



## Daily Compliance Activity Report

Trade Date: 08/01/2007

### POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	S	10,000	24.08	10-Jul	13-Jul	500.00	5.0
ROARING BLUE LION MGMT	012	AEO	B	2,000	24.16	10-Jul	13-Jul	80.00	4.0
SANDLER O'NEILL ASSET	031	AMG	B	16,400	118.33	25-Jul	25-Jul	820.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>60,000</b>	<b>70.13</b>	<b>12-Jul</b>	<b>13-Jul</b>	<b>2,400.00</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	B	15,000	70.33	12-Jul	13-Jul	750.00	5.0
BALYASNY ASSET MGMT	044	ARO	SS	10,000	37.57	n/a	13-Jul	500.00	5.0
STADIA CAPITAL	035	BBBY	SS	10,000	34.83	n/a	n/a	400.00	4.0
<b>BUCK</b>	<b>015</b>	<b>BBW</b>	<b>S</b>	<b>1,200</b>	<b>20.14</b>	<b>15-Jun</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
ROARING BLUE LION MGMT	012	BIG	B	2,500	25.23	9-Mar	27-Jul	100.00	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>BSCAK</b>	<b>B</b>	<b>36</b>	<b>2.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>CHCG</b>	<b>B</b>	<b>1,200</b>	<b>6.31</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>30,000</b>	<b>46.32</b>	<b>n/a</b>	<b>1-Aug</b>	<b>1,200.00</b>	<b>4.0</b>
<b>WHEELER</b>	<b>008</b>	<b>CPNLQ</b>	<b>B</b>	<b>15,000</b>	<b>2.67</b>	<b>n/a</b>	<b>29-Jun</b>	<b>0.00</b>	<b>0.0</b>
CSFB GLOBAL PROPRIETARY	035	CSG	S	30,000	46.82	n/a	n/a	1,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CVS</b>	<b>B</b>	<b>50,000</b>	<b>35.75</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PIVOT CAP	034	CVS	S	13,500	35.76	n/a	n/a	675.00	5.0
JP MORGAN VENTURES	046	DKS	C	15,000	56.64	n/a	n/a	750.00	5.0
INDEPENDENCE INV	039	DO	B	5,500	101.17	n/a	n/a	220.00	4.0
CAPITAL GROWTH MGMT.	241	DVN	B	4,000	77.18	18-Jul	20-Jul	200.00	5.0
ROTHSCHILD ASSET	040	EAT	S	27,970	27.10	24-Apr	24-Apr	1,258.65	4.5
<b>LEDDY</b>	<b>035</b>	<b>EDA</b>	<b>S</b>	<b>200</b>	<b>11.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GALLEON MGMT LP	033	EEM	C	5,000	131.14	n/a	n/a	250.00	5.0
<b>KERN</b>	<b>050</b>	<b>EFT</b>	<b>S</b>	<b>2,058</b>	<b>17.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
INDEPENDENCE INV	039	ESV	B	4,700	59.05	n/a	n/a	188.00	4.0
SLS CAPITAL LLC	224	ETFC	S	200,000	17.37	n/a	n/a	8,000.00	4.0
T. ROWE PRICE	243	ETFC	S	751,200	17.30	n/a	n/a	22,536.00	3.0
<b>Õ Glenn Redemann</b>		<b>ETN</b>	<b>B</b>	<b>3</b>	<b>0.32</b>	<b>16-Jul</b>	<b>16-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>ETN</b>	<b>B</b>	<b>3</b>	<b>0.41</b>	<b>16-Jul</b>	<b>16-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>ETN</b>	<b>SS</b>	<b>3</b>	<b>1.24</b>	<b>16-Jul</b>	<b>16-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>ETN</b>	<b>SS</b>	<b>3</b>	<b>1.36</b>	<b>16-Jul</b>	<b>16-Jul</b>	<b>0.00</b>	<b>0.0</b>
SANDLER O'NEILL ASSET	031	EV	SS	50,000	40.62	23-May	31-Jul	2,500.00	5.0
WILLIAM BLAIR	031	EXPD	B	71,700	44.50	n/a	n/a	2,868.00	4.0
PHILADELPHIA FINANCIAL	033	FII	B	135,000	35.63	n/a	27-Jul	6,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EATON VANCE MGMT	039	GE	B	240,457	38.78	n/a	n/a	7,213.71	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>10,000</b>	<b>33.47</b>	<b>21-Jun</b>	<b>31-Jul</b>	<b>400.00</b>	<b>4.0</b>
GALLEON MGMT LP	033	GIL	S	10,000	33.64	21-Jun	31-Jul	500.00	5.0
TRELLUS PARTNERS LP	028	GM	B	150,000	32.59	4-May	1-Aug	7,500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>GS</b>	<b>B</b>	<b>300</b>	<b>185.08</b>	<b>15-Jun</b>	<b>13-Jul</b>	<b>0.00</b>	<b>0.0</b>
INDEPENDENCE INV	039	HAL	B	20,100	35.10	n/a	n/a	804.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>HBI</b>	<b>C</b>	<b>1,000</b>	<b>30.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AMBER CAPITAL	046	HCC	S	70,000	29.31	n/a	n/a	2,800.00	4.0
NEUBERGER BERMAN	036	HEI	B	17,000	40.90	n/a	n/a	850.00	5.0
J L F ASSET MGMT	039	HIG	B	33,700	90.94	n/a	n/a	1,348.00	4.0
<b>OGORMAN</b>	<b>146</b>	<b>HMA</b>	<b>S</b>	<b>400</b>	<b>7.58</b>	<b>31-Jul</b>	<b>31-Jul</b>	<b>0.00</b>	<b>0.0</b>
CASTLEROCK	040	HOT	S	141,523	62.10	n/a	n/a	5,660.92	4.0
CAPITAL GROWTH MGMT.	241	IHP	B	20,000	64.89	n/a	n/a	1,000.00	5.0
MORGAN LEWIS/MLH	046	IMOS	S	10,000	6.89	n/a	n/a	500.00	5.0
GENERAL ELECTIC CO	033	INTU	S	36,747	28.71	n/a	n/a	1,653.62	4.5
<b>DEMARTINI</b>	<b>065</b>	<b>ISE</b>	<b>S</b>	<b>500</b>	<b>65.60</b>	<b>30-Apr</b>	<b>13-Jul</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>LEDDY</b>	<b>035</b>	<b>IVZ</b>	<b>S</b>	<b>1,800</b>	<b>24.84</b>	<b>10-Jul</b>	<b>25-Jul</b>	<b>0.00</b>	<b>0.0</b>
SENECA CAPITAL LP NY	028	IWM	B	140,000	77.65	n/a	n/a	7,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>10,000</b>	<b>48.54</b>	<b>22-Jun</b>	<b>22-Jun</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	JCG	B	15,000	48.58	22-Jun	22-Jun	750.00	5.0
COUGAR TRADING	044	JCG	S	5,000	48.78	22-Jun	22-Jun	250.00	5.0
JP MORGAN VENTURES	046	JCP	B	15,000	68.63	21-Jun	13-Jul	750.00	5.0
COLUMBIA PARTNERS	028	JOE	SS	88,750	39.37	n/a	n/a	3,550.00	4.0
<b>BUCK</b>	<b>015</b>	<b>JPM</b>	<b>SS</b>	<b>500</b>	<b>43.71</b>	<b>n/a</b>	<b>19-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>210,000</b>	<b>60.05</b>	<b>14-Jun</b>	<b>27-Jul</b>	<b>8,400.00</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	LUM	B	50,000	7.12	n/a	n/a	2,000.00	4.0
DIAMONDBACK CAP MGMT	232	MF	B	50,000	25.60	n/a	n/a	2,500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>MIR</b>	<b>S</b>	<b>263</b>	<b>37.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AMBER CAPITAL	046	MKL	B	2,200	464.64	n/a	n/a	88.00	4.0
HEAL	044	NOA	S	16,000	17.55	n/a	n/a	800.00	5.0
<b>GAULT</b>	<b>063</b>	<b>NYXHP</b>	<b>B</b>	<b>100</b>	<b>1.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
INDEPENDENCE INV	039	OXY	B	15,000	56.83	n/a	n/a	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BUCK</b>	<b>015</b>	<b>PFCB</b>	<b>SS</b>	<b>500</b>	<b>31.79</b>	<b>24-Apr</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
AMBER CAPITAL	046	PHLY	B	30,000	35.91	n/a	n/a	1,200.00	4.0
COUGAR TRADING	044	PLCE	S	5,000	33.82	12-Apr	9-Jul	250.00	5.0
<b>BINDER</b>	<b>007</b>	<b>SDS</b>	<b>B</b>	<b>700</b>	<b>56.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>B</b>	<b>35,000</b>	<b>20.25</b>	<b>n/a</b>	<b>13-Mar</b>	<b>1,400.00</b>	<b>4.0</b>
CRYSTAL ROCK CAP MGMT	031	SMG	B	20,100	41.79	9-Jul	1-Aug	1,005.00	5.0
BODRI CAPITAL MGMT	035	SOV	S	176,961	18.64	n/a	n/a	8,848.05	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SSI</b>	<b>S</b>	<b>50,000</b>	<b>17.83</b>	<b>25-May</b>	<b>13-Jul</b>	<b>2,000.00</b>	<b>4.0</b>
FARALLON CAP MGMT	040	SWY	B	162,500	32.47	n/a	n/a	6,500.00	4.0
<b>BRUNNER</b>	<b>012</b>	<b>TEL</b>	<b>S</b>	<b>375</b>	<b>35.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY2</b>	<b>036</b>	<b>TGIC</b>	<b>SS</b>	<b>1,000</b>	<b>27.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	TIF	B	5,000	48.31	1-Jun	13-Jun	250.00	5.0
COUGAR TRADING	044	TJX	B	5,000	28.01	13-Jul	13-Jul	250.00	5.0
BALYASNY ASSET MGMT	044	TLB	SS	10,000	22.43	n/a	17-Jul	500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>TOL</b>	<b>S</b>	<b>1,000</b>	<b>21.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	TRV	B	36,200	51.18	n/a	n/a	1,448.00	4.0
INDEPENDENCE INV	039	TSO	B	6,100	47.74	n/a	n/a	244.00	4.0
<b>MAGUIRE</b>	<b>039</b>	<b>TTM</b>	<b>S</b>	<b>500</b>	<b>16.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	TUP	SS	68,200	32.04	n/a	n/a	2,046.00	3.0
BALYASNY ASSET MGMT	044	TWB	B	25,000	38.41	2-Jul	2-Jul	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TWB</b>	<b>B</b>	<b>15,000</b>	<b>37.73</b>	<b>2-Jul</b>	<b>2-Jul</b>	<b>600.00</b>	<b>4.0</b>
<b>BRUNNER</b>	<b>012</b>	<b>TYC</b>	<b>S</b>	<b>375</b>	<b>47.09</b>	<b>19-Jun</b>	<b>19-Jun</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	URBN	S	5,000	19.99	31-Jul	31-Jul	250.00	5.0
WILLIAM BLAIR	031	VPRT	B	16,870	35.21	n/a	n/a	674.80	4.0
<b>HASAN</b>	<b>010</b>	<b>VSL</b>	<b>B</b>	<b>2,000</b>	<b>22.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KAZMI</b>	<b>010</b>	<b>VSL</b>	<b>B</b>	<b>500</b>	<b>22.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HEAL	044	WBC	B	15,000	47.85	n/a	n/a	750.00	5.0
COUGAR TRADING	044	WMT	B	15,000	45.75	4-Jun	27-Jul	750.00	5.0
J L F ASSET MGMT	039	WMT	B	81,500	45.88	4-Jun	27-Jul	3,260.00	4.0
JP MORGAN VENTURES	046	WMT	B	21,700	46.00	4-Jun	27-Jul	1,085.00	5.0
<b>GRASSANO</b>	<b>053</b>	<b>WWE</b>	<b>B</b>	<b>100</b>	<b>14.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
S.A.C. CAPITAL MGMT.	044	XLI	B	100,000	39.48	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
INDEPENDENCE INV	039	XOM	S	34,800	85.07	n/a	n/a	1,392.00	4.0
BUCK CAPITAL MGMT	001	ZQK	S	10,000	12.75	9-Mar	27-Jun	400.00	4.0
COUGAR TRADING	044	ZQK	B	5,000	13.03	9-Mar	27-Jun	250.00	5.0
BRUNNER	012	ZQK	S	1,000	12.92	9-Mar	27-Jun	0.00	0.0
TOTAL (Trade Date):							155,366.75		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	07/31	PALMYRA CAPITAL	048	BIG	SS	40,000	26.70	9-Mar	27-Jul	2000.00	5.0
AO,CXL	07/31	PALMYRA CAPITAL	048	BIG	SS	40,000	26.70	9-Mar	27-Jul	1600.00	4.0
AO,CRT	07/30	NATIONAL BK OF CAN	031	C	B	40,000	47.34	n/a	23-Jul	1600.00	4.0
AO,CXL	07/30	NATIONAL BK OF CAN	031	C	B	40,000	47.34	n/a	23-Jul	2000.00	5.0
AO,CXL	07/31	CIRCLE T PART	035	GM	B	43,100	33.50	4-May	1-Aug	0.00	0.0
AO,CRT	07/31	CIRCLE T PART	035	GM	B	43,100	33.50	4-May	1-Aug	1724.00	4.0
AO,CXL	07/31	LUCHANSKY1	036	IGT	B	500	36.11	n/a	20-Jul	0.00	0.0
AO,CRT	07/31	LUCHANSKY1	036	IGT	B	500	36.11	n/a	20-Jul	0.00	0.0
AO,CRT	07/30	NATIONAL BK OF CAN	031	JPM	B	40,000	44.67	n/a	19-Jul	1600.00	4.0
AO,CXL	07/30	NATIONAL BK OF CAN	031	JPM	B	40,000	44.67	n/a	19-Jul	2000.00	5.0
AO,	07/31	BLACKROCK/BOSTON	039	ROLL	B	14,521	38.34	n/a	n/a	290.42	2.0
AO,CRT	07/31	S.A.C. CAPITAL MGMT.	044	TOL	S	250,000	22.47	n/a	n/a	12500.00	5.0
AO,CXL	07/31	SAC TRADING/BILLY	063	TOL	S	250,000	22.47	n/a	n/a	12500.00	5.0
AO,CRT	07/31	MKT MAKER	099	URBN	B	52	20.82	31-Jul	31-Jul	0.00	0.0
AO,CXL	07/31	IMPALA ASSET MMT	012	VOE	S	86,800	14.17	n/a	n/a	2604.00	3.0
AO,	07/31	IMPALA ASSET MMT	012	VSE	S	86,800	14.17	n/a	n/a	2604.00	3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>1,614.42</b>	



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TOTAL (Trade Date):	155,366.75
TOTAL (AO, CXL, CRT):	1,614.42

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TOTAL (RUN DATE) / NFS:	156,981.17
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MRI Securities with Trade Activity on 1-Aug. NONE
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MRI Securities without Trade Activity on 1-Aug. AGN, CEPH, CYH
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 0% YTD = 17%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION