

Daily Compliance Activity Report Trade Date: 04/21/2010

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CATAPULT	040	AMTD	В	15,000	20.29	n/a	n/a	750.00	5.0
MILLENIUM PARTNERS	028	AN	S	15,000	20.47	n/a	20-Apr	600.00	4.0
RBC CAPITAL MARKETS	040	AXL	В	50,000	11.11	n/a	20-Apr	2,000.00	4.0
CATAPULT	040	BAC	S	10,000	18.85	n/a	19-Apr	500.00	5.0
NEUBERGER BERMAN	036	BAX	S	50,300	58.70	n/a	n/a	2,012.00	4.0
GREEN ARROW DOUG	040	BGC	SS	10,000	29.74	n/a	n/a	500.00	5.0
EXIS CAPITAL	044	BONT	В	5,000	16.75	n/a	n/a	250.00	5.0
TRELLUS PARTNERS LP	028	BZ	В	25,000	6.93	n/a	n/a	1,250.00	5.0
HARBORWATCH/DIAMBACK	232	CAT	SS	20,000	67.25	n/a	15-Apr	1,000.00	5.0
RBC CAPITAL MARKETS	040	CCL	SS	5,000	39.76	n/a	n/a	200.00	4.0
CATAPULT	040	CFR	В	1,244	58.38	n/a	n/a	62.20	5.0
LISTED ERR	099	CHRW	S	25,000	59.00	n/a	n/a	0.00	0.0
GREEN ARROW DOUG	040	CLW	В	10,457	55.74	n/a	n/a	522.85	5.0
GREEN ARROW DOUG	040	СТВ	SS	40,000	21.09	n/a	n/a	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
EXIS CAPITAL	044	CWTR	В	20,000	8.32	n/a	n/a	1,000.00	5.0
EMERSON CAPITAL MGMT	048	DBRN	В	9,000	28.94	n/a	n/a	360.00	4.0
IRIDIAN ASSET MGMT.	036	DCP	S	19,510	17.17	n/a	n/a	975.50	5.0
RBC CAPITAL MARKETS	040	DDS	SS	15,000	28.09	n/a	n/a	600.00	4.0
SANDLER O'NEILL ASSET	031	DFS	В	25,000	15.79	n/a	21-Apr	1,250.00	5.0
GREEN ARROW DOUG	040	DLM	SS	10,000	16.05	n/a	n/a	500.00	5.0
GREEN ARROW DOUG	040	DW	В	7,500	25.86	n/a	n/a	375.00	5.0
GREEN ARROW DOUG	040	EFA	SS	5,000	56.76	n/a	n/a	250.00	5.0
RBC CAPITAL MARKETS	040	EXPD	В	25,000	40.18	n/a	n/a	1,000.00	4.0
ST OF MICHIAN	047	FE	В	360,000	37.85	n/a	n/a	10,800.00	3.0
CATAPULT	040	FITB	В	10,000	14.85	n/a	n/a	500.00	5.0
VISIUM/BALY BOBBY	044	FL	В	2,100	15.65	n/a	14-Apr	105.00	5.0
NWQ INVESTMENT MGMT CO	048	FMR	В	17,000	13.60	n/a	n/a	680.00	4.0
GREEN ARROW DOUG	040	FXI	SS	5,000	42.13	n/a	n/a	250.00	5.0
MILLENIUM PARTNERS	028	F	В	50,000	14.09	n/a	20-Apr	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	;/Shr.
OZ MGMT	040	F	В	100,000	14.13	n/a	20-Apr	3,000.00	3.0
RBC CAPITAL MARKETS	040	F	В	150,000	14.06	n/a	20-Apr	3,000.00	2.0
SCOPUS FUND	041	GE	S	300,000	18.90	n/a	n/a	15,000.00	5.0
BALYASNY ASSET MGMT	044	GLW	SS	50,000	20.33	n/a	19-Mar	2,500.00	5.0
CASTLEROCK	040	GLW	В	77 , 289	20.44	n/a	19-Mar	3,091.56	4.0
MERRILL ASSET/BLACKROCK	263	HSC	В	7,500	32.99	n/a	1-Feb	300.00	4.0
DSG CAPITAL	225	IR	В	10,000	38.03	n/a	16-Feb	300.00	3.0
WAGONHOUND/NIC	048	JCI	В	6,198	34.48	n/a	26-Mar	247.92	4.0
CAERUS GLOBAL	041	JCP	В	5,000	30.01	n/a	21-Apr	200.00	4.0
EXIS CAPITAL	044	JCP	SS	15,000	30.46	n/a	21-Apr	750.00	5.0
CATAPULT	040	JNS	S	10,000	15.41	n/a	n/a	500.00	5.0
EGS ASSOCIATES LP	091	JNY	В	3,000	21.63	n/a	14-Apr	180.00	6.0
FARALLON CAP MGMT	040	JOE	SS	83,400	35.82	n/a	n/a	3,336.00	4.0
APEX CAPITAL MGMT.	261	KEY	S	200,000	9.05	n/a	n/a	6,000.00	3.0
RBC CAPITAL MARKETS	040	KSS	В	7,500	56.33	n/a	9-Apr	300.00	4.0
OZ MGMT	040	LEA	В	20,000	84.48	n/a	20-Apr	600.00	3.0
IRIDIAN ASSET MGMT.	036	LMT	S	6,090	86.39	n/a	14-Apr	304.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
THE JAY GOLDMAN	028	MAR	S	15,000	34.27	n/a	n/a	600.00	4.0
SUFFOLK CAPITAL MGMT	040	MCD	В	172,400	70.96	n/a	21-Apr	6,896.00	4.0
CAVU CAP ADV	028	MOS	В	10,000	53.64	n/a	n/a	500.00	5.0
TRELLUS PARTNERS LP	028	MS	В	10,000	31.20	n/a	21-Apr	500.00	5.0
BIXBY LLC	070	М	В	10,000	23.06	n/a	9-Apr	300.00	3.0
GREEN ARROW DOUG	040	NWL	В	30,000	17.19	n/a	n/a	1,500.00	5.0
RBC CAPITAL MARKETS	040	ORLY	S	7,500	44.12	n/a	n/a	300.00	4.0
SAC TRADING TOM LEDDY	035	PII	SS	50,000	64.00	n/a	n/a	1,750.00	3.5
EMERSON CAPITAL MGMT	048	PRGO	SS	4,500	59.59	n/a	19-Apr	180.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	S	65,000	63.66	n/a	21-Apr	1,950.00	3.0
RBC CAPITAL MARKETS	040	RCL	SS	5,000	34.27	n/a	n/a	200.00	4.0
IRIDIAN ASSET MGMT.	036	RTN	S	5 , 970	59.94	n/a	13-Apr	298.50	5.0
EMERSON CAPITAL MGMT	048	R	S	3,000	46.02	n/a	n/a	120.00	4.0
CAVU CAP ADV	028	SNDK	S	35,390	37.64	n/a	n/a	1,769.50	5.0
CHART WELL ADV	028	SOA	S	21,475	16.80	n/a	n/a	859.00	4.0
	THE JAY GOLDMAN SUFFOLK CAPITAL MGMT CAVU CAP ADV TRELLUS PARTNERS LP BIXBY LLC GREEN ARROW DOUG RBC CAPITAL MARKETS SAC TRADING TOM LEDDY EMERSON CAPITAL MGMT SYSTEMATIC FINANCIAL MGT RBC CAPITAL MARKETS IRIDIAN ASSET MGMT. EMERSON CAPITAL MGMT CAVU CAP ADV	THE JAY GOLDMAN 028 SUFFOLK CAPITAL MGMT 040 CAVU CAP ADV 028 TRELLUS PARTNERS LP 028 BIXBY LLC 070 GREEN ARROW DOUG 040 RBC CAPITAL MARKETS 040 SAC TRADING TOM LEDDY 035 EMERSON CAPITAL MGMT 048 SYSTEMATIC FINANCIAL MGT 035 RBC CAPITAL MARKETS 040 IRIDIAN ASSET MGMT. 036 EMERSON CAPITAL MGMT 048 CAVU CAP ADV 028	THE JAY GOLDMAN 028 MAR SUFFOLK CAPITAL MGMT 040 MCD CAVU CAP ADV 028 MOS TRELLUS PARTNERS LP 028 MS BIXBY LLC 070 M GREEN ARROW DOUG 040 NWL RBC CAPITAL MARKETS 040 ORLY SAC TRADING TOM LEDDY 035 PII EMERSON CAPITAL MGMT 048 PRGO SYSTEMATIC FINANCIAL MGT 035 PVH RBC CAPITAL MARKETS 040 RCL IRIDIAN ASSET MGMT. 036 RTN EMERSON CAPITAL MGMT 048 R CAVU CAP ADV 028 SNDK	THE JAY GOLDMAN SUFFOLK CAPITAL MGMT CAVU CAP ADV CAVU CAP ADV TRELLUS PARTNERS LP BIXBY LLC GREEN ARROW DOUG RBC CAPITAL MARKETS AC TRADING TOM LEDDY SAC TRADING TOM LEDDY SYSTEMATIC FINANCIAL MGT CAVU CAP ADV O28 MAR S B AC MOS AC MOS B AC	THE JAY GOLDMAN SUFFOLK CAPITAL MGMT O40 MCD B 172,400 CAVU CAP ADV 028 MOS B 10,000 TRELLUS PARTNERS LP 028 MS B 10,000 BIXBY LLC 070 M B 10,000 GREEN ARROW DOUG O40 NWL B 30,000 RBC CAPITAL MARKETS 040 ORLY S 7,500 SAC TRADING TOM LEDDY 035 PII SS 50,000 EMERSON CAPITAL MGMT 048 PRGO SYSTEMATIC FINANCIAL MGT 036 RTN S 5,970 EMERSON CAPITAL MGMT 048 R S 3,000 CAVU CAP ADV 028 SNDK S 35,390	THE JAY GOLDMAN 028 MAR S 15,000 34.27 SUFFOLK CAPITAL MGMT 040 MCD B 172,400 70.96 CAVU CAP ADV 028 MOS B 10,000 53.64 TRELLUS PARTNERS LP 028 MS B 10,000 31.20 BIXBY LLC 070 M B 10,000 23.06 GREEN ARROW DOUG 040 NWL B 30,000 17.19 RBC CAPITAL MARKETS 040 ORLY S 7,500 44.12 SAC TRADING TOM LEDDY 035 PII SS 50,000 64.00 EMERSON CAPITAL MGMT 048 PRGO SS 4,500 59.59 SYSTEMATIC FINANCIAL MGT 035 PVH S 65,000 63.66 RBC CAPITAL MARKETS 040 RCL SS 5,000 34.27 IRIDIAN ASSET MGMT. 036 RTN S 5,970 59.94 EMERSON CAPITAL MGMT 048 R S 3,000 46.02 CAVU CAP ADV 028 SNDK S 35,390 37.64	THE JAY GOLDMAN 028 MAR S 15,000 34.27 n/a SUFFOLK CAPITAL MGMT 040 MCD B 172,400 70.96 n/a CAVU CAP ADV 028 MOS B 10,000 53.64 n/a TRELLUS PARTNERS LP 028 MS B 10,000 31.20 n/a BIXBY LLC 070 M B 10,000 23.06 n/a GREEN ARROW DOUG 040 NWL B 30,000 17.19 n/a REC CAPITAL MARKETS 040 ORLY S 7,500 44.12 n/a SAC TRADING TOM LEDDY 035 PII SS 50,000 64.00 n/a EMERSON CAPITAL MGMT 048 PRGO SS 4,500 59.59 n/a SYSTEMATIC FINANCIAL MGT 035 PVH S 65,000 63.66 n/a REC CAPITAL MARKETS 040 RCL SS 5,000 34.27 n/a IRIDIAN ASSET MGMT. 036 RTN S 5,970 59.94 n/a EMERSON CAPITAL MGMT 048 R S 3,000 46.02 n/a CAVU CAP ADV 028 SNDK S 35,390 37.64 n/a	THE JAY GOLDMAN 028 MAR S 15,000 34.27 n/a n/a SUFFOLK CAPITAL MGMT 040 MCD B 172,400 70.96 n/a 21-Apr CAVU CAP ADV 028 MOS B 10,000 53.64 n/a 21-Apr BIXBY LLC 070 M B 10,000 23.06 n/a 21-Apr GREEN ARROW DOUG 040 NWL B 30,000 17.19 n/a n/a REC CAPITAL MARKETS 040 ORLY S 7,500 44.12 n/a n/a SAC TRADING TOM LEDDY 035 PII SS 50,000 64.00 n/a 19-Apr SYSTEMATIC FINANCIAL MGMT 048 PRGO 38 4,500 59.59 n/a 19-Apr REC CAPITAL MARKETS 040 RCL SS 5,000 34.27 n/a n/a IRIDIAN ASSET MGMT. 036 RTN S 5,970 59.94 n/a 13-Apr EMERSON CAPITAL MGMT 048 R S 3,000 46.02 n/a n/a CAVU CAP ADV 028 SNDK S 35,390 37.64 n/a n/a	THE JAY GOLDMAN 028 MAR S 15,000 34.27

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
GREEN ARROW DOUG	040	SOA	S	30,000	16.85	n/a	n/a	1,500.00	5.0
GREEN ARROW DOUG	040	SON	В	20,000	32.92	n/a	n/a	1,000.00	5.0
CSFB GLOBAL PROPRIETARY	041	TIN	S	99,400	23.58	n/a	21-Apr	4,970.00	5.0
HUNTER GLOBAL INVESTOR	067	TIN	В	491 , 500	23.61	n/a	21-Apr	24,575.00	5.0
HOWIER GEODIE INVESTOR	007	1111	D	131,300	23.01	11, a	21 1191	21,070.00	0.0
CASTLEROCK	040	TJX	В	68 , 526	46.46	n/a	9-Apr	2,741.04	4.0
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RBC CAPITAL MARKETS	040	TJX	В	10,000	46.70	n/a	9-Apr	400.00	4.0
COUGAR TRADING	044	TM	SS	5,000	78.03	n/a	n/a	250.00	5.0
CAVU CAP ADV	028	TNB	В	11,400	41.61	n/a	n/a	570.00	5.0
AVENUE CAPITAL MGMT	070	TRW	В	85 , 900	32.85	n/a	n/a	2,577.00	3.0
MILLENIUM PARTNERS	028	TYC	S	10,000	39.86	n/a	29-Jan	400.00	4.0
THE PROPERTY OF TAXABLE	020	110	b	10,000	33.00	117 a	29 0411	100.00	1.0
MDDLILIG DADWINDO ID	000	IID T	D.	15 000	10 60	- /-	- / -	750.00	Г 0
TRELLUS PARTNERS LP	028	URI	В	15,000	10.69	n/a	n/a	750.00	5.0
CAVU CAP ADV	028	UTX	SS	25,000	74.28	n/a	n/a	1,250.00	5.0
PROSPECTOR PARTNERS LLC	036	WAG	В	9,000	35.80	n/a	n/a	360.00	4.0
&PRO	036	WAG	В	1,000	35.80	n/a	n/a	40.00	4.0
RBC CAPITAL MARKETS	040	WCN	В	10,000	34.79	n/a	n/a	400.00	4.0
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CHILTON CAPITAL MGMT.	036	WFT	S	100,000	16.85	n/a	n/a	3,000.00	3.0
OHIDION CALITAD PURTI.	0.50	AAT T	S	100,000	10.00	11/ d	11/ a	5,000.00	J. U
	0.40		_	05.000	15.00	,	,	1 050 00	F 0
GREEN ARROW DOUG	040	WGO	В	25,000	15.92	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr	£.
NEWLAND CAPITAL MGT	067	WM	SS	25,000	35.15	n/a	n/a	1,000.00 4.0)
RBC CAPITAL MARKETS	040	WSM	В	7,500	30.63	n/a	n/a	300.00 4.0)
HIGHBRIDGE CAP	041	WY	S	38,435	50.30	n/a	19-Apr	1,537.40 4.0)
RBC CAPITAL MARKETS	040	XRT	SS	20,000	43.11	n/a	n/a	800.00 4.0)

TOTAL (Trade Date): 139,295.97

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO, CRT	04/20	MILLENIUM PARTNERS (MILPMLICS)	028	AN	В	10,000	19.58	n/a	20-Apr	300.00	3.0
AO,CXL	04/20	MILLENIUM PARTNERS (MILPML)	028	AN	В	10,000	19.58	n/a	20-Apr	300.00	3.0
AO, CRT	04/20	MILLENIUM PARTNERS (MILPMLICS)	028	СОН	В	35 , 637	41.98	n/a	21-Apr	1069.11	3.0
AO,CXL	04/20	MILLENIUM PARTNERS (MILPML)	028	СОН	В	35 , 637	41.98	n/a	21-Apr	1069.11	3.0
AO,CXL	04/20	WINSLOW CAPITAL (WINS130FIS)	030	CTSH	S	3,700	52.89	n/a	n/a	185.00	5.0
AO, CRT	04/20	WINSLOW CAPITAL (WINS107MGI)	030	CTSH	S	3 , 700	52.89	n/a	n/a	148.00	4.0
AO,CXL	04/20	WINSLOW CAPITAL (WINS130FIS)	030	CTXS	S	800	48.64	n/a	n/a	40.00	5.0
AO, CRT	04/20	WINSLOW CAPITAL (WINS107MGI)	030	CTXS	S	800	48.64	n/a	n/a	32.00	4.0
CRT	04/21	EMERSON CAPITAL MGMT (EMERGSPB)	048	DBRN	В	9,000	28.94	n/a	n/a	360.00	4.0
CRT	04/21	RBC CAPITAL MARKETS (RBCCMAIN)	040	F	В	150,000	14.06	n/a	20-Apr	3000.00	2.0
AO, CRT	04/20	MILLENIUM PARTNERS (MILPMLICS)	028	GPI	SS	20,000	33.82	n/a	20-Apr	600.00	3.0
AO,CXL	04/20	MILLENIUM PARTNERS (MILPML)	028	GPI	SS	20,000	33.82	n/a	20-Apr	600.00	3.0
AO,	04/20	FARALLON CAP MGMT (FARACS)	040	MHK	SS	700	60.02	n/a	n/a	28.00	4.0
AO,CXL	04/20	FARALLON CAP MGMT (FARABULK F)	040	MHK	SS	3,208	60.02	n/a	n/a	128.32	4.0
AO,	04/20	FARALLON CAP MGMT (FARABULK F)	040	MHK	SS	2,508	60.02	n/a	n/a	100.32	4.0
AO,CXL	04/20	TRELLUS PARTNERS LP (TRELMAIN)	028	MS	В	20,000	30.05	n/a	21-Apr	600.00	3.0
AO,CRT	04/20	TRELLUS PARTNERS LP (TRELMAIN)	028	MS	В	20,000	30.05	n/a	21-Apr	1000.00	5.0
AO, CRT	04/20	MILLENIUM PARTNERS (MILPMLICS)	028	PAG	В	35,000	15.39	n/a	20-Apr	1050.00	3.0
AO,CXL	04/20	MILLENIUM PARTNERS (MILPML)	028	PAG	В	35,000	15.39		20-Apr		3.0

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Researc	h Comm.¢/Shr.
,	04/20 04/20	STANDARD PACIFIC CAPITAL (SPACUBS) STANDARD PACIFIC CAPITAL (SPACMS)	040 040	VALE VALE	SS SS	110,000 110,000	33.03 33.03		5500.00 5.0 5500.00 5.0
TOTAL	(AO, 0	CXL, CRT):						3	3,715.00

TOTAL (RUN DATE) / NFS:	143,010.97
TOTAL (AO, CXL, CRT):	3,715.00
TOTAL (Trade Date):	139,295.97

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION