



## RR Commissions Summary [ Brian Colburn ]

Trade Date: 12/31/2012

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
APEX CAPITAL MGMT.	033	0	0	0	0	50,000	50,000	75,000	50,000	50,000	75,000	0	0
ASCEND PARTNERS	033	0	0	13,991	73,854	0	0	0	0	13,991	73,854	107,567	69
BASCOM HILL PARTNERS LLC	033	0	0	0	0	0	0	0	0	0	0	1,665	0
BASIX	033	0	0	0	24,201	0	0	0	0	0	24,201	70,275	34
CHARTER OAK	033	0	0	11,500	21,191	0	0	0	0	11,500	21,191	28,169	75
CIRCLE T/SES PART	033	0	0	0	200	0	0	0	0	0	200	23,700	1
CLOVIS CAPITAL	033	0	0	0	17,250	0	0	0	0	0	17,250	16,000	108
COLUMBIA MGMT	033	0	0	0	0	0	0	0	0	0	0	28,170	0
CONIFER/FIRESTORM/BC	033	0	0	480	480	0	0	0	0	480	480	950	51
FORWARD MGMT	033	0	0	3,967	5,467	0	0	0	0	3,967	5,467	32,386	17
FRIESS MGMT	033	0	0	0	16,612	0	0	0	0	0	16,612	53,412	31
HOLLIS CAPITAL	033	250	500	4,300	9,675	0	0	0	500	4,300	9,675	9,525	102
INTERVAL PARTNERS	033	0	0	2,250	4,665	0	0	0	0	2,250	4,665	0	0
KINGDON ASSET MGMT	033	0	0	0	0	0	0	0	0	0	0	175,000	0
LATEEF MGMT	033	530	819	819	819	0	0	0	819	819	819	0	0
MORTAR ROCK	033	0	0	0	4,500	0	0	0	0	0	4,500	12,320	37
MUTUAL SHARES/FRANKLYN	033	0	0	0	0	0	0	6,042	0	0	6,042	54,315	11
PARTNER REINSURANCE	033	0	0	0	814	0	0	0	0	0	814	10,262	8
PHILADELPHIA FINANCIAL	033	0	0	29,191	80,341	0	0	0	0	29,191	80,341	86,622	93
ROSENBERG CAPITAL MGMT	033	0	0	0	0	0	8,598	17,848	0	8,598	17,848	35,880	50
SASCO	033	0	0	3,306	23,090	0	0	0	0	3,306	23,090	25,062	92
SYMPHONY ASSET	033	0	1,109	11,102	24,972	0	0	0	1,109	11,102	24,972	0	0
T. ROWE PRICE	033	0	0	24,255	122,167	0	0	0	0	24,255	122,167	141,617	86
WAGONHOUND/NICOLAS	033	0	0	753	7,827	0	0	0	0	753	7,827	15,393	51
<b>TOTALS:</b>		<b>780</b>	<b>2,428</b>	<b>105,914</b>	<b>438,125</b>	<b>50,000</b>	<b>58,598</b>	<b>98,890</b>	<b>52,428</b>	<b>164,512</b>	<b>537,015</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAPITAL RESEARCH GROUP	84	0	0	31,762	170,833	0	0	85,000	0	31,762	255,833	70,000	121
JMP ASSET MGMT	210	0	0	0	0	0	0	0	0	0	0	5,675	0
T. ROWE PRICE	243	0	0	0	0	0	0	0	0	0	0	141,617	86
WEXFORD CAPITAL	284	0	0	2,962	2,962	0	0	0	0	2,962	2,962	0	0
<b>TOTALS:</b>		<b>0</b>	<b>0</b>	<b>34,724</b>	<b>173,795</b>	<b>0</b>	<b>0</b>	<b>85,000</b>	<b>0</b>	<b>34,724</b>	<b>258,795</b>		

