



Daily Compliance Activity Report

Trade Date: 04/15/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	S	10,000	117.27	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		AAP	SS	10,000	41.02	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	AEO	B	40,000	13.72	8-Apr	13-Apr	1,600.00	4.0
NATIONAL BK OF CAN	031	AMTD	B	25,000	15.99	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT		AMT	B	25,000	31.08	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AVP	SS	20,000	20.89	25-Feb	30-Mar	non-brg	4.0
PRINCIPLE CAPITAL	040	AVP	SS	76,400	20.82	25-Feb	30-Mar	2,292.00	3.0
LOCUS WOOD CAPITAL MGMT	044	BBBY	SS	15,000	30.11	n/a	n/a	600.00	4.0
1798 CAPITAL MGMT	040	BBY	SS	15,000	37.37	n/a	27-Jul	750.00	5.0
WHITE PINE CAPITAL	030	BBY	S	10,050	37.35	n/a	27-Jul	502.50	5.0
BUCK CAPITAL MGMT		BIG	B	70,000	24.40	4-Mar	6-Apr	non-brg	4.0
BUCK CAPITAL MGMT		BKC	S	125,281	19.22	6-Feb	15-Apr	non-brg	4.0
NATIONAL BK OF CAN	031	BK	B	15,000	31.85	21-Jan	13-Apr	600.00	4.0
ROBERT M DANIELS	FID	BQI	B	800	0.99	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	BYI	S	110,090	22.98	n/a	n/a	4,403.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		CAB	SS	20,000	10.04	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CCI	B	50,000	23.94	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CECO	C	39,000	21.09	n/a	n/a	non-brg	4.0
CICILY S BACKUS	FID	CHCGE	B	20000	1.12	n/a	n/a	0.00	0.0
CICILY S BACKUS	FID	CHCGE	B	4999	1.12	n/a	n/a	0.00	0.0
LEE F BACKUS	FID	CHCGE	B	4999	1.12	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		COCO	C	39,000	16.94	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		COH	B	40,000	18.20	9-Jan	3-Apr	non-brg	4.0
BUCK CAPITAL MGMT		COST	SS	20,000	45.38	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT		CTX	S	15,000	10.53	n/a	n/a	non-brg	4.0
MARC PAUL LUCHANSKY	FID	DCTH	B	1000	2.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		DECK	S	20,000	60.76	n/a	n/a	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	041	DELL	B	25,000	10.33	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT		DHI	S	5,000	11.42	n/a	n/a	non-brg	4.0
NEIL M STRATTON	FID	DISK	S	200	1.45	n/a	n/a	0.00	0.0
NEIL M STRATTON	FID	DISK	S	700	1.45	n/a	n/a	0.00	0.0
T. ROWE PRICE	033	DLTR	B	232,600	42.33	10-Feb	6-Apr	9,304.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DSW	SS	20,000	10.81	24-Mar	25-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		DV	C	57,000	42.99	n/a	n/a	non-brg	4.0
ADALY INV MGMT	067	EBAY	S	25,000	14.31	n/a	n/a	1,000.00	4.0
f SCOTT L BRUNNER	FID	FAZ	B	1500	11.12	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FINL	S	30,000	6.94	n/a	28-Mar	non-brg	2.5
f MARC PAUL LUCHANSKY	FID	FOR	B	1000	10.16	12-Feb	12-Feb	0.00	0.0
ß BUCK CAPITAL MGMT		FOSL	S	95,000	17.52	23-Jan	30-Jan	non-brg	4.0
f ROBERT F CROWLEY JR	FID	FRB	B	2000	8.60	n/a	n/a	0.00	0.0
LISTED ERR	099	GBL	B	1	39.00	n/a	7-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	B	20,000	20.40	n/a	10-Jan	800.00	4.0
ß BUCK CAPITAL MGMT		GES	S	100,000	21.18	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	S	100,000	21.47	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	S	159,100	21.22	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		GES	S	16,200	22.05	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GES	S	50,000	21.89	n/a	n/a	non-brg	1.0
f ROBERT F CROWLEY JR	FID	GE	B	1500	11.79	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GIII	S	10,421	6.06	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		GSIC	S	50,000	14.73	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		GWW	C	20,000	79.29	n/a	14-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GYMB	S	45,200	28.40	9-Apr	13-Apr	non-brg	1.0
⚡ BUCK CAPITAL MGMT		GYMB	S	75,000	28.49	9-Apr	13-Apr	non-brg	4.0
ROYCE TRADING	216	GY	S	10,000	2.30	n/a	n/a	300.00	3.0
PZENA INV ADV	044	HD	S	101,300	25.42	n/a	25-Feb	3,545.65	3.5
⚡ BUCK CAPITAL MGMT		HOG	C	15,000	17.59	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		HOG	C	35,000	17.56	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	IP	SS	50,000	8.81	n/a	8-Apr	2,500.00	5.0
SCOPUS FUND	041	IP	SS	25,000	8.80	n/a	8-Apr	1,250.00	5.0
SOUTH POINT CAP	067	IYH	SS	21,000	49.40	n/a	n/a	1,050.00	5.0
⚡ BUCK CAPITAL MGMT		JCP	SS	50,000	24.91	13-Apr	13-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	JNY	S	100,000	5.94	n/a	11-Feb	3,000.00	3.0
⚡ BUCK CAPITAL MGMT		JOSB	S	50,000	36.64	n/a	n/a	non-brg	2.5
f DAVID GEORGE BUCK	FID	JPMFV	S	15	0.82	n/a	n/a	0.00	0.0
NATIONAL BK OF CAN	031	JPM	S	10,000	32.30	16-Jan	13-Apr	400.00	4.0
⚡ BUCK CAPITAL MGMT		LEN	S	25,000	8.58	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		LL	S	30,000	13.41	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	MAC	S	2000	11.81	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		MAR	SS	20,000	18.64	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	B	20,000	53.86	n/a	13-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	B	30,000	53.72	n/a	13-Apr	non-brg	4.0
MILLENIUM PARTNERS	028	MCD	B	12,350	53.73	n/a	13-Apr	494.00	4.0
⚡ BUCK CAPITAL MGMT		MDC	S	5,000	33.11	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	MGA	S	300	34.05	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	MHK	SS	23,000	33.16	n/a	n/a	690.00	3.0
BUCK CAPITAL MGMT	001	M	S	200,000	11.70	n/a	13-Apr	8,000.00	4.0
STADIA CAPITAL	035	M	B	20,000	11.30	n/a	13-Apr	800.00	4.0
WOOSTER CAPITAL	035	M	B	100,000	11.50	n/a	13-Apr	5,000.00	5.0
⚡ BUCK CAPITAL MGMT		NKE	S	50,000	51.45	n/a	n/a	non-brg	4.0
DARUMA ASSET MGMT INC	034	NP	S	46,200	3.62	13-Mar	13-Mar	2,310.00	5.0
⚡ BUCK CAPITAL MGMT		NWY	S	50,000	4.13	n/a	30-Jan	non-brg	3.0
⚡ BUCK CAPITAL MGMT		ORLY	SS	10,000	36.41	n/a	n/a	non-brg	4.0
TRELLUS PARTNERS LP	028	PCAR	SS	10,000	30.96	25-Mar	25-Mar	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	PH	SS	2,000	35.96	n/a	5-Mar	100.00	5.0
⌘ BUCK CAPITAL MGMT		PKG	SS	37,200	13.74	8-Apr	8-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PKG	SS	40,000	13.69	8-Apr	8-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PKG	SS	50,000	13.69	8-Apr	8-Apr	non-brg	1.5
ROYCE TRADING	216	PMC	S	5,000	17.30	n/a	n/a	250.00	5.0
f ROBERT F CROWLEY JR	FID	PNM	B	2000	8.39	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PSS	S	50,000	10.20	n/a	n/a	2,000.00	4.0
SENATOR INVESTMENT GROUP	036	RAH	B	15,000	54.02	n/a	n/a	750.00	5.0
⌘ BUCK CAPITAL MGMT		RCL	S	50,000	11.12	26-Mar	26-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		RL	SS	30,000	48.68	n/a	5-Feb	non-brg	4.0
BUCK CAPITAL MGMT	001	RNT	S	50,000	29.14	n/a	n/a	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		RT	S	100,000	6.39	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		RYL	S	10,000	17.93	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SBAC	B	50,000	25.19	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	SDS	S	1500	69.05	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	SKF	B	11000	64.34	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	WILLIAM J GAULT	FID	SKF	S	10000	62.25	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		SLG	C	40,000	14.00	n/a	n/a	non-brg	4.0
	NEWCASTLE FUNDS	033	SMG	B	20,000	34.79	5-Feb	15-Apr	800.00	4.0
ß	BUCK CAPITAL MGMT		SPG	C	38,209	41.34	n/a	n/a	non-brg	4.0
	SOUTH POINT CAP	067	SPY	B	12,300	84.26	n/a	n/a	615.00	5.0
	NATIONAL BK OF CAN	031	STT	B	30,000	35.07	n/a	n/a	1,200.00	4.0
ß	BUCK CAPITAL MGMT		TAST	B	10,000	4.15	n/a	n/a	non-brg	2.0
	OVERLAND PARK CAP	041	TGT	S	24,000	38.05	25-Feb	9-Apr	960.00	4.0
	RBC CAPITAL MARKETS	034	TGT	B	30,000	38.13	25-Feb	9-Apr	1,200.00	4.0
ß	BUCK CAPITAL MGMT		TIN	S	53,900	6.83	5-Dec	8-Apr	non-brg	3.0
	BUCK CAPITAL MGMT	001	TLB	S	130,000	3.33	n/a	30-Jan	3,900.00	3.0
ß	BUCK CAPITAL MGMT		TLB	S	30,000	3.35	n/a	30-Jan	non-brg	2.0
	ROSEDALE CAPITAL	046	VDSI	S	15,000	6.42	n/a	n/a	600.00	4.0
	CATAPULT	040	WBC	S	20,000	15.00	5-Feb	18-Mar	1,000.00	5.0
f	DAVID GEORGE BUCK	FID	WFC	S	2000	18.67	n/a	n/a	0.00	0.0
	OVERLAND PARK CAP	041	WMT	B	20,000	51.12	n/a	9-Apr	800.00	4.0
ß	BUCK CAPITAL MGMT		WRC	S	40,000	26.76	27-Mar	27-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⌘ BUCK CAPITAL MGMT		WSM	C	100,000	11.86	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		WYNN	SS	40,000	32.36	n/a	n/a	non-brg 2.0
⌘ BUCK CAPITAL MGMT		WYNN	SS	80,000	32.20	n/a	n/a	non-brg 4.0
TOTAL (Trade Date):								70,116.75

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/14	ROSEDALE CAPITAL	046	CIT	S	25,000	4.30	22-Jan	15-Apr	750.00 3.0
AO,CXL	04/14	ROSEDALE CAPITAL	046	CIT	S	25,000	4.30	22-Jan	15-Apr	1250.00 5.0
AO,	04/14	PHILADELPHIA FINANCIAL	033	FII	S	100	24.00	30-Mar	7-Apr	5.00 5.0
AO,CRT	04/14	IRONBOUND CAPITAL	012	PX	SS	82,700	68.41	30-Mar	30-Mar	1654.00 2.0
AO,CXL	04/14	IRONBOUND CAPITAL	012	PX	SS	82,700	68.41	30-Mar	30-Mar	4135.00 5.0

TOTAL (AO, CXL, CRT) :	-2,976.00
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TOTAL (Trade Date) :	70,116.75
TOTAL (AO, CXL, CRT) :	-2,976.00

TOTAL (RUN DATE) / NFS:	67,140.75
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MRI Securities with Trade Activity on 15-Apr. NONE

MRI Securities without Trade Activity on 15-Apr. NONE
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 20%

YTD = 23%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)