

Daily Compliance Activity Report Trade Date: 05/22/2012

POTENTIAL ANALYST TRADE VIOLATIONS: 0

					101		MAZILIOI	Heibt vio	
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
MACELLUM CAPITAL	086	ABG	В	20,000	26.34	n/a	27-Apr	600.00	3.0
BESSEMER INVESTMENT	085	ACE	В	440	72.93	n/a	n/a	17.60	4.0
BESSEMER INVESTMENT	085	ACN	В	590	59.06	n/a	n/a	23.60	4.0
ADAGE CAPITAL MGMT	030	AEO	В	95 , 418	19.95	n/a	2-Dec	2,862.54	3.0
MACELLUM CAPITAL	086	ASNA	В	20,000	19.21	n/a	n/a	600.00	3.0
WATERFRONT CAP	028	CAR	SS	100,000	14.62	n/a	n/a	4,000.00	4.0
NEUBERGER BERMAN	036	CHS	S	122,000	15.12	n/a	5-Feb	4,880.00	4.0
TUDOR INVESTORS	028	CMI	SS	2,000	100.96	n/a	2-May	60.00	3.0
BESSEMER INVESTMENT	085	COP	В	395	51.88	n/a	n/a	15.80	4.0
FIRST NY SEC/CHRIS	041	CRI	SS	10,000	51.08	n/a	n/a	300.00	3.0
BESSEMER INVESTMENT	085	CTL	В	640	38.76	n/a	n/a	25.60	4.0
NWQ INVESTMENT MGMT CO	084	CVG	S	20,000	13.45	n/a	n/a	700.00	3.5
BESSEMER INVESTMENT	085	CVS	В	455	45.14	n/a	n/a	18.20	4.0
BESSEMER INVESTMENT	085	DTE	В	220	56.23	n/a	n/a	8.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
BESSEMER INVESTMENT	085	EXC	В	585	37.21	n/a	n/a	23.40	4.0
BESSEMER INVESTMENT	085	FCX	В	340	32.32	n/a	n/a	13.60	4.0
NEUBERGER BERMAN	036	FEIC	В	12,900	44.39	n/a	n/a	516.00	4.0
BESSEMER INVESTMENT	085	FE	В	610	47.85	n/a	n/a	24.40	4.0
ASCEND PARTNERS	033	FINL	В	25,000	22.13	n/a	2-Apr	1,250.00	5.0
ADAGE CAPITAL MGMT	030	GPS	В	300,000	26.83	n/a	n/a	9,000.00	3.0
NEUBERGER BERMAN	036	HITT	В	15,000	49.99	n/a	n/a	600.00	4.0
HALCYON LLC	041	НР	В	10,000	47.59	n/a	n/a	300.00	3.0
DELTEC ASSET MGMT.	040	JCI	S	13,000	30.53	n/a	27-Apr	650.00	5.0
BESSEMER INVESTMENT	085	JNJ	В	590	63.62	n/a	n/a	23.60	4.0
HALCYON LLC	041	JPM	В	20,000	34.45	n/a	18-May	600.00	3.0
STANDARD PACIFIC CAPITAL	084	KEY	В	500,000	7.55	n/a	n/a	20,000.00	4.0
SUN AMERICA ASSET MGMT	030	KORS	В	14,396	41.50	n/a	n/a	575.84	4.0
BESSEMER INVESTMENT	085	LOW	В	1,135	25.91	n/a	22-May	45.40	4.0
BRONSON POINT	036	LVS	С	25,000	47.25	n/a	13-Jun	750.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
MERRILL ASSET/BLACKROCK	297	MA	В	11,544	412.41	n/a	17-May	461.76	4.0
OHIO PERS	047	MET	В	102,426	30.90	n/a	n/a	3,072.78	3.0
BESSEMER INVESTMENT	085	MHP	В	490	45.28	n/a	n/a	19.60	4.0
BESSEMER INVESTMENT	085	MRO	В	820	24.52	n/a	n/a	32.80	4.0
BESSEMER INVESTMENT	085	MSFT	S	250	29.72	n/a	n/a	10.00	4.0
PERIMETER	273	MTZ	S	128,104	16.19	n/a	n/a	3,843.12	3.0
TUDOR INVESTORS	028	MWV	В	5,000	27.52	n/a	25-Apr	150.00	3.0
BESSEMER INVESTMENT	085	М	В	750	36.53	n/a	10-May	30.00	4.0
BESSEMER INVESTMENT	085	NEM	В	665	47.25	n/a	n/a	26.60	4.0
HALCYON LLC	041	NRF	В	10,000	5.27	n/a	n/a	300.00	3.0
RAINIER INV MGMT	035	RJF	В	108,630	33.70	n/a	n/a	4,345.20	4.0
MACELLUM CAPITAL	086	RUE	S	35 , 000	27.95	n/a	n/a	1,050.00	3.0
LISTED ERR	097	RUE	S	5,000	27.82	n/a	n/a	0.00	0.0
LISTED ERR	099	RUE	В	5,000	28.17	n/a	n/a	0.00	0.0
CYRUS CAPITAL	025	SEE	S	50,000	16.06	n/a	2-Jun	1,500.00	3.0
FIRST NY SEC/CHRIS	041	SPLS	SS	10,000	13.40	n/a	n/a	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
PERRY PARTNERS	085	SPY	В	50,000	132.47	n/a	n/a	1,500.00	3.0
BESSEMER INVESTMENT	085	STX	В	375	26.31	n/a	n/a	15.00	4.0
NEUBERGER BERMAN	036	SYNT	В	13,500	58.33	n/a	n/a	540.00	4.0
COLUMBUS CIRCLE	036	TNGO	В	2,361	21.49	n/a	n/a	94.44	4.0
TRUSTCO/SUNTRUST (CEREDEX	273	Т	S	125,0	33.65	n,	′a n/a	4,375.	00 3.5
TUDOR INVESTORS	028	UNP	SS	2,000	113.02	n/a	n/a	60.00	3.0
ADAGE CAPITAL MGMT	030	URBN	S	300,000	28.18	n/a	n/a	9,000.00	3.0
HALCYON LLC	041	USO	В	20,000	34.43	n/a	n/a	600.00	3.0
EPOCH INV MGMT	030	VC	S	530	41.84	n/a	n/a	15.90	3.0
SENATOR INVESTMENT GROUP	036	WSM	S	160,000	35.87	n/a	n/a	4,800.00	3.0
BESSEMER INVESTMENT	085	WU	В	1,370	17.00	n/a	n/a	54.80	4.0
BALYASNY ASSET MGMT	044	WY	В	50,000	19.58	n/a	4-May	2,500.00	5.0
VISIUM/BALY BOBBY	044	XLB	SS	20,000	33.65	n/a	n/a	1,000.00	5.0
BESSEMER INVESTMENT	085	ZMH	В	375	60.28	n/a	n/a	15.00	4.0
SIMMONS VENTURES	041	ZQK	В	5,000	2.74	n/a	10-May	150.00	3.0
•	PERRY PARTNERS BESSEMER INVESTMENT NEUBERGER BERMAN COLUMBUS CIRCLE TRUSTCO/SUNTRUST (CEREDEX TUDOR INVESTORS ADAGE CAPITAL MGMT HALCYON LLC EPOCH INV MGMT SENATOR INVESTMENT GROUP BESSEMER INVESTMENT BALYASNY ASSET MGMT VISIUM/BALY BOBBY BESSEMER INVESTMENT	PERRY PARTNERS BESSEMER INVESTMENT NEUBERGER BERMAN COLUMBUS CIRCLE TRUSTCO/SUNTRUST (CEREDEX) TUDOR INVESTORS ADAGE CAPITAL MGMT O30 HALCYON LLC EPOCH INV MGMT O30 SENATOR INVESTMENT GROUP BESSEMER INVESTMENT O85 BALYASNY ASSET MGMT O44 VISIUM/BALY BOBBY O44 BESSEMER INVESTMENT O85	PERRY PARTNERS 085 SPY BESSEMER INVESTMENT 085 STX NEUBERGER BERMAN 036 SYNT COLUMBUS CIRCLE 036 TNGO TRUSTCO/SUNTRUST (CEREDEX) 7 TUDOR INVESTORS 028 UNP ADAGE CAPITAL MGMT 030 URBN HALCYON LLC 041 USO EPOCH INV MGMT 030 VC SENATOR INVESTMENT GROUP BESSEMER INVESTMENT 085 WU VISIUM/BALY BOBBY 044 XLB BESSEMER INVESTMENT 085 ZMH	PERRY PARTNERS 085 SPY BESSEMER INVESTMENT 085 STX B NEUBERGER BERMAN 036 SYNT B COLUMBUS CIRCLE 036 TNGO B TRUSTCO/SUNTRUST (CEREDEX) 273 T S TUDOR INVESTORS 028 UNP SS ADAGE CAPITAL MGMT 030 URBN S HALCYON LLC 041 USO B EPOCH INV MGMT 030 VC S SENATOR INVESTMENT GROUP 036 WSM S BESSEMER INVESTMENT 085 WU B AUTHOR SS BESSEMER INVESTMENT 044 WY B VISIUM/BALY BOBBY 044 XLB SS BESSEMER INVESTMENT 085 ZMH B BESSEMER INVESTMENT 085 ZMH B	PERRY PARTNERS 085 SPY B 50,000 BESSEMER INVESTMENT 085 STX B 375 NEUBERGER BERMAN 036 SYNT B 13,500 COLUMBUS CIRCLE 036 TNGO B 2,361 TRUSTCO/SUNTRUST (CEREDEX) 273 T S 125,00 TUDOR INVESTORS 028 UNP SS 2,000 ADAGE CAPITAL MGMT 030 URBN S 300,000 HALCYON LLC 041 USO B 20,000 EPOCH INV MGMT 030 VC S 530 SENATOR INVESTMENT GROUP 036 WSM S 160,000 BESSEMER INVESTMENT 044 WY B 50,000 VISIUM/BALY BOBBY 044 XLB SS 20,000 BESSEMER INVESTMENT 085 ZMH B 375	PERRY PARTNERS 085 SPY B 50,000 132.47 BESSEMER INVESTMENT 085 STX B 375 26.31 NEUBERGER BERMAN 036 SYNT B 13,500 58.33 COLUMBUS CIRCLE 036 TNGO B 2,361 21.49 TRUSTCO/SUNTRUST (CEREDEX) 273 T S 125,000 33.65 TUDOR INVESTORS 028 UNP SS 2,000 113.02 ADAGE CAPITAL MGMT 030 URBN S 300,000 28.18 HALCYON LLC 041 USO B 20,000 34.43 EPOCH INV MGMT 030 VC S 530 41.84 SENATOR INVESTMENT GROUP 036 WSM S 160,000 35.87 BESSEMER INVESTMENT 085 WU B 1,370 17.00 BALYASNY ASSET MGMT 044 WY B 50,000 19.58 VISIUM/BALY BOBBY 044 XLB SS 20,000 33.65 BESSEMER INVESTMENT 085 ZMH B 375 60.28	PERRY PARTNERS 085 SPY B 50,000 132.47 n/a BESSEMER INVESTMENT 085 STX B 375 26.31 n/a NEUBERGER BERMAN 036 SYNT B 13,500 58.33 n/a COLUMBUS CIRCLE 036 TNGO B 2,361 21.49 n/a TRUSTCO/SUNTRUST (CEREDEX) 273 T S 125,000 33.65 n/a ADAGE CAPITAL MGMT 030 URBN S 300,000 28.18 n/a HALCYON LLC 041 USO B 20,000 34.43 n/a EFOCH INV MGMT 030 VC S 530 41.84 n/a SENATOR INVESTMENT GROUP 036 WSM S 160,000 35.87 n/a BESSEMER INVESTMENT 044 WY B 50,000 19.58 n/a VISIUM/BALY BOBBY 044 XLB SS 20,000 33.65 n/a BESSEMER INVESTMENT 085 ZMH B 375 60.28 n/a	PERRY PARTNERS 085 SPY B 50,000 132.47 n/a n/a BESSEMER INVESTMENT 085 STX B 375 26.31 n/a n/a NEUBERGER BERMAN 036 SYNT B 13,500 58.33 n/a n/a COLUMBUS CIRCLE 036 TNGO B 2,361 21.49 n/a n/a TRUSTCO/SUNTRUST (CEREDEX) 273 T S 125,000 33.65 n/a n/a TUDOR INVESTORS 028 UNP SS 2,000 113.02 n/a n/a ADAGE CAPITAL MGMT 030 URBN S 300,000 28.18 n/a n/a HALCYON LLC 041 USO B 20,000 34.43 n/a n/a EPOCH INV MGMT 030 VC S 530 41.84 n/a n/a BESSEMER INVESTMENT 085 WU B 1,370 17.00 n/a n/a	PERRY PARTNERS 085 SPY B 50,000 132.47 n/a n/a 1,500.00 BESSEMER INVESTMENT 085 STX B 375 26.31 n/a n/a 15.00 NEUBERGER BERMAN 036 SYNT B 13,500 58.33 n/a n/a 540.00 COLUMBUS CIRCLE 036 TNCO B 2,361 21.49 n/a n/a 94.44 TRUSTCO/SUNTRUST (CEREDEX) 273 T S 125,000 33.65 n/a n/a 4,375 TUDOR INVESTORS D28 UNP SS 2,000 113.02 n/a n/a 9,000.00 ADAGE CAPITAL MGMT 030 UREN S 300,000 28.18 n/a n/a 9,000.00 EPOCH INV MGMT 030 VC S 530 41.84 n/a n/a 4,600.00 SENATOR INVESTMENT GROUP 036 MSM S 160,000 35.87 n/a n/a 4,8

TOTAL (Trade Date): 88,346.38

Туре	Date Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL	(AO, CXL, CRT):							0.00
	(Trade Date): (AO, CXL, CRT):						88,3	46.38
TOTAL	(RUN DATE) / NFS:						88,3	46.38

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION