

Daily Compliance Activity Report Trade Date: 03/23/2010

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

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	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.
ß	BUCK CAPITAL MGMT		ABG	В	40,000	14.17	n/a	26-Feb	non-brg	4.0
	SENATOR INVESTMENT GROUP	036	AMR	S	75,000	8.82	n/a	n/a	2,250.00	3.0
_	CATAPULT	040	AN	В	50,000	19.56	n/a	12-Feb	2,000.00	4.0
f	JOHN MICHAEL DEMARTI	FID	AN	S	1000	19.04	n/a	12-Feb	0.00	0.0
f	CHRISTOPHER ROBERT C	FID	AONE	В	300	15.39	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	APC	В	1000	70.63	n/a	3-Mar	0.00	0.0
	GLOBAL 56 LLC	060	ARM	S	3,000	13.26	n/a	n/a	150.00	5.0
f	JONATHAN RICHARD HAR	FID	AVY	s	200	35.39	n/a	5-Mar	0.00	0.0
	SCOPUS FUND	041	AXL	S	75 , 000	11.14	n/a	8-Feb	3,750.00	5.0
	AVESTA CAP	229	BAC	S	300,000	17.00	n/a	15-Mar	9,000.00	3.0
	CASTLEROCK	040	BEC	SS	42,615	64.49	n/a	n/a	1,704.60	4.0
ß	BUCK CAPITAL MGMT		BEN	S	30,000	112.74	n/a	2-Mar	non-brg	4.0
	GREEN ARROW DOUG	040	BKC	В	25,000	20.53	n/a	9-Mar	1,250.00	5.0
ß	BUCK CAPITAL MGMT		BLK	В	15,600	226.78	n/a	n/a	non-brg	4.0
	SOLARIS INV MGMT	029	BTI	S	30,000	67.83	n/a	n/a	1,500.00	5.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	
	SUN AMERICA ASSET MGMT	030	BWLD	В	1,862	49.31	n/a	n/a	74.48	4.0	
f	EDWARD W WHEELER	FID	CBI	S	35	1.97	n/a	n/a	0.00	0.0	
f	CHRISTOPHER ROBERT C	FID	CGI	S	500	13.64	n/a	n/a	0.00	0.0	
f	JONATHAN RICHARD HAR	FID	CMFO	s	800	6.63	n/a	n/a	0.00	0.0	
f	STEFFANIE CARMELA CA	FID	CVGI	S	440	7.07	n/a	n/a	0.00	0.0	
f	JONATHAN RICHARD HAR	FID	С	В	480	4.14	n/a	16-Mar	0.00	0.0	
f	NICOLAS EVAN PAKLER	FID	С	В	2200	4.09	n/a	16-Mar	0.00	0.0	
	TROUBH PART	067	DAI	В	10,000	46.80	n/a	n/a	500.00	5.0	
	LAGRANGE CAP ADV	048	DELL	S	31,200	15.08	n/a	n/a	1,560.00	5.0	
f	KEVIN MICHAEL O'GORM	FID	DE	В	1000	61.39	n/a	18-Feb	0.00	0.0	
	SUN AMERICA ASSET MGMT	030	DF	В	2,720	15.68	n/a	n/a	108.80	4.0	
ß	BUCK CAPITAL MGMT		DIA	SS	9,200	108.82	n/a	n/a	non-brg	2.0	
f	DAVID GEORGE BUCK	FID	DNDN	s	1000	38.01	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		DVN	В	15,400	65.00	n/a	11-Mar	non-brg	4.0	
f	MARC PAUL LUCHANSKY	FID	EOG	В	500	93.47	n/a	n/a	0.00	0.0	
	FIRST NEW YORK LEDDY	035	ETFC	В	10,000	1.59	n/a	n/a	600.00	6.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr	
		2424	5751	2, 5	5.142.05	11100		1100001011		, 0112 .	
	BARROW HANLEY MEWHINNEY	031	ETR	S	17,200	80.07	n/a	n/a	602.00	3.5	
f	KAREN F LEDDY	FID	FOR	В	500	19.01	n/a	5-Feb	0.00	0.0	
f	NICOLAS EVAN PAKLER	FID	F	В	560	14.05	n/a	3-Mar	0.00	0.0	
ß	BUCK CAPITAL MGMT		GCO	S	20,000	30.15	n/a	n/a	non-brg	4.0	
	BROADARCH CAP/OLD NEWC	070	GD	S	1,600	76.54	n/a	2-Feb	64.00	4.0	
ß	BUCK CAPITAL MGMT		GES	S	20,000	48.24	n/a	18-Mar	non-brg	4.0	
	M&I FUNDS	047	GES	В	7,346	47.95	n/a	18-Mar	293.84	4.0	
	YORK CAPITAL	036	GE	S	329,099	18.35	n/a	n/a	11,518.47	3.5	
	CAERUS GLOBAL	041	GIL	В	25,000	26.46	n/a	22-Mar	1,000.00	4.0	
	SAC COHEN	044	GME	В	25,000	22.17	n/a	n/a	875.00	3.5	
f	STEFFANIE CARMELA CA	FID	GOL	В	100	12.49	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		GYMB	S	20,000	53.27	n/a	17-Mar	non-brg	4.0	
a	BUCK CAPITAL MGMT		нк	В	49,900	20.05	n/a	n/a	non-brg	4.0	
	EXIS CAPITAL	044	HWD	В	10,000	9.90	n/a	n/a	500.00	5.0	
	SOLARIS INV MGMT	029	IGT	В	50,000	17.91	n/a	9-Feb	2,500.00	5.0	
ß	BUCK CAPITAL MGMT		IJS	SS	15,300	65.75	n/a	n/a	non-brg	2.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	
	BARROW HANLEY MEWHINNEY	031	INTC	В	399,300	22.69	n/a	n/a	13,975.50	3.5	
ß	BUCK CAPITAL MGMT		IWM	SS	14,500	69.15	n/a	n/a	non-brg	2.0	
ß	BUCK CAPITAL MGMT		IWO	SS	13,400	74.78	n/a	n/a	non-brg	2.0	
	T. ROWE PRICE	033	JCG	S	63 , 800	45.71	n/a	n/a	2,552.00	4.0	
	ADAGE CAPITAL MGMT	030	JCP	В	234,102	33.02	n/a	5-Mar	7,023.06	3.0	
	SAC COHEN	044	JCP	S	150,000	33.60	n/a	5-Mar	5,250.00	3.5	
	RAIL-SPLITTER	031	JNS	В	50,400	14.11	n/a	n/a	2,520.00	5.0	
	EXIS CAPITAL	044	JNY	В	5,000	18.35	n/a	10-Feb	250.00	5.0	
ß	BUCK CAPITAL MGMT		JOSB	S	10,000	50.84	n/a	n/a	non-brg	4.0	
	BNP PARIBAS SEC	040	KFT	В	10,000	30.59	n/a	n/a	400.00	4.0	
	M&I FUNDS	047	LAZ	S	47,903	36.16	n/a	9-Mar	1,916.12	4.0	
	SCOPUS FUND	041	LIZ	S	14,000	7.15	n/a	7-0ct	700.00	5.0	
	CAERUS GLOBAL	041	LOW	S	25,000	24.56	n/a	22-Feb	1,000.00	4.0	
	SUN AMERICA ASSET MGMT	030	LSTZA	В	1,701	51.35	n/a	n/a	68.04	4.0	
	BUCK CAPITAL MGMT	001	LTD	В	20,000	25.07	n/a	n/a	800.00	4.0	
	BROADARCH CAP/OLD NEWC	070	LVS	В	2,200	21.58	n/a	23-Mar	88.00	4.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CAERUS GLOBAL	041	LVS	S	10,000	20.96	n/a	23-Mar	400.00	4.0
BUCK CAPITAL MGMT		MDY	SS	6,900	144.99	n/a	n/a	non-brg	2.0
BROADARCH CAP/OLD NEWC	070	MGM	S	1,500	12.74	n/a	12-Mar	60.00	4.0
T. ROWE PRICE	033	MON	В	456,100	72.51	n/a	26-Feb	13,683.00	3.0
EXIS CAPITAL	044	М	В	20,000	21.51	n/a	5-Mar	1,000.00	5.0
SAC COHEN	044	М	В	275,000	21.86	n/a	5-Mar	9,625.00	3.5
T. ROWE PRICE	033	NSM	S	27,500	14.97	n/a	n/a	1,100.00	4.0
COLUMBUS CIRCLE	036	NUS	В	5,400	29.00	n/a	n/a	216.00	4.0
KEVIN MICHAEL O'GORM	FID	ОХМ	В	15	2.60	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	PAG	SS	30,000	15.42	n/a	23-Mar	1,200.00	4.0
SCOPUS FUND	041	PIR	S	20,000	6.81	n/a	n/a	1,000.00	5.0
CHRISTOPHER ROBERT C	FID	PRUAX	s	551	9.21	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		PSS	В	80,000	22.23	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		PVH	S	20,000	55.87	n/a	23-Mar	non-brg	4.0
SCOPUS FUND	041	PVH	В	10,000	55.99	n/a	23-Mar	500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	PVH	S	140,500	56.06	n/a	23-Mar	4,215.00	3.0
FIRST NEW YORK NICK	029	Q	S	10,000	5.36	n/a	n/a	500.00	5.0
	BUCK CAPITAL MGMT BROADARCH CAP/OLD NEWC T. ROWE PRICE EXIS CAPITAL SAC COHEN T. ROWE PRICE COLUMBUS CIRCLE KEVIN MICHAEL O'GORM PHILADELPHIA FINANCIAL SCOPUS FUND CHRISTOPHER ROBERT C BUCK CAPITAL MGMT SCOPUS FUND SYSTEMATIC FINANCIAL MGT	BUCK CAPITAL MGMT BROADARCH CAP/OLD NEWC T. ROWE PRICE EXIS CAPITAL SAC COHEN T. ROWE PRICE O33 COLUMBUS CIRCLE O36 KEVIN MICHAEL O'GORM PHILADELPHIA FINANCIAL CHRISTOPHER ROBERT C BUCK CAPITAL MGMT SCOPUS FUND PHILADEL MGMT SCOPUS FUND O41 SYSTEMATIC FINANCIAL MGT O41 O41 O41 O41	BUCK CAPITAL MGMT BROADARCH CAP/OLD NEWC T. ROWE PRICE 033 MON EXIS CAPITAL SAC COHEN T. ROWE PRICE 034 M T. ROWE PRICE 035 NSM COLUMBUS CIRCLE 036 NUS KEVIN MICHAEL O'GORM PHILADELPHIA FINANCIAL CHRISTOPHER ROBERT C BUCK CAPITAL MGMT SCOPUS FUND BUCK CAPITAL MGMT SCOPUS FUND PVH SCOPUS FUND 041 PVH	BUCK CAPITAL MGMT BROADARCH CAP/OLD NEWC T. ROWE PRICE O33 MON B EXIS CAPITAL SAC COHEN O44 M B T. ROWE PRICE O33 NSM S COLUMBUS CIRCLE O36 NUS B KEVIN MICHAEL O'GORM FID OXM B FHILADELPHIA FINANCIAL O41 PIR S CHRISTOPHER ROBERT C BUCK CAPITAL MGMT SCOPUS FUND SYSTEMATIC FINANCIAL MGT SYSTEMATIC FINANCIAL MGT SSS SCOPUS FUND O41 PVH S SCOPUS FUND SYSTEMATIC FINANCIAL MGT SSS SCOPUS FUND O41 PVH S SCOPUS FUND SYSTEMATIC FINANCIAL MGT SSS SCOPUS FUND SYSTEMATIC FINANCIAL MGT SSS SCOPUS FUND O41 PVH S SCOPUS FUND SYSTEMATIC FINANCIAL MGT SSS SCOPUS FUND SSS SCOPUS FUND SYSTEMATIC FINANCIAL MGT SSS SCOPUS FUND SYSTEMATIC FINANCIAL MGT SCOPUS FUND SYSTEMATIC FINANCIAL MGT SSS SCOPUS FUND SSS SC	CAERUS GLOBAL 041 LVS S 10,000 BUCK CAPITAL MGMT MDY SS 6,900 BROADARCH CAP/OLD NEWC 070 MGM S 1,500 T. ROWE PRICE 033 MON B 456,100 EXIS CAPITAL 044 M B 20,000 SAC COHEN 044 M B 275,000 T. ROWE PRICE 033 NSM S 27,500 COLUMBUS CIRCLE 036 NUS B 5,400 KEVIN MICHAEL O'GORM FID OXM B 15 PHILADELPHIA FINANCIAL 033 PAG SS 30,000 SCOPUS FUND 041 PIR S 20,000 CHRISTOPHER ROBERT C FID PRUAX S 551 BUCK CAPITAL MGMT PVH S 20,000 SYSTEMATIC FINANCIAL MGT 035 PVH S 140,500	CAERUS GLOBAL 041 LVS S 10,000 20.96 BUCK CAPITAL MGMT MDY SS 6,900 144.99 BROADARCH CAP/OLD NEWC 070 MGM S 1,500 12.74 T. ROWE PRICE 033 MON B 456,100 72.51 EXIS CAPITAL 044 M B 20,000 21.51 SAC COHEN 044 M B 275,000 21.86 T. ROWE PRICE 033 NSM S 27,500 14.97 COLUMBUS CIRCLE 036 NUS B 5,400 29.00 KEVIN MICHAEL O'GORM FID OXM B 15 2.60 PHILADELPHIA FINANCIAL 033 PAG SS 30,000 15.42 SCOPUS FUND 041 PIR S 20,000 6.81 CHRISTOPHER ROBERT C FID PRUAX S 551 9.21 BUCK CAPITAL MGMT PVH S 20,000 55.87 SCOPUS FUND 041 PVH B 10,000 55.99 SYSTEMATIC FINANCIAL MGT	CAERUS GLOBAL 041 LVS S 10,000 20.96 n/a BUCK CAPITAL MGMT MDY SS 6,900 144.99 n/a BROADARCH CAP/OLD NEWC 070 MGM S 1,500 12.74 n/a T. ROWE PRICE 033 MON B 456,100 72.51 n/a EXIS CAPITAL 044 M B 20,000 21.51 n/a SAC COHEN 044 M B 275,000 21.86 n/a T. ROWE PRICE 033 NSM S 27,500 14.97 n/a COLUMBUS CIRCLE 036 NUS B 5,400 29.00 n/a KEVIN MICHAEL O'GORM FID OXM B 15 2.60 n/a PHILADELPHIA FINANCIAL 033 PAG SS 30,000 15.42 n/a SCOPUS FUND 041 PIR S 20,000 6.81 n/a BUCK CAPITAL MGMT PVH S </td <td>BUCK CAPITAL MGMT MDY SS 6,900 144.99 n/a 23-Mar BUCK CAPITAL MGMT MDY SS 6,900 144.99 n/a n/a BROADARCH CAP/OLD NEWC 070 MGM S 1,500 12.74 n/a 12-Mar T. ROWE PRICE 033 MON B 456,100 72.51 n/a 26-Feb EXIS CAPITAL 044 M B 20,000 21.51 n/a 5-Mar SAC COHEN 044 M B 275,000 21.86 n/a 5-Mar T. ROWE PRICE 033 NSM S 27,500 14.97 n/a n/a COLUMBUS CIRCLE 036 NUS B 5,400 29.00 n/a n/a KEVIN MICHAEL O'GORM FID OXM B 15 2.60 n/a n/a PHILADELPHIA FINANCIAL 033 PAG SS 30,000 15.42 n/a 23-Mar SCOPUS FUND 041 PIR S 20,000 6.81 n/a n/a BUCK CAPITAL MGMT PSS B 80,000 22.23 n/a n/a BUCK CAPITAL MGMT PVH S 20,000 55.87 n/a 23-Mar SCOPUS FUND 041 PVH B 10,000 55.99 n/a 23-Mar SCOPUS FUND 041 PVH B 10,000 55.99 n/a 23-Mar</td> <td>BUCK CAPITAL MGMT MDY SS 6,900 144.99 n/a 23-Mar 400.00 BUCK CAPITAL MGMT MDY SS 6,900 144.99 n/a n/a non-brg BROADARCH CAP/OLD NEWC 070 MGM S 1,500 12.74 n/a 12-Mar 60.00 T. ROWE PRICE 033 MON B 456,100 72.51 n/a 26-Peb 13,683.00 EXIS CAPITAL 044 M B 20,000 21.51 n/a 5-Mar 1,000.00 SAC COHEN 044 M B 275,000 21.86 n/a 5-Mar 9,625.00 T. ROWE PRICE 033 NSM S 27,500 14.97 n/a n/a 1,100.00 COLUMBUS CIRCLE 036 NUS B 5,400 29.00 n/a n/a n/a 216.00 KEVIN MICHAEL O'GORM FID OXM B 15 2.60 n/a n/a 0.00 PHILADELPHIA FINANCIAL 033 PAG SS 30,000 15.42 n/a 23-Mar 1,200.00 SCOPUS FUND 041 PIR S 20,000 6.81 n/a n/a 1,000.00 CHRISTOPHER ROBERT C FID PRUAX S 551 9.21 n/a n/a n/a non-brg BUCK CAPITAL MGMT PSS B 80,000 22.23 n/a n/a n/a non-brg SCOPUS FUND 041 PVH S 20,000 55.87 n/a 23-Mar non-brg SCOPUS FUND 041 PVH B 10,000 55.99 n/a 23-Mar 500.00 SYSTEMATIC FINANCIAL MGT 035 PVH S 140,500 56.06 n/a 23-Mar 500.00</td>	BUCK CAPITAL MGMT MDY SS 6,900 144.99 n/a 23-Mar BUCK CAPITAL MGMT MDY SS 6,900 144.99 n/a n/a BROADARCH CAP/OLD NEWC 070 MGM S 1,500 12.74 n/a 12-Mar T. ROWE PRICE 033 MON B 456,100 72.51 n/a 26-Feb EXIS CAPITAL 044 M B 20,000 21.51 n/a 5-Mar SAC COHEN 044 M B 275,000 21.86 n/a 5-Mar T. ROWE PRICE 033 NSM S 27,500 14.97 n/a n/a COLUMBUS CIRCLE 036 NUS B 5,400 29.00 n/a n/a KEVIN MICHAEL O'GORM FID OXM B 15 2.60 n/a n/a PHILADELPHIA FINANCIAL 033 PAG SS 30,000 15.42 n/a 23-Mar SCOPUS FUND 041 PIR S 20,000 6.81 n/a n/a BUCK CAPITAL MGMT PSS B 80,000 22.23 n/a n/a BUCK CAPITAL MGMT PVH S 20,000 55.87 n/a 23-Mar SCOPUS FUND 041 PVH B 10,000 55.99 n/a 23-Mar SCOPUS FUND 041 PVH B 10,000 55.99 n/a 23-Mar	BUCK CAPITAL MGMT MDY SS 6,900 144.99 n/a 23-Mar 400.00 BUCK CAPITAL MGMT MDY SS 6,900 144.99 n/a n/a non-brg BROADARCH CAP/OLD NEWC 070 MGM S 1,500 12.74 n/a 12-Mar 60.00 T. ROWE PRICE 033 MON B 456,100 72.51 n/a 26-Peb 13,683.00 EXIS CAPITAL 044 M B 20,000 21.51 n/a 5-Mar 1,000.00 SAC COHEN 044 M B 275,000 21.86 n/a 5-Mar 9,625.00 T. ROWE PRICE 033 NSM S 27,500 14.97 n/a n/a 1,100.00 COLUMBUS CIRCLE 036 NUS B 5,400 29.00 n/a n/a n/a 216.00 KEVIN MICHAEL O'GORM FID OXM B 15 2.60 n/a n/a 0.00 PHILADELPHIA FINANCIAL 033 PAG SS 30,000 15.42 n/a 23-Mar 1,200.00 SCOPUS FUND 041 PIR S 20,000 6.81 n/a n/a 1,000.00 CHRISTOPHER ROBERT C FID PRUAX S 551 9.21 n/a n/a n/a non-brg BUCK CAPITAL MGMT PSS B 80,000 22.23 n/a n/a n/a non-brg SCOPUS FUND 041 PVH S 20,000 55.87 n/a 23-Mar non-brg SCOPUS FUND 041 PVH B 10,000 55.99 n/a 23-Mar 500.00 SYSTEMATIC FINANCIAL MGT 035 PVH S 140,500 56.06 n/a 23-Mar 500.00

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
	CHILTON CAPITAL MGMT.	036	RHI	S	60 , 799	31.34	n/a	n/a	1,823.97	3.0	
	KLEINHEINZ CAP PART	031	RL	В	30,000	85.00	23-Mar	23-Mar	1,500.00	5.0	
ß	BUCK CAPITAL MGMT		SAH	В	20,000	12.45	n/a	n/a	non-brg	4.0	
ß	BUCK CAPITAL MGMT		SAH	В	20,000	12.46	n/a	n/a	non-brg	4.0	
	EGS ASSOCIATES LP	091	SAH	В	10,000	12.54	n/a	n/a	600.00	6.0	
ß	BUCK CAPITAL MGMT		SHOO	s	10,000	46.95	n/a	n/a	non-brg	2.5	
ß	BUCK CAPITAL MGMT		SIG	В	10,000	28.38	n/a	n/a	non-brg	4.0	
	PROSPECTOR PARTNERS LLC	036	SJT	S	10,000	20.41	n/a	n/a	400.00	4.0	
	SCOPUS FUND	041	SKX	В	13,500	35.19	n/a	n/a	675.00	5.0	
	CATAPULT	040	SPLS	SS	25 , 000	23.93	n/a	n/a	1,000.00	4.0	
ß	BUCK CAPITAL MGMT		SPY	SS	8,600	117.39	n/a	n/a	non-brg	2.0	
f	MARC PAUL LUCHANSKY	FID	SPY	В	500	116.88	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		SUMR	S	2,416	6.39	n/a	n/a	non-brg	0.8	
ß	BUCK CAPITAL MGMT		SWN	В	25,100	39.91	n/a	8-Mar	non-brg	4.0	
	MILLENIUM PARTNERS	028	SWY	SS	40,000	24.12	n/a	n/a	1,600.00	4.0	
f	EDWARD W WHEELER	FID	TBAC	s	5000	3.70	n/a	n/a	0.00	0.0	
f	JONATHAN RICHARD HAR	FID	TBT	В	106	47.13	n/a	n/a	0.00	0.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
DAVIDSON KEMPNER ADVISOR	040	TGI	В	10,000	68.94	n/a	n/a	500.00	5.0
CAERUS GLOBAL	041	TIF	S	10,000	47.62	n/a	n/a	400.00	4.0
COBALT	041	TIN	В	47,000	21.60	n/a	9-Feb	2,350.00	5.0
PHILADELPHIA FINANCIAL	033	TRAD	S	30,000	6.96	n/a	n/a	1,200.00	4.0
SONTERRA CAP	041	TRN	SS	20,000	20.45	n/a	n/a	800.00	4.0
STELLIAM INV ADV	034	UNH	В	15,000	33.21	n/a	n/a	750.00	5.0
DAVID GEORGE BUCK	FID	UQB	В	10	8.49	n/a	n/a	0.00	0.0
CHRISTOPHER ROBERT C	FID	VECO	В	100	43.07	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		WDR	S	67,500	36.26	n/a	2-Mar	non-brg	4.0
GRANAHAN INV	039	WDR	В	35,200	36.17	n/a	2-Mar	1,408.00	4.0
MAIRS AND POWER INV	030	WFC	S	20,000	30.45	n/a	9-Mar	1,000.00	5.0
PROSPECTOR PARTNERS LLC	036	XEC	S	10,000	59.07	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		XRT	SS	24,000	41.74	n/a	n/a	non-brg	2.0

TOTAL (Trade Date): 128,249.88

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
•		FINDLAY PARK PART FINDLAY PARK PART	259 259	BK BK	В	20,172 20,172	30.50	n/a n/a	9-Mar 9-Mar		5.0 5.0
TOTAL	(AO, (CXL, CRT):								0.00	

TOTAL (Trade Date):

TOTAL (AO, CXL, CRT):

128,249.88

0.00

TOTAL (RUN DATE) / NFS:

128,249.88

MRI Securities with Trade Activity on 23-Mar.

MRI Securities without Trade Activity on 23-Mar. PRGO, LMT, AOS, ALV

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 4%

YTD = 15%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)