



Daily Compliance Activity Report

Trade Date: 10/29/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
TOTAL (Trade Date) :								0.00

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/26	STANDARD PACIFIC CAPITAL (SPACJPMORG)	084	DV	B	175,324	26.01	n/a	n/a	0.00 0.0
AO,CRT	10/26	STANDARD PACIFIC CAPITAL (SPACMS)	084	DV	B	175,324	26.01	n/a	n/a	8766.20 5.0
AO,CXL	10/26	OSKIE CAPITAL MGMT (OSKIGSPB)	224	GM	B	30,000	23.62	n/a	18-Oct	1500.00 5.0
AO,CRT	10/26	OSKIE CAPITAL MGMT (OSKIGSPB)	224	GM	B	35,000	23.62	n/a	18-Oct	1750.00 5.0
TOTAL (AO, CXL, CRT) :										9,016.20

TOTAL (Trade Date) :	0.00
TOTAL (AO, CXL, CRT) :	9,016.20

TOTAL (RUN DATE) / NFS :	9,016.20
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION