

Daily Compliance Activity Report Trade Date: 04/11/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
MAGUIRE	039	AAI	В	500	11.33	n/a	n/a	0.00	0.0
GRISANTI BROWN & PARTNER	048	AA	S	28,000	35.08	n/a	n/a	1,400.00	5.0
BONANZA PARTNERS	031	ABD	SS	20,600	24.91	n/a	n/a	1,030.00	5.0
BALYASNY ASSET MGMT	044	AB	В	15,000	91.87	5-Mar	11-Apr	750.00	5.0
BUCK CAPITAL MGMT		ADTN	С	20,000	25.00	n/a	22-Mar	non-brg	5.0
BUCK CAPITAL MGMT		AEO	В	10,000	31.36	4-Jan	10-Apr	non-brg	1.0
BUCK CAPITAL MGMT		AEO	В	70,000	30.04	4-Jan	10-Apr	non-brg	5.0
MCCARTAN	028	AIQ	S	400	9.26	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	AMG	SS	7,500	110.41	5-Mar	10-Apr	375.00	5.0
LISTED ERR	099	AMG	S	540	112.40	5-Mar	10-Apr	0.00	0.0
BARR	096	AMR	В	300	32.23	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ANF	В	5,000	77.56	2-Feb	10-Apr	250.00	5.0
BUCK CAPITAL MGMT		ANN	В	20,000	39.06	5-Jan	10-Apr	non-brg	5.0
COUGAR TRADING	044	ANN	В	5,000	39.11	5-Jan	10-Apr	250.00	5.0
SIGMA CAPITAL ASSOCIATES	044	ANN	S	5,000	39.30	5-Jan	10-Apr	250.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	83,000	42.37	n/a	10-Apr	3,320.00	4.0
	MAGUIRE GRISANTI BROWN & PARTNER BONANZA PARTNERS BALYASNY ASSET MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT MCCARTAN GALLEON MGMT LP LISTED ERR BARR COUGAR TRADING BUCK CAPITAL MGMT COUGAR TRADING SIGMA CAPITAL ASSOCIATES	MAGUIRE 039 GRISANTI BROWN & PARTNER 048 BONANZA PARTNERS 031 BALYASNY ASSET MGMT 044 BUCK CAPITAL MGMT BUCK CAPITAL MGMT MCCARTAN 028 GALLEON MGMT LP 033 LISTED ERR 099 BARR 096 COUGAR TRADING 044 BUCK CAPITAL MGMT COUGAR TRADING 044 SIGMA CAPITAL ASSOCIATES 044	MAGUIRE 039 AAI GRISANTI BROWN & PARTNER 048 AA BONANZA PARTNERS 031 ABD BALYASNY ASSET MGMT 044 AB BUCK CAPITAL MGMT AEO BUCK CAPITAL MGMT AEO MCCARTAN 028 AIQ GALLEON MGMT LP 033 AMG LISTED ERR 099 AMG BARR 096 AMR COUGAR TRADING 044 ANF BUCK CAPITAL MGMT ANN COUGAR TRADING 044 ANN SIGMA CAPITAL ASSOCIATES 044 ANN	MAGUIRE 039 AAI B GRISANTI BROWN & PARTNER 048 AA S BONANZA PARTNERS 031 ABD SS BALYASNY ASSET MGMT 044 AB B BUCK CAPITAL MGMT AEO B BUCK CAPITAL MGMT AEO B MCCARTAN 028 AIQ S GALLEON MGMT LP 033 AMG SS LISTED ERR 099 AMG S BARR 096 AMR B COUGAR TRADING 044 ANF B BUCK CAPITAL MGMT ANN B SIGMA CAPITAL ASSOCIATES 044 ANN B	MAGUIRE 039 AAI B 500 GRISANTI BROWN & PARTNER 048 AA S 28,000 BONANZA PARTNERS 031 ABD SS 20,600 BALYASNY ASSET MGMT 044 AB B 15,000 BUCK CAPITAL MGMT ABO B 10,000 BUCK CAPITAL MGMT ABO B 70,000 MCCARTAN 028 AIQ S 400 GALLEON MGMT LP 033 AMG SS 7,500 LISTED ERR 099 AMG S 540 BARR 096 AMR B 300 COUGAR TRADING 044 ANF B 5,000 BUCK CAPITAL MGMT ANN B 5,000 SIGMA CAPITAL ASSOCIATES 044 ANN S 5,000	MAGUIRE 039 AAI B 500 11.33 GRISANTI BROWN & PARTNER 048 AA S 28,000 35.08 BONANZA PARTNERS 031 ABD SS 20,600 24.91 BALYASNY ASSET MGMT 044 AB B 15,000 91.87 BUCK CAPITAL MGMT ADTN C 20,000 25.00 BUCK CAPITAL MGMT AEO B 10,000 31.36 BUCK CAPITAL MGMT AEO B 70,000 30.04 MCCARTAN 028 AIQ S 400 9.26 GALLEON MGMT LP 033 AMG SS 7,500 110.41 LISTED ERR 099 AMG S 540 112.40 BARR 096 AMR B 300 32.23 COUGAR TRADING 044 ANF B 5,000 39.06 COUGAR TRADING 044 ANN B 5,000 39.11 SIGMA CAPITAL ASSOCIATES 044 ANN B 5,000 39.30	MAGUIRE 039 AAI B 500 11.33 n/a GRISANTI BROWN & PARTNER 048 AA S 28,000 35.08 n/a BONANZA PARTNERS 031 ABD SS 20,600 24.91 n/a BALYASNY ASSET MGMT 044 AB B 15,000 91.87 5-Mar BUCK CAPITAL MGMT ABO B 10,000 31.36 4-Jan BUCK CAPITAL MGMT ABO B 70,000 30.04 4-Jan BUCK CAPITAL MGMT ABO B 70,000 30.04 4-Jan MCCARTAN 028 AIQ S 400 9.26 n/a GALLEON MGMT LP 033 AMG SS 7,500 110.41 5-Mar LISTED ERR 099 AMG S 540 112.40 5-Mar BARR 096 AMR B 300 32.23 n/a COUGAR TRADING 044 ANF B 5,000 77.56 2-Feb BUCK CAPITAL MGMT ANN B 5,000 39.11 5-Jan SIGMA CAPITAL ASSOCIATES 044 ANN B 5,000 39.30 5-Jan	MAGUIRE 039 AAI B 500 11.33 n/a n/a GRISANTI BROWN & PARTNER 048 AA S 28,000 35.08 n/a n/a BONANZA PARTNERS 031 ABD SS 20,600 24.91 n/a n/a BALYASNY ASSET MGMT 044 AB B 15,000 91.87 5-Mar 11-Apr BUCK CAPITAL MGMT ABO B 10,000 31.36 4-Jan 10-Apr BUCK CAPITAL MGMT ABO B 70,000 30.04 4-Jan 10-Apr BUCK CAPITAL MGMT ABO B 70,000 30.04 4-Jan 10-Apr BUCK CAPITAL MGMT ABO B 70,000 30.04 5-Mar 10-Apr LISTED ERR 099 AMG S 7,500 110.41 5-Mar 10-Apr LISTED ERR 096 AMR B 300 32.23 n/a n/a COUGAR TRADING 044 ANF B 5,000 77.56 2-Feb 10-Apr BUCK CAPITAL MGMT ANN B 5,000 39.11 5-Jan 10-Apr COUGAR TRADING 044 ANN B 5,000 39.30 5-Jan 10-Apr SIGMA CAPITAL ASSOCIATES 044 ANN B 5,000 39.11 5-Jan 10-Apr	MAGUIRE 039 AAI B 500 11.33 n/a n/a 0.00 GRISANTI BROWN & PARTNER 048 AA S 28,000 35.08 n/a n/a 1,400.00 BONANZA PARTNERS 031 ABD SS 20,600 24.91 n/a n/a 1,030.00 BALYASNY ASSET MGMT 044 AB B 15,000 91.87 5-Mar 11-Apr 750.00 BUCK CAPITAL MGMT ABO B 10,000 31.36 4-Jan 10-Apr non-brg BUCK CAPITAL MGMT ABO B 70,000 30.04 4-Jan 10-Apr non-brg MCCARTAN 028 AIQ S 400 9.26 n/a n/a 0.00 GALLEON MGMT LP 033 AMG S 7,500 110.41 5-Mar 10-Apr 0.00 BARR 096 AMR B 300 32.23 n/a n/a 0.00 BUCK CAPITAL MGMT <

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	COUGAR TRADING	044	ARO	S	5,000	42.32	n/a	10-Apr	250.00	5.0
	KACELA CAPITAL	034	ARO	S	10,000	42.35	n/a	10-Apr	400.00	4.0
	THE JAY GOLDMAN	034	ARO	В	10,000	42.35	n/a	10-Apr	400.00	4.0
	MISSOURI VALLEY PART	046	AVZ	В	108,925	22.93	5-Mar	11-Apr	5,446.25	5.0
	SIGMA CAPITAL ASSOCIATES	044	BEBE	S	30,000	18.04	n/a	n/a	1,500.00	5.0
ß	BUCK CAPITAL MGMT		BID	S	10,000	47.90	n/a	n/a	non-brg	5.0
	AMBER CAPITAL	046	BIG	В	15,000	32.69	9-Mar	10-Apr	750.00	5.0
ß	BUCK CAPITAL MGMT		BONT	s	20,000	52.74	9-Mar	10-Apr	non-brg	5.0
	COUGAR TRADING	044	BONT	В	10,000	53.06	9-Mar	10-Apr	500.00	5.0
õ	Glenn Redemann		BWLD	В	8	0.64	n/a	n/a	0.00	0.0
õ	Glenn Redemann		BWLD	В	8	0.71	n/a	n/a	0.00	0.0
õ	Glenn Redemann		BWLD	S	8	1.39	n/a	n/a	0.00	0.0
õ	Glenn Redemann		BWLD	S	8	1.87	n/a	n/a	0.00	0.0
	SIGMA CAPITAL ASSOCIATES	044	CACH	В	20,000	17.06	n/a	n/a	1,000.00	5.0
	AMBER CAPITAL	046	CAKE	В	5,000	25.72	7-Feb	7-Feb	250.00	5.0
ß	BUCK CAPITAL MGMT		CBI	S	20,000	33.70	n/a	n/a	non-brg	5.0
	MAGUIRE	090	CCOI	S	2,500	24.06	n/a	n/a	0.00	0.0
	BARR	096	CFC	SS	500	33.65	n/a	n/a	0.00	0.0
	KORMAN	003	CHCG	В	6,000	6.05	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
GALLEON MGMT LP	033	СНК	С	10,000	33.15	n/a	n/a	500.00	5.0
BRIGHTPOINT CAP	067	CHS	В	20,000	26.54	27-Mar	11-Apr	1,000.00	5.0
GLG PARTNERS	034	CHS	S	25,000	26.31	27-Mar	11-Apr	1,250.00	5.0
SUTERA	160	CHS	SS	1,000	26.94	27-Mar	11-Apr	0.00	0.0
EOS PARTNERS	040	CME	S	800	553.00	n/a	3-Apr	40.00	5.0
BASSO CAPITAL	034	СОН	В	23,000	51.35	23-Feb	23-Feb	1,150.00	5.0
COUGAR TRADING	044	СОН	SS	10,000	51.22	23-Feb	23-Feb	500.00	5.0
ZOLIDIS	011	CWTR	С	500	21.60	n/a	n/a	0.00	0.0
AMATURO	018	DAWUW	В	20	4.37	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	DBRN	S	40,000	22.37	n/a	n/a	1,600.00	4.0
THE JAY GOLDMAN	034	DDS	В	24,200	33.10	n/a	n/a	968.00	4.0
BUCK CAPITAL MGMT		DKS	В	10,000	57.88	n/a	n/a	non-brg	5.0
NEUBERGER BERMAN	036	DW	В	5 , 800	28.31	n/a	n/a	290.00	5.0
MATTHES CAPITAL MGMT	033	FBN	В	26,000	15.65	n/a	n/a	1,040.00	4.0
BASSO CAPITAL	034	FD	SS	23,000	44.86	26-Jan	10-Apr	1,150.00	5.0
BUCK CAPITAL MGMT		FD	SS	80,000	45.53	26-Jan	10-Apr	non-brg	4.0
EGS ASSOCIATES LP	091	FD	SS	4,000	45.35	26-Jan	10-Apr	240.00	6.0
THE JAY GOLDMAN	034	FD	В	10,000	45.40	26-Jan	10-Apr	400.00	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
	WAGONHOUND	048	FD	В	12,500	44.95	26-Jan	10-Apr	500.00	4.0
	CASTLE ARK	031	GIL	В	52,700	62.86	n/a	2-Apr	3,162.00	6.0
ß	BUCK CAPITAL MGMT		GLBL	S	40,000	19.97	n/a	n/a	non-brg	5.0
	WHITE PINE CAPITAL	030	GLBL	S	500	20.13	n/a	n/a	25.00	5.0
ß	BUCK CAPITAL MGMT		GPS	В	100,000	18.71	n/a	10-Apr	non-brg	4.0
	PIVOT CAP	034	GPS	В	11,500	18.74	n/a	10-Apr	575.00	5.0
	RBC CAPITAL MARKETS	034	GPS	В	25,000	18.82	n/a	10-Apr	1,250.00	5.0
	SIGMA CAPITAL ASSOCIATES	044	GPS	S	55,000	18.78	n/a	10-Apr	2,750.00	5.0
	AMBER CAPITAL	046	GVA	В	55,300	56.10	n/a	n/a	2,765.00	5.0
ß	BUCK CAPITAL MGMT		HOS	В	10,000	29.50	n/a	n/a	non-brg	5.0
	NEUBERGER BERMAN	036	HP	S	9,500	31.41	n/a	n/a	475.00	5.0
	SIRIS	013	IACI	S	1,000	38.34	n/a	n/a	0.00	0.0
	NEUBERGER BERMAN	036	IDC	В	10,200	24.96	n/a	n/a	510.00	5.0
	MERRILL ASSET	032	IFC	S	1,700	17.89	n/a	n/a	76.50	4.5
	WYCKOFF	013	JADE	В	300	9.08	n/a	n/a	0.00	0.0
	SIGMA CAPITAL ASSOCIATES	044	JBL	В	50,000	21.52	n/a	n/a	2,500.00	5.0
	COUGAR TRADING	044	JCP	S	10,000	82.99	23-Feb	10-Apr	500.00	5.0
	SIGMA CAPITAL ASSOCIATES	044	JCP	В	10,000	83.27	23-Feb	10-Apr	500.00	5.0

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	Account	RR #	Symbol	B/S	5	Shares	Price	MRI	Research	Comm.	¢/Shr.	
ß	BUCK CAPITAL MGMT		JOSB	В		10,000	35.42	n/a	n/a	non-brg	5.0	
	KACELA CAPITAL	034	JWN	В		8,000	54.87	27-Feb	10-Apr	320.00	4.0	
ß	BUCK CAPITAL MGMT		KCP		s	10,000	27.61	n/a	28-Feb	non-brg	5.0	
ß	BUCK CAPITAL MGMT		KCP		S	3,990	27.85	n/a	28-Feb	non-brg	1.0	
	MCCARTAN	028	KCP	В		200	27.46	n/a	28-Feb	0.00	0.0	
	EFSTATHIOU	044	KCP	В		200	27.45	n/a	28-Feb	0.00	0.0	
	JP MORGAN VENTURES	046	KSS	С		6,400	77.33	27-Dec	8-Mar	320.00	5.0	
	SIGMA CAPITAL ASSOCIATES	044	KSWS	В		16,200	27.39	n/a	13-Mar	810.00	5.0	
	BUCK CAPITAL MGMT	001	LIZ		ss	20,000	44.00	n/a	28-Feb	800.00	4.0	
	GALLEON MGMT LP	033	LLTC		SS	5,000	31.95	n/a	n/a	250.00	5.0	
	BUCK CAPITAL MGMT	001	LTD	В		100,000	27.18	2-Mar	10-Apr	4,000.00	4.0	
	COUGAR TRADING	044	LTD		S	20,000	26.93	2-Mar	10-Apr	1,000.00	5.0	
	DISIPLINED GROWTH INV.	030	LTD	В		13,500	27.27	2-Mar	10-Apr	675.00	5.0	
ß	BUCK CAPITAL MGMT		MIPS		s	10,000	8.39	n/a	n/a	non-brg	4.0	
	CANTILLON CAPITAL	040	MRVL		SS	642,000	16.92	n/a	n/a	32,100.00	5.0	
	KAICHER	064	NEXC	В		2,000	12.37	n/a	n/a	0.00	0.0	
	CASTLEROCK	040	NKE	В		85,400	54.20	n/a	n/a	3,416.00	4.0	
	NEUBERGER BERMAN	036	NTY		S	9,500	52.51	n/a	n/a	475.00	5.0	

	Account	RR #	Symbol	в/s	3	Shares	Price	MRI	Research	Comm.	\$/Shr.
	BARR	096	NXG	В		3,000	3.55	n/a	n/a	0.00	0.0
	HIGHBRIDGE CAPITAL	038	PCL	В		2,100	39.26	n/a	19-Mar	105.00	5.0
	CAPITAL GROWTH MGMT.	241	PCU	В		20,000	79.29	n/a	n/a	1,000.00	5.0
ß	BUCK CAPITAL MGMT		PERY		SS	10,000	32.39	n/a	n/a	non-brg	5.0
	THIRD POINT MGMT	044	PIR	В		200,000	7.66	n/a	n/a	6,000.00	3.0
	MAZAMA CAPITAL MGMT.	035	PJC	В		35,600	62.99	n/a	n/a	1,424.00	4.0
ß	BUCK CAPITAL MGMT		PLCE	В		40,000	53.55	n/a	10-Apr	non-brg	5.0
	CANTILLON CAPITAL	040	PMCS		SS	425,000	7.01	n/a	n/a	17,000.00	4.0
	BEAR STEARNS ASSET MGMT.	033	PNRA	С		75,000	56.03	n/a	n/a	3,000.00	4.0
	AMBER CAPITAL	046	PPG	В		10,000	70.90	n/a	10-Apr	500.00	5.0
	MERRILL ASSET	032	PVA	В		19,500	77.35	n/a	n/a	877.50	4.5
	SYSTEMATIC FINANCIAL MGT	035	PVH		S	25 , 700	57.76	28-Mar	28-Mar	963.75	3.8
	LEDDY	035	QID	В		800	52.24	n/a	n/a	0.00	0.0
	HIGHBRIDGE CAPITAL	038	RKH	В		700	156.17	n/a	n/a	35.00	5.0
	ZOLIDIS	011	RTH		SS	200	102.35	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	3	Shares	Price	MRI	Research	Comm.	\$/Shr.
	KORMAN	003	SEED	В		3,960	9.05	n/a	n/a	0.00	0.0
	KAICHER	064	SNCR		S	1,000	17.38	n/a	n/a	0.00	0.0
	HIGHBRIDGE CAPITAL	038	SPY	В		1,700	143.67	n/a	n/a	85.00	5.0
	WYCKOFF	013	SWN	В		300	43.37	1-Mar	6-Mar	0.00	0.0
ß	BUCK CAPITAL MGMT		TGT	В		40,000	59.61	n/a	8-Mar	non-brg	5.0
	FARBER/WEISS PECK	012	THI	В		20,000	30.51	12-Dec	7-Feb	1,000.00	5.0
	DISIPLINED GROWTH INV.	030	TJX	В		8,000	27.98	21-Mar	10-Apr	400.00	5.0
	WHITE PINE CAPITAL	030	TUNE	В		2,000	4.32	n/a	n/a	80.00	4.0
	SIGMA CAPITAL ASSOCIATES	044	TWB	В		94,000	36.42	22-Feb	22-Feb	4,700.00	5.0
	MAGUIRE	039	UAUA	В		100	42.04	n/a	n/a	0.00	0.0
	BARR	096	UAUA	В		500	42.59	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		URBN	В		15,000	26.72	2-Apr	2-Apr	non-brg	5.0
	STADIA CAPITAL	035	UST		SS	25 , 000	59.39	n/a	n/a	1,000.00	4.0
ß	BUCK CAPITAL MGMT		VIRL		s	10,000	7.57	n/a	n/a	non-brg	4.0
	CHILTON CAPITAL MGMT.	036	WEN		SS	100,000	31.63	12-Dec	21-Mar	4,000.00	4.0
	CAPITAL GROWTH MGMT.	241	WFT	В		60,000	48.10	n/a	n/a	3,000.00	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		WMT	В	40,000	47.60	n/a	21-Mar	non-brg 5.0
ß	BUCK CAPITAL MGMT		WSO	S	69,000	50.12	n/a	n/a	non-brg 5.0
TO	TAL (Trade Date):							1	33,174.00

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
AO, CRT	04/10	DSG CAPITAL	236	CECE	В	20,500	14.73	n/a	n/a	1025.00	5.0
AO,CXL	04/10	LANE GATE PARTNERS LLC	012	CECE	В	20,500	14.73	n/a	n/a	1025.00	5.0
AO, CRT	04/10	PRENTICE	038	FD	SS	27,500	45.82	26-Jan	10-Apr	1375.00	5.0
AO,CXL	04/10	PRENTICE	038	FD	SS	27,500	45.82	26-Jan	10-Apr	2.29	0.0
TOTAL	TOTAL (AO, CXL, CRT): 1,372.71										

TOTAL (RUN DATE) / NFS:	134.546.71
TOTAL (AO, CXL, CRT):	1,372.71
TOTAL (Trade Date):	133,174.00

MRI Securities with Trade Activity on 11-Apr. NONE

MRI Securities without Trade Activity on 11-Apr.

CHTT, MCD

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 21%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION