



Daily Compliance Activity Report

Trade Date: 08/25/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	S	50,000	13.75	6-Mar	8-Aug	2,500.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	30,000	50.86	7-Aug	15-Aug	1,200.00	4.0
MATRIX ASSET ADV.	036	CCL	B	15,000	36.48	n/a	26-Jan	600.00	4.0
COUGAR TRADING	044	COH	S	20,000	27.19	30-Jul	30-Jul	1,000.00	5.0
JP MORGAN VENTURES	046	COH	C	20,000	26.90	30-Jul	30-Jul	1,000.00	5.0
WAGONHOUND/NIC	048	FL	S	1,120	15.68	11-Aug	22-Aug	44.80	4.0
COUGAR TRADING	044	FOSL	B	15,000	28.41	n/a	13-Aug	750.00	5.0
BUCK CAPITAL MGMT	001	GES	B	5,000	35.25	n/a	n/a	200.00	4.0
GRONET	030	GES	B	1,000	35.00	n/a	n/a	0.00	0.0
N STRATTON	014	HEMGF	B	500	2.97	n/a	n/a	0.00	0.0
CUMBERLAND	038	HSNI	B	31,800	15.90	n/a	n/a	1,272.00	4.0
COUGAR TRADING	044	JCG	B	40,000	26.01	n/a	20-Aug	2,000.00	5.0
COUGAR TRADING	044	JCG	SS	20,000	25.30	n/a	20-Aug	1,000.00	5.0
COUGAR TRADING	044	JCG	S	20,000	25.27	n/a	20-Aug	1,000.00	5.0
N STRATTON	014	PNWIF	B	300	3.30	n/a	n/a	0.00	0.0
COUGAR TRADING	044	PSUN	B	15,000	5.55	n/a	22-Aug	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	RL	SS	10,000	72.70	n/a	7-Aug	400.00	4.0
COUGAR TRADING	044	SSO	B	5,000	61.33	n/a	n/a	250.00	5.0
AVESTA CAP	229	SWY	B	25,000	25.68	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	WRC	S	42,000	48.89	8-Aug	8-Aug	1,680.00	4.0
COUGAR TRADING	044	WRC	B	5,000	49.42	8-Aug	8-Aug	250.00	5.0
TOTAL (Trade Date):								16,996.80	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	08/22	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	CHS	B	50,000	4.83	8-Aug	8-Aug	2000.00 4.0
AO,CRT	08/22	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	CHS	B	50,000	4.83	8-Aug	8-Aug	1500.00 3.0
AO,CRT	08/22	FULLER & THALER ASSET (FULLHERSTF)	033	FL	B	4,100	16.00	11-Aug	22-Aug	123.00 3.0
AO,CRT	08/22	FULLER & THALER ASSET (FULLHERSTC)	033	FL	B	4,100	16.00	11-Aug	22-Aug	123.00 3.0
AO,CXL	08/22	FULLER & THALER ASSET (FULLBROWN)	033	FL	B	4,100	16.00	11-Aug	22-Aug	123.00 3.0
AO,CXL	08/22	FULLER & THALER ASSET (FULLBGF)	033	FL	B	4,100	16.00	11-Aug	22-Aug	123.00 3.0
AO,CXL	08/22	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	GIL	B	40,000	22.76	14-Aug	14-Aug	1600.00 4.0
AO,CRT	08/22	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	GIL	B	39,700	22.76	14-Aug	14-Aug	1588.00 4.0
AO,	08/22	BUCK CAPITAL MGMT (BUCKGIL)	001	GIL	B	300	22.76	14-Aug	14-Aug	12.00 4.0
AO,	08/22	COUGAR TRADING (COUGPB MS)	044	GYMB	B	5,000	37.60	11-Jul	21-Aug	250.00 5.0
AO,CXL	08/22	COUGAR TRADING (COUGPB MS)	044	PSUN	SS	20,000	5.41	n/a	22-Aug	1000.00 5.0
AO,CRT	08/22	COUGAR TRADING (COUGPB MS)	044	PSUN	SS	20,000	5.41	n/a	22-Aug	800.00 4.0
TOTAL (AO, CXL, CRT) :										-450.00
TOTAL (Trade Date) :										16,996.80
TOTAL (AO, CXL, CRT) :										-450.00
TOTAL (RUN DATE) / NFS :										16,546.80

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION