



RR Commissions Summary [Ravi Sadarangani]

Trade Date: 08/27/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AAD CAPITAL	084	0	0	0	690	0	0	0	0	0	690	1,720	40
ALLIANZ GLOBAL INVESTOR	084	0	1,447	2,082	2,082	0	0	0	1,447	2,082	2,082	0	0
ALLIANZ/NICOLAS APPLGATE	084	0	1,482	1,482	6,475	0	0	0	1,482	1,482	6,475	11,816	55
BRANDES INVESTMENT	084	0	0	0	0	0	0	0	0	0	0	5,150	0
BTIG/MONT PELERIN	084	0	241	241	241	0	0	0	241	241	241	0	0
CAPITAL WORLD	084	0	11,844	17,993	139,636	0	0	0	11,844	17,993	139,636	35,823	390
COLUMBUS CAP MGMT LLC	084	0	0	2,000	5,000	0	0	0	0	2,000	5,000	28,587	17
CONIFER/GROW	084	0	0	0	1,720	0	0	0	0	0	1,720	1,700	101
EAM INVESTORS	084	0	0	0	500	0	0	0	0	0	500	0	0
EMPYREAN CAPITAL	084	0	0	0	0	0	0	0	0	0	0	74,293	0
FEINBERG INVESTMENTS	084	0	0	0	12,420	0	0	0	0	0	12,420	0	0
FOCUSED INVESTORS	084	0	1,376	6,792	20,608	0	0	0	1,376	6,792	20,608	0	0
FRANKLIN FUND OF CALIFOR	084	0	0	0	0	0	0	0	0	0	0	11,991	0
HAHN CAPITAL MANAGEMENT	084	0	7,200	7,200	8,607	0	0	0	7,200	7,200	8,607	23,087	37
HOTCHKIS & WILEY	084	0	0	13,295	49,470	0	0	0	0	13,295	49,470	0	0
IVORY CAPITAL	084	0	7,802	17,904	67,325	0	0	0	7,802	17,904	67,325	0	0
KAYNE ANDERSON ADV	084	0	0	0	14,208	0	0	0	0	0	14,208	15,042	94
LOMBARDIA CAP MGMT	084	0	2,341	2,341	9,071	0	0	0	2,341	2,341	9,071	0	0
NWQ INVESTMENT MGMT CO	084	0	25,735	26,078	57,978	0	0	0	25,735	26,078	57,978	73,354	79
OSTERWEIS CAPITAL	084	0	0	0	7,760	0	0	0	0	0	7,760	39,934	19
PARKWEST	084	0	1,400	3,496	30,881	0	0	0	1,400	3,496	30,881	29,845	103
PARNASSUS INVESTMENTS	084	0	0	0	0	0	0	0	0	0	0	5,000	0
PEAK INV/OAKMONT/CYPRESS	084	0	12,000	28,760	57,600	0	0	0	12,000	28,760	57,600	45,352	127
PIMCO	084	0	2,600	3,820	11,866	0	0	0	2,600	3,820	11,866	0	0
PRIMECAP MGMT	084	150	2,538	20,181	33,655	0	0	0	2,538	20,181	33,655	80,736	42
ROBERTSON STEVENS	084	0	0	2,200	12,957	0	0	23,500	0	2,200	36,457	88,814	41
STANDARD PACIFIC CAPITAL	084	0	29,352	29,352	165,843	0	0	0	29,352	29,352	165,843	486,608	34
TRUST CO L.A.	084	0	0	0	0	3,151	8,326	14,947	3,151	8,326	14,947	28,847	52
WALL STREET CAPITAL	084	0	1,062	2,474	2,474	0	0	0	1,062	2,474	2,474	1,971	125
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	0	0	0	1,000	0	0	0	0	0	1,000	3,050	33
WEINTRAUB CAPITAL MGT.	084	0	0	0	0	0	0	0	0	0	0	64,723	0
TOTALS:		150	108,418	187,688	720,065	3,151	8,326	38,447	111,569	196,015	758,512		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
CAPITAL RESEARCH GROUP	84	0	5,131	5,606	123,943	0	0	0	5,131	5,606	123,943	255,833	0
TOTALS:		0	5,131	5,606	123,943	0	0	0	5,131	5,606	123,943		

