



Daily Compliance Activity Report

Trade Date: 04/24/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	ABG	S	10,000	15.55	25-Jan	23-Apr	500.00	5.0
GRANITE CAPITAL	045	ABG	B	20,000	15.06	25-Jan	23-Apr	1,000.00	5.0
DSG CAPITAL	225	ADM	S	7,000	46.30	2-Jan	31-Mar	350.00	5.0
HIGHBRIDGE CAPITAL	038	ADSK	SS	27,500	37.80	n/a	n/a	1,375.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	100,000	17.13	6-Mar	11-Apr	4,000.00	4.0
COUGAR TRADING	044	AEO	B	20,000	16.97	6-Mar	11-Apr	1,000.00	5.0
CHARTER OAK	033	AFFY	S	9,607	15.92	n/a	n/a	421.20	2.5
COUGAR TRADING	044	AN	SS	10,000	15.83	n/a	23-Apr	500.00	5.0
DEEPHAVEN	031	AN	B	100,000	15.75	n/a	23-Apr	5,000.00	5.0
LED DY	035	ARGN	B	100	13.15	n/a	21-Apr	0.00	0.0
EFSTATHIOU	044	ARGN	B	1,000	13.53	n/a	21-Apr	0.00	0.0
COUGAR TRADING	044	ARO	S	5,000	32.19	29-Nov	11-Apr	250.00	5.0
FIRST NY SEC/CHRIS	041	ARO	B	8,700	31.90	29-Nov	11-Apr	435.00	5.0
MERRILL ASSET	032	BAC	B	713,100	37.77	n/a	22-Apr	35,655.00	5.0
MAIRS AND POWER INV	030	BAX	S	10,000	61.82	n/a	22-Apr	500.00	5.0
CAPITAL GROWTH MGMT.	241	BBD	S	100,000	21.67	n/a	n/a	4,000.00	4.0
PRINCIPLE CAPITAL	040	BC	B	22,100	16.33	n/a	n/a	663.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PHILADELPHIA FINANCIAL	033	BEN	B	23,092	92.44	24-Mar	22-Apr	1,154.60	5.0
SANDLER O'NEILL ASSET	031	BEN	S	10,000	92.55	24-Mar	22-Apr	500.00	5.0
BUCK CAPITAL MGMT	001	BIG	B	30,000	25.01	6-Mar	11-Apr	1,200.00	4.0
LEDDY	035	BONT	S	1,000	8.49	4-Jan	11-Apr	0.00	0.0
MKT MAKER	099	BTRNQ	B	12,640	0.01	n/a	n/a	0.00	0.0
MCCARTAN	028	BWA	S	100	48.70	14-Jan	21-Apr	0.00	0.0
LUCHANSKY	036	BWA	B	200	47.46	14-Jan	21-Apr	0.00	0.0
COMMISSUM CAPITAL	067	CLMS	B	10,000	15.53	13-Feb	23-Apr	500.00	5.0
BUCK	015	COPQO	B	5	0.24	n/a	n/a	0.00	0.0
KARSCH CAPITAL	034	C	C	213,000	25.55	16-Jan	21-Apr	6,390.00	3.0
MILLER	025	C	S	225	25.97	16-Jan	21-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	27.48	n/a	14-Mar	400.00	4.0
GLG PARTNERS	034	DKS	B	50,000	27.25	n/a	14-Mar	2,500.00	5.0
KACELA CAPITAL	034	DLTR	B	40,000	30.66	9-Nov	11-Apr	1,600.00	4.0
MAGUIRE	039	DUG	B	100	31.19	n/a	n/a	0.00	0.0
BUCK	015	DVNRB	S	5	4.31	n/a	n/a	0.00	0.0
BUCK	015	DVN	B	200	116.19	20-Mar	24-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RHO CAPITAL MGMT	041	ENER	B	2,500	33.71	n/a	n/a	100.00	4.0
GENERAL MOTORS INV CO	040	ETM	B	64,800	9.67	n/a	n/a	2,592.00	4.0
BENCHMARK	028	EZPW	B	10,000	14.70	n/a	n/a	500.00	5.0
LEDDY	035	FIG	B	800	13.29	n/a	n/a	0.00	0.0
MAGUIRE	039	FOH	S	750	2.10	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	F	SS	380,000	8.24	18-Mar	2-Apr	11,400.00	3.0
ZOLIDIS	011	F	SS	2,000	8.39	18-Mar	2-Apr	0.00	0.0
OGORMAN	046	F	SS	2,000	8.45	18-Mar	2-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	5,000	38.11	n/a	n/a	200.00	4.0
WHEELER	008	GHM	S	2,000	54.76	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	B	25,000	33.53	22-Jan	10-Mar	1,000.00	4.0
PECONIC PARTNERS	036	GM	C	500,000	22.77	3-Jan	8-Apr	25,000.00	5.0
WOOSTER CAPITAL	035	GM	SS	150,000	21.61	3-Jan	8-Apr	7,500.00	5.0
LEDDY	035	GNTX	SS	600	18.60	7-Apr	23-Apr	0.00	0.0
MILLRACE FUND LP	028	GPI	B	10,000	24.82	25-Jan	23-Apr	400.00	4.0
LUCHANSKY	036	GR	S	200	67.93	24-Apr	24-Apr	0.00	0.0
LEDDY	035	GSI	S	800	10.20	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	GYMB	B	5,315	42.44	2-Apr	11-Apr	265.75	5.0
BECK MACK & OLIVER LLC	045	HES	S	8,700	107.15	n/a	n/a	435.00	5.0
BARROW HANLEY MEWHINNEY	031	HI	S	73,500	17.95	n/a	n/a	2,940.00	4.0
BREEDEN CAP MGMT	036	HI	B	50,000	17.80	n/a	n/a	2,500.00	5.0
HARRIS ASSOCIATES	031	HPQ	B	47,300	48.16	n/a	n/a	1,419.00	3.0
MCCARTAN	028	ICON	B	100	15.77	n/a	n/a	0.00	0.0
EFSTATHIOU	044	ICON	B	500	16.17	n/a	n/a	0.00	0.0
EFSTATHIOU	044	INTC	S	500	22.65	n/a	n/a	0.00	0.0
NICOLAS APPLGATE	048	IVAC	B	10,000	17.33	n/a	n/a	400.00	4.0
FIRST NY SEC/CHRIS	041	IVZ	B	12,000	24.67	10-Apr	24-Apr	600.00	5.0
SANDLER O'NEILL ASSET	031	IVZ	B	20,000	22.62	10-Apr	24-Apr	1,000.00	5.0
RHO CAPITAL MGMT	041	IYT	B	1,000	90.54	n/a	n/a	40.00	4.0
MTB INV ADV	034	JCG	B	4,195	45.88	n/a	12-Mar	209.75	5.0
SCOPUS FUND	041	JCP	B	50,000	42.21	4-Jan	17-Apr	2,500.00	5.0
CATAPULT	040	JNS	S	5,000	26.66	24-Mar	23-Apr	250.00	5.0
SUNOVA CAPITAL	031	JNS	B	85,000	24.94	24-Mar	23-Apr	4,250.00	5.0
HARRIS ASSOCIATES	031	JPM	B	43,500	45.81	17-Jan	16-Apr	1,305.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ADAGE CAPITAL MGMT	030	KSS	B	100,000	48.87	4-Jan	11-Apr	4,000.00	4.0
BEAR STEARNS ASSET MGMT.	033	KSS	B	100,000	48.47	4-Jan	11-Apr	4,000.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	250,000	48.32	4-Jan	11-Apr	10,000.00	4.0
MORGENS WATERFALL	040	KSS	B	100,000	48.52	4-Jan	11-Apr	5,000.00	5.0
SCOPUS FUND	041	KSS	B	20,000	48.75	4-Jan	11-Apr	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	KSS	B	33,000	48.22	4-Jan	11-Apr	1,650.00	5.0
RHO CAPITAL MGMT	041	LEN	B	800	18.40	n/a	n/a	32.00	4.0
FRONTPOINT	044	LIZ	B	31,300	17.70	n/a	17-Mar	1,252.00	4.0
PALMYRA CAPITAL	048	LIZ	SS	32,000	17.07	n/a	17-Mar	1,600.00	5.0
WHALE ROCK CAP	030	LIZ	B	150,000	17.63	n/a	17-Mar	6,000.00	4.0
OGORMAN	046	LM	B	300	61.15	24-Mar	22-Apr	0.00	0.0
CATAPULT	040	MAT	B	50,000	19.24	n/a	n/a	2,000.00	4.0
HARRIS ASSOCIATES	031	MCD	B	20,100	58.46	n/a	23-Apr	603.00	3.0
HEALY CIRCLE	044	MCO	SS	10,000	37.25	n/a	n/a	500.00	5.0
HARRIS ASSOCIATES	031	MER	B	34,800	46.40	18-Jan	17-Apr	1,044.00	3.0
PRINCIPLE CAPITAL	040	MHK	SS	15,000	77.50	n/a	n/a	600.00	4.0
HARRIS ASSOCIATES	031	MS	B	24,500	48.52	20-Dec	14-Apr	735.00	3.0
CENTURION GLOBAL MGMT LL	041	MU	B	19,300	7.64	n/a	n/a	579.00	3.0
JP MORGAN VENTURES	046	MW	B	15,000	24.52	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	M	SS	15,000	24.25	7-Feb	11-Apr	750.00	5.0
DSG CAPITAL	225	NE	SS	4,000	58.02	n/a	n/a	200.00	5.0
ZOLIDIS	011	NFLX	B	200	32.17	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	NSM	B	61,700	20.42	n/a	n/a	1,851.00	3.0
SANDLER O'NEILL ASSET	031	NTRS	B	25,000	75.48	16-Apr	16-Apr	1,250.00	5.0
CHILTON CAPITAL MGMT.	036	OFG	C	84,800	19.67	n/a	n/a	3,392.00	4.0
SCOPUS FUND	041	PCH	S	35,000	44.76	18-Apr	18-Apr	1,750.00	5.0
BMON	041	PCH	S	25,000	45.04	18-Apr	18-Apr	750.00	3.0
LUCHANSKY2	036	PCL	S	200	41.27	n/a	30-Jan	0.00	0.0
LUCHANSKY	036	PCL	S	200	41.27	n/a	30-Jan	0.00	0.0
BASIX	033	PKG	B	17,000	21.79	7-Apr	22-Apr	680.00	4.0
FIRST NY SEC/CHRIS	041	PLCE	B	10,000	23.67	24-Mar	11-Apr	500.00	5.0
J.W. SELIGMAN	041	PNK	S	108,600	14.45	n/a	n/a	4,344.00	4.0
MILLENIUM PARTNERS	028	PSUN	B	25,000	13.39	n/a	11-Apr	1,250.00	5.0
CASTLEROCK	040	PVH	B	66,000	35.49	13-Mar	26-Mar	2,640.00	4.0
RAINIER INV MGMT	035	RJF	B	22,025	29.24	n/a	n/a	1,101.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MISSOURI VALLEY PART	046	RNT	S	13,880	25.24	n/a	n/a	694.00	5.0
ZOLIDIS	011	RTH	B	200	96.65	n/a	n/a	0.00	0.0
CUMBERLAND	038	RT	B	10,000	7.40	n/a	n/a	400.00	4.0
BUCK	015	SBUX	S	2,500	15.86	n/a	24-Apr	0.00	0.0
EFSTATHIOU	044	SDS	B	300	57.35	n/a	n/a	0.00	0.0
ENTRUST CAPITAL	040	SHW	B	68,000	54.98	n/a	22-Apr	3,400.00	5.0
EFSTATHIOU	044	SIMG	S	1,000	5.39	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	19,070	21.05	n/a	13-Mar	762.80	4.0
ZOLIDIS	011	SKX	SS	1,000	22.02	n/a	13-Mar	0.00	0.0
OGORMAN	046	SKX	SS	500	22.28	n/a	13-Mar	0.00	0.0
BARR	096	SOAP	B	300	6.99	n/a	n/a	0.00	0.0
MAGUIRE	039	SRS	S	100	82.97	n/a	n/a	0.00	0.0
CHILTON CAPITAL MGMT.	036	STI	B	83,500	53.48	n/a	n/a	3,340.00	4.0
CHILTON CAPITAL MGMT.	036	SUSQ	C	82,500	21.02	n/a	n/a	3,300.00	4.0
BARR	096	SYNP	S	15,000	7.93	n/a	n/a	0.00	0.0
MORGENS WATERFALL	040	TGT	B	100,000	53.12	n/a	27-Jul	3,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
XYLEM GLOBAL	091	TIN	SS	40,000	12.30	19-Mar	7-Apr	2,000.00	5.0
WEINTRAUB CAPITAL MGT.	040	TJX	B	39,000	31.58	n/a	11-Apr	1,560.00	4.0
PROSPECTOR PARTNERS LLC	036	TLM	B	77,400	20.32	n/a	n/a	3,096.00	4.0
SANDLER O'NEILL ASSET	031	TROW	SS	10,000	57.25	9-Jan	24-Apr	500.00	5.0
LEDDY	035	TROW	SS	500	59.38	9-Jan	24-Apr	0.00	0.0
LUCHANSKY1	036	TROW	SS	200	59.98	9-Jan	24-Apr	0.00	0.0
ZOLIDIS	011	TWM	B	300	74.52	n/a	n/a	0.00	0.0
EFSTATHIOU	044	TWTC	S	500	18.62	9-Nov	4-Jan	0.00	0.0
HIGHBRIDGE CAPITAL	038	TXT	S	22,400	61.05	n/a	n/a	1,120.00	5.0
SYSTEMATIC FINANCIAL MGT	035	UNM	B	24,100	23.20	n/a	n/a	903.75	3.8
AMATURO	018	UQM	B	5,000	1.52	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	VCLK	SS	49,109	19.64	n/a	n/a	2,455.45	5.0
SINCLAIR	031	VGNMD	B	15	3.75	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	WDR	B	50,000	33.83	22-Apr	22-Apr	2,500.00	5.0
DANIELS	N25	WYN	B	90	21.29	n/a	n/a	0.00	0.0
KERN	050	XHB	B	500	22.35	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RHO CAPITAL MGMT	041	XLE	B	350	82.07	n/a	n/a	14.00	4.0
KERN	050	XLFX	B	500	26.22	n/a	n/a	0.00	0.0
AVESTA CAP	229	XOM	S	25,000	92.54	n/a	n/a	1,250.00	5.0
DSG CAPITAL	225	XRT	B	10,000	32.68	n/a	n/a	500.00	5.0
BUCK	015	YUM	B	100	40.89	n/a	24-Apr	0.00	0.0
TOTAL (Trade Date):							229,299.55		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	04/24	GRANITE CAPITAL (GRANBULK)	045	ABG	B	20,000	15.06	25-Jan	23-Apr	1000.00 5.0
AO,	04/23	WHITE PINE CAPITAL (WPIN4441)	030	BONT	B	250	8.18	4-Jan	11-Apr	12.50 5.0
AO,CXL	04/23	WHITE PINE CAPITAL (WPIN4415)	030	BONT	B	250	8.18	4-Jan	11-Apr	12.50 5.0
AO,	04/22	TRELLUS PARTNERS LP (TRELMAIN)	028	F	SS	20,000	7.80	18-Mar	2-Apr	600.00 3.0
CRT	04/24	BREEDEN CAP MGMT (BREEUBSPB)	036	HI	B	50,000	17.80	n/a	n/a	2500.00 5.0
AO,	04/23	PRIME LOGIC ADV (PRILGS)	039	LXK	B	25,000	31.52	n/a	n/a	1250.00 5.0
CRT	04/24	BARROW HANLEY MEWHINNEY (BARR)	096	SOAP	B	300	6.99	n/a	n/a	0.00 0.0
CRT	04/24	BARROW HANLEY MEWHINNEY (BARR)	096	SYNP	S	15,000	7.93	n/a	n/a	0.00 0.0
AO,CRT	04/23	EMP ERROR (EMP ERROR)	099	UQM	B	5,000	1.60	n/a	n/a	0.00 0.0
AO,CXL	04/23	LISTED ERR (LISTED ERR)	099	UQM	B	5,000	1.60	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT):										5,350.00
TOTAL (Trade Date):										229,299.55
TOTAL (AO, CXL, CRT):										5,350.00
TOTAL (RUN DATE) / NFS:										234,649.55

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION