



Daily Compliance Activity Report

Trade Date: 07/29/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f THOMAS JOHN LEDDY	FID	ABG	S	500	21.71	n/a	27-Jul	0.00	0.0
f MANNY I KORMAN	FID	ADM	S	150	2.66	n/a	15-Jul	0.00	0.0
GALT INVESTMENT	041	AEO	B	50,000	13.08	n/a	26-May	2,000.00	4.0
SIGMA CAPITAL ASSOCIATES	041	ANF	B	10,000	72.98	n/a	28-Jul	350.00	3.5
IMPALA ASSET MMT	012	ANR	S	58,717	42.61	n/a	n/a	2,935.85	5.0
f MANNY I KORMAN	FID	APC	S	100	6.70	n/a	26-Jul	0.00	0.0
HOLLIS CAPITAL	033	AVP	B	5,000	26.34	n/a	29-Jul	250.00	5.0
f MANNY I KORMAN	FID	AXP	S	200	3.65	n/a	27-Jul	0.00	0.0
FORWARD MGMT	033	BABY	S	30,000	11.52	n/a	n/a	1,200.00	4.0
B BUCK CAPITAL MGMT		BAC	S	120,000	9.64	n/a	19-Jul	non-brg	1.0
CHESAPEAKE PARTNERS	028	BAC	S	100,000	9.90	n/a	19-Jul	3,000.00	3.0
MERRILL ASSET/BLACKROCK	297	BAC	B	500,000	9.84	n/a	19-Jul	20,000.00	4.0
AMBER CAPITAL	046	BBY	B	71,168	27.68	n/a	n/a	2,846.72	4.0
f ALANA J A MADIGAN-SI	FID	BGZ	S	600	36.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BID	B	20,000	42.01	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARK MEGALLI	FID	BJRI	B	1000	46.51	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	BP	S	467	45.34	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BWLD	SS	20,000	63.20	n/a	n/a	non-brg	4.0
SAGE ASSET MGMT	012	BZ	S	167,500	7.01	n/a	n/a	8,375.00	5.0
IMPALA ASSET MMT	012	CBT	B	100,000	39.16	n/a	27-Jul	4,000.00	4.0
MERRILL ASSET/BLACKROCK	297	CFR	S	20,000	53.93	n/a	n/a	800.00	4.0
SCOPUS FUND	041	CF	S	26,917	155.48	n/a	n/a	1,076.68	4.0
ROSEDALE CAPITAL	046	CHK	S	10,000	34.43	n/a	n/a	500.00	5.0
NEUBERGER BERMAN	036	CHSI	B	9,800	63.71	n/a	n/a	392.00	4.0
B BUCK CAPITAL MGMT		CJES	B	5,000	29.00	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		CJES	S	5,000	30.51	n/a	n/a	non-brg	2.5
FORWARD MGMT	033	CJES	S	10,000	31.00	n/a	n/a	400.00	4.0
IMPALA ASSET MMT	012	CLB	B	70,000	108.42	n/a	21-Jul	3,500.00	5.0
f FRANCIS MICHAEL MCCA	FID	CMI	B	150	105.36	n/a	27-Jul	0.00	0.0
TUDOR INVESTORS	028	CMI	B	10,000	105.06	n/a	27-Jul	300.00	3.0
NEUBERGER BERMAN	036	CPSI	B	16,700	74.25	n/a	n/a	668.00	4.0
f BARBARA S LEDDY	FID	CRMB	S	100	13.22	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KAREN F LEDDY	FID	CRMB	S	750	13.09	n/a	n/a	0.00	0.0
	CYPRESS CAP MGMT LLC	040	CROX	S	20,400	31.10	n/a	n/a	816.00	4.0
B	BUCK CAPITAL MGMT		CSTR	B	15,000	49.42	n/a	n/a	non-brg	1.0
	IMPALA ASSET MMT	012	CSX	B	190,000	24.60	n/a	n/a	7,600.00	4.0
	PRIMECAP MGMT	084	CVS	B	6,000	36.40	n/a	n/a	240.00	4.0
f	JASON ERIC COHEN	FID	CXS	S	160	10.65	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		C	S	36,000	37.72	n/a	18-Jul	non-brg	1.0
B	BUCK CAPITAL MGMT		DECK	B	10,000	96.40	n/a	n/a	non-brg	4.0
	GRANITE CAPITAL	028	DECK	B	4,000	97.26	n/a	n/a	200.00	5.0
	BNP PARIBAS SEC	040	DKS	B	20,000	36.88	n/a	18-May	1,000.00	5.0
f	DAVID GEORGE BUCK	FID	DNKN	B	2000	28.78	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		DRI	C	30,000	50.62	n/a	11-Jul	non-brg	4.0
f	DAVID GEORGE BUCK	FID	EEM	S	2500	47.15	n/a	n/a	0.00	0.0
f	MATTHEW FRANCIS BUCK	FID	EEM	S	400	47.13	n/a	n/a	0.00	0.0
	SONTERRA CAP	041	EMN	SS	30,000	95.81	n/a	n/a	1,200.00	4.0
f	DAVID GEORGE BUCK	FID	EPP	B	2000	47.04	n/a	n/a	0.00	0.0
f	MATTHEW FRANCIS BUCK	FID	EPP	B	400	47.04	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	ETN	S	93,240	47.94	n/a	25-Jul	3,729.60	4.0
f ANN BETTENDORF FREEM	FID	FASM	S	24	15.82	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	FL	B	100,000	21.72	n/a	2-Jun	3,000.00	3.0
BMO NESBITT BURNS	041	FRED	SS	7,000	13.08	n/a	n/a	350.00	5.0
GRANITE CAPITAL	028	GES	S	10,000	38.30	n/a	18-Jul	500.00	5.0
B BUCK CAPITAL MGMT		GIII	B	3,700	30.20	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	S	70,000	30.95	29-Jul	29-Jul	non-brg	4.0
TUDOR INVESTORS	028	GMT	B	8,000	38.99	n/a	n/a	240.00	3.0
TUDOR INVESTORS	028	GMT	SS	3,000	39.41	n/a	n/a	90.00	3.0
B BUCK CAPITAL MGMT		GS	S	45,421	133.75	n/a	19-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		HANS	B	10,000	76.46	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HBI	S	65,000	30.29	n/a	21-Jul	non-brg	4.0
IMPALA ASSET MMT	012	HOG	S	57,200	42.79	n/a	n/a	2,860.00	5.0
f ANN BETTENDORF FREEM	FID	HPQ	S	150	35.54	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	HP	B	141,900	68.88	n/a	n/a	7,095.00	5.0
CASTLEROCK	040	HSP	B	32,885	51.40	n/a	27-Jul	1,315.40	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RAINIER INV MGMT	035	HS	B	74,240	40.91	n/a	n/a	2,969.60	4.0
TUDOR INVESTORS	028	HUBB	B	5,000	60.02	n/a	n/a	150.00	3.0
CSFB GLOBAL PROPRIETARY	041	IP	B	65,000	29.90	n/a	28-Jul	3,250.00	5.0
LOCUS WOOD CAPITAL MGMT	044	IRM	B	20,000	31.34	n/a	n/a	800.00	4.0
TUDOR INVESTORS	028	ITT	S	10,000	53.71	n/a	4-May	300.00	3.0
TUDOR INVESTORS	028	ITW	B	5,000	49.75	n/a	27-Jul	150.00	3.0
f CHRISTOPHER ROBERT C	FID	JNY	S	500	12.93	n/a	28-Jul	0.00	0.0
f KAREN F LEDDY	FID	JNY	S	2200	12.96	n/a	28-Jul	0.00	0.0
B BUCK CAPITAL MGMT		JWN	SS	20,000	50.23	n/a	28-Jul	non-brg	4.0
f DAVID GEORGE BUCK	FID	KO	B	1000	68.21	n/a	20-Jul	0.00	0.0
SIGMA CAPITAL ASSOCIATES	041	KSS	SS	25,000	54.47	n/a	28-Jul	875.00	3.5
NEUBERGER BERMAN	036	LDR	S	5,142	56.54	n/a	n/a	205.68	4.0
B BUCK CAPITAL MGMT		LEA	B	10,000	49.02	n/a	14-Jul	non-brg	4.0
PRIMECAP MGMT	084	LIFE	B	31,704	44.86	n/a	n/a	1,268.16	4.0
DC CAPITAL	044	LPX	B	25,000	7.73	n/a	19-Jul	1,000.00	4.0
B BUCK CAPITAL MGMT		LVS	B	30,000	46.64	n/a	13-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	LXU	B	29,100	39.36	n/a	n/a	1,164.00	4.0
B BUCK CAPITAL MGMT		MAR	C	30,000	31.92	n/a	13-Jun	non-brg	4.0
KENNEDY CAPITAL	046	MED	B	11,500	18.66	n/a	n/a	460.00	4.0
LOCUS WOOD CAPITAL MGMT	044	MPC	S	15,000	43.98	n/a	n/a	600.00	4.0
B BUCK CAPITAL MGMT		MS	S	85,000	21.97	n/a	29-Jul	non-brg	1.0
IMPALA ASSET MMT	012	M	S	70,000	28.64	n/a	28-Jul	2,800.00	4.0
f MARY CATHERI MADIGA	FID	M	B	260	28.89	n/a	28-Jul	0.00	0.0
f MANNY I KORMAN	FID	NAV	S	2000	50.34	n/a	8-Jun	0.00	0.0
BMO NESBITT BURNS	041	NDN	B	2,000	19.80	n/a	15-Jul	100.00	5.0
f GAJANTHAN BALAKANES	FID	NLY	S	55	16.66	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	NLY	S	160	17.10	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	PCAR	S	108,953	42.77	n/a	27-Jul	5,447.65	5.0
B BUCK CAPITAL MGMT		PERY	B	10,000	23.39	n/a	n/a	non-brg	4.0
TUDOR INVESTORS	028	PH	SS	3,000	79.29	n/a	28-Apr	90.00	3.0
B BUCK CAPITAL MGMT		PII	B	30,000	118.50	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PLCE	B	30,000	48.33	n/a	8-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KATHERINE L O'GORMAN	FID	PST	S	1000	36.82	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	PST	S	1000	36.77	n/a	n/a	0.00	0.0
f ANN BETTENDORF FREEM	FID	PWR	S	300	18.51	n/a	n/a	0.00	0.0
PRIMECAP MGMT	084	REXX	B	4,000	10.95	n/a	n/a	160.00	4.0
MERRILL ASSET/BLACKROCK	297	RE	B	6,500	82.43	n/a	n/a	260.00	4.0
TUDOR INVESTORS	028	RKT	S	5,000	61.51	n/a	27-Jul	150.00	3.0
STRONG/WELLS CAP ANN F	047	RLD	S	36,604	15.36	n/a	n/a	1,464.16	4.0
MACQUARIE INVESTMENT MGMT.	040	ROST	B	2,500	75.17	n/a	n/a	0.00	0.0
COHE & STEERS	041	RYN	B	78,500	64.46	n/a	19-Jul	3,140.00	4.0
B BUCK CAPITAL MGMT		SFLY	B	20,000	54.93	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	SGI	B	135	14.35	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SIG	B	20,000	42.94	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	SIJ	B	10000	49.49	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	S	310	8.36	n/a	n/a	0.00	0.0
f ALANA J A MADIGAN-SI	FID	SOXL	B	600	36.97	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	SPF	B	580	2.94	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TUDOR INVESTORS	028	SPW	S	3,000	75.39	n/a	n/a	90.00	3.0
JANA CAPITAL	044	SPY	SS	94,274	129.91	n/a	n/a	3,770.96	4.0
B BUCK CAPITAL MGMT		SUMR	S	25,000	8.55	n/a	n/a	non-brg	2.5
LOCUS WOOD CAPITAL MGMT	044	SUN	B	20,000	40.46	n/a	n/a	800.00	4.0
BMO NESBITT BURNS	041	SVU	S	8,000	8.66	n/a	n/a	400.00	5.0
IMPALA ASSET MMT	012	SWK	B	75,800	65.21	n/a	n/a	3,790.00	5.0
FIRST NEW YORK LEDDY	035	S	B	20,000	4.22	n/a	n/a	800.00	4.0
f KATHERINE L O'GORMAN	FID	TBT	S	1000	31.97	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	TBT	S	1000	31.91	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	TGI	B	17,900	49.63	n/a	n/a	716.00	4.0
CAERUS GLOBAL	041	TGT	B	5,200	50.98	n/a	7-Jul	208.00	4.0
TUDOR INVESTORS	028	TRN	S	3,000	29.41	n/a	n/a	90.00	3.0
f GAJANTHAN BALAKANES	FID	TRST	S	100	4.73	n/a	n/a	0.00	0.0
LOCUS WOOD CAPITAL MGMT	044	TRV	B	7,000	55.17	n/a	n/a	280.00	4.0
TRUSTCO/SUNTRUST	040	TXN	B	28,400	29.88	n/a	n/a	994.00	3.5
IMPALA ASSET MMT	012	UFS	S	47,774	79.60	n/a	n/a	2,388.70	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MANNY I KORMAN	FID	WRC	S	3000	51.89	n/a	18-Jul	0.00	0.0
SCOPUS FUND	041	WRC	S	7,700	53.44	n/a	18-Jul	308.00	4.0
SONTERRA CAP	041	WRC	B	20,000	53.00	n/a	18-Jul	800.00	4.0
f KAREN F LEDDY	FID	XPO	B	2000	3.89	n/a	n/a	0.00	0.0
f THOMAS JOHN LEDDY	FID	XPO	B	2700	3.88	n/a	n/a	0.00	0.0
f ALICE BEEBE LONGLEY	FID	YACKX	B	1434	17.43	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							125,070.16		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/28	YORK CAPITAL	036	MAS	S	1,390	10.85	n/a	n/a	41.70 3.0
AO,CRT	07/28	YORK CAPITAL	036	MAS	S	1,390	10.85	n/a	n/a	41.70 3.0
AO,CRT	07/28	BASIX	033	MTW	B	39,000	13.87	n/a	28-Jul	1170.00 3.0
AO,CXL	07/28	BASIX	033	MTW	B	39,000	13.87	n/a	28-Jul	1560.00 4.0
AO,CRT	07/28	YORK CAPITAL	036	TYC	S	1,036	45.33	n/a	29-Jul	31.08 3.0
AO,CXL	07/28	YORK CAPITAL	036	TYC	S	1,036	45.33	n/a	29-Jul	31.08 3.0

TOTAL (AO, CXL, CRT) :	-390.00
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TOTAL (Trade Date) :	125,070.16
TOTAL (AO, CXL, CRT) :	-390.00

TOTAL (RUN DATE) / NFS:	124,680.16
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	07/28	BUCK CAPITAL MGMT.	TEA	B	100,000	27.98	n/a	n/a	4000.00
CRT	07/28	BUCK CAPITAL MGMT	TEA	B	140,000	28.86	n/a	n/a	5600.00 4.0
CXL	07/28	BUCK CAPITAL MGMT.	TEA	B	100,000	27.98	n/a	n/a	4000.00
CRT	07/28	BUCK CAPITAL MGMT	TEA	B	100,000	27.98	n/a	n/a	4000.00 4.0

MRI Securities with Trade Activity on 29-Jul.

GIL

MRI Securities without Trade Activity on 29-Jul.

BA, PCP, SBUX

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 3%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)