



# Daily Compliance Activity Report

Trade Date: 07/31/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	ABT	B	500	56.51	n/a	22-Apr	0.00	0.0
THIRD COAST CAPITAL	035	ACXM	SS	20,000	13.19	n/a	n/a	1,000.00	5.0
SINCLAIR	031	ADWJJ	S	5	1.30	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	AEO	B	25,000	14.08	6-Mar	16-Jul	1,250.00	5.0
REINHART & MAHONEY	031	AFSI	B	14,800	14.46	n/a	n/a	740.00	5.0
MKT MAKER	099	AFSI	B	50	14.46	n/a	n/a	2.50	5.0
BUCK CAPITAL MGMT	001	ANF	S	60,000	55.70	10-Jul	28-Jul	2,400.00	4.0
B BUCK CAPITAL MGMT		ANF	S	5,000	55.75	10-Jul	28-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		AN	SS	75,000	10.29	25-Jul	28-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	B	20,000	32.48	28-Apr	11-Jul	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	25,000	32.74	28-Apr	11-Jul	1,250.00	5.0
LITESPEED	028	AXL	B	125,000	5.92	n/a	n/a	6,250.00	5.0
BUCK	015	BACKH	B	10	1.40	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	BAC	S	20,000	33.50	n/a	29-Jul	1,000.00	5.0
RHO CAPITAL MGMT	041	BAC	S	500	33.11	n/a	29-Jul	20.00	4.0
BUCK	015	BAC	S	1,000	32.81	n/a	29-Jul	0.00	0.0
1798 CAPITAL MGMT	040	BARE	SS	5,000	11.94	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BARE	B	120,000	11.59	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BARE	B	30,000	11.53	n/a	n/a	non-brg	1.0
ZOLIDIS	011	BKRS	B	794	2.00	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	BMV	S	250,000	21.22	n/a	n/a	12,500.00	5.0
BUCK CAPITAL MGMT		BWA+TH	C	1,005	0.93	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		BWA+TH	C	295	1.35	n/a	n/a	non-brg	300.0
BMO NESBITT BURNS	041	BWA	B	25,000	41.07	10-Jun	17-Jul	1,250.00	5.0
BUCK CAPITAL MGMT		BWA	C	130,000	41.74	10-Jun	17-Jul	non-brg	4.0
BUCK CAPITAL MGMT		BWLD	SS	30,000	33.31	n/a	n/a	non-brg	4.0
METROPOLITAN CAPITAL	044	BWS	S	53,300	16.43	n/a	n/a	2,665.00	5.0
1798 CAPITAL MGMT	040	BYI	B	15,000	32.57	n/a	n/a	750.00	5.0
FRONTPOINT CHRIS C	041	CAT	SS	2,800	71.25	n/a	23-May	112.00	4.0
1798 CAPITAL MGMT	040	CBRL	SS	15,000	24.50	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT		CEC	SS	30,000	35.19	n/a	n/a	non-brg	4.0
EFSTATHIOU	044	CHKHJ	B	10	2.80	n/a	n/a	0.00	0.0
SINCLAIR	031	CHKJJ	B	6	5.20	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	CLMS	SS	25,000	20.22	18-Jul	22-Jul	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ENTRUST CAPITAL	040	COH	B	153,300	25.58	30-Jul	30-Jul	7,665.00	5.0
COUGAR TRADING	044	CPKI	B	10,000	12.46	10-Jul	10-Jul	500.00	5.0
RHO CAPITAL MGMT	041	CPST	SS	6,000	3.15	n/a	n/a	180.00	3.0
STERLING JOHNSTON	035	CRR	B	20,000	56.47	5-Jun	31-Jul	800.00	4.0
NEUBERGER BERMAN	036	CVS	B	200,000	37.61	n/a	n/a	9,000.00	4.5
WHITE PINE CAPITAL	030	C	B	9,250	18.90	19-Jun	31-Jul	462.50	5.0
<b>PRYLUCKI</b>	<b>092</b>	<b>C</b>	<b>S</b>	<b>125</b>	<b>18.58</b>	<b>19-Jun</b>	<b>31-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>PRYLUCKI</b>	<b>092</b>	<b>DDM</b>	<b>B</b>	<b>75</b>	<b>60.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>5,000</b>	<b>113.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
STADIA CAPITAL	035	DECK	B	10,000	113.50	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DKS+LT</b>	<b>SS</b>	<b>1,000</b>	<b>1.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>EAT</b>	<b>SS</b>	<b>50,000</b>	<b>18.56</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>4.0</b>
REINHART & MAHONEY	031	EDU	S	13,050	70.94	n/a	n/a	652.50	5.0
<b>CATTANI</b>	<b>041</b>	<b>EL</b>	<b>S</b>	<b>200</b>	<b>44.50</b>	<b>7-May</b>	<b>24-Jun</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	ES	B	11,000	21.00	n/a	n/a	550.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FDO+VD</b>	<b>SS</b>	<b>1,000</b>	<b>1.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	FL	B	130,000	15.08	2-Apr	9-Jul	5,200.00	4.0
DSG CAPITAL	225	FL	SS	10,000	14.75	2-Apr	9-Jul	500.00	5.0
BUCK	015	FOLD	S	1,500	15.62	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GCO	B	10,100	29.67	n/a	10-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		GCO	B	23,000	29.80	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		GES+IH	SS	2,000	0.51	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	GES	B	10,000	31.57	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	GIL	S	25,000	25.41	30-Apr	18-Jul	1,000.00	4.0
ß BUCK CAPITAL MGMT		GM+HB	SS	6,000	1.78	n/a	n/a	non-brg	200.0
ß BUCK CAPITAL MGMT		GM+TB	B	6,000	0.76	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GMCR	SS	20,000	36.07	n/a	n/a	non-brg	4.0
MCCARTAN	028	GMTB	B	100	0.73	n/a	n/a	0.00	0.0
WAGONHOUND/NIC	048	GM	SS	29,735	11.40	24-Jun	31-Jul	1,189.40	4.0
TRELLUS PARTNERS LP	028	GPI	SS	20,000	19.90	10-Jun	30-Jul	1,000.00	5.0
LED DY	035	GSI	S	765	15.52	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	GYMB	B	120,000	37.60	11-Jul	11-Jul	6,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	GYMB	B	10,000	37.06	11-Jul	11-Jul	500.00	5.0
COUGAR TRADING	044	GYMB	S	10,000	37.59	11-Jul	11-Jul	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>60,000</b>	<b>21.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COLUMBUS CIRCLE	036	HES	S	47,000	102.72	n/a	n/a	1,880.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>HRS</b>	<b>B</b>	<b>20,000</b>	<b>49.01</b>	<b>2-Jun</b>	<b>31-Jul</b>	<b>non-brg</b>	<b>1.0</b>
<b>WHEELER</b>	<b>008</b>	<b>HSTX</b>	<b>B</b>	<b>15,000</b>	<b>7.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EGS ASSOCIATES LP	091	ICON	B	3,000	12.00	n/a	n/a	180.00	6.0
THIRD COAST CAPITAL	035	ICON	B	20,000	12.11	n/a	n/a	1,000.00	5.0
ADALY INV MGMT	067	IP	S	22,500	27.11	1-Aug	16-Jul	1,125.00	5.0
BMO NESBITT BURNS	041	IP	SS	100,000	26.90	1-Aug	16-Jul	5,000.00	5.0
CATAPULT	040	IP	S	42,362	27.04	1-Aug	16-Jul	2,118.10	5.0
SOLARIS INV MGMT	029	ITW	B	34,000	47.32	20-May	23-May	1,700.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCG+IF</b>	<b>SS</b>	<b>1,000</b>	<b>2.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>60,000</b>	<b>28.62</b>	<b>n/a</b>	<b>30-May</b>	<b>2,400.00</b>	<b>4.0</b>
JP MORGAN VENTURES	046	JCP	B	40,000	31.11	n/a	11-Jul	2,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>10,000</b>	<b>22.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>S</b>	<b>80,000</b>	<b>41.63</b>	<b>n/a</b>	<b>17-Jul</b>	<b>3,200.00</b>	<b>4.0</b>
COUGAR TRADING	044	KSS	SS	5,000	41.50	n/a	17-Jul	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	KSS	SS	15,000	42.10	n/a	17-Jul	750.00	5.0
⚡ BUCK CAPITAL MGMT		KSWS	SS	30,000	15.47	n/a	13-Mar	non-brg	4.0
ZOLIDIS	011	KSWS	SS	3,000	15.40	n/a	13-Mar	0.00	0.0
⚡ BUCK CAPITAL MGMT		LIZ	SS	50,000	13.07	n/a	25-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LIZ	SS	75,000	13.23	n/a	25-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LIZ	SS	75,000	13.36	n/a	25-Jul	non-brg	1.0
CR INTRINIC INVESTMENT	044	LLY	B	50,000	47.33	n/a	n/a	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		LM	S	29,800	40.46	1-Jul	31-Jul	non-brg	4.0
STADIA CAPITAL	035	LO	S	20,000	67.80	n/a	n/a	800.00	4.0
BRIGADE CAPITAL MGMT	029	MER	B	75,000	26.18	24-Jun	29-Jul	3,750.00	5.0
PRYLUCKI	092	MER	S	75	26.78	24-Jun	29-Jul	0.00	0.0
WHEELER	008	NLCAE	S	50	2.25	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	NNN	B	93,700	21.26	n/a	n/a	3,513.75	3.8
⚡ BUCK CAPITAL MGMT		NP	S	214	19.00	n/a	11-Jul	non-brg	4.0
HIGHBRIDGE/MS OLDS	041	NUE	SS	10,501	58.91	n/a	n/a	420.04	4.0
EGS ASSOCIATES LP	091	PAG	SS	3,000	13.45	10-Jun	17-Jul	180.00	6.0
LEDDY	035	PCL	S	500	49.42	n/a	11-Jul	0.00	0.0
⚡ BUCK CAPITAL MGMT		PH	C	235	63.12	1-Aug	23-May	non-brg	1.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓐ	BUCK CAPITAL MGMT		PH	SS	235	65.15	1-Aug	23-May	non-brg	1.0
	EGS ASSOCIATES LP	091	PNRA	B	4,000	50.43	n/a	23-Jul	240.00	6.0
	RK CAPITAL MGMT LLC	045	POL	SS	30,000	7.59	n/a	n/a	1,200.00	4.0
Ⓐ	BUCK CAPITAL MGMT		PSUN	S	500,000	8.77	n/a	11-Jul	non-brg	4.0
	RHO CAPITAL MGMT	041	PWAV	B	6,000	4.10	n/a	n/a	180.00	3.0
	COUGAR TRADING	044	PZN	S	25,000	9.07	30-Jul	30-Jul	1,250.00	5.0
	BALYASNY ASSET MGMT	044	ROST	B	25,000	38.90	n/a	n/a	1,250.00	5.0
	MERRILL ASSET	032	RSH	B	87,600	16.70	n/a	n/a	3,942.00	4.5
	HIGHBRIDGE/MS OLDS	041	RS	SS	50,000	63.42	n/a	n/a	2,000.00	4.0
	BRENCOURT	028	SIRI	SS	200,000	1.60	n/a	n/a	2,000.00	1.0
	BUCK CAPITAL MGMT	001	SKS	SS	40,000	10.23	n/a	n/a	1,600.00	4.0
	BUCK CAPITAL MGMT	001	SKX	B	10,000	18.98	n/a	13-Mar	400.00	4.0
Ⓐ	BUCK CAPITAL MGMT		SSCC	S	150,000	5.68	30-Jul	30-Jul	non-brg	4.0
	PRYLUCKI	092	SSCC	S	525	5.74	30-Jul	30-Jul	0.00	0.0
	COUGAR TRADING	044	SSO	B	5,000	61.30	n/a	n/a	250.00	5.0
	TRELLUS PARTNERS LP	028	SUP	SS	10,000	17.22	25-Jul	28-Jul	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	SXE	S	31,000	31.71	n/a	n/a	1,550.00	5.0
1798 CAPITAL MGMT	040	TAP	S	10,000	53.98	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		TEN	C	400	13.69	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		TEN	C	58,900	14.06	n/a	n/a	non-brg	4.0
1798 CAPITAL MGMT	040	TIF	B	15,000	38.18	n/a	2-Jun	750.00	5.0
BMO NESBITT BURNS	041	TIN	SS	20,000	15.98	29-Apr	30-Jul	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		TIN	S	100,000	16.30	29-Apr	30-Jul	non-brg	4.0
MAGUIRE	039	TIN	S	600	16.29	29-Apr	30-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	TJX	B	20,000	34.39	n/a	11-Jul	800.00	4.0
JP MORGAN VENTURES	046	TJX	C	25,000	34.07	n/a	11-Jul	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		TLB+KW	SS	1,250	1.58	n/a	n/a	non-brg	300.0
PRINCIPLE CAPITAL	040	TPX	S	129,100	9.61	n/a	n/a	2,582.00	2.0
⌘ BUCK CAPITAL MGMT		TRLG	S	20,000	25.76	n/a	n/a	non-brg	4.0
BUCK	015	TRW	C	1,000	18.96	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		TUY+LJ	SS	1,000	1.37	n/a	n/a	non-brg	300.0
MS PRINCIPAL STRATEGIES	041	TWC	S	75,000	28.30	n/a	4-Jan	3,750.00	5.0
PILOT ADV	038	UFPI	B	10,000	27.07	n/a	n/a	400.00	4.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ULTA	B	16,258	9.50	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ULTA	B	20,000	9.43	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ULTA	B	63,742	9.66	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		URBN	S	50,000	33.48	15-May	9-Jul	non-brg	4.0
VISIUM/BALY HOWARD	038	USTR	B	10,000	38.49	n/a	27-Jul	500.00	5.0
PRYLUCKI	092	UYG	B	275	21.87	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	VSEA	B	30,930	29.83	n/a	n/a	1,546.50	5.0
MILLENIUM PARTNERS	028	VZ	SS	50,000	34.28	n/a	4-Jan	2,500.00	5.0
BUCK CAPITAL MGMT		WBC	C	2	45.32	30-Apr	30-Jul	non-brg	0.0
RHO CAPITAL MGMT	041	WB	S	900	17.64	n/a	n/a	36.00	4.0
CHART WELL ADV	028	WDR	S	172,676	33.21	15-Jul	29-Jul	6,907.04	4.0
BUCK CAPITAL MGMT		WFMI	SS	20,000	22.13	n/a	n/a	non-brg	4.0
LEDDY	035	WM	B	2,100	5.59	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	B	70,000	42.29	13-May	18-Jul	2,800.00	4.0
EGS ASSOCIATES LP	091	WRC	B	3,000	42.80	13-May	18-Jul	180.00	6.0
JPMSI/TOM LEDDY	035	WRC	B	15,000	42.02	13-May	18-Jul	750.00	5.0
WHEELER	008	WRLS	B	17,700	3.10	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STADIA CAPITAL	035	WWW	SS	15,000	26.84	n/a	13-Mar	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>SS</b>	<b>200,000</b>	<b>30.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
1798 CAPITAL MGMT	040	YUM	B	5,000	36.16	17-Jul	22-Jul	250.00	5.0
<b>TOTAL (Trade Date) :</b>							<b>146,169.33</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/30	COLUMBUS CIRCLE	036	AVP	B	153,414	42.86	31-Jul	31-Jul	6136.56 4.0
AO,CXL	07/30	MORRISON/TLB/BAYPOINT	040	BEAV	B	2,000	25.35	n/a	n/a	100.00 5.0
AO,CRT	07/30	MORRISON/TLB/BAYPOINT	040	BEAV	B	2,000	25.35	n/a	n/a	100.00 5.0
AO,CRT	07/30	MOORE CAPITAL	036	EMC	SS	50,000	14.60	n/a	n/a	1000.00 2.0
AO,CXL	07/30	MOORE CAPITAL	036	EMC	SS	50,000	14.60	n/a	n/a	2000.00 4.0
AO,CRT	07/30	MOORE CAPITAL	036	ES	S	25,000	20.76	n/a	n/a	500.00 2.0
AO,CXL	07/30	MOORE CAPITAL	036	ES	S	25,000	20.76	n/a	n/a	1000.00 4.0
AO,CXL	07/25	ADALY INV MGMT	067	IP	B	4,300	23.32	1-Aug	16-Jul	193.50 4.5
AO,CRT	07/25	ADALY INV MGMT	067	IP	B	4,300	23.32	1-Aug	16-Jul	193.50 4.5
AO,	07/30	SOLARIS INV MGMT	029	ITW	B	80,000	47.53	20-May	23-May	4000.00 5.0
AO,CRT	07/30	SOROS	036	IWM	B	1,000,000	71.82	n/a	n/a	30000.00 3.0
AO,CXL	07/30	SOROS	036	IWM	B	1,000,000	71.82	n/a	n/a	30000.00 3.0
AO,CXL	07/30	WHEELER	008	MMMAO	S	60	2.90	n/a	n/a	0.00 0.0
AO,CRT	07/30	WHEELER	008	MMMAO	S	60	2.90	n/a	n/a	0.00 0.0
AO,CXL	07/30	MORRISON/TLB/BAYPOINT	040	WMT	B	4,000	58.38	30-Jun	11-Jul	200.00 5.0
AO,CRT	07/30	MORRISON/TLB/BAYPOINT	040	WMT	B	4,000	58.38	30-Jun	11-Jul	200.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>8,636.56</b>

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TOTAL (Trade Date):	146,169.33
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TOTAL (AO, CXL, CRT):	8,636.56
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TOTAL (RUN DATE) / NFS:	154,805.89
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MRI Securities with Trade Activity on 31-Jul. NONE
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MRI Securities without Trade Activity on 31-Jul. AVP
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 15% YTD = 18%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**