



Daily Compliance Activity Report

Trade Date: 01/08/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J L F ASSET MGMT	039	AEO	B	28,500	18.44	9-Nov	7-Jan	1,140.00	4.0
THOMSON HORSTMAN BRYANT	040	AEO	S	10,000	18.15	9-Nov	7-Jan	500.00	5.0
TYEE/TYGH	035	AMG	S	3,370	106.04	11-Dec	4-Jan	134.80	4.0
BUCK CAPITAL MGMT	001	ANF	S	30,000	75.37	2-Oct	7-Jan	1,200.00	4.0
COUGAR TRADING	044	ANF	B	10,000	75.10	2-Oct	7-Jan	500.00	5.0
BEAR STEARNS ASSET MGMT.	033	ANN	B	200,000	23.71	n/a	7-Jan	8,000.00	4.0
CAMBIAR CAP	046	ANN	B	12,600	24.44	n/a	7-Jan	504.00	4.0
COUGAR TRADING	044	ANN	SS	20,000	23.99	n/a	7-Jan	1,000.00	5.0
SAC TRADING HOWARD	038	ANN	SS	700	24.14	n/a	7-Jan	35.00	5.0
SAC TRADING HOWARD	038	ANN	S	70,000	23.70	n/a	7-Jan	3,500.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	30,000	26.62	29-Nov	7-Jan	1,200.00	4.0
REINHART & MAHONEY	031	AVP	B	42,210	39.04	11-Dec	8-Jan	2,110.50	5.0
INDEPENDENCE INV	039	BAC	S	20,100	39.72	13-Nov	8-Jan	804.00	4.0
CAPITAL GROWTH MGMT.	241	BDX	B	16,000	90.07	n/a	22-Apr	800.00	5.0
PHILADELPHIA FINANCIAL	033	BEN	B	75,000	106.25	25-Sep	4-Jan	3,750.00	5.0
BALYASNY ASSET MGMT	044	BWA	SS	5,000	43.51	16-Nov	16-Nov	250.00	5.0
BUCK CAPITAL MGMT	001	CBK	B	100,000	10.21	n/a	28-Dec	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RHO CAPITAL MGMT	041	CGDE	S	50,000	0.09	n/a	n/a	500.00	1.0
BUCK CAPITAL MGMT	001	COH	B	162,400	28.70	24-Oct	24-Oct	6,496.00	4.0
SAC TRADING HOWARD	038	CROX	S	5,000	29.91	n/a	n/a	250.00	5.0
ZOLIDIS	011	CROX	B	400	29.31	n/a	n/a	0.00	0.0
BUCK	015	C	B	1,000	27.34	5-Nov	8-Jan	0.00	0.0
DC CAPITAL	044	DBRN	B	50,000	10.33	n/a	n/a	2,000.00	4.0
APEX CAPITAL MGMT.	091	DIS	S	400,000	31.03	n/a	n/a	20,000.00	5.0
BULL PATH CAPITAL	044	DSW	B	20,000	18.52	26-Oct	14-Dec	1,000.00	5.0
BUCK CAPITAL MGMT	001	EL	SS	10,000	41.98	4-Jan	4-Jan	400.00	4.0
TWAM	035	ESLR	B	1,580	17.69	n/a	n/a	47.40	3.0
SUTERA	160	ETFC	B	2,500	2.27	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	FCX	B	30,000	97.75	n/a	n/a	1,500.00	5.0
CASTLEROCK	040	FDO	B	104,400	16.50	8-Jan	8-Jan	4,176.00	4.0
DIAMONDBACK CAP MGMT	232	FLR	S	15,000	139.72	n/a	n/a	750.00	5.0
CROWLEY	061	FMN	B	1,800	13.91	n/a	n/a	0.00	0.0
SERENGETI MGMT	030	FNM	SS	25,000	32.86	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRONBOUND CAPITAL	012	FOR	B	17,630	20.00	n/a	n/a	881.50	5.0
MERRILL LYNCH STRATEGICI	034	FOSL	B	20,000	37.19	13-Nov	13-Nov	1,000.00	5.0
BENCHMARK	028	FRED	SS	10,000	8.68	6-Dec	7-Jan	500.00	5.0
SERENGETI MGMT	030	FRE	SS	45,000	27.65	n/a	n/a	2,250.00	5.0
MAGUIRE	090	FXP	B	5,000	81.00	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	GIGM	B	25,000	16.95	n/a	n/a	1,250.00	5.0
HEALY CIRCLE	044	GM	SS	10,000	23.80	3-Jan	4-Jan	500.00	5.0
BALYASNY ASSET MGMT	044	GNTX	SS	25,000	16.64	24-Jul	23-Oct	1,250.00	5.0
MERRILL LYNCH STRATEGICI	034	GPS	B	40,000	19.68	n/a	7-Jan	2,000.00	5.0
GALLEON MGMT LP	033	GS	SS	2,000	195.37	21-Sep	19-Dec	100.00	5.0
COUGAR TRADING	044	GYMB	B	20,000	28.20	10-Aug	7-Jan	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	GYMB	B	25,000	28.19	10-Aug	7-Jan	1,250.00	5.0
U.S.TRUST COMPANY	012	HRS	B	55,000	58.00	30-Oct	2-Nov	1,650.00	3.0
CUMBERLAND	038	HXM	B	5,000	46.25	n/a	n/a	250.00	5.0
TRIVIUM CAP MGMT	251	IAR	C	50,000	15.34	n/a	n/a	2,000.00	4.0
U.S.TRUST COMPANY	012	IVZ	B	55,000	28.61	20-Dec	4-Jan	1,650.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	JCG	B	10,000	43.10	28-Nov	30-Nov	500.00	5.0
GALLEON MGMT LP	033	JCI	S	15,000	33.72	6-Nov	6-Nov	750.00	5.0
THE JAY GOLDMAN	034	JCP	B	10,000	35.24	4-Jan	7-Jan	400.00	4.0
ZOLIDIS	011	JOEZ	B	301	1.09	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JWN	S	10,000	31.92	20-Nov	7-Jan	500.00	5.0
MS PRINCIPAL STRATEGIES	041	KND	B	10,000	23.18	n/a	n/a	500.00	5.0
J L F ASSET MGMT	039	KSS	SS	25,000	40.73	4-Jan	7-Jan	1,000.00	4.0
BUCK CAPITAL MGMT	001	KWD	S	50,000	17.33	n/a	n/a	2,000.00	4.0
PHILADELPHIA FINANCIAL	033	LM	S	113,841	69.84	17-Dec	4-Jan	5,692.05	5.0
SERENGETI MGMT	030	LM	B	50,000	70.06	17-Dec	4-Jan	2,500.00	5.0
DISCIPLINED GROWTH INV.	030	LTD	B	5,800	15.82	n/a	7-Jan	290.00	5.0
DISCIPLINED GROWTH INV.	030	LVLTT	B	3,425	3.04	24-Oct	4-Jan	102.75	3.0
APEX CAPITAL MGMT.	091	MAT	B	25,000	17.76	n/a	n/a	1,250.00	5.0
STRATTON	N21	MCCC	S	500	4.96	7-Nov	4-Jan	0.00	0.0
ARIENCE CAPITAL	036	MCD	SS	23,000	57.93	n/a	24-Apr	1,150.00	5.0
MERRILL ASSET	032	MIC	B	20,000	38.01	n/a	n/a	900.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRONET	030	MIR	S	86	36.44	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	M	B	25,000	22.82	4-Jan	7-Jan	1,250.00	5.0
CAMBIAR CAP	046	M	B	508,498	22.83	4-Jan	7-Jan	20,339.92	4.0
COUGAR TRADING	044	M	S	10,000	22.42	4-Jan	7-Jan	500.00	5.0
J L F ASSET MGMT	039	M	B	128,700	23.13	4-Jan	7-Jan	5,148.00	4.0
THE JAY GOLDMAN	034	M	S	15,000	22.85	4-Jan	7-Jan	600.00	4.0
REINHART & MAHONEY	031	NSR	B	32,380	29.48	n/a	n/a	1,619.00	5.0
MERRILL ASSET	032	ONB	S	29,600	13.81	n/a	n/a	1,332.00	4.5
CAPITAL GROWTH MGMT.	241	PCX	B	20,000	38.41	n/a	n/a	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	PFCB	B	50,000	21.98	n/a	24-Apr	2,500.00	5.0
GRONET	030	PG	S	300	73.45	11-Dec	11-Dec	0.00	0.0
COUGAR TRADING	044	PLCE	B	10,000	22.79	3-Dec	7-Jan	500.00	5.0
REINHART & MAHONEY	031	PLCM	S	43,960	23.94	n/a	22-Mar	2,198.00	5.0
CATAPULT	040	PNC	SS	20,000	62.85	n/a	n/a	1,000.00	5.0
REINHART & MAHONEY	031	PPDI	S	12,000	44.61	n/a	n/a	600.00	5.0
STRATTON	N21	PRXI	B	500	8.95	n/a	n/a	0.00	0.0
KORMAN	003	QIAEW	S	220	2.15	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	QQQQ	C	10,000	48.40	n/a	n/a	500.00	5.0
DISCIPLINED GROWTH INV.	030	RCL	B	1,225	37.10	23-Oct	23-Oct	61.25	5.0
KAICHER	064	RVI	B	500	4.88	n/a	n/a	0.00	0.0
CAXTON PART	040	SEIC	S	50,000	27.54	n/a	n/a	1,750.00	3.5
OTC ERROR	099	SEIC	B	50,000	27.54	n/a	n/a	0.00	0.0
OTC ERROR	099	SEIC	S	50,000	27.34	n/a	n/a	0.00	0.0
BUCK	015	SPY	C	500	142.42	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SSI	B	35,000	12.74	4-Jan	7-Jan	1,400.00	4.0
BUCK	015	STI	SS	1,000	59.76	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	SYI	S	50,000	30.23	n/a	24-Apr	2,000.00	4.0
SAC TRADING HOWARD	038	TGT	SS	15,000	49.95	n/a	27-Jul	750.00	5.0
GEORGE WEISS	034	TIN	B	10,000	18.29	8-Jan	8-Jan	400.00	4.0
DISCIPLINED GROWTH INV.	030	TJX	B	2,000	26.79	21-Sep	7-Jan	100.00	5.0
CATAPULT	040	TROW	B	2,500	52.00	21-Nov	4-Jan	125.00	5.0
COUGAR TRADING	044	TWB	S	15,000	28.06	19-Nov	19-Nov	750.00	5.0
BARON ASSET MGMT*	012	UA	B	20,000	43.64	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	UBS	B	1,700	44.80	n/a	n/a	0.00	0.0
HARVEST MGMT	039	URBN	B	50,000	26.22	3-Jan	7-Jan	2,500.00	5.0
BUCK	015	USB	S	2,000	28.91	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	B	10,000	65.63	n/a	n/a	400.00	4.0
MORGENS WATERFALL	040	VMW	SS	10,000	75.88	n/a	n/a	300.00	3.0
AVESTA CAP	229	WAG	SS	50,000	34.76	n/a	n/a	2,500.00	5.0
BUCK	015	WB	SS	1,000	35.42	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	WMT	B	20,000	46.95	20-Nov	7-Jan	1,000.00	5.0
JP MORGAN VENTURES	046	WRNC	B	10,000	30.60	6-Nov	12-Nov	500.00	5.0
GALLEON MGMT LP	033	XLB	SS	20,000	40.69	n/a	n/a	1,000.00	5.0
RHO CAPITAL MGMT	041	XSI	S	12,700	2.56	n/a	n/a	444.51	3.5
TOTAL (Trade Date) :							158,931.68		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	01/07	TYEE/TYGH (TYEE31)	035	AMG	S	152	106.05	11-Dec	4-Jan	6.08	4.0
AO,CXL	01/07	TYEE/TYGH (&TYEE30)	035	AMG	S	152	106.05	11-Dec	4-Jan	6.08	4.0
AO,CXL	01/07	SCOPUS FUND (SCOPBANC O)	041	EL	B	1,800	41.56	4-Jan	4-Jan	90.00	5.0
AO,CRT	01/07	SCOPUS FUND (SCOPBANC O)	041	EL	B	2,415	41.56	4-Jan	4-Jan	120.75	5.0
AO,CXL	01/07	SCOPUS FUND (SCOPUBS)	041	EL	B	8,200	41.56	4-Jan	4-Jan	410.00	5.0
AO,CRT	01/07	SCOPUS FUND (SCOPUBS)	041	EL	B	7,585	41.56	4-Jan	4-Jan	379.25	5.0
AO,CXL	01/07	AAD CAPITAL (AADCS\$0.04)	048	GPI	B	3,700	19.99	31-Oct	31-Oct	148.00	4.0
AO,CRT	01/07	AAD CAPITAL (AADCS\$0.04)	048	GPI	B	3,700	19.99	31-Oct	31-Oct	111.00	3.0
AO,CRT	12/26	STRAUSS ASSET MGMT (STRATTON)	N21	NETM	B	1,000	6.10	n/a	n/a	0.00	0.0
AO,CXL	12/26	STRAUSS ASSET MGMT (STRATTON)	N21	NETM	B	1,000	6.10	n/a	n/a	0.00	0.0

TOTAL (AO, CXL, CRT) :	-37.00
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TOTAL (Trade Date):	158,931.68
TOTAL (AO, CXL, CRT):	-37.00

TOTAL (RUN DATE) / NFS:	158,894.68
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION