



# Daily Compliance Activity Report

Trade Date: 04/11/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MAGUIRE</b>	<b>039</b>	<b>AAI</b>	<b>B</b>	<b>500</b>	<b>11.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GRISANTI BROWN & PARTNER	048	AA	S	28,000	35.08	n/a	n/a	1,400.00	5.0
BONANZA PARTNERS	031	ABD	SS	20,600	24.91	n/a	n/a	1,030.00	5.0
BALYASNY ASSET MGMT	044	AB	B	15,000	91.87	5-Mar	11-Apr	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ADTN</b>	<b>C</b>	<b>20,000</b>	<b>25.00</b>	<b>n/a</b>	<b>22-Mar</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>10,000</b>	<b>31.36</b>	<b>4-Jan</b>	<b>10-Apr</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>70,000</b>	<b>30.04</b>	<b>4-Jan</b>	<b>10-Apr</b>	<b>non-brg</b>	<b>5.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>AIQ</b>	<b>S</b>	<b>400</b>	<b>9.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GALLEON MGMT LP	033	AMG	SS	7,500	110.41	5-Mar	10-Apr	375.00	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>AMG</b>	<b>S</b>	<b>540</b>	<b>112.40</b>	<b>5-Mar</b>	<b>10-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>AMR</b>	<b>B</b>	<b>300</b>	<b>32.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ANF	B	5,000	77.56	2-Feb	10-Apr	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>B</b>	<b>20,000</b>	<b>39.06</b>	<b>5-Jan</b>	<b>10-Apr</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	ANN	B	5,000	39.11	5-Jan	10-Apr	250.00	5.0
SIGMA CAPITAL ASSOCIATES	044	ANN	S	5,000	39.30	5-Jan	10-Apr	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>83,000</b>	<b>42.37</b>	<b>n/a</b>	<b>10-Apr</b>	<b>3,320.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	ARO	S	5,000	42.32	n/a	10-Apr	250.00	5.0
KACELA CAPITAL	034	ARO	S	10,000	42.35	n/a	10-Apr	400.00	4.0
THE JAY GOLDMAN	034	ARO	B	10,000	42.35	n/a	10-Apr	400.00	4.0
MISSOURI VALLEY PART	046	AVZ	B	108,925	22.93	5-Mar	11-Apr	5,446.25	5.0
SIGMA CAPITAL ASSOCIATES	044	BEBE	S	30,000	18.04	n/a	n/a	1,500.00	5.0
B BUCK CAPITAL MGMT		BID	S	10,000	47.90	n/a	n/a	non-brg	5.0
AMBER CAPITAL	046	BIG	B	15,000	32.69	9-Mar	10-Apr	750.00	5.0
B BUCK CAPITAL MGMT		BONT	S	20,000	52.74	9-Mar	10-Apr	non-brg	5.0
COUGAR TRADING	044	BONT	B	10,000	53.06	9-Mar	10-Apr	500.00	5.0
Õ Glenn Redemann		BWLD	B	8	0.64	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BWLD	B	8	0.71	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BWLD	S	8	1.39	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BWLD	S	8	1.87	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	044	CACH	B	20,000	17.06	n/a	n/a	1,000.00	5.0
AMBER CAPITAL	046	CAKE	B	5,000	25.72	7-Feb	7-Feb	250.00	5.0
B BUCK CAPITAL MGMT		CBI	S	20,000	33.70	n/a	n/a	non-brg	5.0
MAGUIRE	090	CCOI	S	2,500	24.06	n/a	n/a	0.00	0.0
BARR	096	CFC	SS	500	33.65	n/a	n/a	0.00	0.0
KORMAN	003	CHCG	B	6,000	6.05	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	CHK	C	10,000	33.15	n/a	n/a	500.00	5.0
BRIGHTPOINT CAP	067	CHS	B	20,000	26.54	27-Mar	11-Apr	1,000.00	5.0
GLG PARTNERS	034	CHS	S	25,000	26.31	27-Mar	11-Apr	1,250.00	5.0
<b>SUTERA</b>	<b>160</b>	<b>CHS</b>	<b>SS</b>	<b>1,000</b>	<b>26.94</b>	<b>27-Mar</b>	<b>11-Apr</b>	<b>0.00</b>	<b>0.0</b>
EOS PARTNERS	040	CME	S	800	553.00	n/a	3-Apr	40.00	5.0
BASSO CAPITAL	034	COH	B	23,000	51.35	23-Feb	23-Feb	1,150.00	5.0
COUGAR TRADING	044	COH	SS	10,000	51.22	23-Feb	23-Feb	500.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>CWTR</b>	<b>C</b>	<b>500</b>	<b>21.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>AMATURO</b>	<b>018</b>	<b>DAWUW</b>	<b>B</b>	<b>20</b>	<b>4.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BEAR STEARNS ASSET MGMT.	033	DBRN	S	40,000	22.37	n/a	n/a	1,600.00	4.0
THE JAY GOLDMAN	034	DDS	B	24,200	33.10	n/a	n/a	968.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DKS</b>	<b>B</b>	<b>10,000</b>	<b>57.88</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
NEUBERGER BERMAN	036	DW	B	5,800	28.31	n/a	n/a	290.00	5.0
MATTHES CAPITAL MGMT	033	FBN	B	26,000	15.65	n/a	n/a	1,040.00	4.0
BASSO CAPITAL	034	FD	SS	23,000	44.86	26-Jan	10-Apr	1,150.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FD</b>	<b>SS</b>	<b>80,000</b>	<b>45.53</b>	<b>26-Jan</b>	<b>10-Apr</b>	<b>non-brg</b>	<b>4.0</b>
EGS ASSOCIATES LP	091	FD	SS	4,000	45.35	26-Jan	10-Apr	240.00	6.0
THE JAY GOLDMAN	034	FD	B	10,000	45.40	26-Jan	10-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND	048	FD	B	12,500	44.95	26-Jan	10-Apr	500.00	4.0
CASTLE ARK	031	GIL	B	52,700	62.86	n/a	2-Apr	3,162.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>GLBL</b>	<b>S</b>	<b>40,000</b>	<b>19.97</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
WHITE PINE CAPITAL	030	GLBL	S	500	20.13	n/a	n/a	25.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>B</b>	<b>100,000</b>	<b>18.71</b>	<b>n/a</b>	<b>10-Apr</b>	<b>non-brg</b>	<b>4.0</b>
PIVOT CAP	034	GPS	B	11,500	18.74	n/a	10-Apr	575.00	5.0
RBC CAPITAL MARKETS	034	GPS	B	25,000	18.82	n/a	10-Apr	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	044	GPS	S	55,000	18.78	n/a	10-Apr	2,750.00	5.0
AMBER CAPITAL	046	GVA	B	55,300	56.10	n/a	n/a	2,765.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HOS</b>	<b>B</b>	<b>10,000</b>	<b>29.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
NEUBERGER BERMAN	036	HP	S	9,500	31.41	n/a	n/a	475.00	5.0
<b>SIRIS</b>	<b>013</b>	<b>IACI</b>	<b>S</b>	<b>1,000</b>	<b>38.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	036	IDC	B	10,200	24.96	n/a	n/a	510.00	5.0
MERRILL ASSET	032	IFC	S	1,700	17.89	n/a	n/a	76.50	4.5
<b>WYCKOFF</b>	<b>013</b>	<b>JADE</b>	<b>B</b>	<b>300</b>	<b>9.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	044	JBL	B	50,000	21.52	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	JCP	S	10,000	82.99	23-Feb	10-Apr	500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	JCP	B	10,000	83.27	23-Feb	10-Apr	500.00	5.0

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<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>10,000</b>	<b>35.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
KACELA CAPITAL	034	JWN	B	8,000	54.87	27-Feb	10-Apr	320.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>KCP</b>	<b>S</b>	<b>10,000</b>	<b>27.61</b>	<b>n/a</b>	<b>28-Feb</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KCP</b>	<b>S</b>	<b>3,990</b>	<b>27.85</b>	<b>n/a</b>	<b>28-Feb</b>	<b>non-brg</b>	<b>1.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>KCP</b>	<b>B</b>	<b>200</b>	<b>27.46</b>	<b>n/a</b>	<b>28-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>EFSTATHIOU</b>	<b>044</b>	<b>KCP</b>	<b>B</b>	<b>200</b>	<b>27.45</b>	<b>n/a</b>	<b>28-Feb</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	KSS	C	6,400	77.33	27-Dec	8-Mar	320.00	5.0
SIGMA CAPITAL ASSOCIATES	044	KSWs	B	16,200	27.39	n/a	13-Mar	810.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>SS</b>	<b>20,000</b>	<b>44.00</b>	<b>n/a</b>	<b>28-Feb</b>	<b>800.00</b>	<b>4.0</b>
GALLEON MGMT LP	033	LLTC	SS	5,000	31.95	n/a	n/a	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>B</b>	<b>100,000</b>	<b>27.18</b>	<b>2-Mar</b>	<b>10-Apr</b>	<b>4,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	LTD	S	20,000	26.93	2-Mar	10-Apr	1,000.00	5.0
DISCIPLINED GROWTH INV.	030	LTD	B	13,500	27.27	2-Mar	10-Apr	675.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MIPS</b>	<b>S</b>	<b>10,000</b>	<b>8.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CANTILLON CAPITAL	040	MRVL	SS	642,000	16.92	n/a	n/a	32,100.00	5.0
<b>KAICHER</b>	<b>064</b>	<b>NEXC</b>	<b>B</b>	<b>2,000</b>	<b>12.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CASTLEROCK	040	NKE	B	85,400	54.20	n/a	n/a	3,416.00	4.0
NEUBERGER BERMAN	036	NTY	S	9,500	52.51	n/a	n/a	475.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>BARR</b>	<b>096</b>	<b>NXG</b>	<b>B</b>	<b>3,000</b>	<b>3.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAPITAL	038	PCL	B	2,100	39.26	n/a	19-Mar	105.00	5.0
CAPITAL GROWTH MGMT.	241	PCU	B	20,000	79.29	n/a	n/a	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>SS</b>	<b>10,000</b>	<b>32.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
THIRD POINT MGMT	044	PIR	B	200,000	7.66	n/a	n/a	6,000.00	3.0
MAZAMA CAPITAL MGMT.	035	PJC	B	35,600	62.99	n/a	n/a	1,424.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>B</b>	<b>40,000</b>	<b>53.55</b>	<b>n/a</b>	<b>10-Apr</b>	<b>non-brg</b>	<b>5.0</b>
CANTILLON CAPITAL	040	PMCS	SS	425,000	7.01	n/a	n/a	17,000.00	4.0
BEAR STEARNS ASSET MGMT.	033	PNRA	C	75,000	56.03	n/a	n/a	3,000.00	4.0
AMBER CAPITAL	046	PPG	B	10,000	70.90	n/a	10-Apr	500.00	5.0
MERRILL ASSET	032	PVA	B	19,500	77.35	n/a	n/a	877.50	4.5
SYSTEMATIC FINANCIAL MGT	035	PVH	S	25,700	57.76	28-Mar	28-Mar	963.75	3.8
<b>LEDDY</b>	<b>035</b>	<b>QID</b>	<b>B</b>	<b>800</b>	<b>52.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAPITAL	038	RKH	B	700	156.17	n/a	n/a	35.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>RTH</b>	<b>SS</b>	<b>200</b>	<b>102.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KORMAN	003	SEED	B	3,960	9.05	n/a	n/a	0.00	0.0
KAICHER	064	SNCR	S	1,000	17.38	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	SPY	B	1,700	143.67	n/a	n/a	85.00	5.0
WYCKOFF	013	SWN	B	300	43.37	1-Mar	6-Mar	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		TGT	B	40,000	59.61	n/a	8-Mar	non-brg	5.0
FARBER/WEISS PECK	012	THI	B	20,000	30.51	12-Dec	7-Feb	1,000.00	5.0
DISIPLINED GROWTH INV.	030	TJX	B	8,000	27.98	21-Mar	10-Apr	400.00	5.0
WHITE PINE CAPITAL	030	TUNE	B	2,000	4.32	n/a	n/a	80.00	4.0
SIGMA CAPITAL ASSOCIATES	044	TWB	B	94,000	36.42	22-Feb	22-Feb	4,700.00	5.0
MAGUIRE	039	UAUA	B	100	42.04	n/a	n/a	0.00	0.0
BARR	096	UAUA	B	500	42.59	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		URBN	B	15,000	26.72	2-Apr	2-Apr	non-brg	5.0
STADIA CAPITAL	035	UST	SS	25,000	59.39	n/a	n/a	1,000.00	4.0
Ⓕ BUCK CAPITAL MGMT		VIRL	S	10,000	7.57	n/a	n/a	non-brg	4.0
CHILTON CAPITAL MGMT.	036	WEN	SS	100,000	31.63	12-Dec	21-Mar	4,000.00	4.0
CAPITAL GROWTH MGMT.	241	WFT	B	60,000	48.10	n/a	n/a	3,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		WMT	B	40,000	47.60	n/a	21-Mar	non-brg 5.0
BUCK CAPITAL MGMT		WSO	S	69,000	50.12	n/a	n/a	non-brg 5.0
TOTAL (Trade Date):							133,174.00	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/10	DSG CAPITAL	236	CECE	B	20,500	14.73	n/a	n/a	1025.00 5.0
AO,CXL	04/10	LANE GATE PARTNERS LLC	012	CECE	B	20,500	14.73	n/a	n/a	1025.00 5.0
AO,CRT	04/10	PRENTICE	038	FD	SS	27,500	45.82	26-Jan	10-Apr	1375.00 5.0
AO,CXL	04/10	PRENTICE	038	FD	SS	27,500	45.82	26-Jan	10-Apr	2.29 0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>1,372.71</b>

TOTAL (Trade Date) :	133,174.00
TOTAL (AO, CXL, CRT) :	1,372.71

<b>TOTAL (RUN DATE) / NFS :</b>	<b>134,546.71</b>
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MRI Securities with Trade Activity on 11-Apr.  
NONE

MRI Securities without Trade Activity on 11-Apr.  
CHTT, MCD

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 21%  
YTD = 18%



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION