



## Daily Compliance Activity Report

Trade Date: 03/18/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>LEDDY</b>	<b>035</b>	<b>ABG</b>	<b>B</b>	<b>800</b>	<b>13.55</b>	<b>25-Jan</b>	<b>22-Feb</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	ACF	B	50,100	11.31	n/a	n/a	2,004.00	4.0
IRIDIAN ASSET MGMT.	036	AMGN	S	58,850	41.76	n/a	26-Jan	2,801.80	4.7
GRISANTI BROWN & PARTNER	048	AMR	S	50,100	8.70	n/a	n/a	2,505.00	5.0
WELLS/STRONG LEDDY	035	AMSWA	S	3,500	5.68	n/a	n/a	105.00	3.0
COUGAR TRADING	044	ANF	B	5,000	75.95	10-Jan	10-Mar	250.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	74.64	10-Jan	10-Mar	250.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>ANF</b>	<b>S</b>	<b>200</b>	<b>75.68</b>	<b>10-Jan</b>	<b>10-Mar</b>	<b>0.00</b>	<b>0.0</b>
CHARTER OAK	033	AN	B	169,129	14.16	n/a	8-Feb	8,456.45	5.0
GRISANTI BROWN & PARTNER	048	APC	S	13,800	63.05	6-Feb	25-Feb	690.00	5.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>APVCE</b>	<b>S</b>	<b>5</b>	<b>5.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAXTON PART	040	BEN	B	7,500	93.72	n/a	13-Mar	262.51	3.5
CENTURION GLOBAL MGMT LL	041	BJ	S	20,000	34.68	n/a	27-Jul	600.00	3.0
JP MORGAN VENTURES	046	BJ	C	20,000	34.51	n/a	27-Jul	1,000.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>BWA</b>	<b>B</b>	<b>100</b>	<b>41.99</b>	<b>14-Jan</b>	<b>8-Feb</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	CAB	B	5,000	13.98	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THOMAS WEISEL ASSET MGMT	035	CCOI	B	1,500	18.04	n/a	n/a	45.00	3.0
WELLS/STRONG LEDDY	035	CHRS	B	210,450	5.30	n/a	22-Mar	6,313.50	3.0
RAMIUS CAP	040	CHTT	C	20,000	70.08	11-Jan	7-Mar	1,000.00	5.0
STONERIDGE INV PART	028	CLMS	B	5,790	15.73	13-Feb	13-Mar	289.50	5.0
<b>LEDDY</b>	<b>035</b>	<b>CLMS</b>	<b>SS</b>	<b>700</b>	<b>15.94</b>	<b>13-Feb</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
WELLS/STRONG LEDDY	035	CMCO	S	2,200	28.49	n/a	n/a	88.00	4.0
PHILADELPHIA FINANCIAL	033	CME	B	3,937	468.90	n/a	18-Mar	196.85	5.0
WELLS/STRONG LEDDY	035	CMN	S	2,500	10.90	n/a	n/a	100.00	4.0
<b>EMP ERROR</b>	<b>099</b>	<b>CMO</b>	<b>S</b>	<b>380</b>	<b>9.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WAGONHOUND	048	COF	B	3,755	49.15	n/a	n/a	150.20	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>SS</b>	<b>10,000</b>	<b>27.89</b>	<b>24-Oct</b>	<b>14-Mar</b>	<b>400.00</b>	<b>4.0</b>
PERRY PARTNERS	041	COH	S	100,000	28.30	24-Oct	14-Mar	5,000.00	5.0
BLUE HARBOR	036	CPS	S	65,700	48.26	n/a	n/a	1,971.00	3.0
PILOT ADV	038	CR	B	3,800	39.73	n/a	n/a	152.00	4.0
ROYCE TRADING	216	CSH	B	10,000	33.94	n/a	n/a	500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>CSR</b>	<b>S</b>	<b>1,200</b>	<b>13.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	216	CY	B	10,000	19.20	n/a	n/a	500.00	5.0
<b>EMP ERROR</b>	<b>099</b>	<b>C</b>	<b>B</b>	<b>175</b>	<b>19.84</b>	<b>16-Jan</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
NOMURA CAPITAL	045	DAL	S	1,000	9.90	n/a	n/a	40.00	4.0
IRIDIAN ASSET MGMT.	036	DRC	B	13,640	29.18	n/a	n/a	682.00	5.0
MS PRINCIPAL STRATEGIES	041	DRYS	B	5,600	58.63	n/a	n/a	280.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>DUG</b>	<b>S</b>	<b>1,500</b>	<b>39.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>DXD</b>	<b>S</b>	<b>1,000</b>	<b>58.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>FIG</b>	<b>B</b>	<b>1,200</b>	<b>10.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAGNETAR FINANCIAL	247	FIS	S	100,000	36.96	n/a	n/a	4,000.00	4.0
<b>BARR</b>	<b>096</b>	<b>FNM</b>	<b>SS</b>	<b>3,500</b>	<b>26.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>FXP</b>	<b>S</b>	<b>1,000</b>	<b>108.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PHILADELPHIA FINANCIAL	033	GBL	B	9,519	50.35	n/a	18-Mar	475.95	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>S</b>	<b>180,000</b>	<b>35.01</b>	<b>n/a</b>	<b>n/a</b>	<b>7,200.00</b>	<b>4.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>GES</b>	<b>B</b>	<b>200</b>	<b>35.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>DAWSON</b>	<b>075</b>	<b>GILD</b>	<b>B</b>	<b>100</b>	<b>47.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>30,000</b>	<b>35.20</b>	<b>22-Jan</b>	<b>10-Mar</b>	<b>1,200.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DELTEC ASSET MGMT.	040	GLW	S	5,000	24.05	n/a	7-Mar	250.00	5.0
SARAS CAPITAL	038	GLW	B	45,000	23.84	n/a	7-Mar	2,250.00	5.0
<b>PAKLER</b>	<b>029</b>	<b>GMRX</b>	<b>B</b>	<b>7</b>	<b>5.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GM</b>	<b>B</b>	<b>163,700</b>	<b>18.83</b>	<b>3-Jan</b>	<b>13-Mar</b>	<b>6,548.00</b>	<b>4.0</b>
WAGONHOUND	048	GM	B	30,750	18.76	3-Jan	13-Mar	1,230.00	4.0
<b>BARR</b>	<b>096</b>	<b>GM</b>	<b>SS</b>	<b>1,000</b>	<b>18.97</b>	<b>3-Jan</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	GPS	SS	25,000	20.17	n/a	7-Mar	1,250.00	5.0
DENVER INST. ADV	046	GPS	B	79,960	20.44	n/a	7-Mar	3,198.40	4.0
<b>BUCK</b>	<b>015</b>	<b>GPYOL</b>	<b>B</b>	<b>3</b>	<b>1.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IRIDIAN ASSET MGMT.	036	GRP	S	31,190	48.48	n/a	n/a	1,559.50	5.0
<b>BUCK</b>	<b>015</b>	<b>GSSI</b>	<b>S</b>	<b>10</b>	<b>8.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CASTLE ARK	031	GS	B	106,420	168.00	n/a	17-Mar	6,385.20	6.0
SANDLER O'NEILL ASSET	031	GS	S	20,000	165.36	n/a	17-Mar	1,000.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>GS</b>	<b>S</b>	<b>100</b>	<b>173.75</b>	<b>n/a</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GWW</b>	<b>B</b>	<b>5,000</b>	<b>75.61</b>	<b>25-Jan</b>	<b>25-Jan</b>	<b>200.00</b>	<b>4.0</b>
JP MORGAN VENTURES	046	GYMB	S	15,000	39.57	n/a	13-Mar	750.00	5.0
ROYCE TRADING	216	HYC	B	10,000	3.98	n/a	n/a	300.00	3.0
WHITE PINE CAPITAL	030	INTC	S	9,000	21.39	n/a	n/a	450.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SARAS CAPITAL	038	IP	SS	50,000	28.35	8-Feb	8-Feb	2,500.00	5.0
SANDLER O'NEILL ASSET	031	IVZ	S	5,000	22.00	10-Mar	13-Mar	250.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>IVZ</b>	<b>B</b>	<b>200</b>	<b>22.13</b>	<b>10-Mar</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>IVZ</b>	<b>B</b>	<b>500</b>	<b>22.15</b>	<b>10-Mar</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>KAICHER</b>	<b>064</b>	<b>JASO</b>	<b>B</b>	<b>1,000</b>	<b>15.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	JCG	B	10,000	43.49	28-Nov	12-Mar	500.00	5.0
SONAR CAP MGMT	039	JNPR	B	80,000	25.40	n/a	22-Mar	3,200.00	4.0
MATLIN PATTERSON	046	JRCC	B	15,000	15.39	n/a	n/a	450.00	3.0
COUGAR TRADING	044	JWN	B	5,000	33.57	26-Feb	10-Mar	250.00	5.0
PBXK	048	KMX	SS	25,000	19.91	n/a	10-Jan	1,250.00	5.0
COUGAR TRADING	044	KSS	B	15,000	41.48	4-Jan	7-Mar	750.00	5.0
COUGAR TRADING	044	KSS	SS	10,000	42.33	4-Jan	7-Mar	500.00	5.0
CENTURION PARTNERS	255	LEH	S	100,000	43.61	n/a	17-Mar	5,000.00	5.0
NATIONAL BK OF CAN	031	LEH	S	9,000	37.21	n/a	17-Mar	360.00	4.0
<b>LUCHANSKY3</b>	<b>036</b>	<b>LEH</b>	<b>S</b>	<b>400</b>	<b>45.72</b>	<b>n/a</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>PAKLER</b>	<b>029</b>	<b>LESJJ</b>	<b>S</b>	<b>10</b>	<b>7.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>LPX</b>	<b>B</b>	<b>400</b>	<b>9.19</b>	<b>30-Oct</b>	<b>6-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>B</b>	<b>400,000</b>	<b>16.49</b>	<b>n/a</b>	<b>7-Mar</b>	<b>16,000.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NATIONAL BK OF CAN	031	MER	S	4,000	43.20	18-Jan	17-Mar	160.00	4.0
WHITE PINE CAPITAL	030	MER	S	1,500	45.38	18-Jan	17-Mar	75.00	5.0
CAXTON PART	040	MET	B	33,156	58.56	n/a	n/a	1,160.46	3.5
TRIVIUM CAP MGMT	251	MFLX	SS	15,000	23.63	n/a	n/a	600.00	4.0
WHITE PINE CAPITAL	030	MOT	S	5,000	9.59	n/a	n/a	250.00	5.0
ROTHSCHILD ASSET	040	MRO	S	39,980	47.33	n/a	n/a	1,799.10	4.5
<b>OGORMAN</b>	<b>046</b>	<b>MSCH</b>	<b>B</b>	<b>5</b>	<b>3.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>HART</b>	<b>056</b>	<b>MSJJ</b>	<b>B</b>	<b>10</b>	<b>2.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CENTURION PARTNERS	255	MS	B	25,000	41.24	20-Dec	17-Mar	1,250.00	5.0
UBS OCONNOR	031	MS	SS	200,000	41.04	20-Dec	17-Mar	6,000.00	3.0
ROYCE TRADING	216	MTZ	B	10,000	7.97	n/a	n/a	500.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>MZZ</b>	<b>S</b>	<b>1,000</b>	<b>67.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROTHSCHILD ASSET	040	NKE	S	3,480	60.43	n/a	n/a	156.60	4.5
ROTHSCHILD ASSET	040	PDX	S	10,740	65.15	n/a	n/a	483.30	4.5
JP MORGAN VENTURES	046	PSS	S	25,000	12.36	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	PSUN	SS	10,000	12.12	n/a	14-Mar	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	PVH	B	40,000	34.34	13-Mar	13-Mar	1,600.00	4.0
MS PRINCIPAL STRATEGIES	041	QMAR	S	17,500	21.51	n/a	n/a	875.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	57.80	7-Feb	15-Feb	400.00	4.0
COUGAR TRADING	044	RL	B	10,000	58.11	7-Feb	15-Feb	500.00	5.0
COUGAR TRADING	044	RL	SS	5,000	57.57	7-Feb	15-Feb	250.00	5.0
SARAS CAPITAL	038	SAP	B	15,000	49.27	n/a	n/a	750.00	5.0
SUTERA	160	SDS	C	500	65.12	n/a	n/a	0.00	0.0
PAKLER	029	SKF	S	21	120.55	n/a	n/a	0.00	0.0
GAULT	063	SKF	B	2,000	122.54	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	1,200	125.16	n/a	n/a	0.00	0.0
BUCK	015	SPYPP	B	7	1.15	n/a	n/a	0.00	0.0
MAGUIRE	090	SRS	S	1,000	109.94	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SWY	B	20,000	28.87	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	TGT	B	50,000	50.99	n/a	27-Jul	2,000.00	4.0
HIGHBRIDGE/MS OLDS	041	TIN	B	62,000	11.99	6-Feb	7-Feb	3,100.00	5.0
LEDDY	035	TIN	B	900	12.44	6-Feb	7-Feb	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	TJX	SS	25,000	32.36	n/a	10-Mar	1,250.00	5.0
RHO CAPITAL MGMT	041	TLT	SS	150	95.42	n/a	n/a	6.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	TWB	S	10,000	24.56	17-Mar	17-Mar	500.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>TWM</b>	<b>B</b>	<b>300</b>	<b>86.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>TWM</b>	<b>S</b>	<b>1,000</b>	<b>90.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BULL PATH CAPITAL	044	URBN	SS	26,500	30.16	29-Feb	10-Mar	1,325.00	5.0
RHO CAPITAL MGMT	041	USO	B	240	85.51	n/a	n/a	9.60	4.0
<b>WHEELER</b>	<b>008</b>	<b>UYG</b>	<b>S</b>	<b>3,000</b>	<b>28.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>PRYLUCKI</b>	<b>P02</b>	<b>UYG</b>	<b>S</b>	<b>250</b>	<b>30.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LEHMAN PROPRIETARY TRAD	041	VMC	B	50,000	65.97	n/a	n/a	2,000.00	4.0
JP MORGAN VENTURES	046	WINN	B	7,200	16.88	n/a	n/a	360.00	5.0
SLS CAPITAL LLC	224	WOR	SS	72,600	17.12	n/a	n/a	2,904.00	4.0
HEALY CIRCLE	044	WY	S	10,000	63.95	8-Jan	11-Feb	500.00	5.0
FARALLON CAP MGMT	040	XHB	B	250,000	20.36	n/a	n/a	7,500.00	3.0
COUGAR TRADING	044	ZUMZ	S	5,000	16.38	n/a	n/a	250.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>ZUMZ</b>	<b>SS</b>	<b>500</b>	<b>16.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date) :</b>							<b>147,203.92</b>		



# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/17	U.S.TRUST COMPANY (USTCMAIN A)	012	AIG	S	30,448	39.66	n/a	n/a	913.44 3.0
AO,CRT	03/14	LISTED ERR (LISTED ERR)	099	BSC	SS	10,000	51.77	17-Mar	17-Mar	0.00 0.0
AO,	03/14	LISTED ERR (LISTED ERR)	099	BSC	SS	10,000	53.00	17-Mar	17-Mar	0.00 0.0
AO,CXL	03/14	NATIONAL BK OF CAN (NBCAMAIN)	031	BSC	SS	10,000	51.77	17-Mar	17-Mar	400.00 4.0
AO,	03/14	NATIONAL BK OF CAN (NBCAMAIN)	031	BSC	SS	10,000	53.00	17-Mar	17-Mar	400.00 4.0
AO,CXL	03/17	BLUE HARBOR (BLUHGOLDMA)	036	CPS	S	60,800	48.15	n/a	n/a	3040.00 5.0
AO,CRT	03/17	BLUE HARBOR (BLUHGOLDMA)	036	CPS	S	60,800	48.15	n/a	n/a	1824.00 3.0
AO,	03/17	U.S.TRUST COMPANY (USTCMAIN A)	012	GWV	S	24,650	74.04	25-Jan	25-Jan	1232.50 5.0
AO,	03/17	PALMYRA CAPITAL (PALMGSCO)	048	HOG	C	30,696	34.65	n/a	n/a	1534.80 5.0
AO,CXL	03/17	FARALLON CAP MGMT (FARABULK F)	040	IAI	B	100,000	35.29	n/a	n/a	4000.00 4.0
AO,CRT	03/17	FARALLON CAP MGMT (FARABULK F)	040	IAI	B	100,000	35.29	n/a	n/a	3000.00 3.0
AO,CXL	03/11	VENTANA CAPITAL (VENTRRVFD)	060	IVZ	S	7,500	22.13	10-Mar	13-Mar	300.00 4.0
AO,CRT	03/11	VENTANA CAPITAL (VENTRRVFD)	060	IVZ	S	7,500	22.13	10-Mar	13-Mar	225.00 3.0
AO,	03/17	CAMBIAR CAP (CAMB20)	046	M	S	15,400	21.34	7-Feb	7-Mar	616.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB10)	046	M	S	230	21.34	7-Feb	7-Mar	9.20 4.0
AO,	03/17	CAMBIAR CAP (CAMB10)	046	M	S	3,770	21.34	7-Feb	7-Mar	150.80 4.0
AO,	03/17	CAMBIAR CAP (CAMB10)	046	M	S	750	21.34	7-Feb	7-Mar	30.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB400)	046	M	S	820	21.34	7-Feb	7-Mar	32.80 4.0
AO,	03/17	CAMBIAR CAP (CAMB29)	046	M	S	300	21.34	7-Feb	7-Mar	12.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB42)	046	M	S	320,000	21.34	7-Feb	7-Mar	12800.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB409)	046	M	S	1,100	21.34	7-Feb	7-Mar	44.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB43)	046	M	S	14,400	21.34	7-Feb	7-Mar	576.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/17	CAMBIAR CAP (CAMB75)	046	M	S	1,100	21.34	7-Feb	7-Mar	44.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB28)	046	M	S	1,100	21.34	7-Feb	7-Mar	44.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB890)	046	M	S	50	21.34	7-Feb	7-Mar	2.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB781)	046	M	S	90	21.34	7-Feb	7-Mar	3.60 4.0
AO,	03/17	CAMBIAR CAP (CAMB799)	046	M	S	150	21.34	7-Feb	7-Mar	6.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB771)	046	M	S	60	21.34	7-Feb	7-Mar	2.40 4.0
AO,	03/17	CAMBIAR CAP (CAMB855)	046	M	S	50	21.34	7-Feb	7-Mar	2.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB854)	046	M	S	40	21.34	7-Feb	7-Mar	1.60 4.0
AO,	03/17	CAMBIAR CAP (CAMB802)	046	M	S	100	21.34	7-Feb	7-Mar	4.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB856)	046	M	S	80	21.34	7-Feb	7-Mar	3.20 4.0
AO,	03/17	CAMBIAR CAP (CAMB875)	046	M	S	60	21.34	7-Feb	7-Mar	2.40 4.0
AO,	03/17	CAMBIAR CAP (CAMB836)	046	M	S	140	21.34	7-Feb	7-Mar	5.60 4.0
AO,	03/17	CAMBIAR CAP (CAMB784)	046	M	S	120	21.34	7-Feb	7-Mar	4.80 4.0
AO,	03/17	CAMBIAR CAP (CAMB816)	046	M	S	150	21.34	7-Feb	7-Mar	6.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB823)	046	M	S	60	21.34	7-Feb	7-Mar	2.40 4.0
AO,	03/17	CAMBIAR CAP (CAMB841)	046	M	S	160	21.34	7-Feb	7-Mar	6.40 4.0
AO,	03/17	CAMBIAR CAP (CAMB883)	046	M	S	2,100	21.34	7-Feb	7-Mar	84.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB873)	046	M	S	100	21.34	7-Feb	7-Mar	4.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB809)	046	M	S	40	21.34	7-Feb	7-Mar	1.60 4.0
AO,	03/17	CAMBIAR CAP (CAMB810)	046	M	S	80	21.34	7-Feb	7-Mar	3.20 4.0
AO,	03/17	CAMBIAR CAP (CAMB831)	046	M	S	1,100	21.34	7-Feb	7-Mar	44.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB832)	046	M	S	600	21.34	7-Feb	7-Mar	24.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB767)	046	M	S	50	21.34	7-Feb	7-Mar	2.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB)	046	M	S	50	21.34	7-Feb	7-Mar	2.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB804)	046	M	S	1,500	21.34	7-Feb	7-Mar	60.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB766)	046	M	S	1,000	21.34	7-Feb	7-Mar	40.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB748)	046	M	S	84,000	21.34	7-Feb	7-Mar	3360.00 4.0
AO,	03/17	CAMBIAR CAP (CAMB755)	046	M	S	60	21.34	7-Feb	7-Mar	2.40 4.0
AO,	03/17	CAMBIAR CAP (CAMB754)	046	M	S	140	21.34	7-Feb	7-Mar	5.60 4.0
AO,	03/17	CAMBIAR CAP (CAMB745)	046	M	S	210	21.34	7-Feb	7-Mar	8.40 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	03/17	CAMBIAR CAP (CAMB758)	046	M	S	80	21.34	7-Feb	7-Mar	3.20	4.0
AO,	03/17	CAMBIAR CAP (CAMB694)	046	M	S	3,400	21.34	7-Feb	7-Mar	136.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB710)	046	M	S	400	21.34	7-Feb	7-Mar	16.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB716)	046	M	S	120	21.34	7-Feb	7-Mar	4.80	4.0
AO,	03/17	CAMBIAR CAP (CAMB717)	046	M	S	50	21.34	7-Feb	7-Mar	2.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB741)	046	M	S	80	21.34	7-Feb	7-Mar	3.20	4.0
AO,	03/17	CAMBIAR CAP (CAMB743)	046	M	S	1,200	21.34	7-Feb	7-Mar	48.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB714)	046	M	S	3,100	21.34	7-Feb	7-Mar	124.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB598)	046	M	S	50	21.34	7-Feb	7-Mar	2.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB594)	046	M	S	2,200	21.34	7-Feb	7-Mar	88.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB30)	046	M	S	260	21.34	7-Feb	7-Mar	10.40	4.0
AO,	03/17	CAMBIAR CAP (CAMB204)	046	M	S	700	21.34	7-Feb	7-Mar	28.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB18)	046	M	S	680	21.34	7-Feb	7-Mar	27.20	4.0
AO,	03/17	CAMBIAR CAP (CAMB527)	046	M	S	40	21.34	7-Feb	7-Mar	1.60	4.0
AO,	03/17	CAMBIAR CAP (CAMB27)	046	M	S	2,200	21.34	7-Feb	7-Mar	88.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB520)	046	M	S	4,000	21.34	7-Feb	7-Mar	160.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB525)	046	M	S	800	21.34	7-Feb	7-Mar	32.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB502)	046	M	S	3,300	21.34	7-Feb	7-Mar	132.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB526)	046	M	S	1,000	21.34	7-Feb	7-Mar	40.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB26)	046	M	S	1,100	21.34	7-Feb	7-Mar	44.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB25)	046	M	S	1,400	21.34	7-Feb	7-Mar	56.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB24)	046	M	S	900	21.34	7-Feb	7-Mar	36.00	4.0
AO,	03/17	CAMBIAR CAP (CAMB21)	046	M	S	11,800	21.34	7-Feb	7-Mar	472.00	4.0
<b>AO,CRT</b>	<b>03/17</b>	<b>PRYLUCKI (PRYLUCKI)</b>	<b>P02</b>	<b>MS</b>	<b>B</b>	<b>75</b>	<b>35.56</b>	<b>20-Dec</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>AO,CXL</b>	<b>03/17</b>	<b>PRYLUCKI (PRYLUCKI)</b>	<b>P02</b>	<b>MS</b>	<b>B</b>	<b>75</b>	<b>35.56</b>	<b>20-Dec</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
AO,CXL	03/17	PROSPECTOR PARTNERS LLC (PROSOPPORT)	036	NEM	B	100	54.38	n/a	n/a	4.00	4.0
AO,CRT	03/17	PROSPECTOR PARTNERS LLC (PROSOPPORT)	036	NEM	B	100	54.38	n/a	n/a	5.00	5.0
AO,CXL	03/17	PROSPECTOR PARTNERS LLC (PROSDELOS)	036	NEM	B	2,200	54.38	n/a	n/a	88.00	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/17	PROSPECTOR PARTNERS LLC (PROSDELOS)	036	NEM	B	2,200	54.38	n/a	n/a	110.00 5.0
AO,CXL	03/17	PROSPECTOR PARTNERS LLC (PROSMORGAN)	036	NEM	B	9,100	54.38	n/a	n/a	364.00 4.0
AO,CRT	03/17	PROSPECTOR PARTNERS LLC (PROSMORGAN)	036	NEM	B	9,100	54.38	n/a	n/a	455.00 5.0
AO,CXL	03/17	PROSPECTOR PARTNERS LLC (PROSNGM)	036	NEM	B	4,500	54.38	n/a	n/a	180.00 4.0
AO,CRT	03/17	PROSPECTOR PARTNERS LLC (PROSNGM)	036	NEM	B	4,500	54.38	n/a	n/a	225.00 5.0
AO,CXL	03/17	PROSPECTOR PARTNERS LLC (PROSFAREKO)	036	NEM	B	3,600	54.38	n/a	n/a	144.00 4.0
AO,CRT	03/17	PROSPECTOR PARTNERS LLC (PROSFAREKO)	036	NEM	B	3,600	54.38	n/a	n/a	180.00 5.0
CRT	03/18	GAULT (GAULT)	063	SKF	B	1,300	122.54	n/a	n/a	0.00 0.0
CRT	03/18	GAULT (GAULT)	063	SKF	B	700	122.54	n/a	n/a	0.00 0.0
CRT	03/18	GAULT (GAULT)	063	SKF	S	1,200	125.16	n/a	n/a	0.00 0.0
AO,CRT	03/17	PRYLUCKI (PRYLUCKI)	P02	UYG	B	250	25.35	n/a	n/a	0.00 0.0
AO,CXL	03/17	PRYLUCKI (PRYLUCKI)	P02	UYG	B	250	25.35	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :									21,191.54	
TOTAL (Trade Date) :									147,203.92	
TOTAL (AO, CXL, CRT) :									21,191.54	
TOTAL (RUN DATE) / NFS :									168,395.46	

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION