



Daily Compliance Activity Report

Trade Date: 03/28/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STANDARD PACIFIC CAPITAL	040	AAP	S	77,000	38.93	n/a	n/a	3,850.00	5.0
BUCK CAPITAL MGMT	001	ABG	S	10,000	27.74	n/a	n/a	400.00	4.0
CAPITAL GROWTH MGMT.	241	ACF	B	30,000	23.39	n/a	n/a	1,500.00	5.0
SUNOVA CAPITAL	031	AMG	S	10,000	106.65	5-Mar	28-Mar	500.00	5.0
⌘ BUCK CAPITAL MGMT		AMT	S	17,100	38.50	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		ANF	B	10,000	76.04	2-Feb	21-Mar	non-brg	5.0
⌘ BUCK CAPITAL MGMT		ANF	S	5,600	75.78	2-Feb	21-Mar	non-brg	5.0
COUGAR TRADING	044	ANF	B	5,000	75.95	2-Feb	21-Mar	250.00	5.0
THIRD COAST CAPITAL	035	ANF	SS	10,000	76.65	2-Feb	21-Mar	500.00	5.0
⌘ BUCK CAPITAL MGMT		ANN	S	15,000	38.50	5-Jan	19-Mar	non-brg	5.0
⌘ BUCK CAPITAL MGMT		APC	S	8,700	42.92	27-Feb	12-Mar	non-brg	5.0
COUGAR TRADING	044	ARO	B	5,000	40.09	23-Oct	16-Mar	250.00	5.0
DOWNTOWN ASSOC	033	ASPM	B	200	15.50	n/a	n/a	7.00	3.5
⌘ BUCK CAPITAL MGMT		AVZ	B	45,000	22.22	5-Mar	28-Mar	non-brg	5.0
⌘ BUCK CAPITAL MGMT		AVZ	B	5,000	22.18	5-Mar	28-Mar	non-brg	2.0
CATAPULT	040	AVZ	B	20,000	22.16	5-Mar	28-Mar	1,000.00	5.0
COUGAR TRADING	044	AVZ	B	10,000	22.19	5-Mar	28-Mar	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	AVZ	S	10,000	22.18	5-Mar	28-Mar	500.00	5.0
DEEPHAVEN	031	AVZ	B	10,000	22.34	5-Mar	28-Mar	500.00	5.0
PHILADELPHIA FINANCIAL	031	AVZ	B	52,118	22.44	5-Mar	28-Mar	2,605.90	5.0
PROSPECTOR PARTNERS LLC	036	AVZ	B	44,900	22.23	5-Mar	28-Mar	1,796.00	4.0
B BUCK CAPITAL MGMT		AXL	SS	10,000	27.74	n/a	n/a	non-brg	5.0
UBS OCONNOR	031	AXL	SS	30,000	27.76	n/a	n/a	900.00	3.0
STANDARD PACIFIC CAPITAL	040	BBBY	SS	102,000	39.81	n/a	n/a	5,100.00	5.0
B BUCK CAPITAL MGMT	001	BBW	S	5,000	27.04	19-Mar	19-Mar	200.00	4.0
B BUCK CAPITAL MGMT		BBW	S	5,000	27.00	19-Mar	19-Mar	non-brg	5.0
MCCARTAN	028	BBY	S	150	47.95	13-Dec	23-Mar	0.00	0.0
SAXON WOODS ADVISORS	038	BC	S	10,000	31.41	n/a	n/a	500.00	5.0
CIBC ADV.	060	BE	SS	10,000	7.64	n/a	n/a	400.00	4.0
BRIGHTPOINT CAP	028	BIG	SS	10,000	31.79	9-Mar	27-Mar	500.00	5.0
ROARING BLUE LION MGMT	012	BIG	SS	15,300	31.92	9-Mar	27-Mar	765.00	5.0
B BUCK CAPITAL MGMT		BONT	S	30,000	55.36	9-Mar	16-Mar	non-brg	5.0
B BUCK CAPITAL MGMT		BONT	S	5,000	54.46	9-Mar	16-Mar	non-brg	5.0
WHITE PINE CAPITAL	030	BONT	S	175	54.82	9-Mar	16-Mar	8.75	5.0
XYLEM GLOBAL	091	BOW	B	29,700	23.39	n/a	28-Mar	1,485.00	5.0
WALKER SMITH	031	CBST	S	22,400	21.03	n/a	n/a	1,120.00	5.0
CASTLEROCK	040	CFC	B	46,400	33.50	n/a	n/a	2,320.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CHS	B	10,000	24.02	27-Mar	27-Mar	400.00	4.0
WAGONHOUND	048	CHS	B	10,000	23.98	27-Mar	27-Mar	400.00	4.0
WALKER SMITH	031	CHS	S	80,000	23.99	27-Mar	27-Mar	4,000.00	5.0
SUNOVA CAPITAL	031	CLMS	SS	50,000	22.21	12-Mar	28-Mar	2,500.00	5.0
MERRILL ASSET	032	CNB	S	42,600	25.01	n/a	n/a	2,130.00	5.0
BUCK CAPITAL MGMT	001	COH	S	5,000	49.87	23-Feb	23-Feb	200.00	4.0
BUCK CAPITAL MGMT		COH	S	8,100	49.85	23-Feb	23-Feb	non-brg	5.0
BUCK CAPITAL MGMT	001	CRI	SS	28,300	25.53	n/a	n/a	1,132.00	4.0
BEAR STEARNS ASSET MGMT.	033	CTX	B	10,000	41.22	n/a	n/a	400.00	4.0
OPTIMUM INV ADV	030	DBD	B	12,000	47.81	31-Jan	31-Jan	480.00	4.0
BUCK CAPITAL MGMT		DBRN	B	20,000	20.96	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		DECK	S	5,000	70.17	n/a	n/a	non-brg	0.4
WAGONHOUND	048	DLTR	B	7,500	37.17	8-Feb	12-Mar	300.00	4.0
BUCK CAPITAL MGMT		DVN	S	4,600	69.54	9-Feb	9-Feb	non-brg	5.0
BUCK CAPITAL MGMT		EGLT	C	10,000	16.48	n/a	n/a	non-brg	5.0
CIBC ADV.	060	EK	S	1,600	23.01	n/a	n/a	64.00	4.0
BUCK CAPITAL MGMT		ETEL	S	5,000	13.75	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAXON WOODS ADVISORS	038	ETH	S	10,000	34.75	n/a	n/a	500.00	5.0
TRUST CO OF THE WEST/NY	040	ETH	B	41,350	34.89	n/a	n/a	1,654.00	4.0
LISTED ERR	099	ETH	S	700	34.78	n/a	n/a	0.00	0.0
CIBC ADV.	060	FCX	SS	10,000	64.25	n/a	n/a	400.00	4.0
COUGAR TRADING	044	FDO	B	5,000	28.67	3-Nov	27-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	FD	S	20,000	45.57	26-Jan	9-Mar	800.00	4.0
B BUCK CAPITAL MGMT		FD	S	10,900	45.51	26-Jan	9-Mar	non-brg	5.0
ENTRUST CAPITAL	040	FOSL	B	58,200	26.49	21-Feb	21-Feb	2,910.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	5,000	41.12	n/a	10-Jan	200.00	4.0
B BUCK CAPITAL MGMT		GLW	S	31,700	23.08	n/a	2-Mar	non-brg	5.0
B BUCK CAPITAL MGMT		GSF	S	6,800	62.63	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		GS	S	3,000	207.75	13-Dec	14-Mar	non-brg	5.0
THIRD COAST CAPITAL	035	GYMB	S	17,500	40.31	4-Jan	14-Mar	875.00	5.0
B BUCK CAPITAL MGMT		HLYS	B	30,000	28.47	n/a	n/a	non-brg	5.0
STADIA CAPITAL	035	IGT	S	44,000	39.98	n/a	2-Feb	1,760.00	4.0
B BUCK CAPITAL MGMT		IKAN	B	10,000	8.00	n/a	n/a	non-brg	4.0
DOWNTOWN ASSOC	033	IWM	B	100,000	79.01	n/a	n/a	3,500.00	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JCP	B	10,000	80.65	23-Feb	5-Mar	400.00	4.0
COUGAR TRADING	044	JCP	B	5,000	80.58	23-Feb	5-Mar	250.00	5.0
RBC CAPITAL MARKETS	034	JCP	B	10,000	80.34	23-Feb	5-Mar	500.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	B	15,000	34.38	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		JOSB	B	5,000	34.57	n/a	n/a	non-brg	0.4
COUGAR TRADING	044	JWN	B	5,000	51.43	27-Feb	9-Mar	250.00	5.0
CUMBERLAND	038	JWN	B	20,000	51.16	27-Feb	9-Mar	800.00	4.0
BUCK CAPITAL MGMT	001	KCP	B	10,000	26.09	n/a	28-Feb	400.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	5,000	75.34	27-Dec	8-Mar	200.00	4.0
⌘ BUCK CAPITAL MGMT		LBTYA	S	10,900	32.69	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LBTYK	S	11,100	30.30	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LEH	S	9,900	71.19	n/a	14-Mar	non-brg	5.0
OPTIMUM INV ADV	030	LNCR	B	12,000	37.01	21-Dec	13-Feb	480.00	4.0
MKT MAKER	099	LNCR	S	200	37.06	21-Dec	13-Feb	0.00	0.0
⌘ BUCK CAPITAL MGMT		LPNT	S	13,700	37.78	n/a	7-Feb	non-brg	5.0
XYLEM GLOBAL	091	LPX	B	19,700	20.35	20-Mar	20-Mar	985.00	5.0
BARROW HANLEY MEWHINNEY	031	MAT	S	27,400	27.83	n/a	n/a	1,233.00	4.5
LISTED ERR	099	MCS	B	5,700	23.43	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	MCS	S	5,700	23.13	n/a	n/a	0.00	0.0
MAIRS AND POWER INV	030	MDT	B	10,000	48.50	n/a	22-Apr	600.00	6.0
MAGUIRE	090	MEM	S	5,400	4.04	n/a	n/a	0.00	0.0
BARR	096	MEM	S	10,400	4.04	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		MER	S	6,000	82.60	19-Jan	13-Mar	non-brg	5.0
⚡ BUCK CAPITAL MGMT		MIR	S	20,000	41.06	n/a	n/a	non-brg	5.0
CAPITAL GROWTH MGMT.	241	NCS	B	30,000	47.87	n/a	n/a	1,500.00	5.0
MAGUIRE	090	NGI	S	5,000	4.58	n/a	n/a	0.00	0.0
BARR	096	NGI	S	2,000	4.60	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	031	NOK	S	103,900	22.53	n/a	n/a	4,839.00	4.3
SPRINGBOC	039	NUCO	SS	4,200	25.03	n/a	n/a	210.00	5.0
WALKER SMITH	031	NVTL	SS	60,000	16.73	n/a	n/a	3,000.00	5.0
⚡ BUCK CAPITAL MGMT		NWY	S	10,000	15.44	23-Mar	23-Mar	non-brg	4.0
STERLING JOHNSTON	035	NWY	B	28,400	15.26	23-Mar	23-Mar	1,420.00	5.0
VAN KAMPEN ADV	031	ODP	B	160,000	34.98	n/a	2-Mar	6,400.00	4.0
⚡ BUCK CAPITAL MGMT		PLCE	B	20,000	55.29	n/a	21-Mar	non-brg	5.0
MCCARTAN	028	PLTE	S	250	19.84	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BLACKROCK/BOSTON	039	PNRA	B	200	58.74	n/a	n/a	8.00	4.0
JP MORGAN VENTURES	046	PSUN	SS	15,000	20.18	n/a	16-Mar	750.00	5.0
SYSTEMATIC FINANCIAL MGT	035	PVH	S	40,700	58.66	18-Jan	28-Mar	1,526.25	3.8
J L F ASSET MGMT	039	RFMD	SS	34,900	7.12	n/a	n/a	1,396.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	15,000	87.47	2-Feb	16-Feb	600.00	4.0
B BUCK CAPITAL MGMT		RL	S	7,600	87.43	2-Feb	16-Feb	non-brg	5.0
CUMBERLAND	038	RRGB	B	2,300	37.75	n/a	n/a	92.00	4.0
BEAR STEARNS ASSET MGMT.	033	SBUX	B	50,000	31.64	n/a	1-Feb	2,000.00	4.0
GALLEON MGMT LP	033	SJM	B	5,000	53.74	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SKX	SS	5,000	34.16	n/a	13-Mar	250.00	5.0
TRELLUS PARTNERS LP	028	SSI	S	56,970	23.13	26-Feb	14-Mar	2,848.50	5.0
LISTED ERR	099	SSI	B	135	22.99	26-Feb	14-Mar	0.00	0.0
LISTED ERR	099	SSI	S	135	23.13	26-Feb	14-Mar	0.00	0.0
B BUCK CAPITAL MGMT		SWN	S	11,500	40.91	1-Mar	6-Mar	non-brg	5.0
BLACKROCK/BOSTON	039	SYKE	B	24,000	18.30	n/a	n/a	480.00	2.0
BUCK CAPITAL MGMT	001	TGT	B	5,000	59.86	n/a	8-Mar	200.00	4.0
BUCK CAPITAL MGMT	001	TJX	B	10,000	26.41	21-Mar	21-Mar	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	TJX	B	10,000	26.57	21-Mar	21-Mar	500.00	5.0
RBC CAPITAL MARKETS	034	TJX	B	25,000	26.51	21-Mar	21-Mar	1,250.00	5.0
THIRD COAST CAPITAL	035	TTEC	S	10,000	35.65	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		TWB	S	10,000	36.32	22-Feb	22-Feb	non-brg	5.0
COUGAR TRADING	044	TWB	B	5,000	36.62	22-Feb	22-Feb	250.00	5.0
⌘ BUCK CAPITAL MGMT		TWTC	B	34,500	20.79	8-Feb	15-Mar	non-brg	5.0
⌘ BUCK CAPITAL MGMT		TWTC	S	37,400	20.50	8-Feb	15-Mar	non-brg	5.0
⌘ BUCK CAPITAL MGMT		UAG	B	50,000	20.41	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		UCO	S	8,800	67.59	14-Mar	14-Mar	non-brg	5.0
SANDELL ADV	040	UIL	S	44,000	35.05	n/a	n/a	2,200.00	5.0
DIAMONDBACK CAP MGMT	232	UMPQ	SS	10,000	26.87	n/a	n/a	500.00	5.0
XYLEM GLOBAL	091	UPM	B	10,000	25.08	n/a	n/a	500.00	5.0
WAGONHOUND	048	URBN	B	10,000	25.95	7-Dec	9-Mar	400.00	4.0
ACT II PARTNERS	067	VG	B	79,900	3.22	9-Mar	9-Mar	3,196.00	4.0
SANDLER CAPITAL	038	WEC	S	25,000	49.09	n/a	n/a	1,250.00	5.0
THIRD COAST CAPITAL	035	WMT	SS	40,000	47.01	n/a	21-Mar	2,000.00	5.0
BARROW HANLEY MEWHINNEY	031	XL	S	18,000	69.75	n/a	n/a	810.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	ZLC	B	10,000	26.08	n/a	n/a	500.00	5.0
SATELLITE ASSET MGMT	012	ZLC	B	11,300	26.04	n/a	n/a	565.00	5.0
8 BUCK CAPITAL MGMT		ZQK	S	30,000	11.51	9-Mar	13-Mar	non-brg	5.0
TOTAL (Trade Date) :								101,001.40	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/27	GALLEON MGMT LP	033	AMGN	B	300,000	56.87	n/a	26-Jan	15000.00 5.0
AO,CRT	03/27	DAVIDSON KEMPNER ADVISOR	217	IDU	B	36,000	97.38	n/a	n/a	1800.00 5.0
AO,CXL	03/27	DAVIDSON KEMPNER ADVISOR	217	IDU	B	36,000	97.38	n/a	n/a	1800.00 5.0
AO,	03/27	DAVIDSON KEMPNER ADVISOR	040	MIR	S	50,000	41.01	n/a	n/a	2500.00 5.0
AO,CXL	03/27	DAVIDSON KEMPNER ADVISOR	217	MIR	S	50,000	41.01	n/a	n/a	2500.00 5.0
AO,CRT	03/27	LISTED ERR	099	PCU	B	5,000	72.14	n/a	n/a	0.00 0.0
AO,	03/27	SANDELL ADV	040	SRP	S	100,000	17.38	n/a	n/a	5000.00 5.0
AO,CXL	03/27	SATELLITE ASSET MGMT	012	TSCO	B	5,000	51.99	n/a	n/a	250.00 5.0
AO,CRT	03/27	SATELLITE ASSET MGMT	012	TSCO	B	5,000	51.99	n/a	n/a	250.00 5.0
AO,	03/27	MKT MAKER	099	XMSR	S	1,500	13.34	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										20,000.00
TOTAL (Trade Date):										101,001.40
TOTAL (AO, CXL, CRT):										20,000.00
TOTAL (RUN DATE) / NFS:										121,001.40

MRI Securities with Trade Activity on 28-Mar.

NONE

MRI Securities without Trade Activity on 28-Mar.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 16%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION