



# Daily Compliance Activity Report

Trade Date: 08/25/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRONET	030	ABT	S	300	48.65	n/a	22-Apr	0.00	0.0
J L F ASSET MGMT	039	AEOS	B	56,700	35.53	16-Aug	16-Aug	2,268.00	4.0
TRIBECA	038	AHM	S	5,000	31.04	n/a	n/a	200.00	4.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	4,000	6.51	n/a	n/a	160.00	4.0
MTB INV ADV	034	ANF	S	23,200	60.70	6-Apr	16-Aug	1,160.00	5.0
BEAR STEARNS ASSET MGMT.	033	ANN	S	20,000	38.50	6-Jul	18-Aug	800.00	4.0
J L F ASSET MGMT	039	ANN	B	55,000	38.61	6-Jul	18-Aug	2,200.00	4.0
COUGAR TRADING	044	ARO	B	5,000	23.62	6-Jul	18-Aug	250.00	5.0
J L F ASSET MGMT	039	ARO	B	202,700	24.01	6-Jul	18-Aug	8,108.00	4.0
HIGHFIELDS CAP MGMT	039	AV	B	100,500	10.13	n/a	22-Mar	4,020.00	4.0
FIRST INV MGMT CORP	036	B	B	5,600	16.49	n/a	n/a	280.00	5.0
HARRIS ASSOCIATES	031	BC	S	6,700	28.13	n/a	n/a	268.00	4.0
HARRIS ASSOCIATES	031	BDK	S	1,200	73.00	n/a	n/a	48.00	4.0
HARRIS ASSOCIATES	031	BE	S	16,000	7.88	n/a	n/a	640.00	4.0
IVORY CAPITAL	034	BEAS	B	100,000	13.10	n/a	3-Apr	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARRIS ASSOCIATES	031	BLC	S	10,400	15.55	n/a	n/a	416.00	4.0
REINHART & MAHONEY	031	BMET	B	12,500	32.69	n/a	n/a	625.00	5.0
HARRIS ASSOCIATES	031	BOL	S	2,300	46.27	n/a	n/a	92.00	4.0
<b>CENTURION PARTNERS</b>	<b>244</b>	<b>BONT</b>	<b>B</b>	<b>5,000</b>	<b>25.00</b>	<b>n/a</b>	<b>25-Aug</b>	<b>250.00</b>	<b>5.0</b>
WANGER ASS	192	CBK	B	100	24.89	1-Jun	4-Aug	0.00	0.0
ATLAS CAPITAL	012	CCOI	B	48,000	8.29	n/a	n/a	1,920.00	4.0
HARRIS ASSOCIATES	031	CEN	S	200	23.10	n/a	n/a	8.00	4.0
REINHART & MAHONEY	031	CHD	S	3,500	37.46	9-May	8-Aug	175.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>CLMS</b>	<b>B</b>	<b>2,500</b>	<b>25.99</b>	<b>n/a</b>	<b>22-Aug</b>	<b>0.00</b>	<b>0.0</b>
REINHART & MAHONEY	031	CNB	S	11,925	24.81	n/a	n/a	596.25	5.0
HARRIS ASSOCIATES	031	CNO	S	9,900	20.76	n/a	n/a	396.00	4.0
ADAGE CAPITAL MGMT	030	CVS	B	25,400	33.06	n/a	n/a	1,270.00	5.0
HIGHBRIDGE CAPITAL	038	CZN	B	16,100	13.53	n/a	n/a	805.00	5.0
BUCK CAPITAL MGMT	015	DDR	B	250	53.16	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	DISCA	S	11,900	14.10	n/a	n/a	476.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STRONG/HOWARD	038	DPII	S	50,000	3.15	n/a	n/a	1,500.00	3.0
HARRIS ASSOCIATES	031	EL	S	2,100	34.94	16-Aug	16-Aug	84.00	4.0
<b>GRONET</b>	<b>030</b>	<b>EMC</b>	<b>S</b>	<b>1,500</b>	<b>11.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>EZM</b>	<b>S</b>	<b>500</b>	<b>2.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BINDER</b>	<b>007</b>	<b>FD</b>	<b>B</b>	<b>100</b>	<b>36.66</b>	<b>12-Jun</b>	<b>10-Aug</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	FD	B	5,000	36.91	12-Jun	10-Aug	250.00	5.0
<b>COUGAR TRADING</b>	<b>044</b>	<b>FINL</b>	<b>B</b>	<b>25,000</b>	<b>10.14</b>	<b>n/a</b>	<b>25-Aug</b>	<b>1,250.00</b>	<b>5.0</b>
<b>COUGAR TRADING</b>	<b>044</b>	<b>FINL</b>	<b>S</b>	<b>25,000</b>	<b>10.95</b>	<b>n/a</b>	<b>25-Aug</b>	<b>1,250.00</b>	<b>5.0</b>
IRON HORSE CAPITAL MGMT	038	FL	B	15,000	23.33	n/a	18-Aug	750.00	5.0
STRONG/HOWARD	038	FL	B	30,000	23.29	n/a	18-Aug	1,200.00	4.0
NEW JERSEY DIV OF INV.	220	FNM	S	54,000	51.10	n/a	n/a	2,700.00	5.0
HARRIS ASSOCIATES	031	FO	S	1,800	71.66	n/a	n/a	72.00	4.0
COUGAR TRADING	044	GPS	B	5,000	16.50	31-Jul	18-Aug	250.00	5.0
HARRIS ASSOCIATES	031	GPS	S	1,400	16.49	31-Jul	18-Aug	56.00	4.0
STRONG/HOWARD	038	GPS	S	125,000	16.64	31-Jul	18-Aug	5,000.00	4.0
BALYASNY ASSET MGMT	044	GS	S	10,000	150.20	17-May	10-Aug	500.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>GYMB</b>	<b>S</b>	<b>254</b>	<b>29.84</b>	<b>10-Aug</b>	<b>17-Aug</b>	<b>0.00</b>	<b>0.0</b>
RIDGECRST PARTNERS	035	GYMB	S	10,000	29.75	10-Aug	17-Aug	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRON HORSE CAPITAL MGMT	038	IDC	B	10,000	18.97	n/a	n/a	500.00	5.0
COBALT	038	JNY	S	50,000	30.49	n/a	16-Aug	2,500.00	5.0
J L F ASSET MGMT	039	JNY	B	66,700	30.55	n/a	16-Aug	2,668.00	4.0
J L F ASSET MGMT	039	JWN	B	41,000	33.99	14-Aug	18-Aug	1,640.00	4.0
LOOMIS SALES/BOSTON	036	JWN	S	204,654	33.67	14-Aug	18-Aug	10,232.70	5.0
HARRIS ASSOCIATES	031	KCI	S	100	29.69	n/a	n/a	4.00	4.0
BALYASNY ASSET MGMT	044	KEY	S	20,000	36.92	n/a	n/a	1,000.00	5.0
<b>GLENHILL CAPITAL</b>	<b>038</b>	<b>KSS</b>	<b>S</b>	<b>100,000</b>	<b>60.35</b>	<b>10-Aug</b>	<b>25-Aug</b>	<b>5,000.00</b>	<b>5.0</b>
BUCK CAPITAL MGMT	001	KWD	B	135,000	26.66	n/a	n/a	5,400.00	4.0
HARRIS ASSOCIATES	031	LBTYK	S	3,100	23.20	n/a	n/a	124.00	4.0
REINHART & MAHONEY	031	LH	S	1,900	68.04	n/a	n/a	95.00	5.0
HARRIS ASSOCIATES	031	LIZ	S	4,200	35.94	n/a	27-Jul	168.00	4.0
BUCK CAPITAL MGMT	001	LTD	B	10,000	24.88	9-May	17-Aug	400.00	4.0
HARRIS ASSOCIATES	031	LTD	S	10,200	24.73	9-May	17-Aug	408.00	4.0
BUCK CAPITAL MGMT	015	LVS	B	200	66.75	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	MBI	S	2,500	60.44	n/a	n/a	100.00	4.0
STRONG/HOWARD	038	ME	B	50,000	18.33	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARRIS ASSOCIATES	031	MEDI	S	3,800	28.15	n/a	n/a	152.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>MIRWSB</b>	<b>S</b>	<b>1,060</b>	<b>12.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRIBECA	038	MS	S	5,000	67.12	21-Jun	10-Aug	200.00	4.0
HARRIS ASSOCIATES	031	MTG	S	1,700	57.28	n/a	n/a	68.00	4.0
REINHART & MAHONEY	031	MTG	B	3,320	57.49	n/a	n/a	166.00	5.0
BALYASNY ASSET MGMT	044	NCC	S	20,000	34.98	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	NKE	B	20,000	76.72	n/a	n/a	800.00	4.0
HARRIS ASSOCIATES	031	NSM	S	4,100	23.33	n/a	n/a	164.00	4.0
BUCK CAPITAL MGMT	001	NWY	B	25,000	11.39	n/a	17-Aug	1,000.00	4.0
<b>HARRIS ASSOCIATES</b>	<b>031</b>	<b>OCR</b>	<b>S</b>	<b>2,300</b>	<b>42.95</b>	<b>27-Jul</b>	<b>25-Aug</b>	<b>92.00</b>	<b>4.0</b>
LOOMIS SALES/BOSTON	036	ODP	S	149,858	36.84	26-Jun	31-Jul	7,492.90	5.0
SOLARIS INV MGMT	036	OI	S	15,000	13.88	n/a	n/a	895.00	6.0
<b>GRONET</b>	<b>030</b>	<b>OMN</b>	<b>S</b>	<b>1,000</b>	<b>5.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARRIS ASSOCIATES	031	PRE	S	1,100	62.31	n/a	n/a	44.00	4.0
VISIUM/BALY HOWARD	038	PSUN	S	75,000	13.23	3-Aug	11-Aug	3,750.00	5.0
BUCK CAPITAL MGMT	015	RDSB	S	172	73.16	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RL	B	15,000	57.21	16-Jun	9-Aug	600.00	4.0
HARRIS ASSOCIATES	031	RRD	S	7,100	32.50	n/a	n/a	284.00	4.0
<b>BINDER</b>	<b>007</b>	<b>RYJUX</b>	<b>S</b>	<b>500</b>	<b>19.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BINDER</b>	<b>007</b>	<b>SKS</b>	<b>B</b>	<b>200</b>	<b>14.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARRIS ASSOCIATES	031	SOV	S	19,600	20.35	n/a	n/a	784.00	4.0
SENECA CAPITAL LP NY	028	SPY	B	42,000	129.99	n/a	n/a	2,100.00	5.0
<b>KAICHER</b>	<b>064</b>	<b>SWN</b>	<b>S</b>	<b>1,000</b>	<b>36.43</b>	<b>7-Aug</b>	<b>7-Aug</b>	<b>0.00</b>	<b>0.0</b>
REINHART & MAHONEY	031	TCB	S	10,520	25.80	n/a	n/a	526.00	5.0
RAINIER INV MGMT	035	TCC	B	38,700	31.87	n/a	n/a	1,935.00	5.0
HARRIS ASSOCIATES	031	THC	S	1,400	7.40	n/a	17-Aug	56.00	4.0
HARRIS ASSOCIATES	031	TIF	S	2,700	30.97	13-Mar	24-Aug	108.00	4.0
RAMIUS CAP	040	TIN	B	26,300	44.49	17-Apr	17-Aug	1,315.00	5.0
HARRIS ASSOCIATES	031	TRB	S	4,100	31.54	n/a	n/a	164.00	4.0
BUCK CAPITAL MGMT	001	TWB	B	10,000	35.08	16-May	18-Aug	400.00	4.0
<b>GRONET</b>	<b>030</b>	<b>UCO</b>	<b>B</b>	<b>1,000</b>	<b>55.43</b>	<b>8-Aug</b>	<b>9-Aug</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	URBN	B	20,000	15.18	9-Aug	10-Aug	1,000.00	5.0
SOMERSET ADV	044	USIH	B	1,000	12.80	n/a	n/a	50.00	5.0
<b>CROWLEY</b>	<b>061</b>	<b>WLV</b>	<b>S</b>	<b>1,500</b>	<b>5.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BENCHMARK	028	WMB	B	10,000	25.15	n/a	n/a	600.00	6.0
HARRIS ASSOCIATES	031	XRX	S	600	14.61	n/a	n/a	24.00	4.0
BEAR STEARNS ASSET MGMT.	033	YUM	S	20,000	47.57	n/a	19-Jul	800.00	4.0
AMBER CAPITAL	046	ZLC	B	119,400	26.23	n/a	n/a	4,776.00	4.0
HARRIS ASSOCIATES	031	ZLC	S	6,800	26.30	n/a	n/a	272.00	4.0
BUCK CAPITAL MGMT	001	ZQK	B	10,000	12.62	n/a	9-Jun	400.00	4.0
<b>TOTAL:</b>								<b>112,050.85</b>	

### Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	CLOVER CAPITAL (CLORUSB01)	031	AVZ	B	10,016	20.30	16-Aug	22-Aug	500.80	5.0
AO,	CLOVER CAPITAL (CLORSUN01)	031	AVZ	B	10,016	20.30	16-Aug	22-Aug	500.80	5.0
AO,CXL	BUCK CAPITAL MGMT (BUCKMS MAI)	001	BBY	S	30,000	45.76	13-Jun	14-Jun	1,200.00	4.0
AO,	BUCK CAPITAL MGMT (BUCKMS MAI)	001	BBY	S	29,900	45.76	13-Jun	14-Jun	1,196.00	4.0
AO,	BUCK CAPITAL MGMT (BUCKGIL)	001	BBY	S	100	45.76	13-Jun	14-Jun	4.00	4.0
AO,	CENTURION PARTNERS (CENTBSPT)	244	BONT	B	5,000	25.60	n/a	25-Aug	250.00	5.0
AO,	CENTURION PARTNERS (CENTBSPT)	244	BONT	B	5,000	25.60	n/a	25-Aug	250.00	5.0
AO,CXL	BUCK CAPITAL MGMT (BUCKMS MAI)	001	CHS	S	720,000	18.50	23-Aug	24-Aug	28,800.00	4.0
AO,	BUCK CAPITAL MGMT (BUCKMS MAI)	001	CHS	S	719,100	18.50	23-Aug	24-Aug	28,764.00	4.0
AO,	BUCK CAPITAL MGMT (BUCKGIL)	001	CHS	S	900	18.50	23-Aug	24-Aug	36.00	4.0
AO,CRT	MKT MAKER (MKT MAKER)	099	COSI	S	2,000	4.90	n/a	n/a	0.00	0.0
AO,	HARVEST MGMT (HARVGSCO)	039	FRED	S	15,000	13.45	21-Aug	24-Aug	750.00	5.0
AO,	TROOB CAPITAL (TROOBSPB)	067	RAD	S	50,000	4.48	n/a	n/a	1,500.00	3.0

### Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.