



Daily Compliance Activity Report

Trade Date: 06/03/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
PALMYRA CAPITAL	048	AEO	SS	60,000	17.53	6-Mar	2-Jun	1,800.00 3.0
⚡ BUCK CAPITAL MGMT		ANF+FN	SS	1,000	1.10	n/a	n/a	non-brg 300.0
⚡ BUCK CAPITAL MGMT		ANF+GO	SS	1,000	1.17	n/a	n/a	non-brg 300.0
⚡ BUCK CAPITAL MGMT		ANF	B	100,000	67.65	10-Apr	2-Jun	non-brg 2.0
⚡ BUCK CAPITAL MGMT		ANF	B	20,000	68.45	10-Apr	2-Jun	non-brg 4.0
⚡ BUCK CAPITAL MGMT		ANF	B	8,800	68.55	10-Apr	2-Jun	non-brg 4.0
COUGAR TRADING	044	ANF	B	30,000	68.61	10-Apr	2-Jun	1,500.00 5.0
⚡ BUCK CAPITAL MGMT		ANN	SS	2,300	26.35	14-Mar	22-May	non-brg 4.0
LEDDY	035	AN	C	600	15.27	n/a	25-Apr	0.00 0.0
TRELLUS PARTNERS LP	028	ARGN	B	40,000	11.79	n/a	20-May	2,000.00 5.0
LEDDY	035	ARGN	B	1,200	11.62	n/a	20-May	0.00 0.0
⚡ BUCK CAPITAL MGMT		ARO	B	14,500	33.55	28-Apr	2-Jun	non-brg 4.0
⚡ BUCK CAPITAL MGMT		ARO	B	20,000	33.55	28-Apr	2-Jun	non-brg 4.0
MS PRINCIPAL STRATEGIES	041	ASBC	B	8,174	26.55	n/a	n/a	408.70 5.0
TRELLUS PARTNERS LP	028	AXL	C	20,000	16.60	n/a	n/a	1,000.00 5.0
AMBER CAPITAL	046	BAC	SS	150,000	33.32	n/a	15-May	6,000.00 4.0
SANDLER O'NEILL ASSET	031	BAC	SS	50,000	33.21	n/a	15-May	2,500.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		BARE	B	12,300	19.85	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BBBY	SS	3,900	31.72	n/a	n/a	non-brg	4.0
MS PRINCIPAL STRATEGIES	041	BBT	B	7,709	30.56	n/a	n/a	385.45	5.0
SANDLER O'NEILL ASSET	031	BEN	B	10,000	100.75	24-Mar	2-Jun	500.00	5.0
MS PRINCIPAL STRATEGIES	041	BOKF	B	2,765	57.09	n/a	n/a	138.25	5.0
BUCK	015	BUDGL	B	20	2.10	n/a	n/a	0.00	0.0
BUCK	015	BUDUK	S	15	2.40	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	BWA	SS	30,000	50.80	5-May	5-May	1,500.00	5.0
SUFFOLK CAPITAL MGMT	040	CB	B	117,300	53.54	n/a	n/a	4,692.00	4.0
HBK FUNDS	031	CELG	B	250,000	61.13	n/a	n/a	12,500.00	5.0
COUGAR TRADING	044	CHTT	B	10,000	58.96	2-Jun	2-Jun	500.00	5.0
KAICHER	064	CLR	B	500	64.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		COH	B	10,600	35.97	4-Apr	23-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		COLM	SS	2,900	42.19	n/a	n/a	non-brg	4.0
LEDDY	035	CSR	S	1,000	20.42	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		CWTR	B	20,100	6.15	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DAI+RN	C	700	0.48	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		DAI+SN	C	1,000	1.38	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		DAI	C	172,000	73.98	n/a	n/a	non-brg	1.0
MAIRS AND POWER INV	030	DCI	S	10,000	51.14	n/a	n/a	500.00	5.0
CENTURION PARTNERS	255	DELL	S	100,000	23.07	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT		DKS	B	11,000	22.74	n/a	23-May	non-brg	4.0
JMP ASSET MGMT	210	DKS	B	2,000	22.49	n/a	23-May	100.00	5.0
PRINCIPLE CAPITAL	040	DKS	B	53,100	22.76	n/a	23-May	1,593.00	3.0
COVEY CAPITAL	045	DLR	B	31,000	19.55	n/a	n/a	1,240.00	4.0
KORMAN	003	DLTR	SS	3,000	36.60	n/a	29-May	0.00	0.0
PRIMECAP MGMT	048	DNA	B	17,300	72.94	n/a	n/a	692.00	4.0
KACELA CAPITAL	034	DRIV	B	15,000	40.26	n/a	n/a	600.00	4.0
ACK ASSET	028	DSW	B	10,000	14.57	8-May	30-May	400.00	4.0
GAULT	063	DUG	B	1,000	28.61	n/a	n/a	0.00	0.0
KORMAN	003	EL	SS	2,000	47.15	7-May	21-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HOOVER INV. MGMT	033	ENS	B	1,800	31.33	n/a	n/a	90.00	5.0
PRIMECAP MGMT	048	ERIC	B	10,000	27.12	n/a	n/a	400.00	4.0
FIRST NEW YORK LEDDY	035	EXTR	B	100,000	3.28	n/a	22-Mar	6,000.00	6.0
ß BUCK CAPITAL MGMT		FDO	SS	3,000	21.31	8-Jan	9-May	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	B	26,100	14.45	2-Apr	23-May	non-brg	4.0
ß BUCK CAPITAL MGMT		FOSL	B	10,000	30.10	n/a	14-May	non-brg	4.0
ß BUCK CAPITAL MGMT		FOSL	B	16,400	30.15	n/a	14-May	non-brg	4.0
NOMURA CAPITAL	045	FWLT	S	3,150	77.44	n/a	n/a	157.50	5.0
FIRST NY SEC/CHRIS	041	F	B	50,000	6.65	25-Apr	2-Jun	2,000.00	4.0
ROSEDALE CAPITAL	046	F	B	30,000	6.72	25-Apr	2-Jun	1,200.00	4.0
STARK ASSET MGMT	031	F	B	193,101	6.63	25-Apr	2-Jun	7,724.04	4.0
KORMAN	003	F	SS	5,000	6.62	25-Apr	2-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		GES	B	36,000	40.60	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GES	B	9,600	37.98	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GIII	B	24,000	15.45	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIL	B	10,000	28.84	30-Apr	28-May	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	B	25,500	28.85	30-Apr	28-May	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	S	240,000	29.15	30-Apr	28-May	non-brg	4.0
CATAPULT	040	GIL	B	53,000	28.97	30-Apr	28-May	2,120.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		GM	SS	14,300	17.50	29-Apr	2-Jun	non-brg	4.0
DSG CAPITAL	225	GM	B	5,000	17.65	29-Apr	2-Jun	250.00	5.0
KORMAN	003	GM	SS	2,000	18.03	29-Apr	2-Jun	0.00	0.0
PRIMECAP MGMT	048	GSK	B	1,100	43.67	n/a	n/a	44.00	4.0
CASTLEROCK	040	GYMB	S	92,400	43.06	3-Jun	3-Jun	3,696.00	4.0
COLUMBUS CIRCLE	036	GYMB	S	39,300	43.53	3-Jun	3-Jun	1,572.00	4.0
COUGAR TRADING	044	GYMB	B	10,000	43.18	3-Jun	3-Jun	500.00	5.0
COUGAR TRADING	044	GYMB	SS	10,000	44.36	3-Jun	3-Jun	500.00	5.0
DIAMONDBACK CAP MGMT	232	GYMB	C	8,500	42.98	3-Jun	3-Jun	425.00	5.0
HOOVER INV. MGMT	033	GYMB	S	19,200	42.83	3-Jun	3-Jun	960.00	5.0
J L F ASSET MGMT	039	GYMB	SS	40,900	43.53	3-Jun	3-Jun	1,636.00	4.0
ROXBURY CAPITAL MGMT	035	GYMB	S	82,000	43.16	3-Jun	3-Jun	3,280.00	4.0
B BUCK CAPITAL MGMT		HBI	SS	1,900	31.48	n/a	n/a	non-brg	4.0
LIGHTHOUSE FIN/QUAD	046	HEK	S	5,000	9.00	n/a	n/a	250.00	5.0
JMP ASSET MGMT	210	HGG	SS	1,000	12.18	n/a	n/a	50.00	5.0
GAULT	063	HRS	B	500	55.55	2-Jun	2-Jun	0.00	0.0
MFP INVESTORS	032	IACI	B	6,200	21.74	n/a	n/a	310.00	5.0
B BUCK CAPITAL MGMT		ICON	B	35,600	13.83	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		JCG	B	10,300	35.59	n/a	30-May	non-brg	4.0
GAULT	063	JCI	B	500	34.00	23-Jan	16-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		JOSB	S	100,000	26.93	n/a	n/a	non-brg	4.0
PRIMECAP MGMT	048	KLAC	B	1,000	44.29	n/a	n/a	40.00	4.0
COUGAR TRADING	044	KSS	B	25,000	44.90	n/a	2-Jun	1,250.00	5.0
LIGHTHOUSE FIN/QUAD	046	LEH	B	5,000	32.48	1-Apr	22-May	250.00	5.0
NATIONAL BK OF CAN	031	LEH	B	40,000	29.98	1-Apr	22-May	1,600.00	4.0
B BUCK CAPITAL MGMT	001	LIZ	SS	20,000	16.99	n/a	14-May	800.00	4.0
B BUCK CAPITAL MGMT		LIZ	SS	21,800	17.05	n/a	14-May	non-brg	4.0
PRIMECAP MGMT	048	LLY	B	1,200	47.72	n/a	n/a	48.00	4.0
KORMAN	003	LL	SS	4,800	14.95	n/a	n/a	0.00	0.0
COUGAR TRADING	044	LTD	B	5,000	19.09	n/a	2-Jun	250.00	5.0
B BUCK CAPITAL MGMT		LTM	S	175,000	39.92	n/a	n/a	non-brg	4.0
BECK MACK & OLIVER LLC	045	LVLIT	B	10,000	3.67	4-Jan	4-Jan	300.00	3.0
B BUCK CAPITAL MGMT		LVS	S	100,000	66.26	n/a	n/a	non-brg	4.0
TRIVIUM CAP MGMT	251	LXK	B	25,000	36.37	n/a	n/a	1,000.00	4.0
GAULT	063	LYHFJ	B	40	1.44	n/a	n/a	0.00	0.0
BARR	096	MBI	SS	5,000	7.04	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		MCD	B	105,000	57.62	n/a	2-Jun	non-brg	4.0
BUCK CAPITAL MGMT		MCD	B	125,000	57.39	n/a	2-Jun	non-brg	4.0
BUCK CAPITAL MGMT		MCD	S	105,000	57.85	n/a	2-Jun	non-brg	4.0
CASTLEROCK	040	MCD	SS	53,000	57.96	n/a	2-Jun	2,120.00	4.0
COLUMBIA PARTNERS	028	MET	S	4,925	59.55	n/a	n/a	197.00	4.0
THOMAS WEISEL ASSET MGMT	040	MIND	B	1,900	18.85	n/a	n/a	57.00	3.0
BARR	096	MIPS	SS	3,000	3.65	n/a	n/a	0.00	0.0
NOMURA CAPITAL	045	MNTG	S	3,700	5.45	n/a	n/a	148.00	4.0
BUCK CAPITAL MGMT		M	S	100,000	22.81	7-Feb	2-Jun	non-brg	4.0
BUCK CAPITAL MGMT		M	S	196,900	22.79	7-Feb	2-Jun	non-brg	4.0
BUCK CAPITAL MGMT		M	S	50,000	22.86	7-Feb	2-Jun	non-brg	4.0
BUCK CAPITAL MGMT		NEXC	B	100,000	0.63	n/a	n/a	non-brg	1.0
KAICHER	064	NOG	B	2,000	12.16	n/a	n/a	0.00	0.0
PRIMECAP MGMT	048	NVDA	B	5,000	24.05	n/a	n/a	200.00	4.0
PRIMECAP MGMT	048	NVS	B	23,900	53.50	n/a	n/a	956.00	4.0
WILLOW CREEK	033	OMTR	SS	25,000	23.48	n/a	n/a	1,000.00	4.0
NICOLAS APPLGATE	048	ORCL	B	150,000	23.24	n/a	n/a	3,000.00	2.0
WILLIAM BLAIR	031	ORLY	S	8,900	26.06	n/a	n/a	356.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CHILTON CAPITAL MGMT.	036	PCL	S	175,000	45.65	29-Apr	29-Apr	7,000.00	4.0
COHE & STEERS	091	PCL	B	300,000	45.91	29-Apr	29-Apr	12,000.00	4.0
B BUCK CAPITAL MGMT		PERY	S	20,000	26.38	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		PERY	S	30,000	26.31	n/a	n/a	non-brg	2.0
PRIMECAP MGMT	048	PFE	S	40,000	19.05	n/a	31-Mar	1,600.00	4.0
BMO NESBITT BURNS	041	PKG	B	31,700	25.43	7-Apr	22-Apr	1,585.00	5.0
ELM RIDGE ADVISORS	044	PKG	S	10,400	25.76	7-Apr	22-Apr	416.00	4.0
B BUCK CAPITAL MGMT		PLCE	B	15,300	32.91	3-Jun	3-Jun	non-brg	4.0
COUGAR TRADING	044	PLCE	B	10,000	33.11	3-Jun	3-Jun	500.00	5.0
COUGAR TRADING	044	PLCE	SS	10,000	32.71	3-Jun	3-Jun	500.00	5.0
FIRST NY SEC/CHRIS	041	PLCE	B	10,000	32.05	3-Jun	3-Jun	600.00	6.0
HOOVER INV. MGMT	033	PLCE	S	8,100	31.87	3-Jun	3-Jun	405.00	5.0
MILLRACE FUND LP	028	PLCE	S	16,100	32.64	3-Jun	3-Jun	805.00	5.0
SCOPUS FUND	041	PLCE	B	58,900	32.22	3-Jun	3-Jun	2,945.00	5.0
TRIVIUM CAP MGMT	251	PLXS	SS	25,000	27.62	n/a	n/a	1,000.00	4.0
B BUCK CAPITAL MGMT		PNRA	SS	1,200	50.75	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PVH	B	11,400	43.57	5-May	22-May	non-brg	4.0
FIRST NEW YORK NICK	029	Q	S	70,000	4.83	n/a	n/a	4,200.00	6.0
JMP ASSET MGMT	210	RRGB	SS	1,000	33.57	n/a	n/a	50.00	5.0
COHE & STEERS	091	RYN	B	100,000	46.55	23-Apr	23-Apr	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WILLOW CREEK	033	SCRX	SS	25,000	20.50	n/a	3-Jun	1,000.00	4.0
COUGAR TRADING	044	SDS	B	5,000	57.50	n/a	n/a	250.00	5.0
BARR	096	SKF	B	800	114.33	n/a	n/a	0.00	0.0
KORMAN	003	TEN	SS	3,000	22.98	n/a	n/a	0.00	0.0
AVESTA CAP	229	TGT	B	50,000	54.92	n/a	29-May	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		TJX	B	15,800	32.05	n/a	2-Jun	non-brg	4.0
RHO CAPITAL MGMT	041	TLT	SS	350	90.97	n/a	n/a	14.00	4.0
BECK MACK & OLIVER LLC	045	TMM	B	4,200	1.96	n/a	n/a	84.00	2.0
⌘ BUCK CAPITAL MGMT		TUY+GG	SS	1,000	1.02	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		URBN	B	19,800	31.61	15-May	15-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		VFC	B	3,400	73.91	n/a	n/a	non-brg	4.0
BECK MACK & OLIVER LLC	045	WAB	S	2,000	46.34	n/a	n/a	100.00	5.0
PZENA INV ADV	044	WB	B	61,175	22.77	n/a	n/a	2,447.00	4.0
COLUMBIA PARTNERS	028	WCG	B	10,774	53.34	n/a	n/a	430.96	4.0
⌘ BUCK CAPITAL MGMT		WFMI	SS	2,200	28.06	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		WRC	B	10,000	47.07	13-May	13-May	non-brg	4.0
ß BUCK CAPITAL MGMT		WRC	B	13,100	47.15	13-May	13-May	non-brg	4.0
ß BUCK CAPITAL MGMT		WSM	SS	5,100	24.63	n/a	n/a	non-brg	4.0
GAULT	063	WY	B	400	60.88	7-Apr	27-May	0.00	0.0
ß BUCK CAPITAL MGMT		XRT	SS	61,700	32.53	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ZQK	B	41,100	9.16	5-Feb	30-May	non-brg	4.0
ß BUCK CAPITAL MGMT		ZZ	C	25,000	5.99	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):							136,717.90		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/29	TRELLUS PARTNERS LP	028	ARGN	B	10,000	14.05	n/a	20-May	500.00 5.0
AO,CXL	05/29	TRELLUS PARTNERS LP	028	ARGN	B	10,000	14.05	n/a	20-May	500.00 5.0
AO,CXL	06/02	TROUBH PART	067	AXP	B	10,000	45.34	n/a	n/a	400.00 4.0
AO,CRT	06/02	TROUBH PART	067	AXP	B	10,000	45.34	n/a	n/a	500.00 5.0
AO,	06/02	SUFFOLK CAPITAL MGMT	040	CB	B	150,000	53.63	n/a	n/a	6000.00 4.0
AO,CXL	06/02	SUFFOLK CAPITAL MGMT	040	CB	B	96,600	53.63	n/a	n/a	3864.00 4.0
AO,	06/02	PALMYRA CAPITAL	048	JWN	B	26,000	34.11	16-May	2-Jun	1300.00 5.0
AO,CRT	06/02	STELLIAM INV ADV	040	OXY	SS	5,000	93.34	n/a	n/a	250.00 5.0
AO,CXL	06/02	STELLIAM INV ADV	040	OXY	SS	5,000	93.34	n/a	n/a	250.00 5.0
AO,CRT	06/02	GALLEON MGMT LP	033	PSPT	S	25,000	9.13	n/a	n/a	1250.00 5.0
AO,CXL	06/02	GALLEON MGMT LP	033	PSPT	S	25,000	9.13	n/a	n/a	1250.00 5.0
AO,CRT	06/02	IRONBOUND CAPITAL	012	TIN	B	40,800	14.28	29-Apr	29-Apr	1224.00 3.0
AO,CXL	06/02	IRONBOUND CAPITAL	012	TIN	B	40,800	14.28	29-Apr	29-Apr	2040.00 5.0
AO,CRT	06/02	TROUBH PART	067	UBS	B	10,000	23.48	n/a	n/a	500.00 5.0
AO,CXL	06/02	TROUBH PART	067	UBS	B	10,000	23.48	n/a	n/a	400.00 4.0
AO,	06/02	BAYPOINT/PRIMEOVERS	041	WAVE	SS	10,000	5.19	n/a	n/a	400.00 4.0
AO,CRT	06/02	PALMYRA CAPITAL	048	WSM	SS	80,000	24.52	n/a	n/a	4000.00 5.0
AO,	06/02	PALMYRA CAPITAL	048	ZUMZ	SS	50,000	19.90	n/a	n/a	1500.00 3.0

TOTAL (AO, CXL, CRT):

8,720.00

TOTAL (Trade Date):

136,717.90

TOTAL (AO, CXL, CRT):

8,720.00

TOTAL (RUN DATE) / NFS:

145,437.90

MRI Securities with Trade Activity on 3-Jun.

GYMB, PLCE

MRI Securities without Trade Activity on 3-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 1%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION