



# Daily Compliance Activity Report

Trade Date: 03/19/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FORD FDN.	032	ABT	S	75,000	53.03	n/a	22-Apr	3,750.00	5.0
BALYASNY ASSET MGMT	044	ADM	B	10,000	34.19	n/a	n/a	500.00	5.0
<b>NOLAN</b>	<b>067</b>	<b>AOS</b>	<b>S</b>	<b>20</b>	<b>37.77</b>	<b>21-Dec</b>	<b>8-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>APC</b>	<b>S</b>	<b>400</b>	<b>40.03</b>	<b>27-Feb</b>	<b>12-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>30,000</b>	<b>39.47</b>	<b>23-Oct</b>	<b>16-Mar</b>	<b>1,200.00</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	B	10,000	39.95	23-Oct	16-Mar	500.00	5.0
J L F ASSET MGMT	039	ARO	B	30,200	39.60	23-Oct	16-Mar	1,208.00	4.0
ROCKEFELLER & CO.	040	ATVI	S	104,250	17.29	n/a	n/a	4,170.00	4.0
MAZAMA CAPITAL MGMT.	035	ATW	S	42,100	54.13	n/a	n/a	1,684.00	4.0
DEEPHAVEN	031	AVZ	S	15,000	23.29	5-Mar	15-Mar	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBA</b>	<b>C</b>	<b>1,800</b>	<b>1.19</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBW</b>	<b>S</b>	<b>10,000</b>	<b>26.74</b>	<b>8-Jan</b>	<b>19-Mar</b>	<b>400.00</b>	<b>4.0</b>
SAC TRADING HOWARD	038	BBW	B	15,000	26.72	8-Jan	19-Mar	750.00	5.0
THE JAY GOLDMAN	034	BBW	S	15,000	26.93	8-Jan	19-Mar	600.00	4.0
ROBX	033	BBW	S	29,000	26.62	8-Jan	19-Mar	1,160.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>B</b>	<b>20,000</b>	<b>47.73</b>	<b>13-Dec</b>	<b>21-Feb</b>	<b>non-brg</b>	<b>5.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>SS</b>	<b>20,000</b>	<b>31.28</b>	<b>9-Mar</b>	<b>16-Mar</b>	<b>800.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>65,000</b>	<b>56.71</b>	<b>9-Mar</b>	<b>16-Mar</b>	<b>non-brg</b>	<b>5.0</b>
PILOT ADV	038	BOW	B	60,000	23.31	n/a	20-Mar	2,400.00	4.0
ARIENCE CAPITAL	036	BYD	B	23,620	44.76	21-Feb	21-Feb	1,181.00	5.0
JP MORGAN VENTURES	046	CAKE	B	15,000	27.69	7-Feb	7-Feb	750.00	5.0
THE JAY GOLDMAN	034	CAKE	S	27,000	27.50	7-Feb	7-Feb	1,080.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CBK</b>	<b>B</b>	<b>70,000</b>	<b>17.80</b>	<b>11-Dec</b>	<b>9-Mar</b>	<b>2,800.00</b>	<b>4.0</b>
<b>KAICHER</b>	<b>064</b>	<b>CBLI</b>	<b>B</b>	<b>1,000</b>	<b>8.29</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CIBC ADV.	060	CBS	SS	23,000	30.48	n/a	n/a	920.00	4.0
SLS CAPITAL LLC	224	CLMS	SS	55,600	22.33	12-Mar	20-Mar	2,780.00	5.0
<b>Õ Glenn Redemann</b>		<b>CLM</b>	<b>B</b>	<b>1176</b>	<b>8.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GALLEON MGMT LP	033	CL	B	5,000	65.77	31-Jan	31-Jan	250.00	5.0
MAZAMA CAPITAL MGMT.	035	CNS	B	50,700	41.55	n/a	n/a	2,028.00	4.0
GALLEON MGMT LP	033	CSG	S	5,000	48.27	n/a	n/a	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CTRN</b>	<b>S</b>	<b>6,000</b>	<b>44.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
SOROS	036	CVS	S	97,800	33.03	n/a	n/a	4,890.00	5.0
BLUE HARBOR	036	CWTR	SS	303,000	17.61	n/a	n/a	15,150.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OTC ERROR	099	CWTR	B	120,917	17.62	n/a	n/a	0.00	0.0
OTC ERROR	099	CWTR	SS	120,917	17.62	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CYH	B	130,000	34.40	16-Feb	19-Mar	non-brg	5.0
⌘ BUCK CAPITAL MGMT		DBRN	B	40,000	20.05	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		DKS	B	15,000	55.79	n/a	n/a	non-brg	5.0
KALINOWSKI	070	DRBNX	S	2,007	23.75	n/a	n/a	0.00	0.0
GLG PARTNERS	034	DRI	S	25,000	40.64	19-Mar	19-Mar	1,250.00	5.0
TIGF	046	EAGL	S	15,000	36.60	n/a	n/a	600.00	4.0
⌘ BUCK CAPITAL MGMT		EV	S	36,400	34.47	2-Nov	5-Mar	non-brg	5.0
⌘ BUCK CAPITAL MGMT		EV	S	60,000	34.58	2-Nov	5-Mar	non-brg	2.0
GLICK	059	FBNDX	S	3,205	7.40	n/a	n/a	0.00	0.0
GLICK	059	FDIVX	S	764	37.17	n/a	n/a	0.00	0.0
IVORY CAPITAL	034	FD	S	100,000	44.91	26-Jan	9-Mar	5,000.00	5.0
BARR	096	FLT	S	200	2.32	n/a	n/a	0.00	0.0
BELMONT GLOBAL ADV	248	FL	B	40,000	22.39	n/a	19-Mar	2,000.00	5.0
BUCK CAPITAL MGMT	001	FL	B	60,000	22.41	n/a	19-Mar	2,400.00	4.0
SCOPUS FUND	039	FL	B	50,000	22.26	n/a	19-Mar	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		F	C	100,000	7.70	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GCO	B	15,000	42.74	n/a	10-Jan	600.00	4.0
SYSTEMATIC FINANCIAL MGT	035	GCO	B	40,000	42.75	n/a	10-Jan	1,500.00	3.8
BARR	096	GG	S	400	24.22	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GIII	B	5,000	19.84	n/a	n/a	non-brg	1.0
LEDDY	035	GLW	S	500	22.23	n/a	2-Mar	0.00	0.0
BARR	096	GMRF	B	10	2.80	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GTRC	S	5,000	44.77	n/a	n/a	non-brg	5.0
WAGONHOUND	048	GYMB	B	7,500	39.06	4-Jan	14-Mar	300.00	4.0
PRIME LOGIC ADV	039	HBI	B	2,600	27.42	n/a	n/a	130.00	5.0
⌘ BUCK CAPITAL MGMT		HLYS	B	20,000	30.89	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	HOT	B	46,500	64.34	n/a	n/a	1,860.00	4.0
MAZAMA CAPITAL MGMT.	035	HPY	S	20,000	23.89	n/a	n/a	800.00	4.0
⌘ BUCK CAPITAL MGMT		ICON	S	10,000	20.58	n/a	n/a	non-brg	5.0
MERRILL ASSET	032	IFC	S	57,500	19.01	n/a	n/a	2,875.00	5.0
WAGONHOUND	048	ISE	B	6,600	47.54	26-Oct	13-Mar	264.00	4.0
⌘ BUCK CAPITAL MGMT		IWM	C	3,000	78.07	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	JAH	S	5,000	37.18	n/a	n/a	250.00	5.0
COUGAR TRADING	044	JCG	B	5,000	38.54	14-Mar	14-Mar	250.00	5.0
ß BUCK CAPITAL MGMT		JOSB	B	139,000	34.16	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		JOSB	B	21,000	32.75	n/a	n/a	non-brg	2.0
Õ Glenn Redemann		JSN	B	547	18.25	n/a	n/a	0.00	0.0
CUMBERLAND	038	JWN	S	25,000	51.58	27-Feb	9-Mar	1,000.00	4.0
ß BUCK CAPITAL MGMT		KCP	B	12,000	26.40	n/a	28-Feb	non-brg	5.0
ß BUCK CAPITAL MGMT		KCP	B	58,000	26.47	n/a	28-Feb	non-brg	5.0
BUCK CAPITAL MGMT	001	KMX	B	10,000	53.55	n/a	10-Jan	400.00	4.0
BUCK CAPITAL MGMT	001	KWD	S	25,400	30.84	n/a	n/a	1,016.00	4.0
SAC TRADING HOWARD	038	KWD	SS	10,000	30.46	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	LIZ	B	17,500	43.40	n/a	28-Feb	700.00	4.0
SUNOVA CAPITAL	031	LM	SS	25,000	93.44	16-Mar	16-Mar	1,250.00	5.0
NCM CAPITAL MGMT	035	LRCX	B	14,775	45.21	n/a	n/a	738.75	5.0
BUCK CAPITAL MGMT	001	LTM	S	4,900	49.30	n/a	n/a	196.00	4.0
ß BUCK CAPITAL MGMT		MDTL	S	3,500	16.96	n/a	n/a	non-brg	1.0
Õ Glenn Redemann		MFV	B	930	10.75	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAPITAL GROWTH MGMT.	241	MOS	B	150,000	26.94	n/a	n/a	7,500.00	5.0
JENNISON	032	MOS	S	47,000	27.06	n/a	n/a	1,880.00	4.0
⌘ BUCK CAPITAL MGMT		MVRX	SS	37,600	194.28	n/a	n/a	non-brg	19.4
LEDDY	035	MWV	S	900	29.61	8-Jan	20-Mar	0.00	0.0
EMP ERROR	098	MWV	B	20	29.65	8-Jan	20-Mar	0.00	0.0
KORMAN	003	NDEPD	S	25	0.45	n/a	n/a	0.00	0.0
KORMAN	003	NDEPE	S	50	1.40	n/a	n/a	0.00	0.0
KORMAN	003	NDEPX	S	25	0.75	n/a	n/a	0.00	0.0
PLATTE RIVER CAPITAL	035	NDN	B	3,500	14.53	16-Jan	12-Mar	140.00	4.0
GALLEON MGMT LP	033	NUE	SS	5,000	62.25	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	NVT	B	1,700	31.97	n/a	n/a	68.00	4.0
BUCK CAPITAL MGMT	001	NWY	S	10,000	15.32	1-Dec	9-Mar	400.00	4.0
⌘ BUCK CAPITAL MGMT		OEF	C	4,000	64.32	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		OIH	SS	30,000	142.07	n/a	n/a	non-brg	1.0
GALLEON MGMT LP	033	PPC	S	5,000	31.49	n/a	n/a	250.00	5.0
RH CAPITAL ASSOCIATES	060	PRGS	S	19,909	28.28	n/a	n/a	995.45	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ACT II PARTNERS	067	PSMT	B	3,100	14.98	n/a	n/a	155.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>PSMT</b>	<b>B</b>	<b>77</b>	<b>14.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC TRADING HOWARD	038	PTRY	S	10,000	44.63	n/a	n/a	500.00	5.0
NCM CAPITAL MGMT	035	PWR	S	77,500	24.40	n/a	n/a	3,540.00	4.6
<b>KAICHER</b>	<b>064</b>	<b>REV</b>	<b>B</b>	<b>316</b>	<b>1.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>RSH</b>	<b>SS</b>	<b>20,000</b>	<b>25.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>RTH</b>	<b>C</b>	<b>20,000</b>	<b>100.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>RTH</b>	<b>SS</b>	<b>36,000</b>	<b>100.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>SDXC</b>	<b>B</b>	<b>10,000</b>	<b>18.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>KAICHER</b>	<b>064</b>	<b>SIRI</b>	<b>S</b>	<b>300</b>	<b>3.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KAICHER</b>	<b>064</b>	<b>SNCR</b>	<b>S</b>	<b>1,000</b>	<b>18.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TGT</b>	<b>B</b>	<b>5,000</b>	<b>60.14</b>	<b>n/a</b>	<b>8-Mar</b>	<b>200.00</b>	<b>4.0</b>
AVESTA CAP	229	TIF	B	25,000	43.00	n/a	11-Jan	1,250.00	5.0
HARVEST MGMT	039	TIF	B	40,000	42.90	n/a	11-Jan	2,000.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>B</b>	<b>100,000</b>	<b>26.34</b>	<b>n/a</b>	<b>20-Mar</b>	<b>non-brg</b>	<b>5.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>B</b>	<b>100,000</b>	<b>26.40</b>	<b>n/a</b>	<b>20-Mar</b>	<b>non-brg</b>	<b>5.0</b>
EGS ASSOCIATES LP	091	TJX	B	3,000	26.36	n/a	20-Mar	180.00	6.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>S</b>	<b>10,000</b>	<b>24.62</b>	<b>10-Jan</b>	<b>8-Mar</b>	<b>400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALLEON MGMT LP	033	UBS	S	5,000	57.81	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		URBN	B	10,000	24.36	7-Dec	9-Mar	non-brg	5.0
CIBC ADV.	060	VIAB	SS	23,000	41.01	n/a	n/a	920.00	4.0
⌘ BUCK CAPITAL MGMT		WMAR	C	3,800	16.99	n/a	n/a	non-brg	0.4
MAGNETAR FINANCIAL	247	WMT	S	20,000	46.67	n/a	8-Mar	800.00	4.0
MORGAN LEWIS/MLH	046	WMT	B	10,000	46.63	n/a	8-Mar	500.00	5.0
SOROS	036	WMT	B	69,030	46.58	n/a	8-Mar	3,451.50	5.0
⌘ BUCK CAPITAL MGMT		WRNC	S	5,000	27.96	18-Jan	27-Feb	non-brg	5.0
⌘ BUCK CAPITAL MGMT		ZUMZ	S	25,000	39.28	n/a	n/a	non-brg	5.0
<b>TOTAL (Trade Date):</b>							<b>105,960.70</b>		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/16	HOOVER INV. MGMT	033	ANN	B	180,400	38.41	5-Jan	19-Mar	9020.00 5.0
AO,CRT	03/16	BALYASNY ASSET MGMT	237	AVZ	S	25,000	22.98	5-Mar	15-Mar	1250.00 5.0
AO,CXL	03/16	DENVER INST. ADV	046	ENDP	S	4,300	28.47	23-Feb	19-Mar	215.00 5.0
AO,CRT	03/16	DENVER INST. ADV	046	ENDP	S	4,300	28.47	23-Feb	19-Mar	215.00 5.0
AO,	03/16	WHEELER	008	LEA	S	9,900	25.00	n/a	n/a	0.00 0.0
AO,	03/16	EFSTATHIOU	044	PZZA	B	500	30.00	n/a	28-Feb	0.00 0.0
AO,CRT	03/14	PILOT ADV	038	USG	SS	8,500	47.78	13-Mar	19-Mar	340.00 4.0
AO,CXL	03/14	PILOT ADV	038	USG	SS	8,500	47.78	13-Mar	19-Mar	340.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>10,270.00</b>

TOTAL (Trade Date) :	105,960.70
TOTAL (AO, CXL, CRT) :	10,270.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>116,230.70</b>
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MRI Securities with Trade Activity on 19-Mar. DRI
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MRI Securities without Trade Activity on 19-Mar.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 17%  
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION