

Daily Compliance Activity Report Trade Date: 03/02/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
TRIBECA	038	AEOS	В	7,600	30.08	4-Jan	1-Mar	304.00	4.0
T. ROWE PRICE	033	AMG	В	11,200	107.68	25-Jan	28-Feb	448.00	4.0
TRIBECA	038	AMZN	SS	4,400	38.08	n/a	n/a	176.00	4.0
BUCK CAPITAL MGMT	001	ANF	В	35,000	75.92	2-Feb	22-Feb	1,400.00	4.0
LEDDY	035	ANN	S	500	35.19	5-Jan	16-Feb	0.00	0.0
LEDDY	035	APC	В	400	39.50	27-Feb	27-Feb	0.00	0.0
TRIBECA	038	APOL	В	5,700	46.61	n/a	n/a	228.00	4.0
TRIBECA	038	ARO	В	9,400	36.01	23-Oct	9-Feb	376.00	4.0
WYCKOFF	013	AVP	S	300	37.05	7-Feb	28-Feb	0.00	0.0
SCHEINER	037	AVZ	S	3,500	23.08	13-Feb	28-Feb	0.00	0.0
BUCK	015	BAC	S	650	50.18	11-Jan	28-Feb	0.00	0.0
COUGAR TRADING	044	BBW	В	10,000	26.59	8-Jan	21-Feb	500.00	5.0
SCHEINER	037	BKC	С	2,000	20.79	30-Jan	30-Jan	0.00	0.0
DAVIDSON KEMPNER ADVISOR	217	BMET	В	55,000	42.32	n/a	n/a	2,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
COUGAR TRADING	044	BONT	SS	10,000	48.18	9-Feb	9-Feb	500.00	5.0
TRIBECA/DOUG	040	BRO	В	10,000	27.60	n/a	n/a	400.00	4.0
SANDLER O'NEILL ASSET	031	BSC	В	2,900	147.97	15-Dec	28-Feb	145.00	5.0
TRIBECA	038	BUD	В	6,400	48.48	n/a	n/a	256.00	4.0
BUCK CAPITAL MGMT	001	BWS	В	10,000	50.06	n/a	n/a	400.00	4.0
BARR	096	ccc	s	2,000	6.60	n/a	n/a	0.00	0.0
HERMES	048	CCMP	S	12,000	31.85	n/a	2-Mar	600.00	5.0
MANNING & NAPIER	034	CCMP	S	25,000	31.86	n/a	2-Mar	1,000.00	4.0
HIGHFIELDS CAP MGMT	039	CCU	В	50,000	36.00	n/a	n/a	2,500.00	5.0
LEDDY	035	CC	В	500	17.89	20-Dec	23-Feb	0.00	0.0
SCHEINER	037	CC	S	1,500	18.24	20-Dec	23-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	CLE	s	250,500	31.83	3-Nov	23-Feb	10,020.00	4.0
DAVIDSON KEMPNER ADVISOR	217	CMX	В	70,000	60.88	n/a	n/a	3,500.00	5.0
CAPITAL GROWTH MGMT.	241	СОН	В	30,000	47.76	23-Feb	23-Feb	1,500.00	5.0
TRIBECA	038	CPO	В	9,100	31.15	n/a	n/a	364.00	4.0
GALLEON MGMT LP	033	CSX	S	5,000	36.23	n/a	n/a	250.00	5.0

Account	RR #	Symbol	в/s	5	Shares	Price	MRI	Research	Comm.	Shr.	
DAVIDSON KEMPNER ADVISOR	217	CVS		SS	38,500	31.05	n/a	n/a	1,925.00	5.0	
LEDDY	035	DIS		S	400	34.00	n/a	n/a	0.00	0.0	
BUCK CAPITAL MGMT	001	DKS	В		5,000	50.94	n/a	n/a	200.00	4.0	
CIBC ADV.	060	EDS		S	15,000	27.61	n/a	n/a	600.00	4.0	
CIBC ADV.	060	EK		S	10,000	23.77	n/a	n/a	400.00	4.0	
DAVIDSON KEMPNER ADVISOR	217	ESRX		SS	14,000	73.69	n/a	n/a	700.00	5.0	
WAGONHOUND	048	EWS		S	43,400	11.48	n/a	n/a	1,736.00	4.0	
BUCK CAPITAL MGMT	001	GCO	В		5,000	38.72	n/a	10-Jan	200.00	4.0	
CIBC ADV.	060	GENZ		S	15,000	60.89	n/a	n/a	600.00	4.0	
BUCK CAPITAL MGMT	001	GES	В		5,000	80.02	n/a	n/a	200.00	4.0	
BUCK CAPITAL MGMT	001	GPS	В		20,000	18.52	n/a	2-Mar	800.00	4.0	
MERRILL ASSET	032	GS		S	114,200	199.26	13-Dec	28-Feb	5,710.00	5.0	
SCHEINER	037	GWW		S	650	76.87	26-Jan	26-Jan	0.00	0.0	
PROSPECTOR PARTNERS LLC	036	HE	В		53,600	26.20	n/a	n/a	2,144.00	4.0	
LUCHANSKY	036	нма	В		500	10.28	22-Feb	22-Feb	0.00	0.0	
	DAVIDSON KEMPNER ADVISOR LEDDY BUCK CAPITAL MGMT CIBC ADV. CIBC ADV. DAVIDSON KEMPNER ADVISOR WAGONHOUND BUCK CAPITAL MGMT CIBC ADV. BUCK CAPITAL MGMT BUCK CAPITAL MGMT MERRILL ASSET SCHEINER PROSPECTOR PARTNERS LLC	DAVIDSON KEMPNER ADVISOR 217 LEDDY 035 BUCK CAPITAL MGMT 001 CIBC ADV. 060 DAVIDSON KEMPNER ADVISOR 217 WAGONHOUND 048 BUCK CAPITAL MGMT 001 CIBC ADV. 060 BUCK CAPITAL MGMT 001 BUCK CAPITAL MGMT 001 BUCK CAPITAL MGMT 001 BUCK CAPITAL MGMT 001 PROSPECTOR PARTNERS LLC 036	DAVIDSON KEMPNER ADVISOR 217 CVS LEDDY 035 DIS BUCK CAPITAL MGMT 001 DKS CIBC ADV. 060 EDS CIBC ADV. 060 EK DAVIDSON KEMPNER ADVISOR 217 ESRX WAGONHOUND 048 EWS BUCK CAPITAL MGMT 001 GCO CIBC ADV. 060 GENZ BUCK CAPITAL MGMT 001 GES BUCK CAPITAL MGMT 001 GPS MERRILL ASSET 032 GS SCHEINER 037 GWW PROSPECTOR PARTNERS LLC 036 HE	DAVIDSON KEMPNER ADVISOR 217 CVS LEDDY 035 DIS BUCK CAPITAL MGMT 001 DKS B CIBC ADV. 060 EDS CIBC ADV. 060 EK DAVIDSON KEMPNER ADVISOR 217 ESRX WAGONHOUND 048 EWS BUCK CAPITAL MGMT 001 GCO B CIBC ADV. 060 GENZ BUCK CAPITAL MGMT 001 GES B BUCK CAPITAL MGMT 001 GES B BUCK CAPITAL MGMT 001 GPS B SCHEINER 037 GWW PROSPECTOR PARTNERS LLC 036 HE B	DAVIDSON KEMPNER ADVISOR 217 CVS SS LEDDY 035 DIS S BUCK CAPITAL MGMT 001 DKS B CIBC ADV. 060 EDS S CIBC ADV. 060 EK S DAVIDSON KEMPNER ADVISOR 217 ESRX SS WAGONHOUND 048 EWS S BUCK CAPITAL MGMT 001 GCO B CIBC ADV. 060 GENZ S BUCK CAPITAL MGMT 001 GES B BUCK CAPITAL MGMT 001 GES B BUCK CAPITAL MGMT 001 GPS B SCHEINER 037 GWW S PROSPECTOR PARTNERS LLC 036 HE B	DAVIDSON KEMPNER ADVISOR 217 CVS SS 38,500 LEDDY 035 DIS S 400 BUCK CAPITAL MGMT 001 DKS B 5,000 CIBC ADV. 060 EDS S 15,000 CIBC ADV. 060 EK S 10,000 DAVIDSON KEMPNER ADVISOR 217 ESRX SS 14,000 WAGONHOUND 048 EWS S 43,400 BUCK CAPITAL MGMT 001 GCO B 5,000 BUCK CAPITAL MGMT 001 GES B 5,000 BUCK CAPITAL MGMT 001 GPS B 20,000 MERRILL ASSET 032 GS S 114,200 SCHEINER 037 GWW S 650 PROSPECTOR PARTNERS LLC 036 HE B 53,600	DAVIDSON KEMPNER ADVISOR 217 CVS SS 38,500 31.05 LEDDY 035 DIS S 400 34.00 BUCK CAPITAL MGMT 001 DKS B 5,000 50.94 CIBC ADV. 060 EDS S 15,000 27.61 CIBC ADV. 060 EK S 10,000 23.77 DAVIDSON KEMPNER ADVISOR 217 ESRX SS 14,000 73.69 WAGONHOUND 048 EWS S 43,400 11.48 BUCK CAPITAL MGMT 001 GCO B 5,000 38.72 CIBC ADV. 060 GENZ S 15,000 60.89 BUCK CAPITAL MGMT 001 GES B 20,000 18.52 MERRILL ASSET 032 GS S 114,200 199.26 SCHEINER 037 GWW S 650 76.87 PROSPECTOR PARTNERS LLC 036 HE B 53,600	DAVIDSON KEMPNER ADVISOR 217 CVS SS 38,500 31.05 n/a LEDDY 035 DIS S 400 34.00 n/a BUCK CAPITAL MGMT 001 DKS B 5,000 50.94 n/a CIBC ADV. 060 EDS S 15,000 27.61 n/a CIBC ADV. 060 EK S 10,000 23.77 n/a DAVIDSON KEMPNER ADVISOR 217 ESRX SS 14,000 73.69 n/a WAGONHOUND 048 EWS S 43,400 11.48 n/a BUCK CAPITAL MGMT 001 GCO B 5,000 38.72 n/a BUCK CAPITAL MGMT 001 GES B 5,000 80.02 n/a BUCK CAPITAL MGMT 001 GES B 20,000 18.52 n/a BUCK CAPITAL MGMT 001 GFS B 20,000 18.52 n/a MERRILL ASSET 032 GS S 114,200 199.26 13-Dec SCHEINER 037 GWW S 650 76.87 26-Jan PROSPECTOR PARTNERS LLC 036 HE B 53,600 26.20 n/a	DAVIDSON KEMPNER ADVISOR 217 CVS SS 38,500 31.05 n/a n/a LEDDY 035 DIS S 400 34.00 n/a n/a BUCK CAPITAL MGMT 001 DKS B 5,000 50.94 n/a n/a CIBC ADV. 060 EDS S 15,000 27.61 n/a n/a CIBC ADV. 060 EK S 10,000 23.77 n/a n/a WAGONHOUND 048 EWS S 14,000 73.69 n/a n/a BUCK CAPITAL MGMT 001 GCO B 5,000 38.72 n/a 10-Jan CIBC ADV. 060 GENZ S 15,000 60.89 n/a n/a BUCK CAPITAL MGMT 001 GES B 5,000 80.02 n/a n/a BUCK CAPITAL MGMT 001 GFS B 20,000 18.52 n/a 2-Mar MERRILL ASSET	DAVIDSON KEMPNER ADVISOR 217 CVS SS 38,500 31.05 n/a n/a 1,925.00 LEDDY 035 DIS S 400 34.00 n/a n/a 0.00 BUCK CAPITAL MGMT 001 DKS B 5,000 50.94 n/a n/a 200.00 CIBC ADV. 060 EDS S 15,000 27.61 n/a n/a 600.00 CIBC ADV. 060 EK S 10,000 23.77 n/a n/a 400.00 DAVIDSON KEMPNER ADVISOR 217 ESRX SS 14,000 73.69 n/a n/a 700.00 BUCK CAPITAL MGMT 001 GCO B 5,000 38.72 n/a n/a 10-Jan 200.00 BUCK CAPITAL MGMT 001 GES B 5,000 80.02 n/a n/a 600.00 BUCK CAPITAL MGMT 001 GES B 5,000 80.02 n/a n/a 200.00 BUCK CAPITAL MGMT 001 GFS B 20,000 18.52 n/a 2-Mar 800.00 BUCK CAPITAL MGMT 032 GS S 114,200 199.26 13-Dec 28-Peb 5,710.00 SCHEINER 037 GWW S 650 76.87 26-Jan 26-Jan 0.00	DAVIDSON KEMPNER ADVISOR 217 CVS SS 38,500 31.05 n/a n/a 1,925.00 5.0 LEDDY 035 DIS S 400 34.00 n/a n/a 0.00 0.0 BUCK CAPITAL MGMT 001 DKS B 5,000 50.94 n/a n/a n/a 200.00 4.0 CIBC ADV. 060 EDS S 15,000 27.61 n/a n/a n/a 600.00 4.0 CIBC ADV. 060 EK S 10,000 23.77 n/a n/a n/a 400.00 4.0 DAVIDSON KEMPNER ADVISOR 217 ESRX SS 14,000 73.69 n/a n/a n/a 700.00 5.0 WAGONHOUND 048 EWS S 43,400 11.48 n/a n/a n/a 1,736.00 4.0 BUCK CAPITAL MGMT 001 GCO B 5,000 38.72 n/a 10-jan 200.00 4.0 CIBC ADV. 060 GENZ S 15,000 60.89 n/a n/a 600.00 4.0 BUCK CAPITAL MGMT 001 GES B 5,000 80.02 n/a n/a 2-Mar 800.00 4.0 BUCK CAPITAL MGMT 001 GES B 20,000 18.52 n/a 2-Mar 800.00 4.0 BUCK CAPITAL MGMT 010 GES B 114,200 199.26 13-Dec 28-Feb 5,710.00 5.0 SCHEINER 036 HE B 53,600 26.20 n/a n/a 2-Jan 0.00 0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
BUCK	015	IBN	В	700	37.79	n/a	n/a	0.00	0.0
WHEELER	008	INTC	В	15,000	19.48	n/a	n/a	0.00	0.0
WAGONHOUND	048	ISE	В	7,000	45.30	26-0ct	1-Mar	280.00	4.0
BUCK CAPITAL MGMT	001	JCG	В	5,000	35.93	25-Jan	25-Jan	200.00	4.0
COUGAR TRADING	044	JCG	S	15,000	35.85	25-Jan	25-Jan	750.00	5.0
BUCK CAPITAL MGMT	001	JCP	В	10,000	79.32	23-Feb	23-Feb	400.00	4.0
EGS ASSOCIATES LP	091	JNY	В	3,000	31.97	n/a	15-Feb	180.00	6.0
CATAPULT	040	JPM	В	15,000	48.42	n/a	16-Feb	750.00	5.0
ROSENBERG	034	KCP	В	300	25.11	n/a	28-Feb	0.00	0.0
GALLEON MGMT LP	033	KNL	SS	10,000	23.49	n/a	n/a	500.00	5.0
J L F ASSET MGMT	039	LTD	SS	23,100	26.58	2-Mar	2-Mar	924.00	4.0
SCHEINER	037	LVLT	S	3,200	6.41	n/a	12-Feb	0.00	0.0
WHEELER	008	MAG	В	10,000	4.85	n/a	n/a	0.00	0.0
LEDDY	035	MIR	В	263	37.25	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	MLHR	SS	5,000	37.56	n/a	n/a	250.00	5.0
SCHEINER	037	MLI	S	1,500	29.41	6-Feb	28-Feb	0.00	0.0

Account	RR #	Symbol	в/:	s	Shares	Price	MRI	Research	Comm.	¢/Shr
SINCLAIR	031	MRK		s	200	44.25	n/a	n/a	0.00	0.0
GRISANTI BROWN & PARTNER	048	MU	В		20,000	12.02	n/a	n/a	1,000.00	5.0
CIBC ADV.	060	MYL		SS	100,000	19.84	n/a	28-Feb	4,000.00	4.0
BUCK CAPITAL MGMT	001	NLS	В		5,000	16.90	n/a	n/a	200.00	4.0
SCHEINER	037	ОХМ		s	1,000	48.50	n/a	n/a	0.00	0.0
S.A.C. CAPITAL MGMT.	044	PKG	В		25 , 000	24.83	27-0ct	24-Jan	1,250.00	5.0
COUGAR TRADING	044	PSUN	В		5,000	18.04	n/a	21-Feb	250.00	5.0
J L F ASSET MGMT	039	PSUN		SS	34,400	17.82	n/a	21-Feb	1,376.00	4.0
SCHEINER	037	QID	В		4,000	56.56	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	RSH		S	18,700	24.73	n/a	n/a	748.00	4.0
GLG PARTNERS	034	SBUX	В		75,000	30.06	n/a	1-Feb	3,750.00	5.0
BINDER	007	SBUX	С		200	30.08	n/a	1-Feb	0.00	0.0
DENVER INST. ADV	046	SCRX	В		85 , 300	22.52	20-Dec	27-Feb	4,265.00	5.0
GALLEON MGMT LP	033	SCS		SS	10,000	19.09	n/a	n/a	500.00	5.0
BINDER	007	SDS	В		100	60.55	n/a	n/a	0.00	0.0
LEDDY	035	SDS	В		600	61.36	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	SPY	В		14,000	140.35	n/a	n/a	700.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
BUCK CAPITAL MGMT	001	SSI	В	20,000	21.41	26-Feb	26-Feb	800.00	4.0
ROSENBERG	034	TBL	В	300	26.71	15-Nov	9-Feb	0.00	0.0
SCHEINER	037	THC	S	2,000	6.38	n/a	27-Feb	0.00	0.0
BULL PATH CAPITAL	044	TROW	В	17,500	45.87	16-Oct	28-Feb	875.00	5.0
GRISANTI BROWN & PARTNER	048	UAUA	В	18,800	40.48	n/a	n/a	940.00	5.0
BALYASNY ASSET MGMT	044	WDR	В	30,000	23.54	12-Jan	28-Feb	1,500.00	5.0
EGS ASSOCIATES LP	091	WRNC	S	9,364	25.71	18-Jan	27-Feb	561.84	6.0
AMBER CAPITAL	046	ZLC	В	40,000	26.61	n/a	n/a	2,000.00	5.0
WYCKOFF	013	ZQK	S	200	13.86	9-Feb	1-Mar	0.00	0.0

TOTAL (Trade Date): 71,781.84

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	3	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	03/01	LISTED ERR (LISTED ERR)	099	BBY		s :	10,000	45.27	13-Dec	21-Feb	0.00	0.0
AO,CXL	03/01	CIBC ADV. (CIBCCIBC W)	060	BBY		S .	50,000	45.27	13-Dec	21-Feb	2500.00	5.0
AO, CRT	03/01	CIBC ADV. (CIBCCIBC W)	060	BBY		S .	50,000	45.27	13-Dec	21-Feb	2000.00	4.0
AO,CXL	02/27	SOMERSET ADV (SOMEMAINUB)	044	GLW	В	:	26,485	20.50	n/a	2-Mar	1324.25	5.0
O,CRT	02/27	SOMERSET ADV (SOMEMAINUB)	044	GLW	В	:	26,485	20.50	n/a	2-Mar	1059.40	4.0
O, CXL	03/01	MKT MAKER (MKT MAKER)	099	JCG	В		83	35.88	25-Jan	25-Jan	0.00	0.0
O, CRT	03/01	MKT MAKER (MKT MAKER)	099	JCG	В		83	35.88	25-Jan	25-Jan	0.00	0.0
O,CXL	03/01	MKT MAKER (MKT MAKER)	099	JCG		S	83	35.88	25-Jan	25-Jan	0.00	0.0
O, CRT	03/01	MKT MAKER (MKT MAKER)	099	JCG		S	83	35.88	25-Jan	25-Jan	0.00	0.0
O,CXL	03/01	STRAUSS ASSET MGMT (STRAMS)	040	TIN	В		10,000	59.19	26-Feb	26-Feb	500.00	5.0
		WILLIAM TRADING/DOUG R (WTDR)	040	TIN	В		10,000	59.19	26-Feb	26-Feb	400.00	4.0

TOTAL (AO, CXL, CRT): TOTAL (RUN DATE) / NFS:	-864.85
TOTAL (And CYL CRT):	71,781.84

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION