

Daily Compliance Activity Report Trade Date: 02/29/2012

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BRONSON POINT	036	AGCO	SS	21,000	51.61	n/a	23-Feb	630.00	3.0
RIDGECREST INV MGMT LLC	028	ARO	В	10,000	18.90	n/a	n/a	500.00	5.0
DC CAPITAL	044	ATI	В	25,000	43.97	n/a	29-Feb	1,000.00	4.0
TUDOR INVESTORS	028	AWI	SS	2,000	51.49	n/a	n/a	60.00	3.0
KLEINHEINZ CAP PART	031	BIG	S	50,000	44.54	n/a	16-Feb	2,500.00	5.0
CATAPULT	040	CBT	В	10,000	40.66	n/a	3-Feb	400.00	4.0
BESSEMER INVESTMENT	085	CCL	В	405	30.30	n/a	n/a	16.20	4.0
TUDOR INVESTORS	028	CF	SS	2,000	186.61	n/a	n/a	60.00	3.0
BESSEMER INVESTMENT	085	COP	В	380	77.11	n/a	n/a	15.20	4.0
MOORE CAPITAL	041	CROX	В	10,000	19.95	n/a	n/a	300.00	3.0
BESSEMER INVESTMENT	085	CVS	В	430	44.99	n/a	n/a	17.20	4.0
WATERFRONT CAP	028	DDR	В	50,000	14.23	n/a	n/a	2,000.00	4.0
BRONSON POINT	036	DE	SS	11,904	83.07	n/a	23-Feb	357.12	3.0
BRONSON POINT	036	EL	В	37,500	58.62	n/a	6-Feb	1,125.00	3.0

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CATAPULT SENATOR INVESTMENT GROUP	040 036	EMN EMN	S B	10,000	54.37 54.08		n/a n/a	n/a n/a	400.00	4.0	
SHIKIAR ASSET	025	ERF	S	10,000	23.98		n/a	n/a	500.00	5.0	
BESSEMER INVESTMENT	085	FCX	В	355	43.77		n/a	n/a	14.20	4.0	
OZ MGMT	040	GM	SS	50,000	26.08		n/a	29-Feb	1,500.00	3.0	
PZENA INV ADV	044	GS	S	1,275	117.50		n/a	16-Feb	44.63	3.5	
CAMBIAR CAP	046	HSC	В	82,200	22.45		n/a	31-0ct	3,288.00	4.0	
CSFB GLOBAL PROPRIETARY	041	IP	В	50,000	35.51		n/a	2-Feb	2,500.00	5.0	
NUVEEN - US BANCORP		030	IVR	В	93,775	17.19		n/a	n/a 4	,219.88	4.5
TUDOR INVESTORS	028	JCI	В	5,000	33.00		n/a	27-Feb	150.00	3.0	
BASIX	033	KMT	В	10,000	46.21		n/a	14-Feb	300.00	3.0	
CYPRESS CAP MGMT LLC	040	KSS	В	8,575	49.83		n/a	24-Feb	343.00	4.0	
SANDLER O'NEILL ASSET	031	LAZ	S	20,000	30.49		n/a	16-Feb	1,000.00	5.0	
CYPRESS CAP MGMT LLC	040	LOW	S	5,127	28.39		n/a	28-Feb	205.08	4.0	
CSFB GLOBAL PROPRIETARY	041	LPX LPX	B B	36,737 50,000	8.25 8.34		n/a n/a	7-Feb 7-Feb	1,836.85 2,000.00	5.0 4.0	

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BESSEMER INVESTMENT	085	MHP	В	500	46.42	n/a	n/a	20.00	4.0
CAVOLEPH	085	MRVL	В	2,000	14.95	n/a	n/a	80.00	4.0
ROYCE TRADING	031	MTX	В	30,836	64.90	n/a	n/a	1,541.80	5.0
SIMMONS VENTURES	041	М	В	5,000	37.88	n/a	24-Feb	200.00	4.0
TUDOR INVESTORS	028	OC	В	5,000	31.95	n/a	n/a	150.00	3.0
SCOUT/UMB	047	PCS	S	200,000	10.30	n/a	n/a	6,000.00	3.0
TUDOR INVESTORS	028	RBC	SS	5,000	67.54	n/a	n/a	150.00	3.0
TUDOR INVESTORS	028	SPW	В	2,000	73.70	n/a	n/a	60.00	3.0
ROTHSCHILD ASSET	040	SYNA	В	11,520	37.97	n/a	n/a	460.80	4.0
RAINIER INV MGMT	035	TDC	В	90,000	66.59	n/a	n/a	3,600.00	4.0
THE JAY GOLDMAN	028	TGT	S	10,000	56.63	n/a	24-Feb	400.00	4.0
BESSEMER INVESTMENT	085	UNH	В	395	55.97	n/a	n/a	15.80	4.0
BESSEMER INVESTMENT	085	UN	В	360	33.50	n/a	n/a	14.40	4.0
SCOPUS FUND	041	WCN	В	115,200	32.09	n/a	n/a	4,608.00	4.0
DELTEC ASSET MGMT.	040	WFT	В	20,000	16.55	n/a	n/a	1,000.00	5.0
	BESSEMER INVESTMENT CAVOLEPH ROYCE TRADING SIMMONS VENTURES TUDOR INVESTORS SCOUT/UMB TUDOR INVESTORS TUDOR INVESTORS ROTHSCHILD ASSET RAINIER INV MGMT THE JAY GOLDMAN BESSEMER INVESTMENT BESSEMER INVESTMENT SCOPUS FUND	BESSEMER INVESTMENT 085 CAVOLEPH 085 ROYCE TRADING 031 SIMMONS VENTURES 041 TUDOR INVESTORS 028 SCOUT/UMB 047 TUDOR INVESTORS 028 TUDOR INVESTORS 028 ROTHSCHILD ASSET 040 RAINIER INV MGMT 035 THE JAY GOLDMAN 028 BESSEMER INVESTMENT 085 BESSEMER INVESTMENT 085 SCOPUS FUND 041	BESSEMER INVESTMENT 085 MHP CAVOLEPH 085 MRVL ROYCE TRADING 031 MTX SIMMONS VENTURES 041 M TUDOR INVESTORS 028 OC SCOUT/UMB 047 PCS TUDOR INVESTORS 028 RBC TUDOR INVESTORS 028 SPW ROTHSCHILD ASSET 040 SYNA RAINIER INV MGMT 035 TDC THE JAY GOLDMAN 028 TGT BESSEMER INVESTMENT 085 UNH BESSEMER INVESTMENT 085 UN SCOPUS FUND 041 WCN	BESSEMER INVESTMENT 085 MHP B CAVOLEPH 085 MRVL B ROYCE TRADING 031 MTX B SIMMONS VENTURES 041 M B TUDOR INVESTORS 028 OC B SCOUT/UMB 047 PCS S TUDOR INVESTORS 028 RBC SS TUDOR INVESTORS 028 SPW B ROTHSCHILD ASSET 040 SYNA B RAINIER INV MGMT 035 TDC B THE JAY GOLDMAN 028 TGT S BESSEMER INVESTMENT 085 UNH B SCOPUS FUND 041 WCN B	BESSEMER INVESTMENT 085 MHP B 500 CAVOLEPH 085 MRVL B 2,000 ROYCE TRADING 031 MTX B 30,836 SIMMONS VENTURES 041 M B 5,000 TUDOR INVESTORS 028 OC B 5,000 SCOUT/UMB 047 PCS S 200,000 TUDOR INVESTORS 028 RBC SS 5,000 TUDOR INVESTORS 028 SPW B 2,000 ROTHSCHILD ASSET 040 SYNA B 11,520 RAINIER INV MGMT 035 TDC B 90,000 THE JAY GOLDMAN 028 TGT S 10,000 BESSEMER INVESTMENT 085 UN B 360 SCOPUS FUND 041 WCN B 115,200	BESSEMER INVESTMENT 085 MHP B 500 46.42 CAVOLEPH 085 MRVL B 2,000 14.95 ROYCE TRADING 031 MTX B 30,836 64.90 SIMMONS VENTURES 041 M B 5,000 37.88 TUDOR INVESTORS 028 OC B 5,000 31.95 SCOUT/UMB 047 PCS S 200,000 10.30 TUDOR INVESTORS 028 RBC SS 5,000 67.54 TUDOR INVESTORS 028 SPW B 2,000 73.70 ROTHSCHILD ASSET 040 SYNA B 11,520 37.97 RAINIER INV MGMT 035 TDC B 90,000 66.59 THE JAY GOLDMAN 028 TGT S 10,000 56.63 BESSEMER INVESTMENT 085 UNH B 395 55.97 BESSEMER INVESTMENT 085 UN B 360 33.50 SCOPUS FUND 041 WCN B 115,200 32.09	BESSEMER INVESTMENT 085 MHP B 500 46.42 n/a CAVOLEPH 085 MRVL B 2,000 14.95 n/a ROYCE TRADING 031 MTX B 30,836 64.90 n/a SIMMONS VENTURES 041 M B 5,000 37.88 n/a TUDOR INVESTORS 028 OC B 5,000 31.95 n/a SCOUT/UMB 047 PCS S 200,000 10.30 n/a TUDOR INVESTORS 028 RBC SS 5,000 67.54 n/a TUDOR INVESTORS 028 SPW B 2,000 73.70 n/a ROTHSCHILD ASSET 040 SYNA B 11,520 37.97 n/a RAINIER INV MGMT 035 TDC B 90,000 66.59 n/a THE JAY GOLDMAN 028 TGT S 10,000 56.63 n/a BESSEMER INVESTMENT 085 UNH B 395 55.97 n/a BESSEMER INVESTMENT 085 UN B 360 33.50 n/a SCOPUS FUND 041 WCN B 115,200 32.09 n/a	BESSEMER INVESTMENT 085 MHP B 500 46.42 n/a n/a CAVOLEPH 085 MRVL B 2,000 14.95 n/a n/a ROYCE TRADING 031 MTX B 30,836 64.90 n/a n/a SIMMONS VENTURES 041 M B 5,000 37.88 n/a 24-Feb TUDOR INVESTORS 028 OC B 5,000 31.95 n/a n/a SCOUT/UMB 047 PCS S 200,000 10.30 n/a n/a TUDOR INVESTORS 028 REC SS 5,000 67.54 n/a n/a TUDOR INVESTORS 028 SFW B 2,000 73.70 n/a n/a ROTHSCHILD ASSET 040 SYNA B 11,520 37.97 n/a n/a RAINIER INV MCMT 035 TDC B 90,000 66.59 n/a n/a THE JAY GOLDMAN 028 TGT S 10,000 56.63 n/a 24-Feb BESSEMER INVESTMENT 085 UN B 360 33.50 n/a n/a SCOPUS FUND 041 WCN B 115,200 32.09 n/a n/a	BESSEMER INVESTMENT 085 MHP B 500 46.42 n/a n/a 20.00 CAVOLEPH 085 MRVL B 2,000 14.95 n/a n/a 80.00 ROYCE TRADING 031 MTX B 30,836 64.90 n/a n/a 1,541.80 SIMMONS VENTURES 041 M B 5,000 37.88 n/a 24-Feb 200.00 TUDOR INVESTORS 028 OC B 5,000 10.30 n/a n/a 150.00 SCOUT/UMB 047 PCS S 200,000 10.30 n/a n/a 6,000.00 TUDOR INVESTORS 028 RBC SS 5,000 67.54 n/a n/a 150.00 TUDOR INVESTORS 028 SPW B 2,000 73.70 n/a n/a 60.00 ROTHSCHILD ASSET 040 SYNA B 11,520 37.97 n/a n/a n/a 460.80 RAINIER INV MGMT 035 TDC B 90,000 66.59 n/a n/a n/a 3,600.00 THE JAY GOLDMAN 028 TGT S 10,000 56.63 n/a 24-Feb 400.00 BESSEMER INVESTMENT 085 UN B 395 55.97 n/a n/a n/a 15.80 BESSEMER INVESTMENT 085 UN B 336 33.50 n/a n/a 14.40

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
SIMMONS VENTURES	041	WRC	В	5,000	58.34	n/a	29-Feb	200.00 4.0
CYPRESS CAP MGMT LLC	040	Z	S	10,116	32.13	n/a	n/a	404.64 4.0

TOTAL (Trade Date): 47,087.80

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Re	search	Comm.	Shr.
		CAVOLEPH (CAVOFLIP) CAVOLEPH (CAVOFLIP)	085 085	MRVL MRVL	В	•	15.35 15.35	n/a n/a	n/a n/a		0.0
TOTAL	(AO, 0	CXL, CRT):								0.00	

TOTAL (RUN DATE) / NFS:	47,087.80
TOTAL (AO, CXL, CRT):	0.00
TOTAL (Trade Date):	47,087.80

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION