



Daily Compliance Activity Report

Trade Date: 03/25/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f ANTHONY SUTERA	FID	AEO	S	1000	19.48	n/a	11-Mar	0.00	0.0
B BUCK CAPITAL MGMT		AEO	S	27,200	19.34	n/a	11-Mar	non-brg	4.0
HIGHFIELDS CAP MGMT	039	APOL	B	200,000	62.61	n/a	n/a	8,000.00	4.0
SCOPUS FUND	041	ARM	S	21,515	14.18	n/a	n/a	1,075.75	5.0
BROADARCH CAP/OLD NEWC	070	ATI	B	2,200	54.53	n/a	n/a	88.00	4.0
B BUCK CAPITAL MGMT		AXL 7 C12	C	2,500	0.97	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		AXL	S	36,700	10.93	n/a	8-Feb	non-brg	4.0
f HARALAMBOS EFSTATHI	FID	AXL	S	1000	10.88	n/a	8-Feb	0.00	0.0
SAXON WOODS ADVISORS	242	BBY	B	21,300	43.32	n/a	n/a	852.00	4.0
SCOPUS FUND	041	BBY	SS	40,000	42.79	n/a	n/a	2,000.00	5.0
THE JAY GOLDMAN	028	BJ	S	40,000	36.35	n/a	n/a	1,600.00	4.0
f KAREN F LEDDY	FID	BKRS	B	3500	2.36	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	BONT	B	2,325	12.59	n/a	n/a	116.25	5.0
BAIRD INVESTMENT	047	BUCY	S	6,950	70.07	n/a	22-Feb	347.50	5.0
BALYASNY ASSET MGMT	044	BWA	S	20,000	37.84	n/a	12-Feb	1,000.00	5.0
BROADARCH CAP/OLD NEWC	070	BWA	B	3,100	38.12	n/a	12-Feb	124.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		BYI	S	30,000	39.49	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	CAH	B	100	35.87	n/a	n/a	0.00	0.0
ARCHON CAPITAL	035	CAKE	B	27,200	27.48	n/a	12-Feb	816.00	3.0
M&I FUNDS	047	CHS	B	16,627	14.17	n/a	5-Feb	665.08	4.0
SAC CAPITAL/JOHN MAGUIE	039	CHS	B	17,000	14.17	n/a	5-Feb	595.00	3.5
f CHRISTOPHER ROBERT C	FID	CIT	B	100	39.74	n/a	2-Nov	0.00	0.0
ROXBURY CAPITAL MGMT	035	CMVT	S	46,900	8.76	n/a	n/a	1,876.00	4.0
LISTED ERR	099	CMVT	B	2	8.70	n/a	n/a	0.00	0.0
LISTED ERR	099	CMVT	S	2	8.76	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	028	COST	B	20,000	60.20	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		CPLA	SS	10,000	91.40	n/a	n/a	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	CWTR	S	800	7.21	n/a	n/a	0.00	0.0
MARINER/TRICADIA	041	CXW	B	10,000	19.79	n/a	n/a	500.00	5.0
SANDLER O'NEILL ASSET	031	C	S	250,000	4.30	n/a	16-Mar	5,000.00	2.0
AVENUE CAPITAL MGMT	070	DAN	S	100,000	13.20	n/a	25-Feb	4,000.00	4.0
GLOBAL 56 LLC	060	DAN	S	2,000	13.02	n/a	25-Feb	100.00	5.0
THIRD POINT MGMT	044	DAN	B	100,000	13.19	n/a	25-Feb	4,000.00	4.0
TRELLUS PARTNERS LP	028	DAN	S	20,000	13.01	n/a	25-Feb	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GCORE MANAGEMENT	041	DOX	B	35,000	30.74	n/a	n/a	1,750.00	5.0
MELLON/BANK OF NEW YORK	046	DRI	S	15,600	44.73	n/a	23-Mar	780.00	5.0
MELLON/BANK OF NEW YORK	046	EAT	B	63,520	20.30	n/a	n/a	3,176.00	5.0
FIRST NEW YORK LEDDY	035	ETFC	S	10,000	1.61	n/a	n/a	600.00	6.0
CRAMER ROSENTHAL/SCOTT	012	ETR	S	40,100	79.40	n/a	n/a	1,604.00	4.0
ß BUCK CAPITAL MGMT		FINL	S	20,000	14.59	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	S	23,800	14.91	n/a	10-Mar	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	FL	S	900	14.70	n/a	10-Mar	0.00	0.0
SENVEST PART	045	FOR	S	15,000	19.77	n/a	5-Feb	750.00	5.0
TRELLUS PARTNERS LP	028	FOR	B	10,000	19.64	n/a	5-Feb	500.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	FRED	S	500	11.23	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		F	S	36,500	14.02	n/a	3-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	S	10,000	48.16	n/a	18-Mar	non-brg	4.0
f FRANCIS PATRICK GALL	FID	GFF	B	75	13.26	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GIII	S	11,509	25.55	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		GIII	S	24,992	26.11	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		GIII	S	25,000	26.08	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GIII	S	38,499	25.70	n/a	n/a	non-brg	2.5
f CHRISTOPHER ROBERT C	FID	GLW	S	100	19.93	n/a	19-Mar	0.00	0.0
f DAVID GEORGE BUCK	FID	GPY	B	10	0.23	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GRMN	SS	20,000	39.27	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	GRT	B	4000	5.66	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GS	S	1,000	178.20	n/a	9-Mar	non-brg	4.0
f DAVID GEORGE BUCK	FID	GS	B	15	2.03	n/a	9-Mar	0.00	0.0
MILLENIUUM PARTNERS	028	HOG	SS	5,000	28.03	n/a	n/a	200.00	4.0
f ROBERT M DANIELS	FID	HOV	S	300	4.80	n/a	n/a	0.00	0.0
NEWLAND CAPITAL MGT	067	HSC	S	5,000	32.14	n/a	1-Feb	200.00	4.0
f FRANCIS PATRICK GALL	FID	HTS	B	27	27.43	n/a	n/a	0.00	0.0
CATAPULT	040	IBM	B	10,000	130.36	n/a	n/a	400.00	4.0
CIRCLE T/SES PART	035	IGT	S	25,000	17.84	n/a	9-Feb	1,000.00	4.0
B BUCK CAPITAL MGMT		IMAX	SS	10,000	17.44	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	IMQ	S	10	1.80	n/a	n/a	0.00	0.0
THOMPSON SIEGAL&WALMSLEY	029	IP	B	90,400	26.18	n/a	3-Feb	3,616.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GCORE MANAGEMENT	041	IRM	S	35,000	27.28	n/a	n/a	1,750.00	5.0
MILLENIUM PARTNERS	028	ITW	S	25,000	47.35	n/a	28-Jan	1,000.00	4.0
CATAPULT	040	IVZ	B	10,000	20.88	n/a	2-Mar	500.00	5.0
B BUCK CAPITAL MGMT		JCG	S	20,000	46.49	n/a	n/a	non-brg	4.0
SAC CAPITAL/JOHN MAGUIE	039	JCG	S	6,000	46.36	n/a	n/a	210.00	3.5
COLONIAL FUND LLC	036	JCI	B	25,000	33.39	n/a	22-Jan	1,250.00	5.0
MATRIX ASSET ADV.	036	JCI	S	4,000	33.26	n/a	22-Jan	160.00	4.0
MORTAR ROCK	033	JCI	B	35,000	33.39	n/a	22-Jan	1,400.00	4.0
ADAGE CAPITAL MGMT	030	JCP	B	500,000	33.19	n/a	5-Mar	15,000.00	3.0
BNP PARIBAS SEC	040	JCP	S	2,500	33.25	n/a	5-Mar	100.00	4.0
f FRANCIS MICHAEL MCCA	FID	JCP	S	100	32.84	n/a	5-Mar	0.00	0.0
KLEINHEINZ CAP PART	031	JCP	B	40,000	33.34	n/a	5-Mar	2,000.00	5.0
BROADARCH CAP/OLD NEWC	070	JEC	B	2,600	46.14	n/a	n/a	104.00	4.0
f ALEXANDRA ELISE ZOLI	FID	JMBA	S	2000	2.74	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	JMBA	S	4000	2.75	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	JMBA	S	5000	2.68	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JNY	B	30,000	18.79	n/a	10-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		JOSB	S	30,000	51.60	n/a	n/a	non-brg	4.0
f ROBERT M DANIELS	FID	JST	B	100	21.46	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	KEY	B	3000	8.01	n/a	n/a	0.00	0.0
f JOEL GIFFORD TISS	FID	KO	B	2000	55.07	n/a	18-Mar	0.00	0.0
KACELA CAPITAL	034	KSS	S	30,000	56.28	n/a	5-Mar	1,200.00	4.0
MELLON/BANK OF NEW YORK	046	KSU	B	19,160	35.67	n/a	n/a	958.00	5.0
BNP PARIBAS SEC	040	K	B	3,087	54.25	n/a	n/a	123.48	4.0
BASIX	033	LEA	B	5,500	80.13	n/a	25-Mar	165.00	3.0
GRUBER MACBAINE	033	LOW	B	25,000	24.52	n/a	22-Feb	1,000.00	4.0
B BUCK CAPITAL MGMT		LTD	B	20,000	25.32	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	LTD	S	20,000	25.51	n/a	n/a	1,000.00	5.0
MELLON/BANK OF NEW YORK	046	LVS	S	59,560	20.91	n/a	23-Mar	2,978.00	5.0
f DAVID GEORGE BUCK	FID	MO	S	4000	20.50	n/a	n/a	0.00	0.0
BROADARCH CAP/OLD NEWC	070	MSFT	B	14,300	30.16	n/a	n/a	572.00	4.0
WINSLOW CAPITAL	030	MSFT	B	50,400	30.49	n/a	n/a	2,016.00	4.0
f ANTHONY SUTERA	FID	MS	B	1000	29.30	n/a	10-Mar	0.00	0.0
BROADARCH CAP/OLD NEWC	070	MU	B	1,500	10.66	n/a	n/a	60.00	4.0
B BUCK CAPITAL MGMT		M	S	21,000	21.78	n/a	5-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER CAPITAL	226	NBR	SS	150,000	19.31	n/a	n/a	9,000.00	6.0
f FRANCIS PATRICK GALL	FID	NLY	B	42	17.90	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	NP	S	200	18.63	n/a	3-Mar	0.00	0.0
f HARALAMBOS EFSTATHI	FID	NTCT	B	700	15.11	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	POOL	SS	5,000	23.33	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		PSS	B	50,000	22.33	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PVH	B	50,000	56.53	n/a	23-Mar	non-brg	4.0
f DAVID GEORGE BUCK	FID	PVH	B	500	56.77	n/a	23-Mar	0.00	0.0
EXIS CAPITAL	044	PVH	B	5,000	56.64	n/a	23-Mar	250.00	5.0
BROADARCH CAP/OLD NEWC	070	RFMD	B	9,000	5.23	n/a	n/a	360.00	4.0
HOLLIS CAPITAL	033	RRC	S	5,000	46.61	n/a	24-Feb	250.00	5.0
RBC CAPITAL MARKETS	040	SBUX	B	10,000	24.82	n/a	21-Jan	400.00	4.0
f CHRISTOPHER ROBERT C	FID	SHLM	B	150	26.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SHOO	S	20,000	47.57	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	S	100	36.76	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	SRI	S	400	9.78	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	FRANCIS MICHAEL MCCA	FID	SRI	S	400	9.78	n/a	n/a	0.00	0.0
	OSS CAPITAL/KEVIN DANN	067	STEI	B	50,000	5.91	n/a	n/a	1,500.00	3.0
B	BUCK CAPITAL MGMT		SUMR	S	25,660	6.25	n/a	n/a	non-brg	2.5
B	BUCK CAPITAL MGMT		SUMR	S	6,000	6.28	n/a	n/a	non-brg	2.0
	ADALY INV MGMT	031	SWM	B	13,000	47.40	n/a	n/a	650.00	5.0
	BAIRD INVESTMENT	047	SWN	S	9,825	39.04	n/a	8-Mar	491.25	5.0
	RAINIER INV MGMT	035	THO	B	20,750	31.19	n/a	n/a	830.00	4.0
	JP MORGAN VENTURES	046	TIF	SS	10,000	47.12	n/a	n/a	500.00	5.0
	ARMATURE CAPITAL	041	TIN	B	25,000	21.21	n/a	9-Feb	1,000.00	4.0
	PLATTE RIVER CAPITAL	240	TLAB	B	26,500	7.68	n/a	n/a	1,060.00	4.0
f	JOHN BARTMAN COLE	FID	TMBCF	S	4000	2.01	n/a	n/a	0.00	0.0
f	EDWARD W WHEELER	FID	TRMA	S	4000	2.61	n/a	n/a	0.00	0.0
	ROTHSCHILD ASSET	040	UIS	B	15,290	36.45	n/a	n/a	611.60	4.0
f	CHRISTOPHER ARTHUR K	FID	UNG	S	1000	7.21	n/a	n/a	0.00	0.0
	EXIS CAPITAL	044	VALE	SS	20,000	31.37	n/a	n/a	800.00	4.0
	HIGHBRIDGE CAP	041	VZ	SS	90,000	30.34	n/a	n/a	3,600.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		WRC	S	10,000	46.41	n/a	2-Mar	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	WSM	S	226,850	27.56	n/a	n/a	6,805.50	3.0
f BERNA GABRIELLE BARS	FID	WTSIA	S	2500	4.75	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		XRT	C	225,000	41.55	n/a	n/a	non-brg	2.0
f EDWARD W WHEELER	FID	ZINC	B	5000	11.70	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	ZLC	B	4000	3.61	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							110,986.41		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,	03/24	THOMPSON SIEGAL&WALMSLEY	029	CMI	S	21,950	62.40	n/a 17-Mar	439.00 2.0
TOTAL (AO, CXL, CRT) :									439.00

TOTAL (Trade Date):	110,986.41
TOTAL (AO, CXL, CRT):	439.00

TOTAL (RUN DATE) / NFS:	111,425.41
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MRI Securities with Trade Activity on 25-Mar.
NONE

MRI Securities without Trade Activity on 25-Mar.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 15%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)