



## RR Commissions Summary [ Ian Miller ]

Trade Date: 05/28/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	05/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BTIG/KDI ADV	025	0	0	0	0	0	12,500	12,500	0	12,500	12,500	50,000	25
CHARTERBRIDGE CAPITAL	025	0	0	0	0	0	0	0	0	0	0	43,000	0
CONIFER	025	0	0	0	0	0	0	0	0	0	0	6,928	0
CONIFER/ISF MANAGEMENT	025	0	0	0	0	0	4,000	4,000	0	4,000	4,000	13,840	29
CYRUS CAPITAL	025	0	19,607	21,737	80,288	0	0	0	19,607	21,737	80,288	36,516	220
EMINENCE CAPITAL	025	0	0	0	0	10,000	10,000	25,000	10,000	10,000	25,000	80,000	31
FRANKLIN ADVISORY SERVIC	025	0	0	0	0	0	0	5,000	0	0	5,000	20,000	25
G WEISS /IAN	025	0	0	0	0	0	0	0	0	0	0	5,040	0
GABELLI FUNDS	025	0	6,646	7,096	7,096	0	0	0	6,646	7,096	7,096	0	0
HG VORA CAP MGMT LLC	025	0	0	0	0	0	0	0	0	0	0	498	0
HOPLITE MGMT	025	0	1,638	7,610	22,009	0	0	0	1,638	7,610	22,009	59,717	37
KOLVEN CAPITAL	025	0	0	60	249	0	0	0	0	60	249	30	830
MASTERS CAPITAL MANAGE	025	0	21,397	22,897	28,897	0	0	0	21,397	22,897	28,897	48,495	60
NEWLAND CAPITAL MGT	025	0	1,100	3,848	10,286	0	0	0	1,100	3,848	10,286	68,617	15
PALISADE CAPITAL MANAGEMENT LLC	025	0	3,631	3,840	8,038	0	0	0	3,631	3,840	8,038	0	0
PATTEN & PATTEN	025	0	771	771	3,879	0	0	0	771	771	3,879	56,434	7
PAW PARTNERS	025	0	2,500	2,500	2,500	0	0	0	2,500	2,500	2,500	2,875	87
RIVERPARK CAPITAL	025	0	922	2,029	6,165	0	0	0	922	2,029	6,165	9,371	66
SAGE ASSET MGMT	025	0	3,045	6,996	17,268	0	0	0	3,045	6,996	17,268	31,923	54
SCOPIA CAPITAL	025	0	9,065	9,065	12,065	0	0	0	9,065	9,065	12,065	0	0
SEVEN LOCKS CAPITAL	025	0	0	3,000	4,000	0	0	0	0	3,000	4,000	11,607	34
SHIKIAR ASSET	025	0	0	0	0	0	0	0	0	0	0	3,100	0
SONICA CAPITAL LLC	025	0	2,115	2,115	2,115	0	0	0	2,115	2,115	2,115	4,539	47
SOUTH SHORE CAPITAL	025	0	0	0	0	0	0	500	0	0	500	850	59
<b>TOTALS:</b>		<b>0</b>	<b>72,438</b>	<b>93,565</b>	<b>204,854</b>	<b>10,000</b>	<b>26,500</b>	<b>47,000</b>	<b>82,438</b>	<b>120,065</b>	<b>251,854</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	05/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	0	9,595	17,057	51,918	0	0	0	9,595	17,057	51,918	103,730	0
FIR TREE CAPITAL	270	0	0	0	0	2,000	9,000	9,000	2,000	9,000	9,000	6,500	138
GEORGE WEISS	278	2,250	4,850	8,465	24,393	43,000	43,000	45,000	47,850	51,465	69,393	0	0
<b>TOTALS:</b>		<b>2,250</b>	<b>14,445</b>	<b>25,522</b>	<b>76,311</b>	<b>45,000</b>	<b>52,000</b>	<b>54,000</b>	<b>59,445</b>	<b>77,522</b>	<b>130,311</b>		

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