



Daily Compliance Activity Report

Trade Date: 05/23/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ABG	S	1,000	16.51	n/a	25-Apr	non-brg	4.0
BUCK CAPITAL MGMT		ABG	S	102,300	16.41	n/a	25-Apr	non-brg	2.0
COUGAR TRADING	044	AEO	B	5,000	17.20	6-Mar	9-May	250.00	5.0
EPOCH INV MGMT	030	ALC	B	900	6.40	n/a	n/a	36.00	4.0
DIAMONDBACK CAP MGMT	232	ALL	B	20,000	50.09	n/a	n/a	1,000.00	5.0
CAVU CAP ADV	028	AMAT	S	16,600	18.82	n/a	n/a	830.00	5.0
COUGAR TRADING	044	ANF	B	2,500	71.22	10-Apr	16-May	125.00	5.0
BUCK CAPITAL MGMT	001	ANN	SS	10,000	25.90	14-Mar	22-May	400.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	50,000	32.49	28-Apr	23-May	2,000.00	4.0
COUGAR TRADING	044	ARO	SS	20,000	31.94	28-Apr	23-May	1,000.00	5.0
SUTERA	160	ASTI	B	1,000	13.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BBBY	SS	50,000	30.68	n/a	n/a	non-brg	4.0
GENERAL ELECTIC CO	033	BBBY	B	13,736	30.80	n/a	n/a	274.72	2.0
RBC CAPITAL MARKETS	034	BBY	SS	2,500	43.35	n/a	27-Jul	100.00	4.0
BUCK CAPITAL MGMT		BHQ+WY	SS	1,000	1.90	n/a	n/a	non-brg	300.0
MORRISON&FRASIER/BUTLER	040	BID	S	10,000	25.23	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		BIG+SD	C	1,300	0.40	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	BJ	SS	10,000	38.82	n/a	27-Jul	400.00	4.0
BENCHMARK	028	BKS	S	10,000	28.67	n/a	n/a	500.00	5.0
WHITE PINE CAPITAL	030	BONT	B	1,000	6.81	4-Jan	23-May	50.00	5.0
HOLDEN CAPITAL MGMT	035	BPOP	SS	30,000	11.40	n/a	n/a	1,500.00	5.0
DURANGO INVESTMENTS	031	BUD	S	20,000	54.47	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		BWA	SS	25,000	52.05	5-May	5-May	non-brg	4.0
TRIVIUM CAP MGMT	251	BWA	SS	15,000	52.00	5-May	5-May	600.00	4.0
MFP INVESTORS	032	BYD	B	23,700	15.66	30-Apr	30-Apr	1,185.00	5.0
PILOT ADV	038	B	SS	55,000	29.90	n/a	n/a	2,200.00	4.0
ROYCE TRADING	216	CAB	B	10,000	13.26	n/a	n/a	500.00	5.0
BUCK	015	CAL	C	1,000	13.06	n/a	n/a	0.00	0.0
LEDDY	035	CHCG	S	5,000	1.57	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	CLX	B	12,000	56.69	n/a	2-May	480.00	4.0
COUGAR TRADING	044	COH	B	10,000	34.01	4-Apr	23-Apr	500.00	5.0
EGS ASSOCIATES LP	091	COH	B	3,000	34.00	4-Apr	23-Apr	180.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	034	COST	SS	2,500	70.86	n/a	27-Jul	100.00	4.0
GALLEON MGMT LP	033	COT	B	5,000	3.07	n/a	n/a	250.00	5.0
COUGAR TRADING	044	CPKI	B	5,000	13.68	n/a	9-May	250.00	5.0
BUCK	015	CPKI	SS	1,000	13.68	n/a	9-May	0.00	0.0
WHEELER	008	CPNID	B	200	3.60	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	CY	B	100,000	28.23	n/a	n/a	4,000.00	4.0
CATAPULT	040	DBRN	SS	25,000	13.91	n/a	n/a	1,000.00	4.0
STADIA CAPITAL	035	DECK	B	10,000	131.60	n/a	n/a	400.00	4.0
MORRISON&FRASIER/BUTLER	040	DHT	S	10,000	10.97	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		DKS+GE	SS	285	0.60	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		DLTR	SS	10,000	32.97	n/a	9-May	non-brg	4.0
B BUCK CAPITAL MGMT		DLTR	SS	50,000	32.62	n/a	9-May	non-brg	4.0
KACELA CAPITAL	034	DRI	B	20,000	32.04	n/a	15-Apr	800.00	4.0
EPOCH INV MGMT	030	DUF	B	700	16.60	n/a	n/a	28.00	4.0
BUCK	015	DVN	B	500	119.40	7-May	21-May	0.00	0.0
EPOCH INV MGMT	030	DVR	B	950	14.15	n/a	n/a	38.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CUMBERLAND	038	EAT	B	25,000	19.70	n/a	24-Apr	1,000.00	4.0
EPOCH INV MGMT	030	EDR	B	800	12.82	n/a	n/a	32.00	4.0
WYPER CAPITAL	044	EMC	S	100,000	17.06	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		FDO	SS	50,000	19.49	8-Jan	9-May	non-brg	4.0
RBC CAPITAL MARKETS	034	FDO	SS	5,000	19.35	8-Jan	9-May	200.00	4.0
EPOCH INV MGMT	030	FFIC	B	450	19.42	n/a	n/a	18.00	4.0
B BUCK CAPITAL MGMT		FMQ+TE	SS	1,000	1.17	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		FOSL	B	10,000	30.45	n/a	14-May	non-brg	1.0
EGS ASSOCIATES LP	091	FOSL	B	5,000	30.44	n/a	14-May	300.00	6.0
LEVIN CAPITAL STRATEGIES	012	GES	B	10,000	37.92	n/a	n/a	400.00	4.0
ROYCE TRADING	216	GFF	B	10,000	9.03	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		GIL	B	30,000	27.53	30-Apr	8-May	non-brg	4.0
COUGAR TRADING	044	GIL	B	10,000	27.18	30-Apr	8-May	500.00	5.0
DELTEC ASSET MGMT.	040	GLW	S	10,000	27.15	n/a	7-May	500.00	5.0
B BUCK CAPITAL MGMT		GM+XC	SS	2,000	2.15	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	GM	SS	120,000	17.73	29-Apr	19-May	4,800.00	4.0
WAGONHOUND	048	GM	B	15,320	17.42	29-Apr	19-May	612.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	GOOG	B	100	550.90	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	SS	10,000	17.98	n/a	23-May	500.00	5.0
S CAST	016	GSI	S	300	8.51	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	GT	S	259,000	25.46	n/a	n/a	12,950.00	5.0
COUGAR TRADING	044	GYMB	B	5,000	44.24	22-May	22-May	250.00	5.0
COUGAR TRADING	044	GYMB	SS	5,000	43.61	22-May	22-May	250.00	5.0
STADIA CAPITAL	035	GYMB	B	20,000	44.39	22-May	22-May	800.00	4.0
EPOCH INV MGMT	030	HAE	B	150	55.30	n/a	n/a	6.00	4.0
N STRATTON	014	HIBB	SS	200	20.00	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	HLIT	B	550	9.11	n/a	n/a	22.00	4.0
EPOCH INV MGMT	030	HXL	B	300	22.44	n/a	n/a	12.00	4.0
EPOCH INV MGMT	030	HYC	B	1,700	4.79	n/a	n/a	68.00	4.0
ROYCE TRADING	216	HZO	S	10,000	10.46	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	JAH	S	20,000	19.58	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	10,000	45.31	n/a	12-Mar	400.00	4.0
COUGAR TRADING	044	JCG	B	5,000	45.16	n/a	12-Mar	250.00	5.0
COUGAR TRADING	044	JCG	S	5,000	45.66	n/a	12-Mar	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STADIA CAPITAL	035	JCG	B	10,000	45.71	n/a	12-Mar	400.00	4.0
BUCK	015	JPM	C	1,000	42.40	8-May	15-May	0.00	0.0
COUGAR TRADING	044	JWN	B	30,000	33.24	16-May	16-May	1,500.00	5.0
COUGAR TRADING	044	JWN	S	30,000	33.15	16-May	16-May	1,500.00	5.0
RBC CAPITAL MARKETS	034	JWN	SS	5,000	33.11	16-May	16-May	200.00	4.0
BUCK CAPITAL MGMT	001	KSS	S	175,000	43.01	n/a	16-May	7,000.00	4.0
COUGAR TRADING	044	KSS	B	15,000	43.24	n/a	16-May	750.00	5.0
DIAMONDBACK CAP MGMT	232	KSS	S	25,000	42.76	n/a	16-May	1,250.00	5.0
LEVIN CAPITAL STRATEGIES	012	KSS	C	20,000	43.51	n/a	16-May	800.00	4.0
EPOCH INV MGMT	030	KVA	B	450	25.27	n/a	n/a	18.00	4.0
NATIONAL BK OF CAN	031	LEH	B	20,000	35.72	1-Apr	22-May	800.00	4.0
SANDLER O'NEILL ASSET	031	LEH	B	50,000	37.27	1-Apr	22-May	2,500.00	5.0
EMP ERROR	099	LESJJ	B	30	1.51	n/a	n/a	0.00	0.0
EMP ERROR	099	LESJJ	S	30	1.52	n/a	n/a	0.00	0.0
ROYCE TRADING	216	LF	S	3,600	8.00	n/a	n/a	180.00	5.0
B BUCK CAPITAL MGMT		LIZ	SS	30,000	16.40	n/a	14-May	non-brg	4.0
DAVIDSON KEMPNER ADVISOR	040	LPX	B	122,600	11.45	7-Apr	7-May	4,505.00	2.5
SCOPUS FUND	041	LPX	S	50,300	11.41	7-Apr	7-May	2,515.00	5.0
EGS ASSOCIATES LP	091	LTD	B	5,000	18.59	n/a	23-May	300.00	6.0
B BUCK CAPITAL MGMT		LVS	C	75,000	68.08	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EMP ERROR	099	LYHAI	B	30	3.80	n/a	n/a	0.00	0.0
EMP ERROR	099	LYHAI	S	30	3.65	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		M+GE	SS	2,000	0.67	n/a	n/a	non-brg	100.0
EPOCH INV MGMT	030	MAKO	B	450	8.99	n/a	n/a	18.00	4.0
ROYCE TRADING	216	MED	S	8,600	4.92	n/a	n/a	258.00	3.0
MFP INVESTORS	032	MFW	B	1,000	33.00	n/a	n/a	50.00	5.0
AMBER CAPITAL	046	MI	B	200,000	23.44	n/a	n/a	8,000.00	4.0
EPOCH INV MGMT	030	MNRO	B	150	17.69	n/a	n/a	6.00	4.0
ROYCE TRADING	216	MRN	S	5,000	4.10	n/a	n/a	150.00	3.0
BUCK	015	MS	SS	1,000	42.16	20-Dec	22-May	0.00	0.0
⚡ BUCK CAPITAL MGMT		MVRX	SS	35,000	141.44	n/a	n/a	non-brg	14.1
BUCK CAPITAL MGMT	001	M	S	260,000	22.54	7-Feb	15-May	10,400.00	4.0
COUGAR TRADING	044	M	B	10,000	22.66	7-Feb	15-May	500.00	5.0
STELLIAM INV ADV	040	M	B	15,000	22.77	7-Feb	15-May	750.00	5.0
EPOCH INV MGMT	030	NSR	B	250	22.36	n/a	n/a	10.00	4.0
EPOCH INV MGMT	030	NTLS	B	250	27.59	n/a	n/a	10.00	4.0
EPOCH INV MGMT	030	NTRI	B	250	20.17	n/a	n/a	10.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DIAMONDBACK CAP MGMT	232	PAYX	C	25,000	34.11	n/a	n/a	1,250.00	5.0
BARR	096	PDFS	B	2,000	5.17	n/a	n/a	0.00	0.0
J COHEN	N27	PDFS	B	300	5.16	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PERY	S	15,000	26.67	n/a	n/a	non-brg	2.5
EGS ASSOCIATES LP	091	PVH	SS	4,000	42.72	5-May	22-May	240.00	6.0
WYPER CAPITAL	044	QCOM	B	125,647	48.02	n/a	n/a	5,025.88	4.0
B BUCK CAPITAL MGMT		QUY+SW	SS	1,000	0.85	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		QZS+GG	SS	1,000	0.60	n/a	n/a	non-brg	100.0
COUGAR TRADING	044	RL	B	15,000	59.50	7-Feb	6-May	750.00	5.0
CUMBERLAND	038	RT	S	25,000	7.30	n/a	n/a	1,000.00	4.0
WYPER CAPITAL	044	SGR	B	150,000	58.98	n/a	n/a	6,000.00	4.0
B BUCK CAPITAL MGMT		SKX	S	50,000	22.22	n/a	13-Mar	non-brg	4.0
EPOCH INV MGMT	030	SLH	B	350	25.37	n/a	n/a	14.00	4.0
DIAMONDBACK CAP MGMT	232	SNV	SS	65,000	12.02	n/a	n/a	3,250.00	5.0
WESLEY CAPITAL	246	SSCC	B	5,000	5.92	7-Apr	23-Apr	200.00	4.0
ROXBURY CAPITAL MGMT	099	SSI	S	72,683	12.49	n/a	23-May	2,907.32	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	SSI	B	1,000	12.63	n/a	23-May	0.00	0.0
LISTED ERR	099	SSI	S	1,000	12.49	n/a	23-May	0.00	0.0
EPOCH INV MGMT	030	SWS	B	550	17.40	n/a	n/a	22.00	4.0
EPOCH INV MGMT	030	SXT	B	100	30.36	n/a	n/a	4.00	4.0
EPOCH INV MGMT	030	TFX	B	150	55.78	n/a	n/a	6.00	4.0
⌘ BUCK CAPITAL MGMT		TJX+JG	SS	1,000	1.05	n/a	n/a	non-brg	150.0
RAINIER INV MGMT	035	TNB	S	92,321	41.53	n/a	n/a	4,616.05	5.0
LEVIN CAPITAL STRATEGIES	012	TRA	B	15,000	39.72	n/a	n/a	600.00	4.0
⌘ BUCK CAPITAL MGMT		TRLG	B	50,000	23.51	n/a	n/a	non-brg	1.0
EPOCH INV MGMT	030	TUP	B	200	37.57	n/a	n/a	8.00	4.0
SYSTEMATIC FINANCIAL MGT	035	TUP	B	19,000	37.47	n/a	n/a	712.25	3.8
CHARTER OAK	033	TXT	B	67,249	60.33	n/a	n/a	3,362.45	5.0
MILLRACE FUND LP	028	UA	B	11,000	33.20	n/a	n/a	440.00	4.0
MCCARTAN	028	UA	B	200	34.01	n/a	n/a	0.00	0.0
LEDDY	035	UQM	S	3,980	2.42	n/a	n/a	0.00	0.0
DANIELS	N25	UQM	B	1,000	2.38	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		URBN	B	60,000	29.53	15-May	15-May	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	URS	B	102,000	44.54	n/a	n/a	5,100.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	VFC	S	35,250	73.94	n/a	n/a	1,321.88	3.8
TRIVIUM CAP MGMT	251	VRSN	SS	50,000	38.94	n/a	n/a	2,000.00	4.0
EPOCH INV MGMT	030	VVC	B	450	28.75	n/a	n/a	18.00	4.0
EPOCH INV MGMT	030	WBC	B	300	49.25	30-Apr	30-Apr	12.00	4.0
B BUCK CAPITAL MGMT		WFMI	SS	20,000	27.68	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	WIBC	B	600	8.69	n/a	n/a	24.00	4.0
SLS CAPITAL LLC	224	WMT	S	117,100	55.44	13-May	13-May	4,684.00	4.0
WHEELER	008	WRLS	B	10,680	3.08	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	WR	B	500	23.61	n/a	n/a	20.00	4.0
B BUCK CAPITAL MGMT		WSM	SS	40,000	24.13	n/a	n/a	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	XOM	C	10,000	92.64	n/a	n/a	400.00	4.0
PROSPECTOR PARTNERS LLC	036	XRX	B	50,000	13.50	n/a	n/a	2,000.00	4.0
BRENCOURT	028	YHOO	B	50,000	27.34	n/a	n/a	2,500.00	5.0
B BUCK CAPITAL MGMT		ZLC+TW	SS	1,000	0.86	n/a	n/a	non-brg	100.0
COUGAR TRADING	044	ZQK	B	30,000	8.96	5-Feb	7-Mar	1,500.00	5.0

TOTAL (Trade Date):

147,065.35

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/22	PILOT ADV	038	IGT	SS	12,000	34.20	n/a	18-Apr	480.00 4.0
AO,	05/22	NOMURA CAPITAL	045	MNTG	S	8,465	5.55	n/a	n/a	338.60 4.0
AO,	05/22	JENNISON	032	MSFT	S	1,100,000	28.42	n/a	3-Apr	44000.00 4.0
AO,	05/22	PECONIC PARTNERS	036	PLCE	B	100,000	31.94	23-May	23-May	5000.00 5.0

TOTAL (AO, CXL, CRT) :	49,818.60
-------------------------------	------------------

TOTAL (Trade Date) :	147,065.35
TOTAL (AO, CXL, CRT) :	49,818.60

TOTAL (RUN DATE) / NFS:	196,883.95
--------------------------------	-------------------

MRI Securities with Trade Activity on 23-May. NONE

MRI Securities without Trade Activity on 23-May. ARG, PLCE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 35%
YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION