



## Daily Compliance Activity Report

Trade Date: 07/08/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ADAGE CAPITAL MGMT	030	AEO	SS	300,000	13.35	6-Mar	2-Jul	12,000.00	4.0
MERRILL ASSET	032	AEO	S	36,100	13.30	6-Mar	2-Jul	1,624.50	4.5
<b>GAULT</b>	<b>063</b>	<b>ANFGZ</b>	<b>B</b>	<b>20</b>	<b>1.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ANF	B	5,000	60.72	10-Apr	2-Jul	250.00	5.0
<b>DEMARTINI</b>	<b>065</b>	<b>ANF</b>	<b>B</b>	<b>200</b>	<b>60.50</b>	<b>10-Apr</b>	<b>2-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARGN</b>	<b>B</b>	<b>20,000</b>	<b>6.67</b>	<b>24-Jun</b>	<b>24-Jun</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	B	10,000	33.03	28-Apr	2-Jul	500.00	5.0
FRONTPOINT	044	AZO	B	25,400	121.92	n/a	n/a	1,016.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BARE</b>	<b>B</b>	<b>10,000</b>	<b>16.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>SS</b>	<b>10,000</b>	<b>28.58</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>WHEELER</b>	<b>008</b>	<b>BEAV</b>	<b>S</b>	<b>3,000</b>	<b>24.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PHILADELPHIA FINANCIAL	033	BEN	B	6,002	89.11	24-Mar	2-Jul	240.08	4.0
SIGMA CAPITAL ASSOCIATES	237	BKE	SS	75,000	45.78	n/a	n/a	3,750.00	5.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>BWA</b>	<b>B</b>	<b>20</b>	<b>40.22</b>	<b>10-Jun</b>	<b>10-Jun</b>	<b>0.00</b>	<b>0.0</b>
REICH AND TANG	067	B	B	55,800	21.96	n/a	n/a	2,500.00	1.7

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	CAT	B	1,700	69.73	n/a	23-May	68.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>CAT</b>	<b>C</b>	<b>100</b>	<b>69.63</b>	<b>n/a</b>	<b>23-May</b>	<b>0.00</b>	<b>0.0</b>
TALA	041	CCI	S	5,000	36.41	n/a	n/a	250.00	5.0
WAGONHOUND/NIC	048	CHD	B	20,908	50.94	2-Apr	7-Jul	836.32	4.0
WAGONHOUND/NIC	048	CHD	S	21,818	52.48	2-Apr	7-Jul	872.72	4.0
ROXBURY CAPITAL MGMT	035	CLB	B	25,000	127.61	n/a	18-Jun	1,000.00	4.0
NCM CAPITAL MGMT	035	CLX	B	11,825	53.02	n/a	24-Jun	473.00	4.0
<b>BUCK</b>	<b>015</b>	<b>CMA</b>	<b>SS</b>	<b>1,500</b>	<b>24.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROTHSCHILD ASSET	040	CMC	B	26,660	31.96	n/a	n/a	1,199.70	4.5
<b>LUCHANSKY1</b>	<b>036</b>	<b>CPN</b>	<b>S</b>	<b>800</b>	<b>19.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PIVOT CAP	034	CSCO	S	10,500	22.76	n/a	22-Mar	525.00	5.0
CUMBERLAND	038	CTRN	B	20,000	23.87	n/a	n/a	800.00	4.0
DIAMONDBACK CAP MGMT	232	CWTR	B	25,000	4.88	n/a	n/a	1,250.00	5.0
NATIONAL BK OF CAN	031	C	S	65,000	16.86	19-Jun	2-Jul	2,600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DDR</b>	<b>SS</b>	<b>10,000</b>	<b>31.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	DFS	B	50,000	13.34	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	DISH	C	25,000	27.44	n/a	4-Jan	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		DLR	SS	10,000	38.79	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	DPZ	S	25,000	11.52	n/a	24-Apr	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		DRE	SS	10,000	21.48	n/a	n/a	non-brg	4.0
PENN CAPITAL MGMT	243	DSW	B	26,910	11.78	8-May	30-May	1,076.40	4.0
MAGUIRE	039	DSW	B	500	11.31	8-May	30-May	0.00	0.0
NOMURA CAPITAL	045	DTG	B	16,750	3.17	n/a	n/a	502.50	3.0
PAKLER	029	DUG	B	83	30.50	n/a	n/a	0.00	0.0
OGORMAN	046	DUG	B	600	30.71	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		EOG	C	5,000	111.93	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		EQIX	SS	15,000	80.68	n/a	n/a	non-brg	4.0
BENCHMARK	028	ERJ	B	7,500	27.17	n/a	n/a	375.00	5.0
MS PRINCIPAL STRATEGIES	041	ERJ	SS	50,000	27.18	n/a	n/a	2,500.00	5.0
WILLIAM BLAIR	031	ERTS	B	33,060	45.76	n/a	n/a	1,322.40	4.0
NCM CAPITAL MGMT	035	ESL	B	36,266	46.69	n/a	n/a	1,450.64	4.0
DEMARTINI	065	ETN	B	200	82.22	18-Jun	18-Jun	0.00	0.0
BALYASNY ASSET MGMT	044	FII	B	25,000	32.39	14-Apr	2-Jul	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OGORMAN	046	FINL	SS	2,000	9.65	28-Mar	28-Mar	0.00	0.0
NATIONAL BK OF CAN	031	FITB	S	25,000	11.39	n/a	n/a	1,000.00	4.0
ROTHSCHILD ASSET	040	FLO	S	37,660	28.42	n/a	n/a	1,694.70	4.5
⌘ BUCK CAPITAL MGMT		FRT	SS	10,000	65.83	n/a	n/a	non-brg	4.0
PAKLER	029	FSLR	S	4	254.77	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FXP	S	2,000	88.46	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	B	10,000	35.92	n/a	n/a	400.00	4.0
MORGAN LEWIS/MLH	046	GE	B	35,000	28.15	n/a	n/a	1,750.00	5.0
MORTAR ROCK	033	GFIG	S	75,000	7.98	n/a	n/a	3,000.00	4.0
⌘ BUCK CAPITAL MGMT		GGP	SS	5,560	31.31	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIGM	B	30,000	12.57	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	B	50,000	24.95	30-Apr	27-Jun	non-brg	4.0
JPMSI/TOM LEDDY	035	GIL	S	4,000	24.49	30-Apr	27-Jun	200.00	5.0
KERN	050	GLW	B	500	21.20	n/a	7-Jul	0.00	0.0
DEMARTINI	065	GLW	B	1,000	21.17	n/a	7-Jul	0.00	0.0
OGORMAN	046	GM	C	1,000	10.50	24-Jun	2-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ADAGE CAPITAL MGMT	030	GPS	B	300,000	16.58	n/a	2-Jul	12,000.00	4.0
COUGAR TRADING	044	GPS	B	10,000	16.36	n/a	2-Jul	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GRT</b>	<b>SS</b>	<b>10,000</b>	<b>10.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NATIONAL BK OF CAN	031	GS	S	5,000	169.00	19-Mar	17-Jun	200.00	4.0
SANDLER O'NEILL ASSET	031	GS	B	10,000	169.56	19-Mar	17-Jun	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	GYMB	SS	25,000	38.17	3-Jun	2-Jul	1,250.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>HIBB</b>	<b>SS</b>	<b>100</b>	<b>21.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CENTURION GLOBAL MGMT LL	041	INTC	S	12,400	20.76	n/a	n/a	372.00	3.0
NCM CAPITAL MGMT	035	INTU	B	71,022	28.20	n/a	n/a	2,840.88	4.0
MERRILL ASSET	032	IVZ	B	27,300	22.67	10-Apr	2-Jul	1,228.50	4.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JAH</b>	<b>B</b>	<b>10,000</b>	<b>17.98</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
J L F ASSET MGMT	039	JCP	SS	83,700	36.47	n/a	2-Jul	3,348.00	4.0
BALYASNY ASSET MGMT	044	JNS	B	50,000	24.62	25-Apr	8-Jul	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>S</b>	<b>2,000</b>	<b>13.38</b>	<b>n/a</b>	<b>1-May</b>	<b>non-brg</b>	<b>1.0</b>
NATIONAL BK OF CAN	031	JPM	S	10,000	35.08	8-May	2-Jul	400.00	4.0
SANDLER O'NEILL ASSET	031	JPM	B	100,000	34.75	8-May	2-Jul	5,000.00	5.0
<b>BUCK</b>	<b>015</b>	<b>JPM</b>	<b>C</b>	<b>2,500</b>	<b>33.84</b>	<b>8-May</b>	<b>2-Jul</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>KIM</b>	<b>SS</b>	<b>10,000</b>	<b>31.54</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	KSS	B	5,000	43.90	n/a	2-Jul	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LECO</b>	<b>C</b>	<b>6,400</b>	<b>72.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>N STRATTON</b>	<b>014</b>	<b>LEG</b>	<b>SS</b>	<b>150</b>	<b>15.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NATIONAL BK OF CAN	031	LEH	S	65,000	20.27	9-Jun	17-Jun	2,600.00	4.0
NCM CAPITAL MGMT	035	LLTC	B	167,755	32.30	n/a	n/a	6,710.20	4.0
EPOCH INV MGMT	030	LM	S	50,000	37.22	1-Jul	2-Jul	2,000.00	4.0
<b>N STRATTON</b>	<b>014</b>	<b>LNET</b>	<b>B</b>	<b>1,050</b>	<b>3.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	LTD	SS	10,000	16.73	n/a	2-Jul	500.00	5.0
NCM CAPITAL MGMT	035	MAT	B	86,425	17.46	n/a	n/a	3,457.00	4.0
<b>BUCK</b>	<b>015</b>	<b>MON</b>	<b>SS</b>	<b>300</b>	<b>115.01</b>	<b>n/a</b>	<b>26-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBOIH</b>	<b>C</b>	<b>5,000</b>	<b>110.12</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>11.0</b>
NATIONAL BK OF CAN	031	MS	S	35,000	35.07	n/a	19-Jun	1,400.00	4.0
NCM CAPITAL MGMT	035	NFG	B	32,559	55.17	n/a	n/a	1,302.36	4.0
COLUMBIA PARTNERS	028	NOV	S	29,296	78.70	n/a	n/a	878.88	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON KEMPNER ADVISOR	040	NWA	S	184,000	6.41	n/a	n/a	9,200.00	5.0
⌘ BUCK CAPITAL MGMT		OIH	C	17,500	200.78	n/a	n/a	non-brg	1.0
MS PRINCIPAL STRATEGIES	041	OIH	B	20,000	199.91	n/a	n/a	1,000.00	5.0
SCOPUS FUND	041	PAG	B	48,000	13.75	10-Jun	10-Jun	2,400.00	5.0
⌘ BUCK CAPITAL MGMT		PCAR	B	25,000	42.62	n/a	3-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PEI	SS	10,000	21.45	n/a	n/a	non-brg	4.0
TRUST CO/COWEN/CALF.	040	PFE	S	109,100	18.04	n/a	31-Mar	4,364.00	4.0
BASIX	033	PKG	B	30,000	22.81	7-Apr	26-Jun	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		PKG	B	19,100	23.36	7-Apr	26-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PKG	B	25,900	23.26	7-Apr	26-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PKG	B	49,100	23.30	7-Apr	26-Jun	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	20,000	22.71	7-Apr	26-Jun	1,000.00	5.0
SCOPUS FUND	041	PKG	B	75,000	23.29	7-Apr	26-Jun	3,750.00	5.0
⌘ BUCK CAPITAL MGMT		PLCE	B	20,000	34.07	23-Jun	2-Jul	non-brg	4.0
N STRATTON	014	PRXI	B	500	3.90	n/a	n/a	0.00	0.0
BLUE HARBOR	036	PVH	B	45,000	35.44	5-May	22-May	2,250.00	5.0
JP MORGAN VENTURES	046	PWR	C	75,000	28.81	n/a	n/a	3,750.00	5.0
DANIELS	N25	QXM	B	500	4.85	n/a	n/a	0.00	0.0

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ß BUCK CAPITAL MGMT		RCL	B	3,500	22.33	25-Apr	25-Apr	non-brg	1.0
N STRATTON	014	REXI	S	300	9.50	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	RSG	B	20,000	29.01	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		S4RETL	SS	1,500	313.02	n/a	n/a	non-brg	31.3
BUCK	015	SDBSA	B	5	9.49	n/a	n/a	0.00	0.0
OGORMAN	046	SDS	S	300	69.85	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SDXC	SS	20,000	14.96	n/a	n/a	non-brg	4.0
PALMYRA CAPITAL	048	SKS	S	146,000	9.63	n/a	n/a	7,300.00	5.0
ß BUCK CAPITAL MGMT		SKT	SS	10,000	35.77	n/a	n/a	non-brg	4.0
ZOLIDIS	011	SKX	C	300	19.01	n/a	13-Mar	0.00	0.0
OGORMAN	046	SKX	C	500	19.49	n/a	13-Mar	0.00	0.0
BUCK	015	SLBTR	S	6	3.40	n/a	n/a	0.00	0.0
PERRY PARTNERS	041	SPLS	S	90,000	22.01	n/a	27-Jul	4,500.00	5.0
KORMAN	003	SPLS	SS	5,000	22.30	n/a	27-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		SRS	S	2,000	111.32	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SSCC	B	170,000	4.69	7-Apr	26-Jun	non-brg	3.0



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⚡ BUCK CAPITAL MGMT		SSI	S	20,000	13.68	n/a	2-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TCO	SS	10,000	44.91	n/a	n/a	non-brg	4.0
N STRATTON	014	TECUA	SS	100	32.60	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	THQI	SS	55,800	18.71	n/a	n/a	2,790.00	5.0
BACKBAY	041	TIN	B	25,000	11.85	29-Apr	26-Jun	1,250.00	5.0
BMO NESBITT BURNS	041	TIN	B	50,000	11.98	29-Apr	26-Jun	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		TIN	B	5,000	11.85	29-Apr	26-Jun	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	30,000	11.69	29-Apr	26-Jun	1,500.00	5.0
SCOPUS FUND	041	TIN	B	50,000	12.00	29-Apr	26-Jun	2,500.00	5.0
J L F ASSET MGMT	039	TJX	B	25,600	31.94	n/a	2-Jul	1,024.00	4.0
⚡ BUCK CAPITAL MGMT		TRLG	S	1,000	25.83	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		TRLG	S	10,000	25.56	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TRN	C	20,000	31.20	n/a	n/a	non-brg	4.0
KEEFE BRUYETTE WOODS A	031	TROW	SS	5,700	50.61	n/a	2-Jul	285.00	5.0
BARON ASSET MGMT*	012	TSCO	S	57,000	29.22	n/a	n/a	2,850.00	5.0
COUGAR TRADING	044	TWB	B	25,000	15.40	17-Mar	22-May	1,250.00	5.0
OGORMAN	046	UCC	B	500	39.57	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		ULTA	B	10,000	9.72	n/a	n/a	non-brg	4.0

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Ⓐ BUCK CAPITAL MGMT		URBN	S	1,000	31.96	15-May	7-Jul	non-brg	1.0
ZOLIDIS	011	UYG	B	1,000	19.45	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	VCI	B	6,300	12.18	n/a	n/a	252.00	4.0
Ⓐ BUCK CAPITAL MGMT		VC	B	35,000	2.44	n/a	8-Jul	non-brg	1.0
JP MORGAN VENTURES	046	VFC	B	15,000	74.57	n/a	n/a	750.00	5.0
FRONTPOINT CHRIS C	041	VMI	B	1,500	89.29	n/a	n/a	60.00	4.0
WILLIAM BLAIR	031	VRSN	S	117,700	32.82	n/a	n/a	2,354.00	2.0
MERRILL ASSET	032	WBS	B	10,500	17.74	n/a	n/a	472.50	4.5
PHILADELPHIA FINANCIAL	033	WDR	B	60,293	33.40	22-Apr	2-Jul	2,411.72	4.0
CSFB GLOBAL PROPRIETARY	041	WMI	B	15,000	36.31	n/a	n/a	750.00	5.0
Ⓐ BUCK CAPITAL MGMT		WSM+WC	SS	1,000	0.80	n/a	n/a	non-brg	100.0
Ⓐ BUCK CAPITAL MGMT		WSM	SS	20,000	19.15	n/a	n/a	non-brg	4.0
OGORMAN	046	XLY	B	400	28.36	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	XRTX	B	15,000	15.83	n/a	n/a	750.00	5.0
Ⓐ BUCK CAPITAL MGMT		XRT	SS	13,000	28.85	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
POST ROAD CAP	045	XRT	B	10,000	29.76	n/a	n/a	300.00	3.0
ZOLIDIS	011	ZUMZ	B	500	17.87	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :							168,678.00		

### Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/03	TRELLUS PARTNERS LP	028	BWA	B	50,000	40.94	10-Jun	10-Jun	2500.00 5.0
AO,CRT	07/07	SATELITE/BOBBY	044	CVS	S	39,500	39.00	n/a	n/a	1580.00 4.0
AO,CXL	07/07	SATELITE/BOBBY	044	CVS	S	39,500	39.00	n/a	n/a	1975.00 5.0
<b>AO,CXL</b>	<b>07/02</b>	<b>MCCARTAN</b>	<b>028</b>	<b>DHR</b>	<b>SS</b>	<b>100</b>	<b>75.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>AO,CRT</b>	<b>07/02</b>	<b>EMP ERROR</b>	<b>099</b>	<b>DHR</b>	<b>SS</b>	<b>100</b>	<b>75.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>AO,</b>	<b>07/02</b>	<b>MCCARTAN</b>	<b>028</b>	<b>DHR</b>	<b>SS</b>	<b>100</b>	<b>75.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>AO,</b>	<b>07/02</b>	<b>EMP ERROR</b>	<b>099</b>	<b>DHR</b>	<b>SS</b>	<b>100</b>	<b>75.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
AO,CRT	07/02	GALT INVESTMENT	041	DKS	B	25,000	17.25	7-Jul	7-Jul	1000.00 4.0
AO,CXL	07/02	GALT INVESTMENT	041	DKS	B	25,000	17.25	7-Jul	7-Jul	1250.00 5.0
AO,CRT	07/07	BAYPOINT/PRIMEMOVERS	041	FTK	B	5,000	17.78	n/a	n/a	250.00 5.0
AO,CXL	07/07	BAYPOINT/PRIMEMOVERS	041	FTK	B	5,000	17.78	n/a	n/a	200.00 4.0
AO,CXL	07/07	SATELITE/BOBBY	044	IGT	SS	10,000	24.91	n/a	18-Apr	500.00 5.0
AO,CRT	07/07	SATELITE/BOBBY	044	IGT	SS	10,000	24.91	n/a	18-Apr	400.00 4.0
AO,CRT	07/07	SATELITE/BOBBY	044	KSS	S	5,000	43.21	n/a	2-Jul	200.00 4.0
AO,CXL	07/07	SATELITE/BOBBY	044	KSS	S	5,000	43.21	n/a	2-Jul	250.00 5.0
AO,CRT	07/07	SATELITE/BOBBY	044	PBG	B	15,000	28.42	n/a	n/a	600.00 4.0
AO,CXL	07/07	SATELITE/BOBBY	044	PBG	B	15,000	28.42	n/a	n/a	750.00 5.0
AO,CRT	07/01	MERRILL LYNCH STRATEGICI	034	ROST	SS	100,000	35.79	n/a	n/a	4000.00 4.0
AO,CXL	07/01	MERRILL LYNCH STRATEGICI	034	ROST	SS	100,000	35.79	n/a	n/a	5000.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/03	OTC ERROR	099	UFPI	B	400	27.30	n/a	n/a	0.00 0.0
AO,CXL	07/03	LISTED ERR	091	UFPI	B	400	27.30	n/a	n/a	20.00 5.0
AO,CXL	07/03	FRONTPOINT CHRIS C	041	WMT	B	25,000	56.88	30-Jun	2-Jul	1250.00 5.0
AO,CRT	07/03	J L F ASSET MGMT	039	WMT	B	25,000	56.88	30-Jun	2-Jul	1000.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>335.00</b>

TOTAL (Trade Date):	168,678.00
TOTAL (AO, CXL, CRT):	335.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>169,013.00</b>
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MRI Securities with Trade Activity on 8-Jul.  
NONE

MRI Securities without Trade Activity on 8-Jul.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 3%  
YTD = 16%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**