



# Daily Compliance Activity Report

Trade Date: 03/23/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	S	620	105.13	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AEO+QU[???	C	3	0.15	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AEO	S	3,230	11.65	n/a	12-Mar	non-brg	4.0
BUCK CAPITAL MGMT		ALV+RV[???	C	6	0.60	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		ALV	C	1,780	17.58	12-Mar	12-Mar	non-brg	4.0
TRELLUS PARTNERS LP	028	ALV	SS	5,000	17.58	12-Mar	12-Mar	250.00	5.0
BUCK CAPITAL MGMT		AMB	C	60	14.08	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AN+PU[???	C	6	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		ANF	C	660	22.96	n/a	6-Feb	non-brg	4.0
BUCK CAPITAL MGMT		AN	C	1,190	12.45	30-Jan	30-Jan	non-brg	4.0
NEWLAND CAPITAL MGT	067	AOS	S	16,600	24.10	12-Feb	12-Feb	664.00	4.0
BUCK CAPITAL MGMT		ARE	C	80	34.66	n/a	n/a	non-brg	4.0
BASIX	033	ARG	SS	15,000	33.39	23-Mar	23-Mar	450.00	3.0
BUCK CAPITAL MGMT		ARO	C	300	25.53	n/a	13-Mar	non-brg	4.0
BUCK CAPITAL MGMT		AVB	C	40	47.39	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		AVP+PD[???	C	3	1.75	n/a	n/a	non-brg 300.0	
ß BUCK CAPITAL MGMT		AVP+PW[???	C	3	0.60	n/a	n/a	non-brg 100.0	
ß BUCK CAPITAL MGMT		AVP+SC[???	SS	1,000	0.97	n/a	n/a	non-brg 100.0	
ß BUCK CAPITAL MGMT		AVP	C	1,460	18.73	25-Feb	20-Mar	non-brg 4.0	
ß BUCK CAPITAL MGMT		BBBY	C	2,240	23.94	n/a	n/a	non-brg 4.0	
ß BUCK CAPITAL MGMT		BBY+RT[???	C	6	0.35	n/a	n/a	non-brg 100.0	
ß BUCK CAPITAL MGMT		BDK	C	260	27.58	n/a	n/a	non-brg 4.0	
NEUBERGER BERMAN	036	BDN	S	18,500	2.90	n/a	n/a	370.00	2.0
ß BUCK CAPITAL MGMT		BHQ+PW[???	C	3	0.10	n/a	n/a	non-brg 100.0	
ß BUCK CAPITAL MGMT		BHQ+QD[???	C	6	0.60	n/a	n/a	non-brg 100.0	
ß BUCK CAPITAL MGMT		BHQ+QW[???	C	15	0.30	n/a	n/a	non-brg 100.0	
ß BUCK CAPITAL MGMT		BIG	C	600	20.72	4-Mar	6-Mar	non-brg 4.0	
ß BUCK CAPITAL MGMT		BJ	C	450	30.78	n/a	27-Jul	non-brg 4.0	
ß BUCK CAPITAL MGMT		BKC	C	450	23.16	6-Feb	3-Mar	non-brg 4.0	
CADIAN CAPITAL	067	BKE	SS	60,000	31.05	n/a	n/a	2,400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BKS+PB[???	C	3	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		BKS+PC[???	C	3	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		BKS+PV[???	C	6	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		BKS+SV[???	C	3	0.35	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		BKS	C	2,100	22.35	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	BLK	B	25,000	123.06	4-Mar	20-Mar	1,250.00	5.0
WINSLOW CAPITAL	030	BLK	S	71,530	121.65	4-Mar	20-Mar	2,861.20	4.0
BUCK CAPITAL MGMT		BOBE	C	280	22.13	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BWA+SR[???	C	6	1.05	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		BWA	C	2,863	19.63	12-Mar	19-Mar	non-brg	4.0
f JONATHAN RICHARD HAR	FID	BWA	S	25	20.39	12-Mar	19-Mar	0.00	0.0
BUCK CAPITAL MGMT		BXP	C	120	37.87	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CAB	C	670	8.59	n/a	n/a	non-brg	3.0
RDKC	034	CAKE	B	45,000	11.52	n/a	13-Feb	1,800.00	4.0
BUCK CAPITAL MGMT		CBRL	C	210	28.19	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CCL+PC[???	C	6	0.15	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CCL+PR[???	C	3	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CCL+SR[???	C	3	0.45	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CCL	C	650	22.15	n/a	26-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		CCL	C	74,350	22.91	n/a	26-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		CECO	C	420	22.26	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	CHCG	B	2200	0.69	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CHRS	S	4,190	1.49	n/a	22-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		CHS	S	770	5.06	5-Dec	30-Jan	non-brg	3.0
ß BUCK CAPITAL MGMT		CJY+RH[???	C	12	1.45	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		CMG	C	1,300	62.26	23-Oct	12-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		COCO	C	420	19.70	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		COH+EC[???	C	21	2.32	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		COH	B	10,000	16.42	9-Jan	22-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		COH	B	90,000	16.03	9-Jan	22-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		COH	S	6,989	16.11	9-Jan	22-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		COLM	C	610	30.05	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		COST	C	600	46.20	n/a	27-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		CPKI	C	150	13.70	30-Oct	13-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		CVS+EY[???	C	3	2.00	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		CVS	S	900	28.02	n/a	n/a	non-brg	4.0
PRINCIPLE CAPITAL	040	CVS	B	12,600	27.97	n/a	n/a	378.00	3.0
PRINCIPLE CAPITAL	040	CVS	S	13,779	27.67	n/a	n/a	413.37	3.0
ß BUCK CAPITAL MGMT		CWTR	B	50,000	2.49	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		CWTR	S	800	2.48	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DAI	C	1,010	29.54	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	DAI	B	5,000	29.05	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		DBRN	C	100	11.71	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DBRN	S	100	11.69	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		DECK	B	5,000	45.89	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DECK	S	2,335	48.06	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	DIS	B	2,340	18.56	n/a	n/a	93.60	4.0
ß BUCK CAPITAL MGMT		DIS	C	160	18.54	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DIS	S	33,900	18.55	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	DKS	SS	15,000	15.03	24-Mar	10-Mar	750.00	5.0
ß BUCK CAPITAL MGMT		DLTR	S	500	41.31	10-Feb	6-Mar	non-brg	4.0
GARDNER LEWIS ASSET MGMT	028	DNA	S	25,985	94.26	n/a	n/a	1,299.25	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DQO+EW[???	C	3	0.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		DRI	C	150	35.00	19-Mar	19-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		DSW	C	250	9.96	24-Mar	13-Feb	non-brg	3.0
ß BUCK CAPITAL MGMT		DV+QI[???	C	3	3.40	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		DV	C	360	48.07	n/a	n/a	non-brg	4.0
f WILLIAM RAYMOND KATZ	FID	DXO	B	1000	3.06	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		EAT	C	1,490	14.10	n/a	24-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		EDU+PG[???	C	2	0.30	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		EDU	C	230	48.99	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		EGP	C	50	26.30	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ELS	C	40	39.18	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		EL	C	150	24.37	20-Jan	9-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		EQR	C	60	18.39	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ESS	C	20	59.46	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ETH+QB[???	C	3	1.50	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		ETH	C	220	9.52	n/a	n/a	non-brg	3.0
NEWCASTLE FUNDS	033	ETH	B	65,000	9.85	n/a	n/a	2,600.00	4.0
f KAREN F LEDDY	FID	EV	B	400	19.83	9-Mar	20-Mar	0.00	0.0
⚡ BUCK CAPITAL MGMT		EXBD	C	40	14.37	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FBN	C	520	0.87	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		FDO+PD[???	C	6	0.05	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		FDO+PE[???	C	11	0.25	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		FDO+PW[???	C	5	0.10	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		FDO+PY[???	C	6	0.55	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		FDO	C	1,340	31.36	5-Mar	6-Mar	non-brg	4.0
f PEARL LUCHANSKY	FID	FDRXX	S	100000	1.00	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FINL	S	1,190	6.07	n/a	28-Mar	non-brg	3.0
⚡ BUCK CAPITAL MGMT		FMQ+QV[???	C	6	0.08	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		FMQ+QW[???	C	2	0.10	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		FMQ+TY[???	C	3	0.53	n/a	n/a	non-brg	100.0
COBALT	041	FNB	B	107,700	8.06	n/a	n/a	3,231.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		FOSL	S	6,240	16.18	23-Jan	30-Jan	non-brg	4.0
BUCK CAPITAL MGMT		FO	C	540	24.29	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FRT	C	190	43.93	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FUA+FC[???	C	6	2.85	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	GCO	B	70,000	15.89	n/a	10-Jan	2,800.00	4.0
BUCK CAPITAL MGMT		GCO	S	1,890	15.83	n/a	10-Jan	non-brg	4.0
BUCK CAPITAL MGMT		GES+DD[???	C	6	0.70	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GES	S	3,090	18.75	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GIII	S	9,230	5.23	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		GIL+DU[???	C	6	0.95	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GIL+FB[???	C	9	0.45	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GIL	S	5,900	8.08	11-Dec	12-Feb	non-brg	3.0
CASTLEROCK	040	GIS	B	19,797	49.32	n/a	n/a	791.88	4.0
BUCK CAPITAL MGMT		GMCR	C	640	45.39	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GM	C	120	3.22	19-Feb	12-Mar	non-brg	2.0
BUCK CAPITAL MGMT		GNTX	C	210	9.89	30-Jan	12-Mar	non-brg	3.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
COUGAR TRADING	044	GNTX	B	5,000	9.86	30-Jan	12-Mar	200.00 4.0
⌘ BUCK CAPITAL MGMT		GPS	S	3,690	12.54	n/a	30-Jan	non-brg 4.0
⌘ BUCK CAPITAL MGMT		GQU+DD[???	C	3	0.75	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		GQU+EW[???	C	3	2.60	n/a	n/a	non-brg 300.0
⌘ BUCK CAPITAL MGMT		GQU+EX[???	C	3	0.50	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		GRMN	C	380	21.39	n/a	n/a	non-brg 4.0
STERLING JOHNSTON	035	GR	B	6,170	38.59	4-Feb	4-Feb	246.80 4.0
⌘ BUCK CAPITAL MGMT		GSIC	B	25,400	12.19	n/a	n/a	non-brg 2.0
⌘ BUCK CAPITAL MGMT		GSIC	B	49,000	12.13	n/a	n/a	non-brg 1.5
⌘ BUCK CAPITAL MGMT		GSIC	B	72,179	12.67	n/a	n/a	non-brg 1.0
⌘ BUCK CAPITAL MGMT		GSIC	S	2,570	12.26	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		GYMB	S	6,210	18.82	5-Mar	5-Mar	non-brg 4.0
FIRST NY SEC/CHRIS	041	GYMB	B	8,500	18.32	5-Mar	5-Mar	425.00 5.0
STRAUSS ASSET MGMT	040	GYMB	B	25,000	18.71	5-Mar	5-Mar	1,000.00 4.0
WAGONHOUND/NIC	048	GYMB	B	2,231	18.23	5-Mar	5-Mar	89.24 4.0
BUCK CAPITAL MGMT	001	HBI	B	100,000	9.61	n/a	n/a	3,000.00 3.0
⌘ BUCK CAPITAL MGMT		HD+QC[???	C	3	0.18	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		HD+QW[???	C	3	0.35	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		HD	C	1,180	22.99	n/a	25-Feb	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		HEW	C	20	28.94	n/a	n/a	non-brg 4.0
PROSPECTOR PARTNERS LLC	036	HGT	B	14,100	10.50	n/a	n/a	564.00 4.0
CADIAN CAPITAL	067	HIBB	SS	100,000	18.97	n/a	n/a	4,000.00 4.0
BUCK CAPITAL MGMT		HME	C	20	30.26	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		HOT+QB[???	C	3	0.70	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HOTT	C	2,100	9.83	n/a	30-Jan	non-brg 3.0
BUCK CAPITAL MGMT	001	HOT	B	50,000	12.84	n/a	n/a	2,000.00 4.0
BUCK CAPITAL MGMT		HOT	C	220	12.50	n/a	n/a	non-brg 4.0
KLEINHEINZ CAP PART	031	HRB	S	25,000	17.95	n/a	n/a	1,250.00 5.0
BUCK CAPITAL MGMT		HSII	C	20	15.75	n/a	n/a	non-brg 4.0
MACKLOWE ASSET MGMT	041	HST	S	75,000	4.18	n/a	n/a	2,250.00 3.0
BUCK CAPITAL MGMT		HUO+PC[???	C	5	0.10	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HUO+PV[???	C	3	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HUO+SV[???	C	3	0.30	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HVT	C	100	9.40	n/a	n/a	non-brg 3.0
BUCK CAPITAL MGMT		ICON	S	2,570	8.56	n/a	n/a	non-brg 3.0

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ß BUCK CAPITAL MGMT		IYR	C	420	25.91	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		JCG+RR[???	C	9	0.35	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCG	C	470	12.98	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		JCP+QB[???	C	7	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+QX[???	C	15	0.30	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	JCP	B	119,760	18.28	6-Feb	6-Mar	4,790.40	4.0
ß BUCK CAPITAL MGMT		JCP	C	240	18.28	6-Feb	6-Mar	non-brg	4.0
MARINER/TRICADIA	041	JCP	SS	50,000	18.56	6-Feb	6-Mar	2,500.00	5.0
ß BUCK CAPITAL MGMT		JNY+EA[???	C	24	0.45	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JNY	S	6,180	4.42	n/a	11-Feb	non-brg	2.0
ß BUCK CAPITAL MGMT		JOSB	S	1,770	27.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	C	1,870	15.45	20-Feb	6-Mar	non-brg	4.0
f ALEXANDER A SACCO	FID	KATY	S	499	0.85	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		KCP	S	100	7.14	n/a	28-Sep	non-brg	1.5
ß BUCK CAPITAL MGMT		KCP	S	18,900	7.13	n/a	28-Sep	non-brg	1.0
ß BUCK CAPITAL MGMT		KFY	C	40	8.53	n/a	n/a	non-brg	3.0
BULL PATH CAPITAL	044	KMB	S	2,350	46.08	27-Jan	20-Mar	117.50	5.0

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⌘ BUCK CAPITAL MGMT		KMT	C	300	16.33	n/a	30-Jan	non-brg	4.0
TRELLUS PARTNERS LP	028	KMT	SS	6,700	16.25	n/a	30-Jan	335.00	5.0
UBS OCONNOR	031	KR	B	150,000	20.80	n/a	n/a	4,500.00	3.0
⌘ BUCK CAPITAL MGMT		KSS+DH[???	C	6	3.50	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		KSS+GI[???	C	3	3.60	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		KSS	S	1,469	41.90	17-Mar	17-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LL	S	3,190	10.72	n/a	n/a	non-brg	4.0
WEISS PECK	040	LM	B	7,900	15.00	29-Jan	20-Mar	395.00	5.0
⌘ BUCK CAPITAL MGMT		LNCE	C	180	20.19	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LRY	C	20	18.89	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	S	960	8.48	8-Jan	30-Jan	non-brg	3.0
⌘ BUCK CAPITAL MGMT		LVS	B	300,000	2.57	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		LVS	B	300,000	2.69	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		LVS	S	1,485	2.54	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		M+EB[???	C	9	0.75	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		MAN	C	50	29.85	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MAR+PS[???	C	3	0.20	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	MAR	B	50,000	16.67	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		MAR	C	350	16.30	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MCD	C	440	54.03	13-Oct	9-Mar	non-brg	4.0
TRELLUS PARTNERS LP	028	MGA	SS	13,400	26.84	n/a	n/a	670.00	5.0
ß BUCK CAPITAL MGMT		MHK+QX[???	C	3	1.55	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		MHK	C	600	26.33	n/a	n/a	non-brg	4.0
AVESTA CAP	229	MMM	B	25,000	48.45	8-Dec	17-Mar	1,250.00	5.0
ß BUCK CAPITAL MGMT		MVL+PX[???	C	4	0.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MVL	C	360	23.99	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MW+QB[???	C	3	0.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MW+QU[???	C	6	0.10	n/a	n/a	non-brg	100.0
WAGONHOUND/NIC	048	MWJ	B	1,000	36.54	n/a	n/a	30.00	3.0
ß BUCK CAPITAL MGMT		MWW	C	40	8.06	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MW	C	1,390	14.98	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		M	S	5,370	8.61	n/a	6-Mar	non-brg	3.0
ß BUCK CAPITAL MGMT		NKE+DJ[???	C	6	0.65	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		NKE+GK[???	SS	1,000	1.55	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		NKE	S	1,490	45.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		NWY	S	1,320	2.94	n/a	30-Jan	non-brg	2.0
GARDNER LEWIS ASSET MGMT	028	OEH	S	121,530	4.63	n/a	n/a	4,861.20	4.0
ß BUCK CAPITAL MGMT		OFC	C	50	24.85	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OSTK	C	80	9.70	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		O	C	30	17.00	n/a	n/a	non-brg	4.0
TRELLUS PARTNERS LP	028	PAG	SS	15,000	9.50	n/a	18-Feb	450.00	3.0
TRELLUS PARTNERS LP	028	PCAR	SS	10,000	26.96	20-Nov	17-Mar	500.00	5.0
BULL PATH CAPITAL	044	PENN	S	10,462	22.90	n/a	n/a	523.10	5.0
ß BUCK CAPITAL MGMT		PERY	S	3,380	3.85	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		PETM	C	50,000	20.03	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PFCB	C	670	24.77	8-Jan	12-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		PII	C	180	22.12	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	S	2,448	22.56	19-Mar	19-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	091	PLCE	SS	3,500	22.36	19-Mar	19-Mar	210.00	6.0
⌘ BUCK CAPITAL MGMT		POOL	C	110	13.50	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PRQ+PI[???	C	3	1.20	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		PRQ+SA[???	C	3	0.60	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		PSB	C	50	35.46	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSS+FB[???	C	6	1.50	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		PSS	S	3,411	9.73	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		PSUN	S	1,920	1.66	6-Feb	6-Feb	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PVH+DC[???	C	6	3.70	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		PVH+DW[???	C	15	1.80	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		PVH+FD[???	C	3	1.60	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		PVH+FE[???	C	6	0.40	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		PVH+FW[???	C	6	2.70	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		PVH	S	3,430	18.26	22-Jan	22-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		QAA+GT[???	C	2	14.15	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		QIA+DU[???	C	6	1.50	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		QIA+EB[???	C	3	0.50	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QIA+EU[???	C	3	1.60	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		QPT+PC[???	C	3	0.10	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FJ[???	C	5	5.90	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		QUK+FM[???	C	2	1.55	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		QUK+FO[???	C	1	0.50	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+DF[???	C	2	1.30	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		QZS+DG[???	C	3	0.35	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+DH[???	C	3	0.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+GF[???	C	3	3.00	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		QZS+GG[???	C	3	1.50	n/a	n/a	non-brg 300.0
ß BUCK CAPITAL MGMT		RCII	B	20,000	18.40	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		RCII	S	20,000	18.45	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		RCL	S	1,200	8.30	30-Jan	30-Jan	non-brg 3.0
ß BUCK CAPITAL MGMT		REG	C	80	26.45	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		REQ+QE[???	C	3	0.25	n/a	n/a	non-brg 100.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		REQ+QX[???	C	3	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RHI	C	30	17.70	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RL+PE[???	C	2	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL+PF[???	C	12	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL+PG[???	C	12	0.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL	C	1,311	39.95	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	C	149,054	39.89	n/a	5-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		RL	C	15,109	39.16	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	C	25,000	39.87	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	C	30,096	39.81	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	C	30,441	38.83	n/a	5-Feb	non-brg	1.5
ß BUCK CAPITAL MGMT		RL	C	300	38.52	n/a	5-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		RL	C	50,000	38.83	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		RNT+HF[???	C	3	1.25	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		RNT+HF[???	SS	1,000	1.15	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT	001	RNT	B	70,000	23.70	n/a	n/a	2,800.00	4.0
ß BUCK CAPITAL MGMT		RNT	S	1,670	23.93	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ROST	C	680	34.76	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	SDS	S	1000	82.11	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SDS	S	1000	82.45	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOHN MICHAEL ZOLIDIS	FID	SEF	B	300	83.80	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SIG LN	C	40,000	11.13	n/a	n/a	non-brg	1.7
ß BUCK CAPITAL MGMT		SKF	B	17,971	110.19	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKF	B	3,300	113.22	n/a	n/a	non-brg	2.5
f WILLIAM J GAULT	FID	SKF	B	20000	107.38	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	SKF	S	20000	106.54	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKX+DU[???	C	3	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+GU[???	C	3	0.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX	B	10,000	6.55	n/a	13-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	B	20,000	6.48	n/a	13-Mar	non-brg	3.0
ß BUCK CAPITAL MGMT		SKX	S	10,216	6.36	n/a	13-Mar	non-brg	3.0
ß BUCK CAPITAL MGMT		SLG	C	170	11.58	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SPG	C	120	35.11	n/a	n/a	non-brg	4.0
CUMBERLAND	038	SPY	B	50,000	80.67	n/a	n/a	1,500.00	3.0
f MARC PAUL LUCHANSKY	FID	SPY	B	500	81.05	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SPY	B	500	81.15	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SUMR	S	4,360	1.75	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		THI+PD[???	C	2	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TIF+QC[???	C	6	0.25	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		TIF+QW[???	C	3	0.55	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		TIF+TB[???	C	3	0.30	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		TIF	C	600	23.06	n/a	30-Jan	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	TIF	S	2000	22.50	n/a	30-Jan	0.00	0.0
HARP	012	TIN	B	100,000	4.87	5-Dec	20-Mar	4,000.00	4.0
B BUCK CAPITAL MGMT		TPX+FS[???	C	3	1.25	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		TQQ+PE[???	C	3	0.45	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		TQQ+PF[???	C	3	2.00	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		TRLG	S	30,000	11.28	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TRLG	S	511	11.54	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TSCO	C	150	35.99	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TUY+DD[???	C	6	3.30	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		TUY+FG[???	C	5	0.15	n/a	n/a	non-brg	100.0
f EDWARD W WHEELER	FID	TXT	S	10000	6.23	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	TYC	S	38,793	20.35	12-Nov	3-Feb	1,939.65	5.0
B BUCK CAPITAL MGMT		UHO+QU[???	C	12	0.30	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		UHO+TU[???	SS	1,000	0.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		UNFI	C	210	17.90	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	1,602	17.36	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	130,000	16.85	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		URQ+FD[???	C	3	1.15	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		URQ+FW[???	C	3	2.20	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		URQ+FX[???	C	6	0.55	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		VFC	S	300	57.30	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		VNO	C	17	35.25	n/a	n/a	non-brg	4.0
f RAGHAV NAYAR	FID	VSTN	S	250	0.21	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WAA+MN[???	C	6	1.33	n/a	n/a	non-brg	300.0
BARCLEYS CAP (OLD LEHM)	041	WAG	S	200,000	26.62	n/a	n/a	8,000.00	4.0
GARDNER LEWIS ASSET MGMT	028	WFC	B	60,750	16.24	n/a	n/a	3,037.50	5.0
ß BUCK CAPITAL MGMT		WFMI	C	2,370	15.90	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WINN	C	270	9.76	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		WMS+SV[???	C	6	0.45	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		WMT+DJ[???	C	6	1.99	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		WMT+DX[???	C	3	0.81	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		WMT+FK[???	SS	1,000	1.32	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	WMT	S	130,000	50.08	10-Oct	6-Mar	5,200.00	4.0
BUCK CAPITAL MGMT		WMT	S	2,523	50.65	10-Oct	6-Mar	non-brg	4.0
BUCK CAPITAL MGMT		WRC+DD[???	C	3	2.70	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		WRC+DE[???	C	12	0.35	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		WRC+GE[???	C	15	2.20	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		WRC	S	7,110	21.42	27-Feb	27-Feb	non-brg	4.0
BUCK CAPITAL MGMT		WRI	C	250	9.25	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		WSM+QA[???	C	9	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		WSM+QS[???	C	3	0.40	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		WSM+TA[???	C	15	0.35	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		WSM	C	4,400	10.68	n/a	n/a	non-brg	4.0
GALT INVESTMENT	041	WTSIA	S	30,000	3.18	n/a	n/a	1,500.00	5.0
BUCK CAPITAL MGMT		WYNN	C	270	21.49	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		XRT	C	19,440	22.32	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		YUM+PX[???	C	5	0.20	n/a	n/a	non-brg 100.0
NEWCASTLE FUNDS	033	YUM	S	40,000	28.02	n/a	5-Feb	1,600.00 4.0
BUCK CAPITAL MGMT		ZJQ+DB[???	C	3	2.10	n/a	n/a	non-brg 300.0
BUCK CAPITAL MGMT		ZLC	C	550	1.20	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		ZQK	S	2,840	1.17	12-Mar	12-Mar	non-brg 2.0
BUCK CAPITAL MGMT		ZWR+PW[???	C	7	0.30	n/a	n/a	non-brg 100.0
TOTAL (Trade Date):								90,386.69

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/20	EOS PARTNERS	045	CX	SS	20,000	5.21	n/a	n/a	800.00 4.0
AO,CXL	03/20	EOS PARTNERS	045	CX	SS	20,000	5.21	n/a	n/a	1000.00 5.0
AO,CRT	03/20	EOS PARTNERS	045	HNT	S	20,000	15.41	n/a	n/a	800.00 4.0
AO,CXL	03/20	EOS PARTNERS	045	HNT	S	20,000	15.41	n/a	n/a	1000.00 5.0
AO,CRT	03/18	SENATOR INVESTMENT GROUP	036	PBI	S	50,000	21.61	n/a	n/a	2000.00 4.0
AO,CXL	03/18	SENATOR INVESTMENT GROUP	036	PBI	S	50,000	21.61	n/a	n/a	2000.00 4.0
AO,CXL	03/20	TRIBE CAP/MILLENNIUM	041	STT	B	15,000	26.05	n/a	n/a	750.00 5.0
AO,CRT	03/20	TRIBE CAP/MILLENNIUM	041	STT	B	15,000	26.05	n/a	n/a	750.00 5.0
AO,CXL	03/20	EOS PARTNERS	045	WLP	S	5,000	35.85	n/a	n/a	250.00 5.0
AO,CRT	03/20	EOS PARTNERS	045	WLP	S	5,000	35.85	n/a	n/a	200.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-450.00</b>
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TOTAL (Trade Date):	90,386.69
TOTAL (AO, CXL, CRT):	-450.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>89,936.69</b>
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MRI Securities with Trade Activity on 23-Mar.

ARG

MRI Securities without Trade Activity on 23-Mar.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 27%

YTD = 24%



<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
BCM Watch List Trades
f: Employee Trades (Fidelity)