



## Daily Compliance Activity Report

Trade Date: 06/24/2010

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WAGONHOUND/NIC	048	ABC	B	809	31.64	n/a	n/a	32.36	4.0
EXIS CAPITAL	044	AEO	B	15,000	12.20	n/a	4-Jun	750.00	5.0
COPIA CAPITAL	031	AEZ	S	95,000	6.58	n/a	n/a	3,325.00	3.5
LAGRANGE CAP ADV	048	ALV	B	10,000	49.30	n/a	28-Apr	500.00	5.0
EXIS CAPITAL	044	ANF	B	45,000	31.79	n/a	4-Jun	2,250.00	5.0
LEVIN CAPITAL STRATEGIES	012	ANF	C	50,000	31.66	n/a	4-Jun	1,500.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>B</b>	<b>10,000</b>	<b>17.29</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
NPIN	030	ATI	SS	2,250	49.02	n/a	n/a	90.00	4.0
ISLE RIDGE PARTNERS	041	BKC	SS	15,000	17.53	n/a	29-Apr	600.00	4.0
JP MORGAN VENTURES	046	CAT	B	10,000	63.49	n/a	12-May	500.00	5.0
GLENHILL CAPITAL	212	CCE	B	200,000	26.48	n/a	n/a	10,000.00	5.0
NPIN	030	CCJ	B	3,000	22.15	n/a	n/a	120.00	4.0
APEX CAPITAL MGMT.	261	CHS	B	100,000	10.71	n/a	5-Feb	4,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CHS</b>	<b>B</b>	<b>100,000</b>	<b>10.58</b>	<b>n/a</b>	<b>5-Feb</b>	<b>4,000.00</b>	<b>4.0</b>
BAIRD INVESTMENT	047	COG	B	2,225	33.05	n/a	3-Jun	111.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	COH	SS	15,000	40.49	n/a	14-May	750.00	5.0
BNP PARIBAS SEC	040	CPB	SS	10,000	36.48	n/a	n/a	400.00	4.0
NPIN	030	CPNO	S	3,200	25.63	n/a	n/a	128.00	4.0
PIONEER OAKRIDGE	031	CSCO	B	100,200	22.70	n/a	n/a	3,006.00	3.0
BAIRD INVESTMENT	047	CXO	S	1,140	56.92	n/a	n/a	57.00	5.0
BAIRD INVESTMENT	047	DRC	B	2,575	32.76	n/a	n/a	128.75	5.0
COOPER CREEK PART	028	DSW	B	22,901	23.71	n/a	11-Jun	916.04	4.0
BALYASNY ASSET MGMT	044	EAT	SS	10,000	15.14	n/a	n/a	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>EL</b>	<b>SS</b>	<b>10,000</b>	<b>56.53</b>	<b>n/a</b>	<b>7-Jun</b>	<b>400.00</b>	<b>4.0</b>
BNP PARIBAS SEC	040	FINL	B	5,000	14.42	n/a	n/a	200.00	4.0
BASIX	033	FL	B	35,000	13.56	n/a	16-Jun	1,050.00	3.0
ROYCE TRADING	012	FOH	B	10,000	0.83	n/a	n/a	300.00	3.0
DAVIDSON INV ADV	035	F	B	225,180	10.78	n/a	3-Jun	9,007.20	4.0
TRELLUS PARTNERS LP	028	F	B	50,000	10.82	n/a	3-Jun	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>10,000</b>	<b>32.78</b>	<b>n/a</b>	<b>28-May</b>	<b>400.00</b>	<b>4.0</b>
EXIS CAPITAL	044	GES	B	10,000	32.67	n/a	28-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRUSTCO/SUNTRUST	040	GES	B	39,400	32.95	n/a	28-May	1,536.59	3.9
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>S</b>	<b>40,000</b>	<b>30.64</b>	<b>n/a</b>	<b>14-May</b>	<b>1,600.00</b>	<b>4.0</b>
SCOPUS FUND	041	GPI	B	25,000	23.27	n/a	28-Apr	1,250.00	5.0
ROARING BLUE LION MGMT	012	GYMB	B	1,700	42.02	n/a	10-Jun	68.00	4.0
ROYCE TRADING	012	HAR	S	500	33.84	n/a	25-May	25.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HBI</b>	<b>B</b>	<b>40,000</b>	<b>25.24</b>	<b>n/a</b>	<b>14-May</b>	<b>1,600.00</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	HD	SS	50,000	29.84	n/a	19-May	2,500.00	5.0
BNP PARIBAS SEC	040	HD	B	5,000	29.75	n/a	19-May	200.00	4.0
BNP PARIBAS SEC	040	HD	SS	5,000	29.65	n/a	19-May	200.00	4.0
OZ MGMT	040	HD	C	30,000	29.72	n/a	19-May	900.00	3.0
BMO NESBITT BURNS	041	HSP	B	3,000	57.14	n/a	n/a	150.00	5.0
ROYCE TRADING	012	HYC	S	10,500	4.61	n/a	n/a	315.00	3.0
PIONEER OAKRIDGE	031	IBM	B	12,000	128.74	n/a	n/a	360.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>10,000</b>	<b>38.99</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	JCG	SS	25,000	39.04	n/a	n/a	875.00	3.5
ROYCE TRADING	012	JNS	B	1,000	9.81	n/a	n/a	50.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>B</b>	<b>20,000</b>	<b>17.33</b>	<b>n/a</b>	<b>14-May</b>	<b>800.00</b>	<b>4.0</b>
EXIS CAPITAL	044	JWN	B	40,000	35.90	n/a	4-Jun	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
11:11 CAPITAL CORP	046	KG	B	100,600	7.76	n/a	21-Jun	4,024.00	4.0
EXIS CAPITAL	044	KMX	B	20,000	21.34	n/a	n/a	1,000.00	5.0
NPIN	030	KR	B	16,325	20.21	n/a	n/a	653.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>5,000</b>	<b>49.99</b>	<b>n/a</b>	<b>4-Jun</b>	<b>200.00</b>	<b>4.0</b>
ROYCE TRADING	012	KSU	S	500	38.37	n/a	n/a	25.00	5.0
BNP PARIBAS SEC	040	LOW	B	15,000	21.19	n/a	17-May	600.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>B</b>	<b>20,000</b>	<b>22.74</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	MON	B	10,000	49.87	n/a	22-Jun	400.00	4.0
BENCHMARK	025	MSFT	S	5,000	24.96	n/a	n/a	200.00	4.0
PIONEER OAKRIDGE	031	MSFT	S	64,100	25.25	n/a	n/a	1,923.00	3.0
BMO NESBITT BURNS	041	MYL	SS	4,000	17.70	n/a	21-Jun	200.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>B</b>	<b>20,000</b>	<b>18.96</b>	<b>n/a</b>	<b>4-Jun</b>	<b>800.00</b>	<b>4.0</b>
EXIS CAPITAL	044	M	B	10,000	19.43	n/a	4-Jun	500.00	5.0
PIONEER OAKRIDGE	031	NTAP	B	62,000	40.04	n/a	n/a	1,860.00	3.0
JP MORGAN VENTURES	046	NWY	B	25,000	2.55	n/a	n/a	750.00	3.0
BAIRD INVESTMENT	047	OII	B	1,775	45.20	n/a	n/a	88.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUFFOLK CAPITAL MGMT	040	PFE	S	75,000	14.43	n/a	n/a	3,000.00	4.0
DAVIDSON INV ADV	035	PFG	S	17,375	25.10	n/a	n/a	695.00	4.0
SENATOR INVESTMENT GROUP	036	PMC	B	26,793	14.97	n/a	n/a	803.79	3.0
RBC CAPITAL MARKETS	040	PTV	B	10,000	28.79	n/a	n/a	400.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>B</b>	<b>50,000</b>	<b>50.33</b>	<b>n/a</b>	<b>26-May</b>	<b>2,000.00</b>	<b>4.0</b>
PIONEER OAKRIDGE	031	QCOM	S	91,920	34.87	n/a	n/a	2,757.60	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>10,000</b>	<b>76.45</b>	<b>n/a</b>	<b>20-May</b>	<b>400.00</b>	<b>4.0</b>
DSG CAPITAL	225	RL	SS	3,000	77.01	n/a	20-May	90.00	3.0
BANK OF AMERICA/MERRILL	259	RRR	B	7,500	6.50	n/a	30-Apr	225.00	3.0
COHE & STEERS	041	RYN	S	62,706	45.74	n/a	13-May	2,508.24	4.0
WEISS PECK	040	SCVL	B	31,273	20.87	n/a	n/a	1,563.65	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>B</b>	<b>10,000</b>	<b>40.18</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
IMPALA ASSET MMT	012	SMG	S	20,000	43.75	n/a	17-Jun	1,000.00	5.0
TYEE/TYGH	035	SMG	B	49,816	43.84	n/a	17-Jun	1,992.64	4.0
ROYCE TRADING	012	SOA	S	5,000	14.29	n/a	n/a	250.00	5.0
CAVU CAP ADV	028	STX	B	30,000	13.88	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	TIF	SS	10,000	41.48	n/a	n/a	500.00	5.0
ROYCE TRADING	012	TIN	S	1,000	21.89	n/a	21-Apr	50.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>S</b>	<b>250,491</b>	<b>44.30</b>	<b>n/a</b>	<b>4-Jun</b>	<b>10,019.64</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>B</b>	<b>30,000</b>	<b>10.88</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
RK CAPTIAL MGMT	046	TPX	B	14,800	32.67	n/a	n/a	740.00	5.0
KARSCH CAPITAL	034	UPS	S	142,160	60.25	n/a	n/a	4,264.80	3.0
BENNETT LAWRENCE	028	URI	S	26,150	10.64	n/a	n/a	1,046.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>VFC</b>	<b>SS</b>	<b>20,000</b>	<b>74.48</b>	<b>n/a</b>	<b>14-May</b>	<b>800.00</b>	<b>4.0</b>
11:11 CAPITAL CORP	046	VITA	S	39,600	2.42	n/a	n/a	1,188.00	3.0
WAGONHOUND/NIC	048	WBC	S	3,870	33.73	n/a	23-Jun	154.80	4.0
ISLE RIDGE PARTNERS	041	WEN	B	75,000	4.26	n/a	11-Jun	3,000.00	4.0
SANDLER CAPITAL	226	WERN	SS	80,000	22.60	n/a	n/a	4,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WRC</b>	<b>B</b>	<b>10,000</b>	<b>38.58</b>	<b>n/a</b>	<b>14-May</b>	<b>400.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WSM</b>	<b>SS</b>	<b>20,000</b>	<b>25.14</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
COHE & STEERS	041	WY	S	35,100	38.45	n/a	27-May	1,404.00	4.0

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**TOTAL (Trade Date):**

**126,428.10**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/23	BUCK CAPITAL MGMT (BUCKGILAGX)	001	DG	SS	10,000	28.99	n/a	8-Jun	400.00 4.0
AO,CRT	06/23	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	DG	SS	10,000	28.99	n/a	8-Jun	400.00 4.0
AO,CXL	06/23	NPIN (NPINGS)	030	HD	B	1,500	30.65	n/a	19-May	60.00 4.0
AO,CXL	06/23	NPIN (NPINGS)	030	HD	B	1,500	30.50	n/a	19-May	60.00 4.0
AO,	06/23	NPIN (NPINGS)	030	HD	B	3,000	30.58	n/a	19-May	120.00 4.0
AO,CXL	06/23	STANDARD PACIFIC CAPITAL (SPACJPMORG)	040	VNO	S	25,000	77.32	n/a	n/a	1250.00 5.0
AO,CRT	06/23	STANDARD PACIFIC CAPITAL (SPACUBS)	040	VNO	S	25,000	77.32	n/a	n/a	1250.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>0.00</b>

TOTAL (Trade Date) :	126,428.10
TOTAL (AO, CXL, CRT) :	0.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>126,428.10</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION