



Daily Compliance Activity Report

Trade Date: 06/18/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AAPL	B	200	179.92	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	AAPL	S	200	180.65	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	AAP	S	49,300	40.51	n/a	n/a	1,972.00	4.0
PATTEN & PATTEN	067	ADBE	S	1,450	41.31	n/a	n/a	2.50	1.7
BUCK CAPITAL MGMT	001	AEO	S	15,000	16.08	6-Mar	6-Jun	600.00	4.0
BUCK CAPITAL MGMT		AEO	S	10,000	16.07	6-Mar	6-Jun	non-brg	4.0
THE JAY GOLDMAN	034	AEO	B	110,000	16.26	6-Mar	6-Jun	4,400.00	4.0
BUCK CAPITAL MGMT		AG	SS	50,000	58.87	n/a	23-May	non-brg	4.0
HARRIS ASSOCIATES	031	ALO	S	27,700	24.09	n/a	n/a	831.00	3.0
CATTANI	041	AMX	SS	300	53.84	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	68.68	10-Apr	6-Jun	800.00	4.0
BUCK CAPITAL MGMT		ANN+UX	SS	1,200	1.25	n/a	n/a	non-brg	300.0
ACK ASSET	028	AN	SS	8,000	12.97	10-Jun	10-Jun	240.00	3.0
BUCK CAPITAL MGMT		AN	SS	100,000	12.88	10-Jun	10-Jun	non-brg	4.0
COUGAR TRADING	044	AN	SS	10,000	12.97	10-Jun	10-Jun	500.00	5.0
PATTEN & PATTEN	067	APA	S	100	139.27	7-May	18-Jun	5.00	5.0
CAXTON PART	040	ARBA	B	100,000	15.97	n/a	n/a	3,500.00	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		ARO	S	20,000	33.22	28-Apr	6-Jun	non-brg	4.0
BARROW HANLEY MEWHINNEY	031	AXP	S	19,000	41.66	n/a	n/a	760.00	4.0
PATTEN & PATTEN	067	AXP	S	100	41.95	n/a	n/a	5.00	5.0
BARROW HANLEY MEWHINNEY	031	BAC	S	14,900	28.47	n/a	16-Jun	596.00	4.0
BUCK	015	BAC	SS	1,500	28.79	n/a	16-Jun	0.00	0.0
FRONTPOINT CHRIS C	041	BA	B	6,600	75.87	n/a	n/a	264.00	4.0
B BUCK CAPITAL MGMT		BBBY	SS	30,000	28.90	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BBY	SS	150,000	42.83	n/a	27-Jul	non-brg	4.0
PATTEN & PATTEN	067	BBY	B	250	43.27	n/a	27-Jul	12.50	5.0
SUNOVA CAPITAL	031	BEN	S	65,000	101.74	24-Mar	9-Jun	3,250.00	5.0
LEVIN CAPITAL STRATEGIES	012	BIG	SS	20,000	33.53	29-May	5-Jun	800.00	4.0
TRELLUS PARTNERS LP	028	BIG	SS	10,000	33.34	29-May	5-Jun	500.00	5.0
B BUCK CAPITAL MGMT		BJ+UG	SS	500	1.30	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		BJ	SS	20,000	40.36	n/a	27-Jul	non-brg	4.0
SUNOVA CAPITAL	031	BLK	S	20,000	204.63	n/a	2-Jun	1,000.00	5.0
BARROW HANLEY MEWHINNEY	031	BMY	S	31,600	19.89	n/a	n/a	1,264.00	4.0
B BUCK CAPITAL MGMT		BPOP	SS	100,000	7.97	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	BWA	SS	25,000	48.80	10-Jun	10-Jun	1,250.00	5.0
PATTEN & PATTEN	067	CAG	S	2,500	22.20	n/a	n/a	125.00	5.0
ROSEDALE CAPITAL	046	CB	S	15,000	52.55	n/a	n/a	750.00	5.0
WHEELER	008	CECE	B	5,717	6.35	n/a	n/a	0.00	0.0
STRONG/MARK GRONET	038	CHB	B	25,000	7.08	n/a	n/a	750.00	3.0
KORMAN	003	CHCG	S	3,200	1.42	n/a	n/a	0.00	0.0
COUGAR TRADING	044	CHS	B	30,000	6.62	11-Apr	6-Jun	1,500.00	5.0
ZOLIDIS	011	CHS	C	1,000	6.49	11-Apr	6-Jun	0.00	0.0
BLACKSTONE KAILIX ASS.	048	CL	SS	150,000	71.95	n/a	17-Jun	7,500.00	5.0
BUCK	015	CMA	SS	1,200	29.44	n/a	n/a	0.00	0.0
PALMYRA CAPITAL	048	CMC	S	20,000	37.74	n/a	n/a	1,000.00	5.0
HARRIS ASSOCIATES	031	CNO	S	93,400	11.32	n/a	n/a	2,802.00	3.0
CRAMER ROSENTHAL/SCOTT	012	COH	B	1,200	32.98	4-Apr	23-Apr	48.00	4.0
TRELLUS PARTNERS LP	028	COH	B	30,000	32.39	4-Apr	23-Apr	1,500.00	5.0
B BUCK CAPITAL MGMT		COLM	SS	20,000	39.11	n/a	n/a	non-brg	1.0
ROTHSCHILD ASSET	040	CQB	S	7,700	17.00	n/a	n/a	346.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CENTURION GLOBAL MGMT LL	041	CRUS	S	28,000	6.08	n/a	n/a	840.00	3.0
J L F ASSET MGMT	039	CTRN	S	25,000	24.72	n/a	n/a	1,000.00	4.0
BARROW HANLEY MEWHINNEY	031	C	S	14,900	20.01	16-Jan	16-Jun	596.00	4.0
CAXTON PART	040	DD	S	100,000	46.79	n/a	14-May	3,500.01	3.5
PATTEN & PATTEN	067	DFS	S	50	14.89	n/a	n/a	2.50	5.0
PATTEN & PATTEN	067	DIS	S	2,000	33.19	n/a	n/a	100.00	5.0
SIGMA CAPITAL ASSOCIATES	237	DIS	B	10,000	32.93	n/a	n/a	500.00	5.0
COUGAR TRADING	044	DKS	B	20,000	21.93	n/a	23-May	1,000.00	5.0
PATTEN & PATTEN	067	DNN	S	1,400	7.46	n/a	n/a	70.00	5.0
B BUCK CAPITAL MGMT		DQO+TZ	SS	1,000	1.12	n/a	n/a	non-brg 300.0	
GENERAL ELECTIC CO	033	DVA	S	35,000	49.91	n/a	n/a	1,000.00	3.0
AMATURO	018	EPG	B	2,000	5.00	n/a	n/a	0.00	0.0
CROWLEY	061	EPG	B	1,494	4.95	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	EP	B	300	21.14	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	EP	S	300	21.22	n/a	n/a	0.00	0.0
NEWLAND CAPITAL MGT	067	ETN	B	1,700	94.15	18-Jun	18-Jun	85.00	5.0
PATTEN & PATTEN	067	EWG	S	3,950	31.69	n/a	n/a	197.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	EWI	S	1,700	18.00	n/a	n/a	85.00	5.0
⌘ BUCK CAPITAL MGMT		FDO+VW	SS	1,000	1.24	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		FDO	SS	20,000	19.87	8-Jan	5-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FMQ+WE	SS	1,000	2.62	n/a	n/a	non-brg	300.0
BARR	096	FRE	SS	800	23.11	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FUA+LI	SS	2,000	0.70	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		GES	S	20,000	39.97	n/a	n/a	non-brg	4.0
DIAMONDBACK CAP MGMT	232	GE	SS	50,000	28.37	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		GIII	B	20,000	13.58	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	B	10,000	27.50	30-Apr	28-May	non-brg	4.0
EGS ASSOCIATES LP	091	GIL	B	3,000	27.60	30-Apr	28-May	180.00	6.0
MAIRS AND POWER INV	030	GIS	S	10,000	62.80	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		GM+XS	SS	1,000	1.70	n/a	n/a	non-brg	300.0
LUCHANSKY1	036	GMRX	S	2	7.45	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GM	SS	56,000	15.50	12-Jun	12-Jun	non-brg	4.0
TRELLUS PARTNERS LP	028	GNTX	C	800	15.56	7-Apr	9-Jun	40.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ACK ASSET	028	GPI	SS	1,200	21.85	10-Jun	10-Jun	48.00	4.0
COUGAR TRADING	044	GPI	SS	10,000	21.80	10-Jun	10-Jun	500.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	30,000	21.73	10-Jun	10-Jun	1,500.00	5.0
B BUCK CAPITAL MGMT		GPS	SS	500,000	17.64	n/a	6-Jun	non-brg	4.0
COUGAR TRADING	044	GPS	B	40,000	17.73	n/a	6-Jun	2,000.00	5.0
EFSTATHIOU	044	GTE	B	500	8.08	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GYMB	S	31,000	42.63	3-Jun	6-Jun	non-brg	4.0
WHEELER	008	HAYN	S	2,000	56.83	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	HD	S	500	26.46	n/a	27-Jul	25.00	5.0
KAICHER	064	HK	B	1,000	39.55	n/a	n/a	0.00	0.0
POST ROAD CAP	045	ICFI	B	13,300	17.13	n/a	n/a	399.00	3.0
NOMURA CAPITAL	045	IESC	S	1,182	17.25	n/a	n/a	59.10	5.0
TRIVIUM CAP MGMT	251	IMMR	B	50,000	7.39	n/a	n/a	2,000.00	4.0
BARROW HANLEY MEWHINNEY	031	INTC	S	15,700	22.55	n/a	n/a	628.00	4.0
SANDLER O'NEILL ASSET	031	IVZ	B	25,000	26.14	10-Apr	17-Jun	1,250.00	5.0
FRONTPOINT CHRIS C	041	IWM	SS	10,200	73.08	n/a	n/a	408.00	4.0
B BUCK CAPITAL MGMT		JAH	B	30,000	20.21	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		JCG	B	10,000	33.53	n/a	30-May	non-brg	4.0
COUGAR TRADING	044	JCG	B	10,000	33.64	n/a	30-May	500.00	5.0
JP MORGAN VENTURES	046	JCG	B	30,000	33.47	n/a	30-May	1,500.00	5.0
SUNOVA CAPITAL	031	JNS	S	25,000	29.99	25-Apr	2-Jun	1,250.00	5.0
JP MORGAN VENTURES	046	JNY	B	50,000	15.29	n/a	1-May	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	B	20,000	30.33	n/a	n/a	non-brg	4.0
J L F ASSET MGMT	039	JOYG	S	8,900	82.65	n/a	30-May	356.00	4.0
DANIELS	N25	KEG	S	450	18.00	n/a	18-Jun	0.00	0.0
BALYASNY ASSET MGMT	044	KEY	B	25,000	11.22	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		KMX+SW	C	1,500	1.67	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		KMX+VD	C	1,000	4.50	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		KMX+VW	C	1,000	2.62	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		KMX	C	352,500	16.44	n/a	10-Jan	non-brg	1.0
ZOLIDIS	011	KMX	B	500	16.34	n/a	10-Jan	0.00	0.0
PATTEN & PATTEN	067	KO	B	1,200	53.61	n/a	n/a	60.00	5.0
⌘ BUCK CAPITAL MGMT		KSS	S	20,000	43.08	n/a	6-Jun	non-brg	4.0
COUGAR TRADING	044	KSS	SS	20,000	44.00	n/a	6-Jun	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	KSS	B	10,000	43.30	n/a	6-Jun	500.00	5.0
⌘ BUCK CAPITAL MGMT		KTQ+RP	C	850	2.77	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		KTQ+UL	SS	850	1.82	n/a	n/a	non-brg	300.0
BUCK	015	K	B	500	51.10	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LAMR	S	125,100	34.77	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	LAZ	B	6,170	38.30	n/a	n/a	308.50	5.0
⌘ BUCK CAPITAL MGMT		LEG	SS	100,000	17.73	n/a	n/a	non-brg	4.0
J L F ASSET MGMT	039	LEG	S	16,500	17.79	n/a	n/a	660.00	4.0
EFSTATHIOU	044	LEH	S	200	24.22	9-Jun	17-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	SS	30,000	16.05	n/a	14-May	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		LJQ+FH	C	1,000	0.05	n/a	n/a	non-brg	100.0
AVESTA CAP	229	LOW	SS	100,000	23.00	n/a	27-Jul	5,000.00	5.0
DAVIDSON KEMPNER ADVISOR	040	LPX	S	93,900	10.65	7-Apr	7-May	4,695.00	5.0
⌘ BUCK CAPITAL MGMT		LTD+WW	SS	2,000	1.40	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	LTD	B	20,000	18.77	n/a	6-Jun	1,000.00	5.0
JP MORGAN VENTURES	046	LTD	SS	20,000	18.67	n/a	6-Jun	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		LTM	S	20,000	33.62	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	MBI	S	600	5.74	n/a	n/a	30.00	5.0
BUCK	015	MHS	B	500	46.09	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	MMM	S	100	74.80	n/a	24-Apr	5.00	5.0
BARROW HANLEY MEWHINNEY	031	MO	S	22,500	20.74	n/a	n/a	900.00	4.0
PRIME LOGIC ADV	039	MSM	S	15,000	49.62	n/a	n/a	750.00	5.0
PATTEN & PATTEN	067	MS	B	750	38.57	n/a	16-Jun	0.00	0.0
PATTEN & PATTEN	067	MS	S	1,150	38.67	n/a	16-Jun	20.00	2.5
SANDLER O'NEILL ASSET	031	MS	SS	50,000	40.78	n/a	16-Jun	2,500.00	5.0
B BUCK CAPITAL MGMT		MVRX	SS	80,000	141.03	n/a	n/a	non-brg	14.1
JP MORGAN VENTURES	046	MW	B	40,000	18.47	n/a	n/a	2,000.00	5.0
BMO NESBITT BURNS	041	M	B	25,000	21.49	7-Feb	2-Jun	1,250.00	5.0
FIRST NY SEC/CHRIS	041	M	B	15,000	21.47	7-Feb	2-Jun	900.00	6.0
SIGMA CAPITAL ASSOCIATES	237	M	B	10,000	21.30	7-Feb	2-Jun	500.00	5.0
FRONTPOINT CHRIS C	041	NOIZ	B	21	7.99	n/a	n/a	0.84	4.0
BARROW HANLEY MEWHINNEY	031	NOK	S	14,100	25.41	n/a	n/a	564.00	4.0
HARRIS ASSOCIATES	031	NSM	S	60,000	22.20	n/a	n/a	1,800.00	3.0
VENTANA CAPITAL	060	NSM	B	10,000	22.18	n/a	n/a	300.00	3.0
CAXTON PART	040	NTES	B	50,000	23.31	n/a	n/a	1,750.00	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	OSK	B	550	35.47	n/a	n/a	27.50	5.0
⌘ BUCK CAPITAL MGMT		OXM	SS	10,000	21.47	n/a	n/a	non-brg	4.0
KAICHER	064	PAAS	S	2,000	30.76	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	PAG	B	25,000	16.12	10-Jun	10-Jun	1,250.00	5.0
COUGAR TRADING	044	PAG	SS	10,000	15.93	10-Jun	10-Jun	500.00	5.0
PATTEN & PATTEN	067	PCL	S	1,600	45.39	29-Apr	29-Apr	80.00	5.0
NOLAN	067	PDRT	S	500	2.81	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		PERY	S	5,000	22.17	n/a	n/a	non-brg	2.5
BUCK	015	PJC	C	1,000	36.61	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	031	PM	S	13,600	50.10	n/a	n/a	544.00	4.0
FRONTPOINT CHRIS C	041	PNC	SS	2,300	57.24	n/a	n/a	92.00	4.0
JP MORGAN VENTURES	046	PSS	B	15,000	12.58	n/a	n/a	750.00	5.0
COUGAR TRADING	044	PSUN	B	40,000	9.45	n/a	6-Jun	2,000.00	5.0
J L F ASSET MGMT	039	PSUN	S	31,800	9.49	n/a	6-Jun	1,272.00	4.0
⌘ BUCK CAPITAL MGMT		PVH+LK	SS	2,000	1.40	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		PVH+LL	SS	2,000	0.75	n/a	n/a	non-brg	100.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	PWR	S	2,200	33.09	n/a	n/a	110.00	5.0
WAGONHOUND	048	PZN	S	1,815	12.70	24-Mar	10-Jun	72.60	4.0
SYSTEMATIC FINANCIAL MGT	035	RGS	S	10,000	29.75	n/a	n/a	375.00	3.8
BARR	096	RKH	SS	200	96.18	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	B	25,000	64.67	7-Feb	29-May	1,000.00	4.0
J L F ASSET MGMT	039	RSH	S	65,000	13.44	n/a	n/a	2,600.00	4.0
HOLDEN CAPITAL MGMT	035	RSOL	SS	10,000	6.16	n/a	n/a	500.00	5.0
WHEELER	008	RUSHA	B	5,000	13.00	n/a	n/a	0.00	0.0
COUGAR TRADING	044	SDS	SS	5,000	60.95	n/a	n/a	250.00	5.0
STADIA CAPITAL	035	SJM	SS	32,500	50.54	n/a	n/a	1,300.00	4.0
CATAPULT	040	SLM	S	28,000	23.38	n/a	n/a	1,120.00	4.0
BARR	096	SLV	B	50	172.19	n/a	n/a	0.00	0.0
BARR	096	SLV	S	250	172.25	n/a	n/a	0.00	0.0
LISTED ERR	099	SONC	S	1,000	17.30	n/a	n/a	0.00	0.0
HEALY CIRCLE	044	SPR	S	20,000	24.25	n/a	n/a	1,000.00	5.0
KAICHER	064	SPWR	B	500	82.68	n/a	n/a	0.00	0.0

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KAICHER	064	SPWR	S	500	84.05	n/a	n/a	0.00	0.0
FRONTPOINT CHRIS C	041	SPY	SS	5,600	134.43	n/a	n/a	224.00	4.0
B BUCK CAPITAL MGMT		SUMR	B	30,000	4.25	n/a	n/a	non-brg	3.0
PATTEN & PATTEN	067	SWN	B	200	45.76	20-Mar	18-Jun	0.00	0.0
PATTEN & PATTEN	067	SWN	S	200	46.07	20-Mar	18-Jun	0.00	0.0
HAYGROUND COE	039	SWY	B	28,250	30.03	n/a	n/a	1,130.00	4.0
CATAPULT	040	SYNT	B	25,000	36.20	n/a	n/a	1,000.00	4.0
JCK PARTNERS	067	TEX	S	5,000	62.06	n/a	3-Jun	200.00	4.0
B BUCK CAPITAL MGMT		TGT	SS	160,000	51.28	n/a	5-Jun	non-brg	4.0
DIAMONDBACK CAP MGMT	232	TGT	C	25,000	51.04	n/a	5-Jun	1,250.00	5.0
VICTORIA INVESTORS LP	060	TJX	B	5,000	32.44	n/a	6-Jun	250.00	5.0
LEDDY	035	TLB	S	1,100	12.11	17-Apr	11-Jun	0.00	0.0
B BUCK CAPITAL MGMT		TPX	B	10,000	8.83	n/a	n/a	non-brg	4.0
GALT INVESTMENT	041	TPX	B	23,500	8.60	n/a	n/a	705.00	3.0
PERRY PARTNERS	041	TTWO	B	50,000	26.42	n/a	n/a	1,500.00	3.0
B BUCK CAPITAL MGMT		TUY+LI	SS	1,000	1.75	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	TWB	B	10,000	17.46	17-Mar	22-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PALMYRA CAPITAL	048	TXI	SS	10,900	69.54	n/a	n/a	545.00	5.0
B BUCK CAPITAL MGMT		URBN	S	20,000	32.69	15-May	15-May	non-brg	4.0
J L F ASSET MGMT	039	URBN	S	60,000	33.03	15-May	15-May	2,400.00	4.0
SAC TRADING HOWARD	038	URBN	B	15,000	32.92	15-May	15-May	750.00	5.0
B BUCK CAPITAL MGMT		URQ+LH	SS	1,000	1.81	n/a	n/a	non-brg	300.0
BARROW HANLEY MEWHINNEY	031	VZ	S	26,100	35.40	4-Jan	4-Jan	1,044.00	4.0
PATTEN & PATTEN	067	V	B	200	83.89	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	V	S	300	84.15	n/a	n/a	5.00	2.5
CHILTON CAPITAL MGMT.	036	WB	SS	500,000	16.63	n/a	n/a	20,000.00	4.0
PATTEN & PATTEN	067	WB	S	1,100	16.30	n/a	n/a	55.00	5.0
SUNOVA CAPITAL	031	WDR	B	50,000	36.65	22-Apr	2-Jun	2,500.00	5.0
B BUCK CAPITAL MGMT		WFMI	SS	100,000	25.88	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		WSM	SS	20,000	22.00	n/a	n/a	non-brg	4.0
ROXBURY CAPITAL MGMT	035	WWW	B	59,000	27.51	n/a	13-Mar	2,360.00	4.0
PATTEN & PATTEN	067	WYE	S	300	45.01	n/a	31-Mar	15.00	5.0
PATTEN & PATTEN	067	WY	B	5,250	55.77	7-Apr	27-May	262.50	5.0
B BUCK CAPITAL MGMT		XRT	SS	18,200	31.67	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	ZION	SS	1,600	31.94	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							147,474.55		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/17	BAYPOINT/PRIMEMOVERS	041	NAVI	S	5,700	4.71	n/a	n/a	285.00 5.0
AO,CXL	06/17	BAYPOINT/PRIMEMOVERS	041	NAVI	S	5,700	4.71	n/a	n/a	171.00 3.0
AO,CRT	06/16	BAYPOINT TRADING/XYLEM	091	PTV	B	100,000	23.62	n/a	n/a	4000.00 4.0
AO,CXL	06/16	BAYPOINT TRADING/XYLEM	091	PTV	B	100,000	23.62	n/a	n/a	5000.00 5.0
AO,	06/13	LISTED ERR	099	SONC	B	1,000	18.04	n/a	n/a	0.00 0.0
AO,CRT	06/13	JMP ASSET MGMT	210	SONC	S	9,000	18.04	n/a	n/a	450.00 5.0
AO,CXL	06/13	JMP ASSET MGMT	210	SONC	S	8,000	18.04	n/a	n/a	400.00 5.0
AO,	06/17	NORTHPOINT	030	STU	B	5,815	117.93	n/a	n/a	232.60 4.0
AO,CRT	06/16	LISTED ERR	099	TCB	B	3,600	13.43	n/a	n/a	0.00 0.0
AO,CXL	06/16	CATAPULT	040	TCB	B	3,600	13.43	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										-603.40

TOTAL (Trade Date):	147,474.55
TOTAL (AO, CXL, CRT):	-603.40

TOTAL (RUN DATE) / NFS:	146,871.15
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MRI Securities with Trade Activity on 18-Jun.

ETN

MRI Securities without Trade Activity on 18-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION