



Daily Compliance Activity Report

Trade Date: 08/06/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CORVEX CAPITAL	041	AIG	S	20,000	32.30	n/a	n/a	600.00	0.0
G WEISS /IAN	025	ATI	B	21,037	30.76	n/a	3-Aug	841.48	0.0
ROSEDALE CAPITAL	046	AXL	S	30,000	10.54	n/a	30-Jul	1,200.00	4.0
WOOSTER CAPITAL	035	AXL	S	50,000	10.72	n/a	30-Jul	2,500.00	5.0
BASSWOOD PARTNERS	267	BGC	B	9,400	26.65	n/a	n/a	376.00	0.0
SANDLER CAPITAL	028	CHD	S	90,000	55.97	n/a	8-May	4,500.00	0.0
SCOPUS FUND	041	COST	B	20,000	96.88	n/a	n/a	800.00	4.0
BALYASNY ASSET MGMT	044	DDS	S	10,000	69.05	n/a	n/a	500.00	0.0
BRONSON POINT	036	DE	SS	25,000	78.65	n/a	11-Jul	750.00	0.0
BALYASNY ASSET MGMT	044	DSW	SS	10,000	59.73	n/a	11-Feb	500.00	0.0
FORTRESS INVESTMENT (US)	036	ETN	B	18,000	44.71	n/a	25-Jul	720.00	0.0
SYMPHONY ASSET	033	GES	SS	15,410	29.36	n/a	18-Jul	462.30	0.0
CRAMER ROSENTHAL	070	IGT	S	450	11.19	n/a	13-Jun	18.00	0.0
CSFB GLOBAL PROPRIETARY	041	IP	B	25,000	32.65	n/a	27-Jul	1,250.00	5.0
FORTRESS INVESTMENT (US)	036	ITW	SS	28,000	55.81	n/a	n/a	1,120.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	JNY	B	88,163	10.94	n/a	26-Jul	3,526.52	4.0
SIMMONS VENTURES	041	JNY	SS	15,000	10.80	n/a	26-Jul	600.00	4.0
SCOPUS FUND	041	JWN	SS	50,000	54.45	n/a	3-Aug	2,000.00	4.0
MILLRACE FUND LP	028	LEA	B	6,000	37.64	n/a	3-Aug	240.00	4.0
OZ MGMT	040	LEA	S	25,000	38.46	n/a	3-Aug	750.00	0.0
BALYASNY ASSET MGMT	044	LNCE	SS	10,000	22.98	n/a	n/a	500.00	0.0
SCOPUS FUND	041	M	B	25,000	36.94	n/a	3-Aug	1,000.00	4.0
OMEGA ADVISORS	041	OCN	S	50,000	22.30	n/a	n/a	2,000.00	0.0
STANDARD PACIFIC CAPITAL	084	RAX	B	220,000	48.30	n/a	n/a	11,000.00	5.0
SENATOR INVESTMENT GROUP	036	RRC	B	50,000	63.56	n/a	23-Jul	1,500.00	3.0
SYMPHONY ASSET	033	SBUX	B	14,070	43.78	n/a	27-Jul	422.10	0.0
BASIX	033	SPR	B	17,200	24.33	n/a	3-Aug	516.00	3.0
KLEINHEINZ CAP PART	031	SWY	B	100,000	15.59	n/a	n/a	5,000.00	5.0
CAPITAL RESEARCH GROUP		296	UAL	B	240,000	18.32	n/a	n/a	8,400.00 0.0
FORTRESS INVESTMENT (US)	036	UTX	SS	12,000	77.09	n/a	n/a	480.00	0.0
BALYASNY ASSET MGMT	044	VRA	SS	20,000	22.55	n/a	n/a	1,000.00	0.0

TOTAL (Trade Date):

55,072.40

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									55,072.40
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									55,072.40

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION