



Daily Compliance Activity Report

Trade Date: 06/09/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EATON VANCE MGMT	048	ACN	B	10,000	36.76	n/a	n/a	300.00	3.0
GREEN ARROW DOUG	040	AEO	S	25,000	12.48	n/a	4-Jun	1,250.00	5.0
HOOVER INV. MGMT	033	AEO	B	250,000	12.43	n/a	4-Jun	10,000.00	4.0
PATTEN & PATTEN	067	AGN	S	200	58.36	n/a	8-Jun	10.00	5.0
WINSLOW CAPITAL	030	AMT	B	7,400	42.54	n/a	n/a	296.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	30,000	34.52	n/a	4-Jun	1,200.00	4.0
EXIS CAPITAL	044	ANF	B	20,000	35.06	n/a	4-Jun	1,000.00	5.0
B BUCK CAPITAL MGMT		ANN	B	50,000	20.63	n/a	n/a	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	AOS	B	200	46.78	n/a	8-Jun	0.00	0.0
f JOSEPH CIRO AMATURO	FID	APC	S	2000	35.80	n/a	3-Jun	0.00	0.0
f MANNY I KORMAN	FID	APC	S	3000	35.45	n/a	3-Jun	0.00	0.0
PATTEN & PATTEN	067	APC	S	200	40.02	n/a	3-Jun	10.00	5.0
EATON VANCE MGMT	048	APD	B	5,000	67.59	n/a	10-May	150.00	3.0
PATTEN & PATTEN	067	APD	B	200	68.43	n/a	10-May	10.00	5.0
f KEVIN MICHAEL O'GORM	FID	ATPG	B	4000	8.30	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	ATPG	S	4000	9.30	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	AXL	B	4000	8.47	n/a	3-May	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EATON VANCE MGMT	048	BA	B	34,699	62.00	n/a	21-May	1,040.97	3.0
EPOCH INV MGMT	030	BA	B	48,600	62.25	n/a	21-May	1,458.00	3.0
ß BUCK CAPITAL MGMT		BC	C	30,000	15.36	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BEBE	B	50,000	6.49	n/a	n/a	non-brg	3.0
f ALEXANDER A SACCO	FID	BGZ	B	240	16.60	n/a	n/a	0.00	0.0
EATON VANCE MGMT	048	BHP	B	41,772	62.34	n/a	n/a	1,253.16	3.0
ß BUCK CAPITAL MGMT		BJ	SS	6,258	38.10	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	BP	S	150	30.28	n/a	n/a	0.00	0.0
Õ Jill Rosenberg		BP	S	174	29.61	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BYI	S	20,000	37.58	n/a	n/a	non-brg	4.0
WEISS PECK	040	CAKE	C	8,600	24.41	n/a	23-Apr	430.00	5.0
ß BUCK CAPITAL MGMT		CAR	B	30,000	10.41	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CHS	B	50,000	10.98	n/a	5-Feb	non-brg	4.0
WINSLOW CAPITAL	030	CLF	B	7,400	51.80	n/a	n/a	296.00	4.0
f ALICE BEEBE LONGLEY	FID	CMI	B	150	67.25	n/a	30-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		CNW	B	40,000	29.75	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		COH	B	110,000	41.02	n/a	14-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		COLM	SS	15,600	48.98	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		COST	SS	10,000	57.43	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CRI	B	30,000	29.40	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CROX	B	50,000	9.72	n/a	n/a	non-brg	3.0
EATON VANCE MGMT	048	CTL	S	225,000	34.12	n/a	n/a	6,750.00	3.0
f MANNY I KORMAN	FID	C	S	15000	3.82	n/a	7-Jun	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	DAN	B	600	10.65	n/a	30-Apr	0.00	0.0
SCOUT/UMB	047	DBD	B	72,000	27.90	n/a	27-May	2,160.00	3.0
⚡ BUCK CAPITAL MGMT		DBRN	B	60,000	25.23	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	DD	S	300	36.07	n/a	9-Jun	15.00	5.0
OHIO PERS	047	DIS	S	45,000	33.33	n/a	n/a	1,350.00	3.0
⚡ BUCK CAPITAL MGMT		DXD	S	20,000	30.01	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	EL	SS	10,000	57.48	n/a	7-Jun	400.00	4.0
BROADARCH CAP/OLD NEWC	070	EMR	SS	1,600	44.54	n/a	n/a	64.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CASTLE ARK	031	EMR	S	135,870	44.57	n/a	n/a	8,152.20	6.0
PATTEN & PATTEN	067	EPP	S	225	36.72	n/a	n/a	11.25	5.0
PATTEN & PATTEN	067	ETN	B	1,650	68.32	n/a	27-May	82.50	5.0
PATTEN & PATTEN	067	EWG	B	3,900	18.80	n/a	n/a	195.00	5.0
PATTEN & PATTEN	067	EXC	B	100	38.84	n/a	n/a	5.00	5.0
EATON VANCE MGMT	048	FCX	B	17,430	63.10	n/a	n/a	522.90	3.0
LAGRANGE CAP ADV	048	FII	B	5,000	20.90	n/a	2-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	FL	B	50,000	13.56	n/a	21-May	2,000.00	4.0
Ⓕ BUCK CAPITAL MGMT		FOSL	B	30,000	35.93	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	FPL	B	1,850	48.77	n/a	n/a	92.50	5.0
Ⓕ JONATHAN RICHARD HAR	FID	F	S	630	11.02	n/a	3-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	B	7,500	29.14	n/a	n/a	300.00	4.0
EATON VANCE MGMT	048	GD	B	7,440	63.31	n/a	29-Apr	223.20	3.0
Ⓕ BUCK CAPITAL MGMT		GIII	B	10,000	24.78	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	GPS	B	10,000	21.40	n/a	n/a	400.00	4.0
Ⓕ BUCK CAPITAL MGMT		HD	SS	20,000	31.68	n/a	19-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OZ MGMT	040	HD	SS	75,000	31.98	n/a	19-May	2,250.00	3.0
THIRD COAST CAPITAL	035	HD	S	18,000	32.04	n/a	19-May	900.00	5.0
BUCK CAPITAL MGMT	001	HOT	SS	10,000	46.03	n/a	n/a	400.00	4.0
EATON VANCE MGMT	048	HPQ	B	61,263	45.81	n/a	n/a	1,837.89	3.0
B BUCK CAPITAL MGMT		HTZ	B	30,000	9.99	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		HTZ	B	70,000	9.97	n/a	n/a	non-brg	3.0
EATON VANCE MGMT	048	IBM	B	5,000	124.72	n/a	n/a	150.00	3.0
EATON VANCE MGMT	048	INTC	B	15,000	20.33	n/a	n/a	450.00	3.0
ROSEDALE CAPITAL	046	INTC	S	10,000	20.42	n/a	n/a	500.00	5.0
PATTEN & PATTEN	067	ITT	S	1,700	45.86	n/a	30-Apr	85.00	5.0
B BUCK CAPITAL MGMT		JACK	S	46	21.10	n/a	n/a	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	JBHT	B	250	32.78	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	JNJ	S	1,200	58.51	n/a	n/a	60.00	5.0
B BUCK CAPITAL MGMT		JOSE	B	10,000	56.74	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		JWN	B	60,000	36.95	n/a	4-Jun	non-brg	4.0
EXIS CAPITAL	044	KSS	B	5,000	50.56	n/a	4-Jun	250.00	5.0
B BUCK CAPITAL MGMT		LEG	SS	20,000	21.99	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK LEDDY	035	LVL	B	20,000	1.15	n/a	n/a	800.00	4.0
BROADARCH CAP/OLD NEWC	070	LVS	S	6,300	24.31	n/a	1-Jun	252.00	4.0
BUCK CAPITAL MGMT	001	LZB	SS	20,000	10.86	n/a	n/a	800.00	4.0
EATON VANCE MGMT	048	MA	B	11,577	196.23	n/a	24-May	347.31	3.0
CLOVIS CAPITAL	012	MDR	B	100,000	21.95	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT		MFB	B	20,000	21.77	n/a	n/a	non-brg	4.0
SAXON WOODS ADVISORS	242	MO	B	350,000	20.21	n/a	n/a	10,500.00	3.0
EATON VANCE MGMT	048	MSFT	B	10,000	25.28	n/a	n/a	300.00	3.0
SAC CAP/ROSEN FOR BOBBY	044	MWW	B	85,000	12.52	n/a	n/a	2,975.00	3.5
BUCK CAPITAL MGMT	001	M	B	20,000	21.11	n/a	4-Jun	800.00	4.0
EXIS CAPITAL	044	M	B	5,000	20.54	n/a	4-Jun	250.00	5.0
BUCK CAPITAL MGMT		NWY	B	10,000	2.33	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		NWY	B	332,600	2.17	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		NWY	B	57,400	2.25	n/a	n/a	non-brg	2.0
CAVU CAP ADV	028	OSK	S	20,000	33.92	n/a	n/a	1,000.00	5.0
WINSLOW CAPITAL	030	OXY	B	4,300	80.37	n/a	n/a	172.00	4.0
CIRCLE T/SES PART	035	PAG	S	15,000	12.50	n/a	3-May	600.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EATON VANCE MGMT	048	PCAR	B	41,160	40.32	n/a	30-Apr	1,234.80	3.0
⚡ BUCK CAPITAL MGMT		PETM	SS	20,000	31.15	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PFCB	C	50,000	43.94	n/a	28-Apr	non-brg	4.0
CHILTON CAPITAL MGMT.	036	PG	SS	100,000	61.97	n/a	4-Jun	3,000.00	3.0
PATTEN & PATTEN	067	PG	S	200	61.86	n/a	4-Jun	10.00	5.0
⚡ BUCK CAPITAL MGMT		PIR	B	80,000	7.20	n/a	n/a	non-brg	3.0
⚡ CHRISTOPHER ROBERT C	FID	PKG	B	200	21.00	n/a	20-Apr	0.00	0.0
⚡ BUCK CAPITAL MGMT		PLCE	B	20,000	48.77	n/a	3-Jun	non-brg	4.0
BUCK CAPITAL MGMT	001	PSS	S	150,000	18.72	n/a	n/a	6,000.00	4.0
⚡ BUCK CAPITAL MGMT		QID	S	15,000	18.86	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		QKLS	S	1,600	4.98	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		ROST	SS	10,000	55.58	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	ROST	SS	12,500	56.19	n/a	n/a	625.00	5.0
⚡ BUCK CAPITAL MGMT		SCVL	SS	10,000	21.41	n/a	n/a	non-brg	2.5
WEISS PECK	040	SCVL	B	43,100	21.49	n/a	n/a	2,155.00	5.0
⚡ BUCK CAPITAL MGMT		SDS	S	15,000	35.55	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		SHOO	B	2,000	32.49	n/a	n/a	non-brg	0.8

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B	BUCK CAPITAL MGMT		SHOO	B	48,000	32.27	n/a	n/a	non-brg 4.0
	RBC CAPITAL MARKETS	040	SKS	S	50,000	8.22	n/a	n/a	2,000.00 4.0
f	CHRISTOPHER ROBERT C	FID	SLB	B	100	56.94	n/a	n/a	0.00 0.0
	RAINIER INV MGMT	035	TEN	B	135,070	21.09	n/a	n/a	5,402.80 4.0
	PATTEN & PATTEN	067	TEVA	B	1,600	51.84	n/a	8-Jun	80.00 5.0
B	BUCK CAPITAL MGMT		TIF	SS	10,000	42.29	n/a	n/a	non-brg 4.0
	EXIS CAPITAL	044	TIF	SS	5,000	42.86	n/a	n/a	250.00 5.0
	EXIS CAPITAL	044	TJX	SS	25,000	45.64	n/a	4-Jun	1,250.00 5.0
B	BUCK CAPITAL MGMT		TLB	B	140,000	11.84	n/a	n/a	non-brg 4.0
	COUGAR TRADING	044	TM	SS	5,000	69.43	n/a	n/a	250.00 5.0
B	BUCK CAPITAL MGMT		TSCO	C	20,000	65.27	n/a	n/a	non-brg 4.0
	EATON VANCE MGMT	048	TYC	B	5,000	35.37	n/a	3-Jun	150.00 3.0
f	JONATHAN RICHARD HAR	FID	TZA	S	2500	7.85	n/a	n/a	0.00 0.0
	EATON VANCE MGMT	048	T	B	10,000	25.01	n/a	n/a	300.00 3.0
	EXIS CAPITAL	044	UA	B	5,000	32.75	n/a	28-Apr	250.00 5.0
	EATON VANCE MGMT	048	UNP	B	16,473	70.68	n/a	n/a	494.19 3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER CAPITAL	226	UNP	B	65,000	70.37	n/a	n/a	3,900.00	6.0
SUN AMERICA ASSET MGMT	030	UNP	B	27,600	70.97	n/a	n/a	1,104.00	4.0
EATON VANCE MGMT	048	UTX	B	10,000	64.13	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		VFC	C	30,000	75.07	n/a	14-May	non-brg	4.0
CAVU CAP ADV	028	VSH	B	50,000	8.66	n/a	n/a	2,500.00	5.0
PATTEN & PATTEN	067	V	S	400	74.82	n/a	24-May	20.00	5.0
WINSLOW CAPITAL	030	V	B	16,000	74.91	n/a	24-May	640.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	WHR	S	5	0.80	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WMS	S	20,000	42.30	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WNC	B	80,000	6.56	n/a	n/a	non-brg	2.5
EXIS CAPITAL	044	WRC	B	15,000	38.65	n/a	14-May	750.00	5.0
WAGONHOUND/NIC	048	XLFF	S	18,000	13.98	n/a	n/a	540.00	3.0
B BUCK CAPITAL MGMT		XRT	C	230,000	38.92	n/a	n/a	non-brg	2.0
f MANNY I KORMAN	FID	XRX	B	15000	8.62	n/a	n/a	0.00	0.0
EATON VANCE MGMT	048	X	B	63,822	42.43	n/a	n/a	1,914.66	3.0
TOTAL (Trade Date):								107,477.33	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/08	MACKLOWE ASSET MGMT	041	IGT	B	50,000	18.45	n/a	23-Apr	2000.00 4.0
AO,CXL	06/08	OVERLAND PARK CAP	041	IGT	B	50,000	18.45	n/a	23-Apr	2000.00 4.0
AO,CXL	06/08	ROYCE TRADING	012	TLB	S	83,400	12.38	n/a	n/a	4170.00 5.0
AO,	06/08	ROYCE TRADING	012	TLB	S	83,400	12.37	n/a	n/a	4170.00 5.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date) :	107,477.33
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	107,477.33
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MRI Securities with Trade Activity on 9-Jun. NONE
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MRI Securities without Trade Activity on 9-Jun. NONE

BRG comm. as a % of BCM Total Comm. paid. T-Date = 16% YTD = 8%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)