



Daily Compliance Activity Report

Trade Date: 06/07/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		ACAT	C	20,000	36.71	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	ARCO	B	143,331	14.13	n/a	6-Jun	4,299.93	3.0
B BUCK CAPITAL MGMT		ASNA	B	30,000	18.34	n/a	n/a	non-brg	4.0
SURVEYOR CAPITAL	251	ASNA	B	28,725	18.78	n/a	n/a	861.75	3.0
BUTTE CAPITAL MGMT LLC	084	AXL	B	20,000	9.60	7-Jun	7-Jun	1,000.00	5.0
TUDOR INVESTORS	028	AXL	B	60,000	9.39	7-Jun	7-Jun	1,800.00	3.0
SURVEYOR CAPITAL	251	BBY	B	32,150	18.69	n/a	n/a	964.50	3.0
B BUCK CAPITAL MGMT		BC IM	S	20,000	15.06	n/a	n/a	non-brg	2.3
AMBER CAPITAL	046	BKU	S	61,385	22.82	n/a	n/a	2,455.40	4.0
ARSENAL ASSET MANAGEMENT	028	BLL	SS	25,000	40.93	n/a	n/a	1,000.00	4.0
PIONEER OAKRIDGE	031	CHD	B	16,700	53.71	n/a	8-May	501.00	3.0
SURVEYOR CAPITAL	251	CHS	B	49,500	14.36	n/a	5-Feb	1,485.00	3.0
B BUCK CAPITAL MGMT		CLH	SS	8,000	59.46	n/a	n/a	non-brg	4.0
UBS OCONNOR	031	CNH	SS	25,000	39.76	n/a	n/a	750.00	3.0
B BUCK CAPITAL MGMT		COH	SS	20,000	62.10	n/a	9-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
B BUCK CAPITAL MGMT		CROX	B	40,000	16.45	n/a	n/a	non-brg	4.0	
TUDOR INVESTORS	028	DAN	SS	25,000	12.97	n/a	26-Apr	750.00	3.0	
ARSENAL ASSET MANAGEMENT	028	DHR	B	10,000	51.48	n/a	n/a	400.00	4.0	
RBC CAPITAL MARKETS	040	DNKN	B	5,000	32.61	n/a	n/a	200.00	4.0	
B BUCK CAPITAL MGMT		DPZ	C	20,000	30.31	n/a	2-May	non-brg	0.8	
B BUCK CAPITAL MGMT		DRI	SS	30,000	49.13	n/a	13-Apr	non-brg	4.0	
RBC CAPITAL MARKETS	040	DRI	SS	12,000	49.42	n/a	13-Apr	480.00	4.0	
B BUCK CAPITAL MGMT		DSW	B	50,000	58.86	n/a	11-Feb	non-brg	4.0	
WEISS PECK - ROBECO INV		040	ERT	S	393,640	7.91	n/a	n/a	15,745.60	4.0
BAIRD INVESTMENT	047	ETN	B	10,740	41.68	n/a	22-May	537.00	5.0	
ROYCE TRADING	031	FINL	B	2,000	19.86	n/a	31-May	100.00	5.0	
COHE & STEERS	041	GGP	B	37,200	17.04	n/a	n/a	1,116.00	3.0	
KLEINHEINZ CAP PART	031	GIL	B	50,000	25.09	n/a	4-May	2,500.00	5.0	
B BUCK CAPITAL MGMT		GMCR	S	100,000	24.31	n/a	n/a	non-brg	4.0	
SURVEYOR CAPITAL	251	GME	SS	43,000	18.92	n/a	n/a	1,290.00	3.0	
RBC CAPITAL MARKETS	040	GNC	B	5,000	37.09	n/a	n/a	200.00	4.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NWQ INVESTMENT MGMT CO	084	GOLF	S	174,100	6.04	n/a	n/a	5,223.00	3.0
ß BUCK CAPITAL MGMT		HIBB	C	20,000	57.52	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HMB SS	C	129,600	30.36	n/a	n/a	non-brg	4.6
ß BUCK CAPITAL MGMT		HMB SS	C	136,700	30.36	n/a	n/a	non-brg	4.6
LONG OAR GLOBAL INV	040	HTZ	S	125,442	12.54	n/a	n/a	5,017.68	4.0
ß BUCK CAPITAL MGMT		IR	B	14,000	41.06	n/a	11-May	non-brg	4.0
ß BUCK CAPITAL MGMT		JIVE	B	10,000	16.74	n/a	n/a	non-brg	4.0
JENNISON	070	JNPR	S	204,422	16.81	n/a	n/a	7,563.63	3.7
ß BUCK CAPITAL MGMT		JPCMSBCM	C	1,300	106.20	n/a	n/a	non-brg	8.5
ß BUCK CAPITAL MGMT		JPCMSBCM	C	1,500	105.58	n/a	n/a	non-brg	8.4
MACELLUM CAPITAL	086	JWN	B	35,000	47.97	n/a	1-Jun	1,050.00	3.0
MERCHANTS GATE CAP LP	036	JWN	C	50,000	47.80	n/a	1-Jun	2,000.00	4.0
ß BUCK CAPITAL MGMT		KORS	B	10,000	36.42	n/a	n/a	non-brg	4.0
Õ Jill Rosenberg		KORS	B	300	36.74	n/a	n/a	0.00	0.0
BALLAST CAP	040	LGF	B	15,500	12.78	n/a	n/a	465.00	3.0
ß BUCK CAPITAL MGMT		LLY	B	10,000	41.44	n/a	n/a	non-brg	4.0
f ALEX JOSEPH DEFILIPP	FID	LULU	B	50	63.36	n/a	7-Jun	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		LULU	C	30,937	64.17	n/a	7-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LULU	C	51,563	64.06	n/a	7-Jun	non-brg	4.0
f JILL ROSENBERG	FID	LULU	B	100	63.34	n/a	7-Jun	0.00	0.0
f VICTORIA JEAN DEFILI	FID	LULU	B	50	63.40	n/a	7-Jun	0.00	0.0
COPIA CAPITAL	031	LUV	S	100,641	8.91	n/a	n/a	3,522.45	3.5
CAERUS GLOBAL	041	LVS	S	5,000	47.77	n/a	13-Jun	200.00	4.0
FEDERATED INV/KAUFMAN	029	LYB	B	81,700	39.08	n/a	n/a	4,085.00	5.0
⚡ BUCK CAPITAL MGMT		MCD	SS	10,000	88.83	n/a	4-Jun	non-brg	4.0
ARSENAL ASSET MANAGEMENT	028	MGA	B	20,000	40.02	n/a	n/a	800.00	4.0
ROYCE TRADING	031	MLI	S	1,000	43.33	n/a	24-Apr	50.00	5.0
ROYCE TRADING	031	MOV	S	1,300	27.01	n/a	n/a	65.00	5.0
ST OF MICHIAN	047	MSFT	S	85,000	29.30	n/a	n/a	2,550.00	3.0
⚡ BUCK CAPITAL MGMT		MSMSBCM	C	40,000	106.18	n/a	n/a	non-brg	8.5
⚡ BUCK CAPITAL MGMT		MSMSBCM	C	50,000	105.40	n/a	n/a	non-brg	8.4
RBC CAPITAL MARKETS	040	MTN	SS	5,000	47.17	n/a	n/a	200.00	4.0
SCOPUS FUND	041	M	SS	45,000	36.89	n/a	1-Jun	1,800.00	4.0
f RAVI SADARANGANI	FID	NAV	B	250	22.80	n/a	18-Apr	0.00	0.0
f ROHINI T SADARANGANI	FID	NAV	B	200	22.87	n/a	18-Apr	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
UBS OCONNOR	031	NAV	B	50,000	21.07	n/a	18-Apr	1,500.00	3.0
FIRST NEW YORK LEDDY	035	NOK	S	20,000	2.85	n/a	n/a	800.00	4.0
MTB INV ADV	034	NOR	B	52,250	8.37	n/a	n/a	1,698.13	3.3
SCOPUS FUND	041	NWY	S	50,000	3.54	n/a	n/a	2,000.00	4.0
COOPER CREEK PART	028	PAG	SS	10,000	23.70	n/a	26-Apr	400.00	4.0
ARSENAL ASSET MANAGEMENT	028	PHG	SS	50,000	18.23	n/a	n/a	2,000.00	4.0
ROYCE TRADING	031	PLCE	B	1,500	45.89	n/a	5-Jun	75.00	5.0
ß BUCK CAPITAL MGMT		PNRA	B	30,000	144.04	n/a	26-Apr	non-brg	2.5
ß BUCK CAPITAL MGMT		PSMT	B	10,000	66.03	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PSMT	B	10,000	66.34	n/a	n/a	non-brg	4.0
ACK ASSET	028	PVH	SS	20,000	78.69	n/a	25-May	600.00	3.0
f KAREN F LEDDY	FID	SBUX	B	100	54.12	n/a	5-Jun	0.00	0.0
ROYCE TRADING	031	SCHL	B	3,000	26.66	n/a	n/a	150.00	5.0
ß BUCK CAPITAL MGMT		SCSS	B	70,000	21.15	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SCSS	B	70,000	21.15	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKS	SS	100,000	9.98	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKS	SS	200,000	9.98	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MACELLUM CAPITAL	086	SKX	B	15,000	18.65	n/a	n/a	450.00	3.0
NWQ INVESTMENT MGMT CO	084	SMSC	S	49,300	36.63	n/a	n/a	1,479.00	3.0
ARSENAL ASSET MANAGEMENT	028	SPW	B	5,000	70.67	n/a	n/a	200.00	4.0
JANA CAPITAL	044	SPY	C	75,000	133.32	n/a	n/a	3,000.00	4.0
HOLLIS CAPITAL	033	STZ	B	5,000	19.54	n/a	n/a	250.00	5.0
OHIO PERS	047	TKR	S	30,400	48.06	n/a	n/a	912.00	3.0
B BUCK CAPITAL MGMT		TRLG	SS	16,332	29.23	n/a	n/a	non-brg	2.5
NEWLAND CAPITAL MGT	025	TRW	B	10,000	39.47	n/a	n/a	400.00	4.0
CAPITAL RESEARCH GROUP		296 TWC	S	57,086	76.12		n/a	n/a	1,998.01 3.5
OHIO PERS	047	UAL	B	81,600	23.46	n/a	n/a	2,448.00	3.0
WEINTRAUB CAPITAL MGT.	269	UA	B	5,000	99.75	n/a	29-May	200.00	4.0
B BUCK CAPITAL MGMT		URBN	SS	100,000	27.13	n/a	n/a	non-brg	4.0
ARSENAL ASSET MANAGEMENT	028	WCC	S	15,000	57.89	n/a	n/a	600.00	4.0
B BUCK CAPITAL MGMT		WSM	SS	20,000	34.53	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		YUM	SS	20,000	66.93	n/a	20-Apr	non-brg	4.0

Account		RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	WILLIAM WEINBERG	FID	ZLC	B	10000	2.52	n/a	n/a	0.00	0.0
TOTAL (Trade Date):									94,188.08	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/06	GLG PARTNERS	034	AAP	S	13,287	73.28	n/a	n/a	398.61	3.0
AO,	06/06	GLG PARTNERS	034	AAP	S	3,287	73.28	n/a	n/a	98.61	3.0
AO,CRT	06/06	GLG PARTNERS	034	AAP	S	10,000	73.28	n/a	n/a	300.00	3.0
TOTAL (AO, CXL, CRT) :										0.00	

TOTAL (Trade Date):	94,188.08
TOTAL (AO, CXL, CRT):	0.00

TOTAL (RUN DATE) / NFS:	94,188.08
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	06/06	BUCK CAPITAL MGMT.	DG	B	2,500	46.75	n/a	5-Jun	125.00
CRT	06/06	BUCK CAPITAL MGMT	DG	B	2,500	46.75	n/a	5-Jun	0.00 0.0
CXL	06/06	BUCK CAPITAL MGMT.	DG	B	2,500	46.75	n/a	5-Jun	125.00
CRT	06/06	BUCK CAPITAL MGMT	DG	B	2,500	46.75	n/a	5-Jun	0.00 0.0

MRI Securities with Trade Activity on 7-Jun.

AXL

MRI Securities without Trade Activity on 7-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)