



Daily Compliance Activity Report

Trade Date: 07/07/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DSM CAPITAL PARTNERS	032	ADP	B	2,950	42.45	n/a	n/a	147.50	5.0
SINCLAIR	031	ADWJJ	B	4	5.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	AEO	S	10,000	13.67	6-Mar	2-Jul	500.00	5.0
DAVIS SELECT ADV	040	AES	B	800,000	18.45	n/a	n/a	24,000.00	3.0
LEDDY	035	AN	C	3,000	8.97	2-Jul	2-Jul	0.00	0.0
NEWLAND CAPITAL MGT	067	AOS	B	25,000	31.26	16-May	16-May	1,000.00	4.0
SINCLAIR	031	APCKQ	B	20	2.20	n/a	n/a	0.00	0.0
LUCHANSKY2	036	APC	S	100	68.09	20-Mar	18-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	B	25,000	31.82	28-Apr	2-Jul	1,000.00	4.0
LUCHANSKY1	036	AXE	S	100	57.48	28-Mar	22-Apr	0.00	0.0
LUCHANSKY2	036	AXE	S	100	57.58	28-Mar	22-Apr	0.00	0.0
LUCHANSKY	036	AXE	S	100	57.72	28-Mar	22-Apr	0.00	0.0
BECK MACK & OLIVER LLC	045	BAX	B	1,650	63.39	n/a	22-Apr	82.50	5.0
WHEELER	008	BEAV	B	3,000	21.67	n/a	n/a	0.00	0.0
SCOPUS FUND	041	BWA	S	1,000	40.74	10-Jun	10-Jun	50.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	BWA	C	500	39.71	10-Jun	10-Jun	0.00	0.0
LEDDY	035	CAT	SS	300	71.52	n/a	23-May	0.00	0.0
BECK MACK & OLIVER LLC	045	CBT	B	3,100	22.80	n/a	23-Jun	155.00	5.0
WHEELER	008	CCCGW	B	80	0.20	n/a	n/a	0.00	0.0
WHEELER	008	CCC	S	8,000	14.59	n/a	n/a	0.00	0.0
DSM CAPITAL PARTNERS	032	CELG	B	4,950	68.69	n/a	n/a	247.50	5.0
ROSEDALE CAPITAL	046	CHD	B	10,000	50.07	2-Apr	7-Jul	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	CHIC	SS	25,000	16.76	n/a	n/a	1,250.00	5.0
LUCHANSKY2	036	CMO	S	300	9.55	n/a	n/a	0.00	0.0
MILLER	025	COST	S	50	72.23	n/a	27-Jul	0.00	0.0
LUCHANSKY1	036	COST	S	200	72.88	n/a	27-Jul	0.00	0.0
MORRISON/TLB/BAYPOINT	040	CPN	S	55,000	20.04	n/a	n/a	2,750.00	5.0
ARTX	225	CROX	S	100,000	7.20	n/a	n/a	4,000.00	4.0
MERRILL ASSET	032	CRR	S	6,900	54.47	5-Jun	18-Jun	345.00	5.0
DSM CAPITAL PARTNERS	032	CSCO	B	5,050	23.26	n/a	22-Mar	252.50	5.0
SATELITE/BOBBY	044	CVS	S	39,500	39.00	n/a	n/a	1,975.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY1	036	C	S	100	16.14	19-Jun	2-Jul	0.00	0.0
FIRST NY SEC/CHRIS	041	DKS	S	10,000	17.29	7-Jul	7-Jul	600.00	6.0
J L F ASSET MGMT	039	DKS	B	92,200	17.39	7-Jul	7-Jul	3,688.00	4.0
N STRATTON	014	DSNY	B	3,000	0.40	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	DUF	B	10,900	15.98	n/a	n/a	436.00	4.0
WHEELER	008	DUG	S	4,000	30.32	n/a	n/a	0.00	0.0
LUCHANSKY1	036	EOG	S	100	119.88	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	EQR	S	150,000	38.14	n/a	n/a	7,500.00	5.0
BALYASNY ASSET MGMT	044	EV	SS	40,000	35.99	n/a	2-Jul	2,000.00	5.0
WEISS PECK	040	EV	C	4,500	35.98	n/a	2-Jul	225.00	5.0
J L F ASSET MGMT	039	FDO	B	25,000	22.85	30-Jun	2-Jul	1,000.00	4.0
GREEN ARROW CAPITAL	034	FII	SS	50,000	32.25	14-Apr	2-Jul	2,000.00	4.0
WILLIAM BLAIR	031	FLIR	B	42,810	42.82	n/a	n/a	1,712.40	4.0
BAYPOINT/PRIMEMOVERS	041	FTK	B	5,000	17.78	n/a	n/a	200.00	4.0
LUCHANSKY	036	FTK	S	500	18.49	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	60,000	36.49	n/a	n/a	2,400.00	4.0
J L F ASSET MGMT	039	GET	SS	34,900	21.70	n/a	n/a	1,396.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLE ARK	031	GIL	B	145,540	24.87	30-Apr	27-Jun	8,732.40	6.0
HART	056	GMUT	S	8	7.90	n/a	n/a	0.00	0.0
MCCARTAN	028	GM	C	500	10.14	24-Jun	2-Jul	0.00	0.0
DSM CAPITAL PARTNERS	032	GPRO	B	2,200	48.03	n/a	n/a	110.00	5.0
CR INTRINIC INVESTMENT	044	GSK	SS	25,000	46.32	n/a	n/a	1,250.00	5.0
SANDLER O'NEILL ASSET	031	GS	S	10,000	171.34	19-Mar	17-Jun	500.00	5.0
SAC TRADING HOWARD	038	GYMB	B	20,000	39.00	3-Jun	2-Jul	1,000.00	5.0
DSM CAPITAL PARTNERS	032	HOLX	B	5,100	21.66	n/a	n/a	255.00	5.0
SATELITE/BOBBY	044	IGT	SS	10,000	24.91	n/a	18-Apr	500.00	5.0
BLUE HARBOR	036	IWM	B	150,000	65.22	n/a	n/a	4,500.00	3.0
J L F ASSET MGMT	039	JCP	SS	50,000	37.60	n/a	2-Jul	2,000.00	4.0
MILLENIUM KOZLOW	028	JCP	S	10,000	36.78	n/a	2-Jul	500.00	5.0
BECK MACK & OLIVER LLC	045	JNJ	B	2,600	65.08	n/a	22-Apr	130.00	5.0
EGS ASSOCIATES LP	091	JNY	B	3,500	13.10	n/a	1-May	210.00	6.0
MERRILL ASSET	032	JNY	B	29,300	13.44	n/a	1-May	1,318.50	4.5
BUCK CAPITAL MGMT	001	KSS	B	10,000	43.17	n/a	2-Jul	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SATELITE/BOBBY	044	KSS	S	5,000	43.21	n/a	2-Jul	250.00	5.0
MCCARTAN	028	KSS	S	200	42.88	n/a	2-Jul	0.00	0.0
N STRATTON	014	LEG	SS	200	14.50	n/a	n/a	0.00	0.0
NATIONAL BK OF CAN	031	LEH	B	20,000	21.07	9-Jun	17-Jun	800.00	4.0
SIGMA CAPITAL ASSOCIATES	237	LOW	SS	50,000	20.00	n/a	27-Jul	2,500.00	5.0
BECK MACK & OLIVER LLC	045	LVLTT	B	2,700	2.60	n/a	4-Jan	81.00	3.0
DISCIPLINED GROWTH INV.	030	LVLTT	S	33,400	2.71	n/a	4-Jan	1,002.00	3.0
GREEN ARROW CAPITAL	034	MER	SS	75,000	30.48	24-Jun	24-Jun	3,000.00	4.0
APEX CAPITAL MGMT.	091	MFE	B	106,000	33.39	n/a	3-Apr	5,300.00	5.0
LUCHANSKY	036	MIR	S	500	36.12	n/a	n/a	0.00	0.0
BECK MACK & OLIVER LLC	045	MOLXA	B	6,250	22.18	n/a	n/a	312.50	5.0
BECK MACK & OLIVER LLC	045	MO	B	5,100	20.32	n/a	n/a	255.00	5.0
OTC ERROR	099	MSFT	B	100	26.18	n/a	3-Apr	0.00	0.0
OTC ERROR	099	MSFT	S	100	26.16	n/a	3-Apr	0.00	0.0
LEDDY	035	MTW	B	500	27.97	n/a	23-May	0.00	0.0
DSG CAPITAL	225	MYL	B	30,000	11.76	30-May	7-Jul	1,500.00	5.0
SINCLAIR	031	NBRLJ	B	8	4.10	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SINCLAIR	031	NBRLJ	S	6	4.00	n/a	n/a	0.00	0.0
LUCHANSEY	036	NIHD	S	150	43.22	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	NLC	S	80,800	20.63	n/a	n/a	4,040.00	5.0
LISTED ERR	099	NT	B	100	7.45	n/a	22-Mar	0.00	0.0
LISTED ERR	099	NT	S	100	7.41	n/a	22-Mar	0.00	0.0
AELTUS/ING INVESTMENT MGT	044	ORCL	B	294,300	21.19	n/a	n/a	11,772.00	4.0
HOLDEN CAPITAL MGMT	035	PAG	B	20,900	14.27	10-Jun	10-Jun	1,045.00	5.0
SATELITE/BOBBY	044	PBG	B	15,000	28.42	n/a	n/a	750.00	5.0
BASIX	033	PKG	B	30,000	22.08	7-Apr	26-Jun	1,200.00	4.0
WAGONHOUND/NIC	048	PNRA	B	1,061	46.18	n/a	30-Jun	42.44	4.0
BLUE HARBOR	036	PVH	B	90,000	35.45	5-May	22-May	4,500.00	5.0
FIRST NEW YORK LEDDY	035	Q	B	75,000	3.85	n/a	n/a	4,500.00	6.0
JP MORGAN VENTURES	046	RL	C	10,000	62.85	7-Feb	29-May	500.00	5.0
WEISS PECK	040	SLM	B	4,200	16.33	n/a	n/a	210.00	5.0
SENECA CAPITAL LP NY	028	SPY	SS	42,000	125.47	n/a	n/a	2,100.00	5.0
J L F ASSET MGMT	039	SSI	B	3,100	11.80	n/a	2-Jul	124.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PRINCIPLE CAPITAL	040	STZ	B	57,000	20.82	n/a	n/a	1,710.00	3.0
CAXTON PART	040	TEL	S	25,000	35.09	n/a	n/a	875.01	3.5
TRELLUS PARTNERS LP	028	TEN	C	29,300	13.02	n/a	n/a	1,465.00	5.0
WILLIAM BLAIR	031	TEVA	S	45,000	43.60	n/a	7-Jul	1,800.00	4.0
J L F ASSET MGMT	039	TGT	B	24,700	47.79	n/a	2-Jul	988.00	4.0
HIGHBRIDGE CAPITAL	038	THQI	SS	60,400	19.70	n/a	n/a	3,020.00	5.0
BUCK CAPITAL MGMT	001	TIF	SS	10,000	39.25	n/a	2-Jun	400.00	4.0
WAGONHOUND/NIC	048	TJX	B	16,608	31.65	n/a	2-Jul	664.32	4.0
CAXTON PART	040	TSM	S	60,953	10.29	n/a	n/a	2,133.36	3.5
ZOLIDIS	011	TWM	S	500	83.00	n/a	n/a	0.00	0.0
OTC ERROR	099	UFPI	S	400	26.34	n/a	n/a	0.00	0.0
ROYCE TRADING	216	UNT	S	5,900	81.24	20-Mar	18-Jun	295.00	5.0
ARIENCE CAPITAL	036	URS	S	189,576	36.90	n/a	n/a	9,478.80	5.0
MCCARTAN	028	VC	B	2,000	2.24	n/a	9-Jun	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	B	50,000	55.69	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BECK MACK & OLIVER LLC	045	WAT	B	5,250	65.55	n/a	n/a	262.50	5.0
MERRILL ASSET	032	WBS	B	20,000	17.97	n/a	n/a	900.00	4.5
KARSCH CAPITAL	034	WFC	SS	100,000	22.91	n/a	n/a	3,000.00	3.0
CR INTRINIC INVESTMENT	044	WYE	S	50,000	47.70	n/a	31-Mar	2,500.00	5.0
TOTAL (Trade Date) :								160,094.23	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/16	N STRATTON (N STRATTON)	014	FBSI	S	300	12.98	n/a	n/a	0.00	0.0
AO,CRT	06/16	N STRATTON (N STRATTON)	014	FBSI	S	300	12.98	n/a	n/a	0.00	0.0
AO,CXL	07/02	DSM CAPITAL PARTNERS (DSMCFIIGF)	032	GENZ	B	3,000	72.38	n/a	n/a	120.00	4.0
AO,CRT	07/02	DSM CAPITAL PARTNERS (DSMCFIIGF)	032	GENZ	B	3,000	72.38	n/a	n/a	150.00	5.0
AO,	07/03	EGS ASSOCIATES LP (EGASBANC O)	091	JNY	B	10,000	13.44	n/a	1-May	600.00	6.0
AO,CXL	07/03	FRONTPOINT (FROP Morgan)	044	SPY	SS	5,900	125.26	n/a	n/a	236.00	4.0
AO,	07/03	FRONTPOINT CHRIS C (FPCCMS)	041	SPY	SS	5,900	125.26	n/a	n/a	236.00	4.0
AO,	07/03	LISTED ERR (LISTED ERR)	091	UFPI	B	400	27.30	n/a	n/a	20.00	5.0
AO,	07/03	BAYPOINT TRADING/XYLEM (BAYXXYLEM)	091	UFPI	B	2,518	27.30	n/a	n/a	125.90	5.0
AO,CXL	06/30	ADALY INV MGMT (ADALBMOADA)	067	WBC	S	3,800	46.56	30-Apr	11-Jun	190.00	5.0
AO,CRT	06/30	ADALY INV MGMT (ADALOMNI)	067	WBC	S	3,800	46.56	30-Apr	11-Jun	190.00	5.0
AO,	07/03	FRONTPOINT CHRIS C (FPCCMS)	041	WMT	B	25,000	56.88	30-Jun	2-Jul	1250.00	5.0
AO,CXL	07/03	FRONTPOINT (FROP Morgan)	044	X	SS	800	150.75	n/a	n/a	32.00	4.0
AO,	07/03	FRONTPOINT CHRIS C (FPCCMS)	041	X	SS	800	150.75	n/a	n/a	32.00	4.0
TOTAL (AO, CXL, CRT) :										2,025.90	

TOTAL (Trade Date):

160,094.23

TOTAL (AO, CXL, CRT):

2,025.90

TOTAL (RUN DATE) / NFS:

162,120.13

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION