



# Daily Compliance Activity Report

Trade Date: 04/01/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
T. ROWE PRICE	033	ADBE	S	195,800	35.46	n/a	n/a	7,832.00	4.0
NEW JERSEY DIV OF INV.	030	ADI	S	25,000	28.89	n/a	n/a	1,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>AEO</b>	<b>S</b>	<b>50,000</b>	<b>18.46</b>	<b>n/a</b>	<b>31-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> ROBERT M DANIELS	<b>FID</b>	<b>ALU</b>	<b>B</b>	<b>1000</b>	<b>3.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>AN</b>	<b>B</b>	<b>30,000</b>	<b>18.30</b>	<b>n/a</b>	<b>1-Apr</b>	<b>non-brg</b>	<b>4.0</b>
HUTCHIN HILL CAPITAL LP	233	AN	B	25,000	18.28	n/a	1-Apr	750.00	3.0
TRUST CO/COWEN/CALF.	040	APC	S	32,335	73.96	n/a	1-Apr	1,616.75	5.0
<b>f</b> JOHN MICHAEL ZOLIDIS	<b>FID</b>	<b>BKRS</b>	<b>B</b>	<b>2500</b>	<b>2.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>BKRS</b>	<b>B</b>	<b>4300</b>	<b>2.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> ROBERT M DANIELS	<b>FID</b>	<b>BQI</b>	<b>B</b>	<b>1000</b>	<b>0.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GRANAHAN INV	039	CNK	B	20,000	18.36	n/a	n/a	800.00	4.0
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>CRK</b>	<b>B</b>	<b>300</b>	<b>33.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ARMATURE CAPITAL	041	DAN	B	15,000	11.88	n/a	25-Feb	600.00	4.0
PARTNER REINSURANCE	033	DG	S	31,600	25.50	n/a	31-Mar	1,264.00	4.0
NORTHWESTERN MUTUAL LIFE	047	DIA	S	221,000	109.20	n/a	n/a	8,840.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NORTHWESTERN MUTUAL LIFE	047	EFA	B	430,000	56.76	n/a	n/a	17,200.00	4.0
ROSEDALE CAPITAL	046	EK	S	50,000	5.96	n/a	n/a	2,500.00	5.0
HUNTER GLOBAL INVESTOR	067	FDX	SS	215,600	92.15	n/a	n/a	10,780.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>S</b>	<b>23,200</b>	<b>16.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>FINL</b>	<b>S</b>	<b>1000</b>	<b>17.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EGS ASSOCIATES LP	091	FL	B	4,000	15.40	n/a	26-Mar	240.00	6.0
SONTERRA CAP	041	FOE	S	20,000	9.03	n/a	n/a	600.00	3.0
DSG CAPITAL	225	F	B	20,000	12.80	n/a	31-Mar	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>S</b>	<b>24,550</b>	<b>31.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NEW JERSEY DIV OF INV.	030	GLW	S	50,000	20.47	n/a	19-Mar	2,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>HAL</b>	<b>B</b>	<b>39,000</b>	<b>30.85</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>HWCC</b>	<b>B</b>	<b>10000</b>	<b>11.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f RAGHAV NAYAR</b>	<b>FID</b>	<b>HWD</b>	<b>S</b>	<b>410</b>	<b>10.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	IFF	S	10,000	48.09	n/a	29-Mar	400.00	4.0
ARMATURE CAPITAL	041	IP	SS	10,000	25.14	n/a	26-Mar	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>40,000</b>	<b>56.23</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>LIZ</b>	<b>S</b>	<b>500</b>	<b>7.55</b>	<b>n/a</b>	<b>7-Oct</b>	<b>0.00</b>	<b>0.0</b>
HOLLIS CAPITAL	033	LVS	S	5,000	20.96	n/a	1-Apr	250.00	5.0
OZ MGMT	040	LVS	C	173,854	21.34	n/a	1-Apr	5,215.62	3.0
SENVEST PART	045	LVS	S	50,000	21.30	n/a	1-Apr	2,500.00	5.0
WOOSTER CAPITAL	035	LVS	B	100,000	21.10	n/a	1-Apr	5,000.00	5.0
THE JAY GOLDMAN	028	MAR	S	10,000	32.01	n/a	n/a	400.00	4.0
PZENA INV ADV	044	MAT	S	38,352	23.00	n/a	n/a	1,342.34	3.5
GRISANTI BROWN & PARTNER	048	MOS	B	36,710	58.20	n/a	n/a	1,835.50	5.0
WEINTRAUB CAPITAL MGT.	040	M	B	100,000	22.15	n/a	31-Mar	4,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>NBD CN</b>	<b>B</b>	<b>25,000</b>	<b>15.72</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NBD CN</b>	<b>B</b>	<b>50,000</b>	<b>15.69</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>NBRXF</b>	<b>B</b>	<b>850</b>	<b>15.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN BARTMAN COLE</b>	<b>FID</b>	<b>NBRXF</b>	<b>B</b>	<b>250</b>	<b>15.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>NBRXF</b>	<b>B</b>	<b>500</b>	<b>15.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BNP PARIBAS SEC	040	NWL	B	10,000	15.61	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PAG</b>	<b>B</b>	<b>30,000</b>	<b>14.98</b>	<b>n/a</b>	<b>23-Mar</b>	<b>non-brg</b>	<b>4.0</b>
NEW JERSEY DIV OF INV.	030	PAR	S	50,000	10.01	n/a	n/a	2,000.00	4.0
BMO NESBITT BURNS	041	PKG	S	25,900	24.86	n/a	26-Mar	1,295.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>SAH</b>	<b>B</b>	<b>20,000</b>	<b>11.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PROSPECTOR PARTNERS LLC	036	SJT	S	10,000	21.20	n/a	n/a	400.00	4.0
SCOPIA CAPITAL	048	SLM	B	114,066	12.73	n/a	31-Mar	4,562.64	4.0
PAULSON & CO INC	041	SNV	SS	250,000	3.32	n/a	n/a	5,000.00	2.0
SACC-SCHWARTZ	044	SPY	B	250,000	117.95	n/a	n/a	8,750.00	3.5
RBC CAPITAL MARKETS	040	TGT	S	5,000	52.81	n/a	31-Mar	200.00	4.0
SCHOTTENFELD ASSOC	041	TIN	B	10,000	21.07	n/a	26-Mar	300.00	3.0
SCHOTTENFELD ASSOC	041	TIN	S	100	21.30	n/a	26-Mar	3.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>10,000</b>	<b>38.56</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JOHN BARTMAN COLE</b>	<b>FID</b>	<b>URRE</b>	<b>S</b>	<b>300</b>	<b>0.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>VECO</b>	<b>S</b>	<b>100</b>	<b>43.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PIONEER MICHAEL NOLAN	067	VRGY	S	37,900	11.38	n/a	n/a	1,137.00	3.0
SUN AMERICA ASSET MGMT	030	WMT	S	100,000	55.19	n/a	18-Feb	4,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>10,000</b>	<b>48.44</b>	<b>n/a</b>	<b>2-Mar</b>	<b>non-brg</b>	<b>4.0</b>
TRUST CO/COWEN/CALF.	040	X	S	36,690	65.53	n/a	n/a	1,834.50	5.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>ZINC</b>	<b>B</b>	<b>2817</b>	<b>12.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOHN BARTMAN COLE	FID	ZLC	S	1500	2.70	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							108,048.35		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/31	COLUMBUS CIRCLE	036	COO	S	2,286	38.85	n/a	n/a	91.44 4.0
AO,	03/31	SOLARIS INV MGMT	029	EXPD	S	21,700	37.01	n/a	n/a	1085.00 5.0
AO,CXL	03/31	SOLARIS INV MGMT	029	EXPD	S	21,700	37.01	n/a	n/a	1085.00 5.0
AO,CRT	03/31	SCHOTTENFELD ASSOC	041	F	B	50,000	12.82	n/a	31-Mar	1500.00 3.0
AO,CXL	03/31	SCHOTTENFELD ASSOC	041	F	B	50,000	12.82	n/a	31-Mar	2500.00 5.0
AO,CXL	03/31	CATAPULT	040	LEA	B	25,000	79.59	n/a	25-Mar	1000.00 4.0
AO,CRT	03/31	CATAPULT	040	LEA	B	25,000	79.47	n/a	25-Mar	1000.00 4.0
AO,CRT	03/31	HG VORA CAP MGMT LLC	025	MPEL	SS	150,000	4.70	n/a	1-Apr	4500.00 3.0
AO,CXL	03/31	HG VORA CAP MGMT LLC	025	MPEL	SS	150,000	4.70	n/a	1-Apr	6000.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-2,408.56</b>

TOTAL (Trade Date) :	108,048.35
TOTAL (AO, CXL, CRT) :	-2,408.56

<b>TOTAL (RUN DATE) / NFS :</b>	<b>105,639.79</b>
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MRI Securities with Trade Activity on 1-Apr. NONE
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MRI Securities without Trade Activity on 1-Apr.

DVN, COG

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 14%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)