

Daily Compliance Activity Report Trade Date: 06/02/2010

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
TRUSTCO/SUNTRUST	040	ACV	В	34,400	27.24	n/a	26-Apr	1,341.58	3.9
MACKLOWE ASSET MGMT	041	AEO	S	50,000	12.56	n/a	28-May	2,000.00	4.0
FRED ALGER MGMT	035	AMG	В	6,685	69.42	n/a	2-Mar	254.03	3.8
HOOVER INV. MGMT	033	ANN	S	53,400	20.65	n/a	n/a	2,136.00	4.0
JP MORGAN VENTURES	046	AOL	S	6,600	20.83	n/a	n/a	330.00	5.0
CAPITAL GROWTH MGMT.	241	AZO	В	10,000	191.76	n/a	n/a	500.00	5.0
FRED ALGER MGMT	035	BAX	S	75 , 640	41.35	n/a	n/a	2,874.32	3.8
BUCK CAPITAL MGMT	001	BBY	В	10,000	39.69	n/a	n/a	400.00	4.0
TRELLUS PARTNERS LP	028	BWA	S	20,000	37.80	n/a	30-Apr	1,000.00	5.0
SAGE ASSET MGMT	012	вх	В	25 , 000	10.28	n/a	n/a	1,250.00	5.0
JP MORGAN VENTURES	046	CF	S	4,500	65.87	n/a	n/a	225.00	5.0
STATE TEACHERS RET SYS	047	CHD	В	30,000	66.90	n/a	25-May	1,500.00	5.0
STATE TEACHERS RET SYS	047	CMA	В	15,000	38.52	n/a	n/a	750.00	5.0
ROYCE TRADING	012	CMP	S	10,900	73.75	n/a	n/a	545.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.
TPG-AXON	040	СРВ	SS	65,000	35.96	n/a	n/a	3,250.00	5.0
RWC PARTNERS LTD	067	CPN	S	22,100	13.29	n/a	n/a	663.00	3.0
TRUSTCO/SUNTRUST	040	CRR	S	15,400	66.74	n/a	2-Jun	600.60	3.9
METROPOLITAN CAPITAL	044	DAN	В	17,100	10.84	n/a	30-Apr	855.00	5.0
RWC PARTNERS LTD	067	DISCA	S	126,300	38.38	n/a	n/a	3,789.00	3.0
WEISS PECK	040	DK	В	10,000	6.47	n/a	n/a	400.00	4.0
GEORGE WEISS	034	EAT	С	36,000	17.38	n/a	n/a	1,440.00	4.0
LOMBARDIA CAP MGMT	048	ENS	В	14,029	22.12	n/a	n/a	681.23	5.0
CAVU CAP ADV	028	F	S	50,000	11.75	n/a	1-Jun	2,500.00	5.0
OZ MGMT	040	F	В	285,291	11.81	n/a	1-Jun	8,558.73	3.0
LOMBARDIA CAP MGMT	048	GCO	S	9,733	30.95	n/a	n/a	472.73	5.0
TPG-AXON	040	GIS	SS	32,000	73.07	n/a	n/a	1,600.00	5.0
DSG CAPITAL	225	GLW	В	27,500	16.53	n/a	2-Jun	825.00	3.0
EXIS CAPITAL	044	GYMB	В	5,000	44.09	n/a	28-May	250.00	5.0
ROARING BLUE LION MGMT	012	GYMB	В	6,000	44.07	n/a	28-May	240.00	4.0
HOTCHKIS & WILEY	035	HD	S	130,900	33.59	n/a	19-May	6,545.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
TPG-AXON	040	HNZ	SS	52,000	44.27	n/a	n/a	2,600.00	5.0
JP MORGAN VENTURES	046	HRB	S	32,200	16.02	n/a	n/a	1,610.00	5.0
LISTED ERR	099	HRB	В	32,200	16.00	n/a	n/a	0.00	0.0
LISTED ERR	099	HRB	S	32,200	16.02	n/a	n/a	0.00	0.0
CONIFER/ISF	025	IBM	S	2,200	127.02	n/a	n/a	110.00	5.0
EXIS CAPITAL	044	JWN	В	15,000	39.52	n/a	28-May	750.00	5.0
SCOPUS FUND	041	KSS	S	6,600	50.92	n/a	28-May	330.00	5.0
TPG-AXON	040	K	SS	43,000	54.23	n/a	n/a	2,150.00	5.0
NEUBERGER BERMAN	036	LANC	В	7 , 772	54.06	n/a	n/a	310.88	4.0
BASIX	033	LEA	S	13,000	66.21	n/a	7-May	390.00	3.0
PZENA INV ADV	044	LRCX	S	18,925	37.90	n/a	n/a	662.38	3.5
BUCK CAPITAL MGMT	001	LTD	В	50,000	25.86	n/a	n/a	2,000.00	4.0
FIRST NEW YORK LEDDY	035	LVLT	В	20,000	1.31	n/a	n/a	800.00	4.0
BROADARCH CAP/OLD NEWC	070	LVS	В	3,100	23.53	n/a	1-Jun	124.00	4.0
BUCK CAPITAL MGMT	001	MFB	В	30,300	22.43	n/a	n/a	1,212.00	4.0
STATE TEACHERS RET SYS	047	MFE	В	34,650	32.46	n/a	n/a	1,732.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	Shr.
CIRCLE T/SES PART	035	MPEL	S	35,000	4.31	n/a	1-Jun	1,400.00	4.0
FRED ALGER MGMT	035	MSFT	В	413,800	26.04	n/a	n/a	15,724.40	3.8
BALYASNY ASSET MGMT	044	М	S	25,000	21.93	n/a	28-May	1,250.00	5.0
CAPITAL GROWTH MGMT.	241	М	S	80,000	21.96	n/a	28-May	4,000.00	5.0
RWC PARTNERS LTD	067	NWSA	В	725,000	13.26	n/a	n/a	21,750.00	3.0
CIRCLE T/SES PART	035	PAG	В	20,000	12.84	n/a	3-May	800.00	4.0
ROYCE TRADING	012	PLCE	В	21,100	47.62	n/a	21-May	1,055.00	5.0
THE JAY GOLDMAN	028	PNK	В	10,000	11.00	n/a	n/a	400.00	4.0
FRED ALGER MGMT	035	PRXL	S	116,000	22.69	n/a	n/a	4,408.00	3.8
EXIS CAPITAL	044	PVH	В	20,000	52.88	n/a	26-May	1,000.00	5.0
LEVEL GLOBAL SHARED ACCT	257	PVH	S	50,000	52.30	n/a	26-May	1,500.00	3.0
EXIS CAPITAL	044	RL	SS	5,000	83.25	n/a	20-May	250.00	5.0
SCOPUS FUND	041	RL	В	20,000	84.48	n/a	20-May	1,000.00	5.0
EXIS CAPITAL	044	SKS	В	10,000	8.80	n/a	n/a	500.00	5.0
LOMBARDIA CAP MGMT	048	SNA	В	6,734	43.73	n/a	n/a	327.02	5.0
GOLD SACHS SPECIAL SIT	030	SPY	SS	175,000	108.89	n/a	n/a	5,250.00	3.0
FRED ALGER MGMT	035	TEX	S	146,110	21.37	n/a	30-Apr	5,552.18	3.8
	CIRCLE T/SES PART FRED ALGER MGMT BALYASNY ASSET MGMT CAPITAL GROWTH MGMT. RWC PARTNERS LTD CIRCLE T/SES PART ROYCE TRADING THE JAY GOLDMAN FRED ALGER MGMT EXIS CAPITAL LEVEL GLOBAL SHARED ACCT EXIS CAPITAL SCOPUS FUND EXIS CAPITAL LOMBARDIA CAP MGMT GOLD SACHS SPECIAL SIT	CIRCLE T/SES PART 035 FRED ALGER MGMT 044 CAPITAL GROWTH MGMT. 241 RWC PARTNERS LTD 067 CIRCLE T/SES PART 035 ROYCE TRADING 012 THE JAY GOLDMAN 028 FRED ALGER MGMT 035 EXIS CAPITAL 044 LEVEL GLOBAL SHARED ACCT 257 EXIS CAPITAL 044 SCOPUS FUND 041 EXIS CAPITAL 044 SCOPUS FUND 041 LOMBARDIA CAP MGMT 048 GOLD SACHS SPECIAL SIT 030	CIRCLE T/SES PART 035 MPEL FRED ALGER MGMT 035 MSFT BALYASNY ASSET MGMT 044 M CAPITAL GROWTH MGMT. 241 M RWC PARTNERS LTD 067 NWSA CIRCLE T/SES PART 035 PAG ROYCE TRADING 012 PLCE THE JAY GOLDMAN 028 PNK FRED ALGER MGMT 035 PRXL EXIS CAPITAL 044 PVH LEVEL GLOBAL SHARED ACCT 257 PVH EXIS CAPITAL 044 RL SCOPUS FUND 041 RL EXIS CAPITAL 044 SKS LOMBARDIA CAP MGMT 048 SNA GOLD SACHS SPECIAL SIT 030 SPY	CIRCLE T/SES PART 035 MPEL S FRED ALGER MGMT 035 MSFT B BALYASNY ASSET MGMT 044 M S CAPITAL GROWTH MGMT. 241 M S RWC PARTNERS LTD 067 NWSA B CIRCLE T/SES PART 035 PAG B ROYCE TRADING 012 PLCE B THE JAY GOLDMAN 028 PNK B FRED ALGER MGMT 035 PRXL S EXIS CAPITAL 044 PVH B LEVEL GLOBAL SHARED ACCT 257 PVH S EXIS CAPITAL 044 RL SS SCOPUS FUND 041 RL B EXIS CAPITAL 044 SKS B LOMBARDIA CAP MGMT 048 SNA B GOLD SACHS SPECIAL SIT 030 SPY SS	CIRCLE T/SES PART 035 MPEL S 35,000 FRED ALGER MGMT 035 MSFT B 413,800 BALYASNY ASSET MGMT 044 M S 25,000 CAPITAL GROWTH MGMT. 241 M S 80,000 RWC PARTNERS LTD 067 NWSA B 725,000 CIRCLE T/SES PART 035 PAG B 20,000 ROYCE TRADING 012 PLCE B 21,100 THE JAY GOLDMAN 028 PNK B 10,000 FRED ALGER MGMT 035 PRXL S 116,000 EXIS CAPITAL 044 PVH B 20,000 EXIS CAPITAL 044 PVH B 20,000 EXIS CAPITAL 044 RL SS 5,000 EXIS CAPITAL 044 SKS B 10,000 EXIS CAPITAL 044 SKS B 10,000	CIRCLE T/SES PART 035 MPEL S 35,000 4.31 FRED ALGER MGMT 035 MSFT B 413,800 26.04 BALYASNY ASSET MGMT 044 M S 25,000 21.93 CAPITAL GROWTH MGMT. 241 M S 80,000 21.96 RWC PARTNERS LTD 067 NWSA B 725,000 13.26 CIRCLE T/SES PART 035 PAG B 20,000 12.84 ROYCE TRADING 012 PLCE B 21,100 47.62 THE JAY GOLDMAN 028 PNK B 10,000 11.00 FRED ALGER MGMT 035 PRXL S 116,000 22.69 EXIS CAPITAL 044 PVH B 20,000 52.88 LEVEL GLOBAL SHARED ACCT 257 PVH S 50,000 52.30 EXIS CAPITAL 044 RL SS 5,000 83.25 SCOPUS FUND 041 RL B 20,000 84.48 EXIS CAPITAL 044 SKS B 10,000 88.80 LOMBARDIA CAP MGMT 048 SNA B 6,734 43.73 GOLD SACHS SPECIAL SIT 030 SPY SS 175,000 108.89	CIRCLE T/SES PART 035 MPEL S 35,000 4.31 n/a FRED ALGER MGMT 035 MSFT B 413,800 26.04 n/a BALYASNY ASSET MGMT 044 M S 25,000 21.93 n/a CAPITAL GROWTH MGMT. 241 M S 80,000 21.96 n/a RWC PARTNERS LTD 067 NWSA B 725,000 13.26 n/a CIRCLE T/SES PART 035 PAG B 20,000 12.84 n/a ROYCE TRADING 012 PLCE B 21,100 47.62 n/a THE JAY GOLDMAN 028 PNK B 10,000 11.00 n/a FRED ALGER MGMT 035 PRXL S 116,000 22.69 n/a EXIS CAPITAL 044 PVH B 20,000 52.88 n/a LEVEL GLOBAL SHARED ACCT 257 PVH S 50,000 52.30 n/a EXIS CAPITAL 044 RL SS 5,000 83.25 n/a SCOPUS FUND 041 RL B 20,000 84.48 n/a EXIS CAPITAL 044 SKS B 10,000 8.80 n/a EXIS CAPITAL 044 SKS B 10,000 8.80 n/a COMBARDIA CAP MGMT 048 SNA B 6,734 43.73 n/a	CIRCLE T/SES PART 0.35 MPEL S 35,000 4.31 n/a 1-Jun FRED ALGER MGMT 0.35 MSFT B 413,800 26.04 n/a n/a BALYASNY ASSET MGMT 0.44 M S 25,000 21.93 n/a 28-May CAPITAL GROWTH MGMT. 241 M S 80,000 21.96 n/a 28-May RWC PARTNERS LTD 0.67 NWSA B 725,000 13.26 n/a n/a CIRCLE T/SES PART 0.35 PAG B 20,000 12.84 n/a 3-May ROYCE TRADING 0.12 PLCE B 21,100 47.62 n/a 21-May THE JAY GOLDMAN 0.28 PNK B 10,000 11.00 n/a n/a FRED ALGER MGMT 0.35 PRXL S 116,000 22.69 n/a n/a EXIS CAPITAL 0.44 PVH B 20,000 52.88 n/a 26-May LEVEL GLOBAL SHARED ACCT 257 PVH S 50,000 52.30 n/a 26-May SCOPUS FUND 0.41 RL B 20,000 84.48 n/a 20-May SCOPUS FUND 0.41 RL B 20,000 84.48 n/a 20-May EXIS CAPITAL 0.44 SKS B 10,000 88.80 n/a n/a LOMBARDIA CAP MGMT 0.48 SNA B 6,734 43.73 n/a n/a GOLD SACHS SPECIAL SIT 0.30 SPY SS 175,000 108.89 n/a n/a	CIRCLE T/SES PART 035 MPEL S 35,000 4.31 n/a 1-Jun 1,400.00 FRED ALGER MGMT 035 MSFT B 413,800 26.04 n/a n/a 15,724.40 BALYASNY ASSET MGMT 044 M S 25,000 21.93 n/a 28-May 1,250.00 CAPITAL GROWTH MGMT. 241 M S 80,000 21.96 n/a 28-May 4,000.00 RWC PARTNERS LTD 067 NWSA B 725,000 13.26 n/a 1/a 21,750.00 CIRCLE T/SES PART 035 PAG B 20,000 12.84 n/a 3-May 800.00 ROYCE TRADING 012 PLCE B 21,100 47.62 n/a 21-May 1,055.00 THE JAY COLDMAN 028 PNK B 10,000 11.00 n/a n/a 400.00 FRED ALGER MGMT 035 PRXL S 116,000 22.69 n/a n/a 26-May 1,000.00 EXIS CAPITAL 044 PVH B 20,000 52.88 n/a 26-May 1,000.00 EXIS CAPITAL 044 RL SS 5,000 83.25 n/a 26-May 1,500.00 EXIS CAPITAL 044 RL SS 5,000 83.25 n/a 26-May 1,000.00 EXIS CAPITAL 044 RL SS 5,000 83.25 n/a 26-May 1,000.00 EXIS CAPITAL 044 SKS B 10,000 84.48 n/a 20-May 250.00 SCOPUS FUND 041 RL B 20,000 84.48 n/a 20-May 250.00 EXIS CAPITAL 044 SKS B 10,000 88.60 n/a n/a 500.00 EXIS CAPITAL 048 SNA B 6,734 43.73 n/a n/a 500.00 EXIS CAPITAL 048 SNA B 6,734 43.73 n/a n/a 5.250.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
CSFB GLOBAL PROPRIETARY	041	TIN	В	50,000	19.52	n/a	21-Apr	2,500.00	5.0
IRIDIAN ASSET MGMT.	036	TIN	В	34,160	19.70	n/a	21-Apr	1,708.00	5.0
JP MORGAN VENTURES	046	UNG	SS	25,000	7.45	n/a	n/a	750.00	3.0
CAVU CAP ADV	028	UTX	В	12,500	66.67	n/a	n/a	625.00	5.0
BALYASNY ASSET MGMT	044	WFC	S	100,000	28.35	n/a	21-May	5,000.00	5.0
SCOPUS FUND	041	WMT	В	40,000	51.35	n/a	19-May	2,000.00	5.0
BUCK CAPITAL MGMT	001	WRC	В	30,000	39.73	n/a	14-May	1,200.00	4.0
EXIS CAPITAL	044	WRC	В	10,000	40.34	n/a	14-May	500.00	5.0
VISIUM/BALY BOBBY	044	WRC	С	5,000	39.51	n/a	14-May	250.00	5.0
ROTHSCHILD ASSET	040	XEC	S	7,070	76.42	n/a	n/a	282.80	4.0
KLEINHEINZ CAP PART	031	YUM	В	50,000	40.51	n/a	15-Apr	2,500.00	5.0

TOTAL (Trade Date):

146,040.38

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	06/01	CAVU CAP ADV (CAVUCREDIS)	028	AMCC	S	10,460	11.24	n/a	n/a	523.00	5.0
AO,	06/01	CAVU CAP ADV (CAVUMORGAN)	028	AMCC	S	7,160	11.24	n/a	n/a	358.00	5.0
AO,CRT	06/01	THIRD COAST CAPITAL (3CAPPBOA)	035	СОН	SS	5,000	40.94	n/a	14-May	250.00	5.0
AO, CRT	06/01	THIRD COAST CAPITAL (3CAPPBOA)	035	CVG	S	60,000	10.63	n/a	n/a	3000.00	5.0
AO,CXL	05/26	SCOPUS FUND (SCOPGS)	041	DBRN	S	5,155	26.70	n/a	n/a	257.75	5.0
AO, CRT	05/26	SCOPUS FUND (SCOPGS)	041	DBRN	S	5 , 155	26.70	n/a	n/a	257.75	5.0
AO,CXL	05/26	SCOPUS FUND (SCOPUBS)	041	DBRN	S	31,664	26.70	n/a	n/a	1583.20	5.0
AO, CRT	05/26	SCOPUS FUND (SCOPUBS)	041	DBRN	S	31,664	26.70	n/a	n/a	1583.20	5.0
AO,CXL	06/01	GREEN ARROW DOUG (GADRCSUSE)	040	EXR	В	50,000	14.71	n/a	n/a	2500.00	5.0
AO, CRT	06/01	WATERFRONT CAP (WATFDBPB)	028	EXR	В	50,000	14.71	n/a	n/a	2500.00	5.0
CRT	06/02	ROARING BLUE LION MGMT (ROARMAIN)	012	GYMB	В	6,000	44.07	n/a	28-May	240.00	4.0
AO,CXL	06/01	GREEN ARROW DOUG (GADRCSUSE)	040	IYR	SS	15,000	49.76	n/a	n/a	750.00	5.0
AO,CRT	06/01	WATERFRONT CAP (WATFDBPB)	028	IYR	SS	15,000	49.76	n/a	n/a	750.00	5.0
AO,	06/01	BROADARCH CAP/OLD NEWC (BROAGSPB)	070	LVS	В	6,300	23.13	n/a	1-Jun	252.00	4.0
AO,	06/01	COLUMBUS CIRCLE (COLC3050)	036	PANL	В	7 , 939	17.39	n/a	n/a	317.56	4.0
AO,CXL	05/27	DSM CAPITAL PARTNERS (DSMCHERSMI)	032	RVBD	В	300	28.60	n/a	n/a	15.00	5.0
AO,	05/27	DSM CAPITAL PARTNERS (DSMCHERSMI)	032	RVBD	В	300	28.60	n/a	n/a	15.00	5.0
AO,	05/26	MANNING & NAPIER (MANNCD2229)	034	SHW	S	30	76.02	n/a	24-May	0.90	3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
AO,CXL	05/26	MANNING & NAPIER (MANNCD2220)	034	SHW	S	30	76.02	n/a	24-May	0.90	3.0
AO,	06/01	BROADARCH CAP/OLD NEWC (BROAGSPB)	070	STD	SS	7,300	9.98	n/a	n/a	292.00	4.0
AO,	06/01	CAVU CAP ADV (CAVUCREDIS)	028	VLO	В	19,500	18.90	n/a	n/a	975.00	5.0
AO,	06/01	CAVU CAP ADV (CAVUMORGAN)	028	VLO	В	5,500	18.90	n/a	n/a	275.00	5.0

TOTAL (RUN DATE) / NFS:	152,522.94
TOTAL (AO, CXL, CRT):	6,482.56
TOTAL (Trade Date):	146,040.38

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION