



# Daily Compliance Activity Report

Trade Date: 07/28/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>ABT</b>	<b>B</b>	<b>1000</b>	<b>45.52</b>	<b>n/a</b>	<b>22-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>ALRC</b>	<b>B</b>	<b>410</b>	<b>2.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	AMG	S	15,600	62.86	5-Jun	27-Jul	780.00	5.0
ROYCE TRADING	216	AOS	B	18,000	39.22	17-Jul	17-Jul	900.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>10,000</b>	<b>36.25</b>	<b>n/a</b>	<b>10-Jul</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	B	5,000	35.88	n/a	10-Jul	250.00	5.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>AVMHJ</b>	<b>B</b>	<b>10</b>	<b>2.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MILLENNIUM-ANDEW	031	AXE	B	15,000	32.94	28-Jul	28-Jul	1,200.00	8.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>AXL</b>	<b>B</b>	<b>1000</b>	<b>1.38</b>	<b>n/a</b>	<b>9-Jul</b>	<b>0.00</b>	<b>0.0</b>
GREEN ARROW CAPITAL	040	AXP	SS	15,000	27.67	n/a	28-Jul	750.00	5.0
DIAMONDBACK CAP MGMT	232	BAC	S	50,000	13.25	11-May	20-Jul	2,500.00	5.0
OZ MGMT	040	BAC	B	250,000	13.22	11-May	20-Jul	7,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>C</b>	<b>60,000</b>	<b>34.54</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>SS</b>	<b>30,000</b>	<b>37.21</b>	<b>n/a</b>	<b>27-Jul</b>	<b>1,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BHI</b>	<b>B</b>	<b>10,000</b>	<b>39.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GREEN ARROW CAPITAL	040	BKC	S	20,000	16.92	16-Apr	10-Jul	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BKE</b>	<b>S</b>	<b>50,000</b>	<b>30.05</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EPOCH INV MGMT	030	BTU	B	1,750	33.70	n/a	n/a	70.00	4.0
COOPER CREEK PART	028	BWA	B	10,000	35.51	10-Jun	16-Jul	400.00	4.0
PZENA INV ADV	044	CAH	S	900	33.00	n/a	n/a	31.50	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>CAM</b>	<b>B</b>	<b>10,000</b>	<b>30.27</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JOHN CAREY MAGUIRE</b>	<b>FID</b>	<b>CAT</b>	<b>S</b>	<b>200</b>	<b>42.14</b>	<b>n/a</b>	<b>22-Jul</b>	<b>0.00</b>	<b>0.0</b>
PZENA INV ADV	044	CA	S	2,500	20.70	n/a	n/a	87.50	3.5
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>CBI</b>	<b>S</b>	<b>200</b>	<b>13.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	CL	B	1,550	75.41	8-Jun	8-Jun	62.00	4.0
PZENA INV ADV	044	COF	S	850	29.37	15-May	28-Jul	29.75	3.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>40,000</b>	<b>27.25</b>	<b>n/a</b>	<b>16-Jul</b>	<b>1,600.00</b>	<b>4.0</b>
EPOCH INV MGMT	030	COP	B	2,800	44.70	n/a	n/a	112.00	4.0
EGS ASSOCIATES LP	091	CPKI	SS	3,500	16.60	29-May	15-Jul	210.00	6.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>B</b>	<b>160,000</b>	<b>26.82</b>	<b>n/a</b>	<b>n/a</b>	<b>6,400.00</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>CRI</b>	<b>B</b>	<b>10,000</b>	<b>26.92</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
PZENA INV ADV	044	CRL	S	750	31.94	n/a	n/a	26.25	3.5
RAINIER INV MGMT	035	CXO	S	35,840	30.16	n/a	n/a	1,792.00	5.0
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>C</b>	<b>S</b>	<b>4000</b>	<b>2.91</b>	<b>n/a</b>	<b>20-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>DAL</b>	<b>B</b>	<b>25,000</b>	<b>6.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
SLS CAPITAL LLC	224	DAL	B	102,500	6.44	n/a	n/a	3,075.00	3.0
EPOCH INV MGMT	030	DD	B	4,900	30.12	n/a	21-Jul	196.00	4.0
KINGDON ASSET MGMT	041	DECK	B	25,000	67.61	n/a	n/a	1,000.00	4.0
PZENA INV ADV	044	DELL	S	1,675	13.83	n/a	n/a	58.63	3.5
EPOCH INV MGMT	030	DE	B	2,350	41.93	n/a	17-Jun	94.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>DSW</b>	<b>SS</b>	<b>50,000</b>	<b>12.76</b>	<b>28-Jul</b>	<b>28-Jul</b>	<b>non-brg</b>	<b>2.0</b>
COOPER CREEK PART	028	DSW	SS	10,000	12.98	28-Jul	28-Jul	400.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>FOSL</b>	<b>S</b>	<b>10,000</b>	<b>25.02</b>	<b>30-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>2.5</b>
<b>B</b> BUCK CAPITAL MGMT		<b>FOSL</b>	<b>S</b>	<b>20,000</b>	<b>25.16</b>	<b>30-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>FSIXX</b>	<b>S</b>	<b>129000</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	GCO	S	96,800	20.33	n/a	10-Jan	3,872.00	4.0
BUCK CAPITAL MGMT	001	GES	S	20,000	28.70	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COOPER CREEK PART	028	GPI	SS	10,000	27.31	n/a	29-Apr	400.00	4.0
SIGMA CAPITAL ASSOCIATES	041	GPS	S	100,000	16.07	30-Jan	30-Jan	4,000.00	4.0
PZENA INV ADV	044	HD	S	875	25.25	12-May	20-May	30.63	3.5
RK CAPTIAL MGMT	045	HEI	SS	5,000	38.46	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		HLF	B	10,000	32.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HLF	B	15,000	32.46	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HLF	B	25,000	32.51	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		HLF	B	25,000	32.59	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HLF	B	75,000	32.37	n/a	n/a	non-brg	4.0
f RAGHAV NAYAR	FID	HWD	B	100	5.01	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ICON	S	10,000	17.18	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	IP	SS	25,000	18.38	21-Jul	21-Jul	1,250.00	5.0
CAXTON PART	040	IP	S	115,908	18.28	21-Jul	21-Jul	4,056.80	3.5
f JASON ERIC COHEN	FID	JBHT	B	65	27.84	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	28.22	30-Jan	30-Jan	400.00	4.0
f DAVID GEORGE BUCK	FID	JCP	B	2000	28.75	13-Apr	10-Jul	0.00	0.0
PZENA INV ADV	044	JCP	S	1,800	28.86	13-Apr	10-Jul	63.00	3.5
CARLSON CAPITAL	203	JPM	S	52,800	37.95	n/a	20-Jul	2,112.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KENNEDY CAPITAL	046	KPPC	B	100,000	5.26	n/a	n/a	3,000.00	3.0
MERRILL ASSET/BLACKROCK	214	LF	B	40,000	2.48	n/a	n/a	1,800.00	4.5
FIRST NEW YORK LEDDY	035	LVLTT	B	20,000	1.65	n/a	4-Jan	1,000.00	5.0
GREEN ARROW CAPITAL	040	LVS	S	30,000	10.82	n/a	14-Jul	1,500.00	5.0
SAC TRADING/BILLY	044	MA	S	10,000	186.97	n/a	28-Jul	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MDR</b>	<b>B</b>	<b>15,000</b>	<b>19.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HOLLIS CAPITAL	033	MRK	S	5,000	30.02	n/a	n/a	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>530,000</b>	<b>140.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>14.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>8,000</b>	<b>140.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>14.0</b>
CATAPULT	040	MYL	B	70,000	13.21	n/a	27-Jul	3,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NTY</b>	<b>B</b>	<b>80,000</b>	<b>35.60</b>	<b>n/a</b>	<b>n/a</b>	<b>3,200.00</b>	<b>4.0</b>
APEX CAPITAL MGMT.	091	NVDA	S	100,000	12.93	n/a	n/a	4,000.00	4.0
MOORE CAPITAL	036	NWL	B	65,000	12.15	n/a	n/a	2,600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>NWY</b>	<b>B</b>	<b>20,000</b>	<b>3.47</b>	<b>30-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>2.0</b>
BALYASNY ASSET MGMT	044	OMX	B	20,000	7.26	n/a	15-Apr	800.00	4.0
MARINER/TRICADIA	041	OUTD	B	800	7.03	n/a	n/a	40.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MARINER/TRICADIA	041	OUTD	S	800	7.10	n/a	n/a	40.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>PBCT</b>	<b>B</b>	<b>1000</b>	<b>16.07</b>	<b>n/a</b>	<b>28-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>PBCT</b>	<b>B</b>	<b>400</b>	<b>16.08</b>	<b>n/a</b>	<b>28-Jul</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET/BLACKROCK	214	PLCE	B	5,300	29.71	19-Mar	10-Jul	238.50	4.5
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>PQ</b>	<b>B</b>	<b>10000</b>	<b>3.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PSS</b>	<b>S</b>	<b>30,000</b>	<b>15.61</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
BAIRD INVESTMENT	256	PVH	S	17,425	34.94	28-Jul	28-Jul	871.25	5.0
THE JAY GOLDMAN	034	PVH	SS	10,000	34.66	28-Jul	28-Jul	400.00	4.0
FIRST NEW YORK NICK	029	Q	B	30,000	4.06	n/a	n/a	1,500.00	5.0
GREEN ARROW CAPITAL	040	RCL	S	15,000	15.79	22-Apr	24-Apr	750.00	5.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>RIG</b>	<b>S</b>	<b>19</b>	<b>80.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>S</b>	<b>10,000</b>	<b>60.89</b>	<b>28-Jul</b>	<b>28-Jul</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SBUX</b>	<b>C</b>	<b>20,000</b>	<b>17.34</b>	<b>20-Apr</b>	<b>21-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SII</b>	<b>B</b>	<b>20,000</b>	<b>24.99</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>S</b>	<b>60,000</b>	<b>13.62</b>	<b>n/a</b>	<b>13-Mar</b>	<b>2,400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SLB</b>	<b>B</b>	<b>7,500</b>	<b>54.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>SLW</b>	<b>B</b>	<b>2000</b>	<b>8.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET/BLACKROCK	214	SMBL	B	50,000	5.81	n/a	n/a	2,250.00	4.5
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>STI</b>	<b>S</b>	<b>1000</b>	<b>17.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET/BLACKROCK	214	TEX	B	7,000	14.26	n/a	24-Jul	315.00	4.5
BMO NESBITT BURNS	041	TIN	SS	25,000	15.71	23-Jul	23-Jul	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>B</b>	<b>30,000</b>	<b>5.27</b>	<b>30-Jan</b>	<b>30-Jan</b>	<b>900.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>20,000</b>	<b>22.44</b>	<b>30-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
MAIRS AND POWER INV	030	VAL	S	20,000	24.42	n/a	n/a	1,000.00	5.0
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>VNO</b>	<b>B</b>	<b>150</b>	<b>50.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KACELA CAPITAL	034	V	S	10,000	67.62	n/a	28-Jul	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WBC</b>	<b>S</b>	<b>40,000</b>	<b>19.44</b>	<b>n/a</b>	<b>27-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WLP</b>	<b>B</b>	<b>1000</b>	<b>53.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>WLP</b>	<b>B</b>	<b>200</b>	<b>53.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	WMT	S	30,000	48.84	11-May	6-Jul	1,500.00	5.0
WEISS PECK	040	WYNN	SS	5,800	46.43	n/a	14-Jul	290.00	5.0
BMO NESBITT BURNS	041	WY	SS	25,000	34.63	n/a	20-Jul	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>SS</b>	<b>2,300,000</b>	<b>29.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>

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TOTAL (Trade Date):

88,403.81

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/27	MILLENIUUM PARTNERS	028	FNF	S	40,000	13.52	n/a	n/a	1600.00 4.0
AO,CRT	07/27	MILLENIUUM PARTNERS	028	FNF	S	40,000	13.52	n/a	n/a	1600.00 4.0
AO,CXL	07/27	REINHART & MAHONEY	031	JPM	B	15	38.12	n/a	20-Jul	0.75 5.0
AO,CRT	07/27	REINHART & MAHONEY	031	JPM	B	15	38.12	n/a	20-Jul	0.75 5.0
AO,	07/27	HIGHFIELDS CAP MGMT	039	MGM	S	125,000	7.85	n/a	8-Jul	5000.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>5,000.00</b>
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TOTAL (Trade Date) :	88,403.81
TOTAL (AO, CXL, CRT) :	5,000.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>93,403.81</b>
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MRI Securities with Trade Activity on 28-Jul. <b>AXE, DSW, PVH, RL</b>
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MRI Securities without Trade Activity on 28-Jul. <b>ACV, ECL, KSS, TJX, WRC</b>
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BRG comm. as a % of BCM Total Comm. paid.
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**T-Date = 14%**

**YTD = 20%**

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**