



RR Commissions Summary [Chris Cattani]

Trade Date: 06/25/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	06/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (41)	041	0	0	0	0	0	0	0	0	0	0	13,476	0
ARMATURE CAPITAL	041	0	0	0	30,800	6,375	6,375	6,375	6,375	6,375	37,175	90,192	41
BLACK CAPITAL(CALAMOS ASSET)	041	0	0	0	0	0	0	5,000	0	0	5,000	0	0
BRANT POINT CAPITAL	041	0	0	0	0	0	0	10,000	0	0	10,000	10,000	100
BTIG/QUENTEC	041	0	0	0	3,360	0	0	0	0	0	3,360	0	0
CADIAN CAPITAL	041	0	0	0	0	0	0	0	0	0	0	11,550	0
CAERUS GLOBAL	041	0	2,350	14,369	29,063	0	0	0	2,350	14,369	29,063	61,691	47
CENTURION PARTNERS	041	0	3,400	8,800	17,240	0	0	0	3,400	8,800	17,240	46,987	37
COBALT	041	0	5,908	17,908	43,308	0	0	0	5,908	17,908	43,308	103,803	42
COHE & STEERS	041	0	57,035	65,804	75,722	0	0	0	57,035	65,804	75,722	74,414	102
COLUMBIA MGMT	041	0	0	0	0	0	4,807	10,762	0	4,807	10,762	5,875	183
CORVEX CAPITAL	041	0	822	11,112	21,792	0	0	0	822	11,112	21,792	31,016	70
CR INTRINSIC/CHRIS C	041	0	4,350	15,350	24,627	0	0	0	4,350	15,350	24,627	3,826	644
CSFB GLOBAL PROPRIETARY	041	0	84,639	141,266	248,703	0	0	0	84,639	141,266	248,703	425,383	58
DECADE CAPITAL (ARETE INV) CHRIS C	041	0	0	0	10,410	9,850	10,209	10,209	9,850	10,209	20,619	7,963	259
FIRST NY SEC/CHRIS	041	0	1,000	12,237	21,987	0	0	0	1,000	12,237	21,987	34,239	64
GALT INVESTMENT	041	0	2,500	3,555	17,747	0	0	0	2,500	3,555	17,747	19,472	91
GREENLIGHT CAPITAL	041	0	0	0	0	0	25,000	25,000	0	25,000	25,000	25,000	100
HALCYON LLC	041	0	5,000	22,446	34,680	0	0	0	5,000	22,446	34,680	26,157	133
HIGHBRIDGE CAP	041	0	5,042	20,458	36,264	0	0	0	5,042	20,458	36,264	121,287	30
IONIC CAPITAL MANAGEMENT	041	0	5,906	5,906	6,657	0	0	0	5,906	5,906	6,657	0	0
JAT CAPITAL MANAGEMENT	041	0	0	0	0	0	0	0	0	0	0	75,412	0
JET CAPITAL/CHRIS	041	0	13,074	23,070	39,107	0	0	0	13,074	23,070	39,107	59,192	66
KAM ADVISORS LLC	041	0	12,207	38,166	66,318	0	0	0	12,207	38,166	66,318	89,699	74
KINGDON ASSET MGMT	041	0	0	16,000	30,200	0	0	0	0	16,000	30,200	117,902	26
LEVIN CAPITAL STRATEGIES	041	0	8,901	30,127	64,210	0	0	0	8,901	30,127	64,210	85,638	75
LUXOR CAPITAL	041	0	0	0	0	0	6,250	12,500	0	6,250	12,500	18,750	67
MARSHALL WACE	041	0	0	0	0	10,000	15,000	15,000	10,000	15,000	15,000	57,500	26
MILLENIUM CATTANI	041	0	0	0	10,220	0	0	0	0	0	10,220	0	0
MOORE CAPITAL	041	0	0	0	12,190	0	0	0	0	0	12,190	63,167	19
OMEGA ADVISORS	041	0	0	40,600	51,720	0	0	0	0	40,600	51,720	113,355	46
P.SCHOENFELD ASSET MGMT LLC	041	0	0	11,560	17,560	0	0	0	0	11,560	17,560	12,773	137
PAULSON & CO INC	041	0	8,094	13,299	19,891	0	0	0	8,094	13,299	19,891	41,600	48
RATAN CAPITAL MGMT LP	041	0	0	0	1,800	0	0	0	0	0	1,800	318	566
SCHOTTENFELD ASSOC	041	0	0	750	3,750	0	0	0	0	750	3,750	3,450	109
SCOGGIN LLC	041	0	8,827	8,827	8,827	0	0	0	8,827	8,827	8,827	0	0
SCOPUS FUND	041	1,600	47,287	90,285	200,040	0	0	0	47,287	90,285	200,040	386,840	52
SIGMA CAPITAL ASSOCIATES	041	0	14,250	57,042	103,684	0	0	0	14,250	57,042	103,684	56,753	183
SIMMONS VENTURES	041	0	0	0	400	0	0	0	0	0	400	52,868	1

TEMAGAMI CAPITAL	041	0	0	0	536	0	0	0	0	0	536	9,832	5
THREE G	041	0	0	6,049	16,013	0	0	0	0	6,049	16,013	0	0
TIGER	041	0	0	0	0	0	0	15,000	0	0	15,000	0	0
TIGER GLOBAL	041	0	0	0	0	0	0	0	0	0	0	48,500	0
TOURBILLION CAPITAL	041	0	0	0	0	0	10,000	10,000	0	10,000	10,000	0	0
TOURMALINE PARTNERS CHRIS	041	0	0	0	450	0	0	0	0	0	450	3,450	13
VELAZQUEZ/MILLENNIUM	041	0	0	0	1,600	2,269	20,359	20,359	2,269	20,359	21,959	0	0
TOTALS:		1,600	290,589	674,985	1,270,874	28,494	98,000	140,205	319,083	772,985	1,411,079		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	06/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (251)	251	0	0	0	0	0	0	0	0	0	0	-13,476	0
CAISSE DEPOT PLACEMENT Q	295	0	600	17,997	17,997	0	0	0	600	17,997	17,997	12,444	0
GUGGENHEIM GLOBAL TRADING (GGT)	251	0	8,080	21,083	49,784	0	0	0	8,080	21,083	49,784	23,170	0
LONE PINE MGMT	257	0	0	0	0	12,000	12,000	32,000	12,000	12,000	32,000	7,500	427
LORD ABBETT & COMPANY	098	0	0	0	0	0	0	0	0	0	0	0	0
MOORE CAPITAL	257	0	1,500	9,052	9,052	0	0	0	1,500	9,052	9,052	63,167	19
SURVEYOR CAPITAL	251	0	857	9,348	13,518	0	60,000	60,000	857	69,348	73,518	191,311	31
TOTALS:		0	11,037	57,479	90,351	12,000	72,000	92,000	23,037	129,479	182,351		

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