



Daily Compliance Activity Report

Trade Date: 02/19/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AAPL	B	100	125.16	n/a	n/a	5.00	5.0
CHRISTENSE	022	AAPL	B	200	126.24	n/a	n/a	0.00	0.0
LEDDY	035	AER	S	700	17.55	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	ANF	B	13,500	74.93	10-Jan	15-Feb	675.00	5.0
LEDDY	035	APP	S	1,000	12.26	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ARO	B	5,000	26.49	29-Nov	8-Feb	250.00	5.0
NEUBERGER BERMAN	036	AXP	S	31,400	45.24	n/a	n/a	1,413.00	4.5
BALYASNY ASSET MGMT	044	BAC	B	35,000	43.03	13-Nov	23-Jan	1,750.00	5.0
BALYASNY ASSET MGMT	044	BEN	B	10,000	97.27	25-Sep	14-Feb	500.00	5.0
ROTHSCHILD ASSET	040	BRS	S	1,600	53.62	n/a	n/a	72.03	4.5
CUMBERLAND	038	BYI	B	30,000	39.89	n/a	n/a	1,200.00	4.0
MFP INVESTORS	032	CBT	B	10,100	28.01	n/a	30-Jan	505.00	5.0
BUCK	015	CELG	C	800	56.55	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	CEPH	B	10,195	62.13	n/a	19-Feb	407.80	4.0
KENNEDY CAPITAL	046	CPB	B	39,700	33.14	n/a	n/a	1,588.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY	036	CPN	B	200	17.90	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CROX	B	20,000	32.70	n/a	n/a	800.00	4.0
SANDLER O'NEILL ASSET	031	C	SS	50,000	25.58	16-Jan	14-Feb	2,500.00	5.0
BUCK	015	C	SS	1,400	25.60	16-Jan	14-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	DAI	SS	50,000	83.66	n/a	n/a	2,000.00	4.0
BUCK	015	DD	S	1,000	46.25	n/a	22-Jan	0.00	0.0
PATTEN & PATTEN	067	DNA	B	1,380	73.10	n/a	n/a	69.00	5.0
GAULT	063	DUG	B	500	39.55	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	DVN	S	9,260	96.31	14-Jan	8-Feb	463.00	5.0
PATTEN & PATTEN	067	D	S	600	42.75	n/a	n/a	30.00	5.0
SATELLITE ASSET MGMT	012	EL	B	5,000	42.99	4-Jan	4-Feb	200.00	4.0
TRELLUS PARTNERS LP	028	EL	SS	25,000	42.44	4-Jan	4-Feb	1,250.00	5.0
SATELLITE ASSET MGMT	012	ENR	S	1,900	93.53	n/a	n/a	76.00	4.0
IRIDIAN ASSET MGMT.	036	EOG	S	9,040	98.29	n/a	n/a	452.00	5.0
SUNOVA CAPITAL	031	EV	B	25,000	32.79	9-Jan	19-Feb	1,250.00	5.0
PATTEN & PATTEN	067	EWG	S	300	31.25	n/a	n/a	15.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KENNEDY CAPITAL	046	EW	S	12,035	42.95	n/a	n/a	481.40	4.0
PATTEN & PATTEN	067	FNMPRS	B	1,600	25.91	n/a	n/a	80.00	5.0
SIGMA CAPITAL ASSOCIATES	237	FOSL	SS	50,000	35.64	13-Nov	8-Feb	2,500.00	5.0
PATTEN & PATTEN	067	FREPRZ	B	800	26.40	n/a	n/a	40.00	5.0
SUN AMERICA ASSET MGMT	030	GD	B	11,200	84.20	n/a	n/a	448.00	4.0
BUCK CAPITAL MGMT	001	GIL	B	1,000	38.76	22-Jan	31-Jan	40.00	4.0
DELTEC ASSET MGMT.	040	GLW	B	10,000	23.12	n/a	11-Feb	500.00	5.0
KERN	050	GOOG	S	20	517.52	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	GPS	B	5,000	19.21	n/a	8-Feb	200.00	4.0
AMATURO	018	GSI	B	3,000	7.50	n/a	n/a	0.00	0.0
LEDDY	035	GSI	B	1,200	7.54	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GYMB	B	5,000	39.26	n/a	8-Feb	250.00	5.0
N STRATTON	014	HAL	S	100	36.75	n/a	n/a	0.00	0.0
FINANCIAL STOCK LP	028	HIG	B	30,000	73.06	n/a	n/a	1,200.00	4.0
SUN AMERICA ASSET MGMT	030	HPQ	S	43,800	44.98	n/a	n/a	1,752.00	4.0
PATTEN & PATTEN	067	IDU	S	62	95.57	n/a	n/a	3.10	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	ITG	B	10,900	46.39	n/a	n/a	490.50	4.5
BENCHMARK	028	IYR	SS	2,500	62.94	n/a	n/a	125.00	5.0
SATELLITE ASSET MGMT	012	JAKK	S	10,000	26.73	n/a	n/a	400.00	4.0
SUNOVA CAPITAL	031	KMX	B	100,000	19.18	n/a	10-Jan	5,000.00	5.0
PATTEN & PATTEN	067	KO	B	400	58.61	n/a	n/a	20.00	5.0
SATELLITE ASSET MGMT	012	KO	B	5,000	58.03	n/a	n/a	200.00	4.0
BUCK	015	KSS	SS	700	44.26	4-Jan	15-Feb	0.00	0.0
KENNEDY CAPITAL	046	LDG	S	15,010	48.27	n/a	n/a	600.40	4.0
TRUSTCO/SUNTRUST	040	LPX	S	100,000	11.31	30-Oct	6-Feb	3,000.00	3.0
IRIDIAN ASSET MGMT.	036	LSI	B	212,400	4.98	n/a	n/a	8,496.00	4.0
N STRATTON	014	LTRE	B	450	12.54	n/a	n/a	0.00	0.0
BUCK	015	LVS	B	600	88.73	n/a	n/a	0.00	0.0
WHEELER	008	MGRC	B	5,000	20.60	n/a	n/a	0.00	0.0
BUCK	015	MO	B	600	72.83	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	MSFT	S	41,500	28.61	n/a	3-Apr	1,660.00	4.0
BUCK	015	MSFT	SS	1,000	28.73	n/a	3-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	M	SS	5,000	24.71	7-Feb	15-Feb	250.00	5.0
LAGRANGE CAP ADV	048	M	B	10,000	24.91	7-Feb	15-Feb	500.00	5.0
KENNEDY CAPITAL	046	NLY	S	43,950	20.48	n/a	n/a	1,758.00	4.0
LISTED ERR	099	NLY	B	9	20.51	n/a	n/a	0.00	0.0
LISTED ERR	099	NLY	S	9	20.48	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	NOV	S	13,820	64.56	n/a	n/a	691.00	5.0
BACKBAY	041	PAG	S	100,000	18.26	6-Feb	6-Feb	5,000.00	5.0
BUCK CAPITAL MGMT	001	PAG	B	10,000	18.08	6-Feb	6-Feb	400.00	4.0
COUGAR TRADING	044	PAG	S	20,000	18.17	6-Feb	6-Feb	1,000.00	5.0
FIRST NY SEC/CHRIS	041	PAG	S	21,000	18.54	6-Feb	6-Feb	1,260.00	6.0
SCOPUS FUND	041	PAG	S	30,000	18.34	6-Feb	6-Feb	1,500.00	5.0
KERN	050	PBR	S	100	118.24	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	PCL	S	800	39.93	8-Jan	30-Jan	40.00	5.0
BUCK	015	PHRM	S	1,500	70.21	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	PWR	B	675	23.99	n/a	n/a	33.75	5.0
PATTEN & PATTEN	067	RHHBY	S	370	87.80	n/a	n/a	18.50	5.0
SUN AMERICA ASSET MGMT	030	RIG	S	16,000	130.38	n/a	n/a	640.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	66.05	7-Feb	15-Feb	400.00	4.0
STADIA CAPITAL	035	RL	SS	35,000	64.57	7-Feb	15-Feb	1,400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SATELLITE ASSET MGMT	012	RTH	SS	5,000	91.56	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	6.96	n/a	n/a	800.00	4.0
SUTERA	060	SDS	B	500	61.75	n/a	n/a	0.00	0.0
SUTERA	060	SDS	S	500	63.31	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	1,000	112.34	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	SLB	B	200	87.70	n/a	n/a	10.00	5.0
PATTEN & PATTEN	067	SPY	S	35	134.99	n/a	n/a	1.75	5.0
WHEELER	008	TLGD	B	15,000	5.75	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	TMX	SS	40,000	33.32	n/a	n/a	1,600.00	4.0
KERN	050	TT	S	101	44.76	17-Dec	29-Jan	0.00	0.0
COUGAR TRADING	044	TWB	S	5,000	31.18	9-Jan	12-Feb	250.00	5.0
PATTEN & PATTEN	067	UNM	S	700	23.83	n/a	n/a	35.00	5.0
KERN	050	WBC	S	33	43.98	n/a	7-Feb	0.00	0.0
BUCK	015	WB	B	700	33.96	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	WFC	B	75,900	30.25	n/a	n/a	3,795.00	5.0
SUNOVA CAPITAL	031	WFC	SS	100,000	29.65	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SATELLITE ASSET MGMT	012	WRNC	B	20,000	34.35	14-Jan	15-Feb	800.00	4.0
REINHART & MAHONEY	031	WX	B	19,870	25.97	n/a	n/a	993.50	5.0
PATTEN & PATTEN	067	WY	B	2,000	63.51	8-Jan	11-Feb	100.00	5.0
SYSTEMATIC FINANCIAL MGT	035	X	B	138,300	107.46	n/a	n/a	5,186.25	3.8
BUCK	015	YHOO	B	2,000	29.34	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							78,619.98		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/15	AMATURO (AMATURO)	018		B	20,000	82.88	n/a	n/a	0.00 0.0
AO,CXL	02/15	AMATURO (AMATURO)	018		B	20,000	82.88	n/a	n/a	0.00 0.0
AO,	02/15	GOLDMAN SACHS ASSET MGMT (GSAM704083)	044	CHK	B	43,200	42.96	n/a	n/a	648.00 1.5
AO,	02/15	GOLDMAN SACHS ASSET MGMT (GSAM3050)	044	CHK	B	29,400	42.96	n/a	n/a	441.00 1.5
AO,	02/15	GOLDMAN SACHS ASSET MGMT (GSAM2058)	044	CHK	B	22,740	42.96	n/a	n/a	341.10 1.5
AO,	02/15	GOLDMAN SACHS ASSET MGMT (GSAM2546)	044	CHK	B	35,900	42.96	n/a	n/a	538.50 1.5
AO,	02/15	GOLDMAN SACHS ASSET MGMT (GSAM2001)	044	CHK	B	47,590	42.96	n/a	n/a	713.85 1.5
AO,	02/15	GOLDMAN SACHS ASSET MGMT (GSAM2762)	044	CHK	B	43,150	42.96	n/a	n/a	647.25 1.5
AO,CXL	02/15	LAGRANGE CAP ADV (LAGRUBSPB)	048	HYC	B	1,300	3.89	n/a	n/a	65.00 5.0
AO,CRT	02/15	LAGRANGE CAP ADV (LAGRUBSPB)	048	HYC	B	1,300	3.89	n/a	n/a	52.00 4.0
AO,CXL	02/14	FARALLON CAP MGMT (FARABULK F)	040	XRT	B	875,000	33.62	n/a	n/a	35000.00 4.0
AO,CRT	02/14	FARALLON CAP MGMT (FARABULK F)	040	XRT	B	875,000	33.62	n/a	n/a	26250.00 3.0
TOTAL (AO, CXL, CRT) :										-5,433.30
TOTAL (Trade Date):										78,619.98
TOTAL (AO, CXL, CRT):										-5,433.30
TOTAL (RUN DATE) / NFS:										73,186.68

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION