



Daily Compliance Activity Report

Trade Date: 03/26/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|----------------------------|------------|-------------|----------|---------------|--------------|---------------|---------------|----------------|------------|
| JP MORGAN VENTURES | 046 | AEO | SS | 10,000 | 30.39 | 4-Jan | 9-Mar | 500.00 | 5.0 |
| CASTLEROCK | 040 | ALU | SS | 89,500 | 11.93 | n/a | n/a | 3,580.00 | 4.0 |
| COUGAR TRADING | 044 | ARO | B | 5,000 | 40.41 | 23-Oct | 16-Mar | 250.00 | 5.0 |
| KAICHER | 064 | ARTG | S | 5,000 | 2.34 | n/a | n/a | 0.00 | 0.0 |
| KAICHER | 064 | AVNX | S | 5,000 | 1.86 | n/a | n/a | 0.00 | 0.0 |
| BARR | 096 | AVNX | S | 2,500 | 1.89 | n/a | n/a | 0.00 | 0.0 |
| STANDARD PACIFIC CAPITAL | 040 | BBBY | SS | 237,000 | 40.41 | n/a | n/a | 11,850.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | BBW | S | 15,000 | 27.47 | 19-Mar | 19-Mar | 600.00 | 4.0 |
| JP MORGAN VENTURES | 046 | BBY | SS | 10,000 | 49.29 | 13-Dec | 23-Mar | 500.00 | 5.0 |
| B BUCK CAPITAL MGMT | | BONT | S | 28,000 | 55.96 | 9-Mar | 16-Mar | non-brg | 5.0 |
| STRAUSS ASSET MGMT | 040 | BRNC | SS | 20,000 | 16.54 | n/a | n/a | 1,000.00 | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | BSC | S | 1,000 | 149.99 | 15-Dec | 16-Mar | 50.00 | 5.0 |
| B BUCK CAPITAL MGMT | | CBK | B | 20,000 | 19.22 | 11-Dec | 9-Mar | non-brg | 5.0 |
| B BUCK CAPITAL MGMT | | CBK | B | 20,000 | 19.59 | 11-Dec | 9-Mar | non-brg | 5.0 |
| CROWLEY | 061 | CEI | B | 1,000 | 19.99 | n/a | n/a | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|--------------------------|------|--------|-----|---------|--------|--------|----------|--------------|-----|
| ⌘ BUCK CAPITAL MGMT | | CHCG | B | 10,000 | 6.10 | n/a | n/a | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | CHCG | B | 5,200 | 6.09 | n/a | n/a | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | CHS | B | 175,000 | 24.25 | 5-Jan | 9-Mar | non-brg | 5.0 |
| COUGAR TRADING | 044 | CHS | B | 15,000 | 24.32 | 5-Jan | 9-Mar | 750.00 | 5.0 |
| WAGONHOUND | 048 | CHS | B | 10,000 | 24.36 | 5-Jan | 9-Mar | 400.00 | 4.0 |
| ROARING BLUE LION MGMT | 012 | CLE | S | 12,250 | 32.03 | n/a | 21-Mar | 612.50 | 5.0 |
| SUNOVA CAPITAL | 031 | CLMS | SS | 50,000 | 22.80 | 12-Mar | 23-Mar | 2,500.00 | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | CMI | B | 8,600 | 148.84 | n/a | n/a | 430.00 | 5.0 |
| MERRILL ASSET | 032 | CNB | S | 69,000 | 25.32 | n/a | n/a | 3,450.00 | 5.0 |
| GENERAL ELECTIC CO | 033 | COCO | S | 55,258 | 14.27 | n/a | n/a | 2,486.67 | 4.5 |
| DAVIDSON KEMPNER ADVISOR | 217 | CVS | SS | 50,000 | 34.58 | n/a | n/a | 2,500.00 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | DBRN | B | 30,000 | 21.13 | n/a | n/a | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | DBRN | B | 40,000 | 21.16 | n/a | n/a | non-brg | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | DE | S | 230,000 | 110.36 | n/a | n/a | 11,500.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | DSW | S | 10,000 | 43.59 | n/a | 9-Feb | 400.00 | 4.0 |
| IRIDIAN ASSET MGMT. | 036 | DVN | B | 15,500 | 68.05 | 9-Feb | 9-Feb | 775.00 | 5.0 |
| S.A.C. CAPITAL MGMT. | 044 | FCX | B | 10,000 | 63.31 | n/a | n/a | 500.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------------|------------|-------------|----------|---------------|--------------|------------|---------------|----------------|------------|
| CASTLEROCK | 040 | FIC | SS | 48,200 | 38.16 | n/a | n/a | 1,928.00 | 4.0 |
| BARR | 096 | FLT | S | 4,400 | 2.01 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | GCO | B | 10,000 | 42.72 | n/a | 10-Jan | 400.00 | 4.0 |
| REICH AND TANG | 037 | GFF | B | 5,000 | 24.96 | n/a | n/a | 250.00 | 5.0 |
| B BUCK CAPITAL MGMT | | GIII | B | 4,000 | 19.66 | n/a | n/a | non-brg | 1.0 |
| IVORY CAPITAL | 034 | HD | B | 200,000 | 37.87 | n/a | 1-Mar | 10,000.00 | 5.0 |
| B BUCK CAPITAL MGMT | | HLYS | B | 15,000 | 30.44 | n/a | n/a | non-brg | 5.0 |
| CHARTER OAK | 037 | IDIX | B | 50,000 | 7.48 | n/a | n/a | 2,500.00 | 5.0 |
| HARVEST MGMT | 039 | IP | SS | 21,000 | 36.41 | 20-Mar | 20-Mar | 1,050.00 | 5.0 |
| RIVER RUN MGMT | 031 | ITCD | B | 2,750 | 3.20 | n/a | n/a | 82.50 | 3.0 |
| S.A.C. CAPITAL MGMT. | 044 | IWM | B | 25,000 | 80.07 | n/a | n/a | 1,250.00 | 5.0 |
| KEEFE BRUYETTE WOODS A | 031 | JNS | SS | 50,000 | 21.20 | 5-Mar | 23-Mar | 2,500.00 | 5.0 |
| CHILTON CAPITAL MGMT. | 036 | KMX | C | 64,400 | 53.80 | n/a | 10-Jan | 2,576.00 | 4.0 |
| GALLEON MGMT LP | 033 | K | S | 5,000 | 51.46 | n/a | n/a | 250.00 | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | LEH | S | 23,200 | 72.51 | n/a | 14-Mar | 1,160.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------------|------------|-------------|----------|---------------|--------------|---------------|---------------|-----------------|------------|
| PRIME CAPITAL | 048 | LUV | B | 62,100 | 14.86 | n/a | n/a | 3,105.00 | 5.0 |
| MAGUIRE | 090 | MEM | S | 200 | 4.40 | n/a | n/a | 0.00 | 0.0 |
| BARR | 096 | MEM | S | 100 | 4.40 | n/a | n/a | 0.00 | 0.0 |
| BARR | 096 | MIR | S | 800 | 40.19 | n/a | n/a | 0.00 | 0.0 |
| MERRILL LYNCH STRATEGICI | 034 | MON | S | 40,000 | 55.10 | n/a | 2-Mar | 2,000.00 | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | MS | S | 3,000 | 79.89 | 22-Mar | 22-Mar | 150.00 | 5.0 |
| DIAMONDBACK CAP MGMT | 232 | MWV | B | 88,500 | 30.49 | 20-Mar | 20-Mar | 4,425.00 | 5.0 |
| HARVEST MGMT | 039 | MWV | B | 25,000 | 30.70 | 20-Mar | 20-Mar | 1,250.00 | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | NOV | S | 4,000 | 76.47 | n/a | n/a | 200.00 | 5.0 |
| IRIDIAN ASSET MGMT. | 036 | NOV | S | 10,100 | 77.88 | n/a | n/a | 505.00 | 5.0 |
| SPRINGBOC | 039 | NUCO | SS | 7,462 | 26.00 | n/a | n/a | 373.10 | 5.0 |
| B BUCK CAPITAL MGMT | | PLCE | B | 10,000 | 56.57 | n/a | 21-Mar | non-brg | 5.0 |
| B BUCK CAPITAL MGMT | | PLCE | B | 7,400 | 56.75 | n/a | 21-Mar | non-brg | 1.0 |
| WAGONHOUND | 048 | PSUN | SS | 15,000 | 20.39 | n/a | 16-Mar | 600.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | PVH | S | 30,000 | 58.61 | 18-Jan | 22-Mar | 1,200.00 | 4.0 |
| CRAMER ROSENTHAL/SCOTT | 012 | RL | C | 14,300 | 88.53 | 2-Feb | 16-Feb | 715.00 | 5.0 |
| CUMBERLAND | 038 | RRGB | B | 7,800 | 37.84 | n/a | n/a | 312.00 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------|------|--------|-----|---------|-------|--------|----------|-------------|-----|
| BUCK CAPITAL MGMT | 001 | RVI | S | 10,000 | 21.40 | n/a | n/a | 400.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | SKX | B | 10,000 | 34.75 | n/a | 13-Mar | 400.00 | 4.0 |
| KAICHER | 064 | SNCR | B | 2,000 | 17.00 | n/a | n/a | 0.00 | 0.0 |
| BARR | 096 | SNWL | S | 1,400 | 8.33 | n/a | n/a | 0.00 | 0.0 |
| TRELLUS PARTNERS LP | 028 | SSI | S | 9,165 | 23.61 | 26-Feb | 14-Mar | 458.25 | 5.0 |
| GLG PARTNERS | 034 | SVU | S | 50,000 | 39.47 | n/a | n/a | 2,500.00 | 5.0 |
| AVESTA CAP | 229 | TGT | S | 50,000 | 60.81 | n/a | 8-Mar | 2,500.00 | 5.0 |
| SENTINAL CAPITAL | 067 | TIF | B | 5,000 | 45.52 | n/a | 23-Mar | 250.00 | 5.0 |
| CASTLE ARK | 031 | TJX | B | 122,200 | 26.92 | 21-Mar | 21-Mar | 7,332.00 | 6.0 |
| B BUCK CAPITAL MGMT | | URBN | B | 225,000 | 25.55 | 7-Dec | 9-Mar | non-brg | 5.0 |
| WAGONHOUND | 048 | URBN | B | 15,000 | 25.55 | 7-Dec | 9-Mar | 600.00 | 4.0 |
| ACT II PARTNERS | 067 | VG | B | 150,000 | 3.30 | 9-Mar | 9-Mar | 6,000.00 | 4.0 |
| SENTINAL CAPITAL | 067 | VZ | S | 3,000 | 37.47 | 15-Mar | 15-Mar | 150.00 | 5.0 |
| JP MORGAN VENTURES | 046 | WRNC | S | 15,000 | 28.72 | 18-Jan | 21-Mar | 750.00 | 5.0 |
| S.A.C. CAPITAL MGMT. | 044 | XLB | B | 50,000 | 38.30 | n/a | n/a | 2,500.00 | 5.0 |

TOTAL (Trade Date):

107,256.02

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------------|-------|-------------------------|------|--------|-----|--------|-------|--------|----------|---------------|-----|
| AO,CRT | 03/23 | MKT MAKER | 099 | CENT | B | 51 | 14.98 | 8-Feb | 8-Feb | 0.00 | 0.0 |
| AO,CXL | 03/23 | BARROW HANLEY MEWHINNEY | 096 | DITC | B | 1,500 | 8.20 | n/a | n/a | 0.00 | 0.0 |
| AO,CRT | 03/23 | BARROW HANLEY MEWHINNEY | 096 | DITC | B | 1,500 | 8.20 | n/a | n/a | 0.00 | 0.0 |
| AO, | 03/23 | CUMBERLAND | 038 | RRGB | B | 5,000 | 38.27 | n/a | n/a | 200.00 | 4.0 |
| AO,CRT | 03/23 | MKT MAKER | 099 | USTR | S | 188 | 60.12 | 16-Feb | 16-Feb | 0.00 | 0.0 |
| TOTAL (AO, CXL, CRT) : | | | | | | | | | | 200.00 | |

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|-----------------------|------------|
| TOTAL (Trade Date): | 107,256.02 |
| TOTAL (AO, CXL, CRT): | 200.00 |

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| TOTAL (RUN DATE) / NFS: | 107,456.02 |
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| MRI Securities with Trade Activity on 26-Mar. NONE |
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| MRI Securities without Trade Activity on 26-Mar. NONE |
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 11%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION