



RR Commissions Summary [Fran McCartan]

Trade Date: 06/25/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	06/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ACK ASSET	028	0	2,160	8,958	30,333	0	0	0	2,160	8,958	30,333	50,147	60
ARSENAL ASSET MANAGEMENT	028	0	0	0	4,490	0	0	0	0	0	4,490	93,565	5
BRAHMAN CAPITAL	028	0	0	0	0	0	0	11,250	0	0	11,250	15,625	72
BRANDWINE	028	1,984	9,685	30,077	74,054	0	0	0	9,685	30,077	74,054	70,865	105
CHART WELL ADV	028	0	2,986	2,986	2,986	0	0	10,000	2,986	2,986	12,986	18,132	72
CHESAPEAKE PARTNERS	028	0	0	0	0	0	12,500	12,500	0	12,500	12,500	68,426	18
COLUMBIA PARTNERS	028	0	0	2,328	2,740	0	0	0	0	2,328	2,740	10,781	25
COOKBIELER	028	0	0	3,148	7,250	0	0	0	0	3,148	7,250	30,938	23
COOPER CREEK PART	028	0	1,900	5,724	10,811	0	0	0	1,900	5,724	10,811	25,645	42
CROSS LEDGE	028	0	0	0	0	0	0	0	0	0	0	3,500	0
DUPONT CAPITAL MANAGEMENT	028	0	0	0	0	0	0	0	0	0	0	2,824	0
J. GOLDMAN	028	0	12,428	58,831	103,341	0	0	0	12,428	58,831	103,341	142,952	72
KADE CAPITAL LLC	028	0	800	800	1,613	0	0	0	800	800	1,613	1,175	137
MILLENNIUM PARTNERS ICSUS/BAHN	028	0	0	0	0	0	0	0	0	0	0	1,324	0
MILLENNIUM-ICS-SAYAN GHOSH	028	0	0	0	7,048	0	0	0	0	0	7,048	20,668	34
MILLRACE FUND LP	028	0	641	2,495	4,325	0	0	0	641	2,495	4,325	13,618	32
MTB INV ADV	028	0	0	0	452	0	0	0	0	0	452	0	0
PENN CAPITAL	028	0	0	0	0	7,500	7,500	7,500	7,500	7,500	7,500	3,000	250
PNC CAPITAL ADV	028	0	0	0	0	0	0	0	0	0	0	19,239	0
RIDGECREST INV MGMT LLC	028	400	1,500	7,320	10,669	0	0	0	1,500	7,320	10,669	27,627	39
SAMLYN	028	0	0	0	0	10,000	25,000	25,000	10,000	25,000	25,000	0	0
SANDLER CAPITAL	028	0	28,150	65,945	120,501	0	0	0	28,150	65,945	120,501	238,892	50
SCHNEIDER CAPITAL	028	0	0	0	0	0	0	7,500	0	0	7,500	20,000	38
TIDE POINT CAPITAL	028	0	7,167	10,782	15,318	0	0	0	7,167	10,782	15,318	0	0
TRELLUS PARTNERS LP	028	0	550	4,030	7,310	0	0	0	550	4,030	7,310	29,932	24
TUDOR INVESTORS	028	0	4,200	13,428	42,800	0	50,000	50,000	4,200	63,428	92,800	139,682	66
VERITION	028	0	1,200	3,675	5,625	0	0	0	1,200	3,675	5,625	19,605	29
WATERFRONT CAP	028	0	0	0	12,032	0	0	0	0	0	12,032	66,858	18
TOTALS:		2,384	73,367	220,527	463,697	17,500	95,000	123,750	90,867	315,527	587,447		

"Brokerage Month Basis"		C O M M I S S I O N S				C H E C K S			T O T A L			LAST YEAR	%
CLIENT (SHARED)	RR	06/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (251)	251	0	0	0	0	0	0	0	0	0	0	-13,476	0
GEORGE WEISS	278	0	300	8,765	24,693	15,000	58,000	60,000	15,300	66,765	84,693	0	0
GUGGENHEIM GLOBAL TRADING (GGT)	251	0	8,080	21,083	49,784	0	0	0	8,080	21,083	49,784	23,170	0
KEY ASSET MGMT/VICTORY	220	0	8,860	69,197	69,197	0	0	26,250	8,860	69,197	95,447	352,500	7
SURVEYOR CAPITAL	251	0	857	9,348	13,518	0	60,000	60,000	857	69,348	73,518	191,311	31
T. ROWE PRICE	243	0	8,404	44,520	76,647	0	0	0	8,404	44,520	76,647	0	0
WELLINGTON MGMT.	035	0	0	0	0	133,000	133,000	133,000	133,000	133,000	133,000	232,000	57
TOTALS:		0	26,501	152,913	233,839	148,000	251,000	279,250	174,501	403,913	513,089		

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