



Daily Compliance Activity Report

Trade Date: 05/21/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Glenn Redemann		ACGY	??	1600	22.54	n/a	n/a	0.00	0.0
Glenn Redemann		AEM	S	500	34.85	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		AEO	B	100,000	29.64	4-Jan	18-May	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	ATW	S	41,900	63.57	n/a	n/a	1,676.00	4.0
BUCK CAPITAL MGMT		AVCI	C	10,000	8.32	n/a	n/a	non-brg	1.0
U.S.TRUST COMPANY	012	AVZ	B	35,000	24.40	26-Apr	17-May	1,575.01	4.5
SUN AMERICA ASSET MGMT	030	A	S	24,100	38.17	n/a	n/a	1,205.00	5.0
BUCK CAPITAL MGMT	001	BBW	B	5,000	29.80	19-Mar	11-May	200.00	4.0
SUTERA	160	BIG	SS	1,000	33.20	9-Mar	11-May	0.00	0.0
BUCK	015	CAKE	S	400	27.88	24-Apr	24-Apr	0.00	0.0
Glenn Redemann		CAL	B	7	0.20	n/a	n/a	0.00	0.0
Glenn Redemann		CAL	B	7	0.21	n/a	n/a	0.00	0.0
Glenn Redemann		CAL	B	7	0.97	n/a	n/a	0.00	0.0
Glenn Redemann		CAL	SS	7	0.89	n/a	n/a	0.00	0.0
OGORMAN	146	CBK	S	1,000	17.60	30-Mar	11-May	0.00	0.0
MAGUIRE	090	CCC	S	10,000	9.56	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRISANTTI BROWN & PARTNER	048	CCIX	B	100	27.20	n/a	n/a	5.00	5.0
SULLIVAN	042	CHBD	S	19,500	0.87	n/a	n/a	0.00	0.0
BRIGHTPOINT CAP	067	CHS	S	10,000	24.42	27-Mar	11-May	500.00	5.0
BRENCOURT	028	COF	B	25,000	77.87	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		COH	B	15,000	48.62	23-Feb	25-Apr	non-brg	5.0
BALYASNY ASSET MGMT	044	COL	B	10,000	68.71	n/a	n/a	500.00	5.0
Õ Glenn Redemann		CRM	B	8	0.68	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRM	B	8	1.11	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRM	SS	8	1.56	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRS	??	700	136.10	n/a	n/a	0.00	0.0
SONAR CAP MGMT	039	CSCO	S	75,000	26.40	n/a	22-Mar	3,750.00	5.0
AVESTA CAP	229	CVS	S	25,000	38.44	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		CWTR	B	50,000	20.46	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		DBRN	B	30,000	21.52	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	DDS	B	10,000	39.96	n/a	n/a	400.00	4.0
BUCK	015	DF	B	1,500	32.07	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA PARTNERS	028	DLTR	B	23,517	41.63	19-Apr	11-May	940.68	4.0
BUCK CAPITAL MGMT	001	DSW	B	30,000	36.39	7-May	11-May	1,200.00	4.0
B BUCK CAPITAL MGMT		DSW	B	20,000	36.47	7-May	11-May	non-brg	2.0
EGS ASSOCIATES LP	091	DSW	B	4,000	37.78	7-May	11-May	240.00	6.0
HIGHBRIDGE CAPITAL	038	DV	B	60,000	34.37	n/a	n/a	3,000.00	5.0
VICTORIA INVESTORS LP	060	ELN	B	5,000	19.09	n/a	n/a	250.00	5.0
COUGAR TRADING	044	ENDP	B	10,000	34.61	23-Feb	21-May	500.00	5.0
BUCK	015	EVVV	S	1,000	16.85	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	FDC	B	15,000	32.57	n/a	n/a	750.00	5.0
B BUCK CAPITAL MGMT		FDO	SS	30,000	33.84	19-Apr	11-May	non-brg	5.0
SATELLITE ASSET MGMT	012	FDO	SS	25,000	33.85	19-Apr	11-May	1,000.00	4.0
PILOT ADV	038	FD	B	50,000	39.81	17-May	17-May	2,000.00	4.0
B BUCK CAPITAL MGMT		FL	S	10,000	21.92	n/a	11-May	non-brg	5.0
B BUCK CAPITAL MGMT		FL	S	50,000	21.99	n/a	11-May	non-brg	5.0
HIGHBRIDGE CAPITAL	038	FRP	SS	50,000	18.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GCO	SS	30,000	51.03	n/a	10-Jan	non-brg	5.0
BUCK CAPITAL MGMT	001	GES	B	5,000	40.75	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	039	GGC	B	16,999	18.26	n/a	n/a	849.95	5.0
GENERAL ELECTIC CO	033	HD	S	79,002	38.69	n/a	16-May	1,580.04	2.0
Glenn Redemann		HRB	??	1500	23.29	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	IBM	S	10,000	107.25	n/a	n/a	500.00	5.0
BUCK	015	ICE	S	400	144.56	n/a	n/a	0.00	0.0
MAGUIRE	090	ICO	B	10,000	5.85	n/a	n/a	0.00	0.0
BARR	096	ITCD	B	2,500	5.15	n/a	n/a	0.00	0.0
KANTROWIT	N21	ITCD	B	150	5.50	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	JBLU	SS	75,000	10.58	n/a	n/a	3,750.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	5,000	78.88	20-Apr	17-May	200.00	4.0
AAD CAPITAL	048	JNS	SS	85,900	27.40	26-Apr	1-May	3,436.00	4.0
MAZAMA CAPITAL MGMT.	035	KOG	S	39,300	6.20	n/a	n/a	1,572.00	4.0
MERRILL LYNCH STRATEGICI	034	KO	SS	15,000	51.97	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	25,000	74.11	n/a	18-May	1,000.00	4.0
BULL PATH CAPITAL	044	LM	B	22,500	99.88	9-May	9-May	1,125.00	5.0
KACELA CAPITAL	034	LOW	B	10,000	31.63	n/a	21-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		LTD	S	53,200	26.20	16-May	16-May	non-brg	5.0
SARAS CAPITAL	038	LTD	B	63,000	26.16	16-May	16-May	3,150.00	5.0
BUCK	015	LVS	B	300	76.06	n/a	n/a	0.00	0.0
MAGUIRE	090	MDR	S	5,000	73.94	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MDTL	C	50,000	13.07	n/a	n/a	non-brg	5.0
MAGUIRE	090	MEE	B	3,000	28.24	n/a	n/a	0.00	0.0
DAVIDSON TRUST CO	033	MEL	S	848	42.94	n/a	11-May	76.32	9.0
PROSPECTOR PARTNERS LLC	036	MIR	S	10,000	47.99	n/a	n/a	500.00	5.0
SCOGGIN CAP	044	MI	B	50,000	49.55	n/a	n/a	2,500.00	5.0
DAVIDSON TRUST CO	033	NKE	B	1,175	53.87	n/a	n/a	105.75	9.0
PROSPECTOR PARTNERS LLC	036	NXG	B	25,000	3.19	n/a	n/a	750.00	3.0
Õ Glenn Redemann		OSIP	??	700	37.25	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		OXM	S	20,000	45.41	n/a	n/a	non-brg	5.0
BUCK	015	PEP	B	250	69.03	n/a	n/a	0.00	0.0
CLOVIS CAPITAL	012	PER	B	50,000	16.71	n/a	n/a	2,500.00	5.0
MAZAMA CAPITAL MGMT.	035	PJC	B	20,000	64.14	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		PLCE	S	30,000	52.29	12-Apr	11-May	non-brg 5.0
CLOVIS CAPITAL	012	PNR	B	75,000	35.07	n/a	n/a	3,750.00 5.0
BUCK CAPITAL MGMT	001	PSS	B	15,000	32.09	n/a	n/a	600.00 4.0
COUGAR TRADING	044	PSUN	B	15,000	19.85	n/a	18-May	750.00 5.0
BUCK CAPITAL MGMT	001	RVI	B	20,000	18.37	n/a	n/a	800.00 4.0
DAVIDSON TRUST CO	033	RYL	B	1,400	44.68	n/a	n/a	126.00 9.0
PROSPECTOR PARTNERS LLC	036	SNG	S	20,000	3.13	n/a	n/a	600.00 3.0
BUCK	015	SPY	C	500	153.15	n/a	n/a	0.00 0.0
PROSPECTOR PARTNERS LLC	036	SRP	S	10,000	19.01	n/a	n/a	500.00 5.0
ELM RIDGE ADVISORS	044	SSCC	S	100,000	12.79	4-May	4-May	4,000.00 4.0
WD PARTNERS ILP	067	SSI	B	5,000	20.79	11-May	21-May	250.00 5.0
HIGHBRIDGE CAPITAL	038	STX	SS	25,000	20.82	n/a	n/a	1,250.00 5.0
MORGAN BANK /JPM	012	SWK	B	139,606	60.87	n/a	n/a	4,188.18 3.0
BRENCOURT	028	TIBX	B	50,000	8.94	n/a	3-Apr	1,500.00 3.0
COUGAR TRADING	044	TJX	B	5,000	28.16	21-Mar	16-May	250.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	TWB	S	50,000	39.01	22-Feb	17-May	2,000.00	4.0
DELTEC ASSET MGMT.	040	TWB	S	10,000	39.30	22-Feb	17-May	500.00	5.0
Õ Glenn Redemann		TWP	B	5	0.33	n/a	n/a	0.00	0.0
Õ Glenn Redemann		TWP	B	5	0.47	n/a	n/a	0.00	0.0
DSG CAPITAL	236	TWTC	B	800	18.53	4-May	4-May	40.00	5.0
FIRST NEW YORK LEDDY	035	TWX	S	20,000	21.73	n/a	n/a	1,200.00	6.0
MATRIX ASSET ADV.	036	TYC	B	53,500	32.18	8-May	21-May	2,140.00	4.0
TRELLUS PARTNERS LP	028	VC	S	100,000	8.75	n/a	n/a	5,000.00	5.0
HIGHBRIDGE CAPITAL	038	VMC	SS	7,500	115.50	n/a	n/a	375.00	5.0
IRIDIAN ASSET MGMT.	036	VMED	B	102,720	26.44	n/a	n/a	5,136.00	5.0
MCCARTAN	028	VSL	S	200	22.69	n/a	n/a	0.00	0.0
NOLAN	067	VSL	S	35	22.55	n/a	n/a	0.00	0.0
SAC DOUG RODGERS	040	WDR	S	75,000	25.40	5-Mar	1-May	3,750.00	5.0
GRUSS CAPITAL	040	WMG	S	10,000	17.00	n/a	n/a	400.00	4.0
CARLYLE BLUE WAVE PR MG	224	WMT	B	6,998	47.14	n/a	16-May	349.90	5.0
CASTANEDA	001	XFML	B	175	8.90	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	B	10,000	13.28	9-Mar	17-Apr	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	ZQK	S	10,000	13.22	9-Mar	17-Apr	500.00	5.0
TOTAL (Trade Date) :								88,491.83	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/18	CARLYLE BLUE WAVE PR MG	224	AVP	B	13,531	38.10	2-May	2-May	676.55 5.0
AO,	05/18	CARLYLE BLUE WAVE PR MG	224	CBS	S	16,245	32.44	n/a	n/a	812.25 5.0
AO,CRT	05/18	MERRILL LYNCH STRATEGICI	034	DBRN	S	5,000	21.09	n/a	n/a	200.00 4.0
AO,CXL	05/18	MERRILL LYNCH STRATEGICI	034	DBRN	S	5,000	21.09	n/a	n/a	250.00 5.0
AO,CRT	05/18	SIGMA CAPITAL ASSOCIATES	044	FD	SS	50,000	39.11	17-May	17-May	2500.00 5.0
AO,CXL	05/18	SIGMA CAPITAL ASSOCIATES	044	FD	SS	50,000	39.11	17-May	17-May	2500.00 5.0
AO,	05/18	SOCI	040	FDC	B	5,000	32.57	n/a	n/a	250.00 5.0
AO,CRT	05/18	LISTED ERR	099	HD	SS	31,900	38.61	n/a	16-May	0.00 0.0
AO,CXL	05/18	LISTED ERR	099	HD	SS	31,900	38.61	n/a	16-May	0.00 0.0
AO,	05/18	CARLYLE BLUE WAVE PR MG	224	HLT	B	15,012	34.28	n/a	n/a	750.60 5.0
AO,CRT	05/17	GRUBER MACBAINE	033	LM	B	4,000	98.41	9-May	9-May	160.00 4.0
AO,CXL	05/17	GRUBER MACBAINE	033	LM	B	4,000	98.41	9-May	9-May	16000.00 400.0
AO,CRT	05/18	GLG PARTNERS	034	LOW	SS	50,000	32.49	n/a	21-May	2500.00 5.0
AO,CXL	05/18	GLG PARTNERS	034	LOW	SS	50,000	32.49	n/a	21-May	2500.00 5.0
AO,	05/18	CHART WELL ADV	243	TRS	S	1,000	11.80	n/a	n/a	45.00 4.5
AO,CXL	05/18	CHARTER OAK	044	TRS	S	1,000	11.80	n/a	n/a	45.00 4.5
AO,CRT	05/18	POLYGON INVESTMENT	034	VCLK	B	300,000	31.08	n/a	n/a	15000.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/18	POLYGON INVESTMENT	034	VCLK	B	300,000	31.08	n/a	n/a	15000.00 5.0
AO,CRT	05/18	CRAMER ROSENTHAL/SCOTT	012	ZQK	S	859,700	12.48	9-Mar	17-Apr	42985.00 5.0

TOTAL (AO, CXL, CRT) :	29,584.40
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TOTAL (Trade Date) :	88,491.83
TOTAL (AO, CXL, CRT) :	29,584.40

TOTAL (RUN DATE) / NFS:	118,076.23
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MRI Securities with Trade Activity on 21-May.
NONE

MRI Securities without Trade Activity on 21-May.
CYTC, KV.A, PRX

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 22%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION