



Daily Compliance Activity Report

Trade Date: 07/01/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MICHAEL MARRANO	FID	AAGPX	B	957	15.36	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	AAPL	B	100	249.84	n/a	n/a	4.00	4.0
B BUCK CAPITAL MGMT		ABG	S	20,000	9.94	n/a	4-May	non-brg	3.0
f JONATHAN RICHARD HAR	FID	ACUZ	S	3000	1.19	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	ADSK	B	400	24.21	n/a	n/a	16.00	4.0
f MICHAEL MARRANO	FID	AEGFX	B	259	33.92	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	AEMGX	B	368	15.75	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	AIIEX	B	216	22.72	n/a	n/a	0.00	0.0
NWQ INVESTMENT MGMT CO	048	AIN	S	11,300	15.54	n/a	n/a	452.00	4.0
f MICHAEL MARRANO	FID	ALSRX	B	217	21.63	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	AMZN	B	200	110.56	n/a	n/a	8.00	4.0
B BUCK CAPITAL MGMT		ANN	B	20,000	16.42	n/a	n/a	non-brg	4.0
GREEN ARROW DOUG	040	ANN	B	21,700	16.39	n/a	n/a	1,085.00	5.0
f EDWARD W WHEELER	FID	ARM	B	10000	12.66	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	S	10,000	29.74	n/a	30-Jun	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MICHAEL MARRANO	FID	ARTKX	B	133	21.73	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	ARTQX	B	349	16.92	n/a	n/a	0.00	0.0
NWQ INVESTMENT MGMT CO	048	ARW	B	2,900	22.17	n/a	n/a	116.00	4.0
B BUCK CAPITAL MGMT		ATVI	S	50,000	10.35	n/a	n/a	non-brg	4.0
f MICHAEL MARRANO	FID	AVPAX	B	311	15.11	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AXL	B	60,000	6.99	n/a	3-May	non-brg	0.8
f KEVIN MICHAEL O'GORM	FID	AXL	S	4000	6.82	n/a	3-May	0.00	0.0
MILLRACE FUND LP	028	AXL	B	10,000	6.79	n/a	3-May	300.00	3.0
ROSEDALE CAPITAL	046	AXL	B	50,000	6.72	n/a	3-May	2,500.00	5.0
SCHOTTENFELD ASSOC	041	AXL	B	11,678	6.87	n/a	3-May	350.34	3.0
f JONATHAN RICHARD HAR	FID	BAC	S	700	14.09	n/a	25-Jun	0.00	0.0
f MICHAEL MARRANO	FID	BAC	S	400	13.65	n/a	25-Jun	0.00	0.0
PARTNER REINSURANCE	033	BBBY	B	13,050	36.72	n/a	n/a	522.00	4.0
B BUCK CAPITAL MGMT		BEBE	B	16,124	6.55	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		BEBE	B	16,124	6.55	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		BEBE	B	3,876	6.49	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		BEBE	B	3,876	6.49	n/a	n/a	non-brg	3.0
f JONATHAN RICHARD HAR	FID	BEE	S	1000	4.41	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	BIDU	B	700	68.13	n/a	n/a	28.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	BJ	SS	40,000	41.67	n/a	n/a	1,600.00	4.0
ROYCE TRADING	012	BKD	S	5,000	14.88	n/a	n/a	250.00	5.0
NWQ INVESTMENT MGMT CO	048	BKI	S	4,900	10.05	n/a	n/a	196.00	4.0
ROTHSCHILD ASSET	040	BMV	B	48,418	24.52	n/a	n/a	1,936.72	4.0
WINSLOW CAPITAL	030	BRCM	B	800	33.42	n/a	n/a	32.00	4.0
B BUCK CAPITAL MGMT		BWIN AV	S	20,000	42.75	n/a	n/a	non-brg	6.4
DIAMONDBACK CAP MGMT	232	BYI	B	10,000	32.41	n/a	n/a	500.00	5.0
f MICHAEL MARRANO	FID	CAMOX	B	264	14.03	n/a	n/a	0.00	0.0
NWQ INVESTMENT MGMT CO	048	CASY	S	3,900	35.22	n/a	n/a	156.00	4.0
HARBORWATCH/DIAMBACK	232	CBE	SS	25,000	43.67	n/a	27-May	1,250.00	5.0
WINSLOW CAPITAL	030	CELG	B	300	49.21	n/a	n/a	12.00	4.0
COLUMBIA PARTNERS	028	CFSG	S	15,729	8.99	n/a	n/a	471.87	3.0
WINSLOW CAPITAL	030	CHRW	B	500	55.53	n/a	n/a	20.00	4.0
B BUCK CAPITAL MGMT		CHS	B	20,000	10.19	n/a	5-Feb	non-brg	4.0
NWQ INVESTMENT MGMT CO	048	CIR	S	5,400	25.25	n/a	n/a	216.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JOEL GIFFORD TISS	FID	CNW	B	3000	28.97	n/a	n/a	0.00	0.0
NWQ INVESTMENT MGMT CO	048	COHR	S	6,400	33.55	n/a	n/a	256.00	4.0
B BUCK CAPITAL MGMT		COH	S	50,000	35.91	n/a	14-May	non-brg	4.0
KACELA CAPITAL	034	COST	B	34,000	54.86	n/a	n/a	1,360.00	4.0
WINSLOW CAPITAL	030	COST	B	100	54.91	n/a	n/a	4.00	4.0
BUCK CAPITAL MGMT	001	CRI	S	30,000	26.10	n/a	n/a	1,200.00	4.0
SUN AMERICA ASSET MGMT	030	CRI	S	40,800	26.09	n/a	n/a	1,632.00	4.0
f MICHAEL MARRANO	FID	CRMMX	B	304	22.05	n/a	n/a	0.00	0.0
GALT INVESTMENT	041	CROX	B	50,000	10.39	n/a	n/a	2,000.00	4.0
WINSLOW CAPITAL	030	CSCO	B	700	21.26	n/a	n/a	28.00	4.0
WINSLOW CAPITAL	030	CTSH	B	900	50.04	n/a	n/a	36.00	4.0
f JONATHAN RICHARD HAR	FID	CVGI	S	750	9.79	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CVGI	B	1200	9.99	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	C	S	2500	3.63	n/a	25-Jun	0.00	0.0
f MICHAEL MARRANO	FID	C	S	1000	3.69	n/a	25-Jun	0.00	0.0
B BUCK CAPITAL MGMT		DAN	B	11,000	9.44	n/a	30-Apr	non-brg	3.0
B BUCK CAPITAL MGMT		DAN	B	30,000	9.47	n/a	30-Apr	non-brg	0.8
f JONATHAN RICHARD HAR	FID	DAN	S	500	9.83	n/a	30-Apr	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
METROPOLITAN CAPITAL	044	DAN	B	8,900	9.40	n/a	30-Apr	445.00	5.0
f FRANCIS MICHAEL MCCA	FID	DFS	S	600	13.81	n/a	30-Jun	0.00	0.0
B BUCK CAPITAL MGMT		DG	SS	20,000	27.54	n/a	8-Jun	non-brg	4.0
THE JAY GOLDMAN	028	DG	B	30,000	27.54	n/a	8-Jun	1,200.00	4.0
B BUCK CAPITAL MGMT		DIN	SS	30,000	27.06	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DKS	SS	30,000	24.15	n/a	11-Jun	non-brg	4.0
RBC CAPITAL MARKETS	040	DOW	B	25,000	23.06	n/a	21-May	1,000.00	4.0
BENCHMARK	025	DUF	S	2,500	12.35	n/a	n/a	100.00	4.0
f MICHAEL MARRANO	FID	EATVX	S	350	14.21	n/a	n/a	0.00	0.0
NORTHWESTERN MUTUAL LIFE	047	EMC	B	303,100	18.07	n/a	n/a	12,124.00	4.0
WINSLOW CAPITAL	030	ESRX	B	100	45.38	n/a	n/a	4.00	4.0
f MICHAEL MARRANO	FID	EXWAX	S	1429	7.19	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	FAGCX	B	384	26.79	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	FAGIX	S	591	8.51	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FAZ	B	2500	17.71	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	FDGFX	S	380	21.91	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MICHAEL MARRANO	FID	FDGRX	S	195	64.54	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FDO	SS	40,000	38.09	n/a	30-Jun	non-brg	4.0
EXIS CAPITAL	044	FINL	B	40,000	13.07	n/a	n/a	2,000.00	5.0
f MICHAEL MARRANO	FID	FIVFX	B	318	10.05	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	FLPSX	S	139	31.07	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT	001	FL	B	77,500	12.79	n/a	16-Jun	3,100.00	4.0
B BUCK CAPITAL MGMT		FL	B	20,000	12.84	n/a	16-Jun	non-brg	4.0
f MICHAEL MARRANO	FID	FMIHX	B	660	13.33	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FOR	S	1000	17.77	n/a	28-Jun	0.00	0.0
KACELA CAPITAL	034	FO	SS	30,000	37.23	n/a	n/a	1,200.00	4.0
f MICHAEL MARRANO	FID	FRESX	B	141	21.24	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	FSTFX	S	80	10.68	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	FUL	B	26,363	18.92	n/a	n/a	1,054.52	4.0
f ALEXANDER PRYLUCKI	FID	F	B	300	10.31	n/a	30-Jun	0.00	0.0
AVESTA CAP	229	F	C	400,000	10.16	n/a	30-Jun	12,000.00	3.0
RBC CAPITAL MARKETS	040	F	B	50,000	10.51	n/a	30-Jun	2,000.00	4.0
SCOPUS FUND	041	F	B	87,300	10.51	n/a	30-Jun	4,365.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRAFELET & COMP.	028	F	C	1,350,000	10.32	n/a	30-Jun	40,500.00	3.0
B BUCK CAPITAL MGMT		GCO	B	21,692	26.15	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		GCO	B	3,448	26.09	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	GES	SS	15,000	32.16	n/a	28-May	750.00	5.0
f MICHAEL MARRANO	FID	GFAFX	B	369	24.92	n/a	n/a	0.00	0.0
f FRANCIS PATRICK GALL	FID	GFF	B	180	10.84	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	GIESX	B	812	9.36	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	S	40,000	27.47	n/a	14-May	1,600.00	4.0
B BUCK CAPITAL MGMT		GIL	B	18,000	28.06	n/a	14-May	non-brg	0.8
WINSLOW CAPITAL	030	GMCR	B	600	25.50	n/a	n/a	24.00	4.0
ROYCE TRADING	012	GRB	S	2,500	5.18	n/a	n/a	125.00	5.0
f FRANCIS MICHAEL MCCA	FID	GR	S	250	65.65	n/a	4-Jun	0.00	0.0
f JONATHAN RICHARD HAR	FID	GR	S	110	65.80	n/a	4-Jun	0.00	0.0
NWQ INVESTMENT MGMT CO	048	GTI	B	5,400	14.27	n/a	n/a	216.00	4.0
THE JAY GOLDMAN	028	GYMB	B	20,000	43.09	n/a	30-Jun	800.00	4.0
B BUCK CAPITAL MGMT		HBI	B	20,000	24.55	n/a	14-May	non-brg	4.0
CAVU CAP ADV	028	HD	B	25,000	27.82	n/a	30-Jun	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	HIG	B	25,000	21.71	n/a	n/a	1,250.00	5.0
f MICHAEL MARRANO	FID	HRINX	S	108	48.48	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	INTC	B	48,000	19.46	n/a	n/a	1,920.00	4.0
RBC CAPITAL MARKETS	040	IP	S	20,000	22.16	n/a	28-Jun	800.00	4.0
f MICHAEL MARRANO	FID	IWD	S	95	53.55	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	JANSX	S	415	24.01	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	S	20,000	35.44	n/a	n/a	800.00	4.0
f MICHAEL MARRANO	FID	JMCVX	B	227	18.98	n/a	n/a	0.00	0.0
f ALEXANDER PRYLUCKI	FID	JNS	B	250	8.84	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JNY	B	10,000	15.27	n/a	14-May	non-brg	4.0
f MICHAEL MARRANO	FID	JSCVX	S	216	20.84	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	JUESX	B	1780	8.26	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JWN	B	10,000	32.82	n/a	30-Jun	non-brg	4.0
EXIS CAPITAL	044	JWN	B	5,000	32.72	n/a	30-Jun	250.00	5.0
Õ Ravi Sadarangani		KELYA	B	500	13.83	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		KMX	SS	30,000	19.62	n/a	n/a	non-brg	4.0

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B BUCK CAPITAL MGMT		KNL	SS	30,000	12.87	n/a	n/a	non-brg	4.0
PARTNER REINSURANCE	033	KSS	B	9,550	48.45	n/a	30-Jun	382.00	4.0
NWQ INVESTMENT MGMT CO	048	LECO	S	1,500	49.99	n/a	n/a	60.00	4.0
WINSLOW CAPITAL	030	LLTC	B	900	28.06	n/a	n/a	36.00	4.0
f EDWARD W WHEELER	FID	LNDC	B	10000	5.89	n/a	n/a	0.00	0.0
T. ROWE PRICE	033	LPX	B	463,500	6.87	n/a	29-Jun	9,270.00	2.0
WINSLOW CAPITAL	030	LRCX	B	500	38.21	n/a	n/a	20.00	4.0
f MICHAEL MARRANO	FID	LSBAX	S	306	12.46	n/a	n/a	0.00	0.0
CASTLEROCK	040	LTD	B	69,294	22.52	n/a	n/a	2,771.76	4.0
f MICHAEL MARRANO	FID	LZOEX	S	210	17.66	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	MACGX	S	17	27.68	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MAC	SS	30,000	36.96	n/a	n/a	non-brg	4.0
f MICHAEL MARRANO	FID	MCGFX	B	435	20.24	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	MDIDX	S	1865	10.96	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	MDP	S	10,000	31.04	n/a	n/a	400.00	4.0

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B BUCK CAPITAL MGMT		MFB	B	20,000	20.44	n/a	n/a	non-brg	4.0
f MICHAEL MARRANO	FID	MFRFX	B	190	20.01	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	MIQBX	B	570	11.40	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	MLAAX	S	939	5.49	n/a	n/a	0.00	0.0
GREEN ARROW DOUG	040	MPXL	B	100,000	3.68	n/a	21-Jun	5,000.00	5.0
f MICHAEL MARRANO	FID	MRSAX	B	979	12.26	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	MRVL	B	500	16.37	n/a	n/a	20.00	4.0
AVESTA CAP	229	MSFT	B	200,000	23.09	n/a	n/a	6,000.00	3.0
Õ Ravi Sadarangani		MTW	B	500	8.75	n/a	30-Apr	0.00	0.0
BANK OF AMERICA/MERRILL	259	M	B	25,000	18.19	n/a	30-Jun	1,000.00	4.0
EXIS CAPITAL	044	M	B	20,000	18.04	n/a	30-Jun	1,000.00	5.0
THE JAY GOLDMAN	028	M	S	65,000	17.97	n/a	30-Jun	2,600.00	4.0
WINSLOW CAPITAL	030	NTAP	B	300	37.91	n/a	n/a	12.00	4.0
B BUCK CAPITAL MGMT		NWY	B	50,000	2.34	n/a	n/a	non-brg	3.0
f MICHAEL MARRANO	FID	OAKIX	B	407	15.99	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	OAKMX	S	116	34.87	n/a	n/a	0.00	0.0

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NWQ INVESTMENT MGMT CO	048	OCR	B	2,300	23.51	n/a	n/a	92.00	4.0
ROYCE TRADING	012	OMG	B	5,000	23.57	n/a	n/a	250.00	5.0
WINSLOW CAPITAL	030	ORCL	B	800	21.53	n/a	n/a	32.00	4.0
WINSLOW CAPITAL	030	ORLY	B	600	48.03	n/a	n/a	24.00	4.0
ROYCE TRADING	012	OSG	B	5,000	36.36	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		PAG	S	20,000	11.32	n/a	3-May	non-brg	4.0
B BUCK CAPITAL MGMT		PCAR	SS	30,000	39.63	n/a	23-Jun	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	PCP	B	100	102.29	n/a	15-Jun	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	PCP	S	100	102.20	n/a	15-Jun	0.00	0.0
f MICHAEL MARRANO	FID	PCRRX	S	1213	7.22	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	PEEAX	S	294	22.31	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	PEIDX	B	351	9.41	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PFCB	SS	30,000	39.49	n/a	11-Jun	non-brg	4.0
ROYCE TRADING	012	PHH	B	5,000	18.89	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		PII	SS	20,000	52.98	n/a	n/a	non-brg	4.0
ROYCE TRADING	012	POL	S	15,000	8.40	n/a	n/a	750.00	5.0

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⚡ BUCK CAPITAL MGMT		PRGO	SS	20,000	57.41	n/a	28-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PRTY LN	S	100,000	3.13	n/a	n/a	non-brg	0.5
BUCK CAPITAL MGMT	001	PSS	S	59,600	16.67	n/a	n/a	2,384.00	4.0
⚡ BUCK CAPITAL MGMT		PVH	B	10,000	46.27	n/a	26-May	non-brg	4.0
EXIS CAPITAL	044	PVH	B	5,000	45.71	n/a	26-May	250.00	5.0
⚡ BUCK CAPITAL MGMT		QKLS	S	18,400	4.04	n/a	n/a	non-brg	0.8
ROYCE TRADING	012	RDC	S	15,000	21.23	n/a	n/a	750.00	5.0
⚡ BUCK CAPITAL MGMT		RDEN	SS	30,000	14.17	n/a	17-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		RDK	SS	10,000	30.65	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		RDK	SS	10,000	30.94	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		RL	C	10,000	73.93	n/a	20-May	non-brg	4.0
EXIS CAPITAL	044	RL	SS	5,000	72.12	n/a	20-May	250.00	5.0
KACELA CAPITAL	034	RTH	B	20,000	86.10	n/a	n/a	800.00	4.0
ROYCE TRADING	012	RTI	B	5,000	23.36	n/a	n/a	250.00	5.0
f MICHAEL MARRANO	FID	RYPFX	S	429	9.09	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	SAH	SS	4,000	8.46	n/a	n/a	240.00	6.0
⚡ BUCK CAPITAL MGMT		SHOO	B	20,000	31.97	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WINSLOW CAPITAL	030	SHPGY	B	200	60.90	n/a	28-Jun	8.00	4.0
B BUCK CAPITAL MGMT		SKX	B	20,000	36.54	n/a	n/a	non-brg	4.0
ROYCE TRADING	012	SM	S	5,000	40.04	n/a	n/a	250.00	5.0
f MICHAEL MARRANO	FID	SNWYX	S	750	10.70	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SPG	SS	20,000	80.50	n/a	n/a	non-brg	4.0
f MICHAEL MARRANO	FID	SPY	S	137	102.01	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	SSAIX	S	1213	8.30	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	SSEMX	S	80	17.90	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	TAMVX	S	475	19.62	n/a	n/a	0.00	0.0
f MICHAEL THOMAS NOLAN	FID	TEN	S	500	20.86	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	TEVA	B	300	52.03	n/a	29-Jun	12.00	4.0
Õ Ravi Sadarangani		TEX	B	400	17.65	n/a	30-Apr	0.00	0.0
THE JAY GOLDMAN	028	TGT	S	15,000	48.78	n/a	30-Jun	600.00	4.0
BUCK CAPITAL MGMT	001	THI	SS	30,000	31.86	n/a	n/a	1,200.00	4.0
MADISON ST PARTNERS LLC	046	TIF	B	25,000	38.10	n/a	n/a	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	TIN	B	20,000	19.80	n/a	28-Jun	1,000.00	5.0
f MICHAEL MARRANO	FID	TMDPX	B	493	11.37	n/a	n/a	0.00	0.0
f ALEXANDER PRYLUCKI	FID	TOL	B	150	16.45	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	TXN	B	26,725	23.29	n/a	n/a	1,069.00	4.0
f JOEL GIFFORD TISS	FID	TZA	S	5000	8.80	n/a	n/a	0.00	0.0
GRANITE CAPITAL	028	URBN	S	20,000	34.51	n/a	n/a	1,000.00	5.0
WINSLOW CAPITAL	030	URBN	B	700	35.22	n/a	n/a	28.00	4.0
HIGHBRIDGE CAP	041	USG	B	100,000	12.17	n/a	28-Jun	4,000.00	4.0
f BRIAN PATRICK O'GORM	FID	VOD	B	20	21.01	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	VSEAX	B	160	27.46	n/a	n/a	0.00	0.0
f ALEXANDER PRYLUCKI	FID	VTG	B	1800	1.34	n/a	n/a	0.00	0.0
f BRIAN PATRICK O'GORM	FID	VZ	B	20	27.88	n/a	n/a	0.00	0.0
f JOEL GIFFORD TISS	FID	VZ	S	5893	27.67	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	WBG SX	B	320	9.06	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	W BIGX	S	358	17.83	n/a	n/a	0.00	0.0
GREEN ARROW DOUG	040	WEN	S	50,000	4.03	n/a	11-Jun	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PARTNER REINSURANCE	033	WMT	S	7,850	48.25	n/a	19-May	314.00	4.0
B BUCK CAPITAL MGMT		WNC	B	35,000	6.69	n/a	n/a	non-brg	3.0
CAERUS GLOBAL	041	WRC	B	20,000	36.19	n/a	14-May	800.00	4.0
EGS ASSOCIATES LP	091	WRC	B	3,000	36.21	n/a	14-May	180.00	6.0
EXIS CAPITAL	044	WRC	B	20,000	36.33	n/a	14-May	1,000.00	5.0
CRAMER ROSENTHAL/SCOTT	012	WSM	C	6,100	23.98	n/a	n/a	244.00	4.0
LEVEL GLOBAL SHARED ACCT	257	WSM	C	100,000	24.07	n/a	n/a	3,000.00	3.0
BUCK CAPITAL MGMT	001	WWW	B	20,000	25.18	n/a	n/a	800.00	4.0
f FRANCIS MICHAEL MCCA	FID	WYNN	B	100	77.64	n/a	21-Jun	0.00	0.0
f ALEXANDER PRYLUCKI	FID	WY	B	75	34.82	n/a	28-Jun	0.00	0.0
B BUCK CAPITAL MGMT		XLNX	SS	30,000	25.31	n/a	n/a	non-brg	4.0
f ALEXANDER PRYLUCKI	FID	XRT	B	100	36.14	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	028	YUM	S	7,500	39.13	n/a	15-Apr	300.00	4.0
f SCOTT L BRUNNER	FID	ZINC	S	2500	7.26	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :								164,514.21	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/30	MILLRACE FUND LP	028	AXL	B	5,000	7.28	n/a	3-May	150.00 3.0
AO,CXL	06/30	MILLRACE FUND LP	028	AXL	B	5,000	7.28	n/a	3-May	200.00 4.0
AO,CXL	06/30	CAVU CAP ADV	028	BYI	S	60,729	33.44	n/a	n/a	3036.45 5.0
AO,	06/30	CAVU CAP ADV	028	BYI	S	30,367	33.44	n/a	n/a	1518.35 5.0
AO,CRT	06/30	CAVU CAP ADV	028	BYI	S	30,362	33.44	n/a	n/a	1518.10 5.0
AO,	06/30	CAVU CAP ADV	028	CPB	B	10,832	35.66	n/a	n/a	541.60 5.0
AO,CRT	06/30	CAVU CAP ADV	028	CPB	B	10,832	35.94	n/a	n/a	541.60 5.0
AO,CXL	06/30	CAVU CAP ADV	028	CPB	B	21,664	35.94	n/a	n/a	1083.20 5.0
AO,CRT	06/30	CAVU CAP ADV	028	F	S	35,850	10.36	n/a	30-Jun	1792.50 5.0
AO,CXL	06/30	CAVU CAP ADV	028	F	S	71,700	10.36	n/a	30-Jun	3585.00 5.0
AO,CRT	06/30	CAVU CAP ADV	028	F	S	35,850	10.36	n/a	30-Jun	1792.50 5.0
AO,CXL	06/30	MARINER/TRICADIA	041	IWM	B	10,000	62.22	n/a	n/a	500.00 5.0
AO,	06/30	MARINER/TRICADIA	041	IWM	B	1,018	62.22	n/a	n/a	50.90 5.0
AO,CRT	06/30	MARINER/TRICADIA	041	IWM	B	8,982	62.22	n/a	n/a	449.10 5.0
AO,	06/30	TYEE/TYGH	035	SMG	B	91,200	44.82	n/a	17-Jun	3648.00 4.0
AO,CXL	06/30	TYEE/TYGH	035	SMG	B	91,200	44.82	n/a	17-Jun	3648.00 4.0
AO,CRT	06/23	CRAMER ROSENTHAL/SCOTT	012	SRE	B	2,040	47.89	n/a	n/a	81.60 4.0
TOTAL (AO, CXL, CRT) :										31.60

TOTAL (Trade Date):	164,514.21
TOTAL (AO, CXL, CRT):	31.60

TOTAL (RUN DATE) / NFS:	164,545.81
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MRI Securities with Trade Activity on 1-Jul. NONE
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MRI Securities without Trade Activity on 1-Jul. MON, CMI

BRG comm. as a % of BCM Total Comm. paid. T-Date = 27% YTD = 10%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)