



Daily Compliance Activity Report

Trade Date: 08/18/2011

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BRONSON POINT	036	ABX	B	10,000	50.05	n/a	n/a	300.00	3.0
HIGHBRIDGE CAP	041	ANN	SS	13,000	19.59	n/a	n/a	390.00	3.0
DAVIDSON INV ADV	035	ATI	B	27,570	43.51	n/a	n/a	1,102.80	4.0
DC CAPITAL	044	AXE	B	19,600	49.90	n/a	17-Aug	784.00	4.0
HIGHBRIDGE CAP	041	BBY	SS	11,000	23.93	n/a	n/a	330.00	3.0
BALYASNY ASSET MGMT	044	CHS	C	10,000	12.62	n/a	5-Feb	500.00	5.0
BMO NESBITT BURNS	041	CHS	B	3,000	12.08	n/a	5-Feb	120.00	4.0
HIGHBRIDGE CAP	041	CPB	B	25,500	30.64	n/a	n/a	765.00	3.0
BMO NESBITT BURNS	041	CRI	B	1,000	29.26	n/a	n/a	40.00	4.0
RAINIER INV MGMT	035	CRM	S	43,700	116.29	n/a	n/a	1,748.00	4.0
BALYASNY ASSET MGMT	044	CROX	B	25,000	25.77	n/a	n/a	1,250.00	5.0
LATEFF MGMT	035	CSCO	S	250	15.10	n/a	n/a	50.00	20.0
DELTEC ASSET MGMT.	040	C	B	7,500	26.99	n/a	16-Aug	375.00	5.0
BASIX	033	EAT	B	35,000	22.44	n/a	12-Aug	1,050.00	3.0
DAVIDSON INV ADV	035	ENR	S	2,220	70.94	n/a	28-Jul	88.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CHART WELL ADV	028	EXPR	S	193,450	16.61	n/a	n/a	7,738.00	4.0
OZ MGMT	040	FL	S	85,000	17.71	n/a	2-Aug	2,550.00	3.0
TUDOR INVESTORS	028	FL	B	25,000	17.71	n/a	2-Aug	750.00	3.0
BMO NESBITT BURNS	041	FOR	B	25,000	11.58	n/a	9-Aug	1,250.00	5.0
PROSPECTOR PARTNERS LLC	036	GFI	B	10,000	16.45	n/a	n/a	400.00	4.0
LATEFF MGMT	035	GIS	S	100	36.33	n/a	n/a	30.00	30.0
LATEFF MGMT	035	HON	B	150	42.59	n/a	18-Aug	45.00	30.0
Õ Nilsa Vazquez		IVR	B	500	17.6698	n/a	n/a	0.00	0.0
CYPRESS CAP MGMT LLC	040	JCP	S	20,300	24.36	n/a	12-Aug	812.00	4.0
HIGHBRIDGE CAP	041	JCP	SS	14,000	24.57	n/a	12-Aug	420.00	3.0
MERRILL ASSET/BLACKROCK	297	MAN	B	5,600	38.20	n/a	n/a	224.00	4.0
AAD CAPITAL	084	MGM	B	100,000	10.08	n/a	13-Jun	3,000.00	3.0
COUGAR TRADING	044	NDN	S	5,000	17.30	n/a	9-Aug	250.00	5.0
KLEINHEINZ CAP PART	031	NOV	S	25,000	64.10	n/a	n/a	1,250.00	5.0
MERRILL ASSET/BLACKROCK	297	OHI	S	17,800	17.06	n/a	n/a	712.00	4.0
LATEFF MGMT	035	OI	B	150	17.29	n/a	n/a	30.00	20.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAP	041	ORLY	B	9,000	61.15	n/a	n/a	270.00	3.0
COLUMBIA PARTNERS	028	PAG	C	2,800	16.00	n/a	22-Jul	112.00	4.0
DAVIDSON INV ADV	035	PCAR	B	70,000	35.22	n/a	27-Jul	2,800.00	4.0
BMO NESBITT BURNS	041	PLCE	S	2,000	41.09	n/a	18-Aug	80.00	4.0
HIGHBRIDGE CAP	041	ROST	B	14,000	71.53	n/a	n/a	420.00	3.0
MERRILL ASSET/BLACKROCK	297	SIVB	B	6,900	43.25	n/a	n/a	276.00	4.0
LATEFF MGMT	035	SPLS	B	200	13.47	n/a	n/a	40.00	20.0
STANDARD PACIFIC CAPITAL	040	SPLS	S	100,000	13.31	n/a	n/a	5,000.00	5.0
LATEFF MGMT	035	TEVA	B	75	38.67	n/a	17-Aug	22.50	30.0
HIGHBRIDGE CAP	041	TGT	B	20,000	50.53	n/a	17-Aug	600.00	3.0
BRANDWINE	028	WMT	B	220,180	51.67	n/a	17-Aug	8,807.20	4.0
RAINIER INV MGMT	035	WWD	B	9,590	27.45	n/a	n/a	383.60	4.0
TOTAL (Trade Date) :								47,165.90	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									47,165.90
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									47,165.90

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION