



## Daily Compliance Activity Report

Trade Date: 05/11/2012

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ACE	B	495	76.23	n/a	n/a	19.80	4.0
BESSEMER INVESTMENT	085	ACN	B	455	59.07	n/a	n/a	18.20	4.0
SIMMONS VENTURES	041	AVP	B	5,000	20.35	n/a	2-May	200.00	4.0
<b>WELLSPRING CAPITAL</b>	<b>093</b>	<b>AXE</b>	<b>B</b>	<b>10,000</b>	<b>67.89</b>	<b>n/a</b>	<b>26-Apr</b>	<b>400.00</b>	<b>4.0</b>
ROSEDALE CAPITAL	046	BSX	B	40,000	6.27	n/a	n/a	1,200.00	3.0
BESSEMER INVESTMENT	085	BTU	S	680	28.98	n/a	n/a	27.20	4.0
NEWLAND CAPITAL MGT	025	CJES	S	20,000	19.63	n/a	n/a	800.00	4.0
BESSEMER INVESTMENT	085	COP	B	275	54.32	n/a	n/a	11.00	4.0
BESSEMER INVESTMENT	085	CTL	B	500	39.79	n/a	n/a	20.00	4.0
BESSEMER INVESTMENT	085	CVS	B	375	46.11	n/a	n/a	15.00	4.0
STATE TEACHERS RET SYS	047	CWH	B	3,300	18.73	n/a	n/a	165.00	5.0
NEUBERGER BERMAN	036	CYBX	B	8,627	39.08	n/a	n/a	345.08	4.0
BESSEMER INVESTMENT	085	DIS	S	1,050	45.54	n/a	n/a	42.00	4.0
BESSEMER INVESTMENT	085	DTE	B	195	56.57	n/a	n/a	7.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	EXC	B	395	39.01	n/a	n/a	15.80	4.0
BESSEMER INVESTMENT	085	FCX	B	130	35.12	n/a	n/a	5.20	4.0
BESSEMER INVESTMENT	085	FE	B	470	47.85	n/a	n/a	18.80	4.0
MACELLUM CAPITAL	086	FL	B	65,000	29.19	n/a	8-May	1,950.00	3.0
PROSPECTOR PARTNERS LLC	036	FOR	B	10,000	15.66	n/a	11-May	400.00	4.0
BESSEMER INVESTMENT	085	GD	B	140	67.22	n/a	26-Apr	5.60	4.0
DELTEC ASSET MGMT.	040	GE	S	20,000	19.07	n/a	n/a	1,000.00	5.0
BESSEMER INVESTMENT	085	GOOG	S	46	611.29	n/a	n/a	1.84	4.0
BESSEMER INVESTMENT	085	GWW	S	1,630	197.83	n/a	19-Apr	65.20	4.0
KLEINHEINZ CAP PART	031	HBI	S	50,000	26.68	n/a	20-Apr	2,500.00	5.0
SONTERRA CAP	041	HTZ	B	10,000	14.74	n/a	n/a	400.00	4.0
BESSEMER INVESTMENT	085	IBM	B	140	201.75	n/a	n/a	5.60	4.0
BESSEMER INVESTMENT	085	INTC	S	300	27.71	n/a	n/a	12.00	4.0
COLUMBUS CIRCLE	036	IPGP	S	3,264	47.33	n/a	n/a	130.56	4.0
ARSENAL ASSET MANAGEMENT	028	IP	SS	10,000	31.32	n/a	27-Apr	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	JCI	S	280	31.88	n/a	27-Apr	11.20	4.0
WELLSPRING CAPITAL	093	JCI	B	20,000	32.47	n/a	27-Apr	800.00	4.0
BESSEMER INVESTMENT	085	JNJ	B	475	64.83	n/a	n/a	19.00	4.0
PORTER-ORLIN	044	JPM	S	100,000	36.65	n/a	11-May	4,000.00	4.0
STATE TEACHERS RET SYS	047	JPM	B	50,000	37.41	n/a	11-May	2,500.00	5.0
WHITE PINE CAPITAL	030	JPM	S	28,725	37.72	n/a	11-May	1,436.25	5.0
PERSHING SQUARE	029	KFT	S	100,000	39.04	n/a	n/a	4,000.00	4.0
BASIX	033	KMT	SS	10,000	39.12	n/a	27-Apr	300.00	3.0
HARVEST MGMT (JMP)	029	LIZ	S	20,000	13.44	n/a	7-Oct	800.00	4.0
BESSEMER INVESTMENT	085	LOW	B	355	29.98	n/a	2-May	14.20	4.0
BESSEMER INVESTMENT	085	MA	S	389	425.96	n/a	2-May	15.56	4.0
BESSEMER INVESTMENT	085	MCK	S	1,910	90.45	n/a	n/a	76.40	4.0
BESSEMER INVESTMENT	085	MHP	B	380	47.44	n/a	n/a	15.20	4.0
BESSEMER INVESTMENT	085	MORN	S	1,660	55.99	n/a	n/a	66.40	4.0
BESSEMER INVESTMENT	085	MRO	B	630	26.54	n/a	n/a	25.20	4.0
BESSEMER INVESTMENT	085	MSFT	B	515	31.32	n/a	n/a	20.60	4.0
BESSEMER INVESTMENT	085	MSFT	S	660	31.32	n/a	n/a	26.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LANE GATE PARTNERS LLC	030	MWV	B	2,500	28.01	n/a	25-Apr	75.00	3.0
SUTTON BROOK	086	MWV	B	80,000	28.02	n/a	25-Apr	2,400.00	3.0
BESSEMER INVESTMENT	085	M	B	620	38.26	n/a	10-May	24.80	4.0
BESSEMER INVESTMENT	085	NEM	B	470	46.23	n/a	n/a	18.80	4.0
BESSEMER INVESTMENT	085	NFLX	S	666	77.57	n/a	n/a	26.64	4.0
NOMURA CAPITAL	029	NTK	S	700	53.11	n/a	n/a	21.00	3.0
BESSEMER INVESTMENT	085	NTRS	S	660	45.26	n/a	7-May	26.40	4.0
PRIMECAP MGMT	084	NVDA	S	9,000	13.37	n/a	n/a	360.00	4.0
BESSEMER INVESTMENT	085	OSK	S	1,500	21.85	n/a	n/a	60.00	4.0
BAIRD INVESTMENT	047	PCAR	S	21,905	39.98	n/a	25-Apr	1,095.25	5.0
KAM ADVISORS LLC	041	PCAR	C	44,300	39.89	n/a	25-Apr	1,329.00	3.0
BESSEMER INVESTMENT	085	PG	S	639	64.07	n/a	3-May	25.56	4.0
ARSENAL ASSET MANAGEMENT	028	PKG	B	10,000	28.01	n/a	18-Apr	300.00	3.0
CASTLE ARK	031	PRGO	S	35,497	102.26	n/a	9-May	1,774.85	5.0
ASCEND PARTNERS	033	PVH	S	10,000	81.51	n/a	9-May	300.00	3.0
BESSEMER INVESTMENT	085	QCOM	S	480	62.82	n/a	n/a	19.20	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SONTERRA CAP	041	RKT	B	10,000	57.35	n/a	26-Apr	400.00	4.0
BESSEMER INVESTMENT	085	ROK	S	560	78.40	n/a	n/a	22.40	4.0
MACELLUM CAPITAL	086	SCSS	SS	35,000	27.66	n/a	n/a	1,050.00	3.0
BESSEMER INVESTMENT	085	SLB	S	2,334	69.18	n/a	n/a	93.36	4.0
EPOCH INV MGMT	030	SPTN	B	18,514	17.40	n/a	n/a	555.42	3.0
BESSEMER INVESTMENT	085	STT	S	180	43.94	n/a	7-May	7.20	4.0
BESSEMER INVESTMENT	085	STX	B	255	31.44	n/a	n/a	10.20	4.0
BESSEMER INVESTMENT	085	TGT	B	285	56.00	n/a	3-May	11.40	4.0
1798 CAP/LOMBARD ASSET	040	TWI	B	35,000	26.72	n/a	n/a	1,750.00	5.0
NEUBERGER BERMAN	036	TXRH	B	98,000	18.55	n/a	n/a	3,920.00	4.0
HIGHFIELDS CAP MGMT	030	T	C	100,000	33.86	n/a	n/a	4,000.00	4.0
BESSEMER INVESTMENT	085	ULTA	S	2,000	88.10	n/a	n/a	80.00	4.0
RBC CAPITAL MARKETS	040	WMT	SS	10,000	58.87	n/a	23-Apr	400.00	4.0
BESSEMER INVESTMENT	085	WU	B	905	17.33	n/a	n/a	36.20	4.0
BESSEMER INVESTMENT	085	WWW	S	1,340	43.06	n/a	n/a	53.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	WY	B	30,000	20.16	n/a	4-May	1,500.00	5.0
BESSEMER INVESTMENT	085	ZMH	B	220	62.19	n/a	n/a	8.80	4.0
<b>TOTAL (Trade Date):</b>								<b>45,963.17</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	05/11	ROSEDALE CAPITAL (ROSEJPM)	046	BSX	B	40,000	6.27	n/a	n/a	1200.00 3.0
CRT	05/11	WHITE PINE CAPITAL (WPIN2691)	030	JPM	S	200	37.72	n/a	11-May	10.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>1,210.00</b>
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TOTAL (Trade Date) :	45,963.17
TOTAL (AO, CXL, CRT) :	1,210.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>47,173.17</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION