



Daily Compliance Activity Report

Trade Date: 03/25/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IMPALA ASSET MMT	012	ABX	B	100,000	43.50	n/a	n/a	3,000.00	3.0
IMPALA ASSET MMT	012	AEM	SS	170,000	69.44	n/a	n/a	5,100.00	3.0
MERRILL ASSET	032	AEO	S	10,400	18.39	6-Mar	13-Mar	468.00	4.5
B BUCK CAPITAL MGMT		AMG	S	1,400	97.39	9-Jan	24-Mar	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	AMLN	S	19,200	28.41	n/a	n/a	576.00	3.0
B BUCK CAPITAL MGMT		AMT	S	8,400	40.08	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	ANF	S	4,100	79.81	10-Jan	10-Mar	164.00	4.0
EMP ERROR	099	ANPC	B	45	0.45	n/a	n/a	0.00	0.0
EMP ERROR	099	ANPC	S	45	0.40	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	ANW	S	45,250	34.57	n/a	n/a	2,262.50	5.0
COUGAR TRADING	044	AN	SS	5,000	16.05	n/a	8-Feb	250.00	5.0
FIRST NY SEC/CHRIS	041	AN	SS	25,000	15.94	n/a	8-Feb	1,250.00	5.0
LEDDY	035	AN	SS	900	16.08	n/a	8-Feb	0.00	0.0
&CRO	045	AN	SS	3,000	16.02	n/a	8-Feb	150.00	5.0
LEDDY	035	ARGN	B	500	15.80	n/a	25-Feb	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	25,000	28.04	29-Nov	14-Mar	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	ARO	S	25,200	28.28	29-Nov	14-Mar	945.00	3.8
MILLENIUM PARTNERS	028	BARE	B	15,000	24.67	n/a	n/a	750.00	5.0
⌘ BUCK CAPITAL MGMT		BBBY	SS	40,000	31.53	n/a	n/a	non-brg	4.0
HOOVER INV. MGMT	033	BDC	B	47,500	36.88	n/a	n/a	2,375.00	5.0
IMPALA ASSET MMT	012	BG	B	96,309	96.91	n/a	n/a	2,889.27	3.0
⌘ BUCK CAPITAL MGMT		BHI	B	10,000	66.59	n/a	n/a	non-brg	4.0
LEDDY	035	BIG	SS	500	23.23	6-Mar	10-Mar	0.00	0.0
⌘ BUCK CAPITAL MGMT		BONT	S	100,000	6.15	4-Jan	12-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BONT	S	41,263	6.21	4-Jan	12-Mar	non-brg	1.0
IMPALA ASSET MMT	012	BTU	B	250,000	50.59	n/a	n/a	7,500.00	3.0
⌘ BUCK CAPITAL MGMT		BWLD	C	20,000	25.50	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CAKE	C	20,000	22.67	n/a	24-Apr	non-brg	4.0
GAULT	063	CALPINE CORP	S	60,000	11.25	n/a	n/a	0.00	0.0
BECK MACK & OLIVER LLC	045	CBT	S	14,900	28.75	n/a	30-Jan	745.00	5.0
MERRILL ASSET	032	CFR	S	8,500	55.32	n/a	n/a	425.00	5.0
⌘ BUCK CAPITAL MGMT		CHA	C	25,000	64.20	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CLWR	C	10,000	12.05	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	COH	SS	50,000	32.85	24-Oct	14-Mar	2,000.00	4.0
COUGAR TRADING	044	COH	B	5,000	31.40	24-Oct	14-Mar	250.00	5.0
PAW PARTNERS	041	COH	B	6,000	32.15	24-Oct	14-Mar	300.00	5.0
SOCIETY GENERALE	040	COH	S	1,700	33.00	24-Oct	14-Mar	68.00	4.0
FIRST NY SEC/CHRIS	041	CY	S	10,000	22.57	n/a	n/a	600.00	6.0
ß BUCK CAPITAL MGMT		DAI	SS	30,000	85.25	n/a	n/a	non-brg	4.0
TROUBH PART	067	DGX	S	9,000	45.38	n/a	n/a	450.00	5.0
KENNEDY CAPITAL	046	DOV	B	49,040	42.66	n/a	n/a	1,961.60	4.0
ß BUCK CAPITAL MGMT		DRI	C	10,000	34.23	n/a	24-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		DRI	C	20,000	34.28	n/a	24-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		ETH	SS	40,000	30.24	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		EXH	S	3,700	61.17	n/a	13-Mar	non-brg	4.0
NOMURA CAPITAL	045	FEMO	S	4,000	19.98	n/a	n/a	200.00	5.0
BUCK	015	FRE	C	1,500	29.31	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FRX	S	5,000	39.96	n/a	25-Mar	non-brg	4.0
ENDEAVOUR CAPITAL	035	F	B	70,400	5.91	18-Mar	20-Mar	3,520.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PHILADELPHIA FINANCIAL	033	GBL	B	10,068	55.00	n/a	24-Mar	503.40	5.0
BUCK CAPITAL MGMT	001	GES	B	140,000	40.15	n/a	n/a	5,600.00	4.0
⌘ BUCK CAPITAL MGMT		GES	B	10,000	39.03	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GES	S	6,800	41.21	n/a	n/a	non-brg	4.0
PAW PARTNERS	041	GES	SS	6,000	41.05	n/a	n/a	300.00	5.0
⌘ BUCK CAPITAL MGMT		GIL	S	20,000	37.86	22-Jan	10-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GLW	S	18,300	25.14	n/a	24-Mar	non-brg	4.0
1798 CAPITAL MGMT	040	GME	SS	10,000	52.99	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	GMO	B	130,000	8.11	n/a	n/a	5,200.00	4.0
IMPALA ASSET MMT	012	GMT	B	250,300	41.11	n/a	n/a	7,509.00	3.0
HEALY CIRCLE	044	GM	SS	10,000	20.31	3-Jan	20-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	GPS	SS	100,000	20.64	n/a	7-Mar	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		GS	S	570	180.43	19-Mar	19-Mar	non-brg	4.0
TROUBH PART	067	GTLT	S	25,000	0.39	n/a	n/a	500.00	2.0
⌘ BUCK CAPITAL MGMT		GYMB	S	53,300	43.90	n/a	13-Mar	non-brg	4.0
NOMURA CAPITAL	045	HAYZ	S	6,500	2.98	n/a	n/a	195.00	3.0
SYSTEMATIC FINANCIAL MGT	035	HBI	B	30,100	29.79	n/a	n/a	1,128.75	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
1798 CAPITAL MGMT	040	HIBB	SS	10,000	16.58	n/a	n/a	500.00	5.0
NORTHPOINT	030	HTGC	S	14,580	11.46	n/a	n/a	583.20	4.0
AMATURO	018	HYP	B	10,000	2.88	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ICON	B	50,000	18.83	n/a	n/a	non-brg	2.5
ZOLIDIS	011	ICON	B	500	18.75	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	IVZ	B	55,800	24.82	10-Mar	24-Mar	2,790.00	5.0
B BUCK CAPITAL MGMT		IVZ	S	23,000	25.18	10-Mar	24-Mar	non-brg	4.0
KACELA CAPITAL	034	IYM	B	15,000	75.07	n/a	n/a	600.00	4.0
LEDDY	035	JADE	B	4,200	2.47	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCG	B	5,000	45.00	28-Nov	12-Mar	250.00	5.0
FORD FDN.	032	JNJ	S	38,000	65.03	n/a	22-Apr	1,900.00	5.0
MCCARTAN	028	JWN	SS	200	36.43	26-Feb	10-Mar	0.00	0.0
OGORMAN	046	JWN	SS	300	36.40	26-Feb	10-Mar	0.00	0.0
AVESTA CAP	229	KR	B	100,000	25.51	n/a	n/a	5,000.00	5.0
BEAR STEARNS ASSET MGMT.	033	KSS	C	50,000	46.17	4-Jan	7-Mar	2,000.00	4.0
COLONIAL FUND LLC	036	KSS	SS	35,000	46.08	4-Jan	7-Mar	1,750.00	5.0
B BUCK CAPITAL MGMT		LBTYA	S	1,595	36.25	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		LBTYK	S	5,713	34.16	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LM	S	2,700	59.56	24-Mar	24-Mar	non-brg	4.0
BUCK CAPITAL MGMT		LTD	B	50,000	17.40	n/a	7-Mar	non-brg	4.0
BUCK CAPITAL MGMT		MCD	C	40,000	56.28	n/a	24-Apr	non-brg	4.0
WELLS/STRONG LEDDY	035	MFB	S	3,700	15.61	n/a	n/a	148.00	4.0
BUCK CAPITAL MGMT		MIR	S	12,194	34.99	n/a	n/a	non-brg	4.0
FORD FDN.	032	MMM	S	40,000	78.95	n/a	24-Mar	2,000.00	5.0
EMP ERROR	099	MOSDA	B	2	5.30	n/a	n/a	0.00	0.0
EMP ERROR	099	MOSDA	S	2	5.00	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	MOS	S	155,000	97.58	n/a	n/a	6,200.00	4.0
EMP ERROR	099	MOS	B	200	97.99	n/a	n/a	0.00	0.0
EMP ERROR	099	MOS	S	200	98.24	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	MOT	S	10,000	9.78	n/a	n/a	600.00	6.0
BUCK	015	MSFT	SS	1,000	29.02	n/a	3-Apr	0.00	0.0
BUCK CAPITAL MGMT		MS	S	3,100	49.63	20-Dec	20-Mar	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	NKE	S	68,300	69.04	n/a	n/a	2,561.25	3.8
IMPALA ASSET MMT	012	NWA	B	113,383	10.14	n/a	n/a	3,401.49	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	OXM	SS	20,000	23.34	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	PAG	S	40,000	19.82	6-Feb	20-Mar	1,600.00	4.0
COUGAR TRADING	044	PAG	SS	5,000	19.78	6-Feb	20-Mar	250.00	5.0
1798 CAPITAL MGMT	040	PETM	SS	5,000	21.09	n/a	n/a	250.00	5.0
IMPALA ASSET MMT	012	PII	B	75,000	42.12	n/a	n/a	2,250.00	3.0
COLUMBIA PARTNERS	028	PIR	C	68,663	6.63	n/a	n/a	2,059.89	3.0
NUMERIC	060	PNM	S	103,600	12.90	n/a	n/a	3,108.00	3.0
IMPALA ASSET MMT	012	PNR	B	50,000	29.09	n/a	n/a	1,500.00	3.0
ß BUCK CAPITAL MGMT		PVH+DH	SS	1,000	0.63	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	36.00	13-Mar	13-Mar	400.00	4.0
ß BUCK CAPITAL MGMT		PVH	B	3,000	36.54	13-Mar	13-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		PVH	B	410	34.85	13-Mar	13-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		PVH	S	13,400	38.47	13-Mar	13-Mar	non-brg	4.0
MS PRINCIPAL STRATEGIES	041	QMAR	S	83,688	22.08	n/a	n/a	3,347.52	4.0
PAKLER	029	QSYGC	B	14	1.30	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SHPGY	S	4,900	61.80	22-Feb	25-Mar	non-brg	4.0
ENTRUST CAPITAL	040	SHW	B	117,300	51.92	n/a	24-Mar	5,865.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRUSTCO/SUNTRUST	040	SSI	S	21,100	17.75	4-Jan	12-Mar	633.00	3.0
LISTED ERR	099	SSI	B	21,100	17.75	4-Jan	12-Mar	0.00	0.0
LISTED ERR	099	SSI	S	21,100	17.71	4-Jan	12-Mar	0.00	0.0
ß BUCK CAPITAL MGMT		SWN+EM	C	38	4.90	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		SWN+EM	SS	500	4.35	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		SWN	S	7,300	64.31	20-Mar	20-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		SWY	B	30,000	28.87	n/a	n/a	non-brg	4.0
OGORMAN	046	SZK	B	300	68.24	n/a	n/a	0.00	0.0
NOMURA CAPITAL	045	THMD	S	700	10.00	n/a	n/a	28.00	4.0
ß BUCK CAPITAL MGMT		TIF	SS	100,000	42.51	14-Jan	25-Mar	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	237	TJX	SS	25,000	34.15	n/a	10-Mar	1,250.00	5.0
BUCK CAPITAL MGMT	001	TPX	S	75,000	12.13	n/a	n/a	3,000.00	4.0
ß BUCK CAPITAL MGMT		TPX	S	88,500	12.22	n/a	n/a	non-brg	2.0
HIGHLINE ADV	044	TSN	S	50,000	16.76	n/a	n/a	2,500.00	5.0
ZOLIDIS	011	TUES	C	1,500	5.51	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		TWTC	S	31,000	16.33	9-Nov	4-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	8,900	32.73	29-Feb	10-Mar	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MCCARTAN	028	VC	B	500	4.03	n/a	15-Feb	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		WBC	S	2,100	45.85	n/a	7-Feb	non-brg	4.0
MERRILL ASSET	032	WBS	S	16,900	30.03	n/a	n/a	760.50	4.5
PRINCIPLE CAPITAL	040	WCRX	SS	15,000	17.50	n/a	25-Mar	450.00	3.0
PRINCIPLE CAPITAL	040	WFC	SS	308,800	32.02	n/a	n/a	9,264.00	3.0
Ⓐ BUCK CAPITAL MGMT		WFMI	SS	60,000	33.29	n/a	n/a	non-brg	4.0
N STRATTON	014	WMAR	C	300	8.53	n/a	n/a	0.00	0.0
BENCHMARK	028	WSM	SS	13,200	25.44	n/a	n/a	660.00	5.0
LISTED ERR	099	WSM	B	1,800	25.40	n/a	n/a	0.00	0.0
LISTED ERR	099	WSM	SS	1,800	25.40	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		WSO	S	15,000	41.84	n/a	n/a	non-brg	4.0
COLUMBUS CIRCLE	036	XCO	B	12,700	17.73	n/a	n/a	508.00	4.0
Ⓐ BUCK CAPITAL MGMT		ZLC	SS	20,000	21.61	n/a	n/a	non-brg	4.0
PECONIC PARTNERS	036	ZLC	C	94,400	22.16	n/a	n/a	4,720.00	5.0
ZOLIDIS	011	ZUMZ	SS	500	18.51	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							140,873.37		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/24	HIGHLINE ADV	044	ADM	B	33,600	41.14	2-Jan	24-Mar	1680.00 5.0
AO,CXL	03/24	HIGHLINE ADV	044	ADM	B	33,600	41.14	2-Jan	24-Mar	2.06 0.0
AO,CXL	03/14	LISTED ERR	099	BSC	SS	10,000	51.77	17-Mar	17-Mar	0.00 0.0
AO,CRT	03/14	LISTED ERR	099	BSC	SS	10,000	51.77	17-Mar	17-Mar	0.00 0.0
AO,	02/15	OTC ERROR	099	FOSL	B	18,000	34.29	13-Nov	10-Mar	0.00 0.0
AO,	02/15	OTC ERROR	099	FOSL	S	18,000	34.09	13-Nov	10-Mar	0.00 0.0
AO,CXL	02/15	TOKIO MARINE ASSET MGMT	045	FOSL	B	18,000	34.29	13-Nov	10-Mar	900.00 5.0
AO,CRT	02/15	TOKIO MARINE ASSET MGMT	045	FOSL	B	18,000	34.09	13-Nov	10-Mar	900.00 5.0
AO,CRT	03/20	BUCK CAPITAL MGMT	001	GES	B	100	34.61	n/a	n/a	4.00 4.0
AO,CXL	03/20	BUCK CAPITAL MGMT	001	GES	B	100	34.61	n/a	n/a	4.00 4.0
AO,CRT	03/24	NOMURA CAPITAL	045	HAYZ	S	10,000	3.00	n/a	n/a	300.00 3.0
AO,CXL	03/24	NOMURA CAPITAL	045	HAYZ	S	10,000	3.00	n/a	n/a	500.00 5.0
AO,	03/24	MKT MAKER	099	LVLTT	S	100	2.04	24-Oct	4-Jan	0.00 0.0
AO,	03/24	MS PRINCIPAL STRATEGIES	041	QMAR	S	50,000	21.78	n/a	n/a	2500.00 5.0
AO,	03/24	WHITE PINE CAPITAL	030	T	B	6,700	38.19	n/a	4-Jan	335.00 5.0
AO,	03/24	WHITE PINE CAPITAL	030	VAL	B	1,700	20.08	n/a	n/a	85.00 5.0
TOTAL (AO, CXL, CRT) :										4,397.94

TOTAL (Trade Date):	140,873.37
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TOTAL (AO, CXL, CRT):	4,397.94
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TOTAL (RUN DATE) / NFS:	145,271.31
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MRI Securities with Trade Activity on 25-Mar. NONE

MRI Securities without Trade Activity on 25-Mar. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 30% YTD = 24%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION