



# Daily Compliance Activity Report

Trade Date: 04/03/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f</b> ANN BETTENDORF FREEM	<b>FID</b>	<b>AAPL</b>	<b>S</b>	<b>10</b>	<b>627.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	AA	B	250	10.04	n/a	n/a	30.00	12.0
<b>B</b> BUCK CAPITAL MGMT		<b>ABG</b>	<b>B</b>	<b>20,000</b>	<b>28.69</b>	<b>3-Apr</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ABG</b>	<b>B</b>	<b>20,000</b>	<b>28.69</b>	<b>3-Apr</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
DAVIDSON KEMPNER ADVISOR	040	ACAS	S	50,000	8.74	n/a	n/a	2,500.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>ACOR</b>	<b>S</b>	<b>2,000</b>	<b>26.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>AEO</b>	<b>S</b>	<b>3,000</b>	<b>17.66</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
NOKOMIS PARTNERS	031	AOL	B	22,700	18.60	n/a	n/a	681.00	3.0
PATTEN & PATTEN	025	APA	B	100	98.72	n/a	7-Mar	5.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>APC</b>	<b>S</b>	<b>1,984</b>	<b>78.84</b>	<b>n/a</b>	<b>14-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ARCO</b>	<b>S</b>	<b>2,400</b>	<b>18.59</b>	<b>n/a</b>	<b>6-Mar</b>	<b>non-brg</b>	<b>4.0</b>
LATEFF MGMT	035	AREPRE	B	350	24.72	n/a	n/a	35.00	10.0
<b>B</b> BUCK CAPITAL MGMT		<b>ARO</b>	<b>S</b>	<b>3,700</b>	<b>22.47</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
GREEN ARROW DOUG	040	AXL	B	5,000	12.27	n/a	6-Feb	250.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>AXP</b>	<b>S</b>	<b>461</b>	<b>58.36</b>	<b>n/a</b>	<b>2-Apr</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BAC	S	13,200	9.46	n/a	26-Mar	non-brg	3.0
TUDOR INVESTORS	028	BGC	B	5,000	28.41	n/a	n/a	150.00	3.0
ß BUCK CAPITAL MGMT		BHI	S	600	41.49	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BLC	S	10,000	7.08	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		BONT	S	3,000	9.08	n/a	n/a	non-brg	3.0
PATTEN & PATTEN	025	BTO	B	100	17.44	n/a	n/a	5.00	5.0
ß BUCK CAPITAL MGMT		BWA	C	500	85.19	n/a	15-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		BYD	S	13,740	7.96	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		CAR	S	10,430	13.85	n/a	n/a	non-brg	4.0
NWQ INVESTMENT MGMT CO	084	CA	S	7,500	27.42	n/a	n/a	225.00	3.0
ß BUCK CAPITAL MGMT		CCL	S	3,000	31.67	n/a	n/a	non-brg	4.0
STANDARD PACIFIC CAPITAL	084	CF	B	35,000	188.47	n/a	n/a	1,750.00	5.0
STATE TEACHERS RET SYS	047	CHSP	B	100	18.05	n/a	n/a	5.00	5.0
TUDOR INVESTORS	028	CHS	B	25,000	15.24	n/a	5-Feb	750.00	3.0
LATEFF MGMT	035	CMCSK	S	200	29.16	n/a	n/a	40.00	20.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	025	CMI	S	165	121.81	n/a	6-Feb	8.25	5.0
PATTEN & PATTEN	025	CNI	S	100	78.90	n/a	n/a	5.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>COF</b>	<b>S</b>	<b>2,453</b>	<b>56.20</b>	<b>n/a</b>	<b>29-Mar</b>	<b>non-brg</b>	<b>4.0</b>
PHILADELPHIA FINANCIAL	033	CONN	SS	104,386	19.14	n/a	n/a	4,175.44	4.0
CRAMER ROSENTHAL	070	COO	S	1,200	81.25	n/a	n/a	48.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>COST</b>	<b>B</b>	<b>400</b>	<b>90.40</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
PATTEN & PATTEN	025	COST	S	225	90.36	n/a	n/a	11.25	5.0
<b>f ALICE BEEBE LONGLEY</b>	<b>FID</b>	<b>CPB</b>	<b>B</b>	<b>300</b>	<b>33.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CRAMER ROSENTHAL	070	CRM	C	900	158.26	n/a	n/a	36.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CROX</b>	<b>S</b>	<b>1,300</b>	<b>21.18</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CRAMER ROSENTHAL	070	CROX	S	6,400	21.40	n/a	n/a	256.00	4.0
GREEN ARROW DOUG	040	CSL	B	5,000	52.49	n/a	n/a	250.00	5.0
COLUMBUS CAP MGMT LLC	084	CVGI	B	50,000	12.86	n/a	n/a	2,500.00	5.0
<b>f ANN BETTENDORF FREEM</b>	<b>FID</b>	<b>C</b>	<b>S</b>	<b>200</b>	<b>36.24</b>	<b>n/a</b>	<b>27-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>C</b>	<b>S</b>	<b>3,174</b>	<b>36.19</b>	<b>n/a</b>	<b>27-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DAL</b>	<b>S</b>	<b>16,523</b>	<b>10.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
DSG CAPITAL	030	DAN	B	20,000	16.35	n/a	22-Feb	600.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		DECK	S	900	63.00	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DHI	S	5,860	14.82	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DIA	C	4,340	131.51	n/a	n/a	non-brg	2.0
BALYASNY ASSET MGMT	044	DPZ	SS	12,900	37.15	n/a	20-Mar	645.00	5.0
TUDOR INVESTORS	028	DSW	S	15,000	56.37	n/a	11-Feb	450.00	3.0
BUCK CAPITAL MGMT		DVN	S	1,204	70.92	n/a	1-Mar	non-brg	4.0
COLUMBUS CIRCLE	036	EDMC	S	9,071	14.48	n/a	n/a	362.84	4.0
BUCK CAPITAL MGMT		ESV	S	3,620	52.23	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL	070	EW	C	600	73.34	n/a	n/a	24.00	4.0
BUCK CAPITAL MGMT		FBHS	S	2,300	21.54	n/a	n/a	non-brg	4.0
HARVEST MGMT (JMP)	029	FDO	B	10,000	63.59	3-Apr	3-Apr	400.00	4.0
SCOPUS FUND	041	FDO	B	25,000	63.56	3-Apr	3-Apr	1,000.00	4.0
BUCK CAPITAL MGMT		FINL	S	6,520	21.81	n/a	2-Apr	non-brg	4.0
BUCK CAPITAL MGMT		FITB	S	5,670	14.49	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FL	S	4,750	31.61	n/a	7-Mar	non-brg	4.0
BUCK CAPITAL MGMT		FOR	S	6,160	15.38	n/a	23-Feb	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GREEN ARROW DOUG	040	F	S	20,000	12.93	n/a	3-Apr	1,000.00	5.0
OZ MGMT	040	F	SS	100,000	12.67	n/a	3-Apr	3,000.00	3.0
OZ MGMT	040	F	S	150,000	12.77	n/a	3-Apr	4,500.00	3.0
SCOPUS FUND	041	F	SS	100,000	12.65	n/a	3-Apr	4,000.00	4.0
TRELLUS PARTNERS LP	028	F	SS	20,000	12.83	n/a	3-Apr	600.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>3,200</b>	<b>28.34</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
VERITION	028	GMCR	B	7,500	46.03	n/a	n/a	225.00	3.0
BALTHAZAR CAPITAL PARTNERS LTD085	GM		S	15,000	26.96	n/a	3-Apr	900.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>GM</b>	<b>C</b>	<b>19,600</b>	<b>25.60</b>	<b>n/a</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GM</b>	<b>C</b>	<b>2,100</b>	<b>25.64</b>	<b>n/a</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
OZ MGMT	040	GM	C	182,928	26.19	n/a	3-Apr	5,487.84	3.0
PHILADELPHIA FINANCIAL	033	GM	B	48,000	26.91	n/a	3-Apr	1,920.00	4.0
GLENHILL CAPITAL	036	GNC	B	31,412	35.81	n/a	n/a	1,570.60	5.0
PATTEN & PATTEN	025	GOOG	B	25	642.62	n/a	n/a	1.25	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPI</b>	<b>B</b>	<b>20,000</b>	<b>59.42</b>	<b>3-Apr</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GPI</b>	<b>B</b>	<b>20,000</b>	<b>59.42</b>	<b>3-Apr</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>B</b>	<b>30,000</b>	<b>26.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>GSK</b>	<b>S</b>	<b>20</b>	<b>0.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GS</b>	<b>S</b>	<b>100</b>	<b>122.22</b>	<b>n/a</b>	<b>26-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GWW</b>	<b>C</b>	<b>192</b>	<b>218.86</b>	<b>n/a</b>	<b>23-Mar</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		HAL	S	4,140	33.41	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HBI	S	3,200	29.40	n/a	27-Mar	non-brg	4.0
PATTEN & PATTEN	025	HDV	B	100	57.15	n/a	n/a	5.00	5.0
RBC CAPITAL MARKETS	040	HD	B	10,000	50.11	n/a	21-Feb	400.00	4.0
GLENHILL CAPITAL	036	HNR	B	44,441	6.57	n/a	n/a	2,222.05	5.0
LATEFF MGMT	035	HON	S	150	60.55	n/a	23-Mar	37.50	25.0
⌘ BUCK CAPITAL MGMT		HTZ	S	11,225	15.00	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IGT	S	3,100	16.97	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IJH	C	3,273	99.62	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IP	S	2,230	34.89	n/a	2-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IWO	C	10,699	95.82	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		JBHT	C	1,100	55.46	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	JCI	S	10,100	32.73	n/a	23-Mar	505.00	5.0
⌘ BUCK CAPITAL MGMT		JCP	C	1,100	35.24	n/a	27-Mar	non-brg	4.0
NEW JERSEY DIV OF INV.	030	JCP	B	30,000	35.25	n/a	27-Mar	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		JNY	S	2,100	12.22	n/a	9-Feb	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIMMONS VENTURES	041	JNY	B	5,000	12.21	n/a	9-Feb	200.00	4.0
⌘ BUCK CAPITAL MGMT		JPM	S	1,399	45.19	n/a	26-Mar	non-brg	4.0
STANDARD PACIFIC CAPITAL	084	KLAC	B	130,000	54.45	n/a	n/a	6,500.00	5.0
STATE TEACHERS RET SYS	047	KR	B	50,000	23.90	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		LAD	B	5,000	26.43	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LEA	S	2,538	46.44	n/a	3-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LEN	S	4,000	26.66	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	50,000	12.99	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	50,000	12.99	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	50,000	13.00	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	50,000	13.00	n/a	7-Oct	non-brg	4.0
GEORGE WEISS	034	LOW	S	32,000	31.18	n/a	28-Feb	1,280.00	4.0
KINGDON ASSET MGMT	041	LOW	S	175,000	31.03	n/a	28-Feb	7,000.00	4.0
RBC CAPITAL MARKETS	040	LOW	B	10,000	31.21	n/a	28-Feb	400.00	4.0
⌘ BUCK CAPITAL MGMT		LTD	S	1,320	48.62	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL	070	LVS	S	1,200	58.61	n/a	13-Jun	48.00	4.0
⌘ BUCK CAPITAL MGMT		MA	S	99	439.40	n/a	2-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MDY	C	61	181.49	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CENTURION PARTNERS	041	MGM	B	20,000	13.82	n/a	13-Jun	800.00	4.0
STANDARD PACIFIC CAPITAL	084	MON	B	75,000	81.40	n/a	23-Mar	3,750.00	5.0
CRAMER ROSENTHAL	070	MSI	S	2,600	51.08	n/a	n/a	104.00	4.0
⚡ BUCK CAPITAL MGMT		MSMSRASH	C	2,754	117.94	n/a	n/a	non-brg	9.4
⚡ BUCK CAPITAL MGMT		MS	B	25,900	19.33	n/a	26-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MS	S	900	19.24	n/a	26-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MWV	S	1,400	31.39	n/a	21-Mar	non-brg	4.0
⚡ DAVID GEORGE BUCK	FID	MYN	B	1200	13.88	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		NKE	S	300	109.50	n/a	23-Mar	non-brg	4.0
KENNEDY CAPITAL	046	NP	S	21,015	30.09	n/a	21-Feb	840.60	4.0
PATTEN & PATTEN	025	NSC	B	200	66.15	n/a	n/a	10.00	5.0
BNP PARIBAS SEC	040	OLN	SS	20,000	21.91	n/a	n/a	1,000.00	5.0
PATTEN & PATTEN	025	ORLY	S	100	93.09	n/a	n/a	5.00	5.0
⚡ BUCK CAPITAL MGMT		PAG	B	60,000	25.81	3-Apr	3-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PAG	B	60,000	25.81	3-Apr	3-Apr	non-brg	4.0
TRELLUS PARTNERS LP	028	PAG	B	17,500	25.78	3-Apr	3-Apr	525.00	3.0
⚡ BUCK CAPITAL MGMT		PCAR	S	890	46.82	n/a	29-Mar	non-brg	4.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	PG	S	150	66.95	n/a	2-Apr	7.50	5.0
⌘ BUCK CAPITAL MGMT		PII	B	5,000	74.96	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PII	B	5,000	75.26	n/a	n/a	non-brg	0.8
⌘ BUCK CAPITAL MGMT		PLCE	S	1,600	52.67	n/a	7-Mar	non-brg	4.0
f ALICE BEEBE LONGLEY	FID	PM	B	100	88.59	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		PSMT	S	25,000	72.80	n/a	n/a	non-brg	4.0
f ALICE BEEBE LONGLEY	FID	PTTDX	B	13562	11.06	n/a	n/a	0.00	0.0
f ANDREW LONGLEY TRUS	FID	PTTDX	B	281	11.06	n/a	n/a	0.00	0.0
f CLIFFORD LONGLEY TR	FID	PTTDX	B	281	11.06	n/a	n/a	0.00	0.0
f JONATHAN LONGLEY TR	FID	PTTDX	B	281	11.06	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		RCL	S	7,250	28.76	n/a	n/a	non-brg	4.0
CENTURION PARTNERS	041	RGC	B	10,000	13.41	n/a	n/a	400.00	4.0
MERRILL ASSET/BLACKROCK	297	ROC	B	9,700	53.88	n/a	n/a	388.00	4.0
GEORGE WEISS	034	ROST	B	10,500	58.43	n/a	30-Mar	315.00	3.0
⌘ BUCK CAPITAL MGMT		RYL	S	5,100	18.59	n/a	n/a	non-brg	4.0
COHE & STEERS	041	RYN	S	19,876	44.28	n/a	26-Jan	795.04	4.0
⌘ BUCK CAPITAL MGMT		R	S	3,490	53.35	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		SAH	B	4,600	18.33	n/a	n/a	non-brg	4.0
STATE TEACHERS RET SYS	047	SBUX	B	40,000	56.71	n/a	9-Mar	2,000.00	5.0
⚡ BUCK CAPITAL MGMT		SIG	B	20,000	47.99	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SIG	B	20,000	47.99	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SLB	S	1,016	69.39	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SLM	S	7,750	15.74	n/a	28-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SPY	C	537	140.92	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	025	SPY	B	25	140.64	n/a	n/a	1.25	5.0
f MARK MEGALLI	FID	SQBG	B	20000	0.35	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		STT	S	3,425	45.58	n/a	3-Apr	non-brg	4.0
PATTEN & PATTEN	025	SWN	S	250	29.89	n/a	23-Mar	12.50	5.0
STATE TEACHERS RET SYS	047	TE	B	50,000	17.40	n/a	n/a	2,500.00	5.0
CRAMER ROSENTHAL	070	TFX	C	800	62.85	n/a	n/a	32.00	4.0
⚡ BUCK CAPITAL MGMT		TJX	S	3,000	39.56	n/a	30-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TOL	S	1,370	23.92	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	TSCO	B	100	92.45	n/a	n/a	5.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>UAL</b>	<b>S</b>	<b>1,400</b>	<b>21.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
DONALD SMITH	088	UFS	S	22,252	99.26	n/a	n/a	667.56	3.0
MERRILL ASSET/BLACKROCK	297	UMBF	B	7,755	45.28	n/a	n/a	310.20	4.0
RBC CAPITAL MARKETS	040	URBN	B	10,000	30.29	n/a	n/a	400.00	4.0
<b>f CHARLES MAXWELL KAIC</b>	<b>FID</b>	<b>VRNG</b>	<b>S</b>	<b>500</b>	<b>3.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>V</b>	<b>S</b>	<b>1,074</b>	<b>119.97</b>	<b>n/a</b>	<b>2-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WBC</b>	<b>C</b>	<b>500</b>	<b>62.49</b>	<b>n/a</b>	<b>23-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WBC</b>	<b>S</b>	<b>1,065</b>	<b>62.50</b>	<b>n/a</b>	<b>23-Mar</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	025	WMB	S	300	31.05	n/a	n/a	15.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WNC</b>	<b>S</b>	<b>16,060</b>	<b>10.10</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>WNC</b>	<b>S</b>	<b>15000</b>	<b>10.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>800</b>	<b>10.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	WPX	S	100	18.32	n/a	n/a	5.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WY</b>	<b>S</b>	<b>400</b>	<b>21.86</b>	<b>n/a</b>	<b>3-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XLFX</b>	<b>C</b>	<b>16,465</b>	<b>15.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>f ANTHONY SUTERA</b>	<b>FID</b>	<b>XPO</b>	<b>S</b>	<b>2500</b>	<b>17.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

---

**TOTAL (Trade Date):**

**77,588.67**

---

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/02	FIRST NY SEC/CHRIS	041	ANN	B	9,000	28.70	n/a	n/a	450.00 5.0
AO,CRT	04/02	FIRST NY SEC/CHRIS	041	ANN	B	9,000	28.70	n/a	n/a	270.00 3.0
AO,CRT	04/02	FIRST NY SEC/CHRIS	041	TGT	SS	15,000	58.20	n/a	1-Mar	450.00 3.0
AO,CXL	04/02	FIRST NY SEC/CHRIS	041	TGT	SS	15,000	58.20	n/a	1-Mar	750.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-480.00</b>

TOTAL (Trade Date) :	77,588.67
TOTAL (AO, CXL, CRT) :	-480.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>77,108.67</b>
---------------------------------	------------------

### BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 3-Apr.

ABG, FDO, GPI, PAG

MRI Securities without Trade Activity on 3-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**