



Daily Compliance Activity Report

Trade Date: 08/02/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AB	B	50	84.22	25-Jul	2-Aug	2.50	5.0
COUGAR TRADING	044	AEO	S	10,000	24.89	10-Jul	13-Jul	500.00	5.0
BUCK CAPITAL MGMT		AMG	B	3,000	119.44	25-Jul	2-Aug	non-brg	5.0
BUCK CAPITAL MGMT		AMG	B	5,000	119.31	25-Jul	2-Aug	non-brg	5.0
COUGAR TRADING	044	ANF	S	5,000	71.81	12-Jul	13-Jul	250.00	5.0
SARAS CAPITAL	038	AN	SS	10,000	19.30	n/a	27-Jul	500.00	5.0
MAZAMA CAPITAL MGMT.	035	AOS	S	20,000	49.82	18-Jul	18-Jul	800.00	4.0
BUCK CAPITAL MGMT		ARO	SS	120,000	38.30	n/a	13-Jul	non-brg	5.0
BUCK CAPITAL MGMT		ARO	SS	210,100	38.48	n/a	13-Jul	non-brg	4.0
BUCK CAPITAL MGMT		ARO	SS	25,000	38.22	n/a	13-Jul	non-brg	2.0
BUCK CAPITAL MGMT		ARO	SS	25,000	38.28	n/a	13-Jul	non-brg	2.0
BUCK CAPITAL MGMT		ARO	SS	50,000	38.29	n/a	13-Jul	non-brg	2.0
BUCK CAPITAL MGMT		ARO	SS	50,000	38.38	n/a	13-Jul	non-brg	2.0
COUGAR TRADING	044	ARO	SS	5,000	38.17	n/a	13-Jul	250.00	5.0
BUCK CAPITAL MGMT		ASD	B	5,000	38.03	18-Jul	23-Jul	non-brg	5.0
ROBERTSON OPP CAPITAL	031	ASD	S	10,000	38.02	18-Jul	23-Jul	400.00	4.0
PATTEN & PATTEN	067	BAC	B	200	47.80	20-Apr	20-Jul	10.00	5.0
CAPITAL GROWTH MGMT.	241	BA	B	10,000	105.70	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BIG	SS	20,000	25.99	9-Mar	27-Jul	non-brg	5.0
LUCHANSKY2	036	BIG	C	200	26.42	9-Mar	27-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		BLK	B	5,000	158.57	18-Jul	2-Aug	non-brg	5.0
ROTHSCHILD ASSET	040	BMR	S	75,000	22.04	n/a	n/a	3,375.00	4.5
ß BUCK CAPITAL MGMT		BSC	B	3,000	114.95	n/a	2-Aug	non-brg	5.0
MAGNETAR FINANCIAL	247	BWTR	S	25,000	10.56	n/a	n/a	1,000.00	4.0
FARALLON CAP MGMT	040	CBS	SS	79,700	32.49	n/a	n/a	3,188.00	4.0
THIRD COAST CAPITAL	035	COH	B	12,500	47.66	n/a	1-Aug	625.00	5.0
TRUST CO/COWEN/CALF.	040	CSE	B	83,140	19.70	n/a	n/a	3,325.60	4.0
AVESTA CAP	229	CVS	B	25,000	36.50	n/a	n/a	1,250.00	5.0
BALYASNY ASSET MGMT	044	CVS	B	15,000	36.43	n/a	n/a	750.00	5.0
ß BUCK CAPITAL MGMT		DBRN	B	40,000	18.32	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DDS	SS	20,000	28.09	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		DE	B	4	1.89	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DE	B	4	3.18	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DE	SS	4	3.19	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DE	SS	4	4.58	n/a	n/a	0.00	0.0
WELLS/STRONG LEDDY	035	DRAX	B	10,600	5.07	n/a	n/a	424.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		DSW	B	50,000	33.35	2-Aug	2-Aug	non-brg 5.0
JP MORGAN VENTURES	046	DSW	B	15,000	34.12	2-Aug	2-Aug	750.00 5.0
SATELLITE ASSET MGMT	012	DSW	B	26,300	33.46	2-Aug	2-Aug	1,052.00 4.0
CAPITAL GROWTH MGMT.	241	DVN	B	3,500	78.37	18-Jul	20-Jul	175.00 5.0
ROTHSCHILD ASSET	040	EAT	S	23,150	27.21	24-Apr	24-Apr	1,041.75 4.5
BALYASNY ASSET MGMT	044	EL	SS	5,000	44.91	11-Jun	11-Jun	250.00 5.0
PATTEN & PATTEN	067	EPR	S	150	44.85	n/a	n/a	7.50 5.0
BLACKROCK/BOSTON	039	EXLS	B	300	17.52	n/a	n/a	13.50 4.5
WHEELER	008	EXTR	S	27,543	4.02	n/a	22-Mar	0.00 0.0
B BUCK CAPITAL MGMT		FDO	SS	20,000	30.16	19-Apr	27-Jul	non-brg 5.0
PATTEN & PATTEN	067	FPL	B	75	59.88	n/a	n/a	3.75 5.0
EATON VANCE MGMT	039	GE	B	163,320	38.80	n/a	n/a	4,899.60 3.0
B BUCK CAPITAL MGMT		GIII	B	1,100	15.55	n/a	n/a	non-brg 1.0
B BUCK CAPITAL MGMT		GIII	B	16,416	15.59	n/a	n/a	non-brg 1.0
B BUCK CAPITAL MGMT		GIII	B	39,500	15.60	n/a	n/a	non-brg 2.0
B BUCK CAPITAL MGMT		GIII	B	5,000	15.52	n/a	n/a	non-brg 5.0
COUGAR TRADING	044	GIL	S	10,000	33.56	21-Jun	2-Aug	500.00 5.0
EGS ASSOCIATES LP	091	GIL	SS	5,000	33.00	21-Jun	2-Aug	300.00 6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CAXTON PART	040	GLW	S	200,230	23.87	n/a	1-Aug	8,009.20	4.0
⌘ BUCK CAPITAL MGMT		GPS	SS	30,000	17.30	n/a	13-Jul	non-brg	5.0
SANDLER CAPITAL	038	GRP	S	63,400	53.33	n/a	n/a	3,804.00	6.0
⌘ BUCK CAPITAL MGMT		GS	B	2,000	186.80	15-Jun	2-Aug	non-brg	5.0
PATTEN & PATTEN	067	HON	B	100	58.93	19-Jul	19-Jul	5.00	5.0
PROSPECTOR PARTNERS LLC	036	HRB	B	25,000	20.19	n/a	n/a	1,000.00	4.0
STRAUSS ASSET MGMT	040	HYC	S	30,000	4.78	n/a	n/a	1,500.00	5.0
CAPITAL GROWTH MGMT.	241	IHP	B	15,000	64.58	n/a	n/a	750.00	5.0
WHEELER	008	IMMC	B	127,100	1.27	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		IVZ	B	10,000	25.22	2-Aug	2-Aug	non-brg	5.0
⌘ BUCK CAPITAL MGMT		IVZ	B	10,000	25.24	2-Aug	2-Aug	non-brg	5.0
⌘ BUCK CAPITAL MGMT		IWM	C	345,600	77.87	n/a	n/a	non-brg	2.0
KARSCH CAPITAL	034	IWM	C	450,000	77.98	n/a	n/a	13,500.00	3.0
⌘ BUCK CAPITAL MGMT		JCG	B	10,000	47.03	22-Jun	22-Jun	non-brg	5.0
BALYASNY ASSET MGMT	044	JCP	B	15,000	68.70	21-Jun	13-Jul	750.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	20,000	48.01	12-Jul	26-Jul	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	KO	B	200	53.59	n/a	n/a	10.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	10,000	60.42	14-Jun	27-Jul	400.00	4.0
⌘ BUCK CAPITAL MGMT		LAMR	B	10,000	59.08	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LEH	B	2,000	59.90	12-Jun	2-Aug	non-brg	5.0
DISCIPLINED GROWTH INV.	030	LVLTT	B	11,300	5.50	26-Jul	27-Jul	565.00	5.0
TRIBECA	038	MCO	SS	10,000	57.03	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		MER	B	2,000	72.91	18-Jul	2-Aug	non-brg	5.0
PATTEN & PATTEN	067	MIC	B	75	40.36	n/a	n/a	3.75	5.0
⌘ BUCK CAPITAL MGMT		MIR	B	20,000	38.36	n/a	n/a	non-brg	5.0
LAPIDES ASSET MGMT	034	MOLX	B	17,000	25.00	n/a	n/a	680.00	4.0
⌘ BUCK CAPITAL MGMT		MOS	SS	10,000	39.72	n/a	n/a	non-brg	5.0
MATRIX ASSET ADV.	036	MS	B	2,000	63.50	2-Jul	2-Aug	80.00	4.0
BULL PATH CAPITAL	044	MW	B	33,500	49.79	n/a	n/a	1,675.00	5.0
⌘ BUCK CAPITAL MGMT		M	B	10,000	35.91	13-Jul	13-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		M	B	50,000	35.93	13-Jul	13-Jul	non-brg	5.0
DAVIDSON KEMPNER ADVISOR	217	NCC	SS	168,000	29.65	n/a	n/a	8,400.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Glenn Redemann		NE	B	3	0.53	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NE	B	3	0.56	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NE	SS	3	1.21	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NE	SS	3	1.55	n/a	n/a	0.00	0.0
CARLYLE BLUE WAVE PR MG	224	NWS	SS	14,000	22.95	n/a	n/a	700.00	5.0
MAZAMA CAPITAL MGMT.	035	NZ	S	14,700	16.10	n/a	n/a	588.00	4.0
JP MORGAN VENTURES	046	OXM	S	25,000	40.09	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	PCL	S	250	39.82	10-Jul	24-Jul	12.50	5.0
ß BUCK CAPITAL MGMT		PERY	B	10,000	28.53	n/a	n/a	non-brg	5.0
BALYASNY ASSET MGMT	044	PG	B	10,000	62.97	n/a	30-Jul	500.00	5.0
ß BUCK CAPITAL MGMT		PSUN	B	20,000	17.71	n/a	13-Jul	non-brg	5.0
CR INTRINIC INVESTMENT	044	QQQQ	B	200,000	48.17	n/a	n/a	6,000.00	3.0
BUCK CAPITAL MGMT	001	RL	S	10,000	89.04	21-Jun	27-Jun	400.00	4.0
ß BUCK CAPITAL MGMT		RL	C	10,000	89.95	21-Jun	27-Jun	non-brg	5.0
PATTEN & PATTEN	067	RYN	S	300	42.94	n/a	2-Aug	15.00	5.0
MATTHES CAPITAL MGMT	033	SHOO	SS	20,000	27.51	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		SKX	B	10,000	19.80	n/a	13-Mar	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SPY	C	98,600	146.81	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	SRE	B	250	56.05	n/a	n/a	12.50	5.0
ß BUCK CAPITAL MGMT		SSI	S	20,700	17.99	25-May	13-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		SSI	S	45,700	17.78	25-May	13-Jul	non-brg	5.0
COUGAR TRADING	044	SSI	SS	5,000	17.71	25-May	13-Jul	250.00	5.0
KERN	050	SUPG	S	500	5.00	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	217	TIN	B	25,000	56.59	25-Jul	26-Jul	1,250.00	5.0
DISCIPLINED GROWTH INV.	030	TJX	B	3,500	28.15	13-Jul	13-Jul	175.00	5.0
THE BOSTON COMPANY	214	TJX	B	42,000	28.03	13-Jul	13-Jul	1,680.00	4.0
JP MORGAN VENTURES	046	TLB	SS	20,000	22.39	n/a	17-Jul	1,000.00	5.0
ß BUCK CAPITAL MGMT		TRLG	B	10,000	19.00	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		TRLG	B	2,000	17.85	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		TRLG	B	3,000	18.56	n/a	n/a	non-brg	5.0
RIVER RUN MGMT	031	TRMP	B	30,060	5.94	n/a	n/a	1,202.40	4.0
SANDLER O'NEILL ASSET	031	TROW	S	20,000	50.96	n/a	2-Aug	1,000.00	5.0
PRINCIPLE CAPITAL	040	TUP	SS	56,000	31.98	n/a	n/a	1,680.00	3.0
Õ Glenn Redemann		TXI	B	3	0.90	n/a	n/a	0.00	0.0
Õ Glenn Redemann		TXI	B	3	1.77	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Glenn Redemann		TXI	SS	3	1.75	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		TXI	SS	3	2.71	n/a	n/a	0.00	0.0
	ROSEDALE CAPITAL	046	UNT	B	10,000	53.79	n/a	20-Jul	500.00	5.0
	TRIVIUM CAP MGMT	028	URBN	B	150,000	21.22	31-Jul	31-Jul	6,000.00	4.0
	HIGHBRIDGE CAPITAL	038	URS	B	10,000	49.51	n/a	n/a	500.00	5.0
	FARALLON CAP MGMT	040	WAG	S	266,000	44.82	n/a	n/a	10,640.00	4.0
	ROBERTSON OPP CAPITAL	031	WBC	B	10,000	48.23	n/a	n/a	400.00	4.0
	PATTEN & PATTEN	067	WLP	S	100	75.49	n/a	n/a	5.00	5.0
ß	BUCK CAPITAL MGMT		WRNC	B	40,000	38.06	18-Jul	18-Jul	non-brg	5.0
	WHEELER	008	WVLAP	S	30	15.50	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		WY	B	30,000	68.77	13-Jul	13-Jul	non-brg	5.0
	LEDDY	035	WY	B	400	69.39	13-Jul	13-Jul	0.00	0.0
TOTAL (Trade Date):									105,235.55	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/01	ARIENCE CAPITAL	036	BYD	B	31,200	42.24	2-Aug	2-Aug	1560.00 5.0
AO,	08/01	COLUMBUS CIRCLE	036	CMG	B	27,969	96.64	24-Apr	24-Apr	1118.76 4.0
AO,CRT	08/01	SENECA CAPITAL LP NY	028	IWM	B	104,000	77.65	n/a	n/a	5200.00 5.0
AO,CXL	08/01	SENECA CAPITAL LP NY	028	IWM	B	140,000	77.65	n/a	n/a	7000.00 5.0
AO,	08/01	WILLIAM BLAIR	031	KNX	S	30,236	17.87	n/a	n/a	1209.44 4.0
AO,	08/01	CRYSTAL ROCK CAP MGMT	031	KO	B	11,900	52.61	n/a	n/a	595.00 5.0
AO,	08/01	PIVOT CAP	034	KSS	S	5,000	59.04	14-Jun	27-Jul	250.00 5.0
AO,CXL	07/27	ROARING BLUE LION MGMT	012	SHOO	SS	12,000	30.01	n/a	n/a	600.00 5.0
AO,CRT	07/27	ROARING BLUE LION MGMT	012	SHOO	SS	12,000	30.01	n/a	n/a	480.00 4.0

TOTAL (AO, CXL, CRT) :**2,813.20**

TOTAL (Trade Date) :

105,235.55

TOTAL (AO, CXL, CRT) :

2,813.20

TOTAL (RUN DATE) / NFS:**108,048.75**

MRI Securities with Trade Activity on 2-Aug.

DSW, IVZ

MRI Securities without Trade Activity on 2-Aug.

BYD, CLX, DBD, TEVA, TWC, WPL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION