



# Daily Compliance Activity Report

Trade Date: 06/26/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f</b> <b>CICILY S BACKUS</b>	<b>FID</b>	<b>AAPL</b>	<b>S</b>	<b>300</b>	<b>142.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	ACOR	B	50,000	27.87	n/a	n/a	1,500.00	3.0
JENNISON	032	AMAT	S	300,000	10.96	n/a	n/a	11,100.00	3.7
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>SS</b>	<b>50,000</b>	<b>26.45</b>	<b>3-Jun</b>	<b>18-Jun</b>	<b>2,000.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>40,000</b>	<b>33.72</b>	<b>n/a</b>	<b>5-Jun</b>	<b>1,600.00</b>	<b>4.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>30,000</b>	<b>33.69</b>	<b>n/a</b>	<b>5-Jun</b>	<b>non-brg</b>	<b>1.0</b>
<b>f</b> <b>KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>ATSI</b>	<b>S</b>	<b>10000</b>	<b>3.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	AUXL	B	50,000	30.36	n/a	n/a	1,500.00	3.0
CENTURION PARTNERS	041	AXL	B	15,000	3.40	10-Jun	10-Jun	600.00	4.0
CHARTER OAK	033	AXL	B	250,000	3.32	10-Jun	10-Jun	7,500.00	3.0
CIRCLE T/SES PART	035	AXL	B	10,000	3.25	10-Jun	10-Jun	300.00	3.0
DSG CAPITAL	225	AXL	B	100,000	3.25	10-Jun	10-Jun	3,000.00	3.0
JP MORGAN VENTURES	046	BEBE	B	30,000	6.78	n/a	n/a	900.00	3.0
RAIL-SPLITTER	031	BIG	B	15,000	21.17	28-May	24-Jun	750.00	5.0
<b>f</b> <b>JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>BKF</b>	<b>B</b>	<b>85</b>	<b>35.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>BYD</b>	<b>B</b>	<b>100,000</b>	<b>8.83</b>	<b>n/a</b>	<b>7-May</b>	<b>non-brg</b>	<b>3.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROTHSCHILD ASSET	040	CBE	S	40,060	30.34	n/a	27-Apr	1,802.70	4.5
GARDNER LEWIS ASSET MGMT	028	CBS	SS	30,360	7.05	n/a	n/a	1,518.00	5.0
Ⓕ BUCK CAPITAL MGMT		CHS	S	179,550	9.54	n/a	30-Jan	non-brg	2.5
Ⓕ BUCK CAPITAL MGMT		CHS	S	250,000	9.85	n/a	30-Jan	non-brg	3.0
Ⓕ BUCK CAPITAL MGMT		CHS	S	435,920	9.33	n/a	30-Jan	non-brg	1.0
Ⓕ BUCK CAPITAL MGMT		CHS	S	46,450	9.57	n/a	30-Jan	non-brg	1.0
JP MORGAN VENTURES	046	CHS	SS	20,000	10.11	n/a	30-Jan	1,000.00	5.0
DIAMONDBACK CAP MGMT	232	CNS	C	10,000	14.40	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	COH	B	30,000	27.00	n/a	22-Apr	1,200.00	4.0
f DAVID GEORGE BUCK	FID	COPSV	B	5	2.86	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	COPWA	S	7	2.35	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		COST	SS	25,000	46.13	n/a	27-Jul	non-brg	2.0
Ⓕ BUCK CAPITAL MGMT		COST	SS	25,000	46.21	n/a	27-Jul	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	CVGI	B	10000	1.83	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		DAL	B	50,000	5.74	n/a	n/a	non-brg	3.0
CAMBIAR CAP	046	DD	B	41,800	25.39	n/a	19-Jun	1,672.00	4.0
Ⓕ BUCK CAPITAL MGMT		DECK	S	10,000	72.99	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	046	DLR	S	63,880	34.06	n/a	n/a	3,194.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOGGIN CAP	039	DTV	S	66,000	24.70	n/a	4-Jan	2,640.00	4.0
G WEISS /SASHA	070	EOG	SS	15,000	67.79	n/a	n/a	600.00	4.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>EPG</b>	<b>S</b>	<b>25000</b>	<b>0.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>FINL</b>	<b>S</b>	<b>520</b>	<b>7.40</b>	<b>n/a</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FL</b>	<b>SS</b>	<b>180,000</b>	<b>10.90</b>	<b>27-Apr</b>	<b>26-Jun</b>	<b>7,200.00</b>	<b>4.0</b>
ROXBURY CAPITAL MGMT	035	FL	S	400,000	10.87	27-Apr	26-Jun	16,000.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>FOLD</b>	<b>S</b>	<b>1750</b>	<b>10.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CIRCLE T/SES PART	035	F	B	25,000	5.79	n/a	3-Jun	750.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>40,000</b>	<b>19.23</b>	<b>n/a</b>	<b>10-Jan</b>	<b>1,600.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>40,000</b>	<b>16.02</b>	<b>25-Jun</b>	<b>25-Jun</b>	<b>non-brg</b>	<b>4.0</b>
GRANAHAN INV	039	GPI	B	7,600	24.95	n/a	29-Apr	304.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>10,000</b>	<b>34.81</b>	<b>21-May</b>	<b>5-Jun</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HAS</b>	<b>C</b>	<b>30,240</b>	<b>24.73</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HAS</b>	<b>C</b>	<b>79,760</b>	<b>24.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HGG</b>	<b>B</b>	<b>10,000</b>	<b>16.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IP</b>	<b>B</b>	<b>40,000</b>	<b>13.99</b>	<b>23-Jun</b>	<b>23-Jun</b>	<b>non-brg</b>	<b>4.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
	ELM RIDGE ADVISORS	044	IP	B	39,400	13.85	23-Jun	23-Jun	1,576.00	4.0
	FIRST NY SEC/CHRIS	041	IP	B	25,000	13.99	23-Jun	23-Jun	1,250.00	5.0
<b>f</b>	<b>DAVID GEORGE BUCK</b>	<b>FID</b>	<b>ISTA</b>	<b>B</b>	<b>2500</b>	<b>4.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
	ACK ASSET	028	IWM	SS	17,500	51.42	n/a	n/a	525.00	3.0
	BUCK CAPITAL MGMT	001	JCG	B	34,500	25.94	n/a	30-Jan	1,380.00	4.0
	SCOPUS FUND	041	JCG	B	5,000	25.97	n/a	30-Jan	250.00	5.0
	BUCK CAPITAL MGMT	001	JCP	B	133,650	28.17	13-Apr	19-Jun	5,346.00	4.0
<b>B</b>	BUCK CAPITAL MGMT		JCP	C	16,350	28.10	13-Apr	19-Jun	non-brg	1.0
<b>B</b>	BUCK CAPITAL MGMT		JOSB	B	40,000	31.83	n/a	n/a	non-brg	4.0
	BUCK CAPITAL MGMT	001	JWN	B	50,000	19.71	20-Feb	5-Jun	2,000.00	4.0
	AELTUS/ING INVESTMENT MGT	215	MIG	S	98,901	6.41	n/a	n/a	3,461.55	3.5
	TROUBH PART	067	MOT	S	25,000	6.60	n/a	n/a	1,250.00	5.0
<b>B</b>	BUCK CAPITAL MGMT		MVL	C	109,372	35.48	n/a	n/a	non-brg	4.0
	JP MORGAN VENTURES	046	M	B	90,000	11.66	n/a	5-Jun	4,500.00	5.0
	BUCK CAPITAL MGMT	001	NKE	SS	30,000	50.65	n/a	n/a	1,200.00	4.0
	BUCK CAPITAL MGMT	001	OXM	SS	30,000	11.29	n/a	n/a	1,200.00	4.0
<b>B</b>	BUCK CAPITAL MGMT		PERY	S	20,000	7.63	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		PERY	S	5,000	7.67	n/a	n/a	non-brg 2.0
ACK ASSET	028	PRIM	S	25,358	7.62	n/a	n/a	760.74 3.0
BUCK CAPITAL MGMT	001	PVH	B	100,000	28.76	20-Apr	21-May	4,000.00 4.0
CRAMER ROSENTHAL/SCOTT	012	QCOM	B	47,100	46.03	n/a	n/a	1,648.50 3.5
BUCK CAPITAL MGMT	001	RL	B	30,000	54.45	25-Jun	25-Jun	1,200.00 4.0
G WEISS /SASHA	070	RRC	B	10,000	42.04	n/a	n/a	400.00 4.0
BUCK CAPITAL MGMT		SHOO	C	40,000	25.13	n/a	n/a	non-brg 4.0
MELLON/BANK OF NEW YORK	046	SWY	S	197,705	20.50	n/a	n/a	9,885.25 5.0
JMP ASSET MGMT	210	TAST	S	10,297	6.34	n/a	n/a	411.88 4.0
JONATHAN RICHARD HAR	FID	TBT	B	40	50.62	n/a	n/a	0.00 0.0
KEVIN MICHAEL O'GORM	FID	TBT	B	500	50.94	n/a	n/a	0.00 0.0
KEVIN MICHAEL O'GORM	FID	TBT	B	500	51.01	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		TGT	SS	100,000	39.66	25-Feb	22-Jun	non-brg 4.0
JP MORGAN VENTURES	046	TGT	SS	15,000	39.65	25-Feb	22-Jun	750.00 5.0
BUCK CAPITAL MGMT		THI	SS	10,000	25.06	n/a	24-Apr	non-brg 1.0
BUCK CAPITAL MGMT		THI	SS	90,000	25.01	n/a	24-Apr	non-brg 4.0
BUCK CAPITAL MGMT		UA	SS	30,000	22.54	29-Apr	29-Apr	non-brg 4.0
BUCK CAPITAL MGMT		WRC	S	20,000	33.26	13-May	13-May	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AELTUS/ING INVESTMENT MGT	215	WSO	B	41,192	49.28	n/a	n/a	1,441.73	3.5
<b>TOTAL (Trade Date):</b>								<b>115,167.35</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/25	HIGHBRIDGE CAPITAL	038	SOHU	B	22,000	65.50	n/a	n/a	880.00 4.0
AO,CXL	06/25	HIGHBRIDGE CAPITAL	038	SOHU	B	22,000	65.50	n/a	n/a	1100.00 5.0
AO,	06/25	PHILADELPHIA FINANCIAL	033	WDR	SS	20,000	25.88	5-Jun	25-Jun	800.00 4.0

**TOTAL (AO, CXL, CRT) :****580.00**

TOTAL (Trade Date) :

115,167.35

TOTAL (AO, CXL, CRT) :

580.00

**TOTAL (RUN DATE) / NFS:****115,747.35**

MRI Securities with Trade Activity on 26-Jun.  
NONE

MRI Securities without Trade Activity on 26-Jun.  
**AF, HCBK, PBCT**

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 37%  
YTD = 20%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**