



Daily Compliance Activity Report

Trade Date: 02/05/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CAPITAL GROWTH MGMT.	241	AA	B	10,000	32.73	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	ACF	S	30,000	12.79	n/a	n/a	1,500.00	5.0
CAPITAL GROWTH MGMT.	241	ACI	B	45,000	47.85	n/a	n/a	2,250.00	5.0
BUCK CAPITAL MGMT	001	AEO	S	100,000	21.74	5-Feb	5-Feb	4,000.00	4.0
COUGAR TRADING	044	AEO	B	35,000	21.90	5-Feb	5-Feb	1,750.00	5.0
BEAR STEARNS ASSET MGMT.	033	ANN	SS	30,000	23.67	11-Jan	4-Feb	1,200.00	4.0
GALLEON MGMT LP	033	AVP	S	26,000	38.11	5-Feb	5-Feb	1,300.00	5.0
CAXTON PART	040	AXP	S	58,234	46.37	n/a	n/a	2,038.20	3.5
PILOT ADV	038	BBY	SS	11,000	47.88	n/a	27-Jul	440.00	4.0
BALYASNY ASSET MGMT	044	COH	B	25,000	30.55	24-Oct	24-Jan	1,250.00	5.0
ENTRUST CAPITAL	040	COH	B	65,500	30.60	24-Oct	24-Jan	3,275.00	5.0
BEAR STEARNS ASSET MGMT.	033	CROX	B	20,000	32.91	n/a	n/a	800.00	4.0
METROPOLITAN CAPITAL	044	CROX	B	56,100	34.44	n/a	n/a	2,805.00	5.0
CENTURION GLOBAL MGMT LL	041	CSC	B	2,600	43.53	n/a	n/a	78.00	3.0
J.W. SELIGMAN	041	CVS	B	71,500	38.44	n/a	n/a	2,860.00	4.0
GAULT	063	DIG	B	1,000	84.84	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DELTEC ASSET MGMT.	040	EMC	B	20,000	15.76	n/a	n/a	1,000.00	5.0
N STRATTON	014	FBTX	SS	300	5.00	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	FIS	B	201,000	42.44	n/a	n/a	8,040.00	4.0
BALYASNY ASSET MGMT	044	FITB	B	20,000	26.05	n/a	n/a	1,000.00	5.0
GEORGE WEISS	034	FOR	S	5,000	23.65	n/a	n/a	200.00	4.0
WHEELER	008	FOR	B	2,400	23.81	n/a	n/a	0.00	0.0
SERENGETI MGMT	030	FRE	B	25,000	30.50	n/a	n/a	1,250.00	5.0
REINHART & MAHONEY	031	FSP	S	17,264	13.88	n/a	n/a	863.20	5.0
MAGUIRE	090	FXERO	B	60	2.95	n/a	n/a	0.00	0.0
BUCK	015	FXE	B	500	146.53	n/a	n/a	0.00	0.0
MAGUIRE	039	FXP	B	100	89.80	n/a	n/a	0.00	0.0
WHEELER	008	GFG	B	2,000	13.04	n/a	n/a	0.00	0.0
COLE	N24	GFG	B	200	13.05	n/a	n/a	0.00	0.0
GAULT	063	GM	C	1,000	27.19	3-Jan	4-Feb	0.00	0.0
MAGUIRE	090	GNK	S	2,000	47.10	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	B	5,000	18.12	n/a	4-Feb	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	HDB	SS	2,000	115.28	n/a	n/a	0.00	0.0
MAGUIRE	090	HGG	S	6,500	11.33	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	HRL	B	37,130	38.84	n/a	n/a	1,670.85	4.5
ZOLIDIS	011	HZO	C	700	15.65	n/a	n/a	0.00	0.0
MAGUIRE	090	IBN	SS	2,000	59.07	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	IP	B	60,000	31.54	8-Jan	23-Jan	3,000.00	5.0
ARIENCE CAPITAL	036	IVZ	B	197,140	27.65	20-Dec	5-Feb	9,857.00	5.0
BALYASNY ASSET MGMT	044	IVZ	B	30,000	28.01	20-Dec	5-Feb	1,500.00	5.0
PHILADELPHIA FINANCIAL	033	IVZ	S	13,892	27.93	20-Dec	5-Feb	694.60	5.0
BUCK CAPITAL MGMT	001	JAH	S	20,000	23.70	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	10,000	43.40	28-Nov	4-Feb	400.00	4.0
PRINCIPLE CAPITAL	040	JCG	B	60,000	41.97	28-Nov	4-Feb	2,400.00	4.0
BEAR STEARNS ASSET MGMT.	033	JCP	S	60,000	47.15	4-Jan	4-Feb	2,400.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	10,000	46.81	4-Jan	4-Feb	400.00	4.0
BUCK	015	JJG	S	1,400	63.23	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	S	50,000	15.78	n/a	30-Jan	2,000.00	4.0
BALYASNY ASSET MGMT	044	JPM	B	30,000	44.19	17-Jan	22-Jan	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	034	JWN	B	20,000	38.19	20-Nov	4-Feb	800.00	4.0
COLE	N24	KEG	S	200	12.08	29-Oct	28-Jan	0.00	0.0
DAWSON SANBERG & CO	041	KLAC	B	105,300	42.21	n/a	n/a	5,265.00	5.0
BUCK CAPITAL MGMT	001	KMX	SS	10,000	21.83	n/a	10-Jan	400.00	4.0
CROSS CAPITAL	028	KMX	SS	14,700	21.77	n/a	10-Jan	735.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	75,000	43.31	4-Jan	4-Feb	3,000.00	4.0
COUGAR TRADING	044	KSS	B	5,000	43.33	4-Jan	4-Feb	250.00	5.0
NEW JERSEY DIV OF INV.	220	LCC	B	1,100	14.18	n/a	n/a	44.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	30,000	21.46	n/a	28-Jan	1,200.00	4.0
BALYASNY ASSET MGMT	044	LM	B	15,000	72.03	17-Dec	30-Jan	750.00	5.0
LEHMAN PROPRIETARY TRAD	041	LPX	S	50,000	13.76	30-Oct	23-Jan	2,500.00	5.0
NEW JERSEY DIV OF INV.	220	LXP	B	21,200	15.10	n/a	n/a	848.00	4.0
NEW JERSEY DIV OF INV.	220	MAN	B	50,000	55.34	n/a	n/a	2,000.00	4.0
BUCK	015	MSFT	C	1,500	29.80	n/a	3-Apr	0.00	0.0
KORMAN	003	MSNI	S	50	1.20	n/a	n/a	0.00	0.0
LEDDY	035	MTRX	S	400	17.95	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	091	M	B	25,000	26.24	4-Jan	4-Feb	1,250.00	5.0
BUCK CAPITAL MGMT	001	M	S	50,000	26.59	4-Jan	4-Feb	2,000.00	4.0
COUGAR TRADING	044	M	B	35,000	25.65	4-Jan	4-Feb	1,750.00	5.0
COUGAR TRADING	044	M	S	20,000	25.93	4-Jan	4-Feb	1,000.00	5.0
THE JAY GOLDMAN	034	M	S	15,000	27.00	4-Jan	4-Feb	600.00	4.0
TRIVIUM CAP MGMT	251	NOK	B	50,000	34.83	n/a	n/a	2,000.00	4.0
XYLEM GLOBAL	091	PCH	B	30,000	40.39	8-Jan	5-Feb	1,500.00	5.0
HIGHBRIDGE CAPITAL	038	PKG	B	100,000	24.14	23-Jan	23-Jan	5,000.00	5.0
BACKBAY	041	PLCE	SS	25,000	19.04	10-Jan	4-Feb	1,250.00	5.0
COUGAR TRADING	044	PSUN	B	5,000	11.61	n/a	4-Feb	250.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	10,000	40.38	5-Feb	5-Feb	400.00	4.0
NEW JERSEY DIV OF INV.	220	RHI	S	60,000	26.03	n/a	n/a	2,400.00	4.0
CATTANI	041	RIMM	B	100	92.37	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	S	10,000	58.77	14-Jan	1-Feb	400.00	4.0
COLONIAL FUND LLC	036	RL	SS	50,000	58.95	14-Jan	1-Feb	2,500.00	5.0
COUGAR TRADING	044	RL	B	5,000	58.94	14-Jan	1-Feb	250.00	5.0
CATAPULT	040	SCHW	S	10,000	20.62	n/a	n/a	500.00	5.0
SUTERA	160	SDS	SS	500	62.34	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	SEE	B	4,000	25.77	n/a	n/a	200.00	5.0
MAGUIRE	090	SKF	B	1,000	100.29	n/a	n/a	0.00	0.0
MAGUIRE	039	SRS	B	100	103.19	n/a	n/a	0.00	0.0
BENCHMARK	028	SUNH	SS	7,500	17.24	n/a	n/a	375.00	5.0
MCCARTAN	028	SUNH	SS	200	17.27	n/a	n/a	0.00	0.0
GEORGE WEISS	034	TIN	S	20,000	19.03	8-Jan	23-Jan	800.00	4.0
CAPITAL GROWTH MGMT.	241	TUP	B	70,000	36.28	n/a	n/a	3,500.00	5.0
GAULT	063	TYCNH	S	30	1.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	UA	S	15,000	39.29	n/a	n/a	600.00	4.0
BEAR STEARNS ASSET MGMT.	033	URBN	S	9,800	28.00	3-Jan	4-Feb	392.00	4.0
GALLEON MGMT LP	033	UST	S	10,000	56.33	n/a	n/a	500.00	5.0
GAULT	063	UYG	B	1,500	37.96	n/a	n/a	0.00	0.0
BRANDWINE	028	WB	B	14,000	34.32	n/a	n/a	630.00	4.5
BUCK	015	WB	B	1,000	34.69	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	WFC	B	20,000	30.64	n/a	n/a	1,000.00	5.0
BRANDWINE	028	WFC	B	11,200	30.49	n/a	n/a	504.00	4.5
BRANDWINE	028	WM	B	15,100	18.30	n/a	n/a	679.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FRIESS MGMT	033	WOOF	S	740,800	32.20	n/a	n/a	33,336.00	4.5
THE BOSTON COMPANY	401	WOOF	S	3,290	32.47	n/a	n/a	131.60	4.0
LEHMAN PROPRIETARY TRAD	041	WSM	SS	50,000	26.56	n/a	n/a	2,000.00	4.0
CAPITAL GROWTH MGMT.	241	X	S	28,800	99.76	n/a	n/a	1,440.00	5.0
BUCK	015	YHOO	S	3,500	29.28	n/a	n/a	0.00	0.0
KORMAN	003	YTEC	B	3,000	16.05	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ZLC	SS	30,000	17.23	n/a	n/a	1,200.00	4.0
COUGAR TRADING	044	ZQK	B	10,000	8.73	5-Feb	5-Feb	500.00	5.0
TOTAL (Trade Date):								153,901.95	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/04	EATON VANCE MGMT (EATO99369)	039	FCX	B	50,000	91.59	n/a	n/a	2000.00 4.0
AO,CXL	02/04	NEW JERSEY DIV OF INV. (NEWJ5140)	220	LXP	B	37,300	15.16	n/a	n/a	1865.00 5.0
AO,CRT	02/04	NEW JERSEY DIV OF INV. (NEWJ5140)	220	LXP	B	37,300	15.16	n/a	n/a	1492.00 4.0
AO,	02/04	NEUBERGER BERMAN (NBER502099)	036	NATI	B	700	27.26	n/a	n/a	31.50 4.5
AO,	02/04	NEUBERGER BERMAN (NBER9W22)	036	NATI	B	52,700	27.26	n/a	n/a	2371.50 4.5
AO,	02/04	NEUBERGER BERMAN (NBER9W65)	036	NATI	B	22,000	27.26	n/a	n/a	990.00 4.5
AO,	02/04	NEUBERGER BERMAN (NBER9W90)	036	SFL	S	4,300	26.21	n/a	n/a	193.50 4.5
AO,	02/04	NEUBERGER BERMAN (NBER565724)	036	SFL	S	3,700	26.21	n/a	n/a	166.50 4.5
AO,	02/04	NEUBERGER BERMAN (NBER565723)	036	SFL	S	1,600	26.21	n/a	n/a	72.00 4.5
AO,	02/04	NEUBERGER BERMAN (NBER532692)	036	SFL	S	14,500	26.21	n/a	n/a	652.50 4.5
AO,	02/04	NEUBERGER BERMAN (NBER532689)	036	SFL	S	17,700	26.21	n/a	n/a	796.50 4.5
AO,	02/04	NEUBERGER BERMAN (NBER9W89)	036	SFL	S	5,600	26.21	n/a	n/a	252.00 4.5
AO,	02/04	GOLDMAN SACHS ASSET MGMT (GSAM800054)	044	SGP	B	135,700	21.00	n/a	n/a	2035.50 1.5
AO,	02/04	GOLDMAN SACHS ASSET MGMT (GSAM2058)	044	SGP	B	93,270	21.00	n/a	n/a	1399.05 1.5
AO,	02/04	GOLDMAN SACHS ASSET MGMT (GSAM137040)	044	SGP	B	88,800	21.00	n/a	n/a	1332.00 1.5
CRT	02/05	BENCHMARK (BENCBS)	028	SUNH	SS	7,500	17.24	n/a	n/a	375.00 5.0
AO,	02/04	ROTHSCHILD ASSET (ROTH332)	040	TFX	S	200	60.51	n/a	n/a	9.00 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH315)	040	TFX	S	480	60.51	n/a	n/a	21.60 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH310)	040	TFX	S	390	60.51	n/a	n/a	17.55 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH467)	040	TFX	S	220	60.51	n/a	n/a	9.90 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH464)	040	TFX	S	730	60.51	n/a	n/a	32.85 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH469)	040	TFX	S	4,540	60.51	n/a	n/a	204.30 4.5

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/04	ROTHSCHILD ASSET (ROTH468)	040	TFX	S	1,930	60.51	n/a	n/a	86.85 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH466)	040	TFX	S	2,790	60.51	n/a	n/a	125.55 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH452)	040	TFX	S	420	60.51	n/a	n/a	18.90 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH000300)	040	TFX	S	1,790	60.51	n/a	n/a	80.55 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH345)	040	TFX	S	350	60.51	n/a	n/a	15.75 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH372-1)	040	TFX	S	400	60.51	n/a	n/a	18.00 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH302-1)	040	TFX	S	2,010	60.51	n/a	n/a	90.45 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH369)	040	TFX	S	620	60.51	n/a	n/a	27.90 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH322)	040	TFX	S	1,050	60.51	n/a	n/a	47.25 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH302)	040	TFX	S	1,390	60.51	n/a	n/a	62.55 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH329)	040	TFX	S	480	60.51	n/a	n/a	21.60 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH368)	040	TFX	S	140	60.51	n/a	n/a	6.30 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH367)	040	TFX	S	530	60.51	n/a	n/a	23.85 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH355)	040	TFX	S	530	60.51	n/a	n/a	23.85 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH476)	040	TFX	S	380	60.51	n/a	n/a	17.10 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH359)	040	TFX	S	9,145	60.51	n/a	n/a	411.53 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH362)	040	TFX	S	1,120	60.51	n/a	n/a	50.40 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH356)	040	TFX	S	480	60.51	n/a	n/a	21.60 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH351)	040	TFX	S	270	60.51	n/a	n/a	12.15 4.5
AO,	02/04	ROTHSCHILD ASSET (ROTH363-1)	040	TFX	S	570	60.51	n/a	n/a	25.65 4.5

TOTAL (AO, CXL, CRT) :

13,777.53

TOTAL (Trade Date) :

153,901.95

TOTAL (AO, CXL, CRT) :

13,777.53

TOTAL (RUN DATE) / NFS:

167,679.48

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION