



Daily Compliance Activity Report

Trade Date: 05/15/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SATELLITE ASSET MGMT	012	ABG	B	20,000	16.97	n/a	25-Apr	800.00	4.0
TYEE/TYGH	035	AMG	B	1,800	102.00	7-Apr	14-May	72.00	4.0
COUGAR TRADING	044	ANF	B	1,900	75.15	10-Apr	9-May	95.00	5.0
STADIA CAPITAL	035	ANF	B	59,600	75.93	10-Apr	9-May	2,384.00	4.0
MILLRACE FUND LP	028	ARGN	B	30,000	12.62	n/a	1-May	1,200.00	4.0
TRELLUS PARTNERS LP	028	ARGN	B	3,100	12.52	n/a	1-May	155.00	5.0
LEDDY	035	ARGN	B	700	12.64	n/a	1-May	0.00	0.0
SUTERA	160	ASTI	B	1,000	15.96	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	AXE	S	13,425	64.99	28-Mar	22-Apr	671.25	5.0
GRUBER MACBAINE	033	BJ	SS	20,000	39.93	n/a	27-Jul	800.00	4.0
WHALE ROCK CAP	030	BKC	S	8,050	29.52	1-May	2-May	322.00	4.0
CROWLEY	061	BLJ	S	699	17.05	n/a	n/a	0.00	0.0
KAICHER	064	CBI	S	700	43.59	n/a	n/a	0.00	0.0
COUGAR TRADING	044	COH	B	5,000	37.60	4-Apr	23-Apr	250.00	5.0
HEALY CIRCLE	044	COH	B	25,000	36.99	4-Apr	23-Apr	1,250.00	5.0
LEDDY	035	CPKI	SS	500	16.37	n/a	9-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	DAL	S	5,000	7.95	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	DELL	S	50,000	20.42	n/a	n/a	2,000.00	4.0
SATELLITE ASSET MGMT	012	EAT	B	5,000	23.78	n/a	24-Apr	200.00	4.0
SUTERA	160	ETFC	S	5,000	4.29	n/a	n/a	0.00	0.0
GRANAHAN INV	039	EXH	S	8,800	74.06	n/a	9-May	352.00	4.0
LISTED ERR	099	FED	S	490	15.05	n/a	n/a	0.00	0.0
BARON ASSET MGMT*	012	FOSL	B	35,000	33.86	n/a	14-May	1,750.00	5.0
KAICHER	064	FTO	B	1,000	25.73	n/a	n/a	0.00	0.0
BAYPOINT/PRIMEMOVERS	041	GGC	B	20,000	4.23	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	GIL	B	20,000	29.56	30-Apr	8-May	800.00	4.0
COUGAR TRADING	044	GIL	B	5,000	29.06	30-Apr	8-May	250.00	5.0
SATELLITE ASSET MGMT	012	GIL	B	15,000	29.61	30-Apr	8-May	600.00	4.0
THE BOSTON COMPANY	401	GIL	B	13,000	29.52	30-Apr	8-May	520.00	4.0
STARK ASSET MGMT	031	GM	B	125,000	20.58	29-Apr	9-May	5,000.00	4.0
LEDDY	035	GNTX	SS	1,000	19.33	7-Apr	23-Apr	0.00	0.0
STELLIAM INV ADV	040	HAL	SS	10,000	48.07	n/a	n/a	500.00	5.0
CROWLEY	061	HCGI	S	2,500	0.59	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEWLAND CAPITAL MGT	067	HRS	B	5,000	59.83	12-May	15-May	250.00	5.0
NOMURA CAPITAL	045	IPHS	S	1,300	24.83	n/a	n/a	65.00	5.0
DAVIDSON TRUST CO	028	IVV	S	2,272	141.45	n/a	n/a	136.32	6.0
CATAPULT	040	JNS	S	5,000	29.10	25-Apr	2-May	250.00	5.0
BUCK CAPITAL MGMT	001	JNY	B	130,000	17.40	n/a	1-May	5,200.00	4.0
COUGAR TRADING	044	JWN	B	5,000	37.77	31-Mar	12-May	250.00	5.0
COUGAR TRADING	044	KSS	B	15,000	49.87	n/a	9-May	750.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	50.47	n/a	9-May	250.00	5.0
WASHINGTON ASSET	048	LM	B	10,000	56.29	7-May	15-May	500.00	5.0
WHALE ROCK CAP	030	LM	S	410	56.50	7-May	15-May	16.40	4.0
XYLEM GLOBAL	091	LPX	S	20,000	11.54	7-Apr	7-May	800.00	4.0
N STRATTON	014	LTRE	C	200	17.30	n/a	n/a	0.00	0.0
MANNING & NAPIER	034	LUV	S	1,200,000	13.45	n/a	n/a	36,000.00	3.0
CATTANI	041	LVS	B	200	74.60	n/a	n/a	0.00	0.0
KEEFE BRUYETTE WOODS A	031	MER	S	15,700	49.37	18-Jan	15-May	785.00	5.0
MFP INVESTORS	032	MFW	B	900	33.46	n/a	n/a	45.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BMO NESBITT BURNS	041	MGM	B	25,000	52.97	n/a	7-May	1,000.00	4.0
THE JAY GOLDMAN	034	M	B	55,000	25.02	7-Feb	15-May	2,200.00	4.0
DURANGO INVESTMENTS	031	NBR	S	8,700	40.00	n/a	n/a	348.00	4.0
PROSPECTOR PARTNERS LLC	036	NP	B	10,000	20.35	7-Apr	9-May	400.00	4.0
XYLEM GLOBAL	091	NP	B	56,275	20.30	7-Apr	9-May	2,813.75	5.0
OTC ERROR	099	NUVA	S	195	40.87	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	OSK	B	10,500	39.70	n/a	n/a	393.75	3.8
COHE & STEERS	091	PCL	B	50,000	43.30	29-Apr	29-Apr	2,500.00	5.0
LEDDY	035	PCL	S	250	43.48	29-Apr	29-Apr	0.00	0.0
LEDDY	035	PDXC	B	186	4.06	n/a	n/a	0.00	0.0
SAXON WOODS ADVISORS	038	PEP	B	75,000	68.21	n/a	n/a	3,000.00	4.0
SATELLITE ASSET MGMT	012	PPC	B	900	24.41	n/a	n/a	36.00	4.0
SATELLITE ASSET MGMT	012	PPC	S	900	24.97	n/a	n/a	36.00	4.0
PAW PARTNERS	041	QCOM	B	5,900	44.99	n/a	n/a	295.00	5.0
BUCK CAPITAL MGMT	001	RL	B	10,000	62.22	7-Feb	6-May	400.00	4.0
COHE & STEERS	091	RYN	B	100,000	44.14	23-Apr	23-Apr	5,000.00	5.0
SCOPUS FUND	041	SSCC	B	25,000	5.63	7-Apr	23-Apr	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	SSCC	B	1,500	5.80	7-Apr	23-Apr	0.00	0.0
PRYLUCKI	092	SSCC	B	525	5.86	7-Apr	23-Apr	0.00	0.0
HOOVER INV. MGMT	033	SSI	S	25,600	13.96	4-Jan	9-May	1,280.00	5.0
LISTED ERR	033	SSI	S	900	13.96	4-Jan	9-May	45.00	5.0
WHALE ROCK CAP	030	STLD	B	158,200	35.99	n/a	n/a	6,328.00	4.0
BMO NESBITT BURNS	041	TIN	SS	25,000	14.50	29-Apr	29-Apr	1,250.00	5.0
WHALE ROCK CAP	030	TJX	S	4,350	31.12	n/a	14-May	174.00	4.0
MAGUIRE	039	TUJFF	S	10	2.15	n/a	n/a	0.00	0.0
MAGUIRE	039	UQM	B	2,000	2.34	n/a	n/a	0.00	0.0
HART	056	UQM	B	400	2.32	n/a	n/a	0.00	0.0
CROWLEY	061	UQM	B	4,000	2.35	n/a	n/a	0.00	0.0
LEDDY	035	WFMI	C	400	28.97	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	WHR	B	5,000	78.18	n/a	n/a	200.00	4.0
MAGUIRE	039	WHT	S	1,500	4.81	n/a	n/a	0.00	0.0
CROWLEY	061	WHT	S	500	4.84	n/a	n/a	0.00	0.0
WHALE ROCK CAP	030	X	B	32,400	175.58	n/a	n/a	1,296.00	4.0
TOTAL (Trade Date):								96,314.47	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	05/13	WYPER CAPITAL (WYPEJPMORG)	044	JPM	S	13,913	46.17	8-May	15-May	556.52	4.0
AO,CXL	05/13	WYPER CAPITAL (WYPEMORGAN)	044	JPM	S	13,913	46.17	8-May	15-May	556.52	4.0
AO,	05/09	MKT MAKER (MKT MAKER)	098	KEG	S	6,135	15.67	14-Feb	14-May	0.00	0.0
AO,CXL	05/14	FIRST NY SEC/CHRIS (FNYCHRIS)	041	LPX	B	25,000	10.98	7-Apr	7-May	1500.00	6.0
AO,CRT	05/14	FIRST NY SEC/CHRIS (FNYCHRIS)	041	LPX	B	25,000	10.98	7-Apr	7-May	1250.00	5.0
AO,	05/14	DURANGO INVESTMENTS (DURACREDIT)	031	NBR	S	11,300	40.00	n/a	n/a	452.00	4.0
AO,	05/14	RAMIUS CAP (RAMIRBCD)	040	ORLY	C	20,000	29.02	n/a	n/a	1000.00	5.0
TOTAL (AO, CXL, CRT) :										1,202.00	
TOTAL (Trade Date) :										96,314.47	
TOTAL (AO, CXL, CRT) :										1,202.00	
TOTAL (RUN DATE) / NFS :										97,516.47	

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION