



# Daily Compliance Activity Report

Trade Date: 10/09/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AEOS	B	12,000	43.71	26-Sep	6-Oct	5.00	0.0
BUCK CAPITAL MGMT	001	ANF	S	10,000	75.55	31-Aug	6-Oct	400.00	4.0
JP MORGAN VENTURES	046	ANF	B	10,000	75.52	31-Aug	6-Oct	500.00	5.0
BUCK CAPITAL MGMT	001	ANN	S	15,000	41.77	6-Jul	6-Oct	600.00	4.0
<b>BACKUS</b>	<b>005</b>	<b>APC</b>	<b>B</b>	<b>6,000</b>	<b>42.25</b>	<b>31-Aug</b>	<b>18-Sep</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT	039	BBW	B	5,000	23.54	n/a	n/a	250.00	5.0
<b>BALYASNY ASSET MGMT</b>	<b>044</b>	<b>BEN</b>	<b>B</b>	<b>10,000</b>	<b>106.56</b>	<b>6-Oct</b>	<b>9-Oct</b>	<b>500.00</b>	<b>5.0</b>
BUCK CAPITAL MGMT	001	BID	B	60,000	35.98	n/a	n/a	2,400.00	4.0
HIGHBRIDGE CAPITAL	038	BNI	B	10,000	75.81	n/a	n/a	500.00	5.0
MAGNETAR FINANCIAL	247	BUD	B	23,000	46.91	n/a	n/a	920.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>CAKE</b>	<b>B</b>	<b>2,000</b>	<b>27.01</b>	<b>28-Jun</b>	<b>4-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>WYCKOFF</b>	<b>113</b>	<b>CAKE</b>	<b>B</b>	<b>200</b>	<b>27.23</b>	<b>28-Jun</b>	<b>4-Oct</b>	<b>0.00</b>	<b>0.0</b>
ARIENCE CAPITAL	036	CAKE	S	101,205	26.97	28-Jun	4-Oct	5,060.25	5.0
<b>BUCK</b>	<b>015</b>	<b>CBE</b>	<b>B</b>	<b>400</b>	<b>88.28</b>	<b>2-Oct</b>	<b>2-Oct</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	CBK	S	10,000	28.94	18-Sep	2-Oct	400.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>CHS</b>	<b>B</b>	<b>3,000</b>	<b>23.12</b>	<b>23-Aug</b>	<b>6-Oct</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PLATTE RIVER CAPITAL	035	CMCSK	S	4,700	37.24	n/a	n/a	188.00	4.0
HIGHBRIDGE CAPITAL	038	CSX	B	8,000	33.80	n/a	n/a	400.00	5.0
LEHMAN PROPRIETARY TRAD	039	EOG	S	15,733	63.01	n/a	n/a	629.32	4.0
BARON ASSET MGMT*	012	EV	B	10,000	30.10	6-Oct	25-Sep	500.00	5.0
LEHMAN PROPRIETARY TRAD	039	FAST	B	17,717	39.90	n/a	n/a	708.68	4.0
MAZAMA CAPITAL MGMT.	035	FII	S	55,500	34.99	2-May	25-Sep	2,220.00	4.0
BUCK CAPITAL MGMT	001	FL	B	168,800	25.19	n/a	18-Aug	6,752.00	4.0
BUCK CAPITAL MGMT	001	GCO	B	10,000	35.61	n/a	10-Jan	400.00	4.0
SONAR CAP MGMT	037	GPS	B	50,000	19.18	31-Jul	6-Oct	2,500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>GRMN</b>	<b>B</b>	<b>500</b>	<b>50.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SLS CAPITAL LLC	224	GVA	B	67,809	59.64	n/a	n/a	3,390.45	5.0
LONG TRAIL CAP	037	HOG	B	6,500	43.54	n/a	n/a	260.00	4.0
MAZAMA CAPITAL MGMT.	035	HPY	B	6,300	26.83	n/a	n/a	252.00	4.0
SYSTEMATIC FINANCIAL MGT	035	JCP	B	9,200	70.86	5-Oct	6-Oct	368.00	4.0
J L F ASSET MGMT	039	JWN	B	38,600	45.53	5-Oct	6-Oct	1,544.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>LISTED ERR</b>	<b>099</b>	<b>KCP</b>	<b>B</b>	<b>100</b>	<b>24.55</b>	<b>n/a</b>	<b>31-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>WHEELER</b>	<b>008</b>	<b>LEACE</b>	<b>S</b>	<b>100</b>	<b>2.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EMINENCE CAPITAL	038	LEN	S	111,600	46.31	n/a	n/a	5,580.00	5.0
HIGHBRIDGE CAPITAL	038	LFB	S	87,200	20.63	n/a	14-Sep	4,360.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>LNCR</b>	<b>B</b>	<b>3,000</b>	<b>34.47</b>	<b>25-Jul</b>	<b>4-Oct</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	LTM	B	10,000	47.09	n/a	n/a	400.00	4.0
HUNTER GLOBAL INVESTOR	067	MIR	B	73,000	27.43	n/a	n/a	3,650.00	5.0
PLATTE RIVER CAPITAL	035	MSFT	S	6,600	27.72	n/a	3-Apr	264.00	4.0
CENTURION PARTNERS	244	MT	B	50,000	36.57	n/a	n/a	2,500.00	5.0
MAZAMA CAPITAL MGMT.	035	MVL	S	43,000	24.79	n/a	n/a	1,720.00	4.0
BUCK CAPITAL MGMT	001	MW	S	22,900	39.36	n/a	n/a	916.00	4.0
BULL PATH CAPITAL	044	MW	S	18,500	39.63	n/a	n/a	925.00	5.0
MAZAMA CAPITAL MGMT.	035	NGS	B	50,400	12.96	n/a	n/a	2,016.00	4.0
HIGHBRIDGE CAPITAL	038	NSC	B	12,000	45.89	n/a	n/a	600.00	5.0
J L F ASSET MGMT	039	NVDA	B	49,800	32.56	n/a	n/a	1,992.00	4.0
PLATTE RIVER CAPITAL	035	NWSA	S	10,000	20.02	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>KORMAN</b>	<b>003</b>	<b>NWY</b>	<b>B</b>	<b>3,000</b>	<b>13.67</b>	<b>n/a</b>	<b>5-Oct</b>	<b>0.00</b>	<b>0.0</b>
VISIUM/BALY HOWARD	038	ODP	S	50,000	41.13	26-Jun	31-Aug	2,500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	30,000	43.68	18-Sep	19-Sep	1,200.00	4.0
<b>BUCK</b>	<b>015</b>	<b>QNCXD</b>	<b>B</b>	<b>10</b>	<b>0.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>SPY</b>	<b>S</b>	<b>400</b>	<b>135.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SCHOTTENFELD ASSOC	028	SUNW	S	50,000	4.96	n/a	n/a	1,500.00	3.0
<b>BACKUS</b>	<b>005</b>	<b>SWN</b>	<b>B</b>	<b>1,000</b>	<b>29.51</b>	<b>7-Aug</b>	<b>8-Sep</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>THI</b>	<b>B</b>	<b>2,000</b>	<b>27.54</b>	<b>n/a</b>	<b>22-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>BACKUS</b>	<b>005</b>	<b>TRI</b>	<b>B</b>	<b>5,000</b>	<b>42.83</b>	<b>n/a</b>	<b>12-Sep</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	TWB	B	33,500	39.53	5-Sep	3-Oct	1,340.00	4.0
MAZAMA CAPITAL MGMT.	035	TWB	S	12,000	39.51	5-Sep	3-Oct	480.00	4.0
SAC TRADING HOWARD	038	TWB	S	20,000	39.52	5-Sep	3-Oct	1,000.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>URBN</b>	<b>B</b>	<b>4,000</b>	<b>19.49</b>	<b>5-Oct</b>	<b>6-Oct</b>	<b>0.00</b>	<b>0.0</b>
FRIESS MGMT	042	WRNC	S	115,300	19.54	5-Sep	15-Sep	5,188.50	4.5
HUNTER GLOBAL INVESTOR	067	WSM	B	10,000	32.70	n/a	n/a	500.00	5.0
J L F ASSET MGMT	039	WSM	B	27,000	32.55	n/a	n/a	1,080.00	4.0
FARALLON CAP MGMT	040	XLU	B	300,000	34.20	n/a	n/a	9,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KORMAN	003	ZOLT	S	2,000	23.92	n/a	n/a	0.00	0.0
TOTAL:							80,759.20		

# Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	JP MORGAN VENTURES (JPMVGOLDMA)	046	AEOS	B	11,400	43.58	26-Sep	6-Oct	570.00	5.0
AO,CRT	JP MORGAN VENTURES (JPMVGOLDMA)	046	AEOS	B	11,400	43.58	26-Sep	6-Oct	570.00	5.0
AO,	IVORY CAPITAL (IVORUBS)	034	AMR	B	40,000	24.33	n/a	n/a	2,000.00	5.0
AO,	IVORY CAPITAL (IVORGSPB)	034	AMR	B	10,000	24.33	n/a	n/a	500.00	5.0
AO,CXL	BUCK CAPITAL MGMT (BUCKMS MAI)	001	ANF	B	10,000	73.30	31-Aug	6-Oct	400.00	4.0
AO,	BUCK CAPITAL MGMT (BUCKMS MAI)	001	ANF	S	10,000	73.30	31-Aug	6-Oct	400.00	4.0
<b>AO,CRT</b>	<b>MKT MAKER (MKT MAKER)</b>	<b>099</b>	<b>CTRN</b>	<b>S</b>	<b>20</b>	<b>36.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AO,CXL	GALLEON MGMT LP (GALLMONTGO)	033	LAD	S	40,000	25.00	n/a	n/a	2,000.00	5.0
AO,	GALLEON MGMT LP (GALLMONTGO)	033	LAD	S	40,000	24.93	n/a	n/a	2,000.00	5.0
AO,CXL	HERMES (HERMMORGAN)	048	LSCC	S	50,000	6.36	n/a	n/a	2,500.00	5.0
AO,	HERMES (HERMMORGAN)	048	LSCC	S	50,000	6.36	n/a	n/a	2,500.00	5.0
AO,	JEFFRIES MIKE (JEFLDEUTSH)	067	NWY	S	5,000	13.27	n/a	5-Oct	250.00	5.0
AO,CXL	J L F ASSET MGMT (JLFAMAIN)	039	ODP	B	47,700	40.86	26-Jun	31-Aug	0.00	0.0
AO,	J L F ASSET MGMT (JLFAMAIN)	039	ODP	B	47,700	40.86	26-Jun	31-Aug	1,908.00	4.0
AO,	GALLEON MGMT LP (GALLMONTGO)	033	SBUX	B	12,500	39.27	6-Oct	6-Oct	625.00	5.0
<b>AO,</b>	<b>BROMPTON CROSS (BROMGS)</b>	<b>036</b>	<b>VZ</b>	<b>S</b>	<b>10,000</b>	<b>37.24</b>	<b>19-Sep</b>	<b>9-Oct</b>	<b>400.00</b>	<b>4.0</b>
<b>AO,CXL</b>	<b>BROMPTON CROSS (BROMGS)</b>	<b>036</b>	<b>VZ</b>	<b>S</b>	<b>10,000</b>	<b>37.24</b>	<b>19-Sep</b>	<b>9-Oct</b>	<b>500.00</b>	<b>5.0</b>
<b>AO,</b>	<b>LISTED ERR (LISTED ERR)</b>	<b>099</b>	<b>WMT</b>	<b>S</b>	<b>3,000</b>	<b>48.70</b>	<b>5-Oct</b>	<b>6-Oct</b>	<b>0.00</b>	<b>0.0</b>

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2176)	098	WMT	B	330	48.83	5-Oct	6-Oct	13.20	4.0
AO,CXL	MAGNETAR FINANCIAL (MAGNGSCO)	247	WMT	S	25,000	48.70	5-Oct	6-Oct	1,000.00	4.0
AO,	MAGNETAR FINANCIAL (MAGNGSCO)	247	WMT	S	22,000	48.70	5-Oct	6-Oct	880.00	4.0
AO,	FRIESS MGMT (FRISNUKE)	042	WRNC	S	2,100	19.26	5-Sep	15-Sep	94.50	4.5
AO,	FRIESS MGMT (FRISPEA)	042	WRNC	S	500	19.26	5-Sep	15-Sep	22.50	4.5
AO,	FRIESS MGMT (FRISSKF)	042	WRNC	S	600	19.26	5-Sep	15-Sep	27.00	4.5
AO,	FRIESS MGMT (FRISFE)	042	WRNC	S	300	19.26	5-Sep	15-Sep	13.50	4.5
AO,	FRIESS MGMT (FRISKAUF)	042	WRNC	S	1,700	19.26	5-Sep	15-Sep	76.50	4.5
AO,	FRIESS MGMT (FRISJRN)	042	WRNC	S	400	19.26	5-Sep	15-Sep	18.00	4.5
AO,	FRIESS MGMT (FRISMASON)	042	WRNC	S	1,100	19.26	5-Sep	15-Sep	49.50	4.5
AO,	FRIESS MGMT (FRISAZNUS)	042	WRNC	S	500	19.26	5-Sep	15-Sep	22.50	4.5
AO,	FRIESS MGMT (FRISBRANDY)	042	WRNC	S	24,000	19.26	5-Sep	15-Sep	1,080.00	4.5
AO,	FRIESS MGMT (FRISNCCOUN)	042	WRNC	S	100	19.26	5-Sep	15-Sep	4.50	4.5
AO,	FRIESS MGMT (FRISAZN)	042	WRNC	S	1,900	19.26	5-Sep	15-Sep	85.50	4.5
AO,	FRIESS MGMT (FRISCEG)	042	WRNC	S	2,200	19.26	5-Sep	15-Sep	99.00	4.5
AO,	FRIESS MGMT (FRISLOL)	042	WRNC	S	1,400	19.26	5-Sep	15-Sep	63.00	4.5
AO,	FRIESS MGMT (FRISMEG)	042	WRNC	S	200	19.26	5-Sep	15-Sep	9.00	4.5
AO,	FRIESS MGMT (FRISUCF)	042	WRNC	S	1,000	19.26	5-Sep	15-Sep	45.00	4.5
AO,	FRIESS MGMT (FRISARM)	042	WRNC	S	1,000	19.26	5-Sep	15-Sep	45.00	4.5
AO,	FRIESS MGMT (FRISNUKEAC)	042	WRNC	S	200	19.26	5-Sep	15-Sep	9.00	4.5
AO,	FRIESS MGMT (FRISCATH)	042	WRNC	S	200	19.26	5-Sep	15-Sep	9.00	4.5
AO,	FRIESS MGMT (FRISLIRR)	042	WRNC	S	300	19.26	5-Sep	15-Sep	13.50	4.5
AO,	FRIESS MGMT (FRISCATS)	042	WRNC	S	1,800	19.26	5-Sep	15-Sep	81.00	4.5
AO,	FRIESS MGMT (FRISARCHNY)	042	WRNC	S	700	19.26	5-Sep	15-Sep	31.50	4.5
AO,	FRIESS MGMT (FRISFRT)	042	WRNC	S	1,100	19.26	5-Sep	15-Sep	49.50	4.5
AO,	FRIESS MGMT (FRISJRN401)	042	WRNC	S	100	19.26	5-Sep	15-Sep	4.50	4.5
AO,	FRIESS MGMT (FRISNMPP)	042	WRNC	S	400	19.26	5-Sep	15-Sep	18.00	4.5
AO,	FRIESS MGMT (FRISAW)	042	WRNC	S	500	19.26	5-Sep	15-Sep	22.50	4.5
AO,	FRIESS MGMT (FRISLGH)	042	WRNC	S	200	19.26	5-Sep	15-Sep	9.00	4.5

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AO,	FRIESS MGMT (FRISCORN)	042	WRNC	S	400	19.26	5-Sep	15-Sep	18.00 4.5
AO,	FRIESS MGMT (FRISCTA)	042	WRNC	S	200	19.26	5-Sep	15-Sep	9.00 4.5
AO,	FRIESS MGMT (FRISSMTR)	042	WRNC	S	15,100	19.26	5-Sep	15-Sep	679.50 4.5
AO,	FRIESS MGMT (FRISHEW)	042	WRNC	S	1,800	19.26	5-Sep	15-Sep	81.00 4.5
AO,	FRIESS MGMT (FRISSELF)	042	WRNC	S	200	19.26	5-Sep	15-Sep	9.00 4.5
AO,	FRIESS MGMT (FRISUNIDEL)	042	WRNC	S	500	19.26	5-Sep	15-Sep	22.50 4.5
AO,	FRIESS MGMT (FRISMARSH)	042	WRNC	S	1,000	19.26	5-Sep	15-Sep	45.00 4.5
AO,	FRIESS MGMT (FRISSAM)	042	WRNC	S	800	19.26	5-Sep	15-Sep	36.00 4.5
AO,	FRIESS MGMT (FRISNAMB)	042	WRNC	S	100	19.26	5-Sep	15-Sep	4.50 4.5
AO,	FRIESS MGMT (FRISTELC)	042	WRNC	S	400	19.26	5-Sep	15-Sep	18.00 4.5
AO,	FRIESS MGMT (FRISARCH 1)	042	WRNC	S	600	19.26	5-Sep	15-Sep	27.00 4.5
AO,	FRIESS MGMT (FRISDUKK)	042	WRNC	S	700	19.26	5-Sep	15-Sep	31.50 4.5
AO,	FRIESS MGMT (FRISFO)	042	WRNC	S	600	19.26	5-Sep	15-Sep	27.00 4.5
AO,	FRIESS MGMT (FRISPSD)	042	WRNC	S	200	19.26	5-Sep	15-Sep	9.00 4.5
AO,	FRIESS MGMT (FRISLIR 2)	042	WRNC	S	200	19.26	5-Sep	15-Sep	9.00 4.5
AO,	FRIESS MGMT (FRISWINTER)	042	WRNC	S	100	19.26	5-Sep	15-Sep	4.50 4.5
AO,	FRIESS MGMT (FRISDUK)	042	WRNC	S	700	19.26	5-Sep	15-Sep	31.50 4.5
AO,	FRIESS MGMT (FRISJLP)	042	WRNC	S	800	19.26	5-Sep	15-Sep	36.00 4.5
AO,	FRIESS MGMT (FRISHAM)	042	WRNC	S	700	19.26	5-Sep	15-Sep	31.50 4.5
AO,	FRIESS MGMT (FRISIHC)	042	WRNC	S	400	19.26	5-Sep	15-Sep	18.00 4.5
AO,	FRIESS MGMT (FRISNCF)	042	WRNC	S	300	19.26	5-Sep	15-Sep	13.50 4.5
AO,	FRIESS MGMT (FRISKSEL)	042	WRNC	S	300	19.26	5-Sep	15-Sep	13.50 4.5
AO,	FRIESS MGMT (FRISNEEL)	042	WRNC	S	700	19.26	5-Sep	15-Sep	31.50 4.5
AO,	FRIESS MGMT (FRISFCCS)	042	WRNC	S	100	19.26	5-Sep	15-Sep	4.50 4.5
AO,	FRIESS MGMT (FRISPHS)	042	WRNC	S	400	19.26	5-Sep	15-Sep	18.00 4.5
AO,	FRIESS MGMT (FRISDCX)	042	WRNC	S	7,800	19.26	5-Sep	15-Sep	351.00 4.5
AO,	FRIESS MGMT (FRISTEAMNY)	042	WRNC	S	600	19.26	5-Sep	15-Sep	27.00 4.5
AO,	FRIESS MGMT (FRISEPA)	042	WRNC	S	1,500	19.26	5-Sep	15-Sep	67.50 4.5
AO,	FRIESS MGMT (FRISPHPP)	042	WRNC	S	100	19.26	5-Sep	15-Sep	4.50 4.5
AO,	FRIESS MGMT (FRISJFDN)	042	WRNC	S	1,200	19.26	5-Sep	15-Sep	54.00 4.5



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AO,	FRIESS MGMT (FRISWY)	042	WRNC	S	1,700	19.26	5-Sep	15-Sep	76.50 4.5
AO,	FRIESS MGMT (FRISGUNP)	042	WRNC	S	500	19.26	5-Sep	15-Sep	22.50 4.5
AO,	FRIESS MGMT (FRISIHPI)	042	WRNC	S	300	19.26	5-Sep	15-Sep	13.50 4.5
AO,	FRIESS MGMT (FRISKMM)	042	WRNC	S	700	19.26	5-Sep	15-Sep	31.50 4.5
AO,	FRIESS MGMT (FRISOCE)	042	WRNC	S	100	19.26	5-Sep	15-Sep	4.50 4.5
AO,	FRIESS MGMT (FRISUDEAC)	042	WRNC	S	100	19.26	5-Sep	15-Sep	4.50 4.5
AO,	FRIESS MGMT (FRISUDE)	042	WRNC	S	700	19.26	5-Sep	15-Sep	31.50 4.5
AO,	FRIESS MGMT (FRISFCE)	042	WRNC	S	200	19.26	5-Sep	15-Sep	9.00 4.5
AO,	FRIESS MGMT (FRISBCBSM)	042	WRNC	S	2,500	19.26	5-Sep	15-Sep	112.50 4.5
AO,	FRIESS MGMT (FRISUFCW)	042	WRNC	S	300	19.26	5-Sep	15-Sep	13.50 4.5
AO,	FRIESS MGMT (FRISFOHH)	042	WRNC	S	400	19.26	5-Sep	15-Sep	18.00 4.5
AO,	FRIESS MGMT (FRISUGA)	042	WRNC	S	700	19.26	5-Sep	15-Sep	31.50 4.5
AO,	FRIESS MGMT (FRISPHX)	042	WRNC	S	500	19.26	5-Sep	15-Sep	22.50 4.5
AO,	FRIESS MGMT (FRISNCF1)	042	WRNC	S	100	19.26	5-Sep	15-Sep	4.50 4.5
AO,	FRIESS MGMT (FRISLONGWO)	042	WRNC	S	900	19.26	5-Sep	15-Sep	40.50 4.5
AO,	FRIESS MGMT (FRISLANC)	042	WRNC	S	500	19.26	5-Sep	15-Sep	22.50 4.5
AO,	BUCK CAPITAL MGMT (BUCKMS MAI)	001	ZQK	B	90,000	12.80	8-Sep	8-Sep	3,600.00 4.0

**Legend:**

**Blue: Employee and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**