



Daily Compliance Activity Report

Trade Date: 08/18/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	10,000	14.27	6-Mar	8-Aug	500.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	10,000	51.82	7-Aug	15-Aug	400.00	4.0
COUGAR TRADING	044	ANF	B	5,000	51.91	7-Aug	15-Aug	250.00	5.0
AMBER CAPITAL	046	ARM	B	79,311	15.73	n/a	n/a	3,172.44	4.0
DIAMONDBACK CAP MGMT	232	ARO	B	15,000	35.35	28-Apr	8-Aug	750.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	S	10,600	35.21	28-Apr	8-Aug	397.50	3.8
EGS ASSOCIATES LP	091	BIG	SS	4,500	34.11	29-May	15-Aug	270.00	6.0
SIGMA CAPITAL ASSOCIATES	237	BIG	SS	25,000	34.10	29-May	15-Aug	1,250.00	5.0
MATRIX ASSET ADV.	036	BMV	B	19,700	21.90	n/a	n/a	788.00	4.0
ROYCE TRADING	216	CAB	B	5,000	12.30	n/a	n/a	250.00	5.0
AMBER CAPITAL	046	DBD	SS	64,800	38.29	12-Aug	12-Aug	2,592.00	4.0
BUCK CAPITAL MGMT	001	DKS	S	30,000	18.54	7-Jul	15-Aug	1,200.00	4.0
NEUBERGER BERMAN	036	DRH	B	4,000	9.51	n/a	n/a	120.00	3.0
WAGONHOUND/NIC	048	EV	SS	18,095	37.11	8-Aug	18-Aug	723.80	4.0
BAYPOINT TRADING/XYLEM	091	EXP	B	10,000	24.57	n/a	n/a	500.00	5.0
COUGAR TRADING	044	FOSL	SS	10,000	30.98	n/a	13-Aug	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCUDDER HEDGE	034	IVZ	S	4,000	26.26	4-Aug	18-Aug	200.00	5.0
COUGAR TRADING	044	JCG	B	10,000	27.19	n/a	30-May	500.00	5.0
COUGAR TRADING	044	JWN	B	15,000	31.23	10-Jul	15-Aug	750.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	35,000	51.06	n/a	15-Aug	1,400.00	4.0
WEISS PECK	040	KSS	SS	7,400	50.80	n/a	15-Aug	370.00	5.0
DSG CAPITAL	225	LPX	B	10,000	9.94	n/a	4-Aug	500.00	5.0
PERRY PARTNERS	041	MHGC	B	175,000	17.97	n/a	n/a	5,250.00	3.0
MERRILL ASSET	032	MLI	B	30,300	27.51	n/a	22-Jul	1,363.50	4.5
BAYPOINT TRADING/XYLEM	091	NCS	SS	3,900	38.41	n/a	n/a	195.00	5.0
SIGMA CAPITAL ASSOCIATES	237	NTY	S	25,000	35.87	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	NWY	SS	10,000	10.49	n/a	15-Aug	400.00	4.0
CR INTRINIC INVESTMENT	044	PFE	S	100,000	19.72	n/a	31-Mar	5,000.00	5.0
COUGAR TRADING	044	PSUN	SS	20,000	8.01	n/a	8-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	PVH	S	200,000	38.78	5-May	18-Jul	8,000.00	4.0
CENTURION GLOBAL MGMT LL	041	QID	B	17,600	39.93	n/a	n/a	528.00	3.0
IRIDIAN ASSET MGMT.	036	ROC	B	32,170	39.12	n/a	n/a	1,608.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MS PRINCIPAL STRATEGIES	041	SHLD	SS	50,000	90.43	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	SKS	B	50,000	11.61	n/a	n/a	2,000.00	4.0
LUCHANSKY1	036	TEXJJ	S	1	4.00	n/a	n/a	0.00	0.0
COUGAR TRADING	044	TWB	B	5,000	9.98	n/a	13-Aug	200.00	4.0
WEISS PECK	040	URBN	SS	10,900	34.98	14-Aug	14-Aug	545.00	5.0
BAYPOINT TRADING/XYLEM	091	USG	B	10,000	27.40	23-Apr	23-Jul	500.00	5.0
FRONTPOINT CHRIS C	041	VMI	SS	1,200	99.96	n/a	n/a	48.00	4.0
MERRILL ASSET	032	WBS	S	39,300	22.04	n/a	n/a	1,768.50	4.5
DALTON GREINER	012	WDR	S	125,920	31.21	15-Jul	18-Aug	6,296.00	5.0
COUGAR TRADING	044	WRC	SS	15,000	51.01	8-Aug	8-Aug	750.00	5.0
TOTAL (Trade Date) :								56,386.24	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/15	NOMURA CAPITAL (NOMUSTRATE)	045	CVC	S	875	32.07	n/a	4-Jan	43.75 5.0
AO,	08/15	NOMURA CAPITAL (NOMUBATT2)	045	CVC	S	1,025	32.07	n/a	4-Jan	51.25 5.0
AO,	08/15	NOMURA CAPITAL (NOMUBSMAIN)	045	CVC	S	1,100	32.07	n/a	4-Jan	55.00 5.0
AO, CXL	08/15	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCG	B	81,000	28.09	n/a	30-May	3240.00 4.0
AO,	08/15	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCG	B	1,000	28.43	n/a	30-May	40.00 4.0
AO,	08/15	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCG	B	80,000	28.08	n/a	30-May	3200.00 4.0
AO,	08/15	OGORMAN (OGORMAN)	046	JOSB	S	1,000	25.00	n/a	n/a	0.00 0.0
AO,	08/15	WHEELER (WHEELER)	008	MTRX	S	5,000	20.00	n/a	n/a	0.00 0.0
CRT	08/18	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	PVH	S	199,800	38.78	5-May	18-Jul	7992.00 4.0
AO,	08/15	NOMURA CAPITAL (NOMUSTRATE)	045	SGLP	B	5,075	9.07	n/a	n/a	203.00 4.0
AO,	08/15	NOMURA CAPITAL (NOMUBATT2)	045	SGLP	B	5,025	9.07	n/a	n/a	201.00 4.0
AO,	08/15	NOMURA CAPITAL (NOMUBSMAIN)	045	SGLP	B	6,400	9.07	n/a	n/a	256.00 4.0
TOTAL (AO, CXL, CRT) :										8,802.00
TOTAL (Trade Date) :										56,386.24
TOTAL (AO, CXL, CRT) :										8,802.00
TOTAL (RUN DATE) / NFS:										65,188.24



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION