



Daily Compliance Activity Report

Trade Date: 05/29/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WYPER CAPITAL	044	AA	S	80,000	40.34	n/a	n/a	3,200.00	4.0
TRIVIUM CAP MGMT	251	ADCT	S	100,000	15.58	n/a	n/a	4,000.00	4.0
NEUBERGER BERMAN	036	AKR	S	26,900	24.48	n/a	n/a	1,210.50	4.5
OGORMAN	046	ALVR	B	1,000	7.70	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	AMG	B	45,000	100.58	7-Apr	22-May	2,250.00	5.0
LEDDY	035	AN	SS	1,000	15.98	n/a	25-Apr	0.00	0.0
SINCUTMA	031	APA	B	10	137.43	7-May	29-May	0.00	0.0
B BUCK CAPITAL MGMT		ARO	B	40,000	35.33	28-Apr	23-May	non-brg	4.0
NEUBERGER BERMAN	036	BAM	S	34,100	36.89	n/a	n/a	1,534.50	4.5
KORMAN	003	BBBY	SS	3,000	32.23	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	BEN	B	20,000	99.68	24-Mar	22-May	1,000.00	5.0
LATEFF MGMT	035	BRL	S	2,000	44.02	28-Feb	28-May	460.00	23.0
SCOPUS FUND	041	BWA	B	25,000	50.65	5-May	5-May	1,250.00	5.0
LUCHANSKY	036	BX	B	200	19.10	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		BYI+JK	SS	1,000	1.38	n/a	n/a	non-brg	300.0
ROYCE TRADING	216	CAB	B	10,000	13.80	n/a	n/a	500.00	5.0
BARR	096	CAMD	B	3,400	3.39	n/a	n/a	0.00	0.0
ROYCE TRADING	216	CBM	B	10,000	5.96	n/a	n/a	500.00	5.0
SUFFOLK CAPITAL MGMT	040	CB	B	189,800	53.43	n/a	n/a	7,592.00	4.0
MILLER	025	CFC	B	200	5.45	n/a	n/a	0.00	0.0
WHEELER	008	CHP	B	8,400	6.13	n/a	n/a	0.00	0.0
ZOLIDIS	011	CHS	SS	1,500	7.58	11-Apr	28-May	0.00	0.0
LATEFF MGMT	035	CMCSK	S	2,000	21.88	n/a	n/a	260.00	13.0
B BUCK CAPITAL MGMT		COST	SS	10,000	71.24	n/a	27-Jul	non-brg	4.0
RBC CAPITAL MARKETS	034	COST	SS	2,500	73.49	n/a	27-Jul	100.00	4.0
PRIMECAP MGMT	048	DD	S	92,648	47.86	n/a	14-May	3,705.92	4.0
CATTANI	041	DECK	S	50	140.64	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	DEI	B	41,200	24.01	n/a	n/a	1,854.00	4.5
NEUBERGER BERMAN	036	DLR	B	7,400	42.01	n/a	n/a	333.00	4.5
WASHINGTON ASSET	048	DLTR	S	10,000	38.02	n/a	29-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AAD CAPITAL	048	DSW	S	4,800	14.66	8-May	9-May	144.00	3.0
BUCK	015	DVN	B	200	117.65	7-May	29-May	0.00	0.0
SINCUTMA	031	DVN	B	10	117.94	7-May	29-May	0.00	0.0
TRIVIUM CAP MGMT	251	EBAY	S	100,000	29.94	n/a	n/a	4,000.00	4.0
CATTANI	041	EPG	B	1,500	5.35	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	EQY	S	22,000	23.14	n/a	n/a	990.00	4.5
CAVU CAP ADV	028	ETN	S	30,000	93.55	14-Apr	20-May	1,500.00	5.0
BARR	096	EXAR	S	2,000	7.57	n/a	n/a	0.00	0.0
PORTER-ORLIN-FELDMAN	044	FIS	B	100,000	40.48	n/a	n/a	5,000.00	5.0
CATTANI	041	FOSL	B	200	32.37	n/a	14-May	0.00	0.0
ZOLIDIS	011	FRED	SS	1,000	12.10	27-Mar	27-Mar	0.00	0.0
KORMAN	003	F	SS	5,000	6.77	25-Apr	2-May	0.00	0.0
COUGAR TRADING	044	GCO	S	10,000	28.63	n/a	10-Jan	500.00	5.0
DIAMONDBACK CAP MGMT	232	GE	SS	150,000	30.86	n/a	n/a	7,500.00	5.0
FIRST NY SEC/CHRIS	041	GE	B	25,000	30.68	n/a	n/a	1,250.00	5.0
LATEFF MGMT	035	GE	B	2,000	30.84	n/a	n/a	340.00	17.0
WASHINGTON ASSET	048	GE	B	6,000	30.73	n/a	n/a	300.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY	036	GE	S	200	30.95	n/a	n/a	0.00	0.0
LEDDY	035	GIL	B	600	29.92	30-Apr	28-May	0.00	0.0
GAULT	063	GIL	B	1,400	30.17	30-Apr	28-May	0.00	0.0
DSG CAPITAL	225	GM	B	5,000	17.27	29-Apr	19-May	250.00	5.0
COUGAR TRADING	044	GYMB	B	5,000	46.60	22-May	22-May	250.00	5.0
WHEELER	008	HAYN	S	1,000	67.59	n/a	n/a	0.00	0.0
GAULT	063	HEES	B	3,500	13.69	n/a	n/a	0.00	0.0
NOLAN	067	HEES	B	200	13.59	n/a	n/a	0.00	0.0
MISSOURI VALLEY PART	046	HK	S	19,615	26.09	n/a	n/a	980.75	5.0
WHEELER	008	HQRLN	S	20	6.00	n/a	n/a	0.00	0.0
LISTED ERR	099	ITT	B	1,100	65.00	n/a	25-Apr	0.00	0.0
SINCUTMA	031	JBLU	S	1,000	4.01	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCG	SS	5,000	47.09	n/a	27-May	250.00	5.0
COUGAR TRADING	044	JCP	SS	800	41.11	n/a	16-May	40.00	5.0
LISTED ERR	099	JCP	B	800	41.25	n/a	16-May	0.00	0.0
B BUCK CAPITAL MGMT		JOYG	SS	10,000	80.25	n/a	23-May	non-brg	4.0
JPMSI/TOM LEDDY	035	KSS	B	25,000	45.36	n/a	16-May	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	LAVA	B	8,000	7.18	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	LEH	S	25,000	38.45	1-Apr	22-May	1,250.00	5.0
BUCK	015	LEH	SS	600	38.23	1-Apr	22-May	0.00	0.0
SUNOVA CAPITAL	031	LM	B	10,000	54.76	7-May	22-May	500.00	5.0
WAGONHOUND	048	LPX	S	21,020	12.26	7-Apr	7-May	840.80	4.0
DISCIPLINED GROWTH INV.	030	LTD	S	6,400	19.32	n/a	23-May	320.00	5.0
N STRATTON	014	LTRE	C	300	17.50	n/a	n/a	0.00	0.0
DISCIPLINED GROWTH INV.	030	LVLTT	S	21,600	3.47	n/a	4-Jan	648.00	3.0
CASTLEROCK	040	MA	B	35,500	301.11	n/a	n/a	1,420.00	4.0
UBS OCONNOR	031	MA	SS	75,000	311.14	n/a	n/a	2,250.00	3.0
BULL PATH CAPITAL	044	MGLN	S	18,000	40.37	n/a	n/a	900.00	5.0
BARR	096	MIPS	SS	2,600	3.84	n/a	n/a	0.00	0.0
RHO CAPITAL MGMT	041	MTE	S	2,000	4.50	n/a	n/a	80.00	4.0
B BUCK CAPITAL MGMT		MVRX	SS	40,000	148.65	n/a	n/a	non-brg	14.9
NUMERIC	060	M	B	75,900	24.00	7-Feb	27-May	2,277.00	3.0
B BUCK CAPITAL MGMT		NEXC	B	100,000	0.61	n/a	n/a	non-brg	1.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		NEXC	B	200,000	0.62	n/a	n/a	non-brg	1.0
	SANDLER O'NEILL ASSET	031	NFP	SS	50,000	23.17	n/a	n/a	2,500.00	5.0
	CATTANI	041	NPRTX	B	150	33.26	n/a	n/a	0.00	0.0
	CAVU CAP ADV	028	NVTL	B	50,000	10.26	n/a	n/a	2,500.00	5.0
	NEUBERGER BERMAN	036	OFC	B	36,400	38.06	n/a	n/a	1,638.00	4.5
	WILLIAM BLAIR	031	ORLY	S	105,200	26.39	n/a	n/a	2,104.00	2.0
	LEDDY	035	PCL	S	750	44.98	29-Apr	29-Apr	0.00	0.0
ß	BUCK CAPITAL MGMT		PERY	S	10,000	27.81	n/a	n/a	non-brg	4.0
	LUCHANSKY	036	PG	B	200	65.61	n/a	29-May	0.00	0.0
	PAW PARTNERS	041	PLCE	S	11,800	35.16	23-May	23-May	590.00	5.0
	N STRATTON	014	PNWIF	B	200	4.10	n/a	n/a	0.00	0.0
	J L F ASSET MGMT	039	PSUN	B	137,744	9.74	n/a	23-May	5,509.76	4.0
	THE JAY GOLDMAN	034	PSUN	SS	50,000	9.73	n/a	23-May	2,000.00	4.0
	CAVU CAP ADV	028	PWR	S	10,548	30.98	n/a	n/a	527.40	5.0
	FIRST NEW YORK NICK	029	Q	S	5,000	4.79	n/a	n/a	250.00	5.0
	AMATURO	018	RAD	B	5,000	2.18	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DISCIPLINED GROWTH INV.	030	RCL	S	3,800	29.73	25-Apr	25-Apr	190.00	5.0
COUGAR TRADING	044	RL	SS	5,000	69.34	7-Feb	29-May	250.00	5.0
KERN	050	SDS	S	300	56.39	n/a	n/a	0.00	0.0
TRUGENT CAPITAL	031	SEE	B	50,000	24.42	n/a	n/a	2,000.00	4.0
B BUCK CAPITAL MGMT		SHLD	B	25,000	88.06	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SHLD	S	25,000	86.33	n/a	n/a	non-brg	4.0
NEUBERGER BERMAN	036	SKT	S	4,700	37.95	n/a	n/a	211.50	4.5
TRIVIUM CAP MGMT	251	SPY	C	465,500	140.16	n/a	n/a	13,965.00	3.0
FIRST NY SEC/CHRIS	041	SSCC	S	100,000	6.98	7-Apr	23-Apr	5,000.00	5.0
WESTLANE CAPITAL	044	SSCC	S	5,000	6.87	7-Apr	23-Apr	200.00	4.0
BALYASNY ASSET MGMT	044	STI	SS	15,000	51.50	n/a	n/a	750.00	5.0
KORMAN	003	TEN	SS	4,000	24.40	n/a	n/a	0.00	0.0
LOCUS WOOD CAPITAL MGMT	044	TGT	B	37,500	53.25	29-May [i]	29-May	1,500.00	4.0
J L F ASSET MGMT	039	TIF	S	16,300	47.91	14-Jan	16-May	652.00	4.0
DISCIPLINED GROWTH INV.	030	TJX	S	7,600	32.06	n/a	14-May	380.00	5.0
WAGONHOUND	048	TJX	S	5,830	32.00	n/a	14-May	233.20	4.0
CAVU CAP ADV	028	TRA	S	19,900	40.74	n/a	n/a	995.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PAW PARTNERS	041	TRID	B	25,000	4.60	n/a	n/a	750.00	3.0
COUGAR TRADING	044	TWB	B	5,000	20.55	17-Mar	22-May	250.00	5.0
J L F ASSET MGMT	039	TWB	B	25,700	20.68	17-Mar	22-May	1,028.00	4.0
MCCARTAN	028	TXRH	B	500	11.11	n/a	n/a	0.00	0.0
CAVU CAP ADV	028	TXT	S	25,000	61.88	n/a	n/a	1,250.00	5.0
CATAPULT	040	UPS	B	83,801	71.15	n/a	n/a	4,190.05	5.0
COUGAR TRADING	044	URBN	SS	5,000	32.66	15-May	15-May	250.00	5.0
B BUCK CAPITAL MGMT		URQ+LI	SS	998	0.67	n/a	n/a	non-brg	100.0
S.A.C. CAPITAL MGMT.	044	USB	SS	100,000	33.28	n/a	n/a	5,000.00	5.0
CHAPPELL	073	VFORX	B	86	23.15	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	VNO	B	4,200	96.79	n/a	n/a	189.00	4.5
TRIVIUM CAP MGMT	251	VRSN	SS	25,000	40.10	n/a	n/a	1,000.00	4.0
SINCLAIR	031	VYOA	S	100	0.70	n/a	n/a	0.00	0.0
CAVU CAP ADV	028	WBSN	S	60,000	17.57	n/a	3-Apr	3,000.00	5.0
CAVU CAP ADV	028	WDC	B	18,000	36.80	n/a	n/a	900.00	5.0
SUNOVA CAPITAL	031	WDR	B	20,000	35.08	22-Apr	22-May	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CARLSON CAPITAL	031	WFC	B	71,200	28.01	n/a	n/a	3,560.00	5.0
Ⓐ BUCK CAPITAL MGMT		WRC	B	60,000	49.44	13-May	13-May	non-brg	4.0
BUCK	015	WY	B	800	67.39	7-Apr	27-May	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		ZLC+WW	SS	1,700	1.30	n/a	n/a	non-brg	300.0
GAULT	063	ZYTAG	S	10	4.60	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		ZZ	C	50,000	6.06	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):							131,593.38		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/28	LISTED ERR	099	ITT	S	550	65.07	n/a	25-Apr	0.00 0.0
AO,	05/28	LISTED ERR	099	ITT	S	550	65.07	n/a	25-Apr	0.00 0.0
AO,CRT	05/28	PATTEN & PATTEN	067	ITT	B	550	65.07	n/a	25-Apr	27.50 5.0
AO,CXL	05/28	PATTEN & PATTEN	067	ITT	S	550	65.07	n/a	25-Apr	27.50 5.0
AO,CRT	05/28	REINHART & MAHONEY	031	JOSB	S	1,600	27.46	n/a	n/a	80.00 5.0
AO,CXL	05/28	REINHART & MAHONEY	031	JOSB	S	1,600	27.46	n/a	n/a	80.00 5.0
AO,CXL	05/16	N STRATTON	014	PNWIF	B	400	3.65	n/a	n/a	0.00 0.0
AO,CRT	05/16	N STRATTON	014	PNWIF	B	400	3.65	n/a	n/a	0.00 0.0
AO,CXL	05/28	JMP ASSET MGMT	210	PNWIF	B	12,575	4.05	n/a	n/a	377.25 3.0
AO,CRT	05/28	JMP ASSET MGMT	210	PNWIF	B	12,575	4.05	n/a	n/a	377.25 3.0
AO,CXL	05/27	N STRATTON	014	PSFC	B	400	12.55	n/a	n/a	0.00 0.0
AO,CRT	05/27	N STRATTON	014	PSFC	B	400	12.55	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										0.00
TOTAL (Trade Date) :										131,593.38
TOTAL (AO, CXL, CRT) :										0.00
TOTAL (RUN DATE) / NFS :										131,593.38

MRI Securities with Trade Activity on 29-May.

TGT

MRI Securities without Trade Activity on 29-May.

BIG, CEPH

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION