



# Daily Compliance Activity Report

Trade Date: 12/27/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	025	AA	S	1,000	8.79	n/a	n/a	50.00	5.0
PATTEN & PATTEN	025	ABT	S	200	56.21	n/a	n/a	10.00	5.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>ADM</b>	<b>B</b>	<b>7000</b>	<b>29.11</b>	<b>n/a</b>	<b>2-Dec</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT	029	AEO	B	20,000	15.22	n/a	2-Dec	800.00	4.0
PATTEN & PATTEN	025	AGG	B	500	109.79	n/a	n/a	25.00	5.0
PATTEN & PATTEN	025	AML	S	750	16.45	n/a	n/a	37.50	5.0
<b>f KATHERINE L O'GORMAN</b>	<b>FID</b>	<b>APA</b>	<b>B</b>	<b>225</b>	<b>90.80</b>	<b>n/a</b>	<b>22-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>APC</b>	<b>B</b>	<b>10000</b>	<b>76.97</b>	<b>n/a</b>	<b>22-Dec</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	APC	B	250	76.64	n/a	22-Dec	12.50	5.0
PATTEN & PATTEN	025	APC	S	100	77.39	n/a	22-Dec	5.00	5.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>AXP</b>	<b>B</b>	<b>2000</b>	<b>47.93</b>	<b>n/a</b>	<b>22-Dec</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	BAC	S	1,400	5.54	n/a	22-Dec	70.00	5.0
PATTEN & PATTEN	025	BAM	S	200	27.47	n/a	n/a	10.00	5.0
HARVEST MGMT	029	BBBY	S	10,000	57.25	n/a	n/a	400.00	4.0
PATTEN & PATTEN	025	BBY	S	400	23.36	n/a	n/a	20.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BIG	B	20,000	38.38	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		BODY	S	10,000	24.20	n/a	n/a	non-brg	4.0
DAVID GEORGE BUCK	FID	BP	S	1500	43.12	n/a	n/a	0.00	0.0
MATTHEW FRANCIS BUCK	FID	BP	S	250	43.16	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	BP	S	600	43.17	n/a	n/a	30.00	5.0
BUCK CAPITAL MGMT		BWLD	SS	30,000	70.21	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		CCL	B	15,000	33.44	n/a	n/a	non-brg	4.0
MERRILL ASSET/BLACKROCK	297	CHD	S	5,100	46.00	n/a	14-Dec	204.00	4.0
DAVID GEORGE BUCK	FID	COP	B	1000	73.00	n/a	n/a	0.00	0.0
MATTHEW FRANCIS BUCK	FID	COP	B	150	72.89	n/a	n/a	0.00	0.0
SIMMONS VENTURES	041	CROX	B	5,000	15.60	n/a	n/a	200.00	4.0
FRANCIS GREGORY FREE	FID	CVGI	S	54	9.47	n/a	n/a	0.00	0.0
JACQUELYN MANE FREEM	FID	CVGI	S	56	9.47	n/a	n/a	0.00	0.0
MANNY I KORMAN	FID	DD	B	3000	46.13	n/a	27-Dec	0.00	0.0
PATTEN & PATTEN	025	DD	B	350	46.33	n/a	27-Dec	17.50	5.0
PATTEN & PATTEN	025	DD	S	100	46.40	n/a	27-Dec	5.00	5.0
M&I FUNDS	047	DLTR	S	5,800	84.11	n/a	22-Nov	232.00	4.0
PATTEN & PATTEN	025	DOW	S	800	28.98	n/a	14-Dec	40.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	DVY	S	600	54.17	n/a	n/a	30.00	5.0
PATTEN & PATTEN	025	EEM	S	475	38.20	n/a	n/a	23.75	5.0
PATTEN & PATTEN	025	EFG	S	700	51.81	n/a	n/a	35.00	5.0
PATTEN & PATTEN	025	EPP	S	150	39.41	n/a	n/a	7.50	5.0
PATTEN & PATTEN	025	EWI	S	2,000	15.36	n/a	n/a	100.00	5.0
SCOPUS FUND	041	FCN	B	13,650	43.22	n/a	n/a	546.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FCVSX</b>	<b>B</b>	<b>44</b>	<b>22.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	FHN	B	100	7.98	n/a	n/a	5.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>B</b>	<b>20,000</b>	<b>19.67</b>	<b>n/a</b>	<b>22-Dec</b>	<b>non-brg</b>	<b>4.0</b>
MERRILL ASSET/BLACKROCK	297	FNB	B	95,100	11.42	n/a	n/a	3,804.00	4.0
MERRILL ASSET/BLACKROCK	297	FNF	B	70,500	16.36	n/a	n/a	2,820.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FOCPX</b>	<b>B</b>	<b>36</b>	<b>55.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT	029	FOSL	B	6,150	87.62	n/a	n/a	246.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FSENX</b>	<b>B</b>	<b>100</b>	<b>49.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FSPHX</b>	<b>B</b>	<b>33</b>	<b>122.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FSPTX</b>	<b>B</b>	<b>29</b>	<b>86.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FSUTX</b>	<b>B</b>	<b>56</b>	<b>53.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	GE	S	200	18.08	n/a	n/a	10.00	5.0
PATTEN & PATTEN	025	GLW	B	300	13.30	n/a	27-Dec	15.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>SS</b>	<b>20,000</b>	<b>19.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	025	HD	S	400	42.39	n/a	8-Dec	20.00	5.0
MERRILL ASSET/BLACKROCK	297	HHS	S	10,372	9.66	n/a	n/a	414.88	4.0
PATTEN & PATTEN	025	HON	S	100	55.06	n/a	15-Dec	5.00	5.0
PATTEN & PATTEN	025	HPQ	B	3,400	25.82	n/a	n/a	170.00	5.0
PATTEN & PATTEN	025	IAT	S	1,300	21.32	n/a	n/a	65.00	5.0
PATTEN & PATTEN	025	INTC	S	525	24.67	n/a	n/a	26.25	5.0
MERRILL ASSET/BLACKROCK	297	INT	S	43,000	42.22	n/a	n/a	1,720.00	4.0
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>IWF</b>	<b>B</b>	<b>150</b>	<b>58.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>IWM</b>	<b>B</b>	<b>1000</b>	<b>75.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>IWM</b>	<b>B</b>	<b>500</b>	<b>74.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	JNJ	S	150	66.05	n/a	n/a	7.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LATEFF MGMT	035	KFT	S	1,725	37.75	n/a	n/a	207.00	12.0
PATTEN & PATTEN	025	KMB	S	100	73.92	n/a	25-Oct	5.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>KORS</b>	<b>B</b>	<b>25,000</b>	<b>27.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	025	KO	S	175	70.00	n/a	19-Oct	8.75	5.0
PATTEN & PATTEN	025	KRE	S	200	24.72	n/a	n/a	10.00	5.0
HARVEST MGMT	029	LOW	S	20,000	25.43	n/a	7-Dec	800.00	4.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>MDY</b>	<b>S</b>	<b>250</b>	<b>160.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET/BLACKROCK	297	MFRM	S	29,824	21.93	n/a	n/a	1,192.96	4.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>MSFT</b>	<b>B</b>	<b>7000</b>	<b>26.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>C</b>	<b>2,435,694</b>	<b>97.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>SS</b>	<b>2,435,694</b>	<b>97.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
HARVEST MGMT	029	M	B	4,100	32.21	n/a	2-Dec	164.00	4.0
PATTEN & PATTEN	025	NUE	S	100	40.68	n/a	n/a	5.00	5.0
PATTEN & PATTEN	025	OSK	S	300	22.21	n/a	n/a	15.00	5.0
MERRILL ASSET/BLACKROCK	297	PAM	B	900	10.30	n/a	n/a	36.00	4.0
PATTEN & PATTEN	025	PEP	S	50	66.48	n/a	n/a	2.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	PG	S	200	66.94	n/a	16-Nov	10.00	5.0
PATTEN & PATTEN	025	PHO	S	3,400	16.94	n/a	n/a	170.00	5.0
PATTEN & PATTEN	025	PIO	S	900	15.56	n/a	n/a	45.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>SS</b>	<b>20,000</b>	<b>54.42</b>	<b>n/a</b>	<b>22-Nov</b>	<b>non-brg</b>	<b>4.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>PM</b>	<b>S</b>	<b>150</b>	<b>78.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET/BLACKROCK	297	PRA	S	15,600	81.02	n/a	n/a	624.00	4.0
EGS ASSOCIATES LP	091	PVH	B	4,300	71.61	n/a	2-Dec	258.00	6.0
PATTEN & PATTEN	025	PX	S	50	107.70	n/a	26-Oct	2.50	5.0
PATTEN & PATTEN	025	RKT	S	75	58.12	n/a	10-Nov	3.75	5.0
MERRILL ASSET/BLACKROCK	297	RLI	S	7,713	74.26	n/a	n/a	308.52	4.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>SBUX</b>	<b>B</b>	<b>85</b>	<b>10.84</b>	<b>n/a</b>	<b>11-Nov</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SBUX</b>	<b>B</b>	<b>200</b>	<b>45.91</b>	<b>n/a</b>	<b>11-Nov</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET/BLACKROCK	297	SF	B	7,997	32.24	n/a	n/a	319.88	4.0
PATTEN & PATTEN	025	SLB	S	75	68.99	n/a	n/a	3.75	5.0
PATTEN & PATTEN	025	SNE	S	300	17.86	n/a	n/a	15.00	5.0
PATTEN & PATTEN	025	STI	B	500	17.78	n/a	n/a	25.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CHESAPEAKE PARTNERS	028	SUG	S	35,394	42.50	n/a	n/a	707.88	2.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SYK</b>	<b>S</b>	<b>2000</b>	<b>49.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	TEVA	S	175	41.78	n/a	27-Dec	8.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TIF</b>	<b>B</b>	<b>20,000</b>	<b>66.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	025	TYC	S	125	46.91	n/a	16-Nov	6.25	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ULTA</b>	<b>B</b>	<b>20,000</b>	<b>65.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	025	VWO	B	475	38.49	n/a	n/a	23.75	5.0
PATTEN & PATTEN	025	VZ	S	250	39.98	n/a	n/a	12.50	5.0
PATTEN & PATTEN	025	V	S	50	102.20	n/a	20-Dec	2.50	5.0
MERRILL ASSET/BLACKROCK	297	WBS	B	26,400	20.55	n/a	n/a	1,056.00	4.0
PATTEN & PATTEN	025	WFC	S	300	27.78	n/a	22-Dec	15.00	5.0
MERRILL ASSET/BLACKROCK	297	WRB	B	20,300	34.67	n/a	n/a	812.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>20,000</b>	<b>50.82</b>	<b>n/a</b>	<b>4-Nov</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>33,704</b>	<b>50.79</b>	<b>n/a</b>	<b>4-Nov</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	025	XLU	S	1,000	36.08	n/a	n/a	50.00	5.0
PATTEN & PATTEN	025	XOM	S	55	85.34	n/a	n/a	2.75	5.0

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**TOTAL (Trade Date):**

**19,168.37**

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Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									19,168.37
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									19,168.37
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
CXL	12/22	BUCK CAPITAL MGMT.	WYNN	SS	20,000	106.99	n/a 13-Jun	800.00
CRT	12/22	BUCK CAPITAL MGMT	WYNN	SS	20,000	106.92	n/a 13-Jun	800.00 4.0

MRI Securities with Trade Activity on 27-Dec.  
NONE

MRI Securities without Trade Activity on 27-Dec.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 2%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**