

Daily Compliance Activity Report Trade Date: 02/08/2013

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
LATEEF MGMT	033	AA	S	400	8.95	n/a	n/a	40.00	10.0
BESSEMER INVESTMENT	085	ACE	В	220	86.08	n/a	n/a	8.80	4.0
BESSEMER INVESTMENT	085	ACN	В	255	73.38	n/a	n/a	10.20	4.0
BESSEMER INVESTMENT	085	AET	В	420	50.89	n/a	n/a	16.80	4.0
SCOPUS FUND	041	ANN	SS	37,240	29.20	n/a	n/a	1,489.60	4.0
CASTLEROCK	040	ARCO	SS	5,000	13.90	n/a	3-Jan	200.00	0.0
LATEEF MGMT	033	AREPRE	S	150	26.83	n/a	n/a	30.00	20.0
LATEEF MGMT	033	ATI	S	100	33.27	n/a	28-Jan	27.00	27.0
BESSEMER INVESTMENT	085	AVGO	В	310	35.30	n/a	n/a	12.40	4.0
BALYASNY ASSET MGMT	044	BWA	В	348	73.50	n/a	28-Jan	17.40	0.0
BALYASNY ASSET MGMT	044	CHRW	SS	75 , 000	59.65	n/a	n/a	3,750.00	0.0
BESSEMER INVESTMENT	085	СОН	В	255	48.86	n/a	4-Feb	10.20	4.0
BESSEMER INVESTMENT	085	COP	В	210	57.81	n/a	n/a	8.40	4.0
ROTHSCHILD ASSET	040	CSCO	В	47,944	21.25	n/a	8-Feb	1,917.76	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
LATEEF MGMT	033	CTB	S	200	25.57	n/a	n/a	30.00	15.0
BESSEMER INVESTMENT	085	CTL	В	305	41.32	n/a	n/a	12.20	4.0
BESSEMER INVESTMENT	085	CVS	В	255	51.22	n/a	n/a	10.20	4.0
BESSEMER INVESTMENT	085	С	В	310	42.69	n/a	7-Feb	12.40	4.0
LATEEF MGMT	033	DD	S	100	47.41	n/a	n/a	30.00	30.0
BESSEMER INVESTMENT	085	DG	В	55	45.99	n/a	12-Dec	2.20	4.0
BALYASNY ASSET MGMT	044	DLPH	SS	75,000	38.29	n/a	n/a	3,750.00	0.0
LATEEF MGMT	033	DOW	S	100	32.37	n/a	n/a	27.00	27.0
BESSEMER INVESTMENT	085	DTE	В	120	64.00	n/a	n/a	4.80	4.0
LATEEF MGMT	033	DVN	S	75	60.21	n/a	31-Jan	22.50	30.0
SENATOR INVESTMENT GROUP	036	EC	SS	25,000	61.16	n/a	n/a	750.00	3.0
BESSEMER INVESTMENT	085	EXC	В	365	31.15	n/a	n/a	14.60	4.0
CAMBIAR CAP	046	FINL	В	25,000	18.46	n/a	6-Feb	1,000.00	0.0
LATEEF MGMT	033	FMER	S	200	15.16	n/a	n/a	26.00	13.0
LATEEF MGMT	033	F	S	325	13.10	n/a	7-Feb	32.50	10.0
	BESSEMER INVESTMENT LATEEF MGMT BESSEMER INVESTMENT BALYASNY ASSET MGMT LATEEF MGMT BESSEMER INVESTMENT LATEEF MGMT SENATOR INVESTMENT GROUP BESSEMER INVESTMENT CAMBIAR CAP LATEEF MGMT	BESSEMER INVESTMENT 085 LATEEF MGMT 033 BESSEMER INVESTMENT 044 BALYASNY ASSET MGMT 044 LATEEF MGMT 033 BESSEMER INVESTMENT 085 LATEEF MGMT 033 SENATOR INVESTMENT GROUP 036 BESSEMER INVESTMENT 085 CAMBIAR CAP 046 LATEEF MGMT 033	BESSEMER INVESTMENT 085 C LATEEF MGMT 033 DD BESSEMER INVESTMENT 085 DG BALYASNY ASSET MGMT 044 DLPH LATEEF MGMT 033 DOW BESSEMER INVESTMENT 085 DTE LATEEF MGMT 033 DVN SENATOR INVESTMENT GROUP 036 EC BESSEMER INVESTMENT 085 EXC CAMBIAR CAP 046 FINL LATEEF MGMT 033 FMER	BESSEMER INVESTMENT 085 C B LATEEF MGMT 033 DD S BESSEMER INVESTMENT 085 DG B BALYASNY ASSET MGMT 044 DLPH SS LATEEF MGMT 033 DOW S BESSEMER INVESTMENT 085 DTE B LATEEF MGMT 033 DVN S SENATOR INVESTMENT GROUP 036 EC SS BESSEMER INVESTMENT 085 EXC B CAMBIAR CAP 046 FINL B LATEEF MGMT 033 FMER S	BESSEMER INVESTMENT 085 C B 310 LATEEF MGMT 033 DD S 100 BESSEMER INVESTMENT 085 DG B 55 BALYASNY ASSET MGMT 044 DLPH SS 75,000 LATEEF MGMT 033 DOW S 100 BESSEMER INVESTMENT 085 DTE B 120 LATEEF MGMT 033 DVN S 75 SENATOR INVESTMENT GROUP 036 EC SS 25,000 BESSEMER INVESTMENT 085 EXC B 365 CAMBIAR CAP 046 FINL B 25,000 LATEEF MGMT 033 FMER S 200	BESSEMER INVESTMENT 085 C B 310 42.69 LATEEF MGMT 033 DD S 100 47.41 BESSEMER INVESTMENT 085 DG B 55 45.99 BALYASNY ASSET MGMT 044 DLPH SS 75,000 38.29 LATEEF MGMT 033 DOW S 100 32.37 BESSEMER INVESTMENT 085 DTE B 120 64.00 LATEEF MGMT 033 DVN S 75 60.21 SENATOR INVESTMENT GROUP 036 EC SS 25,000 61.16 BESSEMER INVESTMENT 085 EXC B 365 31.15 CAMBIAR CAP 046 FINL B 25,000 18.46 LATEEF MGMT 033 FMER S 200 15.16	BESSEMER INVESTMENT 085 C B 310 42.69 n/a LATEEF MGMT 033 DD S 100 47.41 n/a BESSEMER INVESTMENT 085 DG B 55 45.99 n/a BALYASNY ASSET MGMT 044 DLPH SS 75,000 38.29 n/a LATEEF MGMT 033 DOW S 100 32.37 n/a BESSEMER INVESTMENT 085 DTE B 120 64.00 n/a LATEEF MGMT 033 DVN S 75 60.21 n/a SENATOR INVESTMENT GROUP 036 EC SS 25,000 61.16 n/a BESSEMER INVESTMENT 085 EXC B 365 31.15 n/a CAMBIAR CAP 046 FINL B 25,000 18.46 n/a LATEEF MGMT 033 FMER S 200 15.16 n/a	BESSEMER INVESTMENT 085 C B 310 42.69 n/a 7-Feb LATEEF MGMT 033 DD S 100 47.41 n/a n/a BESSEMER INVESTMENT 085 DG B 55 45.99 n/a 12-Dec BALYASNY ASSET MGMT 044 DLPH SS 75,000 38.29 n/a n/a LATEEF MGMT 033 DOW S 100 32.37 n/a n/a BESSEMER INVESTMENT 085 DTE B 120 64.00 n/a n/a LATEEF MGMT 033 DVN S 75 60.21 n/a 31-Jan SENATOR INVESTMENT GROUP 036 EC SS 25,000 61.16 n/a n/a BESSEMER INVESTMENT 085 EXC B 365 31.15 n/a n/a CAMBIAR CAP 046 FINL B 25,000 18.46 n/a 6-Feb LATEEF MGMT 033 FMER S 200 15.16 n/a n/a	BESSEMER INVESTMENT 085 C B 310 42.69 n/a 7-Feb 12.40 LATEEF MGMT 033 DD S 100 47.41 n/a n/a 30.00 BESSEMER INVESTMENT 085 DG B 55 45.99 n/a 12-Dec 2.20 BALYASNY ASSET MGMT 044 DLPH SS 75,000 38.29 n/a n/a 3,750.00 LATEEF MGMT 033 DOW S 100 32.37 n/a n/a 27.00 BESSEMER INVESTMENT 085 DTE B 120 64.00 n/a n/a 4.80 LATEEF MGMT 033 DVN S 75 60.21 n/a 31-Jan 22.50 SENATOR INVESTMENT GROUP 036 EC SS 25,000 61.16 n/a n/a 750.00 BESSEMER INVESTMENT 085 EXC B 365 31.15 n/a n/a 14.60 CAMBIAR CAP 046 FINL B 25,000 18.46 n/a 6-Feb 1,000.00 LATEEF MGMT 033 FMER S 200 15.16 n/a n/a 26.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
BESSEMER INVESTMENT	085	GD	В	135	66.79	n/a	17-Jan	5.40	4.0	
LATEEF MGMT	033	GE	S	125	22.46	n/a	n/a	25.00	20.0	
J. GOLDMAN	028	GM	В	100,000	28.57	n/a	7-Feb	4,000.00	4.0	
TIDE POINT CAPITAL	028	GPI	S	6,000	68.16	n/a	4-Feb	180.00	3.0	
J. GOLDMAN	028	HBI	В	10,000	40.64	n/a	6-Feb	400.00	4.0	
LATEEF MGMT	033	HPQ	S	150	16.85	n/a	n/a	27.00	18.0	
BESSEMER INVESTMENT	085	IBM	В	110	201.85	n/a	n/a	4.40	4.0	
LATEEF MGMT	033	INTC	S	200	21.05	n/a	n/a	30.00	15.0	
DELTEC ASSET MGMT.	040	IP	В	2,482	42.24	n/a	5-Feb	124.10	5.0	
LATEEF MGMT	033	JCI	S	100	31.06	n/a	22-Jan	27.00	27.0	
BESSEMER INVESTMENT	085	JNJ	В	255	75.39	n/a	n/a	10.20	4.0	
LATEEF MGMT	033	JNJ	S	75	75.44	n/a	n/a	22.50	30.0	
WAGONHOUND/NICOLAS	033	JOY	S	9,810	61.43	n/a	11-Jul	392.40	0.0	
VISIUM/BALY BOBBY	044	KFN	В	25 , 000	11.23	n/a	n/a	1,250.00	0.0	
LATEEF MGMT	033	KR	S	175	28.16	n/a	n/a	31.50	18.0	
LATEEF MGMT	033	K	S	100	58.93	n/a	n/a	18.00	18.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
LIONEYE CAPITAL	040	LCC	В	20,000	14.65	n/a	7-Feb	1,000.00	5.0	
BASSWOOD PARTNERS	267	LEA	В	10,000	53.98	n/a	4-Feb	400.00	0.0	
BESSEMER INVESTMENT	085	LOW	В	310	38.90	n/a	n/a	12.40	4.0	
OTTER CREEK MGMT	093	MBFI	s	5,752	22.60	n/a	n/a	287.60	5.0	
PECONIC PARTNERS	036	MCD	С	50,000	95.07	n/a	8-Feb	2,500.00	0.0	
ACK ASSET	028	MLI	В	10,000	54.87	n/a	5-Feb	300.00	0.0	
EATON VANCE MGMT	029	MRK	S	546,900	41.02	n/a	n/a	16,407.00	0.0	
BESSEMER INVESTMENT	085	MRO	В	250	34.35	n/a	n/a	10.00	4.0	
BESSEMER INVESTMENT	085	MSFT	В	80	27.60	n/a	n/a	3.20	4.0	
LATEEF MGMT	033	MSFT	S	200	27.61	n/a	n/a	30.00	15.0	
GUGGENHEIM GLOBAL TRADING	(GGT) 25	1 MWW		S 29,	795 5.39		n/a	n/a 89	3.85	3.0
BESSEMER INVESTMENT	085	М	В	445	39.89	n/a	8-Feb	17.80	4.0	
BESSEMER INVESTMENT	085	NLSN	В	220	32.52	n/a	n/a	8.80	4.0	
FIRST NEW YORK	086	NOK	S	10,000	4.08	n/a	n/a	400.00	4.0	
LATEEF MGMT	033	NUE	S	100	46.74	n/a	n/a	30.00	30.0	
BALYASNY ASSET MGMT	044	ODFL	SS	85 , 000	35.35	n/a	n/a	4,250.00	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
LATEEF MGMT	033	OI	S	150	24.99	n/a	n/a	30.00	20.0
LATEEF MGMT	033	OLN	S	150	23.54	n/a	n/a	30.00	20.0
LATEEF MGMT	033	PBCT	S	250	12.56	n/a	4-Feb	25.00	10.0
BALYASNY ASSET MGMT	044	PCAR	В	30,000	47.52	n/a	n/a	1,500.00	0.0
BESSEMER INVESTMENT	085	QCOM	В	280	67.04	n/a	n/a	11.20	4.0
VERITION	028	SMG	S	2,500	43.97	n/a	31-Jan	75.00	3.0
SIGMA CAP BOBBY	044	SPR	В	57 , 803	16.05	n/a	7-Feb	1,734.09	3.0
LATEEF MGMT	033	SWY	S	150	20.14	n/a	n/a	30.00	20.0
CR INTRINIC INVESTMENT	044	TGT	SS	10,000	62.57	n/a	7-Feb	300.00	3.0
BESSEMER INVESTMENT	085	TMO	В	305	74.44	n/a	n/a	12.20	4.0
NEWLAND CAPITAL MGT	025	UFS	S	5,000	76.32	n/a	n/a	200.00	4.0
BESSEMER INVESTMENT	085	UNH	В	310	58.19	n/a	n/a	12.40	4.0
PECONIC PARTNERS	036	VFC	С	30,000	152.97	n/a	4-Feb	1,500.00	0.0
BESSEMER INVESTMENT	085	WAG	В	65	41.39	n/a	n/a	2.60	4.0
BESSEMER INVESTMENT	085	WM	В	365	36.12	n/a	n/a	14.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
LATEEF MGMT	033	WRIPRF	S	150	25.39	n/a	n/a	30.00	20.0
BNP PARIBAS SEC	040	WY	В	40,000	30.04	n/a	8-Feb	1,200.00	3.0
BESSEMER INVESTMENT	085	ZMH	В	335	75.94	n/a	n/a	13.40	4.0

TOTAL (Trade Date): 53,081.60

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR # Symbol B/S	Shares Price MRI Research Comm.¢/Shr.
AO,CXL AO,CRT		`		5,000 30.74 n/a n/a 200.00 4.0 5,000 30.74 n/a n/a 150.00 3.0
TOTAL	(AO, (CXL, CRT):		-50.00

TOTAL (RUN DATE) / NFS:	53,031.60
TOTAL (AO, CXL, CRT):	-50.00
TOTAL (Trade Date):	53,081.60

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION