



Daily Compliance Activity Report

Trade Date: 08/16/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		\$\$EURUSD	SS	389,257	1.28	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		ABG	S	40,000	12.79	n/a	4-Aug	non-brg	4.0
SIGMA CAP BOBBY	044	ADM	S	25,000	30.15	n/a	3-Aug	875.00	3.5
B BUCK CAPITAL MGMT		AEO	B	100,000	12.14	n/a	6-Aug	non-brg	0.8
B BUCK CAPITAL MGMT		AEO	B	200,000	12.20	n/a	6-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	B	200,000	12.21	n/a	6-Aug	non-brg	2.0
WADDELL AND REED	047	AMAT	S	420,820	11.25	n/a	n/a	12,624.60	3.0
B BUCK CAPITAL MGMT		ANF	B	20,000	37.49	n/a	6-Aug	non-brg	4.0
PARTNER REINSURANCE	033	ANF	S	19,800	37.69	n/a	6-Aug	792.00	4.0
B BUCK CAPITAL MGMT		ANN	S	50,000	15.69	n/a	n/a	non-brg	2.0
f CHRISTOPHER ROBERT C	FID	AONE	S	100	7.17	n/a	n/a	0.00	0.0
MTB INV ADV	034	ARM	S	35,800	14.42	n/a	n/a	1,790.00	5.0
B BUCK CAPITAL MGMT		ARO	B	110,000	23.54	n/a	6-Aug	non-brg	0.8
B BUCK CAPITAL MGMT		ARO	B	75,000	23.46	n/a	6-Aug	non-brg	4.0
DSG CAPITAL	225	ARO	SS	6,000	23.11	n/a	6-Aug	180.00	3.0
EXIS CAPITAL	044	ARO	SS	15,000	23.49	n/a	6-Aug	750.00	5.0
ISLE RIDGE PARTNERS	041	AXL	B	45,700	9.22	n/a	4-Aug	1,828.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	AXL	S	23,350	9.23	n/a	4-Aug	700.50	3.0
B BUCK CAPITAL MGMT		BBY	S	228,200	33.05	n/a	n/a	non-brg	4.0
KLEINHEINZ CAP PART	031	BRCM	SS	150,000	31.35	n/a	n/a	7,500.00	5.0
FIRST NEW YORK LEDDY	035	BSX	S	20,000	5.47	n/a	n/a	800.00	4.0
f CHRISTOPHER ROBERT C	FID	CHK	S	150	20.85	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	COF	S	100	38.83	n/a	16-Aug	0.00	0.0
B BUCK CAPITAL MGMT		COH	S	30,000	37.42	n/a	4-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		CROX	S	50,000	12.90	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	CVS	B	19,910	28.66	n/a	n/a	796.40	4.0
f DAVID GEORGE BUCK	FID	CVX	B	500	77.55	n/a	n/a	0.00	0.0
MTB INV ADV	034	DAN	S	12,950	11.21	n/a	2-Aug	647.50	5.0
f DAVID GEORGE BUCK	FID	DO	B	20	0.47	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	DO	S	2000	61.12	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	DRC	S	6,905	36.02	n/a	n/a	345.25	5.0
f CHRISTOPHER ROBERT C	FID	DRYS	S	75	4.43	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	DVN	S	50	63.39	n/a	11-Aug	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	DVY	B	400	44.60	n/a	n/a	20.00	5.0
f CHRISTOPHER ROBERT C	FID	EGT	S	2000	0.24	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	EWG	B	500	20.19	n/a	n/a	25.00	5.0
B BUCK CAPITAL MGMT		EXPR	B	50,000	14.82	n/a	n/a	non-brg	4.0
f ANN BETTENDORF FREEM	FID	FASM	S	1500	14.05	n/a	n/a	0.00	0.0
SIGMA CAP BOBBY	044	FCX	S	16,600	71.16	n/a	n/a	581.00	3.5
f CHRISTOPHER ROBERT C	FID	FINL	S	300	12.87	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	FL	B	49,050	12.56	n/a	13-Aug	2,452.50	5.0
B BUCK CAPITAL MGMT		FOSL	B	10,000	43.72	n/a	n/a	non-brg	4.0
f MITCHELL JAMES SPEIS	FID	FSNXX	S	5000	1.00	n/a	n/a	0.00	0.0
SMITH MGMT LLC	029	FWS	S	50,000	4.21	n/a	n/a	1,500.00	3.0
TRELLUS PARTNERS LP	028	F	B	100,000	11.97	n/a	4-Aug	3,000.00	3.0
BUCK CAPITAL MGMT	001	GCO	B	20,000	25.14	n/a	n/a	800.00	4.0
SIGMA CAP BOBBY	044	GE	B	50,000	15.48	n/a	n/a	1,750.00	3.5
BUCK CAPITAL MGMT	001	GIL	B	10,000	29.66	n/a	13-Aug	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f CHRISTOPHER ROBERT C	FID	GLRE	S	200	23.23	n/a	n/a	0.00	0.0
WILLIAM BLAIR	031	G	S	9,100	13.88	n/a	n/a	364.00	4.0
B BUCK CAPITAL MGMT		HD	C	110,000	27.65	n/a	13-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		HMB SS	C	40,000	32.38	n/a	n/a	non-brg	4.9
PATTEN & PATTEN	067	IAU	B	6,000	11.99	n/a	n/a	300.00	5.0
f CHRISTOPHER ROBERT C	FID	ICENX	S	283	15.63	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	IJH	B	500	73.53	n/a	n/a	25.00	5.0
f DAVID GEORGE BUCK	FID	IWF	S	3000	48.04	n/a	n/a	0.00	0.0
SIGMA CAP BOBBY	044	IWM	SS	50,000	61.80	n/a	n/a	1,750.00	3.5
ISLE RIDGE PARTNERS	041	JCI	S	50,000	28.11	n/a	23-Jul	2,000.00	4.0
PZENA INV ADV	044	JCP	B	15,200	19.65	n/a	13-Aug	532.01	3.5
EXIS CAPITAL	044	JNY	B	5,000	16.40	n/a	29-Jul	250.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	50,000	30.47	n/a	13-Aug	2,000.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	20,000	44.54	n/a	13-Aug	800.00	4.0
f CHRISTOPHER ROBERT C	FID	KSS	S	150	44.25	n/a	13-Aug	0.00	0.0
DSG CAPITAL	225	KSS	SS	3,000	44.57	n/a	13-Aug	90.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		LOW	C	55,000	20.24	n/a	16-Aug	non-brg	4.0
T. ROWE PRICE	033	LPX	B	85,100	6.74	n/a	5-Aug	1,702.00	2.0
f DAVID GEORGE BUCK	FID	MON	B	1000	57.94	n/a	12-Aug	0.00	0.0
DSG CAPITAL	225	M	B	6,000	20.11	n/a	12-Aug	180.00	3.0
f CHRISTOPHER ROBERT C	FID	NBRXF	S	300	10.56	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	NPRTX	S	312	22.73	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	NPRTX	S	517	22.73	n/a	n/a	0.00	0.0
WILLIAM BLAIR	031	OFI	S	29,412	4.25	n/a	n/a	882.36	3.0
B BUCK CAPITAL MGMT		PAG	S	125,000	12.52	n/a	4-Aug	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	PAG	S	100	12.45	n/a	4-Aug	0.00	0.0
ISLE RIDGE PARTNERS	041	PENN	SS	55,000	28.09	n/a	n/a	2,200.00	4.0
PATTEN & PATTEN	067	PFM	B	4,000	12.56	n/a	n/a	200.00	5.0
B BUCK CAPITAL MGMT		PLCE	B	80,000	40.91	n/a	16-Aug	non-brg	4.0
f DAVID GEORGE BUCK	FID	PNRA	B	1500	74.05	n/a	28-Jul	0.00	0.0
f CHRISTOPHER ROBERT C	FID	PRXI	S	1500	1.73	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	RF	S	1300	7.10	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RL	B	10,000	80.26	n/a	5-Aug	400.00	4.0
f CHRISTOPHER ROBERT C	FID	RRR	S	750	6.84	n/a	23-Jul	0.00	0.0
f DAVID GEORGE BUCK	FID	RYN	B	500	47.71	n/a	9-Aug	0.00	0.0
Õ Nilsa Vazquez		SBUX	B	200	24.01	n/a	22-Jul	0.00	0.0
BAIRD INVESTMENT	047	SJM	B	4,175	58.30	n/a	n/a	208.75	5.0
IRIDIAN ASSET MGMT.	036	STX	B	32,670	11.34	n/a	n/a	1,633.50	5.0
ß BUCK CAPITAL MGMT		SWN	B	15,000	33.54	n/a	6-Aug	non-brg	4.0
MTB INV ADV	034	TEN	S	8,300	26.39	n/a	n/a	415.00	5.0
f DAVID GEORGE BUCK	FID	TGT	B	1000	50.73	n/a	16-Aug	0.00	0.0
BMO NESBITT BURNS	041	TIN	B	25,000	18.35	n/a	27-Jul	1,250.00	5.0
f CHRISTOPHER ROBERT C	FID	TSPCX	S	700	10.61	n/a	n/a	0.00	0.0
HARRIER HAWK MGMT	084	VRX	S	7,680	57.48	n/a	n/a	384.00	5.0
LISTED ERR	099	VRX	B	2,536	57.51	n/a	n/a	0.00	0.0
LISTED ERR	099	VRX	S	2,536	57.48	n/a	n/a	0.00	0.0
LATEFF MGMT	035	WCRX	S	9,500	29.01	n/a	16-Aug	760.00	8.0
SIGMA CAP BOBBY	044	WERN	B	15,000	21.03	n/a	n/a	525.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f CHRISTOPHER ROBERT C	FID	XLE	S	100	53.36	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		XRT	SS	30,800	37.00	n/a	n/a	non-brg	0.8
SIGMA CAP BOBBY	044	XRT	SS	25,000	36.99	n/a	n/a	875.00	3.5
TOTAL (Trade Date) :								59,884.37	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/13	COLUMBUS CIRCLE	036	ILMN	B	2,809	46.12	n/a	n/a	112.36 4.0
AO,CRT	08/13	MANNING & NAPIER	034	SCHW	B	2,190	14.64	n/a	n/a	65.70 3.0
AO,CXL	08/13	MANNING & NAPIER	034	SCHW	B	2,190	14.64	n/a	n/a	65.70 3.0
AO,	08/13	DAVIDSON KEMPNER ADVISOR	040	SPY	SS	5,130	108.59	n/a	n/a	256.50 5.0
TOTAL (AO, CXL, CRT) :										368.86

TOTAL (Trade Date) :	59,884.37
TOTAL (AO, CXL, CRT) :	368.86

TOTAL (RUN DATE) / NFS:	60,253.23
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MRI Securities with Trade Activity on 16-Aug. NONE

MRI Securities without Trade Activity on 16-Aug. SUP

BRG comm. as a % of BCM Total Comm. paid. T-Date = 6% YTD = 10%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)