



Daily Compliance Activity Report

Trade Date: 06/25/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		973 HK	S	500,000	2.16	n/a	n/a	non-brg	0.4
B BUCK CAPITAL MGMT		ABG	S	50,000	11.20	n/a	4-May	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	ACGL	S	10,000	76.37	n/a	n/a	400.00	4.0
COPIA CAPITAL	031	AEZ	S	47,300	6.64	n/a	n/a	1,655.50	3.5
MADISON ST PARTNERS LLC	046	ANH	S	87,646	7.19	n/a	n/a	3,505.84	4.0
B BUCK CAPITAL MGMT	001	ANN	B	10,000	17.35	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		ANN	B	30,000	17.19	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		AN	SS	500	19.97	n/a	23-Apr	non-brg	4.0
RK CAPTIAL MGMT	046	ARBA	S	15,000	17.70	n/a	n/a	750.00	5.0
EXIS CAPITAL	044	ARO	B	5,000	29.70	n/a	4-Jun	250.00	5.0
CRAMER ROSENTHAL/SCOTT	012	AVY	B	241,550	34.28	n/a	18-Jun	9,662.00	4.0
B BUCK CAPITAL MGMT		BEBE	B	50,000	6.65	n/a	n/a	non-brg	2.5
f JOEL GIFFORD TISS	FID	BRKB	S	2000	79.77	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CHS	B	60,000	10.58	n/a	5-Feb	non-brg	4.0
f HARALAMBOS EFSTATHI	FID	CMZPF	S	5000	0.62	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	COH	S	20,000	39.10	n/a	14-May	800.00	4.0
AMBER CAPITAL	046	C	B	316,000	3.93	n/a	25-Jun	12,640.00	4.0
f PATRICK TERRICE DUFF	FID	DAN	B	1000	11.09	n/a	30-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	DDS	B	70,000	23.97	n/a	n/a	2,800.00	4.0
BNP PARIBAS SEC	040	DD	S	15,000	36.72	n/a	9-Jun	600.00	4.0
f SCOTT L BRUNNER	FID	FAZ	B	3000	15.47	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FL	B	20,000	13.45	n/a	16-Jun	800.00	4.0
ROTHSCHILD ASSET	040	FOR	S	69,978	18.10	n/a	10-May	2,799.12	4.0
f PATRICK TERRICE DUFF	FID	F	B	1000	10.47	n/a	3-Jun	0.00	0.0
TRELLUS PARTNERS LP	028	F	B	50,000	10.54	n/a	3-Jun	2,500.00	5.0
PLATTE RIVER CAPITAL	240	GENZ	B	2,000	53.80	n/a	n/a	80.00	4.0
s BUCK CAPITAL MGMT		GIL	S	40,000	30.83	n/a	14-May	non-brg	4.0
BUCK CAPITAL MGMT	001	HBI	B	30,000	24.97	n/a	14-May	1,200.00	4.0
KLEINHEINZ CAP PART	031	HD	SS	125,000	29.66	n/a	19-May	6,250.00	5.0
VISIUM/BALY BOBBY	044	HD	SS	25,000	29.81	n/a	19-May	1,250.00	5.0
WADDELL AND REED	047	HOLX	S	218,100	14.22	n/a	n/a	8,724.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARBORWATCH/DIAMBACK	232	IP	S	25,000	24.51	n/a	29-Apr	1,250.00	5.0
SENATOR INVESTMENT GROUP	036	IR	SS	165,000	37.79	n/a	27-May	4,950.00	3.0
B BUCK CAPITAL MGMT		JAH	B	40,000	28.48	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	JNY	B	20,000	17.58	n/a	14-May	1,000.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	15,000	55.83	n/a	n/a	non-brg	2.5
BROADARCH CAP/OLD NEWC	070	JOYG	S	2,600	55.65	n/a	4-Jun	104.00	4.0
EXIS CAPITAL	044	JWN	B	5,000	35.25	n/a	4-Jun	250.00	5.0
WADDELL AND REED	047	JWN	S	108,379	35.34	n/a	4-Jun	4,335.16	4.0
f JOHN MICHAEL ZOLIDIS	FID	LMI	S	500	30.02	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		LTD	B	40,000	23.06	n/a	n/a	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	LVS	S	8,300	26.36	n/a	21-Jun	332.00	4.0
B BUCK CAPITAL MGMT		MFB	B	30,000	20.86	n/a	n/a	non-brg	4.0
PLATTE RIVER CAPITAL	240	MOT	B	15,000	7.15	n/a	n/a	600.00	4.0
SENATOR INVESTMENT GROUP	036	MRK	S	100,000	35.85	n/a	n/a	3,000.00	3.0
B BUCK CAPITAL MGMT		NTY	B	30,000	35.29	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PAG	S	50,000	12.21	n/a	3-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		PNK	C	50,000	10.82	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	PVH	B	10,000	49.85	n/a	26-May	500.00	5.0
COHE & STEERS	041	RYN	S	195,570	45.44	n/a	13-May	7,822.80	4.0
B BUCK CAPITAL MGMT		SHOO	B	20,000	32.51	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	40.57	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		SKX	B	20,000	39.98	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	SKX	SS	5,000	39.91	n/a	n/a	250.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	B	200	40.08	n/a	n/a	0.00	0.0
BANK OF AMERICA/MERRILL	259	SLE	B	10,000	14.69	n/a	n/a	400.00	4.0
TYEE/TYGH	035	SMG	B	45,000	44.18	n/a	17-Jun	1,800.00	4.0
SONTERRA CAP	041	SVU	S	55,702	12.06	n/a	n/a	2,228.08	4.0
BUCK CAPITAL MGMT	001	TIF	SS	10,000	40.37	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		TJX	S	13,920	43.71	n/a	4-Jun	non-brg	4.0
BUCK CAPITAL MGMT	001	TLB	B	30,000	10.99	n/a	n/a	1,200.00	4.0
MACKLOWE ASSET MGMT	041	URBN	B	45,000	35.02	n/a	n/a	1,800.00	4.0
B BUCK CAPITAL MGMT		WMS	S	20,000	42.62	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WNC	S	63,300	8.43	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EXIS CAPITAL	044	XOM	B	20,000	59.73	n/a	n/a	1,000.00	5.0
GLENHILL CAPITAL	212	XOM	S	170,000	59.95	n/a	n/a	8,500.00	5.0
TOTAL (Trade Date) :								99,188.50	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/24	BUCK CAPITAL MGMT	001	ANN	B	10,000	17.29	n/a	n/a	400.00 4.0
AO,CRT	06/24	BUCK CAPITAL MGMT	001	ANN	B	10,000	17.29	n/a	n/a	400.00 4.0
AO,CXL	06/24	TYEE/TYGH	035	SMG	B	425	43.84	n/a	17-Jun	17.00 4.0
AO,CRT	06/24	TYEE/TYGH	035	SMG	B	425	43.84	n/a	17-Jun	17.00 4.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date) :	99,188.50
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS :	99,188.50
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MRI Securities with Trade Activity on 25-Jun. NONE

MRI Securities without Trade Activity on 25-Jun. WBC, AOS
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 24% YTD = 9%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)