



Daily Compliance Activity Report

Trade Date: 05/23/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	5,000	17.20	6-Mar	9-May	250.00	5.0
EPOCH INV MGMT	030	ALC	B	900	6.40	n/a	n/a	36.00	4.0
DIAMONDBACK CAP MGMT	232	ALL	B	20,000	50.09	n/a	n/a	1,000.00	5.0
CAVU CAP ADV	028	AMAT	S	16,600	18.82	n/a	n/a	830.00	5.0
COUGAR TRADING	044	ANF	B	2,500	71.22	10-Apr	16-May	125.00	5.0
BUCK CAPITAL MGMT	001	ANN	SS	10,000	25.90	14-Mar	22-May	400.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	50,000	32.49	28-Apr	23-May	2,000.00	4.0
COUGAR TRADING	044	ARO	SS	20,000	31.94	28-Apr	23-May	1,000.00	5.0
SUTERA	160	ASTI	B	1,000	13.55	n/a	n/a	0.00	0.0
GENERAL ELECTIC CO	033	BBBY	B	13,736	30.80	n/a	n/a	274.72	2.0
RBC CAPITAL MARKETS	034	BBY	SS	2,500	43.35	n/a	27-Jul	100.00	4.0
MORRISON&FRASIER/BUTLER	040	BID	S	10,000	25.23	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	BJ	SS	10,000	38.82	n/a	27-Jul	400.00	4.0
BENCHMARK	028	BKS	S	10,000	28.67	n/a	n/a	500.00	5.0
WHITE PINE CAPITAL	030	BONT	B	1,000	6.81	4-Jan	23-May	50.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HOLDEN CAPITAL MGMT	035	BPOP	SS	30,000	11.40	n/a	n/a	1,500.00	5.0
DURANGO INVESTMENTS	031	BUD	S	20,000	54.47	n/a	n/a	800.00	4.0
TRIVIUM CAP MGMT	251	BWA	SS	15,000	52.00	5-May	5-May	600.00	4.0
MFP INVESTORS	032	BYD	B	23,700	15.66	30-Apr	30-Apr	1,185.00	5.0
PILOT ADV	038	B	SS	55,000	29.90	n/a	n/a	2,200.00	4.0
ROYCE TRADING	216	CAB	B	10,000	13.26	n/a	n/a	500.00	5.0
BUCK	015	CAL	C	1,000	13.06	n/a	n/a	0.00	0.0
LEDDY	035	CHCG	S	5,000	1.57	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	CLX	B	12,000	56.69	n/a	2-May	480.00	4.0
COUGAR TRADING	044	COH	B	10,000	34.01	4-Apr	23-Apr	500.00	5.0
EGS ASSOCIATES LP	091	COH	B	3,000	34.00	4-Apr	23-Apr	180.00	6.0
RBC CAPITAL MARKETS	034	COST	SS	2,500	70.86	n/a	27-Jul	100.00	4.0
GALLEON MGMT LP	033	COT	B	5,000	3.07	n/a	n/a	250.00	5.0
COUGAR TRADING	044	CPKI	B	5,000	13.68	n/a	9-May	250.00	5.0
BUCK	015	CPKI	SS	1,000	13.68	n/a	9-May	0.00	0.0
WHEELER	008	CPNID	B	200	3.60	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRIVIUM CAP MGMT	251	CY	B	100,000	28.23	n/a	n/a	4,000.00	4.0
CATAPULT	040	DBRN	SS	25,000	13.91	n/a	n/a	1,000.00	4.0
STADIA CAPITAL	035	DECK	B	10,000	131.60	n/a	n/a	400.00	4.0
MORRISON&FRASIER/BUTLER	040	DHT	S	10,000	10.97	n/a	n/a	500.00	5.0
KACELA CAPITAL	034	DRI	B	20,000	32.04	n/a	15-Apr	800.00	4.0
EPOCH INV MGMT	030	DUF	B	700	16.60	n/a	n/a	28.00	4.0
BUCK	015	DVN	B	500	119.40	7-May	21-May	0.00	0.0
EPOCH INV MGMT	030	DVR	B	950	14.15	n/a	n/a	38.00	4.0
CUMBERLAND	038	EAT	B	25,000	19.70	n/a	24-Apr	1,000.00	4.0
EPOCH INV MGMT	030	EDR	B	800	12.82	n/a	n/a	32.00	4.0
WYPER CAPITAL	044	EMC	S	100,000	17.06	n/a	n/a	4,000.00	4.0
RBC CAPITAL MARKETS	034	FDO	SS	5,000	19.35	8-Jan	9-May	200.00	4.0
EPOCH INV MGMT	030	FFIC	B	450	19.42	n/a	n/a	18.00	4.0
EGS ASSOCIATES LP	091	FOSL	B	5,000	30.44	n/a	14-May	300.00	6.0
LEVIN CAPITAL STRATEGIES	012	GES	B	10,000	37.92	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	216	GFF	B	10,000	9.03	n/a	n/a	500.00	5.0
COUGAR TRADING	044	GIL	B	10,000	27.18	30-Apr	8-May	500.00	5.0
DELTEC ASSET MGMT.	040	GLW	S	10,000	27.15	n/a	7-May	500.00	5.0
BUCK CAPITAL MGMT	001	GM	SS	120,000	17.73	29-Apr	19-May	4,800.00	4.0
WAGONHOUND	048	GM	B	15,320	17.42	29-Apr	19-May	612.80	4.0
BUCK	015	GOOG	B	100	550.90	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	SS	10,000	17.98	n/a	23-May	500.00	5.0
S CAST	016	GSI	S	300	8.51	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	GT	S	259,000	25.46	n/a	n/a	12,950.00	5.0
COUGAR TRADING	044	GYMB	B	5,000	44.24	22-May	22-May	250.00	5.0
COUGAR TRADING	044	GYMB	SS	5,000	43.61	22-May	22-May	250.00	5.0
STADIA CAPITAL	035	GYMB	B	20,000	44.39	22-May	22-May	800.00	4.0
EPOCH INV MGMT	030	HAE	B	150	55.30	n/a	n/a	6.00	4.0
N STRATTON	014	HIBB	SS	200	20.00	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	HLIT	B	550	9.11	n/a	n/a	22.00	4.0
EPOCH INV MGMT	030	HXL	B	300	22.44	n/a	n/a	12.00	4.0
EPOCH INV MGMT	030	HYC	B	1,700	4.79	n/a	n/a	68.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	216	HZO	S	10,000	10.46	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	JAH	S	20,000	19.58	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	10,000	45.31	n/a	12-Mar	400.00	4.0
COUGAR TRADING	044	JCG	B	5,000	45.16	n/a	12-Mar	250.00	5.0
COUGAR TRADING	044	JCG	S	5,000	45.66	n/a	12-Mar	250.00	5.0
STADIA CAPITAL	035	JCG	B	10,000	45.71	n/a	12-Mar	400.00	4.0
BUCK	015	JPM	C	1,000	42.40	8-May	15-May	0.00	0.0
COUGAR TRADING	044	JWN	B	30,000	33.24	16-May	16-May	1,500.00	5.0
COUGAR TRADING	044	JWN	S	30,000	33.15	16-May	16-May	1,500.00	5.0
RBC CAPITAL MARKETS	034	JWN	SS	5,000	33.11	16-May	16-May	200.00	4.0
BUCK CAPITAL MGMT	001	KSS	S	175,000	43.01	n/a	16-May	7,000.00	4.0
COUGAR TRADING	044	KSS	B	15,000	43.24	n/a	16-May	750.00	5.0
DIAMONDBACK CAP MGMT	232	KSS	S	25,000	42.76	n/a	16-May	1,250.00	5.0
LEVIN CAPITAL STRATEGIES	012	KSS	C	20,000	43.51	n/a	16-May	800.00	4.0
EPOCH INV MGMT	030	KVA	B	450	25.27	n/a	n/a	18.00	4.0
NATIONAL BK OF CAN	031	LEH	B	20,000	35.72	1-Apr	22-May	800.00	4.0
SANDLER O'NEILL ASSET	031	LEH	B	50,000	37.27	1-Apr	22-May	2,500.00	5.0
EMP ERROR	099	LESJJ	B	30	1.51	n/a	n/a	0.00	0.0
EMP ERROR	099	LESJJ	S	30	1.52	n/a	n/a	0.00	0.0
ROYCE TRADING	216	LF	S	3,600	8.00	n/a	n/a	180.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON KEMPNER ADVISOR	040	LPX	B	122,600	11.45	7-Apr	7-May	4,505.00	2.5
SCOPUS FUND	041	LPX	S	50,300	11.41	7-Apr	7-May	2,515.00	5.0
EGS ASSOCIATES LP	091	LTD	B	5,000	18.59	n/a	23-May	300.00	6.0
EMP ERROR	099	LYHAI	B	30	3.80	n/a	n/a	0.00	0.0
EMP ERROR	099	LYHAI	S	30	3.65	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	MAKO	B	450	8.99	n/a	n/a	18.00	4.0
ROYCE TRADING	216	MED	S	8,600	4.92	n/a	n/a	258.00	3.0
MFP INVESTORS	032	MFW	B	1,000	33.00	n/a	n/a	50.00	5.0
AMBER CAPITAL	046	MI	B	200,000	23.44	n/a	n/a	8,000.00	4.0
EPOCH INV MGMT	030	MNRO	B	150	17.69	n/a	n/a	6.00	4.0
ROYCE TRADING	216	MRN	S	5,000	4.10	n/a	n/a	150.00	3.0
BUCK	015	MS	SS	1,000	42.16	20-Dec	22-May	0.00	0.0
BUCK CAPITAL MGMT	001	M	S	260,000	22.54	7-Feb	15-May	10,400.00	4.0
COUGAR TRADING	044	M	B	10,000	22.66	7-Feb	15-May	500.00	5.0
STELLIAM INV ADV	040	M	B	15,000	22.77	7-Feb	15-May	750.00	5.0
EPOCH INV MGMT	030	NSR	B	250	22.36	n/a	n/a	10.00	4.0
EPOCH INV MGMT	030	NTLS	B	250	27.59	n/a	n/a	10.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	NTRI	B	250	20.17	n/a	n/a	10.00	4.0
DIAMONDBACK CAP MGMT	232	PAYX	C	25,000	34.11	n/a	n/a	1,250.00	5.0
BARR	096	PDFS	B	2,000	5.17	n/a	n/a	0.00	0.0
J COHEN	N27	PDFS	B	300	5.16	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	PVH	SS	4,000	42.72	5-May	22-May	240.00	6.0
WYPER CAPITAL	044	QCOM	B	125,647	48.02	n/a	n/a	5,025.88	4.0
COUGAR TRADING	044	RL	B	15,000	59.50	7-Feb	6-May	750.00	5.0
CUMBERLAND	038	RT	S	25,000	7.30	n/a	n/a	1,000.00	4.0
WYPER CAPITAL	044	SGR	B	150,000	58.98	n/a	n/a	6,000.00	4.0
EPOCH INV MGMT	030	SLH	B	350	25.37	n/a	n/a	14.00	4.0
DIAMONDBACK CAP MGMT	232	SNV	SS	65,000	12.02	n/a	n/a	3,250.00	5.0
WESLEY CAPITAL	246	SSCC	B	5,000	5.92	7-Apr	23-Apr	200.00	4.0
ROXBURY CAPITAL MGMT	099	SSI	S	72,683	12.49	n/a	23-May	2,907.32	4.0
LISTED ERR	099	SSI	B	1,000	12.63	n/a	23-May	0.00	0.0
LISTED ERR	099	SSI	S	1,000	12.49	n/a	23-May	0.00	0.0
EPOCH INV MGMT	030	SWS	B	550	17.40	n/a	n/a	22.00	4.0
EPOCH INV MGMT	030	SXT	B	100	30.36	n/a	n/a	4.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	TFX	B	150	55.78	n/a	n/a	6.00	4.0
RAINIER INV MGMT	035	TNB	S	92,321	41.53	n/a	n/a	4,616.05	5.0
LEVIN CAPITAL STRATEGIES	012	TRA	B	15,000	39.72	n/a	n/a	600.00	4.0
EPOCH INV MGMT	030	TUP	B	200	37.57	n/a	n/a	8.00	4.0
SYSTEMATIC FINANCIAL MGT	035	TUP	B	19,000	37.47	n/a	n/a	712.25	3.8
CHARTER OAK	033	TXT	B	67,249	60.33	n/a	n/a	3,362.45	5.0
MILLRACE FUND LP	028	UA	B	11,000	33.20	n/a	n/a	440.00	4.0
MCCARTAN	028	UA	B	200	34.01	n/a	n/a	0.00	0.0
LED DY	035	UQM	S	3,980	2.42	n/a	n/a	0.00	0.0
DANIELS	N25	UQM	B	1,000	2.38	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	URS	B	102,000	44.54	n/a	n/a	5,100.00	5.0
SYSTEMATIC FINANCIAL MGT	035	VFC	S	35,250	73.94	n/a	n/a	1,321.88	3.8
TRIVIUM CAP MGMT	251	VRSN	SS	50,000	38.94	n/a	n/a	2,000.00	4.0
EPOCH INV MGMT	030	VVC	B	450	28.75	n/a	n/a	18.00	4.0
EPOCH INV MGMT	030	WBC	B	300	49.25	30-Apr	30-Apr	12.00	4.0
EPOCH INV MGMT	030	WIBC	B	600	8.69	n/a	n/a	24.00	4.0
SLS CAPITAL LLC	224	WMT	S	117,100	55.44	13-May	13-May	4,684.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHEELER	008	WRLS	B	10,680	3.08	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	WR	B	500	23.61	n/a	n/a	20.00	4.0
LEVIN CAPITAL STRATEGIES	012	XOM	C	10,000	92.64	n/a	n/a	400.00	4.0
PROSPECTOR PARTNERS LLC	036	XRX	B	50,000	13.50	n/a	n/a	2,000.00	4.0
BRENCOURT	028	YHOO	B	50,000	27.34	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	ZQK	B	30,000	8.96	5-Feb	7-Mar	1,500.00	5.0
TOTAL (Trade Date):								147,065.35	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/22	PILOT ADV (PILOUBS)	038	IGT	SS	250	34.20	n/a	18-Apr	10.00 4.0
AO,	05/22	PILOT ADV (PILOPARADI)	038	IGT	SS	11,750	34.20	n/a	18-Apr	470.00 4.0
CRT	05/23	EMP ERROR (EMP ERROR)	099	LESJJ	B	30	1.51	n/a	n/a	0.00 0.0
CRT	05/23	EMP ERROR (EMP ERROR)	099	LESJJ	S	30	1.52	n/a	n/a	0.00 0.0
CRT	05/23	EMP ERROR (EMP ERROR)	099	LYHAI	B	30	3.80	n/a	n/a	0.00 0.0
CRT	05/23	EMP ERROR (EMP ERROR)	099	LYHAI	S	30	3.65	n/a	n/a	0.00 0.0
AO,	05/22	NOMURA CAPITAL (NOMUSTRATE)	045	MNTG	S	2,365	5.55	n/a	n/a	94.60 4.0
AO,	05/22	NOMURA CAPITAL (NOMUBATT2)	045	MNTG	S	2,610	5.55	n/a	n/a	104.40 4.0
AO,	05/22	NOMURA CAPITAL (NOMUBSMAN)	045	MNTG	S	3,490	5.55	n/a	n/a	139.60 4.0
AO,	05/22	JENNISON (JENN541)	032	MSFT	S	1,800	28.42	n/a	3-Apr	72.00 4.0
AO,	05/22	JENNISON (JENN538)	032	MSFT	S	3,000	28.42	n/a	3-Apr	120.00 4.0
AO,	05/22	JENNISON (JENN516)	032	MSFT	S	21,100	28.42	n/a	3-Apr	844.00 4.0
AO,	05/22	JENNISON (JENN503)	032	MSFT	S	2,000	28.42	n/a	3-Apr	80.00 4.0
AO,	05/22	JENNISON (JENN495)	032	MSFT	S	6,900	28.42	n/a	3-Apr	276.00 4.0
AO,	05/22	JENNISON (JENN380)	032	MSFT	S	2,200	28.42	n/a	3-Apr	88.00 4.0
AO,	05/22	JENNISON (JENN203)	032	MSFT	S	9,400	28.42	n/a	3-Apr	376.00 4.0
AO,	05/22	JENNISON (JENN82)	032	MSFT	S	2,100	28.42	n/a	3-Apr	84.00 4.0
AO,	05/22	JENNISON (JENN73)	032	MSFT	S	3,500	28.42	n/a	3-Apr	140.00 4.0
AO,	05/22	JENNISON (JENN14)	032	MSFT	S	4,700	28.42	n/a	3-Apr	188.00 4.0
AO,	05/22	JENNISON (JENN491)	032	MSFT	S	900	28.42	n/a	3-Apr	36.00 4.0
AO,	05/22	JENNISON (JENN481)	032	MSFT	S	5,000	28.42	n/a	3-Apr	200.00 4.0
AO,	05/22	JENNISON (JENN892)	032	MSFT	S	8,300	28.42	n/a	3-Apr	332.00 4.0
AO,	05/22	JENNISON (JENN691)	032	MSFT	S	3,500	28.42	n/a	3-Apr	140.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/22	JENNISON (JENN891)	032	MSFT	S	100	28.42	n/a	3-Apr	4.00 4.0
AO,	05/22	JENNISON (JENN640)	032	MSFT	S	7,900	28.42	n/a	3-Apr	316.00 4.0
AO,	05/22	JENNISON (JENN879)	032	MSFT	S	500	28.42	n/a	3-Apr	20.00 4.0
AO,	05/22	JENNISON (JENN629)	032	MSFT	S	3,300	28.42	n/a	3-Apr	132.00 4.0
AO,	05/22	JENNISON (JENN0868)	032	MSFT	S	15,700	28.42	n/a	3-Apr	628.00 4.0
AO,	05/22	JENNISON (JENN612)	032	MSFT	S	1,200	28.42	n/a	3-Apr	48.00 4.0
AO,	05/22	JENNISON (JENN0866)	032	MSFT	S	2,200	28.42	n/a	3-Apr	88.00 4.0
AO,	05/22	JENNISON (JENN621)	032	MSFT	S	2,600	28.42	n/a	3-Apr	104.00 4.0
AO,	05/22	JENNISON (JENN856)	032	MSFT	S	4,600	28.42	n/a	3-Apr	184.00 4.0
AO,	05/22	JENNISON (JENN615)	032	MSFT	S	700	28.42	n/a	3-Apr	28.00 4.0
AO,	05/22	JENNISON (JENN0857)	032	MSFT	S	3,700	28.42	n/a	3-Apr	148.00 4.0
AO,	05/22	JENNISON (JENN610)	032	MSFT	S	900	28.42	n/a	3-Apr	36.00 4.0
AO,	05/22	JENNISON (JENN0854)	032	MSFT	S	42,700	28.42	n/a	3-Apr	1708.00 4.0
AO,	05/22	JENNISON (JENN584)	032	MSFT	S	1,000	28.42	n/a	3-Apr	40.00 4.0
AO,	05/22	JENNISON (JENN849)	032	MSFT	S	6,400	28.42	n/a	3-Apr	256.00 4.0
AO,	05/22	JENNISON (JENN579)	032	MSFT	S	1,700	28.42	n/a	3-Apr	68.00 4.0
AO,	05/22	JENNISON (JENN0851)	032	MSFT	S	4,300	28.42	n/a	3-Apr	172.00 4.0
AO,	05/22	JENNISON (JENN564)	032	MSFT	S	900	28.42	n/a	3-Apr	36.00 4.0
AO,	05/22	JENNISON (JENN844)	032	MSFT	S	5,900	28.42	n/a	3-Apr	236.00 4.0
AO,	05/22	JENNISON (JENN119)	032	MSFT	S	21,100	28.42	n/a	3-Apr	844.00 4.0
AO,	05/22	JENNISON (JENN835)	032	MSFT	S	7,200	28.42	n/a	3-Apr	288.00 4.0
AO,	05/22	JENNISON (JENN567)	032	MSFT	S	5,400	28.42	n/a	3-Apr	216.00 4.0
AO,	05/22	JENNISON (JENN837)	032	MSFT	S	1,300	28.42	n/a	3-Apr	52.00 4.0
AO,	05/22	JENNISON (JENN486)	032	MSFT	S	2,600	28.42	n/a	3-Apr	104.00 4.0
AO,	05/22	JENNISON (JENN826)	032	MSFT	S	35,100	28.42	n/a	3-Apr	1404.00 4.0
AO,	05/22	JENNISON (JENN490)	032	MSFT	S	3,500	28.42	n/a	3-Apr	140.00 4.0
AO,	05/22	JENNISON (JENN825)	032	MSFT	S	13,600	28.42	n/a	3-Apr	544.00 4.0
AO,	05/22	JENNISON (JENN452)	032	MSFT	S	3,800	28.42	n/a	3-Apr	152.00 4.0
AO,	05/22	JENNISON (JENN821)	032	MSFT	S	800	28.42	n/a	3-Apr	32.00 4.0
AO,	05/22	JENNISON (JENN463)	032	MSFT	S	1,900	28.42	n/a	3-Apr	76.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/22	JENNISON (JENN814)	032	MSFT	S	1,900	28.42	n/a	3-Apr	76.00 4.0
AO,	05/22	JENNISON (JENN440)	032	MSFT	S	4,300	28.42	n/a	3-Apr	172.00 4.0
AO,	05/22	JENNISON (JENN717)	032	MSFT	S	1,100	28.42	n/a	3-Apr	44.00 4.0
AO,	05/22	JENNISON (JENN439)	032	MSFT	S	200	28.42	n/a	3-Apr	8.00 4.0
AO,	05/22	JENNISON (JENN811)	032	MSFT	S	32,400	28.42	n/a	3-Apr	1296.00 4.0
AO,	05/22	JENNISON (JENN438)	032	MSFT	S	1,500	28.42	n/a	3-Apr	60.00 4.0
AO,	05/22	JENNISON (JENN809)	032	MSFT	S	21,900	28.42	n/a	3-Apr	876.00 4.0
AO,	05/22	JENNISON (JENN443)	032	MSFT	S	23,000	28.42	n/a	3-Apr	920.00 4.0
AO,	05/22	JENNISON (JENN799)	032	MSFT	S	4,000	28.42	n/a	3-Apr	160.00 4.0
AO,	05/22	JENNISON (JENN426)	032	MSFT	S	14,200	28.42	n/a	3-Apr	568.00 4.0
AO,	05/22	JENNISON (JENN797)	032	MSFT	S	10,000	28.42	n/a	3-Apr	400.00 4.0
AO,	05/22	JENNISON (JENN393)	032	MSFT	S	600	28.42	n/a	3-Apr	24.00 4.0
AO,	05/22	JENNISON (JENN795)	032	MSFT	S	32,100	28.42	n/a	3-Apr	1284.00 4.0
AO,	05/22	JENNISON (JENN388)	032	MSFT	S	500	28.42	n/a	3-Apr	20.00 4.0
AO,	05/22	JENNISON (JENN789)	032	MSFT	S	5,600	28.42	n/a	3-Apr	224.00 4.0
AO,	05/22	JENNISON (JENN396)	032	MSFT	S	1,800	28.42	n/a	3-Apr	72.00 4.0
AO,	05/22	JENNISON (JENN786)	032	MSFT	S	1,500	28.42	n/a	3-Apr	60.00 4.0
AO,	05/22	JENNISON (JENN404)	032	MSFT	S	800	28.42	n/a	3-Apr	32.00 4.0
AO,	05/22	JENNISON (JENN785)	032	MSFT	S	800	28.42	n/a	3-Apr	32.00 4.0
AO,	05/22	JENNISON (JENN162)	032	MSFT	S	7,300	28.42	n/a	3-Apr	292.00 4.0
AO,	05/22	JENNISON (JENN784)	032	MSFT	S	900	28.42	n/a	3-Apr	36.00 4.0
AO,	05/22	JENNISON (JENN540)	032	MSFT	S	4,300	28.42	n/a	3-Apr	172.00 4.0
AO,	05/22	JENNISON (JENN461)	032	MSFT	S	1,400	28.42	n/a	3-Apr	56.00 4.0
AO,	05/22	JENNISON (JENN548)	032	MSFT	S	900	28.42	n/a	3-Apr	36.00 4.0
AO,	05/22	JENNISON (JENN771)	032	MSFT	S	900	28.42	n/a	3-Apr	36.00 4.0
AO,	05/22	JENNISON (JENN536)	032	MSFT	S	4,700	28.42	n/a	3-Apr	188.00 4.0
AO,	05/22	JENNISON (JENN770)	032	MSFT	S	5,200	28.42	n/a	3-Apr	208.00 4.0
AO,	05/22	JENNISON (JENN372)	032	MSFT	S	1,000	28.42	n/a	3-Apr	40.00 4.0
AO,	05/22	JENNISON (JENN763)	032	MSFT	S	10,200	28.42	n/a	3-Apr	408.00 4.0
AO,	05/22	JENNISON (JENN354)	032	MSFT	S	6,600	28.42	n/a	3-Apr	264.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/22	JENNISON (JENN729)	032	MSFT	S	22,100	28.42	n/a	3-Apr	884.00 4.0
AO,	05/22	JENNISON (JENN529)	032	MSFT	S	85,100	28.42	n/a	3-Apr	3404.00 4.0
AO,	05/22	JENNISON (JENN580)	032	MSFT	S	100	28.42	n/a	3-Apr	4.00 4.0
AO,	05/22	JENNISON (JENN501)	032	MSFT	S	1,900	28.42	n/a	3-Apr	76.00 4.0
AO,	05/22	JENNISON (JENN616)	032	MSFT	S	100	28.42	n/a	3-Apr	4.00 4.0
AO,	05/22	JENNISON (JENN500)	032	MSFT	S	1,800	28.42	n/a	3-Apr	72.00 4.0
AO,	05/22	JENNISON (JENN613)	032	MSFT	S	100	28.42	n/a	3-Apr	4.00 4.0
AO,	05/22	JENNISON (JENN499)	032	MSFT	S	58,700	28.42	n/a	3-Apr	2348.00 4.0
AO,	05/22	JENNISON (JENN769)	032	MSFT	S	1,500	28.42	n/a	3-Apr	60.00 4.0
AO,	05/22	JENNISON (JENN79)	032	MSFT	S	2,700	28.42	n/a	3-Apr	108.00 4.0
AO,	05/22	JENNISON (JENN134)	032	MSFT	S	1,300	28.42	n/a	3-Apr	52.00 4.0
AO,	05/22	JENNISON (JENN148)	032	MSFT	S	14,400	28.42	n/a	3-Apr	576.00 4.0
AO,	05/22	JENNISON (JENN387)	032	MSFT	S	267,600	28.42	n/a	3-Apr	10704.00 4.0
AO,	05/22	JENNISON (JENN267)	032	MSFT	S	7,700	28.42	n/a	3-Apr	308.00 4.0
AO,	05/22	JENNISON (JENN46)	032	MSFT	S	5,000	28.42	n/a	3-Apr	200.00 4.0
AO,	05/22	JENNISON (JENN492)	032	MSFT	S	2,000	28.42	n/a	3-Apr	80.00 4.0
AO,	05/22	JENNISON (JENN268)	032	MSFT	S	1,700	28.42	n/a	3-Apr	68.00 4.0
AO,	05/22	JENNISON (JENN18)	032	MSFT	S	17,000	28.42	n/a	3-Apr	680.00 4.0
AO,	05/22	JENNISON (JENN553)	032	MSFT	S	700	28.42	n/a	3-Apr	28.00 4.0
AO,	05/22	JENNISON (JENN210)	032	MSFT	S	700	28.42	n/a	3-Apr	28.00 4.0
AO,	05/22	JENNISON (JENN694)	032	MSFT	S	6,700	28.42	n/a	3-Apr	268.00 4.0
AO,	05/22	JENNISON (JENN166)	032	MSFT	S	13,900	28.42	n/a	3-Apr	556.00 4.0
AO,	05/22	JENNISON (JENN697)	032	MSFT	S	42,600	28.42	n/a	3-Apr	1704.00 4.0
AO,	05/22	JENNISON (JENN322)	032	MSFT	S	28,000	28.42	n/a	3-Apr	1120.00 4.0
AO,	05/22	JENNISON (JENN636)	032	MSFT	S	1,600	28.42	n/a	3-Apr	64.00 4.0
AO,	05/22	JENNISON (JENN493)	032	MSFT	S	2,000	28.42	n/a	3-Apr	80.00 4.0
AO,	05/22	JENNISON (JENN244)	032	MSFT	S	1,300	28.42	n/a	3-Apr	52.00 4.0
AO,	05/22	JENNISON (JENN831)	032	MSFT	S	8,300	28.42	n/a	3-Apr	332.00 4.0
AO,	05/22	JENNISON (JENN164)	032	MSFT	S	3,300	28.42	n/a	3-Apr	132.00 4.0
AO,	05/22	JENNISON (JENN80)	032	MSFT	S	3,100	28.42	n/a	3-Apr	124.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/22	JENNISON (JENN710)	032	MSFT	S	700	28.42	n/a	3-Apr	28.00 4.0
AO,	05/22	JENNISON (JENN641)	032	MSFT	S	2,300	28.42	n/a	3-Apr	92.00 4.0
AO,	05/22	JENNISON (JENN619)	032	MSFT	S	600	28.42	n/a	3-Apr	24.00 4.0
AO,	05/22	JENNISON (JENN704)	032	MSFT	S	7,000	28.42	n/a	3-Apr	280.00 4.0
AO,	05/22	JENNISON (JENN662)	032	MSFT	S	7,100	28.42	n/a	3-Apr	284.00 4.0
AO,	05/22	JENNISON (JENN556)	032	MSFT	S	700	28.42	n/a	3-Apr	28.00 4.0
AO,	05/22	PECONIC PARTNERS (PECOMORGAN)	036	PLCE	B	96,400	31.94	23-May	23-May	4820.00 5.0
AO,	05/22	PECONIC PARTNERS (PECOCITIGR)	036	PLCE	B	3,600	31.94	23-May	23-May	180.00 5.0
TOTAL (AO, CXL, CRT) :										49,818.60
TOTAL (Trade Date) :										147,065.35
TOTAL (AO, CXL, CRT) :										49,818.60
TOTAL (RUN DATE) / NFS:										196,883.95

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION