



Daily Compliance Activity Report

Trade Date: 05/17/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL 10 C630 C		50	17.40	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		AAPL	S	3,000	536.86	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ABG	S	30,000	25.42	n/a	27-Apr	non-brg	4.0
NORTHWESTERN MUTUAL LIFE	047	ADM	B	32,000	32.25	n/a	1-May	1,280.00	4.0
BUCK CAPITAL MGMT		AEO	B	20,000	18.74	n/a	2-Dec	non-brg	4.0
MOORE CAPITAL	041	AIG	S	325,000	29.36	n/a	n/a	9,750.00	3.0
THIRD COAST CAPITAL	035	ANN	S	10,000	26.58	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT		ASNA	S	20,000	19.57	n/a	n/a	non-brg	4.0
DC CAPITAL	044	ATI	B	50,000	34.70	n/a	11-May	2,000.00	4.0
MILLRACE FUND LP	028	AVAV	B	9,334	22.81	n/a	n/a	373.36	4.0
PERRY PARTNERS	085	BAC	B	100,000	7.11	n/a	16-May	3,000.00	3.0
MARC PAUL LUCHANSKY	FID	BBY	B	1000	18.65	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	040	BC	S	302,593	21.34	n/a	n/a	12,103.72	4.0
BUCK CAPITAL MGMT		BYD	S	25,000	7.43	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ROHINI T SADARANGANI	FID	CHS	S	100	15.09	n/a	5-Feb	0.00	0.0
f JOSEPH CIRO AMATURO	FID	CLF	B	500	50.24	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		COST	S	70,000	84.54	n/a	n/a	non-brg	4.0
GRANAHAN INV	029	CRR	S	48,830	80.71	n/a	9-May	1,953.20	4.0
f EDWARD W WHEELER	FID	CSCO	B	200	0.03	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	CVC	S	70,000	11.26	n/a	n/a	2,800.00	4.0
NEUBERGER BERMAN	036	CYBX	B	1,600	39.99	n/a	n/a	64.00	4.0
1798 CAP/LOMBARD ASSET	040	DAL	S	300,000	10.56	n/a	n/a	15,000.00	5.0
f FRANCIS MICHAEL MCCA	FID	DAN	B	1000	12.45	n/a	26-Apr	0.00	0.0
f MANNY I KORMAN	FID	DD	S	9000	49.54	n/a	1-May	0.00	0.0
f KAREN F LEDDY	FID	DECK	S	400	52.46	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	040	DE	S	150,000	72.37	n/a	17-May	7,500.00	5.0
B BUCK CAPITAL MGMT		DG	S	72,000	46.07	n/a	23-Mar	non-brg	4.0
RBC CAPITAL MARKETS	040	DG	B	15,000	46.39	n/a	23-Mar	600.00	4.0
KAM ADVISORS LLC	041	EMN	S	87,100	44.82	n/a	n/a	2,613.00	3.0
SCOPUS FUND	041	EMN	S	6,367	44.99	n/a	n/a	254.68	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f WILLIAM J GAULT	FID	ESSX	S	5000	3.24	n/a	n/a	0.00	0.0
SENATOR INVESTMENT GROUP	036	ETFC	B	110,000	8.79	n/a	n/a	3,300.00	3.0
LEVIN CAPITAL STRATEGIES	041	EXC	S	81,750	38.31	n/a	n/a	2,452.50	3.0
f JOHN BARTMAN COLE	FID	FBC	S	10000	0.79	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	FCH	S	4500	4.45	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FDO	S	20,000	65.34	n/a	7-May	non-brg	4.0
B BUCK CAPITAL MGMT		FDO	S	20,000	66.14	n/a	7-May	non-brg	4.0
f ANDREW LONGLEY TRUS	FID	FLPSX	B	67	37.19	n/a	n/a	0.00	0.0
f CLIFFORD LONGLEY TR	FID	FLPSX	B	67	37.19	n/a	n/a	0.00	0.0
f JONATHAN LONGLEY TR	FID	FLPSX	B	67	37.19	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FL	S	100,000	28.62	n/a	8-May	non-brg	4.0
f KAREN F LEDDY	FID	FOR	S	1000	14.26	n/a	11-May	0.00	0.0
HALCYON LLC	041	GDX	B	35,000	41.62	n/a	n/a	700.00	2.0
CAMBIAR CAP	046	GES	S	43,370	25.28	n/a	9-May	1,734.80	4.0
IRIDIAN ASSET MGMT.	036	GLW	S	113,000	12.87	n/a	1-May	5,650.00	5.0
B BUCK CAPITAL MGMT		GNC	S	20,000	36.45	n/a	n/a	non-brg	4.0
DSM CAPITAL PARTNERS	085	GOOG	S	602	632.56	n/a	n/a	30.10	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		GPI	S	20,000	50.69	n/a	27-Apr	non-brg	4.0
SHEFFIELD ASSET MGMT	031	GPS	B	72,300	26.43	n/a	n/a	2,892.00	4.0
B BUCK CAPITAL MGMT		HLF	B	50,000	51.79	n/a	n/a	non-brg	4.0
DAVIDSON INV ADV	035	HLF	B	43,930	46.45	n/a	n/a	1,757.20	4.0
B BUCK CAPITAL MGMT		HOT	S	70,000	52.25	n/a	13-Jun	non-brg	4.0
LATEFF MGMT	035	HPQ	B	675	22.16	n/a	n/a	74.25	11.5
LATEFF MGMT	035	INTC	B	200	26.33	n/a	n/a	30.00	15.0
B BUCK CAPITAL MGMT		IP	B	17,500	29.17	n/a	27-Apr	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	IP	B	35,000	29.77	n/a	27-Apr	1,750.00	5.0
SCOPUS FUND	041	IP	S	35,700	29.32	n/a	27-Apr	1,428.00	4.0
DSM CAPITAL PARTNERS	085	ISRG	S	755	524.52	n/a	n/a	37.75	5.0
HALCYON LLC	041	IWM	B	50,000	76.11	n/a	n/a	1,000.00	2.0
Ö Yanai Frank		JBHT	S	5	1.52	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	JCI	S	10000	31.09	n/a	27-Apr	0.00	0.0
HALCYON LLC	041	JPM	SS	20,000	34.40	n/a	16-May	600.00	3.0
B BUCK CAPITAL MGMT		KORS	S	106,800	38.46	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		KORS	S	13,200	38.67	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		KORS	S	70,000	38.88	n/a	n/a	non-brg 4.0
	TUDOR INVESTORS	028	KSU	B	2,000	65.61	n/a	n/a	60.00 3.0
ß	BUCK CAPITAL MGMT		LAD	S	20,000	23.10	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		LL	SS	9,095	28.18	n/a	n/a	non-brg 1.0
	DSM CAPITAL PARTNERS	085	LVS	S	9,600	48.19	n/a	13-Jun	480.00 5.0
ß	BUCK CAPITAL MGMT		MFRM	S	5,000	35.89	n/a	n/a	non-brg 2.5
	TUDOR INVESTORS	028	MLM	B	5,000	68.32	n/a	n/a	150.00 3.0
	DSM CAPITAL PARTNERS	085	MON	S	6,950	70.60	n/a	1-May	347.50 5.0
	TALPION LLC	040	MRVL	B	13,500	13.35	n/a	n/a	540.00 4.0
ß	BUCK CAPITAL MGMT		MSMSBCM	C	1,592,762	104.93	n/a	n/a	non-brg 0.5
ß	BUCK CAPITAL MGMT		MSMSBCM	C	1,722,000	104.93	n/a	n/a	non-brg 0.5
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	1,592,762	104.93	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	1,722,000	104.93	n/a	n/a	non-brg 0.0
	COBALT	041	MTOR	S	202,249	5.21	n/a	n/a	6,067.47 3.0
	SIMMONS VENTURES	041	M	B	5,000	36.70	n/a	10-May	200.00 4.0
f	KAREN F LEDDY	FID	NBRXF	S	1000	11.10	n/a	n/a	0.00 0.0
	HOLLIS CAPITAL	033	NFLX	B	3,000	73.78	n/a	n/a	150.00 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		NKE	S	16,183	106.12	n/a	23-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		NKE	S	20,000	106.12	n/a	23-Mar	non-brg	4.0
FIRST NEW YORK LEDDY	035	NOK	S	20,000	2.82	n/a	n/a	800.00	4.0
PROSPECTOR PARTNERS LLC	036	NP	S	10,000	26.68	n/a	11-May	400.00	4.0
LATEFF MGMT	035	NYB	S	200	12.79	n/a	18-Apr	30.00	15.0
LATEFF MGMT	035	OI	B	500	19.91	n/a	n/a	70.00	14.0
IMPALA ASSET MMT	040	OXY	S	150,000	79.07	n/a	n/a	7,500.00	5.0
⌘ BUCK CAPITAL MGMT		PAG	S	40,000	24.73	n/a	26-Apr	non-brg	4.0
LATEFF MGMT	035	PBCT	B	1,000	11.82	n/a	19-Apr	100.00	10.0
IMPALA ASSET MMT	040	PCAR	S	150,400	38.03	n/a	25-Apr	6,016.00	4.0
CSFB GLOBAL PROPRIETARY	041	PCH	B	15,000	29.88	n/a	24-Apr	750.00	5.0
DSM CAPITAL PARTNERS	085	PCLN	S	945	654.97	n/a	n/a	47.25	5.0
TUDOR INVESTORS	028	PCP	SS	5,000	169.50	n/a	30-Apr	150.00	3.0
⌘ BUCK CAPITAL MGMT		PII	S	20,000	74.28	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PII	S	40,000	74.12	n/a	n/a	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	50,000	26.95	n/a	18-Apr	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PLCE	S	10,000	47.17	17-May	17-May	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	S	10,000	47.17	17-May	17-May	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	S	22,000	47.19	17-May	17-May	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	S	25,000	46.28	17-May	17-May	non-brg	0.8
ß BUCK CAPITAL MGMT		PLCE	S	25,000	46.28	17-May	17-May	non-brg	0.8
ß BUCK CAPITAL MGMT		PLCE	S	25,000	46.28	17-May	17-May	non-brg	0.8
ß BUCK CAPITAL MGMT		PLCE	S	25,000	46.75	17-May	17-May	non-brg	0.8
ß BUCK CAPITAL MGMT		PLCE	S	25,000	46.75	17-May	17-May	non-brg	0.8
ß BUCK CAPITAL MGMT		PLCE	S	25,000	46.75	17-May	17-May	non-brg	0.8
ß BUCK CAPITAL MGMT		PLCE	S	40,000	46.59	17-May	17-May	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	S	40,000	46.59	17-May	17-May	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	S	50,000	46.98	17-May	17-May	non-brg	0.8
ß BUCK CAPITAL MGMT		PLCE	S	50,000	46.98	17-May	17-May	non-brg	0.8
ß BUCK CAPITAL MGMT		PLCE	S	50,000	46.98	17-May	17-May	non-brg	0.8
COLUMBUS CIRCLE	036	PLXS	S	980	28.82	n/a	n/a	39.20	4.0
DSM CAPITAL PARTNERS	085	PM	S	6,850	84.64	n/a	n/a	342.50	5.0
ß BUCK CAPITAL MGMT		PNRA	S	40,000	147.33	n/a	26-Apr	non-brg	4.0
WEINTRAUB CAPITAL MGT.	269	PPG	SS	25,000	99.94	n/a	9-Apr	1,000.00	4.0
ß BUCK CAPITAL MGMT		PSMT	S	10,000	73.15	n/a	n/a	non-brg	4.0
TALPION LLC	040	PSSI	B	6,192	18.75	n/a	n/a	247.68	4.0
f ANDREW LONGLEY TRUS	FID	PTTDX	S	222	11.25	n/a	n/a	0.00	0.0
f CLIFFORD LONGLEY TR	FID	PTTDX	S	222	11.25	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JONATHAN LON GLEY TR	FID	PTTDX	S	222	11.25	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		PVH	B	30,000	73.64	n/a	9-May	non-brg	4.0
ß	BUCK CAPITAL MGMT		PVH	S	20,000	75.38	n/a	9-May	non-brg	4.0
	DSM CAPITAL PARTNERS	085	PX	S	3,350	107.93	n/a	3-May	167.50	5.0
	RIVERPARK CAPITAL	025	RATE	B	10,800	19.19	n/a	n/a	540.00	5.0
ß	BUCK CAPITAL MGMT		RKT	B	9,500	53.77	n/a	26-Apr	non-brg	4.0
	LATEFF MGMT	035	RKT	B	150	53.67	n/a	26-Apr	33.00	22.0
ß	BUCK CAPITAL MGMT		RL	C	10,000	147.52	n/a	9-May	non-brg	4.0
	RBC CAPITAL MARKETS	040	ROST	B	15,000	62.87	n/a	4-May	600.00	4.0
ß	BUCK CAPITAL MGMT		RUSHA	B	10,000	16.09	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SAH	S	30,000	14.87	n/a	n/a	non-brg	4.0
f	JONATHAN RICHARD HAR	FID	SBUX	B	125	52.18	n/a	27-Apr	0.00	0.0
f	MANNY I KORMAN	FID	SBUX	S	9500	52.03	n/a	27-Apr	0.00	0.0
f	PATRICK TERRICE DUFF	FID	SBUX	B	200	52.97	n/a	27-Apr	0.00	0.0
ß	BUCK CAPITAL MGMT		SHOO	S	10,000	38.82	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SIG	S	20,000	44.92	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SIG	S	70,000	44.61	n/a	n/a	non-brg	4.0
f	KAREN F LEDDY	FID	SIMO	S	1000	13.65	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	9.81	n/a	n/a	non-brg 3.0
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	9.91	n/a	n/a	non-brg 3.0
DSM CAPITAL PARTNERS	085	SLB	S	8,400	65.02	n/a	n/a	420.00 5.0
TUDOR INVESTORS	028	SPW	B	2,000	69.43	n/a	n/a	60.00 3.0
⌘ BUCK CAPITAL MGMT		SSI	B	20,000	16.33	n/a	n/a	non-brg 4.0
NEUBERGER BERMAN	036	TEA	S	29,500	17.06	n/a	n/a	1,180.00 4.0
IMPALA ASSET MMT	040	TEX	S	400,000	16.93	n/a	27-Apr	16,000.00 4.0
⌘ BUCK CAPITAL MGMT		TIF	C	20,000	61.95	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		TJX	S	30,000	41.01	n/a	16-May	non-brg 4.0
⌘ BUCK CAPITAL MGMT		TJX	S	30,000	41.78	n/a	16-May	non-brg 4.0
⌘ BUCK CAPITAL MGMT		TJX	S	34,000	41.43	n/a	16-May	non-brg 4.0
⌘ BUCK CAPITAL MGMT		TLYS	S	20,000	18.10	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		TLYS	S	20,000	18.31	n/a	n/a	non-brg 4.0
COLUMBUS CIRCLE	036	TNGO	B	1,692	20.40	n/a	n/a	67.68 4.0
⌘ BUCK CAPITAL MGMT		TPX	S	20,000	46.95	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		TPX	S	40,000	47.68	n/a	n/a	non-brg 4.0
SONTERRA CAP	041	TRN	B	40,000	24.71	n/a	n/a	1,600.00 4.0
HALCYON LLC	041	TSO	B	20,000	22.51	n/a	n/a	600.00 3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
1798 CAP/LOMBARD ASSET	040	UAL	S	102,300	22.26	n/a	n/a	5,115.00	5.0
B BUCK CAPITAL MGMT		ULTA	S	10,000	89.07	n/a	n/a	non-brg	4.0
TUDOR INVESTORS	028	UNP	B	2,000	108.03	n/a	n/a	60.00	3.0
CAPITAL RESEARCH GROUP		296 UPL	B	140,200	18.96		n/a 9-May	4,907.00	3.5
ARMATURE CAPITAL	041	URI	B	25,000	36.04	n/a	17-Apr	1,000.00	4.0
IMPALA ASSET MMT	040	URI	S	419,246	34.81	n/a	17-Apr	20,962.30	5.0
RBC CAPITAL MARKETS	040	VAC	B	5,000	31.23	n/a	n/a	200.00	4.0
DSM CAPITAL PARTNERS	085	V	S	7,900	117.28	n/a	2-May	395.00	5.0
IMPALA ASSET MMT	040	WHR	S	344,877	59.40	n/a	n/a	17,243.85	5.0
LEVIN CAPITAL STRATEGIES	041	WMB	B	104,310	30.02	n/a	n/a	3,129.30	3.0
1798 CAP/LOMBARD ASSET	040	WNC	S	30,000	6.77	n/a	n/a	1,200.00	4.0
HOLLIS CAPITAL	033	WPRT	B	2,000	23.17	n/a	n/a	100.00	5.0
B BUCK CAPITAL MGMT		WSM	SS	30,000	35.07	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WSM	SS	40,000	35.15	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WWW	S	50,000	41.18	n/a	n/a	non-brg	4.0
NOKOMIS PARTNERS	031	WWW	SS	10,000	41.91	n/a	n/a	300.00	3.0
IMPALA ASSET MMT	040	WYNN	S	40,000	104.75	n/a	13-Jun	2,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALLAST CAP	040	WY	S	15,300	18.86	n/a	4-May	765.00	5.0
B BUCK CAPITAL MGMT		WY	B	26,000	18.88	n/a	4-May	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	WY	B	25,000	18.81	n/a	4-May	1,250.00	5.0
f KAREN F LEDDY	FID	XPO	S	2050	16.02	n/a	n/a	0.00	0.0
f ALICE BEEBE LONGLEY	FID	YACKX	B	1389	18.00	n/a	n/a	0.00	0.0
DSM CAPITAL PARTNERS	085	YUM	S	3,900	69.00	n/a	20-Apr	195.00	5.0
B BUCK CAPITAL MGMT		ZUMZ	S	60,000	35.46	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID		S	245000	104.87	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID		B	50000	0.08	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								191,306.79	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	------	--------	-----	--------	-------	--------------	-------------

TOTAL (AO, CXL, CRT):									0.00
-----------------------	--	--	--	--	--	--	--	--	------

TOTAL (Trade Date):									191,306.79
---------------------	--	--	--	--	--	--	--	--	------------

TOTAL (AO, CXL, CRT):									0.00
-----------------------	--	--	--	--	--	--	--	--	------

TOTAL (RUN DATE) / NFS:									191,306.79
-------------------------	--	--	--	--	--	--	--	--	------------

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	05/16	BUCK CAPITAL MGMT	GCO	B	10,000	67.17	n/a	n/a	400.00 4.0
CXL	05/16	BUCK CAPITAL MGMT.	MSMSBCM	C	1,605,245	107.41	n/a	n/a	517.28
CRT	05/16	BUCK CAPITAL MGMT	MSMSBCM	C	1,605,245	107.41	n/a	n/a	5172.78 0.3

MRI Securities with Trade Activity on 17-May.

PLCE

MRI Securities without Trade Activity on 17-May.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)