



Daily Compliance Activity Report

Trade Date: 03/19/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	AAPL	S	100	131.38	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	ADBE	S	400	34.85	n/a	n/a	20.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	10,000	75.31	10-Jan	10-Mar	400.00	4.0
LEDDY	035	AN	SS	1,100	14.67	n/a	8-Feb	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	AOC	B	20,000	41.36	n/a	n/a	750.01	3.8
SINCLAIR	031	APCEM	B	40	3.00	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	25,000	27.02	29-Nov	14-Mar	1,250.00	5.0
OGORMAN	046	BARE	C	500	23.50	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	BEN	B	7,240	96.82	n/a	13-Mar	362.00	5.0
CANTILLON CAPITAL	040	BID	B	411,600	27.09	n/a	n/a	20,580.00	5.0
HARVEST MGMT	039	BJ	SS	50,000	34.48	n/a	27-Jul	2,500.00	5.0
LEVIN CAPITAL STRATEGIES	012	BWA	B	15,000	43.27	14-Jan	8-Feb	600.00	4.0
MAGUIRE	039	BWA	B	200	43.27	14-Jan	8-Feb	0.00	0.0
MCCARTAN	028	CAT	SS	100	75.95	n/a	n/a	0.00	0.0
MFP INVESTORS	032	CBT	B	20,000	26.79	n/a	30-Jan	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FIRST NY SEC/CHRIS	041	CHIC	B	10,000	19.38	n/a	n/a	600.00	6.0
OGORMAN	046	CHK	SS	200	45.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	COH	B	5,000	28.64	24-Oct	14-Mar	250.00	5.0
WEINTRAUB CAPITAL MGT.	040	COH	B	100,000	28.49	24-Oct	14-Mar	4,000.00	4.0
FIRST NY SEC/CHRIS	041	C	B	10,000	21.44	16-Jan	17-Mar	600.00	6.0
BUCK CAPITAL MGMT	001	DAI	SS	10,000	82.65	n/a	n/a	400.00	4.0
NOMURA CAPITAL	045	DAL	S	3,436	10.66	n/a	n/a	171.80	5.0
BUCK CAPITAL MGMT	001	DDS	SS	20,000	17.55	n/a	n/a	800.00	4.0
PALMYRA CAPITAL	048	DDS	C	121,226	17.33	n/a	n/a	3,636.78	3.0
ARIENCE CAPITAL	036	DKS	SS	123,000	27.32	n/a	14-Mar	6,150.00	5.0
PRINCIPLE CAPITAL	040	DKS	B	166,400	27.22	n/a	14-Mar	4,992.00	3.0
BULL PATH CAPITAL	044	DRI	B	50,000	32.26	n/a	24-Apr	2,500.00	5.0
MAGUIRE	039	ETN	B	100	83.03	29-Feb	29-Feb	0.00	0.0
BUCK	015	FNM	C	1,000	33.14	n/a	n/a	0.00	0.0
BUCK	015	FRE	SS	1,500	30.92	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	GBL	B	23,731	51.45	n/a	18-Mar	1,186.55	5.0
MCCARTAN	028	GES	S	200	35.29	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	GGG	B	400	35.83	n/a	n/a	20.00	5.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	34.78	22-Jan	10-Mar	400.00	4.0
HART	056	GMRX	B	5	5.00	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	SS	5,000	20.69	n/a	7-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	HAS	B	20,000	27.65	n/a	n/a	800.00	4.0
WHITE PINE CAPITAL	030	IFF	B	375	43.54	14-Dec	30-Jan	18.75	5.0
COUGAR TRADING	044	JCG	B	5,000	42.65	28-Nov	12-Mar	250.00	5.0
EPOCH INV MGMT	030	JNS	B	33,850	23.84	9-Jan	6-Mar	1,354.00	4.0
BUCK CAPITAL MGMT	001	KMX	SS	50,000	20.23	n/a	10-Jan	2,000.00	4.0
COUGAR TRADING	044	KSS	SS	20,000	43.12	4-Jan	7-Mar	1,000.00	5.0
SANDLER O'NEILL ASSET	031	LEH	B	25,000	44.57	19-Mar	19-Mar	1,250.00	5.0
OGORMAN	046	LEH	S	430	43.98	19-Mar	19-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	B	20,000	18.29	n/a	17-Mar	800.00	4.0
PROSPECTOR PARTNERS LLC	036	LNK	S	45,230	18.44	n/a	n/a	1,809.20	4.0
AMBER CAPITAL	046	MER	SS	100,000	46.07	18-Jan	17-Mar	4,000.00	4.0
WHITE PINE CAPITAL	030	MOT	S	44,629	9.45	n/a	n/a	2,231.45	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OGORMAN	046	MSCH	S	5	5.60	n/a	n/a	0.00	0.0
OGORMAN	046	MS	S	950	45.00	20-Dec	17-Mar	0.00	0.0
HIGHBRIDGE/MS OLDS	041	MT	B	50,000	77.54	n/a	n/a	2,000.00	4.0
THE JAY GOLDMAN	034	M	B	20,000	22.89	7-Feb	7-Mar	800.00	4.0
MORGAN LEWIS/MLH	046	NLY	S	20,000	16.94	n/a	n/a	1,000.00	5.0
WHITE PINE CAPITAL	030	NVEC	B	2,050	25.31	n/a	n/a	102.50	5.0
FIRST NY SEC/CHRIS	041	NWA	B	10,000	10.29	n/a	n/a	600.00	6.0
NOMURA CAPITAL	045	NWA	S	5,000	10.57	n/a	n/a	250.00	5.0
WHITE PINE CAPITAL	030	OME	B	1,500	11.84	n/a	n/a	75.00	5.0
WHITE PINE CAPITAL	030	OMX	B	1,625	20.62	n/a	15-Apr	81.25	5.0
SANDLER CAPITAL	038	OXY	S	69,200	72.46	n/a	n/a	4,152.00	6.0
LEDDY	035	PBR	B	100	99.22	n/a	n/a	0.00	0.0
LEDDY	035	PCL	S	250	41.16	8-Jan	30-Jan	0.00	0.0
WHITE PINE CAPITAL	030	PERY	S	2,500	22.38	n/a	n/a	125.00	5.0
TRIVIUM CAP MGMT	251	PLCE	B	150,000	19.77	10-Jan	18-Mar	6,000.00	4.0
SANDELL ADV	040	PTEN	B	50,000	22.96	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	PVH	S	30,000	35.07	13-Mar	13-Mar	1,500.00	5.0
CANTILLON CAPITAL	040	Q	SS	1,100,200	4.64	n/a	n/a	33,006.00	3.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	58.85	7-Feb	15-Feb	400.00	4.0
WHITE PINE CAPITAL	030	SMBL	B	6,625	6.20	n/a	n/a	331.25	5.0
WHEELER	008	SPNC	B	5,000	8.05	n/a	n/a	0.00	0.0
SENECA CAPITAL LP NY	028	SPY	S	42,000	132.66	n/a	n/a	2,100.00	5.0
OGORMAN	046	SWN	SS	200	62.44	29-Feb	17-Mar	0.00	0.0
WHITE PINE CAPITAL	030	TEG	B	500	45.87	n/a	n/a	25.00	5.0
BUCK	015	TGT	S	300	51.05	n/a	27-Jul	0.00	0.0
HIGHBRIDGE CAPITAL	038	TIN	B	25,000	12.23	19-Mar	19-Mar	1,250.00	5.0
MAGUIRE	039	TIN	B	600	12.60	19-Mar	19-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	TLB	SS	25,000	10.74	n/a	13-Mar	1,000.00	4.0
MAGUIRE	039	TYC	B	200	43.43	9-Nov	25-Feb	0.00	0.0
AMATURO	018	UNAOB	S	20	0.30	n/a	n/a	0.00	0.0
OGORMAN	046	UPL	SS	200	73.41	4-Jan	20-Feb	0.00	0.0
CANTILLON CAPITAL	040	USB	S	1,380,116	33.05	n/a	n/a	69,005.80	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	V	B	2,000	59.50	n/a	n/a	0.00	0.0
STERLING JOHNSTON	035	WCRX	S	33,400	16.53	n/a	18-Mar	1,670.00	5.0
STADIA CAPITAL	035	WDC	SS	60,000	29.95	n/a	n/a	2,400.00	4.0
JP MORGAN VENTURES	046	WINN	B	15,000	17.52	n/a	n/a	750.00	5.0
OGORMAN	046	XTO	SS	200	59.30	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	B	10,000	9.39	5-Feb	7-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	ZZ	SS	20,000	7.43	n/a	n/a	800.00	4.0
TOTAL (Trade Date):							201,356.34		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	03/18	TRELLUS PARTNERS LP (TRELMEER)	028	CHRW	C	3,496	53.01	n/a	n/a	174.80	5.0
AO,	03/18	TRELLUS PARTNERS LP (TRELGS)	028	CHRW	C	3,496	53.01	n/a	n/a	174.80	5.0
AO,	03/18	TRELLUS PARTNERS LP (TRELMAIN)	028	CHRW	C	39,708	53.01	n/a	n/a	1985.40	5.0
AO,	03/18	STARK ASSET MGMT (STRKCREDIT)	046	F	B	100,000	5.21	18-Mar	18-Mar	3000.00	3.0
AO,	03/18	IRIDIAN ASSET MGMT. (IRAM164)	036	GS	B	2,020	164.29	19-Mar	19-Mar	30.30	1.5
AO,CXL	03/17	U.S.TRUST COMPANY (USTCMAIN A)	012	GWV	S	24,650	74.04	25-Jan	25-Jan	1232.50	5.0
AO,CRT	03/17	U.S.TRUST COMPANY (USTCMAIN A)	012	GWV	S	24,650	74.04	25-Jan	25-Jan	739.50	3.0
AO,CXL	03/13	BUCK CAPITAL MGMT (BUCKGIL)	001	KSS	S	200	16.89	4-Jan	7-Mar	8.00	4.0
AO,CRT	03/13	BUCK CAPITAL MGMT (BUCKGIL)	001	KSS	S	200	41.20	4-Jan	7-Mar	8.00	4.0
AO,	03/18	IRIDIAN ASSET MGMT. (IRAM164)	036	LEH	S	3,030	36.16	19-Mar	19-Mar	45.45	1.5
AO,CRT	03/18	HIGHBRIDGE CAPITAL (HIGBNEW)	038	TIN	B	62,000	11.99	19-Mar	19-Mar	3100.00	5.0
AO,CXL	03/18	HIGHBRIDGE/MS OLDS (HIBCMAN)	041	TIN	B	62,000	11.99	19-Mar	19-Mar	3100.00	5.0
AO,	03/18	IRIDIAN ASSET MGMT. (IRAM164)	036	WFC	B	620	30.04	n/a	n/a	9.30	1.5
TOTAL (AO, CXL, CRT) :										4,927.05	

TOTAL (Trade Date):	201,356.34
TOTAL (AO, CXL, CRT):	4,927.05

TOTAL (RUN DATE) / NFS:

206,283.39

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION