



# Daily Compliance Activity Report

Trade Date: 06/12/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SATELLITE ASSET MGMT	012	ABG	S	10,000	14.13	10-Jun	10-Jun	400.00	4.0
<b>BARR</b>	<b>096</b>	<b>ABX</b>	<b>B</b>	<b>500</b>	<b>38.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	ALKS	B	20,000	11.84	n/a	n/a	800.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>SS</b>	<b>20,000</b>	<b>24.91</b>	<b>14-Mar</b>	<b>22-May</b>	<b>800.00</b>	<b>4.0</b>
COUGAR TRADING	044	ANN	SS	10,000	25.25	14-Mar	22-May	500.00	5.0
<b>COLE</b>	<b>N24</b>	<b>AOB</b>	<b>S</b>	<b>100</b>	<b>10.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ARO	B	10,000	31.12	28-Apr	6-Jun	500.00	5.0
COUGAR TRADING	044	ARO	SS	5,000	31.94	28-Apr	6-Jun	250.00	5.0
CAXTON PART	040	AXP	B	115,155	43.77	n/a	n/a	4,030.43	3.5
S.A.C. CAPITAL MGMT.	044	AXP	SS	50,000	43.66	n/a	n/a	2,500.00	5.0
AVESTA CAP	229	BAC	B	75,000	29.95	n/a	15-May	3,750.00	5.0
INDEPENDENCE INV	039	BA	S	60,360	75.29	n/a	n/a	2,414.40	4.0
<b>LEDDY</b>	<b>035</b>	<b>BBW</b>	<b>S</b>	<b>700</b>	<b>8.76</b>	<b>n/a</b>	<b>19-Dec</b>	<b>0.00</b>	<b>0.0</b>
JMP ASSET MGMT	210	BBY	B	1,000	44.60	n/a	27-Jul	50.00	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>BBY</b>	<b>B</b>	<b>1,000</b>	<b>44.88</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>BBY</b>	<b>S</b>	<b>1,000</b>	<b>44.55</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	BIG	SS	13,100	33.19	29-May	5-Jun	655.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PERRY PARTNERS	041	BUD	B	150,000	61.39	n/a	n/a	4,500.00	3.0
TRELLUS PARTNERS LP	028	BWA	SS	23,600	47.02	10-Jun	10-Jun	1,180.00	5.0
TROUBH PART	067	CAT	SS	25,000	80.54	n/a	23-May	1,250.00	5.0
ROTHSCHILD ASSET	040	CE	S	23,400	47.53	n/a	n/a	1,053.03	4.5
<b>KORMAN</b>	<b>003</b>	<b>CFSG</b>	<b>S</b>	<b>2,800</b>	<b>11.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>CHCG</b>	<b>S</b>	<b>7,000</b>	<b>1.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GRASSANO</b>	<b>001</b>	<b>CMO</b>	<b>B</b>	<b>120</b>	<b>11.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GRUBER MACBAINE	033	COH	B	20,000	33.01	4-Apr	23-Apr	800.00	4.0
MERRILL ASSET	032	CRR	S	20,300	54.81	5-Jun	5-Jun	1,015.00	5.0
BANK OF NEW YORK	046	CUZ	S	15,000	24.93	n/a	n/a	600.00	4.0
NATIONAL BK OF CAN	031	C	B	50,000	19.88	16-Jan	15-May	2,000.00	4.0
CASCADE INVESTMENTS	038	EK	B	200,000	13.13	n/a	n/a	10,000.00	5.0
COUGAR TRADING	044	EL	S	5,000	48.16	7-May	21-May	250.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>EL</b>	<b>SS</b>	<b>500</b>	<b>47.48</b>	<b>7-May</b>	<b>21-May</b>	<b>0.00</b>	<b>0.0</b>
<b>COLE</b>	<b>N24</b>	<b>ETFC</b>	<b>S</b>	<b>700</b>	<b>3.64</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MS PRINCIPAL STRATEGIES	041	EXM	B	25,000	36.39	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	F	C	1,000	6.11	25-Apr	9-Jun	0.00	0.0
OGORMAN	046	F	C	2,000	6.08	25-Apr	9-Jun	0.00	0.0
HIGHLINE ADV	044	GE	SS	300,000	29.13	n/a	n/a	15,000.00	5.0
COLE	N24	GFG	B	700	5.41	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	27.87	30-Apr	28-May	400.00	4.0
PORTER-ORLIN-FELDMAN	044	GM	SS	100,000	15.91	12-Jun	12-Jun	5,000.00	5.0
SATELLITE ASSET MGMT	012	GPI	SS	7,500	22.50	10-Jun	10-Jun	300.00	4.0
EMP ERROR	099	GPI	S	1,000	22.47	10-Jun	10-Jun	0.00	0.0
COUGAR TRADING	044	GPS	SS	10,000	17.67	n/a	6-Jun	500.00	5.0
NATIONAL BK OF CAN	031	GS	B	10,000	167.28	19-Mar	6-Jun	400.00	4.0
WHEELER	008	HAYN	B	2,000	55.25	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	HCBK	SS	20,000	16.63	n/a	n/a	1,000.00	5.0
NOMURA CAPITAL	045	IESC	S	2,100	18.07	n/a	n/a	105.00	5.0
HIGHLINE ADV	044	IGT	B	200,000	30.32	n/a	18-Apr	10,000.00	5.0
FRONTPOINT CHRIS C	041	IR	B	12,700	39.14	n/a	n/a	635.00	5.0
PERRY PARTNERS	041	IVGN	B	25,600	40.06	n/a	n/a	768.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	IVGN	B	1,000	39.10	n/a	n/a	0.00	0.0
KORMAN	003	IVZ	S	5,000	26.77	10-Apr	9-Jun	0.00	0.0
FRONTPOINT CHRIS C	041	IWM	SS	7,200	71.74	n/a	n/a	360.00	5.0
MAGUIRE	039	JPMLJ	B	20	1.08	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JWN	B	15,000	33.32	16-May	6-Jun	750.00	5.0
KORMAN	003	KMXSD	B	50	2.85	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KMX	SS	50,000	17.63	n/a	10-Jan	2,000.00	4.0
COUGAR TRADING	044	KSS	S	5,000	43.31	n/a	6-Jun	250.00	5.0
KORMAN	003	KVA	S	13,000	20.22	n/a	n/a	0.00	0.0
KORMAN	003	LDK	S	6,000	37.21	n/a	n/a	0.00	0.0
FORD FDN.	032	LEH	S	100,000	23.84	9-Jun	9-Jun	5,000.00	5.0
NATIONAL BK OF CAN	031	LEH	S	40,000	22.18	9-Jun	9-Jun	1,600.00	4.0
LUCHANSKY3	036	LEH	S	1,000	22.94	9-Jun	9-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	15.54	n/a	14-May	400.00	4.0
DAVIDSON KEMPNER ADVISOR	040	LPX	B	43,900	9.64	7-Apr	7-May	2,195.00	5.0
KACELA CAPITAL	034	MA	B	5,000	287.05	n/a	n/a	200.00	4.0
CAXTON PART	040	MER	C	20,000	37.25	18-Jan	22-May	700.00	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA PARTNERS	028	MER	SS	27,437	35.92	18-Jan	22-May	1,097.48	4.0
ROSEDALE CAPITAL	046	MER	B	10,000	36.30	18-Jan	22-May	500.00	5.0
NOMURA CAPITAL	045	MGLN	S	40,202	38.92	n/a	n/a	2,010.10	5.0
KACELA CAPITAL	034	MHK	B	10,000	70.31	n/a	n/a	400.00	4.0
CENTURION GLOBAL MGMT LL	041	MU	S	25,100	7.31	n/a	n/a	753.00	3.0
J L F ASSET MGMT	039	NKE	B	12,500	67.98	n/a	n/a	500.00	4.0
CAXTON PART	040	NOK	C	200,000	26.17	n/a	n/a	7,000.00	3.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>OXM</b>	<b>SS</b>	<b>13,300</b>	<b>22.92</b>	<b>n/a</b>	<b>n/a</b>	<b>532.00</b>	<b>4.0</b>
<b>BARR</b>	<b>096</b>	<b>PAAS</b>	<b>B</b>	<b>600</b>	<b>29.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JMP ASSET MGMT	210	PDCO	SS	2,000	33.00	n/a	n/a	100.00	5.0
BEAR STEARNS ASSET MGMT.	033	PETM	B	75,000	22.63	n/a	n/a	3,000.00	4.0
CAXTON PART	040	PFG	S	15,000	49.98	n/a	n/a	525.01	3.5
PILOT ROCK	028	PH	SS	50,000	76.97	n/a	23-May	2,000.00	4.0
BENCHMARK	028	RL	SS	2,500	64.29	7-Feb	29-May	125.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>35,000</b>	<b>64.80</b>	<b>7-Feb</b>	<b>29-May</b>	<b>1,400.00</b>	<b>4.0</b>
COUGAR TRADING	044	RL	B	2,600	65.75	7-Feb	29-May	130.00	5.0
COUGAR TRADING	044	RL	SS	5,000	65.88	7-Feb	29-May	250.00	5.0
COUGAR TRADING	044	SDS	SS	10,000	61.91	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JMP ASSET MGMT	210	SFLY	SS	1,000	14.08	n/a	n/a	50.00	5.0
ENTRUST CAPITAL	040	SHW	B	50,100	49.73	n/a	3-Jun	2,505.00	5.0
CASTLEROCK	040	SIRI	S	1,000,000	2.42	n/a	n/a	20,000.00	2.0
COUGAR TRADING	044	SKS	SS	30,000	12.21	n/a	n/a	1,500.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>SKX</b>	<b>SS</b>	<b>500</b>	<b>20.45</b>	<b>n/a</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>SORL</b>	<b>S</b>	<b>13,301</b>	<b>5.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUFFOLK CAPITAL MGMT	040	SPLS	B	332,000	24.94	n/a	27-Jul	13,280.00	4.0
SENECA CAPITAL LP NY	028	SPY	S	42,000	134.71	n/a	n/a	2,100.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>SRO</b>	<b>S</b>	<b>5,000</b>	<b>12.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SATELLITE ASSET MGMT	012	SWY	SS	11,500	31.15	n/a	n/a	460.00	4.0
TRELLUS PARTNERS LP	028	TEN	SS	10,000	20.47	n/a	n/a	500.00	5.0
IRONBOUND CAPITAL	012	TIN	B	4,400	12.19	29-Apr	29-Apr	220.00	5.0
WAGONHOUND	048	TJX	S	7,101	32.31	n/a	6-Jun	284.04	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>B</b>	<b>250,000</b>	<b>10.63</b>	<b>17-Apr</b>	<b>11-Jun</b>	<b>10,000.00</b>	<b>4.0</b>
J L F ASSET MGMT	039	TSCO	B	26,500	33.63	n/a	n/a	1,060.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	TUP	B	4,600	36.96	n/a	n/a	172.42	3.8
COUGAR TRADING	044	TWB	S	25,000	17.94	17-Mar	22-May	1,250.00	5.0
BUCK CAPITAL MGMT	001	UA	B	10,000	32.91	n/a	n/a	400.00	4.0
BANK OF NEW YORK	046	VTR	S	10,000	45.46	n/a	n/a	400.00	4.0
JMP ASSET MGMT	210	WDFC	SS	600	34.10	n/a	n/a	30.00	5.0
NOMURA CAPITAL	045	WINN	S	20,270	16.24	n/a	n/a	1,013.50	5.0
BUCK CAPITAL MGMT	001	WRC	B	10,000	46.56	13-May	13-May	400.00	4.0
BUCK CAPITAL MGMT	001	WSM	SS	30,000	21.40	n/a	n/a	1,200.00	4.0
FRONTPOINT CHRIS C	041	YHOO	B	5,200	23.65	n/a	n/a	260.00	5.0
ZOLIDIS	011	ZUMZ	C	1,000	20.70	n/a	n/a	0.00	0.0
<b>TOTAL (Trade Date) :</b>							<b>166,018.41</b>		

### Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/11	THOMAS WEISEL ASSET MGMT (TWAMBSSG02)	035	ATHR	S	1,854	31.32	n/a	n/a	55.62	3.0
AO,	06/11	THOMAS WEISEL ASSET MGMT (TWAMBSSG02)	035	ATHR	S	1,854	31.32	n/a	n/a	55.62	3.0
CRT	06/12	S.A.C. CAPITAL MGMT. (SACCNEW)	044	AXP	SS	50,000	43.66	n/a	n/a	2500.00	5.0
AO,CXL	06/05	WYPER CAPITAL (WYPECU)	044	DFS	B	909	16.74	n/a	n/a	0.00	0.0
AO,CRT	06/05	WYPER CAPITAL (WYPECU)	044	DFS	B	909	16.74	n/a	n/a	0.00	0.0
AO,CXL	06/05	WYPER CAPITAL (WYPETDF)	044	DFS	B	807	16.74	n/a	n/a	0.00	0.0
AO,CRT	06/05	WYPER CAPITAL (WYPETDF)	044	DFS	B	807	16.74	n/a	n/a	0.00	0.0
AO,CXL	06/05	WYPER CAPITAL (WYPEMDLTP)	044	DFS	B	1,959	16.74	n/a	n/a	0.00	0.0
AO,CRT	06/05	WYPER CAPITAL (WYPEMDLTP)	044	DFS	B	1,959	16.74	n/a	n/a	0.00	0.0
AO,CXL	06/05	WYPER CAPITAL (WYPEMDERP)	044	DFS	B	330	16.74	n/a	n/a	0.00	0.0
AO,CRT	06/05	WYPER CAPITAL (WYPEMDERP)	044	DFS	B	330	16.74	n/a	n/a	0.00	0.0
AO,CRT	06/11	EMP ERROR (EMP ERROR)	015	GPI	C	1,000	22.92	10-Jun	10-Jun	0.00	0.0
<b>AO,CXL</b>	<b>06/11</b>	<b>BUCK CAPITAL MGMT (BUCK)</b>	<b>015</b>	<b>GPI</b>	<b>C</b>	<b>1,000</b>	<b>22.92</b>	<b>10-Jun</b>	<b>10-Jun</b>	<b>0.00</b>	<b>0.0</b>
AO,	06/11	STONERIDGE INV PART (STOR007)	028	GS	B	400	163.78	19-Mar	6-Jun	20.00	5.0
AO,	06/11	STONERIDGE INV PART (STOR067)	028	GS	B	300	163.78	19-Mar	6-Jun	15.00	5.0
AO,	06/11	STONERIDGE INV PART (STOR074)	028	GS	B	100	163.78	19-Mar	6-Jun	5.00	5.0
AO,	06/11	STONERIDGE INV PART (STOR005)	028	GS	B	700	163.78	19-Mar	6-Jun	35.00	5.0
AO,	06/11	STONERIDGE INV PART (STOR071)	028	GS	B	400	163.78	19-Mar	6-Jun	20.00	5.0
AO,	06/11	STONERIDGE INV PART (STOR054)	028	GS	B	500	163.78	19-Mar	6-Jun	25.00	5.0
AO,	06/11	STONERIDGE INV PART (STOR065)	028	GS	B	300	163.78	19-Mar	6-Jun	15.00	5.0
AO,	06/11	STONERIDGE INV PART (STOR087)	028	GS	B	50	163.78	19-Mar	6-Jun	2.50	5.0
AO,	06/11	STONERIDGE INV PART (STOR011)	028	GS	B	800	163.78	19-Mar	6-Jun	40.00	5.0
AO,	06/11	STONERIDGE INV PART (STOR076)	028	GS	B	50	163.78	19-Mar	6-Jun	2.50	5.0
AO,	06/11	STONERIDGE INV PART (STOR040)	028	GS	B	600	163.78	19-Mar	6-Jun	30.00	5.0
AO,	06/11	STONERIDGE INV PART (STOR089)	028	GS	B	25	163.78	19-Mar	6-Jun	1.25	5.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/11	STONERIDGE INV PART (STOR006)	028	GS	B	400	163.78	19-Mar	6-Jun	20.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR014)	028	GS	B	300	163.78	19-Mar	6-Jun	15.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR049)	028	GS	B	100	163.78	19-Mar	6-Jun	5.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR078)	028	GS	B	20	163.78	19-Mar	6-Jun	1.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR079)	028	GS	B	50	163.78	19-Mar	6-Jun	2.50 5.0
AO,CXL	06/11	NOMURA CAPITAL (NOMUNOCBO2)	045	ICOG	S	13,716	3.93	n/a	n/a	0.00 0.0
AO,	06/11	NOMURA CAPITAL (NOMUNOCBO2)	045	ICOG	S	13,716	3.93	n/a	n/a	411.48 3.0
AO,CXL	06/11	ZANDER CAPITAL MGMT (ZANDMAINMS)	035	IWM	SS	6,000	72.37	n/a	n/a	240.00 4.0
AO,CRT	06/11	ZANDER CAPITAL MGMT (ZANDMAINMS)	035	IWM	SS	6,000	72.37	n/a	n/a	180.00 3.0
CRT	06/12	COUGAR TRADING (COUGPB MS)	044	JWN	B	15,000	33.32	16-May	6-Jun	750.00 5.0
AO,CXL	06/11	NOMURA CAPITAL (NOMUNOCBO2)	045	MGLN	S	30,000	38.45	n/a	n/a	0.00 0.0
AO,	06/11	NOMURA CAPITAL (NOMUNOCBO2)	045	MGLN	S	30,000	38.45	n/a	n/a	1500.00 5.0
AO,CXL	06/04	PATTEN & PATTEN (PATTSCHWAB)	067	MHP	S	1,000	41.61	n/a	n/a	50.00 5.0
AO,CRT	06/04	PATTEN & PATTEN (PATTSCHWAB)	067	MHP	S	600	41.61	n/a	n/a	30.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR005)	028	MS	B	4,200	37.22	n/a	6-Jun	210.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR071)	028	MS	B	2,350	37.22	n/a	6-Jun	117.50 5.0
AO,	06/11	STONERIDGE INV PART (STOR054)	028	MS	B	3,100	37.22	n/a	6-Jun	155.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR065)	028	MS	B	1,700	37.22	n/a	6-Jun	85.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR087)	028	MS	B	300	37.22	n/a	6-Jun	15.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR011)	028	MS	B	4,100	37.22	n/a	6-Jun	205.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR076)	028	MS	B	450	37.22	n/a	6-Jun	22.50 5.0
AO,	06/11	STONERIDGE INV PART (STOR040)	028	MS	B	4,000	37.22	n/a	6-Jun	200.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR089)	028	MS	B	125	37.22	n/a	6-Jun	6.25 5.0
AO,	06/11	STONERIDGE INV PART (STOR006)	028	MS	B	2,200	37.22	n/a	6-Jun	110.00 5.0
AO,	06/11	STONERIDGE INV PART (STOR014)	028	MS	B	1,700	37.22	n/a	6-Jun	85.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/11	STONERIDGE INV PART (STOR049)	028	MS	B	1,000	37.22	n/a	6-Jun	50.00 5.0
AO,CXL	06/11	IRONBOUND CAPITAL (IROBGS)	012	TIN	B	195,600	12.18	29-Apr	29-Apr	9780.00 5.0
AO,CRT	06/11	IRONBOUND CAPITAL (IROBGS)	012	TIN	B	195,600	12.18	29-Apr	29-Apr	5868.00 3.0
AO,	06/09	FEDERATED INVESTOR (FEDEIFKAUF)	029	WBC	S	309	53.16	30-Apr	11-Jun	17.00 5.5
AO,	06/09	FEDERATED INVESTOR (FEDEFKAUF)	029	WBC	S	28,091	53.16	30-Apr	11-Jun	1545.01 5.5
AO,CXL	06/09	FIRST INV MGMT CORP (FEDEIFKAUF)	029	WBC	S	309	53.16	30-Apr	11-Jun	15.45 5.0
AO,CXL	06/09	FIRST INV MGMT CORP (FEDEFKAUF)	029	WBC	S	28,091	53.16	30-Apr	11-Jun	1404.55 5.0
AO,CXL	06/11	NOMURA CAPITAL (NOMUNOCBO2)	045	WINN	S	20,000	16.16	n/a	n/a	0.00 0.0
AO,	06/11	NOMURA CAPITAL (NOMUNOCBO2)	045	WINN	S	20,000	16.16	n/a	n/a	1000.00 5.0
AO,CXL	06/11	NEWLAND CAPITAL MGT (NEWLGS)	067	YRCW	S	16,500	15.00	n/a	n/a	825.00 5.0
AO,CRT	06/11	NEWLAND CAPITAL MGT (NEWLGS)	067	YRCW	S	16,500	15.00	n/a	n/a	660.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>3,662.49</b>
TOTAL (Trade Date):										166,018.41
TOTAL (AO, CXL, CRT):										3,662.49
<b>TOTAL (RUN DATE) / NFS:</b>										<b>169,680.90</b>

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION