



RR Commissions Summary [Chris Cattani]

Trade Date: 01/28/2014

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	01/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ARMATURE CAPITAL	041	0	0	0	0	0	0	0	0	0	0	79,007	0
BLACK CAPITAL(CALAMOS ASSET)	041	0	0	0	0	0	0	0	0	0	0	5,000	0
BRANT POINT CAPITAL	041	0	0	0	0	0	0	0	0	0	0	20,000	0
CADIAN CAPITAL	041	0	8,460	8,460	8,460	0	0	0	8,460	8,460	8,460	78,794	11
CAERUS GLOBAL	041	0	5,856	5,856	5,856	0	0	0	5,856	5,856	5,856	64,421	9
CALAMOS ADVISORS LLC	041	0	3,000	3,000	3,000	0	0	0	3,000	3,000	3,000	74,332	4
CENTURION PARTNERS	041	0	0	0	0	0	0	0	0	0	0	35,517	0
COBALT	041	4,000	7,000	7,000	7,000	0	0	0	7,000	7,000	7,000	95,295	7
COHE & STEERS	041	0	0	0	0	0	0	0	0	0	0	128,276	0
COLUMBIA MGMT	041	0	0	0	0	5,985	5,985	5,985	5,985	5,985	5,985	21,554	28
CORVEX CAPITAL	041	0	0	0	0	0	0	0	0	0	0	38,703	0
CR INTRINSIC/CHRIS C	041	0	3,675	3,675	3,675	0	0	0	3,675	3,675	3,675	32,607	11
CSFB GLOBAL PROPRIETARY	041	1,000	24,935	24,935	24,935	0	0	0	24,935	24,935	24,935	321,268	8
DECADE (ARETE) CHRIS C	041	0	0	0	0	0	0	0	0	0	0	34,211	0
DW INVESTMENT MGMT.	041	0	0	0	0	0	0	0	0	0	0	8,750	0
ETON PARK CAPITAL	041	0	0	0	0	0	0	0	0	0	0	50,000	0
FIRST NY SEC/CHRIS	041	150	1,650	1,650	1,650	0	0	0	1,650	1,650	1,650	41,607	4
GALT INVESTMENT	041	0	2,400	2,400	2,400	0	0	0	2,400	2,400	2,400	33,922	7
GREENLIGHT CAPITAL	041	0	0	0	0	0	0	0	0	0	0	43,750	0
HALCYON LLC	041	4,118	14,661	14,661	14,661	0	0	0	14,661	14,661	14,661	60,648	24
HIGHBRIDGE CAP	041	0	0	0	0	0	0	0	0	0	0	49,928	0
IONIC CAPITAL MANAGEMENT	041	0	0	0	0	0	0	0	0	0	0	25,323	0
JET CAPITAL/CHRIS	041	0	1,500	1,500	1,500	0	0	0	1,500	1,500	1,500	66,724	2
KAM ADVISORS LLC	041	0	5,637	5,637	5,637	0	0	0	5,637	5,637	5,637	126,068	4
KINGDON ASSET MGMT	041	1,000	1,200	1,200	1,200	0	0	0	1,200	1,200	1,200	73,812	2
LEVIN CAPITAL STRATEGIES	041	975	4,569	4,569	4,569	0	0	0	4,569	4,569	4,569	109,455	4
LUXOR CAPITAL	041	0	0	0	0	0	0	0	0	0	0	25,000	0
MARSHALL WACE	041	0	0	0	0	0	0	0	0	0	0	78,400	0
MILLENIUM CATTANI	041	0	0	0	0	0	0	0	0	0	0	10,220	0
MOORE CAPITAL	041	0	0	0	0	0	0	0	0	0	0	12,190	0
OMEGA ADVISORS	041	0	22,320	22,320	22,320	0	0	0	22,320	22,320	22,320	101,520	22
P.SCHOENFELD ASSET MGMT LLC	041	0	3,000	3,000	3,000	0	0	0	3,000	3,000	3,000	41,336	7
PAULSON & CO INC	041	0	0	0	0	0	0	0	0	0	0	46,913	0
RATAN CAPITAL MGMT LP	041	0	0	0	0	0	0	0	0	0	0	3,300	0
SAC CHRIS C	041	1,500	8,712	8,712	8,712	0	0	0	8,712	8,712	8,712	80,846	11
SCHOTTENFELD ASSOC	041	0	0	0	0	0	0	0	0	0	0	5,955	0
SCOGGIN LLC	041	0	8,322	8,322	8,322	0	0	0	8,322	8,322	8,322	36,887	23
SCOPUS FUND	041	0	42,171	42,171	42,171	0	0	0	42,171	42,171	42,171	387,223	11
SIGMA CAPITAL ASSOCIATES	041	0	8,100	8,100	8,100	0	0	0	8,100	8,100	8,100	169,335	5

SIMMONS VENTURES	041	0	0	0	0	0	0	0	0	0	0	0	5,753	0
THREE G	041	0	0	0	0	0	0	0	0	0	0	0	28,376	0
TIGER	041	0	0	0	0	0	0	0	0	0	0	0	65,000	0
TOURBILLION CAPITAL	041	0	0	0	0	15,000	15,000	15,000	15,000	15,000	15,000	15,000	10,000	150
TWIN CAPITAL	041	0	2,689	2,689	2,689	0	0	0	0	2,689	2,689	2,689	0	0
VELAZQUEZ/MILLENNIUM	041	0	0	0	0	0	0	0	0	0	0	0	29,053	0
YG PARTNERS LLC	041	0	1,400	1,400	1,400	0	0	0	0	1,400	1,400	1,400	800	175
TOTALS:		12,743	181,256	181,256	181,256	20,985	20,985	20,985	20,985	202,241	202,241	202,241		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	01/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (251)	251	0	0	0	0	0	0	0	0	0	0	0	0
CAISSE DEPOT PLACEMENT Q	295	0	0	0	0	0	0	0	0	0	0	32,897	0
GUGGENHEIM GLOBAL TRADING (GGT)	251	0	600	600	600	0	0	0	600	600	600	112,494	0
LONE PINE MGMT	257	0	6,733	6,733	6,733	0	0	0	6,733	6,733	6,733	20,000	0
LORD ABBETT & COMPANY	098	0	0	0	0	0	0	0	0	0	0	0	0
MOORE CAPITAL	257	0	0	0	0	0	0	0	0	0	0	12,190	0
SENATOR INVEST GROUP	287	0	37,468	37,468	37,468	0	0	0	37,468	37,468	37,468	196,530	0
SURVEYOR CAPITAL	251	2,000	8,312	8,312	8,312	0	0	0	8,312	8,312	8,312	280,170	0
VELAZQUEZ/MILLENNIUM	282	0	0	0	0	0	0	0	0	0	0	29,053	0
TOTALS:		2,000	53,113	53,113	53,113	0	0	0	53,113	53,113	53,113		