



## Daily Compliance Activity Report

Trade Date: 03/28/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STANDARD PACIFIC CAPITAL	040	AAP	S	77,000	38.93	n/a	n/a	3,850.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ABG</b>	<b>S</b>	<b>10,000</b>	<b>27.74</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
CAPITAL GROWTH MGMT.	241	ACF	B	30,000	23.39	n/a	n/a	1,500.00	5.0
SUNOVA CAPITAL	031	AMG	S	10,000	106.65	5-Mar	28-Mar	500.00	5.0
COUGAR TRADING	044	ANF	B	5,000	75.95	2-Feb	21-Mar	250.00	5.0
THIRD COAST CAPITAL	035	ANF	SS	10,000	76.65	2-Feb	21-Mar	500.00	5.0
COUGAR TRADING	044	ARO	B	5,000	40.09	23-Oct	16-Mar	250.00	5.0
DOWNTOWN ASSOC	033	ASPM	B	200	15.50	n/a	n/a	7.00	3.5
CATAPULT	040	AVZ	B	20,000	22.16	5-Mar	28-Mar	1,000.00	5.0
COUGAR TRADING	044	AVZ	B	10,000	22.19	5-Mar	28-Mar	500.00	5.0
COUGAR TRADING	044	AVZ	S	10,000	22.18	5-Mar	28-Mar	500.00	5.0
DEEPHAVEN	031	AVZ	B	10,000	22.34	5-Mar	28-Mar	500.00	5.0
PHILADELPHIA FINANCIAL	031	AVZ	B	52,118	22.44	5-Mar	28-Mar	2,605.90	5.0
PROSPECTOR PARTNERS LLC	036	AVZ	B	44,900	22.23	5-Mar	28-Mar	1,796.00	4.0
UBS OCONNOR	031	AXL	SS	30,000	27.76	n/a	n/a	900.00	3.0
STANDARD PACIFIC CAPITAL	040	BBBY	SS	102,000	39.81	n/a	n/a	5,100.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBW</b>	<b>S</b>	<b>5,000</b>	<b>27.04</b>	<b>19-Mar</b>	<b>19-Mar</b>	<b>200.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>MCCARTAN</b>	<b>028</b>	<b>BBY</b>	<b>S</b>	<b>150</b>	<b>47.95</b>	<b>13-Dec</b>	<b>23-Mar</b>	<b>0.00</b>	<b>0.0</b>
SAXON WOODS ADVISORS	038	BC	S	10,000	31.41	n/a	n/a	500.00	5.0
CIBC ADV.	060	BE	SS	10,000	7.64	n/a	n/a	400.00	4.0
BRIGHTPOINT CAP	028	BIG	SS	10,000	31.79	9-Mar	27-Mar	500.00	5.0
ROARING BLUE LION MGMT	012	BIG	SS	15,300	31.92	9-Mar	27-Mar	765.00	5.0
WHITE PINE CAPITAL	030	BONT	S	175	54.82	9-Mar	16-Mar	8.75	5.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>BOW</b>	<b>B</b>	<b>29,700</b>	<b>23.39</b>	<b>n/a</b>	<b>28-Mar</b>	<b>1,485.00</b>	<b>5.0</b>
WALKER SMITH	031	CBST	S	22,400	21.03	n/a	n/a	1,120.00	5.0
CASTLEROCK	040	CFC	B	46,400	33.50	n/a	n/a	2,320.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CHS</b>	<b>B</b>	<b>10,000</b>	<b>24.02</b>	<b>27-Mar</b>	<b>27-Mar</b>	<b>400.00</b>	<b>4.0</b>
WAGONHOUND	048	CHS	B	10,000	23.98	27-Mar	27-Mar	400.00	4.0
WALKER SMITH	031	CHS	S	80,000	23.99	27-Mar	27-Mar	4,000.00	5.0
SUNOVA CAPITAL	031	CLMS	SS	50,000	22.21	12-Mar	28-Mar	2,500.00	5.0
MERRILL ASSET	032	CNB	S	42,600	25.01	n/a	n/a	2,130.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>5,000</b>	<b>49.87</b>	<b>23-Feb</b>	<b>23-Feb</b>	<b>200.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>SS</b>	<b>28,300</b>	<b>25.53</b>	<b>n/a</b>	<b>n/a</b>	<b>1,132.00</b>	<b>4.0</b>
BEAR STEARNS ASSET MGMT.	033	CTX	B	10,000	41.22	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OPTIMUM INV ADV	030	DBD	B	12,000	47.81	31-Jan	31-Jan	480.00	4.0
WAGONHOUND	048	DLTR	B	7,500	37.17	8-Feb	12-Mar	300.00	4.0
CIBC ADV.	060	EK	S	1,600	23.01	n/a	n/a	64.00	4.0
SAXON WOODS ADVISORS	038	ETH	S	10,000	34.75	n/a	n/a	500.00	5.0
TRUST CO OF THE WEST/NY	040	ETH	B	41,350	34.89	n/a	n/a	1,654.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>ETH</b>	<b>S</b>	<b>700</b>	<b>34.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CIBC ADV.	060	FCX	SS	10,000	64.25	n/a	n/a	400.00	4.0
COUGAR TRADING	044	FDO	B	5,000	28.67	3-Nov	27-Mar	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FD</b>	<b>S</b>	<b>20,000</b>	<b>45.57</b>	<b>26-Jan</b>	<b>9-Mar</b>	<b>800.00</b>	<b>4.0</b>
ENTRUST CAPITAL	040	FOSL	B	58,200	26.49	21-Feb	21-Feb	2,910.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>5,000</b>	<b>41.12</b>	<b>n/a</b>	<b>10-Jan</b>	<b>200.00</b>	<b>4.0</b>
THIRD COAST CAPITAL	035	GYMB	S	17,500	40.31	4-Jan	14-Mar	875.00	5.0
STADIA CAPITAL	035	IGT	S	44,000	39.98	n/a	2-Feb	1,760.00	4.0
DOWNTOWN ASSOC	033	IWM	B	100,000	79.01	n/a	n/a	3,500.00	3.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCP</b>	<b>B</b>	<b>10,000</b>	<b>80.65</b>	<b>23-Feb</b>	<b>5-Mar</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	JCP	B	5,000	80.58	23-Feb	5-Mar	250.00	5.0
RBC CAPITAL MARKETS	034	JCP	B	10,000	80.34	23-Feb	5-Mar	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	JWN	B	5,000	51.43	27-Feb	9-Mar	250.00	5.0
CUMBERLAND	038	JWN	B	20,000	51.16	27-Feb	9-Mar	800.00	4.0
BUCK CAPITAL MGMT	001	KCP	B	10,000	26.09	n/a	28-Feb	400.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	5,000	75.34	27-Dec	8-Mar	200.00	4.0
OPTIMUM INV ADV	030	LNCR	B	12,000	37.01	21-Dec	13-Feb	480.00	4.0
MKT MAKER	099	LNCR	S	200	37.06	21-Dec	13-Feb	0.00	0.0
XYLEM GLOBAL	091	LPX	B	19,700	20.35	20-Mar	20-Mar	985.00	5.0
BARROW HANLEY MEWHINNEY	031	MAT	S	27,400	27.83	n/a	n/a	1,233.00	4.5
LISTED ERR	099	MCS	B	5,700	23.43	n/a	n/a	0.00	0.0
LISTED ERR	099	MCS	S	5,700	23.13	n/a	n/a	0.00	0.0
MAIRS AND POWER INV	030	MDT	B	10,000	48.50	n/a	22-Apr	600.00	6.0
MAGUIRE	090	MEM	S	5,400	4.04	n/a	n/a	0.00	0.0
BARR	096	MEM	S	10,400	4.04	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	NCS	B	30,000	47.87	n/a	n/a	1,500.00	5.0
MAGUIRE	090	NGI	S	5,000	4.58	n/a	n/a	0.00	0.0
BARR	096	NGI	S	2,000	4.60	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	031	NOK	S	103,900	22.53	n/a	n/a	4,839.00	4.3
SPRINGBOC	039	NUCO	SS	4,200	25.03	n/a	n/a	210.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WALKER SMITH	031	NVTI	SS	60,000	16.73	n/a	n/a	3,000.00	5.0
STERLING JOHNSTON	035	NWY	B	28,400	15.26	23-Mar	23-Mar	1,420.00	5.0
VAN KAMPEN ADV	031	ODP	B	160,000	34.98	n/a	2-Mar	6,400.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>PLTE</b>	<b>S</b>	<b>250</b>	<b>19.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BLACKROCK/BOSTON	039	PNRA	B	200	58.74	n/a	n/a	8.00	4.0
JP MORGAN VENTURES	046	PSUN	SS	15,000	20.18	n/a	16-Mar	750.00	5.0
SYSTEMATIC FINANCIAL MGT	035	PVH	S	40,700	58.66	28-Mar	28-Mar	1,526.25	3.8
J L F ASSET MGMT	039	RFMD	SS	34,900	7.12	n/a	n/a	1,396.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>15,000</b>	<b>87.47</b>	<b>2-Feb</b>	<b>16-Feb</b>	<b>600.00</b>	<b>4.0</b>
CUMBERLAND	038	RRGB	B	2,300	37.75	n/a	n/a	92.00	4.0
BEAR STEARNS ASSET MGMT.	033	SBUX	B	50,000	31.64	n/a	1-Feb	2,000.00	4.0
GALLEON MGMT LP	033	SJM	B	5,000	53.74	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SKX	SS	5,000	34.16	n/a	13-Mar	250.00	5.0
TRELLUS PARTNERS LP	028	SSI	S	56,970	23.13	26-Feb	14-Mar	2,848.50	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>SSI</b>	<b>B</b>	<b>135</b>	<b>22.99</b>	<b>26-Feb</b>	<b>14-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>SSI</b>	<b>S</b>	<b>135</b>	<b>23.13</b>	<b>26-Feb</b>	<b>14-Mar</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BLACKROCK/BOSTON	039	SYKE	B	24,000	18.30	n/a	n/a	480.00	2.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TGT</b>	<b>B</b>	<b>5,000</b>	<b>59.86</b>	<b>n/a</b>	<b>8-Mar</b>	<b>200.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>B</b>	<b>10,000</b>	<b>26.41</b>	<b>21-Mar</b>	<b>21-Mar</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	TJX	B	10,000	26.57	21-Mar	21-Mar	500.00	5.0
RBC CAPITAL MARKETS	034	TJX	B	25,000	26.51	21-Mar	21-Mar	1,250.00	5.0
THIRD COAST CAPITAL	035	TTEC	S	10,000	35.65	n/a	n/a	500.00	5.0
COUGAR TRADING	044	TWB	B	5,000	36.62	22-Feb	22-Feb	250.00	5.0
SANDELL ADV	040	UIL	S	44,000	35.05	n/a	n/a	2,200.00	5.0
DIAMONDBACK CAP MGMT	232	UMPQ	SS	10,000	26.87	n/a	n/a	500.00	5.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>UPM</b>	<b>B</b>	<b>10,000</b>	<b>25.08</b>	<b>n/a</b>	<b>n/a</b>	<b>500.00</b>	<b>5.0</b>
WAGONHOUND	048	URBN	B	10,000	25.95	7-Dec	9-Mar	400.00	4.0
ACT II PARTNERS	067	VG	B	79,900	3.22	9-Mar	9-Mar	3,196.00	4.0
SANDLER CAPITAL	038	WEC	S	25,000	49.09	n/a	n/a	1,250.00	5.0
THIRD COAST CAPITAL	035	WMT	SS	40,000	47.01	n/a	21-Mar	2,000.00	5.0
BARROW HANLEY MEWHINNEY	031	XL	S	18,000	69.75	n/a	n/a	810.00	4.5
JP MORGAN VENTURES	046	ZLC	B	10,000	26.08	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SATELLITE ASSET MGMT	012	ZLC	B	11,300	26.04	n/a	n/a	565.00	5.0
<b>TOTAL (Trade Date) :</b>							<b>101,001.40</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/27	GALLEON MGMT LP (GALLBOA.05)	033	AMGN	B	300,000	56.87	n/a	26-Jan	15000.00 5.0
AO,CXL	03/27	DAVIDSON KEMPNER ADVISOR (DAVIBEAR)	217	IDU	B	36,000	97.38	n/a	n/a	1800.00 5.0
AO,CRT	03/27	DAVIDSON KEMPNER ADVISOR (DAVIGS)	217	IDU	B	36,000	97.38	n/a	n/a	1800.00 5.0
AO,	03/27	DAVIDSON KEMPNER ADVISOR (DAVIBEAR)	040	MIR	S	23,601	41.01	n/a	n/a	1180.05 5.0
AO,	03/27	DAVIDSON KEMPNER ADVISOR (DAVIGS)	040	MIR	S	26,399	41.01	n/a	n/a	1319.95 5.0
AO,CXL	03/27	DAVIDSON KEMPNER ADVISOR (DAVIGS)	217	MIR	S	50,000	41.01	n/a	n/a	2500.00 5.0
AO,CRT	03/27	LISTED ERR (LISTED ERR)	099	PCU	B	757	72.18	n/a	n/a	0.00 0.0
AO,CRT	03/27	LISTED ERR (LISTED ERR)	099	PCU	B	800	72.17	n/a	n/a	0.00 0.0
AO,CRT	03/27	LISTED ERR (LISTED ERR)	099	PCU	B	3,443	72.07	n/a	n/a	0.00 0.0
AO,	03/27	SANDELL ADV (SANLBS)	040	SRP	S	100,000	17.38	n/a	n/a	5000.00 5.0
AO,CRT	03/27	SATELLITE ASSET MGMT (SATECITI)	012	TSCO	B	5,000	51.99	n/a	n/a	250.00 5.0
AO,CXL	03/27	SATELLITE ASSET MGMT (SATEUBS/PA)	012	TSCO	B	5,000	51.99	n/a	n/a	250.00 5.0
AO,	03/27	MKT MAKER (MKT MAKER)	099	XMSR	S	1,500	13.34	n/a	n/a	0.00 0.0

**TOTAL (AO, CXL, CRT) :****20,000.00**

TOTAL (Trade Date) :

101,001.40

TOTAL (AO, CXL, CRT) :

20,000.00



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TOTAL (RUN DATE) / NFS:

121,001.40

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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION