



Daily Compliance Activity Report

Trade Date: 07/22/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAI	B	50,000	4.69	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		ABG	B	20,000	11.61	n/a	15-Jul	non-brg	4.0
NWQ INVESTMENT MGMT CO	084	ACGY	S	2,100	14.59	n/a	n/a	63.00	3.0
WEISS PECK	040	AEO	B	17,800	12.30	n/a	9-Jul	890.00	5.0
WAGONHOUND/NIC	289	ALGN	S	1,347	14.85	n/a	n/a	53.88	4.0
f CHRISTOPHER ROBERT C	FID	AMG	B	100	64.20	n/a	2-Mar	0.00	0.0
B BUCK CAPITAL MGMT		AMZN	SS	10,000	119.76	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	ANF	SS	5,000	34.91	n/a	9-Jul	250.00	5.0
B BUCK CAPITAL MGMT		ANN	B	40,000	17.12	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	S	150,000	29.67	n/a	9-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	S	50,000	29.46	n/a	9-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	S	50,000	29.67	n/a	9-Jul	non-brg	2.5
EXIS CAPITAL	044	ARO	SS	10,000	29.70	n/a	9-Jul	500.00	5.0
B BUCK CAPITAL MGMT		AVP	B	20,000	29.14	n/a	12-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		AVY	S	44,000	35.15	n/a	8-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		AXL	S	50,000	8.69	n/a	15-Jul	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OZ MGMT	040	AXL	B	100,000	8.53	n/a	15-Jul	3,000.00	3.0
TRELLUS PARTNERS LP	028	AXL	S	56,100	8.67	n/a	15-Jul	1,683.00	3.0
B BUCK CAPITAL MGMT		AXP	S	30,000	43.07	n/a	21-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		BBBY	B	10,000	38.35	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BHI	S	30,000	49.18	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BLK	S	7,000	152.08	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		BONT	B	1,400	7.88	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		BONT	B	3,696	7.97	n/a	n/a	non-brg	0.8
FIRST NEW YORK LEDDY	035	BSX	B	10,000	6.09	n/a	n/a	400.00	4.0
CATAPULT	040	BTU	SS	1,052	44.86	n/a	n/a	52.60	5.0
TRELLUS PARTNERS LP	028	BWA	B	20,000	42.07	n/a	15-Jul	1,000.00	5.0
B BUCK CAPITAL MGMT		BWLD	B	30,000	41.01	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		CAKE	SS	50,000	25.67	n/a	20-Jul	non-brg	2.0
BNP PARIBAS SEC	040	CAT	SS	20,000	68.17	n/a	12-May	800.00	4.0
SACC-SCHWARTZ	044	CAT	SS	25,000	68.45	n/a	12-May	875.00	3.5
CAMBIAR CAP	046	CBE	B	20,150	44.95	n/a	22-Jul	806.00	4.0
CATAPULT	040	CBE	SS	1,739	45.64	n/a	22-Jul	86.95	5.0
f ABRAM M CHAPPELL	FID	CGI	S	100	15.34	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	CHK	S	2,982	21.66	n/a	n/a	149.10	5.0
ß BUCK CAPITAL MGMT		CHS	B	50,000	10.00	n/a	5-Feb	non-brg	3.0
ß BUCK CAPITAL MGMT		CMN	B	1,400	15.49	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CMN	B	100	15.46	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		CMN	B	100	15.46	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		CMN	B	11,900	15.49	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CMN	B	300	15.46	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		CMN	B	300	15.49	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CMN	B	4,100	15.46	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		CMN	B	400	15.46	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		CMN	B	500	15.49	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CMN	B	900	15.49	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CNW	S	15,000	32.94	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	COH	B	100,000	36.47	n/a	19-Jul	4,000.00	4.0
PECONIC PARTNERS	036	COH	SS	100,000	36.30	n/a	19-Jul	5,000.00	5.0
TROUBH PART	067	COH	B	25,000	36.65	n/a	19-Jul	1,250.00	5.0
BNP PARIBAS SEC	040	COL	B	7,500	55.96	n/a	21-Jul	300.00	4.0
ß BUCK CAPITAL MGMT		COST	C	100,000	54.39	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	CPN	S	1839	13.90	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CRI	S	70,000	25.80	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	CRI	B	20,000	25.68	n/a	n/a	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	CRK	S	2,070	26.68	n/a	n/a	103.50	5.0
f KAREN F LEDDY	FID	CRK	B	400	26.52	n/a	n/a	0.00	0.0
CATAPULT	040	CRR	SS	1,180	78.85	n/a	19-Jul	59.00	5.0
f ALICE BEEBE LONGLEY	FID	CSCO	S	1600	23.02	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CTRN	SS	20,000	32.47	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CWTR	S	194,826	3.60	n/a	n/a	non-brg	3.0
1798 CAP/LOMBARD ASSET	040	CYT	B	2,000	48.97	n/a	n/a	100.00	5.0
LONG OAR GLOBAL INV	040	DAN	B	100,000	11.20	n/a	15-Jul	4,000.00	4.0
B BUCK CAPITAL MGMT		DBRN	B	30,000	23.88	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	B	40,000	47.42	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	DF	S	25,000	11.75	n/a	n/a	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	041	DLTR	SS	10,000	42.66	n/a	6-Jul	350.00	3.5
f DAVID GEORGE BUCK	FID	DO	B	500	62.72	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	DRI	B	3000	42.44	n/a	8-Jul	0.00	0.0
B BUCK CAPITAL MGMT		EBAY	B	100,000	21.07	n/a	n/a	non-brg	4.0
THOMPSON SIEGAL&WALMSLEY	029	ENDP	S	1,700	23.36	n/a	19-Jul	68.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THOMPSON SIEGAL&WALMSLEY	029	ENDP	S	2,300	23.36	n/a	19-Jul	92.00	4.0
1798 CAP/LOMBARD ASSET	040	EP	B	40,000	12.35	n/a	n/a	2,000.00	5.0
B BUCK CAPITAL MGMT		ESI	C	30,000	84.41	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	ETH	SS	10,000	15.47	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		FINL	B	100,000	14.72	n/a	n/a	non-brg	4.0
CONIFER/ISF	025	FL	B	3,000	13.62	n/a	16-Jun	150.00	5.0
B BUCK CAPITAL MGMT		FOSL	S	20,000	38.93	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	FRED	B	900	10.75	n/a	n/a	0.00	0.0
MELLON/BANK OF NEW YORK	046	FTR	B	13,000	7.34	n/a	n/a	650.00	5.0
B BUCK CAPITAL MGMT		F	S	50,000	12.17	n/a	15-Jul	non-brg	4.0
OZ MGMT	040	F	B	150,000	11.94	n/a	15-Jul	4,500.00	3.0
OZ MGMT	040	F	S	150,000	12.13	n/a	15-Jul	4,500.00	3.0
THIRD COAST CAPITAL	035	F	B	50,000	12.00	n/a	15-Jul	2,500.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	20,000	27.49	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		GDOT	S	5,000	43.63	n/a	n/a	non-brg	2.5
EXIS CAPITAL	044	GES	SS	5,000	35.09	n/a	19-Jul	250.00	5.0
BNP PARIBAS SEC	040	GE	SS	20,000	15.15	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GIL	S	40,000	29.47	n/a	19-Jul	non-brg	4.0
SCOPUS FUND	041	GPI	SS	5,000	26.80	n/a	15-Jul	250.00	5.0
SIGMA CAPITAL ASSOCIATES	041	GPS	SS	25,000	18.26	n/a	n/a	875.00	3.5
f FRANCIS MICHAEL MCCA	FID	GR	B	200	69.50	n/a	4-Jun	0.00	0.0
DIAMONDBACK CAP MGMT	232	GTI	S	25,000	16.15	n/a	n/a	1,250.00	5.0
EXIS CAPITAL	044	GYMB	SS	10,000	43.08	n/a	9-Jul	500.00	5.0
B BUCK CAPITAL MGMT		HBI	B	10,000	23.98	22-Jul	22-Jul	non-brg	2.5
B BUCK CAPITAL MGMT		HBI	B	20,000	23.61	22-Jul	22-Jul	non-brg	2.0
B BUCK CAPITAL MGMT		HBI	B	20,000	23.84	22-Jul	22-Jul	non-brg	0.8
B BUCK CAPITAL MGMT		HBI	B	40,000	23.88	22-Jul	22-Jul	non-brg	2.0
EVERCORE WEALTH MGMT	012	HCBK	S	52,750	12.17	n/a	21-Jul	2,110.00	4.0
BMO NESBITT BURNS	041	HSP	B	3,000	57.70	-[i]	22-Jul	300.00	10.0
THE JAY GOLDMAN	028	HST	B	20,000	13.97	n/a	n/a	800.00	4.0
THE JAY GOLDMAN	028	HTZ	S	20,000	10.82	n/a	n/a	800.00	4.0
CATAPULT	040	ITW	SS	1,775	42.12	n/a	21-Jul	88.75	5.0
f DAVID GEORGE BUCK	FID	IWF	S	3500	48.76	n/a	n/a	0.00	0.0
Ö Alice Longley		IYR	S	1200	49.75	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ō Alice Longley		IYT	S	400	77.60	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		JBHT	S	30,000	35.28	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		JBLU	S	50,000	6.25	n/a	n/a	non-brg	3.0
BROADARCH CAP/OLD NEWC	070	JCI	B	5,000	29.27	n/a	16-Jun	200.00	4.0
f CHRISTOPHER ROBERT C	FID	JCI	B	150	30.02	n/a	16-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		JCP	SS	40,000	24.86	n/a	9-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		JNS	S	50,000	10.49	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		JNS	S	50,000	10.53	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	JNY	B	42,600	15.47	n/a	19-Jul	2,130.00	5.0
ARCHON CAPITAL	035	KCG	S	60,000	13.80	n/a	n/a	1,800.00	3.0
EXIS CAPITAL	044	KSS	SS	10,000	48.03	n/a	9-Jul	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	LEA	B	5,400	71.81	n/a	15-Jul	162.00	3.0
BMO NESBITT BURNS	041	LPX	B	17,000	7.05	n/a	19-Jul	510.00	3.0
BALYASNY ASSET MGMT	044	LTD	B	30,000	25.23	n/a	n/a	1,500.00	5.0
TRELLUS PARTNERS LP	028	LVS	SS	10,000	25.16	n/a	7-Jul	500.00	5.0
CASTLE ARK	031	MHS	S	143,900	50.10	n/a	n/a	7,195.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBUS CIRCLE	036	MLNX	S	46	19.82	n/a	n/a	1.84	4.0
⚡ BUCK CAPITAL MGMT		MNRO	B	10,000	37.73	n/a	n/a	non-brg	4.0
f MARK ANTHONY GRONET	FID	MSFT	B	1000	25.82	n/a	n/a	0.00	0.0
f HARALAMBOS EFSTATHI	FID	MTG	S	1500	8.70	n/a	n/a	0.00	0.0
CATAPULT	040	MTW	SS	7,682	9.80	n/a	30-Apr	384.10	5.0
BMO NESBITT BURNS	041	MYL	SS	7,000	17.82	n/a	19-Jul	700.00	10.0
⚡ BUCK CAPITAL MGMT		M	S	46,200	19.02	n/a	9-Jul	non-brg	4.0
EXIS CAPITAL	044	M	B	10,000	18.95	n/a	9-Jul	500.00	5.0
f JOHN BARTMAN COLE	FID	NBRXF	B	500	12.20	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		NILE	SS	10,000	49.13	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		OZM	B	1,100	12.23	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		OZM	B	2,400	12.23	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		OZM	B	3,600	12.23	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		OZM	B	32,000	12.23	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		OZM	B	900	12.23	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		PAG	B	100,000	12.99	n/a	15-Jul	non-brg	4.0
WAGONHOUND/NIC	289	PAG	B	7,862	12.94	n/a	15-Jul	314.48	4.0
f DAVID GEORGE BUCK	FID	PBCT	B	2000	13.92	n/a	16-Jul	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		PETM	C	44,500	32.07	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PFCB	SS	20,000	43.31	n/a	8-Jul	non-brg	4.0
EXIS CAPITAL	044	PLCE	SS	10,000	44.78	n/a	3-Jun	500.00	5.0
ELM RIDGE ADVISORS	044	PTV	SS	41,000	29.77	n/a	n/a	1,640.00	4.0
CATAPULT	040	PWR	SS	3,550	21.66	n/a	n/a	177.50	5.0
B BUCK CAPITAL MGMT		QKLS	S	27,093	4.30	n/a	n/a	non-brg	3.0
MACKLOWE ASSET MGMT	041	RCL	SS	80,800	26.62	n/a	n/a	3,232.00	4.0
NWQ INVESTMENT MGMT CO	084	RDEN	S	2,600	15.50	n/a	17-May	78.00	3.0
BUCK CAPITAL MGMT	001	RL	B	25,000	76.51	n/a	19-Jul	1,000.00	4.0
B BUCK CAPITAL MGMT		RL	C	5,000	76.63	n/a	19-Jul	non-brg	2.0
EXIS CAPITAL	044	RL	B	7,500	76.04	n/a	19-Jul	375.00	5.0
BNP PARIBAS SEC	040	ROK	B	8,000	53.91	n/a	n/a	320.00	4.0
EXIS CAPITAL	044	ROST	SS	15,000	54.49	n/a	n/a	750.00	5.0
f KAREN F LEDDY	FID	SBUX	B	450	24.72	n/a	22-Jul	0.00	0.0
BALYASNY ASSET MGMT	044	SKX	B	20,000	35.55	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		SKX	B	50,000	34.82	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	SLM	S	500	10.65	n/a	21-Jul	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SENATOR INVESTMENT GROUP	036	SPY	B	65,000	109.58	n/a	n/a	1,950.00	3.0
f KAREN F LEDDY	FID	SRI	B	200	8.20	n/a	n/a	0.00	0.0
	031	SSI	B	5,341	11.01	n/a	n/a	213.64	4.0
MELLON/BANK OF NEW YORK	046	STX	S	38,820	13.16	n/a	n/a	1,941.00	5.0
f CHRISTOPHER ARTHUR K	FID	SWN	S	25	0.95	n/a	19-Jul	0.00	0.0
JP MORGAN VENTURES	046	TGT	B	10,000	51.66	n/a	9-Jul	500.00	5.0
CASTLEROCK	040	TIF	B	76,060	40.54	n/a	n/a	3,042.40	4.0
TROUBH PART	067	TIF	B	25,000	40.71	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		TLB	B	40,000	10.98	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TSCO	SS	40,000	67.99	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		UAUA	S	30,000	22.57	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		UA	SS	20,000	36.57	n/a	19-Jul	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	UFS	B	100	52.16	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	UPS	S	9,000	63.73	n/a	n/a	450.00	5.0
B BUCK CAPITAL MGMT	001	VFC	SS	40,000	78.10	n/a	19-Jul	1,600.00	4.0
B BUCK CAPITAL MGMT		VSI	SS	24,700	25.64	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		WERN	S	10,000	24.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WFMI	SS	30,000	37.06	n/a	n/a	non-brg	4.0
HARBORWATCH/DIAMBACK	232	WLT	S	10,000	72.06	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		WRC	S	53,000	41.31	n/a	19-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		WRC	S	70,000	41.50	n/a	19-Jul	non-brg	4.0
SCOPUS FUND	041	WRC	B	2,300	40.58	n/a	19-Jul	115.00	5.0
Õ Ravi Sadarangani		YHOO	S	1000	14.00	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							88,737.74		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/21	WAGONHOUND/NIC	289	ALGN	S	409	14.55	n/a	n/a	16.36 4.0
AO,CXL	07/21	SCOPUS FUND	041	ANF	B	8,202	34.78	n/a	9-Jul	410.10 5.0
AO,CRT	07/21	SCOPUS FUND	041	ANF	B	8,202	34.78	n/a	9-Jul	410.10 5.0
AO,	07/21	WAGONHOUND/NIC	289	BCSI	S	2,262	20.55	n/a	n/a	90.48 4.0
AO,	07/21	FEDERATED INV/KAUFMAN	029	DGI	B	68,100	25.52	n/a	n/a	3745.50 5.5
AO,	07/21	DENVER INST. ADV	046	MFB	B	52,000	22.26	n/a	n/a	2080.00 4.0
AO,CXL	07/21	SASCO	239	SE	S	33,660	20.94	n/a	n/a	1346.40 4.0
AO,CRT	07/21	SASCO	239	SE	S	33,660	20.94	n/a	n/a	1346.40 4.0
AO,CRT	07/21	KACELA CAPITAL	034	SMH	SS	64,000	27.67	n/a	n/a	1920.00 3.0
AO,CXL	07/21	KACELA CAPITAL	034	SMH	SS	64,000	27.67	n/a	n/a	2560.00 4.0
TOTAL (AO, CXL, CRT) :										5,292.34
TOTAL (Trade Date):										88,737.74
TOTAL (AO, CXL, CRT):										5,292.34
TOTAL (RUN DATE) / NFS:										94,030.08

MRI Securities with Trade Activity on 22-Jul.

HBI

MRI Securities without Trade Activity on 22-Jul.

MMM

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 8%

YTD = 10%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)