



# Daily Compliance Activity Report

Trade Date: 07/15/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>AAPL</b>	<b>B</b>	<b>2</b>	<b>1.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BROADARCH CAP/OLD NEWC	070	AA	SS	6,600	10.87	n/a	n/a	264.00	4.0
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>AEO</b>	<b>S</b>	<b>200</b>	<b>11.95</b>	<b>n/a</b>	<b>9-Jul</b>	<b>0.00</b>	<b>0.0</b>
WEDBUSH/PACIFIC GROWTH EQTY LLC084	AGCO		SS	10,000	29.43		n/a 30-Apr	500.00	5.0
BALYASNY ASSET MGMT	044	ANF	S	20,000	35.22	n/a	9-Jul	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>S</b>	<b>5,000</b>	<b>34.98</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>AOS</b>	<b>S</b>	<b>200</b>	<b>53.01</b>	<b>n/a</b>	<b>25-Jun</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	ARO	SS	12,500	29.97	n/a	9-Jul	625.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ATVI</b>	<b>S</b>	<b>3,000</b>	<b>11.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MATRIX ASSET ADV.	036	AXP	S	2,000	43.40	n/a	15-Jul	80.00	4.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>BAC</b>	<b>B</b>	<b>5000</b>	<b>15.59</b>	<b>n/a</b>	<b>6-Jul</b>	<b>0.00</b>	<b>0.0</b>
BROADARCH CAP/OLD NEWC	070	BAX	SS	3,700	43.04	n/a	n/a	148.00	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>BEE</b>	<b>S</b>	<b>382</b>	<b>4.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>BEE</b>	<b>S</b>	<b>382</b>	<b>4.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BP</b>	<b>B</b>	<b>250</b>	<b>38.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>BWIN AV</b>	<b>S</b>	<b>1,000</b>	<b>43.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>6.6</b>
BMO NESBITT BURNS	041	CEPH	SS	3,000	59.66	n/a	15-Jul	150.00	5.0
BROADARCH CAP/OLD NEWC	070	CE	S	3,300	26.17	n/a	n/a	132.00	4.0
RBC CAPITAL MARKETS	040	CF	S	18,000	77.29	n/a	n/a	720.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CHS</b>	<b>B</b>	<b>10,000</b>	<b>9.87</b>	<b>n/a</b>	<b>5-Feb</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>C</b>	<b>10,000</b>	<b>46.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CRI</b>	<b>S</b>	<b>5,000</b>	<b>26.18</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CROX</b>	<b>S</b>	<b>5,000</b>	<b>10.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>CVGI</b>	<b>S</b>	<b>250</b>	<b>10.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>C</b>	<b>S</b>	<b>5000</b>	<b>4.09</b>	<b>n/a</b>	<b>6-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>C</b>	<b>B</b>	<b>3500</b>	<b>4.15</b>	<b>n/a</b>	<b>6-Jul</b>	<b>0.00</b>	<b>0.0</b>
BNP PARIBAS SEC	040	DAN	B	15,000	10.80	n/a	15-Jul	600.00	4.0
BENCHMARK	025	DAR	B	5,000	7.79	n/a	n/a	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>10,000</b>	<b>46.12</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BROADARCH CAP/OLD NEWC	070	DELL	SS	4,300	13.40	n/a	n/a	172.00	4.0
CATAPULT	040	DOW	SS	20,000	26.41	n/a	21-May	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	EL	B	10,000	64.76	n/a	7-Jun	400.00	4.0
BROADARCH CAP/OLD NEWC	070	ERIC	B	5,000	11.82	n/a	n/a	200.00	4.0
f DAVID GEORGE BUCK	FID	ESRX	S	1000	47.75	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	ETH	SS	15,000	14.16	n/a	n/a	750.00	5.0
B BUCK CAPITAL MGMT		FINL	B	20,000	14.34	n/a	n/a	non-brg	4.0
f PEARL LUCHANSKY	FID	FMAGX	S	13	61.94	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	FOR	B	20,000	16.50	n/a	28-Jun	1,000.00	5.0
f MARC PAUL LUCHANSKY	FID	FSICK	B	1817	11.01	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSLXX	B	59619	1.00	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSLXX	B	87012	1.00	n/a	n/a	0.00	0.0
f MITCHELL JAMES SPEIS	FID	FSNXX	B	8464	1.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	B	25,000	26.99	n/a	n/a	1,000.00	4.0
B BUCK CAPITAL MGMT		GIL	S	5,000	29.31	n/a	14-May	non-brg	4.0
f DAVID GEORGE BUCK	FID	GIS	S	1200	36.01	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GPS	S	100,000	18.48	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	GWW	SS	5,000	103.50	n/a	15-Jul	200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		HAS	C	10,000	41.61	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		HAS	C	140,000	41.30	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	HBI	B	10,000	25.84	n/a	14-May	400.00	4.0
BROADARCH CAP/OLD NEWC	070	HPQ	B	3,000	47.36	n/a	n/a	120.00	4.0
M&I FUNDS	047	IP	S	8,397	23.36	n/a	28-Jun	335.88	4.0
BENCHMARK	025	IYF	B	2,500	52.84	n/a	n/a	100.00	4.0
B BUCK CAPITAL MGMT		JAH	S	5,000	29.00	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	JNY	B	19,000	15.52	n/a	14-May	950.00	5.0
ONE EAST CAP ADV LP	048	JPM	S	10,000	39.72	n/a	15-Jul	500.00	5.0
RBC CAPITAL MARKETS	040	JRCC	B	50,000	17.18	n/a	n/a	2,000.00	4.0
B BUCK CAPITAL MGMT		KSS	S	2,000	47.00	n/a	9-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		LEN	B	10,000	14.90	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MDC	B	10,000	28.44	n/a	n/a	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	MHS	B	1,400	57.09	n/a	n/a	56.00	4.0
BROADARCH CAP/OLD NEWC	070	MRVL	S	10,300	17.34	n/a	n/a	412.00	4.0
f KAREN F LEDDY	FID	MS	B	400	25.63	n/a	6-Jul	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	MYL	B	7,000	18.28	n/a	15-Jul	350.00	5.0
EXIS CAPITAL	044	M	B	10,000	18.03	n/a	9-Jul	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>NTY</b>	<b>S</b>	<b>337,650</b>	<b>53.87</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BNP PARIBAS SEC	040	PCAR	B	7,500	44.01	n/a	23-Jun	300.00	4.0
BROADARCH CAP/OLD NEWC	070	PH	SS	2,500	57.68	n/a	30-Apr	100.00	4.0
WEDBUSH/PACIFIC GROWTH EQTY LLC084	PKG		S	9,442	22.65		n/a 28-Jun	472.10	5.0
CATAPULT	040	POT	B	15,000	97.17	n/a	n/a	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PRTY LN</b>	<b>S</b>	<b>10,000</b>	<b>3.63</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.5</b>
ELM RIDGE ADVISORS	044	PTV	SS	117,400	29.79	n/a	n/a	4,696.00	4.0
DENVER INST. ADV	046	PVH	S	83,550	47.47	n/a	26-May	3,342.00	4.0
WEDBUSH/PACIFIC GROWTH EQTY LLC084	RF		B	50,000	6.90		n/a n/a	1,500.00	3.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>RIG</b>	<b>B</b>	<b>150</b>	<b>54.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RYL</b>	<b>B</b>	<b>10,000</b>	<b>17.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SIG</b>	<b>S</b>	<b>2,000</b>	<b>28.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BERMAN CAPITAL MGMT	046	SKX	S	6,500	33.94	n/a	n/a	195.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SKX	B	10,000	33.70	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SKX	B	10,000	33.76	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SMT	S	10,000	17.40	n/a	n/a	non-brg	2.5
MARC PAUL LUCHANSKY	FID	SMUAX	B	5187	4.82	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	TGT	B	5,000	49.80	n/a	9-Jul	200.00	4.0
BUCK CAPITAL MGMT	001	TLB	B	20,000	10.95	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		TOL	B	10,000	17.52	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	VSI	B	20,000	25.09	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		VSI	C	10,000	25.07	n/a	n/a	non-brg	0.8
JONATHAN RICHARD HAR	FID	WBC	S	350	36.10	n/a	25-Jun	0.00	0.0
BMO NESBITT BURNS	041	WCRX	SS	4,000	23.65	n/a	15-Jul	200.00	5.0
MARC PAUL LUCHANSKY	FID	WEFIX	B	2021	12.37	n/a	n/a	0.00	0.0
BROADARCH CAP/OLD NEWC	070	WPI	B	1,600	43.99	n/a	15-Jul	64.00	4.0
BROADARCH CAP/OLD NEWC	070	XLNX	SS	7,700	28.05	n/a	n/a	308.00	4.0
TOTAL (Trade Date):							28,591.98		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/14	TRELLUS PARTNERS LP	028	PKG	B	17,400	22.82	n/a	28-Jun	870.00 5.0
AO,CRT	07/14	TRELLUS PARTNERS LP	028	PKG	B	17,400	22.82	n/a	28-Jun	522.00 3.0
AO,	07/14	DENVER INST. ADV	046	PVH	S	80,000	48.73	n/a	26-May	3200.00 4.0
AO,CRT	07/14	SMITH MGMT LLC	029	SDRL	B	26,300	21.45	n/a	n/a	789.00 3.0
AO,CXL	07/14	SMITH MGMT LLC	029	SDRL	B	26,300	21.45	n/a	n/a	0.00 0.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>3,641.00</b>
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TOTAL (Trade Date) :	28,591.98
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TOTAL (AO, CXL, CRT) :	3,641.00
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>32,232.98</b>
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MRI Securities with Trade Activity on 15-Jul. NONE
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MRI Securities without Trade Activity on 15-Jul. NOC
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BRG comm. as a % of BCM Total Comm. paid.
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T-Date = 10%

YTD = 10%



**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**