



## Daily Compliance Activity Report

Trade Date: 01/26/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FORD FDN.	032	ABT	B	25,000	52.13	n/a	22-Apr	1,250.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>AB</b>	<b>B</b>	<b>55</b>	<b>89.75</b>	<b>24-Jan</b>	<b>25-Jan</b>	<b>0.00</b>	<b>0.0</b>
ELAZAR ADV	034	ACMR	SS	8,715	20.16	n/a	n/a	435.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEOS</b>	<b>S</b>	<b>10,000</b>	<b>32.04</b>	<b>4-Jan</b>	<b>12-Jan</b>	<b>non-brg</b>	<b>5.0</b>
CAPITAL GROWTH MGMT.	241	AIG	S	30,000	68.32	n/a	n/a	1,500.00	5.0
DAWSON SANBERG & CO	036	AMD	B	10,600	16.12	n/a	n/a	530.00	5.0
BALYASNY ASSET MGMT	044	AMG	B	10,000	109.35	25-Jan	25-Jan	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>B</b>	<b>5,000</b>	<b>78.47</b>	<b>3-Nov</b>	<b>5-Jan</b>	<b>non-brg</b>	<b>5.0</b>
ELAZAR ADV	034	ANN	B	15,527	34.03	5-Jan	5-Jan	776.35	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANST</b>	<b>C</b>	<b>29,400</b>	<b>27.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
PILOT ADV	038	ANW	S	14,000	16.43	n/a	n/a	560.00	4.0
CAPITAL GROWTH MGMT.	241	APA	B	9,300	70.28	n/a	22-Jan	465.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>S</b>	<b>10,000</b>	<b>35.42</b>	<b>23-Oct</b>	<b>5-Jan</b>	<b>non-brg</b>	<b>5.0</b>
CRAMER ROSENTHAL/SCOTT	012	AVZ	B	25,600	23.87	29-Dec	24-Jan	1,280.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	BBW	S	5,000	29.33	8-Jan	8-Jan	200.00	4.0
Ⓐ BUCK CAPITAL MGMT		BEBE	B	10,000	17.93	n/a	n/a	non-brg	2.0
BALYASNY ASSET MGMT	044	BEN	B	10,000	117.59	9-Nov	25-Jan	500.00	5.0
PRIME LOGIC ADV	039	BIG	SS	19,700	25.39	16-Nov	18-Jan	985.00	5.0
SSI INVESTORS	048	BIIB	S	37,542	47.38	n/a	n/a	1,501.68	4.0
IRON HORSE CAPITAL MGMT	038	BTU	B	20,000	40.17	n/a	n/a	1,000.00	5.0
LEDDY	035	CAKE	B	400	26.25	n/a	17-Jan	0.00	0.0
BUCK	015	CBE	C	300	95.00	25-Jan	25-Jan	0.00	0.0
BUCK CAPITAL MGMT	001	CBK	B	10,000	18.53	11-Dec	5-Jan	400.00	4.0
BALYASNY ASSET MGMT	044	CENT	B	10,000	43.97	22-Jan	22-Jan	500.00	5.0
Ⓐ BUCK CAPITAL MGMT		CHIC	C	4,200	28.81	n/a	n/a	non-brg	1.0
CAPITAL GROWTH MGMT.	241	CLB	B	40,000	80.09	6-Dec	22-Jan	2,000.00	5.0
BUCK CAPITAL MGMT	001	COH	S	5,000	43.55	24-Jan	24-Jan	200.00	4.0
ELAZAR ADV	034	COH	B	8,096	44.00	24-Jan	24-Jan	404.80	5.0
Ⓐ BUCK CAPITAL MGMT		COLM	SS	10,000	62.25	n/a	n/a	non-brg	2.0
ELAZAR ADV	034	COLM	S	10,674	62.74	n/a	n/a	533.70	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ELAZAR ADV	034	CROX	B	7,940	48.99	n/a	n/a	397.00	5.0
SAC TRADING HOWARD	038	CROX	B	18,000	50.05	n/a	n/a	900.00	5.0
GALLEON MGMT LP	033	CSCO	S	40,000	25.98	n/a	22-Mar	2,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CWTR</b>	<b>B</b>	<b>5,000</b>	<b>18.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
VANDER MOOLEN	040	DELL	B	5,000	24.08	n/a	n/a	250.00	5.0
<b>BUCK</b>	<b>015</b>	<b>DIS</b>	<b>B</b>	<b>1,000</b>	<b>34.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DSM CAPITAL PARTNERS	032	DJ	B	6,950	39.00	n/a	n/a	417.00	6.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DKS</b>	<b>SS</b>	<b>10,000</b>	<b>50.45</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
DALTON GREINER	012	DLTR	B	18,500	30.88	21-Nov	5-Jan	925.00	5.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>EBS</b>	<b>S</b>	<b>400</b>	<b>15.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAPITAL	038	ECL	B	12,000	43.27	n/a	26-Jan	600.00	5.0
BARON ASSET MGMT*	012	EV	B	25,000	34.03	2-Nov	24-Jan	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FD</b>	<b>B</b>	<b>110,000</b>	<b>40.19</b>	<b>26-Jan</b>	<b>26-Jan</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	FD	B	10,000	40.20	26-Jan	26-Jan	500.00	5.0
COUGAR TRADING	044	FD	S	15,000	40.35	26-Jan	26-Jan	750.00	5.0
EGS ASSOCIATES LP	059	FD	B	3,500	40.23	26-Jan	26-Jan	210.00	6.0
GALLEON MGMT LP	033	FD	B	50,000	40.22	26-Jan	26-Jan	2,500.00	5.0
HIGHBRIDGE CAPITAL	038	FD	B	75,000	40.14	26-Jan	26-Jan	3,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LOCUS WOOD CAPITAL MGMT	044	FD	B	50,000	40.23	26-Jan	26-Jan	2,000.00	4.0
SSI INVESTORS	048	FD	B	25,967	40.19	26-Jan	26-Jan	1,038.68	4.0
STADIA CAPITAL	035	FD	B	50,000	40.23	26-Jan	26-Jan	2,000.00	4.0
WAGONHOUND	048	FD	B	10,000	40.21	26-Jan	26-Jan	400.00	4.0
DSM CAPITAL PARTNERS	032	FED	B	4,000	66.51	n/a	n/a	200.00	5.0
TRIBECA/DOUG	040	FII	SS	15,000	35.19	n/a	26-Jan	600.00	4.0
METROPOLITAN CAPITAL	044	FL	B	60,400	21.44	n/a	19-Jan	3,020.00	5.0
REICH AND TANG	037	FL	B	100,000	21.51	n/a	19-Jan	5,000.00	5.0
ELAZAR ADV	034	FOSL	B	15,639	22.64	15-Nov	15-Nov	781.95	5.0
ß BUCK CAPITAL MGMT		GCO	S	15,000	38.63	n/a	10-Jan	non-brg	5.0
ß BUCK CAPITAL MGMT		GCO	S	40,000	38.60	n/a	10-Jan	non-brg	5.0
WHITE PINE CAPITAL	030	GGG	S	100	41.10	n/a	n/a	5.00	5.0
MAZAMA CAPITAL MGMT.	035	GHL	S	34,000	74.39	n/a	n/a	1,360.00	4.0
STRAUSS ASSET MGMT	040	GLW	B	25,000	21.05	n/a	24-Jan	1,250.00	5.0
ZOLIDIS	011	GS	B	25	211.70	13-Dec	16-Jan	0.00	0.0
GRACIE CAPITAL	028	HD	B	50,000	39.95	n/a	16-Jan	2,500.00	5.0
NEUBERGER BERMAN	238	HLX	S	36,900	31.32	n/a	n/a	1,845.00	5.0
BEAR STEARNS ASSET MGMT.	033	HON	S	30,000	43.63	n/a	26-Jan	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		HP	B	10,000	25.97	n/a	n/a	non-brg	5.0
LISTED ERR	099	HS	B	400	19.29	n/a	n/a	0.00	0.0
LISTED ERR	099	HS	S	400	19.30	n/a	n/a	0.00	0.0
BUCK	015	ICECY	S	5	4.70	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ICON	S	10,000	20.00	n/a	n/a	non-brg	5.0
WHITE PINE CAPITAL	030	IFF	S	150	49.97	4-Jan	22-Jan	7.50	5.0
NICOLAS APPLGATE	048	INPC	S	10,500	13.96	n/a	n/a	315.00	3.0
B BUCK CAPITAL MGMT		INTC	B	20,000	20.60	n/a	n/a	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	INT	S	52,300	44.05	n/a	n/a	2,092.00	4.0
ELAZAR ADV	034	IWM	B	11,276	78.29	n/a	n/a	563.80	5.0
ELAZAR ADV	034	IWM	S	11,276	78.16	n/a	n/a	563.80	5.0
CLOVIS CAPITAL	012	JNS	SS	35,000	20.43	1-Dec	25-Jan	1,750.00	5.0
DAWSON SANBERG & CO	036	KCI	B	11,200	44.75	n/a	n/a	560.00	5.0
B BUCK CAPITAL MGMT		KSS	S	10,000	68.37	27-Dec	5-Jan	non-brg	5.0
B BUCK CAPITAL MGMT		LAVA	S	10,000	8.68	n/a	n/a	non-brg	5.0
DALTON GREINER	012	LIZ	S	37,100	43.24	n/a	27-Oct	1,855.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KEEFE BRUYETTE WOODS A	031	LM	B	5,500	105.35	n/a	25-Jan	275.00	5.0
CHARTER OAK	037	LOW	B	50,000	32.61	n/a	16-Jan	2,500.00	5.0
J L F ASSET MGMT	039	LTD	B	35,600	27.48	5-Jan	5-Jan	1,424.00	4.0
B BUCK CAPITAL MGMT		LTM	S	10,000	52.81	n/a	n/a	non-brg	5.0
ZOLIDIS	011	LVS	B	50	103.85	n/a	n/a	0.00	0.0
ZOLIDIS	011	MER	B	50	93.75	19-Jan	19-Jan	0.00	0.0
HIGHBRIDGE CAPITAL	038	MET	B	6,000	61.21	n/a	n/a	300.00	5.0
ZOLIDIS	011	MGM	B	70	69.82	n/a	3-Nov	0.00	0.0
BUCK	015	MHS	B	500	58.05	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MIPS	B	60,000	8.23	n/a	n/a	non-brg	4.0
EFSTATHIOU	044	MOT	B	200	18.33	n/a	n/a	0.00	0.0
DAVID J GREEN & CO	040	MRCY	B	24,500	12.89	n/a	n/a	1,330.00	5.5
KORMAN	003	MWRK	B	2,000	32.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MWV	B	10,000	29.58	8-Jan	23-Jan	non-brg	5.0
LEDDY	035	MWV	B	600	29.49	8-Jan	23-Jan	0.00	0.0
GENERAL ELECTIC CO	033	NSM	B	123,346	23.17	n/a	n/a	5,550.60	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ELAZAR ADV	034	NTRI	S	2,544	57.99	n/a	n/a	127.20	5.0
ELAZAR ADV	034	NTY	S	4,889	51.23	n/a	n/a	244.45	5.0
⚡ BUCK CAPITAL MGMT		NWY	S	20,000	14.60	1-Dec	10-Jan	non-brg	5.0
⚡ BUCK CAPITAL MGMT		NWY	S	25,000	14.84	1-Dec	10-Jan	non-brg	5.0
⚡ BUCK CAPITAL MGMT		NWY	S	28,900	14.90	1-Dec	10-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		NWY	S	3,400	14.67	1-Dec	10-Jan	non-brg	5.0
⚡ BUCK CAPITAL MGMT		NWY	S	300	14.81	1-Dec	10-Jan	non-brg	5.0
FIRST NEW YORK LEDDY	035	NWY	B	15,000	14.80	1-Dec	10-Jan	900.00	6.0
BALYASNY ASSET MGMT	044	ODP	SS	20,000	37.31	n/a	23-Jan	1,000.00	5.0
ELAZAR ADV	034	PBY	B	12,269	15.64	n/a	n/a	613.45	5.0
WHITE PINE CAPITAL	030	PERY	S	1,237	26.78	n/a	n/a	61.85	5.0
HIGHBRIDGE CAPITAL	038	PFG	B	15,000	60.25	n/a	n/a	750.00	5.0
MAZAMA CAPITAL MGMT.	035	PJC	B	22,500	68.27	n/a	n/a	900.00	4.0
⚡ BUCK CAPITAL MGMT		PLCE	S	10,000	53.07	n/a	25-Jan	non-brg	5.0
EGS ASSOCIATES LP	059	PLCE	B	3,000	52.28	n/a	25-Jan	180.00	6.0
ELAZAR ADV	034	PLCE	S	2,526	52.22	n/a	25-Jan	126.30	5.0
PECONIC PARTNERS	036	PLCE	S	100,000	52.46	n/a	25-Jan	5,000.00	5.0
BENNETT LAWRENCE	044	PMTC	B	11,600	19.79	n/a	n/a	580.00	5.0
HIGHBRIDGE CAPITAL	038	PRU	B	11,000	87.69	n/a	n/a	550.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>PSUN</b>	<b>S</b>	<b>30,000</b>	<b>20.04</b>	<b>n/a</b>	<b>12-Jan</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>S</b>	<b>10,000</b>	<b>54.17</b>	<b>18-Jan</b>	<b>18-Jan</b>	<b>non-brg</b>	<b>4.0</b>
SYSTEMATIC FINANCIAL MGT	035	PVH	B	53,500	54.14	18-Jan	18-Jan	2,006.25	3.8
CASTLE ARK	031	SBUX	S	265,700	33.96	6-Oct	12-Dec	15,942.00	6.0
S.A.C. CAPITAL MGMT.	044	SBUX	B	15,000	33.96	6-Oct	12-Dec	750.00	5.0
<b>BINDER</b>	<b>007</b>	<b>SHW</b>	<b>C</b>	<b>200</b>	<b>66.95</b>	<b>n/a</b>	<b>25-Jan</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAPITAL	038	SIAL	B	15,000	37.84	3-Jan	22-Jan	750.00	5.0
ELAZAR ADV	034	SKX	B	5,123	34.57	n/a	12-Jan	256.15	5.0
FORTRESS CAPITAL	040	SPLS	B	50,000	25.69	n/a	10-Jan	2,500.00	5.0
<b>Õ Glenn Redemann</b>		<b>SU</b>	<b>B</b>	<b>6</b>	<b>0.1500</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>SU</b>	<b>B</b>	<b>6</b>	<b>0.2300</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>SU</b>	<b>S</b>	<b>6</b>	<b>0.0000</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>SU</b>	<b>S</b>	<b>6</b>	<b>0.0000</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAIRS AND POWER INV	030	SVU	S	20,000	36.25	n/a	n/a	1,200.00	6.0
<b>BINDER</b>	<b>007</b>	<b>SWK</b>	<b>C</b>	<b>300</b>	<b>55.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>WHEELER</b>	<b>008</b>	<b>TBAC</b>	<b>S</b>	<b>7,800</b>	<b>13.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TBL</b>	<b>B</b>	<b>50,000</b>	<b>28.99</b>	<b>15-Nov</b>	<b>12-Jan</b>	<b>2,000.00</b>	<b>4.0</b>
DAVID J GREEN & CO	040	TDY	B	87,700	37.92	n/a	n/a	4,753.00	5.5



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CLOVIS CAPITAL	012	TEX	SS	50,000	57.94	n/a	n/a	2,500.00	5.0
FARALLON CAP MGMT	040	TGT	S	125,900	60.30	n/a	5-Jan	5,036.00	4.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>TNG</b>	<b>S</b>	<b>20</b>	<b>0.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NICOLAS APPLGATE	048	TTEC	S	29,750	25.50	n/a	n/a	1,190.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TWB</b>	<b>S</b>	<b>10,000</b>	<b>33.27</b>	<b>9-Jan</b>	<b>23-Jan</b>	<b>non-brg</b>	<b>5.0</b>
DAVIDSON TRUST CO	033	T	B	1,900	36.51	26-Jan	29-Jan	133.00	7.0
DG CAPITAL	039	T	S	138,800	36.33	26-Jan	29-Jan	5,552.00	4.0
ELAZAR ADV	034	URBN	B	7,273	24.45	7-Dec	5-Jan	363.65	5.0
MERRILL ASSET	032	VQ	B	5,700	15.70	n/a	n/a	285.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WDR</b>	<b>B</b>	<b>10,000</b>	<b>25.80</b>	<b>12-Jan</b>	<b>24-Jan</b>	<b>non-brg</b>	<b>5.0</b>
JP MORGAN VENTURES	046	WFMI	B	5,000	42.73	n/a	n/a	250.00	5.0
ELAZAR ADV	034	WMS	B	15,385	40.34	n/a	n/a	769.25	5.0
PERRY PARTNERS	035	WSM	B	150,000	34.19	n/a	n/a	7,500.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>WYNN</b>	<b>B</b>	<b>50</b>	<b>105.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HUNTER GLOBAL INVESTOR	067	XLF	B	100,000	36.75	n/a	n/a	3,000.00	3.0

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**TOTAL (Trade Date):**

**140,428.16**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	01/25	SSI INVESTORS	048	JNS	B	43,557	20.95	1-Dec	25-Jan	2177.85 5.0
AO,CRT	01/25	SSI INVESTORS	048	JNS	B	43,557	20.95	1-Dec	25-Jan	1742.28 4.0
AO,CRT	01/25	CATAPULT	040	LF	B	25,000	10.11	n/a	n/a	1000.00 4.0
AO,CXL	01/25	CATAPULT	040	LF	B	25,000	10.11	n/a	n/a	1250.00 5.0
AO,	01/25	MKT MAKER	099	MRCY	S	28	13.00	n/a	n/a	0.00 0.0
AO,CXL	01/25	ELAZAR ADV	034	SPY	B	4,578	142.28	n/a	n/a	228.90 5.0
AO,CRT	01/25	ELAZAR ADV	034	SPY	B	4,578	142.28	n/a	n/a	228.90 5.0
AO,CXL	01/18	MKT MAKER	096	ZVZZT	B	100	60.00	n/a	n/a	0.00 0.0
AO,CXL	01/18	MKT MAKER	099	ZVZZT	B	100	55.00	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT):										-685.57

TOTAL (Trade Date):	140,428.16
TOTAL (AO, CXL, CRT):	-685.57

TOTAL (RUN DATE) / NFS:	139,742.59
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MRI Securities with Trade Activity on 26-Jan.  
FD, T

MRI Securities without Trade Activity on 26-Jan.

BRL, GWW

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 12%

YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION