



# Daily Compliance Activity Report

Trade Date: 05/20/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TALPION LLC	040	AAP	SS	5,000	63.32	n/a	n/a	200.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>AEO</b>	<b>SS</b>	<b>150,000</b>	<b>13.49</b>	<b>n/a</b>	<b>10-May</b>	<b>non-brg</b>	<b>4.0</b>
BNP PARIBAS SEC	040	ALV	B	10,000	75.88	n/a	21-Apr	500.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>ANF</b>	<b>B</b>	<b>20,000</b>	<b>73.11</b>	<b>n/a</b>	<b>19-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ANN</b>	<b>B</b>	<b>30,000</b>	<b>28.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ANN</b>	<b>B</b>	<b>30,000</b>	<b>28.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ANN</b>	<b>B</b>	<b>40,000</b>	<b>29.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ANN</b>	<b>B</b>	<b>97,500</b>	<b>29.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	ANN	B	5,000	28.99	n/a	n/a	250.00	5.0
TALPION LLC	040	ARCO	B	5,000	21.98	n/a	n/a	200.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>ARO</b>	<b>C</b>	<b>20,000</b>	<b>17.52</b>	<b>n/a</b>	<b>20-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ARO</b>	<b>C</b>	<b>20,000</b>	<b>17.52</b>	<b>n/a</b>	<b>20-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ARO</b>	<b>SS</b>	<b>40,000</b>	<b>18.19</b>	<b>n/a</b>	<b>20-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ASNA</b>	<b>S</b>	<b>30,000</b>	<b>30.91</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>BC</b>	<b>B</b>	<b>500</b>	<b>21.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	BEBE	S	10,000	6.62	n/a	n/a	500.00	5.0
MA CAPITAL MGMT/MELLON ADV041		BIG	B	4,000	34.01	n/a	19-May	200.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>BWA</b>	<b>B</b>	<b>26</b>	<b>4.70</b>	<b>n/a</b>	<b>29-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BYD</b>	<b>B</b>	<b>20,000</b>	<b>9.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
CHART WELL ADV	028	CAKE	B	26,625	31.34	n/a	21-Apr	1,065.00	4.0
RAINIER INV MGMT	035	CF	S	58,220	141.83	n/a	n/a	2,328.80	4.0
IVORY CAPITAL	034	CMCSK	B	100,000	23.70	n/a	n/a	5,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>B</b>	<b>10,000</b>	<b>59.52</b>	<b>n/a</b>	<b>27-Apr</b>	<b>300.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CRMBW</b>	<b>B</b>	<b>11,500</b>	<b>1.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CRMB</b>	<b>B</b>	<b>13,900</b>	<b>12.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HALCYON LLC	041	CVC	B	25,000	34.00	n/a	n/a	750.00	3.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>1000</b>	<b>15.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
YORK CAPITAL	036	C	S	250,000	41.23	n/a	17-May	10,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DDS</b>	<b>B</b>	<b>20,000</b>	<b>52.36</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DDS</b>	<b>B</b>	<b>10,000</b>	<b>52.54</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BMO NESBITT BURNS	041	DKS	B	3,000	38.60	n/a	18-May	210.00	7.0
CAERUS GLOBAL	041	DKS	SS	15,000	39.20	n/a	18-May	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DSW</b>	<b>B</b>	<b>11,000</b>	<b>43.88</b>	<b>n/a</b>	<b>11-Feb</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>EAT</b>	<b>S</b>	<b>1000</b>	<b>25.45</b>	<b>n/a</b>	<b>29-Apr</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	012	FINL	B	24,500	22.51	n/a	10-May	1,225.00	5.0
BNP PARIBAS SEC	040	FL	S	25,000	25.00	n/a	19-May	1,250.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>S</b>	<b>50,000</b>	<b>25.03</b>	<b>n/a</b>	<b>19-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>S</b>	<b>80,000</b>	<b>24.63</b>	<b>n/a</b>	<b>19-May</b>	<b>non-brg</b>	<b>4.0</b>
KENNEDY CAPITAL	046	FL	S	125,930	25.01	n/a	19-May	5,037.20	4.0
ENTRUST CAPITAL	040	FO	B	21,300	64.19	n/a	n/a	1,065.00	5.0
<b>f IAN L MILLER</b>	<b>FID</b>	<b>FRX</b>	<b>S</b>	<b>25</b>	<b>35.29</b>	<b>n/a</b>	<b>20-May</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>B</b>	<b>40,000</b>	<b>40.87</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BUCK CAPITAL MGMT	001	GES	B	30,000	41.56	n/a	25-Apr	900.00	3.0
<b>ß BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>B</b>	<b>12,000</b>	<b>41.80</b>	<b>n/a</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>B</b>	<b>30,000</b>	<b>41.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>15,000</b>	<b>34.78</b>	<b>n/a</b>	<b>12-May</b>	<b>non-brg</b>	<b>4.0</b>
OZ MGMT	040	GM	SS	65,000	31.17	n/a	6-May	1,950.00	3.0
<b>ß BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>15,000</b>	<b>29.98</b>	<b>n/a</b>	<b>21-Apr</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	HIBB	B	5,000	39.81	n/a	n/a	250.00	5.0
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>HMIN</b>	<b>B</b>	<b>2000</b>	<b>39.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>HOTT</b>	<b>S</b>	<b>3000</b>	<b>7.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>HZO</b>	<b>B</b>	<b>3500</b>	<b>8.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>100,000</b>	<b>17.78</b>	<b>n/a</b>	<b>5-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>135,000</b>	<b>17.90</b>	<b>n/a</b>	<b>5-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>50,000</b>	<b>17.76</b>	<b>n/a</b>	<b>5-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>IGT</b>	<b>S</b>	<b>1500</b>	<b>17.75</b>	<b>n/a</b>	<b>5-May</b>	<b>0.00</b>	<b>0.0</b>
THOMAS WEISEL ASSET MGMT	035	IMMR	B	54,150	8.27	n/a	n/a	1,624.50	3.0
SURVEYOR CAPITAL	041	JNJ	B	25,000	65.65	n/a	n/a	750.00	3.0
COLUMBUS CIRCLE	036	KNXA	B	14,000	30.80	n/a	n/a	560.00	4.0
SANDLER O'NEILL ASSET	031	LAZ	B	15,000	38.50	n/a	17-May	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LIZ</b>	<b>B</b>	<b>250,000</b>	<b>6.64</b>	<b>n/a</b>	<b>7-Oct</b>	<b>non-brg</b>	<b>3.0</b>
TALPION LLC	040	LOW	B	5,000	24.61	n/a	16-May	200.00	4.0
<b>f IAN L MILLER</b>	<b>FID</b>	<b>LPX</b>	<b>B</b>	<b>125</b>	<b>8.05</b>	<b>n/a</b>	<b>19-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LTD</b>	<b>B</b>	<b>13,000</b>	<b>39.40</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
PAULSON & CO INC	041	MDT	S	300,000	42.23	n/a	n/a	12,000.00	4.0
<b>f MARK ANTHONY GRONET</b>	<b>FID</b>	<b>MGM</b>	<b>B</b>	<b>1000</b>	<b>15.56</b>	<b>n/a</b>	<b>10-May</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARK ANTHONY GRONET</b>	<b>FID</b>	<b>MGM</b>	<b>B</b>	<b>1000</b>	<b>15.60</b>	<b>n/a</b>	<b>10-May</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		MNRO	B	20,000	31.01	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	MTRX	B	5000	12.57	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	MWA	B	5000	4.26	n/a	n/a	0.00	0.0
CYRUS CAPITAL	025	NCMI	B	18,000	17.34	n/a	n/a	720.00	4.0
f JOSEPH CIRO AMATURO	FID	NLY	B	1000	18.02	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	OMG	B	6,682	36.17	n/a	n/a	267.28	4.0
TALPION LLC	040	OMX	S	5,000	8.05	n/a	n/a	150.00	3.0
f KEVIN MICHAEL O'GORM	FID	PBCT	S	2000	13.50	n/a	19-May	0.00	0.0
STANDARD PACIFIC CAPITAL	040	PCX	B	80,000	22.50	n/a	n/a	4,000.00	5.0
f MARK ANTHONY GRONET	FID	PEIX	B	10000	0.38	n/a	n/a	0.00	0.0
GREEN ARROW DOUG	040	PG	SS	15,000	67.48	n/a	13-May	750.00	5.0
ROTHSCHILD ASSET	040	PH	S	14,324	86.80	n/a	28-Apr	572.96	4.0
B BUCK CAPITAL MGMT		PLCE	B	10,000	50.66	n/a	20-May	non-brg	4.0
B BUCK CAPITAL MGMT		PLCE	B	10,000	51.78	n/a	20-May	non-brg	1.0
METROPOLITAN CAPITAL	044	PLCE	B	9,600	51.28	n/a	20-May	480.00	5.0
ROYCE TRADING	012	PLCE	B	101,000	51.34	n/a	20-May	5,050.00	5.0
B BUCK CAPITAL MGMT		PNK	B	10,000	13.63	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>PNK</b>	<b>B</b>	<b>40,000</b>	<b>13.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
APEX CAPITAL MGMT.	261	PVH	B	125,000	65.81	n/a	25-Apr	5,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>PVH</b>	<b>B</b>	<b>20,000</b>	<b>66.34</b>	<b>n/a</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>RSH</b>	<b>S</b>	<b>12000</b>	<b>15.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COHE & STEERS	041	RYN	B	25,000	66.95	n/a	27-Apr	1,000.00	4.0
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>SBUX</b>	<b>B</b>	<b>110</b>	<b>0.05</b>	<b>n/a</b>	<b>28-Apr</b>	<b>0.00</b>	<b>0.0</b>
MA CAPITAL MGMT/MELLON ADV	041	SDS	B	2,400	20.46	n/a	n/a	120.00	5.0
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>SGI</b>	<b>B</b>	<b>550</b>	<b>17.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	SOA	B	15,000	23.89	n/a	n/a	600.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>SODA</b>	<b>B</b>	<b>20,000</b>	<b>55.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	TLB	SS	50,000	4.70	n/a	n/a	1,750.00	3.5
BMO NESBITT BURNS	041	UA	B	2,000	65.30	n/a	27-Apr	140.00	7.0
ENTRUST CAPITAL	040	URBN	SS	56,000	31.23	n/a	n/a	2,800.00	5.0
<b>f</b> EDWARD W WHEELER	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>200</b>	<b>0.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> WILLIAM J GAULT	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>2000</b>	<b>9.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SENATOR INVESTMENT GROUP	036	WRC	B	21,800	54.75	n/a	6-May	654.00	3.0
BMO NESBITT BURNS	041	XLY	S	4,000	40.20	n/a	n/a	280.00	7.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		XRT	C	38,000	52.75	n/a	n/a	non-brg 2.0
TOTAL (Trade Date):								74,599.74

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									74,599.74
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									74,599.74



BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 20-May.  
NONE

MRI Securities without Trade Activity on 20-May.  
KO

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 3%  
YTD = 4%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**