



# Daily Compliance Activity Report

Trade Date: 02/26/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MCCARTAN</b>	<b>028</b>	<b>ABG</b>	<b>B</b>	<b>200</b>	<b>15.13</b>	<b>25-Jan</b>	<b>22-Feb</b>	<b>0.00</b>	<b>0.0</b>
DAVIDSON TRUST CO	028	APD	S	1,400	95.81	n/a	23-Jan	126.00	9.0
COUGAR TRADING	044	ARO	B	5,000	28.40	29-Nov	8-Feb	250.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>ARO</b>	<b>S</b>	<b>500</b>	<b>28.95</b>	<b>29-Nov</b>	<b>8-Feb</b>	<b>0.00</b>	<b>0.0</b>
FORWARD MGMT	033	ARW	B	2,100	34.72	n/a	n/a	105.00	5.0
CATAPULT	040	BK	B	5,000	46.44	19-Oct	21-Feb	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CBK</b>	<b>B</b>	<b>10,000</b>	<b>11.77</b>	<b>n/a</b>	<b>11-Feb</b>	<b>400.00</b>	<b>4.0</b>
FORWARD MGMT	033	CDNS	B	4,000	11.33	n/a	n/a	200.00	5.0
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>COH</b>	<b>B</b>	<b>3,000</b>	<b>32.40</b>	<b>24-Oct</b>	<b>24-Jan</b>	<b>180.00</b>	<b>6.0</b>
FORWARD MGMT	033	COH	B	2,700	32.48	24-Oct	24-Jan	135.00	5.0
BALYASNY ASSET MGMT	044	CSH	B	40,000	33.50	n/a	n/a	2,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DAI</b>	<b>SS</b>	<b>20,000</b>	<b>85.60</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DRI</b>	<b>B</b>	<b>20,000</b>	<b>33.22</b>	<b>n/a</b>	<b>24-Apr</b>	<b>800.00</b>	<b>4.0</b>
<b>OGORMAN</b>	<b>046</b>	<b>DUG</b>	<b>S</b>	<b>200</b>	<b>36.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>DUG</b>	<b>B</b>	<b>500</b>	<b>36.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>J COHEN</b>	<b>N27</b>	<b>DXD</b>	<b>B</b>	<b>28</b>	<b>54.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	EAT	B	20,000	19.97	n/a	24-Apr	800.00	4.0
BUCK CAPITAL MGMT	001	EL	B	70,000	43.57	4-Jan	4-Feb	2,800.00	4.0
FORWARD MGMT	033	ETN	B	500	83.99	22-Jan	5-Feb	25.00	5.0
RBC CAPITAL MARKETS	034	EV	SS	10,000	33.66	9-Jan	21-Feb	400.00	4.0
COLE	N24	FBR	B	1,600	2.84	n/a	n/a	0.00	0.0
STELLIAM INV ADV	040	FDO	SS	15,000	21.01	8-Jan	11-Feb	750.00	5.0
BARR	096	FMCN	C	900	50.35	n/a	n/a	0.00	0.0
BUCK	015	FXB	B	300	199.21	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	GES	SS	10,000	42.35	n/a	n/a	400.00	4.0
EATON VANCE MGMT	039	GE	S	450,000	33.96	n/a	n/a	18,000.00	4.0
COLE	N24	GFG	S	300	15.79	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	38.26	22-Jan	31-Jan	400.00	4.0
DANIELS	N25	GSI	B	200	8.88	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GWV	B	10,000	76.54	25-Jan	25-Jan	400.00	4.0
SUN AMERICA ASSET MGMT	030	INTC	B	122,900	20.24	n/a	n/a	6,145.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RBC CAPITAL MARKETS	034	IVZ	S	14,000	27.04	20-Dec	21-Feb	560.00	4.0
S.A.C. CAPITAL MGMT.	044	IVZ	S	160,000	27.01	20-Dec	21-Feb	8,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>10,000</b>	<b>42.43</b>	<b>28-Nov</b>	<b>21-Feb</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	JCG	B	5,000	42.19	28-Nov	21-Feb	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	41.31	28-Nov	21-Feb	250.00	5.0
COUGAR TRADING	044	JCP	B	5,000	49.94	4-Jan	22-Feb	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JWN</b>	<b>B</b>	<b>30,000</b>	<b>38.76</b>	<b>26-Feb</b>	<b>26-Feb</b>	<b>1,200.00</b>	<b>4.0</b>
FORWARD MGMT	033	JWN	B	2,400	38.65	26-Feb	26-Feb	120.00	5.0
<b>DANIELS</b>	<b>N25</b>	<b>KEG</b>	<b>B</b>	<b>200</b>	<b>13.55</b>	<b>14-Feb</b>	<b>14-Feb</b>	<b>0.00</b>	<b>0.0</b>
GRISANTI BROWN & PARTNER	048	KMX	C	10,000	20.44	n/a	10-Jan	500.00	5.0
COUGAR TRADING	044	KSS	B	30,000	47.27	4-Jan	21-Feb	1,500.00	5.0
FORWARD MGMT	033	KSS	B	1,300	47.71	4-Jan	21-Feb	65.00	5.0
<b>BUCK</b>	<b>015</b>	<b>KSS</b>	<b>C</b>	<b>1,500</b>	<b>47.41</b>	<b>4-Jan</b>	<b>21-Feb</b>	<b>0.00</b>	<b>0.0</b>
NATIONAL BK OF CAN	031	LEH	SS	25,000	57.41	n/a	21-Feb	1,250.00	5.0
NEUBERGER BERMAN	036	LEN	B	174,500	20.68	n/a	n/a	7,852.50	4.5
SYSTEMATIC FINANCIAL MGT	035	MLI	S	24,300	30.85	5-Feb	5-Feb	911.25	3.8
COUGAR TRADING	044	M	SS	5,000	25.71	7-Feb	15-Feb	250.00	5.0
RBC CAPITAL MARKETS	034	NFP	B	20,000	27.46	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	NTRS	B	25,000	71.92	n/a	21-Feb	1,250.00	5.0
SAC TRADING HOWARD	038	NWY	B	20,000	6.03	n/a	8-Feb	1,000.00	5.0
BACKBAY	041	OSG	B	12,500	69.84	n/a	n/a	625.00	5.0
BLUE HARBOR	036	OZM	B	7,800	22.46	n/a	n/a	390.00	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>PCH</b>	<b>B</b>	<b>1,300</b>	<b>44.24</b>	<b>8-Jan</b>	<b>6-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>PCH</b>	<b>S</b>	<b>1,300</b>	<b>43.91</b>	<b>8-Jan</b>	<b>6-Feb</b>	<b>0.00</b>	<b>0.0</b>
SWISS RE FINANCIAL SER	035	PCL	S	35,000	42.33	8-Jan	30-Jan	1,400.00	4.0
STELLIAM INV ADV	040	PDLI	S	10,000	16.69	n/a	n/a	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PEP</b>	<b>B</b>	<b>30,000</b>	<b>71.43</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
GALLEON MGMT LP	033	PFCB	SS	10,000	29.39	n/a	24-Apr	500.00	5.0
<b>CATTANI</b>	<b>041</b>	<b>PG</b>	<b>S</b>	<b>110</b>	<b>67.60</b>	<b>11-Dec</b>	<b>25-Feb</b>	<b>0.00</b>	<b>0.0</b>
GRISANTI BROWN & PARTNER	048	PHG	B	12,300	39.70	n/a	n/a	615.00	5.0
FORWARD MGMT	033	PH	B	1,000	66.35	n/a	n/a	50.00	5.0
HIGHBRIDGE CAPITAL	038	PKG	SS	20,000	25.11	23-Jan	23-Jan	1,000.00	5.0
AMBER CAPITAL	046	PKX	SS	40,000	140.55	n/a	n/a	1,600.00	4.0
COUGAR TRADING	044	PSUN	SS	35,000	11.55	n/a	8-Feb	1,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PSUN	S	45,000	11.57	n/a	8-Feb	2,250.00	5.0
COUGAR TRADING	044	PVH	B	5,000	36.92	5-Feb	15-Feb	250.00	5.0
FORWARD MGMT	033	PVH	B	1,300	37.70	5-Feb	15-Feb	65.00	5.0
STADIA CAPITAL	035	RL	B	10,000	66.12	7-Feb	15-Feb	400.00	4.0
FORWARD MGMT	033	ROST	B	2,300	29.55	n/a	n/a	115.00	5.0
<b>CATTANI</b>	<b>041</b>	<b>RSHOC</b>	<b>S</b>	<b>5</b>	<b>0.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
OMEGA ADVISORS	041	RSH	B	50,000	18.46	n/a	n/a	2,000.00	4.0
<b>CATTANI</b>	<b>041</b>	<b>RSH</b>	<b>S</b>	<b>500</b>	<b>17.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RVI</b>	<b>S</b>	<b>20,000</b>	<b>7.08</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
<b>BUCK</b>	<b>015</b>	<b>SBUX</b>	<b>B</b>	<b>500</b>	<b>19.15</b>	<b>n/a</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
GRISANTI BROWN & PARTNER	048	SGR	S	8,200	64.02	n/a	n/a	410.00	5.0
<b>GAULT</b>	<b>063</b>	<b>SKF</b>	<b>B</b>	<b>1,000</b>	<b>105.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>SKF</b>	<b>S</b>	<b>2,000</b>	<b>108.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CATTANI</b>	<b>041</b>	<b>SLB</b>	<b>B</b>	<b>200</b>	<b>88.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>SSI</b>	<b>S</b>	<b>4,000</b>	<b>14.24</b>	<b>4-Jan</b>	<b>8-Feb</b>	<b>240.00</b>	<b>6.0</b>
FIRST NY SEC/CHRIS	041	STX	S	10,000	23.74	n/a	n/a	600.00	6.0
<b>N STRATTON</b>	<b>014</b>	<b>TECUA</b>	<b>SS</b>	<b>200</b>	<b>23.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ASCEND PARTNERS	033	TJX	S	50,000	32.96	n/a	21-Feb	2,500.00	5.0
BUCK CAPITAL MGMT	001	TPX	B	70,000	18.51	n/a	n/a	2,800.00	4.0
BUCK CAPITAL MGMT	001	TWB	S	10,000	30.68	21-Feb	21-Feb	400.00	4.0
COUGAR TRADING	044	TWB	S	5,000	30.30	21-Feb	21-Feb	250.00	5.0
BUCK CAPITAL MGMT	001	UA	S	10,000	41.26	n/a	n/a	400.00	4.0
GAULT	063	USU	B	10,000	6.85	n/a	n/a	0.00	0.0
GAULT	063	USU	S	10,000	6.92	n/a	n/a	0.00	0.0
OGORMAN	046	VC	B	100	3.78	n/a	15-Feb	0.00	0.0
LAGRANGE CAP ADV	048	VVTV	B	20,000	5.71	n/a	n/a	1,000.00	5.0
FORWARD MGMT	033	WCC	B	1,700	43.35	n/a	n/a	85.00	5.0
GRISANTI BROWN & PARTNER	048	WMT	C	13,000	50.99	n/a	19-Feb	650.00	5.0
EGS ASSOCIATES LP	091	WRNC	B	3,000	36.71	14-Jan	21-Feb	180.00	6.0
SWISS RE FINANCIAL SER	035	WISLA	B	203,900	3.00	n/a	n/a	8,156.00	4.0
DAVIDSON TRUST CO	028	WYE	S	1,000	43.00	n/a	31-Mar	90.00	9.0
OMEGA ADVISORS	041	WYE	B	130,000	42.93	n/a	31-Mar	5,200.00	4.0
GALLEON MGMT LP	033	XRT	SS	20,000	34.13	n/a	n/a	1,000.00	5.0
COUGAR TRADING	044	ZQK	S	25,000	9.87	5-Feb	15-Feb	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	ZUMZ	SS	42,400	19.59	n/a	n/a	2,120.00	5.0
<b>TOTAL (Trade Date):</b>							<b>104,615.75</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/25	FORWARD MGMT (&FORWSMALL)	033	CDE	B	16,000	4.83	n/a	n/a	800.00 5.0
AO,CRT	02/25	FORWARD MGMT (FORWSCVAFD)	033	CDE	B	16,000	4.83	n/a	n/a	800.00 5.0
AO,CXL	02/25	RHO CAPITAL MGMT (RHOCBSPB)	041	CPST	S	11,000	1.76	n/a	n/a	440.00 4.0
AO,CRT	02/25	RHO CAPITAL MGMT (RHOCBSPB)	041	CPST	S	11,000	1.76	n/a	n/a	165.00 1.5
AO,CRT	02/25	GAULT (GAULT)	063	SKF	B	1,000	106.71	n/a	n/a	0.00 0.0
AO,CXL	02/25	GAULT (GAULT)	063	SKF	B	1,000	106.71	n/a	n/a	0.00 0.0
AO,CRT	02/25	GAULT (GAULT)	063	SKF	S	1,000	112.16	n/a	n/a	0.00 0.0
AO,CXL	02/25	GAULT (GAULT)	063	SKF	S	1,000	112.16	n/a	n/a	0.00 0.0
AO,CXL	02/21	CHAPPELL (CHAPPELL)	073	VFORX	B	182	22.00	n/a	n/a	0.00 0.0
AO,CRT	02/21	CHAPPELL (CHAPPELL)	073	VFORX	B	182	22.00	n/a	n/a	0.00 0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-275.00</b>
TOTAL (Trade Date) :										104,615.75
TOTAL (AO, CXL, CRT) :										-275.00
<b>TOTAL (RUN DATE) / NFS :</b>										<b>104,340.75</b>



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION