



# Daily Compliance Activity Report

Trade Date: 07/06/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>AAN</b>	<b>S</b>	<b>20,000</b>	<b>29.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PIONEER OAKRIDGE	031	AAPL	S	255	136.52	n/a	n/a	5.10	2.0
PIONEER OAKRIDGE	031	ABT	S	645	45.89	n/a	22-Apr	12.90	2.0
PIONEER OAKRIDGE	031	ADBE	S	810	26.84	n/a	n/a	16.20	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>ADS GR</b>	<b>SS</b>	<b>20,000</b>	<b>36.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.8</b>
PIONEER OAKRIDGE	031	ADS	S	455	39.20	n/a	n/a	9.10	2.0
EGS ASSOCIATES LP	091	AEO	B	3,000	13.14	25-Jun	6-Jul	180.00	6.0
HIGHBRIDGE CAPITAL	038	AEO	B	50,000	13.20	25-Jun	6-Jul	2,500.00	5.0
PIONEER OAKRIDGE	031	AGN	S	585	46.49	24-Mar	30-Jun	11.70	2.0
OPPENHEIMER MGMT.	231	AMAT	S	199,660	11.25	n/a	n/a	7,986.40	4.0
PIONEER OAKRIDGE	031	AMG	S	240	56.57	5-Jun	2-Jul	4.80	2.0
PIONEER OAKRIDGE	031	ANSS	S	840	30.26	n/a	n/a	16.80	2.0
PIONEER OAKRIDGE	031	ARG	S	625	38.37	23-Mar	2-Jul	12.50	2.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>10,000</b>	<b>33.63</b>	<b>n/a</b>	<b>6-Jul</b>	<b>400.00</b>	<b>4.0</b>
BMO NESBITT BURNS	041	AXL	B	50,000	3.00	10-Jun	10-Jun	2,500.00	5.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		AXL	B	100,000	2.82	10-Jun	10-Jun	non-brg 2.0
ß	BUCK CAPITAL MGMT		AXL	B	80,000	2.91	10-Jun	10-Jun	non-brg 3.0
f	JOHN FRANCIS MCERLEA	FID	AXL	B	3700	2.84	10-Jun	10-Jun	0.00 0.0
ß	BUCK CAPITAL MGMT		BWLD	SS	40,000	32.06	n/a	n/a	non-brg 4.0
	RBC CAPITAL MARKETS	040	CCL	SS	15,000	25.21	n/a	26-Jan	600.00 4.0
	PIIONEER OAKRIDGE	031	CELG	S	470	46.43	n/a	n/a	9.40 2.0
	PIIONEER OAKRIDGE	031	CHD	S	645	53.60	27-Apr	14-May	12.90 2.0
	PIIONEER OAKRIDGE	031	CHSI	S	650	23.01	n/a	n/a	13.00 2.0
ß	BUCK CAPITAL MGMT		CIT	B	100,000	1.80	23-Apr	1-Jul	non-brg 2.0
Õ	David Keidan		CIT	B	100000	1.80	23-Apr	1-Jul	0.00 0.0
	BUCK CAPITAL MGMT	001	COH	B	100,000	25.39	n/a	22-Apr	4,000.00 4.0
ß	BUCK CAPITAL MGMT		COH	B	20,000	25.00	n/a	22-Apr	non-brg 4.0
ß	BUCK CAPITAL MGMT		COH	B	200,000	25.51	n/a	22-Apr	non-brg 2.0
	RBC CAPITAL MARKETS	040	COH	SS	10,000	25.30	n/a	22-Apr	400.00 4.0
	RBC CAPITAL MARKETS	040	COST	SS	5,000	45.06	n/a	27-Jul	200.00 4.0
ß	BUCK CAPITAL MGMT		CRI	S	77,632	22.70	n/a	n/a	non-brg 4.0
	BUCK CAPITAL MGMT	001	CRM	SS	20,000	37.39	n/a	n/a	800.00 4.0
	PIIONEER OAKRIDGE	031	CSCO	S	1,900	18.31	n/a	22-Mar	38.00 2.0
	STERLING JOHNSTON	035	CWTR	B	100,000	5.94	n/a	n/a	4,000.00 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>C</b>	<b>B</b>	<b>50,000</b>	<b>2.77</b>	<b>n/a</b>	<b>30-Jun</b>	<b>non-brg</b>	<b>2.0</b>
CIRCLE T/SES PART	035	DAI	B	5,000	34.22	n/a	n/a	200.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>DAL</b>	<b>B</b>	<b>25,000</b>	<b>5.98</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f</b> JOHN MICHAEL ZOLIDIS	<b>FID</b>	<b>DBO</b>	<b>B</b>	<b>500</b>	<b>23.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	<b>001</b>	<b>DDS</b>	<b>SS</b>	<b>50,000</b>	<b>8.76</b>	<b>n/a</b>	<b>n/a</b>	<b>1,500.00</b>	<b>3.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>DECK</b>	<b>S</b>	<b>20,000</b>	<b>66.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SANDLER O'NEILL ASSET	031	DFS	S	50,000	10.23	n/a	1-Jul	2,500.00	5.0
PIONEER OAKRIDGE	031	DHR	S	510	59.06	n/a	n/a	10.20	2.0
PIONEER OAKRIDGE	031	DNR	S	780	13.26	n/a	n/a	15.60	2.0
<b>f</b> JONATHAN RICHARD HAR	<b>FID</b>	<b>DPTR</b>	<b>S</b>	<b>2000</b>	<b>1.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	<b>001</b>	<b>EAT</b>	<b>SS</b>	<b>30,000</b>	<b>16.88</b>	<b>n/a</b>	<b>24-Apr</b>	<b>1,200.00</b>	<b>4.0</b>
PIONEER OAKRIDGE	031	ECL	S	565	37.62	28-Apr	2-Jul	11.30	2.0
UBS OCONNOR	031	EV	C	49,990	24.74	19-May	2-Jul	1,499.70	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>FINL</b>	<b>S</b>	<b>50,000</b>	<b>6.99</b>	<b>n/a</b>	<b>28-Mar</b>	<b>non-brg</b>	<b>3.0</b>
RBC CAPITAL MARKETS	040	FL	B	25,000	10.10	27-Apr	26-Jun	1,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		FOR	B	10,000	11.36	29-Jun	29-Jun	non-brg	2.5
⚡ BUCK CAPITAL MGMT		FOSL	S	50,000	22.22	n/a	30-Jan	non-brg	4.0
COUGAR TRADING	044	FOSL	B	15,000	22.37	n/a	30-Jan	750.00	5.0
⚡ MANNY I KORMAN	FID	FSIXX	S	47086	1.00	n/a	n/a	0.00	0.0
STRAUSS ASSET MGMT	040	FUQI	SS	15,000	18.76	n/a	n/a	600.00	4.0
⚡ BUCK CAPITAL MGMT		GES	B	10,000	23.99	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GES	B	15,000	23.95	n/a	n/a	non-brg	1.0
PIONEER OAKRIDGE	031	GILD	S	645	45.25	n/a	n/a	12.90	2.0
BUCK CAPITAL MGMT	001	GIL	B	25,000	13.27	25-Jun	25-Jun	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		GIL	B	20,000	13.45	25-Jun	25-Jun	non-brg	4.0
PIONEER OAKRIDGE	031	GME	S	600	20.76	n/a	n/a	12.00	2.0
PIONEER OAKRIDGE	031	GOOG	S	100	402.29	n/a	n/a	2.00	2.0
⚡ BUCK CAPITAL MGMT		GRMN	SS	20,000	23.56	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HGG	B	10,000	14.74	n/a	n/a	non-brg	4.0
PIONEER OAKRIDGE	031	HITT	S	525	33.27	n/a	n/a	10.50	2.0
PIONEER OAKRIDGE	031	HK	S	620	19.39	n/a	n/a	12.40	2.0
PIONEER OAKRIDGE	031	HPQ	S	655	37.56	n/a	n/a	13.10	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PIONEER OAKRIDGE	031	HSIC	S	500	45.92	n/a	n/a	10.00	2.0
PIONEER OAKRIDGE	031	IBM	S	365	100.65	n/a	n/a	7.30	2.0
PIONEER OAKRIDGE	031	ICE	S	215	110.07	n/a	n/a	4.30	2.0
PIONEER OAKRIDGE	031	IEX	S	790	23.55	n/a	n/a	15.80	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>B</b>	<b>15,000</b>	<b>14.33</b>	<b>n/a</b>	<b>27-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>20,000</b>	<b>14.71</b>	<b>n/a</b>	<b>27-Apr</b>	<b>non-brg</b>	<b>4.0</b>
SCOPUS FUND	041	IP	B	10,000	14.75	23-Jun	23-Jun	500.00	5.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>JCGIG</b>	<b>B</b>	<b>20</b>	<b>0.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>30,000</b>	<b>24.14</b>	<b>n/a</b>	<b>30-Jan</b>	<b>1,200.00</b>	<b>4.0</b>
NEWCASTLE FUNDS	255	JCI	S	25,000	20.67	2-Jun	2-Jun	1,000.00	4.0
PIONEER OAKRIDGE	031	JCI	S	880	20.52	2-Jun	2-Jun	17.60	2.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCP</b>	<b>B</b>	<b>150,000</b>	<b>27.51</b>	<b>13-Apr</b>	<b>6-Jul</b>	<b>6,000.00</b>	<b>4.0</b>
HIGHBRIDGE CAPITAL	038	JCP	B	50,000	26.98	13-Apr	6-Jul	2,500.00	5.0
MADISON ST PARTNERS LLC	046	JNS	B	12,655	10.96	5-May	2-Jul	632.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>10,000</b>	<b>32.12</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	JWN	B	25,000	18.97	20-Feb	6-Jul	1,000.00	4.0
NEWCASTLE FUNDS	255	KR	B	77,300	21.49	n/a	n/a	3,092.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PIONEER OAKRIDGE	031	KR	S	840	21.27	n/a	n/a	16.80	2.0
COUGAR TRADING	044	KSS	B	5,000	42.57	8-May	6-Jul	250.00	5.0
⚡ BUCK CAPITAL MGMT		LL	S	25,000	15.34	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		LL	S	75,000	15.33	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LM	B	25,000	22.87	25-Jun	2-Jul	non-brg	4.0
f MANNY I KORMAN	FID	LNCR	B	2000	23.25	n/a	21-Apr	0.00	0.0
DISCIPLINED GROWTH INV.	030	LTD	B	2,700	11.50	n/a	30-Jan	135.00	5.0
BUCK CAPITAL MGMT	001	MHK	B	20,000	33.36	n/a	n/a	800.00	4.0
PIONEER OAKRIDGE	031	MON	S	140	71.90	n/a	26-Jun	2.80	2.0
PIONEER OAKRIDGE	031	MSFT	S	1,115	22.87	n/a	3-Apr	22.30	2.0
⚡ BUCK CAPITAL MGMT		MS	B	25,000	26.49	11-May	1-Jul	non-brg	4.0
BUCK CAPITAL MGMT	001	NKE	SS	30,000	51.44	n/a	n/a	1,200.00	4.0
⚡ BUCK CAPITAL MGMT		OC	SS	20,000	12.00	n/a	n/a	non-brg	4.0
PIONEER OAKRIDGE	031	ORLY	S	645	37.09	n/a	n/a	12.90	2.0
PIONEER OAKRIDGE	031	PCP	S	240	67.52	n/a	n/a	4.80	2.0
⚡ BUCK CAPITAL MGMT		PDCO	SS	10,000	21.48	n/a	n/a	non-brg	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B	BUCK CAPITAL MGMT		PDCO	SS	10,000	21.54	n/a	n/a	non-brg 4.0
	PIONEER OAKRIDGE	031	PEP	S	530	56.78	n/a	n/a	10.60 2.0
B	BUCK CAPITAL MGMT		PERY	S	10,521	7.00	n/a	n/a	non-brg 1.0
B	BUCK CAPITAL MGMT		PERY	S	30,400	6.93	n/a	n/a	non-brg 1.0
	PIONEER OAKRIDGE	031	PG	S	655	50.92	5-Jun	5-Jun	13.10 2.0
	BUCK CAPITAL MGMT	001	PVH	B	20,000	26.82	20-Apr	21-May	800.00 4.0
B	BUCK CAPITAL MGMT		PVH	B	10,000	26.87	20-Apr	21-May	non-brg 4.0
	PIONEER OAKRIDGE	031	PWR	S	980	21.35	n/a	n/a	19.60 2.0
	PIONEER OAKRIDGE	031	QCOM	S	1,050	44.67	n/a	n/a	21.00 2.0
f	ANDREW SINCLAIR	FID	QQQIJ	S	65	1.29	n/a	n/a	0.00 0.0
	DISCIPLINED GROWTH INV.	030	RCL	B	2,000	12.80	22-Apr	24-Apr	100.00 5.0
	BUCK CAPITAL MGMT	001	RL	B	10,000	50.19	25-Jun	25-Jun	400.00 4.0
B	BUCK CAPITAL MGMT		RL	B	20,000	50.56	25-Jun	25-Jun	non-brg 4.0
f	MICHAEL THOMAS NOLAN	FID	RL	B	250	49.89	25-Jun	25-Jun	0.00 0.0
	PIONEER OAKRIDGE	031	RMD	S	570	39.28	n/a	n/a	11.40 2.0
	PIONEER OAKRIDGE	031	RTN	S	460	42.84	n/a	n/a	9.20 2.0
	BUCK CAPITAL MGMT	001	SAI	SS	20,000	18.04	n/a	n/a	800.00 4.0
	PIONEER OAKRIDGE	031	SAP	S	600	38.50	n/a	n/a	12.00 2.0

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<b>B BUCK CAPITAL MGMT</b>		<b>SBUX</b>	<b>SS</b>	<b>100,000</b>	<b>13.26</b>	<b>20-Apr</b>	<b>30-Apr</b>	<b>non-brg</b>	<b>4.0</b>
PIONEER OAKRIDGE	031	SCHW	S	1,390	16.82	n/a	n/a	27.80	2.0
SANDELL ADV	040	SFD	B	50,000	13.13	n/a	n/a	2,000.00	4.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>SHS</b>	<b>B</b>	<b>5000</b>	<b>5.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKS</b>	<b>B</b>	<b>50,000</b>	<b>4.56</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>B</b>	<b>2,500</b>	<b>9.12</b>	<b>n/a</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>S</b>	<b>2,500</b>	<b>9.09</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>0.0</b>
PIONEER OAKRIDGE	031	SLB	S	585	50.60	n/a	n/a	11.70	2.0
PIONEER OAKRIDGE	031	SPLS	S	1,440	18.84	n/a	27-Jul	28.80	2.0
PIONEER OAKRIDGE	031	SRCL	S	465	49.93	n/a	n/a	9.30	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>STP</b>	<b>SS</b>	<b>50,000</b>	<b>17.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PIONEER OAKRIDGE	031	SWN	S	1,365	35.29	27-Feb	1-Jul	27.30	2.0
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>SZCSI</b>	<b>B</b>	<b>75</b>	<b>1.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>SZCSI</b>	<b>S</b>	<b>75</b>	<b>1.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>SZCTI</b>	<b>B</b>	<b>35</b>	<b>2.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WASHINGTON ASSET	048	TAN	S	15,000	8.88	n/a	n/a	750.00	5.0



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<b>B BUCK CAPITAL MGMT</b>		<b>TEX</b>	<b>B</b>	<b>25,000</b>	<b>10.91</b>	<b>13-Feb</b>	<b>12-Jun</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAPITAL	038	TGT	B	50,000	37.36	25-Feb	6-Jul	2,500.00	5.0
PIONEER OAKRIDGE	031	TGT	S	425	37.03	25-Feb	6-Jul	8.50	2.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>THI</b>	<b>SS</b>	<b>30,000</b>	<b>24.58</b>	<b>n/a</b>	<b>24-Apr</b>	<b>1,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>THI</b>	<b>C</b>	<b>40,000</b>	<b>24.88</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>4.0</b>
BMO NESBITT BURNS	041	TIN	B	25,000	12.09	5-Jun	5-Jun	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>S</b>	<b>52,700</b>	<b>30.61</b>	<b>4-May</b>	<b>6-Jul</b>	<b>2,108.00</b>	<b>4.0</b>
DISCIPLINED GROWTH INV.	030	TJX	B	3,200	30.85	4-May	6-Jul	160.00	5.0
PIONEER OAKRIDGE	031	TMO	S	770	39.35	n/a	n/a	15.40	2.0
CIRCLE T/SES PART	035	TM	B	5,000	75.70	n/a	n/a	200.00	4.0
PIONEER OAKRIDGE	031	TXN	S	850	21.09	n/a	n/a	17.00	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>230,000</b>	<b>19.51</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	URBN	SS	50,000	19.81	n/a	30-Jan	2,000.00	4.0
HIGHBRIDGE CAPITAL	038	VZ	SS	84,000	30.27	n/a	4-Jan	4,200.00	5.0
PIONEER OAKRIDGE	031	V	S	270	60.75	n/a	1-Jul	5.40	2.0
AVESTA CAP	229	WAG	B	50,000	28.98	n/a	n/a	2,000.00	4.0
PIONEER OAKRIDGE	031	WCN	S	1,190	25.40	n/a	n/a	23.80	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HUNTER GLOBAL INVESTOR	067	WFC	B	50,000	22.72	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		WFT	B	10,000	17.65	n/a	n/a	non-brg	4.0
HOLLIS CAPITAL	033	WFT	S	5,000	17.72	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	WRC	B	500	31.24	13-May	13-May	20.00	4.0
⌘ BUCK CAPITAL MGMT		WYNN	SS	50,000	32.52	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		XLFX	SS	370,000	11.31	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		XRT	SS	200,000	26.43	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		XRT	SS	300,000	26.15	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		XRT	SS	50,000	26.35	n/a	n/a	non-brg	1.0
PIONEER OAKRIDGE	031	XTO	S	755	33.71	n/a	n/a	15.10	2.0
<b>TOTAL (Trade Date):</b>								<b>76,027.85</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/01	PHILADELPHIA FINANCIAL	033	BLK	B	20,000	175.05	12-Jun	2-Jul	800.00 4.0
AO,CXL	07/01	PHILADELPHIA FINANCIAL	033	BLK	B	20,000	175.05	12-Jun	2-Jul	1000.00 5.0
AO,CXL	07/02	COLUMBIA PARTNERS	028	EPR	B	14	20.25	n/a	n/a	0.56 4.0
AO,CRT	07/02	COLUMBIA PARTNERS	028	EPR	B	14	20.25	n/a	n/a	0.56 4.0
AO,	07/02	ASCEND PARTNERS	033	GYMB	B	37,500	34.29	21-May	6-Jul	1875.00 5.0
AO,CXL	07/02	HUNTER GLOBAL INVESTOR	067	GYMB	B	37,500	34.29	21-May	6-Jul	0.00 0.0
AO,	07/02	HUNTER GLOBAL INVESTOR	067	PEP	B	100,000	56.56	n/a	n/a	5000.00 5.0
AO,CRT	07/02	ARMATURE CAPITAL	041	PH	SS	25,000	42.00	n/a	19-Jun	1000.00 4.0
AO,CXL	07/02	MILLENIUM PARTNERS	028	PH	SS	25,000	42.00	n/a	19-Jun	1250.00 5.0
AO,	07/02	BUCK CAPITAL MGMT	001	RL	B	10,000	51.79	25-Jun	25-Jun	400.00 4.0
AO,	07/02	ASCEND PARTNERS	033	TJX	B	25,000	30.50	4-May	6-Jul	1250.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>8,075.00</b>

TOTAL (Trade Date) :	76,027.85
TOTAL (AO, CXL, CRT) :	8,075.00

**TOTAL (RUN DATE) / NFS: 84,102.85**

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MRI Securities with Trade Activity on 6-Jul.

NONE

MRI Securities without Trade Activity on 6-Jul.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 24%

YTD = 20%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**