



Daily Compliance Activity Report

Trade Date: 12/08/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AAP	B	100,000	32.39	n/a	n/a	4,000.00	4.0
AMBER CAPITAL	046	AA	SS	200,000	9.44	n/a	n/a	8,000.00	4.0
BALYASNY ASSET MGMT	044	AB	S	10,000	20.87	10-Sep	2-Dec	500.00	5.0
PIONEER MICHAEL NOLAN	067	AEM	B	35,900	31.81	n/a	n/a	1,077.00	3.0
LISTED ERR	099	AIG	B	3,000	1.93	n/a	n/a	0.00	0.0
LISTED ERR	099	AIG	S	3,000	1.93	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	AMG	S	20,000	33.05	13-Nov	2-Dec	1,000.00	5.0
COUGAR TRADING	044	AN	B	5,000	10.08	7-Nov	7-Nov	250.00	5.0
PRINCIPLE CAPITAL	040	AVP	B	86,300	21.43	3-Nov	3-Nov	2,589.00	3.0
CATAPULT	040	AZO	SS	1,200	117.55	n/a	n/a	60.00	5.0
WELLS/STRONG LEDDY	035	BEBE	B	70,200	6.69	n/a	n/a	2,106.00	3.0
AMATURO	018	BGU	B	1,000	36.17	n/a	n/a	0.00	0.0
SACR	044	BR	B	43,000	12.61	n/a	30-Jan	2,150.00	5.0
COUGAR TRADING	044	BWA	B	5,000	24.74	26-Nov	26-Nov	250.00	5.0
BEAR STEARNS ASSET MGMT.	033	CHS	B	60,000	3.20	5-Dec	5-Dec	1,800.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	CMG	B	1,300	57.91	23-Oct	23-Oct	65.00	5.0
ROSEDALE CAPITAL	046	CMI	S	10,000	24.74	14-Nov	14-Nov	600.00	6.0
PRINCIPLE CAPITAL	040	COH	B	46,400	20.95	8-Oct	22-Oct	1,392.00	3.0
BUCK CAPITAL MGMT	001	CVS	B	150,000	28.08	n/a	n/a	6,000.00	4.0
LAGRANGE CAP ADV	048	DIG	S	10,000	28.30	n/a	n/a	500.00	5.0
LAGRANGE CAP ADV	048	DRYS	S	10,000	6.96	n/a	n/a	500.00	5.0
ROTHSCHILD ASSET	040	EMS	B	5,700	30.41	n/a	n/a	256.67	4.5
PORTER-ORLIN-FELDMAN	044	EQT	S	75,000	30.19	n/a	n/a	2,250.00	3.0
SCOPUS FUND	041	FDO	B	13,900	25.67	5-Dec	5-Dec	695.00	5.0
BUCK CAPITAL MGMT	001	GCO	S	50,000	16.04	n/a	10-Jan	2,000.00	4.0
BUCK CAPITAL MGMT	001	GES	B	50,000	15.40	n/a	n/a	2,000.00	4.0
BARROW HANLEY MEWHINNEY	031	GE	B	2,000,000	18.79	n/a	n/a	80,000.00	4.0
WAGONHOUND/NIC	048	GIL	B	3,808	14.17	25-Nov	25-Nov	152.32	4.0
FORWARD MGMT	033	GLD	B	240	76.31	n/a	n/a	12.00	5.0
CRAMER ROSENTHAL/SCOTT	012	GPS	S	100,000	14.11	n/a	5-Dec	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FORWARD MGMT	033	GSS	B	20,000	0.49	n/a	n/a	400.00	2.0
BUCK CAPITAL MGMT	001	HAS	B	20,000	28.09	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	HBI	B	50,000	14.12	n/a	n/a	2,000.00	4.0
HEALY CIRCLE	044	HD	S	30,000	24.66	n/a	27-Jul	1,200.00	4.0
BENNETT LAWRENCE	045	HPQ	B	29,300	34.53	n/a	n/a	1,318.50	4.5
PORTER-ORLIN-FELDMAN	044	HUN	B	100,000	6.25	n/a	n/a	3,000.00	3.0
FORWARD MGMT	033	IAG	B	3,000	4.18	n/a	n/a	150.00	5.0
SEMINOLE CAP	036	IBM	B	150,000	84.73	n/a	n/a	7,500.00	5.0
BALYASNY ASSET MGMT	044	IVZ	SS	30,000	12.80	13-Nov	2-Dec	1,500.00	5.0
KARSCH CAPITAL	034	IWM	B	83,200	48.44	n/a	n/a	2,496.00	3.0
BUCK CAPITAL MGMT	001	JCG	B	40,000	13.38	n/a	2-Dec	1,600.00	4.0
COUGAR TRADING	044	JCG	S	5,000	13.48	n/a	2-Dec	250.00	5.0
BENNETT LAWRENCE	045	JCP	C	10,300	20.98	17-Nov	5-Dec	463.50	4.5
COUGAR TRADING	044	JCP	B	5,000	22.53	17-Nov	5-Dec	250.00	5.0
CASTLE ARK	031	KSS	B	79,270	37.93	n/a	5-Dec	4,756.20	6.0
PIONEER MICHAEL NOLAN	067	LLY	S	89,400	34.87	n/a	n/a	2,682.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRUST CO/COWEN/CALF.	040	LPX	S	524,919	1.72	5-Nov	5-Nov	10,498.38	2.0
CASTLE ARK	031	MCD	SS	550	61.35	13-Oct	8-Dec	33.00	6.0
MAGNETAR FINANCIAL	031	MDT	B	50,000	32.02	n/a	22-Apr	2,000.00	4.0
CATAPULT	040	NDSN	SS	3,700	31.93	n/a	n/a	185.00	5.0
SANDLER O'NEILL ASSET	031	NTRS	S	13,500	51.38	9-Oct	17-Nov	675.00	5.0
WHITE PINE CAPITAL	030	OME	S	4,500	4.02	n/a	n/a	180.00	4.0
PIONEER MICHAEL NOLAN	067	PETS	S	10,000	16.43	n/a	n/a	300.00	3.0
MILLRACE FUND LP	028	PFCB	SS	5,000	20.78	n/a	14-Nov	200.00	4.0
AMATURO	018	PRU	C	2,000	32.01	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PSS	B	50,000	11.01	n/a	n/a	2,000.00	4.0
KARSCH CAPITAL	034	QQQQ	B	136,600	30.36	n/a	n/a	4,098.00	3.0
SCOPUS FUND	041	RL	B	5,000	49.40	n/a	6-Nov	250.00	5.0
SCOPUS FUND	041	ROC	B	5,000	8.43	n/a	n/a	250.00	5.0
LAGRANGE CAP ADV	048	SGEN	B	10,000	8.05	n/a	n/a	500.00	5.0
KIRR MARBACH	030	SOA	B	20,000	5.24	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KARSCH CAPITAL	034	SPY	B	88,700	92.14	n/a	n/a	2,661.00	3.0
BUCK CAPITAL MGMT	001	SYI	B	36,300	22.65	n/a	24-Apr	1,452.00	4.0
BUCK CAPITAL MGMT	001	TGT	SS	75,000	36.55	17-Nov	5-Dec	3,000.00	4.0
COUGAR TRADING	044	TIF	B	5,000	25.27	n/a	2-Dec	250.00	5.0
COUGAR TRADING	044	TWB	S	10,000	4.67	n/a	2-Dec	350.00	3.5
OPPENHEIMER MGMT.	036	T	B	461,900	29.73	n/a	4-Jan	18,476.00	4.0
SCOPUS FUND	041	VFC	SS	5,000	55.90	n/a	n/a	250.00	5.0
ARAGON GLOBAL	041	VIV	B	54,700	14.62	n/a	n/a	2,735.00	5.0
BARABOO GROWTH	031	VMC	B	3,000	71.61	n/a	n/a	150.00	5.0
DIAMONDBACK CAP MGMT	232	WFC	S	20,000	30.37	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	WMT	B	100,000	58.66	10-Oct	5-Dec	4,000.00	4.0
BUCK CAPITAL MGMT	001	ZQK	S	200,000	1.29	3-Nov	3-Nov	4,000.00	2.0
TOTAL (Trade Date):								215,064.57	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/05	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	CHS	B	200,000	3.19	5-Dec	5-Dec	8000.00 4.0
AO,CRT	12/05	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	CHS	B	200,000	3.19	5-Dec	5-Dec	6000.00 3.0
AO,CXL	12/05	COUGAR TRADING (COUGPB MS)	044	CHS	B	10,000	2.54	5-Dec	5-Dec	300.00 3.0
AO,CRT	12/05	COUGAR TRADING (COUGPB MS)	044	CHS	B	10,000	2.54	5-Dec	5-Dec	200.00 2.0
AO,CXL	12/01	PERRY PARTNERS (PERRGOLDMA)	041	CY	S	100,000	3.57	n/a	n/a	3000.00 3.0
AO,CRT	12/01	PERRY PARTNERS (PERRGOLDMA)	041	CY	S	100,000	3.57	n/a	n/a	5000.00 5.0
AO,	12/05	LISTED ERR (LISTED ERR)	097	EMC	B	400	10.24	n/a	n/a	0.00 0.0
AO,CXL	12/05	MTB INV ADV (MTBISMSLEE)	034	TWTC	B	1,150	7.32	n/a	4-Jan	57.50 5.0
AO,CRT	12/05	MTB INV ADV (MTBISMSLEE)	034	TWTC	B	1,150	7.32	n/a	4-Jan	46.00 4.0
AO,CXL	12/05	MTB INV ADV (MTBISMCAPI)	034	TWTC	B	60,000	7.32	n/a	4-Jan	3000.00 5.0
AO,CRT	12/05	MTB INV ADV (MTBISMCAPI)	034	TWTC	B	60,000	7.32	n/a	4-Jan	2400.00 4.0
TOTAL (AO, CXL, CRT) :										-711.50

TOTAL (Trade Date) :	215,064.57
TOTAL (AO, CXL, CRT) :	-711.50

TOTAL (RUN DATE) / NFS :	214,353.07
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION