



# Daily Compliance Activity Report

Trade Date: 06/11/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

| Account                    | RR#        | Symbol      | B/S      | Shares        | Price        | MRI        | Research      | Comm. ¢/Shr.   |            |
|----------------------------|------------|-------------|----------|---------------|--------------|------------|---------------|----------------|------------|
| PATTEN & PATTEN            | 067        | AAPL        | S        | 200           | 252.09       | n/a        | n/a           | 10.00          | 5.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>AEO</b>  | <b>S</b> | <b>25,000</b> | <b>12.97</b> | <b>n/a</b> | <b>4-Jun</b>  | <b>non-brg</b> | <b>2.0</b> |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>AEO</b>  | <b>S</b> | <b>75,000</b> | <b>12.98</b> | <b>n/a</b> | <b>4-Jun</b>  | <b>non-brg</b> | <b>4.0</b> |
| EXIS CAPITAL               | 044        | ANF         | B        | 5,000         | 35.64        | n/a        | 4-Jun         | 250.00         | 5.0        |
| SIGMA CAPITAL ASSOCIATES   | 041        | ANF         | B        | 25,000        | 36.30        | n/a        | 4-Jun         | 875.00         | 3.5        |
| PATTEN & PATTEN            | 067        | APD         | S        | 400           | 69.05        | n/a        | 10-May        | 20.00          | 5.0        |
| <b>f EDWARD W WHEELER</b>  | <b>FID</b> | <b>AVY</b>  | <b>S</b> | <b>3000</b>   | <b>33.20</b> | <b>n/a</b> | <b>26-May</b> | <b>0.00</b>    | <b>0.0</b> |
| LAGRANGE CAP ADV           | 048        | AXL         | S        | 34,000        | 8.90         | n/a        | 3-May         | 1,700.00       | 5.0        |
| PATTEN & PATTEN            | 067        | BDX         | S        | 400           | 69.47        | n/a        | n/a           | 20.00          | 5.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>BEBE</b> | <b>B</b> | <b>20,000</b> | <b>6.64</b>  | <b>n/a</b> | <b>n/a</b>    | <b>non-brg</b> | <b>3.0</b> |
| <b>f DAVID GEORGE BUCK</b> | <b>FID</b> | <b>BEE</b>  | <b>B</b> | <b>5000</b>   | <b>4.29</b>  | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| STERLING JOHNSTON          | 035        | BWS         | B        | 25,000        | 16.31        | n/a        | n/a           | 1,000.00       | 4.0        |
| WATERFRONT CAP             | 028        | CAKE        | B        | 82,000        | 24.72        | n/a        | 23-Apr        | 3,280.00       | 4.0        |
| WATERFRONT CAP             | 028        | CBRL        | SS       | 41,000        | 48.71        | n/a        | n/a           | 1,640.00       | 4.0        |
| PATTEN & PATTEN            | 067        | CB          | S        | 578           | 51.60        | n/a        | n/a           | 28.90          | 5.0        |

| Account                       | RR#        | Symbol      | B/S      | Shares        | Price        | MRI        | Research      | Comm.¢/Shr.    |            |
|-------------------------------|------------|-------------|----------|---------------|--------------|------------|---------------|----------------|------------|
| <b>f EDWARD W WHEELER</b>     | <b>FID</b> | <b>CMCO</b> | <b>S</b> | <b>5000</b>   | <b>14.53</b> | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| PATTEN & PATTEN               | 067        | CNI         | S        | 500           | 60.00        | n/a        | n/a           | 25.00          | 5.0        |
| <b>f EDWARD W WHEELER</b>     | <b>FID</b> | <b>COV</b>  | <b>S</b> | <b>1250</b>   | <b>40.81</b> | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| <b>f EDWARD W WHEELER</b>     | <b>FID</b> | <b>CVGI</b> | <b>S</b> | <b>5000</b>   | <b>11.83</b> | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| <b>f JOHN MICHAEL ZOLIDIS</b> | <b>FID</b> | <b>CVS</b>  | <b>S</b> | <b>300</b>    | <b>31.50</b> | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| <b>f DAVID GEORGE BUCK</b>    | <b>FID</b> | <b>C</b>    | <b>S</b> | <b>20000</b>  | <b>3.88</b>  | <b>n/a</b> | <b>11-Jun</b> | <b>0.00</b>    | <b>0.0</b> |
| SANDLER O'NEILL ASSET         | 031        | C           | B        | 50,000        | 3.90         | n/a        | 11-Jun        | 1,000.00       | 2.0        |
| <b>f EDWARD W WHEELER</b>     | <b>FID</b> | <b>DAN</b>  | <b>S</b> | <b>5000</b>   | <b>11.24</b> | <b>n/a</b> | <b>30-Apr</b> | <b>0.00</b>    | <b>0.0</b> |
| <b>B BUCK CAPITAL MGMT</b>    |            | <b>DBRN</b> | <b>B</b> | <b>20,000</b> | <b>26.26</b> | <b>n/a</b> | <b>n/a</b>    | <b>non-brg</b> | <b>4.0</b> |
| <b>f DAVID GEORGE BUCK</b>    | <b>FID</b> | <b>DD</b>   | <b>S</b> | <b>1500</b>   | <b>37.22</b> | <b>n/a</b> | <b>9-Jun</b>  | <b>0.00</b>    | <b>0.0</b> |
| 1798 CAP/LOMBARD ASSET        | 040        | DO          | C        | 10,000        | 60.85        | n/a        | n/a           | 500.00         | 5.0        |
| PATTEN & PATTEN               | 067        | DUK         | S        | 1,500         | 16.11        | n/a        | n/a           | 75.00          | 5.0        |
| THOMPSON SIEGAL&WALMSLEY      | 029        | ENDP        | B        | 11,900        | 21.52        | n/a        | 11-Jun        | 476.00         | 4.0        |
| PATTEN & PATTEN               | 067        | EPP         | S        | 650           | 38.00        | n/a        | n/a           | 32.50          | 5.0        |
| PATTEN & PATTEN               | 067        | ETN         | S        | 400           | 70.27        | n/a        | 27-May        | 20.00          | 5.0        |
| PATTEN & PATTEN               | 067        | EWZ         | S        | 200           | 65.27        | n/a        | n/a           | 10.00          | 5.0        |

| Account                      | RR#        | Symbol     | B/S      | Shares        | Price        | MRI        | Research      | Comm. ¢/Shr.    |            |
|------------------------------|------------|------------|----------|---------------|--------------|------------|---------------|-----------------|------------|
| PATTEN & PATTEN              | 067        | EXC        | S        | 500           | 39.65        | n/a        | n/a           | 25.00           | 5.0        |
| <b>f SCOTT L BRUNNER</b>     | <b>FID</b> | <b>FAZ</b> | <b>S</b> | <b>4000</b>   | <b>15.56</b> | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>     | <b>0.0</b> |
| SANDLER CAPITAL              | 226        | FBN        | S        | 50,000        | 6.71         | n/a        | n/a           | 3,000.00        | 6.0        |
| EGS ASSOCIATES LP            | 091        | FL         | B        | 3,500         | 14.14        | n/a        | 11-Jun        | 210.00          | 6.0        |
| SCOPUS FUND                  | 041        | FL         | B        | 50,000        | 14.31        | n/a        | 11-Jun        | 2,500.00        | 5.0        |
| ROTHSCHILD ASSET             | 040        | FUL        | S        | 16,436        | 21.05        | n/a        | n/a           | 657.44          | 4.0        |
| PATTEN & PATTEN              | 067        | F          | S        | 1,500         | 11.38        | n/a        | 3-Jun         | 75.00           | 5.0        |
| <b>BUCK CAPITAL MGMT</b>     | <b>001</b> | <b>GIL</b> | <b>S</b> | <b>80,000</b> | <b>31.07</b> | <b>n/a</b> | <b>14-May</b> | <b>3,200.00</b> | <b>4.0</b> |
| PATTEN & PATTEN              | 067        | GLD        | S        | 400           | 120.00       | n/a        | n/a           | 20.00           | 5.0        |
| PATTEN & PATTEN              | 067        | GLW        | S        | 1,000         | 18.09        | n/a        | 9-Jun         | 50.00           | 5.0        |
| <b>f DAVID GEORGE BUCK</b>   | <b>FID</b> | <b>GRT</b> | <b>B</b> | <b>7000</b>   | <b>6.93</b>  | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>     | <b>0.0</b> |
| <b>BUCK CAPITAL MGMT</b>     | <b>001</b> | <b>HBI</b> | <b>S</b> | <b>40,000</b> | <b>28.22</b> | <b>n/a</b> | <b>14-May</b> | <b>1,600.00</b> | <b>4.0</b> |
| PATTEN & PATTEN              | 067        | IBM        | S        | 300           | 128.24       | n/a        | n/a           | 15.00           | 5.0        |
| <b>f JOSEPH CIRO AMATURO</b> | <b>FID</b> | <b>JCI</b> | <b>B</b> | <b>5000</b>   | <b>27.03</b> | <b>n/a</b> | <b>27-May</b> | <b>0.00</b>     | <b>0.0</b> |
| <b>f MARC PAUL LUCHANSKY</b> | <b>FID</b> | <b>JCI</b> | <b>B</b> | <b>500</b>    | <b>27.41</b> | <b>n/a</b> | <b>27-May</b> | <b>0.00</b>     | <b>0.0</b> |
| <b>B BUCK CAPITAL MGMT</b>   |            | <b>JNY</b> | <b>B</b> | <b>50,000</b> | <b>18.99</b> | <b>n/a</b> | <b>14-May</b> | <b>non-brg</b>  | <b>4.0</b> |

| Account                    | RR#        | Symbol      | B/S      | Shares        | Price        | MRI        | Research     | Comm.¢/Shr.    |            |
|----------------------------|------------|-------------|----------|---------------|--------------|------------|--------------|----------------|------------|
| <b>B BUCK CAPITAL MGMT</b> |            | <b>JOSB</b> | <b>B</b> | <b>10,000</b> | <b>59.49</b> | <b>n/a</b> | <b>n/a</b>   | <b>non-brg</b> | <b>4.0</b> |
| SOROS                      | 036        | KEG         | B        | 25,000        | 9.99         | n/a        | 3-Jun        | 750.00         | 3.0        |
| MERRILL ASSET/BLACKROCK    | 263        | KG          | B        | 9,600         | 7.97         | n/a        | 11-Jun       | 384.00         | 4.0        |
| PATTEN & PATTEN            | 067        | KO          | S        | 500           | 51.46        | n/a        | 23-Apr       | 25.00          | 5.0        |
| <b>B BUCK CAPITAL MGMT</b> |            | <b>KSS</b>  | <b>B</b> | <b>30,000</b> | <b>51.64</b> | <b>n/a</b> | <b>4-Jun</b> | <b>non-brg</b> | <b>4.0</b> |
| PATTEN & PATTEN            | 067        | LAZ         | S        | 800           | 31.85        | n/a        | 11-Jun       | 40.00          | 5.0        |
| SOROS                      | 036        | LLL         | SS       | 25,000        | 79.51        | n/a        | n/a          | 1,000.00       | 4.0        |
| BMO NESBITT BURNS          | 041        | LPX         | SS       | 40,000        | 7.61         | n/a        | 11-May       | 2,000.00       | 5.0        |
| <b>f EDWARD W WHEELER</b>  | <b>FID</b> | <b>MET</b>  | <b>B</b> | <b>30</b>     | <b>2.03</b>  | <b>n/a</b> | <b>n/a</b>   | <b>0.00</b>    | <b>0.0</b> |
| WAGONHOUND/NIC             | 048        | MGM         | S        | 125,000       | 11.48        | n/a        | 11-May       | 5,000.00       | 4.0        |
| PATTEN & PATTEN            | 067        | MRK         | S        | 500           | 34.77        | n/a        | n/a          | 25.00          | 5.0        |
| PATTEN & PATTEN            | 067        | MS          | S        | 700           | 25.89        | n/a        | 11-Jun       | 35.00          | 5.0        |
| EXIS CAPITAL               | 044        | M           | B        | 10,000        | 21.15        | n/a        | 4-Jun        | 500.00         | 5.0        |
| PATTEN & PATTEN            | 067        | NUE         | S        | 800           | 42.47        | n/a        | n/a          | 40.00          | 5.0        |
| <b>BUCK CAPITAL MGMT</b>   | <b>001</b> | <b>NWY</b>  | <b>B</b> | <b>20,000</b> | <b>2.54</b>  | <b>n/a</b> | <b>n/a</b>   | <b>800.00</b>  | <b>4.0</b> |

| Account                       | RR#        | Symbol      | B/S      | Shares         | Price        | MRI           | Research      | Comm. ¢/Shr.   |            |
|-------------------------------|------------|-------------|----------|----------------|--------------|---------------|---------------|----------------|------------|
| PATTEN & PATTEN               | 067        | OXY         | S        | 400            | 84.79        | n/a           | n/a           | 20.00          | 5.0        |
| CIRCLE T/SES PART             | 035        | PAG         | B        | 25,000         | 12.63        | n/a           | 3-May         | 1,000.00       | 4.0        |
| <b>f CHRISTOPHER ROBERT C</b> | <b>FID</b> | <b>PCAR</b> | <b>S</b> | <b>200</b>     | <b>42.63</b> | <b>n/a</b>    | <b>30-Apr</b> | <b>0.00</b>    | <b>0.0</b> |
| PATTEN & PATTEN               | 067        | PEP         | S        | 500            | 63.23        | n/a           | n/a           | 25.00          | 5.0        |
| <b>B BUCK CAPITAL MGMT</b>    |            | <b>PFCB</b> | <b>C</b> | <b>75,399</b>  | <b>44.27</b> | <b>11-Jun</b> | <b>11-Jun</b> | <b>non-brg</b> | <b>4.0</b> |
| LAGRANGE CAP ADV              | 048        | PFCB        | SS       | 10,000         | 44.40        | 11-Jun        | 11-Jun        | 500.00         | 5.0        |
| PATTEN & PATTEN               | 067        | PHO         | S        | 1,500          | 15.90        | n/a           | n/a           | 75.00          | 5.0        |
| 1798 CAP/LOMBARD ASSET        | 040        | PKG         | B        | 17,500         | 22.19        | n/a           | 20-Apr        | 875.00         | 5.0        |
| <b>f DAVID GEORGE BUCK</b>    | <b>FID</b> | <b>PM</b>   | <b>B</b> | <b>500</b>     | <b>44.06</b> | <b>n/a</b>    | <b>n/a</b>    | <b>0.00</b>    | <b>0.0</b> |
| GALT INVESTMENT               | 041        | PNRA        | B        | 10,000         | 82.59        | n/a           | 28-Apr        | 500.00         | 5.0        |
| PATTEN & PATTEN               | 067        | PRU         | S        | 500            | 58.60        | n/a           | n/a           | 25.00          | 5.0        |
| <b>B BUCK CAPITAL MGMT</b>    |            | <b>PSS</b>  | <b>S</b> | <b>100,000</b> | <b>19.12</b> | <b>n/a</b>    | <b>n/a</b>    | <b>non-brg</b> | <b>2.5</b> |
| <b>B BUCK CAPITAL MGMT</b>    |            | <b>PSS</b>  | <b>S</b> | <b>200,000</b> | <b>19.21</b> | <b>n/a</b>    | <b>n/a</b>    | <b>non-brg</b> | <b>4.0</b> |
| PATTEN & PATTEN               | 067        | PWR         | S        | 1,500          | 21.63        | n/a           | n/a           | 75.00          | 5.0        |
| COLUMBUS CAP MGMT LLC         | 084        | PXLW        | S        | 15,000         | 3.75         | n/a           | n/a           | 450.00         | 3.0        |
| <b>B BUCK CAPITAL MGMT</b>    |            | <b>QKLS</b> | <b>S</b> | <b>14,000</b>  | <b>5.00</b>  | <b>n/a</b>    | <b>n/a</b>    | <b>non-brg</b> | <b>3.0</b> |

|          | Account                    | RR#        | Symbol       | B/S      | Shares         | Price        | MRI        | Research   | Comm.¢/Shr.     |            |
|----------|----------------------------|------------|--------------|----------|----------------|--------------|------------|------------|-----------------|------------|
| <b>f</b> | <b>JOSEPH CIRO AMATURO</b> | <b>FID</b> | <b>RAD</b>   | <b>S</b> | <b>40000</b>   | <b>1.12</b>  | <b>n/a</b> | <b>n/a</b> | <b>0.00</b>     | <b>0.0</b> |
|          | PATTEN & PATTEN            | 067        | RHHBY        | S        | 600            | 33.67        | n/a        | n/a        | 30.00           | 5.0        |
| <b>f</b> | <b>EDWARD W WHEELER</b>    | <b>FID</b> | <b>RUSHA</b> | <b>S</b> | <b>5000</b>    | <b>14.57</b> | <b>n/a</b> | <b>n/a</b> | <b>0.00</b>     | <b>0.0</b> |
|          | SOROS                      | 036        | SAI          | SS       | 50,000         | 17.45        | n/a        | n/a        | 2,000.00        | 4.0        |
| <b>B</b> | <b>BUCK CAPITAL MGMT</b>   |            | <b>SCVL</b>  | <b>C</b> | <b>33,000</b>  | <b>23.09</b> | <b>n/a</b> | <b>n/a</b> | <b>non-brg</b>  | <b>4.0</b> |
|          | SOROS                      | 036        | SGY          | S        | 100,000        | 13.25        | n/a        | n/a        | 4,000.00        | 4.0        |
|          | <b>BUCK CAPITAL MGMT</b>   | <b>001</b> | <b>SKX</b>   | <b>B</b> | <b>170,000</b> | <b>40.38</b> | <b>n/a</b> | <b>n/a</b> | <b>6,800.00</b> | <b>4.0</b> |
| <b>f</b> | <b>DAVID GEORGE BUCK</b>   | <b>FID</b> | <b>SLB</b>   | <b>S</b> | <b>1000</b>    | <b>58.80</b> | <b>n/a</b> | <b>n/a</b> | <b>0.00</b>     | <b>0.0</b> |
|          | PATTEN & PATTEN            | 067        | SLB          | S        | 350            | 59.20        | n/a        | n/a        | 17.50           | 5.0        |
|          | PATTEN & PATTEN            | 067        | SWN          | S        | 1,000          | 43.70        | n/a        | 3-Jun      | 50.00           | 5.0        |
|          | PATTEN & PATTEN            | 067        | TEVA         | S        | 400            | 52.85        | n/a        | 11-Jun     | 20.00           | 5.0        |
| <b>f</b> | <b>DAVID GEORGE BUCK</b>   | <b>FID</b> | <b>TFCIX</b> | <b>B</b> | <b>9407</b>    | <b>10.63</b> | <b>n/a</b> | <b>n/a</b> | <b>0.00</b>     | <b>0.0</b> |
|          | PATTEN & PATTEN            | 067        | TIP          | S        | 250            | 105.69       | n/a        | n/a        | 12.50           | 5.0        |
|          | PATTEN & PATTEN            | 067        | T            | S        | 700            | 25.19        | n/a        | n/a        | 35.00           | 5.0        |
|          | M&I FUNDS                  | 047        | UA           | S        | 20,800         | 34.20        | n/a        | 28-Apr     | 832.00          | 4.0        |
|          | SIGMA CAPITAL ASSOCIATES   | 041        | URBN         | SS       | 100,000        | 36.68        | n/a        | n/a        | 3,500.00        | 3.5        |

| Account                       | RR#        | Symbol     | B/S      | Shares         | Price        | MRI        | Research      | Comm.¢/Shr.      |            |
|-------------------------------|------------|------------|----------|----------------|--------------|------------|---------------|------------------|------------|
| PATTEN & PATTEN               | 067        | UTX        | S        | 400            | 65.75        | n/a        | n/a           | 20.00            | 5.0        |
| <b>B BUCK CAPITAL MGMT</b>    |            | <b>VFC</b> | <b>B</b> | <b>100,000</b> | <b>77.47</b> | <b>n/a</b> | <b>14-May</b> | <b>non-brg</b>   | <b>2.0</b> |
| PATTEN & PATTEN               | 067        | WAG        | S        | 1,000          | 29.37        | n/a        | n/a           | 50.00            | 5.0        |
| <b>f DAVID GEORGE BUCK</b>    | <b>FID</b> | <b>WFC</b> | <b>B</b> | <b>4000</b>    | <b>27.41</b> | <b>n/a</b> | <b>11-Jun</b> | <b>0.00</b>      | <b>0.0</b> |
| PATTEN & PATTEN               | 067        | WFR        | S        | 200            | 10.79        | n/a        | n/a           | 10.00            | 5.0        |
| <b>f JOHN MICHAEL ZOLIDIS</b> | <b>FID</b> | <b>WHR</b> | <b>S</b> | <b>100</b>     | <b>98.54</b> | <b>n/a</b> | <b>n/a</b>    | <b>0.00</b>      | <b>0.0</b> |
| EXIS CAPITAL                  | 044        | WRC        | B        | 10,000         | 41.44        | n/a        | 14-May        | 500.00           | 5.0        |
| LEVEL GLOBAL SHARED ACCT      | 257        | WRC        | B        | 100,000        | 41.89        | n/a        | 14-May        | 3,000.00         | 3.0        |
| MTB INV ADV                   | 034        | WXS        | S        | 15,050         | 30.58        | n/a        | n/a           | 752.50           | 5.0        |
| PATTEN & PATTEN               | 067        | WY         | S        | 500            | 40.89        | n/a        | 27-May        | 25.00            | 5.0        |
| <b>B BUCK CAPITAL MGMT</b>    |            | <b>XRT</b> | <b>C</b> | <b>215,000</b> | <b>39.66</b> | <b>n/a</b> | <b>n/a</b>    | <b>non-brg</b>   | <b>2.0</b> |
| GREEN ARROW DOUG              | 040        | YUM        | SS       | 25,000         | 42.05        | n/a        | 15-Apr        | 1,250.00         | 5.0        |
| KLEINHEINZ CAP PART           | 031        | YUM        | B        | 50,000         | 42.09        | n/a        | 15-Apr        | 2,500.00         | 5.0        |
| <b>TOTAL (Trade Date):</b>    |            |            |          |                |              |            |               | <b>61,863.34</b> |            |

**Cancel/Corrects and As-Of Trades**

| Type | Date  | Account         | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|------|-------|-----------------|------|--------|-----|--------|-------|-----|----------|-------------|
| AO,  | 06/10 | COLUMBUS CIRCLE | 036  | GWR    | B   | 4,408  | 37.26 | n/a | n/a      | 176.32 4.0  |
| AO,  | 06/10 | COLUMBUS CIRCLE | 036  | MGLN   | S   | 1,930  | 38.38 | n/a | n/a      | 77.20 4.0   |
| AO,  | 06/10 | COLUMBUS CIRCLE | 036  | NUAN   | S   | 19,700 | 16.32 | n/a | n/a      | 736.82 2.7  |
| AO,  | 06/10 | CAVU CAP ADV    | 028  | UNP    | B   | 20,000 | 71.10 | n/a | n/a      | 1000.00 5.0 |

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|                               |                 |
|-------------------------------|-----------------|
| <b>TOTAL (AO, CXL, CRT) :</b> | <b>1,990.34</b> |
|-------------------------------|-----------------|

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|                        |           |
|------------------------|-----------|
| TOTAL (Trade Date) :   | 61,863.34 |
| TOTAL (AO, CXL, CRT) : | 1,990.34  |

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|                                |                  |
|--------------------------------|------------------|
| <b>TOTAL (RUN DATE) / NFS:</b> | <b>63,853.68</b> |
|--------------------------------|------------------|

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|  |
|--|
| MRI Securities with Trade Activity on 11-Jun.<br><b>PFCB</b> |
|--|

|  |
|--|
| MRI Securities without Trade Activity on 11-Jun.<br>NONE |
|--|

|   |
|---|
| BRG comm. as a % of BCM Total Comm. paid. |
|---|



**T-Date = 29%**

**YTD = 8%**

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**