



Daily Compliance Activity Report

Trade Date: 08/26/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	40,000	13.65	6-Mar	8-Aug	2,000.00	5.0
COUGAR TRADING	044	AEO	S	10,000	14.10	6-Mar	8-Aug	500.00	5.0
COOKBIELER	028	ALV	B	12,100	38.48	23-Jul	30-Jul	484.00	4.0
SOUNDPOST CAP MGMT	048	AMB	B	20,000	43.52	n/a	n/a	1,000.00	5.0
BEAR STEARNS ASSET MGMT.	033	ANN	C	50,000	23.99	n/a	22-Aug	2,000.00	4.0
SCOPUS FUND	041	AN	SS	50,000	11.05	25-Jul	28-Jul	2,500.00	5.0
WAGONHOUND/NIC	048	AN	SS	57,694	11.24	25-Jul	28-Jul	2,307.76	4.0
EPOCH INV MGMT	030	ARB	S	8,150	47.85	n/a	n/a	326.00	4.0
CATAPULT	040	BAC	SS	5,000	29.21	n/a	15-Aug	250.00	5.0
1798 CAPITAL MGMT	040	BIG	SS	19,200	31.01	29-May	26-Aug	960.00	5.0
HIGHBRIDGE/MS OLDS	041	BK	B	10,000	33.90	n/a	15-Aug	400.00	4.0
HIGHBRIDGE CAPITAL	038	BNI	B	15,000	102.21	n/a	n/a	750.00	5.0
MILLRACE FUND LP	028	BWA	SS	10,000	40.24	10-Jun	1-Aug	400.00	4.0
WAGONHOUND/NIC	048	BWA	SS	7,024	40.35	10-Jun	1-Aug	280.96	4.0
HIGHBRIDGE/MS OLDS	041	CF	S	25,000	148.03	n/a	n/a	1,000.00	4.0
VISIUM/BALY HOWARD	038	CHS	B	50,000	5.22	8-Aug	26-Aug	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CMG	B	30,000	67.91	24-Jul	24-Jul	1,200.00	4.0
1798 CAPITAL MGMT	040	COST	S	15,000	66.15	n/a	27-Jul	750.00	5.0
CATAPULT	040	C	SS	5,000	17.92	19-Jun	15-Aug	250.00	5.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	22,700	38.63	n/a	8-Aug	908.00	4.0
WASHINGTON ASSET	048	DVN	B	5,000	103.90	7-May	21-Aug	250.00	5.0
BUCK CAPITAL MGMT	001	GES	B	20,000	35.65	n/a	n/a	800.00	4.0
TRELLUS PARTNERS LP	028	GNTX	SS	10,000	15.73	24-Jun	28-Jul	500.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	10,000	21.38	10-Jun	30-Jul	500.00	5.0
COUGAR TRADING	044	GPS	B	5,000	19.13	n/a	22-Aug	250.00	5.0
BUCK CAPITAL MGMT	001	HAS	B	38,000	35.63	n/a	n/a	1,520.00	4.0
KAICHER	064	HK	S	1,000	35.33	n/a	n/a	0.00	0.0
CUMBERLAND	038	HSNI	B	100,000	16.04	n/a	n/a	4,000.00	4.0
CRAMER ROSENTHAL/SCOTT	012	IVZ	S	27,000	25.18	4-Aug	18-Aug	1,080.00	4.0
CATAPULT	040	JNS	B	4,000	25.17	25-Jul	18-Aug	200.00	5.0
N STRATTON	014	LBV	B	200	9.18	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	LTD	B	5,000	20.23	19-Aug	21-Aug	250.00	5.0
HIGHBRIDGE CAPITAL	038	NSC	B	11,100	69.46	n/a	n/a	555.00	5.0
BUCK CAPITAL MGMT	001	OXM	B	10,000	21.45	n/a	n/a	400.00	4.0
SIGMA CAPITAL ASSOCIATES	237	PG	SS	20,000	70.05	18-Aug	18-Aug	1,000.00	5.0
1798 CAPITAL MGMT	040	PNRA	SS	20,000	51.79	n/a	6-Aug	1,000.00	5.0
COUGAR TRADING	044	PSUN	B	55,000	5.64	n/a	22-Aug	2,200.00	4.0
1798 CAPITAL MGMT	040	RL	S	10,000	72.45	n/a	7-Aug	500.00	5.0
IRIDIAN ASSET MGMT.	036	ROC	B	75,740	37.41	n/a	n/a	3,787.00	5.0
CARDINAL CAPITAL	044	SSI	S	10,100	16.00	n/a	22-Aug	505.00	5.0
HIGHBRIDGE/MS OLDS	041	STT	B	20,000	65.10	n/a	n/a	800.00	4.0
LEDDY	035	TEN	C	700	14.63	n/a	n/a	0.00	0.0
1798 CAPITAL MGMT	040	TIF	B	25,000	38.91	n/a	2-Jun	1,250.00	5.0
COUGAR TRADING	044	URBN	SS	5,000	33.98	14-Aug	14-Aug	250.00	5.0
GOLDMAN SACHS ASSET MGMT	044	UTX	S	65,000	64.81	n/a	n/a	2,600.00	4.0
WHITE PINE CAPITAL	030	WAG	B	5,450	35.50	n/a	n/a	272.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	WMT	S	10,000	58.72	8-Aug	14-Aug	500.00	5.0
BUCK CAPITAL MGMT	001	WRC	S	35,000	49.21	8-Aug	8-Aug	1,400.00	4.0
COUGAR TRADING	044	WRC	SS	5,000	48.72	8-Aug	8-Aug	250.00	5.0
TOTAL (Trade Date) :								47,386.22	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/22	CENTURION GLOBAL MGMT LL (CGLMSCO)	041	CTXS	B	13,300	30.98	n/a	n/a	399.00 3.0
AO,CXL	08/22	CENTURION PARTNERS (CENTGSCO)	255	CTXS	B	13,300	30.98	n/a	n/a	665.00 5.0
AO,CXL	08/20	ADALY INV MGMT (ADALNOUSE)	067	EEM	B	10,500	40.10	n/a	n/a	525.00 5.0
AO,CRT	08/20	ADALY INV MGMT (ADALMANOMI)	067	EEM	B	10,500	40.10	n/a	n/a	525.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM40)	036	ROC	B	330	37.37	n/a	n/a	16.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM182)	036	ROC	B	2,150	37.37	n/a	n/a	107.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM281)	036	ROC	B	70	37.37	n/a	n/a	3.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM43)	036	ROC	B	260	37.37	n/a	n/a	13.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM279)	036	ROC	B	110	37.37	n/a	n/a	5.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM59)	036	ROC	B	300	37.37	n/a	n/a	15.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM276)	036	ROC	B	100	37.37	n/a	n/a	5.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM166)	036	ROC	B	550	37.37	n/a	n/a	27.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM265)	036	ROC	B	110	37.37	n/a	n/a	5.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM112)	036	ROC	B	1,440	37.37	n/a	n/a	72.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM15)	036	ROC	B	750	37.37	n/a	n/a	37.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM155)	036	ROC	B	70	37.37	n/a	n/a	3.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM8001)	036	ROC	B	1,290	37.37	n/a	n/a	64.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM138)	036	ROC	B	220	37.37	n/a	n/a	11.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM177)	036	ROC	B	340	37.37	n/a	n/a	17.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM176)	036	ROC	B	870	37.37	n/a	n/a	43.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM86)	036	ROC	B	1,340	37.37	n/a	n/a	67.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM198)	036	ROC	B	320	37.37	n/a	n/a	16.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM330)	036	ROC	B	260	37.37	n/a	n/a	13.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM50)	036	ROC	B	470	37.37	n/a	n/a	23.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM134)	036	ROC	B	100	37.37	n/a	n/a	5.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM329)	036	ROC	B	70	37.37	n/a	n/a	3.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM113)	036	ROC	B	100	37.37	n/a	n/a	5.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM124)	036	ROC	B	80	37.37	n/a	n/a	4.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM327)	036	ROC	B	510	37.37	n/a	n/a	25.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM120)	036	ROC	B	1,300	37.37	n/a	n/a	65.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM328)	036	ROC	B	50	37.37	n/a	n/a	2.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM32)	036	ROC	B	430	37.37	n/a	n/a	21.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM116)	036	ROC	B	120	37.37	n/a	n/a	6.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM326)	036	ROC	B	20	37.37	n/a	n/a	1.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM45)	036	ROC	B	250	37.37	n/a	n/a	12.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM106)	036	ROC	B	190	37.37	n/a	n/a	9.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM325)	036	ROC	B	50	37.37	n/a	n/a	2.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM117)	036	ROC	B	830	37.37	n/a	n/a	41.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM0323)	036	ROC	B	40	37.37	n/a	n/a	2.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM185)	036	ROC	B	1,110	37.37	n/a	n/a	55.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM33)	036	ROC	B	170	37.37	n/a	n/a	8.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM0322)	036	ROC	B	60	37.37	n/a	n/a	3.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM51)	036	ROC	B	180	37.37	n/a	n/a	9.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM8005)	036	ROC	B	18,810	37.37	n/a	n/a	940.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM321)	036	ROC	B	130	37.37	n/a	n/a	6.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM174)	036	ROC	B	480	37.37	n/a	n/a	24.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM220)	036	ROC	B	140	37.37	n/a	n/a	7.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM227)	036	ROC	B	340	37.37	n/a	n/a	17.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM0319)	036	ROC	B	110	37.37	n/a	n/a	5.50	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM295)	036	ROC	B	60	37.37	n/a	n/a	3.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM317)	036	ROC	B	180	37.37	n/a	n/a	9.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM294)	036	ROC	B	100	37.37	n/a	n/a	5.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM274)	036	ROC	B	440	37.37	n/a	n/a	22.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM97)	036	ROC	B	160	37.37	n/a	n/a	8.00	5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM314)	036	ROC	B	130	37.37	n/a	n/a	6.50	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM302)	036	ROC	B	250	37.37	n/a	n/a	12.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM313)	036	ROC	B	40	37.37	n/a	n/a	2.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM187)	036	ROC	B	670	37.37	n/a	n/a	33.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM125)	036	ROC	B	2,950	37.37	n/a	n/a	147.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM312)	036	ROC	B	140	37.37	n/a	n/a	7.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM136)	036	ROC	B	120	37.37	n/a	n/a	6.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM143)	036	ROC	B	200	37.37	n/a	n/a	10.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM311)	036	ROC	B	190	37.37	n/a	n/a	9.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM53)	036	ROC	B	2,030	37.37	n/a	n/a	101.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM47)	036	ROC	B	3,030	37.37	n/a	n/a	151.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM151)	036	ROC	B	580	37.37	n/a	n/a	29.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM310)	036	ROC	B	330	37.37	n/a	n/a	16.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM26)	036	ROC	B	7,250	37.37	n/a	n/a	362.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM157)	036	ROC	B	430	37.37	n/a	n/a	21.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM309)	036	ROC	B	320	37.37	n/a	n/a	16.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM162)	036	ROC	B	770	37.37	n/a	n/a	38.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM298)	036	ROC	B	20	37.37	n/a	n/a	1.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM24)	036	ROC	B	1,500	37.37	n/a	n/a	75.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM16)	036	ROC	B	910	37.37	n/a	n/a	45.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM105)	036	ROC	B	340	37.37	n/a	n/a	17.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM307)	036	ROC	B	530	37.37	n/a	n/a	26.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM14)	036	ROC	B	710	37.37	n/a	n/a	35.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM12)	036	ROC	B	800	37.37	n/a	n/a	40.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM56)	036	ROC	B	340	37.37	n/a	n/a	17.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM10)	036	ROC	B	1,470	37.37	n/a	n/a	73.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM306)	036	ROC	B	30	37.37	n/a	n/a	1.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM305)	036	ROC	B	1,820	37.37	n/a	n/a	91.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM303)	036	ROC	B	840	37.37	n/a	n/a	42.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM28)	036	ROC	B	960	37.37	n/a	n/a	48.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM4)	036	ROC	B	1,970	37.37	n/a	n/a	98.50 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM301)	036	ROC	B	510	37.37	n/a	n/a	25.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM29)	036	ROC	B	1,150	37.37	n/a	n/a	57.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM289)	036	ROC	B	400	37.37	n/a	n/a	20.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM22)	036	ROC	B	760	37.37	n/a	n/a	38.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM30)	036	ROC	B	330	37.37	n/a	n/a	16.50 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM283)	036	ROC	B	40	37.37	n/a	n/a	2.00 5.0
AO,	08/25	IRIDIAN ASSET MGMT. (IRAM282)	036	ROC	B	120	37.37	n/a	n/a	6.00 5.0

TOTAL (AO, CXL, CRT) : **3,381.00**

TOTAL (Trade Date) : 47,386.22
TOTAL (AO, CXL, CRT) : 3,381.00

TOTAL (RUN DATE) / NFS: **50,767.22**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION