



Daily Compliance Activity Report

Trade Date: 03/17/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CHILTON CAPITAL MGMT.	036	ANAD	B	71,950	5.94	n/a	n/a	2,878.00	4.0
COUGAR TRADING	044	ANF	B	5,000	73.17	10-Jan	10-Mar	250.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	72.18	10-Jan	10-Mar	250.00	5.0
STRAUSS ASSET MGMT	040	BLOG	S	25,000	27.40	n/a	n/a	1,000.00	4.0
LUCHANSKY2	036	BSC	S	100	3.80	17-Mar	17-Mar	0.00	0.0
OGORMAN	046	CCL	C	500	36.23	n/a	26-Jan	0.00	0.0
KENNEDY CAPITAL	046	CEPH	B	5,140	59.69	n/a	13-Mar	205.60	4.0
BARR	096	CGR	B	9,900	1.23	n/a	n/a	0.00	0.0
WAGONHOUND	048	CHD	B	910	51.77	11-Mar	11-Mar	36.40	4.0
ZOLIDIS	011	CHS	C	1,000	7.32	31-Jan	7-Mar	0.00	0.0
LEDDY	035	CLMS	C	1,000	15.05	13-Feb	13-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	COH	SS	50,000	26.74	24-Oct	14-Mar	2,000.00	4.0
COUGAR TRADING	044	COH	B	15,000	27.00	24-Oct	14-Mar	750.00	5.0
BLUE HARBOR	036	CPS	S	60,800	48.15	n/a	n/a	3,040.00	5.0
HIGHLINE ADV	044	DIA	B	150,000	120.53	n/a	n/a	7,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MS PRINCIPAL STRATEGIES	041	DRYS	B	75,400	56.44	n/a	n/a	3,770.00	5.0
DAVIDSON TRUST CO	028	FNM	B	2,000	20.33	n/a	n/a	120.00	6.0
BUCK	015	FNM	SS	1,000	21.10	n/a	n/a	0.00	0.0
J COHEN	N27	FXEOY	B	6	0.50	n/a	n/a	0.00	0.0
MAGUIRE	090	FXP	S	1,500	114.00	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	F	C	50,000	5.00	n/a	13-Mar	1,500.00	3.0
LEDDY	035	F	C	3,300	5.11	n/a	13-Mar	0.00	0.0
PHILADELPHIA FINANCIAL	033	GBL	B	23,204	48.61	n/a	6-Mar	1,160.20	5.0
BUCK CAPITAL MGMT	001	GES	S	500,000	33.45	n/a	n/a	20,000.00	4.0
WHEELER	008	GFG	B	2,934	9.39	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	B	40,000	33.83	22-Jan	10-Mar	1,600.00	4.0
SINCLAIR	031	GMRX	S	75	5.60	n/a	n/a	0.00	0.0
ZOLIDIS	011	GM	C	200	18.75	3-Jan	13-Mar	0.00	0.0
MCCARTAN	028	GM	C	100	18.79	3-Jan	13-Mar	0.00	0.0
LEDDY	035	GM	C	600	18.60	3-Jan	13-Mar	0.00	0.0
GRISANTI BROWN & PARTNER	048	GNTX	C	20,000	16.16	25-Jan	29-Jan	1,000.00	5.0
T. ROWE PRICE	033	GS	B	160,900	144.89	n/a	17-Mar	6,436.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	HDB	C	2,000	88.25	n/a	n/a	0.00	0.0
BARR	096	HDB	SS	800	89.10	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	IAI	B	100,000	35.29	n/a	n/a	4,000.00	4.0
DAVIDSON TRUST CO	028	IFF	B	1,000	41.88	14-Dec	30-Jan	90.00	9.0
SINCLAIR	031	IPPF	S	50	1.65	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	IVZ	B	2,500	20.79	10-Mar	13-Mar	100.00	4.0
COUGAR TRADING	044	IVZ	S	5,000	20.52	10-Mar	13-Mar	250.00	5.0
SANDLER O'NEILL ASSET	031	IVZ	B	10,000	20.73	10-Mar	13-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	41.74	28-Nov	12-Mar	400.00	4.0
COUGAR TRADING	044	JCG	B	5,000	41.48	28-Nov	12-Mar	250.00	5.0
COUGAR TRADING	044	JCG	S	35,000	42.33	28-Nov	12-Mar	1,750.00	5.0
OGORMAN	046	JOEZ	S	2,100	0.96	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JWN	SS	40,000	31.37	26-Feb	10-Mar	1,600.00	4.0
MCCARTAN	028	JWN	C	100	32.10	26-Feb	10-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	KSS	S	20,000	39.48	4-Jan	7-Mar	800.00	4.0
COUGAR TRADING	044	KSS	B	5,000	40.00	4-Jan	7-Mar	250.00	5.0
METROPOLITAN CAPITAL	044	KSS	B	23,700	39.04	4-Jan	7-Mar	1,185.00	5.0
SANDLER O'NEILL ASSET	031	LEH	B	25,000	21.19	n/a	17-Mar	1,250.00	5.0
SANDLER O'NEILL ASSET	031	LEH	SS	25,000	33.56	n/a	17-Mar	1,250.00	5.0
LEDDY	035	LEH	B	400	27.78	n/a	17-Mar	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY3	036	LEH	S	300	25.74	n/a	17-Mar	0.00	0.0
MAGUIRE	039	LEH	S	200	23.28	n/a	17-Mar	0.00	0.0
OGORMAN	046	LEH	B	330	31.52	n/a	17-Mar	0.00	0.0
PAKLER	029	LESJJ	B	10	2.65	n/a	n/a	0.00	0.0
SINCLAIR	031	LESJJ	B	15	2.70	n/a	n/a	0.00	0.0
ROARING BLUE LION MGMT	012	LUK	SS	16,500	44.17	n/a	n/a	660.00	4.0
MKT MAKER	097	LVLTT	B	100	1.70	24-Oct	4-Jan	0.00	0.0
BUCK CAPITAL MGMT	001	MCD	SS	50,000	53.90	n/a	24-Apr	2,000.00	4.0
BUCK	015	MER	C	700	40.63	18-Jan	17-Mar	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	MLI	S	22,618	27.24	5-Feb	5-Feb	848.18	3.8
BUCK	015	MSGJ	S	20	1.10	n/a	n/a	0.00	0.0
BUCK	015	MSGL	B	20	0.40	n/a	n/a	0.00	0.0
MCCARTAN	028	MS	S	200	34.19	20-Dec	17-Mar	0.00	0.0
PRYLUCKI	P02	MS	B	75	35.56	20-Dec	17-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	MW	SS	30,000	20.45	n/a	n/a	1,200.00	4.0
CHILTON CAPITAL MGMT.	036	MW	B	23,000	20.38	n/a	n/a	920.00	4.0
MAGUIRE	090	MZZ	S	4,000	70.82	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	NEM	B	19,500	54.38	n/a	n/a	780.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	NSU	B	17,700	1.70	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	NUE	S	6,720	71.18	n/a	n/a	268.80	4.0
COUGAR TRADING	044	OXM	B	10,000	22.68	n/a	n/a	500.00	5.0
LEDDY	035	PBR	B	100	103.99	n/a	n/a	0.00	0.0
LEDDY	035	PZN	C	1,500	9.15	10-Mar	10-Mar	0.00	0.0
MS PRINCIPAL STRATEGIES	041	QMAR	S	210,000	20.92	n/a	n/a	10,500.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	30,000	55.93	7-Feb	15-Feb	1,200.00	4.0
LEDDY	035	RL	SS	250	56.68	7-Feb	15-Feb	0.00	0.0
PRIME LOGIC ADV	039	RSH	B	25,000	14.86	n/a	n/a	1,250.00	5.0
DSG CAPITAL	225	SDS	B	6,000	69.50	n/a	n/a	300.00	5.0
CR INTRINIC INVESTMENT	044	SGP	B	100,000	18.91	n/a	n/a	5,000.00	5.0
MAGUIRE	090	SKF	S	500	138.66	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	S	700	20.00	n/a	13-Mar	28.00	4.0
BARR	096	SRS	B	500	118.62	n/a	n/a	0.00	0.0
HOOVER INV. MGMT	033	SRX	S	36,100	23.20	n/a	n/a	1,805.00	5.0
BEAR STEARNS ASSET MGMT.	033	TGT	S	150,000	48.11	n/a	27-Jul	6,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	TIF	SS	30,000	36.30	14-Jan	17-Mar	1,200.00	4.0
HIGHBRIDGE CAPITAL	038	TIN	B	243,000	12.24	6-Feb	7-Feb	12,150.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TWB	SS	45,647	24.95	17-Mar	17-Mar	2,282.35	5.0
ZOLIDIS	011	TWM	S	300	92.95	n/a	n/a	0.00	0.0
MAGUIRE	090	TWM	S	5,000	93.10	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	USG	B	30,000	33.86	31-Jan	31-Jan	1,200.00	4.0
WHEELER	008	UYG	B	3,000	25.42	n/a	n/a	0.00	0.0
PRYLUCKI	P02	UYG	B	250	25.35	n/a	n/a	0.00	0.0
SINCLAIR	031	VHEAJ	B	10	2.80	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	B	30,000	64.81	n/a	n/a	1,200.00	4.0
OGORMAN	046	WAHCU	S	400	26.80	n/a	n/a	0.00	0.0
COUGAR TRADING	044	WMT	S	5,000	49.66	n/a	7-Mar	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	WPP	S	20,000	8.09	n/a	n/a	750.00	3.8
BUCK CAPITAL MGMT	001	WSM	SS	70,000	22.77	n/a	n/a	2,800.00	4.0
JPMSI/TOM LEDDY	035	WSM	SS	15,000	22.84	n/a	n/a	750.00	5.0
CSFB GLOBAL PROPRIETARY	041	WY	S	55,000	63.31	8-Jan	11-Feb	2,750.00	5.0
XYLEM GLOBAL	091	WY	S	29,500	63.83	8-Jan	11-Feb	1,475.00	5.0

TOTAL (Trade Date):

127,238.53

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	03/14	PRINCIPLE CAPITAL (PRINUBSPB)	040	AMLN	SS	50,000	25.41	n/a	n/a	0.00	0.0
AO,CRT	03/14	PRINCIPLE CAPITAL (PRINUBSPB)	040	AMLN	SS	50,000	25.41	n/a	n/a	1500.00	3.0
AO,CXL	03/14	MADIGAN (MADIGAN)	031	C	S	175	20.09	16-Jan	17-Mar	0.00	0.0
AO,CRT	03/14	EMP ERROR (EMP ERROR)	099	C	S	175	20.09	16-Jan	17-Mar	0.00	0.0
AO,CXL	03/14	MADIGAN (MADIGAN)	031	CMO	B	400	9.39	n/a	n/a	0.00	0.0
AO,CRT	03/14	EMP ERROR (EMP ERROR)	099	CMO	B	400	9.39	n/a	n/a	0.00	0.0
AO,CXL	03/14	MADIGAN (MADIGAN)	031	CMO	S	20	9.44	n/a	n/a	0.00	0.0
AO,CRT	03/14	EMP ERROR (EMP ERROR)	099	CMO	S	20	9.44	n/a	n/a	0.00	0.0
CRT	03/17	COUGAR TRADING (COUGPB MS)	044	COH	B	15,000	27.00	24-Oct	14-Mar	750.00	5.0
CRT	03/17	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	GES	S	499,900	33.45	n/a	n/a	19996.00	4.0
AO,	03/14	MATTHES CAPITAL MGMT (MATTMSPB)	033	HIBB	B	10,045	13.88	n/a	n/a	401.80	4.0
AO,	03/14	MATTHES CAPITAL MGMT (MATTGSPB)	033	HIBB	B	18,555	13.88	n/a	n/a	742.20	4.0
AO,CXL	03/14	FARALLON CAP MGMT (FARABULK F)	040	IAI	SS	265,000	37.55	n/a	n/a	10600.00	4.0
AO,CRT	03/14	FARALLON CAP MGMT (FARABULK F)	040	IAI	SS	265,000	37.55	n/a	n/a	7950.00	3.0
AO,	03/14	MATTHES CAPITAL MGMT (MATTMSPB)	033	JCG	B	34,020	43.64	28-Nov	12-Mar	1360.80	4.0
AO,	03/14	MATTHES CAPITAL MGMT (MATTGSPB)	033	JCG	B	65,980	43.64	28-Nov	12-Mar	2639.20	4.0
AO,CXL	03/14	KNOTT PARTNERS (KNOTGS)	044	LULU	B	50,000	28.25	n/a	n/a	2000.00	4.0
AO,CRT	03/14	KNOTT PARTNERS (KNOTGS)	044	LULU	B	50,000	28.25	n/a	n/a	2500.00	5.0
CRT	03/17	MKT MAKER (MKT MAKER)	097	LVLTT	B	100	1.70	24-Oct	4-Jan	0.00	0.0
TOTAL (AO, CXL, CRT) :										25,240.00	

TOTAL (Trade Date):	127,238.53
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TOTAL (RUN DATE) / NFS:	152,478.53
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION