



## RR Commissions Summary [ Chris Cattani ]

Trade Date: 10/22/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (41)	041	0	0	0	0	0	0	0	0	0	0	13,476	0
ARMATURE CAPITAL	041	0	0	0	30,800	18,692	18,692	40,495	18,692	18,692	71,295	90,192	79
BLACK CAPITAL(CALAMOS ASSET)	041	0	0	0	0	0	0	5,000	0	0	5,000	0	0
BRANT POINT CAPITAL	041	0	0	0	0	0	0	10,000	0	0	10,000	10,000	100
BTIG/QUENTEC	041	0	0	0	3,360	0	0	0	0	0	3,360	0	0
CADIAN CAPITAL	041	0	2,310	2,310	42,039	0	0	0	2,310	2,310	42,039	11,550	364
CAERUS GLOBAL	041	1,007	7,518	7,518	51,672	0	0	0	7,518	7,518	51,672	61,691	84
CALA	041	0	29,400	29,400	74,332	0	0	0	29,400	29,400	74,332	0	0
CENTURION PARTNERS	041	0	80	80	34,117	0	0	0	80	80	34,117	46,987	73
COBALT	041	0	12,800	12,800	72,108	0	0	0	12,800	12,800	72,108	103,803	69
COHE & STEERS	041	13,000	23,278	23,278	107,596	0	0	0	23,278	23,278	107,596	74,414	145
COLUMBIA MGMT	041	0	0	0	0	0	0	15,569	0	0	15,569	5,875	265
CORVEX CAPITAL	041	0	4,813	4,813	26,605	0	0	0	4,813	4,813	26,605	31,016	86
CR INTRINSIC/CHRIS C	041	0	0	0	32,607	0	0	0	0	0	32,607	3,826	852
CSFB GLOBAL PROPRIETARY	041	0	19,000	19,000	311,768	0	0	0	19,000	19,000	311,768	425,383	73
DECADE (ARETE) CHRIS C	041	0	0	0	10,410	0	0	23,801	0	0	34,211	7,963	430
DW INVESTMENT MGMT.	041	0	0	0	8,750	0	0	0	0	0	8,750	0	0
ETON PARK CAPITAL	041	0	0	0	0	0	0	50,000	0	0	50,000	67,770	74
FIRST NY SEC/CHRIS	041	0	4,750	4,750	36,337	0	0	0	4,750	4,750	36,337	34,239	106
GALT INVESTMENT	041	0	2,725	2,725	27,572	0	0	0	2,725	2,725	27,572	19,472	142
GREENLIGHT CAPITAL	041	0	0	0	18,750	0	0	25,000	0	0	43,750	25,000	175
HALCYON LLC	041	0	6,090	6,090	47,770	0	0	0	6,090	6,090	47,770	26,157	183
HEIGHTS CAPITAL	041	0	0	0	0	0	0	7,877	0	0	7,877	0	0
HIGHBRIDGE CAP	041	0	0	0	48,038	0	0	0	0	0	48,038	121,287	40
IONIC CAPITAL MANAGEMENT	041	0	5,199	5,199	25,323	0	0	0	5,199	5,199	25,323	0	0
JAT CAPITAL MANAGEMENT	041	0	0	0	0	0	0	0	0	0	0	75,412	0
JET CAPITAL/CHRIS	041	0	8,505	8,505	54,761	0	0	0	8,505	8,505	54,761	59,192	93
KAM ADVISORS LLC	041	0	9,996	9,996	102,998	0	0	0	9,996	9,996	102,998	89,699	115
KINGDON ASSET MGMT	041	0	9,012	9,012	50,412	0	0	0	9,012	9,012	50,412	117,902	43
LEVIN CAPITAL STRATEGIES	041	3,600	10,644	10,644	92,707	0	0	0	10,644	10,644	92,707	85,638	108
LUXOR CAPITAL	041	0	0	0	0	6,250	6,250	25,000	6,250	6,250	25,000	18,750	133
MARSHALL WACE	041	0	0	0	0	0	0	30,600	0	0	30,600	57,500	53
MILLENIUM CATTANI	041	0	0	0	10,220	0	0	0	0	0	10,220	0	0
MOORE CAPITAL	041	0	0	0	12,190	0	0	0	0	0	12,190	63,167	19
OMEGA ADVISORS	041	0	0	0	70,520	0	0	0	0	0	70,520	113,355	62
P.SCHOENFELD ASSET MGMT LLC	041	1,600	8,256	8,256	29,816	0	0	0	8,256	8,256	29,816	12,773	233
PAULSON & CO INC	041	0	6,352	6,352	33,561	0	0	0	6,352	6,352	33,561	41,600	81
RATAN CAPITAL MGMT LP	041	0	0	0	1,800	0	0	0	0	0	1,800	318	566
SCHOTTENFELD ASSOC	041	0	0	0	5,955	0	0	0	0	0	5,955	3,450	173

SCOGGIN LLC	041	2,300	4,600	4,600	34,587	0	0	0	4,600	4,600	34,587	0	0
SCOPUS FUND	041	4,000	16,904	16,904	331,732	0	0	0	16,904	16,904	331,732	386,840	86
SIGMA CAPITAL ASSOCIATES	041	0	3,750	3,750	146,439	0	0	0	3,750	3,750	146,439	56,753	258
SIMMONS VENTURES	041	0	3,325	3,325	4,878	0	0	0	3,325	3,325	4,878	52,868	9
TEMAGAMI CAPITAL	041	0	0	0	536	0	0	0	0	0	536	9,832	5
THREE G	041	0	0	0	23,625	0	0	0	0	0	23,625	0	0
TIGER	041	0	0	0	0	0	0	40,000	0	0	40,000	0	0
TIGER GLOBAL	041	0	0	0	0	0	0	0	0	0	0	48,500	0
TOURBILLION CAPITAL	041	0	0	0	0	0	0	10,000	0	0	10,000	0	0
TOURMALINE PARTNERS CHRIS	041	0	0	0	450	0	0	0	0	0	450	3,450	13
VELAZQUEZ/MILLENNIUM	041	0	0	0	1,600	9,354	9,354	49,618	9,354	9,354	51,218	0	0
YG PARTNERS LLC	041	0	800	800	800	0	0	0	800	800	800	0	0
<b>TOTALS:</b>		<b>25,507</b>	<b>200,108</b>	<b>200,108</b>	<b>2,019,543</b>	<b>34,297</b>	<b>34,297</b>	<b>332,959</b>	<b>234,405</b>	<b>234,405</b>	<b>2,352,502</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (251)	251	0	0	0	0	0	0	0	0	0	0	-13,476	0
CAISSE DEPOT PLACEMENT Q	295	0	10,050	10,050	28,347	0	0	0	10,050	10,050	28,347	12,444	0
GUGGENHEIM GLOBAL TRADING (GGT)	251	75	33,574	33,574	110,889	0	0	0	33,574	33,574	110,889	23,170	0
LONE PINE MGMT	257	0	0	0	0	0	0	32,000	0	0	32,000	7,500	427
LORD ABBETT & COMPANY	098	0	0	0	0	0	0	0	0	0	0	0	0
MOORE CAPITAL	257	4,500	19,350	19,350	72,198	0	0	0	19,350	19,350	72,198	63,167	19
SENATOR INVESTMENT GROUP	287	0	31,859	31,859	387,311	0	0	0	31,859	31,859	387,311	0	0
SURVEYOR CAPITAL	251	0	3,251	3,251	24,591	0	0	130,000	3,251	3,251	154,591	191,311	68
VELAZQUEZ/MILLENNIUM	282	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTALS:</b>		<b>4,575</b>	<b>98,084</b>	<b>98,084</b>	<b>623,335</b>	<b>0</b>	<b>0</b>	<b>162,000</b>	<b>98,084</b>	<b>98,084</b>	<b>785,335</b>		

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