



Daily Compliance Activity Report

Trade Date: 04/22/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAI	B	50,000	5.34	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		AEO	B	50,000	18.03	n/a	9-Apr	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	7,900	17.96	n/a	9-Apr	non-brg	4.0
EXIS CAPITAL	044	AEO	SS	20,000	18.06	n/a	9-Apr	1,000.00	5.0
PARTNER REINSURANCE	033	ANF	B	12,700	48.86	n/a	9-Apr	508.00	4.0
WEISS PECK	040	ANF	C	2,300	47.37	n/a	9-Apr	115.00	5.0
EXIS CAPITAL	044	ANN	SS	20,000	23.06	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT		AN	B	50,000	20.49	n/a	20-Apr	non-brg	4.0
MILLENIUM PARTNERS	028	AN	S	20,000	20.20	n/a	20-Apr	800.00	4.0
BUCK CAPITAL MGMT		ARO	B	20,000	31.72	n/a	9-Apr	non-brg	4.0
BUCK CAPITAL MGMT		ATVI	B	50,000	11.55	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ATVI	B	50,000	11.60	n/a	n/a	non-brg	4.0
MTB INV ADV	034	AUXL	B	14,419	35.34	n/a	n/a	720.95	5.0
BUCK CAPITAL MGMT		AVP	B	50,000	32.97	n/a	19-Apr	non-brg	4.0
ROSEDALE CAPITAL	046	AXL	S	20,000	11.36	n/a	20-Apr	1,000.00	5.0
TRUSTCO/SUNTRUST	040	AXL	B	54,940	11.31	n/a	20-Apr	2,142.66	3.9
BUCK CAPITAL MGMT		BAC	B	9,100	18.51	n/a	19-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TROUBH PART	067	BAC	B	25,000	18.56	n/a	19-Apr	1,250.00	5.0
f MARC PAUL LUCHANSKY	FID	BGZ	S	2000	12.98	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	BGZ	B	5000	12.88	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	BIG	S	400	39.79	n/a	4-Mar	0.00	0.0
WHALE ROCK CAP	030	BONT	B	19,100	17.65	n/a	n/a	764.00	4.0
B BUCK CAPITAL MGMT		CACH	S	10,000	6.79	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		CACH	S	4,400	6.92	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		CACH	S	5,600	6.84	n/a	n/a	non-brg	0.8
WEISS PECK	040	CAKE	C	11,300	29.44	n/a	19-Apr	565.00	5.0
SENATOR INVESTMENT GROUP	036	CCI	B	30,000	37.97	n/a	n/a	900.00	3.0
BENNETT LAWRENCE	028	CMG	B	2,450	139.24	n/a	22-Apr	98.00	4.0
WEISS PECK	040	CMG	C	900	136.86	n/a	22-Apr	45.00	5.0
B BUCK CAPITAL MGMT		CNK	S	20,000	19.13	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	CRBC	B	3500	1.47	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	CRBC	B	17500	1.45	n/a	n/a	0.00	0.0
f MARK ANTHONY GRONET	FID	CRBC	B	10000	1.44	n/a	n/a	0.00	0.0
f MICHAEL C PERILLO	FID	CRBC	B	270	1.49	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	CRBC	B	2200	1.44	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CRI	B	2,300	32.82	n/a	n/a	non-brg	1.5
B BUCK CAPITAL MGMT		CRI	B	50,000	32.91	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		CRI	B	8,482	32.95	n/a	n/a	non-brg	0.8
f	JOSEPH CIRO AMATURO	FID	CVGI	B	4500	8.64	n/a	n/a	0.00	0.0
	MTB INV ADV	034	CVH	S	65,578	23.39	n/a	n/a	3,278.90	5.0
	EXIS CAPITAL	044	CWTR	B	10,000	8.60	n/a	n/a	500.00	5.0
ß	BUCK CAPITAL MGMT		C	B	100,000	4.83	n/a	19-Apr	non-brg	3.0
f	MICHAEL C PERILLO	FID	C	B	205	4.84	n/a	19-Apr	0.00	0.0
ß	BUCK CAPITAL MGMT		DAL	B	25,000	12.26	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		DAN	B	2,300	13.05	n/a	20-Apr	non-brg	4.0
f	EDWARD W WHEELER	FID	DAN	B	200	1.50	n/a	20-Apr	0.00	0.0
ß	BUCK CAPITAL MGMT		DECK	SS	10,000	143.44	n/a	n/a	non-brg	4.0
	EXIS CAPITAL	044	DENN	B	10,000	3.77	n/a	n/a	500.00	5.0
ß	BUCK CAPITAL MGMT		DFS	B	900	15.74	n/a	21-Apr	non-brg	4.0
	BNP PARIBAS SEC	040	DHR	S	5,000	84.49	n/a	n/a	200.00	4.0
	SAC TRADING TOM LEDDY	035	DPS	B	10,000	33.40	n/a	n/a	350.00	3.5
ß	BUCK CAPITAL MGMT		DVOX	B	5,000	15.00	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		DVOX	S	5,000	15.03	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		EBAY	B	200,000	24.44	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SENATOR INVESTMENT GROUP	036	EEM	SS	200,000	42.48	n/a	n/a	6,000.00	3.0
MERRILL ASSET/BLACKROCK	263	ESL	B	24,300	54.76	n/a	n/a	972.00	4.0
FIRST NEW YORK LEDDY	035	ETFC	B	10,000	1.79	n/a	n/a	600.00	6.0
MILLENIUM PARTNERS	028	ETN	B	20,000	77.91	n/a	20-Apr	800.00	4.0
f KAREN F LEDDY	FID	EV	S	300	34.57	n/a	n/a	0.00	0.0
NEWLAND CAPITAL MGT	067	FAST	B	10,000	55.11	n/a	n/a	400.00	4.0
f SCOTT L BRUNNER	FID	FAS	B	500	108.22	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FAZ	S	5000	11.78	n/a	n/a	0.00	0.0
NWQ INVESTMENT MGMT CO	048	FMR	B	20,000	13.65	n/a	n/a	800.00	4.0
NWQ INVESTMENT MGMT CO	048	FOR	B	9,700	21.83	n/a	19-Apr	388.00	4.0
WEISS PECK	040	FOSL	SS	3,200	41.38	n/a	n/a	160.00	5.0
f MITCHELL JAMES SPEIS	FID	FSNXX	S	8000	1.00	n/a	n/a	0.00	0.0
TRAFELET & COMP.	028	FUN	B	100,000	14.97	n/a	n/a	5,000.00	5.0
OZ MGMT	040	F	B	100,000	13.90	n/a	20-Apr	3,000.00	3.0
B BUCK CAPITAL MGMT		GCO	S	25,000	33.82	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GCO	S	29,051	34.21	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	GE	S	50,000	18.95	n/a	n/a	2,000.00	4.0
B BUCK CAPITAL MGMT		GIL	B	150,000	28.86	n/a	22-Mar	non-brg	4.0
RAIL-SPLITTER	031	GIL	B	18,500	28.71	n/a	22-Mar	925.00	5.0
MTB INV ADV	034	GLW	B	62,286	20.33	n/a	19-Mar	3,114.30	5.0
f CHRISTOPHER ROBERT C	FID	GMCR	B	75	87.69	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	GPS	B	10,000	25.73	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		GS	B	10,000	161.27	n/a	20-Apr	non-brg	4.0
f MANNY I KORMAN	FID	HMA	B	10000	8.77	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	HOG	B	100	34.82	n/a	n/a	0.00	0.0
ADAGE CAPITAL MGMT	030	HON	B	65,000	46.99	n/a	31-Mar	1,950.00	3.0
BNP PARIBAS SEC	040	HON	B	16,200	46.46	n/a	31-Mar	648.00	4.0
UBS OCONNOR	031	HON	C	700,000	46.90	n/a	31-Mar	21,000.00	3.0
CASTLEROCK	040	HOT	B	64,213	52.31	n/a	n/a	2,568.52	4.0
B BUCK CAPITAL MGMT		HTZ	B	200,000	12.26	n/a	n/a	non-brg	4.0
TRAFELET & COMP.	028	ICGE	B	100,000	10.82	n/a	n/a	5,000.00	5.0
B BUCK CAPITAL MGMT		IWM	C	390,000	72.51	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KAREN F LEDDY	FID	JADE	S	4120	2.73	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JNY	B	35,600	22.07	n/a	14-Apr	non-brg	4.0
FARALLON CAP MGMT	040	JOE	SS	31,500	35.46	n/a	n/a	1,260.00	4.0
B BUCK CAPITAL MGMT		JPM	B	20,000	44.47	n/a	15-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		JWN	S	10,000	45.38	n/a	9-Apr	non-brg	4.0
MACKLOWE ASSET MGMT	041	KO	SS	120,000	54.08	n/a	20-Apr	4,800.00	4.0
B BUCK CAPITAL MGMT		KSS	S	20,000	58.95	n/a	9-Apr	non-brg	4.0
CAPITAL GROWTH MGMT.	241	KSS	B	100,000	56.75	n/a	9-Apr	5,000.00	5.0
f CICILY S BACKUS	FID	LAD	B	3000	8.37	n/a	n/a	0.00	0.0
f CICILY S BACKUS	FID	LAD	B	5000	8.37	n/a	n/a	0.00	0.0
f LEE F BACKUS	FID	LAD	B	2000	8.38	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		LEA	B	10,100	82.89	n/a	20-Apr	non-brg	4.0
f CICILY S BACKUS	FID	LEA	B	1000	82.89	n/a	20-Apr	0.00	0.0
f LBP ENTERPRISES INC	FID	LEA	B	500	82.87	n/a	20-Apr	0.00	0.0
METROPOLITAN CAPITAL	044	LEA	B	11,000	82.90	n/a	20-Apr	550.00	5.0
OZ MGMT	040	LEA	B	10,000	82.76	n/a	20-Apr	300.00	3.0
f SNEJANA P GARFUNKEL	FID	LEA	B	500	82.93	n/a	20-Apr	0.00	0.0
B BUCK CAPITAL MGMT		LL	S	24,100	29.96	n/a	n/a	non-brg	4.0
CAVU CAP ADV	028	LOW	B	139,080	26.83	n/a	22-Feb	6,954.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f CHRISTOPHER ROBERT C	FID	MAR	B	100	36.59	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	028	MAR	S	5,000	35.58	n/a	n/a	200.00	4.0
MACKLOWE ASSET MGMT	041	MAT	SS	75,000	23.52	n/a	n/a	3,000.00	4.0
BROADARCH CAP/OLD NEWC	070	MGM	B	10,000	14.97	n/a	15-Apr	400.00	4.0
B BUCK CAPITAL MGMT		MSMSRAWW	SS	370,000	102.26	n/a	n/a	non-brg	10.2
TRELLUS PARTNERS LP	028	MS	B	30,000	31.90	n/a	21-Apr	1,500.00	5.0
CASTLEROCK	040	MTB	B	21,526	87.48	n/a	n/a	861.04	4.0
RBC CAPITAL MARKETS	040	M	B	15,000	24.28	n/a	9-Apr	600.00	4.0
f MARK ANTHONY GRONET	FID	NYB	S	1000	16.98	n/a	21-Apr	0.00	0.0
COOPER CREEK PART	028	PAG	B	25,000	15.94	n/a	20-Apr	1,000.00	4.0
ELM RIDGE ADVISORS	044	PCL	S	37,200	42.65	n/a	19-Apr	1,488.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PETM	B	98,050	33.60	n/a	n/a	2,941.50	3.0
MILLENIUUM PARTNERS	028	PH	SS	20,000	70.43	n/a	21-Apr	800.00	4.0
B BUCK CAPITAL MGMT		PIR	S	6,025	9.73	n/a	n/a	non-brg	3.0
BNP PARIBAS SEC	040	POT	B	5,000	108.36	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		PSS	B	50,000	24.21	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		QKLS	S	20,000	6.25	n/a	n/a	non-brg	3.0
HIGHBRIDGE CAP	041	RHI	B	25,000	31.70	n/a	n/a	1,000.00	4.0
f CHRISTOPHER ROBERT C	FID	RRR	B	500	8.37	n/a	17-Feb	0.00	0.0
f JOSEPH CIRO AMATURO	FID	RRR	B	10000	8.67	n/a	17-Feb	0.00	0.0
f MARK ANTHONY GRONET	FID	RRR	B	1000	8.38	n/a	17-Feb	0.00	0.0
f MARK ANTHONY GRONET	FID	RRR	B	1000	8.39	n/a	17-Feb	0.00	0.0
TROUBH PART	067	SD	B	10,000	7.58	n/a	n/a	500.00	5.0
f JOSEPH CIRO AMATURO	FID	SFI	B	5000	5.69	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	SHS	S	10000	15.30	n/a	n/a	0.00	0.0
THE BOSTON COMPANY	048	SHW	B	150,850	76.65	22-Apr	22-Apr	6,034.00	4.0
B BUCK CAPITAL MGMT		SIG	S	20,000	34.02	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	SLB	B	15,000	68.32	n/a	n/a	600.00	4.0
SCOPIA CAPITAL	048	SLM	S	104,285	13.78	22-Apr	22-Apr	4,171.40	4.0
CONIFER/ISF	025	SMG	B	600	48.19	n/a	9-Apr	30.00	5.0
B BUCK CAPITAL MGMT		SPSC	B	1,000	12.00	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		SPSC	B	5,000	12.00	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		SPSC	S	6,000	13.83	n/a	n/a	non-brg	4.0
SACC-SCHWARTZ	044	SPY	B	100,000	119.57	n/a	n/a	3,500.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SACC-SCHWARTZ	044	SPY	SS	50,000	119.83	n/a	n/a	1,750.00	3.5
f JOSEPH CIRO AMATURO	FID	STT	B	1000	43.67	n/a	21-Apr	0.00	0.0
f JASON ERIC COHEN	FID	TBT	B	15	0.23	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	TIN	S	15,000	24.00	n/a	21-Apr	750.00	5.0
HARBORWATCH/DIAMBACK	232	TIN	S	35,000	24.03	n/a	21-Apr	1,750.00	5.0
HIGHBRIDGE CAP	041	TIN	B	17,600	24.23	n/a	21-Apr	704.00	4.0
HUNTER GLOBAL INVESTOR	067	TIN	B	148,500	23.93	n/a	21-Apr	7,425.00	5.0
IMPALA ASSET MMT	012	TIN	S	50,000	24.02	n/a	21-Apr	2,000.00	4.0
B BUCK CAPITAL MGMT		TJX	S	10,000	47.86	n/a	9-Apr	non-brg	4.0
COUGAR TRADING	044	TM	SS	5,000	76.70	n/a	n/a	250.00	5.0
f JOEL GIFFORD TISS	FID	TRN	B	5000	25.55	n/a	n/a	0.00	0.0
OZ MGMT	040	TRW	SS	27,731	33.04	n/a	n/a	831.93	3.0
B BUCK CAPITAL MGMT		UA	SS	10,000	34.78	n/a	14-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	S	10,000	40.23	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	URI	SS	40,000	12.24	n/a	n/a	2,000.00	5.0
TRELLUS PARTNERS LP	028	URI	S	20,000	11.86	n/a	n/a	1,000.00	5.0
RBC CAPITAL MARKETS	040	VALE	B	50,000	31.60	n/a	n/a	2,000.00	4.0
f KAREN F LEDDY	FID	WIN	S	1400	11.08	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	WRC	B	819	49.49	n/a	2-Mar	40.95	5.0
LEVIN CAPITAL STRATEGIES	012	WRC	B	50,000	50.39	n/a	2-Mar	1,500.00	3.0
B BUCK CAPITAL MGMT		WSO	S	10,000	62.53	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	X	B	15,000	58.67	n/a	n/a	600.00	4.0
TOTAL (Trade Date):							141,755.15		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/20	ST OF MICHIAN	047	AAPL	B	50,000	245.87	n/a	n/a	1500.00 3.0
AO,CXL	04/20	ST OF MICHIAN	047	AAPL	B	50,000	245.87	n/a	n/a	1500.00 3.0
AO,CRT	04/21	TRELLUS PARTNERS LP	028	BZ	B	25,000	6.93	n/a	n/a	750.00 3.0
AO,CXL	04/21	TRELLUS PARTNERS LP	028	BZ	B	25,000	6.93	n/a	n/a	1250.00 5.0
AO,CRT	04/21	LISTED ERR	099	CHRW	S	25,000	60.00	n/a	n/a	0.00 0.0
AO,CXL	04/21	LISTED ERR	099	CHRW	S	25,000	59.00	n/a	n/a	0.00 0.0
AO,CRT	04/21	LISTED ERR	099	CHRW	SS	25,000	60.00	n/a	n/a	0.00 0.0
AO,	04/21	CAVU CAP ADV	028	CHRW	SS	26,430	60.05	n/a	n/a	1321.50 5.0
AO,CRT	04/21	EMERSON CAPITAL MGMT	048	DBRN	B	9,000	28.94	n/a	n/a	450.00 5.0
AO,CXL	04/21	EMERSON CAPITAL MGMT	048	DBRN	B	9,000	28.94	n/a	n/a	360.00 4.0
AO,CRT	04/20	ST OF MICHIAN	047	FE	B	240,000	37.75	n/a	n/a	7200.00 3.0
AO,CXL	04/20	ST OF MICHIAN	047	FE	B	240,000	37.75	n/a	n/a	7200.00 3.0
AO,	04/21	LISTED ERR	099	FMR	B	2	13.84	n/a	n/a	0.00 0.0
AO,CXL	04/21	EMERSON CAPITAL MGMT	048	PRGO	SS	4,500	59.59	n/a	22-Apr	180.00 4.0
AO,CRT	04/21	EMERSON CAPITAL MGMT	048	PRGO	SS	4,500	59.59	n/a	22-Apr	225.00 5.0
AO,CRT	04/21	EMERSON CAPITAL MGMT	048	R	S	3,000	46.02	n/a	n/a	150.00 5.0
AO,CXL	04/21	EMERSON CAPITAL MGMT	048	R	S	3,000	46.02	n/a	n/a	120.00 4.0
AO,CRT	04/21	TRELLUS PARTNERS LP	028	URI	B	15,000	10.69	n/a	n/a	450.00 3.0
AO,CXL	04/21	TRELLUS PARTNERS LP	028	URI	B	15,000	10.69	n/a	n/a	750.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/21	&PRO	036	WAG	B	1,000	35.80	n/a	n/a	40.00 4.0
AO,CRT	04/21	PROSPECTOR PARTNERS LLC	036	WAG	B	1,000	35.80	n/a	n/a	40.00 4.0
TOTAL (AO, CXL, CRT) :										686.50

TOTAL (Trade Date) :	141,755.15
TOTAL (AO, CXL, CRT) :	686.50

TOTAL (RUN DATE) / NFS:	142,441.65
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MRI Securities with Trade Activity on 22-Apr.
SHW, SLM

MRI Securities without Trade Activity on 22-Apr.
STR, SIAL, SHPGY, SBUX, IGT, GR, BA

BRG comm. as a % of BCM Total Comm. paid.
 T-Date = 0%
 YTD = 11%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)