



# Daily Compliance Activity Report

Trade Date: 07/14/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	ADM	SS	20,000	30.46	n/a	11-Jul	1,000.00	5.0
<b>f ANGELO CHRISTOS EFST</b>	<b>FID</b>	<b>AEO</b>	<b>B</b>	<b>200</b>	<b>13.54</b>	<b>n/a</b>	<b>26-May</b>	<b>0.00</b>	<b>0.0</b>
SURVEYOR CAPITAL	041	ALB	B	45,784	68.33	n/a	n/a	1,373.52	3.0
TUDOR INVESTORS	028	ALV	S	5,000	71.51	n/a	14-Jul	150.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>APO</b>	<b>S</b>	<b>410</b>	<b>16.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
TUDOR INVESTORS	028	ARG	B	3,000	69.19	n/a	24-Jun	90.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>SS</b>	<b>20,000</b>	<b>17.32</b>	<b>n/a</b>	<b>20-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>SS</b>	<b>30,000</b>	<b>17.24</b>	<b>n/a</b>	<b>20-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AXE</b>	<b>B</b>	<b>10,000</b>	<b>66.18</b>	<b>n/a</b>	<b>22-Jun</b>	<b>non-brg</b>	<b>4.0</b>
LONG OAR GLOBAL INV	040	BBY	SS	79,233	30.39	n/a	n/a	3,169.32	4.0
NUVEEN - US BANCORP		030 BC	S	18,155	18.74		n/a	n/a	816.98 4.5
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BGU</b>	<b>B</b>	<b>2000</b>	<b>80.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PILOT ADV	041	BK	B	4,600	25.56	n/a	8-Jul	138.00	3.0
FARALLON CAP MGMT	040	BMC	S	70,000	53.26	n/a	n/a	2,800.00	4.0
TUDOR INVESTORS	028	BWA	B	3,000	79.55	n/a	14-Jul	90.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRAMER ROSENTHAL/SCOTT	012	B	S	100	24.75	n/a	n/a	4.00	4.0
KENNEDY CAPITAL	046	CBK	S	7,450	6.63	n/a	n/a	223.50	3.0
NEW JERSEY DIV OF INV.	030	CBT	S	25,000	41.60	n/a	5-Jul	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CCL</b>	<b>B</b>	<b>10,000</b>	<b>35.58</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	CE	S	25,000	53.22	n/a	20-May	1,000.00	4.0
CASTLEROCK	040	CMG	SS	4,255	321.81	n/a	13-Jul	170.20	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>COP</b>	<b>S</b>	<b>1010</b>	<b>14.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CSTR</b>	<b>B</b>	<b>20,000</b>	<b>58.10</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CSTR</b>	<b>B</b>	<b>20,000</b>	<b>59.52</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
STATE TEACHERS RET SYS	047	CWH	B	10,000	23.70	n/a	n/a	300.00	3.0
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>C</b>	<b>B</b>	<b>21</b>	<b>3.60</b>	<b>n/a</b>	<b>1-Jul</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	DAL	B	2,400	8.56	n/a	n/a	280.00	12.3
<b>B BUCK CAPITAL MGMT</b>		<b>DDS</b>	<b>S</b>	<b>20,000</b>	<b>59.08</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CASTLEROCK	040	DECK	SS	14,843	92.12	n/a	n/a	593.72	4.0
SIGMA CAPITAL ASSOCIATES	041	DLTR	SS	50,000	69.68	n/a	20-May	1,750.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>EAT</b>	<b>SS</b>	<b>100,000</b>	<b>25.55</b>	<b>n/a</b>	<b>11-Jul</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>ESV</b>	<b>B</b>	<b>10,000</b>	<b>52.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
TUDOR INVESTORS	028	EXPD	SS	10,000	50.86	n/a	n/a	300.00	3.0
SURVEYOR CAPITAL	041	FMC	SS	2	87.07	n/a	8-Dec	0.06	3.0
SURVEYOR CAPITAL	041	FMC	S	36,045	86.74	n/a	8-Dec	1,081.35	3.0
<b>Õ Doug Rogers</b>		<b>FOR</b>	<b>S</b>	<b>100</b>	<b>17.08</b>	<b>n/a</b>	<b>5-May</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Doug Rogers</b>		<b>FOR</b>	<b>S</b>	<b>250</b>	<b>17.08</b>	<b>n/a</b>	<b>5-May</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	F	B	50,000	13.19	n/a	14-Jul	2,500.00	5.0
TUDOR INVESTORS	028	GE	B	10,000	18.68	n/a	n/a	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>50,000</b>	<b>34.85</b>	<b>n/a</b>	<b>12-May</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	GIL	SS	26,700	34.76	n/a	12-May	934.50	3.5
CASTLEROCK	040	GMCR	SS	15,418	88.65	n/a	n/a	616.72	4.0
PILOT ADV	041	HAS	B	2,500	42.34	n/a	n/a	75.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>S</b>	<b>40,000</b>	<b>31.29</b>	<b>n/a</b>	<b>21-Apr</b>	<b>non-brg</b>	<b>4.0</b>
SONTERRA CAP	041	HOG	S	50,000	42.50	n/a	n/a	2,000.00	4.0
TUDOR INVESTORS	028	IR	B	6,000	46.16	n/a	19-May	180.00	3.0
ACK ASSET	028	IWM	SS	21,800	82.32	n/a	n/a	654.00	3.0
PILOT ADV	041	JCP	SS	10,000	32.10	n/a	8-Jul	300.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	028	JCP	B	20,000	31.81	n/a	8-Jul	800.00	4.0
MFP INVESTORS	085	JNS	B	22,800	8.95	n/a	n/a	912.00	4.0
Õ Doug Rogers		LGND	B	262	11.25	n/a	n/a	0.00	0.0
Õ Doug Rogers		LGND	B	800	11.25	n/a	n/a	0.00	0.0
Õ Doug Rogers		LGND	B	938	11.26	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	028	LTD	SS	20,000	39.98	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		MAR	C	20,000	34.08	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		MAT	B	75,000	26.74	n/a	n/a	non-brg	4.0
PILOT ADV	041	MAT	B	4,000	27.04	n/a	n/a	120.00	3.0
ß BUCK CAPITAL MGMT		MCD	B	100,000	85.91	n/a	11-Jul	non-brg	4.0
TUDOR INVESTORS	028	MLM	B	2,000	79.23	n/a	n/a	60.00	3.0
ß BUCK CAPITAL MGMT		MNRO	S	20,000	36.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MNRO	S	39,000	36.98	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		MSMSBCD	SS	325,100	100.57	n/a	n/a	non-brg	8.0
f JONATHAN RICHARD HAR	FID	MTW	S	1652	16.48	n/a	3-May	0.00	0.0
Õ Doug Rogers		MWA	S	5000	3.68	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		M	S	20,000	30.09	n/a	8-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		M	S	40,000	30.05	n/a	8-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	028	M	B	30,000	30.05	n/a	8-Jul	1,200.00	4.0
TROUBH PART	070	M	S	15,000	30.13	n/a	8-Jul	750.00	5.0
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>NYB</b>	<b>B</b>	<b>400</b>	<b>15.03</b>	<b>n/a</b>	<b>24-Jun</b>	<b>0.00</b>	<b>0.0</b>
MACQUARIE INVESTMENT MGMT.	040	OIH	SS	15,000	149.60	n/a	n/a	0.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>1,200</b>	<b>13.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>1,700</b>	<b>13.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>1,900</b>	<b>13.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>100</b>	<b>13.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>100</b>	<b>13.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>100</b>	<b>13.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>19,031</b>	<b>13.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>2,469</b>	<b>13.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>2,600</b>	<b>13.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>200</b>	<b>13.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>300</b>	<b>13.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>300</b>	<b>13.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>600</b>	<b>13.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OZM</b>	<b>S</b>	<b>800</b>	<b>13.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
NUVEEN - US BANCORP		030 PCLN	S	4,586	522.41		n/a	n/a	206.39 4.5
STATE TEACHERS RET SYS	047	PDM	B	10,000	20.50	n/a	n/a	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>S</b>	<b>20,000</b>	<b>72.14</b>	<b>n/a</b>	<b>2-Jun</b>	<b>600.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>S</b>	<b>15,000</b>	<b>72.69</b>	<b>n/a</b>	<b>2-Jun</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RCL</b>	<b>B</b>	<b>15,000</b>	<b>35.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		RCL	B	20,000	34.49	n/a	n/a	non-brg	4.0
STATE TEACHERS RET SYS	047	RLJ	B	10,000	17.40	n/a	n/a	300.00	3.0
JONATHAN RICHARD HAR	FID	SGI	B	65	15.78	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SIX	SS	20,000	35.45	n/a	n/a	non-brg	4.0
JOSEPH CIRO AMATURO	FID	SLW	B	5000	37.95	n/a	n/a	0.00	0.0
PILOT ADV	041	SNY	S	11,400	38.82	n/a	n/a	342.00	3.0
ALANA J A MADIGAN-SI	FID	SOXL	B	475	38.40	n/a	n/a	0.00	0.0
PZENA INV ADV	044	SPLS	B	6,175	15.27	n/a	n/a	216.13	3.5
MERRILL ASSET/BLACKROCK	297	SPR	B	13,780	20.53	n/a	24-Jun	551.20	4.0
TUDOR INVESTORS	028	SPY	B	3,000	132.60	n/a	n/a	90.00	3.0
BUCK CAPITAL MGMT		SUMR	S	10,000	8.52	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		SUMR	S	10,000	8.52	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		SUMR	S	14,215	8.53	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		SUMR	S	9,768	8.54	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		SUMR	S	9,768	8.54	n/a	n/a	non-brg	0.8
FIRST NEW YORK LEDDY	035	S	S	20,000	5.25	n/a	n/a	800.00	4.0
PZENA INV ADV	044	TAP	B	4,350	44.44	n/a	n/a	152.25	3.5
NEWLAND CAPITAL MGT	025	TEU	S	90,500	10.74	n/a	n/a	3,620.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLONIAL FUND LLC	036	TIN	B	15,000	31.16	n/a	14-Jul	750.00	5.0
ELM RIDGE ADVISORS	044	TIN	S	134,921	31.19	n/a	14-Jul	5,396.84	4.0
TUDOR INVESTORS	028	TKR	B	5,000	49.43	n/a	n/a	150.00	3.0
CASTLEROCK	040	TPX	SS	36,544	65.48	n/a	n/a	1,461.76	4.0
COBALT	041	TSN	B	100,000	18.66	n/a	n/a	4,000.00	4.0
BNP PARIBAS SEC	040	URBN	B	6,600	31.23	n/a	n/a	330.00	5.0
TUDOR INVESTORS	028	UTX	SS	6,000	88.44	n/a	n/a	180.00	3.0
<b>f MARK ANTHONY GRONET</b>	<b>FID</b>	<b>UXG</b>	<b>B</b>	<b>3000</b>	<b>7.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SCOPUS FUND	041	WMT	SS	40,000	53.46	n/a	18-May	1,600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>36,711</b>	<b>55.74</b>	<b>n/a</b>	<b>6-May</b>	<b>non-brg</b>	<b>4.0</b>
NUVEEN - US BANCORP		030 WTW	S	33,831	74.54		n/a	n/a	1,522.42 4.5
NUVEEN - US BANCORP		030 WYNN	S	20,521	158.00		n/a	13-Jun	923.46 4.5
SENATOR INVESTMENT GROUP	036	XLB	B	15,000	39.45	n/a	n/a	450.00	3.0
JANA CAPITAL	044	XLI	C	100,000	37.11	n/a	n/a	4,000.00	4.0
<b>f JOEL GIFFORD TISS</b>	<b>FID</b>	<b>XPO</b>	<b>B</b>	<b>26966</b>	<b>3.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>XPO</b>	<b>B</b>	<b>2500</b>	<b>3.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		XRT	C	603,737	54.15	n/a	n/a	non-brg 1.0
TUDOR INVESTORS	028	X	B	4,000	42.71	n/a	n/a	120.00 3.0
SIGMA CAPITAL ASSOCIATES	041	YUM	B	50,000	56.10	n/a	14-Jul	1,750.00 3.5
TOTAL (Trade Date):								58,345.32



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/13	ROSEDALE CAPITAL	046	F	B	30,000	13.26	n/a	14-Jul	1200.00 4.0
AO,CXL	07/13	ROSEDALE CAPITAL	046	F	B	30,000	13.26	n/a	14-Jul	1500.00 5.0
AO,CRT	07/12	SHIKIAR ASSET	029	NUE	B	6,500	39.84	n/a	n/a	325.00 5.0
AO,CXL	07/12	SHIKIAR ASSET	029	NUE	B	6,500	39.84	n/a	n/a	325.00 5.0
AO,CXL	07/13	PAULSON & CO INC	041	SPY	SS	19,400	132.42	n/a	n/a	776.00 4.0
AO,CRT	07/13	PAULSON & CO INC	041	SPY	SS	19,400	132.42	n/a	n/a	776.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-300.00</b>
TOTAL (Trade Date) :										58,345.32
TOTAL (AO, CXL, CRT) :										-300.00
<b>TOTAL (RUN DATE) / NFS:</b>										<b>58,045.32</b>

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRT	07/13	BUCK CAPITAL MGMT	MSMSBCM	SS	6,404,731	102.44	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 14-Jul.  
NONE

MRI Securities without Trade Activity on 14-Jul.  
KEG

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 1%  
YTD = 3%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**