



# Daily Compliance Activity Report

Trade Date: 03/21/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	AAI	B	7,500	10.86	n/a	n/a	0.00	0.0
LUCHANSKY4	036	AAPL	B	100	93.32	n/a	n/a	0.00	0.0
SCUDDER HEDGE	034	AIG	S	50,000	68.00	n/a	n/a	2,000.00	4.0
GALLEON MGMT LP	033	AMG	SS	12,000	109.35	5-Mar	5-Mar	600.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	5,000	76.89	2-Feb	21-Mar	200.00	4.0
J L F ASSET MGMT	039	ANF	B	52,900	76.69	2-Feb	21-Mar	2,116.00	4.0
LUCHANSKY4	036	APC	B	100	41.07	27-Feb	12-Mar	0.00	0.0
CAPITAL GROWTH MGMT.	241	APH	S	5,000	65.61	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	10,000	40.67	23-Oct	16-Mar	400.00	4.0
COUGAR TRADING	044	ARO	S	5,000	41.25	23-Oct	16-Mar	250.00	5.0
B BUCK CAPITAL MGMT		AXL	SS	10,000	27.92	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	BBW	S	10,000	27.13	19-Mar	19-Mar	400.00	4.0
Ö Glenn Redemann		BDK	B	3	0.72	n/a	n/a	0.00	0.0
Ö Glenn Redemann		BDK	B	3	1.13	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BIG	SS	10,000	32.49	9-Mar	16-Mar	400.00	4.0
EGS ASSOCIATES LP	091	BIG	SS	5,000	32.38	9-Mar	16-Mar	300.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	BLK	B	10,000	156.59	n/a	20-Mar	500.00	5.0
BESSIMER TRUST	034	BMET	S	241,644	42.50	n/a	n/a	10,873.99	4.5
CAPITAL GROWTH MGMT.	241	BNI	S	146,500	80.43	n/a	n/a	6,425.00	4.5
⌘ BUCK CAPITAL MGMT		BONT	S	30,000	56.44	9-Mar	16-Mar	non-brg	5.0
⌘ BUCK CAPITAL MGMT		BONT	S	5,000	56.26	9-Mar	16-Mar	non-brg	5.0
STERLING JOHNSTON	035	BONT	S	16,800	56.77	9-Mar	16-Mar	840.00	5.0
⌘ BUCK CAPITAL MGMT		BSC	B	17,000	148.02	15-Dec	16-Mar	non-brg	5.0
GALLEON MGMT LP	033	CAG	S	10,000	24.85	n/a	n/a	500.00	5.0
GAULT	063	CDJ	S	20	2.20	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CHCG	B	30,000	6.22	n/a	n/a	non-brg	4.0
WHEELER	008	CHP	S	27,800	5.43	n/a	n/a	0.00	0.0
SLS CAPITAL LLC	224	CLMS	SS	170,000	22.43	12-Mar	20-Mar	8,500.00	5.0
BANK OF NEW YORK	040	CMG	S	2,730	64.64	16-Feb	16-Feb	122.85	4.5
LUCHANSKY4	036	CMG	B	100	64.65	16-Feb	16-Feb	0.00	0.0
⌘ BUCK CAPITAL MGMT		CMRG	B	10,000	11.89	n/a	n/a	non-brg	0.4
⌘ BUCK CAPITAL MGMT		CMRG	B	20,000	11.99	n/a	n/a	non-brg	5.0
MILLRACE FUND LP	033	CRR	B	5,000	46.12	1-Feb	1-Feb	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J L F ASSET MGMT	039	CVS	B	137,000	34.19	n/a	n/a	5,480.00	4.0
⌘ BUCK CAPITAL MGMT		DBRN	B	20,000	20.42	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	56.28	n/a	n/a	400.00	4.0
PAW PARTNERS	033	DOX	S	10,000	35.58	n/a	n/a	500.00	5.0
WEISS PECK	040	DSW	SS	380	42.42	n/a	9-Feb	11.40	3.0
PATTEN & PATTEN	067	EFA	B	500	75.11	n/a	n/a	25.00	5.0
PAW PARTNERS	033	EK	B	5,500	23.63	n/a	n/a	275.00	5.0
BELMONT GLOBAL ADV	248	FL	B	20,000	23.22	n/a	19-Mar	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		GCO	B	5,000	43.34	n/a	10-Jan	non-brg	5.0
⌘ BUCK CAPITAL MGMT		GIII	B	3,000	19.70	n/a	n/a	non-brg	1.0
BARR	096	GMRF	B	8	2.70	n/a	n/a	0.00	0.0
TROUBH PART	067	HAL	B	100,000	30.37	n/a	n/a	5,000.00	5.0
PAW PARTNERS	033	HD	S	5,000	37.86	n/a	1-Mar	250.00	5.0
⌘ BUCK CAPITAL MGMT		HLYS	B	10,000	30.57	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		HMA	S	30,000	11.16	5-Mar	5-Mar	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAZAMA CAPITAL MGMT.	035	HPY	S	26,500	23.74	n/a	n/a	1,060.00	4.0
CAPITAL GROWTH MGMT.	241	HRS	S	5,000	49.87	31-Jan	31-Jan	250.00	5.0
MERRILL ASSET	032	IFC	S	88,200	19.05	n/a	n/a	4,410.00	5.0
CARDINAL CAPITAL	044	IHP	B	21,100	57.15	n/a	n/a	1,055.00	5.0
⌘ BUCK CAPITAL MGMT		IJJ	SS	16,000	84.26	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	IJK	B	1,000	83.37	n/a	n/a	50.00	5.0
⌘ BUCK CAPITAL MGMT		IJR	SS	20,000	68.40	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IJS	SS	17,000	77.60	n/a	n/a	non-brg	2.0
HARVEST MGMT	039	IP	SS	20,300	35.96	20-Mar	20-Mar	1,015.00	5.0
SUTERA	160	ISE	S	1,000	47.49	26-Oct	13-Mar	0.00	0.0
⌘ BUCK CAPITAL MGMT		IWM	SS	17,000	80.24	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IWO	SS	15,200	80.97	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	80.54	23-Feb	5-Mar	400.00	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	5,000	34.35	n/a	n/a	non-brg	0.4
BANK OF NEW YORK	040	JWN	B	30,000	51.84	27-Feb	9-Mar	1,350.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CUMBERLAND	038	JWN	B	25,000	51.86	27-Feb	9-Mar	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		KCP	B	10,000	27.26	n/a	28-Feb	non-brg	5.0
⌘ BUCK CAPITAL MGMT		KMT	C	10,000	65.05	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	KMX	B	5,000	53.78	n/a	10-Jan	200.00	4.0
BALYASNY ASSET MGMT	044	LAZ	S	10,000	51.70	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	LIZ	B	10,000	43.16	n/a	28-Feb	400.00	4.0
BALYASNY ASSET MGMT	044	LM	B	42,300	95.12	16-Mar	16-Mar	2,115.00	5.0
COUGAR TRADING	044	LTD	B	15,000	26.17	2-Mar	9-Mar	750.00	5.0
MAGUIRE	090	LUV	B	10,000	15.24	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LXK	B	2	0.75	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LXK	B	2	1.35	n/a	n/a	0.00	0.0
WHEELER	008	MAG	S	24,900	5.11	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MDTL	SS	5,000	17.26	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		MDY	SS	8,700	154.62	n/a	n/a	non-brg	2.0
GAULT	063	MERDQ	S	20	2.00	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MIR	S	20,000	39.08	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOUT CAPITAL	044	MON	S	75,000	53.73	n/a	2-Mar	3,000.00	4.0
ß BUCK CAPITAL MGMT		MSMSBFIN	SS	15,900	29.22	n/a	n/a	non-brg	2.9
ß BUCK CAPITAL MGMT		MS	S	32,200	78.40	20-Dec	13-Mar	non-brg	5.0
ß BUCK CAPITAL MGMT		MS	S	500	78.70	20-Dec	13-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		MVRX	SS	5,300	199.74	n/a	n/a	non-brg	20.0
HARVEST MGMT	039	MWV	B	25,000	29.94	20-Mar	20-Mar	1,250.00	5.0
NOLAN	067	MWV	B	20	29.89	20-Mar	20-Mar	0.00	0.0
MAGUIRE	090	MZZ	B	3,500	56.60	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		NEXC	B	10,000	10.01	n/a	n/a	non-brg	5.0
STERLING JOHNSTON	035	NWY	B	57,500	15.65	1-Dec	21-Mar	2,875.00	5.0
PATTEN & PATTEN	067	OEF	B	500	64.82	n/a	n/a	25.00	5.0
ß BUCK CAPITAL MGMT		OIH	SS	20,000	144.55	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	OMX	B	30,000	52.86	n/a	15-Apr	1,200.00	4.0
CAPITAL GROWTH MGMT.	241	PCU	B	60,000	70.96	n/a	n/a	3,000.00	5.0
MAGUIRE	039	PDC	B	500	12.49	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PLCE	B	20,000	56.30	n/a	21-Mar	non-brg	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		PLCE	B	20,000	56.36	n/a	21-Mar	non-brg 0.4
	SYSTEMATIC FINANCIAL MGT	035	PVH	S	10,000	57.16	18-Jan	16-Feb	375.00 3.8
	MAGUIRE	090	QID	B	3,000	52.70	n/a	n/a	0.00 0.0
	BUCK CAPITAL MGMT	001	RL	S	5,000	85.99	2-Feb	16-Feb	200.00 4.0
	CAXTON PART	040	RNWK	C	30,000	7.89	n/a	n/a	1,200.00 4.0
ß	BUCK CAPITAL MGMT		RTH	C	3,000	102.22	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		RTH	C	30,000	102.75	n/a	n/a	non-brg 2.0
ß	BUCK CAPITAL MGMT		RTH	SS	13,400	103.49	n/a	n/a	non-brg 2.0
	WEISS PECK	040	RVI	B	1,000	20.77	n/a	n/a	30.00 3.0
	CAMBIAR CAP	046	SCRX	B	3,400	23.49	20-Dec	19-Mar	136.00 4.0
	MKT MAKER	099	SCRX	B	89	23.49	20-Dec	19-Mar	0.00 0.0
	KAICHER	064	SNCR	S	1,000	19.27	n/a	n/a	0.00 0.0
ß	BUCK CAPITAL MGMT		SPY	SS	9,600	143.33	n/a	n/a	non-brg 2.0
	PATTEN & PATTEN	067	SPY	B	1,000	140.99	n/a	n/a	50.00 5.0
	BALYASNY ASSET MGMT	044	SSCC	SS	15,000	11.81	26-Oct	20-Mar	750.00 5.0
	HOOVER INV. MGMT	033	SSI	B	40,000	23.36	26-Feb	14-Mar	2,000.00 5.0
	EFSTATHIOU	044	STKL	S	300	11.51	n/a	n/a	0.00 0.0
ß	BUCK CAPITAL MGMT		THC	B	100,000	6.57	n/a	27-Feb	non-brg 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	TJX	B	50,000	26.73	n/a	21-Mar	2,000.00	4.0
EGS ASSOCIATES LP	091	TJX	B	3,000	26.67	n/a	21-Mar	180.00	6.0
SAILFISH	037	TJX	B	40,000	26.53	n/a	21-Mar	2,000.00	5.0
WAGONHOUND	048	TJX	B	11,500	26.42	n/a	21-Mar	460.00	4.0
ß BUCK CAPITAL MGMT		TKR	C	10,000	30.47	n/a	n/a	non-brg	5.0
PAW PARTNERS	033	TWB	B	5,000	36.50	22-Feb	22-Feb	250.00	5.0
DAVIDSON KEMPNER ADVISOR	217	TWC	S	71,800	38.46	n/a	n/a	3,590.00	5.0
ß BUCK CAPITAL MGMT		URBN	B	10,000	25.26	7-Dec	9-Mar	non-brg	5.0
ACT II PARTNERS	067	VG	B	100,000	4.02	9-Mar	9-Mar	4,000.00	4.0
LUCHANSKY	036	VSL	B	300	17.71	n/a	n/a	0.00	0.0
COLONIAL FUND LLC	036	WRNC	S	25,000	28.10	18-Jan	21-Mar	1,250.00	5.0
Õ Glenn Redemann		WYNN	B	9	1.57	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WYNN	B	9	1.62	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WYNN	S	9	2.70	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WYNN	S	9	2.79	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		XLF	SS	37,600	36.46	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	ZQK	S	5,000	11.70	9-Mar	13-Mar	200.00	4.0
ß BUCK CAPITAL MGMT		ZUMZ	S	15,000	40.75	n/a	n/a	non-brg	5.0



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**TOTAL (Trade Date):**

**92,395.24**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	03/15	IRON HORSE CAPITAL MGMT	038	DLIA	B	6,000	9.35	n/a	n/a	300.00	5.0
AO,CRT	03/15	IRON HORSE CAPITAL MGMT	038	DLIA	B	6,000	9.35	n/a	n/a	180.00	3.0
AO,CRT	03/20	MERRILL LYNCH STRATEGICI	034	HBI	B	7,000	27.91	n/a	n/a	280.00	4.0
AO,CXL	03/20	MERRILL LYNCH STRATEGICI	034	HBI	B	7,000	27.91	n/a	n/a	350.00	5.0
AO,CXL	03/20	CARDINAL CAPITAL	044	IHP	B	500	56.23	n/a	n/a	25.00	5.0
AO,CRT	03/20	CARDINAL CAPITAL	044	IHP	B	500	56.23	n/a	n/a	25.00	5.0
AO,CRT	03/20	MKT MAKER	099	PSMT	B	150	15.00	n/a	n/a	0.00	0.0
AO,CXL	03/20	PELL RUDMAN/ATLANTIC TR	045	PWR	S	24,082	24.33	n/a	n/a	0.00	0.0
AO,	03/20	GLG PARTNERS	034	TJX	S	25,000	26.59	n/a	21-Mar	1250.00	5.0

**TOTAL (AO, CXL, CRT) :****1,060.00**

TOTAL (Trade Date):

92,395.24

TOTAL (AO, CXL, CRT):

1,060.00

**TOTAL (RUN DATE) / NFS:****93,455.24**

MRI Securities with Trade Activity on 21-Mar.  
NONE

MRI Securities without Trade Activity on 21-Mar.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 20%  
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION