

## **Daily Compliance Activity Report** Trade Date: 12/29/2010

**Potential ANALYST TRADE Violations: 0** Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
JOSEPH CIRO AMATURO	FID	AA	S	3000	15.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	AEO	S	150,000	14.50	n/a	3-Dec	4,500.00	3.0
LAGRANGE CAP ADV	048	ALV	SS	5,000	79.00	n/a	27-Oct	250.00	5.0
BUCK CAPITAL MGMT		ANF	В	436,000	58.14	n/a	3-Dec	non-brg	1.0
BUCK CAPITAL MGMT		ANF	S	436,000	58.14	n/a	3-Dec	non-brg	1.0
BUCK CAPITAL MGMT		ANN	В	485,960	27.46	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ANN	S	485,960	27.46	n/a	n/a	non-brg	1.0
STRONG/MARK GRONET	030	AUY	S	10,000	12.79	n/a	n/a	400.00	4.0
BRANDWINE	028	BBY	S	101,700	34.38	n/a	n/a	4,068.00	4.0
BUCK CAPITAL MGMT		вні	S	23,000	56.86	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	BID	S	10,000	45.25	n/a	n/a	300.00	3.0
BUCK CAPITAL MGMT		CAR	S	35,000	15.00	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		СВК	В	20,000	6.18	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		CHS	В	970,000	12.00	n/a	5-Feb	non-brg	1.0
BUCK CAPITAL MGMT		CHS	S	970,000	12.00	n/a	5-Feb	non-brg	1.0
BUCK CAPITAL MGMT		СОН	В	401,100	55.56	n/a	27-0ct	non-brg	1.0
	ACCOUNT  JOSEPH CIRO AMATURO  BUCK CAPITAL MGMT  LAGRANGE CAP ADV  BUCK CAPITAL MGMT  BUCK CAPITAL MGMT  BUCK CAPITAL MGMT  STRONG/MARK GRONET  BRANDWINE  BUCK CAPITAL MGMT  BUCK CAPITAL MGMT	ACCOUNT RR#  JOSEPH CIRO AMATURO FID  BUCK CAPITAL MGMT 001  LAGRANGE CAP ADV 048  BUCK CAPITAL MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT  STRONG/MARK GRONET 030  BRANDWINE 028  BUCK CAPITAL MGMT  BUCK CAPITAL MGMT	Account RR# Symbol  JOSEPH CIRO AMATURO FID AA  BUCK CAPITAL MGMT 001 AEO  LAGRANGE CAP ADV 048 ALV  BUCK CAPITAL MGMT ANF BUCK CAPITAL MGMT ANF  BUCK CAPITAL MGMT ANN  STRONG/MARK GRONET 030 AUY  BRANDWINE 028 BBY  BUCK CAPITAL MGMT BHI  BUCK CAPITAL MGMT CAR  BUCK CAPITAL MGMT CBK  BUCK CAPITAL MGMT CHS  BUCK CAPITAL MGMT CHS	Account RR# Symbol B/S  JOSEPH CIRO AMATURO FID AA S  BUCK CAPITAL MGMT 001 AEO S  LAGRANGE CAP ADV 048 ALV SS  BUCK CAPITAL MGMT ANF S  BUCK CAPITAL MGMT ANF S  BUCK CAPITAL MGMT ANN S  STRONG/MARK GRONET 030 AUY S  BRANDWINE 028 BBY S  BUCK CAPITAL MGMT BHI S  BUCK CAPITAL MGMT BHI S  BUCK CAPITAL MGMT CAR S  BUCK CAPITAL MGMT CBK B  BUCK CAPITAL MGMT CBK B  BUCK CAPITAL MGMT CBK B  BUCK CAPITAL MGMT CBK S  S  S  S  S  S  S  S  S  S  S  S  S	Account         RR#         Symbol         B/S         Shares           JOSEPH CIRO AMATURO         FID         AA         S         3000           BUCK CAPITAL MGMT         001         AEO         S         150,000           LAGRANGE CAP ADV         048         ALV         SS         5,000           BUCK CAPITAL MGMT         ANF         B         436,000           BUCK CAPITAL MGMT         ANN         B         485,960           BUCK CAPITAL MGMT         ANN         S         485,960           STRONG/MARK GRONET         030         AUY         S         10,000           BRANDWINE         028         BBY         S         101,700           BUCK CAPITAL MGMT         BHI         S         23,000           BUCK CAPITAL MGMT         CAR         S         35,000           BUCK CAPITAL MGMT         CBK         B         20,000           BUCK CAPITAL MGMT         CHS         B         970,000           BUCK CAPITAL MGMT         CHS         S         970,000	Account         RR#         Symbol         B/S         Shares         Price           JOSEPH CIRO AMATURO         FID         AA         S         3000         15.20           BUCK CAPITAL MGMT         001         AEO         S         150,000         14.50           LAGRANGE CAP ADV         048         ALV         SS         5,000         79.00           BUCK CAPITAL MGMT         ANF         B         436,000         58.14           BUCK CAPITAL MGMT         ANN         B         485,960         27.46           BUCK CAPITAL MGMT         ANN         S         485,960         27.46           STRONG/MARK GRONET         030         AUY         S         10,000         12.79           BRANDWINE         028         BBY         S         101,700         34.38           BUCK CAPITAL MGMT         BHI         S         23,000         56.86           BUCK CAPITAL MGMT         CAR         S         35,000         15.00           BUCK CAPITAL MGMT         CBK         B         20,000         6.18           BUCK CAPITAL MGMT         CHS         B         970,000         12.00           BUCK CAPITAL MGMT         CHS         B <t< td=""><td>Account RR# Symbol B/S Shares Price MRI  JOSEPH CIRO AMATURO FID AA S 3000 15.20 n/a  BUCK CAPITAL MGMT 001 AEO S 150,000 14.50 n/a  LAGRANGE CAP ADV 048 ALV SS 5,000 79.00 n/a  BUCK CAPITAL MGMT ANF B 436,000 58.14 n/a  BUCK CAPITAL MGMT ANF S 436,000 58.14 n/a  BUCK CAPITAL MGMT ANN B 485,960 27.46 n/a  BUCK CAPITAL MGMT ANN S 485,960 27.46 n/a  STRONG/MARK GRONET 030 AUY S 10,000 12.79 n/a  BUCK CAPITAL MGMT BHI S 23,000 56.86 n/a  BUCK CAPITAL MGMT BHI S 23,000 56.86 n/a  BUCK CAPITAL MGMT CAR S 35,000 15.00 n/a  BUCK CAPITAL MGMT CBK B 20,000 6.18 n/a  BUCK CAPITAL MGMT CBK B 970,000 12.00 n/a  BUCK CAPITAL MGMT CHS B 970,000 12.00 n/a  BUCK CAPITAL MGMT CHS B 970,000 12.00 n/a</td><td>Account         RR#         Symbol         B/S         Shares         Price         MRI         Research           JOSEPH CIRO AMATURO         FID         AA         S         3000         15.20         n/a         n/a           BUCK CAPITAL MGMT         001         AEO         S         150,000         14.50         n/a         3-Dec           LAGRANGE CAP ADV         048         ALV         SS         5,000         79.00         n/a         27-Oct           BUCK CAPITAL MGMT         ANF         B         436,000         58.14         n/a         3-Dec           BUCK CAPITAL MGMT         ANF         S         436,000         58.14         n/a         3-Dec           BUCK CAPITAL MGMT         ANN         B         485,960         27.46         n/a         n/a           BUCK CAPITAL MGMT         030         AUY         S         10,000         12.79         n/a         n/a           BUCK CAPITAL MGMT         028         BBY         S         101,700         34.38         n/a         n/a           BUCK CAPITAL MGMT         01         BID         S         10,000         45.25         n/a         n/a           BUCK CAPITAL MGMT</td><td>Account         RR#         Symbol         B/S         Shares         Price         MRI         Research         Comm. of the comm.</td></t<>	Account RR# Symbol B/S Shares Price MRI  JOSEPH CIRO AMATURO FID AA S 3000 15.20 n/a  BUCK CAPITAL MGMT 001 AEO S 150,000 14.50 n/a  LAGRANGE CAP ADV 048 ALV SS 5,000 79.00 n/a  BUCK CAPITAL MGMT ANF B 436,000 58.14 n/a  BUCK CAPITAL MGMT ANF S 436,000 58.14 n/a  BUCK CAPITAL MGMT ANN B 485,960 27.46 n/a  BUCK CAPITAL MGMT ANN S 485,960 27.46 n/a  STRONG/MARK GRONET 030 AUY S 10,000 12.79 n/a  BUCK CAPITAL MGMT BHI S 23,000 56.86 n/a  BUCK CAPITAL MGMT BHI S 23,000 56.86 n/a  BUCK CAPITAL MGMT CAR S 35,000 15.00 n/a  BUCK CAPITAL MGMT CBK B 20,000 6.18 n/a  BUCK CAPITAL MGMT CBK B 970,000 12.00 n/a  BUCK CAPITAL MGMT CHS B 970,000 12.00 n/a  BUCK CAPITAL MGMT CHS B 970,000 12.00 n/a	Account         RR#         Symbol         B/S         Shares         Price         MRI         Research           JOSEPH CIRO AMATURO         FID         AA         S         3000         15.20         n/a         n/a           BUCK CAPITAL MGMT         001         AEO         S         150,000         14.50         n/a         3-Dec           LAGRANGE CAP ADV         048         ALV         SS         5,000         79.00         n/a         27-Oct           BUCK CAPITAL MGMT         ANF         B         436,000         58.14         n/a         3-Dec           BUCK CAPITAL MGMT         ANF         S         436,000         58.14         n/a         3-Dec           BUCK CAPITAL MGMT         ANN         B         485,960         27.46         n/a         n/a           BUCK CAPITAL MGMT         030         AUY         S         10,000         12.79         n/a         n/a           BUCK CAPITAL MGMT         028         BBY         S         101,700         34.38         n/a         n/a           BUCK CAPITAL MGMT         01         BID         S         10,000         45.25         n/a         n/a           BUCK CAPITAL MGMT	Account         RR#         Symbol         B/S         Shares         Price         MRI         Research         Comm. of the comm.

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		СОН	S	401,100	55.56	n/a	27-Oct	non-brg	1.0
	LOMBARDIA CAP MGMT	084	CONN	S	88,368	4.70	n/a	n/a	4,191.23	5.0
f	MARC PAUL LUCHANSKY	FID	CVGI	S	1000	16.70	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		DECK	В	380,845	82.44	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		DECK	S	380,845	82.44	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		DECK	S	40,000	82.64	n/a	n/a	non-brg	4.0
	CRAMER ROSENTHAL/SCOTT	012	ENS	В	1,600	32.35	n/a	n/a	64.00	4.0
	ARCHON CAPITAL	035	EXTR	В	125,000	3.20	n/a	n/a	3,750.00	3.0
	CRAMER ROSENTHAL/SCOTT	012	FCF	В	9,200	7.30	n/a	n/a	368.00	4.0
ß	BUCK CAPITAL MGMT		FINL	В	1,334,100	17.17	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		FINL	S	1,334,100	17.17	n/a	n/a	non-brg	1.0
f	JOHN MICHAEL DEMARTI	FID	FOR	S	1000	19.47	n/a	3-Nov	0.00	0.0
	CRAMER ROSENTHAL/SCOTT	012	FPO	В	3,500	17.14	n/a	n/a	140.00	4.0
	PZENA INV ADV	044	FRX	В	23,023	32.10	n/a	27-Dec	805.83	3.5
ß	BUCK CAPITAL MGMT		GCO	В	83,772	37.03	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		GCO	S	83,772	37.03	n/a	n/a	non-brg	1.0
	CRAMER ROSENTHAL/SCOTT	012	GEF	В	1,400	63.20	n/a	n/a	56.00	4.0
	BUCK CAPITAL MGMT	001	GES	s	20,000	47.07	n/a	24-Nov	600.00	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		GMCR	В	497,700	32.08	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		GMCR	S	497,700	32.08	n/a	n/a	non-brg	1.0
	CRAMER ROSENTHAL/SCOTT	012	GPI	В	2,600	41.42	n/a	27-Oct	104.00	4.0
a	BUCK CAPITAL MGMT		HAL	s	40,000	40.66	n/a	n/a	non-brg	4.0
	LATEFF MGMT	035	HPQ	S	750	42.58	n/a	n/a	210.00	28.0
	BUCK CAPITAL MGMT	001	HTZ	S	20,000	14.22	n/a	n/a	600.00	3.0
ß	BUCK CAPITAL MGMT		HTZ	В	421,361	14.24	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		HTZ	s	421,361	14.24	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		HTZ	S	50,000	14.24	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		IJH	SS	136,000	91.13	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IJR	С	560,000	69.37	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IWO	SS	438,000	88.25	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IWR	С	122,000	102.07	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IWS	SS	145,000	45.13	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IYC	SS	559,000	67.91	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		JACK	В	20,000	21.32	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		JCI	S	69,300	38.38	n/a	7-Dec	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
	BUCK CAPITAL MGMT	001	JNY	S	30,000	15.52	n/a	28-0ct	900.00	3.0	
	TRUSTCO/SUNTRUST	040	JNY	В	13,100	15.50	n/a	28-Oct	458.50	3.5	
f	CHRISTOPHER ROBERT C	FID	JPM	S	100	42.50	n/a	17-Dec	0.00	0.0	
	SIGMA CAPITAL ASSOCIATES	041	KR	В	25,000	21.91	n/a	n/a	875.00	3.5	
	LEVIN CAPITAL STRATEGIES	012	LEA	В	6,200	96.75	n/a	29-Oct	186.00	3.0	
ß	BUCK CAPITAL MGMT		LIZ	В	1,397,800	7.32	n/a	7-0ct	non-brg	1.0	
ß	BUCK CAPITAL MGMT		LIZ	s	1,397,800	7.32	n/a	7-0ct	non-brg	1.0	
	BUCK CAPITAL MGMT	001	LTD	s	20,000	30.85	n/a	n/a	600.00	3.0	
a	BUCK CAPITAL MGMT		MDY	С	40,000	165.79	n/a	n/a	non-brg	1.0	
f	JOSEPH CIRO AMATURO	FID	MOTR	В	5000	18.22	n/a	n/a	0.00	0.0	
	SCOPUS FUND	041	MTW	S	9,500	12.90	n/a	1-Nov	380.00	4.0	
ß	BUCK CAPITAL MGMT		MVRX	С	32,500	213.96	n/a	n/a	non-brg	21.4	
	MATRIX ASSET ADV.	091	MWW	S	3,900	23.75	n/a	n/a	156.00	4.0	
	BUCK CAPITAL MGMT	001	М	S	10,000	25.26	n/a	30-Nov	300.00	3.0	
	STRONG/MARK GRONET	030	NLY	S	8,800	17.88	n/a	n/a	352.00	4.0	
ß	BUCK CAPITAL MGMT		NWY	В	1,115,279	4.26	n/a	n/a	non-brg	1.0	

	Account		Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
ß	BUCK CAPITAL MGMT		NWY	S	1,115,279	4.26	n/a	n/a	non-brg	1.0
f	JOSEPH CIRO AMATURO	FID	ОЕН	S	5000	12.96	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		PCAR	S	15,000	57.46	n/a	23-Nov	non-brg	4.0
	MASTERS CAPITAL MANAGE	091	PFE	S	50,000	17.64	n/a	n/a	2,000.00	4.0
	WEINTRAUB CAPITAL MGT.	040	PKG	В	125,000	25.86	n/a	15-Dec	5,000.00	4.0
ß	BUCK CAPITAL MGMT		PLCE	В	471,930	49.75	n/a	18-Nov	non-brg	1.0
ß	BUCK CAPITAL MGMT		PLCE	S	471,930	49.75	n/a	18-Nov	non-brg	1.0
ß	BUCK CAPITAL MGMT		PSS	SS	20,000	20.61	n/a	n/a	non-brg	4.0
	BUCK CAPITAL MGMT	001	RL	SS	20,000	111.71	n/a	11-Nov	600.00	3.0
	STRONG/MARK GRONET	030	RNWK	S	10,695	4.19	n/a	n/a	320.85	3.0
ß	BUCK CAPITAL MGMT		SLB	s	20,000	83.72	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SODA	В	10,000	31.38	n/a	n/a	non-brg	2.5
	WEINTRAUB CAPITAL MGT.	040	SSCC	S	86,500	25.43	n/a	15-Dec	3,460.00	4.0
	1798 CAP/LOMBARD ASSET	0 4	0 SWC	В	20,000	21.54	n/a	n/a	1,000.00	5.0
	STRONG/MARK GRONET	030	TBBK	S	3,005	10.02	n/a	n/a	120.20	4.0
3	BUCK CAPITAL MGMT		TGT	В	30,000	60.19	n/a	2-Dec	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
	SCOPUS FUND	041	TSN	S	100,000	17.53	n/a	n/a	4,000.00	4.0
f	JOSEPH CIRO AMATURO	FID	UQM	s	5000	2.24	n/a	n/a	0.00	0.0
f	ALICE BEEBE LONGLEY	FID	URRE	В	1000	3.38	n/a	n/a	0.00	0.0
f	JONATHAN RICHARD HAR	FID	URRE	В	2000	3.28	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	URZ	s	20000	3.66	n/a	n/a	0.00	0.0
f	BERNA GABRIELLE BARS	FID	vxx	В	500	38.34	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	v	S	1000	70.49	n/a	21-Dec	0.00	0.0
	SCOPUS FUND	041	WAB	SS	20,000	52.70	n/a	n/a	800.00	4.0
ß	BUCK CAPITAL MGMT		WBC	S	15,000	60.50	n/a	21-Dec	non-brg	4.0
f	JOSEPH CIRO AMATURO	FID	WNC	В	5000	11.80	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	WRC	S	10,000	54.78	n/a	9-Nov	300.00	3.0
ß	BUCK CAPITAL MGMT		XRT	С	790,000	48.53	n/a	n/a	non-brg	1.0
	SIGMA CAPITAL ASSOCIATES	041	ZUMZ	SS	10,000	28.27	n/a	n/a	350.00	3.5

TOTAL (Trade Date): 42,565.61

## Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL	(AO, (	CXL, CRT):							0.00

TOTAL (Trade Date):

TOTAL (AO, CXL, CRT):

10.00

TOTAL (RUN DATE) / NFS:

42,565.61

MRI Securities with Trade Activity on 29-Dec. NONE

MRI Securities without Trade Activity on 29-Dec.

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 4%

YTD = 11%

## Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)