



# Daily Compliance Activity Report

Trade Date: 06/27/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f JOHN M HICKEY</b>	<b>FID</b>	<b>AB</b>	<b>S</b>	<b>600</b>	<b>21.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	ACE	B	425	88.78	n/a	n/a	17.00	0.0
BESSEMER INVESTMENT	085	ACN	B	240	80.07	n/a	n/a	9.60	0.0
EVERGREEN	047	AGN	S	49,040	85.41	n/a	17-Jun	1,961.60	0.0
BESSEMER INVESTMENT	085	AIG	B	220	44.07	n/a	n/a	8.80	0.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>AIG</b>	<b>S</b>	<b>2000</b>	<b>44.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>AIG</b>	<b>S</b>	<b>300</b>	<b>44.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>AIG</b>	<b>S</b>	<b>300</b>	<b>44.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>AMWD</b>	<b>S</b>	<b>2000</b>	<b>34.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	AOL	B	5,500	36.74	n/a	n/a	220.00	0.0
BESSEMER INVESTMENT	085	APD	B	115	92.94	n/a	n/a	4.60	0.0
SCOPUS FUND	041	APD	SS	8,000	93.01	n/a	n/a	320.00	0.0
BESSEMER INVESTMENT	085	AVGO	B	630	37.80	n/a	n/a	25.20	0.0
BESSEMER INVESTMENT	085	AWK	B	515	40.60	n/a	n/a	20.60	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>SS</b>	<b>20,000</b>	<b>69.69</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CR INTRINIC INVESTMENT	044	BBBY	B	10,000	69.14	n/a	n/a	300.00	0.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>BBW</b>	<b>B</b>	<b>1500</b>	<b>6.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	BC	S	7,330	32.83	n/a	n/a	366.50	0.0
LATEEF MGMT	033	BDN	B	425	13.73	n/a	n/a	42.50	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>10,000</b>	<b>18.02</b>	<b>n/a</b>	<b>18-Jun</b>	<b>non-brg</b>	<b>4.0</b>
NEWLAND CAPITAL MGT	025	BRSS	B	20,000	13.28	n/a	n/a	800.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>BYD 7 P11</b>	<b>B</b>	<b>2,000</b>	<b>0.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
BESSEMER INVESTMENT	085	COH	B	440	56.54	n/a	30-May	17.60	0.0
BESSEMER INVESTMENT	085	COP	B	165	60.59	n/a	n/a	6.60	0.0
BESSEMER INVESTMENT	085	CTL	B	235	35.43	n/a	n/a	9.40	0.0
HOLLIS CAPITAL	033	CVC	B	5,000	16.26	n/a	n/a	250.00	0.0
BESSEMER INVESTMENT	085	CVS	B	460	57.71	n/a	n/a	18.40	0.0
BESSEMER INVESTMENT	085	C	B	480	48.28	n/a	17-Jun	19.20	0.0
LATEEF MGMT	033	DAL	S	150	18.50	n/a	18-Jun	25.50	0.0
BALYASNY ASSET MGMT	044	DD	C	25,000	53.66	n/a	n/a	1,250.00	0.0
SANDLER O'NEILL ASSET	031	DFS	B	15,000	47.43	n/a	19-Jun	750.00	0.0
BESSEMER INVESTMENT	085	DG	B	650	51.38	n/a	4-Jun	26.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LATEEF MGMT	033	DOW	B	225	32.50	n/a	n/a	31.50	0.0
BESSEMER INVESTMENT	085	DTE	B	145	66.93	n/a	n/a	5.80	0.0
TALON ASSET MGMT.	006	EAT	SS	10,000	39.24	n/a	13-May	500.00	0.0
ARDSLEY PARTNERS	280	ENPH	SS	25,000	7.57	n/a	n/a	1,250.00	0.0
CR INTRINSIC/CHRIS C	041	EXPD	SS	5,000	37.76	n/a	n/a	150.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>EXPE</b>	<b>SS</b>	<b>8,000</b>	<b>59.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
CR INTRINSIC/CHRIS C	041	EXP	S	5,000	68.25	n/a	n/a	150.00	0.0
EGS ASSOCIATES LP	091	FINL	B	4,500	21.25	n/a	19-Jun	225.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>S</b>	<b>20,000</b>	<b>34.27</b>	<b>n/a</b>	<b>19-Jun</b>	<b>non-brg</b>	<b>4.0</b>
LATEEF MGMT	033	FMER	S	2,275	20.40	n/a	n/a	240.00	0.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FTQGX</b>	<b>B</b>	<b>293</b>	<b>17.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>F</b>	<b>SS</b>	<b>65,000</b>	<b>15.52</b>	<b>n/a</b>	<b>19-Jun</b>	<b>non-brg</b>	<b>4.0</b>
SCOPUS FUND	041	F	SS	10,346	15.48	n/a	19-Jun	413.84	0.0
CYRUS CAPITAL	025	GDX	S	15,000	22.62	n/a	n/a	450.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>10,000</b>	<b>47.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
TALPION LLC	040	GNC	SS	400	45.89	n/a	n/a	12.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	GNRC	B	25,000	37.03	n/a	n/a	1,000.00	0.0
BESSEMER INVESTMENT	085	GOOG	B	4	881.86	n/a	n/a	0.16	0.0
⚡ BUCK CAPITAL MGMT		HDS	B	155,000	18.32	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HDS	B	25,000	17.88	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HDS	B	25,000	18.80	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HDS	B	75,000	18.00	n/a	n/a	non-brg	4.0
TRUST CO/COWEN/CALF.	040	HON	S	121,573	80.38	n/a	19-Apr	6,078.65	0.0
BESSEMER INVESTMENT	085	IBM	B	140	195.88	n/a	n/a	5.60	0.0
CHARTER OAK	033	ISCA	SS	15,000	31.60	n/a	n/a	600.00	0.0
BESSEMER INVESTMENT	085	ITW	B	230	69.36	n/a	n/a	9.20	0.0
WEISS PECK/ROBECO/BOSTON PT		040	JBLU	S	8,700	6.03	n/a	18-Jun	348.00 0.0
⚡ DAVID GEORGE BUCK	FID	JPM	B	300	53.25	n/a	17-Jun	0.00	0.0
⚡ DAVID GEORGE BUCK	FID	JPM	B	500	53.29	n/a	17-Jun	0.00	0.0
⚡ MATTHEW FRANCIS BUCK	FID	JPM	B	200	53.29	n/a	17-Jun	0.00	0.0
⚡ BUCK CAPITAL MGMT		KORS	S	50,000	61.14	n/a	30-May	non-brg	4.0
S.A.C. CAPITAL	044	KSS	S	18,844	50.94	n/a	18-Jun	565.32	0.0
WOOSTER CAPITAL	044	LEA	S	10,000	59.72	n/a	19-Jun	400.00	0.0
CYRUS CAPITAL	025	LEG	SS	10,000	31.11	n/a	n/a	300.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
INVESCO	031	LOW	B	203,279	41.24	n/a	n/a	8,131.16	0.0
RIDGECREST INV MGMT LLC	028	LPX	B	20,000	15.06	n/a	19-Jun	800.00	0.0
VISIUM/BALY BOBBY	044	LPX	B	49,719	15.08	n/a	19-Jun	2,485.95	0.0
BALYASNY ASSET MGMT	044	LTD	C	20,000	49.30	n/a	n/a	1,000.00	0.0
INVESCO	031	MCD	B	47,062	99.56	n/a	17-Jun	1,882.48	0.0
<b>õ Ryan Mueller</b>		<b>MCP</b>	<b>B</b>	<b>125</b>	<b>5.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	MRO	B	625	35.30	n/a	n/a	25.00	0.0
BESSEMER INVESTMENT	085	MSFT	B	825	34.59	n/a	n/a	33.00	0.0
LATEEF MGMT	033	MSFT	S	125	34.63	n/a	n/a	25.00	0.0
BESSEMER INVESTMENT	085	M	B	595	48.39	n/a	18-Jun	23.80	0.0
CR INTRINSIC/CHRIS C	041	NDSN	B	5,000	69.84	n/a	n/a	150.00	0.0
BESSEMER INVESTMENT	085	NLSN	B	645	33.95	n/a	n/a	25.80	0.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>NNP</b>	<b>B</b>	<b>2000</b>	<b>14.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FIRST NEW YORK	086	NOK	B	20,000	3.85	n/a	n/a	1,000.00	0.0
BESSEMER INVESTMENT	085	PFE	B	1,175	28.40	n/a	n/a	47.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>PII</b>	<b>S</b>	<b>40,000</b>	<b>94.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CR INTRINSIC/CHRIS C	041	PKG	S	15,000	48.99	n/a	17-Jun	450.00	0.0
<b>Õ Ryan Mueller</b>		<b>PQ</b>	<b>B</b>	<b>160</b>	<b>4.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	QCOM	B	265	60.96	n/a	n/a	10.60	0.0
SANDLER CAPITAL	028	Q	B	125,000	42.43	n/a	n/a	6,250.00	0.0
CR INTRINSIC/CHRIS C	041	RBC	B	5,000	65.55	n/a	n/a	150.00	0.0
IVORY CAPITAL	084	RF	S	102,000	9.60	n/a	n/a	5,100.00	0.0
<b>ß BUCK CAPITAL MGMT</b>		<b>SHOO</b>	<b>S</b>	<b>10,000</b>	<b>47.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
TALON ASSET MGMT.	006	SNDK	B	1,000	60.39	n/a	n/a	50.00	0.0
BESSEMER INVESTMENT	085	TGT	B	210	69.27	n/a	23-May	8.40	0.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	THI	B	5,000	54.38		n/a n/a	150.00	0.0
BESSEMER INVESTMENT	085	TMO	B	445	85.58	n/a	n/a	17.80	0.0
BALYASNY ASSET MGMT	044	TSCO	SS	10,000	116.08	n/a	n/a	500.00	0.0
CR INTRINSIC/CHRIS C	041	TXT	B	5,000	26.35	n/a	n/a	150.00	0.0
BESSEMER INVESTMENT	085	UNH	B	500	65.94	n/a	n/a	20.00	0.0
J. GOLDMAN	028	VAL	B	14,000	65.36	n/a	n/a	560.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	VIAB	B	250	67.67	n/a	n/a	10.00	0.0
TALON ASSET MGMT.	006	VZ	B	4,300	51.40	n/a	n/a	215.00	0.0
BESSEMER INVESTMENT	085	WAG	B	595	44.93	n/a	n/a	23.80	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>WGO</b>	<b>B</b>	<b>10,400</b>	<b>20.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WGO</b>	<b>B</b>	<b>37,600</b>	<b>20.85</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WMGI</b>	<b>B</b>	<b>2000</b>	<b>26.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WMGI</b>	<b>B</b>	<b>500</b>	<b>26.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>WMGI</b>	<b>B</b>	<b>300</b>	<b>26.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEEF MGMT	033	WRI	S	100	30.61	n/a	n/a	25.00	0.0
BESSEMER INVESTMENT	085	ZMH	B	505	75.19	n/a	n/a	20.20	0.0
<b>TOTAL (Trade Date):</b>								<b>48,544.16</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/26	VISIUM/BALY BOBBY	044	AGN	S	94,550	87.45	n/a	17-Jun	4727.50 0.0
AO,CRT	06/26	VISIUM/BALY BOBBY	044	AGN	S	94,550	87.45	n/a	17-Jun	4727.50 0.0
AO,CRT	06/25	PATTEN & PATTEN	025	APC	B	112	85.07	n/a	13-Jun	5.60 0.0
AO,CXL	06/25	PATTEN & PATTEN	025	APC	B	112	85.07	n/a	13-Jun	5.60 0.0
AO,	06/25	PATTEN & PATTEN	025	WFC	B	350	40.47	n/a	6-Jun	17.50 0.0
AO,CXL	06/25	PATTEN & PATTEN	025	WFC	B	350	40.47	n/a	6-Jun	17.50 0.0
TOTAL (AO, CXL, CRT) :										0.00



BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO	06/26	BUCK CAPITAL MGMT	HDS	B	20,000	18.00	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 27-Jun.  
NONE

MRI Securities without Trade Activity on 27-Jun.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)