



Daily Compliance Activity Report

Trade Date: 05/17/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		\$\$EURUSD	SS	4,042,037	1.24	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT		AAI	B	4,100	5.22	n/a	n/a	non-brg 3.0
BUCK CAPITAL MGMT		AAPL	S	5,000	250.42	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		AEO	B	20,000	15.36	n/a	10-May	non-brg 4.0
BUCK CAPITAL MGMT	001	ANF	S	70,000	39.82	n/a	10-May	2,800.00 4.0
BUCK CAPITAL MGMT	001	ANN	B	30,000	21.63	n/a	n/a	1,200.00 4.0
BUCK CAPITAL MGMT		ANN	B	20,000	21.97	n/a	n/a	non-brg 4.0
NEUBERGER BERMAN	036	ANR	S	50,300	40.20	n/a	n/a	2,012.00 4.0
BUCK CAPITAL MGMT		ARO	B	20,000	27.60	n/a	10-May	non-brg 4.0
BUCK CAPITAL MGMT		AVP	S	19,500	27.85	n/a	3-May	non-brg 4.0
BUCK CAPITAL MGMT		AVP	S	19,500	27.85	n/a	3-May	non-brg 4.0
BUCK CAPITAL MGMT		AVP	S	30,500	27.87	n/a	3-May	non-brg 4.0
BUCK CAPITAL MGMT		AVP	S	30,500	27.87	n/a	3-May	non-brg 4.0
PLATTE RIVER CAPITAL	240	AVP	B	6,600	27.85	n/a	3-May	264.00 4.0
LAGRANGE CAP ADV	048	AXL	B	10,000	8.50	n/a	3-May	500.00 5.0
PROSPECTOR PARTNERS LLC	036	AYE	B	10,100	20.92	n/a	n/a	404.00 4.0
BUCK CAPITAL MGMT		BAC	B	3,000	16.02	n/a	17-May	non-brg 0.8

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		BBY	B	10,000	42.37	n/a	n/a	non-brg	4.0
ROYCE TRADING	216	BIIB	S	300	50.52	n/a	n/a	15.00	5.0
BALYASNY ASSET MGMT	044	BKC	S	30,000	19.88	n/a	29-Apr	1,500.00	5.0
JP MORGAN VENTURES	046	BMY	S	19,700	23.89	n/a	n/a	985.00	5.0
BASIX	033	BWA	B	12,000	38.07	n/a	30-Apr	360.00	3.0
TRELLUS PARTNERS LP	028	BWA	B	20,000	37.92	n/a	30-Apr	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		BX	B	1,200	12.10	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BX	B	1,800	12.10	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BX	B	16,000	12.10	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BX	B	400	12.10	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BX	B	600	12.10	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CHS	B	20,000	14.43	n/a	5-Feb	non-brg	2.5
⚡ BUCK CAPITAL MGMT		COF	B	600	41.70	n/a	17-May	non-brg	0.8
⚡ BUCK CAPITAL MGMT		COH	B	30,000	39.86	n/a	14-May	non-brg	4.0
LEVEL GLOBAL SHARED ACCT	257	CRI	S	50,000	32.65	n/a	n/a	1,500.00	3.0
⚡ BUCK CAPITAL MGMT		CROX	S	30,000	10.20	n/a	n/a	non-brg	4.0
f BRIAN W CRAGO	FID	C	B	100	3.81	n/a	17-May	0.00	0.0
⚡ BUCK CAPITAL MGMT		C	B	715,000	3.85	n/a	17-May	non-brg	2.5

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MANNY I KORMAN	FID	C	S	100000	3.77	n/a	17-May	0.00	0.0
B	BUCK CAPITAL MGMT		DBRN	B	20,000	28.26	n/a	n/a	non-brg	4.0
	PARTNER REINSURANCE	033	DD	S	10,300	37.00	n/a	12-May	412.00	4.0
B	BUCK CAPITAL MGMT		DHI	B	3,700	12.91	n/a	n/a	non-brg	4.0
	NEUBERGER BERMAN	036	DNR	S	124,900	16.18	n/a	n/a	4,996.00	4.0
	COBALT	041	DTG	S	50,000	49.58	n/a	n/a	2,500.00	5.0
	LAGRANGE CAP ADV	048	DTSI	SS	5,000	34.06	n/a	n/a	250.00	5.0
	OMEGA ADVISORS	041	EBAY	B	25,000	22.00	n/a	n/a	1,000.00	4.0
	PNC CAPITAL ADV	028	EMC	B	81,421	18.54	n/a	n/a	3,256.84	4.0
	NEUBERGER BERMAN	036	ENR	S	54,790	56.39	n/a	3-May	2,191.60	4.0
B	BUCK CAPITAL MGMT		EXH	B	900	25.53	n/a	6-May	non-brg	4.0
f	FRANCIS MICHAEL MCCA	FID	FINL	B	400	16.13	n/a	n/a	0.00	0.0
f	FRANCIS MICHAEL MCCA	FID	FINL	B	400	16.20	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		FL	B	1,700	13.90	n/a	14-May	non-brg	0.8
B	BUCK CAPITAL MGMT		FOSL	S	30,000	38.83	n/a	n/a	non-brg	4.0
	SAXON WOODS ADVISORS	242	FOSL	B	5,000	39.26	n/a	n/a	200.00	4.0
	JP MORGAN VENTURES	046	FRX	S	39,400	26.38	n/a	17-May	1,970.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MITCHELL JAMES SPEIS	FID	FSNXX	S	7000	1.00	n/a	n/a	0.00	0.0
OZ MGMT	040	F	B	85,291	11.89	n/a	4-May	2,558.73	3.0
TRELLUS PARTNERS LP	028	F	B	100,000	11.81	n/a	4-May	5,000.00	5.0
NEUBERGER BERMAN	036	GGG	S	31,300	33.34	n/a	n/a	1,252.00	4.0
B BUCK CAPITAL MGMT		GIL	S	20,000	28.52	n/a	14-May	non-brg	4.0
CAVU CAP ADV	028	GLW	B	25,000	18.13	n/a	10-May	1,250.00	5.0
f HARALAMBOS EFSTATHI	FID	GME	B	500	21.52	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	GYMB	B	10,000	45.85	n/a	17-May	500.00	5.0
PLATTE RIVER CAPITAL	240	HD	S	22,300	34.90	n/a	11-May	892.00	4.0
BALYASNY ASSET MGMT	044	HGG	B	20,000	27.94	n/a	n/a	1,000.00	5.0
THE JAY GOLDMAN	028	HPT	B	9,000	24.20	n/a	n/a	360.00	4.0
AVESTA CAP	229	INTC	B	500,000	21.69	n/a	n/a	15,000.00	3.0
KACELA CAPITAL	034	INTC	B	40,000	21.82	n/a	n/a	1,600.00	4.0
CSFB GLOBAL PROPRIETARY	041	IP	B	50,000	23.70	n/a	29-Apr	2,500.00	5.0
B BUCK CAPITAL MGMT		ITW	B	500	49.07	n/a	30-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		IVZ	B	1,400	20.03	n/a	2-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SENATOR INVESTMENT GROUP	036	IWM	SS	200,000	68.51	n/a	n/a	6,000.00	3.0
B BUCK CAPITAL MGMT		JBHT	B	700	35.17	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	JCP	B	15,000	27.47	n/a	14-May	750.00	5.0
B BUCK CAPITAL MGMT		JNS	B	2,200	11.77	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		JNY	B	1,400	19.73	n/a	14-May	non-brg	0.8
B BUCK CAPITAL MGMT		JNY	B	40,000	20.40	n/a	14-May	non-brg	4.0
EXIS CAPITAL	044	JNY	B	10,000	19.90	n/a	14-May	500.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	20,000	59.23	n/a	n/a	non-brg	4.0
KACELA CAPITAL	034	KFT	B	55,000	30.54	n/a	n/a	2,200.00	4.0
JP MORGAN VENTURES	046	KMB	S	15,000	62.08	n/a	26-Apr	750.00	5.0
RBC CAPITAL MARKETS	040	KNX	SS	25,000	20.63	n/a	n/a	1,000.00	4.0
B BUCK CAPITAL MGMT		KSS	B	20,000	53.32	n/a	14-May	non-brg	4.0
EXIS CAPITAL	044	KSS	SS	5,000	53.30	n/a	14-May	250.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	KSWS	B	1500	11.30	n/a	n/a	0.00	0.0
CATAPULT	040	KWK	SS	25,000	12.46	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		LEN	B	2,700	17.85	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	LPX	S	475,000	9.18	n/a	11-May	16,625.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAVU CAP ADV	028	LRCX	S	9,393	38.70	n/a	n/a	469.65	5.0
B BUCK CAPITAL MGMT		LTD	B	20,000	25.37	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	LVS	C	50,000	22.71	n/a	13-May	2,500.00	5.0
CHILTON CAPITAL MGMT.	036	LVS	SS	50,000	22.72	n/a	13-May	1,500.00	3.0
RBC CAPITAL MARKETS	040	LVS	S	40,000	22.53	n/a	13-May	1,600.00	4.0
TYEE/TYGH	035	MAN	S	50,000	49.59	n/a	n/a	2,000.00	4.0
PLATTE RIVER CAPITAL	240	MAR	S	24,400	35.02	n/a	n/a	976.00	4.0
B BUCK CAPITAL MGMT		MDC	B	800	31.18	n/a	n/a	non-brg	4.0
KENNEDY CAPITAL	046	MOV	B	11,500	12.72	n/a	n/a	460.00	4.0
FRED ALGER MGMT	035	MSSR	S	5,100	9.45	n/a	n/a	153.00	3.0
f MANNY I KORMAN	FID	MS	S	10000	26.55	n/a	11-May	0.00	0.0
f MANNY I KORMAN	FID	MS	S	3085	26.60	n/a	11-May	0.00	0.0
NEUBERGER BERMAN	036	MWIV	S	3,300	50.55	n/a	n/a	132.00	4.0
SACC-SCHWARTZ	044	MWV	SS	50,000	24.91	n/a	28-Apr	1,750.00	3.5
BALYASNY ASSET MGMT	044	M	B	30,000	21.90	n/a	13-May	1,500.00	5.0
B BUCK CAPITAL MGMT		M	B	1,100	21.87	n/a	13-May	non-brg	0.8
B BUCK CAPITAL MGMT		M	B	30,000	22.04	n/a	13-May	non-brg	4.0
EXIS CAPITAL	044	M	B	5,000	21.85	n/a	13-May	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	NDSN	S	8,600	69.00	n/a	n/a	344.00	4.0
NEUBERGER BERMAN	036	NSC	S	25,040	58.07	n/a	n/a	1,001.60	4.0
THE JAY GOLDMAN	028	OEH	S	20,000	11.59	n/a	n/a	800.00	4.0
FEDERATED INV/KAUFMAN	029	OSIP	S	227,776	57.35	n/a	n/a	12,527.69	5.5
KACELA CAPITAL	034	PCAR	SS	28,000	43.20	n/a	30-Apr	1,120.00	4.0
NEUBERGER BERMAN	036	PEB	B	14,075	18.96	n/a	n/a	563.00	4.0
RBC CAPITAL MARKETS	040	PKG	B	35,000	23.46	n/a	20-Apr	1,400.00	4.0
SCOPUS FUND	041	PKG	S	5,200	23.49	n/a	20-Apr	260.00	5.0
B BUCK CAPITAL MGMT		PSS	B	50,000	21.88	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PVH	S	30,000	57.23	n/a	14-May	non-brg	4.0
NEUBERGER BERMAN	036	RBN	S	15,800	24.93	n/a	n/a	632.00	4.0
THE JAY GOLDMAN	028	RCL	B	5,000	30.96	n/a	n/a	200.00	4.0
SCOPUS FUND	041	RKT	S	29,000	52.95	n/a	n/a	1,450.00	5.0
B BUCK CAPITAL MGMT		RL	S	30,000	87.09	n/a	14-May	non-brg	4.0
B BUCK CAPITAL MGMT		RRR	B	5,900	8.17	n/a	30-Apr	non-brg	3.0
EXIS CAPITAL	044	RSH	B	5,000	19.26	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	SKX	S	20,000	36.28	n/a	n/a	1,000.00	5.0
f FRANCIS MICHAEL MCCA	FID	SRI	S	400	10.05	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		STT	B	600	40.81	n/a	11-May	non-brg	4.0
CATAPULT	040	TCB	SS	9,600	16.84	n/a	n/a	480.00	5.0
ß BUCK CAPITAL MGMT		TEX	B	1,100	22.90	n/a	30-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		TGT	S	10,000	54.75	n/a	17-May	non-brg	4.0
BMO NESBITT BURNS	041	TIN	B	25,000	21.40	n/a	21-Apr	1,250.00	5.0
HUNTER GLOBAL INVESTOR	067	TIN	S	412,000	21.45	n/a	21-Apr	20,600.00	5.0
SACC-SCHWARTZ	044	TIN	B	25,000	21.45	n/a	21-Apr	875.00	3.5
CAERUS GLOBAL	041	TJX	B	14,188	45.13	n/a	10-May	567.52	4.0
BALYASNY ASSET MGMT	044	TLB	B	30,000	15.69	n/a	n/a	1,500.00	5.0
ß BUCK CAPITAL MGMT		TLB	B	20,000	16.22	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	TLB	SS	4,100	16.40	n/a	n/a	205.00	5.0
COUGAR TRADING	044	TM	B	5,000	77.24	n/a	n/a	250.00	5.0
FRED ALGER MGMT	035	TRV	S	72,230	49.84	n/a	n/a	2,744.74	3.8
ROYCE TRADING	216	TTEC	S	800	15.08	n/a	n/a	40.00	5.0
HARBORWATCH/DIAMBACK	232	TXT	S	30,000	22.57	n/a	n/a	1,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		URBN	B	1,000	35.04	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		URBN	B	20,000	35.39	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	URBN	SS	5,000	35.67	n/a	n/a	250.00	5.0
DELTEC ASSET MGMT.	040	UUP	S	16,000	25.21	n/a	n/a	800.00	5.0
RBC CAPITAL MARKETS	040	VALE	B	25,000	26.76	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		VTG	S	1,000	1.71	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	1,000	1.73	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	1,200	1.71	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	1,200	1.73	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	100	1.73	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	100	1.73	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	2,900	1.71	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	200	1.73	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	200	1.73	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	3,900	1.71	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	33,700	1.71	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	40,100	1.71	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	500	1.73	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	6,000	1.73	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	7,200	1.73	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		VTG	S	700	1.73	n/a	n/a	non-brg	0.8
ROYCE TRADING	216	WDC	S	400	39.15	n/a	n/a	20.00	5.0
CAERUS GLOBAL	041	WMT	S	29,154	52.57	n/a	17-May	1,166.16	4.0
f JOSEPH CIRO AMATURO	FID	WNC	B	15000	9.68	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		WRC	B	50,000	43.46	n/a	14-May	non-brg 4.0
BUCK CAPITAL MGMT		XRT	SS	240,000	40.23	n/a	n/a	non-brg 2.0
JP MORGAN VENTURES	046	YUM	S	20,000	40.47	n/a	15-Apr	1,000.00 5.0
TOTAL (Trade Date):							159,641.53	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CXL	05/14	SYSTEMATIC FINANCIAL MGT	035	PVH	B	125	57.51	n/a 14-May	3.75 3.0
AO,CRT	05/14	SYSTEMATIC FINANCIAL MGT	035	PVH	B	125	57.51	n/a 14-May	3.75 3.0

TOTAL (AO, CXL, CRT) :	0.00
-------------------------------	-------------

TOTAL (Trade Date) :	159,641.53
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	159,641.53
--------------------------------	-------------------

MRI Securities with Trade Activity on 17-May. NONE

MRI Securities without Trade Activity on 17-May. NONE
--

BRG comm. as a % of BCM Total Comm. paid. T-Date = 2% YTD = 8%
--

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)