



Daily Compliance Activity Report

Trade Date: 06/23/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AAP	SS	10,000	38.95	n/a	n/a	500.00	5.0
CSFB GLOBAL PROPRIETARY	041	AA	S	6,000	37.58	n/a	n/a	300.00	5.0
ROTHSCHILD ASSET	040	ADI	S	21,020	32.99	n/a	n/a	945.90	4.5
CATAPULT	040	AEO	B	50,000	15.99	6-Mar	6-Jun	2,000.00	4.0
COUGAR TRADING	044	AEO	B	5,000	15.91	6-Mar	6-Jun	250.00	5.0
B BUCK CAPITAL MGMT		ANF+KQ	SS	1,000	1.50	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	ANF	B	10,000	66.45	10-Apr	6-Jun	400.00	4.0
B BUCK CAPITAL MGMT		ANN	SS	20,000	24.84	14-Mar	22-May	non-brg	4.0
MORRISON/TLB/BAYPOINT	040	AN	S	2,000	11.67	23-Jun	23-Jun	100.00	5.0
CAXTON PART	040	ARBA	B	234,136	15.35	n/a	n/a	8,194.76	3.5
PILOT ROCK	028	AVP	SS	122,000	35.87	4-Mar	17-Jun	4,880.00	4.0
S.A.C. CAPITAL MGMT.	044	AW	B	100,000	13.44	n/a	n/a	5,000.00	5.0
BUCK	015	BAC	SS	2,500	26.39	n/a	16-Jun	0.00	0.0
B BUCK CAPITAL MGMT		BBBY	SS	50,000	28.33	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BBY+TU	SS	1,000	1.05	n/a	n/a	non-brg	300.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	BBY	S	50,000	41.17	n/a	27-Jul	2,000.00	4.0
BUCK CAPITAL MGMT	001	BBY	SS	20,000	42.07	n/a	27-Jul	800.00	4.0
BALYASNY ASSET MGMT	044	BEN	S	10,000	98.78	24-Mar	23-Jun	500.00	5.0
B BUCK CAPITAL MGMT		BHQ+WE	SS	1,000	1.47	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		BHQ+WX	SS	1,000	0.90	n/a	n/a	non-brg	100.0
COUGAR TRADING	044	BKC	S	10,000	27.99	1-May	2-May	500.00	5.0
EPOCH INV MGMT	030	BK	B	17,100	40.90	n/a	18-Jun	684.00	4.0
WHEELER	008	BQI	S	25,000	6.61	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	CAO	S	100,000	11.17	n/a	n/a	5,000.00	5.0
B BUCK CAPITAL MGMT		CBL	SS	20,000	24.71	n/a	n/a	non-brg	4.0
KORMAN	003	CHCG	S	6,000	1.25	n/a	n/a	0.00	0.0
LEDY	035	CHCG	S	3,500	1.25	n/a	n/a	0.00	0.0
BARR	096	CHK	B	200	66.57	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	CHL	B	3,500	68.80	n/a	n/a	140.00	4.0
MATTHES CAPITAL MGMT	033	COH	B	66,800	30.46	4-Apr	23-Apr	2,672.00	4.0
MORGENS WATERFALL	040	COH	S	48,000	30.66	4-Apr	23-Apr	1,440.00	3.0
TRELLUS PARTNERS LP	028	COH	B	20,000	30.20	4-Apr	23-Apr	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		COLM	SS	10,000	38.08	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		DDR	SS	20,000	36.04	n/a	n/a	non-brg 4.0
ZOLIDIS	011	DECK	S	190	143.95	n/a	n/a	0.00 0.0
CAXTON PART	040	DFG	SS	16,030	26.15	n/a	n/a	561.06 3.5
BEAR STEARNS ASSET MGMT.	033	DF	S	175,300	18.42	n/a	n/a	7,012.00 4.0
BUCK CAPITAL MGMT		DKS	B	10,000	19.80	n/a	23-May	non-brg 4.0
ENTRUST CAPITAL	040	DPZ	B	51,000	11.95	n/a	24-Apr	2,550.00 5.0
BUCK CAPITAL MGMT		DRE	SS	20,000	22.44	n/a	n/a	non-brg 4.0
TRELLUS PARTNERS LP	028	DRI	C	30,000	30.71	n/a	12-Jun	1,500.00 5.0
BUCK CAPITAL MGMT		F+UA	SS	2,000	0.58	n/a	n/a	non-brg 100.0
BALYASNY ASSET MGMT	044	FII	SS	75,000	36.19	14-Apr	23-Jun	3,750.00 5.0
BUCK	015	FNM	C	3,000	22.48	n/a	n/a	0.00 0.0
BARR	096	FRE	SS	2,000	21.53	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		F	SS	200,000	5.64	23-Jun	23-Jun	non-brg 4.0
BUCK CAPITAL MGMT		GCO	B	10,000	30.24	n/a	10-Jan	non-brg 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		GES	S	20,000	39.17	n/a	n/a	non-brg	4.0
DIAMONDBACK CAP MGMT	232	GE	C	100,000	27.60	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT		GGP	SS	20,000	37.24	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GM+XB	SS	2,000	1.89	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		GM+XS	SS	2,000	2.92	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		GM	SS	100,000	12.84	12-Jun	23-Jun	non-brg	4.0
BUCK CAPITAL MGMT		GM	SS	100,000	12.88	12-Jun	23-Jun	non-brg	4.0
BUCK CAPITAL MGMT		GM	SS	100,000	13.25	12-Jun	23-Jun	non-brg	4.0
BUCK CAPITAL MGMT		GM	SS	100,000	13.94	12-Jun	23-Jun	non-brg	4.0
BUCK CAPITAL MGMT		GM	SS	50,000	13.03	12-Jun	23-Jun	non-brg	4.0
CASTLEROCK	040	GM	B	259,400	13.19	12-Jun	23-Jun	10,376.00	4.0
COLONIAL FUND LLC	036	GM	SS	50,000	13.18	12-Jun	23-Jun	2,500.00	5.0
COUGAR TRADING	044	GPS	B	5,000	16.87	n/a	6-Jun	250.00	5.0
BUCK CAPITAL MGMT		GRT	SS	20,000	12.29	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GVK+LT	SS	300	5.00	n/a	n/a	non-brg	300.0
HUNTER GLOBAL INVESTOR	067	HAL	B	50,000	52.77	n/a	n/a	2,500.00	5.0
N STRATTON	014	HEMGF	B	200	3.85	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	HLX	B	50,000	40.99	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	IBM	S	60,000	123.91	n/a	n/a	2,400.00	4.0
NOMURA CAPITAL	045	IESC	S	4,220	17.02	n/a	n/a	211.00	5.0
BALYASNY ASSET MGMT	044	IVZ	S	50,000	25.93	10-Apr	23-Jun	2,500.00	5.0
♢ BUCK CAPITAL MGMT		JAH	B	10,000	18.91	n/a	n/a	non-brg	4.0
DIAMONDBACK CAP MGMT	232	JAVA	SS	75,000	11.29	n/a	n/a	3,750.00	5.0
BENCHMARK	028	JBHT	S	5,000	33.49	n/a	n/a	250.00	5.0
FRIESS MGMT	033	JCG	B	269,300	33.63	n/a	30-May	10,772.00	4.0
JP MORGAN VENTURES	046	JNY	B	25,000	14.47	n/a	1-May	1,250.00	5.0
BARR	096	LAVA	S	2,000	7.01	n/a	n/a	0.00	0.0
HEALY CIRCLE	044	LEH	S	25,000	23.64	9-Jun	17-Jun	1,250.00	5.0
KORMAN	003	LEH	S	1,500	23.56	9-Jun	17-Jun	0.00	0.0
♢ BUCK CAPITAL MGMT		LIZ+SW	C	7,500	2.17	n/a	n/a	non-brg	300.0
♢ BUCK CAPITAL MGMT		LIZ+VC	SS	1,000	1.44	n/a	n/a	non-brg	300.0
♢ BUCK CAPITAL MGMT		LIZ+VC	SS	7,500	1.30	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	LIZ	SS	55,000	15.37	n/a	14-May	2,200.00	4.0
♢ BUCK CAPITAL MGMT		LIZ	SS	65,000	15.19	n/a	14-May	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	LIZ	B	13,800	15.42	n/a	14-May	517.50	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HUNTER GLOBAL INVESTOR	067	MAN	SS	75,000	57.16	n/a	n/a	3,750.00	5.0
ROTHSCHILD ASSET	040	MCD	S	26,220	57.71	9-Jun	9-Jun	1,179.90	4.5
BUCK	015	MER	C	2,000	34.52	24-Jun	16-Jun	0.00	0.0
CROWLEY	061	MIRWSB	S	500	21.21	n/a	n/a	0.00	0.0
UBS OCONNOR	031	MS	SS	135,000	37.69	n/a	19-Jun	4,050.00	3.0
FIRST INV MGMT CORP	036	NOV	S	10,000	90.54	n/a	n/a	500.00	5.0
HUNTER GLOBAL INVESTOR	067	OC	B	83,000	23.83	n/a	n/a	4,150.00	5.0
CAXTON PART	040	OXY	B	58,303	87.88	n/a	n/a	2,040.62	3.5
CSFB GLOBAL PROPRIETARY	041	PCL	S	24,000	43.73	29-Apr	29-Apr	1,200.00	5.0
WHEELER	008	PENX	B	3,000	18.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PERY	S	5,000	21.99	n/a	n/a	non-brg	4.0
KACELA CAPITAL	034	PLCE	B	18,000	35.54	23-Jun	23-Jun	720.00	4.0
COUGAR TRADING	044	PSUN	B	15,000	8.86	n/a	6-Jun	750.00	5.0
B BUCK CAPITAL MGMT		QZS+HF	SS	1,000	2.55	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		QZS+JG	SS	1,000	2.50	n/a	n/a	non-brg	300.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		QZS+JH	SS	1,000	1.50	n/a	n/a	non-brg	300.0
FIRST NEW YORK NICK	029	Q	S	70,000	3.79	n/a	n/a	3,500.00	5.0
JP MORGAN VENTURES	046	RL	SS	10,000	64.05	7-Feb	29-May	500.00	5.0
B BUCK CAPITAL MGMT		S4RETL	SS	3,700	339.89	n/a	n/a	non-brg	34.0
B BUCK CAPITAL MGMT		S6RETL	SS	2,800	176.11	n/a	n/a	non-brg	17.6
HUNTER GLOBAL INVESTOR	067	SAP	SS	50,000	51.60	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	SDS	B	10,000	63.23	n/a	n/a	500.00	5.0
COUGAR TRADING	044	SDS	S	10,000	63.07	n/a	n/a	500.00	5.0
BARR	096	SQNM	B	1,000	14.45	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	SSCC	B	80,000	5.37	7-Apr	23-Apr	3,200.00	4.0
CSFB GLOBAL PROPRIETARY	041	TEL	B	5,000	36.25	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		TGT	SS	20,000	48.50	n/a	5-Jun	non-brg	4.0
CAMBIAR CAP	046	TGT	B	55,260	48.57	n/a	5-Jun	2,210.40	4.0
BUCK CAPITAL MGMT	001	TIF	SS	10,000	44.43	n/a	2-Jun	400.00	4.0
JP MORGAN VENTURES	046	TIF	SS	10,000	45.03	n/a	2-Jun	500.00	5.0
BARR	096	TOL	SS	4,000	19.85	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TQQ+VG	SS	1,000	1.70	n/a	n/a	non-brg	300.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	TROW	SS	20,000	58.64	n/a	23-Jun	1,000.00	5.0
ROTHSCHILD ASSET	040	TUP	S	33,010	36.21	n/a	n/a	1,485.45	4.5
⌘ BUCK CAPITAL MGMT		URQ+LI	SS	1,000	0.80	n/a	n/a	non-brg	100.0
SINCLAIR	031	VGNMD	S	40	8.40	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		VOW GR	SS	20,000	279.06	n/a	n/a	non-brg	55.8
JCK PARTNERS	067	WBC	B	15,750	51.16	30-Apr	11-Jun	630.00	4.0
⌘ BUCK CAPITAL MGMT		WRI	SS	20,000	31.00	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WSM	SS	20,000	22.00	n/a	n/a	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	WY	B	20,000	53.47	7-Apr	27-May	1,000.00	5.0
KAICHER	064	XCO	B	2,000	35.05	n/a	n/a	0.00	0.0
BARR	096	XCO	B	250	35.13	n/a	n/a	0.00	0.0
FIRST INV MGMT CORP	036	XOM	S	14,000	86.61	n/a	n/a	700.00	5.0
TOTAL (Trade Date) :								138,122.59	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	06/20	MORRISON/TLB/BAYPOINT	040	AN	SS	8,000	12.18	23-Jun	23-Jun	400.00	5.0
AO,CRT	06/20	EGS ASSOCIATES LP	091	ANF	B	5,000	67.51	10-Apr	6-Jun	300.00	6.0
AO,CXL	06/20	EGS ASSOCIATES LP	091	ANF	B	5,000	67.58	10-Apr	6-Jun	300.00	6.0
AO,CXL	06/20	NOMURA CAPITAL	045	GETIL	S	2,775	21.71	n/a	n/a	138.75	5.0
AO,	06/20	NOMURA CAPITAL	045	GETIL	S	2,775	21.71	n/a	n/a	138.75	5.0
AO,CXL	06/20	AVENUE CAPITAL MGMT	036	GM	SS	100,000	14.14	12-Jun	23-Jun	4000.00	4.0
AO,CRT	06/20	AVENUE CAPITAL MGMT	036	GM	SS	100,000	14.14	12-Jun	23-Jun	5000.00	5.0
AO,	06/20	NOMURA CAPITAL	045	IESC	S	9,615	17.01	n/a	n/a	480.75	5.0
AO,CXL	06/20	NOMURA CAPITAL	045	IESC	S	9,615	17.01	n/a	n/a	480.75	5.0
AO,	06/20	KORMAN	003	JASO	B	5,000	22.50	n/a	n/a	0.00	0.0
AO,	06/20	HEALY CIRCLE	044	LEH	S	25,000	23.77	9-Jun	17-Jun	1250.00	5.0
TOTAL (AO, CXL, CRT) :										2,650.00	

TOTAL (Trade Date) :	138,122.59
TOTAL (AO, CXL, CRT) :	2,650.00

TOTAL (RUN DATE) / NFS:	140,772.59
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MRI Securities with Trade Activity on 23-Jun.

AN, F, PLCE

MRI Securities without Trade Activity on 23-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 16%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION