



Daily Compliance Activity Report

Trade Date: 07/16/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Glenn Redemann		AA	B	3	0.18	n/a	n/a	0.00	0.0
Glenn Redemann		AA	B	3	0.38	n/a	n/a	0.00	0.0
Glenn Redemann		AA	SS	3	0.87	n/a	n/a	0.00	0.0
Glenn Redemann		AA	SS	3	0.87	n/a	n/a	0.00	0.0
ZOLIDIS	011	AEO	B	300	27.78	10-Jul	13-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	B	10,000	73.19	12-Jul	13-Jul	400.00	4.0
WILLIAM BLAIR	031	APA	B	44,330	85.36	n/a	11-Jul	1,773.20	4.0
DAVIDSON TRUST CO	033	APPB	S	50,000	24.85	n/a	n/a	2,498.49	4.2
KANTROWIT	N21	ASD	B	30	61.16	31-May	13-Jul	0.00	0.0
MERRILL ASSET	032	ASF	B	11,300	35.46	n/a	n/a	508.50	4.5
1837 PARTNERS/RMB CAP	030	ASPM	B	23,700	15.21	n/a	n/a	948.00	4.0
REINHART & MAHONEY	031	ATRI	B	180	103.00	n/a	n/a	9.00	5.0
BUCK CAPITAL MGMT	001	BID	S	40,000	53.17	n/a	n/a	1,600.00	4.0
CROWLEY	061	BLJ	B	1,000	17.40	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	CCL	B	25,000	47.66	n/a	26-Jan	1,000.00	4.0
DELTEC ASSET MGMT.	040	CC	S	32,000	14.34	1-May	21-Jun	1,600.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DIAMONDBACK CAP MGMT	232	CE	S	50,000	42.05	n/a	n/a	2,500.00	5.0
ß BUCK CAPITAL MGMT		CHIC	S	10,000	24.02	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CHIC	S	20,000	24.01	n/a	n/a	non-brg	5.0
ZOLIDIS	011	CHS	SS	200	21.86	12-Jul	13-Jul	0.00	0.0
MS PRINCIPAL STRATEGIES	040	CMA	B	5,000	60.45	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		CMRG	S	10,000	12.37	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CPKI	S	40,000	20.38	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CPKI	S	7,500	20.24	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CRI	SS	40,000	25.75	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		CRS	B	3	1.14	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRS	B	3	2.14	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRS	SS	3	2.04	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRS	SS	3	3.29	n/a	n/a	0.00	0.0
BACKUS	005	CVS	B	1,000	36.26	n/a	n/a	0.00	0.0
NICOLAS APPELEGATE	048	DBTK	B	50,000	18.91	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	DSW	B	3,200	35.77	7-May	7-Jun	128.00	4.0
MAZAMA CAPITAL MGMT.	035	ENG	S	32,700	12.26	n/a	n/a	1,308.00	4.0
ß BUCK CAPITAL MGMT		FL	B	100,000	21.35	24-May	21-Jun	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FL	B	150,000	21.41	24-May	21-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		FL	B	220,000	21.41	24-May	21-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FL	B	30,000	21.43	24-May	21-Jun	non-brg	1.0
US BANCORP	030	GE	B	102,863	40.01	n/a	n/a	5,143.15	5.0
BRANDWINE	028	HPQ	B	16,800	47.29	n/a	n/a	756.00	4.5
GOLDMAN SACHS ASSET MGMT	044	HPQ	S	75,000	47.42	n/a	n/a	3,000.00	4.0
BRANDWINE	028	IBM	B	11,600	109.48	n/a	n/a	522.00	4.5
BRANDWINE	028	INTC	B	30,000	25.99	n/a	n/a	1,350.00	4.5
XYLEM GLOBAL	091	IP	B	45,000	39.95	4-May	14-Jun	2,250.00	5.0
CARLSON CAPITAL	031	JPM	SS	59,900	50.05	n/a	13-Jul	2,995.00	5.0
MAZAMA CAPITAL MGMT.	035	KOG	B	181,000	4.81	n/a	n/a	5,430.00	3.0
CRAMER ROSENTHAL/SCOTT	012	KR	B	7,500	28.99	n/a	n/a	300.00	4.0
KORMAN	003	KVA	B	2,700	28.22	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LAVA	S	15,000	14.41	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	110,000	36.98	n/a	12-Jul	4,400.00	4.0
SIGMA CAPITAL ASSOCIATES	044	LOW	SS	50,000	30.42	n/a	21-May	2,500.00	5.0
Õ Glenn Redemann		LRCX	??	6	0.07	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Glenn Redemann		LRCX	??	6	0.14	n/a	n/a	0.00	0.0
	MILLENIUM PARTNERS	028	LYO	S	10,000	40.16	14-May	14-May	500.00	5.0
	LEDDY	035	MER	B	500	87.38	20-Apr	13-Jul	0.00	0.0
	CHILTON CAPITAL MGMT.	036	MGM	S	123,082	86.04	n/a	22-May	4,923.28	4.0
Õ	Glenn Redemann		MICC	B	4	2.46	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		MICC	B	4	3.19	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		MICC	SS	4	3.81	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		MICC	SS	4	4.54	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	MRT	S	10,000	18.41	n/a	n/a	400.00	4.0
	LEDDY	035	MTRX	S	2,000	25.10	n/a	n/a	0.00	0.0
	LEDDY	035	MWV	S	600	36.05	13-Jul	13-Jul	0.00	0.0
ß	BUCK CAPITAL MGMT		NLS	SS	1,400	10.50	n/a	n/a	non-brg	1.0
	THE JAY GOLDMAN	034	PCH	B	6,250	44.47	26-Jun	26-Jun	250.00	4.0
	THE JAY GOLDMAN	034	PCL	S	6,250	43.29	10-Jul	10-Jul	250.00	4.0
ß	BUCK CAPITAL MGMT		PERY	B	10,000	31.01	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		PFCB	C	30,000	37.33	24-Apr	24-Apr	non-brg	5.0
	BUCK CAPITAL MGMT	001	PVH	B	5,000	55.99	31-May	31-May	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PVH	B	5,000	55.77	31-May	31-May	250.00	5.0
BACKUS	005	RAS	B	4,000	23.20	n/a	n/a	0.00	0.0
BACKUS	005	RIG	S	200	108.10	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		RL	S	20,000	100.61	21-Jun	27-Jun	non-brg	5.0
CROWLEY	061	RNJ	B	2,000	14.40	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	RT	B	27,100	24.11	n/a	n/a	1,016.25	3.8
BUCK CAPITAL MGMT	001	RVI	S	20,000	16.09	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		SKX	S	50,000	26.92	n/a	13-Mar	non-brg	4.0
METROPOLITAN CAPITAL	044	SKX	B	23,600	26.94	n/a	13-Mar	1,180.00	5.0
KANTROWIT	N21	SSI	S	50	20.70	25-May	13-Jul	0.00	0.0
BACKUS	005	SWN	S	500	44.43	n/a	2-Jul	0.00	0.0
BRANDWINE	028	TGT	B	8,300	69.32	n/a	12-Jul	373.50	4.5
B BUCK CAPITAL MGMT		TRLG	S	30,000	22.72	n/a	n/a	non-brg	2.0
SENTINAL CAPITAL	067	T	B	10,000	40.23	25-Apr	12-Jul	500.00	5.0
B BUCK CAPITAL MGMT		URBN	B	2,000	21.92	2-Apr	16-Jul	non-brg	1.0
COUGAR TRADING	044	URBN	B	5,000	22.01	2-Apr	16-Jul	250.00	5.0
NICOLAS APPELGATE	048	VOLC	S	10,500	19.05	n/a	n/a	420.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET	032	VQ	B	68,400	19.40	n/a	n/a	3,078.00	4.5
CROWLEY	061	VTJ	B	1,000	15.74	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	WAG	S	20,000	44.95	n/a	n/a	1,000.00	5.0
CATAPULT	040	WDR	S	10,000	27.85	13-Jul	16-Jul	500.00	5.0
DIAMONDBACK CAP MGMT	232	WDR	B	75,000	27.97	13-Jul	16-Jul	3,750.00	5.0
AVESTA CAP	229	WMT	S	100,000	48.83	4-Jun	12-Jul	5,000.00	5.0
B BUCK CAPITAL MGMT		WRNC	S	60,000	40.55	20-Jun	20-Jun	non-brg	5.0
STADIA CAPITAL	035	WSM	B	15,000	32.23	n/a	n/a	600.00	4.0
XYLEM GLOBAL	091	WY	S	2,000	82.23	13-Jul	13-Jul	100.00	5.0
TOTAL (Trade Date):								70,510.37	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/13	BARABOO GROWTH	031	AEZ	S	50,000	6.72	n/a	n/a	1500.00 3.0
AO,CXL	07/13	1837 PARTNERS/RMB CAP	030	ASPM	B	1,700	15.24	n/a	n/a	85.00 5.0
AO,CRT	07/13	1837 PARTNERS/RMB CAP	030	ASPM	B	1,700	15.24	n/a	n/a	68.00 4.0
AO,	07/13	MORTAR ROCK	033	HMC	B	15,000	37.46	n/a	n/a	750.00 5.0
AO,CRT	07/13	DURANGO INVESTMENTS	031	ITW	S	10,000	57.84	n/a	n/a	400.00 4.0
AO,CXL	07/13	DURANGO INVESTMENTS	031	ITW	S	10,000	57.84	n/a	n/a	400.00 4.0
AO,	07/13	BUCK CAPITAL MGMT	001	KSS	B	200	68.64	14-Jun	12-Jul	8.00 4.0
AO,CRT	07/13	BUCK CAPITAL MGMT	001	KSS	B	16,900	68.64	14-Jun	12-Jul	676.00 4.0
AO,CXL	07/13	BUCK CAPITAL MGMT	001	KSS	B	17,100	68.64	14-Jun	12-Jul	684.00 4.0
TOTAL (AO, CXL, CRT) :										2,233.00

TOTAL (Trade Date) :	70,510.37
TOTAL (AO, CXL, CRT) :	2,233.00

TOTAL (RUN DATE) / NFS :	72,743.37
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MRI Securities with Trade Activity on 16-Jul. NONE

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ETN, GWW, JNS, MMM

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 20%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION