



Daily Compliance Activity Report

Trade Date: 07/22/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	091	AEO	S	500,000	14.16	6-Mar	16-Jul	25,000.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	120,000	14.38	6-Mar	16-Jul	4,800.00	4.0
OGORMAN	046	AEO	S	1,000	14.00	6-Mar	16-Jul	0.00	0.0
CHARTER OAK	033	AFFY	S	9,171	19.82	n/a	n/a	458.55	5.0
COLUMBIA PARTNERS	028	AGN	S	77,000	51.84	n/a	22-Jul	3,080.00	4.0
ASCEND PARTNERS	033	AG	B	50,000	52.82	n/a	23-May	2,500.00	5.0
BALYASNY ASSET MGMT	044	ALV	B	20,000	40.57	17-Apr	17-Jul	1,000.00	5.0
IRIDIAN ASSET MGMT.	036	AMGN	B	16,830	54.41	n/a	26-Jan	841.50	5.0
PRIMECAP MGMT	048	AMV	S	70,000	9.25	n/a	n/a	3,500.00	5.0
COUGAR TRADING	044	ANF	B	5,000	59.65	10-Jul	11-Jul	250.00	5.0
BUCK CAPITAL MGMT	001	ANN	SS	30,000	22.57	14-Mar	11-Jul	1,200.00	4.0
SWISS RE FINANCIAL SER	035	AN	SS	65,000	8.85	2-Jul	17-Jul	2,600.00	4.0
EPOCH INV MGMT	030	AXP	S	125,600	37.20	n/a	22-Jul	5,024.00	4.0
RHO CAPITAL MGMT	041	BAC	B	500	30.59	n/a	22-Jul	20.00	4.0
EFSTATHIOU	044	BAC	S	600	32.27	n/a	22-Jul	0.00	0.0
JP MORGAN VENTURES	046	BBBY	SS	10,000	29.16	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PHILADELPHIA FINANCIAL	033	BEN	B	22,214	89.23	15-Jul	22-Jul	888.56	4.0
COLUMBIA PARTNERS	028	BHI	C	11,998	86.94	n/a	n/a	479.92	4.0
SIGMA CAPITAL ASSOCIATES	237	BJ	B	5,000	40.76	n/a	27-Jul	250.00	5.0
SANDLER O'NEILL ASSET	031	BLK	SS	10,000	206.28	n/a	22-Jul	500.00	5.0
BAIRD INVESTMENT	031	BUCY	B	4,375	60.71	4-Jun	4-Jun	218.75	5.0
BUCK CAPITAL MGMT	001	BWA	SS	40,000	41.21	10-Jun	17-Jul	1,600.00	4.0
BULL PATH CAPITAL	044	BYI	B	30,000	31.25	n/a	n/a	1,500.00	5.0
MCCARTAN	028	CAT	SS	100	74.76	n/a	23-May	0.00	0.0
BARR	096	CHK	S	200	52.30	n/a	n/a	0.00	0.0
COUGAR TRADING	044	CLMS	B	10,000	17.94	18-Jul	22-Jul	500.00	5.0
COUGAR TRADING	044	CLMS	SS	10,000	16.51	18-Jul	22-Jul	500.00	5.0
COUGAR TRADING	044	COH	S	5,000	26.89	4-Apr	18-Jul	250.00	5.0
PERRY PARTNERS	041	COST	S	84,000	71.18	n/a	27-Jul	4,200.00	5.0
1798 CAPITAL MGMT	040	COV	S	10,000	50.17	n/a	n/a	500.00	5.0
1798 CAPITAL MGMT	040	CRL	S	10,000	65.19	n/a	n/a	500.00	5.0
BUCK	015	C	C	2,000	20.11	19-Jun	21-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KAICHER	064	C	S	2,000	20.20	19-Jun	21-Jul	0.00	0.0
CATAPULT	040	DDS	B	75,000	10.50	n/a	n/a	3,000.00	4.0
MERRILL ASSET	032	DFT	S	25,400	17.50	n/a	n/a	1,143.00	4.5
BUCK CAPITAL MGMT	001	DKS	B	20,000	17.14	7-Jul	9-Jul	800.00	4.0
BUCK CAPITAL MGMT	001	DPZ	SS	30,000	11.84	n/a	24-Apr	1,200.00	4.0
IRIDIAN ASSET MGMT.	036	DRC	B	23,340	35.97	n/a	n/a	1,167.00	5.0
BUCK	015	EFA	S	1,400	67.01	n/a	n/a	0.00	0.0
KORMAN	003	EL	C	2,000	43.51	7-May	24-Jun	0.00	0.0
BENCHMARK	028	EV	SS	10,000	33.64	15-Jul	22-Jul	500.00	5.0
COUGAR TRADING	044	EV	B	10,000	34.51	15-Jul	22-Jul	500.00	5.0
COUGAR TRADING	044	EV	SS	10,000	33.74	15-Jul	22-Jul	500.00	5.0
HUNTER GLOBAL INVESTOR	067	EV	B	124,600	34.13	15-Jul	22-Jul	6,230.00	5.0
CENTURION GLOBAL MGMT LL	041	EWG	B	25,000	29.76	n/a	n/a	750.00	3.0
J L F ASSET MGMT	039	FDO	B	71,200	23.56	30-Jun	11-Jul	2,848.00	4.0
BALYASNY ASSET MGMT	044	FL	B	50,000	13.87	2-Apr	9-Jul	2,500.00	5.0
COUGAR TRADING	044	FOSL	S	20,000	27.63	n/a	9-Jul	1,000.00	5.0
KENNEDY CAPITAL	046	FSS	B	2,000	12.00	n/a	n/a	80.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	GAP	B	70,000	17.66	n/a	n/a	2,800.00	4.0
BARR	096	GDX	S	1,400	47.05	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	90,000	32.67	n/a	n/a	3,600.00	4.0
KERN	050	GMTS	B	25	1.03	n/a	n/a	0.00	0.0
KERN	050	GMTS	S	25	0.75	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GPI	SS	30,000	17.83	10-Jun	17-Jul	1,500.00	5.0
COUGAR TRADING	044	GPS	S	30,000	16.31	n/a	11-Jul	1,500.00	5.0
COUGAR TRADING	044	GYMB	S	10,000	38.85	11-Jul	11-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	HAS	SS	50,000	37.04	n/a	n/a	2,000.00	4.0
RHO CAPITAL MGMT	041	INP	B	900	58.75	n/a	n/a	36.00	4.0
BMO NESBITT BURNS	041	IP	B	25,000	23.50	16-Jul	16-Jul	1,250.00	5.0
SCOPUS FUND	041	IP	B	50,000	23.44	16-Jul	16-Jul	2,500.00	5.0
STELLIAM INV ADV	040	IP	B	50,000	23.42	16-Jul	16-Jul	2,500.00	5.0
WASHINGTON ASSET	048	IP	B	12,000	23.42	16-Jul	16-Jul	600.00	5.0
BUCK CAPITAL MGMT	001	JCG	S	27,100	31.20	n/a	30-May	1,084.00	4.0
COUGAR TRADING	044	JCG	B	5,000	32.02	n/a	30-May	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	30.01	n/a	30-May	250.00	5.0
LEDDY	035	JCG	S	400	30.47	n/a	30-May	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	JCP	B	130,300	30.99	n/a	11-Jul	5,212.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	JWN	SS	60,000	28.84	10-Jul	11-Jul	2,400.00	4.0
SIGMA CAPITAL ASSOCIATES	237	KO	B	5,000	51.25	n/a	n/a	250.00	5.0
BARR	096	KRE	C	2,500	28.45	n/a	n/a	0.00	0.0
COUGAR TRADING	044	KSS	B	10,000	41.73	n/a	17-Jul	500.00	5.0
COUGAR TRADING	044	KSS	S	15,000	41.61	n/a	17-Jul	750.00	5.0
SIGMA CAPITAL ASSOCIATES	237	KSS	B	30,000	42.06	n/a	17-Jul	1,500.00	5.0
LEDDY	035	LEH	B	400	20.30	9-Jun	14-Jul	0.00	0.0
BARR	096	LEN	C	1,500	12.30	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LM	S	20,000	31.95	1-Jul	22-Jul	800.00	4.0
COUGAR TRADING	044	MA	B	5,000	266.03	n/a	16-Jul	250.00	5.0
TRIVIUM CAP MGMT	251	MKSI	SS	25,000	24.73	n/a	n/a	1,000.00	4.0
CHARTER OAK	033	MRT	S	21,388	5.77	n/a	n/a	1,069.40	5.0
BARR	096	MSCS	C	2,000	12.23	n/a	n/a	0.00	0.0
COUGAR TRADING	044	M	S	35,000	18.12	14-Jul	14-Jul	1,750.00	5.0
BARR	096	NVTL	S	1,600	10.48	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	B	10,000	22.42	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	PDFS	S	600	5.07	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	PFS	S	15,999	14.81	n/a	n/a	799.95	5.0
BACKBAY	041	PKG	S	25,000	25.68	22-Jul	22-Jul	1,250.00	5.0
BMO NESBITT BURNS	041	PKG	S	50,000	25.63	22-Jul	22-Jul	2,500.00	5.0
CARLYLE BLUE WAVE PR MG	224	PKG	S	12,800	25.76	22-Jul	22-Jul	640.00	5.0
COUGAR TRADING	044	PKG	S	15,000	25.59	22-Jul	22-Jul	750.00	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	S	95,226	25.61	22-Jul	22-Jul	4,761.30	5.0
TRELLUS PARTNERS LP	028	PKG	S	10,000	25.66	22-Jul	22-Jul	500.00	5.0
AAD CAPITAL	048	PLCE	SS	60,000	40.32	23-Jun	17-Jul	2,400.00	4.0
HUNTER GLOBAL INVESTOR	067	PNC	SS	93,000	68.11	n/a	n/a	4,650.00	5.0
COUGAR TRADING	044	PSUN	S	10,000	7.98	n/a	11-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	25,000	34.93	5-May	18-Jul	1,000.00	4.0
AVESTA CAP	229	PX	B	25,000	91.92	n/a	23-Jun	1,250.00	5.0
BARR	096	RIMM	C	400	111.72	n/a	n/a	0.00	0.0
COUGAR TRADING	044	RL	B	5,000	63.05	7-Feb	29-May	250.00	5.0
COUGAR TRADING	044	RL	S	6,000	62.80	7-Feb	29-May	300.00	5.0
SIGMA CAPITAL ASSOCIATES	237	RL	S	5,000	63.36	7-Feb	29-May	250.00	5.0
BUCK	015	RYN	S	1,000	46.07	n/a	11-Jul	0.00	0.0
ARIENCE CAPITAL	036	SGP	B	329,000	19.58	n/a	n/a	16,450.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
VENTANA CAPITAL	060	SGP	S	10,000	19.57	n/a	n/a	300.00	3.0
ZOLIDIS	011	SKX	SS	1,000	23.07	n/a	13-Mar	0.00	0.0
BUCK	015	SPY	B	200	125.89	n/a	n/a	0.00	0.0
COUGAR TRADING	044	SSO	B	5,000	58.84	n/a	n/a	250.00	5.0
RHO CAPITAL MGMT	041	STP	SS	500	35.25	n/a	n/a	20.00	4.0
MS PRINCIPAL STRATEGIES	041	STT	S	12,500	70.60	n/a	n/a	625.00	5.0
PRINCIPLE CAPITAL	040	STZ	S	54,400	22.00	n/a	n/a	1,632.00	3.0
KAICHER	064	SWN	B	1,000	38.53	20-Mar	17-Jul	0.00	0.0
GOLD SACHS SPECIAL SIT	030	TEN	SS	115,000	14.23	n/a	n/a	5,750.00	5.0
MCCARTAN	028	TEX	B	100	48.59	n/a	10-Jul	0.00	0.0
PERRY PARTNERS	041	TGT	B	100,500	45.46	n/a	16-Jul	5,025.00	5.0
VIKING GLOBAL	030	TGT	SS	446,000	44.66	n/a	16-Jul	22,300.00	5.0
JP MORGAN VENTURES	046	TIF	SS	10,000	39.60	n/a	2-Jun	500.00	5.0
EGS ASSOCIATES LP	091	TJX	B	3,500	34.50	n/a	11-Jul	210.00	6.0
BUCK CAPITAL MGMT	001	TLB	SS	20,000	10.75	17-Apr	9-Jul	800.00	4.0
CHARTER OAK	033	TLP	S	6,140	23.57	n/a	n/a	307.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HEALY CIRCLE	044	TRK	SS	18,400	19.02	n/a	n/a	920.00	5.0
TALA MANAGEMENT	041	TROW	SS	5,744	51.42	15-Jul	22-Jul	287.20	5.0
ZOLIDIS	011	TWM	B	200	76.87	n/a	n/a	0.00	0.0
COUGAR TRADING	044	URBN	B	20,000	32.28	15-May	9-Jul	1,000.00	5.0
ZOLIDIS	011	UYG	S	1,000	19.50	n/a	n/a	0.00	0.0
RHO CAPITAL MGMT	041	WB	B	1,300	15.14	n/a	n/a	52.00	4.0
KARSCH CAPITAL	034	WFC	B	290,000	30.04	n/a	n/a	11,600.00	4.0
KORMAN	003	WFMI	C	5,000	22.14	n/a	n/a	0.00	0.0
N STRATTON	014	WFSL	C	200	16.80	n/a	n/a	0.00	0.0
BARR	096	WIND	S	1,100	11.58	n/a	n/a	0.00	0.0
CATTANI	041	WYNN	S	50	97.48	n/a	n/a	0.00	0.0
DANIELS	N25	WYN	B	100	17.82	n/a	n/a	0.00	0.0
SCOPUS FUND	041	WY	S	24,400	49.93	7-Apr	11-Jul	1,220.00	5.0
CUMBERLAND	038	YUM	B	15,000	35.47	17-Jul	22-Jul	600.00	4.0
BARR	096	ZION	C	100	26.83	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

212,780.13

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	07/22	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	AEO	B	119,900	14.38	6-Mar	16-Jul	4796.00 4.0
AO,CXL	07/21	HUNTER GLOBAL INVESTOR (HUNTDEUTSC)	067	JEF	SS	28,000	17.92	n/a	n/a	1400.00 5.0
AO,CRT	07/21	HUNTER GLOBAL INVESTOR (HUNTDEUTSC)	067	JEF	SS	28,000	17.92	n/a	n/a	1120.00 4.0
CRT	07/22	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	LM	S	19,900	31.95	1-Jul	22-Jul	796.00 4.0
AO,CRT	07/21	BACKBAY (BACKJEFF)	041	PKG	B	25,000	24.86	22-Jul	22-Jul	1250.00 5.0
AO,CXL	07/21	BACKBAY (&BACKBS)	041	PKG	B	25,000	24.86	22-Jul	22-Jul	1250.00 5.0
TOTAL (AO, CXL, CRT) :										5,312.00
TOTAL (Trade Date) :										212,780.13
TOTAL (AO, CXL, CRT) :										5,312.00
TOTAL (RUN DATE) / NFS :										218,092.13

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION