



Daily Compliance Activity Report

Trade Date: 05/08/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAP	C	50,077	41.97	n/a	n/a	non-brg	4.0
STELLIAM INV ADV	040	ABX	SS	15,000	32.99	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	40,000	13.74	20-Apr	8-May	1,600.00	4.0
COUGAR TRADING	044	AEO	SS	6,700	13.81	20-Apr	8-May	335.00	5.0
BUCK CAPITAL MGMT		AN	C	8,000	16.34	24-Apr	24-Apr	non-brg	1.0
HARVEST MGMT	039	ARO	B	10,000	33.82	n/a	8-May	500.00	5.0
BUCK CAPITAL MGMT		BBBY	C	60,000	28.78	n/a	n/a	non-brg	4.0
CADIAN CAPITAL	067	BBBY	B	53,123	28.69	n/a	n/a	2,124.92	4.0
BUCK CAPITAL MGMT		BBY	SS	100,000	37.35	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT		BBY	SS	20,000	37.51	n/a	27-Jul	non-brg	4.0
LISTED ERR	099	BBY	B	10,668	38.13	n/a	27-Jul	0.00	0.0
LISTED ERR	099	BBY	S	10,668	38.14	n/a	27-Jul	0.00	0.0
BUCK CAPITAL MGMT		BEBE	S	20,000	9.34	n/a	n/a	non-brg	3.0
JOSEPH CIRO AMATURO	FID	BGZ	B	1000	37.46	n/a	n/a	0.00	0.0
JOHN MICHAEL ZOLIDIS	FID	BKC	B	700	18.19	16-Apr	29-Apr	0.00	0.0
BUCK CAPITAL MGMT		BK	B	30,000	30.57	22-Apr	8-May	non-brg	1.0
FIRST NEW YORK LEDDY	035	BRCD	B	20,000	5.72	n/a	n/a	1,200.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLRACE FUND LP	028	BWA	SS	6,000	31.08	1-May	1-May	240.00	4.0
TRELLUS PARTNERS LP	028	BWA	SS	5,300	31.22	1-May	1-May	265.00	5.0
MTB INV ADV	034	BWLD	S	16,500	34.93	n/a	n/a	825.00	5.0
f JOHN BARTMAN COLE	FID	BZ	S	1839	2.00	n/a	n/a	0.00	0.0
f MARK ADAM WEINTRAUB	FID	BZ	S	250	1.97	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	CAT	B	20,000	38.89	n/a	22-Apr	800.00	4.0
FRIESS MGMT	033	CCC	B	146,000	14.13	n/a	n/a	5,840.00	4.0
f JONATHAN RICHARD HAR	FID	CHCG	B	1450	1.70	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	CHS	S	492	8.14	5-Dec	30-Jan	0.00	0.0
f ROBERT M DANIELS	FID	CIT	B	190	2.88	23-Apr	6-May	0.00	0.0
B BUCK CAPITAL MGMT		CMG	C	300	75.72	23-Apr	23-Apr	non-brg	1.0
CATAPULT	040	CMTL	B	25,000	28.50	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		COH	S	80,000	25.63	9-Jan	22-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		COST	C	249,067	46.08	n/a	27-Jul	non-brg	4.0
HARVEST MGMT	039	COST	SS	5,000	45.62	n/a	27-Jul	250.00	5.0
f KAREN F LEDDY	FID	CRK	B	300	42.50	n/a	n/a	0.00	0.0
f ALICE BEEBE LONGLEY	FID	CSCO	B	1000	18.63	n/a	22-Mar	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	CYT	B	25,000	24.10	n/a	n/a	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		DAL	B	25,000	7.03	n/a	n/a	non-brg	1.0
LAGRANGE CAP ADV	048	DELL	B	10,000	10.43	n/a	n/a	500.00	5.0
NORTHPOINT	030	DENN	B	23,284	2.48	n/a	n/a	698.52	3.0
⚡ BUCK CAPITAL MGMT		DHI	B	50,000	9.88	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		DLTR	B	160,000	42.68	8-May	8-May	non-brg	2.5
⚡ BUCK CAPITAL MGMT		DRI	C	5,000	36.08	19-Mar	19-Mar	non-brg	1.0
f KAREN F LEDDY	FID	DVN	B	200	64.62	6-May	6-May	0.00	0.0
LATEFF MGMT	035	DVN	S	3,500	64.93	6-May	6-May	630.00	18.0
f ALEXANDRA ELISE ZOLI	FID	ETH	S	450	13.37	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FAZ	B	1000	4.74	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FBN	B	41,000	3.89	n/a	n/a	820.00	2.0
⚡ BUCK CAPITAL MGMT		FBN	C	49,000	4.16	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		FDO	C	40,000	31.10	5-Mar	5-May	non-brg	4.0
f CHRISTINA G ROGERS T	FID	FDRXX	S	225	1.00	n/a	n/a	0.00	0.0
OSS CAPITAL/KEVIN DANN	067	FIS	S	200,000	18.70	n/a	n/a	6,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		FOSL	S	30,000	19.74	23-Jan	30-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	S	20,000	25.08	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		GIII	S	15,000	9.30	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		GIL	S	40,000	12.00	11-Dec	14-Apr	non-brg	4.0
MTB INV ADV	034	GME	S	18,125	26.26	n/a	n/a	906.25	5.0
KAREN F LEDDY	FID	GM	B	4100	1.60	19-Feb	8-May	0.00	0.0
DAVID GEORGE BUCK	FID	GSSB	S	15	3.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		GS	B	13,000	134.95	n/a	8-May	non-brg	1.0
NATIONAL BK OF CAN	031	GS	S	7,500	136.66	n/a	8-May	300.00	4.0
MTB INV ADV	034	GYMB	S	4,350	33.68	7-May	8-May	217.50	5.0
BUCK CAPITAL MGMT		HBI	S	50,000	16.49	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		ICON	S	30,000	15.27	n/a	n/a	non-brg	4.0
DAVID GEORGE BUCK	FID	IWF	B	1000	40.07	n/a	n/a	0.00	0.0
JMP ASSET MGMT	210	JAS	B	7,500	20.47	n/a	n/a	375.00	5.0
TROUBH PART	067	JAVA	S	100,000	9.08	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT	001	JNY	S	100,000	9.49	n/a	30-Apr	3,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		JOSB	B	30,000	37.72	n/a	n/a	non-brg	4.0
ROARING BLUE LION MGMT	012	KEG	S	27,000	6.00	n/a	3-Apr	1,080.00	4.0
f ROBERT M DANIELS	FID	KEG	S	200	5.85	n/a	3-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		KSS	B	40,000	44.03	8-May	8-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LL	S	10,000	14.66	n/a	n/a	non-brg	2.5
NEWCASTLE FUNDS	255	LOW	B	40,000	19.82	31-Mar	5-May	1,600.00	4.0
⌘ BUCK CAPITAL MGMT		LPX	S	32,600	4.58	3-Mar	6-May	non-brg	2.5
⌘ BUCK CAPITAL MGMT		LPX	S	50,000	4.60	3-Mar	6-May	non-brg	1.0
PZENA INV ADV	044	LRCX	B	13,550	26.09	n/a	n/a	474.35	3.5
⌘ BUCK CAPITAL MGMT		LTD	S	200,000	12.07	8-Jan	30-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	S	50,000	12.16	8-Jan	30-Jan	non-brg	1.5
⌘ BUCK CAPITAL MGMT		LTD	S	50,000	12.27	8-Jan	30-Jan	non-brg	2.5
⌘ BUCK CAPITAL MGMT		LVS	S	100,000	9.70	n/a	n/a	non-brg	3.0
NORTHPOINT	030	MCRS	B	3,560	22.96	n/a	n/a	142.40	4.0
f DAVID GEORGE BUCK	FID	MRK	S	2500	25.00	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MS	B	39,479	25.50	18-Dec	8-May	non-brg	4.0
CASTLE ARK	031	MS	B	265,210	25.50	18-Dec	8-May	15,912.60	6.0
NATIONAL BK OF CAN	031	MS	B	40,000	25.78	18-Dec	8-May	1,600.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		MVRX	SS	120,000	126.86	n/a	n/a	non-brg 12.7
PROSPECTOR PARTNERS LLC	036	MWV	B	20,000	15.75	28-Jan	30-Apr	800.00 4.0
EGS ASSOCIATES LP	091	M	SS	3,000	13.09	n/a	8-May	180.00 6.0
STELLIAM INV ADV	040	NEM	SS	15,000	42.88	n/a	n/a	750.00 5.0
B BUCK CAPITAL MGMT		NILE	SS	20,000	47.01	n/a	n/a	non-brg 4.0
M&I FUNDS	256	NKE	S	21,120	53.81	n/a	n/a	844.80 4.0
B BUCK CAPITAL MGMT		NTDOY	C	100,000	32.69	n/a	n/a	non-brg 2.5
B BUCK CAPITAL MGMT		NTRS	B	18,943	52.69	22-Apr	8-May	non-brg 4.0
DC CAPITAL	044	NWL	S	100,000	10.94	n/a	n/a	3,000.00 3.0
MTB INV ADV	034	NWY	S	76,300	5.03	n/a	30-Jan	2,289.00 3.0
DEFIANCE ASSET	028	OC	SS	18,000	15.96	n/a	n/a	720.00 4.0
TRELLUS PARTNERS LP	028	PCL	S	7,500	34.07	n/a	29-Apr	375.00 5.0
JMP ASSET MGMT	210	PEET	SS	4,500	26.66	n/a	n/a	225.00 5.0
B BUCK CAPITAL MGMT		PETM	SS	40,000	21.53	n/a	n/a	non-brg 4.0
B BUCK CAPITAL MGMT		PFCB	SS	20,000	30.31	23-Apr	23-Apr	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	PFE	B	1970	14.44	n/a	31-Mar	0.00	0.0
S.A.C. HEALTHCARE BOBBY	044	PFE	S	50,000	14.35	n/a	31-Mar	2,000.00	4.0
B BUCK CAPITAL MGMT		PLCE	S	80,000	34.09	19-Mar	8-May	non-brg	4.0
f JOHN BARTMAN COLE	FID	PNWIF	B	3300	1.33	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PP FP	C	20,000	86.10	n/a	n/a	non-brg	4.3
OSS CAPITAL/KEVIN DANN	067	PTEN	B	100,000	14.63	n/a	n/a	3,000.00	3.0
BUCK CAPITAL MGMT	001	PVH	S	30,000	26.88	20-Apr	20-Apr	1,200.00	4.0
CADIAN CAPITAL	067	PVH	B	50,000	26.91	20-Apr	20-Apr	2,000.00	4.0
JETSTREAM GLOBAL FUND LP	065	QCOR	S	43,000	4.72	n/a	n/a	1,720.00	4.0
B BUCK CAPITAL MGMT		RL+SH[RL]	SS	1,000	1.35	n/a	n/a	non-brg	300.0
f ALICE BEEBE LONGLEY	FID	RL	B	200	51.45	n/a	16-Apr	0.00	0.0
B BUCK CAPITAL MGMT		RYL	B	25,000	19.76	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	SGP	B	2000	23.19	n/a	n/a	0.00	0.0
f ALEXANDER A SACCO	FID	SIJ	S	20	42.85	n/a	n/a	0.00	0.0
BELLMAN WALTERSS CAP	091	SLM	B	200,000	6.24	23-Apr	6-May	10,000.00	5.0
f MARC PAUL LUCHANSKY	FID	SPY	B	500	93.02	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUN AMERICA ASSET MGMT	030	SRCL	B	13,100	47.30	n/a	n/a	524.00	4.0
PROSPECTOR PARTNERS LLC	036	STFC	B	5,000	16.07	n/a	n/a	200.00	4.0
f DAVID GEORGE BUCK	FID	STI	B	2500	18.46	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	SVU	SS	50,000	16.46	n/a	n/a	2,000.00	4.0
f ANDREW SINCLAIR	FID	SZCUW	B	33	1.86	n/a	n/a	0.00	0.0
MATRIX ASSET ADV.	036	TEL	S	16,000	17.95	n/a	n/a	480.00	3.0
B BUCK CAPITAL MGMT		TGT	B	40,000	43.97	25-Feb	8-May	non-brg	4.0
B BUCK CAPITAL MGMT		TIN	S	20,000	12.44	n/a	22-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	TJX	B	100,000	28.38	4-May	8-May	4,000.00	4.0
f FRANCIS MICHAEL MCCA	FID	TWI	B	600	7.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UA	SS	50,000	23.28	29-Apr	29-Apr	non-brg	4.0
f ANDREW SINCLAIR	FID	UPLUZ	S	50	1.23	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		URBN	S	40,000	18.74	n/a	30-Jan	non-brg	4.0
f JONATHAN RICHARD HAR	FID	UYG	B	785	4.43	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	VNO	B	700	49.20	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NORTHPOINT	030	WG	S	2,884	11.88	n/a	n/a	115.36	4.0
NORTHPOINT	030	WLT	B	2,120	32.00	n/a	n/a	84.80	4.0
Ⓐ BUCK CAPITAL MGMT		WMT	S	20,000	49.81	n/a	8-May	non-brg	4.0
BUCK CAPITAL MGMT	001	WRC	B	90,000	28.09	20-Apr	20-Apr	3,600.00	4.0
CADIAN CAPITAL	067	WSM	SS	75,000	12.68	n/a	n/a	3,000.00	4.0
f DAVID GEORGE BUCK	FID	WYE	S	2000	44.08	n/a	31-Mar	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		WYNN	C	40,000	46.51	n/a	n/a	non-brg	1.0
PEQUOT CAPITAL MGMT	070	XLFI	B	200,000	12.66	n/a	n/a	6,000.00	3.0
Ⓐ BUCK CAPITAL MGMT		XRT	SS	550,000	26.97	n/a	n/a	non-brg	1.0
TOTAL (Trade Date):								106,394.50	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/07	SIGMA CAPITAL ASSOCIATES	041	CMCSA	S	25,000	15.81	n/a	4-Jan	1000.00 4.0
AO,CXL	05/07	SIGMA CAPITAL ASSOCIATES	041	CMCSA	S	25,000	15.81	n/a	4-Jan	1250.00 5.0
AO,CXL	05/07	BRANDWINE	028	DDS	B	1,317	8.02	n/a	n/a	52.68 4.0
AO,CRT	05/07	BRANDWINE	028	DDS	B	1,717	8.02	n/a	n/a	68.68 4.0
AO,CRT	05/07	DC CAPITAL	044	NWL	S	70,150	10.83	n/a	n/a	2104.50 3.0
AO,CXL	05/07	DC CAPITAL	044	NWL	S	70,150	10.83	n/a	n/a	2806.00 4.0
AO,CRT	05/07	FIRST NY SEC/CHRIS	041	OMX	B	10,000	7.76	n/a	15-Apr	500.00 5.0
AO,CXL	05/07	FIRST NY SEC/CHRIS	041	OMX	B	10,000	7.76	n/a	15-Apr	600.00 6.0
AO,CXL	05/07	HARVEST MGMT	039	SNCR	B	2,000	12.22	n/a	n/a	100.00 5.0
AO,CRT	05/07	JMP ASSET MGMT	210	SNCR	B	2,000	12.22	n/a	n/a	100.00 5.0
AO,CXL	05/07	BARROW HANLEY MEWHINNEY	031	SYX	B	2,900	23.58	n/a	24-Apr	116.00 4.0
AO,CRT	05/07	BARROW HANLEY MEWHINNEY	031	SYX	B	2,900	23.58	n/a	24-Apr	116.00 4.0
AO,CXL	05/07	HARVEST MGMT	039	WEN	B	13,000	4.48	n/a	24-Apr	390.00 3.0
AO,CRT	05/07	JMP ASSET MGMT	210	WEN	B	13,000	4.48	n/a	24-Apr	390.00 3.0
TOTAL (AO, CXL, CRT) :										-1,035.50

TOTAL (Trade Date):	106,394.50
TOTAL (AO, CXL, CRT):	-1,035.50

TOTAL (RUN DATE) / NFS:

105,359.00

MRI Securities with Trade Activity on 8-May.

DLTR, KSS

MRI Securities without Trade Activity on 8-May.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 14%

YTD = 22%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)