



Daily Compliance Activity Report

Trade Date: 03/27/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALLEON MGMT LP	033	AA	B	10,000	34.13	n/a	n/a	500.00	5.0
EATON VANCE MGMT	039	ABT	B	168,644	56.54	n/a	22-Apr	6,745.76	4.0
MAZAMA CAPITAL MGMT.	035	AIQ	B	11,200	8.15	n/a	n/a	448.00	4.0
LATEFF MGMT	040	AOS	B	4,500	38.65	21-Dec	8-Feb	675.00	15.0
BARR	096	AVNX	S	4,900	1.83	n/a	n/a	0.00	0.0
J.W. SELIGMAN	032	BAX	S	10,000	53.27	n/a	22-Apr	400.00	4.0
PRIME CAPITAL	048	BBBY	B	18,500	40.12	n/a	n/a	925.00	5.0
BUCK CAPITAL MGMT	001	BBW	S	25,000	27.50	19-Mar	19-Mar	1,000.00	4.0
VARDON PARTNERS	035	BECN	B	25,000	16.32	n/a	n/a	1,250.00	5.0
BRIGHTPOINT CAP	067	BIG	SS	10,000	32.15	9-Mar	27-Mar	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	BIG	SS	5,000	32.16	9-Mar	27-Mar	200.00	4.0
J.W. SELIGMAN	032	BJS	B	50,900	28.08	n/a	n/a	2,036.00	4.0
THE JAY GOLDMAN	034	BKE	B	10,000	35.42	n/a	n/a	400.00	4.0
REICH AND TANG	037	BKR	B	4,000	23.97	n/a	n/a	200.00	5.0
BUCK CAPITAL MGMT	001	CBK	B	10,000	18.92	11-Dec	9-Mar	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KAICHER	064	CBLI	S	1,000	9.14	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	CEM	S	13,500	11.20	n/a	n/a	405.00	3.0
PRIME LOGIC ADV	039	CENT	B	40,000	14.87	8-Feb	8-Feb	2,000.00	5.0
BRIGHTPOINT CAP	067	CHS	B	10,000	24.93	27-Mar	27-Mar	500.00	5.0
COUGAR TRADING	044	CHS	B	10,000	24.98	27-Mar	27-Mar	500.00	5.0
GALLEON MGMT LP	033	CHS	S	25,000	24.91	27-Mar	27-Mar	1,250.00	5.0
GLG PARTNERS	034	CHS	B	150,000	24.87	27-Mar	27-Mar	7,500.00	5.0
SAC TRADING HOWARD	038	CHS	SS	10,000	25.02	27-Mar	27-Mar	500.00	5.0
VENTANA CAPITAL	060	CHS	B	5,000	24.65	27-Mar	27-Mar	200.00	4.0
WAGONHOUND	048	CHS	S	10,000	24.93	27-Mar	27-Mar	400.00	4.0
EGS ASSOCIATES LP	091	CLE	S	6,000	32.13	n/a	21-Mar	360.00	6.0
MERRILL ASSET	032	CNB	S	10,900	25.30	n/a	n/a	545.00	5.0
PRIME CAPITAL	048	COF	B	5,000	76.89	n/a	n/a	250.00	5.0
STRATIX ASSEST MGMT	035	CSCO	S	225,000	25.95	n/a	22-Mar	3,937.50	0.0
J.W. SELIGMAN	032	CSX	S	10,000	39.32	n/a	n/a	400.00	4.0
TILLDALE MGMT.	048	CVS	B	10,000	34.59	n/a	n/a	500.00	5.0
J.W. SELIGMAN	032	DD	S	10,000	50.28	n/a	2-Mar	400.00	4.0
BUCK CAPITAL MGMT	001	DKS	B	15,000	58.28	n/a	n/a	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J.W. SELIGMAN	032	DOX	B	30,000	36.34	n/a	n/a	1,200.00	4.0
REICH AND TANG	037	ELRC	B	18,000	14.87	n/a	n/a	900.00	5.0
Õ Glenn Redemann		GDP	B	2	0.8500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GDP	B	2	1.3000	n/a	n/a	0.00	0.0
REICH AND TANG	037	GFF	B	10,000	25.00	n/a	n/a	500.00	5.0
WHITE PINE CAPITAL	030	GGG	S	300	39.59	n/a	n/a	15.00	5.0
JP MORGAN VENTURES	046	GME	C	4,200	31.05	n/a	n/a	210.00	5.0
Õ Glenn Redemann		HAR	B	2	1.1100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HAR	B	2	1.7400	n/a	n/a	0.00	0.0
CHARTER OAK	037	IDIX	B	50,000	7.33	n/a	n/a	2,500.00	5.0
DAVIDSON KEMPNER ADVISOR	217	IDU	B	36,000	97.38	n/a	n/a	1,800.00	5.0
WHITE PINE CAPITAL	030	IFF	S	175	47.57	30-Jan	22-Mar	8.75	5.0
REICH AND TANG	037	INSU	B	5,000	25.12	n/a	n/a	250.00	5.0
RIVER RUN MGMT	031	ITCD	B	17,000	3.39	n/a	n/a	510.00	3.0
AVESTA CAP	229	JPM	B	25,000	48.65	n/a	13-Mar	1,250.00	5.0
BUCK CAPITAL MGMT	001	KCP	B	5,000	26.37	n/a	28-Feb	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEISS PECK	040	KEGS	B	50,000	16.60	n/a	26-Mar	2,500.00	5.0
VARDON PARTNERS	035	KMX	SS	20,000	27.16	n/a	10-Jan	1,000.00	5.0
MAZAMA CAPITAL MGMT.	035	KNL	B	5,400	23.36	n/a	n/a	216.00	4.0
MAZAMA CAPITAL MGMT.	035	KOG	B	6,500	4.93	n/a	n/a	195.00	3.0
A.I.M. ADVISORS INC	222	LIZ	S	100,500	42.31	n/a	28-Feb	4,020.00	4.0
COUGAR TRADING	044	LTD	B	5,000	26.69	2-Mar	9-Mar	250.00	5.0
PRIME CAPITAL	048	LUV	B	23,000	14.85	n/a	n/a	920.00	4.0
MAGUIRE	090	MEM	S	2,400	4.17	n/a	n/a	0.00	0.0
BARR	096	MEM	S	2,500	4.17	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	217	MIR	S	50,000	41.01	n/a	n/a	2,500.00	5.0
BARR	096	MZZ	B	500	56.40	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	NEM	C	5,000	42.73	n/a	n/a	250.00	5.0
Õ Glenn Redemann		NIHD	B	8	0.6700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NIHD	B	8	1.8000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NIHD	S	8	1.6700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NIHD	S	8	1.8000	n/a	n/a	0.00	0.0
SPRINGBOC	039	NUCO	SS	4,338	25.47	n/a	n/a	216.90	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STERLING JOHNSTON	035	NWY	B	13,500	15.19	23-Mar	23-Mar	675.00	5.0
WHITE PINE CAPITAL	030	OLN	S	600	16.87	n/a	22-Mar	30.00	5.0
CAPITAL GROWTH MGMT.	241	PCU	B	15,000	72.12	n/a	n/a	750.00	5.0
Õ Glenn Redemann		PEIX	B	17	0.2600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PEIX	B	17	0.3300	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PEIX	S	17	0.7700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PEIX	S	17	0.8700	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	PERY	S	350	32.72	n/a	n/a	17.50	5.0
PLATTE RIVER CAPITAL	035	PFE	S	57,500	25.53	n/a	31-Mar	2,300.00	4.0
WALKER SMITH	031	PPCO	B	1,700	9.58	n/a	n/a	85.00	5.0
KNOTT PARTNERS	044	PRX	B	10,000	23.96	30-Jan	26-Mar	400.00	4.0
BUCK CAPITAL MGMT	001	PVH	S	10,000	60.46	18-Jan	22-Mar	400.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	S	24,000	58.54	18-Jan	22-Mar	900.00	3.8
BARR	096	QID	B	800	52.69	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	RCKY	S	1,100	11.79	n/a	n/a	55.00	5.0
CAXTON PART	040	RGC	B	100,000	19.54	n/a	n/a	4,000.00	4.0
CRAMER ROSENTHAL/SCOTT	012	RL	C	10,700	88.20	2-Feb	16-Feb	535.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CUMBERLAND	038	RRGB	B	4,900	37.95	n/a	n/a	196.00	4.0
WHITE PINE CAPITAL	030	RVI	S	350	21.22	n/a	n/a	17.50	5.0
GALLEON MGMT LP	033	SEE	C	4,000	32.28	n/a	n/a	200.00	5.0
FRONTPOINT	044	SNV	S	90,200	32.75	n/a	n/a	4,510.00	5.0
BALYASNY ASSET MGMT	044	SSCC	SS	50,000	11.27	26-Oct	20-Mar	2,500.00	5.0
IRIDIAN ASSET MGMT.	036	SSCC	B	152,400	11.22	26-Oct	20-Mar	7,620.00	5.0
TRELLUS PARTNERS LP	028	SSI	S	600	23.60	26-Feb	14-Mar	30.00	5.0
BARR	096	SU	S	125	73.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TJX	B	10,000	26.70	21-Mar	21-Mar	400.00	4.0
CASTLE ARK	031	TJX	B	181,030	26.82	21-Mar	21-Mar	10,861.80	6.0
SATELLITE ASSET MGMT	012	TSCO	B	5,000	51.99	n/a	n/a	250.00	5.0
WHITE PINE CAPITAL	030	TUNE	S	2,200	4.28	n/a	n/a	88.00	4.0
TRIBECA/DOUG	040	UCBH	B	20,000	18.68	n/a	n/a	800.00	4.0
BEAR STEARNS ASSET MGMT.	033	URBN	S	70,000	26.57	7-Dec	9-Mar	2,800.00	4.0
COLONIAL FUND LLC	036	URBN	S	25,000	26.26	7-Dec	9-Mar	1,250.00	5.0
WAGONHOUND	048	URBN	S	15,000	26.55	7-Dec	9-Mar	600.00	4.0
ACT II PARTNERS	067	VG	B	170,100	3.26	9-Mar	9-Mar	6,804.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MKT MAKER	099	VG	B	70	3.26	9-Mar	9-Mar	0.00	0.0
J.W. SELIGMAN	032	WFT	B	78,400	46.25	n/a	n/a	3,136.00	4.0
TILDALE MGMT.	048	XMSR	S	15,000	13.33	n/a	n/a	750.00	5.0
MKT MAKER	099	XMSR	B	15,000	13.34	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	ZLC	B	8,700	26.02	n/a	n/a	435.00	5.0
TOTAL (Trade Date):							110,734.71		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	03/22	WHITE PINE CAPITAL (WPIN4351)	030	BONT	S	500	56.74	9-Mar	16-Mar	25.00	5.0
AO,CXL	03/22	WHITE PINE CAPITAL (WPIN0002)	030	BONT	S	500	56.74	9-Mar	16-Mar	25.00	5.0
CRT	03/27	GLG PARTNERS (GLGPMAIN L)	034	CHS	B	150,000	24.87	27-Mar	27-Mar	7500.00	5.0
AO,CXL	03/21	HARVEST MGMT (HARVNEUBER)	039	IP	SS	10,685	35.96	20-Mar	20-Mar	534.25	5.0
AO,CRT	03/21	HARVEST MGMT (HARVNEUBER)	039	IP	SS	9,615	35.96	20-Mar	20-Mar	480.75	5.0
AO,CXL	03/21	HARVEST MGMT (HARVGSCO)	039	IP	SS	9,615	35.96	20-Mar	20-Mar	480.75	5.0
AO,CRT	03/21	HARVEST MGMT (HARVGSCO)	039	IP	SS	10,685	35.96	20-Mar	20-Mar	534.25	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4066)	040	KFT	B	100	31.92	n/a	n/a	5.00	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4056)	040	KFT	B	470	31.92	n/a	n/a	23.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4039)	040	KFT	B	1,760	31.92	n/a	n/a	88.00	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4031)	040	KFT	B	2,010	31.92	n/a	n/a	100.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3990)	040	KFT	B	70	31.92	n/a	n/a	3.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3984)	040	KFT	B	70	31.92	n/a	n/a	3.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3904)	040	KFT	B	4,330	31.92	n/a	n/a	216.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4026)	040	KFT	B	6,710	31.92	n/a	n/a	335.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3909)	040	KFT	B	95,480	31.92	n/a	n/a	4774.00	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4004)	040	KFT	B	60	31.92	n/a	n/a	3.00	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3948)	040	KFT	B	55	31.92	n/a	n/a	2.75	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3947)	040	KFT	B	190	31.92	n/a	n/a	9.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3944)	040	KFT	B	1,050	31.92	n/a	n/a	52.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3943)	040	KFT	B	3,390	31.92	n/a	n/a	169.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3942)	040	KFT	B	690	31.92	n/a	n/a	34.50	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3941)	040	KFT	B	380	31.92	n/a	n/a	19.00	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3940)	040	KFT	B	15	31.92	n/a	n/a	0.75	5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3939)	040	KFT	B	2,630	31.92	n/a	n/a	131.50	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4667)	040	KFT	B	1,130	31.92	n/a	n/a	56.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3938)	040	KFT	B	310	31.92	n/a	n/a	15.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4623)	040	KFT	B	190	31.92	n/a	n/a	9.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3910)	040	KFT	B	21,890	31.92	n/a	n/a	1094.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4625)	040	KFT	B	1,000	31.92	n/a	n/a	50.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3905)	040	KFT	B	19,820	31.92	n/a	n/a	991.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4639)	040	KFT	B	310	31.92	n/a	n/a	15.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3903)	040	KFT	B	1,630	31.92	n/a	n/a	81.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4647)	040	KFT	B	50	31.92	n/a	n/a	2.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3902)	040	KFT	B	3,320	31.92	n/a	n/a	166.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4648)	040	KFT	B	190	31.92	n/a	n/a	9.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO3901)	040	KFT	B	326,270	31.92	n/a	n/a	16313.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4617)	040	KFT	B	1,570	31.92	n/a	n/a	78.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4444)	040	KFT	B	1,510	31.92	n/a	n/a	75.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4637)	040	KFT	B	1,510	31.92	n/a	n/a	75.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4622)	040	KFT	B	2,820	31.92	n/a	n/a	141.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4621)	040	KFT	B	1,320	31.92	n/a	n/a	66.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4619)	040	KFT	B	630	31.92	n/a	n/a	31.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4618)	040	KFT	B	2,260	31.92	n/a	n/a	113.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4524)	040	KFT	B	660	31.92	n/a	n/a	33.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4547)	040	KFT	B	1,040	31.92	n/a	n/a	52.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4587)	040	KFT	B	310	31.92	n/a	n/a	15.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4565)	040	KFT	B	18,570	31.92	n/a	n/a	928.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4577)	040	KFT	B	3,260	31.92	n/a	n/a	163.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4574)	040	KFT	B	1,820	31.92	n/a	n/a	91.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4568)	040	KFT	B	280	31.92	n/a	n/a	14.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4573)	040	KFT	B	190	31.92	n/a	n/a	9.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4567)	040	KFT	B	1,760	31.92	n/a	n/a	88.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4458)	040	KFT	B	820	31.92	n/a	n/a	41.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4480)	040	KFT	B	2,070	31.92	n/a	n/a	103.50 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4510)	040	KFT	B	15,810	31.92	n/a	n/a	790.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4493)	040	KFT	B	940	31.92	n/a	n/a	47.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4433)	040	KFT	B	11,920	31.92	n/a	n/a	596.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4443)	040	KFT	B	1,130	31.92	n/a	n/a	56.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4454)	040	KFT	B	40	31.92	n/a	n/a	2.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4467)	040	KFT	B	6,210	31.92	n/a	n/a	310.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4473)	040	KFT	B	350	31.92	n/a	n/a	17.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4476)	040	KFT	B	2,450	31.92	n/a	n/a	122.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4412)	040	KFT	B	70	31.92	n/a	n/a	3.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4396)	040	KFT	B	21,960	31.92	n/a	n/a	1098.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4382)	040	KFT	B	1,820	31.92	n/a	n/a	91.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4391)	040	KFT	B	160	31.92	n/a	n/a	8.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4387)	040	KFT	B	190	31.92	n/a	n/a	9.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4378)	040	KFT	B	750	31.92	n/a	n/a	37.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4365)	040	KFT	B	81,680	31.92	n/a	n/a	4084.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4358)	040	KFT	B	2,200	31.92	n/a	n/a	110.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4312)	040	KFT	B	1,250	31.92	n/a	n/a	62.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4308)	040	KFT	B	380	31.92	n/a	n/a	19.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4315)	040	KFT	B	250	31.92	n/a	n/a	12.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4306)	040	KFT	B	3,830	31.92	n/a	n/a	191.50 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4150)	040	KFT	B	5	31.92	n/a	n/a	0.25 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4236)	040	KFT	B	9,540	31.92	n/a	n/a	477.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4225)	040	KFT	B	6,340	31.92	n/a	n/a	317.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF. (TRCO4204)	040	KFT	B	70	31.92	n/a	n/a	3.50 5.0
TOTAL (AO, CXL, CRT) :										42,865.75

TOTAL (Trade Date):	110,734.71
TOTAL (AO, CXL, CRT):	42,865.75

TOTAL (RUN DATE) / NFS:	153,600.46
--------------------------------	-------------------

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION