



Daily Compliance Activity Report

Trade Date: 03/05/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Ann Bettendorf Freeman		AAPL	S	10546.9400		n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	AAPL	S	506	536.25	n/a	n/a	20.24	4.0
BESSEMER INVESTMENT	085	ABT	B	500	57.28	n/a	n/a	20.00	4.0
BESSEMER INVESTMENT	085	ABX	B	515	46.68	n/a	n/a	20.60	4.0
BESSEMER INVESTMENT	085	ABX	S	245	46.59	n/a	n/a	9.80	4.0
BESSEMER INVESTMENT	085	ACE	B	1,160	72.33	n/a	n/a	46.40	4.0
BESSEMER INVESTMENT	085	ACE	S	210	72.32	n/a	n/a	8.40	4.0
BESSEMER INVESTMENT	085	ACN	B	555	60.73	n/a	n/a	22.20	4.0
BESSEMER INVESTMENT	085	ACN	S	205	60.59	n/a	n/a	8.20	4.0
NOMURA CAPITAL	029	ACW	S	22,500	7.98	n/a	n/a	675.00	3.0
TUDOR INVESTORS	028	ANR	SS	5,000	16.91	n/a	n/a	150.00	3.0
DC CAPITAL	044	ATI	B	50,000	42.48	n/a	29-Feb	2,000.00	4.0
PERRY PARTNERS	085	AVP	B	50,000	18.57	n/a	16-Feb	2,500.00	5.0
RAINIER INV MGMT	035	BWA	S	25,510	82.91	n/a	15-Feb	1,020.40	4.0
Õ Nilsa Vazquez		BZH	B	1000	3.1580	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	CAT	B	300	111.49	n/a	27-Jan	12.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	CCL	B	415	30.23	n/a	n/a	16.60	4.0
BESSEMER INVESTMENT	085	CLH	S	285	65.27	n/a	n/a	11.40	4.0
GALT INVESTMENT	041	CLUB	S	10,000	10.60	n/a	n/a	500.00	5.0
BESSEMER INVESTMENT	085	CL	B	200	93.36	n/a	26-Jan	8.00	4.0
BESSEMER INVESTMENT	085	CMCSA	S	295	28.98	n/a	n/a	11.80	4.0
TUDOR INVESTORS	028	CMI	SS	2,000	121.36	n/a	6-Feb	60.00	3.0
BESSEMER INVESTMENT	085	CNP	S	395	19.36	n/a	n/a	15.80	4.0
BESSEMER INVESTMENT	085	COP	B	535	77.26	n/a	n/a	21.40	4.0
CRAMER ROSENTHAL	070	CRI	S	53,300	48.14	n/a	n/a	2,132.00	4.0
ROTHSCHILD ASSET	040	CSCO	B	35,483	19.54	n/a	n/a	1,419.32	4.0
BASSWOOD PARTNERS	267	CSE	B	100,000	6.67	n/a	n/a	4,000.00	4.0
BESSEMER INVESTMENT	085	CTL	B	565	38.71	n/a	n/a	22.60	4.0
BESSEMER INVESTMENT	085	CTL	S	205	38.59	n/a	n/a	8.20	4.0
BESSEMER INVESTMENT	085	CVS	B	855	45.26	n/a	n/a	34.20	4.0
HIGHFIELDS CAP MGMT	030	CVS	S	220,000	45.23	n/a	n/a	6,600.00	3.0
BESSEMER INVESTMENT	085	DD	B	400	50.75	n/a	10-Feb	16.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Ann Bettendorf Freeman		DIS	S	100	42.1540	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	DTE	B	1,060	54.15	n/a	n/a	42.40	4.0
BESSEMER INVESTMENT	085	DVN	B	167	72.28	n/a	1-Mar	6.68	4.0
ROTHSCHILD ASSET	040	ED	B	26,264	58.38	n/a	n/a	1,050.56	4.0
LEVIN CAPITAL STRATEGIES	041	EIX	S	7,500	42.81	n/a	n/a	225.00	3.0
PORTER-ORLIN	044	EWZ	SS	200,000	69.20	n/a	n/a	8,000.00	4.0
BESSEMER INVESTMENT	085	EXC	B	510	38.81	n/a	n/a	20.40	4.0
BESSEMER INVESTMENT	085	EXC	S	190	38.81	n/a	n/a	7.60	4.0
BESSEMER INVESTMENT	085	FCX	B	265	40.68	n/a	n/a	10.60	4.0
BESSEMER INVESTMENT	085	FE	B	1,105	44.66	n/a	n/a	44.20	4.0
BESSEMER INVESTMENT	085	FE	S	220	44.63	n/a	n/a	8.80	4.0
KLEINHEINZ CAP PART	031	FL	B	40,000	29.17	n/a	5-Mar	2,000.00	5.0
ROARING BLUE LION MGMT	031	FL	S	7,180	29.44	n/a	5-Mar	287.20	4.0
BESSEMER INVESTMENT	085	FNF	S	235	17.36	n/a	n/a	9.40	4.0
BMO NESBITT BURNS	041	FOR	S	25,000	15.29	n/a	23-Feb	1,250.00	5.0
PROSPECTOR PARTNERS LLC	036	FOR	B	10,000	15.31	n/a	23-Feb	400.00	4.0
BESSEMER INVESTMENT	085	FRC	S	240	29.82	n/a	n/a	9.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET/BLACKROCK	297	GDP	B	5,500	16.72	n/a	n/a	220.00	4.0
BESSEMER INVESTMENT	085	GD	B	1,345	72.34	n/a	14-Feb	53.80	4.0
BESSEMER INVESTMENT	085	GE	B	800	18.84	n/a	n/a	32.00	4.0
TUDOR INVESTORS	028	GM	B	5,000	26.34	n/a	2-Mar	150.00	3.0
SIMMONS VENTURES	041	HWD	B	20,000	13.63	n/a	n/a	800.00	4.0
BESSEMER INVESTMENT	085	IBM	B	1,190	199.83	n/a	n/a	47.60	4.0
BESSEMER INVESTMENT	085	INTC	B	500	26.43	n/a	n/a	20.00	4.0
BESSEMER INVESTMENT	085	ITC	S	221	75.09	n/a	n/a	8.84	4.0
THOMAS WEISEL ASSET MGMT	035	JLL	B	501	82.69	n/a	n/a	15.03	3.0
BESSEMER INVESTMENT	085	JNJ	B	525	64.68	n/a	n/a	21.00	4.0
BESSEMER INVESTMENT	085	JNJ	S	200	64.66	n/a	n/a	8.00	4.0
BESSEMER INVESTMENT	085	JWN	S	322	53.41	n/a	2-Mar	12.88	4.0
SCOPUS FUND	041	JWN	SS	10,000	53.40	n/a	2-Mar	400.00	4.0
THOMAS WEISEL ASSET MGMT	035	KALU	B	401	47.39	n/a	n/a	12.03	3.0
THOMAS WEISEL ASSET MGMT	035	KEG	B	1,471	17.06	n/a	1-Mar	44.13	3.0
THOMAS WEISEL ASSET MGMT	035	KERX	B	3,402	4.65	n/a	n/a	102.06	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THOMAS WEISEL ASSET MGMT	035	KNXA	B	897	27.62	n/a	n/a	26.91	3.0
THOMAS WEISEL ASSET MGMT	035	KRA	B	760	26.67	n/a	n/a	22.80	3.0
THOMAS WEISEL ASSET MGMT	035	LUFK	B	267	76.50	n/a	n/a	8.01	3.0
THOMAS WEISEL ASSET MGMT	035	MAA	B	296	62.93	n/a	n/a	8.88	3.0
THOMAS WEISEL ASSET MGMT	035	MAPP	B	1,084	16.56	n/a	n/a	32.52	3.0
THOMAS WEISEL ASSET MGMT	035	MASI	B	554	22.03	n/a	n/a	16.62	3.0
BESSEMER INVESTMENT	085	MGA	B	340	48.39	n/a	n/a	13.60	4.0
THOMAS WEISEL ASSET MGMT	035	MHGC	B	2,101	5.25	n/a	n/a	63.03	3.0
BESSEMER INVESTMENT	085	MHP	B	1,040	46.28	n/a	n/a	41.60	4.0
BESSEMER INVESTMENT	085	MHP	S	160	46.29	n/a	n/a	6.40	4.0
BESSEMER INVESTMENT	085	MMM	B	500	87.15	n/a	10-Feb	20.00	4.0
BESSEMER INVESTMENT	085	MRO	B	740	33.27	n/a	n/a	29.60	4.0
BESSEMER INVESTMENT	085	MRO	S	265	33.34	n/a	n/a	10.60	4.0
BESSEMER INVESTMENT	085	MSFT	B	180	31.76	n/a	n/a	7.20	4.0
BESSEMER INVESTMENT	085	MSFT	S	535	31.74	n/a	n/a	21.40	4.0
THOMAS WEISEL ASSET MGMT	035	MSPD	B	3,149	6.23	n/a	n/a	94.47	3.0
THOMAS WEISEL ASSET MGMT	035	MSTR	B	172	133.92	n/a	n/a	5.16	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	MTL	B	150,000	10.64	n/a	n/a	4,500.00	3.0
SUTTON BROOK	086	MWV	B	100,000	30.51	n/a	26-Jan	3,000.00	3.0
THOMAS WEISEL ASSET MGMT	035	MXWL	B	805	18.68	n/a	n/a	24.15	3.0
BESSEMER INVESTMENT	085	M	B	540	38.58	n/a	2-Mar	21.60	4.0
BESSEMER INVESTMENT	085	M	S	250	38.56	n/a	2-Mar	10.00	4.0
THOMAS WEISEL ASSET MGMT	035	NAV	B	588	40.14	n/a	2-Mar	17.64	3.0
THOMAS WEISEL ASSET MGMT	035	NCI	B	2,166	13.29	n/a	n/a	64.98	3.0
BESSEMER INVESTMENT	085	NEM	B	565	58.14	n/a	n/a	22.60	4.0
BESSEMER INVESTMENT	085	NEM	S	210	58.10	n/a	n/a	8.40	4.0
BESSEMER INVESTMENT	085	NE	S	205	39.19	n/a	n/a	8.20	4.0
BESSEMER INVESTMENT	085	NSR	S	175	34.65	n/a	n/a	7.00	4.0
THOMAS WEISEL ASSET MGMT	035	NTSP	B	1,885	8.30	n/a	n/a	56.55	3.0
THOMAS WEISEL ASSET MGMT	035	OAS	B	590	30.70	n/a	n/a	17.70	3.0
THOMAS WEISEL ASSET MGMT	035	OCZ	B	2,660	8.30	n/a	n/a	79.80	3.0
THOMAS WEISEL ASSET MGMT	035	ODFL	B	463	45.22	n/a	n/a	13.89	3.0
THOMAS WEISEL ASSET MGMT	035	OEH	B	1,372	9.56	n/a	n/a	41.16	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THOMAS WEISEL ASSET MGMT	035	OMCL	B	1,574	14.51	n/a	n/a	47.22	3.0
THOMAS WEISEL ASSET MGMT	035	OPNT	B	568	29.09	n/a	n/a	17.04	3.0
THOMAS WEISEL ASSET MGMT	035	OSUR	B	1,267	9.76	n/a	n/a	38.01	3.0
BAIRD INVESTMENT	047	OXY	S	3,746	102.97	n/a	n/a	187.30	5.0
BESSEMER INVESTMENT	085	OXY	S	1,425	102.77	n/a	n/a	57.00	4.0
THOMAS WEISEL ASSET MGMT	035	PDC	B	1,767	9.59	n/a	n/a	53.01	3.0
BESSEMER INVESTMENT	085	PEP	B	200	62.73	n/a	n/a	8.00	4.0
BESSEMER INVESTMENT	085	PG	B	200	66.80	n/a	24-Feb	8.00	4.0
THOMAS WEISEL ASSET MGMT	035	PIR	B	2,010	16.89	n/a	n/a	60.30	3.0
BALYASNY ASSET MGMT	044	PKG	B	25,000	29.67	n/a	22-Feb	1,250.00	5.0
METROPOLITAN CAPITAL	044	PLCE	S	5,400	53.46	n/a	27-Feb	270.00	5.0
THOMAS WEISEL ASSET MGMT	035	PMTG	B	1,556	26.48	n/a	n/a	46.68	3.0
THOMAS WEISEL ASSET MGMT	035	PSSI	B	542	23.82	n/a	n/a	16.26	3.0
BESSEMER INVESTMENT	085	PTEN	S	220	19.36	n/a	n/a	8.80	4.0
Ö Ann Bettendorf Freeman		QCOM	S	150	62.2230	n/a	n/a	0.00	0.0
THOMAS WEISEL ASSET MGMT	035	QTM	B	16,062	2.45	n/a	n/a	481.86	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THOMAS WEISEL ASSET MGMT	035	ROG	B	397	36.11	n/a	n/a	11.91	3.0
THOMAS WEISEL ASSET MGMT	035	ROSE	B	425	49.83	n/a	n/a	12.75	3.0
GALT INVESTMENT	041	RRGB	B	35,000	34.86	n/a	n/a	1,750.00	5.0
THOMAS WEISEL ASSET MGMT	035	RRGB	B	809	34.88	n/a	n/a	24.27	3.0
THOMAS WEISEL ASSET MGMT	035	RUSHA	B	737	22.38	n/a	n/a	22.11	3.0
BESSEMER INVESTMENT	085	SIMG	S	506	5.13	n/a	n/a	20.24	4.0
CRMC	070	SLE	B	100,000	21.37	n/a	n/a	3,500.00	3.5
GALT INVESTMENT	041	SUMR	B	10,900	5.89	n/a	n/a	327.00	3.0
TUDOR INVESTORS	028	TEX	SS	5,000	24.27	n/a	16-Feb	150.00	3.0
BESSEMER INVESTMENT	085	TGT	B	645	56.79	n/a	1-Mar	25.80	4.0
BESSEMER INVESTMENT	085	TGT	S	235	56.78	n/a	1-Mar	9.40	4.0
BESSEMER INVESTMENT	085	T	B	400	30.92	n/a	n/a	16.00	4.0
BESSEMER INVESTMENT	085	UBS	S	304	13.82	n/a	n/a	12.16	4.0
BESSEMER INVESTMENT	085	UNH	B	405	55.88	n/a	n/a	16.20	4.0
BESSEMER INVESTMENT	085	UN	B	220	33.41	n/a	n/a	8.80	4.0
VERITION	028	URBN	B	10,000	28.31	n/a	n/a	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	VZ	B	300	39.10	n/a	n/a	12.00	4.0
CATAPULT	040	WPX	B	25,000	18.46	n/a	n/a	1,000.00	4.0
BESSEMER INVESTMENT	085	WU	B	150	17.14	n/a	n/a	6.00	4.0
BESSEMER INVESTMENT	085	WU	S	460	17.13	n/a	n/a	18.40	4.0
FORTRESS INVESTMENT (US)	036	XHB	B	53,500	19.81	n/a	n/a	2,140.00	4.0
FORTRESS INVESTMENT (US)	036	XLE	SS	14,000	73.86	n/a	n/a	560.00	4.0
BESSEMER INVESTMENT	085	YUM	B	385	66.23	n/a	8-Feb	15.40	4.0
BESSEMER INVESTMENT	085	YUM	S	195	66.31	n/a	8-Feb	7.80	4.0
TOTAL (Trade Date) :								57,548.56	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									57,548.56
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									57,548.56
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION