

Daily Compliance Activity Report Trade Date: 06/30/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

					10		MALLIGI	TRUDE VIO	
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BALYASNY ASSET MGMT	044	AB	S	15,000	55.11	9-Apr	23-Jun	750.00	5.0
PATTEN & PATTEN	067	ADM	В	1,000	33.71	29-Apr	23-Jun	50.00	5.0
MCCARTAN	028	AEO	В	400	13.86	6-Mar	6-Jun	0.00	0.0
DAVIS SELECT ADV	040	AES	В	2,700	18.80	n/a	n/a	81.00	3.0
SAC TRADING HOWARD	038	AMZN	В	5,000	74.00	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	ANF	В	20,000	64.68	10-Apr	6-Jun	800.00	4.0
KORMAN	003	ANN	SS	2,000	24.25	14-Mar	22-May	0.00	0.0
COUGAR TRADING	044	ARO	В	10,000	31.37	28-Apr	6-Jun	500.00	5.0
COUGAR TRADING	044	ARO	SS	20,000	32.07	28-Apr	6-Jun	1,000.00	5.0
FRONTPOINT CHRIS C	041	A	В	14,300	35.68	n/a	n/a	572.00	4.0
PATTEN & PATTEN	067	BAC	S	600	24.32	n/a	16-Jun	30.00	5.0
CLOVIS CAPITAL	012	BBBY	SS	100,000	28.67	n/a	n/a	5,000.00	5.0
PATTEN & PATTEN	067	BBY	В	100	40.04	n/a	27-Jul	5.00	5.0
BUCK CAPITAL MGMT	001	BIG	SS	10,000	31.57	29-May	5–Jun	400.00	4.0
COUGAR TRADING	044	BKC	S	5,000	26.86	1-May	2-May	250.00	5.0

Account	RR #	Symbol	B/S	}	Shares	Price	MRI	Research	Comm.	\$/Shr.
SCOPUS FUND	041	BWA		SS	5,000	44.50	10-Jun	10-Jun	250.00	5.0
BUCK CAPITAL MGMT	001	СВК	В		10,000	6.82	n/a	27-Jun	400.00	4.0
BECK MACK & OLIVER LLC	045	CBT		S	21,400	24.54	n/a	23-Jun	1,070.00	5.0
MFP INVESTORS	032	CBT	В		7,500	24.65	n/a	23-Jun	375.00	5.0
BUCK	015	CHTT	С		1,500	66.65	2-Jun	24-Jun	0.00	0.0
KORMAN	003	CHUX		SS	4,000	10.49	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	CLX		S	20,000	52.30	n/a	24-Jun	1,000.00	5.0
BUCK	015	СМА		SS	1,000	25.95	n/a	n/a	0.00	0.0
BUCK	015	COF		SS	1,000	38.60	n/a	n/a	0.00	0.0
COUGAR TRADING	044	CPKI	В		10,000	11.40	n/a	9-May	500.00	5.0
MERRILL ASSET	032	CRR		S	10,800	58.97	5-Jun	18-Jun	540.00	5.0
CHILTON CAPITAL MGMT.	036	С		SS 2	2,000,000	16.74	19-Jun	19-Jun	80,000.00	4.0
OGORMAN	046	С		S	1,000	16.71	19-Jun	19-Jun	0.00	0.0
PATTEN & PATTEN	067	DE	В		40	71.92	n/a	23-May	2.00	5.0
SLS CAPITAL LLC	224	DIS		SS	202,400	31.33	n/a	n/a	8,096.00	4.0
CRAMER ROSENTHAL/SCOTT	012	DLTR		S	6,800	32.70	n/a	5-Jun	272.00	4.0
	BUCK CAPITAL MGMT BECK MACK & OLIVER LLC MFP INVESTORS BUCK KORMAN BULL PATH CAPITAL BUCK COUGAR TRADING MERRILL ASSET CHILTON CAPITAL MGMT. OGORMAN PATTEN & PATTEN SLS CAPITAL LLC	SCOPUS FUND BUCK CAPITAL MGMT BECK MACK & OLIVER LLC MFP INVESTORS BUCK COUGAN COUGAN TRADING MERRILL ASSET CHILTON CAPITAL MGMT. OGORMAN OGORMAN O46 PATTEN & PATTEN O67 SLS CAPITAL LLC O01 O01 O01 O01 O01 O01 O01 O0	SCOPUS FUND BUCK CAPITAL MGMT BECK MACK & OLIVER LLC MFP INVESTORS BUCK CHTT KORMAN BULL PATH CAPITAL BUCK O15 CMA BUCK O15 CMA BUCK O15 CMA BUCK O15 CMA CLX BUCK O15 CMA COF COUGAR TRADING MERRILL ASSET CHILTON CAPITAL MGMT. O36 CGORMAN O46 C PATTEN & PATTEN O67 DE SLS CAPITAL LLC 224 DIS	BUCK CAPITAL MGMT 001 CBK B BECK MACK & OLIVER LLC 045 CBT MFP INVESTORS 032 CBT B BUCK 015 CHTT C KORMAN 003 CHUX BULL PATH CAPITAL 044 CLX BUCK 015 CMA BUCK 015 CMA BUCK 015 CMA COUGAR TRADING 044 CPKI B MERRILL ASSET 032 CRR CHILTON CAPITAL MGMT. 036 C COGORMAN 046 C PATTEN & PATTEN 067 DE B SLS CAPITAL LLC 224 DIS	SCOPUS FUND 041 BWA SS BUCK CAPITAL MGMT 001 CBK B BECK MACK & OLIVER LLC 045 CBT S MFP INVESTORS 032 CBT B BUCK 015 CHTT C KORMAN 003 CHUX S BUCK 015 CMA SS BUCK 015 CMA SS BUCK 015 COF SS COUGAR TRADING 044 CPKI B MERRILL ASSET 032 CRR S CHILTON CAPITAL MGMT. 036 C SS CHILTON CAPITAL MGMT. 036 C S PATTEN & PATTEN 067 DE B SLS CAPITAL LLC 224 DIS SS	SCOPUS FUND 041 BWA SS 5,000 BUCK CAPITAL MGMT 001 CBK B 10,000 BECK MACK & OLIVER LLC 045 CBT S 21,400 MFP INVESTORS 032 CBT B 7,500 BUCK 015 CHT C 1,500 KORMAN 003 CHUX SS 4,000 BUCK 015 CMA SS 1,000 BUCK 015 CMA SS 1,000 BUCK 015 COF SS 1,000 COUGAR TRADING 044 CPKI B 10,000 MERRILL ASSET 032 CRR S 10,800 CHILTON CAPITAL MGMT 036 C SS 2,000,000 OGORMAN 046 C S 1,000 PATTEN & PATTEN 067 DE B 40 SLS CAPITAL LLC 224 DIS SS 202,400	BUCK CAPITAL MGMT 001 CBK B 10,000 6.82 BECK MACK & OLIVER LLC 045 CBT S 21,400 24.54 MFP INVESTORS 032 CBT B 7,500 24.65 BUCK 015 CHTT C 1,500 66.65 KORMAN 003 CHUX SS 4,000 10.49 BULL PATH CAPITAL 044 CLX S 20,000 52.30 BUCK 015 CMA SS 1,000 25.95 BUCK 015 COF SS 1,000 38.60 COUGAR TRADING 044 CPKI B 10,000 11.40 MERRILL ASSET 032 CRR S 10,800 58.97 CHILTON CAPITAL MGMT. 036 C SS 2,000,000 16.74 OGORMAN 046 C S 1,000 16.71 PATTEN & PATTEN 067 DE B 40 71.92 SLS CAPITAL LLC 224 DIS SS 202,400 31.33	SCOPUS FUND 041 BWA SS 5,000 44.50 10-Jun BUCK CAPITAL MGMT 001 CBK B 10,000 6.82 n/a BECK MACK & OLIVER LLC 045 CBT S 21,400 24.54 n/a MFP INVESTORS 032 CBT B 7,500 24.65 n/a BUCK 015 CHTT C 1,500 66.65 2-Jun KORMAN 003 CHUX SS 4,000 10.49 n/a BULL PATH CAPITAL 044 CLX S 20,000 52.30 n/a BUCK 015 CMA SS 1,000 25.95 n/a BUCK 015 COF SS 1,000 38.60 n/a COUGAR TRADING 044 CPKI B 10,000 11.40 n/a MERRILL ASSET 032 CRR S 10,800 58.97 5-Jun CHILTON CAPITAL MGMT. 036 C SS 2,000,000 16.74 19-Jun CHILTON CAPITAL MGMT. 036 C SS 2,000,000 16.74 19-Jun CHILTON CAPITAL MGMT. 036 C SS 2,000,000 16.74 19-Jun COGRMAN 046 C S 1,000 16.71 19-Jun PATTEN & PATTEN 067 DE B 40 71.92 n/a SLS CAPITAL LLC 224 DIS SS 202,400 31.33 n/a	SCOPUS FUND 041 BWA SS 5,000 44.50 10-Jun 10-Jun BUCK CAPITAL MGMT 001 CBK B 10,000 6.82 n/a 27-Jun BECK MACK & OLIVER LLC 045 CBT S 21,400 24.54 n/a 23-Jun MFP INVESTORS 032 CBT B 7,500 24.65 n/a 23-Jun BUCK 015 CHTT C 1,500 66.65 2-Jun 24-Jun BUCK 015 CHUX SS 4,000 10.49 n/a n/a n/a BULL PATH CAPITAL 044 CLX S 20,000 52.30 n/a 24-Jun BUCK 015 CMA SS 1,000 25.95 n/a n/a 24-Jun BUCK 015 CMA SS 1,000 25.95 n/a n/a 24-Jun BUCK 015 COF SS 1,000 38.60 n/a n/a n/a CUGGAR TRADING 044 CPKI B 10,000 11.40 n/a 9-May MERRILL ASSET 032 CRR S 10,800 58.97 5-Jun 18-Jun CHILTON CAPITAL MGMT. 036 C SS 2,000,000 16.74 19-Jun 19-Jun OGORMAN 046 C S 1,000 16.71 19-Jun 19-Jun OGORMAN 046 C S 1,000 16.71 19-Jun 19-Jun PATTEN & 224 DIS SS 202,400 31.33 n/a n/a	SCOPUS FUND 041 ENA SS 5,000 44.50 10-Jun 10-Jun 250.00 BUCK CAPITAL MGMT 001 CBK B 10,000 6.82 n/a 27-Jun 400.00 BECK MACK & OLIVER LLC 045 CBT S 21,400 24.54 n/a 23-Jun 375.00 BUCK 015 CHTT C 1,500 66.65 2-Jun 24-Jun 375.00 BUCK 015 CHTT C 1,500 56.65 2-Jun 24-Jun 0.00 KORMAN 003 CHUX SS 4,000 10.49 n/a n/a 0.00 BULL PATH CAPITAL 044 CLX S 20,000 52.30 n/a 24-Jun 1,000.00 BUCK 015 CMA SS 1,000 52.30 n/a 24-Jun 1,000.00 BUCK 015 CMA SS 1,000 25.95 n/a n/a 0.00 BUCK 015 COF SS 1,000 38.60 n/a n/a 0.00 COUGAR TRADING 044 CPKT B 10,000 11.40 n/a 9-May 500.00 MERRILL ASSET 032 CRR S 10,800 58.97 5-Jun 18-Jun 540.00 CHILTON CAPITAL MGMT. 036 C SS 2,000,000 16.74 19-Jun 19-Jun 80,000.00 CORMAN 046 C S 1,000 16.71 19-Jun 19-Jun 0.00 PATTEN & PATTEN 067 DE B 40 71.92 n/a 23-May 2.00 SLS CAPITAL LLC 224 DIS SS 202,400 31.33 n/a n/a 8,096.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	
GENERAL ELECTIC CO	033	DOV	Č	20,000	48.72	n/a	n/a	400.00	2.0	
ENTRUST CAPITAL	040	DPS	В	165,900	21.06	n/a	n/a	8,295.00	5.0	
BUCK	015	DVN	\$	s 700	119.15	7-May	18-Jun	0.00	0.0	
CLOVIS CAPITAL	012	DV	В	50,000	54.74	n/a	n/a	2,500.00	5.0	
PATTEN & PATTEN	067	EPP	Š	S 100	136.80	n/a	n/a	5.00	5.0	
PATTEN & PATTEN	067	EWZ	В	200	90.18	n/a	n/a	10.00	5.0	
THOMAS WEISEL ASSET MGMT	035	EXLS	В	1,750	14.26	n/a	n/a	52.50	3.0	
BAYPOINT TRADING/XYLEM	091	EXP	В	45,000	25.51	n/a	n/a	2,250.00	5.0	
POST ROAD CAP	045	FDO	В	30,000	20.07	30-Jun	30-Jun	900.00	3.0	
JP MORGAN VENTURES	046	FL	В	25,000	12.56	2-Apr	23-May	1,250.00	5.0	
BUCK CAPITAL MGMT	001	GCO	В	20,000	31.06	n/a	10-Jan	800.00	4.0	
JPMSI/TOM LEDDY	035	GCO	S	10,000	31.13	n/a	10-Jan	500.00	5.0	
NOMURA CAPITAL	045	GETIL	В	100	22.00	n/a	n/a	5.00	5.0	
EGS ASSOCIATES LP	091	GIL	В	3,500	25.52	30-Apr	27-Jun	210.00	6.0	
HEALY CIRCLE	044	GT	В	15,000	17.66	n/a	n/a	750.00	5.0	
CLOVIS CAPITAL	012	HAS	S	ss 85,000	35.62	n/a	n/a	4,250.00	5.0	

Account	RR #	Symbol	B/	s	Shares	Price	MRI	Research	Comm.	;/Shr.
CROWLEY	061	HCGI		S	5,000	0.80	n/a	n/a	0.00	0.0
SAC TRADING HOWARD	038	HIBB	В		25,000	21.48	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	IAT	В		100	26.65	n/a	n/a	5.00	5.0
WYCKOFF	013	JADE		S	1,500	2.47	n/a	n/a	0.00	0.0
LEDDY	035	JADE		S	260	2.80	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCG	В		5 , 000	31.98	n/a	30-May	250.00	5.0
COUGAR TRADING	044	JCG		SS	10,000	32.14	n/a	30-May	500.00	5.0
FRIESS MGMT	033	JCG	В		293,600	32.49	n/a	30-May	11,744.00	4.0
JP MORGAN VENTURES	046	JOSB		S	25,000	27.26	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	JWN	В		5,000	31.19	16-May	6-Jun	250.00	5.0
COUGAR TRADING	044	JWN		SS	5,000	30.83	16-May	6-Jun	250.00	5.0
WOOSTER CAPITAL	035	JWN	В		50,000	31.23	16-May	6-Jun	2,500.00	5.0
COUGAR TRADING	044	KSS	В		5 , 000	40.36	n/a	6-Jun	250.00	5.0
COUGAR TRADING	044	KSS		SS	5,000	40.58	n/a	6-Jun	250.00	5.0
MCCARTAN	028	KSS	В		200	40.28	n/a	6-Jun	0.00	0.0
FRONTPOINT CHRIS C	041	LAMR		SS	3,400	36.71	n/a	n/a	136.00	4.0
HART	056	LEH		S	34	20.13	9-Jun	17-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	LL	В		40,000	13.27	n/a	n/a	1,600.00	4.0
ROYCE TRADING	216	LNY		S	15,000	18.00	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
BAYPOINT TRADING/XYLEM	091	LPX	В	75,000	8.67	7-Apr	7-May	3,750.00	5.0
BUCK	015	LVS	SS	1,000	47.95	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	MBI	S	100	3.77	n/a	n/a	5.00	5.0
BUCK CAPITAL MGMT	001	MCD	В	50,000	57.30	9-Jun	9-Jun	2,000.00	4.0
BARR	096	MENT	В	1,200	15.92	n/a	n/a	0.00	0.0
BARR	096	MER	SS	400	32.36	24-Jun	24-Jun	0.00	0.0
NOMURA CAPITAL	045	MGI	В	50,000	0.91	n/a	n/a	1,000.00	2.0
PATTEN & PATTEN	067	MMM	S	200	68.91	n/a	25-Jun	10.00	5.0
FRONTPOINT CHRIS C	041	MSM	В	3,600	44.03	n/a	n/a	144.00	4.0
HUNTER GLOBAL INVESTOR	067	MSM	В	100,000	44.77	n/a	n/a	5,000.00	5.0
BUCK	015	MS	С	2,000	36.30	n/a	19-Jun	0.00	0.0
LUCHANSKY1	036	MTWHG	В	5	1.75	n/a	n/a	0.00	0.0
MCCARTAN	028	MTW	В	200	32.77	n/a	23-May	0.00	0.0
LEDDY	035	MTW	В	300	32.76	n/a	23-May	0.00	0.0
MS PRINCIPAL STRATEGIES	041	NAV	SS	25 , 000	64.15	n/a	n/a	1,250.00	5.0
WHITE PINE CAPITAL	030	NWY	S	4,450	9.47	n/a	22-May	222.50	5.0

Account	RR #	Symbol	в/	s	Shares	Price	MRI	Research	Comm.	¢/Shr.
MATRIX ASSET ADV.	036	OMC	В		4,500	44.44	n/a	n/a	180.00	4.0
FRONTPOINT CHRIS C	041	OSK		S	21,600	20.41	n/a	n/a	864.00	4.0
BARR	096	PAAS	В		400	34.62	n/a	n/a	0.00	0.0
BAYPOINT TRADING/XYLEM	091	PCL	В		20,000	43.14	29-Apr	29-Apr	1,000.00	5.0
FRONTPOINT CHRIS C	041	PNC		SS	2,000	57.90	n/a	n/a	80.00	4.0
WAGONHOUND/NIC	048	PNRA	В		3,069	47.00	n/a	30-Jun	122.76	4.0
US BANCORP	030	PPL		S	47,526	52.61	n/a	n/a	2,376.30	5.0
MS PRINCIPAL STRATEGIES	041	RDC	В		110,000	46.94	n/a	n/a	5,500.00	5.0
STERLING JOHNSTON	035	RDEN	В		20,000	15.25	10-Jun	24-Jun	800.00	4.0
ROYCE TRADING	216	SFY		S	5,000	65.40	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SKS	В		5,000	11.18	n/a	n/a	250.00	5.0
KORMAN	003	SKS		SS	5,000	11.12	n/a	n/a	0.00	0.0
BUCK	015	SLB	В		200	107.48	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	SLGN	В		7,150	51.07	n/a	n/a	286.00	4.0
BARR	096	SLV	В		100	172.17	n/a	n/a	0.00	0.0
MKT MAKER	099	SSCC		S	200	4.01	7-Apr	26-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
CASTLE ARK	031	SWN	S	101,270	46.84	20-Mar	18-Jun	6,076.20	6.0
THE BOSTON COMPANY	401	SWN	В	20,630	47.71	20-Mar	18-Jun	825.20	4.0
CHILTON CAPITAL MGMT.	036	SWY	S	110,200	28.55	n/a	n/a	4,408.00	4.0
LEDDY	035	TEX	В	200	51.84	n/a	3-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	THI	SS	40,000	28.82	n/a	24-Apr	1,600.00	4.0
RHO CAPITAL MGMT	041	TLT	В	700	92.61	n/a	n/a	28.00	4.0
LEDDY	035	TROW	С	500	56.37	n/a	23-Jun	0.00	0.0
EPOCH INV MGMT	030	TUP	S	13,700	33.12	n/a	n/a	548.00	4.0
PATTEN & PATTEN	067	TXN	S	200	28.23	n/a	n/a	10.00	5.0
MCCARTAN	028	UA	В	200	25.78	n/a	n/a	0.00	0.0
ROYCE TRADING	216	UNT	S	33,380	82.39	20-Mar	18-Jun	1,669.00	5.0
COUGAR TRADING	044	URBN	SS	5,000	31.26	15-May	15-May	250.00	5.0
KORMAN	003	URBN	SS	7,000	31.71	15-May	15-May	0.00	0.0
JP MORGAN VENTURES	046	VZ	С	50,000	34.99	n/a	4-Jan	2,500.00	5.0
ADALY INV MGMT	067	WBC	S	8,800	46.56	30-Apr	11-Jun	440.00	5.0
HUNTER GLOBAL INVESTOR	067	WDR	В	100,000	35.42	22-Apr	23-Jun	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.
BUCK CAPITAL MGMT	001	WSM	SS	50,000	20.08	n/a	n/a	2,000.00	4.0
DANIELS	N25	WYN	В	200	18.17	n/a	n/a	0.00	0.0
SCOPUS FUND	041	WY	В	23,300	51.10	7-Apr	27-May	1,165.00	5.0
OGORMAN	046	хоно	S	10,000	0.40	n/a	n/a	0.00	0.0
US BANCORP	030	XOM	S	26,934	87.56	n/a	n/a	1,346.70	5.0

TOTAL (Trade Date): 198,312.16

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
AO,CXL	06/27	RHO CAPITAL MGMT (RHOCBSDRAK)	041	ENER	S	1,300	74.27	n/a	n/a	39.00	3.0
AO,CRT	06/27	RHO CAPITAL MGMT (RHOCBSDRAK)	041	ENER	S	1,300	74.27	n/a	n/a	52.00	4.0
AO,	06/27	NOMURA CAPITAL (NOMUNCRAM)	045	GETIL	В	519	20.61	n/a	n/a	25.95	5.0
CRT	06/30	COUGAR TRADING (COUGPB MS)	044	JCG	В	5,000	31.98	n/a	30-May	250.00	5.0
AO,CXL	06/27	RHO CAPITAL MGMT (RHOCBSDRAK)	041	MRVL	S	2,700	17.24	n/a	n/a	81.00	3.0
AO,CRT	06/27	RHO CAPITAL MGMT (RHOCBSDRAK)	041	MRVL	S	2,700	17.24	n/a	n/a	108.00	4.0
TOTAL	(AO,	CXL, CRT):								315.95	

TOTAL (RUN DATE) / NFS:	198,628.11
TOTAL (AO, CXL, CRT):	315.95
TOTAL (Trade Date):	198,312.16

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION