



# Daily Compliance Activity Report

Trade Date: 04/04/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	Time	MRI	Research	Comm.¢/Shr.
GALLEON MGMT LP	033	AFCE	S	20,000	8.79	1037	n/a	n/a	1,000.00 5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AMG</b>	<b>B</b>	<b>11,400</b>	<b>97.67</b>	<b>1142</b>	<b>7-Apr</b>	<b>2-Apr</b>	<b>non-brg 4.0</b>
COUGAR TRADING	044	ANF	SS	5,000	76.72	0932	10-Jan	10-Mar	250.00 5.0
STADIA CAPITAL	035	ANF	SS	15,000	76.98	0932	10-Jan	10-Mar	600.00 4.0
<b>CROWLEY</b>	<b>061</b>	<b>APNS</b>	<b>S</b>	<b>20,000</b>	<b>0.08</b>	<b>0949</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>20,000</b>	<b>27.24</b>	<b>1423</b>	<b>29-Nov</b>	<b>14-Mar</b>	<b>non-brg 4.0</b>
COUGAR TRADING	044	ARO	SS	10,000	27.08	0937	29-Nov	14-Mar	500.00 5.0
J L F ASSET MGMT	039	ARO	B	45,300	27.38	1241	29-Nov	14-Mar	1,812.00 4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>B</b>	<b>20,000</b>	<b>43.06</b>	<b>1430</b>	<b>n/a</b>	<b>27-Jul</b>	<b>800.00 4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BIG+SD</b>	<b>SS</b>	<b>1,000</b>	<b>1.50</b>	<b>1453</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 300.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BIG</b>	<b>SS</b>	<b>50,000</b>	<b>23.23</b>	<b>1438</b>	<b>6-Mar</b>	<b>3-Apr</b>	<b>non-brg 4.0</b>
PRINCIPLE CAPITAL	040	CCL	B	26,500	41.77	0930	n/a	26-Jan	1,060.00 4.0
NORTHPOINT	030	CCOI	B	39,100	21.15	1442	n/a	n/a	1,564.00 4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CHCG</b>	<b>S</b>	<b>135,000</b>	<b>1.30</b>	<b>0915</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 2.5</b>
SCOPUS FUND	041	CHTT	S	23,642	66.04	1533	11-Jan	3-Apr	1,182.10 5.0
ROTHSCHILD ASSET	040	CIR	B	14,090	46.82	1320	n/a	n/a	634.05 4.5

Account	RR #	Symbol	B/S	Shares	Price	Time	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	CMGB	B	200	102.02	1132	n/a	n/a	0.00	0.0
ZOLIDIS	011	CMG	S	200	117.59	1132	n/a	24-Apr	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		COH	B	25,000	33.32	1047	4-Apr	4-Apr	non-brg	4.0
Ⓐ BUCK CAPITAL MGMT		COH	C	70,000	32.92	1047	4-Apr	4-Apr	non-brg	4.0
COLONIAL FUND LLC	036	COH	S	45,000	33.76	1051	4-Apr	4-Apr	2,250.00	5.0
COUGAR TRADING	044	COH	S	10,000	33.42	1051	4-Apr	4-Apr	500.00	5.0
FIRST NY SEC/CHRIS	041	COH	S	10,000	32.96	1051	4-Apr	4-Apr	600.00	6.0
MTB INV ADV	034	COH	B	10,176	33.58	1046	4-Apr	4-Apr	508.80	5.0
SCOPUS FUND	041	COH	B	46,790	32.78	1046	4-Apr	4-Apr	2,339.50	5.0
SCOPUS FUND	041	COH	S	60,000	33.18	1051	4-Apr	4-Apr	3,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	COH	S	30,000	33.63	1051	4-Apr	4-Apr	1,500.00	5.0
BALYASNY ASSET MGMT	044	COL	SS	25,000	62.65	1155	n/a	n/a	1,250.00	5.0
LEVIN CAPITAL STRATEGIES	012	CRM	C	22,240	65.33	1115	n/a	n/a	889.60	4.0
FIRST NY SEC/CHRIS	041	CROX	S	10,000	17.55	1055	n/a	n/a	600.00	6.0
MTB INV ADV	034	CVG	B	32,450	15.56	1106	n/a	n/a	1,622.50	5.0
NEUBERGER BERMAN	036	CVH	B	25,100	40.43	1038	n/a	n/a	1,129.50	4.5
BALYASNY ASSET MGMT	044	CWST	B	25,000	11.03	0959	n/a	n/a	1,250.00	5.0
Ⓐ BUCK CAPITAL MGMT		DAI+PP	SS	2,200	1.87	1023	n/a	n/a	non-brg	300.0
ROYCE TRADING	216	DDS	B	1,000	20.39	0930	n/a	n/a	50.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	Time	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK LEDDY	035	DELL	B	4,000	19.63	0950	n/a	n/a	200.00	5.0
⚡ BUCK CAPITAL MGMT		DKS	B	10,000	26.62	1328	n/a	14-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DQO+QY	SS	700	1.10	1422	n/a	n/a	non-brg	300.0
BARON ASSET MGMT*	012	DSW	S	15,000	13.16	1233	28-Mar	28-Mar	600.00	4.0
⚡ BUCK CAPITAL MGMT		DSW	S	30,000	13.09	1308	28-Mar	28-Mar	non-brg	4.0
WHEELER	008	DUG	B	4,000	35.33	1018	n/a	n/a	0.00	0.0
GAULT	063	DUG	B	1,000	35.01	1018	n/a	n/a	0.00	0.0
GAULT	063	DXD	S	1,000	53.71	1113	n/a	n/a	0.00	0.0
GAULT	063	EBHI	B	2,000	4.35	1227	n/a	n/a	0.00	0.0
KAICHER	064	EBHI	B	5,000	4.54	1227	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	ETN	S	10,000	81.98	1120	28-Mar	28-Mar	400.00	4.0
N STRATTON	014	FBTX	C	300	2.65	1051	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FDO	SS	120,000	20.77	0936	8-Jan	4-Apr	non-brg	4.0
THIRD COAST CAPITAL	035	FDO	B	5,000	20.55	0933	8-Jan	4-Apr	250.00	5.0
⚡ BUCK CAPITAL MGMT		FITB	C	100,000	22.84	0936	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FLDR	C	10,100	6.28	1228	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	FL	B	20,000	12.22	1349	2-Apr	2-Apr	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	Time	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		FOSL	B	40,000	32.64	1300	13-Nov	10-Mar	non-brg	4.0
PAKLER	029	FSLR	B	12	255.44	0930	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	20,000	41.74	1225	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		GM+QC	SS	1,000	0.44	1002	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GM+QT	SS	1,000	0.93	1002	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		GM	SS	100,000	20.64	0935	3-Jan	2-Apr	non-brg	4.0
BUCK CAPITAL MGMT		GM	SS	111,900	20.78	0935	3-Jan	2-Apr	non-brg	4.0
BUCK CAPITAL MGMT		GM	SS	30,000	20.60	0935	3-Jan	2-Apr	non-brg	4.0
HEALY CIRCLE	044	GM	SS	10,000	20.69	0951	3-Jan	2-Apr	500.00	5.0
BUCK CAPITAL MGMT		GPS+QW	SS	500	0.48	1309	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GPS	SS	20,000	19.05	1551	n/a	7-Mar	non-brg	4.0
COUGAR TRADING	044	GPS	B	5,000	19.07	1208	n/a	7-Mar	250.00	5.0
BUCK CAPITAL MGMT		GQU+EJ	SS	500	1.15	1350	n/a	n/a	non-brg	300.0
BUCK	015	GSSI	B	10	5.40	1152	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		GS	B	6,000	175.71	1145	19-Mar	19-Mar	non-brg	4.0
BUCK CAPITAL MGMT		GYMB	S	20,000	42.55	0955	2-Apr	2-Apr	non-brg	2.5
COUGAR TRADING	044	GYMB	S	5,000	43.53	1143	2-Apr	2-Apr	250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	HAS	B	40,000	30.66	1201	n/a	n/a	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	Time	MRI	Research	Comm.¢/Shr.	
WHEELER	008	HAYN	B	2,000	55.60	1131	n/a	n/a	0.00	0.0
CROWLEY	061	HCGI	S	2,500	0.70	1207	n/a	n/a	0.00	0.0
WATER STREET CAPITAL	038	IMB	B	32,000	4.82	1408	n/a	n/a	960.00	3.0
CAXTON PART	040	IVZ	B	20,000	25.68	1331	10-Mar	4-Apr	700.00	3.5
FIRST NY SEC/CHRIS	041	JAKK	S	10,000	29.45	1053	n/a	n/a	600.00	6.0
COUGAR TRADING	044	JCG	S	15,000	46.47	1339	28-Nov	12-Mar	750.00	5.0
⌘ BUCK CAPITAL MGMT		JNS	B	43,400	25.71	1144	24-Mar	4-Apr	non-brg	4.0
CAXTON PART	040	JNS	B	25,000	26.10	0931	24-Mar	4-Apr	875.01	3.5
J.W. SELIGMAN	041	JNS	B	18,200	26.03	0931	24-Mar	4-Apr	728.00	4.0
CATTANI	041	JNS	B	300	25.81	1351	24-Mar	4-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		JNY	S	30,000	13.79	1426	n/a	15-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	20,000	21.90	1332	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KMX+SW	SS	500	1.48	1505	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		KSS	SS	50,000	44.60	0942	4-Jan	31-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	SS	50,000	44.81	0942	4-Jan	31-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LEH	B	25,000	44.06	1145	1-Apr	1-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ+QW	SS	1,842	0.59	0955	n/a	n/a	non-brg	100.0

Account	RR #	Symbol	B/S	Shares	Price	Time	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		LIZ+SC	SS	1,000	0.57	1439	n/a	n/a	non-brg 100.0	
ROYCE TRADING	216	LIZ	B	10,000	19.37	0930	n/a	17-Mar	500.00	5.0
ß BUCK CAPITAL MGMT		LM	B	18,700	59.51	1146	24-Mar	2-Apr	non-brg 4.0	
WAGONHOUND	048	LPX	B	19,300	10.98	0931	7-Apr	6-Feb	772.00	4.0
ß BUCK CAPITAL MGMT		LVS+QN	SS	500	2.92	1240	n/a	n/a	non-brg 300.0	
BUCK	015	LYHOG	S	30	1.66	1017	n/a	n/a	0.00	0.0
BUCK	015	LYHVD	S	40	1.29	1152	n/a	n/a	0.00	0.0
ROYCE TRADING	216	LZB	B	5,000	8.44	0934	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		MER	B	24,100	46.15	1141	18-Jan	3-Apr	non-brg 4.0	
Õ John Roberts		MER	B	542	0.00		18-Jan	3-Apr	0.00	0.0
Õ John Roberts		MER	B	628	0.00		18-Jan	3-Apr	0.00	0.0
FIRST NEW YORK LEDDY	035	MPEL	B	4,000	12.91	1007	n/a	n/a	200.00	5.0
BECK MACK & OLIVER LLC	045	MRK	B	9,300	39.63	1255	n/a	n/a	465.00	5.0
LISTED ERR	099	MRK	B	1,300	39.34	1255	n/a	n/a	0.00	0.0
LISTED ERR	099	MRK	S	1,300	39.38	1301	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	MRVL	B	22,300	11.66	1322	n/a	n/a	669.00	3.0
BALYASNY ASSET MGMT	044	MSM	B	33,298	43.17	0941	n/a	n/a	1,664.90	5.0

Account	RR #	Symbol	B/S	Shares	Price	Time	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		MS	B	25,000	48.48	1145	20-Dec	20-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		MW	SS	50,000	24.06	1557	n/a	n/a	non-brg	4.0
ROYCE TRADING	216	NPO	S	5,000	33.79	0931	n/a	n/a	250.00	5.0
COLUMBUS CIRCLE	036	NVDA	S	225,793	19.05	0101	n/a	n/a	9,031.72	4.0
NEW JERSEY DIV OF INV.	220	NWSA	S	200,000	19.28	1353	n/a	n/a	8,000.00	4.0
B BUCK CAPITAL MGMT		OXM+QE	SS	500	1.14	1517	n/a	n/a	non-brg	300.0
XYLEM GLOBAL	091	PCH	S	37,000	42.69	1136	8-Jan	6-Feb	1,850.00	5.0
XYLEM GLOBAL	091	PCL	S	40,000	41.43	1136	8-Jan	30-Jan	2,000.00	5.0
CUMBERLAND	038	PETM	S	46,702	21.49	1129	n/a	n/a	1,868.08	4.0
LEVIN CAPITAL STRATEGIES	012	PKG	B	15,000	22.59	1148	7-Apr	23-Jan	600.00	4.0
LEVIN CAPITAL STRATEGIES	012	PNR	C	7,000	33.59	1120	n/a	n/a	280.00	4.0
KNOTT PARTNERS	044	PRX	S	42,791	17.40	0956	n/a	1-Apr	2,139.55	5.0
B BUCK CAPITAL MGMT		PVH+FI	SS	1,000	1.33	1410	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		QTF+QG	SS	1,000	1.00	1316	n/a	n/a	non-brg	200.0
AMBER CAPITAL	046	Q	SS	500,000	4.67	1005	n/a	n/a	10,000.00	2.0

Account	RR #	Symbol	B/S	Shares	Price	Time	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK LEDDY	035	Q	B	170,000	4.63	1100	n/a	n/a	10,200.00	6.0
COUGAR TRADING	044	RL	B	5,000	60.28	0949	7-Feb	15-Feb	250.00	5.0
BUCK CAPITAL MGMT	001	RVI	S	10,000	4.65	1335	n/a	n/a	400.00	4.0
XYLEM GLOBAL	091	RYN	B	25,000	45.07	1434	8-Jan	25-Jan	1,250.00	5.0
AMBER CAPITAL	046	SGR	B	15,000	56.66	1217	n/a	n/a	600.00	4.0
BUCK CAPITAL MGMT	001	SKX	S	20,000	20.09	0932	n/a	13-Mar	800.00	4.0
ß BUCK CAPITAL MGMT		SOAP	C	12,600	7.10	1228	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		UA	B	50,000	35.79	1427	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		UPA+QH	SS	1,000	2.00	1126	n/a	n/a	non-brg	300.0
CASTLE ARK	031	UPL	S	126,810	77.44	0934	n/a	1-Apr	7,608.60	6.0
ß BUCK CAPITAL MGMT		UWY+QT	SS	350	3.40	1240	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		VFC	B	10,000	78.88	1333	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		VIRL	S	10,000	6.09	1000	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		VIRL	S	14,200	5.99	1000	n/a	n/a	non-brg	1.0
BUCK	015	VUL	S	10	5.10	1150	n/a	n/a	0.00	0.0
BUCK	015	V	S	1,000	64.51	1152	n/a	n/a	0.00	0.0



Account	RR #	Symbol	B/S	Shares	Price	Time	MRI	Research	Comm.¢/Shr.	
GRANAHAN INV	039	WBC	B	9,400	45.94	0936	28-Mar	28-Mar	376.00	4.0
AMBER CAPITAL	046	WIN	SS	200,000	12.06	1054	n/a	n/a	8,000.00	4.0
SIGMA CAPITAL ASSOCIATES	237	WMT	S	15,000	54.67	1214	n/a	7-Mar	750.00	5.0
STADIA CAPITAL	035	WMT	B	12,500	54.62	0930	n/a	7-Mar	500.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRNC</b>	<b>B</b>	<b>20,000</b>	<b>42.53</b>	<b>0932</b>	<b>3-Apr</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
XYLEM GLOBAL	091	WY	B	14,000	65.96	1137	7-Apr	11-Feb	700.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>XLF</b>	<b>C</b>	<b>365,800</b>	<b>26.50</b>	<b>0932</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
BECK MACK & OLIVER LLC	045	XOM	S	1,900	89.34	1257	n/a	n/a	95.00	5.0
COUGAR TRADING	044	ZQK	S	20,000	10.20	1009	5-Feb	7-Mar	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ZUMZ</b>	<b>SS</b>	<b>20,000</b>	<b>16.17</b>	<b>0933</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NOMURA CAPITAL	045	ZZ	B	20,000	7.41	0935	n/a	n/a	800.00	4.0
<b>TOTAL (Trade Date):</b>								<b>111,424.91</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	04/02	ADAGE CAPITAL MGMT	030	ANN	S	22,900	25.31	14-Mar	14-Mar	916.00	4.0
AO,CXL	04/02	ADAGE CAPITAL MGMT	030	ANN	S	22,900	25.31	14-Mar	14-Mar	1145.00	5.0
AO,	04/03	JCK PARTNERS	067	BIG	SS	50,000	22.60	6-Mar	3-Apr	2000.00	4.0
AO,CXL	04/02	NOMURA CAPITAL	045	HAYZ	S	6,500	2.82	n/a	n/a	260.00	4.0
AO,CRT	04/02	NOMURA CAPITAL	045	HAYZ	S	6,500	2.82	n/a	n/a	195.00	3.0
AO,	04/03	JENNISON	032	QCOM	B	212,200	42.06	n/a	n/a	8488.00	4.0
AO,CXL	03/11	GAULT	063	SKF	B	2,000	125.80	n/a	n/a	0.00	0.0
AO,CRT	03/11	GAULT	063	SKF	B	2,000	125.80	n/a	n/a	0.00	0.0
AO,CRT	03/11	GAULT	063	SKF	S	2,000	124.48	n/a	n/a	0.00	0.0
AO,CXL	03/11	GAULT	063	SKF	S	2,000	124.48	n/a	n/a	0.00	0.0
AO,CRT	04/03	IMPALA ASSET MMT	012	UAUA	B	82,738	21.64	n/a	n/a	2482.14	3.0
AO,CXL	04/03	IMPALA ASSET MMT	012	UAUA	B	82,738	21.64	n/a	n/a	2482.14	3.0
AO,CXL	04/02	CR INTRINIC INVESTMENT	044	WIRE	SS	50,000	18.64	n/a	n/a	2500.00	5.0
AO,CRT	04/02	CR INTRINIC INVESTMENT	044	WIRE	SS	50,000	18.64	n/a	n/a	2500.00	5.0
AO,CRT	04/03	JCK PARTNERS	067	ZLC	SS	50,000	19.03	n/a	n/a	2000.00	4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>12,194.00</b>	

TOTAL (Trade Date):	111,424.91
TOTAL (AO, CXL, CRT):	12,194.00

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TOTAL (RUN DATE) / NFS:	123,618.91
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MRI Securities with Trade Activity on 4-Apr.

COH

MRI Securities without Trade Activity on 4-Apr.

OCR

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 4%

YTD = 23%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**