



Daily Compliance Activity Report

Trade Date: 01/16/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CENTURION GLOBAL MGMT LL	041	AAPL	B	6,000	161.87	n/a	n/a	180.00	3.0
COUGAR TRADING	044	AB	B	5,000	65.72	11-Jan	15-Jan	250.00	5.0
CENTURION GLOBAL MGMT LL	041	ADI	B	12,300	26.49	n/a	n/a	369.00	3.0
GALLEON MGMT LP	033	ADM	B	15,000	42.30	2-Jan	2-Jan	750.00	5.0
CRAMER ROSENTHAL/SCOTT	012	AMG	B	20,000	96.07	9-Jan	15-Jan	800.00	4.0
J L F ASSET MGMT	039	ANF	B	5,200	72.55	10-Jan	11-Jan	208.00	4.0
BUCK CAPITAL MGMT	001	ARO	B	20,000	23.24	29-Nov	11-Jan	800.00	4.0
J L F ASSET MGMT	039	ARO	B	81,600	24.02	29-Nov	11-Jan	3,264.00	4.0
THOMSON HORSTMAN BRYANT	040	ARO	B	40,000	23.68	29-Nov	11-Jan	2,000.00	5.0
CENTURION GLOBAL MGMT LL	041	ATVI	B	1,600	27.28	n/a	n/a	48.00	3.0
ZOLIDIS	011	BARE	SS	500	21.76	n/a	n/a	0.00	0.0
OGORMAN	046	BARE	SS	500	21.70	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	BBY	SS	48,700	45.26	n/a	27-Jul	1,948.00	4.0
PRINCIPLE CAPITAL	040	BBY	B	21,900	45.27	n/a	27-Jul	876.00	4.0
CASTLEROCK	040	BDK	B	43,450	66.07	n/a	n/a	1,738.00	4.0
CENTURION GLOBAL MGMT LL	041	BIDU	B	900	302.12	n/a	n/a	27.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KAICHER	064	BONT	S	2,000	5.56	4-Jan	11-Jan	0.00	0.0
WHEELER	008	CCCGW	S	80	1.55	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	CG	B	500	86.56	n/a	n/a	15.00	3.0
J L F ASSET MGMT	039	CHS	B	50,000	7.13	10-Jan	11-Jan	2,000.00	4.0
CENTURION GLOBAL MGMT LL	041	CIEN	B	13,300	27.19	n/a	22-Mar	399.00	3.0
COLUMBIA PARTNERS	028	CLB	C	10,785	114.11	6-Nov	14-Jan	431.40	4.0
ROARING BLUE LION MGMT	012	CLMS	B	4,925	23.73	15-Jan	15-Jan	197.00	4.0
BULL PATH CAPITAL	044	CNC	SS	27,500	27.21	n/a	n/a	1,375.00	5.0
AMBER CAPITAL	046	COF	B	100,000	42.70	n/a	n/a	4,000.00	4.0
WHEELER	008	COHR	B	2,000	22.51	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	COH	SS	10,000	25.76	24-Oct	14-Jan	500.00	5.0
LUCHANSKY1	036	COST	C	100	64.17	n/a	27-Jul	0.00	0.0
LUCHANSKY1	036	CVX	S	100	85.34	n/a	n/a	0.00	0.0
MERRILL ASSET	032	C	B	648,800	26.63	16-Jan	16-Jan	29,196.00	4.5
GAULT	063	C	S	500	26.23	16-Jan	16-Jan	0.00	0.0
EMP ERROR	099	C	B	5,000	26.47	16-Jan	16-Jan	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EMP ERROR	099	C	S	5,000	26.66	16-Jan	16-Jan	0.00	0.0
WEISS PECK	040	DBRN	S	19,500	9.65	n/a	n/a	780.00	4.0
N STRATTON	014	DFZ	S	350	6.80	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	DKS	B	36,200	27.75	n/a	27-Nov	1,086.00	3.0
CAXTON PART	040	DTV	B	75,000	20.37	15-Nov	4-Jan	2,625.06	3.5
CENTURION GLOBAL MGMT LL	041	EBAY	B	18,600	28.81	n/a	n/a	558.00	3.0
WAGONHOUND	048	EL	B	9,190	39.26	4-Jan	4-Jan	367.60	4.0
LUCHANSKY1	036	EOG	SS	100	89.00	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	EWM	S	39,500	13.16	n/a	n/a	1,185.00	3.0
CENTURION GLOBAL MGMT LL	041	EWZ	B	4,800	73.45	n/a	n/a	144.00	3.0
OSS CAPITAL/KEVIN DANN	067	FDO	B	200,000	16.78	8-Jan	11-Jan	6,000.00	3.0
FARALLON CAP MGMT	040	FNM	SS	280,000	36.22	n/a	n/a	11,200.00	4.0
DSG CAPITAL	225	FOR	B	6,000	20.41	n/a	n/a	300.00	5.0
GRUSS CAPITAL	045	FOR	B	3,000	19.35	n/a	n/a	120.00	4.0
OGORMAN	046	FOSL	SS	500	32.06	13-Nov	13-Nov	0.00	0.0
LUCHANSKY1	036	FRO	S	100	38.17	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	FSP	S	5,000	15.06	n/a	n/a	250.00	5.0
MAGUIRE	090	FXP	S	2,500	92.39	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	GIL	B	12,500	33.39	5-Nov	11-Dec	625.00	5.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	34.65	5-Nov	11-Dec	400.00	4.0
BARR	096	GLD	S	300	88.00	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	HP	B	1,200	37.63	n/a	n/a	36.00	3.0
CENTURION GLOBAL MGMT LL	041	HUM	B	2,000	87.13	n/a	n/a	60.00	3.0
MAGUIRE	039	IBN	SS	100	65.00	n/a	n/a	0.00	0.0
RHO CAPITAL MGMT	041	INP	S	400	97.41	n/a	n/a	16.00	4.0
MKT MAKER	099	INTC	B	100	20.00	n/a	n/a	0.00	0.0
OTC ERROR	099	ITRI	B	100	87.28	n/a	n/a	0.00	0.0
OTC ERROR	099	ITRI	S	100	90.00	n/a	n/a	0.00	0.0
TROUBH PART	067	ITT	S	5,000	58.57	n/a	13-Nov	250.00	5.0
SANDLER CAPITAL	038	ITW	B	100,000	47.74	n/a	n/a	6,000.00	6.0
UBS OCONNOR	031	IVZ	B	85,000	25.67	20-Dec	15-Jan	2,550.00	3.0
BUCK CAPITAL MGMT	001	JAH	B	10,000	20.93	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	JBX	B	40,000	24.73	n/a	24-Apr	1,500.00	3.8
COUGAR TRADING	044	JCG	SS	10,000	39.84	28-Nov	30-Nov	500.00	5.0
GAULT	063	JPM	B	300	41.44	19-Oct	14-Jan	0.00	0.0
GAULT	063	JPM	S	500	41.86	19-Oct	14-Jan	0.00	0.0
BARR	096	KANA	S	1,800	1.93	n/a	n/a	0.00	0.0
N STRATTON	014	KEG	S	200	12.90	29-Oct	14-Jan	0.00	0.0
MS PRINCIPAL STRATEGIES	041	KND	SS	50,000	26.07	n/a	n/a	2,500.00	5.0
BENNETT LAWRENCE	045	KSS	C	41,250	40.01	4-Jan	11-Jan	1,856.25	4.5
COUGAR TRADING	044	KSS	B	5,000	39.75	4-Jan	11-Jan	250.00	5.0
CAPITAL GROWTH MGMT.	241	LEH	B	60,000	58.18	n/a	14-Jan	3,000.00	5.0
GAULT	063	LEH	S	500	58.29	n/a	14-Jan	0.00	0.0
MERRILL ASSET	032	LEN	B	14,400	14.40	n/a	n/a	648.00	4.5
MAGUIRE	090	LOW	B	5,000	21.25	n/a	27-Jul	0.00	0.0
OGORMAN	046	MA	C	100	176.50	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	MCD	S	16,700	53.01	n/a	24-Apr	501.00	3.0
STRAUSS ASSET MGMT	040	MCRS	B	10,000	60.50	n/a	n/a	500.00	5.0
GAULT	063	MER	B	500	55.25	11-Jan	14-Jan	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	MER	S	500	54.94	11-Jan	14-Jan	0.00	0.0
MAGUIRE	090	MER	B	3,000	54.70	11-Jan	14-Jan	0.00	0.0
KERN	050	MHGC	B	250	15.89	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	MLNM	B	2,700	16.30	n/a	n/a	81.00	3.0
CENTURION GLOBAL MGMT LL	041	MOS	S	5,200	99.85	n/a	n/a	156.00	3.0
MAGUIRE	090	MS	B	5,000	48.26	20-Dec	14-Jan	0.00	0.0
COUGAR TRADING	044	M	B	5,000	22.73	4-Jan	11-Jan	250.00	5.0
LUCHANSKY1	036	M	B	300	22.71	4-Jan	11-Jan	0.00	0.0
WHEELER	008	NLC	B	2,500	19.89	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	NSM	B	18,000	19.13	n/a	n/a	540.00	3.0
MERRILL ASSET	032	NWL	S	34,400	23.19	n/a	n/a	1,548.00	4.5
VALH	041	ORCL	B	15,000	21.67	n/a	n/a	450.00	3.0
LUCHANSKY	036	PBW	S	200	21.93	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	PGR	B	48,000	18.66	n/a	n/a	1,920.00	4.0
N STRATTON	014	PHH	S	200	17.00	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	PLCE	B	68,400	16.07	10-Jan	11-Jan	2,736.00	4.0
KORMAN	003	QJPNJ	S	50	3.80	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KORMAN	003	QJPNK	S	50	6.00	n/a	n/a	0.00	0.0
WAGONHOUND	048	RL	B	5,240	54.26	14-Jan	14-Jan	209.60	4.0
CENTURION GLOBAL MGMT LL	041	SEED	S	8,145	8.59	n/a	n/a	244.35	3.0
LUCHANSKY1	036	SFL	S	197	24.88	n/a	n/a	0.00	0.0
SOCIETY GENERALE	040	SHLD	SS	5,000	88.04	n/a	n/a	200.00	4.0
BARR	096	SKF	S	600	117.19	n/a	n/a	0.00	0.0
PEREGRINE	030	SKIL	S	20,200	8.58	n/a	n/a	808.00	4.0
PEREGRINE	030	SLAB	S	9,100	27.11	n/a	n/a	364.00	4.0
LUCHANSKY1	036	SLB	S	100	84.20	n/a	n/a	0.00	0.0
KAICHER	064	SNCR	B	1,000	21.99	n/a	n/a	0.00	0.0
LUCHANSKY	036	SNVNF	S	250	4.10	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	SNY	C	10,000	46.53	n/a	n/a	500.00	5.0
PEREGRINE	030	SONE	S	7,100	5.61	n/a	n/a	284.00	4.0
PEREGRINE	030	SONO	S	7,200	38.19	n/a	n/a	288.00	4.0
PEREGRINE	030	SPNC	S	11,400	13.49	n/a	n/a	456.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PEREGRINE	030	STST	S	7,400	17.00	n/a	n/a	296.00	4.0
CENTURION GLOBAL MGMT LL	041	STT	B	4,400	81.10	n/a	n/a	132.00	3.0
PEREGRINE	030	SVVS	S	6,500	23.69	n/a	n/a	260.00	4.0
CHARTER OAK	033	SWK	B	23,220	47.02	n/a	n/a	1,161.00	5.0
LUCHANSKY1	036	SWN	S	100	51.99	14-Jan	14-Jan	0.00	0.0
PEREGRINE	030	SYKE	S	11,400	16.72	n/a	n/a	456.00	4.0
PEREGRINE	030	TECD	S	7,500	33.41	n/a	n/a	300.00	4.0
PEREGRINE	030	TESO	S	10,000	26.31	n/a	n/a	400.00	4.0
ADAGE CAPITAL MGMT	030	TGT	SS	80,000	49.86	n/a	27-Jul	3,200.00	4.0
J L F ASSET MGMT	039	TGT	B	38,300	50.73	n/a	27-Jul	1,532.00	4.0
PEREGRINE	030	THOR	S	16,900	18.37	n/a	n/a	676.00	4.0
WHEELER	008	TIN	S	8,000	18.28	8-Jan	8-Jan	0.00	0.0
PEREGRINE	030	TOMO	S	12,300	17.43	n/a	n/a	492.00	4.0
CENTURION GLOBAL MGMT LL	041	TRA	S	10,800	48.62	n/a	n/a	324.00	3.0
MAIRS AND POWER INV	030	TRV	S	10,000	50.42	n/a	n/a	600.00	6.0
PEREGRINE	030	TSRA	S	6,800	35.65	n/a	n/a	272.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PEREGRINE	030	TWTC	S	10,800	19.06	9-Nov	4-Jan	432.00	4.0
MATTHES CAPITAL MGMT	033	TXRH	SS	50,000	9.30	n/a	n/a	2,000.00	4.0
PEREGRINE	030	TXRH	S	13,300	9.36	n/a	n/a	532.00	4.0
PEREGRINE	030	UEIC	S	4,100	24.27	n/a	n/a	164.00	4.0
PEREGRINE	030	ULTI	S	5,500	27.74	n/a	n/a	220.00	4.0
OGORMAN	046	URYM	B	10	0.95	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	VC	B	20,000	3.60	n/a	16-Jan	1,200.00	6.0
BUCK CAPITAL MGMT	001	VFC	B	10,000	67.85	n/a	n/a	400.00	4.0
PEREGRINE	030	VITA	S	29,700	3.11	n/a	n/a	1,188.00	4.0
PEREGRINE	030	VSEA	S	3,700	30.71	n/a	n/a	148.00	4.0
CATAPULT	040	WL	SS	30,000	29.25	n/a	n/a	1,500.00	5.0
GLG PARTNERS	034	WMS	S	10,000	33.60	n/a	n/a	500.00	5.0
FIRST NY SEC/CHRIS	041	WMT	B	10,000	47.66	20-Nov	11-Jan	600.00	6.0
PZENA INV ADV	044	WMT	S	26,975	47.94	20-Nov	11-Jan	1,079.00	4.0
CENTURION GLOBAL MGMT LL	041	WYNN	B	1,800	101.49	n/a	n/a	54.00	3.0
MAGUIRE	090	XRT	B	7,500	29.85	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MCERLEAN	RR2	XRT	B	500	29.69	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	YUM	B	9,800	34.69	n/a	24-Apr	294.00	3.0
PEREGRINE	030	ZGEN	S	8,800	12.40	n/a	n/a	352.00	4.0
BUCK CAPITAL MGMT	001	ZQK	B	325,000	7.04	n/a	16-Jan	13,000.00	4.0
COUGAR TRADING	044	ZQK	B	60,000	6.81	n/a	16-Jan	3,000.00	5.0
TOTAL (Trade Date):							145,378.26		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	01/16	EMP ERROR (EMP ERROR)	099	C	B	5,000	26.47	16-Jan	16-Jan	0.00 0.0
CRT	01/16	EMP ERROR (EMP ERROR)	099	C	S	5,000	26.66	16-Jan	16-Jan	0.00 0.0
CRT	01/16	MKT MAKER (MKT MAKER)	099	INTC	B	100	20.00	n/a	n/a	0.00 0.0
AO,CXL	01/10	DIAMONDBACK CAP MGMT (DIAMMSMAIN)	232	TXT	B	10,000	61.79	n/a	n/a	500.00 5.0
AO,	01/10	DIAMONDBACK CAP MGMT (DIAMMSMAIN)	232	TXT	B	10,000	61.69	n/a	n/a	500.00 5.0
CRT	01/16	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	ZQK	B	200,000	6.62	n/a	16-Jan	8000.00 4.0
TOTAL (AO, CXL, CRT) :										8,000.00
TOTAL (Trade Date) :										145,378.26
TOTAL (AO, CXL, CRT) :										8,000.00
TOTAL (RUN DATE) / NFS:										153,378.26

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION