



# Daily Compliance Activity Report

Trade Date: 06/07/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>ABT</b>	<b>B</b>	<b>1500</b>	<b>46.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	ANN	S	5,000	21.08	n/a	n/a	250.00	5.0
EXIS CAPITAL	044	ARO	B	5,000	28.00	n/a	4-Jun	250.00	5.0
ROYCE TRADING	012	ATI	S	30,000	47.13	n/a	n/a	1,500.00	5.0
HARBORWATCH/DIAMBACK	232	BA	C	10,000	60.65	n/a	21-May	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>SS</b>	<b>20,000</b>	<b>39.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BEBE</b>	<b>B</b>	<b>20,000</b>	<b>6.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BKC</b>	<b>SS</b>	<b>25,000</b>	<b>18.62</b>	<b>n/a</b>	<b>29-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>B</b>	<b>10,000</b>	<b>10.69</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BYD</b>	<b>SS</b>	<b>30,000</b>	<b>11.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f FRANCIS PATRICK GALL</b>	<b>FID</b>	<b>BZWS</b>	<b>B</b>	<b>5500</b>	<b>0.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WAGONHOUND/NIC	048	CAR	S	5,729	11.08	n/a	n/a	229.16	4.0
STANDARD PACIFIC CAPITAL	040	CAT	B	35,000	57.16	n/a	12-May	1,750.00	5.0
STANDARD PACIFIC CAPITAL	040	CE	B	70,000	27.02	n/a	n/a	3,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f CHRISTOPHER ARTHUR K</b>	<b>FID</b>	<b>CGI</b>	<b>S</b>	<b>300</b>	<b>13.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CHS</b>	<b>B</b>	<b>40,000</b>	<b>11.03</b>	<b>n/a</b>	<b>5-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>40,000</b>	<b>39.29</b>	<b>n/a</b>	<b>14-May</b>	<b>1,600.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>SS</b>	<b>4,400</b>	<b>48.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CRAMER ROSENTHAL/SCOTT	012	CTV	S	172,700	25.49	n/a	n/a	6,908.00	4.0
AMBER CAPITAL	046	CX	B	200,000	10.20	n/a	n/a	6,000.00	3.0
SANDLER O'NEILL ASSET	031	C	B	50,000	3.76	n/a	7-Jun	1,000.00	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>DBRN</b>	<b>B</b>	<b>20,000</b>	<b>25.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>DB</b>	<b>S</b>	<b>3000</b>	<b>55.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>DCTH</b>	<b>S</b>	<b>1000</b>	<b>11.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	DVY	B	100	42.97	n/a	n/a	5.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>EAT</b>	<b>SS</b>	<b>30,000</b>	<b>16.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SAC CAPITAL/M GRONET	030	EAT	SS	20,000	16.31	n/a	n/a	700.00	3.5
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>FINL</b>	<b>B</b>	<b>500</b>	<b>14.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EGS ASSOCIATES LP	091	FL	SS	300	13.30	n/a	21-May	18.00	6.0
PATTEN & PATTEN	067	FPL	B	75	49.26	n/a	n/a	3.75	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KLEINHEINZ CAP PART	031	FTI	B	30,000	50.21	n/a	n/a	1,500.00	5.0
<b>f CHRISTOPHER ARTHUR K</b>	<b>FID</b>	<b>F</b>	<b>S</b>	<b>2500</b>	<b>11.16</b>	<b>n/a</b>	<b>3-Jun</b>	<b>0.00</b>	<b>0.0</b>
OZ MGMT	040	F	SS	175,602	11.11	n/a	3-Jun	5,268.06	3.0
OZ MGMT	040	F	S	24,398	11.09	n/a	3-Jun	731.94	3.0
EXIS CAPITAL	044	GES	B	5,000	33.47	n/a	28-May	250.00	5.0
TRUSTCO/SUNTRUST	040	GES	B	17,900	32.59	n/a	28-May	698.10	3.9
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>B</b>	<b>10,000</b>	<b>26.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>S</b>	<b>30,000</b>	<b>29.69</b>	<b>n/a</b>	<b>14-May</b>	<b>1,200.00</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>GIS</b>	<b>S</b>	<b>1010</b>	<b>38.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>GMCR</b>	<b>S</b>	<b>75</b>	<b>23.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>GNTX</b>	<b>S</b>	<b>100</b>	<b>19.00</b>	<b>n/a</b>	<b>23-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>B</b>	<b>10,000</b>	<b>42.55</b>	<b>n/a</b>	<b>4-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>HON</b>	<b>B</b>	<b>2000</b>	<b>40.73</b>	<b>n/a</b>	<b>31-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>HSC</b>	<b>B</b>	<b>2000</b>	<b>25.72</b>	<b>n/a</b>	<b>27-May</b>	<b>0.00</b>	<b>0.0</b>
GREEN ARROW DOUG	040	HTZ	B	35,000	10.22	n/a	n/a	1,750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IMAX</b>	<b>SS</b>	<b>30,000</b>	<b>16.52</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SENATOR INVESTMENT GROUP	036	IWM	SS	10,000	63.72	n/a	n/a	300.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		JACK	S	100,000	21.51	n/a	n/a	non-brg	4.0
f ABRAM M CHAPPELL	FID	JBLU	S	750	6.32	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JCP	SS	30,000	25.10	n/a	4-Jun	non-brg	4.0
BUCK CAPITAL MGMT	001	JNY	B	58,000	17.18	n/a	14-May	2,320.00	4.0
EXIS CAPITAL	044	JNY	B	14,600	17.83	n/a	14-May	730.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	20,000	57.41	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	JWN	S	200	36.80	n/a	4-Jun	0.00	0.0
EXIS CAPITAL	044	JWN	B	5,000	36.73	n/a	4-Jun	250.00	5.0
JP MORGAN VENTURES	046	KG	B	20,800	8.03	n/a	2-Jun	624.00	3.0
SAC CAPITAL/M GRONET	030	KSS	B	7,000	50.00	n/a	4-Jun	245.00	3.5
BUCK CAPITAL MGMT	001	LOW	SS	70,000	23.43	n/a	17-May	2,800.00	4.0
SCOPUS FUND	041	LOW	SS	25,000	23.40	n/a	17-May	1,250.00	5.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	80,000	7.07	n/a	11-May	2,400.00	3.0
PATTEN & PATTEN	067	LQD	B	55	106.07	n/a	n/a	2.75	5.0
f DAVID GEORGE BUCK	FID	MA	S	6	7.50	n/a	24-May	0.00	0.0
BUCK CAPITAL MGMT	001	MFB	B	10,000	21.69	n/a	n/a	400.00	4.0
WHITE PINE CAPITAL	030	MIDD	S	14,375	53.94	n/a	14-May	718.75	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>MNRO</b>	<b>B</b>	<b>15,000</b>	<b>36.97</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EATON VANCE MGMT	048	MWW	S	120,500	13.02	n/a	n/a	3,615.00	3.0
FEDERATED INV/KAUFMAN	029	MWW	S	28,500	13.50	n/a	n/a	1,567.50	5.5
EXIS CAPITAL	044	M	B	5,000	20.81	n/a	4-Jun	250.00	5.0
SAC CAPITAL/M GRONET	030	M	B	15,000	21.05	n/a	4-Jun	525.00	3.5
<b>f RAGHAV NAYAR</b>	<b>FID</b>	<b>NWY</b>	<b>B</b>	<b>550</b>	<b>3.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THE JAY GOLDMAN	028	OEH	B	20,000	8.33	n/a	n/a	800.00	4.0
LAGRANGE CAP ADV	048	PAG	B	10,000	12.40	n/a	3-May	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>B</b>	<b>30,000</b>	<b>47.66</b>	<b>n/a</b>	<b>3-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PSS</b>	<b>B</b>	<b>10,000</b>	<b>19.72</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>f CHRISTOPHER ARTHUR K</b>	<b>FID</b>	<b>PTSI</b>	<b>S</b>	<b>1000</b>	<b>14.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>B</b>	<b>20,000</b>	<b>48.94</b>	<b>n/a</b>	<b>26-May</b>	<b>non-brg</b>	<b>0.8</b>
EXIS CAPITAL	044	PVH	B	5,000	50.74	n/a	26-May	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>QKLS</b>	<b>S</b>	<b>200</b>	<b>5.08</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>QKLS</b>	<b>S</b>	<b>5,400</b>	<b>4.86</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.5</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>RIG</b>	<b>S</b>	<b>1500</b>	<b>51.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SHOO</b>	<b>B</b>	<b>20,000</b>	<b>31.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	041	SKS	SS	100,000	8.16	n/a	n/a	3,500.00	3.5
SOUTH POINT CAP	067	SPY	SS	65,000	107.32	n/a	n/a	3,250.00	5.0
BNP PARIBAS SEC	040	SWN	B	10,000	42.29	n/a	3-Jun	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SWY</b>	<b>SS</b>	<b>50,000</b>	<b>21.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SOLARIS INV MGMT	029	TAP	B	20,000	42.31	n/a	n/a	1,000.00	5.0
COUGAR TRADING	044	TAST	S	5,000	5.34	n/a	n/a	200.00	4.0
EPOCH INV MGMT	030	TJX	S	20,150	44.66	n/a	4-Jun	604.50	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>B</b>	<b>20,000</b>	<b>14.15</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>TZA</b>	<b>B</b>	<b>2000</b>	<b>8.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>UA</b>	<b>SS</b>	<b>10,000</b>	<b>31.98</b>	<b>n/a</b>	<b>28-Apr</b>	<b>400.00</b>	<b>4.0</b>
BMO NESBITT BURNS	041	USG	B	5,000	14.98	n/a	22-Apr	250.00	5.0
THOMPSON SIEGAL&WALMSLEY	029	WCRX	S	145,133	22.28	n/a	1-Jun	2,902.66	2.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WFC</b>	<b>S</b>	<b>3000</b>	<b>27.82</b>	<b>n/a</b>	<b>21-May</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WRC</b>	<b>B</b>	<b>10,000</b>	<b>38.03</b>	<b>n/a</b>	<b>14-May</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>B</b>	<b>26,900</b>	<b>37.22</b>	<b>n/a</b>	<b>14-May</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	WXS	S	7,775	29.86	n/a	n/a	388.75	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ARMATURE CAPITAL	041	WY	SS	42,500	39.38	n/a	27-May	1,700.00	4.0
BMO NESBITT BURNS	041	WY	SS	3,000	39.15	n/a	27-May	150.00	5.0
CAXTON PART	040	WY	S	112,688	39.88	n/a	27-May	3,944.09	3.5
COHE & STEERS	041	WY	S	17,100	39.59	n/a	27-May	684.00	4.0
SAC CAPITAL/M GRONET	030	XRT	SS	9,000	38.59	n/a	n/a	315.00	3.5
CAVU CAP ADV	028	X	B	15,000	42.01	n/a	n/a	750.00	5.0
<b>TOTAL (Trade Date):</b>								<b>77,199.01</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/04	AMBER CAPITAL	046	CX	B	125,000	10.48	n/a	n/a	5000.00 4.0
AO,CRT	06/04	AMBER CAPITAL	046	CX	B	125,000	10.48	n/a	n/a	3750.00 3.0
AO,	06/04	COHE & STEERS	041	WY	S	161,314	40.36	n/a	27-May	6452.56 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>5,202.56</b>
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TOTAL (Trade Date) :	77,199.01
TOTAL (AO, CXL, CRT) :	5,202.56

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>82,401.57</b>
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MRI Securities with Trade Activity on 7-Jun. NONE
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MRI Securities without Trade Activity on 7-Jun. MCD, EL
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 32% YTD = 8%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**