



Daily Compliance Activity Report

Trade Date: 10/22/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	ANN	B	140,000	21.59	n/a	n/a	5,600.00	4.0
SNYDER CAPITAL	033	ANN	S	3,200	21.65	n/a	n/a	128.00	4.0
SNYDER CAPITAL	033	ANR	S	2,100	44.86	n/a	n/a	84.00	4.0
CAXTON PART	040	AXP	C	50,000	39.76	n/a	22-Oct	1,750.00	3.5
SCHOTTENFELD ASSOC	041	BAC	S	25,000	11.42	n/a	20-Oct	750.00	3.0
NEUBERGER BERMAN	036	BCR	B	36,886	83.17	n/a	n/a	1,475.44	4.0
KACELA CAPITAL	034	COST	B	32,000	64.04	n/a	n/a	1,280.00	4.0
MINDSHARE CAPITAL MGMT	046	CVGI	B	48,470	12.56	n/a	n/a	2,423.50	5.0
SNYDER CAPITAL	033	DBRN	S	1,300	22.78	n/a	n/a	52.00	4.0
HARBORWATCH/DIAMBACK	232	DD	S	25,000	46.71	n/a	23-Sep	1,250.00	5.0
GRUSS CAPITAL	025	DEI	S	10,000	18.21	n/a	n/a	300.00	3.0
DAVIDSON KEMPNER ADVISOR	040	DF	C	25,000	9.99	n/a	n/a	1,250.00	5.0
IRIDIAN ASSET MGMT.	036	DRC	S	5,040	37.64	n/a	n/a	252.00	5.0
LATEFF MGMT	035	DVN	S	2,000	66.34	n/a	22-Oct	480.00	24.0
NEW JERSEY DIV OF INV.	030	DWA	S	30,000	32.51	n/a	n/a	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEW JERSEY DIV OF INV.	030	EMC	S	200,000	21.39	n/a	n/a	8,000.00	4.0
THOMPSON SIEGAL&WALMSLEY	029	ENDP	S	5,100	35.88	n/a	22-Oct	102.00	2.0
KLEINHEINZ CAP PART	031	EOG	SS	80,000	97.67	n/a	n/a	4,000.00	5.0
HARBORWATCH/DIAMBACK	232	FCX	C	30,000	93.34	n/a	n/a	1,500.00	5.0
M&I FUNDS	047	GLW	B	33,757	18.52	n/a	21-Oct	1,350.28	4.0
SPARTA ASSET MGMT	035	GYMB	S	10,000	64.92	n/a	8-Oct	300.00	3.0
SNYDER CAPITAL	033	IPI	S	2,500	29.47	n/a	n/a	100.00	4.0
KENNEDY CAPITAL	046	JAS	B	16,500	40.67	n/a	n/a	660.00	4.0
CAERUS GLOBAL	041	LOW	B	15,000	21.98	n/a	16-Aug	600.00	4.0
WEISS PECK	040	PAG	B	40,000	13.29	n/a	19-Oct	2,000.00	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	15,300	23.78	n/a	19-Oct	765.00	5.0
FRIESS MGMT	033	POL	B	72,100	12.70	n/a	n/a	2,884.00	4.0
SNYDER CAPITAL	033	PTEN	S	5,000	19.80	n/a	n/a	200.00	4.0
UBS OCONNOR	031	ROP	C	100,000	69.25	n/a	n/a	3,000.00	3.0
SNYDER CAPITAL	033	SD	S	4,800	5.48	n/a	n/a	192.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ADAGE CAPITAL MGMT	030	SWY	S	250,000	22.29	n/a	n/a	7,500.00	3.0
NEW JERSEY DIV OF INV.	030	TEL	S	50,000	31.41	n/a	n/a	2,000.00	4.0
DAVIDSON KEMPNER ADVISOR	040	VALE	SS	40,000	31.93	n/a	n/a	2,000.00	5.0
NEW JERSEY DIV OF INV.	030	VIAB	S	50,000	37.30	n/a	n/a	2,000.00	4.0
KACELA CAPITAL	034	VRSN	B	60,000	32.78	n/a	n/a	2,400.00	4.0
TOTAL (Trade Date) :								59,828.22	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	10/21	SNYDER CAPITAL (SNYD1203)	033	CYT	S	150	60.70	n/a	n/a	6.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1202A)	033	CYT	S	200	60.70	n/a	n/a	8.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1301B)	033	CYT	S	600	60.70	n/a	n/a	24.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1307)	033	CYT	S	800	60.70	n/a	n/a	32.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1301)	033	CYT	S	3,800	60.70	n/a	n/a	152.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1301A)	033	CYT	S	600	60.70	n/a	n/a	24.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1121)	033	CYT	S	300	60.70	n/a	n/a	12.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1322)	033	CYT	S	500	60.70	n/a	n/a	20.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1321)	033	CYT	S	400	60.70	n/a	n/a	16.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1314)	033	CYT	S	500	60.70	n/a	n/a	20.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1175)	033	CYT	S	500	60.70	n/a	n/a	20.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1176)	033	CYT	S	400	60.70	n/a	n/a	16.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1177)	033	CYT	S	300	60.70	n/a	n/a	12.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1204)	033	CYT	S	700	60.70	n/a	n/a	28.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYDPERS)	033	CYT	S	3,720	60.70	n/a	n/a	148.80 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1173)	033	CYT	S	75	60.70	n/a	n/a	3.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1172)	033	CYT	S	400	60.70	n/a	n/a	16.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1156)	033	CYT	S	2,400	60.70	n/a	n/a	96.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1154)	033	CYT	S	300	60.70	n/a	n/a	12.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1153)	033	CYT	S	100	60.70	n/a	n/a	4.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1152)	033	CYT	S	1,900	60.70	n/a	n/a	76.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1151)	033	CYT	S	535	60.70	n/a	n/a	21.40 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1143)	033	CYT	S	1,500	60.70	n/a	n/a	60.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1118)	033	CYT	S	800	60.70	n/a	n/a	32.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1126)	033	CYT	S	1,100	60.70	n/a	n/a	44.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1124)	033	CYT	S	1,100	60.70	n/a	n/a	44.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1115)	033	CYT	S	175	60.70	n/a	n/a	7.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	10/21	SNYDER CAPITAL (SNYD1134)	033	CYT	S	700	60.70	n/a	n/a	28.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1181)	033	CYT	S	1,400	60.70	n/a	n/a	56.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1180)	033	CYT	S	300	60.70	n/a	n/a	12.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1315)	033	CYT	S	3,100	60.70	n/a	n/a	124.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1316)	033	CYT	S	900	60.70	n/a	n/a	36.00 4.0
AO,	10/21	SNYDER CAPITAL (SNYD1321A)	033	CYT	S	35	60.70	n/a	n/a	1.40 4.0
AO,	10/21	SNYDER CAPITAL (SNYDGS)	033	CYT	S	200	60.70	n/a	n/a	8.00 4.0
AO,CXL	10/21	SIGMA CAP BOBBY (SIBEDBPB)	044	F	B	50,000	14.13	n/a	19-Oct	1750.00 3.5
AO,CRT	10/21	SIGMA CAP BOBBY (SIBEBOBBY)	044	F	B	50,000	14.13	n/a	19-Oct	1750.00 3.5
AO,	10/21	ROTHSCHILD ASSET (ROTH341)	040	NAL	S	1,100	13.01	n/a	n/a	44.00 4.0
AO,	10/21	ROTHSCHILD ASSET (ROTH500180)	040	NAL	S	2,290	13.01	n/a	n/a	91.60 4.0
AO,	10/21	ROTHSCHILD ASSET (ROTH500170)	040	NAL	S	1,500	13.01	n/a	n/a	60.00 4.0
AO,	10/21	ROTHSCHILD ASSET (ROTH500120)	040	NAL	S	3,080	13.01	n/a	n/a	123.20 4.0
AO,	10/21	ROTHSCHILD ASSET (ROTH370)	040	NAL	S	4,340	13.01	n/a	n/a	173.60 4.0
AO,	10/21	ROTHSCHILD ASSET (ROTH318)	040	NAL	S	5,520	13.01	n/a	n/a	220.80 4.0
TOTAL (AO, CXL, CRT) :										1,932.80
TOTAL (Trade Date):										59,828.22
TOTAL (AO, CXL, CRT):										1,932.80
TOTAL (RUN DATE) / NFS:										61,761.02

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION