



Daily Compliance Activity Report

Trade Date: 05/04/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 2

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BACKUS	005	AAI	B	4,000	11.72	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	AB	B	65,000	92.80	25-Apr	1-May	3,250.00	5.0
PATTEN & PATTEN	067	AB	B	100	92.45	25-Apr	1-May	5.00	5.0
Glenn Redemann		ACI	B	3	0.82	n/a	n/a	0.00	0.0
Glenn Redemann		ACI	B	3	0.83	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	ADBE	B	250	41.33	n/a	n/a	12.50	5.0
REINHART & MAHONEY	031	AH	S	7,570	82.09	n/a	n/a	378.50	5.0
CAXTON PART	040	AMG	B	10,000	123.95	25-Apr	1-May	400.00	4.0
MAGUIRE	090	AMR	B	1,000	27.08	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	AMZN	B	50,000	63.60	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	015	ANF	SS	10,400	80.00	2-Feb	25-Apr	400.00	1.3
BUCK CAPITAL MGMT		ANN	S	60,000	36.58	5-Jan	25-Apr	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	AOS	B	57,500	38.65	18-Apr	18-Apr	2,300.00	4.0
PATTEN & PATTEN	067	APD	B	200	76.99	n/a	25-Apr	10.00	5.0
HALO	032	ASD	B	20,000	56.99	19-Apr	19-Apr	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		ATVI	B	1000	21.60	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	031	AVZ	S	15,144	25.51	26-Apr	1-May	757.20	5.0
BUCK CAPITAL MGMT	001	BBW	B	10,000	28.99	19-Mar	1-May	400.00	4.0
BALYASNY ASSET MGMT	044	BEN	B	10,000	135.08	26-Apr	1-May	500.00	5.0
PATTEN & PATTEN	067	BHP	B	250	51.42	n/a	n/a	12.50	5.0
BUCK CAPITAL MGMT	001	BID	S	10,000	49.87	n/a	n/a	400.00	4.0
PATTEN & PATTEN	067	BNI	B	200	90.10	n/a	n/a	10.00	5.0
Õ Glenn Redemann		BRCM	??	16	0.05	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BRCM	??	16	0.05	n/a	n/a	0.00	0.0
BACKUS	005	BTU	B	2,000	48.88	n/a	n/a	0.00	0.0
KALINOWSKI	070	CAKE	B	500	28.07	24-Apr	24-Apr	0.00	0.0
MAGUIRE	090	CAL	B	1,000	38.93	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CCJ	B	2	0.98	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CCJ	B	2	1.72	n/a	n/a	0.00	0.0
GAULT	063	CCUR	B	30,000	1.50	n/a	n/a	0.00	0.0
GAULT	063	CCUR	S	5,730	1.51	n/a	n/a	0.00	0.0
DEMARTINI	065	CCUR	B	10,000	1.51	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		CDNS	B	100,000	22.50	n/a	n/a	non-brg 5.0
B BUCK CAPITAL MGMT		CDNS	B	20,000	22.44	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT	001	CHS	SS	50,000	26.13	27-Mar	25-Apr	2,000.00 4.0
PIVOT CAP	034	CMLS	S	4,600	9.52	n/a	n/a	230.00 5.0
KAICHER	064	COLY	B	2,000	9.55	n/a	n/a	0.00 0.0
SAB CAPITAL	034	CSE	SS	115,000	26.64	n/a	n/a	5,750.00 5.0
PATTEN & PATTEN	067	CTXS	S	750	31.93	n/a	n/a	37.50 5.0
APEX CAPITAL MGMT.	091	CVS	B	50,000	36.07	n/a	n/a	2,500.00 5.0
BACKUS	005	CVS	B	2,000	35.92	n/a	n/a	0.00 0.0
ZOLIDIS	011	CWTR	C	500	20.00	n/a	n/a	0.00 0.0
SYSTEMATIC FINANCIAL MGT	035	C	B	133,400	54.22	11-Jan	27-Apr	5,002.50 3.8
AMBER CAPITAL	046	DD	B	100,000	51.23	n/a	24-Apr	4,000.00 4.0
B BUCK CAPITAL MGMT		DKS	B	20,000	54.12	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT	001	DSW	S	20,000	38.06	7-May	30-Mar	800.00 4.0
BACKUS	005	END	S	10,000	2.03	n/a	n/a	0.00 0.0
LUCHANSKY1	036	EVVV	S	200	17.95	n/a	n/a	0.00 0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	EZU	B	100	117.24	n/a	n/a	5.00	5.0
BUCK	015	FD	SS	500	43.65	26-Jan	25-Apr	0.00	0.0
MERRILL ASSET	032	FED	S	34,100	61.29	n/a	n/a	1,705.00	5.0
GRUSS CAPITAL	040	FLA	S	5,000	73.62	n/a	n/a	200.00	4.0
HIGHBRIDGE CAPITAL	038	FRP	SS	20,700	18.54	n/a	n/a	1,035.00	5.0
HIGHBRIDGE CAPITAL	038	FRP	S	25,000	18.55	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	GCO	SS	20,000	50.41	n/a	10-Jan	800.00	4.0
BUCK	015	GENZ	B	500	65.95	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GES	B	40,000	39.88	n/a	n/a	non-brg	5.0
MERRILL ASSET	032	GGG	B	25,118	40.00	n/a	n/a	1,130.31	4.5
CRAMER ROSENTHAL/SCOTT	012	GGL	S	81,700	19.11	n/a	n/a	4,085.00	5.0
BINDER	007	GPC	SS	200	49.19	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GPI	B	10,000	41.58	27-Apr	2-May	400.00	4.0
B BUCK CAPITAL MGMT		GS	SS	8,300	226.11	13-Dec	16-Apr	non-brg	5.0
BUCK	015	HCBK	B	1,000	13.44	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		HC	B	174,000	22.55	14-Mar	2-May	non-brg	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		HC	B	25,000	22.69	14-Mar	2-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		HC	B	800	22.68	14-Mar	2-May	non-brg	1.0
	PATTEN & PATTEN	067	HON	B	300	56.27	20-Apr	20-Apr	15.00	5.0
	BALYASNY ASSET MGMT	044	HXL	B	30,000	22.21	n/a	n/a	1,500.00	5.0
	BUCK	015	ICE	B	400	138.05	n/a	n/a	0.00	0.0
	GRACIE CAPITAL	028	ICON	S	100,000	20.01	n/a	n/a	5,000.00	5.0
	CARDINAL CAPITAL	044	IHP	B	16,000	59.07	n/a	n/a	800.00	5.0
	BARR	096	ITCD	B	3,000	4.25	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		ITG	B	8	0.22	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		ITG	B	8	0.52	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		ITG	SS	8	1.09	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		ITG	SS	8	1.65	n/a	n/a	0.00	0.0
	BUCK	015	IWN	B	300	83.32	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		JCP	B	30,000	79.39	20-Apr	20-Apr	non-brg	5.0
	NOLAN	067	JDSU	S	40	13.98	n/a	n/a	0.00	0.0
	SANDLER O'NEILL ASSET	031	JNC	S	8,900	53.60	30-Apr	1-May	445.00	5.0
	THE JAY GOLDMAN	034	JWN	SS	5,000	55.57	27-Feb	25-Apr	200.00	4.0
	BUCK CAPITAL MGMT	001	KSS	S	150,000	73.51	27-Dec	12-Apr	6,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	034	KSS	B	5,000	73.15	27-Dec	12-Apr	200.00	4.0
B BUCK CAPITAL MGMT		LAMR	B	10,000	59.85	n/a	n/a	non-brg	5.0
BULL PATH CAPITAL	044	LAZ	S	35,000	55.19	n/a	n/a	1,750.00	5.0
BUCK	015	LESSO	S	8	2.75	n/a	n/a	0.00	0.0
XYLEM GLOBAL	091	LPX	B	149,700	20.16	20-Mar	26-Apr	7,485.00	5.0
APEX CAPITAL MGMT.	091	LTD	B	194,300	28.24	2-Mar	27-Apr	9,715.00	5.0
BACKUS	005	MEE	B	3,000	28.59	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	MIC	B	350	43.90	n/a	n/a	17.50	5.0
CROWLEY	061	MIR	S	500	45.73	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	MMM	S	35,800	84.00	2-May	2-May	1,790.00	5.0
BALYASNY ASSET MGMT	044	MS	B	70,000	86.32	22-Mar	16-Apr	3,500.00	5.0
SEASONS CAPITAL	040	MU	B	200,000	11.86	n/a	n/a	10,000.00	5.0
MERRILL LYNCH STRATEGICI	034	NFX	B	30,000	45.36	n/a	n/a	1,500.00	5.0
CROWLEY	061	NG	B	1,500	14.99	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	NOK	SS	20,900	25.36	n/a	n/a	1,045.00	5.0
CSFB GLOBAL PROPRIETARY	035	NTRS	B	5,000	63.60	n/a	18-Apr	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER CAPITAL	038	NVT	S	50,000	36.65	n/a	n/a	3,000.00	6.0
ß BUCK CAPITAL MGMT		OEF	C	375,000	69.24	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		OMX	C	28,000	42.33	n/a	15-Apr	non-brg	5.0
BINDER	007	OMX	C	350	42.98	n/a	15-Apr	0.00	0.0
FORSTMAN LEFF	032	ONNN	B	59,700	11.12	n/a	n/a	2,985.00	5.0
Õ Glenn Redemann		ONXX	B	8	0.25	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ONXX	B	8	0.75	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ONXX	SS	8	1.40	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ONXX	SS	8	1.70	n/a	n/a	0.00	0.0
GAULT	063	OPBL	B	2,000	4.38	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	46.01	n/a	n/a	400.00	4.0
OGORMAN	146	PARD	B	2,000	7.07	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	PFE	B	45,500	27.03	n/a	31-Mar	1,070.00	3.3
ß BUCK CAPITAL MGMT		PLCE	S	10,000	53.35	12-Apr	13-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		PLCE	S	30,000	53.56	12-Apr	13-Apr	non-brg	5.0
STERLING JOHNSTON	035	PVH	B	1,950	53.25	28-Mar	28-Mar	97.50	5.0
FIRST NEW YORK LEDDY	035	Q	B	10,000	9.38	n/a	n/a	600.00	6.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	90.09	2-Feb	13-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		ROST	SS	10,000	33.30	n/a	n/a	non-brg 5.0
KACELA CAPITAL	034	RSH	S	20,000	29.82	n/a	n/a	800.00 4.0
PATTEN & PATTEN	067	SE	B	750	26.68	n/a	n/a	37.50 5.0
ZOLIDIS	011	SHOE	C	1,100	4.75	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		SKX	B	20,000	32.06	n/a	13-Mar	non-brg 5.0
BUCK CAPITAL MGMT		SKX	B	25,000	32.06	n/a	13-Mar	non-brg 1.0
BUCK CAPITAL MGMT		SKX	B	30,000	32.11	n/a	13-Mar	non-brg 2.0
BUCK CAPITAL MGMT		SKX	B	62,000	32.04	n/a	13-Mar	non-brg 5.0
SYSTEMATIC FINANCIAL MGT	035	SKX	B	51,700	32.06	n/a	13-Mar	1,938.75 3.8
BACKUS	005	SNEN	B	20,000	2.45	n/a	n/a	0.00 0.0
MERRILL ASSET	032	SPN	B	36,500	37.60	n/a	n/a	1,642.50 4.5
BUCK CAPITAL MGMT		SPY	C	100,000	150.61	n/a	n/a	non-brg 2.0
HIGHBRIDGE CAPITAL	038	SPY	SS	43,000	150.83	n/a	n/a	2,150.00 5.0
THE JAY GOLDMAN	034	SSCC	S	15,000	12.87	4-May	4-May	600.00 4.0
BARR	096	SWIM	C	2,000	12.75	n/a	n/a	0.00 0.0
CASTLE ARK	031	SWN	B	92,050	43.63	1-Mar	1-May	5,523.00 6.0
BUCK CAPITAL MGMT		TGT	B	10,000	58.74	n/a	24-Apr	non-brg 5.0
SAC TRADING HOWARD	038	TGT	B	25,000	58.46	n/a	24-Apr	1,250.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KALINOWSKI	070	THIEF	S	100	1.65	n/a	n/a	0.00	0.0
KALINOWSKI	070	THIGG	S	200	0.15	n/a	n/a	0.00	0.0
KALINOWSKI	070	THI	S	5,000	31.50	24-Apr	24-Apr	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	TIN	B	25,000	61.13	26-Apr	26-Apr	1,250.00	5.0
ß BUCK CAPITAL MGMT		TLB	S	258,400	20.98	10-Jan	3-May	non-brg	5.0
CSFB GLOBAL PROPRIETARY	035	TOL	B	20,000	29.80	n/a	n/a	1,000.00	5.0
BALYASNY ASSET MGMT	044	TROW	B	50,000	49.96	n/a	1-May	2,500.00	5.0
ß BUCK CAPITAL MGMT		TWB	S	40,000	39.22	22-Feb	26-Apr	non-brg	5.0
ALLEN & CO	032	T	S	450,000	38.93	25-Apr	4-May	18,000.00	4.0
ß BUCK CAPITAL MGMT		UAG	B	10,000	20.53	n/a	13-Apr	non-brg	5.0
MAGUIRE	090	UAUA	B	1,000	34.58	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	URBN	B	25,000	25.46	2-Apr	26-Apr	1,000.00	4.0
FRIESS MGMT	042	URBN	B	148,000	25.43	2-Apr	26-Apr	6,660.00	4.5
GAULT	063	VOII	B	6,500	0.16	n/a	n/a	0.00	0.0
KALINOWSKI	070	VPRAG	B	30	4.04	n/a	n/a	0.00	0.0
MCCARTAN	028	VSL	S	100	22.30	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	WBS	S	56,000	45.09	n/a	n/a	2,643.50	4.8
CROWLEY	061	WGUAW	S	7	7.50	n/a	n/a	0.00	0.0
SAB CAPITAL	034	WMG	B	10,000	17.67	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		WRNC	B	30,000	28.28	n/a	3-May	non-brg	5.0
EGS ASSOCIATES LP	091	WRNC	B	7,500	28.28	n/a	3-May	450.00	6.0
B BUCK CAPITAL MGMT		WTSLA	B	20,000	6.03	n/a	n/a	non-brg	5.0
RAMIUS CAP	040	WY	B	80,000	82.99	15-Dec	18-Apr	4,000.00	5.0
RIVER RUN MGMT	031	XOHO	B	5,500	5.05	n/a	n/a	165.00	3.0
KALINOWSKI	070	YZZAF	S	40	3.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ZQK	S	10,000	13.00	9-Mar	17-Apr	non-brg	5.0
B BUCK CAPITAL MGMT		ZUMZ	S	20,000	39.22	n/a	n/a	non-brg	5.0
TOTAL (Trade Date):								154,841.26	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO, CRT	05/02	MKT MAKER	099	ACXM	B	93	22.76	n/a	n/a	0.00	0.0
AO, CXL	05/02	AMBER CAPITAL	046	ACXM	B	5,093	22.76	n/a	n/a	203.72	4.0
AO, CRT	05/02	AMBER CAPITAL	046	ACXM	B	5,000	22.76	n/a	n/a	200.00	4.0
AO, CRT	05/03	PRINCIPLE CAPITAL	040	ARO	SS	100,000	41.33	n/a	13-Apr	4000.00	4.0
AO, CXL	05/03	PRINCIPLE CAPITAL	040	ARO	SS	100,000	41.33	n/a	13-Apr	5000.00	5.0
AO,	05/03	HUNTER GLOBAL INVESTOR	067	EK	B	78,000	25.86	n/a	n/a	3900.00	5.0
AO, CRT	05/03	COLONIAL FUND LLC	036	GIL	B	10,000	62.90	n/a	3-May	500.00	5.0
AO, CXL	05/03	COLONIAL FUND LLC	036	GIL	B	10,000	62.90	n/a	3-May	500.00	5.0
AO, CRT	05/03	PALMYRA CAPITAL	048	JSDA	S	14,416	24.71	n/a	n/a	720.80	5.0
AO, CXL	05/03	PALMYRA CAPITAL	048	JSDA	S	14,416	24.71	n/a	n/a	576.64	4.0
AO, CXL	05/03	WD PARTNERS ILP	067	LTD	B	2,800	27.95	2-Mar	27-Apr	280.00	10.0
AO, CRT	05/03	WD PARTNERS ILP	067	LTD	B	2,800	27.95	2-Mar	27-Apr	280.00	10.0
AO, CXL	05/02	UBS O'CONNOR/TOM LEDDY	035	MWV	S	25,000	32.07	3-May	3-May	1250.00	5.0
AO,	05/02	UBS O'CONNOR/TOM LEDDY	035	MWV	S	21,525	32.07	3-May	3-May	1076.25	5.0
AO, CRT	05/02	UBS O'CONNOR/TOM LEDDY	035	MWV	S	3,475	32.07	3-May	3-May	173.75	5.0
TOTAL (AO, CXL, CRT) :										3,040.44	

TOTAL (Trade Date) :

154,841.26

TOTAL (AO, CXL, CRT):

3,040.44

TOTAL (RUN DATE) / NFS:

157,881.70

MRI Securities with Trade Activity on 4-May.

SSCC

MRI Securities without Trade Activity on 4-May.

GM, IP, PXD, RDEN, TWTC, VZ

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 16%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION