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Daily Compliance Activity Report Trade Date: 01/20/2011

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares Price	MRI Research	Comm.¢/Shr.
PATTEN & PATTEN	025	AAPL	S	830 332.63	n/a n/a	41.50 5.0
CAVU CAP ADV	028	ANF	S	15,000 51.00	n/a 10-Jan	750.00 5.0
BUCK CAPITAL MGMT	001	ANN	В	30,000 22.57	n/a n/a	900.00 3.0
LAGRANGE CAP ADV	048	AN	SS	15,000 29.09	n/a 7-Jan	750.00 5.0
BALYASNY ASSET MGMT	Γ 044	ASNA	S	50,000 27.05	n/a n/a	2,500.00 5.0
LAGRANGE CAP ADV	048	AXL	В	15,000 14.59	n/a 7-Jan	750.00 5.0
BUCK CAPITAL MGMT	001	BID	В	10,000 41.57	n/a n/a	300.00 3.0
CHARTER OAK	033	BUD	В	5,000 55.83	n/a 14-Jan	250.00 5.0
CAVU CAP ADV	028	BYD	S	10,000 11.35	n/a n/a	500.00 5.0
1798 CAP/LOMBARD AS	SSET 040	CAT	S	21,000 94.35	n/a 22-0ct	1,050.00 5.0
BUCK CAPITAL MGMT	001	СОН	В	20,000 53.53	n/a 20-Jan	600.00 3.0
Ó Nilsa Vazquez		CREE	В	100 52.0790	n/a n/a	0.00 0.0
SONTERRA CAP	041	CVBF	В	30,000 8.36	n/a n/a	900.00 3.0
BUCK CAPITAL MGMT	001	DDS	S	37,500 43.15	n/a n/a	1,125.00 3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
CASTLEROCK	040	DDS	В	49,182	41.97	n/a	n/a	1,967.28	4.0	
ARCHON CAPITAL	035	DRIV	В	10,000	34.41	n/a	n/a	300.00	3.0	
CAVU CAP ADV	028	DRI	В	15,000	46.86	n/a	7-Jan	750.00	5.0	
WEDBUSH/PACIFIC GROWTH EQ	TY LLC08	4 ES	S	15,	000 5.47		n/a	n/a 60	0.00	4.0
NEUBERGER BERMAN	036	EXPO	В	1,700	36.36	n/a	n/a	68.00	4.0	
SAC CRIB	044	FDX	S	25,000	93.54	n/a	n/a	875.00	3.5	
BASIX	033	FL	В	15,000	18.34	n/a	22-Dec	450.00	3.0	
CIRCLE T/SES PART	035	F	В	40,000	17.62	n/a	7-Jan	1,600.00	4.0	
BUCK CAPITAL MGMT	001	GES	S	30,000	42.70	n/a	20-Jan	900.00	3.0	
NEWLAND CAPITAL MGT	025	GPI	В	30,844	38.78	n/a	7-Jan	1,233.76	4.0	
NEUBERGER BERMAN	036	HIBB	В	13,600	33.51	n/a	n/a	544.00	4.0	
CAVU CAP ADV	028	HST	S	15,000	18.10	n/a	20-Jan	750.00	5.0	
SIGMA CAP BOBBY	044	IWM	SS	20,000	78.10	n/a	n/a	700.00	3.5	
LANE GATE PARTNERS LLC	012	JCI	В	1,500	38.98	n/a	20-Jan	45.00	3.0	
BALYASNY ASSET MGMT	044	JCP	SS	25,000	30.38	n/a	7-Jan	1,250.00	5.0	
BUCK CAPITAL MGMT	001	KSS	S	20,000	51.42	n/a	7-Jan	600.00	3.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
SANDLER O'NEILL ASSET	031	LAZ	S	10,000	42.00	n/a	14-Jan	500.00	5.0
CATAPULT	040	LEAP	S	75 , 000	13.15	n/a	n/a	3,000.00	4.0
CRAMER ROSENTHAL/SCOTT	012	MAN	S	6 , 070	64.80	n/a	n/a	242.80	4.0
NEWLAND CAPITAL MGT	025	MDR	В	25 , 000	19.58	n/a	n/a	1,000.00	4.0
NEWLAND CAPITAL MGT	025	MOD	В	25 , 000	16.23	n/a	n/a	1,000.00	4.0
FARALLON CAP MGMT	040	MSFT	В	102,300	28.22	n/a	n/a	3,069.00	3.0
CAXTON PART	040	MS	С	86,900	28.41	n/a	20-Jan	3,041.50	3.5
BUCK CAPITAL MGMT	001	MW	S	20,000	26.01	n/a	n/a	600.00	3.0
SIGMA CAP BOBBY	044	NOV	В	15,000	67.16	n/a	n/a	525.00	3.5
BUCK CAPITAL MGMT	001	ОХМ	s	800	24.01	n/a	n/a	24.00	3.0
CAMBIAR CAP	046	PRX	В	59,818	36.18	n/a	18-Jan	2,392.72	4.0
EMERSON CAPITAL MGMT	048	SIG	В	8,000	42.32	n/a	n/a	240.00	3.0
SIGMA CAP BOBBY	044	SPY	SS	15,000	127.88	n/a	n/a	525.00	3.5
COLUMBUS CAP MGMT LLC	084	SRI	В	17,566	15.01	n/a	n/a	878.30	5.0
PERRY PARTNERS	085	SUN	В	10,000	40.47	n/a	n/a	400.00	4.0
	SANDLER O'NEILL ASSET CATAPULT CRAMER ROSENTHAL/SCOTT NEWLAND CAPITAL MGT NEWLAND CAPITAL MGT FARALLON CAP MGMT CAXTON PART BUCK CAPITAL MGMT SIGMA CAP BOBBY BUCK CAPITAL MGMT CAMBIAR CAP EMERSON CAPITAL MGMT SIGMA CAP BOBBY COLUMBUS CAP MGMT LLC	SANDLER O'NEILL ASSET 031 CATAPULT 040 CRAMER ROSENTHAL/SCOTT 012 NEWLAND CAPITAL MGT 025 NEWLAND CAPITAL MGT 025 FARALLON CAP MGMT 040 CAXTON PART 040 BUCK CAPITAL MGMT 001 SIGMA CAP BOBBY 044 BUCK CAPITAL MGMT 001 CAMBIAR CAP 046 EMERSON CAPITAL MGMT 048 SIGMA CAP BOBBY 044 COLUMBUS CAP MGMT LLC 084	SANDLER O'NEILL ASSET 031 LAZ CATAPULT 040 LEAP CRAMER ROSENTHAL/SCOTT 012 MAN NEWLAND CAPITAL MGT 025 MDR NEWLAND CAPITAL MGT 025 MOD FARALLON CAP MGMT 040 MSFT CAXTON PART 040 MS BUCK CAPITAL MGMT 001 MW SIGMA CAP BOBBY 044 NOV BUCK CAPITAL MGMT 001 OXM CAMBIAR CAP 046 PRX EMERSON CAPITAL MGMT 048 SIG SIGMA CAP BOBBY 044 SPY COLUMBUS CAP MGMT LLC 084 SRI	SANDLER O'NEILL ASSET 031 LAZ S CATAPULT 040 LEAP S CRAMER ROSENTHAL/SCOTT 012 MAN S NEWLAND CAPITAL MGT 025 MDR B NEWLAND CAPITAL MGT 025 MOD B FARALLON CAP MGMT 040 MSFT B CAXTON PART 040 MS C BUCK CAPITAL MGMT 001 MW S SIGMA CAP BOBBY 044 NOV B BUCK CAPITAL MGMT 001 OXM S CAMBIAR CAP 046 PRX B EMERSON CAPITAL MGMT 048 SIG B SIGMA CAP BOBBY 044 SPY SS COLUMBUS CAP MGMT LLC 084 SRI B	SANDLER O'NEILL ASSET 031 LAZ S 10,000 CATAPULT 040 LEAP S 75,000 CRAMER ROSENTHAL/SCOTT 012 MAN S 6,070 NEWLAND CAPITAL MGT 025 MDR B 25,000 NEWLAND CAPITAL MGT 025 MOD B 25,000 FARALLON CAP MGMT 040 MSFT B 102,300 CAXTON PART 040 MS C 86,900 BUCK CAPITAL MGMT 001 MW S 20,000 SIGMA CAP BOBBY 044 NOV B 15,000 BUCK CAPITAL MGMT 001 OXM S 800 CAMBIAR CAP 046 PRX B 59,818 EMERSON CAPITAL MGMT 048 SIG B 8,000 SIGMA CAP BOBBY 044 SPY SS 15,000 COLUMBUS CAP MGMT LLC 084 SRI B 17,566	SANDLER O'NEILL ASSET 031 LAZ S 10,000 42.00 CATAPULT 040 LEAP S 75,000 13.15 CRAMER ROSENTHAL/SCOTT 012 MAN S 6,070 64.80 NEWLAND CAPITAL MGT 025 MDR B 25,000 19.58 NEWLAND CAPITAL MGT 025 MOD B 25,000 16.23 FARALLON CAP MGMT 040 MSFT B 102,300 28.22 CAXTON PART 040 MS C 86,900 28.41 BUCK CAPITAL MGMT 001 MW S 20,000 26.01 SIGMA CAP BOBBY 044 NOV B 15,000 67.16 BUCK CAPITAL MGMT 001 OXM S 800 24.01 CAMBIAR CAP 046 PRX B 59,818 36.18 EMERSON CAPITAL MGMT 048 SIG B 8,000 42.32 SIGMA CAP BOBBY 044 SPY SS 15,000 127.88 COLUMBUS CAP MGMT LLC 084 SRI B 17,566 15.01	SANDLER O'NEILL ASSET 031 LAZ S 10,000 42.00 n/a CATAPULT 040 LEAP S 75,000 13.15 n/a CRAMER ROSENTHAL/SCOTT 012 MAN S 6,070 64.80 n/a NEWLAND CAPITAL MGT 025 MDR B 25,000 19.58 n/a NEWLAND CAPITAL MGT 025 MOD B 25,000 16.23 n/a FARALLON CAP MGMT 040 MSFT B 102,300 28.22 n/a CAXTON PART 040 MS C 86,900 28.41 n/a BUCK CAPITAL MGMT 001 MW S 20,000 26.01 n/a SIGMA CAP BOBBY 044 NOV B 15,000 67.16 n/a BUCK CAPITAL MGMT 001 OXM S 800 24.01 n/a CAMBIAR CAP 046 PRX B 59,818 36.18 n/a EMERSON CAPITAL MGMT 048 SIG B 8,000 42.32 n/a SIGMA CAP BOBBY 044 SPY SS 15,000 127.88 n/a COLUMBUS CAP MGMT LLC 084 SRI B 17,566 15.01 n/a	SANDLER O'NEILL ASSET 031 LAZ S 10,000 42.00 n/a 14-Jan CATAPULT 040 LEAP S 75,000 13.15 n/a n/a CRAMER ROSENTHAL/SCOTT 012 MAN S 6,070 64.80 n/a n/a NEWLAND CAPITAL MGT 025 MDR B 25,000 19.58 n/a n/a NEWLAND CAPITAL MGT 025 MOD B 25,000 16.23 n/a n/a FARALLON CAP MGMT 040 MSFT B 102,300 28.22 n/a n/a CAXTON PART 040 MS C 86,900 28.41 n/a 20-Jan BUCK CAPITAL MGMT 001 MW S 20,000 26.01 n/a n/a SIGMA CAP BOBBY 044 NOV B 15,000 67.16 n/a n/a BUCK CAPITAL MGMT 001 OXM S 800 24.01 n/a n/a CAMBIAR CAP 046 PRX B 59,818 36.18 n/a 18-Jan EMERSON CAPITAL MGMT 048 SIG B 8,000 42.32 n/a n/a SIGMA CAP BOBBY 044 SPY SS 15,000 127.88 n/a n/a COLUMBUS CAP MGMT LLC 084 SRI B 17,566 15.01 n/a n/a	SANDLER O'NEILL ASSET 031 LAZ S 10,000 42.00 n/a 14-Jan 500.00 CATAPULT 040 LEAP S 75,000 13.15 n/a n/a 3,000.00 CATAPULT 040 LEAP S 75,000 13.15 n/a n/a 3,000.00 CRAMER ROSENTHAL/SCOTT 012 MAN S 6,070 64.80 n/a n/a 1.000.00 NEWLAND CAPITAL MGT 025 MDR B 25,000 19.58 n/a n/a 1.000.00 FARALLON CAPITAL MGT 025 MDD B 25,000 16.23 n/a n/a 1.000.00 FARALLON CAP MGMT 040 MSFT B 102,300 28.22 n/a n/a n/a 3,069.00 CAXTON PART 040 MS C 86,900 28.41 n/a 20-Jan 3,041.50 BUCK CAPITAL MGMT 001 MN S 20,000 26.01 n/a n/a 600.00 SIGNA CAP BOBBY 044 NOV B 15,000 67.16 n/a n/a n/a 525.00 BUCK CAPITAL MGMT 001 OXM S 800 24.01 n/a n/a 18-Jan 2,392.72 EMERSON CAPITAL MGMT 048 SIG B 8,000 42.32 n/a n/a n/a 240.00 SIGNA CAP BOBBY 044 SPY SS 15,000 127.88 n/a n/a n/a 525.00 COLUMBUS CAP MGMT LLC 084 SRT B 17,566 15.01 n/a n/a 878.30

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
CASTLEROCK	040	SVU	SS	50,000	7.30	n/a	n/a	2,000.00	4.0
1798 CAP/LOMBARD ASSET	040	SWC	В	5,000	21.87	n/a	n/a	250.00	5.0
COUGAR TRADING	044	TGT	В	5,000	55.69	n/a	7-Jan	250.00	5.0
COUGAR TRADING	044	TGT	S	5,000	55.44	n/a	7-Jan	250.00	5.0
LEVEL GLOBAL SHARED ACCT	257	TPX	S	100,000	39.71	n/a	n/a	3,000.00	3.0
SIGMA CAP BOBBY	044	TYC	SS	10,000	44.08	n/a	5-Jan	350.00	3.5
SENATOR INVESTMENT GROUP	036	VAL	В	37,200	35.78	n/a	n/a	1,116.00	3.0
Ann Bettendorf Freeman		VMW	В	100 8	8.4400	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	VRX	SS	4,000	35.00	n/a	n/a	400.00	10.0
TRUSTCO/SUNTRUST	040	WEN	В	50,000	4.86	n/a	7-Jan	1,750.04	3.5

TOTAL (Trade Date):

49,853.90

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI F	Research	Comm.	¢/Shr.
AO,	01/19	NOMURA CAPITAL (NOMUGMCA)	029	ABH	S	350	26.72	n/a	n/a	10.50	3.0
AO,	01/19	NOMURA CAPITAL (NOMUBARC)	029	ABH	S	275	26.72	n/a	n/a	8.25	3.0
AO,	01/19	NOMURA CAPITAL (NOMU7N1Q)	029	ABH	S	400	26.72	n/a	n/a	12.00	3.0
AO,	01/19	NOMURA CAPITAL (NOMU7N1R)	029	ABH	S	250	26.72	n/a	n/a	7.50	3.0
AO,	01/19	NOMURA CAPITAL (NOMUSAG)	029	ABH	S	50	26.72	n/a	n/a	1.50	3.0
AO,	01/19	NOMURA CAPITAL (NOMUCOSMOS)	029	ABH	S	25	26.72	n/a	n/a	0.75	3.0
AO,	01/19	NOMURA CAPITAL (NOMUL3)	029	ABH	S	75	26.72	n/a	n/a	2.25	3.0
AO,	01/19	NOMURA CAPITAL (NOMUEB6J)	029	ABH	S	200	26.72	n/a	n/a	6.00	3.0
AO,	01/19	NOMURA CAPITAL (NOMULASERS)	029	ABH	S	200	26.72	n/a	n/a	6.00	3.0
AO,	01/19	NOMURA CAPITAL (NOMUAYCB)	029	ABH	S	3,000	26.72	n/a	n/a	90.00	3.0
AO,	01/19	NOMURA CAPITAL (NOMUSPH)	029	ABH	S	75	26.72	n/a	n/a	2.25	3.0
AO,	01/19	NOMURA CAPITAL (NOMUMCERS)	029	ABH	S	100	26.72	n/a	n/a	3.00	3.0
AO,	01/19	NOMURA CAPITAL (NOMU7N1Q)	029	CHMT	S	750	16.00	n/a	n/a	22.50	3.0
AO,	01/19	NOMURA CAPITAL (NOMU7N1R)	029	CHMT	S	525	16.00	n/a	n/a	15.75	3.0
AO,	01/19	NOMURA CAPITAL (NOMUSW7Y)	029	CHMT	S	725	16.00	n/a	n/a	21.75	3.0
AO,	01/19	NOMURA CAPITAL (NOMUNOMIR)	029	CHMT	S	125	16.00	n/a	n/a	3.75	3.0
AO,	01/19	NOMURA CAPITAL (NOMUL3)	029	CHMT	S	125	16.00	n/a	n/a	3.75	3.0
AO,	01/19	NOMURA CAPITAL (NOMUEB6J)	029	CHMT	S	350	16.00	n/a	n/a	10.50	3.0
AO,	01/19	NOMURA CAPITAL (NOMULASERS)	029	CHMT	S	350	16.00	n/a	n/a	10.50	3.0
AO,	01/19	NOMURA CAPITAL (NOMUAYCB)	029	CHMT	S	925	16.00	n/a	n/a	27.75	3.0
AO,	01/19	NOMURA CAPITAL (NOMUSPH)	029	CHMT	S	125	16.00	n/a	n/a	3.75	3.0
AO,	01/19	WADDELL AND REED (WADD097)	047	DXCM	В	89 , 870	15.17	n/a	n/a	3594.80	4.0
CRT	01/20	CIRCLE T/SES PART (CIRCMAIN)	035	F	В	40,000	17.62	n/a	7-Jan	1600.00	4.0
CRT	01/20	SIGMA CAP BOBBY (SIBEML)	044	IWM	SS	20,000	78.10	n/a	n/a	700.00	3.5

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CRT	01/20	FARALLON CAP MGMT (FARABULK F)	040	MSFT	В	100,000	28.22	n/a	n/a	3000.00	3.0
CRT	01/20	SIGMA CAP BOBBY (SIBEML)	044	SPY	SS	15,000	127.88	n/a	n/a	525.00	3.5
CRT	01/20	BMO NESBITT BURNS (BMONFLIP)	041	VRX	SS	4,000	35.00	n/a	n/a	400.00	10.0
TOTAL	(AO, 0	CXL, CRT):							10,	089.80	

TOTAL (AO, CXL, CRT):	10,089.80
TOTAL (Trade Date):	49,853.90

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION