

Daily Compliance Activity Report Trade Date: 12/21/2010

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.
WINSLOW CAPITAL	030	ADSK	В	400	39.63	n/a	n/a	12.00	3.0
CRAMER ROSENTHAL/SCOTT	012	ADS	S	1,000	70.95	n/a	n/a	40.00	4.0
BUCK CAPITAL MGMT CARDINAL CAPITAL	001 044	AEO AEO	s B	100,000 38,100	14.78 14.89	n/a n/a	3-Dec 3-Dec	3,000.00 1,905.00	3.0 5.0
CRAMER ROSENTHAL/SCOTT	012	AEP	S	1,900	35.79	n/a	n/a	76.00	4.0
BRAZOS	031	AKRX	В	18,000	6.26	n/a	n/a	540.00	3.0
ADALY INV MGMT	031	AMAT	S	30,000	13.85	n/a	n/a	1,050.00	3.5
MORTAR ROCK	033	AMD	SS	50,000	8.18	n/a	n/a	2,000.00	4.0
PATTEN & PATTEN	025	AMLP	В	4,000	15.77	n/a	n/a	200.00	5.0
WINSLOW CAPITAL	030	AMTD	В	500	18.98	n/a	n/a	15.00	3.0
WINSLOW CAPITAL	030	AMZN	В	200	182.91	n/a	n/a	6.00	3.0
CRAMER ROSENTHAL/SCOTT	012	APD	S	500	90.30	n/a	10-Dec	20.00	4.0
WINSLOW CAPITAL	030	ASML	В	300	37.93	n/a	n/a	9.00	3.0
CRAMER ROSENTHAL/SCOTT	012	ATI	S	1,100	57.59	n/a	n/a	44.00	4.0
CRAMER ROSENTHAL/SCOTT	012	AVY	S	3,700	42.06	n/a	27-0ct	148.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
OSKIE CAPITAL MGMT	224	AXL	S	10,000	13.18	n/a	1-Nov	500.00	5.0
WALKER SMITH	031	BAC	В	50,000	12.89	n/a	17-Dec	2,500.00	5.0
CRAMER ROSENTHAL/SCOTT	012	BCR	S	500	93.49	n/a	n/a	20.00	4.0
WINSLOW CAPITAL	030	BIDU	В	100	100.98	n/a	n/a	3.00	3.0
SURVEYOR CAPITAL	041	BPOP	В	17,614	2.91	n/a	n/a	352.28	2.0
PZENA INV ADV	044	BP	S	1,000	43.82	n/a	n/a	35.00	3.5
PZENA INV ADV	044	CA	S	1,900	24.76	n/a	n/a	66.50	3.5
GALT INVESTMENT	041	СВК	S	25,000	5.71	n/a	n/a	750.00	3.0
WINSLOW CAPITAL	030	CELG	В	100	58.94	n/a	n/a	3.00	3.0
WINSLOW CAPITAL	030	CERN	В	100	96.09	n/a	n/a	3.00	3.0
WINSLOW CAPITAL	030	CHRW	В	200	80.21	n/a	n/a	6.00	3.0
LATEFF MGMT	035	CMCSK	S	2,450	20.74	n/a	n/a	368.00	18.5
IRIDIAN ASSET MGMT.	036	COF	С	50,100	42.36	n/a	15-Dec	1,503.00	3.0
WINSLOW CAPITAL	030	COST	В	200	72.51	n/a	n/a	6.00	3.0
WINSLOW CAPITAL	030	CTSH	В	600	72.86	n/a	n/a	18.00	3.0
	OSKIE CAPITAL MGMT WALKER SMITH CRAMER ROSENTHAL/SCOTT WINSLOW CAPITAL SURVEYOR CAPITAL PZENA INV ADV PZENA INV ADV GALT INVESTMENT WINSLOW CAPITAL WINSLOW CAPITAL LATEFF MGMT IRIDIAN ASSET MGMT. WINSLOW CAPITAL	OSKIE CAPITAL MGMT 224 WALKER SMITH 031 CRAMER ROSENTHAL/SCOTT 012 WINSLOW CAPITAL 030 SURVEYOR CAPITAL 041 PZENA INV ADV 044 PZENA INV ADV 044 GALT INVESTMENT 041 WINSLOW CAPITAL 030 WINSLOW CAPITAL 030 WINSLOW CAPITAL 030 LATEFF MGMT 035 IRIDIAN ASSET MGMT. 036 WINSLOW CAPITAL 030	OSKIE CAPITAL MGMT 224 AXL WALKER SMITH 031 BAC CRAMER ROSENTHAL/SCOTT 012 BCR WINSLOW CAPITAL 030 BIDU SURVEYOR CAPITAL 041 BPOP PZENA INV ADV 044 BP PZENA INV ADV 044 CA GALT INVESTMENT 041 CBK WINSLOW CAPITAL 030 CELG WINSLOW CAPITAL 030 CERN WINSLOW CAPITAL 030 CHRW LATEFF MGMT 035 CMCSK IRIDIAN ASSET MGMT. 036 COST	OSKIE CAPITAL MGMT 224 AXL S WALKER SMITH 031 BAC B CRAMER ROSENTHAL/SCOTT 012 BCR S WINSLOW CAPITAL 030 BIDU B SURVEYOR CAPITAL 041 BPOP B PZENA INV ADV 044 BP S GALT INVESTMENT 041 CBK S WINSLOW CAPITAL 030 CELG B WINSLOW CAPITAL 030 CERN B WINSLOW CAPITAL 030 CHRW B LATEFF MGMT 035 CMCSK S IRIDIAN ASSET MGMT. 036 COST B	OSKIE CAPITAL MGMT 224 AXL S 10,000 WALKER SMITH 031 BAC B 50,000 CRAMER ROSENTHAL/SCOTT 012 BCR S 500 WINSLOW CAPITAL 030 BIDU B 100 SURVEYOR CAPITAL 041 BPOP B 17,614 PZENA INV ADV 044 BP S 1,000 PZENA INV ADV 044 CA S 1,900 GALT INVESTMENT 041 CBK S 25,000 WINSLOW CAPITAL 030 CELG B 100 WINSLOW CAPITAL 030 CERN B 100 WINSLOW CAPITAL 030 CHRW B 200 LATEFF MGMT 035 CMCSK S 2,450 IRIDIAN ASSET MGMT. 036 COF C 50,100 WINSLOW CAPITAL 030 COST B 200	OSKIE CAPITAL MGMT 224 AXL S 10,000 13.18 WALKER SMITH 031 BAC B 50,000 12.89 CRAMER ROSENTHAL/SCOTT 012 BCR S 500 93.49 WINSLOW CAPITAL 030 BIDU B 100 100.98 SURVEYOR CAPITAL 041 BPOP B 17,614 2.91 PZENA INV ADV 044 BP S 1,000 43.82 PZENA INV ADV 044 CA S 1,900 24.76 GALT INVESTMENT 041 CBK S 25,000 5.71 WINSLOW CAPITAL 030 CELG B 100 58.94 WINSLOW CAPITAL 030 CHRW B 200 80.21 LATEFF MGMT 035 CMCSK S 2,450 20.74 IRIDIAN ASSET MGMT. 036 COF C 50,100 42.36 WINSLOW CAPITAL 030 COST B 200 72.51	OSKIE CAPITAL MGMT 224 AXL S 10,000 13.18 n/a WALKER SMITH 031 BAC B 50,000 12.89 n/a CRAMER ROSENTHAL/SCOTT 012 BCR S 500 93.49 n/a WINSLOW CAPITAL 030 BIDU B 100 100.98 n/a SURVEYOR CAPITAL 041 BPOP B 17,614 2.91 n/a PZENA INV ADV 044 BP S 1,000 43.82 n/a PZENA INV ADV 044 CA S 1,900 24.76 n/a GALT INVESTMENT 041 CBK S 25,000 5.71 n/a WINSLOW CAPITAL 030 CELG B 100 58.94 n/a WINSLOW CAPITAL 030 CERN B 100 96.09 n/a WINSLOW CAPITAL 030 CHRW B 200 80.21 n/a LATEFF MGMT 035 CMCSK S 2,450 20.74 n/a WINSLOW CAPITAL 036 COF C 50,100 42.36 n/a WINSLOW CAPITAL 030 COST B 200 72.51 n/a	OSKIE CAPITAL MGMT	OSKIE CAPITAL MGMT 224 AXL S 10,000 13.18 n/a 1-Nov 500.00 WALKER SMITH 031 BAC B 50,000 12.89 n/a 17-Dec 2,500.00 CRAMER ROSENTHAL/SCOTT 012 BCR S 500 93.49 n/a n/a 20.00 WINSLOW CAPITAL 030 BIDU B 100 100.98 n/a n/a 3.00 SURVEYOR CAPITAL 041 BPOP B 17,614 2.91 n/a n/a 352.28 PZENA INV ADV 044 BP S 1,000 43.82 n/a n/a 352.00 PZENA INV ADV 044 CA S 1,900 24.76 n/a n/a 66.50 GALT INVESTMENT 041 CBK S 25,000 5.71 n/a n/a 750.00 WINSLOW CAPITAL 030 CELG B 100 58.94 n/a n/a 3.00 WINSLOW CAPITAL 030 CERN B 100 96.09 n/a n/a 3.00 WINSLOW CAPITAL 030 CHRW B 200 80.21 n/a n/a 6.00 LATEFF MGMT 035 CMCSK S 2,450 20.74 n/a n/a 368.00 WINSLOW CAPITAL 036 COF C 50,100 42.36 n/a 15-Dec 1,503.00 WINSLOW CAPITAL 030 COST B 200 72.51 n/a n/a 6.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WINSLOW CAPITAL	030	CTXS	В	200	69.23	n/a	n/a	6.00 3.0	
L.R.BURTSCHY & CO	029	С	S	50,000	4.75	n/a	17-Dec	3,000.00 6.0	
CRAMER ROSENTHAL/SCOTT	012	DNR	S	2,000	19.21	n/a	n/a	80.00 4.0	
LATEFF MGMT	035	DREPRN	В	1,500	24.82	n/a	n/a	270.00 18.0	
COOPER CREEK PART	028	DRI	SS	10,000	47.84	n/a	21-Dec	400.00 4.0	
LATEFF MGMT	035	ENR	S	500	75.04	n/a	3-Nov	175.00 35.0	
CRAMER ROSENTHAL/SCOTT	012	EQT	S	1,400	44.22	n/a	n/a	56.00 4.0	
WINSLOW CAPITAL	030	ESRX	В	600	54.77	n/a	n/a	18.00 3.0	
TYEE/TYGH	035	EXPE	S	124,522	27.21	n/a	n/a	4,980.88 4.0	
MILLRACE FUND LP	028	FDO	SS	6,000	49.31	n/a	21-Dec	240.00 4.0	
BUCK CAPITAL MGMT	001	FL	S	30,000	19.71	n/a	19-Nov	900.00 3.0	
LATEFF MGMT	035	FMER	S	6,000	19.67	n/a	n/a	600.00 10.0	
1798 CAP/LOMBARD ASSET	040	FOE	В	10,000	14.89	n/a	n/a	500.00 5.0	
LAKEVIEW INV GROUP LLC	031	FOR	В	2,200	18.55	n/a	3-Nov	66.00 3.0	
WEISS PECK	040	FOSL	С	2,800	72.32	n/a	n/a	140.00 5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
PZENA INV ADV	044	FO	S	450	61.20	n/a	n/a	15.75	3.5
WEISS PECK	040	GIL	С	6,900	29.26	n/a	3-Dec	345.00	5.0
MINDSHARE CAPITAL MGMT	046	GLUU	В	181,561	1.99	n/a	n/a	3,631.22	2.0
WINSLOW CAPITAL	030	GMCR	В	200	33.35	n/a	n/a	6.00	3.0
PIONEER PATH	226	GPS	SS	49,600	21.12	n/a	n/a	1,488.00	3.0
SURSUM CAPITAL	215	GPS	S	25 , 000	21.26	n/a	n/a	750.00	3.0
SURVEYOR CAPITAL	041	GS	В	3,909	168.10	n/a	16-Dec	117.27	3.0
PATTEN & PATTEN	025	HCF	В	7,000	7.50	n/a	n/a	350.00	5.0
LATEFF MGMT	035	HD	S	2,000	35.24	n/a	13-Dec	300.00	15.0
LATEFF MGMT	035	HON	S	750	53.50	n/a	16-Dec	210.00	28.0
WINSLOW CAPITAL	030	INTU	В	200	49.99	n/a	n/a	6.00	3.0
LATEFF MGMT	035	IP	S	2,200	27.07	n/a	15-Dec	375.00	20.0
PATTEN & PATTEN	025	ITT	S	300	52.59	n/a	30-Apr	15.00	5.0
WINSLOW CAPITAL	030	JNPR	В	200	37.31	n/a	n/a	6.00	3.0
DELTEC ASSET MGMT.	040	JNY	В	13,614	15.74	n/a	28-Oct	680.70	5.0
WEISS PECK	040	JNY	S	15,300	15.75	n/a	28-Oct	765.00	5.0
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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/	Shr.
SIGMA CAPITAL ASSOCIATES	041	JWN	В	50,000	43.05	n/a	30-Nov	1,750.00	3.5
DECADE CAPITAL PARTNERS	040	KMB	В	20,600	63.01	n/a	27-Oct	824.00	4.0
CRAMER ROSENTHAL/SCOTT	012	KR	S	3,800	21.75	n/a	n/a	152.00	4.0
BUCK CAPITAL MGMT	001	KSS	S	10,000	54.55	n/a	30-Nov	300.00	3.0
MORTAR ROCK	033	LEA	S	20,000	100.18	n/a	29-Oct	800.00	4.0
SURSUM CAPITAL	215	LIZ	S	70,000	7.66	n/a	7-0ct	2,100.00	3.0
OSKIE CAPITAL MGMT	224	LNC	В	5,000	28.59	n/a	n/a	250.00	5.0
HOLLIS CAPITAL	033	LOGI	SS	5,000	19.50	n/a	n/a	250.00	5.0
NOMURA CAPITAL	029	LYB	S	75 , 000	32.40	n/a	n/a	2,250.00	3.0
CRAMER ROSENTHAL/SCOTT	012	MAN	S	800	64.40	n/a	n/a	32.00	4.0
1798 CAP/LOMBARD ASSET	040	MAS	В	30,000	13.00	n/a	n/a	1,500.00	5.0
PIONEER PATH	226	MGM	В	50,000	14.88	n/a	9-Dec	1,500.00	3.0
COOKBIELER	028	MMM	В	30,000	86.44	n/a	21-Dec	1,200.00	4.0
PATTEN & PATTEN	025	MRK	В	500	36.27	n/a	n/a	25.00	5.0
SURVEYOR CAPITAL	041	MS	S	24 , 975	26.34	n/a	16-Dec	749.25	3.0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
EGS ASSOCIATES LP	091	М	В	3,500	26.03	n/a	30-Nov	210.00	6.0	
LATEFF MGMT	035	NKE	S	300	91.25	n/a	n/a	135.00	45.0	
WINSLOW CAPITAL	030	NTAP	В	200	55.34	n/a	n/a	6.00	3.0	
SIGMA CAP BOBBY	044	ODP	В	25 , 000	4.86	n/a	n/a	875.00	3.5	
WINSLOW CAPITAL	030	ORCL	В	1,000	31.84	n/a	n/a	30.00	3.0	
WINSLOW CAPITAL	030	ORLY	В	400	61.89	n/a	n/a	12.00	3.0	
CAXTON PART	040	OSK	В	93 , 716	35.16	n/a	n/a	3,280.08	3.5	
WEISS PECK	040	PAG	S	6 , 700	17.00	n/a	25-Oct	335.00	5.0	
STANDARD PACIFIC CAPITAL	040	PAYX	S	150,000	31.10	n/a	n/a	7,500.00	5.0	
WINSLOW CAPITAL	030	PCLN	В	100	408.48	n/a	n/a	3.00	3.0	
WINSLOW CAPITAL	030	QCOM	В	500	49.87	n/a	n/a	15.00	3.0	
NOMURA CAPITAL	029	QLTY	S	5,000	9.84	n/a	n/a	150.00	3.0	
NOMURA CAPITAL	029	QUAD	S	6 , 082	42.32	n/a	n/a	182.46	3.0	
BRAZOS	031	RFMD	В	11,800	7.92	n/a	n/a	354.00	3.0	
PATTEN & PATTEN	025	RHHBY	S	1,600	35.78	n/a	n/a	80.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
COOPER CREEK PART	028	RT	В	20,000	13.60	n/a	n/a	800.00	4.0
WINSLOW CAPITAL	030	SHPGY	В	200	70.30	n/a	20-Dec	6.00	3.0
NOMURA CAPITAL	029	SOA	S	30,000	23.77	n/a	n/a	900.00	3.0
PIONEER PATH	226	SVU	SS	225,000	8.79	n/a	n/a	6,750.00	3.0
METROPOLITAN CAPITAL	044	SWK	S	2,800	66.25	n/a	n/a	140.00	5.0
BNP PARIBAS SEC SHEFFIELD ASSET MGMT	040 031	TIN TIN	B B	20,000 1,131	20.89	n/a n/a	15-Dec 15-Dec	1,000.00 45.24	5.0 4.0
BUCK CAPITAL MGMT	001	TJX	s	30,000	43.97	n/a	10-Dec	900.00	3.0
DECADE CAPITAL PARTNERS	040	TUP	SS	27,200	47.79	n/a	n/a	1,088.00	4.0
MTB INV ADV	034	WNC	S	13,450	12.75	n/a	n/a	672.50	5.0
LATEFF MGMT	035	WRIPRF	В	2,900	23.19	n/a	n/a	375.00	13.5
PIONEER PATH	226	XL	SS	25 , 578	21.74	n/a	n/a	767.34	3.0
SIGMA CAPITAL ASSOCIATES	041	ZUMZ	SS	50,000	29.14	n/a	n/a	1,750.00	3.5

TOTAL (Trade Date): 77,735.47

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
CRT	12/21	MORTAR ROCK (MORTGSEOSP)	033	AMD	SS	50,000	8.18	n/a	n/a	2000.00	4.0
CRT	12/21	MILLRACE FUND LP (MILRGS)	028	FDO	SS	6,000	49.31	n/a	21-Dec	240.00	4.0
CRT	12/21	LAKEVIEW INV GROUP LLC (LAKVMSEXEC)	031	FOR	В	2,200	18.55	n/a	3-Nov	66.00	3.0
TOTAL	(AO,	CXL, CRT):							2,	306.00	

TOTAL (RUN DATE) / NFS:	80,041.47
TOTAL (AO, CXL, CRT):	2,306.00
TOTAL (Trade Date):	77,735.47

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION