



## Daily Compliance Activity Report

Trade Date: 10/04/2012

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
HIGHBRIDGE CAP	041	AA	B	55,000	8.98	n/a	n/a	1,100.00	2.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ABCO	B	445	48.71	n/a	n/a	13.35	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ACHC	B	1,712	24.13	n/a	n/a	51.36	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ACTG	B	883	26.01	n/a	n/a	26.49	0.0
CATAPULT	040	AF	B	10,000	10.00	n/a	19-Jul	400.00	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			AMRN	B	2,100	12.15	n/a	n/a	63.00	0.0
BALYASNY ASSET MGMT	044	ANF	B	10,000	32.46	n/a	16-Aug	500.00	0.0	
CATAPULT	040	ANN	B	12,747	36.81	n/a	n/a	509.88	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ARIA	B	1,156	24.49	n/a	n/a	34.68	0.0
NOMURA/ANGEL LANE PRINCIPAL040		ARO	SS	50,000	13.25	n/a	n/a	1,500.00	3.0	
SAC CHICAGO ANDREW	031	ARO	B	6,300	13.09	n/a	n/a	220.50	3.5	
BALYASNY ASSET MGMT	044	ASNA	C	25,500	20.88	n/a	n/a	1,275.00	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ATHN	B	303	87.59	n/a	n/a	9.09	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ATU	B	1,647	28.64	n/a	n/a	49.41	0.0
DSG CAPITAL	030	AVY	B	10,000	30.24	n/a	4-Oct	300.00	3.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TUDOR INVESTORS	028	AXL	S	25,000	12.18	n/a	3-Oct	750.00	3.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		AYI	B		609	59.99	n/a	n/a	18.27 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		BANR	B		970	27.65	n/a	n/a	29.10 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		BEAV	B		736	43.15	n/a	12-Sep	22.08 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		BJRI	B		1,095	42.34	n/a	n/a	32.85 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		BLOX	B		1,169	21.40	n/a	n/a	35.07 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		BRY	B		687	38.84	n/a	n/a	20.61 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		CASY	B		516	54.20	n/a	n/a	15.48 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		CAVM	B		1,240	33.42	n/a	n/a	37.20 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		CEB	B		428	53.59	n/a	n/a	12.84 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		CLC	B		563	44.65	n/a	n/a	16.89 0.0
LOMAS CAPITAL	040	CNW	B	29,723	27.40	n/a	n/a	891.69	3.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		CPLA	B		655	36.28	n/a	n/a	19.65 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		CSTR	B		388	47.00	n/a	n/a	11.64 0.0
PORTER-ORLIN	044	CS	B	100,000	22.42	n/a	n/a	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		CYBX	B	659	52.88		n/a	n/a	19.77 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		DLLR	B	1,330	17.00		n/a	n/a	39.90 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		DXCM	B	2,254	14.79		n/a	n/a	67.62 0.0
BRIGADE CAPITAL MGMT	029	DYN	B	25,000	19.00	n/a	n/a	750.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		ECOL	B	2,075	21.99		n/a	n/a	62.25 0.0
HIGHBRIDGE CAP	041	EC	B	4,500	59.76	n/a	n/a	135.00	3.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		EGP	B	440	53.44		n/a	n/a	13.20 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		EXLS	B	2,317	29.74		n/a	n/a	69.51 0.0
ARSENAL ASSET MANAGEMENT	028	EXP	B	10,000	49.63	n/a	n/a	400.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		EXXI	B	1,302	33.81		n/a	n/a	39.06 0.0
LATEEF MGMT	035	FDX	S	1,875	85.95	n/a	n/a	226.25	16.3
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		FEIC	B	707	51.44		n/a	n/a	21.21 0.0
ROYCE TRADING	031	FINL	B	25,000	22.24	n/a	28-Sep	1,250.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		FIRE	B	453	47.45		n/a	n/a	13.59 0.0
SIMMONS VENTURES	041	FNP	B	10,000	10.55	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		FRAN	B	1,615	31.15		n/a	n/a	48.45	0.0
GUGGENHEIM GLOBAL TRADING (GGT)251		FSR	S	7,500	8.79		n/a	n/a	150.00	2.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		GME	B	1,388	22.31		n/a	n/a	41.64	0.0
LOCUST WOOD CAPITAL MGMT	044	GM	B	82,200	24.50		n/a	3-Oct	3,288.00	0.0
SAC CHICAGO ANDREW	031	GNC	S	7,500	39.67		n/a	n/a	262.50	3.5
TIDE POINT CAPITAL	034	GPI	S	3,600	63.52		n/a	3-Oct	108.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		GTLS	B	366	73.16		n/a	n/a	10.98	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		GWR	B	552	67.74		n/a	n/a	16.56	0.0
VISIUM/BALY BOBBY	044	HAL	B	10,000	33.99		n/a	n/a	500.00	0.0
LOMAS CAPITAL	040	HAR	B	22,783	46.09		n/a	3-Feb	683.49	3.0
HIGHBRIDGE CAP	041	HFC	B	4,000	39.69		n/a	n/a	120.00	3.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		HITT	B	615	55.80		n/a	n/a	18.45	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		HLS	B	1,863	23.70		n/a	n/a	55.89	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		HXL	B	1,740	24.60		n/a	24-Jul	52.20	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		ICUI	B	433	61.92		n/a	n/a	12.99	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SURVEYOR CAPITAL	251	IGT	S	112,095	13.11	n/a	13-Jun	3,362.85	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			INAP	B	6,474	7.16	n/a	n/a	194.22 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ININ	B	699	31.24	n/a	n/a	20.97 0.0
LATEEF MGMT	035	INTC	B	750	22.39	n/a	n/a	75.00	10.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			JACK	B	1,095	27.72	n/a	n/a	32.85 0.0
HIGHBRIDGE CAP	041	JBHT	B	10,000	54.09	n/a	n/a	300.00	3.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			JLL	B	604	77.31	n/a	n/a	18.12 0.0
EATON VANCE MGMT	029	JNJ	S	25,424	69.34	n/a	n/a	762.72	0.0
CRAMER ROSENTHAL	070	JWN	B	11,900	56.53	n/a	28-Sep	476.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			KALU	B	589	58.20	n/a	n/a	17.67 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			KEG	B	3,410	6.84	n/a	1-Oct	102.30 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			KERX	B	7,179	2.70	n/a	n/a	215.37 0.0
CATAPULT	040	KEY	SS	50,000	8.84	n/a	n/a	2,000.00	0.0
CATAPULT	040	KEY	S	25,000	8.80	n/a	n/a	1,000.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			KIOR	B	2,511	7.91	n/a	n/a	75.33 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			KRA	B	939	22.02	n/a	n/a	28.17 0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
CATAPULT	040	KRE	S	10,000	28.91	n/a	n/a	400.00	0.0	
SUFFOLK CAPITAL MGMT	040	KRFT	S	18,000	46.21	n/a	n/a	720.00	0.0	
BNP PARIBAS SEC	040	KR	SS	25,000	23.80	n/a	n/a	750.00	3.0	
DELTEC ASSET MGMT.	040	KSS	B	10,000	51.59	n/a	28-Sep	500.00	5.0	
J. GOLDMAN	028	KSS	S	10,000	52.02	n/a	28-Sep	400.00	4.0	
SIMMONS VENTURES	041	KSS	B	5,000	50.50	n/a	28-Sep	200.00	4.0	
DEVENNY CAP LLC/MILLENIUM	044	LEA	S	22,164	41.10	n/a	3-Aug	664.92	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			MAA	B	425	64.10	n/a	n/a	12.75	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			MAPP	B	1,672	16.01	n/a	n/a	50.16	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			MASI	B	1,411	23.92	n/a	n/a	42.33	0.0
ARSENAL ASSET MANAGEMENT	028	MDC	SS	10,000	39.90	n/a	n/a	400.00	0.0	
ARSENAL ASSET MANAGEMENT	028	MHK	S	5,000	80.72	n/a	n/a	200.00	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			MSPD	B	9,807	3.62	n/a	n/a	294.21	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			MTN	B	876	57.18	n/a	n/a	26.28	0.0
NOMURA/ANGEL LANE PRINCIPAL	040	M	B	40,000	39.72	n/a	28-Sep	1,200.00	3.0	
SIGMA CAPITAL ASSOCIATES	041	M	S	25,000	39.80	n/a	28-Sep	875.00	3.5	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			NLNK	B	1,103	15.59	n/a	n/a	33.09	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			NTCT	B	874	24.74	n/a	n/a	26.22	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			NTSP	B	6,950	10.21	n/a	n/a	208.50	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			OAS	B	1,441	30.41	n/a	n/a	43.23	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ODFL	B	822	29.62	n/a	n/a	24.66	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			OPNT	B	1,727	33.40	n/a	n/a	51.81	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			OSIS	B	314	75.49	n/a	n/a	9.42	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			PES	B	4,852	7.24	n/a	n/a	145.56	0.0
EATON VANCE MGMT	029	PFE	S	55,543	25.37	n/a	n/a	1,666.29	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			PIR	B	2,268	18.98	n/a	n/a	68.04	0.0
LATEEF MGMT	035	PKG	S	1,500	35.96	n/a	24-Sep	150.00	10.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			PMTC	B	1,784	22.05	n/a	n/a	53.52	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			PRXL	B	1,419	32.17	n/a	n/a	42.57	0.0
VISIUM/BALY BOBBY	044	PWR	S	20,000	24.82	n/a	n/a	1,000.00	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ROG	B	728	43.08	n/a	n/a	21.84	0.0
TIDE POINT CAPITAL	034	ROK	B	6,400	70.44	n/a	n/a	192.00	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		ROSE	B	796	45.76		n/a	n/a	23.88	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		RRGB	B	935	31.86		n/a	n/a	28.05	0.0
NEW JERSEY DIV OF INV.	030	SBH	B	20,000	25.68	n/a	n/a	800.00	4.0	
SUFFOLK CAPITAL MGMT	040	SBUX	S	23,200	48.91	n/a	4-Oct	928.00	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SCSS	B	1,345	32.52		n/a	n/a	40.35	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SFLY	B	1,484	30.06		n/a	n/a	44.52	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SGMO	B	2,234	6.10		n/a	n/a	67.02	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SGMS	B	4,340	7.84		n/a	n/a	130.20	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SKT	B	857	31.92		n/a	n/a	25.71	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SKUL	B	2,158	13.93		n/a	n/a	64.74	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SODA	B	653	36.17		n/a	n/a	19.59	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SONS	B	15,020	1.92		n/a	n/a	450.60	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SPF	B	1,324	7.19		n/a	n/a	39.72	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SPNC	B	2,316	14.79		n/a	n/a	69.48	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SPPI	B	1,643	12.02		n/a	n/a	49.29	0.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AMBER CAPITAL	046	S	SS	250,000	5.06	n/a	n/a	5,000.00	2.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			TFM	B	335	60.31	n/a	n/a	10.05 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			THLD	B	2,688	6.44	n/a	n/a	80.64 0.0
PERIMETER	273	TMH	B	39,780	27.09	n/a	n/a	1,591.20	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			TNGO	B	1,735	12.56	n/a	n/a	52.05 0.0
NEW JERSEY DIV OF INV.	030	TPX	B	20,000	31.01	n/a	n/a	800.00	4.0
LISTED ERR	099	TPX	B	2,100	32.00	n/a	n/a	0.00	0.0
LISTED ERR	099	TPX	SS	2,100	31.07	n/a	n/a	0.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			TSRX	B	3,784	5.80	n/a	n/a	113.52 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			UNFI	B	554	58.99	n/a	n/a	16.62 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			VOLC	B	885	27.90	n/a	n/a	26.55 0.0
RIDGECREST INV MGMT LLC	028	VRA	B	5,000	25.44	n/a	n/a	200.00	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			WCG	B	442	55.81	n/a	n/a	13.26 0.0
NEW JERSEY DIV OF INV.	030	WTS	B	20,000	38.54	n/a	n/a	800.00	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			WXS	B	535	71.28	n/a	n/a	16.05 0.0
HIGHBRIDGE CAP	041	XLI	B	27,000	37.08	n/a	n/a	540.00	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAP	041	X	B	25,000	19.56	n/a	n/a	750.00	3.0
CATAPULT	040	ZION	S	20,000	21.84	n/a	n/a	800.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			ZUMZ	B	1,587	26.36	n/a	n/a	47.61 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035			Z	B	547	37.46	n/a	n/a	16.41 0.0
<b>TOTAL (Trade Date):</b>							<b>53,651.72</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	ACE	S	205	77.17	n/a	n/a	8.20	4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	ACE	S	205	77.17	n/a	n/a	8.20	4.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	ACN	S	365	70.47	n/a	n/a	14.60	4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	ACN	S	365	70.47	n/a	n/a	14.60	4.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM285)	036	ADT	B	90	39.37	n/a	n/a	4.50	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM306)	036	ADT	B	20	39.37	n/a	n/a	1.00	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM346)	036	ADT	B	280	39.37	n/a	n/a	14.00	5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM285)	036	ADT	B	90	39.37	n/a	n/a	4.50	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM306)	036	ADT	B	20	39.37	n/a	n/a	1.00	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM346)	036	ADT	B	280	39.37	n/a	n/a	14.00	0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM91)	036	ADT	B	1,930	39.37	n/a	n/a	96.50	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM301)	036	ADT	B	630	39.37	n/a	n/a	31.50	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM344)	036	ADT	B	130	39.37	n/a	n/a	6.50	5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM91)	036	ADT	B	1,930	39.37	n/a	n/a	96.50	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM301)	036	ADT	B	630	39.37	n/a	n/a	31.50	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM344)	036	ADT	B	130	39.37	n/a	n/a	6.50	0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM138)	036	ADT	B	320	39.37	n/a	n/a	16.00	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM283)	036	ADT	B	60	39.37	n/a	n/a	3.00	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM343)	036	ADT	B	110	39.37	n/a	n/a	5.50	5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM138)	036	ADT	B	320	39.37	n/a	n/a	16.00	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM283)	036	ADT	B	60	39.37	n/a	n/a	3.00	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM343)	036	ADT	B	110	39.37	n/a	n/a	5.50	0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM179)	036	ADT	B	190	39.37	n/a	n/a	9.50	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM282)	036	ADT	B	250	39.37	n/a	n/a	12.50	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM345)	036	ADT	B	540	39.37	n/a	n/a	27.00	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM179)	036	ADT	B	190	39.37	n/a	n/a	9.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM282)	036	ADT	B	250	39.37	n/a	n/a	12.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM345)	036	ADT	B	540	39.37	n/a	n/a	27.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM86)	036	ADT	B	1,430	39.37	n/a	n/a	71.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM279)	036	ADT	B	220	39.37	n/a	n/a	11.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM342)	036	ADT	B	110	39.37	n/a	n/a	5.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM86)	036	ADT	B	1,430	39.37	n/a	n/a	71.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM279)	036	ADT	B	220	39.37	n/a	n/a	11.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM342)	036	ADT	B	110	39.37	n/a	n/a	5.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM32)	036	ADT	B	560	39.37	n/a	n/a	28.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM172)	036	ADT	B	380	39.37	n/a	n/a	19.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM341)	036	ADT	B	110	39.37	n/a	n/a	5.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM32)	036	ADT	B	560	39.37	n/a	n/a	28.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM172)	036	ADT	B	380	39.37	n/a	n/a	19.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM341)	036	ADT	B	110	39.37	n/a	n/a	5.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM45)	036	ADT	B	500	39.37	n/a	n/a	25.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM155)	036	ADT	B	60	39.37	n/a	n/a	3.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM340)	036	ADT	B	410	39.37	n/a	n/a	20.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM45)	036	ADT	B	500	39.37	n/a	n/a	25.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM155)	036	ADT	B	60	39.37	n/a	n/a	3.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM340)	036	ADT	B	410	39.37	n/a	n/a	20.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM185)	036	ADT	B	790	39.37	n/a	n/a	39.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM177)	036	ADT	B	600	39.37	n/a	n/a	30.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM334)	036	ADT	B	10	39.37	n/a	n/a	0.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM185)	036	ADT	B	790	39.37	n/a	n/a	39.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM177)	036	ADT	B	600	39.37	n/a	n/a	30.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM334)	036	ADT	B	10	39.37	n/a	n/a	0.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM174)	036	ADT	B	460	39.37	n/a	n/a	23.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM198)	036	ADT	B	570	39.37	n/a	n/a	28.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM337)	036	ADT	B	280	39.37	n/a	n/a	14.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM0022)	036	ADT	B	1,120	39.37	n/a	n/a	56.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM174)	036	ADT	B	460	39.37	n/a	n/a	23.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM198)	036	ADT	B	570	39.37	n/a	n/a	28.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM337)	036	ADT	B	280	39.37	n/a	n/a	14.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM0022)	036	ADT	B	1,120	39.37	n/a	n/a	56.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM295)	036	ADT	B	60	39.37	n/a	n/a	3.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM134)	036	ADT	B	200	39.37	n/a	n/a	10.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM335)	036	ADT	B	1,570	39.37	n/a	n/a	78.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM30)	036	ADT	B	150	39.37	n/a	n/a	7.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM295)	036	ADT	B	60	39.37	n/a	n/a	3.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM134)	036	ADT	B	200	39.37	n/a	n/a	10.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM335)	036	ADT	B	1,570	39.37	n/a	n/a	78.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM30)	036	ADT	B	150	39.37	n/a	n/a	7.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM8002)	036	ADT	B	5,130	39.37	n/a	n/a	256.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM124)	036	ADT	B	100	39.37	n/a	n/a	5.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM333)	036	ADT	B	700	39.37	n/a	n/a	35.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM368)	036	ADT	B	1,140	39.37	n/a	n/a	57.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM8002)	036	ADT	B	5,130	39.37	n/a	n/a	256.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM124)	036	ADT	B	100	39.37	n/a	n/a	5.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM333)	036	ADT	B	700	39.37	n/a	n/a	35.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM368)	036	ADT	B	1,140	39.37	n/a	n/a	57.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM125)	036	ADT	B	7,570	39.37	n/a	n/a	378.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM106)	036	ADT	B	270	39.37	n/a	n/a	13.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM305)	036	ADT	B	2,790	39.37	n/a	n/a	139.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM367)	036	ADT	B	400	39.37	n/a	n/a	20.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM125)	036	ADT	B	7,570	39.37	n/a	n/a	378.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM106)	036	ADT	B	270	39.37	n/a	n/a	13.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM305)	036	ADT	B	2,790	39.37	n/a	n/a	139.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM367)	036	ADT	B	400	39.37	n/a	n/a	20.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM62)	036	ADT	B	2,630	39.37	n/a	n/a	131.50 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM98)	036	ADT	B	1,600	39.37	n/a	n/a	80.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM294)	036	ADT	B	180	39.37	n/a	n/a	9.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM364)	036	ADT	B	120	39.37	n/a	n/a	6.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM62)	036	ADT	B	2,630	39.37	n/a	n/a	131.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM98)	036	ADT	B	1,600	39.37	n/a	n/a	80.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM294)	036	ADT	B	180	39.37	n/a	n/a	9.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM364)	036	ADT	B	120	39.37	n/a	n/a	6.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM53)	036	ADT	B	8,080	39.37	n/a	n/a	404.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM95)	036	ADT	B	290	39.37	n/a	n/a	14.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM187)	036	ADT	B	830	39.37	n/a	n/a	41.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM366)	036	ADT	B	60	39.37	n/a	n/a	3.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM53)	036	ADT	B	8,080	39.37	n/a	n/a	404.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM95)	036	ADT	B	290	39.37	n/a	n/a	14.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM187)	036	ADT	B	830	39.37	n/a	n/a	41.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM366)	036	ADT	B	60	39.37	n/a	n/a	3.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM26)	036	ADT	B	6,230	39.37	n/a	n/a	311.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM33)	036	ADT	B	180	39.37	n/a	n/a	9.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM8008)	036	ADT	B	820	39.37	n/a	n/a	41.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM365)	036	ADT	B	40	39.37	n/a	n/a	2.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM26)	036	ADT	B	6,230	39.37	n/a	n/a	311.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM33)	036	ADT	B	180	39.37	n/a	n/a	9.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM8008)	036	ADT	B	820	39.37	n/a	n/a	41.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM365)	036	ADT	B	40	39.37	n/a	n/a	2.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM24)	036	ADT	B	1,850	39.37	n/a	n/a	92.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM220)	036	ADT	B	230	39.37	n/a	n/a	11.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM330)	036	ADT	B	400	39.37	n/a	n/a	20.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM363)	036	ADT	B	250	39.37	n/a	n/a	12.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM24)	036	ADT	B	1,850	39.37	n/a	n/a	92.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM220)	036	ADT	B	230	39.37	n/a	n/a	11.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM330)	036	ADT	B	400	39.37	n/a	n/a	20.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM363)	036	ADT	B	250	39.37	n/a	n/a	12.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM16)	036	ADT	B	270	39.37	n/a	n/a	13.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM274)	036	ADT	B	820	39.37	n/a	n/a	41.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM329)	036	ADT	B	140	39.37	n/a	n/a	7.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM362)	036	ADT	B	50	39.37	n/a	n/a	2.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM16)	036	ADT	B	270	39.37	n/a	n/a	13.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM274)	036	ADT	B	820	39.37	n/a	n/a	41.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM329)	036	ADT	B	140	39.37	n/a	n/a	7.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM362)	036	ADT	B	50	39.37	n/a	n/a	2.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM12)	036	ADT	B	1,120	39.37	n/a	n/a	56.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM280)	036	ADT	B	50	39.37	n/a	n/a	2.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM327)	036	ADT	B	1,040	39.37	n/a	n/a	52.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM361)	036	ADT	B	30	39.37	n/a	n/a	1.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM12)	036	ADT	B	1,120	39.37	n/a	n/a	56.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM280)	036	ADT	B	50	39.37	n/a	n/a	2.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM327)	036	ADT	B	1,040	39.37	n/a	n/a	52.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM361)	036	ADT	B	30	39.37	n/a	n/a	1.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM4)	036	ADT	B	5,130	39.37	n/a	n/a	256.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM302)	036	ADT	B	440	39.37	n/a	n/a	22.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM328)	036	ADT	B	50	39.37	n/a	n/a	2.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM360)	036	ADT	B	130	39.37	n/a	n/a	6.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM4)	036	ADT	B	5,130	39.37	n/a	n/a	256.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM302)	036	ADT	B	440	39.37	n/a	n/a	22.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM328)	036	ADT	B	50	39.37	n/a	n/a	2.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM360)	036	ADT	B	130	39.37	n/a	n/a	6.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM192)	036	ADT	B	10,100	39.37	n/a	n/a	505.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM136)	036	ADT	B	230	39.37	n/a	n/a	11.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM326)	036	ADT	B	50	39.37	n/a	n/a	2.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM359)	036	ADT	B	250	39.37	n/a	n/a	12.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM192)	036	ADT	B	10,100	39.37	n/a	n/a	505.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM136)	036	ADT	B	230	39.37	n/a	n/a	11.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM326)	036	ADT	B	50	39.37	n/a	n/a	2.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM359)	036	ADT	B	250	39.37	n/a	n/a	12.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM143)	036	ADT	B	160	39.37	n/a	n/a	8.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM325)	036	ADT	B	30	39.37	n/a	n/a	1.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM357)	036	ADT	B	30	39.37	n/a	n/a	1.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM182)	036	ADT	B	4,780	39.37	n/a	n/a	239.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM143)	036	ADT	B	160	39.37	n/a	n/a	8.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM325)	036	ADT	B	30	39.37	n/a	n/a	1.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM357)	036	ADT	B	30	39.37	n/a	n/a	1.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM182)	036	ADT	B	4,780	39.37	n/a	n/a	239.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM151)	036	ADT	B	490	39.37	n/a	n/a	24.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM0323)	036	ADT	B	40	39.37	n/a	n/a	2.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM358)	036	ADT	B	160	39.37	n/a	n/a	8.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM151)	036	ADT	B	490	39.37	n/a	n/a	24.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM0323)	036	ADT	B	40	39.37	n/a	n/a	2.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM358)	036	ADT	B	160	39.37	n/a	n/a	8.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM157)	036	ADT	B	230	39.37	n/a	n/a	11.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM0319)	036	ADT	B	140	39.37	n/a	n/a	7.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM356)	036	ADT	B	330	39.37	n/a	n/a	16.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM157)	036	ADT	B	230	39.37	n/a	n/a	11.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM0319)	036	ADT	B	140	39.37	n/a	n/a	7.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM356)	036	ADT	B	330	39.37	n/a	n/a	16.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM114)	036	ADT	B	440	39.37	n/a	n/a	22.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM317)	036	ADT	B	240	39.37	n/a	n/a	12.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM355)	036	ADT	B	80	39.37	n/a	n/a	4.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM114)	036	ADT	B	440	39.37	n/a	n/a	22.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM317)	036	ADT	B	240	39.37	n/a	n/a	12.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM355)	036	ADT	B	80	39.37	n/a	n/a	4.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM55)	036	ADT	B	1,250	39.37	n/a	n/a	62.50 5.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM314)	036	ADT	B	60	39.37	n/a	n/a	3.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM312)	036	ADT	B	210	39.37	n/a	n/a	10.50 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM55)	036	ADT	B	1,250	39.37	n/a	n/a	62.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM314)	036	ADT	B	60	39.37	n/a	n/a	3.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM312)	036	ADT	B	210	39.37	n/a	n/a	10.50 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM57)	036	ADT	B	640	39.37	n/a	n/a	32.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM313)	036	ADT	B	50	39.37	n/a	n/a	2.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM354)	036	ADT	B	580	39.37	n/a	n/a	29.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM57)	036	ADT	B	640	39.37	n/a	n/a	32.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM313)	036	ADT	B	50	39.37	n/a	n/a	2.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM354)	036	ADT	B	580	39.37	n/a	n/a	29.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM28)	036	ADT	B	1,210	39.37	n/a	n/a	60.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM310)	036	ADT	B	420	39.37	n/a	n/a	21.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM353)	036	ADT	B	280	39.37	n/a	n/a	14.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM28)	036	ADT	B	1,210	39.37	n/a	n/a	60.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM310)	036	ADT	B	420	39.37	n/a	n/a	21.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM353)	036	ADT	B	280	39.37	n/a	n/a	14.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM40)	036	ADT	B	400	39.37	n/a	n/a	20.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM309)	036	ADT	B	510	39.37	n/a	n/a	25.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM351)	036	ADT	B	60	39.37	n/a	n/a	3.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM40)	036	ADT	B	400	39.37	n/a	n/a	20.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM309)	036	ADT	B	510	39.37	n/a	n/a	25.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM351)	036	ADT	B	60	39.37	n/a	n/a	3.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM43)	036	ADT	B	260	39.37	n/a	n/a	13.00 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM128)	036	ADT	B	30	39.37	n/a	n/a	1.50 5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM348)	036	ADT	B	8,220	39.37	n/a	n/a	411.00 5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM43)	036	ADT	B	260	39.37	n/a	n/a	13.00 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM128)	036	ADT	B	30	39.37	n/a	n/a	1.50 0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM348)	036	ADT	B	8,220	39.37	n/a	n/a	411.00 0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM59)	036	ADT	B	240	39.37	n/a	n/a	12.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM298)	036	ADT	B	20	39.37	n/a	n/a	1.00	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM350)	036	ADT	B	80	39.37	n/a	n/a	4.00	5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM59)	036	ADT	B	240	39.37	n/a	n/a	12.00	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM298)	036	ADT	B	20	39.37	n/a	n/a	1.00	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM350)	036	ADT	B	80	39.37	n/a	n/a	4.00	0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM166)	036	ADT	B	1,020	39.37	n/a	n/a	51.00	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM307)	036	ADT	B	1,860	39.37	n/a	n/a	93.00	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM349)	036	ADT	B	3,020	39.37	n/a	n/a	151.00	5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM166)	036	ADT	B	1,020	39.37	n/a	n/a	51.00	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM307)	036	ADT	B	1,860	39.37	n/a	n/a	93.00	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM349)	036	ADT	B	3,020	39.37	n/a	n/a	151.00	0.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM112)	036	ADT	B	2,970	39.37	n/a	n/a	148.50	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM308)	036	ADT	B	460	39.37	n/a	n/a	23.00	5.0
AO,CRT	10/03	IRIDIAN ASSET MGMT. (IRAM347)	036	ADT	B	180	39.37	n/a	n/a	9.00	5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM112)	036	ADT	B	2,970	39.37	n/a	n/a	148.50	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM308)	036	ADT	B	460	39.37	n/a	n/a	23.00	0.0
AO,CXL	10/03	IRIDIAN ASSET MGMT. (IRAM347)	036	ADT	B	180	39.37	n/a	n/a	9.00	0.0
AO,CRT	10/03	TUDOR INVESTORS (TUDRJPM PB)	028	ADT	B	5,000	39.40	n/a	n/a	150.00	3.0
AO,CXL	10/03	TUDOR INVESTORS (TUDRJPM PB)	028	ADT	B	5,000	39.40	n/a	n/a	150.00	3.0
AO,CRT	10/03	PARAMETER CAPITAL MGMT LLC (PARACSPB)	044	AMTD	B	100,000	15.73	n/a	n/a	4000.00	4.0
AO,CXL	10/03	PARAMETER CAPITAL MGMT LLC (PARACSPB)	044	AMTD	B	100,000	15.73	n/a	n/a	4000.00	4.0
AO,CRT	10/03	CENTURION PARTNERS (CENTBSPT)	041	AXL	B	15,000	11.60	n/a	3-Oct	600.00	4.0
AO,CXL	10/03	CENTURION PARTNERS (CENTBSPT)	041	AXL	B	15,000	11.60	n/a	3-Oct	600.00	4.0
AO,CRT	10/03	GEORGE WEISS (WEISCITIPB)	034	AXL	S	5,400	11.84	n/a	3-Oct	216.00	4.0
AO,CXL	10/03	GEORGE WEISS (WEISCITIPB)	034	AXL	S	5,400	11.84	n/a	3-Oct	216.00	0.0
AO,CRT	10/03	LOMAS CAPITAL (LOMACSFB)	040	BA	SS	27,077	69.63	n/a	12-Sep	812.31	3.0
AO,CXL	10/03	LOMAS CAPITAL (LOMACSFB)	040	BA	SS	27,077	69.63	n/a	12-Sep	812.31	3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	CATAPULT (CATAML)	040	BAC	B	10,000	8.96	n/a	3-Oct	400.00 4.0
AO,CRT	10/03	CATAPULT (CATAML)	040	BAC	B	10,000	8.94	n/a	3-Oct	400.00 4.0
AO,CXL	10/03	CATAPULT (CATAML)	040	BAC	B	10,000	8.96	n/a	3-Oct	400.00 0.0
AO,CXL	10/03	CATAPULT (CATAML)	040	BAC	B	10,000	8.94	n/a	3-Oct	400.00 0.0
AO,CRT	10/03	SMITH MGMT/ALDEN GLOBAL (SMITJPMOR)	029	BZH	S	250,000	3.68	n/a	n/a	7500.00 3.0
AO,CXL	10/03	SMITH MGMT/ALDEN GLOBAL (SMITJPMOR)	029	BZH	S	250,000	3.68	n/a	n/a	7500.00 0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	C	S	475	33.45	n/a	3-Oct	19.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	C	S	475	33.45	n/a	3-Oct	19.00 4.0
AO,CRT	10/03	OSKIE CAPITAL MGMT (OSKIGSPB)	224	CBS	S	50,000	34.72	n/a	n/a	2500.00 5.0
AO,CXL	10/03	OSKIE CAPITAL MGMT (OSKIGSPB)	224	CBS	S	50,000	34.72	n/a	n/a	2500.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CNI	SS	2,100	88.74	n/a	n/a	105.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CNI	SS	11,500	88.74	n/a	n/a	575.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CNI	SS	1,400	88.74	n/a	n/a	70.00 5.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CNI	SS	2,100	88.74	n/a	n/a	105.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CNI	SS	11,500	88.74	n/a	n/a	575.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CNI	SS	1,400	88.74	n/a	n/a	70.00 0.0
AO,CRT	10/03	CENTURION PARTNERS (CENTBSPT)	041	COH	B	10,000	56.63	n/a	1-Aug	400.00 4.0
AO,CXL	10/03	CENTURION PARTNERS (CENTBSPT)	041	COH	B	10,000	56.63	n/a	1-Aug	400.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	COP	S	200	57.14	n/a	n/a	8.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	COP	S	200	57.14	n/a	n/a	8.00 4.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CP	B	2,100	85.88	n/a	n/a	105.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CP	B	11,500	85.88	n/a	n/a	575.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CP	B	1,400	85.88	n/a	n/a	70.00 5.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CP	B	2,100	85.88	n/a	n/a	105.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CP	B	11,500	85.88	n/a	n/a	575.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYCS)	044	CP	B	1,400	85.88	n/a	n/a	70.00 0.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4275)	029	CTB	B	900	19.28	n/a	n/a	27.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4158)	029	CTB	B	300	19.28	n/a	n/a	9.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4158)	029	CTB	B	300	19.28	n/a	n/a	9.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4208)	029	CTB	B	1,000	19.28	n/a	n/a	30.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4208)	029	CTB	B	1,000	19.28	n/a	n/a	30.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4195)	029	CTB	B	500	19.28	n/a	n/a	15.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4195)	029	CTB	B	500	19.28	n/a	n/a	15.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4193)	029	CTB	B	500	19.28	n/a	n/a	15.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4193)	029	CTB	B	500	19.28	n/a	n/a	15.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4025)	029	CTB	B	100	19.28	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4025)	029	CTB	B	100	19.28	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4184)	029	CTB	B	500	19.28	n/a	n/a	15.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4184)	029	CTB	B	500	19.28	n/a	n/a	15.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4152)	029	CTB	B	100	19.28	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4152)	029	CTB	B	100	19.28	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4151)	029	CTB	B	100	19.28	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4151)	029	CTB	B	100	19.28	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4131)	029	CTB	B	200	19.28	n/a	n/a	6.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4131)	029	CTB	B	200	19.28	n/a	n/a	6.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4129)	029	CTB	B	200	19.28	n/a	n/a	6.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4129)	029	CTB	B	200	19.28	n/a	n/a	6.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4124)	029	CTB	B	100	19.28	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4124)	029	CTB	B	100	19.28	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4109)	029	CTB	B	300	19.28	n/a	n/a	9.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4109)	029	CTB	B	300	19.28	n/a	n/a	9.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4240)	029	CTB	B	700	19.28	n/a	n/a	21.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4240)	029	CTB	B	700	19.28	n/a	n/a	21.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4125)	029	CTB	B	1,000	19.28	n/a	n/a	30.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4125)	029	CTB	B	1,000	19.28	n/a	n/a	30.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4020)	029	CTB	B	1,100	19.28	n/a	n/a	33.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4020)	029	CTB	B	1,100	19.28	n/a	n/a	33.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOSPWOMNI)	029	CTB	B	57	19.28	n/a	n/a	1.44 2.5
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4133)	029	CTB	B	5,000	19.28	n/a	n/a	150.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOSPWOMNI)	029	CTB	B	57	19.28	n/a	n/a	1.44 0.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4133)	029	CTB	B	5,000	19.28	n/a	n/a	150.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOSMSDWOM)	029	CTB	B	698	19.28	n/a	n/a	17.73 2.5
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4133)	029	CTB	B	1,000	19.28	n/a	n/a	30.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOSMSDWOM)	029	CTB	B	698	19.28	n/a	n/a	17.73 0.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4133)	029	CTB	B	1,000	19.28	n/a	n/a	30.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4321)	029	CTB	B	1,900	19.28	n/a	n/a	57.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4321)	029	CTB	B	1,900	19.28	n/a	n/a	57.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4323)	029	CTB	B	2,200	19.28	n/a	n/a	66.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4323)	029	CTB	B	2,200	19.28	n/a	n/a	66.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4108)	029	CTB	B	1,000	19.28	n/a	n/a	30.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4108)	029	CTB	B	1,000	19.28	n/a	n/a	30.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4121)	029	CTB	B	1,200	19.28	n/a	n/a	36.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4121)	029	CTB	B	1,200	19.28	n/a	n/a	36.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4150)	029	CTB	B	100	19.28	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4150)	029	CTB	B	100	19.28	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4112)	029	CTB	B	100	19.28	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4112)	029	CTB	B	100	19.28	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4102)	029	CTB	B	600	19.28	n/a	n/a	18.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4102)	029	CTB	B	600	19.28	n/a	n/a	18.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4096)	029	CTB	B	100	19.28	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4096)	029	CTB	B	100	19.28	n/a	n/a	3.00 0.0

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AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4057)	029	CTB	B	100	19.28	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4057)	029	CTB	B	100	19.28	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4191)	029	CTB	B	100	19.28	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4191)	029	CTB	B	100	19.28	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4212)	029	CTB	B	700	19.28	n/a	n/a	21.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4212)	029	CTB	B	700	19.28	n/a	n/a	21.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4192)	029	CTB	B	600	19.28	n/a	n/a	18.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4192)	029	CTB	B	600	19.28	n/a	n/a	18.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4202)	029	CTB	B	500	19.28	n/a	n/a	15.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4202)	029	CTB	B	500	19.28	n/a	n/a	15.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4205)	029	CTB	B	600	19.28	n/a	n/a	18.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4205)	029	CTB	B	600	19.28	n/a	n/a	18.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4275)	029	CTB	B	900	19.28	n/a	n/a	27.00 3.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	CTL	S	370	39.76	n/a	n/a	14.80 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	CTL	S	370	39.76	n/a	n/a	14.80 4.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	CVS	S	275	48.53	n/a	n/a	11.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	CVS	S	275	48.53	n/a	n/a	11.00 4.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	DE	B	4,900	81.70	n/a	11-Jul	196.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	DE	B	4,900	81.70	n/a	11-Jul	196.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	DE	B	19,000	81.70	n/a	11-Jul	760.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	DE	B	19,000	81.70	n/a	11-Jul	760.00 0.0
AO,CRT	10/03	SUFFOLK CAPITAL MGMT (SUFFUNYN)	040	DE	B	21,200	81.74	n/a	11-Jul	848.00 4.0
AO,CXL	10/03	SUFFOLK CAPITAL MGMT (SUFFUNYN)	040	DE	B	21,200	81.74	n/a	11-Jul	848.00 0.0
AO,CRT	10/03	SUFFOLK CAPITAL MGMT (SUFFKDYN)	040	DE	B	13,800	81.74	n/a	11-Jul	552.00 4.0
AO,CXL	10/03	SUFFOLK CAPITAL MGMT (SUFFKDYN)	040	DE	B	13,800	81.74	n/a	11-Jul	552.00 0.0
AO,CRT	10/03	PATRIOT STRATEGY PARTNERS (PATRJPM)	036	DG	B	5,000	51.73	n/a	5-Sep	200.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	PATRIOT STRATEGY PARTNERS (PATRJPM)	036	DG	B	5,000	51.73	n/a	5-Sep	200.00 4.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	DHR	B	3,600	56.45	n/a	n/a	144.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	DHR	B	3,600	56.45	n/a	n/a	144.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC104092)	035	DHR	B	2,025	56.45	n/a	n/a	81.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC104092)	035	DHR	B	2,025	56.45	n/a	n/a	81.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	DHR	B	9,300	56.45	n/a	n/a	372.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	DHR	B	9,300	56.45	n/a	n/a	372.00 0.0
AO,CRT	10/03	OHIO PERS (OHIO2010)	047	DHR	B	40,400	56.14	n/a	n/a	1212.00 3.0
AO,CXL	10/03	OHIO PERS (OHIO2010)	047	DHR	B	40,400	56.14	n/a	n/a	1212.00 0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	DTE	S	135	59.95	n/a	n/a	5.40 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	DTE	S	135	59.95	n/a	n/a	5.40 4.0
AO,CRT	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	EOG	S	4,500	112.44	n/a	n/a	135.00 3.0
AO,CXL	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	EOG	S	4,500	112.44	n/a	n/a	135.00 3.0
AO,CRT	10/03	LOMAS CAPITAL (LOMACSFB)	040	EQR	S	33,372	57.53	n/a	n/a	1001.16 3.0
AO,CXL	10/03	LOMAS CAPITAL (LOMACSFB)	040	EQR	S	33,372	57.53	n/a	n/a	1001.16 3.0
AO,CRT	10/03	CATAPULT (CATAML)	040	ETFC	B	16,952	8.98	n/a	n/a	678.08 4.0
AO,CXL	10/03	CATAPULT (CATAML)	040	ETFC	B	16,952	8.98	n/a	n/a	678.08 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC104092)	035	ETN	B	475	46.72	n/a	4-Oct	19.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC104092)	035	ETN	B	475	46.72	n/a	4-Oct	19.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	ETN	B	36,900	46.72	n/a	4-Oct	1476.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	ETN	B	36,900	46.72	n/a	4-Oct	1476.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC89V011)	035	ETN	B	3,550	46.72	n/a	4-Oct	142.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC89V011)	035	ETN	B	3,550	46.72	n/a	4-Oct	142.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMCNBREVA)	035	ETN	B	2,375	46.72	n/a	4-Oct	95.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	NCM CAPITAL MGMT (NCMCNBREVA)	035	ETN	B	2,375	46.72	n/a	4-Oct	95.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMCPROMID)	035	ETN	B	2,200	46.72	n/a	4-Oct	88.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMCPROMID)	035	ETN	B	2,200	46.72	n/a	4-Oct	88.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC72578)	035	ETN	B	1,240	46.72	n/a	4-Oct	49.60 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC72578)	035	ETN	B	1,240	46.72	n/a	4-Oct	49.60 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	ETN	B	12,900	46.72	n/a	4-Oct	516.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	ETN	B	12,900	46.72	n/a	4-Oct	516.00 0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	EXC	S	355	35.53	n/a	n/a	14.20 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	EXC	S	355	35.53	n/a	n/a	14.20 4.0
AO,CRT	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	EXP	B	1,000	48.13	n/a	n/a	40.00 4.0
AO,CRT	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	EXP	B	2,000	48.10	n/a	n/a	80.00 4.0
AO,CRT	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	EXP	B	2,000	48.01	n/a	n/a	80.00 4.0
AO,CXL	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	EXP	B	1,000	48.13	n/a	n/a	40.00 0.0
AO,CXL	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	EXP	B	2,000	48.10	n/a	n/a	80.00 0.0
AO,CXL	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	EXP	B	2,000	48.01	n/a	n/a	80.00 0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	FCX	S	235	39.49	n/a	n/a	9.40 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	FCX	S	235	39.49	n/a	n/a	9.40 4.0
AO,CRT	10/03	STATE TEACHERS RET SYS (STRSMAIN)	047	FDS	S	15,000	94.46	n/a	n/a	450.00 3.0
AO,CXL	10/03	STATE TEACHERS RET SYS (STRSMAIN)	047	FDS	S	15,000	94.46	n/a	n/a	750.00 5.0
AO,CRT	10/03	ROYCE TRADING (ROYC67)	031	FINL	B	59,300	22.26	n/a	28-Sep	2965.00 5.0
AO,CXL	10/03	ROYCE TRADING (ROYC67)	031	FINL	B	59,300	22.26	n/a	28-Sep	2965.00 0.0
AO,CRT	10/03	ROYCE TRADING (ROYC66)	031	FINL	B	2,500	22.26	n/a	28-Sep	125.00 5.0
AO,CXL	10/03	ROYCE TRADING (ROYC66)	031	FINL	B	2,500	22.26	n/a	28-Sep	125.00 0.0
AO,CRT	10/03	ROYCE TRADING (ROYC20)	031	FINL	B	400	22.26	n/a	28-Sep	20.00 5.0
AO,CXL	10/03	ROYCE TRADING (ROYC20)	031	FINL	B	400	22.26	n/a	28-Sep	20.00 0.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	ROYCE TRADING (ROYC181)	031	FINL	B	300	22.26	n/a	28-Sep	15.00 5.0
AO,CXL	10/03	ROYCE TRADING (ROYC181)	031	FINL	B	300	22.26	n/a	28-Sep	15.00 0.0
AO,CRT	10/03	ROYCE TRADING (ROYC180)	031	FINL	B	1,100	22.26	n/a	28-Sep	55.00 5.0
AO,CXL	10/03	ROYCE TRADING (ROYC180)	031	FINL	B	1,100	22.26	n/a	28-Sep	55.00 0.0
AO,CRT	10/03	ROYCE TRADING (ROYC174)	031	FINL	B	700	22.26	n/a	28-Sep	35.00 5.0
AO,CXL	10/03	ROYCE TRADING (ROYC174)	031	FINL	B	700	22.26	n/a	28-Sep	35.00 0.0
AO,CRT	10/03	ROYCE TRADING (ROYC100)	031	FINL	B	700	22.26	n/a	28-Sep	35.00 5.0
AO,CXL	10/03	ROYCE TRADING (ROYC100)	031	FINL	B	700	22.26	n/a	28-Sep	35.00 0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	GD	S	150	66.61	n/a	27-Jul	6.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	GD	S	150	66.61	n/a	27-Jul	6.00 4.0
AO,CRT	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	GDX	S	42,000	52.80	n/a	n/a	840.00 2.0
AO,CXL	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	GDX	S	42,000	52.80	n/a	n/a	840.00 2.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	13,700	22.80	n/a	n/a	685.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	73,200	22.80	n/a	n/a	3660.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	9,000	22.80	n/a	n/a	450.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	10,700	22.79	n/a	n/a	535.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	57,200	22.79	n/a	n/a	2860.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	7,100	22.79	n/a	n/a	355.00 5.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	13,700	22.80	n/a	n/a	685.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	73,200	22.80	n/a	n/a	3660.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	9,000	22.80	n/a	n/a	450.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	10,700	22.79	n/a	n/a	535.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	57,200	22.79	n/a	n/a	2860.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GE	S	7,100	22.79	n/a	n/a	355.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC104092)	035	GE	B	1,100	22.90	n/a	n/a	44.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC104092)	035	GE	B	1,100	22.90	n/a	n/a	44.00 0.0
AO,CRT	10/03	CATAPULT CAP/DEFRANCO (CATDML)	040	GIL	B	22,675	32.77	n/a	24-Sep	907.00 4.0

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AO,CXL	10/03	CATAPULT CAP/DEFRANCO (CATDML)	040	GIL	B	22,675	32.77	n/a	24-Sep	907.00 0.0
AO,CRT	10/03	CENTURION PARTNERS (CENTBSPT)	041	GM	B	10,000	23.83	n/a	3-Oct	400.00 4.0
AO,CXL	10/03	CENTURION PARTNERS (CENTBSPT)	041	GM	B	10,000	23.83	n/a	3-Oct	400.00 4.0
AO,CRT	10/03	PHILADELPHIA FINANCIAL (PHILFCMNFS)	033	GM	B	25,001	23.79	n/a	3-Oct	1000.04 4.0
AO,CXL	10/03	PHILADELPHIA FINANCIAL (PHILFCMNFS)	033	GM	B	25,001	23.79	n/a	3-Oct	1000.04 0.0
AO,CRT	10/03	PHILADELPHIA FINANCIAL (PHILMSCOM)	033	GM	B	74,999	23.79	n/a	3-Oct	2999.96 4.0
AO,CXL	10/03	PHILADELPHIA FINANCIAL (PHILMSCOM)	033	GM	B	74,999	23.79	n/a	3-Oct	2999.96 0.0
AO,CRT	10/03	RIDGECREST INV MGMT LLC (RIDCMSPB)	028	GM	S	10,000	24.38	n/a	3-Oct	400.00 4.0
AO,CXL	10/03	RIDGECREST INV MGMT LLC (RIDCMSPB)	028	GM	S	10,000	24.38	n/a	3-Oct	400.00 4.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GT	B	7,100	12.42	n/a	n/a	355.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GT	B	38,200	12.42	n/a	n/a	1910.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GT	B	4,700	12.42	n/a	n/a	235.00 5.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GT	B	7,100	12.42	n/a	n/a	355.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GT	B	38,200	12.42	n/a	n/a	1910.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYJPM)	044	GT	B	4,700	12.42	n/a	n/a	235.00 0.0
AO,CRT	10/03	SMITH MGMT/ALDEN GLOBAL (SMITJPMOR)	029	HOV	B	500,000	3.79	n/a	n/a	15000.00 3.0
AO,CXL	10/03	SMITH MGMT/ALDEN GLOBAL (SMITJPMOR)	029	HOV	B	500,000	3.79	n/a	n/a	15000.00 0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	IBM	S	130	210.00	n/a	n/a	5.20 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	IBM	S	130	210.00	n/a	n/a	5.20 4.0
AO,CRT	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	ITB	B	26,000	19.85	n/a	n/a	520.00 2.0
AO,CXL	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	ITB	B	26,000	19.85	n/a	n/a	520.00 2.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	ITW	B	3,700	59.71	n/a	n/a	148.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	ITW	B	3,700	59.71	n/a	n/a	148.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	ITW	B	9,800	59.71	n/a	n/a	392.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	ITW	B	9,800	59.71	n/a	n/a	392.00 0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	JNJ	S	415	69.11	n/a	n/a	16.60 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	JNJ	S	415	69.11	n/a	n/a	16.60 4.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKCALLAN)	040	JNY	S	6,500	12.86	n/a	26-Jul	325.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKCALLAN)	040	JNY	S	6,500	12.86	n/a	26-Jul	325.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKAMGENU)	040	JNY	S	18,900	12.86	n/a	26-Jul	945.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKAMGENU)	040	JNY	S	18,900	12.86	n/a	26-Jul	945.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKAMGENP)	040	JNY	S	1,030	12.86	n/a	26-Jul	51.50 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKAMGENP)	040	JNY	S	1,030	12.86	n/a	26-Jul	51.50 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKENGLST)	040	JNY	S	2,200	12.86	n/a	26-Jul	110.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKENGLST)	040	JNY	S	2,200	12.86	n/a	26-Jul	110.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKDIAM)	040	JNY	S	8,300	12.86	n/a	26-Jul	415.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKDIAM)	040	JNY	S	8,300	12.86	n/a	26-Jul	415.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKCBS)	040	JNY	S	5,400	12.86	n/a	26-Jul	270.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKCBS)	040	JNY	S	5,400	12.86	n/a	26-Jul	270.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKCELANE)	040	JNY	S	5,400	12.86	n/a	26-Jul	270.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKCELANE)	040	JNY	S	5,400	12.86	n/a	26-Jul	270.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKSIITSM)	040	JNY	S	12,600	12.86	n/a	26-Jul	630.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKSIITSM)	040	JNY	S	12,600	12.86	n/a	26-Jul	630.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKFEDEXP)	040	JNY	S	47,100	12.86	n/a	26-Jul	2355.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKFEDEXP)	040	JNY	S	47,100	12.86	n/a	26-Jul	2355.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKSIMTSC)	040	JNY	S	5,600	12.86	n/a	26-Jul	280.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKSIMTSC)	040	JNY	S	5,600	12.86	n/a	26-Jul	280.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKPFA)	040	JNY	S	16,400	12.86	n/a	26-Jul	820.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKPFA)	040	JNY	S	16,400	12.86	n/a	26-Jul	820.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKSIMT)	040	JNY	S	16,100	12.86	n/a	26-Jul	805.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKSIMT)	040	JNY	S	16,100	12.86	n/a	26-Jul	805.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKSIIT)	040	JNY	S	14,600	12.86	n/a	26-Jul	730.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKSIIT)	040	JNY	S	14,600	12.86	n/a	26-Jul	730.00 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV (PECKTUDOR)	040	JNY	S	7,800	12.86	n/a	26-Jul	390.00 5.0
AO,CXL	10/03	WEISS PECK - ROBECO INV (PECKTUDOR)	040	JNY	S	7,800	12.86	n/a	26-Jul	390.00 0.0
AO,CRT	10/03	SIGMA CAPITAL ASSOCIATES (SIGMMERR)	041	KSS	B	25,000	52.27	n/a	28-Sep	875.00 3.5
AO,CXL	10/03	SIGMA CAPITAL ASSOCIATES (SIGMMERR)	041	KSS	B	25,000	52.27	n/a	28-Sep	875.00 3.5
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	LOW	S	700	30.22	n/a	20-Aug	28.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	LOW	S	700	30.22	n/a	20-Aug	28.00 4.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYGS)	044	LTD	SS	5,700	50.21	n/a	n/a	285.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYGS)	044	LTD	SS	30,500	50.21	n/a	n/a	1525.00 5.0
AO,CRT	10/03	BALYASNY ASSET MGMT (BALYGS)	044	LTD	SS	3,800	50.21	n/a	n/a	190.00 5.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYGS)	044	LTD	SS	5,700	50.21	n/a	n/a	285.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYGS)	044	LTD	SS	30,500	50.21	n/a	n/a	1525.00 0.0
AO,CXL	10/03	BALYASNY ASSET MGMT (BALYGS)	044	LTD	SS	3,800	50.21	n/a	n/a	190.00 0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	M	S	510	38.86	n/a	28-Sep	20.40 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	M	S	510	38.86	n/a	28-Sep	20.40 4.0
AO,CRT	10/03	SIGMA CAPITAL ASSOCIATES (SIGMMERR)	041	M	B	25,000	38.94	n/a	28-Sep	875.00 3.5
AO,CXL	10/03	SIGMA CAPITAL ASSOCIATES (SIGMMERR)	041	M	B	25,000	38.94	n/a	28-Sep	875.00 3.5
AO,CRT	10/03	STELLIAM INV ADV (STLLCSFB)	034	MAR	SS	3,900	38.94	n/a	13-Jun	156.00 4.0
AO,CXL	10/03	STELLIAM INV ADV (STLLCSFB)	034	MAR	SS	3,900	38.94	n/a	13-Jun	156.00 0.0
AO,CRT	10/03	STELLIAM INV ADV (STLLMSEXEC)	034	MAR	SS	28,100	38.94	n/a	13-Jun	1124.00 4.0
AO,CXL	10/03	STELLIAM INV ADV (STLLMSEXEC)	034	MAR	SS	28,100	38.94	n/a	13-Jun	1124.00 0.0
AO,CRT	10/03	APEX CAPITAL MGMT. (APEXGS)	261	MGM	B	48,700	10.60	n/a	13-Jun	1948.00 4.0
AO,CXL	10/03	APEX CAPITAL MGMT. (APEXGS)	261	MGM	B	48,700	10.60	n/a	13-Jun	1948.00 0.0
AO,CRT	10/03	APEX CAPITAL MGMT. (APEXDB)	261	MGM	B	1,300	10.60	n/a	13-Jun	52.00 4.0
AO,CXL	10/03	APEX CAPITAL MGMT. (APEXDB)	261	MGM	B	1,300	10.60	n/a	13-Jun	52.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	MHP	S	335	54.76	n/a	n/a	13.40	4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	MHP	S	335	54.76	n/a	n/a	13.40	4.0
AO,CRT	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	MLM	B	5,000	84.44	n/a	n/a	200.00	4.0
AO,CRT	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	MLM	B	5,000	84.28	n/a	n/a	200.00	4.0
AO,CXL	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	MLM	B	5,000	84.44	n/a	n/a	200.00	0.0
AO,CXL	10/03	ARSENAL ASSET MANAGEMENT (ARSEJPM)	028	MLM	B	5,000	84.28	n/a	n/a	200.00	0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	MRO	S	575	29.51	n/a	n/a	23.00	4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	MRO	S	575	29.51	n/a	n/a	23.00	4.0
AO,CRT	10/03	CATAPULT (CATAML)	040	MS	B	10,000	17.12	n/a	3-Oct	400.00	4.0
AO,CRT	10/03	CATAPULT (CATAML)	040	MS	B	15,000	17.10	n/a	3-Oct	600.00	4.0
AO,CRT	10/03	CATAPULT (CATAML)	040	MS	B	25,000	16.98	n/a	3-Oct	1000.00	4.0
AO,CRT	10/03	CATAPULT (CATAML)	040	MS	B	10,000	16.87	n/a	3-Oct	400.00	4.0
AO,CXL	10/03	CATAPULT (CATAML)	040	MS	B	10,000	17.12	n/a	3-Oct	400.00	0.0
AO,CXL	10/03	CATAPULT (CATAML)	040	MS	B	15,000	17.10	n/a	3-Oct	600.00	0.0
AO,CXL	10/03	CATAPULT (CATAML)	040	MS	B	25,000	16.98	n/a	3-Oct	1000.00	0.0
AO,CXL	10/03	CATAPULT (CATAML)	040	MS	B	10,000	16.87	n/a	3-Oct	400.00	0.0
AO,CRT	10/03	OSKIE CAPITAL MGMT (OSKIGSPB)	224	MS	B	20,000	16.91	n/a	3-Oct	1000.00	5.0
AO,CXL	10/03	OSKIE CAPITAL MGMT (OSKIGSPB)	224	MS	B	20,000	16.91	n/a	3-Oct	1000.00	5.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	MSFT	S	1,072	29.89	n/a	n/a	42.88	4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	MSFT	S	1,072	29.89	n/a	n/a	42.88	4.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	NEM	S	180	55.43	n/a	n/a	7.20	4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	NEM	S	180	55.43	n/a	n/a	7.20	4.0
AO,CRT	10/03	FIRST NEW YORK LEDDY (FNYS)	035	NOK	B	20,000	2.61	n/a	n/a	800.00	4.0

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AO,CXL	10/03	FIRST NEW YORK LEDDY (FNYS)	035	NOK	B	20,000	2.61	n/a	n/a	800.00 4.0
AO,CRT	10/03	MATRIX ASSET ADV. (MATXMARYLA)	091	OXY	B	700	84.50	n/a	n/a	28.00 4.0
AO,CXL	10/03	MATRIX ASSET ADV. (MATXMARYLA)	091	OXY	B	700	84.50	n/a	n/a	28.00 4.0
AO,CRT	10/03	MATRIX ASSET ADV. (MATXAT&T)	091	OXY	B	400	84.50	n/a	n/a	16.00 4.0
AO,CXL	10/03	MATRIX ASSET ADV. (MATXAT&T)	091	OXY	B	400	84.50	n/a	n/a	16.00 4.0
AO,CRT	10/03	OHIO PERS (OHIO2010)	047	PCP	S	14,000	162.79	n/a	1-Aug	420.00 3.0
AO,CXL	10/03	OHIO PERS (OHIO2010)	047	PCP	S	14,000	162.79	n/a	1-Aug	420.00 0.0
AO,CRT	10/03	S.A.C. CAP/ORENSTEIN (SACCML)	044	PG	SS	25,000	68.82	n/a	1-Oct	875.00 3.5
AO,CXL	10/03	S.A.C. CAP/ORENSTEIN (SACCML)	044	PG	SS	25,000	68.82	n/a	1-Oct	875.00 3.5
AO,CRT	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	PHM	B	63,000	16.17	n/a	n/a	1890.00 3.0
AO,CXL	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	PHM	B	63,000	16.17	n/a	n/a	1890.00 3.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4158)	029	PKY	B	100	13.02	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4158)	029	PKY	B	100	13.02	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4208)	029	PKY	B	400	13.02	n/a	n/a	12.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4208)	029	PKY	B	400	13.02	n/a	n/a	12.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4195)	029	PKY	B	200	13.02	n/a	n/a	6.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4195)	029	PKY	B	200	13.02	n/a	n/a	6.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4193)	029	PKY	B	200	13.02	n/a	n/a	6.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4193)	029	PKY	B	200	13.02	n/a	n/a	6.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4184)	029	PKY	B	200	13.02	n/a	n/a	6.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4184)	029	PKY	B	200	13.02	n/a	n/a	6.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4131)	029	PKY	B	100	13.02	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4131)	029	PKY	B	100	13.02	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4129)	029	PKY	B	100	13.02	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4129)	029	PKY	B	100	13.02	n/a	n/a	3.00 0.0

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AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4109)	029	PKY	B	100	13.02	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4109)	029	PKY	B	100	13.02	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4240)	029	PKY	B	300	13.02	n/a	n/a	9.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4240)	029	PKY	B	300	13.02	n/a	n/a	9.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4125)	029	PKY	B	400	13.02	n/a	n/a	12.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4125)	029	PKY	B	400	13.02	n/a	n/a	12.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4020)	029	PKY	B	400	13.02	n/a	n/a	12.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4020)	029	PKY	B	400	13.02	n/a	n/a	12.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4133)	029	PKY	B	2,000	13.02	n/a	n/a	60.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4133)	029	PKY	B	2,000	13.02	n/a	n/a	60.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4133)	029	PKY	B	400	13.02	n/a	n/a	12.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4133)	029	PKY	B	400	13.02	n/a	n/a	12.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOSPWOMNI)	029	PKY	B	23	13.02	n/a	n/a	0.61 2.7
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOSPWOMNI)	029	PKY	B	23	13.02	n/a	n/a	0.61 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOSMSDWOM)	029	PKY	B	280	13.02	n/a	n/a	7.73 2.8
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOSMSDWOM)	029	PKY	B	280	13.02	n/a	n/a	7.73 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4321)	029	PKY	B	800	13.02	n/a	n/a	24.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4321)	029	PKY	B	800	13.02	n/a	n/a	24.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4323)	029	PKY	B	900	13.02	n/a	n/a	27.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4323)	029	PKY	B	900	13.02	n/a	n/a	27.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4108)	029	PKY	B	400	13.02	n/a	n/a	12.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4108)	029	PKY	B	400	13.02	n/a	n/a	12.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4121)	029	PKY	B	500	13.02	n/a	n/a	15.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4121)	029	PKY	B	500	13.02	n/a	n/a	15.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4102)	029	PKY	B	300	13.02	n/a	n/a	9.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4102)	029	PKY	B	300	13.02	n/a	n/a	9.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4057)	029	PKY	B	100	13.02	n/a	n/a	3.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4057)	029	PKY	B	100	13.02	n/a	n/a	3.00 0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4212)	029	PKY	B	300	13.02	n/a	n/a	9.00 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4212)	029	PKY	B	300	13.02	n/a	n/a	9.00 0.0

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AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4192)	029	PKY	B	200	13.02	n/a	n/a	6.00	3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4192)	029	PKY	B	200	13.02	n/a	n/a	6.00	0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4202)	029	PKY	B	200	13.02	n/a	n/a	6.00	3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4202)	029	PKY	B	200	13.02	n/a	n/a	6.00	0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4205)	029	PKY	B	200	13.02	n/a	n/a	6.00	3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4205)	029	PKY	B	200	13.02	n/a	n/a	6.00	0.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4275)	029	PKY	B	400	13.02	n/a	n/a	12.00	3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY (THOS4275)	029	PKY	B	400	13.02	n/a	n/a	12.00	0.0
AO,CRT	10/03	TUDOR INVESTORS (TUDRJPMFPB)	028	PNR	SS	5,000	44.47	n/a	n/a	150.00	3.0
AO,CXL	10/03	TUDOR INVESTORS (TUDRJPMFPB)	028	PNR	SS	5,000	44.47	n/a	n/a	150.00	3.0
AO,CRT	10/03	CASTLEROCK (CASTUBS)	040	PVH	B	6,971	94.24	n/a	3-Oct	278.84	4.0
AO,CXL	10/03	CASTLEROCK (CASTUBS)	040	PVH	B	6,971	94.24	n/a	3-Oct	278.84	4.0
AO,CRT	10/03	CASTLEROCK (CASTMERRIL)	040	PVH	B	700	94.24	n/a	3-Oct	28.00	4.0
AO,CXL	10/03	CASTLEROCK (CASTMERRIL)	040	PVH	B	700	94.24	n/a	3-Oct	28.00	4.0
AO,CRT	10/03	CATAPULT (CATAML)	040	SCHW	B	10,000	12.92	n/a	n/a	400.00	4.0
AO,CRT	10/03	CATAPULT (CATAML)	040	SCHW	B	5,000	12.79	n/a	n/a	200.00	4.0
AO,CXL	10/03	CATAPULT (CATAML)	040	SCHW	B	10,000	12.92	n/a	n/a	400.00	0.0
AO,CXL	10/03	CATAPULT (CATAML)	040	SCHW	B	5,000	12.79	n/a	n/a	200.00	0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	STX	S	275	30.00	n/a	n/a	11.00	4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	STX	S	275	30.00	n/a	n/a	11.00	4.0
AO,CRT	10/03	COOKBIELER (COOK30022)	028	TCB	B	6,420	11.88	n/a	n/a	256.80	4.0
AO,CXL	10/03	COOKBIELER (COOK30022)	028	TCB	B	6,420	11.88	n/a	n/a	256.80	0.0
AO,CRT	10/03	COOKBIELER (COOK13305)	028	TCB	B	2,650	11.88	n/a	n/a	106.00	4.0
AO,CXL	10/03	COOKBIELER (COOK13305)	028	TCB	B	2,650	11.88	n/a	n/a	106.00	0.0
AO,CRT	10/03	COOKBIELER (COOK30222)	028	TCB	B	1,160	11.88	n/a	n/a	46.40	4.0



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AO,CXL	10/03	COOKBIELER (COOK30222)	028	TCB	B	1,160	11.88	n/a	n/a	46.40 0.0
AO,CRT	10/03	COOKBIELER (COOK30858)	028	TCB	B	1,350	11.88	n/a	n/a	54.00 4.0
AO,CXL	10/03	COOKBIELER (COOK30858)	028	TCB	B	1,350	11.88	n/a	n/a	54.00 0.0
AO,CRT	10/03	COOKBIELER (COOK13304)	028	TCB	B	2,100	11.88	n/a	n/a	84.00 4.0
AO,CXL	10/03	COOKBIELER (COOK13304)	028	TCB	B	2,100	11.88	n/a	n/a	84.00 0.0
AO,CRT	10/03	COOKBIELER (COOK13320)	028	TCB	B	9,860	11.88	n/a	n/a	394.40 4.0
AO,CXL	10/03	COOKBIELER (COOK13320)	028	TCB	B	9,860	11.88	n/a	n/a	394.40 0.0
AO,CRT	10/03	COOKBIELER (COOK20958)	028	TCB	B	45,000	11.88	n/a	n/a	1800.00 4.0
AO,CXL	10/03	COOKBIELER (COOK20958)	028	TCB	B	45,000	11.88	n/a	n/a	1800.00 0.0
AO,CRT	10/03	COOKBIELER (COOK13321)	028	TCB	B	2,770	11.88	n/a	n/a	110.80 4.0
AO,CXL	10/03	COOKBIELER (COOK13321)	028	TCB	B	2,770	11.88	n/a	n/a	110.80 0.0
AO,CRT	10/03	COOKBIELER (COOK13316)	028	TCB	B	1,730	11.88	n/a	n/a	69.20 4.0
AO,CXL	10/03	COOKBIELER (COOK13316)	028	TCB	B	1,730	11.88	n/a	n/a	69.20 0.0
AO,CRT	10/03	COOKBIELER (COOK20063)	028	TCB	B	1,400	11.88	n/a	n/a	56.00 4.0
AO,CXL	10/03	COOKBIELER (COOK20063)	028	TCB	B	1,400	11.88	n/a	n/a	56.00 0.0
AO,CRT	10/03	COOKBIELER (COOK13313)	028	TCB	B	580	11.88	n/a	n/a	23.20 4.0
AO,CXL	10/03	COOKBIELER (COOK13313)	028	TCB	B	580	11.88	n/a	n/a	23.20 0.0
AO,CRT	10/03	COOKBIELER (COOK13312)	028	TCB	B	1,240	11.88	n/a	n/a	49.60 4.0
AO,CXL	10/03	COOKBIELER (COOK13312)	028	TCB	B	1,240	11.88	n/a	n/a	49.60 0.0
AO,CRT	10/03	COOKBIELER (COOK13317)	028	TCB	B	800	11.88	n/a	n/a	32.00 4.0
AO,CXL	10/03	COOKBIELER (COOK13317)	028	TCB	B	800	11.88	n/a	n/a	32.00 0.0
AO,CRT	10/03	COOKBIELER (COOK13315)	028	TCB	B	800	11.88	n/a	n/a	32.00 4.0
AO,CXL	10/03	COOKBIELER (COOK13315)	028	TCB	B	800	11.88	n/a	n/a	32.00 0.0
AO,CRT	10/03	COOKBIELER (COOK13319)	028	TCB	B	13,920	11.88	n/a	n/a	556.80 4.0
AO,CXL	10/03	COOKBIELER (COOK13319)	028	TCB	B	13,920	11.88	n/a	n/a	556.80 0.0
AO,CRT	10/03	COOKBIELER (COOK13314)	028	TCB	B	1,640	11.88	n/a	n/a	65.60 4.0
AO,CXL	10/03	COOKBIELER (COOK13314)	028	TCB	B	1,640	11.88	n/a	n/a	65.60 0.0
AO,CRT	10/03	COOKBIELER (COOK30004)	028	TCB	B	3,290	11.88	n/a	n/a	131.60 4.0
AO,CXL	10/03	COOKBIELER (COOK30004)	028	TCB	B	3,290	11.88	n/a	n/a	131.60 0.0
AO,CRT	10/03	COOKBIELER (COOK13306)	028	TCB	B	3,050	11.88	n/a	n/a	122.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	COOKBIELER (COOK13306)	028	TCB	B	3,050	11.88	n/a	n/a	122.00 0.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	TGT	S	230	63.07	n/a	4-Oct	9.20 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	TGT	S	230	63.07	n/a	4-Oct	9.20 4.0
AO,CXL	10/03	PERIMETER (PERI138)	273	TMH	B	313	27.44	n/a	n/a	12.52 0.0
AO,CRT	10/03	PERIMETER (PERI137)	273	TMH	B	2,066	27.44	n/a	n/a	82.64 4.0
AO,CXL	10/03	PERIMETER (PERI137)	273	TMH	B	2,066	27.44	n/a	n/a	82.64 0.0
AO,CRT	10/03	PERIMETER (PERI134)	273	TMH	B	1,539	27.44	n/a	n/a	61.56 4.0
AO,CXL	10/03	PERIMETER (PERI134)	273	TMH	B	1,539	27.44	n/a	n/a	61.56 0.0
AO,CRT	10/03	PERIMETER (PERI126)	273	TMH	B	1,033	27.44	n/a	n/a	41.32 4.0
AO,CXL	10/03	PERIMETER (PERI126)	273	TMH	B	1,033	27.44	n/a	n/a	41.32 0.0
AO,CRT	10/03	PERIMETER (PERI129)	273	TMH	B	20,020	27.44	n/a	n/a	800.80 4.0
AO,CXL	10/03	PERIMETER (PERI129)	273	TMH	B	20,020	27.44	n/a	n/a	800.80 0.0
AO,CRT	10/03	PERIMETER (PERI125)	273	TMH	B	1,202	27.44	n/a	n/a	48.08 4.0
AO,CXL	10/03	PERIMETER (PERI125)	273	TMH	B	1,202	27.44	n/a	n/a	48.08 0.0
AO,CRT	10/03	PERIMETER (PERI123)	273	TMH	B	3,949	27.44	n/a	n/a	157.96 4.0
AO,CXL	10/03	PERIMETER (PERI123)	273	TMH	B	3,949	27.44	n/a	n/a	157.96 0.0
AO,CRT	10/03	PERIMETER (PERI131)	273	TMH	B	6,143	27.44	n/a	n/a	245.72 4.0
AO,CXL	10/03	PERIMETER (PERI131)	273	TMH	B	6,143	27.44	n/a	n/a	245.72 0.0
AO,CRT	10/03	PERIMETER (PERI122)	273	TMH	B	8,525	27.44	n/a	n/a	341.00 4.0
AO,CXL	10/03	PERIMETER (PERI122)	273	TMH	B	8,525	27.44	n/a	n/a	341.00 0.0
AO,CRT	10/03	PERIMETER (PERI135)	273	TMH	B	1,195	27.44	n/a	n/a	47.80 4.0
AO,CXL	10/03	PERIMETER (PERI135)	273	TMH	B	1,195	27.44	n/a	n/a	47.80 0.0
AO,CRT	10/03	PERIMETER (PERI109)	273	TMH	B	550	27.44	n/a	n/a	22.00 4.0
AO,CXL	10/03	PERIMETER (PERI109)	273	TMH	B	550	27.44	n/a	n/a	22.00 0.0
AO,CRT	10/03	PERIMETER (PERI108)	273	TMH	B	1,158	27.44	n/a	n/a	46.32 4.0
AO,CXL	10/03	PERIMETER (PERI108)	273	TMH	B	1,158	27.44	n/a	n/a	46.32 0.0
AO,CRT	10/03	PERIMETER (PERI107)	273	TMH	B	2,417	27.44	n/a	n/a	96.68 4.0
AO,CXL	10/03	PERIMETER (PERI107)	273	TMH	B	2,417	27.44	n/a	n/a	96.68 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	PERIMETER (PERI103)	273	TMH	B	21,444	27.44	n/a	n/a	857.76 4.0
AO,CXL	10/03	PERIMETER (PERI103)	273	TMH	B	21,444	27.44	n/a	n/a	857.76 0.0
AO,CRT	10/03	PERIMETER (PERI128)	273	TMH	B	2,575	27.44	n/a	n/a	103.00 4.0
AO,CXL	10/03	PERIMETER (PERI128)	273	TMH	B	2,575	27.44	n/a	n/a	103.00 0.0
AO,CRT	10/03	PERIMETER (PERI136)	273	TMH	B	2,163	27.44	n/a	n/a	86.52 4.0
AO,CXL	10/03	PERIMETER (PERI136)	273	TMH	B	2,163	27.44	n/a	n/a	86.52 0.0
AO,CRT	10/03	PERIMETER (PERI139)	273	TMH	B	661	27.44	n/a	n/a	26.44 4.0
AO,CXL	10/03	PERIMETER (PERI139)	273	TMH	B	661	27.44	n/a	n/a	26.44 0.0
AO,CRT	10/03	PERIMETER (PERI132)	273	TMH	B	5,667	27.44	n/a	n/a	226.68 4.0
AO,CXL	10/03	PERIMETER (PERI132)	273	TMH	B	5,667	27.44	n/a	n/a	226.68 0.0
AO,CRT	10/03	PERIMETER (PERI138)	273	TMH	B	313	27.44	n/a	n/a	12.52 4.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	TMO	S	150	59.98	n/a	n/a	6.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	TMO	S	150	59.98	n/a	n/a	6.00 4.0
AO,CXL	10/03	WAGONHOUND/NICOLAS (WAGO266533)	033	ULTA	B	632	97.59	n/a	n/a	25.28 0.0
AO,CRT	10/03	WAGONHOUND/NICOLAS (WAGONIC)	033	ULTA	B	660	97.59	n/a	n/a	26.40 4.0
AO,CXL	10/03	WAGONHOUND/NICOLAS (WAGONIC)	033	ULTA	B	660	97.59	n/a	n/a	26.40 0.0
AO,CRT	10/03	WAGONHOUND/NICOLAS (WAGOP73096)	033	ULTA	B	178	97.59	n/a	n/a	7.12 4.0
AO,CXL	10/03	WAGONHOUND/NICOLAS (WAGOP73096)	033	ULTA	B	178	97.59	n/a	n/a	7.12 0.0
AO,CRT	10/03	WAGONHOUND/NICOLAS (WAGOX41B2)	033	ULTA	B	875	97.59	n/a	n/a	35.00 4.0
AO,CXL	10/03	WAGONHOUND/NICOLAS (WAGOX41B2)	033	ULTA	B	875	97.59	n/a	n/a	35.00 0.0
AO,CRT	10/03	WAGONHOUND/NICOLAS (WAGOSBVF42)	033	ULTA	B	280	97.59	n/a	n/a	11.20 4.0
AO,CXL	10/03	WAGONHOUND/NICOLAS (WAGOSBVF42)	033	ULTA	B	280	97.59	n/a	n/a	11.20 0.0
AO,CRT	10/03	WAGONHOUND/NICOLAS (WAGOM4HW)	033	ULTA	B	391	97.59	n/a	n/a	15.64 4.0
AO,CXL	10/03	WAGONHOUND/NICOLAS (WAGOM4HW)	033	ULTA	B	391	97.59	n/a	n/a	15.64 0.0
AO,CRT	10/03	WAGONHOUND/NICOLAS (WAGO266533)	033	ULTA	B	632	97.59	n/a	n/a	25.28 4.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	UNH	S	140	56.78	n/a	n/a	5.60 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	UNH	S	140	56.78	n/a	n/a	5.60 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	10/03	LOMAS CAPITAL (LOMACSFB)	040	UNP	SS	15,571	119.29	n/a	n/a	467.13	3.0
AO,CXL	10/03	LOMAS CAPITAL (LOMACSFB)	040	UNP	SS	15,571	119.29	n/a	n/a	467.13	3.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC72578)	035	UPS	S	750	73.00	n/a	n/a	30.00	4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC72578)	035	UPS	S	750	73.00	n/a	n/a	30.00	0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	UPS	S	6,700	73.00	n/a	n/a	268.00	4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC2XB7)	035	UPS	S	6,700	73.00	n/a	n/a	268.00	0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMC104092)	035	UPS	S	895	73.00	n/a	n/a	35.80	4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMC104092)	035	UPS	S	895	73.00	n/a	n/a	35.80	0.0
AO,CRT	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	UPS	S	20,900	73.00	n/a	n/a	836.00	4.0
AO,CXL	10/03	NCM CAPITAL MGMT (NCMCTC20)	035	UPS	S	20,900	73.00	n/a	n/a	836.00	0.0
AO,CRT	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	URI	S	11,000	32.40	n/a	17-Apr	330.00	3.0
AO,CXL	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	URI	S	11,000	32.40	n/a	17-Apr	330.00	3.0
AO,CRT	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	USG	S	17,000	21.66	n/a	1-Aug	510.00	3.0
AO,CXL	10/03	HIGHBRIDGE CAP (HIBCCSFB)	041	USG	S	17,000	21.66	n/a	1-Aug	510.00	3.0
AO,CRT	10/03	OSKIE CAPITAL MGMT (OSKIGSPB)	224	VIAB	B	18,000	53.79	n/a	n/a	900.00	5.0
AO,CXL	10/03	OSKIE CAPITAL MGMT (OSKIGSPB)	224	VIAB	B	18,000	53.79	n/a	n/a	900.00	5.0
AO,CRT	10/03	CENTURION PARTNERS (CENTBSPT)	041	VZ	S	20,000	45.85	n/a	n/a	800.00	4.0
AO,CXL	10/03	CENTURION PARTNERS (CENTBSPT)	041	VZ	S	20,000	45.85	n/a	n/a	800.00	4.0
AO,CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM688611) 093		WFC	B	2,735	35.01	n/a	3-Oct	54.70	2.0
AO,CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM688611) 093		WFC	B	2,735	35.01	n/a	3-Oct	54.70	0.0
AO,CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM688610) 093		WFC	B	13,405	35.01	n/a	3-Oct	268.10	2.0
AO,CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM688610) 093		WFC	B	13,405	35.01	n/a	3-Oct	268.10	0.0
AO,CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM259811) 093		WFC	B	1,000	35.01	n/a	3-Oct	20.00	2.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO, CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM259811) 093		WFC	B	1,000	35.01	n/a	3-Oct	20.00 0.0
AO, CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM688612) 093		WFC	B	6,205	35.01	n/a	3-Oct	124.10 2.0
AO, CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM688612) 093		WFC	B	6,205	35.01	n/a	3-Oct	124.10 0.0
AO, CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM705800) 093		WFC	B	70	35.01	n/a	3-Oct	1.40 2.0
AO, CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM705800) 093		WFC	B	70	35.01	n/a	3-Oct	1.40 0.0
AO, CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM018194) 093		WFC	B	625	35.01	n/a	3-Oct	12.50 2.0
AO, CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM018194) 093		WFC	B	625	35.01	n/a	3-Oct	12.50 0.0
AO, CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM706005) 093		WFC	B	70	35.01	n/a	3-Oct	1.40 2.0
AO, CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM706005) 093		WFC	B	70	35.01	n/a	3-Oct	1.40 0.0
AO, CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM354535) 093		WFC	B	80,385	35.01	n/a	3-Oct	1607.70 2.0
AO, CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM354535) 093		WFC	B	80,385	35.01	n/a	3-Oct	1607.70 0.0
AO, CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM299970) 093		WFC	B	2,040	35.01	n/a	3-Oct	40.80 2.0
AO, CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM299970) 093		WFC	B	2,040	35.01	n/a	3-Oct	40.80 0.0
AO, CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM304934) 093		WFC	B	1,265	35.01	n/a	3-Oct	25.30 2.0
AO, CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM304934) 093		WFC	B	1,265	35.01	n/a	3-Oct	25.30 0.0
AO, CRT	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM299970) 093		WFC	B	325	35.01	n/a	3-Oct	6.50 2.0
AO, CXL	10/03	EAGLE ASSET MGMT. (FLORIDA) (EAGM299970) 093		WFC	B	325	35.01	n/a	3-Oct	6.50 0.0
AO, CRT	10/03	KLEINHEINZ CAP PART (KLHZMLMAFD)	031	WFM	B	20,000	97.99	n/a	n/a	1000.00 5.0
AO, CXL	10/03	KLEINHEINZ CAP PART (KLHZMLMAFD)	031	WFM	B	20,000	97.99	n/a	n/a	1000.00 5.0
AO, CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	WM	S	395	31.56	n/a	n/a	15.80 4.0
AO, CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	WM	S	395	31.56	n/a	n/a	15.80 4.0
AO, CRT	10/03	CATAPULT/KGLT (KEYHKEYGRO)	040	WMB	B	1,125	36.42	n/a	n/a	45.00 4.0
AO, CXL	10/03	CATAPULT/KGLT (KEYHKEYGRO)	040	WMB	B	1,125	36.42	n/a	n/a	45.00 4.0
AO, CRT	10/03	CATAPULT/KGLT (KEYHMILLEN)	040	WMB	B	23,875	36.42	n/a	n/a	955.00 4.0
AO, CXL	10/03	CATAPULT/KGLT (KEYHMILLEN)	040	WMB	B	23,875	36.42	n/a	n/a	955.00 4.0
AO, CRT	10/03	CATAPULT CAP/DEF FRANCO (CATDML)	040	WMT	B	22,753	74.07	n/a	17-Aug	910.12 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	CATAPULT CAP/DEFRANCO (CATDML)	040	WMT	B	22,753	74.07	n/a	17-Aug	910.12 0.0
AO,CRT	10/03	SIMMONS VENTURES (SIMMJPM)	041	WRC	B	5,000	51.30	n/a	7-Aug	200.00 4.0
AO,CXL	10/03	SIMMONS VENTURES (SIMMJPM)	041	WRC	B	5,000	51.30	n/a	7-Aug	200.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	WU	S	920	18.18	n/a	n/a	36.80 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	WU	S	920	18.18	n/a	n/a	36.80 4.0
AO,CRT	10/03	COHE & STEERS (COHETEX)	041	WY	S	14,800	26.14	n/a	27-Jul	444.00 3.0
AO,CXL	10/03	COHE & STEERS (COHETEX)	041	WY	S	14,800	26.14	n/a	27-Jul	444.00 0.0
CRT	10/04	HIGHBRIDGE CAP (HIBCCSFB)	041	XLI	B	27,000	37.08	n/a	n/a	540.00 2.0
AO,CRT	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	ZMH	S	350	67.54	n/a	n/a	14.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT (BESSMAIN)	085	ZMH	S	350	67.54	n/a	n/a	14.00 4.0
AO,CRT	10/03	SYMPHONY ASSET (SYMPJPM)	033	ZUMZ	C	18,160	26.00	n/a	n/a	544.80 3.0
AO,CXL	10/03	SYMPHONY ASSET (SYMPJPM)	033	ZUMZ	C	18,160	26.00	n/a	n/a	544.80 0.0

<b>TOTAL (AO, CXL, CRT) :</b>	<b>240.00</b>
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TOTAL (Trade Date) :	53,651.72
TOTAL (AO, CXL, CRT) :	240.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>53,891.72</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION