



# Daily Compliance Activity Report

Trade Date: 12/06/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>AAPL</b>	<b>S</b>	<b>5,000</b>	<b>322.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FRONTPOINT	266	ABD	S	20,438	7.40	n/a	n/a	817.52	4.0
PLATTE RIVER CAPITAL	240	ABT	B	52,730	46.93	n/a	n/a	2,109.20	4.0
PATTEN & PATTEN	067	AB	S	1,400	23.41	n/a	n/a	70.00	5.0
<b>Õ Nilsa Vazquez</b>		<b>ACUZD</b>	<b>S</b>	<b>500</b>	<b>14.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	ADRU	B	200	20.46	n/a	n/a	10.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>50,000</b>	<b>15.92</b>	<b>n/a</b>	<b>3-Dec</b>	<b>non-brg</b>	<b>4.0</b>
SURSUM CAPITAL	215	AEO	SS	40,000	16.03	n/a	3-Dec	1,200.00	3.0
ADAGE CAPITAL MGMT	030	ANF	B	100,000	56.35	n/a	3-Dec	3,000.00	3.0
FRONTPOINT	266	ANF	SS	102,000	56.27	n/a	3-Dec	5,100.00	5.0
TRUST CO/COWEN/CALF.	040	APC	S	56,661	69.92	n/a	2-Dec	2,833.05	5.0
PATTEN & PATTEN	067	APD	S	300	87.77	n/a	26-Nov	15.00	5.0
HOLLIS CAPITAL	033	ARBA	B	5,000	22.07	n/a	n/a	250.00	5.0
FRONTPOINT	266	BBBY	B	64,800	45.74	n/a	n/a	3,240.00	5.0
WAGONHOUND/NIC	289	BEAV	S	3,759	37.53	n/a	27-Oct	150.36	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	BP	B	500	42.91	n/a	n/a	25.00	5.0
MTB INV ADV	034	CBRL	S	2,075	53.37	n/a	n/a	103.75	5.0
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>CBRX</b>	<b>S</b>	<b>1500</b>	<b>1.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
STANDARD PACIFIC CAPITAL	040	CE	S	70,000	39.36	n/a	n/a	3,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CHS</b>	<b>S</b>	<b>70,000</b>	<b>12.43</b>	<b>n/a</b>	<b>5-Feb</b>	<b>non-brg</b>	<b>4.0</b>
MERRILL ASSET/BLACKROCK	266	CRR	S	1,042	101.50	n/a	2-Dec	41.68	4.0
MERRILL ASSET/BLACKROCK	266	CYN	B	8,400	57.30	n/a	n/a	168.00	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>DBRN</b>	<b>S</b>	<b>50,000</b>	<b>25.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>S</b>	<b>5,000</b>	<b>82.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	DPZ	S	11,425	14.80	n/a	29-Nov	571.25	5.0
PATTEN & PATTEN	067	DUK	B	532	17.68	n/a	n/a	26.60	5.0
TRUST CO/COWEN/CALF.	040	DVN	B	26,580	73.97	n/a	2-Dec	1,329.00	5.0
MILLENNIUM PARTNERS ICSUS	041	EP	SS	50,000	13.83	n/a	n/a	2,500.00	5.0
PATTEN & PATTEN	067	EXC	B	100	39.98	n/a	n/a	5.00	5.0
IVORY CAPITAL	034	FCX	B	100,000	111.00	n/a	n/a	5,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COOKBIELER	028	FLO	B	70,000	26.01	n/a	n/a	2,800.00	4.0
<b>f MICHAEL THOMAS NOLAN</b>	<b>FID</b>	<b>FOR</b>	<b>S</b>	<b>300</b>	<b>18.31</b>	<b>n/a</b>	<b>3-Nov</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FSRBX</b>	<b>B</b>	<b>176</b>	<b>17.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AVESTA CAP	229	F	B	200,000	16.72	n/a	2-Dec	6,000.00	3.0
SCOPUS FUND	041	F	B	50,000	16.71	n/a	2-Dec	2,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>1,200</b>	<b>30.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>40,000</b>	<b>29.73</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
WAGONHOUND/NIC	289	GSIC	S	6,814	24.04	n/a	n/a	272.56	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>S</b>	<b>70,000</b>	<b>27.20</b>	<b>n/a</b>	<b>28-Oct</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	067	HCF	B	8,000	7.73	n/a	n/a	400.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>HGSI</b>	<b>S</b>	<b>1000</b>	<b>25.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBIA PARTNERS	028	HNT	S	31,039	27.14	n/a	n/a	1,241.56	4.0
COLUMBIA PARTNERS	028	HP	S	21,143	47.82	n/a	n/a	845.72	4.0
COLUMBUS CIRCLE	036	HUBG	B	2,689	36.22	n/a	n/a	107.56	4.0
ARCHON CAPITAL	035	IOC	B	3,000	79.52	n/a	n/a	90.00	3.0
<b>f MICHAEL THOMAS NOLAN</b>	<b>FID</b>	<b>ITW</b>	<b>B</b>	<b>110</b>	<b>49.96</b>	<b>n/a</b>	<b>6-Dec</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RAINIER INV MGMT	035	JAH	S	18,050	31.06	n/a	n/a	722.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>20,000</b>	<b>42.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	067	KMB	B	1,700	61.71	n/a	27-Oct	85.00	5.0
MILLENNIUM PARTNERS ICSUS	041	KOG	S	50,000	5.35	n/a	n/a	2,500.00	5.0
SCOPUS FUND	041	KR	S	25,000	20.77	n/a	n/a	1,000.00	4.0
PATTEN & PATTEN	067	LAZ	B	700	38.24	n/a	12-Nov	35.00	5.0
PERRY PARTNERS	085	LOW	B	71,000	24.86	n/a	1-Dec	3,550.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>S</b>	<b>20,000</b>	<b>31.70</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
MTB INV ADV	034	LTM	S	4,175	38.86	n/a	n/a	208.75	5.0
MTB INV ADV	034	MAPP	S	8,300	14.50	n/a	n/a	415.00	5.0
<b>Õ Nilsa Vazquez</b>		<b>MON</b>	<b>S</b>	<b>200</b>	<b>63.43</b>	<b>n/a</b>	<b>23-Nov</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	MOO	S	800	51.60	n/a	n/a	40.00	5.0
PEAK INV LLC	084	MPG	S	100,000	2.43	n/a	n/a	4,000.00	4.0
MERRILL ASSET/BLACKROCK	266	MVC	B	25,000	14.24	n/a	n/a	1,000.00	4.0
SURSUM CAPITAL	215	M	B	20,000	25.08	n/a	30-Nov	600.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	NEE	B	700	50.98	n/a	n/a	35.00	5.0
PATTEN & PATTEN	067	NUE	S	1,700	39.82	n/a	n/a	85.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>PBR</b>	<b>S</b>	<b>1515</b>	<b>17.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
UNITED STATES PENSION	085	PCH	S	1,600	32.65	n/a	29-Oct	64.00	4.0
S.A.C. HEALTHCARE BOBBY	044	PFE	S	50,000	16.91	n/a	n/a	1,750.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>PIR</b>	<b>S</b>	<b>50,000</b>	<b>10.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	067	PRU	B	100	53.74	n/a	n/a	5.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>S</b>	<b>10,000</b>	<b>69.44</b>	<b>n/a</b>	<b>3-Dec</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	067	RHHBY	S	1,000	35.06	n/a	n/a	50.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>RL</b>	<b>C</b>	<b>10,000</b>	<b>111.51</b>	<b>n/a</b>	<b>11-Nov</b>	<b>non-brg</b>	<b>4.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>RRD</b>	<b>B</b>	<b>10000</b>	<b>16.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>RRD</b>	<b>B</b>	<b>10000</b>	<b>16.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>RYN</b>	<b>S</b>	<b>100</b>	<b>52.91</b>	<b>n/a</b>	<b>27-Oct</b>	<b>0.00</b>	<b>0.0</b>
MFP INVESTORS	085	SEE	B	55,000	24.15	n/a	n/a	2,750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>S</b>	<b>100,000</b>	<b>21.72</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>S</b>	<b>200,000</b>	<b>21.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>S</b>	<b>30,000</b>	<b>21.73</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
	PATTEN & PATTEN	067	SLB	S	650	82.91	n/a	n/a	32.50 5.0
<b>f</b>	<b>ABRAM M CHAPPELL</b>	<b>FID</b>	<b>SPXU</b>	<b>S</b>	<b>47</b>	<b>21.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
	ROSEDALE CAPITAL	046	SRI	S	5,500	15.34	n/a	n/a	275.00 5.0
	CRAMER ROSENTHAL/SCOTT	012	SWK	B	600	62.22	n/a	n/a	24.00 4.0
	CRAMER ROSENTHAL/SCOTT	012	SYK	B	400	51.75	n/a	n/a	16.00 4.0
	PATTEN & PATTEN	067	TEVA	B	200	48.96	n/a	6-Dec	10.00 5.0
	WAGONHOUND/NIC	289	TEX	B	7,012	27.42	n/a	22-Oct	280.48 4.0
	WHITE PINE CAPITAL	030	TEX	B	28,175	27.50	n/a	22-Oct	1,408.75 5.0
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>TLB</b>	<b>S</b>	<b>100,000</b>	<b>11.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
	CRAMER ROSENTHAL/SCOTT	012	TLM	B	1,400	19.93	n/a	n/a	56.00 4.0
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>TRLG</b>	<b>S</b>	<b>80,000</b>	<b>22.08</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
	PATTEN & PATTEN	067	TXN	S	1,300	32.91	n/a	n/a	65.00 5.0
	CRAMER ROSENTHAL/SCOTT	012	TYC	B	1,100	40.81	n/a	9-Nov	44.00 4.0
<b>f</b>	<b>ABRAM M CHAPPELL</b>	<b>FID</b>	<b>TZA</b>	<b>S</b>	<b>10</b>	<b>17.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
	PATTEN & PATTEN	067	VMC	S	600	41.78	n/a	n/a	30.00 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>VSTO</b>	<b>S</b>	<b>75</b>	<b>63.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	V	B	100	77.76	n/a	29-Oct	5.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WCG</b>	<b>S</b>	<b>1500</b>	<b>29.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KLEINHEINZ CAP PART	031	WHR	B	25,000	81.32	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	WPS	B	2,100	34.09	n/a	n/a	105.00	5.0
PATTEN & PATTEN	067	WY	B	1,779	17.73	n/a	29-Oct	88.95	5.0
SURSUM CAPITAL	215	ZUMZ	SS	20,000	29.96	n/a	n/a	600.00	3.0
<b>TOTAL (Trade Date) :</b>								<b>69,808.24</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/03	SCOPUS FUND	041	DG	B	60,220	33.46	n/a	6-Dec	2408.80 4.0
AO,CXL	12/03	SCOPUS FUND	041	DG	B	60,220	33.46	n/a	6-Dec	2408.80 4.0
AO,CRT	12/03	SCHOTTENFELD ASSOC	041	GNTX	B	10,000	25.20	n/a	25-Oct	300.00 3.0
AO,CXL	12/03	SCHOTTENFELD ASSOC	041	GNTX	B	10,000	25.20	n/a	25-Oct	300.00 3.0
AO,	12/02	PATTEN & PATTEN	067	LAZ	S	700	37.48	n/a	12-Nov	35.00 5.0
AO,CRT	12/02	PATTEN & PATTEN	067	LAZ	S	21,000	37.48	n/a	12-Nov	1050.00 5.0
AO,CXL	12/02	PATTEN & PATTEN	067	LAZ	S	21,700	37.48	n/a	12-Nov	1085.00 5.0
AO,CXL	11/29	NOMURA CAPITAL	000	LYBB	S	6,404	29.40	n/a	n/a	192.12 3.0
AO,CRT	11/29	NOMURA CAPITAL	000	LYBB	S	6,404	29.40	n/a	n/a	192.12 3.0
AO,CRT	11/30	NOMURA CAPITAL	029	LYBB	S	3,312	29.22	n/a	n/a	99.36 3.0
AO,CXL	11/30	NOMURA CAPITAL	029	LYBB	S	3,312	29.22	n/a	n/a	99.36 3.0
AO,CXL	12/01	NOMURA CAPITAL	029	LYBB	S	9,386	29.96	n/a	n/a	281.58 3.0
AO,CRT	12/01	NOMURA CAPITAL	029	LYBB	S	9,386	29.96	n/a	n/a	281.58 3.0
AO,CRT	12/03	IRIDIAN ASSET MGMT.	036	TK	S	990	32.75	n/a	n/a	49.50 5.0
AO,CXL	12/03	IRIDIAN ASSET MGMT.	036	TK	S	990	32.75	n/a	n/a	49.50 5.0

**TOTAL (AO, CXL, CRT) :****0.00**

TOTAL (Trade Date) :

69,808.24

TOTAL (AO, CXL, CRT) :

0.00



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TOTAL (RUN DATE) / NFS:

69,808.24

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MRI Securities with Trade Activity on 6-Dec.  
NONE

MRI Securities without Trade Activity on 6-Dec.  
GM

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 2%  
YTD = 11%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)