



RR Commissions Summary [Doug Rogers]

Trade Date: 04/23/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	04/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
1798 CAP/LOMBARD ASSET	040	0	19,750	19,750	33,700	0	0	0	19,750	19,750	33,700	75,990	44
ATLANTIC INVESTMENT MGMT	040	0	0	0	0	0	0	25,905	0	0	25,905	94,313	27
BALLAST CAP	040	0	0	0	0	0	0	0	0	0	0	38,739	0
BNP PARIBAS SEC	040	0	3,888	3,888	19,116	0	0	0	3,888	3,888	19,116	157,588	12
BTG PACTUAL	040	0	5,145	5,145	11,834	0	0	0	5,145	5,145	11,834	12,781	93
CASTLEROCK	040	2,489	11,484	11,484	50,645	0	0	0	11,484	11,484	50,645	75,871	67
CATAPULT	040	0	2,131	2,131	9,898	0	0	0	2,131	2,131	9,898	102,098	10
CATAPULT CAP/DEFRANCO	040	0	0	0	9,298	0	0	0	0	0	9,298	65,779	14
CAXTON PART	040	0	0	0	0	0	0	0	0	0	0	13,017	0
CLEARBRIDGE	040	0	0	0	0	0	0	15,800	0	0	15,800	65,450	24
CLEARLINE CAPITAL LLC	040	0	1,400	1,400	1,400	0	0	0	1,400	1,400	1,400	0	0
COATUE MANAGEMENT	040	0	0	0	0	0	0	0	0	0	0	17,500	0
CYPRESS CAP MGMT LLC	040	0	0	0	2,488	0	0	0	0	0	2,488	7,797	32
DAVIDSON KEMPNER ADVISOR	040	0	8,035	8,035	28,741	0	0	0	8,035	8,035	28,741	57,232	50
DECADE CAPITAL PARTNERS	040	0	0	0	4,988	0	0	0	0	0	4,988	22,868	22
DELTEC ASSET MGMT.	040	250	2,116	2,116	18,883	0	0	0	2,116	2,116	18,883	37,182	51
ENTRUST CAPITAL	040	1,399	1,399	1,399	3,879	0	0	0	1,399	1,399	3,879	1,439	269
FARALLON CAP MGMT	040	0	19,330	19,330	66,924	0	0	0	19,330	19,330	66,924	119,348	56
GREEN ARROW JOHN	040	0	0	0	0	0	0	0	0	0	0	4,900	0
IMPALA ASSET MMT	040	0	44,877	44,877	94,982	0	0	0	44,877	44,877	94,982	278,343	34
KEYGROUP/KGLT	040	1,000	4,960	4,960	10,960	0	0	0	4,960	4,960	10,960	12,560	87
LIONEYE CAPITAL	040	0	2,500	2,500	11,375	0	0	5,000	2,500	2,500	16,375	27,534	59
LOMAS CAPITAL	040	0	9,576	9,576	43,062	0	0	0	9,576	9,576	43,062	63,245	68
LONG OAR GLOBAL INV	040	0	0	0	9,332	0	0	0	0	0	9,332	45,115	21
MAVERICK ADV	040	0	0	0	0	0	0	51,000	0	0	51,000	163,000	31
NOMURA/ANGEL LANE PRINCIPAL	040	0	12,123	12,123	101,643	0	0	0	12,123	12,123	101,643	76,350	133
OZ MGMT	040	7,500	27,052	27,052	161,957	0	0	0	27,052	27,052	161,957	553,938	29
PALESTRA	040	0	0	0	3,540	0	0	0	0	0	3,540	27,018	13
PARTNER FUND MGMT LP	040	0	0	0	0	162,312	162,312	162,312	162,312	162,312	162,312	90,116	180
PROVIDENCE EQUITY CAP. MKTS.	040	2,250	14,358	14,358	44,448	0	0	0	14,358	14,358	44,448	13,056	340
RBC CAPITAL MARKETS	040	0	2,000	2,000	10,800	0	0	0	2,000	2,000	10,800	112,249	10
ROTHSCHILD ASSET	040	0	0	0	17,205	0	0	0	0	0	17,205	79,880	22
ROUTE ONE PARTNERS	040	0	0	0	0	0	0	0	0	0	0	15,000	0
SAFRA ASSET MGMT.	040	0	0	0	2,250	0	0	0	0	0	2,250	150	1500
SOROBAN CAPITAL	040	0	0	0	0	24,926	24,926	26,398	24,926	24,926	26,398	128,783	20
SUFFOLK CAPITAL MGMT	040	0	16,163	16,163	37,315	0	0	0	16,163	16,163	37,315	147,308	25
TALPION LLC	040	0	363	363	1,137	0	0	0	363	363	1,137	15,362	7
TRUST CO/COWEN/CALF.	040	0	0	0	11,574	0	0	0	0	0	11,574	106,499	11
WEISS PECK/ROBECO/BOSTON PT	040	0	0	0	7,844	0	0	18,800	0	0	26,644	83,797	32

WESTFACE CAPITAL	040	0	0	0	0	0	0	0	0	0	0	19,650	0
TOTALS:		14,888	208,649	208,649	831,215	187,238	187,238	305,215	395,886	395,886	1,136,430		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	04/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	200	7,462	7,462	42,323	0	0	0	7,462	7,462	42,323	103,730	0
OSKIE CAPITAL MGMT	224	3,750	9,250	9,250	13,250	0	0	0	9,250	9,250	13,250	50,768	0
PERIMETER	273	0	0	0	1,890	0	0	0	0	0	1,890	55,952	0
TRUSTCO/SUNTRUST (CEREDEX)	273	1,708	5,073	5,073	13,305	0	0	16,928	5,073	5,073	30,233	2,309	733
WEXFORD CAPITAL	284	0	0	0	17,574	0	0	0	0	0	17,574	2,962	0
TOTALS:		5,658	21,785	21,785	88,342	0	0	16,928	21,785	21,785	105,270		

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