



Daily Compliance Activity Report

Trade Date: 12/01/2006

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BECK MACK & OLIVER LLC	044	ABT	B	250	46.77	n/a	22-Apr	12.50	5.0
B BUCK CAPITAL MGMT		ADTN	SS	30,000	21.45	n/a	22-Mar	non-brg	5.0
RIVER RUN MGMT	031	AMRN	S	11,000	2.40	n/a	n/a	330.00	3.0
COUGAR TRADING	031	ANF	B	5,000	67.57	3-Nov	1-Dec	250.00	5.0
ALSON CAPITAL	039	ANN	C	140,000	34.27	n/a	1-Dec	7,000.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	20,000	30.06	23-Oct	1-Dec	800.00	4.0
COUGAR TRADING	044	ARO	B	5,000	29.99	23-Oct	1-Dec	250.00	5.0
B BUCK CAPITAL MGMT		AVCI	SS	40,000	7.39	n/a	n/a	non-brg	5.0
BARR	096	AVGN	S	6,900	6.05	n/a	n/a	0.00	0.0
GAULT	063	AVNX	S	11,000	1.90	n/a	n/a	0.00	0.0
MORRISON & FRASIER	040	BBW	S	10,000	30.12	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		BEBE	B	10,000	20.10	n/a	n/a	non-brg	5.0
WYCKOFF	013	BEBE	S	200	20.12	n/a	n/a	0.00	0.0
COUGAR TRADING	031	BIG	SS	5,000	21.82	16-Nov	1-Dec	250.00	5.0
EGS ASSOCIATES LP	059	BIG	SS	5,000	21.90	16-Nov	1-Dec	300.00	6.0
B BUCK CAPITAL MGMT		BKRS	S	5,000	12.75	n/a	n/a	non-brg	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BECK MACK & OLIVER LLC	044	BMD	B	4,800	2.74	n/a	n/a	144.00	3.0
MADIGAN	031	BMV	B	200	24.72	n/a	n/a	0.00	0.0
RIDGECRST PARTNERS	035	BONT	B	5,000	36.61	23-Oct	1-Dec	250.00	5.0
SUSQUEHANNA CAPITAL	033	BOW	B	50,000	21.71	27-Oct	27-Oct	2,500.00	5.0
SUN AMERICA ASSET MGMT	030	BSC	B	27,300	151.09	16-Jun	13-Nov	1,365.00	5.0
BECK MACK & OLIVER LLC	044	BSX	B	2,400	15.82	n/a	22-Apr	120.00	5.0
LOOMIS SALES/BOSTON	036	CAB	C	40,000	24.11	n/a	n/a	2,000.00	5.0
MCERLEAN	N16	CALPINE CORP	S	10,000	81.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CBE	SS	40,000	90.77	25-Oct	25-Oct	non-brg	5.0
SCHEINER	037	CBK	S	400	18.41	3-Nov	1-Dec	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CC	S	75,000	24.78	n/a	16-Nov	3,000.00	4.0
CAPITAL GROWTH MGMT.	241	CLB	B	20,000	88.58	7-Aug	22-Nov	1,000.00	5.0
BUCK CAPITAL MGMT	001	CLE	S	170,000	33.12	3-Nov	1-Dec	6,800.00	4.0
B BUCK CAPITAL MGMT		CLE	S	100,000	33.28	3-Nov	1-Dec	non-brg	5.0
ETON PARK CAPITAL	036	CLE	B	350,000	33.24	3-Nov	1-Dec	14,000.00	4.0
BUCK CAPITAL MGMT	001	COH	B	5,000	42.61	25-Oct	25-Oct	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC TRADING HOWARD	038	COST	B	20,000	52.15	n/a	1-Dec	1,000.00	5.0
TURETSKY	038	CPNLQ	S	10,000	1.18	n/a	1-Dec	0.00	0.0
SAILFISH	037	CSX	SS	25,000	36.14	n/a	n/a	1,250.00	5.0
BECK MACK & OLIVER LLC	044	CVX	S	500	72.58	n/a	n/a	25.00	5.0
B BUCK CAPITAL MGMT		CWTR	C	15,000	24.13	n/a	n/a	non-brg	5.0
HIGHBRIDGE CAPITAL	038	CZN	B	31,000	14.06	n/a	n/a	1,550.00	5.0
B BUCK CAPITAL MGMT		DIA	SS	10,000	121.26	n/a	n/a	non-brg	3.0
SAILFISH	037	DISH	SS	15,000	36.16	7-Nov	28-Nov	750.00	5.0
KACELA CAPITAL	034	DLIA	B	5,000	9.60	n/a	n/a	200.00	4.0
SOMERSET ADV	044	ETM	S	18,300	26.88	n/a	n/a	915.00	5.0
MORGAN LEWIS/MLH	046	FCX	B	10,000	61.92	n/a	n/a	500.00	5.0
SAILFISH	037	FD	B	25,000	41.00	9-Nov	1-Dec	1,250.00	5.0
B BUCK CAPITAL MGMT		FLDR	SS	5,000	9.83	n/a	n/a	non-brg	1.5
MILLENIUUM PARTNERS	028	FSL	B	2,500	39.95	n/a	n/a	125.00	5.0
MILLENIUUM PARTNERS	028	FSL	S	20,000	39.98	n/a	n/a	1,000.00	5.0
HIGHBRIDGE CAPITAL	038	GCI	B	70,263	59.62	n/a	n/a	3,513.15	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	GLD	B	200	64.40	n/a	n/a	0.00	0.0
FORD FDN.	032	GS	S	1,800	196.15	9-Nov	13-Nov	90.00	5.0
US BANCORP	030	GS	S	7,792	193.68	9-Nov	13-Nov	389.60	5.0
FASS	030	GS	S	17,077	193.68	9-Nov	13-Nov	853.85	5.0
B BUCK CAPITAL MGMT		GTRC	B	5,000	44.55	n/a	n/a	non-brg	5.0
NICOLAS APPLGATE	048	GTXI	B	12,723	15.77	n/a	n/a	508.92	4.0
B BUCK CAPITAL MGMT		GWW	SS	20,000	71.58	16-Nov	16-Nov	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	HPY	B	43,600	28.43	n/a	n/a	1,744.00	4.0
B BUCK CAPITAL MGMT		IKAN	B	10,000	9.01	n/a	n/a	non-brg	5.0
RIVER RUN MGMT	031	ITCD	B	1,600	2.49	n/a	n/a	48.00	3.0
B BUCK CAPITAL MGMT		JCG	B	15,000	38.89	n/a	22-Nov	non-brg	5.0
BEAR STEARNS ASSET MGMT.	033	JCI	SS	20,000	81.31	26-Oct	26-Oct	800.00	4.0
THE JAY GOLDMAN	034	JCP	SS	20,000	76.08	10-Nov	1-Dec	800.00	4.0
WHITE PINE CAPITAL	030	JCS	S	1,275	9.30	n/a	n/a	63.75	5.0
WEISS PECK	040	JNS	SS	39,600	20.12	1-Dec	1-Dec	1,980.00	5.0
SCHEINER	037	KEGS	B	480	15.25	7-Aug	29-Nov	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		KMT	SS	15,000	60.13	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	KSS	B	20,000	68.98	10-Nov	1-Dec	800.00	4.0
THE JAY GOLDMAN	034	KSS	B	20,000	68.95	10-Nov	1-Dec	800.00	4.0
MAZAMA CAPITAL MGMT.	035	LAZ	S	18,050	45.13	n/a	n/a	722.00	4.0
TROUBH PART	067	LVLTT	S	75,000	5.50	18-Oct	1-Dec	3,750.00	5.0
B BUCK CAPITAL MGMT		MDTL	SS	50,000	19.57	n/a	n/a	non-brg	5.0
SUN AMERICA ASSET MGMT	030	MER	S	25,500	86.56	17-Oct	13-Nov	1,275.00	5.0
OPPENHEIMER MGMT.	036	MHS	B	61,900	49.08	n/a	n/a	2,476.00	4.0
WEINTRAUB CAPITAL MGT.	040	MIR	B	4,600	30.59	n/a	n/a	230.00	5.0
SCHEINER	037	MLI	B	3,000	33.45	24-Oct	24-Oct	0.00	0.0
BECK MACK & OLIVER LLC	044	MOLXA	B	400	28.20	n/a	n/a	20.00	5.0
B BUCK CAPITAL MGMT		MSMSBRTL	SS	200,000	24.40	n/a	n/a	non-brg	2.4
SAC DOUG RODGERS	040	MS	B	25,000	75.50	21-Sep	13-Nov	1,250.00	5.0
SUN AMERICA ASSET MGMT	030	MS	S	25,200	75.50	21-Sep	13-Nov	1,260.00	5.0
BECK MACK & OLIVER LLC	044	MTR	S	500	50.40	n/a	n/a	25.00	5.0
B BUCK CAPITAL MGMT		MVRX	SS	27,500	185.73	n/a	n/a	non-brg	18.6

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUSQUEHANNA CAPITAL	033	MWV	SS	25,000	29.34	n/a	2-Nov	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		NDN	S	10,000	10.90	14-Aug	1-Dec	non-brg	5.0
⌘ BUCK CAPITAL MGMT		NDSN	SS	5,000	47.38	n/a	n/a	non-brg	1.5
BECK MACK & OLIVER LLC	044	NLC	S	500	19.71	n/a	n/a	25.00	5.0
RH CAPITAL ASSOCIATES	060	NTLI	B	42,440	24.00	n/a	n/a	2,122.00	5.0
⌘ BUCK CAPITAL MGMT		NWY	S	15,000	12.78	1-Dec	1-Dec	non-brg	5.0
BUCK CAPITAL MGMT	001	OMX	SS	20,000	46.60	n/a	15-Apr	800.00	4.0
EFSTATHIOU	044	OVTI	B	200	13.57	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	SS	30,000	46.68	n/a	n/a	1,200.00	4.0
BECK MACK & OLIVER LLC	044	PFE	S	800	27.67	n/a	31-Mar	40.00	5.0
⌘ BUCK CAPITAL MGMT		PH	SS	10,000	81.71	n/a	n/a	non-brg	5.0
EGS ASSOCIATES LP	059	PLCE	B	3,000	63.23	n/a	30-Nov	180.00	6.0
LOOMIS SALES/BOSTON	036	PPC	C	175,000	25.45	n/a	n/a	8,750.00	5.0
COUGAR TRADING	044	PSUN	B	5,000	19.62	3-Aug	1-Dec	250.00	5.0
J L F ASSET MGMT	039	PSUN	B	43,800	19.68	3-Aug	1-Dec	1,752.00	4.0
⌘ BUCK CAPITAL MGMT		PVH	SS	5,000	49.80	22-Nov	22-Nov	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAPITAL	038	Q	B	56,000	7.59	n/a	n/a	2,800.00	5.0
⌘ BUCK CAPITAL MGMT		RAIL	SS	5,000	53.97	n/a	n/a	non-brg	1.5
⌘ BUCK CAPITAL MGMT		RL	SS	10,000	78.90	9-Nov	9-Nov	non-brg	5.0
BECK MACK & OLIVER LLC	044	ROP	B	3,150	51.16	n/a	n/a	157.50	5.0
⌘ BUCK CAPITAL MGMT		ROST	SS	30,000	30.64	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		RTH	SS	50,000	97.39	n/a	n/a	non-brg	3.0
ZOLIDIS	011	SCSS	B	600	17.68	n/a	n/a	0.00	0.0
CIBC ADV.	060	SKS	SS	10,000	16.71	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		SPY	SS	10,000	139.35	n/a	n/a	non-brg	3.0
SUSQUEHANNA CAPITAL	033	SSCC	B	25,000	10.66	26-Oct	27-Oct	1,250.00	5.0
SUSQUEHANNA CAPITAL	033	TIN	S	25,000	39.04	n/a	27-Oct	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		TKR	SS	15,000	29.38	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	TLB	B	10,000	24.42	18-Oct	16-Nov	400.00	4.0
COUGAR TRADING	044	TLB	B	15,000	24.49	18-Oct	16-Nov	750.00	5.0
SATELLITE ASSET MGMT	012	TOL	B	30,000	32.11	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		TRLG	B	15,000	14.98	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BECK MACK & OLIVER LLC	044	TRXB	S	6	14.93	n/a	n/a	0.30	5.0
BUCK CAPITAL MGMT	001	TWB	B	30,000	41.23	5-Sep	16-Nov	1,200.00	4.0
MADIGAN	031	T	S	359	33.95	21-Sep	1-Dec	0.00	0.0
MADIGAN	031	VZ	B	200	34.55	20-Nov	1-Dec	0.00	0.0
HIGHBRIDGE CAPITAL	038	WIN	B	33,000	13.79	n/a	n/a	1,650.00	5.0
CAPITAL GROWTH MGMT.	241	WLL	B	20,000	47.77	n/a	n/a	1,000.00	5.0
SUN AMERICA ASSET MGMT	030	WMT	S	52,300	45.65	5-Oct	1-Dec	2,615.00	5.0
SOMERSET ADV	044	WON	S	7,500	6.47	n/a	n/a	300.00	4.0
GALLEON MGMT LP	033	WPSC	S	25,000	16.96	n/a	n/a	1,250.00	5.0
KORMAN	003	ZOLT	S	3,000	22.11	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :							105,727.57		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	11/30	EGS ASSOCIATES LP (EGASBANC O)	059	AEOS	B	5,000	45.27	15-Nov	1-Dec	300.00 6.0
AO,CXL	11/30	EGS ASSOCIATES LP (EGASBANC O)	059	AEOS	C	5,000	45.27	15-Nov	1-Dec	300.00 6.0
AO,CXL	11/30	EGS ASSOCIATES LP (EGASBANC O)	059	AEOS	C	3,500	45.27	15-Nov	1-Dec	210.00 6.0
AO,CXL	11/30	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	ANF	B	74,000	67.03	3-Nov	1-Dec	2960.00 4.0
AO,CXL	11/30	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	ANF	B	238,800	67.03	3-Nov	1-Dec	9552.00 4.0
AO,	11/30	STRONG/MARK GRONET (SCMG9969)	030	COMS	B	300,000	4.17	n/a	n/a	9000.00 3.0
AO,	11/30	ANTEUS ASSOC.BAEL (ANTAFRBNTR)	065	DELL	S	651	27.75	n/a	n/a	32.55 5.0
AO,	11/30	ANTEUS ASSOC.BAEL (ANTASARBTR)	065	DELL	S	651	27.75	n/a	n/a	32.55 5.0
AO,	11/30	ANTEUS ASSOC.BAEL (ANTAJBEITR)	065	DELL	S	651	27.75	n/a	n/a	32.55 5.0
AO,	11/30	ANTEUS ASSOC.BAEL (ANTAFWBETR)	065	DELL	S	651	27.75	n/a	n/a	32.55 5.0
AO,CXL	11/30	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	KSS	B	280,000	69.22	10-Nov	1-Dec	11200.00 4.0
AO,CXL	11/30	SATELLITE ASSET MGMT (SATEUBS/PA)	012	LEN	B	10,000	51.22	n/a	n/a	500.00 5.0
AO,	11/30	SATELLITE ASSET MGMT (SATEUBS/PA)	012	LEN	B	10,000	51.22	n/a	n/a	400.00 4.0
AO,	11/22	PROSPECTOR PARTNERS LLC (PROSWMIG)	036	MEE	S	500	25.24	n/a	n/a	25.00 5.0
AO,CXL	11/22	PROSPECTOR PARTNERS LLC (PROSCLOSED)	036	MEE	S	500	25.24	n/a	n/a	25.00 5.0
AO,	11/30	MORGAN BANK /JPM (JPMB534501)	012	NWSA	S	18,501	20.60	n/a	n/a	370.02 2.0
AO,CRT	11/30	MKT MAKER (MKT MAKER)	099	PLCE	B	100	64.45	n/a	30-Nov	0.00 0.0
AO,	11/30	COUGAR TRADING (COUGPB MS)	044	SSI	S	5,000	32.61	n/a	n/a	250.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	11/30	SATELLITE ASSET MGMT (SATEUBS/PA)	012	TCO	S	4,900	49.16	n/a	n/a	245.00	5.0
AO,	11/30	SATELLITE ASSET MGMT (SATEUBS/PA)	012	TCO	S	4,900	49.16	n/a	n/a	196.00	4.0
AO,	11/30	ANTEUS ASSOC.BAEL (ANTAFRBNTR)	065	XOM	S	448	76.38	n/a	n/a	22.40	5.0
AO,	11/30	ANTEUS ASSOC.BAEL (ANTASARBTR)	065	XOM	S	448	76.38	n/a	n/a	22.40	5.0
AO,	11/30	ANTEUS ASSOC.BAEL (ANTAJBEITR)	065	XOM	S	448	76.38	n/a	n/a	22.40	5.0
AO,	11/30	ANTEUS ASSOC.BAEL (ANTAFWBETR)	065	XOM	S	448	76.38	n/a	n/a	22.40	5.0

TOTAL (AO, CXL, CRT) :	-14,231.18
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TOTAL (Trade Date) :	105,727.57
TOTAL (AO, CXL, CRT) :	-14,231.18

TOTAL (RUN DATE) / NFS:	91,496.39
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 25%

YTD = 23%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION