



Daily Compliance Activity Report

Trade Date: 07/18/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
OZ MGMT	040	ALV	B	75,000	55.01	n/a	20-Jun	2,250.00	0.0
ROSEDALE CAPITAL	046	ALV	B	6,700	53.97	n/a	20-Jun	335.00	5.0
TOURMALINE PARTNERS LLC	086	APKT	B	75,000	17.46	n/a	n/a	2,250.00	0.0
JP MORGAN VENTURES	046	ASNA	S	20,000	19.01	n/a	n/a	600.00	3.0
ROSEDALE CAPITAL	046	BAC	B	60,000	7.77	n/a	18-Jul	1,800.00	3.0
BUCK CAPITAL MGMT		BC IM	S	27,977	13.44	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BC IM	S	36,845	13.44	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BC IM	S	6,676	13.44	n/a	n/a	non-brg	2.0
BALYASNY ASSET MGMT	044	BJRI	SS	10,000	40.90	n/a	n/a	500.00	0.0
BALYASNY ASSET MGMT	044	BWLD	C	17,249	85.60	n/a	n/a	862.45	0.0
JETSTREAM GLOBAL FUND LP	065	CFX	S	33,000	28.00	n/a	n/a	1,650.00	5.0
BUCK CAPITAL MGMT		CMG	S	10,000	397.09	n/a	16-Jul	non-brg	1.0
BUCK CAPITAL MGMT		COH	C	40,000	57.16	18-Jul	18-Jul	non-brg	1.0
BUCK CAPITAL MGMT		COH	C	67,481	57.15	18-Jul	18-Jul	non-brg	4.0
SIMMONS VENTURES	041	COH	B	5,000	56.90	18-Jul	18-Jul	200.00	4.0
BUCK CAPITAL MGMT		COLM	SS	30,000	51.92	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CROX	S	50,000	14.37	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KAREN F LEDDY	FID	CROX	S	600	14.98	n/a	n/a	0.00	0.0
	GOLD SACHS SPECIAL SIT	030	DAN	B	100,000	11.95	n/a	26-Apr	5,000.00	5.0
	BALYASNY ASSET MGMT	044	DECK	C	10,000	46.81	n/a	n/a	500.00	0.0
B	BUCK CAPITAL MGMT		DG	B	10,000	53.71	n/a	27-Jun	non-brg	4.0
	SENATOR INVESTMENT GROUP	036	DHR	B	150,000	51.71	n/a	n/a	4,500.00	3.0
B	BUCK CAPITAL MGMT		DPZ	C	52,500	32.91	n/a	17-Jul	non-brg	4.0
B	BUCK CAPITAL MGMT		DPZ	C	52,500	32.91	n/a	17-Jul	non-brg	4.0
	CORNERSTONE	030	EBAY	B	95,561	40.25	n/a	n/a	2,866.83	0.0
f	DAVID GEORGE BUCK	FID	ENX	B	600	14.24	n/a	n/a	0.00	0.0
f	EDWARD W WHEELER	FID	ESSX	S	5000	4.03	n/a	n/a	0.00	0.0
	BUTTE CAPITAL MGMT LLC	084	ETN	B	10,000	38.66	n/a	10-Jul	500.00	5.0
	SCOPUS FUND	041	FNP	S	25,000	9.71	n/a	n/a	1,000.00	4.0
f	CHRISTOPHER ROBERT C	FID	FSRBX	S	162	19.09	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		GIL	S	10,000	27.98	n/a	18-Jul	non-brg	4.0
	BALYASNY ASSET MGMT	044	GM	SS	50,000	20.10	n/a	17-Jul	2,500.00	0.0
	WOOSTER CAPITAL	035	GM	SS	100,000	20.06	n/a	17-Jul	5,000.00	5.0
B	BUCK CAPITAL MGMT		GWW	SS	14,500	205.80	n/a	17-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		HAIN	S	10,000	57.81	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HAIN	S	10,000	57.81	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	HAS	C	15,000	33.13	n/a	n/a	750.00	0.0
BALYASNY ASSET MGMT	044	HOG	B	10,000	43.36	n/a	n/a	500.00	0.0
ARSENAL ASSET MANAGEMENT	028	HUBB	S	5,000	81.19	n/a	n/a	200.00	0.0
TOURMALINE PARTNERS LLC	086	IDTI	S	25,000	5.07	n/a	n/a	750.00	0.0
WADDELL AND REED	047	INTC	B	413,330	26.15	n/a	n/a	16,533.20	0.0
SANDLER CAPITAL	028	ITW	C	165,700	52.66	n/a	11-Jul	8,285.00	0.0
BUCK CAPITAL MGMT		IWM	SS	50,000	80.37	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		JNY	B	30,000	10.17	n/a	18-Jul	non-brg	4.0
BUCK CAPITAL MGMT		JPCMSBCM	C	20,800	107.51	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		JPCMSBCM	C	34,620	107.51	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		JPCMSBCM	C	700	107.38	n/a	n/a	non-brg	8.6
BUCK CAPITAL MGMT		JPCMSBCM	SS	20,800	107.51	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		JPCMSBCM	SS	34,620	107.51	n/a	n/a	non-brg	0.0
CHART WELL ADV	028	KFT	B	33,000	39.95	n/a	n/a	1,320.00	0.0
BUCK CAPITAL MGMT		KNX	B	32,000	15.49	n/a	n/a	non-brg	4.0
INVESCO	031	LVS	S	268,622	40.26	n/a	13-Jun	10,744.88	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HOLLIS CAPITAL	033	MGM	S	5,000	10.38	n/a	13-Jun	250.00	5.0
f JASON ERIC COHEN	FID	MINI	S	200	14.18	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	20,000	107.44	n/a	n/a	non-brg	8.6
ß BUCK CAPITAL MGMT		MSMSBCM	C	752,000	107.51	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		MSMSBCM	C	929,714	107.51	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		MSMSBCM	SS	752,000	107.51	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MSMSBCM	SS	929,714	107.51	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		M	S	50,000	33.87	n/a	6-Jul	non-brg	4.0
WOOSTER CAPITAL	035	M	S	50,000	34.71	n/a	6-Jul	2,500.00	5.0
f MICHAEL C PERILLO	FID	OSG	B	125	7.83	n/a	n/a	0.00	0.0
ARSENAL ASSET MANAGEMENT	028	PH	B	20,000	75.84	n/a	11-Jul	800.00	0.0
TUDOR INVESTORS	028	PNRA	S	15,000	148.18	n/a	17-Jul	450.00	3.0
CAERUS GLOBAL	041	RCII	B	31,947	33.42	n/a	n/a	1,277.88	4.0
ß BUCK CAPITAL MGMT		RL	C	10,000	140.59	18-Jul	18-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	C	20,000	140.47	18-Jul	18-Jul	non-brg	4.0
BALYASNY ASSET MGMT	044	SBUX	SS	20,000	53.00	n/a	17-Jul	1,000.00	0.0
ß BUCK CAPITAL MGMT		SCSS	S	100,000	21.50	n/a	n/a	non-brg	4.0
TUDOR INVESTORS	028	SCSS	B	25,000	21.79	n/a	n/a	750.00	3.0
CAERUS GLOBAL	041	SFLY	S	7,500	33.56	n/a	n/a	300.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KAREN F LEDDY	FID	SGI	S	783	6.67	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SHOO	B	10,000	33.35	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SIG	S	10,000	44.97	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SPY	SS	30,000	136.29	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SWFT	B	60,000	8.37	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		TIF	SS	10,000	54.63	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TSCO	S	30,000	77.54	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL	070	TWX	B	26,800	38.85	n/a	n/a	1,072.00	0.0
COLUMBUS CAP MGMT LLC	084	TXN	B	10,000	27.10	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	URBN	S	15,000	31.13	n/a	n/a	750.00	0.0
SHEFFIELD ASSET MGMT	031	URBN	S	33,300	30.97	n/a	n/a	1,332.00	4.0
ß BUCK CAPITAL MGMT		URI	B	90,000	32.74	n/a	17-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		VFC	S	10,000	139.46	n/a	18-Jul	non-brg	4.0
TUDOR INVESTORS	028	WAG	SS	25,000	30.98	n/a	n/a	750.00	3.0
ß BUCK CAPITAL MGMT		WERN	B	22,000	23.57	n/a	n/a	non-brg	4.0
MASTERS CAPITAL MANAGE	025	WFT	B	150,000	12.67	n/a	n/a	6,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
INVESCO	031	WMT	S	93,936	73.22	n/a	9-Jul	3,757.44	0.0
Ⓕ BUCK CAPITAL MGMT		WRC	B	10,000	40.26	18-Jul	18-Jul	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		WRC	B	10,000	40.39	18-Jul	18-Jul	non-brg	4.0
COLUMBUS CAP MGMT LLC	084	XLNX	B	27,300	31.76	n/a	n/a	1,365.00	5.0
MERRILL ASSET/BLACKROCK	297	Y	B	5,900	345.71	n/a	n/a	236.00	0.0
f KAREN F LEDDY	FID	ZLC	S	2500	2.77	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		ZUMZ	B	10,000	35.52	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID		S	75000	114.65	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								94,487.68	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/17	SAGE ASSET MGMT	025	BZ	B	42,800	7.32	n/a	n/a	2140.00 5.0
AO,CRT	07/17	SAGE ASSET MGMT	025	BZ	B	42,800	7.32	n/a	n/a	1712.00 4.0
AO,CRT	07/17	BRIGADE CAPITAL MGMT	029	IWM	SS	100,000	79.72	n/a	n/a	3000.00 0.0
AO,CXL	07/17	BRIGADE CAPITAL MGMT	029	IWM	SS	100,000	79.72	n/a	n/a	3000.00 0.0
TOTAL (AO, CXL, CRT) :										-428.00

TOTAL (Trade Date) :	94,487.68
TOTAL (AO, CXL, CRT) :	-428.00

TOTAL (RUN DATE) / NFS :	94,059.68
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRT	07/17	BUCK CAPITAL MGMT	JPCMSBCM	SS	34,620	105.40	n/a	n/a	0.00	0.0
CRT	07/17	BUCK CAPITAL MGMT	JPCMSBCM	SS	21,500	105.40	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 18-Jul.

COH, RL, WRC

MRI Securities without Trade Activity on 18-Jul.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)