



Daily Compliance Activity Report

Trade Date: 05/24/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FRED ALGER MGMT	035	ABC	B	62,800	36.35	n/a	n/a	2,260.80	3.6
EPOCH INV MGMT	030	ABT	B	555	62.25	n/a	n/a	16.65	3.0
BURL CAPITAL LLC	029	ACM	B	20,000	16.50	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		AEO	B	20,000	19.70	n/a	2-Dec	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	B	50,000	19.61	n/a	2-Dec	non-brg	4.0
HOPLITE MGMT	025	AMD	SS	125,000	6.06	n/a	n/a	3,750.00	3.0
OHIO PERS	047	AOL	B	43,000	27.27	n/a	n/a	1,290.00	3.0
ADAGE CAPITAL MGMT	030	ARO	B	100,000	19.02	n/a	n/a	3,000.00	3.0
BALYASNY ASSET MGMT	044	ASNA	B	25,000	19.28	n/a	n/a	1,250.00	5.0
TUDOR INVESTORS	028	BGC	B	5,000	29.24	n/a	n/a	150.00	3.0
EPOCH INV MGMT	030	CCE	B	860	27.45	n/a	n/a	25.80	3.0
NEUBERGER BERMAN	036	CCE	S	10,000	27.48	n/a	n/a	400.00	4.0
f MITCHELL JAMES SPEIS	FID	CHL	B	100	51.34	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CLH	SS	15,000	63.53	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	CMCSK	S	150	28.82	n/a	n/a	30.00	20.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WCG MANAGEMENT	044	CNX	SS	6,500	29.62	n/a	n/a	195.00	3.0
f KAREN F LEDDY	FID	CROX	S	700	17.15	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	CTRN	S	800	14.34	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CVGI	S	1800	8.86	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	DAL	B	23,000	11.38	n/a	n/a	690.00	3.0
PERIMETER	273	DAN	B	171,158	13.43	n/a	26-Apr	5,134.74	3.0
WCG MANAGEMENT	044	DAN	SS	50,000	13.47	n/a	26-Apr	1,500.00	3.0
EPOCH INV MGMT	030	DBD	B	810	36.73	n/a	23-Mar	24.30	3.0
EPOCH INV MGMT	030	DD	B	1,265	48.69	n/a	21-May	37.95	3.0
HIGHBRIDGE CAP	041	DD	S	11,500	48.52	n/a	21-May	345.00	3.0
f JILL ROSENBERG	FID	FAUDX	B	230	10.05	n/a	n/a	0.00	0.0
f KELLY ELIZABETH CLIF	FID	FAUDX	B	180	10.05	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FAUDX	B	240	10.05	n/a	n/a	0.00	0.0
SCOUT/UMB	047	FDO	B	67,517	67.48	n/a	7-May	2,025.51	3.0
KINGDON ASSET MGMT	041	FDX	B	50,000	89.60	n/a	n/a	2,000.00	4.0
LATEFF MGMT	035	FDX	S	100	89.59	n/a	n/a	30.00	30.0
NEUBERGER BERMAN	036	FEIC	B	38,500	45.18	n/a	n/a	1,540.00	4.0
f JILL ROSENBERG	FID	FILEFX	B	131	7.62	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KELLY ELIZABETH CLIF	FID	FILEX	B	105	7.62	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FILEX	B	144	7.62	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		FNP	SS	50,000	12.04	n/a	n/a	non-brg	4.0
f	EDWARD W WHEELER	FID	FOE	S	20000	4.51	n/a	n/a	0.00	0.0
f	JILL ROSENBERG	FID	FPCIX	S	56	10.75	n/a	n/a	0.00	0.0
f	KELLY ELIZABETH CLIF	FID	FPCIX	S	47	10.75	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FPCIX	S	65	10.75	n/a	n/a	0.00	0.0
f	JILL ROSENBERG	FID	FPIOX	S	42	9.58	n/a	n/a	0.00	0.0
f	JILL ROSENBERG	FID	FSAMX	B	48	8.36	n/a	n/a	0.00	0.0
f	JILL ROSENBERG	FID	FSHBX	S	82	8.53	n/a	n/a	0.00	0.0
f	KELLY ELIZABETH CLIF	FID	FSHBX	S	59	8.53	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FSHBX	S	94	8.53	n/a	n/a	0.00	0.0
f	JILL ROSENBERG	FID	FTBFX	S	63	11.06	n/a	n/a	0.00	0.0
f	KELLY ELIZABETH CLIF	FID	FTBFX	S	63	11.06	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FTBFX	S	63	11.06	n/a	n/a	0.00	0.0
	SIMMONS VENTURES	041	GIII	B	3,821	24.10	n/a	n/a	152.84	4.0
	LATEFF MGMT	035	GIS	S	150	38.96	n/a	n/a	30.00	20.0
	HIGHBRIDGE CAP	041	GT	S	25,000	10.23	n/a	n/a	750.00	3.0
	HIGHBRIDGE CAP	041	GWW	B	3,000	196.83	n/a	19-Apr	90.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	HITT	B	7,900	49.64	n/a	n/a	316.00	4.0
EPOCH INV MGMT	030	HNZ	B	405	53.23	n/a	n/a	12.15	3.0
ARSENAL ASSET MANAGEMENT	028	HXL	SS	20,000	24.97	n/a	24-Apr	600.00	3.0
WCG MANAGEMENT	044	IPI	B	50,000	19.42	n/a	n/a	1,500.00	3.0
BALYASNY ASSET MGMT	044	IP	SS	15,000	30.02	n/a	27-Apr	750.00	5.0
DEVENNY CAP LLC/MILLENIUM	044	IP	B	10,000	29.63	n/a	27-Apr	300.00	3.0
FIRST NY SEC/CHRIS	041	IP	B	15,000	30.20	n/a	27-Apr	450.00	3.0
B BUCK CAPITAL MGMT		IR	B	12,000	42.14	n/a	11-May	non-brg	4.0
ARSENAL ASSET MANAGEMENT	028	ITW	B	10,000	55.50	n/a	25-Apr	300.00	3.0
EPOCH INV MGMT	030	JNJ	B	505	63.12	n/a	n/a	15.15	3.0
BARROW HANLEY MEWHINNEY	031	JPM	B	119,000	34.27	n/a	24-May	4,165.00	3.5
WCG MANAGEMENT	044	KNX	SS	12,000	16.96	n/a	n/a	360.00	3.0
B BUCK CAPITAL MGMT		LULU	SS	30,000	71.76	n/a	10-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		LULU	SS	50,000	71.76	n/a	10-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		MCD	SS	10,000	91.35	n/a	18-May	non-brg	4.0
HIGHBRIDGE CAP	041	MDR	S	36,000	10.20	n/a	n/a	1,080.00	3.0
EPOCH INV MGMT	030	MRK	B	910	37.54	n/a	n/a	27.30	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BURL CAPITAL LLC	029	MSCI	B	10,000	33.75	n/a	n/a	400.00	4.0
f KAREN F LEDDY	FID	MTW	S	2000	10.72	n/a	7-May	0.00	0.0
TUDOR INVESTORS	028	MT	S	15,000	14.34	n/a	n/a	450.00	3.0
WCG MANAGEMENT	044	MT	SS	14,000	14.27	n/a	n/a	420.00	3.0
B BUCK CAPITAL MGMT		NWY	B	14,300	3.42	n/a	n/a	non-brg	2.0
LATEFF MGMT	035	NYB	S	350	12.59	n/a	18-Apr	35.00	10.0
FRED ALGER MGMT	035	OC	S	25,500	30.22	n/a	n/a	918.00	3.6
YORK CAPITAL	036	ORCL	SS	100,000	26.38	n/a	n/a	3,000.00	3.0
EPOCH INV MGMT	030	PGN	B	915	55.13	n/a	n/a	27.45	3.0
f FRANCIS MICHAEL MCCA	FID	PH	S	200	84.20	n/a	25-Apr	0.00	0.0
B BUCK CAPITAL MGMT		PII	S	20,000	78.39	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	PPL	B	860	27.52	n/a	n/a	25.80	3.0
f JILL ROSENBERG	FID	PSFAX	S	61	9.82	n/a	n/a	0.00	0.0
f KELLY ELIZABETH CLIF	FID	PSFAX	S	41	9.82	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PSFAX	S	61	9.82	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PSMT	B	11,928	69.88	n/a	n/a	non-brg	2.5
f JILL ROSENBERG	FID	PTRAX	S	62	11.22	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KELLY ELIZABETH CLIF	FID	PTRAX	S	45	11.22	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	PTRAX	S	62	11.22	n/a	n/a	0.00	0.0
	EPOCH INV MGMT	030	RAI	B	1,565	41.71	n/a	n/a	46.95	3.0
	RAINIER INV MGMT	035	RJF	B	36,580	33.73	n/a	n/a	1,463.20	4.0
	CATAPULT	040	ROC	B	10,000	47.25	n/a	n/a	400.00	4.0
	ARSENAL ASSET MANAGEMENT	028	ROP	B	5,000	100.39	n/a	n/a	150.00	3.0
f	JONATHAN RICHARD HAR	FID	RUE	S	300	27.22	n/a	n/a	0.00	0.0
	EPOCH INV MGMT	030	SCG	B	605	46.36	n/a	n/a	18.15	3.0
f	ALEXANDRA ELISE ZOLI	FID	SIG	B	300	43.79	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		SIG	B	50,000	43.26	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		SIG	B	50,000	43.85	n/a	n/a	non-brg	4.0
	SIMMONS VENTURES	041	SKS	SS	20,000	10.18	n/a	n/a	800.00	4.0
	WCG MANAGEMENT	044	SPW	B	5,000	74.12	n/a	n/a	150.00	3.0
B	BUCK CAPITAL MGMT		TEVA	B	50,000	39.00	n/a	24-May	non-brg	4.0
	WCG MANAGEMENT	044	TEX	B	20,000	17.76	24-May	24-May	600.00	3.0
	EPOCH INV MGMT	030	TE	B	2,225	17.32	n/a	n/a	66.75	3.0
B	BUCK CAPITAL MGMT		TFM	SS	20,000	46.92	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ALEXANDRA ELISE ZOLI	FID	TIF	S	250	56.14	n/a	n/a	0.00	0.0
OHIO PERS	047	TKR	B	15,850	50.77	n/a	n/a	475.50	3.0
NWQ INVESTMENT MGMT CO	084	TLM	S	75,000	9.84	n/a	n/a	2,250.00	3.0
HIGHBRIDGE CAP	041	UAL	B	23,000	23.54	n/a	n/a	690.00	3.0
LATEFF MGMT	035	UAL	S	100	23.56	n/a	n/a	30.00	30.0
TUDOR INVESTORS	028	UPS	B	10,000	75.60	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		VFC	B	20,000	142.93	n/a	27-Apr	non-brg	4.0
EPOCH INV MGMT	030	VVC	B	1,210	28.98	n/a	n/a	36.30	3.0
SENATOR INVESTMENT GROUP	036	WLP	B	100,000	68.59	n/a	n/a	3,000.00	3.0
EPOCH INV MGMT	030	WM	B	910	33.18	n/a	n/a	27.30	3.0
B BUCK CAPITAL MGMT		WWW	B	60,000	41.68	n/a	n/a	non-brg	4.0
KAM ADVISORS LLC	041	XLE	C	64,700	65.05	n/a	n/a	1,941.00	3.0
HIGHBRIDGE CAP	041	X	B	16,400	21.40	n/a	n/a	492.00	3.0
f PATRICK O'GRADY	FID	ZQK	B	450	2.86	n/a	10-May	0.00	0.0
TOTAL (Trade Date):								55,607.59	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/22	ADAGE CAPITAL MGMT	030	AEO	B	95,418	19.95	n/a	2-Dec	2862.54 3.0
AO,CXL	05/22	ADAGE CAPITAL MGMT	030	AEO	B	95,418	19.95	n/a	2-Dec	2862.54 3.0
AO,CRT	05/23	CONIFER/ISF	025	HPQ	B	25,000	21.02	n/a	n/a	1000.00 4.0
AO,CXL	05/23	CONIFER/ISF	025	HPQ	B	25,000	21.02	n/a	n/a	1000.00 4.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date) :	55,607.59
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS :	55,607.59
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 24-May.

TEX

MRI Securities without Trade Activity on 24-May.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)