



Daily Compliance Activity Report

Trade Date: 02/01/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	ABG	S	10,000	14.96	25-Jan	1-Feb	500.00	5.0
HEALY CIRCLE	060	ABG	S	21,500	14.88	25-Jan	1-Feb	1,060.00	4.5
CAPITAL GROWTH MGMT.	241	ACI	B	33,900	46.50	n/a	n/a	1,695.00	5.0
	090	ACI	S	3,000	46.75	n/a	n/a	0.00	0.0
WESTLANE CAPITAL	044	AIG	S	5,000	55.58	n/a	n/a	250.00	5.0
CAXTON PART	040	AMR	B	100,000	14.89	n/a	n/a	3,500.01	3.5
COUGAR TRADING	044	ANN	B	10,000	25.19	11-Jan	31-Jan	500.00	5.0
	090	ANR	S	6,000	35.59	n/a	n/a	0.00	0.0
SARAS CAPITAL	038	AN	B	40,000	16.17	n/a	25-Jan	2,000.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	S	15,000	28.66	29-Nov	29-Jan	562.50	3.8
SYSTEMATIC FINANCIAL MGT	035	ARO	S	2,600	28.66	29-Nov	29-Jan	97.50	3.8
U.S.TRUST COMPANY	012	ATW	B	75,000	85.55	n/a	n/a	2,250.00	3.0
	041	AVP	B	300	35.51	23-Jan	23-Jan	0.00	0.0
BALYASNY ASSET MGMT	044	BBY	SS	25,000	48.30	n/a	27-Jul	1,250.00	5.0
CASTLEROCK	040	BDK	B	20,800	71.64	n/a	n/a	832.00	4.0
CASTLEROCK	040	BDK	B	21,400	71.64	n/a	n/a	856.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE BOSTON COMPANY	401	BMC	B	44,900	34.12	n/a	n/a	1,796.00	4.0
EGS ASSOCIATES LP	091	BONT	B	7,000	7.40	4-Jan	11-Jan	420.00	6.0
	090	BTU	S	4,000	55.10	n/a	n/a	0.00	0.0
	N08	CDL	S	4	1.56	n/a	n/a	0.00	0.0
	031	CFU	S	50	0.35	n/a	n/a	0.00	0.0
	035	CLMS	SS	1,000	22.33	29-Jan	29-Jan	0.00	0.0
NOMURA CAPITAL	045	CMCSK	S	4,500	17.96	n/a	n/a	225.00	5.0
EGS ASSOCIATES LP	091	COH	B	3,500	32.45	24-Oct	24-Jan	210.00	6.0
PROSPECTOR PARTNERS LLC	036	COP	B	15,000	80.11	n/a	n/a	600.00	4.0
	N08	DIS	S	56	30.39	n/a	n/a	0.00	0.0
	090	DRYS	S	2,000	75.41	n/a	n/a	0.00	0.0
METROPOLITAN CAPITAL	044	DSW	S	1,900	19.62	26-Oct	24-Jan	95.00	5.0
METROPOLITAN CAPITAL	044	DSW	S	51,100	19.62	26-Oct	24-Jan	2,555.00	5.0
CAXTON PART	040	DTV	S	100,000	23.51	15-Nov	4-Jan	3,500.01	3.5
TRELLUS PARTNERS LP	028	EL	SS	14,212	45.38	4-Jan	4-Jan	710.60	5.0
TRELLUS PARTNERS LP	028	EL	SS	2,788	45.38	4-Jan	4-Jan	139.40	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REICH AND TANG	067	EMN	S	15,000	66.10	n/a	n/a	750.00	5.0
REICH AND TANG	067	ETH	S	105,000	30.99	n/a	n/a	5,250.00	5.0
	041	ETN	S	200	85.46	22-Jan	22-Jan	0.00	0.0
	063	FOR	S	333	23.66	n/a	n/a	0.00	0.0
	035	F	SS	1,800	6.88	n/a	31-Jan	0.00	0.0
	031	GIYEC	S	10	3.20	n/a	n/a	0.00	0.0
	025	GLW	B	15	23.98	n/a	28-Jan	0.00	0.0
BALYASNY ASSET MGMT	044	GM	SS	50,000	28.07	3-Jan	31-Jan	2,500.00	5.0
PALMYRA CAPITAL	048	GM	SS	98,191	27.98	3-Jan	31-Jan	4,909.55	5.0
WESTLANE CAPITAL	067	GM	SS	56,809	28.92	3-Jan	31-Jan	2,840.45	5.0
	035	GOOG	B	30	515.85	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	B	10,000	19.19	n/a	11-Jan	500.00	5.0
	041	GR	B	200	62.30	25-Oct	31-Jan	0.00	0.0
	063	GR	S	200	62.65	25-Oct	31-Jan	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	GYMB	SS	14,100	37.80	n/a	29-Jan	705.00	5.0
	063	HRSBJ	S	30	4.40	n/a	n/a	0.00	0.0
	063	HRS	S	800	54.65	11-Jan	30-Jan	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
	063	ILA	S	10,000	3.50	n/a	n/a	0.00	0.0
ROARING BLUE LION MGMT	012	IVZ	S	11,800	27.73	20-Dec	30-Jan	472.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	20,000	46.61	28-Nov	18-Jan	800.00	4.0
COUGAR TRADING	044	JCG	B	5,000	47.24	28-Nov	18-Jan	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	46.80	28-Nov	18-Jan	250.00	5.0
BEAR STEARNS ASSET MGMT.	033	JCP	B	13,400	48.20	4-Jan	1-Feb	536.00	4.0
BEAR STEARNS ASSET MGMT.	033	JCP	B	36,600	48.20	4-Jan	1-Feb	1,464.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	20,000	48.51	4-Jan	1-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	47.40	4-Jan	1-Feb	800.00	4.0
COUGAR TRADING	044	JCP	S	5,000	47.07	4-Jan	1-Feb	250.00	5.0
DELTEC ASSET MGMT.	040	JCP	S	10,000	48.34	4-Jan	1-Feb	500.00	5.0
METROPOLITAN CAPITAL	044	JCP	S	400	48.41	4-Jan	1-Feb	20.00	5.0
METROPOLITAN CAPITAL	090	JCP	S	14,850	48.41	4-Jan	1-Feb	492.50	0.9
TRELLUS PARTNERS LP	028	JCP	SS	62,708	47.90	4-Jan	1-Feb	3,135.40	5.0
VENTANA CAPITAL	060	JCP	SS	39,792	48.07	4-Jan	1-Feb	1,914.60	4.8
	031	JGQCA	S	40	2.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	B	20,000	16.64	n/a	30-Jan	800.00	4.0
	090	JRCC	S	5,000	12.92	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	KEG	B	1,450	12.00	29-Oct	28-Jan	72.50	5.0
COUGAR TRADING	044	KSS	SS	5,000	45.02	4-Jan	11-Jan	250.00	5.0
COUGAR TRADING	044	KSS	S	5,000	45.30	4-Jan	11-Jan	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
	090	LOW	S	7,000	26.20	n/a	27-Jul	0.00	0.0
	063	LPX	S	1,000	14.73	30-Oct	23-Jan	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	LTD	SS	50,000	18.92	n/a	11-Jan	2,500.00	5.0
NEW JERSEY DIV OF INV.	220	LXP	B	16,500	15.10	n/a	n/a	825.00	5.0
	090	MEE	S	10,000	38.51	n/a	n/a	0.00	0.0
	028	MS	B	200	49.19	20-Dec	22-Jan	0.00	0.0
	090	MZZ	B	2,000	60.33	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	M	B	25,000	27.32	4-Jan	29-Jan	1,250.00	5.0
SAGE ASSET MGMT	012	NCC	B	100,000	17.77	n/a	n/a	5,000.00	5.0
WHITE PINE CAPITAL	030	NWY	B	1,700	5.46	n/a	18-Jan	85.00	5.0
ELM RIDGE ADVISORS	044	PKG	B	25,281	24.29	23-Jan	23-Jan	1,011.24	4.0
CAPITAL GROWTH MGMT.	241	POT	S	30,000	143.03	n/a	n/a	1,500.00	5.0
COUGAR TRADING	044	PSUN	B	5,000	11.37	n/a	11-Jan	250.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	10,000	42.72	16-Jan	29-Jan	400.00	4.0
BALYASNY ASSET MGMT	044	RAI	S	25,000	63.42	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ENTRUST CAPITAL	040	RHI	SS	14,200	27.08	n/a	n/a	710.00	5.0
ENTRUST CAPITAL	040	RHI	SS	25,800	27.08	n/a	n/a	1,290.00	5.0
BUCK CAPITAL MGMT	001	RL	S	60,000	61.50	14-Jan	1-Feb	2,400.00	4.0
COUGAR TRADING	044	RL	SS	10,000	60.95	14-Jan	1-Feb	500.00	5.0
THE BOSTON COMPANY	401	RL	S	128,550	60.13	14-Jan	1-Feb	5,142.00	4.0
THE BOSTON COMPANY	401	RL	S	4,570	60.13	14-Jan	1-Feb	182.80	4.0
THE BOSTON COMPANY	401	ROST	S	11,950	29.07	n/a	n/a	478.00	4.0
THE BOSTON COMPANY	401	ROST	S	9,710	29.07	n/a	n/a	388.40	4.0
ELM RIDGE ADVISORS	044	RRD	B	26,462	34.85	n/a	n/a	1,058.48	4.0
	011	RSTO	S	6,500	4.42	n/a	n/a	0.00	0.0
NEW JERSEY DIV OF INV.	220	RUS	S	10,000	14.29	n/a	n/a	400.00	4.0
	063	SKF	B	500	93.70	n/a	n/a	0.00	0.0
	063	SKF	S	500	93.04	n/a	n/a	0.00	0.0
SAXON WOODS ADVISORS	038	SLM	B	10,000	22.98	n/a	n/a	400.00	4.0
WHITE PINE CAPITAL	030	SMBL	B	1,500	9.50	n/a	n/a	75.00	5.0
FIRST NY SEC/CHRIS	041	SSCC	SS	15,000	9.49	8-Jan	30-Jan	900.00	6.0
CARDINAL CAPITAL	044	SSI	B	19,400	12.30	4-Jan	11-Jan	970.00	5.0
CATAPULT	040	TCB	B	10,000	21.45	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
	063	TIN	S	1,000	19.52	8-Jan	23-Jan	0.00	0.0
IRIDIAN ASSET MGMT.	036	TK	B	17,950	46.41	n/a	n/a	897.50	5.0
IRIDIAN ASSET MGMT.	036	TK	B	45,420	46.41	n/a	n/a	2,271.00	5.0
	090	TWM	B	2,000	78.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	UA	S	10,000	42.99	n/a	n/a	400.00	4.0
	041	WBC	S	400	42.42	n/a	12-Dec	0.00	0.0
	090	XRT	S	7,500	34.65	n/a	n/a	0.00	0.0
	041	YHOO	S	150	28.59	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	B	10,000	9.52	23-Jan	23-Jan	500.00	5.0
TOTAL (Trade Date):								89,650.44	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	01/31	MATTHES CAPITAL MGMT ()	033	FDO	SS	17,115	20.32	8-Jan	11-Jan	684.60	4.0
AO,	01/31	MATTHES CAPITAL MGMT ()	033	FDO	SS	17,495	20.32	8-Jan	11-Jan	699.80	4.0
AO,CXL	01/31	MATTHES CAPITAL MGMT (MATTGSPB)	033	FDO	SS	32,885	20.32	8-Jan	11-Jan	1315.40	4.0
AO,	01/31	MATTHES CAPITAL MGMT (MATTGSPB)	033	FDO	SS	32,505	20.32	8-Jan	11-Jan	1300.20	4.0
CRT	02/01	TROUBH PART ()	067	GM	SS	50,000	28.63	3-Jan	31-Jan	2500.00	5.0
AO,CXL	01/31	LISTED ERR (LISTED ERR)	099	JCP	B	1,100	47.39	4-Jan	1-Feb	0.00	0.0
AO,CXL	01/31	SANDLER CAPITAL (SANDOMINBU)	038	JPM	B	3,400	47.37	17-Jan	22-Jan	204.00	6.0
AO,CRT	01/31	SANDLER O'NEILL ASSET (SANO)	031	JPM	B	3,400	47.37	17-Jan	22-Jan	170.00	5.0
AO,	01/31	GENERAL ELECTIC CO ()	033	UTX	S	1,536	72.83	n/a	n/a	61.44	4.0
AO,	01/31	GENERAL ELECTIC CO ()	033	UTX	S	7,154	72.83	n/a	n/a	286.16	4.0
AO,	01/31	GENERAL ELECTIC CO ()	033	UTX	S	507	72.83	n/a	n/a	20.28	4.0
AO,	01/31	GENERAL ELECTIC CO (GEIC8XA5)	033	UTX	S	49	72.83	n/a	n/a	1.96	4.0
AO,	01/31	GENERAL ELECTIC CO (GEICZW80)	033	UTX	S	238	72.83	n/a	n/a	9.52	4.0
AO,	01/31	GENERAL ELECTIC CO (GEICGH16)	033	UTX	S	1,302	72.83	n/a	n/a	52.08	4.0
AO,	01/31	GENERAL ELECTIC CO (GEICGE29)	033	UTX	S	19,627	72.83	n/a	n/a	785.08	4.0
AO,	01/31	GENERAL ELECTIC CO (GEICZW35)	033	UTX	S	1,918	72.83	n/a	n/a	76.72	4.0
AO,	01/31	GENERAL ELECTIC CO (GEICZW60)	033	UTX	S	1,369	72.83	n/a	n/a	54.76	4.0
AO,	01/31	GENERAL ELECTIC CO (GEICZQ50)	033	UTX	S	14,656	72.83	n/a	n/a	586.24	4.0
AO,	01/31	GENERAL ELECTIC CO (GEICZQ7D)	033	UTX	S	440	72.83	n/a	n/a	17.60	4.0
AO,	01/31	GENERAL ELECTIC CO (GEICZQ92)	033	UTX	S	473	72.83	n/a	n/a	18.92	4.0
AO,	01/31	GENERAL ELECTIC CO (GEICZW59)	033	UTX	S	278	72.83	n/a	n/a	11.12	4.0
AO,	01/31	GENERAL ELECTIC CO ()	033	UTX	S	1,307	72.83	n/a	n/a	52.28	4.0
AO,	01/31	GENERAL ELECTIC CO ()	033	UTX	S	3,195	72.83	n/a	n/a	127.80	4.0
AO,	01/31	GENERAL ELECTIC CO ()	033	UTX	S	327	72.83	n/a	n/a	13.08	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	01/31	GENERAL ELECTIC CO ()	033	UTX	S	327	72.83	n/a	n/a	13.08 4.0
AO,CXL	01/31	CASTLEROCK ()	040	WB	B	4,700	36.26	n/a	n/a	282.00 6.0
AO,CRT	01/31	CASTLEROCK ()	040	WB	B	4,700	36.26	n/a	n/a	188.00 4.0
AO,CXL	01/31	CASTLEROCK ()	040	WB	B	15,900	36.26	n/a	n/a	954.00 6.0
AO,CRT	01/31	CASTLEROCK ()	040	WB	B	15,900	36.26	n/a	n/a	636.00 4.0
AO,CXL	01/31	CASTLEROCK (CASTUBS)	040	WB	B	20,000	36.26	n/a	n/a	1200.00 6.0
AO,CRT	01/31	CASTLEROCK (CASTUBS)	040	WB	B	20,000	36.26	n/a	n/a	800.00 4.0

TOTAL (AO, CXL, CRT) : **3,842.12**

TOTAL (Trade Date): 89,650.44
TOTAL (AO, CXL, CRT): 3,842.12

TOTAL (RUN DATE) / NFS: **93,492.56**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION