



Daily Compliance Activity Report

Trade Date: 06/14/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	ADSK	B	14,470	44.81	n/a	n/a	723.50	5.0
BUCK CAPITAL MGMT	001	AEO	B	40,000	26.42	n/a	12-Jun	1,600.00	4.0
BUCK CAPITAL MGMT		AEO	B	100,000	26.35	n/a	12-Jun	non-brg	5.0
WEISS PECK	040	AHO	S	14,900	13.00	n/a	n/a	596.00	4.0
BUCK CAPITAL MGMT	001	ANN	B	10,000	37.30	25-May	8-Jun	400.00	4.0
WHITE PINE CAPITAL	030	APA	S	200	85.40	n/a	13-Jun	10.00	5.0
WASHINGTON ASSET	048	BBY	S	10,000	47.86	1-May	11-May	500.00	5.0
BINDER	007	BDK	C	100	88.70	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BID	S	10,000	50.08	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		BONT	B	5,000	46.41	25-May	8-Jun	non-brg	1.0
BUCK CAPITAL MGMT		BONT	B	5,000	47.05	25-May	8-Jun	non-brg	5.0
ELM RIDGE ADVISORS	044	BOW	SS	50,000	25.10	n/a	14-Jun	2,000.00	4.0
KANTROWIT	N21	BRNC	B	25	16.96	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BSC	B	10,000	146.60	n/a	14-Jun	non-brg	1.0
BUCK CAPITAL MGMT		BSC	B	15,000	148.83	n/a	14-Jun	non-brg	5.0
DAVIDSON KEMPNER ADVISOR	217	CELG	B	100,000	59.55	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GOLDMAN SACHS ASSET MGMT	044	CLWR	B	55,000	23.90	n/a	n/a	825.00	1.5
KANTROWIT	N21	CMG	S	25	85.00	24-Apr	24-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		CMRG	S	10,000	10.90	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		COH	B	5,000	48.99	23-Feb	25-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		CWTR	B	20,000	24.30	n/a	n/a	non-brg	5.0
BINDER	007	CXP	B	2,300	14.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DDS	S	90,000	33.82	n/a	n/a	3,600.00	4.0
STADIA CAPITAL	035	DDS	S	19,000	33.67	n/a	n/a	760.00	4.0
STRATIX ASSEST MGMT	035	DDS	B	15,000	33.95	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	54.54	n/a	n/a	200.00	4.0
REINHART & MAHONEY	031	DLM	S	70,440	11.51	n/a	n/a	3,522.00	5.0
Õ Glenn Redemann		DSL	B	3	0.87	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DSL	B	3	1.12	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DSL	SS	3	1.93	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DSL	SS	3	2.11	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	DTV	B	35,000	23.05	n/a	14-Jun	1,750.00	5.0
BUCK CAPITAL MGMT	001	EDA	S	10,000	12.85	n/a	n/a	400.00	4.0
BARR	096	EMKR	B	6,200	4.78	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		EQR	B	2	0.83	n/a	n/a	0.00	0.0
Õ Glenn Redemann		EQR	B	2	0.87	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	ETM	SS	34,900	25.25	n/a	n/a	1,745.00	5.0
SANDLER CAPITAL	038	ETN	B	50,000	94.29	24-May	24-May	3,000.00	6.0
Õ Glenn Redemann		FFIV	??	7	0.05	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FFIV	??	7	0.15	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	FII	SS	38,700	38.83	n/a	23-May	1,935.00	5.0
MAZAMA CAPITAL MGMT.	035	FII	B	87,900	38.80	n/a	23-May	3,516.00	4.0
GOLDMAN SACHS ASSET MGMT	044	FO	B	60,433	79.65	n/a	n/a	906.50	1.5
ß BUCK CAPITAL MGMT		FTEK	C	20,000	28.20	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIII	B	10,000	17.52	n/a	n/a	non-brg	5.0
LEDDY	035	GM	SS	800	32.95	4-May	4-May	0.00	0.0
CAPITAL GROWTH MGMT.	241	GSF	B	100,000	70.60	n/a	n/a	5,000.00	5.0
ß BUCK CAPITAL MGMT		GS	C	18,500	226.94	n/a	14-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		GS	C	5,000	228.16	n/a	14-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		GYMB	B	10,000	41.98	4-Jan	4-Jun	non-brg	5.0
KORMAN	003	HBISF	S	50	2.95	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SINCLAIR	031	HC	S	1,000	25.94	14-Mar	4-Jun	0.00	0.0
US BANCORP	030	HRS	S	63,973	52.54	12-Jun	12-Jun	3,198.65	5.0
HUNTER GLOBAL INVESTOR	067	ICE	S	77,600	155.20	n/a	n/a	3,880.00	5.0
B BUCK CAPITAL MGMT		IKAN	B	20,000	7.35	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		INTC	B	50,000	23.34	n/a	n/a	non-brg	5.0
MCCARTAN	028	ITCD	S	1,000	5.60	n/a	n/a	0.00	0.0
LEDDY	035	ITCD	B	2,000	5.42	n/a	n/a	0.00	0.0
CASEY CAPITAL	067	IVZ	B	10,000	23.97	14-Jun	14-Jun	500.00	5.0
J L A ASSET MGMT/HOWARD	038	IVZ	B	83,800	24.04	14-Jun	14-Jun	3,352.00	4.0
PHILADELPHIA FINANCIAL	033	IVZ	B	30,167	23.94	14-Jun	14-Jun	1,508.35	5.0
WEINTRAUB CAPITAL MGT.	040	IVZ	B	75,000	23.99	14-Jun	14-Jun	3,750.00	5.0
LEDDY	035	JADE	B	1,000	11.45	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	JBX	B	11,000	74.70	24-Apr	24-Apr	412.50	3.8
COLONIAL FUND LLC	036	JCP	B	25,000	75.95	20-Apr	8-Jun	1,250.00	5.0
COUGAR TRADING	044	JCP	S	5,000	76.61	20-Apr	8-Jun	250.00	5.0
SAILFISH	035	JNY	S	50,000	29.05	n/a	3-May	2,500.00	5.0
B BUCK CAPITAL MGMT		JOSB	S	20,000	44.58	n/a	n/a	non-brg	5.0
RAMIUS CAP	040	KEX	B	45,000	38.55	n/a	n/a	2,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
VAN KAMPEN ADV	031	KFT	S	450,000	35.34	n/a	n/a	18,000.00	4.0
CAPITAL GROWTH MGMT.	241	KR	B	56,100	30.52	n/a	n/a	2,805.00	5.0
B BUCK CAPITAL MGMT		KSS	B	10,000	72.35	14-Jun	14-Jun	non-brg	5.0
LEVIN CAPITAL STRATEGIES	012	KSS	SS	2,500	72.53	14-Jun	14-Jun	100.00	4.0
VICTORIA INVESTORS LP	060	KSS	B	5,000	72.22	14-Jun	14-Jun	250.00	5.0
THIRD AVE MGMT	030	LAVA	S	117,900	14.78	n/a	n/a	4,716.00	4.0
MKT MAKER	099	LAVA	S	7	14.78	n/a	n/a	0.00	0.0
KAICHER	064	LMEC	B	300	1.62	n/a	n/a	0.00	0.0
TRUST CO/COWEN/CALF.	040	LSI	B	451,800	8.22	n/a	n/a	18,072.00	4.0
B BUCK CAPITAL MGMT		LTM	B	40,000	50.88	n/a	n/a	non-brg	5.0
GENERAL ELECTIC CO	033	LUV	S	35,000	14.66	n/a	n/a	700.00	2.0
GOLDMAN SACHS ASSET MGMT	044	LUV	B	115,000	14.67	n/a	n/a	2,300.00	2.0
WHITE PINE CAPITAL	030	MAS	S	1,400	29.04	n/a	n/a	70.00	5.0
WHITE PINE CAPITAL	030	MMR	S	360	14.90	n/a	n/a	18.00	5.0
B BUCK CAPITAL MGMT		MSM	B	10,000	51.62	n/a	n/a	non-brg	5.0
SANDLER O'NEILL ASSET	031	MS	S	15,000	88.73	22-Mar	14-Jun	750.00	5.0
CAPITAL GROWTH MGMT.	241	MTW	B	49,100	79.10	n/a	n/a	2,455.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		MYGN	B	3	0.27	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MYGN	B	3	0.41	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MYGN	SS	3	1.09	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MYGN	SS	3	1.44	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		M	S	20,000	39.35	17-May	8-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		M	S	20,000	39.42	17-May	8-Jun	non-brg	5.0
COUGAR TRADING	044	M	B	5,000	39.47	17-May	8-Jun	250.00	5.0
COUGAR TRADING	044	M	SS	10,000	39.35	17-May	8-Jun	500.00	5.0
MAZAMA CAPITAL MGMT.	035	NGS	S	48,100	19.08	n/a	n/a	1,924.00	4.0
SCOPUS FUND	039	NP	B	25,000	41.75	14-Jun	14-Jun	1,250.00	5.0
BINDER	007	NWL	C	80	29.23	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	44.36	n/a	n/a	400.00	4.0
SINCLAIR	031	PFE	S	200	26.63	n/a	31-Mar	0.00	0.0
Õ Glenn Redemann		PLCE	??	5	0.06	12-Apr	8-Jun	0.00	0.0
Õ Glenn Redemann		PLCE	??	5	0.17	12-Apr	8-Jun	0.00	0.0
Õ Glenn Redemann		PMI	B	3	0.57	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PMI	B	3	0.78	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PSS	S	40,000	32.25	n/a	n/a	non-brg	5.0
COUGAR TRADING	044	PSUN	B	10,000	20.63	n/a	8-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BINDER	007	QID	S	175	46.49	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	Q	B	5,000	9.48	n/a	n/a	300.00	6.0
SINCLAIR	031	RF	B	300	34.15	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RTI	B	3	0.59	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RTI	B	3	0.96	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RTI	SS	3	1.21	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RTI	SS	3	2.09	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	RWR	B	20,300	86.15	n/a	n/a	1,015.00	5.0
HIGHBRIDGE CAPITAL	038	RYN	S	72,600	44.23	n/a	25-Apr	3,630.00	5.0
Õ Glenn Redemann		SCHN	B	3	0.54	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SCHN	B	3	0.56	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SCHN	SS	3	1.45	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SCHN	SS	3	1.50	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SHOO	S	100	32.42	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHOO	S	15,000	32.49	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		SHOO	S	20,000	32.53	n/a	n/a	non-brg	0.4
ß BUCK CAPITAL MGMT		SHOO	S	25,000	32.33	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		SHOO	S	25,000	32.40	n/a	n/a	non-brg	0.4
ß BUCK CAPITAL MGMT		SHOO	S	26,805	32.52	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHOO	S	30,000	32.54	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		SHOO	S	6,384	32.61	n/a	n/a	non-brg	0.4
DEMARTINI	065	SMGGI	S	50	1.15	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KANTROWIT	N21	SSI	S	50	20.58	25-May	12-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	TWB	B	20,000	41.40	23-May	23-May	800.00	4.0
FIRST NEW YORK LEDDY	035	TWX	B	20,000	20.83	n/a	n/a	1,200.00	6.0
DAVIDSON TRUST CO	033	TXN	B	1,100	36.59	n/a	n/a	99.00	9.0
CSFB GLOBAL PROPRIETARY	035	TYC	B	100,000	34.15	8-May	1-Jun	5,000.00	5.0
⚡ BUCK CAPITAL MGMT		UAG	B	20,000	21.03	n/a	9-May	non-brg	5.0
⚡ BUCK CAPITAL MGMT		UAG	B	60,000	21.10	n/a	9-May	non-brg	5.0
GOLDMAN SACHS ASSET MGMT	044	UFS	B	55,000	11.04	n/a	n/a	2,200.00	4.0
⚡ BUCK CAPITAL MGMT		URBN	B	20,000	25.64	2-Apr	11-May	non-brg	5.0
BINDER	007	VAL	C	200	27.99	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	WAB	B	88,650	37.94	n/a	n/a	4,432.50	5.0
FORCE CAPITAL MGMT	030	WINN	S	25,000	30.29	n/a	n/a	1,250.00	5.0
STRATIX ASSEST MGMT	035	WMT	SS	25,000	49.31	4-Jun	7-Jun	1,250.00	5.0
KAICHER	064	WWAG	B	1,000	0.83	n/a	n/a	0.00	0.0
LEDDY	035	XOHO	S	6,500	4.45	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	YUM	C	39,600	67.64	24-Apr	24-Apr	1,584.00	4.0

TOTAL (Trade Date):

139,411.00

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/13	SOLARIS INV MGMT	036	COP	B	6,400	77.69	n/a	n/a	320.00 5.0
AO,CRT	06/13	SOLARIS INV MGMT	036	COP	B	7,800	77.69	n/a	n/a	390.00 5.0
AO,CXL	06/13	SOLARIS INV MGMT	036	COP	B	1,400	77.69	n/a	n/a	70.00 5.0
AO,CXL	06/13	SOLARIS INV MGMT	036	CVX	S	6,800	81.14	n/a	n/a	340.00 5.0
AO,CXL	06/13	SOLARIS INV MGMT	036	CVX	S	600	81.14	n/a	n/a	30.00 5.0
AO,	06/13	SOLARIS INV MGMT	036	CVX	S	2,900	81.14	n/a	n/a	145.00 5.0
AO,CRT	06/13	SOLARIS INV MGMT	036	CVX	S	7,400	81.14	n/a	n/a	370.00 5.0
AO,CXL	06/13	WEISS PECK	040	DSW	B	8,800	34.96	7-May	7-Jun	440.00 5.0
AO,CRT	06/13	WEISS PECK	040	DSW	B	8,800	34.96	7-May	7-Jun	264.00 3.0
AO,CRT	06/13	TRELLUS PARTNERS LP	028	F	B	144,600	8.47	n/a	14-Jun	4338.00 3.0
AO,CXL	06/13	TRELLUS PARTNERS LP	028	F	B	144,600	8.47	n/a	14-Jun	7230.00 5.0
AO,CXL	06/13	WEISS PECK	040	RVI	SS	20,000	16.70	n/a	n/a	600.00 3.0
AO,CRT	06/13	WEISS PECK	040	RVI	SS	20,000	16.70	n/a	n/a	600.00 3.0
AO,CRT	06/13	MERRILL LYNCH STRATEGICI	034	WHR	SS	15,000	110.48	n/a	n/a	900.00 6.0
AO,CXL	06/13	MERRILL LYNCH STRATEGICI	034	WHR	SS	15,000	110.48	n/a	n/a	750.00 5.0
TOTAL (AO, CXL, CRT) :										-2,773.00

TOTAL (Trade Date):	139,411.00
TOTAL (AO, CXL, CRT):	-2,773.00

TOTAL (RUN DATE) / NFS:

136,638.00

MRI Securities with Trade Activity on 14-Jun.

IVZ, KSS, NP

MRI Securities without Trade Activity on 14-Jun.

LPX, TIN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 19%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION