

Daily Compliance Activity Report Trade Date: 06/23/2011

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
LEVIN CAPITAL STRATEGIES	012	AMRN	S	10,000	13.99	n/a	n/a	300.00	3.0
CASTLEROCK	040	ANN	SS	68,964	25.93	n/a	n/a	2,758.56	4.0
LEVIN CAPITAL STRATEGIES	012	ATVI	S	20,000	11.22	n/a	n/a	600.00	3.0
TALPION LLC	040	AVP	С	10,000	27.30	n/a	20-Jun	400.00	4.0
MA CAPITAL MGMT/MELLON A	.DV041	AXL	В	15,000	10.53	n/a	25-May	450.00	3.0
MA CAPITAL MGMT/MELLON A	.DV041	AXL	S	15,000	10.65	n/a	25-May	450.00	3.0
TRELLUS PARTNERS LP	028	AXL	SS	30,000	10.58	n/a	25-May	1,500.00	5.0
WALKER SMITH	031	CAR	S	25 , 000	15.73	n/a	n/a	1,250.00	5.0
AMBER CAPITAL	046	CIT	S	12,000	42.63	n/a	17-May	480.00	4.0
GALT INVESTMENT	041	CRMT	S	596	31.11	n/a	n/a	29.80	5.0
GALT INVESTMENT	041	CROX	S	20,000	25.20	n/a	n/a	1,000.00	5.0
AMBER CAPITAL	046	DCOM	В	35,200	14.12	n/a	n/a	1,408.00	4.0
AVESTA CAP	229	DKS	В	50,000	37.69	n/a	18-May	1,500.00	3.0
BMO NESBITT BURNS	041	DKS	В	2,000	36.89	n/a	18-May	200.00	10.0
RAIL-SPLITTER	031	EAT	SS	34,500	24.15	n/a	29-Apr	1,725.00	5.0
CAMBIAR CAP	046	ETN	В	150,000	48.31	n/a	16-Jun	6,000.00	4.0

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TUDOR INVESTORS	028	FCX	В	2,000	47.39	n/a	n/a	60.00	3.0
ROYCE TRADING	012	FINL	В	6,200	22.30	n/a	21-Jun	310.00	5.0
BNP PARIBAS SEC	040	FL	S	13,500	23.88	n/a	2-Jun	675.00	5.0
HARVEST MGMT	029	FL	В	30,000	23.95	n/a	2-Jun	1,200.00	4.0
HARVEST MGMT	029	FL	S	10,000	24.10	n/a	2-Jun	400.00	4.0
RIDGECREST INV MGMT LLC	028	FL	В	15,000	24.01	n/a	2-Jun	750.00	5.0
RAINIER INV MGMT	035	FMER	В	96,900	15.99	n/a	n/a	3,876.00	4.0
TALPION LLC	040	FUL	С	8,000	22.83	n/a	n/a	320.00	4.0
KLEINHEINZ CAP PART	031	HBI	S	50,000	28.12	n/a	21-Apr	2,500.00	5.0
RIDGECREST INV MGMT LLC	028	HOG	В	5,000	38.00	n/a	n/a	250.00	5.0
AVESTA CAP	229	IP	С	100,000	28.91	n/a	21-Jun	3,000.00	3.0
ARMATURE CAPITAL	041	JCI	В	50,000	39.06	n/a	10-Jun	2,000.00	4.0
ROYCE TRADING	012	KDN	В	3,000	35.63	n/a	n/a	150.00	5.0
SAC TRADING TOM LEDDY	035	KO	В	25,000	65.15	n/a	20-Jun	875.00	3.5
ADALY INV MGMT	031	KSS	В	30,000	51.02	n/a	3-Jun	1,500.00	5.0
BNP PARIBAS SEC	040	LEA	В	10,000	49.93	n/a	2-May	500.00	5.0
TRELLUS PARTNERS LP	028	LEA	В	30,000	50.07	n/a	2-May	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
MACQUARIE INVESTMENT MGMT	. 040	LMT	SS	5,500	78.92	n/a	2-May	0.00	0.0
MA CAPITAL MGMT/MELLON AD	V041	MCD	SS	2,000	81.56	n/a	8-Jun	100.00	5.0
ROYCE TRADING	012	MLI	В	17,000	36.02	n/a	27-Apr	850.00	5.0
SONTERRA CAP	041	MMI	SS	30,000	23.49	n/a	n/a	1,200.00	4.0
SENATOR INVESTMENT GROUP	036	MRO	S	25 , 000	51.30	n/a	n/a	750.00	3.0
SENATOR INVESTMENT GROUP	036	PKG	S	100,000	27.13	n/a	21-Jun	3,000.00	3.0
TUDOR INVESTORS	028	RSG	В	15,000	30.44	n/a	n/a	450.00	3.0
SONTERRA CAP	041	SLE	В	20,000	18.57	n/a	n/a	800.00	4.0
S.A.C. CAP/ORENSTEIN	044	SMH	SS	50,000	33.03	n/a	n/a	1,750.00	3.5
LEVIN CAPITAL STRATEGIES	012	SUG	S	22,500	33.70	n/a	n/a	675.00	3.0
STANDARD PACIFIC CAPITAL	040	TIN	В	100,000	29.85	n/a	21-Jun	5,000.00	5.0
GALT INVESTMENT	041	TLB	S	83 , 002	3.70	n/a	n/a	2,075.05	2.5
CHESAPEAKE PARTNERS	028	UAL	S	20,000	25.25	n/a	n/a	600.00	3.0
MERRILL ASSET/BLACKROCK	297	WLP	S	58 , 500	76.42	n/a	n/a	2,340.00	4.0
JP MORGAN VENTURES	046	WRC	S	10,000	50.17	n/a	6-May	400.00	4.0
	MACQUARIE INVESTMENT MGMT MA CAPITAL MGMT/MELLON AD ROYCE TRADING SONTERRA CAP SENATOR INVESTMENT GROUP TUDOR INVESTORS SONTERRA CAP S.A.C. CAP/ORENSTEIN LEVIN CAPITAL STRATEGIES STANDARD PACIFIC CAPITAL GALT INVESTMENT CHESAPEAKE PARTNERS MERRILL ASSET/BLACKROCK	MACQUARIE INVESTMENT MGMT. 040 MA CAPITAL MGMT/MELLON ADV041 ROYCE TRADING 012 SONTERRA CAP 041 SENATOR INVESTMENT GROUP 036 TUDOR INVESTORS 028 SONTERRA CAP 041 S.A.C. CAP/ORENSTEIN 044 LEVIN CAPITAL STRATEGIES 012 STANDARD PACIFIC CAPITAL 040 GALT INVESTMENT 041 CHESAPEAKE PARTNERS 028 MERRILL ASSET/BLACKROCK 297	MACQUARIE INVESTMENT MGMT. 040 LMT MA CAPITAL MGMT/MELLON ADV041 MCD ROYCE TRADING 012 MLI SONTERRA CAP 041 MMI SENATOR INVESTMENT GROUP 036 MRO SENATOR INVESTMENT GROUP 036 PKG TUDOR INVESTORS 028 RSG SONTERRA CAP 041 SLE S.A.C. CAP/ORENSTEIN 044 SMH LEVIN CAPITAL STRATEGIES 012 SUG STANDARD PACIFIC CAPITAL 040 TIN GALT INVESTMENT 041 TLB CHESAPEAKE PARTNERS 028 UAL MERRILL ASSET/BLACKROCK 297 WLP	MACQUARIE INVESTMENT MGMT. 040 LMT SS MA CAPITAL MGMT/MELLON ADV041 MCD SS ROYCE TRADING 012 MLI B SONTERRA CAP 041 MMI SS SENATOR INVESTMENT GROUP 036 MRO S SENATOR INVESTMENT GROUP 036 PKG S TUDOR INVESTORS 028 RSG B SONTERRA CAP 041 SLE B S.A.C. CAP/ORENSTEIN 044 SMH SS LEVIN CAPITAL STRATEGIES 012 SUG S STANDARD PACIFIC CAPITAL 040 TIN B GALT INVESTMENT 041 TLB S CHESAPEAKE PARTNERS 028 UAL S MERRILL ASSET/BLACKROCK 297 WLP S	MACQUARIE INVESTMENT MGMT. 040 LMT SS 5,500 MA CAPITAL MGMT/MELLON ADV041 MCD SS 2,000 ROYCE TRADING 012 MLI B 17,000 SONTERRA CAP 041 MMI SS 30,000 SENATOR INVESTMENT GROUP 036 MRO S 25,000 SENATOR INVESTMENT GROUP 036 PKG S 100,000 TUDOR INVESTORS 028 RSG B 15,000 SONTERRA CAP 041 SLE B 20,000 S.A.C. CAP/ORENSTEIN 044 SMH SS 50,000 LEVIN CAPITAL STRATEGIES 012 SUG S 22,500 STANDARD PACIFIC CAPITAL 040 TIN B 100,000 GALT INVESTMENT 041 TLB S 83,002 CHESAPEAKE PARTNERS 028 UAL S 20,000 MERRILL ASSET/BLACKROCK 297 WLP S 58,500	MACQUARIE INVESTMENT MGMT. 040 LMT SS 5,500 78.92 MA CAPITAL MGMT/MELLON ADV041 MCD SS 2,000 81.56 ROYCE TRADING 012 MLI B 17,000 36.02 SONTERRA CAP 041 MMI SS 30,000 23.49 SENATOR INVESTMENT GROUP 036 MRO S 25,000 51.30 SENATOR INVESTMENT GROUP 036 PKG S 100,000 27.13 TUDOR INVESTORS 028 RSG B 15,000 30.44 SONTERRA CAP 041 SLE B 20,000 18.57 S.A.C. CAP/ORENSTEIN 044 SMH SS 50,000 33.03 LEVIN CAPITAL STRATEGIES 012 SUG S 22,500 33.70 STANDARD PACIFIC CAPITAL 040 TIN B 100,000 29.85 GALT INVESTMENT 041 TLB S 83,002 3.70 CHESAPEAKE PARTNERS 028 UAL S 20,000 25.25 MERRILL ASSET/BLACKROCK 297 WLP S 58,500 76.42	MACQUARIE INVESTMENT MGMT. 040 LMT SS 5,500 78.92 n/a MA CAPITAL MGMT/MELLON ADV041 MCD SS 2,000 81.56 n/a ROYCE TRADING 012 MLI B 17,000 36.02 n/a SONTERRA CAP 041 MMI SS 30,000 23.49 n/a SENATOR INVESTMENT GROUP 036 MRO S 25,000 51.30 n/a SENATOR INVESTMENT GROUP 036 PKG S 100,000 27.13 n/a TUDOR INVESTORS 028 RSG B 15,000 30.44 n/a SONTERRA CAP 041 SLE B 20,000 18.57 n/a S.A.C. CAP/ORENSTEIN 044 SMH SS 50,000 33.03 n/a LEVIN CAPITAL STRATEGIES 012 SUG S 22,500 33.70 n/a STANDARD PACIFIC CAPITAL 040 TIN B 100,000 29.85 n/a GALT INVESTMENT 041 TLB S 83,002 3.70 n/a CHESAPEAKE PARTNERS 028 UAL S 20,000 25.25 n/a MERRILL ASSET/BLACKROCK 297 WLP S 58,500 76.42 n/a	MACQUARIE INVESTMENT MGMT. 040	MACQUARIE INVESTMENT MGMT. 040

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MERRILL ASSET/BLACKROCK	297	XOM	S	67,200	77.32	n/a	n/a	2,688.00 4.0
PZENA INV ADV	044	ZMH	S	3,175	61.55	n/a	n/a	111.15 3.5

TOTAL (Trade Date): 62,706.56

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
AO,	06/22	DAVIDSON KEMPNER ADVISOR (DAVIDB)	040	DTG	S	5,300	73.69	n/a	n/a	265.00	5.0
CRT	06/23	HARVEST MGMT (HARVGSCO)	029	FL	В	30,000	23.95	n/a	2-Jun	1200.00	4.0
AO,	06/22	BRANDWINE (BWAM4801)	028	SPLS	В	60,100	15.51	n/a	n/a	2404.00	4.0
TOTAL	(AO,	CXL, CRT):							3,	869.00	

TOTAL (RUN DATE) / NFS:	66,575.56
TOTAL (AO, CXL, CRT):	3,869.00
TOTAL (Trade Date):	62,706.56

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION