



Daily Compliance Activity Report

Trade Date: 02/22/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FORD FDN.	032	ABT	B	20,800	52.89	n/a	22-Apr	1,040.00	5.0
MENCKE	055	AFAM	B	15	20.00	n/a	n/a	0.00	0.0
BARR	096	ALSC	S	2,200	4.43	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	B	40,000	80.09	2-Feb	22-Feb	1,600.00	4.0
JP MORGAN VENTURES	046	ANF	C	4,000	79.92	2-Feb	22-Feb	200.00	5.0
LUCID PARTNERS	067	AOS	B	6,900	40.06	21-Dec	8-Feb	345.00	5.0
LUCHANSKY	036	AOS	B	200	40.20	21-Dec	8-Feb	0.00	0.0
B BUCK CAPITAL MGMT		APC	B	5,000	40.99	n/a	22-Jan	non-brg	5.0
BARR	096	ARBX	S	2,300	6.38	n/a	n/a	0.00	0.0
ROARING BLUE LION MGMT	012	AVZ	S	11,200	25.92	13-Feb	16-Feb	560.00	5.0
B BUCK CAPITAL MGMT		BHI	B	5,000	65.08	n/a	n/a	non-brg	5.0
CENTURION PARTNERS	249	BONT	B	3,000	46.85	9-Feb	9-Feb	150.00	5.0
CENTURION PARTNERS	249	BSC	B	5,000	166.05	15-Dec	16-Feb	250.00	5.0
BELMONT GLOBAL ADV	248	CBK	B	5,000	19.99	11-Dec	16-Feb	250.00	5.0
BUCK CAPITAL MGMT	001	CC	SS	30,000	21.24	20-Dec	10-Jan	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	034	CC	B	25,000	21.27	20-Dec	10-Jan	1,250.00	5.0
CROWLEY	061	CEI	B	1,000	20.74	n/a	n/a	0.00	0.0
CIRCLE T PART	035	CHS	B	80,000	23.19	5-Jan	16-Feb	3,200.00	4.0
GALLEON MGMT LP	033	CHS	B	20,000	23.19	5-Jan	16-Feb	1,000.00	5.0
BUCK CAPITAL MGMT	001	COH	S	15,000	49.48	24-Jan	24-Jan	600.00	4.0
JP MORGAN VENTURES	046	COST	SS	7,500	57.78	n/a	9-Feb	375.00	5.0
BINDER	007	CRI	S	200	23.78	n/a	n/a	0.00	0.0
CASTLEROCK	040	CRM	B	66,200	46.97	n/a	n/a	2,648.00	4.0
ZOLIDIS	011	DFZ	B	1,000	9.90	n/a	n/a	0.00	0.0
LUCHANSKY2	036	DFZ	B	500	9.69	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	DJO	S	8,000	40.16	n/a	n/a	360.00	4.5
GASNER	025	DSLTM	B	2	3.20	n/a	n/a	0.00	0.0
CIBC ADV.	060	DST	B	10,000	74.11	n/a	n/a	400.00	4.0
BUCK	015	EFA	B	600	76.33	n/a	n/a	0.00	0.0
LOOMIS SALES/BOSTON	036	ETH	C	4,300	37.75	n/a	n/a	172.00	4.0
CENTURION PARTNERS	249	FACE	B	5,000	22.95	n/a	n/a	250.00	5.0
CENTURION PARTNERS	249	FCX	B	5,000	59.60	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLONIAL FUND LLC	036	FD	SS	50,000	43.76	26-Jan	21-Feb	2,500.00	5.0
REINHART & MAHONEY	031	FSP	B	8,450	19.79	n/a	n/a	422.50	5.0
NOLAN	067	FXV	B	4	82.42	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GCO	S	10,000	42.39	n/a	10-Jan	non-brg	5.0
B BUCK CAPITAL MGMT		GIII	B	5,000	23.50	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GYMB	S	15,000	39.23	4-Jan	9-Feb	non-brg	5.0
MARK/JETCAP	030	HAL	B	50,000	30.33	n/a	n/a	1,500.00	3.0
ZOLIDIS	011	HLVS	S	200	37.15	n/a	n/a	0.00	0.0
DEMARTINI	065	HMA	B	2,000	21.02	18-Jan	22-Feb	0.00	0.0
B BUCK CAPITAL MGMT		HP	B	10,000	27.49	n/a	n/a	non-brg	5.0
CROWLEY	061	HSTX	S	1,000	21.07	n/a	n/a	0.00	0.0
BUCK	015	IBN	B	800	43.71	n/a	n/a	0.00	0.0
BUCK	015	ILE	S	400	3.72	n/a	n/a	0.00	0.0
BARR	096	ITCD	S	2,000	2.78	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	JCP	C	27,500	82.87	10-Nov	16-Feb	1,100.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JCP	B	70,000	83.71	10-Nov	16-Feb	2,800.00	4.0
CENTURION PARTNERS	249	JCP	B	10,000	83.33	10-Nov	16-Feb	500.00	5.0
⚡ BUCK CAPITAL MGMT		JNY	C	2,000	33.88	n/a	15-Feb	non-brg	1.0
⚡ BUCK CAPITAL MGMT		KBLH	B	8,750	5.56	n/a	n/a	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	KCP	SS	5,000	24.03	n/a	16-Feb	200.00	4.0
GAULT	063	KOG	B	5,000	4.54	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	KSS	B	48,200	72.69	27-Dec	9-Feb	1,928.00	4.0
HARVEST MGMT	039	KSWS	B	20,000	29.35	n/a	22-Feb	1,000.00	5.0
TRIBECA	038	KSWS	S	10,000	29.30	n/a	22-Feb	400.00	4.0
JP MORGAN VENTURES	046	LIZ	SS	7,500	46.08	n/a	21-Feb	375.00	5.0
⚡ BUCK CAPITAL MGMT		LTD	S	20,000	29.29	5-Jan	16-Feb	non-brg	5.0
⚡ BUCK CAPITAL MGMT		MDTL	SS	20,000	18.33	n/a	n/a	non-brg	5.0
MARK/JETCAP	030	MLS	B	125,000	25.20	n/a	n/a	3,750.00	3.0
MORGAN LEWIS/MLH	046	MO	S	21,000	84.87	n/a	n/a	1,050.00	5.0
⚡ BUCK CAPITAL MGMT		MVRX	SS	10,000	202.41	n/a	n/a	non-brg	20.3
HIGHBRIDGE CAPITAL	038	NDE	SS	26,000	36.16	n/a	n/a	1,300.00	5.0
LEDDY	035	NEXC	B	1,300	10.66	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	NE	B	820	71.95	n/a	n/a	24.40	2.0
DSG CAPITAL	225	NLS	B	7,300	18.24	n/a	n/a	365.00	5.0
REINHART & MAHONEY	031	NTAP	B	2,700	39.75	n/a	n/a	135.00	5.0
⌘ BUCK CAPITAL MGMT		NWY	S	20,000	15.64	1-Dec	16-Feb	non-brg	5.0
⌘ BUCK CAPITAL MGMT		ODP	C	35,000	35.67	n/a	15-Feb	non-brg	5.0
⌘ BUCK CAPITAL MGMT		OIH	C	20,000	137.73	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		OMX	SS	20,000	53.93	n/a	15-Apr	non-brg	5.0
WD PARTNERS ILP	067	OMX	SS	8,000	54.06	n/a	15-Apr	400.00	5.0
BINDER	007	OMX	SS	85	53.82	n/a	15-Apr	0.00	0.0
KORMAN	003	PEET	S	4,000	26.11	n/a	n/a	0.00	0.0
CENTURION PARTNERS	249	PENN	B	5,000	47.54	n/a	n/a	250.00	5.0
WEISS PECK	040	PLCE	C	9,800	58.64	n/a	9-Feb	490.00	5.0
⌘ BUCK CAPITAL MGMT		PTEN	S	30,000	23.33	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		RTH	SS	10,000	105.25	n/a	n/a	non-brg	3.0
LISTED ERR	099	RTI	B	100	87.34	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		S4RETL	SS	10,000	447.88	n/a	n/a	non-brg	44.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		S6RETL	SS	10,000	283.06	n/a	n/a	non-brg	28.3
MARK/JETCAP	030	SAI	S	99,100	18.41	n/a	n/a	2,973.00	3.0
MAGUIRE	039	SBUX	C	100	32.50	n/a	1-Feb	0.00	0.0
⌘ BUCK CAPITAL MGMT		SKX	S	50,000	37.16	n/a	21-Feb	non-brg	5.0
IRIDIAN ASSET MGMT.	036	SSCC	B	6,600	12.60	26-Oct	25-Jan	330.00	5.0
LUCHANKSY	036	SSI	B	100	22.64	9-Jan	20-Feb	0.00	0.0
S.A.C. CAPITAL MGMT.	044	STZ	S	25,000	23.79	n/a	n/a	1,250.00	5.0
OPPENHEIMER MGMT.	036	SVU	B	290,540	37.79	n/a	n/a	11,621.60	4.0
⌘ BUCK CAPITAL MGMT		TRLG	S	10,000	17.83	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		TSCO	B	30,000	50.83	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	TWB	S	60,000	37.03	22-Feb	22-Feb	2,400.00	4.0
BULL PATH CAPITAL	044	TWPG	B	30,000	18.25	n/a	n/a	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		TXN	C	25,000	31.95	n/a	n/a	non-brg	5.0
FIRST NEW YORK LEDDY	035	UAUA	S	10,000	44.36	n/a	n/a	600.00	6.0
⌘ BUCK CAPITAL MGMT		URBN	S	10,000	26.76	7-Dec	9-Feb	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET	032	VCI	B	50,000	17.13	n/a	n/a	2,500.00	5.0
JACOB	068	VLCM	S	150	32.80	n/a	n/a	0.00	0.0
MAGUIRE	090	VLO	B	7,500	58.90	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	VRX	S	7,000	17.75	n/a	n/a	350.00	5.0
LEDDY	035	VSL	B	400	18.78	n/a	n/a	0.00	0.0
EFSTATHIOU	044	VSL	B	200	18.78	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WMT	SS	15,000	49.63	n/a	21-Feb	600.00	4.0
MATRIX ASSET ADV.	036	WU	B	52,000	22.04	n/a	n/a	2,080.00	4.0
TOTAL (Trade Date):								62,794.50	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/21	BELMONT GLOBAL ADV	248	AVP	B	25,000	38.97	7-Feb	7-Feb	750.00 3.0
AO,CRT	02/21	PRINCIPLE CAPITAL	040	AVP	B	25,000	38.97	7-Feb	7-Feb	750.00 3.0
AO,	02/20	LISTED ERR	099	EV	B	5,000	36.25	2-Nov	20-Feb	0.00 0.0
AO,CRT	02/20	LISTED ERR	099	EV	S	5,000	36.25	2-Nov	20-Feb	0.00 0.0
AO,CXL	02/20	ROYCE TRADING	216	FBP	B	1,300	11.62	n/a	n/a	65.00 5.0
AO,CRT	02/20	ROYCE TRADING	216	FBP	B	1,300	11.62	n/a	n/a	65.00 5.0
AO,CRT	02/16	MORGAN STANLEY PRINC 2	040	GLW	B	25,000	21.62	n/a	16-Feb	1250.00 5.0
AO,CXL	02/16	MORGAN STANLEY PRINC 2	040	GLW	B	25,000	21.62	n/a	16-Feb	1000.00 4.0
AO,CRT	02/20	BANK OF NEW YORK	040	PFCB	B	8,580	45.09	n/a	20-Feb	429.00 5.0
AO,CXL	02/20	BANK OF NEW YORK	040	PFCB	B	8,550	45.09	n/a	20-Feb	427.50 5.0
AO,CRT	02/21	ROYCE TRADING	216	TRA	S	10,000	17.86	n/a	n/a	500.00 5.0
AO,CXL	02/21	ROYCE TRADING	216	TRA	S	10,000	17.86	n/a	n/a	500.00 5.0
TOTAL (AO, CXL, CRT) :										251.50

TOTAL (Trade Date) :	62,794.50
TOTAL (AO, CXL, CRT) :	251.50

TOTAL (RUN DATE) / NFS :	63,046.00
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MRI Securities with Trade Activity on 22-Feb.

TWB

MRI Securities without Trade Activity on 22-Feb.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 26%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION