



Daily Compliance Activity Report

Trade Date: 05/08/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		1913 HK	S	7,000	6.55	n/a	n/a	non-brg	1.3
BUCK CAPITAL MGMT		1913 HK	S	7,100	6.55	n/a	n/a	non-brg	1.3
BUCK CAPITAL MGMT		ABG	S	20,000	25.69	n/a	27-Apr	non-brg	4.0
BUCK CAPITAL MGMT		ABG	S	20,000	25.69	n/a	27-Apr	non-brg	4.0
BUCK CAPITAL MGMT		ACAT	S	20,000	42.91	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ACAT	S	20,000	42.91	n/a	n/a	non-brg	4.0
COLUMBUS CIRCLE	036	ACIW	B	790	39.29	n/a	n/a	31.60	4.0
MATRIX ASSET ADV.	091	ADM	S	1,300	32.95	n/a	1-May	52.00	4.0
IAN L MILLER	FID	AGNC	B	25	31.56	n/a	n/a	0.00	0.0
STATE TEACHERS RET SYS	047	BEE	B	10,000	6.45	n/a	n/a	500.00	5.0
FARALLON CAP MGMT	040	BJRI	B	800	43.48	n/a	n/a	24.00	3.0
BUCK CAPITAL MGMT		BODY	B	20,000	15.00	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BODY	B	20,000	15.04	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BODY	B	33,100	15.05	n/a	n/a	non-brg	4.0
PLATTE RIVER CAPITAL	240	BUD	B	12,500	72.91	n/a	1-May	500.00	4.0
HIGHBRIDGE CAP	041	BWA	SS	10,000	77.67	n/a	27-Apr	300.00	3.0
MATRIX ASSET ADV.	091	CCL	S	1,000	31.66	n/a	n/a	40.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LATEFF MGMT	035	CFCPRB	S	700	23.79	n/a	n/a	84.00	12.0
CATAPULT	040	CHK	B	2,116	16.66	n/a	n/a	84.64	4.0
ROTHSCHILD ASSET	040	CMS	B	27,307	22.56	n/a	n/a	1,092.28	4.0
⌘ BUCK CAPITAL MGMT		COST	B	10,000	82.15	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		COST	B	10,000	82.24	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CROX	S	50,000	16.66	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CROX	S	50,000	16.66	n/a	n/a	non-brg	4.0
NORTHWESTERN MUTUAL LIFE	047	CSCO	S	208,000	18.78	n/a	n/a	8,320.00	4.0
NEUBERGER BERMAN	036	CYBX	B	18,100	38.51	n/a	n/a	724.00	4.0
HIGHBRIDGE CAP	041	DAL	B	14,000	11.10	n/a	n/a	420.00	3.0
HIGHBRIDGE CAP	041	DAN	SS	12,000	13.50	n/a	26-Apr	360.00	3.0
HIGHBRIDGE CAP	041	DD	B	4,860	52.26	n/a	1-May	145.80	3.0
STATE TEACHERS RET SYS	047	DFT	S	5,000	26.35	n/a	n/a	250.00	5.0
RBC CAPITAL MARKETS	040	DG	B	5,000	47.09	n/a	23-Mar	200.00	4.0
CRAMER ROSENTHAL	070	DIS	C	8,500	43.61	n/a	n/a	340.00	4.0
RBC CAPITAL MARKETS	040	DNKN	B	5,000	31.92	n/a	n/a	200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAP	041	DRC	B	11,000	48.14	n/a	n/a	330.00	3.0
SHEFFIELD ASSET MGMT	031	EA	B	285,300	14.33	n/a	n/a	8,559.00	3.0
CATAPULT	040	ECA	B	2,581	20.93	n/a	n/a	103.24	4.0
RBC CAPITAL MARKETS	040	ENR	B	5,000	74.43	n/a	3-May	200.00	4.0
HIGHBRIDGE CAP	041	EOG	S	3,500	100.77	n/a	n/a	105.00	3.0
HIGHBRIDGE CAP	041	FDX	B	3,500	88.63	n/a	n/a	105.00	3.0
Ⓕ BUCK CAPITAL MGMT		FL	B	200,000	29.16	n/a	8-May	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		FL	B	400,000	29.15	n/a	8-May	non-brg	4.0
ROARING BLUE LION MGMT	031	FL	B	7,000	28.70	n/a	8-May	280.00	4.0
Ⓕ ALICE BEEBE LONGLEY	FID	FOR	S	1500	15.51	n/a	16-Apr	0.00	0.0
Ⓕ KAREN F LEDDY	FID	FOR	S	1781	15.52	n/a	16-Apr	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		FOSL	C	20,000	78.88	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		FOSL	C	60,000	79.05	n/a	n/a	non-brg	4.0
STATE TEACHERS RET SYS	047	FPO	S	10,000	12.51	n/a	n/a	500.00	5.0
CASTLEROCK	040	F	B	200,000	10.53	n/a	2-May	8,000.00	4.0
OZ MGMT	040	F	C	695,936	10.52	n/a	2-May	20,878.08	3.0
Ⓕ BUCK CAPITAL MGMT		GIL	B	20,000	25.25	n/a	4-May	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		GIL	B	20,000	25.25	n/a	4-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		GNC	B	10,000	38.78	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GPI	S	10,000	52.39	n/a	27-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GPI	S	10,000	52.39	n/a	27-Apr	non-brg	4.0
HIGHBRIDGE CAP	041	GT	S	15,000	10.46	n/a	n/a	450.00	3.0
CATAPULT	040	GWV	B	351	202.40	n/a	19-Apr	14.04	4.0
f JONATHAN RICHARD HAR	FID	HAIN	B	200	50.44	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		HBI	B	20,000	26.21	n/a	20-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HBI	B	20,000	26.21	n/a	20-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HBI	B	20,000	26.21	n/a	20-Apr	non-brg	4.0
NEUBERGER BERMAN	036	HI	S	43,900	20.48	n/a	n/a	1,756.00	4.0
⚡ BUCK CAPITAL MGMT		HLF	S	100,000	45.69	n/a	n/a	non-brg	4.0
f ALEXANDRA ELISE ZOLI	FID	IGT	S	600	14.86	n/a	13-Jun	0.00	0.0
CATAPULT	040	ITW	B	1,636	56.15	n/a	25-Apr	65.44	4.0
ROSEDALE CAPITAL	046	JBLU	S	50,000	4.80	n/a	n/a	1,500.00	3.0
CATAPULT	040	JCI	B	1,862	31.46	n/a	27-Apr	74.48	4.0
WEISS PECK - ROBECO INV		040 JNY	B	141,510	9.83		n/a	26-Apr	5,660.40 4.0
⚡ BUCK CAPITAL MGMT		KORS	B	20,000	41.04	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		LAD	S	10,000	25.35	n/a	n/a	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	LULU	B	150	73.24	n/a	10-Apr	0.00	0.0
STATE TEACHERS RET SYS	047	LVS	B	20,000	51.40	n/a	13-Jun	1,000.00	5.0
HIGHBRIDGE CAP	041	LYB	S	34,000	38.37	n/a	n/a	1,020.00	3.0
BRONSON POINT	036	MANT	C	50,000	24.79	n/a	n/a	1,500.00	3.0
B BUCK CAPITAL MGMT		MFRM	S	10,000	34.30	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MFRM	S	10,000	34.30	n/a	n/a	non-brg	4.0
f JASON ERIC COHEN	FID	MINI	B	100	15.66	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	MMI	SS	50,000	39.15	n/a	n/a	2,000.00	4.0
PRIMECAP MGMT	084	MNTA	B	2,000	13.66	n/a	n/a	80.00	4.0
B BUCK CAPITAL MGMT		MWV	B	18,000	27.70	n/a	25-Apr	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	MWV	B	25,000	27.73	n/a	25-Apr	1,250.00	5.0
BNP PARIBAS SEC	040	M	B	50,000	38.41	n/a	4-May	2,500.00	5.0
B BUCK CAPITAL MGMT		M	B	30,000	38.86	n/a	4-May	non-brg	4.0
B BUCK CAPITAL MGMT		M	B	50,000	39.04	n/a	4-May	non-brg	4.0
KLEINHEINZ CAP PART	031	M	S	50,000	39.51	n/a	4-May	2,500.00	5.0
Ö May Tang		NFLX	B	100	70.92	n/a	n/a	0.00	0.0
BRONSON POINT	036	NOC	C	22,800	61.16	n/a	27-Apr	684.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		NWY	B	3,300	3.66	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		NWY	B	5,146	3.72	n/a	n/a	non-brg	1.0
HIGHBRIDGE CAP	041	OII	B	3,124	48.18	n/a	n/a	93.72	3.0
STATE TEACHERS RET SYS	047	OXY	B	25,000	84.72	n/a	n/a	1,250.00	5.0
ß BUCK CAPITAL MGMT		PAG	S	20,000	25.34	n/a	26-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		PAG	S	20,000	25.34	n/a	26-Apr	non-brg	4.0
CATAPULT	040	PCAR	B	1,669	40.65	n/a	25-Apr	66.76	4.0
MERRILL ASSET/BLACKROCK	297	PDC	S	22,900	7.85	n/a	n/a	916.00	4.0
COLUMBUS CAP MGMT LLC	084	PG	B	22,400	64.37	n/a	3-May	1,120.00	5.0
ß BUCK CAPITAL MGMT		PII	S	10,000	75.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PII	S	20,000	75.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	B	20,000	44.79	n/a	7-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	B	20,000	44.98	n/a	7-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	B	30,000	44.98	n/a	7-Mar	non-brg	4.0
f MARK DEVEREAUX KAICH	FID	PRMW	S	2000	1.47	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PSMT	B	10,000	76.24	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PSMT	B	10,000	76.39	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PVH	B	10,000	77.73	n/a	19-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		PVH	B	10,000	77.73	n/a	19-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PVH	B	10,000	77.73	n/a	19-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PVH	B	40,000	80.05	n/a	19-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PVH	B	40,000	80.24	n/a	19-Apr	non-brg	4.0
CAERUS GLOBAL	041	PVH	B	12,100	77.95	n/a	19-Apr	484.00	4.0
COOPER CREEK PART	028	PVH	B	15,000	79.33	n/a	19-Apr	600.00	4.0
HIGHBRIDGE CAP	041	PXD	S	3,500	100.88	n/a	1-May	105.00	3.0
CASTLE ARK	031	QCOM	S	224,980	61.63	n/a	n/a	11,249.00	5.0
⚡ BUCK CAPITAL MGMT		RKT	B	18,000	56.61	n/a	26-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		RL	SS	30,000	158.95	n/a	19-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SAH	S	10,000	14.83	n/a	n/a	non-brg	4.0
f ALEXANDRA ELISE ZOLI	FID	SBUX	B	100	53.84	n/a	27-Apr	0.00	0.0
RBC CAPITAL MARKETS	040	SBUX	B	1,400	54.17	n/a	27-Apr	56.00	4.0
⚡ BUCK CAPITAL MGMT		SCSS	B	20,200	24.77	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SCSS	S	10,000	25.27	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SCSS	S	20,000	25.27	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAP	041	SDRL	B	7,000	35.55	n/a	n/a	210.00	3.0
f ALICE BEEBE LONGLEY	FID	SDY	B	300	55.64	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	SEE	B	20,000	17.81	n/a	2-Jun	1,000.00	5.0
f KAREN F LEDDY	FID	SGI	S	1750	8.60	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		SIG	S	10,000	45.39	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SIG	S	20,000	45.39	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SLXP	SS	30,000	51.61	n/a	8-May	non-brg	4.0
f CHARLES MAXWELL KAIC	FID	SNCR	B	200	20.43	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	SNCR	B	100	21.94	n/a	n/a	0.00	0.0
f MARK DEVEREAUX KAICH	FID	SNCR	B	1000	21.43	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	SN	S	100	22.83	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	SPN	S	11,000	24.27	n/a	n/a	330.00	3.0
JANA CAPITAL	044	SPY	C	100,000	135.39	n/a	n/a	4,000.00	4.0
f MARK DEVEREAUX KAICH	FID	STVI	S	3000	1.38	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	261	SU	SS	150,000	29.38	n/a	n/a	6,000.00	4.0
STATE TEACHERS RET SYS	047	SYMC	B	100,000	15.83	n/a	n/a	5,000.00	5.0
PERRY PARTNERS	085	TEVA	B	50,000	44.22	n/a	8-May	2,500.00	5.0
f FRANCIS MICHAEL MCCA	FID	TIF	S	150	63.28	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	TIF	S	190	63.59	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TLYS	S	20,000	16.80	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TLYS	S	20,000	16.80	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TLYS	S	8,604	16.80	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		TPX	B	11,200	45.42	n/a	n/a	non-brg	4.0
f MARK DEVEREAUX KAICH	FID	USCR	S	500	4.90	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	VECO	B	7,500	36.50	n/a	n/a	300.00	4.0
CRAMER ROSENTHAL	070	VIAB	SS	7,100	46.92	n/a	n/a	284.00	4.0
JENNISON	070	VIAB	S	137,600	47.00	n/a	n/a	5,091.19	3.7
f ALICE BEEBE LONGLEY	FID	VIG	S	300	57.08	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	VSI	B	1,803	50.24	n/a	n/a	72.12	4.0
f IAN L MILLER	FID	WHX	B	50	18.22	n/a	n/a	0.00	0.0
f ALEXANDRA ELISE ZOLI	FID	WWW	B	100	42.09	n/a	n/a	0.00	0.0
SENATOR INVESTMENT GROUP	036	WWW	B	85,000	42.06	n/a	n/a	2,550.00	3.0
B BUCK CAPITAL MGMT		WY	B	25,000	19.79	n/a	4-May	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	WY	B	15,000	19.85	n/a	4-May	750.00	5.0
HIGHBRIDGE CAP	041	XLU	B	57,000	35.50	n/a	n/a	1,140.00	2.0
CATAPULT	040	XOP	B	1,060	51.04	n/a	n/a	42.40	4.0
HIGHBRIDGE CAP	041	XOP	SS	20,000	50.91	n/a	n/a	400.00	2.0
f ANGELO CHRISTOS EFST	FID	XPO	S	100	15.99	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	XPO	S	625	16.08	n/a	n/a	0.00	0.0
f HARALAMBOS EFSTATHI	FID	XPO	S	2000	15.95	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KAREN F LEDDY	FID	XPO	S	500	16.09	n/a	n/a	0.00	0.0
f	MICHAEL C PERILLO	FID	XPO	S	400	16.10	n/a	n/a	0.00	0.0
TOTAL (Trade Date):									120,393.19	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/07	SAGE ASSET MGMT	025	LPX	SS	119,800	9.40	n/a	7-May	4792.00 4.0
AO,CXL	05/07	SAGE ASSET MGMT	025	LPX	SS	119,800	9.40	n/a	7-May	5990.00 5.0
AO,	05/07	COLUMBUS CIRCLE	036	MELI	S	1,300	89.06	n/a	n/a	52.00 4.0

TOTAL (AO, CXL, CRT) :	-1,146.00
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TOTAL (Trade Date) :	120,393.19
TOTAL (AO, CXL, CRT) :	-1,146.00

TOTAL (RUN DATE) / NFS:	119,247.19
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 8-May.
NONE

MRI Securities without Trade Activity on 8-May.
CHD

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)