



Daily Compliance Activity Report

Trade Date: 08/01/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEWLAND CAPITAL MGT	025	ACCO	S	55,000	8.41	n/a	n/a	1,650.00	0.0
TOCQUEVILLE	035	ACCO	B	50,000	8.49	n/a	n/a	2,500.00	0.0
CAPITAL GROWTH MGMT.	241	AIV	B	100,000	27.48	n/a	n/a	5,000.00	5.0
MERRILL ASSET/BLACKROCK	297	AMG	S	14,400	111.40	n/a	2-Mar	576.00	0.0
KLEINHEINZ CAP PART	031	ANF	B	75,000	35.83	n/a	17-May	3,750.00	5.0
~ Doug Rogers		APC	S	100	68.6910	n/a	31-Jul	0.00	0.0
~ Doug Rogers		APC	S	43	68.6940	n/a	31-Jul	0.00	0.0
~ Doug Rogers		APC	S	68	69.1000	n/a	31-Jul	0.00	0.0
CATAPULT	040	BEAM	S	7,150	61.75	n/a	n/a	286.00	0.0
SAGE ASSET MGMT	025	BZ	S	46,800	7.19	n/a	n/a	1,872.00	4.0
DENVER INST. ADV	046	CHS	B	120,000	15.39	n/a	5-Feb	4,800.00	0.0
CRAMER ROSENTHAL	070	DG	B	27,000	51.11	n/a	27-Jun	1,080.00	0.0
SENATOR INVESTMENT GROUP	036	DVA	B	40,000	98.73	n/a	n/a	1,200.00	3.0
STANDARD PACIFIC CAPITAL	084	FDX	S	15,606	88.76	n/a	n/a	780.30	0.0
MERRILL ASSET/BLACKROCK	297	FRT	B	7,940	109.23	n/a	n/a	317.60	0.0
COLUMBUS CIRCLE	036	F	S	36,431	9.12	n/a	31-Jul	1,457.24	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PHILADELPHIA FINANCIAL	033	F	C	25,000	9.03	n/a	31-Jul	1,000.00	0.0
PHILADELPHIA FINANCIAL	033	F	SS	25,000	9.32	n/a	31-Jul	1,000.00	0.0
JANA CAPITAL	044	GLW	SS	50,000	11.37	n/a	27-Jul	2,000.00	0.0
WOOSTER CAPITAL	035	GM	SS	75,000	20.28	n/a	31-Jul	3,750.00	5.0
CENTURION PARTNERS	041	INTC	B	15,000	25.87	n/a	n/a	600.00	4.0
CSFB GLOBAL PROPRIETARY	041	IP	B	35,000	32.77	n/a	27-Jul	1,750.00	5.0
HIGHBRIDGE CAP	041	JBHT	SS	3,000	54.35	n/a	n/a	90.00	3.0
BNP PARIBAS SEC	040	JCI	S	10,000	24.37	n/a	20-Jul	500.00	5.0
SIMMONS VENTURES	041	JNY	SS	5,000	10.77	n/a	26-Jul	200.00	4.0
UNITED STATES PENSION	085	JPM	B	11,800	35.87	n/a	25-Jul	472.00	4.0
RBC CAPITAL MARKETS	040	JWN	S	5,000	53.45	n/a	27-Jul	200.00	4.0
MARCATO CAPITAL MGMT.	029	LEA	B	40,000	35.92	n/a	4-May	1,200.00	1.5
ROSEDALE CAPITAL	046	LEA	B	15,000	35.91	n/a	4-May	750.00	5.0
WOOSTER CAPITAL	035	LEA	S	25,000	35.94	n/a	4-May	1,250.00	0.0
Õ Doug Rogers		LGND	B	248	19.2750	n/a	n/a	0.00	0.0
Õ Doug Rogers		LGND	B	518	19.1850	n/a	n/a	0.00	0.0
T. ROWE PRICE	033	LINTA	S	124,400	18.82	n/a	n/a	4,976.00	0.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	50,000	10.54	n/a	31-Jul	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	MA	B	223,125	427.49	n/a	1-Aug	6,693.75	0.0
CATAPULT	040	MCD	B	7,000	89.82	n/a	23-Jul	280.00	0.0
ARSENAL ASSET MANAGEMENT	028	MLM	B	5,000	75.42	n/a	n/a	200.00	0.0
BALLAST CAP	040	M	SS	7,000	35.51	n/a	27-Jul	350.00	5.0
FARALLON CAP MGMT	040	NTAP	S	75,000	31.93	n/a	n/a	2,250.00	3.0
CENTURION PARTNERS	041	NVDA	B	15,000	13.65	n/a	n/a	600.00	4.0
ROSEDALE CAPITAL	046	PBCT	B	20,000	11.42	n/a	19-Jul	800.00	4.0
BNP PARIBAS SEC	040	PCAR	B	10,000	40.22	n/a	11-Jul	500.00	5.0
NEUBERGER BERMAN	036	TNC	B	15,400	42.20	n/a	n/a	616.00	0.0
RBC CAPITAL MARKETS	040	TUP	B	4,000	51.78	n/a	n/a	160.00	4.0
COLUMBUS CIRCLE	036	ULTA	S	2,100	85.04	n/a	n/a	84.00	0.0
SCOPUS FUND	041	VFC	SS	100,000	147.76	n/a	25-Jul	4,000.00	4.0
ADALY INV MGMT	031	WMT	SS	15,000	74.02	n/a	1-Aug	750.00	0.0
HIGHBRIDGE CAP	041	XLU	SS	6,750	38.11	n/a	n/a	202.50	3.0

TOTAL (Trade Date):

64,993.39

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									64,993.39
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									64,993.39
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION