



Daily Compliance Activity Report

Trade Date: 06/02/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	10,000	18.10	6-Mar	2-Jun	500.00	5.0
J L F ASSET MGMT	039	AEO	SS	100,000	17.88	6-Mar	2-Jun	4,000.00	4.0
JP MORGAN VENTURES	046	AEO	S	35,000	17.90	6-Mar	2-Jun	1,750.00	5.0
PRIMECAP MGMT	048	AMGN	B	36,400	43.71	n/a	26-Jan	1,456.00	4.0
COUGAR TRADING	044	ANF	B	7,500	70.37	10-Apr	2-Jun	375.00	5.0
KORMAN	003	ANN	SS	10,000	26.89	14-Mar	22-May	0.00	0.0
BUCK	015	ANN	SS	1,000	26.50	14-Mar	22-May	0.00	0.0
TRELLUS PARTNERS LP	028	ARGN	B	600	11.89	n/a	20-May	30.00	5.0
COUGAR TRADING	044	ARO	B	5,000	34.05	28-Apr	2-Jun	250.00	5.0
J L F ASSET MGMT	039	ARO	B	47,600	34.31	28-Apr	2-Jun	1,904.00	4.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	25,000	34.66	28-Apr	2-Jun	1,250.00	5.0
BARR	096	ARRS	S	1,500	9.25	n/a	n/a	0.00	0.0
WILLIAM BLAIR	031	AVP	S	19,400	38.46	4-Mar	29-Apr	776.00	4.0
TROUBH PART	067	AXP	B	10,000	45.34	n/a	n/a	400.00	4.0
TRELLUS PARTNERS LP	028	BIG	SS	10,000	30.74	29-May	29-May	500.00	5.0
BUCK CAPITAL MGMT	001	BJ	SS	10,000	40.22	n/a	27-Jul	400.00	4.0
BARR	096	BVSN	S	5,000	1.02	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	216	CBM	B	10,000	5.79	n/a	n/a	500.00	5.0
SUFFOLK CAPITAL MGMT	040	CB	B	96,600	53.63	n/a	n/a	3,864.00	4.0
LISTED ERR	099	CB	B	150,000	53.63	n/a	n/a	0.00	0.0
LISTED ERR	099	CB	S	150,000	53.63	n/a	n/a	0.00	0.0
BARR	096	CDNS	SS	2,000	11.34	n/a	n/a	0.00	0.0
BUCK	015	COF	C	1,000	46.99	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	CORS	B	14,600	5.44	n/a	n/a	730.00	5.0
BUCK	015	CPD	B	1,500	16.52	n/a	n/a	0.00	0.0
COUGAR TRADING	044	DKS	SS	10,000	22.53	n/a	23-May	500.00	5.0
HOOVER INV. MGMT	033	ENS	B	47,500	31.60	n/a	n/a	2,375.00	5.0
BARR	096	EXAR	S	2,000	7.78	n/a	n/a	0.00	0.0
WILLIAM BLAIR	031	FAST	S	15,700	47.70	n/a	n/a	628.00	4.0
WILLIAM BLAIR	031	FLIR	S	10,700	38.53	n/a	n/a	428.00	4.0
B BUCK CAPITAL MGMT		FOSL	B	39,700	30.41	n/a	14-May	non-brg	4.0
GEORGE WEISS	034	GD	B	30,000	91.17	n/a	n/a	1,200.00	4.0
LEVIN CAPITAL STRATEGIES	012	GES	S	10,000	39.84	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	GES	B	2,500	39.75	n/a	n/a	0.00	0.0
LISTED ERR	099	GES	S	2,500	39.10	n/a	n/a	0.00	0.0
WILLIAM BLAIR	031	GILD	S	25,400	54.48	n/a	n/a	1,016.00	4.0
B BUCK CAPITAL MGMT		GIL	S	200,000	29.16	30-Apr	28-May	non-brg	4.0
WAGONHOUND	048	GM	SS	11,100	17.66	29-Apr	2-Jun	444.00	4.0
KORMAN	003	GM	SS	5,000	17.31	29-Apr	2-Jun	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	GPS	SS	100,000	17.96	n/a	2-Jun	4,000.00	4.0
B BUCK CAPITAL MGMT		HRS	B	50,000	55.43	2-Jun	2-Jun	non-brg	4.0
MFP INVESTORS	032	IACI	B	20,000	21.90	n/a	n/a	1,000.00	5.0
WILLIAM BLAIR	031	IGT	S	14,200	34.54	n/a	18-Apr	568.00	4.0
TRIVIUM CAP MGMT	251	IMMR	B	25,000	8.27	n/a	n/a	1,000.00	4.0
MORGAN LEWIS/MLH	046	INTV	B	15,000	6.35	n/a	n/a	750.00	5.0
COUGAR TRADING	044	JCG	SS	15,000	36.69	n/a	30-May	750.00	5.0
ADAGE CAPITAL MGMT	030	JCP	SS	50,000	39.53	n/a	2-Jun	2,000.00	4.0
SANDLER O'NEILL ASSET	031	JPM	B	25,000	42.35	8-May	15-May	1,250.00	5.0
COUGAR TRADING	044	KSS	B	10,000	44.17	n/a	2-Jun	500.00	5.0
BUCK	015	LEH	SS	700	35.70	1-Apr	22-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	LEH	SS	1,000	34.00	1-Apr	22-May	0.00	0.0
PRIMECAP MGMT	048	LLY	B	77,200	47.86	n/a	n/a	3,088.00	4.0
MS PRINCIPAL STRATEGIES	041	MTB	B	2,967	84.69	n/a	n/a	148.35	5.0
DSG CAPITAL	225	MTW	B	3,000	39.90	n/a	23-May	150.00	5.0
B BUCK CAPITAL MGMT		MVRX	SS	75,000	145.38	n/a	n/a	non-brg	14.5
COUGAR TRADING	044	M	B	10,000	23.04	7-Feb	2-Jun	500.00	5.0
DIAMONDBACK CAP MGMT	232	NOK	C	50,000	27.80	n/a	n/a	2,500.00	5.0
MS PRINCIPAL STRATEGIES	041	NTRS	B	13,249	74.14	16-Apr	29-May	662.45	5.0
BARR	096	NVTL	S	2,820	10.12	n/a	n/a	0.00	0.0
STELLIAM INV ADV	040	OXY	SS	5,000	93.34	n/a	n/a	250.00	5.0
ROYCE TRADING	216	PAG	B	5,000	20.05	22-Apr	30-Apr	250.00	5.0
NOLAN	067	PDRT	S	250	3.05	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PERY	S	10,000	26.31	n/a	n/a	non-brg	2.5
BMO NESBITT BURNS	041	PKG	B	18,300	25.50	7-Apr	22-Apr	915.00	5.0
GALLEON MGMT LP	033	PSPT	S	25,000	9.13	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		PSUN	C	20,000	8.98	n/a	2-Jun	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MS PRINCIPAL STRATEGIES	041	RJF	B	25,000	28.77	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	RL	SS	5,000	69.10	7-Feb	29-May	250.00	5.0
OPPENHEIMER MGMT.	036	RL	S	60,020	68.57	7-Feb	29-May	2,400.80	4.0
GALLEON MGMT LP	033	ROC	S	10,000	35.26	n/a	n/a	500.00	5.0
BUCK	015	RYN	B	700	46.73	23-Apr	23-Apr	0.00	0.0
B BUCK CAPITAL MGMT		S4RETL	SS	35,000	359.45	n/a	n/a	non-brg	35.9
B BUCK CAPITAL MGMT		S6RETL	SS	60,000	188.66	n/a	n/a	non-brg	18.9
COUGAR TRADING	044	SDS	SS	5,000	57.49	n/a	n/a	250.00	5.0
SAXON WOODS ADVISORS	038	SHO	S	30,000	19.46	n/a	n/a	1,200.00	4.0
COUGAR TRADING	044	SKF	SS	10,000	115.27	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		SKX	S	20,000	23.71	n/a	13-Mar	non-brg	4.0
KAICHER	064	SNCR	B	2,000	13.38	n/a	n/a	0.00	0.0
KORMAN	003	SPWR	S	267	78.94	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	STT	B	3,600	70.00	n/a	n/a	180.00	5.0
IRONBOUND CAPITAL	012	TIN	B	40,800	14.28	29-Apr	29-Apr	2,040.00	5.0
TROUBH PART	067	UBS	B	10,000	23.48	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	URBN	SS	5,000	31.03	15-May	15-May	250.00	5.0
KACELA CAPITAL	034	URBN	B	10,000	31.39	15-May	15-May	400.00	4.0
BUCK	015	VRP	B	10	1.05	n/a	n/a	0.00	0.0
WASHINGTON ASSET	048	WBC	B	6,000	52.24	30-Apr	30-Apr	300.00	5.0
CROWLEY	061	WHT	S	1,500	5.04	n/a	n/a	0.00	0.0
BARR	096	WIND	S	1,000	11.01	n/a	n/a	0.00	0.0
KORMAN	003	WSMSZ	B	50	8.00	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	WSM	SS	25,900	24.51	n/a	n/a	1,036.00	4.0
FINANCIAL STOCK LP	028	WY	S	21,115	61.33	7-Apr	27-May	844.60	4.0
BUCK	015	WY	S	800	61.54	7-Apr	27-May	0.00	0.0
B BUCK CAPITAL MGMT		XRT	SS	25,000	32.32	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		ZZ	C	75,000	6.05	n/a	n/a	non-brg	4.0
TOTAL (Trade Date) :								59,009.20	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/30	PZENA INV ADV	044	ALU	S	120,715	7.56	n/a	n/a	4828.60 4.0
AO,CRT	05/30	PZENA INV ADV	044	ALU	S	23,875	7.56	n/a	n/a	955.00 4.0
AO,	05/29	TRELLUS PARTNERS LP	028	ARGN	B	10,000	14.05	n/a	20-May	500.00 5.0
AO,	05/30	LISTED ERR	099	CBRL	B	35,000	29.56	n/a	n/a	0.00 0.0
AO,CXL	05/30	LISTED ERR	099	CBRL	B	10,000	29.62	n/a	n/a	0.00 0.0
AO,CRT	05/30	LISTED ERR	099	CBRL	S	35,000	29.55	n/a	n/a	0.00 0.0
AO,CXL	05/30	LISTED ERR	099	CBRL	S	10,000	29.58	n/a	n/a	0.00 0.0
AO,CRT	05/30	TROUBH PART	067	CHK	B	25,000	54.61	n/a	n/a	1250.00 5.0
AO,CXL	05/30	TROUBH PART	067	CHK	B	25,000	54.61	n/a	n/a	1000.00 4.0
AO,	05/30	NEUBERGER BERMAN	036	SU	S	5,200	68.00	n/a	n/a	234.00 4.5
AO,CRT	05/30	CARLYLE BLUE WAVE PR MG	224	TIN	B	10,000	14.49	29-Apr	29-Apr	500.00 5.0
AO,CXL	05/30	CARLYLE BLUE WAVE PR MG	224	TIN	B	10,000	14.49	29-Apr	29-Apr	500.00 5.0
AO,CRT	05/30	IRONBOUND CAPITAL	012	TIN	B	19,200	14.48	29-Apr	29-Apr	576.00 3.0
AO,CXL	05/30	IRONBOUND CAPITAL	012	TIN	B	19,200	14.48	29-Apr	29-Apr	960.00 5.0
AO,CRT	05/30	SATELITE/BOBBY	044	XRT	B	10,000	33.22	n/a	n/a	300.00 3.0
AO,CXL	05/30	SATELITE/BOBBY	044	XRT	B	10,000	33.22	n/a	n/a	400.00 4.0
TOTAL (AO, CXL, CRT) :										6,283.60

TOTAL (Trade Date):	59,009.20
TOTAL (AO, CXL, CRT):	6,283.60

TOTAL (RUN DATE) / NFS:	65,292.80
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MRI Securities with Trade Activity on 2-Jun.

HRS

MRI Securities without Trade Activity on 2-Jun.

CHTT, KV.A

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 1%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION