



Daily Compliance Activity Report

Trade Date: 06/23/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AAP	SS	10,000	38.95	n/a	n/a	500.00	5.0
CSFB GLOBAL PROPRIETARY	041	AA	S	6,000	37.58	n/a	n/a	300.00	5.0
ROTHSCHILD ASSET	040	ADI	S	21,020	32.99	n/a	n/a	945.90	4.5
CATAPULT	040	AEO	B	50,000	15.99	6-Mar	6-Jun	2,000.00	4.0
COUGAR TRADING	044	AEO	B	5,000	15.91	6-Mar	6-Jun	250.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	10,000	66.45	10-Apr	6-Jun	400.00	4.0
MORRISON/TLB/BAYPOINT	040	AN	S	2,000	11.67	23-Jun	23-Jun	100.00	5.0
CAXTON PART	040	ARBA	B	234,136	15.35	n/a	n/a	8,194.76	3.5
PILOT ROCK	028	AVP	SS	122,000	35.87	4-Mar	17-Jun	4,880.00	4.0
S.A.C. CAPITAL MGMT.	044	AW	B	100,000	13.44	n/a	n/a	5,000.00	5.0
BUCK	015	BAC	SS	2,500	26.39	n/a	16-Jun	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	BBY	S	50,000	41.17	n/a	27-Jul	2,000.00	4.0
BUCK CAPITAL MGMT	001	BBY	SS	20,000	42.07	n/a	27-Jul	800.00	4.0
BALYASNY ASSET MGMT	044	BEN	S	10,000	98.78	24-Mar	23-Jun	500.00	5.0
COUGAR TRADING	044	BKC	S	10,000	27.99	1-May	2-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	BK	B	17,100	40.90	n/a	18-Jun	684.00	4.0
WHEELER	008	BQI	S	25,000	6.61	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	CAO	S	100,000	11.17	n/a	n/a	5,000.00	5.0
KORMAN	003	CHCG	S	6,000	1.25	n/a	n/a	0.00	0.0
LEDDY	035	CHCG	S	3,500	1.25	n/a	n/a	0.00	0.0
BARR	096	CHK	B	200	66.57	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	CHL	B	3,500	68.80	n/a	n/a	140.00	4.0
MATTHES CAPITAL MGMT	033	COH	B	66,800	30.46	4-Apr	23-Apr	2,672.00	4.0
MORGENS WATERFALL	040	COH	S	48,000	30.66	4-Apr	23-Apr	1,440.00	3.0
TRELLUS PARTNERS LP	028	COH	B	20,000	30.20	4-Apr	23-Apr	1,000.00	5.0
ZOLIDIS	011	DECK	S	190	143.95	n/a	n/a	0.00	0.0
CAXTON PART	040	DFG	SS	16,030	26.15	n/a	n/a	561.06	3.5
BEAR STEARNS ASSET MGMT.	033	DF	S	175,300	18.42	n/a	n/a	7,012.00	4.0
ENTRUST CAPITAL	040	DPZ	B	51,000	11.95	n/a	24-Apr	2,550.00	5.0
TRELLUS PARTNERS LP	028	DRI	C	30,000	30.71	n/a	12-Jun	1,500.00	5.0
BALYASNY ASSET MGMT	044	FII	SS	75,000	36.19	14-Apr	23-Jun	3,750.00	5.0
BUCK	015	FNM	C	3,000	22.48	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	FRE	SS	2,000	21.53	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	GE	C	100,000	27.60	n/a	n/a	5,000.00	5.0
CASTLEROCK	040	GM	B	259,400	13.19	12-Jun	23-Jun	10,376.00	4.0
COLONIAL FUND LLC	036	GM	SS	50,000	13.18	12-Jun	23-Jun	2,500.00	5.0
COUGAR TRADING	044	GPS	B	5,000	16.87	n/a	6-Jun	250.00	5.0
HUNTER GLOBAL INVESTOR	067	HAL	B	50,000	52.77	n/a	n/a	2,500.00	5.0
N STRATTON	014	HEMGF	B	200	3.85	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	HLX	B	50,000	40.99	n/a	n/a	2,500.00	5.0
BEAR STEARNS ASSET MGMT.	033	IBM	S	60,000	123.91	n/a	n/a	2,400.00	4.0
NOMURA CAPITAL	045	IESC	S	4,220	17.02	n/a	n/a	211.00	5.0
BALYASNY ASSET MGMT	044	IVZ	S	50,000	25.93	10-Apr	23-Jun	2,500.00	5.0
DIAMONDBACK CAP MGMT	232	JAVA	SS	75,000	11.29	n/a	n/a	3,750.00	5.0
BENCHMARK	028	JBHT	S	5,000	33.49	n/a	n/a	250.00	5.0
FRIESS MGMT	033	JCG	B	269,300	33.63	n/a	30-May	10,772.00	4.0
JP MORGAN VENTURES	046	JNY	B	25,000	14.47	n/a	1-May	1,250.00	5.0
BARR	096	LAVA	S	2,000	7.01	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HEALY CIRCLE	044	LEH	S	25,000	23.64	9-Jun	17-Jun	1,250.00	5.0
KORMAN	003	LEH	S	1,500	23.56	9-Jun	17-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	SS	55,000	15.37	n/a	14-May	2,200.00	4.0
SYSTEMATIC FINANCIAL MGT	035	LIZ	B	13,800	15.42	n/a	14-May	517.50	3.8
HUNTER GLOBAL INVESTOR	067	MAN	SS	75,000	57.16	n/a	n/a	3,750.00	5.0
ROTHSCHILD ASSET	040	MCD	S	26,220	57.71	9-Jun	9-Jun	1,179.90	4.5
BUCK	015	MER	C	2,000	34.52	18-Jan	16-Jun	0.00	0.0
CROWLEY	061	MIRWSB	S	500	21.21	n/a	n/a	0.00	0.0
UBS OCONNOR	031	MS	SS	135,000	37.69	n/a	19-Jun	4,050.00	3.0
FIRST INV MGMT CORP	036	NOV	S	10,000	90.54	n/a	n/a	500.00	5.0
HUNTER GLOBAL INVESTOR	067	OC	B	83,000	23.83	n/a	n/a	4,150.00	5.0
CAXTON PART	040	OXY	B	58,303	87.88	n/a	n/a	2,040.62	3.5
CSFB GLOBAL PROPRIETARY	041	PCL	S	24,000	43.73	29-Apr	29-Apr	1,200.00	5.0
WHEELER	008	PENX	B	3,000	18.00	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	PLCE	B	18,000	35.54	23-Jun	23-Jun	720.00	4.0
COUGAR TRADING	044	PSUN	B	15,000	8.86	n/a	6-Jun	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK NICK	029	Q	S	70,000	3.79	n/a	n/a	3,500.00	5.0
JP MORGAN VENTURES	046	RL	SS	10,000	64.05	7-Feb	29-May	500.00	5.0
HUNTER GLOBAL INVESTOR	067	SAP	SS	50,000	51.60	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	SDS	B	10,000	63.23	n/a	n/a	500.00	5.0
COUGAR TRADING	044	SDS	S	10,000	63.07	n/a	n/a	500.00	5.0
BARR	096	SQNM	B	1,000	14.45	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	SSCC	B	80,000	5.37	7-Apr	23-Apr	3,200.00	4.0
CSFB GLOBAL PROPRIETARY	041	TEL	B	5,000	36.25	n/a	n/a	250.00	5.0
CAMBIAR CAP	046	TGT	B	55,260	48.57	n/a	5-Jun	2,210.40	4.0
BUCK CAPITAL MGMT	001	TIF	SS	10,000	44.43	n/a	2-Jun	400.00	4.0
JP MORGAN VENTURES	046	TIF	SS	10,000	45.03	n/a	2-Jun	500.00	5.0
BARR	096	TOL	SS	4,000	19.85	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	TROW	SS	20,000	58.64	n/a	23-Jun	1,000.00	5.0
ROTHSCHILD ASSET	040	TUP	S	33,010	36.21	n/a	n/a	1,485.45	4.5
SINCLAIR	031	VGNMD	S	40	8.40	n/a	n/a	0.00	0.0
JCK PARTNERS	067	WBC	B	15,750	51.16	30-Apr	11-Jun	630.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	WY	B	20,000	53.47	7-Apr	27-May	1,000.00	5.0
KAICHER	064	XCO	B	2,000	35.05	n/a	n/a	0.00	0.0
BARR	096	XCO	B	250	35.13	n/a	n/a	0.00	0.0
FIRST INV MGMT CORP	036	XOM	S	14,000	86.61	n/a	n/a	700.00	5.0
TOTAL (Trade Date):							138,122.59		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	06/20	MORRISON/TLB/BAYPOINT (MORRTLBS)	040	AN	SS	8,000	12.18	23-Jun	23-Jun	400.00	5.0
AO,CXL	06/20	EGS ASSOCIATES LP (EGASBANC O)	091	ANF	B	5,000	67.58	10-Apr	6-Jun	300.00	6.0
AO,CRT	06/20	EGS ASSOCIATES LP (EGASBANC O)	091	ANF	B	5,000	67.51	10-Apr	6-Jun	300.00	6.0
CRT	06/23	DIAMONDBACK CAP MGMT (DIAMMSMAIN)	232	GE	C	13,500	27.60	n/a	n/a	675.00	5.0
CRT	06/23	DIAMONDBACK CAP MGMT (DIAMMSMAIN)	232	GE	C	86,500	27.59	n/a	n/a	4325.00	5.0
AO,	06/20	NOMURA CAPITAL (NOMUNCRAM)	045	GETIL	S	1,419	21.71	n/a	n/a	70.95	5.0
AO,CXL	06/20	NOMURA CAPITAL (NOMUNOCBO2)	045	GETIL	S	2,775	21.71	n/a	n/a	138.75	5.0
AO,	06/20	NOMURA CAPITAL (NOMUNOCBO2)	045	GETIL	S	1,356	21.71	n/a	n/a	67.80	5.0
AO,CRT	06/20	AVENUE CAPITAL MGMT (AVENGOLDMA)	036	GM	SS	100,000	14.14	12-Jun	23-Jun	5000.00	5.0
AO,CXL	06/20	AVENUE CAPITAL MGMT (AVENGOLDMA)	036	GM	SS	100,000	14.14	12-Jun	23-Jun	4000.00	4.0
AO,CXL	06/20	NOMURA CAPITAL (NOMUNOCBO2)	045	IESC	S	9,615	17.01	n/a	n/a	480.75	5.0
AO,	06/20	NOMURA CAPITAL (NOMUNOCBO2)	045	IESC	S	7,235	17.02	n/a	n/a	361.75	5.0
AO,	06/20	NOMURA CAPITAL (NOMUNOCBO2)	045	IESC	S	2,380	17.00	n/a	n/a	119.00	5.0
AO,	06/20	KORMAN (KORMAN)	003	JASO	B	5,000	22.50	n/a	n/a	0.00	0.0
AO,	06/20	HEALY CIRCLE (HEALUBS)	044	LEH	S	4,852	23.77	9-Jun	17-Jun	242.60	5.0
AO,	06/20	HEALY CIRCLE (HEALBANC O)	044	LEH	S	20,148	23.77	9-Jun	17-Jun	1007.40	5.0
TOTAL (AO, CXL, CRT) :										7,650.00	

TOTAL (Trade Date):	138,122.59
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TOTAL (RUN DATE) / NFS:

145,772.59

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION