



Daily Compliance Activity Report

Trade Date: 12/27/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
XYLEM GLOBAL	091	ABH	B	50,000	21.78	n/a	21-Sep	2,500.00	5.0
GOLDMAN SACHS ASSET MGMT	044	ABT	S	65,000	57.07	n/a	22-Apr	975.00	1.5
ROYCE TRADING	216	AEO	B	55,000	20.62	9-Nov	7-Dec	2,750.00	5.0
CAXTON PART	040	AGN	B	20,000	65.02	9-Oct	27-Dec	700.00	3.5
CAXTON PART	040	AMR	S	75,000	14.92	n/a	n/a	2,625.01	3.5
BARR	096	AMR	S	400	14.96	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	S	70,000	81.32	2-Oct	7-Dec	2,800.00	4.0
COUGAR TRADING	044	ANF	SS	5,000	81.25	2-Oct	7-Dec	250.00	5.0
CAPITAL GROWTH MGMT.	241	APD	B	57,000	102.33	n/a	24-Oct	2,850.00	5.0
WHITE PINE CAPITAL	030	APOG	S	700	18.25	n/a	n/a	35.00	5.0
BULL PATH CAPITAL	044	ARO	S	25,000	27.21	29-Nov	7-Dec	1,250.00	5.0
TROOB CAPITAL	067	BBI	S	25,000	4.00	n/a	n/a	750.00	3.0
B BUCK CAPITAL MGMT		BONT	S	138,600	10.11	9-Nov	7-Dec	non-brg	4.0
MKT MAKER	099	BONT	B	100	10.00	9-Nov	7-Dec	0.00	0.0
OPPENHEIMER MGMT.	036	CAG	S	51,900	24.02	n/a	n/a	2,076.00	4.0
BARR	096	CAL	S	450	22.95	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	CHCG	S	2,000	3.13	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	CMGI	S	1,000	13.52	n/a	n/a	50.00	5.0
BARR	096	COF	SS	500	47.41	n/a	n/a	0.00	0.0
Õ Doug Rogers		CPN	B	23	114.08	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	CRR	B	3,450	36.99	25-Oct	30-Nov	172.50	5.0
PILOT ROCK	028	CSC	SS	30,000	49.81	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		CTRN	S	25,100	16.06	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		CTSH	SS	10,000	34.48	n/a	n/a	non-brg	4.0
CATAPULT	040	C	S	5,000	30.00	5-Nov	19-Dec	250.00	5.0
KAICHER	064	C	S	2,500	29.61	5-Nov	19-Dec	0.00	0.0
ß BUCK CAPITAL MGMT		DBRN	S	50,000	13.25	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DOUGR	SS	10,000	57.53	n/a	n/a	non-brg	11.5
ß BUCK CAPITAL MGMT		DSGILN	SS	50,000	2.03	n/a	n/a	non-brg	0.3
PILOT ROCK	028	EBAY	SS	25,000	34.05	n/a	n/a	1,250.00	5.0
BUCK	015	EFA	B	400	78.82	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	EIG	B	10,000	17.24	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAPITAL	038	FCL	B	19,000	52.35	n/a	n/a	950.00	5.0
ROYCE TRADING	216	FOSL	S	25,000	43.27	13-Nov	13-Nov	1,250.00	5.0
CROWLEY	061	FSIIX	S	309	47.17	n/a	n/a	0.00	0.0
BARR	096	FXP	S	500	73.75	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GEOIM	SS	10,000	20.42	n/a	n/a	non-brg	4.1
PROSPECTOR PARTNERS LLC	036	GFI	B	10,000	14.55	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	GM	SS	30,000	26.16	n/a	4-Dec	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		GYMB	S	70,000	30.13	10-Aug	7-Dec	non-brg	4.0
TROUBH PART	067	HAL	S	100,000	38.06	n/a	n/a	5,000.00	5.0
LEDDY	035	HCGI	B	100	0.75	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		HOMELN	SS	50,000	6.54	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HSTX	S	29,905	18.04	n/a	n/a	non-brg	1.0
HIGHBRIDGE CAPITAL	038	HST	B	5,000	17.29	n/a	n/a	250.00	5.0
MAGUIRE	090	ICO	S	30,000	5.39	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		IJJ	SS	28,500	80.86	n/a	n/a	non-brg	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHEELER	008	IMMC	S	50,353	0.85	n/a	n/a	0.00	0.0
WHEELER	008	IOM	S	40,000	3.29	n/a	n/a	0.00	0.0
BARR	096	IOM	S	8,000	3.33	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		ITXSM	SS	10,000	61.48	n/a	n/a	non-brg	12.3
⌘ BUCK CAPITAL MGMT		IWM	SS	66,300	77.76	n/a	n/a	non-brg	2.0
WHITE PINE CAPITAL	030	IWM	C	3,000	78.35	n/a	n/a	150.00	5.0
⌘ BUCK CAPITAL MGMT		IWO	C	60,400	85.43	n/a	n/a	non-brg	2.0
WHITE PINE CAPITAL	030	IWO	C	3,000	85.80	n/a	n/a	150.00	5.0
BUCK CAPITAL MGMT	001	JAH	B	10,000	23.30	n/a	n/a	400.00	4.0
FORD FDN.	032	JCI	B	50,000	36.48	6-Nov	6-Nov	2,500.00	5.0
MAGUIRE	090	JCP	S	1,500	43.48	15-Nov	7-Dec	0.00	0.0
CAPITAL GROWTH MGMT.	241	JNJ	B	30,000	67.40	n/a	22-Apr	1,200.00	4.0
BUCK CAPITAL MGMT	001	KMX	SS	20,000	19.27	n/a	10-Jan	800.00	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	50,000	44.91	9-Nov	7-Dec	non-brg	4.0
BUCK	015	LEH	C	1,000	64.88	n/a	19-Dec	0.00	0.0
BUCK CAPITAL MGMT	001	LTM	S	10,000	50.11	n/a	n/a	400.00	4.0
HIGHBRIDGE CAPITAL	038	MAR	B	3,000	33.99	n/a	n/a	150.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KORMAN	003	MBI	B	2,000	22.75	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MDY	C	14,600	157.80	n/a	n/a	non-brg	2.0
UNITED STATES PENSION	032	MHS	S	1,500	102.07	n/a	n/a	75.00	5.0
MORGAN LEWIS/MLH	046	MSFT	S	50,000	36.23	n/a	3-Apr	2,500.00	5.0
EGS ASSOCIATES LP	091	M	S	3,000	25.25	9-Nov	7-Dec	180.00	6.0
MAGUIRE	090	M	S	2,500	25.28	9-Nov	7-Dec	0.00	0.0
PROSPECTOR PARTNERS LLC	036	NEM	B	10,000	48.80	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		OIH	C	9,100	191.24	n/a	n/a	non-brg	2.0
STELLIAM INV ADV	040	OXY	SS	10,000	78.06	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		PAG	S	10,000	17.88	n/a	12-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PAG	S	35,914	17.91	n/a	12-Dec	non-brg	4.0
HIGHBRIDGE CAPITAL	038	PCL	B	26,000	47.04	n/a	23-Oct	1,300.00	5.0
HIGHBRIDGE CAPITAL	038	PCX	B	2,998	41.84	n/a	n/a	149.90	5.0
BUCK	015	PEP	S	600	77.12	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	PFE	B	10,000	23.06	n/a	31-Mar	400.00	4.0
⌘ BUCK CAPITAL MGMT		PLCE	S	162,900	26.43	3-Dec	7-Dec	non-brg	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		PLCE	S	37,100	26.28	3-Dec	7-Dec	non-brg	2.0
	WHEELER	008	PMD	S	5,700	15.33	n/a	n/a	0.00	0.0
	WHITE PINE CAPITAL	030	PRKR	S	1,500	14.77	n/a	n/a	75.00	5.0
	CAXTON PART	040	PRU	B	10,000	93.44	n/a	n/a	350.02	3.5
	BUCK CAPITAL MGMT	001	PSS	S	70,000	17.24	n/a	n/a	2,800.00	4.0
ß	BUCK CAPITAL MGMT		PSS	S	120,000	17.25	n/a	n/a	non-brg	2.5
	EGS ASSOCIATES LP	091	PVH	S	5,500	37.72	5-Dec	21-Dec	330.00	6.0
	BUCK CAPITAL MGMT	001	RL	S	20,000	61.59	8-Nov	8-Nov	800.00	4.0
ß	BUCK CAPITAL MGMT		RSTO	S	17,300	6.51	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RSTO	S	75,000	6.62	n/a	n/a	non-brg	4.0
	WHITE PINE CAPITAL	030	SCSS	S	7,775	7.40	n/a	n/a	388.75	5.0
ß	BUCK CAPITAL MGMT		SIGLN	SS	50,000	1.44	n/a	n/a	non-brg	0.2
	TROOB CAPITAL	067	SIX	S	50,300	2.23	n/a	n/a	1,509.00	3.0
	BUCK CAPITAL MGMT	001	SKS	S	150,000	20.72	n/a	n/a	6,000.00	4.0
	WHEELER	008	SWNCI	B	30	12.50	n/a	n/a	0.00	0.0
	WHEELER	008	SWN	S	3,000	56.25	15-Nov	20-Dec	0.00	0.0
	BARR	096	SYNP	B	4,229	5.52	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	TAP	B	37,425	52.44	n/a	n/a	1,403.43	3.8
⌘ BUCK CAPITAL MGMT		URBN	S	50,000	27.53	9-Nov	7-Dec	non-brg	2.5
⌘ BUCK CAPITAL MGMT		URBN	S	70,000	27.08	9-Nov	7-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		XLE	SS	21,750	80.01	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		ZUMZ	S	20,000	26.72	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):								56,844.61	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/26	TROOB CAPITAL	067	BBI	S	25,000	4.11	n/a	n/a	750.00 3.0
AO,CXL	12/26	TROOB CAPITAL	067	BBI	S	25,000	4.11	n/a	n/a	750.00 3.0
AO,	12/26	UNITED STATES PENSION	032	MHS	S	38,131	103.13	n/a	n/a	1906.55 5.0

TOTAL (AO, CXL, CRT) :	1,906.55
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TOTAL (Trade Date) :	56,844.61
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TOTAL (AO, CXL, CRT) :	1,906.55
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TOTAL (RUN DATE) / NFS:	58,751.16
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MRI Securities with Trade Activity on 27-Dec. NONE

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BRG comm. as a % of BCM Total Comm. paid. T-Date = 27% YTD = 21%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION