



Daily Compliance Activity Report

Trade Date: 05/22/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ABG	S	101,147	16.56	n/a	25-Apr	non-brg	4.0
BUCK CAPITAL MGMT		ABG	S	18,853	16.60	n/a	25-Apr	non-brg	1.0
WAGONHOUND	048	AB	B	420	64.20	9-Apr	22-May	16.80	4.0
CAXTON PART	040	ADP	S	119,496	42.54	n/a	n/a	4,182.38	3.5
BALYASNY ASSET MGMT	044	AEO	SS	25,000	17.60	6-Mar	9-May	1,250.00	5.0
SATELITE/BOBBY	044	AN	SS	15,000	15.44	n/a	25-Apr	600.00	4.0
GOLD SACHS SPECIAL SIT	030	ARM	SS	316,600	15.53	n/a	n/a	15,830.00	5.0
CATAPULT	040	ARO	S	25,000	33.71	28-Apr	9-May	1,000.00	4.0
BUCK	015	BACPRL	B	301,029.99		n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BJ	SS	10,000	38.75	n/a	27-Jul	400.00	4.0
BUCK CAPITAL MGMT		BWA	SS	25,000	51.98	5-May	5-May	non-brg	4.0
REINHART & MAHONEY	031	CAG	B	38,900	23.77	n/a	n/a	1,945.00	5.0
RHO CAPITAL MGMT	041	CHTP	B	3,000	4.62	n/a	n/a	120.00	4.0
MERRILL LYNCH STRATEGICI	034	COH	B	10,000	34.20	4-Apr	23-Apr	400.00	4.0
THE JAY GOLDMAN	034	COH	B	10,000	34.13	4-Apr	23-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	COST	C	20,000	69.87	n/a	27-Jul	1,000.00	5.0
PILOT ROCK	028	COST	SS	56,000	70.51	n/a	27-Jul	2,240.00	4.0
COUGAR TRADING	044	CPKI	SS	5,000	14.52	n/a	9-May	250.00	5.0
CAVU CAP ADV	028	CTX	B	25,000	19.56	n/a	n/a	1,250.00	5.0
MORRISON&FRASIER/BUTLER	040	DHT	S	35,000	11.11	n/a	n/a	1,750.00	5.0
ß BUCK CAPITAL MGMT		DLTR	SS	50,000	33.04	n/a	9-May	non-brg	4.0
ß BUCK CAPITAL MGMT		DLTR	SS	70,000	32.98	n/a	9-May	non-brg	4.0
COUGAR TRADING	044	DSW	S	15,000	13.39	8-May	9-May	750.00	5.0
J L F ASSET MGMT	039	EAT	S	66,200	21.05	n/a	24-Apr	2,648.00	4.0
JPMSI/TOM LEDDY	035	EL	B	20,000	47.30	7-May	21-May	1,000.00	5.0
MAIRS AND POWER INV	030	EMR	S	10,000	57.10	n/a	n/a	500.00	5.0
MCCARTAN	028	EPG	B	500	5.25	n/a	n/a	0.00	0.0
EFSTATHIOU	044	EPG	B	363	5.23	n/a	n/a	0.00	0.0
BARR	096	ESST	B	13,845	1.57	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		F+XI	SS	2,000	0.62	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FDO+SW	SS	1,000	0.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FDO+VW	SS	1,000	1.40	n/a	n/a	non-brg	300.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		FDO	SS	300,000	19.69	8-Jan	9-May	non-brg	4.0
REINHART & MAHONEY	031	FLIR	B	20,940	37.61	n/a	n/a	1,047.00	5.0
HOLDEN CAPITAL MGMT	035	FL	SS	20,000	12.09	2-Apr	20-May	1,000.00	5.0
BARR	096	FNM	SS	1,900	27.70	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FOSL	B	10,000	31.31	n/a	14-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	B	20,000	27.85	30-Apr	8-May	non-brg	4.0
COUGAR TRADING	044	GIL	S	10,000	27.52	30-Apr	8-May	500.00	5.0
⌘ BUCK CAPITAL MGMT		GM+XC	SS	1,000	1.77	n/a	n/a	non-brg	300.0
HART	056	GMUT	B	8	1.99	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GM	SS	40,000	18.76	29-Apr	19-May	1,600.00	4.0
⌘ BUCK CAPITAL MGMT		GM	SS	20,000	18.91	29-Apr	19-May	non-brg	4.0
SATELITE/BOBBY	044	GPI	SS	10,000	27.23	n/a	30-Apr	400.00	4.0
BUCK	015	GPYGB	B	4	1.30	n/a	n/a	0.00	0.0
BUCK	015	GPYML	S	6	13.20	n/a	n/a	0.00	0.0
BUCK	015	GPYVN	B	6	13.20	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GYMB	SS	30,000	44.45	22-May	22-May	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARVEST MGMT	039	GYMB	B	10,000	44.60	22-May	22-May	500.00	5.0
CHARTER OAK	033	HOG	B	50,000	39.27	n/a	n/a	2,500.00	5.0
UNITED STATES PENSION	032	IBM	S	78,000	125.03	n/a	n/a	3,900.00	5.0
WAGONHOUND	048	IVZ	B	980	27.25	10-Apr	22-May	39.20	4.0
KERN	050	IYSRA	B	10	4.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	45.43	n/a	12-Mar	400.00	4.0
COUGAR TRADING	044	JCG	S	5,000	45.99	n/a	12-Mar	250.00	5.0
HIGHBRIDGE/MS OLDS	041	JCP	SS	50,000	40.58	n/a	16-May	1,500.00	3.0
RBC CAPITAL MARKETS	034	JCP	SS	10,000	40.57	n/a	16-May	400.00	4.0
BUCK	015	JPM	SS	1,000	43.36	8-May	15-May	0.00	0.0
BUCK	015	JWN	C	1,000	35.02	16-May	16-May	0.00	0.0
BULL PATH CAPITAL	044	KSS	B	17,700	45.46	n/a	16-May	885.00	5.0
COUGAR TRADING	044	KSS	B	5,000	44.81	n/a	16-May	250.00	5.0
MERRILL LYNCH STRATEGICI	034	KSS	B	10,000	44.65	n/a	16-May	500.00	5.0
NATIONAL BK OF CAN	031	LEH	B	20,000	38.80	1-Apr	22-May	800.00	4.0
BUCK	015	LEH	C	1,000	38.10	1-Apr	22-May	0.00	0.0
B BUCK CAPITAL MGMT		LIZ	SS	10,000	16.74	n/a	14-May	non-brg	4.0
SUNOVA CAPITAL	031	LM	B	10,000	54.54	7-May	22-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	034	LOW	B	10,000	23.43	n/a	27-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	LTD	SS	10,000	18.83	n/a	19-May	400.00	4.0
HIGHBRIDGE/MS OLDS	041	LTD	SS	95,079	19.16	n/a	19-May	2,852.37	3.0
PILOT ROCK	028	LTD	SS	210,000	18.92	n/a	19-May	6,300.00	3.0
N STRATTON	014	LTRE	SS	300	17.00	n/a	n/a	0.00	0.0
COUGAR TRADING	044	MCD	B	5,000	58.99	n/a	8-May	250.00	5.0
NWQ INVESTMENT MGMT CO	048	MOT	B	150,000	9.27	n/a	n/a	4,500.00	3.0
CENTURION PARTNERS	255	MT	S	50,000	99.47	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	M	B	5,000	23.18	7-Feb	15-May	250.00	5.0
BARR	096	NVTL	B	2,500	9.75	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		OXM+VE	SS	1,300	2.26	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		PAG	S	52,865	20.34	22-Apr	30-Apr	non-brg	4.0
BARR	096	PDFS	B	3,000	5.18	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PERY	S	20,000	27.50	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		PETM	SS	40,000	22.72	n/a	n/a	non-brg	4.0
METROPOLITAN CAPITAL	044	PLCE	S	14,900	32.43	23-May	12-May	745.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLRACE FUND LP	028	PLCE	B	10,000	30.06	23-May	12-May	400.00	4.0
MILLRACE FUND LP	028	PLCE	S	10,000	32.78	23-May	12-May	400.00	4.0
WAGONHOUND	048	PLCE	S	15,000	30.93	23-May	12-May	600.00	4.0
N STRATTON	014	PNWIF	B	200	3.55	n/a	n/a	0.00	0.0
COUGAR TRADING	044	PSUN	B	2,800	9.51	n/a	9-May	140.00	5.0
B BUCK CAPITAL MGMT		PVH+IJ	SS	750	2.32	n/a	n/a	non-brg	300.0
RBC CAPITAL MARKETS	034	PVH	B	10,000	45.12	5-May	22-May	400.00	4.0
FIRST NEW YORK LEDDY	035	Q	B	20,000	4.62	n/a	n/a	1,200.00	6.0
HART	056	RAD	S	750	2.12	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	B	10,000	59.24	7-Feb	6-May	400.00	4.0
EFSTATHIOU	044	SBLK	B	500	13.40	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	SSCC	B	25,000	6.16	7-Apr	23-Apr	1,250.00	5.0
HEALY CIRCLE	044	SUN	B	13,500	45.63	n/a	n/a	675.00	5.0
MS PRINCIPAL STRATEGIES	041	TDC	S	25,000	25.41	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	TEN	SS	10,000	26.12	n/a	n/a	400.00	4.0
GALLEON MGMT LP	033	TGT	SS	20,000	52.64	n/a	27-Jul	1,000.00	5.0
DANIELS	N25	TIN	S	300	15.00	29-Apr	29-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	TLB	B	20,000	7.42	17-Apr	21-May	800.00	4.0
RAINIER INV MGMT	035	TNB	S	87,600	42.07	n/a	n/a	4,380.00	5.0
⚡ BUCK CAPITAL MGMT		TRLG	B	51,700	23.82	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		TRLG	B	68,300	23.86	n/a	n/a	non-brg	1.0
GLG PARTNERS	034	TXN	SS	112,099	32.35	n/a	n/a	5,604.95	5.0
TRELLUS PARTNERS LP	028	UA	B	25,000	33.95	n/a	n/a	1,250.00	5.0
CROWLEY	061	UQM	B	100	2.37	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		URBN	B	20,000	30.09	15-May	15-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		VCGH	S	19,736	4.44	n/a	n/a	non-brg	2.5
SANDLER O'NEILL ASSET	031	WDR	B	25,000	32.86	22-Apr	22-May	1,250.00	5.0
KARSCH CAPITAL	034	WFC	C	172,700	28.05	n/a	n/a	5,181.00	3.0
⚡ BUCK CAPITAL MGMT		WFMI	SS	20,000	27.79	n/a	n/a	non-brg	4.0
WHEELER	008	WRLS	B	14,320	3.12	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		ZJQ+GX	C	500	2.80	n/a	n/a	non-brg	300.0
TOTAL (Trade Date):							100,781.70		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/21	TROUBH PART	067	AIG	S	15,000	37.54	n/a	n/a	600.00 4.0
AO,CRT	05/21	TROUBH PART	067	AIG	S	15,000	37.54	n/a	n/a	750.00 5.0

TOTAL (AO, CXL, CRT) :	150.00
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TOTAL (Trade Date) :	100,781.70
TOTAL (AO, CXL, CRT) :	150.00

TOTAL (RUN DATE) / NFS:	100,931.70
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MRI Securities with Trade Activity on 22-May.

GYMB

MRI Securities without Trade Activity on 22-May.

STR

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 8%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION