

## **Daily Compliance Activity Report** Trade Date: 02/19/2008

## **POTENTIAL ANALYST TRADE VIOLATIONS: 0**

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
PATTEN & PATTEN	067	AAPL	В		125.16	n/a	n/a	5.00	5.0
CHRISTENSE	022	AAPL	В	200	126.24	n/a	n/a	0.00	0.0
LEDDY	035	AER	S	700	17.55	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	ANF	В	13,500	74.93	10-Jan	15-Feb	675.00	5.0
LEDDY	035	APP	S	1,000	12.26	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ARO	В	5,000	26.49	29-Nov	8-Feb	250.00	5.0
NEUBERGER BERMAN	036	AXP	S	31,400	45.24	n/a	n/a	1,413.00	4.5
BALYASNY ASSET MGMT	044	BAC	В	35,000	43.03	13-Nov	23-Jan	1,750.00	5.0
BALYASNY ASSET MGMT	044	BEN	В	10,000	97.27	25-Sep	14-Feb	500.00	5.0
ROTHSCHILD ASSET	040	BRS	S	1,600	53.62	n/a	n/a	72.03	4.5
CUMBERLAND	038	BYI	В	30,000	39.89	n/a	n/a	1,200.00	4.0
MFP INVESTORS	032	CBT	В	10,100	28.01	n/a	30-Jan	505.00	5.0
BUCK	015	CELG	С	800	56.55	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	СЕРН	В	10,195	62.13	n/a	19-Feb	407.80	4.0
KENNEDY CAPITAL	046	СРВ	В	39,700	33.14	n/a	n/a	1,588.00	4.0

Account	RR #	Symbol	B/S		Shares	Price	MRI	Research	Comm.	Shr.
LUCHANKSY	036	CPN	В		200	17.90	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CROX	В		20,000	32.70	n/a	n/a	800.00	4.0
SANDLER O'NEILL ASSET	031 <b>015</b>	C <b>C</b>			50,000 <b>1,400</b>	25.58 <b>25.60</b>	16-Jan <b>16-Jan</b>	14-Feb	2,500.00	5.0 <b>0.0</b>
BUCK CAPITAL MGMT	001	DAI	:	SS	50,000	83.66	n/a	n/a	2,000.00	4.0
BUCK	015	DD	:	S	1,000	46.25	n/a	22-Jan	0.00	0.0
PATTEN & PATTEN	067	DNA	В		1,380	73.10	n/a	n/a	69.00	5.0
GAULT	063	DUG	В		500	39.55	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	DVN	:	S	9,260	96.31	14-Jan	8-Feb	463.00	5.0
PATTEN & PATTEN	067	D	:	S	600	42.75	n/a	n/a	30.00	5.0
SATELLITE ASSET MGMT TRELLUS PARTNERS LP	012 028	EL EL	В	SS	5,000 25,000	42.99 42.44	4-Jan 4-Jan	4-Feb	200.00	4.0 5.0
SATELLITE ASSET MGMT	012	ENR	:	S	1,900	93.53	n/a	n/a	76.00	4.0
IRIDIAN ASSET MGMT.	036	EOG	:	S	9,040	98.29	n/a	n/a	452.00	5.0
SUNOVA CAPITAL	031	EV	В		25,000	32.79	9-Jan	19-Feb	1,250.00	5.0
PATTEN & PATTEN	067	EWG	:	S	300	31.25	n/a	n/a	15.00	5.0
	LUCHANKSY  BEAR STEARNS ASSET MGMT.  SANDLER O'NEILL ASSET BUCK  BUCK CAPITAL MGMT  BUCK  PATTEN & PATTEN  GAULT  IRIDIAN ASSET MGMT.  PATTEN & PATTEN  SATELLITE ASSET MGMT  TRELLUS PARTNERS LP  SATELLITE ASSET MGMT.  IRIDIAN ASSET MGMT.  SUNOVA CAPITAL	LUCHANKSY  BEAR STEARNS ASSET MGMT.  SANDLER O'NEILL ASSET  BUCK  O15  BUCK CAPITAL MGMT  O01  BUCK  PATTEN & PATTEN  GAULT  GAULT  O63  IRIDIAN ASSET MGMT.  O67  SATELLITE ASSET MGMT  TRELLUS PARTNERS LP  O28  SATELLITE ASSET MGMT  O12  IRIDIAN ASSET MGMT  O12  IRIDIAN ASSET MGMT  O12  IRIDIAN ASSET MGMT  O13  SATELLITE ASSET MGMT  O14  IRIDIAN ASSET MGMT  O15  O36  SUNOVA CAPITAL  O31	LUCHANKSY  BEAR STEARNS ASSET MGMT. 033 CROX  SANDLER O'NEILL ASSET 031 C BUCK 015 C  BUCK CAPITAL MGMT 001 DAI  BUCK 015 DD  PATTEN & PATTEN 067 DNA  GAULT 063 DUG  IRIDIAN ASSET MGMT. 036 DVN  PATTEN & PATTEN 067 D  SATELLITE ASSET MGMT 012 EL  TRELLUS PARTNERS LP 028 EL  SATELLITE ASSET MGMT 012 ENR  IRIDIAN ASSET MGMT 012 ENR  IRIDIAN ASSET MGMT. 036 EOG  SUNOVA CAPITAL 031 EV	BEAR STEARNS ASSET MGMT. 033 CROX B SANDLER O'NEILL ASSET 031 C BUCK 015 C BUCK CAPITAL MGMT 001 DAT  BUCK 015 DD  PATTEN & PATTEN 067 DNA B GAULT 063 DUG B IRIDIAN ASSET MGMT. 036 DVN  PATTELLUS PARTNERS LP 028 EL  SATELLITE ASSET MGMT 012 ENR SATELLITE ASSET MGMT 012 ENR SATELLITE ASSET MGMT 012 ENR IRIDIAN ASSET MGMT 012 ENR SATELLITE ASSET MGMT 012 ENR IRIDIAN ASSET MGMT 012 ENR SATELLITE ASSET MGMT 012 ENR	LUCHANKSY  BEAR STEARNS ASSET MGMT. 033 CROX  SANDLER O'NEILL ASSET 031 C SS BUCK 015 C SS  BUCK CAPITAL MGMT 001 DAI SS  BUCK 015 DD S  PATTEN & PATTEN 067 DNA B  IRIDIAN ASSET MGMT. 036 DVN S  SATELLITE ASSET MGMT 012 EL B SATELLITE ASSET MGMT 012 EL B SATELLITE ASSET MGMT 012 EL SS  SATELLITE ASSET MGMT 012 ENR S  SUNOVA CAPITAL 031 EV B	LUCHANKSY         036         CPN         B         200           BEAR STEARNS ASSET MGMT.         033         CROX         B         20,000           SANDLER O'NEILL ASSET         031         C         SS         50,000           BUCK         015         C         SS         1,400           BUCK CAPITAL MGMT         001         DAI         SS         50,000           BUCK         015         DD         S         1,000           PATTEN & PATTEN         067         DNA         B         1,380           GAULT         063         DUG         B         500           IRIDIAN ASSET MGMT.         036         DVN         S         9,260           PATTEN & PATTEN         067         D         S         600           SATELLITE ASSET MGMT         012         EL         B         5,000           SATELLITE ASSET MGMT         012         ENR         S         1,900           IRIDIAN ASSET MGMT.         036         EOG         S         9,040           SUNOVA CAPITAL         031         EV         B         25,000	LUCHANKSY         036         CPN         B         200         17.90           BEAR STEARNS ASSET MGMT.         033         CROX         B         20,000         32.70           SANDLER O'NEILL ASSET         031         C         SS         50,000         25.58           BUCK         015         C         SS         1,400         25.60           BUCK         015         DD         S         1,000         46.25           PATTEN & PATTEN         067         DNA         B         1,380         73.10           GAULT         063         DUG         B         500         39.55           IRIDIAN ASSET MGMT.         036         DVN         S         9,260         96.31           PATTEN & PATTEN         067         D         S         600         42.75           SATELLITE ASSET MGMT         012         EL         B         5,000         42.99           TRELLUS PARTNERS LP         028         EL         SS         25,000         93.53           IRIDIAN ASSET MGMT.         036         EOG         S         9,040         98.29           SUNOVA CAPITAL         031         EV         B         25,000         32.79	LUCHANKSY         036         CPN         B         200         17.90         n/a           BEAR STEARNS ASSET MGMT.         033         CROX         B         20,000         32.70         n/a           SANDLER O'NEILL ASSET         031         C         SS         50,000         25.58         16-Jan           BUCK         015         C         SS         1,400         25.60         16-Jan           BUCK         O15         DD         S         1,000         83.66         n/a           BUCK         O15         DD         S         1,000         46.25         n/a           PATTEN & PATTEN         067         DNA         B         1,380         73.10         n/a           IRIDIAN ASSET MGMT.         036         DVN         S         9,260         96.31         14-Jan           SATELLITE ASSET MGMT         012         EL         B         5,000         42.75         n/a           SATELLITE ASSET MGMT         012         ENR         S         25,000         42.99         4-Jan           SATELLITE ASSET MGMT         012         ENR         S         1,900         93.53         n/a           SUNOVA CAPITAL         031	LUCHANKSY  036 CPN B 200 17.90 n/a n/a  BEAR STEARNS ASSET MGMT. 033 CROX B 20,000 32.70 n/a n/a  SANDLER O'NEILL ASSET 031 C SS 50,000 25.58 16-Jan 14-Feb BUCK 015 C SS 1,400 25.60 16-Jan 14-Feb BUCK CAPITAL MGMT 001 DAI SS 50,000 83.66 n/a n/a  BUCK CAPITAL MGMT 015 DD S 1,000 46.25 n/a 22-Jan  PATTEN & PATTEN 067 DNA B 1,380 73.10 n/a n/a  GAULT 063 DUG B 500 39.55 n/a n/a  IRIDIAN ASSET MGMT. 036 DVN S 9,260 96.31 14-Jan 8-Feb PATTEN & PATTEN 067 D S 600 42.75 n/a n/a  SATELLITE ASSET MGMT 012 EL B 5,000 42.99 4-Jan 4-Feb TRELLUS PARTNERS LP 028 EL SS 25,000 42.44 4-Jan 4-Feb SATELLITE ASSET MGMT 012 ENR S 1,900 93.53 n/a n/a  IRIDIAN ASSET MGMT. 036 EOG S 9,040 98.29 n/a n/a  SUNOVA CAPITAL 031 EV B 25,000 32.79 9-Jan 19-Feb	LUCHANKSY  036 CPN B 200 17.90 n/a n/a 0.00  BEAR STEARNS ASSET MGMT. 033 CROX B 20,000 32.70 n/a 14-Feb 2,500.00  SANDLER O'NEILL ASSET 031 C SS 50,000 25.58 16-Jan 14-Feb 2,500.00  BUCK 015 C SS 1,000 25.60 16-Jan 14-Feb 0.00  BUCK CAPITAL MGMT 001 DAI SS 50,000 83.66 n/a n/a 22-Jan 0.00  BUCK 015 DD S 1,000 46.25 n/a 22-Jan 0.00  PATTEN 6 PATTEN 067 DNA B 1,380 73.10 n/a n/a 69.00  GAULT 063 DUG B 500 39.55 n/a n/a 0.00  IRIDIAN ASSET MGMT 036 DVN S 9,260 96.31 14-Jan 8-Feb 463.00  PATTELUS PATTEN 067 D S 600 42.75 n/a n/a 30.00  SATELLITE ASSET MGMT 012 EL B 5,000 42.99 4-Jan 4-Feb 200.00  TRELLUS PARTNERS LP 028 EL SS 25,000 42.44 4-Jan 4-Feb 1,250.00  SATELLITE ASSET MGMT 012 ENR S 1,900 93.53 n/a n/a 76.00  IRIDIAN ASSET MGMT. 012 ENR S 1,900 93.53 n/a n/a 76.00  SATELLITE ASSET MGMT 012 ENR S 1,900 98.29 n/a n/a 452.00  SATELLITE ASSET MGMT. 036 EOG S 9,040 98.29 n/a n/a 452.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	Shr.	
KENNEDY CAPITAL	046	EW	S	12,035	42.95	n/a	n/a	481.40	4.0	
PATTEN & PATTEN	067	FNMPRS	В	1,600	25.91	n/a	n/a	80.00	5.0	
SIGMA CAPITAL ASSOCIATES	237	FOSL	SS	50,000	35.64	13-Nov	8-Feb	2,500.00	5.0	
PATTEN & PATTEN	067	FREPRZ	В	800	26.40	n/a	n/a	40.00	5.0	
SUN AMERICA ASSET MGMT	030	GD	В	11,200	84.20	n/a	n/a	448.00	4.0	
BUCK CAPITAL MGMT	001	GIL	В	1,000	38.76	22-Jan	31-Jan	40.00	4.0	
DELTEC ASSET MGMT.	040	GLW	В	10,000	23.12	n/a	11-Feb	500.00	5.0	
KERN	050	GOOG	s	20	517.52	n/a	n/a	0.00	0.0	
SATELLITE ASSET MGMT	012	GPS	В	5,000	19.21	n/a	8-Feb	200.00	4.0	
AMATURO	018	GSI	В	3,000	7.50	n/a	n/a	0.00	0.0	
LEDDY	035	GSI	В	1,200	7.54	n/a	n/a	0.00	0.0	
COUGAR TRADING	044	GYMB	В	5,000	39.26	n/a	8-Feb	250.00	5.0	
N STRATTON	014	HAL	s	100	36.75	n/a	n/a	0.00	0.0	
FINANCIAL STOCK LP	028	HIG	В	30,000	73.06	n/a	n/a	1,200.00	4.0	
SUN AMERICA ASSET MGMT	030	HPQ	S	43,800	44.98	n/a	n/a	1,752.00	4.0	
PATTEN & PATTEN	067	IDU	S	62	95.57	n/a	n/a	3.10	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
MERRILL ASSET	032	ITG	В	10,900	46.39	n/a	n/a	490.50	4.5
BENCHMARK	028	IYR	SS	2,500	62.94	n/a	n/a	125.00	5.0
SATELLITE ASSET MGMT	012	JAKK	S	10,000	26.73	n/a	n/a	400.00	4.0
SUNOVA CAPITAL	031	KMX	В	100,000	19.18	n/a	10-Jan	5,000.00	5.0
PATTEN & PATTEN	067	KO	В	400	58.61	n/a	n/a	20.00	5.0
SATELLITE ASSET MGMT	012	KO	В	5,000	58.03	n/a	n/a	200.00	4.0
BUCK	015	KSS	SS	700	44.26	4-Jan	15-Feb	0.00	0.0
KENNEDY CAPITAL	046	LDG	S	15,010	48.27	n/a	n/a	600.40	4.0
TRUSTCO/SUNTRUST	040	LPX	S	100,000	11.31	30-0ct	6-Feb	3,000.00	3.0
IRIDIAN ASSET MGMT.	036	LSI	В	212,400	4.98	n/a	n/a	8,496.00	4.0
N STRATTON	014	LTRE	В	450	12.54	n/a	n/a	0.00	0.0
BUCK	015	LVS	В	600	88.73	n/a	n/a	0.00	0.0
WHEELER	800	MGRC	В	5,000	20.60	n/a	n/a	0.00	0.0
BUCK	015	МО	В	600	72.83	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	MSFT	S	41,500	28.61	n/a	3-Apr	1,660.00	4.0
BUCK	015	MSFT	SS	1,000	28.73	n/a	3-Apr	0.00	0.0

Account	RR #	Symbol	в/:	S	Shares	Price	MRI	Research	Comm.	¢/Shr.
COUGAR TRADING	044	М		SS	5,000	24.71	7-Feb	15-Feb	250.00	5.0
LAGRANGE CAP ADV	048	М	В		10,000	24.91	7-Feb	15-Feb	500.00	5.0
KENNEDY CAPITAL	046	NLY		S	43,950	20.48	n/a	n/a	1,758.00	4.0
LISTED ERR	099	NLY	В		9	20.51	n/a	n/a	0.00	0.0
LISTED ERR	099	NLY		S	9	20.48	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	NOV		S	13,820	64.56	n/a	n/a	691.00	5.0
BACKBAY	041	PAG		S	100,000	18.26	6-Feb	6-Feb	5,000.00	5.0
BUCK CAPITAL MGMT	001	PAG	В		10,000	18.08	6-Feb	6-Feb	400.00	4.0
COUGAR TRADING	044	PAG		S	20,000	18.17	6-Feb	6-Feb	1,000.00	5.0
FIRST NY SEC/CHRIS	041	PAG		S	21,000	18.54	6-Feb	6-Feb	1,260.00	6.0
SCOPUS FUND	041	PAG		S	30,000	18.34	6-Feb	6-Feb	1,500.00	5.0
KERN	050	PBR		s	100	118.24	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	PCL		S	800	39.93	8-Jan	30-Jan	40.00	5.0
BUCK	015	PHRM		s	1,500	70.21	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	PWR	В		675	23.99	n/a	n/a	33.75	5.0
PATTEN & PATTEN	067	RHHBY		S	370	87.80	n/a	n/a	18.50	5.0
SUN AMERICA ASSET MGMT	030	RIG		S	16,000	130.38	n/a	n/a	640.00	4.0
BUCK CAPITAL MGMT	001	RL		SS	10,000	66.05	7-Feb	15-Feb	400.00	4.0
STADIA CAPITAL	035	RL		SS	35,000	64.57	7-Feb	15-Feb	1,400.00	4.0

Account	RR #	Symbol	в/s	3	Shares	Price	MRI	Research	Comm.	Shr.
SATELLITE ASSET MGMT	012	RTH		SS	5,000	91.56	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	RVI		s	20,000	6.96	n/a	n/a	800.00	4.0
SUTERA	060	SDS	В		500	61.75	n/a	n/a	0.00	0.0
SUTERA	060	SDS		S	500	63.31	n/a	n/a	0.00	0.0
GAULT	063	SKF		s	1,000	112.34	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	SLB	В		200	87.70	n/a	n/a	10.00	5.0
PATTEN & PATTEN	067	SPY		S	35	134.99	n/a	n/a	1.75	5.0
WHEELER	008	TLGD	В		15,000	5.75	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	TMX		SS	40,000	33.32	n/a	n/a	1,600.00	4.0
KERN	050	тт		S	101	44.76	17-Dec	29-Jan	0.00	0.0
COUGAR TRADING	044	TWB		S	5,000	31.18	9-Jan	12-Feb	250.00	5.0
PATTEN & PATTEN	067	UNM		S	700	23.83	n/a	n/a	35.00	5.0
KERN	050	WBC		S	33	43.98	n/a	7-Feb	0.00	0.0
виск	015	WB	В		700	33.96	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	WFC	В		75 <b>,</b> 900	30.25	n/a	n/a	3 <b>,</b> 795.00	5.0
SUNOVA CAPITAL	031	WFC		SS	100,000	29.65	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Sh	r.
SATELLITE ASSET MGMT	012	WRNC	В	20,000	34.35	14-Jan	15-Feb	800.00 4.	0
REINHART & MAHONEY	031	WX	В	19,870	25.97	n/a	n/a	993.50 5.	0
PATTEN & PATTEN	067	WY	В	2,000	63.51	8-Jan	11-Feb	100.00 5.	0
SYSTEMATIC FINANCIAL MGT	035	X	В	138,300	107.46	n/a	n/a	5,186.25 3.	8
BUCK	015	<b>УНОО</b>	В	2,000	29.34	n/a	n/a	0.00 0.	0

TOTAL (Trade Date):

78,619.98

## Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI R	esearch	Comm.	¢/Shr.
AO, CXL	02/15	AMATURO	018		В	20,000	82.88	n/a	n/a	0.00	0.0
AO, CRT	02/15	AMATURO	018		В	20,000	82.88	n/a	n/a	0.00	0.0
AO,	02/15	GOLDMAN SACHS ASSET MGMT	044	СНК	В	221,980	42.96	n/a	n/a	3329.70	1.5
AO, CRT	02/15	LAGRANGE CAP ADV	048	HYC	В	1,300	3.89	n/a	n/a	52.00	4.0
AO,CXL	02/15	LAGRANGE CAP ADV	048	HYC	В	1,300	3.89	n/a	n/a	65.00	5.0
O,CRT	02/14	FARALLON CAP MGMT	040	XRT	В	875 <b>,</b> 000	33.62	n/a	n/a 2	26250.00	3.0
O,CXL	02/14	FARALLON CAP MGMT	040	XRT	В	875 <b>,</b> 000	33.62	n/a	n/a 3	35000.00	4.0

TOTAL (RUN DATE) / NFS:	73,186.68
TOTAL (AO, CXL, CRT):	-5,433.30
TOTAL (Trade Date):	78,619.98

MRI Securities with Trade Activity on 19-Feb.

MRI Securities without Trade Activity on 19-Feb.

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 27%

## Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION