



Daily Compliance Activity Report

Trade Date: 05/03/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LOOMIS SALES/BOSTON	036	ADM	C	110,000	36.19	n/a	n/a	4,400.00	4.0
APEX CAPITAL MGMT.	091	ADSK	S	10,100	41.76	n/a	n/a	505.00	5.0
B BUCK CAPITAL MGMT		AEO	S	20,000	28.82	4-Jan	13-Apr	non-brg	5.0
BALYASNY ASSET MGMT	044	AMG	S	10,000	124.25	25-Apr	1-May	500.00	5.0
ROXBURY CAPITAL MGMT	035	AMG	S	45,900	124.30	25-Apr	1-May	1,836.00	4.0
COUGAR TRADING	044	ANN	B	10,000	37.40	5-Jan	25-Apr	500.00	5.0
WHITTIER CAP	048	ANN	S	6,640	37.14	5-Jan	25-Apr	265.60	4.0
MAGUIRE	090	ANR	B	5,000	18.02	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	APH	B	34,700	35.80	n/a	n/a	1,735.00	5.0
PRINCIPLE CAPITAL	040	ARO	SS	100,000	41.33	n/a	13-Apr	5,000.00	5.0
LEDDY	035	BDSB	B	1,000	9.98	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BID	S	30,000	49.09	n/a	n/a	non-brg	5.0
NWQ INVESTMENT MGMT CO	048	BKI	B	30,400	13.72	n/a	n/a	1,216.00	4.0
WHITTIER CAP	048	BONT	S	8,460	47.87	9-Mar	26-Apr	338.40	4.0
MAGUIRE	090	BTU	B	2,000	49.18	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CROWLEY	061	CHBD	B	5,000	0.86	n/a	n/a	0.00	0.0
CAXTON PART	040	CKNN	B	20,000	6.78	n/a	n/a	800.00	4.0
SUN AMERICA ASSET MGMT	030	CME	B	4,500	521.98	n/a	24-Apr	225.00	5.0
CSFB GLOBAL PROPRIETARY	035	COF	S	15,000	74.53	n/a	n/a	750.00	5.0
BUCK	015	COO	S	800	52.04	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CTRN	B	15,000	40.13	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CWTR	B	20,000	19.84	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CWTR	B	20,000	20.26	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DKS	B	20,000	55.02	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	DSW	S	80,000	38.45	30-Mar	30-Mar	3,200.00	4.0
HOFFMAN	014	DURA OPER CORPB		50,000	33.00	n/a	n/a	0.00	0.0
MCCARTAN	028	DURA OPER CORPB		25,000	34.00	n/a	n/a	0.00	0.0
LUCHANSKY1	036	DURA OPER CORPB		25,000	34.00	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	EAT	C	30,400	32.15	24-Apr	24-Apr	1,520.00	5.0
ACK ASSET	028	EDO	S	7,100	31.91	n/a	n/a	355.00	5.0
SAILFISH	035	FD	SS	25,000	43.61	26-Jan	25-Apr	1,250.00	5.0
BUCK CAPITAL MGMT	001	FL	S	20,000	24.11	n/a	19-Mar	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	GENZ	B	300	66.05	n/a	n/a	0.00	0.0
WHEELER	008	GHM	B	900	17.60	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GIL	B	10,000	62.04	n/a	3-May	non-brg	5.0
⌘ BUCK CAPITAL MGMT		GIL	B	10,000	63.08	n/a	3-May	non-brg	2.0
CASTLE ARK	031	GIL	B	29,680	64.81	n/a	3-May	1,780.80	6.0
COLONIAL FUND LLC	036	GIL	B	10,000	62.90	n/a	3-May	500.00	5.0
COUGAR TRADING	044	GIL	B	10,000	62.53	n/a	3-May	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	GM	SS	25,000	31.61	4-May	5-Apr	1,000.00	4.0
BUCK CAPITAL MGMT	001	GPI	B	35,000	41.49	27-Apr	2-May	1,400.00	4.0
BUCK	015	HLT	B	500	34.66	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	HPY	C	15,921	25.24	n/a	n/a	636.84	4.0
ZOLIDIS	011	HRSH	B	500	3.98	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		INTC	B	20,000	22.06	n/a	n/a	non-brg	4.0
ASCEND PARTNERS	033	ITG	S	40,000	38.71	n/a	n/a	2,000.00	5.0
HIGHBRIDGE CAPITAL	038	IWM	SS	145,000	82.36	n/a	n/a	7,250.00	5.0
COUGAR TRADING	044	JCG	B	5,000	40.00	14-Mar	25-Apr	250.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	5,000	79.23	20-Apr	20-Apr	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	091	JDSU	S	120,000	14.66	n/a	n/a	6,000.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	50,000	39.38	n/a	n/a	non-brg	5.0
TRELLUS PARTNERS LP	028	JOSB	SS	20,000	39.43	n/a	n/a	1,000.00	5.0
PALMYRA CAPITAL	048	JSDA	S	14,416	24.71	n/a	n/a	576.64	4.0
THE JAY GOLDMAN	034	JWN	S	60,000	55.42	27-Feb	25-Apr	2,400.00	4.0
BUCK	015	LESSM	B	10	0.90	n/a	n/a	0.00	0.0
XYLEM GLOBAL	091	LPX	B	250,000	19.95	20-Mar	26-Apr	12,500.00	5.0
CASTANEDA	016	LSS	S	25	66.44	n/a	n/a	0.00	0.0
SEAROCK CAPITAL MANAGE	012	LTD	B	33,500	27.96	2-Mar	27-Apr	1,340.00	4.0
WD PARTNERS ILP	067	LTD	B	2,800	27.95	2-Mar	27-Apr	280.00	10.0
MAGUIRE	090	MEE	B	2,500	28.63	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	MIL	B	6,300	75.36	n/a	n/a	315.00	5.0
ROTHSCHILD ASSET	040	MNT	S	64,400	41.22	n/a	n/a	2,898.00	4.5
BUCK	015	MON	S	1,000	59.60	n/a	4-Apr	0.00	0.0
BUCK	015	MPEL	B	1,000	17.71	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	MTH	B	91,975	35.81	n/a	n/a	3,679.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		MVRX	C	90,000	200.64	n/a	n/a	non-brg	20.0
B BUCK CAPITAL MGMT		MVRX	C	91,100	200.77	n/a	n/a	non-brg	20.1
SCOPUS FUND	039	MWV	S	96,966	32.37	3-May	3-May	4,848.30	5.0
LEDDY	035	NPO	S	1,000	39.70	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	NVDA	S	10,100	33.31	n/a	n/a	505.00	5.0
B BUCK CAPITAL MGMT		OMX	C	60,000	43.38	n/a	15-Apr	non-brg	5.0
ROARING BLUE LION MGMT	012	OMX	B	6,200	42.13	n/a	15-Apr	310.00	5.0
LUCHANSKY	036	OPY	B	100	41.00	n/a	n/a	0.00	0.0
GASNER	025	OZFMG	B	2	4.90	n/a	n/a	0.00	0.0
GRUSS CAPITAL	040	PCL	B	10,000	40.39	n/a	24-Apr	400.00	4.0
SUN AMERICA ASSET MGMT	030	PFE	B	22,000	26.89	n/a	31-Mar	1,100.00	5.0
BUCK	015	PJC	C	500	64.65	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PLCE	S	35,900	53.81	12-Apr	13-Apr	non-brg	5.0
COUGAR TRADING	044	PSUN	B	5,000	20.76	n/a	13-Apr	250.00	5.0
BULL PATH CAPITAL	044	PTEN	B	25,000	25.90	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		PVH	B	55,000	53.50	28-Mar	28-Mar	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	QID	S	1,000	47.49	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	Q	S	5,000	9.40	n/a	n/a	300.00	6.0
BALYASNY ASSET MGMT	044	RJF	S	55,000	31.29	n/a	n/a	2,750.00	5.0
JP MORGAN VENTURES	046	RL	SS	5,500	91.03	2-Feb	13-Apr	275.00	5.0
⌘ BUCK CAPITAL MGMT		ROST	SS	60,000	33.26	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		RTH	C	24,700	104.01	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		RTH	C	38,000	104.49	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		RTH	C	75,000	104.27	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	SKX	B	70,000	32.21	n/a	13-Mar	2,800.00	4.0
⌘ BUCK CAPITAL MGMT		SMRT	SS	25,000	15.63	n/a	n/a	non-brg	5.0
BALYASNY ASSET MGMT	044	SPR	B	20,000	32.47	n/a	n/a	1,000.00	5.0
GASNER	025	SPY	B	40	150.16	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	SSI	S	117,700	21.11	26-Feb	13-Apr	5,885.00	5.0
CARDINAL CAPITAL	044	SSI	B	189,700	21.06	26-Feb	13-Apr	9,485.00	5.0
J L F ASSET MGMT	039	SSI	SS	145,600	21.03	26-Feb	13-Apr	5,824.00	4.0
LISTED ERR	099	SSI	B	2,370	21.00	26-Feb	13-Apr	0.00	0.0
LISTED ERR	099	SSI	S	2,370	20.99	26-Feb	13-Apr	0.00	0.0
ROYAL CAPITAL	039	TFSL	B	100,000	11.99	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TGT	B	20,000	58.51	n/a	24-Apr	non-brg	5.0
KALINOWSKI	070	THI	B	5,000	31.84	24-Apr	24-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	TLB	S	288,200	21.54	10-Jan	3-May	11,528.00	4.0
ß BUCK CAPITAL MGMT		TLB	S	10,000	22.02	10-Jan	3-May	non-brg	1.0
ß BUCK CAPITAL MGMT		TLB	S	100,000	22.07	10-Jan	3-May	non-brg	5.0
ß BUCK CAPITAL MGMT		TLB	S	22,500	22.20	10-Jan	3-May	non-brg	2.0
ß BUCK CAPITAL MGMT		TLB	S	29,300	21.67	10-Jan	3-May	non-brg	1.0
ß BUCK CAPITAL MGMT		TLB	S	50,000	22.09	10-Jan	3-May	non-brg	2.0
ß BUCK CAPITAL MGMT		TLB	S	50,000	22.26	10-Jan	3-May	non-brg	2.0
RIVER RUN MGMT	031	TRMP	SS	13,200	16.08	n/a	n/a	660.00	5.0
APEX CAPITAL MGMT.	091	TRN	B	19,900	47.74	n/a	n/a	995.00	5.0
ß BUCK CAPITAL MGMT		UAG	B	30,000	20.65	n/a	13-Apr	non-brg	5.0
CAXTON PART	040	UBS	S	58,190	62.66	n/a	n/a	2,327.60	4.0
FRIESS MGMT	042	URBN	B	146,600	25.69	2-Apr	26-Apr	6,597.00	4.5
WHITTIER CAP	048	URBN	B	3,400	25.81	2-Apr	26-Apr	136.00	4.0
SUN AMERICA ASSET MGMT	030	UTX	S	124,000	67.99	n/a	n/a	6,200.00	5.0
APEX CAPITAL MGMT.	091	VLO	S	80,200	73.09	n/a	n/a	4,010.00	5.0
SINCLAIR	031	VOII	B	25,000	0.15	n/a	n/a	0.00	0.0
HASAN	010	VSL	S	1,650	21.81	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
A.I.M. ADVISORS INC	222	WAG	B	15,600	44.76	n/a	n/a	624.00	4.0
8 BUCK CAPITAL MGMT		ZUMZ	S	10,000	39.25	n/a	n/a	non-brg	5.0
KALINOWSKI	070	ZVGAN	B	20	16.99	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							146,762.18		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/02	CARDINAL CAPITAL	044	IHP	B	500	60.21	n/a	n/a	25.00 5.0
AO,CRT	05/02	CARDINAL CAPITAL	044	IHP	B	500	60.21	n/a	n/a	25.00 5.0
AO,CRT	05/02	PATTEN & PATTEN	067	ITT	B	200	65.73	30-Apr	30-Apr	10.00 5.0
AO,CXL	05/02	PATTEN & PATTEN	067	ITT	B	200	65.73	30-Apr	30-Apr	10.00 5.0
AO,	05/02	CRAMER ROSENTHAL/SCOTT	012	JNY	C	31,300	31.77	n/a	3-May	1565.00 5.0
AO,CRT	05/02	BRUNNER	012	NPO	B	500	38.06	n/a	n/a	0.00 0.0
AO,CXL	05/02	CAPITAL GROWTH MGMT.	241	NPO	B	500	38.06	n/a	n/a	0.00 0.0
AO,CRT	05/02	PATTEN & PATTEN	067	PG	B	200	62.62	15-Dec	30-Mar	10.00 5.0
AO,CXL	05/02	PATTEN & PATTEN	067	PG	B	200	62.62	15-Dec	30-Mar	10.00 5.0
AO,CRT	05/02	MKT MAKER	099	SYN	S	50	32.85	24-Apr	24-Apr	0.00 0.0
AO,CXL	05/02	MKT MAKER	099	SYN	S	50	32.85	24-Apr	24-Apr	0.00 0.0
AO,	05/02	BUCK CAPITAL MGMT	001	TGT	B	10,000	59.03	n/a	24-Apr	400.00 4.0
AO,CRT	05/02	UBS OCONNOR	031	UAG	B	39,490	20.66	n/a	13-Apr	1184.70 3.0
AO,CXL	05/02	UBS OCONNOR	031	UAG	B	55,000	20.66	n/a	13-Apr	1650.00 3.0
AO,	05/02	UBS OCONNOR	031	UAG	B	15,510	20.66	n/a	13-Apr	465.30 3.0
AO,CRT	05/02	FRIESS MGMT	042	URBN	B	400	25.59	2-Apr	26-Apr	18.00 4.5
AO,CXL	05/02	FRIESS MGMT	042	URBN	B	400	25.59	2-Apr	26-Apr	18.00 4.5
AO,	05/02	UBS OCONNOR	031	VC	S	20,586	8.79	n/a	n/a	617.58 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/02	UBS OCONNOR	031	VC	SS	73,000	8.79	n/a	n/a	2190.00 3.0
AO,CRT	05/02	UBS OCONNOR	031	VC	SS	52,414	8.79	n/a	n/a	1572.42 3.0
AO,	05/02	CROWLEY	061	VNJTJX	B	1,099	11.83	n/a	n/a	0.00 0.0

TOTAL (AO, CXL, CRT) : **1,965.00**

TOTAL (Trade Date): 146,762.18
TOTAL (AO, CXL, CRT): 1,965.00

TOTAL (RUN DATE) / NFS: **148,727.18**

MRI Securities with Trade Activity on 3-May.
MWV

MRI Securities without Trade Activity on 3-May.
ARG, DVN, IFF

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 22%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION