



# Daily Compliance Activity Report

Trade Date: 03/08/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>AAPL</b>	<b>S</b>	<b>505</b>	<b>216.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FRED ALGER MGMT	070	AAPL	S	35,135	430.81	n/a	n/a	1,264.86	0.0
LAGRANGE CAP ADV	087	ABG	SS	10,000	35.64	n/a	20-Feb	500.00	5.0
PROSPECTOR PARTNERS LLC	036	ABT	S	10,000	34.59	n/a	n/a	400.00	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>ACW</b>	<b>B</b>	<b>4550</b>	<b>4.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>30,000</b>	<b>20.97</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
CATAPULT	040	AGCO	B	18,838	53.64	n/a	n/a	753.52	0.0
CATAPULT	040	APD	B	17,100	88.73	n/a	n/a	684.00	0.0
GUGGENHEIM GLOBAL TRADING (GGT) 251	ARCP		B	42,496	14.15		n/a	n/a	1,274.88 3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>SS</b>	<b>50,000</b>	<b>13.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f CICILY S BACKUS</b>	<b>FID</b>	<b>AXL</b>	<b>B</b>	<b>3000</b>	<b>13.19</b>	<b>n/a</b>	<b>6-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f SNEJANA P GARFUNKEL</b>	<b>FID</b>	<b>AXL</b>	<b>B</b>	<b>2000</b>	<b>13.18</b>	<b>n/a</b>	<b>6-Mar</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	BAC	B	25,600	12.11	n/a	7-Mar	1,280.00	0.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>BBW</b>	<b>S</b>	<b>255</b>	<b>5.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f BRIAN P CLIFFORD</b>	<b>FID</b>	<b>BBY</b>	<b>B</b>	<b>1500</b>	<b>19.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRONSON POINT	036	BBY	B	100,000	19.28	n/a	n/a	3,000.00	0.0
⚡ BUCK CAPITAL MGMT		BHI	B	44,000	45.97	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CAR	B	30,000	25.78	n/a	n/a	non-brg	4.0
J. GOLDMAN	028	CCK	B	35,000	39.44	n/a	n/a	1,400.00	4.0
⚡ BUCK CAPITAL MGMT		CHS	SS	50,000	17.61	n/a	5-Feb	non-brg	4.0
f CICILY S BACKUS	FID	COF	B	1000	54.39	n/a	8-Mar	0.00	0.0
f LEE F BACKUS	FID	COF	B	1500	54.31	n/a	8-Mar	0.00	0.0
f SNEJANA P GARFUNKEL	FID	COF	B	500	54.28	n/a	8-Mar	0.00	0.0
⚡ BUCK CAPITAL MGMT		COH	SS	70,000	48.86	n/a	27-Feb	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CROX	S	40,000	15.78	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	CVGI	S	1000	8.55	n/a	n/a	0.00	0.0
COOPER CREEK PART	028	CWH	B	10,000	22.09	n/a	n/a	400.00	4.0
GUGGENHEIM GLOBAL TRADING (GGT) 251		CWH	B	15,300	21.93		n/a	n/a	459.00 3.0
WHITE PINE CAPITAL	030	C	B	6,425	45.99	n/a	7-Mar	321.25	0.0
CR INTRINSIC/CHRIS C	041	DAL	B	35,000	16.25	n/a	5-Mar	1,050.00	3.0
PROSPECTOR PARTNERS LLC	036	DD	S	10,000	49.14	n/a	n/a	400.00	4.0
⚡ BUCK CAPITAL MGMT		DKS	S	122,121	49.34	n/a	8-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		DKS	S	123,016	49.98	n/a	8-Mar	non-brg	4.0
MANNY I KORMAN	FID	DTV	B	2000	50.01	n/a	n/a	0.00	0.0
YANAI A FRANK	FID	EDAC	S	1325	16.01	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		EL	B	10,000	65.50	n/a	1-Mar	non-brg	4.0
BRIAN P CLIFFORD	FID	EPOC	S	3000	11.74	n/a	n/a	0.00	0.0
CAMBIAR CAP	046	FINL	B	30,000	18.26	n/a	6-Mar	1,200.00	0.0
PLATTE RIVER CAPITAL	046	FINL	B	25,000	17.85	n/a	6-Mar	1,000.00	0.0
LAGRANGE CAP ADV	087	FST	S	20,000	5.94	n/a	n/a	1,000.00	5.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	GDI	B	1,400	74.65		n/a	n/a	56.00 4.0
JASON ERIC COHEN	FID	GLW	S	290	12.71	n/a	n/a	0.00	0.0
VISIUM/BALY BOBBY	044	GM	B	25,000	28.03	n/a	6-Mar	1,250.00	0.0
BUCK CAPITAL MGMT		GNC	S	50,000	40.15	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GPS	S	50,000	35.88	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HBC CN	S	100,000	14.78	n/a	n/a	non-brg	0.0
BALYASNY ASSET MGMT	044	HTZ	S	20,000	20.74	n/a	n/a	1,000.00	0.0
LAGRANGE CAP ADV	087	HUN	SS	20,000	19.20	n/a	n/a	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		IBM	SS	600	210.30	n/a	n/a	non-brg	4.0
WHITE PINE CAPITAL	030	IPHI	B	5,500	10.32	n/a	n/a	275.00	0.0
ASCEND PARTNERS	033	IP	S	32,272	46.68	n/a	7-Mar	968.16	0.0
f ANDREW LONGLEY TRUS	FID	IXN	B	20	69.81	n/a	n/a	0.00	0.0
f CLIFFORD LONGLEY TR	FID	IXN	B	20	69.81	n/a	n/a	0.00	0.0
f JONATHAN LONGLEY TR	FID	IXN	B	20	69.82	n/a	n/a	0.00	0.0
f ANDREW LONGLEY TRUS	FID	IYG	B	20	66.04	n/a	n/a	0.00	0.0
f CLIFFORD LONGLEY TR	FID	IYG	B	20	66.05	n/a	n/a	0.00	0.0
f JONATHAN LONGLEY TR	FID	IYG	B	20	66.05	n/a	n/a	0.00	0.0
f ANDREW LONGLEY TRUS	FID	IYJ	B	20	81.16	n/a	n/a	0.00	0.0
f CLIFFORD LONGLEY TR	FID	IYJ	B	20	81.16	n/a	n/a	0.00	0.0
f JONATHAN LONGLEY TR	FID	IYJ	B	20	81.19	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JBLU	B	100,000	6.48	n/a	5-Mar	non-brg	3.0
f CICI LY S BACKUS	FID	JPM	B	2000	50.16	n/a	7-Mar	0.00	0.0
f LEE F BACKUS	FID	JPM	B	2000	50.16	n/a	7-Mar	0.00	0.0
BRONSON POINT	036	KMT	C	25,000	42.54	n/a	n/a	750.00	0.0
f MANNY I KORMAN	FID	KMT	B	1000	41.15	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	KMT	B	9000	41.16	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	040	KNL	B	56,900	18.37	n/a	n/a	2,276.00	4.0
B BUCK CAPITAL MGMT		KORS	B	20,000	58.52	n/a	21-Feb	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
f	FRANCIS MICHAEL MCCA	FID	KORS	S	200	58.01	n/a	21-Feb	0.00	0.0	
	BASSWOOD PARTNERS	267	LEA	B	4,400	55.11	n/a	1-Mar	176.00	0.0	
	COLUMBIA PARTNERS	028	LNCO	B	5,319	39.80	n/a	n/a	212.76	0.0	
	RIVERLOFT CAPITAL	044	LYB	S	20,000	63.10	n/a	n/a	600.00	3.0	
	COOPER CREEK PART	028	MATX	SS	500	25.94	n/a	n/a	20.00	4.0	
	CASTLEROCK	040	MCD	B	7,500	98.43	n/a	8-Mar	300.00	0.0	
f	MANNY I KORMAN	FID	MERPRK	S	5000	25.36	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	MMM	S	200	105.47	n/a	n/a	0.00	0.0	
B	BUCK CAPITAL MGMT		MOH	B	30,000	33.07	n/a	n/a	non-brg	4.0	
f	YANAI A FRANK	FID	MTG	B	4200	4.77	n/a	n/a	0.00	0.0	
	MTB INV ADV	028	NATI	S	13,900	31.00	n/a	n/a	451.75	3.3	
	GUGGENHEIM GLOBAL TRADING (GGT)	251	NNN	B	5,000	35.37		n/a	n/a	150.00	3.0
	WHITE PINE CAPITAL	030	NPTN	B	5,600	5.36	n/a	n/a	280.00	0.0	
B	BUCK CAPITAL MGMT		NWY	S	430,589	4.20	n/a	n/a	non-brg	3.0	
B	BUCK CAPITAL MGMT		NWY	S	7,400	4.32	n/a	n/a	non-brg	0.8	
	BRONSON POINT	036	OXY	S	25,000	82.42	n/a	n/a	750.00	0.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
GUGGENHEIM GLOBAL TRADING	(GGT)251	O		S	15,000	45.72	n/a	n/a	600.00	4.0
COLUMBUS CIRCLE	036	PCYC	B	3,700	94.33	n/a	n/a	148.00	0.0	
S.A.C. CAPITAL	044	PKG	B	25,000	43.36	n/a	7-Mar	750.00	3.0	
B	BUCK CAPITAL MGMT	PVH	S	10,000	123.67	n/a	1-Mar	non-brg	4.0	
B	BUCK CAPITAL MGMT	RDN	B	50,000	9.55	n/a	n/a	non-brg	3.0	
f	PATRICK O'GRADY	FID	REVUQ	S	63986	0.00	n/a	n/a	0.00	0.0
IVORY CAPITAL	084	RF	S	15,100	8.16	n/a	n/a	755.00	0.0	
MERRILL ASSET/BLACKROCK	297	RHP	S	15,018	44.72	n/a	n/a	600.72	0.0	
B	BUCK CAPITAL MGMT	RLGY	S	30,000	46.62	n/a	n/a	non-brg	4.0	
f	YANAI A FRANK	FID	RNF	B	500	40.65	n/a	n/a	0.00	0.0
IVORY CAPITAL	084	ROST	B	44,300	56.15	n/a	7-Mar	2,215.00	0.0	
B	BUCK CAPITAL MGMT	RSH	B	175,000	3.43	n/a	n/a	non-brg	2.0	
f	CICILY S BACKUS	FID	SAH	B	3000	23.45	n/a	n/a	0.00	0.0
f	LEE F BACKUS	FID	SAH	B	1000	23.47	n/a	n/a	0.00	0.0
f	SNEJANA P GARFUNKEL	FID	SAH	B	1000	23.45	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	SBUX	S	200	58.82	n/a	1-Mar	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	041	SBUX	B	50,000	58.79	n/a	1-Mar	1,500.00	3.0
f EDWARD W WHEELER	FID	SCSC	B	3513	30.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SHOO	S	10,000	45.07	n/a	n/a	non-brg	4.0
CATAPULT	040	SIAL	SS	23,400	78.57	n/a	n/a	936.00	0.0
B BUCK CAPITAL MGMT		SKX	S	40,000	21.69	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	SO	S	134	45.19	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SPY	B	250	52.48	n/a	n/a	0.00	0.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	STKL	B	10,000	6.79		n/a	n/a	300.00 3.0
f MARC PAUL LUCHANSKY	FID	SWN	S	200	37.60	n/a	7-Mar	0.00	0.0
f GERALD EPHRAM COHEN	FID	TBF	B	480	30.67	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	TBF	B	163	30.69	n/a	n/a	0.00	0.0
f JOHN FRANCIS MCERLEA	FID	TBF	B	500	30.67	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UAL	S	157,561	31.32	n/a	5-Mar	non-brg	0.8
BALYASNY ASSET MGMT	044	UA	B	30,000	50.60	n/a	6-Mar	1,500.00	0.0
B BUCK CAPITAL MGMT		UA	S	50,000	49.40	n/a	6-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		URI	B	10,000	54.18	n/a	17-Apr	non-brg	4.0
f MANNY I KORMAN	FID	URI	B	3100	53.98	n/a	17-Apr	0.00	0.0
f MANNY I KORMAN	FID	URI	B	6500	54.15	n/a	17-Apr	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f ALICE BEEBE LONGLEY</b>	<b>FID</b>	<b>WVALX</b>	<b>B</b>	<b>660</b>	<b>37.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RK CAPTIAL MGMT	046	WWW	SS	20,000	43.31	n/a	n/a	1,000.00	5.0
FRED ALGER MGMT	070	WYNN	S	5,300	117.43	n/a	13-Jun	190.80	0.0
S.A.C. CAPITAL	044	WY	S	15,000	30.26	n/a	8-Mar	450.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>YUM</b>	<b>C</b>	<b>50,000</b>	<b>68.10</b>	<b>n/a</b>	<b>5-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>TOTAL (Trade Date):</b>								<b>37,848.70</b>	



Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									37,848.70
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									37,848.70
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 8-Mar.  
NONE

MRI Securities without Trade Activity on 8-Mar.  
FL, PG, CL

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**