



Daily Compliance Activity Report

Trade Date: 03/24/2009

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WASHINGTON ASSET	048	AAPL	S	10,000	108.49	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	80,000	11.95	n/a	12-Mar	3,200.00	4.0
CATAPULT	040	AEO	B	25,000	11.70	n/a	12-Mar	1,000.00	4.0
DENVER INST. ADV	046	AEO	B	185,700	11.76	n/a	12-Mar	7,428.00	4.0
CASTLEROCK	040	AKS	B	275,070	8.01	n/a	n/a	11,002.80	4.0
BALYASNY ASSET MGMT	044	AMG	B	5,000	45.16	13-Nov	24-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	ANF	SS	20,000	23.12	n/a	6-Feb	800.00	4.0
SIGMA CAPITAL ASSOCIATES	041	ANF	S	25,000	23.23	n/a	6-Feb	1,250.00	5.0
KLEINHEINZ CAP PART	031	BAC	S	200,000	7.24	2-Mar	23-Mar	10,000.00	5.0
BRENCOURT	028	BWA	SS	25,000	20.58	12-Mar	19-Mar	1,250.00	5.0
BALYASNY ASSET MGMT	044	BX	B	15,000	8.68	n/a	n/a	600.00	4.0
PHILADELPHIA FINANCIAL	033	BX	B	188,000	8.38	n/a	n/a	7,520.00	4.0
WILLIAMS/5 POINTS BOBBY	044	BYI	S	26,000	18.15	n/a	n/a	780.00	3.0
STELLIAM INV ADV	040	CLW	B	6,800	7.71	n/a	n/a	204.00	3.0
BUCK CAPITAL MGMT	001	COH	B	80,000	16.88	9-Jan	22-Jan	3,200.00	4.0
CATAPULT	040	CTRN	S	12,823	15.20	n/a	n/a	512.92	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HOLDEN CAPITAL MGMT	035	CTXS	B	25,000	22.67	n/a	n/a	1,250.00	5.0
PRINCIPLE CAPITAL	040	DIS	B	51,100	18.45	n/a	n/a	1,533.00	3.0
SCOPUS FUND	041	DSW	B	15,000	10.03	24-Mar	24-Mar	750.00	5.0
CRAMER ROSENTHAL/SCOTT	012	ERTS	S	10,500	19.51	n/a	n/a	420.00	4.0
COBALT	041	FNB	B	47,100	8.01	n/a	n/a	2,355.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	60,000	16.89	n/a	10-Jan	2,400.00	4.0
RDK CAPITAL MGMT	034	GPS	B	100,000	12.82	n/a	30-Jan	4,000.00	4.0
BUCK CAPITAL MGMT	001	HBI	B	150,000	9.75	n/a	n/a	4,500.00	3.0
ASCEND PARTNERS	033	HD	C	125,000	23.20	n/a	25-Feb	6,250.00	5.0
MFP INVESTORS	032	HOT	S	8,100	14.02	n/a	n/a	324.00	4.0
NEUBERGER BERMAN	036	HRS	B	3,200	28.17	24-Mar	24-Mar	128.00	4.0
LAGRANGE CAP ADV	048	HUN	B	10,000	3.14	n/a	n/a	500.00	5.0
STELLIAM INV ADV	040	IP	S	50,000	9.15	n/a	20-Mar	1,500.00	3.0
KINGDON ASSET MGMT	041	ISIL	S	100,000	11.91	n/a	n/a	4,000.00	4.0
PRINCIPLE CAPITAL	040	ITT	S	22,800	39.53	n/a	5-Feb	684.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	50,000	13.78	24-Mar	24-Mar	1,750.00	3.5
LAGRANGE CAP ADV	048	JAKK	B	10,000	12.10	n/a	n/a	500.00	5.0
CADIAN CAPITAL	067	JCP	B	100,000	19.35	6-Feb	6-Mar	4,000.00	4.0
JP MORGAN VENTURES	046	JCP	B	25,000	18.94	6-Feb	6-Mar	1,250.00	5.0
NEUBERGER BERMAN	036	JNS	B	300,000	6.89	2-Mar	24-Mar	9,000.00	3.0
MADI	046	JNS	SS	20,000	7.03	2-Mar	24-Mar	600.00	3.0
SCOPUS FUND	041	KMT	SS	25,000	17.37	n/a	30-Jan	1,250.00	5.0
BALYASNY ASSET MGMT	044	LM	C	15,000	15.90	29-Jan	24-Mar	750.00	5.0
MADI	046	LM	B	10,000	16.24	29-Jan	24-Mar	500.00	5.0
ASCEND PARTNERS	033	LOW	S	90,000	18.16	23-Feb	23-Feb	4,500.00	5.0
LAGRANGE CAP ADV	048	LULU	B	19,322	6.50	n/a	n/a	966.10	5.0
BRENCOURT	028	MGA	SS	50,000	27.72	n/a	n/a	2,500.00	5.0
PRINCIPLE CAPITAL	040	MHK	SS	35,900	26.87	n/a	n/a	1,077.00	3.0
PROSPECTOR PARTNERS LLC	036	NP	B	10,000	4.00	13-Mar	13-Mar	400.00	4.0
SANDLER O'NEILL ASSET	031	NTRS	S	25,000	64.19	14-Jan	23-Mar	1,250.00	5.0
LAGRANGE CAP ADV	048	PFE	B	10,000	14.04	n/a	31-Mar	500.00	5.0
BALYASNY ASSET MGMT	044	PRU	C	10,000	21.62	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	PVH	B	5,000	19.48	22-Jan	22-Jan	250.00	5.0
SHAREVEST CAPITAL MGMT.	038	PWR	S	7,300	21.75	n/a	n/a	365.00	5.0
COUGAR TRADING	044	RL	B	2,500	41.68	n/a	5-Feb	125.00	5.0
SENVEST PART	045	RVI	S	38,792	2.50	n/a	n/a	1,163.76	3.0
DECADE CAPITAL PARTNERS	040	SBUX	B	20,000	11.72	n/a	17-Feb	1,000.00	5.0
CATAPULT	040	SCHL	B	9,450	13.28	n/a	n/a	378.00	4.0
PAULSON & CO INC	041	SGP	B	300,000	24.26	n/a	n/a	9,000.00	3.0
WHITE PINE CAPITAL	030	TGT	B	4,300	33.12	25-Feb	20-Mar	215.00	5.0
DC CAPITAL	044	TIN	B	50,000	5.41	5-Dec	20-Mar	1,500.00	3.0
SCOPUS FUND	041	TIN	B	25,000	5.40	5-Dec	20-Mar	1,250.00	5.0
LISTED ERR	099	TIN	B	25,000	5.40	5-Dec	20-Mar	0.00	0.0
LAGRANGE CAP ADV	048	VIP	B	9,722	6.85	n/a	n/a	486.10	5.0
GRANITE CAPITAL	045	WFMI	B	20,000	17.21	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	WMT	S	80,000	51.18	10-Oct	6-Mar	3,200.00	4.0
CATAPULT	040	WMT	B	80,000	50.87	10-Oct	6-Mar	3,200.00	4.0
BALYASNY ASSET MGMT	044	WRC	S	20,000	22.43	27-Feb	27-Feb	1,000.00	5.0
BUCK CAPITAL MGMT	001	WRC	B	20,000	22.47	27-Feb	27-Feb	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	WRC	B	22,500	23.15	27-Feb	27-Feb	900.00	4.0
HARVEST MGMT	039	WRC	B	50,000	23.11	27-Feb	27-Feb	2,500.00	5.0
CASTLEROCK	040	X	B	97,427	21.71	n/a	n/a	3,897.08	4.0
TRUST CO/COWEN/CALF.	040	X	B	74,975	23.53	n/a	n/a	2,999.00	4.0
BUCK CAPITAL MGMT	001	ZQK	B	200,000	1.17	12-Mar	12-Mar	4,000.00	2.0
TOTAL (Trade Date):							150,613.76		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/23	UBS OCONNOR (UBSOJPMBS)	031	BEN	B	5,949	48.99	24-Mar	24-Mar	178.47 3.0
AO,	03/23	UBS OCONNOR (UBSOCSFBCO)	031	BEN	B	3,851	48.99	24-Mar	24-Mar	115.53 3.0
AO,CRT	03/20	STELLIAM INV ADV (STLLGSBULK)	040	IP	B	25,000	7.18	n/a	20-Mar	750.00 3.0
AO,CXL	03/20	STELLIAM INV ADV (STLLMSEXEC)	040	IP	B	25,000	7.18	n/a	20-Mar	750.00 3.0
AO,CXL	03/23	GALT INVESTMENT (GALTGS)	041	WTSLA	S	30,000	3.18	n/a	n/a	1500.00 5.0
AO,CRT	03/23	GALT INVESTMENT (GALTGS)	041	WTSLA	S	30,000	3.18	n/a	n/a	750.00 2.5
TOTAL (AO, CXL, CRT) :										-456.00
TOTAL (Trade Date) :										150,613.76
TOTAL (AO, CXL, CRT) :										-456.00
TOTAL (RUN DATE) / NFS :										150,157.76

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION