



## Daily Compliance Activity Report

Trade Date: 01/07/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALLEON MGMT LP	033	ADM	C	20,000	45.95	2-Jan	2-Jan	1,000.00	5.0
TYEE/TYGH	035	AMG	S	92,063	106.05	11-Dec	4-Jan	3,682.52	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>S</b>	<b>120,000</b>	<b>74.54</b>	<b>2-Oct</b>	<b>7-Jan</b>	<b>4,800.00</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	SS	5,000	73.36	2-Oct	7-Jan	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	S	35,300	27.14	29-Nov	7-Jan	1,323.75	3.8
FARALLON CAP MGMT	040	BBT	SS	180,000	27.46	n/a	n/a	7,200.00	4.0
FARALLON CAP MGMT	040	BHI	B	62,500	78.47	n/a	n/a	2,500.00	4.0
WASHINGTON ASSET	048	BWA	S	7,000	43.51	16-Nov	16-Nov	350.00	5.0
DIAMONDBACK CAP MGMT	232	CE	S	50,000	38.88	n/a	n/a	2,500.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>CFU</b>	<b>B</b>	<b>30</b>	<b>0.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>CMG</b>	<b>S</b>	<b>200</b>	<b>125.52</b>	<b>n/a</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>CMG</b>	<b>S</b>	<b>100</b>	<b>119.72</b>	<b>n/a</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY4</b>	<b>036</b>	<b>CMG</b>	<b>S</b>	<b>200</b>	<b>120.40</b>	<b>n/a</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>B</b>	<b>35,000</b>	<b>28.36</b>	<b>24-Oct</b>	<b>24-Oct</b>	<b>1,400.00</b>	<b>4.0</b>
THIRD POINT MGMT	044	COST	SS	100,000	65.80	n/a	27-Jul	4,000.00	4.0
<b>ZOLIDIS</b>	<b>011</b>	<b>CROX</b>	<b>C</b>	<b>250</b>	<b>29.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DC CAPITAL	044	DBRN	B	140,000	10.70	n/a	n/a	5,600.00	4.0
<b>OGORMAN</b>	<b>046</b>	<b>DDM</b>	<b>B</b>	<b>350</b>	<b>78.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DDS</b>	<b>SS</b>	<b>20,000</b>	<b>16.98</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
CAPITAL GROWTH MGMT.	241	DE	B	70,000	88.36	n/a	n/a	3,500.00	5.0
CLOVIS CAPITAL	012	DKS	SS	50,000	26.25	n/a	27-Nov	2,500.00	5.0
AMBER CAPITAL	046	DNR	B	145,600	31.19	n/a	n/a	5,824.00	4.0
DIAMONDBACK CAP MGMT	232	DOW	S	40,000	37.39	n/a	17-Dec	2,000.00	5.0
<b>BACKUS</b>	<b>005</b>	<b>DVN</b>	<b>S</b>	<b>1,500</b>	<b>89.33</b>	<b>22-Oct</b>	<b>30-Nov</b>	<b>0.00</b>	<b>0.0</b>
CUMBERLAND	038	ELX	SS	75,000	15.38	n/a	n/a	3,000.00	4.0
SCOPUS FUND	041	EL	B	10,000	41.56	4-Jan	4-Jan	500.00	5.0
MATTHES CAPITAL MGMT	033	FDO	B	58,600	17.12	7-Dec	7-Jan	2,344.00	4.0
IRONBOUND CAPITAL	012	FOR	B	82,730	20.94	n/a	n/a	4,136.50	5.0
<b>BACKUS</b>	<b>005</b>	<b>GFG</b>	<b>S</b>	<b>333</b>	<b>12.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FARALLON CAP MGMT	040	GGP	S	195,000	35.83	n/a	n/a	7,800.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>GIL</b>	<b>S</b>	<b>700</b>	<b>39.89</b>	<b>5-Nov</b>	<b>11-Dec</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BACKUS</b>	<b>005</b>	<b>GLW</b>	<b>S</b>	<b>1,000</b>	<b>22.03</b>	<b>n/a</b>	<b>26-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>PAKLER</b>	<b>N22</b>	<b>GMOX</b>	<b>B</b>	<b>16</b>	<b>1.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
U.S.TRUST COMPANY	012	GNW	B	110,000	24.60	n/a	n/a	3,300.00	3.0
AAD CAPITAL	048	GPI	B	3,700	19.99	31-Oct	31-Oct	148.00	4.0
NATIONAL BK OF CAN	031	GS	S	10,000	191.52	21-Sep	19-Dec	400.00	4.0
ROXBURY CAPITAL MGMT	035	GYMB	S	415,410	26.79	10-Aug	7-Jan	16,616.40	4.0
MATTHES CAPITAL MGMT	033	HIBB	B	25,000	16.33	n/a	n/a	1,000.00	4.0
<b>SUTERA</b>	<b>160</b>	<b>ILA</b>	<b>B</b>	<b>5,000</b>	<b>3.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CRAMER ROSENTHAL/SCOTT	012	IVZ	B	3,100	28.90	20-Dec	4-Jan	124.00	4.0
COUGAR TRADING	044	JCG	SS	10,000	41.29	28-Nov	30-Nov	500.00	5.0
DELTEC ASSET MGMT.	040	JCP	S	16,000	35.89	4-Jan	7-Jan	800.00	5.0
<b>CRAGO</b>	<b>000</b>	<b>JMBA</b>	<b>B</b>	<b>500</b>	<b>3.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WASHINGTON ASSET	048	KALU	S	4,000	72.40	n/a	n/a	200.00	5.0
CRAMER ROSENTHAL/SCOTT	012	KCI	B	100	53.10	n/a	n/a	4.00	4.0
SYSTEMATIC FINANCIAL MGT	035	KCP	B	12,500	15.59	28-Sep	28-Sep	468.75	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	KSS	SS	10,000	40.99	4-Jan	7-Jan	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KWD</b>	<b>S</b>	<b>70,000</b>	<b>18.04</b>	<b>n/a</b>	<b>n/a</b>	<b>2,800.00</b>	<b>4.0</b>
LEHMAN PROPRIETARY TRAD	041	LEN	S	300,000	15.75	n/a	n/a	12,000.00	4.0
CRAMER ROSENTHAL/SCOTT	012	LSI	B	100	4.49	n/a	n/a	4.00	4.0
STADIA CAPITAL	035	LVS	B	20,000	85.46	n/a	n/a	800.00	4.0
CATAPULT	040	MF	B	5,000	28.54	n/a	n/a	250.00	5.0
CRAMER ROSENTHAL/SCOTT	012	MIL	B	700	72.60	n/a	n/a	28.00	4.0
ROTHSCHILD ASSET	040	MTN	S	10,200	52.62	n/a	n/a	459.00	4.5
J L F ASSET MGMT	039	M	B	21,260	22.79	4-Jan	7-Jan	850.40	4.0
<b>BACKUS</b>	<b>005</b>	<b>M</b>	<b>B</b>	<b>15,000</b>	<b>22.61</b>	<b>4-Jan</b>	<b>7-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>NCC</b>	<b>SS</b>	<b>500</b>	<b>15.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CRAMER ROSENTHAL/SCOTT	012	NCR	B	4,200	22.88	n/a	n/a	168.00	4.0
<b>WHEELER</b>	<b>008</b>	<b>NLC</b>	<b>S</b>	<b>2,500</b>	<b>24.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NATIONAL BK OF CAN	031	NMX	B	10,000	123.32	n/a	n/a	400.00	4.0
<b>Õ Manny Korman</b>		<b>NNP</b>	<b>B</b>	<b>5000</b>	<b>14.7500</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CLOVIS CAPITAL	012	NUE	SS	120,000	54.03	n/a	n/a	6,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	ODSY	B	15,660	9.81	n/a	n/a	783.00	5.0
DIAMONDBACK CAP MGMT	232	PCAR	SS	10,000	51.79	n/a	n/a	500.00	5.0
CRAMER ROSENTHAL/SCOTT	012	PNC	B	900	62.28	n/a	n/a	36.00	4.0
<b>Õ Manny Korman</b>		<b>PNF</b>	<b>B</b>	<b>5000</b>	<b>12.5500</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	PPG	SS	40,000	66.94	n/a	30-Nov	2,000.00	5.0
COUGAR TRADING	044	PSUN	B	15,000	12.52	n/a	7-Jan	750.00	5.0
J L F ASSET MGMT	039	PSUN	B	16,800	12.51	n/a	7-Jan	672.00	4.0
FIRST NEW YORK LEDDY	035	Q	B	5,000	6.56	n/a	n/a	300.00	6.0
<b>CROWLEY</b>	<b>061</b>	<b>RNJ</b>	<b>S</b>	<b>1,035</b>	<b>16.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>SEED</b>	<b>S</b>	<b>10,000</b>	<b>10.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CRAMER ROSENTHAL/SCOTT	012	SFI	B	2,400	24.25	n/a	n/a	96.00	4.0
<b>KAICHER</b>	<b>064</b>	<b>SNCR</b>	<b>B</b>	<b>24</b>	<b>30.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SENECA CAPITAL LP NY	028	SPY	S	42,000	140.81	n/a	n/a	2,100.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SSI</b>	<b>B</b>	<b>25,000</b>	<b>12.50</b>	<b>4-Jan</b>	<b>7-Jan</b>	<b>1,000.00</b>	<b>4.0</b>
CATAPULT	040	STT	B	5,000	82.72	n/a	n/a	250.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>TIBX</b>	<b>B</b>	<b>100</b>	<b>6.50</b>	<b>n/a</b>	<b>3-Apr</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAPITAL	038	TIN	B	200,000	18.35	11-Dec	11-Dec	10,000.00	5.0
J L F ASSET MGMT	039	TLB	B	27,400	9.42	n/a	7-Jan	1,096.00	4.0
BACKUS	005	UTVG	B	10,000	3.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	B	10,000	65.79	n/a	n/a	400.00	4.0
CRAMER ROSENTHAL/SCOTT	012	WEC	B	1,300	47.87	n/a	n/a	52.00	4.0
JP MORGAN VENTURES	046	WRNC	B	15,000	30.02	6-Nov	12-Nov	750.00	5.0
MATTHES CAPITAL MGMT	033	WTW	SS	10,000	44.74	n/a	n/a	400.00	4.0
PILOT ADV	038	WY	B	8,000	69.11	1-Nov	1-Nov	320.00	4.0
RHO CAPITAL MGMT	041	XSI	S	4,300	2.70	n/a	n/a	150.51	3.5
<b>TOTAL (Trade Date) :</b>								<b>136,486.83</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	01/03	TRELLUS PARTNERS LP (TRELMMERR)	028	ARTC	SS	20	51.85	n/a	n/a	1.00	5.0
AO,CXL	01/03	TRELLUS PARTNERS LP (TRELGS)	028	ARTC	SS	20	51.85	n/a	n/a	1.00	5.0
AO,CXL	01/03	TRELLUS PARTNERS LP (TRELMAIN)	028	ARTC	SS	160	51.85	n/a	n/a	8.00	5.0
AO,	01/03	TRELLUS PARTNERS LP (TRELMAIN)	028	ARTC	SS	200	51.85	n/a	n/a	10.00	5.0
AO,CXL	01/04	MKT MAKER (MKT MAKER)	099	BONT	S	100	6.85	4-Jan	7-Jan	0.00	0.0
AO,CXL	01/04	MKT MAKER (MKT MAKER)	099	BONT	S	331	6.72	4-Jan	7-Jan	0.00	0.0
AO,CXL	01/04	MKT MAKER (MKT MAKER)	099	BONT	S	169	6.72	4-Jan	7-Jan	0.00	0.0
AO,	01/04	MKT MAKER (MKT MAKER)	097	BONT	S	100	6.85	4-Jan	7-Jan	0.00	0.0
AO,	01/04	MKT MAKER (MKT MAKER)	097	BONT	S	169	6.72	4-Jan	7-Jan	0.00	0.0
AO,	01/04	MKT MAKER (MKT MAKER)	097	BONT	S	331	6.72	4-Jan	7-Jan	0.00	0.0
AO,	01/04	SASCO (SASCRAVTHE)	038	HPC	B	1,200	18.01	n/a	n/a	60.00	5.0
AO,CRT	01/04	WHEELER (WHEELER)	008	JNJGN	S	30	1.75	n/a	n/a	0.00	0.0
AO,CXL	01/04	WHEELER (WHEELER)	008	JNJGN	S	30	1.75	n/a	n/a	0.00	0.0
CRT	01/07	MKT MAKER (MKT MAKER)	099	TIBX	B	100	6.50	n/a	3-Apr	0.00	0.0
AO,CRT	01/04	WHEELER (WHEELER)	008	VNLAE	S	100	2.45	n/a	n/a	0.00	0.0
AO,CXL	01/04	WHEELER (WHEELER)	008	VNLAE	S	100	2.45	n/a	n/a	0.00	0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>60.00</b>	

TOTAL (Trade Date) :

136,486.83

TOTAL (AO, CXL, CRT):

60.00

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**TOTAL (RUN DATE) / NFS:**

**136,546.83**

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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION