



# Daily Compliance Activity Report

Trade Date: 05/07/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
<b>B BUCK CAPITAL MGMT</b>		<b>ABG</b>	<b>S</b>	<b>50,000</b>	<b>16.71</b>	<b>n/a</b>	<b>25-Apr</b>	<b>non-brg 4.0</b>
CATAPULT	040	AMP	B	7,500	48.62	n/a	n/a	375.00 5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>S</b>	<b>60,000</b>	<b>72.51</b>	<b>10-Apr</b>	<b>2-May</b>	<b>2,400.00 4.0</b>
COUGAR TRADING	044	ANF	B	5,000	72.93	10-Apr	2-May	250.00 5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>SS</b>	<b>10,000</b>	<b>25.26</b>	<b>14-Mar</b>	<b>2-May</b>	<b>400.00 4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>APC</b>	<b>S</b>	<b>13,100</b>	<b>77.09</b>	<b>20-Mar</b>	<b>1-May</b>	<b>non-brg 1.0</b>
DSG CAPITAL	225	ARGN	B	10,000	12.10	n/a	1-May	500.00 5.0
FIRST NY SEC/CHRIS	041	ARGN	B	25,000	12.28	n/a	1-May	1,250.00 5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO+EF</b>	<b>C</b>	<b>500</b>	<b>2.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 300.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>S</b>	<b>50,000</b>	<b>32.26</b>	<b>28-Apr</b>	<b>2-May</b>	<b>non-brg 4.0</b>
COUGAR TRADING	044	ARO	B	10,000	32.15	28-Apr	2-May	500.00 5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>ARO</b>	<b>S</b>	<b>750</b>	<b>32.24</b>	<b>28-Apr</b>	<b>2-May</b>	<b>0.00 0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BARE</b>	<b>B</b>	<b>1,001</b>	<b>21.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 1.0</b>
EPOCH INV MGMT	030	BA	S	400	85.31	n/a	n/a	16.00 4.0
J L F ASSET MGMT	039	BJ	S	57,600	38.83	n/a	27-Jul	2,304.00 4.0
BALYASNY ASSET MGMT	044	BWA	SS	7,500	53.40	5-May	5-May	375.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>N STRATTON</b>	<b>014</b>	<b>CENTA</b>	<b>B</b>	<b>500</b>	<b>3.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MORRISON&FRASIER/BUTLER	040	CENT	B	20,000	4.47	8-May	8-Feb	600.00	3.0
CUMBERLAND	038	CHRD	B	50,000	4.84	n/a	n/a	2,000.00	4.0
JP MORGAN VENTURES	046	COCO	SS	15,000	11.38	n/a	n/a	750.00	5.0
COUGAR TRADING	044	COH	SS	30,000	35.24	4-Apr	23-Apr	1,500.00	5.0
<b>⌘ BUCK CAPITAL MGMT</b>		<b>CPKI</b>	<b>SS</b>	<b>16,000</b>	<b>16.38</b>	<b>n/a</b>	<b>30-Apr</b>	<b>non-brg</b>	<b>1.0</b>
<b>⌘ BUCK CAPITAL MGMT</b>		<b>CPKI</b>	<b>SS</b>	<b>30,000</b>	<b>16.41</b>	<b>n/a</b>	<b>30-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>⌘ BUCK CAPITAL MGMT</b>		<b>CPKI</b>	<b>SS</b>	<b>54,000</b>	<b>16.15</b>	<b>n/a</b>	<b>30-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>⌘ BUCK CAPITAL MGMT</b>		<b>CROX</b>	<b>B</b>	<b>100,000</b>	<b>11.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>⌘ BUCK CAPITAL MGMT</b>		<b>DAI</b>	<b>SS</b>	<b>20,000</b>	<b>79.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
LEVIN CAPITAL STRATEGIES	012	DD	S	10,000	49.58	n/a	22-Apr	400.00	4.0
BANK OF NEW YORK	046	DFT	B	5,900	18.50	n/a	n/a	236.00	4.0
<b>⌘ BUCK CAPITAL MGMT</b>		<b>DLTR</b>	<b>C</b>	<b>50,000</b>	<b>30.47</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
LEVIN CAPITAL STRATEGIES	012	DOW	C	12,000	40.83	n/a	24-Apr	480.00	4.0
COUGAR TRADING	044	DSW	S	20,000	14.93	28-Mar	2-May	1,000.00	5.0
EPOCH INV MGMT	030	DVA	B	1,510	51.69	n/a	n/a	60.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	ERIC	SS	10,000	24.73	n/a	n/a	500.00	5.0
PATTEN & PATTEN	067	EWG	S	1,350	33.02	n/a	n/a	67.50	5.0
<b>MILLER</b>	<b>025</b>	<b>EWZ</b>	<b>B</b>	<b>50</b>	<b>93.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DSG CAPITAL	225	FOR	B	3,000	25.05	n/a	n/a	150.00	5.0
COUGAR TRADING	044	FOSL	S	5,000	36.34	13-Nov	7-May	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>S</b>	<b>10,000</b>	<b>40.92</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
LEVIN CAPITAL STRATEGIES	012	GES	S	10,000	41.04	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>50,000</b>	<b>28.33</b>	<b>30-Apr</b>	<b>30-Apr</b>	<b>2,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>300</b>	<b>27.69</b>	<b>30-Apr</b>	<b>30-Apr</b>	<b>non-brg</b>	<b>1.0</b>
<b>EMP ERROR</b>	<b>099</b>	<b>GIL</b>	<b>S</b>	<b>300</b>	<b>28.02</b>	<b>30-Apr</b>	<b>30-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>MILLER</b>	<b>025</b>	<b>GLW</b>	<b>B</b>	<b>100</b>	<b>26.39</b>	<b>n/a</b>	<b>7-May</b>	<b>0.00</b>	<b>0.0</b>
WEINTRAUB CAPITAL MGT.	040	GM	SS	50,000	22.02	29-Apr	2-May	2,000.00	4.0
BALYASNY ASSET MGMT	044	GNTX	SS	60,000	18.99	7-Apr	23-Apr	3,000.00	5.0
COUGAR TRADING	044	GPS	SS	10,000	18.58	n/a	2-May	500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>GPYFS</b>	<b>S</b>	<b>2</b>	<b>8.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GSIC</b>	<b>S</b>	<b>15,000</b>	<b>13.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GSIC</b>	<b>S</b>	<b>45,000</b>	<b>13.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>10,000</b>	<b>42.56</b>	<b>2-Apr</b>	<b>2-May</b>	<b>non-brg</b>	<b>2.5</b>
COUGAR TRADING	044	GYMB	S	8,900	43.51	2-Apr	2-May	445.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>HAR</b>	<b>C</b>	<b>200</b>	<b>42.51</b>	<b>n/a</b>	<b>7-May</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	031	HON	B	2,025	59.88	18-Apr	18-Apr	65.00	2.5
EPOCH INV MGMT	030	IFF	S	700	42.80	1-May	1-May	28.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JAH</b>	<b>B</b>	<b>20,000</b>	<b>23.12</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>10,000</b>	<b>48.26</b>	<b>n/a</b>	<b>12-Mar</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	JCG	S	5,000	48.85	n/a	12-Mar	250.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>JGQFA</b>	<b>S</b>	<b>100</b>	<b>0.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PERRY PARTNERS	041	JNS	B	200,000	29.00	25-Apr	2-May	10,000.00	5.0
SLS CAPITAL LLC	224	JNS	B	121,500	29.29	25-Apr	2-May	4,860.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>SS</b>	<b>30,000</b>	<b>16.98</b>	<b>n/a</b>	<b>1-May</b>	<b>non-brg</b>	<b>4.0</b>
BANK OF NEW YORK	046	JOSB	B	7,390	25.84	n/a	n/a	295.60	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>10,000</b>	<b>25.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>SS</b>	<b>30,000</b>	<b>35.50</b>	<b>31-Mar</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
MILLENIUM PARTNERS	028	JWN	SS	5,000	36.04	31-Mar	2-May	250.00	5.0
DSG CAPITAL	225	KEG	B	15,000	14.51	14-Feb	6-May	750.00	5.0
NATIONAL BK OF CAN	031	LEH	B	30,000	46.16	1-Apr	14-Apr	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NATIONAL BK OF CAN	031	LEH	S	30,000	45.96	1-Apr	14-Apr	1,200.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	18.92	n/a	7-May	400.00	4.0
SYSTEMATIC FINANCIAL MGT	035	LIZ	B	56,700	18.84	n/a	7-May	2,126.25	3.8
MCCARTAN	028	LMIA	S	300	22.00	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	LPX	B	31,000	11.40	7-Apr	7-May	1,550.00	5.0
BUCK CAPITAL MGMT	001	LTD	SS	10,000	18.04	n/a	2-May	400.00	4.0
COUGAR TRADING	044	LTD	B	10,000	17.65	n/a	2-May	500.00	5.0
⌘ BUCK CAPITAL MGMT		LTO IM	C	40,000	31.62	n/a	n/a	non-brg	6.3
BUCK	015	MER	C	1,000	48.66	18-Jan	17-Apr	0.00	0.0
PATTEN & PATTEN	067	MIC	S	3,870	26.99	n/a	n/a	193.50	5.0
⌘ BUCK CAPITAL MGMT		MVRX	SS	75,000	150.40	n/a	n/a	non-brg	30.1
COUGAR TRADING	044	M	SS	25,000	25.19	7-Feb	2-May	1,250.00	5.0
JP MORGAN VENTURES	046	M	C	25,000	24.78	7-Feb	2-May	1,250.00	5.0
CLOVIS CAPITAL	012	NYX	B	205,000	75.56	n/a	n/a	10,250.00	5.0
CAPITAL GROWTH MGMT.	241	OXY	S	78,000	87.64	n/a	n/a	3,900.00	5.0
⌘ BUCK CAPITAL MGMT		PAG	S	30,000	20.76	22-Apr	30-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PAG	S	50,200	20.70	22-Apr	30-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PERY	S	20,000	23.71	n/a	n/a	non-brg	2.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ELM RIDGE ADVISORS	044	PKG	S	82,600	23.06	7-Apr	22-Apr	3,304.00	4.0
FIRST NY SEC/CHRIS	041	PLCE	B	10,000	27.52	2-May	2-May	600.00	6.0
PECONIC PARTNERS	036	PLCE	B	88,900	27.63	2-May	2-May	4,445.00	5.0
STRONG/MARK GRONET	030	PSS	B	80,820	10.37	n/a	n/a	3,232.80	4.0
COUGAR TRADING	044	PSUN	SS	10,000	12.68	n/a	2-May	500.00	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>PTV</b>	<b>B</b>	<b>109</b>	<b>24.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	PVH	S	11,700	43.84	5-May	5-May	526.50	4.5
<b>KORMAN</b>	<b>003</b>	<b>QJPQX</b>	<b>S</b>	<b>50</b>	<b>1.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FIRST NEW YORK NICK	029	Q	S	5,000	4.87	n/a	n/a	250.00	5.0
J L F ASSET MGMT	039	RL	SS	125,400	60.82	7-Feb	6-May	5,016.00	4.0
KACELA CAPITAL	034	SAP	S	25,000	49.38	n/a	n/a	1,000.00	4.0
BLUE HARBOR	036	SONC	B	46,000	22.07	n/a	n/a	2,300.00	5.0
RAINIER INV MGMT	035	SPN	B	19,800	50.57	n/a	n/a	990.00	5.0
CATAPULT	040	SSI	B	25,000	14.01	4-Jan	2-May	1,000.00	4.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>SWN</b>	<b>S</b>	<b>200</b>	<b>44.36</b>	<b>20-Mar</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
SOMERSET ADV	044	TCHC	B	10,127	11.95	n/a	n/a	405.08	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEHMAN PROPRIETARY TRAD	041	TEVA	S	15,000	45.74	10-Jan	6-May	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TIF</b>	<b>SS</b>	<b>10,000</b>	<b>43.45</b>	<b>14-Jan</b>	<b>25-Mar</b>	<b>non-brg</b>	<b>4.0</b>
SANDLER O'NEILL ASSET	031	TROW	B	10,000	60.57	9-Jan	2-May	500.00	5.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>TTWO</b>	<b>B</b>	<b>200</b>	<b>26.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>UNT</b>	<b>C</b>	<b>2,000</b>	<b>69.27</b>	<b>20-Mar</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>UNT</b>	<b>SS</b>	<b>1,000</b>	<b>71.55</b>	<b>20-Mar</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
NORTHPOINT	030	VAR	B	47,350	46.50	n/a	n/a	1,894.00	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>VCFA</b>	<b>S</b>	<b>200</b>	<b>0.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	VCLK	B	21,600	18.99	n/a	n/a	648.00	3.0
<b>MCCARTAN</b>	<b>028</b>	<b>WBC</b>	<b>S</b>	<b>366</b>	<b>48.32</b>	<b>30-Apr</b>	<b>30-Apr</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	WDR	S	15,000	34.37	22-Apr	2-May	750.00	5.0
MILLENIUM PARTNERS	028	WY	B	10,000	64.41	7-Apr	7-Apr	500.00	5.0
<b>PRYLUCKI</b>	<b>092</b>	<b>XRT</b>	<b>B</b>	<b>125</b>	<b>32.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>ZUMZ</b>	<b>SS</b>	<b>2,000</b>	<b>20.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>								<b>94,288.63</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	05/06	EMP ERROR	099	GIL	B	300	27.92	30-Apr	30-Apr	0.00	0.0
AO,CXL	05/06	LEDDY	035	GIL	B	300	27.92	30-Apr	30-Apr	0.00	0.0
AO,CRT	05/06	WATER STREET CAPITAL	038	GM	SS	100,000	22.07	29-Apr	2-May	5000.00	5.0
AO,CXL	05/06	WATER STREET CAPITAL	038	GM	SS	100,000	22.07	29-Apr	2-May	5000.00	5.0
AO,	05/06	LISTED ERR	099	PTV	S	109	23.51	n/a	n/a	0.00	0.0
AO,CRT	05/06	XYLEM GLOBAL	091	PTV	S	176,442	23.51	n/a	n/a	8822.10	5.0
AO,CXL	05/06	XYLEM GLOBAL	091	PTV	S	176,551	23.51	n/a	n/a	8827.55	5.0
AO,CRT	05/06	ROTHSCHILD ASSET	040	STT	B	600	74.48	n/a	n/a	27.00	4.5
AO,CXL	05/06	ROTHSCHILD ASSET	040	STT	B	600	74.48	n/a	n/a	27.00	4.5
AO,CRT	05/06	IRONBOUND CAPITAL	012	TIN	B	9,800	11.65	29-Apr	29-Apr	294.00	3.0
AO,CXL	05/06	IRONBOUND CAPITAL	012	TIN	B	9,800	11.65	29-Apr	29-Apr	490.00	5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-201.45</b>	
TOTAL (Trade Date) :										94,288.63	
TOTAL (AO, CXL, CRT) :										-201.45	
<b>TOTAL (RUN DATE) / NFS :</b>										<b>94,087.18</b>	



MRI Securities with Trade Activity on 7-May.  
NONE

MRI Securities without Trade Activity on 7-May.  
APA, CEPH, DVN, EL, LM

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 11%  
YTD = 20%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**