



Daily Compliance Activity Report

Trade Date: 04/21/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NORTHPOINT	030	AAWW	S	12,400	59.31	n/a	n/a	496.00	4.0
EPOCH INV MGMT	030	ADCT	B	1,700	13.03	n/a	n/a	68.00	4.0
RHO CAPITAL MGMT	041	AIG	S	900	47.15	n/a	n/a	36.00	4.0
AMATURO	018	AKNS	B	3,000	7.06	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	ALC	B	2,350	7.00	n/a	n/a	94.00	4.0
BACKBAY	041	ALV	B	15,000	53.70	17-Apr	21-Apr	750.00	5.0
SUNOVA CAPITAL	031	AMG	SS	30,000	98.30	7-Apr	17-Apr	1,500.00	5.0
LEDDY	035	AN	SS	800	15.82	n/a	8-Feb	0.00	0.0
COUGAR TRADING	044	ARO	B	20,000	30.04	29-Nov	11-Apr	1,000.00	5.0
MAGUIRE	039	AXE	B	100	66.95	28-Mar	4-Apr	0.00	0.0
BUCK	015	BACPRL	B	701,053.50		n/a	n/a	0.00	0.0
MARK ASSETMGMT (SR)	040	BSC	S	20,000	10.27	17-Mar	14-Apr	800.00	4.0
OGORMAN	046	BWA	B	600	47.67	14-Jan	21-Apr	0.00	0.0
NEW JERSEY DIV OF INV.	220	CCU	B	50,000	28.47	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	035	CHCG	B	2,900	1.75	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	CHRS	B	3,250	4.96	n/a	22-Mar	130.00	4.0
WELLS/STRONG LEDDY	035	CHRS	S	2,700	4.86	n/a	22-Mar	81.00	3.0
RHO CAPITAL MGMT	041	CHTP	S	1,000	4.80	n/a	n/a	40.00	4.0
BUCK	015	COF	C	1,000	47.79	n/a	n/a	0.00	0.0
MILLER	025	COST	B	25	68.74	n/a	27-Jul	0.00	0.0
BUCK	015	CPN	S	526	18.80	n/a	n/a	0.00	0.0
PILOT ADV	038	CQB	S	5,000	22.85	n/a	n/a	200.00	4.0
FRONTPOINT	044	CRI	SS	25,000	16.20	n/a	n/a	1,000.00	4.0
CARDINAL CAPITAL	044	CRK	S	61,300	45.10	n/a	n/a	3,065.00	5.0
JENNISON	032	CSCO	B	184,600	24.69	n/a	22-Mar	7,384.00	4.0
MILLER	025	C	B	100	24.83	16-Jan	21-Apr	0.00	0.0
MILLER	025	DELL	S	10	19.59	n/a	n/a	0.00	0.0
BUCK	015	DIS	B	1,000	31.42	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	DKS	B	25,700	26.21	n/a	14-Mar	771.00	3.0
N STRATTON	014	DSNY	B	1,000	0.55	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	DSW	S	10,000	12.95	28-Mar	28-Mar	500.00	5.0
KAICHER	064	EBHI	S	5,000	3.27	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	EDR	B	2,250	14.03	n/a	n/a	90.00	4.0
BUCK	015	EFA	B	1,000	75.56	n/a	n/a	0.00	0.0
PERRY PARTNERS	041	ESI	B	30,000	56.27	n/a	n/a	1,500.00	5.0
LUCHANSKY	036	ETN	B	100	86.31	14-Apr	21-Apr	0.00	0.0
PHILADELPHIA FINANCIAL	033	EV	B	49,300	34.34	9-Jan	16-Apr	2,465.00	5.0
MILLER	025	EWZ	B	50	88.94	n/a	n/a	0.00	0.0
BUCK	015	FXB	S	300	198.55	n/a	n/a	0.00	0.0
ROSEDALE CAPITAL	046	GE	B	20,000	32.49	n/a	n/a	1,000.00	5.0
PAKLER	029	GMRX	S	4	3.05	n/a	n/a	0.00	0.0
LEDDY	035	GM	SS	700	21.05	3-Jan	8-Apr	0.00	0.0
BACKBAY	041	GNTX	SS	12,500	16.55	7-Apr	21-Apr	625.00	5.0
COUGAR TRADING	044	GNTX	SS	5,000	16.77	7-Apr	21-Apr	250.00	5.0
COLONIAL FUND LLC	036	GPI	B	10,000	24.91	25-Jan	21-Apr	500.00	5.0
COLUMBIA PARTNERS	028	GPI	B	10,000	24.92	25-Jan	21-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLRACE FUND LP	028	GPI	B	8,900	24.49	25-Jan	21-Apr	356.00	4.0
S CAST	001	GSI	S	200	8.67	n/a	n/a	0.00	0.0
N STRATTON	014	GSI	S	500	8.17	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	HBI	B	25,600	33.11	n/a	n/a	960.00	3.8
BARROW HANLEY MEWHINNEY	031	HI	S	147,100	18.12	n/a	n/a	5,884.00	4.0
BREEDEN CAP MGMT	036	HI	B	49,700	18.05	n/a	n/a	2,485.00	5.0
MATLIN PATTERSON	046	HRZ	B	25,000	14.99	n/a	n/a	1,250.00	5.0
BUCK	015	HUM	S	1,000	43.85	n/a	n/a	0.00	0.0
ZOLIDIS	011	ICON	S	1,000	15.98	n/a	n/a	0.00	0.0
PAKLER	029	JGQFA	B	16	0.65	n/a	n/a	0.00	0.0
SINCLAIR	031	JGQFA	B	200	0.65	n/a	n/a	0.00	0.0
HART	056	JGQFA	B	15	0.65	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	JNJ	B	74,400	66.35	n/a	22-Apr	2,790.00	3.8
MARK ASSETMGMT (SR)	040	JPM	S	12,000	44.97	17-Jan	16-Apr	480.00	4.0
HUNTER GLOBAL INVESTOR	067	KEY	SS	226,000	23.22	n/a	n/a	9,040.00	4.0
DAVIDSON TRUST CO	028	KMB	S	10,650	63.59	n/a	n/a	532.50	5.0
COUGAR TRADING	044	KSS	B	35,000	46.11	4-Jan	11-Apr	1,750.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	20,000	16.63	n/a	17-Mar	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
N STRATTON	014	LNET	B	300	5.93	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	LTD	B	30,000	18.49	n/a	11-Apr	1,500.00	5.0
N STRATTON	014	LTRE	S	200	17.05	n/a	n/a	0.00	0.0
MTB INV ADV	034	LULU	B	16,175	32.51	n/a	n/a	808.75	5.0
NEW JERSEY DIV OF INV.	220	MAR	B	50,000	32.59	n/a	n/a	2,000.00	4.0
PERRY PARTNERS	041	MET	B	55,000	60.57	n/a	n/a	2,750.00	5.0
IRIDIAN ASSET MGMT.	036	MMR	S	24,140	26.43	n/a	n/a	1,207.00	5.0
CENTURION GLOBAL MGMT LL	041	MPWR	S	10,200	21.28	n/a	n/a	306.00	3.0
RHO CAPITAL MGMT	041	MS	S	1,000	46.95	20-Dec	14-Apr	40.00	4.0
COUGAR TRADING	044	M	B	10,000	23.60	7-Feb	11-Apr	500.00	5.0
LEDDY	035	PBR	B	100	127.27	n/a	n/a	0.00	0.0
XYLEM GLOBAL	091	PCH	B	9,300	43.93	18-Apr	18-Apr	465.00	5.0
MCCARTAN	028	PDRT	S	1,000	2.20	n/a	n/a	0.00	0.0
BUCK	015	PEP	S	800	70.14	n/a	n/a	0.00	0.0
JENNISON	032	QCOM	B	115,500	42.48	n/a	n/a	4,620.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK NICK	029	Q	S	5,000	4.65	n/a	n/a	250.00	5.0
MTB INV ADV	034	RCII	S	21,100	18.74	n/a	n/a	1,055.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	58.87	7-Feb	15-Feb	400.00	4.0
ROYAL CAPITAL	039	RRGB	SS	54,931	38.20	n/a	n/a	2,746.55	5.0
EPOCH INV MGMT	030	SHFL	B	10,000	4.80	n/a	n/a	400.00	4.0
TRUST CO/COWEN/CALF.	040	SLE	B	148,845	13.97	n/a	n/a	5,953.80	4.0
LEHMAN PROPRIETARY TRAD	041	SLM	B	10,000	17.65	n/a	n/a	400.00	4.0
KAICHER	064	SPNG	B	250,000	0.04	n/a	n/a	0.00	0.0
RHO CAPITAL MGMT	041	SPY	SS	600	138.20	n/a	n/a	24.00	4.0
LISTED ERR	099	SPY	SS	1,200	138.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TIF	SS	15,000	41.79	14-Jan	25-Mar	600.00	4.0
ASCEND PARTNERS	033	TJX	B	50,000	31.68	n/a	11-Apr	2,500.00	5.0
COUGAR TRADING	044	TJX	B	10,000	31.48	n/a	11-Apr	500.00	5.0
RHO CAPITAL MGMT	041	TLT	B	300	92.76	n/a	n/a	12.00	4.0
LISTED ERR	099	TLT	B	300	92.83	n/a	n/a	0.00	0.0
LISTED ERR	099	TLT	S	300	92.86	n/a	n/a	0.00	0.0
PILOT ADV	038	UTX	S	5,000	71.89	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	035	VC	B	700	3.77	n/a	21-Apr	0.00	0.0
JMP ASSET MGMT	210	WAVE	S	1,200	5.00	n/a	n/a	48.00	4.0
BUCK	015	WB	S	600	26.59	n/a	n/a	0.00	0.0
RHO CAPITAL MGMT	041	WDC	B	1,000	30.11	n/a	n/a	40.00	4.0
SINCLAIR	031	WFCSY	S	55	1.50	n/a	n/a	0.00	0.0
MERRILL ASSET	032	WHQ	B	9,200	80.06	n/a	n/a	460.00	5.0
HIGHBRIDGE CAPITAL	038	WY	S	3,000	63.69	7-Apr	7-Apr	150.00	5.0
AVESTA CAP	229	XOM	B	50,000	94.30	n/a	n/a	2,500.00	5.0
NORTHPOINT	030	ZOLT	B	81,940	25.32	n/a	n/a	3,277.60	4.0
TOTAL (Trade Date) :								88,786.20	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	04/21	MARK ASSETMGMT (SR) (MAKAMAMCGS)	040	BSC	S	20,000	10.27	17-Mar	14-Apr	800.00 4.0
AO,	04/18	BAIRD INVESTMENT (BAIRBSCFB)	031	CRR	S	2,300	44.86	13-Feb	1-Apr	115.00 5.0
CRT	04/21	BARROW HANLEY MEWHINNEY (BARR1505)	031	HI	S	107,000	18.12	n/a	n/a	4280.00 4.0
AO,	04/18	BAIRD INVESTMENT (BAIRJB473M)	031	HRS	B	525	50.78	28-Mar	28-Mar	26.25 5.0
AO,	04/18	BAIRD INVESTMENT (BAIRJB205)	031	HRS	B	650	50.78	28-Mar	28-Mar	32.50 5.0
AO,	04/18	BAIRD INVESTMENT (BAIRBMCFB)	031	HRS	B	1,000	50.78	28-Mar	28-Mar	50.00 5.0
AO,CRT	04/18	BUCK CAPITAL MGMT (BUCK)	015	YHQEF	S	20	0.79	n/a	n/a	0.00 0.0
AO,CXL	04/18	BUCK CAPITAL MGMT (BUCK)	015	YHQEF	S	20	0.79	n/a	n/a	0.00 0.0

TOTAL (AO, CXL, CRT) :	5,303.75
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TOTAL (Trade Date) :	88,786.20
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TOTAL (AO, CXL, CRT) :	5,303.75
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TOTAL (RUN DATE) / NFS :	94,089.95
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION