



# Daily Compliance Activity Report

Trade Date: 02/22/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	025	AA	B	1,000	16.98	n/a	n/a	50.00	5.0
EPOCH INV MGMT	030	ABT	S	750	47.06	n/a	n/a	22.50	3.0
BALYASNY ASSET MGMT	044	AEO	S	25,000	15.39	n/a	4-Feb	1,250.00	5.0
EPOCH INV MGMT	030	AET	S	1,100	37.83	n/a	n/a	33.00	3.0
PATTEN & PATTEN	025	AINV	B	1,250	12.02	n/a	n/a	62.50	5.0
PATTEN & PATTEN	025	AML P	B	15,000	16.42	n/a	n/a	750.00	5.0
EPOCH INV MGMT	030	AMP	S	1,150	63.24	n/a	n/a	34.50	3.0
EPOCH INV MGMT	030	AMTD	S	1,100	21.30	n/a	n/a	33.00	3.0
CADIAN CAPITAL	041	ANF	S	100,000	58.65	n/a	17-Feb	3,000.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>ANN</b>	<b>S</b>	<b>83,684</b>	<b>24.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>APC</b>	<b>B</b>	<b>2000</b>	<b>77.50</b>	<b>n/a</b>	<b>1-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ASNA</b>	<b>S</b>	<b>20,000</b>	<b>31.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ASNA</b>	<b>S</b>	<b>3,000</b>	<b>31.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>AXE</b>	<b>S</b>	<b>200</b>	<b>70.23</b>	<b>n/a</b>	<b>1-Feb</b>	<b>0.00</b>	<b>0.0</b>
COOPER CREEK PART	028	AXL	SS	5,800	13.92	n/a	9-Feb	232.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⚡ BUCK CAPITAL MGMT		AXP	B	600	44.29	n/a	22-Feb	non-brg 3.0
⚡ BUCK CAPITAL MGMT		AXP	B	900	44.29	n/a	22-Feb	non-brg 3.0
EPOCH INV MGMT	030	AXP	S	750	44.80	n/a	22-Feb	22.50 3.0
BARROW HANLEY MEWHINNEY	031	BAX	B	25,000	52.70	n/a	n/a	875.00 3.5
BUCK CAPITAL MGMT	001	BA	B	14,000	72.14	n/a	15-Feb	420.00 3.0
EPOCH INV MGMT	030	BA	S	650	71.89	n/a	15-Feb	19.50 3.0
⚡ JOHN MICHAEL ZOLIDIS	FID	BBW	B	900	6.50	n/a	n/a	0.00 0.0
EPOCH INV MGMT	030	BEN	S	500	127.32	n/a	2-Mar	15.00 3.0
⚡ JOSEPH CIRO AMATURO	FID	BGZ	S	50000	7.47	n/a	n/a	0.00 0.0
⚡ BUCK CAPITAL MGMT		BID	S	20,000	47.41	n/a	n/a	non-brg 3.0
⚡ BUCK CAPITAL MGMT		BK	B	1,700	30.96	n/a	14-Feb	non-brg 3.0
⚡ BUCK CAPITAL MGMT		BK	B	2,700	30.96	n/a	14-Feb	non-brg 3.0
PATTEN & PATTEN	025	BTO	B	19,750	18.03	n/a	n/a	987.50 5.0
⚡ BUCK CAPITAL MGMT		BX	B	1,300	17.01	n/a	n/a	non-brg 4.0
⚡ BUCK CAPITAL MGMT		BX	B	1,800	17.01	n/a	n/a	non-brg 4.0
⚡ BUCK CAPITAL MGMT		BX	B	2,100	17.01	n/a	n/a	non-brg 4.0
⚡ BUCK CAPITAL MGMT		BX	B	2,700	17.01	n/a	n/a	non-brg 4.0
⚡ BUCK CAPITAL MGMT		BX	B	20,200	17.01	n/a	n/a	non-brg 4.0
⚡ BUCK CAPITAL MGMT		BX	B	600	17.01	n/a	n/a	non-brg 4.0
⚡ BUCK CAPITAL MGMT		BX	B	800	17.01	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WADDELL AND REED	047	BX	B	250,000	17.17	n/a	n/a	7,500.00	3.0
Ñ Nilsa Vazquez		BZH	B	1000	4.87	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	CAR	S	1000	15.80	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	CHK	B	5,100	31.96	n/a	n/a	255.00	5.0
EPOCH INV MGMT	030	CMCSK	S	2,550	24.05	n/a	n/a	76.50	3.0
f NICOLAS EVAN PAKLER	FID	CMI	B	65	103.41	n/a	2-Feb	0.00	0.0
B BUCK CAPITAL MGMT		COH	B	10,000	56.69	n/a	26-Jan	non-brg	4.0
f DAVID GEORGE BUCK	FID	COP	B	1000	77.45	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	COP	B	200	77.48	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	CSC	S	450	48.48	n/a	n/a	13.50	3.0
PATTEN & PATTEN	025	CSJ	B	500	104.64	n/a	n/a	25.00	5.0
f KEVIN MICHAEL O'GORM	FID	CVGI	S	1500	17.50	n/a	n/a	0.00	0.0
f RAVI SADARANGANI	FID	CVGI	S	2500	18.13	n/a	n/a	0.00	0.0
WEDBUSH/PACIFIC GROWTH EQTY LLC084		CVGI	S	7,200	17.89		n/a n/a	360.00	5.0
f ANDREW SINCLAIR	FID	C	B	170	0.35	n/a	16-Feb	0.00	0.0
B BUCK CAPITAL MGMT		C	B	10,600	4.80	n/a	16-Feb	non-brg	2.0
B BUCK CAPITAL MGMT		C	B	17,200	4.80	n/a	16-Feb	non-brg	2.0
B BUCK CAPITAL MGMT		DAL	B	3,500	10.73	n/a	n/a	non-brg	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B	BUCK CAPITAL MGMT		DAL	B	5,700	10.73	n/a	n/a	non-brg 3.0
	NEUBERGER BERMAN	036	DDR	B	30,000	13.83	n/a	n/a	1,200.00 4.0
B	BUCK CAPITAL MGMT		DDS	B	1,000	40.26	n/a	n/a	non-brg 3.0
B	BUCK CAPITAL MGMT		DDS	B	600	40.26	n/a	n/a	non-brg 3.0
	EPOCH INV MGMT	030	DD	S	950	54.98	n/a	18-Feb	28.50 3.0
	PATTEN & PATTEN	025	DD	B	300	55.13	n/a	18-Feb	15.00 5.0
B	BUCK CAPITAL MGMT		DECK	S	10,000	90.89	n/a	n/a	non-brg 2.5
	CASTLE ARK	031	DE	S	120,040	91.46	n/a	18-Feb	6,002.00 5.0
	NEUBERGER BERMAN	036	DLR	B	9,600	56.84	n/a	n/a	384.00 4.0
	COUGAR TRADING	044	DLTR	B	5,000	52.41	n/a	18-Feb	250.00 5.0
f	GAJANTHAN BALAKANES	FID	DRYS	B	100	4.81	n/a	n/a	0.00 0.0
f	JOHN BARTMAN COLE	FID	DRYS	B	1500	4.81	n/a	n/a	0.00 0.0
	PATTEN & PATTEN	025	DVY	S	5,350	51.27	n/a	n/a	267.50 5.0
f	MARC PAUL LUCHANSKY	FID	EL	S	100	90.86	n/a	18-Feb	0.00 0.0
	PATTEN & PATTEN	025	EPP	S	3,750	46.57	n/a	n/a	187.50 5.0
	PATTEN & PATTEN	025	EWJ	B	24,000	11.40	n/a	n/a	1,200.00 5.0
B	BUCK CAPITAL MGMT		FINL	B	10,000	17.51	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⚡ BUCK CAPITAL MGMT		FOSL	S	10,000	77.93	n/a	n/a	non-brg 1.0
f CHRISTOPHER ROBERT C	FID	FSDPX	S	74	69.31	n/a	n/a	0.00 0.0
AVESTA CAP	229	F	SS	300,000	15.15	n/a	2-Feb	9,000.00 3.0
f MARC PAUL LUCHANSKY	FID	F	S	200	15.19	n/a	2-Feb	0.00 0.0
⚡ BUCK CAPITAL MGMT		GCO	S	20,000	39.64	n/a	n/a	non-brg 4.0
⚡ BUCK CAPITAL MGMT		GCO	S	9,377	40.28	n/a	n/a	non-brg 4.0
PATTEN & PATTEN	025	GE	B	2,250	21.07	n/a	n/a	112.50 5.0
PATTEN & PATTEN	025	GLD	S	1,000	136.71	n/a	n/a	50.00 5.0
f HARALAMBOS EFSTATHI	FID	GMCR	B	300	41.30	n/a	n/a	0.00 0.0
f MANNY I KORMAN	FID	GM	B	200	1.35	n/a	2-Feb	0.00 0.0
PATTEN & PATTEN	025	GOV	B	10,500	26.62	n/a	n/a	525.00 5.0
SAC TRADING TOM LEDDY	035	GPS	B	25,000	22.66	n/a	n/a	875.00 3.5
BARROW HANLEY MEWHINNEY	031	HD	S	150,900	38.56	n/a	22-Feb	5,281.50 3.5
RBC CAPITAL MARKETS	040	HD	B	40,000	38.93	n/a	22-Feb	1,600.00 4.0
SAXON WOODS ADVISORS	048	IBM	S	38,100	162.48	n/a	n/a	1,524.00 4.0
f MARC PAUL LUCHANSKY	FID	IFF	S	200	56.08	n/a	10-Feb	0.00 0.0
⚡ BUCK CAPITAL MGMT		IGT	B	25,000	16.65	n/a	27-Jan	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
⌘ BUCK CAPITAL MGMT		IP	B	1,500	27.55	n/a	3-Feb	non-brg 3.0
⌘ BUCK CAPITAL MGMT		IP	B	900	27.55	n/a	3-Feb	non-brg 3.0
CSFB GLOBAL PROPRIETARY	041	IP	B	30,000	27.66	n/a	3-Feb	1,500.00 5.0
CSFB GLOBAL PROPRIETARY	041	IP	S	20,000	27.97	n/a	3-Feb	1,000.00 5.0
ENTRUST CAPITAL	040	IR	B	40,500	45.99	n/a	10-Feb	2,025.00 5.0
PATTEN & PATTEN	025	ITW	B	400	54.70	n/a	1-Feb	20.00 5.0
PATTEN & PATTEN	025	IXN	B	1,250	64.99	n/a	n/a	62.50 5.0
PATTEN & PATTEN	025	IYM	B	300	80.24	n/a	n/a	15.00 5.0
PATTEN & PATTEN	025	IYR	B	650	59.54	n/a	n/a	32.50 5.0
BARROW HANLEY MEWHINNEY	031	JNJ	B	17,000	60.94	n/a	n/a	595.00 3.5
PATTEN & PATTEN	025	JNJ	S	1,000	60.60	n/a	n/a	50.00 5.0
GREEN ARROW DOUG	040	JNY	B	25,000	13.69	n/a	10-Feb	1,250.00 5.0
⌘ BUCK CAPITAL MGMT		JOSB	S	10,000	47.34	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		JOSB	S	10,000	47.69	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		JPM	B	600	45.95	n/a	16-Feb	non-brg 3.0
⌘ BUCK CAPITAL MGMT		JPM	B	900	45.95	n/a	16-Feb	non-brg 3.0
NEUBERGER BERMAN	036	KELYA	B	35,000	22.00	n/a	n/a	1,400.00 4.0
⌘ BUCK CAPITAL MGMT		KKR	B	1,300	16.22	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		KKR	B	1,900	16.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		KKR	B	2,200	16.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		KKR	B	2,800	16.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		KKR	B	21,300	16.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		KKR	B	700	16.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		KKR	B	900	16.22	n/a	n/a	non-brg	4.0
CAERUS GLOBAL	041	KR	S	13,389	23.35	n/a	n/a	535.56	4.0
GREEN ARROW DOUG	040	KSS	B	22,801	53.13	n/a	7-Feb	1,140.05	5.0
f ALEXANDRA ELISE ZOLI	FID	KWS	B	500	9.58	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	LGND	S	5000	9.93	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	LIZ	S	3000	5.01	n/a	7-Oct	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	MCD	B	100	76.19	n/a	8-Feb	0.00	0.0
ß BUCK CAPITAL MGMT		MDC	B	1,000	26.66	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MDC	B	1,600	26.66	n/a	n/a	non-brg	3.0
Õ Nilsa Vazquez		MGM	B	250	14.00	n/a	14-Feb	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	MI	S	5000	7.52	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	028	MPXL	B	25,000	7.27	n/a	22-Feb	1,000.00	4.0
PATTEN & PATTEN	025	MRK	S	2,000	32.52	n/a	n/a	100.00	5.0
CAXTON PART	040	MS	C	50,000	29.59	n/a	14-Feb	1,750.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	MW	S	20,000	27.12	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	M	B	20,000	23.38	n/a	7-Feb	600.00	3.0
ß BUCK CAPITAL MGMT		M	B	2,200	23.46	n/a	7-Feb	non-brg	3.0
ß BUCK CAPITAL MGMT		M	B	3,500	23.46	n/a	7-Feb	non-brg	3.0
SOROS	036	M	B	83,500	23.88	n/a	7-Feb	3,340.00	4.0
f JASON ERIC COHEN	FID	NFLX	S	15	222.38	n/a	n/a	0.00	0.0
HILLIARD LYONS CAP MGMT	047	NKE	B	110	88.09	n/a	n/a	3.30	3.0
PATTEN & PATTEN	025	NLY	B	1,250	17.56	n/a	n/a	62.50	5.0
f GAJANTHAN BALAKANES	FID	NM	B	94	5.34	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	NUAN	B	11,000	19.26	n/a	n/a	550.00	5.0
f ALEXANDRA ELISE ZOLI	FID	NWY	S	2000	6.63	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ODFL	B	30,000	31.51	n/a	n/a	non-brg	1.0
GREEN ARROW DOUG	040	ODP	B	15,000	5.43	n/a	n/a	750.00	5.0
ß BUCK CAPITAL MGMT		OZM	B	1,300	16.01	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	1,900	16.01	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	2,200	16.01	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	2,900	16.01	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	21,500	16.01	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	700	16.01	n/a	n/a	non-brg	4.0



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B BUCK CAPITAL MGMT		OZM	B	900	16.01	n/a	n/a	non-brg	4.0
f IAN L MILLER	FID	PBR	B	30	38.61	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PCAR	B	10,000	51.28	n/a	2-Feb	non-brg	3.0
f MARC PAUL LUCHANSKY	FID	PCAR	S	500	50.97	n/a	2-Feb	0.00	0.0
B BUCK CAPITAL MGMT		PERY	B	10,000	28.97	n/a	n/a	non-brg	4.0
SCHOTTENFELD ASSOC	041	PKG	B	25,000	29.16	n/a	17-Feb	750.00	3.0
SURSUM CAPITAL	215	PVH	SS	10,000	59.40	n/a	1-Feb	300.00	3.0
PATTEN & PATTEN	025	QCOM	B	1,300	58.23	n/a	n/a	65.00	5.0
PATTEN & PATTEN	025	RF	S	900	7.62	n/a	n/a	45.00	5.0
BUCK CAPITAL MGMT	001	RL	B	10,000	124.66	n/a	10-Feb	300.00	3.0
f JONATHAN RICHARD HAR	FID	RRR	S	225	13.32	n/a	12-Jan	0.00	0.0
THOMPSON SIEGAL&WALMSLEY	029	RRR	B	62,650	13.30	n/a	12-Jan	2,496.13	4.0
f MATTHEW FRANCIS BUCK	FID	SBUX	B	200	33.33	n/a	27-Jan	0.00	0.0
B BUCK CAPITAL MGMT		SHOO	B	20,000	42.99	n/a	n/a	non-brg	1.0
THE JAY GOLDMAN	028	SHO	B	10,000	10.80	n/a	n/a	400.00	4.0
f MARC PAUL LUCHANSKY	FID	SLB	S	200	91.99	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	SPG	S	150	107.78	n/a	n/a	0.00	0.0

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<b>f PEARL LUCHANSKY</b>	<b>FID</b>	<b>SPY</b>	<b>S</b>	<b>1000</b>	<b>131.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	SRZ	B	10,850	9.15	n/a	n/a	542.50	5.0
PATTEN & PATTEN	025	STI	B	650	31.22	n/a	n/a	32.50	5.0
<b>f CHARLES MAXWELL KAIC</b>	<b>FID</b>	<b>STVI</b>	<b>S</b>	<b>1000</b>	<b>4.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FIRST NEW YORK LEDDY	035	S	S	20,000	4.39	n/a	n/a	800.00	4.0
BNP PARIBAS SEC	040	TGT	B	25,000	51.56	n/a	18-Feb	1,250.00	5.0
<b>f JOHN GRASSANO</b>	<b>FID</b>	<b>TGT</b>	<b>B</b>	<b>35</b>	<b>51.00</b>	<b>n/a</b>	<b>18-Feb</b>	<b>0.00</b>	<b>0.0</b>
COOPER CREEK PART	028	TIN	SS	10,300	24.60	n/a	8-Feb	412.00	4.0
SENATOR INVESTMENT GROUP	036	TIN	B	50,000	24.16	n/a	8-Feb	1,500.00	3.0
<b>f CHARLES MAXWELL KAIC</b>	<b>FID</b>	<b>TIVO</b>	<b>B</b>	<b>500</b>	<b>10.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>TLB</b>	<b>S</b>	<b>1800</b>	<b>6.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	T	S	6,000	28.36	n/a	n/a	300.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>UAL</b>	<b>B</b>	<b>4,600</b>	<b>24.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>UAL</b>	<b>B</b>	<b>7,600</b>	<b>24.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f CLIFFORD A LONGLEY</b>	<b>FID</b>	<b>UPRO</b>	<b>B</b>	<b>1</b>	<b>233.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>VFC</b>	<b>B</b>	<b>150,000</b>	<b>97.41</b>	<b>n/a</b>	<b>20-Jan</b>	<b>4,500.00</b>	<b>3.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>VMW</b>	<b>S</b>	<b>200</b>	<b>84.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VNO</b>	<b>S</b>	<b>1000</b>	<b>89.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>VXX</b>	<b>S</b>	<b>15000</b>	<b>32.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	WFC	B	750	31.91	n/a	14-Feb	37.50	5.0
RBC CAPITAL MARKETS	040	WMT	SS	30,000	53.20	n/a	22-Feb	1,200.00	4.0
BNP PARIBAS SEC	040	WRC	SS	10,000	56.08	n/a	20-Jan	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>20,000</b>	<b>56.36</b>	<b>n/a</b>	<b>20-Jan</b>	<b>non-brg</b>	<b>4.0</b>
VISIUM/BALY BOBBY	044	WRC	S	2,100	57.32	n/a	20-Jan	105.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>XLFX</b>	<b>C</b>	<b>102,600</b>	<b>16.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
PATTEN & PATTEN	025	XOM	S	500	86.05	n/a	n/a	25.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>54,900</b>	<b>49.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>TOTAL (Trade Date) :</b>								<b>78,881.54</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CXL	02/18	SANDLER O'NEILL ASSET	031	MS	S	45,000	31.00	n/a 14-Feb	2250.00 5.0
AO,	02/18	SANDLER O'NEILL ASSET	031	MS	S	45,000	31.00	n/a 14-Feb	2250.00 5.0
AO,CRT	02/18	SCOPUS FUND	041	TGT	B	73,043	51.90	n/a 18-Feb	2921.72 4.0
AO,CXL	02/18	SCOPUS FUND	041	TGT	B	73,043	51.90	n/a 18-Feb	2921.72 4.0
<b>TOTAL (AO, CXL, CRT) :</b>									<b>0.00</b>

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TOTAL (Trade Date) :	78,881.54
TOTAL (AO, CXL, CRT) :	0.00

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<b>TOTAL (RUN DATE) / NFS :</b>	<b>78,881.54</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CXL	02/16	BUCK CAPITAL MGMT.	ZVZZT	B	150	3.00	n/a	n/a	2.00	
CRT	02/16	BUCK CAPITAL MGMT	ZVZZT	B	150	3.00	n/a	n/a	3.00	2.0
CXL	02/16	BUCK CAPITAL MGMT.	ZVZZT	B	100	3.00	n/a	n/a	1.00	
CRT	02/16	BUCK CAPITAL MGMT	ZVZZT	B	100	3.00	n/a	n/a	3.00	3.0

MRI Securities with Trade Activity on 22-Feb.  
NONE

MRI Securities without Trade Activity on 22-Feb.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 23%  
YTD = 4%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**