



Daily Compliance Activity Report

Trade Date: 06/22/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	S	5,000	273.95	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ABG	S	30,000	11.73	n/a	4-May	non-brg	4.0
JP MORGAN VENTURES	046	AEO	S	25,000	12.71	n/a	4-Jun	1,250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	AMP	B	22,800	39.89	n/a	n/a	684.00	3.0
B BUCK CAPITAL MGMT		AMZN	SS	30,000	123.07	n/a	n/a	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	ANN	B	17,700	18.78	n/a	n/a	531.00	3.0
BUCK CAPITAL MGMT	001	AN	SS	30,000	20.12	n/a	23-Apr	1,200.00	4.0
f KEVIN MICHAEL O'GORM	FID	ATPG	B	1000	12.00	n/a	n/a	0.00	0.0
BERMAN CAPITAL MGMT	046	BBY	B	5,000	37.49	n/a	n/a	150.00	3.0
LATEFF MGMT	035	BDN	S	5,000	11.47	n/a	n/a	350.00	7.0
f SCOTT L BRUNNER	FID	BGZ	S	1000	15.31	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BYI	S	14	36.65	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		BYI	S	39,800	36.62	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		BYI	S	50,000	36.50	n/a	n/a	non-brg	4.0
Ñ Nilsa Vazquez		BZH	B	2000	3.93	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	CHRS	B	20,000	4.02	n/a	n/a	600.00	3.0
BUCK CAPITAL MGMT	001	CHS	B	300,000	10.62	n/a	5-Feb	12,000.00	4.0
⌘ BUCK CAPITAL MGMT		CHS	B	200,000	10.68	n/a	5-Feb	non-brg	2.5
HOOVER INV. MGMT	033	CHS	S	185,200	10.65	n/a	5-Feb	7,408.00	4.0
APEX CAPITAL MGMT.	261	COF	C	98,000	44.29	n/a	21-Jun	3,920.00	4.0
⌘ BUCK CAPITAL MGMT		COF	S	100,000	43.78	n/a	21-Jun	non-brg	4.0
ROSEDALE CAPITAL	046	COG	B	10,000	34.33	n/a	3-Jun	500.00	5.0
BUCK CAPITAL MGMT	001	COH	S	70,000	41.30	n/a	14-May	2,800.00	4.0
⌘ BUCK CAPITAL MGMT		COST	SS	30,000	58.01	n/a	n/a	non-brg	4.0
SUN AMERICA ASSET MGMT	030	CRI	B	17,600	29.37	n/a	n/a	704.00	4.0
f KAREN F LEDDY	FID	CVGI	B	4000	11.62	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	C	B	500	4.02	n/a	21-Jun	0.00	0.0
STANDARD PACIFIC CAPITAL	040	C	B	250,000	4.00	n/a	21-Jun	7,500.00	3.0
⌘ BUCK CAPITAL MGMT		DBRN	S	20,000	24.88	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		DFS	S	337,000	13.95	n/a	21-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		EL	SS	20,000	58.25	n/a	7-Jun	non-brg	4.0
f SCOTT L BRUNNER	FID	FAZ	B	4000	14.13	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	SCOTT L BRUNNER	FID	FAZ	S	4000	14.69	n/a	n/a	0.00	0.0
	OZ MGMT	040	F	SS	100,000	11.50	n/a	3-Jun	3,000.00	3.0
B	BUCK CAPITAL MGMT		GIL	S	60,000	30.95	n/a	14-May	non-brg	4.0
	VISIUM/BALY BOBBY	044	GYMB	SS	15,000	45.08	n/a	10-Jun	750.00	5.0
	BUCK CAPITAL MGMT	001	HAS	SS	10,000	41.99	n/a	n/a	400.00	4.0
B	BUCK CAPITAL MGMT		HD	SS	40,000	30.86	n/a	19-May	non-brg	4.0
	GLENHILL CAPITAL	212	HOG	SS	115,000	25.78	n/a	n/a	5,750.00	5.0
	GREEN ARROW DOUG	040	HOG	B	15,000	25.84	n/a	n/a	600.00	4.0
	CSFB GLOBAL PROPRIETARY	041	IP	B	20,000	25.90	n/a	29-Apr	1,000.00	5.0
	EXIS CAPITAL	044	JNY	B	7,500	18.38	n/a	14-May	375.00	5.0
B	BUCK CAPITAL MGMT		JOSE	B	10,000	57.89	n/a	n/a	non-brg	2.5
	BUCK CAPITAL MGMT	001	JWN	SS	20,000	37.06	n/a	4-Jun	800.00	4.0
	SYSTEMATIC FINANCIAL MGT	035	JWN	S	7,700	38.41	n/a	4-Jun	231.00	3.0
	ARMATURE CAPITAL	041	LEN	B	50,000	14.70	n/a	n/a	2,000.00	4.0
	ROTHSCHILD ASSET	040	LHO	B	42,580	23.68	n/a	n/a	1,703.20	4.0
	GALT INVESTMENT	041	LL	B	15,000	26.04	n/a	n/a	750.00	5.0
B	BUCK CAPITAL MGMT		LOW	SS	30,000	21.99	n/a	17-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ASCEND PARTNERS	033	LTD	B	30,000	23.74	n/a	n/a	1,500.00	5.0
JP MORGAN VENTURES	046	LTD	S	25,000	23.87	n/a	n/a	1,250.00	5.0
FIRST NEW YORK LEDDY	035	LVL	B	20,000	1.19	n/a	n/a	800.00	4.0
SNYDER CAPITAL	033	MAT	B	35,285	22.37	n/a	n/a	1,411.40	4.0
B BUCK CAPITAL MGMT		MA	B	9,900	223.13	n/a	21-Jun	non-brg	4.0
CIRCLE T/SES PART	035	MPCL	SS	50,000	4.39	n/a	21-Jun	1,500.00	3.0
BUCK CAPITAL MGMT	001	MTN	SS	20,000	39.92	n/a	n/a	800.00	4.0
BERMAN CAPITAL MGMT	046	MW	B	5,000	19.88	n/a	n/a	150.00	3.0
FIRST NY SEC/CHRIS	041	M	B	10,000	20.14	n/a	4-Jun	0.00	0.0
BNP PARIBAS SEC	040	NKE	B	4,000	73.59	n/a	n/a	160.00	4.0
SYSTEMATIC FINANCIAL MGT	035	OSK	S	11,000	35.73	n/a	n/a	330.00	3.0
B BUCK CAPITAL MGMT		PLCE	S	10,000	45.46	n/a	3-Jun	non-brg	4.0
WADDELL AND REED	047	PMCS	S	59,700	7.99	n/a	n/a	2,388.00	4.0
f DAVID GEORGE BUCK	FID	PM	S	2000	45.25	n/a	n/a	0.00	0.0
ROYCE TRADING	012	POL	S	11,000	9.68	n/a	n/a	550.00	5.0
BERMAN CAPITAL MGMT	046	PSS	B	5,000	18.41	n/a	n/a	150.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	PVH	S	30,000	52.80	n/a	26-May	900.00	3.0
B BUCK CAPITAL MGMT		QKLS	S	13,200	5.25	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		RCL	SS	30,000	29.05	n/a	n/a	non-brg	4.0
ROYCE TRADING	012	REX	S	2,500	18.48	n/a	n/a	125.00	5.0
CATAPULT	040	RJF	S	5,000	27.36	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	20,000	79.02	n/a	20-May	800.00	4.0
BNP PARIBAS SEC	040	SBUX	B	5,000	28.46	n/a	17-May	200.00	4.0
BERMAN CAPITAL MGMT	046	SCVL	B	3,000	21.97	n/a	n/a	90.00	3.0
WEISS PECK	040	SCVL	B	14,572	21.43	n/a	n/a	728.60	5.0
ROYCE TRADING	012	SEH	S	5,000	11.45	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	SIG	S	20,000	30.65	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	SKS	SS	50,000	8.85	n/a	n/a	1,500.00	3.0
RBC CAPITAL MARKETS	040	SKS	SS	50,000	8.81	n/a	n/a	2,000.00	4.0
ROYCE TRADING	012	SKS	S	10,000	9.10	n/a	n/a	500.00	5.0
SUN AMERICA ASSET MGMT	030	SLB	S	100,000	59.28	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		SLM	S	250,000	12.01	n/a	17-Jun	non-brg	3.0
ROYCE TRADING	012	SOA	S	5,000	15.20	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CARDINAL CAPITAL	044	SSI	S	7,400	12.04	n/a	n/a	370.00	5.0
ß BUCK CAPITAL MGMT		SWK	SS	20,000	53.70	n/a	n/a	non-brg	4.0
CASTLEROCK	040	SWK	SS	26,099	54.01	n/a	n/a	1,043.96	4.0
BUCK CAPITAL MGMT	001	TGT	S	10,000	52.08	n/a	4-Jun	400.00	4.0
RBC CAPITAL MARKETS	040	TIN	S	65,000	22.99	n/a	21-Apr	2,600.00	4.0
BERMAN CAPITAL MGMT	046	TJX	B	5,000	45.51	n/a	4-Jun	150.00	3.0
BUCK CAPITAL MGMT	001	TLB	B	30,000	11.49	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		TLB	B	43,400	11.52	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TSCO	SS	10,000	65.96	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		UBI FP	S	150,000	8.71	n/a	n/a	non-brg	1.3
ß BUCK CAPITAL MGMT		URBN	S	70,000	35.32	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		V	B	27,700	79.83	n/a	21-Jun	non-brg	2.5
ß BUCK CAPITAL MGMT		WBC	S	10,000	35.05	n/a	27-May	non-brg	4.0
ß BUCK CAPITAL MGMT		WBC	S	15,200	35.32	n/a	27-May	non-brg	4.0
ß BUCK CAPITAL MGMT		WBC	S	4,800	35.22	n/a	27-May	non-brg	0.8
GREEN ARROW DOUG	040	WEN	B	50,000	4.16	n/a	11-Jun	2,500.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	WHR	S	100	102.05	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	WMS	S	30,000	43.50	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	WRC	B	20,000	39.27	n/a	14-May	800.00	4.0
8 BUCK CAPITAL MGMT		WRC	B	20,000	38.99	n/a	14-May	non-brg	4.0
BUCK CAPITAL MGMT	001	WSM	SS	40,000	25.92	n/a	n/a	1,600.00	4.0
8 BUCK CAPITAL MGMT		WSM	SS	20,000	25.85	n/a	n/a	non-brg	4.0
BERMAN CAPITAL MGMT	046	XRT	B	20,000	39.22	n/a	n/a	600.00	3.0
BNP PARIBAS SEC	040	YUM	B	7,500	42.92	n/a	15-Apr	300.00	4.0
GALT INVESTMENT	041	YUM	B	10,000	42.22	n/a	15-Apr	500.00	5.0
ROYCE TRADING	012	ZQK	S	15,000	4.70	n/a	10-May	450.00	3.0
TOTAL (Trade Date):								94,053.16	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/21	BRIGADE CAPITAL MGMT	029	CVS	S	100,000	32.17	n/a	n/a	3000.00 3.0
AO,CRT	06/21	COOPER CREEK PART	028	DSW	SS	4,501	25.15	n/a	11-Jun	180.04 4.0
AO,CXL	06/21	COOPER CREEK PART	028	DSW	SS	4,501	25.15	n/a	11-Jun	180.04 4.0
AO,	06/21	EXIS CAPITAL	044	KSS	B	5,000	52.22	n/a	4-Jun	250.00 5.0
AO,	06/21	EXIS CAPITAL	044	M	B	15,000	20.90	n/a	4-Jun	750.00 5.0

TOTAL (AO, CXL, CRT) :	4,000.00
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TOTAL (Trade Date) :	94,053.16
TOTAL (AO, CXL, CRT) :	4,000.00

TOTAL (RUN DATE) / NFS:	98,053.16
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MRI Securities with Trade Activity on 22-Jun. NONE

MRI Securities without Trade Activity on 22-Jun. NONE
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 32%

YTD = 9%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)