



RR Commissions Summary [Michael Smith]

Trade Date: 12/31/2012

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
EAGLE ASSET MGMT. (FLORIDA)	093	0	0	4,944	9,222	17,000	17,000	37,000	17,000	21,944	46,222	0	0
FIDELITY/M. SMITH (FLORIDA)	093	0	0	0	0	0	5,963	5,963	0	5,963	5,963	0	0
OTTER CREEK MGMT	093	0	0	5,313	5,313	0	0	3,000	0	5,313	8,313	0	0
RBC GLOBAL ASSET MGMT	093	0	0	0	0	3,750	3,750	15,000	3,750	3,750	15,000	0	0
SPARTAN	093	0	0	2,307	7,062	0	0	0	0	2,307	7,062	0	0
WEDGE CAPITAL	093	0	0	1,264	2,977	0	0	0	0	1,264	2,977	0	0
WELLSPRING CAPITAL	093	0	0	5,234	10,434	0	0	0	0	5,234	10,434	0	0
TOTALS:		0	0	19,062	35,007	20,750	26,713	60,963	20,750	45,774	95,970		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
FIR TREE CAPITAL	270	0	0	0	0	0	0	16,500	0	0	16,500	0	0
GOLDMAN SACHS ASSET MGMT	098	0	0	0	0	0	0	0	0	0	0	49,000	0
MANNING & NAPIER	035	0	0	0	0	0	54,375	108,750	0	54,375	108,750	53,741	202
MANULIFE ASSET MGMT.	294	0	0	0	0	0	16,200	16,200	0	16,200	16,200	0	0
PERIMETER	273	0	0	9,990	27,272	0	0	28,680	0	9,990	55,952	57,360	50
TRUSTCO/SUNTRUST (CEREDEX)	273	0	0	30,014	116,872	0	5,699	33,327	0	35,713	150,199	0	0
TOTALS:		0	0	40,004	144,144	0	76,274	203,457	0	116,278	347,601		

Report created by Michael Smith on 11/11/2013 at 09:07:49am from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 8.0; Windows NT 6.1; Trident/4.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E; Tablet PC 2.0)