



# Daily Compliance Activity Report

Trade Date: 08/06/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAN	SS	25,000	29.89	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		AAN	SS	25,000	29.89	n/a	n/a	non-brg	1.0
CORVEX CAPITAL	041	AIG	S	20,000	32.30	n/a	n/a	600.00	0.0
BUCK CAPITAL MGMT		ASNA	S	10,000	17.68	n/a	n/a	non-brg	4.0
G WEISS /IAN	025	ATI	B	21,037	30.76	n/a	3-Aug	841.48	0.0
ROSEDALE CAPITAL	046	AXL	S	30,000	10.54	n/a	30-Jul	1,200.00	4.0
WOOSTER CAPITAL	035	AXL	S	50,000	10.72	n/a	30-Jul	2,500.00	5.0
CAROL ANNE LACHENAU	FID	BACPRC	B	70	25.08	n/a	n/a	0.00	0.0
BASSWOOD PARTNERS	267	BGC	B	9,400	26.65	n/a	n/a	376.00	0.0
BUCK CAPITAL MGMT		BKW	SS	38,000	15.24	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BKW	SS	38,000	15.24	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BYI	SS	29,000	43.41	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BYI	SS	29,000	43.41	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CFR VX	C	100,000	61.61	n/a	n/a	non-brg	9.2
SANDLER CAPITAL	028	CHD	S	90,000	55.97	n/a	8-May	4,500.00	0.0
FRANCIS MICHAEL MCCA	FID	COH	B	200	55.01	n/a	1-Aug	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		COST	S	10,000	96.62	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	COST	B	20,000	96.88	n/a	n/a	800.00	4.0
BALYASNY ASSET MGMT	044	DDS	S	10,000	69.05	n/a	n/a	500.00	0.0
BRONSON POINT	036	DE	SS	25,000	78.65	n/a	11-Jul	750.00	0.0
⌘ BUCK CAPITAL MGMT		DG	B	10,000	51.57	n/a	27-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DNKN	SS	85,000	29.97	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		DNKN	SS	85,000	29.97	n/a	n/a	non-brg	1.0
Ⓕ FRANCIS MICHAEL MCCA	FID	DOW	B	350	30.04	n/a	27-Jul	0.00	0.0
BALYASNY ASSET MGMT	044	DSW	SS	10,000	59.73	n/a	11-Feb	500.00	0.0
FORTRESS INVESTMENT (US)	036	ETN	B	18,000	44.71	n/a	25-Jul	720.00	0.0
SYMPHONY ASSET	033	GES	SS	15,410	29.36	n/a	18-Jul	462.30	0.0
⌘ BUCK CAPITAL MGMT		GME	SS	200,000	16.01	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GME	SS	200,000	16.01	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HAS	SS	75,000	36.46	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HAS	SS	75,000	36.46	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HBI	S	30,000	31.66	n/a	1-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HCA	B	40,000	24.92	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		HD	SS	75,000	52.00	n/a	7-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HD	SS	75,000	52.00	n/a	7-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		H	SS	27,500	37.88	n/a	13-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		H	SS	27,500	37.88	n/a	13-Jun	non-brg	1.0
CRAMER ROSENTHAL	070	IGT	S	450	11.19	n/a	13-Jun	18.00	0.0
CSFB GLOBAL PROPRIETARY	041	IP	B	25,000	32.65	n/a	27-Jul	1,250.00	5.0
FORTRESS INVESTMENT (US)	036	ITW	SS	28,000	55.81	n/a	n/a	1,120.00	0.0
⌘ BUCK CAPITAL MGMT		IWM	SS	50,000	79.18	n/a	n/a	non-brg	2.0
SCOPUS FUND	041	JNY	B	88,163	10.94	n/a	26-Jul	3,526.52	4.0
SIMMONS VENTURES	041	JNY	SS	15,000	10.80	n/a	26-Jul	600.00	4.0
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	14,800	108.23	n/a	n/a	non-brg	8.7
⌘ BUCK CAPITAL MGMT		JPCMSBCM	C	18,074	108.23	n/a	n/a	non-brg	8.7
SCOPUS FUND	041	JWN	SS	50,000	54.45	n/a	3-Aug	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		KR	SS	175,000	22.46	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		KR	SS	175,000	22.46	n/a	n/a	non-brg	1.0
MILLRACE FUND LP	028	LEA	B	6,000	37.64	n/a	3-Aug	240.00	4.0
OZ MGMT	040	LEA	S	25,000	38.46	n/a	3-Aug	750.00	0.0
BALYASNY ASSET MGMT	044	LNCE	SS	10,000	22.98	n/a	n/a	500.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		LOW	SS	155,000	25.41	n/a	22-May	non-brg 1.0
ß BUCK CAPITAL MGMT		LOW	SS	155,000	25.41	n/a	22-May	non-brg 1.0
ß BUCK CAPITAL MGMT		LTD	S	30,000	49.53	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		LULU	SS	70,000	56.26	n/a	7-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		LULU	SS	70,000	56.26	n/a	7-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		MAS	SS	310,000	12.57	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		MAS	SS	310,000	12.57	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		MDT	B	10,000	39.95	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	451,732	108.41	n/a	n/a	non-brg 6.5
ß BUCK CAPITAL MGMT		MSMSBCM	C	537,881	108.37	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	537,881	108.37	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	541,000	108.41	n/a	n/a	non-brg 6.5
ß BUCK CAPITAL MGMT		MSMSBCM	SS	537,881	108.41	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		MSMSBCM	SS	537,881	108.41	n/a	n/a	non-brg 0.0
SCOPUS FUND	041	M	B	25,000	36.94	n/a	3-Aug	1,000.00 4.0
ß BUCK CAPITAL MGMT		NLSN	SS	60,000	29.02	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		NLSN	SS	60,000	29.02	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		NUAN	SS	185,000	22.39	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		NUAN	SS	185,000	22.39	n/a	n/a	non-brg 1.0
OMEGA ADVISORS	041	OCN	S	50,000	22.30	n/a	n/a	2,000.00 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		PLCE	B	50,000	51.04	n/a	5-Jun	non-brg	2.5
STANDARD PACIFIC CAPITAL	084	RAX	B	220,000	48.30	n/a	n/a	11,000.00	5.0
BUCK CAPITAL MGMT		RCII	SS	27,500	35.69	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		RCII	SS	27,500	35.69	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		RGS	SS	57,644	17.08	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		RGS	SS	57,664	17.08	n/a	n/a	non-brg	1.0
SENATOR INVESTMENT GROUP	036	RRC	B	50,000	63.56	n/a	23-Jul	1,500.00	3.0
BUCK CAPITAL MGMT		RUE	SS	18,000	25.10	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		RUE	SS	18,000	25.10	n/a	n/a	non-brg	1.0
SYMPHONY ASSET	033	SBUX	B	14,070	43.78	n/a	27-Jul	422.10	0.0
BUCK CAPITAL MGMT		SGMS	SS	31,000	8.35	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		SGMS	SS	31,000	8.35	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		SKUL	S	10,000	15.27	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SKX	SS	60,000	19.60	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		SKX	SS	60,000	19.60	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		SMG	SS	47,000	39.76	n/a	13-Jun	non-brg	1.0
BUCK CAPITAL MGMT		SMG	SS	47,000	39.76	n/a	13-Jun	non-brg	1.0
BUCK CAPITAL MGMT		SPLS	SS	310,000	13.08	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		SPLS	SS	310,000	13.08	n/a	n/a	non-brg	1.0
	BASIX	033	SPR	B	17,200	24.33	n/a	3-Aug	516.00	3.0
ß	BUCK CAPITAL MGMT		SPY	SS	30,000	139.68	n/a	n/a	non-brg	2.0
f	IAN L MILLER	FID	SPY	S	20	140.03	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		SWY	SS	200,000	15.83	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		SWY	SS	200,000	15.83	n/a	n/a	non-brg	1.0
	KLEINHEINZ CAP PART	031	SWY	B	100,000	15.59	n/a	n/a	5,000.00	5.0
ß	BUCK CAPITAL MGMT		SYI	SS	60,000	29.13	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		SYI	SS	60,000	29.13	n/a	n/a	non-brg	1.0
	CAPITAL RESEARCH GROUP		296 UAL	B	240,000	18.32		n/a	n/a	8,400.00 0.0
ß	BUCK CAPITAL MGMT		URBN	SS	20,000	30.40	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		URBN	SS	20,000	30.40	n/a	n/a	non-brg	1.0
	FORTRESS INVESTMENT (US)	036	UTX	SS	12,000	77.09	n/a	n/a	480.00	0.0
ß	BUCK CAPITAL MGMT		VCI	SS	9,000	23.20	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		VCI	SS	9,000	23.20	n/a	n/a	non-brg	1.0
	BALYASNY ASSET MGMT	044	VRA	SS	20,000	22.55	n/a	n/a	1,000.00	0.0
ß	BUCK CAPITAL MGMT		WMS	SS	50,000	18.26	n/a	13-Jun	non-brg	1.0
ß	BUCK CAPITAL MGMT		WMS	SS	50,000	18.26	n/a	13-Jun	non-brg	1.0
ß	BUCK CAPITAL MGMT		WSM	SS	50,000	35.31	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß	BUCK CAPITAL MGMT		WSM	SS	50,000	35.31	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		WWW	SS	55,000	45.53	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		WWW	SS	55,000	45.53	n/a	n/a	non-brg 1.0
TOTAL (Trade Date):									55,072.40

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									55,072.40
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									55,072.40
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 6-Aug.  
NONE

MRI Securities without Trade Activity on 6-Aug.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)