



## RR Commissions Summary [ Kevin O'Gorman ]

Trade Date: 10/22/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AMBER CAPITAL	046	0	0	0	17,148	0	0	0	0	0	17,148	51,197	33
CAMBIAR CAP	046	0	19,796	19,796	137,541	0	0	0	19,796	19,796	137,541	129,983	106
DARUMA ASSET MGMT INC	046	0	0	0	4,528	0	0	0	0	0	4,528	963	470
DENVER INST. ADV	046	0	0	0	27,954	0	0	0	0	0	27,954	64,358	43
GARTMORE/HENDERSON - LONDON	046	0	0	0	0	0	0	0	0	0	0	51,254	0
HENDERSON GLOBAL INVESTORS	046	0	0	0	0	0	0	5,154	0	0	5,154	0	0
JANUS CAPITAL CORP	046	0	0	0	0	0	0	160,000	0	0	160,000	41,000	390
JP MORGAN VENTURES	046	0	0	0	0	0	0	0	0	0	0	17,892	0
KENNEDY CAPITAL	046	0	0	0	47,167	0	0	0	0	0	47,167	46,063	102
MADISON ST PARTNERS LLC	046	0	3,000	3,000	16,250	0	0	0	3,000	3,000	16,250	3,719	437
MARSICO CAPITAL	046	0	0	0	12,567	0	0	1,875	0	0	14,442	3,530	409
MELLON/BANK OF NEW YORK	046	0	0	0	118,605	0	0	0	0	0	118,605	206,470	57
MUHLENKAMP FUND	046	0	0	0	25,000	0	0	0	0	0	25,000	19,250	130
NOMURA GLOBAL ASSET MGMT	046	0	0	0	0	0	0	6,400	0	0	6,400	0	0
PLATTE RIVER CAPITAL	046	0	3,560	3,560	24,025	1,750	1,750	1,750	5,310	5,310	25,775	0	0
RK CAPTIAL MGMT	046	0	0	0	2,290	0	0	0	0	0	2,290	4,750	48
ROSEDALE CAPITAL	046	800	7,240	7,240	65,982	0	0	0	7,240	7,240	65,982	81,694	81
TOCQUEVILLE	046	0	4,206	4,206	28,646	0	0	0	4,206	4,206	28,646	0	0
ZIFF BROS	046	0	0	0	0	0	0	17,500	0	0	17,500	50,000	35
<b>TOTALS:</b>		<b>800</b>	<b>37,801</b>	<b>37,801</b>	<b>527,703</b>	<b>1,750</b>	<b>1,750</b>	<b>192,679</b>	<b>39,551</b>	<b>39,551</b>	<b>720,383</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
KENSAI MANAGEMENT	286	0	0	0	0	0	0	0	0	0	0	0	0
MANNING & NAPIER	035	0	0	0	0	32,500	32,500	97,500	32,500	32,500	97,500	108,750	90
<b>TOTALS:</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>32,500</b>	<b>32,500</b>	<b>97,500</b>	<b>32,500</b>	<b>32,500</b>	<b>97,500</b>		

Report created by Kevin O'Gorman on 10/23/2013 at 10:52:43am from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 8.0; Windows NT 6.1; Trident/4.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E; Tablet PC 2.0)