



# Daily Compliance Activity Report

Trade Date: 12/08/2006

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AAPL	B	50	88.38	n/a	n/a	2.50	5.0
BALYASNY ASSET MGMT	044	AB	B	10,000	79.90	25-Oct	28-Nov	500.00	5.0
⚡ BUCK CAPITAL MGMT		ADTN	SS	10,000	20.41	n/a	22-Mar	non-brg	5.0
⚡ BUCK CAPITAL MGMT		AEOS	C	400	47.60	15-Nov	5-Dec	non-brg	5.0
WHITE PINE CAPITAL	030	AGO	S	250	26.97	n/a	n/a	12.50	5.0
BUCK CAPITAL MGMT	001	ANF	S	60,000	67.43	3-Nov	1-Dec	2,400.00	4.0
⚡ BUCK CAPITAL MGMT		ANF	C	100	67.16	3-Nov	1-Dec	non-brg	5.0
HIGHFIELDS CAP MGMT	039	ANW	S	50,000	14.93	n/a	n/a	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		ARO	S	30,000	30.84	23-Oct	1-Dec	non-brg	5.0
DELAWARE MANAGEMENT	243	ARRS	S	55,400	12.10	n/a	n/a	2,216.00	4.0
KAICHER	064	ARTG	B	500	2.38	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		ASD	C	800	46.51	2-Oct	1-Dec	non-brg	5.0
PATTEN & PATTEN	067	BBY	B	200	53.01	n/a	8-Dec	10.00	5.0
⚡ BUCK CAPITAL MGMT		BEBE	B	15,000	19.78	n/a	n/a	non-brg	5.0
CENTURION PARTNERS	249	BONT	B	5,000	37.15	23-Oct	1-Dec	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	BONT	S	400	37.00	23-Oct	1-Dec	20.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BRCM</b>	<b>SS</b>	<b>25,000</b>	<b>33.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
DELTEC ASSET MGMT.	040	CACH	S	25,000	25.21	n/a	n/a	1,250.00	5.0
BELMONT GLOBAL ADV	248	CBK	B	3,000	18.91	11-Dec	11-Dec	150.00	5.0
SAILFISH	037	CBSS	B	6,412	57.99	n/a	n/a	320.60	5.0
CENTURION PARTNERS	249	CHK	S	50,000	32.07	n/a	n/a	2,500.00	5.0
CAPITAL GROWTH MGMT.	241	CLB	B	30,000	91.61	6-Dec	6-Dec	1,500.00	5.0
SIGMA CAP BOBBY	044	CLE	B	7,000	32.74	3-Nov	1-Dec	350.00	5.0
PATTEN & PATTEN	067	CL	S	40	65.53	26-Oct	5-Dec	2.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>B</b>	<b>10,000</b>	<b>27.25</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>LEDDY</b>	<b>035</b>	<b>CRI</b>	<b>B</b>	<b>400</b>	<b>27.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RBC CAPITAL MARKETS	034	CVS	B	25,000	30.14	n/a	n/a	1,250.00	5.0
WHITE PINE CAPITAL	030	DRC	S	250	26.01	n/a	n/a	12.50	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>D</b>	<b>B</b>	<b>40</b>	<b>81.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HOLLIS CAPITAL	040	EAT	SS	10,000	30.02	13-Sep	4-Dec	500.00	5.0
MAGNETAR FINANCIAL	247	EVC	S	26,000	7.68	n/a	n/a	1,040.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>FD</b>	<b>C</b>	<b>1,200</b>	<b>40.37</b>	<b>9-Nov</b>	<b>5-Dec</b>	<b>non-brg</b>	<b>5.0</b>
SAILFISH	037	FITB	B	5,000	39.62	n/a	n/a	250.00	5.0
BELMONT GLOBAL ADV	248	FLOW	S	13,000	9.85	n/a	n/a	650.00	5.0
WALKER SMITH	031	GCO	S	20,500	40.72	n/a	10-Jan	1,025.00	5.0
WHITE PINE CAPITAL	030	GGG	S	200	41.97	n/a	n/a	10.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GPS</b>	<b>SS</b>	<b>10,000</b>	<b>19.63</b>	<b>n/a</b>	<b>1-Dec</b>	<b>400.00</b>	<b>4.0</b>
FORD FDN.	032	GS	S	15,000	203.30	6-Dec	6-Dec	750.00	5.0
M. KRAUS & CO.	037	HAIN	S	9,700	29.91	n/a	n/a	582.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>HLYS</b>	<b>S</b>	<b>10,000</b>	<b>34.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>HLYS</b>	<b>B</b>	<b>200</b>	<b>37.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDY</b>	<b>035</b>	<b>HLYS</b>	<b>B</b>	<b>1,600</b>	<b>37.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAP BOBBY	044	HMX	S	5,000	6.73	n/a	n/a	250.00	5.0
SIGMA CAP BOBBY	044	HOTT	B	30,000	13.78	n/a	1-Dec	1,500.00	5.0
WHITE PINE CAPITAL	030	HRL	S	450	38.46	n/a	n/a	22.50	5.0
COUGAR TRADING	044	ICON	B	10,000	19.72	n/a	n/a	500.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>ICON</b>	<b>S</b>	<b>1,000</b>	<b>19.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GRONET</b>	<b>030</b>	<b>ICON</b>	<b>S</b>	<b>1,000</b>	<b>19.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>SCHEINER</b>	<b>037</b>	<b>ILA</b>	<b>B</b>	<b>3,000</b>	<b>4.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BINDER</b>	<b>007</b>	<b>INTC</b>	<b>B</b>	<b>400</b>	<b>20.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	IO	S	600	11.77	n/a	n/a	30.00	5.0
SAILFISH	037	JNS	B	15,000	20.71	1-Dec	11-Dec	750.00	5.0
THE JAY GOLDMAN	034	JWN	B	10,000	48.79	11-Dec	11-Dec	400.00	4.0
THE JAY GOLDMAN	034	KSS	S	10,000	71.36	10-Nov	1-Dec	400.00	4.0
SIGMA CAP BOBBY	044	KSWS	SS	10,000	32.00	n/a	7-Dec	500.00	5.0
<b>BARR</b>	<b>096</b>	<b>LEND</b>	<b>SS</b>	<b>800</b>	<b>27.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>LNCR</b>	<b>B</b>	<b>5,000</b>	<b>39.06</b>	<b>2-Nov</b>	<b>8-Dec</b>	<b>non-brg</b>	<b>5.0</b>
TILLDALE MGMT.	048	LNCR	B	10,000	39.06	2-Nov	8-Dec	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>B</b>	<b>20,000</b>	<b>30.90</b>	<b>1-Dec</b>	<b>1-Dec</b>	<b>800.00</b>	<b>4.0</b>
TRELLUS PARTNERS LP	028	LTD	B	50,000	30.73	1-Dec	1-Dec	2,500.00	5.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>MBFGIM</b>	<b>B</b>	<b>10,000</b>	<b>25.69</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.1</b>
LOOMIS SALES/BOSTON	036	MCO	B	50,000	69.95	n/a	n/a	2,500.00	5.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>MW</b>	<b>SS</b>	<b>10,000</b>	<b>38.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
SCUDDER HEDGE	034	NEM	B	30,000	46.83	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	NEW	SS	500	34.91	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	NLS	B	30,000	15.80	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		NSM	C	70,000	23.95	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		NTRI	SS	5,000	73.66	n/a	n/a	non-brg	1.5
BUCK CAPITAL MGMT	001	NWY	S	60,000	13.90	1-Dec	1-Dec	2,400.00	4.0
KORMAN	003	NWY	S	11,000	13.98	1-Dec	1-Dec	0.00	0.0
SCHEINER	037	PG	S	500	63.97	1-Nov	5-Dec	0.00	0.0
VISIUM/BALY HOWARD	038	PSUN	B	35,000	20.69	3-Aug	1-Dec	1,750.00	5.0
WHITE PINE CAPITAL	030	RCKY	S	400	15.26	n/a	n/a	20.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	15,000	80.80	7-Dec	7-Dec	600.00	4.0
ß BUCK CAPITAL MGMT		RL	C	300	80.58	7-Dec	7-Dec	non-brg	5.0
ß BUCK CAPITAL MGMT		SHOO	B	5,000	35.73	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		SHOO	B	85,000	35.73	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	SKX	S	10,000	31.25	n/a	7-Dec	400.00	4.0
ß BUCK CAPITAL MGMT		SKX	S	30,000	31.49	n/a	7-Dec	non-brg	5.0
SAXON WOODS ADVISORS	038	SMG	B	100,000	50.17	27-Oct	6-Dec	5,000.00	5.0
ß BUCK CAPITAL MGMT		SMH	SS	15,000	34.25	n/a	n/a	non-brg	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COMMISSUM CAPITAL	067	SOV	S	10,000	25.40	n/a	n/a	500.00	5.0
CAPITAL WORKS	048	TEK	S	60,600	30.89	n/a	n/a	2,424.00	4.0
IRONBOUND CAPITAL	012	TIN	B	10,000	43.55	n/a	27-Oct	500.00	5.0
RAMIUS CAP	040	TIN	B	180,000	43.59	n/a	27-Oct	9,000.00	5.0
WHITE PINE CAPITAL	030	TRGL	S	300	25.88	n/a	n/a	15.00	5.0
⌘ BUCK CAPITAL MGMT		TRLG	B	10,000	15.64	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		TSCO	S	1,310	49.15	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		TSCO	S	58,700	48.33	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		TSCO	S	65,000	49.41	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		TXN	SS	25,000	29.69	n/a	n/a	non-brg	5.0
BARR	096	WM	SS	500	43.88	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	WPS	S	150	52.81	n/a	n/a	7.50	5.0
ZIFF BROS	038	XMSR	B	750,000	14.85	n/a	n/a	37,500.00	5.0
SAILFISH	037	XOM	B	10,000	75.79	n/a	n/a	500.00	5.0
PATTEN & PATTEN	067	YHOO	S	350	26.50	n/a	n/a	17.50	5.0
BUCK	015	YUM	C	400	58.88	n/a	12-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	B	20,000	14.95	8-Sep	7-Dec	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
8 BUCK CAPITAL MGMT		ZQK	C	300	15.11	8-Sep	7-Dec	non-brg	5.0
DELTEC ASSET MGMT.	040	ZQK	S	15,000	15.11	8-Sep	7-Dec	750.00	5.0
TOTAL (Trade Date):								96,389.60	

### Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
<b>AO,</b>	<b>12/06</b>	<b>LISTED ERR</b>	<b>099</b>	<b>D</b>	<b>S</b>	<b>40</b>	<b>81.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
AO,CXL	12/06	PATTEN & PATTEN	067	D	S	40	81.25	n/a	n/a	2.00 5.0
AO,	12/07	MAGNETAR FINANCIAL	247	EVC	S	27,000	7.59	n/a	n/a	810.00 3.0
AO,CXL	12/07	MAGNETAR FINANCIAL	247	EVC	S	27,000	7.59	n/a	n/a	1080.00 4.0
AO,	12/05	IMPALA ASSET MMT	012	JBHT	B	3	21.33	n/a	n/a	0.09 3.0
AO,	12/07	SIGMA CAPITAL ASSOCIATES	034	LVLTL	B	150,000	5.80	18-Oct	11-Dec	5250.00 3.5
AO,CXL	12/07	SIGMA CAPITAL ASSOCIATES	034	LVLTL	B	150,000	5.80	18-Oct	11-Dec	5250.00 3.5
<b>AO,</b>	<b>12/07</b>	<b>MKT MAKER</b>	<b>099</b>	<b>MTRX</b>	<b>B</b>	<b>300</b>	<b>16.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
AO,	12/07	SEGAL BRYANT HAMMILL	037	PFE	S	300	24.83	n/a	31-Mar	15.00 5.0
AO,	12/06	ANTEUS ASSOC.BAEL	065	QCOM	S	345	38.85	n/a	n/a	17.25 5.0
AO,CXL	12/06	ANTEUS ASSOC.BAEL	065	QCOM	S	345	38.85	n/a	n/a	0.00 0.0
AO,	12/07	SEGAL BRYANT HAMMILL	037	RIG	S	100	80.08	n/a	n/a	5.00 5.0
AO,	12/07	IRONBOUND CAPITAL	012	SSCC	SS	235,000	11.06	26-Oct	27-Oct	7050.00 3.0
AO,CXL	12/07	IRONBOUND CAPITAL	012	SSCC	SS	235,000	11.06	26-Oct	27-Oct	11750.00 5.0
AO,CXL	12/07	IRONBOUND CAPITAL	012	TIN	B	90,000	42.72	n/a	27-Oct	4500.00 5.0
<b>AO,CXL</b>	<b>12/07</b>	<b>OGORMAN</b>	<b>146</b>	<b>UBS</b>	<b>S</b>	<b>150</b>	<b>60.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>AO,CRT</b>	<b>12/07</b>	<b>OGORMAN</b>	<b>146</b>	<b>UBS</b>	<b>S</b>	<b>150</b>	<b>60.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
AO,	12/07	SEGAL BRYANT HAMMILL	037	UBS	S	100	60.51	n/a	n/a	5.00 5.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
<b>TOTAL (AO, CXL, CRT) :</b>									<b>-9,429.66</b>
TOTAL (Trade Date) :									96,389.60
TOTAL (AO, CXL, CRT) :									-9,429.66
<b>TOTAL (RUN DATE) / NFS:</b>									<b>86,959.94</b>

Symbols with MRI Date 8-Dec and no trades.

CENT (Central Garden)

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 30%

YTD = 23%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION