



Daily Compliance Activity Report

Trade Date: 05/17/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	AAI	B	900	12.08	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	28.46	4-Jan	11-May	2,000.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	79.83	n/a	17-May	800.00	4.0
THE JAY GOLDMAN	034	ANF	B	10,000	79.77	n/a	17-May	400.00	4.0
DENVER INST. ADV	048	AVZ	B	35,000	24.40	26-Apr	17-May	1,400.00	4.0
BRIGHTPOINT CAP	067	BIG	SS	10,000	32.52	9-Mar	11-May	500.00	5.0
THE JAY GOLDMAN	034	BJ	B	10,000	34.87	10-Apr	10-May	400.00	4.0
SAXON WOODS ADVISORS	038	BOL	S	13,000	66.62	n/a	n/a	650.00	5.0
WHITE PINE CAPITAL	030	BONT	S	200	49.56	9-Mar	11-May	10.00	5.0
PRIME LOGIC ADV	039	CENT	B	6,600	14.30	8-Feb	8-May	330.00	5.0
MASON CAPITAL	028	CFC	B	479,439	41.46	n/a	n/a	23,971.95	5.0
BARR	096	DAL	B	800	19.05	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	DBRN	S	55,000	21.10	n/a	n/a	0.00	0.0
SUTERA	160	DNDN	S	3,000	6.18	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	DSW	S	66,325	35.99	7-May	11-May	2,487.19	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEISS PECK	040	DSW	SS	3,600	35.94	7-May	11-May	108.00	3.0
CROWLEY	061	EOS	B	1,500	20.79	n/a	n/a	0.00	0.0
DAVIDSON TRUST CO	033	FDO	S	1,120	33.06	19-Apr	11-May	100.80	9.0
COUGAR TRADING	044	FL	B	10,000	21.72	n/a	11-May	500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	GCO	S	40,000	49.02	n/a	10-Jan	1,500.00	3.8
SAC TRADING HOWARD	038	GT	S	50,000	34.36	n/a	n/a	2,500.00	5.0
POLYGON INVESTMENT	034	HK	S	2,400	15.50	n/a	n/a	120.00	5.0
Õ Philip Franz		ITCD	B	2000	5.8500	n/a	n/a	0.00	0.0
WYCKOFF	013	ITCD	B	500	5.80	n/a	n/a	0.00	0.0
NOLAN	067	ITCD	B	75	5.90	n/a	n/a	0.00	0.0
MAGUIRE	090	ITCD	B	5,000	5.80	n/a	n/a	0.00	0.0
KANTROWIT	N21	ITCD	B	250	5.85	n/a	n/a	0.00	0.0
ROARING BLUE LION MGMT	012	KSS	B	6,640	74.01	n/a	16-May	332.00	5.0
Õ Philip Franz		LEH	S	75	73.3900	n/a	11-May	0.00	0.0
GRUBER MACBAINE	033	LM	B	8,000	98.41	9-May	9-May	163.94	3.2
STERLING JOHNSTON	035	MCCC	B	100,000	8.94	28-Feb	9-May	4,000.00	4.0
Õ Glenn Redemann		MNST	B	7	0.1500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MNST	B	7	0.4000	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Glenn Redemann		MNST	SS	7	0.9000	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		MNST	SS	7	1.1500	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		MNT	S	2	4.1000	n/a	n/a	0.00	0.0
	ROTHSCHILD ASSET	040	MNT	S	1,220	41.22	n/a	n/a	54.90	4.5
Õ	Glenn Redemann		NRTX	B	4	0.4500	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	OXM	S	10,000	44.51	n/a	n/a	400.00	4.0
	MERRILL LYNCH STRATEGICI	034	PLCE	B	10,000	51.27	12-Apr	11-May	500.00	5.0
	BUCK CAPITAL MGMT	001	RVI	B	70,000	18.22	n/a	n/a	2,800.00	4.0
	WEISS PECK	040	RVI	B	10,000	18.15	n/a	n/a	300.00	3.0
	DENVER INST. ADV	046	SCRX	B	75,000	23.97	27-Apr	17-May	3,000.00	4.0
	BUCK CAPITAL MGMT	001	SKX	B	50,000	30.06	n/a	13-Mar	2,000.00	4.0
	COUGAR TRADING	044	SKX	B	5,000	30.49	n/a	13-Mar	250.00	5.0
	BARR	096	SWIM	SS	2,500	12.07	n/a	n/a	0.00	0.0
	CROWLEY	061	TACT	B	1,000	6.30	n/a	n/a	0.00	0.0
	KANTROWIT	N21	TFSM	S	100	11.74	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	TGT	B	20,000	57.83	n/a	10-May	800.00	4.0
	MISSOURI VALLEY PART	046	TJX	B	42,025	27.44	21-Mar	16-May	2,101.25	5.0
	BUCK CAPITAL MGMT	001	TWB	S	40,000	37.57	22-Feb	17-May	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROTHSCHILD ASSET	040	TWB	S	3,490	37.55	22-Feb	17-May	157.05	4.5
FRIESS MGMT	042	URBN	B	65,400	24.33	2-Apr	11-May	2,943.00	4.5
MKT MAKER	099	USTR	B	400	66.00	16-Feb	7-May	0.00	0.0
MKT MAKER	099	USTR	SS	500	66.75	16-Feb	7-May	0.00	0.0
TRELLUS PARTNERS LP	028	VC	B	50,000	8.00	n/a	n/a	2,500.00	5.0
ACT II PARTNERS	067	VG	B	100,000	3.07	9-Mar	10-May	4,000.00	4.0
HIGHBRIDGE CAPITAL	038	VMC	SS	8,000	114.75	n/a	n/a	400.00	5.0
Ö Glenn Redemann		VRTX	B	4	0.6500	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	WRNC	S	7,000	32.78	9-May	9-May	420.00	6.0
FRIESS MGMT	042	WRNC	S	171,300	32.52	9-May	9-May	7,708.50	4.5
RAMIUS CAP	040	WY	B	16,000	79.77	7-May	7-May	800.00	5.0
MKT MAKER	099	WY	B	500	79.79	7-May	7-May	0.00	0.0
MKT MAKER	099	WY	S	500	79.81	7-May	7-May	0.00	0.0
KERN	050	XOHO	S	2,000	4.86	n/a	n/a	0.00	0.0
KAICHER	064	ZHNE	B	700	1.26	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	ZQK	S	517,400	12.50	9-Mar	17-Apr	25,870.00	5.0
TOTAL (Trade Date):								100,878.58	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	05/16	GALLEON MGMT LP (GALLMAIN05)	033	BSX	B	150,000	15.88	n/a	22-Apr	4500.00	3.0
AO,	05/16	GALLEON MGMT LP (GALLMAIN05)	033	BSX	B	150,000	15.88	n/a	22-Apr	7500.00	5.0
AO,CXL	05/16	GALLEON MGMT LP (GALLGS\$.05)	033	BSX	B	150,000	15.88	n/a	22-Apr	4500.00	3.0
AO,	05/16	GALLEON MGMT LP (GALLGS\$.05)	033	BSX	B	150,000	15.88	n/a	22-Apr	7500.00	5.0
AO,CXL	05/16	SIGMA CAPITAL ASSOCIATES (SIGMMAINMS)	044	DBRN	S	200,000	20.40	n/a	n/a	10000.00	5.0
AO,CRT	05/16	SIGMA CAPITAL ASSOCIATES (SIGMGS-USE)	044	DBRN	S	200,000	20.40	n/a	n/a	10000.00	5.0
AO,CXL	05/16	BROOKSIDE CAPITAL PART (BROOMORGAN)	067	DELL	SS	118,800	25.34	n/a	n/a	4752.00	4.0
AO,CRT	05/16	BROOKSIDE CAPITAL PART (BROOMORGAN)	067	DELL	SS	118,800	25.34	n/a	n/a	4752.00	4.0
AO,CXL	05/11	TOCQUEVILLE ASSET MGMT (TOCQSMALL)	048	DEPO	B	11,100	3.58	n/a	n/a	555.00	5.0
AO,CRT	05/11	TOCQUEVILLE ASSET MGMT (TOCQSMALL)	048	DEPO	B	11,100	3.58	n/a	n/a	333.00	3.0
AO,	05/16	WEISS PECK (PECKMS)	040	HRS	B	18,000	49.02	2-May	2-May	900.00	5.0
AO,CXL	05/16	WEISS PECK (PECKARBBS)	040	HRS	B	25,000	49.02	2-May	2-May	1250.00	5.0
AO,CRT	05/16	WEISS PECK (PECKARBBS)	040	HRS	B	7,000	49.02	2-May	2-May	350.00	5.0
AO,	05/16	PRINCIPLE CAPITAL (PRINUBSPB)	040	LTD	B	94,600	25.65	16-May	16-May	2838.00	3.0
AO,	05/16	COHE & STEERS (COHECOPS)	091	PCL	S	1,700	40.04	n/a	24-Apr	85.00	5.0
AO,	05/16	COHE & STEERS (COHEVIF)	091	PCL	S	400	40.04	n/a	24-Apr	20.00	5.0
AO,	05/16	COHE & STEERS (COHEFAX)	091	PCL	S	4,000	40.04	n/a	24-Apr	200.00	5.0
AO,	05/16	COHE & STEERS (COHEUFC)	091	PCL	S	7,300	40.04	n/a	24-Apr	365.00	5.0
AO,	05/16	COHE & STEERS (COHECSR)	091	PCL	S	62,700	40.04	n/a	24-Apr	3135.00	5.0
AO,	05/16	COHE & STEERS (COHECST)	091	PCL	S	2,700	40.04	n/a	24-Apr	135.00	5.0
AO,	05/16	COHE & STEERS (COHECSIR)	091	PCL	S	25,300	40.04	n/a	24-Apr	1265.00	5.0
AO,	05/16	COHE & STEERS (COHEELC)	091	PCL	S	6,700	40.04	n/a	24-Apr	335.00	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/16	COHE & STEERS (COHEMEL)	091	PCL	S	1,100	40.04	n/a	24-Apr	55.00	5.0
AO,	05/16	COHE & STEERS (COHELIV)	091	PCL	S	100	40.04	n/a	24-Apr	5.00	5.0
AO,	05/16	COHE & STEERS (COHEMOOG)	091	PCL	S	300	40.04	n/a	24-Apr	15.00	5.0
AO,	05/16	COHE & STEERS (COHENYST)	091	PCL	S	9,200	40.04	n/a	24-Apr	460.00	5.0
AO,	05/16	COHE & STEERS (COHEHEY)	091	PCL	S	100	40.04	n/a	24-Apr	5.00	5.0
AO,	05/16	COHE & STEERS (COHETEX)	091	PCL	S	3,900	40.04	n/a	24-Apr	195.00	5.0
AO,	05/16	COHE & STEERS (COHEUTG)	091	PCL	S	4,300	40.04	n/a	24-Apr	215.00	5.0
AO,	05/16	COHE & STEERS (COHELAND)	091	PCL	S	400	40.04	n/a	24-Apr	20.00	5.0
AO,	05/16	COHE & STEERS (COHENEX)	091	PCL	S	1,300	40.04	n/a	24-Apr	65.00	5.0
AO,	05/16	COHE & STEERS (COHEASK)	091	PCL	S	6,300	40.04	n/a	24-Apr	315.00	5.0
AO,	05/16	COHE & STEERS (COHEUTI)	091	PCL	S	4,400	40.04	n/a	24-Apr	220.00	5.0
AO,	05/16	COHE & STEERS (COHEUTP)	091	PCL	S	7,800	40.04	n/a	24-Apr	390.00	5.0
AO,CXL	05/16	WAGONHOUND (WAGO)	048	PVH	S	2,900	53.73	28-Mar	28-Mar	1.16	0.0
AO,CRT	05/16	WAGONHOUND (WAGO)	048	PVH	S	2,900	53.73	28-Mar	28-Mar	116.00	4.0
AO,	05/15	SAB CAPITAL (SABCMORGAN)	034	TWB	B	45,000	38.12	22-Feb	17-May	2250.00	5.0
AO,CXL	05/15	SAB CAPITAL (SABCGOLDMA)	034	TWB	B	45,000	38.12	22-Feb	17-May	2250.00	5.0
AO,CRT	05/16	SAB CAPITAL (SABCMORGAN)	034	TWB	B	17,500	37.99	22-Feb	17-May	875.00	5.0
AO,CXL	05/16	SAB CAPITAL (SABCGOLDMA)	034	TWB	B	17,500	37.99	22-Feb	17-May	875.00	5.0
AO,CRT	05/15	MKT MAKER (MKT MAKER)	099	USTR	SS	100	65.50	16-Feb	7-May	0.00	0.0
AO,CRT	05/15	MKT MAKER (MKT MAKER)	099	USTR	SS	100	65.25	16-Feb	7-May	0.00	0.0
AO,CRT	05/15	MKT MAKER (MKT MAKER)	099	USTR	SS	100	65.00	16-Feb	7-May	0.00	0.0

TOTAL (AO, CXL, CRT) :

16,230.84

TOTAL (Trade Date) :

100,878.58

TOTAL (AO, CXL, CRT):

16,230.84

TOTAL (RUN DATE) / NFS:

117,109.42

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION