



# Daily Compliance Activity Report

Trade Date: 12/04/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PZENA INV ADV	044	ABT	S	150	64.74	n/a	n/a	5.25	0.0
NCM CAPITAL MGMT	035	ADT	S	46,285	44.05	n/a	n/a	1,851.40	0.0
CYRUS CAPITAL	025	AIG	B	205,000	33.39	n/a	n/a	6,150.00	3.0
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>AMCC</b>	<b>S</b>	<b>5000</b>	<b>7.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC CHICAGO ANDREW	031	ANF	S	20,000	45.55	n/a	15-Nov	700.00	3.5
HARVEST MGMT (JMP)	029	ARO	B	10,000	14.06	n/a	n/a	400.00	4.0
NOMURA/ANGEL LANE PRINCIPAL	040	ASH	B	20,000	71.70	n/a	3-Dec	600.00	3.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>AXL</b>	<b>B</b>	<b>200</b>	<b>10.19</b>	<b>n/a</b>	<b>2-Nov</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BID</b>	<b>C</b>	<b>44,025</b>	<b>30.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BRONSON POINT	036	BIG	SS	25,000	31.57	n/a	4-Dec	750.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>BIG</b>	<b>SS</b>	<b>20,000</b>	<b>31.87</b>	<b>n/a</b>	<b>4-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BIG</b>	<b>SS</b>	<b>30,000</b>	<b>30.03</b>	<b>n/a</b>	<b>4-Dec</b>	<b>non-brg</b>	<b>4.0</b>
HARVEST MGMT (JMP)	029	BIG	SS	10,000	30.11	n/a	4-Dec	400.00	4.0
SAC CHICAGO ANDREW	031	BIG	B	13,884	29.85	n/a	4-Dec	485.94	3.5
CENTURION PARTNERS	041	BKW	S	35,000	16.83	n/a	n/a	1,400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>10,000</b>	<b>11.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	BP	B	700	40.87	n/a	n/a	35.00	5.0
NCM CAPITAL MGMT	035	BRCM	B	64,400	32.50	n/a	n/a	2,454.00	0.0
TRUST CO OF THE WEST/NY	040	CLF	S	5,200	29.43	n/a	n/a	260.00	0.0
TRUST CO/COWEN/CALF.	040	CLF	S	108,800	29.43	n/a	n/a	5,440.00	0.0
BRONSON POINT	036	CMI	SS	25,000	97.46	n/a	n/a	750.00	0.0
SAFRA ASSET MGMT.	040	CMI	B	5,000	97.69	n/a	n/a	150.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>C</b>	<b>16,700</b>	<b>57.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PROSPECTOR PARTNERS LLC	036	COP	B	10,000	56.66	n/a	n/a	352.00	3.7
PZENA INV ADV	044	C	B	4,100	34.40	n/a	4-Dec	143.50	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>SS</b>	<b>20,000</b>	<b>41.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DG</b>	<b>S</b>	<b>60,000</b>	<b>47.51</b>	<b>n/a</b>	<b>4-Dec</b>	<b>non-brg</b>	<b>4.0</b>
MACELLUM CAPITAL	086	DG	SS	8,590	47.92	n/a	4-Dec	257.70	0.0
SAC CHICAGO ANDREW	031	DKS	SS	5,000	51.90	n/a	13-Nov	175.00	3.5
EPOCH INV MGMT	030	DRI	S	110,000	47.37	n/a	26-Nov	3,300.00	0.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>DXPE</b>	<b>B</b>	<b>100</b>	<b>0.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT (JMP)	029	EAT	SS	5,000	28.99	n/a	25-Oct	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>EBAY</b>	<b>S</b>	<b>50,000</b>	<b>51.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>ENR</b>	<b>SS</b>	<b>40,000</b>	<b>79.17</b>	<b>n/a</b>	<b>13-Nov</b>	<b>non-brg</b>	<b>4.0</b>
PARKWEST	084	EPOC	B	1,700	9.40	n/a	n/a	51.00	3.0
ROTHSCHILD ASSET	040	EPR	B	46,177	45.87	n/a	n/a	1,847.08	0.0
COHE & STEERS	041	EQR	S	4,286	55.14	n/a	n/a	171.44	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>FDO</b>	<b>S</b>	<b>46,917</b>	<b>70.66</b>	<b>n/a</b>	<b>20-Nov</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>S</b>	<b>40,000</b>	<b>20.47</b>	<b>n/a</b>	<b>28-Sep</b>	<b>non-brg</b>	<b>4.0</b>
ROYCE TRADING	031	FINL	B	2,000	20.44	n/a	28-Sep	100.00	0.0
ROARING BLUE LION MGMT	031	FL	B	4,270	35.50	n/a	16-Nov	170.80	4.0
PROSPECTOR PARTNERS LLC	036	FOR	B	10,000	14.95	n/a	8-Nov	328.00	3.6
HARVEST MGMT (JMP)	029	FRED	B	10,000	13.19	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>S</b>	<b>17,000</b>	<b>54.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>S</b>	<b>30,000</b>	<b>54.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>21,200</b>	<b>36.10</b>	<b>n/a</b>	<b>30-Nov</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>75,000</b>	<b>36.07</b>	<b>n/a</b>	<b>30-Nov</b>	<b>non-brg</b>	<b>4.0</b>
<b>f CICYLY S BACKUS</b>	<b>FID</b>	<b>GIL</b>	<b>S</b>	<b>2000</b>	<b>36.08</b>	<b>n/a</b>	<b>30-Nov</b>	<b>0.00</b>	<b>0.0</b>
<b>f LBP ENTERPRISES INC</b>	<b>FID</b>	<b>GIL</b>	<b>S</b>	<b>2000</b>	<b>36.10</b>	<b>n/a</b>	<b>30-Nov</b>	<b>0.00</b>	<b>0.0</b>
<b>f MELISSA LEE BACKUS</b>	<b>FID</b>	<b>GIL</b>	<b>S</b>	<b>2000</b>	<b>36.13</b>	<b>n/a</b>	<b>30-Nov</b>	<b>0.00</b>	<b>0.0</b>
ACK ASSET	028	GMT	S	22,247	41.75	n/a	n/a	667.41	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NOMURA/ANGEL LANE PRINCIPAL	040	GM	S	55,000	24.97	n/a	4-Dec	1,650.00	3.0
ROSEDALE CAPITAL	046	GM	B	15,000	25.31	n/a	4-Dec	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GNC</b>	<b>B</b>	<b>20,000</b>	<b>34.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GNC</b>	<b>B</b>	<b>20,000</b>	<b>34.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HARVEST MGMT (JMP)	029	GNC	SS	10,000	33.82	n/a	n/a	400.00	4.0
PORTER-ORLIN	044	GNC	B	70,000	34.21	n/a	n/a	2,800.00	4.0
PZENA INV ADV	044	GOOG	S	350	688.03	n/a	n/a	12.25	0.0
HARVEST MGMT (JMP)	029	GPI	B	5,000	61.85	n/a	4-Dec	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>B</b>	<b>20,000</b>	<b>30.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SIMMONS VENTURES	041	GPS	B	10,000	31.00	n/a	n/a	400.00	4.0
LONG POND	029	GRT	S	27,137	10.78	n/a	n/a	1,085.48	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>HAS</b>	<b>SS</b>	<b>50,000</b>	<b>37.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CR INTRINIC INVESTMENT	044	HBI	B	30,000	36.00	n/a	30-Nov	1,050.00	0.0
KLEINHEINZ CAP PART	031	HBI	S	75,000	35.95	n/a	30-Nov	3,750.00	0.0
CHARTER OAK	033	HOLX	B	25,000	18.57	n/a	n/a	1,250.00	5.0
HARVEST MGMT (JMP)	029	HPQ	B	10,000	13.07	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>SS</b>	<b>50,000</b>	<b>20.40</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IP</b>	<b>S</b>	<b>80,000</b>	<b>36.35</b>	<b>n/a</b>	<b>25-Oct</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	IP	B	50,000	36.46	n/a	25-Oct	2,500.00	5.0
NOMURA/ANGEL LANE PRINCIPAL	040	IP	B	10,000	36.38	n/a	25-Oct	300.00	3.0
SYMPHONY ASSET	033	IWM	C	9,710	81.87	n/a	n/a	291.30	0.0
<b>Õ Manny Korman</b>		<b>JPM.PREF</b>	<b>B</b>	<b>600</b>	<b>25.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEEF MGMT	035	KR	S	900	26.66	n/a	n/a	100.00	11.3
CENTURION PARTNERS	041	LTD	B	7,000	51.66	n/a	n/a	280.00	4.0
PLATTE RIVER CAPITAL	240	LUV	S	52,000	9.61	n/a	n/a	2,080.00	0.0
<b>LISTED ERR</b>	<b>099</b>	<b>LUV</b>	<b>B</b>	<b>25,995</b>	<b>9.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
S.A.C. CAPITAL	044	MAS	SS	25,000	16.55	n/a	n/a	875.00	3.5
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MCD</b>	<b>S</b>	<b>1500</b>	<b>86.82</b>	<b>n/a</b>	<b>26-Nov</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MCD</b>	<b>S</b>	<b>200</b>	<b>86.96</b>	<b>n/a</b>	<b>26-Nov</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>MCD</b>	<b>S</b>	<b>200</b>	<b>86.95</b>	<b>n/a</b>	<b>26-Nov</b>	<b>0.00</b>	<b>0.0</b>
RIVERPARK CAPITAL	025	MNST	S	18,289	51.80	n/a	n/a	914.45	0.0
BNP PARIBAS SEC	040	MOS	SS	10,000	53.01	n/a	n/a	300.00	3.0
CSFB GLOBAL PROPRIETARY	041	MWV	S	25,000	30.60	n/a	24-Oct	1,250.00	5.0
BNP PARIBAS SEC	040	OLN	B	20,000	20.94	n/a	n/a	600.00	3.0
PZENA INV ADV	044	OMC	S	2,250	49.28	n/a	n/a	78.76	0.0
SAC CHICAGO ANDREW	031	ONLY	SS	3,585	93.07	n/a	n/a	125.48	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>PCP</b>	<b>S</b>	<b>23,458</b>	<b>182.00</b>	<b>n/a</b>	<b>16-Nov</b>	<b>non-brg</b>	<b>4.0</b>
SAC CHICAGO ANDREW	031	PETM	SS	15,000	70.49	n/a	n/a	525.00	3.5
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>PNF</b>	<b>S</b>	<b>1175</b>	<b>12.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>PNI</b>	<b>S</b>	<b>2722</b>	<b>13.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC CHICAGO ANDREW	031	RCII	SS	5,000	35.04	n/a	n/a	175.00	3.5
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>RUSHA</b>	<b>S</b>	<b>5000</b>	<b>19.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SCSS</b>	<b>B</b>	<b>120,000</b>	<b>27.43</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKS</b>	<b>SS</b>	<b>200,000</b>	<b>10.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
LONG POND	029	SPF	SS	190,000	6.75	n/a	n/a	5,700.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>SWFT</b>	<b>B</b>	<b>2,500</b>	<b>8.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SWFT</b>	<b>B</b>	<b>57,500</b>	<b>8.31</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>SWFT</b>	<b>B</b>	<b>10000</b>	<b>8.29</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
J. GOLDMAN	028	TEX	B	40,000	24.21	n/a	n/a	1,600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>S</b>	<b>80,000</b>	<b>61.66</b>	<b>n/a</b>	<b>29-Nov</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TSCO</b>	<b>B</b>	<b>10,000</b>	<b>84.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HARVEST MGMT (JMP)	029	TSCO	SS	10,000	84.56	n/a	n/a	400.00	4.0
NCM CAPITAL MGMT	035	TTEK	S	50,325	25.65	n/a	n/a	2,013.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	TZOO	B	10,000	18.02	n/a	n/a	400.00	0.0
CR INTRINSIC/CHRIS C	041	UFS	S	24,800	78.28	n/a	n/a	868.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>20,000</b>	<b>36.48</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HARVEST MGMT (JMP)	029	URBN	S	15,000	36.26	n/a	n/a	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>URI</b>	<b>B</b>	<b>12,500</b>	<b>40.70</b>	<b>n/a</b>	<b>17-Apr</b>	<b>non-brg</b>	<b>4.0</b>
J. GOLDMAN	028	URI	S	20,000	42.19	n/a	17-Apr	800.00	4.0
<b>f LBP ENTERPRISES INC</b>	<b>FID</b>	<b>URI</b>	<b>S</b>	<b>2000</b>	<b>41.13</b>	<b>n/a</b>	<b>17-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VNO</b>	<b>B</b>	<b>1000</b>	<b>76.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>VNO</b>	<b>B</b>	<b>100</b>	<b>76.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RATAN CAPITAL MGMT LP	041	VRX	B	10,000	55.65	n/a	n/a	300.00	3.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WFM</b>	<b>S</b>	<b>5</b>	<b>1.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT (JMP)	029	WMT	B	10,000	71.64	n/a	15-Nov	400.00	4.0
BRONSON POINT	036	WYN	B	25,000	49.99	n/a	n/a	750.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>YUM</b>	<b>C</b>	<b>20,000</b>	<b>66.00</b>	<b>n/a</b>	<b>3-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>YUM</b>	<b>B</b>	<b>250</b>	<b>66.48</b>	<b>n/a</b>	<b>3-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>YUM</b>	<b>B</b>	<b>100</b>	<b>66.49</b>	<b>n/a</b>	<b>3-Dec</b>	<b>0.00</b>	<b>0.0</b>
TYEE/TYGH	035	Z	S	93,154	27.21	n/a	n/a	3,726.16	0.0

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**TOTAL (Trade Date):**

**71,695.40**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/03	WESTFACE CAPITAL	040	HP	SS	40,000	52.66	n/a	n/a	1200.00 3.0
AO,CRT	12/03	WESTFACE CAPITAL	040	HP	SS	40,000	52.66	n/a	n/a	1200.00 3.0
AO,CRT	12/03	WESTFACE CAPITAL	040	OIH	SS	100,000	38.77	n/a	n/a	3000.00 3.0
AO,CXL	12/03	WESTFACE CAPITAL	040	OIH	SS	100,000	38.77	n/a	n/a	3000.00 3.0
AO,CRT	12/03	WESTFACE CAPITAL	040	PDS	B	200,000	7.48	n/a	n/a	6000.00 3.0
AO,CXL	12/03	WESTFACE CAPITAL	040	PDS	B	200,000	7.48	n/a	n/a	6000.00 3.0
AO,CXL	12/03	PENNANT CAP MGMT	029	PFE	S	400,000	25.22	n/a	n/a	12000.00 0.0
AO,CRT	12/03	PENNANT CAP MGMT	029	PFE	S	400,000	25.22	n/a	n/a	12000.00 0.0
AO,CXL	12/03	WESTFACE CAPITAL	040	PTEN	SS	115,000	17.70	n/a	n/a	3450.00 3.0
AO,CRT	12/03	WESTFACE CAPITAL	040	PTEN	SS	115,000	17.70	n/a	n/a	3450.00 3.0
AO,CRT	12/03	IMPALA ASSET MMT	040	WY	B	799,858	27.64	n/a	30-Nov	31994.32 4.0
AO,CXL	12/03	IMPALA ASSET MMT	040	WY	B	799,858	27.64	n/a	30-Nov	31994.32 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>0.00</b>

TOTAL (Trade Date) :	71,695.40
TOTAL (AO, CXL, CRT) :	0.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>71,695.40</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 4-Dec.  
NONE

MRI Securities without Trade Activity on 4-Dec.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)