



Daily Compliance Activity Report

Trade Date: 03/14/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ABG	S	20,000	13.73	25-Jan	22-Feb	non-brg	4.0
FORD FDN.	032	AET	B	24,900	43.98	n/a	n/a	1,245.00	5.0
PRINCIPLE CAPITAL	040	AMLN	SS	50,000	25.41	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ANF	B	10,000	74.45	10-Jan	10-Mar	500.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	74.35	10-Jan	10-Mar	250.00	5.0
BUCK CAPITAL MGMT		APP	B	40,000	9.96	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	ARO	B	5,000	25.62	29-Nov	14-Mar	250.00	5.0
BUCK CAPITAL MGMT		BBBY	SS	40,000	28.59	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BBY	SS	20,000	40.20	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT	001	BIG	SS	20,000	20.58	6-Mar	10-Mar	800.00	4.0
BUCK CAPITAL MGMT		BONT	S	107,200	5.09	4-Jan	12-Mar	non-brg	4.0
BUCK CAPITAL MGMT		BONT	S	13,601	5.25	4-Jan	12-Mar	non-brg	1.0
BUCK CAPITAL MGMT		BSC	B	10,000	34.06	11-Mar	11-Mar	non-brg	4.0
GALLEON MGMT LP	033	BSC	B	20,000	35.65	11-Mar	11-Mar	1,000.00	5.0
NATIONAL BK OF CAN	031	BSC	B	10,000	31.57	11-Mar	11-Mar	400.00	4.0
NATIONAL BK OF CAN	031	BSC	SS	10,000	51.77	11-Mar	11-Mar	400.00	4.0
NATIONAL BK OF CAN	031	BSC	S	5,000	53.00	11-Mar	11-Mar	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY2	036	BSC	B	100	36.29	11-Mar	11-Mar	0.00	0.0
OGORMAN	046	BSC	B	300	35.07	11-Mar	11-Mar	0.00	0.0
MFP INVESTORS	032	CBT	B	2,500	25.97	n/a	30-Jan	125.00	5.0
RHO CAPITAL MGMT	041	CHTP	B	2,200	5.42	n/a	n/a	66.00	3.0
PAKLER	029	CMG	S	15	100.00	n/a	24-Apr	0.00	0.0
MADIGAN	031	CMO	B	400	9.39	n/a	n/a	0.00	0.0
MADIGAN	031	CMO	S	20	9.44	n/a	n/a	0.00	0.0
OGORMAN	046	CMO	B	1,000	9.39	n/a	n/a	0.00	0.0
ROYCE TRADING	216	CNS	B	100,000	23.21	n/a	n/a	5,000.00	5.0
BUCK	015	COF	C	3,000	50.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		COH	S	96,100	27.83	24-Oct	14-Mar	non-brg	4.0
COUGAR TRADING	044	COH	B	10,000	27.97	24-Oct	14-Mar	500.00	5.0
BLUE HARBOR	036	CVG	S	14,676	14.33	n/a	n/a	733.80	5.0
BUCK CAPITAL MGMT		C	B	10,000	20.04	16-Jan	10-Mar	non-brg	4.0
SANDLER O'NEILL ASSET	031	C	SS	25,000	21.36	16-Jan	10-Mar	1,250.00	5.0
MADIGAN	031	C	S	175	20.09	16-Jan	10-Mar	0.00	0.0
BUCK CAPITAL MGMT		DAI	SS	20,000	82.82	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DIA	SS	20,000	119.95	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		DIA	SS	40,000	121.36	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IRIDIAN ASSET MGMT.	036	DRC	B	46,390	29.91	n/a	n/a	2,319.50	5.0
MS PRINCIPAL STRATEGIES	041	DRYS	B	33,600	60.80	n/a	n/a	1,680.00	5.0
J COHEN	N27	DXD	S	28	59.92	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	ELN	SS	50,000	19.84	n/a	n/a	1,500.00	3.0
ß BUCK CAPITAL MGMT		EOG	SS	10,000	123.59	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FLDR	C	2,143	5.70	n/a	n/a	non-brg	1.0
MAGUIRE	090	FXP	S	2,000	107.86	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	GBL	SS	9,286	52.62	n/a	6-Mar	464.30	5.0
BUCK CAPITAL MGMT	001	GES	S	225,000	35.17	n/a	n/a	9,000.00	4.0
ß BUCK CAPITAL MGMT		GES	S	8,800	35.43	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GES	S	85,227	34.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIL	B	150,000	35.07	22-Jan	10-Mar	non-brg	4.0
DELTEC ASSET MGMT.	040	GLW	B	5,000	23.00	n/a	7-Mar	250.00	5.0
PAKLER	029	GMRE	S	8	6.95	n/a	n/a	0.00	0.0
HART	056	GMRE	S	7	6.70	n/a	n/a	0.00	0.0
PAKLER	029	GMRX	S	3	5.10	n/a	n/a	0.00	0.0
SINCLAIR	031	GMRX	S	100	5.00	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MCCARTAN	028	GM	C	100	19.93	3-Jan	13-Mar	0.00	0.0
⌘ BUCK CAPITAL MGMT		GS	B	10,000	158.74	n/a	10-Mar	non-brg	4.0
FARALLON CAP MGMT	040	GS	B	27,500	156.44	n/a	10-Mar	1,100.00	4.0
ZOLIDIS	011	GS	B	135	161.73	n/a	10-Mar	0.00	0.0
BLUE HARBOR	036	GVA	B	8,000	30.21	n/a	n/a	400.00	5.0
FARALLON CAP MGMT	040	IAI	SS	265,000	37.55	n/a	n/a	10,600.00	4.0
⌘ BUCK CAPITAL MGMT		ICON	B	100,000	17.07	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ICON	B	200,000	17.37	n/a	n/a	non-brg	4.0
ZOLIDIS	011	ICON	B	1,000	17.05	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		IWM	SS	40,000	66.07	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IWM	SS	80,000	67.54	n/a	n/a	non-brg	1.0
KENNEDY CAPITAL	046	JCP	B	10,345	38.60	4-Jan	7-Mar	413.80	4.0
BUCK CAPITAL MGMT	001	JWN	SS	80,000	32.83	26-Feb	10-Mar	3,200.00	4.0
N STRATTON	014	KFN	S	600	12.95	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LEH	B	10,000	40.84	n/a	10-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	C	30,000	16.70	n/a	15-Feb	non-brg	4.0
GLG PARTNERS	034	LOW	B	50,000	21.27	n/a	27-Jul	2,500.00	5.0
KNOTT PARTNERS	044	LULU	B	50,000	28.25	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	MAT	SS	20,000	19.91	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		MCD	SS	20,000	54.29	n/a	24-Apr	non-brg	4.0
KENNEDY CAPITAL	046	MDP	B	10,665	38.94	n/a	n/a	426.60	4.0
ß BUCK CAPITAL MGMT		MDTL	SS	10,000	8.65	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MER	B	10,000	43.98	18-Jan	10-Mar	non-brg	4.0
MAGUIRE	039	MIR	S	318	35.96	n/a	n/a	0.00	0.0
EFSTATHIOU	044	MIR	S	318	35.94	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MS	B	10,000	40.12	20-Dec	10-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		MVRX	SS	80,000	135.64	n/a	n/a	non-brg	13.6
MAGUIRE	090	MZZ	S	2,000	67.37	n/a	n/a	0.00	0.0
MAGUIRE	039	NRG	S	200	41.53	n/a	n/a	0.00	0.0
EFSTATHIOU	044	OPY	S	500	44.59	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PAG	S	20,000	18.38	6-Feb	20-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	B	60,000	20.77	10-Jan	7-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		PNRA	C	50,000	39.84	n/a	n/a	non-brg	2.5
ELM RIDGE ADVISORS	044	PRX	B	80,500	15.98	28-Sep	13-Mar	3,220.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	PSUN	SS	20,000	12.01	n/a	14-Mar	1,000.00	5.0
KORMAN	003	QIAHC	S	100	3.70	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	QMAR	S	86,500	22.43	n/a	n/a	4,325.00	5.0
BUCK CAPITAL MGMT		RL	SS	20,000	58.28	7-Feb	15-Feb	non-brg	4.0
COUGAR TRADING	044	RL	SS	10,000	58.42	7-Feb	15-Feb	500.00	5.0
MCCARTAN	028	RL	C	100	57.98	7-Feb	15-Feb	0.00	0.0
LISTED ERR	099	RVI	B	100	6.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		S4RETL	SS	15,000	327.29	n/a	n/a	non-brg	32.7
IRIDIAN ASSET MGMT.	036	SHPGY	S	17,130	60.46	22-Feb	14-Mar	856.50	5.0
LISTED ERR	099	SHS	B	2,376	19.32	n/a	n/a	0.00	0.0
LISTED ERR	099	SHS	S	2,376	19.14	n/a	n/a	0.00	0.0
MAGUIRE	090	SKF	S	1,000	132.56	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SKX	S	20,000	20.39	n/a	13-Mar	non-brg	4.0
BUCK CAPITAL MGMT		SPY	SS	20,000	130.06	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		SPY	SS	20,000	132.53	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		SYNP	S	15,238	5.24	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	TIF	SS	70,000	38.20	14-Jan	8-Feb	2,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAXTON PART	040	TMK	B	10,000	58.60	n/a	n/a	350.01	3.5
⌘ BUCK CAPITAL MGMT		TRLG	B	30,000	18.24	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TSCO	SS	40,000	36.33	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TWB	S	100,000	25.23	17-Mar	21-Feb	non-brg	4.0
COUGAR TRADING	044	TWB	S	20,000	25.01	17-Mar	21-Feb	1,000.00	5.0
MAGUIRE	090	TWM	S	4,000	90.00	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		ULTA	B	25,000	10.73	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		ULTA	B	89,000	10.65	n/a	n/a	non-brg	4.0
DSG CAPITAL	225	UYG	B	4,500	28.00	n/a	n/a	225.00	5.0
SINCLAIR	031	VHEAJ	B	5	5.00	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	B	20,000	64.87	n/a	n/a	800.00	4.0
JP MORGAN VENTURES	046	WAG	B	10,000	36.13	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		WFMI	SS	30,000	31.54	n/a	n/a	non-brg	4.0
GALLEON MGMT LP	033	WY	S	10,000	62.34	8-Jan	11-Feb	500.00	5.0
ZOLIDIS	011	ZUMZ	SS	500	15.02	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

65,450.51

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/13	BLUE HARBOR	036	AXE	B	50,000	61.88	29-Jan	3-Mar	2500.00 5.0
AO,CXL	03/13	BLUE HARBOR	036	AXE	B	50,000	61.88	29-Jan	3-Mar	2000.00 4.0
AO,CXL	03/13	VIKING GLOBAL	030	KR	B	408,000	24.47	n/a	n/a	20400.00 5.0
AO,CRT	03/13	VIKING GLOBAL	030	KR	B	408,000	24.47	n/a	n/a	20400.00 5.0
AO,CXL	03/13	GALT INVESTMENT	041	QMAR	S	80,300	22.67	n/a	n/a	4015.00 5.0
AO,CRT	03/13	MS PRINCIPAL STRATEGIES	041	QMAR	S	80,300	22.67	n/a	n/a	4015.00 5.0
AO,CXL	03/12	GAULT	063	SKF	B	1,000	115.24	n/a	n/a	0.00 0.0
AO,CRT	03/12	GAULT	063	SKF	B	1,000	115.24	n/a	n/a	0.00 0.0
AO,CRT	03/12	GAULT	063	SKF	S	1,000	116.46	n/a	n/a	0.00 0.0
AO,CXL	03/12	GAULT	063	SKF	S	1,000	116.46	n/a	n/a	0.00 0.0
AO,CRT	03/13	DSG CAPITAL	225	UYG	B	4,500	28.60	n/a	n/a	225.00 5.0
AO,CXL	03/13	DSG CAPITAL	225	UYG	B	4,500	28.60	n/a	n/a	1.43 0.0
TOTAL (AO, CXL, CRT) :										723.57
TOTAL (Trade Date):										65,450.51
TOTAL (AO, CXL, CRT):										723.57
TOTAL (RUN DATE) / NFS:										66,174.08

MRI Securities with Trade Activity on 14-Mar.
NONE

MRI Securities without Trade Activity on 14-Mar.
ANN

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 18%
YTD = 26%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION