



Daily Compliance Activity Report

Trade Date: 12/06/2006

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	AEOS	SS	52,000	48.28	15-Nov	5-Dec	2,080.00	4.0
ANTEUS ASSOC.BAEL	065	AFL	S	108	44.54	n/a	n/a	5.40	5.0
ANTEUS ASSOC.BAEL	065	AGR	S	4	19.84	n/a	n/a	0.20	5.0
ANTEUS ASSOC.BAEL	065	AIG	S	69	70.00	n/a	n/a	3.45	5.0
MCCARTAN	028	AIQ	B	200	6.18	n/a	n/a	0.00	0.0
COMMERCE BANK	046	ALL	S	9,270	64.28	n/a	n/a	556.20	6.0
ANTEUS ASSOC.BAEL	065	ALU	S	26	13.32	n/a	n/a	1.30	5.0
KERN	050	AMCC	B	1,000	3.61	n/a	n/a	0.00	0.0
DELAWARE MANAGEMENT	243	ARRS	S	44,100	12.18	n/a	n/a	1,764.00	4.0
DEML	243	ARRS	S	34,000	12.18	n/a	n/a	1,360.00	4.0
ANTEUS ASSOC.BAEL	065	ATI	S	206	96.07	n/a	n/a	10.30	5.0
ANTEUS ASSOC.BAEL	065	AV	S	11	12.85	n/a	22-Mar	0.55	5.0
GOLDMAN SACHS ASSET MGMT	044	AW	B	97,000	12.88	n/a	n/a	1,940.00	2.0
ANTEUS ASSOC.BAEL	065	AXA	S	71	38.76	n/a	n/a	3.55	5.0
ANTEUS ASSOC.BAEL	065	A	S	30	33.19	n/a	n/a	1.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RIVER RUN MGMT	031	BBI	SS	55,000	5.41	n/a	n/a	2,200.00	4.0
SCOPUS FUND	039	BKS	S	23,100	41.90	n/a	n/a	1,155.00	5.0
ANTEUS ASSOC.BAEL	065	BMV	S	870	25.16	n/a	n/a	43.50	5.0
ANTEUS ASSOC.BAEL	065	BOBE	S	275	33.99	n/a	n/a	13.75	5.0
ANTEUS ASSOC.BAEL	065	BRL	S	464	51.45	15-Aug	4-Dec	23.20	5.0
BALYASNY ASSET MGMT	044	BSC	B	3,000	157.76	6-Dec	6-Dec	150.00	5.0
ANTEUS ASSOC.BAEL	065	BSX	S	199	16.98	n/a	22-Apr	9.95	5.0
GALLEON MGMT LP	033	BSX	S	24,000	17.10	n/a	22-Apr	1,200.00	5.0
ANTEUS ASSOC.BAEL	065	BUD	S	538	48.01	n/a	n/a	26.90	5.0
ZOLIDIS	011	BZH	B	100	48.02	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	CBRL	B	30,000	42.89	n/a	n/a	1,500.00	5.0
ANTEUS ASSOC.BAEL	065	CB	S	116	51.87	n/a	n/a	5.80	5.0
SAB CAPITAL	034	CFC	S	75,000	41.52	n/a	n/a	3,750.00	5.0
CARDINAL CAPITAL	044	CHE	B	11,200	36.94	n/a	n/a	560.00	5.0
ANTEUS ASSOC.BAEL	065	CMCSA	S	13	41.93	27-Jul	29-Nov	0.65	5.0
BARROW HANLEY MEWHINNEY	031	CMCSA	B	425,000	41.97	27-Jul	29-Nov	17,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ANTEUS ASSOC.BAEL	065	CMVT	S	70	19.98	n/a	n/a	3.50	5.0
SAILFISH	037	COL	SS	10,000	61.21	n/a	n/a	400.00	4.0
RIVER RUN MGMT	031	CORE	S	3,100	33.52	n/a	n/a	155.00	5.0
ANTEUS ASSOC.BAEL	065	CPB	S	687	38.74	n/a	n/a	34.35	5.0
ANTEUS ASSOC.BAEL	065	CSCO	S	153	27.07	n/a	22-Mar	7.65	5.0
INDEPENDENCE INV	039	CSX	S	53,100	36.57	n/a	n/a	2,124.00	4.0
PATTEN & PATTEN	067	CTXS	S	350	28.51	n/a	n/a	17.50	5.0
BEAR STEARNS ASSET MGMT.	033	CVS	B	40,000	29.69	n/a	n/a	1,600.00	4.0
CASTLEROCK	040	CVS	B	51,100	29.72	n/a	n/a	2,044.00	4.0
KEY ASSET MGMT/VICTORY	028	CVS	B	18,725	30.01	n/a	n/a	749.00	4.0
ANTEUS ASSOC.BAEL	065	DELL	S	1,653	26.80	n/a	n/a	82.65	5.0
ANTEUS ASSOC.BAEL	065	DGX	S	489	53.35	n/a	n/a	24.45	5.0
ANTEUS ASSOC.BAEL	065	DISCA	S	44	15.17	n/a	n/a	2.20	5.0
ANTEUS ASSOC.BAEL	065	DLTR	S	446	30.54	21-Nov	1-Dec	22.30	5.0
ANTEUS ASSOC.BAEL	065	DOV	S	256	49.79	n/a	n/a	12.80	5.0
PATTEN & PATTEN	067	DOW	S	200	40.28	n/a	26-Oct	10.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET	032	DRC	S	21,800	24.12	n/a	n/a	1,090.00	5.0
BUCK	015	DUK	B	1,000	32.53	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	D	S	40	81.25	n/a	n/a	2.00	5.0
ANTEUS ASSOC.BAEL	065	EL	S	853	41.13	21-Sep	5-Dec	42.65	5.0
MAGNETAR FINANCIAL	247	EVC	S	26,000	7.49	n/a	n/a	1,040.00	4.0
PATTEN & PATTEN	067	EWJ	B	1,000	14.07	n/a	n/a	50.00	5.0
PATTEN & PATTEN	067	EWS	B	1,000	11.15	n/a	n/a	50.00	5.0
PATTEN & PATTEN	067	EWY	B	100	49.60	n/a	n/a	5.00	5.0
ANTEUS ASSOC.BAEL	065	FDO	S	223	28.92	3-Nov	1-Dec	11.15	5.0
STADIA CAPITAL	035	FD	S	145,000	41.25	9-Nov	5-Dec	5,800.00	4.0
ANTEUS ASSOC.BAEL	065	FHN	S	69	40.36	n/a	n/a	3.45	5.0
PATTEN & PATTEN	067	FPL	S	75	54.11	n/a	n/a	3.75	5.0
MAGNETAR FINANCIAL	247	F	SS	259,100	7.52	n/a	n/a	7,773.00	3.0
ANTEUS ASSOC.BAEL	065	GE	S	487	35.39	n/a	n/a	24.35	5.0
ANTEUS ASSOC.BAEL	065	GLW	S	268	22.20	n/a	25-Oct	13.40	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ANTEUS ASSOC.BAEL	065	HBC	S	39	90.73	n/a	n/a	1.95	5.0
PATTEN & PATTEN	067	HON	S	175	42.88	19-Oct	19-Oct	8.75	5.0
ZOLIDIS	011	HOV	B	130	38.33	n/a	n/a	0.00	0.0
ANTEUS ASSOC.BAEL	065	HPQ	S	157	40.19	n/a	n/a	7.85	5.0
ANTEUS ASSOC.BAEL	065	IBM	S	95	94.71	n/a	n/a	4.75	5.0
ANTEUS ASSOC.BAEL	065	IFF	S	393	48.38	10-Nov	22-Nov	19.65	5.0
ANTEUS ASSOC.BAEL	065	INTU	S	288	31.17	n/a	n/a	14.40	5.0
ANTEUS ASSOC.BAEL	065	IPG	S	209	12.29	n/a	n/a	10.45	5.0
XYLEM GLOBAL	024	IP	SS	50,000	33.74	n/a	3-Nov	2,500.00	5.0
RIVER RUN MGMT	031	ITCD	B	15,300	2.48	n/a	n/a	459.00	3.0
ANTEUS ASSOC.BAEL	065	JNJ	S	233	65.86	n/a	22-Apr	11.65	5.0
THE JAY GOLDMAN	034	JWN	SS	12,500	49.51	3-Nov	1-Dec	500.00	4.0
ZOLIDIS	011	KBH	B	100	53.40	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	KBSTY	B	2,000	16.80	n/a	n/a	100.00	5.0
ANTEUS ASSOC.BAEL	065	KEY	S	104	36.04	n/a	n/a	5.20	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ANTEUS ASSOC.BAEL	065	KO	S	136	48.20	n/a	n/a	6.80	5.0
THE JAY GOLDMAN	034	KSS	B	10,000	70.89	10-Nov	1-Dec	400.00	4.0
ANTEUS ASSOC.BAEL	065	LBTYA	S	18	27.80	n/a	n/a	0.90	5.0
ANTEUS ASSOC.BAEL	065	LBTYK	S	18	27.04	n/a	n/a	0.90	5.0
ANTEUS ASSOC.BAEL	065	LCAPA	S	22	88.00	n/a	n/a	1.10	5.0
ANTEUS ASSOC.BAEL	065	LEG	S	204	24.09	n/a	n/a	10.20	5.0
ANTEUS ASSOC.BAEL	065	LINTA	S	110	22.88	n/a	n/a	5.50	5.0
ANTEUS ASSOC.BAEL	065	LIZ	S	843	43.53	n/a	27-Oct	42.15	5.0
ANTEUS ASSOC.BAEL	065	LLY	S	363	54.39	n/a	n/a	18.15	5.0
MORRISON & FRASIER	040	LNCR	B	49,000	38.48	2-Nov	27-Nov	2,450.00	5.0
KEY ASSET MGMT/VICTORY	028	LNC	S	6,600	63.63	n/a	n/a	264.00	4.0
ANTEUS ASSOC.BAEL	065	LTD	S	220	31.38	1-Dec	1-Dec	11.00	5.0
PATTEN & PATTEN	067	MAR	S	100	46.52	n/a	n/a	5.00	5.0
ANTEUS ASSOC.BAEL	065	MCD	S	269	42.63	12-Oct	6-Dec	13.45	5.0
FORD FDN.	032	MDT	B	50,000	53.54	n/a	22-Apr	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ANTEUS ASSOC.BAEL	065	MHS	S	92	51.88	n/a	n/a	4.60	5.0
WHITE PINE CAPITAL	030	MHS	B	9,350	51.44	n/a	n/a	467.50	5.0
ANTEUS ASSOC.BAEL	065	MMM	S	226	79.96	20-Oct	20-Oct	11.30	5.0
ANTEUS ASSOC.BAEL	065	MNI	S	34	42.56	n/a	n/a	1.70	5.0
PRIME CAPITAL	048	MOT	B	4,500	21.95	n/a	n/a	225.00	5.0
ANTEUS ASSOC.BAEL	065	MO	S	264	84.01	n/a	n/a	13.20	5.0
ANTEUS ASSOC.BAEL	065	MRK	S	761	44.30	n/a	n/a	38.05	5.0
ANTEUS ASSOC.BAEL	065	MS	S	275	78.49	6-Dec	6-Dec	13.75	5.0
PATTEN & PATTEN	067	MTU	B	750	12.85	n/a	n/a	37.50	5.0
PATTEN & PATTEN	067	NISTY	S	420	47.00	n/a	n/a	21.00	5.0
PATTEN & PATTEN	067	NMR	B	550	18.09	n/a	n/a	27.50	5.0
KEEFE BRUYETTE WOODS A	031	NTRS	B	10,000	57.50	n/a	13-Nov	500.00	5.0
PRIME CAPITAL	048	NT	B	4,500	21.99	n/a	22-Mar	225.00	5.0
ANTEUS ASSOC.BAEL	065	NWL	S	114	29.26	n/a	n/a	5.70	5.0
SENECA CA	033	OPSW	B	20,300	8.85	n/a	n/a	913.50	4.5
ANTEUS ASSOC.BAEL	065	PBY	S	189	13.76	n/a	n/a	9.45	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	PEP	S	125	63.52	n/a	n/a	6.25	5.0
ANTEUS ASSOC.BAEL	065	PFE	S	124	24.73	n/a	31-Mar	6.20	5.0
KEY ASSET MGMT/VICTORY	028	PFE	S	8,365	24.73	n/a	31-Mar	334.60	4.0
PRIME CAPITAL	048	PFE	S	400,000	24.77	n/a	31-Mar	16,000.00	4.0
ANTEUS ASSOC.BAEL	065	QCOM	S	345	38.85	n/a	n/a	0.00	0.0
ANTEUS ASSOC.BAEL	065	RF	S	197	36.68	n/a	n/a	9.85	5.0
LISTED ERR	099	RL	S	400	80.85	9-Nov	9-Nov	0.00	0.0
ANTEUS ASSOC.BAEL	065	SCHW	S	1,608	19.26	n/a	n/a	80.40	5.0
ANTEUS ASSOC.BAEL	065	SGP	S	55	22.66	n/a	n/a	2.75	5.0
MFP INVESTORS	032	SKS	S	5,000	17.50	n/a	n/a	250.00	5.0
ROSENBERG	034	SPC	B	800	9.44	n/a	15-Nov	0.00	0.0
IRONBOUND CAPITAL	012	SSCC	SS	64,100	11.09	26-Oct	27-Oct	3,205.00	5.0
MKT MAKER	099	SSCC	SS	51	11.09	26-Oct	27-Oct	0.00	0.0
MORRISON & FRASIER	040	SSI	S	16,800	31.57	n/a	n/a	840.00	5.0
ANTEUS ASSOC.BAEL	065	STA	S	31	52.05	n/a	n/a	1.55	5.0
ANTEUS ASSOC.BAEL	065	STI	S	412	83.19	n/a	n/a	20.60	5.0
FORD FDN.	032	STJ	S	46,200	38.01	n/a	n/a	2,310.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	SVVS	B	25,000	32.62	n/a	n/a	1,250.00	5.0
DELTEC ASSET MGMT.	040	TBL	S	7,000	32.69	15-Nov	15-Nov	350.00	5.0
ANTEUS ASSOC.BAEL	065	TDY	S	59	41.98	n/a	n/a	2.95	5.0
ANTEUS ASSOC.BAEL	065	TFSM	S	22	9.15	n/a	n/a	1.10	5.0
IRONBOUND CAPITAL	012	TIN	B	60,000	42.10	n/a	27-Oct	3,000.00	5.0
SAILFISH	037	TJX	SS	15,000	28.03	6-Oct	1-Dec	600.00	4.0
ZOLIDIS	011	TOL	B	150	33.28	n/a	n/a	0.00	0.0
ANTEUS ASSOC.BAEL	065	TRB	S	123	32.13	n/a	n/a	6.15	5.0
KERN	050	TRLG	B	500	15.66	n/a	n/a	0.00	0.0
GAULT	063	TRLG	B	1,500	15.61	n/a	n/a	0.00	0.0
ANTEUS ASSOC.BAEL	065	TWX	S	630	20.48	n/a	n/a	31.50	5.0
MERRILL ASSET	032	TXT	B	415,600	97.11	n/a	n/a	20,780.00	5.0
ANTEUS ASSOC.BAEL	065	T	S	6	34.53	21-Sep	1-Dec	0.30	5.0
PATTEN & PATTEN	067	UTX	S	50	64.78	n/a	n/a	2.50	5.0
PATTEN & PATTEN	067	VMC	S	50	90.44	n/a	n/a	2.50	5.0
ANTEUS ASSOC.BAEL	065	VRGY	S	3	18.26	n/a	n/a	0.15	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	WAG	C	75,000	44.24	n/a	n/a	3,000.00	4.0
ANTEUS ASSOC.BAEL	065	WB	S	324	55.19	n/a	n/a	16.20	5.0
ANTEUS ASSOC.BAEL	065	WTM	S	38	599.01	n/a	n/a	1.90	5.0
XYLEM GLOBAL	024	WY	B	17,700	68.27	21-Sep	27-Oct	885.00	5.0
ANTEUS ASSOC.BAEL	065	XL	S	48	71.18	n/a	n/a	2.40	5.0
AJBC	067	XOHO	S	22,500	4.22	n/a	n/a	900.00	4.0
ANTEUS ASSOC.BAEL	065	XOM	S	75	77.41	n/a	n/a	3.75	5.0
BUCK	015	YUM	SS	400	62.23	n/a	12-Oct	0.00	0.0
ANTEUS ASSOC.BAEL	065	ZMH	S	87	74.98	n/a	n/a	4.35	5.0
TOTAL (Trade Date) :								124,358.85	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/05	IMPALA ASSET MMT (IMPAGOLDMA)	012	ALK	S	61,000	39.86	n/a	n/a	1830.00 3.0
AO,	12/05	IMPALA ASSET MMT (IMPAGOLDMA)	012	ALK	S	61,000	39.86	n/a	n/a	1830.00 3.0
AO,CRT	12/05	MKT MAKER (MKT MAKER)	099	CORE	B	42	33.52	n/a	n/a	0.00 0.0
AO,CXL	12/05	MOORE CAPITAL (MOORMS\$.04)	036	DOW	S	47,300	39.97	n/a	26-Oct	1892.00 4.0
AO,	12/05	MORRISON & FRASIER (MORRBANC O)	040	DOW	S	47,300	39.97	n/a	26-Oct	2365.00 5.0
AO,	12/05	BARON ASSET MGMT* (BAROBAF)	012	EV	B	50,000	32.67	2-Nov	28-Nov	2500.00 5.0
AO,	12/01	SAILFISH (SAILG3)	037	FD	B	25,000	41.00	9-Nov	5-Dec	1000.00 4.0
AO,CXL	12/01	SAILFISH (SAILG2)	037	FD	B	25,000	41.00	9-Nov	5-Dec	1000.00 4.0
AO,CXL	12/05	IMPALA ASSET MMT (IMPABS)	012	GLW	B	79,400	21.00	n/a	25-Oct	2382.00 3.0
AO,	12/05	IMPALA ASSET MMT (IMPABS)	012	GLW	B	79,400	21.50	n/a	25-Oct	2382.00 3.0
AO,CXL	11/21	OTC ERROR (OTC ERROR)	099	ITCD	S	5,000	2.35	n/a	n/a	0.00 0.0
AO,CRT	11/21	OTC ERROR (OTC ERROR)	099	ITCD	S	5,000	2.35	n/a	n/a	0.00 0.0
AO,	12/05	IMPALA ASSET MMT (IMPAMORGAN)	012	JBHT	B	39,300	21.33	n/a	n/a	1179.00 3.0
AO,CXL	12/05	IMPALA ASSET MMT (IMPAGOLDMA)	012	JBHT	B	39,300	21.33	n/a	n/a	1179.00 3.0
AO,	12/05	DAVIS ADV (DAVE730)	040	MEL	B	1,000	42.87	5-Dec	5-Dec	30.00 3.0
AO,	12/05	DAVIS ADV (DAVE820)	040	MEL	B	200	42.87	5-Dec	5-Dec	6.00 3.0
AO,	12/05	DAVIS ADV (DAVE695)	040	MEL	B	1,500	42.87	5-Dec	5-Dec	45.00 3.0
AO,	12/05	DAVIS ADV (DAVE830)	040	MEL	B	800	42.87	5-Dec	5-Dec	24.00 3.0
AO,	12/05	DAVIS ADV (DAVE785)	040	MEL	B	100	42.87	5-Dec	5-Dec	3.00 3.0
AO,	12/05	DAVIS ADV (DAVE700)	040	MEL	B	300	42.87	5-Dec	5-Dec	9.00 3.0

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AO,	12/05	DAVIS ADV (DAVE675)	040	MEL	B	700	42.87	5-Dec	5-Dec	21.00 3.0
AO,	12/05	DAVIS ADV (DAVE500)	040	MEL	B	600	42.87	5-Dec	5-Dec	18.00 3.0
AO,	12/05	DAVIS ADV (DAVE720)	040	MEL	B	2,300	42.87	5-Dec	5-Dec	69.00 3.0
AO,	12/05	DAVIS ADV (DAVE545)	040	MEL	B	1,200	42.87	5-Dec	5-Dec	36.00 3.0
AO,	12/05	DAVIS ADV (DAVE965)	040	MEL	B	300	42.87	5-Dec	5-Dec	9.00 3.0
AO,	12/05	DAVIS ADV (DAVE840)	040	MEL	B	300	42.87	5-Dec	5-Dec	9.00 3.0
AO,	12/05	DAVIS ADV (DAVE550)	040	MEL	B	900	42.87	5-Dec	5-Dec	27.00 3.0
AO,	12/05	DAVIS ADV (DAVE970)	040	MEL	B	600	42.87	5-Dec	5-Dec	18.00 3.0
AO,	12/05	DAVIS ADV (DAVE875)	040	MEL	B	900	42.87	5-Dec	5-Dec	27.00 3.0
AO,	12/05	DAVIS ADV (DAVE490)	040	MEL	B	6,400	42.87	5-Dec	5-Dec	192.00 3.0
AO,	12/05	DAVIS ADV (DAVE795)	040	MEL	B	23,200	42.87	5-Dec	5-Dec	696.00 3.0
AO,	12/05	DAVIS ADV (DAVE900)	040	MEL	B	900	42.87	5-Dec	5-Dec	27.00 3.0
AO,	12/05	DAVIS ADV (DAVE200)	040	MEL	B	354,000	42.87	5-Dec	5-Dec	10620.00 3.0
AO,	12/05	DAVIS ADV (DAVE160)	040	MEL	B	125,000	42.87	5-Dec	5-Dec	3750.00 3.0
AO,	12/05	DAVIS ADV (DAVE905)	040	MEL	B	200	42.87	5-Dec	5-Dec	6.00 3.0
AO,	12/05	DAVIS ADV (DAVE570)	040	MEL	B	26,400	42.87	5-Dec	5-Dec	792.00 3.0
AO,	12/05	DAVIS ADV (DAVE400)	040	MEL	B	124,700	42.87	5-Dec	5-Dec	3741.00 3.0
AO,	12/05	DAVIS ADV (DAVE805)	040	MEL	B	16,700	42.87	5-Dec	5-Dec	501.00 3.0
AO,	12/05	DAVIS ADV (DAVE930)	040	MEL	B	200	42.87	5-Dec	5-Dec	6.00 3.0
AO,	12/05	DAVIS ADV (DAVE585)	040	MEL	B	6,100	42.87	5-Dec	5-Dec	183.00 3.0
AO,	12/05	DAVIS ADV (DAVE300)	040	MEL	B	35,200	42.87	5-Dec	5-Dec	1056.00 3.0
AO,	12/05	DAVIS ADV (DAVE475)	040	MEL	B	1,900	42.87	5-Dec	5-Dec	57.00 3.0
AO,	12/05	DAVIS ADV (DAVE955)	040	MEL	B	2,100	42.87	5-Dec	5-Dec	63.00 3.0
AO,	12/05	DAVIS ADV (DAVE590)	040	MEL	B	400	42.87	5-Dec	5-Dec	12.00 3.0
AO,	12/05	DAVIS ADV (DAVE410)	040	MEL	B	81,200	42.87	5-Dec	5-Dec	2436.00 3.0
AO,	12/05	DAVIS ADV (DAVE945)	040	MEL	B	800	42.87	5-Dec	5-Dec	24.00 3.0
AO,	12/05	DAVIS ADV (DAVE960)	040	MEL	B	3,000	42.87	5-Dec	5-Dec	90.00 3.0
AO,	12/05	DAVIS ADV (DAVE595)	040	MEL	B	500	42.87	5-Dec	5-Dec	15.00 3.0
AO,	12/05	DAVIS ADV (DAVE100)	040	MEL	B	1,305,000	42.87	5-Dec	5-Dec	39150.00 3.0
AO,	12/05	DAVIS ADV (DAVE680)	040	MEL	B	200	42.87	5-Dec	5-Dec	6.00 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/05	DAVIS ADV (DAVE980)	040	MEL	B	11,300	42.87	5-Dec	5-Dec	339.00 3.0
AO,	12/05	DAVIS ADV (DAVE600)	040	MEL	B	400	42.87	5-Dec	5-Dec	12.00 3.0
AO,	12/05	DAVIS ADV (DAVE925)	040	MEL	B	16,300	42.87	5-Dec	5-Dec	489.00 3.0
AO,	12/05	DAVIS ADV (DAVE790)	040	MEL	B	35,900	42.87	5-Dec	5-Dec	1077.00 3.0
AO,	12/05	DAVIS ADV (DAVE725)	040	MEL	B	1,100	42.87	5-Dec	5-Dec	33.00 3.0
AO,	12/05	DAVIS ADV (DAVE605)	040	MEL	B	1,200	42.87	5-Dec	5-Dec	36.00 3.0
AO,	12/05	DAVIS ADV (DAVE890)	040	MEL	B	900	42.87	5-Dec	5-Dec	27.00 3.0
AO,	12/05	DAVIS ADV (DAVE460)	040	MEL	B	23,300	42.87	5-Dec	5-Dec	699.00 3.0
AO,	12/05	DAVIS ADV (DAVE740)	040	MEL	B	10,000	42.87	5-Dec	5-Dec	300.00 3.0
AO,	12/05	DAVIS ADV (DAVE615)	040	MEL	B	500	42.87	5-Dec	5-Dec	15.00 3.0
AO,	12/05	DAVIS ADV (DAVE825)	040	MEL	B	7,000	42.87	5-Dec	5-Dec	210.00 3.0
AO,	12/05	DAVIS ADV (DAVE815)	040	MEL	B	36,000	42.87	5-Dec	5-Dec	1080.00 3.0
AO,	12/05	DAVIS ADV (DAVE750)	040	MEL	B	600	42.87	5-Dec	5-Dec	18.00 3.0
AO,	12/05	DAVIS ADV (DAVE625)	040	MEL	B	11,900	42.87	5-Dec	5-Dec	357.00 3.0
AO,	12/05	DAVIS ADV (DAVE515)	040	MEL	B	500	42.87	5-Dec	5-Dec	15.00 3.0
AO,	12/05	DAVIS ADV (DAVE775)	040	MEL	B	6,900	42.87	5-Dec	5-Dec	207.00 3.0
AO,	12/05	DAVIS ADV (DAVE630)	040	MEL	B	300	42.87	5-Dec	5-Dec	9.00 3.0
AO,	12/05	DAVIS ADV (DAVE685)	040	MEL	B	51,700	42.87	5-Dec	5-Dec	1551.00 3.0
AO,	12/05	DAVIS ADV (DAVE810)	040	MEL	B	800	42.87	5-Dec	5-Dec	24.00 3.0
AO,	12/05	DAVIS ADV (DAVE635)	040	MEL	B	7,600	42.87	5-Dec	5-Dec	228.00 3.0
AO,	12/05	WEINTRAUB CAPITAL MGT. (WEINMAIN A)	040	MIR	B	45,400	31.00	n/a	n/a	2270.00 5.0
AO,CXL	12/05	HIGHBRIDGE CAPITAL (HIGBNEW)	038	Q	B	21,000	7.78	n/a	n/a	1050.00 5.0
AO,	12/05	HIGHBRIDGE CAPITAL (HIGBNEW)	038	Q	B	21,000	7.78	n/a	n/a	630.00 3.0
AO,	12/05	LISTED ERR (LISTED ERR)	099	RL	B	400	80.76	9-Nov	9-Nov	0.00 0.0
TOTAL (AO, CXL, CRT) :										75,323.00

TOTAL (Trade Date):	124,358.85
TOTAL (AO, CXL, CRT):	75,323.00

TOTAL (RUN DATE) / NFS:	199,681.85
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION