



# Daily Compliance Activity Report

Trade Date: 02/23/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SAC CRIB	044	ALB	B	10,000	55.96	n/a	n/a	350.00	3.5
ARMATURE CAPITAL	041	ALV	B	20,000	72.16	n/a	2-Feb	800.00	4.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>AONE</b>	<b>B</b>	<b>275</b>	<b>9.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>APC</b>	<b>S</b>	<b>2000</b>	<b>79.47</b>	<b>n/a</b>	<b>1-Feb</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	ARO	B	30,000	25.41	n/a	4-Feb	900.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ASNA</b>	<b>S</b>	<b>100,000</b>	<b>30.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BNP PARIBAS SEC	040	AXL	B	20,000	12.76	n/a	9-Feb	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>B</b>	<b>1,800</b>	<b>14.38</b>	<b>n/a</b>	<b>16-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>B</b>	<b>2,400</b>	<b>14.38</b>	<b>n/a</b>	<b>16-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>B</b>	<b>5,200</b>	<b>14.38</b>	<b>n/a</b>	<b>16-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>B</b>	<b>5,400</b>	<b>14.38</b>	<b>n/a</b>	<b>16-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>B</b>	<b>57,800</b>	<b>14.38</b>	<b>n/a</b>	<b>16-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>B</b>	<b>7,700</b>	<b>14.38</b>	<b>n/a</b>	<b>16-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>B</b>	<b>8,800</b>	<b>14.38</b>	<b>n/a</b>	<b>16-Feb</b>	<b>non-brg</b>	<b>4.0</b>
BUCK CAPITAL MGMT	001	BID	S	30,000	45.42	n/a	n/a	900.00	3.0
NEUBERGER BERMAN	036	BLK	B	8,930	195.16	n/a	n/a	357.20	4.0
PILOT ADV	048	B	C	12,000	20.62	n/a	n/a	360.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	COH	B	20,000	53.90	n/a	26-Jan	600.00	3.0
f DAVID GEORGE BUCK	FID	COP	B	500	78.61	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	041	COST	S	25,000	72.62	n/a	n/a	875.00	3.5
SIGMA CAPITAL ASSOCIATES	041	CROX	B	35,000	17.26	n/a	n/a	1,225.00	3.5
f BERNA GABRIELLE BARS	FID	CSCO	B	200	18.36	n/a	n/a	0.00	0.0
f KATHERINE L O'GORMAN	FID	CVGI	B	3000	15.87	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	CVGI	B	3000	15.86	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	CVGI	B	300	15.85	n/a	n/a	0.00	0.0
f CHRISTOPHER ARTHUR K	FID	C	B	5000	4.66	n/a	16-Feb	0.00	0.0
HOPLITE MGMT	025	C	B	306,300	4.60	n/a	16-Feb	6,126.00	2.0
B BUCK CAPITAL MGMT		DAL	B	50,000	10.47	n/a	n/a	non-brg	4.0
GLENHILL CAPITAL	036	DAN	B	115,000	17.90	n/a	31-Jan	5,750.00	5.0
f JOHN PATRICK DAVEY	FID	DAN	S	3500	17.84	n/a	31-Jan	0.00	0.0
NEUBERGER BERMAN	036	DDR	B	5,000	13.55	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		DECK	S	40,000	86.17	n/a	n/a	non-brg	3.0
NEUBERGER BERMAN	036	DHR	B	28,405	49.46	n/a	n/a	1,136.20	4.0
B BUCK CAPITAL MGMT		DIA	C	2,400	120.80	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BNP PARIBAS SEC	040	DKS	B	15,000	35.99	n/a	16-Nov	750.00	5.0
COUGAR TRADING	044	DLTR	B	5,000	50.98	23-Feb	23-Feb	250.00	5.0
SCOPUS FUND	041	DLTR	B	30,000	49.47	23-Feb	23-Feb	1,200.00	4.0
Ⓕ BUCK CAPITAL MGMT		DPZ	B	50,000	16.58	n/a	7-Jan	non-brg	4.0
KENNEDY CAPITAL	046	DPZ	S	44,440	16.65	n/a	7-Jan	1,777.60	4.0
Ⓕ BUCK CAPITAL MGMT		FDO	C	50,000	51.06	n/a	16-Feb	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		FINL	B	20,000	16.70	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		FOSL	S	10,000	74.75	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	S	10,000	45.51	n/a	20-Jan	300.00	3.0
WEINTRAUB CAPITAL MGT.	040	GIII	B	13,000	36.89	n/a	n/a	520.00	4.0
Ⓕ MANNY I KORMAN	FID	GM	B	300	2.17	n/a	23-Feb	0.00	0.0
PHILADELPHIA FINANCIAL	033	GM	SS	38,257	35.33	n/a	23-Feb	1,147.71	3.0
RIDGECREST INV MGMT LLC	028	GM	SS	7,500	35.36	n/a	23-Feb	375.00	5.0
SAC TRADING TOM LEDDY	035	GPS	B	47,500	22.11	n/a	n/a	1,662.50	3.5
CAVU CAP ADV	028	GT	S	20,000	13.58	n/a	n/a	1,000.00	5.0
NEUBERGER BERMAN	036	GWW	B	6,575	129.99	n/a	26-Jan	263.00	4.0
SAC TRADING TOM LEDDY	035	HAS	B	40,000	44.18	n/a	n/a	1,400.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KAREN F LEDDY	FID	HZO	S	1500	8.44	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		IGT	B	25,000	16.11	n/a	27-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		IJH	C	2,400	94.52	n/a	n/a	non-brg	2.0
ROTHSCHILD ASSET	040	IVC	S	5,330	30.03	n/a	n/a	213.20	4.0
ß BUCK CAPITAL MGMT		IWO	C	7,000	89.46	n/a	n/a	non-brg	2.0
SCOPUS FUND	041	JAH	SS	16,400	33.32	n/a	n/a	656.00	4.0
PILOT ADV	048	JCP	C	6,000	35.67	n/a	7-Feb	180.00	3.0
ß BUCK CAPITAL MGMT		JOSB	S	20,000	45.56	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	JPM	B	50	0.31	n/a	16-Feb	0.00	0.0
f DAVID GEORGE BUCK	FID	JPM	S	50	1.98	n/a	16-Feb	0.00	0.0
ß BUCK CAPITAL MGMT		KSS	B	1,000	51.97	n/a	7-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		KSS	B	1,600	51.97	n/a	7-Feb	non-brg	4.0
f DAVID GEORGE BUCK	FID	LLY	S	2000	34.05	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LL	S	20,000	24.74	n/a	n/a	non-brg	3.0
HOPLITE MGMT	025	LOW	B	100,000	26.07	n/a	23-Feb	5,000.00	5.0
f CHRISTOPHER ROBERT C	FID	LPX	B	500	9.96	n/a	10-Feb	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON KEMPNER ADVISOR	040	LPX	B	50,000	10.00	n/a	10-Feb	2,500.00	5.0
ASCEND PARTNERS	033	LTD	S	40,000	31.56	n/a	n/a	2,000.00	5.0
ß BUCK CAPITAL MGMT		MCD	S	100,000	75.10	n/a	8-Feb	non-brg	4.0
f DAVID GEORGE BUCK	FID	MHS	B	1000	60.53	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MON	B	7,200	69.73	n/a	7-Feb	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	MOTR	B	10000	15.94	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MS	B	1,700	29.83	n/a	14-Feb	non-brg	3.0
ß BUCK CAPITAL MGMT		MS	B	2,800	29.83	n/a	14-Feb	non-brg	3.0
f CHRISTOPHER ARTHUR K	FID	MWA	B	3000	3.97	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		M	B	40,000	22.76	n/a	23-Feb	non-brg	3.0
CHARTER OAK	033	NUAN	B	25,000	18.81	n/a	n/a	1,250.00	5.0
ß BUCK CAPITAL MGMT		ODFL	B	30,000	30.60	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		OXM	B	20,000	23.34	n/a	n/a	non-brg	4.0
COOPER CREEK PART	028	PAG	SS	10,000	20.51	n/a	17-Feb	400.00	4.0
ß BUCK CAPITAL MGMT		PERY	B	20,000	28.29	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PNRA	C	25,000	115.63	n/a	11-Feb	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SOROS	036	PTEN	SS	150,000	26.66	n/a	n/a	6,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		PVH	B	20,000	58.48	n/a	1-Feb	non-brg	3.0
<b>f</b> CHRISTOPHER ROBERT C	FID	PVH	S	125	59.67	n/a	1-Feb	0.00	0.0
<b>Ö</b> Nilsa Vazquez		REE	B	500	12.25	n/a	n/a	0.00	0.0
CASTLEROCK	040	RHT	S	54,918	41.04	n/a	n/a	2,196.72	4.0
CSFB GLOBAL PROPRIETARY	041	RKT	SS	15,000	67.31	n/a	n/a	750.00	5.0
SCOPUS FUND	041	RKT	SS	25,000	67.06	n/a	n/a	1,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		RL	B	10,000	121.39	n/a	10-Feb	non-brg	3.0
<b>f</b> EDWARD W WHEELER	FID	RRD	B	200	2.00	n/a	n/a	0.00	0.0
<b>B</b> BUCK CAPITAL MGMT		RRGB	C	29,400	22.96	n/a	n/a	non-brg	4.0
BASIX	033	RRR	B	20,000	12.57	n/a	12-Jan	600.00	3.0
MFP INVESTORS	085	RURL	B	5,000	13.90	n/a	n/a	250.00	5.0
<b>B</b> BUCK CAPITAL MGMT		SCS	B	20,000	9.97	n/a	n/a	non-brg	3.0
<b>B</b> BUCK CAPITAL MGMT		SHOO	B	20,000	41.57	n/a	n/a	non-brg	3.0
COBALT	041	SLM	S	445,000	14.07	n/a	14-Feb	22,250.00	5.0
<b>f</b> JOSEPH CIRO AMATURO	FID	SLW	S	3800	40.64	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOSEPH CIRO AMATURO	FID	SLW	S	4200	40.62	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	SLW	S	7000	40.63	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		SODA	B	10,000	38.32	n/a	n/a	non-brg	3.0
ß	BUCK CAPITAL MGMT		SPY	C	300	131.01	n/a	n/a	non-brg	2.0
	SAC CRIB	044	SPY	SS	20,000	131.13	n/a	n/a	700.00	3.5
ß	BUCK CAPITAL MGMT		STT	B	600	44.63	n/a	14-Feb	non-brg	3.0
ß	BUCK CAPITAL MGMT		STT	B	900	44.63	n/a	14-Feb	non-brg	3.0
	SUN AMERICA ASSET MGMT	030	SWN	B	25,600	37.95	n/a	11-Jan	1,024.00	4.0
	TRELLUS PARTNERS LP	028	TEN	SS	10,000	41.73	n/a	n/a	500.00	5.0
f	CHRISTOPHER ROBERT C	FID	TEX	B	200	34.43	n/a	11-Feb	0.00	0.0
ß	BUCK CAPITAL MGMT		TSCO	C	50,000	49.90	n/a	n/a	non-brg	4.0
	DELTEC ASSET MGMT.	040	TUP	S	5,236	53.75	n/a	n/a	261.80	5.0
ß	BUCK CAPITAL MGMT		UAL	B	45,000	22.69	n/a	n/a	non-brg	4.0
	SIGMA CAPITAL ASSOCIATES	041	UNFI	SS	25,000	41.75	n/a	n/a	875.00	3.5
ß	BUCK CAPITAL MGMT		URBN	S	20,000	36.54	n/a	n/a	non-brg	4.0
f	JOSEPH CIRO AMATURO	FID	URZ	B	10000	4.54	n/a	n/a	0.00	0.0
f	BERNA GABRIELLE BARS	FID	USO	B	100	40.13	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		VFC	C	40,000	95.84	n/a	23-Feb	non-brg	4.0
f SCOTT L BRUNNER	FID	VXX	B	2000	33.09	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WFMI	C	19,400	57.98	n/a	n/a	non-brg	3.0
CAERUS GLOBAL	041	WMT	SS	30,000	53.23	n/a	22-Feb	1,200.00	4.0
SAC TRADING TOM LEDDY	035	WMT	B	50,000	53.12	n/a	22-Feb	1,750.00	3.5
SIGMA CAPITAL ASSOCIATES	041	WMT	SS	50,000	53.03	n/a	22-Feb	1,750.00	3.5
B BUCK CAPITAL MGMT		WNC	B	25,000	10.18	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	WNC	B	10000	10.23	n/a	n/a	0.00	0.0
SCOPUS FUND	041	WSM	SS	40,000	35.78	n/a	n/a	1,600.00	4.0
<b>TOTAL (Trade Date):</b>								<b>86,131.93</b>	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/22	ENTRUST CAPITAL	040	IR	B	31,400	45.99	n/a	10-Feb	1570.00 5.0
AO,CXL	02/22	ENTRUST CAPITAL	040	IR	B	40,500	45.99	n/a	10-Feb	2025.00 5.0
AO,	02/22	ENTRUST CAPITAL	040	IR	B	9,100	45.99	n/a	10-Feb	455.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
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TOTAL (Trade Date) :	86,131.93
TOTAL (AO, CXL, CRT) :	0.00

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<b>TOTAL (RUN DATE) / NFS :</b>	<b>86,131.93</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	02/22	BUCK CAPITAL MGMT.	PCAR	B	10,000	51.28	n/a	2-Feb	300.00
CRT	02/22	BUCK CAPITAL MGMT	PCAR	B	10,000	51.28	n/a	2-Feb	100.00 1.0

MRI Securities with Trade Activity on 23-Feb.

DLTR

MRI Securities without Trade Activity on 23-Feb.

DD

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 6%

YTD = 4%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)