



## Daily Compliance Activity Report

Trade Date: 06/03/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PALMYRA CAPITAL	048	AEO	SS	60,000	17.53	6-Mar	2-Jun	1,800.00	3.0
COUGAR TRADING	044	ANF	B	30,000	68.61	10-Apr	2-Jun	1,500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>AN</b>	<b>C</b>	<b>600</b>	<b>15.27</b>	<b>n/a</b>	<b>25-Apr</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	ARGN	B	40,000	11.79	n/a	20-May	2,000.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>ARGN</b>	<b>B</b>	<b>1,200</b>	<b>11.62</b>	<b>n/a</b>	<b>20-May</b>	<b>0.00</b>	<b>0.0</b>
MS PRINCIPAL STRATEGIES	041	ASBC	B	8,174	26.55	n/a	n/a	408.70	5.0
TRELLUS PARTNERS LP	028	AXL	C	20,000	16.60	n/a	n/a	1,000.00	5.0
AMBER CAPITAL	046	BAC	SS	150,000	33.32	n/a	15-May	6,000.00	4.0
SANDLER O'NEILL ASSET	031	BAC	SS	50,000	33.21	n/a	15-May	2,500.00	5.0
MS PRINCIPAL STRATEGIES	041	BBT	B	7,709	30.56	n/a	n/a	385.45	5.0
SANDLER O'NEILL ASSET	031	BEN	B	10,000	100.75	24-Mar	2-Jun	500.00	5.0
MS PRINCIPAL STRATEGIES	041	BOKF	B	2,765	57.09	n/a	n/a	138.25	5.0
<b>BUCK</b>	<b>015</b>	<b>BUDGL</b>	<b>B</b>	<b>20</b>	<b>2.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>BUDUK</b>	<b>S</b>	<b>15</b>	<b>2.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	BWA	SS	30,000	50.80	5-May	5-May	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUFFOLK CAPITAL MGMT	040	CB	B	117,300	53.54	n/a	n/a	4,692.00	4.0
HBK FUNDS	031	CELG	B	250,000	61.13	n/a	n/a	12,500.00	5.0
COUGAR TRADING	044	CHTT	B	10,000	58.96	2-Jun	2-Jun	500.00	5.0
<b>KAICHER</b>	<b>064</b>	<b>CLR</b>	<b>B</b>	<b>500</b>	<b>64.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>CSR</b>	<b>S</b>	<b>1,000</b>	<b>20.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAIRS AND POWER INV	030	DCI	S	10,000	51.14	n/a	n/a	500.00	5.0
CENTURION PARTNERS	255	DELL	S	100,000	23.07	n/a	n/a	5,000.00	5.0
JMP ASSET MGMT	210	DKS	B	2,000	22.49	n/a	23-May	100.00	5.0
PRINCIPLE CAPITAL	040	DKS	B	53,100	22.76	n/a	23-May	1,593.00	3.0
COVEY CAPITAL	045	DLLR	B	31,000	19.55	n/a	n/a	1,240.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>DLTR</b>	<b>SS</b>	<b>3,000</b>	<b>36.60</b>	<b>n/a</b>	<b>29-May</b>	<b>0.00</b>	<b>0.0</b>
PRIMECAP MGMT	048	DNA	B	17,300	72.94	n/a	n/a	692.00	4.0
KACELA CAPITAL	034	DRIV	B	15,000	40.26	n/a	n/a	600.00	4.0
ACK ASSET	028	DSW	B	10,000	14.57	8-May	30-May	400.00	4.0
<b>GAULT</b>	<b>063</b>	<b>DUG</b>	<b>B</b>	<b>1,000</b>	<b>28.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>EL</b>	<b>SS</b>	<b>2,000</b>	<b>47.15</b>	<b>7-May</b>	<b>21-May</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HOOVER INV. MGMT	033	ENS	B	1,800	31.33	n/a	n/a	90.00	5.0
PRIMECAP MGMT	048	ERIC	B	10,000	27.12	n/a	n/a	400.00	4.0
FIRST NEW YORK LEDDY	035	EXTR	B	100,000	3.28	n/a	22-Mar	6,000.00	6.0
NOMURA CAPITAL	045	FWLT	S	3,150	77.44	n/a	n/a	157.50	5.0
FIRST NY SEC/CHRIS	041	F	B	50,000	6.65	25-Apr	2-Jun	2,000.00	4.0
ROSEDALE CAPITAL	046	F	B	30,000	6.72	25-Apr	2-Jun	1,200.00	4.0
STARK ASSET MGMT	031	F	B	193,101	6.63	25-Apr	2-Jun	7,724.04	4.0
<b>KORMAN</b>	<b>003</b>	<b>F</b>	<b>SS</b>	<b>5,000</b>	<b>6.62</b>	<b>25-Apr</b>	<b>2-Jun</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	GIL	B	53,000	28.97	30-Apr	28-May	2,120.00	4.0
DSG CAPITAL	225	GM	B	5,000	17.65	29-Apr	2-Jun	250.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>GM</b>	<b>SS</b>	<b>2,000</b>	<b>18.03</b>	<b>29-Apr</b>	<b>2-Jun</b>	<b>0.00</b>	<b>0.0</b>
PRIMECAP MGMT	048	GSK	B	1,100	43.67	n/a	n/a	44.00	4.0
CASTLEROCK	040	GYMB	S	92,400	43.06	3-Jun	3-Jun	3,696.00	4.0
COLUMBUS CIRCLE	036	GYMB	S	39,300	43.53	3-Jun	3-Jun	1,572.00	4.0
COUGAR TRADING	044	GYMB	B	10,000	43.18	3-Jun	3-Jun	500.00	5.0
COUGAR TRADING	044	GYMB	SS	10,000	44.36	3-Jun	3-Jun	500.00	5.0
DIAMONDBACK CAP MGMT	232	GYMB	C	8,500	42.98	3-Jun	3-Jun	425.00	5.0
HOOVER INV. MGMT	033	GYMB	S	19,200	42.83	3-Jun	3-Jun	960.00	5.0
J L F ASSET MGMT	039	GYMB	SS	40,900	43.53	3-Jun	3-Jun	1,636.00	4.0
ROXBURY CAPITAL MGMT	035	GYMB	S	82,000	43.16	3-Jun	3-Jun	3,280.00	4.0
LIGHTHOUSE FIN/QUAD	046	HEK	S	5,000	9.00	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JMP ASSET MGMT	210	HGG	SS	1,000	12.18	n/a	n/a	50.00	5.0
<b>GAULT</b>	<b>063</b>	<b>HRS</b>	<b>B</b>	<b>500</b>	<b>55.55</b>	<b>2-Jun</b>	<b>2-Jun</b>	<b>0.00</b>	<b>0.0</b>
MFP INVESTORS	032	IACI	B	6,200	21.74	n/a	n/a	310.00	5.0
<b>GAULT</b>	<b>063</b>	<b>JCI</b>	<b>B</b>	<b>500</b>	<b>34.00</b>	<b>23-Jan</b>	<b>16-Apr</b>	<b>0.00</b>	<b>0.0</b>
PRIMECAP MGMT	048	KLAC	B	1,000	44.29	n/a	n/a	40.00	4.0
COUGAR TRADING	044	KSS	B	25,000	44.90	n/a	2-Jun	1,250.00	5.0
LIGHTHOUSE FIN/QUAD	046	LEH	B	5,000	32.48	1-Apr	22-May	250.00	5.0
NATIONAL BK OF CAN	031	LEH	B	40,000	29.98	1-Apr	22-May	1,600.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>SS</b>	<b>20,000</b>	<b>16.99</b>	<b>n/a</b>	<b>14-May</b>	<b>800.00</b>	<b>4.0</b>
PRIMECAP MGMT	048	LLY	B	1,200	47.72	n/a	n/a	48.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>LL</b>	<b>SS</b>	<b>4,800</b>	<b>14.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	LTD	B	5,000	19.09	n/a	2-Jun	250.00	5.0
BECK MACK & OLIVER LLC	045	LVLTT	B	10,000	3.67	n/a	4-Jan	300.00	3.0
TRIVIUM CAP MGMT	251	LXK	B	25,000	36.37	n/a	n/a	1,000.00	4.0
<b>GAULT</b>	<b>063</b>	<b>LYHFJ</b>	<b>B</b>	<b>40</b>	<b>1.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>MBI</b>	<b>SS</b>	<b>5,000</b>	<b>7.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLEROCK	040	MCD	SS	53,000	57.96	n/a	2-Jun	2,120.00	4.0
COLUMBIA PARTNERS	028	MET	S	4,925	59.55	n/a	n/a	197.00	4.0
THOMAS WEISEL ASSET MGMT	040	MIND	B	1,900	18.85	n/a	n/a	57.00	3.0
<b>BARR</b>	<b>096</b>	<b>MIPS</b>	<b>SS</b>	<b>3,000</b>	<b>3.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NOMURA CAPITAL	045	MNTG	S	3,700	5.45	n/a	n/a	148.00	4.0
<b>KAICHER</b>	<b>064</b>	<b>NOG</b>	<b>B</b>	<b>2,000</b>	<b>12.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRIMECAP MGMT	048	NVDA	B	5,000	24.05	n/a	n/a	200.00	4.0
PRIMECAP MGMT	048	NVS	B	23,900	53.50	n/a	n/a	956.00	4.0
WILLOW CREEK	033	OMTR	SS	25,000	23.48	n/a	n/a	1,000.00	4.0
NICOLAS APPELEGATE	048	ORCL	B	150,000	23.24	n/a	n/a	3,000.00	2.0
WILLIAM BLAIR	031	ORLY	S	8,900	26.06	n/a	n/a	356.00	4.0
CHILTON CAPITAL MGMT.	036	PCL	S	175,000	45.65	29-Apr	29-Apr	7,000.00	4.0
<b>COHE &amp; STEERS</b>	<b>091</b>	<b>PCL</b>	<b>B</b>	<b>300,000</b>	<b>45.91</b>	<b>29-Apr</b>	<b>29-Apr</b>	<b>12,000.00</b>	<b>4.0</b>
PRIMECAP MGMT	048	PFE	S	40,000	19.05	n/a	31-Mar	1,600.00	4.0
BMO NESBITT BURNS	041	PKG	B	31,700	25.43	7-Apr	22-Apr	1,585.00	5.0
ELM RIDGE ADVISORS	044	PKG	S	10,400	25.76	7-Apr	22-Apr	416.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PLCE	B	10,000	33.11	3-Jun	3-Jun	500.00	5.0
COUGAR TRADING	044	PLCE	SS	10,000	32.71	3-Jun	3-Jun	500.00	5.0
FIRST NY SEC/CHRIS	041	PLCE	B	10,000	32.05	3-Jun	3-Jun	600.00	6.0
HOOVER INV. MGMT	033	PLCE	S	8,100	31.87	3-Jun	3-Jun	405.00	5.0
MILLRACE FUND LP	028	PLCE	S	16,100	32.64	3-Jun	3-Jun	805.00	5.0
SCOPUS FUND	041	PLCE	B	58,900	32.22	3-Jun	3-Jun	2,945.00	5.0
TRIVIUM CAP MGMT	251	PLXS	SS	25,000	27.62	n/a	n/a	1,000.00	4.0
FIRST NEW YORK NICK	029	Q	S	70,000	4.83	n/a	n/a	4,200.00	6.0
JMP ASSET MGMT	210	RRGB	SS	1,000	33.57	n/a	n/a	50.00	5.0
<b>COHE &amp; STEERS</b>	<b>091</b>	<b>RYN</b>	<b>B</b>	<b>100,000</b>	<b>46.55</b>	<b>23-Apr</b>	<b>23-Apr</b>	<b>4,000.00</b>	<b>4.0</b>
WILLOW CREEK	033	SCRX	SS	25,000	20.50	n/a	3-Jun	1,000.00	4.0
COUGAR TRADING	044	SDS	B	5,000	57.50	n/a	n/a	250.00	5.0
<b>BARR</b>	<b>096</b>	<b>SKF</b>	<b>B</b>	<b>800</b>	<b>114.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>TEN</b>	<b>SS</b>	<b>3,000</b>	<b>22.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AVESTA CAP	229	TGT	B	50,000	54.92	n/a	29-May	2,500.00	5.0
RHO CAPITAL MGMT	041	TLT	SS	350	90.97	n/a	n/a	14.00	4.0
BECK MACK & OLIVER LLC	045	TMM	B	4,200	1.96	n/a	n/a	84.00	2.0
BECK MACK & OLIVER LLC	045	WAB	S	2,000	46.34	n/a	n/a	100.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PZENA INV ADV	044	WB	B	61,175	22.77	n/a	n/a	2,447.00	4.0
COLUMBIA PARTNERS	028	WCG	B	10,774	53.34	n/a	n/a	430.96	4.0
GAULT	063	WY	B	400	60.88	7-Apr	27-May	0.00	0.0
TOTAL (Trade Date):							136,717.90		

### Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/29	TRELLUS PARTNERS LP (TRELMMERR)	028	ARGN	B	652	14.05	n/a	20-May	32.60	5.0
AO,	05/29	TRELLUS PARTNERS LP (TRELGS)	028	ARGN	B	652	14.05	n/a	20-May	32.60	5.0
AO,CXL	05/29	TRELLUS PARTNERS LP (TRELMAIN)	028	ARGN	B	10,000	14.05	n/a	20-May	500.00	5.0
AO,	05/29	TRELLUS PARTNERS LP (TRELMAIN)	028	ARGN	B	8,696	14.05	n/a	20-May	434.80	5.0
AO,CXL	06/02	TROUBH PART (TROUGOLD)	067	AXP	B	10,000	45.34	n/a	n/a	400.00	4.0
AO,CRT	06/02	TROUBH PART (TROUGOLD)	067	AXP	B	10,000	45.34	n/a	n/a	500.00	5.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFRFG)	040	CB	B	5,700	53.63	n/a	n/a	228.00	4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFHAL)	040	CB	B	300	53.63	n/a	n/a	12.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFHAL)	040	CB	B	300	53.63	n/a	n/a	12.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFGFP)	040	CB	B	6,100	53.63	n/a	n/a	244.00	4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFRFF)	040	CB	B	700	53.63	n/a	n/a	28.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFRFF)	040	CB	B	800	53.63	n/a	n/a	32.00	4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFOMNI)	040	CB	B	1,600	53.63	n/a	n/a	64.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFOMNI)	040	CB	B	1,700	53.63	n/a	n/a	68.00	4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFKPL)	040	CB	B	23,500	53.63	n/a	n/a	940.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFKPL)	040	CB	B	25,500	53.63	n/a	n/a	1020.00	4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFINT)	040	CB	B	1,600	53.63	n/a	n/a	64.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFINT)	040	CB	B	1,500	53.63	n/a	n/a	60.00	4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFRTC)	040	CB	B	3,100	53.63	n/a	n/a	124.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFRTC)	040	CB	B	3,400	53.63	n/a	n/a	136.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFREQ1)	040	CB	B	10,800	53.63	n/a	n/a	432.00	4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFILGWU)	040	CB	B	1,300	53.63	n/a	n/a	52.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFILGWU)	040	CB	B	1,500	53.63	n/a	n/a	60.00	4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFRDIV)	040	CB	B	22,300	53.63	n/a	n/a	892.00	4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFRICG2)	040	CB	B	3,700	53.63	n/a	n/a	148.00	4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFRDIV)	040	CB	B	24,200	53.63	n/a	n/a	968.00	4.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFURICG)	040	CB	B	1,100	53.63	n/a	n/a	44.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFBGROWT)	040	CB	B	300	53.63	n/a	n/a	12.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFPPI)	040	CB	B	6,200	53.63	n/a	n/a	248.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFPPI)	040	CB	B	6,700	53.63	n/a	n/a	268.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFMSM)	040	CB	B	1,800	53.63	n/a	n/a	72.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFMSM)	040	CB	B	1,900	53.63	n/a	n/a	76.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFLC)	040	CB	B	1,700	53.63	n/a	n/a	68.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFUAL)	040	CB	B	1,900	53.63	n/a	n/a	76.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFLC)	040	CB	B	1,900	53.63	n/a	n/a	76.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFBRI)	040	CB	B	4,200	53.63	n/a	n/a	168.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFEMG)	040	CB	B	1,000	53.63	n/a	n/a	40.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFBRI)	040	CB	B	4,600	53.63	n/a	n/a	184.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFEMG)	040	CB	B	1,100	53.63	n/a	n/a	44.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFSUE)	040	CB	B	3,100	53.63	n/a	n/a	124.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFDAL)	040	CB	B	4,500	53.63	n/a	n/a	180.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFFSUE)	040	CB	B	3,400	53.63	n/a	n/a	136.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFFSOCAL)	040	CB	B	5,000	53.63	n/a	n/a	200.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFBLUE)	040	CB	B	4,300	53.63	n/a	n/a	172.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFBLUE)	040	CB	B	4,600	53.63	n/a	n/a	184.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFRIFM)	040	CB	B	2,600	53.63	n/a	n/a	104.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFRIFM)	040	CB	B	2,800	53.63	n/a	n/a	112.00 4.0
AO,	06/02	SUFFOLK CAPITAL MGMT (SUFFRUSS)	040	CB	B	37,000	53.63	n/a	n/a	1480.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT (SUFFRFG)	040	CB	B	5,300	53.63	n/a	n/a	212.00 4.0
AO,	06/02	PALMYRA CAPITAL (PALMGSCO)	048	JWN	B	26,000	34.11	16-May	2-Jun	1300.00 5.0
AO,CRT	06/02	STELLIAM INV ADV (STLLGSBULK)	040	OXY	SS	5,000	93.34	n/a	n/a	250.00 5.0
AO,CXL	06/02	STELLIAM INV ADV (STLLMSEXEC)	040	OXY	SS	5,000	93.34	n/a	n/a	250.00 5.0
AO,CXL	06/02	GALLEON MGMT LP (GALLUBS05)	033	PSPT	S	25,000	9.13	n/a	n/a	1250.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/02	GALLEON MGMT LP (GALLBOA05)	033	PSPT	S	25,000	9.13	n/a	n/a	1250.00 5.0
AO,CXL	06/02	IRONBOUND CAPITAL (IROBGS)	012	TIN	B	40,800	14.28	29-Apr	29-Apr	2040.00 5.0
AO,CRT	06/02	IRONBOUND CAPITAL (IROBGS)	012	TIN	B	40,800	14.28	29-Apr	29-Apr	1224.00 3.0
AO,CXL	06/02	TROUBH PART (TROUGOLD)	067	UBS	B	10,000	23.48	n/a	n/a	400.00 4.0
AO,CRT	06/02	TROUBH PART (TROUGOLD)	067	UBS	B	10,000	23.48	n/a	n/a	500.00 5.0
AO,	06/02	BAYPOINT/PRIMEMOVERS (BAYPSLK)	041	WAVE	SS	10,000	5.19	n/a	n/a	400.00 4.0
AO,	06/02	PALMYRA CAPITAL (PALMGSCO)	048	WSM	SS	80,000	24.52	n/a	n/a	4000.00 5.0
AO,	06/02	PALMYRA CAPITAL (PALMGSCO)	048	ZUMZ	SS	50,000	19.90	n/a	n/a	1500.00 3.0

<b>TOTAL (AO, CXL, CRT) :</b>	<b>8,720.00</b>
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TOTAL (Trade Date):	136,717.90
TOTAL (AO, CXL, CRT):	8,720.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>145,437.90</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION