



Daily Compliance Activity Report

Trade Date: 02/02/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SAC TRADING HOWARD	038	ACMR	S	20,000	21.00	n/a	n/a	1,000.00	5.0
NICHOLAS ADVISORS	034	ADP	B	4,000	48.85	n/a	n/a	200.00	5.0
BUCK CAPITAL MGMT		AMWD	C	25,580	45.68	n/a	n/a	non-brg	5.0
BUCK	015	ANF	SS	400	80.46	2-Feb	2-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	ANN	SS	40,000	35.17	5-Jan	2-Feb	1,600.00	4.0
EGS ASSOCIATES LP	091	ANN	S	3,000	35.30	5-Jan	2-Feb	180.00	6.0
PILOT ROCK	028	ANN	B	50,000	35.41	5-Jan	2-Feb	2,000.00	4.0
PRINCIPLE CAPITAL	040	ANN	B	240,000	35.25	5-Jan	2-Feb	7,200.00	3.0
LUCHANSKY	036	ANN	B	100	35.36	5-Jan	2-Feb	0.00	0.0
J L F ASSET MGMT	039	APPB	B	77,400	24.82	n/a	n/a	3,096.00	4.0
STADIA CAPITAL	035	ASCA	B	1,000	32.24	n/a	2-Feb	40.00	4.0
HIGHBRIDGE CAPITAL	038	BBT	SS	7,000	42.54	n/a	n/a	350.00	5.0
CAPITAL GROWTH MGMT.	241	BHI	B	130,000	71.01	n/a	n/a	6,500.00	5.0
THE JAY GOLDMAN	034	BJ	SS	10,000	31.41	n/a	5-Jan	400.00	4.0
BUCK CAPITAL MGMT		BLDR	B	12,500	18.70	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		BLDR	B	28,525	19.01	n/a	n/a	non-brg	1.5
BUCK CAPITAL MGMT		BLDR	B	9,464	18.64	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BLG	B	100,000	24.96	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		BLG	B	2,500	24.78	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BLG	B	25,000	24.98	n/a	n/a	non-brg	2.0
LATEFF MGMT	040	BLL	S	1,500	46.57	n/a	n/a	375.00	25.0
BUCK CAPITAL MGMT		BRL	B	40,000	53.91	26-Jan	2-Feb	non-brg	5.0
PLATTE RIVER CAPITAL	035	BSX	B	5,000	18.24	n/a	22-Apr	200.00	4.0
BUCK CAPITAL MGMT		BXC	B	50,000	11.37	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		COHU	C	1,600	19.50	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		COHU	C	67,042	19.13	n/a	n/a	non-brg	1.0
BUCK	015	COO	B	300	48.49	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	034	COST	B	20,000	56.11	n/a	5-Jan	800.00	4.0
SAILFISH	037	CPB	SS	5,000	39.00	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	CRI	S	150,900	25.21	n/a	n/a	6,036.00	4.0
BUCK CAPITAL MGMT		CRI	S	4,100	25.37	n/a	n/a	non-brg	2.0
EGS ASSOCIATES LP	091	CRI	S	5,000	25.43	n/a	n/a	300.00	6.0
DAVIDSON TRUST CO	033	CSCO	S	2,300	26.86	n/a	22-Mar	138.00	6.0
FRIESS MGMT	042	CSCO	B	291,200	27.00	n/a	22-Mar	13,104.00	4.5
BINDER	007	CVGW	B	200	10.90	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC TRADING HOWARD	038	CWTR	S	800	19.30	n/a	n/a	40.00	5.0
TRIVIUM CAP MGMT	028	CWTR	C	100,000	19.23	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		DECK	B	15,000	58.63	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	DHI	B	125,400	30.95	n/a	n/a	5,016.00	4.0
B BUCK CAPITAL MGMT		DIA	C	10,000	126.73	n/a	n/a	non-brg	1.0
BULL PATH CAPITAL	044	DRI	B	20,000	40.18	12-Dec	19-Dec	1,000.00	5.0
GAULT	063	EDA	B	2,000	11.09	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	EXBD	B	17,500	92.97	n/a	n/a	875.00	5.0
BUCK CAPITAL MGMT	001	FD	B	100,000	41.89	26-Jan	29-Jan	4,000.00	4.0
FIRST NEW YORK LEDDY	035	FD	B	10,000	42.00	26-Jan	29-Jan	600.00	6.0
MAZAMA CAPITAL MGMT.	035	FIX	S	15,600	13.06	n/a	n/a	624.00	4.0
KORMAN	003	FL	B	3,000	22.62	n/a	19-Jan	0.00	0.0
DAVIDSON TRUST CO	033	F	B	1,500	8.24	n/a	n/a	135.00	9.0
B BUCK CAPITAL MGMT		GCO	S	53,000	40.44	n/a	10-Jan	non-brg	5.0
VANDER MOOLEN	040	GE	B	5,000	36.30	n/a	n/a	250.00	5.0
BARR	096	GG	B	330	27.16	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		GIII	B	2,000	22.61	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GIL	B	10,000	51.65	n/a	n/a	non-brg	5.0
IRIDIAN ASSET MGMT.	036	GPS	B	195,000	19.39	n/a	24-Jan	9,750.00	5.0
GAULT	063	HC	S	2,000	19.48	n/a	2-Feb	0.00	0.0
CHARTER OAK	037	IDIX	B	25,000	8.86	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		IKAN	B	20,000	8.25	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		INTC	B	20,000	21.15	n/a	n/a	non-brg	5.0
DAVIDSON TRUST CO	033	INTC	S	3,200	21.06	n/a	n/a	192.00	6.0
NICHOLAS ADVISORS	034	INTC	B	10,000	21.13	n/a	n/a	500.00	5.0
BINDER	007	INTC	B	300	21.18	n/a	n/a	0.00	0.0
LUCHANSKY	036	INTC	B	400	21.13	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	238	IR	B	35,300	43.51	n/a	n/a	1,765.00	5.0
VANDER MOOLEN	040	ISE	B	5,000	42.96	26-Oct	1-Feb	250.00	5.0
VENTANA CAPITAL	060	ISE	B	5,000	43.70	26-Oct	1-Feb	200.00	4.0
EFSTATHIOU	044	ISE	B	100	42.54	26-Oct	1-Feb	0.00	0.0
FIRST NEW YORK LEDDY	035	JADE	B	10,000	7.04	n/a	n/a	600.00	6.0
B BUCK CAPITAL MGMT		JOSB	B	50,000	31.24	n/a	n/a	non-brg	5.0
CUMBERLAND	038	JWN	B	20,000	56.60	18-Jan	18-Jan	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BINDER	007	KEGS	B	140	16.35	n/a	2-Feb	0.00	0.0
CROWLEY	061	KEGS	B	2,000	16.39	n/a	2-Feb	0.00	0.0
HIGHBRIDGE CAPITAL	038	KEY	SS	7,000	38.77	n/a	n/a	350.00	5.0
NEUBERGER BERMAN	238	KVA	S	11,500	25.30	n/a	n/a	575.00	5.0
BALYASNY ASSET MGMT	044	LAZ	S	5,000	50.93	n/a	n/a	250.00	5.0
PZENA INV ADV	044	LEA	S	45,525	34.55	n/a	n/a	1,821.00	4.0
⌘ BUCK CAPITAL MGMT		LTD	B	50,000	28.62	5-Jan	5-Jan	non-brg	5.0
⌘ BUCK CAPITAL MGMT		MAS	C	50,000	34.52	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		MDTL	SS	5,000	12.91	n/a	n/a	non-brg	5.0
ASCEND PARTNERS	033	MHK	C	17,200	86.81	n/a	n/a	860.00	5.0
BUCK	015	MHS	S	500	60.26	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MIPS	B	20,000	8.42	n/a	n/a	non-brg	4.0
CROWLEY	061	MIR	S	867	34.69	n/a	n/a	0.00	0.0
DAVIDSON TRUST CO	033	MMM	S	1,200	73.89	n/a	30-Jan	108.00	9.0
PEREGRINE	030	NDN	B	50,000	15.07	16-Jan	16-Jan	2,500.00	5.0
FRIESS MGMT	042	ORCL	B	273,900	17.31	n/a	n/a	12,325.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IVORY CAPITAL	034	ORCL	B	100,000	17.37	n/a	n/a	5,000.00	5.0
GAULT	063	PDCCV	S	50	0.60	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PLCE	B	15,000	58.38	n/a	25-Jan	non-brg	5.0
COUGAR TRADING	044	PSUN	S	5,000	20.00	n/a	12-Jan	250.00	5.0
HAYGROUND COE	039	PSUN	B	1,400	19.93	n/a	12-Jan	70.00	5.0
JENNISON	032	QCOM	B	42,600	37.27	n/a	n/a	1,704.00	4.0
LEDDY	035	RCKY	S	1,000	15.73	n/a	n/a	0.00	0.0
EFSTATHIOU	044	RCKY	S	300	15.75	n/a	n/a	0.00	0.0
THOMSON HORSTMAN BRYANT	040	RDEN	S	132,600	20.63	7-Nov	7-Nov	6,630.00	5.0
MKT MAKER	099	RDEN	B	92	20.60	7-Nov	7-Nov	0.00	0.0
TRIBECA/DOUG	040	RJF	S	2,200	32.00	n/a	n/a	88.00	4.0
B BUCK CAPITAL MGMT		RTH	C	20,000	104.29	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SHOO	B	140,703	30.93	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		SHOO	B	2,100	30.89	n/a	n/a	non-brg	1.5
B BUCK CAPITAL MGMT		SHOO	B	20,300	31.19	n/a	n/a	non-brg	1.5
B BUCK CAPITAL MGMT		SHOO	B	35,000	30.98	n/a	n/a	non-brg	1.0
SSI INVESTORS	048	SHOO	B	39,877	31.14	n/a	n/a	1,595.08	4.0
THIRD COAST CAPITAL	035	SKS	B	50,000	19.58	n/a	n/a	2,500.00	5.0
MORGAN LEWIS/MLH	046	SLB	B	10,000	64.51	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD AVE MGMT	030	SM	B	30,000	36.12	n/a	n/a	1,200.00	4.0
GENERAL ELECTIC CO	033	SPLS	B	30,900	26.45	n/a	10-Jan	1,390.50	4.5
B BUCK CAPITAL MGMT		SPY	C	10,000	144.82	n/a	n/a	non-brg	1.0
NICHOLAS ADVISORS	034	STX	B	7,000	26.36	n/a	n/a	175.00	2.5
B BUCK CAPITAL MGMT		SWK	C	20,000	58.33	n/a	n/a	non-brg	5.0
ROTHSCHILD ASSET	040	SY	B	19,750	26.08	n/a	n/a	888.75	4.5
B BUCK CAPITAL MGMT		TEVA	B	39,200	35.25	8-Nov	2-Feb	non-brg	5.0
BUCK	015	THOR	S	1,000	18.72	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	TJX	S	207,292	28.50	6-Oct	1-Feb	8,291.68	4.0
REICH AND TANG	037	TMO	S	50,000	49.22	n/a	n/a	2,500.00	5.0
DAVIDSON TRUST CO	033	T	B	1,200	37.87	26-Jan	30-Jan	108.00	9.0
GASNER	025	UFPI	SS	200	49.98	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	URBN	B	12,000	25.27	7-Dec	5-Jan	480.00	4.0
GAULT	063	VG	S	1,000	5.32	23-Jan	23-Jan	0.00	0.0
LUCHANSKY	036	VIV	S	200	4.05	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	WFC	SS	30,000	35.87	n/a	n/a	1,500.00	5.0
BINDER	007	WLVT	S	3,500	1.79	n/a	n/a	0.00	0.0
LEDDY	035	WLVT	S	7,600	1.60	n/a	n/a	0.00	0.0
SAILFISH	037	WMT	B	10,000	48.19	5-Oct	2-Feb	500.00	5.0
ß BUCK CAPITAL MGMT		WSO	B	50,000	53.45	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		WTSLA	B	50,000	6.54	n/a	n/a	non-brg	5.0
TROUBH PART	067	XOHO	S	52,000	4.40	n/a	n/a	2,600.00	5.0
ACT2	067	XOHO	B	125,000	4.40	n/a	n/a	5,000.00	4.0
ß BUCK CAPITAL MGMT		YRCW	C	10,000	44.45	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	ZQK	S	143,700	14.17	8-Sep	11-Jan	5,748.00	4.0
ß BUCK CAPITAL MGMT		ZQK	S	12,300	14.22	8-Sep	11-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		ZQK	S	125,000	14.22	8-Sep	11-Jan	non-brg	5.0
ß BUCK CAPITAL MGMT		ZQK	S	369,000	14.18	8-Sep	11-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		ZQK	S	50,000	14.21	8-Sep	11-Jan	non-brg	5.0
TOTAL (Trade Date):								143,124.51	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	02/01	COLUMBUS CIRCLE	036	AAPL	B	360	85.15	n/a	n/a	14.40	4.0
AO,	02/01	COLUMBUS CIRCLE	036	ABT	B	190	52.76	n/a	22-Apr	7.60	4.0
AO,	02/01	COLUMBUS CIRCLE	036	ADS	B	390	65.81	n/a	n/a	15.60	4.0
AO,CRT	01/31	UBS O'CONNOR/TOM LEDDY	035	AEOS	B	50,000	32.61	4-Jan	12-Jan	2000.00	4.0
AO,CXL	01/31	UBS O'CONNOR/TOM LEDDY	035	AEOS	B	50,000	32.61	4-Jan	12-Jan	2500.00	5.0
AO,CRT	02/01	CENTURION PARTNERS	249	ANF	B	10,000	80.49	2-Feb	2-Feb	500.00	5.0
AO,CXL	02/01	CENTURION PARTNERS	249	ANF	B	10,000	80.49	2-Feb	2-Feb	500.00	5.0
AO,	02/01	COLUMBUS CIRCLE	036	APA	B	220	71.95	2-Feb	2-Feb	8.80	4.0
AO,	02/01	COLUMBUS CIRCLE	036	ATI	B	160	103.33	n/a	n/a	6.40	4.0
AO,	02/01	COLUMBUS CIRCLE	036	BAX	B	400	50.01	n/a	22-Apr	16.00	4.0
AO,CRT	02/01	LISTED ERR	099	BSX	B	100	18.30	n/a	22-Apr	0.00	0.0
AO,	02/01	COLUMBUS CIRCLE	036	CAG	B	360	25.81	n/a	n/a	14.40	4.0
AO,	02/01	COLUMBUS CIRCLE	036	CAH	B	140	71.44	n/a	n/a	5.60	4.0
AO,	02/01	COLUMBUS CIRCLE	036	CMCSA	B	280	42.84	11-Jan	2-Feb	11.20	4.0
AO,	02/01	COLUMBUS CIRCLE	036	CMX	B	320	60.81	n/a	n/a	12.80	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/01	COLUMBUS CIRCLE	036	CSCO	B	1,180	26.58	n/a	22-Mar	47.20 4.0
AO,	02/01	COLUMBUS CIRCLE	036	CTSH	B	120	85.04	n/a	n/a	4.80 4.0
AO,	02/01	COLUMBUS CIRCLE	036	CVS	B	840	33.40	n/a	n/a	33.60 4.0
AO,	02/01	COLUMBUS CIRCLE	036	DNA	B	240	87.32	n/a	n/a	9.60 4.0
AO,	02/01	COLUMBUS CIRCLE	036	DVN	B	270	70.46	n/a	22-Jan	10.80 4.0
AO,	02/01	COLUMBUS CIRCLE	036	EBAY	B	290	32.35	n/a	n/a	11.60 4.0
AO,	02/01	COLUMBUS CIRCLE	036	EMR	B	310	45.20	n/a	n/a	12.40 4.0
AO,	02/01	COLUMBUS CIRCLE	036	GES	B	140	73.39	n/a	n/a	5.60 4.0
AO,CRT	02/01	PILOT ADV	038	GHS	SS	23,600	19.38	n/a	n/a	944.00 4.0
AO,CXL	02/01	PILOT ADV	038	GHS	SS	23,600	19.38	n/a	n/a	1180.00 5.0
AO,	02/01	COLUMBUS CIRCLE	036	GILD	B	410	71.74	n/a	n/a	16.40 4.0
AO,	02/01	COLUMBUS CIRCLE	036	GOOG	B	120	492.81	n/a	n/a	4.80 4.0
AO,	02/01	COLUMBUS CIRCLE	036	GS	B	160	212.06	13-Dec	16-Jan	6.40 4.0
AO,	02/01	COLUMBUS CIRCLE	036	HPQ	B	730	42.38	n/a	n/a	29.20 4.0
AO,	02/01	COLUMBUS CIRCLE	036	IBM	B	210	98.19	n/a	n/a	8.40 4.0
AO,	02/01	COLUMBUS CIRCLE	036	ISRG	B	120	99.10	n/a	n/a	4.80 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/01	COLUMBUS CIRCLE	036	KO	B	560	48.05	n/a	n/a	22.40 4.0
AO,	02/01	COLUMBUS CIRCLE	036	KSS	B	280	72.11	27-Dec	5-Jan	11.20 4.0
AO,	02/01	NEUBERGER BERMAN	238	KVA	S	42,900	25.40	n/a	n/a	2145.00 5.0
AO,	02/01	COLUMBUS CIRCLE	036	LAMR	B	230	65.83	n/a	n/a	9.20 4.0
AO,	02/01	COLUMBUS CIRCLE	036	LVS	B	170	104.82	n/a	n/a	6.80 4.0
AO,	02/01	COLUMBUS CIRCLE	036	MAR	B	220	48.82	n/a	n/a	8.80 4.0
AO,	02/01	COLUMBUS CIRCLE	036	MCD	B	480	44.37	12-Oct	24-Jan	19.20 4.0
AO,CRT	02/01	FINANCIAL STOCK LP	028	MEL	S	52,320	42.88	5-Dec	18-Jan	2092.80 4.0
AO,CXL	02/01	FINANCIAL STOCK LP	028	MEL	S	52,320	42.88	5-Dec	18-Jan	2092.80 4.0
AO,	02/01	COLUMBUS CIRCLE	036	MS	B	440	83.50	20-Dec	16-Jan	17.60 4.0
AO,	02/01	COLUMBUS CIRCLE	036	MSFT	B	820	30.56	n/a	3-Apr	32.80 4.0
AO,	02/01	COLUMBUS CIRCLE	036	NTAP	B	280	37.36	n/a	n/a	11.20 4.0
AO,	02/01	COLUMBUS CIRCLE	036	NWL	B	490	29.81	n/a	n/a	19.60 4.0
AO,	02/01	COLUMBUS CIRCLE	036	PEP	B	390	65.17	n/a	n/a	15.60 4.0
AO,	02/01	COLUMBUS CIRCLE	036	PG	B	280	64.91	15-Dec	31-Jan	11.20 4.0
AO,	02/01	COLUMBUS CIRCLE	036	POT	B	90	159.94	n/a	n/a	3.60 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/01	COLUMBUS CIRCLE	036	QCOM	B	400	37.17	n/a	n/a	16.00 4.0
AO,	02/01	COLUMBUS CIRCLE	036	RIMM	B	90	127.53	n/a	n/a	3.60 4.0
AO,	02/01	COLUMBUS CIRCLE	036	RL	B	150	84.51	2-Feb	2-Feb	6.00 4.0
AO,	02/01	COLUMBUS CIRCLE	036	SGP	B	410	24.99	n/a	n/a	16.40 4.0
AO,	02/01	COLUMBUS CIRCLE	036	SHPGY	B	190	64.24	14-Dec	29-Jan	7.60 4.0
AO,	02/01	COLUMBUS CIRCLE	036	SKS	B	830	19.14	n/a	n/a	33.20 4.0
AO,CXL	02/01	IRONBOUND CAPITAL	012	SSCC	SS	74,200	11.15	26-Oct	25-Jan	3710.00 5.0
AO,CRT	02/01	IRONBOUND CAPITAL	012	SSCC	SS	74,200	11.15	26-Oct	25-Jan	2226.00 3.0
AO,	02/01	COLUMBUS CIRCLE	036	STJ	B	240	42.43	n/a	n/a	9.60 4.0
AO,	02/01	COLUMBUS CIRCLE	036	STT	B	310	71.53	n/a	n/a	12.40 4.0
AO,	02/01	COLUMBUS CIRCLE	036	SWY	B	280	36.49	n/a	n/a	11.20 4.0
AO,	02/01	COLUMBUS CIRCLE	036	TMO	B	210	48.80	n/a	n/a	8.40 4.0
AO,	01/31	MKT MAKER	099	TWTC	S	12	22.75	n/a	23-Jan	0.00 0.0
AO,CXL	01/31	DISIPLINED GROWTH INV.	030	TWTC	B	400	22.75	n/a	23-Jan	20.00 5.0
AO,CRT	01/31	DISIPLINED GROWTH INV.	030	TWTC	B	400	22.75	n/a	23-Jan	20.00 5.0
AO,	02/01	COLUMBUS CIRCLE	036	TWX	B	450	21.59	n/a	n/a	18.00 4.0
AO,	02/01	COLUMBUS CIRCLE	036	UAUA	B	530	43.37	n/a	n/a	21.20 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/01	GRUSS CAPITAL	040	VNO	S	35,000	124.06	n/a	n/a	1400.00 4.0
AO,	02/01	COLUMBUS CIRCLE	036	WWY	B	190	52.21	n/a	n/a	7.60 4.0
TOTAL (AO, CXL, CRT) :										2,003.80

TOTAL (Trade Date):	143,124.51
TOTAL (AO, CXL, CRT):	2,003.80

TOTAL (RUN DATE) / NFS:	145,128.31
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MRI Securities with Trade Activity on 2-Feb.
ANF

MRI Securities without Trade Activity on 2-Feb.
APA, ITT, RL

BRG comm. as a % of BCM Total Comm. paid.
 T-Date = 20%
 YTD = 22%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION