



Daily Compliance Activity Report

Trade Date: 08/07/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARROW HANLEY MEWHINNEY	096	ACF	S	600	24.78	n/a	n/a	0.00	0.0
MERRILL ASSET	032	AIC	S	54,100	4.75	n/a	n/a	2,705.00	5.0
BUCK CAPITAL MGMT	001	ANN	S	10,000	38.35	6-Jul	4-Aug	400.00	4.0
BINDER	007	BDK	B	100	68.95	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	BEN	B	5,000	93.40	3-Aug	8-Aug	250.00	5.0
BUCK CAPITAL MGMT	001	BID	S	20,000	28.32	n/a	n/a	800.00	4.0
BINDER	007	CACH	S	200	16.42	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	CAH	B	17,600	67.37	n/a	n/a	880.00	5.0
BINDER	007	CBK	B	200	27.72	1-Jun	4-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	CBK	S	5,000	27.78	1-Jun	4-Aug	200.00	4.0
MISSOURI VALLEY PART	046	CBK	S	40,000	27.58	1-Jun	4-Aug	2,000.00	5.0
SANDELL ADV	040	CBS	B	20,000	26.13	n/a	n/a	1,200.00	6.0
ARIENCE CAPITAL	036	CBT	B	12,700	30.83	28-Jun	3-Aug	635.00	5.0
GALLEON MGMT LP	033	CCU	S	55,000	28.47	n/a	n/a	2,750.00	5.0
GRANAHAN INV	039	CENT	S	15,300	43.26	3-May	3-Aug	765.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CHS	B	5,000	22.15	23-Jun	4-Aug	200.00	4.0
BUCK CAPITAL MGMT	001	CMN	S	10,000	13.79	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	COH	S	10,000	29.68	n/a	2-Aug	400.00	4.0
BUCK CAPITAL MGMT	001	DDS	S	15,000	30.63	n/a	n/a	600.00	4.0
GRANAHAN INV	039	DLTR	S	10,000	28.28	n/a	4-Aug	500.00	5.0
ZOLI	011	DSW	S	300	28.32	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DSW	S	10,000	28.71	n/a	n/a	400.00	4.0
REICH AND TANG	037	ESL	B	17,500	31.63	n/a	n/a	875.00	5.0
SANDELL ADV	040	ETM	S	5,000	23.15	n/a	n/a	300.00	6.0
STADIA CAPITAL	035	FD	S	45,000	35.03	12-Jun	7-Aug	1,800.00	4.0
THIRD COAST CAPITAL	035	FDS	S	30,000	43.57	n/a	n/a	1,500.00	5.0
GALLEON MGMT LP	033	FII	B	20,000	31.54	2-May	3-Aug	1,000.00	5.0
MATTHES CAPITAL MGMT	033	FRED	B	18,600	12.94	25-May	27-Jul	744.00	4.0
WESLEY CAPITAL	246	FRK	B	25,000	39.43	n/a	n/a	1,000.00	4.0
FINANCIAL STOCK LP	028	GBL	S	35,000	35.97	7-Aug	7-Aug	1,400.00	4.0
PHILADELPHIA FINANCIAL	031	GBL	S	15,000	37.27	7-Aug	7-Aug	750.00	5.0

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LEVIN CAPITAL STRATEGIES	012	GM	S	15,000	31.35	n/a	n/a	600.00	4.0
MKT MAKER	099	GROW	B	97	19.41	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GYMB	B	50,000	30.87	27-Jun	4-Aug	2,500.00	5.0
VINIK ASSET MGMT.	037	GYMB	S	25,000	30.85	27-Jun	4-Aug	1,000.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	10,000	26.61	n/a	26-Jun	400.00	4.0
BUCK CAPITAL MGMT	001	JCP	S	30,000	64.41	5-May	7-Aug	1,200.00	4.0
CAPITAL GROWTH MGMT.	241	JCP	S	30,000	64.78	5-May	7-Aug	1,200.00	4.0
BUCK CAPITAL MGMT	001	JNY	S	28,200	29.97	n/a	26-Jul	1,128.00	4.0
BUCK CAPITAL MGMT	001	LIZ	S	30,000	35.76	n/a	26-Jul	1,200.00	4.0
XYLEM GLOBAL	024	LPX	B	30,000	19.45	20-Jun	13-Jul	1,500.00	5.0
BUCK CAPITAL MGMT	001	MHK	S	30,000	69.40	n/a	n/a	1,200.00	4.0
CROWLEY	061	MIRWSB	B	1,387	10.23	n/a	n/a	0.00	0.0
WESLEY CAPITAL	246	MW	S	84,900	32.89	n/a	n/a	3,396.00	4.0
BARROW HANLEY MEWHINNEY	096	NEW	S	300	46.65	n/a	n/a	0.00	0.0
EMINENCE CAPITAL	038	PFGC	S	130,500	28.13	n/a	n/a	6,525.00	5.0
MKT MAKER	099	PSUN	S	100	15.52	3-Aug	7-Aug	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	PVH	B	5,000	34.75	8-Jun	8-Jun	200.00	4.0
GRANAHAN INV	039	PVH	B	25,900	34.61	8-Jun	8-Jun	1,295.00	5.0
BUCK CAPITAL MGMT	001	RL	S	10,000	57.94	16-Jun	3-Aug	400.00	4.0
STADIA CAPITAL	035	RL	S	20,000	57.73	16-Jun	3-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	10,000	14.75	n/a	n/a	400.00	4.0
BINDER	007	SBL	B	500	10.40	n/a	2-Aug	0.00	0.0
BINDER	007	SBUX	B	250	31.10	2-Aug	2-Aug	0.00	0.0
BINDER	007	SHW	S	100	51.07	30-Mar	20-Jul	0.00	0.0
SANDELL ADV	040	SIX	S	50,000	4.97	n/a	n/a	3,000.00	6.0
STANDARD PACIFIC CAPITAL	040	SYMC	S	100,000	17.11	2-Apr	2-Apr	5,000.00	5.0
ALLEN & CO	032	TEVA	S	143,000	33.48	7-Aug	8-Aug	5,720.00	4.0
RAMIUS CAP	040	TIN	B	20,000	44.18	17-Apr	13-Jul	1,000.00	5.0
INDEPENDENCE INV	039	TXN	S	185,100	29.92	n/a	n/a	7,404.00	4.0
ZOLI	011	UARM	S	100	37.64	n/a	n/a	0.00	0.0
MKT MAKER	099	USTR	B	100	49.77	n/a	4-Aug	0.00	0.0
MKT MAKER	099	USTR	S	100	50.82	n/a	4-Aug	0.00	0.0
SANDLER O'NEILL ASSET	031	WDR	B	25,000	21.40	25-Jul	7-Aug	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MKT MAKER	099	WRNC	S	1,500	18.49	9-May	3-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	WWW	S	45,600	25.48	8-Aug	8-Aug	1,824.00	4.0
CAPITAL GROWTH MGMT.	241	WYE	B	40,000	48.59	n/a	31-Mar	1,600.00	4.0
BUCK CAPITAL MGMT	001	ZQK	B	10,000	12.33	n/a	9-Jun	400.00	4.0
TOTAL:								76,596.00	

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.