



Daily Compliance Activity Report

Trade Date: 07/24/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		AAPL	S	15,000	137.00	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		AAPL	S	5,000	135.70	n/a	n/a	non-brg 5.0
AMBER CAPITAL	046	AA	B	250,000	42.03	n/a	n/a	10,000.00 4.0
BUCK CAPITAL MGMT		AEO	S	100,000	26.77	10-Jul	13-Jul	non-brg 5.0
SARAS CAPITAL	038	AEO	S	112,600	27.03	10-Jul	13-Jul	5,630.00 5.0
CAXTON PART	040	AIG	S	170,296	67.85	n/a	n/a	6,811.84 4.0
ROXBURY CAPITAL MGMT	035	AMG	B	9,900	117.91	25-Apr	24-Jul	396.00 4.0
SUNOVA CAPITAL	031	AMG	S	10,000	120.42	25-Apr	24-Jul	500.00 5.0
CAXTON PART	040	AMR	B	49,900	28.66	n/a	n/a	1,996.00 4.0
BUCK CAPITAL MGMT		AMT	S	2,500	44.03	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT	001	ANF	B	50,000	71.48	12-Jul	13-Jul	2,000.00 4.0
BUCK CAPITAL MGMT		ANN	C	30,000	35.11	12-Jul	13-Jul	non-brg 5.0
FARBER/WEISS PECK	012	ANN	C	13,000	35.11	12-Jul	13-Jul	650.00 5.0
AVESTA CAP	229	AN	SS	25,000	20.85	n/a	13-Jul	1,250.00 5.0
CRAMER ROSENTHAL/SCOTT	012	ARO	B	47,600	40.39	n/a	13-Jul	1,904.00 4.0
BUCK CAPITAL MGMT		ASD	B	5,000	58.59	18-Jul	23-Jul	non-brg 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OGORMAN	146	AVPHH	B	10	1.45	n/a	n/a	0.00	0.0
ROXBURY CAPITAL MGMT	035	AXE	B	180,000	86.01	24-Jul	24-Jul	7,200.00	4.0
AVESTA CAP	229	AZO	SS	10,000	131.93	n/a	n/a	500.00	5.0
COLUMBIA PARTNERS	098	BEBE	S	66,691	14.98	n/a	n/a	2,667.64	4.0
BUCK CAPITAL MGMT	001	BID	S	20,000	48.71	n/a	n/a	800.00	4.0
SUNOVA CAPITAL	031	BLK	SS	25,000	166.11	18-Jul	24-Jul	1,250.00	5.0
HIGHBRIDGE CAPITAL	038	BNI	B	2,000	89.80	n/a	n/a	100.00	5.0
MS PRINCIPAL STRATEGIES	040	BOH	B	24,600	49.43	n/a	n/a	1,230.00	5.0
THIRD AVE MGMT	030	BRNC	B	100,000	15.09	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		BSC	B	5,000	129.47	n/a	18-Jul	non-brg	1.0
OPTIMUM INV ADV	030	BWA	B	100	89.04	n/a	27-Apr	4.00	4.0
DENVER INST. ADV	046	CASY	B	74,200	25.66	n/a	n/a	2,968.00	4.0
B BUCK CAPITAL MGMT		CCI	S	7,000	38.28	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		CDNS	S	10,000	22.40	n/a	n/a	non-brg	5.0
DENVER INST. ADV	046	CEPH	S	40,600	79.32	2-May	23-Jul	1,624.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		CHIC	S	100,000	22.95	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CHIC	S	150,000	22.99	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CHIC	S	245,000	23.21	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CHIC	S	5,000	23.05	n/a	n/a	non-brg	1.0
FARBER/WEISS PECK	012	CHS	C	11,000	20.78	12-Jul	13-Jul	550.00	5.0
ß BUCK CAPITAL MGMT		COH	S	5,000	49.53	n/a	25-Apr	non-brg	5.0
COUGAR TRADING	044	COH	B	5,000	48.59	n/a	25-Apr	250.00	5.0
BUCK CAPITAL MGMT	001	CRI	SS	10,000	25.00	n/a	n/a	400.00	4.0
PAKLER	N22	CROX	B	42	47.22	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CTRN	S	1,300	37.33	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CWTR	B	35,000	20.88	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DBD	S	9,400	53.67	3-Apr	25-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		DBRN	S	20,000	20.01	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	DDS	SS	10,000	35.35	n/a	n/a	400.00	4.0
AELTUS/ING INVESTMENT MGT	044	DFS	S	57,762	25.06	n/a	n/a	2,310.48	4.0
MORGAN LEWIS/MLH	046	DVN	S	20,000	77.58	18-Jul	20-Jul	1,000.00	5.0
DENVER INST. ADV	046	ELY	B	93,200	17.66	n/a	n/a	3,728.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	EMKR	S	2,000	7.83	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ESV	S	20,000	66.25	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		ESV	S	4,000	66.50	n/a	n/a	non-brg	5.0
LEDDY	035	EVST	S	1,697	32.95	n/a	n/a	0.00	0.0
LUCHANSKY2	036	EVST	SS	300	32.85	n/a	n/a	0.00	0.0
CAXTON PART	040	EXC	SS	50,000	76.61	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	FL	B	40,000	21.08	24-May	21-Jun	1,600.00	4.0
BUCK CAPITAL MGMT	001	GCO	S	10,000	51.16	n/a	10-Jan	400.00	4.0
BARR	096	GG	B	400	27.74	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GLW	S	4,000	26.50	n/a	19-Jul	non-brg	5.0
PAKLER	N22	GOOG	S	4	509.57	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GPI	S	40,000	37.41	27-Apr	13-Jul	1,600.00	4.0
LUCHANSKY	036	GPI	S	200	37.68	27-Apr	13-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		GSF	S	2,000	78.24	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GSF	S	20,000	77.59	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GS	B	5,000	198.06	15-Jun	13-Jul	non-brg	1.0
CAXTON PART	040	GS	S	11,349	201.02	15-Jun	13-Jul	453.96	4.0
ß BUCK CAPITAL MGMT		GYMB	B	40,000	38.20	n/a	13-Jul	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		HC	S	7,000	25.27	14-Mar	20-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		HLYS	S	1,500	22.76	n/a	n/a	non-brg	1.0
ACT II PARTNERS	067	INAP	B	50,000	14.66	n/a	n/a	2,500.00	5.0
LUCHANSKY	036	INTC	S	400	24.50	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	IWM	SS	20,000	81.78	n/a	n/a	800.00	4.0
⌘ BUCK CAPITAL MGMT		IWM	C	12,500	80.91	n/a	n/a	non-brg	1.0
LUCHANSKY1	036	IWM	SS	500	81.29	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		JCP	S	30,000	73.22	21-Jun	13-Jul	non-brg	5.0
GOLDMAN SACHS ASSET MGMT	044	JCP	B	239,226	72.69	21-Jun	13-Jul	9,569.04	4.0
SANDLER O'NEILL ASSET	031	JNS	B	15,000	30.05	16-Jul	24-Jul	750.00	5.0
LEDDY	035	JNS	C	600	29.93	16-Jul	24-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		JOSB	S	15,000	36.60	n/a	n/a	non-brg	5.0
CAXTON PART	040	JPM	S	25,000	45.69	n/a	19-Jul	1,000.00	4.0
PILOT ADV	038	KLIC	B	75,000	11.16	n/a	n/a	2,250.00	3.0
BUCK CAPITAL MGMT	001	KSS	B	15,000	64.74	14-Jun	12-Jul	600.00	4.0
COUGAR TRADING	044	KSS	B	5,000	65.11	14-Jun	12-Jul	250.00	5.0
COUGAR TRADING	044	LAD	B	5,000	23.55	n/a	13-Jul	250.00	5.0
⌘ BUCK CAPITAL MGMT		LBTYA	S	10,000	43.78	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		LBTYA	S	3,000	43.13	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		LBTYK	S	10,000	41.53	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		LBTYK	S	3,000	40.94	n/a	n/a	non-brg	5.0
SUN AMERICA ASSET MGMT	030	LCC	S	85,100	34.69	n/a	n/a	4,255.00	5.0
BUCK CAPITAL MGMT		LEH	B	5,000	65.95	12-Jun	13-Jul	non-brg	1.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	36.26	n/a	12-Jul	400.00	4.0
COMMISSUM CAPITAL	067	LM	B	5,000	96.52	24-Jul	24-Jul	250.00	5.0
SANDLER O'NEILL ASSET	031	LM	B	20,000	96.46	24-Jul	24-Jul	1,000.00	5.0
BUCK CAPITAL MGMT		MDY	C	2,500	162.14	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		MER	B	5,000	76.75	18-Jul	18-Jul	non-brg	1.0
MORRISON & FRASIER	040	MIR	S	66,900	39.85	n/a	n/a	3,345.00	5.0
DALTON GREINER	012	MLI	B	135,000	37.11	24-Jul	24-Jul	6,750.00	5.0
JP MORGAN VENTURES	046	MRT	B	20,000	17.96	n/a	n/a	1,000.00	5.0
PATTEN & PATTEN	067	MSFT	S	500	31.14	n/a	3-Apr	25.00	5.0
LEDDY	035	MWV	S	300	34.46	13-Jul	13-Jul	0.00	0.0
DENVER INST. ADV	046	MW	B	14,300	52.25	n/a	n/a	572.00	4.0
PILOT ADV	038	M	S	20,000	41.61	13-Jul	13-Jul	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC TRADING HOWARD	038	M	B	20,000	41.91	13-Jul	13-Jul	1,000.00	5.0
PEREGRINE	030	NDN	B	258,300	13.12	n/a	11-Jul	12,915.00	5.0
LISTED ERR	099	NDN	S	12,300	13.20	n/a	11-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		NEXC	B	20,000	9.79	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		OIH	SS	30,000	185.82	n/a	n/a	non-brg	1.0
HIGHBRIDGE CAPITAL	038	OWW	S	281,300	14.00	n/a	n/a	14,065.00	5.0
⌘ BUCK CAPITAL MGMT		PAG	S	40,000	20.79	n/a	13-Jul	non-brg	5.0
JP MORGAN VENTURES	046	PFE	B	54,000	25.02	n/a	31-Mar	2,700.00	5.0
SECOND CURVE	031	PRS	B	50,000	10.26	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		PSUN	S	49,500	19.93	n/a	13-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		PSUN	S	500	20.05	n/a	13-Jul	non-brg	1.0
COUGAR TRADING	044	PSUN	B	5,000	20.06	n/a	13-Jul	250.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	5,000	53.98	31-May	31-May	200.00	4.0
ALLEN & CO	032	QCOM	S	35,250	42.64	n/a	n/a	1,410.00	4.0
FIRST NEW YORK LEDDY	035	Q	B	7,500	9.34	n/a	n/a	450.00	6.0
⌘ BUCK CAPITAL MGMT		RIG	S	15,000	115.43	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		RIG	S	2,600	116.12	n/a	n/a	non-brg	5.0
HIGHBRIDGE CAPITAL	038	RIO	B	20,000	52.26	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		RL	S	10,000	97.34	21-Jun	27-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		RL	S	30,000	97.85	21-Jun	27-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		SHPGY	S	4,000	75.43	19-Jun	23-Jul	non-brg	5.0
BUCK CAPITAL MGMT	001	SKX	S	169,900	28.78	n/a	13-Mar	6,796.00	4.0
ß BUCK CAPITAL MGMT		SKX	S	100	29.22	n/a	13-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	S	30,000	28.98	n/a	13-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		SKX	S	50,000	29.25	n/a	13-Mar	non-brg	5.0
KAICHER	064	SNCR	B	1,000	35.44	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	040	SNV	B	47,230	30.32	n/a	n/a	2,361.50	5.0
BUCK CAPITAL MGMT	001	SSI	S	321,400	20.24	25-May	13-Jul	12,856.00	4.0
ß BUCK CAPITAL MGMT		SSI	S	3,900	20.25	25-May	13-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		SSI	S	49,700	20.30	25-May	13-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		TGT	C	10,000	66.25	n/a	12-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		THC	S	30,600	5.94	n/a	2-Jul	non-brg	4.0
COUGAR TRADING	044	TJX	B	5,000	29.26	13-Jul	13-Jul	250.00	5.0
ß BUCK CAPITAL MGMT		TRLG	S	25,000	20.99	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TWB	B	10,000	41.59	2-Jul	2-Jul	non-brg	5.0
LEDDY	035	TWM	B	1,000	64.15	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		TWTC	B	20,000	19.78	4-May	19-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		URBN	B	40,000	20.20	2-Apr	16-Jul	non-brg	5.0
COUGAR TRADING	044	URBN	B	10,000	20.00	2-Apr	16-Jul	500.00	5.0
HIGHBRIDGE CAPITAL	038	URS	B	30,000	54.55	n/a	n/a	1,500.00	5.0
DIAMONDBACK CAP MGMT	232	USG	C	90,000	42.96	25-Apr	14-Jun	4,500.00	5.0
TRIVIUM CAP MGMT	028	USG	C	25,000	44.07	25-Apr	14-Jun	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		USTR	SS	10,000	66.38	23-May	23-May	non-brg	5.0
ELM RIDGE ADVISORS	044	VC	B	368,500	7.12	n/a	n/a	11,055.00	3.0
LOCUS WOOD CAPITAL MGMT	044	VQ	S	20,000	17.88	n/a	n/a	800.00	4.0
⌘ BUCK CAPITAL MGMT		WBC-W	B	10,000	50.00	n/a	n/a	non-brg	5.0
CAPITAL GROWTH MGMT.	241	WDC	B	80,000	22.66	n/a	n/a	3,200.00	4.0
SANDLER O'NEILL ASSET	031	WDR	B	30,000	26.92	13-Jul	24-Jul	1,500.00	5.0
FARBER/WEISS PECK	012	WFC	SS	34,000	34.24	n/a	n/a	1,700.00	5.0
SINCLAIR	031	WOS	C	400	22.81	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		WRNC	S	10,000	39.21	18-Jul	18-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		WRNC	S	2,500	39.45	18-Jul	18-Jul	non-brg	1.0
SNYDER CAPITAL	038	WRNC	S	90,100	39.50	18-Jul	18-Jul	3,604.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	038	WRNC	S	77	39.50	18-Jul	18-Jul	3.08	4.0
B BUCK CAPITAL MGMT		WSO	B	5,000	51.55	n/a	n/a	non-brg	5.0
PILOT ADV	038	WY	SS	2,100	81.50	13-Jul	13-Jul	84.00	4.0
AMBER CAPITAL	046	XLB	SS	35,000	42.20	n/a	n/a	1,400.00	4.0
TOTAL (Trade Date):							194,139.54		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/23	AMBER CAPITAL	046	AA	B	200,000	41.86	n/a	n/a	8000.00 4.0
AO,CRT	07/23	AMBER CAPITAL	046	AA	B	200,000	41.86	n/a	n/a	8000.00 4.0
AO,CRT	07/23	SCOPUS FUND	039	DD	B	15,000	53.08	n/a	24-Jul	750.00 5.0
AO,CXL	07/23	SCOPUS FUND	039	DD	B	15,000	53.08	n/a	24-Jul	750.00 5.0
AO,	07/23	CAVU CAP ADV	028	MU	B	2,500	13.52	n/a	n/a	125.00 5.0
AO,	07/23	MKT MAKER	099	RCKY	B	10	16.80	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										125.00

TOTAL (Trade Date) :	194,139.54
TOTAL (AO, CXL, CRT) :	125.00

TOTAL (RUN DATE) / NFS :	194,264.54
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MRI Securities with Trade Activity on 24-Jul.
AXE, LM, MLI

MRI Securities without Trade Activity on 24-Jul.
GNTX

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 30%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION