



# Daily Compliance Activity Report

Trade Date: 09/19/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

| Account                             | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |         |     |
|-------------------------------------|-----|--------|-----|--------|-------|-----|----------|-------------|---------|-----|
| GUGGENHEIM GLOBAL TRADING (GGT) 251 | ADT |        | S   | 10,000 | 42.70 |     | n/a      | n/a         | 300.00  | 3.0 |
| FRED ALGER MGMT                     | 300 | ALKS   | S   | 860    | 35.06 | n/a | n/a      |             | 30.96   | 4.0 |
| FRED ALGER MGMT                     | 300 | ALK    | S   | 715    | 62.78 | n/a | 16-Sep   |             | 25.74   | 4.0 |
| f DAVID GEORGE BUCK                 | FID | AMRI   | B   | 1000   | 12.40 | n/a | n/a      |             | 0.00    | 0.0 |
| f MATTHEW FRANCIS BUCK              | FID | AMRI   | B   | 800    | 12.36 | n/a | n/a      |             | 0.00    | 0.0 |
| f JOSEPH CIRO AMATURO               | FID | ATI    | S   | 1012   | 32.42 | n/a | 16-Sep   |             | 0.00    | 0.0 |
| FRED ALGER MGMT                     | 300 | ATU    | S   | 1,650  | 39.41 | n/a | n/a      |             | 59.40   | 4.0 |
| GUGGENHEIM GLOBAL TRADING (GGT) 251 | A   |        | B   | 25,000 | 51.93 |     | n/a      | n/a         | 750.00  | 3.0 |
| f DAVID GEORGE BUCK                 | FID | BEN    | B   | 1000   | 52.73 | n/a | n/a      |             | 0.00    | 0.0 |
| B BUCK CAPITAL MGMT                 |     | BIG    | SS  | 10,000 | 37.70 | n/a | n/a      |             | non-brg | 4.0 |
| B BUCK CAPITAL MGMT                 |     | BIG    | SS  | 13,300 | 37.32 | n/a | n/a      |             | non-brg | 4.0 |
| B BUCK CAPITAL MGMT                 |     | BLMN   | S   | 25,000 | 23.78 | n/a | n/a      |             | non-brg | 4.0 |
| KORT                                | 025 | BMS    | SS  | 8,111  | 39.11 | n/a | n/a      |             | 243.33  | 3.0 |
| f JOSEPH CIRO AMATURO               | FID | BRCD   | S   | 10000  | 7.88  | n/a | n/a      |             | 0.00    | 0.0 |
| BTG PACTUAL                         | 040 | CAKE   | SS  | 25,000 | 44.46 | n/a | n/a      |             | 750.00  | 3.0 |

| Account                      | RR#        | Symbol      | B/S       | Shares        | Price        | MRI        | Research      | Comm. ¢/Shr.   |            |
|------------------------------|------------|-------------|-----------|---------------|--------------|------------|---------------|----------------|------------|
| BAIRD INVESTMENT             | 047        | CHD         | B         | 38,200        | 62.39        | n/a        | 11-Sep        | 1,910.00       | 5.0        |
| PRIMECAP MGMT                | 084        | CMA         | B         | 200           | 39.50        | n/a        | n/a           | 8.00           | 4.0        |
| IMPALA ASSET MMT             | 040        | CMI         | B         | 17,300        | 135.23       | n/a        | 18-Sep        | 865.00         | 5.0        |
| FRED ALGER MGMT              | 300        | CNC         | S         | 275           | 59.61        | n/a        | n/a           | 9.90           | 4.0        |
| IMPALA ASSET MMT             | 040        | CNW         | S         | 112,096       | 41.85        | n/a        | 21-Aug        | 5,604.80       | 5.0        |
| <b>B BUCK CAPITAL MGMT</b>   |            | <b>COH</b>  | <b>SS</b> | <b>20,000</b> | <b>55.57</b> | <b>n/a</b> | <b>n/a</b>    | <b>non-brg</b> | <b>4.0</b> |
| <b>B BUCK CAPITAL MGMT</b>   |            | <b>COH</b>  | <b>SS</b> | <b>9,000</b>  | <b>55.43</b> | <b>n/a</b> | <b>n/a</b>    | <b>non-brg</b> | <b>4.0</b> |
| FRED ALGER MGMT              | 300        | CPA         | S         | 340           | 139.00       | n/a        | n/a           | 12.24          | 4.0        |
| FRED ALGER MGMT              | 300        | CRL         | S         | 245           | 46.77        | n/a        | n/a           | 8.82           | 4.0        |
| <b>B BUCK CAPITAL MGMT</b>   |            | <b>CRMB</b> | <b>S</b>  | <b>50,000</b> | <b>1.04</b>  | <b>n/a</b> | <b>n/a</b>    | <b>non-brg</b> | <b>1.0</b> |
| IMPALA ASSET MMT             | 040        | CX          | B         | 33,000        | 12.09        | n/a        | n/a           | 990.00         | 3.0        |
| <b>f MARC PAUL LUCHANSKY</b> | <b>FID</b> | <b>DAL</b>  | <b>B</b>  | <b>100</b>    | <b>23.46</b> | <b>n/a</b> | <b>16-Sep</b> | <b>0.00</b>    | <b>0.0</b> |
| FRED ALGER MGMT              | 300        | DAN         | S         | 1,610         | 22.74        | n/a        | 18-Sep        | 57.96          | 4.0        |
| PATTEN & PATTEN              | 025        | DBD         | B         | 4,000         | 29.90        | n/a        | n/a           | 200.00         | 5.0        |
| LATEEF MGMT                  | 033        | DD          | S         | 1,750         | 60.42        | n/a        | n/a           | 234.00         | 14.8       |
| TIDE POINT CAPITAL           | 028        | DE          | B         | 10,000        | 85.25        | n/a        | n/a           | 300.00         | 3.0        |

| Account                      | RR# | Symbol | B/S | Shares  | Price  | MRI    | Research | Comm. ¢/Shr. |     |
|------------------------------|-----|--------|-----|---------|--------|--------|----------|--------------|-----|
| ASCEND PARTNERS              | 033 | DKS    | S   | 150,000 | 53.30  | 21-Aug | 19-Sep   | 4,500.00     | 3.0 |
| PROVIDENCE EQUITY CAP. MKTS. | 040 | DOLE   | S   | 106,000 | 13.59  | n/a    | n/a      | 2,120.00     | 2.0 |
| CASTLEROCK                   | 040 | DRI    | B   | 14,824  | 49.18  | n/a    | 10-Sep   | 444.72       | 3.0 |
| CASTLEROCK                   | 040 | DRI    | SS  | 4,000   | 49.50  | n/a    | 10-Sep   | 160.00       | 4.0 |
| OZ MGMT                      | 040 | DRI    | SS  | 89,792  | 49.14  | n/a    | 10-Sep   | 2,693.76     | 3.0 |
| MERRILL ASSET/BLACKROCK      | 085 | EDD    | S   | 53,000  | 14.51  | n/a    | n/a      | 2,120.00     | 4.0 |
| IMPALA ASSET MMT             | 040 | EMN    | B   | 20,000  | 79.32  | n/a    | n/a      | 1,000.00     | 5.0 |
| NEWLAND CAPITAL MGT          | 025 | ETN    | S   | 5,000   | 70.87  | n/a    | 6-Sep    | 200.00       | 4.0 |
| IMPALA ASSET MMT             | 040 | FDX    | SS  | 268     | 116.82 | n/a    | 19-Sep   | 13.40        | 5.0 |
| PENNANT CAP MGMT             | 250 | FLR    | S   | 11,000  | 71.27  | n/a    | n/a      | 220.00       | 2.0 |
| EGS ASSOCIATES LP            | 091 | FL     | B   | 4,000   | 33.43  | 26-Aug | 19-Sep   | 200.00       | 5.0 |
| ⚡ BUCK CAPITAL MGMT          |     | FNP    | B   | 50,000  | 25.24  | n/a    | n/a      | non-brg      | 4.0 |
| ⚡ BUCK CAPITAL MGMT          |     | FRAN   | S   | 15,000  | 19.36  | n/a    | n/a      | non-brg      | 4.0 |
| ⚡ BUCK CAPITAL MGMT          |     | FRAN   | S   | 15,000  | 19.43  | n/a    | n/a      | non-brg      | 4.0 |
| PENNANT CAP MGMT             | 250 | FWLT   | S   | 20,000  | 26.20  | n/a    | n/a      | 400.00       | 2.0 |
| MAPLE LANE CAPITAL           | 040 | FXI    | B   | 50,000  | 38.71  | n/a    | n/a      | 2,500.00     | 5.0 |
| OZ MGMT                      | 040 | F      | C   | 50,000  | 17.59  | n/a    | 19-Sep   | 1,500.00     | 3.0 |

| Account                         | RR# | Symbol | B/S | Shares | Price | MRI    | Research | Comm.¢/Shr. |            |
|---------------------------------|-----|--------|-----|--------|-------|--------|----------|-------------|------------|
| ß BUCK CAPITAL MGMT             |     | GES    | SS  | 15,000 | 30.93 | 29-Aug | 29-Aug   | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT             |     | GES    | SS  | 15,000 | 30.93 | 29-Aug | 29-Aug   | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT             |     | GES    | SS  | 15,000 | 31.01 | 29-Aug | 29-Aug   | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT             |     | GES    | SS  | 15,000 | 31.01 | 29-Aug | 29-Aug   | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT             |     | GES    | SS  | 15,000 | 31.01 | 29-Aug | 29-Aug   | non-brg     | 4.0        |
| f MARC PAUL LUCHANSKY           | FID | GILD   | B   | 100    | 64.07 | n/a    | n/a      | 0.00        | 0.0        |
| ß BUCK CAPITAL MGMT             |     | GIS    | C   | 10,000 | 49.19 | n/a    | n/a      | non-brg     | 4.0        |
| J. GOLDMAN                      | 028 | HRS    | B   | 4,000  | 57.93 | n/a    | n/a      | 160.00      | 4.0        |
| GUGGENHEIM GLOBAL TRADING (GGT) | 251 | HUN    | B   | 25,000 | 19.68 |        | n/a      | n/a         | 750.00 3.0 |
| FARALLON CAP MGMT               | 040 | IR     | S   | 30,509 | 65.73 | n/a    | n/a      | 1,220.36    | 4.0        |
| f MICHAEL C PERILLO             | FID | JBLU   | B   | 300    | 6.68  | n/a    | 9-Sep    | 0.00        | 0.0        |
| ß BUCK CAPITAL MGMT             |     | JOY    | S   | 52,396 | 53.93 | n/a    | n/a      | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT             |     | KMX    | S   | 24,600 | 51.40 | n/a    | n/a      | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT             |     | KMX    | S   | 40,000 | 51.38 | n/a    | n/a      | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT             |     | KSS    | SS  | 10,000 | 52.49 | n/a    | n/a      | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT             |     | KSS    | SS  | 50,000 | 52.54 | n/a    | n/a      | non-brg     | 4.0        |
| DC CAPITAL                      | 044 | KSS    | B   | 20,000 | 52.97 | n/a    | n/a      | 800.00      | 4.0        |
| ß BUCK CAPITAL MGMT             |     | LPNT   | B   | 10,000 | 46.91 | n/a    | n/a      | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT             |     | LPNT   | B   | 11,300 | 47.11 | n/a    | n/a      | non-brg     | 4.0        |

|   | Account                  | RR# | Symbol  | B/S | Shares | Price   | MRI   | Research | Comm.¢/Shr. |          |     |
|---|--------------------------|-----|---------|-----|--------|---------|-------|----------|-------------|----------|-----|
| f | IAN L MILLER             | FID | LPX     | S   | 75     | 17.95   | n/a   | 18-Sep   | 0.00        | 0.0      |     |
|   | STELLIAM INV ADV         | 086 | LPX     | B   | 50,000 | 18.12   | n/a   | 18-Sep   | 2,500.00    | 5.0      |     |
|   | FRED ALGER MGMT          | 300 | LTM     | S   | 810    | 51.01   | n/a   | n/a      | 29.16       | 4.0      |     |
|   | ROYSTONE CAPITAL         | 040 | LVLTT   | S   | 50,000 | 27.53   | n/a   | n/a      | 1,500.00    | 3.0      |     |
|   | IMPALA ASSET MMT         | 040 | MDLZ    | SS  | 948    | 32.91   | n/a   | n/a      | 37.92       | 4.0      |     |
| f | ANTHONY SUTERA           | FID | MEET    | B   | 5000   | 1.85    | n/a   | n/a      | 0.00        | 0.0      |     |
|   | ALLIANZ/NICOLAS APPLGATE |     | 084 MET |     | S      | 162,260 | 47.14 | n/a      | n/a         | 5,679.10 | 4.0 |
|   | BAIRD INVESTMENT         | 047 | MKC     | B   | 45,700 | 69.19   | n/a   | n/a      | 2,285.00    | 5.0      |     |
|   | BMO / M&I FUNDS          |     | 047 MLI |     | S      | 4,480   | 55.92 | n/a      | n/a         | 179.20   | 4.0 |
|   | IMPALA ASSET MMT         | 040 | MTH     | S   | 23,376 | 45.00   | n/a   | n/a      | 0.00        | 0.0      |     |
|   | FRED ALGER MGMT          | 300 | NCR     | B   | 17,420 | 39.20   | n/a   | n/a      | 627.12      | 4.0      |     |
|   | FRED ALGER MGMT          | 300 | NOW     | S   | 1,025  | 51.49   | n/a   | n/a      | 36.90       | 4.0      |     |
|   | FRED ALGER MGMT          | 300 | ONNN    | S   | 3,105  | 7.45    | n/a   | n/a      | 93.15       | 3.0      |     |
|   | FRED ALGER MGMT          | 300 | ONXX    | S   | 69,450 | 124.52  | n/a   | n/a      | 2,500.20    | 4.0      |     |
| B | BUCK CAPITAL MGMT        |     | PETM    | SS  | 10,000 | 74.08   | n/a   | n/a      | non-brg     | 4.0      |     |
|   | INTERVAL PARTNERS        | 033 | PIR     | C   | 10,000 | 20.98   | n/a   | n/a      | 300.00      | 3.0      |     |

| Account                      | RR#        | Symbol            | B/S      | Shares        | Price         | MRI        | Research   | Comm.¢/Shr.    |              |
|------------------------------|------------|-------------------|----------|---------------|---------------|------------|------------|----------------|--------------|
| FRED ALGER MGMT              | 300        | PODD              | S        | 770           | 37.57         | n/a        | n/a        | 27.72          | 4.0          |
| FRED ALGER MGMT              | 300        | PRXL              | S        | 20,175        | 49.88         | n/a        | n/a        | 726.30         | 4.0          |
| <b>B BUCK CAPITAL MGMT</b>   |            | <b>PVH 9 C135</b> | <b>C</b> | <b>400</b>    | <b>0.05</b>   | <b>n/a</b> | <b>n/a</b> | <b>non-brg</b> | <b>100.0</b> |
| BADGE CAPITAL                | 030        | RGS               | B        | 1,000         | 14.85         | n/a        | n/a        | 50.00          | 5.0          |
| OSKIE CAPITAL MGMT           | 224        | RLGY              | B        | 25,000        | 45.94         | n/a        | n/a        | 1,250.00       | 5.0          |
| IMPALA ASSET MMT             | 040        | ROK               | B        | 21,000        | 109.06        | n/a        | n/a        | 1,050.00       | 5.0          |
| FRED ALGER MGMT              | 300        | SBNY              | S        | 370           | 88.59         | n/a        | n/a        | 13.32          | 4.0          |
| KORT                         | 025        | SCHL              | SS       | 200           | 30.71         | n/a        | n/a        | 6.00           | 3.0          |
| BADGE CAPITAL                | 030        | SCHW              | SS       | 1,000         | 20.70         | n/a        | n/a        | 30.00          | 3.0          |
| <b>B BUCK CAPITAL MGMT</b>   |            | <b>SCSS</b>       | <b>S</b> | <b>30,000</b> | <b>24.63</b>  | <b>n/a</b> | <b>n/a</b> | <b>non-brg</b> | <b>4.0</b>   |
| FRED ALGER MGMT              | 300        | SKT               | S        | 645           | 33.29         | n/a        | n/a        | 23.22          | 4.0          |
| <b>f DAVID GEORGE BUCK</b>   | <b>FID</b> | <b>SPG</b>        | <b>S</b> | <b>500</b>    | <b>155.88</b> | <b>n/a</b> | <b>n/a</b> | <b>0.00</b>    | <b>0.0</b>   |
| IMPALA ASSET MMT             | 040        | SPY               | SS       | 34,700        | 172.77        | n/a        | n/a        | 1,735.00       | 5.0          |
| <b>f JOSEPH CIRO AMATURO</b> | <b>FID</b> | <b>SPY</b>        | <b>S</b> | <b>1000</b>   | <b>173.00</b> | <b>n/a</b> | <b>n/a</b> | <b>0.00</b>    | <b>0.0</b>   |
| IRIDIAN ASSET MGMT.          | 036        | STX               | B        | 352,640       | 41.76         | n/a        | n/a        | 17,632.00      | 5.0          |
| <b>Õ Nilsa Vazquez</b>       |            | <b>TBT</b>        | <b>S</b> | <b>15</b>     | <b>1.17</b>   | <b>n/a</b> | <b>n/a</b> | <b>0.00</b>    | <b>0.0</b>   |

| Account                        | RR#        | Symbol      | B/S       | Shares        | Price         | MRI        | Research      | Comm. ¢/Shr.   |            |
|--------------------------------|------------|-------------|-----------|---------------|---------------|------------|---------------|----------------|------------|
| FRED ALGER MGMT                | 300        | THRX        | S         | 645           | 40.58         | n/a        | n/a           | 23.22          | 4.0        |
| PAR CAPITAL                    | 029        | TRIP        | S         | 17,500        | 73.87         | n/a        | n/a           | 700.00         | 4.0        |
| FRED ALGER MGMT                | 300        | TSS         | S         | 1,995         | 29.84         | n/a        | n/a           | 71.82          | 4.0        |
| LOMAS CAPITAL                  | 040        | USB         | S         | 114,000       | 37.75         | n/a        | n/a           | 3,420.00       | 3.0        |
| <b>f FRANCIS MICHAEL MCCA</b>  | <b>FID</b> | <b>USG</b>  | <b>S</b>  | <b>250</b>    | <b>28.82</b>  | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| STANDARD PACIFIC CAPITAL       | 084        | USG         | S         | 52,666        | 28.49         | n/a        | n/a           | 2,633.30       | 5.0        |
| KEYGROUP/KGLT                  | 040        | VALE        | B         | 25,000        | 16.58         | n/a        | n/a           | 1,000.00       | 4.0        |
| <b>B BUCK CAPITAL MGMT</b>     |            | <b>V</b>    | <b>S</b>  | <b>3,000</b>  | <b>195.42</b> | <b>n/a</b> | <b>13-Sep</b> | <b>non-brg</b> | <b>4.0</b> |
| FRED ALGER MGMT                | 300        | WCN         | S         | 775           | 45.01         | n/a        | n/a           | 27.90          | 4.0        |
| HERRING CREEK CAPITAL MGMT LLC | 040        | WGO         | S         | 10,500        | 23.20         | n/a        | n/a           | 315.00         | 3.0        |
| S.A.C. CAPITAL                 | 044        | WGO         | B         | 10,500        | 23.20         | n/a        | n/a           | 315.00         | 3.0        |
| THOMPSON SIEGAL&WALMSLEY       | 029        | WNC         | B         | 62,800        | 11.83         | n/a        | 13-Sep        | 1,884.00       | 3.0        |
| <b>f FRANCIS MICHAEL MCCA</b>  | <b>FID</b> | <b>WTSL</b> | <b>B</b>  | <b>2000</b>   | <b>3.80</b>   | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| <b>f FRANCIS MICHAEL MCCA</b>  | <b>FID</b> | <b>WTSL</b> | <b>B</b>  | <b>2000</b>   | <b>3.81</b>   | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| <b>B BUCK CAPITAL MGMT</b>     |            | <b>WWW</b>  | <b>SS</b> | <b>30,000</b> | <b>55.79</b>  | <b>n/a</b> | <b>n/a</b>    | <b>non-brg</b> | <b>4.0</b> |
| <b>B BUCK CAPITAL MGMT</b>     |            | <b>WWW</b>  | <b>SS</b> | <b>9,000</b>  | <b>55.75</b>  | <b>n/a</b> | <b>n/a</b>    | <b>non-brg</b> | <b>4.0</b> |
| <b>B BUCK CAPITAL MGMT</b>     |            | <b>XLRN</b> | <b>B</b>  | <b>1,000</b>  | <b>15.00</b>  | <b>n/a</b> | <b>n/a</b>    | <b>non-brg</b> | <b>0.0</b> |

| Account                     | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr.      |     |
|-----------------------------|-----|--------|-----|--------|-------|-----|----------|------------------|-----|
| 8 BUCK CAPITAL MGMT         |     | XLRN   | S   | 1,000  | 20.08 | n/a | n/a      | non-brg          | 2.5 |
| J. GOLDMAN                  | 028 | XLS    | S   | 15,000 | 16.01 | n/a | n/a      | 600.00           | 4.0 |
| FRED ALGER MGMT             | 300 | XONE   | B   | 7,925  | 51.68 | n/a | n/a      | 285.30           | 4.0 |
| <b>TOTAL (Trade Date) :</b> |     |        |     |        |       |     |          | <b>82,949.24</b> |     |



**Cancel/Corrects and As-Of Trades**

| Type   | Date  | Account            | RR # | Symbol | B/S | Shares | Price | MRI            | Research | Comm.¢/Shr. |
|--------|-------|--------------------|------|--------|-----|--------|-------|----------------|----------|-------------|
| AO,CXL | 09/17 | GLG PARTNERS       | 044  | CPN    | S   | 3,477  | 19.59 | n/a            | n/a      | 104.31 0.0  |
| AO,CRT | 09/17 | GLG PARTNERS       | 044  | CPN    | S   | 3,477  | 19.59 | n/a            | n/a      | 104.31 0.0  |
| AO,CXL | 09/18 | ADAGE CAPITAL MGMT | 030  | HSP    | B   | 17,060 | 40.14 | n/a-2013-09-19 |          | 511.80 0.0  |
| AO,CRT | 09/18 | ADAGE CAPITAL MGMT | 030  | HSP    | B   | 17,060 | 40.14 | n/a-2013-09-19 |          | 511.80 0.0  |
| AO,CXL | 09/18 | BMO / M&I FUNDS    | 047  | M      | B   | 274    | 44.63 | n/a            | n/a      | 10.96 0.0   |
| AO,CRT | 09/18 | BMO / M&I FUNDS    | 047  | M      | B   | 274    | 44.63 | n/a            | n/a      | 10.96 0.0   |

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|-------------------------------|-------------|
| <b>TOTAL (AO, CXL, CRT) :</b> | <b>0.00</b> |
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|------------------------|-----------|
| TOTAL (Trade Date) :   | 82,949.24 |
| TOTAL (AO, CXL, CRT) : | 0.00      |

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|--------------------------------|------------------|
| <b>TOTAL (RUN DATE) / NFS:</b> | <b>82,949.24</b> |
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BCM Cancel/Corrects and As-Of Trades

| Type | Date | Account | Symbol | B/S | Shares | Price | MRI Research | Comm.¢/Shr. |
|------|------|---------|--------|-----|--------|-------|--------------|-------------|
|------|------|---------|--------|-----|--------|-------|--------------|-------------|

MRI Securities with Trade Activity on 19-Sep.  
NONE

MRI Securities without Trade Activity on 19-Sep.  
DLTR

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**