



Daily Compliance Activity Report

Trade Date: 03/12/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAIRD INVESTMENT	031	AAP	B	880	38.63	n/a	n/a	44.00	5.0
BAIRD INVESTMENT	031	ADS	B	475	57.83	n/a	n/a	23.75	5.0
THE JAY GOLDMAN	034	AEO	S	20,000	30.73	4-Jan	9-Mar	800.00	4.0
BAIRD INVESTMENT	031	ALV	B	445	58.32	n/a	n/a	22.25	5.0
SCUDDER HEDGE	034	ANF	B	25,000	75.86	2-Feb	9-Mar	1,000.00	4.0
CAPITAL GROWTH MGMT.	241	APH	S	32,000	64.41	n/a	n/a	1,600.00	5.0
MERRILL ASSET	032	ASF	B	10,900	36.45	n/a	n/a	545.00	5.0
BAIRD INVESTMENT	031	ATVI	B	1,575	17.27	n/a	n/a	78.75	5.0
LEDDY	035	AVZ	S	800	23.48	5-Mar	9-Mar	0.00	0.0
1837 PARTNERS/RMB CAP	030	BIG	B	56,800	29.63	9-Mar	12-Mar	2,840.00	5.0
AAD CAPITAL	048	BIG	SS	3,400	29.92	9-Mar	12-Mar	136.00	4.0
BUCK CAPITAL MGMT	001	BIG	SS	10,000	29.66	9-Mar	12-Mar	400.00	4.0
BAIRD INVESTMENT	031	BJS	B	705	27.25	n/a	n/a	35.25	5.0
B BUCK CAPITAL MGMT		BLDR	S	50,000	17.27	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		BLG	S	177,500	20.00	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		BONT	S	20,000	54.78	9-Mar	9-Mar	non-brg	5.0
STERLING JOHNSTON	035	BONT	S	25,000	54.80	9-Mar	9-Mar	1,250.00	5.0
BAIRD INVESTMENT	031	BRC	B	650	31.61	n/a	n/a	32.50	5.0
LEDDY	035	BSC	B	250	149.75	15-Dec	8-Mar	0.00	0.0
B BUCK CAPITAL MGMT		BXC	S	50,000	10.36	n/a	n/a	non-brg	5.0
BAIRD INVESTMENT	031	CAKE	B	860	26.98	7-Feb	7-Feb	43.00	5.0
BAIRD INVESTMENT	031	CAM	B	445	57.76	n/a	n/a	22.25	5.0
PATTEN & PATTEN	067	CAT	S	1,000	64.65	n/a	n/a	50.00	5.0
LEEDS	002	CBRX	S	3,000	1.26	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	CC	B	20,000	18.08	20-Dec	23-Feb	1,000.00	5.0
BAIRD INVESTMENT	031	CEPH	B	420	67.19	13-Feb	12-Mar	21.00	5.0
BAIRD INVESTMENT	031	CERN	B	380	53.77	n/a	n/a	19.00	5.0
B BUCK CAPITAL MGMT		CHCG	B	101,000	6.82	n/a	n/a	non-brg	3.0
KORMAN	003	CHCG	B	8,000	6.85	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	CHS	B	1,285	22.63	5-Jan	9-Mar	64.25	5.0
WAGONHOUND	048	CLMS	B	10,000	23.38	12-Mar	12-Mar	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAPITAL GROWTH MGMT.	241	CNH	S	55,000	38.63	n/a	n/a	2,750.00	5.0
BUCK CAPITAL MGMT	001	COH	S	15,000	49.87	23-Feb	23-Feb	600.00	4.0
Õ Doug Rogers		CPN	B	10	91.85	n/a	n/a	0.00	0.0
Õ Doug Rogers		CPN	B	15000	93.50	n/a	n/a	0.00	0.0
Õ Doug Rogers		CPN	B	15000	93.50	n/a	n/a	0.00	0.0
Õ Doug Rogers		CPN	B	15000	93.50	n/a	n/a	0.00	0.0
Õ Doug Rogers		CPN	S	2400	4.08	n/a	n/a	0.00	0.0
Õ Doug Rogers		CPN	S	95	41.08	n/a	n/a	0.00	0.0
THOMSON HORSTMAN BRYANT	040	CRI	B	22,300	23.69	n/a	n/a	1,115.00	5.0
BAIRD INVESTMENT	031	CTSH	B	240	91.83	n/a	n/a	12.00	5.0
BAIRD INVESTMENT	031	CVD	B	355	59.85	n/a	n/a	17.75	5.0
BUCK	015	CVX	B	400	68.65	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	CYTC	B	1,055	32.41	13-Feb	13-Feb	52.75	5.0
PATTEN & PATTEN	067	DELL	S	1,500	22.35	n/a	n/a	75.00	5.0
DELTEC ASSET MGMT.	040	DHI	S	9,000	23.32	n/a	n/a	450.00	5.0
BAIRD INVESTMENT	031	DKS	B	380	53.51	n/a	n/a	19.00	5.0
COLONIAL FUND LLC	036	DLTR	B	25,000	36.95	8-Feb	12-Mar	1,250.00	5.0
BUCK	015	DVN	B	300	64.37	9-Feb	9-Feb	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	EFA	B	1,000	74.58	n/a	n/a	50.00	5.0
BAIRD INVESTMENT	031	EV	B	815	34.58	2-Nov	5-Mar	40.75	5.0
BARON ASSET MGMT*	012	EV	B	25,600	34.86	2-Nov	5-Mar	1,280.00	5.0
BAIRD INVESTMENT	031	EWBC	B	785	36.83	n/a	n/a	39.25	5.0
BAIRD INVESTMENT	031	FAST	B	530	35.05	n/a	n/a	26.50	5.0
ADAGE CAPITAL MGMT	030	FDO	B	318,000	30.85	3-Nov	12-Mar	12,720.00	4.0
BUCK CAPITAL MGMT	001	FDO	SS	20,000	30.61	3-Nov	12-Mar	800.00	4.0
COUGAR TRADING	044	FDO	B	5,000	30.90	3-Nov	12-Mar	250.00	5.0
SOROS	036	FDO	B	125,000	30.69	3-Nov	12-Mar	5,000.00	4.0
THIRD COAST CAPITAL	035	FDO	B	7,000	30.49	3-Nov	12-Mar	350.00	5.0
PATTEN & PATTEN	067	FHN	S	1,500	42.16	n/a	n/a	75.00	5.0
CARDINAL CAPITAL	044	GCA	B	10,400	15.00	n/a	n/a	520.00	5.0
LEDDY	035	GCO	B	100	38.18	n/a	10-Jan	0.00	0.0
BAIRD INVESTMENT	031	GNTX	B	1,745	16.83	n/a	n/a	87.25	5.0
BAIRD INVESTMENT	031	GPN	B	805	37.86	n/a	n/a	40.25	5.0
BAIRD INVESTMENT	031	GPRO	B	455	48.80	n/a	n/a	22.75	5.0
B BUCK CAPITAL MGMT		GWV	C	40,000	76.53	26-Jan	12-Mar	non-brg	5.0
WAGONHOUND	048	GYMB	B	5,000	36.04	4-Jan	9-Mar	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BAIRD INVESTMENT	031	HAR	B	290	98.49	n/a	n/a	14.50	5.0
SINCLAIR	031	HLYS	S	500	30.50	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	HOLX	B	460	55.71	n/a	n/a	23.00	5.0
BAIRD INVESTMENT	031	HRS	B	490	47.45	31-Jan	31-Jan	24.50	5.0
BAIRD INVESTMENT	031	HSC	B	290	85.96	28-Feb	28-Feb	14.50	5.0
BUCK	015	ICE	S	500	133.05	n/a	n/a	0.00	0.0
KORMAN	003	IFOX	S	6,174	16.07	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	IJK	B	1,750	83.01	n/a	n/a	87.50	5.0
Ö Doug Rogers		ILA	S	95	41.08	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	IRM	B	900	27.26	n/a	n/a	45.00	5.0
ASCEND PARTNERS	033	ISE	B	10,000	46.48	26-Oct	5-Mar	500.00	5.0
BUCK	015	ISE	B	700	46.36	26-Oct	5-Mar	0.00	0.0
LEDDY	035	ITCD	B	1,800	2.56	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	IYT	S	500	87.53	n/a	n/a	25.00	5.0
THIRD COAST CAPITAL	035	JCG	B	20,000	37.15	25-Jan	12-Mar	1,000.00	5.0
VICTORIA INVESTORS LP	060	JCG	B	5,000	37.46	25-Jan	12-Mar	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUNOVA CAPITAL	031	JNS	SS	100,000	20.20	5-Mar	9-Mar	5,000.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	30,000	32.14	n/a	n/a	non-brg	5.0
BAIRD INVESTMENT	031	JOYG	B	585	43.27	n/a	n/a	29.25	5.0
BINDER	007	KBH	SS	100	46.85	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KMX	B	10,000	52.35	n/a	10-Jan	400.00	4.0
BUCK CAPITAL MGMT	001	KWD	B	40,000	31.12	n/a	n/a	1,600.00	4.0
WHITTIER CAP	048	LAMR	S	4,615	64.81	n/a	n/a	184.60	4.0
SINCLAIR	031	LEH	B	200	75.00	6-Dec	8-Mar	0.00	0.0
MAGUIRE	039	LEH	B	100	76.12	6-Dec	8-Mar	0.00	0.0
CHILTON CAPITAL MGMT.	036	LEN	SS	50,000	45.87	n/a	n/a	2,000.00	4.0
XYLEM GLOBAL	091	LPX	B	50,000	19.66	n/a	7-Feb	2,500.00	5.0
CAPITAL GROWTH MGMT.	241	LVS	S	23,500	84.76	n/a	n/a	1,175.00	5.0
BAIRD INVESTMENT	031	MCHP	B	900	37.00	n/a	n/a	45.00	5.0
LOCUS WOOD CAPITAL MGMT	044	MEL	B	50,000	41.60	5-Dec	16-Feb	2,500.00	5.0
CROWLEY	061	MIRWSB	S	500	21.10	n/a	n/a	0.00	0.0
Õ Doug Rogers		MIR	B	9	37.80	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Doug Rogers		MIR	B	9	37.80	n/a	n/a	0.00	0.0
	GALLEON MGMT LP	033	MON	B	10,000	53.94	n/a	2-Mar	500.00	5.0
ß	BUCK CAPITAL MGMT		NDN	S	38,700	14.92	16-Jan	12-Mar	non-brg	5.0
	KORMAN	003	NEXC	S	2,500	9.79	n/a	n/a	0.00	0.0
	TRIBECA/DOUG	040	NITE	B	10,000	15.58	n/a	n/a	400.00	4.0
ß	BUCK CAPITAL MGMT		NTRI	S	50,000	47.06	n/a	n/a	non-brg	5.0
	BAIRD INVESTMENT	031	NVT	B	715	31.86	n/a	n/a	35.75	5.0
	PATTEN & PATTEN	067	OEF	B	1,000	64.83	n/a	n/a	50.00	5.0
	BAIRD INVESTMENT	031	OSK	B	520	51.81	n/a	n/a	26.00	5.0
	LOOMIS SALES/BOSTON	036	PG	B	68,935	62.33	15-Dec	13-Mar	2,757.40	4.0
	CAXTON PART	040	PNC	B	10,000	72.00	n/a	n/a	400.00	4.0
	BAIRD INVESTMENT	031	PPDI	B	1,115	31.52	n/a	n/a	55.75	5.0
	BUCK CAPITAL MGMT	001	RL	SS	10,000	85.49	2-Feb	16-Feb	400.00	4.0
	BAIRD INVESTMENT	031	RMD	B	765	47.67	n/a	n/a	38.25	5.0
	BAIRD INVESTMENT	031	ROP	B	620	53.11	n/a	n/a	31.00	5.0
	NCM CAPITAL MGMT	035	SBH	S	77,950	9.62	n/a	n/a	3,747.50	4.7

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALLEON MGMT LP	033	SFD	B	5,000	29.22	n/a	n/a	250.00	5.0
BAIRD INVESTMENT	031	SII	B	685	43.44	n/a	n/a	34.25	5.0
PATTEN & PATTEN	067	SPY	B	1,600	141.20	n/a	n/a	80.00	5.0
GASNER	025	SQXCF	S	5	0.40	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	SRCL	B	405	78.56	n/a	n/a	20.25	5.0
DSG CAPITAL	225	SSI	B	5,400	22.00	26-Feb	9-Mar	270.00	5.0
ARIENCE CAPITAL	036	STX	B	21,500	24.62	n/a	n/a	1,075.00	5.0
DE SHAW	040	SUNW	SS	2,000,000	6.30	n/a	n/a	60,000.00	3.0
BAIRD INVESTMENT	031	SWN	B	655	37.87	1-Mar	6-Mar	32.75	5.0
CAPITAL GROWTH MGMT.	241	SWY	S	60,000	34.25	n/a	n/a	3,000.00	5.0
BAIRD INVESTMENT	031	TRMB	B	570	26.55	n/a	n/a	28.50	5.0
BAIRD INVESTMENT	031	TSCO	B	695	53.41	n/a	n/a	34.75	5.0
ROBX	033	TWC	B	5,000	36.70	n/a	n/a	200.00	4.0
BAIRD INVESTMENT	031	UNFI	B	835	29.30	n/a	n/a	41.75	5.0
B BUCK CAPITAL MGMT		URBN	B	5,000	25.26	7-Dec	9-Mar	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROXBURY CAPITAL MGMT	035	USTR	B	86,700	58.03	16-Feb	16-Feb	3,468.00	4.0
BAIRD INVESTMENT	031	VAR	B	610	46.46	n/a	n/a	30.50	5.0
BAIRD INVESTMENT	031	WFMI	B	560	45.46	n/a	n/a	28.00	5.0
CROWLEY	061	WGUAW	S	6	5.94	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WHR	SS	10,000	86.52	n/a	n/a	non-brg	5.0
PLATTE RIVER CAPITAL	035	WMT	B	3,500	47.27	n/a	8-Mar	140.00	4.0
BAIRD INVESTMENT	031	WSM	B	690	34.24	n/a	n/a	34.50	5.0
BAIRD INVESTMENT	031	WTFC	B	645	44.87	n/a	n/a	32.25	5.0
XYLEM GLOBAL	091	WY	S	16,500	81.69	15-Dec	9-Feb	825.00	5.0
BAIRD INVESTMENT	031	ZBRA	B	700	38.49	n/a	n/a	35.00	5.0
BAIRD INVESTMENT	031	ZION	B	395	85.84	n/a	n/a	19.75	5.0
BUCK CAPITAL MGMT	001	ZQK	S	50,000	11.61	9-Mar	9-Mar	2,000.00	4.0
SCHEINER	037	ZQK	SS	2,000	11.64	9-Mar	9-Mar	0.00	0.0
TOTAL (Trade Date) :								135,860.00	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	03/09	MKT MAKER	099	AEO	B	654	30.27	4-Jan	9-Mar	0.00	0.0
AO,CXL	03/09	MKT MAKER	099	AEO	B	654	30.27	4-Jan	9-Mar	0.00	0.0
AO,CXL	03/08	MAIRS AND POWER INV	030	AMGN	B	10,000	62.00	n/a	26-Jan	400.00	4.0
AO,CRT	03/08	MAIRS AND POWER INV	030	AMGN	B	10,000	62.00	n/a	26-Jan	600.00	6.0
AO,	03/09	GOLDMAN SACHS ASSET MGMT	044	AMLN	B	72,240	37.08	n/a	n/a	1083.60	1.5
AO,	03/09	REINHART & MAHONEY	031	CNB	S	7,700	25.34	n/a	n/a	385.00	5.0
AO,	03/09	GOLDMAN SACHS ASSET MGMT	044	HPQ	B	65,000	39.83	n/a	n/a	2600.00	4.0
AO,	03/09	GOLDMAN SACHS ASSET MGMT	044	MHS	S	250,408	68.40	n/a	n/a	3756.12	1.5
AO,	03/09	REINHART & MAHONEY	031	MI	B	4,500	47.42	n/a	n/a	225.00	5.0
AO,CXL	02/27	MKT MAKER	099	SCRX	S	71	23.38	20-Dec	12-Mar	2.84	4.0
AO,CRT	02/27	MKT MAKER	099	SCRX	S	71	23.38	20-Dec	12-Mar	0.00	0.0
AO,	03/09	MKT MAKER	099	URBN	B	300	25.80	7-Dec	9-Mar	0.00	0.0
AO,CXL	03/09	MKT MAKER	035	USTR	B	238	57.06	16-Feb	16-Feb	9.52	4.0
AO,CRT	03/09	MKT MAKER	099	USTR	B	400	57.30	16-Feb	16-Feb	0.00	0.0
AO,CRT	03/09	MKT MAKER	035	USTR	B	238	57.06	16-Feb	16-Feb	0.00	0.0
AO,CRT	03/09	MKT MAKER	099	USTR	S	400	57.29	16-Feb	16-Feb	0.00	0.0
TOTAL (AO, CXL, CRT) :										8,237.36	

TOTAL (Trade Date):	135,860.00
TOTAL (AO, CXL, CRT):	8,237.36

TOTAL (RUN DATE) / NFS:	144,097.36
--------------------------------	-------------------

MRI Securities with Trade Activity on 12-Mar.

CLMS

MRI Securities without Trade Activity on 12-Mar.

LVL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 19%

YTD = 16%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION