



Daily Compliance Activity Report

Trade Date: 07/03/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		1023 HK	B	97,000	0.46	n/a	n/a	non-brg	0.1
ROYCE TRADING	031	AEO	S	2,400	20.19	n/a	2-Dec	120.00	5.0
SIMMONS VENTURES	041	ANN	B	5,000	25.43	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		APC	S	13,000	68.83	n/a	2-Jul	non-brg	1.0
THE JAY GOLDMAN	028	AXL	B	20,000	10.69	n/a	7-Jun	800.00	4.0
THE JAY GOLDMAN	028	AXL	SS	20,000	10.84	n/a	7-Jun	800.00	4.0
WOOSTER CAPITAL	035	AXL	S	100,000	10.72	n/a	7-Jun	4,000.00	4.0
B BUCK CAPITAL MGMT		AXP	S	18,300	59.19	n/a	28-Jun	non-brg	4.0
f MANNY I KORMAN	FID	BCSPRA	B	5000	24.82	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	BCSPRC	B	5000	25.22	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BID	SS	14,000	34.97	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		BONT	S	20,000	8.17	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		BONT	S	20,000	8.17	n/a	n/a	non-brg	3.0
THE JAY GOLDMAN	028	BWA	B	15,000	66.15	n/a	27-Apr	600.00	4.0
Õ Nilsa Vazquez		BZH	S	1000	3.39	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CAR	S	40,000	16.00	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f EDWARD W WHEELER	FID	CBT	S	50	2.30	n/a	26-Jun	0.00	0.0
B BUCK CAPITAL MGMT		COF	S	10,000	54.85	n/a	28-Jun	non-brg	4.0
GALT INVESTMENT	041	CONN	S	5,263	16.24	n/a	n/a	263.15	5.0
SIMMONS VENTURES	041	CROX	B	5,000	15.56	n/a	n/a	200.00	4.0
NEWLAND CAPITAL MGT	025	DCI	B	25,000	33.40	n/a	n/a	1,000.00	4.0
B BUCK CAPITAL MGMT		DFS	S	16,000	35.29	n/a	28-Jun	non-brg	4.0
f CICYLY S BACKUS	FID	DFZ	S	1000	13.74	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DSW	S	40,000	55.09	n/a	11-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		DSW	S	7,972	54.62	n/a	11-Feb	non-brg	4.0
f MANNY I KORMAN	FID	DUA	B	9391	24.32	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FBHS	S	50,000	23.24	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	FBHS	S	5,000	23.32	n/a	n/a	200.00	4.0
f CHRISTOPHER ROBERT C	FID	FSPTX	B	46	98.71	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	F	SS	250,000	9.66	n/a	2-Jul	10,000.00	4.0
RIDGECREST INV MGMT LLC	028	F	S	20,000	9.69	n/a	2-Jul	800.00	4.0
B BUCK CAPITAL MGMT		GCO	B	30,000	60.63	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	GGC	S	10,000	25.60	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GIL	S	10,000	27.58	n/a	12-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	S	10,000	27.58	n/a	12-Jun	non-brg	4.0
LEVIN CAPITAL STRATEGIES	041	GM	C	20,000	20.33	n/a	2-Jul	600.00	3.0
THE JAY GOLDMAN	028	GM	B	25,000	20.05	n/a	2-Jul	1,000.00	4.0
NEUBERGER BERMAN	036	GRC	B	3,100	30.91	n/a	n/a	124.00	4.0
B BUCK CAPITAL MGMT		HAIN	B	20,000	55.27	n/a	n/a	non-brg	4.0
DELTEC ASSET MGMT.	040	HAL	B	20,000	29.79	n/a	n/a	1,000.00	5.0
WEISS PECK - ROBECO INV		040 HMA	S	12,500	7.66	n/a	n/a	500.00	4.0
f EDWARD W WHEELER	FID	HWCC	S	200	1.10	n/a	n/a	0.00	0.0
CONIFER	025	IVV	B	4,000	137.82	n/a	n/a	160.00	4.0
B BUCK CAPITAL MGMT		IWM	C	30,389	81.50	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		IWM	C	46,400	81.50	n/a	n/a	non-brg	2.0
WEISS PECK - ROBECO INV		040 IWM	SS	17,300	81.53	n/a	n/a	519.00	3.0
B BUCK CAPITAL MGMT		JCP	C	50,000	21.84	n/a	2-Jul	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	JNJ	S	5,000	67.96	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		JPCMSBCM	C	27,817	108.46	n/a	n/a	non-brg	0.7
B BUCK CAPITAL MGMT		JPCMSBCM	C	38,957	108.46	n/a	n/a	non-brg	0.7
B BUCK CAPITAL MGMT		JPCMSBCM	C	800	108.47	n/a	n/a	non-brg	8.7

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		JPCMSBCM	C	800	108.47	n/a	n/a	non-brg	8.7
ß BUCK CAPITAL MGMT		JPCMSBCM	SS	27,817	108.46	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		JPCMSBCM	SS	38,957	108.46	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		JPM	S	125,400	35.83	n/a	2-Jul	non-brg	0.8
ß BUCK CAPITAL MGMT		JWN	B	105,000	50.48	n/a	28-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	B	110,000	50.48	n/a	28-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		KSS	SS	40,000	44.36	n/a	28-Jun	non-brg	4.0
WOOSTER CAPITAL	035	LEA	S	25,000	38.04	n/a	4-May	1,250.00	5.0
f MANNY I KORMAN	FID	MERPRK	B	5000	24.67	n/a	n/a	0.00	0.0
ROYCE TRADING	031	MLI	S	16,043	43.67	n/a	14-Jun	802.15	5.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	1,119,162	108.50	n/a	n/a	non-brg	0.7
ß BUCK CAPITAL MGMT		MSMSBCM	C	50,000	108.51	n/a	n/a	non-brg	8.7
ß BUCK CAPITAL MGMT		MSMSBCM	C	50,000	108.51	n/a	n/a	non-brg	8.7
ß BUCK CAPITAL MGMT		MSMSBCM	C	962,038	108.50	n/a	n/a	non-brg	0.7
ß BUCK CAPITAL MGMT		MSMSBCM	SS	1,119,162	108.50	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MSMSBCM	SS	962,038	108.50	n/a	n/a	non-brg	0.0
SCOPUS FUND	041	M	B	14,100	33.68	n/a	28-Jun	564.00	4.0
EMPYREAN CAPITAL	084	NAV	S	22,600	28.90	n/a	13-Jun	678.00	3.0
f JASON ERIC COHEN	FID	NFLX	B	75	71.90	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	PAG	B	24,000	22.51	n/a	26-Apr	960.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f GAJANTHAN BALAKANES	FID	PVSW	S	76	7.45	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SAND SS	SS	40,000	12.81	n/a	n/a	non-brg	1.9
ß BUCK CAPITAL MGMT		SBUX	SS	40,000	52.16	n/a	5-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		SCSS	B	30,000	21.67	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHOO	B	30,000	31.66	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SIG	S	58,484	45.64	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKUL	S	10,000	14.73	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SPY	C	13,875	137.37	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SPY	C	41,896	137.45	n/a	n/a	non-brg	0.8
f JOSEPH CIRO AMATURO	FID	SRI	B	3190	6.83	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	SSI	S	1274	18.82	n/a	n/a	0.00	0.0
ROSEDALE CAPITAL	046	SWN	S	10,000	32.39	n/a	2-Jul	500.00	5.0
ß BUCK CAPITAL MGMT		TEX	S	40,000	18.56	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		TIF	SS	20,000	52.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TJX	S	30,000	42.46	n/a	28-Jun	non-brg	1.0
f EDWARD W WHEELER	FID	TWI	S	200	3.00	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		ULTA	B	20,000	93.71	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		VFC	B	10,000	132.31	n/a	27-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		VFC	B	10,000	132.36	n/a	27-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		V	S	4,000	125.94	n/a	30-May	non-brg	1.0
SCOPUS FUND	041	WPP	S	21,832	9.77	n/a	n/a	873.28	4.0
ß BUCK CAPITAL MGMT		ZUMZ	B	30,000	40.04	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):							29,113.58		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/29	ACK ASSET	028	LULU	S	10,045	59.31	n/a	7-Jun	301.35 3.0
AO,CRT	06/29	ACK ASSET	028	LULU	SS	15,955	59.31	n/a	7-Jun	478.65 3.0
AO,CXL	06/29	ACK ASSET	028	LULU	SS	26,000	59.31	n/a	7-Jun	780.00 3.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date):	29,113.58
TOTAL (AO, CXL, CRT):	0.00

TOTAL (RUN DATE) / NFS:	29,113.58
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 3-Jul.
NONE

MRI Securities without Trade Activity on 3-Jul.
DLTR

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)