



Daily Compliance Activity Report

Trade Date: 06/25/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|------------|----------|---------------|--------------|------------|---------------|-----------------|------------|
| PROSPECTOR PARTNERS LLC | 036 | ACGL | S | 10,000 | 76.37 | n/a | n/a | 400.00 | 4.0 |
| COPIA CAPITAL | 031 | AEZ | S | 47,300 | 6.64 | n/a | n/a | 1,655.50 | 3.5 |
| MADISON ST PARTNERS LLC | 046 | ANH | S | 87,646 | 7.19 | n/a | n/a | 3,505.84 | 4.0 |
| BUCK CAPITAL MGMT | 001 | ANN | B | 10,000 | 17.35 | n/a | n/a | 400.00 | 4.0 |
| RK CAPTIAL MGMT | 046 | ARBA | S | 15,000 | 17.70 | n/a | n/a | 750.00 | 5.0 |
| EXIS CAPITAL | 044 | ARO | B | 5,000 | 29.70 | n/a | 4-Jun | 250.00 | 5.0 |
| CRAMER ROSENTHAL/SCOTT | 012 | AVY | B | 241,550 | 34.28 | n/a | 18-Jun | 9,662.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | COH | S | 20,000 | 39.10 | n/a | 14-May | 800.00 | 4.0 |
| AMBER CAPITAL | 046 | C | B | 316,000 | 3.93 | n/a | 25-Jun | 12,640.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | DDS | B | 70,000 | 23.97 | n/a | n/a | 2,800.00 | 4.0 |
| BNP PARIBAS SEC | 040 | DD | S | 15,000 | 36.72 | n/a | 9-Jun | 600.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | FL | B | 20,000 | 13.45 | n/a | 16-Jun | 800.00 | 4.0 |
| ROTHSCHILD ASSET | 040 | FOR | S | 69,978 | 18.10 | n/a | 10-May | 2,799.12 | 4.0 |
| TRELLUS PARTNERS LP | 028 | F | B | 50,000 | 10.54 | n/a | 3-Jun | 2,500.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|--------------------------|------------|------------|----------|---------------|--------------|------------|---------------|-----------------|------------|
| PLATTE RIVER CAPITAL | 240 | GENZ | B | 2,000 | 53.80 | n/a | n/a | 80.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | HBI | B | 30,000 | 24.97 | n/a | 14-May | 1,200.00 | 4.0 |
| KLEINHEINZ CAP PART | 031 | HD | SS | 125,000 | 29.66 | n/a | 19-May | 6,250.00 | 5.0 |
| VISIUM/BALY BOBBY | 044 | HD | SS | 25,000 | 29.81 | n/a | 19-May | 1,250.00 | 5.0 |
| WADDELL AND REED | 047 | HOLX | S | 218,100 | 14.22 | n/a | n/a | 8,724.00 | 4.0 |
| HARBORWATCH/DIAMBACK | 232 | IP | S | 25,000 | 24.51 | n/a | 29-Apr | 1,250.00 | 5.0 |
| SENATOR INVESTMENT GROUP | 036 | IR | SS | 165,000 | 37.79 | n/a | 27-May | 4,950.00 | 3.0 |
| EXIS CAPITAL | 044 | JNY | B | 20,000 | 17.58 | n/a | 14-May | 1,000.00 | 5.0 |
| BROADARCH CAP/OLD NEWC | 070 | JOYG | S | 2,600 | 55.65 | n/a | 4-Jun | 104.00 | 4.0 |
| EXIS CAPITAL | 044 | JWN | B | 5,000 | 35.25 | n/a | 4-Jun | 250.00 | 5.0 |
| WADDELL AND REED | 047 | JWN | S | 108,379 | 35.34 | n/a | 4-Jun | 4,335.16 | 4.0 |
| BROADARCH CAP/OLD NEWC | 070 | LVS | S | 8,300 | 26.36 | n/a | 21-Jun | 332.00 | 4.0 |
| PLATTE RIVER CAPITAL | 240 | MOT | B | 15,000 | 7.15 | n/a | n/a | 600.00 | 4.0 |
| SENATOR INVESTMENT GROUP | 036 | MRK | S | 100,000 | 35.85 | n/a | n/a | 3,000.00 | 3.0 |
| EXIS CAPITAL | 044 | PVH | B | 10,000 | 49.85 | n/a | 26-May | 500.00 | 5.0 |
| COHE & STEERS | 041 | RYN | S | 195,570 | 45.44 | n/a | 13-May | 7,822.80 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-----------------------------|------|--------|-----|---------|-------|-----|----------|------------------|-----|
| BUCK CAPITAL MGMT | 001 | SKX | B | 10,000 | 40.57 | n/a | n/a | 400.00 | 4.0 |
| EXIS CAPITAL | 044 | SKX | SS | 5,000 | 39.91 | n/a | n/a | 250.00 | 5.0 |
| BANK OF AMERICA/MERRILL | 259 | SLE | B | 10,000 | 14.69 | n/a | n/a | 400.00 | 4.0 |
| TYEE/TYGH | 035 | SMG | B | 45,000 | 44.18 | n/a | 17-Jun | 1,800.00 | 4.0 |
| SONTERRA CAP | 041 | SVU | S | 55,702 | 12.06 | n/a | n/a | 2,228.08 | 4.0 |
| BUCK CAPITAL MGMT | 001 | TIF | SS | 10,000 | 40.37 | n/a | n/a | 400.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | TLB | B | 30,000 | 10.99 | n/a | n/a | 1,200.00 | 4.0 |
| MACKLOWE ASSET MGMT | 041 | URBN | B | 45,000 | 35.02 | n/a | n/a | 1,800.00 | 4.0 |
| EXIS CAPITAL | 044 | XOM | B | 20,000 | 59.73 | n/a | n/a | 1,000.00 | 5.0 |
| GLENHILL CAPITAL | 212 | XOM | S | 170,000 | 59.95 | n/a | n/a | 8,500.00 | 5.0 |
| TOTAL (Trade Date) : | | | | | | | | 99,188.50 | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|-------------------------------|-------|--------------------------------|------|--------|-----|--------|-------|-----|----------|-------------|
| AO,CXL | 06/24 | BUCK CAPITAL MGMT (BUCKGILAGX) | 001 | ANN | B | 10,000 | 17.29 | n/a | n/a | 400.00 4.0 |
| AO,CRT | 06/24 | BUCK CAPITAL MGMT (BUCKMSMAIN) | 001 | ANN | B | 10,000 | 17.29 | n/a | n/a | 400.00 4.0 |
| AO,CXL | 06/24 | TYEE/TYGH (TYEE49) | 035 | SMG | B | 425 | 43.84 | n/a | 17-Jun | 17.00 4.0 |
| AO, | 06/24 | TYEE/TYGH (TYEE50) | 035 | SMG | B | 425 | 43.84 | n/a | 17-Jun | 17.00 4.0 |
| TOTAL (AO, CXL, CRT) : | | | | | | | | | | 0.00 |

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|------------------------|-----------|
| TOTAL (Trade Date) : | 99,188.50 |
| TOTAL (AO, CXL, CRT) : | 0.00 |

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| TOTAL (RUN DATE) / NFS : | 99,188.50 |
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION