

Daily Compliance Activity Report Trade Date: 03/05/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
COLONIAL FUND LLC	036	AEOS	SS	25,000	29.27	4-Jan	5-Mar	1,250.00	5.0
SAC TRADING HOWARD	038	AEOS	В	20,000	29.29	4-Jan	5-Mar	1,000.00	5.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	13,400	6.83	n/a	n/a	536.00	4.0
BUCK CAPITAL MGMT		ANF	В	15,000	74.30	2-Feb	5-Mar	non-brg	5.0
COUGAR TRADING	044	ANF	В	5,000	74.69	2-Feb	5-Mar	250.00	5.0
PRIME LOGIC ADV	039	ANF	В	5 , 000	74.06	2-Feb	5-Mar	250.00	5.0
BUCK CAPITAL MGMT		ANST	С	5,225	30.44	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	ARO	S	10,000	35.19	23-0ct	5-Mar	400.00	4.0
Ted Wheeler		ASEI	В	2000	50.75	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	ATW	S	1,500	50.55	n/a	n/a	60.00	4.0
LEDDY	035	AVZ	S	2,200	22.52	5-Mar	6-Mar	0.00	0.0
PLATTE RIVER CAPITAL	035	AWI	В	29,800	49.44	n/a	n/a	1,192.00	4.0
SUN AMERICA ASSET MGMT	030	BEBE	S	26,000	17.49	n/a	n/a	1,300.00	5.0
BUCK CAPITAL MGMT		BONT	В	15,000	46.23	9-Feb	5-Mar	non-brg	5.0
COUGAR TRADING	044	BONT	В	5,000	45.18	9-Feb	5-Mar	250.00	5.0
COUGAR TRADING	044	BONT	SS	5 , 000	46.68	9-Feb	5-Mar	250.00	5.0
SLS CAPITAL LLC	224	BSX	S	130,297	15.63	n/a	22-Apr	6,514.85	5.0
	Account COLONIAL FUND LLC SAC TRADING HOWARD MAZAMA CAPITAL MGMT. BUCK CAPITAL MGMT COUGAR TRADING PRIME LOGIC ADV BUCK CAPITAL MGMT Ted Wheeler MAZAMA CAPITAL MGMT. LEDDY PLATTE RIVER CAPITAL SUN AMERICA ASSET MGMT BUCK CAPITAL MGMT COUGAR TRADING COUGAR TRADING	ACCOUNTAL FUND LLC 036 SAC TRADING HOWARD 038 MAZAMA CAPITAL MGMT. 035 BUCK CAPITAL MGMT COUGAR TRADING 044 PRIME LOGIC ADV 039 BUCK CAPITAL MGMT O01 Ted Wheeler MAZAMA CAPITAL MGMT. 035 LEDDY 035 PLATTE RIVER CAPITAL 035 SUN AMERICA ASSET MGMT 030 BUCK CAPITAL MGMT COUGAR TRADING 044 COUGAR TRADING 044 COUGAR TRADING 044	Account RR # Symbol COLONIAL FUND LLC 036 AEOS SAC TRADING HOWARD 038 AEOS MAZAMA CAPITAL MGMT. 035 AIQ BUCK CAPITAL MGMT ANF COUGAR TRADING 044 ANF PRIME LOGIC ADV 039 ANF BUCK CAPITAL MGMT ANST BUCK CAPITAL MGMT O01 ARO Ted Wheeler ASEI MAZAMA CAPITAL MGMT. 035 ATW LEDDY 035 AVZ PLATTE RIVER CAPITAL 035 AWI SUN AMERICA ASSET MGMT 030 BEBE BUCK CAPITAL MGMT 030 BEBE BUCK CAPITAL MGMT 044 BONT COUGAR TRADING 044 BONT	Account RR # Symbol B/S COLONIAL FUND LLC 036 AEOS SS SAC TRADING HOWARD 038 AEOS B MAZAMA CAPITAL MGMT. 035 AIQ S BUCK CAPITAL MGMT ANF B PRIME LOGIC ADV 039 ANF B BUCK CAPITAL MGMT ANST C BUCK CAPITAL MGMT O01 ARO S Ted Wheeler ASEI B MAZAMA CAPITAL MGMT. 035 ATW S LEDDY 035 AVZ S PLATTE RIVER CAPITAL 035 AWI B SUN AMERICA ASSET MGMT 030 BEBE S BUCK CAPITAL MGMT BONT B COUGAR TRADING 044 BONT S SS	Account RR # Symbol B/S Shares COLONIAL FUND LLC SAC TRADING HOWARD 036 AEOS SS 25,000 MAZAMA CAPITAL MGMT 038 AEOS B 20,000 MAZAMA CAPITAL MGMT ANF B 15,000 BUCK CAPITAL MGMT ANF B 5,000 PRIME LOGIC ADV 039 ANF B 5,000 BUCK CAPITAL MGMT ANST C 5,225 BUCK CAPITAL MGMT 001 ARO S 10,000 Ted Wheeler ASEI B 2000 MAZAMA CAPITAL MGMT 035 ATW S 1,500 LEDDY 035 AVZ S 2,200 PLATTE RIVER CAPITAL 035 AWI B 29,800 SUN AMERICA ASSET MGMT 030 BEBE S 26,000 BUCK CAPITAL MGMT BONT B 5,000 COUGAR TRADING 044 BONT B 5,000 COUGAR TRADING 044 <td>Account RR # Symbol B/S Shares Price COLONIAL FUND LLC SAC TRADING HOWARD 036 AEOS SS 25,000 29.27 SAC TRADING HOWARD 038 AEOS B 20,000 29.29 MAZAMA CAPITAL MGMT 035 AIQ S 13,400 6.83 BUCK CAPITAL MGMT ANF B 15,000 74.69 PRIME LOGIC ADV 039 ANF B 5,000 74.06 BUCK CAPITAL MGMT O01 ARO S 10,000 35.19 Ted Wheeler ASEI B 2000 50.75 MAZAMA CAPITAL MGMT 035 ATW S 1,500 50.55 LEDDY 035 AVZ S 2,200 22.52 PLATTE RIVER CAPITAL 035 AWI B 29,800 49.44 SUN AMERICA ASSET MGMT 030 BEBE S 26,000 17.49 BUCK CAPITAL MGMT BONT B 5,000 46.23</td> <td>Account RR # Symbol B/S Shares Price MRI COLONIAL FUND LLC SAC TRADING HOWARD 036 AEOS SS 25,000 29.27 4-Jan MAZAMA CAPITAL MGMT 035 AIQ S 13,400 6.83 n/a BUCK CAPITAL MGMT ANF B 15,000 74.30 2-Feb COUGAR TRADING 044 ANF B 5,000 74.69 2-Feb PRIME LOGIC ADV 039 ANF B 5,000 74.06 2-Feb BUCK CAPITAL MGMT ANST C 5,225 30.44 n/a BUCK CAPITAL MGMT 001 ARO S 10,000 35.19 23-Oct Ted Wheeler ASEI B 2000 50.75 n/a MAZAMA CAPITAL MGMT 035 AVZ S 2,200 22.52 5-Mar PLATTE RIVER CAPITAL 035 AWI B 29,800 49.44 n/a SUN AMERICA ASSET MGMT 030</td> <td>Account RR # Symbol B/S Shares Price MRI Research COLONIAL FUND LLC 036 AEOS SS 25,000 29.27 4-Jan 5-Mar SAC TRADING HOWARD 038 AEOS B 20,000 29.29 4-Jan 5-Mar MAZAMA CAPITAL MGMT 035 AIQ S 13,400 6.83 n/a n/a BUCK CAPITAL MGMT ANF B 15,000 74.30 2-Feb 5-Mar CUUSAR TRADING 044 ANF B 5,000 74.69 2-Feb 5-Mar PIME LOGIC ADV 039 ANF B 5,000 74.06 2-Feb 5-Mar BUCK CAPITAL MGMT 011 ARO S 10,000 35.19 23-Oct 5-Mar Ted Wheeler ASEI B 2000 50.75 n/a n/a MAZAMA CAPITAL MGMT 035 AVZ S 2,200 22.52 5-Mar PLATTE RIVER CAPITAL MGMT<!--</td--><td>Account RR # Symbol B/S Shares Price MRI Research Comm. 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	PLATTE RIVER CAPITAL	035	BUD	В	8 , 500	48.20	n/a	n/a	340.00	4.0
	ARIENCE CAPITAL	036	BYD	В	11,565	45.07	21-Feb	21-Feb	578.25	5.0
	MANNING & NAPIER	034	CCMP	S	26,060	31.86	n/a	2-Mar	1,042.40	4.0
	HIGHFIELDS CAP MGMT	039	CCU	В	185,000	35.87	n/a	n/a	9,250.00	5.0
ß	BUCK CAPITAL MGMT		CDNS	s	10,000	19.54	n/a	n/a	non-brg	5.0
	CROWLEY	061	CHBD	В	4,500	1.05	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	CLE	S	20,000	31.32	3-Nov	5-Mar	800.00	4.0
ß	BUCK CAPITAL MGMT		СОН	S	10,000	46.67	23-Feb	23-Feb	non-brg	5.0
õ	Ted Wheeler		CPN	S	100	106.25	n/a	n/a	0.00	0.0
Õ	Ted Wheeler		CPN	S	40	108.00	n/a	n/a	0.00	0.0
	MAZAMA CAPITAL MGMT.	035	CPX	S	2,600	19.01	n/a	n/a	104.00	4.0
	CAPITAL GROWTH MGMT.	241	CRS	В	20,000	111.71	n/a	n/a	1,000.00	5.0
	KENNEDY CAPITAL	046	CSE	S	73,647	24.50	n/a	n/a	2,945.88	4.0
	ASCEND PARTNERS	033	CUTR	SS	25 , 000	33.28	n/a	n/a	1,250.00	5.0
	SAC TRADING HOWARD	038	CVS	В	20,000	30.90	n/a	n/a	1,000.00	5.0
ß	BUCK CAPITAL MGMT		CWTR	В	5,000	17.19	n/a	n/a	non-brg	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	LATEFF MGMT	040	С	В	1,250	50.03	11-Jan	16-Feb	375.00	30.0
	LUCHANSKY4	036	DCTH	S	9,000	4.09	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		DECK	S	5,000	67.39	n/a	n/a	non-brg	1.0
	ZOLIDIS	011	DECK	C	200	67.77	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	DKS	В	5,000	50.63	n/a	n/a	200.00	4.0
	GAULT	063	EDA	В	1,000	10.32	n/a	n/a	0.00	0.0
	CAPITAL GROWTH MGMT.	241	FCX	В	20,000	53.75	n/a	n/a	1,000.00	5.0
	PRINCIPLE CAPITAL	040	FD	S	300,000	44.17	26-Jan	5-Mar	12,000.00	4.0
	TRIVIUM CAP MGMT	028	FD	S	50,000	44.19	26-Jan	5-Mar	2,000.00	4.0
В	BUCK CAPITAL MGMT		FLDR	SS	10,000	7.56	n/a	n/a	non-brg	4.0
	CAPITAL GROWTH MGMT.	241	FLR	В	20,000	88.28	n/a	n/a	1,000.00	5.0
	MAZAMA CAPITAL MGMT.	035	FMR	S	1,700	21.40	n/a	n/a	68.00	4.0
	MAZAMA CAPITAL MGMT.	035	FVE	S	6,800	10.75	n/a	n/a	272.00	4.0
	VARDON PARTNERS	035	F	В	15,000	7.58	n/a	n/a	750.00	5.0
	BUCK CAPITAL MGMT	001	GCO	В	10,000	37.74	n/a	10-Jan	400.00	4.0
	BUCK CAPITAL MGMT	001	GCO	S	10,000	37.84	n/a	10-Jan	400.00	4.0
ß	BUCK CAPITAL MGMT		GES	В	5,000	79.22	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	в/s	S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
LATEFF MGMT	040	GE	В		1,000	34.86	n/a	n/a	290.00	29.0	
MAZAMA CAPITAL MGMT.	035	GHL		S	1,100	64.64	n/a	n/a	44.00	4.0	
MKT MAKER	099	GIII	В		282	19.98	n/a	n/a	0.00	0.0	
MERRILL ASSET	032	GS		S	278 , 700	194.87	13-Dec	28-Feb	13,935.00	5.0	
MAZAMA CAPITAL MGMT.	035	HF		S	900	18.20	n/a	n/a	36.00	4.0	
ASCEND PARTNERS	033	HLEX		S	42,500	26.49	n/a	n/a	2,125.00	5.0	
CIBC ADV.	060	НМА		SS	25,000	10.28	22-Feb	5-Mar	1,000.00	4.0	
MAZAMA CAPITAL MGMT.	035	НРҮ		S	1,300	25.19	n/a	n/a	52.00	4.0	
GALLEON MGMT LP	033	HSY	С		7,500	53.95	n/a	n/a	375.00	5.0	
AMBER CAPITAL	046	INDM	В		50,000	23.65	n/a	n/a	2,000.00	4.0	
SANDLER CAPITAL	038	IRF		S	60,000	41.99	n/a	n/a	3,600.00	6.0	
BUCK CAPITAL MGMT	001	JCG	В		5,000	35.68	25-Jan	25-Jan	200.00	4.0	
BUCK CAPITAL MGMT	001	JCP	В		5,000	78.84	23-Feb	5-Mar	200.00	4.0	
MORGAN STANLEY PRINC 2	040	JNS		SS	50,000	20.17	5-Mar	5-Mar	2,500.00	5.0	
BUCK CAPITAL MGMT	001	JWN		S	10,000	50.83	27-Feb	5-Mar	400.00	4.0	

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT BUCK CAPITAL MGMT	001	KCP KCP	s s	20,000	24.87 24.85	n/a n/a	28-Feb 28-Feb	800.00 non-brg	4.0 5.0
	MAZAMA CAPITAL MGMT.	035	KNL	S	5,100	23.42	n/a	n/a	204.00	4.0
	MAZAMA CAPITAL MGMT.	035	KOG	S	5,700	5.07	n/a	n/a	228.00	4.0
ß	BUCK CAPITAL MGMT		LCAV	С	10,000	41.67	n/a	n/a	non-brg	5.0
	LEDDY	035	LEH	s	200	71.57	6-Dec	28-Feb	0.00	0.0
	LATEFF MGMT	040	LYO	В	500	30.91	n/a	2-Mar	145.00	29.0
	AVESTA CAP	229	MCD	S	50,000	43.23	12-0ct	6-Feb	2,500.00	5.0
	KORMAN	003	MSFT	s	3,000	27.87	n/a	3-Apr	0.00	0.0
	MAZAMA CAPITAL MGMT.	035	MTZ	S	3,800	11.08	n/a	n/a	152.00	4.0
	CAPITAL GROWTH MGMT.	241	MT	В	50,000	49.63	n/a	n/a	2,500.00	5.0
	ZOLIDIS	011	MWRK	s	300	32.07	n/a	n/a	0.00	0.0
	PLATTE RIVER CAPITAL	035	NEM	В	1,000	42.92	n/a	n/a	40.00	4.0
ß	BUCK CAPITAL MGMT		NEXC	В	5,000	10.10	n/a	n/a	non-brg	5.0
	MAZAMA CAPITAL MGMT.	035	NGS	S	3,300	12.70	n/a	n/a	132.00	4.0
	BUCK CAPITAL MGMT	001	NLS	В	5,000	16.43	n/a	n/a	200.00	4.0

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ß	BUCK CAPITAL MGMT		NWY	S	130,000	13.81	1-Dec	5-Mar	non-brg	4.0
ß	BUCK CAPITAL MGMT		NWY	S	20,000	13.90	1-Dec	5-Mar	non-brg	1.0
	THE JAY GOLDMAN	034	NWY	В	30,000	13.63	1-Dec	5-Mar	1,200.00	4.0
	AMBER CAPITAL	046	ODP	В	50,000	33.57	n/a	2-Mar	2,000.00	4.0
	U.S.TRUST COMPANY	012	PBR	В	29,000	84.75	n/a	n/a	1,305.00	4.5
	T. ROWE PRICE	040	PFE	В	1,040	24.83	n/a	31-Mar	41.60	4.0
	TRUST CO/COWEN/CALF.	040	PFE	В	498,960	24.83	n/a	31-Mar	19,958.40	4.0
	VARDON PARTNERS	035	PSS	В	45,000	30.62	n/a	n/a	2,250.00	5.0
	FIRST NEW YORK LEDDY	035	PTMK	S	14,000	12.35	n/a	n/a	840.00	6.0
	LEDDY	035	QID	В	1,000	57.18	n/a	n/a	0.00	0.0
	WHEELER	008	RAE	В	10,000	2.67	n/a	n/a	0.00	0.0
В	BUCK CAPITAL MGMT		RCII	S	2,700	27.45	n/a	n/a	non-brg	5.0
	KORMAN	003	RLF	s	2,000	24.25	n/a	n/a	0.00	0.0
	COHE & STEERS	091	RYN	В	25,000	43.09	24-Jan	24-Jan	1,250.00	5.0
	MKT MAKER	099	SCRX	В	71	22.25	20-Dec	5-Mar	0.00	0.0
	AMBER CAPITAL	046	SPLS	В	50,000	25.27	n/a	2-Mar	2,000.00	4.0
	PORTER-ORLIN-FELDMAN	044	SPY	В	100,000	138.83	n/a	n/a	5,000.00	5.0

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	MKT MAKER	099	sscc	В		66	11.49	26-Oct	25-Jan	0.00	0.0	
	BUCK CAPITAL MGMT	001	SSI	В		10,000	20.56	26-Feb	5-Mar	400.00	4.0	
	TRELLUS PARTNERS LP	028	SSI	В		20,000	20.50	26-Feb	5-Mar	1,000.00	5.0	
	COLUMBUS CIRCLE	036	STJ	В		78 , 375	39.45	n/a	n/a	3,135.00	4.0	
ß	BUCK CAPITAL MGMT		TGT	В		5,000	60.67	n/a	28-Feb	non-brg	5.0	
	SAC TRADING HOWARD	038	TGT		SS	15,000	60.43	n/a	28-Feb	750.00	5.0	
	BUCK CAPITAL MGMT	001	TWB		S	5,000	34.90	22-Feb	22-Feb	200.00	4.0	
	U.S.TRUST COMPANY	012	TYC	В		56,000	30.03	n/a	6-Feb	2,520.00	4.5	
	FRANKLIN FUND OF CALIFOR	033	Т		S	239,800	36.25	26-Jan	5-Mar	11,990.00	5.0	
	MORGAN LEWIS/MLH	046	UNFI		SS	15,000	28.83	n/a	n/a	750.00	5.0	
	PILOT ADV	038	UNP	В		22,100	96.88	n/a	n/a	884.00	4.0	
	LEDDY	035	URBN		s	300	25.11	7-Dec	9-Feb	0.00	0.0	
	LUCHANSKY1	036	USG		s	200	51.65	n/a	5-Mar	0.00	0.0	
	GALLEON MGMT LP	033	VLO		SS	10,000	55.62	n/a	n/a	500.00	5.0	
	PLATTE RIVER CAPITAL	035	VZ	В		2,000	36.60	22-Dec	5-Mar	80.00	4.0	
	MORGAN LEWIS/MLH	046	WFMI		SS	25,000	46.04	n/a	n/a	1,250.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		WTSLA	В	30,000	5.96	n/a	n/a	non-brg 4.0
CHILTON CAPITAL MGMT.	036	WYE	В	200,115	49.12	n/a	31-Mar	8,004.60 4.0
MATRIX ASSET ADV.	036	MOX	S	8,400	70.35	n/a	n/a	336.00 4.0
INTEGRITY CAPITAL MGMT	039	ZQK	S	15,000	13.48	9-Feb	1-Mar	450.00 3.0
MAZAMA CAPITAL MGMT.	035	ZQK	S	14,900	13.54	9-Feb	1-Mar	596.00 4.0

TOTAL (Trade Date): 152,376.98

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO, CRT	03/02	WAGONHOUND	048	EWS	S	43,400	11.48	n/a	n/a	1302.00	3.0
AO,CXL	03/02	WAGONHOUND	048	EWS	S	43,400	11.48	n/a	n/a	1736.00	4.0
AO, CRT	03/01	MERRILL LYNCH STRATEGICI	034	PLLL	В	100,000	20.58	n/a	n/a	4000.00	4.0
AO,CXL	03/01	MERRILL LYNCH STRATEGICI	034	PLLL	В	100,000	20.58	n/a	n/a	5000.00	5.0
AO,	03/01	MKT MAKER	097	SCRX	В	4	23.25	20-Dec	5-Mar	0.00	0.0
AO,	03/02	WHITTIER CAP	048	TWTR	В	8,177	1.42	n/a	n/a	245.31	3.0
	02/02	AMBER CAPITAL	046	ZLC	В	40,000	26.61	n/a	n/a	2000.00	5.0
AO,CXL	03/02	AMDER CAPITAL	040		_	.,					

TOTAL (RUN DATE) / NFS:	150,788.29
TOTAL (AO, CXL, CRT):	-1,588.69
TOTAL (Trade Date):	152,376.98

MRI Securities with Trade Activity on 5-Mar. AVZ, JNS

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MRI Securities without Trade Activity on 5-Mar.

AB, AMG, CLMS, WDR, WPL
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 27%

YTD = 16%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION