



# Daily Compliance Activity Report

Trade Date: 08/18/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BRONSON POINT	036	ABX	B	10,000	50.05	n/a	n/a	300.00	3.0
f KAREN F LEDDY	FID	AB	S	500	14.29	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	AEMSX	B	300	13.33	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	AFL	S	350	35.97	n/a	n/a	0.00	0.0
f IAN L MILLER	FID	ALTFX	S	29	63.77	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ANF	S	5,500	62.03	n/a	18-Aug	non-brg	4.0
f MANNY I KORMAN	FID	ANF	B	2000	61.72	n/a	18-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		ANN	S	150,000	19.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ANN	S	28,190	19.35	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAP	041	ANN	SS	13,000	19.59	n/a	n/a	390.00	3.0
ß BUCK CAPITAL MGMT		APC	S	7,000	68.85	n/a	17-Aug	non-brg	4.0
f IAN L MILLER	FID	APGAX	S	83	22.42	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		APO	S	200	13.55	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	S	200	13.55	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	S	4,800	13.55	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	S	500	13.55	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	S	500	13.55	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	S	600	13.55	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		APO	S	700	13.55	n/a	n/a	non-brg	4.0
f JOHN FRANCIS MCERLEA	FID	ARCO	S	500	25.09	n/a	n/a	0.00	0.0
DAVIDSON INV ADV	035	ATI	B	27,570	43.51	n/a	n/a	1,102.80	4.0
ß BUCK CAPITAL MGMT		AVY	S	12,000	26.35	n/a	11-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		AXE	S	3,900	50.45	n/a	17-Aug	non-brg	4.0
DC CAPITAL	044	AXE	B	19,600	49.90	n/a	17-Aug	784.00	4.0
f MARC PAUL LUCHANSKY	FID	BA	S	500	59.71	n/a	16-Aug	0.00	0.0
HIGHBRIDGE CAP	041	BBY	SS	11,000	23.93	n/a	n/a	330.00	3.0
ß BUCK CAPITAL MGMT		BID	S	8,000	32.94	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BK	S	16,600	20.12	n/a	16-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		BODY	S	8,000	16.50	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BYD	S	41,000	5.70	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		CAR	S	18,000	11.94	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	CHS	C	10,000	12.62	n/a	5-Feb	500.00	5.0
BMO NESBITT BURNS	041	CHS	B	3,000	12.08	n/a	5-Feb	120.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	CMG	B	30	280.51	n/a	20-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		CMN	S	170	20.49	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		CMN	S	2,273	20.49	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		CMN	S	240	20.49	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		CMN	S	60	20.49	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		CMN	S	80	20.49	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		COF	S	8,600	42.31	n/a	17-Aug	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	COH	S	500	47.58	n/a	3-Aug	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	CONG	S	300	42.56	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	CPB	B	25,500	30.64	n/a	n/a	765.00	3.0
BMO NESBITT BURNS	041	CRI	B	1,000	29.26	n/a	n/a	40.00	4.0
f COLE THOMAS KAICHER	FID	CRMBW	B	800	0.62	n/a	n/a	0.00	0.0
f JOHN MICHAEL DEMARTI	FID	CRMBW	B	10000	0.76	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	CRM	S	43,700	116.29	n/a	n/a	1,748.00	4.0
BALYASNY ASSET MGMT	044	CROX	B	25,000	25.77	n/a	n/a	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		CROX	S	30,000	25.48	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	CSCO	S	250	15.10	n/a	n/a	50.00	20.0
f JOHN BARTMAN COLE	FID	CVGI	B	800	6.34	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	CVGI	S	5000	6.85	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		C	S	7,700	27.42	n/a	16-Aug	non-brg	4.0
f DAVID GEORGE BUCK	FID	C	B	3000	26.89	n/a	16-Aug	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DELTEC ASSET MGMT.	040	C	B	7,500	26.99	n/a	16-Aug	375.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>C</b>	<b>S</b>	<b>500</b>	<b>28.35</b>	<b>n/a</b>	<b>16-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DAL</b>	<b>S</b>	<b>81,000</b>	<b>7.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DDS</b>	<b>S</b>	<b>7,500</b>	<b>40.97</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DHI</b>	<b>S</b>	<b>37,500</b>	<b>9.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DVN</b>	<b>S</b>	<b>4,500</b>	<b>65.32</b>	<b>n/a</b>	<b>17-Aug</b>	<b>non-brg</b>	<b>4.0</b>
BASIX	033	EAT	B	35,000	22.44	n/a	12-Aug	1,050.00	3.0
DAVIDSON INV ADV	035	ENR	S	2,220	70.94	n/a	28-Jul	88.80	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ESV</b>	<b>S</b>	<b>9,000</b>	<b>43.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CHART WELL ADV	028	EXPR	S	193,450	16.61	n/a	n/a	7,738.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>S</b>	<b>22,000</b>	<b>17.45</b>	<b>n/a</b>	<b>24-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FITB</b>	<b>S</b>	<b>20,000</b>	<b>9.69</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>S</b>	<b>150,000</b>	<b>17.42</b>	<b>n/a</b>	<b>2-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>S</b>	<b>25,000</b>	<b>17.60</b>	<b>n/a</b>	<b>2-Aug</b>	<b>non-brg</b>	<b>4.0</b>
OZ MGMT	040	FL	S	85,000	17.71	n/a	2-Aug	2,550.00	3.0
TUDOR INVESTORS	028	FL	B	25,000	17.71	n/a	2-Aug	750.00	3.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>FNF</b>	<b>B</b>	<b>250</b>	<b>16.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	FOR	B	25,000	11.58	n/a	9-Aug	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		FOR	S	30,000	11.58	n/a	9-Aug	non-brg	2.5
BUCK CAPITAL MGMT		FOSL	S	35,000	74.08	n/a	n/a	non-brg	2.5
MARC PAUL LUCHANSKY	FID	FOSL	S	200	77.04	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		GES	S	6,000	31.46	n/a	18-Jul	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	GFI	B	10,000	16.45	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		GIII	S	50,000	23.57	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		GIL	S	8,000	25.08	n/a	5-Aug	non-brg	4.0
LATEFF MGMT	035	GIS	S	100	36.33	n/a	n/a	30.00	30.0
BUCK CAPITAL MGMT		GLW	S	25,000	14.45	n/a	11-Aug	non-brg	4.0
MANNY I KORMAN	FID	GM	S	50000	30.50	n/a	5-Aug	0.00	0.0
BUCK CAPITAL MGMT		GPS	C	20,000	15.66	n/a	n/a	non-brg	4.0
NILSA VAZQUEZ	FID	GXP	B	500	18.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		HAL	S	8,000	42.04	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HBI	S	10,000	25.44	n/a	21-Jul	non-brg	4.0
LATEFF MGMT	035	HON	B	150	42.59	18-Aug	18-Aug	45.00	30.0
BUCK CAPITAL MGMT		HTZ	S	31,000	10.33	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		IGT	S	12,500	14.86	n/a	13-Jun	non-brg	4.0
BUCK CAPITAL MGMT		IP	S	17,000	24.77	n/a	4-Aug	non-brg	4.0
BUCK CAPITAL MGMT		IR	S	12,000	28.14	18-Aug	18-Aug	non-brg	4.0
JOHN MICHAEL DEMARTI	FID	IVR	B	2000	17.62	n/a	n/a	0.00	0.0
NILSA VAZQUEZ	FID	IVR	B	1000	17.69	n/a	n/a	0.00	0.0
Nilsa Vazquez		IVR	B	500	17.67	n/a	n/a	0.00	0.0
CYPRESS CAP MGMT LLC	040	JCP	S	20,300	24.36	n/a	12-Aug	812.00	4.0
HIGHBRIDGE CAP	041	JCP	SS	14,000	24.57	n/a	12-Aug	420.00	3.0
BUCK CAPITAL MGMT		JOSB	S	4,250	41.56	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		JPM	S	12,000	35.16	n/a	16-Aug	non-brg	4.0
BUCK CAPITAL MGMT		KNX	S	17,000	14.10	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LEA	S	5,000	41.27	n/a	5-Aug	non-brg	4.0
BUCK CAPITAL MGMT		LEN	S	30,000	13.50	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LTD	S	18,330	34.31	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LTM	C	20,000	34.24	n/a	n/a	non-brg	4.0
MERRILL ASSET/BLACKROCK	297	MAN	B	5,600	38.20	n/a	n/a	224.00	4.0
MATTHEW FRANCIS BUCK	FID	MCD	B	300	85.97	n/a	8-Aug	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		MDC	S	13,000	17.25	n/a	n/a	non-brg	4.0
MARC PAUL LUCHANSKY	FID	MGIAX	B	250	24.01	n/a	n/a	0.00	0.0
AAD CAPITAL	084	MGM	B	100,000	10.08	n/a	13-Jun	3,000.00	3.0
DAVID GEORGE BUCK	FID	MHS	S	1500	51.62	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		MPXL	S	40,000	12.75	n/a	13-Jun	non-brg	4.0
BUCK CAPITAL MGMT		MSSR	S	100	5.91	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		MSSR	S	100	5.91	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		MSSR	S	100	5.91	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		MSSR	S	700	5.91	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		MS	S	25,000	15.77	n/a	16-Aug	non-brg	4.0
MARC PAUL LUCHANSKY	FID	MTW	S	1000	9.60	n/a	28-Jul	0.00	0.0
BUCK CAPITAL MGMT		MVRX	C	17,500	186.29	n/a	n/a	non-brg	14.9
BUCK CAPITAL MGMT		MVRX	SS	35,000	184.67	n/a	n/a	non-brg	14.8
BUCK CAPITAL MGMT		M	S	17,000	23.42	n/a	11-Aug	non-brg	4.0
COUGAR TRADING	044	NDN	S	5,000	17.30	n/a	9-Aug	250.00	5.0
KLEINHEINZ CAP PART	031	NOV	S	25,000	64.10	n/a	n/a	1,250.00	5.0
JOHN MICHAEL ZOLIDIS	FID	NWY	S	2000	4.25	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET/BLACKROCK	297	OHI	S	17,800	17.06	n/a	n/a	712.00	4.0
LATEFF MGMT	035	OI	B	150	17.29	n/a	n/a	30.00	20.0
HIGHBRIDGE CAP	041	ORLY	B	9,000	61.15	n/a	n/a	270.00	3.0
COLUMBIA PARTNERS	028	PAG	C	2,800	16.00	n/a	22-Jul	112.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>PCAR</b>	<b>S</b>	<b>14,000</b>	<b>35.41</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>
DAVIDSON INV ADV	035	PCAR	B	70,000	35.22	n/a	27-Jul	2,800.00	4.0
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>PCAR</b>	<b>S</b>	<b>500</b>	<b>34.97</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>PCRRX</b>	<b>B</b>	<b>114</b>	<b>8.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MATTHEW FRANCIS BUCK	<b>FID</b>	<b>PEI</b>	<b>B</b>	<b>500</b>	<b>10.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MATTHEW FRANCIS BUCK	<b>FID</b>	<b>PG</b>	<b>S</b>	<b>200</b>	<b>60.14</b>	<b>n/a</b>	<b>8-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>PKG</b>	<b>S</b>	<b>500</b>	<b>22.99</b>	<b>n/a</b>	<b>4-Aug</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	PLCE	S	2,000	41.09	18-Aug	18-Aug	80.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>PLCE</b>	<b>S</b>	<b>20,000</b>	<b>39.57</b>	<b>18-Aug</b>	<b>18-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>PLCE</b>	<b>S</b>	<b>9,000</b>	<b>39.44</b>	<b>18-Aug</b>	<b>18-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>PRBLX</b>	<b>B</b>	<b>62</b>	<b>24.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>PVH</b>	<b>S</b>	<b>5,500</b>	<b>56.61</b>	<b>n/a</b>	<b>18-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>RCL</b>	<b>S</b>	<b>12,000</b>	<b>23.48</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>RKT</b>	<b>S</b>	<b>2000</b>	<b>48.64</b>	<b>n/a</b>	<b>4-Aug</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAP	041	ROST	B	14,000	71.53	n/a	n/a	420.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>RRR</b>	<b>S</b>	<b>40,000</b>	<b>7.54</b>	<b>n/a</b>	<b>22-Jul</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RYL</b>	<b>S</b>	<b>33,000</b>	<b>10.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>R</b>	<b>S</b>	<b>7,000</b>	<b>42.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SFER IM</b>	<b>S</b>	<b>20,000</b>	<b>15.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.3</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SFLY</b>	<b>S</b>	<b>30,000</b>	<b>42.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SIG</b>	<b>S</b>	<b>11,000</b>	<b>33.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MERRILL ASSET/BLACKROCK	297	SIVB	B	6,900	43.25	n/a	n/a	276.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SLB</b>	<b>S</b>	<b>3,700</b>	<b>76.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SLM</b>	<b>S</b>	<b>35,000</b>	<b>13.03</b>	<b>n/a</b>	<b>9-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SODA</b>	<b>B</b>	<b>25,000</b>	<b>38.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
LATEFF MGMT	035	SPLS	B	200	13.47	n/a	n/a	40.00	20.0
STANDARD PACIFIC CAPITAL	040	SPLS	S	100,000	13.31	n/a	n/a	5,000.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SPY</b>	<b>B</b>	<b>1000</b>	<b>114.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CLIFFORD A LONGLEY</b>	<b>FID</b>	<b>SSO</b>	<b>S</b>	<b>4</b>	<b>40.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		STI	S	8,500	18.07	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		STT	S	11,000	33.23	n/a	16-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		SWFT	S	22,000	7.52	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		SWN	S	10,000	36.81	n/a	17-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		TEA	S	2,100	25.77	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	TEVA	B	75	38.67	n/a	17-Aug	22.50	30.0
ß BUCK CAPITAL MGMT		TEX	S	6,500	14.88	n/a	22-Jul	non-brg	4.0
HIGHBRIDGE CAP	041	TGT	B	20,000	50.53	n/a	17-Aug	600.00	3.0
ß BUCK CAPITAL MGMT		TJX	S	7,000	52.99	n/a	17-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		TOL	S	25,000	15.87	n/a	n/a	non-brg	4.0
f CLIFFORD A LONGLEY	FID	UPRO	S	3	51.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		URBN	S	100,000	26.17	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	70,000	26.13	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	VE	B	5000	15.15	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		V	S	5,700	81.21	n/a	28-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		WBC	S	4,650	44.55	18-Aug	18-Aug	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	JONATHAN RICHARD HAR	FID	WBC	B	60	42.30	18-Aug	18-Aug	0.00	0.0
ß	BUCK CAPITAL MGMT		WERN	S	6,250	21.03	n/a	n/a	non-brg	4.0
	BRANDWINE	028	WMT	B	220,180	51.67	n/a	17-Aug	8,807.20	4.0
ß	BUCK CAPITAL MGMT		WNC	S	79,000	4.91	n/a	n/a	non-brg	3.0
	RAINIER INV MGMT	035	WWD	B	9,590	27.45	n/a	n/a	383.60	4.0
ß	BUCK CAPITAL MGMT		XLF	C	415,000	12.40	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		XLF	SS	830,000	12.36	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		XRT	C	42,500	44.78	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		XRT	SS	85,000	44.38	n/a	n/a	non-brg	2.0
TOTAL (Trade Date):									47,165.90	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	------	--------	-----	--------	-------	--------------	-------------

TOTAL (AO, CXL, CRT):									0.00
-----------------------	--	--	--	--	--	--	--	--	------

TOTAL (Trade Date):									47,165.90
---------------------	--	--	--	--	--	--	--	--	-----------

TOTAL (AO, CXL, CRT):									0.00
-----------------------	--	--	--	--	--	--	--	--	------

TOTAL (RUN DATE) / NFS:									47,165.90
-------------------------	--	--	--	--	--	--	--	--	-----------

### BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 18-Aug.

HON, IR, PLCE, WBC

MRI Securities without Trade Activity on 18-Aug.

DLTR, JCI, AOS, GR, TYC, DE, ETN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 2%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)