



Daily Compliance Activity Report

Trade Date: 01/06/2014

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Ravi Sadarangani		AAP	B	1500	1.3390	n/a	n/a	0.00	0.0
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SAC CHRIS C	041	ABT	S	25,000	39.23	n/a	n/a	750.00	0.0
BESSEMER INVESTMENT	085	APA	S	130	86.05	n/a	13-Jun	5.20	0.0
CSL ASSOCIATES	006	BAC	S	15,000	16.68	n/a	17-Jun	450.00	0.0
PATTEN & PATTEN	025	BDBD	S	1,500	15.15	n/a	n/a	75.00	0.0
BESSEMER INVESTMENT	085	BEAM	S	260	66.14	n/a	n/a	10.40	0.0
DAVIDSON KEMPNER ADVISOR	281	BNNY	SS	7,500	43.26	n/a	n/a	375.00	0.0
EGS ASSOCIATES LP	091	BONT	SS	4,600	16.89	n/a	18-Jun	230.00	0.0
S.A.C. CAPITAL	044	BURL	SS	30,000	31.53	n/a	n/a	900.00	0.0
SURVEYOR CAPITAL	251	BURL	B	200	31.50	n/a	n/a	8.00	0.0
CSFB GLOBAL PROPRIETARY	041	CNW	B	20,000	37.64	n/a	n/a	1,000.00	0.0
ARDSLEY PARTNERS	280	C	B	75,000	53.82	n/a	17-Jun	3,750.00	0.0
ZWEIG FUNDS	006	DAL	B	25,000	29.30	n/a	18-Jun	1,250.00	0.0
S.A.C. CAPITAL	044	DDS	SS	5,000	95.86	n/a	n/a	150.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
BRONSON POINT	036	EME	B	10,000	41.78	n/a	n/a	300.00	0.0	
CAPITAL RESEARCH GROUP		296	FE	S	112,000	31.85	n/a	n/a	3,920.00	0.0
HIGHFIELDS CAP MGMT	030	FOXA	S	200,000	34.88	n/a	n/a	8,000.00	0.0	
NOKOMIS PARTNERS	031	FXI	B	20,000	36.18	n/a	n/a	600.00	0.0	
NOMURA/ANGEL LANE PRINCIPAL	040	F	B	100,000	15.63	n/a	19-Jun	3,000.00	0.0	
OZ MGMT	040	F	SS	500,000	15.64	n/a	19-Jun	15,000.00	0.0	
CORTEX CAPITAL	031	GES	SS	30,000	31.03	n/a	31-May	900.00	0.0	
INTERVAL PARTNERS	033	HOG	SS	20,000	68.71	n/a	n/a	600.00	0.0	
NUDGE CAPITAL FUND LP	006	JBLU	B	10,000	8.70	n/a	18-Jun	300.00	0.0	
WEISS PECK/ROBECO/BOSTON PT		040	JBLU	S	539,130	8.74	n/a	18-Jun	21,565.20	0.0
COOPER CREEK PART	028	JCP	SS	25,000	8.58	n/a	18-Jun	750.00	0.0	
S.A.C. CAPITAL	044	KSS	B	25,000	56.04	n/a	18-Jun	750.00	0.0	
SCOPUS FUND	041	LBTYA	B	30,000	89.44	n/a	n/a	1,200.00	0.0	
FINDLAY PARK PART	085	LMCA	S	92,103	142.30	n/a	n/a	19,658.73	0.0	
S.A.C. CAPITAL	044	LOCK	B	15,000	16.34	n/a	n/a	450.00	0.0	
BESSEMER INVESTMENT	085	LOW	S	60	48.42	n/a	n/a	2.40	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J. GOLDMAN	028	MWV	SS	15,000	35.94	n/a	18-Jun	600.00	0.0
S.A.C. CAPITAL	044	M	B	10,000	53.21	n/a	18-Jun	300.00	0.0
BESSEMER INVESTMENT	085	PEP	S	200	82.39	n/a	n/a	8.00	0.0
BESSEMER INVESTMENT	085	PG	S	400	80.53	n/a	17-Jun	16.00	0.0
COLUMBUS CIRCLE	036	RATE	S	4,523	17.09	n/a	n/a	121.22	0.0
CAPITAL WORLD	084	RIG	S	110,295	48.83	n/a	n/a	3,860.33	0.0
CROWN ADVISORS	084	RIG	S	4,300	48.83	n/a	n/a	150.50	0.0
CADIAN CAPITAL	041	RL	B	9,000	176.04	n/a	24-May	270.00	0.0
CADIAN CAPITAL	041	SBH	B	33,000	30.56	n/a	n/a	990.00	0.0
CASTLEROCK	040	SONC	B	5,000	19.19	n/a	n/a	100.00	0.0
S.A.C. CAPITAL	044	TGT	B	10,000	63.00	n/a	23-May	300.00	0.0
S.A.C. CAPITAL	044	TJX	SS	7,000	63.02	n/a	18-Jun	210.00	0.0
BESSEMER INVESTMENT	085	T	S	1,320	34.86	n/a	n/a	52.80	0.0
ROYCE TRADING	031	UFI	S	3,000	27.04	n/a	n/a	150.00	0.0
NOMURA/ANGEL LANE PRINCIPAL	040	UFS	B	10,000	93.04	n/a	n/a	300.00	0.0
Õ Ravi Sadarangani		V	B	15221.2590		n/a	11-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROYCE TRADING	031	WD	B	5,000	16.12	n/a	n/a	250.00	0.0
FRONTFOUR CAPITAL	046	WNC	B	150,000	12.73	n/a	n/a	4,500.00	0.0
S.A.C. CAPITAL	044	XLB	SS	10,000	45.46	n/a	n/a	300.00	0.0
S.A.C. CAPITAL	044	XLI	SS	10,000	51.46	n/a	n/a	300.00	0.0
BESSEMER INVESTMENT	085	ZMH	S	1	93.31	n/a	n/a	0.04	0.0
TOTAL (Trade Date):								98,728.82	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	01/03	CADIAN CAPITAL (CADICS)	041	SBUX	SS	13,000	77.28	n/a	17-Jun	390.00 0.0
AO,CXL	01/03	CADIAN CAPITAL (CADIMS)	041	SBUX	SS	13,000	77.28	n/a	17-Jun	390.00 0.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	98,728.82
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	98,728.82
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION