



Daily Compliance Activity Report

Trade Date: 09/08/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
US BANCORP	030	AAI	B	35,000	10.02	n/a	n/a	1,750.00	5.0
LEDDY	035	AB	B	300	66.56	6-Sep	7-Sep	0.00	0.0
PRINCIPLE CAPITAL	040	AEOS	B	24,900	40.46	5-Sep	6-Sep	996.00	4.0
REINHART & MAHONEY	031	AIG	B	400	64.10	n/a	n/a	20.00	5.0
REINHART & MAHONEY	031	ALL	B	200	58.25	n/a	n/a	10.00	5.0
HARVEST MGMT	039	ANF	B	10,000	64.58	31-Aug	31-Aug	500.00	5.0
WHITTIER CAP	048	ARO	S	10,790	27.16	6-Jul	28-Aug	539.50	5.0
REINHART & MAHONEY	031	AVZ	B	300	19.89	6-Sep	7-Sep	15.00	5.0
CAPITAL GROWTH MGMT.	241	AXE	B	40,000	55.61	25-Jul	25-Jul	2,000.00	5.0
REINHART & MAHONEY	031	BBY	B	50	45.14	13-Jun	8-Sep	2.50	5.0
BUCK CAPITAL MGMT	015	BFB	S	500	76.47	n/a	n/a	0.00	0.0
WHITTIER CAP	048	BONT	B	11,355	25.91	n/a	1-Sep	567.75	5.0
SAGE ASSET MGMT	012	BTU	S	102,900	39.52	n/a	n/a	5,145.00	5.0
REINHART & MAHONEY	031	C	B	300	48.71	n/a	10-Aug	15.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EQUINOX	032	CAR	S	150,000	18.77	n/a	n/a	6,000.00	4.0
HARVEST MGMT	039	CC	B	25,000	23.03	12-Apr	8-Sep	1,250.00	5.0
GLG PARTNERS	034	CCL	B	10,000	42.34	n/a	26-Jan	500.00	5.0
MKT MAKER	099	CENT	S	47	44.71	3-May	28-Aug	0.00	0.0
SAILFISH	037	CMG	B	20,000	47.87	8-May	1-Aug	1,000.00	5.0
EGS ASSOCIATES LP	059	COH	B	3,500	31.48	n/a	2-Aug	210.00	6.0
HARVEST MGMT	039	COST	S	15,000	47.68	n/a	31-Aug	750.00	5.0
CAPITAL GROWTH MGMT.	241	CRS	B	45,000	100.16	n/a	n/a	2,250.00	5.0
VAN KAMPEN ADV	031	CSCO	B	35,000	21.71	n/a	22-Mar	1,400.00	4.0
CAPITAL GROWTH MGMT.	241	CVS	B	70,000	35.54	n/a	n/a	3,500.00	5.0
PRINCIPLE CAPITAL	040	DKS	B	26,200	42.14	n/a	n/a	1,048.00	4.0
CIBC ADV.	060	DVN	B	3,000	68.24	31-Aug	5-Sep	150.00	5.0
LEHMAN PROPRIETARY TRAD	039	EXPD	S	25,000	39.43	n/a	n/a	1,000.00	4.0
FIRST NEW YORK LEDDY	035	FD	B	5,000	39.41	12-Jun	31-Aug	250.00	5.0
MKT MAKER	099	FINL	S	85	12.23	n/a	25-Aug	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	FSP	B	1,000	19.10	n/a	n/a	50.00	5.0
FIRST NEW YORK LEDDY	035	GCO	B	10,000	27.40	n/a	10-Jan	500.00	5.0
MAZAMA CAPITAL MGMT.	035	GHL	B	30,600	55.64	n/a	n/a	1,224.00	4.0
LOOMIS SALES/BOSTON	036	GT	B	120,050	14.63	n/a	n/a	4,802.00	4.0
KAICHER	064	GVIS	B	3,000	0.05	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GYMB	S	20,000	34.04	10-Aug	31-Aug	1,000.00	5.0
REINHART & MAHONEY	031	HLT	B	300	26.41	n/a	n/a	15.00	5.0
REINHART & MAHONEY	031	HRS	B	200	43.14	2-May	6-Sep	10.00	5.0
HIGHBRIDGE CAPITAL	038	IP	S	30,000	35.04	n/a	2-Aug	1,500.00	5.0
REINHART & MAHONEY	031	IXJ	B	800	56.20	n/a	n/a	40.00	5.0
FIRST NEW YORK LEDDY	035	JCP	B	5,000	63.87	5-May	31-Aug	250.00	5.0
REINHART & MAHONEY	031	JNJ	B	400	63.48	n/a	22-Apr	20.00	5.0
LISTED ERR	099	KBH	S	8,100	40.22	n/a	n/a	0.00	0.0
CROWLEY	061	KEGS	B	2,000	14.85	7-Aug	7-Sep	0.00	0.0
JP MORGAN VENTURES	046	KMX	B	10,000	39.10	n/a	10-Jan	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	LEH	B	200	65.47	8-Jun	5-Sep	0.00	0.0
LOOMIS SALES/BOSTON	036	LEN	B	140,975	42.59	n/a	n/a	7,048.75	5.0
BUCK CAPITAL MGMT	015	LGND	B	2,000	10.46	n/a	n/a	0.00	0.0
VAN KAMPEN ADV	031	LRCX	B	10,000	39.48	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	LTD	B	30,000	25.83	9-May	28-Aug	1,200.00	4.0
REINHART & MAHONEY	031	LZ	B	650	44.06	n/a	n/a	32.50	5.0
REINHART & MAHONEY	031	MAN	B	250	57.99	n/a	n/a	12.50	5.0
REINHART & MAHONEY	031	MSFT	B	500	25.64	2-Apr	3-Apr	25.00	5.0
REINHART & MAHONEY	031	MSM	B	200	38.48	n/a	n/a	10.00	5.0
REINHART & MAHONEY	031	MTG	B	500	57.88	n/a	n/a	25.00	5.0
EOS PARTNERS	040	MU	B	30,000	17.94	n/a	n/a	1,500.00	5.0
BUCK CAPITAL MGMT	001	NKE	B	10,000	81.97	n/a	n/a	400.00	4.0
OPPENHEIMER MGMT.	036	NVT	S	24,900	25.58	n/a	n/a	996.00	4.0
SOLARIS INV MGMT	036	OI	S	16,500	14.65	n/a	n/a	986.00	6.0
MKT MAKER	099	OTEX	B	52	16.41	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KAICHER	064	PAVAB	B	100	0.35	n/a	n/a	0.00	0.0
WILLIAMS TRADING /JILL	034	PBH	B	14,500	10.19	n/a	n/a	580.00	4.0
REINHART & MAHONEY	031	PCP	B	200	56.99	n/a	n/a	10.00	5.0
REINHART & MAHONEY	031	PFE	B	300	27.56	n/a	31-Mar	15.00	5.0
REINHART & MAHONEY	031	PL	B	600	45.21	n/a	n/a	30.00	5.0
ROARING BLUE LION MGMT	012	PLCE	B	8,400	55.44	1-May	8-Sep	420.00	5.0
ACK ASSET	028	PSMT	B	2,100	12.65	n/a	n/a	105.00	5.0
CAPITAL GROWTH MGMT.	241	PTP	B	20,000	29.70	n/a	n/a	1,000.00	5.0
BAIRD INVESTMENT	031	PX	S	6,310	57.03	n/a	26-Jul	315.50	5.0
BAIRD INVESTMENT	031	QCOM	S	1,765	36.50	n/a	n/a	88.25	5.0
MKT MAKER	099	ROCK	B	300	24.51	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	ROK	S	1,720	56.63	n/a	n/a	86.00	5.0
REINHART & MAHONEY	031	RSG	B	450	38.13	n/a	n/a	22.50	5.0
REINHART & MAHONEY	031	RX	B	600	26.90	n/a	n/a	30.00	5.0
BAIRD INVESTMENT	031	SBUX	S	2,315	31.23	2-Aug	3-Aug	115.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
VAN KAMPEN ADV	031	SNDK	B	10,000	56.00	n/a	n/a	400.00	4.0
SCOPUS FUND	039	SSCC	S	50,000	10.84	15-May	17-Aug	2,500.00	5.0
REINHART & MAHONEY	031	SSW	B	300	21.85	n/a	n/a	15.00	5.0
LEDDY	035	TIN	S	700	42.01	17-Apr	17-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	TLB	B	20,000	21.40	n/a	17-Aug	800.00	4.0
AELTUS/ING INVESTMENT MGT	237	TTI	B	30,000	28.85	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	TWB	B	15,000	31.61	5-Sep	5-Sep	600.00	4.0
CRAMER ROSENTHAL/SCOTT	012	TWB	B	110,100	31.48	5-Sep	5-Sep	5,505.00	5.0
FIRST NEW YORK LEDDY	035	TWB	S	5,000	31.78	5-Sep	5-Sep	250.00	5.0
XXXX	030	TXN	S	2,719	30.87	n/a	n/a	135.95	5.0
FASS	030	TXN	S	112,767	30.87	n/a	n/a	5,638.35	5.0
OPPENHEIMER MGMT.	036	TXN	S	88,720	30.80	n/a	n/a	3,548.80	4.0
US BANCORP	030	TXN	S	51,557	30.87	n/a	n/a	2,577.85	5.0
GLG PARTNERS	034	URBN	B	25,000	14.52	9-Aug	10-Aug	1,250.00	5.0
CLOVER CAPITAL	031	VLCM	S	44,500	22.42	n/a	n/a	2,225.00	5.0
REINHART & MAHONEY	031	VSH	B	200	13.63	n/a	n/a	10.00	5.0
NEW JERSEY DIV OF INV.	220	WYE	S	33,300	48.43	n/a	31-Mar	1,665.00	5.0

TOTAL :

84,554.45

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,CRT	MKT MAKER (MKT MAKER)	099	AEOS	B	200	39.21	5-Sep	6-Sep	0.00	0.0
AO,	ROARING BLUE LION MGMT (ROARMAIN U)	012	AVZ	B	2,400	19.88	6-Sep	7-Sep	120.00	5.0
AO,CXL	ROARING BLUE LION MGMT (ROARMAIN U)	012	AVZ	B	2,400	19.88	6-Sep	7-Sep	96.00	4.0
AO,CXL	LEHMAN PROPRIETARY TRAD (LEHMAN FLI)	039	EXPD	S	25,000	39.72	n/a	n/a	1,250.00	5.0
AO,	LEHMAN PROPRIETARY TRAD (LEHMAN FLI)	039	EXPD	S	25,000	39.72	n/a	n/a	1,000.00	4.0
AO,CXL	KNOTT PARTNERS (KNOTGOLDMA)	044	NURO	S	25,000	21.30	n/a	n/a	1,250.00	5.0
AO,	KNOTT PARTNERS (KNOTGOLDMA)	044	NURO	S	25,000	21.30	n/a	n/a	1,000.00	4.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.