

Daily Compliance Activity Report Trade Date: 10/31/2011

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

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Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
BESSEMER INVESTMENT	085	AAPL	S	605	407.60	n/a	n/a	24.20	4.0
BESSEMER INVESTMENT	085	ACE	S	2,815	72.73	n/a	n/a	112.60	4.0
OHIO PERS	047	ARBA	В	31,400	32.78	n/a	n/a	942.00	3.0
FARBER/WEISS PECK			В	17,500	22.95	31-0ct	31-0ct	700.00	4.0
MACQUARIE INVESTMENT MGMT	. 040	ARCO	S	2,500	23.34	31-0ct	31-0ct	0.00	0.0
BUCK CAPITAL MGMT		ASNA	S	17,100	29.13	n/a	n/a	non-brg	4.0
PLATTE RIVER CAPITAL	240	AVP	В	17,500	18.31	n/a	31-0ct	700.00	4.0
LOCUS WOOD CAPITAL MGMT	044	BEAM	S	10,000	49.51	n/a	n/a	400.00	4.0
HUNTER GLOBAL INVESTOR	028	BID	В	77,400	35.93	n/a	n/a	3,870.00	5.0
KLEINHEINZ CAP PART	031	BIG	S	50,000	37.97	n/a	25-Aug	2,500.00	5.0
BUCK CAPITAL MGMT		BKS	В	195,000	12.02	n/a	n/a	non-brg	4.0
KACELA CAPITAL	034	BMC	SS	25,000	34.78	n/a	n/a	1,000.00	4.0
FRED ALGER MGMT	035	BWA	S	25 , 250	77.31	n/a	31-0ct	909.00	3.6
OZ MGMT	040	BWA	SS	50,000	75.50	n/a	31-Oct	1,500.00	3.0
MELLON/BANK OF NEW YORK	046	CAH	S	10,900	44.56	n/a	n/a	436.00	4.0
	BESSEMER INVESTMENT BESSEMER INVESTMENT OHIO PERS FARBER/WEISS PECK MACQUARIE INVESTMENT MGMT BUCK CAPITAL MGMT PLATTE RIVER CAPITAL LOCUS WOOD CAPITAL MGMT HUNTER GLOBAL INVESTOR KLEINHEINZ CAP PART BUCK CAPITAL MGMT KACELA CAPITAL FRED ALGER MGMT OZ MGMT	BESSEMER INVESTMENT 085 BESSEMER INVESTMENT 085 OHIO PERS 047 FARBER/WEISS PECK 085 MACQUARIE INVESTMENT MGMT. 040 BUCK CAPITAL MGMT PLATTE RIVER CAPITAL 240 LOCUS WOOD CAPITAL MGMT 044 HUNTER GLOBAL INVESTOR 028 KLEINHEINZ CAP PART 031 BUCK CAPITAL MGMT KACELA CAPITAL 034 FRED ALGER MGMT 035 OZ MGMT 040	BESSEMER INVESTMENT 085 AAPL BESSEMER INVESTMENT 085 ACE OHIO PERS 047 ARBA FARBER/WEISS PECK 085 ARCO MACQUARIE INVESTMENT MGMT. 040 ARCO BUCK CAPITAL MGMT ASNA PLATTE RIVER CAPITAL 240 AVP LOCUS WOOD CAPITAL MGMT 044 BEAM HUNTER GLOBAL INVESTOR 028 BID KLEINHEINZ CAP PART 031 BIG BUCK CAPITAL MGMT BKS KACELA CAPITAL 034 BMC FRED ALGER MGMT 040 BWA	BESSEMER INVESTMENT 085 AAPL S BESSEMER INVESTMENT 085 ACE S OHIO PERS 047 ARBA B FARBER/WEISS PECK 085 ARCO B MACQUARIE INVESTMENT MGMT. 040 ARCO S BUCK CAPITAL MGMT ASNA S PLATTE RIVER CAPITAL 240 AVP B LOCUS WOOD CAPITAL MGMT 044 BEAM S HUNTER GLOBAL INVESTOR 028 BID B KLEINHEINZ CAP PART 031 BIG S BUCK CAPITAL MGMT BKS B KACELA CAPITAL 034 BMC SS FRED ALGER MGMT 035 BWA S OZ MGMT 040 BWA SS	BESSEMER INVESTMENT 085 AAPL S 605 BESSEMER INVESTMENT 085 ACE S 2,815 OHIO PERS 047 ARBA B 31,400 FARBER/WEISS PECK 085 ARCO B 17,500 MACQUARIE INVESTMENT MGMT. 040 ARCO S 2,500 BUCK CAPITAL MGMT ASNA S 17,100 PLATTE RIVER CAPITAL 240 AVP B 17,500 LOCUS WOOD CAPITAL MGMT 044 BEAM S 10,000 HUNTER GLOBAL INVESTOR 028 BID B 77,400 KLEINHEINZ CAP PART 031 BIG S 50,000 BUCK CAPITAL MGMT BKS B 195,000 KACELA CAPITAL 034 BMC SS 25,000 FRED ALGER MGMT 035 BWA S 25,250 OZ MGMT 040 BWA SS 50,000	BESSEMER INVESTMENT 085 AAPL S 605 407.60 BESSEMER INVESTMENT 085 ACE S 2,815 72.73 OHIO PERS 047 ARBA B 31,400 32.78 FARBER/WEISS PECK 085 ARCO B 17,500 22.95 MACQUARIE INVESTMENT MGMT. 040 ARCO S 2,500 23.34 BUCK CAPITAL MGMT ASNA S 17,100 29.13 PLATTE RIVER CAPITAL 240 AVP B 17,500 18.31 LOCUS WOOD CAPITAL MGMT 044 BEAM S 10,000 49.51 HUNTER GLOBAL INVESTOR 028 BID B 77,400 35.93 KLEINHEINZ CAP PART 031 BIG S 50,000 37.97 BUCK CAPITAL MGMT BKS B 195,000 12.02 KACELA CAPITAL 034 BMC SS 25,000 34.78 FRED ALGER MGMT 035 BWA S 25,250 77.31 OZ MGMT 040 BWA SS 50,000 75.50	BESSEMER INVESTMENT 085 AAPL S 605 407.60 n/a BESSEMER INVESTMENT 085 ACE S 2,815 72.73 n/a OHIO PERS 047 ARBA B 31,400 32.78 n/a FARBER/WEISS PECK 085 ARCO B 17,500 22.95 31-Oct MACQUARIE INVESTMENT MGMT. 040 ARCO S 2,500 23.34 31-Oct BUCK CAPITAL MGMT ASNA S 17,100 29.13 n/a PLATTE RIVER CAPITAL 240 AVP B 17,500 18.31 n/a LOCUS WOOD CAPITAL MGMT 044 BEAM S 10,000 49.51 n/a HUNTER GLOBAL INVESTOR 028 BID B 77,400 35.93 n/a KLEINHEINZ CAP PART 031 BIG S 50,000 37.97 n/a BUCK CAPITAL MGMT BKS B 195,000 12.02 n/a KACELA CAPITAL 034 BMC SS 25,000 34.78 n/a FRED ALGER MGMT 035 BWA S 25,250 77.31 n/a OZ MGMT 040 BWA SS 50,000 75.50 n/a	BESSEMER INVESTMENT 085 AAPL S 605 407.60 n/a n/a BESSEMER INVESTMENT 085 ACE S 2,815 72.73 n/a n/a OHIO PERS 047 ARBA B 31,400 32.78 n/a n/a FARBER/WEISS FECK 085 ARCO B 17,500 22.95 31-Oct 31-Oct MACQUARIE INVESTMENT MGMT. 040 ARCO S 2,500 23.34 31-Oct 31-Oct BUCK CAPITAL MGMT ASNA S 17,100 29.13 n/a n/a PLATTE RIVER CAPITAL 240 AVP B 17,500 18.31 n/a 31-Oct LOCUS WOOD CAPITAL MGMT 044 BEAM S 10,000 49.51 n/a n/a HUNTER GLOBAL INVESTOR 028 BID B 77,400 35.93 n/a n/a KLEINHEINZ CAP PART 031 BIG S 50,000 37.97 n/a 25-Aug BUCK CAPITAL MGMT BKS B 195,000 12.02 n/a n/a KACELA CAPITAL 034 BMC SS 25,000 34.78 n/a n/a FRED ALGER MGMT 035 BWA S 25,250 77.31 n/a 31-Oct OZ MGMT 040 BWA SS 50,000 75.50 n/a 31-Oct	BESSEMER INVESTMENT 085 AAPL S 605 407.60 n/a n/a 24.20 BESSEMER INVESTMENT 085 ACE S 2,815 72.73 n/a n/a 112.60 OHIO PERS 047 ARBA B 31,400 32.78 n/a n/a 942.00 FARBER/WEISS PECK 085 ARCO B 17,500 22.95 31-Oct 31-Oct 700.00 MACQUARIE INVESTMENT MGMT. 040 ARCO S 2,500 23.34 31-Oct 31-Oct 0.00 BUCK CAPITAL MGMT ASNA S 17,100 29.13 n/a n/a non-brg PLATTE RIVER CAPITAL 240 AVP B 17,500 18.31 n/a 31-Oct 700.00 LOCUS WOOD CAPITAL MGMT 044 BEAM S 10,000 49.51 n/a n/a 400.00 HUNTER GLOBAL INVESTOR 028 BID B 77,400 35.93 n/a n/a 3,870.00 KLEINHEINZ CAP PART 031 BIG S 50,000 37.97 n/a 25-Aug 2,500.00 BUCK CAPITAL MGMT BKS B 195,000 12.02 n/a n/a non-brg KACELA CAPITAL 034 BMC SS 25,000 34.78 n/a n/a 1,000.00 FRED ALGER MGMT 035 BWA S 25,250 77.31 n/a 31-Oct 909.00 OZ MGMT 036 BWA S 50,000 75.50 n/a 31-Oct 1,500.00

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
ß	BUCK CAPITAL MGMT		CHS	SS	100,000	12.51	n/a	5-Feb	non-brg	4.0
	STRONG/MARK GRONET	030	COCO	В	200,000	1.90	n/a	n/a	4,000.00	2.0
f	JOSEPH CIRO AMATURO	FID	CROX	В	2000	17.66	n/a	n/a	0.00	0.0
	BASIX	033	DAN	В	50,000	14.37	n/a	28-Oct	1,500.00	3.0
В	BUCK CAPITAL MGMT		DDS	В	50,000	51.75	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		DDS	В	50,000	51.75	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		DDS	В	9,700	51.41	n/a	n/a	non-brg	0.8
ß	BUCK CAPITAL MGMT		DDS	В	9,700	51.41	n/a	n/a	non-brg	0.8
ß	BUCK CAPITAL MGMT		DECK	В	20,000	117.08	n/a	n/a	non-brg	2.5
	BESSEMER INVESTMENT	085	DIS	S	585	35.37	n/a	n/a	23.40	4.0
	RESERVOIR CAPITAL GROUP	LLC060	DPS	SS	17,140	38.00	n/a	n/a	514.20	3.0
	LOCUS WOOD CAPITAL MGMT	044	DPZ	S	10,000	32.35	n/a	20-Oct	400.00	4.0
	M&I FUNDS	047	DTE	В	9,074	52.59	n/a	n/a	362.96	4.0
	BESSEMER INVESTMENT	085	ECL	S	3,725	54.17	n/a	25-Oct	149.00	4.0
	UBS OCONNOR	031	EVR	С	40,000	27.64	n/a	n/a	1,200.00	3.0
f	CHRISTOPHER ROBERT C	FID	FAGIX	В	559	8.95	n/a	n/a	0.00	0.0
	LATEFF MGMT	035	FDX	S	1,250	82.79	n/a	n/a	162.50	13.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
	LATEFF MGMT	035	FOR	В	2,500	13.37	n/a	17-Oct	200.00	8.0	
	BESSEMER INVESTMENT	085	GE	S	1,840	16.93	n/a	n/a	73.60	4.0	
	LATEFF MGMT	035	GE	S	150	16.85	n/a	n/a	37.50	25.0	
ß	BUCK CAPITAL MGMT		GIII 12 C25	SS	1,000	3.90	n/a	n/a	non-brg	300.0	
a	BUCK CAPITAL MGMT		GIII	S	5,000	28.31	n/a	n/a	non-brg	1.0	
	M&I FUNDS	047	GPC	В	9,688	57.96	n/a	n/a	387.52	4.0	
ß	BUCK CAPITAL MGMT		HBI	s	95,573	26.71	n/a	21-0ct	non-brg	4.0	
	FRED ALGER MGMT	035	HBI	S	120,000	26.67	n/a	21-Oct	4,320.00	3.6	
	IMPALA ASSET MMT	040	HBI	SS	125,000	26.68	n/a	21-Oct	5,000.00	4.0	
	MERRILL ASSET/BLACKROCK	297	НСС	S	15,400	26.85	n/a	n/a	616.00	4.0	
	LATEFF MGMT	035	HD	S	150	35.94	n/a	16-Aug	37.50	25.0	
	MERRILL ASSET/BLACKROCK	297	HFC	S	16,000	31.15	n/a	n/a	640.00	4.0	
	LATEFF MGMT	035	HPQ	S	500	27.02	n/a	n/a	112.50	23.3	
	COLUMBUS CIRCLE	036	HS	S	5 , 197	54.07	n/a	n/a	207.88	4.0	
	CYPRESS CAP MGMT LLC	040	HTZ	S	31,600	11.80	n/a	n/a	1,264.00	4.0	
	BESSEMER INVESTMENT	085	IP	S	870	28.17	n/a	27-Oct	34.80	4.0	
	FRED ALGER MGMT	035	JNPR	В	190,050	24.93	n/a	n/a	6,841.80	3.6	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
MFP INVESTORS	085	JNS	В	35,300	6.71	n/a	n/a	1,412.00	4.0
BESSEMER INVESTMENT	085	JPM	S	610	35.36	n/a	13-0ct	24.40	4.0
MACQUARIE INVESTMENT MGM	IT. 040	KEG	В	10,000	13.11	n/a	20-Sep	0.00	0.0
ROSEDALE CAPITAL	046	KEG	В	10,000	12.93	n/a	20-Sep	400.00	4.0
BESSEMER INVESTMENT	085	KFT	S	2,570	35.35	n/a	n/a	102.80	4.0
LATEFF MGMT	035	KFT	S	125	35.38	n/a	n/a	31.25	25.0
GAJANTHAN BALAKANES	FID	KRA	В	25	19.95	n/a	n/a	0.00	0.0
UBS OCONNOR	031	LAZ	S	40,000	27.45	n/a	27-0ct	1,200.00	3.0
BUCK CAPITAL MGMT		LL	В	15,000	15.22	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LL	В	50,000	15.09	n/a	n/a	non-brg	2.5
FRED ALGER MGMT	035	LRCX	S	80,000	42.81	n/a	n/a	2,880.00	3.6
BUCK CAPITAL MGMT		LTD	S	50,000	43.01	n/a	n/a	non-brg	4.0
BRONSON POINT	036	LVS	В	5,000	47.50	n/a	13-Jun	150.00	3.0
BESSEMER INVESTMENT	085	MSFT	S	1,085	26.91	n/a	n/a	43.40	4.0
BUCK CAPITAL MGMT		MSMSBCD	С	468,636	97.40	n/a	n/a	non-brg	0.6
BUCK CAPITAL MGMT		MSMSBCD	SS	468,636	97.40	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		MSMSBCM	С	4,673,706	97.35	n/a	n/a	non-brg	0.6

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	4,673,706	97.35	n/a	n/a	non-brg	0.0
	CRAMER ROSENTHAL/SCOTT	070	MWW	В	57,400	9.46	n/a	n/a	2,296.00	4.0
a	BUCK CAPITAL MGMT		M	S	40,000	30.76	n/a	28-Oct	non-brg	4.0
	BESSEMER INVESTMENT	085	NOV	S	245	72.17	n/a	n/a	9.80	4.0
	MELLON/BANK OF NEW YORK	046	NUE	S	5,000	38.00	n/a	n/a	200.00	4.0
ß	BUCK CAPITAL MGMT		NWY	S	32,100	2.70	n/a	n/a	non-brg	2.0
	LATEFF MGMT	035	OI	В	200	20.64	n/a	n/a	50.00	25.0
	HUNTER GLOBAL INVESTOR	028	OSK	В	10,900	21.20	n/a	n/a	545.00	5.0
	BESSEMER INVESTMENT	085	OXY	S	105	95.51	n/a	n/a	4.20	4.0
	BESSEMER INVESTMENT	085	PFE	S	1,380	19.60	n/a	n/a	55.20	4.0
	LATEFF MGMT	035	PFE	S	2,500	19.53	n/a	n/a	250.00	10.0
	BESSEMER INVESTMENT	085	PG	S	420	64.46	n/a	28-0ct	16.80	4.0
	FRED ALGER MGMT	035	PG	В	8 , 978	64.35	n/a	28-Oct	323.21	3.6
	MERRILL ASSET/BLACKROCK	297	PRA	S	4,800	77.13	n/a	n/a	192.00	4.0
	BESSEMER INVESTMENT	085	QCOM	S	230	52.47	n/a	n/a	9.20	4.0
	RBC CAPITAL MARKETS	040	RCL	В	10,000	29.93	n/a	n/a	400.00	4.0
	COLUMBUS CIRCLE	036	REXX	В	2,539	15.69	n/a	n/a	101.56	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	MUHLENKAMP FUND	046	REXX	В	103,217	15.62	n/a	n/a	5,160.85	5.0
	IMPALA ASSET MMT	040	RL	SS	20,000	161.20	n/a	21-0ct	1,000.00	5.0
ß	BUCK CAPITAL MGMT		RUSHA	S	5,000	19.75	n/a	n/a	non-brg	4.0
f	JOHN FRANCIS MCERLEA	FID	RUSHA	S	500	19.73	n/a	n/a	0.00	0.0
	FRED ALGER MGMT	035	RVBD	S	44,850	28.06	n/a	n/a	1,614.60	3.6
	FRED ALGER MGMT	035	SBUX	В	32,200	42.83	n/a	3-0ct	1,159.20	3.6
	LATEFF MGMT	035	SFG	S	100	34.76	n/a	n/a	25.00	25.0
В	BUCK CAPITAL MGMT		SODA	S	3,200	34.31	n/a	n/a	non-brg	4.0
	BNP PARIBAS SEC	040	SON	В	20,000	31.45	n/a	n/a	1,000.00	5.0
	BESSEMER INVESTMENT	085	STJ	S	460	38.93	n/a	n/a	18.40	4.0
f	EDWARD W WHEELER	FID	STXS	В	10500	1.12	n/a	n/a	0.00	0.0
	FIRST NEW YORK NICK	029	S	S	5,000	2.57	n/a	n/a	250.00	5.0
ß	BUCK CAPITAL MGMT		TEA	В	20,000	22.89	n/a	n/a	non-brg	2.5
	MERRILL ASSET/BLACKROCK	297	TRH	В	10,500	52.28	n/a	n/a	420.00	4.0
	MELLON/BANK OF NEW YORK	046	TSM	S	23,500	12.63	n/a	n/a	940.00	4.0
	SENATOR INVESTMENT GROUP	036	TXT	В	50,000	19.90	n/a	n/a	1,500.00	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
ß	BUCK CAPITAL MGMT		URBN	В	20,000	27.62	n/a	n/a	non-brg	4.0
f	JASON ERIC COHEN	FID	URI	s	120	23.61	n/a	n/a	0.00	0.0
	IMPALA ASSET MMT	040	VALE	SS	35,700	25.68	n/a	n/a	1,428.00	4.0
	STRONG/MARK GRONET	030	WG	S	100,000	5.21	n/a	n/a	3,000.00	3.0
	FRED ALGER MGMT	035	YHOO	S	250,000	15.68	n/a	n/a	9,000.00	3.6
	LOCUS WOOD CAPITAL MGMT	044	YHOO	В	100,000	15.57	n/a	n/a	4,000.00	4.0
f	ANTHONY SUTERA	FID		В	30000	76.11	n/a	n/a	0.00	0.0
f	ANTHONY SUTERA	FID		S	25000	103.15	n/a	n/a	0.00	0.0
f	DOUGLAS ROGERS	FID		В	75000	100.73	n/a	n/a	0.00	0.0

TOTAL (Trade Date): 82,391.83

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/	Shr.
AO,CXL	10/28	MELLON/BANK OF NEW YORK	046	CAH	S	57,623	45.66	n/a	n/a	2304.92	4.0
AO,	10/28	MELLON/BANK OF NEW YORK	046	CAH	S	57 , 623	45.66	n/a	n/a	2304.92	4.0
TOTAL	(AO,	CXL, CRT):								0.00	

TOTAL (RUN DATE) / NFS:	82,391.83
TOTAL (Trade Date): TOTAL (AO, CXL, CRT):	82,391.83 0.00

BCM Cancel/Corrects and As-Of Trades

Туре	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
CRT	10/28	BUCK CAPITAL MGMT	KSS	SS	50,000	53.76	n/a 28-Oct	2000.00 4.0
CRT	10/28	BUCK CAPITAL MGMT	KSS	SS	40,000	52.72	n/a 28-Oct	1600.00 4.0

MRI Securities with Trade Activity on 31-Oct.

ARCO

MRI Securities without Trade Activity on 31-Oct.

LEA, SUP

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%

YTD = 2%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)