



Daily Compliance Activity Report

Trade Date: 05/27/2009

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	041	AEO	S	25,000	14.74	20-Apr	8-May	1,000.00	4.0
BALYASNY ASSET MGMT	044	ALK	S	10,000	15.76	n/a	n/a	500.00	5.0
MORGAN BANK /JPM	070	ANN	S	245,500	7.65	n/a	30-Jan	7,365.00	3.0
MTB INV ADV	034	ARO	B	7,425	34.54	n/a	22-May	371.25	5.0
MTB INV ADV	034	ASCA	B	12,775	20.89	n/a	30-Apr	638.75	5.0
NEUBERGER BERMAN	036	AVB	S	4,200	58.85	n/a	n/a	189.00	4.5
BALYASNY ASSET MGMT	044	AWI	S	7,000	18.92	n/a	n/a	350.00	5.0
BALYASNY ASSET MGMT	044	BDN	S	25,000	6.22	n/a	n/a	1,000.00	4.0
BALYASNY ASSET MGMT	044	BUCY	C	5,000	27.39	n/a	26-May	250.00	5.0
BALYASNY ASSET MGMT	044	CAL	S	10,000	9.20	n/a	n/a	400.00	4.0
STADIA CAPITAL	035	CAT	SS	22,500	34.99	n/a	22-Apr	900.00	4.0
MTB INV ADV	034	CBRL	B	3,700	30.72	n/a	n/a	185.00	5.0
STADIA CAPITAL	035	CHRW	SS	4,400	50.68	n/a	n/a	176.00	4.0
BUCK CAPITAL MGMT	001	COH	S	10,000	25.55	9-Jan	22-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
OVERLAND PARK CAP	041	COLM	B	12,000	31.91	n/a	n/a	480.00	4.0
GLENHILL CAPITAL	212	DDUP	B	300,000	24.91	n/a	n/a	15,000.00	5.0
AVESTA CAP	229	DD	S	50,000	27.94	n/a	21-Apr	2,000.00	4.0
CUMBERLAND	038	DE	SS	5,000	44.03	26-Jan	20-May	200.00	4.0
SUFFOLK CAPITAL MGMT	040	DIS	B	150,000	24.49	n/a	n/a	6,000.00	4.0
MORGAN BANK /JPM	070	EMC	B	440,000	12.29	n/a	n/a	13,200.00	3.0
NEUBERGER BERMAN	036	EQR	S	10,500	23.34	n/a	n/a	472.50	4.5
NEWCASTLE FUNDS	255	ETN	C	9,000	45.28	27-May	27-May	360.00	4.0
BALYASNY ASSET MGMT	044	FCX	C	5,000	51.08	n/a	n/a	250.00	5.0
TRELLUS PARTNERS LP	028	FDO	SS	10,700	31.71	5-Mar	18-May	535.00	5.0
MTB INV ADV	034	FOSL	B	24,475	22.69	n/a	30-Jan	1,223.75	5.0
HEALTHCOR MGMT	038	FRX	B	75,000	23.06	22-Apr	26-May	3,750.00	5.0
BUCK CAPITAL MGMT	001	GES	B	50,000	25.70	n/a	n/a	2,000.00	4.0
BALYASNY ASSET MGMT	044	HGG	SS	14,079	17.25	n/a	n/a	703.95	5.0
RDK CAPITAL MGMT	034	INTC	B	50,000	15.64	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	ITW	B	40,000	33.41	30-Jan	22-May	2,000.00	5.0
TYEE/TYGH	035	IVZ	B	50,000	14.98	11-May	22-May	2,000.00	4.0
JMP ASSET MGMT	210	JAS	B	5,000	21.60	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	JOYG	C	5,000	33.40	26-Jan	5-Mar	250.00	5.0
THE JAY GOLDMAN	034	JWN	SS	50,000	21.99	20-Feb	15-May	2,000.00	4.0
THE JAY GOLDMAN	034	KSS	B	25,000	44.20	8-May	15-May	1,000.00	4.0
SANDLER O'NEILL ASSET	031	LAZ	B	41,150	26.75	n/a	19-May	2,057.50	5.0
STADIA CAPITAL	035	LECO	SS	2,700	41.95	n/a	n/a	108.00	4.0
CRAMER ROSENTHAL/SCOTT	012	LM	B	41,100	19.08	5-May	19-May	1,644.00	4.0
CATAPULT	040	MLM	B	30,000	82.18	n/a	n/a	1,500.00	5.0
HOLLIS CAPITAL	033	MRK	B	5,000	26.64	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	MT	C	5,000	31.65	n/a	n/a	250.00	5.0
CASEY CAPITAL	067	NCS	B	8,000	4.87	n/a	n/a	400.00	5.0
THIRD POINT MGMT	044	NCS	S	50,000	4.79	n/a	n/a	1,500.00	3.0
GLENHILL CAPITAL	212	NTAP	B	210,000	19.45	n/a	n/a	10,500.00	5.0
JP MORGAN VENTURES	046	NTY	B	20,000	24.98	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	OFC	S	8,500	27.85	n/a	n/a	382.50	4.5
BALYASNY ASSET MGMT	044	OSK	SS	30,000	11.60	n/a	n/a	1,500.00	5.0
KACELA CAPITAL	034	PFCB	B	25,000	30.57	23-Apr	23-Apr	1,000.00	4.0
BALYASNY ASSET MGMT	044	PLCE	C	50,000	37.14	19-Mar	21-May	2,500.00	5.0
RAINIER INV MGMT	035	PNC	S	19,880	42.83	n/a	n/a	994.00	5.0
FINANCIAL STOCK LP	028	PRU	B	50,000	40.47	n/a	n/a	2,000.00	4.0
CR INTRINIC INVESTMENT	044	PRX	B	50,000	13.14	6-May	26-May	2,500.00	5.0
ASCEND PARTNERS	033	PVH	S	20,000	29.21	20-Apr	21-May	1,000.00	5.0
KACELA CAPITAL	034	RIG	B	8,000	76.09	n/a	n/a	320.00	4.0
OVERLAND PARK CAP	041	RL	SS	7,300	54.98	n/a	16-Apr	292.00	4.0
MORGAN BANK /JPM	070	RTN	S	6,000	44.66	n/a	n/a	180.00	3.0
PENNANT CAP MGMT	029	SFD	SS	28,600	13.43	n/a	n/a	858.00	3.0
NEUBERGER BERMAN	036	SLG	S	31,500	22.26	n/a	n/a	1,417.50	4.5
PZENA INV ADV	044	SRE	S	1,675	45.95	n/a	n/a	58.63	3.5
PENNANT CAP MGMT	029	SVNT	S	30,000	5.94	n/a	n/a	600.00	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PZENA INV ADV	044	TEL	S	2,650	17.97	n/a	n/a	92.75	3.5
SIGMA CAPITAL ASSOCIATES	041	TJX	S	25,000	29.40	4-May	20-May	1,000.00	4.0
BALYASNY ASSET MGMT	044	URBN	B	25,000	20.77	n/a	30-Jan	1,250.00	5.0
JP MORGAN VENTURES	046	URBN	SS	15,000	20.40	n/a	30-Jan	750.00	5.0
STADIA CAPITAL	035	VMC	SS	7,600	41.98	n/a	n/a	304.00	4.0
RAINIER INV MGMT	035	WAB	B	109,300	34.82	n/a	n/a	4,372.00	4.0
STADIA CAPITAL	035	WAG	B	9,700	30.17	n/a	n/a	388.00	4.0
MTB INV ADV	034	WEN	B	159,770	4.55	n/a	24-Apr	4,793.10	3.0
BALYASNY ASSET MGMT	044	WFMI	SS	30,000	18.70	n/a	n/a	1,500.00	5.0
KLEINHEINZ CAP PART	031	WFMI	S	75,000	18.22	n/a	n/a	3,750.00	5.0
EPOCH INV MGMT	030	WRC	B	55,000	30.59	13-May	13-May	2,200.00	4.0
BALYASNY ASSET MGMT	044	X	C	5,000	32.45	n/a	n/a	250.00	5.0
MORGAN BANK /JPM	070	YUM	B	5,400	34.58	n/a	22-Apr	162.00	3.0
TOTAL (Trade Date):								125,024.18	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/26	MISSION GLOBAL LLC (MISGMLCOG)	041	EP	B	19,950	8.74	n/a	n/a	598.50 3.0
AO,CRT	05/26	MISSION GLOBAL LLC (MISGMLCOG)	041	EP	B	19,450	8.74	n/a	n/a	583.50 3.0
AO,CXL	05/26	MISSION GLOBAL LLC (MISGMSPB)	041	EP	B	28,750	8.74	n/a	n/a	862.50 3.0
AO,CRT	05/26	MISSION GLOBAL LLC (MISGMSPB)	041	EP	B	29,250	8.74	n/a	n/a	877.50 3.0
AO,CXL	05/26	PHILADELPHIA FINANCIAL (PHILMSCOM)	033	FII	S	30,000	22.56	24-Apr	19-May	1200.00 4.0
AO,CXL	05/26	PHILADELPHIA FINANCIAL (PHILMSCOM)	033	FII	S	20,000	22.51	24-Apr	19-May	800.00 4.0
AO,	05/26	PHILADELPHIA FINANCIAL (PHILMSCOM)	033	FII	S	50,000	22.54	24-Apr	19-May	2000.00 4.0
AO,CXL	05/26	EPOCH INV MGMT (EPOCUNCCEQ)	030	IFF	S	3,010	31.02	21-Jan	30-Apr	120.40 4.0
AO,	05/26	EPOCH INV MGMT (EPOCUNCCEQ)	030	IFF	S	3,010	31.02	21-Jan	30-Apr	120.40 4.0
AO,CXL	05/26	EPOCH INV MGMT (EPOCUNEQVP)	030	IFF	S	4,455	31.02	21-Jan	30-Apr	178.20 4.0
AO,	05/26	EPOCH INV MGMT (EPOCUNEQVP)	030	IFF	S	4,455	31.02	21-Jan	30-Apr	178.20 4.0
AO,CXL	05/26	MISG (MISGGSPB)	041	IR	B	650	21.53	n/a	n/a	32.50 5.0
AO,CXL	05/26	MISSION GLOBAL LLC (MISGMLCOG)	041	IR	B	9,975	21.53	n/a	n/a	498.75 5.0
AO,CRT	05/26	MISSION GLOBAL LLC (MISGMLCOG)	041	IR	B	9,725	21.53	n/a	n/a	389.00 4.0
AO,CXL	05/26	MISSION GLOBAL LLC (MISGMSPB)	041	IR	B	14,375	21.53	n/a	n/a	718.75 5.0
AO,CRT	05/26	MISSION GLOBAL LLC (MISGMSPB)	041	IR	B	14,625	21.53	n/a	n/a	585.00 4.0
AO,CRT	05/26	MISSION GLOBAL LLC (MISGGSPB)	041	IR	B	650	21.53	n/a	n/a	26.00 4.0
AO,CXL	05/26	MISG (MISGGSPB)	041	ROK	SS	442	31.35	n/a	n/a	22.10 5.0
AO,CXL	05/26	MISSION GLOBAL LLC (MISGMLCOG)	041	ROK	SS	6,783	31.35	n/a	n/a	339.15 5.0
AO,CRT	05/26	MISSION GLOBAL LLC (MISGMLCOG)	041	ROK	SS	6,613	31.35	n/a	n/a	264.52 4.0
AO,CXL	05/26	MISSION GLOBAL LLC (MISGMSPB)	041	ROK	SS	9,775	31.35	n/a	n/a	488.75 5.0
AO,CRT	05/26	MISSION GLOBAL LLC (MISGMSPB)	041	ROK	SS	9,945	31.35	n/a	n/a	397.80 4.0
AO,CRT	05/26	MISSION GLOBAL LLC (MISGGSPB)	041	ROK	SS	442	31.35	n/a	n/a	17.68 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									-420.00
TOTAL (Trade Date) :									125,024.18
TOTAL (AO, CXL, CRT) :									-420.00
TOTAL (RUN DATE) / NFS :									124,604.18

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION