



Daily Compliance Activity Report

Trade Date: 07/29/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JASON ERIC COHEN	FID	AAPL	S	8	261.50	n/a	n/a	0.00	0.0
SAC CHICAGO ANDREW	031	AAP	B	40,900	53.08	n/a	n/a	1,431.50	3.5
f DAVID GEORGE BUCK	FID	ABT	B	1000	49.05	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	AKS	C	45,000	13.93	n/a	n/a	2,250.00	5.0
HARBORWATCH/DIAMBACK	232	AKS	SS	5,000	14.00	n/a	n/a	250.00	5.0
LEVEL GLOBAL SHARED ACCT	257	ANF	C	150,000	35.76	n/a	29-Jul	4,500.00	3.0
B BUCK CAPITAL MGMT		ANN	B	20,000	17.08	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	ARM	B	10000	15.52	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	ARM	S	20000	16.00	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	ASTE	S	9,300	30.74	n/a	n/a	372.00	4.0
1798 CAP/LOMBARD ASSET	040	ATW	SS	18,700	27.20	n/a	n/a	935.00	5.0
EPOCH INV MGMT	030	AWI	S	900	35.28	n/a	n/a	27.00	3.0
SAC CRIB	044	AXL	S	100,000	8.96	n/a	23-Jul	3,500.00	3.5
B BUCK CAPITAL MGMT		AXP	S	11,000	44.66	n/a	28-Jul	non-brg	0.8
APEX CAPITAL MGMT.	261	AZO	SS	73,400	207.55	n/a	n/a	2,936.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	BAC	S	16,490	14.04	n/a	19-Jul	824.50	5.0
MELLON/BANK OF NEW YORK	046	BAX	B	19,840	43.91	n/a	n/a	992.00	5.0
BALYASNY ASSET MGMT	044	BBBY	C	15,000	37.80	n/a	n/a	750.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	BBW	S	2000	6.40	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BC	SS	20,000	16.43	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BK	B	40,000	25.31	n/a	21-Jul	non-brg	0.8
ß BUCK CAPITAL MGMT		BONT	S	12,156	9.56	n/a	n/a	non-brg	0.8
SF SENTRY	085	BPI	B	5,000	18.79	n/a	n/a	250.00	5.0
FIRST NEW YORK LEDDY	035	BSX	S	10,000	5.59	n/a	n/a	400.00	4.0
IMPALA ASSET MMT	012	CAR	B	72,600	11.51	n/a	n/a	2,178.00	3.0
BENNETT LAWRENCE	028	CAVM	S	5,450	28.52	n/a	n/a	218.00	4.0
S.A.C. HEALTHCARE BOBBY	044	CEPH	S	10,832	56.49	n/a	28-Jul	379.12	3.5
ß BUCK CAPITAL MGMT		CHS	B	50,000	9.00	n/a	5-Feb	non-brg	3.0
HARBORWATCH/DIAMBACK	232	CLF	S	5,000	56.38	n/a	n/a	250.00	5.0
MELLON/BANK OF NEW YORK	046	CLF	B	16,000	56.04	n/a	n/a	800.00	5.0
ß BUCK CAPITAL MGMT		CMN	B	200	15.25	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		CMN	B	300	15.25	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		CMN	B	600	15.25	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		CMN	B	8,000	15.25	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		CMN	B	900	15.25	n/a	n/a	non-brg	4.0
f	DAVID GEORGE BUCK	FID	COP	S	1000	54.91	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		CRI	S	150,000	23.81	n/a	n/a	non-brg	4.0
	LEVEL GLOBAL SHARED ACCT	257	CRI	C	300,000	23.87	n/a	n/a	9,000.00	3.0
ß	BUCK CAPITAL MGMT		CROX	S	100,000	12.30	n/a	n/a	non-brg	4.0
	MERRILL ASSET/BLACKROCK	266	CRR	S	2,900	81.66	n/a	19-Jul	116.00	4.0
	MELLON/BANK OF NEW YORK	046	CRS	B	50,000	35.91	n/a	n/a	2,500.00	5.0
ß	BUCK CAPITAL MGMT		CSTR	B	11,150	44.01	n/a	n/a	non-brg	0.8
ß	BUCK CAPITAL MGMT		CSTR	B	70,000	44.01	n/a	n/a	non-brg	0.8
ß	BUCK CAPITAL MGMT		CTRN	SS	20,000	31.31	n/a	n/a	non-brg	4.0
f	MANNY I KORMAN	FID	C	B	10000	4.14	n/a	19-Jul	0.00	0.0
	SANDLER O'NEILL ASSET	031	C	B	1,400	4.09	n/a	19-Jul	42.00	3.0
	OZ MGMT	040	DAN	S	100,000	11.55	n/a	23-Jul	3,000.00	3.0
	SCOPUS FUND	041	DAN	B	10,000	11.63	n/a	23-Jul	0.00	0.0
ß	BUCK CAPITAL MGMT		DBRN	B	20,000	23.28	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		DIN	C	30,000	34.39	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HARBORWATCH/DIAMBACK	232	DOW	SS	25,000	27.44	n/a	21-May	1,250.00	5.0
IMPALA ASSET MMT	012	DPS	S	15,000	36.74	n/a	n/a	600.00	4.0
f JASON ERIC COHEN	FID	DRWI	S	400	6.15	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	DUF	S	1,500	10.10	n/a	n/a	45.00	3.0
EPOCH INV MGMT	030	DVR	S	4,700	6.29	n/a	n/a	141.00	3.0
IMPALA ASSET MMT	012	EMN	B	50,000	59.41	n/a	n/a	2,500.00	5.0
RAINIER INV MGMT	035	ENS	B	27,450	23.83	n/a	n/a	1,098.00	4.0
BALYASNY ASSET MGMT	044	FDO	SS	45,000	40.96	29-Jul	29-Jul	2,250.00	5.0
HARVEST MGMT	029	FDO	B	10,000	40.95	29-Jul	29-Jul	500.00	5.0
ß BUCK CAPITAL MGMT		FINL	B	50,000	14.37	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	S	100,000	13.84	n/a	29-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	S	150,000	13.55	n/a	29-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	S	50,000	13.66	n/a	29-Jul	non-brg	4.0
f MANNY I KORMAN	FID	FNKXX	S	735000	1.00	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	FOE	B	40,000	10.66	n/a	n/a	2,000.00	5.0
AVESTA CAP	229	F	B	200,000	13.00	n/a	26-Jul	6,000.00	3.0
SCOPUS FUND	041	F	SS	100,000	12.86	n/a	26-Jul	5,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVEL GLOBAL SHARED ACCT	257	GES	B	100,000	35.53	n/a	19-Jul	3,000.00	3.0
MILLENIUM PARTNERS	028	GE	B	30,000	16.01	n/a	n/a	1,200.00	4.0
IMPALA ASSET MMT	012	GIS	S	15,000	34.79	n/a	n/a	600.00	4.0
CAMBIAR CAP	046	GLW	S	100,000	18.07	n/a	28-Jul	4,000.00	4.0
f MANNY I KORMAN	FID	GLW	B	10000	17.81	n/a	28-Jul	0.00	0.0
EVERCORE WEALTH MGMT	012	GR	B	8,450	73.35	n/a	4-Jun	422.50	5.0
EXIS CAPITAL	044	GYMB	SS	5,000	44.02	n/a	29-Jul	250.00	5.0
METROPOLITAN CAPITAL	044	GYMB	B	7,700	42.87	n/a	29-Jul	385.00	5.0
EPOCH INV MGMT	030	HAE	S	550	54.42	n/a	n/a	16.50	3.0
IMPALA ASSET MMT	012	HANS	S	5,000	41.49	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		HBI	B	40,000	24.91	n/a	22-Jul	non-brg	4.0
EPOCH INV MGMT	030	HXL	S	1,900	18.46	n/a	n/a	57.00	3.0
IMPALA ASSET MMT	012	H	S	1,062	37.80	n/a	n/a	42.48	4.0
IMPALA ASSET MMT	012	IEF	SS	52,000	95.71	n/a	n/a	2,600.00	5.0
B BUCK CAPITAL MGMT		IMAX	SS	30,000	14.96	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		JCP	C	60,000	24.92	n/a	29-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JWN	B	20,000	32.85	n/a	29-Jul	800.00	4.0
ß BUCK CAPITAL MGMT		JWN	B	15,000	33.05	n/a	29-Jul	non-brg	0.8
EXIS CAPITAL	044	JWN	B	5,000	32.75	n/a	29-Jul	250.00	5.0
ß BUCK CAPITAL MGMT		KKR	B	200	9.43	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		KKR	B	200	9.43	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		KKR	B	4,900	9.43	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		KKR	B	400	9.43	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		KKR	B	600	9.43	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		KMX	C	30,000	20.96	n/a	n/a	non-brg	4.0
SAC CHICAGO ANDREW	031	KR	S	25,000	21.18	n/a	n/a	875.00	3.5
COLUMBIA PARTNERS	028	K	S	71,869	48.58	n/a	n/a	2,874.76	4.0
TPG-AXON	040	K	C	48,000	49.59	n/a	n/a	2,400.00	5.0
NEUBERGER BERMAN	036	LAYN	S	5,900	25.57	n/a	n/a	236.00	4.0
f JOHN BARTMAN COLE	FID	LBY	B	350	11.50	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LTD	B	50,000	25.23	n/a	n/a	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	LVS	B	3,200	27.08	n/a	29-Jul	128.00	4.0
ß BUCK CAPITAL MGMT		LVS	SS	20,000	26.99	n/a	29-Jul	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	LVS	B	10000	26.68	n/a	29-Jul	0.00	0.0
ROYCE TRADING	012	LVS	B	1,800	26.49	n/a	29-Jul	90.00	5.0
EPOCH INV MGMT	030	MAKO	S	1,900	10.70	n/a	n/a	57.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IMPALA ASSET MMT	012	MEE	B	16,400	30.45	n/a	n/a	656.00	4.0
B BUCK CAPITAL MGMT		MNRO	B	15,000	38.84	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	MNRO	S	750	37.97	n/a	n/a	22.50	3.0
NEWLAND CAPITAL MGT	067	MOD	S	50,000	10.44	n/a	n/a	2,000.00	4.0
f CHRISTOPHER ROBERT C	FID	MON	S	100	58.56	n/a	1-Jul	0.00	0.0
ADAGE CAPITAL MGMT	030	M	S	210,828	18.96	n/a	29-Jul	6,324.84	3.0
B BUCK CAPITAL MGMT		NFLX	SS	10,000	97.51	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	NSR	S	1,500	24.04	n/a	n/a	45.00	3.0
HARBORWATCH/DIAMBACK	232	NUE	SS	20,000	39.95	n/a	n/a	1,000.00	5.0
f DAVID GEORGE BUCK	FID	NYB	B	3000	17.14	n/a	28-Jul	0.00	0.0
IRIDIAN ASSET MGMT.	036	ORCL	S	9,550	24.18	n/a	n/a	477.50	5.0
APEX CAPITAL MGMT.	261	ORLY	B	150,000	49.06	n/a	n/a	6,000.00	4.0
B BUCK CAPITAL MGMT		PAG	B	70,000	13.70	n/a	23-Jul	non-brg	4.0
EGS ASSOCIATES LP	091	PAG	B	10,000	13.76	n/a	23-Jul	600.00	6.0
f DAVID GEORGE BUCK	FID	PBCT	S	5000	13.85	n/a	27-Jul	0.00	0.0
TRUSTCO/SUNTRUST	040	PFE	S	136,640	15.10	n/a	n/a	5,328.99	3.9

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	PLCE	SS	5,000	44.96	n/a	29-Jul	250.00	5.0
METROPOLITAN CAPITAL	044	PLCE	B	7,700	43.45	n/a	29-Jul	385.00	5.0
f DAVID GEORGE BUCK	FID	PM	B	500	51.02	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	PSUN	S	22,100	4.09	n/a	n/a	442.00	2.0
FIRST NEW YORK NICK	029	Q	B	20,000	5.62	n/a	n/a	1,000.00	5.0
NEUBERGER BERMAN	036	RBN	S	20,400	23.83	n/a	n/a	816.00	4.0
f DAVID GEORGE BUCK	FID	RDSB	B	2000	53.89	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	RIG	SS	19,650	46.77	n/a	n/a	982.50	5.0
B BUCK CAPITAL MGMT		ROST	B	100,000	52.08	n/a	n/a	non-brg	4.0
ROTHSCHILD ASSET	040	RS	S	13,444	39.56	n/a	n/a	537.76	4.0
f DAVID GEORGE BUCK	FID	RYN	S	1500	50.28	n/a	19-Jul	0.00	0.0
VISIUM/BALY BOBBY	044	SBUX	B	10,000	24.71	n/a	22-Jul	500.00	5.0
B BUCK CAPITAL MGMT		SHOO	S	30,000	38.04	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SIG	S	10,000	29.92	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	S	300	38.14	n/a	n/a	0.00	0.0
MELLON/BANK OF NEW YORK	046	SKX	B	78,200	36.10	n/a	n/a	3,910.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BERMAN CAPITAL MGMT	046	SPY	S	30,000	111.65	n/a	n/a	900.00	3.0
f JOSEPH CIRO AMATURO	FID	SRI	S	13502	9.98	n/a	n/a	0.00	0.0
SAC CHICAGO ANDREW	031	SSI	B	8,200	10.87	n/a	n/a	287.00	3.5
EPOCH INV MGMT	030	SVR	S	2,700	22.26	n/a	n/a	81.00	3.0
1798 CAP/LOMBARD ASSET	040	SWC	B	27,000	13.95	n/a	n/a	1,350.00	5.0
SAC CHICAGO ANDREW	031	SWY	SS	50,000	20.13	n/a	n/a	1,750.00	3.5
DAVIDSON KEMPNER ADVISOR	040	SYMC	S	150,000	13.52	n/a	n/a	7,500.00	5.0
Õ Nilsa Vazquez		SYMC	S	500	13.20	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	TEN	S	200	25.73	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	TEN	SS	25,000	25.32	n/a	n/a	1,000.00	4.0
f MANNY I KORMAN	FID	TEVA	S	3000	47.11	n/a	29-Jul	0.00	0.0
S.A.C. HEALTHCARE BOBBY	044	TEVA	S	40,000	47.78	n/a	29-Jul	1,400.00	3.5
f DAVID GEORGE BUCK	FID	TGT	B	1000	51.71	n/a	29-Jul	0.00	0.0
B BUCK CAPITAL MGMT		TJX	B	20,000	40.55	n/a	29-Jul	non-brg	4.0
CASTLE ARK	031	TJX	S	182,876	40.83	n/a	29-Jul	9,143.80	5.0
TRUSTCO/SUNTRUST	040	TMO	S	32,220	44.99	n/a	n/a	1,256.58	3.9
FEDERATED INV/KAUFMAN	029	TNDM	S	50,000	10.65	n/a	n/a	2,750.01	5.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HUNTER GLOBAL INVESTOR	067	TROW	S	34,024	48.78	n/a	n/a	1,360.96	4.0
CASTLEROCK	040	TUP	SS	42,878	39.25	n/a	n/a	1,715.12	4.0
COBALT	041	TYC	S	133,807	36.89	n/a	29-Jul	6,690.35	5.0
MATRIX ASSET ADV.	036	TYC	S	5,500	36.98	n/a	29-Jul	220.00	4.0
TRUSTCO/SUNTRUST	040	T	S	102,850	26.05	n/a	n/a	4,011.15	3.9
T. ROWE PRICE	033	UFS	B	27,000	53.83	n/a	n/a	1,080.00	4.0
IMPALA ASSET MMT	012	UNP	B	8,400	74.47	n/a	n/a	420.00	5.0
IMPALA ASSET MMT	012	UNP	S	25,000	74.41	n/a	n/a	1,250.00	5.0
MERRILL ASSET/BLACKROCK	266	UN	B	107,600	29.38	n/a	n/a	4,304.00	4.0
B BUCK CAPITAL MGMT		URBN	B	15,000	31.83	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		URBN	B	20,000	31.84	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	USG	B	400	12.16	n/a	26-Jul	0.00	0.0
B BUCK CAPITAL MGMT		V	B	21,000	71.88	n/a	29-Jul	non-brg	0.8
f DAVID GEORGE BUCK	FID	V	S	15	1.08	n/a	29-Jul	0.00	0.0
GREEN ARROW DOUG	040	WEN	S	25,000	4.36	n/a	8-Jul	1,250.00	5.0
PEREGRINE	030	WRC	B	20,000	41.92	n/a	19-Jul	800.00	4.0
f DAVID GEORGE BUCK	FID	WY	S	2000	16.68	n/a	21-Jul	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SENATOR INVESTMENT GROUP	036	XOP	SS	12,500	40.36	n/a	n/a	375.00	3.0
JP MORGAN VENTURES	046	YUM	B	25,000	41.95	n/a	14-Jul	1,250.00	5.0
TOTAL (Trade Date):							163,242.42		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/28	JENNISON	032	CSCO	B	920,000	23.47	n/a	n/a	34040.00 3.7
AO,	07/28	COOPER CREEK PART	028	TIN	B	20,000	20.99	n/a	27-Jul	800.00 4.0
TOTAL (AO, CXL, CRT) :										34,840.00

TOTAL (Trade Date):	163,242.42
TOTAL (AO, CXL, CRT):	34,840.00

TOTAL (RUN DATE) / NFS:	198,082.42
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MRI Securities with Trade Activity on 29-Jul.
FDO

MRI Securities without Trade Activity on 29-Jul.
JNY, MYL, HSP

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 2%
YTD = 10%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)