



# Daily Compliance Activity Report

Trade Date: 04/08/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DSG CAPITAL	225	AKS	S	15,000	8.50	n/a	n/a	750.00	5.0
PRINCIPLE CAPITAL	040	AMZN	S	22,800	76.12	n/a	n/a	912.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>APP</b>	<b>B</b>	<b>150,000</b>	<b>3.58</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
TRUST CO/COWEN/CALF.	040	AXP	B	135,650	15.46	n/a	8-Apr	5,426.00	4.0
BNP PARIBAS SEC	040	BBBY	SS	10,000	28.81	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>SS</b>	<b>3,000</b>	<b>30.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
BASIX	033	BIG	B	32,000	22.65	4-Mar	6-Apr	960.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>BJ</b>	<b>C</b>	<b>100,000</b>	<b>33.76</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>f RAGHAV NAYAR</b>	<b>FID</b>	<b>BONT</b>	<b>S</b>	<b>160</b>	<b>1.61</b>	<b>6-Feb</b>	<b>6-Feb</b>	<b>0.00</b>	<b>0.0</b>
MTB INV ADV	034	BWLD	B	5,412	36.57	n/a	n/a	270.60	5.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>CAT</b>	<b>S</b>	<b>3000</b>	<b>29.30</b>	<b>n/a</b>	<b>27-Jan</b>	<b>0.00</b>	<b>0.0</b>
UBS OCONNOR	031	CAT	SS	50,000	29.60	n/a	27-Jan	1,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>CCL</b>	<b>SS</b>	<b>150,000</b>	<b>23.79</b>	<b>n/a</b>	<b>26-Jan</b>	<b>non-brg</b>	<b>4.0</b>
AVESTA CAP	229	CHK	B	100,000	19.39	n/a	n/a	4,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CHS</b>	<b>B</b>	<b>50,000</b>	<b>6.33</b>	<b>5-Dec</b>	<b>30-Jan</b>	<b>1,500.00</b>	<b>3.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAMBIAR CAP	046	CHTT	B	3,755	53.72	8-Apr	8-Apr	150.20	4.0
DECADE CAPITAL PARTNERS	040	CNW	SS	27,000	18.42	n/a	n/a	1,350.00	5.0
⌘ BUCK CAPITAL MGMT		CTX	S	25,000	9.04	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		CTX	S	25,000	9.85	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		CTX	S	50,000	9.60	n/a	n/a	non-brg	3.0
UBS OCONNOR	031	DE	B	35,000	35.22	26-Jan	19-Feb	1,050.00	3.0
⌘ BUCK CAPITAL MGMT		DIN	C	6,300	14.70	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		DLTR	C	50,000	43.64	10-Feb	6-Apr	non-brg	4.0
JP MORGAN VENTURES	046	DSW	B	30,000	10.55	24-Mar	25-Mar	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		EDGR	B	30,000	0.88	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	ETH	B	20,000	12.51	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	GCO	B	34,800	20.46	n/a	10-Jan	1,392.00	4.0
TOKIO MARINE ASSET MGMT	045	GENZ	S	5,600	55.88	n/a	n/a	224.00	4.0
PORTER-ORLIN-FELDMAN	044	GE	S	150,000	10.49	n/a	n/a	4,500.00	3.0
⌘ BUCK CAPITAL MGMT		GIL	S	158,900	8.73	11-Dec	12-Feb	non-brg	3.0
⌘ BUCK CAPITAL MGMT		GIL	S	25,000	8.63	11-Dec	12-Feb	non-brg	1.5
BALYASNY ASSET MGMT	044	GPS	S	25,000	14.26	n/a	30-Jan	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GQU+HF [GYMB]	SS	1,000	0.68	n/a	n/a	non-brg	100.0
ROYCE TRADING	216	GY	S	25,000	2.24	n/a	n/a	750.00	3.0
⌘ BUCK CAPITAL MGMT		HD	C	100,000	25.03	n/a	25-Feb	non-brg	4.0
FIRST NEW YORK LEDDY	035	HERO	S	10,000	1.78	n/a	n/a	600.00	6.0
11:11 CAPITAL CORP	046	HLS	B	73,000	8.52	n/a	n/a	3,650.00	5.0
⌘ BUCK CAPITAL MGMT		HOG	SS	150,000	15.96	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HVT	C	1,500	10.00	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		HVT	C	23,329	9.98	n/a	n/a	non-brg	1.0
TROUBH PART	067	ITRI	B	35,000	44.46	n/a	n/a	1,750.00	5.0
SOUTH POINT CAP	067	IYH	SS	28,600	48.92	n/a	n/a	1,430.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	S	10,000	34.17	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JOSB	S	80,000	33.97	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	JWN	C	13,190	18.61	20-Feb	3-Apr	527.60	4.0
TROUBH PART	067	LMT	B	15,000	72.44	n/a	n/a	750.00	5.0
BMO NESBITT BURNS	041	LM	S	33,900	16.96	29-Jan	7-Apr	1,695.00	5.0
⌘ BUCK CAPITAL MGMT		LOW	C	20,000	19.21	31-Mar	31-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	LTD	B	50,000	9.52	8-Jan	30-Jan	1,500.00	3.0
CRAMER ROSENTHAL/SCOTT	012	LTD	SS	10,700	9.57	8-Jan	30-Jan	428.00	4.0
⌘ BUCK CAPITAL MGMT		LZB	C	25,500	1.34	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		MCD	C	148,808	55.49	n/a	3-Apr	non-brg	4.0
TOKIO MARINE ASSET MGMT	045	MCD	S	5,600	55.38	n/a	3-Apr	224.00	4.0
TROUBH PART	067	MET	B	10,000	25.39	n/a	n/a	500.00	5.0
TOKIO MARINE ASSET MGMT	045	MMM	S	6,100	51.02	8-Dec	17-Mar	244.00	4.0
JP MORGAN VENTURES	046	MON	B	35,000	80.26	n/a	2-Apr	1,750.00	5.0
RDK CAPITAL MGMT	034	MO	B	25,000	16.35	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		MPEL	B	20,000	4.24	n/a	n/a	non-brg	1.5
⌘ BUCK CAPITAL MGMT		MPEL	B	25,000	4.24	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		MPEL	B	25,000	4.25	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		MPEL	B	30,000	4.25	n/a	n/a	non-brg	2.0
SCOPUS FUND	041	MW	B	29,000	17.22	n/a	n/a	1,450.00	5.0
BARCLEYS CAP (OLD LEHM)	041	M	B	150,000	10.24	n/a	3-Apr	6,000.00	4.0
⌘ BUCK CAPITAL MGMT		M	S	150,000	10.06	n/a	3-Apr	non-brg	4.0
SANDLER O'NEILL ASSET	031	NTRS	S	35,000	57.96	14-Jan	8-Apr	1,750.00	5.0
NEWCASTLE FUNDS	033	NUE	B	25,000	40.61	n/a	n/a	1,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RESURGENCE ASSET MGMT	070	OLN	SS	20,000	15.40	n/a	20-Jun	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>OMX</b>	<b>B</b>	<b>150,000</b>	<b>3.31</b>	<b>n/a</b>	<b>15-Apr</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>OMX</b>	<b>B</b>	<b>50,000</b>	<b>3.57</b>	<b>n/a</b>	<b>15-Apr</b>	<b>non-brg</b>	<b>2.0</b>
CENTURION GLOBAL MGMT LL	041	OVTI	S	30,000	8.13	n/a	n/a	900.00	3.0
TRELLUS PARTNERS LP	028	PCAR	SS	15,000	28.44	25-Mar	25-Mar	750.00	5.0
TOKIO MARINE ASSET MGMT	045	PG	S	6,400	48.52	12-Mar	20-Mar	256.00	4.0
RESURGENCE ASSET MGMT	070	PH	SS	7,500	36.32	n/a	5-Mar	375.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PII</b>	<b>SS</b>	<b>20,000</b>	<b>24.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PKG</b>	<b>S</b>	<b>81,800</b>	<b>12.77</b>	<b>8-Apr</b>	<b>8-Apr</b>	<b>non-brg</b>	<b>4.0</b>
SCOPUS FUND	041	PKG	SS	20,000	12.73	8-Apr	8-Apr	1,000.00	5.0
ROYCE TRADING	216	PMC	S	600	16.20	n/a	n/a	30.00	5.0
ROSEDALE CAPITAL	046	PNC	S	10,000	31.38	n/a	n/a	500.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PTRAX</b>	<b>B</b>	<b>41</b>	<b>10.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>QXM</b>	<b>B</b>	<b>700</b>	<b>2.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>10,000</b>	<b>44.21</b>	<b>n/a</b>	<b>5-Feb</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ROST</b>	<b>C</b>	<b>78,157</b>	<b>37.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	RRGB	B	16,000	19.36	n/a	n/a	800.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>RT</b>	<b>B</b>	<b>1,100</b>	<b>5.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>RT</b>	<b>B</b>	<b>98,900</b>	<b>5.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>SDS</b>	<b>S</b>	<b>1000</b>	<b>72.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DAVIDSON KEMPNER ADVISOR	040	SLM	SS	25,000	5.86	27-Feb	8-Apr	1,250.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>SPLS</b>	<b>B</b>	<b>100,000</b>	<b>18.99</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>
CUMBERLAND	038	SPY	SS	5,000	82.07	n/a	n/a	200.00	4.0
SOUTH POINT CAP	067	SPY	B	17,000	82.34	n/a	n/a	850.00	5.0
MILLENIUM KOZLOW	028	TIN	B	30,000	5.40	5-Dec	8-Apr	1,200.00	4.0
NEWLAND CAPITAL MGT	067	TIN	B	25,000	5.39	5-Dec	8-Apr	750.00	3.0
NWQ INVESTMENT MGMT CO	048	TIN	S	70,400	5.41	5-Dec	8-Apr	2,112.00	3.0
TRELLUS PARTNERS LP	028	TIN	B	100,000	5.40	5-Dec	8-Apr	3,000.00	3.0
MTB INV ADV	034	TXRH	B	29,825	10.34	n/a	n/a	1,491.25	5.0
<b>f</b> JONATHAN RICHARD HAR	<b>FID</b>	<b>TZA</b>	<b>B</b>	<b>11</b>	<b>43.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	WDR	B	33,700	17.57	n/a	7-Apr	1,685.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>WMAR</b>	<b>C</b>	<b>10,000</b>	<b>5.23</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
TOKIO MARINE ASSET MGMT	045	WMT	S	5,900	52.67	n/a	6-Apr	236.00	4.0
BUCK CAPITAL MGMT	<b>001</b>	<b>WRC</b>	<b>S</b>	<b>100,000</b>	<b>25.45</b>	<b>27-Mar</b>	<b>27-Mar</b>	<b>4,000.00</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GREENLIGHT CAPITAL	041	XLFX	B	500,000	9.17	n/a	n/a	15,000.00	3.0
BUCK CAPITAL MGMT		XRT	C	180,000	24.14	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ZQK	S	102,700	1.18	12-Mar	12-Mar	non-brg	1.0
BUCK CAPITAL MGMT		ZQK	S	150,000	1.18	12-Mar	12-Mar	non-brg	2.0
TOTAL (Trade Date):								92,718.65	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/07	PHILADELPHIA FINANCIAL	033	GBL	B	12,610	35.93	n/a	7-Apr	504.40 4.0
AO,	04/07	COLUMBUS CIRCLE	036	MS	B	5,700	24.29	18-Dec	8-Apr	228.00 4.0
AO,	04/07	COLUMBIA PARTNERS	028	PLD	S	32,690	7.53	n/a	n/a	980.70 3.0
AO,	04/07	ROYCE TRADING	216	PMC	S	9,400	16.17	n/a	n/a	470.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>2,183.10</b>
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TOTAL (Trade Date) :	92,718.65
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TOTAL (AO, CXL, CRT) :	2,183.10
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>94,901.75</b>
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MRI Securities with Trade Activity on 8-Apr. <b>CHTT, PKG</b>
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MRI Securities without Trade Activity on 8-Apr. <b>AEO, PRX</b>
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BRG comm. as a % of BCM Total Comm. paid.
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T-Date = 11%

YTD = 23%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**

**f: Employee Trades (Fidelity)**