

Daily Compliance Activity Report Trade Date: 03/23/2011

POTENTIAL ANALYST TRADE VIOLATIONS: 0

					101		TREEL VIOLATIONS. 0			
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
CENTURION PARTNERS	041	AA	В	20,000	16.73	n/a	n/a	800.00	4.0	
TROUBH PART	070	ADM	В	15,000	36.03	n/a	14-Mar	750.00	5.0	
SURVEYOR CAPITAL	041	AXL	В	20,000	12.71	n/a	9-Feb	600.00	3.0	
WAGONHOUND/NIC	048	BAC	S	75,000	13.51	n/a	21-Mar	3,000.00	4.0	
IMPALA ASSET MMT	012	BKE	SS	5,000	35.60	n/a	n/a	200.00	4.0	
TALPION LLC	040	BYI	S	15,000	35.07	n/a	21-Mar	450.00	3.0	
BALLAST CAP	040	CAG	В	10,000	23.01	n/a	n/a	500.00	5.0	
EATON VANCE MGMT	048	CAT	S	385,918	106.62	n/a	28-Jan	11,577.54	3.0	
PILOT ADV	048	COF	SS	11,000	50.45	n/a	22-Mar	220.00	2.0	
MERRILL ASSET/BLACKROCK	266	CYN	S	7,900	55.54	n/a	n/a	316.00	4.0	
BUCK CAPITAL MGMT	001	DDS	В	40,000	39.16	n/a	n/a	1,200.00	3.0	
IMPALA ASSET MMT	012	FBN	S	20,000	4.29	n/a	n/a	400.00	2.0	
ROYCE TRADING	012	FINL	В	103,382	17.47	n/a	18-Mar	5,169.10	5.0	
OZ MGMT	040	F	S	495,549	14.37	n/a	2-Mar	14,866.47	3.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
DENVER INST. ADV	046	GES	В	140,000	37.93	n/a	17-Mar	5,600.00	4.0
AMBER CAPITAL	046	GIS	В	111,000	36.07	n/a	n/a	4,440.00	4.0
TROUBH PART	070	GM	В	25 , 000	30.75	n/a	2-Mar	1,250.00	5.0
IMPALA ASSET MMT	012	GT	SS	5,000	14.54	n/a	n/a	250.00	5.0
WAGONHOUND/NIC	048	HIIWI	S	14 , 776	0.00	n/a	n/a	591.04	4.0
BALYASNY ASSET MGMT	044	HOT	S	20,000	56.62	n/a	23-Feb	1,000.00	5.0
CATAPULT	040	IP	В	10,000	26.83	n/a	17-Mar	400.00	4.0
IMPALA ASSET MMT	012	JBLU	S	20,000	5.76	n/a	n/a	400.00	2.0
OSKIE CAPITAL MGMT	224	LEA	S	5,000	48.01	n/a	21-Mar	250.00	5.0
OSKIE CAPITAL MGMT	224	LO	S	10,000	94.35	n/a	n/a	500.00	5.0
OSKIE CAPITAL MGMT	224	LYB	S	5,000	38.76	n/a	n/a	250.00	5.0
DENVER INST. ADV	046	MFB	В	30,000	27.15	n/a	n/a	1,200.00	4.0
M&I FUNDS	047	MMR	S	78 , 790	16.71	n/a	n/a	3,151.60	4.0
DELTEC ASSET MGMT.	040	MTW	S	15,000	20.71	n/a	2-Feb	750.00	5.0
SMITH MGMT LLC	029	NDN	В	25 , 000	19.57	n/a	11-Mar	1,250.00	5.0
	DENVER INST. ADV AMBER CAPITAL TROUBH PART IMPALA ASSET MMT WAGONHOUND/NIC BALYASNY ASSET MGMT CATAPULT IMPALA ASSET MMT OSKIE CAPITAL MGMT OSKIE CAPITAL MGMT OSKIE CAPITAL MGMT DENVER INST. ADV M&I FUNDS DELTEC ASSET MGMT.	DENVER INST. ADV 046 AMBER CAPITAL 046 TROUBH PART 070 IMPALA ASSET MMT 012 WAGONHOUND/NIC 048 BALYASNY ASSET MGMT 044 CATAPULT 040 IMPALA ASSET MMT 012 OSKIE CAPITAL MGMT 224 OSKIE CAPITAL MGMT 224 DENVER INST. ADV 046 M&I FUNDS 047 DELTEC ASSET MGMT. 040	DENVER INST. ADV 046 GES AMBER CAPITAL 046 GIS TROUBH PART 070 GM IMPALA ASSET MMT 012 GT WAGONHOUND/NIC 048 HIIWI BALYASNY ASSET MGMT 044 HOT CATAPULT 040 IP IMPALA ASSET MMT 012 JBLU OSKIE CAPITAL MGMT 224 LEA OSKIE CAPITAL MGMT 224 LYB DENVER INST. ADV 046 MFB M&I FUNDS 047 MMR DELTEC ASSET MGMT. 040 MTW	DENVER INST. ADV 046 GES B AMBER CAPITAL 046 GIS B TROUBH PART 070 GM B IMPALA ASSET MMT 012 GT SS WAGONHOUND/NIC 048 HIIWI S BALYASNY ASSET MGMT 044 HOT S CATAPULT 040 IP B IMPALA ASSET MMT 012 JBLU S OSKIE CAPITAL MGMT 224 LEA S OSKIE CAPITAL MGMT 224 LEA S OSKIE CAPITAL MGMT 224 LYB S DENVER INST. ADV 046 MFB B M&I FUNDS 047 MMR S DELTEC ASSET MGMT. 040 MTW S	DENVER INST. ADV 046 GES B 140,000 AMBER CAPITAL 046 GIS B 111,000 TROUBH PART 070 GM B 25,000 IMPALA ASSET MMT 012 GT SS 5,000 WAGONHOUND/NIC 048 HIIWI S 14,776 BALYASNY ASSET MGMT 044 HOT S 20,000 CATAPULT 040 IP B 10,000 IMPALA ASSET MMT 012 JBLU S 20,000 OSKIE CAPITAL MGMT 224 LEA S 5,000 OSKIE CAPITAL MGMT 224 LO S 10,000 OSKIE CAPITAL MGMT 224 LYB S 5,000 DENVER INST. ADV 046 MFB B 30,000 M&I FUNDS 047 MMR S 78,790 DELTEC ASSET MGMT. 040 MTW S 15,000	DENVER INST. ADV 046 GES B 140,000 37.93 AMBER CAPITAL 046 GIS B 111,000 36.07 TROUBH PART 070 GM B 25,000 30.75 IMPALA ASSET MMT 012 GT SS 5,000 14.54 WAGONHOUND/NIC 048 HIIWI S 14,776 0.00 BALYASNY ASSET MGMT 044 HOT S 20,000 56.62 CATAPULT 040 IP B 10,000 26.83 IMPALA ASSET MMT 012 JBLU S 20,000 5.76 OSKIE CAPITAL MGMT 224 LEA S 5,000 48.01 OSKIE CAPITAL MGMT 224 LYB S 5,000 38.76 DENVER INST. ADV 046 MFB B 30,000 27.15 MGI FUNDS 047 MMR S 78,790 16.71 DELTEC ASSET MGMT. 040 MTW S 15,000 20.71	DENVER INST. ADV 046 GES B 140,000 37.93 n/a AMBER CAPITAL 046 GIS B 111,000 36.07 n/a TROUBH PART 070 GM B 25,000 30.75 n/a IMPALA ASSET MMT 012 GT SS 5,000 14.54 n/a WAGONHOUND/NIC 048 HIIWI S 14,776 0.00 n/a BALYASNY ASSET MGMT 044 HOT S 20,000 56.62 n/a CATAPULT 040 IP B 10,000 26.83 n/a IMPALA ASSET MMT 012 JBLU S 20,000 5.76 n/a OSKIE CAPITAL MGMT 224 LEA S 5,000 48.01 n/a OSKIE CAPITAL MGMT 224 LO S 10,000 94.35 n/a OSKIE CAPITAL MGMT 224 LYB S 5,000 38.76 n/a DENVER INST. ADV 046 MFB B 30,000 27.15 n/a M&I FUNDS 047 MMR S 78,790 16.71 n/a DELTEC ASSET MGMT. 040 MTW S 15,000 20.71 n/a	DENVER INST. ADV 046 GES B 140,000 37.93 n/a 17-Mar AMBER CAPITAL 046 GIS B 111,000 36.07 n/a n/a TROUBH PART 070 GM B 25,000 30.75 n/a 2-Mar IMPALA ASSET MMT 012 GT SS 5,000 14.54 n/a n/a MAGONHOUND/NIC 048 HIIWI S 14,776 0.00 n/a n/a BALYASNY ASSET MGMT 044 HOT S 20,000 56.62 n/a 23-Feb CATAPULT 040 IP B 10,000 26.83 n/a 17-Mar IMPALA ASSET MMT 012 JBLU S 20,000 5.76 n/a n/a OSKIE CAPITAL MGMT 224 LEA S 5,000 48.01 n/a 21-Mar OSKIE CAPITAL MGMT 224 LYB S 5,000 38.76 n/a n/a DENVER INST. ADV 046 MFB B 30,000 27.15 n/a n/a DENVER INST. ADV 046 MFB B 30,000 27.15 n/a n/a DELTEC ASSET MGMT. 040 MTW S 15,000 20.71 n/a 2-Feb	DENVER INST. ADV 046 GES B 140,000 37.93

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
ROYCE TRADING	012	PLCE	В	33,200	47.47	n/a	9-Mar	1,660.00	5.0
TALPION LLC	040	PLXS	SS	4,000	31.67	n/a	n/a	120.00	3.0
SAC TRADING TOM LEDDY	035	PSS	SS	40,000	20.71	n/a	n/a	1,400.00	3.5
SONTERRA CAP	041	RGC	SS	75,000	13.47	n/a	n/a	3,000.00	4.0
SURVEYOR CAPITAL	041	SAI	В	44,500	16.97	n/a	n/a	1,335.00	3.0
SANDLER CAPITAL	028	SNI	S	50,000	50.15	n/a	n/a	2,500.00	5.0
MERRILL ASSET/BLACKROCK	266	THG	S	5,800	45.20	n/a	n/a	232.00	4.0
IMPALA ASSET MMT	012	TIF	S	3,000	58.68	n/a	n/a	150.00	5.0
IRIDIAN ASSET MGMT.	036	TYC	В	9,870	44.03	n/a	28-Jan	493.50	5.0
IMPALA ASSET MMT	012	UTIW	S	10,500	18.76	n/a	n/a	420.00	4.0
OSKIE CAPITAL MGMT	224	VC	S	2,000	62.96	n/a	n/a	100.00	5.0
SENATOR INVESTMENT GROUP	036	XLE	В	40,000	77.61	n/a	n/a	1,200.00	3.0
IMPALA ASSET MMT	012	XRT	SS	4,000	48.06	n/a	n/a	200.00	5.0

TOTAL (Trade Date): 74,142.25

Cancel/Corrects and As-Of Trades

Туре	Date	Account		RR #	Symbol	B/S	Shares	Price	MRI I	Research	Comm.	\$/Shr.
AO,CXL	03/15	MERRILL ASSET/BLACKROCK	(MERR22)	266	MNKD	В	31,000	3.71	n/a	n/a	930.00	3.0
AO,	03/15	MERRILL ASSET/BLACKROCK	(MERR22)	266	MNKD	В	31,000	3.71	n/a	n/a	1240.00	4.0
AO,CXL	03/15	MERRILL ASSET/BLACKROCK	(MERR700)	266	MNKD	В	129,000	3.71	n/a	n/a	3870.00	3.0
AO,	03/15	MERRILL ASSET/BLACKROCK	(MERR700)	266	MNKD	В	129,000	3.71	n/a	n/a	5160.00	4.0

TOTAL (RUN DATE) / NFS:	75,742.25
TOTAL (AO, CXL, CRT):	1,600.00
TOTAL (Trade Date):	74,142.25

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION