



Daily Compliance Activity Report

Trade Date: 06/26/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	5,000	570.35	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ABG	S	24,000	21.80	n/a	27-Apr	non-brg	4.0
RIDGECREST INV MGMT LLC	028	AGCO	B	10,000	41.37	n/a	13-Jun	400.00	4.0
BUCK CAPITAL MGMT		ANN	B	10,000	24.76	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ANN	B	20,000	24.91	n/a	n/a	non-brg	4.0
FORTRESS INVESTMENT (US)	036	BA	B	45,000	71.07	n/a	20-Jun	900.00	2.0
BUCK CAPITAL MGMT		BBBY	B	20,000	59.81	n/a	n/a	non-brg	4.0
NEWLAND CAPITAL MGT	025	BECN	SS	10,000	24.15	n/a	n/a	400.00	4.0
CHARTER OAK	033	BUD	S	25,678	72.40	n/a	4-Jun	1,283.90	5.0
CATAPULT CAP/DEFRANCO	040	BWA	SS	16,000	64.18	n/a	27-Apr	640.00	4.0
ROSEDALE CAPITAL	046	CMC	B	10,000	11.77	n/a	n/a	400.00	4.0
OHIO PERS	047	COG	B	68,500	36.94	n/a	22-Jun	2,055.00	3.0
DAVID GEORGE BUCK	FID	CVS	B	1000	45.03	n/a	n/a	0.00	0.0
FRED ALGER MGMT	035	C	S	26,850	26.81	n/a	20-Jun	966.60	3.6
MERRILL ASSET/BLACKROCK	297	DFT	B	16,700	27.46	n/a	n/a	668.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEINTRAUB CAPITAL MGT.	269	DG	S	50,000	54.26	n/a	5-Jun	2,000.00	4.0
f DAVID GEORGE BUCK	FID	DRI	B	1000	49.29	n/a	25-Jun	0.00	0.0
OHIO PERS	047	DV	B	72,127	29.19	n/a	n/a	2,163.81	3.0
CATAPULT CAP/DEFRANCO	040	FAST	B	39,691	38.63	n/a	n/a	1,587.64	4.0
VISIUM/BALY BOBBY	044	FAST	SS	20,000	38.44	n/a	n/a	800.00	4.0
f DAVID GEORGE BUCK	FID	FCNTX	B	681	73.41	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	FL	B	164,575	29.00	n/a	18-May	4,937.25	3.0
B BUCK CAPITAL MGMT		FOSL	B	30,000	75.48	n/a	n/a	non-brg	4.0
f MITCHELL JAMES SPEIS	FID	FSNXX	S	25000	1.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GCO	B	10,000	58.06	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIII	B	10,000	23.43	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIII	B	10,000	23.43	n/a	n/a	non-brg	4.0
KLEINHEINZ CAP PART	031	GIL	S	50,000	26.39	n/a	12-Jun	2,500.00	5.0
B BUCK CAPITAL MGMT		GPI	S	8,000	44.38	n/a	27-Apr	non-brg	4.0
FRONTIER	029	HMA	S	136,550	6.71	n/a	n/a	4,096.50	3.0
FORTRESS INVESTMENT (US)	036	HON	S	48,000	53.36	n/a	14-Jun	960.00	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		HOT	B	20,000	50.57	n/a	13-Jun	non-brg	4.0
CRAMER ROSENTHAL	070	HOT	B	43,500	50.21	n/a	13-Jun	1,740.00	4.0
OHIO PERS	047	HUM	S	14,387	78.81	n/a	n/a	431.61	3.0
⚡ BUCK CAPITAL MGMT		JPCMSBCM	C	36,047	104.09	n/a	n/a	non-brg	0.3
⚡ BUCK CAPITAL MGMT		JPCMSBCM	C	47,530	104.09	n/a	n/a	non-brg	0.3
⚡ BUCK CAPITAL MGMT		JPCMSBCM	SS	35,539	104.09	n/a	n/a	non-brg	0.0
⚡ BUCK CAPITAL MGMT		JPCMSBCM	SS	46,861	104.09	n/a	n/a	non-brg	0.0
LATEEF MGMT	035	JPM	B	1,275	35.83	n/a	20-Jun	173.75	17.3
⚡ BUCK CAPITAL MGMT		LAD	S	20,000	22.60	n/a	n/a	non-brg	4.0
⚡ STEFFANIE CARMELA CA	FID	LAD	B	200	22.34	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	LAZ	SS	10,000	24.75	n/a	20-Jun	500.00	5.0
⚡ BUCK CAPITAL MGMT		LTM	C	15,000	44.77	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LTM	C	25,000	44.77	n/a	n/a	non-brg	4.0
TUDOR INVESTORS	028	MHK	S	5,000	66.40	n/a	n/a	150.00	3.0
PARKWEST	084	MIC	B	10,000	32.18	n/a	n/a	300.00	3.0
⚡ BUCK CAPITAL MGMT		MSMSBCM	C	1,283,488	104.11	n/a	n/a	non-brg	0.3
⚡ BUCK CAPITAL MGMT		MSMSBCM	C	1,339,921	104.11	n/a	n/a	non-brg	0.3
⚡ BUCK CAPITAL MGMT		MSMSBCM	SS	1,265,000	104.11	n/a	n/a	non-brg	0.0
⚡ BUCK CAPITAL MGMT		MSMSBCM	SS	1,320,763	104.11	n/a	n/a	non-brg	0.0
DELTEC ASSET MGMT.	040	MTW	B	10,000	10.14	n/a	13-Jun	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		M	S	50,000	33.68	n/a	1-Jun	non-brg	4.0
EMPYREAN CAPITAL	084	NAV	B	75,000	25.13	n/a	13-Jun	2,250.00	3.0
f MITCHELL JAMES SPEIS	FID	NLY	B	1000	17.13	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		PAG	S	34,000	20.89	n/a	26-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PII	S	33,800	68.64	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PLCE	S	21,500	46.44	n/a	5-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PVH	B	10,000	77.05	n/a	25-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		RL	SS	10,000	140.64	n/a	23-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		RL	SS	20,000	140.59	n/a	23-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SAH	S	36,000	12.85	n/a	n/a	non-brg	4.0
TUDOR INVESTORS	028	SWK	B	5,000	61.20	n/a	n/a	150.00	3.0
f DAVID GEORGE BUCK	FID	SXCI	B	4	0.60	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SXCI	S	1000	92.98	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	TEX	B	10,000	16.27	n/a	13-Jun	500.00	5.0
VISIUM/BALY BOBBY	044	TEX	B	30,000	15.89	n/a	13-Jun	1,200.00	4.0
⚡ BUCK CAPITAL MGMT		TIF	SS	40,000	51.14	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TXRH	SS	20,000	18.09	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		URBN	SS	30,000	27.11	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		VFC	B	30,000	139.67	n/a	27-Apr	non-brg 4.0
TOTAL (Trade Date):								34,654.06

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									34,654.06
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									34,654.06

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 26-Jun.
NONE

MRI Securities without Trade Activity on 26-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)