



# Daily Compliance Activity Report

Trade Date: 07/13/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	5,000	249.70	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AEO	S	200,300	12.03	n/a	9-Jul	non-brg	4.0
BMO NESBITT BURNS	041	AGN	B	3,000	65.87	n/a	12-Jul	300.00	10.0
MARC PAUL LUCHANSKY	FID	AMZN	B	100	123.87	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		AVP	B	175,000	29.02	n/a	12-Jul	non-brg	4.0
BUCK CAPITAL MGMT		AVP	B	25,000	29.12	n/a	12-Jul	non-brg	2.5
COBALT	041	AXL	SS	200,000	7.90	n/a	13-Jul	6,000.00	3.0
OZ MGMT	040	AXL	S	114,534	7.91	n/a	13-Jul	3,436.02	3.0
BUCK CAPITAL MGMT		BBBY	B	100,000	38.14	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BBBY	B	200,000	38.31	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT	001	BC	B	30,000	14.40	n/a	n/a	1,200.00	4.0
CAERUS GLOBAL	041	BC	B	34,000	14.61	n/a	n/a	1,360.00	4.0
BUCK CAPITAL MGMT		BHI	S	10,000	46.44	n/a	n/a	non-brg	4.0
JOEL GIFFORD TISS	FID	BRKB	B	2000	79.40	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	BSX	S	20,000	6.46	n/a	n/a	800.00	4.0
BASIX	033	BWA	S	21,000	41.75	n/a	30-Apr	630.00	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MARC PAUL LUCHANSKY	FID	BWA	B	500	40.67	n/a	30-Apr	0.00	0.0
	STELLIAM INV ADV	034	CAL	S	20,000	24.00	n/a	n/a	1,000.00	5.0
	COLUMBIA PARTNERS	59G	CFSG	B	6,843	8.90	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	CL	B	1000	83.12	n/a	18-May	0.00	0.0
B	BUCK CAPITAL MGMT		CNW	S	10,000	32.60	n/a	n/a	non-brg	4.0
	LEVEL GLOBAL SHARED ACCT	257	COH	C	100,000	36.91	n/a	14-May	3,000.00	3.0
B	BUCK CAPITAL MGMT		COLM	C	10,000	47.25	n/a	n/a	non-brg	4.0
f	KAREN F LEDDY	FID	CVGI	B	1050	10.42	n/a	n/a	0.00	0.0
f	THOMAS MACTAGGART LE	FID	CVGI	B	150	10.42	n/a	n/a	0.00	0.0
	CRAMER ROSENTHAL/SCOTT	012	CVS	B	6,400	30.51	n/a	n/a	256.00	4.0
B	BUCK CAPITAL MGMT		CWTR	S	32,600	3.89	n/a	n/a	non-brg	0.8
	MARINER/TRICADIA	041	CXW	S	10,000	19.29	n/a	n/a	500.00	5.0
	MARINER/TRICADIA	041	CYBX	S	20,000	23.27	n/a	n/a	1,000.00	5.0
	BALYASNY ASSET MGMT	044	DAN	S	10,000	11.08	n/a	7-Jul	500.00	5.0
B	BUCK CAPITAL MGMT		DECK	B	140,000	47.20	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		DKS	C	20,000	25.43	n/a	11-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>DO</b>	<b>B</b>	<b>1000</b>	<b>64.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ABRAM M CHAPPELL</b>	<b>FID</b>	<b>DRWI</b>	<b>S</b>	<b>250</b>	<b>5.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>DRWI</b>	<b>S</b>	<b>500</b>	<b>5.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BLACK RIVER ASSET MGMT	030	EEM	SS	62,600	40.13	n/a	n/a	2,504.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>EL</b>	<b>C</b>	<b>10,000</b>	<b>63.71</b>	<b>n/a</b>	<b>7-Jun</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>EXPE</b>	<b>SS</b>	<b>20,000</b>	<b>19.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FBR</b>	<b>B</b>	<b>300</b>	<b>14.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FDO</b>	<b>C</b>	<b>50,000</b>	<b>36.56</b>	<b>n/a</b>	<b>7-Jul</b>	<b>non-brg</b>	<b>4.0</b>
CATAPULT	040	FHN	B	35,000	12.44	n/a	n/a	1,750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>B</b>	<b>70,000</b>	<b>14.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	FINL	B	10,000	14.72	n/a	n/a	500.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>FOR</b>	<b>B</b>	<b>800</b>	<b>16.82</b>	<b>n/a</b>	<b>28-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>f THOMAS MACTAGGART LE</b>	<b>FID</b>	<b>FOR</b>	<b>B</b>	<b>125</b>	<b>16.80</b>	<b>n/a</b>	<b>28-Jun</b>	<b>0.00</b>	<b>0.0</b>
FRIESS MGMT	033	F	B	1,315,300	11.51	n/a	13-Jul	52,612.00	4.0
OZ MGMT	040	F	B	100,000	11.48	n/a	13-Jul	3,000.00	3.0
SIGMA CAP BOBBY	044	F	B	150,000	11.46	n/a	13-Jul	5,250.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>B</b>	<b>40,000</b>	<b>27.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>S</b>	<b>170,000</b>	<b>18.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	041	GPS	SS	50,000	18.82	n/a	n/a	1,750.00	3.5
EXIS CAPITAL	044	GYMB	SS	10,000	43.93	n/a	9-Jul	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>20,000</b>	<b>25.37</b>	<b>n/a</b>	<b>14-May</b>	<b>non-brg</b>	<b>4.0</b>
CASTLEROCK	040	HD	SS	95,151	28.37	n/a	30-Jun	3,806.04	4.0
MARINER/TRICADIA	041	IWM	B	10,000	63.75	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>SS</b>	<b>30,000</b>	<b>23.23</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>SS</b>	<b>86,100</b>	<b>23.19</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
UBS OCONNOR	031	JEF	SS	125,000	23.74	n/a	n/a	3,750.00	3.0
OHIO PERS	047	JNJ	B	16,000	60.85	n/a	n/a	480.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>JNS</b>	<b>S</b>	<b>100,000</b>	<b>10.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
HUNTER GLOBAL INVESTOR	067	JNS	S	251,730	10.17	n/a	n/a	7,551.90	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JWN</b>	<b>B</b>	<b>28,800</b>	<b>34.74</b>	<b>n/a</b>	<b>9-Jul</b>	<b>1,152.00</b>	<b>4.0</b>
COPIA CAPITAL	031	KEG	C	150,000	9.38	n/a	6-Jul	5,250.00	3.5
WAGONHOUND/NIC	048	KMT	S	5,373	27.23	n/a	30-Apr	214.92	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>KNL</b>	<b>C</b>	<b>10,000</b>	<b>13.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SAC CRIB	044	KNX	SS	25,000	21.01	n/a	n/a	875.00	3.5
AVESTA CAP	229	KSS	C	100,000	47.91	n/a	9-Jul	3,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		KSS	B	20,900	48.06	n/a	9-Jul	non-brg	4.0
EXIS CAPITAL	044	KSS	SS	7,500	47.56	n/a	9-Jul	375.00	5.0
JMP ASSET MGMT	210	LANC	SS	8,000	53.43	n/a	n/a	400.00	5.0
LOMBARDIA CAP MGMT	084	LFUS	B	6,663	33.76	n/a	n/a	319.65	4.9
TRELLUS PARTNERS LP	028	LVS	SS	40,000	23.73	n/a	7-Jul	2,000.00	5.0
⚡ BUCK CAPITAL MGMT		LZB	C	10,000	8.12	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		MAR	C	30,000	32.07	n/a	n/a	non-brg	4.0
⚡ DAVID GEORGE BUCK	FID	MCD	S	1500	70.92	n/a	8-Jul	0.00	0.0
ST OF MICHIAN	047	MON	S	280,000	52.17	n/a	1-Jul	8,400.00	3.0
⚡ BUCK CAPITAL MGMT		MSMSRAWW	C	100,000	85.30	n/a	n/a	non-brg	8.5
UBS OCONNOR	031	MS	B	120,000	25.70	n/a	6-Jul	3,600.00	3.0
GLG PARTNERS	034	M	B	200,000	18.32	n/a	9-Jul	6,000.00	3.0
⚡ BUCK CAPITAL MGMT		NILE	SS	10,000	49.56	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAP	041	NKE	SS	45,000	70.60	n/a	n/a	1,800.00	4.0
⚡ BUCK CAPITAL MGMT		NWY	S	45,000	2.34	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		NWY	S	60,000	2.35	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		PETM	C	60,000	32.36	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	012	PHH	B	5,000	19.25	n/a	n/a	250.00	5.0
ROYCE TRADING	012	PJC	B	5,000	30.25	n/a	n/a	250.00	5.0
BMO NESBITT BURNS	041	PRX	S	3,000	29.05	n/a	12-Jul	300.00	10.0
⚡ BUCK CAPITAL MGMT		PSS	S	164,250	16.30	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		QKLS	S	25,000	4.39	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		RL	C	20,000	77.21	n/a	20-May	non-brg	4.0
THIRD COAST CAPITAL	035	RRD	B	15,000	17.08	n/a	n/a	750.00	5.0
SMITH MGMT LLC	029	SDRL	B	183,700	21.70	n/a	n/a	5,511.00	3.0
ROYCE TRADING	012	SFY	B	10,000	27.46	n/a	n/a	500.00	5.0
f ALICE BEEBE LONGLEY	FID	SHO	S	400	10.04	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SKX	B	100,000	35.99	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	SPG	B	1000	85.16	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	SPLS	SS	25,000	20.30	n/a	n/a	1,250.00	5.0
PARTNER REINSURANCE	033	SPLS	B	36,450	20.18	n/a	n/a	1,458.00	4.0
f KAREN F LEDDY	FID	SRI	B	300	8.10	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		TGT	S	95,580	49.75	n/a	9-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HOLDEN CAPITAL MGMT	035	THS	SS	30,000	48.02	n/a	n/a	1,500.00	5.0
US BANCORP	030	TKR	S	41,941	27.89	n/a	n/a	2,097.05	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>TLB</b>	<b>B</b>	<b>700</b>	<b>10.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TSCO</b>	<b>C</b>	<b>20,000</b>	<b>67.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>UAUA</b>	<b>S</b>	<b>10,000</b>	<b>22.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>30,000</b>	<b>33.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HUNTER GLOBAL INVESTOR	067	USB	S	200,000	24.34	n/a	n/a	10,000.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WLP</b>	<b>B</b>	<b>2000</b>	<b>51.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>1000</b>	<b>7.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>WRC</b>	<b>S</b>	<b>150</b>	<b>38.87</b>	<b>n/a</b>	<b>14-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WSO</b>	<b>S</b>	<b>15,000</b>	<b>59.86</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WY</b>	<b>S</b>	<b>193,000</b>	<b>40.48</b>	<b>n/a</b>	<b>28-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WY</b>	<b>S</b>	<b>40,000</b>	<b>40.83</b>	<b>n/a</b>	<b>28-Jun</b>	<b>non-brg</b>	<b>2.5</b>
COHE & STEERS	041	WY	S	59,500	40.69	n/a	28-Jun	2,380.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>WY</b>	<b>S</b>	<b>500</b>	<b>39.69</b>	<b>n/a</b>	<b>28-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XLF</b>	<b>SS</b>	<b>100,000</b>	<b>14.91</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
CONIFER/ISF	025	XLF	B	5,000	14.90	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		XRT	C	250,000	37.07	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		XRT	SS	50,000	37.51	n/a	n/a	non-brg	2.0
f CALEF CAMPBELL DAVIS	FID	YRCW	B	725	0.24	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ZUMZ	C	20,000	18.81	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):							163,818.58		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/12	SANDLER O'NEILL ASSET	031	C	S	44,160	4.05	n/a	6-Jul	883.20 2.0
AO,CRT	07/12	SANDLER O'NEILL ASSET	031	C	S	44,160	4.05	n/a	6-Jul	883.20 2.0
AO,CXL	07/08	PROSPECTOR PARTNERS LLC	036	CPN	S	300	13.29	n/a	n/a	12.00 4.0
AO,CRT	07/08	PROSPECTOR PARTNERS LLC	036	CPN	S	300	13.29	n/a	n/a	12.00 4.0
AO,CRT	07/09	GRUBER MACBAINE	033	LOW	B	600	20.13	n/a	17-May	24.00 4.0
AO,CXL	07/09	GRUBER MACBAINE	033	LOW	B	24,000	20.13	n/a	17-May	960.00 4.0
AO,	07/09	GRUBER MACBAINE	033	LOW	B	23,400	20.13	n/a	17-May	936.00 4.0
AO,CRT	07/12	SAC CAPITAL/M GRONET	030	M	B	10,000	18.03	n/a	9-Jul	175.00 0.0
AO,CXL	07/12	SAC CAPITAL/M GRONET	030	M	B	10,000	18.03	n/a	9-Jul	350.00 3.5
AO,CRT	07/12	SAC CAPITAL/M GRONET	030	URBN	SS	20,000	33.60	n/a	n/a	350.00 0.0
AO,CXL	07/12	SAC CAPITAL/M GRONET	030	URBN	SS	20,000	33.60	n/a	n/a	700.00 3.5

**TOTAL (AO, CXL, CRT) :****-525.00**

TOTAL (Trade Date) :

163,818.58

TOTAL (AO, CXL, CRT) :

-525.00

**TOTAL (RUN DATE) / NFS:****163,293.58**

MRI Securities with Trade Activity on 13-Jul.  
NONE

MRI Securities without Trade Activity on 13-Jul.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 2%  
YTD = 10%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**