



RR Commissions Summary [Ravi Sadarangani]

Trade Date: 10/22/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AAD CAPITAL	084	0	0	0	690	0	0	0	0	0	690	1,720	40
ALLIANZ/NICOLAS APPEGATE	084	2,591	6,433	6,433	22,611	0	0	2,872	6,433	6,433	25,483	11,816	216
BRANDES INVESTMENT	084	0	0	0	0	0	0	0	0	0	0	5,150	0
BTIG/MONT PELERIN	084	0	300	300	3,469	0	0	0	300	300	3,469	0	0
CAPITAL GUARDIAN /INTN'L	084	0	0	0	2,069	0	0	0	0	0	2,069	0	0
CAPITAL WORLD	084	0	6,300	6,300	145,936	0	0	0	6,300	6,300	145,936	35,823	407
COLUMBUS CAP MGMT LLC	084	0	1,800	1,800	6,800	0	0	0	1,800	1,800	6,800	28,587	24
CONIFER/GROW	084	0	0	0	1,720	0	0	0	0	0	1,720	1,700	101
EAM INVESTORS	084	0	0	0	500	0	0	0	0	0	500	0	0
EMPYREAN CAPITAL	084	0	0	0	0	0	0	0	0	0	0	74,293	0
FEINBERG INVESTMENTS	084	0	0	0	12,420	0	0	0	0	0	12,420	0	0
FOCUSED INVESTORS	084	0	7,280	7,280	28,852	0	0	0	7,280	7,280	28,852	0	0
FRANKLIN FUND OF CALIFOR	084	0	0	0	0	0	0	0	0	0	0	11,991	0
HAHN CAPITAL MANAGEMENT	084	0	2,659	2,659	11,266	0	0	0	2,659	2,659	11,266	23,087	49
HOTCHKIS & WILEY	084	0	0	0	62,762	0	0	0	0	0	62,762	0	0
IVORY CAPITAL	084	0	5,000	5,000	72,325	0	0	0	5,000	5,000	72,325	0	0
KAYNE ANDERSON ADV	084	0	0	0	14,208	0	0	0	0	0	14,208	15,042	94
LOMBARDIA CAP MGMT	084	0	0	0	10,811	0	0	0	0	0	10,811	0	0
NWQ INVESTMENT MGMT CO	084	0	0	0	57,978	0	0	0	0	0	57,978	73,354	79
OSTERWEIS CAPITAL	084	0	916	916	13,430	7,700	7,700	7,700	8,616	8,616	21,130	39,934	53
PARKWEST	084	0	2,000	2,000	35,331	0	0	0	2,000	2,000	35,331	29,845	118
PARNASSUS INVESTMENTS	084	0	0	0	0	2,500	2,500	2,500	2,500	2,500	2,500	5,000	50
PCO CAPITAL MGMT.	084	150	150	150	150	0	0	0	150	150	150	0	0
PEAK INV/OAKMONT/CYPRESS	084	0	0	0	57,600	0	0	0	0	0	57,600	45,352	127
PIMCO	084	0	4,300	4,300	18,066	0	0	0	4,300	4,300	18,066	0	0
PRIMECAP MGMT	084	0	68,339	68,339	110,233	0	0	0	68,339	68,339	110,233	80,736	137
ROBERTSON STEVENS	084	0	0	0	12,957	0	0	23,500	0	0	36,457	88,814	41
STANDARD PACIFIC CAPITAL	084	0	18,945	18,945	187,421	0	0	0	18,945	18,945	187,421	486,608	39
TRUST CO L.A.	084	0	0	0	0	0	0	14,947	0	0	14,947	28,847	52
WALL STREET CAPITAL	084	0	3,097	3,097	9,031	0	0	0	3,097	3,097	9,031	1,971	458
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	0	0	0	1,000	0	0	0	0	0	1,000	3,050	33
WEINTRAUB CAPITAL MGT.	084	0	0	0	0	0	0	0	0	0	0	64,723	0
TOTALS:		2,741	127,519	127,519	899,634	10,200	10,200	51,519	137,719	137,719	951,153		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
CAPITAL RESEARCH GROUP	84	0	3,500	3,500	136,193	0	0	0	3,500	3,500	136,193	255,833	0

TOTALS:	0	3,500	3,500	136,193	0	0	0	3,500	3,500	136,193
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