



Daily Compliance Activity Report

Trade Date: 03/20/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TUDOR INVESTORS	028	ANR	S	5,000	16.56	n/a	n/a	150.00	3.0
BALYASNY ASSET MGMT	044	ASNA	S	10,000	44.42	n/a	n/a	500.00	5.0
JET CAPITAL/CHRIS	041	BP	S	50,000	46.18	n/a	n/a	1,500.00	3.0
SANDLER CAPITAL	028	CBE	B	130,000	63.49	n/a	25-Jan	6,500.00	5.0
TUDOR INVESTORS	028	CF	SS	2,000	185.49	n/a	n/a	60.00	3.0
COLUMBUS CAP MGMT LLC	084	CVGI	B	14,000	11.71	n/a	n/a	700.00	5.0
MTB INV ADV	034	CVGI	S	14,350	11.71	n/a	n/a	466.38	0.0
CATAPULT	040	C	S	11,350	38.20	n/a	15-Mar	454.00	4.0
DELTEC ASSET MGMT.	040	C	S	43,400	38.24	n/a	15-Mar	2,170.00	5.0
TALPION LLC	040	GPI	B	5,000	55.05	n/a	10-Feb	200.00	4.0
FIRST NY SEC/CHRIS	041	JNY	B	2,536	11.40	n/a	9-Feb	76.08	3.0
SIMMONS VENTURES	041	JNY	B	20,000	11.50	n/a	9-Feb	800.00	4.0
THOMPSON SIEGAL&WALMSLEY	029	KSS	S	19,486	48.58	n/a	2-Mar	764.60	3.9
MOORE CAPITAL	041	MGM	SS	25,000	14.16	n/a	13-Jun	750.00	3.0
SUFFOLK CAPITAL MGMT	040	MS	B	250,000	20.56	n/a	14-Mar	10,000.00	4.0
TUDOR INVESTORS	028	MTW	B	10,000	14.90	n/a	2-Feb	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROSEDALE CAPITAL	046	PBCT	S	20,000	13.54	n/a	28-Feb	800.00	4.0
MERRILL ASSET/BLACKROCK	297	PBI	S	29,500	18.05	n/a	n/a	1,180.00	4.0
SCHOTTENFELD ASSOC	041	RGC	B	30,000	14.20	n/a	n/a	900.00	3.0
TUDOR INVESTORS	028	SWK	B	5,000	78.80	n/a	n/a	150.00	3.0
ARMATURE CAPITAL	041	SWN	SS	30,800	34.07	n/a	1-Mar	1,232.00	4.0
SURVEYOR CAPITAL	251	SWY	SS	200,000	21.43	n/a	n/a	6,000.00	3.0
ROTHSCHILD ASSET	040	TBI	B	11,173	17.25	n/a	n/a	446.92	4.0
BALYASNY ASSET MGMT	044	TIF	SS	10,000	72.27	n/a	n/a	500.00	5.0
ADAGE CAPITAL MGMT	030	TJX	B	104,730	38.01	n/a	2-Mar	3,141.90	3.0
PILOT ADV	041	TRV	B	39,900	59.38	n/a	n/a	1,197.00	3.0
BALYASNY ASSET MGMT	044	URBN	B	25,000	28.35	n/a	n/a	1,250.00	5.0
EDOMA PARTNERS LLP	046	VALE	S	179,950	22.87	n/a	n/a	6,174.43	0.0
TOTAL (Trade Date):								48,363.31	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	03/20	SIMMONS VENTURES (SIMMJPM)	041	JNY	B	20,000	11.50	n/a	9-Feb	800.00 4.0
AO,	03/19	COLUMBUS CIRCLE (COLC3040)	036	ZOLL	S	5,300	92.75	n/a	n/a	212.00 4.0

TOTAL (AO, CXL, CRT) :	1,012.00
-------------------------------	-----------------

TOTAL (Trade Date) :	48,363.31
TOTAL (AO, CXL, CRT) :	1,012.00

TOTAL (RUN DATE) / NFS:	49,375.31
--------------------------------	------------------

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION