



# Daily Compliance Activity Report

Trade Date: 04/10/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	S	2,400	632.56	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AAPL	S	5,000	631.89	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	AAPL	S	100	630.68	n/a	n/a	5.00	5.0
BUCK CAPITAL MGMT		ABG	S	5,500	26.45	n/a	3-Apr	non-brg	4.0
NWQ INVESTMENT MGMT CO	084	ABX	S	3,800	41.07	n/a	n/a	114.00	3.0
BUCK CAPITAL MGMT		ACAT	S	9,000	39.98	n/a	n/a	non-brg	4.0
KINGDON ASSET MGMT	041	AEO	S	145,000	16.61	n/a	2-Dec	5,800.00	4.0
RBC CAPITAL MARKETS	040	AEO	B	9,801	16.29	n/a	2-Dec	392.04	4.0
SIMMONS VENTURES	041	AEO	B	10,000	16.74	n/a	2-Dec	400.00	4.0
STELLIAM INV ADV	034	AMTD	SS	50,000	18.73	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT		ANF	S	2,000	46.99	n/a	16-Feb	non-brg	4.0
SENATOR INVESTMENT GROUP	036	APC	B	50,000	76.05	n/a	14-Mar	1,500.00	3.0
BUCK CAPITAL MGMT		ARCO	B	27,800	17.97	n/a	6-Mar	non-brg	4.0
BUCK CAPITAL MGMT		ARO	B	24,600	20.39	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ARO	S	55,000	20.29	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ASNA	S	50,000	21.04	n/a	n/a	non-brg	4.0
DC CAPITAL	044	ATI	B	16,500	38.23	n/a	5-Apr	660.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MOORE CAPITAL	041	AXL	SS	50,000	10.58	n/a	6-Feb	1,500.00	3.0
⌘ BUCK CAPITAL MGMT		BBBY	S	20,000	69.37	n/a	n/a	non-brg	4.0
KINGDON ASSET MGMT	041	BJRI	S	18,000	48.25	n/a	n/a	720.00	4.0
CATAPULT	040	BKE	SS	16,348	45.57	n/a	n/a	653.92	4.0
⌘ BUCK CAPITAL MGMT		BKS	S	5,000	12.11	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BODY	B	20,000	26.24	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BODY	S	6,000	25.68	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BONT	B	11,900	7.03	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		BONT	S	5,000	7.15	n/a	n/a	non-brg	2.5
KINGDON ASSET MGMT	041	BWLD	S	14,500	86.18	n/a	n/a	580.00	4.0
⌘ BUCK CAPITAL MGMT		BYD	B	40,000	7.80	n/a	n/a	non-brg	3.0
SAGE ASSET MGMT	025	BZ	S	34,000	7.27	n/a	n/a	1,360.00	4.0
⌘ BUCK CAPITAL MGMT		CAR	B	39,500	12.70	n/a	n/a	non-brg	4.0
CAPITAL RESEARCH GROUP	296	CCL	B	100,000	30.49		n/a	n/a	3,500.00 3.5
⌘ BUCK CAPITAL MGMT		CHRS	S	100,000	6.00	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		CHRS	S	70,000	5.97	n/a	n/a	non-brg	0.8
⌘ BUCK CAPITAL MGMT		COH	S	20,000	73.21	n/a	2-Mar	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		COH	S	8,000	73.19	n/a	2-Mar	non-brg	4.0
	KINGDON ASSET MGMT	041	COH	S	14,750	74.64	n/a	2-Mar	590.00	4.0
	RBC CAPITAL MARKETS	040	COH	SS	5,000	74.46	n/a	2-Mar	200.00	4.0
	SCOPUS FUND	041	COH	B	14,619	73.41	n/a	2-Mar	584.76	4.0
ß	BUCK CAPITAL MGMT		COST	S	7,000	86.61	n/a	n/a	non-brg	4.0
	KINGDON ASSET MGMT	041	CPB	S	4,075	33.21	n/a	n/a	163.00	4.0
	COLUMBUS CIRCLE	036	CREE	B	2,658	30.16	n/a	n/a	106.32	4.0
ß	BUCK CAPITAL MGMT		CROX	B	25,000	19.38	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		CROX	S	19,000	19.28	n/a	n/a	non-brg	4.0
	ROARING BLUE LION MGMT	031	CROX	S	14,700	19.59	n/a	n/a	588.00	4.0
f	JONATHAN RICHARD HAR	FID	CVGI	B	100	10.39	n/a	n/a	0.00	0.0
	PATTEN & PATTEN	025	CVX	S	50	101.73	n/a	n/a	2.50	5.0
f	FRANCIS MICHAEL MCCA	FID	DAN	S	1000	14.70	n/a	22-Feb	0.00	0.0
	SCOPUS FUND	041	DAN	S	22,500	14.41	n/a	22-Feb	900.00	4.0
ß	BUCK CAPITAL MGMT		DECK	S	4,000	62.89	n/a	n/a	non-brg	4.0
	NWQ INVESTMENT MGMT CO	084	DEL	B	16,685	59.74	n/a	n/a	667.40	4.0
ß	BUCK CAPITAL MGMT		DG	S	8,000	45.53	n/a	23-Mar	non-brg	4.0
f	MANNY I KORMAN	FID	DIS	S	5000	41.18	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		DKS	S	20,000	47.96	n/a	9-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		DKS	S	3,500	47.98	n/a	9-Apr	non-brg	4.0
EDWARD W WHEELER	FID	ESSX	B	30000	3.04	n/a	n/a	0.00	0.0
JASON ERIC COHEN	FID	ESSX	B	500	3.18	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		FBHS	B	25,000	20.23	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FDO	B	20,000	64.20	n/a	3-Apr	non-brg	4.0
BUCK CAPITAL MGMT		FDO	B	8,000	62.87	n/a	3-Apr	non-brg	4.0
BUCK CAPITAL MGMT		FDO	S	3,500	62.95	n/a	3-Apr	non-brg	4.0
BUCK CAPITAL MGMT		FINL	S	35,000	21.01	n/a	2-Apr	non-brg	4.0
BUCK CAPITAL MGMT		FITB	S	100,000	14.02	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FL	S	24,000	30.25	n/a	7-Mar	non-brg	4.0
BUCK CAPITAL MGMT		FL	S	40,000	30.09	n/a	7-Mar	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	FOR	B	10,190	14.98	n/a	23-Feb	509.50	5.0
OZ MGMT	040	F	C	113,590	11.76	n/a	4-Apr	3,407.70	3.0
ROSEDALE CAPITAL	046	F	B	15,000	11.85	n/a	4-Apr	600.00	4.0
BUCK CAPITAL MGMT		GCO	B	5,000	71.10	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GCO	B	5,000	72.49	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GCO	B	7,000	69.67	n/a	n/a	non-brg	4.0
ROSEDALE CAPITAL	046	GFI	B	20,000	12.72	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		GIL	S	20,000	26.61	n/a	9-Feb	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		GIL	S	32,000	26.62	n/a	9-Feb	non-brg	4.0
KLEINHEINZ CAP PART	031	GIL	S	25,000	26.92	n/a	9-Feb	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		GMCR	S	10,000	41.27	n/a	n/a	non-brg	4.0
AVENUE CAPITAL MGMT	070	GM	S	50,000	23.46	n/a	4-Apr	2,000.00	4.0
OZ MGMT	040	GM	C	57,809	23.41	n/a	4-Apr	1,734.27	3.0
⚡ BUCK CAPITAL MGMT		GNC	S	6,000	34.36	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GPI	S	5,000	55.13	n/a	3-Apr	non-brg	4.0
RAINIER INV MGMT	035	GPI	B	143,170	55.81	n/a	3-Apr	5,726.80	4.0
⚡ BUCK CAPITAL MGMT		GPS	B	20,000	25.22	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GS	B	4,500	114.79	n/a	4-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HBI	S	21,500	27.36	n/a	27-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HBI	S	30,000	27.32	n/a	27-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HLF	S	20,000	68.11	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HLF	S	8,750	68.68	n/a	n/a	non-brg	4.0
⚡ STEFFANIE CARMELA CA	FID	HLF	S	30	68.60	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		HOT	S	4,000	53.19	n/a	13-Jun	non-brg	4.0
BASIX	033	HSC	B	19,000	21.47	n/a	10-Apr	570.00	3.0
SURVEYOR CAPITAL	251	IGT	S	72,142	16.00	n/a	13-Jun	2,164.26	3.0
CSFB GLOBAL PROPRIETARY	041	IP	B	50,000	32.99	n/a	4-Apr	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TUDOR INVESTORS	028	IR	SS	5,000	38.92	n/a	4-Apr	150.00	3.0
⌘ BUCK CAPITAL MGMT		JCP	C	50,000	33.62	n/a	27-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JCP	C	50,000	33.62	n/a	27-Mar	non-brg	4.0
T. ROWE PRICE	033	JNPR	B	188,144	21.00	n/a	n/a	7,525.76	4.0
MFP INVESTORS	085	JNS	B	25,000	8.11	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		JNY	B	45,000	11.50	n/a	9-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JNY	S	40,000	11.42	n/a	9-Feb	non-brg	4.0
FIRST NY SEC/CHRIS	041	JNY	B	10,000	11.62	n/a	9-Feb	300.00	3.0
SIMMONS VENTURES	041	JNY	B	5,000	11.73	n/a	9-Feb	250.00	5.0
⌘ BUCK CAPITAL MGMT		KBH	B	60,000	8.17	n/a	n/a	non-brg	3.0
RBC CAPITAL MARKETS	040	KORS	B	5,000	45.17	n/a	n/a	200.00	4.0
SURVEYOR CAPITAL	251	KR	SS	21,413	23.31	n/a	n/a	642.39	3.0
⌘ BUCK CAPITAL MGMT		KSS	B	10,300	48.96	n/a	30-Mar	non-brg	4.0
IRIDIAN ASSET MGMT.	036	KSS	B	7,660	49.40	n/a	30-Mar	383.00	5.0
LEVIN CAPITAL STRATEGIES	041	KSS	SS	17,000	49.45	n/a	30-Mar	510.00	3.0
⌘ BUCK CAPITAL MGMT		LAD	B	20,000	24.59	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	LAZ	SS	61,508	26.57	n/a	4-Apr	3,075.40	5.0
⌘ BUCK CAPITAL MGMT		LIZ	S	26,000	12.34	n/a	7-Oct	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		LIZ	S	30,000	12.66	n/a	7-Oct	non-brg	4.0
LEVIN CAPITAL STRATEGIES	041	LIZ	B	25,600	12.49	n/a	7-Oct	768.00	3.0
⌘ BUCK CAPITAL MGMT		LULU	C	10,000	71.56	n/a	10-Apr	non-brg	4.0
KINGDON ASSET MGMT	041	LULU	S	17,625	75.56	n/a	10-Apr	705.00	4.0
⌘ BUCK CAPITAL MGMT		LVS	S	20,000	60.05	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LVS	S	20,000	60.05	n/a	13-Jun	non-brg	4.0
MOORE CAPITAL	041	MAS	SS	40,000	12.05	n/a	n/a	1,200.00	3.0
NWQ INVESTMENT MGMT CO	084	MET	S	4,800	35.08	n/a	n/a	144.00	3.0
⌘ BUCK CAPITAL MGMT		MFRM	S	3,250	38.22	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MGM	S	37,500	13.15	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MNST	S	2,300	61.32	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MNST	S	31,661	60.99	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		MNST	S	43,790	60.99	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	100,000	107.02	n/a	n/a	non-brg	8.6
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	200,000	106.95	n/a	n/a	non-brg	8.6
⌘ BUCK CAPITAL MGMT		MSMSRASH	C	194,791	110.98	n/a	n/a	non-brg	1.8
⌘ BUCK CAPITAL MGMT		MSMSRASH	SS	194,791	110.98	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MS	B	29,000	17.34	n/a	4-Apr	non-brg	4.0
f JONATHAN RICHARD HAR	FID	MTW	S	380	13.22	n/a	2-Feb	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEWLAND CAPITAL MGT	025	MWA	B	25,000	3.19	n/a	n/a	750.00	3.0
⌘ BUCK CAPITAL MGMT		MWV	B	16,000	30.22	n/a	21-Mar	non-brg	4.0
TUDOR INVESTORS	028	MWV	B	5,000	30.22	n/a	21-Mar	150.00	3.0
⌘ BUCK CAPITAL MGMT		M	S	20,000	38.74	n/a	9-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		M	S	26,000	38.76	n/a	9-Apr	non-brg	4.0
KLEINHEINZ CAP PART	031	M	S	25,000	39.05	n/a	9-Apr	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		NKE	S	2,000	106.60	n/a	23-Mar	non-brg	4.0
STELLIAM INV ADV	034	OIH	B	25,000	38.54	n/a	n/a	1,250.00	5.0
BALYASNY ASSET MGMT	044	OI	C	25,000	22.51	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		PAG	S	15,500	24.95	n/a	3-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PII	S	8,000	69.37	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PLCE	B	10,300	49.07	n/a	7-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PNRA	S	4,000	158.44	n/a	8-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSMT	S	6,000	75.05	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSS	S	50,000	18.65	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		RCL	B	18,700	26.77	n/a	n/a	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	RKT	B	15,000	64.79	n/a	4-Apr	750.00	5.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GEORGE WEISS	034	ROST	S	63,984	57.90	n/a	9-Apr	1,919.52	3.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>RRR</b>	<b>S</b>	<b>800</b>	<b>21.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DONALD SMITH	088	RSH	B	500,000	5.94	n/a	n/a	10,000.00	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>RUSHA</b>	<b>B</b>	<b>1,000</b>	<b>19.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RYL</b>	<b>B</b>	<b>10,000</b>	<b>17.21</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SANDLER CAPITAL	028	SAH	S	150,000	17.18	n/a	n/a	7,500.00	5.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>SCSC</b>	<b>B</b>	<b>4200</b>	<b>35.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SCSS</b>	<b>S</b>	<b>18,000</b>	<b>31.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	025	SDS	S	4,500	16.08	n/a	n/a	225.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>SHOO</b>	<b>S</b>	<b>13,000</b>	<b>41.63</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SHOO</b>	<b>S</b>	<b>20,000</b>	<b>41.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SIG</b>	<b>B</b>	<b>11,100</b>	<b>45.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SIG</b>	<b>S</b>	<b>22,500</b>	<b>45.10</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SURVEYOR CAPITAL	251	SKS	SS	41,274	10.39	n/a	n/a	1,238.22	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>SKUL</b>	<b>S</b>	<b>10,000</b>	<b>16.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKUL</b>	<b>S</b>	<b>20,000</b>	<b>16.46</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
SOROS	036	SLE	B	20,000	21.30	n/a	n/a	800.00	4.0

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BUCK CAPITAL MGMT		SODA	S	60,000	33.39	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		TJX	S	20,000	38.71	n/a	9-Apr	non-brg	4.0
SENATOR INVESTMENT GROUP	036	TLM	S	150,000	12.11	n/a	n/a	4,500.00	3.0
BUCK CAPITAL MGMT		TPX	S	10,000	81.36	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		TPX	S	7,000	81.21	n/a	n/a	non-brg	4.0
KINGDON ASSET MGMT	041	TUP	S	35,600	61.74	n/a	n/a	1,424.00	4.0
BUCK CAPITAL MGMT		ULTA	S	20,000	93.70	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ULTA	S	20,000	93.70	n/a	n/a	non-brg	4.0
BALLAST CAP	040	VIAB	B	10,200	46.28	n/a	n/a	510.00	5.0
SEVEN LOCKS CAPITAL	025	WAG	S	81,000	32.19	n/a	n/a	2,430.00	3.0
RBC CAPITAL MARKETS	040	WMT	B	7,000	60.15	n/a	10-Apr	280.00	4.0
SURVEYOR CAPITAL	251	WMT	B	65,100	60.00	n/a	10-Apr	1,953.00	3.0
BUCK CAPITAL MGMT		WNC	B	16,700	8.97	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		WNC	B	25,000	8.33	n/a	n/a	non-brg	3.0
JONATHAN RICHARD HAR	FID	WNC	B	250	8.43	n/a	n/a	0.00	0.0
DONALD SMITH	088	WPX	B	48,000	16.61	n/a	n/a	1,440.00	3.0
BUCK CAPITAL MGMT		WRC	B	10,000	54.59	n/a	23-Mar	non-brg	4.0
BUCK CAPITAL MGMT		WRC	S	2,000	54.77	n/a	23-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	041	WRC	B	10,200	55.60	n/a	23-Mar	306.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>WY</b>	<b>B</b>	<b>25,000</b>	<b>20.38</b>	<b>n/a</b>	<b>3-Feb</b>	<b>non-brg</b>	<b>4.0</b>
BESSEMER INVESTMENT	085	XOM	S	610	82.29	n/a	n/a	24.40	4.0
PROSPECTOR PARTNERS LLC	036	XRX	S	10,000	7.75	n/a	n/a	400.00	4.0
<b>TOTAL (Trade Date):</b>							<b>101,953.16</b>		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									101,953.16
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									101,953.16

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 10-Apr.  
NONE

MRI Securities without Trade Activity on 10-Apr.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**