

Daily Compliance Activity Report

Trade Date: 01/07/2009

Potential ANALYST TRADE Violations: 0 BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
TOKIO MARINE ASSET MGMT	045	APA	В	4,500	83.03	n/a	20-Nov	180.00	4.0
LAGRANGE CAP ADV	048	ARB	S	20,000	16.40	n/a	n/a	1,000.00	5.0
HARALAMBOS EFSTATHI	FID	ATVI	В	500	8.40	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	BAC	В	100,000	13.96	23-Dec	23-Dec	4,000.00	4.0
BUCK CAPITAL MGMT		BARE	В	18,000	5.07	n/a	n/a	non-brg	1.0
ROARING BLUE LION MGMT	012	BBT	В	4,300	23.77	n/a	n/a	172.00	4.0
THE BOSTON COMPANY	401	BEBE	S	133,850	6.75	n/a	n/a	5,354.00	4.0
COUGAR TRADING	044	BIG	В	10,000	15.22	5-Dec	6-Jan	500.00	5.0
HARALAMBOS EFSTATHI	FID	ВЈ	В	300	34.39	n/a	27-Jul	0.00	0.0
AVESTA CAP	229	BKC	В	20,000	23.18	27-0ct	3-Nov	1,000.00	5.0
BUCK CAPITAL MGMT		BKE+BX[BKE]	SS	2,000	1.62	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		BKE	s	50,000	20.42	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		BKE	S	75,000	19.98	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BKE	S	75,000	20.50	n/a	n/a	non-brg	4.0
JOHN MICHAEL ZOLIDIS	FID	СВК	В	1500	4.60	n/a	6-Jan	0.00	0.0
	TOKIO MARINE ASSET MGMT LAGRANGE CAP ADV HARALAMBOS EFSTATHI AMBER CAPITAL BUCK CAPITAL MGMT ROARING BLUE LION MGMT THE BOSTON COMPANY COUGAR TRADING HARALAMBOS EFSTATHI AVESTA CAP BUCK CAPITAL MGMT BUCK CAPITAL MGMT	TOKIO MARINE ASSET MGMT 045 LAGRANGE CAP ADV 048 HARALAMBOS EFSTATHI FID AMBER CAPITAL 046 BUCK CAPITAL MGMT ROARING BLUE LION MGMT 012 THE BOSTON COMPANY 401 COUGAR TRADING 044 HARALAMBOS EFSTATHI FID AVESTA CAP 229 BUCK CAPITAL MGMT BUCK CAPITAL MGMT	TOKIO MARINE ASSET MGMT 045 APA LAGRANGE CAP ADV 048 ARB HARALAMBOS EFSTATHI FID ATVI AMBER CAPITAL 046 BAC BUCK CAPITAL MGMT BARE ROARING BLUE LION MGMT 012 BBT THE BOSTON COMPANY 401 BEBE COUGAR TRADING 044 BIG HARALAMBOS EFSTATHI FID BJ AVESTA CAP 229 BKC BUCK CAPITAL MGMT BKE+BX[BKE] BUCK CAPITAL MGMT BKE	TOKIO MARINE ASSET MGMT 045 APA B LAGRANGE CAP ADV 048 ARB S HARALAMBOS EFSTATHI FID ATVI B AMBER CAPITAL 046 BAC B BUCK CAPITAL MGMT BARE B ROARING BLUE LION MGMT 012 BBT B THE BOSTON COMPANY 401 BEBE S COUGAR TRADING 044 BIG B HARALAMBOS EFSTATHI FID BJ B AVESTA CAP 229 BKC B BUCK CAPITAL MGMT BKE+BX[BKE] SS BUCK CAPITAL MGMT BKE S BUCK CAPITAL MGMT BKE S	TOKIO MARINE ASSET MGMT 045 APA B 4,500 LAGRANGE CAP ADV 048 ARB S 20,000 HARALAMBOS EFSTATHI FID ATVI B 500 AMBER CAPITAL 046 BAC B 100,000 BUCK CAPITAL MGMT BARE B 18,000 ROARING BLUE LION MGMT 012 BBT B 4,300 THE BOSTON COMPANY 401 BEBE S 133,850 COUGAR TRADING 044 BIG B 10,000 HARALAMBOS EFSTATHI FID BJ B 300 AVESTA CAP 229 BKC B 20,000 BUCK CAPITAL MGMT BKE+BX[BKE] SS 2,000 BUCK CAPITAL MGMT BKE S 50,000 BUCK CAPITAL MGMT BKE S 75,000 BUCK CAPITAL MGMT BKE S 75,000 BUCK CAPITAL MGMT BKE S 75,000 BUCK CAPITAL MGMT BKE S 75,000	TOKIO MARINE ASSET MGMT 045 APA B 4,500 83.03 LAGRANGE CAP ADV 048 ARB S 20,000 16.40 HARALAMBOS EFSTATHI FID ATVI B 500 8.40 AMBER CAPITAL 046 BAC B 100,000 13.96 BUCK CAPITAL MGMT BARE B 18,000 5.07 ROARING BLUE LION MGMT 012 BBT B 4,300 23.77 THE BOSTON COMPANY 401 BEBE S 133,850 6.75 COUGAR TRADING 044 BIG B 10,000 15.22 HARALAMBOS EFSTATHI FID BJ B 300 34.39 AVESTA CAP 229 BKC B 20,000 23.18 BUCK CAPITAL MGMT BKE+BX[BKE] SS 2,000 1.62 BUCK CAPITAL MGMT BKE S 50,000 20.42 BUCK CAPITAL MGMT BKE S 75,000 19.98 BUCK CAPITAL MGMT BKE S 75,000 20.50	TOKIO MARINE ASSET MGMT 045 APA B 4,500 83.03 n/a LAGRANGE CAP ADV 048 ARB S 20,000 16.40 n/a HARALAMBOS EFSTATHI FID ATVI B 500 8.40 n/a AMBER CAPITAL 046 BAC B 100,000 13.96 23-Dec BUCK CAPITAL MGMT BARE B 18,000 5.07 n/a ROARING BLUE LION MGMT 012 BBT B 4,300 23.77 n/a THE BOSTON COMPANY 401 BEBE S 133,850 6.75 n/a COUGAR TRADING 044 BIG B 10,000 15.22 5-Dec HARALAMBOS EFSTATHI FID BJ B 300 34.39 n/a AVESTA CAP 229 BKC B 20,000 23.18 27-Oct BUCK CAPITAL MGMT BKE+BX[BKE] SS 2,000 1.62 n/a BUCK CAPITAL MGMT BKE S 50,000 20.42 n/a BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a BUCK CAPITAL MGMT BKE S 75,000 20.50 n/a	TOKIO MARINE ASSET MGMT 045 APA B 4,500 83.03 n/a 20-Nov LAGRANGE CAP ADV 048 ARB S 20,000 16.40 n/a n/a HARALAMBOS EFSTATHI FID ATVI B 500 8.40 n/a n/a AMBER CAPITAL 046 BAC B 100,000 13.96 23-Dec 23-Dec BUCK CAPITAL MGMT BARE B 18,000 5.07 n/a n/a ROARING BLUE LION MGMT 012 BBT B 4,300 23.77 n/a n/a THE BOSTON COMPANY 401 BEBE S 133,850 6.75 n/a n/a COUGAR TRADING 044 BIG B 10,000 15.22 5-Dec 6-Jan HARALAMBOS EFSTATHI FID BJ B 300 34.39 n/a 27-Jul AVESTA CAP 229 BKC B 20,000 23.18 27-Oct 3-Nov BUCK CAPITAL MGMT BKE+BX[BKE] SS 2,000 1.62 n/a n/a BUCK CAPITAL MGMT BKE S 50,000 20.42 n/a n/a BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a BUCK CAPITAL MGMT BKE S 75,000 20.50 n/a n/a BUCK CAPITAL MGMT BKE S 75,000 20.50 n/a n/a	TOKIO MARINE ASSET MGMT 045 APA B 4,500 83.03 n/a 20-Nov 180.00 LAGRANGE CAP ADV 048 ARB S 20,000 16.40 n/a n/a 1,000.00 HARALAMBOS EFSTATHI FID ATVI B 500 8.40 n/a n/a 0.00 AMBER CAPITAL MGMT BARE B 18,000 5.07 n/a n/a non-brg ROARING BLUE LION MGMT 012 BBT B 4,300 23.77 n/a n/a 172.00 THE BOSTON COMPANY 401 BEBE S 133,850 6.75 n/a n/a 5,354.00 COUGAR TRADING 044 BIG B 10,000 15.22 5-Dec 6-Jan 500.00 HARALAMBOS EFSTATHI FID BJ B 300 34.39 n/a 27-Jul 0.00 AVESTA CAP 229 BKC B 20,000 23.18 27-Oct 3-Nov 1,000.00 BUCK CAPITAL MGMT BKE S 50,000 20.42 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg BUCK CAPITAL MGMT BKE S 75,000 19.98 n/a n/a non-brg

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. (Shr.	
	LATEFF MGMT	035	CBL	S	3,000	8.25	n/a	n/a	240.00	8.0	
	SYSTEMATIC FINANCIAL MGT	035	CCE	В	114,400	12.17	n/a	n/a	4,004.00	3.5	
f	MANNY I KORMAN	FID	COFMF	s	30	0.91	n/a	n/a	0.00	0.0	
	BUCK CAPITAL MGMT	001	СОН	SS	30,000	21.42	18-Dec	18-Dec	1,200.00	4.0	
ß	BUCK CAPITAL MGMT		COLM	SS	9,834	34.35	n/a	n/a	non-brg	2.5	
	BUCK CAPITAL MGMT	001	CRI	В	50,000	19.23	n/a	n/a	2,000.00	4.0	
f	KEVIN MICHAEL O'GORM	FID	DDM	s	225	32.80	n/a	n/a	0.00	0.0	
	HOLLIS CAPITAL	033	DE	В	5,000	42.86	8-Dec	8-Dec	250.00	5.0	
ß	BUCK CAPITAL MGMT		DIA	SS	80,900	87.56	n/a	n/a	non-brg	1.0	
f	MANNY I KORMAN	FID	DKSMR	s	40	0.21	n/a	n/a	0.00	0.0	
	BUCK CAPITAL MGMT	001	DKS	В	24,500	14.52	7-0ct	12-Dec	980.00	4.0	
ß	BUCK CAPITAL MGMT		DLTR	С	129,600	41.84	n/a	6-Jan	non-brg	4.0	
f	MANNY I KORMAN	FID	DVMJ	s	40	0.90	n/a	n/a	0.00	0.0	
f	DAVID GEORGE BUCK	FID	DWNCS	s	20	2.25	n/a	n/a	0.00	0.0	
f	HARALAMBOS EFSTATHI	FID	DXO	s	5000	3.24	n/a	n/a	0.00	0.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
f	KEVIN MICHAEL O'GORM	FID	DXO	s	9000	3.33	n/a	n/a	0.00	0.0
	BRIGADE CAPITAL MGMT	029	FCH	В	25,000	1.95	n/a	n/a	750.00	3.0
	TRELLUS PARTNERS LP	028	FDO	SS	40,000	27.56	7-Jan	7-Jan	2,000.00	5.0
ß	BUCK CAPITAL MGMT		GES+BW[GES]	SS	1,000	1.02	n/a	n/a	non-brg	300.0
	BUCK CAPITAL MGMT	001	GES	S	45,000	15.77	n/a	n/a	1,800.00	4.0
ß	BUCK CAPITAL MGMT		GES	S	25,000	16.10	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		GES	S	280,000	15.97	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		GES	S	50,000	16.01	n/a	n/a	non-brg	1.5
	SIGMA CAPITAL ASSOCIATES	237	GPS	S	50,000	13.75	n/a	6-Jan	2,500.00	5.0
	BUCK CAPITAL MGMT	001	HBI	s	50,000	13.31	n/a	n/a	2,000.00	4.0
ß	BUCK CAPITAL MGMT		HBI	S	50,000	13.39	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		HIBB	С	43,247	17.06	n/a	n/a	non-brg	4.0
	BMO NESBITT BURNS	041	IDEV	В	20,000	5.32	n/a	n/a	600.00	3.0
ß	BUCK CAPITAL MGMT		JCG	S	105,000	11.91	n/a	6-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		JCG	S	50,400	12.31	n/a	6-Jan	non-brg	1.5
	BUCK CAPITAL MGMT	001	JCP	В	500,000	21.75	17-Nov	6-Jan	20,000.00	4.0
ß	BUCK CAPITAL MGMT		JCP	С	100,000	21.49	17-Nov	6-Jan	non-brg	4.0
	UBS OCONNOR	031	JEF	SS	30,100	14.35	n/a	n/a	903.00	3.0
ß	BUCK CAPITAL MGMT		JNY	S	50,000	6.02	n/a	30-0ct	non-brg	3.0

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	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
f	FRANCIS MICHAEL MCCA	FID	JWN	s	200	15.26	14-Nov	6-Jan	0.00	0.0	
ß	BUCK CAPITAL MGMT		KSS	В	70,000	37.59	n/a	6-Jan	non-brg	4.0	
	COUGAR TRADING	044	KSS	S	2,500	37.32	n/a	6-Jan	125.00	5.0	
	UBS OCONNOR	031	LAZ	В	50,000	27.47	n/a	n/a	1,500.00	3.0	
	BARROW HANLEY MEWHINNEY	031	LM	В	144,300	24.14	29-0ct	5-Jan	5,772.00	4.0	
	HUNTER GLOBAL INVESTOR	067	LNN	В	13,800	36.37	n/a	n/a	690.00	5.0	
	PROSPECTOR PARTNERS LLC	036	LZ	В	10,000	37.32	n/a	n/a	400.00	4.0	
	TOKIO MARINE ASSET MGMT	045	MCD	В	9,800	61.88	13-Oct	5-Jan	392.00	4.0	
	ROSEDALE CAPITAL	046	MSFT	В	15,000	19.72	n/a	3-Apr	750.00	5.0	
ß	BUCK CAPITAL MGMT		MSM	S	19,100	35.38	n/a	n/a	non-brg	4.0	
	PROSPECTOR PARTNERS LLC	036	MWV	В	20,000	12.44	15-Dec	15-Dec	800.00	4.0	
	COUGAR TRADING	044	М	В	5,000	11.73	12-Aug	6-Jan	250.00	5.0	
f	MANNY I KORMAN	FID	NDNMB	S	80	0.20	n/a	n/a	0.00	0.0	
	BUCK CAPITAL MGMT	001	NKE	В	30,000	50.67	n/a	n/a	1,200.00	4.0	
	PILOT ROCK	028	NSC	SS	100,000	47.63	n/a	n/a	4,000.00	4.0	
	TOKIO MARINE ASSET MGMT	045	OXY	В	16,600	58.85	n/a	n/a	664.00	4.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr	•
	TRELLUS PARTNERS LP	028	PAG	SS	15,000	9.08	n/a	23-Dec	450.00 3.0	
	TRELLUS PARTNERS LP	028	PCAR	SS	20,000	31.80	20-Nov	30-Dec	1,000.00 5.0	
ß	BUCK CAPITAL MGMT		PFCB	SS	60,000	21.71	8-Jan	14-Nov	non-brg 4.0	
ß	BUCK CAPITAL MGMT		PLCE	s	50,000	22.03	6-Jan	6-Jan	non-brg 2.0	
f	NEIL M STRATTON	FID	PRXI	s	1950	1.10	n/a	n/a	0.00 0.0	
ß	BUCK CAPITAL MGMT		PVH+BX[PVH]	SS	2,000	1.21	n/a	n/a	non-brg 300.0	
	COUGAR TRADING	044	PVH	SS	5,000	21.53	18-Dec	18-Dec	250.00 5.0	
	HUNTER GLOBAL INVESTOR	067	RJF	В	27,100	18.53	n/a	n/a	1,084.00 4.0	
ß	BUCK CAPITAL MGMT		RL+PG[RL]	SS	2,000	2.06	n/a	n/a	non-brg 300.0	
	BUCK CAPITAL MGMT	001	RNT	В	50,000	26.70	n/a	n/a	2,000.00 4.0	
	GREENLIGHT CAPITAL	041	SHLD	S	38,753	40.47	n/a	n/a	1,937.65 5.0	
ß	BUCK CAPITAL MGMT		SHOO	SS	20,000	21.05	n/a	n/a	non-brg 2.5	
f	JOSEPH CIRO AMATURO	FID	SLV	S	2000	10.79	n/a	n/a	0.00 0.0	
	HUNTER GLOBAL INVESTOR	067	SO	S	46,300	35.75	n/a	n/a	2,315.00 5.0	
f	ROBERT F CROWLEY JR	FID	SPRXX	В	150000	1.00	n/a	n/a	0.00 0.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		SPY	SS	78,600	90.54	n/a	n/a	non-brg	1.0
	COUGAR TRADING	044	SSI	В	5,000	7.89	n/a	7-Jan	200.00	4.0
	LATEFF MGMT	035	SYY	S	2,000	24.50	n/a	24-Apr	300.00	15.0
	BUCK CAPITAL MGMT	001	TGT	В	190,400	37.98	17-Nov	6-Jan	7,616.00	4.0
	HARVEST MGMT	039	TGT	В	15,000	37.84	17-Nov	6-Jan	750.00	5.0
f	MARC PAUL LUCHANSKY	FID	TGT	В	500	37.86	17-Nov	6-Jan	0.00	0.0
	TRELLUS PARTNERS LP	028	TGT	SS	10,000	38.28	17-Nov	6-Jan	500.00	5.0
	COUGAR TRADING	044	TJX	В	10,000	21.85	11-Aug	6-Jan	500.00	5.0
	WEINTRAUB CAPITAL MGT.	040	TROW	SS	15,000	35.29	19-Sep	5-Jan	600.00	4.0
ß	BUCK CAPITAL MGMT		TSCO	С	118,025	32.77	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		TSCO	С	25,000	32.50	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		TSCO	С	6,975	32.43	n/a	n/a	non-brg	1.5
ß	BUCK CAPITAL MGMT		TUY+CX[PLCE]	SS	2,000	2.93	n/a	n/a	non-brg	300.0
f	NICOLAS EVAN PAKLER	FID	TZA	В	21	45.72	n/a	n/a	0.00	0.0
f	IAN L MILLER	FID	USOBH	В	5	1.80	n/a	n/a	0.00	0.0
	LAGRANGE CAP ADV	048	UYG	В	20,000	5.69	n/a	n/a	1,000.00	5.0
	MILLENIUM PARTNERS	028	WMT	В	19,000	55.64	10-Oct	6-Jan	950.00	5.0
ß	BUCK CAPITAL MGMT		WRC+BX[WRC]	SS	950	1.07	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
TOKIO MARINE ASSET MGMT	045	XOM	В	8,400	78.89	n/a	n/a	336.00	4.0
CHARTER OAK	033	XTO	SS	66,700	38.96	n/a	n/a	3,335.00	5.0
PRINCIPLE CAPITAL	040	YUM	SS	26,200	31.89	14-Aug	10-Dec	786.00	3.0

TOTAL (Trade Date): 93,885.65

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI R	esearch	Comm.	¢/Shr.
AO,	01/06	IVORY CAPITAL	034	MU	S	1,912,756	3.47	n/a	n/a	9563.78	0.5
AO,	01/06	RAMIUS CAP	040	TMTA	В	50,000	18.64	n/a	n/a	1500.00	3.0
AO,CXL AO,CRT		JMP ASSET MGMT JMP ASSET MGMT	210 210	WSM WSM	ВВ	10,000	8.90 8.90	n/a n/a	n/a n/a	400.00	4.0
TOTAL	(AO, 0	CXL, CRT):							10,	963.78	

TOTAL (Trade Date):	93,885.65
TOTAL (AO, CXL, CRT):	10,963.78

TOTAL (RUN DATE) / NFS: 104,849.43

MRI Securities with Trade Activity on 7-Jan. FDO

MRI Securities without Trade Activity on 7-Jan.

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 34%

YTD = 31%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)