



Daily Compliance Activity Report

Trade Date: 01/30/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CENTURION PARTNERS	249	AMR	S	20,000	36.84	n/a	n/a	1,000.00	5.0
Õ Glenn Redemann		AMZN	B	8	0.4200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AMZN	S	8	2.1700	n/a	n/a	0.00	0.0
STERLING JOHNSTON	035	ANN	S	6,300	33.84	5-Jan	5-Jan	315.00	5.0
THOMSON HORSTMAN BRYANT	040	ANN	S	8,600	33.98	5-Jan	5-Jan	430.00	5.0
LUCHANSKY	036	APC	B	200	43.42	1-Sep	22-Jan	0.00	0.0
DSG CAPITAL	225	AVZ	B	9,900	23.79	29-Dec	24-Jan	495.00	5.0
COUGAR TRADING	044	AXE	S	5,000	55.53	26-Dec	30-Jan	250.00	5.0
HARVEST MGMT	039	BBW	S	15,000	29.99	8-Jan	8-Jan	750.00	5.0
MAGUIRE	039	BJSCY	B	10	1.50	n/a	n/a	0.00	0.0
MAGUIRE	090	BJS	B	7,500	27.07	n/a	n/a	0.00	0.0
WD PARTNERS ILP	067	BKE	SS	5,000	34.18	n/a	n/a	250.00	5.0
CENTURION PARTNERS	249	BONT	B	5,000	35.53	23-Oct	5-Jan	250.00	5.0
WASHINGTON ASSET	048	BONT	S	8,000	35.75	23-Oct	5-Jan	400.00	5.0
GENERIC TRADING	063	BP	S	10,000	63.19	n/a	n/a	500.00	5.0
CAPITAL GROWTH MGMT.	241	CLB	B	35,000	82.52	6-Dec	22-Jan	1,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THIRD COAST CAPITAL	035	CLDN	S	5,000	16.46	n/a	n/a	250.00	5.0
SANDLER O'NEILL ASSET	031	CLMS	SS	50,000	27.74	30-Jan	30-Jan	2,500.00	5.0
SUNOVA CAPITAL	031	CLMS	B	51,000	27.76	30-Jan	30-Jan	2,550.00	5.0
CAPITAL GROWTH MGMT.	241	COG	B	20,000	63.68	n/a	n/a	1,000.00	5.0
MORGAN LEWIS/MLH	046	COP	B	10,000	65.50	n/a	n/a	500.00	5.0
STERLING JOHNSTON	035	CRI	S	75,000	25.39	n/a	n/a	3,750.00	5.0
PIONEER OAKRIDGE	031	CSCO	B	272,200	26.20	n/a	22-Mar	9,527.00	3.5
TROUBH PART	067	DPHIQ	B	50,000	2.90	n/a	n/a	2,500.00	5.0
DAWSON SANBERG & CO	036	DRI	SS	6,500	38.60	12-Dec	19-Dec	325.00	5.0
WEISS PECK	040	DSW	SS	9,000	39.14	n/a	30-Jan	270.00	3.0
WOOSTER CAPITAL	035	EDA	B	15,000	10.69	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	FD	B	10,000	40.34	26-Jan	29-Jan	400.00	4.0
CENTURION PARTNERS	249	FD	B	20,000	40.63	26-Jan	29-Jan	1,000.00	5.0
MKT MAKER	099	F	S	500	8.40	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	GS	B	3,000	208.79	13-Dec	16-Jan	150.00	5.0
NEUBERGER BERMAN	238	HTLD	S	7,900	16.75	n/a	n/a	316.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	INTC	S	100	20.87	n/a	n/a	0.00	0.0
PIVOT CAP	034	JBLU	S	20,000	13.89	n/a	n/a	800.00	4.0
SAILFISH	037	JPM	SS	10,000	49.51	n/a	18-Jan	500.00	5.0
VANDER MOOLEN	040	KR	B	5,000	25.42	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	10,000	69.25	27-Dec	5-Jan	400.00	4.0
LEVIN CAPITAL STRATEGIES	012	KSS	SS	7,500	69.02	27-Dec	5-Jan	300.00	4.0
NEUBERGER BERMAN	238	KVA	S	14,700	25.48	n/a	n/a	735.00	5.0
BUCK CAPITAL MGMT	001	KWD	B	30,000	32.11	n/a	n/a	1,200.00	4.0
DIAMONDBACK CAP MGMT	232	K	SS	15,000	50.69	n/a	n/a	750.00	5.0
FARALLON CAP MGMT	040	LCC	S	100,000	52.35	n/a	n/a	4,000.00	4.0
NEUBERGER BERMAN	238	LSTR	S	6,300	39.61	n/a	n/a	252.00	4.0
HIGHBRIDGE CAPITAL	038	MET	B	2,000	61.67	n/a	n/a	100.00	5.0
GAULT	063	MLI	B	500	32.35	24-Oct	12-Jan	0.00	0.0
COLUMBUS CIRCLE	036	MOS	S	393,428	20.24	n/a	n/a	15,737.12	4.0
CL00	036	MOS	S	2,886	20.24	n/a	n/a	115.44	4.0
TROUBH PART	067	MOT	S	25,000	19.45	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	MRCY	S	92	13.00	n/a	n/a	0.00	0.0
WD PARTNERS ILP	067	MWV	B	5,000	29.59	8-Jan	23-Jan	250.00	5.0
MKT MAKER	099	NDAQ	B	32	33.47	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	NWY	S	20,000	14.70	1-Dec	10-Jan	800.00	4.0
FRIESS MGMT	042	ORCL	B	508,000	17.30	n/a	n/a	22,860.00	4.5
MAGUIRE	039	PDCBV	B	15	0.75	n/a	n/a	0.00	0.0
MAGUIRE	090	PDC	B	15,000	12.92	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	PFGB	B	5,000	61.02	n/a	n/a	250.00	5.0
ROSENBERG	034	PLCE	B	100	53.93	n/a	25-Jan	0.00	0.0
LUCHANSKY	036	PLCE	B	200	53.82	n/a	25-Jan	0.00	0.0
NCM CAPITAL MGMT	035	PTI	S	16,375	23.76	n/a	n/a	818.75	5.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	10,000	54.20	18-Jan	18-Jan	375.00	3.8
GABELLI FUNDS	037	RDA	B	19,000	16.94	n/a	n/a	950.00	5.0
Õ Manny Korman		RFI	S	3000	20.8600	n/a	n/a	0.00	0.0
Õ Manny Korman		RLF	S	1000	27.7300	n/a	n/a	0.00	0.0
Õ Manny Korman		RLF	S	564	27.7300	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Manny Korman		RQI	S	600	26.3000	n/a	n/a	0.00	0.0
WHALE ROCK CAP	030	RSC	S	10,000	16.86	n/a	n/a	400.00	4.0
WEISS PECK	040	RVI	B	25,000	19.44	n/a	n/a	750.00	3.0
EMINENCE CAPITAL	038	SAI	B	42,500	18.75	n/a	n/a	2,125.00	5.0
SAILFISH	037	STI	SS	5,000	82.13	n/a	n/a	250.00	5.0
GULF STREAM EQUITY MGMT	039	SUNW	B	5,000	6.46	n/a	n/a	300.00	6.0
GULF STREAM EQUITY MGMT	039	SUNW	S	2,500	6.41	n/a	n/a	150.00	6.0
HIGHBRIDGE CAPITAL	038	TMK	B	24,000	64.75	n/a	n/a	1,200.00	5.0
MKT MAKER	099	TRMP	B	100	17.25	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	TSU	S	5,000	32.40	n/a	n/a	250.00	5.0
THIRD COAST CAPITAL	035	TTI	S	5,000	23.17	n/a	n/a	250.00	5.0
DISIPLINED GROWTH INV.	030	TWTC	B	25,700	22.91	n/a	23-Jan	1,285.00	5.0
NEUBERGER BERMAN	238	UNT	S	13,400	47.60	n/a	22-Jan	670.00	5.0
COUGAR TRADING	044	USTR	B	10,000	48.33	30-Jan	30-Jan	500.00	5.0
EMINENCE CAPITAL	038	UTX	B	40,900	66.81	n/a	n/a	2,045.00	5.0
JACOB	068	VZ	S	150	38.61	22-Dec	30-Jan	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NOLAN	067	XOHO	B	125	4.29	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							95,046.31		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	01/29	FORCE CAPITAL MGMT (FORCGSPB)	034	FCX	B	35,000	56.19	n/a	n/a	1050.00 3.0
AO,CXL	01/29	RBC CAPITAL MARKETS (RBCCMAIN)	034	FCX	B	35,000	56.19	n/a	n/a	1750.00 5.0
AO,CRT	01/25	ROARING BLUE LION MGMT (ROAR4CENTS)	012	PLCE	B	2,200	53.95	n/a	25-Jan	110.00 5.0
AO,CXL	01/25	ROARING BLUE LION MGMT (ROAR4CENTS)	036	PLCE	B	2,200	53.95	n/a	25-Jan	110.00 5.0
AO,	01/29	NEUBERGER BERMAN (NBER530202)	238	UNT	S	4,100	47.05	n/a	22-Jan	205.00 5.0
AO,CXL	01/29	NEUBERGER BERMAN (NBER530206)	238	UNT	S	4,100	47.05	n/a	22-Jan	205.00 5.0

TOTAL (AO, CXL, CRT) :	-700.00
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TOTAL (Trade Date) :	95,046.31
TOTAL (AO, CXL, CRT) :	-700.00

TOTAL (RUN DATE) / NFS :	94,346.31
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION