



Daily Compliance Activity Report

Trade Date: 07/12/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CAXTON PART	040	AA	S	100,000	45.53	n/a	n/a	4,000.00	4.0
CARDINAL CAPITAL	044	AEO	B	42,800	27.43	10-Jul	10-Jul	2,140.00	5.0
POST ROAD CAP	068	AEO	B	20,000	27.56	10-Jul	10-Jul	800.00	4.0
IMPALA ASSET MMT	012	AMR	B	350,000	27.59	n/a	n/a	10,500.00	3.0
COUGAR TRADING	044	ANF	B	5,000	74.00	12-Jul	12-Jul	250.00	5.0
SARAS CAPITAL	038	AN	SS	15,000	22.42	n/a	27-Apr	750.00	5.0
THE JAY GOLDMAN	034	ARO	SS	10,000	43.66	n/a	6-Jul	400.00	4.0
WEINTRAUB CAPITAL MGT.	040	ARO	SS	8,900	43.58	n/a	6-Jul	445.00	5.0
1837 PARTNERS/RMB CAP	030	ASPM	B	1,600	15.25	n/a	n/a	64.00	4.0
MAGUIRE	039	BBBY	C	200	36.03	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	BDK	B	100,000	94.61	n/a	n/a	3,000.00	3.0
Õ Glenn Redemann		BGC	B	3	1.0000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BGC	B	3	1.1000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BGC	SS	3	1.9500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BGC	SS	3	2.0000	n/a	n/a	0.00	0.0
COUGAR TRADING	044	BONT	B	15,000	36.41	6-Jul	6-Jul	750.00	5.0
DSG CAPITAL	225	BONT	B	9,800	35.55	6-Jul	6-Jul	490.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	BONT	B	33	35.55	6-Jul	6-Jul	0.00	0.0
METROPOLITAN CAPITAL	044	BWS	B	58,900	25.12	n/a	n/a	2,945.00	5.0
FARALLON CAP MGMT	040	CAL	S	100,000	36.65	n/a	n/a	4,000.00	4.0
CARLYLE BLUE WAVE PR MG	224	CBS	B	7,394	34.41	n/a	n/a	369.70	5.0
PATTEN & PATTEN	067	CDL	S	187	6.17	n/a	n/a	9.35	5.0
ROARING BLUE LION MGMT	012	CHS	SS	11,700	22.21	12-Jul	12-Jul	585.00	5.0
CHILTON CAPITAL MGMT.	036	CIT	C	154,738	56.45	n/a	n/a	6,189.52	4.0
MILLENIUM PARTNERS	028	CMCSA	SS	20,000	27.70	5-Apr	11-Jul	1,000.00	5.0
CAPITAL GROWTH MGMT.	241	CNH	B	50,000	55.10	n/a	n/a	2,500.00	5.0
TRUST CO/COWEN/CALF.	040	CNW	S	63,930	53.10	n/a	n/a	3,196.50	5.0
COUGAR TRADING	044	COH	S	10,000	50.38	23-Feb	25-Apr	500.00	5.0
AMATURO	018	DAWUW	S	200	1.00	n/a	n/a	0.00	0.0
AMATURO	018	DAWXD	B	50	3.90	n/a	n/a	0.00	0.0
HARVEST MGMT	039	DBRN	S	70,000	20.25	n/a	n/a	3,500.00	5.0
U.S.TRUST COMPANY	012	DF	S	34,400	31.16	n/a	n/a	1,720.00	5.0
Ö Glenn Redemann		DRYS	B	400	45.0000	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
Õ	Glenn Redemann		DRYS	??	4	0.0500	n/a	n/a	0.00 0.0
Õ	Glenn Redemann		DRYS	SS	400	40.0000	n/a	n/a	0.00 0.0
	BUCK CAPITAL MGMT	001	DSW	B	20,000	35.01	7-May	7-Jun	800.00 4.0
	CROWLEY	061	EOS	S	1,500	20.41	n/a	n/a	0.00 0.0
	CLOVIS CAPITAL	012	FDO	SS	135,000	34.18	19-Apr	12-Jul	6,750.00 5.0
	PORTER-ORLIN-FELDMAN	044	FDO	SS	50,000	34.42	19-Apr	12-Jul	2,500.00 5.0
	COUGAR TRADING	044	FL	S	15,000	21.40	24-May	21-Jun	750.00 5.0
	METROPOLITAN CAPITAL	044	FL	S	138,200	21.39	24-May	21-Jun	6,910.00 5.0
	CROWLEY	061	GLWAW	S	6	9.82	n/a	n/a	0.00 0.0
	CAXTON PART	040	GLW	B	1,000,000	27.00	n/a	11-Jul	40,000.00 4.0
	LATEFF MGMT	040	GPI	B	1,500	40.12	27-Apr	5-Jul	300.00 20.0
	CAPITAL GROWTH MGMT.	241	GRP	B	10,200	56.26	n/a	n/a	510.00 5.0
Õ	Glenn Redemann		GRP	B	4	0.9600	n/a	n/a	0.00 0.0
Õ	Glenn Redemann		GRP	B	4	1.8600	n/a	n/a	0.00 0.0
Õ	Glenn Redemann		GRP	SS	4	1.9900	n/a	n/a	0.00 0.0
Õ	Glenn Redemann		GRP	SS	4	3.4800	n/a	n/a	0.00 0.0
	WAGONHOUND	048	GS	B	830	219.87	15-Jun	15-Jun	33.20 4.0
	LEDY	035	HCGI	B	6,000	0.93	n/a	n/a	0.00 0.0
	RIVER RUN MGMT	031	HOFF	S	11,700	19.92	n/a	n/a	585.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FARALLON CAP MGMT	040	HUN	B	80,000	26.40	n/a	n/a	3,200.00	4.0
CROWLEY	061	ICBMX	S	319	14.89	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	ICF	S	9,290	92.02	n/a	n/a	464.50	5.0
CENTURION PARTNERS	233	IFN	B	15,000	46.92	n/a	n/a	750.00	5.0
COUGAR TRADING	044	JCG	S	5,000	56.71	22-Jun	22-Jun	250.00	5.0
ASCEND PARTNERS	033	JCP	B	15,000	74.39	21-Jun	6-Jul	750.00	5.0
COUGAR TRADING	044	JCP	B	5,000	73.79	21-Jun	6-Jul	250.00	5.0
SIGMA CAPITAL ASSOCIATES	044	JCP	SS	25,000	73.48	21-Jun	6-Jul	1,250.00	5.0
CROWLEY	061	JMCVX	S	324	26.87	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	JNS	B	50,000	29.91	7-Jun	25-Jun	2,500.00	5.0
Õ Glenn Redemann		JOSB	??	7	0.0700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		JOSB	??	7	0.1300	n/a	n/a	0.00	0.0
CROWLEY	061	JSVAX	S	534	20.15	n/a	n/a	0.00	0.0
STRATIX ASSEST MGMT	035	JWN	S	25,000	48.92	12-Jul	12-Jul	1,250.00	5.0
TRELLUS PARTNERS LP	028	JWN	B	20,000	48.95	12-Jul	12-Jul	1,000.00	5.0
COUGAR TRADING	044	KSS	B	5,000	68.74	14-Jun	12-Jul	250.00	5.0
BULL PATH CAPITAL	044	LAZ	B	42,500	47.92	n/a	n/a	2,125.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FARALLON CAP MGMT	040	LCC	B	107,500	34.89	n/a	n/a	4,300.00	4.0
BRANDWINE	028	LIZ	S	42,500	36.96	n/a	12-Jul	1,912.50	4.5
EGS ASSOCIATES LP	091	LIZ	SS	2,100	37.39	n/a	12-Jul	126.00	6.0
CLOVIS CAPITAL	012	MAR	SS	50,000	44.85	n/a	n/a	2,500.00	5.0
CROWLEY	061	MIRWSB	S	1,000	23.80	n/a	n/a	0.00	0.0
U.S.TRUST COMPANY	012	MS	B	55,000	71.57	2-Jul	2-Jul	2,475.00	4.5
PRINCIPLE CAPITAL	040	M	B	40,400	39.03	6-Jul	6-Jul	1,212.00	3.0
WEINTRAUB CAPITAL MGT.	040	M	B	50,000	39.04	6-Jul	6-Jul	2,500.00	5.0
US BANCORP	030	NCI	B	40,323	19.03	n/a	n/a	2,016.15	5.0
CROWLEY	061	NG	S	1,500	15.77	n/a	n/a	0.00	0.0
ZOLIDIS	011	NLS	S	500	11.83	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	51,200	43.78	n/a	n/a	2,048.00	4.0
LEDY	035	PCH	B	400	43.67	26-Jun	26-Jun	0.00	0.0
JENNISON	032	PG	S	161,300	61.90	n/a	25-Jun	6,452.00	4.0
Glenn Redemann		PHM	B	300	25.0000	n/a	n/a	0.00	0.0
Glenn Redemann		PHM	SS	3	2.9000	n/a	n/a	0.00	0.0
Glenn Redemann		PHM	S	300	22.0800	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAXTON PART	040	PRU	B	25,000	96.29	n/a	n/a	1,000.00	4.0
KNOTT PARTNERS	044	PRX	B	25,000	27.67	21-May	11-Jul	1,000.00	4.0
GOLDEN TREE	036	QQQQ	SS	31,100	49.28	n/a	n/a	1,555.00	5.0
HARVEST MGMT	039	QQQQ	B	25,000	49.45	n/a	n/a	750.00	3.0
US BANCORP	030	RIO	B	55,015	51.71	n/a	n/a	2,750.75	5.0
A.I.M. ADVISORS INC	222	RL	S	12,000	100.19	21-Jun	27-Jun	480.00	4.0
IMPALA ASSET MMT	012	ROK	S	158,000	72.87	n/a	n/a	4,740.00	3.0
ZOLIDIS	011	SCSS	S	300	17.04	n/a	n/a	0.00	0.0
ZOLIDIS	011	SDS	B	100	50.05	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	SGR	B	254,200	50.37	n/a	n/a	7,626.00	3.0
GENERAL ELECTIC CO	033	SLB	S	100,000	87.80	n/a	n/a	2,000.00	2.0
LEDDY	035	SNEN	B	4,500	2.29	n/a	n/a	0.00	0.0
GOLDEN TREE	036	SPY	SS	60,000	153.57	n/a	n/a	3,000.00	5.0
OGORMAN	146	TGTSN	B	12	1.45	n/a	n/a	0.00	0.0
COUGAR TRADING	044	TGT	B	10,000	69.49	n/a	12-Jul	500.00	5.0
COUGAR TRADING	044	TGT	SS	10,000	67.54	n/a	12-Jul	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	044	TGT	B	50,000	67.30	n/a	12-Jul	2,500.00	5.0
STRATIX ASSEST MGMT	035	TGT	B	15,000	67.59	n/a	12-Jul	750.00	5.0
CENTURION PARTNERS	233	TJX	B	20,000	28.34	21-Mar	6-Jul	1,000.00	5.0
COUGAR TRADING	044	TJX	B	5,000	28.61	21-Mar	6-Jul	250.00	5.0
HIGHBRIDGE CAPITAL	038	T	B	15,000	40.42	25-Apr	12-Jul	750.00	5.0
MILLENIUM PARTNERS	028	T	B	14,000	39.93	25-Apr	12-Jul	700.00	5.0
IMPALA ASSET MMT	012	UAUA	B	199,389	42.84	n/a	n/a	5,981.67	3.0
IMPALA ASSET MMT	012	URS	B	191,296	49.84	n/a	n/a	5,738.88	3.0
MSD CAPITAL	036	USTR	S	30,600	70.01	23-May	23-May	1,530.00	5.0
MKT MAKER	099	USTR	S	37	70.01	23-May	23-May	0.00	0.0
MERRILL ASSET	032	VQ	B	22,800	19.09	n/a	n/a	1,026.00	4.5
MAGUIRE	039	WSM	C	200	32.75	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								194,951.72	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/10	APEX CAPITAL MGMT. (APEXUBS)	091	AEO	B	50,000	26.23	10-Jul	10-Jul	2500.00 5.0
AO,CXL	07/10	APEX CAPITAL MGMT. (APEXMORGAN)	091	AEO	B	400,000	26.23	10-Jul	10-Jul	20000.00 5.0
AO,	07/10	APEX CAPITAL MGMT. (APEXMORGAN)	091	AEO	B	350,000	26.23	10-Jul	10-Jul	17500.00 5.0
AO,CXL	07/11	TRIBECA/DOUG (TRIDMS)	040	AIG	S	20,000	68.70	n/a	n/a	1000.00 5.0
AO,	07/11	TRIBECA/DOUG (TRIDMS)	040	AIG	S	20,000	68.70	n/a	n/a	800.00 4.0
AO,	07/09	REINHART & MAHONEY (REINHENGJT)	031	ATRI	B	25	102.00	n/a	n/a	1.25 5.0
AO,	07/09	REINHART & MAHONEY (REINDBSE)	031	ATRI	B	125	102.00	n/a	n/a	6.25 5.0
AO,CXL	07/09	REINHART & MAHONEY (REINHENGJT)	099	ATRI	B	25	102.00	n/a	n/a	1.25 5.0
AO,CXL	07/09	REINHART & MAHONEY (REINDBSE)	099	ATRI	B	125	102.00	n/a	n/a	6.25 5.0
AO,	07/11	CARLYLE BLUE WAVE PR MG (CBLUMS/USE)	224	AUY	B	13,830	11.95	n/a	n/a	691.50 5.0
AO,	07/11	FIRST INV MGMT CORP (FIMCLIFVAL)	036	BEBE	B	3,100	15.79	n/a	n/a	155.00 5.0
AO,CRT	07/11	HIGHBRIDGE CAPITAL (HIGBNEW)	038	CCI	S	18,600	37.04	n/a	n/a	930.00 5.0
AO,CXL	07/11	HIGHBRIDGE CAPITAL (HIGBMAIN)	038	CCI	S	18,600	37.04	n/a	n/a	930.00 5.0
AO,	07/11	STADIA CAPITAL (STADGOLDMA)	035	DDS	B	23,000	35.06	n/a	n/a	920.00 4.0
AO,	07/11	STADIA CAPITAL (STADGOLDMA)	035	DDS	B	900	35.06	n/a	n/a	36.00 4.0
AO,	07/11	STADIA CAPITAL (STADGOLDMA)	035	DDS	B	1,100	35.06	n/a	n/a	44.00 4.0
AO,	07/11	HIGHFIELDS CAP MGMT (HIGFDEUTSC)	039	HD	B	39,000	40.14	n/a	12-Jul	1950.00 5.0
AO,	07/11	MKT MAKER (MKT MAKER)	099	HOFF	S	60	19.91	n/a	n/a	0.00 0.0
AO,	07/11	CHILTON CAPITAL MGMT. (CHILMERRIL)	036	PBH	S	35,354	12.50	n/a	n/a	1414.16 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/11	STADIA CAPITAL (STADGOLDMA)	035	RL	S	13,700	99.05	21-Jun	27-Jun	548.00 4.0
AO,	07/11	STADIA CAPITAL (STADGOLDMA)	035	RL	S	600	99.05	21-Jun	27-Jun	24.00 4.0
AO,	07/11	STADIA CAPITAL (STADGOLDMA)	035	RL	S	700	99.05	21-Jun	27-Jun	28.00 4.0
AO,CXL	06/22	LEDDY (LEDDY)	035	RVI	S	1,400	16.58	n/a	n/a	0.00 0.0
AO,CRT	06/22	LEDDY (LEDDY)	035	RVI	S	1,400	16.58	n/a	n/a	0.00 0.0
AO,	07/11	RAMIUS CAP (RAMIM S EX)	040	TYC	S	94,537	51.13	19-Jun	19-Jun	4726.85 5.0
AO,	07/11	LISTED ERR (LISTED ERR)	099	UA	B	2,800	52.82	n/a	n/a	0.00 0.0
AO,	07/11	LISTED ERR (LISTED ERR)	099	UA	S	2,800	52.72	n/a	n/a	0.00 0.0
AO,CXL	07/11	INDEPENDENCE INV (INDE529)	039	UA	S	2,800	52.72	n/a	n/a	112.00 4.0
AO,	07/11	INDEPENDENCE INV (INDE529)	039	UA	S	2,800	52.82	n/a	n/a	112.00 4.0
AO,CXL	07/11	IMPALA ASSET MMT (IMPABS)	012	UAUA	B	103,108	43.00	n/a	n/a	3093.24 3.0
AO,	07/11	IMPALA ASSET MMT (IMPABS)	012	UAUA	B	103,108	42.95	n/a	n/a	3093.24 3.0
TOTAL (AO, CXL, CRT) :									10,337.51	

TOTAL (Trade Date) :	194,951.72
TOTAL (AO, CXL, CRT) :	10,337.51

TOTAL (RUN DATE) / NFS :	205,289.23
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION