



Daily Compliance Activity Report

Trade Date: 03/29/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	10,000	30.76	4-Jan	9-Mar	500.00	5.0
MCCARTAN	028	AIQ	S	400	8.38	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	AMG	S	10,000	106.30	5-Mar	28-Mar	500.00	5.0
DIAMONDBACK CAP MGMT	232	AMZN	B	25,000	39.69	n/a	n/a	1,250.00	5.0
JP MORGAN VENTURES	046	ANF	SS	10,000	75.49	2-Feb	21-Mar	500.00	5.0
CAPITAL GROWTH MGMT.	241	APA	S	128,800	70.30	2-Feb	2-Feb	6,440.00	5.0
COUGAR TRADING	044	ARO	B	5,000	39.85	23-Oct	16-Mar	250.00	5.0
BARR	096	AVNX	S	4,500	1.82	n/a	n/a	0.00	0.0
CATAPULT	040	AVZ	B	5,000	22.00	5-Mar	28-Mar	250.00	5.0
DEEPHAVEN	031	AVZ	B	5,000	22.34	5-Mar	28-Mar	250.00	5.0
REINHART & MAHONEY	031	AVZ	B	600	22.24	5-Mar	28-Mar	30.00	5.0
U.S. TRUST COMPANY	012	AVZ	B	57,000	22.35	5-Mar	28-Mar	2,565.00	4.5
B BUCK CAPITAL MGMT		AXL	SS	10,000	27.48	n/a	n/a	non-brg	5.0
MORTAR ROCK	033	AXL	SS	5,000	27.45	n/a	n/a	250.00	5.0
REINHART & MAHONEY	031	BAC	B	2,360	50.97	11-Jan	13-Mar	118.00	5.0
B BUCK CAPITAL MGMT		BBW	S	20,000	26.62	19-Mar	19-Mar	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		BBY	B	15,000	49.26	13-Dec	23-Mar	non-brg	5.0
SUNOVA CAPITAL	031	BEN	S	10,000	119.41	22-Mar	28-Mar	500.00	5.0
BUCK CAPITAL MGMT		BONT	S	25,000	56.38	9-Mar	16-Mar	non-brg	5.0
BUCK CAPITAL MGMT		BONT	S	5,000	56.78	9-Mar	16-Mar	non-brg	5.0
OGORMAN	146	BSC	S	100	150.63	15-Dec	16-Mar	0.00	0.0
BUCK CAPITAL MGMT		CBK	B	10,000	19.89	11-Dec	9-Mar	non-brg	1.0
BUCK CAPITAL MGMT		CBK	B	110,000	19.98	11-Dec	9-Mar	non-brg	4.0
COUGAR TRADING	044	CBK	SS	10,000	19.86	11-Dec	9-Mar	500.00	5.0
BARR	096	CCOI	S	600	23.59	n/a	n/a	0.00	0.0
SSI INVESTORS	048	CC	S	75,027	18.79	20-Dec	29-Mar	3,001.08	4.0
LEDDY	035	CC	B	700	19.07	20-Dec	29-Mar	0.00	0.0
PRIME LOGIC ADV	039	CENT	B	30,000	14.67	8-Feb	8-Feb	1,500.00	5.0
BUCK CAPITAL MGMT		CHCG	B	30,000	6.04	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CHRS	SS	10,000	12.80	n/a	22-Mar	non-brg	5.0
BUCK CAPITAL MGMT	001	CHS	B	30,000	24.15	27-Mar	27-Mar	1,200.00	4.0
LISTED ERR	099	CHS	S	200	24.19	27-Mar	27-Mar	0.00	0.0
MERRILL ASSET	032	CNB	S	29,100	24.80	n/a	n/a	1,455.00	5.0
BUCK CAPITAL MGMT	001	CRI	SS	10,000	25.20	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	CRL	S	14,100	45.91	n/a	n/a	705.00	5.0
MATRIX ASSET ADV.	036	CSCO	B	20,000	25.45	n/a	22-Mar	800.00	4.0
ß BUCK CAPITAL MGMT		CWTR	B	10,000	20.36	n/a	n/a	non-brg	5.0
REINHART & MAHONEY	031	C	B	105	51.38	11-Jan	13-Mar	5.25	5.0
ß BUCK CAPITAL MGMT		DBRN	B	1,600	21.05	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DBRN	B	33,751	21.06	n/a	n/a	non-brg	0.4
ß BUCK CAPITAL MGMT		DBRN	B	94,649	21.02	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	58.97	n/a	n/a	400.00	4.0
GALLEON MGMT LP	033	EBAY	B	5,000	33.17	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		EGLT	C	2,600	16.47	n/a	n/a	non-brg	5.0
REINHART & MAHONEY	031	EQT	B	1,315	48.51	n/a	n/a	65.75	5.0
LISTED ERR	099	ETH	B	700	35.00	n/a	n/a	0.00	0.0
CIBC ADV.	060	FCX	SS	10,000	65.74	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	FDO	B	25,000	29.25	3-Nov	29-Mar	1,000.00	4.0
PIVOT CAP	034	FDO	B	12,500	29.27	3-Nov	29-Mar	500.00	4.0
COUGAR TRADING	044	FD	S	15,000	45.61	26-Jan	9-Mar	750.00	5.0
J L F ASSET MGMT	039	FIG	S	21,152	27.67	n/a	n/a	846.08	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	FLT	S	4,720	2.04	n/a	n/a	0.00	0.0
COUGAR TRADING	044	FL	S	5,000	23.34	n/a	19-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	5,000	41.28	n/a	10-Jan	200.00	4.0
DAVIDSON TRUST CO	033	GEMS	S	2,300	2.21	n/a	n/a	69.00	3.0
SUN AMERICA ASSET MGMT	030	GE	S	209,800	35.36	n/a	n/a	10,490.00	5.0
B BUCK CAPITAL MGMT		GIII	B	5,000	19.05	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GPI	B	70,000	40.04	n/a	n/a	non-brg	5.0
BUCK	015	GZQPY	B	7	0.45	n/a	n/a	0.00	0.0
BUCK	015	HIW	B	1,000	39.30	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		HLYS	B	25,000	29.10	n/a	n/a	non-brg	5.0
ZOLIDIS	011	HLYS	B	300	29.29	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		IKAN	B	10,000	7.83	n/a	n/a	non-brg	5.0
REICH AND TANG	067	INSU	S	4,000	23.49	n/a	n/a	200.00	5.0
ELM RIDGE ADVISORS	044	INTC	B	275,700	18.90	n/a	n/a	11,028.00	4.0
KERN	050	ISE	B	500	48.34	26-Oct	27-Mar	0.00	0.0
OGORMAN	146	ISE	B	300	48.56	26-Oct	27-Mar	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	JCG	B	5,000	39.87	14-Mar	14-Mar	250.00	5.0
RBC CAPITAL MARKETS	034	JCP	B	10,000	81.27	23-Feb	5-Mar	500.00	5.0
PENN CAPITAL MGMT	033	JDSU	S	9,435	15.16	n/a	n/a	377.40	4.0
PIVOT CAP	034	JNY	S	6,900	32.06	n/a	15-Feb	276.00	4.0
B BUCK CAPITAL MGMT		JOSB	B	80,000	35.02	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	JWN	B	17,500	52.00	27-Feb	9-Mar	700.00	4.0
B BUCK CAPITAL MGMT	001	KMX	B	5,000	24.87	n/a	10-Jan	200.00	4.0
B BUCK CAPITAL MGMT		KMX	B	20,000	25.82	n/a	10-Jan	non-brg	1.0
ZOLIDIS	011	KMX	B	200	25.90	n/a	10-Jan	0.00	0.0
GALLEON MGMT LP	033	KO	S	10,000	48.15	n/a	n/a	500.00	5.0
MORTAR ROCK	033	LEA	SS	10,000	36.70	n/a	n/a	500.00	5.0
U.S.TRUST COMPANY	012	LEH	B	57,000	70.66	n/a	14-Mar	2,565.00	4.5
IRON HORSE CAPITAL MGMT	038	LVLIT	B	15,000	5.97	12-Mar	15-Mar	450.00	3.0
IRONBOUND CAPITAL	012	MEL	S	153,500	43.04	5-Dec	13-Mar	7,675.00	5.0
LED DY	035	MSI	S	1,700	2.55	n/a	n/a	0.00	0.0
KAICHER	064	MSON	B	2,000	6.43	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLENIUUM PARTNERS	028	MWV	B	7,900	30.42	20-Mar	20-Mar	316.00	4.0
⌘ BUCK CAPITAL MGMT		NLS	B	10,000	16.25	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		NLS	B	30,000	15.84	n/a	n/a	non-brg	5.0
SUTERA	160	NLS	B	1,000	15.95	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	NU	S	15,150	32.28	n/a	n/a	757.50	5.0
CASTLEROCK	040	NVT	SS	90,700	33.77	n/a	n/a	3,628.00	4.0
ROYCE TRADING	216	OMM	S	25,000	26.45	n/a	n/a	1,250.00	5.0
LISTED ERR	099	PCU	S	5,000	72.09	n/a	n/a	0.00	0.0
ROARING BLUE LION MGMT	012	PLCE	B	6,800	55.52	n/a	21-Mar	340.00	5.0
KNOTT PARTNERS	044	PRX	B	25,000	25.31	30-Jan	26-Mar	1,000.00	4.0
WAGONHOUND	048	PSUN	SS	7,500	21.03	n/a	16-Mar	300.00	4.0
DIAMONDBACK CAP MGMT	232	QCOM	B	25,000	42.54	n/a	n/a	1,250.00	5.0
J L F ASSET MGMT	039	RFMD	SS	441,067	6.27	n/a	n/a	17,642.68	4.0
NEUBERGER BERMAN	036	RGLD	S	700	30.55	n/a	n/a	28.00	4.0
ROTHSCHILD ASSET	040	RGS	B	9,410	40.57	n/a	n/a	423.45	4.5
COUGAR TRADING	044	SKX	SS	5,000	34.28	n/a	13-Mar	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	SRE	B	500	61.25	n/a	n/a	25.00	5.0
GEORGE WEISS	034	STKL	B	32,000	12.09	n/a	n/a	960.00	3.0
ARIENCE CAPITAL	036	STX	B	74,210	23.38	n/a	n/a	3,710.50	5.0
BUCK	015	SXL	S	600	59.16	n/a	n/a	0.00	0.0
CASTLE ARK	031	TJX	B	200,000	26.80	21-Mar	21-Mar	12,000.00	6.0
COUGAR TRADING	044	TJX	B	5,000	26.97	21-Mar	21-Mar	250.00	5.0
WAGONHOUND	048	TJX	B	12,900	26.89	21-Mar	21-Mar	516.00	4.0
PHILADELPHIA FINANCIAL	031	TROW	S	68,611	47.28	n/a	28-Mar	3,430.55	5.0
LOOMIS SALES/BOSTON	036	TWC	S	25,000	37.08	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT		UAG	B	30,000	20.33	n/a	n/a	non-brg	5.0
SANDELL ADV	040	UIL	S	16,019	34.97	n/a	n/a	800.95	5.0
BUCK CAPITAL MGMT		URBN	B	10,000	25.68	7-Dec	9-Mar	non-brg	0.4
BUCK CAPITAL MGMT		URBN	B	10,000	25.95	7-Dec	9-Mar	non-brg	0.4
BUCK CAPITAL MGMT		URBN	B	10,000	26.50	7-Dec	9-Mar	non-brg	0.4
BUCK CAPITAL MGMT		URBN	B	5,000	25.70	7-Dec	9-Mar	non-brg	0.4
BUCK CAPITAL MGMT		URBN	B	5,000	25.97	7-Dec	9-Mar	non-brg	0.4
WAGONHOUND	048	URBN	B	5,000	25.70	7-Dec	9-Mar	200.00	4.0
MKT MAKER	099	VG	S	70	3.25	9-Mar	29-Mar	0.00	0.0
FIRST PACIFIC ADV	048	WDC	B	140,000	16.96	n/a	n/a	7,000.00	5.0

TOTAL (Trade Date):

120,490.19

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/27	GALLEON MGMT LP	033	AMGN	B	300,000	56.87	n/a	26-Jan	15000.00 5.0
AO,CRT	03/27	GALLEON MGMT LP	033	AMGN	B	300,000	56.87	n/a	26-Jan	15000.00 5.0
AO,CRT	03/28	CASTLEROCK	040	CFC	B	46,400	33.50	n/a	n/a	1856.00 4.0
AO,CXL	03/28	CASTLEROCK	040	CFC	B	46,400	33.50	n/a	n/a	2320.00 5.0
AO,CRT	03/28	LISTED ERR	097	CHS	B	200	23.99	27-Mar	27-Mar	0.00 0.0
AO,CRT	03/28	BUCK CAPITAL MGMT	001	CRI	SS	30,000	25.53	n/a	n/a	1200.00 4.0
AO,CXL	03/28	BUCK CAPITAL MGMT	001	CRI	SS	28,300	25.53	n/a	n/a	1132.00 4.0
AO,	03/28	MKT MAKER	099	LNCR	B	200	37.06	21-Dec	13-Feb	0.00 0.0
AO,CXL	03/28	BARROW HANLEY MEWHINNEY	031	MAT	S	13,700	27.83	n/a	n/a	548.00 4.0
AO,CXL	03/28	BARROW HANLEY MEWHINNEY	031	NOK	S	68,300	22.53	n/a	n/a	3415.00 5.0
AO,CRT	03/27	SATELLITE ASSET MGMT	012	TSCO	B	5,000	51.99	n/a	n/a	200.00 4.0
AO,CXL	03/27	SATELLITE ASSET MGMT	012	TSCO	B	5,000	51.99	n/a	n/a	250.00 5.0
AO,CRT	03/28	SANDELL ADV	040	WEC	S	25,000	49.09	n/a	n/a	1250.00 5.0
AO,CXL	03/28	SANDLER CAPITAL	038	WEC	S	25,000	49.09	n/a	n/a	1250.00 5.0
AO,CRT	03/28	THIRD COAST CAPITAL	035	WMT	SS	40,000	47.03	n/a	21-Mar	2000.00 5.0
AO,CXL	03/28	THIRD COAST CAPITAL	035	WMT	SS	40,000	47.01	n/a	21-Mar	2000.00 5.0
AO,CXL	03/28	BARROW HANLEY MEWHINNEY	031	XL	S	9,000	69.75	n/a	n/a	360.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/28	SATELLITE ASSET MGMT	012	ZLC	B	11,300	26.04	n/a	n/a	452.00 4.0
AO,CXL	03/28	SATELLITE ASSET MGMT	012	ZLC	B	11,300	26.04	n/a	n/a	565.00 5.0
TOTAL (AO, CXL, CRT) :										-4,882.00

TOTAL (Trade Date) :	120,490.19
TOTAL (AO, CXL, CRT) :	-4,882.00

TOTAL (RUN DATE) / NFS:	115,608.19
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MRI Securities with Trade Activity on 29-Mar.
NONE

MRI Securities without Trade Activity on 29-Mar.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 11%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION