



Daily Compliance Activity Report

Trade Date: 07/01/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAN+HF [AAN]	C	62	2.30	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		AAN	S	4,217	30.03	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		AAPL	S	6,497	144.14	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ADS GR	SS	20,000	38.40	n/a	n/a	non-brg	5.8
B BUCK CAPITAL MGMT		AEO	S	13,899	14.41	25-Jun	25-Jun	non-brg	4.0
f DAVID GEORGE BUCK	FID	AETVX	B	15	1.55	n/a	n/a	0.00	0.0
AELTUS/ING INVESTMENT MGT	215	ALGT	B	36,100	39.10	n/a	n/a	1,263.50	3.5
B BUCK CAPITAL MGMT		ALV+UW[ALV]	C	29	0.22	n/a	n/a	non-brg	100.0
f JOSEPH CIRO AMATURO	FID	AMAG	B	2000	55.91	n/a	n/a	0.00	0.0
MELLON/BANK OF NEW YORK	046	AMGN	B	17,140	53.07	n/a	26-Jan	857.00	5.0
B BUCK CAPITAL MGMT		ANF	C	4,745	26.24	3-Jun	18-Jun	non-brg	4.0
CIRCLE T/SES PART	035	AN	S	20,000	17.89	10-Jun	10-Jun	800.00	4.0
HOLLIS CAPITAL	033	AN	SS	15,000	17.95	10-Jun	10-Jun	750.00	5.0
STRAUSS ASSET MGMT	040	AN	B	25,000	18.12	10-Jun	10-Jun	1,000.00	4.0
PZENA INV ADV	044	APA	B	10,700	73.10	3-Feb	1-Jul	374.52	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		APP	S	24,774	3.30	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		APV+GH[AAPL]	C	9	6.15	n/a	n/a	non-brg	300.0
APEX CAPITAL MGMT.	033	ARO	SS	11,200	35.54	n/a	5-Jun	448.00	4.0
⚡ BUCK CAPITAL MGMT		ARO	S	13,392	35.40	n/a	5-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		AVP+SC[AVP]	C	44	0.05	n/a	n/a	non-brg	100.0
APEX CAPITAL MGMT.	033	AVP	SS	27,700	26.60	2-Jun	2-Jun	1,108.00	4.0
⚡ BUCK CAPITAL MGMT		AVP	C	10,152	26.62	2-Jun	2-Jun	non-brg	4.0
f CICYLY S BACKUS	FID	AXL	B	10000	3.55	10-Jun	10-Jun	0.00	0.0
CIRCLE T/SES PART	035	AXL	S	50,000	3.50	10-Jun	10-Jun	1,500.00	3.0
DSG CAPITAL	225	AXL	B	700	3.47	10-Jun	10-Jun	21.00	3.0
NEWCASTLE FUNDS	255	AXL	S	30,000	3.55	10-Jun	10-Jun	1,200.00	4.0
APEX CAPITAL MGMT.	033	A	S	46,600	20.85	n/a	n/a	1,864.00	4.0
APEX CAPITAL MGMT.	033	BAC	S	83,500	13.43	11-May	30-Jun	3,340.00	4.0
⚡ BUCK CAPITAL MGMT		BBBY	SS	1,407	31.05	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BBY	S	6,228	34.15	n/a	27-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BHQ+TR[BBBY]	C	57	1.00	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		BHQ+TS[BBBY]	C	85	0.40	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BHQ+TX[BBBY]	C	114	0.15	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	BIG	B	10,000	21.09	28-May	24-Jun	400.00	4.0
⌘ BUCK CAPITAL MGMT		BIG	S	2,859	21.16	28-May	24-Jun	non-brg	4.0
JP MORGAN VENTURES	046	BJ	SS	20,000	32.31	n/a	27-Jul	1,000.00	5.0
RBC CAPITAL MARKETS	040	BJ	B	10,000	32.45	n/a	27-Jul	400.00	4.0
⌘ BUCK CAPITAL MGMT		BKS	C	21,858	21.26	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	046	BRCM	S	52,800	25.27	n/a	n/a	2,640.00	5.0
METROPOLITAN CAPITAL	044	BWA	SS	12,600	34.87	10-Jun	10-Jun	0.00	0.0
NEWCASTLE FUNDS	255	BWA	S	32,500	34.79	10-Jun	10-Jun	1,300.00	4.0
⌘ BUCK CAPITAL MGMT		BYI	S	13,442	30.66	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CAB	C	4,564	12.36	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CACH	S	3,700	3.94	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		CAT	C	4,690	34.00	n/a	10-Jun	non-brg	4.0
PZENA INV ADV	044	CA	B	7,600	17.50	n/a	n/a	266.03	3.5
PROSPECTOR PARTNERS LLC	036	CBT	B	10,000	12.98	n/a	22-Jun	400.00	4.0
⌘ BUCK CAPITAL MGMT		CCL	C	2,498	26.10	n/a	26-Jan	non-brg	4.0
CONIFER/ISF	025	CCL	B	5,000	26.18	n/a	26-Jan	250.00	5.0
⌘ BUCK CAPITAL MGMT		CHRS	S	45,245	3.76	n/a	22-Mar	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CLX	C	4,275	56.35	15-Jun	15-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		CMG	C	1,231	81.38	14-May	11-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		COCO	C	2,856	16.78	n/a	n/a	non-brg	4.0
COOKBIELER	028	COCO	S	75,000	16.76	n/a	n/a	3,000.00	4.0
ß BUCK CAPITAL MGMT		COH	S	9,720	27.70	n/a	22-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		COLM	C	12,737	31.38	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	033	COST	B	22,600	45.99	n/a	27-Jul	904.00	4.0
ß BUCK CAPITAL MGMT		COST	C	2,329	46.24	n/a	27-Jul	non-brg	4.0
f EDWARD W WHEELER	FID	COV	S	3000	36.74	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CRI	S	2,368	24.99	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CRM	C	2,970	39.19	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CRM	SS	20,000	38.25	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CSTR	C	2,373	27.44	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CTAS	C	2,980	23.27	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CTRN	C	1,492	25.88	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	CVGI	B	4000	1.47	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CVS	S	6,263	31.75	n/a	n/a	non-brg	4.0

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PROSPECTOR PARTNERS LLC	036	CWEI	B	10,000	18.52	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		CWTR	S	19,146	6.49	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		CXJ+TN[CAT]	C	42	0.10	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		DAI	C	3,845	37.48	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DAI	SS	10,000	37.41	n/a	n/a	non-brg	4.0
CIRCLE T/SES PART	035	DAI	SS	10,000	37.28	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		DECK	S	3,495	70.46	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DIN	S	1,603	32.73	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	DIN	S	10,000	32.73	n/a	n/a	400.00	4.0
MELLON/BANK OF NEW YORK	046	DLR	S	55,625	36.00	n/a	n/a	2,781.25	5.0
DALTON GREINER	012	DLTR	S	9,650	42.56	8-May	9-Jun	482.50	5.0
⌘ BUCK CAPITAL MGMT		DPZ	S	2,498	7.67	n/a	24-Apr	non-brg	3.0
⌘ BUCK CAPITAL MGMT		EAT	C	4,517	17.82	n/a	24-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		EDU	C	3,441	65.99	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		EL	C	2,995	33.36	5-May	5-May	non-brg	4.0
THIRD COAST CAPITAL	035	EXLS	B	8,000	11.48	n/a	n/a	400.00	5.0
MELLON/BANK OF NEW YORK	046	FCX	B	9,165	50.69	n/a	n/a	458.25	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FDO+SF [FDO]	C	30	2.05	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		FDO	C	2,499	28.52	5-Mar	9-Jun	non-brg	4.0
THOMAS WEISEL ASSET MGMT	035	FEIC	S	3,639	23.58	n/a	n/a	109.17	3.0
f KAREN F LEDDY	FID	FIG	S	3300	3.32	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FINL	S	10,014	7.42	n/a	28-Mar	non-brg	3.0
ß BUCK CAPITAL MGMT		FL	SS	4,896	10.42	27-Apr	26-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		FMQ+TB [WFMI]	C	89	0.27	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FMQ+TI [WFMI]	C	40	0.04	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FMQ+TU [WFMI]	C	95	0.09	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FMQ+TY [WFMI]	C	44	0.03	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FOSL	S	39,162	24.72	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		FO	C	3,943	34.97	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FUA+IE [FOSL]	C	29	2.15	n/a	n/a	non-brg	300.0
CIRCLE T/SES PART	035	F	S	85,000	6.23	n/a	30-Jun	3,400.00	4.0
DIAMONDBACK CAP MGMT	232	F	S	350,000	6.24	n/a	30-Jun	11,500.00	3.5
OZ MGMT	040	F	SS	200,000	5.94	n/a	30-Jun	6,000.00	3.0

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ß BUCK CAPITAL MGMT		GCO	B	20,000	18.78	n/a	10-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		GCO	S	24,799	18.73	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	S	35,336	26.48	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GE	C	9,106	11.86	n/a	n/a	non-brg	4.0
SUN AMERICA ASSET MGMT	030	GE	S	5,500	11.67	n/a	n/a	220.00	4.0
MELLON/BANK OF NEW YORK	046	GILD	B	7,510	47.22	n/a	n/a	375.50	5.0
ß BUCK CAPITAL MGMT		GIL	B	20,000	14.97	25-Jun	25-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	S	31,300	15.01	25-Jun	25-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		GPS	S	16,475	16.28	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		GQU+HE [GYMB]	C	63	11.04	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		GQU+HF [GYMB]	C	57	6.69	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		GQU+HG [GYMB]	C	29	3.30	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		GQU+HI [GYMB]	C	29	0.35	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GRMN	C	9,456	24.25	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GSIC	S	11,514	14.36	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	GSSE	B	12	0.42	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	GT	B	50,000	11.53	n/a	n/a	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		GYMB	S	13,156	35.82	21-May	5-Jun	non-brg	4.0
BUCK CAPITAL MGMT		GYMB	S	20,000	35.83	21-May	5-Jun	non-brg	4.0
RBC CAPITAL MARKETS	040	GYMB	B	10,000	35.42	21-May	5-Jun	400.00	4.0
BUCK CAPITAL MGMT		HBI+JD[HBI]	C	29	0.65	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		HBI	B	20,000	15.34	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HBI	S	22,869	15.43	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HD+TJ[HD]	C	31	0.16	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		HD	C	7,184	23.79	12-May	20-May	non-brg	4.0
BUCK CAPITAL MGMT		HGG	S	5,334	15.80	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	HIBB	SS	14,120	18.27	n/a	n/a	706.00	5.0
APEX CAPITAL MGMT.	033	HOG	C	92,800	16.45	n/a	n/a	3,712.00	4.0
BUCK CAPITAL MGMT	001	HOG	SS	30,000	16.46	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT		HOG	C	17,043	16.55	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HOTT	C	50,000	7.47	n/a	30-Jan	non-brg	3.0
BUCK CAPITAL MGMT		HOTT	C	8,671	7.50	n/a	30-Jan	non-brg	3.0
BUCK CAPITAL MGMT		HOT	C	9,996	21.21	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	099	HPQ	B	67,945	39.39	n/a	n/a	3,397.25	5.0
LISTED ERR	099	HPQ	B	90	38.88	n/a	n/a	0.00	0.0
LISTED ERR	099	HPQ	S	90	38.70	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	033	HP	C	11,800	30.60	n/a	n/a	472.00	4.0
⚡ BUCK CAPITAL MGMT		ICON	S	38,181	15.56	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		IDXX	C	3,181	46.70	n/a	22-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		IGT	S	13,246	15.80	n/a	27-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		IMAX	S	8,223	8.12	n/a	n/a	non-brg	3.0
JENNISON	032	INTC	B	96,300	16.99	n/a	n/a	3,563.10	3.7
f JOSEPH CIRO AMATURO	FID	INTC	S	5000	17.25	n/a	n/a	0.00	0.0
NEWLAND CAPITAL MGT	067	IP	S	10,000	15.62	23-Jun	23-Jun	400.00	4.0
f ROBERT M DANIELS	FID	IVZ	S	100	18.00	5-Jun	30-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	26.58	n/a	30-Jan	400.00	4.0
DIAMONDBACK CAP MGMT	232	JEF	SS	10,000	21.26	n/a	n/a	500.00	5.0
f JOSEPH CIRO AMATURO	FID	JNK	B	1000	34.63	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		JNY+HB[JNY]	C	29	1.65	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		JNY+HU[JNY]	C	199	3.51	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		JNY	S	25,722	10.78	n/a	30-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		JOSB	S	9,958	34.85	n/a	n/a	non-brg	4.0

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BUCK CAPITAL MGMT		JOZ+TC[HOG]	C	29	1.00	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		JWN+VV[JWN]	C	57	0.40	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		JWN	C	3,883	20.36	20-Feb	5-Jun	non-brg	4.0
RBC CAPITAL MARKETS	040	JWN	B	10,000	20.05	20-Feb	5-Jun	400.00	4.0
CICILY S BACKUS	FID	KG	B	3000	9.70	n/a	30-Jun	0.00	0.0
CICILY S BACKUS	FID	KG	B	5000	9.70	n/a	30-Jun	0.00	0.0
MELLON/BANK OF NEW YORK	046	KO	B	5,690	49.50	n/a	n/a	284.50	5.0
BUCK CAPITAL MGMT		KSS+GI[KSS]	C	30	0.60	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		KSS	S	18,685	43.29	8-May	5-Jun	non-brg	4.0
MELLON/BANK OF NEW YORK	046	K	B	7,470	47.62	n/a	n/a	373.50	5.0
CICILY S BACKUS	FID	LAD	B	3000	9.55	29-Apr	29-Apr	0.00	0.0
BUCK CAPITAL MGMT		LJJ+IB[LVS]	C	32	0.60	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		LJJ+IK[LVS]	C	32	0.40	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		LJJ+IU[LVS]	C	29	1.45	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		LL+HW[LL]	C	29	0.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		LL	S	32,928	15.89	n/a	n/a	non-brg	4.0

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BUCK CAPITAL MGMT		LOGM	S	1,000	20.00	n/a	n/a	non-brg	2.5
T. ROWE PRICE	033	LOW	B	60,800	19.55	12-May	18-May	2,432.00	4.0
BUCK CAPITAL MGMT		LTD+HB[LTD]	C	94	2.65	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		LTD	S	9,192	12.42	n/a	30-Jan	non-brg	4.0
STADIA CAPITAL	035	LTD	SS	39,500	12.29	n/a	30-Jan	1,580.00	4.0
BUCK CAPITAL MGMT		LVS	S	7,688	7.82	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		MAR	C	6,130	21.25	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		MGM	C	1,752	6.41	n/a	6-May	non-brg	3.0
BUCK CAPITAL MGMT		MHK	C	3,724	36.28	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		MNRO	S	4,410	25.96	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	033	MNTA	B	9,300	11.95	n/a	n/a	372.00	4.0
BUCK CAPITAL MGMT		MOV	C	866	10.43	n/a	n/a	non-brg	4.0
HOLDEN CAPITAL MGMT	035	MPEL	SS	100,000	4.59	23-Jun	23-Jun	5,000.00	5.0
APEX CAPITAL MGMT.	033	MSFT	S	114,200	24.14	n/a	3-Apr	4,568.00	4.0
BUCK CAPITAL MGMT		MW+TC[MW]	C	86	0.35	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		MW+TV[MW]	C	29	0.15	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	MWO	B	1,000	18.08	n/a	n/a	50.00	5.0
APEX CAPITAL MGMT.	033	MWW	S	91,200	12.08	n/a	n/a	3,648.00	4.0
⌘ BUCK CAPITAL MGMT		MW	C	3,918	20.08	n/a	n/a	non-brg	4.0
DIAMONDBACK CAP MGMT	232	NEM	B	40,000	42.54	n/a	n/a	2,000.00	5.0
⌘ BUCK CAPITAL MGMT		NILE	C	2,357	43.38	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		NKE+GK [NKE]	C	31	0.40	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		NKE	C	1,230	52.78	n/a	n/a	non-brg	4.0
STADIA CAPITAL	035	NOK	SS	30,000	14.90	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		OC	C	1,989	12.85	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	033	OEH	S	55,600	8.32	n/a	n/a	1,668.00	3.0
⌘ BUCK CAPITAL MGMT		OXM	SS	1,748	11.69	n/a	n/a	non-brg	4.0
SUN AMERICA ASSET MGMT	030	PAYX	B	5,400	25.27	n/a	n/a	216.00	4.0
SIGMA CAPITAL ASSOCIATES	041	PBY	SS	25,000	10.66	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		PDCO	C	4,011	21.88	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	046	PEP	B	14,030	56.50	n/a	n/a	701.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PERY	S	18,603	7.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PERY	S	33,033	7.46	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PERY	S	500	7.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PFCB	C	11,807	33.39	29-May	12-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		PFCB	C	55,000	33.33	29-May	12-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		PFCB	C	70,000	33.08	29-May	12-Jun	non-brg	4.0
PNC/MERCANTILE	028	PFE	S	21,540	14.88	n/a	31-Mar	861.60	4.0
MELLON/BANK OF NEW YORK	046	PG	B	15,120	52.39	5-Jun	5-Jun	756.00	5.0
ß BUCK CAPITAL MGMT		PLCE	S	16,795	27.00	19-Mar	5-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	S	20,000	27.05	19-Mar	5-Jun	non-brg	4.0
f CICYLY S BACKUS	FID	PRGO	B	1000	28.01	n/a	1-Jul	0.00	0.0
f CICYLY S BACKUS	FID	PRGO	B	2000	28.04	n/a	1-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		PSS+IC[PSS]	C	57	1.80	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		PSS	S	33,508	14.68	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		QAA+GT[AAPL]	C	16	44.15	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		QAA+MI[AAPL]	C	92	0.18	n/a	n/a	non-brg	100.0
MELLON/BANK OF NEW YORK	046	QCOM	S	27,110	45.51	n/a	n/a	1,355.50	5.0
ß BUCK CAPITAL MGMT		QUK+IP[DECK]	C	28	3.60	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		QZS+GF[JOSB]	C	30	5.00	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		QZS+GG[JOSB]	C	57	1.30	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		QZS+GH[JOSB]	C	28	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RMS FP	C	1,900	139.98	n/a	n/a	non-brg	21.0
APEX CAPITAL MGMT.	033	ROST	SS	32,600	38.23	n/a	n/a	1,304.00	4.0
KLEINHEINZ CAP PART	031	RPRX	S	50,000	4.44	n/a	n/a	2,500.00	5.0
RAINIER INV MGMT	035	RSG	S	54,670	24.61	n/a	n/a	2,733.50	5.0
ß BUCK CAPITAL MGMT		S6RETL	C	2,100	157.83	n/a	n/a	non-brg	15.8
ß BUCK CAPITAL MGMT		SAI	C	6,931	18.64	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	033	SBUX	C	141,400	14.14	20-Apr	30-Apr	5,656.00	4.0
ß BUCK CAPITAL MGMT		SBUX	SS	5,983	14.22	20-Apr	30-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		SBUX	SS	50,000	14.11	20-Apr	30-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		SGMS	C	5,408	15.96	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKS	B	100,000	4.86	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SKS	B	50,000	4.87	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKS	B	50,000	4.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX+GB[SKX]	C	57	0.45	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		SKX+GU[SKX]	C	141	2.60	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	SKX	S	20,000	9.92	n/a	13-Mar	600.00	3.0
⚡ BUCK CAPITAL MGMT		SKX	S	94,254	9.99	n/a	13-Mar	non-brg	3.0
f HARALAMBOS EFSTATHI	FID	SLM	S	2500	9.94	23-Apr	1-Jul	0.00	0.0
OPPENHEIMER MGMT.	231	SLM	S	165,000	10.04	23-Apr	1-Jul	6,600.00	4.0
APEX CAPITAL MGMT.	033	SNE	SS	23,600	26.15	n/a	n/a	944.00	4.0
f DAVID GEORGE BUCK	FID	SNY	B	1500	30.58	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SONC	C	2,297	10.25	n/a	n/a	non-brg	4.0
CONIFER/ISF	025	SRS	S	5,000	19.16	n/a	n/a	250.00	5.0
MELLON/BANK OF NEW YORK	046	SWN	B	18,650	38.67	27-Feb	1-Jul	932.50	5.0
APEX CAPITAL MGMT.	033	SYK	SS	9,600	39.68	n/a	n/a	384.00	4.0
SUN AMERICA ASSET MGMT	030	SYX	B	5,000	22.30	n/a	24-Apr	200.00	4.0
⚡ BUCK CAPITAL MGMT		TGT	SS	17,937	39.16	25-Feb	22-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		THI	SS	3,924	24.98	n/a	24-Apr	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	TITN	B	500	12.94	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		TJX	S	24,630	31.71	4-May	5-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	033	TMO	B	43,900	40.39	n/a	n/a	1,756.00	4.0
CIRCLE T/SES PART	035	TM	SS	10,000	75.60	n/a	n/a	400.00	4.0
APEX CAPITAL MGMT.	033	TNB	SS	19,400	29.34	n/a	n/a	776.00	4.0
ß BUCK CAPITAL MGMT		TQQ+VE[COLM]	C	25	0.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TSCO LN	C	15,000	5.93	n/a	n/a	non-brg	0.9
ß BUCK CAPITAL MGMT		TWTRQ	C	4,073	0.01	n/a	n/a	non-brg	1.0
PNC/MERCANTILE	028	T	S	18,206	25.11	n/a	4-Jan	728.24	4.0
ß BUCK CAPITAL MGMT		UA	C	6,861	23.00	29-Apr	29-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		UCS+TV[COCO]	C	29	0.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		UHO+TB[HOTT]	C	57	2.70	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		UHO+TU[HOTT]	C	20	0.85	n/a	n/a	non-brg	100.0
MELLON/BANK OF NEW YORK	046	UPS	S	15,620	51.01	n/a	n/a	781.00	5.0
ß BUCK CAPITAL MGMT		URBN	S	11,161	21.17	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	40,000	21.07	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		VFC+TJ[VFC]	C	57	1.10	n/a	n/a	non-brg	300.0
SUN AMERICA ASSET MGMT	030	VFC	B	3,300	56.00	n/a	n/a	132.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		VIA/B	C	2,851	22.84	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		VLCM	C	1,206	12.92	n/a	n/a	non-brg	4.0
AVESTA CAP	229	WAG	S	50,000	29.25	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		WFMI	C	8,842	19.10	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		WMS	C	1,243	31.78	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		WMT	S	7,214	48.48	11-May	19-Jun	non-brg	4.0
MELLON/BANK OF NEW YORK	046	WMT	B	7,650	48.50	11-May	19-Jun	382.50	5.0
BUCK CAPITAL MGMT		WRC+GE [WRC]	C	156	9.20	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		WRC+GG [WRC]	C	30	0.90	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		WRC	S	6,166	33.90	13-May	13-May	non-brg	4.0
COUGAR TRADING	044	WRC	S	5,000	33.97	13-May	13-May	250.00	5.0
THIRD COAST CAPITAL	035	WRC	B	10,000	33.61	13-May	13-May	500.00	5.0
BUCK CAPITAL MGMT		WSM	C	7,989	11.87	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		WYNN	C	4,037	35.61	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	033	XRAY	SS	15,100	30.60	n/a	n/a	604.00	4.0
BUCK CAPITAL MGMT		XRAY	C	3,069	30.65	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		XRT	C	240,000	28.04	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		YUM	C	50,000	35.05	n/a	11-Jun	non-brg 1.0
B BUCK CAPITAL MGMT		YUM	C	50,000	35.05	n/a	11-Jun	non-brg 4.0
TOTAL (Trade Date):							128,143.41	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/30	WOOSTER CAPITAL	035	AXL	S	25,000	3.49	10-Jun	10-Jun	1250.00 5.0
AO,CRT	06/30	WOOSTER CAPITAL	035	AXL	S	25,000	3.49	10-Jun	10-Jun	750.00 3.0
AO,CXL	06/29	PATTEN & PATTEN	067	CL	S	300	72.03	8-Jun	8-Jun	15.00 5.0
AO,CRT	06/29	PATTEN & PATTEN	067	CL	S	300	72.03	8-Jun	8-Jun	15.00 5.0
AO,CRT	06/30	ARMATURE CAPITAL	041	CMI	B	30,000	35.04	6-May	6-May	1200.00 4.0
AO,CXL	06/30	MILLENIUM PARTNERS	028	CMI	B	30,000	35.04	6-May	6-May	1500.00 5.0
AO,CRT	06/30	FIRST NY SEC/CHRIS	041	GPS	B	8,800	16.58	n/a	30-Jan	440.00 5.0
AO,CXL	06/30	FIRST NY SEC/CHRIS	041	GPS	B	8,800	16.58	n/a	30-Jan	528.00 6.0
AO,CRT	06/30	SANDLER O'NEILL ASSET	031	IVZ	B	7,100	17.66	5-Jun	30-Jun	355.00 5.0
AO,CXL	06/30	SANDLER O'NEILL ASSET	031	IVZ	B	7,100	17.66	5-Jun	30-Jun	355.00 5.0
TOTAL (AO, CXL, CRT) :										-888.00

TOTAL (Trade Date):	128,143.41
TOTAL (AO, CXL, CRT):	-888.00

TOTAL (RUN DATE) / NFS:	127,255.41
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MRI Securities with Trade Activity on 1-Jul.
NONE

MRI Securities without Trade Activity on 1-Jul.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 4%
YTD = 20%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)