



# Daily Compliance Activity Report

Trade Date: 04/28/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>AAGPX</b>	<b>S</b>	<b>160</b>	<b>17.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> DAVID GEORGE BUCK	<b>FID</b>	<b>ABT</b>	<b>S</b>	<b>3000</b>	<b>49.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FARALLON CAP MGMT	040	ADP	S	175,000	44.17	n/a	n/a	7,000.00	4.0
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>AEGFX</b>	<b>S</b>	<b>31</b>	<b>37.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	AEO	S	41,000	17.33	n/a	9-Apr	2,050.00	5.0
BALYASNY ASSET MGMT	044	ALV	B	24,500	53.44	n/a	28-Apr	1,225.00	5.0
GCORE MANAGEMENT	041	ALV	B	10,000	53.52	n/a	28-Apr	500.00	5.0
WAGONHOUND/NIC	048	ALV	B	796	53.37	n/a	28-Apr	31.84	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>AMG</b>	<b>S</b>	<b>39,900</b>	<b>83.03</b>	<b>n/a</b>	<b>2-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>AMG</b>	<b>S</b>	<b>6,700</b>	<b>83.68</b>	<b>n/a</b>	<b>2-Mar</b>	<b>non-brg</b>	<b>0.8</b>
BMO NESBITT BURNS	041	ANF	SS	5,000	47.46	n/a	9-Apr	250.00	5.0
<b>f</b> JOHN MICHAEL ZOLIDIS	<b>FID</b>	<b>ANF</b>	<b>B</b>	<b>25</b>	<b>0.45</b>	<b>n/a</b>	<b>9-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> JASON ERIC COHEN	<b>FID</b>	<b>AONE</b>	<b>B</b>	<b>200</b>	<b>12.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
M&I FUNDS	047	ASH	S	17,446	60.75	n/a	27-Apr	697.84	4.0
<b>f</b> FRANCIS MICHAEL MCCA	<b>FID</b>	<b>ATLS</b>	<b>S</b>	<b>200</b>	<b>34.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MICHAEL THOMAS NOLAN	<b>FID</b>	<b>ATLS</b>	<b>S</b>	<b>200</b>	<b>34.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ATVI</b>	<b>B</b>	<b>50,000</b>	<b>10.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f DAVID S HOCHSTIM</b>	<b>FID</b>	<b>AYR</b>	<b>B</b>	<b>1000</b>	<b>12.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GRUBER MACBAINE	033	BBBY	S	5,000	46.58	n/a	n/a	200.00	4.0
SCOUT/UMB	047	BIG	S	26,850	38.65	n/a	4-Mar	1,342.50	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>BLK</b>	<b>B</b>	<b>5,000</b>	<b>183.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
BNP PARIBAS SEC	040	BWA	S	7,500	39.66	n/a	23-Apr	300.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BWS</b>	<b>B</b>	<b>500</b>	<b>18.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>BX</b>	<b>B</b>	<b>1,200</b>	<b>14.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>BX</b>	<b>B</b>	<b>11,300</b>	<b>14.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>BX</b>	<b>B</b>	<b>300</b>	<b>14.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>BX</b>	<b>B</b>	<b>400</b>	<b>14.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>BX</b>	<b>B</b>	<b>900</b>	<b>14.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
THOMPSON SIEGAL&WALMSLEY	029	BZ	B	56,050	7.09	n/a	n/a	2,242.00	4.0
TRELLUS PARTNERS LP	028	BZ	S	50,000	7.14	n/a	n/a	1,500.00	3.0
WEISS PECK	040	CAKE	SS	1,300	28.45	n/a	23-Apr	65.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>CIVVX</b>	<b>S</b>	<b>86</b>	<b>11.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	COH	SS	6,000	42.32	n/a	21-Apr	300.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>10,000</b>	<b>42.29</b>	<b>n/a</b>	<b>21-Apr</b>	<b>400.00</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>CRI</b>	<b>S</b>	<b>50,000</b>	<b>32.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	CRI	B	5,000	32.85	n/a	n/a	250.00	5.0
MERRILL ASSET/BLACKROCK	263	CRR	S	7,100	76.00	n/a	27-Apr	284.00	4.0
<b>f JOHN MICHAEL DEMARTI</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>2000</b>	<b>9.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>5000</b>	<b>9.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	CWTR	B	20,000	7.62	n/a	n/a	1,000.00	5.0
LAGRANGE CAP ADV	048	DELL	B	30,000	16.30	n/a	n/a	1,500.00	5.0
CONIFER/ISF	025	DOW	B	5,000	31.71	n/a	28-Apr	250.00	5.0
HARBORWATCH/DIAMBACK	232	DOW	SS	10,000	31.03	n/a	28-Apr	500.00	5.0
RBC CAPITAL MARKETS	040	DOW	SS	50,000	31.25	n/a	28-Apr	2,000.00	4.0
LATEFF MGMT	035	DVN	B	2,500	67.87	n/a	27-Apr	500.00	20.0
GREEN ARROW DOUG	040	ETH	S	19,600	21.43	n/a	n/a	980.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>EXWAX</b>	<b>S</b>	<b>140</b>	<b>8.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FAGIX</b>	<b>S</b>	<b>254</b>	<b>9.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FCSAX</b>	<b>S</b>	<b>487</b>	<b>10.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FDGRX</b>	<b>S</b>	<b>29</b>	<b>74.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FDIVX</b>	<b>S</b>	<b>36</b>	<b>27.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FEMKX</b>	<b>S</b>	<b>54</b>	<b>23.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	FIGRX	S	33	29.98	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FILEX	S	1007	8.19	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FINSX	S	91	18.33	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FKRCX	S	20	43.41	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FLPSX	S	85	35.63	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FPCIX	S	237	10.40	n/a	n/a	0.00	0.0
STERLING JOHNSTON	035	FRED	B	100,000	14.03	n/a	n/a	4,000.00	4.0
f MARC PAUL LUCHANSKY	FID	FSCFX	S	217	10.45	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSHBX	S	350	8.39	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FSLR	C	25,000	133.94	n/a	n/a	non-brg	0.8
f MARC PAUL LUCHANSKY	FID	FTBFX	S	375	10.72	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	FTI	B	1,467	67.19	n/a	n/a	58.68	4.0
f MARC PAUL LUCHANSKY	FID	FUSOX	S	99	9.17	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FVSAX	S	458	13.27	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		F	B	12,200	13.08	n/a	28-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CENTURION PARTNERS	041	F	B	50,000	13.17	n/a	28-Apr	2,000.00	4.0
LAGRANGE CAP ADV	048	F	B	30,000	13.35	n/a	28-Apr	1,500.00	5.0
OZ MGMT	040	F	B	62,929	13.37	n/a	28-Apr	1,887.87	3.0
OZ MGMT	040	F	C	112,995	13.17	n/a	28-Apr	3,389.85	3.0
ROSEDALE CAPITAL	046	F	B	70,000	12.99	n/a	28-Apr	2,800.00	4.0
SCHOTTENFELD ASSOC	041	F	B	50,000	13.09	n/a	28-Apr	1,500.00	3.0
SCOPUS FUND	041	F	B	150,000	13.36	n/a	28-Apr	7,500.00	5.0
SLS CAPITAL LLC	224	F	B	178,600	13.06	n/a	28-Apr	7,144.00	4.0
TRELLUS PARTNERS LP	028	F	B	100,000	13.17	n/a	28-Apr	5,000.00	5.0
TROUBH PART	067	F	B	50,000	13.08	n/a	28-Apr	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>S</b>	<b>56,000</b>	<b>33.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	GDX	B	6,299	48.98	n/a	n/a	251.96	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>S</b>	<b>30,000</b>	<b>47.92</b>	<b>n/a</b>	<b>18-Mar</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	GIII	B	4,047	28.98	n/a	n/a	202.35	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>10,000</b>	<b>28.89</b>	<b>n/a</b>	<b>27-Apr</b>	<b>non-brg</b>	<b>4.0</b>
GALT INVESTMENT	041	GPS	B	25,000	25.50	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>B</b>	<b>10,000</b>	<b>50.86</b>	<b>n/a</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>10,000</b>	<b>28.86</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HD</b>	<b>C</b>	<b>150,000</b>	<b>35.37</b>	<b>n/a</b>	<b>23-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>HRINX</b>	<b>S</b>	<b>21</b>	<b>53.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	HSP	S	2,000	54.24	n/a	n/a	200.00	10.0
CATAPULT	040	H	B	12,000	39.70	n/a	n/a	480.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>S</b>	<b>20,000</b>	<b>18.27</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ARMATURE CAPITAL	041	IP	B	20,000	27.19	n/a	19-Apr	800.00	4.0
HIGHBRIDGE CAP	041	IP	B	2,950	27.46	n/a	19-Apr	118.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>JANSX</b>	<b>S</b>	<b>90</b>	<b>27.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>B</b>	<b>15,000</b>	<b>31.23</b>	<b>n/a</b>	<b>21-Apr</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>B</b>	<b>33,000</b>	<b>30.45</b>	<b>n/a</b>	<b>21-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>B</b>	<b>35,000</b>	<b>31.18</b>	<b>n/a</b>	<b>21-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>B</b>	<b>50,000</b>	<b>30.32</b>	<b>n/a</b>	<b>21-Apr</b>	<b>non-brg</b>	<b>2.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>JMCVX</b>	<b>S</b>	<b>40</b>	<b>21.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EGS ASSOCIATES LP	091	JNY	SS	4,500	23.09	n/a	14-Apr	270.00	6.0
EXIS CAPITAL	044	JNY	B	15,000	22.85	n/a	14-Apr	750.00	5.0
FARALLON CAP MGMT	040	JOE	SS	48,300	36.01	n/a	n/a	1,932.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>10,000</b>	<b>61.72</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>JPM</b>	<b>B</b>	<b>1000</b>	<b>43.06</b>	<b>n/a</b>	<b>15-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>JST</b>	<b>B</b>	<b>300</b>	<b>16.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	JWN	B	4,500	42.22	n/a	9-Apr	225.00	5.0

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BMO NESBITT BURNS	041	KSS	B	3,500	56.68	n/a	9-Apr	175.00	5.0
RBC CAPITAL MARKETS	040	KSS	B	5,000	56.89	n/a	9-Apr	200.00	4.0
EXIS CAPITAL	044	LIZ	B	20,000	9.00	n/a	7-Oct	1,000.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>LLY</b>	<b>B</b>	<b>1000</b>	<b>34.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LL</b>	<b>S</b>	<b>20,000</b>	<b>29.97</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CAVU CAP ADV	028	LOW	S	25,000	27.37	n/a	22-Feb	1,250.00	5.0
GRUBER MACBAINE	033	LOW	S	5,000	27.42	n/a	22-Feb	200.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>MFOCX</b>	<b>S</b>	<b>75</b>	<b>16.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MESIROW	031	MGLN	S	18,107	41.95	n/a	n/a	724.28	4.0
M&I FUNDS	047	MIDD	S	2,000	62.72	n/a	5-Mar	80.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>MIQBX</b>	<b>S</b>	<b>78</b>	<b>12.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f THOMAS MACTAGGART LE</b>	<b>FID</b>	<b>MIR</b>	<b>S</b>	<b>518</b>	<b>11.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>MTW</b>	<b>B</b>	<b>700</b>	<b>13.86</b>	<b>n/a</b>	<b>28-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f ANTHONY SUTERA</b>	<b>FID</b>	<b>MU</b>	<b>B</b>	<b>1000</b>	<b>9.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	MWW	B	12,440	17.33	n/a	n/a	622.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>M</b>	<b>B</b>	<b>20,000</b>	<b>23.83</b>	<b>28-Apr</b>	<b>28-Apr</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD COAST CAPITAL	035	M	B	20,000	24.26	28-Apr	28-Apr	1,000.00	5.0
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>NBRXF</b>	<b>S</b>	<b>350</b>	<b>19.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JAMES H HLAVACEK II</b>	<b>FID</b>	<b>NEYF</b>	<b>B</b>	<b>1000</b>	<b>1.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CRAMER ROSENTHAL/SCOTT	012	OMC	S	11,190	42.17	n/a	n/a	447.60	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>OMX</b>	<b>B</b>	<b>50,000</b>	<b>16.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>B</b>	<b>1,000</b>	<b>17.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>B</b>	<b>300</b>	<b>17.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>B</b>	<b>300</b>	<b>17.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>B</b>	<b>700</b>	<b>17.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>B</b>	<b>9,200</b>	<b>17.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BMO NESBITT BURNS	041	PAG	S	25,000	15.13	n/a	20-Apr	1,250.00	5.0
WOOSTER CAPITAL	035	PAG	B	50,000	15.09	n/a	20-Apr	2,500.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PAHIX</b>	<b>S</b>	<b>245</b>	<b>6.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	036	PCG	B	16,900	43.31	n/a	n/a	676.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>PCL</b>	<b>B</b>	<b>100</b>	<b>40.38</b>	<b>n/a</b>	<b>27-Apr</b>	<b>0.00</b>	<b>0.0</b>
WESLEY CAPITAL	029	PCL	B	50,000	40.24	n/a	27-Apr	2,000.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PCRRX</b>	<b>S</b>	<b>267</b>	<b>7.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LAGRANGE CAP ADV	048	PFCB	SS	8,000	44.88	n/a	28-Apr	400.00	5.0
RBC CAPITAL MARKETS	040	PFCB	B	300	43.66	n/a	28-Apr	12.00	4.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PHYAX</b>	<b>S</b>	<b>134</b>	<b>9.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAP	041	PKG	B	3,071	24.96	n/a	20-Apr	122.84	4.0
BRIGADE CAPITAL MGMT	029	PLCE	S	17,839	48.41	n/a	27-Apr	535.17	3.0
NEUBERGER BERMAN	036	PM	B	9,700	49.02	n/a	n/a	388.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PSFAX</b>	<b>S</b>	<b>151</b>	<b>9.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PTRAX</b>	<b>S</b>	<b>327</b>	<b>11.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SCOUT/UMB	047	PVH	S	36,850	63.36	n/a	21-Apr	1,842.50	5.0
BENNETT LAWRENCE	028	RHI	S	20,800	28.10	n/a	n/a	832.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>RL</b>	<b>S</b>	<b>10,000</b>	<b>92.10</b>	<b>n/a</b>	<b>14-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>ROHI</b>	<b>B</b>	<b>2500</b>	<b>2.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	041	ROST	SS	100,000	56.78	n/a	n/a	3,500.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>RTH</b>	<b>SS</b>	<b>95,000</b>	<b>104.10</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
WESLEY CAPITAL	029	RYN	B	25,000	48.17	n/a	19-Apr	1,000.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>RYPFX</b>	<b>S</b>	<b>129</b>	<b>10.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SBLGX</b>	<b>S</b>	<b>71</b>	<b>22.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>SIG</b>	<b>S</b>	<b>400</b>	<b>32.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GLOBAL 56 LLC	060	SIMG	S	7,500	3.97	n/a	n/a	225.00	3.0
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>SKX</b>	<b>B</b>	<b>10</b>	<b>0.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SLASX</b>	<b>S</b>	<b>43</b>	<b>39.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SPRXX</b>	<b>S</b>	<b>796</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SPY</b>	<b>B</b>	<b>1000</b>	<b>118.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARBORWATCH/DIAMBACK	232	TIN	S	10,000	23.28	n/a	21-Apr	500.00	5.0
SENATOR INVESTMENT GROUP	036	TIN	B	80,000	23.09	n/a	21-Apr	2,400.00	3.0
BMO NESBITT BURNS	041	TJX	B	5,000	46.74	n/a	9-Apr	250.00	5.0
PAW PARTNERS	045	TNS	B	5,000	26.64	n/a	n/a	250.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>TPINX</b>	<b>S</b>	<b>126</b>	<b>13.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAIRS AND POWER INV	030	TRV	S	50,000	50.95	n/a	n/a	2,500.00	5.0
BMO NESBITT BURNS	041	UA	SS	7,000	35.38	n/a	28-Apr	350.00	5.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>UA</b>	<b>S</b>	<b>300</b>	<b>35.99</b>	<b>n/a</b>	<b>28-Apr</b>	<b>0.00</b>	<b>0.0</b>
PAW PARTNERS	045	UA	S	5,000	35.34	n/a	28-Apr	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>10,000</b>	<b>38.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>WBC</b>	<b>B</b>	<b>2000</b>	<b>32.35</b>	<b>n/a</b>	<b>13-Apr</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>WBIGX</b>	<b>S</b>	<b>54</b>	<b>19.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PILOT ADV	242	WU	S	95,175	18.71	n/a	n/a	3,807.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>WY</b>	<b>B</b>	<b>400</b>	<b>49.79</b>	<b>n/a</b>	<b>19-Apr</b>	<b>0.00</b>	<b>0.0</b>
OZ MGMT	040	WY	B	65,000	50.00	n/a	19-Apr	1,950.00	3.0
<b>f SEAN F LEDDY</b>	<b>FID</b>	<b>WY</b>	<b>B</b>	<b>100</b>	<b>49.80</b>	<b>n/a</b>	<b>19-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f THOMAS MACTAGGART LE</b>	<b>FID</b>	<b>WY</b>	<b>B</b>	<b>200</b>	<b>49.79</b>	<b>n/a</b>	<b>19-Apr</b>	<b>0.00</b>	<b>0.0</b>
WESLEY CAPITAL	029	WY	S	40,000	49.41	n/a	19-Apr	1,600.00	4.0
RBC CAPITAL MARKETS	040	XRT	B	10,000	43.47	n/a	n/a	400.00	4.0
<b>f CHRISTOPHER ARTHUR K</b>	<b>FID</b>	<b>YRCW</b>	<b>B</b>	<b>5000</b>	<b>0.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	ZQK	B	10,000	5.76	n/a	12-Mar	500.00	5.0
<b>TOTAL (Trade Date) :</b>								<b>106,867.28</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/26	STELLIAM INV ADV	034	PKD	SS	25,000	5.58	n/a	n/a	1250.00 5.0
AO,CRT	04/26	STELLIAM INV ADV	034	PKD	SS	25,000	5.58	n/a	n/a	750.00 3.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-500.00</b>
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TOTAL (Trade Date) :	106,867.28
TOTAL (AO, CXL, CRT) :	-500.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>106,367.28</b>
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MRI Securities with Trade Activity on 28-Apr.

**M**

MRI Securities without Trade Activity on 28-Apr.

**TYC, PX, GLW, CMI, AXE**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 1%

YTD = 10%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)