



Daily Compliance Activity Report

Trade Date: 05/19/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	ACE	C	5,000	44.62	n/a	n/a	250.00	5.0
TOKIO MARINE ASSET MGMT	045	ACGL	S	11,900	58.06	n/a	n/a	476.00	4.0
B BUCK CAPITAL MGMT		AEO	B	20,000	14.23	20-Apr	8-May	non-brg	1.0
BALYASNY ASSET MGMT	044	AKS	C	3,000	13.55	n/a	n/a	150.00	5.0
BALYASNY ASSET MGMT	044	ARG	C	7,000	43.63	23-Mar	6-May	350.00	5.0
BALYASNY ASSET MGMT	044	ASH	C	3,000	26.39	n/a	7-May	150.00	5.0
BUCK CAPITAL MGMT	001	AVP	B	50,000	23.86	25-Feb	6-May	2,000.00	4.0
f MANNY I KORMAN	FID	BACPRU	B	1500	14.56	n/a	n/a	0.00	0.0
UBS OCONNOR	031	BEN	S	10,500	63.19	11-May	19-May	315.00	3.0
f JOSEPH CIRO AMATURO	FID	BGZ	B	1000	37.77	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BKC	SS	100,000	17.72	16-Apr	29-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		BKC	SS	287,100	17.76	16-Apr	29-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		BKC	SS	50,000	17.75	16-Apr	29-Apr	non-brg	1.0
B BUCK CAPITAL MGMT		BKC	S	100,000	17.70	16-Apr	29-Apr	non-brg	1.5
B BUCK CAPITAL MGMT		BKC	S	117,000	17.71	16-Apr	29-Apr	non-brg	4.0
f ALICE BEEBE LONGLEY	FID	BKF	B	600	34.03	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KEVIN MICHAEL O'GORM	FID	BONT	B	1000	4.09	6-Feb	6-Feb	0.00	0.0
CHART WELL ADV	028	BWA	B	95,685	30.07	1-May	1-May	3,827.40	4.0
f MANNY I KORMAN	FID	BWF	B	2000	23.65	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BYI	B	45,000	27.47	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BYI	B	5,000	27.88	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	CAB	SS	30,000	12.39	n/a	n/a	1,200.00	4.0
B BUCK CAPITAL MGMT		CBRL	C	20,000	31.28	n/a	n/a	non-brg	4.0
GLOBAL 56 LLC	060	CHK	S	1,500	22.01	n/a	n/a	75.00	5.0
SIGMA CAPITAL ASSOCIATES	041	CMCSA	B	25,000	15.01	n/a	4-Jan	1,250.00	5.0
B BUCK CAPITAL MGMT		COF	B	20,000	25.32	15-May	19-May	non-brg	4.0
B BUCK CAPITAL MGMT		COH	S	150,000	25.25	9-Jan	22-Apr	non-brg	4.0
f MANNY I KORMAN	FID	CPRE	B	1000	14.30	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	DD	C	3,000	28.50	n/a	21-Apr	150.00	5.0
f STEFFANIE CARMELA CA	FID	DPTR	B	1000	1.81	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	DUA	B	2000	16.68	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	EAT	B	40,000	17.86	n/a	24-Apr	1,600.00	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		EAT	C	10,000	17.80	n/a	24-Apr	non-brg 4.0
	BALYASNY ASSET MGMT	044	EMN	C	3,000	41.19	n/a	n/a	150.00 5.0
	EPOCH INV MGMT	030	ENDP	S	28,450	16.11	n/a	19-May	1,138.00 4.0
	FIRST NEW YORK LEDDY	035	ETFC	S	10,000	1.62	n/a	n/a	600.00 6.0
ß	BUCK CAPITAL MGMT		ETH	S	19,300	10.47	n/a	n/a	non-brg 1.0
	PHILADELPHIA FINANCIAL	033	EVR	S	18,700	17.02	n/a	n/a	748.00 4.0
	BALYASNY ASSET MGMT	044	EV	C	13,000	29.84	19-May	19-May	650.00 5.0
	FIRST NY SEC/CHRIS	041	GE	S	75,000	13.74	n/a	n/a	3,750.00 5.0
ß	BUCK CAPITAL MGMT		GIL+FB[GIL]	C	4,989	6.05	n/a	n/a	non-brg 300.0
ß	BUCK CAPITAL MGMT		GIL	B	100,000	15.00	11-Dec	14-May	non-brg 2.0
ß	BUCK CAPITAL MGMT		GIL	B	18,100	14.88	11-Dec	14-May	non-brg 1.5
ß	BUCK CAPITAL MGMT		GIL	B	181,500	15.95	11-Dec	14-May	non-brg 4.0
ß	BUCK CAPITAL MGMT		GIL	B	20,000	15.94	11-Dec	14-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		GIL	B	400	14.88	11-Dec	14-May	non-brg 1.0
	COUGAR TRADING	044	GIL	SS	5,000	16.05	11-Dec	14-May	250.00 5.0
ß	BUCK CAPITAL MGMT		GMCR	C	10,000	79.28	n/a	n/a	non-brg 4.0
	BUCK CAPITAL MGMT	001	HBI	B	120,000	16.09	n/a	n/a	4,800.00 4.0
f	ALICE BEEBE LONGLEY	FID	HD	B	400	24.73	12-May	12-May	0.00 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRAMER ROSENTHAL/SCOTT	012	HPQ	S	41,200	36.56	n/a	n/a	1,648.00	4.0
NEUBERGER BERMAN	036	HPQ	B	105,200	36.51	n/a	n/a	4,734.00	4.5
DIAMONDBACK CAP MGMT	232	HUN	S	55,000	6.83	n/a	n/a	2,750.00	5.0
B BUCK CAPITAL MGMT		ICON	B	20,000	15.08	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	IP	C	5,000	14.04	n/a	1-May	250.00	5.0
BALYASNY ASSET MGMT	044	ITW	SS	10,000	33.58	30-Jan	17-Apr	500.00	5.0
B BUCK CAPITAL MGMT		IVZ	B	76,700	14.34	11-May	19-May	non-brg	4.0
BARCLEYS CAP (OLD LEHM)	041	IYR	B	100,000	33.26	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		JAS	B	55,000	21.62	n/a	n/a	non-brg	4.0
f ROBERT M DANIELS	FID	KEG	B	80	6.24	n/a	18-May	0.00	0.0
BUCK CAPITAL MGMT	001	KSS	B	30,000	43.39	8-May	15-May	1,200.00	4.0
NEWCASTLE FUNDS	255	LOW	S	75,000	20.11	12-May	18-May	3,000.00	4.0
PECONIC PARTNERS	036	LOW	SS	300,000	19.90	12-May	18-May	15,000.00	5.0
CADIAN CAPITAL	067	LULU	SS	25,000	13.00	n/a	n/a	750.00	3.0
f ANTHONY NG	FID	LVL	S	500	1.08	n/a	4-Jan	0.00	0.0
B BUCK CAPITAL MGMT		LVS	S	25,000	10.05	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MANNY I KORMAN	FID	MERPRK	B	2000	14.17	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	METPRB	B	2000	20.18	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	MHK	SS	46,042	40.40	n/a	n/a	1,841.68	4.0
BALYASNY ASSET MGMT	044	MMM	C	5,000	58.80	24-Apr	24-Apr	250.00	5.0
TOKIO MARINE ASSET MGMT	045	MRO	S	45,800	29.99	n/a	n/a	1,832.00	4.0
CASTLE ARK	031	MS	B	232,260	28.67	11-May	19-May	13,935.60	6.0
BALYASNY ASSET MGMT	044	MT	C	3,000	29.27	n/a	n/a	150.00	5.0
COUGAR TRADING	044	M	SS	5,000	12.44	n/a	14-May	250.00	5.0
BUCK CAPITAL MGMT	001	NKE	S	70,000	51.21	n/a	n/a	2,800.00	4.0
BALYASNY ASSET MGMT	044	NSC	C	4,000	36.48	n/a	n/a	200.00	5.0
WHITE PINE CAPITAL	030	NWY	S	60,175	4.52	n/a	30-Jan	2,407.00	4.0
BALYASNY ASSET MGMT	044	OSK	C	10,000	11.37	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		PETM	C	25,000	23.26	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		PETM	C	75,000	23.22	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	PGR	C	25,000	16.90	n/a	n/a	1,250.00	5.0
BALYASNY ASSET MGMT	044	PH	C	13,000	45.31	n/a	17-Apr	650.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	PH	SS	10,000	44.98	n/a	17-Apr	500.00	5.0
f KEVIN MICHAEL O'GORM	FID	PSUN	B	1000	4.08	6-Feb	6-Feb	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	PSUN	B	2000	4.08	6-Feb	6-Feb	0.00	0.0
CASEY CAPITAL	067	PXP	S	6,000	23.85	n/a	n/a	300.00	5.0
BALYASNY ASSET MGMT	044	ROC	B	10,000	14.39	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		SKX	S	10,000	9.61	n/a	13-Mar	non-brg	3.0
B BUCK CAPITAL MGMT		SKX	S	30,000	9.47	n/a	13-Mar	non-brg	3.0
B BUCK CAPITAL MGMT		SLM	B	100,000	5.71	23-Apr	11-May	non-brg	3.0
f JOSEPH CIRO AMATURO	FID	SPHIX	S	14347	6.97	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	STFC	S	10,000	15.42	n/a	n/a	400.00	4.0
f ANDREW SINCLAIR	FID	SWVUL	B	50	0.52	n/a	n/a	0.00	0.0
f CHRISTOPHER ARTHUR K	FID	S	B	2000	5.57	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TAST	S	28,100	7.05	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		TGT	B	30,000	41.64	25-Feb	8-May	non-brg	4.0
B BUCK CAPITAL MGMT		TIF	C	20,000	27.43	n/a	30-Jan	non-brg	4.0
B BUCK CAPITAL MGMT		TIF	C	80,000	26.71	n/a	30-Jan	non-brg	4.0
AVESTA CAP	229	TJX	B	50,000	28.92	4-May	8-May	2,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARROW HANLEY MEWHINNEY	031	T	S	274,240	24.68	n/a	4-Jan	10,969.60	4.0
PROSPECTOR PARTNERS LLC	036	UNS	S	10,000	25.59	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		URBN	B	80,000	19.71	n/a	30-Jan	non-brg	4.0
PNC/MERCANTILE	028	USB	B	15,029	19.31	n/a	n/a	601.16	4.0
S.A.C. CAP/ORENSTEIN	044	VALE	B	50,000	19.41	n/a	n/a	2,000.00	4.0
f DAVID GEORGE BUCK	FID	VNOIK	S	10	4.00	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	031	VZ	S	184,140	29.64	n/a	4-Jan	7,365.60	4.0
PNC/MERCANTILE	028	WMI	B	18,493	28.61	n/a	n/a	739.72	4.0
f ANTHONY NG	FID	XOMA	B	625	0.84	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :								109,553.76	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/15	PENNANT CAP MGMT	029	CF	S	206,491	79.47	n/a	n/a	6194.73 3.0
AO,CXL	05/15	PENNANT CAP MGMT	029	CF	S	206,491	79.47	n/a	n/a	6194.73 3.0
AO,CRT	05/18	PHILADELPHIA FINANCIAL	033	EVR	S	15,000	17.01	n/a	n/a	600.00 4.0
AO,CXL	05/18	PHILADELPHIA FINANCIAL	033	EVR	S	15,000	17.01	n/a	n/a	750.00 5.0
TOTAL (AO, CXL, CRT) :										-150.00

TOTAL (Trade Date) :	109,553.76
TOTAL (AO, CXL, CRT) :	-150.00

TOTAL (RUN DATE) / NFS :	109,403.76
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MRI Securities with Trade Activity on 19-May.

EV

MRI Securities without Trade Activity on 19-May.

CLX

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 15%

YTD = 22%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)