



# Daily Compliance Activity Report

Trade Date: 05/20/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>AEO</b>	<b>B</b>	<b>25,000</b>	<b>14.24</b>	<b>20-Apr</b>	<b>8-May</b>	<b>non-brg</b>	<b>4.0</b>
JP MORGAN VENTURES	046	AMZN	SS	10,000	80.53	n/a	n/a	500.00	5.0
WASHINGTON ASSET	048	APC	B	5,000	46.24	3-Feb	7-May	250.00	5.0
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>ATDPF</b>	<b>B</b>	<b>5000</b>	<b>0.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	AZN	B	75,000	41.30	n/a	n/a	3,000.00	4.0
SIGMA CAP BOBBY	044	BAC	S	20,000	12.15	11-May	19-May	800.00	4.0
JMP ASSET MGMT	210	BARE	SS	10,000	9.56	n/a	n/a	400.00	4.0
LISTED ERR	<b>099</b>	<b>BARE</b>	<b>B</b>	<b>7,500</b>	<b>9.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LISTED ERR	<b>099</b>	<b>BARE</b>	<b>S</b>	<b>7,500</b>	<b>9.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	BEN	B	15,000	62.67	11-May	19-May	750.00	5.0
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>BGZ</b>	<b>B</b>	<b>2000</b>	<b>37.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BLK</b>	<b>B</b>	<b>3,000</b>	<b>138.76</b>	<b>4-Mar</b>	<b>20-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> JONATHAN RICHARD HAR	<b>FID</b>	<b>BONT</b>	<b>B</b>	<b>245</b>	<b>4.10</b>	<b>6-Feb</b>	<b>6-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BWA</b>	<b>C</b>	<b>20,000</b>	<b>30.85</b>	<b>1-May</b>	<b>1-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>BWA</b>	<b>S</b>	<b>250</b>	<b>30.04</b>	<b>1-May</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	BWA	SS	2,200	31.01	1-May	1-May	110.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ROBERT M DANIELS	FID	CIT	S	190	3.00	23-Apr	20-May	0.00	0.0
B BUCK CAPITAL MGMT		CMG	C	20,000	75.85	14-May	14-May	non-brg	1.0
B BUCK CAPITAL MGMT		COF	B	25,000	22.87	15-May	20-May	non-brg	4.0
B BUCK CAPITAL MGMT		COH	S	110,000	25.03	9-Jan	22-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		COH	S	30,000	25.71	9-Jan	22-Apr	non-brg	4.0
PRINCIPLE CAPITAL	040	COH	SS	70,400	24.81	9-Jan	22-Apr	2,112.00	3.0
f DAVID GEORGE BUCK	FID	COPSV	S	5	1.51	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	COP	B	600	46.98	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		COST	SS	50,000	48.81	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT	001	CRI	B	50,000	21.80	n/a	n/a	2,000.00	4.0
B BUCK CAPITAL MGMT		CRI	B	50,000	21.79	n/a	n/a	non-brg	2.0
f ANTHONY NG	FID	CTIC	S	500	1.27	n/a	n/a	0.00	0.0
f ANTHONY NG	FID	CVGI	B	525	1.15	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	CVX	S	21,821	65.70	n/a	n/a	981.95	4.5
B BUCK CAPITAL MGMT		DECK	B	1,000	53.04	n/a	n/a	non-brg	4.0
TROUBH PART	067	DELL	S	25,000	11.52	n/a	n/a	1,250.00	5.0
SANDLER O'NEILL ASSET	031	DFS	S	100,000	8.72	n/a	20-May	3,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ACK ASSET	028	EMR	SS	12,000	33.59	n/a	n/a	480.00	4.0
BALYASNY ASSET MGMT	044	EV	SS	10,000	28.77	19-May	20-May	500.00	5.0
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>FAZ</b>	<b>S</b>	<b>1000</b>	<b>5.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	FDO	B	60,000	30.28	5-Mar	18-May	2,400.00	4.0
<b>B</b> BUCK CAPITAL MGMT		FDO	C	30,000	31.38	5-Mar	18-May	non-brg	4.0
<b>B</b> BUCK CAPITAL MGMT		GCO	S	57,000	22.46	n/a	10-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	B	30,000	23.46	n/a	n/a	1,200.00	4.0
PATTEN & PATTEN	067	GE	B	2,100	13.97	n/a	n/a	105.00	5.0
<b>B</b> BUCK CAPITAL MGMT		GME	B	100,000	26.96	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	GME	SS	20,000	26.43	n/a	n/a	1,000.00	5.0
<b>B</b> BUCK CAPITAL MGMT		GS	B	5,000	137.15	11-May	19-May	non-brg	1.0
<b>B</b> BUCK CAPITAL MGMT		GYMB	S	25,000	36.56	21-May	8-May	non-brg	4.0
<b>B</b> BUCK CAPITAL MGMT		GYMB	S	7,300	36.33	21-May	8-May	non-brg	1.0
<b>B</b> BUCK CAPITAL MGMT		HBI	B	30,000	16.76	n/a	n/a	non-brg	4.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>HD</b>	<b>B</b>	<b>3000</b>	<b>24.49</b>	<b>12-May</b>	<b>20-May</b>	<b>0.00</b>	<b>0.0</b>
JMP ASSET MGMT	210	HOTT	SS	5,000	8.75	n/a	30-Jan	200.00	4.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>HOTT</b>	<b>B</b>	<b>1000</b>	<b>8.90</b>	<b>n/a</b>	<b>30-Jan</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>B</b>	<b>30,000</b>	<b>15.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EPOCH INV MGMT	030	IFF	S	19,125	31.05	21-Jan	30-Apr	765.00	4.0
CSFB GLOBAL PROPRIETARY	041	IP	SS	20,000	14.65	n/a	1-May	1,000.00	5.0
GRUSS CAPITAL	045	IP	B	3,000	15.27	n/a	1-May	90.00	3.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>IRE</b>	<b>B</b>	<b>1000</b>	<b>8.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MADISON ST PARTNERS LLC	046	IVZ	B	30,000	14.66	11-May	20-May	900.00	3.0
TYEE/TYGH	035	IVZ	B	250,000	14.71	11-May	20-May	10,000.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>IWF</b>	<b>S</b>	<b>1000</b>	<b>40.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>C</b>	<b>20,000</b>	<b>21.30</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>C</b>	<b>50,000</b>	<b>21.71</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>C</b>	<b>80,000</b>	<b>21.28</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
EATON VANCE MGMT	039	JNJ	B	50,000	56.09	n/a	22-Apr	1,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>SS</b>	<b>80,000</b>	<b>22.40</b>	<b>20-Feb</b>	<b>15-May</b>	<b>non-brg</b>	<b>4.0</b>
OVERLAND PARK CAP	041	JWN	SS	13,350	22.49	20-Feb	15-May	534.00	4.0
PILOT ROCK	028	JWN	SS	100,000	22.10	20-Feb	15-May	4,000.00	4.0
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>KEG</b>	<b>B</b>	<b>325</b>	<b>6.40</b>	<b>n/a</b>	<b>18-May</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL THOMAS NOLAN</b>	<b>FID</b>	<b>KR</b>	<b>B</b>	<b>500</b>	<b>22.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>10,000</b>	<b>42.50</b>	<b>8-May</b>	<b>15-May</b>	<b>400.00</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		LVS	S	125,000	10.28	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		LVS	S	25,000	10.23	n/a	n/a	non-brg	4.0
f STRATTON PAR TNERSHI	FID	LWTSX	S	4	0.75	n/a	n/a	0.00	0.0
NEWCASTLE FUNDS	255	MCD	SS	10,000	56.59	n/a	8-May	400.00	4.0
f MANNY I KORMAN	FID	MERPRK	B	2000	14.65	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MPEL	S	100,000	5.83	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		MVRX	C	90,000	128.71	n/a	n/a	non-brg	12.9
OVERLAND PARK CAP	041	M	B	24,500	12.18	n/a	14-May	980.00	4.0
M&I FUNDS	256	NFLX	B	9,097	40.51	n/a	n/a	363.88	4.0
BUCK CAPITAL MGMT	001	NKE	S	30,000	51.20	n/a	n/a	1,200.00	4.0
THIRD COAST CAPITAL	035	NKE	S	5,000	52.03	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		PETM	C	10,000	22.63	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PETM	C	90,000	22.67	n/a	n/a	non-brg	4.0
f STRATTON PAR TNERSHI	FID	PKK	B	300	15.25	n/a	n/a	0.00	0.0
f STRATTON PAR TNERSHI	FID	PTSEF	S	2500	0.42	n/a	n/a	0.00	0.0
CADIAN CAPITAL	067	PVH	B	50,000	28.58	20-Apr	20-Apr	2,500.00	5.0
LEVIN CAPITAL STRATEGIES	012	PVH	S	17,600	28.59	20-Apr	20-Apr	704.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>QNQIV</b>	<b>B</b>	<b>1</b>	<b>4.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THE BOSTON COMPANY	039	RL	B	58,470	51.83	n/a	16-Apr	2,338.80	4.0
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>RST</b>	<b>S</b>	<b>500</b>	<b>25.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SEP</b>	<b>S</b>	<b>1000</b>	<b>22.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>SIGM</b>	<b>S</b>	<b>2000</b>	<b>16.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>SKF</b>	<b>B</b>	<b>300</b>	<b>43.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WAGONHOUND/NIC	048	SKS	B	49,749	4.48	n/a	n/a	1,492.47	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>S</b>	<b>10,000</b>	<b>9.77</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>1.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>S</b>	<b>15,600</b>	<b>9.79</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>S</b>	<b>35,000</b>	<b>9.95</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>1.0</b>
WAGONHOUND/NIC	048	SLM	B	78,291	5.94	23-Apr	20-May	2,348.73	3.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>SPHIX</b>	<b>S</b>	<b>14245</b>	<b>7.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SWI</b>	<b>S</b>	<b>5,000</b>	<b>14.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
COLUMBIA PARTNERS	028	SWN	S	20,953	41.08	27-Feb	28-Apr	838.12	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TAST</b>	<b>S</b>	<b>60,000</b>	<b>7.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TIF</b>	<b>C</b>	<b>30,000</b>	<b>28.28</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	TIN	SS	25,000	12.37	n/a	22-Apr	1,250.00	5.0
TRELLUS PARTNERS LP	028	TIN	SS	50,000	12.13	n/a	22-Apr	1,500.00	3.0
&SCH	041	TIN	SS	20,000	11.93	n/a	22-Apr	600.00	3.0
ß BUCK CAPITAL MGMT		TJX	B	15,000	28.62	4-May	20-May	non-brg	4.0
ß BUCK CAPITAL MGMT		TJX	B	20,000	28.80	4-May	20-May	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	TWI	S	800	9.24	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	UL	S	1000	23.47	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		URBN	B	10,000	20.36	n/a	30-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		URBN	B	140,000	20.50	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	B	25,000	19.92	n/a	30-Jan	non-brg	4.0
f JONATHAN RICHARD HAR	FID	UYG	B	130	3.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WMT	S	20,000	49.13	11-May	14-May	800.00	4.0
ß BUCK CAPITAL MGMT		WRC	B	12,500	28.01	13-May	13-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	B	12,500	28.02	13-May	13-May	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	C	300,000	27.52	n/a	n/a	non-brg	2.0
TOTAL (Trade Date):								57,793.95	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/19	COHE & STEERS	091	ACC	B	80,868	23.26	n/a	n/a	3234.72 4.0
AO,CRT	05/15	PENNANT CAP MGMT	029	CF	S	206,491	79.47	n/a	n/a	6194.73 3.0
AO,CXL	05/15	PENNANT CAP MGMT	029	CF	S	206,491	79.47	n/a	n/a	6194.73 3.0
AO,CXL	05/19	SIGMA CAPITAL ASSOCIATES	041	CMCSA	B	25,000	15.01	n/a	4-Jan	1250.00 5.0
AO,CRT	05/19	SIGMA CAPITAL ASSOCIATES	041	CMCSA	B	25,000	15.01	n/a	4-Jan	1000.00 4.0
AO,	05/19	COHE & STEERS	091	CUZ	B	48,727	8.18	n/a	n/a	974.54 2.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>3,959.26</b>

TOTAL (Trade Date) :	57,793.95
TOTAL (AO, CXL, CRT) :	3,959.26

<b>TOTAL (RUN DATE) / NFS :</b>	<b>61,753.21</b>
---------------------------------	------------------

MRI Securities with Trade Activity on 20-May. NONE
-------------------------------------------------------

MRI Securities without Trade Activity on 20-May. NONE
----------------------------------------------------------



BRG comm. as a % of BCM Total Comm. paid.

T-Date = 10%

YTD = 22%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)