

## **Daily Compliance Activity Report** Trade Date: 01/31/2007

## POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
BARR	096	ABK	С	100	88.66	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	ABT	В	2,000	53.04	n/a	22-Apr	80.00	4.0
BALYASNY ASSET MGMT	044	AB	В	5,000	89.66	24-Jan	25-Jan	250.00	5.0
COLUMBUS CIRCLE	036	ADS	В	4,300	67.24	n/a	n/a	172.00	4.0
PRINCIPLE CAPITAL	040	AEOS	В	27,000	32.16	4-Jan	12-Jan	810.00	3.0
UBS OCONNOR	031	AEOS	В	50,000	32.61	4-Jan	12-Jan	2,500.00	5.0
AMBER CAPITAL	046	AIN	В	1,000	33.50	n/a	n/a	40.00	4.0
MS PRINCIPAL STRATEGIES	040	ALC	S	6,900	10.93	n/a	n/a	276.00	4.0
JP MORGAN VENTURES	046	ANF	SS	6,500	77.76	3-Nov	5-Jan	325.00	5.0
COLUMBUS CIRCLE	036	APA	В	2,400	72.77	n/a	22-Jan	96.00	4.0
COLUMBUS CIRCLE	036	ATI	В	1,800	102.78	n/a	n/a	72.00	4.0
EFSTATHIOU	044	AUANF	В	20	0.25	n/a	n/a	0.00	0.0
LUCHANSKY	036	AXE	В	200	55.39	26-Dec	30-Jan	0.00	0.0
COLUMBUS CIRCLE	036	BAX	В	4,400	49.36	n/a	22-Apr	176.00	4.0
ASCEND PARTNERS	033	BBBY	SS	25,000	41.67	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	Shr.
HARVEST MGMT	039	BBW	S	15,000	30.06	8-Jan	8-Jan	750.00	5.0
CAPITAL GROWTH MGMT.	241	BHI	В	30,000	68.10	n/a	n/a	1,500.00	5.0
AAD CAPITAL	048	BIG	SS	100,000	25.97	16-Nov	18-Jan	4,000.00	4.0
GOLD SACHS SPECIAL SIT	037	BSX	S	150,000	18.37	n/a	22-Apr	7,500.00	5.0
COLUMBUS CIRCLE	036	CAG	В	3,900	25.80	n/a	n/a	156.00	4.0
COLUMBUS CIRCLE	036	САН	В	1,500	71.32	n/a	n/a	60.00	4.0
MKT MAKER	099	CBEY	В	66	30.12	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	СВК	В	10,000	17.82	11-Dec	30-Jan	400.00	4.0
FORCE CAPITAL MGMT	030	CCO	В	40,000	28.57	n/a	n/a	1,200.00	3.0
OGORMAN	146	CC	S	400	20.19	20-Dec	10-Jan	0.00	0.0
LUCHANSKY	036	CHL	В	200	46.10	n/a	n/a	0.00	0.0
BINDER	007	CLX	SS	100	64.65	5-Dec	26-Jan	0.00	0.0
COLUMBUS CIRCLE	036	CMX	В	3,400	61.11	n/a	n/a	136.00	4.0
BAIRD INVESTMENT	031	СОН	S	5,000	45.41	24-Jan	24-Jan	250.00	5.0
THE JAY GOLDMAN	034	COST	В	30,000	55.80	n/a	5-Jan	1,200.00	4.0
	HARVEST MGMT  CAPITAL GROWTH MGMT.  AAD CAPITAL  GOLD SACHS SPECIAL SIT  COLUMBUS CIRCLE  COLUMBUS CIRCLE  MKT MAKER  BUCK CAPITAL MGMT  FORCE CAPITAL MGMT  OGORMAN  LUCHANSKY  BINDER  COLUMBUS CIRCLE  BAIRD INVESTMENT	HARVEST MGMT 039  CAPITAL GROWTH MGMT. 241  AAD CAPITAL 048  GOLD SACHS SPECIAL SIT 037  COLUMBUS CIRCLE 036  MKT MAKER 099  BUCK CAPITAL MGMT 001  FORCE CAPITAL MGMT 030  OGORMAN 146  LUCHANSKY 036  BINDER 007  COLUMBUS CIRCLE 036  BAIRD INVESTMENT 031	HARVEST MGMT 039 BBW  CAPITAL GROWTH MGMT. 241 BHI  AAD CAPITAL 048 BIG  GOLD SACHS SPECIAL SIT 037 BSX  COLUMBUS CIRCLE 036 CAG  COLUMBUS CIRCLE 036 CAH  MKT MAKER 099 CBEY  BUCK CAPITAL MGMT 001 CBK  FORCE CAPITAL MGMT 030 CCO  OGORMAN 146 CC  LUCHANSKY 036 CHL  BINDER 007 CLX  COLUMBUS CIRCLE 036 CMX  BAIRD INVESTMENT 031 COH	HARVEST MGMT 039 BBW S CAPITAL GROWTH MGMT. 241 BHI B AAD CAPITAL 048 BIG SS GOLD SACHS SPECIAL SIT 037 BSX S COLUMBUS CIRCLE 036 CAG B COLUMBUS CIRCLE 036 CAH B MKT MAKER 099 CBEY B BUCK CAPITAL MGMT 001 CBK B FORCE CAPITAL MGMT 030 CCO B COGORMAN 146 CC S LUCHANSKY 036 CHL B BINDER 007 CLX SS COLUMBUS CIRCLE 036 CMX B BAIRD INVESTMENT 031 COH S	HARVEST MGMT 039 BBW S 15,000  CAPITAL GROWTH MGMT. 241 BHI B 30,000  AAD CAPITAL 048 BIG SS 100,000  GOLD SACHS SPECIAL SIT 037 BSX S 150,000  COLUMBUS CIRCLE 036 CAG B 3,900  COLUMBUS CIRCLE 036 CAH B 1,500  MKT MAKER 099 CBEY B 66  BUCK CAPITAL MGMT 001 CBK B 10,000  FORCE CAPITAL MGMT 030 CCO B 40,000  OGORMAN 146 CC S 400  LUCHANSKY 036 CHL B 200  BINDER 007 CLX SS 100  COLUMBUS CIRCLE 036 CMX B 3,400  BAIRD INVESTMENT 031 COH S 5,000	HARVEST MGMT 039 BBW S 15,000 30.06  CAPITAL GROWTH MGMT. 241 BHI B 30,000 68.10  AAD CAPITAL 048 BIG SS 100,000 25.97  GOLD SACHS SPECIAL SIT 037 BSX S 150,000 18.37  COLUMBUS CIRCLE 036 CAG B 3,900 25.80  COLUMBUS CIRCLE 036 CAH B 1,500 71.32  MKT MAKER 099 CBEY B 66 30.12  BUCK CAPITAL MGMT 001 CBK B 10,000 17.82  FORCE CAPITAL MGMT 030 CCO B 40,000 28.57  OGORMAN 146 CC S 400 20.19  LUCHANSKY 036 CHL B 200 46.10  BINDER 007 CLX SS 100 64.65  COLUMBUS CIRCLE 036 CMX B 3,400 61.11  BAIRD INVESTMENT 031 COH S 5,000 45.41	HARVEST MGMT 039 BBW S 15,000 30.06 8-Jan  CAPITAL GROWTH MGMT. 241 BHI B 30,000 68.10 n/a  AAD CAPITAL 048 BIG SS 100,000 25.97 16-Nov  GOLD SACHS SPECIAL SIT 037 BSX S 150,000 18.37 n/a  COLUMBUS CIRCLE 036 CAG B 3,900 25.90 n/a  COLUMBUS CIRCLE 036 CAH B 1,500 71.32 n/a  MKT MAKER 099 CBEY B 66 30.12 n/a  BUCK CAPITAL MGMT 001 CBK B 10,000 17.82 11-Dec  FORCE CAPITAL MGMT 030 CCO B 40,000 28.57 n/a  OGORMAN 146 CC S 400 20.19 20-Dec  LUCHANSKY 036 CHL B 200 46.10 n/a  BINDER 007 CLX SS 100 64.65 5-Dec  COLUMBUS CIRCLE 036 CMX B 3,400 61.11 n/a  BAIRD INVESTMENT 031 COH S 5,000 45.41 24-Jan	HARVEST MGMT 039 BBW S 15,000 30.06 8-Jan 8-Jan CAPITAL GROWTH MGMT. 241 BHI B 30,000 68.10 n/a n/a AAD CAPITAL 048 BIG SS 100,000 25.97 16-Nov 18-Jan GOLD SACHS SPECIAL SIT 037 BSX S 150,000 18.37 n/a 22-Apr COLUMBUS CIRCLE 036 CAG B 3,900 25.80 n/a n/a n/a MKT MAKER 099 CBEY B 66 30.12 n/a n/a MKT MAKER 099 CBEY B 66 30.12 n/a n/a BUCK CAPITAL MGMT 030 CCO B 40,000 28.57 n/a n/a n/a OGORMAN 146 CC S 400 20.19 20-Dec 10-Jan LUCHANSKY 036 CHL B 200 46.10 n/a n/a n/a BINDER 036 CMX B 3,400 61.11 n/a n/a 61.11 n/a n/a BAIRD INVESIMENT 031 COH S 5,000 45.41 24-Jan 24-Jan 24-Jan	HARVEST MGMT 039 BBW S 15,000 30.06 8-Jan 8-Jan 750.00 CAPITAL GROWTH MGMT. 241 BHI B 30,000 68.10 n/a n/a 1,500.00 AAD CAPITAL

Account	RR #	Symbol	в/	s	Shares	Price	MRI	Research	Comm.	;/Shr.	
J L F ASSET MGMT	039	CPWM		S	48,067	10.24	n/a	n/a	1,922.68	4.0	
NEUBERGER BERMAN	238	CRRC	В		600	39.40	n/a	n/a	24.00	4.0	
GAULT	063	CRR	В		500	36.99	n/a	5-Dec	0.00	0.0	
FRIESS MGMT	042	CSCO	В		191,300	26.65	n/a	22-Mar	8,608.50	4.5	
BINDER	007	CVGW	В		300	10.33	n/a	n/a	0.00	0.0	
COLUMBUS CIRCLE	036	CVS	В		9,100	33.60	n/a	n/a	364.00	4.0	
COLUMBUS CIRCLE	036	DNA	В		2,600	86.52	n/a	n/a	104.00	4.0	
SARAS CAPITAL	038	DSW	В		21,000	39.58	n/a	30-Jan	1,050.00	5.0	
GALLEON MGMT LP	033	EL		SS	40,000	47.03	31-Jan	31-Jan	2,000.00	5.0	
CAPITAL GROWTH MGMT.	241	EV		S	50,000	34.29	2-Nov	24-Jan	2,500.00	5.0	
LUCHANSKY	036	FDRXX		S	153,850	1.00	n/a	n/a	0.00	0.0	
EGS ASSOCIATES LP	059	FD	В		3 <b>,</b> 500	40.38	26-Jan	29-Jan	210.00	6.0	
FIRST NEW YORK LEDDY	035	FD	В		30,000	41.35	26-Jan	29-Jan	1,800.00	6.0	
BUCK CAPITAL MGMT	001	FL	В		150,000	22.30	n/a	19-Jan	6,000.00	4.0	
THE JAY GOLDMAN	034	FL FL	В		25,800	22.30	n/a n/a	19-Jan 19-Jan	1,032.00	4.0	
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DAWSON	148	GILD	В		100	64.41	n/a	n/a	0.00	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Sh
BINDER	007	GLW	S	3 190	20.60	n/a	29-Jan	0.00	0.
IRIDIAN ASSET MGMT.	036	GRP	В	4,800	39.45	n/a	n/a	240.00	5.
COLUMBUS CIRCLE	036	GS	В	1,800	207.87	13-Dec	16-Jan	72.00	4.
ZANDER CAPITAL MGMT	035	HD	В	6,000	40.95	n/a	16-Jan	240.00	4.
IVORY CAPITAL	034	HLT	S	SS 100,000	35.55	n/a	n/a	5,000.00	5.
COLUMBUS CIRCLE	036	HPQ	В	7,900	43.04	n/a	n/a	316.00	4.
EFSTATHIOU	044	HRS	S	100	50.41	31-Jan	31-Jan	0.00	0.
NEUBERGER BERMAN	238	HTLD	S	7,400	16.83	n/a	n/a	296.00	4.
COLUMBUS CIRCLE	036	IBM	В	2,200	98.39	n/a	n/a	88.00	4.
PRINCIPLE CAPITAL	040	INFY	5	SS 31,700	57.60	n/a	n/a	1,268.00	4.
MKT MAKER	099	INFY	S	SS 44	57.60	n/a	n/a	0.00	0.
LUCHANSKY	036	ISE	В	200	41.85	26-0ct	16-Jan	0.00	0.
EFSTATHIOU	044	ISE	В	100	42.30	26-Oct	16-Jan	0.00	0.
SINCLAIR	031	ITCD	В	533	2.40	n/a	n/a	0.00	0.
EFSTATHIOU	044	JMBA	В	400	9.38	n/a	n/a	0.00	0.
BINDER	007	KEGS	В	300	16.45	n/a	22-Jan	0.00	0.

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
OGORMAN	146	KEGS	В	500	16.45	n/a	22-Jan	0.00	0.0
CUMBERLAND	038	KKD	S	50,000	12.72	n/a	n/a	2,000.00	4.0
JENNISON	032	KLAC	S	43,600	48.64	n/a	n/a	1,744.00	4.0
COLUMBUS CIRCLE	036	KO	В	6,000	47.59	n/a	n/a	240.00	4.0
COLUMBUS CIRCLE	036	KSS	В	3,100	70.68	27-Dec	5-Jan	124.00	4.0
NEUBERGER BERMAN	238	KVA	S	19,300	25.12	n/a	n/a	965.00	5.0
BUCK CAPITAL MGMT	001	KWD	В	40,000	32.64	n/a	n/a	1,600.00	4.0
WD PARTNERS ILP	067	LPX	SS	3,000	23.00	n/a	23-Jan	150.00	5.0
COLUMBUS CIRCLE	036	LVS	В	1,900	102.50	n/a	n/a	76.00	4.0
COLUMBUS CIRCLE	036	MAR	В	2,400	47.89	n/a	n/a	96.00	4.0
COLUMBUS CIRCLE	036	MCD	В	5,200	44.01	12-0ct	24-Jan	208.00	4.0
SAILFISH	037	MCD	В	20,000	44.11	12-Oct	24-Jan	1,000.00	5.0
MATRIX ASSET ADV.	036	MMM	В	7,000	73.60	n/a	30-Jan	280.00	4.0
WHEELER	008	MMM	<b>B</b>	1,000	73.58	n/a	30-Jan	0.00	0.0
BINDER	007	MOT	S	200	19.43	n/a	n/a	0.00	0.0
THAM	030	MRH	В	20,000	17.49	n/a	n/a	800.00	4.0
COLUMBUS CIRCLE	032	MSFT	S	3,100	30.53	n/a	3-Apr	124.00	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
	JENNISON	032	MSFT	S	335,600	30.53	n/a	3-Apr	13,424.00	4.0
	COLUMBUS CIRCLE	036	MS	В	4,700	81.35	20-Dec	16-Jan	188.00	4.0
	SCOPUS FUND	039	MWV	В	50,000	30.11	8-Jan	23-Jan	2,500.00	5.0
	WD PARTNERS ILP	067	MWV	S	10,000	30.26	8-Jan	23-Jan	500.00	5.0
	ZANDER CAPITAL MGMT	035	MWV	В	9,000	29.91	8-Jan	23-Jan	360.00	4.0
	THAM	030	NAL	В	5,000	15.75	n/a	n/a	200.00	4.0
	COLUMBUS CIRCLE	036	NWL	В	5,300	29.52	n/a	n/a	212.00	4.0
	BUCK CAPITAL MGMT	001	NWY	S	30,000	14.63	1-Dec	10-Jan	1,200.00	4.0
	BARR	096	OPY	S	400	36.00	n/a	n/a	0.00	0.0
	FRIESS MGMT	042	ORCL	В	192,500	17.12	n/a	n/a	8,662.50	4.5
	GAULT	063	PDCCV	В	50	1.00	n/a	n/a	0.00	0.0
	COLUMBUS CIRCLE	036	PEP	В	4,300	65.08	n/a	n/a	172.00	4.0
	ROARING BLUE LION MGMT	012	PLCE	В	3,400	54.01	n/a	25-Jan	136.00	4.0
	NCM CAPITAL MGMT	035	PTI	S	11,900	23.58	n/a	n/a	595.00	5.0
	BINDER	007	RDEN	В	300	19.12	7-Nov	7-Nov	0.00	0.0
õ	Manny Korman		RLF	В	1000 2	7.8600	n/a	n/a	0.00	0.0
	WHALE ROCK CAP	030	RSC	S	10,000	16.35	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
SARAS CAPITAL	038	RVI	S	35,000	19.37	n/a	n/a	1,750.00	5.0
THE JAY GOLDMAN	034	SKX	В	25,000	35.23	n/a	12-Jan	1,000.00	4.0
BINDER	007	TOL	В	105	33.00	n/a	n/a	0.00	0.0
XYLEM GLOBAL	024	TWP	SS	30,600	26.77	n/a	n/a	1,530.00	5.0
VANDER MOOLEN	040	TYC	В	5,000	31.75	n/a	15-Nov	250.00	5.0
WHITE PINE CAPITAL	030	UCO	S	14,425	59.55	11-0ct	22-Jan	721.25	5.0
NEUBERGER BERMAN	238	UNT	S	43,900	48.09	31-Jan	31-Jan	2,195.00	5.0
HAYGROUND COE	039	WFMI	В	75 <b>,</b> 000	43.45	n/a	n/a	3,750.00	5.0
BAIRD INVESTMENT	031	WFT	В	1,640	40.23	n/a	n/a	82.00	5.0
PERRY PARTNERS	035	WSM	В	75 <b>,</b> 000	34.53	n/a	n/a	3,750.00	5.0
SAILFISH	037	WYE	В	10,000	49.16	n/a	31-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	ZQK	S	75,000	14.23	8-Sep	11-Jan	3,000.00	4.0

TOTAL (Trade Date): 112,818.93

## Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	01/30	SUNOVA CAPITAL (SUNOMORGAN)	031	CLMS	В	51,000	27.76	30-Jan	30-Jan	2550.00	5.0
AO, CRT	01/30	SUNOVA CAPITAL (SUNOMORGAN)	031	CLMS	В	51,000	27.76	30-Jan	30-Jan	2550.00	5.0
AO,	01/30	EAGLE ASSET MGMT (EAGL297910)	044	CRR	В	105	36.80	n/a	5-Dec	4.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL980450)	044	CRR	В	45	36.80	n/a	5-Dec	1.80	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL296041)	044	CRR	В	40	36.80	n/a	5-Dec	1.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL317858)	044	CRR	В	20	36.80	n/a	5-Dec	0.80	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL284310)	044	CRR	В	180	36.80	n/a	5-Dec	7.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL285555)	044	CRR	В	60	36.80	n/a	5-Dec	2.40	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL249756)	044	CRR	В	105	36.80	n/a	5-Dec	4.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL285553)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL178410)	044	CRR	В	80	36.80	n/a	5-Dec	3.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL285552)	044	CRR	В	50	36.80	n/a	5-Dec	2.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL174055)	044	CRR	В	40	36.80	n/a	5-Dec	1.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL203373)	044	CRR	В	40	36.80	n/a	5-Dec	1.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL171340)	044	CRR	В	65	36.80	n/a	5-Dec	2.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL181810)	044	CRR	В	125	36.80	n/a	5-Dec	5.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL163820)	044	CRR	В	530	36.80	n/a	5-Dec	21.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL285115)	044	CRR	В	25	36.80	n/a	5-Dec	1.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL159335)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL620300)	044	CRR	В	50	36.80	n/a	5-Dec	2.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL137471)	044	CRR	В	35	36.80	n/a	5-Dec	1.40	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL243745)	044	CRR	В	565	36.80	n/a	5-Dec	22.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL131575)	044	CRR	В	45	36.80	n/a	5-Dec	1.80	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL268230)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL118613)	044	CRR	В	35	36.80	n/a	5-Dec	1.40	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL268231)	044	CRR	В	75	36.80	n/a	5-Dec	3.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL107395)	044	CRR	В	315	36.80	n/a	5-Dec	12.60	4.0

Type	Date	Account		RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	01/30	EAGLE ASSET MGMT	(EAGL458630)	044	CRR	В	25	36.80	n/a	5-Dec	1.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL451480)	044	CRR	В	170	36.80	n/a	5-Dec	6.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL796268)	044	CRR	В	35	36.80	n/a	5-Dec	1.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL451282)	044	CRR	В	120	36.80	n/a	5-Dec	4.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL743934)	044	CRR	В	180	36.80	n/a	5-Dec	7.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL167810)	044	CRR	В	20	36.80	n/a	5-Dec	0.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL670061)	044	CRR	В	35	36.80	n/a	5-Dec	1.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL178610)	044	CRR	В	75	36.80	n/a	5-Dec	3.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL594950)	044	CRR	В	3 <b>,</b> 975	36.80	n/a	5-Dec	159.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL178600)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL491980)	044	CRR	В	6,800	36.80	n/a	5-Dec	272.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL445961)	044	CRR	В	105	36.80	n/a	5-Dec	4.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL372014)	044	CRR	В	125	36.80	n/a	5-Dec	5.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL193005)	044	CRR	В	105	36.80	n/a	5-Dec	4.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL185855)	044	CRR	В	3,995	36.80	n/a	5-Dec	159.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL145840)	044	CRR	В	270	36.80	n/a	5-Dec	10.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL641870)	044	CRR	В	295	36.80	n/a	5-Dec	11.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL851778)	044	CRR	В	190	36.80	n/a	5-Dec	7.60	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL851550)	044	CRR	В	45	36.80	n/a	5-Dec	1.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL800950)	044	CRR	В	20	36.80	n/a	5-Dec	0.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL723250)	044	CRR	В	60	36.80	n/a	5-Dec	2.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL392388)	044	CRR	В	75	36.80	n/a	5-Dec	3.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL285557)	044	CRR	В	155	36.80	n/a	5-Dec	6.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL285556)	044	CRR	В	15	36.80	n/a	5-Dec	0.60	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL240866)	044	CRR	В	220	36.80	n/a	5-Dec	8.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL208750)	044	CRR	В	35	36.80	n/a	5-Dec	1.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL203280)	044	CRR	В	25	36.80	n/a	5-Dec	1.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL185710)	044	CRR	В	4,200	36.80	n/a	5-Dec	168.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL103375)	044	CRR	В	40	36.80	n/a	5-Dec	1.60	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL103370)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0

Туре	Date	Account		RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	01/30	EAGLE ASSET MGMT	(EAGL820775)	044	CRR	В	40	36.80	n/a	5-Dec	1.60	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL802191)	044	CRR	В	410	36.80	n/a	5-Dec	16.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL207342)	044	CRR	В	630	36.80	n/a	5-Dec	25.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL802191)	044	CRR	В	695	36.80	n/a	5-Dec	27.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL247950)	044	CRR	В	35	36.80	n/a	5-Dec	1.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL732571)	044	CRR	В	25	36.80	n/a	5-Dec	1.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL259810)	044	CRR	В	475	36.80	n/a	5-Dec	19.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL708175)	044	CRR	В	145	36.80	n/a	5-Dec	5.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL259810)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL706000)	044	CRR	В	10	36.80	n/a	5-Dec	0.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL285555)	044	CRR	В	25	36.80	n/a	5-Dec	1.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL486700)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL918000)	044	CRR	В	65	36.80	n/a	5-Dec	2.60	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL359891)	044	CRR	В	205	36.80	n/a	5-Dec	8.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL872160)	044	CRR	В	105	36.80	n/a	5-Dec	4.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL707715)	044	CRR	В	20	36.80	n/a	5-Dec	0.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL819641)	044	CRR	В	2,490	36.80	n/a	5-Dec	99.60	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL021677)	044	CRR	В	20	36.80	n/a	5-Dec	0.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL606935)	044	CRR	В	720	36.80	n/a	5-Dec	28.80	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL131264)	044	CRR	В	60	36.80	n/a	5-Dec	2.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL545760)	044	CRR	В	50	36.80	n/a	5-Dec	2.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL141942)	044	CRR	В	110	36.80	n/a	5-Dec	4.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL540652)	044	CRR	В	550	36.80	n/a	5-Dec	22.00	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL211132)	044	CRR	В	15	36.80	n/a	5-Dec	0.60	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL508120)	044	CRR	В	35	36.80	n/a	5-Dec	1.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL701426)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL508120)	044	CRR	В	60	36.80	n/a	5-Dec	2.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL851551)	044	CRR	В	10	36.80	n/a	5-Dec	0.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL497940)	044	CRR	В	185	36.80	n/a	5-Dec	7.40	4.0
AO,	01/30	EAGLE ASSET MGMT	(EAGL062450)	044	CRR	В	40	36.80	n/a	5-Dec	1.60	4.0

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	01/30	EAGLE ASSET MGMT (EAGL497916)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL883569)	044	CRR	В	100	36.80	n/a	5-Dec	4.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL497916)	044	CRR	В	45	36.80	n/a	5-Dec	1.80	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL900704)	044	CRR	В	125	36.80	n/a	5-Dec	5.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL446387)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL617601)	044	CRR	В	30	36.80	n/a	5-Dec	1.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL419805)	044	CRR	В	65	36.80	n/a	5-Dec	2.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL619670)	044	CRR	В	600	36.80	n/a	5-Dec	24.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL410424)	044	CRR	В	490	36.80	n/a	5-Dec	19.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL619671)	044	CRR	В	265	36.80	n/a	5-Dec	10.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL392378)	044	CRR	В	115	36.80	n/a	5-Dec	4.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL619672)	044	CRR	В	1,300	36.80	n/a	5-Dec	52.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL364701)	044	CRR	В	40	36.80	n/a	5-Dec	1.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL243127)	044	CRR	В	20	36.80	n/a	5-Dec	0.80	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL359890)	044	CRR	В	330	36.80	n/a	5-Dec	13.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL141933)	044	CRR	В	215	36.80	n/a	5-Dec	8.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL357185)	044	CRR	В	150	36.80	n/a	5-Dec	6.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL802192)	044	CRR	В	150	36.80	n/a	5-Dec	6.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL351935)	044	CRR	В	120	36.80	n/a	5-Dec	4.80	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL814873)	044	CRR	В	165	36.80	n/a	5-Dec	6.60	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL302328)	044	CRR	В	835	36.80	n/a	5-Dec	33.40	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL028213)	044	CRR	В	50	36.80	n/a	5-Dec	2.00	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL300010)	044	CRR	В	55	36.80	n/a	5-Dec	2.20	4.0
AO,	01/30	EAGLE ASSET MGMT (EAGL902837)	044	CRR	В	310	36.80	n/a	5-Dec	12.40	4.0
AO,	01/29	ALLEN & CO (ALLNALLEN)	032	CSCO		s 75,000	26.22	n/a	22-Mar	3000.00	4.0
AO, CRT	01/25	MS PRINCIPAL STRATEGIES (MSPSMS\$.04)	040	NAV		SS 28,200	40.36	n/a	n/a	1410.00	5.0
AO,CXL	01/25	MS PRINCIPAL STRATEGIES (MSPSMS\$.04)	040	NAV		SS 28,200	40.36	n/a	n/a	1128.00	4.0
AO, CRT	01/30	GABELLI FUNDS (GABEBS)	037	RDA	В	19,000	16.94	n/a	n/a	950.00	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
AO,CXL	01/30	GABELLI FUNDS (GABECAPFD)	037	RDA	В	19,000	16.94	n/a	n/a	950.00	5.0
AO,CXL	01/30	DISIPLINED GROWTH INV. (DISIOMINBU)	030	TWTC	В	4,300	22.91	n/a	23-Jan	215.00	5.0
AO,	01/30	DISIPLINED GROWTH INV. (DISIOMINBU)	030	TWTC	В	4,300	22.91	n/a	23-Jan	215.00	5.0
пошат	/BO (	TVI CDM).							4	767 00	
OTAL	(AO, 0	CXL, CRT):							4,	767.80	
TOTAL	(AO, (	CXL, CRT):							4,	767.80	
<b>FOTAL</b>	(AO, (	CXL, CRT):							4,	767.80	
TOTAL	(AO, (	CXL, CRT):							4,	767.80	
		CXL, CRT):  e Date):								<b>767.80</b> 818.93	

TOTAL (RUN DATE) / NFS:

117,586.73

## Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION