



## Daily Compliance Activity Report

Trade Date: 07/05/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET	032	ALJ	B	25,300	46.08	n/a	n/a	1,138.50	4.5
COUGAR TRADING	044	ANF	S	10,000	72.77	n/a	27-Jun	500.00	5.0
SARAS CAPITAL	038	AN	SS	25,000	22.51	n/a	27-Apr	1,250.00	5.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>APC</b>	<b>C</b>	<b>500</b>	<b>52.18</b>	<b>22-Jun</b>	<b>2-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>CROWLEY</b>	<b>061</b>	<b>ASD</b>	<b>B</b>	<b>500</b>	<b>60.46</b>	<b>31-May</b>	<b>25-Jun</b>	<b>0.00</b>	<b>0.0</b>
FIRST NEW YORK LEDDY	035	BBY	SS	10,000	46.97	1-May	27-Jun	600.00	6.0
SUN AMERICA ASSET MGMT	030	BBY	B	11,900	47.14	1-May	27-Jun	595.00	5.0
WHITE PINE CAPITAL	030	BDSB	S	1,000	9.97	n/a	n/a	50.00	5.0
<b>BUCK</b>	<b>015</b>	<b>BUD</b>	<b>B</b>	<b>1,000</b>	<b>51.64</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GENERAL MOTORS INV CO	040	BZH	B	3,200	22.96	n/a	n/a	128.00	4.0
SUN AMERICA ASSET MGMT	030	CBE	B	24,000	57.85	31-May	31-May	1,200.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>CCCJU</b>	<b>B</b>	<b>32</b>	<b>4.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROSEDALE CAPITAL	046	CC	B	30,000	15.17	1-May	21-Jun	1,500.00	5.0
GRANAHAH INV	039	CENT	S	59,800	12.26	8-Feb	3-Jul	2,392.00	4.0
<b>KANTROWIT</b>	<b>N21</b>	<b>CMG</b>	<b>B</b>	<b>25</b>	<b>82.58</b>	<b>24-Apr</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>

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<b>WHEELER</b>	<b>008</b>	<b>CRAY</b>	<b>S</b>	<b>20,000</b>	<b>7.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GENERAL MOTORS INV CO	040	CTR	B	2,500	22.62	n/a	n/a	100.00	4.0
<b>ZOLIDIS</b>	<b>011</b>	<b>CWTR</b>	<b>C</b>	<b>400</b>	<b>22.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUN AMERICA ASSET MGMT	030	C	S	100,000	51.77	n/a	14-Jun	5,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DDS</b>	<b>SS</b>	<b>50,000</b>	<b>36.26</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
SUN AMERICA ASSET MGMT	030	DFS	S	179,950	26.55	n/a	n/a	8,997.50	5.0
<b>BUCK</b>	<b>015</b>	<b>DHI</b>	<b>B</b>	<b>2,000</b>	<b>19.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GRANAHAN INV	039	DLTR	S	89,700	43.57	30-May	15-Jun	3,588.00	4.0
SIGMA CAPITAL ASSOCIATES	044	DRI	SS	25,000	44.62	24-Apr	24-Apr	1,250.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>DUK</b>	<b>B</b>	<b>3,000</b>	<b>18.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GENERAL MOTORS INV CO	040	EGY	B	2,100	5.03	n/a	n/a	84.00	4.0
DAVIS SELECT ADV	040	GGP	S	450,000	54.71	n/a	n/a	13,500.00	3.0
FRONTPOINT	044	GIL	S	14,000	34.62	21-Jun	21-Jun	560.00	4.0
FRIESS MGMT	042	GME	B	97,400	40.70	n/a	n/a	4,383.00	4.5
COUGAR TRADING	044	GNTX	SS	5,000	19.56	n/a	24-Apr	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUN AMERICA ASSET MGMT	030	HAL	B	34,800	34.49	n/a	n/a	1,740.00	5.0
<b>BUCK</b>	<b>015</b>	<b>HET</b>	<b>B</b>	<b>100</b>	<b>85.46</b>	<b>1-Mar</b>	<b>9-May</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>HLT</b>	<b>S</b>	<b>1,500</b>	<b>45.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	HRL	S	11,300	37.60	n/a	n/a	565.00	5.0
PROSPECTOR PARTNERS LLC	036	IOSP	S	5,000	60.76	n/a	n/a	200.00	4.0
IRONBOUND CAPITAL	012	IP	SS	10,000	40.00	4-May	14-Jun	500.00	5.0
WAGONHOUND	048	IVZ	B	21,440	26.74	14-Jun	25-Jun	857.60	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>KEGS</b>	<b>B</b>	<b>250</b>	<b>18.15</b>	<b>n/a</b>	<b>21-Jun</b>	<b>0.00</b>	<b>0.0</b>
SAC TRADING HOWARD	038	KSS	B	20,000	70.16	14-Jun	14-Jun	1,000.00	5.0
SCOPUS FUND	039	LPX	B	10,000	19.11	14-Jun	14-Jun	500.00	5.0
MS PRINCIPAL STRATEGIES	040	MAR	S	125,000	47.22	n/a	n/a	6,250.00	5.0
SUN AMERICA ASSET MGMT	030	MER	B	14,300	83.99	20-Apr	14-Jun	715.00	5.0
MERRILL ASSET	032	MOH	B	5,200	31.36	n/a	n/a	234.00	4.5
<b>AMATURO</b>	<b>018</b>	<b>MPEL</b>	<b>S</b>	<b>500</b>	<b>12.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	MTH	B	14,200	25.79	n/a	n/a	639.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GENERAL MOTORS INV CO	040	MVL	B	2,000	26.16	n/a	n/a	80.00	4.0
SAC TRADING HOWARD	038	MW	B	10,000	51.87	n/a	n/a	500.00	5.0
COUGAR TRADING	044	M	S	10,000	39.84	17-May	8-Jun	500.00	5.0
GLG PARTNERS	034	NDN	B	25,000	13.07	16-Jan	5-Jul	1,250.00	5.0
CAPITAL GROWTH MGMT.	241	OII	B	30,000	53.02	n/a	n/a	1,500.00	5.0
LUCHANSKY	036	OPY	B	200	57.19	n/a	n/a	0.00	0.0
DSG CAPITAL	225	PAG	B	15,000	21.52	n/a	n/a	750.00	5.0
WHEELER	008	PNW	S	5,000	40.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PSS	S	135,000	31.69	n/a	n/a	5,400.00	4.0
LUCHANSKY1	036	QID	S	200	44.40	n/a	n/a	0.00	0.0
AMATURO	018	QRR	S	100	12.47	n/a	n/a	0.00	0.0
OTC ERROR	099	SHOR	S	3,200	12.10	n/a	n/a	0.00	0.0
WAGONHOUND	048	SMG	SS	7,650	42.11	20-Feb	3-Jul	306.00	4.0
GENERAL MOTORS INV CO	040	SYX	B	2,200	21.36	n/a	n/a	88.00	4.0
BUCK CAPITAL MGMT	001	TGT	SS	30,000	63.83	n/a	7-Jun	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	TIF	B	105,000	54.92	1-Jun	13-Jun	5,250.00	5.0
<b>BUCK</b>	<b>015</b>	<b>TRB</b>	<b>B</b>	<b>1,000</b>	<b>30.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PIVOT CAP	034	TRLG	B	10,000	20.09	n/a	n/a	500.00	5.0
BARON ASSET MGMT*	012	URBN	S	25,000	23.52	2-Apr	11-May	1,250.00	5.0
MSD CAPITAL	036	USTR	S	49,000	69.03	23-May	23-May	2,450.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>USTR</b>	<b>S</b>	<b>3</b>	<b>69.03</b>	<b>23-May</b>	<b>23-May</b>	<b>0.00</b>	<b>0.0</b>
<b>SINCLAIR</b>	<b>031</b>	<b>VOII</b>	<b>B</b>	<b>15,000</b>	<b>0.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MATRIX ASSET ADV.	036	WU	B	20,000	20.58	n/a	n/a	800.00	4.0
COUGAR TRADING	044	ZQK	B	20,000	14.36	9-Mar	27-Jun	1,000.00	5.0
<b>TOTAL (Trade Date):</b>								<b>85,090.60</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/03	MKT MAKER (MKT MAKER)	039	DLTR	S	41	43.56	30-May	15-Jun	1.64 4.0
AO,CRT	07/03	MKT MAKER (MKT MAKER)	039	DLTR	S	41	43.56	30-May	15-Jun	0.00 0.0
AO,CXL	06/29	DAWSON SANBERG & CO (DAWSMSPO)	036	GCI	SS	5,000	54.89	n/a	n/a	250.00 5.0
AO,	06/29	DAWSON SANBERG & CO (DAWSMSPO)	036	GCI	SS	5,000	54.89	n/a	n/a	250.00 5.0
CRT	07/05	WHITE PINE CAPITAL (WPIN4387)	030	HRL	S	625	37.60	n/a	n/a	31.25 5.0
CRT	07/05	WHITE PINE CAPITAL (WPIN4415)	030	HRL	S	500	37.60	n/a	n/a	25.00 5.0
AO,	07/03	PHILADELPHIA FINANCIAL (PHILMSCO/M)	033	IVZ	S	67,738	26.89	14-Jun	25-Jun	3386.90 5.0
CRT	07/05	AMATURO (AMATURO)	018	MPEL	S	500	12.80	n/a	n/a	0.00 0.0
AO,CRT	07/03	OTC ERROR (OTC ERROR)	099	SHOR	B	3,200	12.10	n/a	n/a	0.00 0.0
AO,CXL	07/03	LISTED ERR (LISTED ERR)	099	SHOR	B	3,200	12.10	n/a	n/a	0.00 0.0
AO,CRT	07/03	HIGHBRIDGE CAPITAL (HIGBNEW)	038	SHOR	S	150,000	12.34	n/a	n/a	7500.00 5.0
AO,CXL	07/03	HIGHBRIDGE CAPITAL (HIGBMAIN)	038	SHOR	S	150,000	12.34	n/a	n/a	7500.00 5.0
AO,CXL	07/03	AMBER CAPITAL (AMBRGS)	046	TGT	SS	240,000	63.38	n/a	7-Jun	9600.00 4.0
AO,CRT	07/03	AMBER CAPITAL (AMBRLEH)	046	TGT	SS	240,000	63.38	n/a	7-Jun	9600.00 4.0

**TOTAL (AO, CXL, CRT) :****3,441.51**

TOTAL (Trade Date) :

85,090.60

TOTAL (AO, CXL, CRT) :

3,441.51

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TOTAL (RUN DATE) / NFS:

88,532.11

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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION