



# Daily Compliance Activity Report

Trade Date: 07/30/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	AAPL	B	25,000	141.99	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ACMR</b>	<b>C</b>	<b>10,000</b>	<b>18.21</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>B</b>	<b>20,000</b>	<b>24.73</b>	<b>10-Jul</b>	<b>13-Jul</b>	<b>800.00</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	AMG	B	5,000	125.07	25-Jul	25-Jul	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>10,000</b>	<b>69.45</b>	<b>12-Jul</b>	<b>13-Jul</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	B	5,000	68.84	12-Jul	13-Jul	250.00	5.0
THIRD COAST CAPITAL	035	AVT	B	15,000	39.11	n/a	n/a	750.00	5.0
BALYASNY ASSET MGMT	044	BEN	B	10,000	132.18	26-Jul	27-Jul	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BID</b>	<b>B</b>	<b>5,000</b>	<b>46.00</b>	<b>n/a</b>	<b>n/a</b>	<b>200.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>SS</b>	<b>10,000</b>	<b>26.99</b>	<b>9-Mar</b>	<b>27-Jul</b>	<b>400.00</b>	<b>4.0</b>
ROTHSCHILD ASSET	040	BJ	B	25,750	34.05	10-Apr	27-Jul	1,158.75	4.5
IMPALA ASSET MMT	012	BNI	B	75,000	82.61	n/a	n/a	2,250.00	3.0
<b>MKT MAKER</b>	<b>099</b>	<b>BONT</b>	<b>B</b>	<b>67</b>	<b>27.38</b>	<b>6-Jul</b>	<b>13-Jul</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	044	BRCM	B	25,000	33.70	n/a	n/a	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BWS</b>	<b>B</b>	<b>115,400</b>	<b>21.60</b>	<b>n/a</b>	<b>n/a</b>	<b>4,616.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PROSPECTOR PARTNERS LLC	036	CBH	B	23,900	34.12	n/a	n/a	1,195.00	5.0
MANNING & NAPIER	034	CCMP	S	8,800	43.00	18-Jul	26-Jul	352.00	4.0
GALLEON MGMT LP	033	CG	B	5,000	73.27	n/a	n/a	250.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>CHC</b>	<b>S</b>	<b>5,000</b>	<b>12.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CHS</b>	<b>C</b>	<b>20,000</b>	<b>19.28</b>	<b>12-Jul</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
ROXBURY CAPITAL MGMT	035	CLB	S	30,000	104.87	27-Jun	20-Jul	1,200.00	4.0
RIVER RUN MGMT	031	CLNE	SS	100,000	13.85	n/a	n/a	5,000.00	5.0
<b>PAKLER</b>	<b>N22</b>	<b>CMG</b>	<b>B</b>	<b>31</b>	<b>84.91</b>	<b>24-Apr</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>20,000</b>	<b>47.06</b>	<b>n/a</b>	<b>26-Jul</b>	<b>800.00</b>	<b>4.0</b>
COUGAR TRADING	044	COH	B	5,000	46.72	n/a	26-Jul	250.00	5.0
CAPITAL GROWTH MGMT.	241	COP	S	40,000	81.51	n/a	n/a	1,600.00	4.0
<b>WHEELER</b>	<b>008</b>	<b>COV</b>	<b>B</b>	<b>1,156</b>	<b>39.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAPITAL	038	CPHL	S	50,000	12.73	n/a	n/a	2,500.00	5.0
<b>Õ Doug Rogers</b>		<b>CPN</b>	<b>B</b>	<b>17</b>	<b>118.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>CRI</b>	<b>S</b>	<b>1,000</b>	<b>21.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>CSC</b>	<b>B</b>	<b>3</b>	<b>0.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Glenn Redemann		CSC	B	3	0.61	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		CSC	SS	3	1.10	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		CSC	SS	3	1.52	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		CWTR	B	60,000	19.45	n/a	n/a	non-brg	5.0
	NATIONAL BK OF CAN	031	C	B	40,000	47.34	n/a	23-Jul	2,000.00	5.0
	SUN AMERICA ASSET MGMT	030	C	B	129,700	47.21	n/a	23-Jul	6,485.00	5.0
ß	BUCK CAPITAL MGMT		DBRN	B	100,000	18.58	n/a	n/a	non-brg	5.0
	PRINCIPLE CAPITAL	040	DLLR	S	49,100	25.73	n/a	n/a	1,473.00	3.0
	BUCK CAPITAL MGMT	001	DSW	B	15,000	32.19	7-May	7-Jun	600.00	4.0
	PAKLER	N22	DURA OPER CORP	S	5,000	53.00	n/a	n/a	0.00	0.0
Õ	Doug Rogers		DURA	S	40	51.90	n/a	n/a	0.00	0.0
Õ	Doug Rogers		DURA	S	50	51.90	n/a	n/a	0.00	0.0
	U.S.TRUST COMPANY	012	DVN	B	55,000	75.48	18-Jul	20-Jul	2,475.00	4.5
	LOOMIS SALES/BOSTON	036	DW	B	36,700	33.92	n/a	n/a	1,468.00	4.0
	LEDDY	035	EDA	S	1,500	11.11	n/a	n/a	0.00	0.0
	IMPALA ASSET MMT	012	EPD	B	51,000	30.88	n/a	n/a	1,530.00	3.0
	IMPALA ASSET MMT	012	ESV	S	91,500	61.48	n/a	n/a	2,745.00	3.0
ß	BUCK CAPITAL MGMT		EVST	S	7,800	30.80	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Glenn Redemann		FCX	B	3	0.65	n/a	n/a	0.00	0.0
Glenn Redemann		FCX	B	3	1.33	n/a	n/a	0.00	0.0
Glenn Redemann		FCX	SS	3	1.52	n/a	n/a	0.00	0.0
Glenn Redemann		FCX	SS	3	2.49	n/a	n/a	0.00	0.0
ADAGE CAPITAL MGMT	030	FDO	SS	100,000	30.38	19-Apr	27-Jul	4,000.00	4.0
BUCK CAPITAL MGMT	001	FDO	SS	10,000	30.28	19-Apr	27-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	FL	S	100,000	18.30	24-May	21-Jun	4,000.00	4.0
BUCK CAPITAL MGMT		FL	S	200,000	19.11	24-May	21-Jun	non-brg	5.0
LEVIN CAPITAL STRATEGIES	012	F	S	25,000	8.31	n/a	27-Jul	750.00	3.0
BUCK CAPITAL MGMT	001	GES	B	5,000	46.47	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT		GIL	B	10,000	33.85	21-Jun	21-Jun	non-brg	5.0
TRUST CO/COWEN/CALF.	040	GM	B	673,005	32.21	4-May	30-Jul	33,650.25	5.0
BUCK CAPITAL MGMT	001	GPS	SS	50,000	17.55	n/a	13-Jul	2,000.00	4.0
HAYGROUND COE	039	GPS	S	13,700	17.50	n/a	13-Jul	685.00	5.0
BUCK CAPITAL MGMT		GYMB	B	20,000	36.39	n/a	13-Jul	non-brg	5.0
COUGAR TRADING	044	GYMB	B	10,000	38.61	n/a	13-Jul	500.00	5.0
COUGAR TRADING	044	GYMB	S	5,000	39.25	n/a	13-Jul	250.00	5.0
LEDDY	035	HBI	SS	1,000	31.30	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		HLYS	B	32,100	22.10	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		HLYS	B	7,900	21.97	n/a	n/a	non-brg	2.0
CAPITAL GROWTH MGMT.	241	HXM	B	100,000	57.49	n/a	n/a	5,000.00	5.0
SENTINAL CAPITAL	067	IBM	B	3,000	114.57	n/a	n/a	150.00	5.0
NOLAN	067	ITCD	S	75	7.55	n/a	n/a	0.00	0.0
ROARING BLUE LION MGMT	012	IVZ	B	3,500	24.59	10-Jul	25-Jul	175.00	5.0
LEDDY	035	JADE	B	2,800	7.35	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	B	5,000	49.59	22-Jun	22-Jun	200.00	4.0
COUGAR TRADING	044	JCG	B	10,000	49.55	22-Jun	22-Jun	500.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	S	10,000	34.03	n/a	n/a	non-brg	2.0
NATIONAL BK OF CAN	031	JPM	B	40,000	44.67	n/a	19-Jul	2,000.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	60,000	46.14	12-Jul	26-Jul	2,400.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	10,000	62.47	14-Jun	27-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	KSS	S	100,000	62.33	14-Jun	27-Jul	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	125,000	61.71	14-Jun	27-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		KSS	S	135,000	61.84	14-Jun	27-Jul	non-brg	5.0
DSG CAPITAL	225	KVA	B	20,000	27.35	n/a	n/a	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		LAMR	B	20,000	58.97	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LIZ	SS	40,000	33.99	n/a	27-Jul	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KACELA CAPITAL	034	LOW	B	32,000	28.21	n/a	27-Jul	1,280.00	4.0
ROTHSCHILD ASSET	040	LTC	S	18,830	20.16	n/a	n/a	847.35	4.5
BUCK CAPITAL MGMT	001	LTM	B	10,000	52.42	n/a	n/a	400.00	4.0
Õ Glenn Redemann		MGPI	B	7	0.50	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MGPI	B	7	0.56	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MOS	SS	70,000	35.92	n/a	n/a	non-brg	5.0
AVESTA CAP	229	MSFT	S	50,000	29.07	n/a	3-Apr	2,500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	MU	S	109,502	12.15	n/a	n/a	5,475.10	5.0
BUCK CAPITAL MGMT	001	M	B	160,000	36.81	13-Jul	13-Jul	6,400.00	4.0
ß BUCK CAPITAL MGMT		NEXC	B	20,000	8.35	n/a	n/a	non-brg	4.0
WHEELER	008	NLC	B	5,000	22.64	n/a	n/a	0.00	0.0
CASTLEROCK	040	NOK	B	110,900	28.61	n/a	n/a	4,436.00	4.0
CAPITAL GROWTH MGMT.	241	NOV	S	10,000	120.23	n/a	n/a	400.00	4.0
IMPALA ASSET MMT	012	NWA	B	273,442	17.97	n/a	n/a	8,203.26	3.0
MAZAMA CAPITAL MGMT.	035	NZ	S	78,500	14.94	n/a	n/a	3,140.00	4.0
HIGHBRIDGE CAPITAL	038	OWW	S	50,000	11.99	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SARAS CAPITAL	038	PAG	B	50,000	19.28	n/a	25-Jul	2,500.00	5.0
HIGHBRIDGE CAPITAL	038	PCH	B	15,400	42.50	27-Jul	27-Jul	770.00	5.0
IMPALA ASSET MMT	012	PCU	B	68,200	108.75	n/a	n/a	2,046.00	3.0
⚡ BUCK CAPITAL MGMT		PERY	B	15,500	27.79	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		PERY	B	22,352	27.08	n/a	n/a	non-brg	1.0
MAZAMA CAPITAL MGMT.	035	PJC	B	28,200	48.67	n/a	n/a	1,128.00	4.0
COUGAR TRADING	044	PLCE	B	10,000	35.52	12-Apr	9-Jul	500.00	5.0
TRELLUS PARTNERS LP	028	PLCE	B	15,000	35.36	12-Apr	9-Jul	750.00	5.0
IMPALA ASSET MMT	012	PNR	SS	150,000	36.32	n/a	n/a	4,500.00	3.0
⚡ BUCK CAPITAL MGMT		PSUN	B	100,000	18.64	n/a	13-Jul	non-brg	5.0
⚡ BUCK CAPITAL MGMT		PSUN	B	400,000	18.65	n/a	13-Jul	non-brg	5.0
COUGAR TRADING	044	PSUN	B	5,000	18.77	n/a	13-Jul	250.00	5.0
IMPALA ASSET MMT	012	PSUN	B	210,000	18.66	n/a	13-Jul	6,300.00	3.0
⚡ BUCK CAPITAL MGMT		PVH	B	10,000	50.68	31-May	31-May	non-brg	5.0
LUCHANSKY1	036	QID	S	200	44.03	n/a	n/a	0.00	0.0
MKT MAKER	099	RCKY	B	24	11.41	n/a	n/a	0.00	0.0
MKT MAKER	099	RDEN	S	84	21.79	4-May	4-May	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	RGS	B	17,100	35.47	n/a	n/a	641.25	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AVESTA CAP	229	RIG	S	20,000	108.04	n/a	n/a	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>S</b>	<b>30,000</b>	<b>89.77</b>	<b>21-Jun</b>	<b>27-Jun</b>	<b>1,200.00</b>	<b>4.0</b>
COUGAR TRADING	044	RL	SS	5,000	88.32	21-Jun	27-Jun	250.00	5.0
JP MORGAN VENTURES	046	RL	C	10,000	90.89	21-Jun	27-Jun	500.00	5.0
THIRD COAST CAPITAL	035	RL	B	7,500	91.29	21-Jun	27-Jun	375.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>B</b>	<b>44,600</b>	<b>21.28</b>	<b>n/a</b>	<b>13-Mar</b>	<b>1,784.00</b>	<b>4.0</b>
IMPALA ASSET MMT	012	SLB	S	100,000	94.70	n/a	n/a	3,000.00	3.0
BODRI CAPITAL MGMT	035	SOV	S	37,100	19.59	n/a	n/a	1,855.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SSI</b>	<b>S</b>	<b>180,000</b>	<b>18.06</b>	<b>25-May</b>	<b>13-Jul</b>	<b>7,200.00</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>SSI</b>	<b>S</b>	<b>100,000</b>	<b>17.87</b>	<b>25-May</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>SSI</b>	<b>S</b>	<b>20,000</b>	<b>17.88</b>	<b>25-May</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>2.0</b>
<b>Õ Glenn Redemann</b>		<b>STLD</b>	<b>B</b>	<b>3</b>	<b>0.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>STLD</b>	<b>B</b>	<b>3</b>	<b>0.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>STLD</b>	<b>SS</b>	<b>3</b>	<b>0.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>STLD</b>	<b>SS</b>	<b>3</b>	<b>0.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EGS ASSOCIATES LP	091	TIF	S	3,600	47.10	1-Jun	13-Jun	216.00	6.0
<b>ß BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>B</b>	<b>20,000</b>	<b>27.68</b>	<b>13-Jul</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
PRINCIPLE CAPITAL	040	TRMB	S	29,600	32.76	n/a	n/a	888.00	3.0
BALYASNY ASSET MGMT	044	TROW	B	20,000	49.95	n/a	25-Jul	1,000.00	5.0



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<b>B BUCK CAPITAL MGMT</b>		<b>TWB</b>	<b>B</b>	<b>30,000</b>	<b>39.08</b>	<b>2-Jul</b>	<b>2-Jul</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	TWB	S	10,000	38.45	2-Jul	2-Jul	500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>TWM</b>	<b>B</b>	<b>800</b>	<b>70.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>TWM</b>	<b>S</b>	<b>500</b>	<b>72.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>TXRH</b>	<b>S</b>	<b>4,434</b>	<b>12.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>10,000</b>	<b>19.33</b>	<b>31-Jul</b>	<b>16-Jul</b>	<b>non-brg</b>	<b>5.0</b>
HIGHBRIDGE CAPITAL	038	URS	B	46,000	49.02	n/a	n/a	2,300.00	5.0
IMPALA ASSET MMT	012	URS	S	150,000	49.05	n/a	n/a	4,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>USTR</b>	<b>C</b>	<b>20,000</b>	<b>63.28</b>	<b>23-May</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>5.0</b>
TRELLUS PARTNERS LP	028	VC	B	100,000	6.39	n/a	n/a	3,000.00	3.0
<b>SINCLAIR</b>	<b>031</b>	<b>VLCM</b>	<b>B</b>	<b>100</b>	<b>36.81</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	VSH	B	25,000	16.11	n/a	n/a	1,250.00	5.0
IMPALA ASSET MMT	012	WLT	B	70,000	26.17	n/a	n/a	2,100.00	3.0
MORGAN LEWIS/MLH	046	WNR	SS	20,000	51.94	n/a	n/a	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRNC</b>	<b>C</b>	<b>39,000</b>	<b>35.98</b>	<b>18-Jul</b>	<b>18-Jul</b>	<b>non-brg</b>	<b>5.0</b>
<b>MKT MAKER</b>	<b>099</b>	<b>WRNC</b>	<b>B</b>	<b>153</b>	<b>35.64</b>	<b>18-Jul</b>	<b>18-Jul</b>	<b>0.00</b>	<b>0.0</b>
STADIA CAPITAL	035	WSM	SS	25,000	30.64	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
S.A.C. CAPITAL MGMT.	044	XLFF	B	250,000	33.49	n/a	n/a	12,500.00	5.0
<b>TOTAL (Trade Date):</b>							<b>210,642.96</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/25	CARLYLE BLUE WAVE PR MG	224	AA	B	4,900	41.05	n/a	n/a	245.00 5.0
AO,CRT	07/25	CARLYLE BLUE WAVE PR MG	224	AA	B	4,900	41.05	n/a	n/a	245.00 5.0
AO,CRT	07/27	MAGUIRE	090	MZZ	S	5,000	55.47	n/a	n/a	0.00 0.0
AO,CXL	07/27	MAGUIRE	090	MZZ	S	5,000	54.60	n/a	n/a	0.00 0.0
AO,CRT	07/25	SOCIETY GENERALE	040	WBCWI	S	2,000	0.00	n/a	n/a	80.00 4.0
AO,CXL	07/25	SOCIETY GENERALE	040	WBCWI	S	2,000	0.00	n/a	n/a	100.00 5.0
AO,CRT	07/27	AMBER CAPITAL	046	WY	B	280,000	71.68	13-Jul	13-Jul	11200.00 4.0
AO,CXL	07/27	AMBER CAPITAL	046	WY	B	280,000	71.68	13-Jul	13-Jul	11200.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-20.00</b>
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TOTAL (Trade Date) :	210,642.96
TOTAL (AO, CXL, CRT) :	-20.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>210,622.96</b>
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MRI Securities with Trade Activity on 30-Jul. NONE
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MRI Securities without Trade Activity on 30-Jul.

CYTC, FRX

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 33%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION