



Daily Compliance Activity Report

Trade Date: 07/07/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		973 HK	S	500,000	2.16	n/a	n/a	non-brg	0.4
BUCK CAPITAL MGMT		ABG	S	32,270	10.03	n/a	7-Jul	non-brg	4.0
BUCK CAPITAL MGMT		ABG	S	35,000	9.96	n/a	7-Jul	non-brg	3.0
JASON ERIC COHEN	FID	AMT	S	60	45.78	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	AVGO	B	20,000	21.48	n/a	n/a	1,000.00	5.0
EDWARD W WHEELER	FID	AVY	S	3000	33.14	n/a	29-Jun	0.00	0.0
ABRAM M CHAPPELL	FID	AXL	B	275	7.36	n/a	7-Jul	0.00	0.0
SAXON WOODS ADVISORS	242	BEBE	B	200,000	6.82	n/a	n/a	6,000.00	3.0
MTB INV ADV	034	BID	S	6,225	23.34	n/a	n/a	311.25	5.0
BUCK CAPITAL MGMT		BJ	SS	10,000	42.71	n/a	n/a	non-brg	4.0
ROYCE TRADING	012	BKD	S	500	14.09	n/a	n/a	25.00	5.0
FIRST NEW YORK LEDDY	035	BSX	B	15,000	6.04	n/a	n/a	600.00	4.0
CAPITAL GROWTH MGMT.	241	BWA	B	30,000	37.75	n/a	30-Apr	1,500.00	5.0
SCOPUS FUND	041	BWA	B	25,000	37.96	n/a	30-Apr	1,250.00	5.0
ROYCE TRADING	012	BWS	S	12,000	13.83	n/a	n/a	600.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ABRAM M CHAPPELL	FID	BZ	S	400	5.05	n/a	n/a	0.00	0.0
ROYCE TRADING	012	BZ	S	8,000	4.92	n/a	n/a	240.00	3.0
BNP PARIBAS SEC	040	CAT	S	5,000	61.13	n/a	12-May	200.00	4.0
BROADARCH CAP/OLD NEWC	070	CBE	B	3,200	44.16	n/a	27-May	128.00	4.0
f JASON ERIC COHEN	FID	CCI	S	90	37.70	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	CFSG	S	17,793	8.44	n/a	n/a	533.79	3.0
SCOUT/UMB	047	CF	B	13,325	69.69	n/a	n/a	666.25	5.0
SCMB	047	CF	B	1,675	69.69	n/a	n/a	83.75	5.0
BUCK CAPITAL MGMT	001	CHS	B	50,000	10.21	n/a	5-Feb	2,000.00	4.0
JP MORGAN VENTURES	046	CLF	B	20,000	48.13	n/a	n/a	1,000.00	5.0
THE JAY GOLDMAN	028	CMG	SS	10,000	134.96	n/a	22-Apr	400.00	4.0
EPOCH INV MGMT	030	CMS	S	7,500	15.03	n/a	n/a	225.00	3.0
B BUCK CAPITAL MGMT		CNW	B	13,000	30.31	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	CNW	S	1,350	30.00	n/a	n/a	40.50	3.0
B BUCK CAPITAL MGMT		COH	S	30,000	34.82	n/a	14-May	non-brg	4.0
SONICA CAPITAL LLC	025	COST	SS	5,500	54.23	n/a	n/a	165.00	3.0
MERRILL ASSET/BLACKROCK	266	CRR	S	4,500	79.95	n/a	6-Jul	180.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	012	CR	S	10,000	29.24	n/a	n/a	500.00	5.0
COBALT	041	CSCO	B	100,000	22.06	n/a	n/a	5,000.00	5.0
ROYCE TRADING	012	CTS	S	5,000	9.05	n/a	n/a	250.00	5.0
SANDLER O'NEILL ASSET	031	C	B	50,000	3.90	n/a	6-Jul	1,000.00	2.0
B BUCK CAPITAL MGMT		DAL	B	20,000	11.62	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DBRN	B	20,000	24.57	n/a	n/a	non-brg	4.0
MTB INV ADV	034	DHX	S	5,000	6.85	n/a	n/a	150.00	3.0
PARTNER REINSURANCE	033	DLTR	B	11,800	41.62	n/a	6-Jul	472.00	4.0
SCOUT/UMB	047	DLTR	S	37,650	41.50	n/a	6-Jul	1,882.50	5.0
SCMB	047	DLTR	S	5,350	41.50	n/a	6-Jul	267.50	5.0
EPOCH INV MGMT	030	DRC	S	2,050	31.11	n/a	n/a	61.50	3.0
B BUCK CAPITAL MGMT		EBAY	S	30,000	19.54	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	ETN	B	15,000	64.71	n/a	27-May	600.00	4.0
f SCOTT L BRUNNER	FID	FAZ	B	1500	17.23	n/a	n/a	0.00	0.0
ADAGE CAPITAL MGMT	030	FDO	B	200,000	36.85	n/a	7-Jul	6,000.00	3.0
SCOUT/UMB	047	FDO	S	21,125	36.14	n/a	7-Jul	1,056.25	5.0
SCMB	047	FDO	S	2,875	36.14	n/a	7-Jul	143.75	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		FINL	B	20,000	14.52	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	FL	B	20,000	12.98	n/a	16-Jun	800.00	4.0
WOOSTER CAPITAL	035	F	B	50,000	10.47	n/a	7-Jul	2,500.00	5.0
B BUCK CAPITAL MGMT		GCO	B	22,400	25.33	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	GCO	B	400	25.62	n/a	n/a	0.00	0.0
ROYCE TRADING	012	GCO	S	10,000	25.05	n/a	n/a	500.00	5.0
ROYCE TRADING	012	GDI	S	5,500	44.58	n/a	n/a	275.00	5.0
BNP PARIBAS SEC	040	GE	S	18,000	14.33	n/a	n/a	720.00	4.0
ROYCE TRADING	012	GFF	S	5,000	10.49	n/a	n/a	250.00	5.0
SONTERRA CAP	041	GGB	SS	100,000	13.50	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		GIII	B	10,000	22.72	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT	001	HBI	B	20,000	24.31	n/a	14-May	800.00	4.0
B BUCK CAPITAL MGMT		HMB SS	SS	20,000	28.61	n/a	n/a	non-brg	4.3
CIRCLE T/SES PART	035	HTZ	S	5,000	9.34	n/a	n/a	200.00	4.0
EPOCH INV MGMT	030	ICON	S	6,700	13.67	n/a	n/a	201.00	3.0
WHITE PINE CAPITAL	030	IFF	S	1,725	42.97	n/a	10-May	86.25	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	ISBC	S	9,300	12.89	n/a	n/a	279.00	3.0
BUCK CAPITAL MGMT	001	JAH	B	20,000	26.87	n/a	n/a	800.00	4.0
f JASON ERIC COHEN	FID	JBLU	B	350	5.56	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	B	30,000	14.80	n/a	14-May	1,200.00	4.0
ß BUCK CAPITAL MGMT		JOSB	B	40,000	54.04	n/a	n/a	non-brg	4.0
BENCHMARK	025	JWN	B	2,500	33.17	n/a	30-Jun	125.00	5.0
LOMBARDIA CAP MGMT	048	KCG	B	11,803	13.54	n/a	n/a	565.59	4.9
ß BUCK CAPITAL MGMT		KNX	S	20,000	20.17	n/a	n/a	non-brg	4.0
THE JAY GOLDMAN	028	KSS	SS	10,000	48.53	n/a	30-Jun	400.00	4.0
f MANNY I KORMAN	FID	LMI	S	5245	27.61	n/a	n/a	0.00	0.0
T. ROWE PRICE	033	LPX	B	159,427	6.72	n/a	29-Jun	3,188.54	2.0
ß BUCK CAPITAL MGMT		LTD	B	30,000	23.25	n/a	n/a	non-brg	4.0
THE JAY GOLDMAN	028	MCD	B	22,500	66.23	n/a	8-Jun	900.00	4.0
JET CAPITAL/CHRIS	041	MDR	B	50,000	22.73	n/a	n/a	1,500.00	3.0
JET CAPITAL/CHRIS	041	MDR	S	25,000	23.03	n/a	n/a	750.00	3.0
BUCK CAPITAL MGMT	001	MFB	B	10,000	19.12	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f BARBARA S LEDDY	FID	MGA	B	150	64.48	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	MGA	B	550	64.46	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MSMSRAWW	SS	254,245	81.73	n/a	n/a	non-brg	8.2
Õ Ravi Sadarangani		MTW	B	200	9.32	n/a	30-Apr	0.00	0.0
Õ Ravi Sadarangani		MTW	B	500	9.32	n/a	30-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		MVRX	SS	125,220	164.43	n/a	n/a	non-brg	16.4
DELTEC ASSET MGMT.	040	M	B	10,000	17.62	n/a	30-Jun	500.00	5.0
SAC CHICAGO ANDREW	031	M	B	25,000	17.54	n/a	30-Jun	875.00	3.5
ß BUCK CAPITAL MGMT		NFLX	SS	30,000	111.79	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	NWY	B	20,000	2.36	n/a	n/a	600.00	3.0
AELTUS/ING IVESTMENT MGT	215	OMX	B	33,800	13.00	n/a	n/a	1,183.00	3.5
ß BUCK CAPITAL MGMT		PAG	S	42,243	11.09	n/a	3-May	non-brg	4.0
LAGRANGE CAP ADV	048	PAG	B	12,435	11.10	n/a	3-May	621.75	5.0
ß BUCK CAPITAL MGMT		PHM	S	258,300	8.47	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		PRGO	SS	10,000	56.06	n/a	6-Jul	non-brg	4.0
Õ Ravi Sadarangani		PTEN	S	100	14.29	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PVH	B	20,000	44.25	n/a	26-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DELTEC ASSET MGMT.	040	PVH	B	3,000	44.08	n/a	26-May	150.00	5.0
⚡ BUCK CAPITAL MGMT		QKLS	S	25,000	3.96	n/a	n/a	non-brg	3.0
LOMBARDIA CAP MGMT	048	RHB	B	10,202	20.51	n/a	n/a	489.44	4.9
Õ Ravi Sadarangani		RMBS	S	100	17.73	n/a	n/a	0.00	0.0
SCOUT/UMB	047	RPM	S	54,900	17.89	n/a	n/a	1,647.00	3.0
BNP PARIBAS SEC	040	RTN	S	5,000	48.07	n/a	23-Apr	200.00	4.0
MFP INVESTORS	085	RUTH	B	7,500	3.80	n/a	n/a	225.00	3.0
⚡ BUCK CAPITAL MGMT		RYL	B	65,000	16.72	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	SCSC	B	6000	23.83	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SHOO	B	10,000	31.97	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		SIG	S	50,000	27.57	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SKX	B	10,000	37.04	n/a	n/a	non-brg	4.0
LOMBARDIA CAP MGMT	048	SKYW	S	11,965	11.43	n/a	n/a	574.03	4.9
GREEN ARROW DOUG	040	SPLS	B	25,000	19.22	n/a	n/a	1,250.00	5.0
EMERSON CAPITAL MGMT	048	SWM	S	6,100	49.64	n/a	n/a	305.00	5.0
f MARK DEVEREAUX KAICH	FID	TA	S	1000	2.13	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TEX	S	100,320	17.68	n/a	30-Apr	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	TGT	B	2,000	50.23	n/a	30-Jun	80.00	4.0
SIGMA CAPITAL ASSOCIATES	041	TGT	SS	25,000	49.79	n/a	30-Jun	875.00	3.5
ß BUCK CAPITAL MGMT		TOL	B	66,000	16.50	n/a	n/a	non-brg	4.0
f JASON ERIC COHEN	FID	TOL	B	120	16.20	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	UNT	S	8,100	41.02	n/a	6-Jul	405.00	5.0
LOMBARDIA CAP MGMT	048	UTEK	S	17,722	15.72	n/a	n/a	850.20	4.9
WHITE PINE CAPITAL	030	WDR	S	1,100	23.24	n/a	2-Mar	55.00	5.0
ß BUCK CAPITAL MGMT		WNC	B	50,000	6.60	n/a	n/a	non-brg	3.0
Õ Ravi Sadarangani		WNC	B	1000	6.89	n/a	n/a	0.00	0.0
Õ Ravi Sadarangani		WNC	B	100	6.89	n/a	n/a	0.00	0.0
Õ Ravi Sadarangani		WNC	B	100	6.91	n/a	n/a	0.00	0.0
Õ Ravi Sadarangani		WNC	B	100	6.91	n/a	n/a	0.00	0.0
Õ Ravi Sadarangani		WNC	B	500	6.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WWW	B	10,000	25.32	n/a	n/a	400.00	4.0
COHE & STEERS	041	WY	S	257,226	35.36	n/a	28-Jun	10,289.04	4.0
ß BUCK CAPITAL MGMT		XLP	C	1,058,600	25.90	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XLY	C	1,385,180	29.61	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XLY	C	400,000	29.37	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		XRT	SS	610,342	35.96	n/a	n/a	non-brg	2.0
f EDWARD W WHEELER	FID	ZINC	S	20000	8.34	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	ZLC	S	3500	1.37	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							76,546.88		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/06	COOPER CREEK PART	028	AXL	B	25,000	7.29	n/a	7-Jul	750.00 3.0
AO,CXL	07/06	COOPER CREEK PART	028	AXL	B	25,000	7.29	n/a	7-Jul	1250.00 5.0
AO,	07/06	CASTLEROCK	040	DECK	B	29,496	44.32	n/a	n/a	1179.84 4.0
AO,CXL	07/02	SENVEST PART	085	FOR	S	50,000	17.70	n/a	28-Jun	2500.00 5.0
AO,CRT	07/02	SENVEST PART	085	FOR	S	50,000	17.70	n/a	28-Jun	2500.00 5.0
AO,CRT	07/06	EXIS CAPITAL	044	JAH	S	1,900	26.50	n/a	n/a	95.00 5.0
AO,CXL	07/06	EXIS CAPITAL	044	JAH	S	1,900	26.50	n/a	n/a	95.00 5.0
TOTAL (AO, CXL, CRT) :										679.84

TOTAL (Trade Date):	76,546.88
TOTAL (AO, CXL, CRT):	679.84

TOTAL (RUN DATE) / NFS:	77,226.72
--------------------------------	------------------

MRI Securities with Trade Activity on 7-Jul. NONE

MRI Securities without Trade Activity on 7-Jul. NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 4%

YTD = 10%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)