



Daily Compliance Activity Report

Trade Date: 03/09/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KAM ADVISORS LLC	041	ABC	C	55,200	37.88	n/a	n/a	1,656.00	3.0
BESSEMER INVESTMENT	085	ACE	B	530	71.61	n/a	n/a	21.20	4.0
BESSEMER INVESTMENT	085	ACN	B	580	61.07	n/a	n/a	23.20	4.0
BASIX	033	AGCO	SS	14,000	49.12	n/a	23-Feb	420.00	3.0
BESSEMER INVESTMENT	085	AGU	S	500	83.25	n/a	n/a	20.00	4.0
STANDARD PACIFIC CAPITAL	084	ATVI	B	200,000	12.06	n/a	n/a	10,000.00	5.0
BESSEMER INVESTMENT	085	BAC	S	365	8.06	n/a	5-Mar	14.60	4.0
SIMMONS VENTURES	041	BONT	SS	9,000	8.13	n/a	n/a	360.00	4.0
BESSEMER INVESTMENT	085	CMCSA	B	795	29.82	n/a	n/a	31.80	4.0
BASIX	033	CNH	SS	17,000	40.41	n/a	n/a	510.00	3.0
ROSEDALE CAPITAL	046	CRIS	B	25,000	4.60	n/a	n/a	750.00	3.0
BESSEMER INVESTMENT	085	ETN	S	265	49.13	n/a	27-Feb	10.60	4.0
BESSEMER INVESTMENT	085	EXC	B	585	38.72	n/a	n/a	23.40	4.0
BESSEMER INVESTMENT	085	FCX	B	365	38.82	n/a	n/a	14.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	FE	B	680	44.57	n/a	n/a	27.20	4.0
SCOPUS FUND	041	FL	S	50,000	30.23	n/a	7-Mar	2,000.00	4.0
BESSEMER INVESTMENT	085	GD	B	205	72.06	n/a	14-Feb	8.20	4.0
FARBER/WEISS PECK	085	GES	S	24,500	35.86	n/a	23-Jan	980.00	4.0
BESSEMER INVESTMENT	085	GIS	S	390	38.67	n/a	n/a	15.60	4.0
BESSEMER INVESTMENT	085	IBM	B	190	200.90	n/a	n/a	7.60	4.0
BESSEMER INVESTMENT	085	INTC	S	320	27.08	n/a	n/a	12.80	4.0
MA CAPITAL MGMT/MELLON ADV	041	IP	B	3,000	35.21	n/a	2-Feb	150.00	5.0
BESSEMER INVESTMENT	085	JNJ	B	590	64.74	n/a	n/a	23.60	4.0
BESSEMER INVESTMENT	085	JPM	S	325	41.09	n/a	5-Mar	13.00	4.0
BESSEMER INVESTMENT	085	KIM	S	360	18.19	n/a	n/a	14.40	4.0
BESSEMER INVESTMENT	085	K	S	370	52.44	n/a	n/a	14.80	4.0
SANDLER O'NEILL ASSET	031	LAZ	SS	25,000	30.36	n/a	5-Mar	1,250.00	5.0
BESSEMER INVESTMENT	085	LOW	B	560	29.84	n/a	28-Feb	22.40	4.0
BESSEMER INVESTMENT	085	LOW	S	385	29.81	n/a	28-Feb	15.40	4.0
BESSEMER INVESTMENT	085	MET	S	400	38.44	n/a	n/a	16.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	MGA	B	430	47.76	n/a	n/a	17.20	4.0
BRONSON POINT	036	MGA	SS	20,000	47.57	n/a	n/a	600.00	3.0
BESSEMER INVESTMENT	085	MHP	B	480	46.61	n/a	n/a	19.20	4.0
BESSEMER INVESTMENT	085	MMM	S	275	86.83	n/a	10-Feb	11.00	4.0
BESSEMER INVESTMENT	085	MRK	S	250	37.62	n/a	n/a	10.00	4.0
BESSEMER INVESTMENT	085	M	B	720	39.81	n/a	2-Mar	28.80	4.0
BESSEMER INVESTMENT	085	NEM	B	655	56.88	n/a	n/a	26.20	4.0
BESSEMER INVESTMENT	085	NXPI	S	250	25.58	n/a	n/a	10.00	4.0
MERRILL ASSET/BLACKROCK	297	PDC	S	29,000	9.62	n/a	n/a	1,160.00	4.0
BESSEMER INVESTMENT	085	PEP	S	300	63.21	n/a	n/a	12.00	4.0
SCOPUS FUND	041	PETM	S	200,000	58.55	n/a	n/a	8,000.00	4.0
TUDOR INVESTORS	028	PNR	SS	3,000	38.69	n/a	n/a	90.00	3.0
BAIRD INVESTMENT	047	SBUX	B	5,200	51.51	n/a	9-Mar	260.00	5.0
BALLAST CAP	040	SCS	B	15,000	8.80	n/a	n/a	450.00	3.0
IRIDIAN ASSET MGMT.	036	STX	B	60,430	28.43	n/a	n/a	3,021.50	5.0
VERITION	028	TTWO	B	10,000	15.66	n/a	n/a	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
M&I FUNDS	047	UNT	B	26,625	47.32	n/a	1-Mar	1,065.00	4.0
MOORE CAPITAL	041	URBN	C	19,500	29.49	n/a	n/a	585.00	3.0
SCOPUS FUND	041	URS	S	78,916	43.37	n/a	n/a	3,156.64	4.0
CASTLE ARK	031	WAB	B	42,210	75.22	n/a	n/a	2,110.50	5.0
COBALT	041	WBC	S	53,700	59.67	n/a	17-Feb	2,148.00	4.0
TRUSTCO/SUNTRUST (CEREDEX)	040	WEN	S	43,897	4.80	n/a	2-Mar	1,536.45	3.5
BESSEMER INVESTMENT	085	WU	B	1,380	17.71	n/a	n/a	55.20	4.0
SCHOTTENFELD ASSOC	041	WY	B	25,000	21.38	n/a	3-Feb	750.00	3.0
T. ROWE PRICE	033	WY	B	209,200	21.58	n/a	3-Feb	8,368.00	4.0
MFP INVESTORS	085	XYL	S	12,325	27.29	n/a	n/a	493.00	4.0
BESSEMER INVESTMENT	085	YUM	B	55	67.34	n/a	8-Feb	2.20	4.0
TOTAL (Trade Date) :								52,712.29	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	03/08	COLUMBUS CIRCLE (COLC3040)	036	APEI	S	1,330	38.94	n/a	n/a	53.20	4.0
AO,CXL	03/08	BESSEMER INVESTMENT (BESS8F9114)	085	GD	B	10	71.72	n/a	14-Feb	0.00	0.0
AO,CRT	03/08	BESSEMER INVESTMENT (BESSMAIN)	085	GD	B	10	71.72	n/a	14-Feb	0.00	0.0

TOTAL (AO, CXL, CRT) :**53.20**

TOTAL (Trade Date) :**52,712.29****TOTAL (AO, CXL, CRT) :****53.20**

TOTAL (RUN DATE) / NFS:**52,765.49**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION