



Daily Compliance Activity Report

Trade Date: 09/21/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|---------------------------------|------|--------|-------|---------|-------|--------|----------|--------------|-----|
| EAGLE ASSET MGMT. (FLORIDA) 093 | ABT | B | 1,745 | 69.90 | n/a | n/a | 34.90 | 0.0 | |
| EAGLE ASSET MGMT. (FLORIDA) 093 | ACC | B | 795 | 44.28 | n/a | n/a | 15.90 | 0.0 | |
| JP MORGAN VENTURES | 046 | AEO | SS | 10,000 | 23.50 | n/a | 2-Dec | 400.00 | 4.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | AET | B | 765 | 39.49 | n/a | n/a | 15.30 | 0.0 | |
| EAGLE ASSET MGMT. (FLORIDA) 093 | AMAT | B | 9,280 | 11.46 | n/a | n/a | 185.60 | 0.0 | |
| EAGLE ASSET MGMT. (FLORIDA) 093 | AMP | B | 585 | 57.94 | n/a | n/a | 11.70 | 0.0 | |
| BALLAST CAP | 040 | ANF | S | 5,000 | 36.85 | n/a | 16-Aug | 250.00 | 5.0 |
| BALYASNY ASSET MGMT | 044 | ANN | S | 25,000 | 38.90 | n/a | n/a | 1,250.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | ATW | B | 720 | 46.30 | n/a | n/a | 14.40 | 0.0 | |
| EAGLE ASSET MGMT. (FLORIDA) 093 | AXE | B | 725 | 62.87 | n/a | 11-Sep | 14.50 | 0.0 | |
| MILLRACE FUND LP | 028 | AXL | B | 5,000 | 11.58 | n/a | 30-Jul | 200.00 | 0.0 |
| ROSEDALE CAPITAL | 046 | AXL | B | 25,000 | 11.93 | n/a | 30-Jul | 1,000.00 | 4.0 |
| SCOPUS FUND | 041 | AXL | S | 150,000 | 11.70 | n/a | 30-Jul | 6,000.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | BMO | B | 1,090 | 59.56 | n/a | n/a | 21.80 | 0.0 | |
| SMITH MGMT/ALDEN GLOBAL | 029 | BZH | S | 250,000 | 3.79 | n/a | n/a | 7,500.00 | 3.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|---------------------------------|------------|-------------|----------|---------------|--------------|------------|------------|----------------|------------|
| CATAPULT CAP/DEFRANCO | 040 | CBS | B | 16,825 | 36.97 | n/a | n/a | 673.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | CFNL | B | 2,950 | 14.54 | n/a | n/a | 59.00 | 0.0 |
| BALYASNY ASSET MGMT | 044 | CHRW | B | 25,000 | 57.38 | n/a | n/a | 1,250.00 | 0.0 |
| TUDOR INVESTORS | 028 | CLD | B | 10,000 | 18.38 | n/a | n/a | 300.00 | 3.0 |
| HOLLIS CAPITAL | 033 | COH | B | 4,000 | 56.77 | n/a | 1-Aug | 200.00 | 5.0 |
| LEVIN CAPITAL STRATEGIES | 041 | COH | C | 10,100 | 56.83 | n/a | 1-Aug | 303.00 | 0.0 |
| SIMMONS VENTURES | 041 | COH | B | 5,000 | 56.54 | n/a | 1-Aug | 200.00 | 4.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | COP | B | 1,255 | 57.36 | n/a | n/a | 25.10 | 0.0 |
| B BUCK CAPITAL MGMT | | CROX | B | 20,000 | 17.23 | n/a | n/a | non-brg | 4.0 |
| B BUCK CAPITAL MGMT | | CROX | B | 20,000 | 17.23 | n/a | n/a | non-brg | 4.0 |
| NCM CAPITAL MGMT | 035 | CRS | B | 10,720 | 54.34 | n/a | n/a | 428.80 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | CTL | B | 2,070 | 42.36 | n/a | n/a | 41.40 | 0.0 |
| f JONATHAN RICHARD HAR | FID | CVGI | B | 300 | 8.21 | n/a | n/a | 0.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | CVX | B | 810 | 118.07 | n/a | n/a | 16.20 | 0.0 |
| EATON VANCE MGMT | 029 | CVX | S | 24,559 | 118.22 | n/a | n/a | 736.77 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | C | B | 1,450 | 33.61 | n/a | 17-Sep | 29.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | DD | B | 2,065 | 51.50 | n/a | 21-Sep | 41.30 | 0.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|---------------------------------|------------|------------|----------|-------------|-------------|------------|------------|-------------|------------|
| INVESCO | 031 | DISH | B | 234,538 | 31.80 | n/a | n/a | 9,381.52 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | DLR | B | 510 | 69.81 | n/a | n/a | 10.20 | 0.0 |
| OZ MGMT | 040 | DLTR | S | 73,983 | 49.22 | n/a | 16-Aug | 2,219.49 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | DOV | B | 550 | 61.07 | n/a | n/a | 11.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | DPZ | B | 580 | 34.87 | n/a | 24-Jul | 11.60 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | DTV | B | 715 | 53.11 | n/a | n/a | 14.30 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | DVN | B | 395 | 60.95 | n/a | 23-Jul | 7.90 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | EMR | B | 1,480 | 50.29 | n/a | n/a | 29.60 | 0.0 |
| CAMBIAR CAP | 046 | ENDP | B | 95,000 | 32.74 | n/a | 19-Sep | 3,800.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | EOG | B | 290 | 113.93 | n/a | n/a | 5.80 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | ETN | B | 730 | 47.76 | n/a | 12-Sep | 14.60 | 0.0 |
| ARSENAL ASSET MANAGEMENT | 028 | EXP | B | 15,000 | 46.71 | n/a | n/a | 600.00 | 0.0 |
| f MARC PAUL LUCHANSKY | FID | FBC | B | 2000 | 1.20 | n/a | n/a | 0.00 | 0.0 |
| f SERGEI BARANOVSKY | FID | FB | S | 14 | 1.18 | n/a | n/a | 0.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | 093 | FCX | B | 725 | 40.91 | n/a | n/a | 14.50 | 0.0 |

| | Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|---|-----------------------------|-----|--------|-----|--------|--------|-----|----------|-------------|-----|
| | NCM CAPITAL MGMT | 035 | FCX | B | 4,200 | 41.05 | n/a | n/a | 168.00 | 0.0 |
| | S.A.C. CAP/ORENSTEIN | 044 | FINL | B | 25,000 | 23.35 | n/a | 21-Sep | 875.00 | 3.5 |
| | CAERUS GLOBAL | 041 | FNP | S | 3,200 | 13.46 | n/a | n/a | 128.00 | 4.0 |
| f | MITCHELL JAMES SPEIS | FID | FSNXX | S | 9000 | 1.00 | n/a | n/a | 0.00 | 0.0 |
| B | BUCK CAPITAL MGMT | | GCO | S | 10,000 | 69.55 | n/a | n/a | non-brg | 4.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | GIS | B | 1,910 | 40.23 | n/a | n/a | 38.20 | 0.0 |
| f | DAVID GEORGE BUCK | FID | GLW | S | 4000 | 13.24 | n/a | 13-Sep | 0.00 | 0.0 |
| f | DAVID GEORGE BUCK | FID | GLW | S | 700 | 13.23 | n/a | 13-Sep | 0.00 | 0.0 |
| f | MATTHEW FRANCIS BUCK | FID | GLW | S | 700 | 13.23 | n/a | 13-Sep | 0.00 | 0.0 |
| f | DAVID GEORGE BUCK | FID | GM | B | 4000 | 24.60 | n/a | 18-Sep | 0.00 | 0.0 |
| | DC CAPITAL | 044 | GM | S | 50,000 | 24.75 | n/a | 18-Sep | 2,000.00 | 4.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | GSK | B | 1,325 | 46.83 | n/a | n/a | 26.50 | 0.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | HCP | B | 730 | 45.16 | n/a | n/a | 14.60 | 0.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | HD | B | 2,115 | 59.71 | n/a | 14-Aug | 42.30 | 0.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | HON | B | 1,810 | 60.80 | n/a | 12-Sep | 36.20 | 0.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | HPP | B | 2,005 | 18.42 | n/a | n/a | 40.10 | 0.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | IBM | B | 290 | 207.67 | n/a | n/a | 5.80 | 0.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|-------------------------------|------------|------------|----------|---------------|--------------|------------|---------------|----------------|------------|
| BRANDWINE | 028 | INTC | B | 98,800 | 23.17 | n/a | n/a | 3,952.00 | 0.0 |
| ROTHSCHILD ASSET | 040 | IPHS | S | 11,486 | 49.15 | n/a | n/a | 459.44 | 0.0 |
| CSFB GLOBAL PROPRIETARY | 041 | IP | S | 200,000 | 35.95 | n/a | 20-Aug | 10,000.00 | 5.0 |
| ROSEDALE CAPITAL | 046 | IP | B | 20,000 | 35.51 | n/a | 20-Aug | 1,000.00 | 5.0 |
| f IAN L MILLER | FID | JCP | B | 40 | 26.17 | n/a | 13-Aug | 0.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | JNJ | B | 1,105 | 69.25 | n/a | n/a | 22.10 | 0.0 |
| EATON VANCE MGMT | 029 | JNJ | S | 29,176 | 69.09 | n/a | n/a | 875.28 | 0.0 |
| B BUCK CAPITAL MGMT | | JNY | S | 20,000 | 13.34 | n/a | 26-Jul | non-brg | 0.8 |
| B BUCK CAPITAL MGMT | | JNY | S | 80,000 | 13.30 | n/a | 26-Jul | non-brg | 4.0 |
| SIMMONS VENTURES | 041 | JNY | SS | 5,000 | 13.30 | n/a | 26-Jul | 200.00 | 4.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | JPM | B | 3,045 | 40.87 | n/a | 17-Sep | 60.90 | 0.0 |
| f MITCHELL JAMES SPEIS | FID | KCG | B | 3000 | 2.59 | n/a | n/a | 0.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | KO | B | 1,875 | 38.28 | n/a | 18-Sep | 37.50 | 0.0 |
| CSFB GLOBAL PROPRIETARY | 041 | KS | S | 50,000 | 20.25 | n/a | n/a | 2,500.00 | 5.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | LAZ | B | 805 | 30.39 | n/a | 5-Sep | 16.10 | 0.0 |
| ARSENAL ASSET MANAGEMENT | 028 | LPX | B | 40,000 | 14.28 | n/a | 31-Jul | 1,600.00 | 0.0 |
| CSFB GLOBAL PROPRIETARY | 041 | LPX | SS | 35,000 | 14.08 | n/a | 31-Jul | 1,750.00 | 5.0 |
| SCHOTTENFELD ASSOC | 041 | LPX | B | 10,000 | 13.87 | n/a | 31-Jul | 300.00 | 3.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|---------------------------------|-----|------------|-----------|---------------|--------------|------------|------------|----------------|------------|
| B BUCK CAPITAL MGMT | | LTD | SS | 50,000 | 51.75 | n/a | n/a | non-brg | 4.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | LUFK | B | 745 | 58.78 | n/a | n/a | 14.90 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | MAT | B | 1,985 | 35.96 | n/a | n/a | 39.70 | 0.0 |
| BALYASNY ASSET MGMT 044 | | MCD | SS | 10,000 | 93.56 | n/a | 11-Sep | 500.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | MCD | B | 570 | 93.66 | n/a | 11-Sep | 11.40 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | MD | B | 455 | 75.64 | n/a | n/a | 9.10 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | MMM | B | 845 | 93.32 | n/a | 21-Sep | 16.90 | 0.0 |
| B BUCK CAPITAL MGMT | | MW | S | 40,000 | 35.88 | n/a | n/a | non-brg | 4.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | MYL | B | 1,910 | 24.49 | n/a | 17-Sep | 38.20 | 0.0 |
| S.A.C. CAP/ORENSTEIN 044 | | M | S | 60,000 | 38.96 | n/a | 31-Aug | 2,100.00 | 3.5 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | NGG | B | 1,685 | 55.90 | n/a | n/a | 33.70 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | NSC | B | 625 | 65.64 | n/a | n/a | 12.50 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | OII | B | 805 | 56.38 | n/a | n/a | 16.10 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | OMC | B | 815 | 53.93 | n/a | n/a | 16.30 | 0.0 |
| ARSENAL ASSET MANAGEMENT 028 | | PCP | SS | 5,000 | 164.45 | n/a | 1-Aug | 200.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) 093 | | PEP | B | 955 | 70.74 | n/a | n/a | 19.10 | 0.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-----------------------------|-----|------------|----------|---------------|--------------|------------|------------|----------------|------------|
| EAGLE ASSET MGMT. (FLORIDA) | 093 | PFE | B | 2,620 | 24.60 | n/a | n/a | 52.40 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | PG | B | 1,575 | 69.46 | n/a | 18-Sep | 31.50 | 0.0 |
| B BUCK CAPITAL MGMT | | PII | B | 20,000 | 81.99 | n/a | n/a | non-brg | 4.0 |
| ARMATURE CAPITAL | 041 | PKG | B | 100,000 | 33.82 | n/a | 20-Aug | 4,000.00 | 0.0 |
| CSFB GLOBAL PROPRIETARY | 041 | PKG | SS | 10,457 | 34.05 | n/a | 20-Aug | 522.85 | 5.0 |
| CSFB GLOBAL PROPRIETARY | 041 | PKG | S | 84,543 | 34.08 | n/a | 20-Aug | 4,227.15 | 5.0 |
| LATEEF MGMT | 035 | PKG | S | 4,350 | 33.86 | n/a | 20-Aug | 482.50 | 12.6 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | PLD | B | 930 | 35.30 | n/a | n/a | 18.60 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | PNC | B | 1,155 | 66.12 | n/a | n/a | 23.10 | 0.0 |
| CRAMER ROSENTHAL | 070 | PNR | B | 101,300 | 44.09 | n/a | n/a | 4,052.00 | 0.0 |
| SENATOR INVESTMENT GROUP | 036 | PNR | B | 150,000 | 43.73 | n/a | n/a | 4,500.00 | 3.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | PPG | B | 410 | 118.18 | n/a | 9-Aug | 8.20 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | RGC | B | 3,750 | 13.81 | n/a | n/a | 75.00 | 0.0 |
| CSFB GLOBAL PROPRIETARY | 041 | RKT | B | 15,000 | 69.01 | n/a | 20-Aug | 750.00 | 5.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | SFD | B | 1,745 | 19.85 | n/a | n/a | 34.90 | 0.0 |
| CATAPULT CAP/DEF FRANCO | 040 | SKS | B | 34,044 | 11.09 | n/a | n/a | 1,361.76 | 0.0 |
| B BUCK CAPITAL MGMT | | SKX | B | 10,000 | 21.09 | n/a | n/a | non-brg | 4.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-----------------------------|-----|--------|-----|--------|--------|-----|----------|-------------|-----|
| BUCK CAPITAL MGMT | | SKX | B | 10,000 | 21.09 | n/a | n/a | non-brg | 4.0 |
| BUCK CAPITAL MGMT | | SKX | B | 30,000 | 21.05 | n/a | n/a | non-brg | 4.0 |
| BUCK CAPITAL MGMT | | SKX | B | 30,000 | 21.05 | n/a | n/a | non-brg | 4.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | SPG | B | 340 | 155.97 | n/a | n/a | 6.80 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | SPW | B | 530 | 68.34 | n/a | n/a | 10.60 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | STBZ | B | 1,215 | 16.42 | n/a | n/a | 24.30 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | STJ | B | 1,015 | 43.03 | n/a | n/a | 20.30 | 0.0 |
| NCM CAPITAL MGMT | 035 | SWKS | B | 12,255 | 25.48 | n/a | n/a | 490.20 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | SYX | B | 2,205 | 30.77 | n/a | n/a | 44.10 | 0.0 |
| BALLAST CAP | 040 | TJX | B | 4,700 | 45.26 | n/a | 31-Aug | 235.00 | 5.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | TOT | B | 1,470 | 52.30 | n/a | n/a | 29.40 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | TUP | B | 590 | 55.74 | n/a | n/a | 11.80 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | TYC | B | 1,345 | 56.30 | n/a | 3-Aug | 26.90 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | T | B | 2,485 | 38.50 | n/a | n/a | 49.70 | 0.0 |
| ARSENAL ASSET MANAGEMENT | 028 | UAL | SS | 25,000 | 20.12 | n/a | n/a | 1,000.00 | 0.0 |
| BALYASNY ASSET MGMT | 044 | UA | B | 15,000 | 56.73 | n/a | 18-Sep | 750.00 | 0.0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | UNP | B | 320 | 120.54 | n/a | n/a | 6.40 | 0.0 |

| | Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|---------------------|-----------------------------|-----|--------|-----|--------|-------|-----|----------|-------------|-----|
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | UTX | B | 840 | 81.02 | n/a | n/a | 16.80 | 0.0 |
| | GLOBAL 56 LLC | 060 | VELT | S | 3,400 | 9.70 | n/a | n/a | 170.00 | 5.0 |
| f | GAVIN N CADWALLADER | FID | VIAB | B | 50 | 54.17 | n/a | n/a | 0.00 | 0.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | WFC | B | 1,800 | 34.87 | n/a | 5-Sep | 36.00 | 0.0 |
| | NCM CAPITAL MGMT | 035 | WFC | S | 8,800 | 35.07 | n/a | 5-Sep | 352.00 | 0.0 |
| | CRAMER ROSENTHAL | 070 | WLL | B | 29,100 | 49.30 | n/a | n/a | 1,164.00 | 0.0 |
| f | ALEXANDRA ELISE ZOLI | FID | WWW | S | 200 | 43.91 | n/a | n/a | 0.00 | 0.0 |
| | ARSENAL ASSET MANAGEMENT | 028 | WY | S | 20,000 | 26.59 | n/a | 27-Jul | 800.00 | 0.0 |
| | BALLAST CAP | 040 | WY | S | 13,400 | 26.52 | n/a | 27-Jul | 670.00 | 5.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | XOM | B | 1,045 | 92.07 | n/a | n/a | 20.90 | 0.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | XRX | B | 2,915 | 7.74 | n/a | n/a | 58.30 | 0.0 |
| f | MANNY I KORMAN | FID | YUM | B | 4000 | 68.73 | n/a | 17-Sep | 0.00 | 0.0 |
| | EAGLE ASSET MGMT. (FLORIDA) | 093 | ZMH | B | 650 | 67.59 | n/a | n/a | 13.00 | 0.0 |
| TOTAL (Trade Date): | | | | | | | | | 92,660.56 | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI Research | Comm.¢/Shr. |
|-------------------------|------|---------|------|--------|-----|--------|-------|--------------|-------------|
| TOTAL (AO, CXL, CRT) : | | | | | | | | | 0.00 |
| TOTAL (Trade Date) : | | | | | | | | | 92,660.56 |
| TOTAL (AO, CXL, CRT) : | | | | | | | | | 0.00 |
| TOTAL (RUN DATE) / NFS: | | | | | | | | | 92,660.56 |

BCM Cancel/Corrects and As-Of Trades

| Type | Date | Account | Symbol | B/S | Shares | Price | MRI Research | Comm.¢/Shr. |
|------|------|---------|--------|-----|--------|-------|--------------|-------------|
|------|------|---------|--------|-----|--------|-------|--------------|-------------|

MRI Securities with Trade Activity on 21-Sep.
NONE

MRI Securities without Trade Activity on 21-Sep.
FIVE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

| |
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| <u>Legend:</u> |
| Õ: Employee Trades in Outside Accounts |
| ß: Non-BRG BCM Trades |
| [i]: Initiation of Coverage |
| Blue: Employee, Affiliate(BCM) and Proprietary Trades. |
| Red: Trades in stocks with same day Research/MRI. |
| Type: AO = As Of, CXL = Cancel, CRT = Corrected. |
| POTENTIAL ANALYST TRADE VIOLATION |
| Watch List Trades |
| f: Employee Trades (Fidelity) |