



# Daily Compliance Activity Report

Trade Date: 12/02/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AEO	B	100,000	14.20	2-Dec	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	200,000	14.29	2-Dec	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT	001	ANF	B	10,000	49.86	n/a	17-Nov	300.00	3.0
HIGHBRIDGE CAP	041	ANF	B	5,000	48.59	n/a	17-Nov	150.00	3.0
BUCK CAPITAL MGMT		ARO	SS	50,000	16.26	2-Dec	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		ASNA	C	50,000	28.79	n/a	n/a	non-brg	4.0
KACELA CAPITAL	034	AVY	S	58,000	26.85	2-Dec	2-Dec	2,320.00	4.0
TUDOR INVESTORS	028	AWI	B	5,000	41.30	n/a	n/a	150.00	3.0
SURVEYOR CAPITAL	251	BBBY	SS	33,000	60.99	n/a	n/a	990.00	3.0
BUCK CAPITAL MGMT		BIG	S	20,000	36.56	2-Dec	2-Dec	non-brg	4.0
EGS ASSOCIATES LP	091	BIG	B	5,000	36.16	2-Dec	2-Dec	250.00	5.0
BUCK CAPITAL MGMT		BKS	B	30,000	16.22	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		BKS	B	70,000	16.39	n/a	n/a	non-brg	2.5
REUBEN D DVORETSKY	FID	BRKB	S	50	77.86	n/a	n/a	0.00	0.0
BRONSON POINT	036	BUD	SS	10,000	59.39	n/a	10-Nov	300.00	3.0
SCOPUS FUND	041	BUD	B	29,788	59.85	n/a	10-Nov	1,191.52	4.0
MILLRACE FUND LP	028	BWA	SS	2,000	67.84	n/a	9-Nov	80.00	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>BYI</b>	<b>SS</b>	<b>20,000</b>	<b>37.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
	SURVEYOR CAPITAL	251	CAB	B	40,000	24.42	n/a	n/a	1,200.00 3.0
	<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CMG</b>	<b>S</b>	<b>20,000</b>	<b>329.10</b>	<b>n/a</b>	<b>21-Oct</b>	<b>600.00 3.0</b>
	SCOPUS FUND	041	COH	S	46,300	62.29	n/a	26-Oct	1,852.00 4.0
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>CVX</b>	<b>SS</b>	<b>7,500</b>	<b>101.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
	OHIO PERS	047	DELL	B	10,300	15.81	n/a	n/a	309.00 3.0
	TUDOR INVESTORS	028	DE	B	5,000	77.47	n/a	23-Nov	150.00 3.0
	BNP PARIBAS SEC	040	DG	B	20,000	39.84	n/a	1-Dec	1,000.00 5.0
	CAISSE DEPOT PLACEMENT Q	295	DHR	S	100,000	47.90	n/a	n/a	5,000.00 5.0
<b>f</b>	<b>CICILY S BACKUS</b>	<b>FID</b>	<b>DLA</b>	<b>S</b>	<b>5000</b>	<b>17.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
	CHESAPEAKE PARTNERS	028	DMND	B	40,000	29.14	n/a	n/a	1,200.00 3.0
	MERRILL ASSET/BLACKROCK	297	DRC	S	6,200	54.32	n/a	n/a	248.00 4.0
	SURVEYOR CAPITAL	251	FDO	SS	68,000	59.07	n/a	29-Sep	2,040.00 3.0
	CAISSE DEPOT PLACEMENT Q	295	FDX	B	50,000	82.35	n/a	n/a	2,500.00 5.0
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>B</b>	<b>83,438</b>	<b>21.30</b>	<b>n/a</b>	<b>23-Sep</b>	<b>non-brg 4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STANDARD PACIFIC CAPITAL	084	FL	B	100,000	24.64	n/a	18-Nov	5,000.00	5.0
COLUMBUS CIRCLE	036	FST	B	4,544	16.47	n/a	n/a	50.32	1.6
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>20,000</b>	<b>59.85</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>3.0</b>
MERRILL ASSET/BLACKROCK	297	GEOI	S	16,500	29.34	n/a	n/a	660.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>100,000</b>	<b>16.70</b>	<b>2-Dec</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>150,000</b>	<b>16.77</b>	<b>2-Dec</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>250,000</b>	<b>16.77</b>	<b>2-Dec</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>300,000</b>	<b>16.82</b>	<b>2-Dec</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
SURVEYOR CAPITAL	251	GIL	B	70,000	16.80	2-Dec	2-Dec	2,100.00	3.0
MERRILL ASSET/BLACKROCK	297	HCC	S	9,900	26.65	n/a	n/a	396.00	4.0
NEUBERGER BERMAN	036	HITT	B	23,400	55.08	n/a	n/a	936.00	4.0
OHIO PERS	047	HPQ	S	5,500	28.40	n/a	n/a	165.00	3.0
SYSTEMATIC FINANCIAL MGT	035	HTZ	B	100,000	11.82	n/a	n/a	3,000.00	3.0
BASIX	033	HXL	SS	20,000	24.32	n/a	25-Oct	600.00	3.0
THIRD COAST CAPITAL	035	HXL	SS	10,000	24.80	n/a	25-Oct	500.00	5.0
<b>f REUBEN D DVORETSKY</b>	<b>FID</b>	<b>IEF</b>	<b>B</b>	<b>37</b>	<b>103.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	IFF	S	10,225	53.68	n/a	8-Nov	511.25	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>50,000</b>	<b>17.00</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MA CAPITAL MGMT/MELLON ADV041	IP	S	6,500	28.70	n/a	27-Oct	325.00	5.0	
BNP PARIBAS SEC	040	ITW	S	10,000	46.16	n/a	26-Oct	500.00	5.0
TUDOR INVESTORS	028	ITW	B	10,000	46.25	n/a	26-Oct	300.00	3.0
RAINIER INV MGMT	035	IVZ	B	45,330	20.74	n/a	2-Mar	1,813.20	4.0
CAISSE DEPOT PLACEMENT Q	295	JBHT	S	100,000	45.82	n/a	n/a	5,000.00	5.0
CASTLE ARK	031	JCI	B	213,830	31.97	n/a	21-Nov	10,691.50	5.0
SIMMONS VENTURES	041	JNY	SS	5,000	10.49	n/a	27-Oct	200.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>JPM</b>	<b>B</b>	<b>1500</b>	<b>32.90</b>	<b>n/a</b>	<b>30-Nov</b>	<b>0.00</b>	<b>0.0</b>
PERSHING SQUARE	029	KFT	S	401,013	36.52	n/a	n/a	16,040.52	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>KMI</b>	<b>S</b>	<b>150</b>	<b>31.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>B</b>	<b>50,000</b>	<b>51.13</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	KSS	B	5,000	50.99	n/a	2-Dec	150.00	3.0
MACQUARIE INVESTMENT MGMT.	040	KSS	SS	5,000	51.25	n/a	2-Dec	0.00	0.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>LL</b>	<b>S</b>	<b>2000</b>	<b>16.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALLAST CAP	040	LMT	SS	9,800	77.80	n/a	21-Nov	490.00	5.0
SURVEYOR CAPITAL	251	LOW	B	200,000	24.22	n/a	16-Nov	6,000.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>M 2 C34</b>	<b>SS</b>	<b>1,000</b>	<b>1.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CHALSON/MILLENIU	041	MAR	B	30,000	31.08	n/a	13-Jun	1,200.00	4.0
TUDOR INVESTORS	028	MSM	B	6,000	68.30	n/a	n/a	180.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>MW</b>	<b>C</b>	<b>5,000</b>	<b>28.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MW</b>	<b>C</b>	<b>5,000</b>	<b>28.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SCOPUS FUND	041	M	S	30,000	32.73	n/a	2-Dec	1,200.00	4.0
COBALT	041	NAV	B	200,000	40.03	n/a	14-Sep	8,000.00	4.0
TUDOR INVESTORS	028	NAV	SS	5,000	40.06	n/a	14-Sep	150.00	3.0
<b>f LEE F BACKUS</b>	<b>FID</b>	<b>NILSY</b>	<b>S</b>	<b>882</b>	<b>17.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BNP PARIBAS SEC	040	NKE	S	10,000	96.41	n/a	23-Sep	500.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>NM</b>	<b>B</b>	<b>2000</b>	<b>3.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALLAST CAP	040	NOC	SS	13,400	57.01	n/a	21-Nov	670.00	5.0
BNP PARIBAS SEC	040	NOC	SS	20,000	57.09	n/a	21-Nov	1,000.00	5.0
CAISSE DEPOT PLACEMENT Q	295	ORI	B	75,000	9.22	n/a	n/a	3,000.00	4.0
WEINTRAUB CAPITAL MGT.	040	PAG	B	25,000	20.32	n/a	3-Nov	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>S</b>	<b>5,000</b>	<b>14.98</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>S</b>	<b>5,000</b>	<b>15.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PH</b>	<b>SS</b>	<b>10,000</b>	<b>83.14</b>	<b>n/a</b>	<b>19-Oct</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>PII</b>	<b>B</b>	<b>30,000</b>	<b>61.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>PNRA</b>	<b>C</b>	<b>20,000</b>	<b>142.06</b>	<b>n/a</b>	<b>26-Oct</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>PNRA</b>	<b>S</b>	<b>9,304</b>	<b>142.02</b>	<b>n/a</b>	<b>26-Oct</b>	<b>non-brg</b>	<b>2.5</b>
TUDOR INVESTORS	028	POT	S	5,000	44.22	n/a	n/a	150.00	3.0
TUDOR INVESTORS	028	PPG	B	2,000	85.09	n/a	20-Oct	60.00	3.0
MERRILL ASSET/BLACKROCK	297	PRA	S	5,000	78.92	n/a	n/a	200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>B</b>	<b>20,000</b>	<b>72.30</b>	<b>2-Dec</b>	<b>2-Dec</b>	<b>600.00</b>	<b>3.0</b>
TUDOR INVESTORS	028	RBN	S	2,000	51.62	n/a	n/a	60.00	3.0
SURVEYOR CAPITAL	251	RCL	B	38,000	27.74	n/a	n/a	1,140.00	3.0
BNP PARIBAS SEC	040	ROK	SS	20,000	74.56	n/a	n/a	1,000.00	5.0
CYRUS CAPITAL	025	SCSS	B	55,000	19.75	n/a	n/a	2,200.00	4.0
CYRUS CAPITAL	025	SFD	B	20,000	24.45	n/a	n/a	800.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>SIX</b>	<b>SS</b>	<b>30,000</b>	<b>38.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
MORTAR ROCK	033	SPF	S	100,000	3.17	n/a	n/a	4,000.00	4.0
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>SRI</b>	<b>S</b>	<b>5000</b>	<b>8.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROSEDALE CAPITAL	046	STO	B	15,000	25.61	n/a	n/a	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SURVEYOR CAPITAL	251	SUSQ	S	8,375	8.01	n/a	n/a	251.25	3.0
⚡ BUCK CAPITAL MGMT		TEA	S	31,410	16.69	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		TEA	S	9,065	18.72	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		TEA	S	90,835	17.06	n/a	n/a	non-brg	4.0
BASSWOOD PARTNERS	267	TEVA	B	43,600	39.65	n/a	2-Dec	1,744.00	4.0
⚡ BUCK CAPITAL MGMT		URBN	B	20,000	27.67	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	VNO	S	1500	74.11	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	297	WBS	B	68,700	19.80	n/a	n/a	2,748.00	4.0
HOPLITE MGMT	025	WHR	C	110,000	49.81	n/a	n/a	4,400.00	4.0
CHALSON/MILLENIUM	041	WMT	SS	25,000	58.16	n/a	16-Nov	1,000.00	4.0
TUDOR INVESTORS	028	WNC	SS	5,000	7.44	n/a	n/a	150.00	3.0
⚡ BUCK CAPITAL MGMT		WYNN	SS	20,000	119.10	n/a	13-Jun	non-brg	4.0
TUDOR INVESTORS	028	ZUMZ	SS	25,000	28.37	n/a	n/a	750.00	3.0
f DAVID GEORGE BUCK	FID		B	50000	99.56	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								116,762.56	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/01	STELLIAM INV ADV	034	BHI	SS	25,000	55.11	n/a	n/a	1250.00 5.0
AO,CRT	12/01	STELLIAM INV ADV	034	BHI	SS	25,000	55.11	n/a	n/a	1250.00 5.0
AO,	12/01	ENTRUST CAPITAL	040	KFT	S	17,700	36.50	n/a	n/a	885.00 5.0
AO,CXL	12/01	NEUBERGER BERMAN	036	OEI	B	68,200	7.42	n/a	n/a	2728.00 4.0
AO,CRT	12/01	NEUBERGER BERMAN	036	OEI	B	68,200	7.42	n/a	n/a	1364.00 2.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-479.00</b>
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TOTAL (Trade Date) :	116,762.56
TOTAL (AO, CXL, CRT) :	-479.00

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<b>TOTAL (RUN DATE) / NFS :</b>	<b>116,283.56</b>
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### BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 2-Dec.

AEO, ARO, AVY, BIG, GIL, PVH

MRI Securities without Trade Activity on 2-Dec.

TGT

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 2%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)