



Daily Compliance Activity Report

Trade Date: 02/23/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JASON ERIC COHEN	FID	AAPL	S	7	88.76	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ADM	SS	50,000	27.42	5-Jan	3-Feb	2,000.00	4.0
B BUCK CAPITAL MGMT		AEO	B	20,000	9.29	n/a	6-Feb	non-brg	3.0
COUGAR TRADING	044	ALV	SS	10,000	15.78	30-Jan	4-Feb	500.00	5.0
f ANDREW SINCLAIR	FID	APCH	B	80	2.15	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		APC	B	18,000	36.05	3-Feb	11-Feb	non-brg	1.0
B BUCK CAPITAL MGMT		ARO	B	20,000	21.70	n/a	6-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		ATVI	B	25,000	9.44	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		ATVI	B	75,000	9.43	n/a	n/a	non-brg	3.0
OZ MGMT	040	AXP	SS	288,970	12.31	n/a	19-Feb	8,669.10	3.0
LISTED ERR	099	AXP	SS	400	12.31	n/a	19-Feb	0.00	0.0
B BUCK CAPITAL MGMT		BBBY	SS	20,000	20.62	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	034	BBBY	B	10,000	20.71	n/a	n/a	400.00	4.0
ASCEND PARTNERS	033	BBY	B	25,000	28.22	n/a	27-Jul	1,250.00	5.0
f JOSEPH CIRO AMATURO	FID	BGU	S	5000	22.67	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	BGZ	B	32	86.25	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOSEPH CIRO AMATURO	FID	BGZ	B	4000	83.77	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		BMR	C	10,000	9.51	n/a	n/a	non-brg	3.0
	SEMINOLE CAP	036	BWA	SS	70,000	17.03	27-Jan	13-Feb	3,500.00	5.0
ß	BUCK CAPITAL MGMT		BXP	C	10,000	38.31	n/a	n/a	non-brg	4.0
	CAXTON PART	040	CL	B	11,026	59.76	n/a	23-Feb	385.91	3.5
	BUCK CAPITAL MGMT	001	COH	B	140,000	13.78	9-Jan	22-Jan	5,600.00	4.0
	ROSEDALE CAPITAL	046	CSCO	B	19,500	15.20	n/a	22-Mar	975.00	5.0
	COBALT	041	CVS	B	135,525	28.25	n/a	n/a	6,776.25	5.0
ß	BUCK CAPITAL MGMT		DECK	B	10,000	55.25	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		DIA	C	9,500	71.22	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		DIN	C	55,400	5.99	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		FINL	B	70,000	4.16	n/a	28-Mar	non-brg	3.0
ß	BUCK CAPITAL MGMT		FOR	B	25,000	7.25	12-Feb	12-Feb	non-brg	1.0
ß	BUCK CAPITAL MGMT		FOR	B	50,000	7.22	12-Feb	12-Feb	non-brg	3.0
ß	BUCK CAPITAL MGMT		FOSL	B	40,000	12.75	23-Jan	30-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		FRT	C	20,000	44.93	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DAVIDSON KEMPNER ADVISOR	040	GDX	C	100,000	36.34	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT	001	GE	SS	50,000	8.87	n/a	n/a	1,500.00	3.0
ß BUCK CAPITAL MGMT		GIII	B	20,000	3.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIII	B	4,600	3.35	n/a	n/a	non-brg	1.0
f JOHN MICHAEL ZOLIDIS	FID	GLD	S	100	97.00	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	GLD	S	100	97.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GOOG	SS	10,000	331.54	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GRMN	C	225,000	17.30	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HME	C	20,000	26.80	n/a	n/a	non-brg	4.0
CASTLE ARK	031	HPQ	B	174,390	29.71	n/a	n/a	10,463.40	6.0
HUNTER GLOBAL INVESTOR	067	HYG	S	32,500	70.60	n/a	n/a	975.00	3.0
BUCK CAPITAL MGMT	001	JCG	S	30,000	10.23	n/a	30-Jan	1,200.00	4.0
ß BUCK CAPITAL MGMT		JCG	S	10,000	10.15	n/a	30-Jan	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	JNK	S	50,000	29.35	n/a	n/a	1,500.00	3.0
DECADE CAPITAL PARTNERS	040	JWN	S	25,000	11.71	20-Feb	20-Feb	750.00	3.0
f FRANCIS MICHAEL MCCA	FID	JWN	B	100	11.49	20-Feb	20-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	KIM	B	20,000	9.81	n/a	n/a	600.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KSS	B	10,000	33.99	n/a	6-Feb	400.00	4.0
HUNTER GLOBAL INVESTOR	067	LEN	S	190,000	6.21	n/a	n/a	5,700.00	3.0
B BUCK CAPITAL MGMT		LIZ	S	145,435	2.35	n/a	14-Jan	non-brg	3.0
AVESTA CAP	229	LOW	B	50,000	15.23	23-Feb	23-Feb	2,500.00	5.0
f EDWARD W WHEELER	FID	METPRA	S	2100	13.00	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	031	MSFT	B	500,000	17.55	n/a	3-Apr	20,000.00	4.0
WAGONHOUND/NIC	048	MYL	S	2,287	13.18	n/a	20-Feb	91.48	4.0
BUCK CAPITAL MGMT	001	M	B	20,000	7.41	n/a	6-Feb	600.00	3.0
BMO NESBITT BURNS	041	NCX	B	20,000	5.31	n/a	n/a	1,000.00	5.0
PERRY PARTNERS	041	NOK	SS	195,000	10.32	n/a	n/a	9,750.00	5.0
B BUCK CAPITAL MGMT		ORI SS	SS	25,000	22.67	n/a	n/a	non-brg	4.5
f FRANCIS MICHAEL MCCA	FID	PCAR	B	100	25.36	20-Nov	2-Feb	0.00	0.0
B BUCK CAPITAL MGMT		PERY	B	12,002	4.76	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		PFCB	C	9,400	19.12	8-Jan	12-Feb	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	POT	S	100	78.78	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HUNTER GLOBAL INVESTOR	067	PX	B	15,000	59.49	4-Feb	4-Feb	750.00	5.0
B BUCK CAPITAL MGMT		RIG	B	27,700	58.08	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	RL	SS	100,000	34.35	n/a	5-Feb	4,000.00	4.0
B BUCK CAPITAL MGMT		SKX	B	100,000	6.78	n/a	13-Mar	non-brg	1.0
WEISS PECK	040	SKX	B	75,780	6.82	n/a	13-Mar	3,031.20	4.0
B BUCK CAPITAL MGMT		SLB	B	14,000	37.13	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SPD LN	SS	57,426	0.84	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		SPG	C	50,000	35.03	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	SPHIX	B	7987	6.26	n/a	n/a	0.00	0.0
CUMBERLAND	038	TBT	B	10,000	46.02	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		TCO	C	50,000	16.99	n/a	n/a	non-brg	4.0
THE JAY GOLDMAN	034	TGT	S	20,000	29.00	5-Feb	20-Feb	800.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	TIF	B	500	19.01	n/a	30-Jan	0.00	0.0
ELM RIDGE ADVISORS	044	TIN	B	4,400	4.20	5-Dec	28-Jan	132.00	3.0
MILLENIUM PARTNERS	028	TIN	B	35,000	4.21	5-Dec	28-Jan	1,400.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	TWM	S	78	84.00	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JONATHAN RICHARD HAR	FID	TZA	B	40	75.97	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		URBN	S	30,000	16.26	n/a	30-Jan	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	WBC	B	100	10.16	5-Feb	5-Feb	0.00	0.0
f JOEL GIFFORD TISS	FID	WBC	B	4000	10.30	5-Feb	5-Feb	0.00	0.0
f JASON ERIC COHEN	FID	WCG	B	150	10.53	n/a	n/a	0.00	0.0
BARCLEYS CAP (OLD LEHM)	041	WDC	B	100,000	13.94	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		WRC	B	80,000	19.71	7-Nov	27-Jan	non-brg	4.0
MILLENIUM PARTNERS	028	WY	S	10,000	24.21	9-Feb	9-Feb	400.00	4.0
B BUCK CAPITAL MGMT		XOM	SS	22,400	71.66	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		XRT	SS	185,000	19.58	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		XRT	SS	185,000	19.95	n/a	n/a	non-brg	2.0
TOTAL (Trade Date) :							106,999.34		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/20	ROYCE TRADING	216	CAB	S	5,000	6.85	n/a	n/a	250.00 5.0
AO,CXL	02/20	ROYCE TRADING	216	CAB	S	5,000	6.85	n/a	n/a	250.00 5.0
AO,CXL	02/20	MILLENIUUM PARTNERS	028	IP	SS	30,000	6.00	22-Sep	29-Jan	1500.00 5.0
AO,CRT	02/20	MILLENIUUM PARTNERS	028	IP	SS	30,000	6.00	22-Sep	29-Jan	1500.00 5.0
AO,CXL	02/20	DECADE CAPITAL PARTNERS	040	SWY	SS	12,000	20.90	n/a	n/a	0.00 0.0
AO,CRT	02/20	DECADE CAPITAL PARTNERS	040	SWY	SS	12,000	20.90	n/a	n/a	480.00 4.0

TOTAL (AO, CXL, CRT) :	480.00
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TOTAL (Trade Date) :	106,999.34
TOTAL (AO, CXL, CRT) :	480.00

TOTAL (RUN DATE) / NFS:	107,479.34
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MRI Securities with Trade Activity on 23-Feb.

LOW

MRI Securities without Trade Activity on 23-Feb.
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NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 25%

YTD = 25%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)