



Daily Compliance Activity Report

Trade Date: 12/16/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		7974 JP	B	5,300	283.49	n/a	n/a	non-brg	42.5
MARC PAUL LUCHANSKY	FID	AAPL	B	100	320.93	n/a	n/a	0.00	0.0
MARC PAUL LUCHANSKY	FID	AAPL	S	50	320.91	n/a	n/a	0.00	0.0
MANNY I KORMAN	FID	ABC	B	3000	32.52	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	ABM	B	7,600	25.25	n/a	n/a	304.00	4.0
PERRY PARTNERS	085	ACL	S	25,000	161.53	n/a	15-Dec	1,250.00	5.0
BALYASNY ASSET MGMT	044	ADM	B	10,000	30.27	n/a	16-Dec	500.00	5.0
BUCK CAPITAL MGMT		AEO	S	200,000	15.20	n/a	3-Dec	non-brg	4.0
BUCK CAPITAL MGMT		ARO	SS	32,500	24.56	n/a	2-Dec	non-brg	4.0
MANNY I KORMAN	FID	AUTC	S	5000	24.86	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	AVAV	B	20,000	25.64	n/a	n/a	600.00	3.0
BUCK CAPITAL MGMT		AXL	S	100,000	12.69	n/a	1-Nov	non-brg	4.0
OSKIE CAPITAL MGMT	224	AXL	S	10,000	12.60	n/a	1-Nov	500.00	5.0
OSKIE CAPITAL MGMT	224	BA	B	6,000	64.55	n/a	22-Nov	300.00	5.0
JOHN MICHAEL ZOLIDIS	FID	BBY	S	200	34.61	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARK ANTHONY GRONET	FID	BEE	S	2000	4.78	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BIG	B	50,000	28.54	n/a	7-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		BIG	B	50,000	28.70	n/a	7-Dec	non-brg	4.0
SAC CHICAGO ANDREW	031	BIG	S	45,000	28.73	n/a	7-Dec	1,575.00	3.5
ß BUCK CAPITAL MGMT		BONT	S	22,600	12.95	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		BONT	S	50,000	12.84	n/a	n/a	non-brg	0.8
ROTHSCHILD ASSET	040	BP	S	3,910	43.81	n/a	n/a	156.40	4.0
OSKIE CAPITAL MGMT	224	BWA	B	4,000	69.26	n/a	27-Oct	200.00	5.0
ß BUCK CAPITAL MGMT		CBK	B	163,306	5.61	n/a	n/a	non-brg	3.0
PIONEER PATH	226	CCL	B	5,700	42.50	n/a	n/a	171.00	3.0
BUCK CAPITAL MGMT	001	CHS	S	20,000	11.92	n/a	5-Feb	600.00	3.0
ACK ASSET	028	CMI	SS	8,700	108.68	n/a	29-Oct	261.00	3.0
PLATTE RIVER CAPITAL	240	COG	B	7,400	36.09	n/a	14-Dec	296.00	4.0
f MARK ANTHONY GRONET	FID	CPN	S	2000	12.92	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CROX	S	20,000	17.40	n/a	n/a	non-brg	4.0
COOKBIELER	028	CTAS	B	73,861	29.31	n/a	n/a	2,954.44	4.0
f MARK ANTHONY GRONET	FID	CVTR	B	5000	4.75	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MANNY I KORMAN	FID	C	B	10000	4.61	n/a	16-Dec	0.00	0.0
f CHRISTOPHER ROBERT C	FID	DANG	S	200	25.40	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DBRN	S	25,000	25.51	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DBRN	S	25,000	25.66	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DDS	B	100,000	37.40	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DDS	B	20,000	36.80	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		DDS	B	80,000	36.84	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	S	10,000	81.78	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DFS	B	81,000	18.53	n/a	15-Dec	non-brg	4.0
COOKBIELER	028	DGX	B	100,000	53.17	n/a	n/a	4,000.00	4.0
LATEFF MGMT	035	DRE	B	2,000	11.03	n/a	n/a	160.00	8.0
COPIA CAPITAL	031	DUK	SS	25,000	17.61	n/a	n/a	875.00	3.5
f BARBARA S LEDDY	FID	EFT	S	850	16.00	n/a	n/a	0.00	0.0
MTB INV ADV	034	EXPD	S	3,800	56.25	n/a	n/a	190.00	5.0
B BUCK CAPITAL MGMT		FINL	S	30,000	18.58	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	FL	S	15,000	19.49	n/a	19-Nov	300.00	2.0
SAC CHICAGO ANDREW	031	FL	S	25,000	19.47	n/a	19-Nov	875.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LATEFF MGMT	035	FMER	S	4,000	19.17	n/a	n/a	360.00	9.0
LAKEVIEW INV GROUP LLC	031	FOR	B	6,200	18.45	n/a	3-Nov	186.00	3.0
f MITCHELL JAMES SPEIS	FID	FSNXX	B	9723	1.00	n/a	n/a	0.00	0.0
CAVU CAP ADV	028	F	SS	25,000	16.47	n/a	2-Dec	1,250.00	5.0
f MARK ANTHONY GRONET	FID	F	S	500	16.70	n/a	2-Dec	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	S	10,000	39.18	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		GIII	S	10,000	34.94	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIII	S	11,050	35.16	n/a	n/a	non-brg	1.5
B BUCK CAPITAL MGMT		GIII	S	5,000	35.03	n/a	n/a	non-brg	0.8
ARCHON CAPITAL	035	GMCR	S	20,000	31.71	n/a	n/a	600.00	3.0
f CHRISTOPHER ROBERT C	FID	GNTX	S	150	29.40	n/a	25-Oct	0.00	0.0
f MANNY I KORMAN	FID	GSI	S	1400	2.40	n/a	n/a	0.00	0.0
NUMERIC	060	GT	S	35,200	11.60	n/a	n/a	1,056.00	3.0
KLEINHEINZ CAP PART	031	HD	B	25,000	35.19	n/a	13-Dec	1,250.00	5.0
SAC CHICAGO ANDREW	031	HD	SS	100,000	35.05	n/a	13-Dec	3,500.00	3.5
f DAVID GEORGE BUCK	FID	HHC	S	7	1.45	n/a	n/a	0.00	0.0
PIONEER PATH	226	HOG	B	30,000	34.12	n/a	n/a	900.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARK ANTHONY GRONET	FID	HOVNP	B	3000	6.25	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	266	IPCC	S	6,220	62.03	n/a	n/a	124.40	2.0
B BUCK CAPITAL MGMT		IWM	C	88,400	77.71	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		IWO	SS	98,000	87.03	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		IWR	C	17,500	100.52	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		JACK	B	50,000	21.03	n/a	n/a	non-brg	4.0
SAC CHICAGO ANDREW	031	JAS	B	10,000	45.50	n/a	n/a	350.00	3.5
B BUCK CAPITAL MGMT		JOSB	S	10,000	41.22	n/a	n/a	non-brg	4.0
SAC CHICAGO ANDREW	031	JWN	B	10,226	41.53	n/a	30-Nov	357.91	3.5
LATEFF MGMT	035	KALU	S	1,500	49.26	n/a	n/a	360.00	24.0
B BUCK CAPITAL MGMT		KNX	B	30,000	19.18	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	KR	B	10,000	21.59	n/a	n/a	500.00	5.0
JET CAPITAL/CHRIS	041	KWK	S	100,000	14.38	n/a	n/a	3,000.00	3.0
B BUCK CAPITAL MGMT		LIZ	S	40,000	7.04	n/a	7-Oct	non-brg	3.0
B BUCK CAPITAL MGMT		LL	S	20,000	24.07	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MANNY I KORMAN	FID	LNCR	B	5000	27.01	n/a	n/a	0.00	0.0
PIONEER PATH	226	LVS	SS	72,000	43.59	n/a	9-Dec	2,160.00	3.0
PIONEER PATH	226	MAS	S	20,000	13.01	n/a	n/a	600.00	3.0
B BUCK CAPITAL MGMT		MA	B	13,000	231.52	n/a	10-Dec	non-brg	1.0
MTB INV ADV	034	MFB	S	25,950	25.25	n/a	n/a	1,297.50	5.0
ACK ASSET	028	MLM	SS	10,000	94.55	n/a	n/a	300.00	3.0
NOKOMIS PARTNERS	031	MOSY	S	20,632	4.89	n/a	n/a	1,031.60	5.0
f JOSEPH CIRO AMATURO	FID	MOTR	B	1000	19.28	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	MOTR	B	2500	19.30	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	MRK	S	15	0.31	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MVRX	C	105,000	212.33	n/a	n/a	non-brg	21.2
B BUCK CAPITAL MGMT		M	S	40,000	25.57	n/a	30-Nov	non-brg	4.0
ROTHSCHILD ASSET	040	NJR	S	19,866	43.54	n/a	n/a	794.64	4.0
B BUCK CAPITAL MGMT		NWY	S	50,579	4.34	n/a	n/a	non-brg	2.5
f BARBARA S LEDDY	FID	NYB	S	400	18.05	n/a	30-Nov	0.00	0.0
ROTHSCHILD ASSET	040	NYT	S	6,418	9.49	n/a	n/a	256.72	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LATEFF MGMT	035	OLN	S	3,000	20.66	n/a	n/a	300.00	10.0
OSKIE CAPITAL MGMT	224	OSK	B	8,000	35.15	n/a	n/a	400.00	5.0
ACK ASSET	028	PCAR	SS	16,400	57.36	n/a	23-Nov	492.00	3.0
SCOPUS FUND	041	PCL	S	15,000	35.58	n/a	26-Oct	600.00	4.0
B BUCK CAPITAL MGMT		PIR	S	50,000	9.99	n/a	n/a	non-brg	3.0
f JOHN MICHAEL ZOLIDIS	FID	PIR	B	500	10.01	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	PIR	B	700	9.93	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	PPS	S	10,000	33.74	n/a	n/a	400.00	4.0
TALPION LLC	040	PSSI	S	19,000	22.11	n/a	n/a	570.00	3.0
f KEVIN MICHAEL O'GORM	FID	PVCT	S	10000	0.92	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PVH	S	10,000	66.49	n/a	3-Dec	300.00	3.0
Õ Nilsa Vazquez		RGC	B	500	13.01	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	RGS	S	300	16.40	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	RIMM	S	3,650	59.41	n/a	n/a	182.50	5.0
LEVEL GLOBAL SHARED ACCT	257	ROST	B	60,500	63.10	n/a	n/a	1,815.00	3.0
PIONEER PATH	226	RSH	S	45,507	18.57	n/a	n/a	1,365.21	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	RYN	S	50,000	50.26	n/a	27-Oct	2,000.00	4.0
f MANNY I KORMAN	FID	SBUX	B	5000	32.67	n/a	2-Dec	0.00	0.0
VISIUM/BALY BOBBY	044	SBUX	S	12,500	32.71	n/a	2-Dec	625.00	5.0
BUCK CAPITAL MGMT	001	SKX	S	20,000	21.33	n/a	n/a	600.00	3.0
L.R.BURTSCHY & CO	029	SNV	S	25,000	2.47	n/a	n/a	1,500.00	6.0
PIONEER PATH	226	SVU	SS	75,200	8.67	n/a	n/a	2,256.00	3.0
B BUCK CAPITAL MGMT		SWFT	B	1,000	11.00	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		SWFT	B	138,200	10.96	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		SWFT	B	200,000	11.00	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		SWFT	B	50,000	11.06	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SWFT	B	75,000	10.96	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	TBT	S	2000	39.72	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TJX	S	20,000	43.76	n/a	10-Dec	non-brg	4.0
B BUCK CAPITAL MGMT		TJX	S	50,000	43.89	n/a	10-Dec	non-brg	4.0
GLOBAL 56 LLC	060	TLB	B	2,500	8.60	n/a	n/a	125.00	5.0
B BUCK CAPITAL MGMT		TPX	B	20,000	36.85	n/a	n/a	non-brg	4.0
ELM RIDGE ADVISORS	044	TPX	SS	71,012	37.43	n/a	n/a	2,840.48	4.0
LEVEL GLOBAL SHARED ACCT	257	TPX	B	64,200	37.16	n/a	n/a	1,926.00	3.0
CATAPULT	040	TRN	S	5,040	24.47	n/a	n/a	201.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		TSCO	B	30,000	48.51	n/a	n/a	non-brg	4.0
MTB INV ADV	034	TZOO	S	10,400	39.67	n/a	n/a	520.00	5.0
B BUCK CAPITAL MGMT		UAL	B	15,000	24.36	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		UAL	C	35,000	24.17	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	S	20,000	36.61	n/a	n/a	non-brg	4.0
PIONEER PATH	226	URI	B	15,199	22.50	n/a	n/a	455.97	3.0
f JASON ERIC COHEN	FID	USAK	B	200	12.86	n/a	n/a	0.00	0.0
SURSUM CAPITAL	215	VFC	B	20,000	88.22	n/a	22-Oct	600.00	3.0
SURSUM CAPITAL	215	VFC	SS	40,000	87.57	n/a	22-Oct	1,200.00	3.0
CATAPULT	040	VLO	SS	4,200	21.06	n/a	n/a	168.00	4.0
ACK ASSET	028	VMC	SS	20,000	46.92	n/a	n/a	600.00	3.0
CATAPULT	040	VXX	S	560	39.69	n/a	n/a	22.40	4.0
B BUCK CAPITAL MGMT	001	V	B	21,300	70.79	n/a	10-Dec	639.00	3.0
f DAVID GEORGE BUCK	FID	V	S	10	1.69	n/a	10-Dec	0.00	0.0
B BUCK CAPITAL MGMT		WBC	SS	20,000	53.00	n/a	29-Oct	non-brg	4.0
B BUCK CAPITAL MGMT		WBC	S	5,000	53.00	n/a	29-Oct	non-brg	4.0
CATAPULT	040	WBC	SS	3,435	53.25	n/a	29-Oct	137.40	4.0
CATAPULT	040	WCC	S	3,500	50.74	n/a	n/a	140.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		WERN	B	20,000	22.30	n/a	n/a	non-brg	4.0
CAXTON PART	040	WFC	S	18,136	29.80	n/a	16-Dec	634.77	3.5
RBC CAPITAL MARKETS	040	WFC	SS	50,000	29.95	n/a	16-Dec	2,000.00	4.0
B BUCK CAPITAL MGMT		WNC	S	60,000	12.35	n/a	n/a	non-brg	4.0
CATAPULT	040	WPI	SS	1,134	51.07	n/a	15-Dec	45.36	4.0
BUCK CAPITAL MGMT	001	WRC	S	20,000	56.59	n/a	9-Nov	400.00	2.0
CATAPULT	040	WSM	S	3,626	34.45	n/a	n/a	145.04	4.0
SURSUM CAPITAL	215	WSM	S	20,000	34.59	n/a	n/a	600.00	3.0
FORWARD MGMT	033	WY	S	50,000	17.95	n/a	29-Oct	2,000.00	4.0
SCOPUS FUND	041	WY	B	25,000	17.95	n/a	29-Oct	1,000.00	4.0
CATAPULT	040	YOKU	S	70	33.98	n/a	n/a	2.80	4.0
TOTAL (Trade Date):								66,412.14	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/15	COOKBIELER	028	CTAS	B	1,600	28.95	n/a	n/a	64.00 4.0
AO,CXL	12/15	COOKBIELER	028	CTAS	B	1,600	28.95	n/a	n/a	64.00 4.0
AO,	12/15	NOMURA CAPITAL	029	SOA	S	1,075	23.21	n/a	n/a	32.25 3.0
AO,	12/15	NOMURA CAPITAL	029	UAL	S	62	24.40	n/a	n/a	1.86 3.0
AO,CRT	12/15	FORWARD MGMT	033	WY	S	174,863	17.96	n/a	29-Oct	6994.52 4.0
AO,CXL	12/15	FORWARD MGMT	033	WY	S	174,863	17.96	n/a	29-Oct	8743.15 5.0

TOTAL (AO, CXL, CRT) :**-1,714.52**

TOTAL (Trade Date) :

66,412.14

TOTAL (AO, CXL, CRT) :

-1,714.52

TOTAL (RUN DATE) / NFS :**64,697.62**

MRI Securities with Trade Activity on 16-Dec.

NONE

MRI Securities without Trade Activity on 16-Dec.

HON

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 11%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)