

Daily Compliance Activity Report Trade Date: 04/01/2013

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/S	Shr.
BAIRD INVESTMENT	047	ADS	В	1 , 525	160.37	n/a	n/a	76.25	5.0
HIGHBRIDGE CAP	041	ADT	SS	5 , 237	47.65	n/a	n/a	157.11	3.0
BAIRD INVESTMENT	047	ALTR	В	4,025	34.75	n/a	n/a	201.25	5.0
BAIRD INVESTMENT	047	AMG	В	1,325	152.05	n/a	2-Mar	66.25	5.0
BAIRD INVESTMENT	047	ANSS	В	2,700	79.50	n/a	n/a	135.00	5.0
BAIRD INVESTMENT	047	ARG	В	1,525	97.96	n/a	n/a	76.25	5.0
TUDOR INVESTORS	028	ARG	В	5,000	98.21	n/a	n/a	150.00	3.0
BAIRD INVESTMENT	047	ATR	В	2,803	56.97	n/a	n/a	140.15	5.0
BAIRD INVESTMENT	047	ATU	В	5,325	30.85	n/a	n/a	266.25	5.0
BAIRD INVESTMENT	047	AYI	В	2,225	68.92	n/a	n/a	111.25	5.0
COHE & STEERS	041	BCC	В	10,000	31.85	n/a	n/a	400.00	0.0
TUDOR INVESTORS	028	BGC	В	5,000	35.71	n/a	n/a	150.00	3.0
BAIRD INVESTMENT	047	BWLD	В	1 , 975	86.79	n/a	n/a	98.75	5.0
BAIRD INVESTMENT	047	CERN	В	1 , 775	94.23	n/a	n/a	88.75	5.0
CATAPULT	040	CF	S	5 , 870	189.76	n/a	n/a	234.80	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
BAIRD INVESTMENT	047	CHD	В	4,275	64.48	n/a	1-Apr	213.75	5.0
BAIRD INVESTMENT	047	CLB	В	1,275	134.12	n/a	1-Apr	63.75	5.0
BAIRD INVESTMENT	047	COG	В	1,575	66.77	n/a	1-Apr	78.75	5.0
SCOPUS FUND	041	COST	S	4,200	105.61	n/a	n/a	168.00	4.0
GALT INVESTMENT	041	CROX	S	3 , 700	14.86	n/a	n/a	148.00	4.0
PARAMETER CAPITAL MGMT LI	C044	CSX	SS	50,000	24.32	n/a	n/a	1,500.00	3.0
BAIRD INVESTMENT	047	CTXS	В	2,425	71.43	n/a	n/a	121.25	5.0
SENATOR INVESTMENT GROUP	036	DAL	В	350,000	16.33	n/a	26-Mar	10,500.00	3.0
BAIRD INVESTMENT	047	DG	В	4,625	50.62	n/a	26-Mar	231.25	5.0
BAIRD INVESTMENT	047	DKS	В	4,400	47.15	n/a	27-Mar	220.00	5.0
BAIRD INVESTMENT	047	DLR	В	3,225	66.50	n/a	n/a	161.25	5.0
NEW VERNON	031	EMB	В	10,000	117.29	n/a	n/a	500.00	5.0
BAIRD INVESTMENT	047	EWBC	В	6,400	25.35	n/a	n/a	320.00	5.0
BAIRD INVESTMENT	047	EW	В	1,075	82.29	n/a	n/a	53.75	5.0
S.A.C. CAPITAL	044	EXPE	В	350,000	60.63	n/a	n/a	17,500.00	5.0
	BAIRD INVESTMENT BAIRD INVESTMENT BAIRD INVESTMENT SCOPUS FUND GALT INVESTMENT PARAMETER CAPITAL MGMT LI BAIRD INVESTMENT SENATOR INVESTMENT GROUP BAIRD INVESTMENT BAIRD INVESTMENT NEW VERNON BAIRD INVESTMENT BAIRD INVESTMENT NEW VERNON	BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 SCOPUS FUND 041 GALT INVESTMENT 041 PARAMETER CAPITAL MGMT LLC044 BAIRD INVESTMENT 047 SENATOR INVESTMENT GROUP 036 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 NEW VERNON 031 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047	BAIRD INVESTMENT 047 CHD BAIRD INVESTMENT 047 COG BAIRD INVESTMENT 047 COG SCOPUS FUND 041 COST GALT INVESTMENT 041 CROX PARAMETER CAPITAL MGMT LLC044 CSX BAIRD INVESTMENT 047 CTXS SENATOR INVESTMENT GROUP 036 DAL BAIRD INVESTMENT 047 DG BAIRD INVESTMENT 047 DKS BAIRD INVESTMENT 047 DKS BAIRD INVESTMENT 047 DLR NEW VERNON 031 EMB BAIRD INVESTMENT 047 EWBC BAIRD INVESTMENT 047 EWBC	BAIRD INVESTMENT 047 CHD B BAIRD INVESTMENT 047 CLB B BAIRD INVESTMENT 047 COG B SCOPUS FUND 041 COST S GALT INVESTMENT 041 CROX S PARAMETER CAPITAL MGMT LLC044 CSX SS BAIRD INVESTMENT 047 CTXS B SENATOR INVESTMENT 047 DG B BAIRD INVESTMENT 047 DG B BAIRD INVESTMENT 047 DKS B	BAIRD INVESTMENT 047 CHD B 4,275 BAIRD INVESTMENT 047 CLB B 1,275 BAIRD INVESTMENT 047 COG B 1,575 SCOPUS FUND 041 COST S 4,200 GALT INVESTMENT 041 CROX S 3,700 PARAMETER CAPITAL MGMT LLC044 CSX SS 50,000 BAIRD INVESTMENT 047 CTXS B 2,425 SENATOR INVESTMENT GROUP 036 DAL B 350,000 BAIRD INVESTMENT 047 DG B 4,625 BAIRD INVESTMENT 047 DKS B 4,400 BAIRD INVESTMENT 047 DLR B 3,225 NEW VERNON 031 EMB B 10,000 BAIRD INVESTMENT 047 EWBC B 6,400 BAIRD INVESTMENT 047 EWBC B 6,400	BAIRD INVESTMENT 047 CHD B 4,275 64.48 BAIRD INVESTMENT 047 CLB B 1,275 134.12 BAIRD INVESTMENT 047 COG B 1,575 66.77 SCOPUS FUND 041 COST S 4,200 105.61 GALT INVESTMENT 041 CROX S 3,700 14.86 PARAMETER CAPITAL MGMT LLC044 CSX SS 50,000 24.32 BAIRD INVESTMENT 047 CTXS B 2,425 71.43 SENATOR INVESTMENT 047 DG B 4,625 50.62 BAIRD INVESTMENT 047 DKS B 4,400 47.15 BAIRD INVESTMENT 047 DKS B 10,000 117.29 BAIRD INVESTMENT 047 EMBC B 6,400 25.35 BAIRD INVESTMENT 047 EWBC B 6,400 25.35 BAIRD INVESTMENT 047 EWBC B 6,400 25.35	BAIRD INVESTMENT 047 CHD B 4,275 64.48 n/a BAIRD INVESTMENT 047 CLB B 1,275 134.12 n/a BAIRD INVESTMENT 047 COG B 1,575 66.77 n/a SCOPUS FUND 041 COST S 4,200 105.61 n/a GALT INVESTMENT 041 CROX S 3,700 14.86 n/a PARAMETER CAPITAL MGMT LLC044 CSX SS 50,000 24.32 n/a BAIRD INVESTMENT 047 CTXS B 2,425 71.43 n/a SENATOR INVESTMENT 047 DG B 4,625 50.62 n/a BAIRD INVESTMENT 047 DKS B 4,400 47.15 n/a BAIRD INVESTMENT 047 DKS B 4,400 47.15 n/a BAIRD INVESTMENT 047 DKS B 10,000 117.29 n/a BAIRD INVESTMENT 047 EWBC B 6,400 25.35 n/a BAIRD INVESTMENT 047 EWBC B 6,400 25.35 n/a	BAIRD INVESTMENT 047 CHD B 4,275 64.48	BAIRD INVESTMENT 047 CHB B 4,275 64.48

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BAIRD INVESTMENT	047	FAST	В	3 , 550	49.78	n/a	n/a	177.50	5.0	
BAIRD INVESTMENT	047	FFIV	В	1,127	87.91	n/a	26-Feb	56.35	5.0	
BAIRD INVESTMENT	047	FIRE	В	3,300	57.43	n/a	n/a	165.00	5.0	
BAIRD INVESTMENT	047	FLR	В	2,475	65.44	n/a	n/a	123.75	5.0	
BALYASNY ASSET MGMT	044	FL	В	25,000	33.78	n/a	27-Mar	1,250.00	0.0	
PROSPECTOR PARTNERS LLC	036	FOR	S	5,000	22.03	n/a	26-Mar	200.00	4.0	
ROARING BLUE LION MGMT	031	F	SS	116,700	12.96	n/a	1-Apr	4,668.00	4.0	
TUDOR INVESTORS	028	GD	В	4,000	69.21	n/a	22-Mar	120.00	3.0	
MACELLUM CAPITAL	086	GES	SS	42,000	24.74	n/a	21-Mar	1,260.00	3.0	
BAIRD INVESTMENT	047	GHL	В	2,702	51.87	n/a	n/a	135.10	5.0	
TROUBH PART	070	GM	В	25,000	28.08	n/a	1-Apr	1,250.00	5.0	
BAIRD INVESTMENT	047	HMSY	В	4,675	27.47	n/a	n/a	233.75	5.0	
BAIRD INVESTMENT	047	ICLR	В	6,200	30.95	n/a	n/a	310.00	5.0	
BAIRD INVESTMENT	047	IHG	В	6,700	30.44	n/a	n/a	335.00	5.0	
BAIRD INVESTMENT	047	ILMN	В	4,025	54.24	n/a	n/a	201.25	5.0	
	BAIRD INVESTMENT BAIRD INVESTMENT BAIRD INVESTMENT BAIRD INVESTMENT BALYASNY ASSET MGMT PROSPECTOR PARTNERS LLC ROARING BLUE LION MGMT TUDOR INVESTORS MACELLUM CAPITAL BAIRD INVESTMENT TROUBH PART BAIRD INVESTMENT BAIRD INVESTMENT BAIRD INVESTMENT BAIRD INVESTMENT	BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 BALYASNY ASSET MGMT 044 PROSPECTOR PARTNERS LLC 036 ROARING BLUE LION MGMT 031 TUDOR INVESTORS 028 MACELLUM CAPITAL 086 BAIRD INVESTMENT 047 TROUBH PART 070 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047 BAIRD INVESTMENT 047	BAIRD INVESTMENT 047 FAST BAIRD INVESTMENT 047 FIRE BAIRD INVESTMENT 047 FIRE BAIRD INVESTMENT 047 FLR BALYASNY ASSET MGMT 044 FL PROSPECTOR PARTNERS LLC 036 FOR ROARING BLUE LION MGMT 031 F TUDOR INVESTORS 028 GD MACELLUM CAPITAL 086 GES BAIRD INVESTMENT 047 GHL TROUBH PART 070 GM BAIRD INVESTMENT 047 HMSY BAIRD INVESTMENT 047 ICLR BAIRD INVESTMENT 047 ITLR	BAIRD INVESTMENT 047 FAST B BAIRD INVESTMENT 047 FFIV B BAIRD INVESTMENT 047 FIRE B BAIRD INVESTMENT 047 FLR B BALYASNY ASSET MGMT 044 FL B PROSPECTOR PARTNERS LLC 036 FOR S ROARING BLUE LION MGMT 031 F SS TUDOR INVESTORS 028 GD B MACELLUM CAPITAL 086 GES SS BAIRD INVESTMENT 047 GHL B TROUBH PART 070 GM B BAIRD INVESTMENT 047 HMSY B BAIRD INVESTMENT 047 ICLR B BAIRD INVESTMENT 047 ICLR B BAIRD INVESTMENT 047 ICLR B	BAIRD INVESTMENT 047 FAST B 3,550 BAIRD INVESTMENT 047 FFIV B 1,127 BAIRD INVESTMENT 047 FIRE B 3,300 BAIRD INVESTMENT 047 FLR B 2,475 BALYASNY ASSET MGMT 044 FL B 25,000 PROSPECTOR PARTNERS LLC 036 FOR S 5,000 ROARING BLUE LION MGMT 031 F SS 116,700 TUDOR INVESTORS 028 GD B 4,000 MACELLUM CAPITAL 086 GES SS 42,000 BAIRD INVESTMENT 047 GHL B 2,702 TROUBH PART 070 GM B 25,000 BAIRD INVESTMENT 047 HMSY B 4,675 BAIRD INVESTMENT 047 ICLR B 6,200 BAIRD INVESTMENT 047 IHG B 6,700	BAIRD INVESTMENT 047 FAST B 3,550 49.78 BAIRD INVESTMENT 047 FFIV B 1,127 87.91 BAIRD INVESTMENT 047 FIRE B 3,300 57.43 BAIRD INVESTMENT 047 FLR B 2,475 65.44 BALYASNY ASSET MGMT 044 FL B 25,000 33.78 PROSPECTOR PARTNERS LLC 036 FOR S 5,000 22.03 ROARING BLUE LION MGMT 031 F SS 116,700 12.96 TUDOR INVESTORS 028 GD B 4,000 69.21 MACELLUM CAPITAL 086 GES SS 42,000 24.74 BAIRD INVESTMENT 047 GHL B 2,702 51.87 TROUBH PART 070 GM B 25,000 28.08 BAIRD INVESTMENT 047 HMSY B 4,675 27.47 BAIRD INVESTMENT 047 ICLR B 6,200 30.95 BAIRD INVESTMENT 047 ITLR B 6,200 30.95	BAIRD INVESTMENT 047 FAST B 3,550 49.78 n/a BAIRD INVESTMENT 047 FFIV B 1,127 87.91 n/a BAIRD INVESTMENT 047 FIRE B 3,300 57.43 n/a BAIRD INVESTMENT 047 FLR B 2,475 65.44 n/a BAIRD INVESTMENT 044 FL B 25,000 33.78 n/a PROSPECTOR PARTNERS LLC 036 FOR S 5,000 22.03 n/a ROARING BLUE LION MGMT 031 F SS 116,700 12.96 n/a TUDOR INVESTORS 028 GD B 4,000 69.21 n/a MACELLUM CAPITAL 086 GES SS 42,000 24.74 n/a BAIRD INVESTMENT 047 GHL B 2,702 51.87 n/a TROUBH PART 070 GM B 25,000 28.08 n/a BAIRD INVESTMENT 047 HMSY B 4,675 27.47 n/a BAIRD INVESTMENT 047 ICLR B 6,200 30.95 n/a BAIRD INVESTMENT 047 ILLR B 6,200 30.95 n/a	BAIRD INVESTMENT 047 FAST B 3,550 49.78 n/a n/a BAIRD INVESTMENT 047 FFIV B 1,127 87.91 n/a 26-Feb BAIRD INVESTMENT 047 FIRE B 3,300 57.43 n/a n/a BAIRD INVESTMENT 047 FLR B 2,475 65.44 n/a n/a BALYASNY ASSET MGMT 044 FL B 25,000 33.78 n/a 27-Mar PROSPECTOR PARTNERS LLC 036 FOR S 5,000 22.03 n/a 26-Mar ROARING BLUE LION MGMT 031 F SS 116,700 12.96 n/a 1-Apr TUDOR INVESTORS 028 GD B 4,000 69.21 n/a 22-Mar MACELLUM CAPITAL 086 GES SS 42,000 24.74 n/a 21-Mar BAIRD INVESTMENT 047 GHL B 2,702 51.87 n/a n/a TROUBH PART 070 GM B 25,000 28.08 n/a 1-Apr BAIRD INVESTMENT 047 HMSY B 4,675 27.47 n/a n/a BAIRD INVESTMENT 047 ICLR B 6,200 30.95 n/a n/a BAIRD INVESTMENT 047 ICLR B 6,200 30.95 n/a n/a	BAIRD INVESTMENT 047 FAST B 3,550 49.78	BAIRD INVESTMENT 047 FAST B 3,550 49.78

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SENATOR INVESTMENT GROUP	036	IWM	SS	450,000	93.55	n/a	n/a	13,500.00	3.0
J. GOLDMAN	028	JWN	В	10,000	54.38	n/a	6-Mar	400.00	4.0
SCOPUS FUND	041	JWN	SS	25,000	54.69	n/a	6-Mar	1,000.00	4.0
FOCUSED INVESTORS	084	KO	В	16,400	40.40	n/a	28-Mar	656.00	0.0
ELM RIDGE ADVISORS	044	KSS	S	157,300	45.68	n/a	6-Mar	6,292.00	0.0
CORVEX CAPITAL	041	LEA	S	30,000	55.78	n/a	1-Apr	900.00	0.0
LIONEYE CAPITAL	040	LEA	В	15,000	55.75	n/a	1-Apr	750.00	0.0
SCHOTTENFELD ASSOC	041	LEA	В	25,000	55.77	n/a	1-Apr	750.00	0.0
SIGMA CAPITAL ASSOCIATES	041	LTD	В	12,962	44.84	n/a	n/a	388.86	3.0
HIGHBRIDGE CAP	041	LYB	S	4,500	62.34	n/a	n/a	135.00	3.0
KINGDON ASSET MGMT	041	LYB	S	100,000	61.74	n/a	n/a	4,000.00	0.0
TUDOR INVESTORS	028	NOC	SS	5,000	69.99	n/a	11-Mar	150.00	3.0
NWQ INVESTMENT MGMT CO	084	NP	В	2,740	29.96	n/a	22-Feb	95.90	0.0
S.A.C. CAPITAL	044	OC	S	48,180	38.20	n/a	n/a	1,445.40	3.0
DSM CAPITAL PARTNERS	085	PCP	S	73,500	187.27	n/a	11-Mar	3,675.00	0.0
TUDOR INVESTORS	028	PCP	В	2,000	187.75	n/a	11-Mar	60.00	0.0
FOCUSED INVESTORS	084	PFE	В	14,000	28.74	n/a	n/a	560.00	0.0
FOCUSED INVESTORS	084	PG	В	5,500	77.62	n/a	1-Apr	220.00	0.0
	SENATOR INVESTMENT GROUP J. GOLDMAN SCOPUS FUND FOCUSED INVESTORS ELM RIDGE ADVISORS CORVEX CAPITAL LIONEYE CAPITAL SCHOTTENFELD ASSOC SIGMA CAPITAL ASSOCIATES HIGHBRIDGE CAP KINGDON ASSET MGMT TUDOR INVESTORS NWQ INVESTMENT MGMT CO S.A.C. CAPITAL DSM CAPITAL PARTNERS TUDOR INVESTORS FOCUSED INVESTORS	SENATOR INVESTMENT GROUP 036 J. GOLDMAN 028 SCOPUS FUND 041 FOCUSED INVESTORS 084 ELM RIDGE ADVISORS 044 CORVEX CAPITAL 041 LIONEYE CAPITAL 040 SCHOTTENFELD ASSOC 041 SIGMA CAPITAL ASSOCIATES 041 HIGHBRIDGE CAP 041 KINGDON ASSET MGMT 041 TUDOR INVESTORS 028 NWQ INVESTMENT MGMT CO 084 S.A.C. CAPITAL 044 DSM CAPITAL PARTNERS 085 TUDOR INVESTORS 028 FOCUSED INVESTORS 084	SENATOR INVESTMENT GROUP 036 IWM J. GOLDMAN 028 JWN SCOPUS FUND 041 JWN FOCUSED INVESTORS 084 KO ELM RIDGE ADVISORS 044 KSS CORVEX CAPITAL 041 LEA LIONEYE CAPITAL 040 LEA SCHOTTENFELD ASSOC 041 LEA SIGMA CAPITAL ASSOCIATES 041 LTD HIGHBRIDGE CAP 041 LYB KINGDON ASSET MGMT 041 LYB TUDOR INVESTORS 028 NOC NWQ INVESTMENT MGMT CO 084 NP S.A.C. CAPITAL 044 OC DSM CAPITAL PARTNERS 085 PCP TUDOR INVESTORS 028 PCP FOCUSED INVESTORS 084 PFE	SENATOR INVESTMENT GROUP 036 IWM SS J. GOLDMAN 028 JWN B SCOPUS FUND 041 JWN SS FOCUSED INVESTORS 084 KO B ELM RIDGE ADVISORS 044 KSS S CORVEX CAPITAL 041 LEA S LIONEYE CAPITAL 040 LEA B SCHOTTENFELD ASSOC 041 LEA B SIGMA CAPITAL ASSOCIATES 041 LTD B HIGHBRIDGE CAP 041 LYB S KINGDON ASSET MGMT 041 LYB S TUDOR INVESTORS 028 NOC SS NWQ INVESTMENT MGMT CO 084 NP B S.A.C. CAPITAL 044 OC S DSM CAPITAL PARTNERS 085 PCP S TUDOR INVESTORS 028 PCP B FOCUSED INVESTORS 084 PFE B	SENATOR INVESTMENT GROUP 036 IWM SS 450,000 J. GOLDMAN 028 JWN B 10,000 SCOPUS FUND 041 JWN SS 25,000 FOCUSED INVESTORS 084 KO B 16,400 ELM RIDGE ADVISORS 044 KSS S 157,300 CORVEX CAPITAL 041 LEA S 30,000 LIONEYE CAPITAL 040 LEA B 15,000 SCHOTTENFELD ASSOC 041 LEA B 25,000 SIGMA CAPITAL ASSOCIATES 041 LTD B 12,962 HIGHBRIDGE CAP 041 LYB S 4,500 KINGDON ASSET MGMT 041 LYB S 100,000 TUDOR INVESTORS 028 NOC SS 5,000 NWQ INVESTMENT MGMT CO 084 NP B 2,740 S.A.C. CAPITAL 044 OC S 48,180 DSM CAPITAL PARTNERS 085 PCP S 73,500 TUDOR INVESTORS 028 PCP B 2,000 FOCUSED INVESTORS 084 PFE B 14,000	SENATOR INVESTMENT GROUP 036 IWM SS 450,000 93.55 J. GOLDMAN 028 JWN B 10,000 54.38 SCOPUS FUND 041 JWN SS 25,000 54.69 FOCUSED INVESTORS 084 KO B 16,400 40.40 ELM RIDGE ADVISORS 044 KSS S 157,300 45.68 CORVEX CAPITAL 041 LEA S 30,000 55.78 LIONEYE CAPITAL 040 LEA B 15,000 55.75 SCHOTTENFELD ASSOC 041 LEA B 25,000 55.77 SIGMA CAPITAL ASSOCIATES 041 LTD B 12,962 44.84 HIGHBRIDGE CAP 041 LYB S 4,500 62.34 KINGDON ASSET MGMT 041 LYB S 100,000 61.74 TUDOR INVESTORS 028 NOC SS 5,000 69.99 NWQ INVESTMENT MGMT CO 084 NP B 2,740 29.96 S.A.C. CAPITAL 044 OC S 48,180 38.20 DSM CAPITAL PARTNERS 085 PCP S 73,500 187.27 TUDOR INVESTORS 028 PCP B 2,000 187.75 FOCUSED INVESTORS 084 PFE B 14,000 28.74	SENATOR INVESTMENT GROUP 036 IWM SS 450,000 93.55 n/a J. GOLDMAN 028 JWN B 10,000 54.38 n/a SCOPUS FUND 041 JWN SS 25,000 54.69 n/a FOCUSED INVESTORS 084 KO B 16,400 40.40 n/a ELM RIDGE ADVISORS 044 KSS S 157,300 45.68 n/a CORVEX CAPITAL 041 LEA S 30,000 55.78 n/a LIONEYE CAPITAL 040 LEA B 15,000 55.75 n/a SCHOTTENFELD ASSOC 041 LEA B 25,000 55.77 n/a SIGMA CAPITAL ASSOCIATES 041 LTD B 12,962 44.84 n/a HIGHBRIDGE CAP 041 LYB S 4,500 62.34 n/a KINGDON ASSET MGMT 041 LYB S 100,000 61.74 n/a TUDOR INVESTORS 028 NOC SS 5,000 69.99 n/a NWQ INVESTMENT MGMT CO 084 NP B 2,740 29.96 n/a S.A.C. CAPITAL 044 OC S 48,180 38.20 n/a DSM CAPITAL PARTNERS 085 PCP S 73,500 187.27 n/a TUDOR INVESTORS 028 PCP B 2,000 187.75 n/a FOCUSED INVESTORS 084 PFE B 14,000 28.74 n/a	SENATOR INVESTMENT GROUP 036 IWM SS 450,000 93.55 n/a n/a J. GOLDMAN 028 JWN B 10,000 54.38 n/a 6-Mar SCOPUS FUND 041 JWN SS 25,000 54.69 n/a 6-Mar FOCUSED INVESTORS 084 KO B 16,400 40.40 n/a 28-Mar ELM RIDGE ADVISORS 044 KSS S 157,300 45.68 n/a 6-Mar CORVEX CAPITAL 041 LEA S 30,000 55.78 n/a 1-Apr LIONEYE CAPITAL 040 LEA B 15,000 55.75 n/a 1-Apr SCHOTTENFELD ASSOC 041 LEA B 25,000 55.77 n/a 1-Apr SIGMA CAPITAL ASSOCIATES 041 LTD B 12,962 44.84 n/a n/a HIGHBRIDGE CAP 041 LYB S 4,500 62.34 n/a n/a KINGDON ASSET MGMT 041 LYB S 100,000 61.74 n/a n/a TUDOR INVESTORS 028 NOC SS 5,000 69.99 n/a 11-Mar NWQ INVESTMENT MGMT CO 084 NP B 2,740 29.96 n/a 22-Feb S.A.C. CAPITAL 044 OC S 48,180 38.20 n/a n/a DSM CAPITAL PARTNERS 085 PCP S 73,500 187.27 n/a 11-Mar TUDOR INVESTORS 028 PCP B 2,000 187.75 n/a 11-Mar FOCUSED INVESTORS 084 PFE B 14,000 28.74 n/a n/a	SENATOR INVESTMENT GROUP 036

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CATAPULT	040	POT	SS	31,800	39.67	n/a	n/a	1,272.00	0.0
TUDOR INVESTORS	028	PPG	В	7,000	133.85	n/a	n/a	210.00	3.0
NOMURA/ANGEL LANE PRINCIP	AL040	PVH	В	12,500	106.97	n/a	1-Apr	375.00	3.0
TUDOR INVESTORS	028	PWR	В	5,000	27.88	n/a	n/a	150.00	3.0
LOCUST WOOD CAPITAL MGMT	044	QEP	В	15,450	31.50	n/a	n/a	618.00	4.0
MERRILL ASSET/BLACKROCK	297	RE	S	7,100	129.31	n/a	n/a	284.00	0.0
SHEFFIELD ASSET MGMT	031	RKT	В	19,200	91.95	n/a	18-Mar	768.00	4.0
S.A.C. CAPITAL SURVEYOR CAPITAL	044 251	ROST ROST	B SS	50,000 45,400	59.76 59.76	n/a n/a	22-Mar 22-Mar	1,500.00 1,362.00	0.0
SURVEYOR CAPITAL	251	KU51	55		59.76	n/a			0.0
S.A.C. CAPITAL	044	SBUX	S	100,000	56.88	n/a	1-Apr	3,000.00	3.0
PROSPECTOR PARTNERS LLC	036	STFC	S	5,000	17.09	n/a	n/a	200.00	4.0
PARAMETER CAPITAL MGMT LL	C044	TEX	SS	11,343	32.90	n/a	n/a	340.29	3.0
FOCUSED INVESTORS	084	TGT	В	7,400	68.65	n/a	13-Mar	296.00	0.0
NEW VERNON	031	TLT	В	10,000	118.26	n/a	n/a	500.00	5.0
PAR CAPITAL	268	TRLA	SS	25 , 000	30.55	n/a	n/a	1,000.00	0.0
PROSPECTOR PARTNERS LLC	036	UFS	S	5,000	77.05	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FOCUSED INVESTORS	084	UNH	В	6,000	57.48	n/a	n/a	240.00 0.0	
CR INTRINIC INVESTMENT	044	URBN	В	30,000	38.68	n/a	n/a	900.00 3.0	
CATAPULT	040	VLO	SS	15,609	44.93	n/a	n/a	624.36 0.0	
TUDOR INVESTORS	028	WBC	В	5,000	68.87	n/a	27-Mar	150.00 3.0	
FOCUSED INVESTORS	084	WFC	В	12,600	36.91	n/a	21-Mar	504.00 0.0	
FOCUSED INVESTORS	084	WMT	В	13,600	75.62	n/a	22-Feb	544.00 0.0	
SCOPUS FUND	041	WSM	SS	25,000	50.60	n/a	n/a	1,000.00 4.0	
SENATOR INVESTMENT GROUP	036	XLI	SS	150,000	41.64	n/a	n/a	4,500.00 3.0	
PROSPECTOR PARTNERS LLC	036	XRX	S	10,000	8.63	n/a	n/a	400.00 4.0	

TOTAL (Trade Date): 100,533.32

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CRT	03/28	AAD CAPITAL (AADCGS)	084	FIVE	SS	10,000	37.70	n/a	28-Mar	300.00	3.0
AO,CXL	03/28	AAD CAPITAL (AADCMSPB)	084	FIVE	SS	10,000	37.70	n/a	28-Mar	300.00	3.0
AO,CXL	03/28	CAISSE DEPOT PLACEMENT Q (CDPCMAIN)	257	RFMD	S	75 , 000	5.24	n/a	n/a	3750.00	5.0
AO,CRT	03/28	CAISSE DEPOT PLACEMENT Q (CDPCMAIN)	257	RFMD	S	75,000	5.24	n/a	n/a	2250.00	3.0
AO,CRT	03/28	CLEARLINE CAPITAL LLC (CLINGS)	040	SCSS	S	20,000	19.91	n/a	n/a	600.00	3.0
40,CXL	03/28	TALPION LLC (TALPGSPB)	040	SCSS	S	20,000	19.91	n/a	n/a	600.00	0.0
TOTAL		TALPION LLC (TALPGSPB) CXL, CRT):	040	SCSS	S	20,000	19.91	n/a		•	n/a 600.00 -1,500.00

TOTAL (RUN DATE) / NFS:	99,033.32
TOTAL (AO, CXL, CRT):	-1,500.00
TOTAL (Trade Date):	100,533.32

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION