



Daily Compliance Activity Report

Trade Date: 08/25/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f DAVID GEORGE BUCK	FID	ABT	S	1500	46.01	n/a	22-Apr	0.00	0.0
EATON VANCE MGMT	039	ABT	B	65,799	46.24	n/a	22-Apr	1,973.97	3.0
f ROBERT M DANIELS	FID	AMD	B	300	4.06	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AMR	B	10,000	5.49	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		AMWD	B	5,000	21.54	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	ANF	S	10,000	33.09	3-Jun	14-Aug	400.00	4.0
BALYASNY ASSET MGMT	044	AN	SS	20,000	19.59	3-Aug	5-Aug	1,000.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	30,000	40.60	n/a	21-Aug	1,200.00	4.0
f MARK ANTHONY GRONET	FID	BEE	B	2000	1.51	n/a	n/a	0.00	0.0
T. ROWE PRICE	033	BEN	B	89,400	95.27	30-Jul	24-Aug	3,576.00	4.0
f JOSEPH CIRO AMATURO	FID	BGZ	B	10000	23.64	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	S	10000	23.87	n/a	n/a	0.00	0.0
EMERSON CAPITAL	048	BG	SS	3,700	66.54	n/a	n/a	148.00	4.0
f FRANCIS MICHAEL MCCA	FID	BIG	S	300	25.94	25-Aug	25-Aug	0.00	0.0
f NICOLAS EVAN PAKLER	FID	BIG	S	100	25.94	25-Aug	25-Aug	0.00	0.0
f JOEL GIFFORD TISS	FID	BRKB	B	25	3349.86	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MANNY I KORMAN	FID	CHCG	S	10000	0.57	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CHRS	S	70,000	5.53	n/a	22-Mar	non-brg	3.0
f MICHAEL THOMAS NOLAN	FID	CLSN	B	1000	3.56	n/a	n/a	0.00	0.0
f MICHAEL THOMAS NOLAN	FID	CLSN	B	1000	3.59	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		COLM	C	10,000	37.64	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		COST	C	50,000	49.75	n/a	27-Jul	non-brg	4.0
RDK CAPITAL MGMT	034	COST	B	35,000	49.72	n/a	27-Jul	1,400.00	4.0
B BUCK CAPITAL MGMT		CRM	C	10,000	52.52	n/a	n/a	non-brg	4.0
f ABRAM M CHAPPELL	FID	CVGI	S	500	4.68	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	CVGI	S	800	4.69	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DAL	B	50,000	7.29	n/a	n/a	non-brg	1.0
COUGAR TRADING	044	DLTR	SS	10,000	47.88	n/a	24-Aug	500.00	5.0
L.R.BURTSCHY & CO	045	DLTR	SS	10,000	48.00	n/a	24-Aug	600.00	6.0
f MARK ANTHONY GRONET	FID	DOW	S	300	22.49	n/a	30-Jul	0.00	0.0
COUGAR TRADING	044	DSW	SS	10,000	13.97	28-Jul	24-Aug	500.00	5.0
L.R.BURTSCHY & CO	045	DSW	SS	20,000	13.90	28-Jul	24-Aug	1,200.00	6.0
MERRILL ASSET/BLACKROCK	214	EPAY	S	2,000	11.99	n/a	n/a	90.00	4.5
BALYASNY ASSET MGMT	044	ETH	SS	50,000	15.37	n/a	n/a	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ALEX JOSEPH DEFILIPP	FID	EKEYX	B	648	15.43	n/a	n/a	0.00	0.0
f JILL ROSENBERG	FID	EKEYX	B	648	15.43	n/a	n/a	0.00	0.0
f VICTORIA JEAN DEFILI	FID	EKEYX	B	648	15.43	n/a	n/a	0.00	0.0
f JOHN MICHAEL DEMARTI	FID	FAZ	S	300	23.26	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FL	B	308,600	10.30	27-Apr	21-Aug	11,144.00	3.7
B BUCK CAPITAL MGMT		FOSL	S	30,000	24.97	n/a	30-Jan	non-brg	4.0
f MARK ANTHONY GRONET	FID	FRPT	S	1000	5.19	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	S	20,000	20.10	n/a	10-Jan	800.00	4.0
BUCK CAPITAL MGMT	001	GES	S	60,000	31.87	n/a	n/a	2,400.00	4.0
B BUCK CAPITAL MGMT		GFGE	S	52,000	0.13	n/a	n/a	non-brg	1.0
f JOHN BARTMAN COLE	FID	GPX	S	2000	2.19	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GYMB	S	30,000	45.47	26-Aug	20-Aug	non-brg	4.0
BUCK CAPITAL MGMT	001	HD	B	42,816	27.15	10-Aug	19-Aug	1,712.64	4.0
B BUCK CAPITAL MGMT		HD	B	68,100	27.62	10-Aug	19-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		IMAX	S	20,000	9.56	n/a	n/a	non-brg	2.5
f MARK ANTHONY GRONET	FID	INCY	S	1000	6.25	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	IP	SS	50,000	21.35	14-Aug	14-Aug	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	IP	S	85,300	21.32	14-Aug	14-Aug	4,265.00	5.0
f MARK ANTHONY GRONET	FID	JCI	B	300	24.99	4-Aug	20-Aug	0.00	0.0
B BUCK CAPITAL MGMT		JNS	B	54,000	13.29	16-Jul	19-Aug	non-brg	1.0
JETSTREAM GLOBAL FUND LP	065	JPM	S	80,000	44.02	7-Aug	17-Aug	4,000.00	5.0
MERRILL ASSET/BLACKROCK	214	KMB	B	3,100	59.66	27-Jul	27-Jul	139.50	4.5
f NEIL M STRATTON	FID	KVHI	B	500	7.90	n/a	n/a	0.00	0.0
f STRATTON PAR TNERSHI	FID	KVHI	B	400	7.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LL	S	10,000	22.19	n/a	n/a	400.00	4.0
f ALEX JOSEPH DEFILIPP	FID	LOW	B	100	20.97	17-Aug	17-Aug	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	LOW	B	300	21.00	17-Aug	17-Aug	0.00	0.0
f JILL ROSENBERG	FID	LOW	B	100	20.97	17-Aug	17-Aug	0.00	0.0
f VICTORIA JEAN DEFILI	FID	LOW	B	100	20.97	17-Aug	17-Aug	0.00	0.0
FIRST NEW YORK LEDDY	035	LVLIT	B	10,000	1.23	n/a	4-Jan	600.00	6.0
TROUBH PART	067	MGM	S	50,000	8.65	n/a	4-Aug	2,500.00	5.0
B BUCK CAPITAL MGMT		MNRO	B	4,351	25.82	n/a	n/a	non-brg	1.0
MERRILL ASSET/BLACKROCK	214	MOSY	S	500	2.37	n/a	n/a	22.50	4.5
BRANDWINE	028	MS	B	114,900	30.41	11-May	23-Jul	4,596.00	4.0
CASTLEROCK	040	MTB	SS	19,042	61.24	n/a	n/a	761.68	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD POINT MGMT	044	MTB	C	25,000	61.13	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		MVRX	C	314,384	150.78	n/a	n/a	non-brg	1.0
CUMBERLAND	038	M	B	50,000	16.15	n/a	13-Aug	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		NP	S	3,841	12.10	11-Aug	11-Aug	non-brg	1.0
⌘ BUCK CAPITAL MGMT		NP	S	6,000	12.13	11-Aug	11-Aug	non-brg	2.5
⌘ BUCK CAPITAL MGMT		NTY	B	10,000	37.65	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	NVN	B	2000	13.31	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OC	B	18,011	23.64	n/a	n/a	720.44	4.0
PARTNER REINSURANCE	033	ODP	B	40,450	5.39	n/a	27-Jul	1,213.50	3.0
⌘ BUCK CAPITAL MGMT		PDCO	C	50,000	27.83	n/a	n/a	non-brg	4.0
GREEN ARROW DOUG	040	PETM	SS	25,000	20.02	n/a	n/a	1,250.00	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	150,000	19.77	21-Jul	21-Jul	7,500.00	5.0
f MARC PAUL LUCHANSKY	FID	PKG	B	500	19.63	21-Jul	21-Jul	0.00	0.0
f DAVID GEORGE BUCK	FID	PM	B	600	46.96	n/a	n/a	0.00	0.0
JMP ASSET MGMT	210	PSS	SS	12,000	14.07	n/a	n/a	600.00	5.0
f ANDREW MARK GRONET	FID	PWE	B	100	13.32	n/a	n/a	0.00	0.0
f SARAH ELIZABETH GRON	FID	PWE	B	150	13.32	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	TIMOTHY MICHAEL GRON	FID	PWE	B	150	13.32	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		RIG	B	10,000	78.90	n/a	n/a	non-brg	1.0
	BUCK CAPITAL MGMT	001	RL	S	10,000	67.71	6-Aug	6-Aug	400.00	4.0
f	JONATHAN RICHARD HAR	FID	RYIJ	B	25	1.05	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		SII	B	10,000	29.49	n/a	n/a	non-brg	4.0
	BUCK CAPITAL MGMT	001	SKX	S	40,000	15.57	n/a	13-Mar	1,600.00	4.0
f	MARK ANTHONY GRONET	FID	SLM	S	1000	9.24	12-Aug	12-Aug	0.00	0.0
f	JOEL GIFFORD TISS	FID	SNYAF	S	50	5.10	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		SPLS	C	30,000	21.85	n/a	27-Jul	non-brg	4.0
	EMERSON CAPITAL	048	SPLS	B	8,000	21.80	n/a	27-Jul	320.00	4.0
	TROUBH PART	067	TGT	SS	25,000	46.61	19-Aug	19-Aug	1,250.00	5.0
	JETSTREAM GLOBAL FUND LP	065	TIN	S	100,000	17.07	14-Aug	14-Aug	5,000.00	5.0
	BUCK CAPITAL MGMT	001	TJX	S	10,000	36.63	28-Jul	19-Aug	400.00	4.0
f	JOHN BARTMAN COLE	FID	TMBCF	B	27000	0.55	n/a	n/a	0.00	0.0
	ARMATURE CAPITAL	041	TS	SS	20,000	29.88	n/a	n/a	800.00	4.0
	SCOPUS FUND	041	UFS	S	50,000	31.33	n/a	n/a	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET/BLACKROCK	214	UN	B	6,500	28.04	n/a	n/a	292.50	4.5
B BUCK CAPITAL MGMT		URBN	S	30,000	29.18	n/a	30-Jan	non-brg	4.0
f NEIL M STRATTON	FID	VKOMH	S	2	2.60	n/a	n/a	0.00	0.0
f ROBERT M DANIELS	FID	WEN	B	500	5.40	n/a	6-Aug	0.00	0.0
B BUCK CAPITAL MGMT		WFT	B	10,000	21.34	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	WRC	S	30,000	39.64	28-Jul	12-Aug	1,200.00	4.0
EMERSON CAPITAL	048	WRC	S	3,000	40.04	28-Jul	12-Aug	120.00	4.0
JMP ASSET MGMT	210	WSM	B	4,000	15.40	n/a	n/a	200.00	5.0
B BUCK CAPITAL MGMT		WYNN	C	45,963	56.84	n/a	31-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		XRT	SS	1,475,000	32.03	n/a	n/a	non-brg	1.0
JMP ASSET MGMT	210	ZAGG	S	7,400	5.45	n/a	n/a	370.00	5.0
TOTAL (Trade Date) :								79,665.73	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/24	ARAGON GLOBAL	041	AAP	SS	8,175	42.69	n/a	n/a	408.75 5.0
AO,	08/24	ASCEND PARTNERS	033	DELL	B	20,000	14.78	n/a	n/a	1000.00 5.0
AO,CRT	08/21	BUCK CAPITAL MGMT	001	NP	S	14,790	11.55	11-Aug	11-Aug	591.60 4.0
AO,CXL	08/21	BUCK CAPITAL MGMT	001	NP	S	14,790	11.55	11-Aug	11-Aug	591.60 4.0
AO,CRT	08/24	SCOPUS FUND	041	UFS	S	25,000	30.89	n/a	n/a	1250.00 5.0
AO,CXL	08/24	SCOPUS FUND	041	UFS	S	25,000	30.89	n/a	n/a	1250.00 5.0
TOTAL (AO, CXL, CRT) :										1,408.75

TOTAL (Trade Date) :	79,665.73
TOTAL (AO, CXL, CRT) :	1,408.75

TOTAL (RUN DATE) / NFS :	81,074.48
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MRI Securities with Trade Activity on 25-Aug.

BIG

MRI Securities without Trade Activity on 25-Aug.

ECL, FRX

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 37%

YTD = 21%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)