



RR Commissions Summary [Ian Miller]

Trade Date: 08/27/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BTIG/KDI ADV	025	0	0	0	0	0	0	25,000	0	0	25,000	50,000	50
CHARTERBRIDGE CAPITAL	025	0	11,159	11,159	21,607	0	0	0	11,159	11,159	21,607	43,000	50
CONIFER	025	0	960	960	960	0	0	0	960	960	960	6,928	14
CONIFER/ISF MANAGEMENT	025	0	0	0	0	0	3,000	7,000	0	3,000	7,000	13,840	51
CYRUS CAPITAL	025	300	26,535	29,625	113,273	0	0	0	26,535	29,625	113,273	36,516	310
EMINENCE CAPITAL	025	0	0	0	0	0	0	25,000	0	0	25,000	80,000	31
FRANKLIN ADVISORY SERVIC	025	0	0	0	0	0	0	10,025	0	0	10,025	20,000	50
G WEISS /IAN	025	0	0	0	0	0	0	0	0	0	0	5,040	0
GABELLI FUNDS	025	0	0	998	9,786	0	0	0	0	998	9,786	0	0
HOPRITE MGMT	025	0	1,607	1,607	27,616	0	0	0	1,607	1,607	27,616	59,717	46
KOLVEN CAPITAL	025	0	0	0	291	0	0	0	0	0	291	30	970
MASTERS CAPITAL MANAGE	025	0	2,200	8,200	43,097	0	0	0	2,200	8,200	43,097	48,495	89
NEWLAND CAPITAL MGT	025	300	908	5,996	18,988	0	0	0	908	5,996	18,988	68,617	28
PALISADE CAPITAL MANAGEMENT LLC	025	0	0	0	16,942	0	0	0	0	0	16,942	0	0
PATTEN & PATTEN	025	78	10,664	41,561	47,049	0	0	0	10,664	41,561	47,049	56,434	83
PAW PARTNERS	025	0	0	0	2,500	0	0	0	0	0	2,500	2,875	87
RIVERPARK CAPITAL	025	0	3,067	3,067	10,198	0	0	0	3,067	3,067	10,198	9,371	109
SAGE ASSET MGMT	025	0	2,135	4,485	23,480	0	0	0	2,135	4,485	23,480	31,923	74
SCOPIA CAPITAL	025	10,000	10,000	10,000	22,065	0	0	0	10,000	10,000	22,065	0	0
SEVEN LOCKS CAPITAL	025	0	1,500	2,670	6,670	0	0	0	1,500	2,670	6,670	11,607	57
SONICA CAPITAL LLC	025	0	1,515	1,515	6,630	0	0	0	1,515	1,515	6,630	4,539	146
SOUTH SHORE CAPITAL	025	0	0	0	0	0	0	500	0	0	500	850	59
TOTALS:		10,678	72,250	121,843	371,151	0	3,000	67,525	72,250	124,843	438,676		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	3,457	16,651	20,731	92,371	0	0	0	16,651	20,731	92,371	103,730	0
FIR TREE CAPITAL	270	0	0	0	0	0	0	9,000	0	0	9,000	6,500	138
GEORGE WEISS	278	0	600	2,490	27,183	0	20,000	80,000	600	22,490	107,183	0	0
TOTALS:		3,457	17,251	23,221	119,554	0	20,000	89,000	17,251	43,221	208,554		