



# Daily Compliance Activity Report

Trade Date: 07/16/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	AAP	B	150,000	39.10	n/a	n/a	5,625.00	3.8
COUGAR TRADING	044	AEO	S	20,000	13.95	6-Mar	16-Jul	1,000.00	5.0
LEDDY	035	AER	S	1,500	12.34	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AMG	S	1,200	79.57	15-Jul	15-Jul	non-brg	1.0
B BUCK CAPITAL MGMT		AMT	S	1,500	40.14	n/a	n/a	non-brg	1.0
ARIENCE CAPITAL	036	ANF	SS	11,300	54.14	10-Jul	11-Jul	565.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	40,000	56.82	10-Jul	11-Jul	1,600.00	4.0
BUCK CAPITAL MGMT	001	ANN	SS	40,000	22.63	14-Mar	11-Jul	1,600.00	4.0
BUCK CAPITAL MGMT	001	APP	B	40,000	6.52	n/a	n/a	1,600.00	4.0
LEDDY	035	ARGN	B	1,800	5.93	24-Jun	24-Jun	0.00	0.0
CLOVIS CAPITAL	012	ARO	SS	100,000	29.60	28-Apr	11-Jul	5,000.00	5.0
ARIENCE CAPITAL	036	AVP	SS	17,000	34.67	4-Mar	24-Jun	850.00	5.0
HUNTER GLOBAL INVESTOR	067	AVP	B	50,000	34.84	4-Mar	24-Jun	2,500.00	5.0
J L F ASSET MGMT	039	AVP	B	34,300	35.90	4-Mar	24-Jun	1,372.00	4.0
J L F ASSET MGMT	039	BAC	B	210,000	20.22	n/a	14-Jul	8,400.00	4.0
B BUCK CAPITAL MGMT		BARE	B	20,000	16.35	n/a	n/a	non-brg	2.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TROUBH PART	067	BA	B	25,000	65.51	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>SS</b>	<b>10,000</b>	<b>28.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	034	BBBY	B	20,000	28.59	n/a	n/a	800.00	4.0
J L F ASSET MGMT	039	BEAV	B	50,000	25.05	n/a	n/a	2,000.00	4.0
MERRILL ASSET	032	BEN	S	266,700	86.08	15-Jul	15-Jul	12,001.50	4.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>B</b>	<b>90,000</b>	<b>27.97</b>	<b>29-May</b>	<b>11-Jul</b>	<b>3,600.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BJ</b>	<b>B</b>	<b>50,000</b>	<b>41.67</b>	<b>n/a</b>	<b>27-Jul</b>	<b>2,000.00</b>	<b>4.0</b>
SANDLER O'NEILL ASSET	031	BK	B	125,000	35.04	n/a	14-Jul	6,250.00	5.0
SUNOVA CAPITAL	031	BLK	B	20,000	175.83	n/a	15-Jul	1,000.00	5.0
CENTURION GLOBAL MGMT LL	041	BRCM	B	10,000	29.48	n/a	n/a	300.00	3.0
DECADE CAPITAL PARTNERS	040	BRO	S	100,000	17.42	n/a	n/a	5,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BWA</b>	<b>SS</b>	<b>60,000</b>	<b>38.99</b>	<b>10-Jun</b>	<b>10-Jun</b>	<b>2,400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BYI</b>	<b>B</b>	<b>30,000</b>	<b>27.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>LEDDY</b>	<b>035</b>	<b>CAT</b>	<b>C</b>	<b>300</b>	<b>70.06</b>	<b>n/a</b>	<b>23-May</b>	<b>0.00</b>	<b>0.0</b>
<b>WHEELER</b>	<b>008</b>	<b>CBI</b>	<b>B</b>	<b>4,000</b>	<b>28.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CCI</b>	<b>S</b>	<b>2,500</b>	<b>35.88</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	CGNX	C	14,900	23.04	n/a	n/a	745.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CHS</b>	<b>B</b>	<b>200,000</b>	<b>5.01</b>	<b>11-Jul</b>	<b>16-Jul</b>	<b>8,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CIR</b>	<b>C</b>	<b>10,000</b>	<b>62.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NORTHPOINT	030	CLDN	B	31,460	10.84	n/a	n/a	1,258.40	4.0
J L F ASSET MGMT	039	CMG	SS	4,600	72.02	16-Jul	16-Jul	184.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>CMI</b>	<b>C</b>	<b>200</b>	<b>65.27</b>	<b>n/a</b>	<b>23-May</b>	<b>0.00</b>	<b>0.0</b>
ARIENCE CAPITAL	036	COF	SS	36,400	34.56	16-Jul [i]	16-Jul	1,820.00	5.0
CATAPULT	040	COF	B	5,000	35.04	16-Jul [i]	16-Jul	250.00	5.0
KARSCH CAPITAL	034	COF	C	415,100	36.59	16-Jul [i]	16-Jul	12,453.00	3.0
MERRILL ASSET	032	COH	B	519,700	27.17	4-Apr	9-Jul	23,386.50	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>SS</b>	<b>30,000</b>	<b>37.21</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COST</b>	<b>C</b>	<b>65,000</b>	<b>71.38</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	CPKI	SS	5,000	12.02	10-Jul	10-Jul	250.00	5.0
TRUST CO/COWEN/CALF.	040	CSE	S	611,480	10.35	n/a	n/a	24,459.20	4.0
CUMBERLAND	038	CTRN	B	5,000	19.83	n/a	n/a	200.00	4.0
LEHMAN PROPRIETARY TRAD	041	CTX	SS	100,000	12.10	n/a	n/a	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	CVS	S	15,000	38.29	n/a	n/a	600.00	4.0
RAINIER INV MGMT	035	CVX	B	21,355	86.45	n/a	n/a	1,067.75	5.0
<b>N STRATTON</b>	<b>014</b>	<b>CYBE</b>	<b>B</b>	<b>99</b>	<b>9.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	DAL	B	72,500	5.90	n/a	n/a	2,900.00	4.0
<b>PRYLUCKI</b>	<b>092</b>	<b>DDM</b>	<b>S</b>	<b>100</b>	<b>57.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
APEX CAPITAL MGMT.	091	DKS	B	250,000	16.36	7-Jul	9-Jul	12,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DKS</b>	<b>B</b>	<b>70,000</b>	<b>16.44</b>	<b>7-Jul</b>	<b>9-Jul</b>	<b>2,800.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DPZ</b>	<b>SS</b>	<b>30,000</b>	<b>10.90</b>	<b>n/a</b>	<b>24-Apr</b>	<b>1,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DUG</b>	<b>S</b>	<b>500</b>	<b>34.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
J.W. SELIGMAN	041	EAT	S	122,300	18.24	n/a	24-Apr	4,892.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>EOG</b>	<b>C</b>	<b>5,000</b>	<b>108.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
ACK ASSET	028	EV	SS	4,700	31.67	15-Jul	16-Jul	228.00	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>EV</b>	<b>SS</b>	<b>60,000</b>	<b>31.90</b>	<b>15-Jul</b>	<b>16-Jul</b>	<b>non-brg</b>	<b>4.0</b>
KARSCH CAPITAL	034	EXC	B	62,000	84.25	n/a	n/a	1,860.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>EXH</b>	<b>S</b>	<b>2,200</b>	<b>63.35</b>	<b>n/a</b>	<b>16-Jul</b>	<b>non-brg</b>	<b>1.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FDO</b>	<b>SS</b>	<b>50,000</b>	<b>23.22</b>	<b>30-Jun</b>	<b>11-Jul</b>	<b>2,000.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	FDO	B	41,800	22.92	30-Jun	11-Jul	1,672.00	4.0
LEDDY	035	FIG	B	1,400	9.39	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	FIS	S	300,000	19.92	n/a	n/a	9,000.00	3.0
GRANITE CAPITAL	045	FNM	S	24,000	7.77	n/a	n/a	1,200.00	5.0
⌘ BUCK CAPITAL MGMT		FOSL	B	10,000	24.23	n/a	9-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	B	10,000	24.92	n/a	9-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FRX	S	2,500	35.65	15-Apr	15-Jul	non-brg	1.0
BUCK CAPITAL MGMT	001	GES	B	190,000	32.99	n/a	n/a	7,600.00	4.0
⌘ BUCK CAPITAL MGMT		GIGM	B	30,000	11.68	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GIL	B	125,000	23.54	30-Apr	9-Jul	5,000.00	4.0
⌘ BUCK CAPITAL MGMT		GIL	B	100,000	22.96	30-Apr	9-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GIL	S	150,000	23.16	30-Apr	9-Jul	non-brg	4.0
LEDDY	035	GIL	B	700	22.94	30-Apr	9-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		GLW	B	2,500	20.07	n/a	9-Jul	non-brg	1.0
GAULT	063	GLW	B	1,000	20.02	n/a	9-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		GM+HB	SS	4,000	1.80	n/a	n/a	non-brg	200.0
⌘ BUCK CAPITAL MGMT		GM+TB	B	4,000	1.42	n/a	n/a	non-brg	200.0
PHILADELPHIA FINANCIAL	033	GS	B	14,832	166.25	19-Mar	14-Jul	593.28	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	GYMB	B	45,600	38.32	11-Jul	11-Jul	1,824.00	4.0
JP MORGAN VENTURES	046	HAS	SS	10,000	36.23	n/a	n/a	500.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>HBI</b>	<b>SS</b>	<b>100</b>	<b>25.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CROWLEY</b>	<b>061</b>	<b>HCGI</b>	<b>S</b>	<b>3,760</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ARIENCE CAPITAL	036	HEW	SS	17,400	35.42	n/a	n/a	870.00	5.0
J L F ASSET MGMT	039	HOT	B	40,000	34.05	n/a	n/a	1,600.00	4.0
LEHMAN PROPRIETARY TRAD	041	HPT	B	50,000	20.78	n/a	n/a	2,000.00	4.0
NOMURA CAPITAL	045	IAR	B	5,230	1.65	n/a	n/a	104.60	2.0
FARALLON CAP MGMT	040	IBM	B	85,000	126.27	n/a	n/a	3,400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>B</b>	<b>20,000</b>	<b>11.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CSFB GLOBAL PROPRIETARY	041	IP	B	105,000	22.46	16-Jul	16-Jul	5,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IVZ</b>	<b>S</b>	<b>7,200</b>	<b>22.28</b>	<b>15-Jul</b>	<b>15-Jul</b>	<b>non-brg</b>	<b>1.0</b>
BENCHMARK	028	JAKK	B	10,500	22.24	n/a	n/a	525.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>20,000</b>	<b>30.85</b>	<b>n/a</b>	<b>30-May</b>	<b>800.00</b>	<b>4.0</b>
HOOVER INV. MGMT	033	JCG	B	200,000	30.23	n/a	30-May	10,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCP</b>	<b>B</b>	<b>85,000</b>	<b>28.51</b>	<b>n/a</b>	<b>11-Jul</b>	<b>3,400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	034	JCP	B	20,000	29.65	n/a	11-Jul	800.00	4.0
ACK ASSET	028	JNS	SS	7,000	21.49	15-Jul	15-Jul	340.00	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>60,000</b>	<b>24.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JWN</b>	<b>B</b>	<b>100,000</b>	<b>27.96</b>	<b>10-Jul</b>	<b>11-Jul</b>	<b>4,000.00</b>	<b>4.0</b>
RBC CAPITAL MARKETS	034	JWN	S	5,000	28.50	10-Jul	11-Jul	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>KEG</b>	<b>S</b>	<b>4,500</b>	<b>17.69</b>	<b>n/a</b>	<b>16-Jul</b>	<b>non-brg</b>	<b>1.0</b>
WEISS PECK	040	KMX	C	9,500	12.11	n/a	10-Jan	475.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>60,000</b>	<b>40.04</b>	<b>n/a</b>	<b>11-Jul</b>	<b>2,400.00</b>	<b>4.0</b>
KACELA CAPITAL	034	KSS	S	13,000	40.18	n/a	11-Jul	520.00	4.0
SIGMA CAPITAL ASSOCIATES	237	KSS	B	50,000	40.41	n/a	11-Jul	2,500.00	5.0
ARTX	304	KSS	B	212,700	40.04	n/a	11-Jul	10,635.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LBTYK</b>	<b>S</b>	<b>3,195</b>	<b>28.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
FARALLON CAP MGMT	040	LEN	SS	100,000	11.11	n/a	n/a	3,000.00	3.0
APEX CAPITAL MGMT.	091	LIZ	B	100,000	12.34	n/a	14-May	5,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>B</b>	<b>70,000</b>	<b>12.06</b>	<b>n/a</b>	<b>14-May</b>	<b>2,800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LL</b>	<b>B</b>	<b>40,000</b>	<b>13.36</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>PRYLUCKI</b>	<b>092</b>	<b>LM</b>	<b>S</b>	<b>50</b>	<b>30.56</b>	<b>1-Jul</b>	<b>15-Jul</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	LTD	B	50,000	15.44	n/a	11-Jul	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	MA	B	5,000	260.97	16-Jul [i]	16-Jul	250.00	5.0
SANDLER O'NEILL ASSET	031	MER	B	30,000	25.64	24-Jun	14-Jul	1,500.00	5.0
⚡ BUCK CAPITAL MGMT		MHK	C	40,000	62.49	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MSMSBOIH	C	4,500	106.58	n/a	n/a	non-brg	10.7
⚡ BUCK CAPITAL MGMT		MSM	S	3,700	46.74	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		MS	S	2,500	34.99	n/a	14-Jul	non-brg	1.0
BUCK CAPITAL MGMT	001	MW	B	20,000	17.78	n/a	n/a	800.00	4.0
BALYASNY ASSET MGMT	044	M	B	30,000	16.83	14-Jul	14-Jul	1,500.00	5.0
LEVIN CAPITAL STRATEGIES	012	M	B	50,000	16.25	14-Jul	14-Jul	1,500.00	3.0
BUCK CAPITAL MGMT	001	NWY	SS	50,000	9.62	n/a	22-May	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		OIH	C	5,500	195.08	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	OXM	SS	20,000	19.51	n/a	n/a	800.00	4.0
LEDDY	035	PCL	B	300	42.01	29-Apr	11-Jul	0.00	0.0
⚡ BUCK CAPITAL MGMT		PFCB	SS	20,000	23.66	n/a	16-Jul	non-brg	4.0
BUCK CAPITAL MGMT	001	PG	B	50,000	63.96	24-Jun	24-Jun	2,000.00	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	14,000	23.35	7-Apr	11-Jul	700.00	5.0



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ELM RIDGE ADVISORS	044	PKG	S	229,100	23.77	7-Apr	11-Jul	9,164.00	4.0
<b>N STRATTON</b>	<b>014</b>	<b>PNWIF</b>	<b>B</b>	<b>300</b>	<b>3.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>QUKHE</b>	<b>S</b>	<b>3</b>	<b>7.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>QUKHF</b>	<b>S</b>	<b>3</b>	<b>5.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FIRST NEW YORK LEDDY	035	Q	S	10,000	3.49	n/a	n/a	600.00	6.0
KINGDON ASSET MGMT	041	RIMM	B	75,000	106.10	n/a	n/a	3,000.00	4.0
COUGAR TRADING	044	RL	B	10,000	58.88	7-Feb	29-May	500.00	5.0
<b>KARPNANCY</b>	<b>023</b>	<b>RSRAX</b>	<b>B</b>	<b>443</b>	<b>11.29</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SDXC</b>	<b>C</b>	<b>10,000</b>	<b>15.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>PRYLUCKI</b>	<b>092</b>	<b>SKF</b>	<b>B</b>	<b>50</b>	<b>182.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>PRYLUCKI</b>	<b>092</b>	<b>SKF</b>	<b>S</b>	<b>50</b>	<b>160.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CSFB GLOBAL PROPRIETARY	041	SPY	B	70,000	122.09	n/a	n/a	3,500.00	5.0
CSFB GLOBAL PROPRIETARY	041	SSCC	B	120,000	4.39	7-Apr	11-Jul	6,000.00	5.0
<b>MCERLEAN</b>	<b>016</b>	<b>SSO</b>	<b>S</b>	<b>1,000</b>	<b>56.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ARIENCE CAPITAL	036	STT	C	19,800	62.92	n/a	n/a	990.00	5.0
JP MORGAN VENTURES	046	STX	B	50,000	14.57	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		TGT+VG	SS	1,000	1.29	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	THI	SS	40,000	27.38	n/a	24-Apr	1,600.00	4.0
BUCK CAPITAL MGMT	001	TIF	B	20,000	38.11	n/a	2-Jun	800.00	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	60,000	11.68	29-Apr	11-Jul	3,000.00	5.0
IMPALA ASSET MMT	012	TIN	B	200,000	12.07	29-Apr	11-Jul	6,000.00	3.0
BUCK CAPITAL MGMT	001	TJX	B	120,000	34.12	n/a	11-Jul	4,800.00	4.0
J L F ASSET MGMT	039	TJX	B	75,400	33.72	n/a	11-Jul	3,016.00	4.0
ACK ASSET	028	TROW	SS	3,500	47.94	15-Jul	15-Jul	170.00	4.5
ACK ASSET	028	TRYB	B	30,000	5.80	n/a	n/a	900.00	3.0
ENTRUST CAPITAL	040	TSO	SS	180,200	16.81	n/a	n/a	9,010.00	5.0
⚡ BUCK CAPITAL MGMT		TWTC	S	2,000	14.31	n/a	4-Jan	non-brg	1.0
TRUST CO/COWEN/CALF.	040	TYC	S	34,775	38.23	29-Apr	10-Jul	1,738.75	5.0
⚡ BUCK CAPITAL MGMT		ULTA	B	20,000	10.01	n/a	n/a	non-brg	2.5
BEAR STEARNS ASSET MGMT.	033	URBN	S	32,900	31.00	15-May	9-Jul	1,316.00	4.0
⚡ BUCK CAPITAL MGMT		URBN	B	40,000	30.34	15-May	9-Jul	non-brg	4.0
PORTER-ORLIN-FELDMAN	044	VC	B	100,000	2.31	n/a	8-Jul	4,400.00	4.0
TRELLUS PARTNERS LP	028	VC	B	14,500	2.10	n/a	8-Jul	435.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>LEDDY</b>	<b>035</b>	<b>VC</b>	<b>B</b>	<b>3,700</b>	<b>2.37</b>	<b>n/a</b>	<b>8-Jul</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	V	B	10,000	69.42	16-Jul [i]	16-Jul	500.00	5.0
COUGAR TRADING	044	V	S	5,000	70.86	16-Jul [i]	16-Jul	250.00	5.0
RBC CAPITAL MARKETS	034	WAG	S	5,000	33.20	n/a	n/a	200.00	4.0
CATAPULT	040	WFC	B	5,000	25.00	n/a	n/a	250.00	5.0
CAXTON PART	040	WFC	S	58,430	26.87	n/a	n/a	2,045.06	3.5
VENTANA CAPITAL	060	WFC	B	5,000	25.05	n/a	n/a	250.00	5.0
VENTANA CAPITAL	060	WFC	S	5,000	25.69	n/a	n/a	250.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>WFSL</b>	<b>SS</b>	<b>1,000</b>	<b>14.64</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WGO</b>	<b>C</b>	<b>32,100</b>	<b>11.98</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>B</b>	<b>30,000</b>	<b>41.95</b>	<b>13-May</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	034	WRC	S	2,500	42.04	13-May	9-Jul	100.00	4.0
SCOPUS FUND	041	WY	B	50,000	48.80	7-Apr	11-Jul	2,500.00	5.0
CSFB GLOBAL PROPRIETARY	041	XLB	SS	50,000	38.76	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>XLE</b>	<b>C</b>	<b>400</b>	<b>76.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
CSFB GLOBAL PROPRIETARY	041	XLI	SS	50,000	32.53	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>200,000</b>	<b>28.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>600,000</b>	<b>28.56</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>

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**TOTAL (Trade Date):**

**350,111.04**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/15	REINHART & MAHONEY	031	FSP	S	1,750	13.53	n/a	n/a	87.50 5.0
AO,CXL	07/15	REINHART & MAHONEY	031	FSP	S	1,750	13.53	n/a	n/a	0.68 0.0
AO,CXL	07/11	BLUE HARBOR	036	KEG	S	11,000	18.31	n/a	16-Jul	440.00 4.0
AO,CRT	07/11	ROARING BLUE LION MGMT	012	KEG	S	11,000	18.31	n/a	16-Jul	440.00 4.0
AO,CXL	07/15	MERRILL LYNCH STRATEGICI	034	M	B	25,000	15.69	14-Jul	14-Jul	1250.00 5.0
AO,CRT	07/15	MERRILL LYNCH STRATEGICI	034	M	B	25,000	15.69	14-Jul	14-Jul	1000.00 4.0
AO,CRT	07/15	ROARING BLUE LION MGMT	012	MF	S	97,750	3.80	n/a	n/a	2932.50 3.0
AO,CXL	07/15	ROARING BLUE LION MGMT	012	MF	S	97,750	3.80	n/a	n/a	3910.00 4.0

**TOTAL (AO, CXL, CRT) :****-1,140.68**

TOTAL (Trade Date) :

350,111.04

TOTAL (AO, CXL, CRT) :

-1,140.68

**TOTAL (RUN DATE) / NFS:****348,970.36****MRI Securities with Trade Activity on 16-Jul.****CMG, COF, IP, MA, V**

MRI Securities without Trade Activity on 16-Jul.  
AXP, DFS, NTRS

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 51%  
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION