



# Daily Compliance Activity Report

Trade Date: 08/24/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	B	5,000	241.45	n/a	n/a	non-brg	4.0
LOCUS WOOD CAPITAL MGMT	044	ADP	B	25,000	38.84	n/a	n/a	1,000.00	4.0
B BUCK CAPITAL MGMT		AEO	S	200,000	12.57	n/a	6-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		AMWD	S	7,500	15.43	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ANF	B	20,000	35.46	n/a	18-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		ANN	B	20,000	15.45	n/a	n/a	non-brg	4.0
BANK OF AMERICA/MERRILL	259	ANR	B	250	37.61	n/a	n/a	10.00	4.0
MTB INV ADV	034	ARM	S	80,925	13.36	n/a	n/a	4,046.25	5.0
SIGMA CAPITAL ASSOCIATES	041	ARO	B	25,000	22.60	n/a	20-Aug	875.00	3.5
f DAVID GEORGE BUCK	FID	AVB	B	1500	103.25	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	AVP	S	20,000	29.28	n/a	30-Jul	800.00	4.0
B BUCK CAPITAL MGMT		AVY	B	15,000	32.55	n/a	23-Aug	non-brg	4.0
f MANNY I KORMAN	FID	BAC	S	5000	12.75	n/a	20-Aug	0.00	0.0
B BUCK CAPITAL MGMT		BBBY	S	50,000	37.47	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
M&I FUNDS	047	BBBY	B	10,500	36.85	n/a	n/a	420.00	4.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BGU</b>	<b>B</b>	<b>2500</b>	<b>41.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WEDBUSH/PACIFIC GROWTH EQTY LLC084	BIG		B	5,000	30.73	n/a	24-Aug	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BLK</b>	<b>B</b>	<b>30,000</b>	<b>141.98</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CHS</b>	<b>B</b>	<b>20,000</b>	<b>8.56</b>	<b>n/a</b>	<b>5-Feb</b>	<b>non-brg</b>	<b>3.0</b>
STELLIAM INV ADV	034	CMCSK	B	20,000	16.00	n/a	n/a	1,000.00	5.0
ROTHSCHILD ASSET	040	CMS	B	108,476	17.46	n/a	n/a	4,339.04	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CNW</b>	<b>B</b>	<b>18,000</b>	<b>27.40</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>30,000</b>	<b>37.08</b>	<b>n/a</b>	<b>4-Aug</b>	<b>1,200.00</b>	<b>4.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>CPN</b>	<b>S</b>	<b>2000</b>	<b>12.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GOLD SACHS SPECIAL SIT	030	CVGI	B	7,500	9.03	n/a	n/a	375.00	5.0
STANDARD PACIFIC CAPITAL	040	CX	B	250,000	7.72	n/a	n/a	10,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DAN</b>	<b>B</b>	<b>47,400</b>	<b>10.61</b>	<b>n/a</b>	<b>2-Aug</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	DAN	S	23,850	10.38	n/a	2-Aug	1,192.50	5.0
OZ MGMT	040	DAN	B	35,424	10.46	n/a	2-Aug	1,062.72	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>DBRN</b>	<b>B</b>	<b>10,000</b>	<b>21.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DG</b>	<b>B</b>	<b>30,000</b>	<b>28.26</b>	<b>n/a</b>	<b>8-Jun</b>	<b>1,200.00</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ISLE RIDGE PARTNERS	041	DIN	S	21,600	31.05	n/a	n/a	864.00	4.0
⚡ BUCK CAPITAL MGMT		DKS	SS	20,000	25.20	n/a	19-Aug	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DLTR	S	10,000	43.86	n/a	19-Aug	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DRI	SS	30,000	40.35	n/a	8-Jul	non-brg	4.0
ISLE RIDGE PARTNERS	041	DRI	S	27,500	40.49	n/a	8-Jul	1,100.00	4.0
⚡ MANNY I KORMAN	FID	DXPE	S	3897	18.77	n/a	n/a	0.00	0.0
⚡ DAVID GEORGE BUCK	FID	ESRX	B	1000	45.02	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		EXPE	SS	20,000	23.04	n/a	n/a	non-brg	4.0
SOUTH POINT CAP	067	FAS	SS	100,000	17.95	n/a	n/a	5,000.00	5.0
⚡ BUCK CAPITAL MGMT		FDO	SS	20,000	42.62	n/a	29-Jul	non-brg	4.0
⚡ ROBERT M DANIELS	FID	FDP	S	250	21.52	n/a	n/a	0.00	0.0
⚡ FRANCIS MICHAEL MCCA	FID	FINL	S	650	12.92	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FL	B	60,000	11.91	n/a	20-Aug	2,400.00	4.0
⚡ BUCK CAPITAL MGMT		FOR	B	20,000	14.15	n/a	23-Aug	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	FOR	B	10,000	14.01	n/a	23-Aug	400.00	4.0
⚡ BUCK CAPITAL MGMT		FOSL	B	10,000	43.94	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OZ MGMT	040	F	B	58,856	11.29	n/a	4-Aug	1,765.68	3.0
⚡ BUCK CAPITAL MGMT		GCO	B	10,000	25.25	n/a	n/a	non-brg	4.0
f MICHAEL KARP	FID	GE	B	50	14.65	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		GYMB	B	20,000	38.37	n/a	18-Aug	non-brg	4.0
EXIS CAPITAL	044	HWD	B	15,000	10.26	n/a	n/a	750.00	5.0
f IAN L MILLER	FID	IBM	S	10	125.19	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	ICON	S	2700	15.82	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	ICON	S	10000	15.55	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	ICON	S	10000	15.56	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	IR	S	250	34.04	n/a	26-Jul	0.00	0.0
f DAVID GEORGE BUCK	FID	IWF	B	3000	47.14	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		JBHT	B	15,300	32.90	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	32.86	n/a	n/a	400.00	4.0
MACKLOWE ASSET MGMT	041	JCP	S	30,000	20.46	n/a	13-Aug	1,200.00	4.0
BUCK CAPITAL MGMT	001	JNY	S	20,000	15.91	n/a	29-Jul	800.00	4.0
EXIS CAPITAL	044	JNY	B	1,600	15.90	n/a	29-Jul	80.00	5.0
FIRST NY SEC/CHRIS	041	JWN	B	10,000	30.54	n/a	13-Aug	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f FRANCIS MICHAEL MCCA	FID	KG	S	700	9.19	n/a	24-Aug	0.00	0.0
f MANNY I KORMAN	FID	KO	S	3000	55.48	n/a	22-Jul	0.00	0.0
f DAVID GEORGE BUCK	FID	KSS	S	3000	46.10	n/a	13-Aug	0.00	0.0
EXIS CAPITAL	044	KSS	SS	15,000	46.31	n/a	13-Aug	750.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	KWS	B	500	11.20	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	LEA	SS	66,500	74.99	n/a	4-Aug	3,325.00	5.0
B BUCK CAPITAL MGMT		LEN	B	39,000	12.74	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	LL	B	10,000	19.71	n/a	n/a	400.00	4.0
f DAVID GEORGE BUCK	FID	LNCR	B	2000	23.48	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	LNCR	S	10000	23.27	n/a	n/a	0.00	0.0
M&I FUNDS	047	LTD	S	7,044	24.46	n/a	n/a	281.76	4.0
f FRANCIS MICHAEL MCCA	FID	LVS	B	400	28.03	n/a	12-Aug	0.00	0.0
B BUCK CAPITAL MGMT		MDC	B	19,100	26.31	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	MNE	B	2175	14.01	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MSMSRAW2	SS	135,000	99.66	n/a	n/a	non-brg	10.0
BUCK CAPITAL MGMT	001	MW	B	10,000	18.78	n/a	n/a	400.00	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MANNY I KORMAN	FID	MYL	S	5000	17.35	n/a	24-Aug	0.00	0.0
	BUCK CAPITAL MGMT	001	M	S	330,000	19.52	n/a	12-Aug	13,200.00	4.0
	EXIS CAPITAL	044	M	B	5,000	19.65	n/a	12-Aug	250.00	5.0
f	FRANCIS MICHAEL MCCA	FID	M	S	300	19.84	n/a	12-Aug	0.00	0.0
B	BUCK CAPITAL MGMT		NBD CN	B	2,500	10.06	n/a	n/a	non-brg	4.0
f	FRANCIS MICHAEL MCCA	FID	NBRXF	S	500	10.20	n/a	n/a	0.00	0.0
f	MANNY I KORMAN	FID	OCR	S	10000	19.32	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		PCAR	B	12,000	40.85	n/a	28-Jul	non-brg	4.0
f	FRANCIS MICHAEL MCCA	FID	PCAR	S	200	40.87	n/a	28-Jul	0.00	0.0
	VISIUM/BALY BOBBY	044	PFCB	B	5,900	41.25	n/a	28-Jul	295.00	5.0
	BUCK CAPITAL MGMT	001	PIR	B	70,000	5.90	n/a	n/a	2,100.00	3.0
	CSFB GLOBAL PROPRIETARY	041	PKG	B	20,000	22.16	n/a	20-Jul	1,000.00	5.0
f	MANNY I KORMAN	FID	PLCE	S	3000	43.21	n/a	19-Aug	0.00	0.0
f	MANNY I KORMAN	FID	PLCE	S	5000	43.60	n/a	19-Aug	0.00	0.0
B	BUCK CAPITAL MGMT		PRGO	SS	10,000	57.14	n/a	24-Aug	non-brg	2.5
	EXIS CAPITAL	044	PVH	B	12,500	46.54	n/a	19-Jul	625.00	5.0
f	JOSEPH CIRO AMATURO	FID	PZA	S	9500	24.51	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PERRY PARTNERS	085	RIMM	B	50,000	47.06	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	RL	S	30,000	80.86	n/a	5-Aug	1,200.00	4.0
MFP INVESTORS	085	RUTH	B	7,800	3.21	n/a	n/a	234.00	3.0
⚡ BUCK CAPITAL MGMT		RVI	S	70,000	8.72	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		RYL	B	31,200	16.05	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	B	30,000	26.55	n/a	n/a	1,200.00	4.0
f MANNY I KORMAN	FID	SLM	S	20000	11.11	n/a	18-Aug	0.00	0.0
f MANNY I KORMAN	FID	SMG	S	3000	48.52	n/a	11-Aug	0.00	0.0
f DAVID GEORGE BUCK	FID	SPG	S	2500	88.14	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	SRI	S	500	8.49	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	SRI	B	5000	8.28	n/a	n/a	0.00	0.0
CASTLEROCK	040	SSCC	S	115,340	17.80	n/a	3-Aug	4,613.60	4.0
ELM RIDGE ADVISORS	044	SSCC	B	70,000	17.80	n/a	3-Aug	2,800.00	4.0
⚡ BUCK CAPITAL MGMT		STT	B	14,000	35.44	n/a	10-Aug	non-brg	0.8
f MANNY I KORMAN	FID	STT	S	4000	36.12	n/a	10-Aug	0.00	0.0
⚡ BUCK CAPITAL MGMT		SWN	B	15,000	33.25	n/a	6-Aug	non-brg	4.0
MTB INV ADV	034	TEN	S	5,200	24.35	n/a	n/a	260.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BANK OF AMERICA/MERRILL	259	TIN	B	16,500	16.78	n/a	27-Jul	660.00	4.0
⌘ BUCK CAPITAL MGMT		TJX	S	30,000	41.10	n/a	18-Aug	non-brg	4.0
ISLE RIDGE PARTNERS	041	TLB	S	40,500	9.95	n/a	n/a	1,620.00	4.0
BANK OF AMERICA/MERRILL	259	TRN	B	4,500	16.45	n/a	n/a	180.00	4.0
FEDERATED INV/KAUFMAN	029	TSL	S	30,000	23.70	n/a	n/a	1,650.01	5.5
LISTED ERR	099	TSL	B	30,000	23.70	n/a	n/a	0.00	0.0
LISTED ERR	099	TSL	S	30,000	23.70	n/a	n/a	0.00	0.0
HOPLITE MGMT	025	TXT	C	500,000	17.30	n/a	n/a	20,000.00	4.0
⌘ BUCK CAPITAL MGMT		UAUA	B	25,400	19.77	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		URBN	B	30,000	32.05	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WFMI	SS	20,000	35.96	n/a	n/a	non-brg	4.0
CONIFER/ISF	025	WHR	B	26,400	75.86	n/a	n/a	1,056.00	4.0
FARALLON CAP MGMT	040	WHR	B	127,500	75.54	n/a	n/a	5,100.00	4.0
NOMURA CAPITAL	029	WINN	S	10,085	8.02	n/a	n/a	302.55	3.0
⌘ BUCK CAPITAL MGMT		WNC	B	40,000	6.23	n/a	n/a	non-brg	3.0
LEVEL GLOBAL SHARED ACCT	257	WRC	B	100,000	41.28	n/a	6-Aug	3,000.00	3.0
⌘ BUCK CAPITAL MGMT		WSO	B	10,000	53.07	n/a	n/a	non-brg	4.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
8 BUCK CAPITAL MGMT		XRT	SS	125,000	36.73	n/a	n/a	non-brg 2.0
8 BUCK CAPITAL MGMT		XRT	SS	250,000	36.29	n/a	n/a	non-brg 2.0
TOTAL (Trade Date):							111,533.11	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CXL	08/19	ADAGE CAPITAL MGMT	030	JCP	B	300,000	20.91	n/a 13-Aug	12000.00 4.0
AO,CRT	08/19	ADAGE CAPITAL MGMT	030	JCP	B	300,000	20.91	n/a 13-Aug	9000.00 3.0
AO,	08/23	HIGHFIELDS CAP MGMT	030	WMT	B	100,000	51.10	n/a 17-Aug	5000.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>2,000.00</b>
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TOTAL (Trade Date) :	111,533.11
TOTAL (AO, CXL, CRT) :	2,000.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>113,533.11</b>
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MRI Securities with Trade Activity on 24-Aug. NONE
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MRI Securities without Trade Activity on 24-Aug. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 30% YTD = 10%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**