



Daily Compliance Activity Report

Trade Date: 06/20/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AAP	SS	10,000	39.93	n/a	n/a	500.00	5.0
CAXTON PART	040	AA	B	116,482	38.29	n/a	n/a	4,076.88	3.5
COUGAR TRADING	044	AEO	B	15,000	16.19	6-Mar	6-Jun	750.00	5.0
COOKBIELER	028	ALV	B	35,665	51.13	17-Apr	9-Jun	1,426.60	4.0
COUGAR TRADING	044	ANF	B	10,000	67.54	10-Apr	6-Jun	500.00	5.0
EGS ASSOCIATES LP	091	ANF	B	5,000	67.58	10-Apr	6-Jun	300.00	6.0
COUGAR TRADING	044	ANN	B	10,000	25.47	14-Mar	22-May	500.00	5.0
COUGAR TRADING	044	ARO	B	5,000	33.21	28-Apr	6-Jun	250.00	5.0
MILLENIUM KOZLOW	028	ARO	S	20,000	32.78	28-Apr	6-Jun	1,000.00	5.0
J L F ASSET MGMT	039	AXL	SS	58,500	10.88	n/a	n/a	2,340.00	4.0
PHILADELPHIA FINANCIAL	033	BEN	B	11,052	99.80	24-Mar	9-Jun	442.08	4.0
SUNOVA CAPITAL	031	BEN	S	40,000	100.44	24-Mar	9-Jun	2,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	BIG	SS	10,000	33.88	29-May	5-Jun	400.00	4.0
SUNOVA CAPITAL	031	BLK	S	25,000	196.78	n/a	2-Jun	1,250.00	5.0
BALYASNY ASSET MGMT	044	BWA	SS	18,300	49.35	10-Jun	10-Jun	915.00	5.0
ROYCE TRADING	216	CAB	B	10,000	12.33	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	CGR	S	4,000	0.90	n/a	n/a	0.00	0.0
JMP ASSET MGMT	210	CHIC	SS	1,500	17.80	n/a	n/a	75.00	5.0
GALT INVESTMENT	041	COH	B	25,000	31.17	4-Apr	23-Apr	1,250.00	5.0
J L F ASSET MGMT	039	COH	SS	26,000	31.04	4-Apr	23-Apr	1,040.00	4.0
DIAMONDBACK CAP MGMT	232	CSCO	SS	150,000	24.83	n/a	22-Mar	7,500.00	5.0
J L F ASSET MGMT	039	CYT	SS	19,400	58.64	n/a	n/a	776.00	4.0
OGORMAN	046	C	S	1,300	19.45	19-Jun	19-Jun	0.00	0.0
PATTEN & PATTEN	067	DE	B	130	75.93	n/a	23-May	6.50	5.0
J L F ASSET MGMT	039	DKS	SS	13,900	20.85	n/a	23-May	556.00	4.0
JMP ASSET MGMT	210	DKS	S	6,000	20.87	n/a	23-May	300.00	5.0
REICH AND TANG	067	DLX	B	50,000	19.40	n/a	n/a	2,500.00	5.0
PATTEN & PATTEN	067	DNA	B	190	74.28	n/a	n/a	9.50	5.0
ENTRUST CAPITAL	040	DPZ	B	50,000	12.06	n/a	24-Apr	2,500.00	5.0
WHEELER	008	DW	S	4,000	18.75	n/a	n/a	0.00	0.0
OPPENHEIMER MGMT.	036	EMC	S	227,500	16.23	n/a	n/a	9,100.00	4.0
MS PRINCIPAL STRATEGIES	041	EXM	B	50,000	39.65	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	FCSX	SS	5,000	38.63	n/a	n/a	250.00	5.0
MATTHES CAPITAL MGMT	033	FDO	B	42,000	19.53	8-Jan	5-Jun	1,680.00	4.0
FIRST NY SEC/CHRIS	041	GAP	B	10,000	23.09	n/a	n/a	600.00	6.0
NOMURA CAPITAL	045	GETIL	S	2,775	21.71	n/a	n/a	138.75	5.0
NOMURA CAPITAL	045	GETIZ	S	1,356	26.88	n/a	n/a	67.80	5.0
AVENUE CAPITAL MGMT	036	GM	SS	100,000	14.14	12-Jun	12-Jun	4,000.00	4.0
COLONIAL FUND LLC	036	GM	SS	100,000	14.10	12-Jun	12-Jun	5,000.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	18,700	21.67	10-Jun	10-Jun	935.00	5.0
COUGAR TRADING	044	GPS	B	5,000	17.50	n/a	6-Jun	250.00	5.0
BUCK	015	HARRAHS OPER INCB		125,000	58.50		n/a	n/a	0.00 0.0
N STRATTON	014	HIBB	SS	200	22.15	n/a	n/a	0.00	0.0
NOMURA CAPITAL	045	IESC	S	9,615	17.01	n/a	n/a	480.75	5.0
TRIVIUM CAP MGMT	251	IMMR	B	25,000	7.07	n/a	n/a	1,000.00	4.0
WILLIAMS TRAD/MANHASSET	041	IVZ	S	25,000	26.06	10-Apr	17-Jun	875.00	3.5
BUCK CAPITAL MGMT	001	JAH	B	30,000	19.86	n/a	n/a	1,200.00	4.0
FRIESS MGMT	033	JCG	B	224,800	34.36	n/a	30-May	8,992.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	JCP	SS	1,500	36.78	n/a	6-Jun	0.00	0.0
BRANDWINE	028	JOSB	B	16,600	29.92	n/a	n/a	664.00	4.0
CROWLEY	061	KEG	S	500	18.10	n/a	18-Jun	0.00	0.0
CAXTON PART	040	KFT	S	121,787	29.21	n/a	n/a	4,262.55	3.5
J L F ASSET MGMT	039	KMB	SS	18,600	61.84	n/a	n/a	744.00	4.0
STADIA CAPITAL	035	KMX	B	21,700	15.61	n/a	10-Jan	868.00	4.0
COUGAR TRADING	044	KSS	B	5,000	43.47	n/a	6-Jun	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	KSS	SS	10,000	44.99	n/a	6-Jun	400.00	4.0
WOOSTER CAPITAL	035	KSS	B	25,000	44.01	n/a	6-Jun	1,250.00	5.0
NATIONAL BK OF CAN	031	LEH	B	50,000	23.84	9-Jun	17-Jun	2,000.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	20,000	15.94	n/a	14-May	800.00	4.0
BUCK	015	LPX	SS	2,000	10.39	7-Apr	7-May	0.00	0.0
BUCK	015	LVS	SS	1,000	55.40	n/a	n/a	0.00	0.0
OGORMAN	046	MS	S	1,000	38.79	n/a	19-Jun	0.00	0.0
J L F ASSET MGMT	039	NKE	S	34,100	66.04	n/a	n/a	1,364.00	4.0
FIRST INV MGMT CORP	036	NOC	S	10,000	70.10	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROTHSCHILD ASSET	040	OMC	S	11,010	46.13	n/a	n/a	495.45	4.5
WAGONHOUND/NIC	048	ONNN	B	3,947	9.32	n/a	n/a	157.88	4.0
WAGONHOUND/NIC	048	OYOG	B	171	64.66	n/a	n/a	6.84	4.0
WAGONHOUND/NIC	048	PACR	B	982	21.68	n/a	n/a	39.28	4.0
J L F ASSET MGMT	039	PG	SS	17,300	63.77	n/a	19-Jun	692.00	4.0
COUGAR TRADING	044	PSUN	B	10,000	9.48	n/a	6-Jun	500.00	5.0
COUGAR TRADING	044	RDEN	B	10,000	15.30	10-Jun	10-Jun	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	RL	C	10,000	65.03	7-Feb	29-May	400.00	4.0
WAGONHOUND/NIC	048	RRGB	B	1,389	31.00	n/a	n/a	55.56	4.0
COUGAR TRADING	044	SDS	B	25,000	63.28	n/a	n/a	1,250.00	5.0
WHEELER	008	SPNC	S	200	10.77	n/a	n/a	0.00	0.0
BUCK	015	SPY	B	300	132.63	n/a	n/a	0.00	0.0
CATAPULT	040	SSCC	S	181,963	5.58	7-Apr	23-Apr	9,098.15	5.0
SUTERA	160	SSO	B	1,000	65.71	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	STI	B	1,120	36.10	n/a	n/a	56.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRIVIUM CAP MGMT	251	SWKS	C	25,000	10.28	n/a	n/a	1,000.00	4.0
BARR	096	SYNA	S	400	37.51	n/a	n/a	0.00	0.0
PORTER-ORLIN-FELDMAN	044	TEN	SS	150,000	17.21	n/a	n/a	7,500.00	5.0
WOOSTER CAPITAL	035	TGT	B	25,000	50.25	n/a	5-Jun	1,250.00	5.0
JP MORGAN VENTURES	046	TIF	SS	15,000	44.87	n/a	2-Jun	750.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TJX	B	25,000	32.48	n/a	6-Jun	1,250.00	5.0
WAGONHOUND/NIC	048	TSN	B	918	14.53	n/a	n/a	36.72	4.0
KAICHER	064	TSO	B	2,000	20.83	n/a	n/a	0.00	0.0
PERRY PARTNERS	041	TTWO	B	400	26.30	n/a	n/a	12.00	3.0
COUGAR TRADING	044	TWB	S	10,000	16.70	17-Mar	22-May	500.00	5.0
MATTHES CAPITAL MGMT	033	TXRH	B	30,000	9.10	n/a	n/a	1,200.00	4.0
MTB INV ADV	034	URBN	S	24,345	33.76	15-May	15-May	1,217.25	5.0
BAYPOINT TRADING/XYLEM	091	USG	SS	50,000	32.53	23-Apr	23-Apr	2,500.00	5.0
WAGONHOUND/NIC	048	VC	S	7,080	3.40	n/a	9-Jun	283.20	4.0
WAGONHOUND/NIC	048	VLTR	B	664	16.59	n/a	n/a	26.56	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND/NIC	048	VMI	B	197	106.90	n/a	n/a	7.88	4.0
KERN	050	WBGD	B	20	0.90	n/a	n/a	0.00	0.0
HOLDEN CAPITAL MGMT	035	WB	SS	20,000	17.40	n/a	n/a	1,000.00	5.0
SUNOVA CAPITAL	031	WDR	B	25,000	36.61	22-Apr	2-Jun	1,250.00	5.0
OGORMAN	046	WFC	SS	400	24.59	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	S	10,000	46.35	13-May	13-May	400.00	4.0
J L F ASSET MGMT	039	WTW	SS	20,900	37.72	n/a	n/a	836.00	4.0
BUCK	015	WYNN	C	600	88.39	n/a	n/a	0.00	0.0
ZOLIDIS	011	ZUMZ	C	1,000	18.97	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :								118,106.18	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	06/20	BUCK CAPITAL MGMT (BUCK)	015		B	125,000	58.50	n/a	n/a	0.00 0.0
AO,	06/19	COLUMBUS CIRCLE (COLC0710)	036	BBT	S	59,602	22.65	n/a	n/a	2384.08 4.0
AO,	06/19	TRELLUS PARTNERS LP (TRELMEER)	028	COH	B	1,738	31.65	4-Apr	23-Apr	86.90 5.0
AO,	06/19	TRELLUS PARTNERS LP (TRELGS)	028	COH	B	1,738	31.65	4-Apr	23-Apr	86.90 5.0
AO,	06/19	TRELLUS PARTNERS LP (TRELMAIN)	028	COH	B	26,524	31.65	4-Apr	23-Apr	1326.20 5.0
CRT	06/20	REICH AND TANG (RICH)	067	DLX	B	50,000	19.40	n/a	n/a	2500.00 5.0
AO,CRT	06/19	UBS OCONNOR (UBSOGOLDPB)	031	GS	S	5,175	182.37	19-Mar	17-Jun	155.25 3.0
AO,CXL	06/19	UBS OCONNOR (UBSOWARUBS)	031	GS	S	5,175	182.37	19-Mar	17-Jun	155.25 3.0
AO,	06/19	NOMURA CAPITAL (NOMUNOCBO2)	045	IESC	S	29,737	17.04	n/a	n/a	1486.85 5.0
AO,	06/19	WILLIAM BLAIR (WBLA58SMPG)	031	LRN	B	26,695	23.84	n/a	n/a	533.90 2.0
AO,	06/19	WILLIAM BLAIR (WBLA57GRLA)	031	LRN	B	18,649	23.84	n/a	n/a	372.98 2.0
AO,	06/19	DEER HILL POND/BAYPOINT (DEERHILL)	031	PZN	SS	1,000	12.93	20-Jun	20-Jun	40.00 4.0
AO,CXL	06/19	CUMBERLAND (CUMBMS04)	038	QQQQ	B	100,000	48.14	n/a	n/a	4000.00 4.0
AO,CRT	06/19	CUMBERLAND (CUMBMS04)	038	QQQQ	B	100,000	48.14	n/a	n/a	3000.00 3.0
TOTAL (AO, CXL, CRT) :									7,817.81	

TOTAL (Trade Date):	118,106.18
TOTAL (AO, CXL, CRT):	7,817.81

TOTAL (RUN DATE) / NFS:

125,923.99

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION