



Daily Compliance Activity Report

Trade Date: 05/10/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BULL PATH CAPITAL	044	AB	B	17,500	89.08	25-Apr	9-May	875.00	5.0
SAB CAPITAL	034	ACM	SS	225,000	21.68	n/a	n/a	11,250.00	5.0
SAB CAPITAL	034	ACM	S	50,000	21.41	n/a	n/a	2,500.00	5.0
MKT MAKER	099	ACXM	S	93	24.54	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	AFL	S	109,900	52.16	n/a	n/a	4,396.00	4.0
LATEFF MGMT	040	ALK	B	10,000	29.45	n/a	n/a	1,300.00	13.0
KENNEDY CAPITAL	046	AMP	B	53,525	60.58	n/a	n/a	2,141.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	130,000	82.54	2-Feb	8-May	5,200.00	4.0
DELTEC ASSET MGMT.	040	ANF	S	7,500	81.98	2-Feb	8-May	375.00	5.0
COUGAR TRADING	044	ANN	B	5,000	37.13	5-Jan	8-May	250.00	5.0
BUCK CAPITAL MGMT	001	ARO	B	20,000	43.95	n/a	8-May	800.00	4.0
THE JAY GOLDMAN	034	ARO	SS	30,000	44.13	n/a	8-May	900.00	3.0
DSG CAPITAL	236	AXE	B	2,000	71.11	24-Apr	24-Apr	100.00	5.0
J L F ASSET MGMT	039	AZO	SS	25,100	134.22	n/a	n/a	1,004.00	4.0
WHITE PINE CAPITAL	030	BDSBW	B	7,500	4.15	n/a	n/a	300.00	4.0
GRONET	030	BDSBW	B	5,000	4.16	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	BDSB	B	43,600	10.34	n/a	n/a	2,180.00	5.0
MCCARTAN	028	BDSB	B	600	10.18	n/a	n/a	0.00	0.0
LUCHANSKY1	036	BDSB	B	500	10.23	n/a	n/a	0.00	0.0
COUGAR TRADING	044	BONT	B	10,000	46.97	9-Mar	8-May	500.00	5.0
GAULT	063	BRNC	S	1,000	15.52	n/a	n/a	0.00	0.0
Õ Manny Korman		CHCG	S	1000	6.7000	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	CLMS	SS	15,000	23.38	11-Apr	9-May	750.00	5.0
SUN AMERICA ASSET MGMT	030	CMCSA	B	311,900	26.15	5-Apr	9-May	15,595.00	5.0
AVESTA CAP	229	CVS	S	25,000	37.90	n/a	n/a	1,250.00	5.0
J L F ASSET MGMT	039	CVS	S	184,200	37.80	n/a	n/a	7,368.00	4.0
AMATURO	018	DAWUW	B	20	2.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DKS	B	15,000	54.54	n/a	n/a	600.00	4.0
BUCK CAPITAL MGMT	001	DSW	B	61,500	38.91	7-May	7-May	2,460.00	4.0
KALINOWSKI	070	EATEF	S	100	3.20	n/a	n/a	0.00	0.0
GAULT	063	EDA	S	3,000	11.25	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	FCX	S	30,000	71.33	n/a	n/a	1,500.00	5.0
COUGAR TRADING	044	FD	SS	20,000	42.86	26-Jan	8-May	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	FL	S	200,000	23.46	n/a	7-May	8,000.00	4.0
COUGAR TRADING	044	FL	S	10,000	23.43	n/a	7-May	500.00	5.0
TPG-AXON	040	F	SS	200,000	8.30	n/a	27-Apr	10,000.00	5.0
BUCK CAPITAL MGMT	001	GCO	SS	90,000	50.46	n/a	10-Jan	3,600.00	4.0
BUCK CAPITAL MGMT	001	GIL	B	5,000	67.79	n/a	3-May	200.00	4.0
BUCK CAPITAL MGMT	001	GPI	B	10,000	39.97	27-Apr	2-May	400.00	4.0
MERRILL LYNCH STRATEGICI	034	HAS	SS	10,000	32.95	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	HBI	SS	30,000	25.66	n/a	n/a	1,200.00	4.0
ZOLIDIS	011	HRSH	S	2,010	4.50	n/a	n/a	0.00	0.0
IRONBOUND CAPITAL	012	IP	SS	10,000	38.51	4-May	4-May	500.00	5.0
GAULT	063	ISE	S	500	65.25	30-Apr	30-Apr	0.00	0.0
COUGAR TRADING	044	JCG	B	5,000	40.07	14-Mar	8-May	250.00	5.0
GAULT	063	KOG	S	5,000	6.01	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	KR	B	50,000	28.86	n/a	n/a	2,000.00	4.0
COUGAR TRADING	044	KSS	B	10,000	74.38	n/a	10-May	500.00	5.0
SSI INVESTORS	048	LM	B	27,617	99.97	9-May	9-May	1,104.68	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
XYLEM GLOBAL	091	LPX	B	11,800	19.64	20-Mar	26-Apr	590.00	5.0
WD PARTNERS ILP	067	LTD	B	2,500	27.92	2-Mar	8-May	125.00	5.0
KORMAN	003	LVQEH	B	100	0.10	n/a	n/a	0.00	0.0
STERLING JOHNSTON	035	MCCC	B	100,000	8.45	28-Feb	9-May	4,000.00	4.0
TURETSKY	038	MIRWSB	S	6,905	27.68	n/a	n/a	0.00	0.0
TURETSKY	038	MIR	S	5,029	46.24	n/a	n/a	0.00	0.0
DALTON GREINER	012	MLI	B	45,000	34.40	6-Feb	24-Apr	2,250.00	5.0
AMATURO	018	MPXL	B	500	16.30	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	MWV	S	10,000	31.94	3-May	3-May	500.00	5.0
MAGUIRE	090	NGI	S	5,000	5.00	n/a	n/a	0.00	0.0
BARR	096	NGI	S	4,300	5.01	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	NKE	SS	100,000	53.68	n/a	n/a	4,000.00	4.0
SIGMA CAPITAL ASSOCIATES	044	OPWV	B	150,000	8.26	n/a	n/a	4,500.00	3.0
STRAUSS ASSET MGMT	040	OVEN	B	20,000	12.92	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	OXM	S	5,000	45.89	n/a	n/a	200.00	4.0
TRIBECA/DOUG	040	PRTR	B	25,000	10.98	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PSUN	SS	20,000	20.54	n/a	8-May	1,000.00	5.0
WD PARTNERS ILP	067	PSUN	B	5,000	20.12	n/a	8-May	1,000.00	20.0
BUCK CAPITAL MGMT	001	PVH	B	25,000	54.11	28-Mar	28-Mar	1,000.00	4.0
BINDER	007	QID	B	300	48.32	n/a	n/a	0.00	0.0
LUCHANSKY1	036	QID	B	1,000	48.60	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RDC	B	12	0.5100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RDC	B	12	0.5200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RDC	SS	12	1.0200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RDC	SS	12	1.1200	n/a	n/a	0.00	0.0
Õ Manny Korman		RLF	B	2000	25.0200	n/a	n/a	0.00	0.0
SANDLER CAPITAL	038	RL	S	49,700	92.27	2-Feb	13-Apr	2,982.00	6.0
Õ Manny Korman		RPF	S	2500	24.7600	n/a	n/a	0.00	0.0
Õ Manny Korman		RQI	S	2000	23.8500	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	30,000	31.36	n/a	13-Mar	1,200.00	4.0
KERN	050	SKYE	S	1,000	4.76	n/a	n/a	0.00	0.0
STRONG/MARK GRONET	030	SLXP	B	150,000	12.62	n/a	n/a	6,000.00	4.0
COUGAR TRADING	044	SMRT	B	5,000	14.74	n/a	n/a	250.00	5.0
Õ Manny Korman		SNTN	S	5000	2.2800	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Manny Korman		SRO	B	2400	20.2600	n/a	n/a	0.00	0.0
ROXBURY CAPITAL MGMT	035	SSI	B	207,000	20.94	26-Feb	8-May	8,280.00	4.0
TRELLUS PARTNERS LP	028	SSI	B	10,000	20.88	26-Feb	8-May	500.00	5.0
UBS OCONNOR	031	SUP	B	27,700	23.05	n/a	n/a	831.00	3.0
CAPITAL GROWTH MGMT.	241	SWY	B	30,000	34.80	n/a	n/a	1,200.00	4.0
SYSTEMATIC FINANCIAL MGT	035	TAP	B	34,456	93.56	n/a	n/a	1,292.10	3.8
LOOMIS SALES/BOSTON	036	TJX	B	320,913	28.60	21-Mar	8-May	12,836.52	4.0
LEHMAN PROPRIETARY TRAD	039	TWX	S	100,000	21.52	n/a	n/a	4,000.00	4.0
MATRIX ASSET ADV.	036	TYC	B	52,500	32.29	8-May	8-May	2,100.00	4.0
BUCK CAPITAL MGMT	001	UAG	B	10,000	20.78	n/a	9-May	400.00	4.0
SSI INVESTORS	048	VZ	B	36,709	41.04	4-May	10-May	1,468.36	4.0
HIGHBRIDGE CAPITAL	038	WFR	S	80,000	61.52	n/a	n/a	4,000.00	5.0
Õ Manny Korman		YTEC	S	2000	8.6300	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								161,753.66	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO, CRT	05/08	MKT MAKER (MKT MAKER)	099	ACXM	B	4	24.57	n/a	n/a	0.00	0.0
AO,	05/08	ROTHSCHILD ASSET (ROTH0344)	040	AVA	S	810	24.02	n/a	n/a	36.45	4.5
AO, CXL	05/08	ROTHSCHILD ASSET (&ROTH344)	040	AVA	S	810	24.02	n/a	n/a	36.45	4.5
AO, CXL	05/08	ZIFF BROS (ZIFFCITIGR)	038	DCEL	S	5,707	9.67	n/a	n/a	285.35	5.0
AO,	05/08	ZIFF BROS (ZIFFZAM EX)	038	DCEL	S	5,707	9.67	n/a	n/a	285.35	5.0
AO,	05/03	ROTHSCHILD ASSET (ROTH0344)	040	MNT	S	1,020	41.22	n/a	n/a	45.90	4.5
AO, CXL	05/03	ROTHSCHILD ASSET (&ROTH344)	040	MNT	S	1,020	41.22	n/a	n/a	45.90	4.5
AO, CXL	05/08	ZIFF BROS (ZIFFZAM EX)	038	RIMM	S	5,537	140.61	n/a	n/a	276.85	5.0
AO,	05/08	ZIFF BROS (ZIFFZAM EX)	038	RIMM	S	5,577	140.61	n/a	n/a	278.85	5.0
AO, CXL	05/09	WAGONHOUND (WAGO)	048	RTH	B	12,800	104.45	n/a	n/a	512.00	4.0
AO, CRT	05/09	WAGONHOUND (WAGO)	048	RTH	B	12,800	104.45	n/a	n/a	384.00	3.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2564)	012	TWB	B	900	38.25	22-Feb	8-May	45.00	5.0
AO, CXL	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2564)	012	TWB	B	900	38.25	22-Feb	8-May	45.00	5.0
AO,	05/08	ROTHSCHILD ASSET (ROTH0344)	040	WCG	S	260	89.51	n/a	n/a	11.70	4.5
AO, CXL	05/08	ROTHSCHILD ASSET (&ROTH344)	040	WCG	S	260	89.51	n/a	n/a	11.70	4.5
TOTAL (AO, CXL, CRT) :										-126.00	

TOTAL (Trade Date):	161,753.66
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TOTAL (RUN DATE) / NFS:	161,627.66
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION