



# Daily Compliance Activity Report

Trade Date: 07/12/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SENATOR INVESTMENT GROUP	036	ACI	B	39,505	21.25	n/a	n/a	1,185.15	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>S</b>	<b>8,064</b>	<b>12.10</b>	<b>n/a</b>	<b>9-Jul</b>	<b>322.56</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>94,209</b>	<b>11.98</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	ANF	SS	2,500	35.96	n/a	9-Jul	125.00	5.0
EXIS CAPITAL	044	ANN	B	10,000	17.15	n/a	n/a	500.00	5.0
SHEFFIELD ASSET MGMT	031	ARO	B	37,000	28.37	n/a	9-Jul	1,850.00	5.0
SONICA CAPITAL LLC	025	AVP	SS	9,000	28.39	n/a	12-Jul	270.00	3.0
OZ MGMT	040	AXL	B	75,000	7.55	n/a	7-Jul	2,250.00	3.0
CAPITAL GROWTH MGMT.	241	AXP	S	240,000	42.61	n/a	12-Jul	12,000.00	5.0
<b>DAVID GEORGE BUCK</b>	<b>FID</b>	<b>BAC</b>	<b>B</b>	<b>2000</b>	<b>15.21</b>	<b>n/a</b>	<b>6-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>S</b>	<b>20,000</b>	<b>33.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>S</b>	<b>20,000</b>	<b>33.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>		<b>BC</b>	<b>C</b>	<b>10,000</b>	<b>13.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
WHITE PINE CAPITAL	030	BEBE	S	3,450	6.91	n/a	n/a	172.50	5.0
<b>DAVID GEORGE BUCK</b>	<b>FID</b>	<b>BP</b>	<b>B</b>	<b>30</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	DAVID GEORGE BUCK	FID	BP	S	30	0.04	n/a	n/a	0.00	0.0
	WADDELL AND REED	047	BSBR	B	250,000	12.19	n/a	n/a	7,500.00	3.0
	SCOUT/UMB	047	CBS	S	137,175	14.01	n/a	n/a	4,115.25	3.0
B	BUCK CAPITAL MGMT		CNW	S	16,500	32.27	n/a	n/a	non-brg	0.8
	BUCK CAPITAL MGMT	001	COH	S	111,196	35.69	n/a	14-May	4,447.84	4.0
	BUCK CAPITAL MGMT	001	CRI	S	15,000	26.64	n/a	n/a	600.00	4.0
	MARINER/TRICADIA	041	CYBX	B	3,400	22.80	n/a	n/a	170.00	5.0
	MARINER/TRICADIA	041	CYBX	S	3,400	23.68	n/a	n/a	170.00	5.0
f	MANNY I KORMAN	FID	C	B	20000	4.10	n/a	6-Jul	0.00	0.0
	SANDLER O'NEILL ASSET	031	C	S	50,000	4.05	n/a	6-Jul	1,000.00	2.0
	MILLENIUM PARTNERS	028	DAN	B	20,000	10.37	n/a	7-Jul	800.00	4.0
B	BUCK CAPITAL MGMT		DECK	B	114,406	46.33	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		DECK	B	15,000	46.21	n/a	n/a	non-brg	0.8
B	BUCK CAPITAL MGMT		DECK	C	7,924	47.28	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		DIN	SS	20,000	31.01	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		EBAY	S	64,500	20.30	n/a	n/a	non-brg	4.0
f	DAVID GEORGE BUCK	FID	ESRX	B	1000	47.64	n/a	n/a	0.00	0.0
f	SCOTT L BRUNNER	FID	FAZ	B	2000	15.21	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	041	FDO	B	25,000	36.22	n/a	7-Jul	875.00	3.5
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>FSYS</b>	<b>B</b>	<b>3000</b>	<b>26.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MILLENIUM PARTNERS	028	F	SS	20,000	10.96	n/a	7-Jul	800.00	4.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>GLW</b>	<b>S</b>	<b>5</b>	<b>0.54</b>	<b>n/a</b>	<b>22-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>GLW</b>	<b>B</b>	<b>5000</b>	<b>17.44</b>	<b>n/a</b>	<b>22-Jun</b>	<b>0.00</b>	<b>0.0</b>
SONICA CAPITAL LLC	025	GME	B	15,100	19.34	n/a	n/a	453.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>S</b>	<b>50,000</b>	<b>18.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>GYMB</b>	<b>B</b>	<b>5000</b>	<b>43.80</b>	<b>n/a</b>	<b>9-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HOT</b>	<b>SS</b>	<b>30,000</b>	<b>44.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>SS</b>	<b>70,000</b>	<b>22.89</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
HUNTER GLOBAL INVESTOR	067	JNS	S	148,270	10.02	n/a	n/a	4,448.10	3.0
DELTEC ASSET MGMT.	040	JNY	B	20,000	15.57	n/a	14-May	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>10,000</b>	<b>54.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>JPM</b>	<b>S</b>	<b>1200</b>	<b>39.21</b>	<b>n/a</b>	<b>6-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MAR</b>	<b>SS</b>	<b>30,000</b>	<b>30.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MILLENIUM PARTNERS	028	MAS	SS	10,000	11.26	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MSFT</b>	<b>B</b>	<b>1000</b>	<b>24.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC CAPITAL/M GRONET	030	M	B	10,000	18.03	n/a	9-Jul	350.00	3.5
SAC CAPITAL/M GRONET	030	M	S	5,000	18.09	n/a	9-Jul	0.00	0.0
SONICA CAPITAL LLC	025	NKE	S	6,600	69.71	n/a	n/a	198.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>NWY</b>	<b>S</b>	<b>10,000</b>	<b>2.31</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NWY</b>	<b>S</b>	<b>50,000</b>	<b>2.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
MILLENIUM PARTNERS	028	OC	B	10,000	28.80	n/a	n/a	400.00	4.0
THE JAY GOLDMAN	028	OEH	S	15,000	7.92	n/a	n/a	600.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>PBCT</b>	<b>S</b>	<b>5000</b>	<b>14.12</b>	<b>n/a</b>	<b>29-Jun</b>	<b>0.00</b>	<b>0.0</b>
THE JAY GOLDMAN	028	PNK	SS	10,000	9.64	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PSS</b>	<b>S</b>	<b>100,000</b>	<b>15.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PSS</b>	<b>S</b>	<b>41,716</b>	<b>15.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>B</b>	<b>5,400</b>	<b>47.36</b>	<b>n/a</b>	<b>26-May</b>	<b>non-brg</b>	<b>4.0</b>
SONICA CAPITAL LLC	025	RIMM	B	8,000	53.85	n/a	n/a	240.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>SWY</b>	<b>SS</b>	<b>20,000</b>	<b>19.73</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BASIX	033	TEX	SS	13,000	18.20	n/a	30-Apr	390.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>S</b>	<b>20,000</b>	<b>48.90</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		TGT	S	80,000	49.15	n/a	9-Jul	non-brg	4.0
	CSFB GLOBAL PROPRIETARY	041	TIN	SS	8	21.45	n/a	28-Jun	0.00	0.0
	CSFB GLOBAL PROPRIETARY	041	TIN	S	14,992	21.42	n/a	28-Jun	749.60	5.0
	EXIS CAPITAL	044	TJX	SS	5,000	41.86	n/a	9-Jul	250.00	5.0
B	BUCK CAPITAL MGMT		UAUA	S	10,000	21.56	n/a	n/a	non-brg	0.8
	EXIS CAPITAL	044	UA	B	5,000	35.95	n/a	28-Apr	250.00	5.0
	SAC CAPITAL/M GRONET	030	URBN	B	10,000	33.65	n/a	n/a	0.00	0.0
	SAC CAPITAL/M GRONET	030	URBN	SS	20,000	33.60	n/a	n/a	700.00	3.5
	HUNTER GLOBAL INVESTOR	067	USB	S	200,000	23.74	n/a	n/a	10,000.00	5.0
	EXIS CAPITAL	044	UWM	SS	2,500	27.52	n/a	n/a	125.00	5.0
	EXIS CAPITAL	044	WRC	B	5,000	37.90	n/a	14-May	250.00	5.0
	THE JAY GOLDMAN	028	WYN	S	5,000	21.53	n/a	n/a	200.00	4.0
	COHE & STEERS	041	WY	S	37,000	38.23	n/a	28-Jun	1,480.00	4.0
	EXIS CAPITAL	044	XRT	SS	20,000	36.47	n/a	n/a	1,000.00	5.0
f	MANNY I KORMAN	FID	XRX	B	5000	8.36	n/a	n/a	0.00	0.0
TOTAL (Trade Date):									63,037.00	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/09	TRELLUS PARTNERS LP	028	AXL	B	50,000	7.65	n/a	7-Jul	1500.00 3.0
AO,CRT	07/09	MARINER/TRICADIA	041	IWM	B	8,982	62.14	n/a	n/a	449.10 5.0
AO,CXL	07/09	MARINER/TRICADIA	041	IWM	B	10,000	62.14	n/a	n/a	500.00 5.0
AO,	07/09	MARINER/TRICADIA	041	IWM	B	1,018	62.14	n/a	n/a	50.90 5.0
AO,CRT	07/09	SONICA CAPITAL LLC	025	RIMM	B	5,200	52.42	n/a	n/a	156.00 3.0
AO,CXL	07/09	SONICA CAPITAL LLC	025	RIMM	B	5,200	52.42	n/a	n/a	260.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>1,396.00</b>
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TOTAL (Trade Date) :	63,037.00
TOTAL (AO, CXL, CRT) :	1,396.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>64,433.00</b>
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MRI Securities with Trade Activity on 12-Jul. NONE
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MRI Securities without Trade Activity on 12-Jul. NONE
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 14%

YTD = 10%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)