



Daily Compliance Activity Report

Trade Date: 04/30/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AMG	B	20,000	119.04	25-Apr	25-Apr	1,000.00	5.0
MAZAMA CAPITAL MGMT.	035	AMG	B	37,700	118.25	25-Apr	25-Apr	1,508.00	4.0
SUNOVA CAPITAL	031	AMG	S	20,000	118.52	25-Apr	25-Apr	1,000.00	5.0
COLUMBIA PARTNERS	028	ANF	SS	11,014	81.68	2-Feb	25-Apr	440.56	4.0
BARR	096	AVNX	B	7,000	1.80	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BBW	S	10,000	27.56	19-Mar	25-Apr	400.00	4.0
SUNOVA CAPITAL	031	BEN	S	20,000	131.30	26-Apr	26-Apr	1,000.00	5.0
Glenn Redemann		BMC	B	4	0.4200	n/a	n/a	0.00	0.0
Glenn Redemann		BMC	B	4	0.6800	n/a	n/a	0.00	0.0
CENTURION PARTNERS	233	BONT	B	3,000	50.12	9-Mar	26-Apr	150.00	5.0
XYLEM GLOBAL	091	BOW	B	200,000	22.06	n/a	27-Apr	10,000.00	5.0
FORTRESS CAPITAL	040	BYI	B	25,000	23.98	n/a	n/a	1,250.00	5.0
MAGUIRE	090	CAMH	S	10,000	3.25	n/a	n/a	0.00	0.0
GASNER	025	CAT	B	100	72.65	n/a	n/a	0.00	0.0
MAGUIRE	090	CCOI	S	5,000	25.44	n/a	n/a	0.00	0.0
SUTERA	160	CHS	C	1,000	26.59	27-Mar	25-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUTERA	160	CHS	SS	1,000	27.93	27-Mar	25-Apr	0.00	0.0
MAGUIRE	090	CHTR	S	50,000	3.11	n/a	n/a	0.00	0.0
FORTRESS CAPITAL	040	CNW	SS	5,500	55.37	n/a	n/a	275.00	5.0
FORTRESS CAPITAL	040	CNW	S	24,500	55.40	n/a	n/a	1,225.00	5.0
COUGAR TRADING	044	COH	B	5,000	50.70	23-Feb	25-Apr	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	COH	C	15,000	48.80	23-Feb	25-Apr	600.00	4.0
EMP ERROR	099	CSCO	S	500	27.03	n/a	22-Mar	0.00	0.0
MATTHES CAPITAL MGMT	033	CSV	S	1,300	8.20	n/a	n/a	52.00	4.0
THIRD COAST CAPITAL	035	CWTR	SS	40,000	21.16	n/a	n/a	2,000.00	5.0
MAGUIRE	090	EMKR	S	10,500	4.96	n/a	n/a	0.00	0.0
BUCK	015	EVVV	B	1,000	18.00	n/a	n/a	0.00	0.0
BARON ASSET MGMT*	012	EV	B	30,000	38.18	n/a	30-Apr	1,500.00	5.0
GASNER	025	EXM	B	150	22.65	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FD	SS	10,000	44.26	26-Jan	25-Apr	400.00	4.0
LEVIN CAPITAL STRATEGIES	012	F	SS	15,000	8.20	n/a	27-Apr	450.00	3.0
BUCK CAPITAL MGMT	001	GCO	SS	30,000	50.66	n/a	10-Jan	1,200.00	4.0
BUCK CAPITAL MGMT	001	GES	B	35,000	40.22	n/a	n/a	1,400.00	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Glenn Redemann		GES	B	2	0.8400	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		GES	B	2	1.0100	n/a	n/a	0.00	0.0
	WHITE PINE CAPITAL	030	GGG	S	175	39.67	n/a	n/a	8.75	5.0
	KORMAN	003	GIL	B	2,500	64.01	n/a	30-Apr	0.00	0.0
	BRIGHTPOINT CAP	067	GNTX	SS	10,000	17.69	n/a	24-Apr	500.00	5.0
	AMBER CAPITAL	046	GPN	B	113,500	37.99	n/a	n/a	4,540.00	4.0
	JP MORGAN VENTURES	046	HBI	B	15,000	26.75	n/a	n/a	750.00	5.0
	BUCK	015	HLT	B	1,000	34.70	n/a	n/a	0.00	0.0
	WHITE PINE CAPITAL	030	HRS	B	7,300	52.28	30-Apr	30-Apr	365.00	5.0
	STADIA CAPITAL	035	IGT	S	25,000	38.35	n/a	20-Apr	1,000.00	4.0
	HARVEST MGMT	039	IP	SS	15,000	37.93	20-Mar	18-Apr	750.00	5.0
	MILLENIUM PARTNERS	028	IP	SS	6,500	38.12	20-Mar	18-Apr	325.00	5.0
	LEDDY	035	IP	SS	500	37.81	20-Mar	18-Apr	0.00	0.0
	MISSOURI VALLEY PART	046	ISE	S	51,990	64.68	30-Apr	30-Apr	2,599.50	5.0
	MAGUIRE	039	ISE	S	100	65.05	30-Apr	30-Apr	0.00	0.0
	BUCK	015	ISPH	S	2,200	7.80	n/a	n/a	0.00	0.0
	SAB CAPITAL	034	IWM	B	20,000	81.70	n/a	n/a	1,000.00	5.0
	BUCK CAPITAL MGMT	001	JCP	B	10,000	80.14	20-Apr	20-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LOOMIS SALES/BOSTON	036	JCP	B	61,037	80.90	20-Apr	20-Apr	2,441.48	4.0
BALYASNY ASSET MGMT	044	JNC	B	60,000	52.71	30-Apr	30-Apr	3,000.00	5.0
SANDLER O'NEILL ASSET	031	JNC	S	7,500	53.94	30-Apr	30-Apr	375.00	5.0
COUGAR TRADING	044	JWN	S	5,000	55.24	27-Feb	25-Apr	250.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	20,000	44.75	n/a	30-Apr	800.00	4.0
XYLEM GLOBAL	091	LPX	B	250,000	20.02	20-Mar	26-Apr	12,500.00	5.0
APEX CAPITAL MGMT.	091	LTD	B	27,400	27.94	2-Mar	27-Apr	1,370.00	5.0
MORGAN LEWIS/MLH	046	LUV	SS	10,000	14.45	n/a	n/a	500.00	5.0
CASTLEROCK	040	LVL	SS	283,300	5.61	30-Apr	30-Apr	8,499.00	3.0
SSI INVESTORS	048	LVL	SS	50,000	5.60	30-Apr	30-Apr	1,500.00	3.0
ADAGE CAPITAL MGMT	030	LYO	B	2,200	31.96	n/a	26-Apr	88.00	4.0
REINHART & MAHONEY	031	MAN	B	11,665	81.16	n/a	n/a	583.25	5.0
HIGHBRIDGE CAPITAL	038	MCHP	SS	44,000	40.64	n/a	n/a	2,200.00	5.0
GRONET	030	MER	S	200	90.91	20-Apr	20-Apr	0.00	0.0
MAGUIRE	090	ME	S	5,000	22.75	n/a	n/a	0.00	0.0
PILOT ROCK	028	MIR	S	158,908	45.04	n/a	n/a	6,356.32	4.0
COLUMBIA PARTNERS	028	MNC	SS	13,574	15.29	n/a	n/a	542.96	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRONET	030	MSFT	S	100	30.14	n/a	3-Apr	0.00	0.0
HARVEST MGMT	039	MWV	B	20,000	33.02	20-Mar	18-Apr	1,000.00	5.0
MILLENIUM PARTNERS	028	MWV	B	25,000	33.29	20-Mar	18-Apr	1,250.00	5.0
MAGUIRE	090	NGI	S	5,000	4.88	n/a	n/a	0.00	0.0
GAULT	063	NLS	S	1,000	14.09	n/a	n/a	0.00	0.0
LHFG	046	NWS	S	25,000	24.05	n/a	n/a	1,000.00	4.0
GRONET	030	OPY	S	300	38.79	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	15,000	46.63	n/a	n/a	600.00	4.0
NOLAN	067	PCL	S	10	39.82	n/a	24-Apr	0.00	0.0
THIRD COAST CAPITAL	035	PENN	S	15,000	48.18	n/a	n/a	750.00	5.0
Õ Glenn Redemann		PHM	B	7	0.1600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PHM	B	7	0.1700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PHM	SS	7	0.7900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PHM	SS	7	0.8900	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	PNC	B	10,000	74.60	n/a	n/a	500.00	5.0
NCM CAPITAL MGMT	035	PTI	S	33,300	26.57	n/a	n/a	1,665.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	56.55	28-Mar	28-Mar	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND	048	PVH	S	10,500	56.66	28-Mar	28-Mar	420.00	4.0
GALLEON MGMT LP	033	RSH	C	10,000	29.59	n/a	n/a	500.00	5.0
GALLEON MGMT LP	033	RSH	SS	20,000	30.61	n/a	n/a	1,000.00	5.0
NEUBERGER BERMAN	036	SFD	B	45,100	30.75	n/a	n/a	2,255.00	5.0
MAGUIRE	090	SFD	S	5,600	30.58	n/a	n/a	0.00	0.0
ZOLIDIS	011	SHOO	S	600	30.25	n/a	n/a	0.00	0.0
KAICHER	064	SNCR	S	1,000	23.53	n/a	n/a	0.00	0.0
KORMAN	003	SNEN	B	10,000	2.60	n/a	n/a	0.00	0.0
MAGUIRE	090	SNWL	S	15,000	8.12	n/a	n/a	0.00	0.0
GRISANTI BROWN & PARTNER	048	SSCC	S	81,200	12.09	n/a	18-Apr	4,060.00	5.0
BARR	096	SWIM	SS	7,443	13.86	n/a	n/a	0.00	0.0
BUCK	015	TIN	B	500	59.89	26-Apr	26-Apr	0.00	0.0
GOLD SACHS SPECIAL SIT	030	TSAI	B	59,000	31.98	n/a	n/a	2,950.00	5.0
GRONET	030	TYC	S	200	32.99	n/a	6-Feb	0.00	0.0
LHFG	046	TYC	B	10,000	33.00	n/a	6-Feb	400.00	4.0
UBS OCONNOR	031	UAG	B	50,000	20.37	n/a	13-Apr	1,500.00	3.0
PRIME LOGIC ADV	039	VG	B	100,000	3.04	9-Mar	30-Apr	3,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	VLO	VLO	S	4,000	71.53	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WSM	SS	10,000	35.23	n/a	n/a	400.00	4.0
XYLEM GLOBAL	091	WY	B	10,000	79.99	15-Dec	18-Apr	500.00	5.0
MAGUIRE	090	XIDE	S	15,000	9.34	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							103,494.82		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/26	EMP ERROR (EMP ERROR)	097	CSCO	B	500	27.00	n/a	22-Mar	0.00 0.0
AO,CXL	04/26	BINDER (BINDER)	007	CSCO	B	500	27.00	n/a	22-Mar	0.00 0.0
AO,CXL	04/26	BRAHMAN CAPITAL (BRAHGOLDMA)	028	EK	B	20,000	25.49	n/a	n/a	1000.00 5.0
AO,	04/26	BRAHMAN CAPITAL (BRAHGOLDMA)	028	EK	B	20,000	25.49	n/a	n/a	1000.00 5.0
AO,CXL	04/26	BRAHMAN CAPITAL (BRAHBSPB)	028	EK	B	30,000	25.49	n/a	n/a	1500.00 5.0
AO,	04/26	BRAHMAN CAPITAL (BRAHBSPB)	028	EK	B	30,000	25.49	n/a	n/a	1500.00 5.0
AO,CRT	04/25	S.A.C. CAPITAL MGMT. (SACCNEW)	044	PKG	B	100,000	24.99	n/a	18-Apr	5000.00 5.0
AO,CXL	04/25	SAC DOUG RODGERS (SACD)	040	PKG	B	100,000	24.99	n/a	18-Apr	5000.00 5.0
AO,CXL	04/27	ACT II PARTNERS (ACT2-GOLDM)	067	XOHO	B	50,000	5.18	n/a	n/a	2500.00 5.0
AO,CRT	04/27	ACT II PARTNERS (ACT2-GOLDM)	067	XOHO	B	50,000	5.18	n/a	n/a	2000.00 4.0
TOTAL (AO, CXL, CRT) :										-500.00
TOTAL (Trade Date) :										103,494.82
TOTAL (AO, CXL, CRT) :										-500.00
TOTAL (RUN DATE) / NFS :										102,994.82

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION