



# Daily Compliance Activity Report

Trade Date: 05/25/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

| Account                  | RR# | Symbol | B/S | Shares | Price  | MRI    | Research | Comm. ¢/Shr. |     |
|--------------------------|-----|--------|-----|--------|--------|--------|----------|--------------|-----|
| BUCK CAPITAL MGMT        |     | AAPL   | B   | 5,000  | 241.21 | n/a    | n/a      | non-brg      | 4.0 |
| BUCK CAPITAL MGMT        |     | ABFS   | B   | 20,000 | 21.70  | n/a    | n/a      | non-brg      | 4.0 |
| TRUSTCO/SUNTRUST         | 040 | ACV    | B   | 14,000 | 26.90  | n/a    | 26-Apr   | 546.00       | 3.9 |
| SOLARIS INV MGMT         | 029 | ADM    | S   | 30,000 | 24.38  | n/a    | 10-May   | 1,500.00     | 5.0 |
| BUCK CAPITAL MGMT        | 001 | AEO    | B   | 40,000 | 14.89  | 25-May | 25-May   | 1,600.00     | 4.0 |
| BMO NESBITT BURNS        | 041 | ALV    | B   | 4,000  | 44.36  | n/a    | 28-Apr   | 160.00       | 4.0 |
| LEVIN CAPITAL STRATEGIES | 012 | ALV    | B   | 10,000 | 43.80  | n/a    | 28-Apr   | 300.00       | 3.0 |
| BUCK CAPITAL MGMT        | 001 | ANF    | B   | 28,900 | 34.58  | n/a    | 19-May   | 1,156.00     | 4.0 |
| EXIS CAPITAL             | 044 | ANN    | SS  | 5,000  | 22.16  | n/a    | n/a      | 250.00       | 5.0 |
| TRUSTCO/SUNTRUST         | 040 | AOS    | B   | 15,900 | 44.84  | n/a    | 20-Apr   | 620.10       | 3.9 |
| BUCK CAPITAL MGMT        |     | APC    | B   | 200    | 51.97  | n/a    | 4-May    | non-brg      | 4.0 |
| BUCK CAPITAL MGMT        |     | APC    | B   | 300    | 51.97  | n/a    | 4-May    | non-brg      | 4.0 |
| BUCK CAPITAL MGMT        |     | APC    | B   | 600    | 51.97  | n/a    | 4-May    | non-brg      | 4.0 |
| BUCK CAPITAL MGMT        |     | APC    | B   | 7,800  | 51.97  | n/a    | 4-May    | non-brg      | 4.0 |
| BUCK CAPITAL MGMT        |     | APC    | B   | 800    | 51.97  | n/a    | 4-May    | non-brg      | 4.0 |
| JOEL GIFFORD TISS        | FID | ARM    | B   | 5000   | 12.47  | n/a    | n/a      | 0.00         | 0.0 |
| ROTHSCHILD ASSET         | 040 | ATO    | B   | 4,440  | 26.28  | n/a    | n/a      | 177.60       | 4.0 |

| Account             | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |     |
|---------------------|-----|--------|-----|--------|-------|-----|----------|-------------|-----|
| ß BUCK CAPITAL MGMT |     | AVP    | B   | 1,200  | 25.67 | n/a | 3-May    | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | AVP    | B   | 1,700  | 25.67 | n/a | 3-May    | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | AVP    | B   | 15,800 | 25.67 | n/a | 3-May    | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | AVP    | B   | 400    | 25.67 | n/a | 3-May    | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | AVP    | B   | 600    | 25.67 | n/a | 3-May    | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | AXL    | B   | 1,300  | 8.47  | n/a | 3-May    | non-brg     | 3.0 |
| ß BUCK CAPITAL MGMT |     | AXL    | B   | 1,700  | 8.47  | n/a | 3-May    | non-brg     | 3.0 |
| ß BUCK CAPITAL MGMT |     | AXL    | B   | 3,700  | 8.47  | n/a | 3-May    | non-brg     | 3.0 |
| ß BUCK CAPITAL MGMT |     | AXL    | B   | 48,100 | 8.47  | n/a | 3-May    | non-brg     | 3.0 |
| ß BUCK CAPITAL MGMT |     | AXL    | B   | 5,300  | 8.47  | n/a | 3-May    | non-brg     | 3.0 |
| ß BUCK CAPITAL MGMT |     | BAC    | B   | 1,000  | 15.03 | n/a | 21-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BAC    | B   | 2,000  | 15.03 | n/a | 21-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BAC    | B   | 26,800 | 15.03 | n/a | 21-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BAC    | B   | 3,000  | 15.03 | n/a | 21-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BAC    | B   | 700    | 15.03 | n/a | 21-May   | non-brg     | 4.0 |
| BUCK CAPITAL MGMT   | 001 | BBW    | B   | 10,000 | 7.96  | n/a | n/a      | 0.00        | 0.0 |
| ß BUCK CAPITAL MGMT |     | BBW    | S   | 10,000 | 8.02  | n/a | n/a      | non-brg     | 5.0 |
| BUCK CAPITAL MGMT   | 001 | BBY    | B   | 10,000 | 40.70 | n/a | n/a      | 400.00      | 4.0 |
| ß BUCK CAPITAL MGMT |     | BC     | SS  | 7,600  | 17.36 | n/a | n/a      | non-brg     | 4.0 |
| f KAREN F LEDDY     | FID | BIG    | B   | 300    | 36.09 | n/a | 17-May   | 0.00        | 0.0 |
| ß BUCK CAPITAL MGMT |     | BK     | B   | 1,200  | 26.46 | n/a | 11-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BK     | B   | 1,700  | 26.46 | n/a | 11-May   | non-brg     | 4.0 |

| Account             | RR# | Symbol | B/S | Shares | Price  | MRI | Research | Comm.¢/Shr. |     |
|---------------------|-----|--------|-----|--------|--------|-----|----------|-------------|-----|
| ß BUCK CAPITAL MGMT |     | BK     | B   | 15,200 | 26.46  | n/a | 11-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BK     | B   | 400    | 26.46  | n/a | 11-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BK     | B   | 500    | 26.46  | n/a | 11-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BLK    | B   | 195    | 157.93 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BLK    | B   | 2,561  | 157.93 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BLK    | B   | 284    | 157.93 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BLK    | B   | 70     | 157.93 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BLK    | B   | 90     | 157.93 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BONT   | S   | 20,589 | 12.90  | n/a | n/a      | non-brg     | 0.8 |
| BMO NESBITT BURNS   | 041 | BWA    | SS  | 6,000  | 34.37  | n/a | 30-Apr   | 240.00      | 4.0 |
| TRELLUS PARTNERS LP | 028 | BWA    | B   | 10,000 | 34.47  | n/a | 30-Apr   | 500.00      | 5.0 |
| Õ Nilsa Vazquez     |     | BWLD   | B   | 250    | 34.87  | n/a | n/a      | 0.00        | 0.0 |
| ß BUCK CAPITAL MGMT |     | BX     | B   | 1,100  | 10.23  | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BX     | B   | 1,400  | 10.23  | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BX     | B   | 3,000  | 10.23  | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BX     | B   | 39,500 | 10.23  | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | BX     | B   | 4,400  | 10.23  | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | CAR    | B   | 30,000 | 10.56  | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | CHS    | B   | 30,000 | 12.10  | n/a | 5-Feb    | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | CNW    | B   | 10,000 | 32.08  | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT |     | COF    | B   | 669    | 39.32  | n/a | 25-May   | non-brg     | 4.0 |

| Account                 | RR# | Symbol | B/S | Shares  | Price | MRI | Research | Comm. ¢/Shr. |     |
|-------------------------|-----|--------|-----|---------|-------|-----|----------|--------------|-----|
| ß BUCK CAPITAL MGMT     |     | COH    | B   | 10,000  | 38.09 | n/a | 14-May   | non-brg      | 4.0 |
| BUCK CAPITAL MGMT       | 001 | CRI    | B   | 10,000  | 29.78 | n/a | n/a      | 400.00       | 4.0 |
| ß BUCK CAPITAL MGMT     |     | CROX   | B   | 30,000  | 8.87  | n/a | n/a      | non-brg      | 3.0 |
| MELLON/BANK OF NEW YORK | 046 | CSCO   | S   | 152,380 | 22.94 | n/a | n/a      | 7,619.00     | 5.0 |
| WEISS PECK              | 040 | CTRN   | C   | 3,600   | 34.40 | n/a | n/a      | 180.00       | 5.0 |
| GLENHILL CAPITAL        | 212 | CVA    | B   | 94,820  | 14.75 | n/a | n/a      | 4,741.00     | 5.0 |
| ß BUCK CAPITAL MGMT     |     | C      | B   | 108,500 | 3.69  | n/a | 21-May   | non-brg      | 2.0 |
| ß BUCK CAPITAL MGMT     |     | C      | B   | 12,000  | 3.69  | n/a | 21-May   | non-brg      | 2.0 |
| ß BUCK CAPITAL MGMT     |     | C      | B   | 3,000   | 3.69  | n/a | 21-May   | non-brg      | 2.0 |
| ß BUCK CAPITAL MGMT     |     | C      | B   | 3,800   | 3.69  | n/a | 21-May   | non-brg      | 2.0 |
| ß BUCK CAPITAL MGMT     |     | C      | B   | 8,300   | 3.69  | n/a | 21-May   | non-brg      | 2.0 |
| f IAN L MILLER          | FID | C      | B   | 100     | 3.66  | n/a | 21-May   | 0.00         | 0.0 |
| ß BUCK CAPITAL MGMT     |     | DAN    | B   | 25,000  | 9.73  | n/a | 30-Apr   | non-brg      | 2.5 |
| ß BUCK CAPITAL MGMT     |     | DAN    | B   | 50,000  | 9.57  | n/a | 30-Apr   | non-brg      | 3.0 |
| ß BUCK CAPITAL MGMT     |     | DAN    | B   | 50,000  | 9.75  | n/a | 30-Apr   | non-brg      | 3.0 |
| f MICHAEL C PERILLO     | FID | DAN    | B   | 52      | 9.50  | n/a | 30-Apr   | 0.00         | 0.0 |
| TRELLUS PARTNERS LP     | 028 | DAN    | B   | 30,000  | 9.55  | n/a | 30-Apr   | 900.00       | 3.0 |
| GRUSS CAPITAL           | 045 | DEI    | S   | 35,000  | 14.16 | n/a | n/a      | 1,050.00     | 3.0 |
| f KEVIN MICHAEL O'GORM  | FID | DM     | S   | 1000    | 11.80 | n/a | n/a      | 0.00         | 0.0 |
| SOLARIS INV MGMT        | 029 | DOLE   | S   | 30,000  | 9.02  | n/a | n/a      | 1,200.00     | 4.0 |

| Account                | RR# | Symbol | B/S | Shares | Price  | MRI    | Research | Comm. ¢/Shr. |     |
|------------------------|-----|--------|-----|--------|--------|--------|----------|--------------|-----|
| ROTHSCHILD ASSET       | 040 | DPS    | S   | 18,259 | 35.72  | n/a    | n/a      | 730.36       | 4.0 |
| KENNEDY CAPITAL        | 046 | DPZ    | B   | 26,300 | 12.51  | n/a    | 5-May    | 1,052.00     | 4.0 |
| ROTHSCHILD ASSET       | 040 | DTV    | B   | 41,230 | 36.72  | n/a    | n/a      | 1,649.20     | 4.0 |
| ⌘ BUCK CAPITAL MGMT    |     | DVN    | B   | 200    | 59.68  | n/a    | 5-May    | non-brg      | 4.0 |
| ⌘ BUCK CAPITAL MGMT    |     | DVN    | B   | 200    | 59.68  | n/a    | 5-May    | non-brg      | 4.0 |
| ⌘ BUCK CAPITAL MGMT    |     | DVN    | B   | 500    | 59.68  | n/a    | 5-May    | non-brg      | 4.0 |
| ⌘ BUCK CAPITAL MGMT    |     | DVN    | B   | 6,700  | 59.68  | n/a    | 5-May    | non-brg      | 4.0 |
| ⌘ BUCK CAPITAL MGMT    |     | DVN    | B   | 800    | 59.68  | n/a    | 5-May    | non-brg      | 4.0 |
| PLATTE RIVER CAPITAL   | 240 | ECL    | S   | 39,620 | 45.63  | 25-May | 25-May   | 1,584.80     | 4.0 |
| CAERUS GLOBAL          | 041 | ELY    | S   | 70,000 | 7.94   | n/a    | n/a      | 2,800.00     | 4.0 |
| STELLIAM INV ADV       | 034 | ESS    | S   | 10,000 | 100.47 | n/a    | n/a      | 500.00       | 5.0 |
| ROTHSCHILD ASSET       | 040 | ETR    | S   | 36,173 | 72.05  | n/a    | n/a      | 1,446.92     | 4.0 |
| STATE TEACHERS RET SYS | 047 | FDX    | B   | 20,000 | 79.04  | n/a    | n/a      | 1,000.00     | 5.0 |
| ⌘ BUCK CAPITAL MGMT    |     | FL     | B   | 4,600  | 13.59  | n/a    | 21-May   | non-brg      | 0.8 |
| ⌘ BUCK CAPITAL MGMT    |     | FL     | B   | 40,000 | 13.79  | n/a    | 21-May   | non-brg      | 4.0 |
| JP MORGAN VENTURES     | 046 | FL     | S   | 50,000 | 13.29  | n/a    | 21-May   | 2,500.00     | 5.0 |
| KENNEDY CAPITAL        | 046 | FL     | B   | 50,000 | 13.36  | n/a    | 21-May   | 2,000.00     | 4.0 |
| ⌘ BUCK CAPITAL MGMT    |     | FOR    | B   | 1,100  | 17.10  | n/a    | 10-May   | non-brg      | 0.8 |
| ⌘ BUCK CAPITAL MGMT    |     | FOR    | B   | 400    | 17.23  | n/a    | 10-May   | non-brg      | 4.0 |

| Account                  | RR#        | Symbol     | B/S      | Shares    | Price        | MRI        | Research      | Comm.¢/Shr. |            |
|--------------------------|------------|------------|----------|-----------|--------------|------------|---------------|-------------|------------|
| <b>f IAN L MILLER</b>    | <b>FID</b> | <b>FOR</b> | <b>B</b> | <b>35</b> | <b>16.81</b> | <b>n/a</b> | <b>10-May</b> | <b>0.00</b> | <b>0.0</b> |
| EXIS CAPITAL             | 044        | FOSL       | SS       | 5,000     | 35.65        | n/a        | n/a           | 250.00      | 5.0        |
| ß BUCK CAPITAL MGMT      |            | F          | B        | 1,000     | 10.74        | n/a        | 4-May         | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | F          | B        | 1,300     | 10.74        | n/a        | 4-May         | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | F          | B        | 2,900     | 10.74        | n/a        | 4-May         | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | F          | B        | 37,500    | 10.74        | n/a        | 4-May         | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | F          | B        | 4,200     | 10.74        | n/a        | 4-May         | non-brg     | 4.0        |
| CIRCLE T/SES PART        | 035        | F          | B        | 15,000    | 10.75        | n/a        | 4-May         | 600.00      | 4.0        |
| CIRCLE T/SES PART        | 035        | F          | S        | 65,000    | 10.70        | n/a        | 4-May         | 2,600.00    | 4.0        |
| OZ MGMT                  | 040        | F          | B        | 250,000   | 10.66        | n/a        | 4-May         | 7,500.00    | 3.0        |
| BUCK CAPITAL MGMT        | 001        | GES        | B        | 20,000    | 36.05        | n/a        | 14-May        | 800.00      | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GES        | B        | 27,800    | 36.17        | n/a        | 14-May        | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GLW        | B        | 1,900     | 16.39        | n/a        | 10-May        | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GLW        | B        | 2,700     | 16.39        | n/a        | 10-May        | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GLW        | B        | 24,600    | 16.39        | n/a        | 10-May        | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GLW        | B        | 700       | 16.39        | n/a        | 10-May        | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GLW        | B        | 800       | 16.39        | n/a        | 10-May        | non-brg     | 4.0        |
| <b>f ANDREW SINCLAIR</b> | <b>FID</b> | <b>GS</b>  | <b>B</b> | <b>5</b>  | <b>8.97</b>  | <b>n/a</b> | <b>21-May</b> | <b>0.00</b> | <b>0.0</b> |
| ß BUCK CAPITAL MGMT      |            | GS         | B        | 104       | 139.08       | n/a        | 21-May        | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GS         | B        | 2,961     | 139.08       | n/a        | 21-May        | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GS         | B        | 225       | 139.08       | n/a        | 21-May        | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GS         | B        | 329       | 139.08       | n/a        | 21-May        | non-brg     | 4.0        |
| ß BUCK CAPITAL MGMT      |            | GS         | B        | 81        | 139.08       | n/a        | 21-May        | non-brg     | 4.0        |
| SOUTH POINT CAP          | 067        | GS         | B        | 50,000    | 141.69       | n/a        | 21-May        | 2,500.00    | 5.0        |
| ß BUCK CAPITAL MGMT      |            | GYMB       | B        | 20,000    | 44.76        | n/a        | 20-May        | non-brg     | 4.0        |

| Account             | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr.  |
|---------------------|-----|--------|-----|--------|-------|-----|----------|--------------|
| BUCK CAPITAL MGMT   |     | GYMB   | B   | 600    | 44.63 | n/a | 20-May   | non-brg 0.8  |
| BUCK CAPITAL MGMT   |     | HAL    | B   | 10,000 | 25.01 | n/a | n/a      | non-brg 4.0  |
| MACKLOWE ASSET MGMT | 041 | HAS    | SS  | 50,000 | 38.63 | n/a | n/a      | 2,000.00 4.0 |
| IRIDIAN ASSET MGMT. | 036 | HBI    | B   | 27,620 | 25.91 | n/a | 14-May   | 1,381.00 5.0 |
| ROTHSCHILD ASSET    | 040 | HES    | B   | 51,453 | 50.40 | n/a | n/a      | 2,058.12 4.0 |
| BUCK CAPITAL MGMT   |     | HTZ    | B   | 20,000 | 9.96  | n/a | n/a      | non-brg 3.0  |
| BUCK CAPITAL MGMT   |     | HTZ    | B   | 30,000 | 9.78  | n/a | n/a      | non-brg 3.0  |
| BUCK CAPITAL MGMT   |     | IR     | B   | 700    | 34.72 | n/a | 23-Apr   | non-brg 4.0  |
| BUCK CAPITAL MGMT   |     | IVZ    | B   | 1,700  | 17.98 | n/a | 2-Mar    | non-brg 4.0  |
| BUCK CAPITAL MGMT   |     | IVZ    | B   | 2,500  | 17.98 | n/a | 2-Mar    | non-brg 4.0  |
| BUCK CAPITAL MGMT   |     | IVZ    | B   | 22,400 | 17.98 | n/a | 2-Mar    | non-brg 4.0  |
| BUCK CAPITAL MGMT   |     | IVZ    | B   | 600    | 17.98 | n/a | 2-Mar    | non-brg 4.0  |
| BUCK CAPITAL MGMT   |     | IVZ    | B   | 800    | 17.98 | n/a | 2-Mar    | non-brg 4.0  |
| BUCK CAPITAL MGMT   |     | JACK   | S   | 20,000 | 21.93 | n/a | n/a      | non-brg 4.0  |
| BUCK CAPITAL MGMT   |     | JBHT   | B   | 560    | 33.21 | n/a | n/a      | non-brg 4.0  |
| BUCK CAPITAL MGMT   | 001 | JCG    | B   | 20,000 | 43.63 | n/a | n/a      | 800.00 4.0   |
| DSG CAPITAL         | 225 | JCI    | B   | 12,000 | 26.65 | n/a | 25-May   | 360.00 3.0   |
| BUCK CAPITAL MGMT   |     | JCP    | B   | 37,700 | 26.53 | n/a | 14-May   | non-brg 4.0  |

| Account                 | RR# | Symbol | B/S | Shares  | Price | MRI | Research | Comm.¢/Shr. |     |
|-------------------------|-----|--------|-----|---------|-------|-----|----------|-------------|-----|
| KLEINHEINZ CAP PART     | 031 | JCP    | SS  | 60,000  | 26.50 | n/a | 14-May   | 3,000.00    | 5.0 |
| 1798 CAP/LOMBARD ASSET  | 040 | JNJ    | C   | 50,000  | 59.86 | n/a | n/a      | 2,500.00    | 5.0 |
| MELLON/BANK OF NEW YORK | 046 | JNPR   | B   | 334,255 | 26.13 | n/a | n/a      | 16,712.75   | 5.0 |
| ß BUCK CAPITAL MGMT     |     | JNS    | B   | 1,100   | 10.34 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | JNS    | B   | 1,400   | 10.34 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | JNS    | B   | 3,000   | 10.34 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | JNS    | B   | 39,200  | 10.34 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | JNS    | B   | 4,300   | 10.34 | n/a | n/a      | non-brg     | 4.0 |
| BUCK CAPITAL MGMT       | 001 | JNY    | B   | 10,000  | 18.25 | n/a | 14-May   | 400.00      | 4.0 |
| EXIS CAPITAL            | 044 | JNY    | B   | 5,000   | 18.45 | n/a | 14-May   | 250.00      | 5.0 |
| ß BUCK CAPITAL MGMT     |     | JPM    | B   | 1,200   | 38.06 | n/a | 21-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | JPM    | B   | 10,500  | 38.06 | n/a | 21-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | JPM    | B   | 300     | 38.06 | n/a | 21-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | JPM    | B   | 400     | 38.06 | n/a | 21-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | JPM    | B   | 800     | 38.06 | n/a | 21-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | JWN    | B   | 400     | 37.01 | n/a | 14-May   | non-brg     | 0.8 |
| ß BUCK CAPITAL MGMT     |     | JWN    | S   | 30,000  | 36.86 | n/a | 14-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | KEG    | B   | 1,900   | 8.48  | n/a | 27-Apr   | non-brg     | 0.8 |
| HIGHFIELDS CAP MGMT     | 030 | KFT    | S   | 200,000 | 28.00 | n/a | n/a      | 10,000.00   | 5.0 |
| ROTHSCHILD ASSET        | 040 | KO     | S   | 22,351  | 50.25 | n/a | 23-Apr   | 894.04      | 4.0 |
| ß BUCK CAPITAL MGMT     |     | KSS    | B   | 10,000  | 50.82 | n/a | 14-May   | non-brg     | 4.0 |



| Account                 | RR# | Symbol | B/S | Shares | Price | MRI    | Research | Comm.¢/Shr. |     |
|-------------------------|-----|--------|-----|--------|-------|--------|----------|-------------|-----|
| BUCK CAPITAL MGMT       |     | KSS    | B   | 19,900 | 50.28 | n/a    | 14-May   | non-brg     | 2.0 |
| CONIFER/ISF             | 025 | LAZ    | B   | 5,000  | 31.91 | 25-May | 25-May   | 250.00      | 5.0 |
| KADE CAPITAL LLC        | 036 | LEA    | S   | 7,500  | 65.72 | n/a    | 7-May    | 375.00      | 5.0 |
| BUCK CAPITAL MGMT       |     | LL     | S   | 10,000 | 27.39 | n/a    | n/a      | non-brg     | 4.0 |
| BUCK CAPITAL MGMT       |     | LTD    | B   | 10,000 | 24.71 | n/a    | n/a      | non-brg     | 4.0 |
| BROADARCH CAP/OLD NEWC  | 070 | LVS    | B   | 4,100  | 20.44 | n/a    | 13-May   | 164.00      | 4.0 |
| MACKLOWE ASSET MGMT     | 041 | MAT    | B   | 75,000 | 21.08 | n/a    | n/a      | 3,000.00    | 4.0 |
| WEISS PECK              | 040 | MEE    | B   | 11,200 | 29.82 | n/a    | n/a      | 560.00      | 5.0 |
| CAERUS GLOBAL           | 041 | MPEL   | B   | 40,000 | 3.72  | n/a    | 28-Apr   | 1,200.00    | 3.0 |
| PORTER-ORLIN            | 044 | MRK    | B   | 40,000 | 31.87 | n/a    | n/a      | 2,000.00    | 5.0 |
| BUCK CAPITAL MGMT       |     | MS     | B   | 1,200  | 25.31 | n/a    | 21-May   | non-brg     | 4.0 |
| BUCK CAPITAL MGMT       |     | MS     | B   | 1,800  | 25.31 | n/a    | 21-May   | non-brg     | 4.0 |
| BUCK CAPITAL MGMT       |     | MS     | B   | 15,800 | 25.31 | n/a    | 21-May   | non-brg     | 4.0 |
| BUCK CAPITAL MGMT       |     | MS     | B   | 400    | 25.31 | n/a    | 21-May   | non-brg     | 4.0 |
| BUCK CAPITAL MGMT       |     | MS     | B   | 600    | 25.31 | n/a    | 21-May   | non-brg     | 4.0 |
| BUCK CAPITAL MGMT       |     | M      | B   | 1,200  | 20.98 | n/a    | 13-May   | non-brg     | 0.8 |
| BUCK CAPITAL MGMT       |     | NBD CN | B   | 2,930  | 13.65 | n/a    | n/a      | non-brg     | 4.0 |
| MELLON/BANK OF NEW YORK | 046 | NOK    | S   | 18,000 | 9.94  | n/a    | n/a      | 900.00      | 5.0 |

| Account                | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |     |
|------------------------|-----|--------|-----|--------|-------|-----|----------|-------------|-----|
| BENNETT LAWRENCE       | 028 | NUVA   | B   | 9,050  | 39.83 | n/a | n/a      | 362.00      | 4.0 |
| STELLIAM INV ADV       | 034 | NWSA   | B   | 35,000 | 12.54 | n/a | n/a      | 1,750.00    | 5.0 |
| ROTHSCHILD ASSET       | 040 | NYB    | B   | 6,600  | 15.20 | n/a | 25-May   | 264.00      | 4.0 |
| STATE TEACHERS RET SYS | 047 | OFC    | S   | 10,000 | 35.90 | n/a | n/a      | 500.00      | 5.0 |
| ß BUCK CAPITAL MGMT    |     | OZM    | B   | 1,000  | 14.50 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT    |     | OZM    | B   | 2,100  | 14.50 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT    |     | OZM    | B   | 27,700 | 14.50 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT    |     | OZM    | B   | 3,100  | 14.50 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT    |     | OZM    | B   | 700    | 14.50 | n/a | n/a      | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT    |     | PAG    | B   | 10,000 | 12.94 | n/a | 3-May    | non-brg     | 4.0 |
| CASTLE ARK             | 031 | PEP    | B   | 95,920 | 62.43 | n/a | n/a      | 5,755.20    | 6.0 |
| ß BUCK CAPITAL MGMT    |     | PIR    | B   | 30,000 | 7.05  | n/a | n/a      | non-brg     | 3.0 |
| ß BUCK CAPITAL MGMT    |     | PLCE   | B   | 40,000 | 42.85 | n/a | 21-May   | non-brg     | 4.0 |
| f MARC PAUL LUCHANSKY  | FID | PTV    | S   | 1000   | 27.77 | n/a | n/a      | 0.00        | 0.0 |
| ß BUCK CAPITAL MGMT    |     | PVH    | B   | 18,900 | 52.84 | n/a | 14-May   | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT    |     | QKLS   | S   | 3,100  | 5.15  | n/a | n/a      | non-brg     | 3.0 |
| GLENHILL CAPITAL       | 212 | QSII   | B   | 18,943 | 57.79 | n/a | n/a      | 947.15      | 5.0 |

| Account                 | RR# | Symbol | B/S | Shares  | Price | MRI | Research | Comm. ¢/Shr. |     |
|-------------------------|-----|--------|-----|---------|-------|-----|----------|--------------|-----|
| RAINIER INV MGMT        | 035 | RJF    | S   | 160,340 | 26.59 | n/a | n/a      | 6,413.60     | 4.0 |
| ß BUCK CAPITAL MGMT     |     | RL     | C   | 30,000  | 83.49 | n/a | 20-May   | non-brg      | 4.0 |
| JP MORGAN VENTURES      | 046 | RSH    | S   | 25,000  | 18.87 | n/a | n/a      | 1,250.00     | 5.0 |
| MERRILL ASSET/BLACKROCK | 263 | SFG    | S   | 17,000  | 40.32 | n/a | n/a      | 680.00       | 4.0 |
| MANNING & NAPIER        | 034 | SHW    | S   | 200,000 | 75.04 | n/a | 24-May   | 6,000.00     | 3.0 |
| BUCK CAPITAL MGMT       | 001 | SIG    | B   | 10,000  | 30.56 | n/a | n/a      | 400.00       | 4.0 |
| ß BUCK CAPITAL MGMT     |     | SLB    | B   | 450     | 56.87 | n/a | n/a      | non-brg      | 4.0 |
| ß BUCK CAPITAL MGMT     |     | SLM    | B   | 1,500   | 9.97  | n/a | 19-May   | non-brg      | 0.8 |
| BENCHMARK               | 025 | SRCL   | B   | 2,500   | 56.59 | n/a | n/a      | 100.00       | 4.0 |
| TRUSTCO/SUNTRUST        | 040 | STLD   | B   | 50,000  | 13.39 | n/a | n/a      | 1,949.99     | 3.9 |
| ß BUCK CAPITAL MGMT     |     | STT    | B   | 1,200   | 37.86 | n/a | 11-May   | non-brg      | 4.0 |
| ß BUCK CAPITAL MGMT     |     | STT    | B   | 10,600  | 37.86 | n/a | 11-May   | non-brg      | 4.0 |
| ß BUCK CAPITAL MGMT     |     | STT    | B   | 300     | 37.86 | n/a | 11-May   | non-brg      | 4.0 |
| ß BUCK CAPITAL MGMT     |     | STT    | B   | 400     | 37.86 | n/a | 11-May   | non-brg      | 4.0 |
| ß BUCK CAPITAL MGMT     |     | STT    | B   | 800     | 37.86 | n/a | 11-May   | non-brg      | 4.0 |
| ß BUCK CAPITAL MGMT     |     | SWN    | B   | 1,200   | 35.80 | n/a | 30-Apr   | non-brg      | 4.0 |
| ß BUCK CAPITAL MGMT     |     | SWN    | B   | 11,200  | 35.80 | n/a | 30-Apr   | non-brg      | 4.0 |
| ß BUCK CAPITAL MGMT     |     | SWN    | B   | 300     | 35.80 | n/a | 30-Apr   | non-brg      | 4.0 |

| Account              | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |     |
|----------------------|-----|--------|-----|--------|-------|-----|----------|-------------|-----|
| BUCK CAPITAL MGMT    |     | SWN    | B   | 400    | 35.80 | n/a | 30-Apr   | non-brg     | 4.0 |
| BUCK CAPITAL MGMT    |     | SWN    | B   | 900    | 35.80 | n/a | 30-Apr   | non-brg     | 4.0 |
| CAMBIAR CAP          | 046 | TEVA   | B   | 21,965 | 54.83 | n/a | 24-May   | 878.60      | 4.0 |
| BUCK CAPITAL MGMT    | 001 | TGT    | B   | 10,000 | 54.38 | n/a | 19-May   | 400.00      | 4.0 |
| EXIS CAPITAL         | 044 | TJX    | SS  | 10,000 | 44.73 | n/a | 19-May   | 500.00      | 5.0 |
| RAMIUS CAP           | 040 | TJX    | S   | 27,375 | 43.45 | n/a | 19-May   | 1,095.00    | 4.0 |
| COUGAR TRADING       | 044 | TM     | SS  | 5,000  | 73.19 | n/a | n/a      | 250.00      | 5.0 |
| HARBORWATCH/DIAMBACK | 232 | TXT    | S   | 35,000 | 19.01 | n/a | n/a      | 1,750.00    | 5.0 |
| BUCK CAPITAL MGMT    |     | UAUA   | B   | 1,700  | 17.82 | n/a | n/a      | non-brg     | 4.0 |
| BUCK CAPITAL MGMT    |     | UAUA   | B   | 10,000 | 17.53 | n/a | n/a      | non-brg     | 0.8 |
| EXIS CAPITAL         | 044 | UA     | B   | 5,000  | 30.34 | n/a | 28-Apr   | 250.00      | 5.0 |
| BUCK CAPITAL MGMT    |     | URBN   | B   | 700    | 35.41 | n/a | n/a      | non-brg     | 0.8 |
| BUCK CAPITAL MGMT    | 001 | VFC    | B   | 20,000 | 77.08 | n/a | 14-May   | 800.00      | 4.0 |
| ROTHSCHILD ASSET     | 040 | WAT    | B   | 8,660  | 65.44 | n/a | n/a      | 346.40      | 4.0 |
| BUCK CAPITAL MGMT    |     | WBC    | B   | 1,600  | 27.44 | n/a | 5-May    | non-brg     | 4.0 |
| BUCK CAPITAL MGMT    |     | WBC    | B   | 20,000 | 27.49 | n/a | 5-May    | non-brg     | 4.0 |
| BUCK CAPITAL MGMT    |     | WERN   | B   | 10,000 | 22.08 | n/a | n/a      | non-brg     | 4.0 |
| PZENA INV ADV        | 044 | WLP    | S   | 4,462  | 50.81 | n/a | n/a      | 156.17      | 3.5 |

| Account                  | RR# | Symbol | B/S | Shares  | Price | MRI | Research   | Comm.¢/Shr. |     |
|--------------------------|-----|--------|-----|---------|-------|-----|------------|-------------|-----|
| ß BUCK CAPITAL MGMT      |     | WNC    | B   | 100,000 | 6.42  | n/a | n/a        | non-brg     | 0.8 |
| ß BUCK CAPITAL MGMT      |     | WNC    | B   | 270,000 | 6.51  | n/a | n/a        | non-brg     | 3.0 |
| ß BUCK CAPITAL MGMT      |     | WNC    | B   | 80,000  | 6.56  | n/a | n/a        | non-brg     | 3.0 |
| f EDWARD W WHEELER       | FID | WNC    | B   | 10000   | 6.50  | n/a | n/a        | 0.00        | 0.0 |
| ß BUCK CAPITAL MGMT      |     | WRC    | B   | 20,000  | 40.32 | n/a | 14-May     | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT      |     | WRC    | B   | 24,900  | 40.13 | n/a | 14-May     | non-brg     | 4.0 |
| LEVIN CAPITAL STRATEGIES | 012 | WRC    | B   | 50,000  | 40.91 | n/a | 14-May     | 1,500.00    | 3.0 |
| ß BUCK CAPITAL MGMT      |     | WSO    | B   | 10,000  | 53.55 | n/a | n/a        | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT      |     | WSO    | B   | 700     | 52.64 | n/a | n/a        | non-brg     | 4.0 |
| ß BUCK CAPITAL MGMT      |     | WY     | B   | 1,300   | 40.03 | n/a | 30-Apr     | non-brg     | 4.0 |
| DIAMONDBACK CAP MGMT     | 232 | XLB    | C   | 17,000  | 29.35 | n/a | n/a        | 510.00      | 3.0 |
| MERRILL ASSET/BLACKROCK  | 263 | XL     | S   | 31,900  | 16.34 | n/a | n/a        | 1,276.00    | 4.0 |
| SUN AMERICA ASSET MGMT   | 030 | XRTX   | S   | 79,800  | 14.15 | n/a | n/a        | 3,192.00    | 4.0 |
| ß BUCK CAPITAL MGMT      |     | XRT    | SS  | 75,000  | 39.18 | n/a | n/a        | non-brg     | 0.8 |
| ß BUCK CAPITAL MGMT      |     | XRT    | SS  | 75,000  | 39.18 | n/a | n/a        | non-brg     | 0.8 |
| ß BUCK CAPITAL MGMT      |     | XRT    | SS  | 75,000  | 39.18 | n/a | n/a        | non-brg     | 2.0 |
| ß BUCK CAPITAL MGMT      |     | XRT    | SS  | 77,600  | 39.12 | n/a | n/a        | non-brg     | 2.0 |
| ß BUCK CAPITAL MGMT      |     | XRT    | SS  | 77,600  | 39.24 | n/a | n/a        | non-brg     | 0.8 |
| ß BUCK CAPITAL MGMT      |     | XRT    | SS  | 77,600  | 39.24 | n/a | n/a        | non-brg     | 0.8 |
| TOTAL (Trade Date):      |     |        |     |         |       |     | 141,134.00 |             |     |

**Cancel/Corrects and As-Of Trades**

| Type                          | Date  | Account                | RR # | Symbol | B/S | Shares  | Price | MRI | Research | Comm.¢/Shr.      |
|-------------------------------|-------|------------------------|------|--------|-----|---------|-------|-----|----------|------------------|
| AO,                           | 05/24 | NWQ INVESTMENT MGMT CO | 048  | BRCD   | B   | 424,000 | 5.34  | n/a | n/a      | 12720.00 3.0     |
| AO,                           | 05/24 | STATE TEACHERS RET SYS | 047  | CPT    | B   | 10,000  | 44.00 | n/a | n/a      | 500.00 5.0       |
| AO,                           | 05/24 | STATE TEACHERS RET SYS | 047  | RGC    | B   | 125,000 | 14.68 | n/a | n/a      | 6250.00 5.0      |
| <b>TOTAL (AO, CXL, CRT) :</b> |       |                        |      |        |     |         |       |     |          | <b>19,470.00</b> |

|                       |            |
|-----------------------|------------|
| TOTAL (Trade Date):   | 141,134.00 |
| TOTAL (AO, CXL, CRT): | 19,470.00  |

|                                |                   |
|--------------------------------|-------------------|
| <b>TOTAL (RUN DATE) / NFS:</b> | <b>160,604.00</b> |
|--------------------------------|-------------------|

MRI Securities with Trade Activity on 25-May.  
**AEO, ECL, LAZ**

MRI Securities without Trade Activity on 25-May.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 10%  
YTD = 8%



|  |
|--|
| <b><u>Legend:</u></b>                                  |
| <b>Õ: Employee Trades in Outside Accounts</b>          |
| <b>ß: Non-BRG BCM Trades</b>                           |
| [i]: Initiation of Coverage                            |
| Blue: Employee, Affiliate(BCM) and Proprietary Trades. |
| Red: Trades in stocks with same day Research/MRI.      |
| Type: AO = As Of, CXL = Cancel, CRT = Corrected.       |
| POTENTIAL ANALYST TRADE VIOLATION                      |
| Watch List Trades                                      |
| f: Employee Trades (Fidelity)                          |