



Daily Compliance Activity Report

Trade Date: 07/25/2006

Potential ANALYST TRADE Violations: 2

Watch List ACTIVITY ALERT: 1

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAIRD INVESTMENT	031	AAPL	S	349	60.99	n/a	n/a	17.45	5.0
B BUCK CAPITAL MGMT		AAPL	B	10,000	61.30	n/a	n/a	non-brg	5.0
MERRILL ASSET/BLACKROCK	032	ABD	S	62,500	19.73	n/a	n/a	3,125.00	5.0
BAIRD INVESTMENT	031	ABT	B	5,025	47.42	n/a	22-Apr	251.25	5.0
B BUCK CAPITAL MGMT		ACMR	S	10,000	17.20	n/a	n/a	non-brg	5.0
IRON HORSE CAPITAL MGMT	038	ADI	S	35,000	30.91	n/a	n/a	1,750.00	5.0
NEEDHAM CAP	045	AEOS	S	7,500	33.26	n/a	n/a	300.00	4.0
BAIRD INVESTMENT	031	AFL	S	1,044	44.63	n/a	n/a	52.20	5.0
BAIRD INVESTMENT	031	AMGN	S	425	67.62	n/a	26-Jan	21.25	5.0
BALYASNY ASSET MGMT	044	AMG	B	1,200	87.21	10-Jul	9-Sep	60.00	5.0
BALYASNY ASSET MGMT	044	AMG	B	13,800	87.21	10-Jul	9-Sep	690.00	5.0
&BINDER	007	AMWD	SS	100	33.03	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	ANF	B	14,200	53.58	6-Apr	4-Sep	710.00	5.0
B BUCK CAPITAL MGMT		ANN	B	10,000	41.51	6-Jul	30-Jan	non-brg	1.5
B BUCK CAPITAL MGMT		ANN	B	40,000	41.68	6-Jul	30-Jan	non-brg	5.0
MATTHES CAPITAL MGMT	033	ANN	SS	10,000	41.30	6-Jul	30-Jan	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAIRD INVESTMENT	031	APA	S	218	66.32	n/a	28-Aug	10.90	5.0
KENSICO CAPITAL	040	ASH	S	100,000	65.90	13-Jul	24-Jul	4,000.00	4.0
BUCK CAPITAL MGMT	001	AVP	B	60,000	32.72	1-May	3-Aug	2,400.00	4.0
LOCUS WOOD CAPITAL MGMT	044	AXP	B	20,000	51.03	n/a	10-Sep	800.00	4.0
KENSICO CAPITAL	040	AYE	S	50,000	41.25	n/a	n/a	2,000.00	4.0
BAIRD INVESTMENT	031	BBY	B	2,022	44.41	14-Jun	27-Jul	101.10	5.0
Ⓕ BUCK CAPITAL MGMT		BEBE	B	20,000	15.76	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	BEBE	B	118,900	15.78	n/a	n/a	4,756.00	4.0
BAIRD INVESTMENT	031	BJS	S	825	32.76	n/a	n/a	41.25	5.0
KENSICO CAPITAL	040	BLI	SS	34,800	16.42	n/a	n/a	1,392.00	4.0
&LEDDY	035	BLUE	S	500	5.00	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		BMHC	C	15,000	22.16	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	BOBE	B	54,037	28.41	n/a	n/a	2,161.48	4.0
Ⓕ BUCK CAPITAL MGMT		BONT	B	25,000	24.26	n/a	6-Feb	non-brg	5.0
TILDDALE MGMT.	048	BSX	B	15,000	16.22	n/a	22-Apr	750.00	5.0
Ⓕ BUCK CAPITAL MGMT		CACH	S	25,000	18.14	n/a	n/a	non-brg	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
&BINDER	007	CACH	S	200	18.04	n/a	n/a	0.00	0.0
&LEDDY	035	CCMP	B	300	27.87	5-May	23-Jul	0.00	0.0
B BUCK CAPITAL MGMT		CC	C	140,000	24.26	12-Apr	27-Jul	non-brg	5.0
JP MORGAN VENTURES	046	CC	C	15,800	24.03	12-Apr	27-Jul	790.00	5.0
OSS CAPITAL/KEVIN DANN	045	CD	B	200,000	15.66	n/a	n/a	10,000.00	5.0
J L F ASSET MGMT	039	CEC	B	19,300	28.98	n/a	n/a	772.00	4.0
J L F ASSET MGMT	039	CEC	S	19,300	28.92	n/a	n/a	772.00	4.0
J L F ASSET MGMT	039	CHRS	B	80,533	10.76	n/a	22-Mar	4,026.65	5.0
BUCK CAPITAL MGMT	001	CHS	S	50,000	22.96	26-Jun	30-Jan	2,000.00	4.0
PIEDRA CAPITAL	031	CHS	B	70,580	23.37	26-Jun	30-Jan	3,529.00	5.0
SANDLER CAPITAL	038	CMI	B	39,100	113.41	n/a	7-Aug	2,346.00	6.0
BAIRD INVESTMENT	031	CMX	S	984	53.27	n/a	n/a	49.20	5.0
KNOTT PARTNERS	044	CNCT	B	100,000	9.48	11-Jul	29-Dec	3,000.00	3.0
BAIRD INVESTMENT	031	COF	S	314	77.68	n/a	10-Sep	15.70	5.0
BUCK CAPITAL MGMT	001	COH	B	5,000	27.65	n/a	29-Jul	200.00	4.0
EGS ASSOCIATES LP	005	COH	B	3,500	27.67	n/a	29-Jul	210.00	6.0
J L F ASSET MGMT	039	COH	B	69,200	28.06	n/a	29-Jul	2,768.00	4.0
J L F ASSET MGMT	039	COLM	B	14,381	47.01	n/a	n/a	575.24	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		COST	SS	10,000	52.90	n/a	27-Jul	non-brg	5.0
J L F ASSET MGMT	039	COST	B	18,099	53.75	n/a	27-Jul	723.96	4.0
B BUCK CAPITAL MGMT		CPWM	SS	10,000	13.77	n/a	n/a	non-brg	5.0
BAIRD INVESTMENT	031	CSCO	S	2,216	17.81	22-Mar	22-Mar	110.80	5.0
SIGMA CAPITAL ASSOCIATES	034	CWTR	B	25,000	21.10	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		C	S	20,000	47.71	n/a	17-Aug	non-brg	5.0
&KARP	023	C	S	300	47.74	n/a	17-Aug	0.00	0.0
J L F ASSET MGMT	039	DDS	B	36,300	29.91	n/a	n/a	1,452.00	4.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	35.38	n/a	21-Aug	400.00	4.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	B	7,700	26.04	21-Feb	26-Aug	385.00	5.0
BAIRD INVESTMENT	031	DNA	S	795	80.80	n/a	n/a	39.75	5.0
GALLEON MGMT LP	033	DPZ	B	35,000	22.81	20-Jul	24-Apr	1,750.00	5.0
BAIRD INVESTMENT	031	EMR	S	701	76.90	n/a	n/a	35.05	5.0
BAIRD INVESTMENT	031	ERTS	S	493	47.24	n/a	n/a	24.65	5.0
BAIRD INVESTMENT	031	FAST	S	301	34.80	n/a	n/a	15.05	5.0
B BUCK CAPITAL MGMT		FBN	SS	5,000	20.67	n/a	n/a	non-brg	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET/BLACKROCK	032	FCN	B	100	27.70	n/a	n/a	5.00	5.0
MERRILL ASSET/BLACKROCK	032	FCN	B	27,100	27.70	n/a	n/a	1,355.00	5.0
J L F ASSET MGMT	039	FD	B	95,183	35.20	n/a	n/a	3,807.32	4.0
WESLEY CAPITAL	246	FD	B	65,600	34.84	n/a	n/a	2,624.00	4.0
J L F ASSET MGMT	039	FINL	B	13,038	11.84	n/a	28-Mar	521.52	4.0
IVORY CAPITAL	034	FSLB	B	112,499	28.01	n/a	n/a	5,624.95	5.0
IVORY CAPITAL	034	FSLB	B	22,501	28.01	n/a	n/a	1,125.05	5.0
TRUST CO OF THE WEST/NY	040	FSLB	S	114,025	28.01	n/a	n/a	4,561.00	4.0
TRUST CO/COWEN/CALF.	040	FSLB	S	11,750	28.01	n/a	n/a	470.00	4.0
TRUST CO/COWEN/CALF.	040	FSLB	S	12,000	28.01	n/a	n/a	480.00	4.0
IRON HORSE CAPITAL MGMT	038	FSL	B	35,000	28.07	n/a	n/a	1,750.00	5.0
BAIRD INVESTMENT	031	GE	S	529	32.48	n/a	n/a	26.45	5.0
B BUCK CAPITAL MGMT		GGXY	S	10,000	13.26	n/a	n/a	non-brg	5.0
CHARTER OAK	037	GHDX	B	4,500	11.44	n/a	n/a	225.00	5.0
B BUCK CAPITAL MGMT		GIII	B	4,800	10.72	n/a	n/a	non-brg	5.0
BAIRD INVESTMENT	031	GLW	S	581	21.61	21-Mar	28-Aug	29.05	5.0
B BUCK CAPITAL MGMT		GLW	B	75,000	19.45	21-Mar	28-Aug	non-brg	2.0
&LUCHANSKY	036	GLW	B	100	21.56	21-Mar	28-Aug	0.00	0.0
B BUCK CAPITAL MGMT		GS	B	18,200	147.05	18-May	14-Jul	non-brg	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓐ BUCK CAPITAL MGMT		GTRC	B	25,000	42.36	n/a	n/a	non-brg	5.0
TRIBECA	038	HBG	B	10,000	25.43	n/a	n/a	400.00	4.0
&ZOLIDIS	011	HD	SS	150	34.79	n/a	2-Sep	0.00	0.0
&GAULT	063	HRSHI	B	50	0.20	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	IPAR	B	10,000	17.70	n/a	n/a	500.00	5.0
BAIRD INVESTMENT	031	ITT	S	815	47.68	1-May	31-Jul	40.75	5.0
BAIRD INVESTMENT	031	ITW	S	857	44.34	n/a	23-Jul	42.85	5.0
Ⓐ BUCK CAPITAL MGMT		IWM	C	50,000	69.53	n/a	n/a	non-brg	3.0
&KARP	023	IWM	S	300	68.97	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		JCG	B	20,000	25.39	n/a	30-Jan	non-brg	5.0
BUCK CAPITAL MGMT	001	JNY	B	180,000	28.89	n/a	30-Jul	7,200.00	4.0
Ⓐ BUCK CAPITAL MGMT		JOSB	B	10,000	26.46	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	JWN	B	23,600	33.70	24-Feb	4-Sep	944.00	4.0
J L F ASSET MGMT	039	KNOT	B	19,878	17.32	n/a	n/a	795.12	4.0
BAIRD INVESTMENT	031	KSS	S	423	55.25	1-May	4-Sep	21.15	5.0
Ⓐ BUCK CAPITAL MGMT		LEH	B	36,000	63.18	8-Jun	19-Sep	non-brg	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KENNEDY CAPITAL	046	LH	S	1,300	64.00	n/a	n/a	52.00	4.0
KENNEDY CAPITAL	046	LH	S	13,400	64.00	n/a	n/a	536.00	4.0
BUCK CAPITAL MGMT	001	LIZ	B	50,000	36.32	n/a	13-Aug	2,000.00	4.0
THIRD COAST CAPITAL	035	LIZ	B	35,000	36.56	n/a	13-Aug	1,750.00	5.0
BAIRD INVESTMENT	031	LM	S	209	87.97	25-Jul	9-Sep	10.45	5.0
⌘ BUCK CAPITAL MGMT		LM	B	15,000	86.01	25-Jul	9-Sep	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LM	B	20,000	86.68	25-Jul	9-Sep	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LM	S	35,000	87.38	25-Jul	9-Sep	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LM	S	85,000	87.82	25-Jul	9-Sep	non-brg	5.0
KEEFE BRUYETTE WOODS A	031	LM	B	18,600	87.65	25-Jul	9-Sep	930.00	5.0
&LEDDY	035	LM	B	100	87.50	25-Jul	9-Sep	0.00	0.0
&ZOLIDIS	011	LOW	SS	150	28.96	n/a	2-Sep	0.00	0.0
BUCK CAPITAL MGMT	001	LTD	B	10,000	24.99	16-May	30-Jan	400.00	4.0
S.A.C. CAP/ORENSTEIN	044	LUV	B	25,000	17.21	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		LVS	C	15,000	67.34	n/a	26-Aug	non-brg	5.0
GLG PARTNERS	034	MCD	B	25,000	35.00	n/a	9-Sep	1,250.00	5.0
BAIRD INVESTMENT	031	MDT	B	1,714	47.50	n/a	22-Apr	85.70	5.0
&LEDDY	035	MFB	S	200	13.15	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MGM	C	10,000	37.19	1-May	4-Aug	non-brg	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TILLDALE MGMT.	048	MLI	B	15,000	34.56	25-Apr	21-Jul	750.00	5.0
CAXTON PART	040	MMM	S	50,000	69.86	10-Jul	7-Aug	2,000.00	4.0
B BUCK CAPITAL MGMT		MNRO	S	5,000	31.93	n/a	n/a	non-brg	5.0
&BINDER	007	MOT	S	200	21.41	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MRK	C	10,000	39.48	n/a	n/a	non-brg	5.0
BAIRD INVESTMENT	031	MSFT	S	2,201	23.96	3-Apr	3-Apr	110.05	5.0
B BUCK CAPITAL MGMT		MVRX	C	146,000	162.00	n/a	n/a	non-brg	16.2
BAIRD INVESTMENT	031	MXIM	S	1,130	28.41	n/a	n/a	56.50	5.0
IVORY CAPITAL	034	NOK	B	140,000	19.81	n/a	n/a	7,000.00	5.0
IVORY CAPITAL	034	NOK	B	35,000	19.81	n/a	n/a	1,750.00	5.0
&MAGUIRE	039	NRG	B	100	48.55	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	NTAP	S	447	29.77	n/a	n/a	22.35	5.0
BAIRD INVESTMENT	031	NVS	S	821	55.36	n/a	n/a	41.05	5.0
BUCK CAPITAL MGMT	001	NWY	B	15,000	10.59	3-Mar	30-Jan	600.00	4.0
&LEDDY	035	ODP	S	400	37.80	27-Jun	27-Jul	0.00	0.0
BAIRD INVESTMENT	031	PAYX	S	764	34.54	n/a	n/a	38.20	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RH CAPITAL ASSOCIATES	060	PDS	S	15,600	32.03	n/a	n/a	780.00	5.0
BAIRD INVESTMENT	031	PEP	S	874	62.60	n/a	n/a	43.70	5.0
B BUCK CAPITAL MGMT		PERY	S	8,000	26.01	n/a	n/a	non-brg	2.0
CAPITAL GROWTH MGMT.	241	PFE	B	110,400	24.87	n/a	31-Mar	5,520.00	5.0
BAIRD INVESTMENT	031	PG	B	1,674	56.61	25-Apr	3-Sep	83.70	5.0
J L F ASSET MGMT	039	PLCE	B	27,600	55.56	1-May	4-Sep	1,104.00	4.0
S.A.C. CAP/ORENSTEIN	044	PLCE	B	10,000	54.75	1-May	4-Sep	500.00	5.0
&BINDER	007	PNR	C	200	27.57	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	PSS	B	35,000	24.99	n/a	n/a	1,750.00	5.0
BAIRD INVESTMENT	031	PX	S	908	52.43	n/a	24-Aug	45.40	5.0
BAIRD INVESTMENT	031	QCOM	S	646	34.66	n/a	n/a	32.30	5.0
J L F ASSET MGMT	039	RARE	B	107,371	27.19	n/a	n/a	4,294.84	4.0
B BUCK CAPITAL MGMT		RCII	SS	20,000	26.70	n/a	n/a	non-brg	5.0
BAIRD INVESTMENT	031	ROK	S	211	66.35	n/a	n/a	10.55	5.0
HAYGROUND COE	039	RSH	B	44,500	15.91	n/a	n/a	2,225.00	5.0
HAYGROUND COE	039	RSH	B	5,500	15.91	n/a	n/a	275.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		RTH	C	16,000	91.67	n/a	n/a	non-brg 3.0
BUCK CAPITAL MGMT		RTH	C	95,000	91.79	n/a	n/a	non-brg 1.5
BUCK CAPITAL MGMT	001	RVI	S	10,000	17.50	n/a	n/a	400.00 4.0
BUCK CAPITAL MGMT		SBL	B	40,000	10.71	n/a	10-Jan	non-brg 5.0
BAIRD INVESTMENT	031	SBUX	S	711	35.03	4-May	21-Jul	35.55 5.0
J L F ASSET MGMT	039	SONC	B	48,980	20.40	n/a	n/a	1,959.20 4.0
BAIRD INVESTMENT	031	SPLS	S	649	22.63	n/a	27-Jul	32.45 5.0
BUCK CAPITAL MGMT		STN	C	10,000	56.38	n/a	9-Aug	non-brg 1.5
BUCK CAPITAL MGMT		STN	C	15,000	55.38	n/a	9-Aug	non-brg 5.0
TILLDALE MGMT.	048	STN	S	10,000	55.90	n/a	9-Aug	500.00 5.0
BAIRD INVESTMENT	031	STT	S	151	58.69	n/a	18-Aug	7.55 5.0
&BINDER	007	SWK	SS	100	43.43	n/a	n/a	0.00 0.0
&KAICHER	064	SWN	S	1,000	30.75	n/a	28-Aug	0.00 0.0
BAIRD INVESTMENT	031	SYMC	S	1,012	15.40	3-Apr	3-Apr	50.60 5.0
BAIRD INVESTMENT	031	SYY	S	777	29.05	28-Apr	24-Apr	38.85 5.0
&BINDER	007	TDF	S	62	20.21	n/a	n/a	0.00 0.0
BAIRD INVESTMENT	031	TGT	S	974	46.20	n/a	3-Sep	48.70 5.0

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BUCK CAPITAL MGMT	001	TGT	B	20,000	46.58	n/a	3-Sep	800.00	4.0
&LEDDY	035	TIN	B	150	41.55	27-Apr	14-Aug	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		TSCO	C	5,000	45.58	n/a	n/a	non-brg	5.0
BAIRD INVESTMENT	031	TXN	S	520	28.98	n/a	n/a	26.00	5.0
ARIENCE CAPITAL	036	TYC	S	14,700	25.73	21-Mar	30-Jul	735.00	5.0
BAIRD INVESTMENT	031	UPS	S	328	68.50	n/a	n/a	16.40	5.0
&BINDER	007	VAL	SS	200	24.46	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	WAG	S	1,058	47.17	n/a	n/a	52.90	5.0
WESLEY CAPITAL	246	WEN	B	75,000	59.25	26-Jul	6-Aug	3,000.00	4.0
BAIRD INVESTMENT	031	WFC	S	271	70.51	n/a	n/a	13.55	5.0
CROWLEY	061	WMUAN	B	15	7.50	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	WOLF	B	49,687	12.60	n/a	n/a	1,987.48	4.0
Ⓐ BUCK CAPITAL MGMT		WYNN	C	10,000	67.41	n/a	26-Aug	non-brg	1.5
CSFB GLOBAL PROPRIETARY	035	WY	B	20,000	56.99	16-Jun	3-Aug	1,000.00	5.0
SAVANNAH-BALTIMORE	012	WY	B	154,800	56.98	16-Jun	3-Aug	7,740.00	5.0
BAIRD INVESTMENT	031	XOM	B	1,814	65.08	n/a	n/a	90.70	5.0

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CHILTON CAPITAL MGMT.	036	XRX	B	500,000	13.73	n/a	n/a	25,000.00	5.0
PERRY PARTNERS	035	YCC	SS	37,800	21.99	n/a	n/a	1,890.00	5.0
BAIRD INVESTMENT	031	YHOO	S	911	26.78	n/a	n/a	45.55	5.0
BAIRD INVESTMENT	031	ZMH	S	749	55.41	n/a	n/a	37.45	5.0
MAIRS AND POWER INV	030	ZMH	B	10,000	55.42	n/a	n/a	600.00	6.0
TOTAL (Trade Date):								178,982.86	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/24	MORGAN LEWIS/MLH	046	ALK	S	9,000	35.53	n/a	n/a	450.00 5.0
AO,CXL	07/24	MORGENS WATERFALL	040	ALK	S	9,000	35.53	n/a	n/a	450.00 5.0
AO,CXL	07/24	THE JAY GOLDMAN	034	BJ	S	10,000	27.93	n/a	27-Jul	400.00 4.0
AO,CRT	07/24	THE JAY GOLDMAN	034	BJ	S	10,000	27.93	n/a	27-Jul	400.00 4.0
AO,CRT	07/24	MKT MAKER	099	BONT	S	200	24.72	n/a	6-Feb	0.00 0.0
AO,CXL	07/18	COLUMBUS CIRCLE	036	FNSR	S	36,740	2.76	n/a	n/a	1102.20 3.0
AO,CRT	07/18	COLUMBUS CIRCLE	036	FNSR	S	36,740	2.76	n/a	n/a	1102.20 3.0
AO,	07/24	MKT MAKER	099	GHDX	S	118	11.46	n/a	n/a	0.00 0.0
AO,CRT	07/24	MKT MAKER	099	GROW	S	33	18.59	n/a	n/a	0.00 0.0
AO,CRT	07/24	CENTURION PARTNERS	244	JCP	B	50,000	63.90	5-May	4-Sep	2500.00 5.0
AO,CXL	07/24	CENTURION PARTNERS	244	JCP	B	50,000	63.90	5-May	4-Sep	2500.00 5.0
AO,CRT	07/24	AMARANTH	040	KSS	S	39,600	55.50	1-May	4-Sep	1980.00 5.0
AO,CXL	07/24	AMARANTH	040	KSS	S	39,600	55.50	1-May	4-Sep	1980.00 5.0
AO,CXL	07/24	AMARANTH	040	KSS	SS	5,400	55.57	1-May	4-Sep	270.00 5.0
AO,CRT	07/24	AMARANTH	040	KSS	SS	5,400	55.57	1-May	4-Sep	270.00 5.0
AO,	07/24	MKT MAKER	099	TEVA	B	150	29.64	22-Jun	10-Sep	0.00 0.0
AO,CRT	07/24	MKT MAKER	099	WRNC	S	1,000	18.00	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date):	178,982.86
TOTAL (AO, CXL, CRT):	0.00

TOTAL (RUN DATE) / NFS:	178,982.86
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MRI Securities with Trade Activity on 25-Jul.
LM

MRI Securities without Trade Activity on 25-Jul.
ACL, AXE, LNCR, T, WDR

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 20%
YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)