



Daily Compliance Activity Report

Trade Date: 02/23/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|--------------------------|------------|-------------|----------|--------------|--------------|---------------|---------------|---------------|------------|
| KEEFE BRUYETTE WOODS A | 031 | AB | S | 100 | 90.30 | 24-Jan | 26-Feb | 5.00 | 5.0 |
| PLATTE RIVER CAPITAL | 035 | AGIX | B | 28,000 | 11.46 | n/a | n/a | 1,120.00 | 4.0 |
| BEAR STEARNS ASSET MGMT. | 033 | AME | S | 80,000 | 35.39 | n/a | n/a | 3,200.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | ANF | B | 5,000 | 79.47 | 2-Feb | 22-Feb | 200.00 | 4.0 |
| LUCID PARTNERS | 067 | AOS | B | 2,000 | 39.95 | 21-Dec | 8-Feb | 100.00 | 5.0 |
| LUCHANSKY | 036 | AVZ | S | 100 | 25.70 | 13-Feb | 26-Feb | 0.00 | 0.0 |
| LUCHANSKY | 036 | AXE | S | 200 | 62.86 | 12-Feb | 12-Feb | 0.00 | 0.0 |
| STERLING JOHNSTON | 035 | BONT | S | 11,100 | 50.31 | 9-Feb | 9-Feb | 555.00 | 5.0 |
| KORMAN | 003 | CBAK | B | 5,000 | 4.12 | n/a | n/a | 0.00 | 0.0 |
| GAULT | 063 | CBK | S | 1,000 | 19.60 | 11-Dec | 16-Feb | 0.00 | 0.0 |
| FIRST PACIFIC ADV | 048 | CC | B | 44,300 | 19.80 | 20-Dec | 23-Feb | 2,215.00 | 5.0 |
| TROUBH PART | 067 | CEN | B | 25,000 | 33.85 | n/a | n/a | 1,250.00 | 5.0 |
| LUCHANSKY | 036 | CHL | S | 200 | 49.82 | n/a | n/a | 0.00 | 0.0 |
| NICHOLAS ADVISORS | 034 | CMGB | B | 11,000 | 59.88 | n/a | n/a | 550.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------|--------|-----|---------|-------|--------|----------|-------------|-----|
| BUCK CAPITAL MGMT | 001 | CRI | S | 10,000 | 24.14 | n/a | n/a | 400.00 | 4.0 |
| KORMAN | 003 | CXTI | B | 5,000 | 5.87 | n/a | n/a | 0.00 | 0.0 |
| TRELLUS PARTNERS LP | 028 | DPZ | B | 5,300 | 31.79 | 23-Feb | 23-Feb | 265.00 | 5.0 |
| LOOMIS SALES/BOSTON | 036 | DTG | B | 54,547 | 51.88 | n/a | n/a | 2,181.88 | 4.0 |
| OSS CAPITAL/KEVIN DANN | 067 | EEE | B | 250,000 | 8.85 | n/a | n/a | 7,500.00 | 3.0 |
| OSS CAPITAL/KEVIN DANN | 067 | EMU | S | 150,000 | 12.26 | n/a | n/a | 4,500.00 | 3.0 |
| LOOMIS SALES/BOSTON | 036 | ETH | C | 25,500 | 37.63 | n/a | n/a | 1,020.00 | 4.0 |
| BALYASNY ASSET MGMT | 044 | EV | B | 10,000 | 36.07 | 2-Nov | 26-Feb | 500.00 | 5.0 |
| KEEFE BRUYETTE WOODS A | 031 | EV | S | 38,500 | 36.01 | 2-Nov | 26-Feb | 1,925.00 | 5.0 |
| FIRST NEW YORK LEDDY | 035 | FD | B | 15,000 | 44.11 | 26-Jan | 21-Feb | 900.00 | 6.0 |
| SIGMA CAPITAL ASSOCIATES | 044 | FD | B | 25,000 | 44.30 | 26-Jan | 21-Feb | 1,250.00 | 5.0 |
| TPG-AXON | 040 | FD | S | 100,000 | 44.31 | 26-Jan | 21-Feb | 5,000.00 | 5.0 |
| KORMAN | 003 | FSIN | B | 2,600 | 8.35 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | GCO | S | 5,000 | 42.57 | n/a | 10-Jan | 200.00 | 4.0 |
| WASHINGTON ASSET | 048 | GE | S | 10,000 | 35.15 | n/a | n/a | 500.00 | 5.0 |
| BARR | 096 | GG | B | 400 | 28.75 | n/a | n/a | 0.00 | 0.0 |
| WEINTRAUB | 024 | GLW | B | 1,000 | 21.68 | n/a | 16-Feb | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|-------------|-----------|--------------|--------------|---------------|---------------|-------------|------------|
| MARK/JETCAP | 030 | HAL | S | 150,000 | 31.81 | n/a | n/a | 4,500.00 | 3.0 |
| J L F ASSET MGMT | 039 | HD | S | 50,000 | 40.93 | n/a | 26-Feb | 2,000.00 | 4.0 |
| SUTERA | 160 | HMA | S | 1,000 | 21.15 | 22-Feb | 22-Feb | 0.00 | 0.0 |
| KORMAN | 003 | HRBN | B | 100 | 12.52 | n/a | n/a | 0.00 | 0.0 |
| EATON VANCE MGMT | 039 | IBM | B | 424,058 | 97.70 | n/a | n/a | 16,962.32 | 4.0 |
| SUTERA | 160 | ISE | S | 1,000 | 49.71 | 26-Oct | 16-Feb | 0.00 | 0.0 |
| BALYASNY ASSET MGMT | 044 | JNC | B | 10,000 | 51.20 | n/a | 26-Feb | 500.00 | 5.0 |
| SONAR CAP MGMT | 037 | JNPR | B | 27,092 | 19.60 | n/a | 22-Mar | 1,354.60 | 5.0 |
| SIGMA CAPITAL ASSOCIATES | 044 | JWN | SS | 50,000 | 58.87 | 8-Feb | 23-Feb | 2,500.00 | 5.0 |
| ZOLIDIS | 011 | KMX | B | 100 | 56.50 | n/a | 10-Jan | 0.00 | 0.0 |
| STADIA CAPITAL | 035 | LDG | S | 9,400 | 47.43 | n/a | n/a | 376.00 | 4.0 |
| BARR | 096 | LEND | SS | 1,000 | 22.94 | n/a | n/a | 0.00 | 0.0 |
| CAPITAL GROWTH MGMT. | 241 | LH | B | 35,000 | 80.17 | n/a | n/a | 1,600.00 | 4.5 |
| J L F ASSET MGMT | 039 | LOW | S | 72,100 | 34.84 | n/a | 26-Feb | 2,884.00 | 4.0 |
| BARR | 096 | LTXX | C | 2,000 | 6.78 | n/a | n/a | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------|------------|-------------|-----------|--------------|--------------|------------|---------------|-------------|------------|
| CAPITAL GROWTH MGMT. | 241 | LVS | B | 10,000 | 91.82 | n/a | n/a | 400.00 | 4.0 |
| CROWLEY | 061 | MIR | S | 500 | 36.75 | n/a | n/a | 0.00 | 0.0 |
| SCOPUS FUND | 039 | MWV | B | 40,000 | 31.76 | 8-Jan | 1-Feb | 2,000.00 | 5.0 |
| BARR | 096 | NEM | S | 400 | 47.65 | n/a | n/a | 0.00 | 0.0 |
| AVENUE CAPITAL MGMT | 036 | NEWS | S | 69,442 | 18.23 | n/a | n/a | 2,083.26 | 3.0 |
| BARR | 096 | NEW | SS | 400 | 15.80 | n/a | n/a | 0.00 | 0.0 |
| BALYASNY ASSET MGMT | 044 | NEXC | B | 25,000 | 10.91 | n/a | n/a | 1,250.00 | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | NFX | B | 50,000 | 43.39 | n/a | n/a | 2,500.00 | 5.0 |
| GAULT | 063 | NLS | B | 1,000 | 18.47 | n/a | n/a | 0.00 | 0.0 |
| STERLING JOHNSTON | 035 | NWY | B | 90,000 | 15.68 | 1-Dec | 16-Feb | 4,500.00 | 5.0 |
| THE JAY GOLDMAN | 034 | NWY | S | 55,000 | 15.62 | 1-Dec | 16-Feb | 2,200.00 | 4.0 |
| MERRILL ASSET | 032 | NXL | S | 12,200 | 30.24 | n/a | n/a | 610.00 | 5.0 |
| IVORY CAPITAL | 034 | ORCL | S | 103,800 | 16.91 | n/a | n/a | 5,190.00 | 5.0 |
| GRUSS CAPITAL | 040 | PCL | S | 5,000 | 40.74 | n/a | 30-Jan | 250.00 | 5.0 |
| KORMAN | 003 | PEET | S | 1,000 | 25.62 | n/a | n/a | 0.00 | 0.0 |
| LEDDY | 035 | PFE | B | 250 | 25.70 | n/a | 31-Mar | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------------|------------|-------------|----------|---------------|--------------|------------|---------------|-------------------|------------|
| JP MORGAN VENTURES | 046 | PSS | B | 15,500 | 32.40 | n/a | n/a | 775.00 | 5.0 |
| GAULT | 063 | PZZA | B | 500 | 30.42 | n/a | 19-Jan | 0.00 | 0.0 |
| CHILTON CAPITAL MGMT. | 036 | RI | SS | 64,200 | 30.37 | n/a | n/a | 2,568.00 | 4.0 |
| AMBER CAPITAL | 046 | SBUX | B | 100,000 | 32.83 | n/a | 1-Feb | 4,000.00 | 4.0 |
| HARVEST MGMT | 039 | SBUX | SS | 15,000 | 32.84 | n/a | 1-Feb | 750.00 | 5.0 |
| KORMAN | 003 | SEED | B | 3,000 | 8.83 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | SKX | S | 10,000 | 37.35 | n/a | 21-Feb | 400.00 | 4.0 |
| CAPITAL GROWTH MGMT. | 241 | SWY | B | 80,000 | 35.30 | n/a | n/a | 3,500.00 | 4.5 |
| KORMAN | 003 | WATG | B | 5,000 | 7.60 | n/a | n/a | 0.00 | 0.0 |
| PATTEN & PATTEN | 067 | WY | S | 2,850 | 82.43 | 15-Dec | 9-Feb | 16,616.47 | 4.0 |
| TOTAL (Trade Date): | | | | | | | | 117,606.53 | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------------------------|-------|----------------------------------|------|--------|-----|--------|--------|--------|------------|-------------|
| AO,CRT | 02/21 | MILLENIU M PARTNERS (MILPLAMORT) | 028 | CSCO | B | 30,000 | 27.36 | n/a | 22-Mar | 1500.00 5.0 |
| AO,CXL | 02/21 | MILLENIU M PARTNERS (MILP-FRED) | 028 | CSCO | B | 30,000 | 27.36 | n/a | 22-Mar | 1500.00 5.0 |
| AO,CXL | 02/22 | REINHART & MAHONEY (REINPHEPSN) | 031 | NE | B | 300 | 71.95 | n/a | n/a | 3.60 0.0 |
| AO,CRT | 02/22 | REINHART & MAHONEY (REINPHEPSN) | 031 | NE | B | 300 | 71.95 | n/a | n/a | 15.00 5.0 |
| AO,CXL | 02/22 | REINHART & MAHONEY (REINPHESRI) | 031 | NE | B | 520 | 71.95 | n/a | n/a | 20.80 4.0 |
| AO,CRT | 02/22 | REINHART & MAHONEY (REINPHESRI) | 031 | NE | B | 520 | 71.95 | n/a | n/a | 26.00 5.0 |
| AO,CXL | 02/20 | BANK OF NEW YORK (BONYMAIN A) | 040 | PFCB | B | 8,580 | 45.09 | n/a | 20-Feb | 429.00 5.0 |
| AO,CRT | 02/20 | BANK OF NEW YORK (BONYMAIN A) | 040 | PFCB | B | 8,580 | 45.09 | n/a | 20-Feb | 386.10 4.5 |
| AO, | 02/20 | LISTED ERR (LISTED ERR) | 099 | RKH | B | 2,400 | 166.89 | n/a | n/a | 0.00 0.0 |
| AO, | 02/20 | LISTED ERR (LISTED ERR) | 099 | RKH | S | 2,400 | 166.84 | n/a | n/a | 0.00 0.0 |
| AO,CRT | 02/21 | MILLENIU M PARTNERS (MILPLAMORT) | 028 | VZ | SS | 25,000 | 38.36 | 22-Dec | 12-Feb | 1250.00 5.0 |
| AO,CXL | 02/21 | MILLENIU M PARTNERS (MILP-FRED) | 028 | VZ | SS | 25,000 | 38.36 | 22-Dec | 12-Feb | 1250.00 5.0 |
| TOTAL (AO, CXL, CRT) : | | | | | | | | | | -26.30 |
| | | | | | | | | | | |
| | | | | | | | | | | |
| TOTAL (Trade Date) : | | | | | | | | | 117,606.53 | |
| TOTAL (AO, CXL, CRT) : | | | | | | | | | -26.30 | |
| | | | | | | | | | | |
| TOTAL (RUN DATE) / NFS : | | | | | | | | | 117,580.23 | |

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION