



Daily Compliance Activity Report

Trade Date: 02/12/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RHO CAPITAL MGMT	041	AAPL	S	250	125.93	n/a	n/a	10.00	4.0
DSCM	032	AAPL	B	550	126.86	n/a	n/a	27.50	5.0
J COHEN	N27	AAPL	B	10	124.35	n/a	n/a	0.00	0.0
DSCM	032	ABB	B	2,800	24.78	n/a	n/a	140.00	5.0
DSCM	032	ADBE	B	2,150	34.57	n/a	n/a	107.50	5.0
DSCM	032	ADP	B	1,850	39.49	n/a	n/a	92.50	5.0
DSCM	032	ADSK	B	1,400	38.91	n/a	n/a	70.00	5.0
DSCM	032	AGN	B	1,800	64.62	31-Jan	12-Feb	90.00	5.0
NCM CAPITAL MGMT	035	AMG	B	4,950	102.15	9-Jan	11-Feb	198.00	4.0
BUCK CAPITAL MGMT	001	ANF	S	50,000	79.97	10-Jan	12-Feb	2,000.00	4.0
CATTANI	041	APA	S	100	104.94	14-Jan	11-Feb	0.00	0.0
COUGAR TRADING	044	ARGN	S	16,800	19.12	n/a	11-Feb	840.00	5.0
Ö Ted Wheeler		ASEI	S	3000	54.0000	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	BAC	S	28,600	43.20	13-Nov	23-Jan	1,430.00	5.0
NCM CAPITAL MGMT	035	BCR	B	7,600	97.26	n/a	22-Apr	304.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BULL PATH CAPITAL	044	BC	B	25,000	18.03	n/a	n/a	1,250.00	5.0
NCM CAPITAL MGMT	035	BEAV	B	12,300	41.53	n/a	n/a	492.00	4.0
DSCM	032	BEN	B	600	98.60	25-Sep	11-Feb	30.00	5.0
RHO CAPITAL MGMT	041	BIDU	S	180	249.34	n/a	n/a	7.20	4.0
BARR	096	BIDU	B	200	243.60	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	BK	S	25,000	46.62	19-Oct	18-Jan	1,250.00	5.0
JENNISON	032	BRCM	B	125,500	21.05	n/a	n/a	5,020.00	4.0
WAGONHOUND	048	BWA	S	7,750	47.04	14-Jan	8-Feb	310.00	4.0
NCM CAPITAL MGMT	035	B	B	17,600	25.82	n/a	n/a	704.00	4.0
SOCIETY GENERALE	040	CAKE	S	5,000	21.00	n/a	24-Apr	200.00	4.0
DSCM	032	CELG	B	3,450	57.57	n/a	n/a	172.50	5.0
GOLDMAN SACHS ASSET MGMT	044	CHK	B	217,810	41.43	n/a	n/a	3,923.30	1.8
DSCM	032	CHRW	B	1,500	55.06	n/a	n/a	75.00	5.0
ADAGE CAPITAL MGMT	030	CHS	B	150,000	10.38	31-Jan	8-Feb	6,000.00	4.0
BALYASNY ASSET MGMT	044	CMA	B	30,000	40.01	n/a	n/a	1,500.00	5.0
NCM CAPITAL MGMT	035	COL	B	11,700	61.45	n/a	n/a	468.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DSCM	032	CSCO	B	3,250	23.63	n/a	22-Mar	162.50	5.0
LEDDY	035	CSR	B	700	14.85	n/a	n/a	0.00	0.0
DSCM	032	CTSH	B	2,800	33.18	n/a	n/a	140.00	5.0
DSCM	032	CVS	B	1,050	40.33	n/a	n/a	52.50	5.0
AVESTA CAP	229	DE	S	20,000	85.93	n/a	n/a	1,000.00	5.0
BALYASNY ASSET MGMT	044	DFS	B	70,000	15.39	n/a	n/a	3,500.00	5.0
GENERAL ELECTIC CO	033	DIS	B	21,908	32.23	n/a	n/a	438.16	2.0
DEIC	033	DIS	B	321	32.23	n/a	n/a	6.42	2.0
WHEELER	008	DWSN	S	1,400	55.54	n/a	n/a	0.00	0.0
DSCM	032	EXPD	B	850	41.69	n/a	n/a	42.50	5.0
GEORGE WEISS	034	FOR	S	10,000	28.83	n/a	n/a	400.00	4.0
DSCM	032	FTI	B	1,150	50.79	n/a	n/a	57.50	5.0
MAGUIRE	090	FXP	B	1,000	95.46	n/a	n/a	0.00	0.0
DSCM	032	GENZ	B	1,850	77.13	n/a	n/a	92.50	5.0
NCM CAPITAL MGMT	035	GLF	B	5,350	41.34	n/a	n/a	214.00	4.0
DSCM	032	GLW	B	3,800	23.70	n/a	11-Feb	190.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NY SEC/CHRIS	041	GM	SS	50,000	27.26	3-Jan	6-Feb	3,000.00	6.0
WOOSTER CAPITAL	035	GM	SS	100,000	27.19	3-Jan	6-Feb	5,000.00	5.0
DSCM	032	GOOG	B	225	525.31	n/a	n/a	11.25	5.0
DSCM	032	GPRO	B	1,350	58.41	n/a	n/a	67.50	5.0
DSCM	032	HOLX	B	1,200	62.65	n/a	n/a	60.00	5.0
WAGONHOUND	048	HSC	S	3,490	56.21	10-Dec	4-Feb	139.60	4.0
MAGUIRE	039	IBN	C	100	55.65	n/a	n/a	0.00	0.0
GENERAL ELECTIC CO	033	INTC	B	42,421	20.85	n/a	n/a	848.42	2.0
DEIC	033	INTC	B	561	20.85	n/a	n/a	11.22	2.0
NCM CAPITAL MGMT	035	ITC	B	10,950	56.23	n/a	n/a	438.00	4.0
NCM CAPITAL MGMT	035	ITG	B	10,700	46.75	n/a	n/a	428.00	4.0
GENERAL ELECTIC CO	033	ITT	B	22,398	57.22	n/a	6-Feb	447.96	2.0
DEIC	033	ITT	B	329	57.22	n/a	6-Feb	6.58	2.0
U.S.TRUST COMPANY	012	IVZ	B	220,000	25.39	20-Dec	11-Feb	6,600.00	3.0
DSCM	032	IVZ	B	2,600	25.43	20-Dec	11-Feb	130.00	5.0
METROPOLITAN CAPITAL	044	JCP	S	9,700	51.02	4-Jan	8-Feb	485.00	5.0
SUFFOLK CAPITAL MGMT	040	JCP	S	35,000	50.50	4-Jan	8-Feb	1,400.00	4.0
BUCK CAPITAL MGMT	001	KMX	SS	20,000	19.35	n/a	10-Jan	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GLG PARTNERS	034	KO	S	50,000	59.91	n/a	n/a	2,500.00	5.0
METROPOLITAN CAPITAL	044	KSS	S	10,150	48.25	4-Jan	8-Feb	507.50	5.0
NCM CAPITAL MGMT	035	LDG	B	9,850	48.73	n/a	n/a	394.00	4.0
BALYASNY ASSET MGMT	044	LM	SS	15,000	70.36	17-Dec	12-Feb	750.00	5.0
ZOLIDIS	011	MDCA	B	1,000	8.15	n/a	n/a	0.00	0.0
DSCM	032	MSFT	B	1,200	28.32	n/a	3-Apr	60.00	5.0
BUCK CAPITAL MGMT	001	M	S	70,000	25.06	7-Feb	8-Feb	2,800.00	4.0
CATAPULT	040	NITE	SS	5,000	17.33	n/a	n/a	250.00	5.0
CATTANI	041	NPRTX	B	503	29.83	n/a	n/a	0.00	0.0
DSCM	032	NWSA	B	1,600	19.59	n/a	n/a	80.00	5.0
BUCK CAPITAL MGMT	001	OXM	SS	10,000	21.40	n/a	n/a	400.00	4.0
PILOT ROCK	028	PCAR	B	68,825	44.45	n/a	n/a	2,753.00	4.0
NCM CAPITAL MGMT	035	PCLN	B	9,000	104.06	n/a	n/a	360.00	4.0
GLG PARTNERS	034	PG	S	25,000	66.73	11-Dec	1-Feb	1,250.00	5.0
NCM CAPITAL MGMT	035	PKG	B	14,300	24.14	23-Jan	23-Jan	572.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRUNNER	012	PNCL	S	2,600	13.93	n/a	n/a	0.00	0.0
BRUN	012	PNCL	S	200	13.92	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	PRA	B	7,550	54.92	n/a	n/a	302.00	4.0
THE JAY GOLDMAN	034	PVH	B	10,000	42.22	5-Feb	5-Feb	400.00	4.0
NEW JERSEY DIV OF INV.	220	PWR	B	150,000	21.31	n/a	n/a	6,000.00	4.0
Õ Ted Wheeler		RAE	B	15000	2.1700	n/a	n/a	0.00	0.0
ROYAL CAPITAL	039	RGS	B	1,000	24.97	n/a	n/a	50.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	30,000	66.39	7-Feb	7-Feb	1,200.00	4.0
STADIA CAPITAL	035	RL	B	10,000	66.54	7-Feb	7-Feb	400.00	4.0
CATTANI	041	RSH	B	500	16.70	n/a	n/a	0.00	0.0
DSCM	032	SEIC	B	3,700	25.83	n/a	n/a	185.00	5.0
MAGUIRE	090	SKF	B	1,000	109.79	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	SLAB	B	8,489	32.70	n/a	n/a	254.67	3.0
DSCM	032	SLB	B	2,050	82.58	n/a	n/a	102.50	5.0
MERRILL ASSET	032	SLM	S	289,300	19.87	n/a	n/a	13,018.50	4.5
NCM CAPITAL MGMT	035	SNA	B	12,400	50.47	n/a	n/a	496.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	SRS	B	1,000	114.25	n/a	n/a	0.00	0.0
BARR	096	SRS	B	300	114.12	n/a	n/a	0.00	0.0
DSCM	032	STT	B	1,400	83.68	n/a	n/a	70.00	5.0
SINCUTMA	031	SWN	S	50	62.40	14-Jan	12-Feb	0.00	0.0
DSCM	032	SYK	B	1,500	68.76	n/a	n/a	75.00	5.0
NCM CAPITAL MGMT	035	TDSS	B	6,350	47.97	n/a	n/a	254.00	4.0
NCM CAPITAL MGMT	035	TECD	B	16,700	33.68	n/a	n/a	668.00	4.0
BUCK CAPITAL MGMT	001	TJX	S	10,000	30.83	n/a	8-Feb	400.00	4.0
GENERAL ELECTIC CO	033	TSM	B	116,559	9.62	n/a	n/a	2,331.18	2.0
DEIC	033	TSM	B	1,710	9.62	n/a	n/a	34.20	2.0
MAGUIRE	090	TWM	B	3,000	81.37	n/a	n/a	0.00	0.0
MATRIX ASSET ADV.	036	TWX	S	25,000	15.91	n/a	n/a	750.00	3.0
GENERAL ELECTIC CO	033	TXN	B	17,200	30.45	n/a	n/a	344.00	2.0
DEIC	033	TXN	B	252	30.45	n/a	n/a	5.04	2.0
GAULT	063	UYG	S	1,000	35.44	n/a	n/a	0.00	0.0
DSCM	032	VAR	B	1,450	53.21	n/a	n/a	72.50	5.0
SINCLAIR	031	VHEAN	B	10	5.10	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GENERAL ELECTIC CO	033	VIAB	B	76,436	40.10	n/a	n/a	1,528.72	2.0
DEIC	033	VIAB	B	1,121	40.10	n/a	n/a	22.42	2.0
RHO CAPITAL MGMT	041	VSL	S	1,500	23.88	n/a	n/a	60.00	4.0
HARVEST MGMT	039	WFR	B	20,000	80.74	n/a	n/a	1,000.00	5.0
DSCM	032	WFT	B	1,600	64.74	n/a	n/a	80.00	5.0
THE JAY GOLDMAN	034	WRNC	S	12,500	35.23	14-Jan	29-Jan	500.00	4.0
NCM CAPITAL MGMT	035	WW	B	4,500	52.59	n/a	n/a	180.00	4.0
KORMAN	003	ZOLT	B	5,000	26.61	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	B	300,000	9.87	5-Feb	5-Feb	12,000.00	4.0
TOTAL (Trade Date):								108,341.34	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	02/12	WHITE PINE CAPITAL (WPIN2115)	030	BAC	S	300	43.20	13-Nov	23-Jan	15.00 5.0
AO,CXL	02/11	WAGONHOUND (WAGO)	048	FRED	B	4,700	9.04	11-Feb	11-Feb	188.00 4.0
AO,CRT	02/11	WAGONHOUND (WAGO)	048	FRED	B	4,700	9.04	11-Feb	11-Feb	141.00 3.0
AO,CXL	02/11	SANDELL ADV (SANLMORGAN)	040	HIG	SS	170,000	70.94	n/a	n/a	10200.00 6.0
AO,CRT	02/11	SANDLER CAPITAL (SANDOMINBU)	038	HIG	SS	170,000	70.94	n/a	n/a	10200.00 6.0
AO,CRT	01/24	MCKERLEAN (MCKERLEAN)	RR2	M	S	500	25.68	7-Feb	8-Feb	0.00 0.0
AO,CXL	01/24	MCKERLEAN (MCKERLEAN)	RR2	M	S	500	25.68	7-Feb	8-Feb	0.00 0.0
AO,	02/06	DALTON GREINER (DALT308)	012	MLI	B	130	28.81	5-Feb	5-Feb	6.50 5.0
AO,	02/06	DALTON GREINER (DALT308)	012	MLI	B	60	28.81	5-Feb	5-Feb	3.00 5.0
AO,CXL	02/06	DALTON GREINER (DALT296)	012	MLI	B	130	28.81	5-Feb	5-Feb	6.50 5.0
AO,CXL	02/06	DALTON GREINER (DALT116)	012	MLI	B	60	28.81	5-Feb	5-Feb	3.00 5.0
AO,	02/11	ROYAL CAPITAL (ROYAGOLDMA)	039	RGS	B	24,877	24.90	n/a	n/a	1243.85 5.0
AO,	02/11	ROYAL CAPITAL (ROYABS)	039	RGS	B	100	24.90	n/a	n/a	5.00 5.0
CRT	02/12	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RL	SS	30,000	66.39	7-Feb	7-Feb	1200.00 4.0
AO,CXL	02/07	GAULT (GAULT)	063	SKF	B	500	104.00	n/a	n/a	0.00 0.0
AO,CRT	02/07	GAULT (GAULT)	063	SKF	B	500	104.00	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										2,416.85

TOTAL (Trade Date):	108,341.34
TOTAL (AO, CXL, CRT):	2,416.85

TOTAL (RUN DATE) / NFS:	110,758.19
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION