



Daily Compliance Activity Report

Trade Date: 09/25/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
S.A.C. CAPITAL MGMT.	044	AA	B	100,000	25.42	n/a	n/a	5,000.00	5.0
Michael Marrano		ABB	B	300	20.69	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	AB	S	55,000	41.12	10-Sep	19-Sep	2,750.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	85,000	15.55	n/a	5-Sep	3,400.00	4.0
COUGAR TRADING	044	AEO	B	10,000	15.24	n/a	5-Sep	500.00	5.0
REINHART & MAHONEY	031	AFG	B	7,700	28.89	n/a	n/a	385.00	5.0
KAICHER	064	AIG	S	7,000	3.65	n/a	n/a	0.00	0.0
S CAST	016	AKNS	B	200	4.70	n/a	n/a	0.00	0.0
TRUSTCO/SUNTRUST	040	ALV	B	43,200	37.13	23-Jul	30-Jul	1,382.40	3.2
BRANDWINE	028	AMRI	S	59,383	18.24	n/a	n/a	2,375.32	4.0
J L F ASSET MGMT	039	ANN	SS	20,800	22.01	n/a	17-Sep	832.00	4.0
BRENCOURT	028	AN	SS	25,000	12.96	25-Jul	28-Jul	750.00	3.0
LISTED ERR	099	APD	S	8	73.54	n/a	23-Sep	0.00	0.0
COUGAR TRADING	044	ARO	SS	5,000	31.36	28-Apr	5-Sep	250.00	5.0
TRUST CO/COWEN/CALF.	040	BCE	S	98,003	35.92	n/a	n/a	4,900.15	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	BEN	B	20,000	96.23	10-Sep	19-Sep	1,000.00	5.0
ASCEND PARTNERS	033	BIG	C	35,000	30.45	29-May	5-Sep	1,750.00	5.0
BULL PATH CAPITAL	044	BMS	B	33,500	27.58	n/a	n/a	1,675.00	5.0
BRANDWINE	098	BTH	B	58,100	12.54	n/a	n/a	2,324.00	4.0
BRENCOURT	028	BWA	B	25,000	32.96	10-Jun	1-Aug	750.00	3.0
B BUCK CAPITAL MGMT		BWA	C	48,400	32.58	10-Jun	1-Aug	non-brg	4.0
METROPOLITAN CAPITAL	044	BWS	S	25,000	17.19	n/a	n/a	1,250.00	5.0
GRONET	030	BZ	B	3,000	1.80	n/a	n/a	0.00	0.0
BUCK	015	CAS	S	60	0.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	CBT	B	5,000	32.18	n/a	24-Sep	250.00	5.0
B BUCK CAPITAL MGMT		CHS	S	20,000	5.91	8-Aug	24-Sep	non-brg	4.0
BALYASNY ASSET MGMT	044	CLMS	B	32,600	20.17	19-Sep	19-Sep	1,630.00	5.0
BALYASNY ASSET MGMT	044	CNS	B	40,000	28.95	n/a	n/a	2,000.00	5.0
JP MORGAN VENTURES	046	COST	SS	10,000	66.01	n/a	27-Jul	500.00	5.0
KAICHER	064	CPN	B	3,000	14.05	n/a	n/a	0.00	0.0
ROYAL CAPITAL	039	CREE	B	179,099	26.99	n/a	n/a	8,954.95	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRUST CO/COWEN/CALF.	040	CVX	S	22,550	87.68	n/a	n/a	1,127.50	5.0
WHITE PINE CAPITAL	030	C	B	21,400	19.51	15-Sep	19-Sep	1,070.00	5.0
HOOVER INV. MGMT	033	DBRN	B	65,900	15.01	n/a	n/a	3,295.00	5.0
MTB INV ADV	034	DBRN	S	30,850	14.80	n/a	n/a	1,542.50	5.0
SINCLAIR	031	DIWA	B	5	2.95	n/a	n/a	0.00	0.0
AMATURO	018	DIAB	B	30	3.29	n/a	n/a	0.00	0.0
HART	056	DIAB	B	8	3.25	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DIA	C	50,600	108.92	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		DIA	C	600	110.19	n/a	n/a	non-brg	1.0
BRANDWINE	098	DLLR	B	29,960	16.20	n/a	n/a	1,198.40	4.0
N STRATTON	014	DSNY	B	2,500	0.32	n/a	n/a	0.00	0.0
OGORMAN	046	DXD	S	250	61.06	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	EMN	SS	17,000	58.32	n/a	n/a	850.00	5.0
BALYASNY ASSET MGMT	044	EV	B	40,000	33.18	21-Aug	19-Sep	2,000.00	5.0
PHILADELPHIA FINANCIAL	033	FII	B	35,941	31.15	19-Sep	25-Sep	1,437.64	4.0
MTB INV ADV	034	FLO	B	26,550	27.79	n/a	n/a	1,327.50	5.0
MENCKE	055	FNM	B	100	2.68	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		FOSL	S	25,000	27.03	n/a	17-Sep	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FUQI	S	80,400	8.71	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT	001	GES	S	40,000	37.96	n/a	n/a	1,600.00	4.0
⌘ BUCK CAPITAL MGMT		GES	S	38,000	38.06	n/a	n/a	non-brg	1.0
JP MORGAN VENTURES	046	GIL	S	30,000	23.99	8-Sep	8-Sep	1,500.00	5.0
WILLIAM BLAIR	031	GIL	B	115,000	23.97	8-Sep	8-Sep	4,600.00	4.0
MENCKE	055	GME	B	100	36.06	n/a	n/a	0.00	0.0
OSS CAPITAL/KEVIN DANN	067	GR	B	100,000	44.26	5-Jun	24-Jul	3,000.00	3.0
BUCK	015	GSMN	B	10	3.05	n/a	n/a	0.00	0.0
BUCK	015	GSWS	S	10	3.10	n/a	n/a	0.00	0.0
FORD FDN.	032	GS	S	10,000	132.22	15-Sep	24-Sep	500.00	5.0
MERRILL ASSET	032	GS	S	116,800	135.31	15-Sep	24-Sep	5,840.00	5.0
NATIONAL BK OF CAN	031	GS	B	10,000	135.77	15-Sep	24-Sep	400.00	4.0
NATIONAL BK OF CAN	031	GS	S	10,000	136.66	15-Sep	24-Sep	400.00	4.0
ROYAL CAPITAL	039	HAS	SS	195,900	36.11	n/a	n/a	9,795.00	5.0
PORTER-ORLIN-FELDMAN	044	HBC	B	100,000	82.17	n/a	n/a	5,000.00	5.0
⌘ BUCK CAPITAL MGMT		HOT+WE	SS	2,000	1.09	n/a	n/a	non-brg	300.0
TRUST CO/COWEN/CALF.	040	IBM	S	15,750	120.11	n/a	n/a	787.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WELLS/STRONG LEDDY	035	ITWO	S	3,200	13.20	n/a	n/a	128.00	4.0
ß BUCK CAPITAL MGMT		IWM	C	300,000	70.70	n/a	n/a	non-brg	2.0
Õ Michael Marrano		JAVA	S	75	7.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	S	155,000	28.58	n/a	17-Sep	6,200.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	100,000	35.45	n/a	5-Sep	4,000.00	4.0
KACELA CAPITAL	034	JCP	S	15,000	35.70	n/a	5-Sep	600.00	4.0
ß BUCK CAPITAL MGMT		JOSB	S	21,500	33.72	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		JOSB	S	475	34.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		JOSB	S	50,000	32.84	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		JOSB	S	53,025	33.81	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		JOSB	S	75,000	32.40	n/a	n/a	non-brg	2.5
J L F ASSET MGMT	039	JWN	SS	11,400	30.08	10-Jul	17-Sep	456.00	4.0
TRUST CO/COWEN/CALF.	040	KMB	S	15,100	64.59	28-Aug	28-Aug	755.00	5.0
PRINCIPLE CAPITAL	040	KMX	S	75,100	14.86	n/a	10-Jan	2,253.00	3.0
BALYASNY ASSET MGMT	044	KNX	B	34,800	17.21	n/a	n/a	1,740.00	5.0
SIGMA CAPITAL ASSOCIATES	237	KO	S	20,000	51.39	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		KSS	S	5,000	48.01	n/a	5-Sep	non-brg	1.0
COUGAR TRADING	044	KSS	B	5,000	48.50	n/a	5-Sep	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEISS PECK	040	KSS	C	7,200	48.31	n/a	5-Sep	360.00	5.0
GRANITE CAPITAL	045	LULU	B	10,000	23.61	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		MAR+MD	SS	797	0.85	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MBFG IM	S	10,397	21.26	n/a	n/a	non-brg	4.3
COLUMBUS CIRCLE	036	MER	B	189,000	26.56	24-Jun	19-Sep	7,560.00	4.0
ß BUCK CAPITAL MGMT		MFB	B	13,200	15.73	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MSSR	SS	35,000	8.78	n/a	n/a	non-brg	4.0
FORD FDN.	032	MS	S	64,000	24.69	15-Sep	22-Sep	3,200.00	5.0
BUCK	015	MWO	B	1,400	12.79	n/a	n/a	0.00	0.0
STRONG/MARK GRONET	030	MYRG	B	14,525	13.00	n/a	n/a	581.00	4.0
ß BUCK CAPITAL MGMT		M	S	20,000	18.61	12-Aug	29-Aug	non-brg	1.0
COUGAR TRADING	044	M	SS	20,000	18.66	12-Aug	29-Aug	1,000.00	5.0
TROUBH PART	067	NOK	S	25,000	20.60	n/a	n/a	1,250.00	5.0
JP MORGAN VENTURES	046	NTY	S	75,000	29.49	n/a	n/a	3,750.00	5.0
Õ Michael Marrano		NYX	B	150	38.54	n/a	n/a	0.00	0.0
BENCHMARK	028	PCAR	SS	7,500	39.94	n/a	23-Jul	375.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET	032	PFS	S	20,000	16.19	n/a	n/a	900.00	4.5
J.W. SELIGMAN	041	PG	B	395,500	69.42	18-Aug	25-Sep	15,820.00	4.0
HIGHBRIDGE CAPITAL	038	PPG	SS	16,000	61.37	n/a	25-Sep	800.00	5.0
BALYASNY ASSET MGMT	044	PTV	B	18,900	25.55	n/a	n/a	945.00	5.0
CADIAN CAPITAL	067	PVH	B	100,000	37.53	n/a	28-Aug	5,000.00	5.0
COUGAR TRADING	044	PVH	B	10,000	37.54	n/a	28-Aug	500.00	5.0
COUGAR TRADING	044	PVH	S	5,000	37.52	n/a	28-Aug	250.00	5.0
THOMSON HORSTMAN BRYANT	040	PVH	S	88,300	37.45	n/a	28-Aug	4,415.00	5.0
MTB INV ADV	034	RAH	B	4,775	66.62	n/a	n/a	238.75	5.0
MTB INV ADV	034	RDEN	B	16,000	19.81	15-Aug	15-Aug	800.00	5.0
RHO CAPITAL MGMT	041	RIMM	B	250	97.48	n/a	n/a	10.00	4.0
COUGAR TRADING	044	RL	B	10,000	70.22	n/a	28-Aug	500.00	5.0
COUGAR TRADING	044	RL	S	5,000	70.52	n/a	28-Aug	250.00	5.0
HARVEST MGMT	039	ROST	S	20,000	36.17	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		RTH	B	300,000	91.34	n/a	n/a	non-brg	2.0
OGORMAN	046	SDS	S	300	66.92	n/a	n/a	0.00	0.0
BUCK	015	SFBKZ	S	14	1.92	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRIVIUM CAP MGMT	251	SINA	S	5,000	37.00	n/a	n/a	200.00	4.0
GAULT	063	SKF	B	1,000	98.00	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	1,000	99.43	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	40,000	16.61	n/a	13-Mar	1,600.00	4.0
WAGONHOUND/NIC	048	SMG	C	21,878	22.94	22-Sep	22-Sep	875.12	4.0
JP MORGAN VENTURES	046	SPLS	SS	15,000	23.61	n/a	27-Jul	750.00	5.0
N STRATTON	014	SPRO	B	350	3.55	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SPY	C	225,000	120.52	n/a	n/a	non-brg	2.0
LUCHANSKY	036	SPY	B	100	120.71	n/a	n/a	0.00	0.0
LUCHANSKY1	036	SPY	B	100	120.82	n/a	n/a	0.00	0.0
SINCLAIR	031	SWNLF	S	10	7.90	n/a	n/a	0.00	0.0
Õ Michael Marrano		SYMC	S	700	19.79	n/a	3-Apr	0.00	0.0
MS PRINCIPAL STRATEGIES	041	TEO	B	5,000	12.94	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		TGT	C	30,000	50.77	n/a	22-Sep	non-brg	4.0
MTB INV ADV	034	THS	B	11,175	29.88	n/a	n/a	558.75	5.0
BUCK CAPITAL MGMT	001	TJX	B	140,000	32.02	11-Aug	5-Sep	5,600.00	4.0
BALYASNY ASSET MGMT	044	TROW	B	30,000	58.84	19-Sep	19-Sep	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	UA	B	5,000	34.24	22-Sep	22-Sep	250.00	5.0
GRONET	030	UQM	S	1,000	2.63	n/a	n/a	0.00	0.0
EFSTATHIOU	044	UQM	S	5,000	2.65	n/a	n/a	0.00	0.0
COUGAR TRADING	044	URBN	SS	5,000	34.71	14-Aug	17-Sep	250.00	5.0
TRUST CO/COWEN/CALF.	040	VLO	B	98,200	34.79	n/a	n/a	4,910.00	5.0
TRCO	040	VLO	B	1,800	34.79	n/a	n/a	90.00	5.0
ROTHSCHILD ASSET	040	WAT	S	29,310	59.01	n/a	n/a	1,318.95	4.5
HEALY CIRCLE	044	WMT	B	15,000	60.22	8-Aug	5-Sep	750.00	5.0
COUGAR TRADING	044	WRC	SS	5,000	48.46	8-Aug	28-Aug	250.00	5.0
J L F ASSET MGMT	039	WWW	SS	13,300	26.05	n/a	13-Mar	532.00	4.0
B BUCK CAPITAL MGMT		XRT	B	875,000	31.89	n/a	n/a	non-brg	1.0
COUGAR TRADING	044	ZQK	S	20,000	6.17	27-Aug	5-Sep	800.00	4.0
TOTAL (Trade Date) :								179,751.43	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	09/23	BAIRD INVESTMENT	031	CHRW	B	12,000	53.01	n/a	n/a	600.00 5.0
AO,CXL	09/23	BAIRD INVESTMENT	031	CHRW	B	12,000	53.01	n/a	n/a	600.00 5.0
AO,CRT	09/24	SATELITE/BOBBY	044	DAL	S	50,000	8.13	n/a	n/a	1500.00 3.0
AO,CXL	09/24	SATELITE/BOBBY	044	DAL	S	50,000	8.13	n/a	n/a	2000.00 4.0
AO,CRT	09/19	JMP ASSET MGMT	210	RRGB	SS	800	30.79	n/a	n/a	32.00 4.0
AO,CXL	09/19	JMP ASSET MGMT	210	RRGB	SS	800	30.79	n/a	n/a	40.00 5.0
AO,CRT	09/24	SATELITE/BOBBY	044	WTSLA	B	15,000	3.60	n/a	n/a	450.00 3.0
AO,CXL	09/24	SATELITE/BOBBY	044	WTSLA	B	15,000	3.60	n/a	n/a	600.00 4.0

TOTAL (AO, CXL, CRT) :**-658.00**

TOTAL (Trade Date) :

179,751.43

TOTAL (AO, CXL, CRT) :

-658.00

TOTAL (RUN DATE) / NFS:**179,093.43****MRI Securities with Trade Activity on 25-Sep.**
NONE

MRI Securities without Trade Activity on 25-Sep.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 33%
YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION