



# Daily Compliance Activity Report

Trade Date: 04/22/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DCMC	032	AAPL	B	750	159.58	n/a	n/a	37.50	5.0
NORTHPOINT	030	AAWW	S	15,000	57.23	n/a	n/a	600.00	4.0
DCMC	032	ABB	B	4,500	27.91	n/a	n/a	225.00	5.0
DCMC	032	ADBE	B	2,800	35.82	n/a	n/a	140.00	5.0
DCMC	032	ADP	B	2,400	43.15	n/a	n/a	120.00	5.0
DCMC	032	ADSK	B	1,850	33.76	n/a	n/a	92.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>B</b>	<b>100,000</b>	<b>16.09</b>	<b>6-Mar</b>	<b>11-Apr</b>	<b>4,000.00</b>	<b>4.0</b>
DCMC	032	AGN	B	1,500	52.78	31-Jan	22-Apr	75.00	5.0
NEUBERGER BERMAN	036	AIG	S	116,925	45.08	n/a	n/a	5,261.63	4.5
LEVIN CAPITAL STRATEGIES	012	ALV	S	20,000	57.80	17-Apr	21-Apr	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AMZN</b>	<b>SS</b>	<b>50,000</b>	<b>78.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
JENNISON	032	AMZN	B	46,700	78.66	n/a	n/a	1,868.00	4.0
BALYASNY ASSET MGMT	044	ANF	S	20,000	71.88	10-Apr	11-Apr	1,000.00	5.0
COUGAR TRADING	044	ANF	SS	10,000	72.66	10-Apr	11-Apr	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AN</b>	<b>SS</b>	<b>20,000</b>	<b>14.99</b>	<b>n/a</b>	<b>8-Feb</b>	<b>800.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		ARO+GG	SS	1,000	1.10	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	ARO	S	30,000	29.99	29-Nov	11-Apr	1,200.00	4.0
WYCKOFF	013	ARO	B	300	30.43	29-Nov	11-Apr	0.00	0.0
⚡ BUCK CAPITAL MGMT		AXE	B	40,000	60.07	28-Mar	22-Apr	non-brg	4.0
PRIMECAP MGMT	048	BA	B	400	78.02	n/a	n/a	20.00	5.0
PRIMECAP MGMT	048	BBBY	B	28,000	31.24	n/a	n/a	1,120.00	4.0
T. ROWE PRICE	033	BEN	S	381,900	96.10	24-Mar	22-Apr	15,276.00	4.0
DCMC	032	BEN	B	300	95.21	24-Mar	22-Apr	15.00	5.0
HIGHBRIDGE/MS OLDS	041	BHP	B	220,168	83.40	n/a	n/a	7,705.88	3.5
EGS ASSOCIATES LP	091	BIG	SS	3,500	22.65	6-Mar	11-Apr	210.00	6.0
SYSTEMATIC FINANCIAL MGT	035	BJ	B	26,925	36.27	n/a	27-Jul	1,009.68	3.8
LISTED ERR	035	BJ	B	1	36.27	n/a	27-Jul	0.00	0.0
LISTED ERR	099	BJ	S	1	36.32	n/a	27-Jul	0.00	0.0
PRIMECAP MGMT	048	BSX	B	5,000	12.83	n/a	22-Apr	200.00	4.0
MATLIN PATTERSON	046	BTU	S	25,000	70.15	n/a	n/a	1,250.00	5.0
SUN AMERICA ASSET MGMT	030	CAL	S	100,000	19.02	n/a	n/a	4,000.00	4.0
DCMC	032	CELG	B	6,000	63.23	n/a	n/a	300.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DCMC	032	CHRW	B	1,350	58.45	n/a	n/a	67.50	5.0
COUGAR TRADING	044	COH	S	10,000	31.78	4-Apr	17-Apr	500.00	5.0
WOOSTER CAPITAL	035	COH	B	75,000	31.33	4-Apr	17-Apr	3,750.00	5.0
SNYDER CAPITAL	038	CPO	S	83,500	45.34	n/a	n/a	3,340.00	4.0
JENNISON	032	CSCO	B	66,800	24.84	n/a	22-Mar	2,672.00	4.0
DCMC	032	CSCO	B	4,250	24.69	n/a	22-Mar	212.50	5.0
REICH AND TANG	067	CSL	B	150,000	30.95	n/a	n/a	7,500.00	5.0
DCMC	032	CTSH	B	2,600	29.99	n/a	n/a	130.00	5.0
DCMC	032	CVS	B	1,950	39.52	n/a	n/a	97.50	5.0
TROOB CAPITAL	067	CX	B	25,000	28.00	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>DAI+RN</b>	<b>SS</b>	<b>700</b>	<b>0.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
ROTHSCHILD ASSET	040	DD	B	1,280	50.65	n/a	22-Apr	57.60	4.5
TRIVIUM CAP MGMT	251	DELL	B	25,000	18.99	n/a	n/a	1,000.00	4.0
TRIVIUM CAP MGMT	251	DOX	B	50,000	31.17	n/a	n/a	2,000.00	4.0
COUGAR TRADING	044	DSW	S	20,000	12.72	28-Mar	28-Mar	1,000.00	5.0
COUGAR TRADING	044	EAT	B	10,000	21.03	n/a	24-Apr	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	EAT	B	24,400	20.98	n/a	24-Apr	976.00	4.0
UBS O'CONNOR/TOM LEDDY	035	EV	B	26,200	33.77	9-Jan	22-Apr	786.00	3.0
UBS OCONNOR	031	EV	B	13,800	33.77	9-Jan	22-Apr	414.00	3.0
DCMC	032	EXPD	B	1,950	46.10	n/a	n/a	97.50	5.0
⌘ BUCK CAPITAL MGMT		FITB	C	50,000	20.74	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	B	10,000	32.21	13-Nov	9-Apr	non-brg	4.0
DCMC	032	FTI	B	1,550	69.52	n/a	n/a	77.50	5.0
⌘ BUCK CAPITAL MGMT		FUQI	B	10,000	8.17	n/a	n/a	non-brg	4.0
SAXON WOODS ADVISORS	038	GDP	B	10,000	32.64	n/a	n/a	400.00	4.0
DCMC	032	GENZ	B	2,450	72.28	n/a	n/a	122.50	5.0
BUCK CAPITAL MGMT	001	GES	B	10,000	38.59	n/a	n/a	400.00	4.0
EATON VANCE MGMT	039	GE	B	50,000	32.33	n/a	n/a	1,500.00	3.0
LEVIN CAPITAL STRATEGIES	012	GIL	C	15,000	34.57	22-Jan	10-Mar	600.00	4.0
DCMC	032	GLW	B	3,750	25.54	n/a	27-Mar	187.50	5.0
⌘ BUCK CAPITAL MGMT		GM+RT	SS	1,000	0.91	n/a	n/a	non-brg	100.0
AMATURO	018	GMO	B	2,000	9.06	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>GM</b>	<b>SS</b>	<b>20,000</b>	<b>20.66</b>	<b>3-Jan</b>	<b>8-Apr</b>	<b>non-brg</b>	<b>4.0</b>
HOLLIS CAPITAL	035	GM	SS	15,000	20.46	3-Jan	8-Apr	750.00	5.0
ACK ASSET	028	GNTX	B	12,000	17.96	7-Apr	21-Apr	480.00	4.0
BALYASNY ASSET MGMT	044	GNTX	SS	15,000	18.65	7-Apr	21-Apr	750.00	5.0
COUGAR TRADING	044	GNTX	B	5,000	17.60	7-Apr	21-Apr	250.00	5.0
TRELLUS PARTNERS LP	028	GNTX	SS	150,000	18.34	7-Apr	21-Apr	7,500.00	5.0
DCMC	032	GOOG	B	353	553.39	n/a	n/a	17.65	5.0
BALYASNY ASSET MGMT	044	GPI	B	8,300	24.19	25-Jan	21-Apr	415.00	5.0
COLUMBIA PARTNERS	028	GPI	B	23,193	24.39	25-Jan	21-Apr	927.72	4.0
MILLRACE FUND LP	028	GPI	B	10,000	24.45	25-Jan	21-Apr	400.00	4.0
DCMC	032	GPRO	B	1,800	52.23	n/a	n/a	90.00	5.0
<b>AMATURO</b>	<b>018</b>	<b>GSI</b>	<b>S</b>	<b>2,000</b>	<b>9.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>DANIELS</b>	<b>N25</b>	<b>GSI</b>	<b>S</b>	<b>400</b>	<b>9.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BREEDEN CAP MGMT	036	HI	B	50,000	18.08	n/a	n/a	2,500.00	5.0
SAXON WOODS ADVISORS	038	HLX	B	6,000	35.44	n/a	n/a	240.00	4.0
DCMC	032	HOLX	B	3,950	26.87	n/a	n/a	197.50	5.0
EATON VANCE MGMT	039	HPQ	B	66,044	47.83	n/a	n/a	1,981.32	3.0
XYLEM GLOBAL	091	IP	SS	35,000	28.07	8-Feb	7-Apr	1,750.00	5.0
DCMC	032	IVZ	B	1,300	22.89	10-Apr	22-Apr	65.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>SINCUTMA</b>	<b>031</b>	<b>JBLU</b>	<b>B</b>	<b>1,000</b>	<b>4.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	JCG	B	5,000	43.54	n/a	12-Mar	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCP+RG</b>	<b>SS</b>	<b>1,000</b>	<b>1.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>SINCLAIR</b>	<b>031</b>	<b>JGQFA</b>	<b>S</b>	<b>1</b>	<b>0.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>HART</b>	<b>056</b>	<b>JGQFA</b>	<b>S</b>	<b>15</b>	<b>0.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>S</b>	<b>10,000</b>	<b>13.94</b>	<b>n/a</b>	<b>15-Feb</b>	<b>400.00</b>	<b>4.0</b>
CATAPULT	040	JWN	SS	43,300	34.60	31-Mar	11-Apr	1,732.00	4.0
CUMBERLAND	038	JWN	SS	7,500	34.70	31-Mar	11-Apr	300.00	4.0
DAVIDSON TRUST CO	028	KMB	S	16,200	64.21	n/a	n/a	810.00	5.0
COUGAR TRADING	044	KSS	B	10,000	45.90	4-Jan	11-Apr	500.00	5.0
<b>MAGUIRE</b>	<b>039</b>	<b>KSS</b>	<b>B</b>	<b>100</b>	<b>46.20</b>	<b>4-Jan</b>	<b>11-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LIZ</b>	<b>SS</b>	<b>40,000</b>	<b>16.51</b>	<b>n/a</b>	<b>17-Mar</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	LIZ	SS	20,000	16.61	n/a	17-Mar	1,000.00	5.0
SSI INVESTORS	048	LIZ	B	56,525	16.58	n/a	17-Mar	2,261.00	4.0
<b>MAGUIRE</b>	<b>039</b>	<b>LOW</b>	<b>B</b>	<b>300</b>	<b>24.56</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	MCD	B	10,000	58.43	n/a	22-Apr	500.00	5.0
STRONG/MARK GRONET	030	MMR	S	35,000	27.62	n/a	n/a	1,400.00	4.0
DCMC	032	MON	B	1,000	128.99	n/a	2-Apr	50.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KINGDON ASSET MGMT	041	MRVL	S	400,000	11.56	n/a	n/a	16,000.00	4.0
STG INVESTORS	041	MRVL	SS	150,000	11.55	n/a	n/a	4,500.00	3.0
DCMC	032	MSFT	B	2,650	30.09	n/a	3-Apr	132.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>S</b>	<b>10,000</b>	<b>23.12</b>	<b>7-Feb</b>	<b>11-Apr</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NEXC</b>	<b>S</b>	<b>100,000</b>	<b>2.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NEXC</b>	<b>S</b>	<b>48,590</b>	<b>2.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
TRIVIUM CAP MGMT	251	NTAP	B	25,000	22.13	n/a	n/a	1,000.00	4.0
DCMC	032	NWSA	B	5,550	18.06	n/a	n/a	277.50	5.0
STRONG/MARK GRONET	030	PHHM	B	20,000	7.55	n/a	n/a	800.00	4.0
JENNISON	032	QCOM	B	159,200	41.58	n/a	n/a	6,368.00	4.0
FIRST NEW YORK NICK	029	Q	S	40,000	4.60	n/a	n/a	2,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	RCL	C	20,000	32.06	n/a	31-Jan	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>RTH</b>	<b>C</b>	<b>44,000</b>	<b>94.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
CUMBERLAND	038	RT	B	15,000	7.38	n/a	n/a	600.00	4.0
EGS ASSOCIATES LP	091	SAH	SS	3,000	19.41	27-Feb	27-Feb	180.00	6.0
KENNEDY CAPITAL	046	SCRX	S	96,055	18.74	5-Nov	22-Apr	3,842.20	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>SUTERA</b>	<b>060</b>	<b>SDS</b>	<b>B</b>	<b>1,000</b>	<b>58.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DCMC	032	SEIC	B	3,700	23.89	n/a	n/a	185.00	5.0
DCMC	032	SGP	B	2,600	17.10	n/a	n/a	130.00	5.0
MORGENS WATERFALL	040	SHW	B	50,000	57.65	n/a	22-Apr	1,500.00	3.0
DCMC	032	SLB	B	2,650	104.72	n/a	n/a	132.50	5.0
TRUST CO/COWEN/CALF.	040	SLE	B	325,300	13.84	n/a	n/a	13,012.00	4.0
<b>N STRATTON</b>	<b>014</b>	<b>SNIC</b>	<b>C</b>	<b>300</b>	<b>9.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>WHEELER</b>	<b>008</b>	<b>SPNC</b>	<b>S</b>	<b>15,000</b>	<b>8.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SPY</b>	<b>C</b>	<b>7,800</b>	<b>137.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
DCMC	032	STT	B	1,050	66.00	n/a	n/a	52.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SWY</b>	<b>S</b>	<b>10,000</b>	<b>27.12</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SWY</b>	<b>S</b>	<b>200,000</b>	<b>27.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SWY</b>	<b>S</b>	<b>240,000</b>	<b>27.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
DCMC	032	SYK	B	1,950	63.84	n/a	n/a	97.50	5.0
ASCEND PARTNERS	033	TJX	B	50,000	31.34	n/a	11-Apr	2,500.00	5.0
COUGAR TRADING	044	TJX	B	5,000	31.52	n/a	11-Apr	250.00	5.0
WEINTRAUB CAPITAL MGT.	040	TJX	B	25,000	31.12	n/a	11-Apr	1,000.00	4.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	TK	B	33,000	46.09	n/a	n/a	1,650.00	5.0
⚡ BUCK CAPITAL MGMT		TRLG	B	10,000	15.49	n/a	n/a	non-brg	1.0
N STRATTON	014	TWIN	B	100	17.00	n/a	n/a	0.00	0.0
SINCUTMA	031	UNT	S	50	64.27	20-Mar	1-Apr	0.00	0.0
S CAST	001	UQM	B	575	1.73	n/a	n/a	0.00	0.0
AMATURO	018	UQM	B	5,000	1.75	n/a	n/a	0.00	0.0
LEDDY	035	UQM	B	5,500	1.75	n/a	n/a	0.00	0.0
HART	056	UQM	B	570	1.73	n/a	n/a	0.00	0.0
DCMC	032	VAR	B	2,350	45.39	n/a	n/a	117.50	5.0
LEDDY	035	VC	B	3,000	3.60	n/a	21-Apr	0.00	0.0
⚡ BUCK CAPITAL MGMT		VMW	C	25,000	66.04	n/a	n/a	non-brg	1.0
EATON VANCE MGMT	039	VOD	B	25,000	30.63	n/a	n/a	750.00	3.0
BUCK	015	VSKMJ	S	10	1.70	n/a	n/a	0.00	0.0
SINCLAIR	031	VYOA	B	100	1.10	n/a	n/a	0.00	0.0
AELTUS/ING INVESTMENT MGT	044	WDR	B	16,800	32.35	22-Apr	22-Apr	672.00	4.0
COUGAR TRADING	044	WDR	B	15,000	32.40	22-Apr	22-Apr	750.00	5.0
FIRST NY SEC/CHRIS	041	WEN	B	25,000	25.00	n/a	24-Apr	1,500.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PERFORMANCE PART LP #2	041	WEN	S	10,000	24.84	n/a	24-Apr	500.00	5.0
DCMC	032	WFT	B	2,100	83.18	n/a	n/a	105.00	5.0
⚡ BUCK CAPITAL MGMT		WMT+FL	SS	1,000	0.58	n/a	n/a	non-brg	100.0
PRIMECAP MGMT	048	WYE	B	1,000	44.17	n/a	31-Mar	40.00	4.0
⚡ BUCK CAPITAL MGMT		XRT	SS	353,600	31.15	n/a	n/a	non-brg	2.0
COUGAR TRADING	044	ZQK	S	5,000	9.45	5-Feb	7-Mar	250.00	5.0
<b>TOTAL (Trade Date):</b>							<b>167,475.68</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/21	CARDINAL CAPITAL	044	CRK	S	500	45.10	n/a	n/a	25.00 5.0
AO,CRT	04/21	CARDINAL CAPITAL	044	CRK	S	500	45.10	n/a	n/a	25.00 5.0
AO,	04/21	TRELLUS PARTNERS LP	028	F	SS	20,000	7.60	18-Mar	2-Apr	600.00 3.0
AO,CXL	04/17	JCK PARTNERS	067	SKS	B	11,687	12.27	n/a	n/a	584.35 5.0
AO,CRT	04/17	JCK PARTNERS	067	SKS	B	11,687	12.27	n/a	n/a	467.48 4.0
AO,CRT	04/21	LISTED ERR	099	SPY	SS	600	138.20	n/a	n/a	0.00 0.0
AO,CXL	04/21	LISTED ERR	099	SPY	SS	600	138.20	n/a	n/a	0.00 0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>483.13</b>

TOTAL (Trade Date):	167,475.68
TOTAL (AO, CXL, CRT):	483.13

<b>TOTAL (RUN DATE) / NFS:</b>	<b>167,958.81</b>
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MRI Securities with Trade Activity on 22-Apr.

WDR

MRI Securities without Trade Activity on 22-Apr.

AVY, PAG

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 14%

YTD = 21%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**