

Daily Compliance Activity Report Trade Date: 06/20/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
JP MORGAN VENTURES	046	AAP	SS	10,000	39.93	n/a	n/a	500.00	5.0
CAXTON PART	040	AA	В	116,482	38.29	n/a	n/a	4,076.88	3.5
COUGAR TRADING	044	AEO	В	15,000	16.19	6-Mar	6-Jun	750.00	5.0
COOKBIELER	028	ALV	В	35,665	51.13	17-Apr	9-Jun	1,426.60	4.0
COUGAR TRADING EGS ASSOCIATES LP	044 091	ANF	В В	10,000 5,000	67.54 67.58	10-Apr 10-Apr	6-Jun 6-Jun	500.00 300.00	5.0 6.0
	031		_	3,000	07.00		0 0 0 0 0 0 0	300.00	
COUGAR TRADING	044	ANN	В	10,000	25.47	14-Mar	22-May	500.00	5.0
COUGAR TRADING	044	ARO	В	5,000	33.21	28-Apr	6-Jun	250.00	5.0
MILLENIUM KOZLOW	028	ARO	S	20,000	32.78	28-Apr	6-Jun	1,000.00	5.0
J L F ASSET MGMT	039	AXL	SS	58,500	10.88	n/a	n/a	2,340.00	4.0
PHILADELPHIA FINANCIAL	033	BEN	В	11,052	99.80	24-Mar	9-Jun	442.08	4.0
SUNOVA CAPITAL	031	BEN	S	40,000	100.44	24-Mar	9-Jun	2,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	BIG	SS	10,000	33.88	29-May	5-Jun	400.00	4.0
SUNOVA CAPITAL	031	BLK	S	25,000	196.78	n/a	2-Jun	1,250.00	5.0
BALYASNY ASSET MGMT	044	BWA	SS	18,300	49.35	10-Jun	10-Jun	915.00	5.0
ROYCE TRADING	216	CAB	В	10,000	12.33	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
BARR	096	CGR	s	4,000	0.90	n/a	n/a	0.00	0.0
JMP ASSET MGMT	210	CHIC	SS	1,500	17.80	n/a	n/a	75.00	5.0
GALT INVESTMENT	041	СОН	В	25,000	31.17	4-Apr	23-Apr	1,250.00	5.0
J L F ASSET MGMT	039	COH	SS	26,000	31.04	4-Apr	23-Apr	1,040.00	4.0
DIAMONDBACK CAP MGMT	232	CSCO	SS	150,000	24.83	n/a	22-Mar	7,500.00	5.0
J L F ASSET MGMT	039	CYT	SS	19,400	58.64	n/a	n/a	776.00	4.0
OGORMAN	046	С	S	1,300	19.45	19-Jun	19-Jun	0.00	0.0
PATTEN & PATTEN	067	DE	В	130	75.93	n/a	23-May	6.50	5.0
J L F ASSET MGMT	039	DKS	SS	13,900	20.85	n/a	23-May	556.00	4.0
JMP ASSET MGMT	210	DKS	S	6,000	20.87	n/a	23-May	300.00	5.0
REICH AND TANG	067	DLX	В	50,000	19.40	n/a	n/a	2,500.00	5.0
PATTEN & PATTEN	067	DNA	В	190	74.28	n/a	n/a	9.50	5.0
ENTRUST CAPITAL	040	DPZ	В	50,000	12.06	n/a	24-Apr	2,500.00	5.0
WHEELER	800	DW	S	4,000	18.75	n/a	n/a	0.00	0.0
OPPENHEIMER MGMT.	036	EMC	S	227,500	16.23	n/a	n/a	9,100.00	4.0
MS PRINCIPAL STRATEGIES	041	EXM	В	50,000	39.65	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
CATAPULT	040	FCSX	S	s 5,000	38.63	n/a	n/a	250.00	5.0	
MATTHES CAPITAL MGMT	033	FDO	В	42,000	19.53	8-Jan	5-Jun	1,680.00	4.0	
FIRST NY SEC/CHRIS	041	GAP	В	10,000	23.09	n/a	n/a	600.00	6.0	
NOMURA CAPITAL	045	GETIL	S	2,775	21.71	n/a	n/a	138.75	5.0	
NOMURA CAPITAL	045	GETIZ	S	1,356	26.88	n/a	n/a	67.80	5.0	
AVENUE CAPITAL MGMT	036	GM	S	S 100,000	14.14	12-Jun	12-Jun	4,000.00	4.0	
COLONIAL FUND LLC	036	GM	S	S 100,000	14.10	12-Jun	12-Jun	5,000.00	5.0	
TRELLUS PARTNERS LP	028	GPI	S	s 18,700	21.67	10-Jun	10-Jun	935.00	5.0	
COUGAR TRADING	044	GPS	В	5,000	17.50	n/a	6-Jun	250.00	5.0	
BUCK	015	HARRAHS (OPER II	NCB 125	,000 5	88.50	n/a	n/a	0.00	0.0
N STRATTON	014	HIBB	S	s 200	22.15	n/a	n/a	0.00	0.0	
NOMURA CAPITAL	045	IESC	S	9,615	17.01	n/a	n/a	480.75	5.0	
TRIVIUM CAP MGMT	251	IMMR	В	25,000	7.07	n/a	n/a	1,000.00	4.0	
WILLIAMS TRAD/MANHASSET	041	IVZ	S	25,000	26.06	10-Apr	17-Jun	875.00	3.5	
BUCK CAPITAL MGMT	001	JAH	В	30,000	19.86	n/a	n/a	1,200.00	4.0	
FRIESS MGMT	033	JCG	В	224,800	34.36	n/a	30-May	8,992.00	4.0	

Account	RR #	Symbol	в/:	S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BUCK	015	JCP		SS	1,500	36.78	n/a	6-Jun	0.00	0.0
BRANDWINE	028	JOSB	В		16,600	29.92	n/a	n/a	664.00	4.0
CROWLEY	061	KEG		S	500	18.10	n/a	18-Jun	0.00	0.0
CAXTON PART	040	KFT		S	121,787	29.21	n/a	n/a	4,262.55	3.5
J L F ASSET MGMT	039	KMB		SS	18,600	61.84	n/a	n/a	744.00	4.0
STADIA CAPITAL	035	KMX	В		21,700	15.61	n/a	10-Jan	868.00	4.0
COUGAR TRADING	044	KSS	В		5,000	43.47	n/a	6-Jun	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	KSS		SS	10,000	44.99	n/a	6-Jun	400.00	4.0
WOOSTER CAPITAL	035	KSS	В		25,000	44.01	n/a	6-Jun	1,250.00	5.0
NATIONAL BK OF CAN	031	LEH	В		50,000	23.84	9-Jun	17-Jun	2,000.00	4.0
BUCK CAPITAL MGMT	001	LIZ		SS	20,000	15.94	n/a	14-May	800.00	4.0
BUCK	015	LPX		SS	2,000	10.39	7-Apr	7-May	0.00	0.0
BUCK	015	LVS		SS	1,000	55.40	n/a	n/a	0.00	0.0
OGORMAN	046	MS		S	1,000	38.79	n/a	19-Jun	0.00	0.0
J L F ASSET MGMT	039	NKE		S	34,100	66.04	n/a	n/a	1,364.00	4.0
FIRST INV MGMT CORP	036	NOC		S	10,000	70.10	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S		Shares	Price	MRI	Research	Comm.	\$/Shr.	
ROTHSCHILD ASSET	040	OMC	S	S	11,010	46.13	n/a	n/a	495.45	4.5	
WAGONHOUND/NIC	048	ONNN	В		3,947	9.32	n/a	n/a	157.88	4.0	
WAGONHOUND/NIC	048	OYOG	В		171	64.66	n/a	n/a	6.84	4.0	
WAGONHOUND/NIC	048	PACR	В		982	21.68	n/a	n/a	39.28	4.0	
J L F ASSET MGMT	039	PG	S	SS	17,300	63.77	n/a	19-Jun	692.00	4.0	
COUGAR TRADING	044	PSUN	В		10,000	9.48	n/a	6-Jun	500.00	5.0	
COUGAR TRADING	044	RDEN	В		10,000	15.30	10-Jun	10-Jun	500.00	5.0	
LEVIN CAPITAL STRATEGIES	012	RL	С		10,000	65.03	7-Feb	29-May	400.00	4.0	
WAGONHOUND/NIC	048	RRGB	В		1,389	31.00	n/a	n/a	55.56	4.0	
COUGAR TRADING	044	SDS	В		25,000	63.28	n/a	n/a	1,250.00	5.0	
WHEELER	800	SPNC	S	S	200	10.77	n/a	n/a	0.00	0.0	
BUCK	015	SPY	В		300	132.63	n/a	n/a	0.00	0.0	
CATAPULT	040	SSCC	S	S	181,963	5.58	7-Apr	23-Apr	9,098.15	5.0	
SUTERA	160	SSO	В		1,000	65.71	n/a	n/a	0.00	0.0	
PATTEN & PATTEN	067	STI	В		1,120	36.10	n/a	n/a	56.00	5.0	

Account	RR #	Symbol	в/:	s	Shares	Price	MRI	Research	Comm. ¢	Shr.	
TRIVIUM CAP MGMT	251	SWKS	С		25 , 000	10.28	n/a	n/a	1,000.00	4.0	
BARR	096	SYNA		s	400	37.51	n/a	n/a	0.00	0.0	
PORTER-ORLIN-FELDMAN	044	TEN		SS	150,000	17.21	n/a	n/a	7,500.00	5.0	
WOOSTER CAPITAL	035	TGT	В		25 , 000	50.25	n/a	5-Jun	1,250.00	5.0	
JP MORGAN VENTURES	046	TIF		SS	15,000	44.87	n/a	2-Jun	750.00	5.0	
SIGMA CAPITAL ASSOCIATES	237	TJX	В		25 , 000	32.48	n/a	6-Jun	1,250.00	5.0	
WAGONHOUND/NIC	048	TSN	В		918	14.53	n/a	n/a	36.72	4.0	
KAICHER	064	TSO	В		2,000	20.83	n/a	n/a	0.00	0.0	
PERRY PARTNERS	041	TTWO	В		400	26.30	n/a	n/a	12.00	3.0	
COUGAR TRADING	044	TWB		S	10,000	16.70	17-Mar	22-May	500.00	5.0	
MATTHES CAPITAL MGMT	033	TXRH	В		30,000	9.10	n/a	n/a	1,200.00	4.0	
MTB INV ADV	034	URBN		S	24,345	33.76	15-May	15-May	1,217.25	5.0	
BAYPOINT TRADING/XYLEM	091	USG		SS	50,000	32.53	23-Apr	23-Apr	2,500.00	5.0	
WAGONHOUND/NIC	048	VC		S	7,080	3.40	n/a	9-Jun	283.20	4.0	
WAGONHOUND/NIC	048	VLTR	В		664	16.59	n/a	n/a	26.56	4.0	
	BARR PORTER-ORLIN-FELDMAN WOOSTER CAPITAL JP MORGAN VENTURES SIGMA CAPITAL ASSOCIATES WAGONHOUND/NIC KAICHER PERRY PARTNERS COUGAR TRADING MATTHES CAPITAL MGMT MTB INV ADV BAYPOINT TRADING/XYLEM WAGONHOUND/NIC	TRIVIUM CAP MGMT 251 BARR 096 PORTER-ORLIN-FELDMAN 044 WOOSTER CAPITAL 035 JP MORGAN VENTURES 046 SIGMA CAPITAL ASSOCIATES 237 WAGONHOUND/NIC 048 KAICHER 064 PERRY PARTNERS 041 COUGAR TRADING 044 MATTHES CAPITAL MGMT 033 MTB INV ADV 034 BAYPOINT TRADING/XYLEM 091 WAGONHOUND/NIC 048	BARR 096 SYNA PORTER-ORLIN-FELDMAN 044 TEN WOOSTER CAPITAL 035 TGT JP MORGAN VENTURES 046 TIF SIGMA CAPITAL ASSOCIATES 237 TJX WAGONHOUND/NIC 048 TSN KAICHER 064 TSO PERRY PARTNERS 041 TTWO COUGAR TRADING 044 TWB MATTHES CAPITAL MGMT 033 TXRH MTB INV ADV 034 URBN BAYPOINT TRADING/XYLEM 091 USG WAGONHOUND/NIC 048 VC	TRIVIUM CAP MGMT 251 SWKS C BARR 096 SYNA PORTER-ORLIN-FELDMAN 044 TEN WOOSTER CAPITAL 035 TGT B JP MORGAN VENTURES 046 TIF SIGMA CAPITAL ASSOCIATES 237 TJX B WAGONHOUND/NIC 048 TSN B KAICHER 064 TSO B PERRY PARTNERS 041 TTWO B COUGAR TRADING 044 TWB MATTHES CAPITAL MGMT 033 TXRH B MTB INV ADV 034 URBN BAYPOINT TRADING/XYLEM 091 USG WAGONHOUND/NIC 048 VC	BARR 096 SYNA S PORTER-ORLIN-FELDMAN 044 TEN SS WOOSTER CAPITAL 035 TGT B JP MORGAN VENTURES 046 TIF SS SIGMA CAPITAL ASSOCIATES 237 TJX B WAGONHOUND/NIC 048 TSN B EARLOHER 064 TSO B COUGAR TRADING 044 TWO B MATTHES CAPITAL MGMT 033 TXRH B MATTHES CAPITAL MGMT 034 URBN S BAYPOINT TRADING/XYLEM 091 USG SS WAGONHOUND/NIC 048 VC S	BARR 096 SYNA S 400 PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 WOOSTER CAPITAL 035 TGT B 25,000 JP MORGAN VENTURES 046 TIF SS 15,000 SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 WAGONHOUND/NIC 048 TSN B 918 KAICHER 064 TSO B 2,000 PERRY PARTNERS 041 TWO B 400 COUGAR TRADING 044 TWB S 10,000 MATTHES CAPITAL MGMT 033 TXRH B 30,000 MTB INV ADV 034 URBN S 24,345 BAYPOINT TRADING/XYLEM 091 USG SS 50,000 WAGONHOUND/NIC 048 VC S 7,080	TRIVIUM CAP MGMT 251 SWKS C 25,000 10.28 BARR 096 SYNA S 400 37.51 PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 17.21 WOOSTER CAPITAL 035 TGT B 25,000 50.25 JP MORGAN VENTURES 046 TIF SS 15,000 44.87 SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 32.48 WAGONHOUND/NIC 048 TSN B 918 14.53 KAICHER 064 TSO B 2,000 20.83 PERRY PARTNERS 041 TWO B 400 26.30 COUGAR TRADING 044 TWB S 10,000 16.70 MATTHES CAPITAL MGMT 033 TXRH B 30,000 9.10 MTB INV ADV 034 URBN S 24,345 33.76 BAYPOINT TRADING/XYLEM 091 USG S 7,080 <td>TRIVIUM CAP MGMT 251 SWKS C 25,000 10.28 n/a BARR 096 SYNA S 400 37.51 n/a PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 17.21 n/a WOOSTER CAPITAL 035 TGT B 25,000 50.25 n/a JP MORGAN VENTURES 046 TIF SS 15,000 44.87 n/a SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 32.48 n/a WAGONHOUND/NIC 048 TSN B 918 14.53 n/a KAICHER 064 TSO B 2,000 20.83 n/a PERRY PARTNERS 041 TTWO B 400 26.30 n/a COUGAR TRADING 044 TWB S 10,000 16.70 17-Mar MATTHES CAPITAL MGMT 033 TXRH B 30,000 9.10 n/a MTB INV ADV 034 URBN S 24,345 33.76 15-May BAYPOINT TRADING/XYLEM 091 USG SS 50,000 32.53 23-Apr WAGONHOUND/NIC 048 VC S 7,080 3.40 n/a</td> <td>TRIVIUM CAP MGMT 251 SWKS C 25,000 10.28 n/a n/a BARR 096 SYNA S 400 37.51 n/a n/a PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 17.21 n/a n/a WOOSTER CAPITAL 035 TGT B 25,000 50.25 n/a 5-Jun JP MORGAN VENTURES 046 TIF SS 15,000 44.87 n/a 2-Jun SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 32.48 n/a 6-Jun WAGONHOUND/NIC 048 TSN B 918 14.53 n/a n/a KAICHER 064 TSO B 2,000 20.83 n/a n/a PERRY PARTNERS 041 TTWO B 400 26.30 n/a n/a COUGAR TRADING 044 TWB S 10,000 16.70 17-Mar 22-May MATTHES CAPITAL MGMT 033 TXRH B 30,000 9.10 n/a n/a MTB INV ADV 034 URBN S 24,345 33.76 15-May 15-May BAYPOINT TRADING/XYLEM 091 USG SS 7,080 32.40 n/a 9-Jun</td> <td>TRIVIUM CAP MGMT 251 SWKS C 25,000 10.28 n/a n/a 1,000.00 BARR 096 SYNA S 400 37.51 n/a n/a 0.00 PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 17.21 n/a n/a 7,500.00 MOOSTER CAPITAL 035 TGT B 25,000 50.25 n/a 5-Jun 1,250.00 JP MORGAN VENTURES 046 TIF SS 15,000 44.87 n/a 2-Jun 750.00 SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 32.48 n/a 6-Jun 1,250.00 WAGCONHOUND/NIC 048 TSN B 918 14.53 n/a n/a 36.72 KAICHER 064 TSO B 2,000 20.83 n/a n/a n/a 36.72 KAICHER 044 TWB S 10,000 16.70 17-Mar 22-May 500.00 MATTHES CAPITAL MGMT 033 TXRH B 30,000 9.10 n/a n/a 1,200.00 MATTHES CAPITAL MGMT 034 URBN S 24,345 33.76 15-May 15-May 1,217.25 BAYPOINT TRADING/XYLEM 091 USG SS 50,000 32.53 23-Apr 23-Apr 2,500.00 MAGGONHOUND/NIC 048 VC S 7,080 3.40 n/a 9-Jun 283.20</td> <td>TRIVIOM CAP MGMT 251 SWKS C 25,000 10.28 n/a n/a 1,000.00 4.0 BARR 096 SYNA S 400 37.51 n/a n/a 0.00 0.0 PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 17.21 n/a n/a 7,500.00 5.0 WOOSTER CAPITAL 035 TCT B 25,000 50.25 n/a 5-Jun 1,250.00 5.0 JJ MORGAN VENTURES 046 TIF SS 15,000 44.87 n/a 2-Jun 750.00 5.0 SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 32.48 n/a 6-Jun 1,250.00 5.0 WAGONHOUND/NIC 048 TSN B 918 14.53 n/a n/a 36.72 4.0 KAICHER 064 TSO B 2,000 20.83 n/a n/a n/a 0.00 0.0 PERRY PARTNERS 041 TTWO B 400 26.30 n/a n/a n/a 12.00 3.0 COUGAR TRADING 044 TWB S 10,000 16.70 17-Mar 22-May 500.00 5.0 MAITHES CAPITAL MGMT 033 TXRH B 30,000 9.10 n/a n/a 1,200.00 4.0 MIB INV ADV 034 URBN S 24,345 33.76 15-May 15-May 1,217.25 5.0 BAYPOINT TRADING/XYLEM 091 USG S 50,000 32.53 23-Apr 23-Apr 2,500.00 5.0</td>	TRIVIUM CAP MGMT 251 SWKS C 25,000 10.28 n/a BARR 096 SYNA S 400 37.51 n/a PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 17.21 n/a WOOSTER CAPITAL 035 TGT B 25,000 50.25 n/a JP MORGAN VENTURES 046 TIF SS 15,000 44.87 n/a SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 32.48 n/a WAGONHOUND/NIC 048 TSN B 918 14.53 n/a KAICHER 064 TSO B 2,000 20.83 n/a PERRY PARTNERS 041 TTWO B 400 26.30 n/a COUGAR TRADING 044 TWB S 10,000 16.70 17-Mar MATTHES CAPITAL MGMT 033 TXRH B 30,000 9.10 n/a MTB INV ADV 034 URBN S 24,345 33.76 15-May BAYPOINT TRADING/XYLEM 091 USG SS 50,000 32.53 23-Apr WAGONHOUND/NIC 048 VC S 7,080 3.40 n/a	TRIVIUM CAP MGMT 251 SWKS C 25,000 10.28 n/a n/a BARR 096 SYNA S 400 37.51 n/a n/a PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 17.21 n/a n/a WOOSTER CAPITAL 035 TGT B 25,000 50.25 n/a 5-Jun JP MORGAN VENTURES 046 TIF SS 15,000 44.87 n/a 2-Jun SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 32.48 n/a 6-Jun WAGONHOUND/NIC 048 TSN B 918 14.53 n/a n/a KAICHER 064 TSO B 2,000 20.83 n/a n/a PERRY PARTNERS 041 TTWO B 400 26.30 n/a n/a COUGAR TRADING 044 TWB S 10,000 16.70 17-Mar 22-May MATTHES CAPITAL MGMT 033 TXRH B 30,000 9.10 n/a n/a MTB INV ADV 034 URBN S 24,345 33.76 15-May 15-May BAYPOINT TRADING/XYLEM 091 USG SS 7,080 32.40 n/a 9-Jun	TRIVIUM CAP MGMT 251 SWKS C 25,000 10.28 n/a n/a 1,000.00 BARR 096 SYNA S 400 37.51 n/a n/a 0.00 PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 17.21 n/a n/a 7,500.00 MOOSTER CAPITAL 035 TGT B 25,000 50.25 n/a 5-Jun 1,250.00 JP MORGAN VENTURES 046 TIF SS 15,000 44.87 n/a 2-Jun 750.00 SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 32.48 n/a 6-Jun 1,250.00 WAGCONHOUND/NIC 048 TSN B 918 14.53 n/a n/a 36.72 KAICHER 064 TSO B 2,000 20.83 n/a n/a n/a 36.72 KAICHER 044 TWB S 10,000 16.70 17-Mar 22-May 500.00 MATTHES CAPITAL MGMT 033 TXRH B 30,000 9.10 n/a n/a 1,200.00 MATTHES CAPITAL MGMT 034 URBN S 24,345 33.76 15-May 15-May 1,217.25 BAYPOINT TRADING/XYLEM 091 USG SS 50,000 32.53 23-Apr 23-Apr 2,500.00 MAGGONHOUND/NIC 048 VC S 7,080 3.40 n/a 9-Jun 283.20	TRIVIOM CAP MGMT 251 SWKS C 25,000 10.28 n/a n/a 1,000.00 4.0 BARR 096 SYNA S 400 37.51 n/a n/a 0.00 0.0 PORTER-ORLIN-FELDMAN 044 TEN SS 150,000 17.21 n/a n/a 7,500.00 5.0 WOOSTER CAPITAL 035 TCT B 25,000 50.25 n/a 5-Jun 1,250.00 5.0 JJ MORGAN VENTURES 046 TIF SS 15,000 44.87 n/a 2-Jun 750.00 5.0 SIGMA CAPITAL ASSOCIATES 237 TJX B 25,000 32.48 n/a 6-Jun 1,250.00 5.0 WAGONHOUND/NIC 048 TSN B 918 14.53 n/a n/a 36.72 4.0 KAICHER 064 TSO B 2,000 20.83 n/a n/a n/a 0.00 0.0 PERRY PARTNERS 041 TTWO B 400 26.30 n/a n/a n/a 12.00 3.0 COUGAR TRADING 044 TWB S 10,000 16.70 17-Mar 22-May 500.00 5.0 MAITHES CAPITAL MGMT 033 TXRH B 30,000 9.10 n/a n/a 1,200.00 4.0 MIB INV ADV 034 URBN S 24,345 33.76 15-May 15-May 1,217.25 5.0 BAYPOINT TRADING/XYLEM 091 USG S 50,000 32.53 23-Apr 23-Apr 2,500.00 5.0

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WAGONHOUND/NIC	048	VMI	В	197	106.90	n/a	n/a	7.88	4.0
KERN	050	WBGD	В	20	0.90	n/a	n/a	0.00	0.0
HOLDEN CAPITAL MGMT	035	WB	SS	20,000	17.40	n/a	n/a	1,000.00	5.0
SUNOVA CAPITAL	031	WDR	В	25,000	36.61	22-Apr	2-Jun	1,250.00	5.0
OGORMAN	046	WFC	SS	400	24.59	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	S	10,000	46.35	13-May	13-May	400.00	4.0
J L F ASSET MGMT	039	WTW	SS	20,900	37.72	n/a	n/a	836.00	4.0
BUCK	015	WYNN	С	600	88.39	n/a	n/a	0.00	0.0
ZOLIDIS	011	ZUMZ	С	1,000	18.97	n/a	n/a	0.00	0.0

TOTAL (Trade Date): 118,106.18

Cancel/Corrects and As-Of Trades

CRT			RR #	Symbol	B/:	>	Shares	Price	MKI	Research	Comm.	¢/Shr.
CICI	06/20	BUCK CAPITAL MGMT (BUCK)	015		В		125,000	58.50	n/a	n/a	0.00	0.0
AO,	06/19	COLUMBUS CIRCLE (COLC0710)	036	BBT		S	59 , 602	22.65	n/a	n/a	2384.08	4.0
AO,	06/19	TRELLUS PARTNERS LP (TRELMERR)	028	СОН	В		1,738	31.65	4-Apr	23-Apr	86.90	5.0
AO,	06/19	TRELLUS PARTNERS LP (TRELGS)	028	COH	В		1,738	31.65	4-Apr	23-Apr	86.90	5.0
AO,	06/19	TRELLUS PARTNERS LP (TRELMAIN)	028	COH	В		26,524	31.65	4-Apr	23-Apr	1326.20	5.0
CRT	06/20	REICH AND TANG (RICH)	067	DLX	В		50,000	19.40	n/a	n/a	2500.00	5.0
AO, CRT	06/19	UBS OCONNOR (UBSOGOLDPB)	031	GS		S	5,175	182.37	19-Mar	17-Jun	155.25	3.0
AO,CXL	06/19	UBS OCONNOR (UBSOWARUBS)	031	GS		S	5,175	182.37	19-Mar	17-Jun	155.25	3.0
AO,	06/19	NOMURA CAPITAL (NOMUNOCBO2)	045	IESC		S	29,737	17.04	n/a	n/a	1486.85	5.0
AO,	06/19	WILLIAM BLAIR (WBLA58SMPG)	031	LRN	В		26,695	23.84	n/a	n/a	533.90	2.0
AO,	06/19	WILLIAM BLAIR (WBLA57GRLA)	031	LRN	В		18,649	23.84	n/a	n/a	372.98	2.0
AO,	06/19	DEER HILL POND/BAYPOINT (DEERHILL)	031	PZN		SS	1,000	12.93	20-Jun	20-Jun	40.00	4.0
AO,CXL	06/19	CUMBERLAND (CUMBMS04)	038	QQQQ	В		100,000	48.14	n/a	n/a	4000.00	4.0
AO, CRT	06/19	CUMBERLAND (CUMBMS04)	038	QQQQ	В		100,000	48.14	n/a	n/a	3000.00	3.0

TOTAL	(AO, CXL,	, CRT):	7	817.81
IOIAL	(AU, CAL,	, CRI):	I_I ,	от / . от

TOTAL (Trade Date): 118,106.18
TOTAL (AO, CXL, CRT): 7,817.81

TOTAL (RUN DATE) / NFS: 125,923.99

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION