



Daily Compliance Activity Report

Trade Date: 04/29/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AAN	S	100,000	33.81	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	ABG	B	50,000	8.98	n/a	20-Apr	2,000.00	4.0
BUCK CAPITAL MGMT		AEO	B	20,000	14.66	20-Apr	20-Apr	non-brg	4.0
JP MORGAN VENTURES	046	AEO	B	20,000	15.19	20-Apr	20-Apr	1,000.00	5.0
REINHART & MAHONEY	031	AFG	B	8,160	17.32	n/a	n/a	408.00	5.0
NATIONAL BK OF CAN	031	AMG	SS	14,000	52.87	30-Apr	29-Apr	560.00	4.0
IMPALA ASSET MMT	012	AMR	B	100,000	4.97	n/a	n/a	1,000.00	1.0
ADAGE CAPITAL MGMT	030	ANF	B	200,000	26.86	16-Apr	16-Apr	8,000.00	4.0
BUCK CAPITAL MGMT		ANF	SS	40,000	26.73	16-Apr	16-Apr	non-brg	4.0
IMPALA ASSET MMT	012	AOS	S	38,760	30.92	17-Apr	17-Apr	1,162.80	3.0
WILLOW CREEK	033	AZZ	B	10,000	31.13	n/a	n/a	500.00	5.0
MARK ANTHONY GRONET	FID	BEAT	S	1000	21.82	n/a	n/a	0.00	0.0
ALANA J A MADIGAN-SI	FID	BGZ	B	110	44.25	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BIG	B	40,000	26.73	4-Mar	24-Apr	1,600.00	4.0
BUCK CAPITAL MGMT		BKC	B	50,000	16.60	16-Apr	29-Apr	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	BK	B	500	26.15	22-Apr	24-Apr	0.00	0.0
REINHART & MAHONEY	031	BRKB	B	202,958.98		n/a	n/a	1.00	5.0
f JONATHAN RICHARD HAR	FID	BWA	B	145	28.09	12-Mar	20-Apr	0.00	0.0
PEQUOT CAPITAL MGMT	070	BWA	SS	8,900	27.49	12-Mar	20-Apr	356.00	4.0
BUCK CAPITAL MGMT	001	CAB	B	94,425	12.61	n/a	n/a	3,777.00	4.0
f JOHN CAREY MAGUIRE	FID	CHK	B	300	20.32	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CMG	C	10,000	85.19	23-Apr	23-Apr	non-brg	1.0
B BUCK CAPITAL MGMT		CMG	C	20,000	82.42	23-Apr	23-Apr	non-brg	2.5
B BUCK CAPITAL MGMT		COLM	SS	20,000	31.53	n/a	n/a	non-brg	2.5
f EDWARD W WHEELER	FID	COV	B	3000	33.75	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	CRI	B	70	21.56	n/a	n/a	0.00	0.0
WEINTRAUB CAPITAL MGT.	040	CVS	S	50,000	31.00	n/a	n/a	2,000.00	4.0
f JOEL GIFFORD TISS	FID	DEO	B	250	47.13	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	DFS	B	98,000	8.51	n/a	29-Apr	2,940.00	3.0
f MARC PAUL LUCHANSKY	FID	DOW	B	1000	13.46	n/a	2-Apr	0.00	0.0
B BUCK CAPITAL MGMT		DRI	C	10,000	37.78	19-Mar	19-Mar	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EVERCORE WEALTH MGMT	012	DVN	S	1,600	52.99	5-Feb	3-Apr	80.00	5.0
B BUCK CAPITAL MGMT		EAT	C	6,000	17.40	n/a	24-Apr	non-brg	4.0
SCOPUS FUND	041	EL	B	25,000	28.84	1-Apr	1-Apr	1,250.00	5.0
IMPALA ASSET MMT	012	EME	B	116,000	21.76	n/a	n/a	3,480.00	3.0
IMPALA ASSET MMT	012	FCX	B	82,915	39.68	n/a	n/a	2,487.45	3.0
IMPALA ASSET MMT	012	FDX	B	15,000	54.37	n/a	n/a	450.00	3.0
WEINTRAUB CAPITAL MGT.	040	FII	B	200,000	22.95	24-Apr	27-Apr	8,000.00	4.0
B BUCK CAPITAL MGMT		FMQ+TB[WFMI]	SS	1,000	1.17	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		FO	SS	30,000	38.84	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GES	B	30,000	25.22	n/a	n/a	non-brg	4.0
CASEY CAPITAL	067	GFI	S	16,000	11.10	n/a	n/a	800.00	5.0
B BUCK CAPITAL MGMT		GMCR	C	70,000	61.15	n/a	n/a	non-brg	1.0
f MANNY I KORMAN	FID	GMQW	S	170	0.52	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	041	GPS	B	50,000	15.31	n/a	30-Jan	2,500.00	5.0
WAGONHOUND/NIC	048	GYMB	S	1,433	34.39	27-Apr	27-Apr	57.32	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BASIX	033	HAR	B	17,000	19.55	12-Mar	20-Apr	510.00	3.0
PEQUOT CAPITAL MGMT	070	HAR	SS	12,700	19.66	12-Mar	20-Apr	508.00	4.0
BUCK CAPITAL MGMT	001	HBI	B	100,000	14.85	n/a	n/a	4,000.00	4.0
KLEINHEINZ CAP PART	031	HRB	S	25,000	15.14	n/a	n/a	1,250.00	5.0
WAGONHOUND/NIC	048	HSC	B	3,408	27.94	29-Jan	24-Apr	136.32	4.0
BMO NESBITT BURNS	041	IP	SS	30,000	10.97	n/a	20-Apr	1,500.00	5.0
ß BUCK CAPITAL MGMT		JBLU	B	50,000	5.26	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		JBLU	B	50,000	5.30	n/a	n/a	non-brg	1.0
f JOHN CAREY MAGUIRE	FID	JCI	B	400	18.66	22-Apr	22-Apr	0.00	0.0
WAGONHOUND/NIC	048	JCI	B	32,681	18.69	22-Apr	22-Apr	1,307.24	4.0
JP MORGAN VENTURES	046	JCP	S	20,000	28.68	13-Apr	23-Apr	1,000.00	5.0
f JOEL GIFFORD TISS	FID	JNJ	B	500	50.64	n/a	22-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		JNY	B	17,500	9.18	n/a	11-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		JNY	B	27,500	9.05	n/a	11-Feb	non-brg	3.0
ß BUCK CAPITAL MGMT		JNY	B	30,000	8.96	n/a	11-Feb	non-brg	3.0
ß BUCK CAPITAL MGMT		JOSB	B	10,000	42.30	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	JWN	SS	20,000	22.21	20-Feb	13-Apr	800.00	4.0
f JOEL GIFFORD TISS	FID	KFT	B	300	23.30	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		KSS	S	60,000	43.63	30-Mar	13-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	LIZ	B	50,000	4.14	n/a	5-Mar	1,000.00	2.0
ß BUCK CAPITAL MGMT		LL+HW[???	SS	1,000	2.25	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		LL	B	100,000	15.85	n/a	n/a	non-brg	2.0
BMO NESBITT BURNS	041	LM	B	26,300	19.05	29-Jan	23-Apr	1,315.00	5.0
JETSTREAM GLOBAL FUND LP	065	LPX	B	92,600	4.22	3-Mar	20-Apr	3,704.00	4.0
BUCK CAPITAL MGMT	001	LTD	B	50,000	11.20	8-Jan	30-Jan	2,000.00	4.0
NEWCASTLE FUNDS	033	LTM	B	25,000	17.30	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		LVS	S	100,000	7.36	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		LVS	S	100,000	7.44	n/a	n/a	non-brg	1.0
f JOHN BARTMAN COLE	FID	LYBI	B	1800	1.21	n/a	n/a	0.00	0.0
COLONIAL FUND LLC	036	MAR	S	10,000	22.15	n/a	n/a	400.00	4.0
IMPALA ASSET MMT	012	MAR	B	173,200	22.04	n/a	n/a	5,196.00	3.0
ß BUCK CAPITAL MGMT		MC FP	C	50,000	76.29	n/a	n/a	non-brg	11.4
ß BUCK CAPITAL MGMT		MDC	S	29,000	35.23	n/a	n/a	non-brg	4.0
NOMURA CAPITAL	045	MDTH	S	18,047	9.96	n/a	n/a	721.88	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		MVRX	SS	60,000	124.52	n/a	n/a	non-brg	12.5
f FRANCIS MICHAEL MCCA	FID	MWA	B	1300	3.95	n/a	4-Feb	0.00	0.0
BMO NESBITT BURNS	041	MWV	SS	25,000	15.55	28-Jan	20-Apr	1,250.00	5.0
SCOPUS FUND	041	MWV	S	37,774	15.53	28-Jan	20-Apr	1,888.70	5.0
SUN AMERICA ASSET MGMT	030	MWW	S	25,000	12.52	n/a	n/a	1,000.00	4.0
f ROBERT M DANIELS	FID	MYL	B	190	14.57	n/a	28-Apr	0.00	0.0
B BUCK CAPITAL MGMT		M	S	148,500	12.77	n/a	13-Apr	non-brg	4.0
GRISANTI BROWN & PARTNER	048	NAV	B	50,000	36.37	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	NKE	B	20,000	53.49	n/a	n/a	800.00	4.0
f EDWARD W WHEELER	FID	NLCIW	S	50	1.40	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	NSC	B	39,300	35.23	n/a	n/a	1,179.00	3.0
REINHART & MAHONEY	031	NTRS	B	6,410	54.22	22-Apr	28-Apr	320.50	5.0
IMPALA ASSET MMT	012	OSG	B	25,000	26.87	n/a	n/a	750.00	3.0
WEINTRAUB CAPITAL MGT.	040	PACW	B	100,000	14.78	n/a	n/a	4,000.00	4.0
BALYASNY ASSET MGMT	044	PAG	B	25,000	13.20	n/a	23-Apr	1,000.00	4.0
B BUCK CAPITAL MGMT		PAG	B	50,000	13.34	n/a	23-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COOPER CREEK PART	028	PAG	B	8,200	13.18	n/a	23-Apr	328.00	4.0
REINHART & MAHONEY	031	PEP	B	12,510	50.54	n/a	n/a	625.50	5.0
B BUCK CAPITAL MGMT		PKG	C	10,000	15.98	8-Apr	21-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	PSS	B	20,000	13.57	n/a	n/a	800.00	4.0
JETSTREAM GLOBAL FUND LP	065	QCOR	S	43,000	4.30	n/a	n/a	1,720.00	4.0
B BUCK CAPITAL MGMT		QZS+GI [JOSB]	SS	500	3.10	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	RL	SS	20,000	53.01	n/a	16-Apr	800.00	4.0
CIRCLE T PART	035	SBUX	SS	25,000	13.66	20-Apr	27-Apr	1,250.00	5.0
NICOLAS APPLGATE	048	SHOO	S	6,250	29.58	n/a	n/a	250.00	4.0
NEWCASTLE FUNDS	033	SHW	B	10,000	57.02	17-Apr	17-Apr	400.00	4.0
COUGAR TRADING	044	SMG	S	7,500	33.39	5-Feb	29-Apr	375.00	5.0
WAGONHOUND/NIC	048	SNDA	S	552	47.55	n/a	n/a	22.08	4.0
f JOEL GIFFORD TISS	FID	SNY	B	500	28.61	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SSI	SS	20,000	11.96	n/a	13-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		SUMR	B	980	1.87	n/a	n/a	non-brg	1.5
IMPALA ASSET MMT	012	TAM	B	50,000	6.87	n/a	n/a	500.00	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	TEVA	B	1,200	44.98	18-Feb	28-Apr	60.00	5.0
⚡ BUCK CAPITAL MGMT		TJX	B	70,000	28.05	n/a	13-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TPX+FS [TPX]	C	992	5.70	n/a	n/a	non-brg	300.0
NATIONAL BK OF CAN	031	TROW	B	20,000	38.70	n/a	29-Apr	800.00	4.0
SANDLER O'NEILL ASSET	031	TROW	B	40,000	38.16	n/a	29-Apr	2,000.00	5.0
⚡ BUCK CAPITAL MGMT		UA	SS	50,000	23.48	29-Apr	29-Apr	non-brg	4.0
COUGAR TRADING	044	UA	SS	15,000	23.51	29-Apr	29-Apr	750.00	5.0
JP MORGAN VENTURES	046	URBN	SS	20,000	18.31	n/a	30-Jan	1,000.00	5.0
⚡ ALANA J A MADIGAN-SI	FID	UTX	S	40	49.55	n/a	n/a	0.00	0.0
MAIRS AND POWER INV	030	VAL	S	20,000	24.58	n/a	n/a	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		VFC+TJ [VFC]	SS	2,000	2.50	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		VFC	C	90,000	59.86	n/a	n/a	non-brg	1.0
BMO NESBITT BURNS	041	WDR	SS	22,500	22.14	n/a	29-Apr	1,125.00	5.0
⚡ BUCK CAPITAL MGMT		WFMI	SS	100,000	19.31	n/a	n/a	non-brg	2.0
EVERCORE WEALTH MGMT	012	WMB	S	6,000	13.56	n/a	n/a	300.00	5.0
⚡ BUCK CAPITAL MGMT		WMT	B	80,000	49.76	n/a	9-Apr	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	JOHN CAREY MAGUIRE	FID	WMT	B	200	48.91	n/a	9-Apr	0.00	0.0
	BUCK CAPITAL MGMT	001	WRC	B	40,000	27.77	20-Apr	20-Apr	1,600.00	4.0
ß	BUCK CAPITAL MGMT		WRC	B	30,000	27.82	20-Apr	20-Apr	non-brg	1.0
	WEINTRAUB CAPITAL MGT.	040	WRC	B	100,000	27.83	20-Apr	20-Apr	4,000.00	4.0
ß	BUCK CAPITAL MGMT		WYNN	SS	50,000	37.90	n/a	n/a	non-brg	4.0
	IMPALA ASSET MMT	012	WYN	B	345,000	11.22	n/a	n/a	10,350.00	3.0
	FARALLON CAP MGMT	040	XLY	B	136,921	23.04	n/a	n/a	5,476.84	4.0
	REINHART & MAHONEY	031	XOM	B	14,880	67.44	n/a	n/a	744.00	5.0
ß	BUCK CAPITAL MGMT		XRT	SS	300,000	26.82	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		XRT	SS	45,900	26.97	n/a	n/a	non-brg	1.0
	IMPALA ASSET MMT	012	X	B	50,000	26.63	n/a	n/a	1,500.00	3.0
	NEWCASTLE FUNDS	033	ZUMZ	B	20,000	12.09	n/a	n/a	800.00	4.0
TOTAL (Trade Date) :									128,022.63	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/28	BNP PARIBAS SEC	040	CMI	B	7,500	30.91	14-Nov	4-Feb	375.00 5.0
AO,CXL	04/28	BNP PARIBAS SEC	040	CMI	B	7,500	30.91	14-Nov	4-Feb	300.00 4.0
AO,CRT	04/28	BNP PARIBAS SEC	040	LOW	B	50,000	20.89	31-Mar	31-Mar	2500.00 5.0
AO,CXL	04/28	BNP PARIBAS SEC	040	LOW	B	50,000	20.89	31-Mar	31-Mar	2000.00 4.0
AO,	04/28	WILLOW CREEK	033	NKE	B	15,000	55.99	n/a	n/a	750.00 5.0
AO,	04/28	WILLOW CREEK	033	SMG	B	8,500	34.49	5-Feb	29-Apr	425.00 5.0
AO,	04/28	VISIUM/BALY HOWARD	038	TJX	S	25,000	27.85	n/a	13-Apr	1250.00 5.0

TOTAL (AO, CXL, CRT) :	3,000.00
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TOTAL (Trade Date) :	128,022.63
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TOTAL (AO, CXL, CRT) :	3,000.00
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TOTAL (RUN DATE) / NFS:	131,022.63
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MRI Securities with Trade Activity on 29-Apr.

UA

MRI Securities without Trade Activity on 29-Apr.

LAD, PX

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 22%

YTD = 22%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)