



Daily Compliance Activity Report

Trade Date: 03/15/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ACE	B	560	88.42	n/a	n/a	22.40	4.0
BESSEMER INVESTMENT	085	ACN	B	625	77.26	n/a	n/a	25.00	4.0
BESSEMER INVESTMENT	085	AET	B	970	51.09	n/a	n/a	38.80	4.0
NORTHWESTERN MUTUAL LIFE	047	AMP	S	40,950	74.39	n/a	n/a	1,638.00	0.0
B BUCK CAPITAL MGMT		ANN	SS	34,400	31.32	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	APD	B	175	89.84	n/a	n/a	7.00	4.0
B BUCK CAPITAL MGMT		ARO	C	35,000	13.59	n/a	n/a	non-brg	4.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	ATVI	B	50,000	14.89		n/a	n/a	1,500.00 3.0
BESSEMER INVESTMENT	085	AVGO	B	775	36.05	n/a	n/a	31.00	4.0
PAR CAPITAL	268	AWAY	SS	10,500	30.42	n/a	n/a	420.00	0.0
BRONSON POINT	036	BAC	S	100,000	12.61	n/a	15-Mar	3,000.00	0.0
B BUCK CAPITAL MGMT		BBBY	SS	17,400	60.99	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	BEEPRA	B	200	24.75	n/a	n/a	0.00	0.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	BMC	B	10,000	44.30		n/a	n/a	400.00 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	BUD	S	1000	95.65	n/a	1-Mar	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	BUD	S	200	95.58	n/a	1-Mar	0.00	0.0
RIVERLOFT CAPITAL	044	BX	S	20,000	20.91	n/a	n/a	600.00	3.0
BESSEMER INVESTMENT	085	COH	B	620	50.22	n/a	27-Feb	24.80	4.0
B BUCK CAPITAL MGMT		COH	SS	20,000	50.62	n/a	27-Feb	non-brg	4.0
f DAVID GEORGE BUCK	FID	COH	B	1000	50.44	n/a	27-Feb	0.00	0.0
f DAVID GEORGE BUCK	FID	COH	S	15	0.56	n/a	27-Feb	0.00	0.0
BESSEMER INVESTMENT	085	COP	B	520	59.17	n/a	n/a	20.80	4.0
f MICHAEL MARRANO	FID	CRSOX	S	706	7.98	n/a	n/a	0.00	0.0
CR INTRINSIC/CHRIS C	041	CR	B	15,000	56.15	n/a	n/a	450.00	3.0
ACK ASSET	028	CSX	B	70,000	24.07	n/a	n/a	2,100.00	0.0
BESSEMER INVESTMENT	085	CTL	B	785	34.31	n/a	n/a	31.40	4.0
BESSEMER INVESTMENT	085	CVS	B	20	53.29	n/a	n/a	0.80	4.0
MILLRACE FUND LP	028	CVU	B	5,900	8.45	n/a	n/a	177.00	3.0
BESSEMER INVESTMENT	085	C	B	730	47.21	n/a	15-Mar	29.20	4.0
BESSEMER INVESTMENT	085	DG	B	1,260	48.00	n/a	1-Mar	50.40	4.0
BESSEMER INVESTMENT	085	DTE	B	15	66.36	n/a	n/a	0.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MICHAEL MARRANO	FID	EAEMX	B	37	15.00	n/a	n/a	0.00	0.0
SENATOR INVESTMENT GROUP	036	EMC	S	80,000	25.36	n/a	26-Feb	2,400.00	3.0
BESSEMER INVESTMENT	085	EXC	B	815	32.62	n/a	n/a	32.60	4.0
f ALEXANDER PRYLUCKI	FID	FBN	B	5000	1.18	n/a	n/a	0.00	0.0
f PETER D GOLDSTEIN	FID	FBN	B	1000	1.20	n/a	n/a	0.00	0.0
f AMY O ROGERS	FID	FNP	S	500	18.98	n/a	n/a	0.00	0.0
OZ MGMT	040	F	SS	100,000	13.36	n/a	14-Mar	3,000.00	0.0
B BUCK CAPITAL MGMT		GCO	SS	15,000	62.62	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	GD	B	315	69.43	n/a	11-Mar	12.60	4.0
SIGMA CAP BOBBY	044	GE	SS	85,000	23.38	n/a	n/a	2,550.00	3.0
B BUCK CAPITAL MGMT		GIII	S	11,741	37.43	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GIII	S	2,400	37.40	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		HAL	B	12,000	42.32	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	HHC	B	50	81.36	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	IBM	B	280	214.96	n/a	n/a	11.20	4.0
HALCYON LLC	041	IRM	B	31,135	36.30	n/a	n/a	934.05	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MICHAEL MARRANO	FID	IWD	B	5	81.50	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	JNJ	B	685	78.95	n/a	n/a	27.40	4.0
f DAVID GEORGE BUCK	FID	JPM	B	1000	49.99	n/a	15-Mar	0.00	0.0
f MANNY I KORMAN	FID	JPM	B	4000	50.00	n/a	15-Mar	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	JPM	B	100	49.95	n/a	15-Mar	0.00	0.0
B BUCK CAPITAL MGMT		JWN	SS	20,000	54.03	n/a	6-Mar	non-brg	4.0
f EDWARD W WHEELER	FID	KMT	B	5000	41.24	n/a	n/a	0.00	0.0
MOORE CAPITAL	041	KRFT	SS	50,000	50.36	n/a	n/a	1,500.00	0.0
BALYASNY ASSET MGMT	044	KSS	SS	25,000	48.97	n/a	6-Mar	1,250.00	0.0
B BUCK CAPITAL MGMT		KSS	SS	20,000	49.05	n/a	6-Mar	non-brg	4.0
PATRIOT STRATEGY PARTNERS	036	KW	B	10,000	15.83	n/a	n/a	400.00	4.0
f DOUGLAS ROGERS	FID	LGND	B	32	23.09	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	LM	S	25	0.05	n/a	2-Mar	0.00	0.0
B BUCK CAPITAL MGMT		LULU	SS	15,000	69.02	n/a	15-Mar	non-brg	4.0
EGS ASSOCIATES LP	091	LULU	SS	6,500	68.55	n/a	15-Mar	325.00	5.0
f JASON ERIC COHEN	FID	MINI	S	350	28.93	n/a	n/a	0.00	0.0
LOMAS CAPITAL	040	MLI	S	10,700	54.29	n/a	1-Mar	321.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	MRO	B	1,185	34.90	n/a	n/a	47.40	4.0
BESSEMER INVESTMENT	085	MSFT	B	1,060	28.06	n/a	n/a	42.40	4.0
BESSEMER INVESTMENT	085	M	B	1,080	41.72	n/a	7-Mar	43.20	4.0
BESSEMER INVESTMENT	085	NLSN	B	900	34.34	n/a	n/a	36.00	4.0
PORTER-ORLIN/ NC TO AMICI CAPITAL	044	NOV	B	4,000	70.29		n/a	n/a	160.00 0.0
LOMAS CAPITAL	040	NPO	S	10,700	48.94	n/a	n/a	321.00	3.0
NWQ INVESTMENT MGMT CO	084	NP	B	8,655	30.08	n/a	22-Feb	302.93	0.0
f MATTHEW FRANCIS BUCK	FID	NSRGY	B	200	73.25	n/a	n/a	0.00	0.0
MATRIX ASSET ADV.	091	OXY	B	4,000	83.44	n/a	n/a	160.00	4.0
B BUCK CAPITAL MGMT		PLCE	SS	20,000	48.73	n/a	10-Jan	non-brg	4.0
CR INTRINSIC/CHRIS C	041	PPG	B	5,000	141.46	n/a	n/a	150.00	3.0
BESSEMER INVESTMENT	085	QCOM	B	880	65.62	n/a	n/a	35.20	4.0
f JASON ERIC COHEN	FID	RYL	S	3	3.10	n/a	n/a	0.00	0.0
CASTLEROCK	040	SBUX	B	3,000	57.59	n/a	11-Mar	120.00	0.0
LEVIN CAPITAL STRATEGIES	041	SHOO	S	9,700	44.95	n/a	n/a	291.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	SHOO	B	700	45.20	n/a	n/a	0.00	0.0
LISTED ERR	099	SHOO	S	700	44.95	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SIG	SS	15,000	62.96	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SKX	S	20,000	21.62	n/a	n/a	non-brg	4.0
f MICHAEL MARRANO	FID	SMAIX	B	109	4.83	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	SPY	B	13	155.94	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SWN	S	35,000	38.50	n/a	14-Mar	non-brg	4.0
BESSEMER INVESTMENT	085	TGT	B	655	66.75	n/a	13-Mar	26.20	4.0
⚡ BUCK CAPITAL MGMT		TGT	SS	15,000	66.89	n/a	13-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TIF	SS	15,000	69.14	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	TMO	B	700	77.00	n/a	n/a	28.00	4.0
COHE & STEERS	041	TOL	B	12,000	34.27	n/a	n/a	480.00	0.0
f MICHAEL MARRANO	FID	TPINX	B	125	13.49	n/a	n/a	0.00	0.0
SCOPUS FUND	041	TSLA	S	150,615	35.49	n/a	n/a	6,024.60	4.0
ALTIMETER CAPITAL MGMT.	029	UAL	B	173,400	30.89	n/a	12-Mar	5,202.00	3.0
⚡ BUCK CAPITAL MGMT		ULTA	B	6,800	73.87	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	ULTA	B	100	76.36	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	JOHN MICHAEL ZOLIDIS	FID	ULTA	B	90	76.32	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	UNH	B	800	54.61	n/a	n/a	32.00	4.0
	BESSEMER INVESTMENT	085	VIAB	B	330	63.27	n/a	n/a	13.20	4.0
	BESSEMER INVESTMENT	085	WAG	B	1,460	42.46	n/a	n/a	58.40	4.0
B	BUCK CAPITAL MGMT		WMT	SS	13,800	72.64	n/a	22-Feb	non-brg	4.0
	BESSEMER INVESTMENT	085	WM	B	895	37.20	n/a	n/a	35.80	4.0
f	JONATHAN RICHARD HAR	FID	WNC	S	500	10.76	n/a	n/a	0.00	0.0
	NOKOMIS PARTNERS	031	WWW	SS	11,000	44.44	n/a	n/a	330.00	3.0
B	BUCK CAPITAL MGMT		XRT	C	170,300	70.54	n/a	n/a	non-brg	2.0
	BESSEMER INVESTMENT	085	ZMH	B	820	73.39	n/a	n/a	32.80	4.0
TOTAL (Trade Date):									37,303.18	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/12	RIVERPARK CAPITAL	025	COH	B	12,744	49.69	n/a	27-Feb	637.20 5.0
AO,CXL	03/12	RIVERPARK CAPITAL	025	COH	B	12,744	49.69	n/a	27-Feb	267.90 2.5

TOTAL (AO, CXL, CRT) :	369.30
-------------------------------	---------------

TOTAL (Trade Date) :	37,303.18
TOTAL (AO, CXL, CRT) :	369.30

TOTAL (RUN DATE) / NFS:	37,672.48
--------------------------------	------------------

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 15-Mar.
NONE

MRI Securities without Trade Activity on 15-Mar.
NKE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)