



Daily Compliance Activity Report

Trade Date: 06/25/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MENCKE	055	ACGY	S	75	22.61	n/a	n/a	0.00	0.0
SINCLAIR	031	ADWJJ	B	13	7.30	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	AEO	B	51,000	16.28	6-Mar	6-Jun	1,912.50	3.8
BUCK CAPITAL MGMT	001	ANF	B	10,000	66.87	10-Apr	6-Jun	400.00	4.0
KORMAN	003	ANN	SS	3,000	25.25	14-Mar	22-May	0.00	0.0
ROTHSCHILD ASSET	040	ANR	S	10,530	90.56	n/a	n/a	473.85	4.5
SINCLAIR	031	APCKT	B	25	1.35	n/a	n/a	0.00	0.0
CATAPULT	040	APD	S	25,000	101.49	n/a	23-Jun	1,250.00	5.0
DIAMONDBACK CAP MGMT	232	APD	B	7,000	102.24	n/a	23-Jun	350.00	5.0
DAVIDSON KEMPNER ADVISOR	040	ARO	SS	47,800	32.52	28-Apr	6-Jun	2,390.00	5.0
N STRATTON	014	BAC	SS	182	27.08	n/a	16-Jun	0.00	0.0
TRELLUS PARTNERS LP	028	BWA	SS	15,000	48.13	10-Jun	10-Jun	750.00	5.0
N STRATTON	014	CFC	B	1,000	4.71	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	CHL	B	5,600	69.00	n/a	n/a	224.00	4.0
TRELLUS PARTNERS LP	028	COH	B	20,000	30.89	4-Apr	23-Apr	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STRAUSS ASSET MGMT	040	CRNT	B	15,000	8.33	n/a	n/a	600.00	4.0
CRAMER ROSENTHAL/SCOTT	012	DBRN	S	6,400	13.86	n/a	n/a	256.00	4.0
FRONTPOINT CHRIS C	041	DHR	B	3,800	79.74	n/a	n/a	152.00	4.0
BUCK CAPITAL MGMT	001	DKS	B	20,000	19.65	n/a	25-Jun	800.00	4.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	4,100	33.74	n/a	5-Jun	164.00	4.0
COUGAR TRADING	044	DRI	S	15,000	33.42	n/a	25-Jun	750.00	5.0
SIGMA CAPITAL ASSOCIATES	237	DRI	S	25,000	33.38	n/a	25-Jun	1,250.00	5.0
NOMURA CAPITAL	045	GETIZ	S	1,419	26.86	n/a	n/a	70.95	5.0
SCUDDER HEDGE	034	GLW	S	4,000	25.51	n/a	23-Jun	160.00	4.0
TRUST CO/COWEN/CALF.	040	GM	C	43,100	13.38	24-Jun	24-Jun	1,724.00	4.0
WAGONHOUND/NIC	048	GM	C	20,975	13.19	24-Jun	24-Jun	839.00	4.0
SCOPUS FUND	041	GPI	SS	10,000	22.23	10-Jun	10-Jun	500.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	25,000	21.73	10-Jun	10-Jun	1,250.00	5.0
STERLING JOHNSTON	035	GYMB	S	78,400	42.64	3-Jun	6-Jun	3,136.00	4.0
MS PRINCIPAL STRATEGIES	041	HBAN	S	43,435	6.27	n/a	n/a	2,171.75	5.0
N STRATTON	014	HIBB	SS	200	23.75	n/a	n/a	0.00	0.0
FRIESS MGMT	033	JCG	B	195,700	34.82	n/a	30-May	7,828.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PECONIC PARTNERS	036	JOYG	B	10,000	78.00	n/a	30-May	500.00	5.0
COUGAR TRADING	044	JWN	SS	20,000	33.22	16-May	6-Jun	1,000.00	5.0
TRUST CO/COWEN/CALF.	040	KBH	B	59,480	19.29	n/a	n/a	2,379.20	4.0
REICH AND TANG	067	KEG	S	166,500	19.29	n/a	18-Jun	8,250.00	3.3
SYSTEMATIC FINANCIAL MGT	035	LIZ	B	9,400	15.65	n/a	14-May	352.50	3.8
KORMAN	003	LL	SS	3,000	14.53	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	LTD	SS	10,000	17.81	n/a	6-Jun	500.00	5.0
BARR	096	MENT	B	2,000	15.43	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	MER	B	11,300	36.05	24-Jun	24-Jun	565.00	5.0
POST ROAD CAP	045	MGLN	S	15,600	38.93	n/a	n/a	780.00	5.0
EPOCH INV MGMT	030	MON	B	3,300	129.33	n/a	23-Jun	132.00	4.0
MENCKE	055	MOO	B	20	62.57	n/a	n/a	0.00	0.0
MERRILL ASSET	032	MTD	B	15,746	97.31	n/a	n/a	708.57	4.5
BASIX	033	MTW	B	16,000	36.75	n/a	23-May	640.00	4.0
PENNANT CAP MGMT	029	MV	B	28,100	22.59	n/a	n/a	1,124.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	034	M	S	10,000	20.39	7-Feb	2-Jun	400.00	4.0
SUTTONBROOK CAPITAL	067	NBR	S	100,000	49.15	n/a	n/a	4,000.00	4.0
ZOLIDIS	011	NKE	B	200	66.30	n/a	n/a	0.00	0.0
SAC TRADING HOWARD	038	ORLY	B	700	24.50	n/a	n/a	35.00	5.0
GENERAL ELECTIC CO	033	PEP	B	33,303	65.66	n/a	n/a	666.06	2.0
BEAR STEARNS ASSET MGMT.	033	PETM	B	35,000	21.05	n/a	n/a	1,400.00	4.0
FIRST NY SEC/CHRIS	041	PETM	B	10,000	21.07	n/a	n/a	600.00	6.0
PECONIC PARTNERS	036	PLCE	S	142,100	36.35	23-Jun	23-Jun	7,105.00	5.0
EPOCH INV MGMT	030	PM	B	1,000	52.16	n/a	n/a	40.00	4.0
MERRILL ASSET	032	PPO	B	31,800	24.93	n/a	n/a	1,431.00	4.5
SINCLAIR	031	PXDIQ	B	10	3.10	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	PX	B	1,300	97.21	n/a	23-Jun	52.00	4.0
EPOCH INV MGMT	030	RL	B	14,200	67.15	7-Feb	29-May	568.00	4.0
AVESTA CAP	229	RRC	S	25,000	62.57	n/a	n/a	1,250.00	5.0
ZOLIDIS	011	SKX	SS	500	22.00	n/a	13-Mar	0.00	0.0
GENERAL ELECTIC CO	033	SLE	S	38,929	12.81	n/a	n/a	1,557.16	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MENCKE	055	SLX	B	15	106.21	n/a	n/a	0.00	0.0
KORMAN	003	SPLS	SS	3,000	24.80	n/a	27-Jul	0.00	0.0
BARR	096	SQNM	B	900	14.23	n/a	n/a	0.00	0.0
HIGHBRIDGE/MS OLDS	041	SRE	S	24,525	55.86	n/a	n/a	981.00	4.0
FIRST NY SEC/CHRIS	041	SSCC	B	121,800	4.67	7-Apr	23-Apr	5,372.00	5.0
GRONET	030	SSCC	B	2,000	4.48	7-Apr	23-Apr	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	TGT	S	10,000	50.00	n/a	5-Jun	500.00	5.0
TRUST CO/COWEN/CALF.	040	TOL	B	56,465	20.25	n/a	n/a	2,258.60	4.0
N STRATTON	014	URI	B	99	21.30	n/a	12-Jun	0.00	0.0
HIGHBRIDGE/MS OLDS	041	USB	SS	110,200	30.41	n/a	n/a	4,408.00	4.0
HIGHBRIDGE/MS OLDS	041	UTX	SS	30,500	67.33	n/a	n/a	1,220.00	4.0
MENCKE	055	WCG	S	30	37.89	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	WDR	S	25,000	35.91	22-Apr	23-Jun	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	WFMI	B	25,000	26.44	n/a	n/a	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	WMT	B	10,000	58.09	13-May	10-Jun	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	WMT	S	10,000	58.41	13-May	10-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	ZQK	S	10,000	10.19	5-Feb	6-Jun	500.00	5.0
TOTAL (Trade Date):								85,177.14	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/24	SWISS RE FINANCIAL SER (SWISDB)	035	ABG	S	30,000	13.02	10-Jun	10-Jun	1200.00 4.0
AO,CRT	06/24	SWISS RE FINANCIAL SER (SWISBSUSE)	035	ABG	S	30,000	13.02	10-Jun	10-Jun	1200.00 4.0
AO,CXL	06/24	SWISS RE FINANCIAL SER (SWISDB)	035	BJ	SS	20,000	38.54	n/a	27-Jul	800.00 4.0
AO,CRT	06/24	SWISS RE FINANCIAL SER (SWISBSUSE)	035	BJ	SS	20,000	38.54	n/a	27-Jul	800.00 4.0
AO,	06/24	TRELLUS PARTNERS LP (TRELMMERR)	028	BWA	SS	110	47.55	10-Jun	10-Jun	5.50 5.0
AO,	06/24	TRELLUS PARTNERS LP (TRELGS)	028	BWA	SS	110	47.55	10-Jun	10-Jun	5.50 5.0
AO,CXL	06/24	TRELLUS PARTNERS LP (TRELMAIN)	028	BWA	SS	1,900	47.55	10-Jun	10-Jun	95.00 5.0
AO,	06/24	TRELLUS PARTNERS LP (TRELMAIN)	028	BWA	SS	1,680	47.55	10-Jun	10-Jun	84.00 5.0
AO,CXL	06/24	SWISS RE FINANCIAL SER (SWISDB)	035	M	S	40,000	19.29	7-Feb	2-Jun	1600.00 4.0
AO,CRT	06/24	SWISS RE FINANCIAL SER (SWISBSUSE)	035	M	S	40,000	19.29	7-Feb	2-Jun	1600.00 4.0
AO,CRT	06/24	SWISS RE FINANCIAL SER (SWISBSUSE)	035	NT	SS	25,000	8.66	n/a	22-Mar	1000.00 4.0
AO,CXL	06/24	SWISS RE FINANCIAL SER (SWISDB)	035	NT	SS	25,000	8.66	n/a	22-Mar	1000.00 4.0
AO,CXL	06/20	WAGONHOUND/NIC (WAGO263958)	048	ONNN	B	3,947	9.32	n/a	n/a	157.88 4.0
AO,	06/20	WAGONHOUND/NIC (WAGO263958)	048	ONNN	B	1,566	9.32	n/a	n/a	46.98 3.0
AO,	06/20	WAGONHOUND/NIC (WAGONIC)	048	ONNN	B	2,381	9.32	n/a	n/a	71.43 3.0
AO,CXL	06/24	PECONIC PARTNERS (PECOLEHM)	036	PLCE	S	127,179	36.27	23-Jun	23-Jun	5087.16 4.0
AO,	06/24	PECONIC PARTNERS (PECOMORGAN)	036	PLCE	S	122,379	36.27	23-Jun	23-Jun	6118.95 5.0
AO,	06/24	PECONIC PARTNERS (PECOCITIGR)	036	PLCE	S	4,800	36.27	23-Jun	23-Jun	240.00 5.0
TOTAL (AO, CXL, CRT) :										1,232.32

TOTAL (Trade Date):	85,177.14
---------------------	-----------

TOTAL (AO, CXL, CRT):	1,232.32
-----------------------	----------

TOTAL (RUN DATE) / NFS:	86,409.46
--------------------------------	------------------

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION