



Daily Compliance Activity Report

Trade Date: 06/14/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PROSPECTOR PARTNERS LLC	036	ABT	B	10,000	47.51	n/a	n/a	400.00	4.0
Ann Bettendorf Freeman		AKAM	S	500	43.6300	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	ALV	SS	5,000	51.00	n/a	28-Apr	250.00	5.0
SAC TRADING TOM LEDDY	035	BBBY	SS	50,000	45.15	n/a	n/a	1,750.00	3.5
CAERUS GLOBAL	041	BC	SS	15,000	17.00	n/a	n/a	600.00	4.0
WALKER SMITH	031	BSX	B	55,000	5.90	n/a	n/a	2,200.00	4.0
STERLING JOHNSTON	035	BWS	B	30,000	17.28	n/a	n/a	1,200.00	4.0
CAERUS GLOBAL	041	BYI	SS	7,500	40.19	n/a	n/a	300.00	4.0
ROSEDALE CAPITAL	046	COG	S	4,000	37.83	n/a	3-Jun	200.00	5.0
BALYASNY ASSET MGMT	044	COH	C	25,000	43.40	n/a	14-May	1,250.00	5.0
MERRILL ASSET/BLACKROCK	263	CVH	B	100,000	20.53	n/a	n/a	4,000.00	4.0
BNP PARIBAS SEC	040	DPZ	B	10,000	12.81	n/a	5-May	400.00	4.0
BUCK CAPITAL MGMT	001	EL	SS	10,000	58.21	n/a	7-Jun	400.00	4.0
THOMPSON SIEGAL&WALMSLEY	029	ENDP	B	30,500	21.70	n/a	14-Jun	915.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON KEMPNER ADVISOR	040	EXXI	S	22,300	16.93	n/a	n/a	1,115.00	5.0
PROSPECTOR PARTNERS LLC	036	FAF	B	10,000	13.49	n/a	n/a	400.00	4.0
OZ MGMT	040	F	B	280,000	11.70	n/a	3-Jun	8,400.00	3.0
SIGMA CAP BOBBY	044	F	B	100,000	11.65	n/a	3-Jun	3,500.00	3.5
EXIS CAPITAL	044	GES	SS	5,000	35.81	n/a	28-May	250.00	5.0
HARVEST MGMT	029	GPS	SS	10,000	22.14	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	HD	B	40,000	32.40	n/a	19-May	1,600.00	4.0
BALYASNY ASSET MGMT	044	HGG	S	69,800	28.45	n/a	n/a	3,490.00	5.0
SENATOR INVESTMENT GROUP	036	HK	B	25,000	21.40	n/a	n/a	750.00	3.0
ACK ASSET	028	ICON	S	36,134	16.31	n/a	n/a	1,084.02	3.0
DAVIDSON KEMPNER ADVISOR	040	IEO	C	4,400	54.07	n/a	n/a	220.00	5.0
BROADARCH CAP/OLD NEWC	070	IGT	B	4,500	19.49	n/a	23-Apr	180.00	4.0
SENATOR INVESTMENT GROUP	036	IWM	B	10,800	66.08	n/a	n/a	324.00	3.0
BMO NESBITT BURNS	041	JCP	SS	6,000	27.42	n/a	4-Jun	240.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	10,000	27.28	n/a	4-Jun	400.00	4.0
BUCK CAPITAL MGMT	001	JNY	B	20,000	20.00	n/a	14-May	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	JWN	SS	15,000	39.05	n/a	4-Jun	750.00	5.0
CHILTON CAPITAL MGMT.	036	KMX	C	35,200	20.54	n/a	n/a	1,056.00	3.0
EXIS CAPITAL	044	KSS	B	15,000	52.01	n/a	4-Jun	750.00	5.0
THE JAY GOLDMAN	028	MAR	S	5,000	33.99	n/a	n/a	200.00	4.0
HARVEST MGMT	029	MGM	B	20,000	12.37	n/a	11-May	1,000.00	5.0
THE JAY GOLDMAN	028	MGM	S	15,000	12.19	n/a	11-May	600.00	4.0
PROSPECTOR PARTNERS LLC	036	MIR	B	10,000	12.33	n/a	n/a	400.00	4.0
BARROW HANLEY MEWHINNEY	031	MSFT	B	164,200	25.80	n/a	n/a	5,747.00	3.5
EXIS CAPITAL	044	M	B	20,000	21.50	n/a	4-Jun	1,000.00	5.0
SOROS	036	NBR	SS	2,500	21.51	n/a	n/a	100.00	4.0
MERRILL ASSET/BLACKROCK	263	NFLX	C	400	127.61	n/a	n/a	16.00	4.0
CAVU CAP ADV	028	PH	S	25,000	62.03	n/a	30-Apr	1,250.00	5.0
BMO NESBITT BURNS	041	PKG	B	11,000	22.98	n/a	20-Apr	1,100.00	10.0
BNP PARIBAS SEC	040	POT	SS	4,600	99.55	n/a	n/a	184.00	4.0
EXIS CAPITAL	044	PVH	SS	5,000	54.03	n/a	26-May	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	RAI	B	150,000	52.12	n/a	n/a	4,500.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	SKS	B	100,000	8.86	n/a	n/a	3,000.00	3.0
LOCUS WOOD CAPITAL MGMT	044	STR	B	25,000	48.37	n/a	3-Jun	1,000.00	4.0
BNP PARIBAS SEC	040	TIN	S	10,000	22.54	n/a	21-Apr	400.00	4.0
BMO NESBITT BURNS	041	TJX	SS	3,500	46.47	n/a	4-Jun	140.00	4.0
EXIS CAPITAL	044	TJX	SS	5,000	46.22	n/a	4-Jun	250.00	5.0
BUCK CAPITAL MGMT	001	TLB	B	20,000	12.93	n/a	n/a	800.00	4.0
COUGAR TRADING	044	TM	B	5,000	71.70	n/a	n/a	250.00	5.0
COUGAR TRADING	044	TM	SS	5,000	71.05	n/a	n/a	250.00	5.0
LEVEL GLOBAL SHARED ACCT	257	TPX	B	50,000	33.58	n/a	n/a	1,500.00	3.0
ROTHSCHILD ASSET	040	TPX	S	19,240	33.61	n/a	n/a	769.60	4.0
PATTEN & PATTEN	067	WAG	S	10,450	29.67	n/a	n/a	522.50	5.0
DELTEC ASSET MGMT.	040	WCRX	S	12,000	24.80	n/a	14-Jun	600.00	5.0
EXIS CAPITAL	044	WRC	B	5,000	42.84	n/a	14-May	250.00	5.0
SCOPUS FUND	041	WRC	B	47,800	42.89	n/a	14-May	2,390.00	5.0
BUCK CAPITAL MGMT	001	WWW	B	20,000	29.00	n/a	n/a	800.00	4.0
TOTAL (Trade Date):								68,943.12	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/11	SCOPUS FUND (SCOPGS)	041	FL	B	6,000	14.31	n/a	11-Jun	300.00 5.0
AO,CRT	06/11	SCOPUS FUND (SCOPGS)	041	FL	B	3,000	14.31	n/a	11-Jun	150.00 5.0
AO,CXL	06/11	SCOPUS FUND (SCOPUBS)	041	FL	B	44,000	14.31	n/a	11-Jun	2200.00 5.0
AO,CRT	06/11	SCOPUS FUND (SCOPUBS)	041	FL	B	47,000	14.31	n/a	11-Jun	2350.00 5.0
AO,	06/11	COLUMBUS CIRCLE (COLC3050)	036	GWR	B	4,192	38.42	n/a	n/a	167.68 4.0
AO,	06/11	COLUMBUS CIRCLE (COLC3050)	036	MGLN	S	1,076	39.18	n/a	n/a	43.04 4.0
AO,	06/11	WEINTRAUB CAPITAL MGT. (WEINMAIN A)	040	NDN	B	50,000	14.21	n/a	27-May	2000.00 4.0
AO,CXL	06/11	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	NWY	B	20,000	2.54	n/a	n/a	800.00 4.0
AO,CRT	06/11	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	NWY	B	20,000	2.54	n/a	n/a	600.00 3.0
AO,	06/11	SCOUT/UMB (SUMBSMALL)	047	UNT	B	14,112	45.90	n/a	3-Jun	705.60 5.0
AO,	06/11	SCOUT/UMB (SUMBMAIN)	047	UNT	B	444	45.90	n/a	3-Jun	22.20 5.0
AO,	06/11	SCOUT/UMB (SUMBM&INV)	047	UNT	B	555	45.90	n/a	3-Jun	27.75 5.0
AO,CRT	06/08	GRYPHON CAPITAL MGMT (GRYPMSPB)	084	WDC	S	50,000	33.40	n/a	n/a	1500.00 3.0
AO,CXL	06/08	GRYPHON CAPITAL MGMT (GRYPMSPB)	084	WDC	S	50,000	33.40	n/a	n/a	1500.00 3.0
TOTAL (AO, CXL, CRT) :										2,766.27

TOTAL (Trade Date):	68,943.12
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TOTAL (RUN DATE) / NFS:

71,709.39

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION