

Daily Compliance Activity Report Trade Date: 02/09/2010

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
BALYASNY ASSET MGMT	044	AB	S	20,000	25.05	n/a	9-Feb	1,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	ALV	В	20,000	44.03	n/a	1-Feb	800.00	4.0
WAGONHOUND/NIC	048	ALV	В	1,557	44.17	n/a	1-Feb	62.28	4.0
KAILIX INV ADV	048	ANR	S	35,000	42.70	n/a	n/a	1,750.00	5.0
ARCHON CAPITAL	035	ARAY	SS	10,000	7.25	n/a	n/a	300.00	3.0
ROSEDALE CAPITAL	046	AXL	В	7 , 525	8.73	n/a	8-Feb	301.00	4.0
ROSEDALE CAPITAL	046	AXL	S	200	8.94	n/a	8-Feb	8.00	4.0
SANDLER O'NEILL ASSET	031	BEN	S	2,600	98.12	n/a	9-Feb	130.00	5.0
UBS OCONNOR	031	BEN	S	25,000	97.27	n/a	9-Feb	750.00	3.0
GREEN ARROW DOUG	040	BGC	SS	5 , 000	27.79	n/a	n/a	250.00	5.0
RBC CAPITAL MARKETS	040	ВНР	SS	10,000	69.77	n/a	n/a	400.00	4.0
LISTED ERR	099	BLL	В	300	50.84	n/a	n/a	0.00	0.0
ROYCE TRADING	216	BMRN	В	5,400	19.23	n/a	n/a	270.00	5.0
GREEN ARROW DOUG	040	BWY	В	35,400	15.94	n/a	n/a	1,770.00	5.0
ROYCE TRADING	216	BZ	В	12,500	4.72	n/a	n/a	375.00	3.0
WEISS PECK	040	CAKE	С	5,320	21.73	n/a	8-Feb	266.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/	Shr.
JP MORGAN VENTURES	046	COCO	В	700	13.05	n/a	n/a	35.00	5.0
WAGONHOUND/NIC	048	DECK	В	5,313	97.28	n/a	n/a	212.52	4.0
WAGONHOUND/NIC	048	DPZ	В	9,288	10.86	n/a	19-Jan	371.52	4.0
WEINTRAUB CAPITAL MGT.	040	DSW	В	50,000	26.32	n/a	3-Feb	2,000.00	4.0
FIRST NEW YORK LEDDY	035	ETFC	В	10,000	1.50	n/a	n/a	600.00	6.0
LEVIN CAPITAL STRATEGIES	012	FDO	SS	40,000	31.45	n/a	1-Feb	1,600.00	4.0
ARCHON CAPITAL	035	FSLR	В	15,000	112.98	n/a	n/a	450.00	3.0
BMO NESBITT BURNS	041	F	SS	50,000	11.16	n/a	3-Feb	2,500.00	5.0
ROARING BLUE LION MGMT	012	GCO	В	6 , 500	21.77	n/a	n/a	325.00	5.0
LEVEL GLOBAL SHARED ACCT	257	GES	В	50,000	39.09	n/a	5-Feb	1,500.00	3.0
AVESTA CAP	229	GE	SS	500,000	15.57	n/a	n/a	15,000.00	3.0
SAC ADV/LEE	044	GPN	В	46,600	45.51	n/a	n/a	1,631.00	3.5
GCORE MANAGEMENT	041	HRB	SS	20,000	21.07	n/a	n/a	1,000.00	5.0
BALYASNY ASSET MGMT	044	HSIC	SS	25 , 000	54.22	n/a	n/a	1,250.00	5.0
SAC ADV/LEE	044	IACI	В	100,000	21.48	n/a	n/a	3,500.00	3.5
	JP MORGAN VENTURES WAGONHOUND/NIC WAGONHOUND/NIC WEINTRAUB CAPITAL MGT. FIRST NEW YORK LEDDY LEVIN CAPITAL STRATEGIES ARCHON CAPITAL BMO NESBITT BURNS ROARING BLUE LION MGMT LEVEL GLOBAL SHARED ACCT AVESTA CAP SAC ADV/LEE GCORE MANAGEMENT BALYASNY ASSET MGMT	JP MORGAN VENTURES 046 WAGONHOUND/NIC 048 WAGONHOUND/NIC 048 WEINTRAUB CAPITAL MGT. 040 FIRST NEW YORK LEDDY 035 LEVIN CAPITAL STRATEGIES 012 ARCHON CAPITAL 035 BMO NESBITT BURNS 041 ROARING BLUE LION MGMT 012 LEVEL GLOBAL SHARED ACCT 257 AVESTA CAP 229 SAC ADV/LEE 044 GCORE MANAGEMENT 041 BALYASNY ASSET MGMT 044	JP MORGAN VENTURES 046 COCO WAGONHOUND/NIC 048 DECK WAGONHOUND/NIC 048 DPZ WEINTRAUB CAPITAL MGT. 040 DSW FIRST NEW YORK LEDDY 035 ETFC LEVIN CAPITAL STRATEGIES 012 FDO ARCHON CAPITAL 035 FSLR BMO NESBITT BURNS 041 F ROARING BLUE LION MGMT 012 GCO LEVEL GLOBAL SHARED ACCT 257 GES AVESTA CAP 229 GE SAC ADV/LEE 044 GPN GCORE MANAGEMENT 041 HRB BALYASNY ASSET MGMT 044 HSIC	JP MORGAN VENTURES 046 COCO B WAGONHOUND/NIC 048 DPZ B WEINTRAUB CAPITAL MGT. 040 DSW B FIRST NEW YORK LEDDY 035 ETFC B LEVIN CAPITAL STRATEGIES 012 FDO SS ARCHON CAPITAL 035 FSLR B BMO NESBITT BURNS 041 F SS ROARING BLUE LION MGMT 012 GCO B LEVEL GLOBAL SHARED ACCT 257 GES B AVESTA CAP 229 GE SS SAC ADV/LEE 044 GPN B GCORE MANAGEMENT 041 HRB SS BALYASNY ASSET MGMT 044 HSIC SS	JP MORGAN VENTURES 046 COCO B 700 WAGONHOUND/NIC 048 DECK B 5,313 WAGONHOUND/NIC 048 DPZ B 9,288 WEINTRAUB CAPITAL MGT. 040 DSW B 50,000 FIRST NEW YORK LEDDY 035 ETFC B 10,000 LEVIN CAPITAL STRATEGIES 012 FDO SS 40,000 ARCHON CAPITAL 035 FSLR B 15,000 BMO NESBITT BURNS 041 F SS 50,000 ROARING BLUE LION MGMT 012 GCO B 6,500 LEVEL GLOBAL SHARED ACCT 257 GES B 50,000 AVESTA CAP 229 GE SS 500,000 SAC ADV/LEE 044 GPN B 46,600 GCORE MANAGEMENT 041 HRB SS 20,000 BALYASNY ASSET MGMT 044 HSIC SS 25,000	JP MORGAN VENTURES 046 COCO B 700 13.05 WAGONHOUND/NIC 048 DECK B 5,313 97.28 WAGONHOUND/NIC 048 DPZ B 9,288 10.86 WEINTRAUB CAPITAL MGT. 040 DSW B 50,000 26.32 FIRST NEW YORK LEDDY 035 ETFC B 10,000 1.50 LEVIN CAPITAL STRATEGIES 012 FDO SS 40,000 31.45 ARCHON CAPITAL 035 FSLR B 15,000 112.98 BMO NESBITT BURNS 041 F SS 50,000 11.16 ROARING BLUE LION MGMT 012 GCO B 6,500 21.77 LEVEL GLOBAL SHARED ACCT 257 GES B 50,000 39.09 AVESTA CAP 229 GE SS 500,000 15.57 SAC ADV/LEE 044 GPN B 46,600 45.51 GCORE MANAGEMENT 041 HRB <t< td=""><td>JP MORGAN VENTURES 046 COCO B 700 13.05 n/a WAGONHOUND/NIC 048 DECK B 5,313 97.28 n/a WAGONHOUND/NIC 048 DPZ B 9,288 10.86 n/a WEINTRAUB CAPITAL MGT. 040 DSW B 50,000 26.32 n/a FIRST NEW YORK LEDDY 035 ETFC B 10,000 1.50 n/a LEVIN CAPITAL STRATEGIES 012 FDO SS 40,000 31.45 n/a BMO NESBITT BURNS 041 F SS 50,000 112.98 n/a ROARING BLUE LION MGMT 012 GCO B 6,500 21.77 n/a LEVEL GLOBAL SHARED ACCT 257 GES B 50,000 39.09 n/a AVESTA CAP 229 GE SS 500,000 15.57 n/a SAC ADV/LEE 044 GPN B 46,600 45.51 n/a BAL</td><td>JP MORGAN VENTURES 046 COCO B 700 13.05 n/a n/a WAGONHOUND/NIC 048 DECK B 5,313 97.28 n/a n/a WAGONHOUND/NIC 048 DPZ B 9,288 10.86 n/a 19-Jan WEINTRAUB CAPITAL MGT. 040 DSW B 50,000 26.32 n/a 3-Feb FIRST NEW YORK LEDDY 035 ETFC B 10,000 1.50 n/a n/a LEVIN CAPITAL STRATEGIES 012 FDO SS 40,000 31.45 n/a 1-Feb ARCHON CAPITAL 035 FSLR B 15,000 112.98 n/a n/a BMO NESBITT BURNS 041 F SS 50,000 11.16 n/a 3-Feb ROARING BLUE LION MGMT 012 GCO B 6,500 21.77 n/a n/a AVESTA CAP 229 GE SS 500,000 15.57 n/a n/a</td><td>JP MORGAN VENTURES 046 COCO B 700 13.05 n/a n/a 35.00 WAGONHOUND/NIC 048 DECK B 5,313 97.28 n/a n/a 212.52 WAGONHOUND/NIC 048 DPZ B 9,288 10.86 n/a 19-Jan 371.52 WEINTRAUB CAPITAL MGT. 040 DSN B 50,000 26.32 n/a 3-Feb 2,000.00 FIRST NEW YORK LEDDY 035 ETFC B 10,000 1.50 n/a n/a 600.00 LEVIN CAPITAL STRATEGIES 012 FDO SS 40,000 31.45 n/a 1-Feb 1,600.00 ARCHON CAPITAL 035 FSLR B 15,000 112.98 n/a n/a 450.00 BMO NESBITT BURNS 041 F SS 50,000 11.16 n/a 3-Feb 2,500.00 ROARING BLUE LION MGMT 012 GCO B 6,500 21.77 n/a n/a 325.00 LEVEL GLOBAL SHARED ACCT 257 GES B 50,000 39.09 n/a 5-Peb 1,500.00 AVESTA CAP 229 GE SS 500,000 15.57 n/a n/a 1,631.00 GCORE MANAGEMENT 041 HRB SS 20,000 21.07 n/a n/a 1,631.00 GCORE MANAGEMENT 041 HRB SS 20,000 54.22 n/a n/a 1,250.00</td></t<>	JP MORGAN VENTURES 046 COCO B 700 13.05 n/a WAGONHOUND/NIC 048 DECK B 5,313 97.28 n/a WAGONHOUND/NIC 048 DPZ B 9,288 10.86 n/a WEINTRAUB CAPITAL MGT. 040 DSW B 50,000 26.32 n/a FIRST NEW YORK LEDDY 035 ETFC B 10,000 1.50 n/a LEVIN CAPITAL STRATEGIES 012 FDO SS 40,000 31.45 n/a BMO NESBITT BURNS 041 F SS 50,000 112.98 n/a ROARING BLUE LION MGMT 012 GCO B 6,500 21.77 n/a LEVEL GLOBAL SHARED ACCT 257 GES B 50,000 39.09 n/a AVESTA CAP 229 GE SS 500,000 15.57 n/a SAC ADV/LEE 044 GPN B 46,600 45.51 n/a BAL	JP MORGAN VENTURES 046 COCO B 700 13.05 n/a n/a WAGONHOUND/NIC 048 DECK B 5,313 97.28 n/a n/a WAGONHOUND/NIC 048 DPZ B 9,288 10.86 n/a 19-Jan WEINTRAUB CAPITAL MGT. 040 DSW B 50,000 26.32 n/a 3-Feb FIRST NEW YORK LEDDY 035 ETFC B 10,000 1.50 n/a n/a LEVIN CAPITAL STRATEGIES 012 FDO SS 40,000 31.45 n/a 1-Feb ARCHON CAPITAL 035 FSLR B 15,000 112.98 n/a n/a BMO NESBITT BURNS 041 F SS 50,000 11.16 n/a 3-Feb ROARING BLUE LION MGMT 012 GCO B 6,500 21.77 n/a n/a AVESTA CAP 229 GE SS 500,000 15.57 n/a n/a	JP MORGAN VENTURES 046 COCO B 700 13.05 n/a n/a 35.00 WAGONHOUND/NIC 048 DECK B 5,313 97.28 n/a n/a 212.52 WAGONHOUND/NIC 048 DPZ B 9,288 10.86 n/a 19-Jan 371.52 WEINTRAUB CAPITAL MGT. 040 DSN B 50,000 26.32 n/a 3-Feb 2,000.00 FIRST NEW YORK LEDDY 035 ETFC B 10,000 1.50 n/a n/a 600.00 LEVIN CAPITAL STRATEGIES 012 FDO SS 40,000 31.45 n/a 1-Feb 1,600.00 ARCHON CAPITAL 035 FSLR B 15,000 112.98 n/a n/a 450.00 BMO NESBITT BURNS 041 F SS 50,000 11.16 n/a 3-Feb 2,500.00 ROARING BLUE LION MGMT 012 GCO B 6,500 21.77 n/a n/a 325.00 LEVEL GLOBAL SHARED ACCT 257 GES B 50,000 39.09 n/a 5-Peb 1,500.00 AVESTA CAP 229 GE SS 500,000 15.57 n/a n/a 1,631.00 GCORE MANAGEMENT 041 HRB SS 20,000 21.07 n/a n/a 1,631.00 GCORE MANAGEMENT 041 HRB SS 20,000 54.22 n/a n/a 1,250.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	
CIRCLE T/SES PART	035	IGT	S	15,000	17.72	n/a	9-Feb	600.00	4.0	
SAC TRADIN/BINDER	044	IGT	В	70,000	17.99	n/a	9-Feb	2,450.00	3.5	
WAGONHOUND/NIC	048	IMA	S	2,100	41.09	n/a	n/a	84.00	4.0	
COOPER CREEK PART	028	IP	В	10,000	23.00	n/a	3-Feb	400.00	4.0	
SANDLER O'NEILL ASSET	031	IVZ	S	50,000	18.90	n/a	9-Feb	2,500.00	5.0	
THE JAY GOLDMAN	028	JCP	S	20,000	24.47	n/a	5-Feb	800.00	4.0	
SANDLER O'NEILL ASSET	031	JNS	В	10,000	11.75	n/a	9-Feb	500.00	5.0	
BUCK CAPITAL MGMT	001	JNY	В	20,000	14.88	n/a	29-Jan	800.00	4.0	
TRUSTCO/SUNTRUST	040	JNY	В	36,600	15.08	n/a	29-Jan	1,427.40	3.9	
RBC CAPITAL MARKETS	040	KFT	S	50,000	28.91	n/a	n/a	2,000.00	4.0	
MADISON ST PARTNERS LLC	046	LAZ	В	10,010	36.78	n/a	4-Feb	500.50	5.0	
SANDLER O'NEILL ASSET	031	LAZ	В	25,000	36.65	n/a	4-Feb	1,250.00	5.0	
SIGMA CAPITAL ASSOCIATES	041	LSI	SS	25,000	5.29	n/a	n/a	875.00	3.5	
WHITE PINE CAPITAL	030	MIDD	S	700	42.01	n/a	4-Jan	35.00	5.0	
GREEN ARROW DOUG	040	MXI	SS	5,000	56.80	n/a	n/a	250.00	5.0	
SIGMA CAPITAL ASSOCIATES	041	М	В	50,000	16.55	n/a	5-Feb	1,750.00	3.5	
SANDLER O'NEILL ASSET	099	NTRS	В	1,500	49.99	n/a	9-Feb	75.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
MKT MAKER	099	NTRS	S	56	49.99	n/a	9-Feb	0.00	0.0
TYEE/TYGH	035	OMX	В	29,100	13.30	n/a	n/a	1,164.00	4.0
PARTNER REINSURANCE	033	РНМ	В	20,000	10.75	n/a	n/a	800.00	4.0
HIGHBRIDGE CAP	041	PKG	SS	40,198	21.61	n/a	26-Jan	1,607.92	4.0
ROARING BLUE LION MGMT	012	PLCE	В	5,000	35.08	n/a	5-Feb	250.00	5.0
CAPITAL GROWTH MGMT.	241	PNC	S	200,000	50.88	n/a	n/a	10,000.00	5.0
BUCK CAPITAL MGMT	001	PVH	В	40,000	39.32	n/a	12-Jan	1,600.00	4.0
HARBORWATCH/DIAMBACK	232	ROC	SS	25,000	20.92	n/a	n/a	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	041	SAP	SS	10,000	43.60	n/a	n/a	350.00	3.5
GREEN ARROW DOUG	040	SPY	SS	5,000	106.98	n/a	n/a	250.00	5.0
MATRIX ASSET ADV.	036	TDW	В	2,300	44.62	n/a	n/a	92.00	4.0
COOPER CREEK PART	028	TIN	В	10,000	17.34	n/a	9-Feb	400.00	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	В	66,200	17.10	n/a	9-Feb	3,310.00	5.0
ELM RIDGE ADVISORS	044	TIN	В	9,200	16.75	n/a	9-Feb	368.00	4.0
IRIDIAN ASSET MGMT.	036	TIN	В	25 , 850	16.89	n/a	9-Feb	1,292.50	5.0
RBC CAPITAL MARKETS	040	TIN	S	20,000	17.37	n/a	9-Feb	800.00	4.0
COUGAR TRADING	044	TM	В	5,000	75.15	n/a	n/a	250.00	5.0
CATAPULT	040	TRA	В	25,000	32.83	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
SANDLER O'NEILL ASSET	031	TROW	SS	10,000	49.12	n/a	9-Feb	500.00	5.0
GREEN ARROW DOUG	040	UFS	SS	5,000	49.86	n/a	n/a	250.00	5.0
HUNTER GLOBAL INVESTOR	067	UNP	SS	32,300	61.99	n/a	n/a	1,615.00	5.0
SAC ADV/LEE	044	URS	SS	14,500	44.41	n/a	n/a	507.50	3.5
KLEINHEINZ CAP PART	031	VZ	В	150,000	28.85	n/a	n/a	7,500.00	5.0
BUCK CAPITAL MGMT	001	WDR	В	20,000	29.82	n/a	9-Feb	800.00	4.0
KLEINHEINZ CAP PART	031	WMT	В	50,000	53.20	n/a	1-Feb	2,500.00	5.0
ROTHSCHILD ASSET	040	WPP	S	10,982	9.14	n/a	n/a	439.28	4.0
BUCK CAPITAL MGMT	001	WRC	В	30,000	38.49	n/a	28-Jan	1,200.00	4.0
SAC ADV/LEE	044	YHOO	S	47,300	15.11	n/a	n/a	1,655.50	3.5
GREEN ARROW DOUG	040	YUM	S	25 , 000	33.11	n/a	5-Feb	1,250.00	5.0

TOTAL (Trade Date): 99,955.92

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	02/04	LISTED ERR (LISTED ERR)	098	BLL	S	300	50.70	n/a	n/a	0.00	0.0
AO, CRT	02/08	SIGMA CAP BOBBY (SIBEBOBBY)	044	F	В	50,000	11.15	n/a	3-Feb	1750.00	3.5
AO,CXL	02/08	SIGMA CAPITAL ASSOCIATES (SIGMGSUSE)	041	F	В	50,000	11.15	n/a	3-Feb	1750.00	3.5
AO, CRT	02/08	SIGMA CAP BOBBY (SIBEBOBBY)	044	TRW	В	20,000	22.44	n/a	n/a	700.00	3.5
AO,CXL	02/08	SIGMA CAPITAL ASSOCIATES (SIGMGSUSE)	041	TRW	В	20,000	22.44	n/a	n/a	700.00	3.5
TOTAL	(AO, (CXL, CRT):								0.00	

TOTAL (RUN DATE) / NFS:	99,955.92
TOTAL (AO, CXL, CRT):	0.00
TOTAL (Trade Date):	99,955.92

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION