



RR Commissions Summary [Ian Miller]

Trade Date: 12/31/2012

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BTIG/KDI ADV	025	0	0	0	0	12,500	12,500	50,000	12,500	12,500	50,000	51,500	97
CHARTERBRIDGE CAPITAL	025	0	0	0	0	8,000	18,000	43,000	8,000	18,000	43,000	40,000	108
CONIFER	025	0	0	0	3,928	0	0	3,000	0	0	6,928	17,500	40
CONIFER/ISF MANAGEMENT	025	0	0	0	340	0	6,000	13,500	0	6,000	13,840	0	0
CYRUS CAPITAL	025	0	0	9,866	36,516	0	0	0	0	9,866	36,516	59,730	61
EMINENCE CAPITAL	025	0	0	0	0	0	25,000	80,000	0	25,000	80,000	15,000	533
FRANKLIN ADVISORY SERVIC	025	0	0	0	0	0	7,500	20,000	0	7,500	20,000	12,500	160
G WEISS /IAN	025	0	0	2,642	5,040	0	0	0	0	2,642	5,040	0	0
HG VORA CAP MGMT LLC	025	0	0	0	498	0	0	0	0	0	498	0	0
HOPRITE MGMT	025	0	0	16,677	59,717	0	0	0	0	16,677	59,717	143,123	42
KOLVEN CAPITAL	025	0	0	30	30	0	0	0	0	30	30	0	0
MARBLE ARCH INVESTMENTS	025	0	0	0	0	0	0	0	0	0	0	5,000	0
MASTERS CAPITAL MANAGE	025	0	0	10,500	48,495	0	0	0	0	10,500	48,495	24,000	202
NEWLAND CAPITAL MGT	025	0	0	8,001	68,617	0	0	0	0	8,001	68,617	107,193	64
PATTEN & PATTEN	025	104	389	16,348	56,822	0	0	0	389	16,348	56,822	44,765	127
PAW PARTNERS	025	0	0	250	2,875	0	0	0	0	250	2,875	6,325	45
RIVERPARK CAPITAL	025	0	0	3,756	9,371	0	0	0	0	3,756	9,371	5,500	170
SABR GROUP LLP	025	0	0	0	800	0	0	0	0	0	800	400	200
SAGE ASSET MGMT	025	0	0	9,901	31,923	0	0	0	0	9,901	31,923	11,048	289
SEVEN LOCKS CAPITAL	025	0	0	1,770	11,607	0	0	0	0	1,770	11,607	6,414	181
SHIKIAR ASSET	025	0	0	0	3,100	0	0	0	0	0	3,100	2,438	127
SONICA CAPITAL LLC	025	0	0	0	4,539	0	0	0	0	0	4,539	2,745	165
SOUTH SHORE CAPITAL	025	0	0	0	0	0	0	350	0	0	350	350	100
WILLIAMS TRADING/FOX POINT	025	0	0	0	9,500	0	0	0	0	0	9,500	0	0
TOTALS:		104	389	79,741	353,718	20,500	69,000	209,850	20,889	148,741	563,568		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	0	1,576	39,763	105,306	0	0	0	1,576	39,763	105,306	59,682	0
FIR TREE CAPITAL	270	0	0	0	0	0	0	16,500	0	0	16,500	0	0
GEORGE WEISS	278	0	0	9,474	46,055	0	0	0	0	9,474	46,055	39,000	0
TOTALS:		0	1,576	49,237	151,361	0	0	16,500	1,576	49,237	167,861		

