



## Daily Compliance Activity Report

Trade Date: 05/19/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J L F ASSET MGMT	039	AAP	B	20,300	39.95	n/a	n/a	812.00	4.0
BALYASNY ASSET MGMT	044	AEO	B	20,000	17.66	6-Mar	9-May	1,000.00	5.0
COUGAR TRADING	044	AEO	B	10,000	17.74	6-Mar	9-May	500.00	5.0
ROSEDALE CAPITAL	046	AEO	B	19,200	17.75	6-Mar	9-May	960.00	5.0
LATEFF MGMT	035	ALK	S	1,500	22.09	n/a	n/a	255.00	17.0
SUNOVA CAPITAL	031	ALL	S	5,000	50.17	n/a	n/a	250.00	5.0
FORD FDN.	032	AMGN	S	25,000	42.10	n/a	26-Jan	1,250.00	5.0
COUGAR TRADING	044	ANF	B	5,000	75.11	10-Apr	16-May	250.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>APCKT</b>	<b>B</b>	<b>25</b>	<b>1.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CLOVIS CAPITAL	012	BBBY	SS	225,000	33.12	n/a	n/a	11,250.00	5.0
WHITTIER CAP	048	BEBE	B	5,880	11.55	n/a	n/a	235.20	4.0
SANDLER O'NEILL ASSET	031	BEN	B	10,000	103.34	24-Mar	8-May	500.00	5.0
SUNOVA CAPITAL	031	BEN	B	20,000	104.45	24-Mar	8-May	1,000.00	5.0
J L F ASSET MGMT	039	BJ	B	45,000	40.00	n/a	27-Jul	1,800.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>BKT</b>	<b>B</b>	<b>5,000</b>	<b>6.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	BMV	B	1,200	22.00	n/a	n/a	48.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITTIER CAP	048	BWS	B	2,155	15.79	n/a	n/a	86.20	4.0
1798 CAPITAL MGMT	040	BYI	S	5,000	41.69	n/a	n/a	250.00	5.0
CR INTRINIC INVESTMENT	044	CNET	S	500,000	11.40	n/a	n/a	15,000.00	3.0
CATAPULT	040	COH	B	20,000	36.99	4-Apr	23-Apr	800.00	4.0
COUGAR TRADING	044	COH	B	15,000	36.91	4-Apr	23-Apr	750.00	5.0
WAGONHOUND	048	COH	S	1,460	36.74	4-Apr	23-Apr	58.40	4.0
COUGAR TRADING	044	CPKI	SS	5,000	15.53	n/a	9-May	250.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>CPKI</b>	<b>C</b>	<b>200</b>	<b>15.45</b>	<b>n/a</b>	<b>9-May</b>	<b>0.00</b>	<b>0.0</b>
CLOVIS CAPITAL	012	CX	B	100,000	31.99	n/a	n/a	5,000.00	5.0
EPOCH INV MGMT	030	DD	B	200	49.70	n/a	14-May	8.00	4.0
LATEFF MGMT	035	DOW	S	500	42.68	n/a	24-Apr	190.00	38.0
J L F ASSET MGMT	039	DRI	B	54,000	36.70	n/a	15-Apr	2,160.00	4.0
EPOCH INV MGMT	030	FISV	B	900	52.32	n/a	n/a	36.00	4.0
SUNOVA CAPITAL	031	FNF	S	25,200	17.69	n/a	n/a	1,260.00	5.0
WHITTIER CAP	048	GES	B	6,695	41.76	n/a	n/a	267.80	4.0
EPOCH INV MGMT	030	GE	B	1,100	32.05	n/a	n/a	44.00	4.0
EPOCH INV MGMT	030	GXP	B	2,000	26.43	n/a	n/a	80.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	HBI	B	30,000	35.74	n/a	n/a	1,125.00	3.8
FORTRESS CAPITAL	040	HD	B	100,000	28.97	n/a	27-Jul	4,000.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>HSTX</b>	<b>S</b>	<b>200</b>	<b>10.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	HXL	B	9,550	22.15	n/a	n/a	382.00	4.0
AVESTA CAP	229	IBM	S	50,000	127.35	n/a	n/a	2,500.00	5.0
WHITTIER CAP	048	IGT	B	1,995	36.26	n/a	18-Apr	79.80	4.0
LATEFF MGMT	035	INTC	S	1,250	25.19	n/a	n/a	250.00	20.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	50,000	28.51	10-Apr	9-May	1,875.00	3.8
WHITTIER CAP	048	JCG	B	1,370	49.23	n/a	12-Mar	54.80	4.0
BALYASNY ASSET MGMT	044	JWN	SS	25,000	37.63	16-May	16-May	1,250.00	5.0
WHITTIER CAP	048	JWN	B	3,880	38.11	16-May	16-May	155.20	4.0
<b>BUCK</b>	<b>015</b>	<b>JWN</b>	<b>SS</b>	<b>1,000</b>	<b>37.67</b>	<b>16-May</b>	<b>16-May</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	KMP	B	900	60.42	n/a	n/a	36.00	4.0
BEAR STEARNS ASSET MGMT.	033	KSS	B	50,000	49.11	n/a	16-May	2,000.00	4.0
SENECA CAPITAL LP NY	028	LEH	SS	42,000	42.50	1-Apr	15-May	2,100.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>SS</b>	<b>30,000</b>	<b>18.13</b>	<b>n/a</b>	<b>14-May</b>	<b>1,200.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUNOVA CAPITAL	031	LM	B	30,000	56.73	7-May	15-May	1,500.00	5.0
<b>PRYLUCKI</b>	<b>092</b>	<b>LM</b>	<b>B</b>	<b>50</b>	<b>56.63</b>	<b>7-May</b>	<b>15-May</b>	<b>0.00</b>	<b>0.0</b>
LUCID PARTNERS	067	LTD	S	20,000	19.02	n/a	19-May	1,000.00	5.0
EPOCH INV MGMT	030	MCK	B	600	58.31	n/a	n/a	24.00	4.0
SHAREVEST CAPITAL MGMT.	038	MDT	S	15,100	47.76	n/a	22-Apr	755.00	5.0
<b>BUCK</b>	<b>015</b>	<b>MER</b>	<b>C</b>	<b>1,000</b>	<b>48.03</b>	<b>18-Jan</b>	<b>15-May</b>	<b>0.00</b>	<b>0.0</b>
MFP INVESTORS	032	MFW	B	14,100	33.32	n/a	n/a	705.00	5.0
ROARING BLUE LION MGMT	012	MF	S	18,300	14.86	n/a	n/a	732.00	4.0
DIAMONDBACK CAP MGMT	232	MO	S	150,000	22.54	n/a	n/a	7,500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>MO</b>	<b>S</b>	<b>2,000</b>	<b>22.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>NEXC</b>	<b>S</b>	<b>10,800</b>	<b>0.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>HART</b>	<b>056</b>	<b>NEXC</b>	<b>S</b>	<b>645</b>	<b>0.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	NKE	B	53,700	68.49	n/a	n/a	2,148.00	4.0
OMEGA ADVISORS	041	NOK	B	137,000	29.99	n/a	n/a	5,480.00	4.0
VENTANA CAPITAL	060	NOK	S	10,000	29.98	n/a	n/a	400.00	4.0
PILOT ROCK	028	NUVA	B	3,400	40.36	n/a	n/a	136.00	4.0
<b>BARR</b>	<b>096</b>	<b>NVTL</b>	<b>B</b>	<b>320</b>	<b>10.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUNOVA CAPITAL	031	NYB	S	100,000	19.67	n/a	n/a	5,000.00	5.0
EPOCH INV MGMT	030	OKS	B	200	63.86	n/a	n/a	8.00	4.0
EPOCH INV MGMT	030	OSG	B	700	82.30	n/a	n/a	28.00	4.0
ELM RIDGE ADVISORS	044	PKG	S	158,072	25.74	7-Apr	22-Apr	6,322.88	4.0
COLONIAL FUND LLC	036	PLCE	B	12,500	29.83	2-May	12-May	625.00	5.0
SUNOVA CAPITAL	031	PNC	S	20,000	67.92	n/a	n/a	1,000.00	5.0
BALYASNY ASSET MGMT	044	PSUN	B	20,000	10.86	n/a	9-May	1,000.00	5.0
COUGAR TRADING	044	PSUN	B	10,000	10.74	n/a	9-May	500.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>QJPRX</b>	<b>S</b>	<b>50</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	QQQQ	SS	30,000	50.33	n/a	n/a	1,200.00	4.0
FIRST NEW YORK NICK	029	Q	S	10,000	4.71	n/a	n/a	500.00	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>Q</b>	<b>B</b>	<b>30,000</b>	<b>4.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>Q</b>	<b>SS</b>	<b>30,000</b>	<b>4.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITTIER CAP	048	SBUX	B	8,380	17.32	n/a	24-Apr	335.20	4.0
NEUBERGER BERMAN	036	SCHW	S	85,050	22.92	n/a	n/a	3,827.25	4.5
ROTHSCHILD ASSET	040	SFY	S	12,090	60.66	n/a	n/a	544.05	4.5
CASTLE ARK	031	SGR	B	55,930	60.17	n/a	n/a	3,355.80	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	SHOE	SS	4,730	0.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	500	22.96	n/a	13-Mar	20.00	4.0
RHO CAPITAL MGMT	041	SLAB	B	700	36.88	n/a	n/a	28.00	4.0
UBS OCONNOR	031	SPLS	S	200,000	23.56	n/a	27-Jul	6,000.00	3.0
FIRST NY SEC/CHRIS	041	SSCC	B	25,000	6.05	7-Apr	23-Apr	1,250.00	5.0
LEDY	035	SSCC	B	1,500	6.17	7-Apr	23-Apr	0.00	0.0
ROXBURY CAPITAL MGMT	035	SSI	S	75,000	13.75	n/a	9-May	3,000.00	4.0
BALYASNY ASSET MGMT	044	TGT	B	30,000	55.10	n/a	27-Jul	1,500.00	5.0
CATAPULT	040	TGT	B	28,000	55.37	n/a	27-Jul	1,120.00	4.0
FORTRESS CAPITAL	040	TGT	B	50,000	54.82	n/a	27-Jul	2,000.00	4.0
BUCK CAPITAL MGMT	001	TPX	B	50,000	12.57	n/a	n/a	2,000.00	4.0
SUNOVA CAPITAL	031	TRV	B	75,000	50.96	n/a	n/a	3,750.00	5.0
J L F ASSET MGMT	039	TWB	B	9,600	21.21	17-Mar	14-May	384.00	4.0
CLOVIS CAPITAL	012	UNH	B	250,000	33.18	n/a	n/a	12,500.00	5.0
EPOCH INV MGMT	030	USB	B	2,000	33.94	n/a	n/a	80.00	4.0
GREENLIGHT CAPITAL	041	UST	SS	48,700	54.04	n/a	n/a	2,435.00	5.0
BUCK	015	VRP	S	10	2.55	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	WMT	B	10,000	56.87	13-May	13-May	500.00	5.0
LISTED ERR	099	XOHOL	B	1	0.05	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							144,551.58		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	05/16	CR INTRINIC INVESTMENT (CRINGSPB)	044	AFFX	S	23,100	11.92	n/a	n/a	0.00	0.0
AO,CRT	05/16	CR INTRINIC INVESTMENT (CRINGSPB)	044	AFFX	S	23,100	11.92	n/a	n/a	1155.00	5.0
AO,	05/15	TRELLUS PARTNERS LP (TRELMMERR)	028	AXL	SS	1,158	22.51	n/a	n/a	57.90	5.0
AO,	05/15	TRELLUS PARTNERS LP (TRELGS)	028	AXL	SS	1,158	22.51	n/a	n/a	57.90	5.0
AO,	05/15	TRELLUS PARTNERS LP (TRELMAIN)	028	AXL	SS	17,684	22.51	n/a	n/a	884.20	5.0
AO,	05/16	STRONG/MARK GRONET (SCMG200722)	038	KEG	B	2,545	16.05	14-Feb	14-May	101.80	4.0
AO,	05/16	STRONG/MARK GRONET (SCMG200725)	038	KEG	B	16,200	16.05	14-Feb	14-May	648.00	4.0
AO,	05/16	STRONG/MARK GRONET (SCMG202363)	038	KEG	B	95	16.05	14-Feb	14-May	3.80	4.0
AO,	05/16	STRONG/MARK GRONET (SCMG187746)	038	KEG	B	2,665	16.05	14-Feb	14-May	106.60	4.0
AO,	05/16	STRONG/MARK GRONET (SCMG9969)	038	KEG	B	20,000	16.05	14-Feb	14-May	800.00	4.0
AO,	05/16	STRONG/MARK GRONET (SCMGST1758)	038	KEG	B	240	16.05	14-Feb	14-May	9.60	4.0
AO,	05/16	STRONG/MARK GRONET (SCMGST9919)	038	KEG	B	1,600	16.05	14-Feb	14-May	64.00	4.0
AO,	05/16	STRONG/MARK GRONET (SCMG829101)	038	KEG	B	620	16.05	14-Feb	14-May	24.80	4.0
AO,	05/16	STRONG/WELL HOWARD (SCHT20501)	038	KEG	B	1,410	16.05	14-Feb	14-May	56.40	4.0
AO,CRT	05/15	LEDDY (LEDDY)	035	SSCC	B	1,500	5.80	7-Apr	23-Apr	0.00	0.0
AO,CXL	05/15	LEDDY (LEDDY)	035	SSCC	B	1,500	5.80	7-Apr	23-Apr	0.00	0.0
AO,CXL	05/16	CANTILLON CAPITAL (CANTMS)	040	WLP	S	315,100	50.94	n/a	n/a	2.55	0.0
AO,CRT	05/16	CANTILLON CAPITAL (CANTMS)	040	WLP	S	315,100	50.94	n/a	n/a	15755.00	5.0
AO,	04/30	LISTED ERR (LISTED ERR)	099	XOHOL	S	1	0.02	n/a	n/a	0.00	0.0
AO,CXL	04/30	NOMURA CAPITAL (NOMUNOCB01)	045	XOHOL	S	3,910	0.02	n/a	n/a	0.00	0.0
AO,CRT	04/30	NOMURA CAPITAL (NOMUNOCB01)	045	XOHOL	S	3,909	0.02	n/a	n/a	0.00	0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>19,722.45</b>	



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TOTAL (Trade Date):	144,551.58
TOTAL (AO, CXL, CRT):	19,722.45

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>164,274.03</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION