



Daily Compliance Activity Report

Trade Date: 02/15/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WASHINGTON ASSET	048	ADM	B	10,000	43.89	2-Jan	4-Feb	500.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	30,000	21.24	5-Feb	8-Feb	1,200.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	50,000	75.63	10-Jan	15-Feb	2,000.00	4.0
BARR	096	ARRS	B	1,500	5.34	n/a	n/a	0.00	0.0
GOLDMAN SACHS ASSET MGMT	044	ATI	S	60,000	81.18	n/a	n/a	900.00	1.5
BEAR STEARNS ASSET MGMT.	033	BBY	SS	72,000	44.48	n/a	27-Jul	2,880.00	4.0
GLG PARTNERS	034	BBY	B	100,000	44.54	n/a	27-Jul	5,000.00	5.0
EFSTATHIOU	044	BBY	S	400	43.95	n/a	27-Jul	0.00	0.0
BARR	096	BIDU	S	200	260.07	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	BJ	B	20,000	31.31	n/a	27-Jul	800.00	4.0
CATAPULT	040	BK	S	5,000	45.55	19-Oct	13-Feb	250.00	5.0
MFP INVESTORS	032	CBT	B	10,500	28.22	n/a	30-Jan	525.00	5.0
GOLDMAN SACHS ASSET MGMT	044	CHK	B	216,913	42.96	n/a	n/a	3,253.70	1.5
GOLDMAN SACHS ASSET MGMT	044	CMCSA	B	200,000	20.15	26-Oct	4-Jan	8,000.00	4.0
ZOLIDIS	011	CMG	B	470	97.81	n/a	24-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY1	036	CMG	B	100	97.81	n/a	24-Apr	0.00	0.0
EFSTATHIOU	044	CMG	B	100	96.78	n/a	24-Apr	0.00	0.0
RAINIER INV MGMT	035	COL	B	72,075	59.84	n/a	n/a	3,603.75	5.0
CUMBERLAND	038	CYH	B	25,000	31.04	10-Oct	15-Feb	1,000.00	4.0
NATIONAL BK OF CAN	031	C	S	40,000	25.46	16-Jan	14-Feb	1,600.00	4.0
EFSTATHIOU	044	EXH	S	130	69.83	n/a	31-Jan	0.00	0.0
TOKIO MARINE ASSET MGMT	045	FOSL	B	18,000	34.29	13-Nov	8-Feb	900.00	5.0
OTC ERROR	099	FOSL	B	18,000	34.29	13-Nov	8-Feb	0.00	0.0
OTC ERROR	099	FOSL	S	18,000	33.87	13-Nov	8-Feb	0.00	0.0
SAGE ASSET MGMT	012	FRE	S	182,660	28.40	n/a	n/a	9,133.00	5.0
WHITE PINE CAPITAL	030	FXI	SS	400	147.43	n/a	n/a	20.00	5.0
MAGUIRE	090	FXP	B	1,000	91.37	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	GLW	B	5,000	23.00	n/a	11-Feb	250.00	5.0
DIAMONDBACK CAP MGMT	232	GLW	SS	50,000	22.99	n/a	11-Feb	2,500.00	5.0
MS PRINCIPAL STRATEGIES	041	GS	SS	35,000	175.21	21-Sep	14-Feb	1,750.00	5.0
ROARING BLUE LION MGMT	012	GTIV	S	3,600	21.60	n/a	n/a	144.00	4.0
LAGRANGE CAP ADV	048	HYC	B	1,300	3.89	n/a	n/a	65.00	5.0
ROARING BLUE LION MGMT	012	IVZ	B	5,800	25.06	20-Dec	14-Feb	232.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	IWC	SS	1,000	47.83	n/a	n/a	50.00	5.0
WHITE PINE CAPITAL	030	IXG	SS	1,000	69.81	n/a	n/a	50.00	5.0
BUCK CAPITAL MGMT	001	JAH	S	40,000	23.86	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	46.48	4-Jan	15-Feb	800.00	4.0
MCCARTAN	028	JWN	SS	200	36.20	14-Feb	14-Feb	0.00	0.0
LUCHANSKY	036	JWN	S	200	36.01	14-Feb	14-Feb	0.00	0.0
DANIELS	N25	KEG	B	250	12.67	14-Feb	14-Feb	0.00	0.0
BAYPOINT/PRIMEMOVERS	041	KMX	B	3,700	18.50	n/a	10-Jan	129.50	3.5
BUCK CAPITAL MGMT	001	KMX	SS	20,000	19.26	n/a	10-Jan	800.00	4.0
THIRD COAST CAPITAL	035	LIZ	SS	10,000	17.99	n/a	15-Feb	500.00	5.0
BUCK CAPITAL MGMT	001	LTM	B	10,000	39.62	n/a	n/a	400.00	4.0
PRINCIPLE CAPITAL	040	MCD	SS	35,700	55.09	n/a	24-Apr	1,428.00	4.0
WHITE PINE CAPITAL	030	MER	S	11,600	51.24	18-Jan	14-Feb	580.00	5.0
LUCHANSKY1	036	MMAB	B	1,000	6.68	n/a	n/a	0.00	0.0
KORMAN	003	MSNI	B	50	2.40	n/a	n/a	0.00	0.0
PILOT ADV	038	M	S	20,000	25.60	7-Feb	15-Feb	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BACKBAY	041	PAG	B	100,000	17.95	6-Feb	6-Feb	5,000.00	5.0
COUGAR TRADING	044	PAG	B	20,000	17.95	6-Feb	6-Feb	1,000.00	5.0
FIRST NY SEC/CHRIS	041	PAG	B	21,000	18.00	6-Feb	6-Feb	1,260.00	6.0
SCOPUS FUND	041	PAG	B	30,000	17.91	6-Feb	6-Feb	1,500.00	5.0
FIRST NEW YORK LEDDY	035	PIR	B	15,000	5.45	n/a	n/a	600.00	4.0
NATIONAL BK OF CAN	031	PNC	B	8,000	63.54	n/a	n/a	320.00	4.0
COUGAR TRADING	044	PSUN	S	10,000	10.77	n/a	8-Feb	500.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	37.99	5-Feb	15-Feb	400.00	4.0
KORMAN	003	QIABW	B	100	1.85	n/a	n/a	0.00	0.0
CUMBERLAND	038	RHI	SS	5,000	26.29	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	30,000	65.14	7-Feb	15-Feb	1,200.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	6.79	n/a	n/a	800.00	4.0
GAULT	063	SKF	B	2,500	113.60	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	1,500	114.55	n/a	n/a	0.00	0.0
BARR	096	SRS	B	300	116.33	n/a	n/a	0.00	0.0
CUMBERLAND	038	SSI	B	5,000	12.67	4-Jan	8-Feb	200.00	4.0
GOLDMAN SACHS ASSET MGMT	044	STLD	B	60,000	54.80	n/a	n/a	1,200.00	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EFSTATHIOU	044	SWN	S	200	60.30	14-Jan	14-Feb	0.00	0.0
BARR	096	SYNP	B	3,200	4.32	n/a	n/a	0.00	0.0
CUMBERLAND	038	TM	SS	5,000	110.86	n/a	n/a	200.00	4.0
LUCHANSKY	036	TSU	S	300	37.95	n/a	n/a	0.00	0.0
ZOLIDIS	011	TWM	S	350	82.45	n/a	n/a	0.00	0.0
GOLDMAN SACHS ASSET MGMT	044	T	S	50,000	37.81	n/a	4-Jan	2,000.00	4.0
MAGUIRE	090	UALBG	B	50	2.25	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	UA	S	10,000	42.64	n/a	n/a	400.00	4.0
NATIONAL BK OF CAN	031	USB	B	15,000	32.66	n/a	n/a	600.00	4.0
LEDDY	035	USTR	SS	200	58.24	n/a	27-Jul	0.00	0.0
AMATURO	018	VISTEON CORPB		20,000	82.88	n/a	n/a	0.00	0.0
LEDDY	035	VISTEON CORPB		35,000	82.88	n/a	n/a	0.00	0.0
EFSTATHIOU	044	VISTEON CORPB		20,000	82.88	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	B	20,000	8.97	5-Feb	15-Feb	800.00	4.0
TOTAL (Trade Date):								71,823.95	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/14	GOLDMAN SACHS ASSET MGMT (GSAM3050)	044	CVS	S	32,400	40.16	n/a	n/a	486.00 1.5
AO,	02/14	GOLDMAN SACHS ASSET MGMT (GSAM2058)	044	CVS	S	25,900	40.16	n/a	n/a	388.50 1.5
AO,	02/14	GOLDMAN SACHS ASSET MGMT (GSAM772)	044	CVS	S	26,370	40.16	n/a	n/a	395.55 1.5
AO,	02/14	GOLDMAN SACHS ASSET MGMT (GSAM2001)	044	CVS	S	52,330	40.16	n/a	n/a	784.95 1.5
AO,	02/14	GOLDMAN SACHS ASSET MGMT (GSAM2762)	044	CVS	S	47,560	40.16	n/a	n/a	713.40 1.5
AO,CXL	02/13	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCP	S	390,000	47.71	4-Jan	15-Feb	15600.00 4.0
AO,CRT	02/13	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCP	S	389,600	47.71	4-Jan	15-Feb	15584.00 4.0
AO,	02/13	BUCK CAPITAL MGMT (BUCKGIL)	001	JCP	S	400	47.71	4-Jan	15-Feb	16.00 4.0
AO,CXL	02/13	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RL	SS	19,600	67.38	7-Feb	15-Feb	784.00 4.0
AO,CRT	02/13	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RL	SS	20,000	67.38	7-Feb	15-Feb	800.00 4.0
AO,CXL	02/13	BUCK CAPITAL MGMT (BUCKGIL)	001	RL	SS	400	67.38	7-Feb	15-Feb	16.00 4.0
TOTAL (AO, CXL, CRT) :										2,768.40
TOTAL (Trade Date) :										71,823.95
TOTAL (AO, CXL, CRT) :										2,768.40
TOTAL (RUN DATE) / NFS :										74,592.35

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION