



# Daily Compliance Activity Report

Trade Date: 05/03/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>ABFS</b>	<b>S</b>	<b>300</b>	<b>22.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ARMATURE CAPITAL	041	AGCO	SS	25,000	56.29	n/a	27-Apr	1,000.00	4.0
TRUSTCO/SUNTRUST	040	ALL	S	54,200	34.31	n/a	n/a	1,897.01	3.5
BALYASNY ASSET MGMT	044	ANF	B	20,000	70.96	n/a	2-May	1,000.00	5.0
CAERUS GLOBAL	041	ANF	S	9,327	71.20	n/a	2-May	373.08	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>B</b>	<b>10,000</b>	<b>29.41</b>	<b>n/a</b>	<b>n/a</b>	<b>300.00</b>	<b>3.0</b>
NEUBERGER BERMAN	036	APD	S	10,000	94.42	n/a	27-Apr	400.00	4.0
<b>f ANGELOS EFSTATHIOU</b>	<b>FID</b>	<b>ARCO</b>	<b>B</b>	<b>200</b>	<b>22.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARCO</b>	<b>B</b>	<b>3,776</b>	<b>22.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>ARCO</b>	<b>B</b>	<b>400</b>	<b>22.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>ARCO</b>	<b>B</b>	<b>600</b>	<b>22.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TALPION LLC	040	ARMH	B	5,000	29.07	n/a	n/a	200.00	4.0
RIDGECREST INV MGMT LLC	028	AXL	B	7,500	12.21	n/a	2-May	375.00	5.0
SCOPUS FUND	041	AXL	B	62,500	12.11	n/a	2-May	2,500.00	4.0
CAXTON PART	040	AXP	S	20,000	50.00	n/a	2-May	700.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>B</b>	<b>100,000</b>	<b>31.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>B</b>	<b>250,000</b>	<b>31.40</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BC</b>	<b>B</b>	<b>500</b>	<b>22.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BGZ</b>	<b>B</b>	<b>3000</b>	<b>32.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BGZ</b>	<b>B</b>	<b>5000</b>	<b>32.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CASTLE ARK	031	BHI	S	59,433	72.67	n/a	n/a	2,971.65	5.0
CR INTRINIC INVESTMENT	044	BIN	B	10,000	25.39	n/a	n/a	350.00	3.5
WESLEY CAPITAL	029	BKD	B	25,000	26.56	n/a	n/a	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BK</b>	<b>B</b>	<b>2,966</b>	<b>28.83</b>	<b>n/a</b>	<b>20-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>BLOAQ</b>	<b>S</b>	<b>1000</b>	<b>0.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>20,000</b>	<b>13.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>25,000</b>	<b>13.27</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CYPRESS CAP MGMT LLC	040	BUD	S	15,100	63.35	n/a	25-Apr	604.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BYD</b>	<b>B</b>	<b>3,751</b>	<b>9.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BYD</b>	<b>B</b>	<b>75,000</b>	<b>9.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BYI</b>	<b>B</b>	<b>1,548</b>	<b>38.69</b>	<b>n/a</b>	<b>28-Apr</b>	<b>non-brg</b>	<b>4.0</b>
GEORGE WEISS	034	CHD	B	22,800	80.74	n/a	1-Mar	912.00	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>CHRS</b>	<b>B</b>	<b>1000</b>	<b>4.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JET CAPITAL/CHRIS	041	CNP	B	61,461	18.68	n/a	n/a	1,843.83	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		CPKI	B	271	15.79	n/a	2-May	non-brg 4.0
BUCK CAPITAL MGMT		CPKI	B	32,500	15.40	n/a	2-May	non-brg 4.0
BUCK CAPITAL MGMT		DAL	B	4,092	10.42	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		DDS	S	20,000	46.31	n/a	n/a	non-brg 4.0
BARROW HANLEY MEWHINNEY	031	DD	S	200,000	55.96	n/a	2-May	7,000.00 3.5
BUCK CAPITAL MGMT		DHI	B	3,528	12.13	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		DIA	SS	669	127.83	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		DKS	SS	20,000	40.03	n/a	8-Mar	non-brg 4.0
SAC COHEN	044	DPS	B	20,079	39.45	n/a	n/a	702.77 3.5
CAERUS GLOBAL	041	EL	B	7,000	95.77	n/a	24-Mar	280.00 4.0
BRONSON POINT	036	EMR	B	20,000	57.10	n/a	n/a	600.00 3.0
CR INTRINIC INVESTMENT	044	EXP	B	5,000	28.59	n/a	n/a	175.00 3.5
ROYCE TRADING	012	FINL	B	13,500	21.48	n/a	25-Mar	675.00 5.0
CRAMER ROSENTHAL/SCOTT	012	FIS	B	242,000	33.47	n/a	n/a	9,680.00 4.0
BUCK CAPITAL MGMT		FITB	B	3,262	13.09	n/a	n/a	non-brg 4.0
RBC CAPITAL MARKETS	040	FLR	S	10,000	67.26	n/a	n/a	400.00 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f</b> CHRISTOPHER ROBERT C	<b>FID</b>	<b>GES</b>	<b>S</b>	<b>125</b>	<b>42.43</b>	<b>n/a</b>	<b>25-Apr</b>	<b>0.00</b>	<b>0.0</b>
ROBERTSON STEVENS BC	084	GES	S	169,340	41.67	n/a	25-Apr	6,773.60	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>GIII</b>	<b>S</b>	<b>20,000</b>	<b>41.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GM</b>	<b>SS</b>	<b>31,900</b>	<b>32.83</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>0.8</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GM</b>	<b>SS</b>	<b>324</b>	<b>33.05</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GM</b>	<b>SS</b>	<b>53,100</b>	<b>32.85</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
TRELLUS PARTNERS LP	028	GM	SS	60,000	32.61	n/a	2-May	3,000.00	5.0
<b>f</b> CHRISTOPHER ROBERT C	<b>FID</b>	<b>GNTX</b>	<b>S</b>	<b>100</b>	<b>31.52</b>	<b>n/a</b>	<b>25-Apr</b>	<b>0.00</b>	<b>0.0</b>
SAC CAP/ROSEN FOR BOBBY	044	GNW	B	100,000	12.19	n/a	n/a	3,500.00	3.5
<b>B</b> BUCK CAPITAL MGMT		<b>GS</b>	<b>B</b>	<b>281</b>	<b>152.02</b>	<b>n/a</b>	<b>19-Apr</b>	<b>non-brg</b>	<b>4.0</b>
BNP PARIBAS SEC	040	HBI	B	15,000	32.00	n/a	21-Apr	750.00	5.0
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>HOV</b>	<b>B</b>	<b>5000</b>	<b>2.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CENTURION PARTNERS	041	IBM	S	20,000	171.46	n/a	n/a	800.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>IGT</b>	<b>B</b>	<b>2,953</b>	<b>17.44</b>	<b>n/a</b>	<b>27-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>IJH</b>	<b>SS</b>	<b>855</b>	<b>100.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
ROSEDALE CAPITAL	046	INTC	S	25,000	23.43	n/a	n/a	1,250.00	5.0
ENTRUST CAPITAL	040	INT	B	26,400	37.68	n/a	n/a	1,320.00	5.0

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BMO NESBITT BURNS	041	IP	SS	2,000	31.86	n/a	28-Apr	100.00	5.0
COBALT	041	IP	B	34,204	31.65	n/a	28-Apr	1,368.16	4.0
CR INTRINIC INVESTMENT	044	IP	SS	3,000	31.72	n/a	28-Apr	105.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>IWO</b>	<b>SS</b>	<b>889</b>	<b>96.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>JNPR</b>	<b>S</b>	<b>200</b>	<b>37.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>S</b>	<b>100,000</b>	<b>12.86</b>	<b>n/a</b>	<b>28-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>S</b>	<b>125,000</b>	<b>12.98</b>	<b>n/a</b>	<b>28-Apr</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	JNY	B	17,949	13.00	n/a	28-Apr	628.22	3.5
1798 CAP/LOMBARD ASSET	040	JOYG	S	67,350	99.02	n/a	3-Mar	3,367.50	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>S</b>	<b>40,000</b>	<b>48.14</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	JWN	S	25,000	48.04	n/a	2-May	875.00	3.5
LATEFF MGMT	035	KALU	S	5,525	50.42	n/a	n/a	887.50	19.3
SAC TRADING TOM LEDDY	035	KFT	B	50,000	33.77	n/a	n/a	1,750.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>KNX</b>	<b>B</b>	<b>2,403</b>	<b>17.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>S</b>	<b>115,000</b>	<b>52.86</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>S</b>	<b>30,000</b>	<b>52.81</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LEA</b>	<b>B</b>	<b>10,000</b>	<b>50.70</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
OSKIE CAPITAL MGMT	224	LEA	B	20,000	51.43	n/a	2-May	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LEN</b>	<b>B</b>	<b>3,512</b>	<b>18.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

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<b>f IAN L MILLER</b>	<b>FID</b>	<b>LLY</b>	<b>S</b>	<b>30</b>	<b>38.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LL</b>	<b>S</b>	<b>30,000</b>	<b>25.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MA</b>	<b>S</b>	<b>23,427</b>	<b>283.43</b>	<b>n/a</b>	<b>3-May</b>	<b>non-brg</b>	<b>4.0</b>
WESLEY CAPITAL	029	MFA	S	78,910	8.06	n/a	n/a	3,156.40	4.0
GREEN ARROW DOUG	040	MGM	SS	25,600	12.90	n/a	25-Apr	1,280.00	5.0
TRELLUS PARTNERS LP	028	MGM	B	50,000	12.85	n/a	25-Apr	2,500.00	5.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>MON</b>	<b>S</b>	<b>100</b>	<b>66.60</b>	<b>n/a</b>	<b>21-Apr</b>	<b>0.00</b>	<b>0.0</b>
NCM CAPITAL MGMT	035	MRX	S	27,395	36.39	n/a	n/a	1,095.80	4.0
TALPION LLC	040	MSI	B	5,000	45.20	n/a	n/a	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MS</b>	<b>B</b>	<b>2,782</b>	<b>26.13</b>	<b>n/a</b>	<b>21-Apr</b>	<b>non-brg</b>	<b>4.0</b>
MERRILL ASSET/BLACKROCK	266	MTB	B	34,500	87.83	n/a	n/a	1,380.00	4.0
BASIX	033	MTW	B	15,000	20.78	3-May	3-May	450.00	3.0
BURL CAPITAL LLC	048	MTW	B	30,000	20.85	3-May	3-May	1,200.00	4.0
<b>f ANN M GAULT</b>	<b>FID</b>	<b>MWA</b>	<b>S</b>	<b>500</b>	<b>4.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ANTHONY SUTERA</b>	<b>FID</b>	<b>MWA</b>	<b>S</b>	<b>2500</b>	<b>4.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL DEMARTI</b>	<b>FID</b>	<b>MWA</b>	<b>S</b>	<b>10000</b>	<b>4.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>MWA</b>	<b>S</b>	<b>10000</b>	<b>4.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>MWA</b>	<b>S</b>	<b>2000</b>	<b>4.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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BUCK CAPITAL MGMT	001	M	S	20,000	24.68	n/a	2-May	600.00	3.0
⌘ BUCK CAPITAL MGMT		M	S	80,000	24.38	n/a	2-May	non-brg	4.0
M&I FUNDS	047	M	B	22,442	24.52	n/a	2-May	897.68	4.0
⌘ BUCK CAPITAL MGMT		NBD CN	B	1,860	13.79	n/a	n/a	non-brg	4.0
NEUBERGER BERMAN	036	NOV	B	61,920	73.41	n/a	n/a	2,476.80	4.0
PIONEER PATH	028	OCLR	B	23,474	10.59	n/a	n/a	0.00	0.0
TALPION LLC	040	OMX	B	18,500	9.66	n/a	n/a	555.00	3.0
COLUMBIA PARTNERS	028	PAG	SS	2,123	22.02	n/a	29-Apr	84.92	4.0
NOKOMIS PARTNERS	031	PBR	SS	15,000	36.50	n/a	n/a	450.00	3.0
CATAPULT	040	PKG	SS	8,300	27.91	n/a	19-Apr	332.00	4.0
ROYCE TRADING	012	PLCE	B	4,000	52.46	n/a	9-Mar	200.00	5.0
ROXBURY CAPITAL MGMT	035	PSUN	S	87,725	3.09	n/a	n/a	1,754.50	2.0
SANDLER CAPITAL	028	RBN	S	115,000	42.21	n/a	n/a	5,750.00	5.0
CR INTRINIC INVESTMENT	044	RSG	SS	5,000	31.79	n/a	n/a	175.00	3.5
⌘ BUCK CAPITAL MGMT		RTH	SS	14,200	111.31	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		RYL	B	3,218	16.39	n/a	n/a	non-brg	4.0

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TALPION LLC	040	SBRA	B	20,000	16.90	n/a	n/a	800.00	4.0
WESLEY CAPITAL	029	SFI	S	25,000	9.54	n/a	n/a	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SFLY</b>	<b>B</b>	<b>20,000</b>	<b>59.10</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>SGI</b>	<b>B</b>	<b>800</b>	<b>16.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>SGI</b>	<b>B</b>	<b>63</b>	<b>1.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>SGI</b>	<b>B</b>	<b>1500</b>	<b>16.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SIG</b>	<b>B</b>	<b>10,000</b>	<b>42.13</b>	<b>n/a</b>	<b>n/a</b>	<b>300.00</b>	<b>3.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>SLW</b>	<b>B</b>	<b>3000</b>	<b>36.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>SLW</b>	<b>S</b>	<b>3000</b>	<b>37.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AMBER CAPITAL	046	SONC	B	102,363	11.71	n/a	n/a	4,094.52	4.0
STATE TEACHERS RET SYS	047	SPG	S	10,000	116.19	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>SPY</b>	<b>SS</b>	<b>314</b>	<b>135.89</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
FIRST NEW YORK LEDDY	035	S	B	20,000	5.18	n/a	n/a	800.00	4.0
MTB INV ADV	034	TEN	S	35,925	45.46	n/a	n/a	1,796.25	5.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>TEVA</b>	<b>B</b>	<b>500</b>	<b>46.79</b>	<b>n/a</b>	<b>3-May</b>	<b>0.00</b>	<b>0.0</b>
ENTRUST CAPITAL	040	TFX	S	9,700	63.04	n/a	n/a	485.00	5.0
BALYASNY ASSET MGMT	044	TGT	SS	25,000	49.05	n/a	2-May	1,250.00	5.0



	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>B</b>	<b>874</b>	<b>48.96</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg 4.0</b>
	ENTRUST CAPITAL	040	THO	B	12,500	30.68	n/a	n/a	625.00 5.0
	BMO NESBITT BURNS	041	TIN	B	10,000	23.17	n/a	20-Apr	500.00 5.0
	NEUBERGER BERMAN	036	TISI	S	25,000	23.05	n/a	n/a	1,000.00 4.0
	CHARTER OAK	033	TRH	B	40,000	48.53	n/a	n/a	2,000.00 5.0
	WADDELL AND REED	047	T	B	497,820	31.78	n/a	n/a	19,912.80 4.0
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>UAL</b>	<b>B</b>	<b>1,795</b>	<b>23.85</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
	CAPITAL GROWTH MGMT.	241	UNH	B	100,000	49.85	n/a	n/a	5,000.00 5.0
<b>B</b>	<b>BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>30,000</b>	<b>32.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
	SIGMA CAPITAL ASSOCIATES	041	URBN	B	25,000	32.23	n/a	n/a	875.00 3.5
	SHUMWAY CAPITAL	029	USO	C	134,096	44.44	n/a	n/a	4,022.88 3.0
	CR INTRINIC INVESTMENT	044	VAL	B	10,000	38.46	n/a	n/a	350.00 3.5
<b>f</b>	<b>CINDY AMATURO</b>	<b>FID</b>	<b>VFINX</b>	<b>S</b>	<b>87</b>	<b>125.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>f</b>	<b>CINDY AMATURO</b>	<b>FID</b>	<b>VGSTX</b>	<b>S</b>	<b>613</b>	<b>20.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
	BRONSON POINT	036	VMC	C	5,000	44.81	n/a	n/a	150.00 3.0
<b>f</b>	<b>MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>V</b>	<b>B</b>	<b>200</b>	<b>79.88</b>	<b>n/a</b>	<b>13-Apr</b>	<b>0.00 0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	V	B	7,500	79.69	n/a	13-Apr	375.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>WFMI</b>	<b>C</b>	<b>40,000</b>	<b>59.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CATAPULT	040	WY	SS	15,000	22.37	n/a	29-Apr	600.00	4.0
RBC CAPITAL MARKETS	040	WY	B	25,000	22.56	n/a	29-Apr	1,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>XLFX</b>	<b>SS</b>	<b>15,686</b>	<b>16.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>f</b> IAN L MILLER	<b>FID</b>	<b>ZSL</b>	<b>B</b>	<b>60</b>	<b>16.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> IAN L MILLER	<b>FID</b>	<b>ZSL</b>	<b>S</b>	<b>60</b>	<b>18.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>							<b>135,388.87</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/02	NOMURA CAPITAL	029	ABH	S	1,713	26.97	n/a	n/a	51.39 3.0
AO,	05/02	COOPER CREEK PART	028	PAG	SS	1,600	22.11	n/a	29-Apr	64.00 4.0
AO,	05/02	AMBER CAPITAL	046	SONC	B	286,637	11.59	n/a	n/a	11465.48 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>11,580.87</b>

TOTAL (Trade Date) :	135,388.87
TOTAL (AO, CXL, CRT) :	11,580.87

<b>TOTAL (RUN DATE) / NFS :</b>	<b>146,969.74</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 3-May.

MTW

MRI Securities without Trade Activity on 3-May.

KEG

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 2%

YTD = 4%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**