



Daily Compliance Activity Report

Trade Date: 07/23/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	AA	B	200,000	41.86	n/a	n/a	8,000.00	4.0
GLENHILL CAPITAL	038	AA	S	200,000	41.78	n/a	n/a	6,000.00	3.0
EGS ASSOCIATES LP	091	ABG	S	3,000	23.50	18-Jun	13-Jul	180.00	6.0
ROTHSCHILD ASSET	040	ALJ	B	24,950	41.03	n/a	n/a	1,122.75	4.5
BUCK CAPITAL MGMT	001	ANF	B	5,000	71.85	12-Jul	13-Jul	200.00	4.0
CRAMER ROSENTHAL/SCOTT	012	ARO	B	13,600	40.98	n/a	13-Jul	544.00	4.0
MADIGAN	031	BAC	B	150	48.17	20-Apr	20-Jul	0.00	0.0
SEQUENCE CAPITAL	028	BBW	S	1,778	24.36	15-Jun	15-Jun	71.12	4.0
MAGUIRE	090	BJS	S	7,500	29.34	n/a	n/a	0.00	0.0
MADIGAN	031	BMJ	S	200	32.01	n/a	n/a	0.00	0.0
Glenn Redemann		BUCY	B	3	0.9500	n/a	n/a	0.00	0.0
Glenn Redemann		BUCY	B	3	1.0000	n/a	n/a	0.00	0.0
Glenn Redemann		BUCY	SS	3	1.9200	n/a	n/a	0.00	0.0
Glenn Redemann		BUCY	SS	3	2.2300	n/a	n/a	0.00	0.0
METROPOLITAN CAPITAL	044	BWS	B	20,000	24.15	n/a	n/a	1,000.00	5.0
CIBC ADV.	060	CDL	B	59,171	6.20	n/a	n/a	2,366.84	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	CEVA	B	800	9.16	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	044	CHS	SS	25,000	21.08	12-Jul	13-Jul	1,250.00	5.0
LAKEWAY CAPITAL MGMT	048	CKR	B	45,000	18.96	n/a	n/a	2,250.00	5.0
LEDDY	035	COSI	S	2,000	4.62	n/a	n/a	0.00	0.0
Glenn Redemann		CSX	B	3	0.2100	n/a	n/a	0.00	0.0
Glenn Redemann		CSX	B	3	0.4500	n/a	n/a	0.00	0.0
Glenn Redemann		CSX	SS	3	0.7200	n/a	n/a	0.00	0.0
Glenn Redemann		CSX	SS	3	1.3400	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	217	DAL	SS	30,000	21.18	n/a	n/a	1,500.00	5.0
BUCK CAPITAL MGMT	001	DDS	SS	20,000	36.34	n/a	n/a	800.00	4.0
SCOPUS FUND	039	DD	B	15,000	53.08	n/a	25-May	750.00	5.0
PEAK MACAYA CAP MGMT	044	DRI	SS	3,000	44.09	24-Apr	24-Apr	150.00	5.0
PEAK MACAYA CAP MGMT	044	EAT	SS	6,100	27.80	24-Apr	24-Apr	305.00	5.0
LEDDY	035	EVST	S	300	32.95	n/a	n/a	0.00	0.0
LUCHANSKY	036	FACE	B	300	13.07	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FL	B	10,000	22.00	24-May	21-Jun	400.00	4.0
SEQUENCE CAPITAL	028	FL	B	2,813	21.99	24-May	21-Jun	112.52	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PEAK MACAYA CAP MGMT	044	FNF	B	8,000	23.32	n/a	n/a	400.00	5.0
UBS OCONNOR	031	F	B	380,000	8.47	n/a	19-Jul	11,400.00	3.0
AVESTA CAP	229	GE	B	100,000	40.58	n/a	n/a	5,000.00	5.0
SUN AMERICA ASSET MGMT	030	GE	B	38,100	40.61	n/a	n/a	1,905.00	5.0
MKT MAKER	099	GIII	S	43	17.39	n/a	n/a	0.00	0.0
WAGONHOUND	048	GIL	B	1,410	35.14	21-Jun	21-Jun	56.40	4.0
UBS OCONNOR	031	GM	SS	92,500	35.13	4-May	20-Jul	2,775.00	3.0
BAIRD INVESTMENT	031	GNTX	S	32,370	22.11	n/a	20-Jul	1,618.50	5.0
COUGAR TRADING	044	GNTX	B	15,000	21.43	n/a	20-Jul	750.00	5.0
COUGAR TRADING	044	GPI	S	5,000	38.06	27-Apr	13-Jul	250.00	5.0
EGS ASSOCIATES LP	091	GPI	S	3,000	37.64	27-Apr	13-Jul	180.00	6.0
LATEFF MGMT	040	GPI	B	1,500	37.65	27-Apr	13-Jul	330.00	22.0
TRUST CO/COWEN/CALF.	040	GPS	B	468,787	18.52	n/a	13-Jul	18,751.48	4.0
MAZAMA CAPITAL MGMT.	035	HPY	S	6,900	29.65	n/a	n/a	276.00	4.0
ACT II PARTNERS	067	INAP	B	100,000	14.97	n/a	n/a	5,000.00	5.0
BARR	096	IPGP	B	1,100	20.11	n/a	n/a	0.00	0.0
IRONBOUND CAPITAL	012	IP	SS	10,000	41.00	4-May	14-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	JADE	B	2,000	8.21	n/a	n/a	0.00	0.0
GOLDMAN SACHS ASSET MGMT	044	JCP	B	108,000	74.52	21-Jun	13-Jul	2,160.00	2.0
SANDLER O'NEILL ASSET	031	JNS	B	15,000	30.49	16-Jul	16-Jul	750.00	5.0
SAC TRADING HOWARD	038	JWN	B	20,000	46.82	12-Jul	13-Jul	1,000.00	5.0
ROSEDALE CAPITAL	046	KEGS	B	40,000	17.10	23-Jul	23-Jul	2,000.00	5.0
TRIBECA/DOUG	040	KFT	B	70,000	35.74	n/a	n/a	2,800.00	4.0
LAKEWAY CAPITAL MGMT	048	KOP	B	20,000	29.88	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	35,000	65.42	14-Jun	12-Jul	1,400.00	4.0
FIRST NEW YORK LEDDY	035	KSS	B	10,000	65.70	14-Jun	12-Jul	600.00	6.0
FORCE CAPITAL MGMT	030	LNET	B	25,000	35.04	n/a	n/a	1,250.00	5.0
CAXTON PART	040	LYO	S	50,000	46.62	14-May	14-May	2,000.00	4.0
CHILTON CAPITAL MGMT.	036	MAR	B	25,000	44.85	n/a	n/a	1,000.00	4.0
CROWLEY	061	MFM	B	3,500	7.71	n/a	n/a	0.00	0.0
ROSENBERG	034	MIRWSB	S	1,000	23.33	n/a	n/a	0.00	0.0
CAVU CAP ADV	028	MU	B	47,500	13.52	n/a	n/a	2,375.00	5.0
BUCK CAPITAL MGMT	001	M	SS	25,000	41.82	13-Jul	13-Jul	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PEREGRINE	030	NDN	B	141,700	13.58	n/a	11-Jul	7,085.00	5.0
KAZMI	010	NRWS	S	15,000	0.38	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	217	NWA	SS	70,000	21.57	n/a	n/a	3,500.00	5.0
BARR	096	PDFS	S	1,800	12.19	n/a	n/a	0.00	0.0
MKT MAKER	099	PFCB	B	32	35.97	24-Apr	24-Apr	0.00	0.0
WHITE PINE CAPITAL	030	RCKY	S	6,700	16.80	n/a	n/a	335.00	5.0
HARVEST MGMT	039	RVI	B	50,000	14.38	n/a	n/a	2,500.00	5.0
LEDDY	035	SDS	S	1,100	50.42	n/a	n/a	0.00	0.0
CAXTON PART	040	SGP	S	50,000	31.68	n/a	n/a	2,000.00	4.0
SEQUENCE CAPITAL	028	SKS	S	2,220	21.49	n/a	n/a	88.80	4.0
BUCK CAPITAL MGMT	001	SKX	S	40,000	29.90	n/a	13-Mar	1,600.00	4.0
Õ Glenn Redemann		SPWR	B	3	0.4400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPWR	B	3	0.6000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPWR	SS	3	1.4400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPWR	SS	3	1.4500	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SSI	S	20,000	20.81	25-May	13-Jul	800.00	4.0
MKT MAKER	099	TARR	B	64	7.58	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALLEON MGMT LP	033	TLAB	S	10,000	12.82	n/a	22-Mar	500.00	5.0
MERRILL ASSET	032	TLB	S	39,900	23.73	n/a	17-Jul	1,795.50	4.5
MKT MAKER	099	USTR	B	26	68.53	23-May	23-May	0.00	0.0
KAZMI	010	VOII	S	20,000	0.07	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	WRNC	S	37,500	40.17	18-Jul	18-Jul	1,875.00	5.0
TOTAL (Trade Date):							117,608.91		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/19	GOLDEN TREE (GTRE2203MS)	036	CTXS	S	66,371	38.79	n/a	n/a	3318.55 5.0
AO,	07/19	GOLDEN TREE (GTRE2205MS)	036	CTXS	S	14,385	38.79	n/a	n/a	719.25 5.0
AO,	07/19	GOLDEN TREE (GTRE2215MS)	036	CTXS	S	10,820	38.79	n/a	n/a	541.00 5.0
AO,CXL	07/19	GOLDEN TREE (GTRE2215CH)	036	CTXS	S	10,820	38.79	n/a	n/a	541.00 5.0
AO,CXL	07/19	GOLDEN TREE (GTRE2205GS)	036	CTXS	S	14,385	38.79	n/a	n/a	719.25 5.0
AO,CXL	07/19	GOLDEN TREE (GTRE2203GS)	036	CTXS	S	66,371	38.79	n/a	n/a	3318.55 5.0
AO,	05/11	LISTED ERR (LISTED ERR)	099	FL	B	25,000	21.53	24-May	21-Jun	0.00 0.0
AO,	05/11	LISTED ERR (LISTED ERR)	099	FL	S	25,000	21.50	24-May	21-Jun	0.00 0.0
AO,CRT	07/20	MKT MAKER (MKT MAKER)	099	GIII	B	19	17.05	n/a	n/a	0.00 0.0
AO,	07/20	WAGONHOUND (WAGO)	048	GIL	B	1,460	35.03	21-Jun	21-Jun	58.40 4.0
CRT	07/23	UBS OCONNOR (UBSOCSFBCO)	031	GM	SS	56,980	35.13	4-May	20-Jul	1709.40 3.0
AO,CRT	07/18	REINHART & MAHONEY (REINLAKSHO)	031	PCH	B	9,000	43.75	26-Jun	26-Jun	450.00 5.0
AO,CXL	07/18	REINHART & MAHONEY (&REINLAKEC)	031	PCH	B	9,000	43.75	26-Jun	26-Jun	450.00 5.0
AO,	05/16	LISTED ERR (LISTED ERR)	099	PVH	B	2,900	53.73	31-May	31-May	0.00 0.0
AO,	05/16	LISTED ERR (LISTED ERR)	099	PVH	S	2,900	53.64	31-May	31-May	0.00 0.0
AO,	05/10	LISTED ERR (LISTED ERR)	099	TAP	B	34,456	93.55	n/a	n/a	0.00 0.0
AO,	05/10	LISTED ERR (LISTED ERR)	099	TAP	S	34,456	93.56	n/a	n/a	0.00 0.0
AO,CXL	07/20	AMBER CAPITAL (AMBRLEH)	046	XLB	SS	1,050,000	42.58	n/a	n/a	31500.00 3.0
AO,CRT	07/20	AMBER CAPITAL (AMBRLEH)	046	XLB	SS	1,050,000	42.58	n/a	n/a	31500.00 3.0
TOTAL (AO, CXL, CRT) :										1,767.80

TOTAL (Trade Date):	117,608.91
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TOTAL (RUN DATE) / NFS:	119,376.71
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION