



Daily Compliance Activity Report

Trade Date: 10/19/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	ABI	S	300	33.70	n/a	n/a	12.00	4.0
COLUMBUS CIRCLE	036	ADBE	S	1,200	38.29	n/a	n/a	48.00	4.0
COLUMBUS CIRCLE	036	AMAT	S	1,700	17.57	n/a	n/a	68.00	4.0
GAULT	063	AMCC	B	6,000	3.06	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	AMT	S	700	37.08	n/a	n/a	28.00	4.0
COLUMBUS CIRCLE	036	ASML	S	600	22.61	n/a	n/a	24.00	4.0
J L F ASSET MGMT	039	AXP	B	31,100	57.86	n/a	n/a	1,244.00	4.0
CENTURION PARTNERS	244	BAC	B	15,000	53.39	19-Jul	12-Oct	750.00	5.0
PROVIDENT INV COUNSEL	048	BAS	B	101,505	23.03	n/a	n/a	5,075.25	5.0
KENNEDY CAPITAL	046	BBW	B	128,000	25.00	n/a	n/a	5,120.00	4.0
RBC CAPITAL MARKETS	034	BBW	B	20,000	25.89	n/a	n/a	1,000.00	5.0
J L F ASSET MGMT	039	BBY	S	25,100	55.27	13-Jun	13-Sep	1,004.00	4.0
COLUMBUS CIRCLE	036	BEAS	S	2,200	15.23	n/a	3-Apr	88.00	4.0
BALYASNY ASSET MGMT	044	BEN	B	15,000	105.43	6-Oct	16-Oct	750.00	5.0
JP MORGAN VENTURES	046	BJ	S	38,800	28.32	n/a	6-Oct	1,940.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
VISIUM/BALY HOWARD	038	BKS	B	15,000	38.55	n/a	n/a	750.00	5.0
MAGNETAR FINANCIAL	247	BLS	B	250,000	44.39	20-Sep	19-Oct	5,000.00	2.0
HARRIS ASSOCIATES	031	BMV	B	600	24.69	n/a	n/a	24.00	4.0
CENTURION PARTNERS	244	BONT	B	2,000	37.25	5-Oct	6-Oct	100.00	5.0
COLUMBUS CIRCLE	036	BRCM	S	1,300	28.27	n/a	n/a	52.00	4.0
HARRIS ASSOCIATES	031	C	B	400	49.57	n/a	12-Oct	16.00	4.0
GENERAL ELECTIC CO	033	CBG	B	17,266	26.30	n/a	n/a	776.98	4.5
ZOLIDIS	011	CBK	B	200	28.01	18-Sep	2-Oct	0.00	0.0
J L F ASSET MGMT	039	CC	S	63,400	27.24	n/a	18-Oct	2,536.00	4.0
COLUMBUS CIRCLE	036	CELG	S	500	47.01	n/a	n/a	20.00	4.0
LEDDY	035	CHKE	S	800	37.27	n/a	n/a	0.00	0.0
BELMONT GLOBAL ADV	248	CHS	B	10,000	22.42	11-Oct	13-Oct	500.00	5.0
THE BOSTON COMPANY	214	CHS	S	71,100	22.51	11-Oct	13-Oct	2,844.00	4.0
THIRD COAST CAPITAL	035	CHS	S	45,000	22.62	11-Oct	13-Oct	2,250.00	5.0
COLUMBUS CIRCLE	036	CKFR	S	300	44.17	n/a	n/a	12.00	4.0
CAPITAL GROWTH MGMT.	241	CLB	S	40,000	65.99	7-Aug	17-Oct	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST INV MGMT CORP	036	CNB	B	70,000	24.31	n/a	n/a	3,500.00	5.0
HARRIS ASSOCIATES	031	CNO	B	500	20.51	n/a	n/a	20.00	4.0
LEDDY	035	COSI	B	2,000	4.49	n/a	n/a	0.00	0.0
PILOT ROCK	028	COST	S	75,000	51.61	n/a	13-Oct	3,000.00	4.0
UBS OCONNOR	031	COST	S	20,000	52.04	n/a	13-Oct	1,000.00	5.0
BUCK CAPITAL MGMT	001	CRI	S	30,000	28.14	n/a	n/a	1,200.00	4.0
COLUMBUS CIRCLE	036	CSCO	S	2,000	23.81	n/a	22-Mar	80.00	4.0
MKT MAKER	099	CTRN	B	20	37.77	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	CVX	B	236,900	65.52	n/a	n/a	11,845.00	5.0
MKT MAKER	099	CWTR	S	400	29.69	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	DEO	B	100	72.22	n/a	n/a	4.00	4.0
HAYGROUND COE	039	DG	B	50,000	13.82	21-Aug	13-Oct	2,500.00	5.0
MAGUIRE	090	DGX	B	7,500	51.30	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	DIGE	S	300	48.06	n/a	n/a	12.00	4.0
COLUMBUS CIRCLE	036	DIVX	S	500	23.55	n/a	n/a	20.00	4.0
COLUMBUS CIRCLE	036	DNA	S	100	84.61	n/a	n/a	4.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALLEON MGMT LP	033	DRC	B	25,000	21.47	n/a	n/a	1,250.00	5.0
HARRIS ASSOCIATES	031	ECA	B	400	48.04	n/a	n/a	16.00	4.0
HIGHBRIDGE CAPITAL	038	ECL	B	10,000	44.86	n/a	25-Jul	500.00	5.0
PEQUOT CAPITAL MGMT	028	ET	B	100,000	20.96	n/a	n/a	4,500.00	4.5
KEEFE BRUYETTE WOODS A	031	EV	S	6,300	29.72	6-Oct	16-Oct	315.00	5.0
MAZAMA CAPITAL MGMT.	035	EVR	B	27,900	34.77	n/a	n/a	1,116.00	4.0
ENTRUST CAPITAL	040	EYE	S	33,000	40.96	n/a	n/a	1,650.00	5.0
ASCEND PARTNERS	033	FII	S	30,000	34.19	2-May	18-Oct	1,500.00	5.0
MKT MAKER	099	FINL	S	78	13.17	n/a	22-Sep	0.00	0.0
COLUMBUS CIRCLE	036	FORM	S	300	42.65	n/a	n/a	12.00	4.0
DENVER INST. ADV	046	FRX	S	269,540	51.07	17-Oct	18-Oct	13,477.00	5.0
MKT MAKER	099	FTBK	S	73	27.90	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	GHL	B	21,500	66.90	n/a	n/a	1,075.00	5.0
COLUMBUS CIRCLE	036	GLW	S	1,300	23.95	n/a	3-Oct	52.00	4.0
COLUMBUS CIRCLE	036	GOOG	S	100	424.41	n/a	n/a	4.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	GOPVB	B	10	3.90	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	B	5,000	19.84	31-Jul	6-Oct	250.00	5.0
COLUMBUS CIRCLE	036	GRMN	S	600	48.19	n/a	n/a	24.00	4.0
TRI SUN CAPITAL	012	HC	B	3,000	19.76	27-Jul	17-Oct	150.00	5.0
TRI SUN CAPITAL	012	HGO	S	5,500	3.30	n/a	n/a	220.00	4.0
HARRIS ASSOCIATES	031	HOG	B	100	67.89	n/a	n/a	4.00	4.0
COLUMBUS CIRCLE	036	HOLX	S	500	48.45	n/a	n/a	20.00	4.0
GALLEON MGMT LP	033	HP	B	20,000	24.34	n/a	n/a	1,000.00	5.0
STRONG/WELL HOWARD	038	HP	B	30,000	24.40	n/a	n/a	1,200.00	4.0
COLUMBUS CIRCLE	036	HPQ	S	1,000	39.58	n/a	n/a	40.00	4.0
COLUMBUS CIRCLE	036	HRS	S	900	43.07	2-Oct	2-Oct	36.00	4.0
RIVER RUN MGMT	031	HRZ	B	8,600	21.20	n/a	n/a	430.00	5.0
TRUST CO/COWEN/CALF.	040	HS	B	36,525	19.54	n/a	n/a	1,461.00	4.0
COLUMBUS CIRCLE	036	IBM	S	100	90.34	n/a	n/a	4.00	4.0
COLUMBUS CIRCLE	036	IGT	S	600	41.82	n/a	24-Jul	24.00	4.0
HARRIS ASSOCIATES	031	IRF	B	600	35.82	n/a	n/a	24.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	ISRG	S	100	107.74	n/a	n/a	4.00	4.0
NEW JERSEY DIV OF INV.	220	JBL	B	50,000	29.90	n/a	n/a	2,500.00	5.0
JP MORGAN VENTURES	046	JCP	B	6,600	74.45	5-Oct	6-Oct	330.00	5.0
BELMONT GLOBAL ADV	248	JEF	S	10,000	29.37	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	JNY	B	35,200	33.06	n/a	19-Oct	1,408.00	4.0
HARRIS ASSOCIATES	031	JPM	B	300	46.67	n/a	19-Oct	12.00	4.0
MAGUIRE	090	KDE	B	2,500	18.47	n/a	n/a	0.00	0.0
BINDER	007	KR	B	200	21.85	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KWD	S	10,000	30.24	n/a	n/a	400.00	4.0
COLUMBUS CIRCLE	036	LAMR	S	300	54.65	n/a	n/a	12.00	4.0
HARRIS ASSOCIATES	031	LIZ	B	400	41.00	n/a	19-Oct	16.00	4.0
COLUMBUS CIRCLE	036	LMT	S	300	88.41	n/a	n/a	12.00	4.0
JACOB	068	LNCR	B	400	35.17	25-Jul	18-Oct	0.00	0.0
SARAS CAPITAL	038	MAR	S	10,000	40.70	n/a	n/a	500.00	5.0
HARRIS ASSOCIATES	031	MCD	B	100	40.90	12-Oct	19-Oct	4.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	MCHP	S	1,827	31.97	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	MDT	B	400	47.78	n/a	22-Apr	16.00	4.0
SARAS CAPITAL	038	MMM	S	25,000	75.99	10-Jul	25-Jul	1,250.00	5.0
BINDER	007	MOT	B	200	23.49	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	MRVL	S	1,600	17.00	n/a	n/a	64.00	4.0
COLUMBUS CIRCLE	036	MSFT	S	900	28.22	n/a	3-Apr	36.00	4.0
COLUMBUS CIRCLE	036	MU	S	800	14.44	n/a	n/a	32.00	4.0
COLUMBUS CIRCLE	036	NETL	S	600	24.16	n/a	n/a	24.00	4.0
COLUMBUS CIRCLE	036	NIHD	S	400	63.75	n/a	n/a	16.00	4.0
APEX CAPITAL MGMT.	002	NOK	B	160,000	19.28	n/a	n/a	8,000.00	5.0
COLUMBUS CIRCLE	036	NTAP	S	600	37.70	n/a	n/a	24.00	4.0
COLUMBUS CIRCLE	036	NVDA	S	300	31.74	n/a	n/a	12.00	4.0
GALLEON MGMT LP	033	NWSA	S	50,000	21.16	n/a	n/a	2,500.00	5.0
COLUMBUS CIRCLE	036	OPSW	S	2,200	9.23	n/a	n/a	88.00	4.0
COLUMBUS CIRCLE	036	ORCL	S	2,100	18.66	n/a	n/a	84.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	OXM	S	15,000	52.11	n/a	n/a	600.00	4.0
COHE & STEERS	024	PCL	B	94,000	35.33	3-May	17-Oct	4,700.00	5.0
COLUMBUS CIRCLE	036	QCOM	S	1,000	38.09	n/a	n/a	40.00	4.0
KINF	067	RAI	S	15,000	61.73	n/a	n/a	750.00	5.0
COLUMBUS CIRCLE	036	RIMM	S	200	110.73	n/a	n/a	8.00	4.0
STADIA CAPITAL	035	RL	S	49,000	68.05	18-Sep	19-Sep	1,960.00	4.0
HIGHBRIDGE CAPITAL	038	ROH	B	9,000	51.33	13-Oct	19-Oct	450.00	5.0
HARRIS ASSOCIATES	031	RTN	B	100	50.17	n/a	n/a	4.00	4.0
COLUMBUS CIRCLE	036	RVBD	S	800	22.53	n/a	n/a	32.00	4.0
TRI SUN CAPITAL	012	SBL	S	5,000	14.97	19-Sep	2-Oct	250.00	5.0
MKT MAKER	099	SCHL	S	34	31.65	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	SGP	B	900	22.40	n/a	n/a	36.00	4.0
COLUMBUS CIRCLE	036	SHPGY	S	500	54.20	8-Oct	18-Oct	20.00	4.0
COLUMBUS CIRCLE	036	SNDK	S	100	60.76	n/a	n/a	4.00	4.0
HARRIS ASSOCIATES	031	SSP	B	400	49.27	n/a	n/a	16.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC TRADING/BILLY	063	STXS	B	5,342	12.00	n/a	n/a	267.10	5.0
MAIRS AND POWER INV	030	SVU	S	20,000	32.98	n/a	n/a	1,200.00	6.0
BAIRD INVESTMENT	031	SWN	B	55,545	35.49	7-Aug	18-Oct	2,777.25	5.0
STRAUSS ASSET MGMT	040	SWN	B	10,000	36.01	7-Aug	18-Oct	500.00	5.0
BINDER	007	SWY	B	200	28.50	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	SY	S	400	25.25	n/a	n/a	16.00	4.0
COLUMBUS CIRCLE	036	SYMC	S	500	21.16	n/a	3-Apr	20.00	4.0
S.A.C. CAPITAL MGMT.	044	TEVA	B	25,000	34.00	7-Aug	18-Oct	1,250.00	5.0
LEDDY	035	TIN	B	250	39.17	n/a	17-Oct	0.00	0.0
COLUMBUS CIRCLE	036	TMO	S	400	42.65	n/a	n/a	16.00	4.0
COLUMBUS CIRCLE	036	TRID	S	700	23.44	n/a	n/a	28.00	4.0
COLUMBUS CIRCLE	036	TRMB	S	300	48.67	n/a	n/a	12.00	4.0
HARRIS ASSOCIATES	031	TWX	B	1,000	19.61	n/a	n/a	40.00	4.0
HARRIS ASSOCIATES	031	TYC	B	700	29.45	2-Oct	2-Oct	28.00	4.0
LEDDY	035	URBN	S	700	18.80	5-Oct	19-Oct	0.00	0.0
JP MORGAN VENTURES	046	URBN	S	79,600	18.91	5-Oct	19-Oct	3,980.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	VLTR	S	200	17.64	n/a	n/a	8.00	4.0
BALYASNY ASSET MGMT	044	WDR	B	20,000	25.50	13-Oct	18-Oct	1,000.00	5.0
CROWLEY	061	WEDX	B	600	1.62	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	WIN	B	6,000	13.51	n/a	n/a	300.00	5.0
SEAROCK CAPITAL MANAGE	012	WMT	S	141,800	48.52	5-Oct	6-Oct	5,672.00	4.0
J L F ASSET MGMT	039	WSM	B	39,900	33.87	n/a	n/a	1,596.00	4.0
LEDDY	035	XOHO	B	5,000	3.85	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	XTO	B	500	44.67	n/a	n/a	20.00	4.0
JANUS CAPITAL CORP	046	YHOO	B	600,000	23.31	n/a	n/a	21,000.00	3.5
BEAR STEARNS ASSET MGMT.	033	YUM	B	25,000	57.61	n/a	12-Oct	1,000.00	4.0
TOTAL:								155,015.58	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	SOMERSET ADV (SOMEMAINUB)	044	JPM	S	6,662	46.92	n/a	19-Oct	333.10	5.0
AO,	SOMERSET ADV (SOMEL I 1)	044	JPM	S	1,920	46.92	n/a	19-Oct	96.00	5.0
AO,	SOMERSET ADV (SOMEL I 2)	044	JPM	S	795	46.92	n/a	19-Oct	39.75	5.0
AO,CRT	MKT MAKER (MKT MAKER)	099	MCHP	B	300	31.99	n/a	n/a	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	MCHP	B	72	31.96	n/a	n/a	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	MCHP	B	1,455	31.94	n/a	n/a	0.00	0.0
AO,	BLACKROCK/BOSTON (BLRSMFSCG)	037	SNTS	B	12,900	8.85	n/a	n/a	258.00	2.0
AO,	BLACKROCK/BOSTON (BLRSBRSCGE)	037	SNTS	B	17,800	8.85	n/a	n/a	356.00	2.0
AO,	MUHLENKAMP FUND (MUHLMAN/U)	046	UNH	S	242,400	47.81	n/a	n/a	12,120.00	5.0
AO,	DG CAPITAL (DGCA35)	039	WDC	B	200	17.46	n/a	n/a	10.00	5.0
AO,	DG CAPITAL (DGCA34)	039	WDC	B	200	17.46	n/a	n/a	10.00	5.0
AO,	DG CAPITAL (DGCA50)	039	WDC	B	3,500	17.46	n/a	n/a	175.00	5.0
AO,	DG CAPITAL (DGCA32)	039	WDC	B	400	17.46	n/a	n/a	20.00	5.0
AO,	DG CAPITAL (DGCA27)	039	WDC	B	2,100	17.46	n/a	n/a	105.00	5.0
AO,	DG CAPITAL (DGCA26)	039	WDC	B	2,900	17.46	n/a	n/a	145.00	5.0
AO,	DG CAPITAL (DGCA22)	039	WDC	B	3,100	17.46	n/a	n/a	155.00	5.0
AO,	DG CAPITAL (DGCA23)	039	WDC	B	500	17.46	n/a	n/a	25.00	5.0
AO,	DG CAPITAL (DGCA16)	039	WDC	B	800	17.46	n/a	n/a	40.00	5.0
AO,	DG CAPITAL (DGCA20)	039	WDC	B	100	17.46	n/a	n/a	5.00	5.0
AO,	DG CAPITAL (DGCA14)	039	WDC	B	23,700	17.46	n/a	n/a	1,185.00	5.0
AO,	DG CAPITAL (DGCA10)	039	WDC	B	3,200	17.46	n/a	n/a	160.00	5.0
AO,	DG CAPITAL (DGCA33)	039	WDC	B	1,600	17.46	n/a	n/a	80.00	5.0
AO,	DG CAPITAL (DGCA48)	039	WDC	B	900	17.46	n/a	n/a	45.00	5.0
AO,	DG CAPITAL (DGCA36)	039	WDC	B	200	17.46	n/a	n/a	10.00	5.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	---------	------	--------	-----	--------	-------	--------------	-------------

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.