



Daily Compliance Activity Report

Trade Date: 03/16/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ABX	B	1,115	43.81	n/a	n/a	44.60	4.0
BALLAST CAP	040	ACI	B	10,000	12.63	n/a	n/a	300.00	3.0
TALPION LLC	040	ALSN	S	33	23.90	n/a	n/a	1.32	4.0
SIMMONS VENTURES	041	ARO	B	7,500	20.69	n/a	n/a	300.00	4.0
TALPION LLC	040	COST	S	3,000	90.46	n/a	n/a	120.00	4.0
MERRILL ASSET/BLACKROCK	297	CRZO	B	7,500	29.99	n/a	n/a	300.00	4.0
COLUMBUS CAP MGMT LLC	084	CVGI	B	20,000	12.10	n/a	n/a	1,000.00	5.0
DELTEC ASSET MGMT.	040	C	B	10,000	36.42	n/a	15-Mar	500.00	5.0
WINSLOW CAPITAL	030	DHR	B	81,300	55.10	n/a	n/a	2,439.00	3.0
PERRY PARTNERS	085	ESRX	B	25,000	54.40	n/a	n/a	1,250.00	5.0
YORK CAPITAL	036	F	B	150,000	12.70	n/a	12-Mar	4,500.00	3.0
MERRILL ASSET/BLACKROCK	297	GEOI	S	17,100	34.78	n/a	n/a	684.00	4.0
LAGRANGE CAP ADV	085	GES	B	5,000	32.50	n/a	15-Mar	250.00	5.0
IRIDIAN ASSET MGMT.	036	GLW	B	121,860	14.31	n/a	12-Mar	6,093.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TALPION LLC	040	GNC	S	10,000	33.57	n/a	n/a	400.00	4.0
TALPION LLC	040	GPI	B	6,600	55.48	n/a	10-Feb	264.00	4.0
SCOPUS FUND	041	HBI	S	31,585	29.08	n/a	16-Feb	1,263.40	4.0
WEINTRAUB CAPITAL MGT.	269	HNZ	B	50,000	53.38	n/a	n/a	2,000.00	4.0
BALYASNY ASSET MGMT	044	IP	SS	45,000	35.51	n/a	2-Feb	2,250.00	5.0
GEORGE WEISS	034	JWN	SS	15,000	54.83	n/a	2-Mar	600.00	4.0
TUDOR INVESTORS	028	LEA	S	3,000	47.22	n/a	3-Feb	90.00	3.0
HOPLITE MGMT	025	LPX	S	25,000	9.93	n/a	7-Feb	750.00	3.0
DISCIPLINED GROWTH INV.	030	LTD	B	26,000	47.05	n/a	n/a	1,300.00	5.0
BESSEMER INVESTMENT	085	MGA	B	660	48.58	n/a	n/a	26.40	4.0
GEORGE WEISS	034	M	S	25,000	39.71	n/a	2-Mar	1,000.00	4.0
MILLENIUM SUB A/C NEW VERNON	085	NCT	B	100,000	6.65	n/a	n/a	3,000.00	3.0
BESSEMER INVESTMENT	085	NEM	B	1,000	53.74	n/a	n/a	40.00	4.0
COOPER CREEK PART	028	PKG	B	20,000	29.74	n/a	22-Feb	800.00	4.0
DISCIPLINED GROWTH INV.	030	RCL	B	37,000	30.58	n/a	n/a	1,850.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RAINIER INV MGMT	035	RJF	B	57,850	37.15	n/a	n/a	2,314.00	4.0
COOPER CREEK PART	028	RKT	S	16,258	68.43	n/a	26-Jan	650.32	4.0
BNP PARIBAS SEC	040	SWN	B	40,000	34.55	n/a	1-Mar	2,000.00	5.0
DISCIPLINED GROWTH INV.	030	TJX	B	53,000	38.05	n/a	2-Mar	2,650.00	5.0
TALPION LLC	040	TRIP	B	1,700	34.09	n/a	n/a	68.00	4.0
RAINIER INV MGMT	035	TROW	B	40,420	64.78	n/a	n/a	1,616.80	4.0
BRONSON POINT	036	WFC	S	25,000	33.66	n/a	14-Mar	750.00	3.0
CAMBIAR CAP	046	WRC	B	23,000	57.70	n/a	29-Feb	920.00	4.0
MA CAPITAL MGMT/MELLON ADV041		WY	B	3,000	22.05	n/a	3-Feb	150.00	5.0
BNP PARIBAS SEC	040	XLB	SS	50,000	37.15	n/a	n/a	2,500.00	5.0
BASIX	033	XME	B	35,000	51.05	n/a	n/a	1,050.00	3.0
YORK CAPITAL	036	YHOO	B	100,000	15.08	n/a	n/a	3,000.00	3.0
CHARTER OAK	033	Y	S	5,000	333.45	n/a	n/a	250.00	5.0
TOTAL (Trade Date):								51,334.84	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/14	STANDARD PACIFIC CAPITAL (SPACJPMORG)	084	CE	S	14,203	46.53	n/a	20-May	710.15 5.0
AO,CXL	03/14	STANDARD PACIFIC CAPITAL (SPACMS)	084	CE	S	14,203	46.53	n/a	20-May	710.15 5.0
AO,CXL	03/15	CAISSE DEPOT PLACEMENT Q (CDPCMAIN)	295	IYR	SS	60,000	61.87	n/a	n/a	3000.00 5.0
AO,CRT	03/15	CAISSE DEPOT PLACEMENT Q (CDPCMAIN)	295	IYR	SS	60,000	61.87	n/a	n/a	1800.00 3.0
AO,CXL	03/15	CAISSE DEPOT PLACEMENT Q (CDPCMAIN)	295	USB	B	100,000	31.50	n/a	n/a	5000.00 5.0
AO,CRT	03/15	CAISSE DEPOT PLACEMENT Q (CDPCMAIN)	295	USB	B	100,000	31.50	n/a	n/a	3000.00 3.0
TOTAL (AO, CXL, CRT) :										-3,200.00
TOTAL (Trade Date) :										51,334.84
TOTAL (AO, CXL, CRT) :										-3,200.00
TOTAL (RUN DATE) / NFS :										48,134.84

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION