



Daily Compliance Activity Report

Trade Date: 08/15/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AAP	SS	10,000	44.43	n/a	n/a	500.00	5.0
COUGAR TRADING	044	AEO	B	10,000	13.88	6-Mar	8-Aug	500.00	5.0
LUCHANSKY	036	AGU	S	100	79.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	S	20,000	53.92	7-Aug	15-Aug	800.00	4.0
COUGAR TRADING	044	ANF	B	10,000	51.80	7-Aug	15-Aug	500.00	5.0
COUGAR TRADING	044	ANF	S	5,000	54.44	7-Aug	15-Aug	250.00	5.0
LUCID PARTNERS	067	AOS	S	7,337	41.87	17-Jul	17-Jul	366.85	5.0
NATIONAL BK OF CAN	031	BAC	SS	10,000	30.45	n/a	15-Aug	400.00	4.0
SIGMA CAPITAL ASSOCIATES	237	BIG	SS	25,000	33.94	29-May	15-Aug	1,250.00	5.0
COMMISSUM CAPITAL	067	BK	B	10,000	37.55	n/a	15-Aug	500.00	5.0
LEDDY	035	BWA	C	400	42.74	10-Jun	1-Aug	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	BYD	B	15,000	13.75	29-Jul	4-Aug	600.00	4.0
LEDDY	035	CHK	S	350	45.80	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	DIS	B	40,000	32.63	n/a	n/a	1,600.00	4.0
REINHART & MAHONEY	031	DKS	S	26,960	18.93	7-Jul	15-Aug	1,348.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	2,100	39.99	n/a	8-Aug	84.00	4.0
AAD CAPITAL	048	EL	SS	50,000	51.56	15-Aug	15-Aug	2,000.00	4.0
BENNETT LAWRENCE	045	FDS	B	14,950	66.25	n/a	n/a	672.75	4.5
BUCK CAPITAL MGMT	001	GCO	B	30,000	30.76	n/a	10-Jan	1,200.00	4.0
BUCK CAPITAL MGMT	001	GGP	B	20,000	25.00	n/a	n/a	800.00	4.0
EGS ASSOCIATES LP	091	GIL	B	5,000	24.00	14-Aug	14-Aug	300.00	6.0
RBC CAPITAL MARKETS	034	GIL	B	20,000	24.13	14-Aug	14-Aug	800.00	4.0
LEDDY	035	GNTX	C	700	16.07	24-Jun	28-Jul	0.00	0.0
BULL PATH CAPITAL	044	GPS	B	50,000	19.23	n/a	8-Aug	2,500.00	5.0
COUGAR TRADING	044	GPS	B	5,000	19.25	n/a	8-Aug	250.00	5.0
COUGAR TRADING	044	GYMB	B	10,000	39.35	11-Jul	15-Aug	500.00	5.0
AVESTA CAP	229	HAL	S	25,000	43.65	n/a	n/a	1,250.00	5.0
N STRATTON	014	HEMGF	B	1,250	3.04	n/a	n/a	0.00	0.0
EFSTATHIOU	044	HRS	B	100	54.09	2-Jun	6-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	B	81,000	28.09	n/a	30-May	3,240.00	4.0
COUGAR TRADING	044	JCG	B	5,000	28.46	n/a	30-May	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	27.45	n/a	30-May	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JCP	SS	50,000	39.51	n/a	15-Aug	2,000.00	4.0
JP MORGAN VENTURES	046	JCP	S	10,000	39.13	n/a	15-Aug	500.00	5.0
MERRILL ASSET	032	KCI	S	29,500	34.68	n/a	n/a	1,327.50	4.5
KAICHER	064	KFT	S	1,000	32.90	n/a	n/a	0.00	0.0
AVESTA CAP	229	KR	S	125,000	30.23	n/a	n/a	6,250.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	50,000	51.90	n/a	15-Aug	2,000.00	4.0
COUGAR TRADING	044	KSS	SS	15,000	51.03	n/a	15-Aug	750.00	5.0
TRIVIUM CAP MGMT	251	LCC	S	10,000	9.19	n/a	n/a	400.00	4.0
REINHART & MAHONEY	031	LDSH	S	4,700	26.27	n/a	n/a	235.00	5.0
LUCHANSKY1	036	LLL	C	200	105.31	n/a	n/a	0.00	0.0
DURANGO INVESTMENTS	031	LNCR	S	11,200	33.98	n/a	22-Jul	448.00	4.0
OTC ERROR	099	LNCR	B	10,800	33.85	n/a	22-Jul	0.00	0.0
OTC ERROR	099	LNCR	S	10,800	33.80	n/a	22-Jul	0.00	0.0
BMO NESBITT BURNS	041	LPX	SS	50,000	10.11	n/a	4-Aug	2,500.00	5.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	70,000	10.12	n/a	4-Aug	3,500.00	5.0
DSG CAPITAL	225	LPX	B	20,000	10.10	n/a	4-Aug	1,000.00	5.0
MCCARTAN	028	LPX	S	700	9.93	n/a	4-Aug	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	MAR	B	50,000	29.11	n/a	n/a	2,000.00	4.0
EGS ASSOCIATES LP	091	M	SS	1,000	21.41	12-Aug	14-Aug	60.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LUCID PARTNERS	067	NP	S	8,778	20.37	n/a	14-Aug	438.90	5.0
SIGMA CAPITAL ASSOCIATES	237	NTY	S	25,000	36.41	n/a	n/a	1,250.00	5.0
IRIDIAN ASSET MGMT.	036	PCP	B	18,060	99.38	n/a	n/a	903.00	5.0
COUGAR TRADING	044	PSUN	B	5,000	8.25	n/a	8-Aug	200.00	4.0
LEDDY	035	PVH	S	400	39.45	5-May	18-Jul	0.00	0.0
WHEELER	008	QFSII	B	20	0.10	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	74.19	n/a	7-Aug	400.00	4.0
COUGAR TRADING	044	RL	B	2,500	75.22	n/a	7-Aug	125.00	5.0
IRIDIAN ASSET MGMT.	036	ROC	B	9,700	38.75	n/a	n/a	485.00	5.0
EFSTATHIOU	044	SDS	S	300	63.98	n/a	n/a	0.00	0.0
COUGAR TRADING	044	SSO	B	5,000	63.00	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SSO	S	5,000	62.24	n/a	n/a	250.00	5.0
SCOPUS FUND	041	TEN	S	28,500	16.50	n/a	n/a	1,425.00	5.0
THIRD POINT MGMT	044	TGT	B	200,000	50.95	n/a	15-Aug	8,000.00	4.0
IRONBOUND CAPITAL	012	TIN	S	10,000	18.11	29-Apr	30-Jul	200.00	2.0
LISTED ERR	099	TIN	B	10,000	18.11	29-Apr	30-Jul	0.00	0.0
LISTED ERR	099	TIN	S	10,000	17.51	29-Apr	30-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	TJX	C	20,000	36.70	11-Aug	12-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	TLB	S	50,000	11.81	7-Aug	8-Aug	2,000.00	4.0
HART	056	TUYHH	S	4	0.05	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TWB	B	40,000	8.94	17-Mar	13-Aug	1,600.00	4.0
COUGAR TRADING	044	TWB	B	10,000	8.98	17-Mar	13-Aug	400.00	4.0
BEAR STEARNS ASSET MGMT.	033	UNP	S	35,400	77.72	n/a	n/a	1,416.00	4.0
BEAR STEARNS ASSET MGMT.	033	UTX	SS	40,000	66.61	n/a	n/a	1,600.00	4.0
JP MORGAN VENTURES	046	VFC	SS	15,000	77.58	n/a	n/a	750.00	5.0
CARLSON CAPITAL	031	WFC	B	104,000	29.56	n/a	n/a	4,160.00	4.0
MS PRINCIPAL STRATEGIES	041	WFC	SS	25,000	29.93	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	WRC	S	60,000	51.75	8-Aug	8-Aug	2,400.00	4.0
BMO NESBITT BURNS	041	WY	SS	20,000	53.82	n/a	6-Aug	1,000.00	5.0
TOTAL (Trade Date) :								76,985.00	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	08/14	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	DSW	B	27,000	14.78	8-May	8-Aug	1080.00 4.0
AO,CRT	08/14	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	DSW	B	26,800	14.78	8-May	8-Aug	1072.00 4.0
AO,	08/14	BUCK CAPITAL MGMT (BUCKGIL)	001	DSW	B	200	14.78	8-May	8-Aug	8.00 4.0
AO,	08/14	METROPOLITAN CAPITAL (METRHARTZ)	044	DSW	S	4,500	14.75	8-May	8-Aug	225.00 5.0
AO,	08/14	METROPOLITAN CAPITAL (METRDEUTSC)	044	DSW	S	2,400	14.75	8-May	8-Aug	120.00 5.0
AO,	08/14	METROPOLITAN CAPITAL (METRGS BUL)	044	DSW	S	25,900	14.75	8-May	8-Aug	1295.00 5.0
AO,	08/14	METROPOLITAN CAPITAL (METRSPEAR)	044	DSW	S	1,500	14.75	8-May	8-Aug	75.00 5.0
AO,CRT	08/13	STELLIAM INV ADV (STLLGSBULK)	040	SSCC	B	15,000	5.07	30-Jul	30-Jul	450.00 3.0
AO,CXL	08/13	STELLIAM INV ADV (STLLMSEXEC)	040	SSCC	B	15,000	5.07	30-Jul	30-Jul	450.00 3.0
AO,CXL	08/14	IRONBOUND CAPITAL (IROBGS)	012	TIN	S	136,000	17.41	29-Apr	30-Jul	6800.00 5.0
AO,CRT	08/14	IRONBOUND CAPITAL (IROBGS)	012	TIN	S	136,000	17.41	29-Apr	30-Jul	2720.00 2.0
AO,	08/12	DALTON GREINER (DALT353)	012	TJX	S	1,215	36.46	11-Aug	12-Aug	60.75 5.0
AO,	08/12	DALTON GREINER (DALT18)	012	TJX	S	610	36.46	11-Aug	12-Aug	30.50 5.0
AO,CXL	08/12	DALTON GREINER (DALT353)	012	TJX	S	1,215	36.46	11-Aug	12-Aug	60.75 5.0
AO,CXL	08/12	DALTON GREINER (&DALT18)	012	TJX	S	610	36.46	11-Aug	12-Aug	30.50 5.0
TOTAL (AO, CXL, CRT) :										-2,365.00

TOTAL (Trade Date) :	76,985.00
TOTAL (AO, CXL, CRT) :	-2,365.00

TOTAL (RUN DATE) / NFS :	74,620.00
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION