



Daily Compliance Activity Report

Trade Date: 05/09/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	AAI	B	5,000	11.68	n/a	n/a	0.00	0.0
SAXON WOODS ADVISORS	038	AAPL	B	10,000	106.55	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	71,834	28.72	4-Jan	8-May	2,873.36	4.0
SANDELL ADV	040	AL	B	189,000	78.31	n/a	n/a	9,450.00	5.0
PHILADELPHIA FINANCIAL	031	AMG	B	37,350	123.59	25-Apr	1-May	1,867.50	5.0
ROXBURY CAPITAL MGMT	035	AMG	S	29,700	124.05	25-Apr	1-May	1,188.00	4.0
HUNTER GLOBAL INVESTOR	067	ANF	B	100,000	81.45	2-Feb	8-May	5,000.00	5.0
MCCARTAN	028	ANN	C	100	36.92	5-Jan	8-May	0.00	0.0
MAGUIRE	090	ANR	B	5,000	16.92	n/a	n/a	0.00	0.0
PZENA INV ADV	044	AOC	S	88,750	41.40	n/a	n/a	3,550.00	4.0
COUGAR TRADING	044	ARO	S	5,000	42.18	n/a	8-May	250.00	5.0
SAC TRADING HOWARD	038	ARO	SS	15,000	41.71	n/a	8-May	750.00	5.0
THE JAY GOLDMAN	034	ARO	B	30,000	41.65	n/a	8-May	1,200.00	4.0
THE JAY GOLDMAN	034	ARO	SS	10,000	42.10	n/a	8-May	400.00	4.0
KERN	050	ASD	B	100	56.75	19-Apr	7-May	0.00	0.0
DEEPHAVEN	031	AVZ	B	10,000	24.81	26-Apr	9-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KANTROWIT	N21	AVZ	B	150	24.83	26-Apr	9-May	0.00	0.0
KANTROWIT	N21	BBY	B	50	46.84	1-May	1-May	0.00	0.0
BELMONT GLOBAL ADV	248	BDSB	B	20,000	10.01	n/a	n/a	1,000.00	5.0
WYCKOFF	013	BEBE	B	300	18.10	n/a	n/a	0.00	0.0
KANTROWIT	N21	BKRS	B	200	8.77	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	BLK	B	300	146.21	n/a	9-May	15.00	5.0
MAGUIRE	090	CAL	B	2,500	39.10	n/a	n/a	0.00	0.0
ZOLIDIS	011	CBK	B	1,000	16.74	30-Mar	8-May	0.00	0.0
OGORMAN	146	CBK	B	1,000	16.72	30-Mar	8-May	0.00	0.0
LEDDY	035	CC	S	500	16.33	1-May	1-May	0.00	0.0
CIBC ADV.	060	CHK	SS	100,000	34.53	n/a	n/a	4,000.00	4.0
BRIGHTPOINT CAP	067	CHS	S	10,000	26.51	27-Mar	8-May	500.00	5.0
LUCHANSKY1	036	CMCSK	S	150	26.05	n/a	n/a	0.00	0.0
ZOLIDIS	011	CMG	B	200	77.55	24-Apr	24-Apr	0.00	0.0
KENNEDY CAPITAL	046	CNO	B	23,895	17.73	n/a	n/a	955.80	4.0
BEAR STEARNS ASSET MGMT.	033	COST	B	25,000	55.05	n/a	8-May	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	CRI	B	20,000	25.64	n/a	n/a	800.00	4.0
SUN AMERICA ASSET MGMT	030	CSCO	B	64,500	26.80	n/a	22-Mar	3,225.00	5.0
AVESTA CAP	229	CVS	S	25,000	37.51	n/a	n/a	1,250.00	5.0
FIRST NEW YORK LEDDY	035	CVS	B	10,000	37.12	n/a	n/a	600.00	6.0
LOCUS WOOD CAPITAL MGMT	044	CVS	B	100,000	37.20	n/a	n/a	4,000.00	4.0
MAGUIRE	090	DAL	B	5,000	20.01	n/a	n/a	0.00	0.0
LUCHANSKY	036	DNDN	B	1,000	7.10	n/a	n/a	0.00	0.0
LUCHANSKY4	036	DNDN	B	1,000	7.61	n/a	n/a	0.00	0.0
LUCHANSKY4	036	DNDN	S	1,000	7.33	n/a	n/a	0.00	0.0
LUCHANSKY	036	DNDN	S	1,000	7.43	n/a	n/a	0.00	0.0
SUTERA	160	DNDN	B	1,000	6.88	n/a	n/a	0.00	0.0
LOCUS WOOD CAPITAL MGMT	044	DSW	B	21,500	38.24	7-May	7-May	860.00	4.0
GOLDMAN SACHS ASSET MGMT	044	EFD	S	100,000	32.07	n/a	n/a	3,200.00	3.0
KANTROWIT	N21	EL	B	42	47.81	18-Apr	3-May	0.00	0.0
BUCK CAPITAL MGMT	001	ETH	SS	10,000	35.26	n/a	n/a	400.00	4.0
SAILFISH	035	FDO	SS	25,000	32.24	19-Apr	9-May	1,250.00	5.0
COUGAR TRADING	044	FD	SS	5,000	43.69	26-Jan	8-May	250.00	5.0
SAC TRADING HOWARD	038	FD	SS	40,000	43.70	26-Jan	8-May	2,000.00	5.0
ZOLIDIS	011	FHHI	B	6,000	0.17	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	FL	S	5,000	23.70	n/a	7-May	250.00	5.0
CROWLEY	061	FRO	S	200	39.22	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	FRP	SS	1,700	18.00	n/a	n/a	85.00	5.0
BUCK CAPITAL MGMT	001	GCO	SS	50,000	50.75	n/a	10-Jan	2,000.00	4.0
BUCK CAPITAL MGMT	001	GCO	S	50,000	50.82	n/a	10-Jan	2,000.00	4.0
LUCHANSKY	036	GES	B	200	41.69	n/a	n/a	0.00	0.0
CASTLE ARK	031	GIL	B	20,000	68.17	n/a	3-May	1,200.00	6.0
UBS OCONNOR	031	GNTX	SS	40,000	18.74	n/a	24-Apr	1,200.00	3.0
KORMAN	003	HBIVF	B	50	4.30	n/a	n/a	0.00	0.0
EMP ERROR	099	HBIVF	B	25	4.30	n/a	n/a	0.00	0.0
EMP ERROR	099	HBIVF	S	25	4.30	n/a	n/a	0.00	0.0
WHALE ROCK CAP	030	HBI	SS	200,000	25.44	n/a	n/a	8,000.00	4.0
ANDERSON HOAGLAND & CO	046	HD	S	9,100	39.00	n/a	1-Mar	455.00	5.0
ZOLIDIS	011	HLYS	B	300	31.69	n/a	n/a	0.00	0.0
KANTROWIT	N21	HLYS	B	63	31.91	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	IFF	B	500	51.60	3-May	3-May	25.00	5.0
MISSOURI VALLEY PART	046	ISE	S	32,660	65.59	30-Apr	30-Apr	1,633.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	039	ISE	S	100	64.60	30-Apr	30-Apr	0.00	0.0
HUNTER GLOBAL INVESTOR	067	JWN	B	76,000	55.67	27-Feb	8-May	3,800.00	5.0
SAC TRADING HOWARD	038	JWN	SS	20,000	55.73	27-Feb	8-May	1,000.00	5.0
FINANCIAL STOCK LP	028	KEY	B	22,206	36.59	n/a	n/a	888.24	4.0
AVESTA CAP	229	KR	S	25,000	29.09	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	170,000	73.01	n/a	8-May	6,800.00	4.0
THE JAY GOLDMAN	034	KSS	B	5,000	73.00	n/a	8-May	200.00	4.0
BULL PATH CAPITAL	044	LAZ	S	25,000	52.17	n/a	n/a	1,250.00	5.0
SANDLER O'NEILL ASSET	031	MER	S	20,000	91.24	20-Apr	20-Apr	1,000.00	5.0
THIRD POINT MGMT	044	MHK	B	150,000	96.60	n/a	n/a	6,000.00	4.0
CROWLEY	061	MIR	S	500	45.53	n/a	n/a	0.00	0.0
NWQ INVESTMENT MGMT CO	048	MOT	B	100,000	17.97	n/a	n/a	4,000.00	4.0
KANTROWIT	N21	MOT	B	100	17.93	n/a	n/a	0.00	0.0
FINANCIAL STOCK LP	028	NCC	B	25,500	36.51	n/a	n/a	1,020.00	4.0
HOFFMAN	014	NG	B	1,000	14.88	n/a	n/a	0.00	0.0
KERN	050	NLS	S	250	13.30	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	NYNY	B	2,325	8.04	n/a	n/a	116.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	OLN	B	1,075	18.28	n/a	27-Apr	53.75	5.0
ROTHSCHILD ASSET	040	OMX	S	37,870	44.22	n/a	15-Apr	1,704.15	4.5
BINDER	007	OMX	SS	100	43.97	n/a	15-Apr	0.00	0.0
LISTED ERR	099	OMX	B	8	44.37	n/a	15-Apr	0.00	0.0
LISTED ERR	099	OMX	S	8	44.22	n/a	15-Apr	0.00	0.0
ZOLIDIS	011	OPMR	S	1,000	8.70	n/a	n/a	0.00	0.0
GASNER	025	OPY	S	100	41.93	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	46.93	n/a	n/a	400.00	4.0
CAXTON PART	040	PRU	S	120,451	101.14	n/a	n/a	4,818.04	4.0
BUCK CAPITAL MGMT	001	PSS	B	50,000	32.15	n/a	n/a	2,000.00	4.0
WHITE PINE CAPITAL	030	PTIX	B	2,825	5.08	n/a	n/a	141.25	5.0
BUCK CAPITAL MGMT	001	PTT	B	10,000	8.70	n/a	n/a	400.00	4.0
SENTINAL CAPITAL	067	PX	B	2,000	66.40	n/a	25-Apr	100.00	5.0
HUNTER GLOBAL INVESTOR	067	QQQQ	B	205,800	46.56	n/a	n/a	6,174.00	3.0
FINANCIAL STOCK LP	028	RF	B	31,500	35.78	n/a	n/a	1,260.00	4.0
STADIA CAPITAL	035	RL	B	8,000	93.04	2-Feb	13-Apr	320.00	4.0
WAGONHOUND	048	RTH	B	12,800	104.45	n/a	n/a	512.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NWQ INVESTMENT MGMT CO	048	RTN	S	10,000	54.70	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	SKX	B	20,000	31.79	n/a	13-Mar	800.00	4.0
KERN	050	SKYE	B	1,000	4.87	n/a	n/a	0.00	0.0
KERN	050	SMG	B	100	46.55	20-Feb	2-May	0.00	0.0
COUGAR TRADING	044	SMRT	B	10,000	15.00	n/a	n/a	500.00	5.0
KORMAN	003	SNEN	B	5,000	2.41	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	SSI	B	7,900	20.65	26-Feb	8-May	395.00	5.0
KANTROWIT	N21	SSI	B	100	20.66	26-Feb	8-May	0.00	0.0
KANTROWIT	N21	TFSM	B	100	11.19	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	TUNE	B	3,850	4.57	n/a	n/a	154.00	4.0
CRAMER ROSENTHAL/SCOTT	012	TWB	B	11,600	38.82	22-Feb	8-May	580.00	5.0
WANG	192	TWB	B	75	38.60	22-Feb	8-May	0.00	0.0
TRUST CO/COWEN/CALF.	040	TWX	B	182,540	21.75	n/a	n/a	7,301.60	4.0
MAGUIRE	039	UAUA	B	100	36.01	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	WRNC	B	3,500	32.49	9-May	9-May	210.00	6.0
SUN AMERICA ASSET MGMT	030	XOM	S	120,800	80.82	n/a	n/a	6,040.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HUNTER GLOBAL INVESTOR	067	YHOO	S	7,500	30.33	n/a	n/a	300.00	4.0
STADIA CAPITAL	035	ZQK	S	25,000	12.98	9-Mar	17-Apr	1,000.00	4.0
TOTAL (Trade Date):								132,570.94	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/08	ZIFF BROS (ZIFFGOLDMA)	038	DCEL	S	82,093	9.67	n/a	n/a	4104.65 5.0
AO,	05/08	ZIFF BROS (ZIFFCITIGR)	038	DCEL	S	5,707	9.67	n/a	n/a	285.35 5.0
AO,	05/08	VAN KAMPEN ADV (VANK1174W)	031	ETR	B	100	117.52	n/a	n/a	4.00 4.0
AO,	05/08	VAN KAMPEN ADV (VANK0879W)	031	ETR	B	3,900	117.52	n/a	n/a	156.00 4.0
AO,	05/08	VAN KAMPEN ADV (VANK2118W)	031	ETR	B	7,300	117.52	n/a	n/a	292.00 4.0
AO,	05/08	VAN KAMPEN ADV (VANK1168W)	031	ETR	B	11,300	117.52	n/a	n/a	452.00 4.0
AO,	05/08	VAN KAMPEN ADV (VANK1092W)	031	ETR	B	18,600	117.52	n/a	n/a	744.00 4.0
AO,	05/08	VAN KAMPEN ADV (VANK1173W)	031	ETR	B	8,800	117.52	n/a	n/a	352.00 4.0
CRT	05/09	EMP ERROR (EMP ERROR)	099	HBIVF	B	25	4.30	n/a	n/a	0.00 0.0
AO,	05/08	ZIFF BROS (ZIFFFDB)	038	RIMM	S	80,223	140.61	n/a	n/a	4011.15 5.0
AO,	05/08	ZIFF BROS (ZIFFZAM EX)	038	RIMM	S	5,537	140.61	n/a	n/a	276.85 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3144)	012	TWB	B	1,600	38.25	22-Feb	8-May	80.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2950)	012	TWB	B	100	38.25	22-Feb	8-May	5.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3259)	012	TWB	B	300	38.25	22-Feb	8-May	15.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2766)	012	TWB	B	200	38.25	22-Feb	8-May	10.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2987)	012	TWB	B	1,000	38.25	22-Feb	8-May	50.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3246)	012	TWB	B	300	38.25	22-Feb	8-May	15.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3236)	012	TWB	B	500	38.25	22-Feb	8-May	25.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2739)	012	TWB	B	16,600	38.25	22-Feb	8-May	830.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3171)	012	TWB	B	1,100	38.25	22-Feb	8-May	55.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2602)	012	TWB	B	400	38.25	22-Feb	8-May	20.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3068)	012	TWB	B	1,800	38.25	22-Feb	8-May	90.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2560)	012	TWB	B	2,600	38.25	22-Feb	8-May	130.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2521)	012	TWB	B	700	38.25	22-Feb	8-May	35.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2432)	012	TWB	B	2,600	38.25	22-Feb	8-May	130.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB850000)	012	TWB	B	800	38.25	22-Feb	8-May	40.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2520)	012	TWB	B	1,400	38.25	22-Feb	8-May	70.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2442)	012	TWB	B	300	38.25	22-Feb	8-May	15.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3180)	012	TWB	B	3,700	38.25	22-Feb	8-May	185.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2425)	012	TWB	B	900	38.25	22-Feb	8-May	45.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2410)	012	TWB	B	300	38.25	22-Feb	8-May	15.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3181)	012	TWB	B	1,500	38.25	22-Feb	8-May	75.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2287)	012	TWB	B	1,000	38.25	22-Feb	8-May	50.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3213)	012	TWB	B	11,300	38.25	22-Feb	8-May	565.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3204)	012	TWB	B	400	38.25	22-Feb	8-May	20.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2340)	012	TWB	B	5,000	38.25	22-Feb	8-May	250.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3189)	012	TWB	B	7,500	38.25	22-Feb	8-May	375.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB800000)	012	TWB	B	49,000	38.25	22-Feb	8-May	2450.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB84000)	012	TWB	B	4,400	38.25	22-Feb	8-May	220.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2775)	012	TWB	B	1,400	38.25	22-Feb	8-May	70.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2765)	012	TWB	B	400	38.25	22-Feb	8-May	20.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3187)	012	TWB	B	3,400	38.25	22-Feb	8-May	170.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2960)	012	TWB	B	900	38.25	22-Feb	8-May	45.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2755)	012	TWB	B	1,000	38.25	22-Feb	8-May	50.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2250)	012	TWB	B	1,100	38.25	22-Feb	8-May	55.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2700)	012	TWB	B	2,300	38.25	22-Feb	8-May	115.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3156)	012	TWB	B	800	38.25	22-Feb	8-May	40.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2625)	012	TWB	B	400	38.25	22-Feb	8-May	20.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2564)	012	TWB	B	900	38.25	22-Feb	8-May	45.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3146)	012	TWB	B	1,800	38.25	22-Feb	8-May	90.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2445)	012	TWB	B	400	38.25	22-Feb	8-May	20.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB877)	012	TWB	B	800	38.25	22-Feb	8-May	40.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB876)	012	TWB	B	800	38.25	22-Feb	8-May	40.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB860)	012	TWB	B	100	38.25	22-Feb	8-May	5.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB787)	012	TWB	B	100	38.25	22-Feb	8-May	5.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3095)	012	TWB	B	1,000	38.25	22-Feb	8-May	50.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3083)	012	TWB	B	900	38.25	22-Feb	8-May	45.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2951)	012	TWB	B	300	38.25	22-Feb	8-May	15.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3108)	012	TWB	B	1,500	38.25	22-Feb	8-May	75.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3094)	012	TWB	B	1,000	38.25	22-Feb	8-May	50.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3073)	012	TWB	B	20,800	38.25	22-Feb	8-May	1040.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3063)	012	TWB	B	300	38.25	22-Feb	8-May	15.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3087)	012	TWB	B	500	38.25	22-Feb	8-May	25.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB718)	012	TWB	B	400	38.25	22-Feb	8-May	20.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2932)	012	TWB	B	500	38.25	22-Feb	8-May	25.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB390)	012	TWB	B	800	38.25	22-Feb	8-May	40.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2769)	012	TWB	B	100	38.25	22-Feb	8-May	5.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2607)	012	TWB	B	300	38.25	22-Feb	8-May	15.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2597)	012	TWB	B	100	38.25	22-Feb	8-May	5.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2163)	012	TWB	B	100	38.25	22-Feb	8-May	5.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2162)	012	TWB	B	400	38.25	22-Feb	8-May	20.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2161)	012	TWB	B	300	38.25	22-Feb	8-May	15.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2160)	012	TWB	B	300	38.25	22-Feb	8-May	15.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3270)	012	TWB	B	900	38.25	22-Feb	8-May	45.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2138)	012	TWB	B	200	38.25	22-Feb	8-May	10.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB3271)	012	TWB	B	800	38.25	22-Feb	8-May	40.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2097)	012	TWB	B	500	38.25	22-Feb	8-May	25.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2086)	012	TWB	B	200	38.25	22-Feb	8-May	10.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2529)	012	TWB	B	2,400	38.25	22-Feb	8-May	120.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2638)	012	TWB	B	100	38.25	22-Feb	8-May	5.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT (CRSB2952)	012	TWB	B	600	38.25	22-Feb	8-May	30.00 5.0
AO,	05/08	GEORGE WEISS (WEISUBS)	034	TWB	C	3,734	38.37	22-Feb	8-May	149.36 4.0
AO,	05/08	GEORGE WEISS (WEISDEUTSC)	034	TWB	C	5,459	38.37	22-Feb	8-May	218.36 4.0

TOTAL (AO, CXL, CRT) :

19,505.72

TOTAL (Trade Date):	132,570.94
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TOTAL (AO, CXL, CRT):	19,505.72
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TOTAL (RUN DATE) / NFS:	152,076.66
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION