



Daily Compliance Activity Report

Trade Date: 06/18/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AEO	S	40,000	13.16	n/a	4-Jun	2,000.00	5.0
COBALT	041	AMTD	SS	100,000	17.12	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT	001	ANN	B	30,000	20.48	n/a	n/a	1,200.00	4.0
ACK ASSET	028	BYI	SS	8,300	38.02	n/a	n/a	249.00	3.0
CATAPULT	040	CBSH	SS	12,680	37.48	n/a	n/a	507.20	4.0
LOCUS WOOD CAPITAL MGMT	044	CCE	B	25,000	27.03	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT	001	COH	S	20,000	43.25	n/a	14-May	800.00	4.0
WAGONHOUND/NIC	048	CVGI	S	20,929	12.00	n/a	n/a	837.16	4.0
CRAMER ROSENTHAL/SCOTT	012	CVS	B	35,800	33.28	n/a	n/a	1,432.00	4.0
SANDLER O'NEILL ASSET	031	C	B	50,000	3.98	n/a	15-Jun	1,000.00	2.0
WAGONHOUND/NIC	048	DAN	B	21,541	12.02	n/a	30-Apr	861.64	4.0
CLOVIS CAPITAL	012	DHI	S	100,000	10.77	n/a	n/a	5,000.00	5.0
CONIFER/ISF	025	DOW	S	2,500	26.89	n/a	21-May	100.00	4.0
HARBORWATCH/DIAMBACK	232	DOW	C	15,000	26.75	n/a	21-May	750.00	5.0
DIAMONDBACK CAP MGMT	232	FCX	SS	8,000	65.78	n/a	n/a	400.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	FMER	B	95,800	17.91	n/a	n/a	2,874.00	3.0
BALYASNY ASSET MGMT	044	F	SS	50,000	11.54	n/a	3-Jun	2,500.00	5.0
SCOPUS FUND	041	F	SS	400,000	11.50	n/a	3-Jun	20,000.00	5.0
LEVEL GLOBAL SHARED ACCT	257	GES	S	50,000	34.16	n/a	28-May	1,500.00	3.0
BANK OF AMERICA/MERRILL	259	GE	B	23,750	15.96	n/a	n/a	950.00	4.0
BUCK CAPITAL MGMT	001	GIL	S	20,000	31.99	n/a	14-May	800.00	4.0
NWQ INVESTMENT MGMT CO	048	HSC	B	4,500	25.95	n/a	27-May	180.00	4.0
GRYPHON CAPITAL MGMT	084	INTC	B	100,000	21.21	n/a	n/a	3,000.00	3.0
NWQ INVESTMENT MGMT CO	048	IPI	B	4,900	22.36	n/a	n/a	196.00	4.0
NCM CAPITAL MGMT	035	JWA	B	22,600	39.78	n/a	n/a	904.00	4.0
OHIO PERS	047	KO	S	89,000	52.52	n/a	23-Apr	2,670.00	3.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	40,000	7.72	n/a	11-May	2,000.00	5.0
FIRST NEW YORK LEDDY	035	LPX	B	25,000	7.72	n/a	11-May	750.00	3.0
BANK OF AMERICA/MERRILL	259	MFA	S	10,400	7.60	n/a	n/a	312.00	3.0
TROUBH PART	067	MF	B	50,000	6.60	n/a	n/a	2,500.00	5.0
BALYASNY ASSET MGMT	044	MW	B	20,000	20.88	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARBORWATCH/DIAMBACK	232	PCP	B	10,000	114.12	n/a	15-Jun	500.00	5.0
ROYCE TRADING	012	PHH	B	7,500	20.12	n/a	n/a	375.00	5.0
ROYCE TRADING	012	POL	S	11,000	9.68	n/a	n/a	550.00	5.0
ROYCE TRADING	012	RAS	B	2,500	2.08	n/a	n/a	75.00	3.0
NWQ INVESTMENT MGMT CO	048	RDEN	B	6,600	17.04	n/a	17-May	264.00	4.0
CASTLEROCK	040	RL	SS	17,465	80.90	n/a	20-May	698.60	4.0
ROYCE TRADING	012	RTI	B	5,000	26.61	n/a	n/a	250.00	5.0
ROYCE TRADING	012	SEH	S	5,000	11.78	n/a	n/a	250.00	5.0
JP MORGAN VENTURES	046	SFE	B	15,000	11.63	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	SHW	B	30,000	76.44	n/a	24-May	1,200.00	4.0
T. ROWE PRICE	033	SIAL	B	8,500	53.17	n/a	27-May	340.00	4.0
ROYCE TRADING	012	SKS	S	8,000	9.26	n/a	n/a	400.00	5.0
ROYCE TRADING	012	SOA	S	5,000	15.31	n/a	n/a	250.00	5.0
BNP PARIBAS SEC	040	SWN	S	10,000	44.02	n/a	17-Jun	400.00	4.0
ROYCE TRADING	012	TIN	S	500	23.18	n/a	21-Apr	25.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	TLB	B	100,000	12.54	n/a	n/a	4,000.00	4.0
ROYCE TRADING	012	TOL	B	1,000	17.77	n/a	n/a	50.00	5.0
DAVIDSON KEMPNER ADVISOR	040	TXI	B	8,064	31.45	n/a	n/a	403.20	5.0
ROYCE TRADING	012	TXI	B	500	31.90	n/a	n/a	25.00	5.0
BENNETT LAWRENCE	028	UAUA	B	6,550	23.92	n/a	n/a	262.00	4.0
SIGMA CAPITAL ASSOCIATES	041	URBN	SS	25,000	36.65	n/a	n/a	875.00	3.5
BALYASNY ASSET MGMT	044	WBC	B	4,000	33.07	n/a	27-May	200.00	5.0
TRELLUS PARTNERS LP	028	WBC	B	1,900	33.34	n/a	27-May	95.00	5.0
ACK ASSET	028	WMS	SS	7,800	44.63	n/a	n/a	234.00	3.0
LEVEL GLOBAL SHARED ACCT	257	WRC	S	50,000	41.40	n/a	14-May	1,500.00	3.0
DIAMONDBACK CAP MGMT	232	XME	B	10,000	51.67	n/a	n/a	300.00	3.0
ROYCE TRADING	012	ZQK	S	10,000	4.95	n/a	10-May	300.00	3.0
TOTAL (Trade Date) :								77,844.80	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	06/17	ROYCE TRADING (ROYC169)	012	HYC	S	500	4.78	n/a	n/a	15.00	3.0
AO,	06/17	ROYCE TRADING (ROYC59)	012	HYC	S	19,300	4.78	n/a	n/a	579.00	3.0
AO,	06/17	ROYCE TRADING (ROYC33)	012	HYC	S	5,200	4.78	n/a	n/a	156.00	3.0
AO,CXL	06/17	BUCK CAPITAL MGMT (BUCKJPMBS)	001	SKS	SS	48,000	9.01	n/a	n/a	1920.00	4.0
AO,CRT	06/17	BUCK CAPITAL MGMT (BUCKJPMBS)	001	SKS	SS	48,000	9.01	n/a	n/a	1440.00	3.0
AO,CXL	06/17	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	SKS	SS	2,000	9.01	n/a	n/a	80.00	4.0
AO,CRT	06/17	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	SKS	SS	2,000	9.01	n/a	n/a	60.00	3.0
AO,CXL	06/15	STANDARD PACIFIC CAPITAL (SPACUBS)	040	WMS	B	40,000	45.70	n/a	n/a	1600.00	4.0
AO,CRT	06/15	STANDARD PACIFIC CAPITAL (SPACUBS)	040	WMS	B	40,000	45.70	n/a	n/a	2000.00	5.0
TOTAL (AO, CXL, CRT) :										650.00	

TOTAL (Trade Date):	77,844.80
TOTAL (AO, CXL, CRT):	650.00

TOTAL (RUN DATE) / NFS:	78,494.80
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION