



Daily Compliance Activity Report

Trade Date: 06/26/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CHILTON CAPITAL MGMT.	036	ABD	C	46,600	23.63	n/a	n/a	1,864.00	4.0
SAXON WOODS ADVISORS	038	ACV	S	20,000	23.71	n/a	n/a	1,000.00	5.0
ROARING BLUE LION MGMT	012	AEO	B	9,600	25.86	n/a	12-Jun	480.00	5.0
MAZAMA CAPITAL MGMT.	035	AMG	B	71,350	126.78	25-Apr	25-Jun	2,854.00	4.0
BUCK CAPITAL MGMT	001	ANF	S	70,000	71.66	n/a	8-Jun	2,800.00	4.0
TRIVIUM CAP MGMT	028	ANF	C	75,000	71.63	n/a	8-Jun	3,000.00	4.0
LUCHANSKY1	036	APC	SS	500	52.30	22-Jun	22-Jun	0.00	0.0
LUCHANSKY	036	APC	S	200	52.03	22-Jun	22-Jun	0.00	0.0
WD PARTNERS ILP	067	ASD	B	400	57.70	31-May	25-Jun	20.00	5.0
LUCHANSKY	036	BJS	S	600	27.84	n/a	n/a	0.00	0.0
GRUBER MACBAINE	033	BLK	B	6,500	156.17	26-Jun	26-Jun	260.00	4.0
SUNOVA CAPITAL	031	BLK	B	20,000	156.83	26-Jun	26-Jun	1,000.00	5.0
MENCKE	055	BX	S	100	31.15	n/a	n/a	0.00	0.0
PAKLER	N22	BX	S	105	30.53	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CHS	SS	20,000	24.58	31-May	8-Jun	800.00	4.0
BUCK CAPITAL MGMT	001	CHS	SS	10,000	24.62	31-May	8-Jun	400.00	4.0
TRIBECA	038	CHTT	B	3,200	62.60	29-May	1-Jun	128.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRIBECA	038	CLX	B	3,200	62.38	9-May	25-May	128.00	4.0
MAZAMA CAPITAL MGMT.	035	CNS	B	43,300	43.29	n/a	n/a	1,732.00	4.0
J.W. SELIGMAN	032	COF	S	133,700	79.08	n/a	n/a	5,348.00	4.0
AVESTA CAP	229	CSCO	B	50,000	27.28	n/a	22-Mar	2,500.00	5.0
WHITE PINE CAPITAL	030	CSCO	S	600	27.32	n/a	22-Mar	30.00	5.0
CASEY CAPITAL	067	CTR	B	7,000	21.33	n/a	n/a	350.00	5.0
Õ Glenn Redemann		CX	B	2	0.8400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CX	B	2	0.8600	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	DAKT	S	500	20.61	n/a	n/a	25.00	5.0
BARR	096	DITC	S	2,700	8.01	n/a	n/a	0.00	0.0
FIRST INV MGMT CORP	036	DJ	S	10,000	58.01	n/a	n/a	500.00	5.0
SAC TRADING HOWARD	038	DLTR	B	15,000	43.58	30-May	15-Jun	750.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	40,000	34.03	7-May	7-Jun	1,600.00	4.0
FIRST INV MGMT CORP	036	DVR	B	5,000	16.43	n/a	n/a	250.00	5.0
J.W. SELIGMAN	032	DYN	B	30,000	9.55	n/a	n/a	1,200.00	4.0
J.W. SELIGMAN	032	EAS	S	20,500	26.41	n/a	n/a	820.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	ELY	B	15,300	17.75	n/a	n/a	573.75	3.8
BARR	096	EMKR	S	2,000	5.30	n/a	n/a	0.00	0.0
METROPOLITAN CAPITAL	044	FL	B	82,500	21.95	24-May	21-Jun	4,125.00	5.0
MAZAMA CAPITAL MGMT.	035	FMR	B	40,000	20.63	n/a	n/a	1,600.00	4.0
LUCHANSKY	036	FSLR	S	100	85.59	n/a	n/a	0.00	0.0
KORMAN	003	FSYS	S	7,000	15.44	n/a	n/a	0.00	0.0
NUMI	060	GE	S	116,100	38.06	n/a	n/a	3,483.00	3.0
NWQ INVESTMENT MGMT CO	048	GFF	B	40,900	22.00	n/a	n/a	1,636.00	4.0
BLACKROCK/BOSTON	039	GME	S	153,400	39.68	n/a	n/a	6,903.00	4.5
FRIESS MGMT	042	GME	B	145,100	39.92	n/a	n/a	6,529.50	4.5
PAKLER	N22	GOOG	B	4	530.82	n/a	n/a	0.00	0.0
TRIBECA	038	GTRC	B	2,900	50.25	n/a	n/a	116.00	4.0
TRIBECA	038	HANS	B	4,100	42.80	n/a	n/a	164.00	4.0
MATTHES CAPITAL MGMT	033	HBI	B	21,000	26.47	n/a	n/a	840.00	4.0
TROUBH PART	067	HBI	S	20,000	26.50	n/a	n/a	1,000.00	5.0
TRIBECA	038	HLT	B	4,500	34.03	n/a	n/a	180.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	HPOL	S	12	5.31	n/a	n/a	0.00	0.0
WHEELER	008	HSTX	S	1,554	18.17	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	IFF	S	500	50.92	3-May	21-Jun	25.00	5.0
TRIBECA	038	IGT	B	3,300	39.04	n/a	20-Apr	132.00	4.0
PROSPECTOR PARTNERS LLC	036	IOSP	S	5,000	60.75	n/a	n/a	200.00	4.0
GALLEON MGMT LP	033	IYR	SS	5,000	76.79	n/a	n/a	250.00	5.0
TRIBECA	038	JAH	B	5,200	43.07	n/a	n/a	208.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	5,000	70.06	21-Jun	21-Jun	200.00	4.0
WHITE PINE CAPITAL	030	JPM	S	400	48.52	n/a	14-Jun	20.00	5.0
STANDARD PACIFIC CAPITAL	040	KR	S	169,650	27.96	n/a	n/a	8,482.50	5.0
BUCK CAPITAL MGMT	001	KSS	B	15,000	68.75	14-Jun	14-Jun	600.00	4.0
MKT MAKER	099	LAVA	B	7	14.42	n/a	n/a	0.00	0.0
MKT MAKER	099	LBTYA	B	23	40.21	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	LM	SS	15,000	96.84	9-May	26-Jun	750.00	5.0
BARR	096	LPSN	SS	2,500	5.28	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	LTM	B	20,000	53.38	n/a	n/a	800.00	4.0
Õ Glenn Redemann		LVS	B	3	0.9100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LVS	B	3	1.8200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LVS	SS	3	1.8700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LVS	SS	3	3.0100	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	040	MAR	B	25,000	43.96	n/a	n/a	1,250.00	5.0
Õ Glenn Redemann		MBT	B	3	0.4100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MBT	B	3	0.4200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MBT	SS	3	1.0400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MBT	SS	3	1.2900	n/a	n/a	0.00	0.0
CATAPULT	040	MS	B	2,500	84.33	21-Jun	21-Jun	125.00	5.0
PILOT ADV	038	M	S	35,000	40.42	17-May	8-Jun	1,400.00	4.0
BARR	096	OPXT	S	800	13.15	n/a	n/a	0.00	0.0
LUCHANSKY	036	OPY	S	100	48.67	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	44.11	n/a	n/a	400.00	4.0
CAPITAL GROWTH MGMT.	241	PCU	S	20,000	94.28	n/a	n/a	1,000.00	5.0
MKT MAKER	099	PERY	B	21	29.20	n/a	n/a	0.00	0.0
MKT MAKER	099	PFCB	S	100	35.29	24-Apr	24-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KARSCH CAPITAL	034	PG	C	215,000	61.19	n/a	25-Jun	6,450.00	3.0
WHITE PINE CAPITAL	030	PRU	S	500	97.14	n/a	n/a	25.00	5.0
LEDDY	035	QID	S	800	46.42	n/a	n/a	0.00	0.0
TRIBECA	038	RCL	B	3,500	42.39	n/a	2-May	140.00	4.0
KORMAN	003	RQI	S	100	20.24	n/a	n/a	0.00	0.0
WHEELER	008	SCKT	S	25,000	0.84	n/a	n/a	0.00	0.0
LUCHANSKY	036	SHOO	S	300	32.43	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SI	B	1	1.5500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SI	B	1	1.8000	n/a	n/a	0.00	0.0
FRIESS MGMT	042	SKS	B	197,900	21.02	n/a	n/a	8,905.50	4.5
PIVOT CAP	034	SKS	S	11,250	20.88	n/a	n/a	562.50	5.0
MENCKE	055	SMSI	B	100	15.59	n/a	n/a	0.00	0.0
LEDDY	035	SNEN	B	3,500	2.50	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	SRE	B	14,340	58.93	n/a	n/a	717.00	5.0
NWQ INVESTMENT MGMT CO	048	SSCC	B	55,700	13.11	4-May	14-Jun	1,671.00	3.0
CATAPULT	040	STT	B	2,500	67.84	n/a	n/a	125.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	SWIM	C	4,400	10.01	n/a	n/a	0.00	0.0
DAVIS SELECT ADV	040	S	S	179,600	22.10	n/a	n/a	5,388.00	3.0
WHEELER	008	TBAC	S	481	12.79	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	TGT	SS	25,000	63.26	n/a	7-Jun	1,000.00	4.0
PORTER-ORLIN-FELDMAN	044	TOL	SS	50,000	25.69	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	TWB	B	50,000	43.26	23-May	23-May	2,000.00	4.0
TRIBECA	038	TWC	B	6,400	39.38	n/a	22-Jun	256.00	4.0
FRIESS MGMT	042	URBN	B	195,100	23.61	2-Apr	11-May	8,779.50	4.5
J.W. SELIGMAN	032	USB	B	20,700	33.46	n/a	n/a	828.00	4.0
STANDARD PACIFIC CAPITAL	040	VNO	S	31,000	107.49	n/a	n/a	1,550.00	5.0
MKT MAKER	099	WFMI	S	300	38.22	n/a	n/a	0.00	0.0
ROBX	302	WU	B	210,700	20.47	n/a	n/a	8,428.00	4.0
TRIBECA	038	WWY	B	2,600	54.80	n/a	n/a	104.00	4.0
JP MORGAN VENTURES	046	XOHO	B	25,000	4.40	n/a	n/a	1,000.00	4.0
BALYASNY ASSET MGMT	044	ZION	SS	10,000	77.96	n/a	n/a	500.00	5.0

TOTAL (Trade Date):

123,761.25

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/25	NICOLAS APPLGATE (APPL761)	048	CMCO	B	23,100	33.13	n/a	n/a	924.00 4.0
AO,	06/25	BEAR STEARNS ASSET MGMT. (BSAM7091)	033	CPWM	SS	1,000	8.07	n/a	n/a	40.00 4.0
AO,	06/25	BEAR STEARNS ASSET MGMT. (BSAM888)	033	CPWM	SS	19,000	8.07	n/a	n/a	760.00 4.0
AO,CXL	06/21	NEUBERGER BERMAN (NBER9W16)	036	EFII	S	7,000	29.08	n/a	n/a	2.80 0.0
AO,CRT	06/21	NEUBERGER BERMAN (NBER9W16)	036	EFII	S	7,000	29.08	n/a	n/a	280.00 4.0
AO,CXL	06/25	SSI INVESTORS (SSIISTATE)	048	NSR	B	12,325	27.85	n/a	n/a	493.00 4.0
AO,CRT	06/25	SSI INVESTORS (SSIIUBS PA)	048	NSR	B	9,125	27.85	n/a	n/a	365.00 4.0
AO,CXL	06/25	SSI INVESTORS (SSIISMITH)	048	NSR	B	9,125	27.85	n/a	n/a	365.00 4.0
AO,CRT	06/25	SSI INVESTORS (SSIISMITH)	048	NSR	B	12,325	27.85	n/a	n/a	493.00 4.0
AO,	06/25	REINHART & MAHONEY (REINSMITBA)	031	PKI	S	80	25.88	n/a	n/a	4.00 5.0
AO,	06/25	REINHART & MAHONEY (REINSOFMAR)	031	PKI	S	275	25.88	n/a	n/a	13.75 5.0
AO,	06/25	REINHART & MAHONEY (REINSOFRES)	031	PKI	S	275	25.88	n/a	n/a	13.75 5.0
AO,	06/25	REINHART & MAHONEY (REINWIGANN)	031	PKI	S	270	25.88	n/a	n/a	13.50 5.0
CRT	06/26	PIVOT CAP (PIVOMS)	034	SKS	S	11,250	20.88	n/a	n/a	562.50 5.0
TOTAL (AO, CXL, CRT) :										2,608.70

TOTAL (Trade Date):	123,761.25
TOTAL (AO, CXL, CRT):	2,608.70

TOTAL (RUN DATE) / NFS:

126,369.95

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION