



Daily Compliance Activity Report

Trade Date: 08/18/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PRINCIPLE CAPITAL	040	AEOS	S	50,000	37.72	16-Aug	16-Aug	2,500.00	5.0
BUCK CAPITAL MGMT	001	ANN	B	15,000	40.53	6-Jul	14-Aug	600.00	4.0
FRIESS MGMT	042	ANN	S	489,200	40.88	6-Jul	14-Aug	22,014.00	4.5
PRINCIPLE CAPITAL	040	ANN	S	70,000	40.69	6-Jul	14-Aug	2,800.00	4.0
MKT MAKER	099	ASCA	S	535	20.36	n/a	26-Jul	0.00	0.0
BUCK CAPITAL MGMT	015	ASCA	S	1,250	20.80	n/a	26-Jul	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	BA	S	20,000	78.04	n/a	n/a	800.00	4.0
WHITTIER CAP	048	BBW	B	34,350	21.93	n/a	n/a	1,717.50	5.0
BUCK CAPITAL MGMT	001	BBY	S	30,000	48.61	13-Jun	26-Jun	1,200.00	4.0
WILLOW CREEK	033	BBY	S	2,500	48.81	13-Jun	26-Jun	112.50	4.5
SUNOVA CAPITAL	031	BLK	B	15,000	133.53	18-Jul	16-Aug	750.00	5.0
BACKUS	105	BONT	S	2,000	25.95	n/a	4-Aug	0.00	0.0
IRONBOUND CAPITAL	012	BOW	S	54,900	22.60	13-Jul	13-Jul	0.00	0.0
BINDER	007	CBK	S	200	28.21	1-Jun	14-Aug	0.00	0.0
WHEELER	008	CCC	B	25,000	4.48	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	015	CMA	B	500	57.59	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	015	CTX	B	400	50.52	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DDS	S	5,000	33.31	n/a	n/a	200.00	4.0
MERRILL LYNCH STRATEGICI	034	DHR	B	20,000	65.30	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	DSW	S	20,000	28.84	n/a	n/a	800.00	4.0
STRONG/HOWARD	038	EAGL	B	20,000	31.90	n/a	n/a	800.00	4.0
WILLOW CREEK	033	ELY	S	20,000	13.42	n/a	n/a	900.00	4.5
MAGUIRE	090	EMKR	B	7,500	7.30	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	096	EMKR	B	1,500	7.37	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	EMR	S	20,000	81.84	n/a	n/a	800.00	4.0
BINDER	007	FD	S	100	37.41	12-Jun	10-Aug	0.00	0.0
LISTED ERR	099	FDO	B	5,000	25.00	n/a	27-Jul	0.00	0.0
LISTED ERR	099	FDO	S	5,000	24.63	n/a	27-Jul	0.00	0.0
FARBER/WEISS PECK	012	FDO	S	12,000	24.97	n/a	27-Jul	600.00	5.0
BUCK CAPITAL MGMT	001	FL	B	249,900	23.63	n/a	18-Aug	9,996.00	4.0
CROWLEY	061	GEG	S	2,500	1.97	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	034	GES	B	20,000	48.23	n/a	n/a	800.00	4.0
SINCLAIR	031	GLW	S	400	20.84	21-Mar	7-Aug	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ADAGE CAPITAL MGMT	030	GPS	S	200,000	16.53	31-Jul	14-Aug	8,000.00	4.0
MKT MAKER	099	HURC	B	150	22.87	n/a	n/a	0.00	0.0
WASHINGTON ASSET	048	ICGE	B	30,000	9.15	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	015	ILSE	S	1,000	19.65	n/a	n/a	0.00	0.0
LISTED ERR	099	JCG	S	2,800	26.64	n/a	26-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	S	15,000	68.64	5-May	10-Aug	600.00	4.0
THE JAY GOLDMAN	034	JWN	B	20,000	36.15	14-Aug	14-Aug	800.00	4.0
BARROW HANLEY MEWHINNEY	096	KNOT	B	1,000	17.09	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KSS	S	30,000	61.80	10-Aug	10-Aug	1,200.00	4.0
TRIBECA	038	LFG	B	6,600	64.45	n/a	n/a	264.00	4.0
WILLOW CREEK	033	LI	S	10,000	26.46	n/a	n/a	450.00	4.5
LEVIN CAPITAL STRATEGIES	012	LLY	S	10,000	54.83	n/a	n/a	400.00	4.0
TRUST CO/COWEN/CALF.	040	LU	B	3,187,300	2.29	n/a	22-Mar	63,746.00	2.0
MAGUIRE	090	MAG	B	10,000	3.15	n/a	n/a	0.00	0.0
PATTEN & PATTEN	045	MDT	B	250	45.69	n/a	22-Apr	12.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TILLDALE MGMT.	048	MLI	S	10,000	39.70	26-Jul	26-Jul	500.00	5.0
NEW JERSEY DIV OF INV.	220	MUR	S	40,000	49.21	n/a	n/a	2,000.00	5.0
BARROW HANLEY MEWHINNEY	096	NEM	S	300	50.11	n/a	n/a	0.00	0.0
US BANCORP	030	NLS	B	34,649	12.98	n/a	n/a	1,732.45	5.0
GRONET	030	NWY	B	1,000	11.63	n/a	14-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	NWY	B	10,000	11.65	n/a	14-Aug	400.00	4.0
SOLARIS INV MGMT	036	OI	S	14,900	13.87	n/a	n/a	891.00	6.0
BUCK CAPITAL MGMT	001	OMX	S	70,000	43.85	n/a	15-Apr	2,800.00	4.0
PATTEN & PATTEN	045	OXY	S	550	52.11	n/a	n/a	27.50	5.0
LOCUS WOOD CAPITAL MGMT	044	PBT	S	15,000	15.41	n/a	n/a	600.00	4.0
WHITTIER CAP	048	PETC	S	36,305	27.97	n/a	n/a	1,815.25	5.0
CAPITAL GROWTH MGMT.	241	PG	B	27,000	59.99	25-Apr	3-Aug	1,350.00	5.0
CROWLEY	061	PKD	S	2,000	6.32	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PSS	S	20,000	27.86	n/a	n/a	800.00	4.0
MKT MAKER	099	PSUN	S	10,000	14.04	3-Aug	11-Aug	0.00	0.0
MKT MAKER	099	QRCP	B	20	10.81	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STRONG/HOWARD	038	RSH	S	334,000	17.82	n/a	n/a	13,360.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	14.81	n/a	n/a	800.00	4.0
BINDER	007	SBL	S	500	11.17	n/a	2-Aug	0.00	0.0
BINDER	007	SBUX	S	200	31.05	2-Aug	2-Aug	0.00	0.0
ADAGE CAPITAL MGMT	030	SKS	S	125,000	15.27	n/a	n/a	5,000.00	4.0
MFP INVESTORS	032	SKS	B	25,000	15.19	n/a	n/a	1,250.00	5.0
MKT MAKER	099	SSCC	S	2,000	10.64	15-May	13-Jul	0.00	0.0
BUCK CAPITAL MGMT	015	TRB	B	1,000	31.50	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	UTX	S	50,000	61.76	n/a	n/a	2,000.00	4.0
SOMERSET ADV	044	VSE	B	10,000	20.97	n/a	n/a	500.00	5.0
MAIRS AND POWER INV	030	VZ	S	10,000	34.34	31-Jul	7-Aug	600.00	6.0
BUCK CAPITAL MGMT	015	WEN	S	500	62.09	25-Jul	27-Jul	0.00	0.0
WARBURG	037	WYE	S	56,100	47.21	n/a	31-Mar	2,805.00	5.0
TOTAL :								164,293.70	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	ROARING BLUE LION MGMT (ROARMAIN U)	012	AEOS	S	3,000	36.37	16-Aug	16-Aug	150.00 5.0
AO,CXL	ROARING BLUE LION MGMT (ROARMAIN U)	012	AEOS	S	3,000	36.37	16-Aug	16-Aug	120.00 4.0
AO,	JANA CAPITAL (JANAABN AM)	044	ANDW	S	79,400	8.82	n/a	n/a	3,970.00 5.0
AO,	FIRST INV MGMT CORP (FIMCTOTAL)	036	B	B	200	16.80	n/a	n/a	10.00 5.0
AO,	IRONBOUND CAPITAL (IROBGS)	012	BOW	S	15,100	22.75	13-Jul	13-Jul	755.00 5.0
AO,	SCOPUS FUND (SCOPBANC O)	039	BOW	S	14,800	22.61	13-Jul	13-Jul	740.00 5.0
AO,	SCOPUS FUND (SCOPUBS)	039	BOW	S	25,200	22.61	13-Jul	13-Jul	1,260.00 5.0
AO,	WHITTIER CAP (WHITCALI)	048	CG	S	16,000	57.40	n/a	n/a	800.00 5.0
AO,CXL	MATTHES CAPITAL MGMT (MATTGSPB)	033	COST	S	5,000	52.17	n/a	3-Aug	200.00 4.0
AO,	LISTED ERR (LISTED ERR)	099	DRI	B	100,000	36.65	28-Jun	28-Jul	0.00 0.0
AO,	LISTED ERR (LISTED ERR)	099	DRI	S	100,000	36.63	28-Jun	28-Jul	0.00 0.0
AO,	STANDARD PACIFIC CAPITAL (SPACMERRIL)	040	GLW	S	287,000	21.06	21-Mar	7-Aug	14,350.00 5.0
AO,	MKT MAKER (MKT MAKER)	099	HURC	B	274	22.99	n/a	n/a	0.00 0.0
AO,CXL	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	045	IFF	B	50,000	38.69	26-Apr	15-Aug	2,500.00 5.0
AO,	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	045	IFF	B	50,000	38.70	26-Apr	15-Aug	2,500.00 5.0
AO,CXL	J L F ASSET MGMT (JLFAMAIN)	039	JCG	B	20,000	26.80	n/a	26-Jun	800.00 4.0
AO,	J L F ASSET MGMT (JLFAMAIN)	039	JCG	B	20,000	26.80	n/a	26-Jun	0.00 0.0
AO,	ASCEND PARTNERS (ASCECITI)	033	JWN	S	1,252	36.72	14-Aug	14-Aug	62.60 5.0
AO,CXL	ASCEND PARTNERS (ASCEMSPB)	033	JWN	S	1,252	36.72	14-Aug	14-Aug	62.60 5.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	AAD CAPITAL (AADC)	099	NLY	S	200,000	12.46	n/a	n/a	4,000.00	2.0
AO,	SOLARIS INV MGMT (SOLA504)	036	OI	S	300	13.61	n/a	n/a	18.00	6.0
AO,	SOLARIS INV MGMT (SOLA511)	036	OI	S	1,000	13.61	n/a	n/a	60.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA2)	036	OI	S	1,200	13.51	n/a	n/a	72.00	6.0
AO,	SOLARIS INV MGMT (SOLA10)	036	OI	S	500	13.61	n/a	n/a	30.00	6.0
AO,	SOLARIS INV MGMT (SOLA15)	036	OI	S	200	13.61	n/a	n/a	12.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA40)	036	OI	S	300	13.51	n/a	n/a	18.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA443)	036	OI	S	600	13.51	n/a	n/a	36.00	6.0
AO,	SOLARIS INV MGMT (SOLA49)	036	OI	S	100	13.61	n/a	n/a	6.00	6.0
AO,	SOLARIS INV MGMT (SOLA483)	036	OI	S	100	13.61	n/a	n/a	6.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA533)	036	OI	S	300	13.51	n/a	n/a	18.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA524)	036	OI	S	200	13.51	n/a	n/a	12.00	6.0
AO,	SOLARIS INV MGMT (SOLA2)	036	OI	S	1,200	13.61	n/a	n/a	72.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA19)	036	OI	S	200	13.51	n/a	n/a	12.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA23)	036	OI	S	100	13.51	n/a	n/a	6.00	6.0
AO,	SOLARIS INV MGMT (SOLA40)	036	OI	S	300	13.61	n/a	n/a	18.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA48)	036	OI	S	100	13.51	n/a	n/a	6.00	6.0
AO,	SOLARIS INV MGMT (SOLA443)	036	OI	S	600	13.61	n/a	n/a	36.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA391)	036	OI	S	400	13.51	n/a	n/a	24.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA424)	036	OI	S	100	13.51	n/a	n/a	6.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA568)	036	OI	S	100	13.51	n/a	n/a	6.00	6.0
AO,	SOLARIS INV MGMT (SOLA533)	036	OI	S	300	13.61	n/a	n/a	18.00	6.0
AO,	SOLARIS INV MGMT (SOLA524)	036	OI	S	200	13.61	n/a	n/a	12.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA3)	036	OI	S	3,400	13.51	n/a	n/a	204.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA14)	036	OI	S	800	13.51	n/a	n/a	48.00	6.0
AO,	SOLARIS INV MGMT (SOLA19)	036	OI	S	200	13.61	n/a	n/a	12.00	6.0
AO,	SOLARIS INV MGMT (SOLA23)	036	OI	S	100	13.61	n/a	n/a	6.00	6.0
AO,	SOLARIS INV MGMT (SOLA48)	036	OI	S	100	13.61	n/a	n/a	6.00	6.0

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AO,CXL	SOLARIS INV MGMT (SOLA453)	036	OI	S	600	13.51	n/a	n/a	36.00 6.0
AO,	SOLARIS INV MGMT (SOLA391)	036	OI	S	400	13.61	n/a	n/a	24.00 6.0
AO,	SOLARIS INV MGMT (SOLA424)	036	OI	S	100	13.61	n/a	n/a	6.00 6.0
AO,	SOLARIS INV MGMT (SOLA568)	036	OI	S	100	13.61	n/a	n/a	6.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA662)	036	OI	S	400	13.51	n/a	n/a	24.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA4)	036	OI	S	300	13.51	n/a	n/a	18.00 6.0
AO,	SOLARIS INV MGMT (SOLA3)	036	OI	S	3,400	13.61	n/a	n/a	204.00 6.0
AO,	SOLARIS INV MGMT (SOLA14)	036	OI	S	800	13.61	n/a	n/a	48.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA22)	036	OI	S	100	13.51	n/a	n/a	6.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA37)	036	OI	S	600	13.51	n/a	n/a	30.00 5.0
AO,CXL	SOLARIS INV MGMT (SOLA44)	036	OI	S	100	13.51	n/a	n/a	6.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA64)	036	OI	S	800	13.51	n/a	n/a	48.00 6.0
AO,	SOLARIS INV MGMT (SOLA453)	036	OI	S	600	13.61	n/a	n/a	36.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA42)	036	OI	S	100	13.51	n/a	n/a	6.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA543)	036	OI	S	600	13.51	n/a	n/a	36.00 6.0
AO,	SOLARIS INV MGMT (SOLA662)	036	OI	S	400	13.61	n/a	n/a	24.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA694)	036	OI	S	200	13.51	n/a	n/a	12.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA495)	036	OI	S	1,100	13.51	n/a	n/a	66.00 6.0
AO,	SOLARIS INV MGMT (SOLA4)	036	OI	S	300	13.61	n/a	n/a	18.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA92)	036	OI	S	100	13.51	n/a	n/a	6.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA16)	036	OI	S	1,200	13.51	n/a	n/a	72.00 6.0
AO,	SOLARIS INV MGMT (SOLA22)	036	OI	S	100	13.61	n/a	n/a	6.00 6.0
AO,	SOLARIS INV MGMT (SOLA37)	036	OI	S	600	13.61	n/a	n/a	30.00 5.0
AO,	SOLARIS INV MGMT (SOLA44)	036	OI	S	100	13.61	n/a	n/a	6.00 6.0
AO,	SOLARIS INV MGMT (SOLA64)	036	OI	S	800	13.61	n/a	n/a	48.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA75)	036	OI	S	900	13.51	n/a	n/a	54.00 6.0
AO,	SOLARIS INV MGMT (SOLA42)	036	OI	S	100	13.61	n/a	n/a	6.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA614)	036	OI	S	600	13.51	n/a	n/a	36.00 6.0
AO,	SOLARIS INV MGMT (SOLA543)	036	OI	S	600	13.61	n/a	n/a	36.00 6.0
AO,	SOLARIS INV MGMT (SOLA694)	036	OI	S	200	13.61	n/a	n/a	12.00 6.0

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AO,	SOLARIS INV MGMT (SOLA495)	036	OI	S	1,100	13.61	n/a	n/a	66.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA6)	036	OI	S	200	13.51	n/a	n/a	12.00 6.0
AO,	SOLARIS INV MGMT (SOLA92)	036	OI	S	100	13.61	n/a	n/a	6.00 6.0
AO,	SOLARIS INV MGMT (SOLA16)	036	OI	S	1,200	13.61	n/a	n/a	72.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA43)	036	OI	S	100	13.51	n/a	n/a	6.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA1)	036	OI	S	2,600	13.51	n/a	n/a	156.00 6.0
AO,	SOLARIS INV MGMT (SOLA75)	036	OI	S	900	13.61	n/a	n/a	54.00 6.0
AO,	SOLARIS INV MGMT (SOLA614)	036	OI	S	600	13.61	n/a	n/a	36.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA525)	036	OI	S	200	13.51	n/a	n/a	12.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA512)	036	OI	S	2,900	13.51	n/a	n/a	174.00 6.0
AO,	SOLARIS INV MGMT (SOLA6)	036	OI	S	200	13.61	n/a	n/a	12.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA21)	036	OI	S	200	13.51	n/a	n/a	12.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA26)	036	OI	S	300	13.51	n/a	n/a	18.00 6.0
AO,	SOLARIS INV MGMT (SOLA43)	036	OI	S	100	13.61	n/a	n/a	6.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA13)	036	OI	S	300	13.51	n/a	n/a	18.00 6.0
AO,	SOLARIS INV MGMT (SOLA1)	036	OI	S	2,600	13.61	n/a	n/a	156.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA429)	036	OI	S	200	13.51	n/a	n/a	12.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA564)	036	OI	S	300	13.51	n/a	n/a	18.00 6.0
AO,	SOLARIS INV MGMT (SOLA525)	036	OI	S	200	13.61	n/a	n/a	12.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA504)	036	OI	S	300	13.51	n/a	n/a	18.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA511)	036	OI	S	1,000	13.51	n/a	n/a	60.00 6.0
AO,	SOLARIS INV MGMT (SOLA512)	036	OI	S	2,900	13.61	n/a	n/a	174.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA10)	036	OI	S	500	13.51	n/a	n/a	30.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA15)	036	OI	S	200	13.51	n/a	n/a	12.00 6.0
AO,	SOLARIS INV MGMT (SOLA21)	036	OI	S	200	13.61	n/a	n/a	12.00 6.0
AO,	SOLARIS INV MGMT (SOLA26)	036	OI	S	300	13.61	n/a	n/a	18.00 6.0
AO,	SOLARIS INV MGMT (SOLA13)	036	OI	S	300	13.61	n/a	n/a	18.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA49)	036	OI	S	100	13.51	n/a	n/a	6.00 6.0
AO,	SOLARIS INV MGMT (SOLA429)	036	OI	S	200	13.61	n/a	n/a	12.00 6.0
AO,CXL	SOLARIS INV MGMT (SOLA483)	036	OI	S	100	13.51	n/a	n/a	6.00 6.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	SOLARIS INV MGMT (SOLA564)	036	OI	S	300	13.61	n/a	n/a	18.00	6.0
AO,	WHITTIER CAP (WHITCALI)	048	PETC	S	13,450	27.96	n/a	n/a	672.50	5.0
AO,	MKT MAKER (MKT MAKER)	099	QRCP	B	400	11.00	n/a	n/a	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	RDEN	B	100	15.00	18-Aug	18-Aug	0.00	0.0
AO,CXL	CAPITAL GROWTH MGMT. (CAPG4811)	241	SM	S	30,000	40.26	n/a	n/a	1,500.00	5.0
AO,	CAPITAL GROWTH MGMT. (CAPG4810)	241	SM	S	30,000	40.26	n/a	n/a	1,500.00	5.0
AO,	FIRST INV MGMT CORP (FIMCGROWTH)	036	STI	B	5,000	76.22	n/a	n/a	250.00	5.0
AO,	FIRST INV MGMT CORP (FIMCTOTAL)	036	STI	B	1,400	76.22	n/a	n/a	70.00	5.0
AO,	WHITTIER CAP (WHITCALI)	048	SYU	B	24,935	29.91	3-Aug	14-Aug	1,246.75	5.0
AO,	MORRISON & FRASIER (MORRBANC O)	040	ZOLT	B	67,000	20.34	n/a	n/a	3,350.00	5.0
AO,CXL	MORTAR ROCK (MORTUBS)	033	ZOLT	B	67,000	20.34	n/a	n/a	3,350.00	5.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.