



Daily Compliance Activity Report

Trade Date: 06/08/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DIAMONDBACK CAP MGMT	232	AA	C	46,000	10.59	n/a	n/a	2,300.00	5.0
WINSLOW CAPITAL	030	ADSK	B	1,000	26.17	n/a	n/a	40.00	4.0
BUCK CAPITAL MGMT	001	AEO	S	166,000	12.01	n/a	4-Jun	6,640.00	4.0
EXIS CAPITAL	044	AEO	B	25,000	12.02	n/a	4-Jun	1,250.00	5.0
BUCK CAPITAL MGMT	001	ANN	B	50,000	19.09	n/a	n/a	2,000.00	4.0
f KAREN F LEDDY	FID	AN	S	700	19.97	n/a	23-Apr	0.00	0.0
f MARC PAUL LUCHANSKY	FID	APC	S	200	42.57	n/a	3-Jun	0.00	0.0
PATTEN & PATTEN	067	APD	B	600	65.81	n/a	10-May	30.00	5.0
GREEN ARROW DOUG	040	ARM	B	10,000	13.93	n/a	n/a	500.00	5.0
GREEN ARROW DOUG	040	AVP	B	60,000	26.52	n/a	28-May	3,000.00	5.0
f JONATHAN RICHARD HAR	FID	BAC	S	1000	14.87	n/a	21-May	0.00	0.0
B BUCK CAPITAL MGMT		BBBY	SS	35,000	42.12	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BEBE	B	20,000	6.25	n/a	n/a	non-brg	3.0
f JONATHAN RICHARD HAR	FID	BEE	S	1500	3.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BIG	SS	30,000	32.50	n/a	4-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BKC	SS	30,000	18.06	n/a	29-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		BLK	B	133	153.75	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		BLK	B	173	153.75	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		BLK	B	361	153.75	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		BLK	B	5,282	153.75	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		BLK	B	551	153.75	n/a	n/a	non-brg	0.7
BENCHMARK	025	BRS	B	7,500	31.19	n/a	n/a	300.00	4.0
ß BUCK CAPITAL MGMT		BYI	S	50,000	37.38	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	BZ	B	1000	4.90	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	CAT	S	300	55.92	n/a	12-May	15.00	5.0
f KAREN F LEDDY	FID	CHK	S	500	24.04	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	CHRS	B	20,000	3.80	n/a	n/a	1,000.00	5.0
SCOUT/UMB	047	CLX	B	5,000	63.15	n/a	6-May	250.00	5.0
MTB INV ADV	034	CNK	S	31,100	14.38	n/a	n/a	1,555.00	5.0
ß BUCK CAPITAL MGMT		COH	B	10,000	39.24	n/a	14-May	non-brg	4.0
ß BUCK CAPITAL MGMT		COLM	SS	50,000	48.21	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		COST	SS	50,000	56.15	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f EDWARD W WHEELER	FID	CPN	S	16314	13.19	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	CSCO	B	3,100	22.75	n/a	n/a	124.00	4.0
WINSLOW CAPITAL	030	CTSH	B	2,000	49.55	n/a	n/a	80.00	4.0
f JONATHAN RICHARD HAR	FID	CVGI	S	1200	10.63	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	C	S	4130	3.66	n/a	7-Jun	0.00	0.0
SANDLER O'NEILL ASSET	031	C	B	100,000	3.70	n/a	7-Jun	2,000.00	2.0
B BUCK CAPITAL MGMT		DBRN	B	10,000	24.43	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	DDS	SS	50,000	24.18	n/a	n/a	2,000.00	4.0
B BUCK CAPITAL MGMT		DG	SS	50,000	29.46	n/a	8-Jun	non-brg	4.0
PATTEN & PATTEN	067	DNN	S	4,000	1.31	n/a	n/a	200.00	5.0
WINSLOW CAPITAL	030	DRC	B	1,000	32.35	n/a	n/a	40.00	4.0
B BUCK CAPITAL MGMT		DSW	SS	50,000	24.36	n/a	25-May	non-brg	4.0
B BUCK CAPITAL MGMT		EAT	SS	30,000	15.89	n/a	n/a	non-brg	4.0
NORTHWESTERN MUTUAL LIFE	047	EFA	B	550,000	46.70	n/a	n/a	22,000.00	4.0
B BUCK CAPITAL MGMT		ELY	SS	50,000	7.80	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	EL	SS	70,000	54.81	n/a	7-Jun	2,800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WINSLOW CAPITAL	030	EMC	B	3,700	17.94	n/a	n/a	148.00	4.0
BMO NESBITT BURNS	041	ENDP	B	2,000	20.38	n/a	8-Jun	200.00	10.0
PATTEN & PATTEN	067	EPP	S	950	35.51	n/a	n/a	47.50	5.0
⚡ BUCK CAPITAL MGMT		ESI	SS	20,000	94.75	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		ETH	SS	50,000	16.24	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	ETN	B	1,200	66.72	n/a	27-May	60.00	5.0
PATTEN & PATTEN	067	EWG	B	3,500	18.24	n/a	n/a	175.00	5.0
f SCOTT L BRUNNER	FID	FAZ	B	2000	17.48	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FBN	SS	50,000	6.22	n/a	n/a	non-brg	3.0
PATTEN & PATTEN	067	FCX	B	200	60.13	n/a	n/a	10.00	5.0
⚡ BUCK CAPITAL MGMT		FDO	SS	50,000	37.42	n/a	3-Jun	non-brg	4.0
HARVEST MGMT	029	FL	B	20,000	13.27	n/a	21-May	1,000.00	5.0
f KEVIN MICHAEL O'GORM	FID	FL	S	4000	13.17	n/a	21-May	0.00	0.0
⚡ BUCK CAPITAL MGMT		FOSL	B	30,000	34.74	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FO	SS	50,000	44.89	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FRED	SS	50,000	11.99	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DIAMONDBACK CAP MGMT	232	F	C	91,000	11.19	n/a	3-Jun	4,550.00	5.0
SIGMA CAP BOBBY	044	F	B	100,000	11.15	n/a	3-Jun	3,500.00	3.5
B BUCK CAPITAL MGMT		GCO	SS	7,500	28.47	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GES	B	40,000	32.31	n/a	28-May	non-brg	4.0
B BUCK CAPITAL MGMT		GES	B	62,400	32.20	n/a	28-May	non-brg	0.8
ROTHSCHILD ASSET	040	GE	S	66,470	15.33	n/a	n/a	2,658.80	4.0
B BUCK CAPITAL MGMT		GIII	B	20,000	24.40	n/a	n/a	non-brg	2.5
PZENA INV ADV	044	GIL	S	20,200	30.07	n/a	14-May	707.03	3.5
f KEVIN MICHAEL O'GORM	FID	GLW	S	2000	16.57	n/a	2-Jun	0.00	0.0
WINSLOW CAPITAL	030	GMCR	B	1,200	22.16	n/a	n/a	48.00	4.0
B BUCK CAPITAL MGMT		GME	SS	50,000	20.93	n/a	n/a	non-brg	4.0
CAERUS GLOBAL	041	GME	S	15,000	20.57	n/a	n/a	600.00	4.0
B BUCK CAPITAL MGMT		GYMB	B	30,000	41.56	n/a	4-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		GYMB	B	48,600	41.58	n/a	4-Jun	non-brg	2.5
ROARING BLUE LION MGMT	012	GYMB	B	2,000	41.88	n/a	4-Jun	80.00	4.0
B BUCK CAPITAL MGMT		HD	SS	50,000	31.45	n/a	19-May	non-brg	4.0
B BUCK CAPITAL MGMT		HIBB	SS	50,000	23.89	n/a	n/a	non-brg	2.5
WINSLOW CAPITAL	030	HPQ	B	2,000	45.81	n/a	n/a	80.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ALICE BEEBE LONGLEY	FID	HPT	B	500	21.27	n/a	n/a	0.00	0.0
CATAPULT	040	HTZ	SS	12,000	9.28	n/a	n/a	480.00	4.0
OVERLAND PARK CAP	041	IGT	B	50,000	18.45	n/a	23-Apr	2,000.00	4.0
PATTEN & PATTEN	067	ITT	S	200	44.27	n/a	30-Apr	10.00	5.0
WINSLOW CAPITAL	030	ITW	B	1,100	43.23	n/a	30-Apr	44.00	4.0
B BUCK CAPITAL MGMT		JACK	S	80,880	20.86	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	JCG	B	20,000	39.75	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		JCP	S	80,000	24.83	n/a	4-Jun	non-brg	4.0
WINSLOW CAPITAL	030	JPM	B	2,100	37.23	n/a	21-May	84.00	4.0
B BUCK CAPITAL MGMT		KMX	SS	60,800	19.78	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		KMX	SS	9,200	19.50	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		LEG	SS	50,000	21.50	n/a	n/a	non-brg	4.0
f JASON ERIC COHEN	FID	LEN	B	215	14.46	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		LOW	SS	30,000	23.16	n/a	17-May	non-brg	4.0
WINSLOW CAPITAL	030	LOW	B	1,100	23.28	n/a	17-May	44.00	4.0
BUCK CAPITAL MGMT	001	LTD	B	30,000	23.68	n/a	n/a	1,200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		LZB	SS	50,000	10.03	n/a	n/a	non-brg	4.0
CAERUS GLOBAL	041	MCD	SS	10,000	68.00	n/a	8-Jun	400.00	4.0
WINSLOW CAPITAL	030	MHS	B	1,000	57.82	n/a	n/a	40.00	4.0
PATTEN & PATTEN	067	MMC	S	1,000	20.31	n/a	n/a	50.00	5.0
CAVU CAP ADV	028	MMM	B	40,000	73.91	n/a	10-May	2,000.00	5.0
KLEINHEINZ CAP PART	031	MMM	SS	30,000	73.83	n/a	10-May	1,500.00	5.0
WINSLOW CAPITAL	030	MRVL	B	2,100	17.02	n/a	n/a	84.00	4.0
PATTEN & PATTEN	067	MS	B	500	24.85	n/a	21-May	25.00	5.0
FEDERATED INV/KAUFMAN	029	MWW	S	64,902	12.37	n/a	n/a	3,569.62	5.5
B BUCK CAPITAL MGMT		MW	SS	30,000	18.25	n/a	n/a	non-brg	4.0
AVESTA CAP	229	M	B	100,000	20.46	n/a	4-Jun	3,000.00	3.0
BIXBY LLC	070	NBR	B	1,500	20.07	n/a	n/a	75.00	5.0
B BUCK CAPITAL MGMT		NWY	B	2,000	2.30	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		NWY	B	70,000	2.30	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		NWY	B	84,300	2.31	n/a	n/a	non-brg	2.0
WINSLOW CAPITAL	030	ORCL	B	2,200	21.58	n/a	n/a	88.00	4.0
BALYASNY ASSET MGMT	044	PAG	B	19,700	12.10	n/a	3-May	985.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	PBW	S	500	8.02	n/a	n/a	25.00	5.0
B BUCK CAPITAL MGMT		PETM	SS	50,000	30.36	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PIR	B	50,000	7.07	n/a	n/a	non-brg	3.0
f JOHN MICHAEL ZOLIDIS	FID	PIR	B	1500	7.10	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PLCE	B	10,000	47.06	n/a	3-Jun	non-brg	4.0
f DAVID GEORGE BUCK	FID	PNRA	S	1200	77.69	n/a	28-Apr	0.00	0.0
B BUCK CAPITAL MGMT		POOL	SS	30,000	22.57	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	PRGO	SS	2,000	57.27	n/a	8-Jun	200.00	10.0
BMO NESBITT BURNS	041	PRX	B	2,000	25.11	n/a	8-Jun	200.00	10.0
B BUCK CAPITAL MGMT	001	PVH	B	40,000	49.54	n/a	26-May	1,600.00	4.0
f DAVID GEORGE BUCK	FID	PVH	B	10	2.35	n/a	26-May	0.00	0.0
WINSLOW CAPITAL	030	QCOM	B	1,700	35.06	n/a	n/a	68.00	4.0
B BUCK CAPITAL MGMT		QKLS	S	1,400	5.02	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		QKLS	S	150,000	4.98	n/a	n/a	non-brg	3.0
PATTEN & PATTEN	067	RHHBY	B	100	34.08	n/a	n/a	5.00	5.0
f DAVID GEORGE BUCK	FID	RIG	B	1500	44.95	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	RL	SS	5,400	79.58	n/a	20-May	270.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KAREN F LEDDY	FID	ROHI	S	2400	1.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ROST	SS	50,000	53.10	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	RRR	S	1000	6.34	n/a	30-Apr	0.00	0.0
B BUCK CAPITAL MGMT		RUE	SS	50,000	30.74	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	SBUX	B	400	25.48	n/a	17-May	0.00	0.0
B BUCK CAPITAL MGMT		SCVL	SS	30,000	20.53	n/a	n/a	non-brg	4.0
WEISS PECK	040	SCVL	B	34,421	20.45	n/a	n/a	1,721.05	5.0
B BUCK CAPITAL MGMT		SHOO	B	20,000	30.77	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SHW	SS	50,000	73.17	n/a	24-May	non-brg	4.0
f DAVID GEORGE BUCK	FID	SLB	S	1300	54.59	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	SLB	S	300	54.25	n/a	n/a	15.00	5.0
WINSLOW CAPITAL	030	SLB	B	1,000	54.94	n/a	n/a	40.00	4.0
B BUCK CAPITAL MGMT		SWY	SS	50,000	20.84	n/a	n/a	non-brg	4.0
SOLARIS INV MGMT	029	TAP	B	21,800	42.53	n/a	n/a	1,090.00	5.0
PATTEN & PATTEN	067	TEVA	B	1,900	51.11	n/a	8-Jun	95.00	5.0
WINSLOW CAPITAL	030	TEVA	B	1,000	50.90	n/a	8-Jun	40.00	4.0
B BUCK CAPITAL MGMT		TIF	SS	30,000	40.39	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CAVU CAP ADV	028	TLB	S	10,000	12.08	n/a	n/a	500.00	5.0
f JONATHAN RICHARD HAR	FID	TLB	S	800	12.92	n/a	n/a	0.00	0.0
ROYCE TRADING	012	TLB	S	83,400	12.44	n/a	n/a	4,170.00	5.0
COUGAR TRADING	044	TM	B	5,000	70.43	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		TSCO	SS	20,000	62.06	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	TZA	B	500	8.12	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UAUA	S	10,000	20.55	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ULTA	SS	30,000	21.68	n/a	n/a	non-brg	4.0
WINSLOW CAPITAL	030	UNP	B	1,000	68.71	n/a	n/a	40.00	4.0
SCOUT/UMB	047	UNT	B	35,214	41.95	n/a	3-Jun	1,760.70	5.0
WINSLOW CAPITAL	030	URBN	B	1,400	35.54	n/a	n/a	56.00	4.0
B BUCK CAPITAL MGMT		USTR	SS	30,000	51.12	n/a	n/a	non-brg	4.0
f CHRISTOPHER ARTHUR K	FID	V	B	100	72.53	n/a	24-May	0.00	0.0
PATTEN & PATTEN	067	V	S	500	71.33	n/a	24-May	25.00	5.0
WINSLOW CAPITAL	030	V	B	1,300	72.35	n/a	24-May	52.00	4.0
f JONATHAN RICHARD HAR	FID	WBC	S	500	28.35	n/a	27-May	0.00	0.0
BMO NESBITT BURNS	041	WCRX	SS	2,000	22.32	n/a	8-Jun	200.00	10.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRYPHON CAPITAL MGMT	084	WDC	S	50,000	33.40	n/a	n/a	1,500.00	3.0
ROYCE TRADING	012	WDC	B	500	33.05	n/a	n/a	25.00	5.0
f DAVID GEORGE BUCK	FID	WFC	S	1000	26.85	n/a	21-May	0.00	0.0
B BUCK CAPITAL MGMT		WFMI	SS	50,000	37.50	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WHR	SS	30,000	91.26	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WRC	B	30,000	37.71	n/a	14-May	non-brg	4.0
B BUCK CAPITAL MGMT		WSM	SS	50,000	26.05	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WWW	SS	50,000	26.67	n/a	n/a	non-brg	4.0
MTB INV ADV	034	WXS	S	5,175	29.33	n/a	n/a	258.75	5.0
COHE & STEERS	041	WY	S	240,400	39.16	n/a	27-May	9,616.00	4.0
BMO NESBITT BURNS	041	XLV	B	4,000	28.39	n/a	n/a	400.00	10.0
SCOPUS FUND	041	YUM	S	63,000	40.05	n/a	15-Apr	3,150.00	5.0
B BUCK CAPITAL MGMT		ZUMZ	SS	50,000	15.23	n/a	n/a	non-brg	4.0
TOTAL (Trade Date) :								105,839.45	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/07	CAVU CAP ADV	028	X	B	15,000	42.01	n/a	n/a	750.00 5.0
AO,CXL	06/07	CAVU CAP ADV	028	X	B	15,000	42.01	n/a	n/a	750.00 5.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	105,839.45
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	105,839.45
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MRI Securities with Trade Activity on 8-Jun. NONE
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MRI Securities without Trade Activity on 8-Jun. AOS

BRG comm. as a % of BCM Total Comm. paid. T-Date = 16% YTD = 8%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)