



## Daily Compliance Activity Report

Trade Date: 07/25/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RAINIER INV MGMT	035	ACC	S	7,100	28.75	n/a	n/a	355.00	5.0
RHO CAPITAL MGMT	041	ACPW	B	11,200	0.81	n/a	n/a	112.00	1.0
COUGAR TRADING	044	AEO	S	40,000	14.35	6-Mar	16-Jul	2,000.00	5.0
CHILTON CAPITAL MGMT.	036	AIZ	C	16,000	63.21	n/a	n/a	640.00	4.0
CATAPULT	040	ALB	B	21,441	36.42	n/a	n/a	1,072.05	5.0
TRELLUS PARTNERS LP	028	ALV	B	36,500	37.49	23-Jul	23-Jul	1,825.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF+HL</b>	<b>SS</b>	<b>1,000</b>	<b>1.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>120,000</b>	<b>55.38</b>	<b>10-Jul</b>	<b>11-Jul</b>	<b>4,800.00</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	B	7,500	54.35	10-Jul	11-Jul	375.00	5.0
DENVER INST. ADV	046	ANF	S	218,200	55.66	10-Jul	11-Jul	8,728.00	4.0
NEUBERGER BERMAN	036	ANF	S	237,050	54.66	10-Jul	11-Jul	10,667.25	4.5
BALYASNY ASSET MGMT	044	AN	B	20,298	10.32	25-Jul	25-Jul	1,014.90	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AN</b>	<b>SS</b>	<b>150,000</b>	<b>10.43</b>	<b>25-Jul</b>	<b>25-Jul</b>	<b>6,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AN</b>	<b>SS</b>	<b>75,000</b>	<b>10.24</b>	<b>25-Jul</b>	<b>25-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>KARPNANCY</b>	<b>023</b>	<b>ARGN</b>	<b>B</b>	<b>500</b>	<b>7.68</b>	<b>24-Jun</b>	<b>17-Jul</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ARO	B	15,000	31.80	28-Apr	11-Jul	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AXE</b>	<b>S</b>	<b>1,700</b>	<b>67.40</b>	<b>28-Mar</b>	<b>23-Jul</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BUCK CAPITAL MGMT</b>		<b>AXE</b>	<b>S</b>	<b>29,800</b>	<b>67.44</b>	<b>28-Mar</b>	<b>23-Jul</b>	<b>non-brg</b>	<b>1.0</b>
BULL PATH CAPITAL	044	BARE	S	42,500	16.68	n/a	n/a	2,125.00	5.0
MAIRS AND POWER INV	030	BAX	S	10,000	68.28	n/a	22-Apr	500.00	5.0
BALYASNY ASSET MGMT	044	BIG	B	25,000	28.45	29-May	11-Jul	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>SS</b>	<b>30,000</b>	<b>28.22</b>	<b>29-May</b>	<b>11-Jul</b>	<b>1,200.00</b>	<b>4.0</b>
LEVIN CAPITAL STRATEGIES	012	BIG	B	25,000	28.22	29-May	11-Jul	1,000.00	4.0
BMO NESBITT BURNS	041	BWA	SS	25,000	41.24	10-Jun	17-Jul	1,250.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>BWS</b>	<b>C</b>	<b>1,500</b>	<b>16.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>		<b>CHUX</b>	<b>SS</b>	<b>11,895</b>	<b>11.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MS PRINCIPAL STRATEGIES	041	CLMS	SS	376	18.50	18-Jul	22-Jul	11.28	3.0
COUGAR TRADING	044	COH	S	5,000	26.87	4-Apr	18-Jul	250.00	5.0
GLG PARTNERS	034	COH	B	25,000	26.70	4-Apr	18-Jul	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>		<b>CPKI</b>	<b>SS</b>	<b>20,000</b>	<b>12.95</b>	<b>10-Jul</b>	<b>10-Jul</b>	<b>non-brg</b>	<b>4.0</b>
CATAPULT	040	CSX	B	9,870	63.18	n/a	n/a	493.50	5.0
<b>BUCK CAPITAL MGMT</b>		<b>DAI</b>	<b>SS</b>	<b>20,000</b>	<b>60.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CATAPULT	040	DBRN	B	100,000	15.18	n/a	n/a	4,000.00	4.0
<b>BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>S</b>	<b>5,000</b>	<b>117.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	DNA	S	20,000	95.50	n/a	n/a	900.00	4.5
CATAPULT	040	DOV	SS	16,659	49.15	n/a	n/a	832.95	5.0
CATAPULT	040	ERTS	B	11,400	47.66	n/a	n/a	456.00	4.0
CATAPULT	040	FAST	SS	13,473	47.88	n/a	n/a	673.65	5.0
JP MORGAN VENTURES	046	FOSL	S	35,000	27.44	n/a	9-Jul	1,750.00	5.0
BALYASNY ASSET MGMT	044	F	B	15,000	5.25	25-Jul	25-Jul	600.00	4.0
CATAPULT	040	GD	SS	23,228	88.69	n/a	n/a	1,161.40	5.0
⌘ BUCK CAPITAL MGMT		GIL	S	100,000	25.51	30-Apr	18-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	150,000	25.48	30-Apr	18-Jul	non-brg	2.0
⌘ BUCK CAPITAL MGMT		GIL	S	220,000	25.46	30-Apr	18-Jul	non-brg	1.0
WEISS PECK	040	GIL	B	14,900	25.53	30-Apr	18-Jul	745.00	5.0
BALYASNY ASSET MGMT	044	GNTX	SS	10,000	15.42	24-Jun	23-Jul	500.00	5.0
TRELLUS PARTNERS LP	028	GNTX	SS	5,000	15.40	24-Jun	23-Jul	250.00	5.0
COUGAR TRADING	044	GPS	S	15,000	16.65	n/a	11-Jul	750.00	5.0
⌘ BUCK CAPITAL MGMT		GRMN	SS	100,000	47.12	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	GYMB	S	10,000	38.23	11-Jul	11-Jul	500.00	5.0
COUGAR TRADING	044	GYMB	S	10,000	38.91	11-Jul	11-Jul	500.00	5.0
⌘ BUCK CAPITAL MGMT		HAS	C	20,000	41.17	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>N STRATTON</b>	<b>014</b>	<b>HEMGF</b>	<b>B</b>	<b>200</b>	<b>4.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	031	HRS	B	4,075	51.17	2-Jun	2-Jun	203.75	5.0
CATAPULT	040	HRS	B	12,774	51.19	2-Jun	2-Jun	638.70	5.0
ADALY INV MGMT	067	IP	B	10,000	23.32	16-Jul	16-Jul	450.00	4.5
CATAPULT	040	IP	B	16,400	23.48	16-Jul	16-Jul	820.00	5.0
PROSPECTOR PARTNERS LLC	036	IVZ	B	12,600	22.07	24-Jul	24-Jul	504.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>JADE</b>	<b>S</b>	<b>3,940</b>	<b>1.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WILLIAM BLAIR	031	JBHT	B	13,370	36.32	n/a	n/a	534.80	4.0
COUGAR TRADING	044	JCG	B	13,000	30.48	n/a	30-May	650.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>10,000</b>	<b>23.78</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	JWN	S	20,000	29.18	10-Jul	11-Jul	1,000.00	5.0
<b>COLE</b>	<b>N24</b>	<b>KRY</b>	<b>B</b>	<b>800</b>	<b>0.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	KSS	B	5,000	42.79	n/a	17-Jul	250.00	5.0
COUGAR TRADING	044	KSS	S	5,000	43.80	n/a	17-Jul	250.00	5.0
JP MORGAN VENTURES	046	KSS	B	25,000	43.70	n/a	17-Jul	1,250.00	5.0
CATAPULT	040	LECO	B	9,825	79.35	n/a	n/a	491.25	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LEG+XC</b>	<b>SS</b>	<b>1,000</b>	<b>0.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		LEG+XW	SS	1,000	1.35	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		LEH	S	3,500	17.13	9-Jun	14-Jul	non-brg	4.0
LEDDY	035	LEH	B	700	17.25	9-Jun	14-Jul	0.00	0.0
CATAPULT	040	LLL	SS	19,706	93.74	n/a	n/a	985.30	5.0
JMP ASSET MGMT	210	LYV	SS	4,500	12.65	n/a	n/a	225.00	5.0
CENTURION PARTNERS	255	MGM	B	40,000	27.97	n/a	7-May	2,000.00	5.0
B BUCK CAPITAL MGMT		MOT	C	40,000	7.28	n/a	n/a	non-brg	4.0
CATAPULT	040	NCR	B	31,146	26.81	n/a	n/a	1,557.30	5.0
BUCK CAPITAL MGMT	001	NWY	SS	20,000	9.29	n/a	22-May	800.00	4.0
BASIX	033	PKG	B	17,000	25.10	22-Jul	22-Jul	680.00	4.0
BRENCOURT	028	PNC	SS	30,000	67.34	n/a	n/a	1,500.00	5.0
N STRATTON	014	PNWIF	B	300	3.20	n/a	n/a	0.00	0.0
CATAPULT	040	PPG	SS	13,779	60.73	n/a	17-Jul	688.95	5.0
B BUCK CAPITAL MGMT		PSUN	S	100,000	8.38	n/a	11-Jul	non-brg	4.0
ZOLIDIS	011	QUKTD	S	6	9.60	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	Q	B	10,000	3.63	n/a	n/a	600.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HUNTER GLOBAL INVESTOR	067	RIG	S	34,000	133.03	n/a	n/a	1,700.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>S</b>	<b>40,000</b>	<b>60.71</b>	<b>7-Feb</b>	<b>25-Jul</b>	<b>1,600.00</b>	<b>4.0</b>
COUGAR TRADING	044	RL	S	15,000	60.77	7-Feb	25-Jul	750.00	5.0
RBC CAPITAL MARKETS	034	ROST	B	10,000	37.66	n/a	n/a	400.00	4.0
<b>MENCKE</b>	<b>055</b>	<b>RTIX</b>	<b>B</b>	<b>25</b>	<b>8.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	SAH	S	6,682	10.30	n/a	17-Jul	334.10	5.0
COUGAR TRADING	044	SSO	S	5,000	59.52	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	SUP	B	700	17.27	25-Jul	25-Jul	35.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>SUP</b>	<b>SS</b>	<b>50,000</b>	<b>17.30</b>	<b>25-Jul</b>	<b>25-Jul</b>	<b>non-brg</b>	<b>1.0</b>
<b>LEDDY</b>	<b>035</b>	<b>TEX</b>	<b>S</b>	<b>600</b>	<b>49.11</b>	<b>24-Jul</b>	<b>24-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>THI</b>	<b>SS</b>	<b>20,000</b>	<b>27.39</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>THI</b>	<b>SS</b>	<b>50,000</b>	<b>27.53</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>4.0</b>
CASTLEROCK	040	TIF	SS	38,900	37.23	n/a	2-Jun	1,556.00	4.0
CATAPULT	040	TIN	B	15,000	13.95	29-Apr	11-Jul	750.00	5.0
COUGAR TRADING	044	TIN	B	5,000	13.70	29-Apr	11-Jul	250.00	5.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	30,000	13.79	29-Apr	11-Jul	1,500.00	5.0
HIGHBRIDGE CAPITAL	038	TIN	B	16,806	13.65	29-Apr	11-Jul	840.30	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TQQ+UG</b>	<b>SS</b>	<b>2,000</b>	<b>1.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	TROW	B	14,500	55.21	25-Jul	25-Jul	725.00	5.0
CUMBERLAND	038	TSCO	SS	25,000	37.76	n/a	n/a	1,000.00	4.0
KENNEDY CAPITAL	046	TSFG	B	50,000	5.41	n/a	n/a	1,500.00	3.0
CATAPULT	040	TTC	B	21,192	33.79	n/a	n/a	1,059.60	5.0
WAGONHOUND/NIC	048	URBN	S	368	30.96	15-May	9-Jul	14.72	4.0
<b>KERN</b>	<b>050</b>	<b>WBTB</b>	<b>B</b>	<b>30</b>	<b>0.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>WFMI</b>	<b>SS</b>	<b>50,000</b>	<b>22.92</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>KORMAN</b>	<b>003</b>	<b>WFR</b>	<b>B</b>	<b>3,000</b>	<b>42.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>WMT+HL</b>	<b>SS</b>	<b>3,000</b>	<b>0.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>WMT</b>	<b>B</b>	<b>100,000</b>	<b>57.11</b>	<b>30-Jun</b>	<b>11-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>WMT</b>	<b>B</b>	<b>300,000</b>	<b>57.02</b>	<b>30-Jun</b>	<b>11-Jul</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	WMT	B	5,000	57.10	30-Jun	11-Jul	250.00	5.0
JP MORGAN VENTURES	046	WMT	B	15,000	57.51	30-Jun	11-Jul	750.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>200,000</b>	<b>29.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>566,600</b>	<b>30.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
COUGAR TRADING	044	ZQK	SS	6,400	8.40	n/a	9-Jul	256.00	4.0
ROSEDALE CAPITAL	046	ZQK	B	25,000	8.07	n/a	9-Jul	1,000.00	4.0

---

**TOTAL (Trade Date):**

**93,887.75**

---



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/24	TRELLUS PARTNERS LP	028	F	SS	76,000	5.39	25-Jul	25-Jul	2280.00 3.0
AO,	07/24	BASIX	033	FL	S	28,000	14.28	2-Apr	9-Jul	1120.00 4.0
AO,	07/24	UBS OCONNOR	031	JNS	S	125,000	30.35	25-Jul	25-Jul	3750.00 3.0
AO,CXL	07/23	NEUBERGER BERMAN	036	ME	S	53,600	27.32	n/a	n/a	2412.00 4.5
AO,CRT	07/23	NEUBERGER BERMAN	036	ME	S	53,600	27.32	n/a	n/a	2278.00 4.3
AO,	07/24	BAYPOINT TRADING/XYLEM	091	SPP	B	50,000	10.00	n/a	n/a	2000.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>9,016.00</b>

TOTAL (Trade Date):	93,887.75
TOTAL (AO, CXL, CRT):	9,016.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>102,903.75</b>
--------------------------------	-------------------

MRI Securities with Trade Activity on 25-Jul.  
**AN, F, SUP, TROW**

MRI Securities without Trade Activity on 25-Jul.  
**ITT, JNS**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 16%

YTD = 17%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**