



# Daily Compliance Activity Report

Trade Date: 09/20/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EAGLE ASSET MGMT. (FLORIDA) 093	ABT	B	685	69.67	n/a	n/a	13.70	0.0	
EAGLE ASSET MGMT. (FLORIDA) 093	ACC	B	1,175	44.30	n/a	n/a	23.50	0.0	
EAGLE ASSET MGMT. (FLORIDA) 093	AET	B	1,130	39.28	n/a	n/a	22.60	0.0	
PERRY PARTNERS	085	AIG	B	50,000	33.75	n/a	n/a	2,500.00	5.0
EAGLE ASSET MGMT. (FLORIDA) 093	AMAT	B	3,570	11.45	n/a	n/a	71.40	0.0	
EAGLE ASSET MGMT. (FLORIDA) 093	AMP	B	865	57.16	n/a	n/a	17.30	0.0	
<b>f KATHERINE L O'GORMAN</b>	<b>FID</b>	<b>AMRN</b>	<b>S</b>	<b>1000</b>	<b>13.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL ALEXANDER SM</b>	<b>FID</b>	<b>AMRN</b>	<b>B</b>	<b>15</b>	<b>0.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL ALEXANDER SM</b>	<b>FID</b>	<b>AMRN</b>	<b>S</b>	<b>15</b>	<b>0.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SENATOR INVESTMENT GROUP	036	AMTD	B	100,000	16.17	n/a	n/a	3,000.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>SS</b>	<b>20,000</b>	<b>38.40</b>	<b>n/a</b>	<b>16-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>f GAVIN N CADWALLADER</b>	<b>FID</b>	<b>ANN</b>	<b>S</b>	<b>100</b>	<b>38.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MILLRACE FUND LP	028	ARMH	SS	2,000	28.19	n/a	n/a	80.00	4.0
RBC CAPITAL MARKETS	040	ASNA	B	15,000	21.14	n/a	n/a	600.00	4.0
LATEEF MGMT	035	ATI	S	200	33.44	n/a	14-Sep	34.00	17.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.			
EAGLE ASSET MGMT. (FLORIDA)	093	ATW	B	1,060	46.06	n/a	n/a	21.20	0.0		
EAGLE ASSET MGMT. (FLORIDA)	093	AXE	B	1,070	61.93	n/a	11-Sep	21.40	0.0		
B	BUCK CAPITAL MGMT	AXL	B	45,000	11.86	n/a	30-Jul	non-brg	0.8		
	DSG CAPITAL	030	AXL	B	20,000	11.81	n/a	30-Jul	600.00	3.0	
	MILLRACE FUND LP	028	AXL	B	1,200	11.69	n/a	30-Jul	48.00	4.0	
f	CHRISTINE MARIE NORM	FID	BAC	B	20	9.18	n/a	17-Sep	0.00	0.0	
B	BUCK CAPITAL MGMT		BBBY	B	24,000	63.82	n/a	n/a	non-brg	1.0	
B	BUCK CAPITAL MGMT		BBBY	B	50,000	62.16	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT		BBBY	B	50,000	62.16	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT		BYI	B	14,450	47.37	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT		BYI	B	14,450	47.37	n/a	n/a	non-brg	4.0	
	SMITH MGMT/ALDEN GLOBAL	029	BZH	S	250,000	3.73		n/a	n/a	7,500.00	3.0
	BRONSON POINT	036	CAM	C	10,000	56.72	n/a	n/a	300.00	0.0	
	TUDOR INVESTORS	028	CAT	SS	5,000	92.34	n/a	11-Jul	150.00	3.0	
	EAGLE ASSET MGMT. (FLORIDA)	093	CFNL	B	4,355	14.35	n/a	n/a	87.10	0.0	
	BALLAST CAP	040	CHRW	B	6,000	57.52	n/a	n/a	300.00	5.0	
B	BUCK CAPITAL MGMT		CLH	C	20,173	48.97	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT		CLH	C	30,000	49.32	n/a	n/a	non-brg	1.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>CLWR</b>	<b>S</b>	<b>1000</b>	<b>1.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EAGLE ASSET MGMT. (FLORIDA) 093		CTL	B	840	42.27	n/a	n/a	16.80	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		C	B	2,140	33.68	n/a	17-Sep	42.80	0.0
LATEEF MGMT	035	DAL	B	400	9.29	n/a	n/a	40.00	10.0
ARMATURE CAPITAL	041	DAN	SS	100,000	13.16	n/a	27-Jul	4,000.00	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		DD	B	1,055	51.62	n/a	18-Sep	21.10	0.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>DD</b>	<b>B</b>	<b>4000</b>	<b>51.77</b>	<b>n/a</b>	<b>18-Sep</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL ALEXANDER SM</b>	<b>FID</b>	<b>DG</b>	<b>B</b>	<b>10</b>	<b>1.75</b>	<b>n/a</b>	<b>5-Sep</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL ALEXANDER SM</b>	<b>FID</b>	<b>DG</b>	<b>B</b>	<b>30</b>	<b>1.70</b>	<b>n/a</b>	<b>5-Sep</b>	<b>0.00</b>	<b>0.0</b>
OZ MGMT	040	DLTR	S	100,000	49.00	n/a	16-Aug	3,000.00	0.0
RBC CAPITAL MARKETS	040	DLTR	B	5,000	48.99	n/a	16-Aug	200.00	4.0
EAGLE ASSET MGMT. (FLORIDA) 093		DOV	B	815	60.64	n/a	n/a	16.30	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		DPZ	B	855	34.41	n/a	24-Jul	17.10	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		DTV	B	1,060	52.93	n/a	n/a	21.20	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		DVN	B	580	60.66	n/a	23-Jul	11.60	0.0
EAGLE ASSET MGMT. (FLORIDA) 093		EOG	B	425	113.67	n/a	n/a	8.50	0.0
HIGHBRIDGE CAP	041	EOG	B	4,500	113.60	n/a	n/a	135.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EAGLE ASSET MGMT. (FLORIDA)	093	ETN	B	1,075	47.55	n/a	12-Sep	21.50	0.0
EAGLE ASSET MGMT. (FLORIDA)	093	FCX	B	1,075	40.76	n/a	n/a	21.50	0.0
ASCEND PARTNERS	033	FL	S	25,000	37.24	n/a	29-Aug	750.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>S</b>	<b>20,000</b>	<b>36.70</b>	<b>n/a</b>	<b>29-Aug</b>	<b>non-brg</b>	<b>4.0</b>
ROARING BLUE LION MGMT	031	FL	S	5,860	37.31	n/a	29-Aug	234.40	4.0
LATEEF MGMT	035	FMER	B	500	15.33	n/a	n/a	50.00	10.0
TROUBH PART	070	FSLR	S	10,000	22.73	n/a	n/a	500.00	5.0
HIGHBRIDGE CAP	041	FTI	B	6,500	48.62	n/a	n/a	195.00	3.0
HIGHBRIDGE CAP	041	GDX	B	4,000	54.28	n/a	n/a	80.00	2.0
<b>f ALEX JOSEPH DEFILIPP</b>	<b>FID</b>	<b>GEN</b>	<b>S</b>	<b>1000</b>	<b>2.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f VICTORIA JEAN DEFILI</b>	<b>FID</b>	<b>GEN</b>	<b>S</b>	<b>1000</b>	<b>2.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KLEINHEINZ CAP PART	031	GIL	S	50,000	31.01	n/a	3-Aug	2,500.00	5.0
BRONSON POINT	036	GM	S	25,000	24.21	n/a	18-Sep	750.00	0.0
LEVIN CAPITAL STRATEGIES	041	GM	SS	20,000	24.00	n/a	18-Sep	600.00	0.0
ARMATURE CAPITAL	041	GT	B	25,000	13.16	n/a	n/a	1,000.00	0.0
TUDOR INVESTORS	028	GWR	B	8,000	64.94	n/a	n/a	240.00	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	HD	B	910	59.08	n/a	14-Aug	18.20	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RBC CAPITAL MARKETS	040	HOG	SS	10,000	44.94	n/a	n/a	400.00	4.0
EAGLE ASSET MGMT. (FLORIDA)	093	HON	B	1,000	60.57	n/a	12-Sep	20.00	0.0
EAGLE ASSET MGMT. (FLORIDA)	093	HPP	B	2,955	18.55	n/a	n/a	59.10	0.0
HIGHBRIDGE CAP	041	HP	SS	4,500	48.93	n/a	n/a	135.00	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	IBM	B	430	206.04	n/a	n/a	8.60	0.0
⌘ BUCK CAPITAL MGMT		IP	B	10,000	34.61	n/a	20-Aug	non-brg	0.8
⌘ BUCK CAPITAL MGMT		IP	B	10,000	34.61	n/a	20-Aug	non-brg	0.8
⌘ BUCK CAPITAL MGMT		IP	B	20,000	34.65	n/a	20-Aug	non-brg	0.8
⌘ BUCK CAPITAL MGMT		IP	B	20,000	34.65	n/a	20-Aug	non-brg	0.8
TROUBH PART	070	IR	B	10,000	46.25	n/a	30-Aug	500.00	5.0
CATAPULT CAP/DEFRANCO	040	ITW	SS	10,303	60.97	n/a	n/a	412.12	0.0
HIGHBRIDGE CAP	041	JCI	SS	9,000	28.67	n/a	20-Jul	270.00	3.0
⌘ BUCK CAPITAL MGMT		JCP	C	73,882	26.87	n/a	13-Aug	non-brg	4.0
TROUBH PART	070	JCP	B	10,000	26.50	n/a	13-Aug	500.00	5.0
⌘ BUCK CAPITAL MGMT		JNY	S	100,000	13.28	n/a	26-Jul	non-brg	4.0
SIMMONS VENTURES	041	JNY	SS	10,000	13.24	n/a	26-Jul	400.00	4.0
EAGLE ASSET MGMT. (FLORIDA)	093	JPM	B	1,390	40.98	n/a	17-Sep	27.80	0.0
CRAMER ROSENTHAL	070	KFT	B	28,350	41.42	n/a	n/a	1,134.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NOMURA/ANGEL LANE PRINCIPAL	040	KSS	SS	15,000	53.27	n/a	31-Aug	450.00	3.0
RIDGECREST INV MGMT LLC	028	KS	B	10,000	19.18	n/a	n/a	400.00	4.0
LATEEF MGMT	035	K	B	325	51.40	n/a	n/a	48.75	15.0
EAGLE ASSET MGMT. (FLORIDA)	093	LAZ	B	1,185	29.90	n/a	5-Sep	23.70	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>LEA</b>	<b>B</b>	<b>25,000</b>	<b>39.52</b>	<b>n/a</b>	<b>3-Aug</b>	<b>non-brg</b>	<b>1.0</b>
EAGLE ASSET MGMT. (FLORIDA)	093	LUFK	B	1,100	57.33	n/a	n/a	22.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>MDT</b>	<b>B</b>	<b>25,000</b>	<b>43.19</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EAGLE ASSET MGMT. (FLORIDA)	093	MD	B	670	75.46	n/a	n/a	13.40	0.0
DISIPLINED GROWTH INV.	030	MIDD	S	2,000	116.44	n/a	n/a	100.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>MTOR</b>	<b>B</b>	<b>21,800</b>	<b>4.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
EAGLE ASSET MGMT. (FLORIDA)	093	MYL	B	2,815	24.54	n/a	17-Sep	56.30	0.0
EAGLE ASSET MGMT. (FLORIDA)	093	NGG	B	865	56.30	n/a	n/a	17.30	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>NKE</b>	<b>C</b>	<b>20,000</b>	<b>96.67</b>	<b>n/a</b>	<b>22-Aug</b>	<b>non-brg</b>	<b>0.8</b>
HIGHBRIDGE CAP	041	NOC	SS	4,000	66.91	n/a	30-Jul	120.00	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	OII	B	1,190	55.87	n/a	n/a	23.80	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EAGLE ASSET MGMT. (FLORIDA) 093	093	OMC	B	1,200	53.32	n/a	n/a	24.00	0.0
HIGHBRIDGE CAP	041	ORCL	B	6,600	32.40	n/a	n/a	198.00	3.0
⚡ BUCK CAPITAL MGMT		PCLN	SS	2,000	632.92	n/a	n/a	non-brg	4.0
CAPITAL RESEARCH GROUP		296 PCP	B	9,940	157.75		n/a 1-Aug	347.90	0.0
⚡ YANAI A FRANK	FID	PDH	B	1600	12.68	n/a	n/a	0.00	0.0
EAGLE ASSET MGMT. (FLORIDA) 093	093	PG	B	710	69.57	n/a	18-Sep	14.20	0.0
ARMATURE CAPITAL	041	PKG	B	25,000	32.98	n/a	20-Aug	1,000.00	0.0
⚡ BUCK CAPITAL MGMT		PVH	B	20,000	92.46	n/a	29-Aug	non-brg	4.0
NOMURA/ANGEL LANE PRINCIPAL 040	040	PVH	B	20,000	92.06	n/a	29-Aug	600.00	3.0
⚡ BUCK CAPITAL MGMT		RKT	B	10,000	67.15	n/a	20-Aug	non-brg	0.8
⚡ BUCK CAPITAL MGMT		RKT	B	10,000	67.15	n/a	20-Aug	non-brg	0.8
⚡ BUCK CAPITAL MGMT		RKT	B	20,000	66.90	n/a	20-Aug	non-brg	0.8
⚡ BUCK CAPITAL MGMT		RKT	B	20,000	66.90	n/a	20-Aug	non-brg	0.8
HIGHBRIDGE CAP	041	RKT	B	4,000	67.16	n/a	20-Aug	120.00	3.0
EAGLE ASSET MGMT. (FLORIDA) 093	093	SFD	B	2,575	20.12	n/a	n/a	51.50	0.0
⚡ BUCK CAPITAL MGMT		SKS	C	20,000	10.96	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SKS	C	20,000	11.09	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SKS	C	40,000	11.01	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SPLS	C	50,000	12.30	n/a	n/a	non-brg	0.8

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>SPLS</b>	<b>C</b>	<b>50,000</b>	<b>12.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
	LATEEF MGMT	035	SPLS	B	400	12.23	n/a	n/a	40.00	10.0
	EAGLE ASSET MGMT. (FLORIDA)	093	SPW	B	780	67.89	n/a	n/a	15.60	0.0
	EAGLE ASSET MGMT. (FLORIDA)	093	STBZ	B	1,790	16.30	n/a	n/a	35.80	0.0
	ARSENAL ASSET MANAGEMENT	028	SWFT	SS	40,000	8.31	n/a	n/a	1,600.00	0.0
	BALLAST CAP	040	THO	SS	4,400	35.28	n/a	n/a	220.00	5.0
	HIGHBRIDGE CAP	041	TIBX	S	3,300	30.12	n/a	n/a	99.00	3.0
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>TRLA</b>	<b>B</b>	<b>2,186</b>	<b>17.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>TRLA</b>	<b>B</b>	<b>814</b>	<b>17.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>TRLA</b>	<b>S</b>	<b>2,186</b>	<b>23.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>TRLA</b>	<b>S</b>	<b>814</b>	<b>23.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
	LATEEF MGMT	035	TRV	S	125	68.39	n/a	n/a	31.25	25.0
	EAGLE ASSET MGMT. (FLORIDA)	093	TUP	B	870	56.00	n/a	n/a	17.40	0.0
	EAGLE ASSET MGMT. (FLORIDA)	093	T	B	1,030	38.01	n/a	n/a	20.60	0.0
	LATEEF MGMT	035	UAL	S	250	20.54	n/a	n/a	30.00	12.0
	EAGLE ASSET MGMT. (FLORIDA)	093	UNP	B	475	120.80	n/a	n/a	9.50	0.0
	HIGHBRIDGE CAP	041	URI	B	7,000	36.82	n/a	17-Apr	210.00	3.0
<b>f</b>	<b>ALEX JOSEPH DEFILIPP</b>	<b>FID</b>	<b>VRA</b>	<b>B</b>	<b>100</b>	<b>24.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>



	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	JILL ROSENBERG	FID	VRA	B	100	24.61	n/a	n/a	0.00	0.0
f	VICTORIA JEAN DEFILI	FID	VRA	B	100	24.60	n/a	n/a	0.00	0.0
	MASTERS CAPITAL MANAGE	025	WFC	B	100,000	35.11	n/a	5-Sep	4,000.00	4.0
	HIGHBRIDGE CAP	041	WLK	B	7,000	74.71	n/a	n/a	210.00	3.0
	LATEEF MGMT	035	WRI	S	300	28.35	n/a	n/a	36.00	12.0
	HIGHBRIDGE CAP	041	XOP	B	9,000	56.96	n/a	n/a	180.00	2.0
	EAGLE ASSET MGMT. (FLORIDA)	093	XRX	B	4,295	7.70	n/a	n/a	85.90	0.0
	EAGLE ASSET MGMT. (FLORIDA)	093	ZMH	B	960	66.78	n/a	n/a	19.20	0.0
<b>TOTAL (Trade Date) :</b>									<b>44,238.92</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	09/19	SAGE ASSET MGMT	025	BZ	S	32,100	8.44	n/a	n/a	1284.00 4.0
AO,CXL	09/19	SAGE ASSET MGMT	025	BZ	S	32,100	8.44	n/a	n/a	1605.00 5.0
AO,CXL	09/19	BESSEMER INVESTMENT	085	G	S	1,673	47.03	n/a	n/a	66.92 4.0
AO,CRT	09/19	BESSEMER INVESTMENT	085	GG	S	1,673	47.03	n/a	n/a	66.92 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-321.00</b>
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TOTAL (Trade Date) :	44,238.92
TOTAL (AO, CXL, CRT) :	-321.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>43,917.92</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 20-Sep.  
NONE

MRI Securities without Trade Activity on 20-Sep.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)