



Daily Compliance Activity Report

Trade Date: 05/30/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SATELITE/BOBBY	044	AAP	B	5,000	39.89	n/a	n/a	200.00	4.0
MATRIX ASSET ADV.	036	ADI	S	5,000	34.90	n/a	n/a	200.00	4.0
COUGAR TRADING	044	AEO	B	10,000	18.54	6-Mar	29-May	500.00	5.0
GEORGE WEISS	034	AMR	C	10,000	7.24	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		ARGN	B	15,000	12.90	n/a	20-May	non-brg	1.0
B BUCK CAPITAL MGMT		ARGN	B	5,000	12.86	n/a	20-May	non-brg	2.0
TRELLUS PARTNERS LP	028	ARGN	B	16,449	12.39	n/a	20-May	822.45	5.0
LEDDY	035	ARGN	B	1,400	12.60	n/a	20-May	0.00	0.0
B BUCK CAPITAL MGMT		ARO	B	50,000	35.06	28-Apr	23-May	non-brg	4.0
COUGAR TRADING	044	ARO	SS	5,000	34.83	28-Apr	23-May	250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	53,419	34.97	28-Apr	23-May	2,670.95	5.0
KORMAN	003	BBBY	SS	2,000	31.50	n/a	n/a	0.00	0.0
BUCK	015	BYD	B	1,200	16.10	30-Apr	30-Apr	0.00	0.0
KAICHER	064	CBI	S	500	45.70	n/a	n/a	0.00	0.0
SATELITE/BOBBY	044	CBRL	B	35,000	29.55	n/a	n/a	1,400.00	4.0
LISTED ERR	099	CBRL	B	10,000	29.62	n/a	n/a	0.00	0.0
LISTED ERR	099	CBRL	S	10,000	29.58	n/a	n/a	0.00	0.0
SUFFOLK CAPITAL MGMT	040	CB	B	300,000	53.57	n/a	n/a	12,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TROUBH PART	067	CHK	B	25,000	54.61	n/a	n/a	1,000.00	4.0
ROYCE TRADING	216	CMC	S	10,000	36.70	n/a	n/a	500.00	5.0
BUCK	015	COF	SS	1,000	48.03	n/a	n/a	0.00	0.0
COUGAR TRADING	044	COH	SS	5,000	36.20	4-Apr	23-Apr	250.00	5.0
GALLEON MGMT LP	033	COT	B	25,000	3.13	n/a	n/a	1,250.00	5.0
STG INVESTORS	041	CSCO	SS	250,000	26.80	n/a	22-Mar	10,000.00	4.0
ROYCE TRADING	216	CSH	B	10,000	35.94	n/a	n/a	500.00	5.0
SSI INVESTORS	048	CZZ	B	35,961	11.82	n/a	n/a	1,438.44	4.0
US BANCORP	030	C	B	230,842	22.13	16-Jan	15-May	11,542.10	5.0
VISIUM/BALY HOWARD	038	DBRN	B	50,000	15.42	n/a	n/a	2,500.00	5.0
GLG PARTNERS	034	DHI	B	66,548	12.81	n/a	n/a	3,327.40	5.0
SATELITE/BOBBY	044	DRI	B	5,000	33.98	n/a	15-Apr	200.00	4.0
AAD CAPITAL	048	DSW	S	36,315	14.61	8-May	30-May	1,089.45	3.0
FIRST NY SEC/CHRIS	041	EK	B	10,000	15.31	n/a	n/a	500.00	5.0
FIRST NY SEC/CHRIS	041	EVVV	B	30,000	9.98	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
S.A.C. CAPITAL MGMT.	044	FII	SS	33,200	37.34	14-Apr	30-May	1,660.00	5.0
BECKER ADV	035	FOSL	B	57,900	31.64	n/a	14-May	2,316.00	4.0
BECL	035	FOSL	B	200	31.64	n/a	14-May	8.00	4.0
KORMAN	003	F	SS	5,000	6.77	25-Apr	2-May	0.00	0.0
FIRST NY SEC/CHRIS	041	GE	B	25,000	30.68	n/a	n/a	1,250.00	5.0
BUCK	015	GOOG	S	100	588.17	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	SS	10,000	18.21	n/a	28-May	500.00	5.0
SONAR CAP MGMT	039	GPS	SS	125,000	18.24	n/a	28-May	5,000.00	4.0
THE BOSTON COMPANY	401	GPS	S	51,210	18.28	n/a	28-May	2,048.40	4.0
CROWLEY	061	HCGI	S	240	0.85	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	034	HD	B	2,500	27.48	n/a	27-Jul	100.00	4.0
KAICHER	064	HK	S	1,000	29.80	n/a	n/a	0.00	0.0
CROSS CAPITAL	028	HLX	B	82,500	38.29	n/a	n/a	4,125.00	5.0
REINHART & MAHONEY	031	ICLR	S	8,580	71.41	n/a	n/a	429.00	5.0
MORGAN LEWIS/MLH	046	INTV	B	20,000	6.49	n/a	n/a	1,000.00	5.0
CATAPULT	040	ITG	B	12,500	41.76	n/a	n/a	625.00	5.0
B BUCK CAPITAL MGMT		JCG+II	SS	1,000	1.20	n/a	n/a	non-brg 300.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		JCG	B	100,000	37.25	n/a	30-May	non-brg 2.0
B BUCK CAPITAL MGMT		JCG	B	12,000	37.15	n/a	30-May	non-brg 4.0
COUGAR TRADING	044	JCG	B	10,000	37.50	n/a	30-May	500.00 5.0
GALT INVESTMENT	041	JCG	B	25,000	37.00	n/a	30-May	1,250.00 5.0
GOLD SACHS SPECIAL SIT	030	JCG	B	100,000	37.04	n/a	30-May	5,000.00 5.0
MILLENIUUM PARTNERS	028	JCP	SS	20,000	40.54	n/a	16-May	1,000.00 5.0
RBC CAPITAL MARKETS	034	JCP	SS	2,500	40.58	n/a	16-May	100.00 4.0
B BUCK CAPITAL MGMT		JOYG	SS	20,000	83.51	n/a	30-May	non-brg 4.0
SATELITE/BOBBY	044	JWN	B	10,000	35.69	16-May	16-May	400.00 4.0
BUCK	015	KMB	B	400	64.09	n/a	n/a	0.00 0.0
RBC CAPITAL MARKETS	034	KSS	B	5,000	44.69	n/a	16-May	200.00 4.0
SATELLITE ASSET MGMT	012	KSS	B	5,000	44.38	n/a	16-May	200.00 4.0
BUCK	015	LEH	C	1,600	37.03	1-Apr	22-May	0.00 0.0
RBC CAPITAL MARKETS	034	LOW	B	10,000	24.07	n/a	27-Jul	400.00 4.0
GAULT	063	LYHFH	B	40	1.61	n/a	n/a	0.00 0.0
NOMURA CAPITAL	045	MNTG	S	500	5.45	n/a	n/a	20.00 4.0
FIRST NY SEC/CHRIS	041	MOT	B	10,000	9.37	n/a	n/a	500.00 5.0
RHO CAPITAL MGMT	041	MRVL	B	2,700	17.17	n/a	n/a	108.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STG INVESTORS	041	MU	B	50,000	8.01	n/a	n/a	1,000.00	2.0
B BUCK CAPITAL MGMT		MVRX	SS	80,000	147.85	n/a	n/a	non-brg	14.8
CR INTRINIC INVESTMENT	044	MYL	SS	250,000	13.40	30-May	30-May	12,500.00	5.0
BUCK	015	M	B	1,000	23.85	7-Feb	27-May	0.00	0.0
MS PRINCIPAL STRATEGIES	041	NTRS	B	2,500	75.74	16-Apr	29-May	125.00	5.0
S.A.C. CAPITAL MGMT.	044	OI	S	4,000	57.14	n/a	n/a	200.00	5.0
HOLLIS CAPITAL	033	PCL	B	3,000	46.82	29-Apr	29-Apr	150.00	5.0
BUCK	015	PENN	S	1,000	45.83	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PERY	S	5,000	26.91	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	PKG	S	50,000	25.92	7-Apr	22-Apr	2,500.00	5.0
SATELITE/BOBBY	044	PM	B	20,000	52.36	n/a	n/a	800.00	4.0
GALLEON MGMT LP	033	PSPT	S	25,000	9.30	n/a	n/a	1,250.00	5.0
VISIUM/BALY HOWARD	038	PVH	SS	3,600	44.47	5-May	22-May	180.00	5.0
LEVIN CAPITAL STRATEGIES	012	RL	SS	10,000	69.66	7-Feb	29-May	400.00	4.0
SATELITE/BOBBY	044	RL	SS	20,000	69.60	7-Feb	29-May	800.00	4.0
TRUGENT CAPITAL	031	SEE	B	59,475	24.07	n/a	n/a	2,379.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		SKX	S	125,000	23.86	n/a	13-Mar	non-brg	4.0
KORMAN	003	SPWR	B	267	80.00	n/a	n/a	0.00	0.0
LEDDY	035	SSCC	B	1,500	6.65	7-Apr	23-Apr	0.00	0.0
BUCK CAPITAL MGMT		SWY	B	100,000	31.74	n/a	n/a	non-brg	4.0
KORMAN	003	TEN	SS	3,000	24.00	n/a	n/a	0.00	0.0
CARLYLE BLUE WAVE PR MG	224	TIN	B	10,000	14.49	29-Apr	29-Apr	500.00	5.0
IRONBOUND CAPITAL	012	TIN	B	19,200	14.48	29-Apr	29-Apr	960.00	5.0
COUGAR TRADING	044	TWB	B	10,000	20.21	17-Mar	22-May	500.00	5.0
OGORMAN	046	UA	S	1,000	35.20	n/a	n/a	0.00	0.0
CROWLEY	061	WHT	S	1,000	4.80	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	WYE	B	250,000	44.54	n/a	31-Mar	12,500.00	5.0
BMO NESBITT BURNS	041	WY	B	16,400	65.04	7-Apr	27-May	820.00	5.0
BMO NESBITT BURNS	041	WY	SS	16,400	65.83	7-Apr	27-May	820.00	5.0
FIRST NY SEC/CHRIS	041	WY	B	20,000	64.91	7-Apr	27-May	1,200.00	6.0
PERFORMANCE PART LP #2	041	WY	B	10,000	63.42	7-Apr	27-May	500.00	5.0
PERFORMANCE PART LP #2	041	WY	SS	10,000	66.02	7-Apr	27-May	500.00	5.0
SCOPUS FUND	041	WY	SS	40,000	65.43	7-Apr	27-May	2,000.00	5.0
KAICHER	064	XCO	S	500	25.00	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SATELITE/BOBBY	044	XRT	B	10,000	33.22	n/a	n/a	400.00	4.0
TOTAL (Trade Date):							125,764.19		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/29	ARTX	304	CELL	B	106,400	9.85	n/a	n/a	4256.00 4.0
AO,CRT	05/29	AAD CAPITAL	048	DSW	S	4,800	14.66	8-May	30-May	144.00 3.0
AO,CXL	05/29	AAD CAPITAL	048	DSW	S	4,800	14.66	8-May	30-May	144.00 3.0
AO,	05/29	BMO NESBITT BURNS	041	IP	S	50,000	27.60	8-Feb	27-May	2500.00 5.0
AO,CRT	05/29	LISTED ERR	099	JCP	SS	800	41.11	n/a	16-May	0.00 0.0
AO,CXL	05/29	COUGAR TRADING	044	JCP	SS	800	41.11	n/a	16-May	40.00 5.0
AO,CRT	05/29	SANDLER CAPITAL	038	NFP	SS	50,000	23.17	n/a	n/a	3000.00 6.0
AO,CXL	05/29	SANDLER O'NEILL ASSET	031	NFP	SS	50,000	23.17	n/a	n/a	2500.00 5.0
AO,	05/29	HBK FUNDS	031	ONXX	S	52,800	36.43	n/a	n/a	2640.00 5.0
AO,CRT	05/29	WILLIAM BLAIR	031	ORLY	S	19,700	26.39	n/a	n/a	394.00 2.0
AO,CXL	05/29	WILLIAM BLAIR	031	ORLY	S	19,700	26.39	n/a	n/a	394.00 2.0
AO,CXL	05/27	PERRY PARTNERS	041	SKS	B	50,000	12.55	n/a	n/a	2500.00 5.0
AO,CRT	05/27	PERRY PARTNERS	041	SKS	B	50,000	12.55	n/a	n/a	1500.00 3.0
TOTAL (AO, CXL, CRT) :										8,856.00

TOTAL (Trade Date):	125,764.19
TOTAL (AO, CXL, CRT):	8,856.00

TOTAL (RUN DATE) / NFS:

134,620.19

MRI Securities with Trade Activity on 30-May.

MYL

MRI Securities without Trade Activity on 30-May.

HRS

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION