



RR Commissions Summary [Nicolas Pakler]

Trade Date: 07/23/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ALTIMETER CAPITAL MGMT.	029	0	0	0	11,322	0	0	0	0	0	11,322	0	0
ATLANTIC TRUST/STEINROE	029	0	0	0	0	0	0	13,000	0	0	13,000	36,000	36
BLUE RIDGE CAP	029	0	0	0	0	0	0	0	0	0	0	25,000	0
BRIGADE CAPITAL MGMT	029	0	5,992	5,992	59,963	0	0	0	5,992	5,992	59,963	123,550	49
COLUMBIA MGMT	029	0	0	0	0	0	0	18,500	0	0	18,500	0	0
DPB CAPITAL	029	0	0	0	3,500	0	0	0	0	0	3,500	0	0
EATON VANCE MGMT	029	0	0	0	22,407	0	0	0	0	0	22,407	85,718	26
FEDERATED INV/KAUFMAN	029	2,905	18,260	18,260	43,260	0	0	0	18,260	18,260	43,260	63,769	68
FIRST NEW YORK NICK	029	0	0	0	0	0	0	0	0	0	0	2,500	0
FRONTIER	029	0	2,972	2,972	44,772	0	0	0	2,972	2,972	44,772	102,196	44
GLADE BROOK CAPITAL	029	0	0	0	0	14,924	14,924	23,661	14,924	14,924	23,661	4,645	509
GRANAHAN INV	029	0	0	0	0	0	0	0	0	0	0	7,953	0
GSA PROPHET/NICK	029	0	0	0	0	0	0	0	0	0	0	46,661	0
HARVEST MGMT (JMP)	029	0	600	600	13,108	0	0	0	600	600	13,108	31,712	41
LONG POND	029	0	0	0	0	0	0	0	0	0	0	29,026	0
MARCATO CAPITAL MGMT.	029	0	5,250	5,250	37,972	0	0	0	5,250	5,250	37,972	27,536	138
NOMURA CAPITAL	029	0	0	0	752	0	0	0	0	0	752	2,814	27
PAR CAPITAL	029	0	0	0	8,948	0	0	0	0	0	8,948	0	0
PENNANT CAP MGMT	029	0	0	0	2,000	0	0	0	0	0	2,000	32,400	6
RIVERFRONT INVESTMENT	029	0	0	0	0	0	0	0	0	0	0	29,000	0
SCOUT CAPITAL	029	0	0	0	0	0	0	10,000	0	0	10,000	40,000	25
SMITH MGMT/ALDEN GLOBAL	029	0	0	0	118,796	0	0	0	0	0	118,796	197,652	60
THE BOSTON COMPANY	029	0	0	0	0	0	0	22,273	0	0	22,273	70,696	32
THOMPSON SIEGAL&WALMSLEY	029	0	6,348	6,348	25,139	0	0	0	6,348	6,348	25,139	30,054	84
TOTALS:		2,905	39,422	39,422	391,939	14,924	14,924	87,434	54,346	54,346	479,373		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
GSA PROPHET	254	0	0	0	0	0	0	0	0	0	0	0	0
MANULIFE ASSET MGMT.	294	0	0	0	0	16,000	16,000	44,679	16,000	16,000	44,679	13,636	328
PUTNAM SHARED	268	0	0	0	0	0	0	36,178	0	0	36,178	67,752	53
PYRAMIS GLOBAL	231	0	0	0	0	0	0	5,133	0	0	5,133	0	0
TOTALS:		0	0	0	0	16,000	16,000	85,990	16,000	16,000	85,990		

