



## Daily Compliance Activity Report

Trade Date: 04/27/2009

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBUS CIRCLE	036	AIQ	S	9,423	7.72	n/a	n/a	376.92	4.0
BALYASNY ASSET MGMT	044	ANN	C	30,000	6.57	n/a	30-Jan	1,200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>SS</b>	<b>50,000</b>	<b>33.11</b>	<b>n/a</b>	<b>13-Apr</b>	<b>2,000.00</b>	<b>4.0</b>
FORD FDN.	032	AXP	B	60,000	24.71	n/a	24-Apr	3,000.00	5.0
FORD FDN.	032	BEN	S	25,000	61.13	7-Apr	21-Apr	1,250.00	5.0
NEWCASTLE FUNDS	033	BWA	S	25,000	28.34	12-Mar	20-Apr	1,000.00	4.0
Õ Steven Maguire		BYD	S	10000	8.0000	n/a	28-Feb	0.00	0.0
Õ Steven Maguire		BYD	S	15000	8.0000	n/a	28-Feb	0.00	0.0
Õ Steven Maguire		BYD	S	25000	8.0000	n/a	28-Feb	0.00	0.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CAB</b>	<b>B</b>	<b>60,000</b>	<b>11.95</b>	<b>n/a</b>	<b>n/a</b>	<b>2,400.00</b>	<b>4.0</b>
STERLING JOHNSTON	035	CENT	B	50,000	9.26	5-Feb	5-Feb	2,000.00	4.0
CATAPULT	040	CHD	B	37,500	53.66	27-Apr	27-Apr	1,500.00	4.0
NEWCASTLE FUNDS	033	CHD	S	60,700	53.62	27-Apr	27-Apr	2,428.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CHS</b>	<b>B</b>	<b>20,000</b>	<b>7.16</b>	<b>5-Dec</b>	<b>30-Jan</b>	<b>600.00</b>	<b>3.0</b>
MILLENIUM PARTNERS	028	CMCSA	B	54,170	13.68	n/a	4-Jan	2,166.80	4.0
MILLENIUM PARTNERS	028	CMCSA	S	4,170	13.75	n/a	4-Jan	166.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CRI	B	20,000	22.71	n/a	n/a	800.00	4.0
SANDLER O'NEILL ASSET	031	DFS	B	50,000	8.37	n/a	24-Apr	1,500.00	3.0
Steven Maguire		DHI	S	11000	12.9700	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	DKS	C	30,000	18.74	27-Apr	27-Apr	1,500.00	5.0
BUCK CAPITAL MGMT	001	DPZ	B	15,000	8.89	n/a	24-Apr	450.00	3.0
BUCK CAPITAL MGMT	001	ESI	SS	30,000	99.90	n/a	n/a	1,200.00	4.0
PHILADELPHIA FINANCIAL	033	EV	SS	79,162	25.74	7-Apr	20-Apr	3,166.48	4.0
NOMURA CAPITAL	045	FDML	S	5,500	11.00	n/a	n/a	275.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	10,000	21.35	n/a	10-Jan	400.00	4.0
TROUBH PART	067	GE	SS	35,000	12.07	n/a	n/a	1,750.00	5.0
GRUBER MACBAINE	033	GS	S	5,000	123.87	n/a	24-Apr	200.00	4.0
MAIRS AND POWER INV	030	HON	B	20,000	30.84	n/a	24-Apr	1,000.00	5.0
KENNEDY CAPITAL	046	IPG	B	321,230	5.55	n/a	n/a	9,636.90	3.0
BALYASNY ASSET MGMT	044	JWN	B	25,000	21.86	20-Feb	13-Apr	1,250.00	5.0
BUCK CAPITAL MGMT	001	LTD	B	50,000	11.01	8-Jan	30-Jan	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	MMM	S	55,000	56.97	24-Apr	24-Apr	2,750.00	5.0
LISTED ERR	099	MMM	B	55,000	56.97	24-Apr	24-Apr	0.00	0.0
LISTED ERR	099	MMM	SS	55,000	56.97	24-Apr	24-Apr	0.00	0.0
COUGAR TRADING	044	M	B	10,000	12.99	n/a	13-Apr	500.00	5.0
TROUBH PART	067	M	SS	50,000	12.85	n/a	13-Apr	2,500.00	5.0
Õ Steven Maguire		PNK	S	10000	11.6500	n/a	n/a	0.00	0.0
Õ Steven Maguire		PNK	S	7500	11.6500	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	RTH	SS	75,000	78.97	n/a	n/a	3,750.00	5.0
COUGAR TRADING	044	SMG	B	10,800	38.62	5-Feb	15-Apr	540.00	5.0
COUGAR TRADING	044	SMG	S	7,500	37.49	5-Feb	15-Apr	375.00	5.0
TROUBH PART	067	STT	SS	10,000	36.54	n/a	n/a	500.00	5.0
KAYN	048	SYNT	S	23,200	26.77	n/a	n/a	928.00	4.0
SIGMA CAPITAL ASSOCIATES	041	TGT	B	25,000	39.54	25-Feb	22-Apr	1,250.00	5.0
COUGAR TRADING	044	TJX	S	5,000	26.70	n/a	13-Apr	250.00	5.0
BUCK CAPITAL MGMT	001	VFC	SS	30,000	66.81	n/a	n/a	1,200.00	4.0
SYSTEMATIC FINANCIAL MGT	035	VFC	S	194,200	66.95	n/a	n/a	6,797.03	3.5
BALYASNY ASSET MGMT	044	WBC	B	15,000	15.87	5-Feb	27-Apr	750.00	5.0
COUGAR TRADING	044	WRC	B	5,000	27.50	20-Apr	20-Apr	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	WSM	B	50,000	14.03	n/a	n/a	2,000.00	4.0
CATAPULT	040	XLP	SS	92,000	21.63	n/a	n/a	3,680.00	4.0
<b>TOTAL (Trade Date):</b>								<b>73,236.93</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/24	HIGHBRIDGE CAPITAL (HIGBUBSNEW)	038	LPX	S	350,000	4.11	3-Mar	20-Apr	7000.00 2.0
AO,CXL	04/24	HIGHBRIDGE/MS OLDS (HIBCCSFB)	041	LPX	S	350,000	4.11	3-Mar	20-Apr	17500.00 5.0
CRT	04/27	COUGAR TRADING (COUGPB MS)	044	M	B	10,000	12.99	n/a	13-Apr	500.00 5.0
AO,	04/22	JMP ASSET MGMT (JMPAGS)	210	NFLX	S	3,200	46.80	n/a	n/a	160.00 5.0
AO,CXL	04/22	JMP ASSET MGMT (JMPABOABA)	210	NFLX	S	3,200	48.80	n/a	n/a	160.00 5.0

---

<b>TOTAL (AO, CXL, CRT) :</b>	<b>-10,000.00</b>
-------------------------------	-------------------

---

---

TOTAL (Trade Date) :	73,236.93
TOTAL (AO, CXL, CRT) :	-10,000.00

---

<b>TOTAL (RUN DATE) / NFS :</b>	<b>63,236.93</b>
---------------------------------	------------------

---

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION