

Daily Compliance Activity Report Trade Date: 01/19/2010

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

							****	=150:151	
Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
EPOCH INV MGMT	030	AAPL	В	3,250	211.96	n/a	n/a	97.50	3.0
JP MORGAN VENTURES	046	AEO	S	85,000	17.07	n/a	8-Jan	4,250.00	5.0
EPOCH INV MGMT	030	AET	В	27,350	32.97	n/a	n/a	820.50	3.0
EPOCH INV MGMT	030	AMP	В	14,850	41.90	n/a	n/a	445.50	3.0
ALICE BEEBE LONGLEY	FID	AMZN	В	100	125.27	n/a	n/a	0.00	0.0
TRUSTCO/SUNTRUST	040	AOS	В	15,952	44.65	n/a	23-Nov	622.12	3.9
EPOCH INV MGMT	030	APC	В	11,700	65.78	n/a	19-Jan	351.00	3.0
KAREN F LEDDY	FID	ARGN	S	1100	8.70	n/a	29-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	В	10,000	34.42	n/a	8-Jan	400.00	4.0
JOEL GIFFORD TISS	FID	ASTE	S	2000	29.50	n/a	n/a	0.00	0.0
STRATTON PAR TNERSHI	FID	AXP	S	100	43.00	n/a	15-Jan	0.00	0.0
TROUBH PART	067	BAC	В	25,000	16.33	n/a	14-Jan	1,250.00	5.0
ANDREW SINCLAIR	FID	BGZ	В	500	15.61	n/a	n/a	0.00	0.0
MANNY I KORMAN	FID	BGZ	S	7000	15.70	n/a	n/a	0.00	0.0
BROADARCH CAP/OLD NEWC	255	BRCD	В	18,100	7.83	n/a	n/a	724.00	4.0
	ACCOUNT EPOCH INV MGMT JP MORGAN VENTURES EPOCH INV MGMT EPOCH INV MGMT ALICE BEEBE LONGLEY TRUSTCO/SUNTRUST EPOCH INV MGMT KAREN F LEDDY BUCK CAPITAL MGMT JOEL GIFFORD TISS STRATTON PAR TNERSHI TROUBH PART ANDREW SINCLAIR MANNY I KORMAN	ACCOUNT RR# EPOCH INV MGMT 030 JP MORGAN VENTURES 046 EPOCH INV MGMT 030 EPOCH INV MGMT 030 ALICE BEEBE LONGLEY FID TRUSTCO/SUNTRUST 040 EPOCH INV MGMT 030 KAREN F LEDDY FID BUCK CAPITAL MGMT 001 JOEL GIFFORD TISS FID STRATTON PAR TNERSHI FID TROUBH PART 067 ANDREW SINCLAIR FID MANNY I KORMAN FID	RR# Symbol EPOCH INV MGMT 030 AAPL JP MORGAN VENTURES 046 AEO EPOCH INV MGMT 030 AET EPOCH INV MGMT 030 AMP ALICE BEEBE LONGLEY FID AMZN TRUSTCO/SUNTRUST 040 AOS EPOCH INV MGMT 030 APC KAREN F LEDDY FID ARGN BUCK CAPITAL MGMT 001 ARO JOEL GIFFORD TISS FID ASTE STRATTON PAR TNERSHI FID AXP TROUBH PART 067 BAC ANDREW SINCLAIR FID BGZ MANNY I KORMAN FID BGZ	Account RR# Symbol B/S EPOCH INV MGMT 030 AAPL B JP MORGAN VENTURES 046 AEO S EPOCH INV MGMT 030 AET B EPOCH INV MGMT 030 AMP B ALICE BEEBE LONGLEY FID AMZN B TRUSTCO/SUNTRUST 040 AOS B EPOCH INV MGMT 030 APC B KAREN F LEDDY FID ARGN S BUCK CAPITAL MGMT 001 ARO B JOEL GIFFORD TISS FID ASTE S STRATTON PAR TNERSHI FID AXP S TROUBH PART 067 BAC B ANDREW SINCLAIR FID BGZ B MANNY I KORMAN FID BGZ S	Account RR# Symbol B/S Shares EPOCH INV MGMT 030 AAPL B 3,250 JP MORGAN VENTURES 046 AEO S 85,000 EPOCH INV MGMT 030 AET B 27,350 EPOCH INV MGMT 030 AMP B 14,850 ALICE BEEBE LONGLEY FID AMZN B 100 TRUSTCO/SUNTRUST 040 AOS B 15,952 EPOCH INV MGMT 030 APC B 11,700 KAREN F LEDDY FID ARGN S 1100 BUCK CAPITAL MGMT 001 ARO B 10,000 JOEL GIFFORD TISS FID ASTE S 2000 STRATTON PAR TNERSHI FID AXP S 100 TROUBH PART 067 BAC B 25,000 ANDREW SINCLAIR FID BGZ B 500 MANNY I KORMAN FID BGZ S 7000 <td>Account RR# Symbol B/S Shares Price EPOCH INV MGMT 030 AAPL B 3,250 211.96 JP MORGAN VENTURES 046 AEO S 85,000 17.07 EPOCH INV MGMT 030 AET B 27,350 32.97 EPOCH INV MGMT 030 AMP B 14,850 41.90 ALICE BEEBE LONGLEY FID AMZN B 100 125.27 TRUSTCO/SUNTRUST 040 AOS B 15,952 44.65 EPOCH INV MGMT 030 APC B 11,700 65.78 KAREN F LEDDY FID ARGN S 1100 8.70 BUCK CAPITAL MGMT 001 ARO B 10,000 34.42 JOEL GIFFORD TISS FID ASTE S 2000 29.50 STRATTON PAR TNERSHI FID AXP S 100 43.00 TROUBH PART 067 BAC B 50</td> <td>Account RR# Symbol B/S Shares Price MRI EPOCH INV MGMT 030 AAPL B 3,250 211.96 n/a JP MORGAN VENTURES 046 AEO S 85,000 17.07 n/a EPOCH INV MGMT 030 AET B 27,350 32.97 n/a EPOCH INV MGMT 030 AMP B 14,850 41.90 n/a ALICE BEEBE LONGLEY FID AMZN B 100 125.27 n/a TRUSTCO/SUNTRUST 040 AOS B 15,952 44.65 n/a EPOCH INV MGMT 030 APC B 11,700 65.78 n/a KAREN F LEDDY FID ARGN S 1100 8.70 n/a BUCK CAPITAL MGMT 001 ARO B 10,000 34.42 n/a JOEL GIFFORD TISS FID ASTE S 2000 29.50 n/a STRATTON PAR TNERSHI</td> <td>Account RR# Symbol B/S Shares Price MRI Research EPOCH INV MGMT 030 AAPL B 3,250 211.96 n/a n/a JP MORGAN VENTURES 046 AEO S 85,000 17.07 n/a 8-Jan EPOCH INV MGMT 030 AET B 27,350 32.97 n/a n/a EPOCH INV MGMT 030 AMP B 14,850 41.90 n/a n/a ALICE BEEBE LONGLEY FID AMZN B 100 125.27 n/a n/a TRUSTCO/SUNTRUST 040 AOS B 15,952 44.65 n/a 23-Nov EPOCH INV MGMT 030 APC B 11,700 65.78 n/a 19-Jan KAREN F LEDDY FID ARGN S 1100 8.70 n/a 8-Jan JOEL GIFFORD TISS FID ASTE S 2000 29.50 n/a n/a</td> <td>Account RR# Symbol B/S Shares Price MRI Research Comm. of EPOCH INV MGMT 030 AAPI. B 3,250 211.96 n/a n/a 97.50 JP MORGAN VENTURES 046 AEO S 85,000 17.07 n/a 8-Jan 4,250.00 EPOCH INV MGMT 030 AET B 27,350 32.97 n/a n/a n/a 820.50 EPOCH INV MGMT 030 AMP B 14,850 41.90 n/a n/a 1.00 1.00 AMP B 100 125.27 n/a n/a 0.00 TRUSTCO/SUNTRUST 040 AOS B 15,952 44.65 n/a 23-Nov 622.12 EPOCH INV MGMT 030 APC B 11,700 65.78 n/a 19-Jan 351.00 KAREN F LEDDY FID ARGN S 1100 8.70 n/a 29-Oct 0.00 BUCK CAPITAL MGMT 001 ARO B 10,000 34.42 n/a 8-Jan 400.00 STRATTON PAR TNERSHI FID AXP S 100 43.00 n/a 15-Jan 0.00 TRUSTCO/SUNTRUST FID AXP S 100 43.00 n/a 15-Jan 0.00 ANDREW SINCLAIR FID BGZ B 500 15.61 n/a 14-Jan 1,250.00 ANDREW SINCLAIR FID BGZ B 500 15.70 n/a n/a n/a 0.00 ANDREW SINCLAIR FID BGZ B 500 15.70 n/a n/a n/a 0.00 ANDREW SINCLAIR FID BGZ S 7000 15.70 n/a n/a n/a 0.00</td>	Account RR# Symbol B/S Shares Price EPOCH INV MGMT 030 AAPL B 3,250 211.96 JP MORGAN VENTURES 046 AEO S 85,000 17.07 EPOCH INV MGMT 030 AET B 27,350 32.97 EPOCH INV MGMT 030 AMP B 14,850 41.90 ALICE BEEBE LONGLEY FID AMZN B 100 125.27 TRUSTCO/SUNTRUST 040 AOS B 15,952 44.65 EPOCH INV MGMT 030 APC B 11,700 65.78 KAREN F LEDDY FID ARGN S 1100 8.70 BUCK CAPITAL MGMT 001 ARO B 10,000 34.42 JOEL GIFFORD TISS FID ASTE S 2000 29.50 STRATTON PAR TNERSHI FID AXP S 100 43.00 TROUBH PART 067 BAC B 50	Account RR# Symbol B/S Shares Price MRI EPOCH INV MGMT 030 AAPL B 3,250 211.96 n/a JP MORGAN VENTURES 046 AEO S 85,000 17.07 n/a EPOCH INV MGMT 030 AET B 27,350 32.97 n/a EPOCH INV MGMT 030 AMP B 14,850 41.90 n/a ALICE BEEBE LONGLEY FID AMZN B 100 125.27 n/a TRUSTCO/SUNTRUST 040 AOS B 15,952 44.65 n/a EPOCH INV MGMT 030 APC B 11,700 65.78 n/a KAREN F LEDDY FID ARGN S 1100 8.70 n/a BUCK CAPITAL MGMT 001 ARO B 10,000 34.42 n/a JOEL GIFFORD TISS FID ASTE S 2000 29.50 n/a STRATTON PAR TNERSHI	Account RR# Symbol B/S Shares Price MRI Research EPOCH INV MGMT 030 AAPL B 3,250 211.96 n/a n/a JP MORGAN VENTURES 046 AEO S 85,000 17.07 n/a 8-Jan EPOCH INV MGMT 030 AET B 27,350 32.97 n/a n/a EPOCH INV MGMT 030 AMP B 14,850 41.90 n/a n/a ALICE BEEBE LONGLEY FID AMZN B 100 125.27 n/a n/a TRUSTCO/SUNTRUST 040 AOS B 15,952 44.65 n/a 23-Nov EPOCH INV MGMT 030 APC B 11,700 65.78 n/a 19-Jan KAREN F LEDDY FID ARGN S 1100 8.70 n/a 8-Jan JOEL GIFFORD TISS FID ASTE S 2000 29.50 n/a n/a	Account RR# Symbol B/S Shares Price MRI Research Comm. of EPOCH INV MGMT 030 AAPI. B 3,250 211.96 n/a n/a 97.50 JP MORGAN VENTURES 046 AEO S 85,000 17.07 n/a 8-Jan 4,250.00 EPOCH INV MGMT 030 AET B 27,350 32.97 n/a n/a n/a 820.50 EPOCH INV MGMT 030 AMP B 14,850 41.90 n/a n/a 1.00 1.00 AMP B 100 125.27 n/a n/a 0.00 TRUSTCO/SUNTRUST 040 AOS B 15,952 44.65 n/a 23-Nov 622.12 EPOCH INV MGMT 030 APC B 11,700 65.78 n/a 19-Jan 351.00 KAREN F LEDDY FID ARGN S 1100 8.70 n/a 29-Oct 0.00 BUCK CAPITAL MGMT 001 ARO B 10,000 34.42 n/a 8-Jan 400.00 STRATTON PAR TNERSHI FID AXP S 100 43.00 n/a 15-Jan 0.00 TRUSTCO/SUNTRUST FID AXP S 100 43.00 n/a 15-Jan 0.00 ANDREW SINCLAIR FID BGZ B 500 15.61 n/a 14-Jan 1,250.00 ANDREW SINCLAIR FID BGZ B 500 15.70 n/a n/a n/a 0.00 ANDREW SINCLAIR FID BGZ B 500 15.70 n/a n/a n/a 0.00 ANDREW SINCLAIR FID BGZ S 7000 15.70 n/a n/a n/a 0.00

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
f	FRANCIS MICHAEL MCCA	FID	BWS	В	400	12.37	n/a	n/a	0.00	0.0
f	CHRISTOPHER ROBERT C	FID	BYI	s	100	46.08	n/a	n/a	0.00	0.0
	ROTHSCHILD ASSET	040	CCE	В	10,794	21.20	n/a	n/a	431.76	4.0
	CATAPULT	040	CE	В	15,000	33.03	n/a	n/a	750.00	5.0
	NEUBERGER BERMAN	036	CPLA	S	16,400	74.09	n/a	n/a	656.00	4.0
	BROADARCH CAP/OLD NEWC	255	csco	В	5,700	24.80	n/a	n/a	228.00	4.0
	SANDLER O'NEILL ASSET	031	С	В	50,000	3.55	n/a	19-Jan	1,500.00	3.0
	BROADARCH CAP/OLD NEWC	255	DAN	В	6,000	11.74	n/a	6-Jan	240.00	4.0
	RBC CAPITAL MARKETS	040	DBRN	В	5,000	25.10	n/a	n/a	200.00	4.0
	GRUSS CAPITAL	045	DEI	S	10,000	15.02	n/a	n/a	300.00	3.0
	GRUSS CAPITAL	045	DEL	SS	1,000	53.23	n/a	n/a	30.00	3.0
	PLATTE RIVER CAPITAL	240	DE	S	10,500	57.09	n/a	30-Nov	420.00	4.0
	SANDLER O'NEILL ASSET	031	DFS	В	32,400	14.70	n/a	15-Jan	1,620.00	5.0
	EPOCH INV MGMT	030	DHR	В	9,400	76.74	n/a	n/a	282.00	3.0
ß	BUCK CAPITAL MGMT		DIA	C	80,000	107.14	n/a	n/a	non-brg	0.8

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	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.	
f	NEIL M STRATTON	FID	DKR	s	500	23.50	n/a	n/a	0.00	0.0	
	SAC TRADING TOM LEDDY	035	DLTR	SS	25,000	49.58	n/a	4-Jan	875.00	3.5	
	EPOCH INV MGMT	030	DVA	В	13,900	62.05	n/a	n/a	417.00	3.0	
	FIRST NEW YORK LEDDY	035	ETFC	В	10,000	1.81	n/a	n/a	600.00	6.0	
f	MANNY I KORMAN	FID	FAZ	s	7000	17.16	n/a	n/a	0.00	0.0	
f	NEIL M STRATTON	FID	FC	В	1000	5.90	n/a	n/a	0.00	0.0	
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f	FRANCIS MICHAEL MCCA TRUSTCO/SUNTRUST	FID 040	FL FL	B B	200 13,795	11.92 12 . 19	n/a n/a	19-Jan 19-Jan	0.00 538.02	0.0 3.9	
	TRUSTCO/SUNTRUST	040	гь	Ь	13, 793	12.19	II/a	19-Jan	330.02	3.9	
f	FRANCIS MICHAEL MCCA	FID	FOR	В	450	20.89	n/a	4-Jan	0.00	0.0	
	BROADARCH CAP/OLD NEWC	255	GD	В	1,000	70.48	n/a	4-Jan	40.00	4.0	
	BUCK CAPITAL MGMT	001	GES	В	10,000	42.70	n/a	n/a	400.00	4.0	
	MTB INV ADV	034	GMCR	В	10,375	82.90	n/a	n/a	518.75	5.0	
ß	BUCK CAPITAL MGMT		GRMN	SS	10,000	36.93	n/a	n/a	non-brg	4.0	
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	SPARTA ASSET MGMT	035	GYMB	В	17,305	42.17	n/a	8-Jan	519.15	3.0	
a	BUCK CAPITAL MGMT		HBI	В	10,000	23.85	n/a	n/a	non-brg	4.0	
	NEW JERSEY DIV OF INV.	030	HEV	В	20,000	5.08	n/a	n/a	800.00	4.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	_
ß	BUCK CAPITAL MGMT		HGG	S	26,000	20.46	n/a	n/a	non-brg	4.0	
	BROADARCH CAP/OLD NEWC	255	HON	В	3,300	42.94	n/a	16-Dec	132.00	4.0	
	BROADARCH CAP/OLD NEWC	255	HPQ	В	3,700	52.63	n/a	n/a	148.00	4.0	
	NEW JERSEY DIV OF INV.	030	HSY	S	30,000	37.44	n/a	n/a	1,200.00	4.0	
ß	BUCK CAPITAL MGMT		ICON	S	125,900	12.72	n/a	n/a	non-brg	4.0	
	SANDLER CAPITAL	226	IFF	В	50,000	42.47	n/a	13-Jan	3,000.00	6.0	
	RBC CAPITAL MARKETS	040	IGT	SS	10,000	20.20	n/a	13-Jan	400.00	4.0	
	CSFB GLOBAL PROPRIETARY	041	IP	В	50,000	26.68	n/a	7-Jan	2,500.00	5.0	
	BROADARCH CAP/OLD NEWC	255	IR	В	3,800	37.73	n/a	4-Jan	152.00	4.0	
	TRELLUS PARTNERS LP	028	ITW	В	30,000	48.49	n/a	4-Jan	1,500.00	5.0	
	M&I FUNDS	047	IVZ	S	7,404	22.93	n/a	19-Jan	296.16	4.0	
ß	BUCK CAPITAL MGMT		IWM	С	140,000	64.78	n/a	n/a	non-brg	0.8	
a	BUCK CAPITAL MGMT		IWO	С	105,000	70.40	n/a	n/a	non-brg	0.8	
ß	BUCK CAPITAL MGMT		JACK	В	10,000	20.56	n/a	n/a	non-brg	4.0	
	ADAGE CAPITAL MGMT	030	JCP	В	80,400	26.20	n/a	8-Jan	3,216.00	4.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
	CR INTRINIC INVESTMENT	044	JCP	SS	250,000	26.18	n/a	8-Jan	10,000.00	4.0
	BUCK CAPITAL MGMT	001	JNY	В	20,000	16.61	n/a	4-Jan	800.00	4.0
	BROADARCH CAP/OLD NEWC	255	JPM	В	3,300	43.29	n/a	15-Jan	132.00	4.0
	THE JAY GOLDMAN	028	JWN	S	30,000	36.30	n/a	8-Jan	1,200.00	4.0
	NEUBERGER BERMAN	036	KBW	S	5,900	27.74	n/a	n/a	236.00	4.0
	FIRST NY SEC/CHRIS	041	KSS	В	10,000	51.70	n/a	8-Jan	500.00	5.0
	TRELLUS PARTNERS LP	028	KSS	В	30,000	51.65	n/a	8-Jan	1,500.00	5.0
	TRELLUS PARTNERS LP	028	LEA	В	15,000	71.12	n/a	8-Jan	750.00	5.0
	EPOCH INV MGMT	030	LH	В	9,750	76.81	n/a	n/a	292.50	3.0
	BROADARCH CAP/OLD NEWC	255	LII	В	1,800	39.78	n/a	n/a	72.00	4.0
	NEUBERGER BERMAN	036	MET	В	33,990	39.37	n/a	n/a	1,359.60	4.0
f	KAREN F LEDDY	FID	MGA	В	500	58.04	n/a	n/a	0.00	0.0
	NEW JERSEY DIV OF INV.	030	MOS	В	10,000	62.96	n/a	n/a	400.00	4.0
	BROADARCH CAP/OLD NEWC	255	MSM	В	3,000	46.90	n/a	n/a	120.00	4.0
	AVESTA CAP	229	MS	В	100,000	31.06	n/a	14-Jan	3,000.00	3.0
	BASIX	033	MTW	В	23,000	13.34	n/a	12-Jan	690.00	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.	
	NEW JERSEY DIV OF INV.	030	NCR	S	50,000	12.52	n/a	n/a	2,000.00	4.0	
	BROADARCH CAP/OLD NEWC	255	OXY	В	1,200	78.75	n/a	n/a	48.00	4.0	
	CAPITAL GROWTH MGMT.	241	PCU	S	50,000	33.26	n/a	n/a	2,500.00	5.0	
ß	BUCK CAPITAL MGMT		РН	S	20,000	61.72	n/a	4-Jan	non-brg	4.0	
	CRAMER ROSENTHAL/SCOTT	012	PVH	В	1,900	43.45	n/a	12-Jan	76.00	4.0	
	NEUBERGER BERMAN	036	RRR	S	30,000	7.38	n/a	4-Jan	600.00	2.0	
	NEUBERGER BERMAN	036	SLXP	В	72,000	28.78	n/a	n/a	2,880.00	4.0	
f	STRATTON PAR TNERSHI	FID	SMED	S	99	9.70	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		SPY	С	70,000	114.97	n/a	n/a	non-brg	0.8	
	SANDLER O'NEILL ASSET	031	STT	В	50,000	42.88	n/a	14-Jan	2,500.00	5.0	
	CRAMER ROSENTHAL/SCOTT	012	STX	S	34,900	18.14	n/a	n/a	1,396.00	4.0	
	DC CAPITAL	044	TEN	S	25,000	19.27	n/a	n/a	1,250.00	5.0	
	ROTHSCHILD ASSET	040	THO	В	8,730	31.45	n/a	n/a	349.20	4.0	
	SCOPUS FUND	041	TIN	SS	10,000	20.70	n/a	7-Jan	500.00	5.0	
	BUCK CAPITAL MGMT	001	TLB	В	40,000	9.97	n/a	n/a	1,200.00	3.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
	ARCHON CAPITAL	035	VAR	S	13,000	49.20	n/a	n/a	390.00	3.0
	NEUBERGER BERMAN	036	VRSK	S	114,200	27.99	n/a	n/a	4,568.00	4.0
f	JASON ERIC COHEN	FID	VTG	В	1050	1.51	n/a	n/a	0.00	0.0
	AVESTA CAP CARLSON CAPITAL	229 203	WFC WFC	B S	100,000	28.36 28.31	n/a n/a	14-Jan 14-Jan	3,000.00 2,800.00	3.0 4.0
	GALT INVESTMENT	041	WTSLA	S	25,000	3.50	n/a	n/a	1,000.00	4.0
	HIGHBRIDGE CAP	041	WY	В	17,000	44.58	n/a	4-Jan	680.00	4.0
	CONIFER/ISF	025	XRX	В	5,000	8.97	n/a	n/a	250.00	5.0
	EXIS CAPITAL	044	ZUMZ	SS	20,000	13.53	n/a	n/a	1,000.00	5.0

TOTAL (Trade Date): 82,909.76

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO, CRT	01/15	BUCK CAPITAL MGMT	001	ARO	В	10,000	34.81	n/a	8-Jan	400.00	4.0
AO, CXL	01/15	BUCK CAPITAL MGMT	001	ARO	В	10,000	34.81	n/a	8-Jan	400.00	4.0
AO,CXL	01/14	FORD FDN.	032	COF	S	50,000	41.58	n/a	15-Jan	2500.00	5.0
AO,CRT	01/14	FORD FDN.	032	COF	S	50,000	41.58	n/a	15-Jan	2000.00	4.0
AO,CXL	01/15	JMP ASSET MGMT	210	GCO	S	6 , 976	24.97	n/a	n/a	348.80	5.0
AO, CRT	01/15	JMP ASSET MGMT	210	GCO	S	6,976	24.97	n/a	n/a	348.80	5.0
AO, CRT	01/15	HUNTER GLOBAL INVESTOR	067	INTC	В	100,000	20.86	n/a	n/a	4000.00	4.0
AO,CXL	01/15	HUNTER GLOBAL INVESTOR	067	INTC	В	100,000	20.86	n/a	n/a	4000.00	4.0
TOTAL	(AO, 0	CXL, CRT):							_	500.00	

TOTAL (RUN DATE) / NFS:	82,409.76
TOTAL (AO, CXL, CRT):	-500.00
TOTAL (Trade Date):	82,909.76

MRI Securities with Trade Activity on 19-Jan.
NONE

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MRI Securities without Trade Activity on 19-Jan.
TYC
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 20%

YTD = 20%
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Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)