

## **Daily Compliance Activity Report** Trade Date: 09/21/2012

## POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
EAGLE ASSET MGMT.	(FLORIDA) 093	ABT	В	1,745	69.90	n/a	n/a	34.90	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	ACC	В	795	44.28	n/a	n/a	15.90	0.0
JP MORGAN VENTURE	S 046	AEO	SS	10,000	23.50	n/a	2-Dec	400.00	4.0
EAGLE ASSET MGMT.	(FLORIDA) 093	AET	В	765	39.49	n/a	n/a	15.30	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	AMAT	В	9,280	11.46	n/a	n/a	185.60	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	AMP	В	585	57.94	n/a	n/a	11.70	0.0
BALLAST CAP	040	ANF	S	5,000	36.85	n/a	16-Aug	250.00	5.0
BALYASNY ASSET MG	MT 044	ANN	S	25 <b>,</b> 000	38.90	n/a	n/a	1,250.00	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	ATW	В	720	46.30	n/a	n/a	14.40	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	AXE	В	725	62.87	n/a	11-Sep	14.50	0.0
MILLRACE FUND LP	028	AXL	В	5,000	11.58	n/a	30-Jul	200.00	0.0
ROSEDALE CAPITAL	046	AXL	В	25,000	11.93	n/a	30-Jul	1,000.00	4.0
SCOPUS FUND	041	AXL	S	150,000	11.70	n/a	30-Jul	6,000.00	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	вмо	В	1,090	59.56	n/a	n/a	21.80	0.0
SMITH MGMT/ALDEN	GLOBAL	029	BZH	S 25	0,000	3.79	n/a	n/a 7 <b>,</b> 5	00.00 3.

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr
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CATAPULT CAP/DEFRANCO	040	CBS	В	16,825	36.97	n/a	n/a	673.00	0.0
EAGLE ASSET MGMT. (FLORID	OA) 093	CFNL	В	2,950	14.54	n/a	n/a	59.00	0.0
BALYASNY ASSET MGMT	044	CHRW	В	25,000	57.38	n/a	n/a	1,250.00	0.0
TUDOR INVESTORS	028	CLD	В	10,000	18.38	n/a	n/a	300.00	3.0
HOLLIS CAPITAL	033	СОН	В	4,000	56.77	n/a	1-Aug	200.00	5.0
LEVIN CAPITAL STRATEGIES	041	СОН	С	10,100	56.83	n/a	1-Aug	303.00	0.0
SIMMONS VENTURES	041	СОН	В	5,000	56.54	n/a	1-Aug	200.00	4.0
EAGLE ASSET MGMT. (FLORID	DA) 093	COP	В	1,255	57.36	n/a	n/a	25.10	0.0
NCM CAPITAL MGMT	035	CRS	В	10,720	54.34	n/a	n/a	428.80	0.0
EAGLE ASSET MGMT. (FLORID	OA) 093	CTL	В	2,070	42.36	n/a	n/a	41.40	0.0
EAGLE ASSET MGMT. (FLORID	OA) 093	cvx	В	810	118.07	n/a	n/a	16.20	0.0
EATON VANCE MGMT	029	CVX	S	24,559	118.22	n/a	n/a	736.77	0.0
EAGLE ASSET MGMT. (FLORID	DA) 093	С	В	1,450	33.61	n/a	17-Sep	29.00	0.0
EAGLE ASSET MGMT. (FLORID	OA) 093	DD	В	2,065	51.50	n/a	21-Sep	41.30	0.0
INVESCO	031	DISH	В	234,538	31.80	n/a	n/a	9,381.52	0.0
EAGLE ASSET MGMT. (FLORID	DA) 093	DLR	В	510	69.81	n/a	n/a	10.20	0.0
OZ MGMT	040	DLTR	S	73,983	49.22	n/a	16-Aug	2,219.49	0.0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	
EAGLE ASSET MGMT.	(FLORIDA) 093	DOV	В	550	61.07	n/a	n/a	11.00	0.0	
EAGLE ASSET MGMT.	(FLORIDA) 093	DPZ	В	580	34.87	n/a	24-Jul	11.60	0.0	
EAGLE ASSET MGMT.	(FLORIDA) 093	DTV	В	715	53.11	n/a	n/a	14.30	0.0	
EAGLE ASSET MGMT.	(FLORIDA) 093	DVN	В	395	60.95	n/a	23-Jul	7.90	0.0	
EAGLE ASSET MGMT.	(FLORIDA) 093	EMR	В	1,480	50.29	n/a	n/a	29.60	0.0	
CAMBIAR CAP	046	ENDP	В	95,000	32.74	n/a	19-Sep	3,800.00	0.0	
EAGLE ASSET MGMT.	(FLORIDA) 093	EOG	В	290	113.93	n/a	n/a	5.80	0.0	
EAGLE ASSET MGMT.	(FLORIDA) 093	ETN	В	730	47.76	n/a	12-Sep	14.60	0.0	
ARSENAL ASSET MANA	AGEMENT 028	EXP	В	15,000	46.71	n/a	n/a	600.00	0.0	
EAGLE ASSET MGMT.	(FLORIDA) 093	FCX	В	725	40.91	n/a	n/a	14.50	0.0	
NCM CAPITAL MGMT	035	FCX	В	4,200	41.05	n/a	n/a	168.00	0.0	
S.A.C. CAP/ORENSTE	EIN 044	FINL	В	25 <b>,</b> 000	23.35	n/a	21-Sep	875.00	3.5	
CAERUS GLOBAL	041	FNP	S	3,200	13.46	n/a	n/a	128.00	4.0	
EAGLE ASSET MGMT.	(FLORIDA) 093	GIS	В	1,910	40.23	n/a	n/a	38.20	0.0	
DC CAPITAL	044	GM	S	50,000	24.75	n/a	18-Sep	2,000.00	4.0	
EAGLE ASSET MGMT.	(FLORIDA) 093	GSK	В	1,325	46.83	n/a	n/a	26.50	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
EAGLE ASSET MGMT.	(FLORIDA) 093	HCP	В	730	45.16	n/a	n/a	14.60	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	HD	В	2,115	59.71	n/a	14-Aug	42.30	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	HON	В	1,810	60.80	n/a	12-Sep	36.20	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	HPP	В	2,005	18.42	n/a	n/a	40.10	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	IBM	В	290	207.67	n/a	n/a	5.80	0.0
BRANDWINE	028	INTC	В	98,800	23.17	n/a	n/a	3,952.00	0.0
ROTHSCHILD ASSET	040	IPHS	S	11,486	49.15	n/a	n/a	459.44	0.0
CSFB GLOBAL PROPRI	IETARY 041	IP	S	200,000	35.95	n/a	20-Aug	10,000.00	5.0
ROSEDALE CAPITAL	046	IP	В	20,000	35.51	n/a	20-Aug	1,000.00	5.0
EAGLE ASSET MGMT.	(FLORIDA) 093	JNJ	В	1,105	69.25	n/a	n/a	22.10	0.0
EATON VANCE MGMT	029	JNJ	S	29 <b>,</b> 176	69.09	n/a	n/a	875.28	0.0
SIMMONS VENTURES	041	JNY	SS	5,000	13.30	n/a	26-Jul	200.00	4.0
EAGLE ASSET MGMT.	(FLORIDA) 093	JPM	В	3,045	40.87	n/a	17-Sep	60.90	0.0
EAGLE ASSET MGMT.	(FLORIDA) 093	ко	В	1,875	38.28	n/a	18-Sep	37.50	0.0
CSFB GLOBAL PROPRI	IETARY 041	KS	S	50,000	20.25	n/a	n/a	2,500.00	5.0
EAGLE ASSET MGMT.	(FLORIDA) 093	LAZ	В	805	30.39	n/a	5-Sep	16.10	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	
ARSENAL ASSET MANAGEMENT	028	LPX	В	40,000	14.28	n/a	31-Jul	1,600.00	0.0	
CSFB GLOBAL PROPRIETARY	041	LPX	SS	35 <b>,</b> 000	14.08	n/a	31-Jul	1,750.00	5.0	
SCHOTTENFELD ASSOC	041	LPX	В	10,000	13.87	n/a	31-Jul	300.00	3.0	
EAGLE ASSET MGMT. (FLORID	A) 093	LUFK	В	745	58.78	n/a	n/a	14.90	0.0	
EAGLE ASSET MGMT. (FLORID	A) 093	MAT	В	1,985	35.96	n/a	n/a	39.70	0.0	
BALYASNY ASSET MGMT	044	MCD	SS	10,000	93.56	n/a	11-Sep	500.00	0.0	
EAGLE ASSET MGMT. (FLORID	A) 093	MCD	В	570	93.66	n/a	11-Sep	11.40	0.0	
EAGLE ASSET MGMT. (FLORID	A) 093	MD	В	455	75.64	n/a	n/a	9.10	0.0	
EAGLE ASSET MGMT. (FLORID	A) 093	МММ	В	845	93.32	n/a	21-Sep	16.90	0.0	
EAGLE ASSET MGMT. (FLORID	A) 093	MYL	В	1,910	24.49	n/a	17-Sep	38.20	0.0	
S.A.C. CAP/ORENSTEIN	044	М	S	60,000	38.96	n/a	31-Aug	2,100.00	3.5	
EAGLE ASSET MGMT. (FLORID	A) 093	NGG	В	1,685	55.90	n/a	n/a	33.70	0.0	
EAGLE ASSET MGMT. (FLORID	A) 093	NSC	В	625	65.64	n/a	n/a	12.50	0.0	
EAGLE ASSET MGMT. (FLORID	A) 093	OII	В	805	56.38	n/a	n/a	16.10	0.0	
EAGLE ASSET MGMT. (FLORID	A) 093	OMC	В	815	53.93	n/a	n/a	16.30	0.0	
ARSENAL ASSET MANAGEMENT	028	PCP	SS	5,000	164.45	n/a	1-Aug	200.00	0.0	
EAGLE ASSET MGMT. (FLORID	A) 093	PEP	В	955	70.74	n/a	n/a	19.10	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
EAGLE ASSET MGMT. (FLORIDA	A) 093	PFE	В	2,620	24.60	n/a	n/a	52.40	0.0
EAGLE ASSET MGMT. (FLORID	A) 093	PG	В	1,575	69.46	n/a	18-Sep	31.50	0.0
ARMATURE CAPITAL	041	PKG	В	100,000	33.82	n/a	20-Aug	4,000.00	0.0
CSFB GLOBAL PROPRIETARY	041	PKG	SS	10,457	34.05	n/a	20-Aug	522.85	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	S	84,543	34.08	n/a	20-Aug	4,227.15	5.0
LATEEF MGMT	035	PKG	S	4,350	33.86	n/a	20-Aug	482.50	12.6
EAGLE ASSET MGMT. (FLORID	A) 093	PLD	В	930	35.30	n/a	n/a	18.60	0.0
EAGLE ASSET MGMT. (FLORIDA	A) 093	PNC	В	1,155	66.12	n/a	n/a	23.10	0.0
CRAMER ROSENTHAL	070	PNR	В	101,300	44.09	n/a	n/a	4,052.00	0.0
SENATOR INVESTMENT GROUP	036	PNR	В	150,000	43.73	n/a	n/a	4,500.00	3.0
EAGLE ASSET MGMT. (FLORIDA	A) 093	PPG	В	410	118.18	n/a	9-Aug	8.20	0.0
EAGLE ASSET MGMT. (FLORIDA	A) 093	RGC	В	3,750	13.81	n/a	n/a	75.00	0.0
CSFB GLOBAL PROPRIETARY	041	RKT	В	15,000	69.01	n/a	20-Aug	750.00	5.0
EAGLE ASSET MGMT. (FLORIDA	A) 093	SFD	В	1,745	19.85	n/a	n/a	34.90	0.0
CATAPULT CAP/DEFRANCO	040	SKS	В	34,044	11.09	n/a	n/a	1,361.76	0.0
EAGLE ASSET MGMT. (FLORIDA	A) 093	SPG	В	340	155.97	n/a	n/a	6.80	0.0
EAGLE ASSET MGMT. (FLORIDA	A) 093	SPW	В	530	68.34	n/a	n/a	10.60	0.0

count	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
GLE ASSET MGMT.	(FLORIDA) 093	STBZ	В	1,215	16.42	n/a	n/a	24.30	0.0
AGLE ASSET MGMT.	(FLORIDA) 093	STJ	В	1,015	43.03	n/a	n/a	20.30	0.0
M CAPITAL MGMT	035	SWKS	В	12,255	25.48	n/a	n/a	490.20	0.0
AGLE ASSET MGMT.	(FLORIDA) 093	SYY	В	2,205	30.77	n/a	n/a	44.10	0.0
ALLAST CAP	040	TJX	В	4,700	45.26	n/a	31-Aug	235.00	5.0
AGLE ASSET MGMT.	(FLORIDA) 093	TOT	В	1,470	52.30	n/a	n/a	29.40	0.0
AGLE ASSET MGMT.	(FLORIDA) 093	TUP	В	590	55.74	n/a	n/a	11.80	0.0
AGLE ASSET MGMT.	(FLORIDA) 093	TYC	В	1,345	56.30	n/a	3-Aug	26.90	0.0
AGLE ASSET MGMT.	(FLORIDA) 093	T	В	2,485	38.50	n/a	n/a	49.70	0.0
RSENAL ASSET MANA	AGEMENT 028	UAL	SS	25,000	20.12	n/a	n/a	1,000.00	0.0
ALYASNY ASSET MGN	1T 044	UA	В	15,000	56.73	n/a	18-Sep	750.00	0.0
AGLE ASSET MGMT.	(FLORIDA) 093	UNP	В	320	120.54	n/a	n/a	6.40	0.0
AGLE ASSET MGMT.	(FLORIDA) 093	UTX	В	840	81.02	n/a	n/a	16.80	0.0
OBAL 56 LLC	060	VELT	S	3,400	9.70	n/a	n/a	170.00	5.0
AGLE ASSET MGMT.	(FLORIDA) 093	WFC	В	1,800	34.87	n/a	5-Sep	36.00	0.0
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(FLORIDA)093 T B 2,485 38.50 n/a n/a GGLE ASSET MGMT. (FLORIDA)093 T B 2,485 38.50 n/a n/a LLYASNY ASSET MGMT. 044 UA B 15,000 56.73 n/a 18-Sep GGLE ASSET MGMT. (FLORIDA)093 UNP B 320 120.54 n/a n/a GGLE ASSET MGMT. (FLORIDA)093 UNP B 320 120.54 n/a n/a GGLE ASSET MGMT. (FLORIDA)093 UNP B 320 120.54 n/a n/a GGLE ASSET MGMT. (FLORIDA)093 UNP B 320 120.54 n/a n/a GGLE ASSET MGMT. (FLORIDA)093 UNP B 320 120.54 n/a n/a GGLE ASSET MGMT. (FLORIDA)093 UTX B 840 81.02 n/a n/a GGLE ASSET MGMT. (FLORIDA)093 UTX B 840 81.02 n/a n/a	GLE ASSET MGMT. (FLORIDA)093 STBZ B 1,215 16.42 n/a n/a 24.30 gLE ASSET MGMT. (FLORIDA)093 STJ B 1,015 43.03 n/a n/a 20.30 m CAPITAL MGMT 035 SWKS B 12,255 25.48 n/a n/a 490.20 gLE ASSET MGMT. (FLORIDA)093 SYY B 2,205 30.77 n/a n/a 14.10 gLLAST CAP 040 TJX B 4,700 45.26 n/a 31-Aug 235.00 gLE ASSET MGMT. (FLORIDA)093 TOT B 1,470 52.30 n/a n/a 12.80 gLE ASSET MGMT. (FLORIDA)093 TUP B 590 55.74 n/a n/a 11.80 gLE ASSET MGMT. (FLORIDA)093 TYC B 1,345 56.30 n/a 3-Aug 26.90 gLE ASSET MGMT. (FLORIDA)093 T B 2,485 38.50 n/a n/a 3-Aug 26.90 gLE ASSET MGMT. (FLORIDA)093 T B 2,485 38.50 n/a n/a 149.70 gLE ASSET MGMT. (FLORIDA)093 T B 1,500 56.73 n/a n/a 18-Sep 750.00 gLE ASSET MGMT. (FLORIDA)093 UNP B 320 120.54 n/a n/a 18-Sep 750.00 gLE ASSET MGMT. (FLORIDA)093 UNP B 320 120.54 n/a n/a 16.80 gLE ASSET MGMT. (FLORIDA)093 UNY B 340 81.02 n/a n/a 16.80 gle ASSET MGMT. (FLORIDA)093 UNY B 340 81.02 n/a n/a 16.80 gle ASSET MGMT. (FLORIDA)093 UNY B 340 81.02 n/a n/a 170.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
NCM CAPITAL MGMT	035	WFC	S	8,800	35.07	n/a	5-Sep	352.00 0.0
CRAMER ROSENTHAL	070	WLL	В	29,100	49.30	n/a	n/a	1,164.00 0.0
ARSENAL ASSET MANAGEMENT	028	WY	S	20,000	26.59	n/a	27-Jul	800.00 0.0
BALLAST CAP	040	WY	S	13,400	26.52	n/a	27-Jul	670.00 5.0
EAGLE ASSET MGMT. (FLORID	A) 093	XOM	В	1,045	92.07	n/a	n/a	20.90 0.0
EAGLE ASSET MGMT. (FLORID	A) 093	XRX	В	2,915	7.74	n/a	n/a	58.30 0.0
EAGLE ASSET MGMT. (FLORID	A) 093	ZMH	В	650	67.59	n/a	n/a	13.00 0.0

TOTAL (Trade Date): 92,660.56

Туре	Date Account	RR # Symbol B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL	(AO, CXL, CRT):					0.00
	(Trade Date): (AO, CXL, CRT):				92,6	60.56
TOTAL	(RUN DATE) / NFS:				92,6	60.56

## Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION