



# Daily Compliance Activity Report

Trade Date: 06/30/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		13199ACY5	S	1,800,000	25.75	n/a	n/a	non-brg 5.0
BALYASNY ASSET MGMT	044	AB	S	15,000	55.11	9-Apr	23-Jun	750.00 5.0
PATTEN & PATTEN	067	ADM	B	1,000	33.71	29-Apr	23-Jun	50.00 5.0
MCCARTAN	028	AEO	B	400	13.86	6-Mar	6-Jun	0.00 0.0
DAVIS SELECT ADV	040	AES	B	2,700	18.80	n/a	n/a	81.00 3.0
SAC TRADING HOWARD	038	AMZN	B	5,000	74.00	n/a	n/a	250.00 5.0
BUCK CAPITAL MGMT		AN+VB	SS	1,000	0.98	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	64.68	10-Apr	6-Jun	800.00 4.0
KORMAN	003	ANN	SS	2,000	24.25	14-Mar	22-May	0.00 0.0
BUCK CAPITAL MGMT		AN	SS	150,000	10.27	23-Jun	23-Jun	non-brg 4.0
BUCK CAPITAL MGMT		APP	B	30,000	6.59	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		ARO	B	100,000	32.26	28-Apr	6-Jun	non-brg 4.0
COUGAR TRADING	044	ARO	B	10,000	31.37	28-Apr	6-Jun	500.00 5.0
COUGAR TRADING	044	ARO	SS	20,000	32.07	28-Apr	6-Jun	1,000.00 5.0
FRONTPOINT CHRIS C	041	A	B	14,300	35.68	n/a	n/a	572.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	BAC	S	600	24.32	n/a	16-Jun	30.00	5.0
⚡ BUCK CAPITAL MGMT		BARE	B	10,000	18.95	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BBBY	SS	20,000	28.68	n/a	n/a	non-brg	4.0
CLOVIS CAPITAL	012	BBBY	SS	100,000	28.67	n/a	n/a	5,000.00	5.0
PATTEN & PATTEN	067	BBY	B	100	40.04	n/a	27-Jul	5.00	5.0
⚡ BUCK CAPITAL MGMT		BHQ+WX	SS	1,000	0.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	BIG	SS	10,000	31.57	29-May	5-Jun	400.00	4.0
COUGAR TRADING	044	BKC	S	5,000	26.86	1-May	2-May	250.00	5.0
SCOPUS FUND	041	BWA	SS	5,000	44.50	10-Jun	10-Jun	250.00	5.0
BUCK CAPITAL MGMT	001	CBK	B	10,000	6.82	n/a	27-Jun	400.00	4.0
BECK MACK & OLIVER LLC	045	CBT	S	21,400	24.54	n/a	23-Jun	1,070.00	5.0
MFP INVESTORS	032	CBT	B	7,500	24.65	n/a	23-Jun	375.00	5.0
BUCK	015	CHTT	C	1,500	66.65	2-Jun	24-Jun	0.00	0.0
⚡ BUCK CAPITAL MGMT		CHUX	SS	16,100	10.45	n/a	n/a	non-brg	4.0
KORMAN	003	CHUX	SS	4,000	10.49	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	CLX	S	20,000	52.30	n/a	24-Jun	1,000.00	5.0
BUCK	015	CMA	SS	1,000	25.95	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		CMG	C	5,700	83.51	n/a	24-Apr	non-brg	4.0
BUCK	015	COF	SS	1,000	38.60	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		COLM	SS	10,400	37.11	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CPKI	C	25,000	11.42	n/a	9-May	non-brg	1.0
BUCK CAPITAL MGMT		CPKI	C	91,400	11.34	n/a	9-May	non-brg	4.0
COUGAR TRADING	044	CPKI	B	10,000	11.40	n/a	9-May	500.00	5.0
BUCK CAPITAL MGMT		CPNCW	C	1,600	0.47	n/a	n/a	non-brg	2.5
MERRILL ASSET	032	CRR	S	10,800	58.97	5-Jun	18-Jun	540.00	5.0
CHILTON CAPITAL MGMT.	036	C	SS	2,000,000	16.74	19-Jun	19-Jun	80,000.00	4.0
OGORMAN	046	C	S	1,000	16.71	19-Jun	19-Jun	0.00	0.0
PATTEN & PATTEN	067	DE	B	40	71.92	n/a	23-May	2.00	5.0
SLS CAPITAL LLC	224	DIS	SS	202,400	31.33	n/a	n/a	8,096.00	4.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	6,800	32.70	n/a	5-Jun	272.00	4.0
GENERAL ELECTIC CO	033	DOV	S	20,000	48.72	n/a	n/a	400.00	2.0
ENTRUST CAPITAL	040	DPS	B	165,900	21.06	n/a	n/a	8,295.00	5.0
BUCK CAPITAL MGMT		DPZ	SS	20,000	11.51	n/a	24-Apr	non-brg	4.0
BUCK	015	DVN	S	700	119.15	7-May	18-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CLOVIS CAPITAL	012	DV	B	50,000	54.74	n/a	n/a	2,500.00	5.0
PATTEN & PATTEN	067	EPP	S	100	136.80	n/a	n/a	5.00	5.0
⌘ BUCK CAPITAL MGMT		ETH	SS	20,000	24.95	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	EWZ	B	200	90.18	n/a	n/a	10.00	5.0
THOMAS WEISEL ASSET MGMT	035	EXLS	B	1,750	14.26	n/a	n/a	52.50	3.0
BAYPOINT TRADING/XYLEM	091	EXP	B	45,000	25.51	n/a	n/a	2,250.00	5.0
⌘ BUCK CAPITAL MGMT		FBN	SS	150,000	13.45	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FBN	SS	50,000	13.50	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FDO	C	130,000	19.93	30-Jun	30-Jun	non-brg	4.0
POST ROAD CAP	045	FDO	B	30,000	20.07	30-Jun	30-Jun	900.00	3.0
JP MORGAN VENTURES	046	FL	B	25,000	12.56	2-Apr	23-May	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		FOSL	B	20,000	29.74	n/a	14-May	non-brg	4.0
BUCK CAPITAL MGMT	001	GCO	B	20,000	31.06	n/a	10-Jan	800.00	4.0
JPMSI/TOM LEDDY	035	GCO	S	10,000	31.13	n/a	10-Jan	500.00	5.0
NOMURA CAPITAL	045	GETIL	B	100	22.00	n/a	n/a	5.00	5.0
⌘ BUCK CAPITAL MGMT		GIII	B	22,500	12.54	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		GIL	B	20,000	25.72	30-Apr	27-Jun	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>8,200</b>	<b>25.73</b>	<b>30-Apr</b>	<b>27-Jun</b>	<b>non-brg</b>	<b>4.0</b>
EGS ASSOCIATES LP	091	GIL	B	3,500	25.52	30-Apr	27-Jun	210.00	6.0
HEALY CIRCLE	044	GT	B	15,000	17.66	n/a	n/a	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HAS+TZ</b>	<b>SS</b>	<b>1,000</b>	<b>0.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
CLOVIS CAPITAL	012	HAS	SS	85,000	35.62	n/a	n/a	4,250.00	5.0
<b>CROWLEY</b>	<b>061</b>	<b>HCGI</b>	<b>S</b>	<b>5,000</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC TRADING HOWARD	038	HIBB	B	25,000	21.48	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HVT</b>	<b>SS</b>	<b>20,000</b>	<b>10.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HVT</b>	<b>SS</b>	<b>30,000</b>	<b>10.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	067	IAT	B	100	26.65	n/a	n/a	5.00	5.0
<b>WYCKOFF</b>	<b>013</b>	<b>JADE</b>	<b>S</b>	<b>1,500</b>	<b>2.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>JADE</b>	<b>S</b>	<b>260</b>	<b>2.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	JCG	B	5,000	31.98	n/a	30-May	250.00	5.0
COUGAR TRADING	044	JCG	SS	10,000	32.14	n/a	30-May	500.00	5.0
FRIESS MGMT	033	JCG	B	293,600	32.49	n/a	30-May	11,744.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCP+WD</b>	<b>SS</b>	<b>1,000</b>	<b>2.72</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>10,000</b>	<b>27.91</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
JP MORGAN VENTURES	046	JOSB	S	25,000	27.26	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	JWN	B	5,000	31.19	16-May	6-Jun	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	JWN	SS	5,000	30.83	16-May	6-Jun	250.00	5.0
WOOSTER CAPITAL	035	JWN	B	50,000	31.23	16-May	6-Jun	2,500.00	5.0
COUGAR TRADING	044	KSS	B	5,000	40.36	n/a	6-Jun	250.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	40.58	n/a	6-Jun	250.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>KSS</b>	<b>B</b>	<b>200</b>	<b>40.28</b>	<b>n/a</b>	<b>6-Jun</b>	<b>0.00</b>	<b>0.0</b>
FRONTPOINT CHRIS C	041	LAMR	SS	3,400	36.71	n/a	n/a	136.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>LEG+XC</b>	<b>SS</b>	<b>1,000</b>	<b>0.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LEG</b>	<b>SS</b>	<b>70,000</b>	<b>16.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>HART</b>	<b>056</b>	<b>LEH</b>	<b>S</b>	<b>34</b>	<b>20.13</b>	<b>9-Jun</b>	<b>17-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LL</b>	<b>B</b>	<b>40,000</b>	<b>13.27</b>	<b>n/a</b>	<b>n/a</b>	<b>1,600.00</b>	<b>4.0</b>
ROYCE TRADING	216	LCY	S	15,000	18.00	n/a	n/a	750.00	5.0
BAYPOINT TRADING/XYLEM	091	LPX	B	75,000	8.67	7-Apr	7-May	3,750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LTM</b>	<b>S</b>	<b>30,000</b>	<b>29.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK</b>	<b>015</b>	<b>LVS</b>	<b>SS</b>	<b>1,000</b>	<b>47.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	MBI	S	100	3.77	n/a	n/a	5.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>MCD</b>	<b>B</b>	<b>50,000</b>	<b>57.30</b>	<b>9-Jun</b>	<b>9-Jun</b>	<b>2,000.00</b>	<b>4.0</b>
<b>BARR</b>	<b>096</b>	<b>MENT</b>	<b>B</b>	<b>1,200</b>	<b>15.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>BARR</b>	<b>096</b>	<b>MER</b>	<b>SS</b>	<b>400</b>	<b>32.36</b>	<b>24-Jun</b>	<b>24-Jun</b>	<b>0.00</b>	<b>0.0</b>
NOMURA CAPITAL	045	MGI	B	50,000	0.91	n/a	n/a	1,000.00	2.0
PATTEN & PATTEN	067	MMM	S	200	68.91	n/a	25-Jun	10.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MSM+SI</b>	<b>B</b>	<b>500</b>	<b>2.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
FRONTPOINT CHRIS C	041	MSM	B	3,600	44.03	n/a	n/a	144.00	4.0
HUNTER GLOBAL INVESTOR	067	MSM	B	100,000	44.77	n/a	n/a	5,000.00	5.0
<b>BUCK</b>	<b>015</b>	<b>MS</b>	<b>C</b>	<b>2,000</b>	<b>36.30</b>	<b>n/a</b>	<b>19-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY1</b>	<b>036</b>	<b>MTWHG</b>	<b>B</b>	<b>5</b>	<b>1.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>MTW</b>	<b>B</b>	<b>200</b>	<b>32.77</b>	<b>n/a</b>	<b>23-May</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>MTW</b>	<b>B</b>	<b>300</b>	<b>32.76</b>	<b>n/a</b>	<b>23-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>273,700</b>	<b>134.43</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>13.4</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>SS</b>	<b>89,700</b>	<b>130.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>13.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MW+TC</b>	<b>SS</b>	<b>400</b>	<b>0.72</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
MS PRINCIPAL STRATEGIES	041	NAV	SS	25,000	64.15	n/a	n/a	1,250.00	5.0
WHITE PINE CAPITAL	030	NWY	S	4,450	9.47	n/a	22-May	222.50	5.0
MATRIX ASSET ADV.	036	OMC	B	4,500	44.44	n/a	n/a	180.00	4.0
FRONTPOINT CHRIS C	041	OSK	S	21,600	20.41	n/a	n/a	864.00	4.0

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BARR	096	PAAS	B	400	34.62	n/a	n/a	0.00	0.0
BAYPOINT TRADING/XYLEM	091	PCL	B	20,000	43.14	29-Apr	29-Apr	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		PETM	SS	20,000	20.14	n/a	n/a	non-brg	4.0
FRONTPOINT CHRIS C	041	PNC	SS	2,000	57.90	n/a	n/a	80.00	4.0
WAGONHOUND/NIC	048	PNRA	B	3,069	47.00	n/a	30-Jun	122.76	4.0
US BANCORP	030	PPL	S	47,526	52.61	n/a	n/a	2,376.30	5.0
⌘ BUCK CAPITAL MGMT		PZZA	SS	20,000	26.72	n/a	24-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		QUY+WV	SS	1,000	0.95	n/a	n/a	non-brg	100.0
MS PRINCIPAL STRATEGIES	041	RDC	B	110,000	46.94	n/a	n/a	5,500.00	5.0
STERLING JOHNSTON	035	RDEN	B	20,000	15.25	10-Jun	24-Jun	800.00	4.0
⌘ BUCK CAPITAL MGMT		RL	B	10,000	62.02	7-Feb	29-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SBUX	SS	100,000	15.92	n/a	24-Apr	non-brg	4.0
ROYCE TRADING	216	SFY	S	5,000	65.40	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		SHOO	B	20,000	18.45	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SKS+WB	SS	1,000	1.00	n/a	n/a	non-brg	100.0



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⚡ BUCK CAPITAL MGMT		SKS	SS	100,000	11.20	n/a	n/a	non-brg	1.0
COUGAR TRADING	044	SKS	B	5,000	11.18	n/a	n/a	250.00	5.0
KORMAN	003	SKS	SS	5,000	11.12	n/a	n/a	0.00	0.0
BUCK	015	SLB	B	200	107.48	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	SLGN	B	7,150	51.07	n/a	n/a	286.00	4.0
BARR	096	SLV	B	100	172.17	n/a	n/a	0.00	0.0
MKT MAKER	099	SSCC	S	200	4.01	7-Apr	26-Jun	0.00	0.0
CASTLE ARK	031	SWN	S	101,270	46.84	20-Mar	18-Jun	6,076.20	6.0
THE BOSTON COMPANY	401	SWN	B	20,630	47.71	20-Mar	18-Jun	825.20	4.0
CHILTON CAPITAL MGMT.	036	SWY	S	110,200	28.55	n/a	n/a	4,408.00	4.0
LEDDY	035	TEX	B	200	51.84	n/a	3-Jun	0.00	0.0
⚡ BUCK CAPITAL MGMT		TGT+VA	SS	1,000	2.72	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		TGT	SS	100,000	47.25	n/a	5-Jun	non-brg	1.0
BUCK CAPITAL MGMT	001	THI	SS	40,000	28.82	n/a	24-Apr	1,600.00	4.0
⚡ BUCK CAPITAL MGMT		THI	SS	15,000	28.71	n/a	24-Apr	non-brg	1.0
⚡ BUCK CAPITAL MGMT		TJX+JG	SS	1,000	1.27	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		TJX	B	50,000	31.80	n/a	6-Jun	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RHO CAPITAL MGMT	041	TLT	B	700	92.61	n/a	n/a	28.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>TROW</b>	<b>C</b>	<b>500</b>	<b>56.37</b>	<b>n/a</b>	<b>23-Jun</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	TUP	S	13,700	33.12	n/a	n/a	548.00	4.0
PATTEN & PATTEN	067	TXN	S	200	28.23	n/a	n/a	10.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>UA</b>	<b>B</b>	<b>200</b>	<b>25.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ULTA</b>	<b>B</b>	<b>20,000</b>	<b>11.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROYCE TRADING	216	UNT	S	33,380	82.39	20-Mar	18-Jun	1,669.00	5.0
COUGAR TRADING	044	URBN	SS	5,000	31.26	15-May	15-May	250.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>URBN</b>	<b>SS</b>	<b>7,000</b>	<b>31.71</b>	<b>15-May</b>	<b>15-May</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	VZ	C	50,000	34.99	4-Jan	4-Jan	2,500.00	5.0
ADALY INV MGMT	067	WBC	S	8,800	46.56	30-Apr	11-Jun	440.00	5.0
HUNTER GLOBAL INVESTOR	067	WDR	B	100,000	35.42	22-Apr	23-Jun	5,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WFMI</b>	<b>SS</b>	<b>40,000</b>	<b>23.63</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>B</b>	<b>25,000</b>	<b>44.90</b>	<b>13-May</b>	<b>13-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WSM+WC</b>	<b>SS</b>	<b>1,000</b>	<b>0.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WSM</b>	<b>SS</b>	<b>50,000</b>	<b>20.08</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		WSM	SS	20,000	20.05	n/a	n/a	non-brg	4.0
DANIELS	N25	WYN	B	200	18.17	n/a	n/a	0.00	0.0
SCOPUS FUND	041	WY	B	23,300	51.10	7-Apr	27-May	1,165.00	5.0
OGORMAN	046	XOHO	S	10,000	0.40	n/a	n/a	0.00	0.0
US BANCORP	030	XOM	S	26,934	87.56	n/a	n/a	1,346.70	5.0
B BUCK CAPITAL MGMT		XRT	C	416,800	30.05	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		ZUMZ	SS	25,000	16.69	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):								198,312.16	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/27	RHO CAPITAL MGMT	041	ENER	S	1,300	74.27	n/a	n/a	52.00 4.0
AO,CXL	06/27	RHO CAPITAL MGMT	041	ENER	S	1,300	74.27	n/a	n/a	39.00 3.0
AO,	06/27	NOMURA CAPITAL	045	GETIL	B	519	20.61	n/a	n/a	25.95 5.0
AO,CRT	06/27	RHO CAPITAL MGMT	041	MRVL	S	2,700	17.24	n/a	n/a	108.00 4.0
AO,CXL	06/27	RHO CAPITAL MGMT	041	MRVL	S	2,700	17.24	n/a	n/a	81.00 3.0

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**TOTAL (AO, CXL, CRT) :** **65.95**

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**TOTAL (Trade Date) :** 198,312.16**TOTAL (AO, CXL, CRT) :** 65.95

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**TOTAL (RUN DATE) / NFS:** **198,378.11**

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MRI Securities with Trade Activity on 30-Jun.

FDO

MRI Securities without Trade Activity on 30-Jun.

ARG, WMT

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 4%
YTD = 15%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**