



RR Commissions Summary [Nicolas Pakler]

Trade Date: 01/28/2014

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	01/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ALTIMETER CAPITAL MGMT.	029	0	0	0	0	0	0	0	0	0	0	38,382	0
BRIGADE CAPITAL MGMT	029	0	6,150	6,150	6,150	41,000	41,000	41,000	47,150	47,150	47,150	139,893	34
COLUMBIA MGMT	029	0	0	0	0	8,264	8,264	8,264	8,264	8,264	8,264	26,764	31
DPB CAPITAL	029	0	500	500	500	0	0	0	500	500	500	5,750	9
EATON VANCE MGMT	029	0	0	0	0	0	0	0	0	0	0	61,206	0
FEDERATED INV/KAUFMAN	029	0	0	0	0	0	0	0	0	0	0	49,345	0
FIDELITY/NICK	029	0	0	0	0	0	0	0	0	0	0	2,475	0
FRONTIER	029	0	0	0	0	0	0	0	0	0	0	57,493	0
GLADE BROOK CAPITAL	029	0	0	0	0	9,306	9,306	9,306	9,306	9,306	9,306	30,621	30
HARVEST MGMT	029	0	400	400	400	0	0	0	400	400	400	25,117	2
LONG POND	029	0	0	0	0	0	0	0	0	0	0	28,200	0
MARCATO CAPITAL MGMT.	029	0	0	0	0	100,000	100,000	100,000	100,000	100,000	100,000	113,572	88
NOMURA CAPITAL	029	0	0	0	0	0	0	0	0	0	0	752	0
PAR CAPITAL	029	0	0	0	0	0	0	0	0	0	0	54,876	0
PENNANT CAP MGMT	029	0	0	0	0	0	0	0	0	0	0	2,900	0
SCOUT CAPITAL	029	0	0	0	0	0	0	0	0	0	0	25,000	0
SMITH MGMT/ALDEN GLOBAL	029	0	29,862	29,862	29,862	0	0	0	29,862	29,862	29,862	291,527	10
THOMPSON SIEGAL&WALMSLEY	029	0	399	399	399	0	0	0	399	399	399	76,774	1
TOTALS:		0	37,311	37,311	37,311	158,570	158,570	158,570	195,881	195,881	195,881		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	01/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ALTIMETER CAPITAL MGMT.	309	0	2,923	2,923	2,923	0	0	0	2,923	2,923	2,923	38,382	0
ATLANTIC TRUST/STEINROE	309	0	0	0	0	0	0	0	0	0	0	28,000	0
CAPITAL GROWTH MGMT.	309	10,000	10,000	10,000	10,000	0	0	0	10,000	10,000	10,000	116,296	0
COPPER ROCK	309	0	0	0	0	0	0	0	0	0	0	0	0
EATON VANCE MGMT	048	0	0	0	0	0	0	0	0	0	0	61,206	0
FIDELITY MANAGEMENT	309	0	0	0	0	0	0	0	0	0	0	34,740	0
FRONTIER	309	0	1,765	1,765	1,765	0	0	0	1,765	1,765	1,765	57,493	0
HIGHFIELDS CAP MGMT	309	0	8,000	8,000	8,000	0	0	0	8,000	8,000	8,000	0	0
MANULIFE ASSET MGMT.	309	0	0	0	0	0	0	0	0	0	0	72,058	0
PAR CAPITAL	309	0	2,160	2,160	2,160	0	0	0	2,160	2,160	2,160	54,876	0
PENNANT CAP MGMT	250	0	0	0	0	0	0	0	0	0	0	2,900	0
PUTNAM SHARED	309	0	0	0	0	0	0	0	0	0	0	60,265	0

PYRAMIS GLOBAL	231	0	0	0	0	0	0	0	0	0	0	18,629	0
THE BOSTON COMPANY	401	0	4,856	4,856	4,856	0	0	0	4,856	4,856	4,856	50,323	0
WELLINGTON MGMT.	035	0	0	0	0	0	0	0	0	0	0	260,000	0
TOTALS:		10,000	29,704	29,704	29,704	0	0	0	29,704	29,704	29,704		

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