



Daily Compliance Activity Report

Trade Date: 05/01/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	AAI	B	5,000	11.11	n/a	n/a	0.00	0.0
LOOMIS SALES/BOSTON	036	ADM	C	64,850	36.54	n/a	n/a	2,594.00	4.0
BALYASNY ASSET MGMT	044	AGE	S	10,000	72.17	n/a	n/a	500.00	5.0
MERRILL LYNCH STRATEGICI	034	AG	B	20,000	41.71	n/a	n/a	1,000.00	5.0
ROBX	033	AKAM	S	18,000	43.13	n/a	n/a	720.00	4.0
Õ Glenn Redemann		AMLN	B	2	1.00	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AMLN	B	2	1.50	n/a	n/a	0.00	0.0
MAGUIRE	090	AMR	B	1,500	26.35	n/a	n/a	0.00	0.0
WAGONHOUND	048	AMX	S	7,100	52.56	n/a	n/a	284.00	4.0
MCCARTAN	028	ANN	SS	100	37.76	5-Jan	25-Apr	0.00	0.0
LUCHANSKY	036	ANN	S	100	37.75	5-Jan	25-Apr	0.00	0.0
Õ Glenn Redemann		ARD	B	10	0.94	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ARD	B	10	0.99	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ARD	SS	10	2.16	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ARD	SS	10	2.22	n/a	n/a	0.00	0.0
CATAPULT	040	ARO	SS	4,300	40.88	n/a	13-Apr	172.00	4.0
CAPITAL GROWTH MGMT.	241	ATW	B	90,000	63.31	n/a	n/a	4,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		AVCI	C	40,000	7.84	n/a	n/a	non-brg 4.0
COLUMBUS CIRCLE	036	AVP	B	4,000	41.00	2-May	26-Apr	160.00 4.0
PHILADELPHIA FINANCIAL	031	AVZ	B	72,341	23.62	26-Apr	1-May	3,617.05 5.0
KENNEDY CAPITAL	046	AXS	S	29,325	37.34	n/a	n/a	1,173.00 4.0
HARVEST MGMT	039	BBBY	SS	20,000	40.46	n/a	n/a	1,000.00 5.0
BUCK CAPITAL MGMT	001	BBW	B	50,000	27.98	19-Mar	1-May	2,000.00 4.0
BUCK CAPITAL MGMT		BBY	B	5,000	45.76	1-May	1-May	non-brg 5.0
BALYASNY ASSET MGMT	044	BEN	SS	5,000	130.66	26-Apr	1-May	250.00 5.0
NCM CAPITAL MGMT	035	BHE	S	55,525	21.03	n/a	n/a	2,776.25 5.0
BUCK CAPITAL MGMT		BID	S	15,000	50.46	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT		BIG	SS	10,000	31.64	9-Mar	12-Apr	non-brg 5.0
MAGNETAR FINANCIAL	247	BIG	B	20,000	31.63	9-Mar	12-Apr	800.00 4.0
THIRD POINT MGMT	044	BIG	SS	100,000	31.78	9-Mar	12-Apr	4,000.00 4.0
BUCK CAPITAL MGMT		BONT	S	5,000	47.62	9-Mar	26-Apr	non-brg 5.0
COUGAR TRADING	044	BONT	SS	5,000	49.07	9-Mar	26-Apr	250.00 5.0
WOOSTER CAPITAL	035	BONT	B	25,000	47.77	9-Mar	26-Apr	1,250.00 5.0
LEDDY	035	BSC	S	250	154.99	15-Dec	16-Apr	0.00 0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	CAL	B	2,500	36.88	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	CBK	S	230,000	17.20	30-Mar	25-Apr	9,200.00	4.0
⌘ BUCK CAPITAL MGMT		CBK	S	105,000	17.15	30-Mar	25-Apr	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CBK	S	30,000	17.16	30-Mar	25-Apr	non-brg	5.0
GAULT	063	CCUR	B	5,000	1.50	n/a	n/a	0.00	0.0
HARVEST MGMT	039	CC	B	10,000	16.00	1-May	1-May	500.00	5.0
OPPENHEIMER MGMT.	036	CC	S	250,000	16.12	1-May	1-May	10,000.00	4.0
WHITTIER CAP	048	CC	S	36,030	16.48	1-May	1-May	1,441.20	4.0
⌘ BUCK CAPITAL MGMT		CDNS	B	50,000	22.33	n/a	n/a	non-brg	5.0
LEDDY	035	CFC	S	300	36.78	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CHS	S	100,000	25.29	27-Mar	25-Apr	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CHS	S	127,700	25.15	27-Mar	25-Apr	non-brg	5.0
PAKLER	N22	CMG	B	44	65.17	24-Apr	24-Apr	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	COH	C	11,236	47.80	23-Feb	25-Apr	449.44	4.0
PAKLER	N22	CROX	S	52	55.53	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CTRN	B	1,600	38.77	n/a	n/a	non-brg	1.0
LOOMIS SALES/BOSTON	036	CVS	B	68,959	36.11	n/a	n/a	2,758.36	4.0
⌘ BUCK CAPITAL MGMT		CWTR	B	20,000	20.32	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	CWTR	B	34,700	20.24	n/a	n/a	1,388.00	4.0
MCCARTAN	028	CWTR	SS	200	20.28	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	CYN	B	20,000	73.35	n/a	n/a	1,000.00	5.0
Õ Glenn Redemann		DECK	B	2	1.27	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DECK	B	2	1.92	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DKS	B	30,000	55.07	n/a	n/a	non-brg	5.0
LEDDY	035	DLIA	S	1,600	8.57	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FD	S	20,000	43.18	26-Jan	25-Apr	800.00	4.0
COUGAR TRADING	044	FD	SS	5,000	43.46	26-Jan	25-Apr	250.00	5.0
PILOT ADV	038	FD	S	55,600	43.38	26-Jan	25-Apr	2,224.00	4.0
THIRD COAST CAPITAL	035	FD	S	15,000	43.34	26-Jan	25-Apr	750.00	5.0
ß BUCK CAPITAL MGMT		FLDR	SS	10,000	6.70	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	S	40,000	23.86	n/a	19-Mar	non-brg	5.0
COUGAR TRADING	044	FL	S	5,000	24.14	n/a	19-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	GCO	SS	10,000	50.72	n/a	10-Jan	400.00	4.0
SYSTEMATIC FINANCIAL MGT	035	GCO	S	60,000	50.44	n/a	10-Jan	2,250.00	3.8
BUCK CAPITAL MGMT	001	GES	B	30,000	38.88	n/a	n/a	1,200.00	4.0
J L F ASSET MGMT	039	GES	B	54,400	38.87	n/a	n/a	2,176.00	4.0
LUCHANSKY	036	GES	S	100	38.68	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GIL	B	5,000	63.61	n/a	30-Apr	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GM	SS	10,000	30.99	n/a	5-Apr	non-brg	5.0
NCM CAPITAL MGMT	035	GNTX	B	182,525	17.90	n/a	24-Apr	9,126.25	5.0
PIVOT CAP	034	GPS	B	32,200	17.68	n/a	27-Apr	1,610.00	5.0
LEDDY	035	GS	S	75	218.21	13-Dec	16-Apr	0.00	0.0
COUGAR TRADING	044	GYMB	B	15,000	38.28	4-Jan	13-Apr	750.00	5.0
KORMAN	003	HBIRE	B	50	0.55	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	HBI	B	15,000	26.00	n/a	n/a	750.00	5.0
WAGONHOUND	048	HD	S	18,400	38.03	n/a	1-Mar	736.00	4.0
JENNISON	032	INTC	B	176,500	21.63	n/a	n/a	7,060.00	4.0
DISIPLINED GROWTH INV.	030	INTU	B	5,000	28.23	n/a	n/a	250.00	5.0
XYLEM GLOBAL	091	IP	SS	200,000	37.88	20-Mar	18-Apr	10,000.00	5.0
MISSOURI VALLEY PART	046	ISE	S	8,605	66.10	30-Apr	30-Apr	430.25	5.0
EFSTATHIOU	044	ISE	S	200	66.12	30-Apr	30-Apr	0.00	0.0
OGORMAN	146	ISE	S	300	66.00	30-Apr	30-Apr	0.00	0.0
COUGAR TRADING	044	JCG	B	5,000	38.98	14-Mar	25-Apr	250.00	5.0
RIDGECRST PARTNERS	035	JCP	SS	15,000	78.66	20-Apr	20-Apr	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	091	JDSU	S	80,300	16.20	n/a	n/a	4,015.00	5.0
B BUCK CAPITAL MGMT		JOSB	S	20,000	37.75	n/a	n/a	non-brg	0.4
MCCARTAN	028	KCP	B	100	24.14	n/a	30-Apr	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	KFN	SS	5,900	26.60	n/a	n/a	295.00	5.0
CSFB GLOBAL PROPRIETARY	035	KFN	S	14,200	26.60	n/a	n/a	710.00	5.0
BARR	096	KOG	S	2,000	6.30	n/a	n/a	0.00	0.0
COUGAR TRADING	044	KWD	B	5,000	27.61	n/a	n/a	250.00	5.0
COUGAR TRADING	044	KWD	SS	5,000	27.57	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		LAMR	B	10,000	60.44	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	LIZ	B	70,000	36.02	n/a	30-Apr	2,800.00	4.0
HARVEST MGMT	039	LIZ	B	10,000	36.70	n/a	30-Apr	500.00	5.0
CSFB GLOBAL PROPRIETARY	035	LM	B	2,700	97.48	10-Apr	1-May	135.00	5.0
XYLEM GLOBAL	091	LPX	B	20,000	19.83	20-Mar	26-Apr	1,000.00	5.0
BUCK CAPITAL MGMT	001	LTD	S	140,000	27.11	2-Mar	27-Apr	5,600.00	4.0
DISIPLINED GROWTH INV.	030	LTD	B	3,000	27.08	2-Mar	27-Apr	150.00	5.0
TROUBH PART	067	LVLTT	B	600,000	5.53	30-Apr	30-Apr	30,000.00	5.0
CHILTON CAPITAL MGMT.	036	MIR	S	225,200	44.83	n/a	n/a	9,008.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	MIR	S	350	44.95	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	MMR	S	3,100	12.78	n/a	n/a	155.00	5.0
COLUMBIA PARTNERS	028	MNC	SS	5,826	15.35	n/a	n/a	233.04	4.0
GAULT	063	MSI	S	8,000	2.09	n/a	n/a	0.00	0.0
MAGUIRE	039	MZZ	B	100	54.58	n/a	n/a	0.00	0.0
WASHINGTON ASSET	048	NLC	S	12,000	26.89	n/a	n/a	600.00	5.0
ROBX	033	NTRI	S	2,000	60.98	n/a	n/a	80.00	4.0
WHITE PINE CAPITAL	030	NVEC	S	225	27.69	n/a	n/a	11.25	5.0
WHITE PINE CAPITAL	030	NYNY	S	2,500	8.63	n/a	n/a	125.00	5.0
FORTRESS CAPITAL	040	NYT	S	50,000	24.95	n/a	n/a	2,500.00	5.0
NEUBERGER BERMAN	036	OII	S	6,700	47.15	n/a	n/a	335.00	5.0
WHITE PINE CAPITAL	030	OLN	S	225	17.25	n/a	27-Apr	11.25	5.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	45.95	n/a	n/a	400.00	4.0
OGORMAN	146	PARD	B	1,000	7.04	n/a	n/a	0.00	0.0
MCCARTAN	028	PCL	S	100	39.71	n/a	24-Apr	0.00	0.0
B BUCK CAPITAL MGMT		PERY	C	5,000	33.18	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	PLCE	B	5,000	53.30	12-Apr	13-Apr	250.00	5.0
ROARING BLUE LION MGMT	012	PLCE	S	9,000	53.02	12-Apr	13-Apr	450.00	5.0
SAC TRADING HOWARD	038	PLCE	B	15,000	53.10	12-Apr	13-Apr	750.00	5.0
WHALE ROCK CAP	030	PNK	S	50,000	27.69	n/a	n/a	2,000.00	4.0
ROBX	302	PPS	B	33,000	45.22	n/a	n/a	990.00	3.0
WHITE PINE CAPITAL	030	PTIX	S	1,225	4.73	n/a	n/a	49.00	4.0
NCM CAPITAL MGMT	035	PTI	S	49,750	26.17	n/a	n/a	2,487.50	5.0
ADAGE CAPITAL MGMT	030	PVH	SS	100,000	53.71	28-Mar	28-Mar	4,000.00	4.0
B BUCK CAPITAL MGMT		PVH	B	80,000	53.87	28-Mar	28-Mar	non-brg	5.0
COUGAR TRADING	044	PVH	B	5,000	54.08	28-Mar	28-Mar	250.00	5.0
COUGAR TRADING	044	PVH	SS	5,000	55.37	28-Mar	28-Mar	250.00	5.0
J L F ASSET MGMT	039	PVH	B	3,100	53.18	28-Mar	28-Mar	124.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	15,725	53.40	28-Mar	28-Mar	589.69	3.8
COLUMBUS CIRCLE	036	PYX	B	29,740	15.33	n/a	19-Apr	1,189.60	4.0
BUCK CAPITAL MGMT	001	RL	B	30,000	89.73	2-Feb	13-Apr	1,200.00	4.0
CENTURION PARTNERS	233	RL	B	5,000	89.67	2-Feb	13-Apr	250.00	5.0
CAXTON PART	040	RRC	S	250,000	36.54	n/a	n/a	10,000.00	4.0
AMATURO	018	RTIRP	B	20	2.95	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	RTI	S	20,000	84.56	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	091	SAH	B	3,500	27.65	n/a	13-Apr	210.00	6.0
CSFB GLOBAL PROPRIETARY	035	SCHW	B	7,400	19.07	n/a	n/a	370.00	5.0
LISTED ERR	099	SCHW	B	3	19.07	n/a	n/a	0.00	0.0
EFSTATHIOU	044	SDS	B	200	54.29	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SHOO	B	30,000	30.64	n/a	n/a	non-brg	5.0
WANG	192	SHOO	S	100	31.75	n/a	n/a	0.00	0.0
EFSTATHIOU	044	SIRO	S	200	33.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	100,000	31.21	n/a	13-Mar	4,000.00	4.0
SAXON WOODS ADVISORS	038	SMG	S	162,200	46.04	20-Feb	19-Apr	6,488.00	4.0
KORMAN	003	SNEN	B	3,500	2.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SSI	B	5,000	21.61	26-Feb	13-Apr	200.00	4.0
BARR	096	SWIM	SS	1,500	13.26	n/a	n/a	0.00	0.0
GAULT	063	TFSM	B	1,000	11.98	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		TGT	B	30,000	58.87	n/a	24-Apr	non-brg	5.0
THIRD COAST CAPITAL	035	TGT	SS	15,000	59.16	n/a	24-Apr	750.00	5.0
ROBX	033	THC	S	5,000	7.36	n/a	27-Feb	175.00	3.5
KALINOWSKI	070	THIEF	B	50	1.95	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KALINOWSKI	070	THIGG	B	100	0.35	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TJX	S	20,000	27.74	21-Mar	13-Apr	non-brg	5.0
DISIPLINED GROWTH INV.	030	TJX	B	8,000	28.13	21-Mar	13-Apr	400.00	5.0
WAGONHOUND	048	TJX	S	30,000	27.67	21-Mar	13-Apr	1,200.00	4.0
SENECA CAPITAL LP NY	028	TLAB	S	104,000	10.71	n/a	22-Mar	5,200.00	5.0
BUCK CAPITAL MGMT	001	TLB	S	20,000	23.02	10-Jan	26-Apr	800.00	4.0
CSFB GLOBAL PROPRIETARY	035	TOL	SS	10,000	29.30	n/a	n/a	500.00	5.0
ROBX	033	TRID	S	19,500	20.71	n/a	n/a	780.00	4.0
UBS OCONNOR	031	UAG	B	30,000	20.23	n/a	13-Apr	900.00	3.0
MAGUIRE	090	UAUA	B	1,500	33.24	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		URBN	B	10,000	24.93	2-Apr	26-Apr	non-brg	5.0
B BUCK CAPITAL MGMT		URBN	B	10,000	24.99	2-Apr	26-Apr	non-brg	0.4
FRIESS MGMT	042	URBN	B	346,400	25.00	2-Apr	26-Apr	15,588.00	4.5
WHITE PINE CAPITAL	030	VAL	S	350	27.00	n/a	n/a	17.50	5.0
LUCHANSKY	036	VSL	S	300	21.05	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	WDR	S	200	24.21	5-Mar	1-May	10.00	5.0
HAYGROUND COE	039	WFMI	SS	67,000	45.94	n/a	n/a	3,350.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	WSM	B	10,000	34.41	n/a	n/a	400.00	4.0
WHITE PINE CAPITAL	030	WTI	S	275	31.15	n/a	n/a	13.75	5.0
T. ROWE PRICE	243	WY	S	21,700	78.55	15-Dec	18-Apr	868.00	4.0
BEAR STEARNS ASSET MGMT.	033	YUM	C	35,000	62.82	24-Apr	24-Apr	1,400.00	4.0
KALINOWSKI	070	YZZAF	B	20	3.90	n/a	n/a	0.00	0.0
KALINOWSKI	070	ZMQAG	B	10	3.40	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							224,409.38		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/30	AMBER CAPITAL	046	GPN	B	113,500	37.99	n/a	n/a	4540.00 4.0
AO,CXL	04/30	AMBER CAPITAL	046	GPN	B	113,500	37.99	n/a	n/a	4540.00 4.0
AO,	04/30	JENNISON	032	INTC	B	194,200	21.68	n/a	n/a	7768.00 4.0
AO,	04/30	NCM CAPITAL MGMT	035	PTI	S	8,400	26.57	n/a	n/a	420.00 5.0
AO,	04/30	ASCEND PARTNERS	033	TYC	B	65,000	32.72	n/a	6-Feb	3250.00 5.0

TOTAL (AO, CXL, CRT) :	11,438.00
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TOTAL (Trade Date):	224,409.38
TOTAL (AO, CXL, CRT):	11,438.00

TOTAL (RUN DATE) / NFS:	235,847.38
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MRI Securities with Trade Activity on 1-May. BBY, CC

MRI Securities without Trade Activity on 1-May. NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 43%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION