



## RR Commissions Summary [ Ravi Sadarangani ]

Trade Date: 01/28/2014

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	01/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AAD CAPITAL	084	0	450	450	450	0	0	0	450	450	450	690	65
ALLIANZ/NICOLAS APPEGATE	084	0	0	0	0	0	0	0	0	0	0	39,266	0
BTIG/MONT PELERIN	084	0	0	0	0	0	0	0	0	0	0	4,183	0
CAPITAL GUARDIAN /INTN'L	084	9,109	29,717	29,717	29,717	0	0	0	29,717	29,717	29,717	2,069	1437
CAPITAL WORLD	084	0	10,500	10,500	10,500	0	0	0	10,500	10,500	10,500	188,046	6
COLUMBUS CAP MGMT LLC	084	0	0	0	0	0	0	0	0	0	0	6,800	0
CONIFER/GROW	084	0	4,000	4,000	4,000	0	0	0	4,000	4,000	4,000	1,720	233
EAM INVESTORS	084	0	0	0	0	0	0	0	0	0	0	500	0
EMPYREAN CAPITAL	084	0	0	0	0	0	0	0	0	0	0	44,550	0
FEINBERG INVESTMENTS	084	0	0	0	0	0	0	0	0	0	0	12,420	0
FOCUSED INVESTORS	084	0	0	0	0	0	0	0	0	0	0	28,852	0
HAHN CAPITAL MANAGEMENT	084	0	0	0	0	0	0	0	0	0	0	28,024	0
HOTCHKIS & WILEY	084	0	4,000	4,000	4,000	0	0	0	4,000	4,000	4,000	78,014	5
IVORY CAPITAL	084	0	7,962	7,962	7,962	0	0	0	7,962	7,962	7,962	91,270	9
JSRG CAPITAL PARTNERS LP	084	0	900	900	900	0	0	0	900	900	900	9,025	10
KAYNE ANDERSON ADV	084	0	8,400	8,400	8,400	0	0	0	8,400	8,400	8,400	25,165	33
LOMBARDIA CAP MGMT	084	0	0	0	0	0	0	0	0	0	0	36,412	0
NWQ INVESTMENT MGMT CO	084	0	5,496	5,496	5,496	0	0	0	5,496	5,496	5,496	57,978	9
OSTERWEIS CAPITAL	084	0	0	0	0	4,000	4,000	4,000	4,000	4,000	4,000	23,659	17
PARKWEST	084	4,000	5,372	5,372	5,372	0	0	0	5,372	5,372	5,372	39,999	13
PARNASSUS INVESTMENTS	084	0	0	0	0	0	0	0	0	0	0	2,500	0
PCO CAPITAL MGMT.	084	0	750	750	750	0	0	0	750	750	750	2,550	29
PEAK INV/OAKMONT/CYPRESS	084	0	0	0	0	0	0	0	0	0	0	107,840	0
PIMCO	084	0	4,900	4,900	4,900	0	0	0	4,900	4,900	4,900	29,666	17
PRIMECAP MGMT	084	1,020	8,396	8,396	8,396	0	0	0	8,396	8,396	8,396	121,383	7
ROBERTSON STEVENS	084	0	0	0	0	11,500	11,500	11,500	11,500	11,500	11,500	89,702	13
STANDARD PACIFIC CAPITAL	084	0	21,788	21,788	21,788	0	0	0	21,788	21,788	21,788	221,564	10
TRUST CO L.A.	084	0	0	0	0	0	0	0	0	0	0	14,959	0
TWO OCEAN CAPITAL	084	0	4,695	4,695	4,695	0	0	0	4,695	4,695	4,695	0	0
WALL STREET CAPITAL	084	0	3,607	3,607	3,607	0	0	0	3,607	3,607	3,607	11,454	31
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	0	0	0	0	0	0	0	0	0	0	1,000	0
<b>TOTALS:</b>		<b>14,129</b>	<b>120,934</b>	<b>120,934</b>	<b>120,934</b>	<b>15,500</b>	<b>15,500</b>	<b>15,500</b>	<b>136,434</b>	<b>136,434</b>	<b>136,434</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	01/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
CAPITAL RESEARCH GROUP	84	0	28,198	28,198	28,198	0	0	0	28,198	28,198	28,198	225,589	0
<b>TOTALS:</b>		<b>0</b>	<b>28,198</b>	<b>28,198</b>	<b>28,198</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>28,198</b>	<b>28,198</b>	<b>28,198</b>		

