



Daily Compliance Activity Report

Trade Date: 05/16/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CIRCLE T/SES PART	033	AAP	B	2,500	70.59	n/a	n/a	100.00	4.0
TRUSTCO/SUNTRUST	040	ACI	B	25,000	28.91	n/a	n/a	875.00	3.5
PZENA INV ADV	044	AET	S	4,125	44.29	n/a	n/a	144.38	3.5
BNP PARIBAS SEC	040	ANF	B	20,000	72.65	n/a	6-May	1,000.00	5.0
BUCK CAPITAL MGMT	001	ANN	B	66,300	31.21	n/a	n/a	1,989.00	3.0
ß BUCK CAPITAL MGMT		ANN	B	20,000	30.69	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		ANN	B	42,100	30.66	n/a	n/a	non-brg	0.8
ADAGE CAPITAL MGMT	030	AOS	S	11,120	41.00	n/a	16-May	333.60	3.0
ß BUCK CAPITAL MGMT		APO	B	1,700	17.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	B	100	17.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	B	18,200	17.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	B	2,000	17.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	B	2,200	17.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	B	2,600	17.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	B	600	17.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		APO	B	800	17.88	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	ARCO	B	1000	21.89	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ASCA	S	15,000	22.83	n/a	13-Jan	non-brg	2.5
BALYASNY ASSET MGMT	044	ASNA	SS	25,000	31.30	n/a	n/a	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	BAC	B	4000	12.07	n/a	15-Apr	0.00	0.0
f ALANA J A MADIGAN-SI	FID	BGZ	B	500	34.05	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BID	B	12,500	40.11	n/a	n/a	375.00	3.0
OMEGA ADVISORS	041	BKS	B	200,000	13.78	n/a	n/a	8,000.00	4.0
B BUCK CAPITAL MGMT		BODY	B	15,000	24.42	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	CLX	SS	5,000	70.71	n/a	9-May	250.00	5.0
KACELA CAPITAL	034	COF	B	25,000	53.72	n/a	16-May	1,000.00	4.0
B BUCK CAPITAL MGMT		DDS	B	20,000	55.12	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DDS	B	43,700	55.12	n/a	n/a	non-brg	4.0
CONIFER/FIRESTORM/BC	033	DDS	SS	5,000	55.11	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		DSW	B	30,000	44.18	n/a	11-Feb	non-brg	2.5
f KAREN F LEDDY	FID	EGO	B	1500	15.19	n/a	n/a	0.00	0.0
ROYCE TRADING	012	FINL	B	14,500	21.95	n/a	10-May	725.00	5.0
BNP PARIBAS SEC	040	FL	B	25,000	22.60	n/a	12-May	1,250.00	5.0
B BUCK CAPITAL MGMT		GCO	B	20,000	41.11	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GCO	B	22,500	41.13	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GCO	B	7,500	41.17	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	GGP	B	3000	16.27	n/a	n/a	0.00	0.0
OZ MGMT	040	GM	C	29,313	31.26	n/a	6-May	879.39	3.0
f DAVID GEORGE BUCK	FID	HBI	S	1500	31.60	n/a	21-Apr	0.00	0.0
MATRIX ASSET ADV.	091	HRS	B	4,000	48.54	n/a	4-May	160.00	4.0
f DAVID GEORGE BUCK	FID	HS	S	2000	44.50	n/a	n/a	0.00	0.0
f MARK ANTHONY GRONET	FID	IGD	B	4000	11.13	n/a	n/a	0.00	0.0
f MARK ANTHONY GRONET	FID	IGD	B	4000	11.13	n/a	n/a	0.00	0.0
f BERNA GABRIELLE BARS	FID	INTC	B	500	23.68	n/a	n/a	0.00	0.0
PIONEER PATH	028	INTC	SS	84,246	23.70	n/a	n/a	2,527.38	3.0
FIRST NEW YORK FRAN	028	IP	B	25,000	31.65	n/a	28-Apr	1,000.00	4.0
B BUCK CAPITAL MGMT		IWO	C	49,915	93.84	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		LL	S	65,000	25.97	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	LOW	S	5,000	24.90	n/a	16-May	250.00	5.0
GRYPHON CAPITAL MGMT	084	LOW	B	50,000	25.02	n/a	16-May	1,500.00	3.0
DAVIDSON KEMPNER ADVISOR	040	LPX	S	34,585	8.53	n/a	10-May	1,729.25	5.0
f DAVID GEORGE BUCK	FID	MCD	B	800	80.84	n/a	9-May	0.00	0.0
B BUCK CAPITAL MGMT		MNRO	S	10,000	29.29	n/a	n/a	non-brg	2.5

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		MNRO	S	30,000	29.28	n/a	n/a	non-brg	2.5
B	BUCK CAPITAL MGMT		MNRO	S	30,000	29.39	n/a	n/a	non-brg	4.0
	PIONEER PATH	028	MU	B	67,695	10.43	n/a	n/a	2,030.85	3.0
	BMO NESBITT BURNS	041	MYL	S	7,000	23.67	n/a	16-May	210.00	3.0
f	MARK ANTHONY GRONET	FID	NM	S	6000	5.32	n/a	n/a	0.00	0.0
	SANDLER CAPITAL	028	PH	SS	80,000	86.95	n/a	28-Apr	4,000.00	5.0
	TRUSTCO/SUNTRUST	040	PH	B	19,250	87.69	n/a	28-Apr	673.75	3.5
	ROYCE TRADING	012	PLCE	B	14,500	54.71	n/a	13-May	725.00	5.0
	M&I FUNDS	047	PPG	S	9,638	89.53	n/a	6-May	385.52	4.0
f	ALICE BEEBE LONGLEY	FID	PTTDX	B	27199	11.03	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	PVH	B	30,000	68.70	n/a	25-Apr	900.00	3.0
B	BUCK CAPITAL MGMT		PVH	B	35,000	68.25	n/a	25-Apr	non-brg	4.0
B	BUCK CAPITAL MGMT		SHOO	S	20,000	54.31	n/a	n/a	non-brg	4.0
	RIDGECREST INV MGMT LLC	028	SPY	SS	40,000	134.42	n/a	n/a	2,000.00	5.0
	PERRY PARTNERS	085	SUN	B	52,122	39.93	n/a	n/a	2,606.10	5.0
	MERRILL ASSET/BLACKROCK	297	SUSQ	S	115,480	8.65	n/a	n/a	4,619.20	4.0
	FIRST NEW YORK LEDDY	035	S	B	20,000	5.16	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARK ANTHONY GRONET	FID	TEU	S	2000	10.11	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TGT	S	100,000	50.52	n/a	11-May	non-brg	4.0
B BUCK CAPITAL MGMT		TGT	S	132,274	50.49	n/a	11-May	non-brg	4.0
B BUCK CAPITAL MGMT		TGT	S	200,000	50.42	n/a	11-May	non-brg	4.0
BASIX	033	TIN	B	45,000	24.09	n/a	20-Apr	1,350.00	3.0
LEVIN CAPITAL STRATEGIES	012	VC	B	25,000	62.29	n/a	n/a	750.00	3.0
LISTED ERR	099	VC	B	4,700	62.26	n/a	n/a	0.00	0.0
LISTED ERR	099	VC	S	4,700	62.26	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	VNO	B	800	95.80	n/a	n/a	0.00	0.0
f ANTHONY SUTERA	FID	WCRX	S	1000	25.51	n/a	16-May	0.00	0.0
BMO NESBITT BURNS	041	WCRX	SS	6,000	25.09	n/a	16-May	180.00	3.0
SIGMA CAPITAL ASSOCIATES	041	WRC	SS	25,000	56.13	n/a	6-May	875.00	3.5
B BUCK CAPITAL MGMT		XRT	C	44,900	53.43	n/a	n/a	non-brg	2.0
TOTAL (Trade Date):								47,643.42	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/13	AMBER CAPITAL	046	S	SS	350,000	5.10	n/a	n/a	7000.00 2.0
TOTAL (AO, CXL, CRT) :										7,000.00

TOTAL (Trade Date):	47,643.42
TOTAL (AO, CXL, CRT):	7,000.00

TOTAL (RUN DATE) / NFS:	54,643.42
--------------------------------	------------------

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 16-May.
NONE

MRI Securities without Trade Activity on 16-May.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 9%
YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)