



# Daily Compliance Activity Report

Trade Date: 04/12/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>AAPL</b>	<b>B</b>	<b>15</b>	<b>331.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC CHICAGO ANDREW	031	AAP	SS	50,000	65.66	n/a	n/a	1,750.00	3.5
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>ABT</b>	<b>B</b>	<b>1000</b>	<b>51.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUN AMERICA ASSET MGMT	030	ABT	B	12,900	50.78	n/a	n/a	516.00	4.0
STATE TEACHERS RET SYS	047	ACN	S	25,000	55.76	n/a	n/a	1,250.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>AEGFX</b>	<b>B</b>	<b>30</b>	<b>42.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>AEMGX</b>	<b>B</b>	<b>144</b>	<b>20.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	AEO	SS	10,000	15.79	n/a	10-Mar	400.00	4.0
GREEN ARROW DOUG	040	AMR	S	25,000	5.98	n/a	n/a	1,250.00	5.0
PAW PARTNERS	025	APKT	SS	5,000	70.54	n/a	n/a	250.00	5.0
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>ARCI</b>	<b>S</b>	<b>3000</b>	<b>4.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>ASCA</b>	<b>B</b>	<b>100</b>	<b>18.41</b>	<b>n/a</b>	<b>13-Jan</b>	<b>0.00</b>	<b>0.0</b>
CASTLEROCK	040	ATML	B	50,000	12.58	n/a	n/a	2,000.00	4.0
BNP PARIBAS SEC	040	AXL	B	55,000	11.37	n/a	9-Feb	2,750.00	5.0
MILLRACE FUND LP	028	AXL	B	10,000	11.41	n/a	9-Feb	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>BAC</b>	<b>S</b>	<b>4000</b>	<b>13.55</b>	<b>n/a</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BAC</b>	<b>S</b>	<b>600</b>	<b>13.37</b>	<b>n/a</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	030	BBY	S	100,000	30.55	n/a	n/a	4,000.00	4.0
SUN AMERICA ASSET MGMT	030	BEXP	S	19,100	32.28	n/a	n/a	764.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BID</b>	<b>B</b>	<b>50,000</b>	<b>48.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BYD</b>	<b>B</b>	<b>30,000</b>	<b>8.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
MILLENNIUM-ANDEW	031	CAT	B	5,000	106.71	12-Apr	12-Apr	300.00	6.0
RBC CAPITAL MARKETS	040	CAT	B	10,000	107.16	12-Apr	12-Apr	400.00	4.0
CATAPULT	040	CBE	B	10,000	67.01	n/a	5-Jan	400.00	4.0
COUGAR TRADING	044	CBRL	B	5,000	48.84	n/a	n/a	250.00	5.0
CATAPULT	040	CCK	SS	5,000	37.93	n/a	n/a	200.00	4.0
1798 CAP/LOMBARD ASSET	040	CCL	C	75,000	38.62	n/a	n/a	3,750.00	5.0
SAC CHICAGO ANDREW	031	CL	B	10,000	83.09	n/a	4-Apr	350.00	3.5
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>CRSOX</b>	<b>B</b>	<b>275</b>	<b>9.81</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f BRIAN W CRAGO</b>	<b>FID</b>	<b>CSCO</b>	<b>B</b>	<b>100</b>	<b>17.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GOLD SACHS SPECIAL SIT	030	CVGI	B	7,500	16.83	n/a	n/a	375.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
1798 CAP/LOMBARD ASSET	040	CVI	S	50,000	21.79	n/a	n/a	2,500.00	5.0
f ALEXANDER PRYLUCKI	FID	CXS	B	175	10.91	n/a	n/a	0.00	0.0
f JOHN MICHAEL DEMARTI	FID	CXS	B	1000	10.93	n/a	n/a	0.00	0.0
NEW JERSEY DIV OF INV.	030	CYH	S	50,000	28.89	n/a	n/a	2,000.00	4.0
f ALANA J A MADIGAN-SI	FID	C	B	1120	4.48	n/a	28-Mar	0.00	0.0
f ALEXANDER PRYLUCKI	FID	C	B	450	4.57	n/a	28-Mar	0.00	0.0
f ALEXANDER PRYLUCKI	FID	DAL	B	200	9.90	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DAL	B	6,663	9.72	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		DAL	B	6,663	9.72	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		DAL	B	70,000	9.52	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DAL	B	8,337	9.71	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		DAL	B	8,337	9.71	n/a	n/a	non-brg	3.0
LONG OAR GLOBAL INV	040	DAN	SS	98,250	16.59	n/a	24-Feb	3,930.00	4.0
f MARC PAUL LUCHANSKY	FID	DD	S	100	54.47	n/a	28-Mar	0.00	0.0
RBC CAPITAL MARKETS	040	DD	SS	5,000	54.39	n/a	28-Mar	200.00	4.0
f JOSEPH CIRO AMATURO	FID	DIA	B	1000	122.84	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	EATVX	B	248	17.37	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	EXWAX	B	77	9.08	n/a	n/a	0.00	0.0
f ANN M GAULT	FID	FAZ	S	20	40.09	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	WILLIAM J GAULT	FID	FAZ	S	40	40.12	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FCPVX	B	265	16.23	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FCTTX	B	1978	10.11	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FHIGX	B	1423	12.16	n/a	n/a	0.00	0.0
	ROYCE TRADING	012	FINL	B	10,000	20.36	n/a	25-Mar	500.00	5.0
f	MARC PAUL LUCHANSKY	FID	FINSX	B	414	20.79	n/a	n/a	0.00	0.0
f	WILLIAM J GAULT	FID	FOR	B	2000	17.82	n/a	7-Feb	0.00	0.0
f	KAREN F LEDDY	FID	FRED	S	500	13.45	n/a	n/a	0.00	0.0
	BNP PARIBAS SEC	040	F	B	60,000	14.80	n/a	12-Apr	3,000.00	5.0
	OZ MGMT	040	F	SS	100,000	14.71	n/a	12-Apr	3,000.00	3.0
	SUN AMERICA ASSET MGMT	030	GES	B	8,600	39.51	n/a	17-Mar	344.00	4.0
B	BUCK CAPITAL MGMT		GIL	B	10,000	33.72	n/a	12-Apr	non-brg	4.0
B	BUCK CAPITAL MGMT		GIL	B	40,000	33.61	n/a	12-Apr	non-brg	4.0
	CAXTON PART	040	GIS	B	19,244	36.60	n/a	n/a	673.56	3.5
	US BANCORP	030	GME	B	210,684	23.76	n/a	n/a	9,480.79	4.5
	PHILADELPHIA FINANCIAL	033	GM	C	69,473	30.47	n/a	12-Apr	2,778.92	4.0
	TRELLUS PARTNERS LP	028	GM	SS	50,000	31.14	n/a	12-Apr	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEINTRAUB CAPITAL MGT.	040	GM	B	100,000	31.01	n/a	12-Apr	4,000.00	4.0
1798 CAP/LOMBARD ASSET	040	GPS	SS	25,000	22.25	n/a	n/a	1,250.00	5.0
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>GS</b>	<b>B</b>	<b>15</b>	<b>160.44</b>	<b>n/a</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
FRED ALGER MGMT	035	GS	B	38,440	160.28	n/a	28-Mar	1,383.84	3.6
SENATOR INVESTMENT GROUP	036	GTI	B	112,800	19.65	n/a	n/a	3,384.00	3.0
CYPRESS CAP MGMT LLC	040	HD	B	12,000	37.95	n/a	22-Feb	480.00	4.0
STATE TEACHERS RET SYS	047	HME	S	10,000	58.98	n/a	n/a	500.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>HRINX</b>	<b>B</b>	<b>136</b>	<b>63.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>HZO</b>	<b>S</b>	<b>100</b>	<b>9.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>ICON</b>	<b>S</b>	<b>350</b>	<b>21.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>IGLAX</b>	<b>B</b>	<b>97</b>	<b>16.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>B</b>	<b>30,000</b>	<b>15.06</b>	<b>12-Apr</b>	<b>12-Apr</b>	<b>non-brg</b>	<b>4.0</b>
CAERUS GLOBAL	041	IGT	B	25,000	15.00	12-Apr	12-Apr	1,000.00	4.0
CIRCLE T/SES PART	033	IGT	B	5,000	15.00	12-Apr	12-Apr	200.00	4.0
GREEN ARROW DOUG	040	IGT	B	25,000	15.15	12-Apr	12-Apr	1,250.00	5.0
BALYASNY ASSET MGMT	044	IP	C	10,000	28.93	n/a	17-Mar	500.00	5.0
BMO NESBITT BURNS	041	IP	B	3,000	28.85	n/a	17-Mar	120.00	4.0
MA CAPITAL MGMT/MELLON ADV	041	IP	B	5,000	28.96	n/a	17-Mar	250.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>JADE</b>	<b>S</b>	<b>2000</b>	<b>3.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>JMCVX</b>	<b>B</b>	<b>180</b>	<b>23.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> ALEXANDER PRYLUCKI	<b>FID</b>	<b>JPM</b>	<b>B</b>	<b>50</b>	<b>46.69</b>	<b>n/a</b>	<b>6-Apr</b>	<b>0.00</b>	<b>0.0</b>
FRED ALGER MGMT	035	JPM	B	132,685	46.68	n/a	6-Apr	4,776.66	3.6
SUN AMERICA ASSET MGMT	030	JWN	B	18,400	46.20	n/a	8-Apr	736.00	4.0
S.A.C. CAP/ORENSTEIN	044	KBE	B	150,000	25.97	n/a	n/a	5,250.00	3.5
CATAPULT	040	KBR	SS	25,000	35.81	n/a	n/a	1,000.00	4.0
RBC CAPITAL MARKETS	040	KBR	S	3,535	35.95	n/a	n/a	141.40	4.0
RBC CAPITAL MARKETS	040	KEX	S	2,100	55.28	n/a	n/a	84.00	4.0
<b>f</b> ALEXANDER PRYLUCKI	<b>FID</b>	<b>LEN</b>	<b>B</b>	<b>100</b>	<b>18.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>LEN</b>	<b>B</b>	<b>20,000</b>	<b>18.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NEW JERSEY DIV OF INV.	030	LGF	S	30,000	6.46	n/a	n/a	1,200.00	4.0
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>LTM</b>	<b>S</b>	<b>3000</b>	<b>36.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> CHRISTOPHER ROBERT C	<b>FID</b>	<b>LVS</b>	<b>B</b>	<b>100</b>	<b>43.49</b>	<b>n/a</b>	<b>8-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>MCGFX</b>	<b>B</b>	<b>173</b>	<b>24.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>MDIDX</b>	<b>B</b>	<b>50</b>	<b>13.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BARROW HANLEY MEWHINNEY	031	MDT	B	206,000	40.28	n/a	n/a	7,210.00	3.5
<b>f</b> CHRISTOPHER ROBERT C	<b>FID</b>	<b>MERC</b>	<b>B</b>	<b>500</b>	<b>13.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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KLEINHEINZ CAP PART	031	MMM	S	25,000	92.44	n/a	28-Mar	1,250.00	5.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>MON</b>	<b>B</b>	<b>100</b>	<b>69.03</b>	<b>n/a</b>	<b>6-Apr</b>	<b>0.00</b>	<b>0.0</b>
KENNEDY CAPITAL	046	MOV	S	3,985	17.00	n/a	n/a	159.40	4.0
1798 CAP/LOMBARD ASSET	040	MRO	S	35,000	50.76	n/a	n/a	1,750.00	5.0
ROSEDALE CAPITAL	046	MSFT	B	20,000	25.64	n/a	n/a	1,000.00	5.0
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>MS</b>	<b>B</b>	<b>75</b>	<b>26.82</b>	<b>n/a</b>	<b>4-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>MTH</b>	<b>B</b>	<b>100</b>	<b>24.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>MTW</b>	<b>B</b>	<b>75</b>	<b>20.91</b>	<b>n/a</b>	<b>2-Feb</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	030	MWW	B	25,000	17.23	n/a	n/a	1,000.00	4.0
1798 CAP/LOMBARD ASSET	040	NAV	S	4,000	65.99	n/a	10-Mar	200.00	5.0
NEW JERSEY DIV OF INV.	030	NEM	S	60,000	57.11	n/a	n/a	2,400.00	4.0
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>NLY</b>	<b>B</b>	<b>125</b>	<b>17.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HALCYON LLC	041	NSM	B	50,000	24.08	n/a	n/a	1,500.00	3.0
LISTED ERR	099	NSM	B	50,000	24.09	n/a	n/a	0.00	0.0
LISTED ERR	099	NSM	S	50,000	24.08	n/a	n/a	0.00	0.0
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>NX</b>	<b>B</b>	<b>100</b>	<b>20.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>OAKIX</b>	<b>B</b>	<b>140</b>	<b>20.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALLAST CAP	040	OI	B	13,800	29.81	n/a	n/a	690.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PAFDX</b>	<b>B</b>	<b>339</b>	<b>24.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COOKBIELER	028	PAG	B	88,500	19.56	n/a	12-Apr	3,540.00	4.0
COOPER CREEK PART	028	PAG	SS	20,000	19.50	n/a	12-Apr	800.00	4.0
GRANITE CAPITAL	028	PAG	SS	20,000	19.56	n/a	12-Apr	1,000.00	5.0
MILLRACE FUND LP	028	PAG	SS	15,000	19.91	n/a	12-Apr	600.00	4.0
RIDGECREST INV MGMT LLC	028	PAG	SS	10,000	19.56	n/a	12-Apr	500.00	5.0
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>PCAR</b>	<b>B</b>	<b>50</b>	<b>50.30</b>	<b>n/a</b>	<b>2-Feb</b>	<b>0.00</b>	<b>0.0</b>
CASTLE ARK	031	PCAR	B	108,870	50.20	n/a	2-Feb	5,443.50	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PEEAX</b>	<b>B</b>	<b>105</b>	<b>29.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAERUS GLOBAL	041	PETM	SS	15,000	41.39	n/a	n/a	600.00	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	SS	25,000	27.72	n/a	17-Mar	1,250.00	5.0
SHEFFIELD ASSET MGMT	031	PKG	S	30,000	27.89	n/a	17-Mar	1,500.00	5.0
ROYCE TRADING	012	PLCE	B	5,000	52.54	n/a	9-Mar	250.00	5.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>PMF</b>	<b>S</b>	<b>600</b>	<b>12.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PRFSX</b>	<b>B</b>	<b>778</b>	<b>5.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>PRIM</b>	<b>S</b>	<b>1550</b>	<b>10.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>



	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	MARY B MCCARTAN	FID	PRIM	S	1000	10.89	n/a	n/a	0.00	0.0
	EPOCH INV MGMT	030	RKT	B	54,148	66.81	n/a	n/a	1,624.44	3.0
	PARAMETER CAPITAL MGMT LLC044		ROK	SS	10,000	91.25	n/a	n/a	400.00	4.0
f	MARC PAUL LUCHANSKY	FID	RSEGX	B	95	45.17	n/a	n/a	0.00	0.0
f	EDWARD W WHEELER	FID	RUSHA	S	5000	20.83	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		RYL	B	30,000	16.26	n/a	n/a	non-brg	4.0
f	EDWARD W WHEELER	FID	SCSC	S	5000	34.80	n/a	n/a	0.00	0.0
f	MANNY I KORMAN	FID	SLV	B	400	3.81	n/a	n/a	0.00	0.0
	PAW PARTNERS	025	SMSC	B	10,000	24.54	n/a	n/a	500.00	5.0
	CATAPULT	040	SOA	S	5,000	24.20	n/a	n/a	200.00	4.0
ß	BUCK CAPITAL MGMT		SODA	B	15,000	41.86	n/a	n/a	non-brg	0.8
ß	BUCK CAPITAL MGMT		SODA	B	17,875	42.31	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		SODA	B	4,700	42.55	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		SODA	B	9,600	42.89	n/a	n/a	non-brg	1.0
	PARAMETER CAPITAL MGMT LLC044		SPW	S	9,600	79.36	n/a	n/a	384.00	4.0
f	MARC PAUL LUCHANSKY	FID	SPY	B	90	131.71	n/a	n/a	0.00	0.0
f	FRANCIS MICHAEL MCCA	FID	SSI	S	600	19.42	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>STI</b>	<b>S</b>	<b>1500</b>	<b>29.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MOORE CAPITAL	041	SWK	B	5,000	73.96	n/a	n/a	200.00	4.0
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>SWN</b>	<b>B</b>	<b>1000</b>	<b>38.11</b>	<b>n/a</b>	<b>30-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>TEL</b>	<b>S</b>	<b>4000</b>	<b>33.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	TIN	B	4,000	22.11	n/a	17-Mar	160.00	4.0
STATE TEACHERS RET SYS	047	TJX	S	25,000	51.02	n/a	8-Apr	1,250.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>TPINK</b>	<b>B</b>	<b>105</b>	<b>13.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PARAMETER CAPITAL MGMT LLC	044	TXT	S	30,000	26.75	n/a	n/a	1,200.00	4.0
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>UAL</b>	<b>B</b>	<b>100</b>	<b>21.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>UAL</b>	<b>B</b>	<b>50,000</b>	<b>20.69</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
TROUBH PART	070	VC	B	10,000	61.84	n/a	n/a	500.00	5.0
SIGMA CAPITAL ASSOCIATES	041	VFC	B	43,431	99.30	n/a	14-Mar	1,520.09	3.5
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VRTX</b>	<b>S</b>	<b>1000</b>	<b>47.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>VXX</b>	<b>S</b>	<b>5000</b>	<b>29.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MOORE CAPITAL	041	WAG	B	7,500	41.09	n/a	n/a	300.00	4.0
CIRCLE T/SES PART	033	WMS	B	5,000	30.28	12-Apr	12-Apr	200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	040	WM	B	25,000	37.77	n/a	n/a	1,000.00	4.0
RAINIER INV MGMT	035	WTR	S	25,000	22.10	n/a	n/a	1,000.00	4.0
CATAPULT	040	WY	SS	5,000	22.53	n/a	8-Apr	200.00	4.0
GRYPHON CAPITAL MGMT	084	WY	B	100,000	22.67	n/a	8-Apr	3,000.00	3.0
1798 CAP/LOMBARD ASSET	040	XLE	C	10,000	76.84	n/a	n/a	500.00	5.0
CAXTON PART	040	XOM	S	19,669	82.84	n/a	n/a	688.43	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>30,000</b>	<b>51.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>XRX</b>	<b>S</b>	<b>45000</b>	<b>10.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	041	ZUMZ	B	25,000	28.42	n/a	n/a	875.00	3.5
<b>f EDWARD W WHEELER</b>	<b>FID</b>		<b>S</b>	<b>100000</b>	<b>99.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>								<b>128,113.03</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/11	MORTAR ROCK	033	GM	SS	12,500	30.62	n/a	12-Apr	500.00 4.0
AO,CXL	04/11	MORTAR ROCK	033	GM	SS	10,000	30.62	n/a	12-Apr	400.00 4.0
AO,CRT	04/08	PILOT ADV	048	RCII	SS	10,300	35.11	n/a	n/a	309.00 3.0
AO,CXL	04/08	PILOT ADV	048	RCII	SS	10,300	35.11	n/a	n/a	309.00 3.0
AO,CRT	04/08	PILOT ADV	048	WRLD	SS	2,300	63.97	n/a	n/a	69.00 3.0
AO,CXL	04/08	PILOT ADV	048	WRLD	SS	2,300	63.97	n/a	n/a	69.00 3.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>100.00</b>
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TOTAL (Trade Date) :	128,113.03
TOTAL (AO, CXL, CRT) :	100.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>128,213.03</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	04/08	BUCK CAPITAL MGMT.	BAC	S	30,000	16.55	n/a	28-Mar	150.00
CRT	04/08	BUCK CAPITAL MGMT	BAC	S	30,000	13.54	n/a	28-Mar	150.00 0.5
AO	04/11	BUCK CAPITAL MGMT	IGT	B	6,000	15.34	12-Apr	12-Apr	60.00 1.0

MRI Securities with Trade Activity on 12-Apr.

CAT, IGT, WMS

MRI Securities without Trade Activity on 12-Apr.

ENDP

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 4%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**