



Daily Compliance Activity Report

Trade Date: 01/22/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 2

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	10,000	154.58	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AAPL	B	10,000	155.48	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	AAPL	B	200	158.88	n/a	n/a	10.00	5.0
BALYASNY ASSET MGMT	044	ABG	B	10,000	12.50	31-Oct	15-Jan	500.00	5.0
BUCK CAPITAL MGMT		ABG	S	9,000	12.38	31-Oct	15-Jan	non-brg	4.0
WYCKOFF	013	ABG	B	400	12.41	31-Oct	15-Jan	0.00	0.0
COUGAR TRADING	044	AB	B	7,500	61.61	11-Jan	15-Jan	375.00	5.0
PATTEN & PATTEN	067	ADBE	B	640	33.98	n/a	n/a	32.00	5.0
PATTEN & PATTEN	067	ADSK	B	340	40.38	n/a	n/a	17.00	5.0
BUCK CAPITAL MGMT		AEO	S	12,050	20.82	11-Jan	11-Jan	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	AEO	B	30,000	20.43	11-Jan	11-Jan	900.00	3.0
CENTURION GLOBAL MGMT LL	041	AEO	S	76,968	21.67	11-Jan	11-Jan	2,309.04	3.0
COLUMBIA PARTNERS	028	AIG	B	19,268	52.24	n/a	n/a	770.72	4.0
BUCK CAPITAL MGMT		AMG	S	3,300	91.77	9-Jan	17-Jan	non-brg	1.0
BUCK CAPITAL MGMT		AMG	S	3,725	90.01	9-Jan	17-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	AMT	S	7,869	34.72	n/a	n/a	314.76	4.0
BUCK CAPITAL MGMT		AMT	S	5,600	35.55	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	ANF	S	7,200	71.66	10-Jan	22-Jan	288.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ANF	B	20,000	69.70	10-Jan	22-Jan	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	ANF	B	4,700	73.86	10-Jan	22-Jan	141.00	3.0
BUCK CAPITAL MGMT		APC	S	4,700	54.82	14-Jan	14-Jan	non-brg	4.0
BUCK CAPITAL MGMT		APC	S	7,000	55.51	14-Jan	14-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	APP	B	67,300	11.42	n/a	n/a	2,692.00	4.0
BUCK CAPITAL MGMT		APP	B	25,000	11.14	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		APP	B	25,000	11.38	n/a	n/a	non-brg	2.0
BALYASNY ASSET MGMT	044	ARO	B	10,000	22.57	29-Nov	11-Jan	500.00	5.0
BUCK CAPITAL MGMT		ARO	B	10,000	22.70	29-Nov	11-Jan	non-brg	4.0
COUGAR TRADING	044	ARO	B	5,000	22.71	29-Nov	11-Jan	250.00	5.0
JPMSI/TOM LEDDY	035	ASCA	B	10,000	18.73	n/a	6-Nov	500.00	5.0
BUCK CAPITAL MGMT		AVCI	C	28,900	6.31	n/a	n/a	non-brg	4.0
RAMIUS CAP	040	AZO	C	36,500	106.41	n/a	n/a	1,825.00	5.0
CATAPULT	040	BBT	SS	5,000	30.42	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	BDK	SS	10,000	67.48	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		BDK	SS	30,000	65.47	n/a	n/a	non-brg	4.0
WYCKOFF	013	BEBE	B	100	10.09	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BHI	S	1,200	70.55	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	BJ	B	20,000	28.88	27-Jul	27-Jul	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BONT	S	12,600	5.54	4-Jan	11-Jan	non-brg	4.0
BUCK CAPITAL MGMT		BRL	S	4,000	53.50	8-Aug	17-Jan	non-brg	4.0
BUCK CAPITAL MGMT		BRL	S	5,450	52.75	8-Aug	17-Jan	non-brg	4.0
BUCK CAPITAL MGMT		BRNC	S	10,000	12.75	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BRNC	S	21,150	12.56	n/a	n/a	non-brg	4.0
NATIONAL BK OF CAN	031	BSC	B	15,000	76.99	21-Dec	14-Jan	600.00	4.0
NATIONAL BK OF CAN	031	BSC	SS	15,000	77.98	21-Dec	14-Jan	600.00	4.0
BUCK	015	CAH	B	1,250	57.74	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		CCE	SS	10,000	23.93	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CCI	S	5,000	33.46	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CCI	S	9,150	33.08	n/a	n/a	non-brg	4.0
WHALE ROCK CAP	030	CHS	B	100,000	8.06	10-Jan	11-Jan	4,000.00	4.0
COUGAR TRADING	044	CLMS	B	2,500	21.51	15-Jan	15-Jan	125.00	5.0
BARABOO GROWTH	031	COF	C	15,000	39.83	n/a	n/a	750.00	5.0
WHEELER	008	COHR	S	1,000	23.55	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	COH	SS	10,000	25.92	24-Oct	14-Jan	500.00	5.0
BUCK CAPITAL MGMT		COH	B	100,000	26.29	24-Oct	14-Jan	non-brg	4.0
BUCK CAPITAL MGMT		COLM	C	20,000	37.11	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		COST	C	20,000	65.34	27-Jul	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		CRI	C	10,000	16.70	n/a	n/a	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	CTRP	S	17,900	40.87	n/a	n/a	537.00	3.0
DAVIDSON KEMPNER ADVISOR	040	DAL	S	117,200	15.04	n/a	n/a	5,860.00	5.0
BUCK CAPITAL MGMT	001	DDS	SS	10,000	17.39	n/a	n/a	400.00	4.0
ZOLIDIS	011	DECK	B	100	122.23	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DHI	S	5,150	12.38	n/a	n/a	206.00	4.0
ß BUCK CAPITAL MGMT		DIA	C	9,800	117.79	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DIA	C	90,000	118.42	n/a	n/a	non-brg	1.0
PILOT ADV	038	DKS	B	10,000	27.18	n/a	27-Nov	400.00	4.0
WHALE ROCK CAP	030	DKS	B	200,000	27.44	n/a	27-Nov	8,000.00	4.0
MCCARTAN	028	DKS	C	200	25.03	n/a	27-Nov	0.00	0.0
BULL PATH CAPITAL	044	DTV	B	50,000	19.47	15-Nov	4-Jan	2,500.00	5.0
CAXTON PART	040	DTV	B	122,184	19.36	15-Nov	4-Jan	4,276.45	3.5
BUCK CAPITAL MGMT	001	DVN	S	2,500	78.60	14-Jan	14-Jan	100.00	4.0
ß BUCK CAPITAL MGMT		DVN	S	900	79.91	14-Jan	14-Jan	non-brg	4.0
HAYGROUND COE	039	EBAY	B	200,000	27.25	n/a	n/a	10,000.00	5.0
BUCK	015	EFA	S	1,100	68.97	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	EL	B	10,000	38.18	4-Jan	4-Jan	400.00	4.0
WAGONHOUND	048	EL	B	9,250	38.69	4-Jan	4-Jan	370.00	4.0
PATTEN & PATTEN	067	ERTS	S	400	49.36	n/a	n/a	20.00	5.0
⌘ BUCK CAPITAL MGMT		ESV	S	2,900	48.72	n/a	n/a	non-brg	4.0
SINCLAIR	031	ETH	SS	500	24.00	n/a	n/a	0.00	0.0
ENTRUST CAPITAL	040	ETN	B	20,000	81.37	22-Jan	22-Jan	1,000.00	5.0
HOTCHKIS & WILEY	035	ETR	S	500,000	107.59	n/a	n/a	25,000.00	5.0
HOTCHKIS & WILEY	035	EXC	S	1,000,000	73.38	n/a	n/a	50,000.00	5.0
⌘ BUCK CAPITAL MGMT		EXH	S	10,184	66.72	n/a	28-Nov	non-brg	4.0
⌘ BUCK CAPITAL MGMT		EXH	S	15,000	64.99	n/a	28-Nov	non-brg	4.0
LEDDY	035	EXH	S	357	66.82	n/a	28-Nov	0.00	0.0
J L F ASSET MGMT	039	FDO	B	47,800	18.65	8-Jan	11-Jan	1,912.00	4.0
OSS CAPITAL/KEVIN DANN	067	FDO	B	86,500	18.68	8-Jan	11-Jan	2,595.00	3.0
⌘ BUCK CAPITAL MGMT		FLDR	C	21,400	5.13	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		FOR	S	4,366	19.34	n/a	n/a	non-brg	4.0
MAGUIRE	090	FOR	B	1,000	19.48	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FOSL	B	20,000	29.56	13-Nov	18-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	FRX	S	7,550	39.53	n/a	17-Jan	302.00	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		FRX	S	9,000	39.17	n/a	17-Jan	non-brg	4.0
	REINHART & MAHONEY	031	FSP	S	19,410	14.62	n/a	n/a	970.50	5.0
	MAGUIRE	090	FXP	S	1,000	100.60	n/a	n/a	0.00	0.0
	BARR	096	FXP	S	800	100.00	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	GES	S	9,600	33.53	n/a	n/a	384.00	4.0
	MCCARTAN	028	GES	B	200	34.34	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		GFG	S	1,716	11.55	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		GIL	B	40,000	31.63	22-Jan	22-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		GIL	S	12,600	31.90	22-Jan	22-Jan	non-brg	4.0
	BARR	096	GLD	B	500	86.25	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	GLW	S	18,800	21.58	n/a	26-Dec	752.00	4.0
ß	BUCK CAPITAL MGMT		GLW	S	30,000	21.46	n/a	26-Dec	non-brg	4.0
	DELTEC ASSET MGMT.	040	GLW	B	20,000	21.56	n/a	26-Dec	1,000.00	5.0
	BUCK CAPITAL MGMT	001	GM	SS	20,000	23.05	3-Jan	18-Jan	800.00	4.0
	BAIRD INVESTMENT	031	GNTX	B	51,892	14.73	n/a	17-Jan	2,594.60	5.0
	LUCHANSKY1	036	GOOG	B	5	580.69	n/a	n/a	0.00	0.0
	NATIONAL BK OF CAN	031	GS	B	5,500	190.18	21-Sep	22-Jan	220.00	4.0
ß	BUCK CAPITAL MGMT		GWV	C	1,200	72.19	15-Nov	12-Dec	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GYMB	S	1,550	31.80	10-Aug	11-Jan	non-brg	4.0
ROXBURY CAPITAL MGMT	035	GYMB	B	188,000	33.04	10-Aug	11-Jan	7,520.00	4.0
FARALLON CAP MGMT	040	HBC	B	260,000	72.95	n/a	n/a	10,400.00	4.0
BUCK CAPITAL MGMT	001	HBI	B	10,000	22.81	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		HOG	C	2,500	36.44	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HON	C	2,800	52.95	n/a	19-Oct	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HPY	C	1,800	21.15	n/a	n/a	non-brg	1.0
PATTEN & PATTEN	067	HST	S	1,000	16.05	n/a	n/a	50.00	5.0
ZOLIDIS	011	ICON	B	1,000	17.61	n/a	n/a	0.00	0.0
LEDDY	035	ICON	S	1,200	17.50	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		IJR	C	2,850	54.92	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IJR	C	2,900	56.91	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IJR	C	8,500	57.65	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IJS	C	2,800	60.01	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IJS	C	23,300	60.79	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IJS	C	8,350	60.34	n/a	n/a	non-brg	2.0
MORGAN LEWIS/MLH	046	INSU	SS	13,000	11.63	n/a	n/a	650.00	5.0
⌘ BUCK CAPITAL MGMT		IVZ	S	30,000	24.78	20-Dec	17-Jan	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		IVZ	S	37,300	23.40	20-Dec	17-Jan	non-brg	4.0
MAGUIRE	090	IVZ	B	3,000	24.30	20-Dec	17-Jan	0.00	0.0
⌘ BUCK CAPITAL MGMT		IWM	C	10,950	65.16	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IWM	C	159,200	66.46	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IWM	C	38,700	66.08	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IWO	C	10,300	71.03	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JCG	B	10,000	39.33	28-Nov	18-Jan	non-brg	4.0
COUGAR TRADING	044	JCG	B	5,000	38.25	28-Nov	18-Jan	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	39.26	28-Nov	18-Jan	250.00	5.0
APEX CAPITAL MGMT.	091	JCP	S	150,000	42.90	4-Jan	11-Jan	7,500.00	5.0
BUCK CAPITAL MGMT	001	JNY	B	50,000	13.53	n/a	1-Nov	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	10,000	21.63	n/a	n/a	non-brg	2.5
NATIONAL BK OF CAN	031	JPM	B	20,000	41.02	17-Jan	22-Jan	800.00	4.0
CENTURION GLOBAL MGMT LL	041	JWN	S	10,000	32.54	20-Nov	11-Jan	300.00	3.0
⌘ BUCK CAPITAL MGMT		KEG	S	20,000	11.84	29-Oct	14-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KEG	S	27,950	11.91	29-Oct	14-Jan	non-brg	4.0
NATIONAL BK OF CAN	031	KEY	SS	33,500	23.80	n/a	n/a	1,340.00	4.0
MERRILL ASSET	032	KFT	B	397,000	29.63	n/a	n/a	17,865.00	4.5
MS PRINCIPAL STRATEGIES	041	KND	B	9,776	24.95	n/a	n/a	488.80	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	KSS	SS	20,000	43.05	4-Jan	11-Jan	800.00	4.0
ß BUCK CAPITAL MGMT		KSS	SS	20,000	43.05	4-Jan	11-Jan	non-brg	4.0
COUGAR TRADING	044	KSS	B	15,000	41.36	4-Jan	11-Jan	750.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	42.21	4-Jan	11-Jan	250.00	5.0
THIRD POINT MGMT	044	KSS	C	105,000	42.76	4-Jan	11-Jan	4,200.00	4.0
ß BUCK CAPITAL MGMT		KV/A	S	3,700	27.89	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LAMR	S	1,600	40.92	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LAMR	S	6,750	40.81	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LBTYA	S	3,000	37.79	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LBTYK	S	4,000	35.18	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LBTYK	S	5,916	34.95	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LECO	C	2,500	54.54	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		LEG	SS	20,000	15.92	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LEH	S	3,350	52.45	n/a	22-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		LEH	S	4,500	56.15	n/a	22-Jan	non-brg	1.0
LUCHANSKY1	036	LH	SS	200	73.83	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LM	S	5,000	71.65	17-Dec	15-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		LM	S	6,000	70.26	17-Dec	15-Jan	non-brg	4.0
MAGUIRE	090	LOW	B	2,000	22.73	27-Jul	27-Jul	0.00	0.0

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OSS CAPITAL/KEVIN DANN	067	LXK	SS	100,000	28.40	n/a	n/a	3,000.00	3.0
BUCK CAPITAL MGMT	001	MA	B	10,000	169.88	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		MCD	C	100,000	52.46	n/a	24-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	MDC	S	50	35.75	n/a	n/a	2.00	4.0
ß BUCK CAPITAL MGMT		MDTL	C	37,243	9.28	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MDY	C	3,050	132.83	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		MER	S	4,500	54.89	18-Jan	22-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		MER	S	5,050	51.45	18-Jan	22-Jan	non-brg	4.0
N STRATTON	014	MGM	S	198	68.77	n/a	9-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		MIPS	S	20,050	3.59	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MIR	S	10,000	34.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MIR	S	11,989	34.48	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MLHR	C	600	28.03	n/a	n/a	non-brg	1.0
BUCK	015	MON	C	500	99.64	n/a	14-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		MRU+NV	S	55	3.90	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		MSM	S	5,250	36.19	n/a	n/a	non-brg	4.0

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BUCK CAPITAL MGMT	001	MS	S	3,200	46.29	20-Dec	22-Jan	128.00	4.0
⌘ BUCK CAPITAL MGMT		MS	S	2,500	50.00	20-Dec	22-Jan	non-brg	1.0
NATIONAL BK OF CAN	031	MS	SS	21,000	48.96	20-Dec	22-Jan	840.00	4.0
BEAR STEARNS ASSET MGMT.	033	M	B	75,000	24.37	4-Jan	11-Jan	3,000.00	4.0
⌘ BUCK CAPITAL MGMT		M	S	22,300	23.71	4-Jan	11-Jan	non-brg	4.0
CAMBIAR CAP	046	M	B	7,100	23.39	4-Jan	11-Jan	284.00	4.0
COUGAR TRADING	044	M	S	10,000	24.14	4-Jan	11-Jan	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	M	B	20,000	23.46	4-Jan	11-Jan	800.00	4.0
BARR	096	NCC	SS	500	15.65	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		OIH	C	3,300	154.67	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PAG	S	6,400	14.72	n/a	17-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PBG	SS	20,000	37.81	n/a	n/a	non-brg	4.0
CUMBERLAND	038	PCU	SS	35,000	76.71	n/a	n/a	1,400.00	4.0
PATTEN & PATTEN	067	PCX	S	150	33.92	n/a	n/a	7.50	5.0
⌘ BUCK CAPITAL MGMT		PDE	S	2,700	30.04	n/a	n/a	non-brg	4.0
CAXTON PART	040	PEP	C	50,000	68.77	n/a	n/a	1,750.00	3.5
BUCK	015	PEP	S	250	68.82	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		PLCE	S	7,950	15.70	10-Jan	11-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	10,850	35.67	16-Jan	16-Jan	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	PVH	B	15,000	37.28	16-Jan	16-Jan	750.00	5.0
SIGMA CAPITAL ASSOCIATES	237	PVH	SS	25,000	36.89	16-Jan	16-Jan	1,250.00	5.0
WASHINGTON ASSET	048	PVH	B	15,000	36.66	16-Jan	16-Jan	750.00	5.0
PATTEN & PATTEN	067	PWR	B	850	20.07	n/a	n/a	42.50	5.0
COUGAR TRADING	044	PZN	B	10,000	10.14	11-Jan	15-Jan	500.00	5.0
ROYCE TRADING	216	PZN	B	50,000	10.07	11-Jan	15-Jan	2,500.00	5.0
ß BUCK CAPITAL MGMT		RCL	S	2,300	33.20	23-Oct	23-Oct	non-brg	4.0
PATTEN & PATTEN	067	RHHBY	S	1,000	89.10	n/a	n/a	50.00	5.0
CAPITAL GROWTH MGMT.	241	RIO	S	500,000	27.15	n/a	n/a	15,000.00	3.0
ß BUCK CAPITAL MGMT		RL	S	6,250	54.63	14-Jan	14-Jan	non-brg	4.0
DENVER INST. ADV	046	RL	B	100,000	55.99	14-Jan	14-Jan	4,000.00	4.0
MERRILL ASSET	032	RL	B	36,500	55.69	14-Jan	14-Jan	1,642.50	4.5
ß BUCK CAPITAL MGMT		RTH	C	11,200	86.95	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RTH	C	120,000	86.95	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RTH	C	5,700	86.68	n/a	n/a	non-brg	2.0
WHEELER	008	RUSHA	S	3,000	15.69	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RYL	S	2,850	26.11	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SBAC	S	4,200	25.78	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SBAC	S	8,000	25.31	n/a	n/a	non-brg	4.0
BUCK	015	SBUX	B	2,500	18.46	n/a	24-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SDS	S	74,000	69.73	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SDXC	S	8,650	10.44	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHPGY	S	7,950	59.08	2-Nov	17-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		SHPGY	S	9,000	60.77	2-Nov	17-Jan	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	SINA	B	17,000	37.35	n/a	n/a	510.00	3.0
BARR	096	SKF	B	1,500	123.95	n/a	n/a	0.00	0.0
ZOLIDIS	011	SKK	S	500	88.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKT	SS	20,000	35.18	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	B	30,000	17.93	n/a	13-Mar	1,200.00	4.0
ß BUCK CAPITAL MGMT		SKX	B	30,000	17.90	n/a	13-Mar	non-brg	4.0
ZOLIDIS	011	SKX	C	500	17.56	n/a	13-Mar	0.00	0.0
LISTED ERR	099	SNA	B	11,500	38.85	n/a	n/a	0.00	0.0
LISTED ERR	099	SNA	S	11,500	38.90	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	SNDK	B	25,000	27.32	n/a	n/a	1,250.00	5.0
ß BUCK CAPITAL MGMT		SPG	SS	20,000	83.00	n/a	n/a	non-brg	4.0
WHEELER	008	SPNC	S	3,000	13.51	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SPY	C	82,000	129.53	n/a	n/a	non-brg	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	STI	SS	1,000	60.30	n/a	n/a	0.00	0.0
MCCARTAN	028	SUNH	C	200	16.30	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SWN	S	3,000	50.32	14-Jan	14-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		SWN	S	6,550	49.38	14-Jan	14-Jan	non-brg	4.0
COLUMBIA PARTNERS	028	SWN	SS	11,586	50.29	14-Jan	14-Jan	463.44	4.0
LISTED ERR	099	SWN	S	338	50.70	14-Jan	14-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		SYNP	S	12,200	5.55	n/a	n/a	non-brg	4.0
LUCHANSKY1	036	TEVA	S	300	45.72	10-Jan	22-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		TGT	SS	30,000	53.35	27-Jul	27-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		TGT	SS	30,000	53.53	27-Jul	27-Jul	non-brg	4.0
BARON ASSET MGMT*	012	TIF	B	69,400	37.37	14-Jan	17-Jan	3,470.00	5.0
ß BUCK CAPITAL MGMT		TIN	S	6,000	16.76	8-Jan	8-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		TIN	S	7,650	16.39	8-Jan	8-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		TOL	S	3,050	17.71	n/a	n/a	non-brg	4.0
J L F ASSET MGMT	039	TPX	B	57,100	23.92	n/a	n/a	2,284.00	4.0
PRINCIPLE CAPITAL	040	TPX	B	164,200	23.08	n/a	n/a	4,926.00	3.0
ß BUCK CAPITAL MGMT		TWB	S	5,400	28.48	9-Jan	9-Jan	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	TWB	B	12,400	28.77	9-Jan	9-Jan	372.00	3.0
ZOLIDIS	011	TWM	S	400	94.07	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TWTC	S	17,000	18.06	9-Nov	4-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		TWTC	S	24,000	17.88	9-Nov	4-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		T	C	4,700	34.63	n/a	4-Jan	non-brg	1.0
MERRILL LYNCH STRATEGICI	034	UA	SS	20,000	30.15	n/a	n/a	800.00	4.0
ZOLIDIS	011	UA	C	300	30.10	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		URBN	B	10,000	23.99	3-Jan	18-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	19,000	24.19	3-Jan	18-Jan	non-brg	4.0
SINCLAIR	031	UTXCN	B	25	1.90	n/a	n/a	0.00	0.0
METROPOLITAN CAPITAL	044	VCLK	B	38,700	19.95	n/a	n/a	1,935.00	5.0
ß BUCK CAPITAL MGMT		VC	S	23,600	3.26	n/a	18-Jan	non-brg	3.0
MCCARTAN	028	VC	B	800	3.30	n/a	18-Jan	0.00	0.0
SINCLAIR	031	VC	B	2,500	3.25	n/a	18-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		VIRL	S	23,000	6.93	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		VNO	SS	20,000	83.18	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		VZ	C	5,300	37.55	21-Sep	4-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		WBC	S	2,300	42.32	n/a	12-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		WBC	S	4,880	41.58	n/a	12-Dec	non-brg	4.0
MERRILL ASSET	032	WBS	B	13,100	26.37	n/a	n/a	589.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHEELER	008	WB	B	3,000	32.04	n/a	n/a	0.00	0.0
SCOPUS FUND	041	WDR	B	50,000	29.85	21-Nov	15-Jan	2,500.00	5.0
STADIA CAPITAL	035	WFMI	B	50,000	35.32	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		WHR	SS	30,000	70.72	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	WMI	S	1,500	29.15	n/a	n/a	75.00	5.0
ß BUCK CAPITAL MGMT		WRNC	S	5,500	31.72	14-Jan	14-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		WSO	C	1,900	31.86	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WSO	S	5,450	31.89	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	WWY	SS	10,000	57.73	n/a	n/a	400.00	4.0
BUCK	015	WYE	C	800	42.11	n/a	31-Mar	0.00	0.0
ß BUCK CAPITAL MGMT		XLE	C	10,900	65.84	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XLF	C	20,900	25.15	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XLF	C	23,900	24.83	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XLF	C	50,000	24.93	n/a	n/a	non-brg	2.0
NATIONAL BK OF CAN	031	XLF	B	50,000	26.02	n/a	n/a	2,000.00	4.0
NATIONAL BK OF CAN	031	XLF	SS	50,000	25.82	n/a	n/a	2,000.00	4.0
GOLDMAN SACHS ASSET MGMT	044	XRX	S	125,000	13.22	n/a	n/a	2,500.00	2.0
BUCK	015	YUM	B	300	33.00	n/a	24-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	ZQK	B	10,000	7.16	23-Jan	16-Jan	400.00	4.0
⌘ BUCK CAPITAL MGMT		ZQK	B	10,000	7.24	23-Jan	16-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ZQK	S	8,300	7.25	23-Jan	16-Jan	non-brg	4.0
COUGAR TRADING	044	ZQK	B	5,000	7.03	23-Jan	16-Jan	250.00	5.0
⌘ BUCK CAPITAL MGMT		ZUMZ	S	45,200	16.23	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		ZUMZ	S	60	16.27	n/a	n/a	non-brg	1.0
TOTAL (Trade Date):							264,384.31		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	01/18	KORMAN	003	ABK	B	2,000	22.50	n/a	n/a	0.00	0.0
AO,CXL	01/17	KORMAN	003	ABK	S	4,000	10.91	n/a	n/a	0.00	0.0
AO,CRT	01/17	KORMAN	003	ABK	S	4,000	10.91	n/a	n/a	0.00	0.0
AO,CXL	01/16	THOMSON HORSTMAN BRYANT	040	ARO	B	100	23.68	29-Nov	11-Jan	5.00	5.0
AO,CRT	01/16	THOMSON HORSTMAN BRYANT	040	ARO	B	100	23.68	29-Nov	11-Jan	5.00	5.0
AO,CRT	01/17	GLENHILL CAPITAL	038	DHI	S	199,500	11.70	n/a	n/a	9975.00	5.0
AO,CXL	01/17	GLENHILL CAPITAL	038	DHI	S	199,500	11.70	n/a	n/a	9975.00	5.0
AO,CRT	01/17	KORMAN	003	MBI	S	3,000	11.72	n/a	n/a	0.00	0.0
AO,CXL	01/17	KORMAN	003	MBI	S	3,000	11.72	n/a	n/a	0.00	0.0
AO,CRT	01/18	LISTED ERR	099	MER	B	50,000	50.00	18-Jan	22-Jan	0.00	0.0
AO,CXL	01/18	STRONG/WELL HOWARD	038	MER	B	50,000	50.00	18-Jan	22-Jan	0.00	0.0
AO,CXL	01/18	SUNOVA CAPITAL	031	PZN	SS	20,000	9.47	11-Jan	15-Jan	1000.00	5.0
AO,CRT	01/18	SUNOVA CAPITAL	031	PZN	SS	20,000	9.47	11-Jan	15-Jan	800.00	4.0
AO,CRT	01/18	ACK ASSET	028	WDR	SS	5,000	30.42	21-Nov	15-Jan	250.00	5.0
AO,CXL	01/18	ACK ASSET	028	WDR	SS	5,000	30.42	21-Nov	15-Jan	0.00	0.0
AO,CRT	01/18	ROTHSCHILD ASSET	040	WGL	B	890	32.58	n/a	n/a	40.05	4.5
AO,CXL	01/18	ROTHSCHILD ASSET	040	WGL	B	890	32.58	n/a	n/a	40.05	4.5
AO,	01/18	GOLDMAN SACHS ASSET MGMT	044	XRX	S	220,000	13.26	n/a	n/a	3300.00	1.5
TOTAL (AO, CXL, CRT) :										3,350.00	

TOTAL (Trade Date):	264,384.31
TOTAL (AO, CXL, CRT):	3,350.00

TOTAL (RUN DATE) / NFS:	267,734.31
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MRI Securities with Trade Activity on 22-Jan. ETN, GIL

MRI Securities without Trade Activity on 22-Jan. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 15% YTD = 29%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION