



Daily Compliance Activity Report

Trade Date: 11/24/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	AB	B	11,500	76.95	25-Oct	22-Nov	575.00	5.0
PATTEN & PATTEN	067	AB	B	125	76.86	25-Oct	22-Nov	6.25	5.0
CHARTER OAK	037	ACMR	B	10,000	21.73	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	30,000	70.25	26-Oct	15-Nov	1,200.00	4.0
ENDEAVOUR CAPITAL	035	AVZ	B	78,000	21.59	17-Nov	22-Nov	3,900.00	5.0
PATTEN & PATTEN	067	BAC	B	125	54.45	19-Jul	20-Nov	6.25	5.0
ELM RIDGE ADVISORS	044	BBI	S	116,300	5.39	n/a	n/a	3,489.00	3.0
PATTEN & PATTEN	067	BHP	B	200	40.77	n/a	n/a	10.00	5.0
PATTEN & PATTEN	067	CBS	B	600	30.46	n/a	n/a	30.00	5.0
ELM RIDGE ADVISORS	044	CEM	B	291,300	9.54	n/a	n/a	8,739.00	3.0
BUCK CAPITAL MGMT	001	COH	S	50,000	42.57	25-Oct	25-Oct	2,000.00	4.0
BUCK CAPITAL MGMT	001	CRI	B	25,000	28.38	n/a	n/a	1,000.00	4.0
BEAR STEARNS ASSET MGMT.	033	CVS	S	100,000	27.81	n/a	n/a	4,000.00	4.0
PATTEN & PATTEN	067	D	S	175	80.98	n/a	n/a	8.75	5.0
PATTEN & PATTEN	067	ECL	B	200	46.05	n/a	20-Oct	10.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	EZU	B	100	101.70	n/a	n/a	5.00	5.0
PATTEN & PATTEN	067	GLD	B	100	63.55	n/a	n/a	5.00	5.0
LISTED ERR	099	JCG	B	67	40.51	25-Aug	22-Nov	0.00	0.0
J L F ASSET MGMT	039	JWN	S	20,000	49.95	2-Nov	21-Nov	800.00	4.0
BUCK CAPITAL MGMT	001	KSS	S	70,000	71.06	9-Nov	10-Nov	2,800.00	4.0
PATTEN & PATTEN	067	LEH	B	125	76.57	n/a	13-Nov	6.25	5.0
PATTEN & PATTEN	067	MAV	B	600	15.27	n/a	n/a	30.00	5.0
PATTEN & PATTEN	067	NEM	B	375	45.85	n/a	n/a	18.75	5.0
TUDOR INVESTORS	030	NT	B	500,000	2.13	n/a	22-Mar	15,000.00	3.0
BUCK CAPITAL MGMT	001	RL	S	10,000	77.24	9-Nov	9-Nov	400.00	4.0
PATTEN & PATTEN	067	SLB	B	150	65.54	n/a	n/a	7.50	5.0
PATTEN & PATTEN	067	T	S	600	32.80	20-Sep	20-Nov	30.00	5.0
BUCK CAPITAL MGMT	001	TBL	S	30,000	32.04	14-Nov	15-Nov	1,200.00	4.0
COUGAR TRADING	044	WMT	B	5,000	47.67	5-Oct	15-Nov	250.00	5.0
ENDEAVOUR CAPITAL	035	XL	S	24,000	71.73	n/a	n/a	1,200.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	ZQK	S	60,000	14.48	8-Sep	8-Sep	2,400.00	4.0
TOTAL (Trade Date):								49,626.75	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	11/22	ELM RIDGE ADVISORS (ELMRCIT.04)	044	ATHR	S	63,500	23.26	n/a	n/a	2540.00 4.0
AO,	11/22	ELM RIDGE ADVISORS (ELMRCIT.04)	044	ATHR	S	63,500	23.26	n/a	n/a	2540.00 4.0
AO,	11/22	GALLEON MGMT LP (GALLMAIN05)	033	BSX	B	100,000	16.57	n/a	22-Apr	5000.00 5.0
AO,	11/22	LISTED ERR (LISTED ERR)	099	JCG	S	67	40.29	25-Aug	22-Nov	0.00 0.0
AO,CRT	11/22	MKT MAKER (MKT MAKER)	097	JOSB	B	70	30.89	n/a	n/a	0.00 0.0
AO,	11/22	MISSOURI VALLEY PART (MISS119-5)	046	JOSB	B	2,260	30.82	n/a	n/a	113.00 5.0
AO,	11/22	MISSOURI VALLEY PART (MISS108-5)	046	JOSB	B	2,580	30.82	n/a	n/a	129.00 5.0
AO,	11/22	MISSOURI VALLEY PART (MISS199)	046	JOSB	B	10	30.82	n/a	n/a	0.50 5.0
AO,	11/22	MISSOURI VALLEY PART (MISS95-5)	046	JOSB	B	710	30.82	n/a	n/a	35.50 5.0
AO,	11/22	MISSOURI VALLEY PART (MISS94-5)	046	JOSB	B	145	30.82	n/a	n/a	7.25 5.0
AO,	11/22	MISSOURI VALLEY PART (MISS19-5)	046	JOSB	B	1,005	30.82	n/a	n/a	50.25 5.0
AO,	11/22	MISSOURI VALLEY PART (MISS09-5)	046	JOSB	B	20	30.82	n/a	n/a	1.00 5.0
AO,	11/22	MISSOURI VALLEY PART (MISS08-5)	046	JOSB	B	140	30.82	n/a	n/a	7.00 5.0
AO,	11/22	SENECA CAPITAL LP NY (SENE)	028	MO	S	42,000	84.23	n/a	n/a	2100.00 5.0
AO,	11/22	TRIBECA (TRIBMS4CEN)	038	NITE	B	10,000	18.34	n/a	n/a	400.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB43)	046	SYI	S	1,700	35.67	12-Sep	31-Oct	68.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB69)	046	SYI	S	200	35.67	12-Sep	31-Oct	8.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB743)	046	SYI	S	200	35.67	12-Sep	31-Oct	8.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB75)	046	SYI	S	200	35.67	12-Sep	31-Oct	8.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB27)	046	SYI	S	300	35.67	12-Sep	31-Oct	12.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB713)	046	SYI	S	100	35.67	12-Sep	31-Oct	4.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB682)	046	SYI	S	900	35.67	12-Sep	31-Oct	36.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	11/22	CAMBIAR CAP (CAMB28)	046	SYN	S	200	35.67	12-Sep	31-Oct	8.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB520)	046	SYN	S	500	35.67	12-Sep	31-Oct	20.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB712)	046	SYN	S	200	35.67	12-Sep	31-Oct	8.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB694)	046	SYN	S	400	35.67	12-Sep	31-Oct	16.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB525)	046	SYN	S	500	35.67	12-Sep	31-Oct	20.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB714)	046	SYN	S	400	35.67	12-Sep	31-Oct	16.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB704)	046	SYN	S	25	35.67	12-Sep	31-Oct	1.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB526)	046	SYN	S	1,000	35.67	12-Sep	31-Oct	40.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB598)	046	SYN	S	10	35.67	12-Sep	31-Oct	0.40 4.0
AO,	11/22	CAMBIAR CAP (CAMB710)	046	SYN	S	150	35.67	12-Sep	31-Oct	6.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB26)	046	SYN	S	100	35.67	12-Sep	31-Oct	4.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB594)	046	SYN	S	300	35.67	12-Sep	31-Oct	12.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB716)	046	SYN	S	160	35.67	12-Sep	31-Oct	6.40 4.0
AO,	11/22	CAMBIAR CAP (CAMB25)	046	SYN	S	200	35.67	12-Sep	31-Oct	8.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB521)	046	SYN	S	10	35.67	12-Sep	31-Oct	0.40 4.0
AO,	11/22	CAMBIAR CAP (CAMB717)	046	SYN	S	100	35.67	12-Sep	31-Oct	4.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB21)	046	SYN	S	1,100	35.67	12-Sep	31-Oct	44.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB507)	046	SYN	S	10	35.67	12-Sep	31-Oct	0.40 4.0
AO,	11/22	CAMBIAR CAP (CAMB728)	046	SYN	S	45	35.67	12-Sep	31-Oct	1.80 4.0
AO,	11/22	CAMBIAR CAP (CAMB20)	046	SYN	S	1,800	35.67	12-Sep	31-Oct	72.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB508)	046	SYN	S	30	35.67	12-Sep	31-Oct	1.20 4.0
AO,	11/22	CAMBIAR CAP (CAMB729)	046	SYN	S	50	35.67	12-Sep	31-Oct	2.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB10)	046	SYN	S	310	35.67	12-Sep	31-Oct	12.40 4.0
AO,	11/22	CAMBIAR CAP (CAMB30)	046	SYN	S	100	35.67	12-Sep	31-Oct	4.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB730)	046	SYN	S	40	35.67	12-Sep	31-Oct	1.60 4.0
AO,	11/22	CAMBIAR CAP (CAMB10)	046	SYN	S	7,150	35.67	12-Sep	31-Oct	286.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB204)	046	SYN	S	250	35.67	12-Sep	31-Oct	10.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB731)	046	SYN	S	50	35.67	12-Sep	31-Oct	2.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB6)	046	SYN	S	100	35.67	12-Sep	31-Oct	4.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB18)	046	SYN	S	200	35.67	12-Sep	31-Oct	8.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	11/22	CAMBIAR CAP (CAMB732)	046	SYN	S	40	35.67	12-Sep	31-Oct	1.60 4.0
AO,	11/22	CAMBIAR CAP (CAMB400)	046	SYN	S	150	35.67	12-Sep	31-Oct	6.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB527)	046	SYN	S	30	35.67	12-Sep	31-Oct	1.20 4.0
AO,	11/22	CAMBIAR CAP (CAMB733)	046	SYN	S	70	35.67	12-Sep	31-Oct	2.80 4.0
AO,	11/22	CAMBIAR CAP (CAMB29)	046	SYN	S	100	35.67	12-Sep	31-Oct	4.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB501)	046	SYN	S	200	35.67	12-Sep	31-Oct	8.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB734)	046	SYN	S	90	35.67	12-Sep	31-Oct	3.60 4.0
AO,	11/22	CAMBIAR CAP (CAMB409)	046	SYN	S	100	35.67	12-Sep	31-Oct	4.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB469)	046	SYN	S	100	35.67	12-Sep	31-Oct	4.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB681)	046	SYN	S	70	35.67	12-Sep	31-Oct	2.80 4.0
AO,	11/22	CAMBIAR CAP (CAMB65)	046	SYN	S	4,400	35.67	12-Sep	31-Oct	176.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB472)	046	SYN	S	500	35.67	12-Sep	31-Oct	20.00 4.0
AO,	11/22	CAMBIAR CAP (CAMB741)	046	SYN	S	50	35.67	12-Sep	31-Oct	2.00 4.0
AO,	11/22	HARVEST MGMT (HARVNEUBER)	039	WMT	S	24,680	48.03	5-Oct	15-Nov	1234.00 5.0
AO,CXL	11/22	HARVEST MGMT (HARVGSCO)	039	WMT	S	40,000	48.03	5-Oct	15-Nov	2000.00 5.0
AO,	11/22	HARVEST MGMT (HARVGSCO)	039	WMT	S	15,320	48.03	5-Oct	15-Nov	766.00 5.0
AO,	11/22	EGS ASSOCIATES LP (EGASBANC O)	059	WRNC	B	3,200	25.58	2-Nov	2-Nov	192.00 6.0
AO,CXL	11/22	EGS ASSOCIATES LP (EGASBANC O)	059	WRNC	B	3,200	25.59	2-Nov	2-Nov	192.00 6.0
TOTAL (AO, CXL, CRT) :									8,843.10	

TOTAL (Trade Date):	49,626.75
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TOTAL (RUN DATE) / NFS: 58,469.85

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.