



Daily Compliance Activity Report

Trade Date: 07/11/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
APEX CAPITAL MGMT.	091	ADP	SS	50,000	41.34	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	100,000	12.23	6-Mar	11-Jul	4,000.00	4.0
COUGAR TRADING	044	AEO	S	40,000	11.97	6-Mar	11-Jul	2,000.00	5.0
DURANGO INVESTMENTS	031	AEO	B	50,000	12.11	6-Mar	11-Jul	2,000.00	4.0
SANDELL ADV	040	AEP	SS	50,000	40.25	n/a	n/a	2,500.00	5.0
KARPNANCY	023	AGN	B	100	51.57	n/a	11-Jul	0.00	0.0
KARPNANCY	023	AG	B	100	48.17	n/a	23-May	0.00	0.0
NICOLAS APPLGATE	048	AMG	S	30,100	80.03	9-Jul	10-Jul	1,204.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	60,000	52.91	10-Jul	11-Jul	2,400.00	4.0
SINCLAIR	031	APCKQ	B	20	3.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	S	50,000	29.67	28-Apr	11-Jul	2,000.00	4.0
COUGAR TRADING	044	ARO	B	5,000	29.44	28-Apr	11-Jul	250.00	5.0
ROTHSCHILD ASSET	040	BAX	B	26,300	65.54	n/a	22-Apr	1,183.50	4.5
FRONTPOINT CHRIS C	041	BBT	SS	6,500	21.69	n/a	n/a	260.00	4.0
AVESTA CAP	229	BBY	S	50,000	37.12	n/a	27-Jul	2,500.00	5.0
CASTLE ARK	031	BUCY	S	1,500	63.98	4-Jun	4-Jun	90.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MCCARTAN	028	BX	S	500	16.09	n/a	n/a	0.00	0.0
FRONTPOINT CHRIS C	041	CAT	SS	2,000	67.35	n/a	23-May	80.00	4.0
LEDDY	035	CAT	SS	300	69.62	n/a	23-May	0.00	0.0
BUCK CAPITAL MGMT	001	CEC	SS	20,000	25.87	n/a	n/a	800.00	4.0
COLUMBIA PARTNERS	028	CHK	SS	42,887	63.24	n/a	n/a	1,715.48	4.0
MKT MAKER	099	CLMS	B	100	15.00	13-Feb	10-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	CLX	SS	50,000	52.05	n/a	24-Jun	2,000.00	4.0
CENTURION PARTNERS	255	COH	B	60,000	24.31	4-Apr	9-Jul	3,000.00	5.0
COUGAR TRADING	044	COH	B	10,000	24.24	4-Apr	9-Jul	500.00	5.0
COUGAR TRADING	044	COH	S	25,000	24.62	4-Apr	9-Jul	1,250.00	5.0
STADIA CAPITAL	035	COH	B	50,000	24.80	4-Apr	9-Jul	2,000.00	4.0
KARPNANCY	023	COH	B	100	24.50	4-Apr	9-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	CPN	S	15,000	19.47	n/a	n/a	600.00	4.0
MERRILL ASSET	032	CRR	S	8,900	52.49	5-Jun	9-Jul	445.00	5.0
THIRD COAST CAPITAL	035	CTSH	S	20,000	28.20	n/a	n/a	1,000.00	5.0
CRAMER ROSENTHAL/SCOTT	012	DBRN	S	7,100	12.92	n/a	n/a	284.00	4.0
FRONTPOINT CHRIS C	041	DCI	SS	3,000	41.71	n/a	n/a	120.00	4.0
PRYLUCKI	092	DDM	B	100	57.48	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	3,500	34.00	n/a	11-Jul	140.00	4.0
ROXBURY CAPITAL MGMT	035	DLTR	S	61,200	33.73	n/a	11-Jul	2,448.00	4.0
BUCK CAPITAL MGMT	001	DRI	SS	20,000	28.99	n/a	26-Jun	800.00	4.0
KARPNANCY	023	DSW	B	200	10.99	8-May	9-Jul	0.00	0.0
SANDELL ADV	040	DTE	SS	75,000	43.85	n/a	n/a	3,750.00	5.0
SANDELL ADV	040	D	SS	25,000	46.99	n/a	n/a	1,250.00	5.0
NORMCHRIS	N09	EFT	B	34	14.02	n/a	n/a	0.00	0.0
CHIARMONTE	N10	EFT	B	25	14.02	n/a	n/a	0.00	0.0
ROLON	N11	EFT	B	105	14.02	n/a	n/a	0.00	0.0
WAGONHOUND/NIC	048	EV	SS	19,909	34.04	10-Jul	10-Jul	796.36	4.0
MERRILL ASSET	032	FHN	B	44,200	6.49	n/a	n/a	1,768.00	4.0
BUCK CAPITAL MGMT	001	FL	S	50,000	12.09	2-Apr	9-Jul	2,000.00	4.0
OGORMAN	046	FNM	B	1,000	10.54	n/a	n/a	0.00	0.0
KAICHER	064	FNM	B	2,000	8.67	n/a	n/a	0.00	0.0
KAICHER	064	FNM	S	2,000	9.74	n/a	n/a	0.00	0.0
COUGAR TRADING	044	FOSL	B	10,000	23.16	n/a	9-Jul	500.00	5.0
MENCKE	055	FRE	B	30	4.25	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	50,000	31.41	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	GNTX	SS	500	14.26	24-Jun	24-Jun	0.00	0.0
SLS CAPITAL LLC	224	GPS	B	374,600	15.22	n/a	11-Jul	14,984.00	4.0
KARPNANCY	023	GR	B	100	42.80	5-Jun	5-Jun	0.00	0.0
CASTLE ARK	031	GS	S	400	162.05	19-Mar	11-Jul	24.00	6.0
SANDLER O'NEILL ASSET	031	GS	B	50,000	162.43	19-Mar	11-Jul	2,500.00	5.0
COUGAR TRADING	044	GYMB	B	20,000	33.92	11-Jul	11-Jul	1,000.00	5.0
HARVEST MGMT	039	HOTT	B	50,900	5.46	n/a	11-Jul	1,527.00	3.0
CASTLE ARK	031	HPQ	S	1,200	41.40	n/a	n/a	72.00	6.0
CENTURION GLOBAL MGMT LL	041	INTC	B	21,000	20.91	n/a	n/a	630.00	3.0
CSFB GLOBAL PROPRIETARY	041	IP	B	10,000	22.08	n/a	11-Jul	500.00	5.0
FRONTPOINT CHRIS C	041	ITW	SS	2,700	45.56	20-May	23-May	108.00	4.0
SUNOVA CAPITAL	031	IVZ	S	50,000	21.66	10-Jul	10-Jul	2,500.00	5.0
OGORMAN	046	IVZ	S	300	21.70	10-Jul	10-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	JAH	S	250,000	17.30	n/a	n/a	10,000.00	4.0
COUGAR TRADING	044	JCG	B	20,000	28.10	n/a	30-May	1,000.00	5.0
EPOCH INV MGMT	030	JNS	B	61,450	23.42	9-Jul	10-Jul	2,458.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	JWN	SS	20,000	27.75	10-Jul	11-Jul	1,000.00	5.0
KARPNANCY	023	JWN	B	100	27.40	10-Jul	11-Jul	0.00	0.0
BLUE HARBOR	036	KEG	S	11,000	18.31	n/a	9-Jul	440.00	4.0
BUCK CAPITAL MGMT	001	KMB	SS	100,000	59.24	n/a	n/a	4,000.00	4.0
APEX CAPITAL MGMT.	091	KO	B	68,200	50.34	n/a	n/a	3,410.00	5.0
COUGAR TRADING	044	KSS	B	10,000	38.62	n/a	11-Jul	500.00	5.0
COUGAR TRADING	044	KSS	S	10,000	38.66	n/a	11-Jul	500.00	5.0
J.W. SELIGMAN	041	KSS	S	119,400	38.27	n/a	11-Jul	4,776.00	4.0
BUCK CAPITAL MGMT	001	LEG	SS	20,000	15.00	n/a	n/a	800.00	4.0
NATIONAL BK OF CAN	031	LEH	S	80,000	13.74	9-Jun	17-Jun	3,200.00	4.0
NOMURA CAPITAL	045	LEH	SS	20,000	13.74	9-Jun	17-Jun	1,000.00	5.0
SINCLAIR	031	LYHAX	B	25	2.00	n/a	n/a	0.00	0.0
TRUST CO/COWEN/CALF.	040	MBI	S	177,900	3.74	n/a	n/a	5,337.00	3.0
MERRILL ASSET	032	MGA	S	294,900	53.31	n/a	n/a	13,270.50	4.5
MERRILL ASSET	032	MNT	S	35,000	26.35	n/a	n/a	1,575.00	4.5
WENTWORTH HAUSER VIOLICH	033	MRO	B	340,015	45.99	n/a	n/a	13,600.60	4.0
CHIARMONTE	N10	MSFT	S	15	24.92	n/a	3-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	MSM	SS	2,600	44.53	n/a	n/a	104.00	4.0
SINCLAIR	031	MWATB	B	80	2.00	n/a	n/a	0.00	0.0
COUGAR TRADING	044	M	B	30,000	15.08	n/a	2-Jul	1,500.00	5.0
LAGRANGE CAP ADV	048	M	B	30,000	14.58	n/a	2-Jul	1,500.00	5.0
SINCLAIR	031	NBRLI	S	25	6.10	n/a	n/a	0.00	0.0
SINCLAIR	031	NBRLJ	B	75	4.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PG	SS	50,000	63.58	24-Jun	24-Jun	2,000.00	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	35,000	22.30	7-Apr	11-Jul	1,750.00	5.0
TRIVIUM CAP MGMT	251	PLCM	SS	25,000	23.80	n/a	22-Mar	1,000.00	4.0
FRONTPOINT CHRIS C	041	PNC	SS	2,500	55.68	n/a	n/a	100.00	4.0
COUGAR TRADING	044	PSUN	B	10,000	7.58	n/a	11-Jul	500.00	5.0
COUGAR TRADING	044	PSUN	S	50,000	7.37	n/a	11-Jul	2,500.00	5.0
OGORMAN	046	PSUN	S	2,000	7.45	n/a	11-Jul	0.00	0.0
KARPNANCY	023	QQQQ	B	200	44.64	n/a	n/a	0.00	0.0
ZOLIDIS	011	QUKHE	B	3	4.80	n/a	n/a	0.00	0.0
ZOLIDIS	011	QUKHF	B	3	3.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RCL	S	120,000	19.60	25-Apr	25-Apr	4,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	RDEN	S	50,000	14.69	10-Jun	24-Jun	2,500.00	5.0
COUGAR TRADING	044	RL	S	15,000	58.15	7-Feb	29-May	750.00	5.0
THIRD COAST CAPITAL	035	RL	SS	12,000	58.07	7-Feb	29-May	600.00	5.0
COUGAR TRADING	044	SDS	B	10,000	71.67	n/a	n/a	500.00	5.0
KARPNANCY	023	SIAL	B	100	54.46	7-Apr	23-Jun	0.00	0.0
TROUBH PART	067	SLM	S	25,000	15.04	n/a	n/a	1,250.00	5.0
DEMARTINI	065	SNCR	S	1,000	9.91	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	SPW	S	17,652	121.71	n/a	n/a	794.34	4.5
KARPNANCY	023	SPY	B	100	123.70	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	SSCC	B	20,000	4.24	7-Apr	11-Jul	1,000.00	5.0
MERRILL ASSET	032	STC	S	43,500	20.52	n/a	n/a	1,957.50	4.5
BUCK CAPITAL MGMT	001	SYX	SS	20,000	28.17	n/a	24-Apr	800.00	4.0
SAXON WOODS ADVISORS	038	TAP	B	75,000	55.15	n/a	n/a	3,000.00	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	12,000	11.04	29-Apr	11-Jul	600.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TJX	B	50,000	31.56	n/a	11-Jul	2,500.00	5.0
THIRD COAST CAPITAL	035	TJX	B	30,000	31.41	n/a	11-Jul	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KARPNANCY	023	TLB	B	200	10.79	17-Apr	9-Jul	0.00	0.0
MCCARTAN	028	UA	S	200	25.29	n/a	n/a	0.00	0.0
COUGAR TRADING	044	URBN	B	10,000	28.87	15-May	9-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	VFC	S	110,600	70.42	n/a	n/a	4,424.00	4.0
SIGMA CAPITAL ASSOCIATES	237	VFC	SS	25,000	70.29	n/a	n/a	1,250.00	5.0
PERRY PARTNERS	041	WAG	B	155,000	32.53	n/a	n/a	7,750.00	5.0
SUNOVA CAPITAL	031	WDR	S	5,000	30.75	22-Apr	10-Jul	250.00	5.0
N STRATTON	014	WFSL	SS	200	15.10	n/a	n/a	0.00	0.0
CASTLE ARK	031	WFT	S	2,000	40.80	n/a	n/a	120.00	6.0
OGORMAN	046	WMT	SS	500	56.05	30-Jun	11-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	B	40,000	38.59	13-May	9-Jul	1,600.00	4.0
FRONTPOINT CHRIS C	041	WYNN	SS	1,700	73.54	n/a	n/a	68.00	4.0
KAICHER	064	XCO	S	1,000	36.38	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							192,314.28		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRT	07/11	MKT MAKER (MKT MAKER)	099	CLMS	B	100	15.00	13-Feb	10-Jul	0.00	0.0
AO,	07/10	NOMURA CAPITAL (NOMUSTRATE)	045	NYT	SS	3,450	13.70	n/a	n/a	172.50	5.0
AO,	07/10	NOMURA CAPITAL (NOMUBATT2)	045	NYT	SS	3,800	13.70	n/a	n/a	190.00	5.0
AO,	07/10	NOMURA CAPITAL (NOMUBSMAN)	045	NYT	SS	4,750	13.70	n/a	n/a	237.50	5.0
AO,	07/10	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	PLD	S	250,000	49.59	n/a	n/a	7500.00	3.0
AO,CXL	07/10	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	PLD	S	200,000	47.59	n/a	n/a	6000.00	3.0
CRT	07/11	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RCL	S	119,200	19.60	25-Apr	25-Apr	4768.00	4.0
AO,CXL	07/10	SATELITE/BOBBY (SATBCITI)	044	RTH	SS	10,000	86.98	n/a	n/a	400.00	4.0
AO,CRT	07/10	SATELITE/BOBBY (SATBCITI)	044	RTH	SS	10,000	86.98	n/a	n/a	300.00	3.0
AO,CXL	07/08	PALMYRA CAPITAL (PALMGSCO)	048	SKS	S	146,000	9.63	n/a	n/a	7300.00	5.0
AO,CRT	07/08	PALMYRA CAPITAL (PALMGSCO)	048	SKS	S	146,000	9.63	n/a	n/a	4380.00	3.0
AO,	07/10	SAXON WOODS ADVISORS (SAXODYTRAN)	038	WB	B	10,000	13.30	n/a	n/a	400.00	4.0
AO,CXL	07/10	SATELITE/BOBBY (SATBCITI)	044	XRT	SS	20,000	28.31	n/a	n/a	800.00	4.0
AO,CRT	07/10	SATELITE/BOBBY (SATBCITI)	044	XRT	SS	20,000	28.31	n/a	n/a	600.00	3.0
TOTAL (AO, CXL, CRT) :										4,048.00	

TOTAL (Trade Date) :

192,314.28

TOTAL (AO, CXL, CRT):

4,048.00

TOTAL (RUN DATE) / NFS:

196,362.28

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION