



# Daily Compliance Activity Report

Trade Date: 08/24/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	ACTI	S	51	4.78	n/a	n/a	0.00	0.0
MKT MAKER	099	AEOS	B	69	36.04	16-Aug	16-Aug	0.00	0.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	21,200	6.56	n/a	n/a	848.00	4.0
BUCK CAPITAL MGMT	001	ANF	S	150,000	61.11	6-Apr	12-Aug	6,000.00	4.0
APEX CAPITAL MGMT.	002	ANN	S	65,000	39.02	6-Jul	14-Aug	3,250.00	5.0
BUCK CAPITAL MGMT	001	ANN	B	10,000	38.41	6-Jul	14-Aug	400.00	4.0
BULL PATH CAPITAL	044	APPB	B	55,000	19.45	n/a	n/a	2,750.00	5.0
BUCK CAPITAL MGMT	001	ARO	B	25,000	23.86	6-Jul	17-Aug	1,000.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	10,000	23.80	6-Jul	17-Aug	400.00	4.0
BALYASNY ASSET MGMT	044	AVZ	S	30,000	20.24	16-Aug	22-Aug	1,500.00	5.0
CLOVER CAPITAL	031	AVZ	B	120,000	20.30	16-Aug	22-Aug	6,000.00	5.0
FIRST INV MGMT CORP	036	B	B	20,000	16.49	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	BBY	S	30,000	45.76	13-Jun	26-Jun	1,200.00	4.0
SUNOVA CAPITAL	031	BEN	B	10,000	98.04	3-Aug	22-Aug	500.00	5.0
BUCK CAPITAL MGMT	001	BID	S	90,000	30.05	n/a	n/a	3,600.00	4.0
BUCK CAPITAL MGMT	001	BIG	S	20,000	17.94	16-Aug	21-Aug	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>LUCHANSKY1</b>	<b>036</b>	<b>BONT</b>	<b>B</b>	<b>500</b>	<b>25.39</b>	<b>n/a</b>	<b>24-Aug</b>	<b>0.00</b>	<b>0.0</b>
STADIA CAPITAL	035	BYD	S	28,000	35.28	n/a	26-Jul	1,120.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>CAKE</b>	<b>B</b>	<b>1,000</b>	<b>23.45</b>	<b>28-Jun</b>	<b>28-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>CBK</b>	<b>B</b>	<b>200</b>	<b>25.75</b>	<b>1-Jun</b>	<b>14-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>BARROW HANLEY MEWHINNEY</b>	<b>096</b>	<b>CEVA</b>	<b>S</b>	<b>1,500</b>	<b>5.60</b>	<b>n/a</b>	<b>n/a</b>	<b>180.00</b>	<b>12.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>CHS</b>	<b>S</b>	<b>300</b>	<b>18.09</b>	<b>23-Aug</b>	<b>23-Aug</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	CHS	S	720,000	18.50	23-Aug	23-Aug	28,800.00	4.0
EGS ASSOCIATES LP	059	CHS	S	10,000	18.15	23-Aug	23-Aug	600.00	6.0
<b>MKT MAKER</b>	<b>099</b>	<b>CLMS</b>	<b>B</b>	<b>2,500</b>	<b>26.15</b>	<b>n/a</b>	<b>22-Aug</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	239	CLP	B	17,600	48.36	n/a	n/a	880.00	5.0
STRONG/HOWARD	038	COH	B	50,000	29.15	n/a	2-Aug	2,000.00	4.0
<b>GAULT</b>	<b>063</b>	<b>CPNLQ</b>	<b>B</b>	<b>100,000</b>	<b>0.33</b>	<b>n/a</b>	<b>11-May</b>	<b>0.00</b>	<b>0.0</b>
GALLEON MGMT LP	033	CR	B	15,000	38.82	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	CRI	B	5,000	22.10	n/a	n/a	200.00	4.0
JENNISON	032	CSCO	S	750,000	21.24	n/a	22-Mar	31,500.00	4.2
WEINTRAUB CAPITAL MGT.	040	DDS	S	81,500	31.50	n/a	n/a	4,075.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	DKS	S	20,000	40.58	n/a	n/a	800.00	4.0
BEAR STEARNS ASSET MGMT.	033	FD	S	30,000	36.77	12-Jun	10-Aug	1,200.00	4.0
BANK OF NEW YORK	040	GSK	B	6,000	54.84	n/a	n/a	270.00	4.5
<b>MKT MAKER</b>	<b>099</b>	<b>GYMB</b>	<b>B</b>	<b>5,000</b>	<b>30.25</b>	<b>10-Aug</b>	<b>16-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>MKT MAKER</b>	<b>099</b>	<b>GYMB</b>	<b>S</b>	<b>100</b>	<b>30.20</b>	<b>10-Aug</b>	<b>16-Aug</b>	<b>0.00</b>	<b>0.0</b>
GABELLI FUNDS	037	HAL	B	10,000	33.14	n/a	n/a	500.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>HD</b>	<b>S</b>	<b>150</b>	<b>33.19</b>	<b>n/a</b>	<b>14-Aug</b>	<b>0.00</b>	<b>0.0</b>
DSG CAPITAL	225	HMA	B	20,000	20.58	23-Jun	2-Aug	1,000.00	5.0
IRON HORSE CAPITAL MGMT	038	IDC	B	6,000	19.03	n/a	n/a	300.00	5.0
<b>BARROW HANLEY MEWHINNEY</b>	<b>096</b>	<b>IINT</b>	<b>S</b>	<b>4,000</b>	<b>2.17</b>	<b>n/a</b>	<b>n/a</b>	<b>480.00</b>	<b>12.0</b>
<b>MKT MAKER</b>	<b>099</b>	<b>IPSU</b>	<b>B</b>	<b>12</b>	<b>28.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	JCG	B	10,000	26.79	n/a	26-Jun	400.00	4.0
COBALT	038	JNY	S	50,000	30.36	n/a	16-Aug	2,500.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	30,000	34.40	14-Aug	14-Aug	1,200.00	4.0
BANK OF NEW YORK	040	K	B	12,000	49.71	n/a	n/a	540.00	4.5
BUCK CAPITAL MGMT	001	KWD	S	10,000	24.86	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>ZOLIDIS</b>	<b>011</b>	<b>LOW</b>	<b>S</b>	<b>150</b>	<b>26.50</b>	<b>n/a</b>	<b>21-Aug</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	LTD	B	50,000	25.04	9-May	17-Aug	2,000.00	4.0
<b>OGORMAN</b>	<b>146</b>	<b>NDN</b>	<b>S</b>	<b>1,500</b>	<b>11.10</b>	<b>11-Aug</b>	<b>11-Aug</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	NKE	B	30,000	76.82	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	NWY	B	20,000	11.62	n/a	14-Aug	800.00	4.0
DOUBLE V/PBX	044	OTEX	S	6,800	15.97	n/a	n/a	340.00	5.0
MAGNETAR FINANCIAL	247	PG	S	12,000	61.04	25-Apr	3-Aug	480.00	4.0
AMBER CAPITAL	046	PNX	B	9,100	13.75	n/a	n/a	364.00	4.0
<b>MKT MAKER</b>	<b>099</b>	<b>PSUN</b>	<b>S</b>	<b>20</b>	<b>13.31</b>	<b>3-Aug</b>	<b>11-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>EGS ASSOCIATES LP</b>	<b>059</b>	<b>PVH</b>	<b>B</b>	<b>3,000</b>	<b>37.78</b>	<b>8-Jun</b>	<b>24-Aug</b>	<b>180.00</b>	<b>6.0</b>
<b>JP MORGAN VENTURES</b>	<b>046</b>	<b>PVH</b>	<b>B</b>	<b>10,000</b>	<b>37.39</b>	<b>8-Jun</b>	<b>24-Aug</b>	<b>500.00</b>	<b>5.0</b>
<b>MKT MAKER</b>	<b>099</b>	<b>QRCP</b>	<b>S</b>	<b>400</b>	<b>11.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	RL	S	50,000	56.86	16-Jun	9-Aug	2,000.00	4.0
COUGAR TRADING	044	RL	B	5,000	57.01	16-Jun	9-Aug	250.00	5.0
STADIA CAPITAL	035	RL	S	1,600	56.87	16-Jun	9-Aug	64.00	4.0
BUCK CAPITAL MGMT	001	SKS	S	40,000	14.17	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	22.01	n/a	2-Aug	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	239	SWN	S	114,700	34.74	7-Aug	7-Aug	5,735.00	5.0
TRIBECA	038	TCBI	B	10,000	18.99	n/a	n/a	400.00	4.0
RAINIER INV MGMT	035	TCC	B	21,300	32.03	n/a	n/a	1,065.00	5.0
BUCK CAPITAL MGMT	001	TGT	S	65,500	46.87	n/a	10-Aug	2,620.00	4.0
TRELLUS PARTNERS LP	028	TIF	S	100,000	30.84	13-Mar	14-Aug	5,000.00	5.0
RAMIUS CAP	040	TIN	B	10,300	44.10	17-Apr	13-Jul	515.00	5.0
LOOMIS SALES/BOSTON	036	TLB	B	36,500	21.81	n/a	14-Aug	1,825.00	5.0
US BANCORP	030	TWB	B	10,000	34.98	16-May	17-Aug	500.00	5.0
GALLEON MGMT LP	033	TXT	B	35,000	86.69	n/a	n/a	1,750.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>UARM</b>	<b>B</b>	<b>44</b>	<b>34.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	URBN	B	5,000	15.32	9-Aug	9-Aug	250.00	5.0
GRUBER MACBAINE	033	URBN	B	25,000	15.34	9-Aug	9-Aug	1,000.00	4.0
SOMERSET ADV	044	USIH	B	5,000	12.78	n/a	n/a	250.00	5.0
AELTUS/ING INVESTMENT MGT	237	WAG	S	23,800	48.62	n/a	n/a	1,190.00	5.0
BALYASNY ASSET MGMT	044	WDR	S	15,000	22.32	18-Aug	22-Aug	750.00	5.0
WHITTIER CAP	048	WFR	B	10,455	35.17	n/a	n/a	522.75	5.0

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**TOTAL :**

**142,493.75**

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# Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	J L F ASSET MGMT (JLFAMAIN)	039	ANF	S	69,600	61.76	6-Apr	12-Aug	0.00	0.0
AO,CXL	J L F ASSET MGMT (JLFAMAIN)	039	ANF	S	69,600	61.76	6-Apr	12-Aug	2,784.00	4.0
AO,	BUCK CAPITAL MGMT (BUCKMS MAI)	001	BIG	S	15,000	17.81	16-Aug	21-Aug	600.00	4.0
AO,CXL	BUCK CAPITAL MGMT (BUCKMS MAI)	001	BIG	S	15,000	17.81	16-Aug	21-Aug	600.00	4.0
AO,CXL	IRONBOUND CAPITAL (IROBGS)	012	BOW	S	16,600	23.27	13-Jul	13-Jul	830.00	5.0
AO,	IRONBOUND CAPITAL (IROBGS)	012	BOW	S	16,600	23.27	13-Jul	13-Jul	830.00	5.0
AO,	CL00 (CL0044)	036	CELG	S	40	41.14	n/a	n/a	1.60	4.0
AO,	CL00 (CL0045)	036	CELG	S	23	41.14	n/a	n/a	0.92	4.0
AO,	CL00 (CL0046)	036	CELG	S	23	41.14	n/a	n/a	0.92	4.0
AO,	6800 (6800)	036	CELG	S	150	41.14	n/a	n/a	6.00	4.0
AO,	CL00 (CL0047)	036	CELG	S	23	41.14	n/a	n/a	0.92	4.0
AO,	CL00 (CL0039)	036	CELG	S	100	41.14	n/a	n/a	4.00	4.0
AO,	CL00 (CL0048)	036	CELG	S	23	41.14	n/a	n/a	0.92	4.0
AO,	CL00 (CL0040)	036	CELG	S	90	41.14	n/a	n/a	3.60	4.0
AO,	CL00 (CL0049)	036	CELG	S	50	41.14	n/a	n/a	2.00	4.0
AO,	CL00 (CL0041)	036	CELG	S	40	41.14	n/a	n/a	1.60	4.0
AO,	CL00 (CL0042)	036	CELG	S	60	41.14	n/a	n/a	2.40	4.0
AO,	CL00 (CL0043)	036	CELG	S	50	41.14	n/a	n/a	2.00	4.0
AO,	COLUMBUS CIRCLE (COLCCL0036)	036	CELG	S	50	41.14	n/a	n/a	2.00	4.0
AO,	COLUMBUS CIRCLE (COLCCL0035)	036	CELG	S	40	41.14	n/a	n/a	1.60	4.0
AO,	COLUMBUS CIRCLE (COLCBK0021)	036	CELG	S	13	41.14	n/a	n/a	0.52	4.0
AO,	COLUMBUS CIRCLE (COLCAT0001)	036	CELG	S	40	41.14	n/a	n/a	1.60	4.0
AO,	COLUMBUS CIRCLE (COLCCL0038)	036	CELG	S	50	41.14	n/a	n/a	2.00	4.0
AO,	COLUMBUS CIRCLE (COLCCL0037)	036	CELG	S	40	41.14	n/a	n/a	1.60	4.0
AO,CRT	MKT MAKER (MKT MAKER)	097	COST	S	400	50.74	n/a	3-Aug	0.00	0.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,CXL	J L F ASSET MGMT (JLFAMAIN)	039	DDS	S	145,700	32.19	n/a	n/a	5,828.00	4.0
AO,	J L F ASSET MGMT (JLFAMAIN)	039	DDS	S	145,700	32.19	n/a	n/a	0.00	0.0
AO,	IRON HORSE CAPITAL MGMT (IHCMEEXECUT)	038	FL	B	35,000	23.81	n/a	18-Aug	1,750.00	5.0
AO,CXL	COLUMBUS CIRCLE (COLC3570)	036	HRS	S	866	44.60	2-May	27-Jul	34.64	4.0
AO,	COLUMBUS CIRCLE (COLC3570)	036	HRS	S	866	44.60	2-May	27-Jul	34.64	4.0
AO,CXL	ADAGE CAPITAL MGMT (ADAGESHORT)	030	MT	S	50,000	32.54	n/a	n/a	2,500.00	5.0
AO,	ADAGE CAPITAL MGMT (ADAGESHORT)	030	MT	S	50,000	32.54	n/a	n/a	2,000.00	4.0
AO,CXL	DOUBLE V/PBX (DOVVMORGAN)	044	OTEX	S	9,800	15.95	n/a	n/a	490.00	5.0
AO,	DOUBLE V/PBX (DOVVMORGAN)	044	OTEX	S	13,000	15.93	n/a	n/a	650.00	5.0
<b>AO,CXL</b>	<b>VARDON PARTNERS (VARDBANK O)</b>	<b>035</b>	<b>PVH</b>	<b>B</b>	<b>10,000</b>	<b>36.78</b>	<b>8-Jun</b>	<b>24-Aug</b>	<b>500.00</b>	<b>5.0</b>
AO,	TROOB CAPITAL (TROOBSPB)	067	RAD	S	43,750	4.73	n/a	n/a	1,312.50	3.0
AO,	TROOB CAPITAL (TROOMSPB)	067	RAD	S	1,550	4.73	n/a	n/a	46.50	3.0
AO,	LOOMIS SALES/BOSTON (LOOMTBW003)	036	TLB	B	32,950	21.98	n/a	14-Aug	1,647.50	5.0
AO,	LOOMIS SALES/BOSTON (LOOMTBW004)	036	TLB	B	10,475	21.98	n/a	14-Aug	523.75	5.0
AO,	LOOMIS SALES/BOSTON (LOOMTBW100)	036	TLB	B	4,100	21.98	n/a	14-Aug	205.00	5.0
AO,	LOOMIS SALES/BOSTON (LOOMTBW001)	036	TLB	B	36,375	21.98	n/a	14-Aug	1,818.75	5.0
AO,	LOOMIS SALES/BOSTON (LOOMTBW200)	036	TLB	B	3,900	21.98	n/a	14-Aug	195.00	5.0
AO,	LOOMIS SALES/BOSTON (LOOMTBW002)	036	TLB	B	16,700	21.98	n/a	14-Aug	835.00	5.0
AO,	ROBERTSON STEVENS BC (RSBC174)	033	TV	B	500	19.00	n/a	n/a	20.00	4.0
AO,	ROBERTSON STEVENS BC (RSBC173)	033	TV	B	2,000	19.00	n/a	n/a	80.00	4.0
AO,	ROBERTSON STEVENS BC (RSBC196)	033	TV	B	400	19.00	n/a	n/a	16.00	4.0
AO,	ROBERTSON STEVENS BC (RSBC197)	033	TV	B	300	19.00	n/a	n/a	12.00	4.0



Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	ROBERTSON STEVENS BC (RSBC13)	033	TV	B	26,100	19.00	n/a	n/a	1,044.00 4.0
AO,	ROBERTSON STEVENS BC (RSBC188)	033	TV	B	200	19.00	n/a	n/a	8.00 4.0
AO,	ROBERTSON STEVENS BC (RSBC206)	033	TV	B	400	19.00	n/a	n/a	16.00 4.0
AO,	ROBERTSON STEVENS BC (RSBC193)	033	TV	B	100	19.00	n/a	n/a	4.00 4.0
AO,	ROBERTSON STEVENS BC (RSBC203)	033	TV	B	1,500	19.00	n/a	n/a	60.00 4.0
AO,	ROBERTSON STEVENS BC (RSBC190)	033	TV	B	300	19.00	n/a	n/a	12.00 4.0
AO,	ROBERTSON STEVENS BC (RSBC181)	033	TV	B	200	19.00	n/a	n/a	8.00 4.0
AO,CXL	PERRY PARTNERS (PERRGOLDMA)	035	WSM	B	200,000	32.51	n/a	n/a	0.00 0.0
AO,	PERRY PARTNERS (PERRGOLDMA)	035	WSM	B	200,000	32.51	n/a	n/a	10,000.00 5.0
AO,CXL	J L F ASSET MGMT (JLFAMAIN)	039	ZUMZ	S	78,500	24.40	n/a	n/a	3,140.00 4.0
AO,	J L F ASSET MGMT (JLFAMAIN)	039	ZUMZ	S	78,500	24.40	n/a	n/a	3,140.00 4.0

**Legend:**

**Blue: Employee and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**