



## Daily Compliance Activity Report

Trade Date: 06/13/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	AAP	B	287,500	39.22	n/a	n/a	11,500.00	4.0
<b>BUCK</b>	<b>015</b>	<b>ABV</b>	<b>B</b>	<b>700</b>	<b>69.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SINCLAIR</b>	<b>031</b>	<b>ADWJJ</b>	<b>B</b>	<b>7</b>	<b>9.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>100,000</b>	<b>16.28</b>	<b>6-Mar</b>	<b>6-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>LEDDY</b>	<b>035</b>	<b>AER</b>	<b>B</b>	<b>1,000</b>	<b>12.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	ALKS	B	10,000	11.79	n/a	n/a	400.00	4.0
MERRILL LYNCH STRATEGICI	034	AMZN	SS	5,000	79.65	n/a	n/a	300.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>B</b>	<b>40,000</b>	<b>68.83</b>	<b>10-Apr</b>	<b>6-Jun</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	S	10,000	68.07	10-Apr	6-Jun	500.00	5.0
J L F ASSET MGMT	039	ANF	B	30,000	68.96	10-Apr	6-Jun	1,200.00	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>APAFY</b>	<b>S</b>	<b>20</b>	<b>2.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>APC</b>	<b>S</b>	<b>20,000</b>	<b>76.65</b>	<b>20-Mar</b>	<b>10-Jun</b>	<b>non-brg</b>	<b>4.0</b>
MORRISON&FRASIER/BUTLER	040	ARD	B	8,000	50.09	n/a	n/a	400.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>ARGN</b>	<b>B</b>	<b>1,400</b>	<b>10.30</b>	<b>n/a</b>	<b>9-Jun</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ARO	SS	5,000	31.29	28-Apr	6-Jun	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BULL PATH CAPITAL	044	BARE	B	55,000	20.63	n/a	n/a	2,750.00	5.0
PILOT ROCK	028	BBY	SS	100,000	45.64	n/a	27-Jul	4,000.00	4.0
HIGHBRIDGE CAPITAL	038	BNI	B	11,000	104.12	n/a	n/a	550.00	5.0
PERRY PARTNERS	041	BUD	B	119,300	61.08	n/a	n/a	3,579.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>BWA</b>	<b>SS</b>	<b>20,000</b>	<b>48.89</b>	<b>10-Jun</b>	<b>10-Jun</b>	<b>non-brg</b>	<b>4.0</b>
TROUBH PART	067	CAT	B	5,100	81.00	n/a	23-May	255.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>CHCG</b>	<b>S</b>	<b>4,000</b>	<b>1.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>CHCG</b>	<b>S</b>	<b>1,000</b>	<b>1.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CMI</b>	<b>C</b>	<b>20,000</b>	<b>72.14</b>	<b>n/a</b>	<b>23-May</b>	<b>non-brg</b>	<b>4.0</b>
CRAMER ROSENTHAL/SCOTT	012	COH	B	18,100	33.16	4-Apr	23-Apr	724.00	4.0
MERRILL LYNCH STRATEGICI	034	COH	B	10,000	33.35	4-Apr	23-Apr	400.00	4.0
SERENGETI MGMT	030	C	SS	200,000	20.07	16-Jan	15-May	10,000.00	5.0
PRINCIPLE CAPITAL	040	DKS	B	57,700	22.25	n/a	23-May	1,731.00	3.0
COUGAR TRADING	044	DRI	B	5,000	32.89	n/a	12-Jun	250.00	5.0
HIGHBRIDGE/MS OLDS	041	ERTS	B	32,600	46.64	n/a	n/a	1,304.00	4.0
<b>BARR</b>	<b>096</b>	<b>FNM</b>	<b>SS</b>	<b>1,000</b>	<b>24.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		FOSL	B	60,000	31.59	n/a	14-May	non-brg	4.0
BARR	096	FRE	SS	2,000	22.04	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	B	50,000	27.48	n/a	10-Jan	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		GES+IJ	SS	1,000	1.55	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		GES	S	12,500	41.55	n/a	n/a	non-brg	4.0
CLOVIS CAPITAL	012	GES	B	50,000	41.04	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	28.23	30-Apr	28-May	400.00	4.0
BARR	096	GLD	S	300	85.48	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GM+XS	SS	2,000	1.63	n/a	n/a	non-brg	300.0
DSG CAPITAL	225	GM	SS	15,000	15.83	12-Jun	12-Jun	750.00	5.0
MILLENIUM PARTNERS	028	GM	B	75,000	15.87	12-Jun	12-Jun	3,750.00	5.0
STRONG/MARK GRONET	030	GTI	S	25,000	25.13	n/a	n/a	1,000.00	4.0
J L F ASSET MGMT	039	HIBB	B	50,200	21.08	n/a	n/a	2,008.00	4.0
MATTHES CAPITAL MGMT	033	HIBB	SS	50,000	21.12	n/a	n/a	742.00	2.0
⌘ BUCK CAPITAL MGMT		ICON	B	10,000	13.01	n/a	n/a	non-brg	4.0
LEDY	035	ICON	B	1,200	13.49	n/a	n/a	0.00	0.0
CLOVIS CAPITAL	012	IT	B	50,000	21.50	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	IWM	B	12,200	72.80	n/a	n/a	488.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>50,000</b>	<b>34.33</b>	<b>n/a</b>	<b>30-May</b>	<b>2,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	JCG	SS	5,000	34.64	n/a	30-May	250.00	5.0
MERRILL LYNCH STRATEGICI	034	JCP	SS	10,000	39.57	n/a	6-Jun	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>10,000</b>	<b>27.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JOYG</b>	<b>C</b>	<b>50,000</b>	<b>84.16</b>	<b>n/a</b>	<b>30-May</b>	<b>non-brg</b>	<b>4.0</b>
JMP ASSET MGMT	210	JRJC	B	1,000	17.49	n/a	n/a	50.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>B</b>	<b>60,000</b>	<b>44.90</b>	<b>n/a</b>	<b>6-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>LEDDY</b>	<b>035</b>	<b>LEH</b>	<b>B</b>	<b>400</b>	<b>24.46</b>	<b>9-Jun</b>	<b>9-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>LEN</b>	<b>SS</b>	<b>1,200</b>	<b>15.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LIZ+VC</b>	<b>SS</b>	<b>1,000</b>	<b>1.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LL</b>	<b>B</b>	<b>37,600</b>	<b>14.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE/MS OLDS	041	MHP	SS	10,019	43.51	n/a	n/a	400.76	4.0
<b>BUCK</b>	<b>015</b>	<b>MHS</b>	<b>B</b>	<b>1,300</b>	<b>49.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PORTER-ORLIN-FELDMAN	044	MS	SS	100,000	39.81	n/a	6-Jun	5,000.00	5.0
CENTURION GLOBAL MGMT LL	041	NDN	B	18,500	7.41	27-Feb	12-Jun	555.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DARUMA ASSET MGMT INC	034	NP	B	20,000	20.11	7-Apr	19-May	1,000.00	5.0
HIGHBRIDGE CAPITAL	038	NSC	B	20,000	63.09	n/a	n/a	1,000.00	5.0
J L F ASSET MGMT	039	OMX	B	26,100	17.70	n/a	15-Apr	1,044.00	4.0
MERRILL ASSET	032	ONB	S	93,590	15.24	n/a	n/a	4,211.56	4.5
<b>LEDDY</b>	<b>035</b>	<b>PBR</b>	<b>S</b>	<b>200</b>	<b>68.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUFFOLK CAPITAL MGMT	040	PCP	B	100,000	104.34	n/a	n/a	4,000.00	4.0
BEAR STEARNS ASSET MGMT.	033	PETM	B	45,000	22.57	n/a	n/a	1,800.00	4.0
HIGHBRIDGE/MS OLDS	041	PH	SS	12,400	78.88	n/a	23-May	496.00	4.0
<b>BUCK</b>	<b>015</b>	<b>PJC</b>	<b>SS</b>	<b>1,000</b>	<b>38.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLONIAL FUND LLC	036	PLCE	S	20,000	36.60	3-Jun	6-Jun	1,000.00	5.0
J L F ASSET MGMT	039	PLCE	B	41,500	36.73	3-Jun	6-Jun	1,660.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PSUN</b>	<b>B</b>	<b>110,000</b>	<b>9.77</b>	<b>n/a</b>	<b>6-Jun</b>	<b>non-brg</b>	<b>4.0</b>
J L F ASSET MGMT	039	PSUN	B	52,600	9.87	n/a	6-Jun	2,104.00	4.0
<b>BUCK</b>	<b>015</b>	<b>RF</b>	<b>S</b>	<b>2,000</b>	<b>12.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>RHHBY</b>	<b>S</b>	<b>200</b>	<b>85.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>87,500</b>	<b>66.45</b>	<b>7-Feb</b>	<b>29-May</b>	<b>3,500.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		RL	B	20,000	65.89	7-Feb	29-May	non-brg	2.0
⌘ BUCK CAPITAL MGMT		RL	C	20,000	66.44	7-Feb	29-May	non-brg	1.0
GALT INVESTMENT	041	SCSS	B	100,000	2.35	n/a	n/a	2,500.00	2.5
COUGAR TRADING	044	SDS	SS	10,000	60.34	n/a	n/a	500.00	5.0
JMP ASSET MGMT	210	SFLY	SS	1,000	14.20	n/a	n/a	50.00	5.0
ZOLIDIS	011	SHOE	SS	8,500	0.52	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	SKF	S	10,000	128.62	n/a	n/a	400.00	4.0
ZOLIDIS	011	SKX	SS	1,000	20.57	n/a	13-Mar	0.00	0.0
JMP ASSET MGMT	210	SONC	S	8,000	18.04	n/a	n/a	400.00	5.0
OTC ERROR	099	SPLS	B	96	25.12	n/a	27-Jul	0.00	0.0
BUCK	015	SPY	B	100	135.55	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	SSCC	B	100,000	5.68	7-Apr	23-Apr	5,000.00	5.0
⌘ BUCK CAPITAL MGMT		SSCC	B	100,000	5.82	7-Apr	23-Apr	non-brg	4.0
FIRST NY SEC/CHRIS	041	SSCC	B	125,000	5.71	7-Apr	23-Apr	5,000.00	4.0
SCOPUS FUND	041	SSCC	B	96,900	5.65	7-Apr	23-Apr	4,845.00	5.0
NORTHPOINT	030	STU	B	4,900	109.04	n/a	n/a	196.00	4.0
⌘ BUCK CAPITAL MGMT		SUMR	B	1,700	4.20	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		SUMR	B	11,200	4.20	n/a	n/a	non-brg	2.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	TGT	S	300	53.23	n/a	5-Jun	0.00	0.0
BMO NESBITT BURNS	041	TIN	B	50,000	12.34	29-Apr	29-Apr	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		TIN	B	25,000	12.61	29-Apr	29-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TLB	B	50,000	11.20	17-Apr	11-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TPX	B	100,000	9.25	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TUY+II	SS	1,000	0.60	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		TUY+LI	SS	1,000	1.25	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		UA	B	20,000	31.88	n/a	n/a	non-brg	4.0
LEDDY	035	UQM	S	4,000	2.36	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	URBN	SS	15,000	33.18	15-May	15-May	750.00	5.0
⌘ BUCK CAPITAL MGMT		URQ+IH	SS	1,000	0.67	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		URQ+LH	SS	1,000	1.66	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	VFC	B	10,000	71.01	n/a	n/a	400.00	4.0
SERENGETI MGMT	030	WB	SS	50,000	17.91	n/a	n/a	2,500.00	5.0
MERRILL LYNCH STRATEGICI	034	WMT	B	50,000	59.44	13-May	10-Jun	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		WRC+JM	SS	1,000	0.95	n/a	n/a	non-brg	100.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		WRC	B	30,000	48.45	13-May	13-May	non-brg	4.0
BUCK CAPITAL MGMT		WSM	C	80,000	22.42	n/a	n/a	non-brg	4.0
BUCK	015	WYNN	SS	300	90.48	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							114,243.32		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	06/12	FRONTPOINT CHRIS C	041	IR	B	12,700	39.14	n/a	n/a	508.00	4.0
AO,CXL	06/12	FRONTPOINT CHRIS C	041	IR	B	12,700	39.14	n/a	n/a	635.00	5.0
AO,CXL	06/12	FRONTPOINT CHRIS C	041	IWM	SS	7,200	71.74	n/a	n/a	360.00	5.0
AO,CRT	06/12	FRONTPOINT CHRIS C	041	IWM	SS	7,200	71.74	n/a	n/a	288.00	4.0
AO,	06/04	PATTEN & PATTEN	067	MHP	S	400	41.61	n/a	n/a	20.00	5.0
AO,	06/11	TRELLUS PARTNERS LP	028	PH	SS	22,800	75.34	n/a	23-May	1140.00	5.0
AO,	06/12	OTC ERROR	099	SPLS	S	96	24.94	n/a	27-Jul	0.00	0.0
AO,CRT	06/12	FRONTPOINT CHRIS C	041	YHOO	B	5,200	23.65	n/a	n/a	208.00	4.0
AO,CXL	06/12	FRONTPOINT CHRIS C	041	YHOO	B	5,200	23.65	n/a	n/a	260.00	5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>909.00</b>	

TOTAL (Trade Date):	114,243.32
TOTAL (AO, CXL, CRT):	909.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>115,152.32</b>
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MRI Securities with Trade Activity on 13-Jun.  
NONE

MRI Securities without Trade Activity on 13-Jun.  
OCR

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 12%  
YTD = 17%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**