



## Daily Compliance Activity Report

Trade Date: 04/02/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	AIQ	S	45,000	8.72	n/a	n/a	1,800.00	4.0
MAGNETAR FINANCIAL	247	ASF	B	25,000	35.19	n/a	n/a	1,000.00	4.0
IRIDIAN ASSET MGMT.	036	ASH	S	8,400	65.02	4-Jan	22-Mar	420.00	5.0
<b>BARR</b>	<b>096</b>	<b>AVNX</b>	<b>S</b>	<b>5,450</b>	<b>1.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
STERLING JOHNSTON	035	BONT	S	13,700	57.07	9-Mar	16-Mar	685.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>BONT</b>	<b>S</b>	<b>20</b>	<b>57.07</b>	<b>9-Mar</b>	<b>16-Mar</b>	<b>0.00</b>	<b>0.0</b>
IRIDIAN ASSET MGMT.	036	BOW	S	6,600	24.01	n/a	28-Mar	330.00	5.0
CAMBIAR CAP	046	BRL	B	33,200	46.00	1-Mar	2-Apr	1,328.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CBK</b>	<b>B</b>	<b>20,000</b>	<b>19.53</b>	<b>30-Mar</b>	<b>30-Mar</b>	<b>800.00</b>	<b>4.0</b>
VISIUM/BALY HOWARD	038	CBK	SS	5,000	19.30	30-Mar	30-Mar	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CHS</b>	<b>B</b>	<b>20,000</b>	<b>24.49</b>	<b>27-Mar</b>	<b>27-Mar</b>	<b>800.00</b>	<b>4.0</b>
EOS PARTNERS	040	CME	S	2,000	542.85	n/a	23-Mar	100.00	5.0
HIGHBRIDGE CAPITAL	038	CRL	B	15,000	46.12	n/a	n/a	750.00	5.0
WESTLANE CAPITAL	044	DISH	SS	10,000	43.40	7-Nov	1-Mar	500.00	5.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	B	4,000	37.72	8-Feb	12-Mar	200.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	DRS	S	15,500	52.23	n/a	n/a	775.00	5.0
VARDON PARTNERS	035	EBAY	SS	100,000	32.96	n/a	n/a	5,000.00	5.0
NCM CAPITAL MGMT	035	FWLT	B	23,275	58.58	n/a	n/a	1,163.75	5.0
COUGAR TRADING	044	GIL	B	5,000	59.06	n/a	2-Apr	250.00	5.0
PRINCIPLE CAPITAL	040	GPS	B	250,000	17.34	n/a	9-Mar	7,500.00	3.0
OPTIMUM INV ADV	030	HRS	S	5,000	51.03	31-Jan	31-Jan	250.00	5.0
J L F ASSET MGMT	039	INPC	S	1,067	10.47	n/a	n/a	42.68	4.0
THE JAY GOLDMAN	034	IP	S	10,000	36.64	20-Mar	20-Mar	400.00	4.0
LEDDY	035	IP	SS	200	36.75	20-Mar	20-Mar	0.00	0.0
MKT MAKER	099	ITCD	B	500	2.85	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	JNC	B	1,400	47.17	n/a	28-Mar	70.00	5.0
FRANKLIN FUNDS N J	035	JPM	B	20,000	47.87	n/a	13-Mar	1,000.00	5.0
NCM CAPITAL MGMT	035	KRON	S	36,225	53.55	n/a	n/a	1,811.25	5.0
BALYASNY ASSET MGMT	044	LEH	B	5,000	68.73	n/a	14-Mar	250.00	5.0
BALYASNY ASSET MGMT	044	LM	SS	20,000	93.90	16-Mar	28-Mar	1,000.00	5.0
STADIA CAPITAL	035	LTD	B	25,000	26.34	2-Mar	9-Mar	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	MAR	B	45,300	49.56	n/a	n/a	1,812.00	4.0
PORTER-ORLIN-FELDMAN	044	MO	B	125,000	68.03	n/a	n/a	6,250.00	5.0
COLONIAL FUND LLC	036	MWV	B	50,000	31.15	20-Mar	20-Mar	2,500.00	5.0
RAMIUS CAP	040	MWV	B	75,000	31.21	20-Mar	20-Mar	3,750.00	5.0
<b>MENCKE</b>	<b>055</b>	<b>NEWC</b>	<b>B</b>	<b>100</b>	<b>0.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>EFSTATHIOU</b>	<b>044</b>	<b>NLS</b>	<b>B</b>	<b>400</b>	<b>15.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
REINHART & MAHONEY	031	NU	S	11,178	33.25	n/a	n/a	558.90	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NWY</b>	<b>S</b>	<b>5,000</b>	<b>15.78</b>	<b>23-Mar</b>	<b>23-Mar</b>	<b>200.00</b>	<b>4.0</b>
CAPITAL GROWTH MGMT.	241	PCU	B	20,000	72.30	n/a	n/a	1,000.00	5.0
HIGHBRIDGE CAPITAL	038	PRXL	B	10,000	35.88	n/a	n/a	500.00	5.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>PZZA</b>	<b>S</b>	<b>500</b>	<b>29.62</b>	<b>n/a</b>	<b>28-Feb</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	RFMD	B	30,000	6.19	n/a	n/a	1,200.00	4.0
SSI INVESTORS	048	RL	B	4,596	87.91	2-Feb	16-Feb	183.84	4.0
HAYGROUND COE	039	SBUX	SS	75,000	31.23	n/a	1-Feb	3,750.00	5.0
<b>APEX CAPITAL MGMT.</b>	<b>091</b>	<b>SWY</b>	<b>S</b>	<b>18,000</b>	<b>37.18</b>	<b>n/a</b>	<b>n/a</b>	<b>900.00</b>	<b>5.0</b>
BLACKROCK/BOSTON	039	SYKE	B	76	18.23	n/a	n/a	1.90	2.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	091	TIF	B	5,000	45.86	27-Mar	27-Mar	250.00	5.0
APEX CAPITAL MGMT.	091	TJX	S	17,000	27.07	21-Mar	21-Mar	850.00	5.0
STERLING JOHNSTON	035	TWB	S	21,800	36.00	22-Feb	22-Feb	1,090.00	5.0
KAZMI	010	VOII	B	20,000	0.28	n/a	n/a	0.00	0.0
FRANKLIN FUNDS N J	035	WB	B	25,000	54.01	n/a	n/a	1,250.00	5.0
BALYASNY ASSET MGMT	044	WDR	B	20,000	23.29	5-Mar	28-Mar	1,000.00	5.0
FRANKLIN FUNDS N J	035	WM	B	95,000	39.80	n/a	n/a	4,750.00	5.0
BRIGHTPOINT CAP	067	YUM	SS	27,000	57.64	12-Dec	13-Feb	1,350.00	5.0
<b>TOTAL (Trade Date) :</b>								<b>62,622.32</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/30	AAD CAPITAL (AADCS\$0.04)	048	AV	B	25,000	11.82	n/a	22-Mar	1000.00 4.0
AO,CRT	03/30	AAD CAPITAL (AADCS\$0.04)	048	AV	B	25,000	11.82	n/a	22-Mar	750.00 3.0
AO,CXL	03/28	BARROW HANLEY MEWHINNEY (BARR9841)	031	MAT	S	13,700	27.83	n/a	n/a	685.00 5.0
AO,CRT	03/28	BARROW HANLEY MEWHINNEY (BARR9841)	031	MAT	S	13,700	27.83	n/a	n/a	548.00 4.0
AO,	03/30	ASCEND PARTNERS (ASCECITI)	033	TLB	SS	3,501	23.58	10-Jan	8-Mar	175.05 5.0
AO,	03/30	ASCEND PARTNERS (ASCEMSPB)	033	TLB	SS	11,412	23.58	10-Jan	8-Mar	570.60 5.0
AO,	03/30	ASCEND PARTNERS (ASCEBANC O)	033	TLB	SS	4,543	23.58	10-Jan	8-Mar	227.15 5.0
AO,	03/30	ACT II PARTNERS (ACT2-GOLDM)	067	XOHO	B	25,000	5.15	n/a	n/a	1000.00 4.0
AO,CXL	03/27	SATELLITE ASSET MGMT (SATECITI)	012	ZLC	B	8,700	26.02	n/a	n/a	435.00 5.0
AO,CRT	03/27	SATELLITE ASSET MGMT (SATECITI)	012	ZLC	B	8,700	26.02	n/a	n/a	348.00 4.0

**TOTAL (AO, CXL, CRT) :****1,498.80**

TOTAL (Trade Date):

62,622.32

TOTAL (AO, CXL, CRT):

1,498.80

**TOTAL (RUN DATE) / NFS:****64,121.12**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION