



Daily Compliance Activity Report

Trade Date: 03/30/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	ADBE	B	200	41.56	n/a	n/a	10.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	10,000	75.63	2-Feb	21-Mar	400.00	4.0
AAD CAPITAL	048	AV	B	25,000	11.82	n/a	22-Mar	1,000.00	4.0
CIRCLE T PART	035	AXL	SS	10,100	27.59	n/a	n/a	418.00	4.5
BUCK CAPITAL MGMT	001	BBW	S	5,000	27.31	19-Mar	19-Mar	200.00	4.0
PATTEN & PATTEN	067	BBY	B	150	48.72	13-Dec	23-Mar	7.50	5.0
CAMBIAR CAP	046	BRL	B	25,000	45.90	1-Mar	26-Mar	1,000.00	4.0
BUCK CAPITAL MGMT	001	CBK	B	40,000	19.53	30-Mar	30-Mar	1,600.00	4.0
J L F ASSET MGMT	039	CHB	S	7,000	8.90	n/a	n/a	280.00	4.0
KORMAN	003	CHCG	B	5,000	6.16	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	CHS	B	10,000	24.37	27-Mar	27-Mar	400.00	4.0
COUGAR TRADING	044	CHS	SS	10,000	24.34	27-Mar	27-Mar	500.00	5.0
WAGONHOUND	048	CHS	S	10,000	24.29	27-Mar	27-Mar	400.00	4.0
MERRILL ASSET	032	CNB	S	46,700	24.70	n/a	n/a	2,335.00	5.0
NEUBERGER BERMAN	036	CRL	S	32,200	46.34	n/a	n/a	1,610.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KORMAN	003	CSCA	B	2,000	5.99	n/a	n/a	0.00	0.0
KORMAN	003	CXTI	B	10,000	4.51	n/a	n/a	0.00	0.0
MORGAN LEWIS/MLH	046	DELL	SS	50,000	23.16	n/a	n/a	2,500.00	5.0
MKT MAKER	099	EAGL	S	16,423	39.90	n/a	n/a	0.00	0.0
COUGAR TRADING	044	FDO	SS	5,000	29.59	3-Nov	29-Mar	250.00	5.0
JP MORGAN VENTURES	046	FDO	C	10,000	29.51	3-Nov	29-Mar	500.00	5.0
COUGAR TRADING	044	FD	S	5,000	44.98	26-Jan	9-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	GPI	B	20,000	39.86	n/a	n/a	800.00	4.0
AAD CAPITAL	048	GWV	B	44,000	76.96	26-Jan	12-Mar	1,760.00	4.0
PALMYRA CAPITAL	048	HANS	SS	25,000	38.13	n/a	n/a	1,250.00	5.0
ZOLIDIS	011	IPAR	B	500	20.84	n/a	n/a	0.00	0.0
RIVER RUN MGMT	031	ITCD	B	50,000	2.59	n/a	n/a	1,500.00	3.0
J L F ASSET MGMT	039	JCP	B	51,000	82.78	23-Feb	5-Mar	2,040.00	4.0
COUGAR TRADING	044	JWN	S	5,000	53.44	27-Feb	9-Mar	250.00	5.0
CUMBERLAND	038	JWN	S	20,000	53.40	27-Feb	9-Mar	800.00	4.0
BUCK	015	LESSM	S	10	2.10	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	LESSO	B	10	6.90	n/a	n/a	0.00	0.0
HOFFMAN	014	LGN	S	2,000	13.28	n/a	n/a	0.00	0.0
BLACKROCK/BOSTON	039	MATK	S	1,000	20.19	n/a	n/a	40.00	4.0
KENNEDY CAPITAL	046	MEDI	S	39,920	36.59	n/a	n/a	1,596.80	4.0
IRONBOUND CAPITAL	012	MEL	S	227,100	43.17	5-Dec	13-Mar	11,355.00	5.0
MILLENIUM PARTNERS	028	MWV	B	20,000	30.79	20-Mar	20-Mar	1,000.00	5.0
PATTEN & PATTEN	067	MWV	B	450	30.90	20-Mar	20-Mar	22.50	5.0
BUCK CAPITAL MGMT	001	NWY	S	5,000	15.69	23-Mar	23-Mar	200.00	4.0
KNOTT PARTNERS	044	PRX	B	25,000	24.92	30-Jan	30-Mar	1,250.00	5.0
SINCLAIR	031	PTEN	S	400	22.53	n/a	n/a	0.00	0.0
SSI INVESTORS	048	RL	B	20,692	87.63	2-Feb	16-Feb	827.68	4.0
KAICHER	064	SNCR	S	1,000	17.21	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	STX	B	23,035	23.20	n/a	n/a	1,151.75	5.0
BUCK	015	SXL	S	100	58.80	n/a	n/a	0.00	0.0
BLACKROCK/BOSTON	039	SYKE	B	500	18.26	n/a	n/a	10.00	2.0
CASTLE ARK	031	TJX	B	154,820	26.82	21-Mar	21-Mar	9,289.20	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	TJX	B	500	26.86	21-Mar	21-Mar	25.00	5.0
PAW PARTNERS	033	TPX	SS	15,400	26.28	n/a	n/a	770.00	5.0
LISTED ERR	099	TPX	B	100	26.45	n/a	n/a	0.00	0.0
LISTED ERR	099	TPX	SS	100	26.28	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	TROW	S	2,000	47.44	n/a	28-Mar	100.00	5.0
THE JAY GOLDMAN	034	TWB	B	40,000	35.91	22-Feb	22-Feb	1,600.00	4.0
LOOMIS SALES/BOSTON	036	TWC	S	30,000	37.49	n/a	n/a	1,200.00	4.0
PATTEN & PATTEN	067	UTX	B	150	65.05	n/a	n/a	7.50	5.0
SANDLER O'NEILL ASSET	031	WDR	B	13,100	23.23	5-Mar	28-Mar	655.00	5.0
MKT MAKER	099	XMSR	S	13,500	12.90	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	ZQK	B	10,100	11.45	9-Mar	13-Mar	404.00	4.0
TOTAL (Trade Date):								53,564.93	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/28	GENERAL ELECTIC CO (GEICZW59)	033	ABB	S	438	16.99	n/a	n/a	19.71 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICGEI3)	033	ABB	S	7,195	16.99	n/a	n/a	323.78 4.5
AO,	03/28	GENERAL ELECTIC CO (GEIC8XA5)	033	ABB	S	77	16.99	n/a	n/a	3.47 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICZW80)	033	ABB	S	497	16.99	n/a	n/a	22.37 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICGH16)	033	ABB	S	2,409	16.99	n/a	n/a	108.41 4.5
AO,	03/28	GENERAL ELECTIC CO (GEIC8X3Y)	033	ABB	S	517	16.99	n/a	n/a	23.27 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICZW4A)	033	ABB	S	521	16.99	n/a	n/a	23.45 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICZW34)	033	ABB	S	1,258	16.99	n/a	n/a	56.61 4.5
AO,	03/28	GENERAL ELECTIC CO (GEIC8Y4P)	033	ABB	S	5,107	16.99	n/a	n/a	229.82 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICZW35)	033	ABB	S	3,504	16.99	n/a	n/a	157.68 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICZW79)	033	ABB	S	717	16.99	n/a	n/a	32.27 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICZW60)	033	ABB	S	2,318	16.99	n/a	n/a	104.31 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICZQ50)	033	ABB	S	24,488	16.99	n/a	n/a	1101.96 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICGE30)	033	ABB	S	35,776	16.99	n/a	n/a	1609.92 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICZQ7D)	033	ABB	S	646	16.99	n/a	n/a	29.07 4.5
AO,	03/28	GENERAL ELECTIC CO (GEICZQ92)	033	ABB	S	784	16.99	n/a	n/a	35.28 4.5
AO,	03/29	CAPITAL GROWTH MGMT. (CAPGAMHERS)	241	CMI	B	600	144.97	n/a	n/a	30.00 5.0
AO,	03/29	CAPITAL GROWTH MGMT. (CAPGSKILL)	241	CMI	B	2,500	145.11	n/a	n/a	125.00 5.0
AO,	03/29	CAPITAL GROWTH MGMT. (CAPGBOSTON)	241	CMI	B	1,500	144.97	n/a	n/a	75.00 5.0
AO,CRT	03/29	MKT MAKER (MKT MAKER)	099	EAGL	B	16,423	39.84	n/a	n/a	0.00 0.0
AO,	03/29	MILLENIUM PARTNERS (MILP-IAN)	028	EAGL	B	33,577	39.84	n/a	n/a	1343.08 4.0
AO,	03/29	WILLIAMS/NEW MOUNTAIN (WTJM-NEWMO)	039	LINTA	B	175,000	23.85	n/a	n/a	7000.00 4.0
AO,	03/29	MKT MAKER (MKT MAKER)	099	LNCR	B	200	37.00	21-Dec	13-Feb	0.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	03/29	SANDELL ADV (SANLBS)	040	UIL	S	16,019	34.97	n/a	n/a	800.95	5.0
AO,CRT	03/29	SANDELL ADV (SANLBS)	040	UIL	S	16,000	34.97	n/a	n/a	800.00	5.0
AO,CXL	03/29	MKT MAKER (MKT MAKER)	099	VG	S	70	3.25	9-Mar	29-Mar	0.00	0.0
AO,CRT	03/29	MKT MAKER (MKT MAKER)	099	VG	S	70	3.25	9-Mar	29-Mar	0.00	0.0
AO,CRT	03/27	SATELLITE ASSET MGMT (SATECITI)	012	ZLC	B	8,700	26.02	n/a	n/a	435.00	5.0
AO,CXL	03/27	SATELLITE ASSET MGMT (SATEUBS/PA)	012	ZLC	B	8,700	26.02	n/a	n/a	435.00	5.0
AO,CRT	03/28	SATELLITE ASSET MGMT (SATECITI)	012	ZLC	B	11,300	26.04	n/a	n/a	452.00	4.0
AO,CXL	03/28	SATELLITE ASSET MGMT (SATEUBS/PA)	012	ZLC	B	11,300	26.04	n/a	n/a	452.00	4.0
TOTAL (AO, CXL, CRT) :										12,453.51	
TOTAL (Trade Date) :										53,564.93	
TOTAL (AO, CXL, CRT) :										12,453.51	
TOTAL (RUN DATE) / NFS:										66,018.44	

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION