



Daily Compliance Activity Report

Trade Date: 03/05/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	SS	20,000	21.25	5-Feb	4-Mar	1,000.00	5.0
COUGAR TRADING	044	AEO	S	25,000	21.61	5-Feb	4-Mar	1,250.00	5.0
MERRILL ASSET	032	AMG	S	91,600	93.55	9-Jan	29-Feb	4,122.00	4.5
WENTWORTH HAUSER VIOLICH	033	AMG	B	5,185	92.89	9-Jan	29-Feb	207.40	4.0
COUGAR TRADING	044	ANF	B	5,000	79.04	10-Jan	29-Feb	250.00	5.0
BALYASNY ASSET MGMT	044	ARO	B	20,000	27.55	29-Nov	5-Mar	1,000.00	5.0
J L F ASSET MGMT	039	ARO	S	30,000	27.56	29-Nov	5-Mar	1,200.00	4.0
B BUCK CAPITAL MGMT		BDK	C	40,000	68.50	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BIG	SS	75,000	21.01	6-Mar	4-Mar	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	BIG	B	15,000	20.08	6-Mar	4-Mar	600.00	4.0
PEAK MACAYA CAP MGMT	044	BIG	SS	11,700	21.20	6-Mar	4-Mar	585.00	5.0
NICOLAS APPLGATE	048	BIOS	B	8,970	7.00	n/a	n/a	269.10	3.0
B BUCK CAPITAL MGMT		BJ	C	5,000	34.50	n/a	27-Jul	non-brg	1.0
B BUCK CAPITAL MGMT		BJ	C	71,000	36.22	n/a	27-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		BLK	SS	22,600	203.56	21-Nov	29-Feb	non-brg	4.0
SARAS CAPITAL	038	BLK	B	10,000	204.32	21-Nov	29-Feb	500.00	5.0
ROTHSCHILD ASSET	040	BRS	S	17,600	55.11	n/a	n/a	792.00	4.5
BRANDWINE	028	BSC	B	12,900	78.04	21-Dec	21-Feb	580.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	BWA	B	10,000	42.76	14-Jan	8-Feb	500.00	5.0
FIRST NY SEC/CHRIS	041	BWA	B	15,000	42.74	14-Jan	8-Feb	750.00	5.0
DIAMONDBACK CAP MGMT	232	CC	C	25,000	4.30	n/a	27-Jul	1,250.00	5.0
COUGAR TRADING	044	CHS	B	10,000	9.14	31-Jan	29-Feb	500.00	5.0
BUCK CAPITAL MGMT	001	COH	B	99,000	30.32	24-Oct	24-Jan	3,960.00	4.0
B BUCK CAPITAL MGMT		COH	C	1,000	30.46	24-Oct	24-Jan	non-brg	1.0
COLONIAL FUND LLC	036	COH	SS	45,000	30.24	24-Oct	24-Jan	2,250.00	5.0
COUGAR TRADING	044	COH	SS	5,000	30.57	24-Oct	24-Jan	250.00	5.0
LISTED ERR	099	COH	SS	90,000	30.24	24-Oct	24-Jan	0.00	0.0
CASTLE ARK	031	COST	SS	1,000	61.10	n/a	27-Jul	60.00	6.0
RHO CAPITAL MGMT	041	CPST	S	17,000	2.04	n/a	n/a	510.00	3.0
B BUCK CAPITAL MGMT		CROX	B	20,000	20.47	n/a	n/a	non-brg	1.0
JP MORGAN VENTURES	046	CROX	S	50,000	21.89	n/a	n/a	2,500.00	5.0
POLYGON INVESTMENT	034	CSX	S	250,000	49.13	n/a	n/a	12,500.00	5.0
METROPOLITAN CAPITAL	044	DSW	B	100	16.69	26-Oct	8-Feb	5.00	5.0
B BUCK CAPITAL MGMT		EL	C	30,000	43.96	4-Jan	4-Feb	non-brg	4.0
POST ROAD CAP	045	FDO	B	30,000	20.38	8-Jan	4-Mar	900.00	3.0
IRONBOUND CAPITAL	012	FOR	B	2,068	24.00	n/a	n/a	103.40	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EMP ERROR	099	FOR	B	466	24.11	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	FO	S	27,900	64.54	n/a	n/a	1,116.00	4.0
NCNC	035	FO	S	365	64.54	n/a	n/a	14.60	4.0
JENNISON	032	GE	S	78,800	33.64	n/a	n/a	3,152.00	4.0
B BUCK CAPITAL MGMT		GIII	S	5,000	12.62	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	36.82	22-Jan	31-Jan	400.00	4.0
COUGAR TRADING	044	GPS	S	25,000	20.94	n/a	29-Feb	1,250.00	5.0
DAWSON SANBERG & CO	041	GPS	S	150,000	20.51	n/a	29-Feb	7,500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	GPS	SS	10,000	21.00	n/a	29-Feb	500.00	5.0
WENTWORTH HAUSER VIOLICH	033	GRP	S	7,840	51.40	n/a	n/a	313.60	4.0
COUGAR TRADING	044	GYMB	S	10,000	41.46	n/a	29-Feb	500.00	5.0
CROWLEY	071	HCGI	S	10,000	0.68	n/a	n/a	0.00	0.0
CATTANI	041	HRS	B	400	47.70	11-Jan	29-Feb	0.00	0.0
ARIENCE CAPITAL	036	IVZ	B	28,200	24.24	20-Dec	29-Feb	1,410.00	5.0
COUGAR TRADING	044	IVZ	B	15,000	24.02	20-Dec	29-Feb	750.00	5.0
IRONBOUND CAPITAL	012	IVZ	B	25,000	24.03	20-Dec	29-Feb	1,250.00	5.0
BEAR STEARNS ASSET MGMT.	033	JCP	S	70,000	48.05	4-Jan	29-Feb	2,800.00	4.0
COUGAR TRADING	044	JCP	B	5,000	49.03	4-Jan	29-Feb	250.00	5.0
THE JAY GOLDMAN	034	JWN	B	45,000	38.28	26-Feb	29-Feb	1,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	KSS	SS	30,000	45.30	4-Jan	29-Feb	1,500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	KSS	S	10,000	45.28	4-Jan	29-Feb	500.00	5.0
CATTANI	041	LESCJ	B	2	2.00	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	LOW	SS	20,000	24.00	n/a	27-Jul	1,000.00	5.0
COLUMBIA PARTNERS	028	MBI	B	60,000	13.56	n/a	n/a	2,400.00	4.0
BRANDWINE	028	MS	B	30,900	41.47	20-Dec	21-Feb	1,390.50	4.5
LISTED ERR	028	MS	B	8,100	41.47	20-Dec	21-Feb	364.50	4.5
LISTED ERR	099	MS	S	119	41.47	20-Dec	21-Feb	0.00	0.0
THE JAY GOLDMAN	034	M	SS	15,000	26.06	7-Feb	29-Feb	600.00	4.0
B BUCK CAPITAL MGMT		PERY	S	5,000	19.89	n/a	n/a	non-brg	4.0
CR INTRINIC INVESTMENT	044	PFE	B	100,000	21.89	n/a	31-Mar	5,000.00	5.0
B BUCK CAPITAL MGMT		PLCE	B	20,000	22.12	10-Jan	29-Feb	non-brg	4.0
ELM RIDGE ADVISORS	044	PRX	B	154,800	17.24	28-Sep	4-Mar	6,192.00	4.0
COUGAR TRADING	044	PSUN	B	10,000	11.54	n/a	29-Feb	500.00	5.0
BAIRD INVESTMENT	031	RL	B	3,675	61.54	7-Feb	15-Feb	183.75	5.0
B BUCK CAPITAL MGMT		RRGB	C	20,000	36.11	n/a	n/a	non-brg	4.0
J L F ASSET MGMT	039	SBUX	SS	50,900	18.06	n/a	24-Apr	2,036.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CR INTRINIC INVESTMENT	044	SGP	S	150,000	20.53	n/a	n/a	7,500.00	5.0
CATTANI	041	SLBCR	B	2	1.40	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	SNV	SS	100,000	11.33	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		SONC	C	40,000	21.26	n/a	n/a	non-brg	4.0
CATTANI	041	SWN	S	200	67.50	29-Feb	29-Feb	0.00	0.0
B BUCK CAPITAL MGMT		SWY	B	100,000	29.28	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SYNP	S	20,000	5.18	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	TJX	S	10,000	33.01	n/a	29-Feb	500.00	5.0
VICTORIA INVESTORS LP	060	TJX	B	5,000	33.40	n/a	29-Feb	250.00	5.0
B BUCK CAPITAL MGMT		TPX	B	20,000	16.65	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	TWB	S	40,000	29.85	21-Feb	21-Feb	1,600.00	4.0
BENCHMARK	028	TYC	B	5,000	41.85	9-Nov	25-Feb	250.00	5.0
B BUCK CAPITAL MGMT		UA	S	30,000	36.83	n/a	n/a	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	UCBH	B	25,000	9.20	n/a	n/a	750.00	3.0
BALYASNY ASSET MGMT	044	URBN	B	10,000	29.39	29-Feb	29-Feb	500.00	5.0
B BUCK CAPITAL MGMT		URBN	S	20,000	29.93	29-Feb	29-Feb	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORRISON & FRASIER	040	VQ	S	12,000	12.79	n/a	n/a	600.00	5.0
BUCK CAPITAL MGMT	001	WHR	B	30,000	85.87	n/a	n/a	1,200.00	4.0
PROVIDENT INV COUNSEL	048	XTO	B	98,900	61.70	n/a	n/a	3,956.00	4.0
B BUCK CAPITAL MGMT		ZZ	C	20,000	8.11	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):							104,173.35		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/04	PEAK MACAYA CAP MGMT	044	CACC	B	1,490	14.53	n/a	n/a	74.50 5.0
AO,CXL	03/04	PEAK MACAYA CAP MGMT	044	CACC	B	1,490	14.53	n/a	n/a	7450.00 500.0
AO,CRT	03/04	BALYASNY ASSET MGMT	044	CHS	B	40,000	9.35	31-Jan	29-Feb	1600.00 4.0
AO,CXL	03/04	BALYASNY ASSET MGMT	044	CHS	B	40,000	9.35	31-Jan	29-Feb	2000.00 5.0
AO,	03/04	FIRST NEW YORK LEDDY	035	COH	SS	15,000	30.06	24-Oct	24-Jan	600.00 4.0
AO,	03/04	LEVIN CAPITAL STRATEGIES	012	COH	SS	15,000	30.03	24-Oct	24-Jan	600.00 4.0
AO,CXL	03/04	LEVIN CAPITAL STRATEGIES	012	COH	SS	15,000	30.03	24-Oct	24-Jan	600.00 4.0
AO,CRT	03/04	EMP ERROR	099	FOR	S	466	24.40	n/a	n/a	0.00 0.0
AO,CXL	03/04	LEDDY	035	FOR	S	466	24.40	n/a	n/a	0.00 0.0
AO,CRT	02/06	LISTED ERR	099	KSS	B	5,000	43.59	4-Jan	29-Feb	0.00 0.0
AO,CXL	02/06	LISTED ERR	099	KSS	B	5,000	43.57	4-Jan	29-Feb	0.00 0.0
AO,CRT	02/28	NATIONAL BK OF CAN	031	LEH	B	25,000	55.23	n/a	27-Feb	1000.00 4.0
AO,CXL	02/28	NATIONAL BK OF CAN	031	LEH	B	25,000	55.23	n/a	27-Feb	1250.00 5.0
AO,CXL	02/26	NATIONAL BK OF CAN	031	LEH	SS	25,000	57.41	n/a	27-Feb	1250.00 5.0
AO,CRT	02/26	NATIONAL BK OF CAN	031	LEH	SS	25,000	57.41	n/a	27-Feb	1000.00 4.0
AO,	03/04	LISTED ERR	099	NOK	B	943,800	33.85	n/a	n/a	0.00 0.0
AO,	03/04	LISTED ERR	099	NOK	S	943,800	33.85	n/a	n/a	0.00 0.0
AO,	03/04	JENNISON	032	NOK	B	943,800	33.82	n/a	n/a	37752.00 4.0
AO,CRT	03/04	REINHART & MAHONEY	031	WEC	B	140	44.12	n/a	n/a	4.20 3.0
AO,CXL	03/04	REINHART & MAHONEY	031	WEC	B	140	44.12	n/a	n/a	4.20 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/04	STRAUSS ASSET MGMT	040	XMSR	S	25,000	11.19	n/a	n/a	1000.00 4.0
AO,CXL	03/04	STRAUSS ASSET MGMT	040	XMSR	S	25,000	11.19	n/a	n/a	1250.00 5.0
TOTAL (AO, CXL, CRT) :										29,826.50

TOTAL (Trade Date) :	104,173.35
TOTAL (AO, CXL, CRT) :	29,826.50

TOTAL (RUN DATE) / NFS:	133,999.85
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MRI Securities with Trade Activity on 5-Mar.
NONE

MRI Securities without Trade Activity on 5-Mar.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 25%
YTD = 27%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION