



# Daily Compliance Activity Report

Trade Date: 12/24/2007

## POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	S	14,200	21.21	9-Nov	7-Dec	568.00	4.0
BUCK CAPITAL MGMT		AEO	S	30,000	21.15	9-Nov	7-Dec	non-brg	4.0
BUCK CAPITAL MGMT	001	ANF	S	20,000	83.26	2-Oct	7-Dec	800.00	4.0
BUCK CAPITAL MGMT		ANN	B	40,000	26.37	6-Aug	7-Dec	non-brg	4.0
BUCK CAPITAL MGMT		ARO	S	10,000	27.75	29-Nov	7-Dec	non-brg	1.0
EFSTATHIOU	044	BBW	S	400	14.79	19-Dec	19-Dec	0.00	0.0
COUGAR TRADING	044	BONT	B	20,000	11.66	9-Nov	7-Dec	1,000.00	5.0
MAGUIRE	090	BONT	S	2,800	11.36	9-Nov	7-Dec	0.00	0.0
BUCK CAPITAL MGMT		CACH	B	10,000	10.30	n/a	n/a	non-brg	1.0
SAXON WOODS ADVISORS	038	CHS	S	20,000	9.58	5-Dec	7-Dec	800.00	4.0
MERRILL ASSET	032	CNC	S	10,500	26.71	n/a	n/a	472.50	4.5
BUCK CAPITAL MGMT	001	CRI	B	30,000	20.15	n/a	n/a	1,200.00	4.0
SAXON WOODS ADVISORS	038	DRI	B	10,000	27.71	n/a	24-Apr	500.00	5.0
OGORMAN	046	DXD	S	700	47.95	n/a	n/a	0.00	0.0
SUTERA	160	ETFC	B	2,500	3.66	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GES	S	20,000	42.46	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	GIL	S	10,000	40.98	5-Nov	11-Dec	400.00	4.0
⚡ BUCK CAPITAL MGMT		GYMB	S	10,000	32.88	10-Aug	7-Dec	non-brg	4.0
MERRILL ASSET	032	HK	B	53,100	17.53	n/a	n/a	2,389.50	4.5
SAXON WOODS ADVISORS	038	HMA	S	10,000	6.09	31-Jul	3-Dec	400.00	4.0
⚡ BUCK CAPITAL MGMT		HSTX	S	12,500	18.05	n/a	n/a	non-brg	4.0
MERRILL ASSET	032	HUM	B	12,500	76.96	n/a	n/a	562.50	4.5
ARIENCE CAPITAL	036	IVZ	B	71,000	31.30	20-Dec	24-Dec	3,550.00	5.0
⚡ BUCK CAPITAL MGMT		IWM	C	170,000	79.12	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	JAH	B	25,000	24.46	n/a	n/a	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		JCG	S	10,000	50.79	28-Nov	30-Nov	non-brg	1.0
BUCK CAPITAL MGMT	001	JNY	B	45,000	16.82	n/a	1-Nov	1,800.00	4.0
COUGAR TRADING	044	JWN	B	5,000	37.72	20-Nov	7-Dec	250.00	5.0
MS PRINCIPAL STRATEGIES	041	KND	SS	15,000	25.93	n/a	n/a	750.00	5.0
COUGAR TRADING	044	KSS	B	5,000	46.38	9-Nov	7-Dec	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	KSS	SS	5,000	46.28	9-Nov	7-Dec	250.00	5.0
⌘ BUCK CAPITAL MGMT		LAMR	S	10,000	48.35	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	LTD	SS	15,000	18.98	n/a	7-Dec	600.00	4.0
EFSTATHIOU	044	LUV	S	500	12.60	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MVRX	C	29,500	154.14	n/a	n/a	non-brg	15.4
⌘ BUCK CAPITAL MGMT		M	B	10,000	26.98	9-Nov	7-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		M	S	10,000	27.13	9-Nov	7-Dec	non-brg	1.0
COUGAR TRADING	044	M	B	10,000	27.08	9-Nov	7-Dec	500.00	5.0
⌘ BUCK CAPITAL MGMT		PLCE	S	10,000	27.58	3-Dec	7-Dec	non-brg	4.0
KNOTT PARTNERS	044	PRX	S	50,000	22.81	28-Sep	21-Dec	2,000.00	4.0
BUCK CAPITAL MGMT	001	PVH	S	10,000	39.21	5-Dec	21-Dec	400.00	4.0
⌘ BUCK CAPITAL MGMT		RSTO	S	27,000	6.75	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RTH	C	140,000	95.14	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RVI	B	50,000	4.97	n/a	n/a	non-brg	1.0
OGORMAN	046	SDS	S	650	51.93	n/a	n/a	0.00	0.0
BARR	096	SYNP	B	1,500	5.25	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		TJX	S	10,000	29.53	21-Sep	7-Dec	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		TRLG	S	5,000	21.06	n/a	n/a	non-brg	4.0
EFSTATHIOU	044	TT	S	300	46.38	17-Dec	17-Dec	0.00	0.0
COUGAR TRADING	044	TWB	B	5,000	26.63	19-Nov	19-Nov	250.00	5.0
ROYCE TRADING	216	UNT	S	25,000	44.75	n/a	30-Nov	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		URBN	S	40,000	27.13	9-Nov	7-Dec	non-brg	4.0
EFSTATHIOU	044	VC	B	1,000	4.55	n/a	13-Dec	0.00	0.0
MERRILL ASSET	032	VQ	S	10,300	19.71	n/a	n/a	463.50	4.5
CROWLEY	061	WHT	B	2,000	2.00	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		WRNC	S	5,000	36.98	6-Nov	12-Nov	non-brg	1.0
TOTAL (Trade Date):								23,206.00	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/21	US BANCORP	030	ACN	B	294,421	37.93	n/a	n/a	14721.05 5.0
AO,	12/21	US BANCORP	030	CBK	S	48,936	13.54	n/a	20-Dec	2446.80 5.0
AO,	12/21	SAXON WOODS ADVISORS	038	DFT	S	1,800	20.40	n/a	n/a	90.00 5.0
AO,	12/21	FIRST INV MGMT CORP	036	HVT	S	20,000	10.04	n/a	n/a	1000.00 5.0
AO,CRT	12/21	CAPITAL GROWTH MGMT.	241	NOV	S	25,000	71.59	n/a	n/a	1000.00 4.0
AO,CXL	12/21	CAPITAL GROWTH MGMT.	241	NOV	S	25,000	71.59	n/a	n/a	1000.00 4.0
AO,	12/21	STG INVESTORS	041	QQQQ	SS	50,000	51.81	n/a	n/a	2000.00 4.0
AO,CXL	12/21	STG INVESTORS	041	QQQQ	SS	50,000	51.81	n/a	n/a	2500.00 5.0
AO,CRT	12/21	BUCK CAPITAL MGMT	001	RVI	B	50,000	4.95	n/a	n/a	1500.00 3.0
AO,CXL	12/21	BUCK CAPITAL MGMT	001	RVI	B	50,000	4.95	n/a	n/a	2000.00 4.0
AO,CRT	12/19	SEMINOLE CAP	036	STI	B	109,372	64.01	n/a	n/a	5468.60 5.0
AO,CXL	12/19	SEMINOLE CAP	036	STI	B	109,372	64.01	n/a	n/a	5468.60 5.0
AO,	12/21	ROTHSCHILD ASSET	040	TRA	S	13,630	45.21	n/a	n/a	613.35 4.5
AO,	12/18	PATTEN & PATTEN	067	TT	S	1,135	45.47	17-Dec	17-Dec	56.75 5.0
AO,CRT	12/18	PATTEN & PATTEN	067	TT	S	430	45.47	17-Dec	17-Dec	21.50 5.0
AO,CXL	12/18	PATTEN & PATTEN	067	TT	S	1,565	45.47	17-Dec	17-Dec	78.25 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>17,871.20</b>

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TOTAL (Trade Date):	23,206.00
TOTAL (AO, CXL, CRT):	17,871.20

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>41,077.20</b>
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MRI Securities with Trade Activity on 24-Dec. NONE
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MRI Securities without Trade Activity on 24-Dec. MER
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 33% YTD = 21%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION