



Daily Compliance Activity Report

Trade Date: 07/31/2006

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 1

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	B	15,000	67.19	n/a	n/a	non-brg	1.5
EOS PARTNERS	040	ADM	B	8,000	43.59	n/a	27-Aug	400.00	5.0
BALYASNY ASSET MGMT	044	AEOS	SS	5,000	32.91	n/a	n/a	250.00	5.0
COUGAR TRADING	044	AEOS	S	5,000	32.57	n/a	n/a	250.00	5.0
COUGAR TRADING	044	ANN	B	15,000	41.21	6-Jul	30-Jan	750.00	5.0
J L F ASSET MGMT	039	ARO	SS	85,400	27.92	7-Jul	4-Sep	3,416.00	4.0
&BUCK	015	ASCA	B	1,250	18.82	n/a	6-Aug	0.00	0.0
HIGHBRIDGE CAPITAL	038	ATK	B	10,500	80.06	n/a	n/a	525.00	5.0
PRINCIPLE CAPITAL	040	AVP	SS	100,000	29.59	1-May	3-Aug	4,000.00	4.0
B BUCK CAPITAL MGMT		BEBE	B	10,000	15.44	n/a	n/a	non-brg	5.0
GRUBER MACBAIN	033	BEN	SS	15,000	91.01	9-Mar	10-Sep	600.00	4.0
AAD CAPITAL	099	BLI	SS	15,500	16.22	n/a	n/a	310.00	2.0
B BUCK CAPITAL MGMT		BLI	C	40,000	16.16	n/a	n/a	non-brg	5.0
EOS PARTNERS	040	BMY	S	11,600	24.00	n/a	n/a	580.00	5.0
MERRILL ASSET/BLACKROCK	032	CAT	S	189,400	70.86	n/a	5-Aug	9,470.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET/BLACKROCK	032	CAT	S	9,000	70.86	n/a	5-Aug	450.00	5.0
CASTLEROCK	040	CBE	SS	15,500	85.70	20-Apr	27-Jul	620.00	4.0
COUGAR TRADING	044	CBK	B	10,000	28.23	1-Jun	30-Jan	500.00	5.0
&BUCK	015	CBSS	S	500	59.14	n/a	n/a	0.00	0.0
&LEDDY	035	CCMP	S	400	29.63	5-May	23-Jul	0.00	0.0
JP MORGAN VENTURES	046	CHS	C	22,000	22.68	26-Jun	30-Jan	1,100.00	5.0
B BUCK CAPITAL MGMT		CMG/B	B	5,000	50.12	n/a	n/a	non-brg	2.0
VICTORIA INVESTORS LP	060	CMG	B	5,000	51.92	9-May	23-Jul	250.00	5.0
PILOT ROCK	028	COH	S	100,000	28.53	n/a	29-Jul	4,000.00	4.0
HIGHBRIDGE CAPITAL	038	COL	B	14,500	53.37	n/a	n/a	725.00	5.0
B BUCK CAPITAL MGMT		COST	C	70,000	52.43	n/a	27-Jul	non-brg	5.0
EOS PARTNERS	040	CPO	B	10,700	33.02	n/a	n/a	535.00	5.0
FRIESS MGMT	042	CSCO	S	1,355,763	17.95	22-Mar	22-Mar	61,009.34	4.5
J L F ASSET MGMT	039	CTRN	B	54,400	29.59	n/a	n/a	2,176.00	4.0
HAYGROUND COE	039	CVS	S	131,553	32.50	n/a	n/a	6,577.65	5.0
HAYGROUND COE	039	CVS	S	18,447	32.50	n/a	n/a	922.35	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	CVS	SS	70,000	39.13	n/a	n/a	2,800.00	4.0
RAINIER INV MGMT	035	CX	S	4,550	28.53	n/a	n/a	227.50	5.0
RAINIER INV MGMT	035	CX	S	68,250	28.53	n/a	n/a	3,412.50	5.0
RIDGECRST PARTNERS	035	DBRN	C	25,000	21.37	n/a	n/a	1,250.00	5.0
EOS PARTNERS	040	DF	SS	2,100	37.10	n/a	n/a	105.00	5.0
MKT MAKER	099	DISH	B	100	34.77	16-Jun	4-Jan	0.00	0.0
B BUCK CAPITAL MGMT		DLTR	C	30,000	26.71	21-Feb	26-Aug	non-brg	5.0
BULL PATH CAPITAL	044	DTV	S	12,500	17.19	16-Jun	4-Jan	625.00	5.0
CAPITAL GROWTH MGMT.	241	DVN	B	106,000	64.75	n/a	28-Aug	5,300.00	5.0
BALYASNY ASSET MGMT	044	EL	SS	10,000	37.25	n/a	14-Aug	500.00	5.0
MKT MAKER	099	EQIX	S	500	51.00	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	ESV	C	15,000	45.76	n/a	n/a	750.00	5.0
&LEDDY	035	FBR	S	1,400	9.07	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	032	FCN	B	200	26.35	n/a	n/a	10.00	5.0
MERRILL ASSET/BLACKROCK	032	FCN	B	41,200	26.35	n/a	n/a	2,060.00	5.0
B BUCK CAPITAL MGMT		FDO	C	50,000	22.80	n/a	4-Sep	non-brg	5.0
STADIA CAPITAL	035	FD	B	74,000	35.13	n/a	n/a	2,960.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MATTHES CAPITAL MGMT	033	FRED	B	50,000	11.99	n/a	27-Mar	2,000.00	4.0
EOS PARTNERS	040	F	B	9,000	6.69	n/a	2-Sep	450.00	5.0
AVESTA CAP	229	GE	S	100,000	32.75	n/a	n/a	5,000.00	5.0
PLATTE RIVER CAPITAL	035	GE	B	7,500	32.78	n/a	n/a	300.00	4.0
B BUCK CAPITAL MGMT		GIII	B	10,400	10.37	n/a	n/a	non-brg	5.0
PHILADELPHIA FINANCIAL	031	GROW	SS	17,300	19.72	n/a	n/a	865.00	5.0
VICTORIA INVESTORS LP	060	GSF	B	5,000	54.86	n/a	n/a	250.00	5.0
EOS PARTNERS	040	GT	B	11,300	11.01	n/a	n/a	565.00	5.0
EOS PARTNERS	040	HIG	S	1,500	84.90	n/a	n/a	75.00	5.0
&BUCK	015	ILSE	B	1,000	17.39	n/a	n/a	0.00	0.0
NEW JERSEY DIV OF INV.	220	JCI	B	45,000	76.40	5-Jul	2-Sep	2,250.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	5,000	24.63	n/a	n/a	non-brg	5.0
BALYASNY ASSET MGMT	044	JWN	B	5,000	34.33	24-Feb	4-Sep	250.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	10,000	33.97	24-Feb	4-Sep	400.00	4.0
J L F ASSET MGMT	039	JWN	B	57,500	34.65	24-Feb	4-Sep	2,300.00	4.0
BARON ASSET MGMT*	012	LM	B	15,000	83.96	31-Jul	9-Sep	750.00	5.0
B BUCK CAPITAL MGMT		LM	S	17,300	83.97	31-Jul	9-Sep	non-brg	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	LM	S	20,000	82.61	31-Jul	9-Sep	1,000.00	5.0
&LEDDY	035	LM	S	150	83.52	31-Jul	9-Sep	0.00	0.0
&BUCK	015	LVS	B	400	62.21	n/a	26-Aug	0.00	0.0
EOS PARTNERS	040	MET	S	5,800	51.75	n/a	n/a	290.00	5.0
EOS PARTNERS	040	MS	B	5,300	66.23	22-Jun	23-Jul	265.00	5.0
ß BUCK CAPITAL MGMT		MVRX	C	40,000	160.88	n/a	n/a	non-brg	16.1
GALLEON MGMT LP	033	NBR	C	15,000	34.99	n/a	n/a	750.00	5.0
&LUCHANSKY	036	NDN	C	300	10.34	n/a	6-Aug	0.00	0.0
EOS PARTNERS	040	NITE	B	27,200	16.52	n/a	n/a	1,360.00	5.0
BUCK CAPITAL MGMT	001	ODP	B	20,000	34.79	27-Jun	27-Jul	800.00	4.0
HIGHBRIDGE CAPITAL	038	OSK	B	16,000	43.20	n/a	n/a	800.00	5.0
DALTON GREINER	012	OXM	S	17,600	35.42	n/a	n/a	880.00	5.0
DALTON GREINER	012	OXM	S	5,600	35.42	n/a	n/a	280.00	5.0
ß BUCK CAPITAL MGMT		PERY	S	1,400	25.85	n/a	n/a	non-brg	2.0
BALYASNY ASSET MGMT	044	PG	S	10,000	56.29	25-Apr	3-Sep	500.00	5.0
J L F ASSET MGMT	039	PLCE	B	31,000	55.92	1-May	4-Sep	1,240.00	4.0
EOS PARTNERS	040	PRU	S	2,500	78.38	n/a	n/a	125.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		PSS	C	50,000	24.71	n/a	n/a	non-brg	5.0
SYSTEMATIC FINANCIAL MGT	035	PSS	B	3,800	25.83	n/a	n/a	152.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PSS	B	63,400	25.83	n/a	n/a	2,536.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PSS	B	7,800	25.83	n/a	n/a	312.00	4.0
DELTEC ASSET MGMT.	040	PWAV	S	4,500	7.86	n/a	n/a	180.00	4.0
DELTEC ASSET MGMT.	040	PWAV	S	7,500	7.86	n/a	n/a	300.00	4.0
GALLEON MGMT LP	033	RDC	C	25,000	33.75	n/a	n/a	1,250.00	5.0
STRAUSS ASSET MGMT	040	RDC	B	10,000	33.87	n/a	n/a	500.00	5.0
GALLEON MGMT LP	033	RIG	C	10,000	77.23	n/a	n/a	500.00	5.0
MKT MAKER	099	ROST	S	126	25.10	n/a	n/a	0.00	0.0
MKT MAKER	099	SBUX	S	300	33.94	4-May	21-Jul	0.00	0.0
B BUCK CAPITAL MGMT		SHOO	B	15,000	33.53	n/a	n/a	non-brg	5.0
JANA CAPITAL	044	STA	B	153,100	45.80	n/a	n/a	7,655.00	5.0
PLATTE RIVER CAPITAL	035	S	B	4,000	20.24	n/a	n/a	160.00	4.0
BUCK CAPITAL MGMT	001	TGT	B	30,000	46.42	n/a	3-Sep	1,200.00	4.0
B BUCK CAPITAL MGMT		TGT	B	5,000	45.96	n/a	3-Sep	non-brg	1.5
ANDERSON HOAGLAND & CO	046	TIBX	S	10,000	8.00	3-Apr	3-Apr	400.00	4.0
J L F ASSET MGMT	039	TIF	SS	25,900	31.63	n/a	30-Jan	1,036.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		TJX	C	50,000	24.61	n/a	4-Sep	non-brg 5.0
CLEARBRIDGE	245	TWX	S	167,100	16.42	n/a	n/a	8,355.00 5.0
BUCK CAPITAL MGMT		URBN	SS	115,000	14.54	21-Jul	30-Jan	non-brg 5.0
PLATTE RIVER CAPITAL	035	VZ	B	2,500	33.93	1-Aug	4-Jan	100.00 4.0
&LEDDY	035	WDR	B	700	21.37	25-Jul	9-Sep	0.00 0.0
MKT MAKER	099	WFMI	S	500	51.71	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		WFR	C	55,000	30.52	n/a	n/a	non-brg 5.0
BALYASNY ASSET MGMT	044	WMT	S	15,000	44.88	20-Mar	13-Aug	750.00 5.0
STRAUSS ASSET MGMT	040	WNR	S	15,000	22.82	n/a	n/a	750.00 5.0
AAD CAPITAL	099	WRNC	S	43,400	17.73	n/a	n/a	868.00 2.0
XYLEM GLOBAL	024	WY	SS	50,000	58.63	16-Jun	3-Aug	2,500.00 5.0
ROXBURY CAPITAL MGMT	035	ZQK	B	3,320	12.87	n/a	4-Sep	132.80 4.0
ROXBURY CAPITAL MGMT	035	ZQK	B	6,410	12.87	n/a	4-Sep	256.40 4.0
ROXBURY CAPITAL MGMT	035	ZQK	B	90,270	12.87	n/a	4-Sep	3,610.80 4.0
TOTAL (Trade Date):							176,665.34	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	07/28	MKT MAKER	044	AEOS	B	100	32.50	n/a	n/a	0.00	0.0
AO,CRT	07/28	SEGALAS GROUP	037	APD	S	50	63.52	n/a	24-Aug	20.00	0.0
AO,CXL	07/28	SEGALAS GROUP	037	APD	S	50	63.52	n/a	24-Aug	10.00	20.0
AO,CXL	07/28	SEGALAS GROUP	037	BER	S	200	35.41	n/a	n/a	40.00	20.0
AO,CRT	07/28	SEGALAS GROUP	037	BER	S	200	35.41	n/a	n/a	20.00	0.0
AO,	07/28	BUCK CAPITAL MGMT	001	CBK	B	10,000	27.89	1-Jun	30-Jan	400.00	4.0
AO,CRT	07/28	SEGALAS GROUP	037	COP	S	88	67.98	n/a	n/a	20.00	0.0
AO,CXL	07/28	SEGALAS GROUP	037	COP	S	88	67.98	n/a	n/a	17.60	20.0
AO,	07/27	GENERAL ELECTIC CO	033	DELL	S	393	21.59	n/a	n/a	17.69	4.5
AO,	07/27	GENERAL ELECTIC CO	033	DELL	S	27,107	21.59	n/a	n/a	1219.82	4.5
AO,CRT	07/28	MKT MAKER	097	DISH	SS	100	35.00	16-Jun	4-Jan	0.00	0.0
AO,CRT	07/28	SEGALAS GROUP	037	DVN	S	200	63.02	n/a	28-Aug	20.00	0.0
AO,CXL	07/28	SEGALAS GROUP	037	DVN	S	200	63.02	n/a	28-Aug	40.00	20.0
AO,CRT	07/28	MKT MAKER	099	GROW	SS	50	19.61	n/a	n/a	0.00	0.0
AO,CRT	07/28	PHILADELPHIA FINANCIAL	031	GROW	SS	2,100	19.61	n/a	n/a	105.00	5.0
AO,CXL	07/28	PHILADELPHIA FINANCIAL	031	GROW	SS	2,150	19.61	n/a	n/a	107.50	5.0
AO,CRT	07/28	EMINENCE CAPITAL	038	IWM	S	500,000	69.44	n/a	n/a	10000.00	2.0
AO,CXL	07/28	EMINENCE CAPITAL	038	IWN	S	500,000	69.44	n/a	n/a	10000.00	2.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/28	MKT MAKER	099	PSUN	B	400	17.02	30-Jun	6-Feb	0.00 0.0
AO,CRT	07/28	MKT MAKER	099	SBUX	B	300	33.92	4-May	21-Jul	0.00 0.0
AO,CRT	07/28	MKT MAKER	099	SONC	S	50	19.36	n/a	n/a	0.00 0.0
AO,CRT	07/28	MKT MAKER	099	SSCC	B	6,126	10.40	18-May	17-Feb	0.00 0.0
AO,	07/28	J L F ASSET MGMT	039	TJX	B	65,000	24.49	n/a	4-Sep	2600.00 4.0

TOTAL (AO, CXL, CRT) : **4,207.41**

TOTAL (Trade Date): 176,665.34
TOTAL (AO, CXL, CRT): 4,207.41

TOTAL (RUN DATE) / NFS: **180,872.75**

MRI Securities with Trade Activity on 31-Jul.

LM

MRI Securities without Trade Activity on 31-Jul.

RCL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 8%

YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)