



Daily Compliance Activity Report

Trade Date: 04/08/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MENCKE	055	ACGY	B	75	22.33	n/a	n/a	0.00	0.0
INDEPENDENCE INV	039	ADP	S	42,570	43.09	n/a	n/a	1,702.80	4.0
COLONIAL FUND LLC	036	AEO	SS	30,000	17.34	6-Mar	7-Apr	1,500.00	5.0
J L F ASSET MGMT	039	AEO	B	43,100	17.14	6-Mar	7-Apr	1,724.00	4.0
INDEPENDENCE INV	039	AFL	S	68,630	65.42	n/a	n/a	2,745.20	4.0
INDEPENDENCE INV	039	AGN	S	47,420	59.22	31-Jan	8-Apr	1,896.80	4.0
BUCK CAPITAL MGMT	001	ANF	S	30,000	74.35	10-Jan	7-Apr	1,200.00	4.0
J L F ASSET MGMT	039	ANF	SS	8,600	74.58	10-Jan	7-Apr	344.00	4.0
BEAR STEARNS ASSET MGMT.	033	APC	S	25,100	65.01	20-Mar	1-Apr	1,004.00	4.0
HIGHFIELDS CAP MGMT	039	APC	S	150,000	65.40	20-Mar	1-Apr	7,500.00	5.0
BUCK CAPITAL MGMT	001	ARO	B	170,000	26.55	29-Nov	7-Apr	6,800.00	4.0
COUGAR TRADING	044	ARO	B	60,000	26.69	29-Nov	7-Apr	3,000.00	5.0
EGS ASSOCIATES LP	091	ARO	B	5,000	26.48	29-Nov	7-Apr	300.00	6.0
KINGDON ASSET MGMT	041	ASML	C	100,000	24.76	n/a	n/a	4,000.00	4.0
WAGONHOUND	048	AXE	B	16,790	64.91	28-Mar	4-Apr	671.60	4.0
INDEPENDENCE INV	039	AXP	S	46,530	46.60	n/a	n/a	1,861.20	4.0
B BUCK CAPITAL MGMT		BBBY	SS	50,000	30.70	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EFSTATHIOU	044	BBI	S	1,000	3.34	n/a	n/a	0.00	0.0
LISTED ERR	099	BBI	B	6,773	3.40	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		BBY	C	30,000	42.39	n/a	27-Jul	non-brg	4.0
PHILADELPHIA FINANCIAL	033	BEN	S	12,939	103.78	24-Mar	2-Apr	646.95	5.0
⌘ BUCK CAPITAL MGMT		BHQ+TY	SS	1,000	1.91	n/a	n/a	non-brg	300.0
J L F ASSET MGMT	039	CAKE	SS	57,600	21.74	n/a	24-Apr	2,304.00	4.0
FRONTPOINT	044	CHK	B	29,900	47.79	n/a	n/a	1,196.00	4.0
STADIA CAPITAL	035	CHK	B	110,100	47.79	n/a	n/a	4,404.00	4.0
⌘ BUCK CAPITAL MGMT		COH	B	20,000	31.68	4-Apr	4-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		COH	B	40,000	32.18	4-Apr	4-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CPKI	SS	20,000	14.32	n/a	n/a	non-brg	4.0
TRUST CO/COWEN/CALF.	040	CVX	S	10,000	88.95	n/a	n/a	500.00	5.0
ARIENCE CAPITAL	036	DKS	SS	61,000	25.60	n/a	14-Mar	3,050.00	5.0
PRINCIPLE CAPITAL	040	DKS	B	18,400	25.51	n/a	14-Mar	552.00	3.0
BUCK CAPITAL MGMT	001	DSW	S	100,000	13.13	28-Mar	28-Mar	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		DSW	S	38,108	13.06	28-Mar	28-Mar	non-brg	1.0
INDEPENDENCE INV	039	DUK	B	122,750	18.39	n/a	n/a	4,910.00	4.0
⌘ BUCK CAPITAL MGMT		EAT+QW	SS	1,500	0.75	n/a	n/a	non-brg	100.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		EAT	SS	20,000	18.64	n/a	24-Apr	non-brg	4.0
MILLENIUM PARTNERS	028	EBAY	B	29,300	31.62	n/a	n/a	1,465.00	5.0
BUCK CAPITAL MGMT		FDO+QW	SS	1,000	0.62	n/a	n/a	non-brg	100.0
LEDDY	035	FIG	B	800	13.65	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	FII	B	8,000	35.29	7-Apr	7-Apr	400.00	5.0
BUCK CAPITAL MGMT		FLDR	C	28,191	6.57	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		FMQ+QF	SS	1,000	0.75	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FMQ+QS	SS	1,000	1.75	n/a	n/a	non-brg	300.0
DSG CAPITAL	225	FOR	B	3,069	24.00	n/a	n/a	153.45	5.0
INDEPENDENCE INV	039	FPL	S	60,900	65.81	n/a	n/a	2,436.00	4.0
CUMBERLAND	038	GCO	B	20,000	23.74	n/a	10-Jan	800.00	4.0
DELTEC ASSET MGMT.	040	GLW	S	10,000	26.12	n/a	27-Mar	500.00	5.0
CR INTRINIC INVESTMENT	044	GNA	SS	100,000	15.63	n/a	n/a	5,000.00	5.0
RAMIUS CAP	040	GNTX	SS	125,000	17.31	7-Apr	7-Apr	6,250.00	5.0
FORD FDN.	032	GR	B	24,000	57.98	28-Mar	28-Mar	1,200.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
INDEPENDENCE INV	039	GR	S	47,400	58.03	28-Mar	28-Mar	1,896.00	4.0
INDEPENDENCE INV	039	HAL	S	48,990	41.55	n/a	n/a	1,959.60	4.0
⌘ BUCK CAPITAL MGMT		HAS+SY	SS	1,280	1.00	n/a	n/a	non-brg	300.0
MENCKE	055	HLTH	S	100	9.72	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	IP	B	10,000	29.05	8-Feb	7-Apr	600.00	6.0
PERFORMANCE PART LP #2	041	IP	SS	5,000	29.06	8-Feb	7-Apr	250.00	5.0
SCOPUS FUND	041	IP	SS	75,000	29.04	8-Feb	7-Apr	3,750.00	5.0
FIRST NY SEC/CHRIS	041	IVZ	B	10,000	25.10	10-Mar	4-Apr	600.00	6.0
CROWLEY	061	JMCVX	S	684	22.08	n/a	n/a	0.00	0.0
CATTANI	041	JNS	B	300	25.99	24-Mar	4-Apr	0.00	0.0
ZOLIDIS	011	JOSB	B	600	22.99	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LIZ+SW	SS	500	1.27	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	LIZ	SS	20,000	18.53	n/a	17-Mar	800.00	4.0
⌘ BUCK CAPITAL MGMT		LIZ	SS	50,000	18.82	n/a	17-Mar	non-brg	3.0
AELTUS/ING INVESTMENT MGT	044	LLL	S	7,200	111.10	n/a	n/a	288.00	4.0
⌘ BUCK CAPITAL MGMT		LTD	S	100,000	17.38	n/a	7-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	S	100,000	17.66	n/a	7-Apr	non-brg	4.0
MERRILL ASSET	032	MLI	S	19,600	30.41	5-Feb	28-Mar	882.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
INDEPENDENCE INV	039	MON	S	49,490	118.27	n/a	2-Apr	1,979.60	4.0
B BUCK CAPITAL MGMT		MW+TD	SS	1,600	1.60	n/a	n/a	non-brg	300.0
PERFORMANCE PART LP #2	041	MWV	SS	5,000	28.05	7-Apr	8-Apr	250.00	5.0
PILOT ADV	038	M	S	5,000	23.91	7-Feb	7-Apr	200.00	4.0
AELTUS/ING INVESTMENT MGT	044	NKE	S	57,700	66.85	n/a	n/a	2,308.00	4.0
MAGNETAR FINANCIAL	031	NOK	B	35,000	33.51	n/a	n/a	1,400.00	4.0
PROSPECTOR PARTNERS LLC	036	NP	B	10,000	26.22	7-Apr	7-Apr	400.00	4.0
B BUCK CAPITAL MGMT		NTRI	B	40,000	18.45	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		NTRI	B	60,000	18.67	n/a	n/a	non-brg	2.5
J L F ASSET MGMT	039	PLCE	SS	25,000	22.87	24-Mar	7-Apr	1,000.00	4.0
PROSPECTOR PARTNERS LLC	036	PPS	B	10,000	38.67	n/a	n/a	400.00	4.0
CROWLEY	061	PRISX	B	965	15.54	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PSUN	SS	70,000	13.16	n/a	7-Apr	non-brg	4.0
COUGAR TRADING	044	PSUN	SS	20,000	13.25	n/a	7-Apr	1,000.00	5.0
B BUCK CAPITAL MGMT		QTF+QG	SS	1,000	1.05	n/a	n/a	non-brg	300.0
FIRST NEW YORK LEDDY	035	Q	B	20,000	4.69	n/a	n/a	1,200.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		RSH	C	100,000	15.84	n/a	n/a	non-brg	4.0
CUMBERLAND	038	RT	B	10,000	7.74	n/a	n/a	400.00	4.0
XYLEM GLOBAL	091	RYN	B	165,000	44.83	8-Jan	25-Jan	8,250.00	5.0
⌘ BUCK CAPITAL MGMT		SDS	B	100,000	59.88	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		SOAP	C	21,700	7.36	n/a	n/a	non-brg	1.0
NORTHPOINT	030	SPEC	B	9,510	8.64	n/a	n/a	285.30	3.0
KACELA CAPITAL	034	SPLS	S	30,000	22.40	n/a	27-Jul	1,200.00	4.0
KAICHER	064	SPNG	B	250,000	0.02	n/a	n/a	0.00	0.0
PRYLUCKI	092	SSO	B	75	70.46	n/a	n/a	0.00	0.0
BARR	096	SYNP	S	5,000	7.85	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		TIF+QH	SS	2,250	1.40	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		TIF+TG	SS	2,000	1.75	n/a	n/a	non-brg	300.0
AELTUS/ING INVESTMENT MGT	044	TJX	S	31,400	32.12	n/a	7-Apr	1,256.00	4.0
BARR	096	TSRA	C	1,000	21.76	n/a	n/a	0.00	0.0
MTB INV ADV	034	TWB	S	19,400	23.90	17-Mar	17-Mar	970.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROXBURY CAPITAL MGMT	035	TWB	S	124,770	23.96	17-Mar	17-Mar	4,990.80	4.0
ROB4	035	TWB	S	165	23.96	17-Mar	17-Mar	6.60	4.0
ROBX	035	TWB	S	65	23.96	17-Mar	17-Mar	2.60	4.0
EFSTATHIOU	044	TWTC	B	500	17.02	9-Nov	4-Jan	0.00	0.0
MAGNETAR FINANCIAL	031	TXN	B	24,000	29.48	n/a	n/a	960.00	4.0
TRUST CO/COWEN/CALF.	040	T	S	20,000	38.77	n/a	4-Jan	1,000.00	5.0
B BUCK CAPITAL MGMT		URBN	B	10,000	31.85	3-Apr	3-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		VIRL	S	23,103	6.02	n/a	n/a	non-brg	1.0
MERRILL ASSET	032	VQ	B	11,600	12.08	n/a	n/a	522.00	4.5
GRANAHAH INV	039	WBC	B	49,600	45.91	28-Mar	28-Mar	1,984.00	4.0
CARDINAL CAPITAL	044	WLL	S	36,700	68.53	n/a	n/a	1,835.00	5.0
AELTUS/ING IVESTMENT MGT	044	WMT	B	15,100	54.32	n/a	8-Apr	604.00	4.0
B BUCK CAPITAL MGMT		WSM+QX	SS	1,000	0.70	n/a	n/a	non-brg	100.0
DSG CAPITAL	225	XRT	SS	5,000	32.30	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	ZLC	SS	30,000	19.00	n/a	n/a	1,200.00	4.0
TOTAL (Trade Date):								122,896.50	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/07	DURANGO INVESTMENTS	031	NBR	S	25,000	36.04	n/a	n/a	1000.00 4.0
AO,	04/07	MKT MAKER	097	PRFT	B	36	8.42	n/a	n/a	0.00 0.0
AO,CXL	04/04	KNOTT PARTNERS	044	PRX	S	42,791	17.40	n/a	8-Apr	2139.55 5.0
AO,CRT	04/04	KNOTT PARTNERS	044	PRX	S	42,791	17.40	n/a	8-Apr	1711.64 4.0
TOTAL (AO, CXL, CRT) :										572.09

TOTAL (Trade Date) :	122,896.50
TOTAL (AO, CXL, CRT) :	572.09

TOTAL (RUN DATE) / NFS:	123,468.59
--------------------------------	-------------------

MRI Securities with Trade Activity on 8-Apr. NONE
--

MRI Securities without Trade Activity on 8-Apr. NONE

BRG comm. as a % of BCM Total Comm. paid. T-Date = 18% YTD = 23%
--

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION