



## Daily Compliance Activity Report

Trade Date: 09/21/2012

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
EAGLE ASSET MGMT. (FLORIDA) 093		ABT	B	1,745	69.90	n/a	n/a	34.90	0.0	
EAGLE ASSET MGMT. (FLORIDA) 093		ACC	B	795	44.28	n/a	n/a	15.90	0.0	
JP MORGAN VENTURES	046	AEO	SS	10,000	23.50	n/a	2-Dec	400.00	4.0	
EAGLE ASSET MGMT. (FLORIDA) 093		AET	B	765	39.49	n/a	n/a	15.30	0.0	
EAGLE ASSET MGMT. (FLORIDA) 093		AMAT	B	9,280	11.46	n/a	n/a	185.60	0.0	
EAGLE ASSET MGMT. (FLORIDA) 093		AMP	B	585	57.94	n/a	n/a	11.70	0.0	
BALLAST CAP	040	ANF	S	5,000	36.85	n/a	16-Aug	250.00	5.0	
BALYASNY ASSET MGMT	044	ANN	S	25,000	38.90	n/a	n/a	1,250.00	0.0	
EAGLE ASSET MGMT. (FLORIDA) 093		ATW	B	720	46.30	n/a	n/a	14.40	0.0	
EAGLE ASSET MGMT. (FLORIDA) 093		AXE	B	725	62.87	n/a	11-Sep	14.50	0.0	
MILLRACE FUND LP	028	AXL	B	5,000	11.58	n/a	30-Jul	200.00	0.0	
ROSEDALE CAPITAL	046	AXL	B	25,000	11.93	n/a	30-Jul	1,000.00	4.0	
SCOPUS FUND	041	AXL	S	150,000	11.70	n/a	30-Jul	6,000.00	0.0	
EAGLE ASSET MGMT. (FLORIDA) 093		BMO	B	1,090	59.56	n/a	n/a	21.80	0.0	
SMITH MGMT/ALDEN GLOBAL		029	BZH	S	250,000	3.79	n/a	n/a	7,500.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT CAP/DEFRANCO	040	CBS	B	16,825	36.97	n/a	n/a	673.00	0.0
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>CFNL</b>	<b>B</b>	<b>2,950</b>	<b>14.54</b>	<b>n/a</b>	<b>n/a</b>	<b>59.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	CHRW	B	25,000	57.38	n/a	n/a	1,250.00	0.0
TUDOR INVESTORS	028	CLD	B	10,000	18.38	n/a	n/a	300.00	3.0
HOLLIS CAPITAL	033	COH	B	4,000	56.77	n/a	1-Aug	200.00	5.0
LEVIN CAPITAL STRATEGIES	041	COH	C	10,100	56.83	n/a	1-Aug	303.00	0.0
SIMMONS VENTURES	041	COH	B	5,000	56.54	n/a	1-Aug	200.00	4.0
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>COP</b>	<b>B</b>	<b>1,255</b>	<b>57.36</b>	<b>n/a</b>	<b>n/a</b>	<b>25.10</b>	<b>0.0</b>
NCM CAPITAL MGMT	035	CRS	B	10,720	54.34	n/a	n/a	428.80	0.0
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>CTL</b>	<b>B</b>	<b>2,070</b>	<b>42.36</b>	<b>n/a</b>	<b>n/a</b>	<b>41.40</b>	<b>0.0</b>
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>CVX</b>	<b>B</b>	<b>810</b>	<b>118.07</b>	<b>n/a</b>	<b>n/a</b>	<b>16.20</b>	<b>0.0</b>
EATON VANCE MGMT	029	CVX	S	24,559	118.22	n/a	n/a	736.77	0.0
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>C</b>	<b>B</b>	<b>1,450</b>	<b>33.61</b>	<b>n/a</b>	<b>17-Sep</b>	<b>29.00</b>	<b>0.0</b>
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>DD</b>	<b>B</b>	<b>2,065</b>	<b>51.50</b>	<b>n/a</b>	<b>21-Sep</b>	<b>41.30</b>	<b>0.0</b>
INVESCO	031	DISH	B	234,538	31.80	n/a	n/a	9,381.52	0.0
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>DLR</b>	<b>B</b>	<b>510</b>	<b>69.81</b>	<b>n/a</b>	<b>n/a</b>	<b>10.20</b>	<b>0.0</b>
OZ MGMT	040	DLTR	S	73,983	49.22	n/a	16-Aug	2,219.49	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EAGLE ASSET MGMT. (FLORIDA) 093		DOV	B	550	61.07	n/a	n/a	11.00	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		DPZ	B	580	34.87	n/a	24-Jul	11.60	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		DTV	B	715	53.11	n/a	n/a	14.30	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		DVN	B	395	60.95	n/a	23-Jul	7.90	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		EMR	B	1,480	50.29	n/a	n/a	29.60	0.0
CAMBIAR CAP	046	ENDP	B	95,000	32.74	n/a	19-Sep	3,800.00	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		EOG	B	290	113.93	n/a	n/a	5.80	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		ETN	B	730	47.76	n/a	12-Sep	14.60	0.0
ARSENAL ASSET MANAGEMENT	028	EXP	B	15,000	46.71	n/a	n/a	600.00	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		FCX	B	725	40.91	n/a	n/a	14.50	0.0
NCM CAPITAL MGMT	035	FCX	B	4,200	41.05	n/a	n/a	168.00	0.0
S.A.C. CAP/ORENSTEIN	044	FINL	B	25,000	23.35	n/a	21-Sep	875.00	3.5
CAERUS GLOBAL	041	FNP	S	3,200	13.46	n/a	n/a	128.00	4.0
EAGLE ASSET MGMT. (FLORIDA) 093		GIS	B	1,910	40.23	n/a	n/a	38.20	0.0
DC CAPITAL	044	GM	S	50,000	24.75	n/a	18-Sep	2,000.00	4.0
EAGLE ASSET MGMT. (FLORIDA) 093		GSK	B	1,325	46.83	n/a	n/a	26.50	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EAGLE ASSET MGMT. (FLORIDA) 093		HCP	B	730	45.16	n/a	n/a	14.60	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		HD	B	2,115	59.71	n/a	14-Aug	42.30	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		HON	B	1,810	60.80	n/a	12-Sep	36.20	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		HPP	B	2,005	18.42	n/a	n/a	40.10	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		IBM	B	290	207.67	n/a	n/a	5.80	0.0
BRANDWINE	028	INTC	B	98,800	23.17	n/a	n/a	3,952.00	0.0
ROTHSCHILD ASSET	040	IPHS	S	11,486	49.15	n/a	n/a	459.44	0.0
CSFB GLOBAL PROPRIETARY	041	IP	S	200,000	35.95	n/a	20-Aug	10,000.00	5.0
ROSEDALE CAPITAL	046	IP	B	20,000	35.51	n/a	20-Aug	1,000.00	5.0
EAGLE ASSET MGMT. (FLORIDA) 093		JNJ	B	1,105	69.25	n/a	n/a	22.10	0.0
EATON VANCE MGMT	029	JNJ	S	29,176	69.09	n/a	n/a	875.28	0.0
SIMMONS VENTURES	041	JNY	SS	5,000	13.30	n/a	26-Jul	200.00	4.0
EAGLE ASSET MGMT. (FLORIDA) 093		JPM	B	3,045	40.87	n/a	17-Sep	60.90	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		KO	B	1,875	38.28	n/a	18-Sep	37.50	0.0
CSFB GLOBAL PROPRIETARY	041	KS	S	50,000	20.25	n/a	n/a	2,500.00	5.0
EAGLE ASSET MGMT. (FLORIDA) 093		LAZ	B	805	30.39	n/a	5-Sep	16.10	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ARSENAL ASSET MANAGEMENT	028	LPX	B	40,000	14.28	n/a	31-Jul	1,600.00	0.0
CSFB GLOBAL PROPRIETARY	041	LPX	SS	35,000	14.08	n/a	31-Jul	1,750.00	5.0
SCHOTTENFELD ASSOC	041	LPX	B	10,000	13.87	n/a	31-Jul	300.00	3.0
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>LUFK</b>	<b>B</b>	<b>745</b>	<b>58.78</b>	<b>n/a</b>	<b>n/a</b>	<b>14.90</b>	<b>0.0</b>
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>MAT</b>	<b>B</b>	<b>1,985</b>	<b>35.96</b>	<b>n/a</b>	<b>n/a</b>	<b>39.70</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	MCD	SS	10,000	93.56	n/a	11-Sep	500.00	0.0
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>MCD</b>	<b>B</b>	<b>570</b>	<b>93.66</b>	<b>n/a</b>	<b>11-Sep</b>	<b>11.40</b>	<b>0.0</b>
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>MD</b>	<b>B</b>	<b>455</b>	<b>75.64</b>	<b>n/a</b>	<b>n/a</b>	<b>9.10</b>	<b>0.0</b>
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>MMM</b>	<b>B</b>	<b>845</b>	<b>93.32</b>	<b>n/a</b>	<b>21-Sep</b>	<b>16.90</b>	<b>0.0</b>
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>MYL</b>	<b>B</b>	<b>1,910</b>	<b>24.49</b>	<b>n/a</b>	<b>17-Sep</b>	<b>38.20</b>	<b>0.0</b>
S.A.C. CAP/ORENSTEIN	044	M	S	60,000	38.96	n/a	31-Aug	2,100.00	3.5
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>NGG</b>	<b>B</b>	<b>1,685</b>	<b>55.90</b>	<b>n/a</b>	<b>n/a</b>	<b>33.70</b>	<b>0.0</b>
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>NSC</b>	<b>B</b>	<b>625</b>	<b>65.64</b>	<b>n/a</b>	<b>n/a</b>	<b>12.50</b>	<b>0.0</b>
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>OII</b>	<b>B</b>	<b>805</b>	<b>56.38</b>	<b>n/a</b>	<b>n/a</b>	<b>16.10</b>	<b>0.0</b>
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>OMC</b>	<b>B</b>	<b>815</b>	<b>53.93</b>	<b>n/a</b>	<b>n/a</b>	<b>16.30</b>	<b>0.0</b>
ARSENAL ASSET MANAGEMENT	028	PCP	SS	5,000	164.45	n/a	1-Aug	200.00	0.0
<b>EAGLE ASSET MGMT. (FLORIDA) 093</b>		<b>PEP</b>	<b>B</b>	<b>955</b>	<b>70.74</b>	<b>n/a</b>	<b>n/a</b>	<b>19.10</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EAGLE ASSET MGMT. (FLORIDA) 093		PFE	B	2,620	24.60	n/a	n/a	52.40	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		PG	B	1,575	69.46	n/a	18-Sep	31.50	0.0
ARMATURE CAPITAL	041	PKG	B	100,000	33.82	n/a	20-Aug	4,000.00	0.0
CSFB GLOBAL PROPRIETARY	041	PKG	SS	10,457	34.05	n/a	20-Aug	522.85	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	S	84,543	34.08	n/a	20-Aug	4,227.15	5.0
LATEEF MGMT	035	PKG	S	4,350	33.86	n/a	20-Aug	482.50	12.6
EAGLE ASSET MGMT. (FLORIDA) 093		PLD	B	930	35.30	n/a	n/a	18.60	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		PNC	B	1,155	66.12	n/a	n/a	23.10	0.0
CRAMER ROSENTHAL	070	PNR	B	101,300	44.09	n/a	n/a	4,052.00	0.0
SENATOR INVESTMENT GROUP	036	PNR	B	150,000	43.73	n/a	n/a	4,500.00	3.0
EAGLE ASSET MGMT. (FLORIDA) 093		PPG	B	410	118.18	n/a	9-Aug	8.20	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		RGC	B	3,750	13.81	n/a	n/a	75.00	0.0
CSFB GLOBAL PROPRIETARY	041	RKT	B	15,000	69.01	n/a	20-Aug	750.00	5.0
EAGLE ASSET MGMT. (FLORIDA) 093		SFD	B	1,745	19.85	n/a	n/a	34.90	0.0
CATAPULT CAP/DEFRANCO	040	SKS	B	34,044	11.09	n/a	n/a	1,361.76	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		SPG	B	340	155.97	n/a	n/a	6.80	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		SPW	B	530	68.34	n/a	n/a	10.60	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EAGLE ASSET MGMT. (FLORIDA) 093		STBZ	B	1,215	16.42	n/a	n/a	24.30	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		STJ	B	1,015	43.03	n/a	n/a	20.30	0.0
NCM CAPITAL MGMT	035	SWKS	B	12,255	25.48	n/a	n/a	490.20	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		SYI	B	2,205	30.77	n/a	n/a	44.10	0.0
BALLAST CAP	040	TJX	B	4,700	45.26	n/a	31-Aug	235.00	5.0
EAGLE ASSET MGMT. (FLORIDA) 093		TOT	B	1,470	52.30	n/a	n/a	29.40	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		TUP	B	590	55.74	n/a	n/a	11.80	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		TYC	B	1,345	56.30	n/a	3-Aug	26.90	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		T	B	2,485	38.50	n/a	n/a	49.70	0.0
ARSENAL ASSET MANAGEMENT	028	UAL	SS	25,000	20.12	n/a	n/a	1,000.00	0.0
BALYASNY ASSET MGMT	044	UA	B	15,000	56.73	n/a	18-Sep	750.00	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		UNP	B	320	120.54	n/a	n/a	6.40	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		UTX	B	840	81.02	n/a	n/a	16.80	0.0
GLOBAL 56 LLC	060	VELT	S	3,400	9.70	n/a	n/a	170.00	5.0
EAGLE ASSET MGMT. (FLORIDA) 093		WFC	B	1,800	34.87	n/a	5-Sep	36.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NCM CAPITAL MGMT	035	WFC	S	8,800	35.07	n/a	5-Sep	352.00	0.0
CRAMER ROSENTHAL	070	WLL	B	29,100	49.30	n/a	n/a	1,164.00	0.0
ARSENAL ASSET MANAGEMENT	028	WY	S	20,000	26.59	n/a	27-Jul	800.00	0.0
BALLAST CAP	040	WY	S	13,400	26.52	n/a	27-Jul	670.00	5.0
EAGLE ASSET MGMT. (FLORIDA) 093		XOM	B	1,045	92.07	n/a	n/a	20.90	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		XRX	B	2,915	7.74	n/a	n/a	58.30	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		ZMH	B	650	67.59	n/a	n/a	13.00	0.0
TOTAL (Trade Date):								92,660.56	



Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									92,660.56
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									92,660.56

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION