



# Daily Compliance Activity Report

Trade Date: 08/17/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f KAREN F LEDDY	FID	AB	S	1550	14.31	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	AEGFX	S	197	38.46	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	AEMGX	S	144	18.40	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	AGCO	SS	5,000	40.13	n/a	29-Jul	150.00	3.0
CASTLE ARK	031	AGN	B	81,060	75.67	n/a	17-Aug	4,053.00	5.0
SANDLER CAPITAL	028	ALTR	S	215,000	37.22	n/a	n/a	8,600.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	AMZN	B	25	198.20	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	ANF	B	2000	64.73	n/a	5-Aug	0.00	0.0
B BUCK CAPITAL MGMT		ANN	S	50,000	20.52	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ANN	S	50,000	20.52	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAP	041	ANN	SS	48,000	20.74	n/a	n/a	1,440.00	3.0
B BUCK CAPITAL MGMT		APO	S	1,000	14.41	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	S	2,100	14.41	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	S	2,500	14.41	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	S	2,800	14.41	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	S	22,700	14.41	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	S	3,200	14.41	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	S	700	14.41	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓕ BUCK CAPITAL MGMT		ARO	C	100,000	12.83	n/a	5-Aug	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		ARO	C	15,900	12.86	n/a	5-Aug	non-brg	1.0
Ⓕ BUCK CAPITAL MGMT		ARO	C	213,400	12.86	n/a	5-Aug	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	ARTKX	B	158	25.39	n/a	n/a	0.00	0.0
ARMATURE CAPITAL	041	AXL	B	100,000	9.43	n/a	1-Aug	4,000.00	4.0
BALLAST CAP	040	BGC	S	13,500	29.80	n/a	n/a	675.00	5.0
Ⓕ BUCK CAPITAL MGMT		BWLD	SS	20,000	59.07	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	BWS	S	500	8.25	n/a	n/a	0.00	0.0
BALLAST CAP	040	CCK	S	6,000	35.77	n/a	n/a	300.00	5.0
f WILLIAM J GAULT	FID	CHGS	S	8742	1.49	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	CIVVX	B	339	11.81	n/a	n/a	0.00	0.0
EATON VANCE MGMT	029	CMCSA	S	75,000	21.17	n/a	n/a	2,250.00	3.0
COUGAR TRADING	044	COF	S	10,000	44.29	n/a	17-Aug	500.00	5.0
HARVEST MGMT	029	COST	SS	7,500	76.18	n/a	n/a	300.00	4.0
BALLAST CAP	040	COV	S	20,200	51.01	n/a	n/a	1,010.00	5.0
SURVEYOR CAPITAL	041	COV	B	45,000	51.01	n/a	n/a	1,350.00	3.0
f MARC PAUL LUCHANSKY	FID	CRSOX	S	275	9.30	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAP	041	CTSH	SS	5,000	62.57	n/a	n/a	150.00	3.0
COLUMBUS CAP MGMT LLC	084	CVGI	B	50,000	7.67	n/a	n/a	2,000.00	4.0
FORWARD MGMT	033	CVGI	S	15,400	7.76	n/a	n/a	616.00	4.0
EATON VANCE MGMT	029	CVS	S	75,000	34.36	n/a	n/a	2,250.00	3.0
BNP PARIBAS SEC	040	DDS	B	35,000	44.85	n/a	n/a	1,750.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>DD</b>	<b>S</b>	<b>100</b>	<b>46.66</b>	<b>n/a</b>	<b>11-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>S</b>	<b>15,000</b>	<b>84.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>S</b>	<b>20,000</b>	<b>84.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>S</b>	<b>5,000</b>	<b>86.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DKS</b>	<b>B</b>	<b>50,700</b>	<b>33.63</b>	<b>n/a</b>	<b>16-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DLTR</b>	<b>S</b>	<b>50,000</b>	<b>67.40</b>	<b>n/a</b>	<b>15-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>DODGX</b>	<b>S</b>	<b>76</b>	<b>100.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>EATVX</b>	<b>B</b>	<b>446</b>	<b>15.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DENVER INST. ADV	046	ENDP	S	65,855	31.85	n/a	17-Aug	2,634.20	4.0
TOCQUEVILLE	035	ES	S	360,239	3.47	n/a	n/a	10,807.17	3.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>EXWAX</b>	<b>S</b>	<b>941</b>	<b>8.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FAGCX</b>	<b>S</b>	<b>293</b>	<b>34.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f</b> <b>MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FDGFX</b>	<b>B</b>	<b>462</b>	<b>25.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT	029	FDO	B	10,000	50.41	n/a	1-Jul	400.00	4.0
<b>f</b> <b>KAREN F LEDDY</b>	<b>FID</b>	<b>FIG</b>	<b>S</b>	<b>4000</b>	<b>3.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> <b>MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FINSX</b>	<b>B</b>	<b>971</b>	<b>19.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> <b>MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FIVFX</b>	<b>B</b>	<b>746</b>	<b>12.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> <b>MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FLPSX</b>	<b>S</b>	<b>64</b>	<b>37.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
OZ MGMT	040	FL	B	150,000	19.46	n/a	2-Aug	4,500.00	3.0
TUDOR INVESTORS	028	FL	B	25,000	18.93	n/a	2-Aug	750.00	3.0
<b>f</b> <b>MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FMIHX</b>	<b>S</b>	<b>318</b>	<b>15.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> <b>MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FRESX</b>	<b>B</b>	<b>150</b>	<b>26.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> <b>MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FRO</b>	<b>S</b>	<b>80</b>	<b>7.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> <b>MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>GIESX</b>	<b>B</b>	<b>381</b>	<b>10.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>25,000</b>	<b>24.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>25,000</b>	<b>26.49</b>	<b>n/a</b>	<b>5-Aug</b>	<b>non-brg</b>	<b>2.5</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>65,000</b>	<b>26.56</b>	<b>n/a</b>	<b>5-Aug</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	HAS	SS	26,573	37.68	n/a	n/a	797.19	3.0

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B BUCK CAPITAL MGMT		HBI	S	100,000	27.55	n/a	21-Jul	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	HRINX	S	136	57.11	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	IGLAX	S	77	15.94	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	INFY	SS	10,000	54.19	n/a	n/a	300.00	3.0
f MARC PAUL LUCHANSKY	FID	IWB	B	135	66.27	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	IWD	S	97	60.33	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	IWF	B	113	55.39	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	IWS	B	220	41.46	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	JAENX	B	163	55.22	n/a	n/a	0.00	0.0
CAERUS GLOBAL	041	JAH	SS	3,800	29.66	n/a	n/a	152.00	4.0
DELTEC ASSET MGMT.	040	JCI	S	12,000	32.67	n/a	8-Aug	600.00	5.0
HIGHBRIDGE CAP	041	JCP	SS	10,000	26.13	n/a	12-Aug	300.00	3.0
f MARC PAUL LUCHANSKY	FID	JMCVX	S	180	21.44	n/a	n/a	0.00	0.0
WEINTRAUB CAPITAL MGT.	040	JNY	B	100,000	10.53	n/a	28-Jul	4,000.00	4.0
f MARC PAUL LUCHANSKY	FID	KEY	S	500	6.66	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>KWS</b>	<b>S</b>	<b>1000</b>	<b>6.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	LOW	S	7,000	20.02	n/a	15-Aug	350.00	5.0
HARVEST MGMT	029	LOW	B	25,000	20.07	n/a	15-Aug	1,000.00	4.0
DISCIPLINED GROWTH INV.	030	LTD	B	4,000	34.01	n/a	n/a	200.00	5.0
EATON VANCE MGMT	029	MCD	S	50,000	86.78	n/a	8-Aug	1,500.00	3.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>MCGFX</b>	<b>S</b>	<b>173</b>	<b>23.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>MDIDX</b>	<b>S</b>	<b>114</b>	<b>13.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>MRSAX</b>	<b>B</b>	<b>681</b>	<b>14.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCD</b>	<b>C</b>	<b>784,959</b>	<b>88.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.4</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCD</b>	<b>SS</b>	<b>784,959</b>	<b>88.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>C</b>	<b>6,830,310</b>	<b>88.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.4</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>SS</b>	<b>100,000</b>	<b>88.19</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>7.1</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>SS</b>	<b>170,000</b>	<b>88.19</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>7.1</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>SS</b>	<b>6,830,310</b>	<b>88.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
DAVIDSON KEMPNER ADVISOR	040	MT	SS	50,000	22.31	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>SS</b>	<b>80,000</b>	<b>192.58</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>15.4</b>
<b>B BUCK CAPITAL MGMT</b>		<b>M</b>	<b>S</b>	<b>30,000</b>	<b>24.43</b>	<b>n/a</b>	<b>11-Aug</b>	<b>non-brg</b>	<b>4.0</b>
HARVEST MGMT	029	M	SS	20,500	24.51	n/a	11-Aug	820.00	4.0

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f JOHN BARTMAN COLE	FID	NCS	S	500	9.18	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	OAKIX	S	429	17.30	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PAFDX	B	869	21.86	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	PCAR	B	10,000	38.39	n/a	27-Jul	500.00	5.0
f MARC PAUL LUCHANSKY	FID	PEEAX	S	239	26.50	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PEIDX	S	715	10.84	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PHYAX	S	598	9.01	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PRBLX	B	98	25.45	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PRFSX	S	254	5.66	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PRITX	B	673	13.37	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PVH	S	20,000	61.12	n/a	18-Jul	non-brg	4.0
GEORGE WEISS	034	RAH	B	9,000	83.38	n/a	n/a	360.00	4.0
DISCIPLINED GROWTH INV.	030	RCL	B	2,900	24.85	n/a	n/a	145.00	5.0
f KAREN F LEDDY	FID	RRR	S	1200	8.59	n/a	22-Jul	0.00	0.0
f MARC PAUL LUCHANSKY	FID	RSEGX	S	95	39.37	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	RYPFX	S	184	10.81	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SBUX	B	10	0.80	n/a	29-Jul	0.00	0.0
f DAVID GEORGE BUCK	FID	SBUX	S	10	0.98	n/a	29-Jul	0.00	0.0
B BUCK CAPITAL MGMT		SFLY	S	20,000	47.34	n/a	n/a	non-brg	2.5
f JOHN MICHAEL ZOLIDIS	FID	SIG	B	150	35.09	n/a	n/a	0.00	0.0
MACQUARIE INVESTMENT MGMT.	040	SKS	S	10,000	8.90	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID	SPF	S	1500	2.45	n/a	n/a	0.00	0.0
STANDARD PACIFIC CAPITAL	040	SPLS	S	100,000	14.39	n/a	n/a	5,000.00	5.0
BASIX	033	SPR	B	62,000	15.70	n/a	5-Aug	1,860.00	3.0
f MARC PAUL LUCHANSKY	FID	SPY	S	90	119.47	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	STE	S	5000	30.88	n/a	n/a	0.00	0.0
f REUBEN D DVORETSKY	FID	TACT	B	240	8.96	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	TAMVX	S	164	21.94	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	TGT	B	3,000	50.83	n/a	17-Aug	150.00	5.0
B BUCK CAPITAL MGMT		TGT	B	50,000	50.46	n/a	17-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		TGT	B	50,000	50.46	n/a	17-Aug	non-brg	4.0
EATON VANCE MGMT	029	TGT	S	50,000	50.35	n/a	17-Aug	1,500.00	3.0



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MADISON ST PARTNERS LLC	046	TGT	S	70,900	51.96	n/a	17-Aug	3,545.00	5.0
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>TGVIX</b>	<b>S</b>	<b>351</b>	<b>26.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DISCIPLINED GROWTH INV.	030	TJX	B	4,700	54.05	n/a	17-Aug	235.00	5.0
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>TPINX</b>	<b>S</b>	<b>105</b>	<b>13.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> PATRICK O'GRADY	<b>FID</b>	<b>TRMAQ</b>	<b>S</b>	<b>22941</b>	<b>0.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> EDWARD W WHEELER	<b>FID</b>	<b>TWI</b>	<b>S</b>	<b>200</b>	<b>2.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>URBN</b>	<b>S</b>	<b>20,000</b>	<b>26.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> EDWARD W WHEELER	<b>FID</b>	<b>VE</b>	<b>B</b>	<b>5000</b>	<b>16.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>VSVIX</b>	<b>B</b>	<b>223</b>	<b>17.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT	029	WAG	SS	15,000	35.96	n/a	n/a	600.00	4.0
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>WBIGX</b>	<b>S</b>	<b>181</b>	<b>19.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAP	041	WMT	SS	10,000	51.69	n/a	17-Aug	300.00	3.0
BNP PARIBAS SEC	040	WRC	B	20,000	50.48	n/a	2-Aug	1,000.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>WRC</b>	<b>S</b>	<b>10,000</b>	<b>50.35</b>	<b>n/a</b>	<b>2-Aug</b>	<b>non-brg</b>	<b>4.0</b>
RAINIER INV MGMT	035	WWD	B	38,500	29.81	n/a	n/a	1,540.00	4.0
<b>f</b> JOEL GIFFORD TISS	<b>FID</b>	<b>XPO</b>	<b>B</b>	<b>400</b>	<b>2.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		XRT	SS	12,500	46.09	n/a	n/a	non-brg	2.0
HOLLIS CAPITAL	033	YUM	S	5,000	51.45	n/a	10-Aug	250.00	5.0
f MAY Y TANG	FID	ZIP	B	230	20.02	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID		B	10000	0.20	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							79,989.56		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/16	OSKIE CAPITAL MGMT	224	HBAN	B	20,000	5.11	n/a	n/a	600.00 3.0
AO,CXL	08/16	OSKIE CAPITAL MGMT	224	HBAN	B	20,000	5.11	n/a	n/a	1000.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-400.00</b>
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TOTAL (Trade Date) :	79,989.56
TOTAL (AO, CXL, CRT) :	-400.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>79,589.56</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 17-Aug.  
NONE

MRI Securities without Trade Activity on 17-Aug.  
AXE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 2%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**