



Daily Compliance Activity Report

Trade Date: 03/30/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JONATHAN RICHARD HAR	FID	AAPL	S	8	603.75	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	ABX	B	686	43.33	n/a	n/a	27.44	4.0
BESSEMER INVESTMENT	085	ACE	B	717	73.55	n/a	n/a	28.68	4.0
BESSEMER INVESTMENT	085	ACN	B	733	64.62	n/a	n/a	29.32	4.0
BESSEMER INVESTMENT	085	ADP	S	245	55.40	n/a	n/a	9.80	4.0
B BUCK CAPITAL MGMT		AEO	S	100,000	17.19	n/a	2-Dec	non-brg	4.0
BESSEMER INVESTMENT	085	AEP	S	490	38.49	n/a	n/a	19.60	4.0
PATTEN & PATTEN	025	AGG	B	500	110.03	n/a	n/a	25.00	5.0
BESSEMER INVESTMENT	085	AGN	S	185	95.34	n/a	29-Mar	7.40	4.0
BESSEMER INVESTMENT	085	AMT	S	235	62.80	n/a	n/a	9.40	4.0
COHE & STEERS	041	AMT	B	30,560	62.87	n/a	n/a	1,222.40	4.0
SCOPUS FUND	041	ANF	S	66,400	49.80	n/a	16-Feb	2,656.00	4.0
BESSEMER INVESTMENT	085	ATI	S	350	41.11	n/a	26-Mar	14.00	4.0
f REUBEN D DVORETSKY	FID	ATK	B	40	50.25	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	A	S	522	44.51	n/a	n/a	20.88	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	BAC	S	975	9.53	n/a	26-Mar	39.00	4.0
DAVIDSON INV ADV	035	BAX	B	32,960	60.17	n/a	n/a	1,318.40	4.0
B BUCK CAPITAL MGMT		BBBY	S	30,000	66.28	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BBBY	S	30,000	66.30	n/a	n/a	non-brg	4.0
SENATOR INVESTMENT GROUP	036	BMS	SS	135,000	32.41	n/a	n/a	4,050.00	3.0
BESSEMER INVESTMENT	085	BMV	S	490	33.81	n/a	n/a	19.60	4.0
BESSEMER INVESTMENT	085	BRCM	S	380	39.30	n/a	n/a	15.20	4.0
NWQ INVESTMENT MGMT CO	085	CA	S	21,800	27.56	n/a	n/a	872.00	4.0
SUFFOLK CAPITAL MGMT	040	CBS	S	49,800	33.05	n/a	n/a	1,992.00	4.0
BESSEMER INVESTMENT	085	CERN	S	270	76.20	n/a	n/a	10.80	4.0
BESSEMER INVESTMENT	085	CMCSA	B	459	29.95	n/a	n/a	18.36	4.0
NOMURA CAPITAL	029	CMLS	S	100	3.55	n/a	n/a	3.00	3.0
BRONSON POINT	036	CNX	B	15,000	34.17	n/a	n/a	450.00	3.0
BESSEMER INVESTMENT	085	COP	B	493	76.06	n/a	n/a	19.72	4.0
BESSEMER INVESTMENT	085	CRUS	B	600	23.94	n/a	n/a	24.00	4.0
BESSEMER INVESTMENT	085	CTL	B	1,103	38.71	n/a	n/a	44.12	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	CVE	S	360	35.98	n/a	n/a	14.40	4.0
BESSEMER INVESTMENT	085	CVS	B	655	44.94	n/a	n/a	26.20	4.0
f DAVID GEORGE BUCK	FID	C	S	15	0.55	n/a	27-Mar	0.00	0.0
f KAREN F LEDDY	FID	DECK	B	200	63.17	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	DELL	S	245	16.65	n/a	n/a	9.80	4.0
KAM ADVISORS LLC	041	DE	B	26,000	81.13	n/a	23-Feb	780.00	3.0
BESSEMER INVESTMENT	085	DHR	S	165	55.97	n/a	n/a	6.60	4.0
BESSEMER INVESTMENT	085	DIS	S	185	43.77	n/a	n/a	7.40	4.0
f JOSEPH CIRO AMATURO	FID	DRYS	S	10000	3.51	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	DTE	B	284	55.11	n/a	n/a	11.36	4.0
f DAVID GEORGE BUCK	FID	DVN	S	1000	71.28	n/a	1-Mar	0.00	0.0
BRONSON POINT	036	EAT	SS	10,000	27.38	n/a	25-Jan	300.00	3.0
CASTLE ARK	031	EMC	B	305,760	29.82	n/a	n/a	15,288.00	5.0
BESSEMER INVESTMENT	085	ESRX	S	185	53.96	n/a	n/a	7.40	4.0
OSKIE CAPITAL MGMT	224	ESRX	B	25,000	53.86	n/a	n/a	1,250.00	5.0
f KAREN F LEDDY	FID	ESSX	B	28	3.70	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TUDOR INVESTORS	028	ETN	S	10,000	49.56	n/a	23-Mar	300.00	3.0
BESSEMER INVESTMENT	085	EXC	B	1,012	39.18	n/a	n/a	40.48	4.0
BESSEMER INVESTMENT	085	FCX	B	503	37.88	n/a	n/a	20.12	4.0
RBC CAPITAL MARKETS	040	FDO	B	15,400	62.13	n/a	29-Mar	616.00	4.0
BESSEMER INVESTMENT	085	FE	B	1,087	45.58	n/a	n/a	43.48	4.0
B BUCK CAPITAL MGMT		FINL	B	23,000	22.39	n/a	16-Feb	non-brg	4.0
f IAN L MILLER	FID	FINL	B	50	21.53	n/a	16-Feb	0.00	0.0
B BUCK CAPITAL MGMT		FL	S	30,000	30.94	n/a	7-Mar	non-brg	4.0
OZ MGMT	040	F	S	100,000	12.46	n/a	12-Mar	3,000.00	3.0
BESSEMER INVESTMENT	085	GD	B	285	73.46	n/a	14-Feb	11.40	4.0
BESSEMER INVESTMENT	085	GE	S	735	20.08	n/a	n/a	29.40	4.0
f DAVID GEORGE BUCK	FID	GIS	B	500	39.52	n/a	n/a	0.00	0.0
NWQ INVESTMENT MGMT CO	085	GNW	S	27,700	8.31	n/a	n/a	831.00	3.0
BESSEMER INVESTMENT	085	GS	S	165	124.88	n/a	26-Mar	6.60	4.0
FORTRESS INVESTMENT (US)	036	GT	B	64,000	11.23	n/a	n/a	1,920.00	3.0
BESSEMER INVESTMENT	085	HAL	S	295	33.26	n/a	n/a	11.80	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	HDV	B	2,000	57.18	n/a	n/a	100.00	5.0
BESSEMER INVESTMENT	085	HD	S	310	50.27	n/a	21-Feb	12.40	4.0
KAM ADVISORS LLC	041	HD	C	21,000	50.21	n/a	21-Feb	630.00	3.0
NWQ INVESTMENT MGMT CO	085	HIG	S	18,400	21.09	n/a	n/a	736.00	4.0
f REUBEN D DVORETSKY	FID	HII	S	50	40.25	n/a	n/a	0.00	0.0
OSKIE CAPITAL MGMT	224	HOS	S	24,923	42.44	n/a	n/a	1,246.15	5.0
CAMBIAR CAP	046	HSC	B	81,380	23.47	n/a	23-Mar	3,255.20	4.0
BESSEMER INVESTMENT	085	IBM	B	279	208.88	n/a	n/a	11.16	4.0
BESSEMER INVESTMENT	085	INTC	S	490	28.16	n/a	n/a	19.60	4.0
ARSENAL ASSET MANAGEMENT	028	IP	SS	15,000	34.80	n/a	2-Feb	600.00	4.0
FORTRESS INVESTMENT (US)	036	IP	SS	14,000	35.12	n/a	2-Feb	560.00	4.0
BESSEMER INVESTMENT	085	IRM	S	185	28.82	n/a	n/a	7.40	4.0
TUDOR INVESTORS	028	ITW	SS	5,000	57.17	n/a	1-Feb	150.00	3.0
B BUCK CAPITAL MGMT		JBHT	C	9,000	54.65	n/a	n/a	non-brg	4.0
BALTHAZAR CAPITAL PARTNERS LTD	085	JCP	SS	15,000	35.44	n/a	27-Mar	900.00	6.0
BESSEMER INVESTMENT	085	JNJ	B	1,036	66.14	n/a	n/a	41.44	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON INV ADV	035	JNJ	B	13,025	66.14	n/a	n/a	521.00	4.0
⌘ BUCK CAPITAL MGMT		JNY	B	100,000	12.17	n/a	9-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JNY	B	100,000	12.28	n/a	9-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JNY	B	100,000	12.32	n/a	9-Feb	non-brg	4.0
ELM RIDGE ADVISORS	044	JNY	S	100,000	12.21	n/a	9-Feb	4,000.00	4.0
BESSEMER INVESTMENT	085	JPM	B	100	45.95	n/a	26-Mar	4.00	4.0
BESSEMER INVESTMENT	085	JPM	S	295	45.84	n/a	26-Mar	11.80	4.0
f ALEX JOSEPH DEFILIPP	FID	KEY	S	100	8.38	n/a	n/a	0.00	0.0
f VICTORIA JEAN DEFILI	FID	KEY	S	100	8.39	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	KLAC	S	245	54.54	n/a	n/a	9.80	4.0
SIMMONS VENTURES	041	KSS	B	5,000	50.00	n/a	30-Mar	200.00	4.0
THE JAY GOLDMAN	028	KSS	S	15,000	50.08	n/a	30-Mar	600.00	4.0
CATAPULT	040	KSU	B	10,292	71.97	n/a	n/a	411.68	4.0
f ALEX JOSEPH DEFILIPP	FID	LIZ	B	100	11.94	n/a	7-Oct	0.00	0.0
⌘ BUCK CAPITAL MGMT		LIZ	B	4,200	11.93	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	50,000	13.24	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	50,000	13.60	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	50,000	13.60	n/a	7-Oct	non-brg	4.0
f JILL ROSENBERG	FID	LIZ	B	100	11.94	n/a	7-Oct	0.00	0.0
f VICTORIA JEAN DEFILI	FID	LIZ	B	100	11.94	n/a	7-Oct	0.00	0.0
BESSEMER INVESTMENT	085	LLY	S	120	40.28	n/a	n/a	4.80	4.0
BESSEMER INVESTMENT	085	LOW	B	1,175	31.43	n/a	28-Feb	47.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	LOW	S	430	31.42	n/a	28-Feb	17.20	4.0
SCOPUS FUND	041	LPX	SS	25,000	9.40	n/a	7-Feb	1,000.00	4.0
BESSEMER INVESTMENT	085	MCHP	S	205	37.26	n/a	n/a	8.20	4.0
BESSEMER INVESTMENT	085	MET	S	49	37.33	n/a	n/a	1.96	4.0
BESSEMER INVESTMENT	085	MGA	B	484	47.67	n/a	n/a	19.36	4.0
BESSEMER INVESTMENT	085	MHP	B	992	48.26	n/a	n/a	39.68	4.0
BESSEMER INVESTMENT	085	MHS	S	637	70.14	n/a	n/a	25.48	4.0
BESSEMER INVESTMENT	085	MMC	S	235	32.81	n/a	n/a	9.40	4.0
BESSEMER INVESTMENT	085	MON	S	185	79.88	n/a	23-Mar	7.40	4.0
BESSEMER INVESTMENT	085	MRK	S	195	38.31	n/a	n/a	7.80	4.0
BESSEMER INVESTMENT	085	MRO	B	480	31.95	n/a	n/a	19.20	4.0
ROSEDALE CAPITAL	046	MRVL	S	20,000	15.76	n/a	n/a	800.00	4.0
BESSEMER INVESTMENT	085	MSFT	B	1,104	32.26	n/a	n/a	44.16	4.0
BESSEMER INVESTMENT	085	MSFT	S	1,130	32.35	n/a	n/a	45.20	4.0
MATRIX ASSET ADV.	091	MSFT	S	2,000	32.25	n/a	n/a	80.00	4.0
B BUCK CAPITAL MGMT		MSMSBCM	C	1,157,516	111.37	n/a	n/a	non-brg	0.2
B BUCK CAPITAL MGMT		MSMSBCM	C	1,705,418	111.37	n/a	n/a	non-brg	0.2

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		MSMSBCM	SS	1,180,883	111.37	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		MSMSBCM	SS	1,739,845	111.37	n/a	n/a	non-brg	0.0
BARBARA S LEDDY	FID	MTW	B	400	13.83	n/a	2-Feb	0.00	0.0
JONATHAN RICHARD HAR	FID	MTW	B	430	13.93	n/a	2-Feb	0.00	0.0
KAREN F LEDDY	FID	MTW	B	2000	13.79	n/a	2-Feb	0.00	0.0
THOMAS MACTAGGART LE	FID	MTW	B	100	13.85	n/a	2-Feb	0.00	0.0
CYRUS CAPITAL	025	MWV	B	10,000	31.68	n/a	21-Mar	400.00	4.0
BUCK CAPITAL MGMT		MW	C	30,000	39.26	n/a	n/a	non-brg	2.5
BESSEMER INVESTMENT	085	MXIM	S	185	28.66	n/a	n/a	7.40	4.0
BESSEMER INVESTMENT	085	M	B	397	40.08	n/a	30-Mar	15.88	4.0
THE JAY GOLDMAN	028	M	B	25,000	39.82	n/a	30-Mar	1,000.00	4.0
SONTERRA CAP	041	NBR	S	30,000	17.47	n/a	n/a	1,200.00	4.0
BESSEMER INVESTMENT	085	NEE	S	320	60.93	n/a	n/a	12.80	4.0
BESSEMER INVESTMENT	085	NEM	B	371	50.99	n/a	n/a	14.84	4.0
BUCK CAPITAL MGMT		NKE	B	10,000	108.71	n/a	23-Mar	non-brg	4.0
BUCK CAPITAL MGMT		NKE	B	18,200	108.69	n/a	23-Mar	non-brg	4.0
BUCK CAPITAL MGMT		NKE	B	40,000	108.78	n/a	23-Mar	non-brg	4.0
BESSEMER INVESTMENT	085	NLSN	S	375	30.14	n/a	n/a	15.00	4.0
BESSEMER INVESTMENT	085	ORCL	S	450	29.09	n/a	n/a	18.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KAM ADVISORS LLC	041	PCAR	SS	44,500	46.80	n/a	29-Mar	1,335.00	3.0
DAVIDSON INV ADV	035	PEP	B	35,250	66.40	n/a	n/a	1,410.00	4.0
DAVIDSON INV ADV	035	PFE	B	87,775	22.64	n/a	n/a	3,511.00	4.0
NWQ INVESTMENT MGMT CO	085	PFE	S	23,600	22.63	n/a	n/a	944.00	4.0
BESSEMER INVESTMENT	085	PFQ	S	557	29.46	n/a	n/a	22.28	4.0
BESSEMER INVESTMENT	085	PGN	S	265	53.01	n/a	n/a	10.60	4.0
BESSEMER INVESTMENT	085	PGR	S	415	23.16	n/a	n/a	16.60	4.0
B BUCK CAPITAL MGMT		PII	S	12,500	72.24	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	PRU	S	50	63.32	n/a	n/a	2.00	4.0
CAMBIAR CAP	046	PRX	S	44,540	38.94	n/a	26-Mar	1,781.60	4.0
PATTEN & PATTEN	025	QQQ	S	2,000	67.64	n/a	n/a	100.00	5.0
NOMURA CAPITAL	029	QUAD	S	400	14.20	n/a	n/a	12.00	3.0
f PATRICK O'GRADY	FID	REVV	B	7500	0.06	n/a	n/a	0.00	0.0
f JILL ROSENBERG	FID	RF	S	250	6.47	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	RKT	B	2,000	66.43	n/a	19-Mar	60.00	3.0
BESSEMER INVESTMENT	085	RSG	S	290	30.58	n/a	n/a	11.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BRONSON POINT	036	RSH	SS	46,300	6.21	n/a	n/a	1,389.00	3.0
COHE & STEERS	041	RYN	S	86,322	44.30	n/a	26-Jan	3,452.88	4.0
BESSEMER INVESTMENT	085	SCHW	S	860	14.31	n/a	n/a	34.40	4.0
BESSEMER INVESTMENT	085	SGEN	S	835	20.46	n/a	n/a	33.40	4.0
B BUCK CAPITAL MGMT		SHOO	S	10,000	42.86	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	SLB	S	300	70.15	n/a	n/a	12.00	4.0
COLUMBUS CIRCLE	036	SNCR	S	5,069	31.73	n/a	n/a	202.76	4.0
BESSEMER INVESTMENT	085	SNI	S	165	48.89	n/a	n/a	6.60	4.0
PATTEN & PATTEN	025	SPY	S	500	140.70	n/a	n/a	25.00	5.0
SENATOR INVESTMENT GROUP	036	SPY	SS	312,500	140.87	n/a	n/a	9,375.00	3.0
BESSEMER INVESTMENT	085	TGT	B	470	58.29	n/a	1-Mar	18.80	4.0
NWQ INVESTMENT MGMT CO	085	TLM	S	30,900	12.60	n/a	n/a	1,236.00	4.0
BESSEMER INVESTMENT	085	UNH	B	664	59.10	n/a	n/a	26.56	4.0
NWQ INVESTMENT MGMT CO	085	UNM	S	14,000	24.49	n/a	n/a	560.00	4.0
FORTRESS INVESTMENT (US)	036	UNP	S	9,000	107.82	n/a	n/a	360.00	4.0
BESSEMER INVESTMENT	085	UN	B	465	34.02	n/a	n/a	18.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	URBN	S	205	29.27	n/a	n/a	8.20	4.0
BESSEMER INVESTMENT	085	UTX	S	175	82.92	n/a	n/a	7.00	4.0
NEWLAND CAPITAL MGT	025	VLO	B	25,000	25.60	n/a	n/a	1,000.00	4.0
f KAREN F LEDDY	FID	VRA	B	500	29.99	n/a	n/a	0.00	0.0
OSKIE CAPITAL MGMT	224	VXX	S	30,000	16.82	n/a	n/a	1,500.00	5.0
BESSEMER INVESTMENT	085	WFC	S	143	33.97	n/a	26-Mar	5.72	4.0
BESSEMER INVESTMENT	085	WFT	S	310	15.07	n/a	n/a	12.40	4.0
SENATOR INVESTMENT GROUP	036	WMT	SS	50,000	61.02	n/a	22-Feb	1,500.00	3.0
f JONATHAN RICHARD HAR	FID	WNC	B	193	10.38	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	WU	B	750	17.83	n/a	n/a	30.00	4.0
BESSEMER INVESTMENT	085	WU	S	420	17.95	n/a	n/a	16.80	4.0
PATTEN & PATTEN	025	XLV	B	1,000	37.63	n/a	n/a	50.00	5.0
BESSEMER INVESTMENT	085	XOM	S	245	86.58	n/a	n/a	9.80	4.0
BESSEMER INVESTMENT	085	ZMH	S	195	64.10	n/a	n/a	7.80	4.0
TOTAL (Trade Date) :								86,481.35	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/29	ROSEDALE CAPITAL	046	BAC	B	25,000	9.49	n/a	26-Mar	750.00 3.0
AO,CXL	03/29	ROSEDALE CAPITAL	046	BAC	B	25,000	9.49	n/a	26-Mar	1000.00 4.0
AO,CRT	03/26	ROTHSCHILD ASSET	040	HL	S	5,010	4.68	n/a	n/a	200.40 4.0
AO,CXL	03/26	ROTHSCHILD ASSET	040	HL	S	5,010	4.68	n/a	n/a	200.40 4.0
TOTAL (AO, CXL, CRT) :										-250.00

TOTAL (Trade Date) :	86,481.35
TOTAL (AO, CXL, CRT) :	-250.00

TOTAL (RUN DATE) / NFS :	86,231.35
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 30-Mar.
NONE

MRI Securities without Trade Activity on 30-Mar.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)