



## Daily Compliance Activity Report

Trade Date: 08/13/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	30,000	13.68	6-Mar	8-Aug	1,500.00	5.0
COUGAR TRADING	044	AEO	S	20,000	13.53	6-Mar	8-Aug	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AG</b>	<b>B</b>	<b>40,000</b>	<b>54.83</b>	<b>n/a</b>	<b>30-Jul</b>	<b>non-brg</b>	<b>4.0</b>
IRIDIAN ASSET MGMT.	036	ALV	S	25,000	41.02	23-Jul	30-Jul	1,250.00	5.0
NOMURA CAPITAL	045	ALY	B	3,600	14.73	n/a	n/a	180.00	5.0
TYEE/TYGH	035	AMG	B	90,800	88.62	23-Jul	5-Aug	3,632.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AMR</b>	<b>S</b>	<b>2,500</b>	<b>11.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
BALYASNY ASSET MGMT	044	ANF	B	10,000	51.19	7-Aug	8-Aug	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>SS</b>	<b>30,000</b>	<b>25.08</b>	<b>n/a</b>	<b>11-Jul</b>	<b>1,200.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AN</b>	<b>SS</b>	<b>40,000</b>	<b>11.51</b>	<b>25-Jul</b>	<b>28-Jul</b>	<b>1,600.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>S</b>	<b>100,000</b>	<b>33.31</b>	<b>28-Apr</b>	<b>8-Aug</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	ARO	S	17,000	33.62	28-Apr	8-Aug	850.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>SS</b>	<b>20,000</b>	<b>45.44</b>	<b>n/a</b>	<b>27-Jul</b>	<b>800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BIG</b>	<b>SS</b>	<b>20,000</b>	<b>32.84</b>	<b>29-May</b>	<b>8-Aug</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	BIG	S	13,300	33.35	29-May	8-Aug	665.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>BKRS</b>	<b>S</b>	<b>794</b>	<b>2.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MS PRINCIPAL STRATEGIES	041	BOH	B	8,620	54.00	n/a	n/a	431.00	5.0
ß BUCK CAPITAL MGMT		BWLD	SS	20,000	36.43	n/a	n/a	non-brg	4.0
WEISS PECK	040	BWS	S	15,300	17.24	n/a	n/a	765.00	5.0
MTB INV ADV	034	BYI	S	16,950	32.89	n/a	n/a	847.50	5.0
THIRD POINT MGMT	044	CAKE	C	70,000	16.71	n/a	24-Apr	2,800.00	4.0
SIGMA CAPITAL ASSOCIATES	237	CEC	B	50,000	36.51	n/a	n/a	2,500.00	5.0
LEDDY	035	CHK	B	350	45.17	n/a	n/a	0.00	0.0
CATTANI	041	CHK	S	300	47.40	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CHS+KA	SS	4,000	0.82	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CHS	B	150,000	4.88	8-Aug	8-Aug	non-brg	3.0
ß BUCK CAPITAL MGMT		CHS	B	150,000	5.00	8-Aug	8-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		CHS	B	50,000	4.80	8-Aug	8-Aug	non-brg	3.0
ß BUCK CAPITAL MGMT		CHS	B	50,000	4.85	8-Aug	8-Aug	non-brg	1.0
ß BUCK CAPITAL MGMT		CHUX	SS	10,000	12.00	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		CKNTF	C	4,000	2.25	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		CKNWF	C	3,500	2.25	n/a	n/a	non-brg	2.5
MS PRINCIPAL STRATEGIES	041	CLMS	SS	2,400	21.27	13-Aug	13-Aug	120.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		CPKI	SS	40,000	14.83	10-Jul	8-Aug	non-brg	4.0
PERRY PARTNERS	041	CPS	S	100	49.00	n/a	n/a	3.00	3.0
MS PRINCIPAL STRATEGIES	041	CVBF	B	37,683	11.05	n/a	n/a	1,884.15	5.0
CATTANI	041	C	B	500	18.15	19-Jun	31-Jul	0.00	0.0
PERRY PARTNERS	041	DBD	S	2,000	38.92	12-Aug	12-Aug	60.00	3.0
ZOLIDIS	011	DECK	S	100	122.25	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		DE	B	40,000	62.97	n/a	23-May	non-brg	1.0
CASTLE ARK	031	DE	S	156,850	62.10	n/a	23-May	9,411.00	6.0
BUCK CAPITAL MGMT	001	DKS	S	10,000	18.36	7-Jul	9-Jul	400.00	4.0
⚡ BUCK CAPITAL MGMT		DSW	B	30,000	14.15	8-May	8-Aug	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FDO+UX	SS	1,000	1.00	n/a	n/a	non-brg	300.0
BALYASNY ASSET MGMT	044	FDO	B	50,000	23.91	23-Jul	8-Aug	2,500.00	5.0
JP MORGAN VENTURES	046	FL	S	30,000	14.40	11-Aug	11-Aug	1,500.00	5.0
⚡ BUCK CAPITAL MGMT		FOSL	S	155,000	30.86	n/a	13-Aug	non-brg	4.0
COUGAR TRADING	044	FOSL	SS	5,000	30.88	n/a	13-Aug	250.00	5.0
⚡ BUCK CAPITAL MGMT		GAP	S	250,000	17.75	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	GCO	B	40,000	30.26	n/a	10-Jan	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	20,000	35.86	n/a	n/a	800.00	4.0
VENTANA CAPITAL	060	GIL	B	7,500	24.53	30-Apr	18-Jul	375.00	5.0
WEISS PECK	040	GIL	B	56,600	25.28	30-Apr	18-Jul	2,830.00	5.0
J COHEN	N27	GLW	B	50	20.88	n/a	30-Jul	0.00	0.0
LEDDY	035	GOOG	S	30	499.55	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GPI	SS	20,000	23.05	10-Jun	30-Jul	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		GRMN	SS	50,000	36.65	n/a	n/a	non-brg	4.0
PAULSON & CO INC	041	GS	SS	100,000	164.89	12-Aug	12-Aug	3,000.00	3.0
BUCK CAPITAL MGMT	001	HBI	S	180,000	23.33	n/a	n/a	7,200.00	4.0
⚡ BUCK CAPITAL MGMT		HD	SS	30,000	26.51	n/a	27-Jul	non-brg	4.0
STELLIAM INV ADV	040	HOV	B	50,000	6.92	n/a	n/a	1,500.00	3.0
⚡ BUCK CAPITAL MGMT		HRS	B	20,000	52.20	2-Jun	6-Aug	non-brg	4.0
COUGAR TRADING	044	JCG	B	5,000	28.10	n/a	30-May	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	27.61	n/a	30-May	250.00	5.0
BUCK CAPITAL MGMT	001	JCP	SS	50,000	35.51	n/a	8-Aug	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CASEY CAPITAL	067	JNY	SS	9,000	17.94	n/a	30-Jul	450.00	5.0
WEISS PECK	040	JNY	C	20,900	17.80	n/a	30-Jul	1,045.00	5.0
COUGAR TRADING	044	JWN	S	5,500	29.64	10-Jul	8-Aug	275.00	5.0
WOOSTER CAPITAL	035	JWN	B	50,000	29.75	10-Jul	8-Aug	2,500.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>KMT</b>	<b>SS</b>	<b>200</b>	<b>33.27</b>	<b>n/a</b>	<b>3-Jun</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	KSS	B	10,000	48.14	n/a	8-Aug	500.00	5.0
BMO NESBITT BURNS	041	KSS	B	25,000	47.27	n/a	8-Aug	1,250.00	5.0
BMO NESBITT BURNS	041	KSS	SS	25,000	48.04	n/a	8-Aug	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>S</b>	<b>25,000</b>	<b>47.74</b>	<b>n/a</b>	<b>8-Aug</b>	<b>1,000.00</b>	<b>4.0</b>
CASEY CAPITAL	067	KSS	SS	5,000	47.91	n/a	8-Aug	250.00	5.0
COUGAR TRADING	044	KSS	B	10,000	47.18	n/a	8-Aug	500.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	47.40	n/a	8-Aug	250.00	5.0
GLG PARTNERS	034	KSS	B	25,000	47.48	n/a	8-Aug	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LEG+XW</b>	<b>SS</b>	<b>2,000</b>	<b>0.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LOW</b>	<b>SS</b>	<b>20,000</b>	<b>22.71</b>	<b>n/a</b>	<b>27-Jul</b>	<b>800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LPX</b>	<b>B</b>	<b>25,000</b>	<b>9.60</b>	<b>n/a</b>	<b>4-Aug</b>	<b>non-brg</b>	<b>4.0</b>
CSFB GLOBAL PROPRIETARY	041	LPX	B	50,000	9.62	n/a	4-Aug	2,500.00	5.0
COUGAR TRADING	044	LTD	B	5,000	18.12	n/a	8-Aug	250.00	5.0
STELLIAM INV ADV	040	LUV	B	25,000	14.66	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>M+ID</b>	<b>SS</b>	<b>1,000</b>	<b>1.31</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>150.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MTB INV ADV	034	MVL	S	22,800	32.98	n/a	n/a	1,140.00	5.0
BALYASNY ASSET MGMT	044	M	B	25,000	19.69	12-Aug	12-Aug	1,250.00	5.0
BMO NESBITT BURNS	041	M	SS	25,000	19.96	12-Aug	12-Aug	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>S</b>	<b>100,000</b>	<b>19.56</b>	<b>12-Aug</b>	<b>12-Aug</b>	<b>4,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	M	B	10,000	19.86	12-Aug	12-Aug	500.00	5.0
WAGONHOUND/NIC	048	M	C	15,768	19.94	12-Aug	12-Aug	630.72	4.0
NOMURA CAPITAL	045	NYT	B	4,300	13.15	n/a	n/a	215.00	5.0
MATRIX ASSET ADV.	036	OMC	B	5,500	43.68	n/a	n/a	220.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>S</b>	<b>40,000</b>	<b>24.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PFCB</b>	<b>SS</b>	<b>40,000</b>	<b>27.92</b>	<b>n/a</b>	<b>6-Aug</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	PNRA	S	10,100	53.25	n/a	6-Aug	505.00	5.0
LITESPEED	028	PPC	B	200,000	15.83	n/a	n/a	6,000.00	3.0
MERRILL ASSET	032	PRU	S	35,700	71.66	n/a	n/a	1,785.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>PRXI</b>	<b>S</b>	<b>500</b>	<b>4.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ARIS	041	PSD	B	50,000	27.18	n/a	n/a	1,500.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>S</b>	<b>85,000</b>	<b>38.36</b>	<b>5-May</b>	<b>18-Jul</b>	<b>3,400.00</b>	<b>4.0</b>
SYSTEMATIC FINANCIAL MGT	035	PVH	S	17,700	38.18	5-May	18-Jul	663.75	3.8
THOMSON HORSTMAN BRYANT	040	PVH	B	23,300	38.48	5-May	18-Jul	1,165.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DURANGO INVESTMENTS	031	QLGC	S	10,000	19.42	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		QZS+JG	SS	1,000	1.20	n/a	n/a	non-brg	300.0
N STRATTON	014	RAH	S	130	60.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	SS	30,000	68.76	n/a	7-Aug	1,200.00	4.0
COUGAR TRADING	044	RL	SS	5,000	69.07	n/a	7-Aug	250.00	5.0
⌘ BUCK CAPITAL MGMT		ROST	SS	60,000	37.73	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	RSH	SS	30,000	17.36	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		RTH	SS	120,000	94.74	n/a	n/a	non-brg	1.0
LAGRANGE CAP ADV	048	SAPE	S	46,000	8.77	n/a	n/a	2,300.00	5.0
ZOLIDIS	011	SHOO	SS	500	25.74	n/a	n/a	0.00	0.0
MCCARTAN	028	SHOO	SS	100	25.71	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SPLS	SS	20,000	25.14	n/a	27-Jul	non-brg	4.0
WHEELER	008	SPNC	S	10,000	9.51	n/a	n/a	0.00	0.0
STELLIAM INV ADV	040	SSCC	B	15,000	5.07	30-Jul	30-Jul	450.00	3.0
COUGAR TRADING	044	SSO	S	5,000	61.46	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		SUP	SS	25,000	18.49	25-Jul	8-Aug	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATTANI	041	SU	B	300	51.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SYY	SS	30,000	31.28	n/a	24-Apr	1,200.00	4.0
LEDDY	035	TEX	S	200	47.82	24-Jul	12-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	SS	20,000	48.10	n/a	8-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	TJX	S	80,000	35.37	11-Aug	12-Aug	3,200.00	4.0
WEISS PECK	040	TJX	C	11,600	35.47	11-Aug	12-Aug	580.00	5.0
ß BUCK CAPITAL MGMT		TLB	S	80,000	11.78	7-Aug	8-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		TRLG	S	80,000	27.80	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TWB	B	300,000	7.98	17-Mar	13-Aug	non-brg	4.0
COUGAR TRADING	044	TWB	B	30,000	7.99	17-Mar	13-Aug	1,500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TWB	B	50,000	8.16	17-Mar	13-Aug	2,500.00	5.0
ß BUCK CAPITAL MGMT		UAUA	S	2,500	12.78	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		URBN	S	80,000	33.56	15-May	8-Aug	non-brg	4.0
MERRILL ASSET	032	UTI	S	32,600	16.59	n/a	n/a	1,467.00	4.5
TRELLUS PARTNERS LP	028	VC	S	150,000	3.30	n/a	31-Jul	4,500.00	3.0
LEDDY	035	WLT	S	150	84.55	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WMT	S	120,000	58.17	8-Aug	8-Aug	non-brg	4.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
8 BUCK CAPITAL MGMT		WRC+HH	C	370	8.95	n/a	n/a	non-brg 300.0	
BUCK CAPITAL MGMT	001	WRC	S	160,000	48.93	8-Aug	8-Aug	6,400.00	4.0
BUCK CAPITAL MGMT	001	WWW	SS	60,000	26.54	n/a	13-Mar	2,400.00	4.0
CSFB GLOBAL PROPRIETARY	041	WY	B	35,000	52.10	n/a	6-Aug	1,750.00	5.0
S.A.C. CAPITAL MGMT.	044	XLE	B	50,000	73.25	n/a	n/a	2,500.00	5.0
<b>TOTAL (Trade Date):</b>							<b>130,245.12</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/12	DURANGO INVESTMENTS	031	LNCR	S	10,000	33.60	n/a	22-Jul	400.00 4.0
AO,CRT	08/12	LITESPEED	028	PPC	B	150,000	17.06	n/a	n/a	4500.00 3.0
AO,CXL	08/12	LITESPEED	028	PPC	B	150,000	17.06	n/a	n/a	7500.00 5.0
AO,CRT	08/12	COUGAR TRADING	044	PSUN	B	10,000	8.00	n/a	8-Aug	400.00 4.0
AO,CXL	08/12	COUGAR TRADING	044	PSUN	B	10,000	8.00	n/a	8-Aug	400.00 4.0
AO,CRT	08/08	MKT MAKER	099	PVH	S	1,695	39.39	5-May	18-Jul	0.00 0.0
AO,CXL	08/08	MKT MAKER	099	PVH	S	1,695	39.39	5-May	18-Jul	0.00 0.0
AO,	08/12	DURANGO INVESTMENTS	031	QLGC	S	8,000	19.30	n/a	n/a	320.00 4.0
AO,CXL	08/12	ZOLIDIS	011	QUKIH	S	6	3.10	n/a	n/a	0.00 0.0
AO,CRT	08/12	ZOLIDIS	011	QUKIH	S	6	3.10	n/a	n/a	0.00 0.0
AO,CRT	08/11	BUCK CAPITAL MGMT	001	RVI	B	50,000	4.80	n/a	n/a	1500.00 3.0
AO,CXL	08/11	BUCK CAPITAL MGMT	001	RVI	B	50,000	4.80	n/a	n/a	2000.00 4.0
AO,CRT	08/12	STG INVESTORS	041	WY	B	10,000	51.98	n/a	6-Aug	400.00 4.0
AO,CXL	08/12	STG INVESTORS	041	WY	B	10,000	51.98	n/a	6-Aug	500.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-2,880.00</b>

TOTAL (Trade Date) :

130,245.12

TOTAL (AO, CXL, CRT):

-2,880.00

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TOTAL (RUN DATE) / NFS:

127,365.12

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MRI Securities with Trade Activity on 13-Aug.

CLMS

MRI Securities without Trade Activity on 13-Aug.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 31%

YTD = 18%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**