



Daily Compliance Activity Report

Trade Date: 06/24/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	AAPL	B	500	137.03	n/a	n/a	20.00	4.0
BALYASNY ASSET MGMT	044	AB	B	7,500	19.97	5-Jun	17-Jun	375.00	5.0
WINSLOW CAPITAL	030	ADBE	B	200	27.87	n/a	n/a	8.00	4.0
⚡ BUCK CAPITAL MGMT		ADS GR	SS	50,000	38.76	n/a	n/a	non-brg	1.9
WINSLOW CAPITAL	030	ADSK	B	200	19.99	n/a	n/a	8.00	4.0
⚡ BUCK CAPITAL MGMT		AEO	B	50,000	13.48	25-Jun	5-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		AEO	B	50,000	13.49	25-Jun	5-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		AEO	B	86,000	13.47	25-Jun	5-Jun	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	AEP	B	70,000	28.59	n/a	n/a	3,500.00	5.0
BALYASNY ASSET MGMT	044	AMG	B	10,000	54.76	5-Jun	17-Jun	500.00	5.0
BALYASNY ASSET MGMT	044	AMG	S	10,000	55.62	5-Jun	17-Jun	500.00	5.0
BUCK CAPITAL MGMT	001	ANF	SS	20,000	26.06	3-Jun	18-Jun	800.00	4.0
⚡ BUCK CAPITAL MGMT		ANF	S	40,000	26.22	3-Jun	18-Jun	non-brg	4.0
BUCK CAPITAL MGMT	001	ARO	B	6,000	33.08	n/a	5-Jun	240.00	4.0
⚡ BUCK CAPITAL MGMT		ARO	B	20,000	32.90	n/a	5-Jun	non-brg	4.0
BALYASNY ASSET MGMT	044	AXL	B	75,000	2.93	10-Jun	10-Jun	3,000.00	4.0
⚡ BUCK CAPITAL MGMT		AXL	B	390,000	3.04	10-Jun	10-Jun	non-brg	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß	BUCK CAPITAL MGMT		AXL	B	50,000	3.00	10-Jun	10-Jun	non-brg 2.0
	CENTURION PARTNERS	041	AXL	B	65,000	3.06	10-Jun	10-Jun	2,600.00 4.0
	COLONIAL FUND LLC	036	AXL	B	25,000	3.12	10-Jun	10-Jun	1,250.00 5.0
	DIAMONDBACK CAP MGMT	232	AXL	B	299,540	3.19	10-Jun	10-Jun	8,986.20 3.0
	FIRST NY SEC/CHRIS	041	AXL	B	25,000	3.10	10-Jun	10-Jun	1,250.00 5.0
	WAGONHOUND/NIC	048	AXL	B	59,824	2.93	10-Jun	10-Jun	1,794.72 3.0
	WOOSTER CAPITAL	035	AXL	B	200,000	3.05	10-Jun	10-Jun	6,000.00 3.0
	KARSCH CAPITAL	034	BAC	B	575,000	12.43	11-May	17-Jun	17,250.00 3.0
	APEX CAPITAL MGMT.	033	BBBY	C	100,000	28.04	n/a	n/a	4,000.00 4.0
ß	BUCK CAPITAL MGMT		BBBY	C	130,000	28.20	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		BBBY	C	130,000	28.30	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		BBBY	C	20,000	28.51	n/a	n/a	non-brg 2.0
ß	BUCK CAPITAL MGMT		BBBY	C	50,000	28.44	n/a	n/a	non-brg 1.0
	BUCK CAPITAL MGMT	001	BBY	B	6,000	33.22	n/a	27-Jul	240.00 4.0
	DIAMONDBACK CAP MGMT	232	BCS	S	10,000	18.00	n/a	n/a	500.00 5.0
	BALYASNY ASSET MGMT	044	BEN	B	20,000	70.20	5-Jun	17-Jun	1,000.00 5.0
	WINSLOW CAPITAL	030	BIDU	B	100	282.53	n/a	n/a	4.00 4.0
ß	BUCK CAPITAL MGMT		BKC	S	25,000	16.96	16-Apr	11-Jun	non-brg 1.0
ß	BUCK CAPITAL MGMT		BKC	S	75,000	16.95	16-Apr	11-Jun	non-brg 4.0
	SLS CAPITAL LLC	224	BKS	B	39,600	20.45	n/a	n/a	1,584.00 4.0
f	MARC PAUL LUCHANSKY	FID	BK	S	500	28.81	11-May	17-Jun	0.00 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAVI	040	BSX	B	20,000	9.48	n/a	22-Apr	1,000.00	5.0
Ⓕ BUCK CAPITAL MGMT		BWLD	SS	35,000	32.41	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	CHL	B	100	49.66	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	CHRW	B	100	50.89	n/a	n/a	4.00	4.0
BALYASNY ASSET MGMT	044	CLMS	SS	6,900	14.00	n/a	17-Jun	345.00	5.0
Ⓕ BUCK CAPITAL MGMT		CMG	SS	10,000	80.48	14-May	11-Jun	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		CPC	S	500	9.67	n/a	n/a	non-brg	2.5
MILLRACE FUND LP	028	CPKI	B	16,000	12.60	29-May	12-Jun	640.00	4.0
WINSLOW CAPITAL	030	CSCO	B	2,700	18.79	n/a	22-Mar	108.00	4.0
DIAMONDBACK CAP MGMT	232	CS	SS	5,000	44.09	n/a	n/a	250.00	5.0
WINSLOW CAPITAL	030	CTSH	B	1,900	25.61	n/a	n/a	76.00	4.0
BUCK CAPITAL MGMT	001	DAI	SS	10,000	35.26	n/a	n/a	400.00	4.0
Ⓕ BUCK CAPITAL MGMT		DAI	SS	25,000	36.15	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		DAL	B	25,000	5.62	n/a	n/a	non-brg	3.0
Ⓕ BUCK CAPITAL MGMT		DAL	B	75,000	5.61	n/a	n/a	non-brg	1.0
Ⓕ BUCK CAPITAL MGMT		DGW	B	1,000	16.00	n/a	n/a	non-brg	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		DGW	B	2,000	16.00	n/a	n/a	non-brg	0.0
B	BUCK CAPITAL MGMT		DGW	S	3,000	22.38	n/a	n/a	non-brg	2.5
f	JAMES H HLAVACEK II	FID	DXO	S	150	4.28	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	EL	SS	20,000	32.45	5-May	5-May	800.00	4.0
	WINSLOW CAPITAL	030	EQIX	B	500	69.08	n/a	n/a	20.00	4.0
	BALYASNY ASSET MGMT	044	EV	SS	6,000	25.71	19-May	23-Jun	300.00	5.0
	KLEINHEINZ CAP PART	031	EXH	B	50,000	15.58	27-May	22-Jun	2,500.00	5.0
	WINSLOW CAPITAL	030	EXPD	B	300	32.29	n/a	n/a	12.00	4.0
	WINSLOW CAPITAL	030	FAST	B	200	33.16	n/a	n/a	8.00	4.0
f	JAMES H HLAVACEK II	FID	FAZ	S	50	5.12	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	FL	SS	50,000	10.34	27-Apr	22-May	2,000.00	4.0
f	CICILY S BACKUS	FID	FOR	B	5000	10.81	12-Feb	8-May	0.00	0.0
B	BUCK CAPITAL MGMT		FOSL	B	6,000	22.31	n/a	30-Jan	non-brg	4.0
f	MARC PAUL LUCHANSKY	FID	FXI	B	300	37.40	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	GCO	B	10,000	19.35	n/a	10-Jan	400.00	4.0
B	BUCK CAPITAL MGMT		GCO	B	7,500	19.24	n/a	10-Jan	non-brg	4.0
	BUCK CAPITAL MGMT	001	GES	B	6,000	24.87	n/a	n/a	240.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NY SEC/CHRIS	041	GE	SS	25,000	11.95	n/a	n/a	1,250.00	5.0
KARSCH CAPITAL	034	GE	C	89,200	11.96	n/a	n/a	2,676.00	3.0
WINSLOW CAPITAL	030	GILD	B	1,100	46.36	n/a	n/a	44.00	4.0
B BUCK CAPITAL MGMT		GIL	B	30,000	15.55	25-Jun	15-Jun	non-brg	4.0
WINSLOW CAPITAL	030	GOOG	B	200	410.99	n/a	n/a	8.00	4.0
B BUCK CAPITAL MGMT		GYMB	B	6,000	32.80	21-May	5-Jun	non-brg	4.0
WINSLOW CAPITAL	030	ILMN	B	200	36.64	n/a	n/a	8.00	4.0
STG INVESTORS	041	INTC	B	25,000	16.17	n/a	n/a	750.00	3.0
B BUCK CAPITAL MGMT		IP	B	20,000	13.81	23-Jun	23-Jun	non-brg	4.0
SCHOTTENFELD ASSOC	041	IP	S	19,600	13.88	23-Jun	23-Jun	588.00	3.0
NEUBERGER BERMAN	036	ISLE	B	60,094	11.90	n/a	26-Jan	2,704.23	4.5
B BUCK CAPITAL MGMT		JCG	B	70,000	23.60	n/a	30-Jan	non-brg	4.0
WINSLOW CAPITAL	030	JNPR	B	300	22.73	n/a	22-Mar	12.00	4.0
B BUCK CAPITAL MGMT		JOSB	B	10,000	30.34	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	JPMIH	S	15	1.00	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	JPM	B	5,000	33.58	n/a	17-Jun	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	041	JWN	B	25,000	18.58	20-Feb	5-Jun	1,000.00	4.0
DIAMONDBACK CAP MGMT	232	KO	SS	20,000	47.42	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		KSS	B	5,000	43.57	8-May	5-Jun	non-brg	4.0
f ABRAM M CHAPPELL	FID	LEN	B	125	7.98	n/a	n/a	0.00	0.0
CUMBERLAND	038	LINTA	S	50,000	4.97	n/a	n/a	1,500.00	3.0
B BUCK CAPITAL MGMT		LJJ+HH[LVS]	SS	1,700	1.07	n/a	n/a	non-brg	300.0
KEEFE BRUYETTE WOODS A	045	LM	SS	20,000	23.08	25-Jun	17-Jun	1,000.00	5.0
WINSLOW CAPITAL	030	LRCX	B	100	24.09	n/a	n/a	4.00	4.0
DISCIPLINED GROWTH INV.	030	LTD	S	9,960	11.50	n/a	30-Jan	498.00	5.0
f STRATTON PAR TNERSHI	FID	LWTSX	B	4	0.10	n/a	n/a	0.00	0.0
AELTUS/ING INVESTMENT MGT	215	MIG	S	228,563	6.46	n/a	n/a	7,999.73	3.5
B BUCK CAPITAL MGMT		MNRO	B	20,000	24.27	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MNRO	B	5,000	24.28	n/a	n/a	non-brg	1.5
DSG CAPITAL	225	MPXL	SS	2,500	4.74	23-Jun	23-Jun	125.00	5.0
WINSLOW CAPITAL	030	MRVL	B	700	11.84	n/a	n/a	28.00	4.0
WINSLOW CAPITAL	030	MSFT	B	1,800	23.66	n/a	3-Apr	72.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	M	B	122,300	11.50	n/a	5-Jun	6,115.00	5.0
BUCK CAPITAL MGMT	001	NKE	B	28,700	53.02	n/a	n/a	1,148.00	4.0
WINSLOW CAPITAL	030	ORCL	B	2,000	21.42	n/a	n/a	80.00	4.0
WINSLOW CAPITAL	030	PCLN	B	300	110.27	n/a	n/a	12.00	4.0
ß BUCK CAPITAL MGMT		PERY	S	17,478	7.47	n/a	n/a	non-brg	3.0
f MARC PAUL LUCHANSKY	FID	PG	S	200	50.78	5-Jun	5-Jun	0.00	0.0
HIGHBRIDGE CAPITAL	038	PIKE	B	9,800	11.89	n/a	n/a	490.00	5.0
ß BUCK CAPITAL MGMT		PKG	B	20,000	14.86	8-Apr	23-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	B	8,000	26.13	19-Mar	5-Jun	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	PQ	B	10000	3.59	n/a	n/a	0.00	0.0
f STEFFANIE CARMELA CA	FID	PQ	B	640	3.61	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PSS	B	10,000	13.96	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PVH	B	10,000	27.24	20-Apr	21-May	non-brg	4.0
HIGHBRIDGE CAPITAL	038	PWR	B	6,000	22.33	n/a	n/a	300.00	5.0
CRAMER ROSENTHAL/SCOTT	012	QCOM	B	36,200	44.65	n/a	n/a	1,086.00	3.0
WINSLOW CAPITAL	030	QCOM	B	1,700	45.10	n/a	n/a	68.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STG INVESTORS	041	QLGC	B	50,000	12.47	n/a	n/a	1,500.00	3.0
DISCIPLINED GROWTH INV.	030	RCL	S	6,100	13.47	22-Apr	24-Apr	305.00	5.0
WINSLOW CAPITAL	030	RIMM	B	100	71.48	n/a	n/a	4.00	4.0
BALYASNY ASSET MGMT	044	RJF	B	6,000	16.21	n/a	n/a	300.00	5.0
DIAMONDBACK CAP MGMT	232	RJF	B	10,000	15.95	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	RL	B	10,000	50.79	25-Jun	28-May	400.00	4.0
SCOPUS FUND	041	RL	B	5,200	51.44	25-Jun	28-May	260.00	5.0
CARDINAL CAPITAL	044	RRD	B	70,200	11.60	n/a	n/a	3,510.00	5.0
SCOPUS FUND	041	RYN	B	11,500	35.83	n/a	1-May	575.00	5.0
B BUCK CAPITAL MGMT		S4RETL	C	26,500	307.25	n/a	n/a	non-brg	30.7
B BUCK CAPITAL MGMT		S6RETL	SS	54,600	144.69	n/a	n/a	non-brg	14.5
WINSLOW CAPITAL	030	SCHW	B	2,600	17.39	n/a	n/a	104.00	4.0
CATAPULT	040	SHW	SS	30,000	52.56	17-Apr	24-Jun	1,500.00	5.0
NEWCASTLE FUNDS	255	SHW	C	20,000	53.28	17-Apr	24-Jun	800.00	4.0
f DAVID GEORGE BUCK	FID	SPY	S	500	90.70	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	033	STM	B	50,000	7.34	n/a	n/a	1,500.00	3.0
MATRIX ASSET ADV.	036	TEL	B	6,000	18.45	n/a	n/a	180.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	TEVA	B	1,100	47.33	18-Feb	23-Jun	44.00	4.0
B BUCK CAPITAL MGMT		THI	SS	30,000	24.84	n/a	24-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		TJX	B	24,000	30.38	4-May	5-Jun	non-brg	4.0
COUGAR TRADING	044	TJX	S	7,500	30.70	4-May	5-Jun	375.00	5.0
DISCIPLINED GROWTH INV.	030	TJX	S	8,600	30.55	4-May	5-Jun	430.00	5.0
DIAMONDBACK CAP MGMT	232	TSN	S	30,000	12.50	n/a	n/a	1,500.00	5.0
f STEFFANIE CARMELA CA	FID	UQM	S	1000	2.32	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		URBN	B	25,000	20.70	n/a	30-Jan	non-brg	4.0
SOLARIS INV MGMT	029	WDC	B	60,000	25.64	n/a	n/a	3,000.00	5.0
CENTURION PARTNERS	041	WDR	B	10,000	25.07	5-Jun	17-Jun	400.00	4.0
B BUCK CAPITAL MGMT		WFT	B	20,000	18.60	n/a	n/a	non-brg	4.0
AVESTA CAP	229	WMT	SS	100,000	48.40	11-May	19-Jun	4,000.00	4.0
BUCK CAPITAL MGMT	001	WRC	S	10,000	31.37	13-May	13-May	400.00	4.0
AELTUS/ING INVESTMENT MGT	215	XCO	S	94,500	10.69	n/a	n/a	3,307.50	3.5
B BUCK CAPITAL MGMT		XRT	SS	130,000	26.69	n/a	n/a	non-brg	2.0
TROUBH PART	067	YHOO	S	25,000	15.51	n/a	n/a	1,250.00	5.0

TOTAL (Trade Date):

121,473.38

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/23	CENTURION GLOBAL MGMT LL	041	AXL	B	45,000	2.63	10-Jun	10-Jun	1350.00 3.0
AO,CRT	06/23	CENTURION PARTNERS	041	AXL	B	45,000	2.63	10-Jun	10-Jun	1800.00 4.0
AO,CXL	06/23	SCHOTTENFELD ASSOC	041	BAC	B	50,000	12.22	11-May	17-Jun	1500.00 3.0
AO,CRT	06/23	SCHOTTENFELD ASSOC	041	BAC	B	50,000	12.22	11-May	17-Jun	1500.00 3.0
AO,	06/23	BAIRD INVESTMENT	256	CAM	S	6,125	26.51	n/a	n/a	306.25 5.0
AO,CXL	06/23	SENATOR INVESTMENT GROUP	036	CF	B	20,000	72.57	n/a	n/a	1000.00 5.0
AO,CRT	06/23	SENATOR INVESTMENT GROUP	036	CF	B	20,000	72.57	n/a	n/a	800.00 4.0
AO,CRT	06/23	CONI	025	EMC	S	5,000	12.54	n/a	n/a	250.00 5.0
AO,CXL	06/23	WILLOW CREEK	025	EMC	S	5,000	12.54	n/a	n/a	250.00 5.0
AO,CRT	06/23	FIRST NY SEC/CHRIS	041	IP	S	10,000	13.12	23-Jun	23-Jun	500.00 5.0
AO,CXL	06/23	FIRST NY SEC/CHRIS	041	IP	S	10,000	13.12	23-Jun	23-Jun	600.00 6.0
AO,CRT	06/23	FARALLON CAP MGMT	040	KBE	SS	295,000	17.26	n/a	n/a	5900.00 2.0
AO,CXL	06/23	FARALLON CAP MGMT	040	KBE	SS	295,000	17.26	n/a	n/a	11800.00 4.0
AO,CRT	06/23	CHILTON CAPITAL MGMT.	036	SLV	S	198,280	13.62	n/a	n/a	5948.40 3.0
AO,CXL	06/23	CHILTON CAPITAL MGMT.	036	SLV	S	198,280	13.62	n/a	n/a	0.00 0.0
AO,CRT	06/23	CUMBERLAND	038	XLV	SS	25,000	25.55	n/a	n/a	750.00 3.0
AO,CXL	06/23	CUMBERLAND	038	XLV	SS	25,000	25.55	n/a	n/a	1000.00 4.0
TOTAL (AO, CXL, CRT) :										254.65

TOTAL (Trade Date):	121,473.38
TOTAL (AO, CXL, CRT):	254.65

TOTAL (RUN DATE) / NFS:	121,728.03
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MRI Securities with Trade Activity on 24-Jun. NONE

MRI Securities without Trade Activity on 24-Jun. KV.A
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 9% YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)