



## Daily Compliance Activity Report

Trade Date: 01/21/2009

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>Õ Larry Leeds</b>		<b>ACOR</b>	<b>S</b>	<b>3000</b>	<b>22.1200</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WASHINGTON ASSET	048	AMGN	S	5,000	54.86	n/a	26-Jan	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>B</b>	<b>50,000</b>	<b>27.13</b>	<b>n/a</b>	<b>27-Jul</b>	<b>2,000.00</b>	<b>4.0</b>
WEINTRAUB CAPITAL MGT.	040	BEN	B	25,000	51.40	13-Nov	21-Jan	1,000.00	4.0
RAIL-SPLITTER	031	BIG	B	41,400	13.76	5-Dec	6-Jan	2,070.00	5.0
IRIDIAN ASSET MGMT.	036	BK	S	19,180	23.00	21-Jan	21-Jan	959.00	5.0
PHILADELPHIA FINANCIAL	033	BLK	B	5,000	101.70	n/a	21-Jan	200.00	4.0
REINHART & MAHONEY	031	BRKB	B	932,920.99		n/a	n/a	4.65	5.0
COUGAR TRADING	044	CEM	S	25,000	0.78	n/a	n/a	250.00	1.0
HUNTER GLOBAL INVESTOR	067	CMTL	B	35,000	40.35	n/a	n/a	1,400.00	4.0
AVESTA CAP	229	COH	B	15,000	13.85	9-Jan	9-Jan	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>B</b>	<b>50,000</b>	<b>14.40</b>	<b>9-Jan</b>	<b>9-Jan</b>	<b>2,000.00</b>	<b>4.0</b>
GREENLIGHT CAPITAL	041	CPN	B	322,797	7.30	n/a	n/a	12,911.88	4.0
REINHART & MAHONEY	031	CSTR	B	14,410	21.39	n/a	n/a	720.50	5.0
CASEY CAPITAL	067	CTV	B	5,000	13.09	n/a	n/a	250.00	5.0

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MATRIX ASSET ADV.	036	CVX	S	2,350	69.76	n/a	n/a	94.00	4.0
CHARTER OAK	033	DNA	S	36,548	82.47	n/a	n/a	1,827.40	5.0
NEUBERGER BERMAN	036	DRE	B	75,300	9.28	n/a	n/a	2,259.00	3.0
COUGAR TRADING	044	DSW	SS	5,000	9.00	14-Nov	19-Dec	250.00	5.0
EVER	012	EEP	B	3,500	29.06	n/a	n/a	175.00	5.0
EVER	012	EPD	B	4,500	21.66	n/a	n/a	225.00	5.0
CUMBERLAND	038	EXH	B	65,500	21.14	n/a	16-Dec	2,620.00	4.0
BRIGADE CAPITAL MGMT	029	FCH	B	13,829	1.46	n/a	n/a	414.87	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>200,000</b>	<b>10.61</b>	<b>11-Dec</b>	<b>12-Dec</b>	<b>8,000.00</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	GNTX	SS	15,000	8.41	23-Dec	12-Jan	750.00	5.0
KLEINHEINZ CAP PART	031	GS	S	30,000	66.41	15-Sep	14-Jan	1,500.00	5.0
REINHART & MAHONEY	031	HCC	S	14,530	24.33	n/a	n/a	726.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HOG</b>	<b>B</b>	<b>63,100</b>	<b>12.72</b>	<b>n/a</b>	<b>n/a</b>	<b>2,524.00</b>	<b>4.0</b>
ADALY INV MGMT	067	IP	SS	21,700	10.28	22-Sep	20-Jan	651.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>B</b>	<b>150,000</b>	<b>3.98</b>	<b>n/a</b>	<b>13-Jan</b>	<b>4,500.00</b>	<b>3.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE/MS OLDS	041	KIM	S	65,000	15.20	n/a	n/a	1,950.00	3.0
BRENCOURT	028	MGA	SS	25,000	28.37	n/a	n/a	1,250.00	5.0
PRINCIPLE CAPITAL	040	MHK	SS	39,900	35.07	n/a	n/a	1,197.00	3.0
TRELLUS PARTNERS LP	028	MTW	SS	15,000	6.53	20-Oct	20-Jan	450.00	3.0
REINHART & MAHONEY	031	NBL	B	7,200	48.52	n/a	n/a	360.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NKE</b>	<b>B</b>	<b>50,000</b>	<b>45.04</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
JAT CAPITAL MANAGEMENT	041	NTAP	SS	81,300	13.65	n/a	n/a	3,252.00	4.0
JAT CAPITAL MANAGEMENT	041	NVDA	S	34,200	7.30	n/a	n/a	1,026.00	3.0
NOMURA CAPITAL	045	NYT	B	12,000	5.82	n/a	n/a	480.00	4.0
IRIDIAN ASSET MGMT.	036	ORCL	B	345,660	16.59	n/a	n/a	17,283.00	5.0
STADIA CAPITAL	035	PAYX	SS	25,300	24.53	n/a	n/a	1,012.00	4.0
BMO NESBITT BURNS	041	PCH	S	33,000	24.47	n/a	20-Jan	1,650.00	5.0
BENCHMARK	028	PCL	B	10,000	30.50	n/a	20-Jan	500.00	5.0
BMO NESBITT BURNS	041	PCL	B	25,000	29.59	n/a	20-Jan	1,250.00	5.0
JP MORGAN VENTURES	046	PCX	B	25,000	5.74	n/a	n/a	750.00	3.0
BMO NESBITT BURNS	041	PGN	B	3,300	38.89	n/a	n/a	198.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	PKG	S	35,000	13.53	5-Dec	21-Jan	1,750.00	5.0
IRIDIAN ASSET MGMT.	036	PKG	B	60,070	14.37	5-Dec	21-Jan	3,003.50	5.0
MILLENIUM PARTNERS	028	PKG	B	25,000	13.26	5-Dec	21-Jan	1,250.00	5.0
CATAPULT	040	PTV	S	48,000	21.25	n/a	n/a	2,400.00	5.0
NOMURA CAPITAL	045	QLTY	B	5,800	2.37	n/a	n/a	174.00	3.0
CATAPULT	040	RBC	B	20,402	34.40	n/a	n/a	1,020.10	5.0
CATAPULT	040	RIMM	S	15,000	51.21	n/a	n/a	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>44,000</b>	<b>37.58</b>	<b>n/a</b>	<b>20-Jan</b>	<b>1,760.00</b>	<b>4.0</b>
COOPER CREEK PART	028	SBUX	SS	10,000	8.74	n/a	21-Jan	300.00	3.0
HIGHBRIDGE/MS OLDS	041	SPG	S	42,000	41.98	n/a	n/a	1,260.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TGT</b>	<b>B</b>	<b>100,000</b>	<b>33.21</b>	<b>17-Nov</b>	<b>21-Jan</b>	<b>4,000.00</b>	<b>4.0</b>
ADALY INV MGMT	067	TIN	B	52,900	3.91	5-Dec	20-Jan	1,587.00	3.0
BMO NESBITT BURNS	041	TIN	S	34,100	4.25	5-Dec	20-Jan	1,705.00	5.0
COUGAR TRADING	044	TIN	B	25,000	3.86	5-Dec	20-Jan	1,250.00	5.0
NEWLAND CAPITAL MGT	067	TIN	B	55,800	3.96	5-Dec	20-Jan	2,232.00	4.0
TRELLUS PARTNERS LP	028	TIN	B	57,200	3.64	5-Dec	20-Jan	1,144.00	2.0
TRELLUS PARTNERS LP	028	TIN	S	57,200	3.92	5-Dec	20-Jan	1,144.00	2.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TWB</b>	<b>S</b>	<b>23,520</b>	<b>2.34</b>	<b>n/a</b>	<b>6-Jan</b>	<b>470.40</b>	<b>2.0</b>
TRELLUS PARTNERS LP	028	URI	SS	10,000	5.95	29-Oct	29-Oct	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRANITE CAPITAL	045	USB	B	20,000	13.22	n/a	n/a	1,000.00	5.0
REINHART & MAHONEY	031	USB	B	47,590	15.97	n/a	n/a	2,379.50	5.0
GRANAHAN INV	039	WBC	S	40,000	15.50	18-Nov	2-Dec	1,600.00	4.0
UBS OCONNOR	031	WFC	S	100,000	14.63	n/a	n/a	3,000.00	3.0
EPOCH INV MGMT	030	WMT	B	20,700	49.87	10-Oct	8-Jan	828.00	4.0
MATRIX ASSET ADV.	036	WYE	S	2,500	39.00	n/a	31-Mar	100.00	4.0
HUNTER GLOBAL INVESTOR	067	XOM	B	11,100	76.76	n/a	n/a	555.00	5.0
WASHINGTON ASSET	048	YHOO	S	7,000	11.40	n/a	n/a	350.00	5.0
<b>TOTAL (Trade Date):</b>								<b>123,203.30</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	01/15	MARINER/TRICADIA (MARITRICAD)	041	AYI	B	4,300	27.97	n/a	n/a	215.00	5.0
AO,CRT	01/15	MARINER/TRICADIA (MARITRICAD)	041	AYI	B	4,300	27.97	n/a	n/a	129.00	3.0
AO,CXL	01/20	NATIONAL BK OF CAN (NBCAMAIN)	031	BAC	B	150,000	5.35	16-Jan	16-Jan	6000.00	4.0
AO,CRT	01/20	NATIONAL BK OF CAN (NBCAMAIN)	031	BAC	B	150,000	5.35	16-Jan	16-Jan	4500.00	3.0
AO,	01/20	TRELLUS PARTNERS LP (TRELMAIN)	028	CHD	SS	6,600	53.82	4-Sep	8-Dec	330.00	5.0
AO,	01/20	TPG-AXON (TPGAUBS)	040	CSCO	C	105,000	15.24	n/a	22-Mar	5250.00	5.0
AO,	01/20	DAWSON SANBERG & CO (DAWSGSPB)	041	JPM	S	143,500	19.64	16-Jan	16-Jan	7175.00	5.0
AO,	01/20	DAWSON SANBERG & CO (DAWSMSPO)	041	JPM	S	6,500	19.64	16-Jan	16-Jan	325.00	5.0
AO,CXL	01/15	MARINER/TRICADIA (MARITRICAD)	041	M	B	25,000	9.00	12-Aug	9-Jan	1250.00	5.0
AO,CRT	01/15	MARINER/TRICADIA (MARITRICAD)	041	M	B	25,000	9.00	12-Aug	9-Jan	750.00	3.0
AO,CXL	01/20	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	MAS	S	7,400	9.54	n/a	n/a	296.00	4.0
AO,CRT	01/20	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	MAS	S	7,400	9.54	n/a	n/a	222.00	3.0
AO,	01/20	TRELLUS PARTNERS LP (TRELMAIN)	028	MTW	SS	14,700	6.23	20-Oct	20-Jan	441.00	3.0
AO,CXL	01/20	PIONEER OAKRIDGE (PIOO149)	031	RL	B	25,000	37.33	n/a	20-Jan	500.00	2.0
AO,CRT	01/20	STELLIAM INV ADV (STLLMSEXEC)	040	RL	B	25,000	37.33	n/a	20-Jan	1250.00	5.0
AO,	01/20	TRELLUS PARTNERS LP (TRELMAIN)	028	URI	SS	6,700	5.83	29-Oct	29-Oct	201.00	3.0
AO,	01/20	ETON PARK CAPITAL (ETONCSFB)	041	WFC	B	1,000,000	15.21	n/a	n/a	0.00	0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>12,312.00</b>	

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TOTAL (Trade Date):	123,203.30
TOTAL (AO, CXL, CRT):	12,312.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>135,515.30</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION