



Daily Compliance Activity Report

Trade Date: 06/15/2009

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPIA CAPITAL	048	ADP	SS	50,000	36.65	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	AEO	S	60,000	13.99	20-Apr	5-Jun	2,400.00	4.0
AAD CAPITAL	048	ALV	B	20,000	28.49	10-Jun	10-Jun	800.00	4.0
SUFFOLK CAPITAL MGMT	040	AMAT	B	99,200	10.98	n/a	n/a	3,968.00	4.0
CADIAN CAPITAL	067	ANF	S	75,000	26.94	3-Jun	5-Jun	3,000.00	4.0
BUCK CAPITAL MGMT	001	AXL	B	50,000	3.73	n/a	10-Jun	1,000.00	2.0
TRELLUS PARTNERS LP	028	AXL	B	50,000	3.59	n/a	10-Jun	1,500.00	3.0
ASCEND PARTNERS	033	BAX	B	50,000	48.25	n/a	22-Apr	2,500.00	5.0
BUCK CAPITAL MGMT	001	BBY	B	120,000	38.69	n/a	27-Jul	4,800.00	4.0
SLS CAPITAL LLC	224	BGP	S	303,000	3.26	n/a	n/a	9,090.00	3.0
BUCK CAPITAL MGMT	001	CAB	SS	10,000	11.12	n/a	n/a	400.00	4.0
MORGAN BANK /JPM	070	CCE	B	122,300	17.07	n/a	n/a	3,669.00	3.0
NEUBERGER BERMAN	036	DLLR	B	35,000	14.00	n/a	n/a	1,575.00	4.5
WEINTRAUB CAPITAL MGT.	040	DSW	SS	5,300	9.95	15-Jun	15-Jun	159.00	3.0
FIRST NEW YORK LEDDY	035	ETFC	B	20,000	1.80	n/a	n/a	1,200.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CENTURION PARTNERS	041	FCX	S	20,000	56.81	n/a	n/a	800.00	4.0
EPOCH INV MGMT	030	FII	B	20,000	26.00	24-Apr	12-Jun	800.00	4.0
WEINTRAUB CAPITAL MGT.	040	FII	S	100,000	26.06	24-Apr	12-Jun	4,000.00	4.0
ROYCE TRADING	216	GCO	S	10,000	21.66	n/a	10-Jan	500.00	5.0
WEINTRAUB CAPITAL MGT.	040	GENZ	S	25,000	56.85	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT	001	GES	B	10,000	25.73	n/a	n/a	400.00	4.0
ENTRUST CAPITAL	040	GE	S	175,900	13.18	n/a	n/a	8,795.00	5.0
ROYCE TRADING	216	GIL	B	50,000	16.70	n/a	15-Jun	2,500.00	5.0
ASCEND PARTNERS	033	GPRO	B	9,300	41.54	n/a	n/a	465.00	5.0
MOORE CAPITAL	036	HTZ	B	50,000	6.53	n/a	n/a	2,000.00	4.0
M&I FUNDS	256	IVZ	S	6,103	18.16	5-Jun	15-Jun	244.12	4.0
BUCK CAPITAL MGMT	001	JCG	B	30,000	25.10	n/a	30-Jan	1,200.00	4.0
CADIAN CAPITAL	067	JCP	S	80,000	28.32	13-Apr	5-Jun	3,200.00	4.0
ADAGE CAPITAL MGMT	030	JWN	B	132,496	20.35	20-Feb	5-Jun	5,299.84	4.0
MORGAN BANK /JPM	070	KO	B	86,600	48.25	n/a	n/a	2,598.00	3.0
SOUTH POINT CAP	067	LAMR	B	15,000	16.85	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	070	LO	B	65,100	66.15	n/a	n/a	1,953.00	3.0
BALYASNY ASSET MGMT	044	MLM	SS	10,000	81.70	n/a	n/a	500.00	5.0
M&I FUNDS	256	MYL	S	30,198	13.33	n/a	10-Jun	1,207.92	4.0
BARCLEYS CAP (OLD LEHM)	041	M	SS	50,000	11.82	n/a	5-Jun	2,000.00	4.0
MORGAN BANK /JPM	070	PG	S	375,370	51.29	5-Jun	5-Jun	11,261.10	3.0
SCOPUS FUND	041	PKG	B	20,000	15.79	8-Apr	21-Apr	1,000.00	5.0
BUCK CAPITAL MGMT	001	PSS	B	50,000	13.38	n/a	n/a	2,000.00	4.0
G WEISS /SASHA	070	PTV	SS	17,319	20.92	n/a	n/a	692.76	4.0
GEORGE WEISS	070	PTV	SS	12,681	20.92	n/a	n/a	507.24	4.0
PRINCIPLE CAPITAL	040	RHI	SS	52,800	21.96	n/a	n/a	1,584.00	3.0
BUCK CAPITAL MGMT	001	RL	B	10,000	52.92	n/a	28-May	400.00	4.0
SCOPUS FUND	041	RYN	B	5,000	36.97	n/a	1-May	250.00	5.0
PHILADELPHIA FINANCIAL	033	TROW	C	13,499	42.12	n/a	5-Jun	539.96	4.0
BALYASNY ASSET MGMT	044	TXI	SS	10,000	32.20	n/a	n/a	500.00	5.0
SEMINOLE CAP	036	UNH	S	200,000	23.69	n/a	n/a	10,000.00	5.0
PORTER-ORLIN-FELDMAN	044	VRSN	B	50,000	18.29	n/a	n/a	1,500.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	WRC	S	30,000	33.63	13-May	13-May	1,200.00	4.0
BUCK CAPITAL MGMT	001	WSM	SS	100,000	12.15	n/a	n/a	4,000.00	4.0
PRINCIPLE CAPITAL	040	WYNN	SS	32,400	34.93	n/a	n/a	972.00	3.0
PORTER-ORLIN-FELDMAN	044	XOM	SS	100,000	72.52	n/a	n/a	3,000.00	3.0
TOTAL (Trade Date):								117,680.94	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/12	IRONBOUND CAPITAL (IROBGS)	012	GS	S	126,200	145.02	11-May	12-Jun	5048.00 4.0
AO,CRT	06/12	IRONBOUND CAPITAL (IROBGS)	012	GS	S	126,200	145.02	11-May	12-Jun	3786.00 3.0
AO,CXL	06/12	HIGHBRIDGE CAPITAL (HIGBUBSNEW)	038	PAYX	B	19,000	27.24	n/a	n/a	950.00 5.0
AO,CRT	06/12	HIGHBRIDGE CAPITAL (HIGBUBSNEW)	038	PAYX	B	19,000	27.24	n/a	n/a	760.00 4.0
AO,CXL	06/10	SCHOTTENFELD ASSOC (SCHOASSOC)	041	TLB	S	50,000	4.90	n/a	30-Jan	2500.00 5.0
AO,CRT	06/10	SCHOTTENFELD ASSOC (SCHOASSOC)	041	TLB	S	50,000	4.90	n/a	30-Jan	1500.00 3.0
AO,CXL	06/12	JP MORGAN VENTURES (JPMVJPM)	046	XRT	S	15,000	27.83	n/a	n/a	750.00 5.0
AO,CRT	06/12	JP MORGAN VENTURES (JPMVJPM)	046	XRT	S	15,000	27.83	n/a	n/a	450.00 3.0

TOTAL (AO, CXL, CRT) :	-2,752.00
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TOTAL (Trade Date) :	117,680.94
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TOTAL (RUN DATE) / NFS :	114,928.94
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION