



Daily Compliance Activity Report

Trade Date: 04/13/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Glenn Redemann		AAPL	B	7	0.49	n/a	n/a	0.00	0.0
Glenn Redemann		AAPL	B	7	0.62	n/a	n/a	0.00	0.0
Glenn Redemann		AAPL	S	7	1.28	n/a	n/a	0.00	0.0
Glenn Redemann		AAPL	S	7	1.33	n/a	n/a	0.00	0.0
BONANZA PARTNERS	031	ABD	SS	40,900	24.77	n/a	n/a	2,045.00	5.0
UBS OCONNOR	031	ABG	SS	4,700	27.11	n/a	13-Apr	141.00	3.0
MORGAN STANLEY PRINC 2	040	AMGN	B	50,000	59.24	n/a	26-Jan	2,000.00	4.0
SNYDER CAPITAL	038	AM	S	18,600	23.75	n/a	n/a	744.00	4.0
COLUMBIA MGMT	035	ANST	B	500	32.46	n/a	n/a	20.00	4.0
LUCID PARTNERS	067	AOS	B	300	38.00	21-Dec	8-Feb	15.00	5.0
COLUMBIA MGMT	035	ARB	B	1,100	48.41	n/a	n/a	44.00	4.0
COLUMBIA MGMT	035	ARRS	B	1,700	14.85	n/a	n/a	68.00	4.0
CATAPULT	040	AVZ	B	5,000	23.19	5-Mar	11-Apr	250.00	5.0
CATAPULT	040	AXP	S	10,000	57.41	n/a	n/a	500.00	5.0
COLUMBIA MGMT	035	BARE	B	1,500	38.98	n/a	n/a	60.00	4.0
COLUMBIA MGMT	035	BBBB	B	1,200	33.48	n/a	n/a	48.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLENIUM PARTNERS	028	BBI	SS	10,000	6.51	n/a	n/a	500.00	5.0
MISSOURI VALLEY PART	046	BBW	S	10,000	26.76	19-Mar	19-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	BBY	B	10,000	46.70	13-Dec	5-Apr	400.00	4.0
COLUMBIA MGMT	035	BCSI	B	600	35.57	n/a	n/a	24.00	4.0
BUCK CAPITAL MGMT	001	BID	S	15,000	48.26	n/a	n/a	600.00	4.0
ASCEND PARTNERS	033	BIG	SS	43,000	32.27	9-Mar	12-Apr	2,150.00	5.0
COLUMBIA MGMT	035	BMRN	B	2,200	17.64	n/a	n/a	88.00	4.0
B BUCK CAPITAL MGMT		BONT	S	27,000	51.81	9-Mar	13-Apr	non-brg	5.0
CENTURION PARTNERS	233	BONT	B	3,000	51.52	9-Mar	13-Apr	150.00	5.0
PENN CAPITAL MGMT	033	BRL	B	32,195	47.97	1-Mar	12-Apr	1,287.80	4.0
BEAR STEARNS ASSET MGMT.	033	CAT	SS	20,000	66.40	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	CBK	S	15,000	18.02	30-Mar	13-Apr	600.00	4.0
BARR	096	CCOI	S	400	25.99	n/a	n/a	0.00	0.0
DC CAPITAL	044	CFC	S	39,600	33.65	n/a	n/a	1,980.00	5.0
NCM CAPITAL MGMT	035	CHH	B	13,150	35.60	n/a	n/a	657.50	5.0
BUCK CAPITAL MGMT	001	CHS	S	5,000	27.15	27-Mar	13-Apr	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBIA MGMT	035	CHTT	B	500	59.52	11-Apr	11-Apr	20.00	4.0
CATAPULT	040	CMA	B	2,500	59.41	n/a	n/a	125.00	5.0
Õ Glenn Redemann		CMC	B	4	0.45	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CMC	B	4	0.60	n/a	n/a	0.00	0.0
COLUMBIA MGMT	035	CMG	B	800	64.45	16-Feb	16-Feb	32.00	4.0
A.I.M. ADVISORS INC	222	COH	B	19,864	51.82	23-Feb	23-Feb	794.56	4.0
BUCK CAPITAL MGMT	001	COH	S	140,000	52.02	23-Feb	23-Feb	5,600.00	4.0
PAKLER	N22	CPNLQ	B	243	3.01	n/a	8-Mar	0.00	0.0
COLUMBIA MGMT	035	CPTS	B	800	20.50	n/a	n/a	32.00	4.0
COLUMBIA MGMT	035	CRZO	B	1,700	36.99	n/a	n/a	68.00	4.0
PATTEN & PATTEN	067	CVX	B	100	76.99	n/a	n/a	5.00	5.0
COLUMBIA MGMT	035	CYNO	B	300	28.81	n/a	n/a	12.00	4.0
CATAPULT	040	C	B	5,000	51.60	11-Jan	12-Apr	250.00	5.0
AMATURO	018	DAWUW	B	10	3.83	n/a	n/a	0.00	0.0
WASHINGTON ASSET	048	DBRN	B	15,000	21.85	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	DDS	B	10,000	34.50	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA MGMT	035	DECK	B	900	71.35	n/a	n/a	36.00	4.0
MORGAN LEWIS/MLH	046	DELL	B	30,000	25.07	n/a	n/a	1,500.00	5.0
GALLEON MGMT LP	033	DIA	C	10,000	126.02	n/a	n/a	500.00	5.0
COLUMBIA MGMT	035	DIVX	B	1,000	22.25	n/a	n/a	40.00	4.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	57.65	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		DKS	B	10,000	57.89	n/a	n/a	non-brg	5.0
NEUBERGER BERMAN	036	DW	B	5,300	28.24	n/a	n/a	265.00	5.0
B BUCK CAPITAL MGMT		EGLT	C	8,784	16.37	n/a	n/a	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	EME	B	15,000	62.68	n/a	n/a	600.00	4.0
COLUMBIA MGMT	035	EQIX	B	100	88.70	n/a	n/a	4.00	4.0
COLUMBIA MGMT	035	FACE	B	1,700	18.47	n/a	n/a	68.00	4.0
BEAR STEARNS ASSET MGMT.	033	FD	SS	20,000	45.09	26-Jan	13-Apr	800.00	4.0
BUCK CAPITAL MGMT	001	FD	B	20,000	45.10	26-Jan	13-Apr	800.00	4.0
COLUMBIA MGMT	035	FSLR	B	700	63.30	n/a	n/a	28.00	4.0
COLUMBIA MGMT	035	FWLT	B	1,200	65.53	n/a	n/a	48.00	4.0
BUCK CAPITAL MGMT	001	GCO	B	10,000	41.14	n/a	10-Jan	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA MGMT	035	GEO	B	800	49.90	n/a	n/a	32.00	4.0
BULL PATH CAPITAL	044	GHL	B	17,500	60.33	n/a	n/a	875.00	5.0
MAZAMA CAPITAL MGMT.	035	GHL	B	25,000	60.24	n/a	n/a	1,000.00	4.0
B BUCK CAPITAL MGMT		GLBL	S	1,300	20.46	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GLBL	S	25,000	20.45	n/a	n/a	non-brg	5.0
BARR	096	GLD	B	125	67.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPI	B	5,000	41.50	n/a	13-Apr	250.00	5.0
MORTAR ROCK	033	GPI	B	10,000	41.69	n/a	13-Apr	500.00	5.0
UBS OCONNOR	031	GPI	B	15,000	41.62	n/a	13-Apr	450.00	3.0
BEAR STEARNS ASSET MGMT.	033	GR	S	20,000	51.65	17-Jan	1-Feb	800.00	4.0
AMBER CAPITAL	046	GVA	B	18,400	56.97	n/a	n/a	736.00	4.0
AAD CAPITAL	048	GWW	B	40,300	79.22	26-Jan	12-Mar	1,612.00	4.0
AVESTA CAP	229	GWW	B	25,000	79.50	26-Jan	12-Mar	1,250.00	5.0
IRIDIAN ASSET MGMT.	036	HAL	B	20,700	32.67	n/a	n/a	1,035.00	5.0
OGORMAN	146	HCGI	S	3,000	0.90	n/a	n/a	0.00	0.0
COLUMBIA MGMT	035	HLEX	B	400	30.24	n/a	n/a	16.00	4.0
COLUMBIA MGMT	035	HOLX	B	700	59.63	n/a	n/a	28.00	4.0
CAXTON PART	040	HUM	C	39,500	62.39	n/a	n/a	1,580.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBIA MGMT	035	HURN	B	500	64.68	n/a	n/a	20.00	4.0
COLUMBIA MGMT	035	ICON	B	7,900	20.51	n/a	n/a	316.00	4.0
NEUBERGER BERMAN	036	IDC	B	8,100	25.10	n/a	n/a	405.00	5.0
COLUMBIA MGMT	035	IFS	B	1,300	31.65	n/a	n/a	52.00	4.0
COLUMBIA MGMT	035	IHS	B	800	40.78	n/a	n/a	32.00	4.0
COLUMBIA MGMT	035	INAP	B	1,000	17.01	n/a	n/a	40.00	4.0
HARVEST MGMT	039	IP	SS	40,000	36.93	20-Mar	20-Mar	2,000.00	5.0
BUCK	015	ISPH	B	2,200	6.89	n/a	n/a	0.00	0.0
COLUMBIA MGMT	035	ISSC	B	500	25.98	n/a	n/a	20.00	4.0
COLUMBIA MGMT	035	ITC	B	1,000	42.39	n/a	n/a	40.00	4.0
PALMYRA CAPITAL	048	IWM	S	150,000	81.14	n/a	n/a	4,500.00	3.0
CATAPULT	040	JNS	B	15,000	24.23	5-Apr	10-Apr	750.00	5.0
COLUMBIA MGMT	035	JSDA	B	100	26.60	n/a	n/a	4.00	4.0
B BUCK CAPITAL MGMT		KCP	S	14,200	27.72	n/a	28-Feb	non-brg	5.0
B BUCK CAPITAL MGMT		KCP	S	5,600	27.65	n/a	28-Feb	non-brg	5.0
MS PRINCIPAL STRATEGIES	040	KEY	SS	18,242	37.50	n/a	n/a	912.10	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORRISON & FRASIER	040	KGC	B	128,300	14.49	n/a	n/a	6,415.00	5.0
NCM CAPITAL MGMT	035	KG	S	65,250	20.36	n/a	n/a	3,262.50	5.0
ß BUCK CAPITAL MGMT		KMX	S	20,000	24.37	n/a	10-Jan	non-brg	5.0
BUCK CAPITAL MGMT	001	KSS	S	50,000	77.07	27-Dec	12-Apr	2,000.00	4.0
LEVIN CAPITAL STRATEGIES	012	KSS	SS	2,500	77.66	27-Dec	12-Apr	100.00	4.0
COLUMBIA MGMT	035	KYPH	B	200	47.87	n/a	n/a	8.00	4.0
T. ROWE PRICE	243	LM	B	76,800	95.22	10-Apr	10-Apr	3,072.00	4.0
CAMBIAR CAP	046	LTD	B	38,140	25.81	2-Mar	13-Apr	1,525.60	4.0
GEORGE WEISS	034	LVS	C	25,000	87.16	n/a	n/a	1,000.00	4.0
COLUMBIA MGMT	035	MBLX	B	900	17.11	n/a	n/a	36.00	4.0
COLUMBUS CIRCLE	036	MCD	B	534,802	47.56	11-Apr	13-Apr	21,392.08	4.0
COLUMBIA MGMT	035	MDRX	B	2,100	26.99	n/a	n/a	84.00	4.0
ß BUCK CAPITAL MGMT		MDTL	C	40,000	22.32	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		MDTL	SS	1,500	20.67	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		MFV	S	930	10.71	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MIPS	S	10,000	8.63	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA MGMT	035	MSCC	B	500	22.74	n/a	n/a	20.00	4.0
CAXTON PART	040	MSFT	B	232,557	28.46	n/a	3-Apr	9,302.28	4.0
B BUCK CAPITAL MGMT		MVRX	SS	66,900	200.52	n/a	n/a	non-brg	5.0
COLONIAL FUND LLC	036	MWV	S	25,000	32.56	20-Mar	20-Mar	1,250.00	5.0
HARVEST MGMT	039	MWV	B	75,000	32.71	20-Mar	20-Mar	3,750.00	5.0
COLUMBIA MGMT	035	NCMI	B	600	26.37	n/a	n/a	24.00	4.0
COLUMBIA MGMT	035	NETL	B	200	28.74	n/a	n/a	8.00	4.0
COLUMBIA MGMT	035	NICE	B	1,300	35.37	n/a	n/a	52.00	4.0
COLUMBIA MGMT	035	NUAN	B	4,900	16.40	n/a	n/a	196.00	4.0
COLUMBIA MGMT	035	NUVA	B	1,700	24.66	n/a	n/a	68.00	4.0
GALLEON MGMT LP	033	NVLS	SS	10,000	33.11	n/a	n/a	500.00	5.0
PORTER-ORLIN-FELDMAN	044	NVLS	B	50,000	33.03	n/a	n/a	2,500.00	5.0
COLUMBIA MGMT	035	OMRI	B	300	37.45	n/a	n/a	12.00	4.0
COLUMBIA MGMT	035	OMTR	B	2,800	18.39	n/a	n/a	112.00	4.0
COLUMBIA MGMT	035	PCLN	B	400	55.06	n/a	n/a	16.00	4.0
B BUCK CAPITAL MGMT		PERY	SS	2,500	33.90	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	PFE	SS	50,000	26.94	n/a	31-Mar	2,500.00	5.0
MAZAMA CAPITAL MGMT.	035	PJC	B	25,000	65.38	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		PLCE	S	20,000	50.29	12-Apr	13-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		PLCE	S	30,000	50.29	12-Apr	13-Apr	non-brg	5.0
COLUMBIA MGMT	035	PMTI	B	400	39.60	n/a	n/a	16.00	4.0
ß BUCK CAPITAL MGMT		PSUN	C	30,000	22.40	n/a	13-Apr	non-brg	5.0
Õ Henry Ngan		PSUN	S	600	23.02	n/a	13-Apr	0.00	0.0
NCM CAPITAL MGMT	035	PTEN	B	28,275	24.24	n/a	n/a	1,413.75	5.0
COLUMBIA MGMT	035	RADS	B	1,100	13.26	n/a	n/a	44.00	4.0
STADIA CAPITAL	035	RL	B	22,000	93.61	2-Feb	13-Apr	880.00	4.0
BUCK CAPITAL MGMT	001	RSH	SS	10,000	27.78	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		S4RETL	SS	14,700	456.04	n/a	n/a	non-brg	45.6
COLUMBIA MGMT	035	SBAC	B	1,600	30.53	n/a	n/a	64.00	4.0
LEDDY	035	SDS	B	600	56.29	n/a	n/a	0.00	0.0
KORMAN	003	SEED	B	3,000	8.96	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SHOO	B	10,000	30.24	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SHOO	B	5,000	30.44	n/a	n/a	non-brg	5.0
COLUMBIA MGMT	035	SIMO	B	600	22.29	n/a	n/a	24.00	4.0
NOLAN	067	SKNN	S	100	1.16	n/a	n/a	0.00	0.0
COLUMBIA MGMT	035	SKX	B	800	35.41	n/a	13-Mar	32.00	4.0
WOOSTER CAPITAL	035	SLM	B	100,000	45.63	n/a	n/a	5,000.00	5.0
COLUMBIA MGMT	035	SONS	B	1,000	8.24	n/a	22-Mar	40.00	4.0
GALLEON MGMT LP	033	SPY	C	5,000	145.14	n/a	n/a	250.00	5.0
PORTER-ORLIN-FELDMAN	044	SPY	B	150,000	144.78	n/a	n/a	7,500.00	5.0
COLUMBIA MGMT	035	SVVS	B	1,000	47.45	n/a	n/a	40.00	4.0
COLUMBIA MGMT	035	SWIM	B	1,600	15.05	n/a	n/a	64.00	4.0
COLUMBIA MGMT	035	SYNT	B	300	35.80	n/a	n/a	12.00	4.0
COLUMBIA MGMT	035	TDG	B	800	38.59	n/a	n/a	32.00	4.0
BUCK CAPITAL MGMT	001	TGT	B	20,000	59.09	n/a	12-Apr	800.00	4.0
COLUMBIA MGMT	035	THQI	B	800	36.43	n/a	n/a	32.00	4.0
CSFB GLOBAL PROPRIETARY	035	TIN	B	20,000	59.73	26-Feb	20-Mar	1,000.00	5.0
COLUMBIA MGMT	035	TRAK	B	1,900	32.10	n/a	n/a	76.00	4.0

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MILLENIUM PARTNERS	028	TWTC	B	15,000	21.54	8-Feb	4-Apr	750.00	5.0
GASNER	025	UADPJ	B	5	1.20	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	UFS	S	50,000	9.80	n/a	n/a	2,500.00	5.0
B BUCK CAPITAL MGMT		URBN	B	15,000	26.15	2-Apr	2-Apr	non-brg	5.0
COLUMBIA MGMT	035	VDSI	B	1,100	17.89	n/a	n/a	44.00	4.0
COLUMBIA MGMT	035	VOCS	B	300	23.97	n/a	n/a	12.00	4.0
COLUMBIA MGMT	035	VPRT	B	500	38.39	n/a	n/a	20.00	4.0
GALLEON MGMT LP	033	WINN	SS	15,000	19.36	n/a	n/a	750.00	5.0
CATAPULT	040	WM	B	40,000	39.45	n/a	n/a	2,000.00	5.0
B BUCK CAPITAL MGMT		WRNC	S	10,000	27.35	18-Jan	21-Mar	non-brg	5.0
BEAR STEARNS ASSET MGMT.	033	WSM	SS	40,000	35.75	n/a	n/a	1,600.00	4.0
COLUMBIA MGMT	035	WW	B	900	48.37	n/a	n/a	36.00	4.0
GEORGE WEISS	034	WYNN	SS	20,000	99.66	n/a	n/a	600.00	3.0
COLUMBIA MGMT	035	ZUMZ	B	1,000	41.09	n/a	n/a	40.00	4.0

TOTAL (Trade Date):

134,782.17

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	04/12	BONANZA PARTNERS	031	ABD	SS	88,500	24.86	n/a	n/a	4425.00	5.0
AO,CXL	04/12	BONANZA PARTNERS	031	ABD	SS	88,500	24.86	n/a	n/a	1.24	0.0
AO,CXL	04/12	CATAPULT	040	AVZ	B	5,000	23.00	5-Mar	11-Apr	200.00	4.0
AO,CRT	04/12	CATAPULT	040	AVZ	B	5,000	23.00	5-Mar	11-Apr	250.00	5.0
AO,CRT	04/12	CATAPULT	040	AXP	S	5,000	56.40	n/a	n/a	250.00	5.0
AO,CXL	04/12	CATAPULT	040	AXP	S	5,000	56.40	n/a	n/a	200.00	4.0
AO,CRT	04/12	LUCHANSKY1	036	HCR	S	200	64.05	n/a	11-Apr	0.00	0.0
AO,CXL	04/12	EMP ERROR	099	HCR	S	200	64.05	n/a	11-Apr	0.00	0.0
AO,CRT	04/12	CATAPULT	040	ITG	S	8,100	37.52	n/a	n/a	405.00	5.0
AO,CXL	04/12	CATAPULT	040	ITG	S	8,100	37.52	n/a	n/a	324.00	4.0
AO,CRT	04/12	CATAPULT	040	UB	SS	25,000	61.95	n/a	n/a	1250.00	5.0
AO,CXL	04/12	CATAPULT	040	UB	SS	25,000	61.95	n/a	n/a	1000.00	4.0
TOTAL (AO, CXL, CRT) :										4,854.76	

TOTAL (Trade Date) :	134,782.17
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TOTAL (RUN DATE) / NFS:	139,636.93
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MRI Securities with Trade Activity on 13-Apr. NONE

MRI Securities without Trade Activity on 13-Apr. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 34% YTD = 18%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION