



## Daily Compliance Activity Report

Trade Date: 02/26/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KEEFE BRUYETTE WOODS A	031	AB	S	4,300	88.17	24-Jan	26-Feb	215.00	5.0
WHITE PINE CAPITAL	030	ADP	B	500	51.26	n/a	n/a	25.00	5.0
WHITE PINE CAPITAL	030	AIG	B	500	68.70	n/a	n/a	25.00	5.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	38,000	7.66	n/a	n/a	1,520.00	4.0
SUN AMERICA ASSET MGMT	030	AMTD	B	42,700	16.31	n/a	n/a	2,135.00	5.0
JP MORGAN VENTURES	046	ANF	C	7,000	78.66	2-Feb	22-Feb	350.00	5.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>ANF</b>	<b>SS</b>	<b>100</b>	<b>78.75</b>	<b>2-Feb</b>	<b>22-Feb</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ANN	S	10,000	35.47	5-Jan	16-Feb	500.00	5.0
WHITE PINE CAPITAL	030	APA	B	200	70.89	2-Feb	2-Feb	10.00	5.0
WHITE PINE CAPITAL	030	BAC	B	500	52.80	11-Jan	16-Feb	25.00	5.0
<b>JACOB</b>	<b>068</b>	<b>BARE</b>	<b>B</b>	<b>300</b>	<b>35.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAILFISH	037	BBBY	SS	20,000	42.38	n/a	n/a	1,000.00	5.0
WHITTIER CAP	048	BBY	B	1,060	48.60	13-Dec	21-Feb	53.00	5.0
BALYASNY ASSET MGMT	044	BEN	SS	10,000	122.08	9-Nov	26-Feb	500.00	5.0
WHITE PINE CAPITAL	030	BRL	B	200	56.52	26-Jan	26-Feb	10.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUN AMERICA ASSET MGMT	030	CB	S	6,200	52.85	n/a	n/a	310.00	5.0
IMPALA ASSET MMT	012	CCL	S	100,000	47.37	n/a	26-Jan	3,000.00	3.0
BLUE HARBOR	036	CC	B	21,100	19.99	20-Dec	23-Feb	1,055.00	5.0
WHITTIER CAP	048	CC	B	12,205	19.99	20-Dec	23-Feb	610.25	5.0
<b>CROWLEY</b>	<b>061</b>	<b>CEI</b>	<b>B</b>	<b>1,000</b>	<b>20.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	CNB	S	50,000	26.14	n/a	n/a	2,500.00	5.0
WHITE PINE CAPITAL	030	CSCO	B	700	27.20	n/a	22-Mar	35.00	5.0
SAILFISH	037	CVS	B	10,600	32.30	n/a	n/a	530.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>CXTI</b>	<b>B</b>	<b>3,000</b>	<b>5.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY4</b>	<b>036</b>	<b>DCTH</b>	<b>B</b>	<b>10,000</b>	<b>4.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>DCTH</b>	<b>B</b>	<b>7,000</b>	<b>4.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FARBER/WEISS PECK	012	DDS	SS	14,000	35.11	n/a	n/a	700.00	5.0
TRELLUS PARTNERS LP	028	DPZ	B	24,700	31.73	23-Feb	23-Feb	1,235.00	5.0
DAVIDSON KEMPNER ADVISOR	217	D	B	50,000	87.52	n/a	n/a	2,500.00	5.0
INTEGRITY CAPITAL MGMT	039	EBAY	S	10,000	33.33	n/a	n/a	300.00	3.0
LOOMIS SALES/BOSTON	036	ETH	C	48,100	37.69	n/a	n/a	1,924.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IMPALA ASSET MMT	012	EXP	S	101,300	48.13	n/a	n/a	3,039.00	3.0
IMPALA ASSET MMT	012	FCX	S	133,700	60.67	n/a	n/a	4,011.00	3.0
BALYASNY ASSET MGMT	044	FII	SS	20,000	36.89	n/a	26-Feb	1,000.00	5.0
WHITE PINE CAPITAL	030	FSII	B	1,000	5.18	n/a	n/a	50.00	5.0
IMPALA ASSET MMT	012	GBX	B	100,000	31.02	n/a	n/a	3,000.00	3.0
BUCK CAPITAL MGMT	001	GCO	S	10,000	41.60	n/a	10-Jan	400.00	4.0
BUCK CAPITAL MGMT	001	GES	S	10,000	83.03	n/a	n/a	400.00	4.0
WHITE PINE CAPITAL	030	GGG	B	300	42.14	n/a	n/a	15.00	5.0
WHITE PINE CAPITAL	030	GIS	B	400	57.77	n/a	n/a	20.00	5.0
WHITE PINE CAPITAL	030	GLBL	B	1,100	14.40	n/a	n/a	55.00	5.0
GRISANTI BROWN & PARTNER	048	GLW	S	34,500	21.64	n/a	16-Feb	1,725.00	5.0
SIRIS	013	HAR	S	1,000	101.74	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	HON	B	300	47.51	n/a	26-Jan	15.00	5.0
KORMAN	003	HRBN	B	2,900	12.25	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	HRL	B	1,800	37.51	n/a	n/a	90.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DAVIDSON KEMPNER ADVISOR	217	HUN	S	43,700	21.48	n/a	n/a	2,185.00	5.0
REINHART & MAHONEY	031	ISIL	B	11,280	26.94	n/a	n/a	564.00	5.0
WHITE PINE CAPITAL	030	IYC	C	2,000	70.35	n/a	n/a	100.00	5.0
COUGAR TRADING	044	JCG	B	10,000	37.14	25-Jan	25-Jan	500.00	5.0
WHITE PINE CAPITAL	030	JPM	B	300	50.72	n/a	16-Feb	15.00	5.0
LEVIN CAPITAL STRATEGIES	012	KCP	SS	5,000	24.48	n/a	16-Feb	200.00	4.0
WASHINGTON ASSET	048	KO	B	10,000	47.80	n/a	n/a	500.00	5.0
WHITE PINE CAPITAL	030	LLY	B	500	54.36	n/a	n/a	25.00	5.0
CENTURION PARTNERS	249	LTD	B	20,000	28.75	5-Jan	26-Feb	1,000.00	5.0
S.A.C. CAPITAL MGMT.	044	MCD	SS	150,000	45.84	12-Oct	6-Feb	7,500.00	5.0
SCOPUS FUND	039	MCD	S	30,000	45.93	12-Oct	6-Feb	1,500.00	5.0
WHITTIER CAP	048	MCD	S	1,890	45.86	12-Oct	6-Feb	94.50	5.0
INTEGRITY CAPITAL MGMT	039	MHP	S	5,000	65.23	n/a	n/a	150.00	3.0
WHITE PINE CAPITAL	030	MO	B	100	85.80	n/a	n/a	5.00	5.0
WHITE PINE CAPITAL	030	MSFT	B	500	29.02	n/a	3-Apr	25.00	5.0
<b>BARR</b>	<b>096</b>	<b>MTIC</b>	<b>S</b>	<b>6,598</b>	<b>0.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	MTSC	B	700	38.94	n/a	n/a	35.00	5.0
WHITE PINE CAPITAL	030	NOK	B	900	22.99	n/a	n/a	45.00	5.0
IMPALA ASSET MMT	012	NUE	S	100,000	64.80	n/a	n/a	3,000.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NWY</b>	<b>S</b>	<b>30,000</b>	<b>15.48</b>	<b>1-Dec</b>	<b>16-Feb</b>	<b>1,200.00</b>	<b>4.0</b>
SAXON WOODS ADVISORS	038	PFE	S	50,000	25.80	n/a	31-Mar	2,500.00	5.0
<b>SUTERA</b>	<b>160</b>	<b>PZZA</b>	<b>B</b>	<b>1,000</b>	<b>30.30</b>	<b>n/a</b>	<b>19-Jan</b>	<b>0.00</b>	<b>0.0</b>
GRISANTI BROWN & PARTNER	048	Q	C	23,500	8.77	n/a	n/a	1,175.00	5.0
WHITE PINE CAPITAL	030	Q	B	1,200	8.76	n/a	n/a	60.00	5.0
IMPALA ASSET MMT	012	RAIL	B	157,756	52.50	n/a	n/a	4,732.68	3.0
ROTHSCHILD ASSET	040	RGS	B	41,880	42.58	n/a	n/a	1,884.60	4.5
<b>KORMAN</b>	<b>003</b>	<b>SEED</b>	<b>B</b>	<b>3,000</b>	<b>9.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>SEUCF</b>	<b>B</b>	<b>30</b>	<b>0.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>SFBPI</b>	<b>B</b>	<b>15</b>	<b>0.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NICHOLAS ADVISORS	034	SGR	S	32,000	31.52	n/a	n/a	1,600.00	5.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>SIRO</b>	<b>B</b>	<b>200</b>	<b>38.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>S</b>	<b>20,000</b>	<b>37.11</b>	<b>n/a</b>	<b>21-Feb</b>	<b>800.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	SSI	B	57,715	21.95	26-Feb	26-Feb	2,885.75	5.0
WHITE PINE CAPITAL	030	STA	B	400	52.76	n/a	n/a	20.00	5.0
SUNOVA CAPITAL	031	STT	S	20,000	68.02	n/a	n/a	1,000.00	5.0
TRIBECA	038	THO	SS	10,000	43.38	n/a	n/a	400.00	4.0
AMBER CAPITAL	046	TIN	B	60,000	62.76	26-Feb	26-Feb	2,400.00	4.0
RAMIUS CAP	040	TIN	B	50,000	62.52	26-Feb	26-Feb	2,500.00	5.0
COUGAR TRADING	044	TLB	S	5,000	25.90	10-Jan	16-Feb	250.00	5.0
J L F ASSET MGMT	039	TTWO	S	187,200	19.44	n/a	n/a	7,488.00	4.0
THOMSON HORSTMAN BRYANT	040	TZIX	B	50,000	21.49	n/a	n/a	2,500.00	5.0
IMPALA ASSET MMT	012	UAUA	B	133,239	41.81	n/a	n/a	3,997.17	3.0
WHITE PINE CAPITAL	030	UA	SS	200	47.52	n/a	n/a	10.00	5.0
<b>GAULT</b>	<b>063</b>	<b>UNTCJ</b>	<b>S</b>	<b>25</b>	<b>1.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>UPLCK</b>	<b>S</b>	<b>20</b>	<b>0.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LOCUS WOOD CAPITAL MGMT	044	UTX	B	15,000	67.14	n/a	n/a	600.00	4.0
MERRILL ASSET	032	VCI	B	50,000	17.06	n/a	n/a	2,500.00	5.0
SAILFISH	037	VLO	B	25,000	58.75	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MAGUIRE</b>	<b>090</b>	<b>WHT</b>	<b>B</b>	<b>10,000</b>	<b>1.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUN AMERICA ASSET MGMT	030	WMT	B	21,600	49.63	n/a	21-Feb	1,080.00	5.0
WHITE PINE CAPITAL	030	WTI	B	500	30.82	n/a	n/a	25.00	5.0
RAMIUS CAP	040	WY	B	50,000	85.09	15-Dec	9-Feb	2,500.00	5.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>WY</b>	<b>B</b>	<b>50,000</b>	<b>85.09</b>	<b>15-Dec</b>	<b>9-Feb</b>	<b>2,500.00</b>	<b>5.0</b>
WHITE PINE CAPITAL	030	XLY	SS	3,800	39.57	n/a	n/a	190.00	5.0
SUN AMERICA ASSET MGMT	030	XOM	B	22,000	75.56	n/a	n/a	1,100.00	5.0
ARIENCE CAPITAL	036	X	S	60,600	94.21	n/a	n/a	3,030.00	5.0
IMPALA ASSET MMT	012	X	B	100,000	94.05	n/a	n/a	3,000.00	3.0
<b>GAULT</b>	<b>063</b>	<b>YATAD</b>	<b>B</b>	<b>20</b>	<b>1.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>EFSTATHIOU</b>	<b>044</b>	<b>ZZQCF</b>	<b>B</b>	<b>5</b>	<b>1.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>ZZQCF</b>	<b>B</b>	<b>25</b>	<b>1.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>								<b>107,508.95</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/23	PLATTE RIVER CAPITAL (PLATFORD20)	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL (PLATFORD20)	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CXL	02/23	PLATTE RIVER CAPITAL (PLATFUND21)	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL (PLATFUND21)	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CXL	02/23	PLATTE RIVER CAPITAL (PLATMICR11)	035	AGIX	B	3,000	11.46	n/a	n/a	120.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL (PLATMICR11)	035	AGIX	B	3,000	11.46	n/a	n/a	120.00 4.0
AO,CXL	02/23	PLATTE RIVER CAPITAL (PLATSEAF19)	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL (PLATSEAF19)	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CXL	02/23	PLATTE RIVER CAPITAL (PLATOREGON)	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL (PLATOREGON)	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CXL	02/23	PLATTE RIVER CAPITAL (PLATTCOLLF)	035	AGIX	B	5,000	11.46	n/a	n/a	200.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL (PLATTCOLLF)	035	AGIX	B	5,000	11.46	n/a	n/a	200.00 4.0
AO,CXL	02/23	PLATTE RIVER CAPITAL (PLATSWINDE)	035	AGIX	B	2,000	11.46	n/a	n/a	80.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL (PLATSWINDE)	035	AGIX	B	2,000	11.46	n/a	n/a	80.00 4.0
AO,CXL	02/23	PLATTE RIVER CAPITAL (PLATSHAMA)	035	AGIX	B	3,000	11.46	n/a	n/a	120.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL (PLATSHAMA)	035	AGIX	B	3,000	11.46	n/a	n/a	120.00 4.0
AO,CXL	02/23	PLATTE RIVER CAPITAL (PLATMEYMEM)	035	AGIX	B	5,000	11.46	n/a	n/a	200.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL (PLATMEYMEM)	035	AGIX	B	5,000	11.46	n/a	n/a	200.00 4.0
AO,CRT	02/23	GLG PARTNERS (GLGPMAIN L)	034	COST	B	35,000	57.47	n/a	9-Feb	1750.00 5.0
AO,CXL	02/23	SIGMA CAPITAL ASSOCIATES (SIGMAINMS)	044	FD	B	25,000	44.30	26-Jan	21-Feb	1250.00 5.0
AO,CRT	02/23	SIGMA CAPITAL ASSOCIATES (SIGMGS-USE)	044	FD	B	25,000	44.30	26-Jan	21-Feb	1250.00 5.0
AO,	02/23	MKT MAKER (MKT MAKER)	099	JNPR	B	92	19.73	n/a	22-Mar	0.00 0.0
AO,CXL	02/23	SONAR CAP MGMT (SONAMORGAN)	037	JNPR	B	27,092	19.60	n/a	22-Mar	1354.60 5.0
AO,CRT	02/23	SONAR CAP MGMT (SONAMORGAN)	037	JNPR	B	27,000	19.60	n/a	22-Mar	1350.00 5.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/23	SIGMA CAPITAL ASSOCIATES (SIGMMAINMS)	044	JWN	SS	50,000	58.87	8-Feb	23-Feb	2500.00 5.0
AO,CRT	02/23	SIGMA CAPITAL ASSOCIATES (SIGMGS-USE)	044	JWN	SS	50,000	58.87	8-Feb	23-Feb	2500.00 5.0
AO,CXL	02/23	GRUSS CAPITAL (GRUS)	040	PCL	S	5,000	40.74	n/a	30-Jan	250.00 5.0
AO,CRT	02/23	GRUSS CAPITAL (GRUS)	040	PCL	S	5,000	40.74	n/a	30-Jan	200.00 4.0
AO,CXL	02/23	PATTEN & PATTEN (PATTAMSOUT)	067	WY	S	200	82.43	15-Dec	9-Feb	16483.97 0.0
AO,CRT	02/23	PATTEN & PATTEN (PATTAMSOUT)	067	WY	S	200	82.43	15-Dec	9-Feb	10.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-14,778.57</b>
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TOTAL (Trade Date) :	107,508.95
TOTAL (AO, CXL, CRT) :	-14,778.57

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>92,730.38</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION