



## Daily Compliance Activity Report

Trade Date: 08/08/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

| Account                           | RR #       | Symbol     | B/S       | Shares         | Price         | MRI           | Research     | Comm. ¢/Shr.    |            |
|-----------------------------------|------------|------------|-----------|----------------|---------------|---------------|--------------|-----------------|------------|
| BRIGHTPOINT CAP                   | 067        | AAP        | B         | 15,000         | 33.33         | n/a           | n/a          | 750.00          | 5.0        |
| <b>BUCK CAPITAL MGMT</b>          | <b>001</b> | <b>AEO</b> | <b>S</b>  | <b>40,000</b>  | <b>23.84</b>  | <b>10-Jul</b> | <b>6-Aug</b> | <b>1,600.00</b> | <b>4.0</b> |
| <b>B</b> <b>BUCK CAPITAL MGMT</b> |            | <b>AEO</b> | <b>S</b>  | <b>50,000</b>  | <b>24.05</b>  | <b>10-Jul</b> | <b>6-Aug</b> | <b>non-brg</b>  | <b>5.0</b> |
| COUGAR TRADING                    | 044        | AEO        | S         | 10,000         | 24.13         | 10-Jul        | 6-Aug        | 500.00          | 5.0        |
| <b>KORMAN</b>                     | <b>003</b> | <b>AEO</b> | <b>S</b>  | <b>2,000</b>   | <b>24.25</b>  | <b>10-Jul</b> | <b>6-Aug</b> | <b>0.00</b>     | <b>0.0</b> |
| AMBER CAPITAL                     | 046        | AIN        | S         | 529,200        | 40.55         | n/a           | n/a          | 21,168.00       | 4.0        |
| REICH AND TANG                    | 067        | AIN        | S         | 25,000         | 40.66         | n/a           | n/a          | 1,250.00        | 5.0        |
| BALYASNY ASSET MGMT               | 044        | AMG        | B         | 15,000         | 121.94        | 25-Jul        | 8-Aug        | 750.00          | 5.0        |
| BALYASNY ASSET MGMT               | 044        | AMG        | SS        | 30,000         | 116.90        | 25-Jul        | 8-Aug        | 1,500.00        | 5.0        |
| <b>B</b> <b>BUCK CAPITAL MGMT</b> |            | <b>AMG</b> | <b>B</b>  | <b>3,000</b>   | <b>121.55</b> | <b>25-Jul</b> | <b>8-Aug</b> | <b>non-brg</b>  | <b>1.0</b> |
| BALYASNY ASSET MGMT               | 044        | ANF        | S         | 15,000         | 74.48         | 12-Jul        | 6-Aug        | 750.00          | 5.0        |
| <b>BUCK CAPITAL MGMT</b>          | <b>001</b> | <b>ANF</b> | <b>S</b>  | <b>10,000</b>  | <b>73.96</b>  | <b>12-Jul</b> | <b>6-Aug</b> | <b>400.00</b>   | <b>4.0</b> |
| WHALE ROCK CAP                    | 030        | ANF        | B         | 50,000         | 73.67         | 12-Jul        | 6-Aug        | 2,000.00        | 4.0        |
| BALYASNY ASSET MGMT               | 044        | ARO        | B         | 10,000         | 36.82         | n/a           | 6-Aug        | 500.00          | 5.0        |
| <b>B</b> <b>BUCK CAPITAL MGMT</b> |            | <b>ARO</b> | <b>C</b>  | <b>170,000</b> | <b>32.32</b>  | <b>n/a</b>    | <b>6-Aug</b> | <b>non-brg</b>  | <b>4.0</b> |
| <b>B</b> <b>BUCK CAPITAL MGMT</b> |            | <b>ARO</b> | <b>SS</b> | <b>70,000</b>  | <b>36.26</b>  | <b>n/a</b>    | <b>6-Aug</b> | <b>non-brg</b>  | <b>5.0</b> |
| COUGAR TRADING                    | 044        | ARO        | SS        | 10,000         | 35.42         | n/a           | 6-Aug        | 500.00          | 5.0        |
| THE JAY GOLDMAN                   | 034        | ARO        | B         | 69,500         | 35.65         | n/a           | 6-Aug        | 2,780.00        | 4.0        |
| REICH AND TANG                    | 067        | ASH        | B         | 15,000         | 56.46         | 9-Jul         | 26-Jul       | 750.00          | 5.0        |
| <b>Ö</b> <b>Glenn Redemann</b>    |            | <b>ATK</b> | <b>B</b>  | <b>2</b>       | <b>1.34</b>   | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>     | <b>0.0</b> |

|   | Account                | RR # | Symbol | B/S | Shares  | Price  | MRI    | Research | Comm.¢/Shr. |     |
|---|------------------------|------|--------|-----|---------|--------|--------|----------|-------------|-----|
| Õ | Glenn Redemann         |      | ATK    | B   | 2       | 1.53   | n/a    | n/a      | 0.00        | 0.0 |
|   | NEUBERGER BERMAN       | 036  | ATVI   | B   | 30,400  | 19.14  | n/a    | n/a      | 1,216.00    | 4.0 |
|   | STRK                   | 091  | AXL    | S   | 79,320  | 23.98  | n/a    | n/a      | 3,172.80    | 4.0 |
|   | CAPITAL GROWTH MGMT.   | 241  | BAC    | B   | 129,000 | 49.67  | 20-Apr | 20-Jul   | 6,450.00    | 5.0 |
|   | BUCK                   | 015  | BAC    | B   | 500     | 49.32  | 20-Apr | 20-Jul   | 0.00        | 0.0 |
|   | HUNTER GLOBAL INVESTOR | 067  | BA     | B   | 34,800  | 101.02 | n/a    | n/a      | 1,740.00    | 5.0 |
|   | PATTEN & PATTEN        | 067  | BBY    | S   | 50      | 45.41  | 1-May  | 27-Jul   | 2.50        | 5.0 |
|   | PIVOT CAP              | 034  | BBY    | S   | 4,500   | 45.10  | 1-May  | 27-Jul   | 225.00      | 5.0 |
|   | SAC TRADING HOWARD     | 038  | BBY    | SS  | 25,000  | 45.30  | 1-May  | 27-Jul   | 1,250.00    | 5.0 |
|   | BALYASNY ASSET MGMT    | 044  | BEN    | S   | 15,000  | 129.23 | 8-Aug  | 8-Aug    | 750.00      | 5.0 |
| Õ | Glenn Redemann         |      | BGC    | B   | 300     | 75.00  | n/a    | n/a      | 0.00        | 0.0 |
| Õ | Glenn Redemann         |      | BGC    | S   | 300     | 60.41  | n/a    | n/a      | 0.00        | 0.0 |
| Õ | Glenn Redemann         |      | BGC    | S   | 3       | 9.70   | n/a    | n/a      | 0.00        | 0.0 |
| ß | BUCK CAPITAL MGMT      |      | BONT   | B   | 20,000  | 23.19  | 3-Aug  | 6-Aug    | non-brg     | 5.0 |
|   | LEDDY                  | 035  | BONT   | B   | 1,200   | 22.88  | 3-Aug  | 6-Aug    | 0.00        | 0.0 |
|   | PATTEN & PATTEN        | 067  | BP     | S   | 35      | 67.96  | n/a    | n/a      | 1.75        | 5.0 |
| ß | BUCK CAPITAL MGMT      |      | BSC    | B   | 3,000   | 120.84 | n/a    | 6-Aug    | non-brg     | 1.0 |
|   | BUCK CAPITAL MGMT      | 001  | BWS    | B   | 30,000  | 20.79  | n/a    | n/a      | 1,200.00    | 4.0 |
|   | WYCKOFF                | 013  | BWS    | B   | 200     | 20.70  | n/a    | n/a      | 0.00        | 0.0 |

| Account             | RR # | Symbol | B/S | Shares | Price | MRI    | Research | Comm.¢/Shr. |     |
|---------------------|------|--------|-----|--------|-------|--------|----------|-------------|-----|
| BALYASNY ASSET MGMT | 044  | BX     | B   | 50,000 | 25.83 | n/a    | n/a      | 2,500.00    | 5.0 |
| ß BUCK CAPITAL MGMT |      | CACH   | S   | 40,000 | 17.41 | n/a    | n/a      | non-brg     | 5.0 |
| MTB INV ADV         | 034  | CACH   | S   | 14,260 | 17.51 | n/a    | n/a      | 713.00      | 5.0 |
| DSG CAPITAL         | 225  | CCMP   | B   | 3,000  | 43.83 | 18-Jul | 26-Jul   | 150.00      | 5.0 |
| Õ Glenn Redemann    |      | CELG   | B   | 2      | 0.63  | n/a    | n/a      | 0.00        | 0.0 |
| Õ Glenn Redemann    |      | CELG   | B   | 2      | 1.27  | n/a    | n/a      | 0.00        | 0.0 |
| LEDDY               | 035  | CHCG   | B   | 1,000  | 6.52  | n/a    | n/a      | 0.00        | 0.0 |
| ß BUCK CAPITAL MGMT |      | CHRS   | C   | 30,000 | 8.14  | n/a    | 22-Mar   | non-brg     | 5.0 |
| ß BUCK CAPITAL MGMT |      | CHS    | C   | 10,000 | 19.46 | 12-Jul | 6-Aug    | non-brg     | 5.0 |
| ZOLIDIS             | 011  | CHS    | SS  | 1,000  | 20.09 | 12-Jul | 6-Aug    | 0.00        | 0.0 |
| REICH AND TANG      | 067  | CMC    | B   | 25,000 | 29.33 | n/a    | n/a      | 1,250.00    | 5.0 |
| NICHOLAS ADVISORS   | 034  | CMGB   | S   | 7,000  | 98.15 | n/a    | n/a      | 350.00      | 5.0 |
| CROWLEY             | 061  | CMU    | B   | 2,100  | 5.72  | n/a    | n/a      | 0.00        | 0.0 |
| APEX CAPITAL MGMT.  | 091  | CNW    | B   | 50,000 | 46.19 | n/a    | n/a      | 2,500.00    | 5.0 |
| BUCK CAPITAL MGMT   | 001  | COH    | B   | 20,000 | 46.25 | n/a    | 1-Aug    | 800.00      | 4.0 |
| ß BUCK CAPITAL MGMT |      | COH    | B   | 20,000 | 46.91 | n/a    | 1-Aug    | non-brg     | 5.0 |
| KAICHER             | 064  | COLY   | S   | 1,000  | 3.26  | n/a    | n/a      | 0.00        | 0.0 |

| Account                | RR # | Symbol | B/S | Shares  | Price  | MRI    | Research | Comm. ¢/Shr. |     |
|------------------------|------|--------|-----|---------|--------|--------|----------|--------------|-----|
| PAKLER                 | N22  | CROX   | B   | 108     | 58.77  | n/a    | n/a      | 0.00         | 0.0 |
| PAKLER                 | N22  | CROX   | S   | 88      | 56.75  | n/a    | n/a      | 0.00         | 0.0 |
| GALLEON MGMT LP        | 033  | CSCO   | S   | 20,000  | 31.48  | n/a    | 22-Mar   | 1,000.00     | 5.0 |
| BALYASNY ASSET MGMT    | 044  | CSE    | B   | 30,000  | 20.40  | n/a    | n/a      | 1,500.00     | 5.0 |
| ZOLIDIS                | 011  | CWTR   | SS  | 500     | 21.80  | n/a    | n/a      | 0.00         | 0.0 |
| ⌘ BUCK CAPITAL MGMT    |      | DBRN   | B   | 30,000  | 17.48  | n/a    | n/a      | non-brg      | 5.0 |
| ⌘ BUCK CAPITAL MGMT    |      | DDS    | C   | 60,000  | 24.40  | n/a    | n/a      | non-brg      | 5.0 |
| J L F ASSET MGMT       | 039  | DELL   | B   | 200,000 | 27.84  | n/a    | n/a      | 8,000.00     | 4.0 |
| BALYASNY ASSET MGMT    | 044  | DFS    | S   | 40,000  | 24.22  | n/a    | n/a      | 2,000.00     | 5.0 |
| ⌘ BUCK CAPITAL MGMT    |      | DIA    | C   | 208,300 | 135.70 | n/a    | n/a      | non-brg      | 2.0 |
| MTB INV ADV            | 034  | DLTR   | S   | 13,570  | 41.66  | 30-May | 7-Aug    | 678.50       | 5.0 |
| HUNTER GLOBAL INVESTOR | 067  | EAT    | B   | 250,000 | 26.95  | 24-Apr | 24-Apr   | 12,500.00    | 5.0 |
| LISTED ERR             | 097  | EAT    | B   | 50,000  | 26.51  | 24-Apr | 24-Apr   | 0.00         | 0.0 |
| LISTED ERR             | 099  | EAT    | S   | 50,000  | 26.51  | 24-Apr | 24-Apr   | 0.00         | 0.0 |
| BALYASNY ASSET MGMT    | 044  | EDA    | B   | 10,000  | 10.65  | n/a    | n/a      | 500.00       | 5.0 |
| PORTER-ORLIN-FELDMAN   | 044  | EEM    | B   | 49,000  | 135.97 | n/a    | n/a      | 2,450.00     | 5.0 |
| ⌘ BUCK CAPITAL MGMT    |      | EGLT   | SS  | 10,000  | 15.95  | n/a    | n/a      | non-brg      | 5.0 |

| Account                    | RR #       | Symbol      | B/S       | Shares        | Price         | MRI           | Research      | Comm.¢/Shr.    |            |
|----------------------------|------------|-------------|-----------|---------------|---------------|---------------|---------------|----------------|------------|
| BALYASNY ASSET MGMT        | 044        | ESPD        | B         | 75,000        | 7.38          | n/a           | n/a           | 3,750.00       | 5.0        |
| <b>LUCHANSKY2</b>          | <b>036</b> | <b>EVST</b> | <b>C</b>  | <b>300</b>    | <b>31.95</b>  | <b>n/a</b>    | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| BALYASNY ASSET MGMT        | 044        | EV          | SS        | 10,000        | 45.84         | 23-May        | 8-Aug         | 500.00         | 5.0        |
| THIRD POINT MGMT           | 044        | EV          | C         | 175,000       | 46.35         | 23-May        | 8-Aug         | 7,000.00       | 4.0        |
| BALYASNY ASSET MGMT        | 044        | FBCM        | B         | 30,000        | 13.14         | n/a           | n/a           | 1,500.00       | 5.0        |
| TRIBECA/DOUG               | 040        | FITB        | SS        | 20,000        | 40.31         | n/a           | n/a           | 800.00         | 4.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>FLDR</b> | <b>SS</b> | <b>35,000</b> | <b>5.96</b>   | <b>n/a</b>    | <b>n/a</b>    | <b>non-brg</b> | <b>4.0</b> |
| <b>BUCK CAPITAL MGMT</b>   | <b>001</b> | <b>FL</b>   | <b>B</b>  | <b>8,900</b>  | <b>16.38</b>  | <b>24-May</b> | <b>2-Aug</b>  | <b>356.00</b>  | <b>4.0</b> |
| CAPITAL GROWTH MGMT.       | 241        | FNM         | B         | 50,000        | 65.45         | n/a           | n/a           | 2,500.00       | 5.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>FOSL</b> | <b>B</b>  | <b>80,000</b> | <b>27.29</b>  | <b>16-May</b> | <b>16-May</b> | <b>non-brg</b> | <b>4.0</b> |
| LEVIN CAPITAL STRATEGIES   | 012        | F           | S         | 21,754        | 8.58          | n/a           | 2-Aug         | 652.62         | 3.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>GIII</b> | <b>B</b>  | <b>40,000</b> | <b>14.88</b>  | <b>n/a</b>    | <b>n/a</b>    | <b>non-brg</b> | <b>2.0</b> |
| BAIRD INVESTMENT           | 031        | GLF         | B         | 7,095         | 45.21         | n/a           | n/a           | 354.75         | 5.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>GS</b>   | <b>B</b>  | <b>3,000</b>  | <b>194.88</b> | <b>15-Jun</b> | <b>2-Aug</b>  | <b>non-brg</b> | <b>1.0</b> |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>GYMB</b> | <b>B</b>  | <b>10,000</b> | <b>41.10</b>  | <b>n/a</b>    | <b>6-Aug</b>  | <b>non-brg</b> | <b>1.0</b> |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>GYMB</b> | <b>B</b>  | <b>50,000</b> | <b>40.29</b>  | <b>n/a</b>    | <b>6-Aug</b>  | <b>non-brg</b> | <b>5.0</b> |

| Account                    | RR #       | Symbol      | B/S      | Shares         | Price        | MRI          | Research      | Comm.¢/Shr.    |            |
|----------------------------|------------|-------------|----------|----------------|--------------|--------------|---------------|----------------|------------|
| LOOMIS SALES/BOSTON        | 036        | HAS         | C        | 262,700        | 26.88        | n/a          | n/a           | 10,508.00      | 4.0        |
| <b>LEDDY</b>               | <b>035</b> | <b>HBI</b>  | <b>C</b> | <b>1,000</b>   | <b>29.77</b> | <b>n/a</b>   | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| BALYASNY ASSET MGMT        | 044        | HIG         | B        | 20,000         | 91.61        | n/a          | n/a           | 1,000.00       | 5.0        |
| HIGHBRIDGE CAPITAL         | 038        | HIRE        | S        | 100,000        | 14.09        | n/a          | n/a           | 5,000.00       | 5.0        |
| <b>ZOLIDIS</b>             | <b>011</b> | <b>HLYS</b> | <b>B</b> | <b>500</b>     | <b>12.15</b> | <b>n/a</b>   | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| <b>WYCKOFF</b>             | <b>013</b> | <b>HLYS</b> | <b>B</b> | <b>300</b>     | <b>12.14</b> | <b>n/a</b>   | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| <b>LEDDY</b>               | <b>035</b> | <b>HLYS</b> | <b>B</b> | <b>800</b>     | <b>12.37</b> | <b>n/a</b>   | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| TRIBECA/DOUG               | 040        | HRH         | S        | 12,500         | 47.81        | n/a          | n/a           | 500.00         | 4.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>HSTX</b> | <b>S</b> | <b>70,000</b>  | <b>20.35</b> | <b>n/a</b>   | <b>n/a</b>    | <b>non-brg</b> | <b>5.0</b> |
| <b>LUCHANSKY</b>           | <b>036</b> | <b>IGT</b>  | <b>B</b> | <b>500</b>     | <b>35.65</b> | <b>n/a</b>   | <b>20-Jul</b> | <b>0.00</b>    | <b>0.0</b> |
| MORGAN LEWIS/MLH           | 046        | INSU        | B        | 25,000         | 18.07        | n/a          | n/a           | 1,250.00       | 5.0        |
| PORTER-ORLIN-FELDMAN       | 044        | INTC        | B        | 200,000        | 24.46        | n/a          | n/a           | 10,000.00      | 5.0        |
| BALYASNY ASSET MGMT        | 044        | IVZ         | B        | 50,000         | 25.62        | 8-Aug        | 8-Aug         | 2,500.00       | 5.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>IVZ</b>  | <b>B</b> | <b>30,000</b>  | <b>25.08</b> | <b>8-Aug</b> | <b>8-Aug</b>  | <b>non-brg</b> | <b>5.0</b> |
| PHILADELPHIA FINANCIAL     | 033        | IVZ         | B        | 19,281         | 25.54        | 8-Aug        | 8-Aug         | 964.05         | 5.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>IWM</b>  | <b>C</b> | <b>186,600</b> | <b>79.49</b> | <b>n/a</b>   | <b>n/a</b>    | <b>non-brg</b> | <b>2.0</b> |
| MORGENS WATERFALL          | 040        | IWM         | SS       | 250,000        | 79.00        | n/a          | n/a           | 7,500.00       | 3.0        |
| <b>LEDDY</b>               | <b>035</b> | <b>JADE</b> | <b>B</b> | <b>2,200</b>   | <b>6.50</b>  | <b>n/a</b>   | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |

| Account                    | RR #       | Symbol      | B/S       | Shares        | Price        | MRI           | Research      | Comm.¢/Shr.    |            |
|----------------------------|------------|-------------|-----------|---------------|--------------|---------------|---------------|----------------|------------|
| SYSTEMATIC FINANCIAL MGT   | 035        | JBX         | B         | 22,175        | 62.87        | 24-Apr        | 24-Apr        | 831.57         | 3.8        |
| COUGAR TRADING             | 044        | JCG         | S         | 5,000         | 53.87        | 22-Jun        | 6-Aug         | 250.00         | 5.0        |
| <b>BUCK CAPITAL MGMT</b>   | <b>001</b> | <b>JCP</b>  | <b>B</b>  | <b>10,000</b> | <b>68.69</b> | <b>21-Jun</b> | <b>6-Aug</b>  | <b>400.00</b>  | <b>4.0</b> |
| COUGAR TRADING             | 044        | JCP         | B         | 5,000         | 68.65        | 21-Jun        | 6-Aug         | 250.00         | 5.0        |
| ROSEDALE CAPITAL           | 046        | JCP         | B         | 10,000        | 68.93        | 21-Jun        | 6-Aug         | 500.00         | 5.0        |
| HIGHFIELDS CAP MGMT        | 039        | JNS         | S         | 180,500       | 31.00        | 27-Jul        | 8-Aug         | 9,025.00       | 5.0        |
| THIRD POINT MGMT           | 044        | JNS         | C         | 300,000       | 30.96        | 27-Jul        | 8-Aug         | 12,000.00      | 4.0        |
| BALYASNY ASSET MGMT        | 044        | JPM         | B         | 100,000       | 46.51        | n/a           | 19-Jul        | 5,000.00       | 5.0        |
| PORTER-ORLIN-FELDMAN       | 044        | JPM         | SS        | 30,000        | 46.28        | n/a           | 19-Jul        | 1,500.00       | 5.0        |
| <b>BUCK</b>                | <b>015</b> | <b>JPM</b>  | <b>SS</b> | <b>300</b>    | <b>46.21</b> | <b>n/a</b>    | <b>19-Jul</b> | <b>0.00</b>    | <b>0.0</b> |
| COUGAR TRADING             | 044        | JWN         | B         | 5,000         | 48.94        | 12-Jul        | 6-Aug         | 250.00         | 5.0        |
| COUGAR TRADING             | 044        | JWN         | S         | 5,000         | 50.27        | 12-Jul        | 6-Aug         | 250.00         | 5.0        |
| PIVOT CAP                  | 034        | JWN         | S         | 11,000        | 50.54        | 12-Jul        | 6-Aug         | 550.00         | 5.0        |
| BALYASNY ASSET MGMT        | 044        | KEY         | B         | 50,000        | 36.77        | n/a           | n/a           | 2,500.00       | 5.0        |
| HUNTER GLOBAL INVESTOR     | 067        | KR          | B         | 200,000       | 25.42        | n/a           | n/a           | 10,000.00      | 5.0        |
| <b>BUCK CAPITAL MGMT</b>   | <b>001</b> | <b>KSS</b>  | <b>B</b>  | <b>10,000</b> | <b>59.13</b> | <b>14-Jun</b> | <b>27-Jul</b> | <b>400.00</b>  | <b>4.0</b> |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>LAMR</b> | <b>B</b>  | <b>10,000</b> | <b>53.55</b> | <b>n/a</b>    | <b>n/a</b>    | <b>non-brg</b> | <b>1.0</b> |
| GENERAL ELECTIC CO         | 033        | LBTYK       | S         | 86,575        | 40.96        | n/a           | n/a           | 3,895.88       | 4.5        |
| REICH AND TANG             | 067        | LDL         | B         | 64,000        | 9.16         | n/a           | n/a           | 1,280.00       | 2.0        |

| Account                    | RR #       | Symbol      | B/S       | Shares        | Price        | MRI           | Research     | Comm. ¢/Shr.   |            |
|----------------------------|------------|-------------|-----------|---------------|--------------|---------------|--------------|----------------|------------|
| <b>B BUCK CAPITAL MGMT</b> |            | <b>LEH</b>  | <b>B</b>  | <b>3,000</b>  | <b>63.59</b> | <b>12-Jun</b> | <b>2-Aug</b> | <b>non-brg</b> | <b>1.0</b> |
| U.S.TRUST COMPANY          | 012        | LEH         | B         | 25,000        | 65.34        | 12-Jun        | 2-Aug        | 1,125.00       | 4.5        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>LIZ</b>  | <b>SS</b> | <b>20,000</b> | <b>37.45</b> | <b>n/a</b>    | <b>6-Aug</b> | <b>non-brg</b> | <b>5.0</b> |
| BALYASNY ASSET MGMT        | 044        | LM          | B         | 25,000        | 88.35        | 24-Jul        | 8-Aug        | 1,250.00       | 5.0        |
| ROBX                       | 033        | LSI         | B         | 226,000       | 6.21         | n/a           | n/a          | 6,780.00       | 3.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>LTD</b>  | <b>SS</b> | <b>30,000</b> | <b>24.36</b> | <b>16-May</b> | <b>6-Aug</b> | <b>non-brg</b> | <b>5.0</b> |
| PROSPECTOR PARTNERS LLC    | 036        | LUK         | S         | 10,000        | 46.07        | n/a           | n/a          | 500.00         | 5.0        |
| TRELLUS PARTNERS LP        | 028        | MCCC        | B         | 100,000       | 7.78         | 7-Aug         | 8-Aug        | 5,000.00       | 5.0        |
| BALYASNY ASSET MGMT        | 044        | MCO         | SS        | 15,000        | 57.93        | n/a           | n/a          | 750.00         | 5.0        |
| NEUBERGER BERMAN           | 036        | MDTH        | S         | 51,000        | 26.59        | n/a           | n/a          | 2,040.00       | 4.0        |
| <b>BARR</b>                | <b>096</b> | <b>MENT</b> | <b>B</b>  | <b>3,000</b>  | <b>11.52</b> | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>MER</b>  | <b>B</b>  | <b>3,000</b>  | <b>77.20</b> | <b>18-Jul</b> | <b>2-Aug</b> | <b>non-brg</b> | <b>1.0</b> |
| PATTEN & PATTEN            | 067        | MS          | B         | 25            | 64.62        | 2-Jul         | 2-Aug        | 1.25           | 5.0        |
| SARAS CAPITAL              | 038        | MS          | B         | 45,000        | 66.42        | 2-Jul         | 2-Aug        | 2,250.00       | 5.0        |
| <b>BARR</b>                | <b>096</b> | <b>MTG</b>  | <b>SS</b> | <b>200</b>    | <b>39.25</b> | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| MILTON PARTNERS            | 060        | MTMD        | B         | 100,000       | 6.00         | n/a           | n/a          | 2,000.00       | 2.0        |



| Account               | RR # | Symbol | B/S | Shares  | Price  | MRI    | Research | Comm. ¢/Shr. |      |
|-----------------------|------|--------|-----|---------|--------|--------|----------|--------------|------|
| BARON ASSET MGMT*     | 012  | MVL    | B   | 80,000  | 23.12  | n/a    | n/a      | 4,000.00     | 5.0  |
| ⌘ BUCK CAPITAL MGMT   |      | MVRX   | C   | 30,300  | 179.75 | n/a    | n/a      | non-brg      | 18.0 |
| LUCHANSKY4            | 036  | MWV    | S   | 300     | 32.48  | 31-Jul | 2-Aug    | 0.00         | 0.0  |
| J L F ASSET MGMT      | 039  | M      | B   | 44,900  | 34.95  | 13-Jul | 6-Aug    | 1,796.00     | 4.0  |
| BALYASNY ASSET MGMT   | 044  | NCT    | B   | 12,200  | 17.90  | n/a    | n/a      | 610.00       | 5.0  |
| PROVIDENT INV COUNSEL | 048  | NETL   | B   | 59,600  | 30.13  | n/a    | n/a      | 2,980.00     | 5.0  |
| ⌘ BUCK CAPITAL MGMT   |      | NEXC   | B   | 20,000  | 8.99   | n/a    | n/a      | non-brg      | 5.0  |
| ⌘ BUCK CAPITAL MGMT   |      | NEXC   | B   | 25,000  | 8.95   | n/a    | n/a      | non-brg      | 5.0  |
| ⌘ BUCK CAPITAL MGMT   |      | NEXC   | B   | 35,000  | 8.84   | n/a    | n/a      | non-brg      | 5.0  |
| BUCK                  | 015  | NMX    | B   | 200     | 132.16 | n/a    | n/a      | 0.00         | 0.0  |
| DSG CAPITAL           | 225  | NPO    | B   | 3,000   | 34.09  | n/a    | n/a      | 150.00       | 5.0  |
| ⌘ BUCK CAPITAL MGMT   |      | NWY    | B   | 125,000 | 9.24   | 25-May | 6-Aug    | non-brg      | 4.0  |
| COUGAR TRADING        | 044  | NWY    | S   | 20,000  | 9.41   | 25-May | 6-Aug    | 1,000.00     | 5.0  |
| ⌘ BUCK CAPITAL MGMT   |      | OIH    | C   | 1,300   | 171.50 | n/a    | n/a      | non-brg      | 1.0  |
| REICH AND TANG        | 067  | OMG    | B   | 50,000  | 40.96  | n/a    | n/a      | 2,500.00     | 5.0  |
| NOLAN                 | 067  | PANL   | B   | 40      | 17.00  | n/a    | n/a      | 0.00         | 0.0  |
| PATTEN & PATTEN       | 067  | PBW    | B   | 125     | 23.19  | n/a    | n/a      | 6.25         | 5.0  |

| Account             | RR # | Symbol | B/S | Shares  | Price  | MRI    | Research | Comm.¢/Shr. |     |
|---------------------|------|--------|-----|---------|--------|--------|----------|-------------|-----|
| KERN                | 050  | PCU    | B   | 100     | 104.64 | n/a    | n/a      | 0.00        | 0.0 |
| ß BUCK CAPITAL MGMT |      | PERY   | B   | 120,400 | 26.36  | n/a    | n/a      | non-brg     | 1.0 |
| ß BUCK CAPITAL MGMT |      | PERY   | B   | 25,000  | 25.50  | n/a    | n/a      | non-brg     | 5.0 |
| ß BUCK CAPITAL MGMT |      | PERY   | B   | 34,600  | 25.24  | n/a    | n/a      | non-brg     | 2.0 |
| BUCK                | 015  | PFCB   | C   | 500     | 37.01  | 24-Apr | 24-Apr   | 0.00        | 0.0 |
| BALYASNY ASSET MGMT | 044  | PJC    | SS  | 10,000  | 58.81  | n/a    | n/a      | 500.00      | 5.0 |
| Õ Glenn Redemann    |      | PMTI   | B   | 300     | 35.00  | n/a    | n/a      | 0.00        | 0.0 |
| Õ Glenn Redemann    |      | PMTI   | SS  | 3       | 5.90   | n/a    | n/a      | 0.00        | 0.0 |
| Õ Glenn Redemann    |      | PMTI   | S   | 300     | 29.01  | n/a    | n/a      | 0.00        | 0.0 |
| BUCK                | 015  | PNRA   | SS  | 400     | 47.61  | n/a    | n/a      | 0.00        | 0.0 |
| ASCEND PARTNERS     | 033  | PRXL   | C   | 48,080  | 42.31  | n/a    | n/a      | 2,404.00    | 5.0 |
| ß BUCK CAPITAL MGMT |      | PSUN   | S   | 120,000 | 17.98  | n/a    | 6-Aug    | non-brg     | 5.0 |
| COUGAR TRADING      | 044  | PSUN   | B   | 5,000   | 16.98  | n/a    | 6-Aug    | 250.00      | 5.0 |
| COUGAR TRADING      | 044  | PSUN   | SS  | 5,000   | 18.31  | n/a    | 6-Aug    | 250.00      | 5.0 |
| HIGHFIELDS CAP MGMT | 039  | QCOM   | B   | 585,000 | 39.93  | n/a    | n/a      | 23,400.00   | 4.0 |
| PATTEN & PATTEN     | 067  | RHHBY  | B   | 25      | 90.15  | n/a    | n/a      | 1.25        | 5.0 |
| BUCK CAPITAL MGMT   | 001  | RL     | B   | 338,600 | 84.64  | 21-Jun | 7-Aug    | 13,544.00   | 4.0 |
| ß BUCK CAPITAL MGMT |      | RL     | B   | 35,000  | 80.08  | 21-Jun | 7-Aug    | non-brg     | 5.0 |
| COUGAR TRADING      | 044  | RL     | B   | 900     | 84.11  | 21-Jun | 7-Aug    | 45.00       | 5.0 |

| Account                    | RR #       | Symbol       | B/S       | Shares         | Price         | MRI          | Research     | Comm.¢/Shr.    |            |
|----------------------------|------------|--------------|-----------|----------------|---------------|--------------|--------------|----------------|------------|
| COUGAR TRADING             | 044        | RL           | SS        | 900            | 85.71         | 21-Jun       | 7-Aug        | 45.00          | 5.0        |
| STADIA CAPITAL             | 035        | RL           | S         | 10,000         | 80.66         | 21-Jun       | 7-Aug        | 400.00         | 4.0        |
| WAGONHOUND                 | 048        | RL           | B         | 1,240          | 80.17         | 21-Jun       | 7-Aug        | 49.60          | 4.0        |
| WOOSTER CAPITAL            | 035        | RL           | B         | 50,000         | 84.32         | 21-Jun       | 7-Aug        | 2,500.00       | 5.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>RTH</b>   | <b>SS</b> | <b>37,300</b>  | <b>101.78</b> | <b>n/a</b>   | <b>n/a</b>   | <b>non-brg</b> | <b>2.0</b> |
| REINHART & MAHONEY         | 031        | RX           | S         | 48,235         | 31.69         | n/a          | n/a          | 2,411.75       | 5.0        |
| <b>PAKLER</b>              | <b>N22</b> | <b>SAM</b>   | <b>S</b>  | <b>141</b>     | <b>45.10</b>  | <b>n/a</b>   | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>SDXC</b>  | <b>B</b>  | <b>10,000</b>  | <b>15.00</b>  | <b>n/a</b>   | <b>n/a</b>   | <b>non-brg</b> | <b>5.0</b> |
| BALYASNY ASSET MGMT        | 044        | SKS          | S         | 30,000         | 20.48         | n/a          | n/a          | 1,500.00       | 5.0        |
| <b>KERN</b>                | <b>050</b> | <b>SLB</b>   | <b>B</b>  | <b>100</b>     | <b>89.37</b>  | <b>n/a</b>   | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| PORTER-ORLIN-FELDMAN       | 044        | SLGN         | B         | 29,600         | 53.73         | n/a          | n/a          | 1,480.00       | 5.0        |
| <b>MKT MAKER</b>           | <b>099</b> | <b>SLGN</b>  | <b>B</b>  | <b>13</b>      | <b>53.73</b>  | <b>n/a</b>   | <b>n/a</b>   | <b>0.65</b>    | <b>5.0</b> |
| BELMONT GLOBAL ADV         | 248        | SMBL         | B         | 20,000         | 9.00          | n/a          | n/a          | 1,000.00       | 5.0        |
| <b>DEMARTINI</b>           | <b>065</b> | <b>SMGIX</b> | <b>S</b>  | <b>25</b>      | <b>5.00</b>   | <b>n/a</b>   | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| SYSTEMATIC FINANCIAL MGT   | 035        | SNA          | B         | 87,600         | 51.38         | n/a          | n/a          | 3,285.00       | 3.8        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>SPY</b>   | <b>C</b>  | <b>200,100</b> | <b>148.82</b> | <b>n/a</b>   | <b>n/a</b>   | <b>non-brg</b> | <b>2.0</b> |
| PATTEN & PATTEN            | 067        | SRZ          | B         | 75             | 39.70         | n/a          | n/a          | 3.75           | 5.0        |
| <b>BUCK CAPITAL MGMT</b>   | <b>001</b> | <b>SSI</b>   | <b>S</b>  | <b>20,000</b>  | <b>17.80</b>  | <b>3-Aug</b> | <b>6-Aug</b> | <b>800.00</b>  | <b>4.0</b> |

| Account                    | RR #       | Symbol      | B/S       | Shares        | Price        | MRI           | Research     | Comm. ¢/Shr.   |            |
|----------------------------|------------|-------------|-----------|---------------|--------------|---------------|--------------|----------------|------------|
| <b>PAKLER</b>              | <b>N22</b> | <b>STKL</b> | <b>B</b>  | <b>370</b>    | <b>13.39</b> | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| BALYASNY ASSET MGMT        | 044        | STT         | S         | 40,000        | 70.82        | n/a           | n/a          | 2,000.00       | 5.0        |
| <b>KERN</b>                | <b>050</b> | <b>SUPG</b> | <b>S</b>  | <b>500</b>    | <b>4.28</b>  | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| <b>BARR</b>                | <b>096</b> | <b>SWIM</b> | <b>C</b>  | <b>1,000</b>  | <b>13.94</b> | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| <b>BARR</b>                | <b>096</b> | <b>SWIM</b> | <b>SS</b> | <b>1,000</b>  | <b>14.16</b> | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| <b>LUCHANSKY2</b>          | <b>036</b> | <b>S</b>    | <b>C</b>  | <b>1,000</b>  | <b>20.76</b> | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| SARAS CAPITAL              | 038        | TEL         | B         | 20,000        | 34.02        | n/a           | n/a          | 1,000.00       | 5.0        |
| PORTER-ORLIN-FELDMAN       | 044        | TGIC        | B         | 35,700        | 25.65        | n/a           | n/a          | 1,785.00       | 5.0        |
| <b>MKT MAKER</b>           | <b>099</b> | <b>TGIC</b> | <b>B</b>  | <b>13</b>     | <b>25.65</b> | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| JP MORGAN VENTURES         | 046        | TIF         | C         | 14,300        | 45.80        | 1-Jun         | 13-Jun       | 715.00         | 5.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>TRLG</b> | <b>S</b>  | <b>10,000</b> | <b>21.38</b> | <b>n/a</b>    | <b>n/a</b>   | <b>non-brg</b> | <b>5.0</b> |
| PIVOT CAP                  | 034        | TRLG        | S         | 9,790         | 21.58        | n/a           | n/a          | 489.50         | 5.0        |
| MILTON PARTNERS            | 060        | TW          | S         | 55,600        | 21.98        | n/a           | n/a          | 1,668.00       | 3.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>URBN</b> | <b>S</b>  | <b>40,000</b> | <b>24.08</b> | <b>31-Jul</b> | <b>7-Aug</b> | <b>non-brg</b> | <b>5.0</b> |
| BULL PATH CAPITAL          | 044        | URBN        | S         | 70,000        | 24.32        | 31-Jul        | 7-Aug        | 3,500.00       | 5.0        |
| <b>MKT MAKER</b>           | <b>099</b> | <b>URBN</b> | <b>B</b>  | <b>5,000</b>  | <b>23.88</b> | <b>31-Jul</b> | <b>7-Aug</b> | <b>0.00</b>    | <b>0.0</b> |
| <b>CROWLEY</b>             | <b>061</b> | <b>VTJ</b>  | <b>S</b>  | <b>1,000</b>  | <b>15.70</b> | <b>n/a</b>    | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| BALYASNY ASSET MGMT        | 044        | WABC        | SS        | 10,000        | 45.70        | n/a           | n/a          | 500.00         | 5.0        |

| Account                     | RR #       | Symbol      | B/S      | Shares        | Price        | MRI          | Research      | Comm. ¢/Shr.      |            |
|-----------------------------|------------|-------------|----------|---------------|--------------|--------------|---------------|-------------------|------------|
| BALYASNY ASSET MGMT         | 044        | WB          | B        | 50,000        | 48.58        | n/a          | n/a           | 2,500.00          | 5.0        |
| <b>KAICHER</b>              | <b>064</b> | <b>WHT</b>  | <b>S</b> | <b>2,500</b>  | <b>2.91</b>  | <b>n/a</b>   | <b>n/a</b>    | <b>0.00</b>       | <b>0.0</b> |
| PATTEN & PATTEN             | 067        | WMB         | B        | 75            | 32.91        | n/a          | n/a           | 3.75              | 5.0        |
| <b>SUTERA</b>               | <b>160</b> | <b>WRES</b> | <b>S</b> | <b>1,000</b>  | <b>13.80</b> | <b>n/a</b>   | <b>n/a</b>    | <b>0.00</b>       | <b>0.0</b> |
| BENCHMARK                   | 028        | WTW         | B        | 5,000         | 56.46        | n/a          | n/a           | 250.00            | 5.0        |
| BENCHMARK                   | 028        | XLF         | B        | 5,000         | 35.00        | n/a          | n/a           | 250.00            | 5.0        |
| <b>KAICHER</b>              | <b>064</b> | <b>ZHNE</b> | <b>B</b> | <b>5,000</b>  | <b>1.13</b>  | <b>n/a</b>   | <b>n/a</b>    | <b>0.00</b>       | <b>0.0</b> |
| PATTEN & PATTEN             | 067        | ZMH         | B        | 65            | 79.43        | n/a          | n/a           | 3.25              | 5.0        |
| <b>B BUCK CAPITAL MGMT</b>  |            | <b>ZQK</b>  | <b>S</b> | <b>10,000</b> | <b>14.95</b> | <b>9-Mar</b> | <b>27-Jun</b> | <b>non-brg</b>    | <b>5.0</b> |
| COUGAR TRADING              | 044        | ZQK         | S        | 10,000        | 15.16        | 9-Mar        | 27-Jun        | 500.00            | 5.0        |
| PIVOT CAP                   | 034        | ZQK         | S        | 38,550        | 14.88        | 9-Mar        | 27-Jun        | 1,156.50          | 3.0        |
| <b>B BUCK CAPITAL MGMT</b>  |            | <b>ZUMZ</b> | <b>B</b> | <b>10,000</b> | <b>42.89</b> | <b>n/a</b>   | <b>n/a</b>    | <b>non-brg</b>    | <b>1.0</b> |
| <b>ZOLIDIS</b>              | <b>011</b> | <b>ZUMZ</b> | <b>S</b> | <b>250</b>    | <b>45.93</b> | <b>n/a</b>   | <b>n/a</b>    | <b>0.00</b>       | <b>0.0</b> |
| <b>TOTAL (Trade Date) :</b> |            |             |          |               |              |              |               | <b>298,544.92</b> |            |

**Cancel/Corrects and As-Of Trades**

| Type                          | Date  | Account                 | RR # | Symbol | B/S | Shares  | Price | MRI    | Research | Comm.¢/Shr.      |
|-------------------------------|-------|-------------------------|------|--------|-----|---------|-------|--------|----------|------------------|
| AO,                           | 08/07 | ROTHSCHILD ASSET        | 040  | EAT    | S   | 30,000  | 27.54 | 24-Apr | 24-Apr   | 1350.00 4.5      |
| AO,                           | 08/07 | THIRD POINT MGMT        | 044  | EV     | C   | 125,000 | 43.66 | 23-May | 8-Aug    | 5000.00 4.0      |
| AO,CXL                        | 08/03 | PROSPECTOR PARTNERS LLC | 036  | GFI    | B   | 1,200   | 15.84 | n/a    | n/a      | 60.00 5.0        |
| AO,                           | 08/03 | PROSPECTOR PARTNERS LLC | 036  | GFI    | B   | 700     | 15.84 | n/a    | n/a      | 35.00 5.0        |
| AO,CRT                        | 08/03 | PROSPECTOR PARTNERS LLC | 036  | GFI    | B   | 500     | 15.84 | n/a    | n/a      | 25.00 5.0        |
| AO,                           | 08/07 | COMMISSUM CAPITAL       | 067  | IVZ    | B   | 5,000   | 24.29 | 8-Aug  | 8-Aug    | 250.00 5.0       |
| AO,                           | 08/07 | THIRD POINT MGMT        | 044  | JNS    | C   | 150,000 | 30.38 | 27-Jul | 8-Aug    | 6000.00 4.0      |
| AO,                           | 08/07 | RAINIER INV MGMT        | 035  | SLB    | B   | 44,475  | 88.48 | n/a    | n/a      | 2223.75 5.0      |
| AO,CXL                        | 08/06 | BARROW HANLEY MEWHINNEY | 096  | SUNW   | B   | 2,700   | 4.97  | n/a    | n/a      | 0.00 0.0         |
| AO,CRT                        | 08/06 | BARROW HANLEY MEWHINNEY | 096  | SUNW   | B   | 2,700   | 4.97  | n/a    | n/a      | 0.00 0.0         |
| AO,                           | 08/07 | THIRD POINT MGMT        | 044  | TROW   | C   | 100,000 | 53.59 | n/a    | 8-Aug    | 4000.00 4.0      |
| <b>TOTAL (AO, CXL, CRT) :</b> |       |                         |      |        |     |         |       |        |          | <b>18,823.75</b> |

|                       |            |
|-----------------------|------------|
| TOTAL (Trade Date):   | 298,544.92 |
| TOTAL (AO, CXL, CRT): | 18,823.75  |

TOTAL (RUN DATE) / NFS:

317,368.67

MRI Securities with Trade Activity on 8-Aug.

BEN, IVZ

MRI Securities without Trade Activity on 8-Aug.

BRL, CVC, CYH, HET, HRS

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 19%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION