



## Daily Compliance Activity Report

Trade Date: 11/28/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	CMG	SS	300	49.70	23-Oct	23-Oct	15.00	5.0
ROSEDALE CAPITAL	046	CMI	S	10,000	25.31	14-Nov	14-Nov	300.00	3.0
MATRIX ASSET ADV.	036	CVX	S	14,000	78.74	n/a	n/a	560.00	4.0
BUCK CAPITAL MGMT	001	FDO	SS	100,000	27.71	23-Jul	7-Nov	4,000.00	4.0
BUCK CAPITAL MGMT	001	FL	S	100,000	6.64	7-Oct	21-Nov	4,000.00	4.0
FRONTPOINT/COPIA-ANDREW	031	LNT	SS	12,300	31.20	n/a	n/a	430.50	3.5
DISCIPLINED GROWTH INV.	030	LTD	B	14,300	9.05	18-Nov	20-Nov	715.00	5.0
⌚ Alice Longley		SDS	S	500	56.7000	n/a	n/a	0.00	0.0
DEMARTINI	065	SDS	B	500	88.37	n/a	n/a	0.00	0.0
FRONTPOINT/COPIA-ANDREW	031	TEG	SS	24,600	44.33	n/a	n/a	861.00	3.5
<b>TOTAL (Trade Date) :</b>								<b>10,881.50</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	11/26	COLUMBIA PARTNERS (COLP514PIU)	028	DF	B	6,658	13.45	n/a	n/a	266.32 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP287RCU)	028	DF	B	1,157	13.45	n/a	n/a	46.28 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP518NGP)	028	DF	B	1,542	13.45	n/a	n/a	61.68 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP335JJG)	028	DF	B	111	13.45	n/a	n/a	4.44 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP520BNA)	028	DF	B	9,169	13.45	n/a	n/a	366.76 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP525ADL)	028	DF	B	388	13.45	n/a	n/a	15.52 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP532EMS)	028	DF	B	521	13.45	n/a	n/a	20.84 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP450AH)	028	DF	B	241	13.45	n/a	n/a	9.64 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP533CAU)	028	DF	B	414	13.45	n/a	n/a	16.56 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP516VMI)	028	DF	B	3,409	13.45	n/a	n/a	136.36 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP338NEB)	028	DF	B	6,591	13.45	n/a	n/a	263.64 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP522PSP)	028	DF	B	968	13.45	n/a	n/a	38.72 4.0
AO,	11/26	COLUMBIA PARTNERS (COLPUSBANK)	028	DF	B	287	13.45	n/a	n/a	11.48 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP)	028	DF	B	19,305	13.45	n/a	n/a	772.20 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP)	028	DF	B	3,214	13.45	n/a	n/a	128.56 4.0
AO,	11/26	COLUMBIA PARTNERS (COLPTID)	028	DF	B	519	13.45	n/a	n/a	20.76 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP428CMM)	028	DF	B	809	13.45	n/a	n/a	32.36 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP014NEC)	028	DF	B	363	13.45	n/a	n/a	14.52 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP051IBE)	028	DF	B	12,482	13.45	n/a	n/a	499.28 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP498CA)	028	DF	B	4,548	13.45	n/a	n/a	181.92 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP488TSC)	028	DF	B	944	13.45	n/a	n/a	37.76 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP463BLR)	028	DF	B	732	13.45	n/a	n/a	29.28 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP458MHF)	028	DF	B	1,272	13.45	n/a	n/a	50.88 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP495JC)	028	DF	B	248	13.45	n/a	n/a	9.92 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP446CNH)	028	DF	B	2,203	13.45	n/a	n/a	88.12 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP476IBE)	028	DF	B	2,223	13.45	n/a	n/a	88.92 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP486MTG)	028	DF	B	397	13.45	n/a	n/a	15.88 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	11/26	COLUMBIA PARTNERS (COLP437CDC)	028	DF	B	5,832	13.45	n/a	n/a	233.28 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP507YJK)	028	DF	B	559	13.45	n/a	n/a	22.36 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP371EGR)	028	DF	B	333	13.45	n/a	n/a	13.32 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP508UD)	028	DF	B	532	13.45	n/a	n/a	21.28 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP300HAA)	028	DF	B	150	13.45	n/a	n/a	6.00 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP511FCN)	028	DF	B	820	13.45	n/a	n/a	32.80 4.0
AO,	11/26	COLUMBIA PARTNERS (COLP092QN)	028	DF	B	20,531	13.45	n/a	n/a	821.24 4.0
AO,	11/26	NOMURA CAPITAL (NOMUSTRATE)	045	SKRRF	S	20,470	0.01	n/a	n/a	22.52 0.1
AO,	11/26	NOMURA CAPITAL (NOMUBATT2)	045	SKRRF	S	26,271	0.01	n/a	n/a	28.90 0.1
AO,	11/26	NOMURA CAPITAL (NOMUBSMAN)	045	SKRRF	S	37,462	0.01	n/a	n/a	41.21 0.1
AO,	11/26	NOMURA CAPITAL (NOMUSTRATE)	045	SKRUF	S	600	0.53	n/a	n/a	0.00 0.0
AO,	11/26	NOMURA CAPITAL (NOMUBATT2)	045	SKRUF	S	2,525	0.53	n/a	n/a	0.00 0.0
AO,	11/26	NOMURA CAPITAL (NOMUBSMAN)	045	SKRUF	S	2,725	0.53	n/a	n/a	0.00 0.0
AO,CXL	11/26	MAGNETAR FINANCIAL (MAGNMERRIL)	031	SPY	B	62,300	86.66	n/a	n/a	2492.00 4.0
AO,CRT	11/26	MAGNETAR FINANCIAL (MAGNMERRIL)	031	SPY	B	62,300	86.66	n/a	n/a	1869.00 3.0

<b>TOTAL (AO, CXL, CRT) :</b>	<b>3,848.51</b>
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TOTAL (Trade Date) :	10,881.50
TOTAL (AO, CXL, CRT) :	3,848.51

<b>TOTAL (RUN DATE) / NFS :</b>	<b>14,730.01</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION