



Daily Compliance Activity Report

Trade Date: 05/26/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	AAPL	B	100	251.87	n/a	n/a	4.00	4.0
TRUSTCO/SUNTRUST	040	ACV	B	25,612	27.56	n/a	26-Apr	998.89	3.9
BALYASNY ASSET MGMT	044	AEO	B	100,000	13.89	26-May	26-May	5,000.00	5.0
⌘ BUCK CAPITAL MGMT		AEO	S	130,000	12.96	26-May	26-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	150,000	12.83	26-May	26-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	150,000	12.83	26-May	26-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	150,000	12.89	26-May	26-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	150,000	13.51	26-May	26-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	247,500	12.99	26-May	26-May	non-brg	0.8
⌘ BUCK CAPITAL MGMT		AEO	S	400,000	12.93	26-May	26-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	400,000	13.03	26-May	26-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	50,000	13.33	26-May	26-May	non-brg	4.0
CASTLEROCK	040	AEO	B	173,269	13.86	26-May	26-May	6,930.76	4.0
EXIS CAPITAL	044	AEO	B	40,000	13.98	26-May	26-May	2,000.00	5.0
TROUBH PART	067	AMGN	B	25,000	51.07	n/a	n/a	1,250.00	5.0
WINSLOW CAPITAL	030	AMZN	B	400	125.36	n/a	n/a	16.00	4.0
SCHOTTENFELD ASSOC	041	APC	B	25,000	53.87	n/a	4-May	750.00	3.0
⌘ BUCK CAPITAL MGMT		ARO	B	20,000	27.58	n/a	21-May	non-brg	4.0
EXIS CAPITAL	044	ARO	B	800	28.40	n/a	21-May	40.00	5.0
BMO NESBITT BURNS	041	AXL	S	8,000	9.15	n/a	3-May	320.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LAGRANGE CAP ADV	048	AXL	B	40,000	8.75	n/a	3-May	2,000.00	5.0
ROTHSCHILD ASSET	040	BGC	S	5,530	30.44	n/a	n/a	221.20	4.0
B BUCK CAPITAL MGMT		BKS	SS	20,000	19.07	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BONT	S	17,111	13.03	n/a	n/a	non-brg	0.7
WINSLOW CAPITAL	030	BRCM	B	1,200	33.11	n/a	n/a	48.00	4.0
B BUCK CAPITAL MGMT		BWS	S	20,000	16.57	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	BWS	B	100	17.99	n/a	n/a	0.00	0.0
BROADARCH CAP/OLD NEWC	070	BYI	B	3,200	41.03	n/a	n/a	128.00	4.0
JP MORGAN VENTURES	046	CEPH	B	5,000	57.77	n/a	24-May	250.00	5.0
f WILLIAM J GAULT	FID	CLH	S	1000	63.05	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	CMA	B	10,348	38.49	n/a	n/a	413.92	4.0
B BUCK CAPITAL MGMT		CROX	B	30,000	9.60	n/a	n/a	non-brg	3.0
MELLON/BANK OF NEW YORK	046	CSCO	S	10,610	22.97	n/a	n/a	530.50	5.0
WINSLOW CAPITAL	030	CSCO	B	200	23.61	n/a	n/a	8.00	4.0
f DAVID GEORGE BUCK	FID	CS	B	4000	37.96	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	CTSH	B	300	50.48	n/a	n/a	12.00	4.0
f KAREN F LEDDY	FID	CVGI	B	2500	11.11	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f KAREN F LEDDY	FID	C	B	2500	3.90	n/a	21-May	0.00	0.0
EXIS CAPITAL	044	DAN	S	20,000	10.39	n/a	30-Apr	1,000.00	5.0
SCOPUS FUND	041	DBRN	SS	10,900	26.82	n/a	n/a	545.00	5.0
SCOPUS FUND	041	DBRN	S	25,919	26.65	n/a	n/a	1,295.95	5.0
BENNETT LAWRENCE	028	DGIT	B	15,050	43.44	n/a	n/a	602.00	4.0
CASTLEROCK	040	DG	SS	54,675	30.47	n/a	26-Apr	2,187.00	4.0
HIGHBRIDGE CAP	041	DO	S	1,300	69.52	n/a	n/a	52.00	4.0
SENATOR INVESTMENT GROUP	036	EEM	B	75,000	37.20	n/a	n/a	2,250.00	3.0
JP MORGAN VENTURES	046	EEP	B	10,000	48.50	n/a	n/a	500.00	5.0
BROADARCH CAP/OLD NEWC	070	EL	B	2,100	57.96	n/a	17-May	84.00	4.0
FIRST NEW YORK LEDDY	035	ETFC	S	20,000	1.47	n/a	n/a	800.00	4.0
HIGHBRIDGE CAP	041	FBR	S	74,989	15.59	n/a	n/a	2,999.56	4.0
CASTLEROCK	040	FDO	SS	26,717	41.54	n/a	7-Apr	1,068.68	4.0
f CHRISTOPHER ROBERT C	FID	FOR	B	200	18.06	n/a	10-May	0.00	0.0
f WILLIAM J GAULT	FID	FOR	S	1000	17.59	n/a	10-May	0.00	0.0
B BUCK CAPITAL MGMT		FOSL	S	20,000	36.48	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAP	041	FTI	B	8,310	60.63	n/a	n/a	332.40	4.0
OZ MGMT	040	F	SS	107,142	11.73	n/a	4-May	3,214.26	3.0
B BUCK CAPITAL MGMT		GES	B	28,000	35.84	n/a	14-May	non-brg	2.0
B BUCK CAPITAL MGMT		GES	B	50,000	36.67	n/a	14-May	non-brg	4.0
NEUBERGER BERMAN	036	GTLS	S	28,707	18.38	n/a	n/a	1,148.28	4.0
B BUCK CAPITAL MGMT		GYMB	B	22,600	44.17	n/a	20-May	non-brg	2.5
FRONTIER	048	HSP	B	91,070	51.38	n/a	n/a	3,642.80	4.0
STATE TEACHERS RET SYS	047	HST	B	10,000	13.75	n/a	n/a	500.00	5.0
BROADARCH CAP/OLD NEWC	070	IGT	B	7,500	19.00	n/a	23-Apr	300.00	4.0
ADALY INV MGMT	031	ILF	SS	25,000	41.29	n/a	n/a	1,250.00	5.0
f MARC PAUL LUCHANSKY	FID	INTC	B	1000	21.20	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	IP	B	34,467	22.94	n/a	29-Apr	1,378.68	4.0
T. ROWE PRICE	033	IP	B	62,400	22.99	n/a	29-Apr	2,496.00	4.0
HARBORWATCH/DIAMBACK	232	IR	B	15,000	36.06	n/a	23-Apr	750.00	5.0
B BUCK CAPITAL MGMT		JACK	S	10,000	22.05	n/a	n/a	non-brg	2.5
BALYASNY ASSET MGMT	044	JCG	C	20,000	42.81	n/a	n/a	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		JCG	B	30,000	42.26	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	046	JNPR	B	12,835	26.17	n/a	n/a	641.75	5.0
B BUCK CAPITAL MGMT		JNY	B	10,000	19.31	n/a	14-May	non-brg	4.0
EXIS CAPITAL	044	JNY	B	30,000	19.11	n/a	14-May	1,500.00	5.0
f CHRISTOPHER ROBERT C	FID	KSS	B	100	50.15	n/a	14-May	0.00	0.0
EXIS CAPITAL	044	KSS	B	5,000	50.55	n/a	14-May	250.00	5.0
PZENA INV ADV	044	LH	B	16,075	75.82	n/a	n/a	562.63	3.5
JET CAPITAL/CHRIS	041	ME	B	10,000	21.70	n/a	n/a	300.00	3.0
JET CAPITAL/CHRIS	041	ME	S	30,000	21.84	n/a	n/a	900.00	3.0
B BUCK CAPITAL MGMT		MFB	B	10,000	22.95	n/a	n/a	non-brg	4.0
f BARBARA S LEDDY	FID	MGA	B	500	68.07	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	MGA	B	200	68.73	n/a	n/a	0.00	0.0
HEALTHCOR MGMT	219	MKC	C	100,000	38.34	n/a	n/a	5,000.00	5.0
WINSLOW CAPITAL	030	MRVL	B	1,800	19.22	n/a	n/a	72.00	4.0
FIRST NY SEC/CHRIS	041	MSFT	B	25,000	26.08	n/a	n/a	1,250.00	5.0
WADDELL AND REED	047	MSFT	S	1,000,000	26.28	n/a	n/a	30,000.00	3.0
f KAREN F LEDDY	FID	MS	B	300	26.60	n/a	21-May	0.00	0.0
T. ROWE PRICE	033	MYL	S	32,600	19.53	n/a	24-May	1,304.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	M	B	5,000	21.70	n/a	13-May	250.00	5.0
TPG-AXON	040	ORCL	B	400,000	22.51	n/a	n/a	20,000.00	5.0
WINSLOW CAPITAL	030	ORCL	B	1,200	22.53	n/a	n/a	48.00	4.0
f CHRISTOPHER ROBERT C	FID	OZM	B	300	14.51	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	PAG	B	25,000	13.14	n/a	3-May	1,250.00	5.0
CIRCLE T/SES PART	035	PAG	B	80,000	13.06	n/a	3-May	3,200.00	4.0
LAGRANGE CAP ADV	048	PAG	B	30,000	12.82	n/a	3-May	1,500.00	5.0
WOOSTER CAPITAL	035	PAG	B	100,000	12.92	n/a	3-May	5,000.00	5.0
f CHRISTOPHER ROBERT C	FID	PCAR	B	150	41.05	n/a	30-Apr	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PCAR	B	200	41.17	n/a	30-Apr	0.00	0.0
STATE TEACHERS RET SYS	047	PEP	S	146,000	62.05	n/a	n/a	7,300.00	5.0
BMO NESBITT BURNS	041	PKG	SS	4,000	21.19	n/a	20-Apr	200.00	5.0
B BUCK CAPITAL MGMT		QKLS	S	200,000	5.04	n/a	n/a	non-brg	3.0
FIRST NEW YORK NICK	029	Q	S	10,000	5.17	n/a	n/a	500.00	5.0
BROADARCH CAP/OLD NEWC	070	RCL	B	2,000	28.63	n/a	n/a	80.00	4.0
STATE TEACHERS RET SYS	047	RIG	S	30,000	59.01	n/a	n/a	1,500.00	5.0
BUCK CAPITAL MGMT	001	RL	B	10,000	86.57	n/a	20-May	400.00	4.0
WINSLOW CAPITAL	030	SHPGY	B	1,100	58.78	n/a	26-May	44.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASEY CAPITAL	067	STX	B	10,000	15.82	n/a	n/a	400.00	4.0
CRAMER ROSENTHAL/SCOTT	012	STX	S	238,700	15.71	n/a	n/a	9,548.00	4.0
STATE TEACHERS RET SYS	047	TCO	B	6,000	38.62	n/a	n/a	300.00	5.0
BMO NESBITT BURNS	041	TIN	B	9,000	20.25	n/a	21-Apr	450.00	5.0
COUGAR TRADING	044	TM	SS	5,000	71.97	n/a	n/a	250.00	5.0
ROTHSCHILD ASSET	040	TSN	B	20,421	17.03	n/a	n/a	816.84	4.0
BUCK CAPITAL MGMT	001	UA	SS	5,500	33.77	n/a	28-Apr	220.00	4.0
HIGHBRIDGE CAP	041	UPL	S	5,714	42.78	n/a	27-Apr	228.56	4.0
B BUCK CAPITAL MGMT		URBN	B	10,000	35.48	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	B	28,300	35.49	n/a	n/a	non-brg	2.0
WINSLOW CAPITAL	030	URBN	B	900	37.00	n/a	n/a	36.00	4.0
BMO NESBITT BURNS	041	USG	SS	2,000	17.66	n/a	22-Apr	100.00	5.0
f KAREN F LEDDY	FID	VXX	B	500	29.45	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	WRC	SS	7,500	42.05	n/a	14-May	375.00	5.0
LEVIN CAPITAL STRATEGIES	012	WRC	B	25,000	42.15	n/a	14-May	750.00	3.0
B BUCK CAPITAL MGMT		WSM	SS	30,000	28.53	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAP	041	XEC	B	3,784	68.35	n/a	n/a	151.36	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		XRT	C	180,000	39.86	n/a	n/a	non-brg 2.0
TOTAL (Trade Date):							146,996.02	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CRT	05/25	SOLARIS INV MGMT	029	ADM	S	200	24.38	n/a 10-May	10.00 5.0
AO,CXL	05/25	SOLARIS INV MGMT	029	ADM	S	200	24.38	n/a 10-May	10.00 5.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	146,996.02
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	146,996.02
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MRI Securities with Trade Activity on 26-May.

AEO

MRI Securities without Trade Activity on 26-May.

PVH

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 1%

YTD = 8%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)