



Daily Compliance Activity Report

Trade Date: 07/03/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	S	30,000	13.36	6-Mar	2-Jul	1,500.00	5.0
DAVIS SELECT ADV	040	AES	B	375,000	18.85	n/a	n/a	11,250.00	3.0
B BUCK CAPITAL MGMT		AMT	S	20,000	41.14	n/a	n/a	non-brg	4.0
FRONTPOINT CHRIS C	041	AMT	B	6,300	40.43	n/a	n/a	252.00	4.0
BULL PATH CAPITAL	044	ANF	B	15,500	61.67	10-Apr	2-Jul	775.00	5.0
SINCLAIR	031	APCKT	S	90	0.70	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ARO	B	100,000	31.44	28-Apr	2-Jul	non-brg	4.0
COUGAR TRADING	044	ARO	B	5,000	31.11	28-Apr	2-Jul	250.00	5.0
J L F ASSET MGMT	039	ARO	B	27,400	31.58	28-Apr	2-Jul	1,096.00	4.0
PILOT ROCK	028	AVP	B	123,232	35.46	4-Mar	24-Jun	4,929.28	4.0
BUCK	015	BACPRL	S	130	874.50	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	BAC	SS	50,000	22.43	n/a	2-Jul	2,500.00	5.0
WAGONHOUND/NIC	048	BIG	B	1,065	32.14	29-May	2-Jul	42.60	4.0
SYSTEMATIC FINANCIAL MGT	035	BKE	B	5,900	43.97	n/a	n/a	221.25	3.8
B BUCK CAPITAL MGMT		BPOP	C	150,000	6.36	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BUCY	C	15,000	61.39	4-Jun	4-Jun	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BWA+VI	C	1,479	5.44	n/a	n/a	non-brg	300.0
BMO NESBITT BURNS	041	BWA	B	25,000	41.13	10-Jun	10-Jun	1,250.00	5.0
ß BUCK CAPITAL MGMT		BWA	C	105,000	42.47	10-Jun	10-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		BWA	C	235,000	40.50	10-Jun	10-Jun	non-brg	5.0
FIRST NY SEC/CHRIS	041	BWA	B	25,000	41.10	10-Jun	10-Jun	1,250.00	5.0
ß BUCK CAPITAL MGMT		BYI+GH	C	251	0.07	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BYI+JK	C	1,000	0.17	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BYI	S	327,900	30.03	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BYI	S	62,100	29.64	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CASY	SS	47,400	22.34	n/a	n/a	non-brg	4.0
LEDDY	035	CAT	SS	200	69.53	n/a	23-May	0.00	0.0
MFP INVESTORS	032	CBT	B	4,800	22.97	n/a	23-Jun	240.00	5.0
ß BUCK CAPITAL MGMT		CCI	S	20,000	37.18	n/a	n/a	non-brg	4.0
BUCK	015	CMA	C	1,000	24.90	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	CMI	B	80,000	61.70	n/a	23-May	4,000.00	5.0
ß BUCK CAPITAL MGMT		COH	S	100,000	29.12	4-Apr	23-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		COH	S	139,600	28.80	4-Apr	23-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		COLM	SS	50,000	36.08	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		CPNCW	C	22,503	0.42	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		DIN	SS	60,000	34.30	n/a	n/a	non-brg 4.0
OGORMAN	046	DXD	B	300	64.96	n/a	n/a	0.00 0.0
ß BUCK CAPITAL MGMT		EOG	C	10,000	121.09	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		EQIX	SS	20,000	84.66	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FDO	SS	20,000	22.18	30-Jun	2-Jul	non-brg 4.0
ß BUCK CAPITAL MGMT		FRT	SS	20,000	67.72	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		GES	S	3,000	36.43	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		GFG	S	108,223	4.64	n/a	n/a	non-brg 3.0
ß BUCK CAPITAL MGMT		GM+SC	C	1,000	4.83	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		GM+UT	C	3,000	7.95	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		GM+XS	C	1,600	4.46	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		GM+XT	C	2,269	8.39	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		GM	C	400,000	10.39	24-Jun	2-Jul	non-brg 1.0
ß BUCK CAPITAL MGMT		GM	C	506,900	10.38	24-Jun	2-Jul	non-brg 1.0
ß BUCK CAPITAL MGMT		HAS	SS	30,000	34.74	n/a	n/a	non-brg 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		HD	SS	50,000	22.43	n/a	27-Jul	non-brg	4.0
MS PRINCIPAL STRATEGIES	041	HLX	B	60,000	37.78	n/a	n/a	2,400.00	4.0
CAMBIAR CAP	046	IGT	S	231,084	23.40	n/a	18-Apr	9,243.36	4.0
BUCK	015	JNJ	B	700	64.77	n/a	22-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		JOSB	B	15,000	24.94	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		JOYG	C	15,000	70.42	n/a	30-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JWN	SS	50,000	31.05	16-May	2-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KIM	SS	20,000	34.13	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	B	50,000	42.18	n/a	2-Jul	non-brg	4.0
COUGAR TRADING	044	KSS	B	5,000	42.44	n/a	2-Jul	250.00	5.0
EPOCH INV MGMT	030	KVA	S	51,700	19.01	n/a	n/a	2,068.00	4.0
⌘ BUCK CAPITAL MGMT		LECO	SS	6,400	72.39	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LOW	SS	50,000	20.10	n/a	27-Jul	non-brg	4.0
CAPITAL GROWTH MGMT.	241	MCD	B	60,000	57.11	9-Jun	9-Jun	3,000.00	5.0
⌘ BUCK CAPITAL MGMT		MDY	C	69,300	142.93	n/a	n/a	non-brg	2.0
FRONTPOINT CHRIS C	041	MOS	SS	3,900	128.02	n/a	n/a	156.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		MSM+SI	S	400	2.05	n/a	n/a	non-brg	300.0
FRONTPOINT CHRIS C	041	MSM	B	11,300	43.82	n/a	n/a	452.00	4.0
SINCLAIR	031	NBRLI	B	25	7.30	n/a	n/a	0.00	0.0
SINCLAIR	031	NBRLJ	B	25	5.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		OIH	C	15,000	210.77	n/a	n/a	non-brg	2.0
HOLDEN CAPITAL MGMT	035	PAG	B	97,600	14.22	10-Jun	10-Jun	4,880.00	5.0
B BUCK CAPITAL MGMT		PEI	SS	20,000	22.18	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PERY	S	11,100	21.13	n/a	n/a	non-brg	1.0
BASIX	033	PKG	B	60,000	21.92	7-Apr	26-Jun	2,400.00	4.0
BAYPOINT TRADING/XYLEM	091	PKG	B	80,000	21.97	7-Apr	26-Jun	4,000.00	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	45,000	21.82	7-Apr	26-Jun	2,250.00	5.0
DSG CAPITAL	225	PKG	B	2,500	21.44	7-Apr	26-Jun	125.00	5.0
OGORMAN	046	PSUN	B	2,000	8.38	n/a	2-Jul	0.00	0.0
B BUCK CAPITAL MGMT		PVH+II	SS	1,000	0.85	n/a	n/a	non-brg	100.0
SINCLAIR	031	PXDIQ	B	35	2.80	n/a	n/a	0.00	0.0
BUCK	015	RYN	B	1,000	42.64	23-Apr	23-Apr	0.00	0.0
FRONTPOINT CHRIS C	041	R	SS	2,100	66.00	n/a	n/a	84.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	SBAC	B	7,000	33.56	n/a	n/a	280.00	4.0
OGORMAN	046	SDS	B	300	67.93	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SDXC	SS	31,000	16.26	n/a	n/a	non-brg	4.0
BUCK	015	SGPPRB	B	200	191.43	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SKT	SS	20,000	36.44	n/a	n/a	non-brg	4.0
FRONTPOINT CHRIS C	041	SNI	SS	3,000	41.15	n/a	n/a	120.00	4.0
FRONTPOINT	044	SPY	SS	5,900	125.26	n/a	n/a	236.00	4.0
CARDINAL CAPITAL	044	SSI	S	25,000	11.63	n/a	2-Jul	1,250.00	5.0
PRINCIPLE CAPITAL	040	STZ	B	58,200	21.41	n/a	n/a	1,746.00	3.0
⌘ BUCK CAPITAL MGMT		SYU	SS	50,000	27.27	n/a	24-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TCO	SS	20,000	47.21	n/a	n/a	non-brg	4.0
J L F ASSET MGMT	039	TGT	B	45,800	47.39	n/a	2-Jul	1,832.00	4.0
⌘ BUCK CAPITAL MGMT		TRLG	S	5,000	26.70	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ULTA	B	10,000	10.30	n/a	n/a	non-brg	4.0
ROYCE TRADING	216	UNT	S	5,200	84.53	20-Mar	18-Jun	260.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		URBN	S	10,000	31.21	15-May	15-May	non-brg	4.0
COUGAR TRADING	044	URBN	B	10,000	31.12	15-May	15-May	500.00	5.0
PHILADELPHIA FINANCIAL	033	WDR	B	75,000	34.48	22-Apr	2-Jul	3,000.00	4.0
⚡ BUCK CAPITAL MGMT		WRC	S	3,000	41.63	13-May	13-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WYNN	SS	20,000	77.77	n/a	n/a	non-brg	4.0
FRONTPOINT	044	X	SS	800	150.75	n/a	n/a	32.00	4.0
TOTAL (Trade Date):								70,120.49	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/02	DAVIDSON KEMPNER ADVISOR	040	AMLN	SS	50,000	25.47	n/a	n/a	2500.00 5.0
AO,CXL	07/02	DKR CAPITAL	048	AMLN	SS	50,000	25.47	n/a	n/a	2500.00 5.0
AO,CXL	07/02	GRUSS CAPITAL	045	FOR	B	5,000	18.02	n/a	n/a	200.00 4.0
AO,CRT	07/02	GRUSS CAPITAL	045	FOR	B	5,000	18.02	n/a	n/a	150.00 3.0
AO,CXL	07/02	DSM CAPITAL PARTNERS	032	GENZ	B	3,000	72.38	n/a	n/a	150.00 5.0
AO,CRT	07/02	DSM CAPITAL PARTNERS	032	GENZ	B	3,000	72.38	n/a	n/a	120.00 4.0
AO,	07/02	FORWARD MGMT	033	GRNB	B	1,200	14.40	n/a	n/a	60.00 5.0
AO,	07/02	CAMBIAR CAP	046	IGT	S	48,500	24.02	n/a	18-Apr	1940.00 4.0
AO,CRT	07/01	DAVIDSON KEMPNER ADVISOR	040	INCY	B	20,700	7.50	n/a	n/a	1035.00 5.0
AO,CXL	07/01	DAVIDSON KEMPNER ADVISOR	040	INCY	B	20,700	7.50	n/a	n/a	1035.00 5.0
AO,	07/02	BAYPOINT TRADING/XYLEM	091	IP	SS	80,300	22.90	8-Feb	26-Jun	4015.00 5.0
AO,	07/02	BAYPOINT TRADING/XYLEM	091	NCS	SS	31,900	33.05	n/a	n/a	1595.00 5.0
AO,	07/02	FORWARD MGMT	033	OSBC	B	1,600	11.97	n/a	n/a	80.00 5.0
AO,CXL	06/27	ADALY INV MGMT	067	WBC	S	500	46.44	30-Apr	11-Jun	25.00 5.0
AO,CRT	06/27	ADALY INV MGMT	067	WBC	S	500	46.44	30-Apr	11-Jun	25.00 5.0
TOTAL (AO, CXL, CRT) :										7,610.00

TOTAL (Trade Date):	70,120.49
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TOTAL (AO, CXL, CRT):	7,610.00
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TOTAL (RUN DATE) / NFS:	77,730.49
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MRI Securities with Trade Activity on 3-Jul. NONE
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MRI Securities without Trade Activity on 3-Jul. ECL
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 0% YTD = 16%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION