



Daily Compliance Activity Report

Trade Date: 10/04/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
HIGHBRIDGE CAP	041	AA	B	55,000	8.98	n/a	n/a	1,100.00	2.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		ABCO		B	445	48.71	n/a	n/a	13.35	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		ACHC		B	1,712	24.13	n/a	n/a	51.36	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		ACTG		B	883	26.01	n/a	n/a	26.49	0.0
CATAPULT	040	AF	B	10,000	10.00	n/a	19-Jul	400.00	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		AMRN		B	2,100	12.15	n/a	n/a	63.00	0.0
BALYASNY ASSET MGMT	044	ANF	B	10,000	32.46	n/a	16-Aug	500.00	0.0	
CATAPULT	040	ANN	B	12,747	36.81	n/a	n/a	509.88	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		ARIA		B	1,156	24.49	n/a	n/a	34.68	0.0
NOMURA/ANGEL LANE PRINCIPAL040		ARO	SS	50,000	13.25	n/a	n/a	1,500.00	3.0	
SAC CHICAGO ANDREW	031	ARO	B	6,300	13.09	n/a	n/a	220.50	3.5	
BALYASNY ASSET MGMT	044	ASNA	C	25,500	20.88	n/a	n/a	1,275.00	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		ATHN		B	303	87.59	n/a	n/a	9.09	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		ATU		B	1,647	28.64	n/a	n/a	49.41	0.0
DSG CAPITAL	030	AVY	B	10,000	30.24	n/a	4-Oct	300.00	3.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JONATHAN RICHARD HAR	FID	AXL	B	850	11.92	n/a	3-Oct	0.00	0.0
TUDOR INVESTORS	028	AXL	S	25,000	12.18	n/a	3-Oct	750.00	3.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	AYI	B		609	59.99	n/a	n/a	18.27 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	BANR	B		970	27.65	n/a	n/a	29.10 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	BEAV	B		736	43.15	n/a	12-Sep	22.08 0.0
B BUCK CAPITAL MGMT		BHI	C	21,100	43.82	n/a	n/a	non-brg	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	BJRI	B		1,095	42.34	n/a	n/a	32.85 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	BLOX	B		1,169	21.40	n/a	n/a	35.07 0.0
f KAREN F LEDDY	FID	BONT	B	2000	11.07	n/a	n/a	0.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	BRY	B		687	38.84	n/a	n/a	20.61 0.0
f JOHN MICHAEL ZOLIDIS	FID	BWS	B	400	16.12	n/a	n/a	0.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	CASY	B		516	54.20	n/a	n/a	15.48 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	CAVM	B		1,240	33.42	n/a	n/a	37.20 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	CEB	B		428	53.59	n/a	n/a	12.84 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	CLC	B		563	44.65	n/a	n/a	16.89 0.0
LOMAS CAPITAL	040	CNW	B	29,723	27.40	n/a	n/a	891.69	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	CPLA	B	655	36.28	n/a	n/a	19.65	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	CSTR	B	388	47.00	n/a	n/a	11.64	0.0
PORTER-ORLIN	044	CS	B	100,000	22.42	n/a	n/a	4,000.00	4.0
f KAREN F LEDDY	FID	CVGI	S	2100	7.09	n/a	n/a	0.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	CYBX	B	659	52.88	n/a	n/a	19.77	0.0
B BUCK CAPITAL MGMT	DE	S	27,215	82.12	n/a	11-Jul	non-brg	1.0	
B BUCK CAPITAL MGMT	DHI	C	30,000	22.02	n/a	n/a	non-brg	4.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	DLLR	B	1,330	17.00	n/a	n/a	39.90	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	DXCM	B	2,254	14.79	n/a	n/a	67.62	0.0
BRIGADE CAPITAL MGMT	029	DYN	B	25,000	19.00	n/a	n/a	750.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	ECOL	B	2,075	21.99	n/a	n/a	62.25	0.0
HIGHBRIDGE CAP	041	EC	B	4,500	59.76	n/a	n/a	135.00	3.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	EGP	B	440	53.44	n/a	n/a	13.20	0.0
B BUCK CAPITAL MGMT	ENR	SS	30,000	74.45	n/a	18-Sep	non-brg	4.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	EXLS	B	2,317	29.74	n/a	n/a	69.51	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOHN MICHAEL ZOLIDIS	FID	EXPR	B	500	11.42	n/a	n/a	0.00	0.0
	ARSENAL ASSET MANAGEMENT	028	EXP	B	10,000	49.63	n/a	n/a	400.00	0.0
	THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	EXXI	B	1,302	33.81	n/a	n/a	39.06	0.0
	LATEEF MGMT	035	FDX	S	1,875	85.95	n/a	n/a	226.25	16.3
	THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	FEIC	B	707	51.44	n/a	n/a	21.21	0.0
	ROYCE TRADING	031	FINL	B	25,000	22.24	n/a	28-Sep	1,250.00	0.0
	THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	FIRE	B	453	47.45	n/a	n/a	13.59	0.0
	SIMMONS VENTURES	041	FNP	B	10,000	10.55	n/a	n/a	400.00	4.0
	THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	FRAN	B	1,615	31.15	n/a	n/a	48.45	0.0
	GUGGENHEIM GLOBAL TRADING (GGT)	251	FSR	S	7,500	8.79	n/a	n/a	150.00	2.0
B	BUCK CAPITAL MGMT		GCO	B	40,000	64.81	n/a	n/a	non-brg	4.0
f	FRANCIS MICHAEL MCCA	FID	GIL	B	100	32.77	n/a	24-Sep	0.00	0.0
	THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	GME	B	1,388	22.31	n/a	n/a	41.64	0.0
B	BUCK CAPITAL MGMT		GM	B	40,000	24.48	n/a	3-Oct	non-brg	1.0
	LOCUST WOOD CAPITAL MGMT	044	GM	B	82,200	24.50	n/a	3-Oct	3,288.00	0.0
	SAC CHICAGO ANDREW	031	GNC	S	7,500	39.67	n/a	n/a	262.50	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TIDE POINT CAPITAL	034	GPI	S	3,600	63.52	n/a	3-Oct	108.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	GTLS	B		366	73.16	n/a	n/a	10.98 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	GWR	B		552	67.74	n/a	n/a	16.56 0.0
VISIUM/BALY BOBBY	044	HAL	B	10,000	33.99	n/a	n/a	500.00	0.0
LOMAS CAPITAL	040	HAR	B	22,783	46.09	n/a	3-Feb	683.49	3.0
HIGHBRIDGE CAP	041	HFC	B	4,000	39.69	n/a	n/a	120.00	3.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	HITT	B		615	55.80	n/a	n/a	18.45 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	HLS	B		1,863	23.70	n/a	n/a	55.89 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	HXL	B		1,740	24.60	n/a	24-Jul	52.20 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	ICUI	B		433	61.92	n/a	n/a	12.99 0.0
SURVEYOR CAPITAL	251	IGT	S	112,095	13.11	n/a	13-Jun	3,362.85	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	INAP	B		6,474	7.16	n/a	n/a	194.22 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	ININ	B		699	31.24	n/a	n/a	20.97 0.0
LATEEF MGMT	035	INTC	B	750	22.39	n/a	n/a	75.00	10.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	JACK	B		1,095	27.72	n/a	n/a	32.85 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAP	041	JBHT	B	10,000	54.09	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		JBLU	B	180,000	5.18	n/a	n/a	non-brg	3.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		JLL	B		604	77.31	n/a	n/a	18.12 0.0
EATON VANCE MGMT	029	JNJ	S	25,424	69.34	n/a	n/a	762.72	0.0
f IAN L MILLER	FID	JNJ	S	25	69.41	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL	070	JWN	B	11,900	56.53	n/a	28-Sep	476.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		KALU	B		589	58.20	n/a	n/a	17.67 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		KEG	B		3,410	6.84	n/a	1-Oct	102.30 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		KERX	B		7,179	2.70	n/a	n/a	215.37 0.0
CATAPULT	040	KEY	SS	50,000	8.84	n/a	n/a	2,000.00	0.0
CATAPULT	040	KEY	S	25,000	8.80	n/a	n/a	1,000.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		KIOR	B		2,511	7.91	n/a	n/a	75.33 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		KRA	B		939	22.02	n/a	n/a	28.17 0.0
CATAPULT	040	KRE	S	10,000	28.91	n/a	n/a	400.00	0.0
SUFFOLK CAPITAL MGMT	040	KRFT	S	18,000	46.21	n/a	n/a	720.00	0.0
BNP PARIBAS SEC	040	KR	SS	25,000	23.80	n/a	n/a	750.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		KSS	B	20,000	50.56	n/a	28-Sep	non-brg	4.0
ß BUCK CAPITAL MGMT		KSS	B	20,000	50.56	n/a	28-Sep	non-brg	4.0
DELTEC ASSET MGMT.	040	KSS	B	10,000	51.59	n/a	28-Sep	500.00	5.0
J. GOLDMAN	028	KSS	S	10,000	52.02	n/a	28-Sep	400.00	4.0
SIMMONS VENTURES	041	KSS	B	5,000	50.50	n/a	28-Sep	200.00	4.0
f RAVI SADARANGANI	FID	LEAP	B	1000	6.09	n/a	n/a	0.00	0.0
DEVENNY CAP LLC/MILLENIU	044	LEA	S	22,164	41.10	n/a	3-Aug	664.92	0.0
ß BUCK CAPITAL MGMT		LEN	C	20,000	37.21	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	20,000	45.16	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	20,000	45.21	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	30,000	45.13	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	30,000	45.13	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	50,000	45.11	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	50,000	45.11	n/a	13-Jun	non-brg	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	MAA	B		425	64.10	n/a	n/a	12.75 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	MAPP	B		1,672	16.01	n/a	n/a	50.16 0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	MASI	B		1,411	23.92	n/a	n/a	42.33 0.0
ARSENAL ASSET MANAGEMENT	028	MDC	SS	10,000	39.90	n/a	n/a	400.00	0.0
ß BUCK CAPITAL MGMT		MDC	SS	35,000	39.86	n/a	n/a	non-brg	4.0
ARSENAL ASSET MANAGEMENT	028	MHK	S	5,000	80.72	n/a	n/a	200.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		MPEL	S	20,000	13.14	n/a	13-Jun	non-brg	1.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	MSPD	B	9,807	3.62	n/a	n/a	294.21	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	MTN	B	876	57.18	n/a	n/a	26.28	0.0
B BUCK CAPITAL MGMT		MTOR	B	55,000	4.46	n/a	n/a	non-brg	2.0
f IAN L MILLER	FID	MUB	S	10	111.83	n/a	n/a	0.00	0.0
NOMURA/ANGEL LANE PRINCIPAL040	M	B	40,000	39.72	n/a	28-Sep	1,200.00	3.0	
SIGMA CAPITAL ASSOCIATES	041 M	S	25,000	39.80	n/a	28-Sep	875.00	3.5	
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	NLNK	B	1,103	15.59	n/a	n/a	33.09	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	NTCT	B	874	24.74	n/a	n/a	26.22	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	NTSP	B	6,950	10.21	n/a	n/a	208.50	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	OAS	B	1,441	30.41	n/a	n/a	43.23	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	ODFL	B	822	29.62	n/a	n/a	24.66	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	OPNT	B	1,727	33.40	n/a	n/a	51.81	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	OSIS	B	314	75.49	n/a	n/a	9.42	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	PES	B	4,852	7.24	n/a	n/a	145.56	0.0
EATON VANCE MGMT	029	PFE	S	55,543	25.37	n/a	n/a	1,666.29	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	PIR	B	2,268	18.98	n/a	n/a	68.04	0.0
LATEEF MGMT	035	PKG	S	1,500	35.96	n/a	24-Sep	150.00	10.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	PMTC	B	1,784	22.05	n/a	n/a	53.52	0.0
f MANNY I KORMAN	FID	PNF	B	500	12.75	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	PNI	B	10000	13.38	n/a	n/a	0.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	PRXL	B	1,419	32.17	n/a	n/a	42.57	0.0
VISIUM/BALY BOBBY	044	PWR	S	20,000	24.82	n/a	n/a	1,000.00	0.0
B BUCK CAPITAL MGMT		RCII	SS	2,400	35.06	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		RCII	SS	20,000	35.20	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		RCII	SS	27,600	35.20	n/a	n/a	non-brg	2.5
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	ROG	B	728	43.08	n/a	n/a	21.84	0.0
TIDE POINT CAPITAL	034	ROK	B	6,400	70.44	n/a	n/a	192.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	ROSE	B	796	45.76	n/a	n/a	23.88	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	RRGB	B	935	31.86	n/a	n/a	28.05	0.0
NEW JERSEY DIV OF INV.	030	SBH	B	20,000	25.68	n/a	n/a	800.00	4.0
SUFFOLK CAPITAL MGMT	040	SBUX	S	23,200	48.91	n/a	4-Oct	928.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SCSS	B	1,345	32.52	n/a	n/a	40.35	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SFLY	B	1,484	30.06	n/a	n/a	44.52	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SGMO	B	2,234	6.10	n/a	n/a	67.02	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SGMS	B	4,340	7.84	n/a	n/a	130.20	0.0	
B BUCK CAPITAL MGMT		SHOO	S	10,000	43.90	n/a	n/a	non-brg	4.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SKT	B	857	31.92	n/a	n/a	25.71	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SKUL	B	2,158	13.93	n/a	n/a	64.74	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SODA	B	653	36.17	n/a	n/a	19.59	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SONS	B	15,020	1.92	n/a	n/a	450.60	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SPF	B	1,324	7.19	n/a	n/a	39.72	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SPNC	B	2,316	14.79	n/a	n/a	69.48	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		SPPI	B	1,643	12.02	n/a	n/a	49.29	0.0	
AMBER CAPITAL	046	S	SS	250,000	5.06	n/a	n/a	5,000.00	2.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		TFM	B	335	60.31	n/a	n/a	10.05	0.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		THLD	B	2,688	6.44	n/a	n/a	80.64	0.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PERIMETER	273	TMH	B	39,780	27.09	n/a	n/a	1,591.20	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	TNGO	B	1,735	12.56	n/a	n/a	52.05	0.0
NEW JERSEY DIV OF INV.	030	TPX	B	20,000	31.01	n/a	n/a	800.00	4.0
LISTED ERR	099	TPX	B	2,100	32.00	n/a	n/a	0.00	0.0
LISTED ERR	099	TPX	SS	2,100	31.07	n/a	n/a	0.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	TSRX	B	3,784	5.80	n/a	n/a	113.52	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	UNFI	B	554	58.99	n/a	n/a	16.62	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	VOLC	B	885	27.90	n/a	n/a	26.55	0.0
RIDGECREST INV MGMT LLC	028	VRA	B	5,000	25.44	n/a	n/a	200.00	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	WCG	B	442	55.81	n/a	n/a	13.26	0.0
B BUCK CAPITAL MGMT		WRC	S	20,000	51.76	n/a	7-Aug	non-brg	4.0
NEW JERSEY DIV OF INV.	030	WTS	B	20,000	38.54	n/a	n/a	800.00	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	WXS	B	535	71.28	n/a	n/a	16.05	0.0
HIGHBRIDGE CAP	041	XLI	B	27,000	37.08	n/a	n/a	540.00	2.0
HIGHBRIDGE CAP	041	X	B	25,000	19.56	n/a	n/a	750.00	3.0
f JOHN MICHAEL ZOLIDIS	FID	YXI	S	150	41.17	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	ZION	S	20,000	21.84	n/a	n/a	800.00	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	ZUMZ	B	1,587	26.36	n/a	n/a	47.61	0.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	Z	B	547	37.46	n/a	n/a	16.41	0.0
TOTAL (Trade Date):								53,651.72	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	10/03	BESSEMER INVESTMENT	085	ACE	S	205	77.17	n/a	n/a	8.20	4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	ACE	S	205	77.17	n/a	n/a	8.20	4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	ACN	S	365	70.47	n/a	n/a	14.60	4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	ACN	S	365	70.47	n/a	n/a	14.60	4.0
AO,CRT	10/03	IRIDIAN ASSET MGMT.	036	ADT	B	107,110	39.37	n/a	n/a	5355.50	5.0
AO,CXL	10/03	IRIDIAN ASSET MGMT.	036	ADT	B	107,110	39.37	n/a	n/a	5355.50	0.0
AO,CXL	10/03	TUDOR INVESTORS	028	ADT	B	5,000	39.40	n/a	n/a	150.00	3.0
AO,CRT	10/03	TUDOR INVESTORS	028	ADT	B	5,000	39.40	n/a	n/a	150.00	3.0
AO,CXL	10/03	PARAMETER CAPITAL MGMT LLC	044	AMTD	B	100,000	15.73	n/a	n/a	4000.00	4.0
AO,CRT	10/03	PARAMETER CAPITAL MGMT LLC	044	AMTD	B	100,000	15.73	n/a	n/a	4000.00	4.0
AO,CRT	10/03	CENTURION PARTNERS	041	AXL	B	15,000	11.60	n/a	3-Oct	600.00	4.0
AO,CXL	10/03	CENTURION PARTNERS	041	AXL	B	15,000	11.60	n/a	3-Oct	600.00	4.0
AO,CXL	10/03	GEORGE WEISS	034	AXL	S	5,400	11.84	n/a	3-Oct	216.00	0.0
AO,CRT	10/03	GEORGE WEISS	034	AXL	S	5,400	11.84	n/a	3-Oct	216.00	4.0
AO,CXL	10/03	LOMAS CAPITAL	040	BA	SS	27,077	69.63	n/a	12-Sep	812.31	3.0
AO,CRT	10/03	LOMAS CAPITAL	040	BA	SS	27,077	69.63	n/a	12-Sep	812.31	3.0
AO,CRT	10/03	CATAPULT	040	BAC	B	20,000	8.95	n/a	3-Oct	800.00	4.0
AO,CXL	10/03	CATAPULT	040	BAC	B	20,000	8.95	n/a	3-Oct	800.00	0.0
AO,CXL	10/03	SMITH MGMT/ALDEN GLOBAL	029	BZH	S	250,000	3.68	n/a	n/a	7500.00	0.0
AO,CRT	10/03	SMITH MGMT/ALDEN GLOBAL	029	BZH	S	250,000	3.68	n/a	n/a	7500.00	3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/03	BESSEMER INVESTMENT	085	C	S	475	33.45	n/a	3-Oct	19.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	C	S	475	33.45	n/a	3-Oct	19.00 4.0
AO,CRT	10/03	OSKIE CAPITAL MGMT	224	CBS	S	50,000	34.72	n/a	n/a	2500.00 5.0
AO,CXL	10/03	OSKIE CAPITAL MGMT	224	CBS	S	50,000	34.72	n/a	n/a	2500.00 5.0
AO,CXL	10/03	BALYASNY ASSET MGMT	044	CNI	SS	15,000	88.74	n/a	n/a	750.00 0.0
AO,CRT	10/03	BALYASNY ASSET MGMT	044	CNI	SS	15,000	88.74	n/a	n/a	750.00 5.0
AO,CXL	10/03	CENTURION PARTNERS	041	COH	B	10,000	56.63	n/a	1-Aug	400.00 4.0
AO,CRT	10/03	CENTURION PARTNERS	041	COH	B	10,000	56.63	n/a	1-Aug	400.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	COP	S	200	57.14	n/a	n/a	8.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	COP	S	200	57.14	n/a	n/a	8.00 4.0
AO,CXL	10/03	BALYASNY ASSET MGMT	044	CP	B	15,000	85.88	n/a	n/a	750.00 0.0
AO,CRT	10/03	BALYASNY ASSET MGMT	044	CP	B	15,000	85.88	n/a	n/a	750.00 5.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY	029	CTB	B	24,155	19.28	n/a	n/a	721.17 3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY	029	CTB	B	24,155	19.28	n/a	n/a	721.17 0.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	CTL	S	370	39.76	n/a	n/a	14.80 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	CTL	S	370	39.76	n/a	n/a	14.80 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	CVS	S	275	48.53	n/a	n/a	11.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	CVS	S	275	48.53	n/a	n/a	11.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT	035	DE	B	23,900	81.70	n/a	11-Jul	956.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT	035	DE	B	23,900	81.70	n/a	11-Jul	956.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	SUFFOLK CAPITAL MGMT	040	DE	B	35,000	81.74	n/a	11-Jul	1400.00 4.0
AO,CXL	10/03	SUFFOLK CAPITAL MGMT	040	DE	B	35,000	81.74	n/a	11-Jul	1400.00 0.0
AO,CXL	10/03	PATRIOT STRATEGY PARTNERS	036	DG	B	5,000	51.73	n/a	5-Sep	200.00 4.0
AO,CRT	10/03	PATRIOT STRATEGY PARTNERS	036	DG	B	5,000	51.73	n/a	5-Sep	200.00 4.0
AO,CXL	10/03	NCM CAPITAL MGMT	035	DHR	B	14,925	56.45	n/a	n/a	597.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT	035	DHR	B	14,925	56.45	n/a	n/a	597.00 4.0
AO,CRT	10/03	OHIO PERS	047	DHR	B	40,400	56.14	n/a	n/a	1212.00 3.0
AO,CXL	10/03	OHIO PERS	047	DHR	B	40,400	56.14	n/a	n/a	1212.00 0.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	DTE	S	135	59.95	n/a	n/a	5.40 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	DTE	S	135	59.95	n/a	n/a	5.40 4.0
AO,CRT	10/03	HIGHBRIDGE CAP	041	EOG	S	4,500	112.44	n/a	n/a	135.00 3.0
AO,CXL	10/03	HIGHBRIDGE CAP	041	EOG	S	4,500	112.44	n/a	n/a	135.00 3.0
AO,CRT	10/03	LOMAS CAPITAL	040	EQR	S	33,372	57.53	n/a	n/a	1001.16 3.0
AO,CXL	10/03	LOMAS CAPITAL	040	EQR	S	33,372	57.53	n/a	n/a	1001.16 3.0
AO,CXL	10/03	CATAPULT	040	ETFC	B	16,952	8.98	n/a	n/a	678.08 0.0
AO,CRT	10/03	CATAPULT	040	ETFC	B	16,952	8.98	n/a	n/a	678.08 4.0
AO,CXL	10/03	NCM CAPITAL MGMT	035	ETN	B	59,640	46.72	n/a	4-Oct	2385.60 0.0
AO,CRT	10/03	NCM CAPITAL MGMT	035	ETN	B	59,640	46.72	n/a	4-Oct	2385.60 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	EXC	S	355	35.53	n/a	n/a	14.20 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	EXC	S	355	35.53	n/a	n/a	14.20 4.0
AO,CXL	10/03	ARSENAL ASSET MANAGEMENT	028	EXP	B	5,000	48.08	n/a	n/a	200.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	ARSENAL ASSET MANAGEMENT	028	EXP	B	5,000	48.08	n/a	n/a	200.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	FCX	S	235	39.49	n/a	n/a	9.40 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	FCX	S	235	39.49	n/a	n/a	9.40 4.0
AO,CXL	10/03	STATE TEACHERS RET SYS	047	FDS	S	15,000	94.46	n/a	n/a	750.00 5.0
AO,CRT	10/03	STATE TEACHERS RET SYS	047	FDS	S	15,000	94.46	n/a	n/a	450.00 3.0
AO,CXL	10/03	ROYCE TRADING	031	FINL	B	65,000	22.26	n/a	28-Sep	3250.00 0.0
AO,CRT	10/03	ROYCE TRADING	031	FINL	B	65,000	22.26	n/a	28-Sep	3250.00 5.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	GD	S	150	66.61	n/a	27-Jul	6.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	GD	S	150	66.61	n/a	27-Jul	6.00 4.0
AO,CXL	10/03	HIGHBRIDGE CAP	041	GDX	S	42,000	52.80	n/a	n/a	840.00 2.0
AO,CRT	10/03	HIGHBRIDGE CAP	041	GDX	S	42,000	52.80	n/a	n/a	840.00 2.0
AO,CXL	10/03	BALYASNY ASSET MGMT	044	GE	S	170,900	22.80	n/a	n/a	8545.00 0.0
AO,CRT	10/03	BALYASNY ASSET MGMT	044	GE	S	170,900	22.80	n/a	n/a	8545.00 5.0
AO,CXL	10/03	NCM CAPITAL MGMT	035	GE	B	1,100	22.90	n/a	n/a	44.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT	035	GE	B	1,100	22.90	n/a	n/a	44.00 4.0
AO,CXL	10/03	CATAPULT CAP/DEFRANCO	040	GIL	B	22,675	32.77	n/a	24-Sep	907.00 0.0
AO,CRT	10/03	CATAPULT CAP/DEFRANCO	040	GIL	B	22,675	32.77	n/a	24-Sep	907.00 4.0
AO,CXL	10/03	CENTURION PARTNERS	041	GM	B	10,000	23.83	n/a	3-Oct	400.00 4.0
AO,CRT	10/03	CENTURION PARTNERS	041	GM	B	10,000	23.83	n/a	3-Oct	400.00 4.0
AO,CXL	10/03	PHILADELPHIA FINANCIAL	033	GM	B	100,000	23.79	n/a	3-Oct	4000.00 0.0
AO,CRT	10/03	PHILADELPHIA FINANCIAL	033	GM	B	100,000	23.79	n/a	3-Oct	4000.00 4.0
AO,CXL	10/03	RIDGECREST INV MGMT LLC	028	GM	S	10,000	24.38	n/a	3-Oct	400.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	RIDGECREST INV MGMT LLC	028	GM	S	10,000	24.38	n/a	3-Oct	400.00 4.0
AO,CRT	10/03	BALYASNY ASSET MGMT	044	GT	B	50,000	12.42	n/a	n/a	2500.00 5.0
AO,CXL	10/03	BALYASNY ASSET MGMT	044	GT	B	50,000	12.42	n/a	n/a	2500.00 0.0
AO,CXL	10/03	SMITH MGMT/ALDEN GLOBAL	029	HOV	B	500,000	3.79	n/a	n/a	15000.00 0.0
AO,CRT	10/03	SMITH MGMT/ALDEN GLOBAL	029	HOV	B	500,000	3.79	n/a	n/a	15000.00 3.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	IBM	S	130	210.00	n/a	n/a	5.20 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	IBM	S	130	210.00	n/a	n/a	5.20 4.0
AO,CRT	10/03	HIGHBRIDGE CAP	041	ITB	B	26,000	19.85	n/a	n/a	520.00 2.0
AO,CXL	10/03	HIGHBRIDGE CAP	041	ITB	B	26,000	19.85	n/a	n/a	520.00 2.0
AO,CXL	10/03	NCM CAPITAL MGMT	035	ITW	B	13,500	59.71	n/a	n/a	540.00 0.0
AO,CRT	10/03	NCM CAPITAL MGMT	035	ITW	B	13,500	59.71	n/a	n/a	540.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	JNJ	S	415	69.11	n/a	n/a	16.60 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	JNJ	S	415	69.11	n/a	n/a	16.60 4.0
AO,CXL	10/03	WEISS PECK - ROBECO INV	040	JNY	S	167,930	12.86	n/a	26-Jul	8396.50 0.0
AO,CRT	10/03	WEISS PECK - ROBECO INV	040	JNY	S	167,930	12.86	n/a	26-Jul	8396.50 5.0
AO,CXL	10/03	SIGMA CAPITAL ASSOCIATES	041	KSS	B	25,000	52.27	n/a	28-Sep	875.00 3.5
AO,CRT	10/03	SIGMA CAPITAL ASSOCIATES	041	KSS	B	25,000	52.27	n/a	28-Sep	875.00 3.5
AO,CXL	10/03	BESSEMER INVESTMENT	085	LOW	S	700	30.22	n/a	20-Aug	28.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	LOW	S	700	30.22	n/a	20-Aug	28.00 4.0
AO,CXL	10/03	BALYASNY ASSET MGMT	044	LTD	SS	40,000	50.21	n/a	n/a	2000.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	BALYASNY ASSET MGMT	044	LTD	SS	40,000	50.21	n/a	n/a	2000.00 5.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	M	S	510	38.86	n/a	28-Sep	20.40 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	M	S	510	38.86	n/a	28-Sep	20.40 4.0
AO,CRT	10/03	SIGMA CAPITAL ASSOCIATES	041	M	B	25,000	38.94	n/a	28-Sep	875.00 3.5
AO,CXL	10/03	SIGMA CAPITAL ASSOCIATES	041	M	B	25,000	38.94	n/a	28-Sep	875.00 3.5
AO,CXL	10/03	STELLIAM INV ADV	034	MAR	SS	32,000	38.94	n/a	13-Jun	1280.00 0.0
AO,CRT	10/03	STELLIAM INV ADV	034	MAR	SS	32,000	38.94	n/a	13-Jun	1280.00 4.0
AO,CXL	10/03	APEX CAPITAL MGMT.	261	MGM	B	50,000	10.60	n/a	13-Jun	2000.00 0.0
AO,CRT	10/03	APEX CAPITAL MGMT.	261	MGM	B	50,000	10.60	n/a	13-Jun	2000.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	MHP	S	335	54.76	n/a	n/a	13.40 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	MHP	S	335	54.76	n/a	n/a	13.40 4.0
AO,CXL	10/03	ARSENAL ASSET MANAGEMENT	028	MLM	B	10,000	84.36	n/a	n/a	400.00 0.0
AO,CRT	10/03	ARSENAL ASSET MANAGEMENT	028	MLM	B	10,000	84.36	n/a	n/a	400.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	MRO	S	575	29.51	n/a	n/a	23.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	MRO	S	575	29.51	n/a	n/a	23.00 4.0
AO,CXL	10/03	CATAPULT	040	MS	B	60,000	17.02	n/a	3-Oct	2400.00 0.0
AO,CRT	10/03	CATAPULT	040	MS	B	60,000	17.02	n/a	3-Oct	2400.00 4.0
AO,CXL	10/03	OSKIE CAPITAL MGMT	224	MS	B	20,000	16.91	n/a	3-Oct	1000.00 5.0
AO,CRT	10/03	OSKIE CAPITAL MGMT	224	MS	B	20,000	16.91	n/a	3-Oct	1000.00 5.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	MSFT	S	1,072	29.89	n/a	n/a	42.88 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	MSFT	S	1,072	29.89	n/a	n/a	42.88 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	NEM	S	180	55.43	n/a	n/a	7.20 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	10/03	BESSEMER INVESTMENT	085	NEM	S	180	55.43	n/a	n/a	7.20	4.0
AO,CXL	10/03	FIRST NEW YORK LEDDY	035	NOK	B	20,000	2.61	n/a	n/a	800.00	4.0
AO,CRT	10/03	FIRST NEW YORK LEDDY	035	NOK	B	20,000	2.61	n/a	n/a	800.00	4.0
AO,CRT	10/03	MATRIX ASSET ADV.	091	OXY	B	1,100	84.50	n/a	n/a	44.00	4.0
AO,CXL	10/03	MATRIX ASSET ADV.	091	OXY	B	1,100	84.50	n/a	n/a	44.00	4.0
AO,CRT	10/03	OHIO PERS	047	PCP	S	14,000	162.79	n/a	1-Aug	420.00	3.0
AO,CXL	10/03	OHIO PERS	047	PCP	S	14,000	162.79	n/a	1-Aug	420.00	0.0
AO,CRT	10/03	S.A.C. CAP/ORENSTEIN	044	PG	SS	25,000	68.82	n/a	1-Oct	875.00	3.5
AO,CXL	10/03	S.A.C. CAP/ORENSTEIN	044	PG	SS	25,000	68.82	n/a	1-Oct	875.00	3.5
AO,CXL	10/03	HIGHBRIDGE CAP	041	PHM	B	63,000	16.17	n/a	n/a	1890.00	3.0
AO,CRT	10/03	HIGHBRIDGE CAP	041	PHM	B	63,000	16.17	n/a	n/a	1890.00	3.0
AO,CRT	10/03	THOMPSON SIEGAL&WALMSLEY	029	PKY	B	9,503	13.02	n/a	n/a	284.34	3.0
AO,CXL	10/03	THOMPSON SIEGAL&WALMSLEY	029	PKY	B	9,503	13.02	n/a	n/a	284.34	0.0
AO,CXL	10/03	TUDOR INVESTORS	028	PNR	SS	5,000	44.47	n/a	n/a	150.00	3.0
AO,CRT	10/03	TUDOR INVESTORS	028	PNR	SS	5,000	44.47	n/a	n/a	150.00	3.0
AO,CXL	10/03	CASTLEROCK	040	PVH	B	7,671	94.24	n/a	3-Oct	306.84	4.0
AO,CRT	10/03	CASTLEROCK	040	PVH	B	7,671	94.24	n/a	3-Oct	306.84	4.0
AO,CXL	10/03	CATAPULT	040	SCHW	B	15,000	12.85	n/a	n/a	600.00	0.0
AO,CRT	10/03	CATAPULT	040	SCHW	B	15,000	12.85	n/a	n/a	600.00	4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	STX	S	275	30.00	n/a	n/a	11.00	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	BESSEMER INVESTMENT	085	STX	S	275	30.00	n/a	n/a	11.00 4.0
AO,CXL	10/03	COOKBIELER	028	TCB	B	99,760	11.88	n/a	n/a	3990.40 0.0
AO,CRT	10/03	COOKBIELER	028	TCB	B	99,760	11.88	n/a	n/a	3990.40 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	TGT	S	230	63.07	n/a	4-Oct	9.20 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	TGT	S	230	63.07	n/a	4-Oct	9.20 4.0
AO,CRT	10/03	PERIMETER	273	TMH	B	82,620	27.44	n/a	n/a	3304.80 4.0
AO,CXL	10/03	PERIMETER	273	TMH	B	82,620	27.44	n/a	n/a	3304.80 0.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	TMO	S	150	59.98	n/a	n/a	6.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	TMO	S	150	59.98	n/a	n/a	6.00 4.0
AO,CRT	10/03	WAGONHOUND/NICOLAS	033	ULTA	B	3,016	97.59	n/a	n/a	120.64 4.0
AO,CXL	10/03	WAGONHOUND/NICOLAS	033	ULTA	B	3,016	97.59	n/a	n/a	120.64 0.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	UNH	S	140	56.78	n/a	n/a	5.60 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	UNH	S	140	56.78	n/a	n/a	5.60 4.0
AO,CXL	10/03	LOMAS CAPITAL	040	UNP	SS	15,571	119.29	n/a	n/a	467.13 3.0
AO,CRT	10/03	LOMAS CAPITAL	040	UNP	SS	15,571	119.29	n/a	n/a	467.13 3.0
AO,CXL	10/03	NCM CAPITAL MGMT	035	UPS	S	29,245	73.00	n/a	n/a	1169.80 0.0
AO,CRT	10/03	NCM CAPITAL MGMT	035	UPS	S	29,245	73.00	n/a	n/a	1169.80 4.0
AO,CXL	10/03	HIGHBRIDGE CAP	041	URI	S	11,000	32.40	n/a	17-Apr	330.00 3.0
AO,CRT	10/03	HIGHBRIDGE CAP	041	URI	S	11,000	32.40	n/a	17-Apr	330.00 3.0
AO,CXL	10/03	HIGHBRIDGE CAP	041	USG	S	17,000	21.66	n/a	1-Aug	510.00 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	HIGHBRIDGE CAP	041	USG	S	17,000	21.66	n/a	1-Aug	510.00 3.0
AO,CRT	10/03	OSKIE CAPITAL MGMT	224	VIAB	B	18,000	53.79	n/a	n/a	900.00 5.0
AO,CXL	10/03	OSKIE CAPITAL MGMT	224	VIAB	B	18,000	53.79	n/a	n/a	900.00 5.0
AO,CXL	10/03	CENTURION PARTNERS	041	VZ	S	20,000	45.85	n/a	n/a	800.00 4.0
AO,CRT	10/03	CENTURION PARTNERS	041	VZ	S	20,000	45.85	n/a	n/a	800.00 4.0
AO,CXL	10/03	EAGLE ASSET MGMT. (FLORIDA)	093	WFC	B	108,125	35.01	n/a	3-Oct	2162.50 0.0
AO,CRT	10/03	EAGLE ASSET MGMT. (FLORIDA)	093	WFC	B	108,125	35.01	n/a	3-Oct	2162.50 2.0
AO,CRT	10/03	KLEINHEINZ CAP PART	031	WFM	B	20,000	97.99	n/a	n/a	1000.00 5.0
AO,CXL	10/03	KLEINHEINZ CAP PART	031	WFM	B	20,000	97.99	n/a	n/a	1000.00 5.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	WM	S	395	31.56	n/a	n/a	15.80 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	WM	S	395	31.56	n/a	n/a	15.80 4.0
AO,CXL	10/03	CATAPULT/KGLT	040	WMB	B	25,000	36.42	n/a	n/a	1000.00 4.0
AO,CRT	10/03	CATAPULT/KGLT	040	WMB	B	25,000	36.42	n/a	n/a	1000.00 4.0
AO,CXL	10/03	CATAPULT CAP/DEFRANCO	040	WMT	B	22,753	74.07	n/a	17-Aug	910.12 0.0
AO,CRT	10/03	CATAPULT CAP/DEFRANCO	040	WMT	B	22,753	74.07	n/a	17-Aug	910.12 4.0
AO,CXL	10/03	SIMMONS VENTURES	041	WRC	B	5,000	51.30	n/a	7-Aug	200.00 4.0
AO,CRT	10/03	SIMMONS VENTURES	041	WRC	B	5,000	51.30	n/a	7-Aug	200.00 4.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	WU	S	920	18.18	n/a	n/a	36.80 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	WU	S	920	18.18	n/a	n/a	36.80 4.0
AO,CXL	10/03	COHE & STEERS	041	WY	S	14,800	26.14	n/a	27-Jul	444.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/03	COHE & STEERS	041	WY	S	14,800	26.14	n/a	27-Jul	444.00 3.0
AO,CXL	10/03	BESSEMER INVESTMENT	085	ZMH	S	350	67.54	n/a	n/a	14.00 4.0
AO,CRT	10/03	BESSEMER INVESTMENT	085	ZMH	S	350	67.54	n/a	n/a	14.00 4.0
AO,CXL	10/03	SYMPHONY ASSET	033	ZUMZ	C	18,160	26.00	n/a	n/a	544.80 0.0
AO,CRT	10/03	SYMPHONY ASSET	033	ZUMZ	C	18,160	26.00	n/a	n/a	544.80 3.0

TOTAL (AO, CXL, CRT) :	-300.00
-------------------------------	----------------

TOTAL (Trade Date) :	53,651.72
TOTAL (AO, CXL, CRT) :	-300.00

TOTAL (RUN DATE) / NFS:	53,351.72
--------------------------------	------------------

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 4-Oct.
NONE

MRI Securities without Trade Activity on 4-Oct.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)