	18.38	n/a	9-Jun	500.00	5.0
JOHN MICHAEL ZOLIDIS	FID	GS	S	100	138.97	n/a	21-Jun	0.00	0.0
BUCK CAPITAL MGMT		HAS	SS	20,000	42.02	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HBI	S	20,000	28.40	n/a	14-May	non-brg	4.0
BENNETT LAWRENCE	028	HGG	S	4,650	25.62	n/a	n/a	186.00	4.0
BUCK CAPITAL MGMT		HIBB	SS	10,000	26.22	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HOT	SS	20,000	49.16	n/a	n/a	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	IBM	S	12,500	131.11	n/a	n/a	375.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		IMAX	SS	20,000	16.42	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	JANSX	S	46	25.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JOSB	B	20,000	59.43	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	JPM	B	1200	38.95	n/a	21-Jun	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	JPM	B	100	38.95	n/a	21-Jun	0.00	0.0
NCM CAPITAL MGMT	035	JWA	B	8,273	40.39	n/a	n/a	330.92	4.0
ASCEND PARTNERS	033	KSS	B	25,000	51.84	n/a	4-Jun	1,250.00	5.0
STERLING JOHNSTON	035	LAZ	S	5,250	30.75	n/a	11-Jun	210.00	4.0
B BUCK CAPITAL MGMT		LTD	B	20,000	24.76	n/a	n/a	non-brg	4.0
CASTLEROCK	040	LVS	S	104,953	27.00	n/a	21-Jun	4,198.12	4.0
B BUCK CAPITAL MGMT		MA	S	20,674	226.69	n/a	21-Jun	non-brg	0.8
LEVIN CAPITAL STRATEGIES	012	MET	S	15,000	41.35	n/a	n/a	450.00	3.0
f MARC PAUL LUCHANSKY	FID	MFOCX	S	46	15.27	n/a	n/a	0.00	0.0
f BARBARA S LEDDY	FID	MGA	B	400	69.57	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	MGA	B	500	69.54	n/a	n/a	0.00	0.0
GREEN ARROW DOUG	040	MPEL	S	50,000	4.51	n/a	21-Jun	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ASCEND PARTNERS	033	M	B	50,000	20.69	n/a	4-Jun	2,500.00	5.0
BALYASNY ASSET MGMT	044	M	S	30,000	20.67	n/a	4-Jun	1,500.00	5.0
B BUCK CAPITAL MGMT		PAG	S	3,000	12.89	n/a	3-May	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	PAHIX	S	62	6.45	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PCRRX	S	243	7.42	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PETM	SS	20,000	31.56	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	PHYAX	S	101	8.91	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PIR	B	50,000	7.38	n/a	n/a	non-brg	3.0
PAW PARTNERS	025	PMTI	S	10,000	11.98	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		QKLS	S	10,400	5.23	n/a	n/a	non-brg	3.0
BIXBY LLC	070	QLGC	S	2,000	18.18	n/a	n/a	80.00	4.0
CATAPULT	040	RDSA	SS	25,000	54.64	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		ROST	SS	10,000	56.20	n/a	n/a	non-brg	4.0
LAGRANGE CAP ADV	048	SAH	B	30,000	8.97	n/a	n/a	1,500.00	5.0
f MARC PAUL LUCHANSKY	FID	SBLGX	S	33	21.22	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SHW	C	40,000	76.59	n/a	24-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		SKS	SS	20,000	9.17	n/a	n/a	non-brg 3.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	S	200	42.40	n/a	n/a	0.00 0.0
f MARC PAUL LUCHANSKY	FID	SLASX	S	24	37.08	n/a	n/a	0.00 0.0
ASCEND PARTNERS	033	SPY	C	25,000	111.12	n/a	n/a	750.00 3.0
GREEN ARROW DOUG	040	SYX	SS	40,000	31.07	n/a	n/a	2,000.00 5.0
f JASON ERIC COHEN	FID	TBT	B	10	0.51	n/a	n/a	0.00 0.0
B BUCK CAPITAL MGMT		TIF	SS	10,000	43.87	n/a	n/a	non-brg 4.0
ELM RIDGE ADVISORS	044	TIN	S	100,400	23.84	n/a	21-Apr	4,016.00 4.0
BUCK CAPITAL MGMT	001	TLB	B	50,000	11.89	n/a	n/a	2,000.00 4.0
B BUCK CAPITAL MGMT		TLB	B	180,000	11.47	n/a	n/a	non-brg 4.0
f JOHN MICHAEL ZOLIDIS	FID	TNA	S	200	50.41	n/a	n/a	0.00 0.0
B BUCK CAPITAL MGMT		TSCO	SS	10,000	66.04	n/a	n/a	non-brg 4.0
PAW PARTNERS	025	UA	S	5,000	36.62	n/a	28-Apr	250.00 5.0
B BUCK CAPITAL MGMT		UBI FP	S	200,000	9.20	n/a	n/a	non-brg 1.4
f DAVID GEORGE BUCK	FID	UPS	S	1000	62.33	n/a	n/a	0.00 0.0
f MARC PAUL LUCHANSKY	FID	USGLX	B	27	25.50	n/a	n/a	0.00 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f KAREN F LEDDY	FID	WBC	B	300	34.49	n/a	27-May	0.00	0.0
B BUCK CAPITAL MGMT		WFMI	SS	20,000	39.26	n/a	n/a	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	WMB	S	20,000	21.23	n/a	n/a	600.00	3.0
BIXBY LLC	070	WPI	S	1,000	43.41	n/a	21-Jun	40.00	4.0
PAW PARTNERS	025	WTS	SS	5,000	30.78	n/a	n/a	250.00	5.0
COHE & STEERS	041	WY	S	144,556	39.94	n/a	27-May	5,782.24	4.0
RBC CAPITAL MARKETS	040	WY	SS	20,000	39.80	n/a	27-May	800.00	4.0
B BUCK CAPITAL MGMT		XRT	C	115,000	39.00	n/a	n/a	non-brg	2.0
KLEINHEINZ CAP PART	031	YUM	B	100,000	43.29	n/a	15-Apr	5,000.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	ZLC	S	6000	2.33	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								72,193.32	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/18	EXIS CAPITAL	044	ARO	B	10,000	29.70	n/a	4-Jun	500.00 5.0
AO,CRT	06/18	CONIFER/ISF	025	DOW	S	2,500	26.89	n/a	21-May	125.00 5.0
AO,CXL	06/18	CONIFER/ISF	025	DOW	S	2,500	26.89	n/a	21-May	100.00 4.0

TOTAL (AO, CXL, CRT) :	525.00
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TOTAL (Trade Date) :	72,193.32
TOTAL (AO, CXL, CRT) :	525.00

TOTAL (RUN DATE) / NFS:	72,718.32
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MRI Securities with Trade Activity on 21-Jun. NONE

MRI Securities without Trade Activity on 21-Jun. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 11% YTD = 8%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)