



Daily Compliance Activity Report

Trade Date: 06/26/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 2

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AAP	SS	15,000	39.65	n/a	n/a	750.00	5.0
TROUBH PART	067	ADM	S	10,000	32.61	29-Apr	23-Jun	500.00	5.0
ROSEDALE CAPITAL	046	AEO	B	10,000	15.79	6-Mar	6-Jun	500.00	5.0
REINHART & MAHONEY	031	AG	B	8,240	50.96	n/a	23-May	412.00	5.0
BARR	096	AIG	SS	800	28.84	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ARO	B	10,000	31.77	28-Apr	6-Jun	500.00	5.0
TROUBH PART	067	AXP	S	10,000	38.92	n/a	n/a	500.00	5.0
N STRATTON	014	AXP	B	50	39.00	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	BA	B	11,500	70.06	n/a	n/a	517.50	4.5
APEX CAPITAL MGMT.	091	BBBY	SS	250,000	30.09	n/a	n/a	12,500.00	5.0
BUCK CAPITAL MGMT	001	BBY	SS	20,000	40.01	n/a	27-Jul	800.00	4.0
ARIENCE CAPITAL	036	BCR	SS	21,400	86.96	n/a	22-Apr	1,070.00	5.0
BUCK CAPITAL MGMT	001	BIG	SS	40,000	31.40	29-May	5-Jun	1,600.00	4.0
BUCK CAPITAL MGMT	001	BJ	SS	40,000	39.09	n/a	27-Jul	1,600.00	4.0
TROUBH PART	067	BNI	S	10,000	98.14	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	CAT	B	1,700	74.32	n/a	23-May	68.00	4.0
FRONTPOINT CHRIS C	041	CAT	SS	1,900	75.03	n/a	23-May	76.00	4.0
MS PRINCIPAL STRATEGIES	041	CEM	S	100,000	6.30	n/a	n/a	5,000.00	5.0
BAIRD INVESTMENT	031	CEPH	S	9,755	68.44	24-Jun	25-Jun	487.75	5.0
BENCHMARK	028	CRMT	B	7,500	17.20	n/a	n/a	375.00	5.0
AVESTA CAP	229	CVS	S	100,000	40.12	n/a	n/a	5,000.00	5.0
CHILTON CAPITAL MGMT.	036	C	SS	700,000	17.82	19-Jun	19-Jun	28,000.00	4.0
FRONTPOINT CHRIS C	041	DIS	SS	4,200	31.75	n/a	n/a	168.00	4.0
CROWLEY	061	DUK	B	1,000	17.27	n/a	n/a	0.00	0.0
CATAPULT	040	EME	S	37,927	27.86	n/a	n/a	1,896.35	5.0
N STRATTON	014	FBSI	C	800	14.00	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	FDX	SS	15,700	78.83	n/a	n/a	785.00	5.0
LUCHANSKY	036	FELE	S	200	40.52	n/a	n/a	0.00	0.0
ZOLIDIS	011	FINL	SS	2,000	6.98	28-Mar	28-Mar	0.00	0.0
REINHART & MAHONEY	031	FLIR	S	18,450	39.29	n/a	n/a	922.50	5.0
GRUSS CAPITAL	045	FOR	B	5,000	20.59	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRUST CO/COWEN/CALF.	040	FRP	B	70,000	7.31	n/a	n/a	2,800.00	4.0
REINHART & MAHONEY	031	FTO	S	5,980	24.47	n/a	n/a	299.00	5.0
CHILTON CAPITAL MGMT.	036	GE	SS	500,000	27.10	n/a	n/a	20,000.00	4.0
PAKLER	029	GMUB	S	11	1.54	n/a	n/a	0.00	0.0
MCCARTAN	028	GM	C	900	11.54	24-Jun	24-Jun	0.00	0.0
RAMIUS CAP	040	GNTX	SS	109,600	15.07	24-Jun	24-Jun	5,480.00	5.0
UBS OCONNOR	031	HAL	B	25,000	51.00	n/a	n/a	750.00	3.0
CATTANI	041	HLX	S	50	41.17	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	HOC	S	4,810	37.91	n/a	n/a	240.50	5.0
ARIENCE CAPITAL	036	HON	SS	76,200	50.04	18-Apr	18-Apr	3,810.00	5.0
ROTHSCHILD ASSET	040	IP	B	49,659	23.52	8-Feb	26-Jun	2,234.66	4.5
FRONTPOINT CHRIS C	041	ITW	B	2,600	46.86	20-May	23-May	104.00	4.0
NOLAN	067	JCG	B	60	33.19	n/a	30-May	0.00	0.0
STADIA CAPITAL	035	JCP	S	100,000	36.11	n/a	6-Jun	4,000.00	4.0
COUGAR TRADING	044	JWN	SS	10,000	31.67	16-May	6-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	KMT	SS	14,800	32.68	n/a	3-Jun	592.00	4.0
WEISS PECK	040	KMX	SS	4,500	14.93	n/a	10-Jan	225.00	5.0
AMBER CAPITAL	046	LEA	B	367,000	14.92	n/a	n/a	14,680.00	4.0
COLONIAL FUND LLC	036	LEA	S	25,000	14.90	n/a	n/a	1,250.00	5.0
TROUBH PART	067	LEH	S	5,000	22.80	9-Jun	17-Jun	250.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	40,000	15.13	n/a	14-May	1,600.00	4.0
HOOVER INV. MGMT	59G	LULU	B	1,300	29.27	n/a	n/a	0.00	0.0
FRONTPOINT CHRIS C	041	MAN	SS	8,300	58.06	n/a	n/a	332.00	4.0
POST ROAD CAP	045	MGLN	S	12,600	38.85	n/a	n/a	378.00	3.0
TROUBH PART	067	MGM	S	5,000	36.56	n/a	7-May	250.00	5.0
TROUBH PART	067	MNST	S	5,000	20.66	n/a	n/a	250.00	5.0
BARR	096	MSCS	SS	2,000	11.50	n/a	n/a	0.00	0.0
UBS OCONNOR	031	MS	SS	135,000	37.20	n/a	19-Jun	4,050.00	3.0
BARR	096	MTB	SS	1,300	72.40	n/a	n/a	0.00	0.0
MERRILL ASSET	032	MTD	B	76,260	96.30	n/a	n/a	3,431.71	4.5
ZOLIDIS	011	NKE	B	300	58.56	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC TRADING HOWARD	038	ORLY	SS	25,000	23.43	n/a	n/a	1,250.00	5.0
ACK ASSET	028	PAG	SS	6,000	15.41	10-Jun	10-Jun	240.00	4.0
LUCHANSKY1	036	PKG	B	300	21.87	7-Apr	26-Jun	0.00	0.0
FRONTPOINT CHRIS C	041	PNC	SS	8,600	57.60	n/a	n/a	344.00	4.0
LUCHANSKY	036	PNK	S	200	10.95	n/a	n/a	0.00	0.0
MERRILL ASSET	032	PPO	B	5,200	24.92	n/a	n/a	234.00	4.5
ROTHSCHILD ASSET	040	RAH	B	61,430	49.76	n/a	n/a	2,764.49	4.5
MENCKE	055	RJI	B	100	13.87	n/a	n/a	0.00	0.0
COUGAR TRADING	044	RL	B	5,000	65.16	7-Feb	29-May	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	RL	SS	15,000	65.45	7-Feb	29-May	600.00	4.0
GLG PARTNERS	034	SBUX	B	25,000	16.55	n/a	24-Apr	1,250.00	5.0
CAXTON PART	040	SEIC	S	25,000	24.38	n/a	n/a	875.01	3.5
BARR	096	SKF	S	300	146.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKS	SS	50,000	11.59	n/a	n/a	2,000.00	4.0
ZOLIDIS	011	SKX	C	1,000	20.90	n/a	13-Mar	0.00	0.0
BARR	096	SQNM	B	1,500	16.00	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	TEN	B	25,000	15.20	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	TIF	SS	30,000	42.07	n/a	2-Jun	1,200.00	4.0
CATAPULT	040	TIN	S	245,000	11.29	29-Apr	26-Jun	12,250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TIN	B	100,000	11.26	29-Apr	26-Jun	5,000.00	5.0
BALYASNY ASSET MGMT	044	TLB	B	25,000	12.51	17-Apr	11-Jun	1,250.00	5.0
ADALY INV MGMT	067	WBC	S	13,700	47.96	30-Apr	11-Jun	685.00	5.0
RAINIER INV MGMT	035	WFT	B	117,120	46.85	n/a	n/a	4,684.80	4.0
AMBER CAPITAL	046	WIN	B	150,000	12.55	n/a	n/a	6,000.00	4.0
GLG PARTNERS	034	WMT	B	25,000	57.03	13-May	10-Jun	1,250.00	5.0
TROUBH PART	067	YHOO	S	25,000	21.56	n/a	n/a	1,250.00	5.0
TOTAL (Trade Date):								176,862.27	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/25	TRELLUS PARTNERS LP (TRELMERR)	028	DRI	S	1,740	32.95	n/a	26-Jun	87.00 5.0
AO,	06/25	TRELLUS PARTNERS LP (TRELGS)	028	DRI	S	1,740	32.95	n/a	26-Jun	87.00 5.0
AO,	06/25	TRELLUS PARTNERS LP (TRELMAIN)	028	DRI	S	11,668	32.95	n/a	26-Jun	583.40 5.0
AO,	06/25	FRIESS MGMT (FRISNUKEAC)	033	JCG	B	200	34.82	n/a	30-May	8.00 4.0
AO,CXL	06/25	FRIESS MGMT (FRISNUKE)	033	JCG	B	200	34.82	n/a	30-May	8.00 4.0
AO,CXL	06/25	REICH AND TANG (RICHXALFRD)	067	KEG	S	1,500	19.29	n/a	18-Jun	0.00 0.0
AO,CXL	06/25	POST ROAD CAP (POSTUBS)	045	MGLN	S	15,600	38.93	n/a	n/a	780.00 5.0
AO,CRT	06/25	POST ROAD CAP (POSTUBS)	045	MGLN	S	15,600	38.93	n/a	n/a	468.00 3.0
AO,CRT	06/25	HIGHBRIDGE/MS OLDS (HIBCLEHMAN)	041	SRE	S	24,525	55.86	n/a	n/a	981.00 4.0
AO,CXL	06/25	HIGHBRIDGE/MS OLDS (HIBCMAIN)	041	SRE	S	24,525	55.86	n/a	n/a	981.00 4.0
CRT	06/26	CATAPULT (CATAMSPB)	040	TIN	S	245,000	11.29	29-Apr	26-Jun	12250.00 5.0
AO,CXL	06/20	WAGONHOUND/NIC (WAGONIC)	048	VC	S	7,080	3.40	n/a	9-Jun	283.20 4.0
AO,CRT	06/20	WAGONHOUND/NIC (WAGONIC)	048	VC	S	7,080	3.40	n/a	9-Jun	212.40 3.0
TOTAL (AO, CXL, CRT) :										12,624.60

TOTAL (Trade Date):	176,862.27
TOTAL (AO, CXL, CRT):	12,624.60

TOTAL (RUN DATE) / NFS:

189,486.87

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION