



Daily Compliance Activity Report

Trade Date: 02/02/2009

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AB	B	25,000	16.51	2-Feb	3-Feb	1,250.00	5.0
COUGAR TRADING	044	AEO	B	5,000	8.93	n/a	30-Jan	200.00	4.0
PEREGRINE	030	AEO	B	17,000	8.88	n/a	30-Jan	680.00	4.0
T. ROWE PRICE	033	AES	S	283,330	7.99	n/a	n/a	8,499.90	3.0
COUGAR TRADING	044	ALV	B	5,000	19.21	30-Jan	30-Jan	250.00	5.0
BALYASNY ASSET MGMT	044	AMG	S	10,000	39.82	13-Nov	3-Feb	500.00	5.0
STRAUSS ASSET MGMT	040	AN	SS	25,000	9.39	30-Jan	30-Jan	1,000.00	4.0
CAVU CAP ADV	028	APC	S	32,000	36.03	3-Feb	3-Feb	1,600.00	5.0
MILLENIUM KOZLOW	028	ARG	B	5,000	36.55	9-Jan	29-Jan	200.00	4.0
BALYASNY ASSET MGMT	044	BEN	B	5,000	48.40	13-Nov	3-Feb	250.00	5.0
BALYASNY ASSET MGMT	044	BX	S	30,000	4.22	n/a	n/a	900.00	3.0
BMO NESBITT BURNS	041	CL	S	16,000	63.95	n/a	29-Jan	800.00	5.0
WEISS PECK	040	CRS	S	8,600	15.92	n/a	n/a	430.00	5.0
WEISS PECK	040	CVD	S	5,800	38.54	n/a	n/a	290.00	5.0
RBC CAPITAL MARKETS	034	DKS	B	10,000	11.01	7-Oct	30-Jan	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CHARTER OAK	033	DVN	S	37,089	59.75	3-Feb	3-Feb	1,854.45	5.0
JP MORGAN VENTURES	046	EAT	SS	46,200	10.80	n/a	24-Apr	2,310.00	5.0
JAT CAPITAL MANAGEMENT	041	ERIC	B	80,500	7.87	n/a	n/a	2,415.00	3.0
AVESTA CAP	229	ETN	SS	25,000	42.71	26-Jan	26-Jan	1,250.00	5.0
CUMBERLAND	038	EXH	B	7,000	22.06	27-Jan	3-Feb	280.00	4.0
BALYASNY ASSET MGMT	044	FAF	B	15,000	22.92	n/a	n/a	750.00	5.0
BALYASNY ASSET MGMT	044	FNF	B	25,000	15.79	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	GIL	S	9,300	10.58	11-Dec	12-Dec	465.00	5.0
CENTURION GLOBAL MGMT LL	041	GPS	B	12,200	11.30	n/a	30-Jan	366.00	3.0
HUNTER GLOBAL INVESTOR	067	GVA	SS	14,600	34.07	n/a	n/a	730.00	5.0
AVESTA CAP	229	IBM	B	10,000	90.92	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	IVZ	B	10,000	12.03	9-Jan	3-Feb	500.00	5.0
BUCK CAPITAL MGMT	001	JCG	S	20,000	9.64	n/a	30-Jan	600.00	3.0
BUCK CAPITAL MGMT	001	JNY	B	30,000	3.36	n/a	23-Jan	600.00	2.0
BUCK CAPITAL MGMT	001	KCP	B	20,000	6.84	n/a	28-Sep	600.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Ted Wheeler		KEG	B	100	73.5000	14-Jan	3-Feb	0.00	0.0
COUGAR TRADING	044	KSS	S	5,000	37.36	n/a	30-Jan	250.00	5.0
BALYASNY ASSET MGMT	044	LAZ	B	5,000	25.67	n/a	n/a	250.00	5.0
CSFB GLOBAL PROPRIETARY	041	LPX	S	20,000	2.04	5-Nov	23-Jan	600.00	3.0
TRELLUS PARTNERS LP	028	MGA	SS	5,000	28.34	n/a	n/a	250.00	5.0
HUNTER GLOBAL INVESTOR	067	MLM	SS	6,200	80.65	n/a	n/a	310.00	5.0
MKT MAKER	099	MSFT	S	1	17.91	n/a	3-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	M	B	200,000	9.27	12-Aug	3-Feb	6,000.00	3.0
COUGAR TRADING	044	M	SS	10,000	8.28	12-Aug	3-Feb	400.00	4.0
NOMURA CAPITAL	045	OC	S	3,483	13.71	n/a	n/a	174.15	5.0
NEUBERGER BERMAN	036	OFC	B	2,900	26.74	n/a	n/a	130.50	4.5
SAXON WOODS ADVISORS	038	PFE	B	350,000	15.03	n/a	31-Mar	10,500.00	3.0
BMO NESBITT BURNS	041	PG	B	19,000	52.73	3-Feb	3-Feb	950.00	5.0
COUGAR TRADING	044	PKG	B	10,000	14.05	5-Dec	21-Jan	500.00	5.0
COUGAR TRADING	044	PKG	SS	10,000	13.84	5-Dec	21-Jan	500.00	5.0
NEUBERGER BERMAN	036	PLD	S	2,700	9.25	n/a	n/a	81.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DIAMONDBACK CAP MGMT	232	PPG	SS	9,000	37.56	n/a	22-Jan	450.00	5.0
COLUMBIA PARTNERS	028	QDEL	B	600	12.25	n/a	n/a	24.00	4.0
FIRST NEW YORK LEDDY	035	Q	S	10,000	3.17	n/a	n/a	600.00	6.0
BMO NESBITT BURNS	041	RKT	B	2,500	30.55	n/a	n/a	125.00	5.0
BMO NESBITT BURNS	041	RKT	SS	2,500	30.84	n/a	n/a	125.00	5.0
BUCK CAPITAL MGMT	001	RL	B	225,000	39.66	n/a	20-Jan	9,000.00	4.0
SIGMA CAPITAL ASSOCIATES	237	ROST	S	25,000	29.38	n/a	n/a	1,250.00	5.0
AVESTA CAP	229	TSM	B	50,000	7.50	n/a	n/a	2,500.00	5.0
BALYASNY ASSET MGMT	044	VFC	SS	50,000	54.87	n/a	n/a	2,500.00	5.0
HUNTER GLOBAL INVESTOR	067	VMC	SS	10,300	48.28	n/a	n/a	515.00	5.0
COLUMBIA PARTNERS	028	V	S	56,942	49.76	n/a	28-Jan	2,277.68	4.0
MATRIX ASSET ADV.	036	WAG	S	15,000	27.15	n/a	n/a	600.00	4.0
AVESTA CAP	229	WFR	B	25,000	12.92	n/a	n/a	1,250.00	5.0
JP MORGAN VENTURES	046	XRT	S	85,300	19.52	n/a	n/a	4,265.00	5.0
TOTAL (Trade Date) :								78,097.68	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	01/30	SAC CAP/ROSEN FOR BOBBY (SACROSEN)	044	BR	S	100,000	13.52	n/a	30-Jan	5.00 0.0
AO,	01/30	SAC CAP/ROSEN FOR BOBBY (SACROSEN)	044	BR	S	100,000	13.52	n/a	30-Jan	5000.00 5.0
AO,CXL	01/30	MILLENIUUM PARTNERS (MILPCREDSU)	028	CMCSA	S	50,000	14.92	n/a	4-Jan	2000.00 4.0
AO,CRT	01/30	MILLENIUUM PARTNERS (MILPUSE)	028	CMCSA	S	50,000	14.92	n/a	4-Jan	2000.00 4.0
CRT	02/02	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JNY	B	30,000	3.36	n/a	23-Jan	600.00 2.0
CRT	02/02	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	KCP	B	1,200	6.84	n/a	28-Sep	36.00 3.0
AO,CXL	01/30	MILLENIUUM PARTNERS (MILPCREDSU)	028	KFT	B	36,430	28.00	n/a	n/a	1457.20 4.0
AO,CRT	01/30	MILLENIUUM PARTNERS (MILPUSE)	028	KFT	B	36,430	28.00	n/a	n/a	1457.20 4.0
AO,CXL	01/30	MILLENIUUM PARTNERS (MILPCREDSU)	028	KMB	B	17,340	51.41	27-Jan	27-Jan	693.60 4.0
AO,CRT	01/30	MILLENIUUM PARTNERS (MILPUSE)	028	KMB	B	17,340	51.41	27-Jan	27-Jan	693.60 4.0
AO,CXL	01/30	COLUMBIA PARTNERS (COLPTID)	028	QDEL	B	42	12.31	n/a	n/a	1.68 4.0
AO,	01/30	COLUMBIA PARTNERS (COLPTID)	028	QDEL	B	42	12.31	n/a	n/a	1.68 4.0
AO,CRT	01/30	HUNTER GLOBAL INVESTOR (HUNTDEUTSC)	067	RJF	SS	25,000	19.07	n/a	n/a	1000.00 4.0
AO,CXL	01/30	HUNTER GLOBAL INVESTOR (HUNTMS P)	067	RJF	SS	25,000	19.07	n/a	n/a	1250.00 5.0
AO,CXL	01/30	PERMIAN/BAYPIONT (PERMGS)	028	SFD	B	12,600	11.90	n/a	n/a	504.00 4.0
AO,	01/30	PERMIAN/BAYPIONT (PERMGS)	028	SFD	B	12,600	11.90	n/a	n/a	504.00 4.0
AO,	01/30	CARDINAL CAPITAL (CARDPLSEL)	044	SSI	B	5,900	7.19	n/a	30-Jan	295.00 5.0
AO,	01/30	CARDINAL CAPITAL (CARDPMSEL)	044	SSI	B	8,100	7.19	n/a	30-Jan	405.00 5.0
AO,CXL	01/30	CARDINAL CAPITAL (CARDADVS3)	044	SSI	B	14,000	7.19	n/a	30-Jan	420.00 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	02/02	AVESTA CAP (AVESDEUTSC)	229	WFR	B	25,000	12.92	n/a	n/a	1250.00 5.0
TOTAL (AO, CXL, CRT) :										6,911.00

TOTAL (Trade Date):										78,097.68
TOTAL (AO, CXL, CRT):										6,911.00
TOTAL (RUN DATE) / NFS:										85,008.68

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION