



Daily Compliance Activity Report

Trade Date: 05/31/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f DAVID GEORGE BUCK	FID	ABT	S	300	52.18	n/a	n/a	0.00	0.0
LATEFF MGMT	035	ALL	B	5,000	31.43	n/a	n/a	500.00	10.0
B BUCK CAPITAL MGMT		ANF	S	20,000	75.65	n/a	19-May	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	SS	30,000	19.00	n/a	20-May	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	SS	30,000	19.00	n/a	20-May	non-brg	4.0
BMO NESBITT BURNS	041	BIG	B	3,000	33.70	n/a	27-May	120.00	4.0
B BUCK CAPITAL MGMT		CACH	S	18,200	5.19	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		CACH	S	43,000	5.19	n/a	n/a	non-brg	0.8
CSFB GLOBAL PROPRIETARY	041	CAT	B	20,000	104.88	n/a	2-May	1,000.00	5.0
ARCHON CAPITAL	035	CCSC	B	32,500	10.49	n/a	n/a	975.00	3.0
LEVIN CAPITAL STRATEGIES	012	CHK	S	15,000	31.10	n/a	n/a	450.00	3.0
B BUCK CAPITAL MGMT		CHS	S	20,000	14.97	n/a	5-Feb	non-brg	4.0
f EDWARD W WHEELER	FID	CLNY	S	5000	18.37	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CRMBW	B	10,000	2.20	n/a	n/a	non-brg	2.0
f COLE THOMAS KAICHER	FID	CRMBW	B	2000	1.95	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	CRMBW	B	600	2.16	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JONATHAN RICHARD HAR	FID	CRMBW	B	2325	2.15	n/a	n/a	0.00	0.0
f	KAREN F LEDDY	FID	CRMB	B	750	12.90	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		CROX	S	20,000	22.40	n/a	n/a	non-brg	4.0
f	BERNA GABRIELLE BARS	FID	CSTR	B	50	53.02	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		CSTR	S	1,500	53.29	n/a	n/a	non-brg	4.0
f	DAVID GEORGE BUCK	FID	C	S	300	40.90	n/a	17-May	0.00	0.0
	PIONEER PATH	028	DHI	B	25,000	12.06	n/a	n/a	750.00	3.0
	NEWLAND CAPITAL MGT	025	END	S	25,000	14.16	n/a	n/a	1,000.00	4.0
B	BUCK CAPITAL MGMT		FINL	S	30,000	22.94	n/a	10-May	non-brg	4.0
B	BUCK CAPITAL MGMT		FL	S	20,000	24.84	n/a	19-May	non-brg	4.0
B	BUCK CAPITAL MGMT		FOSL	S	20,000	105.40	n/a	n/a	non-brg	4.0
f	DAVID GEORGE BUCK	FID	FRE GR	S	1000	104.04	n/a	n/a	0.00	0.0
	BMO NESBITT BURNS	041	FRED	SS	4,000	14.47	n/a	n/a	160.00	4.0
	AVESTA CAP	229	F	B	400,000	14.83	n/a	31-May	12,000.00	3.0
B	BUCK CAPITAL MGMT		GCO	S	10,000	44.86	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		GES	S	30,000	45.56	n/a	26-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⚡ BUCK CAPITAL MGMT		GIL	S	20,000	37.20	n/a	12-May	non-brg 4.0
⚡ BUCK CAPITAL MGMT		GMCR	S	20,000	82.56	n/a	n/a	non-brg 4.0
OZ MGMT	040	GM	SS	58,081	31.27	n/a	31-May	1,742.43 3.0
CRAMER ROSENTHAL/SCOTT	012	HAS	B	213,900	45.73	n/a	n/a	8,556.00 4.0
COLUMBUS CIRCLE	036	IO	B	17,404	10.10	n/a	n/a	696.16 4.0
f DAVID GEORGE BUCK	FID	IVZ	S	100	24.64	n/a	2-Mar	0.00 0.0
SENATOR INVESTMENT GROUP	036	IWM	B	20,000	84.72	n/a	n/a	600.00 3.0
BNP PARIBAS SEC	040	JCI	S	30,000	39.45	n/a	26-Apr	1,500.00 5.0
EMERSON CAPITAL MGMT	048	JNY	S	19,640	12.23	n/a	28-Apr	589.20 3.0
f DAVID GEORGE BUCK	FID	JPM	S	20	0.80	n/a	17-May	0.00 0.0
⚡ BUCK CAPITAL MGMT		JWN	S	50,000	46.71	n/a	27-May	non-brg 4.0
⚡ BUCK CAPITAL MGMT		KSS	S	20,000	53.38	n/a	27-May	non-brg 4.0
⚡ BUCK CAPITAL MGMT		KSS	S	32,296	53.36	n/a	27-May	non-brg 4.0
⚡ BUCK CAPITAL MGMT		LEA	S	16,800	50.81	n/a	2-May	non-brg 4.0
⚡ BUCK CAPITAL MGMT		LEA	S	20,200	50.81	n/a	2-May	non-brg 4.0
METROPOLITAN CAPITAL	044	LEA	S	9,600	50.95	n/a	2-May	480.00 5.0
⚡ BUCK CAPITAL MGMT		LTD	S	50,000	39.92	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EMERSON CAPITAL MGMT	048	MAT	S	10,000	26.25	n/a	n/a	300.00	3.0
f DAVID GEORGE BUCK	FID	MGM	B	2000	15.19	n/a	10-May	0.00	0.0
f DAVID GEORGE BUCK	FID	MHS	B	300	59.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MNRO	S	20,000	32.17	n/a	n/a	non-brg	4.0
CYRUS CAPITAL	025	MPG	B	25,000	2.75	n/a	n/a	750.00	3.0
f DAVID GEORGE BUCK	FID	MRK	B	400	36.59	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MRT	S	1,200	7.58	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MRT	S	1,400	7.58	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MRT	S	1,800	7.58	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MRT	S	13,700	7.58	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MRT	S	400	7.58	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MRT	S	600	7.58	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MRT	S	900	7.58	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		MSSR	S	1,100	9.06	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MSSR	S	1,500	9.06	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MSSR	S	1,800	9.06	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MSSR	S	17,100	9.06	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MSSR	S	2,300	9.06	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MSSR	S	500	9.06	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MSSR	S	700	9.06	n/a	n/a	non-brg	2.5
f ANTHONY SUTERA	FID	MWA	B	2500	4.06	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		M	B	100,000	28.72	n/a	27-May	non-brg	4.0
TALPION LLC	040	NKE	S	10,000	84.36	n/a	n/a	400.00	4.0
f BERNA GABRIELLE BARS	FID	NOK	B	500	7.05	n/a	n/a	0.00	0.0
LATEFF MGMT	035	NOK	S	14,350	7.06	n/a	n/a	810.00	8.8
SENATOR INVESTMENT GROUP	036	NWSA	B	100,000	18.27	n/a	n/a	3,000.00	3.0
LEVIN CAPITAL STRATEGIES	012	PCG	S	15,000	43.21	n/a	n/a	450.00	3.0
f DAVID GEORGE BUCK	FID	PEP	B	500	70.80	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PLCE	S	30,000	50.23	n/a	20-May	non-brg	4.0
ROYCE TRADING	012	PLCE	B	14,000	50.28	n/a	20-May	700.00	5.0
SANDLER CAPITAL	028	POT	B	140,000	57.37	n/a	n/a	7,000.00	5.0
VINIK ASSET MGMT.	030	POT	S	100,000	57.36	n/a	n/a	3,000.00	3.0
PECONIC PARTNERS	036	QQQ	C	235,400	58.00	n/a	n/a	7,062.00	3.0
f BERNA GABRIELLE BARS	FID	RGC	B	500	13.46	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		RL	S	70,000	127.42	n/a	26-May	non-brg	0.8
CASTLEROCK	040	RL	SS	26,553	127.38	n/a	26-May	1,062.12	4.0
B BUCK CAPITAL MGMT		RUTH	S	1,100	5.29	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		RUTH	S	1,700	5.29	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		RUTH	S	2,300	5.29	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		RUTH	S	2,700	5.29	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		RUTH	S	26,200	5.29	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		RUTH	S	3,500	5.29	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		RUTH	S	800	5.29	n/a	n/a	non-brg	3.0
DAVID GEORGE BUCK	FID	RYN	B	250	66.03	n/a	27-Apr	0.00	0.0
BERNA GABRIELLE BARS	FID	SFLY	B	50	59.73	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SHOO	S	10,000	55.62	n/a	n/a	non-brg	4.0
ARCHON CAPITAL	035	SMT	B	20,000	7.18	n/a	n/a	600.00	3.0
BUCK CAPITAL MGMT		SODA	S	20,000	57.20	n/a	n/a	non-brg	2.5
LEVIN CAPITAL STRATEGIES	012	SPY	B	52,000	134.34	n/a	n/a	1,560.00	3.0
PZENA INV ADV	044	SRE	S	1,275	54.96	n/a	n/a	44.63	3.5
PZENA INV ADV	044	STT	S	1,250	45.56	n/a	17-May	43.75	3.5
BMO NESBITT BURNS	041	SVU	S	6,000	10.22	n/a	n/a	240.00	4.0
PZENA INV ADV	044	TEL	S	3,200	36.64	n/a	n/a	112.00	3.5
BUCK CAPITAL MGMT		TFM	S	3,673	40.65	n/a	n/a	non-brg	4.0
DAVID GEORGE BUCK	FID	TGT	S	300	49.55	n/a	18-May	0.00	0.0
BUCK CAPITAL MGMT		TIF	S	20,000	76.05	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Nilsa Vazquez		TSM	S	500	13.66	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ULTA	S	50,000	56.58	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		URBN	SS	100,000	30.24	n/a	n/a	non-brg	4.0
f STEFFANIE CARMELA CA	FID	URZ	S	1500	3.21	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	XLU	S	50,000	33.72	n/a	n/a	1,500.00	3.0
PZENA INV ADV	044	XOM	S	1,525	83.06	n/a	n/a	53.38	3.5
ß BUCK CAPITAL MGMT		XRT	SS	97,000	54.00	n/a	n/a	non-brg	2.0
TOTAL (Trade Date) :								59,806.67	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									59,806.67
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									59,806.67
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 31-May.
NONE

MRI Securities without Trade Activity on 31-May.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)