



## Daily Compliance Activity Report

Trade Date: 03/10/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	S	40,000	16.67	6-Mar	7-Mar	2,000.00	5.0
METROPOLITAN CAPITAL	044	AEO	B	28,200	16.58	6-Mar	7-Mar	1,410.00	5.0
WEISS PECK	040	AEO	B	24,200	17.04	6-Mar	7-Mar	1,210.00	5.0
COUGAR TRADING	044	ANF	B	5,000	71.12	10-Jan	10-Mar	250.00	5.0
COUGAR TRADING	044	ANF	SS	15,000	72.36	10-Jan	10-Mar	750.00	5.0
BEAR STEARNS ASSET MGMT.	033	ANN	C	30,000	23.00	11-Jan	7-Mar	1,200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>30,000</b>	<b>24.41</b>	<b>29-Nov</b>	<b>10-Mar</b>	<b>1,200.00</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	S	35,000	25.09	29-Nov	10-Mar	1,750.00	5.0
BRIGHTPOINT CAP	067	AVP	SS	25,000	36.96	4-Mar	4-Mar	1,000.00	4.0
RAINIER INV MGMT	035	AXE	S	42,100	65.19	29-Jan	3-Mar	2,105.00	5.0
INDEPENDENCE INV	039	BCO	S	15,000	65.36	n/a	n/a	600.00	4.0
BALYASNY ASSET MGMT	044	BEN	B	15,000	87.98	25-Sep	10-Mar	750.00	5.0
BALYASNY ASSET MGMT	044	BEN	S	27,000	87.32	25-Sep	10-Mar	1,350.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BLK</b>	<b>C</b>	<b>10,000</b>	<b>177.84</b>	<b>21-Nov</b>	<b>10-Mar</b>	<b>non-brg</b>	<b>4.0</b>
INDEPENDENCE INV	039	BNI	S	3,600	88.33	n/a	n/a	144.00	4.0
STERLING JOHNSTON	035	BONT	S	22,700	5.46	4-Jan	7-Mar	908.00	4.0
NATIONAL BK OF CAN	031	BSC	B	5,000	61.96	11-Mar	10-Mar	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DSG CAPITAL	225	CALPINE CORP	S	690,000	18.38	n/a	n/a	0.00	0.0
OGORMAN	046	CCL	SS	500	37.75	n/a	26-Jan	0.00	0.0
KORMAN	003	CFSG	S	2,200	9.39	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CHA	SS	5,000	70.59	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		COH	B	10,000	27.86	24-Oct	24-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		COLM	SS	10,000	41.52	n/a	n/a	non-brg	4.0
KORMAN	003	CPN	S	2,631	17.61	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	C	SS	22,500	20.96	16-Jan	10-Mar	1,125.00	5.0
ß BUCK CAPITAL MGMT		DECK	B	20,000	92.68	n/a	n/a	non-brg	2.5
J L F ASSET MGMT	039	DFS	S	60,517	13.59	n/a	n/a	2,420.68	4.0
METROPOLITAN CAPITAL	044	DSW	B	24,900	16.14	26-Oct	8-Feb	1,245.00	5.0
MAGUIRE	090	DXD	B	5,000	62.36	n/a	n/a	0.00	0.0
BARR	096	DXD	B	1,200	62.75	n/a	n/a	0.00	0.0
BENCHMARK	028	EMC	S	5,000	14.86	n/a	n/a	250.00	5.0
HOOVER INV. MGMT	033	EME	S	99,578	21.50	n/a	n/a	4,978.90	5.0
FINANCIAL STOCK LP	028	FMER	B	111,547	18.90	n/a	n/a	4,461.88	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>N STRATTON</b>	<b>014</b>	<b>FNF</b>	<b>S</b>	<b>200</b>	<b>15.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IRONBOUND CAPITAL	012	FOR	B	8,145	23.70	n/a	n/a	407.25	5.0
<b>MAGUIRE</b>	<b>039</b>	<b>FXP</b>	<b>S</b>	<b>100</b>	<b>103.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PHILADELPHIA FINANCIAL	033	GBL	SS	2,600	51.77	n/a	6-Mar	130.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>B</b>	<b>10,000</b>	<b>10.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>10,000</b>	<b>33.71</b>	<b>22-Jan</b>	<b>10-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>M WEINTRAU</b>	<b>024</b>	<b>GLW</b>	<b>S</b>	<b>1,000</b>	<b>23.20</b>	<b>n/a</b>	<b>7-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>HART</b>	<b>056</b>	<b>GMRE</b>	<b>S</b>	<b>3</b>	<b>5.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HEALY CIRCLE	044	GM	SS	25,000	21.91	3-Jan	4-Mar	1,250.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>GM</b>	<b>C</b>	<b>100</b>	<b>20.96</b>	<b>3-Jan</b>	<b>4-Mar</b>	<b>0.00</b>	<b>0.0</b>
INDEPENDENCE INV	039	GOOG	S	5,700	426.28	n/a	n/a	228.00	4.0
COUGAR TRADING	044	GPS	S	5,000	19.45	n/a	7-Mar	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GSIC</b>	<b>B</b>	<b>200,000</b>	<b>11.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
COUGAR TRADING	044	GYMB	S	5,000	37.02	n/a	10-Mar	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HBI</b>	<b>S</b>	<b>10,000</b>	<b>26.34</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>MAGUIRE</b>	<b>039</b>	<b>HDB</b>	<b>C</b>	<b>100</b>	<b>94.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
INDEPENDENCE INV	039	HEW	S	43,700	38.26	n/a	n/a	1,748.00	4.0
JP MORGAN VENTURES	046	HON	SS	25,000	56.23	n/a	25-Jan	1,250.00	5.0
OPTIMUM INV ADV	030	HRS	B	10,000	46.47	11-Jan	10-Mar	400.00	4.0
INDEPENDENCE INV	039	HUM	S	26,300	63.23	n/a	n/a	1,052.00	4.0
PROSPECTOR PARTNERS LLC	036	IOSP	S	5,000	20.50	n/a	n/a	200.00	4.0
GEORGE WEISS	034	IP	SS	5,000	30.72	8-Feb	8-Feb	200.00	4.0
<b>MAGUIRE</b>	<b>090</b>	<b>ITCD</b>	<b>S</b>	<b>3,000</b>	<b>3.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>ITCD</b>	<b>S</b>	<b>5,000</b>	<b>3.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	ITG	B	24,400	44.00	n/a	n/a	1,220.00	5.0
COUGAR TRADING	044	IVZ	S	5,000	21.38	10-Mar	10-Mar	250.00	5.0
SANDLER O'NEILL ASSET	031	IVZ	B	15,000	21.13	10-Mar	10-Mar	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCP</b>	<b>B</b>	<b>50,000</b>	<b>41.44</b>	<b>4-Jan</b>	<b>7-Mar</b>	<b>2,000.00</b>	<b>4.0</b>
RAINIER INV MGMT	035	JLL	S	25,000	72.24	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>C</b>	<b>65,000</b>	<b>33.67</b>	<b>26-Feb</b>	<b>10-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>JWN</b>	<b>C</b>	<b>100</b>	<b>32.84</b>	<b>26-Feb</b>	<b>10-Mar</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	KSS	SS	15,000	42.79	4-Jan	7-Mar	750.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>LESJJ</b>	<b>B</b>	<b>5</b>	<b>5.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRANITE CAPITAL	045	LLTC	B	10,000	29.42	n/a	n/a	500.00	5.0
CAXTON PART	040	LTR	S	59,321	40.89	n/a	n/a	2,076.24	3.5
<b>KERN</b>	<b>050</b>	<b>LYHCI</b>	<b>B</b>	<b>20</b>	<b>2.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
INDEPENDENCE INV	039	MA	S	18,400	193.11	n/a	n/a	736.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MDTL</b>	<b>SS</b>	<b>10,000</b>	<b>9.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NATIONAL BK OF CAN	031	MER	B	4,000	43.02	18-Jan	10-Mar	160.00	4.0
INDEPENDENCE INV	039	MON	S	38,100	102.69	n/a	12-Feb	1,524.00	4.0
<b>OGORMAN</b>	<b>046</b>	<b>MS</b>	<b>B</b>	<b>300</b>	<b>38.52</b>	<b>20-Dec</b>	<b>10-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>SS</b>	<b>80,000</b>	<b>133.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>13.4</b>
BAYPOINT/PRIMEMOVERS	041	NAVI	B	8,700	2.65	n/a	n/a	261.00	3.0
INDEPENDENCE INV	039	NOK	S	23,000	33.09	n/a	n/a	920.00	4.0
INDEPENDENCE INV	039	OXY	S	53,400	73.24	n/a	n/a	2,136.00	4.0
WEISS PECK	040	PAG	B	27,040	17.97	6-Feb	20-Feb	1,352.00	5.0
INDEPENDENCE INV	039	PCL	S	92,300	38.81	8-Jan	30-Jan	3,692.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>B</b>	<b>50,000</b>	<b>18.68</b>	<b>10-Jan</b>	<b>7-Mar</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>QYS+DF</b>	<b>C</b>	<b>300</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
BALYASNY ASSET MGMT	044	RGA	B	25,000	52.51	n/a	n/a	1,250.00	5.0
REINHART & MAHONEY	031	RGA	B	26,390	52.64	n/a	n/a	1,319.50	5.0
ROYAL CAPITAL	039	RGS	B	64,500	24.53	n/a	n/a	3,225.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>RIG</b>	<b>C</b>	<b>10,000</b>	<b>132.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ADAGE CAPITAL MGMT	030	RL	SS	50,000	56.87	7-Feb	15-Feb	2,000.00	4.0
COUGAR TRADING	044	RL	B	5,000	56.93	7-Feb	15-Feb	250.00	5.0
<b>BUCK</b>	<b>015</b>	<b>SPYPP</b>	<b>S</b>	<b>7</b>	<b>1.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>039</b>	<b>SRS</b>	<b>S</b>	<b>100</b>	<b>123.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>SRS</b>	<b>B</b>	<b>2,000</b>	<b>125.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>SRS</b>	<b>B</b>	<b>300</b>	<b>124.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	STC	B	18,400	27.35	n/a	n/a	828.00	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>SYNP</b>	<b>S</b>	<b>2,848</b>	<b>5.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>N STRATTON</b>	<b>014</b>	<b>SY</b>	<b>B</b>	<b>198</b>	<b>25.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
OPTIMUM INV ADV	030	TAP	S	8,500	51.25	n/a	n/a	340.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TRLG</b>	<b>B</b>	<b>10,000</b>	<b>18.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>MAGUIRE</b>	<b>039</b>	<b>TTM</b>	<b>C</b>	<b>300</b>	<b>16.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓕ BUCK CAPITAL MGMT		TWB	S	40,000	26.53	21-Feb	21-Feb	non-brg	4.0
RAMIUS CAP	040	UA	B	38,900	33.05	n/a	n/a	1,945.00	5.0
BULL PATH CAPITAL	044	URBN	SS	15,000	29.63	29-Feb	10-Mar	750.00	5.0
SINCLAIR	031	VHEAJ	B	5	7.00	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		WRNC	B	5,000	34.33	14-Jan	10-Mar	non-brg	4.0
BUCK CAPITAL MGMT	001	WWY	SS	10,000	59.00	n/a	n/a	400.00	4.0
CSFB GLOBAL PROPRIETARY	041	WY	B	20,000	59.33	8-Jan	11-Feb	1,000.00	5.0
GALLEON MGMT LP	033	XHB	SS	20,000	18.57	n/a	n/a	1,000.00	5.0
DAVIDSON TRUST CO	028	XLF	S	4,500	24.43	n/a	n/a	225.00	5.0
Ⓕ BUCK CAPITAL MGMT		XRT	SS	8,800	29.64	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	ZLC	SS	10,000	18.68	n/a	n/a	400.00	4.0
COUGAR TRADING	044	ZUMZ	S	30,000	13.47	n/a	n/a	1,500.00	5.0
TOTAL (Trade Date):								75,083.45	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/07	METROPOLITAN CAPITAL	044	AEO	B	28,000	17.15	6-Mar	7-Mar	1400.00 5.0
AO,	03/07	COUGAR TRADING	044	ANF	SS	10,000	74.06	10-Jan	10-Mar	500.00 5.0
AO,	03/07	JP MORGAN VENTURES	046	ANF	SS	12,000	73.21	10-Jan	10-Mar	600.00 5.0
AO,CRT	03/07	MORRISON & FRASIER	040	ANF	S	7,000	73.62	10-Jan	10-Mar	350.00 5.0
AO,	03/07	KACELA CAPITAL	034	BBBY	B	12,000	27.22	n/a	n/a	480.00 4.0
AO,	03/07	TRELLUS PARTNERS LP	028	BIG	SS	25,000	21.41	6-Mar	10-Mar	1250.00 5.0
AO,CXL	03/07	COUGAR TRADING	044	BLK	B	5,000	187.33	21-Nov	10-Mar	250.00 5.0
AO,	03/07	COUGAR TRADING	044	BLK	S	5,000	188.82	21-Nov	10-Mar	250.00 5.0
AO,	03/07	COUGAR TRADING	044	BLK	SS	5,000	184.06	21-Nov	10-Mar	250.00 5.0
AO,	03/07	SLS CAPITAL LLC	224	BYD	B	100	18.67	28-Feb	7-Mar	4.00 4.0
AO,	03/07	SLS CAPITAL LLC	224	BYD	S	361,900	18.87	28-Feb	7-Mar	14476.00 4.0
AO,	03/07	LOOMIS SALES/BOSTON	036	COH	SS	225,000	28.71	24-Oct	24-Jan	9000.00 4.0
AO,	03/07	METROPOLITAN CAPITAL	044	DSW	B	31,600	16.31	26-Oct	8-Feb	1580.00 5.0
AO,	03/07	BRENCOURT	028	EL	SS	25,000	43.76	4-Jan	4-Feb	1250.00 5.0
AO,	03/07	PHILADELPHIA FINANCIAL	033	EV	SS	81,798	30.21	9-Jan	10-Mar	4089.90 5.0
AO,	03/07	IRONBOUND CAPITAL	012	FOR	B	2,122	23.74	n/a	n/a	106.10 5.0
AO,	03/07	PHILADELPHIA FINANCIAL	033	GBL	SS	10,400	54.42	n/a	6-Mar	520.00 5.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/07	BUCK CAPITAL MGMT	001	GIL	B	10,000	34.71	22-Jan	10-Mar	400.00 4.0
AO,	03/07	BAIRD INVESTMENT	031	GS	B	2,150	162.93	n/a	10-Mar	107.50 5.0
AO,	03/07	BUCK CAPITAL MGMT	001	JCG	B	5,000	40.88	28-Nov	21-Feb	200.00 4.0
AO,CXL	03/07	BUCK CAPITAL MGMT	001	JNY	B	20,000	13.25	n/a	15-Feb	1000.00 5.0
AO,CRT	03/07	BUCK CAPITAL MGMT	001	JNY	B	20,000	13.25	n/a	15-Feb	800.00 4.0
AO,	03/07	WALKER SMITH	031	KND	S	64,200	21.23	n/a	n/a	3210.00 5.0
AO,	03/07	COUGAR TRADING	044	KSS	B	20,000	42.97	4-Jan	7-Mar	1000.00 5.0
AO,	03/07	JP MORGAN VENTURES	046	MW	S	20,000	21.29	n/a	n/a	1000.00 5.0
AO,	03/07	J L F ASSET MGMT	039	SBUX	SS	131,300	17.06	n/a	24-Apr	5252.00 4.0
AO,	03/07	DENVER INST. ADV	046	TASR	B	129,286	10.81	n/a	n/a	5171.44 4.0
AO,	03/07	WALKER SMITH	031	TIE	B	25,000	17.96	n/a	n/a	1250.00 5.0
AO,CRT	03/07	KACELA CAPITAL	034	TIF	S	12,100	37.32	14-Jan	8-Feb	484.00 4.0
AO,	03/07	BUCK CAPITAL MGMT	001	TWB	S	57,600	26.76	21-Feb	21-Feb	2304.00 4.0
AO,CXL	03/07	BUCK CAPITAL MGMT	001	TWB	S	50,500	26.74	21-Feb	21-Feb	2020.00 4.0
AO,	03/07	WASHINGTON ASSET	048	WFC	B	10,000	28.09	n/a	n/a	500.00 5.0
AO,CRT	03/07	BAYPOINT/PRIMEMOVERS	041	ZLC	SS	5,000	18.92	n/a	n/a	200.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CXL	03/07	BAYPOINT/PRIMEMOVERS	041	ZLC	SS	5,000	18.92	n/a	n/a

<b>TOTAL (AO, CXL, CRT):</b>								<b>54,464.94</b>	
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TOTAL (Trade Date):								75,083.45	
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TOTAL (AO, CXL, CRT):								54,464.94	
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<b>TOTAL (RUN DATE) / NFS:</b>								<b>129,548.39</b>	
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<b>MRI Securities with Trade Activity on 10-Mar.</b> <b>IVZ</b>
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<b>MRI Securities without Trade Activity on 10-Mar.</b> <b>PZN, WPL</b>
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<b>BRG comm. as a % of BCM Total Comm. paid.</b> <b>T-Date = 15%</b> <b>YTD = 26%</b>
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**