



Daily Compliance Activity Report

Trade Date: 12/29/2008

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BULL PATH CAPITAL	044	AAP	S	20,000	31.03	n/a	n/a	1,000.00	5.0
AMBER CAPITAL	046	AA	SS	122,900	9.80	n/a	n/a	4,916.00	4.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	9.07	n/a	12-Dec	1,500.00	3.0
CARDINAL CAPITAL	044	AEO	B	53,400	9.01	n/a	12-Dec	2,670.00	5.0
CARDINAL CAPITAL	044	AMG	S	88,500	36.82	13-Nov	17-Dec	4,425.00	5.0
BLUE HARBOR	036	AMZN	B	53,600	49.85	n/a	n/a	2,680.00	5.0
ß BUCK CAPITAL MGMT		BARE	B	30,000	4.35	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BBBY	C	22,800	24.61	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BBBY	SS	22,800	24.45	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BBY	C	2,600	25.99	n/a	27-Jul	non-brg	2.5
ß BUCK CAPITAL MGMT		BBY	SS	2,600	26.14	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT	001	BKS	SS	30,000	13.33	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		BKS	C	11,500	13.24	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BKS	SS	11,500	13.20	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BOBE	C	4,600	19.52	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BOBE	SS	4,600	19.36	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BWLD	C	3,300	23.41	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BWLD	SS	3,300	23.05	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CACH	S	8,801	1.84	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		COH	SS	50,000	19.61	18-Dec	18-Dec	non-brg	4.0
SCOPUS FUND	041	DKS	SS	15,000	12.46	7-Oct	12-Dec	750.00	5.0
NWQ INVESTMENT MGMT CO	048	DNR	B	49,529	9.72	n/a	n/a	1,485.87	3.0
BUCK CAPITAL MGMT		DXD	S	2,500	57.60	n/a	n/a	non-brg	1.0
DENVER INST. ADV	046	FL	B	265,385	7.40	7-Oct	12-Dec	7,961.55	3.0
DEVN	046	FL	B	200	7.40	7-Oct	12-Dec	6.00	3.0
BUCK CAPITAL MGMT		FOSL	B	200,000	15.43	10-Nov	12-Dec	non-brg	4.0
BUCK CAPITAL MGMT		FOSL	B	50,000	15.95	10-Nov	12-Dec	non-brg	2.0
BUCK CAPITAL MGMT	001	GCO	S	50,200	16.12	n/a	10-Jan	2,008.00	4.0
BUCK CAPITAL MGMT	001	GES	B	40,000	14.43	n/a	n/a	1,600.00	4.0
LISTED ERR	099	GLD	B	50	86.48	n/a	n/a	0.00	0.0
LISTED ERR	099	GOLD	S	50	43.36	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		GRMN	C	9,000	19.07	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		GRMN	SS	9,000	18.90	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HOT	C	5,300	16.05	n/a	n/a	non-brg	2.5

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BUCK CAPITAL MGMT		HOT	SS	5,300	15.90	n/a	n/a	non-brg 4.0
SERENGETI MGMT	030	HRC	B	38,200	15.61	n/a	n/a	1,910.00 5.0
MERRILL ASSET	032	IPI	B	2,600	19.42	n/a	n/a	117.00 4.5
BUCK CAPITAL MGMT		JCG	C	800	11.41	n/a	12-Dec	non-brg 2.5
BUCK CAPITAL MGMT		JCG	SS	800	11.31	n/a	12-Dec	non-brg 4.0
BUCK CAPITAL MGMT		JCP	C	32,800	18.32	17-Nov	12-Dec	non-brg 2.5
BUCK CAPITAL MGMT		JCP	SS	32,800	18.15	17-Nov	12-Dec	non-brg 4.0
FORD FDN.	032	JEC	S	50,000	44.73	n/a	n/a	2,500.00 5.0
BUCK CAPITAL MGMT		JWN	C	9,600	11.63	14-Nov	12-Dec	non-brg 2.5
BUCK CAPITAL MGMT		JWN	SS	9,600	11.59	14-Nov	12-Dec	non-brg 4.0
BUCK CAPITAL MGMT	001	KSS	B	160,000	33.60	n/a	12-Dec	6,400.00 4.0
COUGAR TRADING	044	KSS	B	5,000	33.75	n/a	12-Dec	250.00 5.0
BUCK CAPITAL MGMT		MAS	C	1,900	10.48	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		MAS	SS	1,900	10.44	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		MW	C	8,100	12.98	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		MW	SS	8,100	12.89	n/a	n/a	non-brg 4.0
SCOPUS FUND	041	MW	SS	5,000	12.80	n/a	n/a	250.00 5.0
BUCK CAPITAL MGMT		MZZ	S	2,000	65.36	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		NKE	B	50,000	48.88	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		PETM	C	4,600	17.00	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		PETM	SS	4,600	16.99	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	PLCE	SS	5,000	19.89	21-Nov	12-Dec	250.00	5.0
OTC ERROR	099	PLCE	B	2,205	19.91	21-Nov	12-Dec	0.00	0.0
ß BUCK CAPITAL MGMT		PZZA	C	4,200	17.45	n/a	24-Apr	non-brg	2.5
ß BUCK CAPITAL MGMT		PZZA	SS	4,200	17.35	n/a	24-Apr	non-brg	4.0
US BANCORP	030	RIG	B	39,878	46.19	n/a	n/a	1,993.90	5.0
ß BUCK CAPITAL MGMT		RL	C	17,700	42.38	n/a	6-Nov	non-brg	2.5
ß BUCK CAPITAL MGMT		RL	SS	17,700	41.84	n/a	6-Nov	non-brg	4.0
MERRILL ASSET	032	ROH	S	71,100	49.95	n/a	11-Sep	3,199.50	4.5
ß BUCK CAPITAL MGMT		ROST	C	600	28.66	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		ROST	SS	600	28.58	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RSH	C	11,800	11.40	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		RSH	SS	11,800	11.34	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	RYN	B	35,400	29.50	n/a	23-Oct	1,770.00	5.0
NWQ INVESTMENT MGMT CO	048	SFD	S	3,100	12.24	n/a	n/a	124.00	4.0
ß BUCK CAPITAL MGMT		TGT	C	9,200	32.07	17-Nov	22-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		TGT	SS	9,200	32.04	17-Nov	22-Dec	non-brg	4.0
THIRD COAST CAPITAL	035	TIF	SS	15,000	21.20	n/a	12-Dec	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SERENGETI MGMT	030	TROW	B	25,000	31.17	19-Sep	17-Dec	1,250.00	5.0
ß BUCK CAPITAL MGMT		TSCO	C	8,927	33.25	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		TSCO	SS	8,927	32.94	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	031	UTHR	B	5,225	60.32	n/a	n/a	261.25	5.0
ß BUCK CAPITAL MGMT		WFMI	C	20,700	8.77	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		WFMI	SS	20,700	8.89	n/a	n/a	non-brg	3.0
CENTURION GLOBAL MGMT LL	041	WMT	S	15,800	54.94	10-Oct	12-Dec	474.00	3.0
NWQ INVESTMENT MGMT CO	048	WPP	S	2,700	10.41	n/a	n/a	108.00	4.0
ß BUCK CAPITAL MGMT		WSM	C	26,900	7.37	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		WSM	SS	26,900	7.26	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		XRT	C	115,000	19.08	n/a	n/a	non-brg	2.0
MATRIX ASSET ADV.	036	YHOO	B	15,000	11.76	n/a	n/a	450.00	3.0
BUCK CAPITAL MGMT	001	YUM	SS	20,000	29.60	14-Aug	10-Dec	800.00	4.0
ß BUCK CAPITAL MGMT		ZLC	C	7,700	3.26	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		ZLC	SS	7,700	3.20	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		ZVZZT	B	100	1.00	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		ZVZZT	B	101	1.10	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		ZVZZT	SS	102	1.20	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		ZVZZT	SS	103	1.30	n/a	n/a	non-brg 3.0
TOTAL (Trade Date):								55,060.07

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/26	DENVER INST. ADV	046	AEO	B	324,100	9.14	n/a	12-Dec	9723.00 3.0
AO,	12/26	DENVER INST. ADV	046	AEO	B	324,100	9.14	n/a	12-Dec	9723.00 3.0
AO,	12/23	PATTEN & PATTEN	067	CSJ	B	150	100.64	n/a	n/a	7.50 5.0
AO,CRT	12/23	PATTEN & PATTEN	067	CSJ	B	200	100.64	n/a	n/a	10.00 5.0
AO,CXL	12/23	PATTEN & PATTEN	067	CSJ	B	350	100.64	n/a	n/a	17.50 5.0
AO,	12/23	LISTED ERR	099	GLD	S	50	86.63	n/a	n/a	0.00 0.0
AO,	12/23	PATTEN & PATTEN	067	GLD	B	50	86.63	n/a	n/a	2.50 5.0
AO,CRT	12/23	LISTED ERR	099	GOLD	B	50	42.50	n/a	n/a	0.00 0.0
AO,CXL	12/23	PATTEN & PATTEN	067	GOLD	B	50	42.50	n/a	n/a	2.50 5.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date):	55,060.07
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TOTAL (AO, CXL, CRT):	0.00
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TOTAL (RUN DATE) / NFS:	55,060.07
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MRI Securities with Trade Activity on 29-Dec. NONE

MRI Securities without Trade Activity on 29-Dec.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 31%
YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades