



# Daily Compliance Activity Report

Trade Date: 02/19/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>ABFS</b>	<b>B</b>	<b>40,000</b>	<b>25.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MILLENIUM PARTNERS	028	AEO	S	5,000	16.94	n/a	5-Feb	200.00	4.0
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>ALN</b>	<b>S</b>	<b>1000</b>	<b>3.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LEVIN CAPITAL STRATEGIES	012	ALV	B	10,000	44.08	n/a	1-Feb	400.00	4.0
WADDELL AND REED	047	AMAT	S	130,300	12.46	n/a	n/a	3,909.00	3.0
JP MORGAN VENTURES	046	AOL	B	10,000	25.16	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	BIG	S	20,000	31.24	n/a	10-Feb	1,000.00	5.0
<b>f</b> JOEL GIFFORD TISS	<b>FID</b>	<b>BPZ</b>	<b>S</b>	<b>10000</b>	<b>7.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> JOEL GIFFORD TISS	<b>FID</b>	<b>BRKB</b>	<b>S</b>	<b>2000</b>	<b>76.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BROADARCH CAP/OLD NEWC	070	BWA	S	3,600	37.10	n/a	12-Feb	144.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>CAR</b>	<b>B</b>	<b>25,000</b>	<b>11.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	CKEC	B	16,175	8.20	n/a	n/a	485.25	3.0
<b>f</b> DAVID GEORGE BUCK	<b>FID</b>	<b>CMA</b>	<b>B</b>	<b>5000</b>	<b>35.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>CNW</b>	<b>B</b>	<b>10,000</b>	<b>30.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	COH	B	5,000	36.29	n/a	21-Jan	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CPKI</b>	<b>C</b>	<b>6,901</b>	<b>14.17</b>	<b>n/a</b>	<b>19-Feb</b>	<b>non-brg</b>	<b>0.8</b>
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>1000</b>	<b>5.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>CVGI</b>	<b>S</b>	<b>3802</b>	<b>5.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>2500</b>	<b>5.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f THOMAS MACTAGGART LE</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>500</b>	<b>5.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>CWTR</b>	<b>B</b>	<b>2200</b>	<b>5.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>CWTR</b>	<b>B</b>	<b>1500</b>	<b>5.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>C</b>	<b>B</b>	<b>700</b>	<b>3.42</b>	<b>n/a</b>	<b>13-Feb</b>	<b>0.00</b>	<b>0.0</b>
MILLENIUM PARTNERS	028	DBRN	B	10,000	24.95	n/a	n/a	400.00	4.0
DAVIDSON INV ADV	035	DFS	S	92,580	13.59	n/a	19-Feb	3,703.20	4.0
HARVEST MGMT	039	ENR	SS	10,000	56.08	n/a	26-Jan	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FDO</b>	<b>SS</b>	<b>60,000</b>	<b>32.50</b>	<b>n/a</b>	<b>1-Feb</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	FOSL	S	10,375	36.61	n/a	n/a	518.75	5.0
LEVIN CAPITAL STRATEGIES	012	F	B	50,000	11.35	n/a	3-Feb	2,000.00	4.0
S.A.C. HEALTHCARE BOBBY	044	GENZ	SS	10,000	55.75	n/a	n/a	350.00	3.5
SCOPIA CAPITAL	048	GEO	B	64,700	18.98	n/a	n/a	2,588.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
S.A.C. CAP/ORENSTEIN	044	GE	SS	100,000	16.09	n/a	n/a	3,500.00	3.5
MTB INV ADV	034	GIII	S	20,993	20.03	n/a	n/a	1,049.65	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>75,000</b>	<b>24.46</b>	<b>n/a</b>	<b>10-Feb</b>	<b>non-brg</b>	<b>4.0</b>
STANDARD PACIFIC CAPITAL	040	GPS	SS	155,000	19.81	n/a	n/a	7,750.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>GS</b>	<b>B</b>	<b>500</b>	<b>155.88</b>	<b>n/a</b>	<b>13-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>B</b>	<b>10,000</b>	<b>42.62</b>	<b>n/a</b>	<b>8-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>HH</b>	<b>B</b>	<b>72</b>	<b>0.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CASTLEROCK	040	IOC	S	44,027	67.88	n/a	n/a	1,761.08	4.0
BMO NESBITT BURNS	041	IP	SS	50,000	23.99	n/a	3-Feb	2,500.00	5.0
DIAMONDBACK CAP MGMT	232	IVZ	B	75,000	19.59	n/a	12-Feb	3,750.00	5.0
MILLENIUM PARTNERS	028	JCG	B	20,000	40.89	n/a	n/a	800.00	4.0
RBC CAPITAL MARKETS	040	JCP	S	5,000	27.78	n/a	5-Feb	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>B</b>	<b>50,000</b>	<b>16.91</b>	<b>n/a</b>	<b>10-Feb</b>	<b>2,000.00</b>	<b>4.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>JWN</b>	<b>S</b>	<b>200</b>	<b>35.50</b>	<b>n/a</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	JWN	B	20,000	35.43	n/a	5-Feb	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>KNX</b>	<b>B</b>	<b>20,000</b>	<b>18.73</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAP	041	KSS	B	11,947	51.61	n/a	5-Feb	477.88	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>LL</b>	<b>B</b>	<b>30,000</b>	<b>22.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BROADARCH CAP/OLD NEWC	070	LVS	B	1,200	16.35	n/a	18-Feb	48.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MFB</b>	<b>B</b>	<b>10,000</b>	<b>16.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
APEX CAPITAL MGMT.	261	MMM	SS	50,000	80.77	n/a	18-Feb	2,000.00	4.0
ROYCE TRADING	216	NR	S	5,000	5.03	n/a	n/a	150.00	3.0
BALYASNY ASSET MGMT	044	NWL	S	50,000	13.77	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PETM</b>	<b>SS</b>	<b>10,000</b>	<b>27.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SCOPUS FUND	041	PKG	S	6,001	22.80	n/a	26-Jan	300.05	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PNRA</b>	<b>S</b>	<b>10,000</b>	<b>73.83</b>	<b>n/a</b>	<b>12-Feb</b>	<b>non-brg</b>	<b>4.0</b>
CAXTON PART	040	RKT	B	27,479	40.47	n/a	n/a	961.78	3.5
HARBORWATCH/DIAMBACK	232	ROK	SS	35,000	54.23	n/a	n/a	1,750.00	5.0
BALYASNY ASSET MGMT	044	ROST	C	100,000	46.98	n/a	n/a	5,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>RRGB</b>	<b>SS</b>	<b>20,000</b>	<b>19.97</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SHOO</b>	<b>B</b>	<b>59,385</b>	<b>41.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		SIG	B	20,000	29.05	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SII	S	25,000	37.24	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SII	S	55,000	37.78	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SII	S	70,000	37.84	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		SLB	B	20,000	63.44	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		SLB	B	30,000	63.88	n/a	n/a	non-brg	4.0
S.A.C. CAP/ORENSTEIN	044	SMH	B	150,000	26.73	n/a	n/a	5,250.00	3.5
BRIGADE CAPITAL MGMT	029	SVU	B	25,000	15.61	n/a	n/a	750.00	3.0
BMO NESBITT BURNS	041	TIN	SS	50,000	19.03	n/a	9-Feb	2,500.00	5.0
SCOPUS FUND	041	TIN	S	20,000	19.03	n/a	9-Feb	1,000.00	5.0
WAGONHOUND/NIC	048	TIN	B	12,831	19.27	n/a	9-Feb	513.24	4.0
ROTHSCHILD ASSET	040	TMP	S	900	36.10	n/a	n/a	36.00	4.0
COUGAR TRADING	044	TM	SS	5,000	72.93	n/a	n/a	250.00	5.0
⚡ BUCK CAPITAL MGMT		TSCO	SS	20,000	53.25	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		UBI FP	B	20,000	12.86	n/a	n/a	non-brg	0.6
f KEVIN MICHAEL O'GORM	FID	UBS	S	730	13.49	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		URBN	B	20,000	32.10	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WERN	B	20,000	20.54	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLENIUM PARTNERS	028	WFI	SS	15,500	33.92	n/a	n/a	620.00	4.0
RBC CAPITAL MARKETS	040	WMT	B	10,000	53.39	n/a	18-Feb	400.00	4.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>10000</b>	<b>2.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WSO</b>	<b>S</b>	<b>20,000</b>	<b>58.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	WYNN	SS	5,000	62.59	n/a	9-Feb	200.00	4.0
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>WY</b>	<b>B</b>	<b>100</b>	<b>40.67</b>	<b>n/a</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>WY</b>	<b>B</b>	<b>150</b>	<b>40.76</b>	<b>n/a</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
SENATOR INVESTMENT GROUP	036	XOP	B	50,000	42.30	n/a	n/a	1,500.00	3.0
<b>TOTAL (Trade Date):</b>								<b>67,465.88</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/18	WAGONHOUND/NIC	048	BRKA	S	8114,116.13		n/a	n/a	0.32 4.0
AO,	02/18	WAGONHOUND/NIC	048	BRKB	S	6,400	76.32	n/a	n/a	256.00 4.0
AO,CRT	02/16	SAB CAPITAL	034	GGWPQ	B	121,500	12.01	n/a	n/a	6075.00 5.0
AO,CXL	02/16	SAB CAPITAL	034	GGWPQ	B	121,500	12.01	n/a	n/a	6075.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>256.32</b>

TOTAL (Trade Date) :	67,465.88
TOTAL (AO, CXL, CRT) :	256.32

<b>TOTAL (RUN DATE) / NFS:</b>	<b>67,722.20</b>
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MRI Securities with Trade Activity on 19-Feb. NONE
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MRI Securities without Trade Activity on 19-Feb. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 8% YTD = 16%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**