



Daily Compliance Activity Report

Trade Date: 12/26/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	B	40,000	9.23	n/a	12-Dec	1,200.00	3.0
DENVER INST. ADV	046	AEO	B	324,100	9.14	n/a	12-Dec	9,723.00	3.0
MAGNETAR FINANCIAL	031	DBTK	B	2,873	8.10	n/a	n/a	86.19	3.0
BUCK CAPITAL MGMT	001	ETH	B	40,000	14.56	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	FBN	B	40,000	2.35	n/a	n/a	1,200.00	3.0
BUCK CAPITAL MGMT	001	GCO	S	41,900	17.30	n/a	10-Jan	1,676.00	4.0
BUCK CAPITAL MGMT	001	GES	B	30,000	14.74	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	10.17	11-Dec	12-Dec	400.00	4.0
BUCK CAPITAL MGMT	001	HBI	S	65,000	12.52	n/a	n/a	2,600.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	112,000	18.54	17-Nov	12-Dec	4,480.00	4.0
BUCK CAPITAL MGMT	001	JWN	B	30,000	12.16	14-Nov	12-Dec	1,200.00	4.0
MAGNETAR FINANCIAL	031	KNXA	S	562	7.20	n/a	n/a	16.86	3.0
BUCK CAPITAL MGMT	001	M	S	100,000	8.57	12-Aug	12-Dec	3,000.00	3.0
BUCK CAPITAL MGMT	001	NKE	B	40,000	49.81	n/a	n/a	1,600.00	4.0
MAGNETAR FINANCIAL	031	NUAN	S	20,000	9.50	n/a	n/a	600.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	RL	B	7,900	43.11	n/a	6-Nov	316.00	4.0
CAVU CAP ADV	028	TXT	SS	10,000	12.78	n/a	n/a	500.00	5.0
CAVU CAP ADV	028	WFT	B	10,000	9.64	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	WSM	B	22,300	7.32	n/a	n/a	669.00	3.0
TOTAL (Trade Date):								32,567.05	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/24	DENVER INST. ADV (DENV568)	046	AEO	B	100	9.24	n/a	12-Dec	3.00 3.0
AO,	12/24	DENVER INST. ADV (DENV567)	046	AEO	B	600	9.24	n/a	12-Dec	18.00 3.0
AO,	12/24	DENVER INST. ADV (DENV92)	046	AEO	B	4,100	9.24	n/a	12-Dec	123.00 3.0
AO,	12/24	DENVER INST. ADV (DENV84)	046	AEO	B	4,900	9.24	n/a	12-Dec	147.00 3.0
AO,	12/24	DENVER INST. ADV (DENV70)	046	AEO	B	10,600	9.24	n/a	12-Dec	318.00 3.0
AO,	12/24	DENVER INST. ADV (DENV7)	046	AEO	B	7,200	9.24	n/a	12-Dec	216.00 3.0
AO,	12/24	DENVER INST. ADV (DENV566)	046	AEO	B	900	9.24	n/a	12-Dec	27.00 3.0
AO,	12/24	DENVER INST. ADV (DENV455)	046	AEO	B	28,100	9.24	n/a	12-Dec	843.00 3.0
AO,	12/24	DENVER INST. ADV (DENV454)	046	AEO	B	700	9.24	n/a	12-Dec	21.00 3.0
AO,	12/24	DENVER INST. ADV (DENV412)	046	AEO	B	900	9.24	n/a	12-Dec	27.00 3.0
AO,	12/24	DENVER INST. ADV (DENV411)	046	AEO	B	4,900	9.24	n/a	12-Dec	147.00 3.0
AO,	12/24	DENVER INST. ADV (DENV410)	046	AEO	B	7,100	9.24	n/a	12-Dec	213.00 3.0
AO,	12/24	DENVER INST. ADV (DENV401)	046	AEO	B	2,200	9.24	n/a	12-Dec	66.00 3.0
AO,	12/24	DENVER INST. ADV (DENV379)	046	AEO	B	200	9.24	n/a	12-Dec	6.00 3.0
AO,	12/24	DENVER INST. ADV (DENV314)	046	AEO	B	2,500	9.24	n/a	12-Dec	75.00 3.0
AO,	12/24	DENVER INST. ADV (DENV267)	046	AEO	B	900	9.24	n/a	12-Dec	27.00 3.0
AO,	12/24	DENVER INST. ADV (DENV224)	046	AEO	B	600	9.24	n/a	12-Dec	18.00 3.0
AO,	12/24	DENVER INST. ADV (DENV192)	046	AEO	B	10,500	9.24	n/a	12-Dec	315.00 3.0
AO,	12/24	DENVER INST. ADV (DENV185)	046	AEO	B	900	9.24	n/a	12-Dec	27.00 3.0
AO,	12/24	DENVER INST. ADV (DENV100)	046	AEO	B	60,800	9.24	n/a	12-Dec	1824.00 3.0
AO,	12/24	DENVER INST. ADV (DENV375)	046	AEO	B	1,300	9.24	n/a	12-Dec	39.00 3.0
AO,	12/24	FRED ALGER MGMT (ALGRAL603)	035	EBAY	S	9,700	13.68	n/a	n/a	388.00 4.0
AO,	12/24	FRED ALGER MGMT (ALGRAL571)	035	EBAY	S	1,400	13.68	n/a	n/a	56.00 4.0
AO,	12/24	FRED ALGER MGMT (ALGRAL611)	035	EBAY	S	9,300	13.68	n/a	n/a	372.00 4.0
AO,	12/24	FRED ALGER MGMT (ALGRAL603)	035	GENZ	B	1,800	66.59	n/a	n/a	72.00 4.0
AO,	12/24	FRED ALGER MGMT (ALGRAL571)	035	GENZ	B	200	66.59	n/a	n/a	8.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/24	FRED ALGER MGMT (ALGRAL611)	035	GENZ	B	2,300	66.59	n/a	n/a	92.00 4.0
AO,	12/24	FRED ALGER MGMT (ALGRAL623)	035	GENZ	B	90	66.59	n/a	n/a	3.60 4.0
AO,CXL	12/24	ROBX (ROBX307)	302	PKG	S	77,900	12.49	5-Dec	5-Dec	3116.00 4.0
AO,	12/24	ROBX (ROBX357)	033	PKG	S	1,300	12.49	5-Dec	5-Dec	52.00 4.0
AO,	12/24	ROBX (ROBX358)	033	PKG	S	5,400	12.49	5-Dec	5-Dec	216.00 4.0
AO,	12/24	ROBX (ROBX306)	033	PKG	S	25,400	12.49	5-Dec	5-Dec	1016.00 4.0
AO,	12/24	ROBX (ROBX307)	033	PKG	S	77,900	12.49	5-Dec	5-Dec	3116.00 4.0
AO,CXL	12/24	ROBX (ROBX357)	302	PKG	S	1,300	12.49	5-Dec	5-Dec	52.00 4.0
AO,CXL	12/24	ROBX (ROBX358)	302	PKG	S	5,400	12.49	5-Dec	5-Dec	216.00 4.0
AO,CXL	12/24	ROBX (ROBX306)	302	PKG	S	25,400	12.49	5-Dec	5-Dec	1016.00 4.0
AO,	12/24	FRED ALGER MGMT (ALGRAL603)	035	SBUX	S	3,700	9.31	n/a	24-Apr	111.00 3.0
AO,	12/24	FRED ALGER MGMT (ALGRAL571)	035	SBUX	S	1,050	9.31	n/a	24-Apr	31.50 3.0
AO,	12/24	FRED ALGER MGMT (ALGRAL611)	035	SBUX	S	4,400	9.31	n/a	24-Apr	132.00 3.0
AO,	12/24	FRED ALGER MGMT (ALGRAL603)	035	WFMI	S	7,800	8.94	n/a	n/a	234.00 3.0
AO,	12/24	FRED ALGER MGMT (ALGRAL571)	035	WFMI	S	1,350	8.94	n/a	n/a	40.50 3.0
AO,	12/24	FRED ALGER MGMT (ALGRAL611)	035	WFMI	S	9,700	8.94	n/a	n/a	291.00 3.0
AO,	12/24	FRED ALGER MGMT (ALGRAL603)	035	WFR	S	7,800	13.60	n/a	n/a	312.00 4.0
AO,	12/24	FRED ALGER MGMT (ALGRAL611)	035	WFR	S	10,000	13.60	n/a	n/a	400.00 4.0
AO,	12/24	FRED ALGER MGMT (ALGRAL623)	035	WFR	S	345	13.60	n/a	n/a	13.80 4.0
TOTAL (AO, CXL, CRT) :										7,057.40

TOTAL (Trade Date):

32,567.05

TOTAL (AO, CXL, CRT):

7,057.40

TOTAL (RUN DATE) / NFS:

39,624.45

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION