



# Daily Compliance Activity Report

Trade Date: 05/27/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AA	S	400	11.74	n/a	n/a	20.00	5.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>ACUZ</b>	<b>B</b>	<b>5000</b>	<b>1.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	AEE	S	200	24.28	n/a	n/a	10.00	5.0
METROPOLITAN CAPITAL	044	AEO	B	31,300	12.93	n/a	26-May	1,565.00	5.0
BIXBY LLC	070	AER	S	1,000	11.79	n/a	n/a	40.00	4.0
MILLENNIUM-ANDEW	031	AGCO	S	30,000	29.11	n/a	30-Apr	1,800.00	6.0
ROYCE TRADING	216	AMTD	B	500	18.18	n/a	n/a	25.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>ANN</b>	<b>B</b>	<b>400</b>	<b>21.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	APD	B	100	70.23	n/a	10-May	5.00	5.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>APOL</b>	<b>S</b>	<b>100</b>	<b>52.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ST OF MICHIAN	047	APOL	B	80,000	52.84	n/a	n/a	2,400.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>10,000</b>	<b>27.73</b>	<b>n/a</b>	<b>21-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>AVY</b>	<b>B</b>	<b>3000</b>	<b>34.34</b>	<b>n/a</b>	<b>26-May</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>AXP</b>	<b>S</b>	<b>200</b>	<b>39.75</b>	<b>n/a</b>	<b>26-May</b>	<b>0.00</b>	<b>0.0</b>
MAIRS AND POWER INV	030	BAX	B	20,000	41.50	n/a	n/a	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	BA	B	2,800	64.45	n/a	21-May	84.00	3.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BBW</b>	<b>S</b>	<b>1500</b>	<b>8.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>B</b>	<b>20,000</b>	<b>41.31</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	BBY	B	5,000	41.02	n/a	n/a	250.00	5.0
PATTEN & PATTEN	067	BDX	S	500	71.81	n/a	n/a	25.00	5.0
EXIS CAPITAL	044	BONT	B	10,000	13.00	n/a	n/a	500.00	5.0
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>BP</b>	<b>B</b>	<b>150</b>	<b>44.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BP</b>	<b>B</b>	<b>200</b>	<b>44.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	BP	B	1,000	44.99	n/a	n/a	50.00	5.0
STATE TEACHERS RET SYS	047	BRCD	S	185,600	5.49	n/a	n/a	9,280.00	5.0
MADISON ST PARTNERS LLC	046	BRCM	B	25,000	33.94	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BWS</b>	<b>S</b>	<b>50,000</b>	<b>16.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COOPER CREEK PART	028	BZ	B	17,626	5.80	n/a	n/a	528.78	3.0
MILLENNIUM-ANDEW	031	CAT	B	12,000	61.89	n/a	12-May	720.00	6.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>CHS</b>	<b>B</b>	<b>750</b>	<b>12.35</b>	<b>n/a</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
ROSEDALE CAPITAL	046	COG	S	10,000	34.31	n/a	29-Apr	500.00	5.0
ISLE RIDGE PARTNERS	041	COH	SS	10,000	41.01	n/a	14-May	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC CHICAGO ANDREW	031	COST	SS	85,000	58.54	n/a	n/a	2,975.00	3.5
LEVEL GLOBAL SHARED ACCT	257	CRI	S	50,000	30.82	n/a	n/a	1,500.00	3.0
MELLON/BANK OF NEW YORK	046	CSCO	S	149,975	23.50	n/a	n/a	7,498.75	5.0
HUNTER GLOBAL INVESTOR	067	CSX	S	241,900	52.29	n/a	n/a	12,095.00	5.0
STANDARD PACIFIC CAPITAL	040	CX	B	100,000	11.08	n/a	n/a	5,000.00	5.0
<b>f BRANDON HELLER</b>	<b>FID</b>	<b>C</b>	<b>B</b>	<b>72</b>	<b>4.00</b>	<b>n/a</b>	<b>21-May</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>DB</b>	<b>B</b>	<b>600</b>	<b>61.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>DD</b>	<b>B</b>	<b>1500</b>	<b>36.58</b>	<b>n/a</b>	<b>22-May</b>	<b>0.00</b>	<b>0.0</b>
HARBORWATCH/DIAMBACK	232	DD	S	35,000	36.70	n/a	22-May	1,750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>DIA</b>	<b>C</b>	<b>98,300</b>	<b>101.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
SCOUT/UMB	047	DLTR	B	13,000	62.51	n/a	20-May	650.00	5.0
COLUMBUS CIRCLE	036	DNR	S	70,025	16.05	n/a	n/a	2,801.00	4.0
TRAFELET & COMP.	028	DSX	C	170,000	13.93	n/a	n/a	8,500.00	5.0
PATTEN & PATTEN	067	ETN	B	750	70.11	27-May	27-May	37.50	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>EV</b>	<b>B</b>	<b>900</b>	<b>30.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	EWG	B	2,000	19.28	n/a	n/a	100.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>FAZ</b>	<b>B</b>	<b>2500</b>	<b>14.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>FAZ</b>	<b>S</b>	<b>2500</b>	<b>14.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	FII	S	15,000	22.64	n/a	2-Mar	750.00	5.0
CONIFER/ISF	025	FL	B	300	14.56	n/a	21-May	15.00	5.0
PATTEN & PATTEN	067	FPL	B	100	49.55	n/a	n/a	5.00	5.0
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>FPRS</b>	<b>B</b>	<b>300</b>	<b>45.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>FPRS</b>	<b>B</b>	<b>250</b>	<b>45.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
OZ MGMT	040	F	SS	171,092	11.83	n/a	4-May	5,132.76	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>50,000</b>	<b>37.20</b>	<b>n/a</b>	<b>14-May</b>	<b>2,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>B</b>	<b>57,500</b>	<b>35.11</b>	<b>n/a</b>	<b>14-May</b>	<b>non-brg</b>	<b>0.8</b>
ARCHON CAPITAL	035	GMCR	S	69,500	24.17	n/a	n/a	2,085.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>B</b>	<b>60,000</b>	<b>21.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
NEUBERGER BERMAN	036	GTLS	S	25,000	18.36	n/a	n/a	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>B</b>	<b>10,000</b>	<b>44.74</b>	<b>n/a</b>	<b>20-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>HON</b>	<b>S</b>	<b>2000</b>	<b>43.12</b>	<b>n/a</b>	<b>31-Mar</b>	<b>0.00</b>	<b>0.0</b>
HUNTER GLOBAL INVESTOR	067	HON	S	405,000	43.27	n/a	31-Mar	20,250.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>HSC</b>	<b>S</b>	<b>2000</b>	<b>27.45</b>	<b>n/a</b>	<b>27-May</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STATE TEACHERS RET SYS	047	HSIC	B	15,000	56.39	n/a	n/a	750.00	5.0
ELM RIDGE ADVISORS	044	IP	S	37,500	23.03	n/a	29-Apr	1,500.00	4.0
PATTEN & PATTEN	067	ITT	S	1,000	48.65	n/a	30-Apr	50.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IWM</b>	<b>SS</b>	<b>151,700</b>	<b>65.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
WHITE PINE CAPITAL	030	IWM	C	3,000	66.05	n/a	n/a	150.00	5.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>40,000</b>	<b>43.35</b>	<b>n/a</b>	<b>n/a</b>	<b>1,600.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>B</b>	<b>10,000</b>	<b>43.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
EXIS CAPITAL	044	JNY	B	10,000	20.00	n/a	14-May	500.00	5.0
MILLENNIUM-ANDEW	031	JOYG	S	17,600	52.09	n/a	18-May	1,056.00	6.0
PATTEN & PATTEN	067	JWN	S	3,500	39.65	n/a	14-May	175.00	5.0
PILOT ADV	242	KR	S	77,000	19.90	n/a	n/a	3,080.00	4.0
CASTLEROCK	040	KSS	B	111,503	50.09	n/a	14-May	4,460.12	4.0
COLONIAL FUND LLC	036	KSS	B	25,000	50.01	n/a	14-May	1,250.00	5.0
EXIS CAPITAL	044	KSS	B	5,000	50.27	n/a	14-May	250.00	5.0
PATTEN & PATTEN	067	LAZ	B	500	32.53	n/a	25-May	25.00	5.0
BASCOM HILL PARTNERS LLC	033	LOW	S	25,000	24.79	n/a	17-May	750.00	3.0
ISLE RIDGE PARTNERS	041	LVS	B	15,000	23.29	n/a	13-May	600.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>MDY</b>	<b>SS</b>	<b>86,100</b>	<b>139.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>MON</b>	<b>B</b>	<b>41,100</b>	<b>48.62</b>	<b>n/a</b>	<b>13-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> JOHN BARTMAN COLE	<b>FID</b>	<b>MON</b>	<b>S</b>	<b>10</b>	<b>8.75</b>	<b>n/a</b>	<b>13-May</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> CHRISTOPHER ROBERT C	<b>FID</b>	<b>MPEL</b>	<b>B</b>	<b>500</b>	<b>3.97</b>	<b>n/a</b>	<b>28-Apr</b>	<b>0.00</b>	<b>0.0</b>
WEINTRAUB CAPITAL MGT.	040	NDN	B	50,000	15.41	n/a	27-May	2,000.00	4.0
WEISS PECK	040	NTY	B	100,220	34.54	n/a	n/a	5,011.00	5.0
ROYCE TRADING	216	PAL	S	500	3.49	n/a	n/a	15.00	3.0
COLUMBUS CIRCLE	036	PAY	B	56,557	18.91	n/a	n/a	2,262.28	4.0
POINT BLANK CAPITAL	028	PCL	B	4,000	34.89	n/a	27-Apr	200.00	5.0
<b>f</b> DAVID GEORGE BUCK	<b>FID</b>	<b>PEP</b>	<b>B</b>	<b>1000</b>	<b>62.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SYSTEMATIC FINANCIAL MGT	035	PKE	B	9,100	25.47	n/a	n/a	273.00	3.0
LEVEL GLOBAL SHARED ACCT	257	PVH	S	100,000	54.85	n/a	26-May	3,000.00	3.0
PATTEN & PATTEN	067	PX	B	500	78.32	n/a	10-May	25.00	5.0
WHITE PINE CAPITAL	030	QQQQ	C	2,000	45.40	n/a	n/a	100.00	5.0
STATE TEACHERS RET SYS	047	RIG	S	75,000	60.01	n/a	n/a	3,750.00	5.0
STATE TEACHERS RET SYS	047	RL	B	20,000	87.88	n/a	20-May	1,000.00	5.0

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STANDARD PACIFIC CAPITAL	040	ROST	SS	35,000	52.82	n/a	n/a	1,750.00	5.0
DSM CAPITAL PARTNERS	032	RVBD	B	16,600	28.60	n/a	n/a	830.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>SBUX</b>	<b>B</b>	<b>350</b>	<b>25.69</b>	<b>n/a</b>	<b>17-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SIG</b>	<b>B</b>	<b>50,000</b>	<b>31.05</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
STATE TEACHERS RET SYS	047	SIRO	B	9,500	35.88	n/a	n/a	475.00	5.0
ISLE RIDGE PARTNERS	041	SLE	SS	35,000	14.14	n/a	n/a	1,400.00	4.0
PATTEN & PATTEN	067	SNY	B	500	30.15	n/a	n/a	25.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SPG</b>	<b>B</b>	<b>500</b>	<b>84.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL DEMARTI</b>	<b>FID</b>	<b>SPWRA</b>	<b>B</b>	<b>1000</b>	<b>12.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>SPWRA</b>	<b>B</b>	<b>5000</b>	<b>12.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>SPWRA</b>	<b>B</b>	<b>700</b>	<b>12.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL THOMAS NOLAN</b>	<b>FID</b>	<b>SPWRA</b>	<b>B</b>	<b>800</b>	<b>12.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SPY</b>	<b>C</b>	<b>112,600</b>	<b>110.43</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
WHITE PINE CAPITAL	030	SPY	C	2,500	109.62	n/a	n/a	125.00	5.0
CATAPULT	040	TCB	B	5,000	16.31	n/a	n/a	250.00	5.0
PATTEN & PATTEN	067	TEVA	B	1,000	55.68	n/a	27-May	50.00	5.0
SCHOTTENFELD ASSOC	041	TEVA	B	20,000	55.00	n/a	27-May	600.00	3.0
WEISS PECK	040	THS	C	7,300	45.81	n/a	n/a	365.00	5.0

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SCOPUS FUND	041	TIN	B	75,000	21.09	n/a	21-Apr	3,750.00	5.0
BIXBY LLC	070	TJX	B	1,250	45.98	n/a	19-May	50.00	4.0
SANDLER CAPITAL	226	TJX	B	124,700	45.56	n/a	19-May	7,482.00	6.0
BIXBY LLC	070	TLB	S	2,100	15.10	n/a	n/a	84.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>TLB</b>	<b>B</b>	<b>100</b>	<b>15.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN BARTMAN COLE</b>	<b>FID</b>	<b>TMBCF</b>	<b>S</b>	<b>5000</b>	<b>1.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	TM	B	5,000	73.50	n/a	n/a	250.00	5.0
LEVEL GLOBAL SHARED ACCT	257	TPX	B	100,000	32.96	n/a	n/a	3,000.00	3.0
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>T</b>	<b>S</b>	<b>200</b>	<b>24.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f THOMAS MACTAGGART LE</b>	<b>FID</b>	<b>T</b>	<b>S</b>	<b>150</b>	<b>24.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
POINT BLANK CAPITAL	028	UFS	B	3,000	61.12	n/a	n/a	150.00	5.0
EXIS CAPITAL	044	URBN	SS	10,000	36.16	n/a	n/a	500.00	5.0
WADDELL AND REED	047	VALE	B	291,900	27.30	n/a	n/a	8,757.00	3.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>VSTNQ</b>	<b>S</b>	<b>5300</b>	<b>1.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HUNTER GLOBAL INVESTOR	067	WFC	S	50,000	29.16	n/a	21-May	2,500.00	5.0
<b>f MARK DEVEREAUX KAICH</b>	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>900</b>	<b>7.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
POINT BLANK CAPITAL	028	WPP	B	6,000	8.68	n/a	n/a	300.00	5.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STATE TEACHERS RET SYS	047	WRI	S	10,000	20.77	n/a	n/a	500.00	5.0
GREEN ARROW DOUG	040	WSM	SS	15,000	30.00	n/a	n/a	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WSO</b>	<b>S</b>	<b>10,000</b>	<b>59.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
COHE & STEERS	041	WY	S	346,319	42.92	n/a	27-May	13,852.76	4.0
DIAMONDBACK CAP MGMT	232	XLE	C	10,000	53.34	n/a	n/a	300.00	3.0
PATTEN & PATTEN	067	XOM	S	569	61.06	n/a	n/a	28.45	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>120,000</b>	<b>40.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>240,000</b>	<b>40.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
PORTER-ORLIN	044	XRX	B	100,000	9.35	n/a	n/a	5,000.00	5.0
<b>TOTAL (Trade Date):</b>								<b>181,554.40</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/26	SCHOTTENFELD ASSOC	041	APC	B	25,000	53.87	n/a	4-May	750.00 3.0
AO,CRT	05/26	SCHOTTENFELD ASSOC	041	APC	B	25,000	53.87	n/a	4-May	750.00 3.0
AO,CRT	05/26	FRONTIER	048	HSP	B	500	51.38	n/a	n/a	20.00 4.0
AO,CXL	05/26	FRONTIER	048	HSP	B	500	51.38	n/a	n/a	20.00 4.0
AO,	05/26	MAMM	034	SHW	S	270	76.02	n/a	24-May	8.10 3.0
AO,	05/26	MANNING & NAPIER	000	SHW	S	140	76.02	n/a	24-May	4.20 3.0
AO,	05/26	MANNING & NAPIER	034	SHW	S	370,730	76.02	n/a	24-May	11121.90 3.0
AO,	05/26	M&I FUNDS	047	UA	S	10,000	33.95	n/a	28-Apr	400.00 4.0

**TOTAL (AO, CXL, CRT) :****11,534.20**

TOTAL (Trade Date) :

181,554.40

TOTAL (AO, CXL, CRT) :

11,534.20

**TOTAL (RUN DATE) / NFS:****193,088.60****MRI Securities with Trade Activity on 27-May.****ETN**

MRI Securities without Trade Activity on 27-May.

WBC, TYC, SPR, SIAL, JCI, IR, GR, AXE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 12%

YTD = 8%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)