



# Daily Compliance Activity Report

Trade Date: 07/27/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EPOCH INV MGMT	030	AAPL	B	200	392.75	n/a	n/a	6.00	3.0
LATEFF MGMT	035	AA	B	2,000	14.94	n/a	n/a	200.00	10.0
EPOCH INV MGMT	030	ABT	B	1,250	51.83	n/a	n/a	37.50	3.0
EPOCH INV MGMT	030	AET	B	1,650	41.69	n/a	n/a	49.50	3.0
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>ALV</b>	<b>B</b>	<b>1000</b>	<b>66.22</b>	<b>n/a</b>	<b>22-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>ALV</b>	<b>S</b>	<b>1000</b>	<b>66.00</b>	<b>n/a</b>	<b>22-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>S</b>	<b>20,000</b>	<b>25.90</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>3.0</b>
<b>f</b> ANGELOS EFSTATHIOU	<b>FID</b>	<b>APC</b>	<b>S</b>	<b>100</b>	<b>83.30</b>	<b>n/a</b>	<b>26-Jul</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028	ARG	B	5,000	68.45	n/a	24-Jun	150.00	3.0
TALKOT CAPITAL LLC	084	ASH	B	10,000	62.06	n/a	13-Jul	400.00	4.0
CONIFER/ISF	025	ATVI	S	20,000	12.00	n/a	n/a	800.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>AXE</b>	<b>B</b>	<b>10,000</b>	<b>64.12</b>	<b>n/a</b>	<b>26-Jul</b>	<b>non-brg</b>	<b>1.0</b>
EPOCH INV MGMT	030	AXP	B	1,050	50.51	n/a	27-Jul	31.50	3.0
<b>f</b> KATHERINE L O'GORMAN	<b>FID</b>	<b>BAC</b>	<b>S</b>	<b>1500</b>	<b>9.70</b>	<b>n/a</b>	<b>19-Jul</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	BA	B	1,200	70.78	n/a	22-Jul	36.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LATEFF MGMT	035	BA	B	500	70.87	n/a	22-Jul	75.00	15.0
TUDOR INVESTORS	028	BA	S	5,000	72.26	n/a	22-Jul	150.00	3.0
SAC CHICAGO ANDREW	031	BBY	B	50,000	28.78	n/a	n/a	1,750.00	3.5
EPOCH INV MGMT	030	BEN	B	800	127.03	n/a	2-Mar	24.00	3.0
NEWLAND CAPITAL MGT	025	BGC	B	50,000	41.03	n/a	n/a	2,000.00	4.0
f HARALAMBOS EFSTATHI	FID	BGU	B	200	78.75	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGU	B	3000	82.63	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BID	B	10,000	43.40	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	BJRI	S	50	47.91	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	BWA	S	200	8.10	n/a	14-Jul	0.00	0.0
TUDOR INVESTORS	028	BWA	B	5,000	72.24	n/a	14-Jul	150.00	3.0
ß BUCK CAPITAL MGMT		BWLD	SS	15,000	66.62	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		BWLD	SS	5,000	65.94	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BYD	B	10,400	9.52	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BYD	B	89,600	9.51	n/a	n/a	non-brg	3.0
Õ Nilsa Vazquez		BZH	B	1000	3.01	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	CAM	B	1,000	50.60	n/a	n/a	30.00	3.0
SURVEYOR CAPITAL	041	CAR	SS	12,812	15.57	n/a	n/a	384.36	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	CL	B	400	85.55	n/a	20-Jun	12.00	3.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>CMG</b>	<b>S</b>	<b>50</b>	<b>324.14</b>	<b>n/a</b>	<b>20-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>COF</b>	<b>S</b>	<b>300</b>	<b>48.05</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
SENATOR INVESTMENT GROUP	036	COP	SS	95,800	73.34	n/a	n/a	2,874.00	3.0
SURVEYOR CAPITAL	041	CRDN	B	200	32.00	n/a	n/a	6.00	3.0
<b>f ANGELOS EFSTATHIOU</b>	<b>FID</b>	<b>CSCO</b>	<b>S</b>	<b>200</b>	<b>15.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>CSCO</b>	<b>S</b>	<b>1400</b>	<b>15.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	CSL	B	15,000	44.12	n/a	n/a	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CSTR</b>	<b>B</b>	<b>10,000</b>	<b>50.78</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>5000</b>	<b>11.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>1000</b>	<b>10.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	CVS	B	1,250	36.05	n/a	n/a	150.00	15.0
MERRILL ASSET/BLACKROCK	297	CYT	B	28,000	57.02	n/a	n/a	1,120.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DAL</b>	<b>B</b>	<b>200,000</b>	<b>7.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>DAN</b>	<b>S</b>	<b>600</b>	<b>17.09</b>	<b>n/a</b>	<b>14-Jul</b>	<b>0.00</b>	<b>0.0</b>
IMPALA ASSET MMT	012	DD	B	48,519	52.46	n/a	15-Jul	2,425.95	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	DHR	B	50,000	49.33	n/a	n/a	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		DNKN	B	30,000	19.00	n/a	n/a	non-brg	0.0
⚡ EDWARD W WHEELER	FID	DXPE	S	5000	25.14	n/a	n/a	0.00	0.0
ENTRUST CAPITAL	040	EAT	B	14,600	24.72	n/a	11-Jul	730.00	5.0
LATEFF MGMT	035	ENR	S	125	81.45	n/a	27-Jul	37.50	30.0
⚡ BUCK CAPITAL MGMT		ESV	B	10,000	52.93	n/a	n/a	non-brg	4.0
AVESTA CAP	229	EV	C	50,000	26.75	n/a	n/a	1,500.00	3.0
EPOCH INV MGMT	030	FIS	B	1,600	30.06	n/a	n/a	48.00	3.0
EGS ASSOCIATES LP	091	FL	B	4,500	22.14	n/a	2-Jun	270.00	6.0
⚡ BUCK CAPITAL MGMT		FRAN	S	10,400	27.03	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GIII	B	10,000	30.94	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		GIII	B	20,000	30.28	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	GLW	B	1,500	16.05	27-Jul	27-Jul	150.00	10.0
OZ MGMT	040	GM	C	58,700	28.08	n/a	14-Jul	1,761.00	3.0
⚡ BUCK CAPITAL MGMT		GPS	SS	20,000	19.39	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HANS	B	30,000	76.06	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CR INTRINIC INVESTMENT	044	HD	B	25,000	35.78	n/a	18-May	875.00	3.5
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>HOTT</b>	<b>S</b>	<b>2000</b>	<b>7.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>HOT</b>	<b>S</b>	<b>500</b>	<b>55.97</b>	<b>n/a</b>	<b>13-Jun</b>	<b>0.00</b>	<b>0.0</b>
BARROW HANLEY MEWHINNEY	031	HPQ	B	108,800	36.63	n/a	n/a	3,808.00	3.5
SURVEYOR CAPITAL	041	HTZ	B	27,006	14.70	n/a	n/a	810.18	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>100,000</b>	<b>18.61</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>25,000</b>	<b>18.32</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>50,000</b>	<b>18.75</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>75,000</b>	<b>18.77</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>
CSFB GLOBAL PROPRIETARY	041	IP	S	100,000	29.87	n/a	19-Jul	5,000.00	5.0
BESSIMER TRUST	085	IR	S	267,000	37.94	n/a	21-Jul	10,680.00	4.0
TALPION LLC	040	ITT	B	5,000	54.90	n/a	4-May	200.00	4.0
PRIMECAP MGMT	084	JNJ	B	40,000	65.29	n/a	n/a	1,600.00	4.0
<b>f ANGELO CHRISTOS EFST</b>	<b>FID</b>	<b>JNPR</b>	<b>B</b>	<b>100</b>	<b>24.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>JNPR</b>	<b>B</b>	<b>1000</b>	<b>24.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MFP INVESTORS	085	JNS	B	35,100	8.46	n/a	n/a	1,404.00	4.0
BASIX	033	JNY	B	44,700	12.59	n/a	18-Jul	1,341.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		JWN	SS	20,000	49.98	n/a	8-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	SS	20,000	49.98	n/a	8-Jul	non-brg	4.0
LATEFF MGMT	035	KFT	B	1,000	34.56	n/a	n/a	100.00	10.0
BALYASNY ASSET MGMT	044	KMT	C	25,000	41.00	n/a	29-Apr	1,250.00	5.0
f MARC PAUL LUCHANSKY	FID	KMT	S	1000	41.88	n/a	29-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		KSS	S	40,000	55.39	n/a	8-Jul	non-brg	4.0
NEUBERGER BERMAN	036	LDR	S	2,888	57.59	n/a	n/a	115.52	4.0
LEVIN CAPITAL STRATEGIES	012	LEA	S	9,000	49.76	n/a	14-Jul	270.00	3.0
f HARALAMBOS EFSTATHI	FID	LLNW	S	2000	4.66	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LTD	S	20,000	37.57	n/a	n/a	non-brg	4.0
PRIMECAP MGMT	084	LTD	B	12,000	37.84	n/a	n/a	480.00	4.0
ß BUCK CAPITAL MGMT		LVS	B	100,000	47.54	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	B	35,000	47.98	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	B	45,000	48.44	n/a	13-Jun	non-brg	1.0
BARROW HANLEY MEWHINNEY	031	MDT	B	108,000	35.99	n/a	n/a	3,780.00	3.5
KENNEDY CAPITAL	046	MED	B	25,000	20.00	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		MSMSBCD	C	792,797	98.05	n/a	n/a	non-brg	0.4
ß BUCK CAPITAL MGMT		MSMSBCD	SS	792,797	98.05	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		MSMSBCM	C	6,424,731	98.00	n/a	n/a	non-brg	0.4
B BUCK CAPITAL MGMT		MSMSBCM	SS	6,424,731	98.00	n/a	n/a	non-brg	0.0
BASIX	033	MTW	B	130,000	15.14	n/a	3-May	3,900.00	3.0
CSFB GLOBAL PROPRIETARY	041	MWV	B	50,000	32.63	n/a	27-Jul	2,500.00	5.0
CR INTRINIC INVESTMENT	044	M	B	30,000	29.30	n/a	8-Jul	1,050.00	3.5
f MARC PAUL LUCHANSKY	FID	M	S	500	29.46	n/a	8-Jul	0.00	0.0
SAC CHICAGO ANDREW	031	M	B	20,000	29.12	n/a	8-Jul	700.00	3.5
CAMBIAR CAP	046	NAV	B	175,000	51.66	n/a	8-Jun	7,000.00	4.0
f MARC PAUL LUCHANSKY	FID	NDN	S	1000	19.84	n/a	15-Jul	0.00	0.0
f GAJANTHAN BALAKANES	FID	NM	S	94	4.63	n/a	n/a	0.00	0.0
LATEFF MGMT	035	NUE	B	500	39.08	n/a	n/a	50.00	10.0
EPOCH INV MGMT	030	NYX	B	2,450	34.25	n/a	n/a	73.50	3.0
EPOCH INV MGMT	030	OXY	B	550	101.43	n/a	n/a	16.50	3.0
IMPALA ASSET MMT	012	OXY	B	20,000	101.22	n/a	n/a	1,000.00	5.0
f KAREN F LEDDY	FID	PBR	S	400	34.09	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PCAR	B	15,000	44.71	27-Jul	27-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		PCAR	B	5,000	43.66	27-Jul	27-Jul	non-brg	1.0
LATEFF MGMT	035	PKG	B	1,250	26.86	n/a	19-Jul	125.00	10.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>PLCE</b>	<b>B</b>	<b>20,000</b>	<b>47.97</b>	<b>n/a</b>	<b>8-Jun</b>	<b>non-brg</b>	<b>4.0</b>
EPOCH INV MGMT	030	PNC	B	850	54.58	n/a	n/a	25.50	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>PNRA</b>	<b>C</b>	<b>20,000</b>	<b>120.86</b>	<b>n/a</b>	<b>26-Jul</b>	<b>non-brg</b>	<b>2.5</b>
IMPALA ASSET MMT	012	PPG	B	25,000	85.45	n/a	21-Jul	1,250.00	5.0
EPOCH INV MGMT	030	PRU	B	1,350	58.32	n/a	n/a	40.50	3.0
EPOCH INV MGMT	030	PX	B	950	101.82	n/a	27-Jul	28.50	3.0
PRIMECAP MGMT	084	RIMM	B	76,100	26.23	n/a	n/a	3,044.00	4.0
<b>f</b> JOHN MICHAEL ZOLIDIS	<b>FID</b>	<b>RSH</b>	<b>S</b>	<b>15</b>	<b>1.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028	RTN	B	3,000	45.16	n/a	19-Jul	90.00	3.0
<b>f</b> EDWARD W WHEELER	<b>FID</b>	<b>RUSHA</b>	<b>S</b>	<b>4865</b>	<b>20.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>RUSHA</b>	<b>S</b>	<b>500</b>	<b>20.81</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> EDWARD W WHEELER	<b>FID</b>	<b>R</b>	<b>S</b>	<b>130</b>	<b>4.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>SFLY</b>	<b>B</b>	<b>10,000</b>	<b>59.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>SFLY</b>	<b>B</b>	<b>50,000</b>	<b>59.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>SIAL</b>	<b>S</b>	<b>1000</b>	<b>69.08</b>	<b>n/a</b>	<b>26-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>SIG</b>	<b>B</b>	<b>30,000</b>	<b>43.99</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>



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B BUCK CAPITAL MGMT		SIX	SS	20,000	35.79	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	B	10	0.75	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SODA	B	20,000	64.55	n/a	n/a	non-brg	2.5
LATEFF MGMT	035	SPLS	B	500	15.96	n/a	n/a	50.00	10.0
PZENA INV ADV	044	SPLS	B	200,000	15.99	n/a	n/a	7,000.09	3.5
SAC TRADING TOM LEDDY	035	SPLS	SS	30,000	16.06	n/a	n/a	1,050.00	3.5
f HARALAMBOS EFSTATHI	FID	S	S	3000	5.17	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	TGT	SS	30,000	50.57	n/a	7-Jul	1,050.00	3.5
B BUCK CAPITAL MGMT		TIF	S	39,800	78.99	n/a	n/a	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	TRN	B	85,775	32.21	n/a	n/a	2,573.25	3.0
TUDOR INVESTORS	028	TRN	S	5,000	33.50	n/a	n/a	150.00	3.0
IMPALA ASSET MMT	012	UPS	B	100,000	70.22	n/a	n/a	5,000.00	5.0
B BUCK CAPITAL MGMT		VECO	SS	20,000	40.32	n/a	n/a	non-brg	4.0
f ANTHONY SUTERA	FID	WCRX	B	1000	21.38	n/a	25-Jul	0.00	0.0
CR INTRINIC INVESTMENT	044	WMT	B	20,000	53.66	n/a	18-May	700.00	3.5
f JOSEPH CIRO AMATURO	FID	WNC	B	5000	7.74	n/a	n/a	0.00	0.0
f KATHERINE L O'GORMAN	FID	WRC	S	400	54.10	n/a	18-Jul	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TUDOR INVESTORS	028	WTW	B	10,000	76.34	n/a	n/a	300.00	3.0
f EDWARD W WHEELER	FID	XIDE	B	5000	7.27	n/a	n/a	0.00	0.0
f JOEL GIFFORD TISS	FID	XPO	B	20000	3.80	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID	XPO	B	800	3.76	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	YUM	B	50,020	52.97	n/a	14-Jul	1,500.60	3.0
f EDWARD W WHEELER	FID	ZINC	S	15000	11.29	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								93,539.45	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/26	CONIFER/ISF	025	ATVI	S	30,000	12.17	n/a	n/a	1200.00 4.0
AO,CRT	07/26	CONIFER/ISF	025	ATVI	S	30,000	12.17	n/a	n/a	1200.00 4.0
AO,CRT	07/26	MFP INVESTORS	085	JNS	B	6,000	8.85	n/a	n/a	240.00 4.0
AO,CXL	07/26	MFP INVESTORS	085	JNS	B	6,000	8.85	n/a	n/a	300.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-60.00</b>

TOTAL (Trade Date) :	93,539.45
TOTAL (AO, CXL, CRT) :	-60.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>93,479.45</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO	07/26	BUCK CAPITAL MGMT	LVS	B	20,000	48.49	n/a 13-Jun	200.00 1.0

MRI Securities with Trade Activity on 27-Jul.

GLW, PCAR

MRI Securities without Trade Activity on 27-Jul.

ITW, CBT, RKT

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 1%

YTD = 3%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**