

Daily Compliance Activity Report Trade Date: 07/31/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
виск	015	ABT	В	500	56.51	n/a	22-Apr	0.00	0.0
THIRD COAST CAPITAL	035	ACXM	SS	20,000	13.19	n/a	n/a	1,000.00	5.0
SINCLAIR	031	ADWJJ	s	5	1.30	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	AEO	В	25 , 000	14.08	6-Mar	16-Jul	1,250.00	5.0
REINHART & MAHONEY	031	AFSI	В	14,800	14.46	n/a	n/a	740.00	5.0
MKT MAKER	099	AFSI	В	50	14.46	n/a	n/a	2.50	5.0
BUCK CAPITAL MGMT	001	ANF	s	60,000	55.70	10-Jul	28-Jul	2,400.00	4.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	25 , 000	32.74	28-Apr	11-Jul	1,250.00	5.0
LITESPEED	028	AXL	В	125,000	5.92	n/a	n/a	6,250.00	5.0
виск	015	ВАСКН	В	10	1.40	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	BAC	S	20,000	33.50	n/a	29-Jul	1,000.00	5.0
RHO CAPITAL MGMT	041	BAC	S	500	33.11	n/a	29-Jul	20.00	4.0
BUCK	015	BAC	S	1,000	32.81	n/a	29-Jul	0.00	0.0
1798 CAPITAL MGMT	040	BARE	SS	5,000	11.94	n/a	n/a	250.00	5.0
ZOLIDIS	011	BKRS	В	794	2.00	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	ВМҮ	S	250,000	21.22	n/a	n/a	12,500.00	5.0

Account	RR #	Symbol	B/S	Share	s Price	MRI	Research	Comm.	\$/Shr.	
BMO NESBITT BURNS	041	BWA	В	25,000	41.07	10-Jun	17-Jul	1,250.00	5.0	
METROPOLITAN CAPITAL	044	BWS	Ç	s 53,300	16.43	n/a	n/a	2,665.00	5.0	
1798 CAPITAL MGMT	040	BYI	В	15,000	32.57	n/a	n/a	750.00	5.0	
FRONTPOINT CHRIS C	041	CAT	Ç	SS 2,800	71.25	n/a	23-May	112.00	4.0	
1798 CAPITAL MGMT	040	CBRL	S	SS 15,000	24.50	n/a	n/a	750.00	5.0	
EFSTATHIOU	044	СНКНЈ	В	10	2.80	n/a	n/a	0.00	0.0	
SINCLAIR	031	СНКЈЈ	В	6	5.20	n/a	n/a	0.00	0.0	
MS PRINCIPAL STRATEGIES	041	CLMS	Ç	SS 25,000	20.22	18-Jul	22-Jul	1,250.00	5.0	
ENTRUST CAPITAL	040	СОН	В	153,300	25.58	30-Jul	30-Jul	7,665.00	5.0	
COUGAR TRADING	044	CPKI	В	10,000	12.46	10-Jul	10-Jul	500.00	5.0	
RHO CAPITAL MGMT	041	CPST	Ç.	SS 6,000	3.15	n/a	n/a	180.00	3.0	
STERLING JOHNSTON	035	CRR	В	20,000	56.47	5-Jun	31-Jul	800.00	4.0	
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WHITE PINE CAPITAL	030	С	В	9,250	18.90	19-Jun	31-Jul	462.50	5.0	
PRYLUCKI	092	С	\$	S 125	18.58	19-Jun	31-Jul	0.00	0.0	
PRYLUCKI	092	DDM	В	75	60.63	n/a	n/a	0.00	0.0	
	BMO NESBITT BURNS METROPOLITAN CAPITAL 1798 CAPITAL MGMT FRONTPOINT CHRIS C 1798 CAPITAL MGMT EFSTATHIOU SINCLAIR MS PRINCIPAL STRATEGIES ENTRUST CAPITAL COUGAR TRADING RHO CAPITAL MGMT STERLING JOHNSTON NEUBERGER BERMAN WHITE PINE CAPITAL PRYLUCKI	BMO NESBITT BURNS 041 METROPOLITAN CAPITAL 044 1798 CAPITAL MGMT 040 FRONTPOINT CHRIS C 041 1798 CAPITAL MGMT 040 EFSTATHIOU 044 SINCLAIR 031 MS PRINCIPAL STRATEGIES 041 ENTRUST CAPITAL 040 COUGAR TRADING 044 RHO CAPITAL MGMT 041 STERLING JOHNSTON 035 NEUBERGER BERMAN 036 WHITE PINE CAPITAL 030 PRYLUCKI 092	BMO NESBITT BURNS 041 BWA METROPOLITAN CAPITAL 044 BWS 1798 CAPITAL MGMT 040 BYI FRONTPOINT CHRIS C 041 CAT 1798 CAPITAL MGMT 040 CBRL EFSTATHIOU 044 CHKHJ SINCLAIR 031 CHKJJ MS PRINCIPAL STRATEGIES 041 CLMS ENTRUST CAPITAL 040 COH COUGAR TRADING 044 CPKI RHO CAPITAL MGMT 041 CPST STERLING JOHNSTON 035 CRR NEUBERGER BERMAN 036 CVS WHITE PINE CAPITAL 030 C PRYLUCKI 0992 C	BMO NESBITT BURNS 041 BWA B METROPOLITAN CAPITAL 1798 CAPITAL MGMT 040 BYI B FRONTPOINT CHRIS C 041 CAT 1798 CAPITAL MGMT 040 CBRL EFSTATHIOU 044 CHKHJ B SINCLAIR 031 CHKJJ B MS PRINCIPAL STRATEGIES 041 CUMS ENTRUST CAPITAL 040 COH B COUGAR TRADING 044 CPKI B RHO CAPITAL MGMT 041 CPST STERLING JOHNSTON 035 CRR B NEUBERGER BERMAN 036 CVS B WHITE PINE CAPITAL 092 C	BMO NESBITT BURNS 041 BWA B 25,000 METROPOLITAN CAPITAL 044 BWS S 53,300 1798 CAPITAL MGMT 040 BYI B 15,000 FRONTPOINT CHRIS C 041 CAT SS 2,800 1798 CAPITAL MGMT 040 CBRL SS 15,000 EFSTATHIOU 044 CHKHJ B 10 SINCLAIR 031 CHKJJ B 6 MS PRINCIPAL STRATEGIES 041 CLMS SS 25,000 ENTRUST CAPITAL 040 COH B 153,300 COUGAR TRADING 044 CPKI B 10,000 RHO CAPITAL MGMT 041 CPST SS 6,000 STERLING JOHNSTON 035 CRR B 200,000 NEUBERGER BERMAN 036 CVS B 200,000 WHITE PINE CAPITAL 030 C B 9,250 PRYLUCKI 030 C B 9,2	BMO NESBITT BURNS 041 BWA B 25,000 41.07 METROPOLITAN CAPITAL 044 BWS S 53,300 16.43 1798 CAPITAL MGMT 040 BYI B 15,000 32.57 FRONTPOINT CHRIS C 041 CAT SS 2,800 71.25 1798 CAPITAL MGMT 040 CBRL SS 15,000 24.50 EFSTATHIOU 044 CHKHJ B 10 2.80 SINCLAIR 031 CHKJJ B 6 5.20 MS PRINCIPAL STRATEGIES 041 CLMS SS 25,000 20.22 ENTRUST CAPITAL 040 COH B 153,300 25.58 COUGAR TRADING 044 CPKI B 10,000 12.46 RHO CAPITAL MGMT 041 CPST SS 6,000 3.15 STERLING JOHNSTON 035 CRR B 20,000 56.47 NEUBERGER BERMAN 036 CVS B 200,000 37.61 WHITE PINE CAPITAL 030 C B 9,250 18.90 PRYLUCKI 092 C B 9,250 18.90	BMO NESBITT BURNS 041 BWA B 25,000 41.07 10-Jun METROPOLITAN CAPITAL 044 BWS S 53,300 16.43 n/a 1798 CAPITAL MGMT 040 BYI B 15,000 32.57 n/a FRONTPOINT CHRIS C 041 CAT SS 2,800 71.25 n/a 1798 CAPITAL MGMT 040 CBRL SS 15,000 24.50 n/a EFSTATHIOU 044 CHKHJ B 10 2.80 n/a SINCLAIR 031 CHKJJ B 6 5.20 n/a MS PRINCIPAL STRATEGIES 041 CLMS SS 25,000 20.22 18-Jul ENTRUST CAPITAL 040 COH B 153,300 25.58 30-Jul COUGAR TRADING 044 CPKI B 10,000 12.46 10-Jul RHO CAPITAL MGMT 041 CPST SS 6,000 3.15 n/a STERLING JOHNSTON 035 CRR B 20,000 56.47 5-Jun NEUBERGER BERMAN 036 CVS B 200,000 37.61 n/a WHITE PINE CAPITAL 030 C B 9,250 18.90 19-Jun PRYLUCKI 092 C B 9,250 18.90 19-Jun PRYLUCKI	BMO NESBITT BURNS 041 BWA B 25,000 41.07 10-Jun 17-Jul METROPOLITAN CAPITAL 044 BWS \$ 53,300 16.43 n/a n/a 1798 CAPITAL MGMT 040 BYI B 15,000 32.57 n/a n/a FRONTPOINT CHRIS C 041 CAT SS 2,800 71.25 n/a 23-May 1798 CAPITAL MGMT 040 CBRL SS 15,000 24.50 n/a n/a EFSTATHIOU 044 CRKHJ B 10 2.80 n/a n/a MS PRINCIPAL STRATEGIES 041 CLMS SS 25,000 20.22 18-Jul 22-Jul ENTRUST CAPITAL 040 COH B 153,300 25.58 30-Jul 30-Jul COUGAR TRADING 044 CPKI B 10,000 12.46 10-Jul 10-Jul RHO CAPITAL MGMT 041 CPST SS 6,000 3.15 n/a n/a	BMO NESBITT BURNS 041 BWA B 25,000 41.07 10-Jun 17-Jul 1,250.00 METROPOLITAN CAPITAL 044 BWS S 53,300 16.43 n/a n/a 2,665.00 1798 CAPITAL MGMT 040 BYI B 15,000 32.57 n/a n/a 750.00 FRONTPOINT CHRIS C 041 CAT SS 2,800 71.25 n/a 23-May 112.00 1798 CAPITAL MGMT 040 CBRL SS 15,000 24.50 n/a n/a 750.00 EFSTATHIOU 044 CHKHJ B 10 2.80 n/a n/a 0.00 SINCLAIR 031 CHKJJ B 6 5.20 n/a n/a 0.00 MS PRINCIPAL STRATEGIES 041 CLMS SS 25,000 20.22 18-Jul 22-Jul 1,250.00 ENTRUST CAPITAL 040 COH B 153,300 25.58 30-Jul 30-Jul 7	EMO NESBITT BURNS 041 BWA B 25,000 41.07 10-Jun 17-Ju1 1,250.00 5.0 METROPOLITAN CAPITAL 044 BWS S 53,300 16.43 n/a n/a 2,665.00 5.0 1798 CAPITAL MCMT 040 BYI B 15,000 32.57 n/a n/a n/a 750.00 5.0 FRONTPOINT CHRIS C 041 CAT SS 2,800 71.25 n/a 23-May 112.00 4.0 1798 CAPITAL MCMT 040 CBRL SS 15,000 24.50 n/a n/a n/a 750.00 5.0 EFSTATHIOU 044 CHKHJ B 10 2.80 n/a n/a 0.00 0.0 SINCLAIR 031 CHKJJ B 6 5.20 n/a n/a n/a 0.00 0.0 MS PRINCIPAL STRATEGIES 041 CLMS SS 25,000 20.22 18-Ju1 22-Ju1 1,250.00 5.0 ENTRUST CAPITAL 040 COH B 153,300 25.58 30-Ju1 30-Ju1 7,665.00 5.0 COUGAR TRADING 044 CPKI B 10,000 12.46 10-Ju1 10-Ju1 500.00 5.0 RHO CAPITAL MGMT 041 CPST SS 6,000 3.15 n/a n/a n/a 180.00 3.0 STERLING JOHNSTON 035 CRR B 20,000 56.47 5-Jun 31-Ju1 800.00 4.0 NEUBERGER BERMAN 036 CVS B 200,000 37.61 n/a n/a 9,000.00 4.5 WHITE PINE CAPITAL 030 C B 9,250 18.90 19-Jun 31-Ju1 462.50 5.0 ENTUCKI CAPITAL 030 C B 9,250 18.90 19-Jun 31-Ju1 462.50 5.0 ENTUCKI CAPITAL 030 C B 9,250 18.90 19-Jun 31-Ju1 0.00 0.0

Account	RR #	Symbol	B/S	}	Shares	Price	MRI	Research	Comm.	¢/Shr.	_
STADIA CAPITAL	035	DECK	В		10,000	113.50	n/a	n/a	400.00	4.0	
REINHART & MAHONEY	031	EDU		S	13,050	70.94	n/a	n/a	652.50	5.0	
CATTANI	041	EL		S	200	44.50	7-May	24-Jun	0.00	0.0	
THIRD COAST CAPITAL	035	ES	В		11,000	21.00	n/a	n/a	550.00	5.0	
BUCK CAPITAL MGMT	001	FL	В		130,000	15.08	2-Apr	9-Jul	5,200.00	4.0	
DSG CAPITAL	225	FL		SS	10,000	14.75	2-Apr	9-Jul	500.00	5.0	
виск	015	FOLD		S	1,500	15.62	n/a	n/a	0.00	0.0	
BUCK CAPITAL MGMT	001	GES	В		10,000	31.57	n/a	n/a	400.00	4.0	
BUCK CAPITAL MGMT	001	GIL		S	25,000	25.41	30- A pr	18-Jul	1,000.00	4.0	
MCCARTAN	028	GMTB	В		100	0.73	n/a	n/a	0.00	0.0	
WAGONHOUND/NIC	048	GM		SS	29,735	11.40	24-Jun	31-Jul	1,189.40	4.0	
TRELLUS PARTNERS LP	028	GPI		SS	20,000	19.90	10-Jun	30-Jul	1,000.00	5.0	
LEDDY	035	GSI		S	765	15.52	n/a	n/a	0.00	0.0	
BALYASNY ASSET MGMT	044	GYMB	В		120,000	37.60	11-Jul	11-Jul	6,000.00	5.0	
COUGAR TRADING	044	GYMB	В		10,000	37.06	11-Jul	11-Jul	500.00	5.0	
COUGAR TRADING	044	GYMB		S	10,000	37.59	11-Jul	11-Jul	500.00	5.0	
COLUMBUS CIRCLE	036	HES		S	47,000	102.72	n/a	n/a	1,880.00	4.0	

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WHEELER	800	нстх	В	15,000	7.00	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	ICON	В	3,000	12.00	n/a	n/a	180.00	6.0
THIRD COAST CAPITAL	035	ICON	В	20,000	12.11	n/a	n/a	1,000.00	5.0
ADALY INV MGMT	067	IP	S	22,500	27.11	16-Jul	16-Jul	1,125.00	5.0
BMO NESBITT BURNS	041	IP	SS	100,000	26.90	16-Jul	16-Jul	5,000.00	5.0
CATAPULT	040	IP	S	42,362	27.04	16-Jul	16-Jul	2,118.10	5.0
SOLARIS INV MGMT	029	ITW	В	34,000	47.32	20-May	23-May	1,700.00	5.0
BUCK CAPITAL MGMT	001	JCG	S	60,000	28.62	n/a	30-May	2,400.00	4.0
JP MORGAN VENTURES	046	JCP	В	40,000	31.11	n/a	11-Jul	2,000.00	5.0
BUCK CAPITAL MGMT	001	KSS	s	80,000	41.63	n/a	17-Jul	3,200.00	4.0
COUGAR TRADING	044	KSS	SS	5,000	41.50	n/a	17-Jul	250.00	5.0
JP MORGAN VENTURES	046	KSS	SS	15,000	42.10	n/a	17-Jul	750.00	5.0
ZOLIDIS	011	KSWS	SS	3,000	15.40	n/a	13-Mar	0.00	0.0
CR INTRINIC INVESTMENT	044	LLY	В	50,000	47.33	n/a	n/a	2,500.00	5.0
STADIA CAPITAL	035	LO	S	20,000	67.80	n/a	n/a	800.00	4.0
BRIGADE CAPITAL MGMT	029	MER	В	75,000	26.18	24-Jun	29-Jul	3,750.00	5.0
PRYLUCKI	092	MER	S	75	26.78	24-Jun	29-Jul	0.00	0.0
WHEELER	008	NLCAE	S	50	2.25	n/a	n/a	0.00	0.0

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Account	RR #	Symbol	в/:	S	Shares	Price	MRI	Research	Comm.	:/Shr.	
SYSTEMATIC FINANCIAL MGT	035	NNN	В		93 , 700	21.26	n/a	n/a	3,513.75	3.8	
HIGHBRIDGE/MS OLDS	041	NUE		SS	10,501	58.91	n/a	n/a	420.04	4.0	
EGS ASSOCIATES LP	091	PAG		SS	3,000	13.45	10-Jun	17-Jul	180.00	6.0	
LEDDY	035	PCL		s	500	49.42	n/a	11-Jul	0.00	0.0	
EGS ASSOCIATES LP	091	PNRA	В		4,000	50.43	n/a	23-Jul	240.00	6.0	
RK CAPITAL MGMT LLC	045	POL		SS	30,000	7.59	n/a	n/a	1,200.00	4.0	
RHO CAPITAL MGMT	041	PWAV	В		6 , 000	4.10	n/a	n/a	180.00	3.0	
COUGAR TRADING	044	PZN		S	25,000	9.07	30-Jul	30-Jul	1,250.00	5.0	
BALYASNY ASSET MGMT	044	ROST	В		25 , 000	38.90	n/a	n/a	1,250.00	5.0	
MERRILL ASSET	032	RSH	В		87 , 600	16.70	n/a	n/a	3,942.00	4.5	
HIGHBRIDGE/MS OLDS	041	RS		SS	50,000	63.42	n/a	n/a	2,000.00	4.0	
BRENCOURT	028	SIRI		SS	200,000	1.60	n/a	n/a	2,000.00	1.0	
BUCK CAPITAL MGMT	001	SKS		SS	40,000	10.23	n/a	n/a	1,600.00	4.0	
BUCK CAPITAL MGMT	001	SKX	В		10,000	18.98	n/a	13-Mar	400.00	4.0	
PRYLUCKI	092	SSCC		s	525	5.74	30-Jul	30-Jul	0.00	0.0	
	SYSTEMATIC FINANCIAL MGT HIGHBRIDGE/MS OLDS EGS ASSOCIATES LP LEDDY EGS ASSOCIATES LP RK CAPITAL MGMT LLC RHO CAPITAL MGMT COUGAR TRADING BALYASNY ASSET MGMT MERRILL ASSET HIGHBRIDGE/MS OLDS BRENCOURT BUCK CAPITAL MGMT BUCK CAPITAL MGMT	SYSTEMATIC FINANCIAL MGT 035 HIGHBRIDGE/MS OLDS 041 EGS ASSOCIATES LP 091 LEDDY 035 EGS ASSOCIATES LP 091 RK CAPITAL MGMT LLC 045 RHO CAPITAL MGMT LLC 045 RHO CAPITAL MGMT 041 COUGAR TRADING 044 MERRILL ASSET MGMT 044 MERRILL ASSET 032 HIGHBRIDGE/MS OLDS 041 BRENCOURT 028 BUCK CAPITAL MGMT 001 BUCK CAPITAL MGMT 001	SYSTEMATIC FINANCIAL MGT 035 NNN HIGHBRIDGE/MS OLDS 041 NUE EGS ASSOCIATES LP 091 PAG LEDDY 035 PCL EGS ASSOCIATES LP 091 PNRA RK CAPITAL MGMT LLC 045 POL RHO CAPITAL MGMT 041 PWAV COUGAR TRADING 044 PZN BALYASNY ASSET MGMT 044 ROST MERRILL ASSET 032 RSH HIGHBRIDGE/MS OLDS 041 RS BRENCOURT 028 SIRI BUCK CAPITAL MGMT 001 SKS BUCK CAPITAL MGMT 001 SKX	SYSTEMATIC FINANCIAL MGT 035 NNN B HIGHBRIDGE/MS OLDS 041 NUE EGS ASSOCIATES LP 091 PAG LEDDY 035 PCL EGS ASSOCIATES LP 091 PNRA B RK CAPITAL MGMT LLC 045 POL RHO CAPITAL MGMT 041 PWAV B COUGAR TRADING 044 PZN BALYASNY ASSET MGMT 044 ROST B MERRILL ASSET 032 RSH B HIGHBRIDGE/MS OLDS 041 RS BRENCOURT 028 SIRI BUCK CAPITAL MGMT 001 SKX B	SYSTEMATIC FINANCIAL MGT 035 NNN B HIGHBRIDGE/MS OLDS 041 NUE SS EGS ASSOCIATES LP 091 PAG S EGS ASSOCIATES LP 091 PNRA B RK CAPITAL MGMT LLC 045 POL SS RHO CAPITAL MGMT 041 PWAV B COUGAR TRADING 044 PZN S BALYASNY ASSET MGMT 044 ROST B MERRILL ASSET 032 RSH B HIGHBRIDGE/MS OLDS 041 RS SS BRENCOURT 028 SIRI SS BUCK CAPITAL MGMT 001 SKS SS BUCK CAPITAL MGMT 001 SKS SS	SYSTEMATIC FINANCIAL MGT 035 NNN B 93,700 HIGHBRIDGE/MS OLDS 041 NUE SS 10,501 EGS ASSOCIATES LP 091 PAG SS 3,000 LEDDY 035 PCL S 500 EGS ASSOCIATES LP 091 PNRA B 4,000 RK CAPITAL MGMT LLC 045 POL SS 30,000 RHO CAPITAL MGMT 041 PWAV B 6,000 COUGAR TRADING 044 PZN S 25,000 MERRILL ASSET 032 RSH B 87,600 HIGHBRIDGE/MS OLDS 041 RS SS 50,000 BRENCOURT 028 SIRI SS 50,000 BUCK CAPITAL MGMT 001 SKS SS 40,000 BUCK CAPITAL MGMT 001 SKX B 10,000	SYSTEMATIC FINANCIAL MGT 035 NNN B 93,700 21.26 HIGHBRIDGE/MS OLDS 041 NUE SS 10,501 58.91 EGS ASSOCIATES LP 091 PAG SS 3,000 13.45 LEDDY 035 PCL S 500 49.42 EGS ASSOCIATES LP 091 PNRA B 4,000 50.43 RK CAPITAL MGMT LLC 045 POL SS 30,000 7.59 RHO CAPITAL MGMT 041 PWAV B 6,000 4.10 COUGAR TRADING 044 PZN S 25,000 9.07 BALYASNY ASSET MGMT 044 ROST B 25,000 38.90 MERRILL ASSET 032 RSH B 87,600 16.70 HIGHBRIDGE/MS OLDS 041 RS SS 50,000 63.42 BRENCOURT 028 SIRI SS 200,000 1.60 BUCK CAPITAL MGMT 001 SKX B 10,000 18.98	SYSTEMATIC FINANCIAL MGT 035 NNN B 93,700 21.26 n/a HIGHBRIDGE/MS OLDS 041 NUE SS 10,501 58.91 n/a EGS ASSOCIATES LP 091 PAG SS 3,000 13.45 10-Jun LEDDY 035 PCL S 500 49.42 n/a EGS ASSOCIATES LP 091 PNRA B 4,000 50.43 n/a RK CAPITAL MGMT LLC 045 POL SS 30,000 7.59 n/a RHO CAPITAL MGMT 041 PWAV B 6,000 4.10 n/a COUGAR TRADING 044 PZN S 25,000 9.07 30-Jul BALYASNY ASSET MGMT 044 ROST B 25,000 38.90 n/a MERRILL ASSET 032 RSH B 87,600 16.70 n/a HIGHBRIDGE/MS OLDS 041 RS SS 50,000 63.42 n/a BRENCOURT 028 SIRI SS 200,000 1.60 n/a BUCK CAPITAL MGMT 001 SKS SS 40,000 10.23 n/a BUCK CAPITAL MGMT 001 SKS B 10,000 18.98 n/a	SYSTEMATIC FINANCIAL MGT 0.35 NNN B 93,700 21.26 n/a n/a HIGHBRIDGE/MS OLDS 041 NUE SS 10,501 58.91 n/a n/a EGS ASSOCIATES LP 091 PAG SS 3,000 13.45 10—Jun 17—Jul LEDDY 0.35 PCL S 5.00 49.42 n/a 11—Jul EGS ASSOCIATES LP 091 PNRA B 4,000 50.43 n/a 23—Jul RK CAPITAL MGMT LLC 0.45 POL SS 30,000 7.59 n/a n/a RHO CAPITAL MGMT 0.41 PWAV B 6,000 4.10 n/a n/a COUGAR TRADING 0.44 PZN S 25,000 9.07 30—Jul 30—Jul BALYASNY ASSET MGMT 0.44 ROST B 25,000 38.90 n/a n/a MERRILL ASSET 0.32 RSH B 87,600 16.70 n/a n/a HIGHBRIDGE/MS OLDS 0.41 RS SS 50,000 63.42 n/a n/a BRENCOURT 0.28 SIRI SS 200,000 1.60 n/a n/a BUCK CAPITAL MGMT 0.01 SKX B 10,000 18.98 n/a 13—Max	SYSTEMATIC FINANCIAL MGT 035 NNN B 93,700 21.26 n/a n/a 3,513.75 HIGHBRIDGE/MS OLDS 041 NUE SS 10,501 58.91 n/a n/a 420.04 EGS ASSOCIATES LP 091 PAG SS 3,000 13.45 10-Jun 17-Jul 180.00 LEDDY 035 PCL S 500 49.42 n/a 11-Jul 0.00 EGS ASSOCIATES LP 091 PNRA B 4,000 50.43 n/a 23-Jul 240.00 RK CAPITAL MGMT LLC 045 POL SS 30,000 7.59 n/a n/a 1,200.00 RHO CAPITAL MGMT 041 PWAV B 6,000 4.10 n/a n/a 180.00 COUGAR TRADING 044 PZN S 25,000 38.90 n/a n/a 1,250.00 MERRILL ASSET 032 RSH B 87,600 16.70 n/a n/a 2,000.00 <th>SYSTEMATIC FINANCIAL MGT 035 NNN B 93,700 21.26 n/a n/a 3,513.75 3.8 HIGHBRIDGE/MS OLDS 041 NUE SS 10,501 58.91 n/a n/a 1420.04 4.0 EGS ASSOCIATES LP 091 PAG SS 3,000 13.45 10-Jun 17-Jul 180.00 6.0 LEDDY 035 PCL S 500 49.42 n/a 11-Jul 0.00 0.0 EGS ASSOCIATES LP 091 PNRA B 4,000 50.43 n/a 23-Jul 240.00 6.0 RK CAPITAL MGMT LLC 045 POL SS 30,000 7.59 n/a n/a 1,200.00 4.0 RHO CAPITAL MGMT 041 PWAV B 6,000 4.10 n/a n/a n/a 180.00 5.0 BALYASNY ASSET MGMT 044 ROST B 25,000 99.07 30-Jul 30-Jul 1,250.00 5.0 MERRILL ASSET 032 RSH B 87,600 16.70 n/a n/a n/a 1,250.00 4.5 HIGHBRIDGE/MS OLDS 041 RS SS 50,000 63.42 n/a n/a 1,200.00 4.5 BRENCOURT 028 SIRI SS 50,000 63.42 n/a n/a 2,000.00 1.0 BUCK CAPITAL MGMT 01 SKS SS 40,000 10.23 n/a n/a n/a 1,600.00 4.0 BUCK CAPITAL MGMT 01 SKS SS 40,000 18.98 n/a 13-Mar 400.00 4.0</th>	SYSTEMATIC FINANCIAL MGT 035 NNN B 93,700 21.26 n/a n/a 3,513.75 3.8 HIGHBRIDGE/MS OLDS 041 NUE SS 10,501 58.91 n/a n/a 1420.04 4.0 EGS ASSOCIATES LP 091 PAG SS 3,000 13.45 10-Jun 17-Jul 180.00 6.0 LEDDY 035 PCL S 500 49.42 n/a 11-Jul 0.00 0.0 EGS ASSOCIATES LP 091 PNRA B 4,000 50.43 n/a 23-Jul 240.00 6.0 RK CAPITAL MGMT LLC 045 POL SS 30,000 7.59 n/a n/a 1,200.00 4.0 RHO CAPITAL MGMT 041 PWAV B 6,000 4.10 n/a n/a n/a 180.00 5.0 BALYASNY ASSET MGMT 044 ROST B 25,000 99.07 30-Jul 30-Jul 1,250.00 5.0 MERRILL ASSET 032 RSH B 87,600 16.70 n/a n/a n/a 1,250.00 4.5 HIGHBRIDGE/MS OLDS 041 RS SS 50,000 63.42 n/a n/a 1,200.00 4.5 BRENCOURT 028 SIRI SS 50,000 63.42 n/a n/a 2,000.00 1.0 BUCK CAPITAL MGMT 01 SKS SS 40,000 10.23 n/a n/a n/a 1,600.00 4.0 BUCK CAPITAL MGMT 01 SKS SS 40,000 18.98 n/a 13-Mar 400.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
COUGAR TRADING	044	SSO	В	5,000	61.30	n/a	n/a	250.00	5.0	
TRELLUS PARTNERS LP	028	SUP	SS	10,000	17.22	25-Jul	28-Jul	500.00	5.0	
REINHART & MAHONEY	031	SXE	S	31,000	31.71	n/a	n/a	1,550.00	5.0	
1798 CAPITAL MGMT	040	TAP	S	10,000	53.98	n/a	n/a	500.00	5.0	
1798 CAPITAL MGMT	040	TIF	В	15,000	38.18	n/a	2-Jun	750.00	5.0	
BMO NESBITT BURNS	041	TIN	SS	20,000	15.98	29-Apr	30-Jul	1,000.00	5.0	
MAGUIRE	039	TIN	S	600	16.29	29-Apr	30-Jul	0.00	0.0	
BUCK CAPITAL MGMT	001	TJX	В	20,000	34.39	n/a	11-Jul	800.00	4.0	
JP MORGAN VENTURES	046	TJX	C	25,000	34.07	n/a	11-Jul	1,250.00	5.0	
PRINCIPLE CAPITAL	040	TPX	S	129,100	9.61	n/a	n/a	2,582.00	2.0	
BUCK	015	TRW	С	1,000	18.96	n/a	n/a	0.00	0.0	
MS PRINCIPAL STRATEGIES	041	TWC	S	75,000	28.30	n/a	4-Jan	3,750.00	5.0	
PILOT ADV	038	UFPI	В	10,000	27.07	n/a	n/a	400.00	4.0	
VISIUM/BALY HOWARD	038	USTR	В	10,000	38.49	n/a	27-Jul	500.00	5.0	
PRYLUCKI	092	UYG	В	275	21.87	n/a	n/a	0.00	0.0	
REINHART & MAHONEY	031	VSEA	В	30,930	29.83	n/a	n/a	1,546.50	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	/Shr.
MILLENIUM PARTNERS	028	VZ	SS	50,000	34.28	n/a	4-Jan	2,500.00	5.0
RHO CAPITAL MGMT	041	WB	S	900	17.64	n/a	n/a	36.00	4.0
CHART WELL ADV	028	WDR	S	172,676	33.21	15-Jul	29-Jul	6,907.04	4.0
LEDDY	035	WM	В	2,100	5.59	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	В	70,000	42.29	13-May	18-Jul	2,800.00	4.0
EGS ASSOCIATES LP	091	WRC	В	3,000	42.80	13-May	18-Jul	180.00	6.0
JPMSI/TOM LEDDY	035	WRC	В	15,000	42.02	13-May	18-Jul	750.00	5.0
WHEELER	800	WRLS	В	17,700	3.10	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	WWW	SS	15,000	26.84	n/a	13-Mar	600.00	4.0
1798 CAPITAL MGMT	040	YUM	В	5,000	36.16	17-Jul	22-Jul	250.00	5.0

TOTAL (Trade Date): 146,169.33

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	07/30	COLUMBUS CIRCLE (COLC4400)	036	AVP	В	153,414	42.86	31-Jul	31-Jul	6136.56	4.0
AO, CRT	07/30	MORRISON/TLB/BAYPOINT (MORRCONTLB)	040	BEAV	В	2,000	25.35	n/a	n/a	100.00	5.0
AO,CXL	07/30	MORRISON/TLB/BAYPOINT (MORROLDAC)	040	BEAV	В	2,000	25.35	n/a	n/a	100.00	5.0
AO,CXL	07/30	MOORE CAPITAL (MOORMS)	036	EMC	SS	50,000	14.60	n/a	n/a	2000.00	4.0
AO,CRT	07/30	MOORE CAPITAL (MOORMS)	036	EMC	SS	50,000	14.60	n/a	n/a	1000.00	2.0
AO,CXL	07/30	MOORE CAPITAL (MOORMS)	036	ES	S	25,000	20.76	n/a	n/a	1000.00	4.0
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AO, CRT	07/30	MOORE CAPITAL (MOORMS)	036	ES	S	25 , 000	20.76	n/a	n/a	500.00	2.0
AO,CXL	07/25	ADALY INV MGMT (ADALBMOADA)	067	IP	В	4,300	23.32	16-Jul	16-Jul	193.50	4.5
AO, CRT	07/25	ADALY INV MGMT (ADALOPPATU)	067	IP	В	4,300	23.32	16-Jul	16-Jul	193.50	4.5
AO,	07/30	SOLARIS INV MGMT (SOLA4)	029	ITW	В	900	47.53	20-May	23-May	45.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA800)	029	ITW	В	800	47.53	20-May	23-May	40.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA512)	029	ITW	В	16,600	47.53	20-May	23-May	830.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA799)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA524)	029	ITW	В	1,600	47.53	20-May	23-May	80.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA790)	029	ITW	В	1,600	47.53	20-May	23-May	80.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA495)	029	ITW	В	1,800	47.53	20-May	23-May	90.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA782)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA703)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA934)	029	ITW	В	1,500	47.53	20-May	23-May	75.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA935)	029	ITW	В	4,400	47.53	20-May	23-May	220.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA694)	029	ITW	В	700	47.53	20-May	23-May	35.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA525)	029	ITW	В	1,000	47.53	20-May	23-May	50.00	5.0
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Туре	Date	Account		RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	07/30	SOLARIS INV MGMT	(SOLA533)	029	ITW	В	800	47.53	20-May	23-May	40.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA614)	029	ITW	В	2,000	47.53	20-May	23-May	100.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA78)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA429)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA391)	029	ITW	В	1,300	47.53	20-May	23-May	65.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA67)	029	ITW	В	1,300	47.53	20-May	23-May	65.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA49)	029	ITW	В	500	47.53	20-May	23-May	25.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA453)	029	ITW	В	1,600	47.53	20-May	23-May	80.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA1)	029	ITW	В	6,300	47.53	20-May	23-May	315.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA30)	029	ITW	В	100	47.53	20-May	23-May	5.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA1352)	029	ITW	В	1,200	47.53	20-May	23-May	60.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA48)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA1355)	029	ITW	В	600	47.53	20-May	23-May	30.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA37)	029	ITW	В	1,800	47.53	20-May	23-May	90.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA509)	029	ITW	В	700	47.53	20-May	23-May	35.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA26)	029	ITW	В	1,000	47.53	20-May	23-May	50.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA1248)	029	ITW	В	2,200	47.53	20-May	23-May	110.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA23)	029	ITW	В	300	47.53	20-May	23-May	15.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA1277)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA21)	029	ITW	В	500	47.53	20-May	23-May	25.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA1141)	029	ITW	В	600	47.53	20-May	23-May	30.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA19)	029	ITW	В	500	47.53	20-May	23-May	25.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA1138)	029	ITW	В	2,900	47.53	20-May	23-May	145.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA16)	029	ITW	В	3,100	47.53	20-May	23-May	155.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA15)	029	ITW	В	700	47.53	20-May	23-May	35.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA14)	029	ITW	В	2,100	47.53	20-May	23-May	105.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA443)	029	ITW	В	2,300	47.53	20-May	23-May	115.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA92)	029	ITW	В	200	47.53	20-May	23-May	10.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA970)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT	(SOLA10)	029	ITW	В	1,200	47.53	20-May	23-May	60.00	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	07/30	SOLARIS INV MGMT (SOLA872)	029	ITW	В	1,000	47.53	20-May	23-May	50.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA3)	029	ITW	В	3,100	47.53	20-May	23-May	155.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA848)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA6)	029	ITW	В	500	47.53	20-May	23-May	25.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA847)	029	ITW	В	400	47.53	20-May	23-May	20.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA2)	029	ITW	В	2,900	47.53	20-May	23-May	145.00	5.0
AO,	07/30	SOLARIS INV MGMT (SOLA829)	029	ITW	В	1,800	47.53	20-May	23-May	90.00	5.0
AO,CXL	07/30	SOROS (SOROGS004)	036	IWM	В	1,000,000	71.82	n/a	n/a	30000.00	3.0
AO, CRT	07/30	SOROS (SOROGS004)	036	IWM	В	1,000,000	71.82	n/a	n/a	30000.00	3.0
AO, CRT	07/30	WHEELER (WHEELER)	008	MMMAO	S	60	2.90	n/a	n/a	0.00	0.0
AO, CXL	07/30	WHEELER (WHEELER)	800	MMMAO	S	60	2.90	n/a	n/a	0.00	0.0
AO, CRT	07/30	MORRISON/TLB/BAYPOINT (MORRCONTLB)	040	WMT	В	4,000	58.38	30-Jun	11-Jul	200.00	5.0
AO,CXL	07/30	MORRISON/TLB/BAYPOINT (MORROLDAC)	040	WMT	В	4,000	58.38	30-Jun	11-Jul	200.00	5.0

TOTAL (Trade Date):	146,169.33
TOTAL (AO, CXL, CRT):	8,636.56

TOTAL (RUN DATE) / NFS: 154,805.89

TOTAL (AO, CXL, CRT):

8,636.56

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION