



## Daily Compliance Activity Report

Trade Date: 02/23/2010

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AB	S	25,000	26.05	n/a	12-Feb	1,250.00	5.0
TROUBH PART	067	ADM	S	30,000	29.59	n/a	18-Feb	1,500.00	5.0
BALYASNY ASSET MGMT	044	AMG	S	14,800	70.19	n/a	22-Feb	740.00	5.0
SANDLER O'NEILL ASSET	031	AMG	S	700	71.09	n/a	22-Feb	35.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>100,000</b>	<b>35.36</b>	<b>n/a</b>	<b>17-Feb</b>	<b>4,000.00</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	ANR	S	600	47.02	n/a	n/a	24.00	4.0
GLOBAL 56 LLC	060	AXL	S	2,500	9.50	n/a	8-Feb	125.00	5.0
CATAPULT	040	BAC	B	50,000	16.15	n/a	22-Feb	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BYI</b>	<b>S</b>	<b>10,000</b>	<b>41.06</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
ROYCE TRADING	216	BZ	B	22,000	4.77	n/a	n/a	660.00	3.0
HARVEST MGMT	039	CAKE	B	10,000	23.55	n/a	12-Feb	500.00	5.0
EXIS CAPITAL	044	CHS	S	10,000	14.10	n/a	5-Feb	500.00	5.0
JP MORGAN VENTURES	046	CHS	SS	30,000	14.04	n/a	5-Feb	1,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>20,000</b>	<b>36.46</b>	<b>n/a</b>	<b>21-Jan</b>	<b>800.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>S</b>	<b>30,000</b>	<b>28.37</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KAILIX INV ADV	048	CSX	B	10,000	46.91	n/a	n/a	500.00	5.0
COLONIAL FUND LLC	036	DAN	B	25,000	10.92	n/a	6-Jan	1,250.00	5.0
TRELLUS PARTNERS LP	028	DAN	B	20,000	10.64	n/a	6-Jan	1,000.00	5.0
S.A.C. CAP/ORENSTEIN	044	EEM	SS	50,000	38.91	n/a	n/a	1,750.00	3.5
MAIRS AND POWER INV	030	EMR	S	20,000	47.65	n/a	n/a	1,000.00	5.0
FIRST NEW YORK LEDDY	035	ETFC	B	10,000	1.54	n/a	n/a	600.00	6.0
SEMINOLE CAP	036	EXPE	B	10,000	22.19	n/a	n/a	500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	FLR	S	400,000	45.01	n/a	n/a	12,000.00	3.0
MILLENIUM PARTNERS	028	FWLT	S	10,000	27.21	n/a	n/a	400.00	4.0
EXIS CAPITAL	044	F	B	25,000	11.40	n/a	3-Feb	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>10,000</b>	<b>22.96</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
S.A.C. CAP/ORENSTEIN	044	GE	B	150,000	15.97	n/a	n/a	5,250.00	3.5
SANDLER O'NEILL ASSET	031	GS	SS	50,000	157.10	n/a	13-Feb	2,500.00	5.0
CHILTON CAPITAL MGMT.	036	HAIN	C	50,000	16.07	n/a	n/a	1,500.00	3.0
HARVEST MGMT	039	HBI	B	5,000	24.38	n/a	n/a	250.00	5.0
KAILIX INV ADV	048	HK	B	20,000	21.53	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THOMPSON SIEGAL&WALMSLEY	029	JOYG	B	7,870	48.43	n/a	22-Feb	236.10	3.0
HUNTER GLOBAL INVESTOR	067	JPM	S	50,000	41.08	n/a	22-Feb	2,500.00	5.0
METROPOLITAN CAPITAL	044	JPM	B	10,200	39.98	n/a	22-Feb	510.00	5.0
PZENA INV ADV	044	KELYA	S	164	16.16	n/a	n/a	5.74	3.5
KENNEDY CAPITAL	046	LIZ	S	132,215	6.23	n/a	7-Oct	3,966.45	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LL</b>	<b>B</b>	<b>30,000</b>	<b>22.96</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
TROUBH PART	067	LMT	S	10,000	76.91	n/a	23-Feb	500.00	5.0
THOMPSON SIEGAL&WALMSLEY	029	LOW	S	30,442	22.97	n/a	22-Feb	913.26	3.0
PHILADELPHIA FINANCIAL	033	LUK	C	7,500	22.49	n/a	n/a	300.00	4.0
SAC TRADIN/BINDER	044	LVS	B	25,000	16.18	n/a	18-Feb	875.00	3.5
TRELLUS PARTNERS LP	028	LVS	B	55,000	16.22	n/a	18-Feb	2,750.00	5.0
AVESTA CAP	229	MT	SS	100,000	38.01	n/a	n/a	3,000.00	3.0
CHILTON CAPITAL MGMT.	036	MWV	C	25,000	23.58	n/a	28-Jan	750.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NTY</b>	<b>SS</b>	<b>10,000</b>	<b>44.59</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
BAIRD INVESTMENT	047	PCP	B	5,574	111.38	n/a	23-Feb	278.70	5.0
HUNTER GLOBAL INVESTOR	067	PEP	S	25,000	61.94	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SNYDER CAPITAL	033	PRXL	S	2,000	20.90	n/a	n/a	80.00	4.0
HIGHBRIDGE CAP	041	RGC	SS	16,500	15.00	n/a	n/a	660.00	4.0
CHILTON CAPITAL MGMT.	036	RT	C	50,000	8.13	n/a	n/a	1,500.00	3.0
VISIUM/BALY BOBBY	044	RVI	B	8,651	8.73	n/a	n/a	346.04	4.0
FRIESS MGMT	033	SCHW	B	380,400	18.48	n/a	n/a	15,216.00	4.0
EXIS CAPITAL	044	SKX	B	10,000	29.42	n/a	n/a	500.00	5.0
STERLING JOHNSTON	035	SMG	S	8,050	38.11	n/a	9-Feb	322.00	4.0
S.A.C. HEALTHCARE BOBBY	044	SNY	B	10,000	36.74	n/a	n/a	350.00	3.5
UNITED STATES PENSION	032	SPLS	S	325,000	25.47	n/a	n/a	13,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TGT</b>	<b>S</b>	<b>10,000</b>	<b>49.30</b>	<b>n/a</b>	<b>23-Feb</b>	<b>400.00</b>	<b>4.0</b>
BROADARCH CAP/OLD NEWC	070	TIN	S	12,200	18.70	n/a	9-Feb	488.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>TIN</b>	<b>B</b>	<b>4,700</b>	<b>18.66</b>	<b>n/a</b>	<b>9-Feb</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	TLB	S	30,000	10.15	n/a	n/a	1,500.00	5.0
HOLLIS CAPITAL	033	TM	SS	5,000	72.24	n/a	n/a	250.00	5.0
PHILADELPHIA FINANCIAL	033	UBS	C	77,895	13.34	n/a	n/a	3,115.80	4.0
KAILIX INV ADV	048	UNP	B	10,000	66.71	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	WDR	S	3,200	33.50	n/a	18-Feb	160.00	5.0
T. ROWE PRICE	033	WMT	S	180,700	53.82	n/a	18-Feb	7,228.00	4.0
RK CAPTIAL MGMT	045	WSM	B	85,000	21.32	n/a	n/a	4,250.00	5.0
KADE CAPITAL LLC	036	WYNN	B	3,500	63.54	n/a	23-Feb	175.00	5.0
ROSEDALE CAPITAL	046	WYNN	B	15,000	62.85	n/a	23-Feb	750.00	5.0
WADDELL AND REED	047	WYNN	B	100,000	63.07	n/a	23-Feb	3,000.00	3.0
SEMINOLE CAP	036	ZION	SS	20,000	18.09	n/a	n/a	1,000.00	5.0
<b>TOTAL (Trade Date) :</b>								<b>121,110.09</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/19	MILLENIUМ PARTNERS (MILPMLICS)	028	AEO	S	5,000	16.94	n/a	5-Feb	200.00 4.0
AO,CXL	02/19	MILLENIUМ PARTNERS (MILPUSE)	028	AEO	S	5,000	16.94	n/a	5-Feb	200.00 4.0
AO,CRT	02/19	MILLENIUМ PARTNERS (MILPMLICS)	028	DBRN	B	10,000	24.95	n/a	n/a	400.00 4.0
AO,CXL	02/19	MILLENIUМ PARTNERS (MILPUSE)	028	DBRN	B	10,000	24.95	n/a	n/a	400.00 4.0
AO,CRT	02/19	MILLENIUМ PARTNERS (MILPMLICS)	028	JCG	B	20,000	40.89	n/a	n/a	800.00 4.0
AO,CXL	02/19	MILLENIUМ PARTNERS (MILPUSE)	028	JCG	B	20,000	40.89	n/a	n/a	800.00 4.0
AO,CRT	02/22	ARCHON CAPITAL (ARCCMORGAN)	035	SPWRA	S	89,000	19.80	n/a	n/a	2670.00 3.0
AO,CXL	02/22	ARCHON CAPITAL (ARCCUBS)	035	SPWRA	S	89,000	19.80	n/a	n/a	2670.00 3.0
AO,CRT	02/19	MILLENIUМ PARTNERS (MILPMLICS)	028	WFMI	SS	15,500	33.92	n/a	n/a	620.00 4.0
AO,CXL	02/19	MILLENIUМ PARTNERS (MILPUSE)	028	WFMI	SS	15,500	33.92	n/a	n/a	620.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
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TOTAL (Trade Date):	121,110.09
TOTAL (AO, CXL, CRT):	0.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>121,110.09</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION