

## **Daily Compliance Activity Report** Trade Date: 09/15/2006

Account	RR #	Symbol	B/S	Shares Price MRI Research Comm.		Comm.	S/Shr.		
CAPITAL GROWTH MGMT.	241	AGE	В	100,000	54.58	n/a	n/a	5,000.00	5.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	35,300	8.19	n/a	n/a	1,412.00	4.0
IRIDIAN ASSET MGMT.	036	ALV	В	97,420	55.20	n/a	n/a	4,871.00	5.0
UBS O'CONNOR/TOM LEDDY	035	ANF	В	15,000	68.06	31-Aug	31-Aug	750.00	5.0
EFSTATHIOU	044	APCJJ	В	10	0.50	n/a	n/a	0.00	0.0
SOMERSET ADV	044	ARB	В	10,300	38.29	n/a	n/a	515.00	5.0
KENNEDY CAPITAL	046	ARO	В	30,000	28.62	6-Jul	28-Aug	1,200.00	4.0
THE JAY GOLDMAN	034	AZN	В	15,000	62.48	n/a	n/a	600.00	4.0
LISTED ERR	099	AZO	В	2,000	95.75	n/a	n/a	0.00	0.0
LISTED ERR	099	AZO	S	2,000	95.21	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	AZO	S	5,000	95.90	n/a	n/a	200.00	4.0
US BANCORP	030	BA	S	45,404	75.51	n/a	n/a	2,270.20	5.0
BUCK CAPITAL MGMT	001	BBW	В	10,000	23.74	n/a	n/a	400.00	4.0
EGS ASSOCIATES LP	059	BIG	S	3,500	19.86	16-Aug	15-Sep	210.00	6.0
WALKER SMITH	031	BIG	S	87,600	19.40	16-Aug	15-Sep	4,380.00	5.0
WEISS PECK	222	BIG	s	13,900	19.26	16-Aug	15-Sep	695.00	5.0

Account	RR #	Symbol	в/:	S	Shares	Price	MRI	Research	Comm.	¢/Shr.
STRONG/WELL HOWARD	038	BJS		S	149,500	30.05	n/a	n/a	5,980.00	4.0
ATLAS CAPITAL	012	BONT	В		21,649	28.32	n/a	1-Sep	1,082.45	5.0
WHITE PINE CAPITAL	030	BONT	В		5,325	28.46	n/a	1-Sep	266.25	5.0
CAPITAL GROWTH MGMT.	241	BRY		S	60,000	27.64	n/a	n/a	3,000.00	5.0
SLS CAPITAL LLC	224	BYI		S	23,500	17.07	n/a	n/a	1,175.00	5.0
EQUINOX	032	CAR		S	75 <b>,</b> 000	18.88	n/a	n/a	3,000.00	4.0
AELTUS/ING IVESTMENT MGT	237	CBE	В		59,200	82.51	n/a	21-Jul	2,368.00	4.0
HIGHFIELDS CAP MGMT	039	СВН		S	40,000	35.51	n/a	n/a	1,600.00	4.0
BEAR STEARNS ASSET MGMT.	033	CC	В		65,000	25.98	12-Apr	8-Sep	2,600.00	4.0
BUCK CAPITAL MGMT	001	CHS		S	50,000	20.32	23-Aug	28-Aug	2,000.00	4.0
AELTUS/ING IVESTMENT MGT	237	CLX	В		185,000	61.60	n/a	3-Aug	7,400.00	4.0
GENERAL ELECTIC CO	033	CMCSK	В		50,000	34.33	n/a	n/a	2,250.00	4.5
THE BOSTON COMPANY	214	СОН		S	12,200	33.83	n/a	2-Aug	610.00	5.0
BUCK CAPITAL MGMT	001	CRI		S	150,000	23.49	n/a	n/a	6,000.00	4.0
KARP	023	CRIMX		s	103	29.13	n/a	n/a	0.00	0.0
MANNING & NAPIER	034	CSCO		S	500,000	22.81	n/a	22-Mar	20,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
MERRILL ASSET	032	CVA	В	11,800	20.62	n/a	n/a	354.00	3.0
GOLD SACHS SPECIAL SIT	037	DBD	2	100,000	42.03	n/a	15-Sep	5,000.00	5.0
PEQUOT CAPITAL MGMT	033	DENN	В	25,000	3.81	n/a	n/a	750.00	3.0
BULL PATH CAPITAL	044	DTV	2	24,730	18.66	15-Jun	15-Sep	1,236.50	5.0
KARP	023	EFT	\$	2,300	18.51	n/a	n/a	0.00	0.0
SCHEINER	037	EQUI	В	50,000	0.04	n/a	n/a	0.00	0.0
SONAR CAP MGMT	037	FCS	В	50,000	19.58	n/a	n/a	2,500.00	5.0
KARP	023	FCVSX	\$	422	23.53	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	FCX	C	100,000	52.05	n/a	n/a	5,000.00	5.0
GLENHILL CAPITAL	038	FDO	Ç	35,000	29.12	n/a	31-Aug	1,750.00	5.0
THE BOSTON COMPANY	214	FDO	В	71,400	29.06	n/a	31-Aug	2,856.00	4.0
KARP	023	FISCX	\$	576	16.57	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FL	Ç	72,800	22.65	n/a	18-Aug	2,912.00	4.0
RBC CAPITAL MARKETS	034	FL	В	50,000	22.88	n/a	18-Aug	2,500.00	5.0
WEISS PECK/ARB	040	FL	Č	14,800	22.79	n/a	18-Aug	740.00	5.0
KARP	023	FMIEX	\$	1,081	14.25	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	FS	S	5,600	64.03	n/a	n/a	224.00	4.0

											_
Account	RR #	Symbol	в/\$	5	Shares	Price	MRI	Research	Comm.	\$/Shr.	
SENTINAL CAPITAL	067	GE		S	20,000	34.79	n/a	n/a	1,000.00	5.0	
CHARTER OAK	037	GHDX		S	23,000	13.75	n/a	n/a	1,150.00	5.0	
BALYASNY ASSET MGMT	044	GLW		S	10,000	23.01	n/a	7-Sep	500.00	5.0	
DELTEC ASSET MGMT.	040	GLW	В		20,000	23.17	n/a	7-Sep	1,000.00	5.0	
BELAY CAPITAL	039	GPS	В		15,000	17.93	31-Jul	28-Aug	600.00	4.0	
FIRST NEW YORK LEDDY	035	GPS	В		20,000	17.82	31-Jul	28-Aug	1,200.00	6.0	
COUGAR TRADING	044	JCP	В		5,000	65.89	5-May	31-Aug	250.00	5.0	
STADIA CAPITAL	035	JCP		S	20,000	64.82	5-May	31-Aug	800.00	4.0	
MAZAMA CAPITAL MGMT.	035	JEF	В		64,800	27.01	n/a	n/a	2,592.00	4.0	
KARP	023	JSVAX		S	299	16.74	n/a	n/a	0.00	0.0	
IMPALA ASSET MMT	012	КВН		S	100,000	45.49	n/a	n/a	3,000.00	3.0	
WARBURG	037	KNL		S	90,000	17.74	n/a	n/a	4,500.00	5.0	
JP MORGAN VENTURES	046	KSS		S	7,400	67.21	10-Aug	31-Aug	370.00	5.0	
KEEFE BRUYETTE WOODS A	031	LM		S	13,400	101.24	28-Jul	13-Sep	670.00	5.0	
BUCK CAPITAL MGMT	001	LTD	В		10,000	27.07	9-Мау	28-Aug	400.00	4.0	
HIGHLINE ADV	044	MAS	В		40,000	28.39	n/a	n/a	2,000.00	5.0	
IRIDIAN ASSET MGMT.	036	MEE		S	13,900	21.56	n/a	n/a	695.00	5.0	

RR #	Symbol	B/S		Shares	Price	MRI	Research	Comm.	¢/Shr.
036	MOT	В		200,000	24.89	n/a	n/a	10,000.00	5.0
012	NEM	В		250,000	44.63	n/a	n/a	7,500.00	3.0
044	NWY	В		10,000	12.65	n/a	28-Aug	500.00	5.0
012	OMG		S	123,200	37.46	n/a	n/a	3,696.00	3.0
023	PAGRX		S	93	106.34	n/a	n/a	0.00	0.0
012	PKG	В		50,000	22.83	n/a	14-Sep	2,500.00	5.0
044	PSUN	В		10,000	15.25	3-Aug	28-Aug	500.00	5.0
023	<u> </u>		S	200	40.29	n/a	n/a	0.00	0.0
035	REY		S	21,500	39.00	n/a	n/a	860.00	4.0
214	RL		S	3,300	63.05	16-Jun	31-Aug	165.00	5.0
044	RTH	В		12,000	99.11	n/a	n/a	600.00	5.0
040	SMH	В		87,000	34.69	n/a	n/a	4,350.00	5.0
023	SPY		s	100	132.14	n/a	n/a	0.00	0.0
0.01	SST	R		35 000	27 01	n/a	n/a	1 400 00	4.0
040	SSI	В		20,000	27.01	n/a	n/a n/a	1,000.00	5.0
023	STRGX		S	226	41.37	n/a	n/a	136.96	0.0
	036 012 044 012 023 012 044 023 035 214 044 040 023 001 040	036 MOT  012 NEM  044 NWY  012 OMG  023 PAGRX  012 PKG  044 PSUN  023 QQQQ  035 REY  214 RL  044 RTH  040 SMH  023 SPY  001 SSI 040 SSI	036 MOT B  012 NEM B  044 NWY B  012 OMG  023 PAGRX  012 PKG B  044 PSUN B  023 QQQQ  035 REY  214 RL  044 RTH B  040 SMH B  023 SPY  001 SSI B  040 SSI B  040 SSI B	036       MOT       B         012       NEM       B         044       NWY       B         012       OMG       S         023       PAGRX       S         044       PSUN       B         023       QQQQ       S         035       REY       S         214       RL       S         044       RTH       B         040       SMH       B         023       SPY       S         001       SSI       B         040       SSI       B         040       SSI       B         040       SSI       B         040       SSI       B	036       MOT       B       200,000         012       NEM       B       250,000         044       NWY       B       10,000         012       OMG       S       123,200         023       PAGRX       S       93         012       PKG       B       50,000         044       PSUN       B       10,000         035       REY       S       21,500         214       RL       S       3,300         044       RTH       B       12,000         040       SMH       B       87,000         023       SPY       S       100         001       SSI       B       35,000         040       SSI       B       35,000         040       SSI       B       20,000	036 MOT B 200,000 24.89  012 NEM B 250,000 44.63  044 NWY B 10,000 12.65  012 OMG S 123,200 37.46  023 PAGRX S 93 106.34  012 PKG B 50,000 22.83  044 PSUN B 10,000 15.25  023 QQQQ S 200 40.29  035 REY S 21,500 39.00  214 RL S 3,300 63.05  044 RTH B 12,000 99.11  040 SMH B 87,000 34.69  023 SPY S 100 132.14  001 SSI B 35,000 27.01  040 SSI B 35,000 27.01  040 SSI B 35,000 27.01	036         MOT         B         200,000         24.89         n/a           012         NEM         B         250,000         44.63         n/a           044         NWY         B         10,000         12.65         n/a           012         OMG         S         123,200         37.46         n/a           023         PAGRX         S         93         106.34         n/a           012         PKG         B         50,000         22.83         n/a           044         PSUN         B         10,000         15.25         3-Aug           023         QQQQ         S         200         40.29         n/a           035         REY         S         21,500         39.00         n/a           044         RTH         B         12,000         99.11         n/a           040         SMH         B         87,000         34.69         n/a           023         SPY         S         100         132.14         n/a           040         SSI         B         35,000         27.01         n/a           040         SSI         B         20,000         27.00	036 MOT B 200,000 24.89 n/a n/a 012 NEM B 250,000 44.63 n/a n/a 044 NWY B 10,000 12.65 n/a 28-Aug 012 OMG S 123,200 37.46 n/a n/a 023 PAGRX S 93 106.34 n/a n/a 014 PSUN B 10,000 15.25 3-Aug 28-Aug 023 QQQQ S 200 40.29 n/a n/a 035 REY S 21,500 39.00 n/a n/a 044 RTH B 12,000 99.11 n/a n/a 040 SMH B 87,000 34.69 n/a n/a 013 SPY S 100 132.14 n/a n/a 014 SSI B 35,000 27.01 n/a n/a 015 SSI B 35,000 27.01 n/a n/a 016 SSI B 35,000 27.00 n/a n/a	036 MOT B 200,000 24.89 n/a n/a 10,000.00 012 NEM B 250,000 44.63 n/a n/a 7,500.00 044 NWY B 10,000 12.65 n/a 28-Aug 500.00 012 OMG S 123,200 37.46 n/a n/a n/a 3,696.00 023 PAGRX S 93 106.34 n/a n/a 0.00 014 PSUN B 10,000 15.25 3-Aug 28-Aug 500.00 024 PSUN B 10,000 15.25 3-Aug 28-Aug 500.00 025 REY S 21,500 39.00 n/a n/a 860.00 026 REY S 3,300 63.05 16-Jun 31-Aug 165.00 027 RTH B 12,000 99.11 n/a n/a 600.00 028 RTH B 87,000 34.69 n/a n/a 4,350.00 029 SPY S 100 132.14 n/a n/a 0.00 030 SSI B 35,000 27.01 n/a n/a 1,400.00 040 SSI B 35,000 27.01 n/a n/a 1,400.00 040 SSI B 35,000 27.00 n/a n/a 1,400.00

Account	RR #	Symbol	B/S		Shares	Price	MRI	Research	Comm.	¢/Shr.	
IMPALA ASSET MMT	012	SWN	В		350,000	31.09	7-Aug	8-Sep	10,500.00	3.0	
KARP	023	T		S	100	32.35	25-Jul	8-Sep	0.00	0.0	
IRIDIAN ASSET MGMT.	036	TCK	В		4,100	59.95	n/a	n/a	205.00	5.0	
KARP	023	TE		S	200	15.48	n/a	n/a	0.00	0.0	
THE BOSTON COMPANY	214	TGT		S	19,400	53.54	n/a	31-Aug	970.00	5.0	
BEAR STEARNS ASSET MGMT.	033	TIF		S	50,000	34.64	n/a	31-Aug	2,000.00	4.0	
BUCK CAPITAL MGMT	001	TLB	В		10,000	24.21	n/a	17-Aug	400.00	4.0	
J L F ASSET MGMT	039	TRLG		S	26,519	22.23	n/a	n/a	1,060.76	4.0	
IMPALA ASSET MMT	012	TSO	В		100,000	57.38	n/a	n/a	3,000.00	3.0	
COUGAR TRADING	044	TWB		S	5,000	34.08	5-Sep	5-Sep	250.00	5.0	
CADMUS CAP	035	UNH		S	12,000	52.23	n/a	n/a	600.00	5.0	
MCLAWEISS	062	UPFC		S	2,000	17.54	n/a	n/a	0.00	0.0	
KARP	023	UTG		S	500	20.97	n/a	n/a	0.00	0.0	
KARP	023	VALIX		S	345	8.70	n/a	n/a	0.00	0.0	
THE BOSTON COMPANY	214	VFC		S	5,600	73.90	n/a	n/a	280.00	5.0	

Account	RR #	Symbol	B/S	3	Shares	Price	MRI	Research	Comm.	Shr
GENERAL ELECTIC CO	033	VOD		S	20,000	21.74	n/a	n/a	900.00	4.5
MERRILL ASSET	032	VTS		S	17,800	66.10	n/a	n/a	534.00	3.0
LEDDY	035	WDR	В		500	24.27	6-Sep	13-Sep	0.00	0.0
CAPITAL GROWTH MGMT.	241	WFT		S	180,000	39.99	n/a	n/a	9,000.00	5.0
KARP	023	WFTZX		s	868	5.26	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	WLL		S	60,000	39.89	n/a	n/a	3,000.00	5.0
COUGAR TRADING	044	WRNC		S	25,000	20.79	5-Sep	15-Sep	1,250.00	5.0
GOLDMAN SACHS ASSET MGMT	044	WSM	В		150,000	31.95	n/a	n/a	2,250.00	1.5
KARP	023	<b>УНОО</b>		S	300	29.33	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	В		10,000	12.26	8-Sep	8-Sep	500.00	5.0
EGS ASSOCIATES LP	059	ZQK	В		5,000	12.18	8-Sep	8-Sep	300.00	6.0

TOTAL: 198,592.12

## Cancel/Corrects and As-Of Trades

Туре	Account	RR #	Symbol	B/S	Shares	Price	MRI 1	Research	Comm.	Shr.
AO,	WHITE PINE CAPITAL (WPIN1853)	030	BONT	В	300	27.95	n/a	1-Sep	15.00	5.0
AO,	WHITE PINE CAPITAL (WPIN2793)	030	BONT	В	300	27.95	n/a	1-Sep	15.00	5.0
AO,	WHITE PINE CAPITAL (WPIN1644)	030	BONT	В	300	27.95	n/a	1-Sep	15.00	5.0
AO,	WHITE PINE CAPITAL (WPIN0002)	030	BONT	В	200	27.95	n/a	1-Sep	10.00	5.0
AO,	WHITE PINE CAPITAL (WPIN4378)	030	BONT	В	875	27.95	n/a	1-Sep	43.75	5.0
AO,	WHITE PINE CAPITAL (WPIN4389)	030	BONT	В	250	27.95	n/a	1-Sep	12.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2152)	030	BONT	В	200	27.95	n/a	1-Sep	10.00	5.0
AO,	WHITE PINE CAPITAL (WPIN2456)	030	BONT	В	300	27.95	n/a	1-Sep	15.00	5.0
AO,	WHITE PINE CAPITAL (WPIN2115)	030	BONT	В	150	27.95	n/a	1-Sep	7.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2613)	030	BONT	В	550	27.95	n/a	1-Sep	27.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2722)	030	BONT	В	550	27.95	n/a	1-Sep	27.50	5.0
AO,	WHITE PINE CAPITAL (WPIN4346)	030	BONT	В	300	27.95	n/a	1-Sep	15.00	5.0
AO,	WHITE PINE CAPITAL (WPIN4351)	030	BONT	В	550	27.95	n/a	1-Sep	27.50	5.0
AO,	WHITE PINE CAPITAL (WPIN4386)	030	BONT	В	250	27.95	n/a	1-Sep	12.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2691)	030	BONT	В	200	27.95	n/a	1-Sep	10.00	5.0
AO,	WHITE PINE CAPITAL (WPIN2598)	030	BONT	В	150	27.95	n/a	1-Sep	7.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2861)	030	BONT	В	50	27.95	n/a	1-Sep	2.50	5.0
AO,	WHITE PINE CAPITAL (WPIN4420)	030	BONT	В	100	27.95	n/a	1-Sep	5.00	5.0
AO,	WHITE PINE CAPITAL (WPIN2069)	030	BONT	В	150	27.95	n/a	1-Sep	7.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2597)	030	BONT	В	150	27.95	n/a	1-Sep	7.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2860)	030	BONT	В	50	27.95	n/a	1-Sep	2.50	5.0
AO,	WHITE PINE CAPITAL (WPIN4325)	030	BONT	В	550	27.95	n/a	1-Sep	27.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2584)	030	BONT	В	400	27.95	n/a	1-Sep	20.00	5.0
AO,	WHITE PINE CAPITAL (WPIN4385)	030	BONT	В	300	27.95	n/a	1-Sep	15.00	5.0
AO,	WHITE PINE CAPITAL (WPIN4409)	030	BONT	В	25	27.95	n/a	1-Sep	1.25	5.0
AO,	WHITE PINE CAPITAL (WPIN2596)	030	BONT	В	150	27.95	n/a	1-Sep	7.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2859)	030	BONT	В	50	27.95	n/a	1-Sep	2.50	5.0
AO,	WHITE PINE CAPITAL (WPIN4408)	030	BONT	В	100	27.95	n/a	1-Sep	5.00	5.0

Туре	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	WHITE PINE CAPITAL (WPIN2032)	030	BONT	В	750	27.95	n/a	1-Sep	37.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2594)	030	BONT	В	150	27.95	n/a	1-Sep	7.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2858)	030	BONT	В	50	27.95	n/a	1-Sep	2.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2704)	030	BONT	В	150	27.95	n/a	1-Sep	7.50	5.0
AO,	WHITE PINE CAPITAL (WPIN2583)	030	BONT	В	150	27.95	n/a	1-Sep	7.50	5.0
AO,	WHITE PINE CAPITAL (WPIN4383)	030	BONT	В	400	27.95	n/a	1-Sep	20.00	5.0
AO,	WHITE PINE CAPITAL (WPIN4407)	030	BONT	В	100	27.95	n/a	1-Sep	5.00	5.0
AO,	WHITE PINE CAPITAL (WPIN2582)	030	BONT	В	150	27.95	n/a	1-Sep	7.50	5.0
AO,CXL	GALLEON MGMT LP (GALLMONTGO)	033	CAM	В	10,000	44.37	n/a	n/a	500.00	5.0
AO,	GALLEON MGMT LP (GALLMONTGO)	033	CAM	В	10,000	44.37	n/a	n/a	500.00	5.0
AO,CXL	EQUINOX (EQUI187)	032	CAR	S	100	19.00	n/a	n/a	4.00	4.0
AO,	EQUINOX (EQUI187)	032	CAR	S	400	19.00	n/a	n/a	16.00	4.0
AO,CXL	EQUINOX (EQUI136)	032	CAR	S	400	19.00	n/a	n/a	16.00	4.0
AO,	EQUINOX (EQUI136)	032	CAR	S	100	19.00	n/a	n/a	4.00	4.0
AO,	MKT MAKER (MKT MAKER)	099	CLMS	S	79	29.95	n/a	13-Sep	0.00	0.0
AO,CXL	UBS O'CONNOR/TOM LEDDY (UBSTGSPB)	035	COST	S	10,000	49.12	n/a	31-Aug	500.00	5.0
AO,CXL	UBS O'CONNOR/TOM LEDDY (UBSTGSPB)	035	COST	S	10,000	49.10	n/a	31-Aug	500.00	5.0
AO,	UBS O'CONNOR/TOM LEDDY (UBSTGSPB)	035	COST	S	10,000	49.12	n/a	31-Aug	400.00	4.0
AO,	UBS O'CONNOR/TOM LEDDY (UBSTGSPB)	035	COST	S	10,000	49.10	n/a	31-Aug	400.00	4.0
AO,	MKT MAKER (MKT MAKER)	099	CSCO	s	23	22.72	n/a	22-Mar	0.00	0.0
AO,	MANNING & NAPIER (MANNMU2020)	034	CSCO	S	40,600	22.72	n/a	22-Mar	1,624.00	4.0
AO,	GALLEON MGMT LP (GALLMAIN)	033	FRX	S	30,000	49.57	18-Jul	15-Sep	1,500.00	5.0
AO,CXL	LISTED ERR (LISTED ERR)	037	GHDX	S	2	14.02	n/a	n/a	0.00	0.0

Туре	Account	RR #	RR # Symbol B/S		Shares	Price	MRI R	esearch	Comm.	¢/Shr.
AO,	AELTUS/ING IVESTMENT MGT (AELT31633)	237	LLL	В	14	75.79	n/a	n/a	0.56	4.0
AO,CXL	AELTUS/ING IVESTMENT MGT (AELT31632)	237	LLL	В	14	75.79	n/a	n/a	0.56	4.0
AO,CXL	MS PRINCIPAL STRATEGIES (MSPSMS)	040	NSS	S	70,000	64.30	n/a	n/a	3,500.00	5.0
AO,	MS PRINCIPAL STRATEGIES (MSPSMS)	040	NSS	S	70,000	64.30	n/a	n/a	2,800.00	4.0
70 CVI	CALLEGY MONTH LD (CALLMONTOO)	022	OVV	F.	16 000	45.00	- / -	- / -	000 00	F 0
AO, CXL	GALLEON MGMT LP (GALLMONTGO) GALLEON MGMT LP (GALLMONTGO)	033	OXY	B B	16,000 16,000	45.80 45.80	n/a n/a	n/a n/a	800.00	5.0 5.0

## <u>Legend:</u>

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.