



# Daily Compliance Activity Report

Trade Date: 02/24/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLE ARK	031	ANSS	B	65,400	54.25	n/a	n/a	3,270.00	5.0
<b>f</b> MARY CATHERI MADIGA	<b>FID</b>	<b>APA</b>	<b>S</b>	<b>50</b>	<b>120.93</b>	<b>n/a</b>	<b>11-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ARO</b>	<b>B</b>	<b>30,000</b>	<b>25.58</b>	<b>n/a</b>	<b>4-Feb</b>	<b>non-brg</b>	<b>3.0</b>
COOPER CREEK PART	028	AXL	SS	10,000	12.96	n/a	9-Feb	400.00	4.0
OZ MGMT	040	AXL	SS	124,470	12.79	n/a	9-Feb	3,734.10	3.0
<b>f</b> JONATHAN RICHARD HAR	<b>FID</b>	<b>BAC</b>	<b>S</b>	<b>1300</b>	<b>13.84</b>	<b>n/a</b>	<b>16-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>CBK</b>	<b>S</b>	<b>11,100</b>	<b>6.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
OZ MGMT	040	CCE	B	200,000	25.57	n/a	n/a	6,000.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>CHS</b>	<b>S</b>	<b>20,000</b>	<b>13.48</b>	<b>n/a</b>	<b>5-Feb</b>	<b>non-brg</b>	<b>3.0</b>
IMPALA ASSET MMT	012	CMI	B	10,000	101.00	n/a	2-Feb	500.00	5.0
IMPALA ASSET MMT	012	CNI	SS	9,200	71.06	n/a	n/a	460.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>COH</b>	<b>B</b>	<b>10,000</b>	<b>54.08</b>	<b>n/a</b>	<b>26-Jan</b>	<b>non-brg</b>	<b>3.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>CROX</b>	<b>B</b>	<b>20,000</b>	<b>17.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f</b> ANGELOS EFSTATHIOU	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>200</b>	<b>15.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> HARALAMBOS EFSTATHI	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>500</b>	<b>15.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OZ MGMT	040	C	S	1,000,000	4.64	n/a	16-Feb	30,000.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>DAL</b>	<b>B</b>	<b>25,000</b>	<b>10.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SOROS	036	DAL	B	100,000	11.29	n/a	n/a	4,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>DECK</b>	<b>S</b>	<b>50,000</b>	<b>87.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f</b> STEFFANIE CARMELA CA	<b>FID</b>	<b>DPTR</b>	<b>B</b>	<b>4000</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>FINL</b>	<b>B</b>	<b>30,000</b>	<b>17.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
OZ MGMT	040	FITB	C	50,000	14.24	n/a	n/a	1,500.00	3.0
PZENA INV ADV	044	FO	S	1,450	60.54	n/a	n/a	50.75	3.5
BERMAN CAPITAL MGMT	046	F	S	25,000	14.63	n/a	2-Feb	750.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>GCO</b>	<b>S</b>	<b>27,623</b>	<b>39.58</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
WEINTRAUB CAPITAL MGT.	040	GIII	B	3,300	36.99	n/a	n/a	132.00	4.0
BALYASNY ASSET MGMT	044	GM	SS	50,000	33.53	n/a	24-Feb	2,500.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>GM</b>	<b>SS</b>	<b>130,000</b>	<b>33.03</b>	<b>n/a</b>	<b>24-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GM</b>	<b>SS</b>	<b>37,900</b>	<b>33.50</b>	<b>n/a</b>	<b>24-Feb</b>	<b>non-brg</b>	<b>3.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GM</b>	<b>SS</b>	<b>52,100</b>	<b>33.55</b>	<b>n/a</b>	<b>24-Feb</b>	<b>non-brg</b>	<b>4.0</b>
CIRCLE T/SES PART	033	GM	SS	12,500	33.08	n/a	24-Feb	500.00	4.0
FEDERATED INV/KAUFMAN	029	GM	S	200,000	33.57	n/a	24-Feb	11,000.01	5.5
GRYPHON CAPITAL MGMT	084	GM	B	50,000	33.15	n/a	24-Feb	1,500.00	3.0
KADE CAPITAL LLC	028	GM	B	25,000	33.00	n/a	24-Feb	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OZ MGMT	040	GM	S	275,000	32.75	n/a	24-Feb	8,250.00	3.0
PHILADELPHIA FINANCIAL	033	GM	C	66,437	34.14	n/a	24-Feb	2,657.48	4.0
WEINTRAUB CAPITAL MGT.	040	GM	SS	50,000	33.23	n/a	24-Feb	2,000.00	4.0
KLEINHEINZ CAP PART	031	HBI	B	50,000	25.12	n/a	28-Jan	2,500.00	5.0
PZENA INV ADV	044	HIG	S	3,050	29.17	n/a	n/a	106.75	3.5
RIDGECREST INV MGMT LLC	028	HOS	S	10,000	26.56	n/a	n/a	500.00	5.0
IMPALA ASSET MMT	012	HOT	B	4,300	60.56	n/a	23-Feb	215.00	5.0
IMPALA ASSET MMT	012	H	B	2,800	44.70	n/a	23-Feb	140.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>B</b>	<b>20,000</b>	<b>16.00</b>	<b>n/a</b>	<b>27-Jan</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>B</b>	<b>25,000</b>	<b>16.18</b>	<b>n/a</b>	<b>27-Jan</b>	<b>non-brg</b>	<b>4.0</b>
CAERUS GLOBAL	041	IGT	B	25,000	16.01	n/a	27-Jan	1,000.00	4.0
IMPALA ASSET MMT	012	INT	B	2,900	38.19	n/a	n/a	116.00	4.0
IMPALA ASSET MMT	012	JBHT	S	11,900	40.64	n/a	n/a	595.00	5.0
PIONEER PATH	028	JBLU	S	50,000	5.73	n/a	n/a	1,500.00	3.0
HOPLITE MGMT	025	JCP	C	150,000	36.40	n/a	7-Feb	7,500.00	5.0
PZENA INV ADV	044	JCP	S	2,350	35.65	n/a	7-Feb	82.26	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>10,000</b>	<b>45.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>JPM</b>	<b>B</b>	<b>220</b>	<b>45.53</b>	<b>n/a</b>	<b>16-Feb</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PZENA INV ADV	044	JPM	S	3,750	45.78	n/a	16-Feb	131.25	3.5
IMPALA ASSET MMT	012	KBH	B	14,000	13.46	n/a	n/a	420.00	3.0
<b>f RAVI SADARANGANI</b>	<b>FID</b>	<b>KELYA</b>	<b>S</b>	<b>1500</b>	<b>21.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BERMAN CAPITAL MGMT	046	KO	S	10,000	63.94	n/a	18-Feb	300.00	3.0
PIONEER PATH	028	KSS	SS	4,800	54.48	n/a	7-Feb	144.00	3.0
ARMATURE CAPITAL	041	LEA	SS	25,000	104.55	n/a	2-Feb	1,000.00	4.0
MA CAPITAL MGMT/MELLON ADV	041	LPX	B	5,000	10.03	n/a	10-Feb	150.00	3.0
MA CAPITAL MGMT/MELLON ADV	041	LPX	S	5,000	10.12	n/a	10-Feb	150.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>MCD</b>	<b>B</b>	<b>30,000</b>	<b>75.00</b>	<b>n/a</b>	<b>8-Feb</b>	<b>900.00</b>	<b>3.0</b>
ROTHSCHILD ASSET	040	MTD	S	4,615	168.44	n/a	n/a	184.60	4.0
CSFB GLOBAL PROPRIETARY	041	MWV	B	35,000	29.16	n/a	26-Jan	1,750.00	5.0
SCOPUS FUND	041	MWV	B	50,000	29.07	n/a	26-Jan	2,000.00	4.0
<b>f MARY CATHERI MADIGA</b>	<b>FID</b>	<b>M</b>	<b>B</b>	<b>250</b>	<b>23.31</b>	<b>n/a</b>	<b>23-Feb</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	030	NLC	B	50,000	25.42	n/a	n/a	2,000.00	4.0
IMPALA ASSET MMT	012	NOV	B	7,100	77.80	n/a	n/a	355.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ODFL</b>	<b>B</b>	<b>10,000</b>	<b>30.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OXM</b>	<b>B</b>	<b>10,000</b>	<b>23.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SOROS	036	PAL	B	50,000	6.61	n/a	n/a	2,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PCAR</b>	<b>B</b>	<b>25,000</b>	<b>50.01</b>	<b>n/a</b>	<b>2-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PNRA</b>	<b>C</b>	<b>15,000</b>	<b>114.41</b>	<b>n/a</b>	<b>11-Feb</b>	<b>non-brg</b>	<b>4.0</b>
HARVEST MGMT	029	ROST	B	10,000	71.01	n/a	n/a	400.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>RRR</b>	<b>B</b>	<b>500</b>	<b>12.89</b>	<b>n/a</b>	<b>12-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>RRR</b>	<b>B</b>	<b>230</b>	<b>12.88</b>	<b>n/a</b>	<b>12-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SCS</b>	<b>B</b>	<b>20,000</b>	<b>9.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
SCOPUS FUND	041	SPLS	S	20,000	20.68	n/a	n/a	800.00	4.0
NEW JERSEY DIV OF INV.	030	SWM	B	10,000	54.28	n/a	n/a	400.00	4.0
PECONIC PARTNERS	036	SWN	C	250,000	37.66	n/a	24-Feb	12,500.00	5.0
FIRST NEW YORK LEDDY	035	S	S	20,000	4.16	n/a	n/a	1,200.00	6.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>TEX</b>	<b>B</b>	<b>90</b>	<b>33.45</b>	<b>n/a</b>	<b>11-Feb</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	TEX	B	20,000	33.51	n/a	11-Feb	1,000.00	5.0
NEW JERSEY DIV OF INV.	030	TGT	B	150,000	51.72	n/a	24-Feb	6,000.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>TIN</b>	<b>B</b>	<b>300</b>	<b>23.69</b>	<b>n/a</b>	<b>8-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>TWI</b>	<b>S</b>	<b>10000</b>	<b>22.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>UAL</b>	<b>B</b>	<b>25,000</b>	<b>22.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>USG</b>	<b>B</b>	<b>300</b>	<b>16.57</b>	<b>n/a</b>	<b>27-Jan</b>	<b>0.00</b>	<b>0.0</b>
ROTHSCHILD ASSET	040	VLO	S	9,140	27.28	n/a	n/a	365.60	4.0
IMPALA ASSET MMT	012	WAB	B	51,169	54.56	n/a	n/a	2,558.45	5.0
ROTHSCHILD ASSET	040	WDR	B	18,804	39.93	n/a	2-Mar	752.16	4.0
TRUSTCO/SUNTRUST	040	WEN	B	153,300	4.94	n/a	28-Jan	5,365.54	3.5
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>WG</b>	<b>B</b>	<b>5000</b>	<b>10.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>WIN</b>	<b>B</b>	<b>10000</b>	<b>12.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	041	WMT	SS	86,399	52.64	n/a	22-Feb	3,023.97	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>WNC</b>	<b>B</b>	<b>25,000</b>	<b>10.46</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	WRC	B	50,000	56.81	n/a	20-Jan	1,750.00	3.5
GREEN ARROW DOUG	040	XLP	B	40,000	29.39	n/a	n/a	2,000.00	5.0
OZ MGMT	040	XLP	SS	152,940	29.38	n/a	n/a	4,588.20	3.0
OZ MGMT	040	XRT	SS	67,321	48.25	n/a	n/a	2,019.63	3.0
<b>TOTAL (Trade Date):</b>							<b>150,917.75</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/23	PHILADELPHIA FINANCIAL	033	GM	SS	26,244	35.33	n/a	24-Feb	1049.76 4.0
AO,CXL	02/23	PHILADELPHIA FINANCIAL	033	GM	SS	38,257	35.33	n/a	24-Feb	1147.71 3.0
AO,	02/23	PHILADELPHIA FINANCIAL	033	GM	SS	12,013	35.33	n/a	24-Feb	480.52 4.0
AO,	02/23	PILOT ADV	048	PBY	B	20,000	13.68	n/a	n/a	800.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>1,182.57</b>

TOTAL (Trade Date) :	150,917.75
TOTAL (AO, CXL, CRT) :	1,182.57

<b>TOTAL (RUN DATE) / NFS :</b>	<b>152,100.32</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 24-Feb.  
NONE

MRI Securities without Trade Activity on 24-Feb.  
KEG, PRX

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 4%  
YTD = 4%



**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**