



Daily Compliance Activity Report

Trade Date: 08/29/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	ABB	B	10,000	23.94	n/a	n/a	500.00	5.0
LATEFF MGMT	040	ADM	B	500	32.69	n/a	21-Aug	150.00	30.0
J L F ASSET MGMT	039	AEO	B	159,400	25.25	10-Jul	21-Aug	6,376.00	4.0
BUCK CAPITAL MGMT	001	ARO	B	80,000	20.89	n/a	24-Aug	3,200.00	4.0
COUGAR TRADING	044	ARO	B	15,000	20.80	n/a	24-Aug	750.00	5.0
SANDLER O'NEILL ASSET	031	BAC	S	25,000	49.87	20-Apr	16-Aug	1,250.00	5.0
MATTHES CAPITAL MGMT	033	BBBY	SS	10,000	34.42	n/a	n/a	400.00	4.0
DAVIDSON KEMPNER ADVISOR	217	BBV	B	50,000	22.80	n/a	n/a	2,500.00	5.0
KENNEDY CAPITAL	046	BBW	B	100,000	16.80	15-Jun	27-Jul	4,000.00	4.0
JP MORGAN VENTURES	046	BIG	C	20,000	28.50	9-Mar	27-Aug	1,000.00	5.0
THIRD POINT MGMT	044	BIG	C	100,000	28.09	9-Mar	27-Aug	4,000.00	4.0
PECONIC PARTNERS	036	BMY	B	50,000	28.74	n/a	n/a	2,500.00	5.0
DELTEC ASSET MGMT.	040	BONT	B	3,000	24.24	3-Aug	29-Aug	150.00	5.0
MKT MAKER	099	BONT	B	66	24.24	3-Aug	29-Aug	0.00	0.0
LATEFF MGMT	040	BRL	B	1,600	49.69	8-Aug	27-Aug	528.00	34.0
BUCK CAPITAL MGMT	001	BWS	S	30,000	22.44	n/a	n/a	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	BWS	S	500	22.15	n/a	n/a	0.00	0.0
EATON VANCE MGMT	039	CAL	S	66,000	29.95	n/a	n/a	2,640.00	4.0
BALYASNY ASSET MGMT	044	CAT	SS	25,000	74.42	n/a	n/a	1,250.00	5.0
Ö Glenn Redemann		CCJ	B	3	0.6300	n/a	n/a	0.00	0.0
Ö Glenn Redemann		CCJ	B	3	0.9700	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	CCK	S	10,000	23.63	n/a	n/a	500.00	5.0
COUGAR TRADING	044	CHS	B	5,000	17.40	10-Aug	29-Aug	250.00	5.0
MATTHES CAPITAL MGMT	033	CHS	SS	5,000	17.42	10-Aug	29-Aug	200.00	4.0
OSS CAPITAL/KEVIN DANN	067	COF	B	50,000	64.79	n/a	n/a	1,500.00	3.0
BRIGHTPOINT CAP	067	COH	B	15,000	40.56	n/a	29-Aug	750.00	5.0
BUCK CAPITAL MGMT	001	COH	B	20,000	41.30	n/a	29-Aug	800.00	4.0
COLUMBUS CIRCLE	036	COH	S	34,782	40.58	n/a	29-Aug	1,391.28	4.0
COUGAR TRADING	044	COH	B	5,000	41.15	n/a	29-Aug	250.00	5.0
TRELLUS PARTNERS LP	028	COH	B	30,000	40.71	n/a	29-Aug	1,500.00	5.0
LISTED ERR	099	COH	B	5,000	40.99	n/a	29-Aug	0.00	0.0
LISTED ERR	099	COH	S	5,000	40.99	n/a	29-Aug	0.00	0.0
CHILTON CAPITAL MGMT.	036	COP	C	41,539	80.62	n/a	n/a	1,661.56	4.0
MATTHES CAPITAL MGMT	033	CWTR	SS	5,000	17.15	n/a	n/a	200.00	4.0
CAVU CAP ADV	028	DELL	S	15,000	27.37	n/a	n/a	750.00	5.0
JP MORGAN VENTURES	046	DPZ	B	20,000	17.11	n/a	24-Apr	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	DSW	S	120,000	29.92	2-Aug	10-Aug	4,800.00	4.0
IRIDIAN ASSET MGMT.	036	DVN	B	8,390	74.18	18-Jul	20-Jul	419.50	5.0
CLOVIS CAPITAL	012	EL	SS	215,000	41.28	16-Aug	16-Aug	10,750.00	5.0
METROPOLITAN CAPITAL	044	EL	S	43,400	41.47	16-Aug	16-Aug	2,170.00	5.0
SAGE ASSET MGMT	012	EV	SS	47,400	37.19	23-May	24-Aug	2,370.00	5.0
PATTEN & PATTEN	067	EWG	S	500	31.30	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	EWH	B	1,100	17.83	n/a	n/a	55.00	5.0
PATTEN & PATTEN	067	EWJ	S	2,000	13.78	n/a	n/a	100.00	5.0
MATTHES CAPITAL MGMT	033	FDO	SS	15,000	27.76	9-Aug	10-Aug	600.00	4.0
MUHLENKAMP FUND	046	FNF	S	133,600	18.03	n/a	n/a	6,680.00	5.0
BUCK CAPITAL MGMT	001	GCO	S	10,000	49.98	n/a	10-Jan	400.00	4.0
HAYGROUND COE	039	HD	S	100,000	36.53	n/a	27-Jul	5,000.00	5.0
WILLIAM BLAIR	031	HWAY	S	1,600	48.72	n/a	n/a	64.00	4.0
PATTEN & PATTEN	067	IAI	B	325	48.39	n/a	n/a	16.25	5.0
IRIDIAN ASSET MGMT.	036	INTC	S	271,660	24.71	n/a	n/a	13,583.00	5.0
IRONBOUND CAPITAL	012	IP	SS	4,800	34.50	4-May	20-Aug	240.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SAGE ASSET MGMT	012	JPM	B	75,900	43.60	n/a	16-Aug	3,795.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	10,000	47.81	12-Jul	17-Aug	400.00	4.0
MAZAMA CAPITAL MGMT.	035	KNL	S	66,348	18.55	n/a	n/a	2,653.92	4.0
GALLEON MGMT LP	033	LEH	C	70,000	54.03	12-Jun	16-Aug	3,500.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	33.88	n/a	29-Aug	400.00	4.0
WILLIAM BLAIR	031	LLTC	S	2,000	34.01	n/a	n/a	80.00	4.0
METROPOLITAN CAPITAL	044	LTD	B	121,200	22.46	16-May	24-Aug	6,060.00	5.0
VENTANA CAPITAL	060	LTD	SS	7,500	22.37	16-May	24-Aug	300.00	4.0
OSS CAPITAL/KEVIN DANN	067	LXK	B	150,000	37.05	n/a	n/a	4,500.00	3.0
SAXON WOODS ADVISORS	038	MAN	B	10,000	70.56	n/a	n/a	500.00	5.0
CAVU CAP ADV	028	MOT	SS	30,000	16.32	n/a	n/a	1,500.00	5.0
GALLEON MGMT LP	033	MO	S	5,000	69.31	n/a	n/a	250.00	5.0
SAGE ASSET MGMT	012	NCS	SS	52,400	45.25	n/a	n/a	2,620.00	5.0
LATEFF MGMT	040	NOK	S	1,500	31.81	n/a	n/a	300.00	20.0
SAXON WOODS ADVISORS	038	NOK	B	15,000	31.94	n/a	n/a	750.00	5.0
COUGAR TRADING	044	NWY	B	20,000	6.50	25-May	24-Aug	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CLOVIS CAPITAL	012	OMC	B	75,000	49.94	n/a	n/a	3,750.00	5.0
BALYASNY ASSET MGMT	044	PBG	B	25,000	34.62	n/a	n/a	1,250.00	5.0
WILLIAM BLAIR	031	PDFS	S	2,000	9.47	n/a	n/a	80.00	4.0
COUGAR TRADING	044	PSUN	B	5,000	13.58	n/a	29-Aug	250.00	5.0
COUGAR TRADING	044	PSUN	SS	5,000	13.01	n/a	29-Aug	250.00	5.0
PILOT ROCK	028	QCOM	B	409,000	38.56	n/a	n/a	16,360.00	4.0
IRIDIAN ASSET MGMT.	036	RIG	B	7,300	102.90	n/a	n/a	365.00	5.0
TRELLUS PARTNERS LP	028	RL	B	5,000	73.04	9-Aug	29-Aug	250.00	5.0
GRACIE CAPITAL	028	RTH	B	125,000	98.89	n/a	n/a	6,250.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	195,000	19.54	n/a	13-Mar	7,800.00	4.0
BALYASNY ASSET MGMT	044	SLGN	B	5,000	50.27	n/a	n/a	250.00	5.0
CAVU CAP ADV	028	SNDK	SS	30,000	52.90	n/a	n/a	1,500.00	5.0
DOWNTOWN ASSOC	033	SPY	C	50,000	144.45	n/a	n/a	1,750.00	3.5
SAGE ASSET MGMT	012	STI	B	74,400	78.38	n/a	n/a	3,720.00	5.0
SAGE ASSET MGMT	012	STT	SS	44,200	60.65	n/a	n/a	2,210.00	5.0
MAGNETAR FINANCIAL	247	SUSS	S	20,500	17.00	n/a	n/a	820.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	247	SUSS	S	52	17.00	n/a	n/a	0.00	0.0
MORGAN LEWIS/MLH	046	TEN	S	30,000	30.08	n/a	n/a	1,500.00	5.0
CAVU CAP ADV	028	TGT	SS	10,000	61.94	n/a	27-Jul	500.00	5.0
PLATTE RIVER CAPITAL	240	TIN	B	6,300	54.13	25-Jul	20-Aug	252.00	4.0
PRINCIPLE CAPITAL	040	TPX	S	49,000	28.30	n/a	n/a	1,470.00	3.0
OSS CAPITAL/KEVIN DANN	067	TYC	B	150,000	43.31	6-Aug	7-Aug	4,500.00	3.0
JP MORGAN VENTURES	046	URBN	C	20,000	21.60	31-Jul	10-Aug	1,000.00	5.0
WHITE PINE CAPITAL	030	VLCM	SS	1,000	37.32	n/a	n/a	50.00	5.0
TRIBECA/DOUG	040	WABC	SS	25,000	47.76	n/a	n/a	1,000.00	4.0
MORGAN BANK /JPM	012	WBC	B	87,767	44.70	n/a	14-Aug	2,633.01	3.0
NEW JERSEY DIV OF INV.	220	WDC	B	80,000	22.74	n/a	n/a	3,200.00	4.0
GRACIE CAPITAL	028	WSM	B	110,000	32.04	n/a	n/a	5,500.00	5.0
JP MORGAN VENTURES	046	WSM	C	20,000	32.23	n/a	n/a	1,000.00	5.0
PRINCIPLE CAPITAL	040	XMSR	S	74,700	11.73	n/a	n/a	2,241.00	3.0
SAGE ASSET MGMT	012	ZION	SS	80,600	72.19	n/a	n/a	4,030.00	5.0
BUCK CAPITAL MGMT	001	ZQK	B	90,000	13.26	n/a	29-Aug	3,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	ZQK	B	10,000	12.90	n/a	29-Aug	500.00	5.0
TOTAL (Trade Date) :								198,859.52	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/28	ASCEND PARTNERS (ASCEMSPB)	033	AFFX	SS	1,532	23.05	n/a	n/a	76.60 5.0
AO,	08/28	ASCEND PARTNERS (ASCEBANC O)	033	AFFX	SS	333	23.05	n/a	n/a	16.65 5.0
AO,	08/28	ASCEND PARTNERS (ASCEML)	033	AFFX	SS	45	23.05	n/a	n/a	2.25 5.0
AO,	08/28	ASCEND PARTNERS (ASCECITI)	033	AFFX	SS	190	23.05	n/a	n/a	9.50 5.0
AO,CXL	08/28	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	ANF	S	65,000	77.77	23-Aug	23-Aug	2600.00 4.0
AO,CRT	08/28	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	ANF	S	65,000	77.77	23-Aug	23-Aug	2600.00 4.0
AO,CXL	08/28	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	DSW	S	87,800	29.95	2-Aug	10-Aug	0.00 0.0
AO,CXL	08/28	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	DSW	S	87,800	29.95	2-Aug	10-Aug	3512.00 4.0
CRT	08/29	COUGAR TRADING (COUGPB MS)	044	PSUN	SS	5,000	13.01	n/a	29-Aug	250.00 5.0
CRT	08/29	TRIBECA/DOUG (TRIDMS)	040	WABC	SS	25,000	47.76	n/a	n/a	1000.00 4.0
CRT	08/29	SAGE ASSET MGMT (SAGEBANC O)	012	ZION	SS	80,600	72.19	n/a	n/a	4030.00 5.0
TOTAL (AO, CXL, CRT) :										1,873.00
TOTAL (Trade Date) :										198,859.52
TOTAL (AO, CXL, CRT) :										1,873.00
TOTAL (RUN DATE) / NFS :										200,732.52

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION