



Daily Compliance Activity Report

Trade Date: 03/13/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SONTERRA CAP	041	AAI	B	50,000	4.00	n/a	n/a	1,000.00	2.0
B BUCK CAPITAL MGMT		ACC	C	1,864	18.52	n/a	n/a	non-brg	1.0
ACK ASSET	028	AEO	B	15,000	10.36	n/a	4-May	450.00	3.0
B BUCK CAPITAL MGMT		AEO	B	100,000	10.40	n/a	4-May	non-brg	4.0
TRELLUS PARTNERS LP	028	ALV	SS	15,000	15.63	12-Mar	22-Apr	750.00	5.0
B BUCK CAPITAL MGMT		AMB	C	4,940	13.66	n/a	n/a	non-brg	1.0
DAWSON SANBERG & CO	041	AMR	S	170,500	3.64	n/a	n/a	5,115.00	3.0
B BUCK CAPITAL MGMT		ANF	C	100,000	21.40	n/a	4-May	non-brg	4.0
WINSLOW CAPITAL	030	APOL	B	1,500	66.33	n/a	n/a	60.00	4.0
B BUCK CAPITAL MGMT		ARE	C	6,400	40.46	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	ARO	S	20,000	25.14	n/a	4-May	800.00	4.0
B BUCK CAPITAL MGMT		ATVI	S	370,000	9.81	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		AVB	C	3,320	49.43	n/a	n/a	non-brg	1.0
MATLIN PATTERSON	046	AVB	S	80,564	49.72	n/a	n/a	2,416.92	3.0
f MANNY I KORMAN	FID	BACPRU	B	4000	10.46	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	BAC	B	1000	6.16	2-Mar	7-May	0.00	0.0
B BUCK CAPITAL MGMT		BBY	SS	50,000	29.74	n/a	27-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		BMR	C	3,640	8.21	n/a	n/a	non-brg	1.0
JAT CAPITAL MANAGEMENT	041	BRCM	S	127,000	18.90	n/a	n/a	5,080.00	4.0
B BUCK CAPITAL MGMT		BRE	C	2,242	22.25	n/a	n/a	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	BWA	S	1000	17.92	12-Mar	1-May	0.00	0.0
PROSPECTOR PARTNERS LLC	036	BWA	SS	15,000	18.26	12-Mar	1-May	600.00	4.0
TRELLUS PARTNERS LP	028	BWA	SS	14,700	18.13	12-Mar	1-May	735.00	5.0
B BUCK CAPITAL MGMT		BXP	C	10,400	37.79	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		CAKE	C	30,000	9.90	n/a	24-Apr	non-brg	3.0
f JOSEPH CIRO AMATURO	FID	CAT	S	1000	26.42	n/a	22-Apr	0.00	0.0
B BUCK CAPITAL MGMT		CCL	C	20,000	20.73	n/a	26-Jan	non-brg	4.0
B BUCK CAPITAL MGMT		CHRS	B	20,000	0.99	n/a	22-Mar	non-brg	1.0
MATLIN PATTERSON	046	CIT	B	12,200	2.46	22-Jan	6-May	366.00	3.0
BUCK CAPITAL MGMT	001	CMG	B	50,000	55.67	23-Oct	23-Apr	2,000.00	4.0
B BUCK CAPITAL MGMT		COH	B	140,000	14.56	9-Jan	22-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		CPT	C	1,844	23.42	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CUZ	C	2,664	7.32	n/a	n/a	non-brg	1.0
PRINCIPLE CAPITAL	040	CVS	B	40,000	26.53	n/a	n/a	1,600.00	4.0
f MARK MEGALLI	FID	C	S	5000	1.83	n/a	24-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		DOU GR	C	30,000	38.84	n/a	n/a	non-brg	5.8
ß BUCK CAPITAL MGMT		DRE	C	2,480	6.08	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	DRI	B	50,000	28.19	19-Dec	19-Mar	2,000.00	4.0
ß BUCK CAPITAL MGMT		EGP	C	4,240	25.98	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ELS	C	3,398	37.55	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		EQR	C	5,120	20.30	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ESS	C	2,660	65.70	n/a	n/a	non-brg	1.0
f KAREN F LEDDY	FID	EV	S	900	18.68	9-Mar	7-May	0.00	0.0
ß BUCK CAPITAL MGMT		EXR	C	2,960	5.57	n/a	n/a	non-brg	1.0
f JONATHAN RICHARD HAR	FID	FAS	S	480	4.61	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	FAS	B	3000	5.12	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	FCX	S	24,600	37.09	n/a	n/a	1,107.00	4.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		FRT	C	15,684	46.34	n/a	n/a	non-brg 1.0
MANNY I KORMAN	FID	FXI	B	4000	26.33	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT	001	GCO	B	45,400	15.37	n/a	10-Jan	1,816.00 4.0
BUCK CAPITAL MGMT		GCO	B	75,000	15.46	n/a	10-Jan	non-brg 2.0
JOSEPH CIRO AMATURO	FID	GE	S	2000	9.47	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		GPS	B	100,000	11.67	n/a	30-Jan	non-brg 4.0
ALEXANDER PRYLUCKI	FID	GS	B	20	96.96	n/a	24-Apr	0.00 0.0
BUCK CAPITAL MGMT		GYMB	B	20,000	18.63	5-Mar	7-May	non-brg 4.0
COUGAR TRADING	044	GYMB	B	15,000	18.38	5-Mar	7-May	750.00 5.0
BUCK CAPITAL MGMT		HIW	C	2,160	20.37	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		HME	C	1,240	31.63	n/a	n/a	non-brg 1.0
JOSEPH CIRO AMATURO	FID	HYP	B	10000	2.27	n/a	n/a	0.00 0.0
TRUST CO/COWEN/CALF.	040	IPG	S	61,000	4.02	n/a	n/a	2,440.00 4.0
DOWNTOWN ASSOC	028	IWM	B	150,000	39.40	n/a	n/a	5,250.00 3.5
BUCK CAPITAL MGMT		JOSB	B	20,000	26.76	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	JWN	SS	20,000	15.48	20-Feb	4-May	800.00 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f NEIL M STRATTON	FID	KATY	S	490	0.57	n/a	n/a	0.00	0.0
f NEIL M STRATTON	FID	KATY	S	495	0.57	n/a	n/a	0.00	0.0
f STRATTON PAR TNERSHI	FID	KATY	S	490	0.57	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		KIM	C	7,400	9.31	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	KR	B	25,000	21.23	n/a	n/a	1,250.00	5.0
AVESTA CAP	229	KSS	S	60,000	38.18	n/a	4-May	3,000.00	5.0
BALYASNY ASSET MGMT	044	KSS	S	15,000	38.07	n/a	4-May	750.00	5.0
f ALEXANDRA ELISE ZOLI	FID	LMI	B	300	16.75	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	LMI	B	500	16.75	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	LMI	B	5000	17.13	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		LRV	C	2,180	20.39	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	LTD	B	30,000	8.07	8-Jan	30-Jan	1,200.00	4.0
PENNANT CAP MGMT	029	MASI	SS	68,700	26.88	n/a	n/a	2,061.00	3.0
NEUBERGER BERMAN	036	MCD	S	123,022	52.05	13-Oct	6-May	5,536.00	4.5
f MANNY I KORMAN	FID	MERPRK	B	5000	8.58	n/a	n/a	0.00	0.0
ROSEDALE CAPITAL	046	MSFT	B	15,000	16.72	n/a	3-Apr	750.00	5.0
B BUCK CAPITAL MGMT		MSSR	C	19,800	3.25	n/a	n/a	non-brg	2.0
f ALEXANDER PRYLUCKI	FID	MS	S	75	23.92	18-Dec	24-Apr	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		MVRX	C	40,000	88.85	n/a	n/a	non-brg	8.9
f MANNY I KORMAN	FID	MWO	B	3000	12.93	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	NKE	S	25,000	44.08	n/a	n/a	1,250.00	5.0
HOTCHKIS & WILEY	035	NOC	B	100,000	36.50	n/a	n/a	5,000.00	5.0
⚡ BUCK CAPITAL MGMT		OFC	C	4,220	23.55	n/a	n/a	non-brg	1.0
WINSLOW CAPITAL	030	ORCL	B	2,100	15.48	n/a	n/a	84.00	4.0
⚡ BUCK CAPITAL MGMT		O	C	2,480	16.60	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		PERY	B	20,000	4.20	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		PFCB	C	50,000	21.52	8-Jan	23-Apr	non-brg	2.5
⚡ BUCK CAPITAL MGMT		PFCB	C	50,000	22.03	8-Jan	23-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PKY	C	2,560	10.90	n/a	n/a	non-brg	1.0
f MARK MEGALLI	FID	PNK	S	4000	7.05	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	PQ	B	9100	1.94	n/a	n/a	0.00	0.0
f STEFFANIE CARMELA CA	FID	PQ	B	70	2.12	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		PSB	C	4,260	35.28	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		PSUN	B	20,000	1.35	6-Feb	6-Feb	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		PVH+FD [PVH]	SS	1,000	1.05	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		QZS+GF [JOSB]	SS	1,000	2.95	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		QZS+GG [JOSB]	SS	1,000	1.55	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		RCL	B	30,000	7.94	30-Jan	24-Apr	non-brg	3.0
⚡ BUCK CAPITAL MGMT		REG	C	6,560	28.78	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	ROST	S	15,000	32.82	n/a	n/a	750.00	5.0
⚡ BUCK CAPITAL MGMT		RRGB	C	43,100	14.43	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		RRGB	C	7,500	14.46	n/a	n/a	non-brg	2.0
WINSLOW CAPITAL	030	SCHW	B	2,900	13.74	n/a	n/a	116.00	4.0
⚡ BUCK CAPITAL MGMT		SLB	S	10,000	40.00	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		SLB	S	36,000	39.30	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SLG	C	14,040	12.96	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		SONC	C	50,000	7.87	n/a	n/a	non-brg	3.0
f MARC PAUL LUCHANSKY	FID	SPY	S	1000	74.92	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SPY	S	2000	75.11	n/a	n/a	0.00	0.0
f PEARL LUCHANSKY	FID	SPY	S	1000	75.15	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SSS	C	2,480	20.46	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		SUI	C	6,040	10.88	n/a	n/a	non-brg	1.0
ANDREW SINCLAIR	FID	TBTRW	S	63	5.80	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		TCO	C	57,800	17.82	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		TIF	C	50,000	19.65	n/a	30-Jan	non-brg	4.0
BALYASNY ASSET MGMT	044	TJX	SS	20,000	24.85	n/a	4-May	1,000.00	5.0
BALYASNY ASSET MGMT	044	TROW	SS	15,000	26.41	n/a	7-May	750.00	5.0
KAREN F LEDDY	FID	TROW	S	500	25.55	n/a	7-May	0.00	0.0
SCOPUS FUND	041	TYC	B	20,000	18.99	12-Nov	1-May	1,000.00	5.0
COUGAR TRADING	044	UA	S	10,000	16.36	n/a	29-Apr	500.00	5.0
MARC PAUL LUCHANSKY	FID	UYG	B	2000	2.37	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	B	20,000	53.15	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		VNO	C	26,260	37.89	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	WMS	B	30,000	19.64	n/a	n/a	1,200.00	4.0
ASCEND PARTNERS	033	WMT	C	60,000	48.96	10-Oct	5-May	3,000.00	5.0
BUCK CAPITAL MGMT		WRC	S	81,060	19.70	27-Feb	20-Apr	non-brg	1.0
BUCK CAPITAL MGMT		WRC	S	9,059	19.89	27-Feb	20-Apr	non-brg	1.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAMBIAR CAP	046	WRC	B	16,660	19.74	27-Feb	20-Apr	666.40	4.0
JP MORGAN VENTURES	046	WRC	B	50,000	19.85	27-Feb	20-Apr	2,500.00	5.0
Ⓕ BUCK CAPITAL MGMT		WRI	C	20,860	10.50	n/a	n/a	non-brg	1.0
Ⓕ BUCK CAPITAL MGMT		WSM+TA [WSM]	SS	2,000	0.40	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	WSM	SS	100,000	9.49	n/a	n/a	3,000.00	3.0
Ⓕ BUCK CAPITAL MGMT		XRT	C	175,000	20.74	n/a	n/a	non-brg	1.0
TOTAL (Trade Date):							71,299.32		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/12	CUMBERLAND	038	EXH	B	11,984	16.19	27-Jan	3-Apr	359.52 3.0
AO,CRT	03/12	CUMBERLAND	038	EXH	B	11,984	16.19	27-Jan	3-Apr	479.36 4.0
AO,CRT	03/12	SAC CAP/ROSEN FOR BOBBY	044	QSII	B	23,200	41.13	n/a	n/a	928.00 4.0
AO,CXL	03/12	SAC CAP/ROSEN FOR BOBBY	044	QSII	B	23,200	41.13	n/a	n/a	1160.00 5.0
TOTAL (AO, CXL, CRT) :										-112.16

TOTAL (Trade Date) :	71,299.32
TOTAL (AO, CXL, CRT) :	-112.16

TOTAL (RUN DATE) / NFS:	71,187.16
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MRI Securities with Trade Activity on 13-Mar.
NONE

MRI Securities without Trade Activity on 13-Mar.
CYH, JCI, NP

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 16%
YTD = 24%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)