



# Daily Compliance Activity Report

Trade Date: 04/25/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAIRD INVESTMENT	047	ADS	B	150	166.42	n/a	n/a	7.50	0.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>AIG</b>	<b>B</b>	<b>100</b>	<b>42.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	047	ALTR	B	400	32.37	n/a	n/a	20.00	0.0
PARAMETER CAPITAL MGMT LLC	044	ALV	S	30,000	69.79	n/a	18-Apr	900.00	0.0
BAIRD INVESTMENT	047	ALXN	B	100	97.06	n/a	n/a	5.00	0.0
BAIRD INVESTMENT	047	AMG	B	125	150.06	n/a	2-Mar	6.25	0.0
BAIRD INVESTMENT	047	AMT	S	450	83.85	n/a	n/a	22.50	0.0
TRUSTCO/SUNTRUST (CEREDEX)	273	AMZN	S	1,625	275.41	n/a	n/a	52.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>SS</b>	<b>17,100</b>	<b>29.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BAIRD INVESTMENT	047	ANSS	B	275	78.34	n/a	n/a	13.75	0.0
HOLLIS CAPITAL	033	APC	B	5,000	84.66	n/a	25-Apr	250.00	0.0
BAIRD INVESTMENT	047	ARG	B	150	97.62	n/a	n/a	7.50	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>SS</b>	<b>30,000</b>	<b>14.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>ATI</b>	<b>B</b>	<b>3000</b>	<b>27.60</b>	<b>n/a</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BAIRD INVESTMENT	047	ATR	B	300	56.39	n/a	n/a	15.00	0.0
BAIRD INVESTMENT	047	ATU	B	525	30.70	n/a	n/a	26.25	0.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>AXE</b>	<b>B</b>	<b>50</b>	<b>71.35</b>	<b>n/a</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
ROSEDALE CAPITAL	046	AXL	S	5,000	13.36	n/a	25-Apr	200.00	0.0
BAIRD INVESTMENT	047	AYI	B	225	73.00	n/a	n/a	11.25	0.0
<b>f DANIEL JORDAN COHEN</b>	<b>FID</b>	<b>BAS</b>	<b>B</b>	<b>235</b>	<b>12.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>BAS</b>	<b>B</b>	<b>470</b>	<b>12.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>SS</b>	<b>7,400</b>	<b>67.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
TRUSTCO/SUNTRUST (CEREDEX)	273	BEAV	B	1,518	62.57	n/a	22-Apr	48.58	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>BIG</b>	<b>SS</b>	<b>13,400</b>	<b>37.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BIG</b>	<b>SS</b>	<b>19,100</b>	<b>37.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HALCYON LLC	041	BKD	B	2,828	26.60	n/a	n/a	84.84	0.0
RAINIER INV MGMT	033	BLK	B	30,340	263.07	n/a	n/a	1,213.60	0.0
PARAMETER CAPITAL MGMT LLC	044	BWA	S	10,000	76.74	n/a	25-Apr	300.00	0.0
TRELLUS PARTNERS LP	028	BWA	S	5,000	76.06	n/a	25-Apr	250.00	0.0
BAIRD INVESTMENT	047	BWLD	B	200	95.00	n/a	n/a	10.00	0.0
BAIRD INVESTMENT	047	CERN	B	175	92.49	n/a	n/a	8.75	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAIRD INVESTMENT	047	CHD	B	425	63.75	n/a	25-Apr	21.25	0.0
BAIRD INVESTMENT	047	CHD	S	425	63.60	n/a	25-Apr	21.25	0.0
BAIRD INVESTMENT	047	CLB	B	125	144.11	n/a	18-Apr	6.25	0.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>CLF</b>	<b>S</b>	<b>5000</b>	<b>21.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>CMI</b>	<b>B</b>	<b>50</b>	<b>113.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	047	CNQR	B	150	69.97	n/a	n/a	7.50	0.0
BAIRD INVESTMENT	047	COG	B	50	69.89	n/a	25-Apr	2.50	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>SS</b>	<b>9,000</b>	<b>57.63</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>SS</b>	<b>5,400</b>	<b>60.85</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
BAIRD INVESTMENT	047	CTRX	B	250	56.25	n/a	n/a	12.50	0.0
BAIRD INVESTMENT	047	CTXS	B	250	64.32	n/a	n/a	12.50	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>40,000</b>	<b>59.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BAIRD INVESTMENT	047	DG	B	250	52.74	n/a	26-Mar	12.50	0.0
BAIRD INVESTMENT	047	DKS	B	425	47.61	n/a	24-Apr	21.25	0.0
BAIRD INVESTMENT	047	DLR	B	250	72.73	n/a	n/a	12.50	0.0
NEUBERGER BERMAN	036	DLR	S	58,150	72.49	n/a	n/a	2,326.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET/BLACKROCK	297	EGP	S	1,373	61.46	n/a	n/a	54.92	0.0
KEY ASSET MGMT/VICTORY	220	ESRX	S	154,760	57.08	n/a	n/a	6,190.40	0.0
BAIRD INVESTMENT	047	EWBC	B	625	23.97	n/a	n/a	31.25	0.0
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>EWG</b>	<b>B</b>	<b>200</b>	<b>24.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	047	EW	B	100	65.95	n/a	n/a	5.00	0.0
BAIRD INVESTMENT	047	FAST	B	350	49.58	n/a	n/a	17.50	0.0
BAIRD INVESTMENT	047	FFIV	B	100	75.50	n/a	26-Feb	5.00	0.0
BAIRD INVESTMENT	047	FIRE	B	325	51.64	n/a	n/a	16.25	0.0
BAIRD INVESTMENT	047	FLR	B	250	56.72	n/a	n/a	12.50	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>FRAN</b>	<b>B</b>	<b>20,000</b>	<b>28.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FRAN</b>	<b>S</b>	<b>30,000</b>	<b>29.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FWM</b>	<b>B</b>	<b>10,000</b>	<b>17.92</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FWM</b>	<b>B</b>	<b>5,000</b>	<b>17.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
TRELLUS PARTNERS LP	028	F	SS	20,000	13.54	n/a	25-Apr	1,000.00	0.0
WOOSTER CAPITAL	044	F	SS	150,000	13.46	n/a	25-Apr	6,000.00	0.0
BAIRD INVESTMENT	047	GHL	B	350	47.23	n/a	n/a	17.50	0.0
OZ MGMT	040	GM	C	50,000	30.69	n/a	25-Apr	1,500.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CR INTRINIC INVESTMENT	044	GT	B	50,000	12.86	n/a	n/a	1,500.00	0.0
DELTEC ASSET MGMT.	040	HAL	B	5,000	41.00	n/a	n/a	250.00	0.0
JET CAPITAL/CHRIS	041	HES	S	100,000	71.73	n/a	n/a	3,000.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>HOG</b>	<b>C</b>	<b>67,800</b>	<b>54.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BAIRD INVESTMENT	047	ICLR	B	600	32.00	n/a	n/a	30.00	0.0
BAIRD INVESTMENT	047	IHG	B	650	29.27	n/a	n/a	32.50	0.0
BAIRD INVESTMENT	047	ILMN	B	400	62.68	n/a	n/a	20.00	0.0
TALO	006	INTC	SS	6,500	23.56	n/a	n/a	325.00	0.0
BAIRD INVESTMENT	047	IVZ	B	450	29.75	n/a	2-Mar	22.50	0.0
BAIRD INVESTMENT	047	JBHT	B	325	71.29	n/a	n/a	16.25	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>SS</b>	<b>20,000</b>	<b>14.43</b>	<b>n/a</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>4.0</b>
BAIRD INVESTMENT	047	JOY	B	200	57.66	n/a	11-Jul	10.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>KMT</b>	<b>B</b>	<b>14,000</b>	<b>38.52</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PARAMETER CAPITAL MGMT LLC044	044	KMT	B	10,000	38.96	n/a	n/a	300.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>KORS</b>	<b>S</b>	<b>15,000</b>	<b>55.79</b>	<b>n/a</b>	<b>22-Apr</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KORS</b>	<b>S</b>	<b>15,000</b>	<b>56.75</b>	<b>n/a</b>	<b>22-Apr</b>	<b>non-brg</b>	<b>1.0</b>

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<b>B</b> BUCK CAPITAL MGMT		<b>KORS</b>	<b>S</b>	<b>35,000</b>	<b>55.72</b>	<b>n/a</b>	<b>22-Apr</b>	<b>non-brg</b>	<b>4.0</b>
TROUBH PART	070	KO	B	10,000	42.35	n/a	25-Apr	500.00	0.0
<b>B</b> BUCK CAPITAL MGMT		<b>KSS</b>	<b>SS</b>	<b>10,000</b>	<b>48.08</b>	<b>n/a</b>	<b>8-Apr</b>	<b>non-brg</b>	<b>4.0</b>
TALO	006	LCC	B	13,700	16.46	n/a	24-Apr	685.00	0.0
<b>B</b> BUCK CAPITAL MGMT		<b>LEA</b>	<b>S</b>	<b>15,000</b>	<b>57.13</b>	<b>n/a</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>4.0</b>
GUGGENHEIM GLOBAL TRADING	(GGT)251	LEA	S	20,000	56.89	n/a	25-Apr	800.00	0.0
<b>B</b> BUCK CAPITAL MGMT		<b>LEG</b>	<b>SS</b>	<b>15,000</b>	<b>33.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HALCYON LLC	041	LIFE	B	51,459	73.67	n/a	n/a	1,543.77	0.0
<b>f</b> MATTHEW FRANCIS BUCK	<b>FID</b>	<b>LIFE</b>	<b>S</b>	<b>300</b>	<b>73.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	047	LKQ	B	900	22.85	n/a	n/a	45.00	0.0
<b>B</b> BUCK CAPITAL MGMT		<b>LUV</b>	<b>SS</b>	<b>74,000</b>	<b>13.42</b>	<b>n/a</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>1.0</b>
BAIRD INVESTMENT	047	MAN	B	350	52.32	n/a	n/a	17.50	0.0
BAIRD INVESTMENT	047	MCHP	B	275	36.21	n/a	n/a	13.75	0.0
DISCIPLINED GROWTH INV.	030	MIDD	S	1,300	152.50	n/a	n/a	65.00	0.0
BAIRD INVESTMENT	047	MKC	B	325	71.97	n/a	n/a	16.25	0.0
HALCYON LLC	041	MMR	B	8,267	16.78	n/a	n/a	248.01	0.0
CENTURION PARTNERS	041	MRK	S	15,000	47.61	n/a	n/a	600.00	0.0

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TALO	006	MSFT	SS	20,000	31.88	n/a	n/a	1,000.00	0.0
<b>f ABRAM M CHAPPELL</b>	<b>FID</b>	<b>MTG</b>	<b>B</b>	<b>900</b>	<b>5.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRUSTCO/SUNTRUST (CEREDEX)	273	M	B	1,570	45.00	n/a	8-Apr	50.24	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>NCLH</b>	<b>B</b>	<b>10,000</b>	<b>30.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NCLH</b>	<b>B</b>	<b>33,200</b>	<b>30.18</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NCLH</b>	<b>B</b>	<b>6,800</b>	<b>30.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
JET CAPITAL/CHRIS	041	NRG	S	25,000	28.04	n/a	n/a	750.00	0.0
BAIRD INVESTMENT	047	OII	B	275	66.77	n/a	n/a	13.75	0.0
BAIRD INVESTMENT	047	ORLY	B	200	106.51	n/a	n/a	10.00	0.0
BAIRD INVESTMENT	047	ORLY	S	325	106.25	n/a	n/a	16.25	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>OXM</b>	<b>SS</b>	<b>20,000</b>	<b>59.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BRANDWINE	028	OXY	B	55,400	83.97	n/a	n/a	2,216.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>PETM</b>	<b>C</b>	<b>30,000</b>	<b>67.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BAIRD INVESTMENT	047	PII	B	150	87.02	n/a	n/a	7.50	0.0
BAIRD INVESTMENT	047	PLXS	B	450	26.97	n/a	n/a	22.50	0.0
BAIRD INVESTMENT	047	PRGO	B	100	118.19	n/a	22-Apr	5.00	0.0
BAIRD INVESTMENT	047	PVH	B	200	110.67	n/a	22-Apr	10.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		PVH	B	10,000	111.30	n/a	22-Apr	non-brg	4.0
	DELTEC ASSET MGMT.	040	PVH	S	5,500	110.97	n/a	22-Apr	275.00	0.0
	NOMURA/ANGEL LANE PRINCIPAL	040	PVH	S	30,000	110.73	n/a	22-Apr	900.00	0.0
	BAIRD INVESTMENT	047	RBC	B	125	76.99	n/a	n/a	6.25	0.0
B	BUCK CAPITAL MGMT		RL	B	10,000	175.56	n/a	22-Apr	non-brg	4.0
	BAIRD INVESTMENT	047	ROK	B	200	85.89	n/a	n/a	10.00	0.0
	BAIRD INVESTMENT	047	ROP	B	125	124.04	n/a	n/a	6.25	0.0
	BAIRD INVESTMENT	047	RVBD	B	425	14.70	n/a	n/a	21.25	0.0
f	DAVID GEORGE BUCK	FID	SBUX	S	7	6.50	n/a	22-Apr	0.00	0.0
	CENTURION PARTNERS	041	SFD	S	15,000	25.74	n/a	n/a	600.00	0.0
	LATEEF MGMT	033	SFG	S	2,425	43.13	n/a	n/a	252.50	0.0
	KLEINHEINZ CAP PART	031	SLV	B	150,000	22.88	n/a	n/a	7,500.00	0.0
f	DANIEL JORDAN COHEN	FID	SNCR	S	80	27.91	n/a	n/a	0.00	0.0
f	JASON ERIC COHEN	FID	SNCR	S	100	27.92	n/a	n/a	0.00	0.0
	THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE	033	SPF	B		131,827	8.91	n/a	n/a	3,954.81 0.0
	BNP PARIBAS SEC	040	SPLS	S	25,000	12.76	n/a	n/a	750.00	0.0
B	BUCK CAPITAL MGMT		SPN	B	41,100	24.44	n/a	n/a	non-brg	4.0



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PIMCO	084	SPR	B	100,000	20.17	n/a	19-Mar	2,000.00	0.0
BAIRD INVESTMENT	047	SRCL	B	225	107.33	n/a	n/a	11.25	0.0
FIRST NEW YORK	086	SSI	S	5,000	29.52	n/a	11-Apr	200.00	0.0
MERRILL ASSET/BLACKROCK	297	STAG	S	5,102	22.16	n/a	n/a	204.08	0.0
BAIRD INVESTMENT	047	SWKS	B	575	21.97	n/a	n/a	28.75	0.0
<b>f YANAI A FRANK</b>	<b>FID</b>	<b>SWKS</b>	<b>S</b>	<b>815</b>	<b>22.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	047	SWN	B	200	36.07	n/a	18-Apr	10.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>SWY</b>	<b>C</b>	<b>50,000</b>	<b>23.98</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BAIRD INVESTMENT	047	TDC	B	225	50.49	n/a	n/a	11.25	0.0
SIGMA CAPITAL ASSOCIATES	041	TGT	B	25,000	70.41	n/a	18-Apr	750.00	0.0
BAIRD INVESTMENT	047	TIF	B	200	73.45	n/a	n/a	10.00	0.0
DISIPLINED GROWTH INV.	030	TJX	S	3,000	48.46	n/a	11-Apr	150.00	0.0
VERITION	028	TOL	S	5,000	34.15	n/a	n/a	150.00	0.0
BAIRD INVESTMENT	047	TRMB	B	700	28.62	n/a	n/a	35.00	0.0
BAIRD INVESTMENT	047	TSCO	B	100	110.16	n/a	n/a	5.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>TSCO</b>	<b>B</b>	<b>20,000</b>	<b>109.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f SERGEI BARANOVSKY</b>	<b>FID</b>	<b>TSLA</b>	<b>B</b>	<b>1</b>	<b>1.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ADAGE CAPITAL MGMT	030	T	S	225,000	37.34	n/a	n/a	6,750.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>UAL</b>	<b>SS</b>	<b>48,000</b>	<b>31.07</b>	<b>n/a</b>	<b>22-Apr</b>	<b>non-brg</b>	<b>1.0</b>
BAIRD INVESTMENT	047	UA	B	375	56.68	n/a	24-Apr	18.75	0.0
TRUSTCO/SUNTRUST (CEREDEX)	273	UA	B	2,922	56.70		n/a 24-Apr	93.50	0.0
BAIRD INVESTMENT	047	URBN	B	500	40.56	n/a	n/a	25.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>20,000</b>	<b>39.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VOD</b>	<b>B</b>	<b>1500</b>	<b>30.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VOD</b>	<b>B</b>	<b>500</b>	<b>30.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VOD</b>	<b>S</b>	<b>10</b>	<b>0.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>VOD</b>	<b>B</b>	<b>500</b>	<b>30.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	047	WBC	B	250	72.51	n/a	25-Apr	12.50	0.0
BAIRD INVESTMENT	047	WLL	B	300	46.10	n/a	n/a	15.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>WMT</b>	<b>C</b>	<b>10,000</b>	<b>78.22</b>	<b>n/a</b>	<b>22-Feb</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	WMT	SS	25,000	77.97	n/a	22-Feb	750.00	0.0
BAIRD INVESTMENT	047	WSO	B	175	84.08	n/a	n/a	8.75	0.0
TRUSTCO/SUNTRUST (CEREDEX)	273	XLNX	B	4,924	37.79		n/a n/a	157.57	0.0
BAIRD INVESTMENT	047	ZBRA	B	300	46.26	n/a	n/a	15.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KATHLEEN M CLARKE	FID		S	10000	114.55	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								60,588.32	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/23	NEUBERGER BERMAN	036	FELE	B	3,400	31.16	n/a	n/a	136.00 0.0
AO,CXL	04/24	TUDOR INVESTORS	028	GM	S	10,000	30.07	n/a	25-Apr	3.00 0.0
AO,CRT	04/24	TUDOR INVESTORS	028	GM	S	10,000	30.07	n/a	25-Apr	300.00 3.0
AO,CRT	04/24	TUDOR INVESTORS	028	IP	B	5,000	48.40	n/a	23-Apr	150.00 3.0
AO,CXL	04/24	TUDOR INVESTORS	028	IP	B	5,000	48.40	n/a	23-Apr	1.50 0.0
AO,CXL	04/24	TUDOR INVESTORS	028	JCI	S	10,000	33.94	n/a	23-Apr	3.00 0.0
AO,CRT	04/24	TUDOR INVESTORS	028	JCI	S	10,000	33.94	n/a	23-Apr	300.00 3.0
AO,CRT	04/24	COUGAR TRADING	044	JNY	B	10,000	13.72	n/a	25-Apr	300.00 3.0
AO,CXL	04/24	COUGAR TRADING	044	JNY	B	10,000	13.72	n/a	25-Apr	500.00 0.0
AO,CRT	04/24	TUDOR INVESTORS	028	MWV	S	4,700	35.02	n/a	17-Apr	141.00 3.0
AO,CXL	04/24	TUDOR INVESTORS	028	MWV	S	4,700	35.02	n/a	17-Apr	1.41 0.0
AO,CRT	04/24	CAPITAL WORLD	084	NKE	B	125,000	61.52	n/a	24-Apr	4375.00 0.0
AO,CXL	04/24	CRAMER ROSENTHAL	070	NKE	B	125,000	61.52	n/a	24-Apr	4375.00 0.0
AO,CXL	04/24	TUDOR INVESTORS	028	RFP	B	5,000	14.24	n/a	n/a	1.50 0.0
AO,CRT	04/24	TUDOR INVESTORS	028	RFP	B	5,000	14.24	n/a	n/a	150.00 3.0
AO,CRT	04/24	TUDOR INVESTORS	028	UTX	B	5,000	92.06	n/a	n/a	150.00 3.0
AO,CXL	04/24	TUDOR INVESTORS	028	UTX	B	5,000	92.06	n/a	n/a	1.50 0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>1,115.09</b>

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TOTAL (Trade Date):	60,588.32
TOTAL (AO, CXL, CRT):	1,115.09

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>61,703.41</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 25-Apr.  
NONE

MRI Securities without Trade Activity on 25-Apr.  
LMT, AOS, CAKE, IPXL

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)