



Daily Compliance Activity Report

Trade Date: 08/31/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HAYGROUND COE	039	ADSK	B	50,000	34.49	n/a	n/a	2,500.00	5.0
THIRD COAST CAPITAL	035	AEOS	B	15,000	38.42	16-Aug	28-Aug	750.00	5.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	48,800	6.50	n/a	n/a	1,952.00	4.0
CENTURION PARTNERS	244	ANF	B	10,000	64.14	31-Aug	31-Aug	500.00	5.0
BEAR STEARNS ASSET MGMT.	033	ANN	S	30,000	39.54	6-Jul	28-Aug	1,200.00	4.0
METROPOLITAN CAPITAL	044	ASD	S	9,700	41.54	21-Apr	30-Aug	485.00	5.0
BUCK CAPITAL MGMT	001	BBW	B	10,000	21.73	n/a	n/a	400.00	4.0
AVESTA CAP	229	BBY	S	20,000	46.79	13-Jun	14-Jun	1,000.00	5.0
CAPITAL GROWTH MGMT.	241	BHP	S	100,000	41.95	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT	001	BID	S	15,000	27.69	n/a	n/a	600.00	4.0
WHALE ROCK CAP	030	BONT	B	110,000	26.49	n/a	28-Aug	4,400.00	4.0
KORMAN	003	CAKE	B	10,000	24.86	28-Jun	2-Aug	0.00	0.0
LISTED ERR	099	CBK	S	200	24.52	1-Jun	28-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	CBK	B	20,000	24.39	1-Jun	28-Aug	800.00	4.0
STERLING JOHNSTON	035	CBK	S	200	24.52	1-Jun	28-Aug	10.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MATTHES CAPITAL MGMT	033	CLE	S	7,500	27.28	4-May	28-Aug	300.00	4.0
THIRD COAST CAPITAL	035	CPB	S	20,000	37.55	n/a	n/a	1,000.00	5.0
MAGUIRE	090	CPNLQ	B	40,000	0.37	n/a	11-May	0.00	0.0
MAGUIRE	090	CPX	B	5,000	22.25	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	239	CRL	S	33,800	40.65	n/a	n/a	1,690.00	5.0
THIRD COAST CAPITAL	035	CTR	S	3,000	24.01	n/a	n/a	150.00	5.0
ASCEND PARTNERS	033	CTRN	S	20,000	31.71	n/a	n/a	1,000.00	5.0
CAPITAL GROWTH MGMT.	241	CVS	B	4,000	33.84	n/a	n/a	200.00	5.0
ATLAS CAPITAL	012	DBRN	B	37,100	17.74	n/a	n/a	1,855.00	5.0
NEUBERGER BERMAN	239	DCI	S	5,000	33.08	n/a	n/a	250.00	5.0
MCCARTAN	028	EAT	B	200	38.27	26-Jul	10-Aug	0.00	0.0
MAGUIRE	090	EFT	B	15,000	18.26	n/a	n/a	0.00	0.0
MAGUIRE	090	EMKR	B	3,000	7.57	n/a	n/a	0.00	0.0
MAGUIRE	090	EXC	B	2,000	60.80	n/a	n/a	0.00	0.0
ALLEN & CO	032	EXPD	B	41,000	39.20	n/a	n/a	1,640.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRIBECA	038	FII	S	10,000	33.31	2-May	22-Aug	400.00	4.0
GALLEON MGMT LP	033	FILE	S	40,000	34.99	n/a	n/a	2,000.00	5.0
BUCK CAPITAL MGMT	001	FL	S	40,000	24.05	n/a	18-Aug	1,596.00	2.0
KORMAN	003	GLW	B	2,000	22.69	21-Mar	7-Aug	0.00	0.0
MAGUIRE	039	GYMB	S	200	33.81	10-Aug	31-Aug	0.00	0.0
MCCARTAN	028	GYMB	S	200	34.02	10-Aug	31-Aug	0.00	0.0
COUGAR TRADING	044	GYMB	B	5,000	33.42	10-Aug	31-Aug	250.00	5.0
COUGAR TRADING	044	GYMB	S	5,000	33.68	10-Aug	31-Aug	250.00	5.0
STG INVESTORS	028	GYMB	S	10,000	33.67	10-Aug	31-Aug	500.00	5.0
TRIVIUM CAP MGMT	028	GYMB	S	100,000	33.73	10-Aug	31-Aug	4,000.00	4.0
LOOMIS SALES/BOSTON	036	HLT	S	100,000	25.51	n/a	n/a	5,000.00	5.0
MAGUIRE	090	HOFF	B	5,000	20.75	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	HOTT	S	15,000	10.21	n/a	28-Aug	750.00	5.0
KORMAN	003	ICON	B	2,000	14.85	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	ISSX	S	30,000	27.69	n/a	n/a	1,500.00	5.0
KARP	023	IWM	S	300	71.78	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	B	20,000	62.72	5-May	31-Aug	800.00	4.0
THIRD COAST CAPITAL	035	JCP	S	15,000	63.18	5-May	31-Aug	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	059	JOSB	B	3,500	23.99	n/a	n/a	210.00	6.0
LOOMIS SALES/BOSTON	036	JTX	B	28,300	31.49	n/a	n/a	1,415.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	50,000	36.92	14-Aug	28-Aug	2,000.00	4.0
THIRD COAST CAPITAL	035	K	S	20,000	50.67	n/a	n/a	1,000.00	5.0
MATTES CAPITAL MGMT	033	KCP	S	5,000	23.33	n/a	31-Aug	200.00	4.0
MCCARTAN	028	KEGS	B	350	15.00	7-Aug	22-Aug	0.00	0.0
DEEP	030	KO	B	10,000	44.97	n/a	n/a	500.00	5.0
LISTED ERR	099	KO	B	20,000	44.87	n/a	n/a	0.00	0.0
LISTED ERR	099	KO	S	20,000	44.87	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KWD	B	82,500	27.28	n/a	n/a	3,300.00	4.0
EGS ASSOCIATES LP	059	LIZ	B	3,500	37.41	n/a	27-Jul	210.00	6.0
APEX CAPITAL MGMT.	002	LTD	S	10,300	25.40	9-May	28-Aug	515.00	5.0
MAGUIRE	090	MAG	B	15,000	3.15	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	MAN	B	800	58.96	n/a	n/a	40.00	5.0
CRAMER ROSENTHAL/SCOTT	012	MBI	B	1,200	61.49	n/a	n/a	60.00	5.0
AVESTA CAP	229	MCO	S	10,000	61.21	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	ME	B	5,000	18.80	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	MEL	B	1,800	37.12	n/a	10-Aug	90.00	5.0
CRAMER ROSENTHAL/SCOTT	012	MI	B	2,000	46.43	n/a	n/a	100.00	5.0
BUCK CAPITAL MGMT	001	MIK	S	78,900	43.05	n/a	n/a	3,156.00	4.0
CRAMER ROSENTHAL/SCOTT	012	MIL	B	1,300	63.83	n/a	n/a	65.00	5.0
CRAMER ROSENTHAL/SCOTT	012	MKC	B	1,300	36.19	n/a	n/a	65.00	5.0
SCHEINER	037	MLI	S	500	38.67	31-Aug	31-Aug	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	NCR	B	1,900	34.53	n/a	n/a	95.00	5.0
MAGUIRE	090	NRG	B	3,000	50.73	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	NVO	B	400	73.74	n/a	n/a	20.00	5.0
CENTURION PARTNERS	244	OATS	B	10,000	16.49	n/a	n/a	500.00	5.0
CRAMER ROSENTHAL/SCOTT	012	OCR	B	1,400	45.24	27-Jul	25-Aug	70.00	5.0
CRAMER ROSENTHAL/SCOTT	012	OKE	B	1,200	37.98	n/a	n/a	60.00	5.0
EGS ASSOCIATES LP	059	OXM	B	3,000	41.30	n/a	n/a	180.00	6.0
CRAMER ROSENTHAL/SCOTT	012	PCP	B	700	58.68	n/a	n/a	35.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD COAST CAPITAL	035	PEP	S	15,000	65.31	n/a	n/a	750.00	5.0
ELM RIDGE ADVISORS	044	PH	S	12,500	74.08	n/a	n/a	500.00	4.0
CRAMER ROSENTHAL/SCOTT	012	PKI	B	3,500	18.70	n/a	n/a	175.00	5.0
PECONIC PARTNERS	036	PLCE	B	50,000	58.40	1-May	28-Aug	2,500.00	5.0
CRAMER ROSENTHAL/SCOTT	012	PNC	B	1,200	70.50	n/a	n/a	60.00	5.0
THE JAY GOLDMAN	034	POZN	S	17,500	12.20	n/a	n/a	875.00	5.0
CRAMER ROSENTHAL/SCOTT	012	PPL	B	2,200	34.82	n/a	n/a	110.00	5.0
CAPITAL GROWTH MGMT.	241	PTP	B	30,000	29.67	n/a	n/a	1,500.00	5.0
DEEP	030	QQQQ	B	68,000	38.85	n/a	n/a	3,400.00	5.0
HIGHFIELDS CAP MGMT	039	RRI	S	130,000	13.36	n/a	n/a	3,900.00	3.0
MAGNETAR FINANCIAL	247	RSTO	S	50,000	7.08	n/a	n/a	2,000.00	4.0
BINDER	007	SKS	B	500	14.51	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SSI	B	20,000	26.47	n/a	n/a	800.00	4.0
CRAMER ROSENTHAL/SCOTT	012	STR	B	1,600	85.50	7-Aug	7-Aug	80.00	5.0
CRAMER ROSENTHAL/SCOTT	012	STT	B	800	61.70	n/a	n/a	40.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KAICHER	064	SWN	B	1,000	34.61	7-Aug	7-Aug	0.00	0.0
MCCARTAN	028	SWN	S	200	34.53	7-Aug	7-Aug	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	SYT	B	1,900	29.44	n/a	n/a	95.00	5.0
CRAMER ROSENTHAL/SCOTT	012	SYT	B	1,100	31.51	3-Aug	14-Aug	55.00	5.0
MAIRS AND POWER INV	030	TGT	B	10,000	47.73	n/a	31-Aug	600.00	6.0
BEAR STEARNS ASSET MGMT.	033	TIF	B	50,000	31.92	13-Mar	31-Aug	2,000.00	4.0
SINCLAIR	031	TIVO	B	1,000	7.61	n/a	n/a	0.00	0.0
DEEP	030	TUP	B	20,000	18.05	n/a	n/a	1,000.00	5.0
GUARDIAN LIFE	035	TV	B	200,000	19.11	n/a	n/a	8,000.00	4.0
CRAMER ROSENTHAL/SCOTT	012	TXT	B	900	83.88	n/a	n/a	45.00	5.0
BINDER	007	TYC	B	200	25.92	n/a	3-Aug	0.00	0.0
MERRILL ASSET	032	UTI	B	50,000	18.55	n/a	n/a	1,500.00	3.0
MAGUIRE	090	VLRX	B	5,000	6.24	n/a	n/a	0.00	0.0
BANK OF NEW YORK	040	WDR	B	11,280	23.17	18-Aug	22-Aug	507.60	4.5
CRAMER ROSENTHAL/SCOTT	012	WMB	B	2,500	24.65	n/a	n/a	125.00	5.0
DEEP	030	WMT	B	10,000	44.76	n/a	31-Aug	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRAMER ROSENTHAL/SCOTT	012	YUM	B	1,400	49.10	n/a	19-Jul	70.00	5.0
AMBER CAPITAL	046	ZLC	B	200,000	26.46	n/a	n/a	8,000.00	4.0
KORMAN	003	ZOLT	B	1,000	24.19	n/a	n/a	0.00	0.0
TOTAL:							99,051.60		

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,	COUGAR TRADING (COUGPB MS)	044	ARO	S	20,000	24.98	6-Jul	28-Aug	1,000.00	5.0
AO,CXL	DOUBLE V/PBX (DOVVMORGAN)	044	DSW	S	4,500	28.70	n/a	n/a	225.00	5.0
AO,	LOCUS WOOD CAPITAL MGMT (LOCOPBX)	044	DSW	S	4,500	28.70	n/a	n/a	225.00	5.0
AO,CXL	SSRD (SSRD1494)	037	FD	B	51,900	37.69	12-Jun	31-Aug	2,076.00	4.0
AO,	COUGAR TRADING (COUGPB MS)	044	FD	S	10,000	38.45	12-Jun	31-Aug	500.00	5.0
AO,	PRINCIPLE CAPITAL (PRINUBSPB)	040	FD	B	51,900	37.69	12-Jun	31-Aug	2,076.00	4.0
AO,CXL	COLONIAL FUND LLC (COLOMAINSL)	036	GYMB	S	10,000	30.87	10-Aug	31-Aug	500.00	5.0
AO,	COLONIAL FUND LLC (COLOUBS)	036	GYMB	S	10,000	30.87	10-Aug	31-Aug	500.00	5.0
AO,CXL	DOUBLE V/PBX (DOVVMORGAN)	044	RVI	B	12,000	14.30	n/a	n/a	600.00	5.0
AO,	LOCUS WOOD CAPITAL MGMT (LOCOPBX)	044	RVI	B	12,000	14.30	n/a	n/a	600.00	5.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.