



# Daily Compliance Activity Report

Trade Date: 06/06/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>AAPL</b>	<b>S</b>	<b>300</b>	<b>344.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>AAPL</b>	<b>S</b>	<b>30</b>	<b>341.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WEINTRAUB CAPITAL MGT.	040	AOS	B	25,000	40.76	6-Jun	6-Jun	1,000.00	4.0
ST OF MICHIAN	047	BAC	S	150,000	10.80	n/a	2-Jun	4,500.00	3.0
ST OF MICHIAN	047	BAX	S	50,000	57.63	n/a	n/a	1,500.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>BBY</b>	<b>B</b>	<b>30,000</b>	<b>30.47</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>BGZ</b>	<b>S</b>	<b>2000</b>	<b>36.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DAWSON CAPITAL MGMT.	085	BMC	S	3,510	53.44	n/a	n/a	175.50	5.0
WEISS PECK	040	BWS	B	80,240	8.95	n/a	n/a	3,209.60	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>CRI</b>	<b>C</b>	<b>1,379</b>	<b>29.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>CROX</b>	<b>S</b>	<b>10,000</b>	<b>21.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	CROX	S	5,200	21.55	n/a	n/a	260.00	5.0
FIRST NY SEC/CHRIS	041	CSCO	B	25,000	16.02	n/a	n/a	1,250.00	5.0
PROSPECTOR PARTNERS LLC	036	CW	B	10,000	32.52	n/a	n/a	400.00	4.0
<b>f</b> ANDREW SINCLAIR	<b>FID</b>	<b>C</b>	<b>B</b>	<b>18</b>	<b>3.00</b>	<b>n/a</b>	<b>2-Jun</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	DECK	S	3,650	83.66	n/a	n/a	182.50	5.0
⌘ BUCK CAPITAL MGMT		DPZ	S	15,000	23.61	n/a	6-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DPZ	S	15,000	23.61	n/a	6-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DPZ	S	5,000	23.60	n/a	6-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DPZ	S	5,000	23.60	n/a	6-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DSW	B	10,000	45.78	n/a	11-Feb	non-brg	4.0
RAINIER INV MGMT	035	ESV	B	82,900	54.90	n/a	n/a	3,316.00	4.0
PROSPECTOR PARTNERS LLC	036	FAF	B	10,000	14.91	n/a	n/a	400.00	4.0
ROYCE TRADING	012	FINL	B	31,855	21.40	n/a	10-May	1,592.75	5.0
DAWSON CAPITAL MGMT.	085	FL	S	4,270	23.15	n/a	2-Jun	213.50	5.0
MA CAPITAL MGMT/MELLON ADV041	FRED		SS	6,000	14.04	n/a	n/a	240.00	4.0
ETON PARK CAPITAL	041	GFI	S	500,000	15.42	n/a	n/a	20,000.00	4.0
⌘ BUCK CAPITAL MGMT		GIII	S	15,000	38.73	n/a	n/a	non-brg	0.8
⌘ BUCK CAPITAL MGMT		GIII	S	25,000	38.85	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		GIL	S	20,000	35.69	n/a	12-May	non-brg	4.0
f HARALAMBOS EFSTATHI	FID	GMCR	S	300	78.30	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	GMCR	S	125	78.22	n/a	n/a	0.00	0.0
CIRCLE T/SES PART	033	GM	B	10,000	29.33	n/a	2-Jun	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MADISON ST PARTNERS LLC	046	GM	B	10,000	28.93	n/a	2-Jun	500.00	5.0
OZ MGMT	040	GM	C	67,676	29.34	n/a	2-Jun	2,030.28	3.0
SMITH MGMT LLC	029	GPS	SS	834,000	18.00	n/a	n/a	25,020.00	3.0
SANDLER O'NEILL ASSET	031	GS	S	16,100	135.02	n/a	2-Jun	805.00	5.0
RBC CAPITAL MARKETS	040	HD	B	25,000	34.59	n/a	18-May	1,000.00	4.0
SURVEYOR CAPITAL	041	HOG	B	25,000	37.01	n/a	n/a	750.00	3.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>HOV</b>	<b>B</b>	<b>30</b>	<b>0.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>HWCC</b>	<b>B</b>	<b>5000</b>	<b>14.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>HWCC</b>	<b>B</b>	<b>3000</b>	<b>13.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f BERNA GABRIELLE BARS</b>	<b>FID</b>	<b>INTC</b>	<b>S</b>	<b>500</b>	<b>21.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MTB INV ADV	034	IPAR	S	22,800	21.54	n/a	n/a	1,140.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IWM</b>	<b>C</b>	<b>193,600</b>	<b>80.47</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>S</b>	<b>10,000</b>	<b>43.05</b>	<b>n/a</b>	<b>3-Jun</b>	<b>non-brg</b>	<b>4.0</b>
SAC CHICAGO ANDREW	031	KSS	SS	20,000	50.64	n/a	3-Jun	700.00	3.5
MADISON ST PARTNERS LLC	046	LOW	B	15,000	23.07	n/a	16-May	750.00	5.0
PIONEER PATH	028	MCD	SS	5,200	80.94	n/a	3-Jun	156.00	3.0
PIONEER PATH	028	MCD	S	39,367	80.94	n/a	3-Jun	1,181.01	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAWSON CAPITAL MGMT.	085	MET	S	16,365	40.97	n/a	n/a	818.25	5.0
BALLAST CAP	040	MOS	S	6,300	67.36	n/a	n/a	189.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>MSMMRTL</b>	<b>C</b>	<b>965,000</b>	<b>30.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>SS</b>	<b>1,483,940</b>	<b>96.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSDAH2</b>	<b>C</b>	<b>549,000</b>	<b>94.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.7</b>
SANDLER O'NEILL ASSET	031	MS	S	100,000	23.00	n/a	2-Jun	5,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>195,218</b>	<b>214.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>10.7</b>
DAWSON CAPITAL MGMT.	085	M	S	5,865	27.23	n/a	3-Jun	293.25	5.0
TALKOT CAPITAL LLC	084	NAV	B	10,000	63.04	n/a	10-Mar	500.00	5.0
COOPER CREEK PART	028	NP	SS	3,500	21.80	n/a	11-May	140.00	4.0
<b>f BERNA GABRIELLE BARS</b>	<b>FID</b>	<b>PAG</b>	<b>S</b>	<b>500</b>	<b>19.90</b>	<b>n/a</b>	<b>29-Apr</b>	<b>0.00</b>	<b>0.0</b>
COOPER CREEK PART	028	PKG	SS	10,000	26.77	n/a	19-Apr	400.00	4.0
MERRILL ASSET/BLACKROCK	297	PRE	S	8,200	72.76	n/a	n/a	328.00	4.0
DAWSON CAPITAL MGMT.	085	QCOM	S	7,585	57.00	n/a	n/a	379.25	5.0
TUDOR INVESTORS	028	RBN	B	5,000	41.73	n/a	n/a	100.00	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET/BLACKROCK	297	RJF	S	16,600	33.02	n/a	n/a	664.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>ROHI</b>	<b>S</b>	<b>2000</b>	<b>3.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC CHICAGO ANDREW	031	ROST	SS	42,072	78.23	n/a	n/a	1,472.52	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>RTH</b>	<b>C</b>	<b>4,400</b>	<b>106.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SAH</b>	<b>S</b>	<b>40,000</b>	<b>12.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
DAWSON CAPITAL MGMT.	085	SBUX	S	15,820	36.10	n/a	28-Apr	791.00	5.0
SAXON WOODS ADVISORS	091	SBUX	S	5,000	36.10	n/a	28-Apr	150.00	3.0
MTB INV ADV	034	SCSS	S	11,425	15.40	n/a	n/a	571.25	5.0
<b>f MARGARET ANNE MCAULE</b>	<b>FID</b>	<b>SMOD</b>	<b>S</b>	<b>500</b>	<b>9.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARGARET ANNE MCAULE</b>	<b>FID</b>	<b>SNCR</b>	<b>B</b>	<b>300</b>	<b>27.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PECONIC PARTNERS	036	SNDK	S	26,072	43.64	n/a	n/a	1,303.60	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>SSI</b>	<b>SS</b>	<b>22,800</b>	<b>15.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROARING BLUE LION MGMT	012	SSI	B	14,000	15.06	n/a	n/a	560.00	4.0
TALPION LLC	040	SWY	SS	4,900	22.00	n/a	n/a	196.00	4.0
SHUMWAY CAPITAL	029	SYK	C	28,207	59.39	n/a	n/a	846.21	3.0
TALPION LLC	040	TBL	SS	5,000	29.51	n/a	n/a	200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAWSON CAPITAL MGMT.	085	TEVA	S	13,460	50.00	n/a	6-Jun	673.00	5.0
CAERUS GLOBAL	041	TITN	B	20,000	26.36	n/a	n/a	800.00	4.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>TWI</b>	<b>B</b>	<b>100</b>	<b>4.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>TZA</b>	<b>B</b>	<b>150</b>	<b>39.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>TZA</b>	<b>S</b>	<b>5000</b>	<b>38.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MTB INV ADV	034	ULTA	S	12,100	50.09	n/a	n/a	605.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>VC</b>	<b>S</b>	<b>156</b>	<b>60.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>WIN</b>	<b>B</b>	<b>2500</b>	<b>12.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HALCYON LLC	041	XCO	S	100,000	19.08	n/a	n/a	0.00	0.0
SAC CRIB	044	XLB	B	25,000	38.28	n/a	n/a	875.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>90,500</b>	<b>50.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
SONTERRA CAP	041	X	SS	5,073	43.71	n/a	n/a	202.92	4.0
MTB INV ADV	034	ZUMZ	S	16,125	23.54	n/a	n/a	806.25	5.0
<b>TOTAL (Trade Date) :</b>								<b>91,536.64</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/03	TUDOR INVESTORS	028	MTW	B	10,000	17.12	n/a	3-May	200.00 2.0
AO,CXL	06/03	TUDOR INVESTORS	028	MTW	B	10,000	17.12	n/a	3-May	300.00 3.0
AO,CRT	06/03	TUDOR INVESTORS	028	NUE	SS	5,000	40.73	n/a	n/a	100.00 2.0
AO,CXL	06/03	TUDOR INVESTORS	028	NUE	SS	5,000	40.73	n/a	n/a	150.00 3.0
AO,CRT	06/03	TUDOR INVESTORS	028	TEX	SS	5,000	27.24	n/a	25-Apr	100.00 2.0
AO,CXL	06/03	TUDOR INVESTORS	028	TEX	SS	5,000	27.24	n/a	25-Apr	150.00 3.0
AO,CRT	06/03	TUDOR INVESTORS	028	TKR	B	5,000	50.02	n/a	n/a	100.00 2.0
AO,CXL	06/03	TUDOR INVESTORS	028	TKR	B	5,000	50.02	n/a	n/a	150.00 3.0
AO,CRT	06/03	TUDOR INVESTORS	028	TRN	B	5,000	32.18	n/a	n/a	100.00 2.0
AO,CXL	06/03	TUDOR INVESTORS	028	TRN	B	5,000	32.18	n/a	n/a	150.00 3.0
AO,CRT	06/03	TUDOR INVESTORS	028	WY	B	10,000	20.80	n/a	3-Jun	200.00 2.0
AO,CXL	06/03	TUDOR INVESTORS	028	WY	B	10,000	20.80	n/a	3-Jun	300.00 3.0
AO,CRT	06/03	TUDOR INVESTORS	028	X	B	5,000	43.66	n/a	n/a	100.00 2.0
AO,CXL	06/03	TUDOR INVESTORS	028	X	B	5,000	43.66	n/a	n/a	150.00 3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-450.00</b>

TOTAL (Trade Date):	91,536.64
TOTAL (AO, CXL, CRT):	-450.00

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TOTAL (RUN DATE) / NFS:

91,086.64

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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 6-Jun.

AOS

MRI Securities without Trade Activity on 6-Jun.

AVY

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 4%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)