



## RR Commissions Summary [ Chris Cattani ]

Trade Date: 08/27/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (41)	041	0	0	0	0	0	0	0	0	0	0	13,476	0
ARMATURE CAPITAL	041	0	0	0	30,800	4,313	9,466	15,841	4,313	9,466	46,641	90,192	52
BLACK CAPITAL(CALAMOS ASSET)	041	0	0	0	0	0	0	5,000	0	0	5,000	0	0
BRANT POINT CAPITAL	041	0	0	0	0	0	0	10,000	0	0	10,000	10,000	100
BTIG/QUENTEC	041	0	0	0	3,360	0	0	0	0	0	3,360	0	0
CADIAN CAPITAL	041	0	20,979	24,456	24,456	0	0	0	20,979	24,456	24,456	11,550	212
CAERUS GLOBAL	041	0	4,006	10,619	39,682	0	0	0	4,006	10,619	39,682	61,691	64
CENTURION PARTNERS	041	0	5,600	16,797	34,037	0	0	0	5,600	16,797	34,037	46,987	72
COBALT	041	0	4,000	4,000	47,308	0	0	0	4,000	4,000	47,308	103,803	46
COHE & STEERS	041	0	186	186	75,909	0	0	0	186	186	75,909	74,414	102
COLUMBIA MGMT	041	0	0	0	0	0	0	15,569	0	0	15,569	5,875	265
CORVEX CAPITAL	041	0	0	0	21,792	0	0	0	0	0	21,792	31,016	70
CR INTRINSIC/CHRIS C	041	0	2,280	7,980	32,607	0	0	0	2,280	7,980	32,607	3,826	852
CSFB GLOBAL PROPRIETARY	041	0	18,400	35,150	283,853	0	0	0	18,400	35,150	283,853	425,383	67
DECADE CAPITAL (ARETE INV) CHRIS C	041	0	0	0	10,410	7,829	13,194	23,404	7,829	13,194	33,813	7,963	425
DW INVESTMENT MGMT.	041	0	0	750	750	0	0	0	0	750	750	0	0
FIRST NY SEC/CHRIS	041	0	2,000	4,050	26,037	0	0	0	2,000	4,050	26,037	34,239	76
GALT INVESTMENT	041	0	1,200	4,800	22,547	0	0	0	1,200	4,800	22,547	19,472	116
GREENLIGHT CAPITAL	041	0	18,750	18,750	18,750	0	0	25,000	18,750	18,750	43,750	25,000	175
HALCYON LLC	041	0	0	3,000	37,680	0	0	0	0	3,000	37,680	26,157	144
HIGHBRIDGE CAP	041	0	0	0	36,264	0	0	0	0	0	36,264	121,287	30
IONIC CAPITAL MANAGEMENT	041	0	0	6,873	13,530	0	0	0	0	6,873	13,530	0	0
JAT CAPITAL MANAGEMENT	041	0	0	0	0	0	0	0	0	0	0	75,412	0
JET CAPITAL/CHRIS	041	0	2,650	5,650	44,756	0	0	0	2,650	5,650	44,756	59,192	76
KAM ADVISORS LLC	041	0	13,808	13,808	80,126	0	0	0	13,808	13,808	80,126	89,699	89
KINGDON ASSET MGMT	041	0	4,400	8,600	38,800	0	0	0	4,400	8,600	38,800	117,902	33
LEVIN CAPITAL STRATEGIES	041	0	6,248	11,756	75,965	0	0	0	6,248	11,756	75,965	85,638	89
LUXOR CAPITAL	041	0	0	0	0	0	6,250	18,750	0	6,250	18,750	18,750	100
MARSHALL WACE	041	0	0	0	0	2,800	12,800	27,800	2,800	12,800	27,800	57,500	48
MILLENIU CATTANI	041	0	0	0	10,220	0	0	0	0	0	10,220	0	0
MOORE CAPITAL	041	0	0	0	12,190	0	0	0	0	0	12,190	63,167	19
OMEGA ADVISORS	041	0	18,800	18,800	70,520	0	0	0	18,800	18,800	70,520	113,355	62
P.SCHOENFELD ASSET MGMT LLC	041	0	2,000	4,000	21,560	0	0	0	2,000	4,000	21,560	12,773	169
PAULSON & CO INC	041	0	7,318	7,318	27,209	0	0	0	7,318	7,318	27,209	41,600	65
RATAN CAPITAL MGMT LP	041	0	0	0	1,800	0	0	0	0	0	1,800	318	566
SCHOTTENFELD ASSOC	041	0	0	900	4,650	0	0	0	0	900	4,650	3,450	135
SCOGGIN LLC	041	0	11,960	11,960	20,787	0	0	0	11,960	11,960	20,787	0	0
SCOPUS FUND	041	0	63,973	100,258	300,298	0	0	0	63,973	100,258	300,298	386,840	78
SIGMA CAPITAL ASSOCIATES	041	0	12,750	19,500	123,184	0	0	0	12,750	19,500	123,184	56,753	217

SIMMONS VENTURES	041	175	1,153	1,153	1,553	0	0	0	1,153	1,153	1,553	52,868	3
TEMAGAMI CAPITAL	041	0	0	0	536	0	0	0	0	0	536	9,832	5
THREE G	041	0	2,685	7,612	23,625	0	0	0	2,685	7,612	23,625	0	0
TIGER	041	0	0	0	0	0	0	15,000	0	0	15,000	0	0
TIGER GLOBAL	041	0	0	0	0	0	0	0	0	0	0	48,500	0
TOURBILLION CAPITAL	041	0	0	0	0	0	0	10,000	0	0	10,000	0	0
TOURMALINE PARTNERS CHRIS	041	0	0	0	450	0	0	0	0	0	450	3,450	13
VELAZQUEZ/MILLENNIUM	041	0	0	0	1,600	6,205	16,773	37,132	6,205	16,773	38,732	0	0
<b>TOTALS:</b>		<b>175</b>	<b>225,146</b>	<b>348,725</b>	<b>1,619,599</b>	<b>21,147</b>	<b>58,484</b>	<b>203,496</b>	<b>246,293</b>	<b>407,209</b>	<b>1,823,095</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (251)	251	0	0	0	0	0	0	0	0	0	0	-13,476	0
CAISSE DEPOT PLACEMENT Q	295	0	0	0	17,997	0	0	0	0	0	17,997	12,444	0
GUGGENHEIM GLOBAL TRADING (GGT)	251	1,491	10,175	19,852	69,636	0	0	0	10,175	19,852	69,636	23,170	0
LONE PINE MGMT	257	0	0	0	0	0	0	32,000	0	0	32,000	7,500	427
LORD ABBETT & COMPANY	098	0	0	0	0	0	0	0	0	0	0	0	0
MOORE CAPITAL	257	0	39,450	39,450	48,502	0	0	0	39,450	39,450	48,502	63,167	19
SENATOR INVESTMENT GROUP	287	450	52,871	65,272	343,451	0	0	0	52,871	65,272	343,451	0	0
SURVEYOR CAPITAL	251	0	3,037	7,581	21,100	70,000	70,000	130,000	73,037	77,581	151,100	191,311	68
VELAZQUEZ/MILLENNIUM	282	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTALS:</b>		<b>1,941</b>	<b>105,532</b>	<b>132,155</b>	<b>500,685</b>	<b>70,000</b>	<b>70,000</b>	<b>162,000</b>	<b>175,532</b>	<b>202,155</b>	<b>662,685</b>		

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