



Daily Compliance Activity Report

Trade Date: 05/16/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BACKUS	005	AAI	B	2,000	11.69	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	AB	S	15,000	86.42	25-Apr	9-May	750.00	5.0
KEEFE BRUYETTE WOODS A	031	AB	B	10,400	86.46	25-Apr	9-May	520.00	5.0
B BUCK CAPITAL MGMT		AEO	B	10,000	28.27	4-Jan	11-May	non-brg	0.4
B BUCK CAPITAL MGMT		AEO	B	5,000	28.02	4-Jan	11-May	non-brg	0.4
BULL PATH CAPITAL	044	AET	S	75,000	51.77	n/a	n/a	3,750.00	5.0
S.A.C. CAPITAL MGMT.	044	AGN	SS	20,000	122.62	14-Mar	14-May	1,000.00	5.0
GALLEON MGMT LP	033	AMGN	B	100,000	54.34	n/a	26-Jan	4,000.00	4.0
BALYASNY ASSET MGMT	044	AMG	S	6,900	119.87	25-Apr	1-May	345.00	5.0
BUCK	015	ANF	C	400	79.55	n/a	11-May	0.00	0.0
B BUCK CAPITAL MGMT		AVCI	C	20,000	8.28	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	BEN	B	7,500	136.32	8-May	9-May	375.00	5.0
B BUCK CAPITAL MGMT		BONT	B	10,000	46.97	9-Mar	11-May	non-brg	5.0
BACKUS	005	BSC	B	1,000	149.40	15-Dec	11-May	0.00	0.0
GALLEON MGMT LP	033	BSX	B	300,000	15.88	n/a	22-Apr	9,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BRIGHTPOINT CAP	067	CHS	S	10,000	24.51	27-Mar	11-May	500.00	5.0
SUTERA	160	CHS	C	2,000	24.21	27-Mar	11-May	0.00	0.0
BALYASNY ASSET MGMT	044	CSE	S	42,200	25.68	n/a	n/a	2,110.00	5.0
B BUCK CAPITAL MGMT		CTRN	S	10,000	36.39	n/a	n/a	non-brg	5.0
ROBX	033	CTXS	S	13,000	30.41	n/a	n/a	520.00	4.0
B BUCK CAPITAL MGMT		CWTR	B	10,000	19.20	n/a	n/a	non-brg	0.4
B BUCK CAPITAL MGMT		CWTR	B	10,000	19.40	n/a	n/a	non-brg	0.4
NOLAN	067	C	B	20	54.03	11-Jan	11-May	0.00	0.0
MAGUIRE	090	DAL	B	5,000	18.29	n/a	n/a	0.00	0.0
SAC TRADING HOWARD	038	DBRN	B	25,000	20.45	n/a	n/a	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	044	DBRN	S	200,000	20.40	n/a	n/a	10,000.00	5.0
THE JAY GOLDMAN	034	DDS	B	10,000	34.66	n/a	n/a	400.00	4.0
BROOKSIDE CAPITAL PART	067	DELL	SS	118,800	25.34	n/a	n/a	4,752.00	4.0
SUTERA	160	DNDN	B	2,000	5.82	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DSW	B	40,000	35.93	7-May	11-May	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	DSW	S	100,000	35.94	7-May	11-May	3,750.00	3.8
THIRD COAST CAPITAL	035	DSW	SS	25,000	35.98	7-May	11-May	1,250.00	5.0
BEAR STEARNS ASSET MGMT.	033	FD	SS	35,000	39.63	26-Jan	14-May	1,400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE BOSTON COMPANY	039	FD	B	19,000	39.58	26-Jan	14-May	950.00	5.0
T. ROWE PRICE	243	FITB	S	86,000	41.08	n/a	n/a	3,440.00	4.0
COUGAR TRADING	044	FL	B	5,000	21.52	n/a	11-May	250.00	5.0
BUCK	015	FPRS	B	2,000	37.53	n/a	n/a	0.00	0.0
CAXTON PART	040	F	B	250,000	8.82	n/a	27-Apr	10,000.00	4.0
B BUCK CAPITAL MGMT		GCO	SS	30,000	49.04	n/a	10-Jan	non-brg	5.0
B BUCK CAPITAL MGMT		GIII	B	1,039	18.09	n/a	n/a	non-brg	5.0
BALYASNY ASSET MGMT	044	GT	B	20,000	33.27	n/a	n/a	1,000.00	5.0
LOOMIS SALES/BOSTON	036	HD	B	15,000	38.00	n/a	16-May	600.00	4.0
SLS CAPITAL LLC	224	HEW	B	47,300	29.65	n/a	n/a	2,365.00	5.0
B BUCK CAPITAL MGMT		HLYS	B	20,000	30.83	n/a	n/a	non-brg	5.0
CASTLEROCK	040	HPQ	SS	69,900	44.82	n/a	n/a	2,796.00	4.0
WEISS PECK	040	HRS	B	25,000	49.02	2-May	2-May	1,250.00	5.0
B BUCK CAPITAL MGMT		ICON	S	20,000	19.06	n/a	n/a	non-brg	5.0
BACKUS	005	IPAR	S	2,000	26.99	n/a	n/a	0.00	0.0
IRONBOUND CAPITAL	012	IP	SS	15,000	38.93	4-May	4-May	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KAZMI	010	ITCD	B	3,000	5.55	n/a	n/a	0.00	0.0
HASAN	010	ITCD	B	8,000	5.60	n/a	n/a	0.00	0.0
MAGUIRE	090	ITCD	B	5,000	5.65	n/a	n/a	0.00	0.0
BARR	096	ITCD	B	3,500	5.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		JADE	B	40,000	12.31	n/a	n/a	non-brg	5.0
KALINOWSKI	070	JBXEN	S	100	7.10	n/a	n/a	0.00	0.0
KALINOWSKI	070	JBXFN	S	50	7.94	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	75.66	20-Apr	14-May	400.00	4.0
BALYASNY ASSET MGMT	044	JNC	S	20,000	53.00	30-Apr	1-May	1,000.00	5.0
THE JAY GOLDMAN	034	JWN	B	20,000	52.81	27-Feb	14-May	800.00	4.0
ß BUCK CAPITAL MGMT		KMX	S	40,000	24.45	n/a	10-Jan	non-brg	5.0
BUCK CAPITAL MGMT	001	KSS	B	20,000	71.72	n/a	16-May	800.00	4.0
Õ Glenn Redemann		LCC	B	7	0.13	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LCC	B	7	0.33	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LCC	SS	7	0.78	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LCC	SS	7	1.18	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	044	LOW	B	50,000	31.22	n/a	26-Feb	2,500.00	5.0
BUCK CAPITAL MGMT	001	LTD	S	20,000	25.78	16-May	16-May	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		LTD	S	50,000	25.62	16-May	16-May	non-brg	5.0
RAMIUS CAP	040	LTD	S	75,000	25.70	16-May	16-May	3,750.00	5.0
SARAS CAPITAL	038	LTD	B	65,000	25.54	16-May	16-May	3,250.00	5.0
⌘ BUCK CAPITAL MGMT		MIPS	B	20,000	9.05	n/a	n/a	non-brg	5.0
T. ROWE PRICE	243	MMC	B	23,000	30.68	n/a	n/a	920.00	4.0
MERRILL ASSET	032	MO	B	396,200	69.86	n/a	n/a	19,810.00	5.0
⌘ BUCK CAPITAL MGMT		MSM	B	5,000	48.51	n/a	n/a	non-brg	5.0
CROWLEY	061	NG	B	1,000	13.60	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	OKE	S	27,100	50.52	n/a	n/a	1,355.00	5.0
BUCK CAPITAL MGMT	001	OXM	S	15,000	44.87	n/a	n/a	600.00	4.0
Õ Glenn Redemann		PAAS	B	5	0.35	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PAAS	B	5	0.45	n/a	n/a	0.00	0.0
BARR	096	PDFS	B	1,373	10.19	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PTT	B	10,000	7.16	n/a	n/a	400.00	4.0
BRIGHTPOINT CAP	067	PVH	SS	10,000	53.66	28-Mar	28-Mar	500.00	5.0
WAGONHOUND	048	PVH	S	2,900	53.73	28-Mar	28-Mar	1.16	0.0
⌘ BUCK CAPITAL MGMT		RICK	B	5,300	8.34	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	RL	S	10,000	91.58	2-Feb	13-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COHE & STEERS	091	RYN	S	134,600	43.76	n/a	25-Apr	6,730.00	5.0
FORSTMAN LEFF	032	SKH	B	8,400	16.05	n/a	n/a	420.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	30,000	30.00	n/a	13-Mar	1,200.00	4.0
ZOLIDIS	011	SKX	B	200	29.96	n/a	13-Mar	0.00	0.0
KAICHER	064	SNCR	B	2,000	22.22	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SNDA	??	7	0.04	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SNDA	??	7	0.06	n/a	n/a	0.00	0.0
BACKUS	005	SNEN	B	10,000	2.67	n/a	n/a	0.00	0.0
IRONBOUND CAPITAL	012	TIN	B	10,000	61.86	26-Apr	26-Apr	500.00	5.0
EGS ASSOCIATES LP	091	TJX	B	3,500	27.49	21-Mar	16-May	210.00	6.0
THE BOSTON COMPANY	039	TJX	B	194,300	27.49	21-Mar	16-May	7,772.00	4.0
ß BUCK CAPITAL MGMT		TSCO	S	45,000	50.29	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		TWB	S	30,000	37.65	22-Feb	8-May	non-brg	5.0
SAB CAPITAL	034	TWB	B	17,500	37.99	22-Feb	8-May	875.00	5.0
WASHINGTON ASSET	048	TWC	B	10,000	37.49	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		URBN	B	10,000	23.99	2-Apr	11-May	non-brg	5.0
EFSTATHIOU	044	URQOE	S	10	0.95	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
T. ROWE PRICE	243	USB	S	14,000	34.51	n/a	n/a	560.00	4.0
HIGHBRIDGE CAPITAL	038	VMC	SS	12,000	113.91	n/a	n/a	600.00	5.0
JP MORGAN VENTURES	046	WEN	SS	25,400	39.34	24-Apr	24-Apr	1,270.00	5.0
COUGAR TRADING	044	WMT	B	5,000	47.03	n/a	16-May	250.00	5.0
COUGAR TRADING	044	WRNC	SS	15,000	31.13	9-May	9-May	750.00	5.0
Ⓐ BUCK CAPITAL MGMT		WSO	B	5,000	55.60	n/a	n/a	non-brg	5.0
Ⓐ BUCK CAPITAL MGMT		WTSLA	B	20,000	5.40	n/a	n/a	non-brg	4.0
XYLEM GLOBAL	091	WY	B	30,000	79.36	7-May	7-May	1,500.00	5.0
MAGUIRE	090	XJT	B	12,000	6.24	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							129,496.16		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/10	WHITE PINE CAPITAL	030	BDSB	B	3,500	10.34	n/a	n/a	175.00 5.0
AO,CXL	05/10	WHITE PINE CAPITAL	030	BDSB	B	3,500	10.34	n/a	n/a	175.00 5.0
AO,CXL	05/15	SANDLER CAPITAL	038	BLK	B	25,000	144.96	11-May	11-May	1250.00 5.0
AO,CRT	05/15	SANDLER O'NEILL ASSET	031	BLK	B	25,000	144.96	11-May	11-May	1250.00 5.0
AO,CRT	05/15	TRELLUS PARTNERS LP	028	F	SS	92,800	8.86	n/a	27-Apr	2784.00 3.0
AO,CXL	05/15	TRELLUS PARTNERS LP	028	F	SS	92,800	8.86	n/a	27-Apr	4640.00 5.0
AO,CXL	05/11	GLG PARTNERS	034	FL	B	25,000	21.53	n/a	11-May	1250.00 5.0
AO,CRT	05/11	GLG PARTNERS	034	FL	B	25,000	21.50	n/a	11-May	1250.00 5.0
AO,	05/15	PRINCIPLE CAPITAL	040	LTD	B	5,400	26.00	16-May	16-May	162.00 3.0
AO,	05/15	PILOT ADV	038	MWV	SS	12,000	32.05	3-May	3-May	480.00 4.0
AO,	05/15	FORSTMAN LEFF	032	SKH	B	125,500	16.37	n/a	n/a	6275.00 5.0
AO,CXL	05/15	FORSTMAN LEFF	032	SKH	B	124,200	16.37	n/a	n/a	6210.00 5.0
TOTAL (AO, CXL, CRT) :										-1,149.00

TOTAL (Trade Date):	129,496.16
TOTAL (AO, CXL, CRT):	-1,149.00

TOTAL (RUN DATE) / NFS:

128,347.16

MRI Securities with Trade Activity on 16-May.

LTD

MRI Securities without Trade Activity on 16-May.

FOSL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 19%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION