



## Daily Compliance Activity Report

Trade Date: 04/09/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGENS WATERFALL	040	ADBE	S	20,000	36.27	n/a	n/a	600.00	3.0
ROYCE TRADING	216	AEL	S	10,000	9.80	n/a	n/a	500.00	5.0
WEISS PECK	040	AEO	S	10,800	16.89	6-Mar	7-Apr	540.00	5.0
ROYCE TRADING	216	ALY	B	5,000	13.64	n/a	n/a	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AMG</b>	<b>B</b>	<b>12,400</b>	<b>93.61</b>	<b>7-Apr</b>	<b>7-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>S</b>	<b>200,000</b>	<b>74.06</b>	<b>10-Jan</b>	<b>7-Apr</b>	<b>8,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>S</b>	<b>53,284</b>	<b>74.05</b>	<b>10-Jan</b>	<b>7-Apr</b>	<b>non-brg</b>	<b>1.0</b>
COUGAR TRADING	044	ANF	SS	5,000	72.92	10-Jan	7-Apr	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>SS</b>	<b>87,800</b>	<b>22.82</b>	<b>14-Mar</b>	<b>14-Mar</b>	<b>non-brg</b>	<b>4.0</b>
THOMSON HORSTMAN BRYANT	040	ANN	S	14,800	22.77	14-Mar	14-Mar	740.00	5.0
FIRST NEW YORK LEDDY	035	AN	SS	10,000	15.07	n/a	8-Feb	400.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>80,000</b>	<b>26.12</b>	<b>29-Nov</b>	<b>7-Apr</b>	<b>3,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>70,000</b>	<b>25.89</b>	<b>29-Nov</b>	<b>7-Apr</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	B	5,000	26.20	29-Nov	7-Apr	250.00	5.0
EGS ASSOCIATES LP	091	ARO	B	5,500	26.02	29-Nov	7-Apr	330.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>SS</b>	<b>50,000</b>	<b>30.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>B</b>	<b>20,000</b>	<b>41.12</b>	<b>n/a</b>	<b>27-Jul</b>	<b>800.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		BHQ+TY	SS	1,000	2.35	n/a	n/a	non-brg 300.0	
B BUCK CAPITAL MGMT		BIG+QD	SS	1,000	0.88	n/a	n/a	non-brg 100.0	
SOUTH POINT CAP	067	BIG	SS	100,000	21.20	6-Mar	8-Apr	5,000.00	5.0
CATAPULT	040	BJ	B	30,000	35.55	n/a	27-Jul	1,200.00	4.0
B BUCK CAPITAL MGMT		BLK	C	6,900	219.37	21-Nov	2-Apr	non-brg 4.0	
WHALE ROCK CAP	030	BRCD	S	426,100	7.24	n/a	n/a	17,044.00	4.0
N STRATTON	014	CENTA	B	200	3.85	n/a	n/a	0.00	0.0
S CAST	001	CHCG	B	700	1.86	n/a	n/a	0.00	0.0
AMATURO	018	CHCG	B	5,000	1.83	n/a	n/a	0.00	0.0
LEDDY	035	CHCG	B	2,900	1.92	n/a	n/a	0.00	0.0
HART	056	CHCG	B	250	1.98	n/a	n/a	0.00	0.0
FRONTPOINT	044	CHK	B	146,000	48.67	n/a	n/a	5,110.00	3.5
PEREGRINE	030	CHS	B	150,000	6.46	31-Jan	7-Apr	7,500.00	5.0
BUCK	015	CMA	SS	800	35.18	n/a	n/a	0.00	0.0
SOUTH POINT CAP	067	CNB	B	50,000	9.37	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	COH	B	10,000	31.12	4-Apr	4-Apr	400.00	4.0
COUGAR TRADING	044	COH	B	5,000	30.80	4-Apr	4-Apr	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC TRADING HOWARD	038	COST	S	66,000	65.75	n/a	27-Jul	3,300.00	5.0
ß BUCK CAPITAL MGMT		CPKI	SS	30,000	14.93	n/a	n/a	non-brg	4.0
DSG CAPITAL	225	CPN	S	11,700	18.50	n/a	n/a	585.00	5.0
COBALT	041	CSX	S	50,000	56.55	n/a	n/a	2,500.00	5.0
ß BUCK CAPITAL MGMT		DAI	SS	50,000	82.36	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DBRN	S	10,000	13.07	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DSW	S	75,000	12.53	28-Mar	28-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		DSW	S	86,091	12.26	28-Mar	28-Mar	non-brg	1.0
BUCK	015	DVN	B	300	112.09	20-Mar	1-Apr	0.00	0.0
BARR	096	DXD	S	1,200	53.75	n/a	n/a	0.00	0.0
MILLENIUUM PARTNERS	028	EBAY	B	30,000	31.39	n/a	n/a	1,500.00	5.0
CHILTON CAPITAL MGMT.	036	FDG	S	119,100	62.65	n/a	n/a	4,764.00	4.0
ß BUCK CAPITAL MGMT		FLDR	C	13,448	6.55	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		FL	B	20,000	11.19	2-Apr	2-Apr	non-brg	4.0
NORTHPOINT	030	FMCN	S	46,750	34.76	n/a	n/a	1,870.00	4.0
DSG CAPITAL	225	FOR	B	200	24.00	n/a	n/a	10.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	FXB	B	300	197.86	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GES	B	20,000	39.86	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL+FH	SS	1,000	1.31	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	35.89	22-Jan	10-Mar	400.00	4.0
⌘ BUCK CAPITAL MGMT		GM+QT	SS	1,000	1.02	n/a	n/a	non-brg	100.0
RAMIUS CAP	040	GNTX	SS	100,000	16.74	7-Apr	7-Apr	5,000.00	5.0
COUGAR TRADING	044	GPS	B	15,000	18.79	n/a	7-Apr	750.00	5.0
⌘ BUCK CAPITAL MGMT		GQU+EI	SS	2,000	1.45	n/a	n/a	non-brg	300.0
BEAR STEARNS ASSET MGMT.	033	GS	B	20,000	176.85	19-Mar	19-Mar	800.00	4.0
⌘ BUCK CAPITAL MGMT		GS	B	6,500	174.48	19-Mar	19-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GYMB	S	20,000	40.49	2-Apr	7-Apr	non-brg	4.0
COUGAR TRADING	044	GYMB	B	5,000	40.37	2-Apr	7-Apr	250.00	5.0
ROYCE TRADING	216	HMN	S	10,000	18.10	n/a	n/a	500.00	5.0
BEAR STEARNS ASSET MGMT.	033	HON	S	176,700	58.34	n/a	28-Mar	7,068.00	4.0
ROTHSCHILD ASSET	040	HON	B	31,770	58.51	n/a	28-Mar	1,429.65	4.5
WHEELER	008	HSTX	B	10,000	9.55	n/a	n/a	0.00	0.0
ZOLIDIS	011	ICON	B	1,000	17.99	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>BARR</b>	<b>096</b>	<b>IOM</b>	<b>S</b>	<b>19,980</b>	<b>3.81</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IR</b>	<b>SS</b>	<b>100,000</b>	<b>44.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>N STRATTON</b>	<b>014</b>	<b>ITB</b>	<b>C</b>	<b>300</b>	<b>20.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	IVZ	S	10,000	23.30	10-Apr	9-Apr	500.00	5.0
PHILADELPHIA FINANCIAL	033	IVZ	B	26,434	23.30	10-Apr	9-Apr	1,321.70	5.0
SANDLER O'NEILL ASSET	031	IVZ	B	18,600	23.41	10-Apr	9-Apr	930.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>IYR</b>	<b>C</b>	<b>100</b>	<b>66.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>B</b>	<b>10,000</b>	<b>42.67</b>	<b>28-Nov</b>	<b>12-Mar</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	JCG	B	10,000	42.60	28-Nov	12-Mar	500.00	5.0
MORGENS WATERFALL	040	JCI	B	60,000	34.49	23-Jan	28-Mar	1,800.00	3.0
COUGAR TRADING	044	JCP	B	10,000	39.07	4-Jan	7-Apr	500.00	5.0
SLS CAPITAL LLC	224	JCP	S	100,000	39.37	4-Jan	7-Apr	4,000.00	4.0
THE JAY GOLDMAN	034	JCP	B	10,000	38.83	4-Jan	7-Apr	400.00	4.0
WHITTIER CAP	048	JCP	B	1,000	39.00	4-Jan	7-Apr	40.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>JNS</b>	<b>SS</b>	<b>200</b>	<b>25.24</b>	<b>24-Mar</b>	<b>4-Apr</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	JWN	SS	50,000	33.26	31-Mar	7-Apr	2,500.00	5.0
WHITTIER CAP	048	JWN	B	1,500	33.07	31-Mar	7-Apr	60.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>JWN</b>	<b>C</b>	<b>200</b>	<b>33.35</b>	<b>31-Mar</b>	<b>7-Apr</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	KSS	B	10,000	42.41	4-Jan	7-Apr	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JPMSI/TOM LEDDY	035	KSS	B	7,700	43.51	4-Jan	7-Apr	385.00	5.0
THE JAY GOLDMAN	034	KSS	S	10,000	42.31	4-Jan	7-Apr	400.00	4.0
ROYCE TRADING	216	KWR	S	3,000	30.34	n/a	n/a	150.00	5.0
CUMBERLAND	038	LCAPA	S	59,573	16.33	n/a	n/a	2,382.92	4.0
⚡ BUCK CAPITAL MGMT		LEH	B	27,800	41.70	1-Apr	1-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	30,000	17.71	n/a	17-Mar	1,200.00	4.0
⚡ BUCK CAPITAL MGMT		LM	B	19,700	57.74	24-Mar	7-Apr	non-brg	4.0
N STRATTON	014	LNET	B	100	6.05	n/a	n/a	0.00	0.0
MORGENS WATERFALL	040	LNG	B	50,000	20.48	n/a	n/a	1,500.00	3.0
⚡ BUCK CAPITAL MGMT		LTD	S	100,000	16.95	n/a	7-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LTD	S	100,000	17.11	n/a	7-Apr	non-brg	4.0
CHARTER OAK	033	LTM	S	53,626	31.02	n/a	n/a	2,681.30	5.0
⚡ BUCK CAPITAL MGMT		MDTL	SS	15,000	9.28	n/a	n/a	non-brg	4.0
BEAR STEARNS ASSET MGMT.	033	MEE	B	100,000	47.95	n/a	n/a	4,000.00	4.0
⚡ BUCK CAPITAL MGMT		MER	B	24,800	46.51	18-Jan	3-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MS	B	25,000	46.08	20-Dec	20-Mar	non-brg	4.0
COBALT	041	MYL	S	50,000	12.50	n/a	8-Apr	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROSEDALE CAPITAL	046	MYL	B	20,000	12.31	n/a	8-Apr	1,000.00	5.0
COUGAR TRADING	044	M	B	20,000	23.35	7-Feb	7-Apr	1,000.00	5.0
WHITTIER CAP	048	M	B	2,000	23.14	7-Feb	7-Apr	80.00	4.0
PROSPECTOR PARTNERS LLC	036	NP	B	10,000	26.11	7-Apr	7-Apr	400.00	4.0
BEAR STEARNS ASSET MGMT.	033	NUE	SS	46,900	71.23	n/a	n/a	1,876.00	4.0
WAGONHOUND	048	OCN	B	23,000	4.05	n/a	n/a	920.00	4.0
⌘ BUCK CAPITAL MGMT		OIH	SS	22,500	190.88	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	PAG	B	6,000	19.51	6-Feb	7-Apr	300.00	5.0
⌘ BUCK CAPITAL MGMT		PERY	S	5,000	22.41	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PFCB	B	10,000	29.49	n/a	24-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PFCB	B	40,000	29.85	n/a	24-Apr	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PSUN	SS	30,000	12.43	n/a	7-Apr	non-brg	4.0
COUGAR TRADING	044	PSUN	B	10,000	12.35	n/a	7-Apr	500.00	5.0
VICTORIA INVESTORS LP	060	PXD	B	5,000	55.75	9-Apr	9-Apr	250.00	5.0
⌘ BUCK CAPITAL MGMT		QTF+QG	SS	1,000	1.40	n/a	n/a	non-brg	300.0
HART	056	QZSEX	B	5	2.20	n/a	n/a	0.00	0.0
COUGAR TRADING	044	RL	SS	5,000	58.16	7-Feb	15-Feb	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	RL	C	250	58.29	7-Feb	15-Feb	0.00	0.0
CASTLE ARK	031	RRC	S	104,230	67.03	n/a	n/a	6,253.80	6.0
⌘ BUCK CAPITAL MGMT		RSH	C	30,000	15.88	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		RTH	C	47,800	92.00	n/a	n/a	non-brg	2.0
KNOTT PARTNERS	044	RVI	S	125,000	4.19	n/a	n/a	2,500.00	2.0
J L F ASSET MGMT	039	SBUX	B	119,400	17.50	n/a	24-Apr	4,776.00	4.0
⌘ BUCK CAPITAL MGMT		SDS	B	50,000	60.23	n/a	n/a	non-brg	2.0
ZOLIDIS	011	SDS	S	300	60.81	n/a	n/a	0.00	0.0
BUCK	015	SFBPB	S	7	0.84	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SKX+ED	SS	1,000	0.80	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		SLE	C	220,200	14.22	n/a	n/a	non-brg	4.0
BARR	096	SOAP	SS	21,258	7.36	n/a	n/a	0.00	0.0
GAULT	063	SPNG	B	500,000	0.02	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SQX+SQ	SS	1,000	0.81	n/a	n/a	non-brg	100.0
BAIRD INVESTMENT	031	SWN	S	3,875	36.97	20-Mar	3-Apr	193.75	5.0
FRONTPOINT	044	SWN	SS	326,703	37.09	20-Mar	3-Apr	11,434.61	3.5
BUCK CAPITAL MGMT	001	TIF	SS	20,000	40.83	14-Jan	25-Mar	800.00	4.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	TJX	S	5,000	31.67	n/a	7-Apr	250.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>TLB</b>	<b>B</b>	<b>200</b>	<b>12.53</b>	<b>2-Apr</b>	<b>2-Apr</b>	<b>0.00</b>	<b>0.0</b>
PERRY PARTNERS	041	TTWO	B	25,000	25.30	n/a	n/a	750.00	3.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>50,000</b>	<b>30.61</b>	<b>3-Apr</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>4.0</b>
PRIME LOGIC ADV	039	URBN	B	16,000	30.15	3-Apr	9-Apr	800.00	5.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>VIRL</b>	<b>S</b>	<b>1,494</b>	<b>6.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
LEHMAN PROPRIETARY TRAD	041	VMC	SS	30,000	69.89	n/a	n/a	1,200.00	4.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>VMW</b>	<b>SS</b>	<b>25,000</b>	<b>54.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>VMW</b>	<b>SS</b>	<b>25,000</b>	<b>54.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>WSM</b>	<b>SS</b>	<b>25,000</b>	<b>24.87</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BULL PATH CAPITAL	044	WSM	SS	32,500	24.52	n/a	n/a	1,625.00	5.0
WHALE ROCK CAP	030	WSM	SS	150,000	24.43	n/a	n/a	6,000.00	4.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>SS</b>	<b>28,000</b>	<b>31.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
PROSPECTOR PARTNERS LLC	036	XRX	B	10,000	14.81	n/a	n/a	400.00	4.0
BEAR STEARNS ASSET MGMT.	033	X	C	38,400	143.02	n/a	n/a	1,536.00	4.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>ZUMZ</b>	<b>SS</b>	<b>20,000</b>	<b>16.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>ZUMZ</b>	<b>C</b>	<b>2,500</b>	<b>16.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ZZ</b>	<b>SS</b>	<b>40,000</b>	<b>8.21</b>	<b>n/a</b>	<b>n/a</b>	<b>1,600.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		ZZ	SS	10,000	8.53	n/a	n/a	non-brg 2.0
TOTAL (Trade Date):							160,536.73	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/08	US BANCORP	030	FCL	B	187,729	58.58	n/a	n/a	9386.45 5.0
AO,CRT	04/08	CR INTRINIC INVESTMENT	044	GNA	SS	100,000	15.63	n/a	n/a	5000.00 5.0
AO,CXL	04/08	CR INTRINIC INVESTMENT	044	GNA	SS	100,000	15.63	n/a	n/a	5000.00 5.0
AO,	04/08	SYSTEMATIC FINANCIAL MGT	035	JBX	S	27,400	27.03	n/a	24-Apr	1027.50 3.8

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>10,413.95</b>
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TOTAL (Trade Date) :	160,536.73
TOTAL (AO, CXL, CRT) :	10,413.95

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>170,950.68</b>
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MRI Securities with Trade Activity on 9-Apr.

PXD

MRI Securities without Trade Activity on 9-Apr.

AB

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 18%

YTD = 22%



**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**