



Daily Compliance Activity Report

Trade Date: 04/17/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BULL PATH CAPITAL	044	AB	S	12,500	92.87	11-Apr	11-Apr	625.00	5.0
LISTED ERR	099	AB	B	6,200	92.93	11-Apr	11-Apr	0.00	0.0
LISTED ERR	099	AB	S	6,200	92.87	11-Apr	11-Apr	0.00	0.0
⚡ BUCK CAPITAL MGMT		AMZN	C	30,000	45.02	n/a	n/a	non-brg	5.0
JP MORGAN VENTURES	046	ANF	SS	5,000	81.03	2-Feb	13-Apr	250.00	5.0
ZOLIDIS	011	ANN	S	200	38.90	5-Jan	13-Apr	0.00	0.0
PHILADELPHIA FINANCIAL	031	AVZ	S	51,689	24.02	5-Mar	11-Apr	2,584.45	5.0
UBS OCONNOR	031	AXL	SS	80,000	27.70	n/a	n/a	2,400.00	3.0
⚡ BUCK CAPITAL MGMT		BBW	S	40,000	26.35	19-Mar	19-Mar	non-brg	5.0
MISSOURI VALLEY PART	046	BBW	S	12,200	26.36	19-Mar	19-Mar	610.00	5.0
KORMAN	003	BDKPR	B	40	2.30	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		BID	S	10,000	49.77	n/a	n/a	non-brg	5.0
ZOLIDIS	011	BKRS	B	1,000	9.25	n/a	n/a	0.00	0.0
MKT MAKER	099	BONT	B	14	52.53	9-Mar	13-Apr	0.00	0.0
SONAR CAP MGMT	039	BRCM	S	100,000	32.51	n/a	n/a	5,000.00	5.0
NEUBERGER BERMAN	036	BRY	B	31,170	33.41	n/a	n/a	1,558.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EGS ASSOCIATES LP	091	CAKE	B	3,000	26.26	7-Feb	12-Apr	180.00	6.0
ß BUCK CAPITAL MGMT		CBK	S	20,000	18.21	30-Mar	13-Apr	non-brg	5.0
US BANCORP	030	CBK	B	80,000	18.25	30-Mar	13-Apr	4,000.00	5.0
DELTEC ASSET MGMT.	040	CC	B	30,000	18.79	20-Dec	5-Apr	1,500.00	5.0
BUCK CAPITAL MGMT	001	CHS	S	30,000	26.90	27-Mar	13-Apr	1,200.00	4.0
MKT MAKER	099	CLMS	B	23	24.24	11-Apr	11-Apr	0.00	0.0
COLUMBIA PARTNERS	028	COST	S	28,870	55.31	n/a	12-Apr	866.10	3.0
Õ Glenn Redemann		CROX	B	7	0.36	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CROX	B	7	0.40	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CROX	SS	7	0.98	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CROX	SS	7	1.28	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	CSCO	B	200,000	26.93	n/a	22-Mar	10,000.00	5.0
ß BUCK CAPITAL MGMT		CYH	S	50,000	35.78	19-Mar	2-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		CYH	S	80,000	35.66	19-Mar	2-Apr	non-brg	5.0
BRANDWINE	028	C	B	25,000	52.66	11-Jan	17-Apr	1,250.00	5.0
ß BUCK CAPITAL MGMT		DDS	C	39,800	35.78	n/a	n/a	non-brg	5.0
ZOLIDIS	011	DHI	B	230	22.12	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	58.19	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OPTIMUM INV ADV	030	DNR	B	10,000	33.18	n/a	n/a	400.00	4.0
NEUBERGER BERMAN	036	DRS	S	15,800	51.05	n/a	n/a	790.00	5.0
MKT MAKER	099	EAGL	S	77	39.96	n/a	n/a	0.00	0.0
Õ Glenn Redemann		EFT	S	520	19.26	n/a	n/a	0.00	0.0
MATRIX ASSET ADV.	036	FDC	S	35,000	32.47	n/a	n/a	1,050.00	3.0
BALYASNY ASSET MGMT	044	FII	S	30,700	39.20	n/a	10-Apr	1,535.00	5.0
COUGAR TRADING	044	FL	B	5,000	23.83	n/a	19-Mar	250.00	5.0
MKT MAKER	099	FOSL	B	100	29.64	21-Feb	21-Feb	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	GE	B	10,000	35.10	n/a	n/a	500.00	5.0
NEW JERSEY DIV OF INV.	220	GIS	S	12,000	58.89	n/a	n/a	480.00	4.0
IVORY CAPITAL	034	GPS	B	300,000	18.82	n/a	13-Apr	15,000.00	5.0
CATAPULT	040	HBAN	S	12,500	22.13	n/a	n/a	625.00	5.0
Õ Glenn Redemann		HRB	B	15	0.19	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HRB	B	15	0.24	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HRB	SS	15	0.52	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HRB	SS	15	0.71	n/a	n/a	0.00	0.0
PROVIDENT INV COUNSEL	048	HYDL	S	60,782	96.65	n/a	n/a	3,039.10	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PIC1	048	HYDL	S	12,901	96.65	n/a	n/a	645.05	5.0
PIC8	048	HYDL	S	5,400	96.65	n/a	n/a	270.00	5.0
PIC7	048	HYDL	S	9,500	96.65	n/a	n/a	475.00	5.0
OPTIMUM INV ADV	030	IPG	B	18,000	12.77	n/a	n/a	720.00	4.0
ß BUCK CAPITAL MGMT		JCG	B	10,000	39.82	14-Mar	14-Mar	non-brg	5.0
COUGAR TRADING	044	JCG	S	5,000	39.80	14-Mar	14-Mar	250.00	5.0
ß BUCK CAPITAL MGMT		JCP	B	10,000	82.42	23-Feb	13-Apr	non-brg	5.0
BALYASNY ASSET MGMT	044	JNS	B	25,000	25.15	5-Apr	10-Apr	1,250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	JOSB	S	59,300	37.96	n/a	n/a	2,223.75	3.8
CATAPULT	040	JPM	B	10,000	50.09	n/a	16-Apr	500.00	5.0
CLOVIS CAPITAL	012	KCI	B	75,000	54.56	n/a	n/a	3,750.00	5.0
ß BUCK CAPITAL MGMT		KCP	S	15,300	27.15	n/a	28-Feb	non-brg	5.0
NEW JERSEY DIV OF INV.	220	KO	S	100,000	51.76	n/a	n/a	4,000.00	4.0
NEW JERSEY DIV OF INV.	220	K	S	95,000	52.32	n/a	n/a	3,800.00	4.0
Õ Glenn Redemann		LEN	B	3	0.72	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LEN	B	3	0.73	n/a	n/a	0.00	0.0
MKT MAKER	099	LNCR	S	200	38.67	21-Dec	13-Feb	0.00	0.0
BRUNNER	012	MESA	B	2,000	7.20	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LISTED ERR	099	MI	B	5,000	48.20	n/a	n/a	0.00	0.0
NEW JERSEY DIV OF INV.	220	MO	S	145,000	69.63	n/a	n/a	5,800.00	4.0
KAICHER	064	MSON	S	1,900	6.18	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MVRX	SS	10,000	204.15	n/a	n/a	non-brg	20.4
ß BUCK CAPITAL MGMT		NEXC	B	10,000	12.89	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		NEXC	B	10,000	12.90	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		OEF	C	100,000	67.50	n/a	n/a	non-brg	2.0
HIGHBRIDGE CAPITAL	038	PCL	S	19,000	40.08	n/a	19-Mar	950.00	5.0
NEW JERSEY DIV OF INV.	220	PEP	S	75,000	65.46	n/a	n/a	3,000.00	4.0
CAXTON PART	040	PG	B	116,100	64.00	15-Dec	30-Mar	4,644.00	4.0
TYEE/TYGH	035	PLCE	S	97,551	49.79	12-Apr	13-Apr	3,902.04	4.0
BUCK CAPITAL MGMT	001	RL	SS	5,000	96.96	2-Feb	13-Apr	200.00	4.0
Õ Glenn Redemann		RYI	B	1	1.55	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RYI	B	1	2.15	n/a	n/a	0.00	0.0
BELMONT GLOBAL ADV	248	SBH	S	35,000	8.89	n/a	n/a	1,400.00	4.0
GRACIE CAPITAL	028	SHLD	S	5,000	191.43	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		SHOO	B	25,000	31.20	n/a	n/a	non-brg	5.0
KAICHER	064	SNCR	S	1,000	18.25	n/a	n/a	0.00	0.0
NEW JERSEY DIV OF INV.	220	STZ	S	85,000	21.96	n/a	n/a	3,400.00	4.0
NEW JERSEY DIV OF INV.	220	SVM	S	208,000	15.38	n/a	n/a	8,320.00	4.0
CAPITAL GROWTH MGMT.	241	SWY	S	72,400	36.96	n/a	n/a	3,620.00	5.0
B BUCK CAPITAL MGMT		TGT	B	30,000	60.42	n/a	12-Apr	non-brg	5.0
BUCK CAPITAL MGMT	001	TLB	S	10,000	25.00	10-Jan	8-Mar	400.00	4.0
EGS ASSOCIATES LP	091	TLB	S	4,300	24.96	10-Jan	8-Mar	258.00	6.0
MAIRS AND POWER INV	030	TRV	S	10,000	53.98	n/a	n/a	600.00	6.0
LATEFF MGMT	040	TSN	S	10,000	20.68	n/a	n/a	700.00	7.0
COUGAR TRADING	044	TWB	B	5,000	37.77	22-Feb	22-Feb	250.00	5.0
GASNER	025	UADPJ	S	5	0.90	n/a	n/a	0.00	0.0
GASNER	025	UFPI	C	200	47.67	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	UFS	S	50,000	10.00	n/a	n/a	2,500.00	5.0
B BUCK CAPITAL MGMT		URBN	B	5,000	25.31	2-Apr	2-Apr	non-brg	5.0
COLONIAL FUND LLC	036	USG	SS	25,000	47.49	17-Apr	17-Apr	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	WDR	B	20,000	25.56	5-Mar	10-Apr	1,000.00	5.0
CAXTON PART	040	XRX	S	50,000	17.91	n/a	n/a	2,000.00	4.0
B BUCK CAPITAL MGMT		ZQK	S	20,000	13.23	9-Mar	17-Apr	non-brg	5.0
TOTAL (Trade Date):							118,420.99		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/16	PALMYRA CAPITAL	048	ACI	SS	30,000	36.91	n/a	n/a	1500.00 5.0
AO,CXL	04/16	PALMYRA CAPITAL	048	ACI	SS	30,000	36.91	n/a	n/a	1200.00 4.0
AO,CRT	04/13	MORGAN STANLEY PRINC 2	040	AMGN	B	50,000	59.24	n/a	26-Jan	2500.00 5.0
AO,CXL	04/13	MORGAN STANLEY PRINC 2	040	AMGN	B	50,000	59.24	n/a	26-Jan	2000.00 4.0
AO,CRT	04/13	BUCK CAPITAL MGMT	001	GCO	B	10,000	41.14	n/a	10-Jan	400.00 4.0
AO,CXL	04/13	BUCK CAPITAL MGMT	001	GCO	B	10,000	41.14	n/a	10-Jan	400.00 4.0
AO,CRT	12/26	MKT MAKER	099	HOTT	B	16	12.99	n/a	13-Apr	0.00 0.0
AO,CXL	12/26	MKT MAKER	099	HOTT	B	16	12.99	n/a	13-Apr	0.00 0.0
AO,CXL	04/13	HARVEST MGMT	039	IP	S	17,773	36.93	20-Mar	20-Mar	888.65 5.0
AO,CRT	04/13	HARVEST MGMT	039	IP	SS	17,773	36.93	20-Mar	20-Mar	888.65 5.0
AO,CXL	04/13	BUCK CAPITAL MGMT	001	KSS	S	50,000	77.07	27-Dec	12-Apr	2000.00 4.0
AO,CRT	04/13	BUCK CAPITAL MGMT	001	KSS	S	50,000	77.07	27-Dec	12-Apr	2000.00 4.0
AO,CRT	04/16	PALMYRA CAPITAL	048	LNG	S	30,000	32.82	n/a	n/a	1500.00 5.0
AO,CXL	04/16	PALMYRA CAPITAL	048	LNG	S	30,000	32.82	n/a	n/a	1200.00 4.0
TOTAL (AO, CXL, CRT) :										1,100.00

TOTAL (Trade Date):	118,420.99
TOTAL (AO, CXL, CRT):	1,100.00

TOTAL (RUN DATE) / NFS:

119,520.99

MRI Securities with Trade Activity on 17-Apr.

USG

MRI Securities without Trade Activity on 17-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 8%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION