



Daily Compliance Activity Report

Trade Date: 12/28/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	AAI	S	12,500	7.27	n/a	n/a	0.00	0.0
TRUSTCO/SUNTRUST	040	ABG	B	99,600	15.14	31-Oct	4-Dec	2,988.00	3.0
LEDDY	035	ABG	S	1,400	15.27	31-Oct	4-Dec	0.00	0.0
KORMAN	003	ABK	B	2,000	25.47	n/a	n/a	0.00	0.0
ROYCE TRADING	216	AEO	B	25,000	20.59	9-Nov	7-Dec	1,250.00	5.0
MAGUIRE	090	AMR	S	2,500	14.04	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	S	75,000	79.97	2-Oct	7-Dec	3,000.00	4.0
COUGAR TRADING	044	ANF	B	5,000	79.97	2-Oct	7-Dec	250.00	5.0
CAPITAL GROWTH MGMT.	241	APD	B	74,900	101.80	n/a	24-Oct	3,745.00	5.0
BUCK CAPITAL MGMT	001	BBY	S	82,200	52.29	27-Jul	27-Jul	3,288.00	4.0
BUCK CAPITAL MGMT		BBY	S	100,000	52.28	27-Jul	27-Jul	non-brg	4.0
JP MORGAN VENTURES	046	BBY	SS	15,000	52.36	27-Jul	27-Jul	750.00	5.0
LEDDY	035	BONT	S	2,700	10.17	9-Nov	7-Dec	0.00	0.0
MAGUIRE	090	BONT	S	3,000	9.97	9-Nov	7-Dec	0.00	0.0
BARR	096	BQI	B	8,000	4.22	n/a	n/a	0.00	0.0
SLS CAPITAL LLC	224	BSC	S	118,300	86.64	21-Dec	21-Dec	4,732.00	4.0
OPPENHEIMER MGMT.	036	CAG	S	80,700	24.01	n/a	n/a	807.00	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	CAL	B	2,000	21.96	n/a	n/a	0.00	0.0
TRUSTCO/SUNTRUST	040	CBK	B	28,500	10.89	n/a	28-Dec	855.00	3.0
LEDDY	035	CHCG	S	2,500	3.18	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	COH	B	50,000	30.07	24-Oct	24-Oct	2,500.00	5.0
SERENGETI MGMT	030	C	SS	50,000	29.56	5-Nov	19-Dec	2,000.00	4.0
MAGUIRE	090	DAL	B	5,000	14.80	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DBRN	S	30,000	13.07	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	DBRN	S	3,100	13.05	n/a	n/a	124.00	4.0
BUCK CAPITAL MGMT	001	DDS	SS	20,000	18.68	n/a	n/a	800.00	4.0
PROSPECTOR PARTNERS LLC	036	DD	B	20,000	44.20	n/a	4-Dec	800.00	4.0
JP MORGAN VENTURES	046	DIA	SS	50,000	133.68	n/a	n/a	1,500.00	3.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	900	26.29	9-Nov	7-Dec	36.00	4.0
B BUCK CAPITAL MGMT		DSGILN	SS	50,000	2.01	n/a	n/a	non-brg	0.3
CRAMER ROSENTHAL/SCOTT	012	EFII	S	2,500	22.05	n/a	n/a	100.00	4.0
PROSPECTOR PARTNERS LLC	036	EIG	B	10,000	17.09	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		GEOIM	SS	10,000	20.22	n/a	n/a	non-brg	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GES	B	10,000	38.94	n/a	n/a	400.00	4.0
BARR	096	GLD	B	200	82.77	n/a	n/a	0.00	0.0
DC CAPITAL	044	GLW	B	150,000	24.25	n/a	26-Dec	6,000.00	4.0
BUCK CAPITAL MGMT	001	GM	SS	10,000	25.22	n/a	4-Dec	400.00	4.0
TRUSTCO/SUNTRUST	040	GPI	B	30,200	24.47	31-Oct	31-Oct	906.00	3.0
ß BUCK CAPITAL MGMT		HOMELN	SS	50,000	6.46	n/a	n/a	non-brg	1.0
BARR	096	IOM	S	4,000	3.32	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	IP	B	10,000	32.40	n/a	5-Nov	400.00	4.0
ß BUCK CAPITAL MGMT		ITXSM	SS	10,000	62.04	n/a	n/a	non-brg	9.3
Õ Michael Marrano		IYR	S	400	65.46	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JAH	B	20,000	23.50	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	10,000	48.85	28-Nov	30-Nov	400.00	4.0
COUGAR TRADING	044	JCG	SS	5,000	48.67	28-Nov	30-Nov	250.00	5.0
MAGUIRE	090	JCP	S	1,000	43.14	15-Nov	7-Dec	0.00	0.0
BUCK CAPITAL MGMT	001	JWN	S	46,600	36.12	20-Nov	7-Dec	1,864.00	4.0
SYSTEMATIC FINANCIAL MGT	035	KCP	B	3,500	17.44	28-Sep	28-Sep	131.25	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BENCHMARK	028	KMX	SS	5,000	19.28	n/a	10-Jan	250.00	5.0
BUCK CAPITAL MGMT	001	KMX	B	40,000	19.40	n/a	10-Jan	1,600.00	4.0
Ⓕ BUCK CAPITAL MGMT		KMX	SS	10,000	19.30	n/a	10-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	KSS	S	100,000	44.81	9-Nov	7-Dec	4,000.00	4.0
Ⓕ BUCK CAPITAL MGMT		KSS	S	63,600	44.97	9-Nov	7-Dec	non-brg	4.0
COUGAR TRADING	044	KSS	B	10,000	44.85	9-Nov	7-Dec	500.00	5.0
SANDLER O'NEILL ASSET	031	LM	S	13,000	72.09	17-Dec	24-Dec	650.00	5.0
MAGUIRE	090	LUV	S	10,000	12.32	n/a	n/a	0.00	0.0
UNITED STATES PENSION	032	MHS	S	154,100	101.24	n/a	n/a	7,705.00	5.0
BUCK CAPITAL MGMT	001	M	B	10,000	25.15	9-Nov	7-Dec	400.00	4.0
Ⓕ BUCK CAPITAL MGMT		M	S	21,300	25.29	9-Nov	7-Dec	non-brg	4.0
FIRST NY SEC/CHRIS	041	M	B	10,000	25.30	9-Nov	7-Dec	600.00	6.0
PILOT ADV	038	M	S	88,600	25.05	9-Nov	7-Dec	3,544.00	4.0
CAXTON PART	040	NFS	B	1,200	44.47	n/a	n/a	42.01	3.5
APEX CAPITAL MGMT.	091	NUAN	B	30,000	18.53	n/a	n/a	1,500.00	5.0
BARR	096	PDFS	B	2,500	9.47	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		PERY	B	10,000	15.43	n/a	n/a	non-brg	1.0
STRATTON	N21	PHH	B	200	17.80	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	PKG	SS	110,000	28.57	18-Oct	8-Nov	5,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		PLCE	S	150,000	25.97	3-Dec	7-Dec	non-brg	4.0
STRATTON	N21	PLUS	B	500	9.00	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	PPS	B	10,000	34.49	n/a	n/a	400.00	4.0
JP MORGAN VENTURES	046	PVH	B	10,000	37.54	5-Dec	21-Dec	500.00	5.0
BUCK CAPITAL MGMT	001	RVI	B	94,000	5.19	n/a	n/a	3,760.00	4.0
⌘ BUCK CAPITAL MGMT		SIGLN	SS	50,000	1.39	n/a	n/a	non-brg	0.2
MS PRINCIPAL STRATEGIES	041	SKYW	S	4,200	27.32	n/a	n/a	210.00	5.0
⌘ BUCK CAPITAL MGMT		TGT	S	50,000	50.82	n/a	27-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TGT	S	60,000	51.10	n/a	27-Jul	non-brg	4.0
XYLEM GLOBAL	091	TIN	B	40,000	29.35	11-Dec	11-Dec	2,000.00	5.0
H PARTNERS MGMT LLC	031	TPX	SS	25,000	26.62	n/a	n/a	1,000.00	4.0
COUGAR TRADING	044	TWB	B	5,000	26.77	19-Nov	19-Nov	250.00	5.0
STRATTON	N21	WINN	B	200	16.85	n/a	n/a	0.00	0.0
TRUSTCO/SUNTRUST	040	WRE	B	106,900	31.39	n/a	n/a	3,207.00	3.0
CAXTON PART	040	XOM	S	53,869	94.47	n/a	n/a	1,885.42	3.5
PROSPECTOR PARTNERS LLC	036	XRX	B	10,000	16.24	n/a	n/a	400.00	4.0

TOTAL (Trade Date):

81,379.68

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/27	TRUST CO/COWEN/CALF.	040	ALU	S	755,563	7.44	n/a	n/a	30222.52 4.0
AO,CRT	12/27	PILOT ROCK	028	EBAY	SS	25,000	34.05	n/a	n/a	1000.00 4.0
AO,CXL	12/27	PILOT ROCK	028	EBAY	SS	25,000	34.05	n/a	n/a	1250.00 5.0
AO,	12/27	ROYCE TRADING	216	HEIA	S	100	42.50	n/a	n/a	5.00 5.0
TOTAL (AO, CXL, CRT) :										29,977.52

TOTAL (Trade Date) :	81,379.68
TOTAL (AO, CXL, CRT) :	29,977.52

TOTAL (RUN DATE) / NFS:	111,357.20
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MRI Securities with Trade Activity on 28-Dec. NONE

MRI Securities without Trade Activity on 28-Dec. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 48% YTD = 21%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION