



# Daily Compliance Activity Report

Trade Date: 07/06/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EPOCH INV MGMT	030	AAPL	B	1,800	251.01	n/a	n/a	54.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ABG</b>	<b>S</b>	<b>50,000</b>	<b>10.24</b>	<b>n/a</b>	<b>4-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>ACUZ</b>	<b>S</b>	<b>2000</b>	<b>1.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	AEO	B	5,000	11.75	n/a	30-Jun	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>B</b>	<b>20,000</b>	<b>16.30</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
COOPER CREEK PART	028	AXL	B	25,000	7.29	n/a	3-May	1,250.00	5.0
OZ MGMT	040	AXL	B	105,236	7.14	n/a	3-May	3,157.08	3.0
AVESTA CAP	229	AXP	S	100,000	39.54	n/a	30-Jun	3,000.00	3.0
EPOCH INV MGMT	030	BA	B	7,200	62.24	n/a	30-Jun	216.00	3.0
CATAPULT	040	BBT	B	3,875	26.39	n/a	n/a	193.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>S</b>	<b>10,000</b>	<b>33.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
WHITE PINE CAPITAL	030	BEBE	S	8,975	6.79	n/a	n/a	448.75	5.0
NEUBERGER BERMAN	036	BUCY	S	48,900	49.94	n/a	30-Apr	1,956.00	4.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BZ</b>	<b>B</b>	<b>10000</b>	<b>4.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>CAR</b>	<b>B</b>	<b>200</b>	<b>8.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA PARTNERS	028	CFSG	S	18,874	8.99	n/a	n/a	566.22	3.0
BUCK CAPITAL MGMT	001	CHS	B	40,000	10.00	n/a	5-Feb	1,200.00	3.0
ß BUCK CAPITAL MGMT		CNW	B	30,000	30.10	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	COL	B	4,400	53.13	n/a	26-Apr	132.00	3.0
MERRILL ASSET/BLACKROCK	266	CRR	S	4,200	76.63	n/a	6-Jul	168.00	4.0
f JOSEPH CIRO AMATURO	FID	CVGI	B	10000	10.08	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DBRN	S	20,000	24.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DECK	C	30,000	46.71	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	DHR	B	4,300	36.67	n/a	n/a	129.00	3.0
MTB INV ADV	034	DHX	S	15,000	6.95	n/a	n/a	450.00	3.0
ß BUCK CAPITAL MGMT		DKS	SS	10,000	24.41	n/a	11-Jun	non-brg	4.0
GLENHILL CAPITAL	212	EBAY	S	250,000	19.65	n/a	n/a	12,500.00	5.0
ß BUCK CAPITAL MGMT		ECL	S	24,600	45.41	n/a	26-May	non-brg	2.0
ß BUCK CAPITAL MGMT		ECL	S	25,000	45.44	n/a	26-May	non-brg	4.0
SENATOR INVESTMENT GROUP	036	EEM	B	100,000	38.20	n/a	n/a	3,000.00	3.0
BUCK CAPITAL MGMT	001	EL	SS	10,000	59.24	n/a	7-Jun	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		ETH	SS	20,000	13.75	n/a	n/a	non-brg	4.0
f SCOTT L BRUNNER	FID	FAZ	B	2000	17.39	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FINL	B	50,000	14.42	n/a	n/a	non-brg	2.5
SENVEST PART	085	FOR	S	40,000	18.02	n/a	28-Jun	2,000.00	5.0
B BUCK CAPITAL MGMT		FOSL	B	50,000	37.10	n/a	n/a	non-brg	4.0
KENNEDY CAPITAL	046	FUL	B	53,600	18.80	n/a	n/a	2,144.00	4.0
WOOSTER CAPITAL	035	F	B	100,000	10.10	n/a	2-Jul	5,000.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	50,000	25.88	n/a	n/a	2,000.00	4.0
LEVEL GLOBAL SHARED ACCT	257	GES	S	50,000	32.16	n/a	28-May	1,500.00	3.0
SCOPUS FUND	041	GPI	B	8,165	22.48	n/a	28-Apr	408.25	5.0
TRELLUS PARTNERS LP	028	GR	SS	10,000	64.90	n/a	4-Jun	500.00	5.0
B BUCK CAPITAL MGMT		GYMB	S	20,000	42.33	n/a	30-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		GYMB	S	4,000	42.70	n/a	30-Jun	non-brg	4.0
EXIS CAPITAL	044	GYMB	B	17,500	42.62	n/a	30-Jun	875.00	5.0
B BUCK CAPITAL MGMT		HBI	B	20,000	23.88	n/a	14-May	non-brg	4.0
f JASON ERIC COHEN	FID	HTZ	B	180	8.69	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOUT/UMB	047	IAU	B	10,970	11.69	n/a	n/a	548.50	5.0
ELM RIDGE ADVISORS	044	IP	S	2,600	23.22	n/a	28-Jun	104.00	4.0
CAPITAL GROWTH MGMT.	241	ITUB	B	100,000	19.29	n/a	n/a	4,000.00	4.0
⚡ BUCK CAPITAL MGMT		IWM	SS	300,400	59.80	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	JAH	B	10,000	26.56	n/a	n/a	400.00	4.0
EXIS CAPITAL	044	JAH	S	5,000	26.50	n/a	n/a	250.00	5.0
⚡ BUCK CAPITAL MGMT		JCG	S	10,000	35.06	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	JCG	B	5,000	37.09	n/a	n/a	250.00	5.0
ROYCE TRADING	012	JNS	B	500	9.13	n/a	n/a	25.00	5.0
BUCK CAPITAL MGMT	001	JNY	B	20,000	15.46	n/a	14-May	800.00	4.0
EXIS CAPITAL	044	JNY	B	22,500	14.59	n/a	14-May	1,125.00	5.0
⚡ BUCK CAPITAL MGMT		JOSB	B	20,000	54.42	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		JWN	B	10,000	32.85	n/a	30-Jun	non-brg	4.0
EXIS CAPITAL	044	JWN	B	10,000	32.58	n/a	30-Jun	500.00	5.0
CAVU CAP ADV	028	KEG	S	34,339	9.37	n/a	6-Jul	1,716.95	5.0
⚡ BUCK CAPITAL MGMT		KMX	SS	10,000	19.34	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		KNX	S	45,000	20.18	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		KSS	S	10,000	47.23	n/a	30-Jun	non-brg	4.0
BUCK CAPITAL MGMT		LEA	S	21,200	64.48	n/a	7-May	non-brg	4.0
MANNY I KORMAN	FID	LMI	S	955	28.29	n/a	n/a	0.00	0.0
SCOUT/UMB	047	LQD	S	13,911	108.32	n/a	n/a	695.55	5.0
BUCK CAPITAL MGMT		MAC	SS	10,000	36.58	n/a	n/a	non-brg	4.0
MERRILL ASSET/BLACKROCK	266	MAC	S	21,000	36.61	n/a	n/a	840.00	4.0
THE JAY GOLDMAN	028	MGM	S	10,000	9.31	n/a	15-Jun	400.00	4.0
BUCK CAPITAL MGMT		MSMSRAWW	SS	50,000	82.24	n/a	n/a	non-brg	8.2
BUCK CAPITAL MGMT		M	S	60,000	17.41	n/a	30-Jun	non-brg	4.0
EXIS CAPITAL	044	M	B	10,000	17.62	n/a	30-Jun	500.00	5.0
SCOPUS FUND	041	M	S	100,000	17.49	n/a	30-Jun	5,000.00	5.0
BUCK CAPITAL MGMT		NILE	SS	10,000	45.71	n/a	n/a	non-brg	4.0
CAPITAL GROWTH MGMT.	241	NTAP	S	100,000	37.78	n/a	n/a	5,000.00	5.0
THE JAY GOLDMAN	028	OEH	S	10,000	7.41	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		PAG	S	50,000	11.12	n/a	3-May	non-brg	4.0
ELM RIDGE ADVISORS	044	PCL	B	1,500	34.56	n/a	28-Jun	60.00	4.0
BUCK CAPITAL MGMT		PII	SS	10,000	53.10	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	028	PNK	B	10,000	8.70	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		PRGO	SS	30,000	58.00	n/a	6-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSS	S	50,000	15.88	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSS	S	8,150	16.48	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	PVH	B	20,000	46.36	n/a	26-May	800.00	4.0
⌘ BUCK CAPITAL MGMT		PVH	B	10,000	44.50	n/a	26-May	non-brg	4.0
FEDERATED INV/KAUFMAN	029	QCOM	S	100,000	32.90	n/a	n/a	5,500.00	5.5
⌘ BUCK CAPITAL MGMT		QKLS	S	10,000	4.00	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		QKLS	S	10,270	3.90	n/a	n/a	non-brg	0.8
ROYCE TRADING	012	RBN	B	2,800	21.08	n/a	n/a	140.00	5.0
⌘ BUCK CAPITAL MGMT		RDK	SS	10,000	31.50	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	RL	B	10,000	71.44	n/a	20-May	500.00	5.0
ROYCE TRADING	012	RTI	B	5,000	23.48	n/a	n/a	250.00	5.0
ROYCE TRADING	012	SFY	B	10,000	25.94	n/a	n/a	500.00	5.0
ROYCE TRADING	012	SGY	B	5,000	11.13	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		SHOO	B	20,000	32.00	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	B	20,000	39.60	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	SKX	B	10,000	37.10	n/a	n/a	500.00	5.0
COBALT	041	SLM	B	50,000	10.63	n/a	30-Jun	2,500.00	5.0
AMBER CAPITAL	046	SNV	SS	206,000	2.41	n/a	n/a	6,180.00	3.0
TRAFELET & COMP.	028	SOA	SS	63,700	12.68	n/a	n/a	1,911.00	3.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>SPWRA</b>	<b>S</b>	<b>5000</b>	<b>13.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SWY</b>	<b>SS</b>	<b>20,000</b>	<b>19.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TEX</b>	<b>S</b>	<b>100,000</b>	<b>18.04</b>	<b>n/a</b>	<b>30-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TEX</b>	<b>S</b>	<b>20,000</b>	<b>17.51</b>	<b>n/a</b>	<b>30-Apr</b>	<b>non-brg</b>	<b>0.8</b>
ELM RIDGE ADVISORS	044	TIN	S	2,300	20.23	n/a	28-Jun	92.00	4.0
SIGMA CAPITAL ASSOCIATES	041	TJX	SS	97,100	42.70	n/a	30-Jun	3,398.50	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>TLB</b>	<b>B</b>	<b>20,000</b>	<b>10.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	TLB	S	5,000	10.44	n/a	n/a	250.00	5.0
ROYCE TRADING	012	TLB	B	8,300	10.05	n/a	n/a	415.00	5.0
ROYCE TRADING	012	TRN	B	5,000	17.37	n/a	n/a	250.00	5.0
ROYCE TRADING	012	TXI	B	5,000	27.82	n/a	n/a	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>10,000</b>	<b>33.97</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	URBN	SS	10,000	34.96	n/a	n/a	500.00	5.0
ROYCE TRADING	012	UTR	B	3,000	25.13	n/a	n/a	150.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		VSI	SS	10,000	23.38	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VSI	SS	20,000	23.62	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	V	B	4,400	73.82	n/a	28-Jun	132.00	3.0
NEUBERGER BERMAN	036	WGL	B	25,000	34.24	n/a	n/a	1,000.00	4.0
f FRANCIS MICHAEL MCCA	FID	WMS	B	200	38.71	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WNC	B	150,000	6.61	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		WNC	B	80,000	6.62	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		WNC	B	95,000	6.56	n/a	n/a	non-brg	0.8
NEUBERGER BERMAN	036	WTR	B	25,000	17.84	n/a	n/a	1,000.00	4.0
THE JAY GOLDMAN	028	WYN	S	25,000	20.38	n/a	n/a	1,000.00	4.0
COHE & STEERS	041	WY	S	22,567	34.77	n/a	28-Jun	902.68	4.0
ß BUCK CAPITAL MGMT		XLF	C	585,100	13.79	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	C	50,000	36.50	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		XRT	SS	100,000	35.66	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	SS	25,000	35.63	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		XRT	SS	4,500	36.11	n/a	n/a	non-brg	2.0
EXIS CAPITAL	044	XRT	S	50,000	36.30	n/a	n/a	2,500.00	5.0
f EDWARD W WHEELER	FID	ZINC	S	5000	8.42	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	ZLC	S	3500	1.43	n/a	n/a	0.00	0.0



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**TOTAL (Trade Date):**

**98,984.23**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/02	FIRST NEW YORK LEDDY	035	BSX	B	10,000	6.12	n/a	n/a	400.00 4.0
AO,CXL	07/02	FIRST NEW YORK LEDDY	035	BSX	B	10,000	6.12	n/a	n/a	600.00 6.0
AO,CRT	07/01	METROPOLITAN CAPITAL	044	DAN	B	2,800	9.40	n/a	30-Apr	140.00 5.0
AO,CXL	07/01	METROPOLITAN CAPITAL	044	DAN	B	2,800	9.40	n/a	30-Apr	140.00 5.0
AO,	07/02	METROPOLITAN CAPITAL	044	DAN	B	34,900	9.51	n/a	30-Apr	1745.00 5.0
AO,CXL	07/02	MTB INV ADV	034	DHX	S	20,750	6.98	n/a	n/a	1037.50 5.0
AO,CRT	07/02	MTB INV ADV	034	DHX	S	20,750	6.98	n/a	n/a	622.50 3.0
AO,CRT	06/30	TRELLUS PARTNERS LP	028	F	SS	25,000	10.36	n/a	2-Jul	750.00 3.0
AO,CXL	06/30	TRELLUS PARTNERS LP	028	F	SS	25,000	10.36	n/a	2-Jul	1250.00 5.0
AO,	07/02	SENVEST PART	085	FOR	S	50,000	17.70	n/a	28-Jun	2500.00 5.0
AO,CXL	06/29	CSFB GLOBAL PROPRIETARY	041	LPX	B	150,500	6.86	n/a	29-Jun	6020.00 4.0
AO,CRT	06/29	CSFB GLOBAL PROPRIETARY	041	LPX	B	150,500	6.86	n/a	29-Jun	4515.00 3.0
AO,CXL	07/02	MELLON/BANK OF NEW YORK	046	QEP	S	2,750	29.51	n/a	n/a	82.50 3.0
AO,CRT	07/02	MELLON/BANK OF NEW YORK	046	QEP	S	2,750	29.51	n/a	n/a	82.50 3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>1,625.00</b>

TOTAL (Trade Date):	98,984.23
TOTAL (AO, CXL, CRT):	1,625.00

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TOTAL (RUN DATE) / NFS:

100,609.23

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MRI Securities with Trade Activity on 6-Jul.  
NONE

MRI Securities without Trade Activity on 6-Jul.  
DLTR

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 10%  
YTD = 10%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)