



## Daily Compliance Activity Report

Trade Date: 08/17/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	AAPL	S	1,200	68.00	n/a	n/a	48.00	4.0
COLUMBUS CIRCLE	036	ADBE	S	2,400	33.71	n/a	n/a	96.00	4.0
COLUMBUS CIRCLE	036	AKAM	S	1,742	39.49	n/a	n/a	69.68	4.0
COLUMBUS CIRCLE	036	AMAT	S	4,500	15.75	n/a	n/a	180.00	4.0
SUN AMERICA ASSET MGMT	030	ANF	S	11,000	64.26	6-Apr	12-Aug	550.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>10,000</b>	<b>23.42</b>	<b>6-Jul</b>	<b>17-Aug</b>	<b>400.00</b>	<b>4.0</b>
COLUMBUS CIRCLE	036	ASML	S	1,900	21.19	n/a	n/a	76.00	4.0
BUCK CAPITAL MGMT	001	BDK	S	15,000	72.28	n/a	n/a	600.00	4.0
COLUMBUS CIRCLE	036	BEAS	S	5,178	12.37	2-Apr	2-Apr	207.12	4.0
COLUMBUS CIRCLE	036	BEAV	S	36	23.85	n/a	n/a	1.44	4.0
JP MORGAN VENTURES	046	BJ	B	10,000	26.59	n/a	14-Aug	500.00	5.0
THIRD POINT MGMT	044	BLI	S	20,000	18.18	16-Aug	16-Aug	800.00	4.0
IRONBOUND CAPITAL	012	BOW	S	30,000	22.52	13-Jul	13-Jul	0.00	0.0
COLUMBUS CIRCLE	036	BRCM	S	2,236	30.09	n/a	n/a	89.44	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	CAKE	B	10,000	24.40	28-Jun	28-Jul	400.00	4.0
BEAR STEARNS ASSET MGMT.	033	CBE	B	30,000	86.84	20-Apr	21-Jul	1,200.00	4.0
COLUMBUS CIRCLE	036	CBSS	S	26	58.50	n/a	n/a	1.04	4.0
FRIESS MGMT	042	CCK	B	263,700	17.85	n/a	n/a	11,866.50	4.5
FORCE CAPITAL MGMT	030	CD	S	50,000	2.01	n/a	n/a	1,500.00	3.0
COLUMBUS CIRCLE	036	CELG	S	1,163	44.42	n/a	n/a	46.52	4.0
EMINENCE CAPITAL	038	CHKP	S	200,000	17.99	n/a	2-Apr	10,000.00	5.0
JP MORGAN VENTURES	046	CHS	B	29,400	25.34	23-Jun	14-Aug	1,470.00	5.0
THE JAY GOLDMAN	034	CHS	S	25,000	25.25	23-Jun	14-Aug	1,000.00	4.0
COLUMBUS CIRCLE	036	CKFR	S	1,138	36.26	n/a	n/a	45.52	4.0
MATTHES CAPITAL MGMT	033	COST	S	10,000	52.17	n/a	3-Aug	400.00	4.0
COLUMBUS CIRCLE	036	CSCO	S	4,500	20.99	n/a	22-Mar	180.00	4.0
COLUMBUS CIRCLE	036	CTXS	S	2,369	31.29	n/a	n/a	94.76	4.0
COLUMBUS CIRCLE	036	CWTR	S	65	24.75	n/a	n/a	2.60	4.0
ROTHSCHILD ASSET	040	DF	B	52,100	38.97	n/a	n/a	2,344.50	4.5
BEAR STEARNS ASSET MGMT.	033	DHR	B	20,000	65.75	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	DIGE	S	200	46.78	n/a	n/a	8.00	4.0
NWQ INVESTMENT MGMT CO	048	DLM	B	14,200	10.53	n/a	n/a	568.00	4.0
ALSON CAPITAL	039	DRI	B	100,000	36.63	28-Jun	28-Jul	5,000.00	5.0
BUCK CAPITAL MGMT	001	DSW	S	10,000	28.93	n/a	n/a	400.00	4.0
WARBURG	037	EBAY	B	57,200	27.34	n/a	10-Dec	2,860.00	5.0
BARON ASSET MGMT*	012	EV	B	25,000	27.90	15-Aug	15-Aug	1,250.00	5.0
SANDLER CAPITAL	038	FD	B	25,000	38.14	12-Jun	10-Aug	1,500.00	6.0
CLOVIS CAPITAL	012	FDC	B	100,000	42.11	n/a	n/a	5,000.00	5.0
MFP INVESTORS	032	FL	B	5,000	22.70	n/a	8-Aug	250.00	5.0
ASCEND PARTNERS	033	FLO	S	93,000	26.86	n/a	n/a	4,650.00	5.0
NWQ INVESTMENT MGMT CO	048	GFF	B	9,100	23.37	n/a	n/a	364.00	4.0
COLUMBUS CIRCLE	036	GOOG	S	200	386.38	n/a	10-Dec	8.00	4.0
COLUMBUS CIRCLE	036	GRMN	S	200	46.71	n/a	n/a	8.00	4.0
REICH AND TANG	037	GTI	S	90,000	5.43	n/a	n/a	4,500.00	5.0
COUGAR TRADING	044	GYMB	S	10,000	31.56	10-Aug	16-Aug	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	HOLX	S	1,243	43.51	n/a	n/a	49.72	4.0
BONANZA PARTNERS	031	HURC	B	5,000	22.99	n/a	n/a	250.00	5.0
WASHINGTON ASSET	048	ICGE	B	5,300	8.90	n/a	n/a	212.00	4.0
OSS CAPITAL/KEVIN DANN	045	IFF	B	50,000	38.69	26-Apr	15-Aug	2,500.00	5.0
COLUMBUS CIRCLE	036	INFA	S	3,100	14.19	n/a	n/a	124.00	4.0
IRONBOUND CAPITAL	012	IP	S	4,500	35.25	n/a	14-Jul	225.00	5.0
BEAR STEARNS ASSET MGMT.	033	IR	B	10,000	38.80	n/a	n/a	400.00	4.0
COLUMBUS CIRCLE	036	ISRG	S	108	96.55	n/a	n/a	4.32	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>JCG</b>	<b>B</b>	<b>41,600</b>	<b>27.31</b>	<b>n/a</b>	<b>26-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>JCG</b>	<b>S</b>	<b>38,800</b>	<b>26.93</b>	<b>n/a</b>	<b>26-Jun</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	JCG	B	20,000	26.80	n/a	26-Jun	800.00	4.0
BUCK CAPITAL MGMT	001	JCP	S	20,000	68.34	5-May	10-Aug	800.00	4.0
DENVER INST. ADV	046	JNC	B	17,230	48.97	n/a	31-Jul	861.50	5.0
ASCEND PARTNERS	033	JWN	S	75,000	36.72	n/a	14-Aug	3,750.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	45,000	62.02	10-Aug	10-Aug	1,800.00	4.0
J L F ASSET MGMT	039	KWD	S	22,800	26.26	n/a	n/a	912.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	LAMR	S	832	49.41	n/a	n/a	33.28	4.0
WARBURG	037	LMT	S	27,900	83.55	n/a	n/a	1,395.00	5.0
COUGAR TRADING	044	LTD	B	5,000	26.50	9-May	17-Aug	250.00	5.0
COUGAR TRADING	044	LTD	S	5,000	26.59	9-May	17-Aug	250.00	5.0
COLUMBUS CIRCLE	036	MCHP	S	800	33.45	n/a	n/a	32.00	4.0
COLUMBUS CIRCLE	036	MNST	S	23	42.60	n/a	n/a	0.92	4.0
COLUMBUS CIRCLE	036	MSFT	S	1,300	24.69	2-Apr	2-Apr	52.00	4.0
BUCK CAPITAL MGMT	001	NVT	S	5,000	28.37	n/a	n/a	200.00	4.0
AMARANTH	040	NWY	B	40,000	11.94	n/a	14-Aug	2,000.00	5.0
BUCK CAPITAL MGMT	001	NWY	B	20,000	11.88	n/a	14-Aug	800.00	4.0
SOLARIS INV MGMT	036	OI	S	25,000	13.51	n/a	n/a	1,494.00	6.0
COLUMBUS CIRCLE	036	OPSW	S	5,400	7.29	n/a	n/a	216.00	4.0
MKT MAKER	099	OPWV	B	5,400	7.27	n/a	n/a	0.00	0.0
MKT MAKER	099	OPWV	S	5,400	7.15	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	ORCL	S	200	15.43	n/a	n/a	8.00	4.0
CAXTON PART	040	PFE	B	50,000	26.97	n/a	31-Mar	2,000.00	4.0
LUCHANSKY1	036	PHM	B	100	30.00	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	PNRA	S	28	52.50	n/a	n/a	1.12	4.0
COLUMBUS CIRCLE	036	PPDI	S	66	38.40	n/a	n/a	2.64	4.0
BUCK CAPITAL MGMT	001	PSS	S	5,000	28.02	n/a	n/a	200.00	4.0
<b>AAD CAPITAL</b>	<b>099</b>	<b>PSUN</b>	<b>S</b>	<b>75,000</b>	<b>14.32</b>	<b>3-Aug</b>	<b>11-Aug</b>	<b>1,500.00</b>	<b>2.0</b>
COLUMBUS CIRCLE	036	PSYS	S	38	31.35	n/a	n/a	1.52	4.0
COLUMBUS CIRCLE	036	QCOM	S	2,300	37.78	n/a	n/a	92.00	4.0
BONANZA PARTNERS	031	QRCP	B	2,100	11.00	n/a	n/a	105.00	5.0
BEAR STEARNS ASSET MGMT.	033	ROK	B	40,000	62.61	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	14.82	n/a	n/a	800.00	4.0
HIGHBRIDGE CAPITAL	038	SFI	B	5,000	40.85	n/a	n/a	250.00	5.0
CENTURION PARTNERS	244	SHLD	B	10,000	144.49	n/a	n/a	500.00	5.0
SENECA CAPITAL LP NY	028	SHLD	S	100,000	144.09	n/a	n/a	5,000.00	5.0
COLUMBUS CIRCLE	036	SHPGY	S	700	49.34	15-Aug	15-Aug	28.00	4.0
MFP INVESTORS	032	SKS	B	10,000	15.48	n/a	n/a	500.00	5.0
CAPITAL GROWTH MGMT.	241	SM	S	30,000	40.26	n/a	n/a	1,500.00	5.0
COLUMBUS CIRCLE	036	SNDK	S	400	50.89	n/a	n/a	16.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GARTMORE/NORTHPOINT/VILL	030	SSCC	B	43,700	10.68	15-May	13-Jul	1,748.00	4.0
BUCK CAPITAL MGMT	001	SSI	B	180,000	26.95	n/a	n/a	7,200.00	4.0
AVESTA CAP	229	TGT	S	25,000	49.26	n/a	10-Aug	1,250.00	5.0
CAXTON PART	040	TGT	B	100,000	50.24	n/a	10-Aug	4,000.00	4.0
RAMIUS CAP	040	TIN	B	20,000	45.09	17-Apr	13-Jul	1,000.00	5.0
COLUMBUS CIRCLE	036	TRMB	S	118	48.72	n/a	n/a	4.72	4.0
COLUMBUS CIRCLE	036	TROW	S	53	43.72	10-Jul	16-Aug	2.12	4.0
COLUMBUS CIRCLE	036	YHOO	S	1,800	28.37	n/a	15-Nov	72.00	4.0
<b>TOTAL :</b>								<b>110,627.98</b>	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	MKT MAKER (MKT MAKER)	099	PSUN	S	2,100	14.10	3-Aug	11-Aug	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	PSUN	S	1,148	14.09	3-Aug	11-Aug	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	PSUN	S	877	14.08	3-Aug	11-Aug	0.00	0.0
AO,	MKT MAKER (MKT MAKER)	099	QRCP	S	20	11.00	n/a	n/a	0.00	0.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.