



Daily Compliance Activity Report

Trade Date: 08/26/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
COUGAR TRADING	044	AEO	B	40,000	13.65	6-Mar	8-Aug	2,000.00 5.0
COUGAR TRADING	044	AEO	S	10,000	14.10	6-Mar	8-Aug	500.00 5.0
COOKBIELER	028	ALV	B	12,100	38.48	23-Jul	30-Jul	484.00 4.0
SOUNDPOST CAP MGMT	048	AMB	B	20,000	43.52	n/a	n/a	1,000.00 5.0
⚡ BUCK CAPITAL MGMT		ANN+XD	SS	1,000	1.15	n/a	n/a	non-brg 300.0
⚡ BUCK CAPITAL MGMT		ANN+XD	SS	1,000	1.20	n/a	n/a	non-brg 300.0
BEAR STEARNS ASSET MGMT.	033	ANN	C	50,000	23.99	n/a	22-Aug	2,000.00 4.0
SCOPUS FUND	041	AN	SS	50,000	11.05	25-Jul	28-Jul	2,500.00 5.0
WAGONHOUND/NIC	048	AN	SS	57,694	11.24	25-Jul	28-Jul	2,307.76 4.0
⚡ BUCK CAPITAL MGMT		APP	B	50,000	8.49	n/a	n/a	non-brg 4.0
EPOCH INV MGMT	030	ARB	S	8,150	47.85	n/a	n/a	326.00 4.0
CATAPULT	040	BAC	SS	5,000	29.21	n/a	15-Aug	250.00 5.0
⚡ BUCK CAPITAL MGMT		BBBY	SS	10,000	30.06	n/a	n/a	non-brg 4.0
⚡ BUCK CAPITAL MGMT		BBT+XB	C	1,000	2.02	n/a	n/a	non-brg 300.0
⚡ BUCK CAPITAL MGMT		BBT	C	100,000	28.17	n/a	n/a	non-brg 2.0
1798 CAPITAL MGMT	040	BIG	SS	19,200	31.01	29-May	26-Aug	960.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE/MS OLDS	041	BK	B	10,000	33.90	n/a	15-Aug	400.00	4.0
HIGHBRIDGE CAPITAL	038	BNI	B	15,000	102.21	n/a	n/a	750.00	5.0
MILLRACE FUND LP	028	BWA	SS	10,000	40.24	10-Jun	1-Aug	400.00	4.0
WAGONHOUND/NIC	048	BWA	SS	7,024	40.35	10-Jun	1-Aug	280.96	4.0
B BUCK CAPITAL MGMT		CEC	C	30,000	32.42	n/a	n/a	non-brg	4.0
HIGHBRIDGE/MS OLDS	041	CF	S	25,000	148.03	n/a	n/a	1,000.00	4.0
VISIUM/BALY HOWARD	038	CHS	B	50,000	5.22	8-Aug	26-Aug	2,500.00	5.0
BUCK CAPITAL MGMT	001	CMG	B	30,000	67.91	24-Jul	24-Jul	1,200.00	4.0
B BUCK CAPITAL MGMT		COLM	C	20,000	39.60	n/a	n/a	non-brg	4.0
1798 CAPITAL MGMT	040	COST	S	15,000	66.15	n/a	27-Jul	750.00	5.0
CATAPULT	040	C	SS	5,000	17.92	19-Jun	15-Aug	250.00	5.0
B BUCK CAPITAL MGMT		DECK	B	5,000	109.63	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	22,700	38.63	n/a	8-Aug	908.00	4.0
WASHINGTON ASSET	048	DVN	B	5,000	103.90	7-May	21-Aug	250.00	5.0
B BUCK CAPITAL MGMT		FL+KW	SS	2,000	0.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	GES	B	20,000	35.65	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		GIL	B	30,000	22.22	14-Aug	14-Aug	non-brg 4.0
TRELLUS PARTNERS LP	028	GNTX	SS	10,000	15.73	24-Jun	28-Jul	500.00 5.0
TRELLUS PARTNERS LP	028	GPI	SS	10,000	21.38	10-Jun	30-Jul	500.00 5.0
COUGAR TRADING	044	GPS	B	5,000	19.13	n/a	22-Aug	250.00 5.0
BUCK CAPITAL MGMT	001	HAS	B	38,000	35.63	n/a	n/a	1,520.00 4.0
KAICHER	064	HK	S	1,000	35.33	n/a	n/a	0.00 0.0
CUMBERLAND	038	HSNI	B	100,000	16.04	n/a	n/a	4,000.00 4.0
CRAMER ROSENTHAL/SCOTT	012	IVZ	S	27,000	25.18	4-Aug	18-Aug	1,080.00 4.0
CATAPULT	040	JNS	B	4,000	25.17	25-Jul	18-Aug	200.00 5.0
B BUCK CAPITAL MGMT		KTQ+XN	SS	850	5.25	n/a	n/a	non-brg 300.0
N STRATTON	014	LBY	B	200	9.18	n/a	n/a	0.00 0.0
COUGAR TRADING	044	LTD	B	5,000	20.23	19-Aug	21-Aug	250.00 5.0
HIGHBRIDGE CAPITAL	038	NSC	B	11,100	69.46	n/a	n/a	555.00 5.0
BUCK CAPITAL MGMT	001	OXM	B	10,000	21.45	n/a	n/a	400.00 4.0
B BUCK CAPITAL MGMT		PERY	B	9,200	16.94	n/a	n/a	non-brg 1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	PG	SS	20,000	70.05	18-Aug	18-Aug	1,000.00	5.0
1798 CAPITAL MGMT	040	PNRA	SS	20,000	51.79	n/a	6-Aug	1,000.00	5.0
COUGAR TRADING	044	PSUN	B	55,000	5.64	n/a	22-Aug	2,200.00	4.0
1798 CAPITAL MGMT	040	RL	S	10,000	72.45	n/a	7-Aug	500.00	5.0
IRIDIAN ASSET MGMT.	036	ROC	B	75,740	37.41	n/a	n/a	3,787.00	5.0
CARDINAL CAPITAL	044	SSI	S	10,100	16.00	n/a	22-Aug	505.00	5.0
HIGHBRIDGE/MS OLDS	041	STT	B	20,000	65.10	n/a	n/a	800.00	4.0
LEDDY	035	TEN	C	700	14.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TGT	C	20,000	51.96	n/a	20-Aug	non-brg	4.0
1798 CAPITAL MGMT	040	TIF	B	25,000	38.91	n/a	2-Jun	1,250.00	5.0
B BUCK CAPITAL MGMT		TPX+LC	SS	1,000	0.76	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		TRLG	B	18,800	25.11	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		TRLG	B	31,200	25.20	n/a	n/a	non-brg	2.5
COUGAR TRADING	044	URBN	SS	5,000	33.98	14-Aug	14-Aug	250.00	5.0
GOLDMAN SACHS ASSET MGMT	044	UTX	S	65,000	64.81	n/a	n/a	2,600.00	4.0
WHITE PINE CAPITAL	030	WAG	B	5,450	35.50	n/a	n/a	272.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	WMT	S	10,000	58.72	8-Aug	14-Aug	500.00	5.0
BUCK CAPITAL MGMT	001	WRC	S	35,000	49.21	8-Aug	8-Aug	1,400.00	4.0
COUGAR TRADING	044	WRC	SS	5,000	48.72	8-Aug	8-Aug	250.00	5.0
⌘ BUCK CAPITAL MGMT		WSM+WC	SS	1,000	0.87	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		YUM	C	30,000	35.14	14-Aug	14-Aug	non-brg	4.0
TOTAL (Trade Date):								47,386.22	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/22	CENTURION GLOBAL MGMT LL	041	CTXS	B	13,300	30.98	n/a	n/a	399.00 3.0
AO,CXL	08/22	CENTURION PARTNERS	255	CTXS	B	13,300	30.98	n/a	n/a	665.00 5.0
AO,CRT	08/20	ADALY INV MGMT	067	EEM	B	10,500	40.10	n/a	n/a	525.00 5.0
AO,CXL	08/20	ADALY INV MGMT	067	EEM	B	10,500	40.10	n/a	n/a	525.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT.	036	ROC	B	72,940	37.37	n/a	n/a	3647.00 5.0

TOTAL (AO, CXL, CRT) :	3,381.00
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TOTAL (Trade Date) :	47,386.22
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TOTAL (AO, CXL, CRT) :	3,381.00
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TOTAL (RUN DATE) / NFS:	50,767.22
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MRI Securities with Trade Activity on 26-Aug. NONE

MRI Securities without Trade Activity on 26-Aug. DRI, OCR
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 17%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION