



# Daily Compliance Activity Report

Trade Date: 10/02/2008

## POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AEO+KW	SS	2,000	0.56	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		AEO+KW	SS	2,000	0.60	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	AEO	SS	120,000	15.02	n/a	5-Sep	4,800.00	4.0
B BUCK CAPITAL MGMT		AG	B	3,800	36.19	n/a	30-Jul	non-brg	1.0
SINCUTMA	031	AG	B	20	36.05	n/a	30-Jul	0.00	0.0
BRIGHTPOINT CAP	067	ALV	S	58,300	31.29	23-Jul	30-Jul	2,332.00	4.0
B BUCK CAPITAL MGMT		AMT	B	3,000	33.45	n/a	n/a	non-brg	1.0
BEAR STEARNS ASSET MGMT.	033	ANN	SS	43,400	19.42	n/a	17-Sep	1,736.00	4.0
THOMSON HORSTMAN BRYANT	040	ANN	B	70,000	19.63	n/a	17-Sep	3,500.00	5.0
KORMAN	003	ANN	C	2,000	19.40	n/a	17-Sep	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	B	100,000	30.31	28-Apr	5-Sep	4,000.00	4.0
CASTLEROCK	040	ARO	SS	43,203	30.71	28-Apr	5-Sep	1,728.12	4.0
HUNTER GLOBAL INVESTOR	067	AZN	B	110,000	44.81	n/a	n/a	5,500.00	5.0
COBALT	041	BAC	S	60,000	37.32	n/a	19-Sep	3,000.00	5.0
PNC/MERCANTILE	028	BAC	S	14,000	37.39	n/a	19-Sep	700.00	5.0
HUNTER GLOBAL INVESTOR	067	BAX	B	45,000	66.93	n/a	22-Apr	2,250.00	5.0
BEAR STEARNS ASSET MGMT.	033	BBBY	SS	86,800	30.74	n/a	n/a	3,472.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BBY	SS	40,000	34.74	n/a	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		BBY	S	4,100	34.56	n/a	27-Jul	non-brg	1.0
COLUMBIA PARTNERS	028	BEAV	S	172,132	12.45	n/a	n/a	6,885.28	4.0
PRINCIPLE CAPITAL	040	BID	S	53,400	18.32	n/a	n/a	1,602.00	3.0
BUCK	015	BUCY	C	800	38.28	5-Sep	5-Sep	0.00	0.0
N STRATTON	014	BUDAM	B	5	3.90	n/a	n/a	0.00	0.0
COLE	077	BZ	B	300	1.35	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CBL	SS	40,000	18.15	n/a	n/a	non-brg	2.5
Õ Jason Cohen		CCI	B	28	25.83	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CCL	SS	17,400	35.63	n/a	26-Jan	696.00	4.0
S CAST	016	CHCG	S	1,000	1.30	n/a	n/a	0.00	0.0
CATTANI	041	CLDN	S	300	10.45	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		COST	SS	30,000	63.37	n/a	27-Jul	non-brg	4.0
LUCHANSKY1	036	CPN	S	281	11.26	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CRI+KX	SS	1,000	0.53	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CWTR	S	50,000	5.45	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REICH AND TANG	067	CYT	B	5,000	37.18	n/a	n/a	250.00	5.0
<b>BUCK</b>	<b>015</b>	<b>C</b>	<b>B</b>	<b>2,000</b>	<b>23.04</b>	<b>15-Sep</b>	<b>30-Sep</b>	<b>0.00</b>	<b>0.0</b>
COLUMBIA PARTNERS	028	DAI	C	28,843	43.12	n/a	n/a	1,153.72	4.0
ROTHSCHILD ASSET	040	DD	S	27,530	38.86	n/a	30-Sep	1,238.85	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>10,000</b>	<b>96.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DE</b>	<b>B</b>	<b>3,200</b>	<b>41.40</b>	<b>14-Aug</b>	<b>14-Aug</b>	<b>non-brg</b>	<b>1.0</b>
<b>BUCK</b>	<b>015</b>	<b>DHR</b>	<b>SS</b>	<b>1,000</b>	<b>66.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>AMATURO</b>	<b>018</b>	<b>DIAWB</b>	<b>S</b>	<b>30</b>	<b>6.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DIN</b>	<b>SS</b>	<b>19,000</b>	<b>16.43</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DIN</b>	<b>SS</b>	<b>31,000</b>	<b>15.92</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>LEDDY</b>	<b>035</b>	<b>DIS</b>	<b>S</b>	<b>100</b>	<b>30.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DKS</b>	<b>S</b>	<b>50,000</b>	<b>18.16</b>	<b>22-Aug</b>	<b>22-Aug</b>	<b>2,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DKS</b>	<b>S</b>	<b>50,000</b>	<b>18.17</b>	<b>22-Aug</b>	<b>22-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DRE</b>	<b>S</b>	<b>20,000</b>	<b>22.41</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
LATEFF MGMT	035	DVN	B	5,500	83.25	n/a	2-Oct	880.00	16.0
<b>LUCHANSKY</b>	<b>036</b>	<b>DVN</b>	<b>S</b>	<b>100</b>	<b>83.28</b>	<b>n/a</b>	<b>2-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DV</b>	<b>SS</b>	<b>50,000</b>	<b>48.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	EAT	SS	100,000	17.02	n/a	24-Apr	4,000.00	4.0
ß BUCK CAPITAL MGMT		EBHI	S	27,500	5.21	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		EL+WI	SS	2,000	1.51	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		EL	SS	40,000	48.57	15-Aug	4-Sep	non-brg	2.0
ß BUCK CAPITAL MGMT		EL	SS	50,000	48.16	15-Aug	4-Sep	non-brg	4.0
ß BUCK CAPITAL MGMT		EL	SS	50,000	49.89	15-Aug	4-Sep	non-brg	2.0
ß BUCK CAPITAL MGMT		EL	SS	60,000	48.93	15-Aug	4-Sep	non-brg	1.0
GRANITE CAPITAL	045	EMC	S	25,000	11.85	n/a	n/a	0.00	0.0
AMATURO	018	EPG	B	5,000	1.75	n/a	n/a	0.00	0.0
BUCK	015	ETN	C	500	52.54	15-Jul	16-Jul	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	FDO	B	60,000	24.35	23-Jul	1-Oct	3,000.00	5.0
BUCK CAPITAL MGMT	001	FL	S	20,000	15.54	11-Aug	29-Sep	800.00	4.0
ß BUCK CAPITAL MGMT		GES+JG	SS	1,000	0.85	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	GES	B	70,000	32.48	n/a	n/a	2,800.00	4.0
CROWN ADVISORS	045	GE	S	3,000	22.20	n/a	n/a	150.00	5.0
LEDY	035	GE	B	600	22.27	n/a	n/a	0.00	0.0
SINCLAIR	031	GLDAB	B	10	9.00	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Jason Cohen		GLW	B	50	14.71	n/a	23-Sep	0.00	0.0
DSG CAPITAL	225	GNTX	B	11,900	12.98	2-Oct	2-Oct	595.00	5.0
LUCHANSKY1	036	GOOG	S	5	394.67	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GPS	SS	50,000	17.04	n/a	23-Sep	non-brg	4.0
ß BUCK CAPITAL MGMT		GRMN	SS	25,000	31.42	n/a	n/a	non-brg	4.0
BUCK	015	GSK	B	500	43.32	n/a	n/a	0.00	0.0
HARVEST MGMT	039	GYMB	B	10,000	32.33	11-Jul	1-Oct	500.00	5.0
BUCK CAPITAL MGMT	001	HAS	SS	50,000	32.89	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		HOT+WX	SS	1,000	1.43	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		HOT+WX	SS	1,000	1.66	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		HOT	SS	125,000	26.05	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HOT	SS	75,000	25.57	n/a	n/a	non-brg	4.0
REICH AND TANG	067	HSC	B	5,000	33.72	24-Sep	24-Sep	250.00	5.0
CSFB GLOBAL PROPRIETARY	041	IP	B	20,000	26.02	22-Sep	22-Sep	1,000.00	5.0
SCOPUS FUND	041	IP	B	25,000	25.80	22-Sep	22-Sep	1,250.00	5.0
LUCHANSKY1	036	IR	S	100	27.99	n/a	n/a	0.00	0.0
LUCHANSKY1	036	IVZ	S	100	20.41	4-Aug	2-Oct	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LUCHANSKY	036	IVZ	S	100	20.57	4-Aug	2-Oct	0.00	0.0
⌘ BUCK CAPITAL MGMT		IYR	SS	50,000	59.04	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	JCP	SS	50,000	32.68	n/a	1-Oct	2,000.00	4.0
LUCHANSKY1	036	JCP	S	100	33.08	n/a	1-Oct	0.00	0.0
⌘ BUCK CAPITAL MGMT		JNY+WC	SS	1,000	0.85	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JNY	SS	100,000	16.88	n/a	30-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	10,000	35.11	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JWN	SS	30,000	25.43	2-Oct	2-Oct	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	JWN	SS	46,200	27.09	2-Oct	2-Oct	2,310.00	5.0
⌘ BUCK CAPITAL MGMT		KSS	S	105,000	42.58	n/a	1-Oct	non-brg	4.0
COUGAR TRADING	044	KSS	S	10,000	43.48	n/a	1-Oct	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	KSS	S	10,000	42.66	n/a	1-Oct	500.00	5.0
ZOLIDIS	011	KSS	SS	500	43.49	n/a	1-Oct	0.00	0.0
⌘ BUCK CAPITAL MGMT		LIZ+KC	SS	1,000	1.08	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		LIZ+KC	SS	1,000	1.47	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	LIZ	S	20,000	15.96	n/a	18-Sep	800.00	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	50,000	14.18	n/a	18-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	50,000	14.24	n/a	18-Sep	non-brg	2.0
LUCHANSKY1	036	LOW	S	100	22.76	n/a	27-Jul	0.00	0.0
HUNTER GLOBAL INVESTOR	067	LO	B	28,600	68.50	n/a	n/a	1,430.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		LTM	SS	25,000	31.21	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	MAS	SS	200,000	17.32	n/a	n/a	8,000.00	4.0
BUCK	015	MER	B	1,000	27.57	24-Jun	19-Sep	0.00	0.0
KORMAN	003	METPRB	B	1,000	14.90	n/a	n/a	0.00	0.0
LUCHANSKY1	036	MIR	S	200	16.42	n/a	n/a	0.00	0.0
WHEELER	008	MTRX	B	5,000	14.20	n/a	n/a	0.00	0.0
BRUNNER	012	MTRX	B	1,000	14.15	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		M	S	150,000	16.80	12-Aug	1-Oct	non-brg	4.0
ß BUCK CAPITAL MGMT		M	S	150,000	17.19	12-Aug	1-Oct	non-brg	4.0
ß BUCK CAPITAL MGMT		M	S	50,000	16.91	12-Aug	1-Oct	non-brg	1.0
LUCHANSKY1	036	M	S	100	16.37	12-Aug	1-Oct	0.00	0.0
ß BUCK CAPITAL MGMT		NAQ/U	S	53,100	9.05	n/a	n/a	non-brg	4.0
PAKLER	029	NCCAZ	B	7	1.50	n/a	n/a	0.00	0.0
CATTANI	041	NIHD	S	200	33.36	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	NR	B	210,570	6.90	n/a	n/a	9,475.65	4.5
BENCHMARK	028	NWSA	S	5,000	11.34	n/a	n/a	250.00	5.0
KORMAN	003	NWY	C	2,000	9.05	n/a	17-Sep	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REICH AND TANG	067	OI	B	10,000	26.67	n/a	n/a	500.00	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	60,000	22.85	11-Sep	22-Sep	3,000.00	5.0
BUCK CAPITAL MGMT	001	PKY	S	30,000	36.48	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	PSS	S	20,000	17.71	n/a	n/a	800.00	4.0
NOMURA CAPITAL	045	PTRY	S	11,000	21.66	n/a	n/a	550.00	5.0
HUNTER GLOBAL INVESTOR	067	PWR	SS	241,000	22.62	n/a	n/a	12,050.00	5.0
ß BUCK CAPITAL MGMT		QUK+JT	SS	100	5.10	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		QZS+WD	SS	2,000	0.73	n/a	n/a	non-brg	100.0
MERRILL ASSET	032	RNR	B	67,700	47.11	n/a	n/a	3,385.00	5.0
REICH AND TANG	067	RRD	B	3,000	22.66	n/a	n/a	150.00	5.0
ß BUCK CAPITAL MGMT		RRGB	SS	32,500	25.34	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RTH	S	75,000	88.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RTH	S	78,700	87.80	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SBAC	B	4,500	21.18	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHPGY	S	14,583	47.47	21-May	30-Sep	non-brg	4.0
ß BUCK CAPITAL MGMT		SHPGY	S	5,417	47.39	21-May	30-Sep	non-brg	1.0



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ARTX	031	SLM	B	262,000	9.21	n/a	2-Oct	10,480.00	4.0
PERRY PARTNERS	041	SNDK	S	78,000	20.45	n/a	n/a	2,340.00	3.0
⚡ BUCK CAPITAL MGMT		SONC	SS	50,000	14.17	n/a	n/a	non-brg	4.0
HART	056	SPYJH	B	7	4.80	n/a	n/a	0.00	0.0
HART	056	SPYJH	S	2	4.40	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	SRP	B	50,000	9.73	n/a	n/a	2,000.00	4.0
THE JAY GOLDMAN	034	SSI	B	40,000	12.53	n/a	1-Oct	1,600.00	4.0
CASEY CAPITAL	067	STM	SS	10,000	10.16	n/a	n/a	500.00	5.0
⚡ BUCK CAPITAL MGMT		SUMR	B	50,000	3.95	n/a	n/a	non-brg	3.0
KORMAN	003	TEN	C	2,000	8.99	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	TEO	B	33,327	12.46	n/a	n/a	1,666.35	5.0
⚡ BUCK CAPITAL MGMT		TGT	SS	50,000	46.63	n/a	22-Sep	non-brg	4.0
⚡ BUCK CAPITAL MGMT		THI	C	94,000	27.54	n/a	24-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		THI	SS	50,000	27.46	n/a	24-Apr	non-brg	4.0
ZOLIDIS	011	TIF	SS	500	34.67	n/a	17-Sep	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	50,000	14.51	11-Sep	22-Sep	2,500.00	5.0

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JMP ASSET MGMT	210	TITN	B	2,000	16.40	n/a	n/a	100.00	5.0
⚡ BUCK CAPITAL MGMT		TJX+KF	SS	1,000	2.00	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	TJX	S	173,000	30.19	11-Aug	1-Oct	6,920.00	4.0
⚡ BUCK CAPITAL MGMT		TJX	S	200,000	29.86	11-Aug	1-Oct	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TJX	S	50,000	30.26	11-Aug	1-Oct	non-brg	2.0
NOMURA CAPITAL	045	TOL	SS	12,500	24.71	n/a	n/a	625.00	5.0
⚡ BUCK CAPITAL MGMT		TPX	S	20,000	10.04	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TPX	S	50,000	10.02	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		TROW	C	4,169	50.38	19-Sep	2-Oct	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TSCO	SS	50,000	38.38	n/a	n/a	non-brg	4.0
NEW JERSEY DIV OF INV.	220	T	S	100,000	28.32	n/a	4-Jan	4,000.00	4.0
NOMURA CAPITAL	045	UAUA	S	21,700	9.49	n/a	n/a	868.00	4.0
⚡ BUCK CAPITAL MGMT		URBN	B	100,000	29.57	14-Aug	1-Oct	non-brg	4.0
COUGAR TRADING	044	URBN	B	5,000	28.87	14-Aug	1-Oct	250.00	5.0
COUGAR TRADING	044	URBN	SS	5,000	30.21	14-Aug	1-Oct	250.00	5.0
KORMAN	003	URBN	C	1,000	28.79	14-Aug	1-Oct	0.00	0.0
ZOLIDIS	011	UYG	B	600	17.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	SS	70,000	72.80	n/a	n/a	2,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J ROSELT	034	VNO	S	521	87.74	n/a	n/a	0.00	0.0
MERRILL ASSET	032	VTR	B	10,500	48.52	n/a	n/a	525.00	5.0
REICH AND TANG	067	WCC	B	6,000	29.03	n/a	n/a	300.00	5.0
BUCK CAPITAL MGMT	001	WMT	S	50,000	58.65	8-Aug	1-Oct	2,000.00	4.0
BUCK CAPITAL MGMT	001	WRC	B	40,000	41.89	8-Aug	1-Oct	1,600.00	4.0
KORMAN	003	WWW	C	2,000	25.55	n/a	13-Mar	0.00	0.0
BUCK CAPITAL MGMT		XRT	S	175,000	29.74	n/a	n/a	non-brg	1.0
S CAST	016	X	B	13	66.59	n/a	n/a	0.00	0.0
AMATURO	018	X	B	1,000	64.35	n/a	n/a	0.00	0.0
LUCHANSKY1	036	YTEC	S	500	9.94	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		YUM	C	20,000	31.72	14-Aug	25-Sep	non-brg	4.0
KORMAN	003	ZOLT	S	3,000	15.54	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								153,093.97	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	10/01	THE BOSTON COMPANY	401	DSW	S	32,540	13.69	8-May	1-Oct	1301.60 4.0
AO,CRT	10/01	ZOLIDIS	KMH	SHOEQ	C	39,400	0.08	n/a	n/a	0.00 0.0
AO,CXL	10/01	ZOLIDIS	KMH	SHOEQ	C	39,400	0.08	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										1,301.60

TOTAL (Trade Date) :	153,093.97
TOTAL (AO, CXL, CRT) :	1,301.60

TOTAL (RUN DATE) / NFS:	154,395.57
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MRI Securities with Trade Activity on 2-Oct.  
GNTX, JWN

MRI Securities without Trade Activity on 2-Oct.  
CYH, DBD, UHS

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 25%  
YTD = 19%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION