



Daily Compliance Activity Report

Trade Date: 07/26/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LUCHANSKY4	036	AAPL	S	100	145.29	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	AA	B	300,000	39.80	n/a	n/a	12,000.00	4.0
SAVANNAH-BALTIMORE	012	AA	S	60,591	38.17	n/a	n/a	3,029.55	5.0
KEEFE BRUYETTE WOODS A	031	AB	S	5,900	85.92	25-Jul	25-Jul	295.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	35,000	25.28	10-Jul	13-Jul	1,400.00	4.0
B BUCK CAPITAL MGMT		AEO	S	34,500	25.05	10-Jul	13-Jul	non-brg	5.0
COUGAR TRADING	044	AEO	S	10,000	25.15	10-Jul	13-Jul	500.00	5.0
ROARING BLUE LION MGMT	012	AEO	B	1,400	25.30	10-Jul	13-Jul	70.00	5.0
ROSEDALE CAPITAL	046	AEO	S	20,000	25.22	10-Jul	13-Jul	1,000.00	5.0
REINHART & MAHONEY	031	AKAM	B	9,680	35.90	n/a	n/a	484.00	5.0
B BUCK CAPITAL MGMT		AMG	S	2,900	117.68	25-Jul	25-Jul	non-brg	5.0
SUNOVA CAPITAL	031	AMG	S	50,000	117.21	25-Jul	25-Jul	2,500.00	5.0
LISTED ERR	099	AMG	B	500	116.99	25-Jul	25-Jul	0.00	0.0
LISTED ERR	099	AMG	S	500	117.47	25-Jul	25-Jul	0.00	0.0
B BUCK CAPITAL MGMT		AMT	S	29,400	41.84	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	ANF	B	35,000	69.42	12-Jul	13-Jul	1,400.00	4.0
B BUCK CAPITAL MGMT		ANF	S	12,800	69.16	12-Jul	13-Jul	non-brg	5.0
COUGAR TRADING	044	ANF	S	10,000	69.75	12-Jul	13-Jul	500.00	5.0
WHALE ROCK CAP	030	ANF	S	50,000	68.38	12-Jul	13-Jul	2,000.00	4.0
BUCK CAPITAL MGMT	001	ANN	B	20,000	33.57	12-Jul	13-Jul	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		APC	S	16,300	49.83	22-Jun	20-Jul	non-brg	5.0
REINHART & MAHONEY	031	ARRS	S	34,665	15.50	n/a	n/a	1,733.25	5.0
⚡ BUCK CAPITAL MGMT		ASD	S	11,500	54.96	18-Jul	23-Jul	non-brg	5.0
LEVIN CAPITAL STRATEGIES	012	ASN	S	10,000	58.30	n/a	n/a	400.00	4.0
SANDLER CAPITAL	038	ATR	B	40,000	36.31	n/a	n/a	2,400.00	6.0
BUCK	015	BAC	B	1,600	47.25	20-Apr	20-Jul	0.00	0.0
COLUMBUS CIRCLE	036	BAX	S	1,267,267	52.15	n/a	22-Apr	50,690.68	4.0
⚡ BUCK CAPITAL MGMT		BBY	S	60,000	45.06	1-May	27-Jun	non-brg	5.0
BINDER	007	BDK	C	400	86.64	n/a	n/a	0.00	0.0
BINDER	007	BDK	SS	200	87.20	n/a	n/a	0.00	0.0
LUCHANSKY1	036	BDSB	S	500	9.47	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	BEN	S	70,000	130.67	26-Jul	26-Jul	3,500.00	5.0
⚡ BUCK CAPITAL MGMT		BHI	S	6,700	82.09	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		BID	S	10,000	47.83	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		BONT	B	10,000	28.99	6-Jul	13-Jul	non-brg	5.0
WHEELER	008	BQI	B	4,600	3.80	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	BQI	S	4,500	4.06	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		BRL	S	9,600	53.56	9-May	25-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		BRNC	S	46,200	14.74	n/a	n/a	non-brg	5.0
SINCLAIR	031	BSCAK	B	33	3.40	n/a	n/a	0.00	0.0
MAGUIRE	039	BSCAO	B	10	1.10	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		BSC	S	7,000	122.35	n/a	18-Jul	non-brg	5.0
MAGUIRE	090	BSC	B	1,500	124.30	n/a	18-Jul	0.00	0.0
ARIENCE CAPITAL	036	BYD	B	57,916	45.30	n/a	27-Apr	2,895.80	5.0
⌘ BUCK CAPITAL MGMT		CAKE	S	14,100	24.59	24-Apr	24-Apr	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CCI	S	27,000	35.62	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CDNS	S	24,800	21.67	n/a	n/a	non-brg	5.0
TRELLUS PARTNERS LP	028	CERN	SS	30,000	53.30	n/a	n/a	1,500.00	5.0
STADIA CAPITAL	035	CFC	B	50,000	29.32	n/a	n/a	2,000.00	4.0
RAINIER INV MGMT	035	CF	B	86,500	53.49	n/a	n/a	3,460.00	4.0
WYCKOFF	013	CHCG	B	1,000	6.80	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CHIC	B	50,000	19.19	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MARK ASSETMGMT (SR)	091	CHTR	S	272,845	3.99	n/a	n/a	5,456.90	2.0
WHALE ROCK CAP	030	CMCSA	S	100,000	26.55	5-Apr	26-Jul	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		CMRG	S	310,000	10.48	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CMRG	S	40,000	10.45	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	COH	B	5,000	46.45	n/a	26-Jul	200.00	4.0
BUCK CAPITAL MGMT	001	COH	S	5,000	47.24	n/a	26-Jul	200.00	4.0
⌘ BUCK CAPITAL MGMT		COH	S	16,700	46.64	n/a	26-Jul	non-brg	5.0
COUGAR TRADING	044	COH	S	10,000	47.14	n/a	26-Jul	500.00	5.0
CUMBERLAND	038	COH	B	100,000	46.60	n/a	26-Jul	4,000.00	4.0
PRINCIPLE CAPITAL	040	CPHD	B	5,900	14.57	n/a	n/a	177.00	3.0
HIGHBRIDGE CAPITAL	038	CPHL	S	18,258	13.10	n/a	n/a	912.90	5.0
KANTROWIT	N21	CROX	B	30	49.50	n/a	n/a	0.00	0.0
JACOB	068	CRR	S	450	45.13	n/a	26-Jul	0.00	0.0
REINHART & MAHONEY	031	CSCO	S	9,550	29.31	n/a	22-Mar	477.50	5.0
WHITTIER CAP	048	CVS	S	6,555	35.70	n/a	n/a	262.20	4.0
⌘ BUCK CAPITAL MGMT		CWTR	B	30,000	19.59	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		DBD	S	10,600	51.50	3-Apr	25-Apr	non-brg	5.0
BUCK	015	DDR	B	200	48.29	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SOMERSET ADV	044	DE	B	4,300	120.45	n/a	n/a	215.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	10,000	33.18	7-May	7-Jun	400.00	4.0
⌘ BUCK CAPITAL MGMT		DSW	B	30,000	33.47	7-May	7-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		DVN	S	8,400	76.74	18-Jul	20-Jul	non-brg	5.0
LOOMIS SALES/BOSTON	036	DW	B	65,403	33.54	n/a	n/a	2,616.12	4.0
LEDDY	035	EDA	S	100	11.27	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		ELY	SS	20,000	17.01	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		ESV	S	5,800	63.52	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		EVST	S	11,400	32.43	n/a	n/a	non-brg	5.0
PILOT ADV	038	FAST	SS	28,000	43.19	n/a	n/a	1,120.00	4.0
LEDDY	035	FBR	S	800	5.25	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FL	S	100,000	19.51	24-May	21-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		FL	S	100,000	19.77	24-May	21-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		FL	S	26,000	19.46	24-May	21-Jun	non-brg	5.0
ENTRUST CAPITAL	040	FMX	B	50,000	36.79	n/a	n/a	2,500.00	5.0
REINHART & MAHONEY	031	FSP	B	9,900	14.93	n/a	n/a	495.00	5.0
⌘ BUCK CAPITAL MGMT		GES	S	8,300	46.08	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	GIII	S	10,000	17.00	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	33.34	21-Jun	21-Jun	400.00	4.0
COUGAR TRADING	044	GIL	S	10,000	33.27	21-Jun	21-Jun	500.00	5.0
B BUCK CAPITAL MGMT		GLW	S	42,000	24.23	n/a	25-Jul	non-brg	5.0
MORGAN LEWIS/MLH	046	GLW	S	100,000	24.18	n/a	25-Jul	5,000.00	5.0
JP MORGAN VENTURES	046	GME	C	40,000	41.33	n/a	n/a	2,000.00	5.0
TRELLUS PARTNERS LP	028	GM	B	50,000	32.94	4-May	25-Jul	2,500.00	5.0
BUCK CAPITAL MGMT	001	GPI	S	60,600	36.03	27-Apr	25-Jul	2,424.00	4.0
B BUCK CAPITAL MGMT		GPI	S	3,200	35.67	27-Apr	25-Jul	non-brg	5.0
LEVIN CAPITAL STRATEGIES	012	GRT	S	5,000	22.19	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		GSF	S	7,900	74.76	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		GS	S	4,300	194.33	15-Jun	13-Jul	non-brg	5.0
B BUCK CAPITAL MGMT		GTRC	S	4,100	58.10	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		GYMB	B	10,000	36.52	n/a	13-Jul	non-brg	5.0
B BUCK CAPITAL MGMT		HAS	SS	50,000	28.67	n/a	n/a	non-brg	5.0
LEDDY	035	HBI	SS	1,000	30.85	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		HC	S	38,300	24.11	14-Mar	20-Jul	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WILLIAM BLAIR	031	HHGP	B	43,000	17.73	n/a	n/a	1,720.00	4.0
⚡ BUCK CAPITAL MGMT		HOS	S	5,200	41.43	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		HP	S	10,000	33.42	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		HP	S	11,000	32.89	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		HSTX	S	36,600	16.42	n/a	n/a	non-brg	2.0
CASTLE ARK	031	ICE	B	18,000	143.79	n/a	n/a	1,080.00	6.0
LUCHANSKY1	036	IGT	B	500	35.52	n/a	20-Jul	0.00	0.0
⚡ BUCK CAPITAL MGMT		IKAN	S	37,400	7.64	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		INTC	S	37,800	24.08	n/a	n/a	non-brg	5.0
THE JAY GOLDMAN	034	IP	B	20,000	38.61	4-May	14-Jun	800.00	4.0
⚡ BUCK CAPITAL MGMT		IVZ	S	63,600	24.63	10-Jul	25-Jul	non-brg	5.0
ROARING BLUE LION MGMT	012	IVZ	B	3,000	24.67	10-Jul	25-Jul	150.00	5.0
LUCHANSKY1	036	IWM	C	500	78.58	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		JADE	B	20,000	6.34	n/a	n/a	non-brg	4.0
WYCKOFF	013	JADE	B	1,000	7.06	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		JCG	B	10,000	49.93	22-Jun	22-Jun	non-brg	5.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	69.51	21-Jun	13-Jul	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		JCP	B	10,000	70.50	21-Jun	13-Jul	non-brg	5.0
BUCK CAPITAL MGMT		JCP	S	8,400	69.93	21-Jun	13-Jul	non-brg	5.0
BUCK CAPITAL MGMT		JOSB	S	10,000	34.57	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		JOSB	S	30,000	34.62	n/a	n/a	non-brg	5.0
TRIBECA/DOUG	040	JPM	SS	100,000	43.84	n/a	19-Jul	4,000.00	4.0
BUCK CAPITAL MGMT		JWN	B	150,000	43.65	12-Jul	26-Jul	non-brg	5.0
CUMBERLAND	038	JWN	B	50,000	44.10	12-Jul	26-Jul	2,000.00	4.0
BUCK CAPITAL MGMT		KEGS	S	42,500	15.19	23-Jul	23-Jul	non-brg	5.0
XYLEM GLOBAL	091	KMB	SS	25,000	68.96	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	40,000	62.71	14-Jun	12-Jul	1,600.00	4.0
BUCK CAPITAL MGMT		KSS	S	14,600	62.45	14-Jun	12-Jul	non-brg	5.0
COUGAR TRADING	044	KSS	S	10,000	63.11	14-Jun	12-Jul	500.00	5.0
REINHART & MAHONEY	031	KSS	B	7,530	62.30	14-Jun	12-Jul	376.50	5.0
BUCK CAPITAL MGMT		KV/A	S	7,000	27.70	n/a	n/a	non-brg	5.0
GARX	030	KVA	B	70,900	27.66	n/a	n/a	2,836.00	4.0
BUCK CAPITAL MGMT		LAMR	S	9,800	58.15	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		LAVA	S	12,800	13.99	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		LBTYA	S	20,200	40.17	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		LBTYK	S	20,500	37.74	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLENIUUM PARTNERS	028	LEAP	SS	3,000	89.93	n/a	n/a	150.00	5.0
BUCK CAPITAL MGMT	001	LEH	S	13,000	64.37	12-Jun	13-Jul	520.00	4.0
MAGUIRE	090	LEH	B	3,000	64.43	12-Jun	13-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		LPNT	S	120,000	30.61	n/a	25-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		LPNT	S	16,400	31.02	n/a	25-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		LPNT	S	20,000	30.75	n/a	25-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		LPNT	S	20,000	30.75	n/a	25-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		LTM	B	5,000	52.27	n/a	n/a	non-brg	5.0
DISIPLINED GROWTH INV.	030	LVLTT	S	45,900	4.99	26-Jul	26-Jul	1,377.00	3.0
MARK ASSETMGMT (SR)	091	LVLTT	S	99,386	4.99	26-Jul	26-Jul	2,981.58	3.0
MILLENIUUM PARTNERS	028	LVLTT	B	50,000	4.98	26-Jul	26-Jul	2,500.00	5.0
CAPITAL GROWTH MGMT.	241	MAN	S	13,000	85.46	n/a	n/a	650.00	5.0
MS PRINCIPAL STRATEGIES	040	MAR	B	25,000	41.39	n/a	n/a	1,250.00	5.0
CAPITAL GROWTH MGMT.	241	MA	B	20,000	156.01	n/a	n/a	1,000.00	5.0
REINHART & MAHONEY	031	MCRS	B	10,060	54.56	n/a	n/a	503.00	5.0
BUCK	015	MDY	S	150	157.61	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MER	S	8,900	74.74	18-Jul	18-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		MIPS	S	29,500	8.97	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		MIR	S	25,200	37.59	n/a	n/a	non-brg	5.0
WHEELER	008	MNZAS	S	50	3.80	n/a	n/a	0.00	0.0
BUCK	015	MON	B	200	63.99	n/a	29-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		MSM	S	10,700	49.74	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		MWV	S	6,300	32.71	13-Jul	13-Jul	non-brg	5.0
MAGUIRE	090	MZZ	B	12,000	54.71	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		M	C	45,000	37.75	13-Jul	13-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		NDAQ	S	100	30.56	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		NDN	S	9,300	12.38	n/a	11-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		NEXC	B	20,000	9.35	n/a	n/a	non-brg	1.0
MAGUIRE	090	NRG	B	3,000	37.72	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	NWSA	S	20,000	21.81	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		OIH	SS	15,000	183.89	n/a	n/a	non-brg	1.0
HIGHBRIDGE CAPITAL	038	OWW	S	100,000	12.67	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT	001	PAG	S	40,000	19.57	n/a	25-Jul	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		PAG	S	15,900	19.38	n/a	25-Jul	non-brg	5.0
HIGHBRIDGE CAPITAL	038	PCH	B	63,700	41.65	27-Jul	26-Jun	3,185.00	5.0
LEDDY	035	PCL	S	500	39.86	10-Jul	24-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		PERY	B	10,000	27.42	n/a	n/a	non-brg	1.0
ELM RIDGE ADVISORS	044	PRX	B	25,000	24.00	21-May	24-Jul	1,000.00	4.0
JP MORGAN VENTURES	046	PSUN	C	12,000	18.94	n/a	13-Jul	600.00	5.0
⌘ BUCK CAPITAL MGMT		PTT	B	30,000	5.63	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	PVH	B	15,000	51.23	31-May	31-May	600.00	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	12,300	51.26	31-May	31-May	non-brg	5.0
COUGAR TRADING	044	PVH	S	5,000	51.94	31-May	31-May	250.00	5.0
PILOT ROCK	028	QCOM	B	150,000	42.81	n/a	n/a	6,000.00	4.0
MAGUIRE	090	QID	B	5,000	43.82	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		RIG	S	10,000	113.22	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		RIG	S	500	111.89	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	RL	S	10,000	89.98	21-Jun	27-Jun	400.00	4.0
⌘ BUCK CAPITAL MGMT		RL	C	10,000	91.46	21-Jun	27-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		RL	S	10,000	92.40	21-Jun	27-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		RL	S	13,500	91.00	21-Jun	27-Jun	non-brg	5.0
COUGAR TRADING	044	RL	B	5,000	91.32	21-Jun	27-Jun	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BASSO CAPITAL	034	ROST	S	80,000	29.17	n/a	n/a	4,000.00	5.0
ß BUCK CAPITAL MGMT		RTH	C	140,000	101.88	n/a	n/a	non-brg	2.0
BUCK	015	RTN	S	200	55.54	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SBAC	S	22,900	34.22	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		SDS	B	300	54.85	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SDS	S	300	54.17	n/a	n/a	non-brg	5.0
ZOLIDIS	011	SDS	S	100	54.47	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SDXC	S	13,192	17.49	n/a	n/a	non-brg	5.0
MERRILL ASSET	032	SFD	S	40,500	32.15	n/a	n/a	1,822.50	4.5
ß BUCK CAPITAL MGMT		SHPGY	S	12,800	73.98	27-Jul	25-Jul	non-brg	5.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	21.32	n/a	13-Mar	400.00	4.0
WHITTIER CAP	048	SMG	B	5,580	42.00	9-Jul	19-Jul	223.20	4.0
LED DY	035	SNEN	S	1,500	2.35	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SSI	S	145,000	18.43	25-May	13-Jul	5,800.00	4.0
ß BUCK CAPITAL MGMT		SSI	S	145,000	18.43	25-May	13-Jul	non-brg	4.0
CARDINAL CAPITAL	044	SSI	B	42,300	18.38	25-May	13-Jul	2,115.00	5.0
KENNEDY CAPITAL	046	SSI	B	40,000	18.43	25-May	13-Jul	1,600.00	4.0
ß BUCK CAPITAL MGMT		SWN	S	20,500	38.50	n/a	20-Jul	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		SYNP	S	8,700	6.96	n/a	n/a	non-brg	1.0
MILLENIUUM PARTNERS	028	S	B	27,800	21.24	n/a	n/a	1,390.00	5.0
⌘ BUCK CAPITAL MGMT		TEVA	S	13,400	42.24	6-Jul	23-Jul	non-brg	5.0
BARR	096	TGIC	SS	300	32.85	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	TGI	B	65,000	74.88	n/a	n/a	3,250.00	5.0
⌘ BUCK CAPITAL MGMT		TGT	C	20,000	63.61	n/a	12-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		THC	S	16,300	5.65	n/a	25-Jul	non-brg	4.0
COUGAR TRADING	044	TIF	B	5,000	50.66	1-Jun	13-Jun	250.00	5.0
COUGAR TRADING	044	TIF	SS	15,000	50.15	1-Jun	13-Jun	750.00	5.0
IRONBOUND CAPITAL	012	TIN	B	2,500	58.44	25-Jul	26-Jul	125.00	5.0
BINDER	007	TIN	SS	300	58.39	25-Jul	26-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		TJX	S	7,600	27.80	13-Jul	13-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		TRLG	S	10,000	19.08	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		TRLG	S	5,000	19.28	n/a	n/a	non-brg	5.0
TRIBECA/DOUG	040	TROW	SS	33,900	50.84	n/a	25-Jul	1,356.00	4.0
BASSO CAPITAL	034	TSCO	B	10,000	47.08	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	TWB	B	20,000	39.51	2-Jul	2-Jul	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		TWB	S	8,100	39.03	2-Jul	2-Jul	non-brg	5.0
IRON HORSE CAPITAL MGMT	038	TWM	S	10,000	68.92	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		TWTC	S	50,200	18.65	4-May	25-Jul	non-brg	5.0
LUCHANSKY1	036	TXN	S	100	35.63	n/a	n/a	0.00	0.0
STRAUSS ASSET MGMT	040	TXRH	S	15,000	12.99	n/a	n/a	750.00	5.0
MILLENIUM PARTNERS	028	T	B	15,000	40.04	25-Jul	26-Jul	750.00	5.0
⌘ BUCK CAPITAL MGMT		UCO	S	11,300	73.41	10-May	20-Jul	non-brg	5.0
SSI INVESTORS	048	UPL	SS	83,164	53.44	n/a	20-Jul	3,326.56	4.0
⌘ BUCK CAPITAL MGMT		URBN	B	80,000	19.84	2-Apr	16-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		URBN	S	38,200	19.63	2-Apr	16-Jul	non-brg	5.0
TRIBECA	038	URBN	B	76,500	19.42	2-Apr	16-Jul	3,060.00	4.0
HIGHBRIDGE CAPITAL	038	URS	B	4,000	50.50	n/a	n/a	200.00	5.0
DAVIDSON TRUST CO	033	USB	B	10,000	30.64	n/a	n/a	500.00	5.0
TRIVIUM CAP MGMT	028	USG	C	50,000	41.08	26-Jul	26-Jul	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		VIRL	S	11,969	7.14	n/a	n/a	non-brg	4.0
MERRILL ASSET	032	VQ	B	16,500	17.80	n/a	n/a	742.50	4.5
⌘ BUCK CAPITAL MGMT		WBC-W	S	2,000	50.25	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA PARTNERS	028	WCI	SS	34,634	8.91	n/a	n/a	1,039.02	3.0
MERRILL ASSET	032	WDR	B	44,900	25.12	13-Jul	24-Jul	2,020.50	4.5
SANDLER O'NEILL ASSET	031	WDR	B	25,000	25.88	13-Jul	24-Jul	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		WEN	SS	100,000	33.52	24-Apr	24-Apr	non-brg	5.0
JP MORGAN VENTURES	046	WMT	B	20,800	47.31	4-Jun	23-Jul	1,040.00	5.0
BARR	096	WM	SS	300	38.49	n/a	n/a	0.00	0.0
ADAGE CAPITAL MGMT	030	WRNC	SS	42,700	37.03	18-Jul	18-Jul	1,708.00	4.0
⚡ BUCK CAPITAL MGMT		WRNC	S	10,000	35.93	18-Jul	18-Jul	non-brg	5.0
⚡ BUCK CAPITAL MGMT		WRNC	S	5,300	36.52	18-Jul	18-Jul	non-brg	5.0
STADIA CAPITAL	035	WSM	SS	25,000	32.15	n/a	n/a	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		WSO	S	9,800	49.68	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		WTSLA	B	20,000	4.86	n/a	n/a	non-brg	4.0
BUCK	015	WY	S	1,200	75.97	13-Jul	13-Jul	0.00	0.0
MILLENIUM PARTNERS	028	YHOO	B	10,000	23.91	n/a	n/a	500.00	5.0
CHILTON CAPITAL MGMT.	036	YUM	SS	25,000	33.10	24-Apr	24-Apr	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		ZQK	S	10,000	12.71	9-Mar	27-Jun	non-brg	5.0
⚡ BUCK CAPITAL MGMT		ZQK	S	16,500	12.50	9-Mar	27-Jun	non-brg	5.0

TOTAL (Trade Date):

224,912.26

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/25	CARLYLE BLUE WAVE PR MG	224	BA	B	6,000	107.35	n/a	n/a	300.00 5.0
AO,CXL	07/25	CARLYLE BLUE WAVE PR MG	224	BA	B	6,000	107.35	n/a	n/a	300.00 5.0
AO,CXL	07/25	ALLEN & CO	032	BNI	S	52,500	86.74	n/a	n/a	0.00 0.0
AO,CRT	07/25	CARLYLE BLUE WAVE PR MG	224	BTU	S	13,000	42.14	n/a	n/a	650.00 5.0
AO,CXL	07/25	CARLYLE BLUE WAVE PR MG	224	BTU	S	13,000	42.14	n/a	n/a	650.00 5.0
AO,CRT	07/25	CIRCLE T PART	035	BWA	B	57,500	90.05	n/a	25-Jul	2300.00 4.0
AO,CXL	07/25	CIRCLE T PART	035	BWA	B	57,500	90.05	n/a	25-Jul	2875.00 5.0
AO,CRT	07/25	TRELLUS PARTNERS LP	028	BWA	B	20,000	90.01	n/a	25-Jul	800.00 4.0
AO,CXL	07/25	TRELLUS PARTNERS LP	028	BWA	B	20,000	90.01	n/a	25-Jul	1000.00 5.0
AO,CXL	07/25	ALLEN & CO	032	CSX	S	164,250	48.90	n/a	n/a	6570.00 4.0
AO,	07/25	DAVIDSON KEMPNER ADVISOR	217	IYT	B	375	93.31	n/a	n/a	18.75 5.0
AO,CRT	07/25	DAVIDSON KEMPNER ADVISOR	217	IYT	B	25	93.31	n/a	n/a	1.25 5.0
AO,CXL	07/25	DAVIDSON KEMPNER ADVISOR	217	IYT	B	400	93.31	n/a	n/a	20.00 5.0
AO,CRT	07/25	KEEFE BRUYETTE WOODS A	031	LEH	SS	3,800	67.07	12-Jun	13-Jul	190.00 5.0
AO,CXL	07/25	KEEFE BRUYETTE WOODS A	031	LEH	SS	3,800	67.07	12-Jun	13-Jul	228.00 6.0
AO,CRT	07/25	CARLYLE BLUE WAVE PR MG	224	LII	S	5,000	37.52	n/a	n/a	250.00 5.0
AO,CXL	07/25	CARLYLE BLUE WAVE PR MG	224	LII	S	5,000	37.52	n/a	n/a	250.00 5.0
AO,CRT	07/25	CARLYLE BLUE WAVE PR MG	224	MT	B	8,000	64.51	n/a	n/a	400.00 5.0
AO,CXL	07/25	CARLYLE BLUE WAVE PR MG	224	MT	B	8,000	64.51	n/a	n/a	400.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/23	SEQUENCE CAPITAL	028	SKS	B	2,220	21.49	n/a	n/a	88.80 4.0
AO,CXL	07/23	SEQUENCE CAPITAL	028	SKS	S	2,220	21.49	n/a	n/a	88.80 4.0
AO,	07/25	MAGNETAR FINANCIAL	247	SKX	B	1,136	22.16	n/a	13-Mar	45.44 4.0
TOTAL (AO, CXL, CRT) :										-7,337.56

TOTAL (Trade Date) :	224,912.26
TOTAL (AO, CXL, CRT) :	-7,337.56

TOTAL (RUN DATE) / NFS:	217,574.70
--------------------------------	-------------------

MRI Securities with Trade Activity on 26-Jul.
BEN, LVLT, USG

MRI Securities without Trade Activity on 26-Jul.
ACL, ARG, GR, HSC, IFF, LPX

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 9%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION