



Daily Compliance Activity Report

Trade Date: 08/15/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J L F ASSET MGMT	039	ACMR	S	11,700	15.83	n/a	n/a	468.00	4.0
CARDINAL CAPITAL	044	AEOS	S	15,600	36.45	16-Aug	16-Aug	780.00	5.0
BALYASNY ASSET MGMT	044	AMG	B	10,000	92.24	26-Jul	31-Jul	500.00	5.0
J L F ASSET MGMT	039	ANF	B	25,200	54.94	6-Apr	12-Aug	1,008.00	4.0
MATTHES CAPITAL MGMT	033	ANF	S	20,000	55.56	6-Apr	12-Aug	800.00	4.0
THE JAY GOLDMAN	034	ANF	S	45,000	55.33	6-Apr	12-Aug	1,800.00	4.0
THE JAY GOLDMAN	034	ARO	S	20,000	21.77	6-Jul	10-Aug	800.00	4.0
ROYCE TRADING	216	ARS	S	10,000	51.84	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	BAC	B	15,000	52.00	19-Jul	10-Aug	750.00	5.0
BALYASNY ASSET MGMT	044	BBT	B	10,000	42.94	n/a	n/a	500.00	5.0
J L F ASSET MGMT	039	BEBE	B	20,014	17.96	n/a	n/a	800.56	4.0
BUCK CAPITAL MGMT	001	BID	S	25,000	30.35	n/a	n/a	1,000.00	4.0
LEDDY	035	BONT	B	100	25.28	n/a	4-Aug	0.00	0.0
MULLINS	056	BONT	S	250	25.58	n/a	4-Aug	0.00	0.0
IRONBOUND CAPITAL	012	BOW	S	2,000	21.90	13-Jul	13-Jul	100.00	5.0
BUCK CAPITAL MGMT	001	CAL	S	5,000	23.12	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRIESS MGMT	042	CCK	B	156,200	17.49	n/a	n/a	7,029.00	4.5
BANK OF NEW YORK	040	CENT	S	5,350	44.00	3-May	3-Aug	240.75	4.5
J L F ASSET MGMT	039	CHRS	S	127,539	11.13	n/a	14-Aug	5,101.56	4.0
MATTHES CAPITAL MGMT	033	CLE	S	5,000	25.64	4-May	14-Aug	200.00	4.0
SCUDDER HEDGE	034	COH	S	50,000	30.08	n/a	2-Aug	2,000.00	4.0
MATTHES CAPITAL MGMT	033	COST	S	10,000	51.78	n/a	3-Aug	400.00	4.0
BUCK CAPITAL MGMT	001	DDS	S	10,000	33.76	n/a	n/a	400.00	4.0
ROYCE TRADING	216	DDS	S	5,000	33.55	n/a	n/a	250.00	5.0
ROTHSCHILD ASSET	040	DF	B	64,800	38.41	n/a	n/a	2,916.00	4.5
BUCK CAPITAL MGMT	001	DKS	S	50,000	40.20	n/a	n/a	2,000.00	4.0
GRANAHAN INV	039	DLTR	S	63,100	29.31	n/a	4-Aug	3,155.00	5.0
BUCK CAPITAL MGMT	001	DSW	S	50,000	27.86	n/a	n/a	2,000.00	4.0
LUCHANSKY1	036	EFX	S	100	31.82	n/a	n/a	0.00	0.0
LEDDY	035	EVST	B	588	13.17	n/a	n/a	0.00	0.0
LEDDY	035	FBR	S	1,500	9.27	n/a	n/a	0.00	0.0
GLG PARTNERS	034	FD	B	200,000	37.71	12-Jun	10-Aug	10,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	FOE	S	10,000	16.58	n/a	n/a	0.00	0.0
EMPYREAN CAP	036	FRX	S	35,000	47.03	18-Jul	14-Aug	1,750.00	5.0
OGORMAN	146	GBKVF	S	18,000	0.33	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	GM	S	10,000	30.34	n/a	n/a	400.00	4.0
LISTED ERR	099	GWW	B	3,400	61.51	17-Jul	14-Aug	0.00	0.0
LISTED ERR	099	GWW	S	3,400	61.59	17-Jul	14-Aug	0.00	0.0
MKT MAKER	099	GYMB	S	1,000	29.72	10-Aug	11-Aug	0.00	0.0
BALYASNY ASSET MGMT	044	HD	B	50,000	34.49	n/a	14-Aug	2,500.00	5.0
SECOND CURVE	031	HRH	B	50,000	40.95	n/a	n/a	2,500.00	5.0
BONANZA PARTNERS	031	HURC	B	18,000	22.88	n/a	n/a	900.00	5.0
WHEELER	008	IMCO	S	15,000	7.35	n/a	n/a	0.00	0.0
MCCARTAN	028	ITCD	S	1,000	1.60	n/a	n/a	0.00	0.0
KARP	023	IWM	S	300	69.30	n/a	n/a	0.00	0.0
FRIESS MGMT	042	JCG	B	800	24.99	n/a	26-Jun	36.00	4.5
THE JAY GOLDMAN	034	JCG	B	35,000	25.20	n/a	26-Jun	1,400.00	4.0
COMMERCE BANK	046	JCP	B	61,800	67.63	5-May	10-Aug	3,090.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JNY	B	30,000	27.70	n/a	16-Aug	1,200.00	4.0
LEVIN CAPITAL STRATEGIES	012	JNY	S	5,000	28.30	n/a	16-Aug	200.00	4.0
LEVIN CAPITAL STRATEGIES	012	KWD	S	20,000	26.25	n/a	n/a	800.00	4.0
ROYCE TRADING	216	LII	B	25,000	22.59	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	LIZ	B	30,000	35.82	n/a	26-Jul	1,200.00	4.0
BUCK CAPITAL MGMT	001	LTD	B	120,000	26.56	9-May	14-Aug	4,800.00	4.0
LATEFF MGMT	040	MCD	S	2,000	35.35	n/a	25-Jul	4.00	0.2
THIRD POINT MGMT	044	MCD	S	260,000	35.54	n/a	25-Jul	10,400.00	4.0
HERMES	048	MENT	S	50,000	14.09	n/a	n/a	2,500.00	5.0
LUCHANSKY1	036	MRH	S	100	18.02	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	MXIM	S	23,735	27.74	n/a	n/a	1,186.75	5.0
SUNOVA CAPITAL	031	NAL	B	100,000	14.32	n/a	n/a	5,000.00	5.0
BALYASNY ASSET MGMT	044	NCC	B	20,000	35.93	n/a	n/a	1,000.00	5.0
BALYASNY ASSET MGMT	044	NDE	B	15,000	41.33	n/a	n/a	750.00	5.0
OGORMAN	146	OPY	S	500	27.65	n/a	n/a	0.00	0.0
TPG-AXON	040	ORCL	S	300,000	15.65	n/a	n/a	15,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	PLCE	B	21,200	56.08	1-May	10-Aug	848.00	4.0
BUCK CAPITAL MGMT	001	PSS	S	10,000	26.77	n/a	n/a	400.00	4.0
J L F ASSET MGMT	039	PVH	B	7,500	36.69	8-Jun	8-Jun	300.00	4.0
ROYCE TRADING	216	QD	S	10,000	1.77	n/a	n/a	300.00	3.0
WHEELER	008	RGX	S	15,000	3.16	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	RL	S	50,000	58.02	16-Jun	9-Aug	2,500.00	5.0
J L F ASSET MGMT	039	RL	S	13,600	58.23	16-Jun	9-Aug	544.00	4.0
ROARING BLUE LION MGMT	012	RL	S	2,600	58.15	16-Jun	9-Aug	104.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	60,000	14.37	n/a	n/a	2,400.00	4.0
MFP INVESTORS	032	SKS	B	45,000	15.65	n/a	n/a	2,250.00	5.0
MKT MAKER	099	SONC	B	100	21.44	n/a	n/a	0.00	0.0
LATEFF MGMT	040	SVU	B	2,500	26.83	n/a	n/a	4.00	0.2
BALYASNY ASSET MGMT	044	TGT	B	50,000	48.26	n/a	10-Aug	2,500.00	5.0
J L F ASSET MGMT	039	TGT	S	41,200	48.34	n/a	10-Aug	1,648.00	4.0
LEVIN CAPITAL STRATEGIES	012	TJX	S	15,000	26.71	16-Aug	16-Aug	600.00	4.0
J L F ASSET MGMT	039	TLB	S	3,492	21.18	n/a	14-Aug	139.68	4.0
J L F ASSET MGMT	039	TWB	B	97,100	37.53	16-May	14-Aug	3,884.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	TWB	S	100,700	37.77	16-May	14-Aug	4,028.00	4.0
BARON ASSET MGMT*	012	URBN	B	75,000	16.57	9-Aug	9-Aug	3,750.00	5.0
SOMERSET ADV	044	USIH	B	5,000	12.49	n/a	n/a	250.00	5.0
SCUDDER HEDGE	034	VFC	S	25,000	68.63	n/a	n/a	1,000.00	4.0
BALYASNY ASSET MGMT	044	WB	B	10,000	55.09	n/a	n/a	500.00	5.0
FARALLON CAP MGMT	040	WEN	B	42,500	59.84	25-Jul	27-Jul	1,700.00	4.0
FARALLON CAP MGMT	040	WMI	S	43,800	34.76	n/a	n/a	1,752.00	4.0
BUCK CAPITAL MGMT	001	WSM	S	10,000	33.75	n/a	n/a	400.00	4.0
TOTAL :								135,367.30	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,	AELTUS/ING INVESTMENT MGT (AELTIGIF)	237	BAC	S	38,700	51.73	19-Jul	10-Aug	1,935.00	5.0
AO,	AELTUS/ING INVESTMENT MGT (AELTIGIF)	237	C	S	17,200	47.92	n/a	10-Aug	860.00	5.0
AO,	MKT MAKER (MKT MAKER)	099	CAKE	S	37	23.07	28-Jun	28-Jul	0.00	0.0
AO,	CIRCLE T PART (CIRCMAN)	035	EAT	S	20,500	35.75	26-Jul	10-Aug	820.00	4.0
AO,	AELTUS/ING INVESTMENT MGT (AELTIGIF)	237	GR	S	21,200	37.95	14-Jun	27-Jul	1,060.00	5.0
AO,	SAILFISH (SAILG3)	037	GWV	B	15,000	61.59	17-Jul	14-Aug	750.00	5.0
AO,CXL	MKT MAKER (MKT MAKER)	099	GYMB	B	19,918	29.48	10-Aug	11-Aug	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	GYMB	B	19,918	29.48	10-Aug	11-Aug	0.00	0.0
AO,	MKT MAKER (MKT MAKER)	099	GYMB	S	19,918	29.48	10-Aug	11-Aug	0.00	0.0
AO,	FARALLON CAP MGMT (FARABULK F)	040	H	B	23,600	20.81	n/a	n/a	944.00	4.0
AO,CXL	FARALLON CAP MGMT (FARABULK F)	040	H	B	23,600	20.81	n/a	n/a	708.00	3.0
AO,	AELTUS/ING INVESTMENT MGT (AELTIGIF)	237	HST	S	57,000	20.88	n/a	n/a	2,850.00	5.0
AO,CRT	MKT MAKER (MKT MAKER)	099	IMCL	B	200	28.65	n/a	n/a	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	IMCL	B	2,700	28.61	n/a	n/a	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	IMCL	B	1,800	28.66	n/a	n/a	0.00	0.0
AO,CXL	BONANZA PARTNERS (BONZBAC PB)	099	IPSU	S	5,500	28.00	n/a	n/a	275.00	5.0
AO,	BONANZA PARTNERS (BONZBAC PB)	031	IPSU	S	5,500	28.00	n/a	n/a	275.00	5.0
AO,	ADAGE CAPITAL MGMT (ADAGESHORT)	030	JWN	S	75,000	35.44	n/a	14-Aug	3,000.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	ADAGE CAPITAL MGMT (ADAGLONG)	030	JWN	S	75,000	35.44	n/a	14-Aug	3,000.00 4.0
AO,	AELTUS/ING INVESTMENT MGT (AELTIGIF)	237	PBI	S	30,600	42.33	n/a	n/a	1,530.00 5.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.