



Daily Compliance Activity Report

Trade Date: 10/25/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	B	5,000	310.90	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		ABFS	S	10,000	25.82	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	AET	SS	29,100	31.43	n/a	n/a	1,164.00	4.0
f IAN L MILLER	FID	ALTFX	S	14	71.90	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	ALV	SS	11,200	71.01	n/a	19-Oct	560.00	5.0
BUCK CAPITAL MGMT	001	ANN	B	50,000	22.02	n/a	n/a	2,000.00	4.0
RBC CAPITAL MARKETS	040	ANN	S	15,000	21.91	n/a	n/a	600.00	4.0
f IAN L MILLER	FID	APGAX	S	100	23.17	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ARO	B	250,200	26.06	n/a	11-Oct	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	B	49,800	26.06	n/a	11-Oct	non-brg	2.0
CATAPULT	040	ATW	S	25,000	31.90	n/a	n/a	1,000.00	4.0
B BUCK CAPITAL MGMT		AVY	S	20,000	39.56	n/a	23-Aug	non-brg	4.0
BALYASNY ASSET MGMT	044	AXL	B	50,000	9.40	n/a	19-Oct	2,000.00	4.0
B BUCK CAPITAL MGMT		AXL	S	25,000	9.46	n/a	19-Oct	non-brg	1.5
B BUCK CAPITAL MGMT		AXL	S	5,000	9.45	n/a	19-Oct	non-brg	0.8
B BUCK CAPITAL MGMT		AXL	S	70,000	9.44	n/a	19-Oct	non-brg	0.8
f CHRISTOPHER ROBERT C	FID	AXP	B	100	39.40	n/a	22-Oct	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AVESTA CAP	229	BAC	C	300,000	11.22	n/a	20-Oct	9,000.00	3.0
BASSWOOD PARTNERS	267	BAC	S	100,000	11.26	n/a	20-Oct	4,000.00	4.0
B BUCK CAPITAL MGMT		BEAV	SS	30,000	34.65	n/a	12-Oct	non-brg	2.0
B BUCK CAPITAL MGMT		BEAV	SS	35,000	34.68	n/a	12-Oct	non-brg	0.8
WAGONHOUND/NIC	289	BEAV	S	1,717	34.16	n/a	12-Oct	68.68	4.0
WHITE PINE CAPITAL	030	BEBE	S	132,575	6.59	n/a	n/a	6,628.75	5.0
B BUCK CAPITAL MGMT		BHI	S	10,000	46.93	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BODY	B	20,000	13.00	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	BWA	C	5,000	54.27	n/a	19-Oct	250.00	5.0
SIGMA CAPITAL ASSOCIATES	041	CHS	B	35,000	10.28	n/a	5-Feb	1,225.00	3.5
PROSPECTOR PARTNERS LLC	036	CI	B	25,100	36.69	n/a	n/a	1,004.00	4.0
f DAVID GEORGE BUCK	FID	CL	S	700	76.75	n/a	14-Oct	0.00	0.0
B BUCK CAPITAL MGMT		CNW	S	10,000	32.00	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		COH	B	30,000	44.67	n/a	18-Oct	non-brg	4.0
f DAVID GEORGE BUCK	FID	COP	B	1000	61.52	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		COST	C	45,000	64.56	n/a	n/a	non-brg	4.0
TOCQUEVILLE	067	CRDN	S	10,000	24.28	n/a	n/a	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KLEINHEINZ CAP PART	031	CTXS	B	40,000	61.03	n/a	n/a	2,000.00	5.0
ß BUCK CAPITAL MGMT		CVGI	S	5,000	13.01	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		CVGI	S	5,000	13.03	n/a	n/a	non-brg	0.8
f EDWARD W WHEELER	FID	CVGI	S	5979	13.11	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	CX	SS	100,000	8.19	n/a	n/a	4,000.00	4.0
f CHRISTINE MARIE NORM	FID	C	S	50	4.22	n/a	19-Oct	0.00	0.0
LATEFF MGMT	035	DAL	S	2,500	13.50	n/a	n/a	275.00	11.0
ß BUCK CAPITAL MGMT		DBRN	B	15,000	23.13	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DBRN	B	15,000	23.15	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	DBRN	S	100	23.14	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DECK	S	20,000	53.84	n/a	n/a	non-brg	4.0
f KEVIN MICHAEL O'GORM	FID	DE	B	300	78.82	n/a	19-Aug	0.00	0.0
ROTHSCHILD ASSET	040	DNB	S	13,140	75.24	n/a	n/a	525.60	4.0
IRIDIAN ASSET MGMT.	036	DRC	S	19,980	38.01	n/a	n/a	999.00	5.0
IRIDIAN ASSET MGMT.	036	EMN	S	22,890	83.06	n/a	n/a	1,144.50	5.0
LATEFF MGMT	035	ENR	S	500	75.74	n/a	19-Oct	210.00	42.0
IVORY CAPITAL	034	ESV	S	335,100	47.14	n/a	n/a	6,702.00	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f ALICE BEEBE LONGLEY	FID	FCX	S	400	95.96	n/a	n/a	0.00	0.0
TOCQUEVILLE	067	FMC	S	5,000	71.38	n/a	n/a	250.00	5.0
f ALICE BEEBE LONGLEY	FID	F	S	1000	14.06	n/a	19-Oct	0.00	0.0
ß BUCK CAPITAL MGMT		F	S	100,000	14.13	n/a	19-Oct	non-brg	4.0
f KAREN F LEDDY	FID	F	S	1500	14.04	n/a	19-Oct	0.00	0.0
MILLENIU PARTNERS	028	F	B	55,000	14.07	n/a	19-Oct	2,200.00	4.0
OZ MGMT	040	F	C	200,720	14.18	n/a	19-Oct	6,021.60	3.0
SCOPUS FUND	041	F	B	100,000	14.15	n/a	19-Oct	5,000.00	5.0
f DAVID GEORGE BUCK	FID	GGP	B	1000	17.12	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GIII	S	2,508	28.96	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		GIII	S	20,000	28.81	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIL	B	20,000	28.08	n/a	18-Oct	non-brg	4.0
LATEFF MGMT	035	GLW	B	1,500	18.68	n/a	21-Oct	240.00	16.0
ß BUCK CAPITAL MGMT		GMCR	B	50,000	31.55	n/a	n/a	non-brg	2.5
TOCQUEVILLE	067	GRA	S	10,000	32.39	n/a	n/a	500.00	5.0
f ALICE BEEBE LONGLEY	FID	HAP	S	750	36.10	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	HAR	B	3,500	35.03	n/a	19-Oct	140.00	4.0
f ALICE BEEBE LONGLEY	FID	HPT	S	500	23.84	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f IAN L MILLER	FID	IBM	S	10	140.32	n/a	n/a	0.00	0.0
CASTLEROCK	040	IGT	B	101,273	15.17	n/a	20-Oct	4,050.92	4.0
RBC CAPITAL MARKETS	040	JBHT	B	35,000	36.69	n/a	n/a	1,400.00	4.0
B BUCK CAPITAL MGMT		JBLU	S	40,000	7.10	n/a	n/a	non-brg	3.0
f CHRISTOPHER ARTHUR K	FID	JCI	S	502	34.75	n/a	13-Oct	0.00	0.0
RBC CAPITAL MARKETS	040	JCI	S	25,000	34.83	n/a	13-Oct	1,000.00	4.0
ADAGE CAPITAL MGMT	030	JCP	S	38,200	32.78	n/a	11-Oct	1,146.00	3.0
f IAN L MILLER	FID	JNJ	S	50	63.96	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JOSB	S	10,000	45.77	n/a	n/a	non-brg	2.5
BALYASNY ASSET MGMT	044	JWN	SS	50,000	37.56	n/a	11-Oct	2,500.00	5.0
RBC CAPITAL MARKETS	040	JWN	B	15,000	37.96	n/a	11-Oct	600.00	4.0
KLEINHEINZ CAP PART	031	LAZ	B	75,000	35.96	n/a	25-Oct	3,750.00	5.0
GRANITE CAPITAL	028	LEA	S	6,000	85.33	n/a	19-Oct	300.00	5.0
ENTRUST CAPITAL	040	LEG	B	41,600	20.43	n/a	n/a	2,080.00	5.0
B BUCK CAPITAL MGMT		LIZ	B	50,000	6.36	n/a	7-Oct	non-brg	3.0
NOMURA CAPITAL	029	LYB	S	15,000	27.76	n/a	n/a	450.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		MFB	S	10,000	26.89	n/a	n/a	non-brg	4.0
CR INTRINIC INVESTMENT	044	MNTA	SS	25,000	15.14	n/a	n/a	875.00	3.5
f DAVID GEORGE BUCK	FID	MRK	S	3020	25.23	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MSMSRAW3	C	24,200	121.12	n/a	n/a	non-brg	12.1
DIAMONDBACK CAP MGMT	232	M	B	50,000	22.55	n/a	11-Oct	2,500.00	5.0
SAC ENERGY GS-BOB	044	NBR	B	50,000	19.48	n/a	n/a	1,750.00	3.5
JP MORGAN VENTURES	046	NWL	B	15,000	18.27	n/a	n/a	750.00	5.0
BENNETT LAWRENCE	028	OPEN	B	8,180	61.03	n/a	n/a	327.20	4.0
B BUCK CAPITAL MGMT		PAG	B	100,000	13.36	n/a	25-Oct	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	PCL	B	4,000	36.99	n/a	18-Oct	160.00	4.0
BUCK CAPITAL MGMT	001	PIR	B	50,000	8.25	n/a	n/a	1,500.00	3.0
MORTAR ROCK	033	PKG	B	20,000	24.43	n/a	19-Oct	800.00	4.0
B BUCK CAPITAL MGMT		PLCE	B	20,000	44.37	n/a	21-Oct	non-brg	4.0
CASTLEROCK	040	PVH	S	21,632	62.74	n/a	18-Oct	865.28	4.0
BASSWOOD PARTNERS	267	ROP	SS	35,100	70.70	n/a	n/a	1,404.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MARC PAUL LUCHANSKY	FID	SBUX	B	500	28.51	n/a	15-Oct	0.00	0.0
ß BUCK CAPITAL MGMT		SKX	S	50,000	24.03	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SKX	S	50,000	24.06	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SLB	S	10,000	69.33	n/a	n/a	non-brg	4.0
COBALT	041	SLM	B	56,500	11.65	n/a	20-Oct	2,825.00	5.0
f ANDREW SINCLAIR	FID	SPY	B	100	0.13	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	SVNT	B	15,000	11.88	n/a	n/a	750.00	5.0
RBC CAPITAL MARKETS	040	TCK	B	50,000	45.21	n/a	n/a	2,000.00	4.0
f ALICE BEEBE LONGLEY	FID	TLH	B	300	121.25	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		TRLG	B	30,000	21.94	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	UL	B	1000	29.32	n/a	n/a	0.00	0.0
ARMATURE CAPITAL	041	UPS	S	15,000	69.52	n/a	n/a	600.00	4.0
ß BUCK CAPITAL MGMT		URBN	SS	112,943	31.08	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	SS	300,000	31.07	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	100,000	31.00	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	2,460	31.06	n/a	n/a	non-brg	2.5
TALKOT CAPITAL LLC	084	USG	S	10,000	12.98	n/a	22-Oct	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f EDWARD W WHEELER	FID	VE	B	5000	29.25	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	B	15,000	85.71	n/a	22-Oct	600.00	4.0
TOCQUEVILLE	067	VSH	S	64,500	10.65	n/a	n/a	3,225.00	5.0
f MARC PAUL LUCHANSKY	FID	VXX	S	500	12.39	n/a	n/a	0.00	0.0
f CHRISTOPHER ARTHUR K	FID	V	S	200	80.68	n/a	4-Oct	0.00	0.0
CASTLEROCK	040	WRC	SS	19,330	55.89	n/a	18-Oct	773.20	4.0
B BUCK CAPITAL MGMT		WSO	S	6,600	56.97	n/a	n/a	non-brg	4.0
f IAN L MILLER	FID	XOM	S	15	66.44	n/a	n/a	0.00	0.0
FRIESS MGMT	033	XRX	B	714,500	11.65	n/a	n/a	28,580.00	4.0
TRUSTCO/SUNTRUST	040	XRX	S	47,485	11.64	n/a	n/a	1,661.98	3.5
TOTAL (Trade Date):							129,831.71		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	10/22	NOMURA CAPITAL	029	QUAD	S	5,000	45.63	n/a	n/a	150.00 3.0
TOTAL (AO, CXL, CRT) :										150.00

TOTAL (Trade Date):	129,831.71
TOTAL (AO, CXL, CRT):	150.00

TOTAL (RUN DATE) / NFS: 129,981.71

MRI Securities with Trade Activity on 25-Oct.
NONE

MRI Securities without Trade Activity on 25-Oct.
GNTX, EL

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 6%
YTD = 11%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)