



# Daily Compliance Activity Report

Trade Date: 01/17/2008

## POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>KORMAN</b>	<b>003</b>	<b>ABK</b>	<b>S</b>	<b>4,000</b>	<b>10.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CENTURION GLOBAL MGMT LL	041	AEO	B	9,300	18.85	11-Jan	11-Jan	279.00	3.0
JP MORGAN VENTURES	046	AEO	B	30,000	18.99	11-Jan	11-Jan	1,500.00	5.0
<b>LUCHANSKY2</b>	<b>036</b>	<b>AIZ</b>	<b>S</b>	<b>100</b>	<b>66.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	ANF	S	47,423	72.95	10-Jan	11-Jan	1,896.92	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>20,000</b>	<b>22.61</b>	<b>29-Nov</b>	<b>11-Jan</b>	<b>800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>17,800</b>	<b>22.61</b>	<b>29-Nov</b>	<b>11-Jan</b>	<b>non-brg</b>	<b>4.0</b>
J L F ASSET MGMT	039	ARO	S	22,800	22.61	29-Nov	11-Jan	912.00	4.0
THOMSON HORSTMAN BRYANT	040	ARO	B	36,600	22.36	29-Nov	11-Jan	1,830.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ATW</b>	<b>C</b>	<b>10,000</b>	<b>86.27</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AVCI</b>	<b>C</b>	<b>12,500</b>	<b>6.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>JACOB</b>	<b>068</b>	<b>BARE</b>	<b>SS</b>	<b>450</b>	<b>20.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CENTURION GLOBAL MGMT LL	041	BIDU	B	1,800	266.35	n/a	n/a	54.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>B</b>	<b>20,000</b>	<b>14.61</b>	<b>7-Jan</b>	<b>11-Jan</b>	<b>800.00</b>	<b>4.0</b>
NATIONAL BK OF CAN	031	BSC	B	10,000	79.17	21-Dec	14-Jan	400.00	4.0
<b>WHEELER</b>	<b>008</b>	<b>BTN</b>	<b>B</b>	<b>11,500</b>	<b>4.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BLACKSTONE KAILIX ASS.	048	BTU	B	500,000	50.76	n/a	n/a	25,000.00	5.0
FIRST NY SEC/CHRIS	041	BWA	B	3,300	45.25	14-Jan	17-Jan	198.00	6.0
FIRST NY SEC/CHRIS	041	BWA	S	53,300	45.68	14-Jan	17-Jan	3,198.00	6.0
WHITE PINE CAPITAL	030	CBK	S	5,500	9.75	n/a	28-Dec	275.00	5.0
IRIDIAN ASSET MGMT.	036	CCK	B	26,000	22.60	n/a	n/a	1,300.00	5.0
KENNEDY CAPITAL	046	CEPH	B	23,830	71.34	1-Aug	17-Jan	953.20	4.0
COUGAR TRADING	044	CHIC	B	5,000	14.85	n/a	n/a	250.00	5.0
WHALE ROCK CAP	030	CHS	B	279,500	7.06	10-Jan	11-Jan	11,180.00	4.0
DSG CAPITAL	225	CLMS	B	10,000	23.42	15-Jan	15-Jan	500.00	5.0
<b>BARR</b>	<b>096</b>	<b>CPNLQ</b>	<b>C</b>	<b>80,000</b>	<b>0.27</b>	<b>n/a</b>	<b>29-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>B</b>	<b>30,000</b>	<b>16.06</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
CENTURION GLOBAL MGMT LL	041	CSC	B	10,000	39.13	n/a	n/a	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>CTRN</b>	<b>S</b>	<b>10,000</b>	<b>11.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CENTURION GLOBAL MGMT LL	041	CTRP	B	18,100	48.78	n/a	n/a	543.00	3.0
<b>MAGUIRE</b>	<b>090</b>	<b>C</b>	<b>B</b>	<b>5,000</b>	<b>26.32</b>	<b>16-Jan</b>	<b>16-Jan</b>	<b>0.00</b>	<b>0.0</b>
GLENHILL CAPITAL	038	DHI	S	199,500	11.70	n/a	n/a	9,975.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	039	DUG	B	100	43.74	n/a	n/a	0.00	0.0
LUCHANSKY1	036	EOG	C	100	89.59	n/a	n/a	0.00	0.0
LUCHANSKY1	036	EOG	S	100	86.07	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		ESV	B	96,500	52.00	n/a	n/a	non-brg	4.0
LUCHANSKY2	036	EXH	S	97	69.77	n/a	28-Nov	0.00	0.0
CENTURION GLOBAL MGMT LL	041	EXPE	B	30,000	23.04	n/a	n/a	900.00	3.0
DSG CAPITAL	225	FOR	B	4,000	20.00	n/a	n/a	200.00	5.0
⚡ BUCK CAPITAL MGMT		FOSL	C	60,000	33.39	13-Nov	13-Nov	non-brg	4.0
BARR	096	FXP	S	650	92.25	n/a	n/a	0.00	0.0
LUCHANSKY	036	GFF	S	550	10.98	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		GIL	B	10,000	33.40	5-Nov	11-Dec	non-brg	4.0
BARR	096	GLD	S	300	87.40	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	GM	SS	450,000	23.10	3-Jan	17-Jan	18,000.00	4.0
BENCHMARK	028	GM	B	5,000	23.38	3-Jan	17-Jan	250.00	5.0
BUCK CAPITAL MGMT	001	GM	SS	10,000	23.13	3-Jan	17-Jan	400.00	4.0
TRELLUS PARTNERS LP	028	GM	SS	50,000	23.00	3-Jan	17-Jan	2,500.00	5.0
WAGONHOUND	048	GNTX	SS	16,100	15.55	n/a	17-Jan	644.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TROUBH PART	067	GOOG	S	2,000	624.33	n/a	n/a	100.00	5.0
IRIDIAN ASSET MGMT.	036	GRP	S	21,450	50.07	n/a	n/a	1,072.50	5.0
NATIONAL BK OF CAN	031	GS	B	5,000	196.33	21-Sep	14-Jan	200.00	4.0
GRANITE CAPITAL	045	HOG	SS	10,000	37.62	n/a	n/a	500.00	5.0
HEALY CIRCLE	044	HOT	S	11,000	39.00	n/a	n/a	550.00	5.0
CENTURION GLOBAL MGMT LL	041	INP	B	3,600	96.45	n/a	n/a	108.00	3.0
GREENLIGHT CAPITAL	041	ITRI	SS	15,000	90.08	n/a	n/a	750.00	5.0
TROUBH PART	067	ITT	S	15,000	59.00	n/a	13-Nov	750.00	5.0
SYSTEMATIC FINANCIAL MGT	035	JBX	B	23,600	24.66	n/a	24-Apr	885.00	3.8
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>B</b>	<b>10,000</b>	<b>38.84</b>	<b>28-Nov</b>	<b>30-Nov</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	JCG	SS	10,000	38.78	28-Nov	30-Nov	500.00	5.0
THIRD POINT MGMT	044	JCG	SS	50,000	38.96	28-Nov	30-Nov	2,000.00	4.0
WEINTRAUB CAPITAL MGT.	040	JCI	B	37,500	34.02	17-Jan	17-Jan	1,500.00	4.0
NCM CAPITAL MGMT	035	JNS	S	25,525	24.44	9-Jan	15-Jan	1,021.00	4.0
SLS CAPITAL LLC	224	JNS	SS	26,371	26.13	9-Jan	15-Jan	1,054.84	4.0
ROTHSCHILD ASSET	040	KMT	S	2,600	29.90	n/a	n/a	117.10	4.5
MS PRINCIPAL STRATEGIES	041	KND	B	1,568	25.28	n/a	n/a	78.40	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CENTURION GLOBAL MGMT LL	041	KO	B	2,500	63.03	n/a	n/a	75.00	3.0
COUGAR TRADING	044	KSS	SS	20,000	39.32	4-Jan	11-Jan	1,000.00	5.0
SSI INVESTORS	048	LEAP	B	23,600	43.83	n/a	n/a	944.00	4.0
<b>BUCK</b>	<b>015</b>	<b>LEH</b>	<b>SS</b>	<b>1,000</b>	<b>55.83</b>	<b>n/a</b>	<b>14-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>KERN</b>	<b>050</b>	<b>LEH</b>	<b>B</b>	<b>100</b>	<b>55.73</b>	<b>n/a</b>	<b>14-Jan</b>	<b>0.00</b>	<b>0.0</b>
VISIUM/BALY HOWARD	038	LULU	SS	20,000	32.58	n/a	n/a	1,000.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>MBI</b>	<b>S</b>	<b>3,000</b>	<b>11.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	MER	S	5,000	53.81	11-Jan	14-Jan	250.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>MGM</b>	<b>B</b>	<b>198</b>	<b>68.00</b>	<b>n/a</b>	<b>9-Jan</b>	<b>0.00</b>	<b>0.0</b>
MATRIX ASSET ADV.	036	MHP	B	28,500	40.32	n/a	n/a	1,140.00	4.0
CENTURION GLOBAL MGMT LL	041	MRK	S	6,000	55.26	n/a	n/a	180.00	3.0
<b>MAGUIRE</b>	<b>039</b>	<b>NKE</b>	<b>SS</b>	<b>100</b>	<b>56.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	NP	B	10,000	25.88	8-Jan	8-Jan	400.00	4.0
NCM CAPITAL MGMT	035	NYB	S	18,250	15.23	n/a	n/a	730.00	4.0
CENTURION GLOBAL MGMT LL	041	OMC	B	12,160	43.63	n/a	n/a	364.80	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>PDE</b>	<b>B</b>	<b>90,000</b>	<b>32.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	PEP	B	1,000	72.95	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	PFCB	S	5,000	23.68	n/a	24-Apr	200.00	4.0
BALYASNY ASSET MGMT	044	PNC	B	50,000	56.70	n/a	n/a	2,500.00	5.0
MKT MAKER	099	PSUN	B	100	9.80	n/a	11-Jan	0.00	0.0
CENTURION GLOBAL MGMT LL	041	RHT	B	36,824	18.94	n/a	n/a	1,104.72	3.0
ß BUCK CAPITAL MGMT		RIG	C	30,000	127.83	n/a	n/a	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	SINA	B	8,500	39.97	n/a	n/a	255.00	3.0
BARR	096	SKF	S	550	120.25	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKX	B	100,000	17.16	n/a	13-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		SKX	B	108,000	17.03	n/a	13-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	B	50,000	17.25	n/a	13-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	B	92,000	17.14	n/a	13-Mar	non-brg	4.0
N STRATTON	014	SNIC	SS	300	9.90	n/a	n/a	0.00	0.0
JACOB	068	SSI	C	900	11.03	4-Jan	11-Jan	0.00	0.0
MCCARTAN	028	SUNH	SS	400	18.25	n/a	n/a	0.00	0.0
SINCLAIR	031	SWNFK	B	16	4.20	n/a	n/a	0.00	0.0
MATTHES CAPITAL MGMT	033	S	B	51,100	11.79	n/a	n/a	2,044.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		TIF	C	110,000	37.84	14-Jan	17-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIF	C	25,000	38.44	14-Jan	17-Jan	non-brg	4.0
DSG CAPITAL	225	TJX	SS	20,000	29.19	21-Sep	11-Jan	1,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	TJX	SS	25,000	29.13	21-Sep	11-Jan	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		TRLG	S	10,000	17.92	n/a	n/a	non-brg	4.0
RAMIUS CAP	040	TROW	SS	113,500	48.13	9-Jan	15-Jan	5,675.00	5.0
BUCK CAPITAL MGMT	001	TWB	S	10,000	27.96	9-Jan	9-Jan	400.00	4.0
COUGAR TRADING	044	TWB	B	5,000	28.11	9-Jan	9-Jan	250.00	5.0
MATTHES CAPITAL MGMT	033	TXRH	SS	51,100	9.64	n/a	n/a	2,044.00	4.0
⌘ BUCK CAPITAL MGMT		T	SS	75,000	37.73	21-Sep	4-Jan	non-brg	4.0
STELLIAM INV ADV	040	T	B	15,000	37.71	21-Sep	4-Jan	750.00	5.0
⌘ BUCK CAPITAL MGMT		UA	B	50,000	37.30	n/a	n/a	non-brg	4.0
BUCK	015	UBS	S	2,000	41.46	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	URBN	B	13,600	25.27	3-Jan	11-Jan	408.00	3.0
OGORMAN	046	URYM	S	10	2.00	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	VIP	S	14,955	35.00	n/a	n/a	448.65	3.0
MERRILL ASSET	032	VQ	S	4,700	16.69	n/a	n/a	211.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		VZ	SS	86,100	41.61	21-Sep	4-Jan	non-brg	4.0
N STRATTON	014	WCRX	S	200	17.50	n/a	17-Jan	0.00	0.0
BALYASNY ASSET MGMT	044	WL	B	50,000	28.20	n/a	n/a	2,500.00	5.0
CENTURION GLOBAL MGMT LL	041	WMT	B	7,400	47.45	20-Nov	11-Jan	222.00	3.0
PZENA INV ADV	044	WMT	S	33,600	47.52	20-Nov	11-Jan	1,344.00	4.0
CAPITAL GROWTH MGMT.	241	X	B	50,000	100.21	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		ZQK	B	200,000	7.50	n/a	16-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ZQK	B	25,000	7.59	n/a	16-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ZQK	B	386,100	7.65	n/a	16-Jan	non-brg	2.0
⌘ BUCK CAPITAL MGMT		ZQK	B	550,000	7.59	n/a	16-Jan	non-brg	4.0
COUGAR TRADING	044	ZQK	B	10,000	7.63	n/a	16-Jan	500.00	5.0
<b>TOTAL (Trade Date):</b>							<b>128,865.63</b>		



Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	01/09	LISTED ERR	099	CHS	S	50,000	7.31	10-Jan	11-Jan	0.00 0.0
AO,CXL	01/09	LISTED ERR	099	CHS	S	50,000	7.31	10-Jan	11-Jan	0.00 0.0
AO,	01/16	PZENA INV ADV	044	WMT	S	25	47.94	20-Nov	11-Jan	1.00 4.0
TOTAL (AO, CXL, CRT) :										1.00

TOTAL (Trade Date) :	128,865.63
TOTAL (AO, CXL, CRT) :	1.00

TOTAL (RUN DATE) / NFS:	128,866.63
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MRI Securities with Trade Activity on 17-Jan.

JCI

MRI Securities without Trade Activity on 17-Jan.

JPM

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 4%

YTD = 31%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**