



## RR Commissions Summary [ Nicolas Pakler ]

Trade Date: 11/25/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	11/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ALTIMETER CAPITAL MGMT.	029	0	0	17,560	30,382	0	0	0	0	17,560	30,382	0	0
ATLANTIC TRUST/STEINROE	029	0	0	0	0	0	15,000	28,000	0	15,000	28,000	36,000	78
BLUE RIDGE CAP	029	0	0	0	0	0	0	0	0	0	0	25,000	0
BRIGADE CAPITAL MGMT	029	0	0	3,095	77,458	0	0	0	0	3,095	77,458	123,550	63
COLUMBIA MGMT	029	0	0	0	0	0	8,264	26,764	0	8,264	26,764	0	0
DPB CAPITAL	029	0	500	750	5,500	0	0	0	500	750	5,500	0	0
EATON VANCE MGMT	029	22,445	30,785	38,799	61,206	0	0	0	30,785	38,799	61,206	85,718	71
FEDERATED INV/KAUFMAN	029	0	0	5,000	49,345	0	0	0	0	5,000	49,345	63,769	77
FIDELITY/NICK	029	0	0	0	0	828	828	2,475	828	828	2,475	0	0
FRONTIER	029	0	1,340	1,340	57,493	0	0	0	1,340	1,340	57,493	102,196	56
GLADE BROOK CAPITAL	029	0	0	0	0	0	6,960	30,621	0	6,960	30,621	4,645	659
GRANAHAN INV	029	0	0	0	0	0	0	0	0	0	0	7,953	0
GSA PROPHECT/NICK	029	0	0	0	0	0	0	0	0	0	0	46,661	0
HARVEST MGMT	029	0	869	2,569	17,717	0	0	0	869	2,569	17,717	31,712	56
LONG POND	029	0	0	28,200	28,200	0	0	0	0	28,200	28,200	29,026	97
MARCATO CAPITAL MGMT.	029	0	0	70,000	113,572	0	0	0	0	70,000	113,572	27,536	412
NOMURA CAPITAL	029	0	0	0	752	0	0	0	0	0	752	2,814	27
PAR CAPITAL	029	0	6,000	24,200	45,848	0	0	0	6,000	24,200	45,848	0	0
PENNANT CAP MGMT	029	0	0	0	2,900	0	0	0	0	0	2,900	32,400	9
RIVERFRONT INVESTMENT	029	0	0	0	0	0	0	0	0	0	0	29,000	0
SCOUT CAPITAL	029	0	0	0	0	0	15,000	25,000	0	15,000	25,000	40,000	63
SMITH MGMT/ALDEN GLOBAL	029	0	0	93,885	290,231	0	0	0	0	93,885	290,231	197,652	147
THE BOSTON COMPANY	029	0	0	0	0	6,365	6,365	47,140	6,365	6,365	47,140	70,696	67
THOMPSON SIEGAL&WALMSLEY	029	0	27,740	31,845	69,215	0	0	0	27,740	31,845	69,215	30,054	230
<b>TOTALS:</b>		<b>22,445</b>	<b>67,233</b>	<b>317,242</b>	<b>849,817</b>	<b>7,193</b>	<b>52,417</b>	<b>160,001</b>	<b>74,427</b>	<b>369,660</b>	<b>1,009,818</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	11/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
MANULIFE ASSET MGMT.	294	0	0	0	0	0	27,379	72,058	0	27,379	72,058	13,636	528
PENNANT CAP MGMT	250	2,640	17,314	18,914	20,434	0	0	0	17,314	18,914	20,434	32,400	9
PUTNAM SHARED	268	0	0	0	0	0	0	60,265	0	0	60,265	67,752	89
PYRAMIS GLOBAL	231	0	0	0	0	6,720	6,720	18,629	6,720	6,720	18,629	0	0
<b>TOTALS:</b>		<b>2,640</b>	<b>17,314</b>	<b>18,914</b>	<b>20,434</b>	<b>6,720</b>	<b>34,099</b>	<b>150,952</b>	<b>24,034</b>	<b>53,013</b>	<b>171,386</b>		

