



Daily Compliance Activity Report

Trade Date: 02/21/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	ABG	B	50,000	14.27	25-Jan	1-Feb	2,500.00	5.0
T. ROWE PRICE	033	ACI	S	55,400	53.51	n/a	n/a	2,216.00	4.0
COUGAR TRADING	044	AEO	B	5,000	21.36	5-Feb	8-Feb	250.00	5.0
CROWLEY	061	ALVR	S	1,500	7.79	n/a	n/a	0.00	0.0
ROBX	033	AMN	B	3,030	105.06	n/a	n/a	121.20	4.0
NEUBERGER BERMAN	036	ANF	B	20,800	77.95	10-Jan	15-Feb	936.00	4.5
GOLDMAN SACHS ASSET MGMT	044	APH	B	121,000	37.65	n/a	n/a	1,815.00	1.5
B BUCK CAPITAL MGMT		ARO	S	10,000	27.22	29-Nov	8-Feb	non-brg	4.0
COUGAR TRADING	044	ARO	B	5,000	27.71	29-Nov	8-Feb	250.00	5.0
JPMSI/TOM LEDDY	035	ASCA	B	5,000	19.67	n/a	4-Feb	250.00	5.0
CENTURION GLOBAL MGMT LL	041	BG	B	6,700	114.73	n/a	n/a	201.00	3.0
CAXTON PART	040	BLK	SS	6,000	188.62	21-Nov	21-Feb	210.00	3.5
B BUCK CAPITAL MGMT		BONT	S	10,000	6.62	4-Jan	15-Feb	non-brg	4.0
KENNEDY CAPITAL	046	BRCM	B	26,350	19.29	n/a	n/a	1,054.00	4.0
T. ROWE PRICE	033	BTU	S	52,800	59.52	n/a	n/a	2,112.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FORD FDN.	032	CAT	S	30,000	71.96	n/a	n/a	1,500.00	5.0
MFP INVESTORS	032	CBT	B	5,000	27.70	n/a	30-Jan	250.00	5.0
MERRILL ASSET	032	CNB	B	30,800	13.68	n/a	n/a	1,386.00	4.5
T. ROWE PRICE	033	CNX	S	65,000	77.19	n/a	n/a	2,600.00	4.0
VISIUM/BALY HOWARD	038	CTB	SS	25,000	18.50	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		CTRN	S	10,000	15.81	n/a	n/a	non-brg	4.0
GOLDMAN SACHS ASSET MGMT	044	CVC	B	40,000	27.78	25-Oct	4-Jan	800.00	2.0
EATON VANCE MGMT	039	CVS	B	100,000	40.49	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	DAI	SS	10,000	82.24	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		DECK	B	10,000	126.59	n/a	n/a	non-brg	4.0
BULL PATH CAPITAL	044	DGX	S	20,000	49.85	n/a	n/a	1,000.00	5.0
MERRILL ASSET	032	DSL	B	31,600	29.70	n/a	n/a	1,422.00	4.5
B BUCK CAPITAL MGMT		DSW	S	15,000	19.74	26-Oct	8-Feb	non-brg	4.0
GOLDMAN SACHS ASSET MGMT	044	EQ	S	135,000	44.09	n/a	n/a	2,700.00	2.0
ROYCE TRADING	216	EV	B	25,000	32.88	9-Jan	21-Feb	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
UBS OCONNOR	031	EV	SS	150,000	32.39	9-Jan	21-Feb	4,500.00	3.0
BUCK CAPITAL MGMT	001	FBN	B	50,000	12.57	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		FBN	C	15,000	13.16	n/a	n/a	non-brg	1.0
IVORY CAPITAL	034	FCX	S	225,700	102.40	n/a	n/a	4,514.00	2.0
BUCK CAPITAL MGMT		FOSL	B	10,000	34.88	13-Nov	20-Feb	non-brg	4.0
BARR	096	GDX	B	390	51.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	S	5,000	40.74	n/a	n/a	200.00	4.0
LUCHANSKY1	036	GIL	B	300	38.59	22-Jan	31-Jan	0.00	0.0
PAKLER	N22	GMRE	B	8	3.15	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	GOL	SS	12,500	17.59	n/a	n/a	500.00	4.0
COUGAR TRADING	044	GYMB	B	5,000	39.30	n/a	8-Feb	250.00	5.0
MENCKE	055	HLTH	B	100	11.75	n/a	n/a	0.00	0.0
CROWLEY	061	ISLN	S	1,000	5.52	n/a	n/a	0.00	0.0
CAXTON PART	040	IVZ	B	100,000	25.84	20-Dec	21-Feb	3,500.00	3.5
BUCK CAPITAL MGMT		JCG	B	10,000	43.11	28-Nov	21-Feb	non-brg	4.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	49.87	4-Jan	15-Feb	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	JCP	B	5,000	50.20	4-Jan	15-Feb	250.00	5.0
LOOMIS SALES/BOSTON	036	JCP	S	103,085	49.98	4-Jan	15-Feb	4,123.40	4.0
SIGMA CAPITAL ASSOCIATES	237	JCP	B	20,000	48.11	4-Jan	15-Feb	1,000.00	5.0
BUCK CAPITAL MGMT	001	JWN	SS	60,000	36.35	14-Feb	14-Feb	2,400.00	4.0
ROARING BLUE LION MGMT	012	KFN	B	15,000	13.95	n/a	n/a	600.00	4.0
BUCK CAPITAL MGMT	001	KSS	S	12,300	45.22	4-Jan	21-Feb	492.00	4.0
COUGAR TRADING	044	KSS	B	5,000	45.90	4-Jan	21-Feb	250.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	45.54	4-Jan	21-Feb	250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	KSS	SS	50,000	45.97	4-Jan	21-Feb	2,500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	K	B	7,500	50.99	n/a	n/a	375.00	5.0
B BUCK CAPITAL MGMT		LAMR	S	90,000	39.83	n/a	n/a	non-brg	4.0
GOLDMAN SACHS ASSET MGMT	044	LH	B	195,000	80.14	n/a	n/a	3,500.00	1.8
BENCHMARK	028	MNST	SS	10,000	26.86	n/a	n/a	500.00	5.0
LEDDY	035	MON	SS	150	119.77	n/a	12-Feb	0.00	0.0
J.W. SELIGMAN	041	MRVL	B	777,200	11.42	n/a	n/a	31,088.00	4.0
B BUCK CAPITAL MGMT		MVRX	SS	80,000	148.53	n/a	n/a	non-brg	14.9
BUCK CAPITAL MGMT	001	M	S	135,000	25.02	7-Feb	15-Feb	5,400.00	4.0
TRIVIUM CAP MGMT	251	NTAP	B	50,000	21.75	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MATRIX ASSET ADV.	036	ODP	S	6,400	14.26	n/a	27-Jul	192.00	3.0
LISTED ERR	099	ODP	S	78	14.26	n/a	27-Jul	0.00	0.0
GALLEON MGMT LP	033	PG	B	10,000	66.17	11-Dec	19-Feb	500.00	5.0
ELM RIDGE ADVISORS	044	PKG	B	70,310	24.44	23-Jan	23-Jan	2,812.40	4.0
B BUCK CAPITAL MGMT		PLCE	B	20,000	21.24	10-Jan	8-Feb	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	PMTC	B	10,600	16.24	n/a	n/a	318.00	3.0
CROWLEY	061	PNM	B	1,500	13.04	n/a	n/a	0.00	0.0
COUGAR TRADING	044	PVH	B	5,000	38.13	5-Feb	15-Feb	250.00	5.0
B BUCK CAPITAL MGMT		QYS+DF	SS	2,640	2.13	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	66.24	7-Feb	15-Feb	400.00	4.0
PERRY PARTNERS	041	ROST	B	140,000	28.05	n/a	n/a	7,000.00	5.0
B BUCK CAPITAL MGMT		RTH	SS	18,000	91.53	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		RVI	S	40,000	7.06	n/a	n/a	non-brg	4.0
IVORY CAPITAL	034	SIRI	SS	235,800	3.05	n/a	n/a	2,358.00	1.0
GAULT	063	SKF	S	1,000	110.06	n/a	n/a	0.00	0.0
IVORY CAPITAL	034	SNDK	B	157,800	25.87	n/a	n/a	3,156.00	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		SPY	SS	11,000	135.37	n/a	n/a	non-brg	1.0
PERRY PARTNERS	041	STRA	B	22,000	158.27	n/a	n/a	1,100.00	5.0
⌘ BUCK CAPITAL MGMT		SYNP	S	10,000	4.42	n/a	n/a	non-brg	3.0
GOLDMAN SACHS ASSET MGMT	044	S	S	931,835	8.59	n/a	n/a	27,955.05	3.0
MENCKE	055	TDG	S	45	38.86	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		TRLG	B	10,000	19.56	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	TWB	S	5,000	29.39	21-Feb	21-Feb	200.00	4.0
BUCK CAPITAL MGMT	001	UA	S	10,000	43.62	n/a	n/a	400.00	4.0
GAULT	063	UYG	B	1,500	33.93	n/a	n/a	0.00	0.0
CHAPPELL	073	VFORX	B	182	22.00	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		VRGY	C	15,000	20.59	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WRNC	B	10,000	35.93	14-Jan	21-Feb	non-brg	4.0
DSG CAPITAL	225	WRNC	B	700	34.97	14-Jan	21-Feb	35.00	5.0
DSG CAPITAL	225	WRNC	S	700	35.98	14-Jan	21-Feb	35.00	5.0
ARTX	031	WSM	B	193,900	24.11	n/a	n/a	9,695.00	5.0
ARTM	031	WSM	B	6,100	24.11	n/a	n/a	305.00	5.0
⌘ BUCK CAPITAL MGMT		WSO	C	10,000	35.76	n/a	n/a	non-brg	1.0

TOTAL (Trade Date):

158,177.05

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/20	SATELLITE ASSET MGMT	012	BBI	B	25,000	3.12	n/a	n/a	750.00 3.0
AO,CXL	02/20	SATELLITE ASSET MGMT	012	BBI	B	25,000	3.12	n/a	n/a	1000.00 4.0
AO,CXL	02/04	N STRATTON	014	CADA	B	100	41.50	n/a	n/a	0.00 0.0
AO,CRT	02/04	N STRATTON	014	CADA	B	100	41.50	n/a	n/a	0.00 0.0
AO,CRT	02/20	FIRST NY SEC/CHRIS	041	CBE	B	15,000	45.22	24-Jan	30-Jan	750.00 5.0
AO,CXL	02/20	FIRST NY SEC/CHRIS	041	CBE	B	15,000	45.22	24-Jan	30-Jan	900.00 6.0
AO,CRT	02/20	PERRY PARTNERS	041	CNO	S	80,000	12.39	n/a	n/a	3200.00 4.0
AO,CXL	02/20	PERRY PARTNERS	041	CNO	S	80,000	12.39	n/a	n/a	4000.00 5.0
AO,	02/20	NEUBERGER BERMAN	036	SCHW	B	200,000	19.40	n/a	n/a	9000.00 4.5
AO,CXL	02/20	NICHOLAS ADVISORS	034	WRNC	B	840	34.78	14-Jan	21-Feb	42.00 5.0
AO,CRT	02/20	WAGONHOUND	034	WRNC	B	840	34.78	14-Jan	21-Feb	42.00 5.0
TOTAL (AO, CXL, CRT) :										7,800.00
TOTAL (Trade Date):										158,177.05
TOTAL (AO, CXL, CRT):										7,800.00
TOTAL (RUN DATE) / NFS:										165,977.05

MRI Securities with Trade Activity on 21-Feb.

TWB

MRI Securities without Trade Activity on 21-Feb.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 29%

YTD = 27%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION