



# Daily Compliance Activity Report

Trade Date: 03/11/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		ACC	C	2,500	18.47	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	10.01	n/a	6-Mar	1,500.00	3.0
f DAVID GEORGE BUCK	FID	AET	S	1000	21.74	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AMB	C	6,000	12.35	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ANF	SS	30,000	19.47	n/a	6-Feb	non-brg	4.0
COUGAR TRADING	044	AN	B	5,000	11.67	30-Jan	30-Jan	250.00	5.0
f ALANA J A MADIGAN-SI	FID	APA	S	10	55.83	3-Feb	3-Mar	0.00	0.0
f ANDREW SINCLAIR	FID	APCHI	S	80	2.80	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ARE	C	8,000	37.88	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		AVB	C	4,000	48.27	n/a	n/a	non-brg	4.0
f HARALAMBOS EFSTATHI	FID	BAC	B	1000	5.27	2-Mar	4-Mar	0.00	0.0
EPOCH INV MGMT	030	BA	B	16,600	33.27	n/a	n/a	664.00	4.0
B BUCK CAPITAL MGMT		BBY	C	70,000	27.98	n/a	27-Jul	non-brg	4.0
f STEFFANIE CARMELA CA	FID	BGZ	B	9	92.96	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	BKE	B	10,000	23.80	n/a	n/a	350.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>BMR</b>	<b>C</b>	<b>4,500</b>	<b>7.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BRE</b>	<b>C</b>	<b>2,800</b>	<b>21.63</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EPOCH INV MGMT	030	BSX	B	68,000	6.79	n/a	22-Apr	2,720.00	4.0
BMO NESBITT BURNS	041	BWA	S	25,000	16.48	27-Jan	13-Feb	1,250.00	5.0
MARINER/TRICADIA	041	BWA	SS	15,000	16.66	27-Jan	13-Feb	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BXP</b>	<b>C</b>	<b>12,000</b>	<b>37.31</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CAKE</b>	<b>C</b>	<b>30,000</b>	<b>9.03</b>	<b>n/a</b>	<b>13-Feb</b>	<b>non-brg</b>	<b>3.0</b>
STERLING JOHNSTON	035	CAKE	S	55,300	9.02	n/a	13-Feb	2,212.00	4.0
HOLDEN CAPITAL MGMT	035	CAT	SS	50,000	26.25	n/a	27-Jan	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CCL</b>	<b>B</b>	<b>30,000</b>	<b>20.08</b>	<b>n/a</b>	<b>26-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CCL</b>	<b>C</b>	<b>100,000</b>	<b>19.80</b>	<b>n/a</b>	<b>26-Jan</b>	<b>non-brg</b>	<b>4.0</b>
ROTHSCHILD ASSET	040	CE	B	13,434	10.45	n/a	n/a	604.54	4.5
JP MORGAN VENTURES	046	CHIC	B	40,000	6.55	n/a	n/a	2,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CJY+RH[???</b>	<b>SS</b>	<b>1,000</b>	<b>3.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CMG</b>	<b>B</b>	<b>30,000</b>	<b>52.65</b>	<b>23-Oct</b>	<b>12-Feb</b>	<b>1,200.00</b>	<b>4.0</b>
<b>f ALEX JOSEPH DEFILIPP</b>	<b>FID</b>	<b>CMI</b>	<b>S</b>	<b>100</b>	<b>22.05</b>	<b>14-Nov</b>	<b>4-Feb</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	CMI	B	25,000	22.57	14-Nov	4-Feb	1,250.00	5.0
<b>f VICTORIA JEAN DEFILI</b>	<b>FID</b>	<b>CMI</b>	<b>S</b>	<b>100</b>	<b>22.09</b>	<b>14-Nov</b>	<b>4-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>B</b>	<b>100,000</b>	<b>13.89</b>	<b>9-Jan</b>	<b>22-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>CPT</b>	<b>C</b>	<b>2,200</b>	<b>20.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROTHSCHILD ASSET	040	CR	B	7,416	14.52	n/a	n/a	333.73	4.5
<b>ß BUCK CAPITAL MGMT</b>		<b>CUZ</b>	<b>C</b>	<b>3,300</b>	<b>7.31</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>CVS+EY[???</b>	<b>SS</b>	<b>1,000</b>	<b>1.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>C</b>	<b>S</b>	<b>5000</b>	<b>1.65</b>	<b>n/a</b>	<b>27-Feb</b>	<b>0.00</b>	<b>0.0</b>
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	100	41.46	10-Feb	6-Mar	4.00	4.0
<b>ß BUCK CAPITAL MGMT</b>		<b>DRE</b>	<b>C</b>	<b>3,000</b>	<b>5.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
ROTHSCHILD ASSET	040	DST	B	6,265	28.28	n/a	n/a	281.95	4.5
<b>ß BUCK CAPITAL MGMT</b>		<b>EGP</b>	<b>C</b>	<b>5,300</b>	<b>22.88</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>ELS</b>	<b>C</b>	<b>4,300</b>	<b>35.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>EQR</b>	<b>C</b>	<b>6,400</b>	<b>19.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>ESS</b>	<b>C</b>	<b>3,300</b>	<b>62.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RAINIER INV MGMT	035	ETR	S	43,950	62.16	n/a	n/a	2,197.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROARING BLUE LION MGMT	012	EV	SS	11,400	16.89	9-Mar	9-Mar	456.00	4.0
SANDLER O'NEILL ASSET	031	EV	SS	25,000	16.71	9-Mar	9-Mar	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		EXR	C	3,700	5.39	n/a	n/a	non-brg	3.0
f JONATHAN RICHARD HAR	FID	FAS	B	480	4.20	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FMQ+TY[???	SS	1,000	0.85	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		FRT	C	20,000	43.94	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GCO	B	50,000	13.79	n/a	10-Jan	2,000.00	4.0
BUCK CAPITAL MGMT	001	GES	S	30,000	14.54	n/a	n/a	1,200.00	4.0
f EDWARD W WHEELER	FID	GEWIF	S	120	1.63	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		GIII	B	5,000	3.43	n/a	n/a	non-brg	3.0
f ALEX JOSEPH DEFILIPP	FID	GLW	S	100	11.29	9-Feb	6-Mar	0.00	0.0
f JILL ROSENBERG	FID	GLW	S	100	11.29	9-Feb	6-Mar	0.00	0.0
f VICTORIA JEAN DEFILI	FID	GLW	S	100	11.28	9-Feb	6-Mar	0.00	0.0
⚡ BUCK CAPITAL MGMT		GMCR	SS	50,000	38.20	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		GQU+DD[???	SS	1,000	0.80	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		GSIC	B	25,000	10.99	n/a	n/a	non-brg	2.5
f STEFFANIE CARMELA CA	FID	GSI	S	150	2.18	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BMO NESBITT BURNS	041	GT	S	100,000	4.65	n/a	n/a	5,000.00	5.0
COUGAR TRADING	044	GWW	B	10,000	64.75	n/a	26-Jan	500.00	5.0
COUGAR TRADING	044	GWW	SS	10,000	64.51	n/a	26-Jan	500.00	5.0
MTB INV ADV	034	GYMB	B	8,500	18.06	5-Mar	5-Mar	425.00	5.0
COUGAR TRADING	044	HAR	B	5,000	10.94	5-Feb	5-Feb	250.00	5.0
MILLENIUM PARTNERS	028	HD	B	181,100	19.51	n/a	25-Feb	7,244.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>HIW</b>	<b>C</b>	<b>2,500</b>	<b>19.23</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>HME</b>	<b>C</b>	<b>1,500</b>	<b>29.19</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	HOTT	S	37,250	8.72	n/a	30-Jan	1,490.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>HOT</b>	<b>C</b>	<b>65,000</b>	<b>11.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EPOCH INV MGMT	030	IHS	S	26,600	37.50	n/a	n/a	1,064.00	4.0
BUCK CAPITAL MGMT	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>268,000</b>	<b>10.73</b>	<b>n/a</b>	<b>30-Jan</b>	<b>10,720.00</b>	<b>4.0</b>
<b>f</b> JOHN MICHAEL ZOLIDIS	<b>FID</b>	<b>JCG</b>	<b>S</b>	<b>1000</b>	<b>10.68</b>	<b>n/a</b>	<b>30-Jan</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	<b>001</b>	<b>JCP</b>	<b>B</b>	<b>30,000</b>	<b>16.44</b>	<b>6-Feb</b>	<b>6-Mar</b>	<b>1,200.00</b>	<b>4.0</b>
MAIRS AND POWER INV	030	JNJ	S	20,000	48.23	n/a	22-Apr	1,000.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>JOSB</b>	<b>B</b>	<b>30,000</b>	<b>22.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JWN	SS	200,000	14.35	20-Feb	6-Mar	8,000.00	4.0
f ALANA J A MADIGAN-SI	FID	KEG	B	250	2.38	14-Jan	26-Feb	0.00	0.0
B BUCK CAPITAL MGMT		KIM	C	9,000	8.95	n/a	n/a	non-brg	3.0
SEMINOLE CAP	036	LLY	B	140,000	29.91	n/a	n/a	7,000.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	LMI	B	500	14.87	n/a	n/a	0.00	0.0
AVESTA CAP	229	LOW	SS	50,000	15.01	23-Feb	23-Feb	2,500.00	5.0
B BUCK CAPITAL MGMT		LRY	C	2,700	19.13	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MAR	C	50,000	14.95	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MAS	C	77,000	4.74	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		MCD	SS	150,000	51.43	13-Oct	9-Mar	non-brg	4.0
f DAVID GEORGE BUCK	FID	MO	B	1000	16.47	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	MS	SS	50,000	22.50	18-Dec	26-Feb	2,500.00	5.0
B BUCK CAPITAL MGMT		MVRX	C	42,500	86.33	n/a	n/a	non-brg	8.6
f HARALAMBOS EFSTATHI	FID	MXGL	S	300	14.21	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		M	B	20,000	7.89	n/a	6-Mar	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		NKE+DJ[???	SS	1,000	0.52	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		NKE	B	20,000	42.67	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		OFC	C	5,300	23.85	n/a	n/a	non-brg	4.0
ROTHSCHILD ASSET	040	OSG	B	8,651	22.46	n/a	n/a	389.31	4.5
⌘ BUCK CAPITAL MGMT		O	C	3,000	16.80	n/a	n/a	non-brg	4.0
CHILTON CAPITAL MGMT.	036	PCX	S	150,000	3.10	n/a	n/a	4,500.00	3.0
HOTCHKIS & WILEY	035	PHH	B	41,300	10.79	n/a	n/a	2,065.00	5.0
⌘ BUCK CAPITAL MGMT		PKY	C	3,200	10.17	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSB	C	5,300	33.28	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	PSS	B	450,000	7.69	n/a	n/a	13,500.00	3.0
⌘ BUCK CAPITAL MGMT		PVH+DW[???	SS	2,000	0.60	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	PVH	S	30,000	15.47	22-Jan	22-Jan	1,200.00	4.0
COUGAR TRADING	044	PVH	B	5,000	15.59	22-Jan	22-Jan	250.00	5.0
CUMBERLAND	038	QQQQ	B	75,000	27.91	n/a	n/a	2,250.00	3.0
⌘ BUCK CAPITAL MGMT		QUK+FJ[???	SS	200	4.45	n/a	n/a	non-brg	300.0
FIRST NEW YORK LEDDY	035	Q	S	10,000	2.99	n/a	n/a	600.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		RCL	B	125,000	6.77	30-Jan	30-Jan	non-brg	3.0
⚡ BUCK CAPITAL MGMT		RCL	B	250,000	7.18	30-Jan	30-Jan	non-brg	3.0
⚡ BUCK CAPITAL MGMT		REG	C	8,000	27.39	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	RJF	B	118,000	15.24	n/a	n/a	4,130.00	3.5
BUCK CAPITAL MGMT	001	RL	SS	10,000	35.35	n/a	5-Feb	400.00	4.0
BUCK CAPITAL MGMT	001	RT	B	33,600	1.36	n/a	n/a	672.00	2.0
f DAVID GEORGE BUCK	FID	SGP	B	1000	20.78	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	SKDCF	B	80	3.94	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	SKDCR	S	50	6.45	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	SLGN	B	17,300	47.44	n/a	n/a	692.00	4.0
⚡ BUCK CAPITAL MGMT		SLG	C	17,000	12.31	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SONC	C	30,000	7.05	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		SPG	C	40,000	32.90	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SPLS	C	50,000	15.34	n/a	27-Jul	non-brg	4.0
ADALY INV MGMT	067	SPY	B	11,900	72.86	n/a	n/a	595.00	5.0
f MARC PAUL LUCHANSKY	FID	SPY	B	1000	72.89	n/a	n/a	0.00	0.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SPY</b>	<b>B</b>	<b>1000</b>	<b>72.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f PEARL LUCHANSKY</b>	<b>FID</b>	<b>SPY</b>	<b>B</b>	<b>1000</b>	<b>72.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WASHINGTON ASSET	048	SSO	S	10,000	16.89	n/a	n/a	500.00	5.0
LISTED ERR	099	SSO	B	10,000	16.89	n/a	n/a	0.00	0.0
LISTED ERR	099	SSO	SS	10,000	16.89	n/a	n/a	0.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>SSS</b>	<b>C</b>	<b>3,000</b>	<b>19.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SUI</b>	<b>C</b>	<b>7,500</b>	<b>8.78</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>TBTRW</b>	<b>B</b>	<b>100</b>	<b>5.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SOUTH POINT CAP	067	TCK	SS	100,000	3.55	n/a	n/a	4,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TCO</b>	<b>C</b>	<b>17,000</b>	<b>17.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>TZA</b>	<b>B</b>	<b>6</b>	<b>89.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>UDR</b>	<b>C</b>	<b>1,000</b>	<b>8.47</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
BARON ASSET MGMT*	012	URBN	B	50,000	16.19	n/a	30-Jan	2,000.00	4.0
WAGONHOUND/NIC	048	UYG	B	25,000	1.99	n/a	n/a	750.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>VNO</b>	<b>C</b>	<b>32,000</b>	<b>35.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>WBAAQ</b>	<b>S</b>	<b>300</b>	<b>1.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WEN</b>	<b>C</b>	<b>30,000</b>	<b>4.40</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>3.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	WMS	B	86,900	18.75	n/a	n/a	3,476.00	4.0
ß BUCK CAPITAL MGMT		WMS	C	50,000	18.81	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WMS	C	75,000	18.75	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		WRC	B	125,000	19.20	27-Feb	27-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		WRC	B	150,000	19.31	27-Feb	27-Feb	non-brg	1.5
ß BUCK CAPITAL MGMT		WRC	B	185,600	18.63	27-Feb	27-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		WRC	B	22,000	18.84	27-Feb	27-Feb	non-brg	2.5
ß BUCK CAPITAL MGMT		WRC	B	239,200	19.58	27-Feb	27-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	B	24,200	19.14	27-Feb	27-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	B	4,000	18.88	27-Feb	27-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	B	50,000	19.34	27-Feb	27-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		WRI	C	25,000	10.80	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		XRT	C	1,800,000	20.03	n/a	n/a	non-brg	1.0
HUNTER GLOBAL INVESTOR	067	X	B	52,200	19.10	n/a	n/a	2,088.00	4.0
PRINCIPLE CAPITAL	040	YUM	B	57,900	25.30	n/a	5-Feb	1,737.00	3.0
<b>TOTAL (Trade Date) :</b>							<b>120,011.03</b>		

### Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/10	LISTED ERR	012	AMX	S	1,200	25.31	n/a	n/a	60.00 5.0
AO,CXL	03/10	EVERCORE WEALTH MGMT	012	AMX	S	1,200	25.31	n/a	n/a	60.00 5.0
<b>AO,CRT</b>	<b>03/10</b>	<b>LISTED ERR</b>	<b>099</b>	<b>BA</b>	<b>S</b>	<b>16,200</b>	<b>32.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>AO,CRT</b>	<b>03/10</b>	<b>EPOCH INV MGMT</b>	<b>099</b>	<b>BA</b>	<b>B</b>	<b>16,200</b>	<b>31.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
AO,CXL	03/10	EPOCH INV MGMT	030	BA	B	16,200	31.76	n/a	n/a	0.00 0.0
AO,CXL	03/10	EPOCH INV MGMT	030	BA	S	16,200	32.57	n/a	n/a	0.00 0.0
AO,	03/10	UBS OCONNOR	031	BEN	B	10,000	43.96	10-Mar	11-Mar	300.00 3.0
<b>AO,CRT</b>	<b>03/10</b>	<b>LISTED ERR</b>	<b>099</b>	<b>BSX</b>	<b>B</b>	<b>74,200</b>	<b>6.63</b>	<b>n/a</b>	<b>22-Apr</b>	<b>0.00 0.0</b>
<b>AO,CRT</b>	<b>03/10</b>	<b>LISTED ERR</b>	<b>099</b>	<b>BSX</b>	<b>S</b>	<b>74,200</b>	<b>6.53</b>	<b>n/a</b>	<b>22-Apr</b>	<b>0.00 0.0</b>
AO,CXL	03/10	EPOCH INV MGMT	030	BSX	B	74,200	6.63	n/a	22-Apr	0.00 0.0
AO,CXL	03/10	EPOCH INV MGMT	030	BSX	S	74,200	6.53	n/a	22-Apr	0.00 0.0
AO,CRT	03/10	EVERCORE WEALTH MGMT	012	JPM	B	1,500	19.19	16-Jan	27-Feb	75.00 5.0
AO,CXL	03/10	EVERCORE WEALTH MGMT	012	JPM	B	1,500	19.19	16-Jan	27-Feb	75.00 5.0
AO,CXL	03/10	FIRST NEW YORK NICK	029	Q	S	20,000	3.00	n/a	n/a	1000.00 5.0
AO,CRT	03/10	FIRST NEW YORK NICK	029	Q	S	20,000	3.00	n/a	n/a	1000.00 5.0
AO,CXL	03/10	THIRD COAST CAPITAL	035	SKS	B	25,000	1.95	n/a	n/a	1250.00 5.0
AO,CRT	03/10	THIRD COAST CAPITAL	035	SKS	B	25,000	1.95	n/a	n/a	500.00 2.0
<b>AO,CRT</b>	<b>03/10</b>	<b>OTC ERROR</b>	<b>099</b>	<b>SLGN</b>	<b>B</b>	<b>17,700</b>	<b>47.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>AO,CRT</b>	<b>03/10</b>	<b>OTC ERROR</b>	<b>099</b>	<b>SLGN</b>	<b>S</b>	<b>17,700</b>	<b>47.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
AO,CXL	03/10	EPOCH INV MGMT	030	SLGN	B	17,700	47.39	n/a	n/a	0.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CXL	03/10	EPOCH INV MGMT	030	SLGN	S	17,700	47.08	n/a n/a	0.00 0.0

**TOTAL (AO, CXL, CRT): -450.00**

TOTAL (Trade Date): 120,011.03

TOTAL (AO, CXL, CRT): -450.00

**TOTAL (RUN DATE) / NFS: 119,561.03**

MRI Securities with Trade Activity on 11-Mar.  
NONE

MRI Securities without Trade Activity on 11-Mar.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 29%  
YTD = 24%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)