



## RR Commissions Summary [ Michael Perillo ]

Trade Date: 10/22/2013

| "Brokerage Month Basis"   |     | COMMISSIONS |              |              |               | CHECKS   |          |          | TOTAL        |              |               | LAST YEAR | %     |
|---------------------------|-----|-------------|--------------|--------------|---------------|----------|----------|----------|--------------|--------------|---------------|-----------|-------|
| CLIENT (PRIMARY)          | RR  | 10/22       | MTD          | QTD          | YTD           | MTD      | QTD      | YTD      | MTD          | QTD          | YTD           |           | of LY |
| HAWKEYE CAPITAL           | 087 | 0           | 0            | 0            | 11,097        | 0        | 0        | 0        | 0            | 0            | 11,097        | 0         | 0     |
| LAGRANGE CAP ADV          | 087 | 0           | 5,000        | 5,000        | 12,500        | 0        | 0        | 0        | 5,000        | 5,000        | 12,500        | 0         | 0     |
| WILLIAMS TRADING/BLUE MTN | 087 | 0           | 1,431        | 1,431        | 28,002        | 0        | 0        | 0        | 1,431        | 1,431        | 28,002        | 9,063     | 309   |
| <b>TOTALS:</b>            |     | <b>0</b>    | <b>6,431</b> | <b>6,431</b> | <b>51,599</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>6,431</b> | <b>6,431</b> | <b>51,599</b> |           |       |

| "Brokerage Month Basis"   |     | COMMISSIONS |               |               |               | CHECKS   |          |          | TOTAL         |               |               | LAST YEAR | %     |
|---------------------------|-----|-------------|---------------|---------------|---------------|----------|----------|----------|---------------|---------------|---------------|-----------|-------|
| CLIENT (SHARED)           | RR  | 10/22       | MTD           | QTD           | YTD           | MTD      | QTD      | YTD      | MTD           | QTD           | YTD           |           | of LY |
| ARDSLEY PARTNERS          | 280 | 500         | 16,250        | 16,250        | 81,708        | 0        | 0        | 0        | 16,250        | 16,250        | 81,708        | 0         | 0     |
| GLG PARTNERS              | 280 | 0           | 900           | 900           | 3,371         | 0        | 0        | 0        | 900           | 900           | 3,371         | 0         | 0     |
| WILLIAMS TRADING/NEWBROOK | 306 | 0           | 0             | 0             | 3,719         | 0        | 0        | 0        | 0             | 0             | 3,719         | 0         | 0     |
| <b>TOTALS:</b>            |     | <b>500</b>  | <b>17,150</b> | <b>17,150</b> | <b>88,798</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>17,150</b> | <b>17,150</b> | <b>88,798</b> |           |       |

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