



Daily Compliance Activity Report

Trade Date: 03/08/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CENTURION PARTNERS	041	AEO	B	20,000	16.92	n/a	5-Mar	800.00	4.0
ARMATURE CAPITAL	041	AKS	B	50,000	24.20	n/a	n/a	2,000.00	4.0
AVESTA CAP	229	ANF	B	25,000	42.84	n/a	5-Mar	750.00	3.0
MILLRACE FUND LP	028	ARGN	SS	2,500	11.13	n/a	11-Feb	75.00	3.0
SCOPUS FUND	041	AXL	B	216,400	9.95	n/a	8-Feb	10,820.00	5.0
TROUBH PART	067	AXL	S	25,000	9.85	n/a	8-Feb	1,250.00	5.0
MARINER/TRICADIA	041	CNK	S	20,000	17.41	n/a	n/a	1,000.00	5.0
OSS CAPITAL/KEVIN DANN	067	CPLA	SS	5,000	85.02	n/a	n/a	150.00	3.0
CASTLE ARK	031	CSCO	B	305,814	25.68	n/a	n/a	18,348.84	6.0
ROSEDALE CAPITAL	046	CSCO	S	10,000	25.72	n/a	n/a	500.00	5.0
MARINER/TRICADIA	041	CXW	B	10,000	21.15	n/a	n/a	400.00	4.0
WAGONHOUND/NIC	048	DPZ	S	4,673	14.02	n/a	3-Mar	186.92	4.0
FIRST NEW YORK LEDDY	035	ETFC	B	10,000	1.67	n/a	n/a	600.00	6.0
BASIX	033	FL	B	16,000	13.97	n/a	4-Mar	480.00	3.0
VISIUM/BALY BOBBY	044	FL	B	9,600	14.00	n/a	4-Mar	480.00	5.0
RBC CAPITAL MARKETS	040	FTI	SS	20,000	61.32	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COOPER CREEK PART	028	GCO	SS	5,000	28.46	n/a	n/a	200.00	4.0
ROARING BLUE LION MGMT	012	GCO	B	3,121	28.55	n/a	n/a	31.21	1.0
ROARING BLUE LION MGMT	012	GCO	S	11,421	28.53	n/a	n/a	456.84	4.0
ST OF MICHIAN	047	GE	S	330,000	16.33	n/a	n/a	9,900.00	3.0
CRAMER ROSENTHAL/SCOTT	012	HES	S	12,710	61.26	n/a	n/a	508.40	4.0
IMPALA ASSET MMT	012	JBHT	B	13,361	35.36	n/a	n/a	534.44	4.0
BMO NESBITT BURNS	041	LPX	SS	50,000	8.51	n/a	23-Feb	2,500.00	5.0
BUCK CAPITAL MGMT	001	LTD	S	20,000	23.50	n/a	n/a	800.00	4.0
OSS CAPITAL/KEVIN DANN	067	LYV	B	25,000	14.45	n/a	n/a	750.00	3.0
US BANCORP	030	MYL	B	196,346	21.47	n/a	8-Mar	9,817.30	5.0
COOPER CREEK PART	028	NP	SS	5,000	17.00	n/a	3-Mar	200.00	4.0
JENNISON	032	NTAP	B	54,200	32.53	n/a	n/a	2,005.40	3.7
PHILADELPHIA FINANCIAL	033	OZM	S	13,496	14.74	n/a	2-Mar	539.84	4.0
OSS CAPITAL/KEVIN DANN	067	PCL	B	25,000	36.94	n/a	2-Feb	750.00	3.0
THE BOSTON COMPANY	039	PLCE	S	33,860	41.05	n/a	2-Mar	1,354.40	4.0
COPIA CAPITAL	031	PQ	S	50,000	6.33	n/a	n/a	1,750.00	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
OSS CAPITAL/KEVIN DANN	067	QCOM	B	25,000	38.93	n/a	n/a	750.00	3.0
ST OF MICHIAN	047	QCOM	S	115,000	38.86	n/a	n/a	3,450.00	3.0
OSS CAPITAL/KEVIN DANN	067	RGC	S	125,000	16.36	n/a	n/a	3,750.00	3.0
EXIS CAPITAL	044	SKS	B	25,000	7.49	n/a	n/a	1,250.00	5.0
COBALT	041	SLM	S	150,000	12.10	n/a	8-Mar	7,500.00	5.0
SCOPIA CAPITAL	048	SPY	S	68,478	114.32	n/a	n/a	2,739.12	4.0
MERRILL ASSET/BLACKROCK	214	SSYS	B	4,700	27.27	n/a	n/a	188.00	4.0
HIGHFIELDS CAP MGMT	039	S	SS	200,000	3.40	n/a	n/a	8,000.00	4.0
IMPALA ASSET MMT	012	WERN	B	3,251	22.76	n/a	n/a	130.04	4.0
FARALLON CAP MGMT	040	WLP	S	75,300	62.00	n/a	n/a	3,012.00	4.0
BUCK CAPITAL MGMT	001	WRC	S	10,000	45.16	n/a	2-Mar	400.00	4.0
COPIA CAPITAL	031	XOM	S	46,804	66.36	n/a	n/a	1,638.14	3.5
TOTAL (Trade Date) :								103,545.89	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/05	OSS CAPITAL/KEVIN DANN (OSSCCITI)	067	CCK	S	125,000	27.16	n/a	n/a	6250.00 5.0
AO,CRT	03/05	OSS CAPITAL/KEVIN DANN (OSSCMS)	067	CCK	S	125,000	27.16	n/a	n/a	6250.00 5.0
AO,	03/05	OSS CAPITAL/KEVIN DANN (OSSCJPMOR)	067	CRI	S	24,857	29.47	n/a	n/a	745.71 3.0
AO,CRT	03/05	OSS CAPITAL/KEVIN DANN (OSSCMS)	067	CRI	S	143	29.47	n/a	n/a	7.15 5.0
AO,CXL	03/05	OSS CAPITAL/KEVIN DANN (OSSCCITI)	067	CRI	S	25,000	29.47	n/a	n/a	1250.00 5.0
AO,	03/05	SUN AMERICA ASSET MGMT (SUNAJUAN)	030	ES	S	172,179	5.94	n/a	n/a	6887.16 4.0
AO,	03/05	SUN AMERICA ASSET MGMT (SUNAJUVG)	030	ES	S	49,575	5.94	n/a	n/a	1983.00 4.0
AO,	03/05	SUN AMERICA ASSET MGMT (SUNAJUNH)	030	ES	S	25,054	5.94	n/a	n/a	1002.16 4.0
AO,	03/05	SUN AMERICA ASSET MGMT (SUNAJUMH)	030	ES	S	14,392	5.94	n/a	n/a	575.68 4.0
AO,CXL	03/04	ADAGE CAPITAL MGMT (ADAGLONG)	030	FL	B	250,000	14.00	n/a	4-Mar	10000.00 4.0
AO,CRT	03/04	ADAGE CAPITAL MGMT (ADAGLONG)	030	FL	B	250,000	14.00	n/a	4-Mar	7500.00 3.0
AO,CXL	03/03	ADAGE CAPITAL MGMT (ADAGMS)	030	NAV	S	80,000	41.40	n/a	n/a	3200.00 4.0
AO,CRT	03/03	ADAGE CAPITAL MGMT (ADAGMS)	030	NAV	S	80,000	41.40	n/a	n/a	2400.00 3.0
AO,	03/05	OSS CAPITAL/KEVIN DANN (OSSCMS)	067	PSA	B	5,772	86.49	n/a	n/a	173.16 3.0
AO,	03/05	OSS CAPITAL/KEVIN DANN (OSSCFIDL)	067	PSA	B	647	86.49	n/a	n/a	19.41 3.0
AO,CXL	03/05	OSS CAPITAL/KEVIN DANN (OSSCCITI)	067	PSA	B	10,000	86.49	n/a	n/a	500.00 5.0
AO,CRT	03/05	OSS CAPITAL/KEVIN DANN (OSSCCITI)	067	PSA	B	3,581	86.49	n/a	n/a	179.05 5.0
CRT	03/08	FARALLON CAP MGMT (FARABULK F)	040	WLP	S	75,300	62.00	n/a	n/a	3012.00 4.0
CRT	03/08	COPIA CAPITAL (COPIGSPB)	031	XOM	S	46,804	66.36	n/a	n/a	1638.14 3.5
AO,CXL	03/05	THIRD COAST CAPITAL (3CAPPBOA)	035	ZLC	B	150,000	2.83	n/a	n/a	7500.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CRT	03/05	THIRD COAST CAPITAL (3CAPPBOA)	035	ZLC	B	150,000	2.83	n/a	n/a 4500.00 3.0

TOTAL (AO, CXL, CRT) :								8,172.62	
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TOTAL (Trade Date) :								103,545.89	
TOTAL (AO, CXL, CRT) :								8,172.62	

TOTAL (RUN DATE) / NFS :								111,718.51	
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION