



Daily Compliance Activity Report

Trade Date: 05/22/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f ANN BETTENDORF FREEM	FID	AAPL	S	5	569.02	n/a	n/a	0.00	0.0
MACELLUM CAPITAL	086	ABG	B	20,000	26.34	n/a	27-Apr	600.00	3.0
BESSEMER INVESTMENT	085	ACE	B	440	72.93	n/a	n/a	17.60	4.0
BESSEMER INVESTMENT	085	ACN	B	590	59.06	n/a	n/a	23.60	4.0
ADAGE CAPITAL MGMT	030	AEO	B	95,418	19.95	n/a	2-Dec	2,862.54	3.0
B BUCK CAPITAL MGMT		AEO	B	20,000	19.95	n/a	2-Dec	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	B	30,000	19.90	n/a	2-Dec	non-brg	4.0
f WILLIAM J GAULT	FID	AMCC	B	3815	5.22	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	AMRN	B	13	0.70	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ANN	B	20,000	27.03	n/a	n/a	non-brg	4.0
f BARBARA S LEDDY	FID	ARCO	B	400	13.26	n/a	7-May	0.00	0.0
f THOMAS JOHN LEDDY	FID	ARCO	B	500	13.19	n/a	7-May	0.00	0.0
f THOMAS MACTAGGART LE	FID	ARCO	B	400	13.16	n/a	7-May	0.00	0.0
B BUCK CAPITAL MGMT		ASNA	S	25,000	19.14	n/a	n/a	non-brg	4.0
MACELLUM CAPITAL	086	ASNA	B	20,000	19.21	n/a	n/a	600.00	3.0
B BUCK CAPITAL MGMT		BHI	SS	10,000	41.21	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BHI	SS	25,000	41.59	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WATERFRONT CAP	028	CAR	SS	100,000	14.62	n/a	n/a	4,000.00	4.0
NEUBERGER BERMAN	036	CHS	S	122,000	15.12	n/a	5-Feb	4,880.00	4.0
TUDOR INVESTORS	028	CMI	SS	2,000	100.96	n/a	2-May	60.00	3.0
BESSEMER INVESTMENT	085	COP	B	395	51.88	n/a	n/a	15.80	4.0
FIRST NY SEC/CHRIS	041	CRI	SS	10,000	51.08	n/a	n/a	300.00	3.0
BESSEMER INVESTMENT	085	CTL	B	640	38.76	n/a	n/a	25.60	4.0
NWQ INVESTMENT MGMT CO	084	CVG	S	20,000	13.45	n/a	n/a	700.00	3.5
BESSEMER INVESTMENT	085	CVS	B	455	45.14	n/a	n/a	18.20	4.0
f CICYLY S BACKUS	FID	DFZ	S	3000	13.57	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	DTE	B	220	56.23	n/a	n/a	8.80	4.0
f KAREN F LEDDY	FID	ESSX	S	1700	3.37	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	EXC	B	585	37.21	n/a	n/a	23.40	4.0
BESSEMER INVESTMENT	085	FCX	B	340	32.32	n/a	n/a	13.60	4.0
NEUBERGER BERMAN	036	FEIC	B	12,900	44.39	n/a	n/a	516.00	4.0
BESSEMER INVESTMENT	085	FE	B	610	47.85	n/a	n/a	24.40	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ASCEND PARTNERS	033	FINL	B	25,000	22.13	n/a	2-Apr	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		FL	B	30,000	30.89	n/a	18-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FL	B	50,000	31.11	n/a	18-May	non-brg	4.0
⚡ CHRISTOPHER ROBERT C	FID	FSUTX	S	56	55.03	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		GCO	B	50,000	70.83	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GCO	B	50,000	70.84	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GIL	B	30,000	24.93	n/a	4-May	non-brg	2.5
ADAGE CAPITAL MGMT	030	GPS	B	300,000	26.83	n/a	n/a	9,000.00	3.0
NEUBERGER BERMAN	036	HITT	B	15,000	49.99	n/a	n/a	600.00	4.0
HALCYON LLC	041	HP	B	10,000	47.59	n/a	n/a	300.00	3.0
⚡ BARBARA S LEDDY	FID	ICON	S	200	15.48	n/a	n/a	0.00	0.0
⚡ KAREN F LEDDY	FID	ICON	S	600	15.50	n/a	n/a	0.00	0.0
⚡ THOMAS JOHN LEDDY	FID	ICON	S	450	15.48	n/a	n/a	0.00	0.0
⚡ THOMAS MACTAGGART LE	FID	ICON	S	400	15.40	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	JCI	S	13,000	30.53	n/a	27-Apr	650.00	5.0
BESSEMER INVESTMENT	085	JNJ	B	590	63.62	n/a	n/a	23.60	4.0
⚡ BUCK CAPITAL MGMT		JNY	B	50,000	9.43	n/a	26-Apr	non-brg	3.0
HALCYON LLC	041	JPM	B	20,000	34.45	n/a	18-May	600.00	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MANNY I KORMAN	FID	JPM	B	10000	33.04	n/a	18-May	0.00	0.0
	STANDARD PACIFIC CAPITAL	084	KEY	B	500,000	7.55	n/a	n/a	20,000.00	4.0
	SUN AMERICA ASSET MGMT	030	KORS	B	14,396	41.50	n/a	n/a	575.84	4.0
	BESSEMER INVESTMENT	085	LOW	B	1,135	25.91	n/a	22-May	45.40	4.0
	BRONSON POINT	036	LVS	C	25,000	47.25	n/a	13-Jun	750.00	3.0
	MERRILL ASSET/BLACKROCK	297	MA	B	11,544	412.41	n/a	17-May	461.76	4.0
	OHIO PERS	047	MET	B	102,426	30.90	n/a	n/a	3,072.78	3.0
	BESSEMER INVESTMENT	085	MHP	B	490	45.28	n/a	n/a	19.60	4.0
f	JASON ERIC COHEN	FID	MINI	B	100	13.65	n/a	n/a	0.00	0.0
f	JOHN FRANCIS MCERLEA	FID	MINI	B	300	13.64	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	MRO	B	820	24.52	n/a	n/a	32.80	4.0
	BESSEMER INVESTMENT	085	MSFT	S	250	29.72	n/a	n/a	10.00	4.0
	PERIMETER	273	MTZ	S	128,104	16.19	n/a	n/a	3,843.12	3.0
	TUDOR INVESTORS	028	MWV	B	5,000	27.52	n/a	25-Apr	150.00	3.0
	BESSEMER INVESTMENT	085	M	B	750	36.53	n/a	10-May	30.00	4.0
	BESSEMER INVESTMENT	085	NEM	B	665	47.25	n/a	n/a	26.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOHN MICHAEL ZOLIDIS	FID	NFLX	S	50	67.97	n/a	n/a	0.00	0.0
HALCYON LLC	041	NRF	B	10,000	5.27	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		NWY	B	25,000	3.30	n/a	n/a	non-brg	2.0
f MANNY I KORMAN	FID	NYV	B	3368	16.15	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PII	S	10,000	76.65	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PII	S	40,000	76.60	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PVH	B	20,000	77.59	n/a	9-May	non-brg	4.0
B BUCK CAPITAL MGMT		PVH	B	20,000	77.76	n/a	9-May	non-brg	4.0
RAINIER INV MGMT	035	RJF	B	108,630	33.70	n/a	n/a	4,345.20	4.0
B BUCK CAPITAL MGMT		RL	C	10,000	151.91	n/a	9-May	non-brg	4.0
f LEE F BACKUS	FID	RNDY	S	3000	10.79	n/a	n/a	0.00	0.0
MACELLUM CAPITAL	086	RUE	S	35,000	27.95	n/a	n/a	1,050.00	3.0
LISTED ERR	097	RUE	S	5,000	27.82	n/a	n/a	0.00	0.0
LISTED ERR	099	RUE	B	5,000	28.17	n/a	n/a	0.00	0.0
CYRUS CAPITAL	025	SEE	S	50,000	16.06	n/a	2-Jun	1,500.00	3.0
f MANNY I KORMAN	FID	SLMAP	B	5000	43.07	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	SN	S	100	23.74	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NY SEC/CHRIS	041	SPLS	SS	10,000	13.40	n/a	n/a	300.00	3.0
PERRY PARTNERS	085	SPY	B	50,000	132.47	n/a	n/a	1,500.00	3.0
BESSEMER INVESTMENT	085	STX	B	375	26.31	n/a	n/a	15.00	4.0
f ANN BETTENDORF FREEM	FID	SWN	S	100	29.63	n/a	9-May	0.00	0.0
f DAVID GEORGE BUCK	FID	SXCI	S	10	1.80	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	SYNT	B	13,500	58.33	n/a	n/a	540.00	4.0
COLUMBUS CIRCLE	036	TNGO	B	2,361	21.49	n/a	n/a	94.44	4.0
TRUSTCO/SUNTRUST (CEREDEX)	273	T	S	125,000	33.65	n/a	n/a	4,375.00	3.5
f ALANA J A MADIGAN-SI	FID	UNG	S	150	19.42	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	UNP	SS	2,000	113.02	n/a	n/a	60.00	3.0
ADAGE CAPITAL MGMT	030	URBN	S	300,000	28.18	n/a	n/a	9,000.00	3.0
HALCYON LLC	041	USO	B	20,000	34.43	n/a	n/a	600.00	3.0
EPOCH INV MGMT	030	VC	S	530	41.84	n/a	n/a	15.90	3.0
f ANN BETTENDORF FREEM	FID	VMW	S	25	101.90	n/a	n/a	0.00	0.0
SENATOR INVESTMENT GROUP	036	WSM	S	160,000	35.87	n/a	n/a	4,800.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	WU	B	1,370	17.00	n/a	n/a	54.80	4.0
BALYASNY ASSET MGMT	044	WY	B	50,000	19.58	n/a	4-May	2,500.00	5.0
VISIUM/BALY BOBBY	044	XLB	SS	20,000	33.65	n/a	n/a	1,000.00	5.0
BESSEMER INVESTMENT	085	ZMH	B	375	60.28	n/a	n/a	15.00	4.0
SIMMONS VENTURES	041	ZQK	B	5,000	2.74	n/a	10-May	150.00	3.0
B BUCK CAPITAL MGMT		ZVZZT	B	1,000	1.00	n/a	n/a	non-brg	0.0
TOTAL (Trade Date) :								88,346.38	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									88,346.38
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									88,346.38

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO	05/21	BUCK CAPITAL MGMT	URBN	S	20,000	26.71	n/a	n/a	200.00 1.0

MRI Securities with Trade Activity on 22-May.
NONE

MRI Securities without Trade Activity on 22-May.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)