



Daily Compliance Activity Report

Trade Date: 07/29/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THE JAY GOLDMAN	034	AEO	B	20,000	14.19	6-Mar	16-Jul	800.00	4.0
TRELLUS PARTNERS LP	028	ALV	B	30,000	37.98	23-Jul	23-Jul	1,500.00	5.0
GENERAL MOTORS INV CO	040	AME	S	6,000	48.47	n/a	n/a	240.00	4.0
SINCLAIR	031	APCKQ	B	100	0.65	n/a	n/a	0.00	0.0
LEDDY	035	ARGN	B	3,200	7.33	24-Jun	17-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	B	70,000	31.21	28-Apr	11-Jul	2,800.00	4.0
COUGAR TRADING	044	ARO	SS	5,000	31.30	28-Apr	11-Jul	250.00	5.0
B BUCK CAPITAL MGMT		BBBY	C	50,000	27.99	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	BJ	C	25,000	36.87	n/a	27-Jul	1,250.00	5.0
WHITE PINE CAPITAL	030	BON TON DEPT STORES	B	100,000	54.50	n/a	n/a	500.00	0.0
WHEELER	008	BQI	B	5,000	4.58	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BWA+TH	SS	1,300	1.75	n/a	n/a	non-brg	300.0
BALYASNY ASSET MGMT	044	BWA	SS	15,000	40.75	10-Jun	17-Jul	750.00	5.0
PILOT ROCK	028	BWA	SS	67,900	40.10	10-Jun	17-Jul	2,716.00	4.0
LISTED ERR	099	BWA	B	1,800	39.89	10-Jun	17-Jul	0.00	0.0
LISTED ERR	099	BWA	SS	1,800	40.10	10-Jun	17-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
METROPOLITAN CAPITAL	044	BWS	S	35,500	15.18	n/a	n/a	1,775.00	5.0
WHEELER	008	CBIAG	S	40	4.50	n/a	n/a	0.00	0.0
KAICHER	064	CBI	S	1,000	34.06	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	CEC	SS	100,000	35.52	n/a	n/a	4,000.00	4.0
S.A.C. CAPITAL MGMT.	044	CEPH	S	25,000	72.94	24-Jun	28-Jul	1,250.00	5.0
B BUCK CAPITAL MGMT		CHUX	SS	15,749	11.27	n/a	n/a	non-brg	4.0
MS PRINCIPAL STRATEGIES	041	CLMS	SS	24,624	19.21	18-Jul	22-Jul	1,231.20	5.0
B BUCK CAPITAL MGMT		CLR	C	1,750	55.84	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CLR	SS	1,750	61.75	n/a	n/a	non-brg	1.0
CATAPULT	040	CMP	SS	5,900	67.42	n/a	n/a	295.00	5.0
THOMAS WEISEL ASSET MGMT	035	COWN	B	4,580	8.21	n/a	n/a	137.40	3.0
NEW JERSEY DIV OF INV.	220	CPB	B	195,000	36.30	n/a	n/a	7,800.00	4.0
COUGAR TRADING	044	CPKI	B	5,000	13.85	10-Jul	10-Jul	250.00	5.0
BAIRD INVESTMENT	031	CRR	S	2,650	52.03	5-Jun	24-Jul	132.50	5.0
GENERAL MOTORS INV CO	040	CYMI	B	15,000	26.82	n/a	n/a	600.00	4.0
AVENUE CAPITAL MGMT	036	C	B	100,000	16.69	19-Jun	29-Jul	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	C	B	150,000	17.34	19-Jun	29-Jul	7,500.00	5.0
⌘ BUCK CAPITAL MGMT		DECK	B	60,000	117.26	n/a	n/a	non-brg	4.0
NEW JERSEY DIV OF INV.	220	DHI	S	56,666	10.89	n/a	n/a	2,266.64	4.0
GENERAL MOTORS INV CO	040	ESV	B	4,000	69.30	n/a	n/a	160.00	4.0
THIRD COAST CAPITAL	035	ES	B	12,000	20.03	n/a	n/a	600.00	5.0
WAGONHOUND/NIC	048	EV	C	2,000	35.02	15-Jul	22-Jul	80.00	4.0
GENERAL MOTORS INV CO	040	EXPD	B	12,000	35.71	n/a	n/a	480.00	4.0
TROUBH PART	067	FRE	B	50,000	8.43	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		GCO	B	165,000	29.60	n/a	10-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GCO	B	186,680	29.86	n/a	10-Jan	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GCO	B	25,000	29.17	n/a	10-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GCO	B	28,662	29.35	n/a	10-Jan	non-brg	1.0
BUCK CAPITAL MGMT	001	GES	B	20,000	32.88	n/a	n/a	800.00	4.0
LEVIN CAPITAL STRATEGIES	012	GES	B	15,000	32.64	n/a	n/a	600.00	4.0
PRINCIPLE CAPITAL	040	GE	SS	135,500	28.17	n/a	n/a	4,065.00	3.0
⌘ BUCK CAPITAL MGMT		GIL	S	12,100	24.98	30-Apr	18-Jul	non-brg	2.0
⌘ BUCK CAPITAL MGMT		GIL	S	37,900	25.04	30-Apr	18-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GIL	S	50,000	24.88	30-Apr	18-Jul	non-brg	1.0
JP MORGAN VENTURES	046	GIL	B	10,000	25.47	30-Apr	18-Jul	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEISS PECK	040	GIL	B	9,100	24.82	30-Apr	18-Jul	455.00	5.0
J COHEN	N27	GLW	B	50	21.30	n/a	28-Jul	0.00	0.0
PAKLER	029	GMTS	B	10	2.06	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	GNTX	SS	25,000	15.72	24-Jun	28-Jul	1,250.00	5.0
LEDDY	035	GNTX	SS	200	15.75	24-Jun	28-Jul	0.00	0.0
N STRATTON	014	GOV	B	198	6.95	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	GPI	SS	9,400	20.19	10-Jun	28-Jul	470.00	5.0
NOMURA CAPITAL	045	GPI	S	5,000	20.00	10-Jun	28-Jul	250.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	15,000	20.58	10-Jun	28-Jul	750.00	5.0
EFSTATHIOU	044	GTE	B	1,000	5.18	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GYMB	S	5,000	37.42	11-Jul	11-Jul	250.00	5.0
N STRATTON	014	HEMGF	B	300	3.80	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	HSC	B	3,220	48.02	23-Apr	29-Jul	161.00	5.0
HEALY CIRCLE	044	HSC	B	4,359	46.53	23-Apr	29-Jul	217.95	5.0
BAIRD INVESTMENT	031	IO	S	8,500	15.65	n/a	n/a	425.00	5.0
WAGONHOUND/NIC	048	IVZ	B	1,174	22.37	24-Jul	24-Jul	46.96	4.0
BUCK CAPITAL MGMT	001	JCG	S	60,000	30.42	n/a	30-May	2,400.00	4.0
BEAR STEARNS ASSET MGMT.	033	JCP	B	30,000	30.45	n/a	11-Jul	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SLS CAPITAL LLC	224	JNS	SS	186,000	30.64	25-Jul	25-Jul	7,440.00	4.0
BUCK CAPITAL MGMT	001	JNY	B	100,000	14.88	n/a	25-Jul	4,000.00	4.0
THE JAY GOLDMAN	034	JWN	B	30,000	28.94	10-Jul	11-Jul	1,200.00	4.0
N STRATTON	014	KFT	B	198	31.47	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	KSS	B	100,000	41.40	n/a	17-Jul	4,000.00	4.0
⚡ BUCK CAPITAL MGMT		KSS	S	100,000	41.42	n/a	17-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		KSS	S	200,000	41.31	n/a	17-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		KSS	S	250,000	41.06	n/a	17-Jul	non-brg	4.0
COUGAR TRADING	044	KSS	S	10,000	41.76	n/a	17-Jul	500.00	5.0
LEDDY	035	LEH	B	700	15.22	9-Jun	14-Jul	0.00	0.0
N STRATTON	014	LNET	B	350	3.75	n/a	n/a	0.00	0.0
WAGONHOUND/NIC	048	LPX	S	11,885	9.30	7-Apr	11-Jul	356.55	3.0
JP MORGAN VENTURES	046	LTD	C	40,000	16.62	n/a	23-Jul	2,000.00	5.0
WEINTRAUB CAPITAL MGT.	040	LZ	B	25,000	45.64	n/a	n/a	1,000.00	4.0
HIGHBRIDGE CAPITAL	038	MCRS	SS	36,128	32.07	n/a	n/a	1,806.40	5.0
GENERAL MOTORS INV CO	040	MDY	S	6,000	144.61	n/a	n/a	240.00	4.0
⚡ BUCK CAPITAL MGMT		MER	B	50,000	22.50	24-Jun	29-Jul	non-brg	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		MER	B	70,000	22.96	24-Jun	29-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MER	B	80,000	24.47	24-Jun	29-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		MER	S	40,000	26.34	24-Jun	29-Jul	non-brg	1.0
FORD FDN.	032	MER	B	75,000	23.92	24-Jun	29-Jul	3,750.00	5.0
NATIONAL BK OF CAN	031	MER	B	15,000	22.62	24-Jun	29-Jul	600.00	4.0
NATIONAL BK OF CAN	031	MER	SS	15,000	24.49	24-Jun	29-Jul	600.00	4.0
TROUBH PART	067	MS	S	50,000	36.13	n/a	14-Jul	2,500.00	5.0
LEDDY	035	MS	B	300	34.39	n/a	14-Jul	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	M	S	515,800	18.03	14-Jul	14-Jul	19,342.50	3.8
THE JAY GOLDMAN	034	M	S	50,000	18.40	14-Jul	14-Jul	2,000.00	4.0
SINCLAIR	031	NBRLJ	B	73	1.00	n/a	n/a	0.00	0.0
GENERAL MOTORS INV CO	040	NOV	B	4,000	75.27	n/a	n/a	160.00	4.0
⌘ BUCK CAPITAL MGMT		NP	S	1,269	19.16	n/a	11-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		NP	S	3,802	19.28	n/a	11-Jul	non-brg	4.0
NEUBERGER BERMAN	036	NRF	S	181,700	8.65	n/a	n/a	8,176.50	4.5
CENTURION GLOBAL MGMT LL	041	ORCL	B	1,200	21.47	n/a	n/a	36.00	3.0
Õ Joel Tiss		PFE	S	5397	18.41	n/a	31-Mar	0.00	0.0
SUFFOLK CAPITAL MGMT	040	PG	B	200,000	64.89	24-Jun	18-Jul	8,000.00	4.0
GENERAL MOTORS INV CO	040	POT	B	2,000	196.55	n/a	n/a	80.00	4.0
CR INTRINIC INVESTMENT	044	PRX	S	43,413	17.36	n/a	28-Jul	2,170.65	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		PSUN	S	100,000	8.36	n/a	11-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		PSUN	S	200,000	8.50	n/a	11-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		PSUN	S	250,000	8.52	n/a	11-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		PSUN	S	50,000	8.54	n/a	11-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		PSUN	S	975	8.49	n/a	11-Jul	non-brg	1.0
WHEELER	008	QXWKX	S	100	2.30	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RCII	S	75,000	20.52	n/a	n/a	non-brg	1.0
HIGHBRIDGE/MS OLDS	041	RIO	SS	25,000	28.39	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		S4RETL	SS	17,500	323.35	n/a	n/a	non-brg	32.3
ß BUCK CAPITAL MGMT		S6RETL	SS	30,000	175.09	n/a	n/a	non-brg	17.5
EFSTATHIOU	044	SBLK	B	500	10.36	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	SGP	B	110,000	19.81	n/a	n/a	5,500.00	5.0
CASEY CAPITAL	067	SINT	B	12,552	20.35	n/a	n/a	502.08	4.0
ß BUCK CAPITAL MGMT		SKF	B	16,800	124.59	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKF	S	28,700	139.69	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	B	150,000	18.73	n/a	13-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		SKX	B	225,000	18.34	n/a	13-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		SKX	B	30,004	18.48	n/a	13-Mar	non-brg	1.0
GEOSPHERE CAPITAL MGMT	044	SLB	S	100,000	95.99	n/a	n/a	3,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRENCOURT	028	SOA	SS	40,000	15.13	n/a	n/a	2,000.00	5.0
GLG PARTNERS	034	SPLS	SS	50,000	22.54	n/a	27-Jul	2,500.00	5.0
WHEELER	008	SPNC	B	3,000	9.37	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SPY	C	178,600	124.97	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SSCC	S	210,000	5.34	30-Jul	11-Jul	non-brg	4.0
SINCLAIR	031	SWNLF	B	25	6.50	n/a	n/a	0.00	0.0
NEW JERSEY DIV OF INV.	220	TAP	B	180,000	55.46	n/a	n/a	7,200.00	4.0
ß BUCK CAPITAL MGMT		TEN	C	25,000	13.01	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TEN	C	95,100	12.65	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	TJX	B	100,000	33.97	n/a	11-Jul	4,000.00	4.0
ß BUCK CAPITAL MGMT		TRLG	S	10,000	26.49	n/a	n/a	non-brg	4.0
KENNEDY CAPITAL	046	TSFG	B	3,900	5.35	n/a	n/a	117.00	3.0
DANIELS	N25	UQM	S	1,000	2.17	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		URBN	B	50,000	31.56	15-May	9-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		UWY+TQ	C	1,075	2.29	n/a	n/a	non-brg	300.0
TRELLUS PARTNERS LP	028	VC	B	56,700	2.36	n/a	17-Jul	1,701.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	VMI	SS	900	106.40	n/a	n/a	36.00	4.0
CR INTRINIC INVESTMENT	044	VRX	S	40,000	17.69	n/a	n/a	2,000.00	5.0
B BUCK CAPITAL MGMT		WBC+II	SS	850	2.72	n/a	n/a	non-brg	300.0
PHILADELPHIA FINANCIAL	033	WDR	S	39,752	33.52	15-Jul	29-Jul	1,590.08	4.0
FRONTPOINT CHRIS C	041	WMI	B	3,700	35.97	n/a	n/a	148.00	4.0
JP MORGAN VENTURES	046	WMT	B	15,000	56.46	30-Jun	11-Jul	750.00	5.0
BUCK CAPITAL MGMT	001	WRC	B	10,000	40.74	13-May	18-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	WSM	SS	50,000	17.86	n/a	n/a	2,000.00	4.0
CR INTRINIC INVESTMENT	044	WYE	S	104,000	44.77	n/a	31-Mar	5,200.00	5.0
B BUCK CAPITAL MGMT		WYNN	C	107,500	95.01	n/a	n/a	non-brg	2.0
OMEGA ADVISORS	041	XL	B	250,000	16.59	n/a	n/a	10,000.00	4.0
SYSTEMATIC FINANCIAL MGT	035	XOM	S	100,000	80.42	n/a	n/a	3,750.00	3.8
B BUCK CAPITAL MGMT		XRT	C	150,000	29.85	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		XRT	C	200,000	29.90	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		XRT	C	400,000	29.66	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		XRT	SS	133,700	29.78	n/a	n/a	non-brg	2.0
COUGAR TRADING	044	ZQK	B	40,000	7.66	n/a	9-Jul	1,600.00	4.0

TOTAL (Trade Date):

184,657.41

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	07/28	TRELLUS PARTNERS LP	028	BWA	C	10,000	38.86	10-Jun	17-Jul	500.00	5.0
AO,CXL	07/28	TRELLUS PARTNERS LP	028	BWA	C	10,000	38.86	10-Jun	17-Jul	500.00	5.0
AO,CRT	07/25	PROSPECTOR PARTNERS LLC	036	IVZ	B	2,800	22.07	24-Jul	24-Jul	140.00	5.0
AO,CXL	07/25	PROSPECTOR PARTNERS LLC	036	IVZ	B	12,600	22.07	24-Jul	24-Jul	504.00	4.0
AO,CRT	07/25	PROSPECTOR PARTNERS LLC	036	IVZ	B	9,800	22.07	24-Jul	24-Jul	490.00	5.0
AO,CXL	07/22	EMP ERROR	099	JCG	B	400	30.47	n/a	30-May	0.00	0.0
AO,CRT	07/22	EMP ERROR	099	JCG	B	400	30.08	n/a	30-May	0.00	0.0
AO,CRT	07/22	LEDDY	035	JCG	S	400	30.08	n/a	30-May	0.00	0.0
AO,CXL	07/22	LEDDY	035	JCG	S	400	30.47	n/a	30-May	0.00	0.0

TOTAL (AO, CXL, CRT) :**126.00**

TOTAL (Trade Date) :

184,657.41

TOTAL (AO, CXL, CRT) :

126.00

TOTAL (RUN DATE) / NFS:**184,783.41****MRI Securities with Trade Activity on 29-Jul.**
NONE

MRI Securities without Trade Activity on 29-Jul.

BYD, CL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 13%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION