



# Daily Compliance Activity Report

Trade Date: 07/30/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRANITE CAPITAL	045	ABG	B	20,000	10.24	10-Jun	17-Jul	1,000.00	5.0
<b>BUCK</b>	<b>015</b>	<b>AB</b>	<b>B</b>	<b>1,500</b>	<b>52.99</b>	<b>15-Jul</b>	<b>24-Jul</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	ADS	B	51,879	65.28	n/a	n/a	2,075.16	4.0
COUGAR TRADING	044	AEO	S	10,000	14.06	6-Mar	16-Jul	500.00	5.0
HEALY CIRCLE	044	AMG	B	15,000	84.74	23-Jul	30-Jul	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AMT+II</b>	<b>SS</b>	<b>2,000</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>S</b>	<b>180,000</b>	<b>55.72</b>	<b>10-Jul</b>	<b>28-Jul</b>	<b>7,200.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AN</b>	<b>SS</b>	<b>350,000</b>	<b>10.15</b>	<b>25-Jul</b>	<b>28-Jul</b>	<b>14,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	AN	SS	10,000	10.59	25-Jul	28-Jul	500.00	5.0
KACELA CAPITAL	034	APOL	B	18,000	63.46	n/a	n/a	720.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BARE</b>	<b>B</b>	<b>42,827</b>	<b>13.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>C</b>	<b>50,000</b>	<b>28.58</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MORRISON/TLB/BAYPOINT	040	BEAV	B	2,000	25.35	n/a	n/a	100.00	5.0
PHILADELPHIA FINANCIAL	033	BEN	S	15,000	100.77	15-Jul	24-Jul	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BWA+TH</b>	<b>SS</b>	<b>1,300</b>	<b>1.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BWLD	SS	20,000	34.35	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CCI+IH	SS	1,800	1.16	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		CMI	C	60,000	70.67	n/a	23-May	non-brg	4.0
BUCK CAPITAL MGMT		CMI	SS	20,000	73.75	n/a	23-May	non-brg	4.0
BUCK CAPITAL MGMT		CMI	SS	40,000	72.89	n/a	23-May	non-brg	4.0
CATAPULT	040	CMI	SS	16,330	74.21	n/a	23-May	816.50	5.0
CROWLEY	061	CMU	S	7,200	4.97	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	COF	SS	25,000	43.23	n/a	29-Jul	1,250.00	5.0
BUCK CAPITAL MGMT		COLM	C	20,000	37.99	n/a	n/a	non-brg	4.0
NEW JERSEY DIV OF INV.	220	CPB	B	80,000	36.91	n/a	n/a	3,200.00	4.0
LEHMAN PROPRIETARY TRAD	041	CSCO	S	100,000	21.98	n/a	22-Mar	4,000.00	4.0
MOORE CAPITAL	036	DELL	B	400,000	23.98	n/a	n/a	16,000.00	4.0
KACELA CAPITAL	034	DXD	S	10,000	62.24	n/a	n/a	400.00	4.0
CROWLEY	061	EFT	B	1,400	13.98	n/a	n/a	0.00	0.0
MOORE CAPITAL	036	EMC	SS	50,000	14.60	n/a	n/a	2,000.00	4.0
MOORE CAPITAL	036	ES	S	25,000	20.76	n/a	n/a	1,000.00	4.0
HUNTER GLOBAL INVESTOR	067	FDX	B	100,000	81.06	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	FLDR	SS	4,000	6.62	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FL	B	100,000	14.66	2-Apr	9-Jul	4,000.00	4.0
ß BUCK CAPITAL MGMT		FL	B	20,000	15.00	2-Apr	9-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		FL	B	250,000	14.83	2-Apr	9-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		FMQ+WA	SS	500	0.97	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	F	SS	200,000	5.17	25-Jul	28-Jul	8,000.00	4.0
ß BUCK CAPITAL MGMT		GCO+IG	SS	1,000	1.00	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	GCO	B	50,000	29.86	n/a	10-Jan	2,000.00	4.0
ß BUCK CAPITAL MGMT		GCO	B	1,100	29.52	n/a	10-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		GCO	B	3,900	29.07	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		GCO	B	45,000	29.50	n/a	10-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	B	10,000	32.36	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		GIL	S	45,000	25.00	30-Apr	18-Jul	non-brg	4.0
RBC CAPITAL MARKETS	034	GIL	B	10,000	25.04	30-Apr	18-Jul	400.00	4.0
MAIRS AND POWER INV	030	GLW	B	20,000	20.50	n/a	30-Jul	1,000.00	5.0
ß BUCK CAPITAL MGMT		GM+IB	SS	1,000	2.26	n/a	n/a	non-brg	200.0
ß BUCK CAPITAL MGMT		GM+IM	SS	1,000	2.33	n/a	n/a	non-brg	200.0
ß BUCK CAPITAL MGMT		GM+UM	B	1,000	1.53	n/a	n/a	non-brg	200.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	GPI	SS	10,000	19.91	10-Jun	30-Jul	500.00	5.0
⌘ BUCK CAPITAL MGMT		GRMN	C	36,100	39.90	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GRMN	C	57,900	37.49	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	HBI	B	135,000	22.90	n/a	n/a	5,400.00	4.0
⌘ BUCK CAPITAL MGMT		HBI	B	25,000	23.13	n/a	n/a	non-brg	1.0
N STRATTON	014	HBI	C	100	22.30	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	HPQ	S	50,000	44.87	n/a	n/a	2,000.00	4.0
PERFORMANCE PART LP #2	041	IP	S	10,000	24.20	16-Jul	16-Jul	500.00	5.0
SOROS	036	IWM	B	1,000,000	71.82	n/a	n/a	30,000.00	3.0
BUCK CAPITAL MGMT	001	JCG	S	145,000	28.96	n/a	30-May	5,800.00	4.0
LEHMAN PROPRIETARY TRAD	041	JCG	SS	50,000	28.87	n/a	30-May	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		JCP	C	10,000	31.73	n/a	11-Jul	non-brg	4.0
BUCK CAPITAL MGMT	001	JNY	S	100,000	16.72	n/a	30-Jul	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	15,000	22.30	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	5,000	22.39	n/a	n/a	non-brg	1.0
WHITE PINE CAPITAL	030	JPM	B	10,650	40.35	8-May	29-Jul	532.50	5.0
COUGAR TRADING	044	JWN	B	20,000	29.79	10-Jul	11-Jul	1,000.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	140,000	42.61	n/a	17-Jul	5,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	KSS	SS	20,000	42.65	n/a	17-Jul	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		LIZ	C	100,000	13.62	n/a	25-Jul	non-brg	4.0
CATTANI	041	LPX	B	500	8.33	7-Apr	11-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	LTD	B	100,000	17.00	n/a	30-Jul	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		M+HD	SS	3,000	0.65	n/a	n/a	non-brg	100.0
HIGHBRIDGE CAPITAL	038	MCRS	SS	43,000	31.98	n/a	n/a	2,150.00	5.0
CROWLEY	061	MCR	B	4,000	8.20	n/a	n/a	0.00	0.0
WHEELER	008	MMMAO	S	60	2.90	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	MS	B	75,000	38.00	n/a	14-Jul	3,750.00	5.0
SINCLAIR	031	MWATB	B	10	0.80	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	MW	S	20,000	19.56	n/a	n/a	1,000.00	5.0
KACELA CAPITAL	034	MZZ	S	10,000	56.62	n/a	n/a	400.00	4.0
NEUBERGER BERMAN	036	NRF	S	88,200	8.66	n/a	n/a	2,646.00	3.0
CROWLEY	061	PRISX	S	965	13.77	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	PRX	S	25,000	17.31	n/a	28-Jul	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		PSUN	S	100,000	8.70	n/a	11-Jul	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		PSUN	S	180,000	8.71	n/a	11-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSUN	S	500,000	8.70	n/a	11-Jul	non-brg	4.0
BAYPOINT TRADING/XYLEM	091	PTV	B	310,779	24.41	n/a	n/a	15,538.95	5.0
BUCK CAPITAL MGMT	001	PVH	S	20,000	35.31	5-May	18-Jul	800.00	4.0
COUGAR TRADING	044	PZN	B	25,000	8.67	30-Jul	30-Jul	1,000.00	4.0
KACELA CAPITAL	034	QID	S	20,000	43.55	n/a	n/a	800.00	4.0
⌘ BUCK CAPITAL MGMT		QYS+IH	SS	3,000	1.36	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	RL	S	160,200	58.72	7-Feb	25-Jul	6,408.00	4.0
RBC CAPITAL MARKETS	034	ROST	SS	10,000	38.01	n/a	n/a	400.00	4.0
COUGAR TRADING	044	SAH	SS	20,000	10.28	n/a	30-Jul	1,000.00	5.0
KACELA CAPITAL	034	SDS	S	10,000	66.90	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		SKX	B	100,000	19.26	n/a	13-Mar	non-brg	4.0
FRONTPOINT	044	SNI	SS	2,900	41.13	n/a	n/a	116.00	4.0
WHEELER	008	SPNC	B	5,000	8.62	n/a	n/a	0.00	0.0
BAYPOINT TRADING/XYLEM	091	SPP	SS	25,600	10.97	n/a	n/a	1,024.00	4.0
KACELA CAPITAL	034	SSG	S	7,000	71.60	n/a	n/a	280.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SUP	SS	20,000	17.55	25-Jul	28-Jul	non-brg	4.0
METROPOLITAN CAPITAL	044	TEL	B	51,700	33.09	n/a	n/a	2,585.00	5.0
BUCK CAPITAL MGMT		TEN	C	100,000	13.23	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		TEN	C	16,000	13.10	n/a	n/a	non-brg	2.0
BACKBAY	041	TIN	S	16,000	15.07	29-Apr	30-Jul	800.00	5.0
BMO NESBITT BURNS	041	TIN	SS	100,000	15.46	29-Apr	30-Jul	5,000.00	5.0
BUCK CAPITAL MGMT		TIN	S	10,000	15.56	29-Apr	30-Jul	non-brg	2.0
BUCK CAPITAL MGMT		TIN	S	65,000	15.59	29-Apr	30-Jul	non-brg	1.0
COUGAR TRADING	044	TIN	S	5,000	15.10	29-Apr	30-Jul	250.00	5.0
CSFB GLOBAL PROPRIETARY	041	TIN	S	50,000	15.41	29-Apr	30-Jul	2,500.00	5.0
SCOPUS FUND	041	TIN	S	95,000	15.29	29-Apr	30-Jul	4,750.00	5.0
SYSTEMATIC FINANCIAL MGT	035	TIN	B	185,050	15.30	29-Apr	30-Jul	6,939.36	3.8
BUCK CAPITAL MGMT	001	TJX	B	110,000	34.57	n/a	11-Jul	4,400.00	4.0
COUGAR TRADING	044	TJX	B	25,000	33.99	n/a	11-Jul	1,250.00	5.0
BUCK CAPITAL MGMT	001	TLB	S	20,000	14.22	17-Apr	23-Jul	800.00	4.0
BUCK CAPITAL MGMT		TRLG	S	20,000	26.15	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		TUY+LJ	SS	1,000	1.58	n/a	n/a	non-brg	300.0
CLOVIS CAPITAL	012	TXT	S	65,000	44.65	n/a	n/a	3,250.00	5.0
COLUMBUS CIRCLE	036	UNP	B	8,305	82.99	n/a	n/a	332.20	4.0
STERLING JOHNSTON	035	UNT	B	9,700	68.74	20-Mar	24-Jul	388.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		URY+ID	SS	3,052	0.85	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		VC	B	700,000	2.97	n/a	17-Jul	non-brg	3.0
CAVU CAP ADV	028	VC	B	213,000	2.94	n/a	17-Jul	10,650.00	5.0
COLUMBIA PARTNERS	028	VC	S	142,885	2.94	n/a	17-Jul	4,286.55	3.0
⌘ BUCK CAPITAL MGMT		WBC+II	C	850	3.85	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		WBC	S	23,600	47.13	30-Apr	30-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		WBC	S	65,637	47.05	30-Apr	30-Jul	non-brg	2.0
CATAPULT	040	WBC	SS	63,727	45.40	30-Apr	30-Jul	3,186.35	5.0
KERN	050	WBTB	S	30	0.15	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	WB	SS	15,016	17.16	n/a	n/a	750.80	5.0
⌘ BUCK CAPITAL MGMT		WFMI	SS	50,000	22.34	n/a	n/a	non-brg	2.0
MORRISON/TLB/BAYPOINT	040	WMT	B	4,000	58.38	30-Jun	11-Jul	200.00	5.0
BUCK CAPITAL MGMT	001	WRC	B	60,000	40.79	13-May	18-Jul	2,400.00	4.0
BAYPOINT TRADING/XYLEM	091	WY	S	130,000	50.40	7-Apr	11-Jul	6,500.00	5.0
⌘ BUCK CAPITAL MGMT		XRT	C	200,000	30.86	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		XRT	SS	350,000	29.99	n/a	n/a	non-brg	2.0
ROSEDALE CAPITAL	046	YHOO	B	15,000	20.03	n/a	n/a	750.00	5.0



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**TOTAL (Trade Date):**

**240,175.37**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/21	CHARTER OAK	033	AFFY	S	40	19.59	n/a	n/a	2.00 5.0
AO,CRT	07/22	CHARTER OAK	033	AFFY	S	381	19.82	n/a	n/a	19.05 5.0
AO,CRT	07/28	CARLSON CAPITAL	031	BAC	B	21,400	30.16	n/a	29-Jul	856.00 4.0
AO,CXL	07/28	CARLSON CAPITAL	031	BAC	B	59,400	30.16	n/a	29-Jul	2376.00 4.0
AO,	07/28	CARLSON CAPITAL	031	BAC	B	38,000	30.16	n/a	29-Jul	1520.00 4.0
AO,CXL	07/29	WHEELER	008	CBIAG	S	40	4.50	n/a	n/a	0.00 0.0
AO,CRT	07/29	WHEELER	008	CBIAG	S	40	4.50	n/a	n/a	0.00 0.0
AO,CRT	07/29	LEDDY	035	GNTX	SS	200	15.61	24-Jun	28-Jul	0.00 0.0
AO,CRT	07/29	EMP ERROR	099	GNTX	SS	200	15.61	24-Jun	28-Jul	0.00 0.0
AO,CXL	07/29	LEDDY	035	GNTX	SS	200	15.75	24-Jun	28-Jul	0.00 0.0
AO,CRT	07/29	EMP ERROR	099	GNTX	SS	200	15.75	24-Jun	28-Jul	0.00 0.0
AO,CRT	07/21	CHARTER OAK	033	MRT	S	175	5.78	n/a	n/a	8.75 5.0
AO,CRT	07/22	CHARTER OAK	033	MRT	S	879	5.77	n/a	n/a	43.95 5.0
AO,CXL	07/29	WHEELER	008	QXWKX	S	100	2.30	n/a	n/a	0.00 0.0
AO,CRT	07/29	WHEELER	008	QXWKX	S	100	2.30	n/a	n/a	0.00 0.0
AO,	07/29	NOMURA CAPITAL	045	SGLP	B	20,000	8.47	n/a	n/a	800.00 4.0
AO,	07/29	COLUMBUS CIRCLE	036	STJ	B	85,438	46.93	n/a	n/a	3417.52 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>4,291.27</b>

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TOTAL (Trade Date):	240,175.37
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TOTAL (AO, CXL, CRT):	4,291.27
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TOTAL (RUN DATE) / NFS:	244,466.64
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MRI Securities with Trade Activity on 30-Jul.
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PZN
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MRI Securities without Trade Activity on 30-Jul.
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COH, CYH, SSCC, UHS
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BRG comm. as a % of BCM Total Comm. paid.
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T-Date = 34%
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YTD = 18%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION