



RR Commissions Summary [Bob Efstathiou]

Trade Date: 09/24/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AMICI CAPITAL N/C FROM PORTER-ORLIN	044	0	0	12,200	35,764	0	0	0	0	12,200	35,764	36,032	99
BALYASNY ASSET MGMT	044	0	27,500	94,850	210,461	0	0	0	27,500	94,850	210,461	283,475	74
BOW STREET LLC	044	0	0	0	0	0	0	0	0	0	0	2,733	0
CARDINAL CAPITAL	044	0	0	0	0	0	0	0	0	0	0	5,685	0
COUGAR TRADING	044	0	0	2,750	12,130	0	0	0	0	2,750	12,130	11,600	105
CR INTRINIC INVESTMENT	044	0	4,628	16,205	43,442	0	0	0	4,628	16,205	43,442	8,190	530
DC CAPITAL	044	0	5,848	23,196	64,896	0	0	0	5,848	23,196	64,896	65,700	99
DEVENNY CAP LLC/MILLENIUUM	044	0	0	0	4,473	0	6,526	6,526	0	6,526	10,999	24,627	45
ELM RIDGE ADVISORS	044	0	0	0	37,234	0	0	0	0	0	37,234	126,829	29
FRANKLIN TEMPLETON	044	0	0	0	0	0	0	4,303	0	0	4,303	0	0
GLG PARTNERS	044	0	360	1,110	2,471	0	0	0	360	1,110	2,471	0	0
GOLDMAN SACHS INVESTMENT PARTNERS	044	0	0	0	0	0	8,000	15,000	0	8,000	15,000	65,000	23
HIGHLINE ADV	044	0	0	15,000	55,000	0	0	0	0	15,000	55,000	95,000	58
JANA CAPITAL	044	0	0	0	0	0	5,000	5,000	0	5,000	5,000	36,608	14
LOCUST WOOD CAPITAL MGMT	044	0	0	1,000	22,396	0	0	0	0	1,000	22,396	21,898	102
METROPOLITAN CAPITAL	044	0	1,357	1,791	6,018	0	0	0	1,357	1,791	6,018	11,282	53
PARAMETER CAPITAL MGMT LLC	044	0	0	6,000	48,131	0	0	0	0	6,000	48,131	26,168	184
PZENA INV ADV	044	0	0	0	53	2,900	2,900	15,675	2,900	2,900	15,728	59,571	26
RIVERLOFT CAPITAL	044	0	1,200	5,550	13,620	0	0	0	1,200	5,550	13,620	0	0
S.A.C. CAPITAL	044	0	23,790	50,776	163,951	0	5,000	5,000	23,790	55,776	168,951	11,767	1436
SAC TRADING TOM LEDDY	044	0	0	0	14,000	0	0	0	0	0	14,000	0	0
SIGMA CAP BOBBY	044	0	0	13,970	25,567	0	0	0	0	13,970	25,567	0	0
SOUTH POINT CAP	044	0	0	0	15,000	0	0	45,000	0	0	60,000	55,000	109
THIRD POINT MGMT	044	0	0	0	7,064	0	0	0	0	0	7,064	1,000	706
VISIUM/BALY BOBBY	044	0	1,750	13,660	38,425	0	0	0	1,750	13,660	38,425	78,547	49
WCG MANAGEMENT	044	0	0	0	2,460	0	0	0	0	0	2,460	11,820	21
WOOSTER CAPITAL	044	0	0	2,150	68,278	0	0	0	0	2,150	68,278	0	0
TOTALS:		0	66,434	260,208	890,833	2,900	27,426	96,504	69,334	287,633	987,337		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AELTUS/ING IVESTMENT MGT	237	0	0	0	33,539	0	0	0	0	0	33,539	0	0
ARDSLEY PARTNERS	280	1,000	17,250	48,208	65,458	0	0	0	17,250	48,208	65,458	0	0
GLG PARTNERS	280	0	0	0	0	0	0	0	0	0	0	0	0
GOLDMAN SACHS ASSET MGMT	098	0	0	0	0	0	0	0	0	0	0	5,000	0
KENSAI MANAGEMENT	286	0	0	0	0	0	0	0	0	0	0	0	0
OSKIE CAPITAL MGMT	224	0	4,000	26,400	54,350	0	0	0	4,000	26,400	54,350	50,768	0
SG CAPITAL/CEDAR STREET FUNDS	292	0	0	0	0	3,000	3,000	3,000	3,000	3,000	3,000	0	0
TOTALS:		1,000	21,250	74,608	153,347	3,000	3,000	3,000	24,250	77,608	156,347		

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