



## Report: CHECKS

From : 10/09/2013 to 10/21/2013

Date	Amount	Type	Client	Client Name	Rep.	Rep. #
10/16/2013	750.00	Research - Research	ARMA	ARMATURE CAPITAL	CC	041
10/18/2013	7,500.00	Research - Research	ARMA	ARMATURE CAPITAL	CC	041
10/17/2013	6,490.63	Research - Research	BLUA	BLUE ARROW	DR	040
10/17/2013	12,620.41	Research - Research	CATJ	CATAPULT	JC DR	283
10/17/2013	12,500.00	Research - Research	CHSA	CHESAPEAKE PARTNERS	FM	028
10/14/2013	33,000.00	Research - Research	COLC	COLUMBUS CIRCLE	ML	036
10/17/2013	60,000.00	Research - Research	CREF	CREF	SM	070
10/10/2013	3,542.00	Research - Research	FRAT	FRANKLIN TEMPLETON	BE	044
10/17/2013	2,025.00	Research - Research	GEIC	GENERAL ELECTIC CO	JC	006
10/15/2013	6,960.00	Research - Research	GLAB	GLADE BROOK CAPITAL	NP	029
10/10/2013	13,000.00	Research - Research	INVE	INVESCO	AS	031
10/14/2013	42,033.00	Research - Research	JPMB	MORGAN BANK /JPM	SM	070
10/16/2013	6,250.00	Research - Research	LUXO	LUXOR CAPITAL	CC	041
10/16/2013	27,379.00	Research - Research	MANU	MANULIFE ASSET MGMT.	MS NP	275
10/16/2013	25,000.00	Research - Research	MASO	MASON CAPITAL	FM	028
10/17/2013	4,772.87	Research - Research	NEWT	NEWTON INVESTMENT MGMT	ME	085
10/17/2013	2,500.00	Research - Research	PARN	PARNASSUS INVESTMENTS	RS	084
10/17/2013	11,492.00	Research - Research	TRUS	TRUSTCO/SUNTRUST (CEREDX)	DR MS	273
10/15/2013	2,018.14	Research - Research	VELA	VELAZQUEZ/MILLENNIUM	CC ME	282
10/16/2013	1,380.90	Research - Research	VELA	VELAZQUEZ/MILLENNIUM	CC ME	282
10/16/2013	5,955.11	Research - Research	VELA	VELAZQUEZ/MILLENNIUM	CC ME	282
<b>TOTAL:</b>	<b>287,169.06</b>					