



Daily Compliance Activity Report

Trade Date: 03/26/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AEO	B	200,000	17.64	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	200,000	17.64	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	25,000	17.51	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	32,000	17.48	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	40,000	17.48	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	50,000	17.48	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	50,000	17.51	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	60,000	17.49	n/a	2-Dec	non-brg	4.0
MOORE CAPITAL	041	ANF	S	14,000	51.50	n/a	16-Feb	420.00	3.0
BUCK CAPITAL MGMT		ARO	B	30,000	21.40	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAP	041	AUY	B	32,000	16.10	n/a	n/a	960.00	3.0
BUCK CAPITAL MGMT		BBBY	S	20,000	66.85	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BBBY	S	7,500	66.74	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BWLD	C	10,000	93.89	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BWLD	C	20,000	93.79	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		BWLD	C	30,000	93.22	n/a	n/a	non-brg	2.5
DAVIDSON INV ADV	035	BWLD	S	8,700	94.04	n/a	n/a	348.00	4.0
DC CAPITAL	044	CFX	S	30,000	34.32	n/a	n/a	1,200.00	4.0
M&I FUNDS	047	CHK	S	25,411	24.28	n/a	n/a	858.33	17.9
MTB INV ADV	034	CHSI	B	20,600	63.85	n/a	n/a	669.50	3.3

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RIVERPARK CAPITAL	025	COG	B	11,200	32.09	n/a	23-Mar	560.00	5.0
MERRILL ASSET/BLACKROCK	297	CSE	S	21,500	6.82	n/a	n/a	860.00	4.0
KLEINHEINZ CAP PART	031	DDS	B	46,300	63.51	n/a	n/a	2,315.00	5.0
1798 CAP/LOMBARD ASSET	040	DE	C	9,000	82.66	n/a	23-Feb	450.00	5.0
PATTEN & PATTEN	025	DIS	S	100	44.16	n/a	n/a	5.00	5.0
B BUCK CAPITAL MGMT		DKS	S	30,000	49.33	n/a	7-Mar	non-brg	4.0
f DAVID GEORGE BUCK	FID	EQR	S	2000	60.78	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	EQR	S	300	60.77	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		EXPR	S	20,000	25.43	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		EXPR	S	20,050	25.70	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		EXPR	S	66,600	25.45	n/a	n/a	non-brg	0.8
f MICHAEL MARRANO	FID	FAGCX	S	36	44.05	n/a	n/a	0.00	0.0
SUFFOLK CAPITAL MGMT	040	FINL	S	3,400	25.75	n/a	16-Feb	136.00	4.0
STANDARD PACIFIC CAPITAL	084	FIO	SS	175,000	29.48	n/a	n/a	8,750.00	5.0
MTB INV ADV	034	FTK	S	10,100	12.82	n/a	n/a	328.25	3.3
DAVIDSON INV ADV	035	F	B	64,935	12.45	n/a	12-Mar	2,597.40	4.0
B BUCK CAPITAL MGMT		GIII	B	20,000	28.74	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	025	GLW	S	300	14.15	n/a	12-Mar	15.00	5.0
B BUCK CAPITAL MGMT		GPS	B	100,000	26.84	n/a	n/a	non-brg	4.0
KINGDON ASSET MGMT	041	HD	S	25,000	49.93	n/a	21-Feb	1,000.00	4.0
HIGHBRIDGE CAP	041	HFC	S	15,000	34.29	n/a	n/a	450.00	3.0
ROTHSCHILD ASSET	040	HL	S	12,840	4.68	n/a	n/a	513.60	4.0
f BARBARA S LEDDY	FID	HSC	B	400	23.30	n/a	23-Mar	0.00	0.0
f KAREN F LEDDY	FID	HSC	B	1200	23.20	n/a	23-Mar	0.00	0.0
f SEAN F LEDDY	FID	HSC	B	200	23.22	n/a	23-Mar	0.00	0.0
f THOMAS MACTAGGART LE	FID	HSC	B	250	23.26	n/a	23-Mar	0.00	0.0
MERRILL ASSET/BLACKROCK	297	IACI	S	2,600	50.75	n/a	n/a	104.00	4.0
f ALEXANDRA ELISE ZOLI	FID	IGT	B	600	17.07	n/a	13-Jun	0.00	0.0
CATAPULT	040	JPM	S	10,000	45.89	n/a	26-Mar	400.00	4.0
MERRILL ASSET/BLACKROCK	297	JPM	B	37,470	45.97	n/a	26-Mar	1,498.80	4.0
VERITION	028	KORS	B	12,500	47.24	n/a	n/a	375.00	3.0
KINGDON ASSET MGMT	041	LOW	S	57,500	31.09	n/a	28-Feb	2,300.00	4.0
COLUMBUS CIRCLE	036	LSI	B	14,292	8.92	n/a	n/a	571.68	4.0
B BUCK CAPITAL MGMT		LTD	S	10,000	49.28	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		MSMSBCM	C	50,000	112.16	n/a	n/a	non-brg 9.0
CYRUS CAPITAL	025	MWV	B	35,000	31.21	n/a	21-Mar	1,050.00 3.0
SIMMONS VENTURES	041	M	B	5,000	40.21	n/a	2-Mar	200.00 4.0
MERRILL ASSET/BLACKROCK	297	NBR	B	65,370	18.87	n/a	n/a	2,614.80 4.0
f JOHN MICHAEL ZOLIDIS	FID	NFLX	B	20	121.75	n/a	n/a	0.00 0.0
PATTEN & PATTEN	025	PEP	S	100	65.70	n/a	n/a	5.00 5.0
B BUCK CAPITAL MGMT		PERY	C	4,000	18.54	n/a	n/a	non-brg 4.0
B BUCK CAPITAL MGMT		PERY	C	4,000	18.54	n/a	n/a	non-brg 4.0
ACK ASSET	028	PNK	S	155,915	10.43	n/a	n/a	4,677.45 3.0
f MICHAEL MARRANO	FID	SPHIX	B	208	9.00	n/a	n/a	0.00 0.0
f WILLIAM WEINBERG	FID	SQBG	B	10000	0.32	n/a	n/a	0.00 0.0
B BUCK CAPITAL MGMT		TFM	C	22,500	48.57	n/a	n/a	non-brg 4.0
f MICHAEL MARRANO	FID	TPINX	B	118	13.16	n/a	n/a	0.00 0.0
B BUCK CAPITAL MGMT		WRC	B	50,000	57.28	n/a	23-Mar	non-brg 4.0
HIGHBRIDGE CAP	041	XOP	B	9,000	59.17	n/a	n/a	270.00 3.0

TOTAL (Trade Date):

36,502.81

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									36,502.81
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									36,502.81

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 26-Mar.
NONE

MRI Securities without Trade Activity on 26-Mar.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)