



# Daily Compliance Activity Report

Trade Date: 08/05/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>AAPL</b>	<b>S</b>	<b>9</b>	<b>165.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	ACL	B	300	129.73	16-Jul	4-Aug	15.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>S</b>	<b>30,000</b>	<b>13.95</b>	<b>25-Jun</b>	<b>3-Aug</b>	<b>1,200.00</b>	<b>4.0</b>
COUGAR TRADING	044	AEO	B	15,000	13.84	25-Jun	3-Aug	300.00	2.0
NEW JERSEY DIV OF INV.	030	AIV	S	40,000	10.36	n/a	n/a	1,600.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>50,000</b>	<b>29.98</b>	<b>3-Jun</b>	<b>3-Aug</b>	<b>2,000.00</b>	<b>4.0</b>
TRELLUS PARTNERS LP	028	AN	B	13,100	19.48	3-Aug	5-Aug	655.00	5.0
ADAGE CAPITAL MGMT	030	AOS	SS	50,000	40.06	17-Jul	17-Jul	2,000.00	4.0
ROYCE TRADING	216	AOS	B	50,000	40.06	17-Jul	17-Jul	2,500.00	5.0
COOPER CREEK PART	028	ARM	B	10,000	6.63	n/a	n/a	300.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>10,000</b>	<b>36.49</b>	<b>n/a</b>	<b>3-Aug</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>5,000</b>	<b>35.76</b>	<b>n/a</b>	<b>3-Aug</b>	<b>non-brg</b>	<b>1.0</b>
COUGAR TRADING	044	ARO	B	10,000	36.22	n/a	3-Aug	500.00	5.0
SNYDER CAPITAL	038	ARP	B	16,200	9.45	n/a	n/a	648.00	4.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>AVY</b>	<b>B</b>	<b>3000</b>	<b>27.34</b>	<b>28-Apr</b>	<b>31-Jul</b>	<b>0.00</b>	<b>0.0</b>
NOMURA CAPITAL	045	AWI	B	100	25.81	n/a	n/a	5.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JOHN CAREY MAGUIRE</b>	<b>FID</b>	<b>AXE</b>	<b>S</b>	<b>100</b>	<b>33.71</b>	<b>28-Jul</b>	<b>28-Jul</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	AXL	S	50,000	3.50	n/a	30-Jul	2,500.00	5.0
SCHOTTENFELD ASSOC	041	AXL	B	50,000	3.79	n/a	30-Jul	1,500.00	3.0
TRELLUS PARTNERS LP	028	AXL	B	100,000	3.65	n/a	30-Jul	3,000.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>S</b>	<b>37,000</b>	<b>16.61</b>	<b>11-May</b>	<b>20-Jul</b>	<b>non-brg</b>	<b>1.0</b>
SANDLER O'NEILL ASSET	031	BAC	S	25,000	16.36	11-May	20-Jul	1,250.00	5.0
<b>f JOHN PATRICK DAVEY</b>	<b>FID</b>	<b>BA</b>	<b>S</b>	<b>300</b>	<b>44.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	BDX	B	1,000	65.36	n/a	22-Apr	50.00	5.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BGU</b>	<b>B</b>	<b>10000</b>	<b>43.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BGZ</b>	<b>S</b>	<b>5000</b>	<b>25.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BHI</b>	<b>B</b>	<b>25,000</b>	<b>39.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>B</b>	<b>30,000</b>	<b>23.41</b>	<b>28-May</b>	<b>4-Aug</b>	<b>1,200.00</b>	<b>4.0</b>
THE JAY GOLDMAN	034	BJ	B	20,000	32.31	n/a	27-Jul	800.00	4.0
<b>f JOEL GIFFORD TISS</b>	<b>FID</b>	<b>BRKB</b>	<b>S</b>	<b>25</b>	<b>3372.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	BWA	B	10,000	33.34	3-Aug	5-Aug	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BYD</b>	<b>S</b>	<b>100,000</b>	<b>9.57</b>	<b>n/a</b>	<b>7-May</b>	<b>non-brg</b>	<b>3.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CAT</b>	<b>B</b>	<b>26,272</b>	<b>46.90</b>	<b>n/a</b>	<b>5-Aug</b>	<b>1,050.88</b>	<b>4.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOSEPH CIRO AMATURO	FID	CAT	B	1000	46.70	n/a	5-Aug	0.00	0.0
	NOMURA CAPITAL	045	CMCSK	S	1,000	14.27	n/a	n/a	50.00	5.0
	BUCK CAPITAL MGMT	001	COH	S	20,000	29.42	n/a	29-Jul	800.00	4.0
B	BUCK CAPITAL MGMT		COLM	C	5,000	36.00	n/a	n/a	non-brg	1.0
	COOPER CREEK PART	028	COT	B	20,000	5.75	n/a	n/a	600.00	3.0
	CIRCLE T/SES PART	035	CPKI	B	15,000	16.09	4-Aug	4-Aug	600.00	4.0
f	JOHN CAREY MAGUIRE	FID	CVGI	B	1000	3.02	n/a	n/a	0.00	0.0
f	NICOLAS EVAN PAKLER	FID	CVGI	B	400	3.09	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		CWTR	B	20,000	7.14	n/a	n/a	non-brg	1.0
B	BUCK CAPITAL MGMT		C	S	65,000	3.44	n/a	20-Jul	non-brg	1.0
	BUCK CAPITAL MGMT	001	DAI	B	20,000	47.50	n/a	n/a	800.00	4.0
	NOMURA CAPITAL	045	DAL	B	1,800	7.02	n/a	n/a	72.00	4.0
f	JONATHAN RICHARD HAR	FID	DPTR	B	1000	1.97	n/a	n/a	0.00	0.0
f	STEFFANIE CARMELA CA	FID	DPTR	B	1300	1.99	n/a	n/a	0.00	0.0
	MILLENIUUM PARTNERS	028	EAT	S	25,260	18.17	n/a	24-Apr	1,010.40	4.0
	WILLOW CREEK	033	EBAY	S	3,500	21.45	n/a	n/a	140.00	4.0
	ASCEND PARTNERS	033	ERTS	S	65,431	20.30	n/a	n/a	3,271.55	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f JOHN CAREY MAGUIRE</b>	<b>FID</b>	<b>ETN</b>	<b>S</b>	<b>100</b>	<b>53.39</b>	<b>20-Jul</b>	<b>20-Jul</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	FHN	B	5,000	13.38	n/a	n/a	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FII</b>	<b>S</b>	<b>31,200</b>	<b>25.47</b>	<b>3-Aug</b>	<b>4-Aug</b>	<b>1,248.00</b>	<b>4.0</b>
COUGAR TRADING	044	FL	B	5,000	10.96	27-Apr	3-Aug	250.00	5.0
BMO NESBITT BURNS	041	FOR	S	10,000	13.29	6-Aug	20-Jul	500.00	5.0
<b>f CHRISTOPHER ROBERT B</b>	<b>FID</b>	<b>FOR</b>	<b>B</b>	<b>1000</b>	<b>14.00</b>	<b>6-Aug</b>	<b>20-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f LEE F BACKUS</b>	<b>FID</b>	<b>FOR</b>	<b>B</b>	<b>1000</b>	<b>14.00</b>	<b>6-Aug</b>	<b>20-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f MELISSA LEE BACKUS</b>	<b>FID</b>	<b>FOR</b>	<b>B</b>	<b>1000</b>	<b>14.00</b>	<b>6-Aug</b>	<b>20-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>S</b>	<b>10,000</b>	<b>26.31</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>FSIXX</b>	<b>S</b>	<b>26000</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>GCO</b>	<b>B</b>	<b>600</b>	<b>22.09</b>	<b>n/a</b>	<b>10-Jan</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	GE	B	3,000	14.08	n/a	n/a	150.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HBI</b>	<b>B</b>	<b>26,800</b>	<b>19.94</b>	<b>n/a</b>	<b>n/a</b>	<b>1,072.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>23,200</b>	<b>19.92</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>HH</b>	<b>B</b>	<b>10000</b>	<b>0.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HK</b>	<b>B</b>	<b>45,000</b>	<b>23.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HK</b>	<b>B</b>	<b>55,000</b>	<b>23.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NOMURA CAPITAL	045	HOS	S	400	21.86	n/a	n/a	20.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BASIX	033	HSC	B	19,000	28.85	29-Jul	29-Jul	570.00	3.0
NEUBERGER BERMAN	036	IART	S	13,200	32.18	n/a	22-Apr	594.00	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>S</b>	<b>10,000</b>	<b>17.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	067	IP	B	4,000	19.81	30-Jul	30-Jul	200.00	5.0
SENATOR INVESTMENT GROUP	036	IYR	B	32,500	38.63	n/a	n/a	975.00	3.0
RBC CAPITAL MARKETS	040	JCG	B	15,000	28.50	n/a	30-Jan	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JNS</b>	<b>B</b>	<b>55,000</b>	<b>14.36</b>	<b>16-Jul</b>	<b>4-Aug</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JPM</b>	<b>S</b>	<b>13,000</b>	<b>41.26</b>	<b>n/a</b>	<b>20-Jul</b>	<b>non-brg</b>	<b>1.0</b>
LATEFF MGMT	035	JPM	S	4,000	41.70	n/a	20-Jul	520.00	13.0
NOMURA CAPITAL	045	JPM	S	700	41.48	n/a	20-Jul	35.00	5.0
RBC CAPITAL MARKETS	040	JWN	B	10,000	26.95	n/a	3-Aug	400.00	4.0
RBC CAPITAL MARKETS	040	KSS	B	10,000	48.97	28-Jul	3-Aug	400.00	4.0
<b>f ALICE BEEBE LONGLEY</b>	<b>FID</b>	<b>KXI</b>	<b>B</b>	<b>200</b>	<b>50.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KEEFE BRUYETTE WOODS A	045	LAZ	B	5,100	37.60	n/a	30-Jul	255.00	5.0
LAGRANGE CAP ADV	048	LGF	B	10,000	5.85	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LL</b>	<b>S</b>	<b>20,000</b>	<b>20.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>LMI</b>	<b>S</b>	<b>1000</b>	<b>30.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LM</b>	<b>S</b>	<b>20,000</b>	<b>28.04</b>	<b>3-Aug</b>	<b>4-Aug</b>	<b>non-brg</b>	<b>1.0</b>
KEEFE BRUYETTE WOODS A	045	LM	B	5,500	28.06	3-Aug	4-Aug	275.00	5.0
<b>f NEIL M STRATTON</b>	<b>FID</b>	<b>LNET</b>	<b>S</b>	<b>2800</b>	<b>5.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f STRATTON PAR TNERSHI</b>	<b>FID</b>	<b>LNET</b>	<b>S</b>	<b>1000</b>	<b>5.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LPX</b>	<b>S</b>	<b>25,000</b>	<b>5.51</b>	<b>n/a</b>	<b>5-Aug</b>	<b>non-brg</b>	<b>1.0</b>
FIRST NEW YORK LEDDY	035	LVL	S	10,000	1.24	n/a	4-Jan	600.00	6.0
TRELLUS PARTNERS LP	028	LVS	S	50,000	10.98	6-Aug	31-Jul	2,500.00	5.0
THOMAS WEISEL ASSET MGMT	035	MASI	B	1,400	25.64	n/a	n/a	42.00	3.0
L.R.BURTSCHY & CO	045	MCD	B	20,000	55.04	n/a	5-Aug	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MS</b>	<b>S</b>	<b>24,000</b>	<b>30.23</b>	<b>11-May</b>	<b>23-Jul</b>	<b>non-brg</b>	<b>1.0</b>
SANDLER O'NEILL ASSET	031	MS	B	50,000	29.85	11-May	23-Jul	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>SS</b>	<b>120,000</b>	<b>13.97</b>	<b>n/a</b>	<b>3-Aug</b>	<b>4,800.00</b>	<b>4.0</b>
FIRST NY SEC/CHRIS	041	M	SS	10,000	14.16	n/a	3-Aug	500.00	5.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>M</b>	<b>S</b>	<b>400</b>	<b>13.80</b>	<b>n/a</b>	<b>3-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>M</b>	<b>B</b>	<b>5000</b>	<b>14.27</b>	<b>n/a</b>	<b>3-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>M</b>	<b>S</b>	<b>5000</b>	<b>13.93</b>	<b>n/a</b>	<b>3-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NTY</b>	<b>B</b>	<b>10,000</b>	<b>36.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
SUN AMERICA ASSET MGMT	030	NWL	S	30,600	13.37	n/a	n/a	1,224.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TROUBH PART	067	OEH	B	50,000	9.77	n/a	n/a	2,500.00	5.0
JP MORGAN VENTURES	046	OMX	S	20,000	10.03	n/a	15-Apr	1,000.00	5.0
MATLIN PATTERSON	046	O	S	50,000	25.09	n/a	n/a	1,500.00	3.0
KEEFE BRUYETTE WOODS A	045	PBCT	S	4,600	16.81	n/a	28-Jul	230.00	5.0
HIGHBRIDGE CAPITAL	038	PCH	S	31,000	31.82	n/a	29-Jul	1,240.00	4.0
BMO NESBITT BURNS	041	PCL	B	2,000	33.74	n/a	28-Jul	100.00	5.0
HIGHBRIDGE CAPITAL	038	PCL	S	29,000	34.36	n/a	28-Jul	1,160.00	4.0
CIRCLE T/SES PART	035	PNRA	B	15,000	54.83	30-Jul	30-Jul	600.00	4.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>PQ</b>	<b>S</b>	<b>10000</b>	<b>3.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f STEFFANIE CARMELA CA</b>	<b>FID</b>	<b>PQ</b>	<b>S</b>	<b>640</b>	<b>4.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	PSS	S	30,000	15.50	n/a	n/a	1,500.00	5.0
PATTEN & PATTEN	067	PWR	B	1,150	23.30	n/a	n/a	57.50	5.0
JP MORGAN VENTURES	046	RF	B	50,000	4.68	n/a	n/a	1,500.00	3.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>RIMM</b>	<b>B</b>	<b>22</b>	<b>79.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>S</b>	<b>10,000</b>	<b>66.87</b>	<b>6-Aug</b>	<b>28-Jul</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	RL	S	5,700	67.72	6-Aug	28-Jul	285.00	5.0
SIGMA CAPITAL ASSOCIATES	041	RL	B	20,000	66.66	6-Aug	28-Jul	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE BOSTON COMPANY	039	RL	S	20,710	66.54	6-Aug	28-Jul	828.40	4.0
THIRD COAST CAPITAL	035	RL	B	5,000	66.25	6-Aug	28-Jul	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	ROK	C	12,000	41.88	n/a	n/a	480.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>ROK</b>	<b>B</b>	<b>100</b>	<b>41.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>ROK</b>	<b>S</b>	<b>100</b>	<b>42.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	RYN	B	1,500	41.71	n/a	3-Aug	75.00	5.0
HIGHBRIDGE CAPITAL	038	RYN	S	24,000	42.04	n/a	3-Aug	960.00	4.0
PHILADELPHIA FINANCIAL	033	SAH	C	35,199	14.46	6-Apr	6-Apr	1,407.96	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SII</b>	<b>B</b>	<b>10,000</b>	<b>25.98</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>S</b>	<b>20,000</b>	<b>13.90</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SLM</b>	<b>B</b>	<b>100,000</b>	<b>8.79</b>	<b>23-Apr</b>	<b>5-Aug</b>	<b>non-brg</b>	<b>1.0</b>
KEEFE BRUYETTE WOODS A	045	SLM	S	27,900	8.87	23-Apr	5-Aug	1,395.00	5.0
PATTEN & PATTEN	067	SRCL	B	1,000	51.39	n/a	n/a	50.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SSI</b>	<b>SS</b>	<b>10,000</b>	<b>12.26</b>	<b>n/a</b>	<b>3-Aug</b>	<b>400.00</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	SUP	B	28,900	15.89	10-Jun	10-Jun	1,445.00	5.0
COUGAR TRADING	044	SUP	B	10,000	15.96	10-Jun	10-Jun	500.00	5.0
<b>f ANTHONY NG</b>	<b>FID</b>	<b>S</b>	<b>B</b>	<b>200</b>	<b>3.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>SS</b>	<b>50,000</b>	<b>5.87</b>	<b>n/a</b>	<b>30-Jan</b>	<b>1,500.00</b>	<b>3.0</b>
SONTERRA CAP	041	TRN	SS	20,000	14.69	n/a	n/a	800.00	4.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		TSCO	SS	10,000	47.11	n/a	n/a	non-brg	2.5
ROSEDALE CAPITAL	046	UNH	B	30,000	26.33	n/a	n/a	1,500.00	5.0
PATTEN & PATTEN	067	V	B	1,000	68.17	n/a	5-Aug	50.00	5.0
LEVIN CAPITAL STRATEGIES	012	WBC	S	200	20.55	5-Aug	5-Aug	8.00	4.0
TYEE/TYGH	035	WDR	B	41,653	27.99	29-Jul	4-Aug	1,666.12	4.0
<b>B</b> BUCK CAPITAL MGMT		WFMI	SS	20,000	29.98	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	WRC	S	20,000	37.17	28-Jul	28-Jul	800.00	4.0
<b>B</b> BUCK CAPITAL MGMT		WRC	S	13,000	37.49	28-Jul	28-Jul	non-brg	4.0
COUGAR TRADING	044	WRC	S	2,500	37.51	28-Jul	28-Jul	125.00	5.0
JMP ASSET MGMT	210	WSM	B	15,000	15.06	n/a	n/a	750.00	5.0
SIGMA CAPITAL ASSOCIATES	041	WSM	B	25,000	14.76	n/a	n/a	1,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		XLFX	SS	260,000	13.78	n/a	n/a	non-brg	1.0
<b>f</b> IAN L MILLER	FID	XLFX	S	75	13.78	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	S	15,000	2.15	12-Mar	9-Jun	750.00	5.0
TOTAL (Trade Date):								82,910.81	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CRT	08/04	HIGHBRIDGE CAPITAL	038	PCH	S	29,000	30.57	n/a 29-Jul	1160.00 4.0
AO,CXL	08/04	HIGHBRIDGE/MS OLDS	041	PCH	S	29,000	30.57	n/a 29-Jul	1160.00 4.0

---

<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
-------------------------------	-------------

---

---

TOTAL (Trade Date) :	82,910.81
TOTAL (AO, CXL, CRT) :	0.00

---

<b>TOTAL (RUN DATE) / NFS:</b>	<b>82,910.81</b>
--------------------------------	------------------

---

MRI Securities with Trade Activity on 5-Aug.

WBC

MRI Securities without Trade Activity on 5-Aug.

CHD, DVN, IFF

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 50%

YTD = 20%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**