



# Daily Compliance Activity Report

Trade Date: 08/25/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
1798 CAP/LOMBARD ASSET	040	ACM	C	65,100	22.36	n/a	n/a	3,255.00	5.0
EXIS CAPITAL	044	AEO	B	5,000	13.02	n/a	6-Aug	250.00	5.0
EXIS CAPITAL	044	AEO	S	27,000	13.57	n/a	6-Aug	1,350.00	5.0
BRIGADE CAPITAL MGMT	029	AMD	SS	150,000	6.02	n/a	n/a	4,500.00	3.0
BENNETT LAWRENCE	028	AMED	C	4,700	24.28	n/a	n/a	188.00	4.0
CR INTRINIC INVESTMENT	044	AMLN	SS	25,000	19.86	n/a	n/a	875.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>B</b>	<b>10,000</b>	<b>34.81</b>	<b>n/a</b>	<b>18-Aug</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	ANF	SS	5,000	34.11	n/a	18-Aug	250.00	5.0
BALYASNY ASSET MGMT	044	ANN	SS	20,000	15.36	n/a	n/a	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>B</b>	<b>20,000</b>	<b>15.41</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
EXIS CAPITAL	044	ARO	SS	15,000	22.64	n/a	20-Aug	750.00	5.0
SIGMA CAPITAL ASSOCIATES	041	ARO	B	50,000	22.62	n/a	20-Aug	1,750.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>AVY</b>	<b>B</b>	<b>10,000</b>	<b>31.97</b>	<b>n/a</b>	<b>23-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BEBE</b>	<b>B</b>	<b>20,000</b>	<b>5.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BEBE</b>	<b>B</b>	<b>30,000</b>	<b>5.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>BEN</b>	<b>B</b>	<b>500</b>	<b>96.69</b>	<b>n/a</b>	<b>2-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BFK</b>	<b>S</b>	<b>3000</b>	<b>14.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>BGU</b>	<b>S</b>	<b>2500</b>	<b>41.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>BGZ</b>	<b>B</b>	<b>100</b>	<b>1.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BONT</b>	<b>S</b>	<b>10,000</b>	<b>6.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BUCY</b>	<b>C</b>	<b>45,000</b>	<b>53.70</b>	<b>n/a</b>	<b>26-Jul</b>	<b>non-brg</b>	<b>2.0</b>
<b>f</b> CHRISTOPHER ROBERT C	<b>FID</b>	<b>BWA</b>	<b>B</b>	<b>150</b>	<b>43.69</b>	<b>n/a</b>	<b>2-Aug</b>	<b>0.00</b>	<b>0.0</b>
HUNTER GLOBAL INVESTOR	067	BWA	SS	117,000	42.61	n/a	2-Aug	5,850.00	5.0
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>BWA</b>	<b>S</b>	<b>200</b>	<b>2.30</b>	<b>n/a</b>	<b>2-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BWS</b>	<b>S</b>	<b>15,000</b>	<b>11.40</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BWS</b>	<b>S</b>	<b>25,000</b>	<b>11.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BWS</b>	<b>S</b>	<b>30,000</b>	<b>11.54</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BWS</b>	<b>S</b>	<b>55,000</b>	<b>11.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
COOPER CREEK PART	028	BWS	B	25,000	11.04	n/a	n/a	1,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>BYD</b>	<b>C</b>	<b>50,000</b>	<b>7.21</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
OZ MGMT	040	CAT	C	47,085	64.13	n/a	23-Jul	1,412.55	3.0
BENNETT LAWRENCE	028	CLH	S	1,750	60.43	n/a	n/a	70.00	4.0
CASTLE ARK	031	COH	B	143,530	37.49	n/a	4-Aug	7,176.50	5.0
TROUBH PART	067	COH	B	25,000	37.38	n/a	4-Aug	1,250.00	5.0
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>CPN</b>	<b>S</b>	<b>3000</b>	<b>12.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAXON WOODS ADVISORS	242	CVA	S	25,700	14.43	n/a	n/a	1,028.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GOLD SACHS SPECIAL SIT	030	CVGI	B	20,800	8.85	n/a	n/a	1,040.00	5.0
ß BUCK CAPITAL MGMT		DAL	B	90,000	9.69	n/a	n/a	non-brg	3.0
f CHRISTOPHER ROBERT C	FID	DAN	B	300	10.19	n/a	2-Aug	0.00	0.0
HOPLITE MGMT	025	DD	C	32,717	39.46	n/a	24-Aug	1,635.85	5.0
ß BUCK CAPITAL MGMT		DG	C	70,000	27.73	n/a	8-Jun	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	DG	C	15,000	27.77	n/a	8-Jun	450.00	3.0
ß BUCK CAPITAL MGMT		DHI	B	21,154	10.13	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DHI	B	3,846	10.26	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DKS	SS	70,000	24.96	n/a	19-Aug	non-brg	4.0
ARMATURE CAPITAL	041	DOV	S	25,000	44.13	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		DRI	SS	20,000	40.89	n/a	8-Jul	non-brg	4.0
f DAVID GEORGE BUCK	FID	EEM	B	1500	39.86	n/a	n/a	0.00	0.0
f BARBARA S LEDDY	FID	EFT	S	450	15.16	n/a	n/a	0.00	0.0
SOUTH POINT CAP	067	FAS	SS	30,000	17.40	n/a	n/a	1,500.00	5.0
SOUTH POINT CAP	067	FISV	S	92,000	50.22	n/a	n/a	4,600.00	5.0
BUCK CAPITAL MGMT	001	FL	B	100,000	11.89	n/a	20-Aug	4,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TALKOT CAPITAL LLC	084	FL	B	10,000	11.75	n/a	20-Aug	300.00	3.0
THIRD COAST CAPITAL	035	FL	S	35,000	11.67	n/a	20-Aug	1,750.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>FOR</b>	<b>B</b>	<b>16,100</b>	<b>14.51</b>	<b>n/a</b>	<b>23-Aug</b>	<b>non-brg</b>	<b>2.5</b>
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>FOR</b>	<b>B</b>	<b>9000</b>	<b>14.80</b>	<b>n/a</b>	<b>23-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>F</b>	<b>S</b>	<b>20000</b>	<b>11.06</b>	<b>n/a</b>	<b>4-Aug</b>	<b>0.00</b>	<b>0.0</b>
PECONIC PARTNERS	036	F	S	722,200	11.05	n/a	4-Aug	36,110.00	5.0
SIGMA CAP BOBBY	044	F	B	50,000	11.38	n/a	4-Aug	1,750.00	3.5
<b>B</b> BUCK CAPITAL MGMT		<b>GES</b>	<b>S</b>	<b>70,000</b>	<b>38.31</b>	<b>n/a</b>	<b>19-Jul</b>	<b>non-brg</b>	<b>4.0</b>
THE JAY GOLDMAN	028	GET	S	5,000	26.00	n/a	n/a	200.00	4.0
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>GIL</b>	<b>S</b>	<b>700</b>	<b>27.46</b>	<b>n/a</b>	<b>13-Aug</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	GPS	B	5,000	16.83	n/a	n/a	250.00	5.0
EXIS CAPITAL	044	GYMB	SS	5,000	39.10	n/a	18-Aug	250.00	5.0
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>ICON</b>	<b>S</b>	<b>2500</b>	<b>15.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	IP	SS	4,000	20.33	n/a	28-Jul	200.00	5.0
CATAPULT	040	IP	S	6,000	20.33	n/a	28-Jul	300.00	5.0
<b>f</b> DAVID GEORGE BUCK	<b>FID</b>	<b>ISTA</b>	<b>S</b>	<b>5000</b>	<b>3.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>JCG</b>	<b>B</b>	<b>20,000</b>	<b>33.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> CHRISTOPHER ROBERT C	<b>FID</b>	<b>JCI</b>	<b>B</b>	<b>200</b>	<b>26.61</b>	<b>n/a</b>	<b>23-Jul</b>	<b>0.00</b>	<b>0.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	JOHN FRANCIS MCERLEA	FID	JCI	B	200	26.32	n/a	23-Jul	0.00	0.0
ß	BUCK CAPITAL MGMT		JNS	S	40,000	9.46	n/a	n/a	non-brg	3.0
	LAGRANGE CAP ADV	048	JNS	B	3,000	9.40	n/a	n/a	150.00	5.0
	EXIS CAPITAL	044	JWN	SS	5,000	30.02	n/a	13-Aug	250.00	5.0
ß	BUCK CAPITAL MGMT		KMX	C	50,000	20.72	n/a	n/a	non-brg	4.0
	M&I FUNDS	047	KO	B	7,637	55.40	n/a	22-Jul	305.48	4.0
	HUNTER GLOBAL INVESTOR	067	LEA	SS	68,000	73.54	n/a	4-Aug	3,400.00	5.0
ß	BUCK CAPITAL MGMT		LEN	B	17,500	12.80	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		LEN	B	7,500	13.09	n/a	n/a	non-brg	4.0
	HOLLIS CAPITAL	033	LHO	B	4,000	20.52	n/a	n/a	200.00	5.0
	BUCK CAPITAL MGMT	001	LL	B	10,000	19.77	n/a	n/a	400.00	4.0
ß	BUCK CAPITAL MGMT		LL	B	25,000	19.81	n/a	n/a	non-brg	4.0
	T. ROWE PRICE	033	LPX	B	194,653	6.48	n/a	5-Aug	3,893.06	2.0
	BROADARCH CAP/OLD NEWC	070	LVS	S	7,000	28.38	n/a	12-Aug	280.00	4.0
	MACKLOWE ASSET MGMT	041	MAR	B	45,000	31.83	n/a	n/a	1,800.00	4.0
ß	BUCK CAPITAL MGMT		MDC	B	10,000	26.85	n/a	n/a	non-brg	4.0
	BROADARCH CAP/OLD NEWC	070	MGM	B	15,300	9.15	n/a	12-Aug	612.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		MGM	C	50,000	9.17	n/a	12-Aug	non-brg	3.0
f DAVID GEORGE BUCK	FID	MRK	B	1000	34.56	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	MW	B	20,000	18.71	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	M	S	20,000	19.30	n/a	12-Aug	800.00	4.0
EXIS CAPITAL	044	M	B	5,000	19.83	n/a	12-Aug	250.00	5.0
ß BUCK CAPITAL MGMT		NBD CN	B	8,000	10.05	n/a	n/a	non-brg	4.0
f ANTHONY SUTERA	FID	NWY	B	5000	1.92	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	NWY	B	20,000	1.95	n/a	n/a	400.00	2.0
f MATTHEW FRANCIS BUCK	FID	PBCT	B	500	12.77	n/a	17-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		PCAR	B	10,000	40.61	n/a	28-Jul	non-brg	4.0
COHE & STEERS	041	PCL	S	17,439	33.42	n/a	27-Jul	697.56	4.0
ß BUCK CAPITAL MGMT		PERY	B	30,000	21.09	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	PKG	B	200	22.39	n/a	20-Jul	0.00	0.0
f KAREN F LEDDY	FID	PLCE	S	250	44.19	n/a	19-Aug	0.00	0.0
BNP PARIBAS SEC	040	PVH	B	5,000	46.27	n/a	19-Jul	200.00	4.0
BUCK CAPITAL MGMT	001	PVH	B	40,000	46.77	n/a	19-Jul	1,600.00	4.0
EXIS CAPITAL	044	PVH	B	15,000	46.38	n/a	19-Jul	750.00	5.0
SONTERRA CAP	041	PXP	SS	80,000	22.32	n/a	n/a	3,200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRAFELET & COMP.	028	QQQQ	C	250,000	43.77	n/a	n/a	7,500.00	3.0
EXIS CAPITAL	044	RL	B	6,100	79.80	n/a	5-Aug	305.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>RVI</b>	<b>S</b>	<b>45,000</b>	<b>8.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>RYL</b>	<b>B</b>	<b>20,000</b>	<b>16.31</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COHE & STEERS	041	RYN	S	41,948	46.53	n/a	9-Aug	1,677.92	4.0
<b>f</b> THOMAS JOHN LEDDY	<b>FID</b>	<b>SBUX</b>	<b>B</b>	<b>200</b>	<b>23.39</b>	<b>n/a</b>	<b>22-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>SKX</b>	<b>B</b>	<b>20,000</b>	<b>26.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> HARALAMBOS EFSTATHI	<b>FID</b>	<b>SKX</b>	<b>B</b>	<b>400</b>	<b>26.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WEISS PECK	040	SKX	B	76,790	26.91	n/a	n/a	3,839.50	5.0
SOUTH POINT CAP	067	SPY	B	25,000	104.95	n/a	n/a	1,250.00	5.0
BANK OF AMERICA/MERRILL	259	SSCC	B	25,000	17.26	n/a	3-Aug	0.00	0.0
CASTLEROCK	040	SSCC	S	192,346	17.12	n/a	3-Aug	7,693.84	4.0
CATAPULT	040	SSCC	B	24,000	17.16	n/a	3-Aug	1,200.00	5.0
ELM RIDGE ADVISORS	044	SSCC	B	215,000	17.14	n/a	3-Aug	8,600.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>STT</b>	<b>S</b>	<b>100</b>	<b>34.77</b>	<b>n/a</b>	<b>10-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>STT</b>	<b>S</b>	<b>100</b>	<b>34.77</b>	<b>n/a</b>	<b>10-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>STT</b>	<b>S</b>	<b>2,300</b>	<b>34.77</b>	<b>n/a</b>	<b>10-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>STT</b>	<b>S</b>	<b>200</b>	<b>34.77</b>	<b>n/a</b>	<b>10-Aug</b>	<b>non-brg</b>	<b>4.0</b>
M&I FUNDS	047	TAP	B	9,346	44.59	n/a	n/a	373.84	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	TIN	S	12,000	16.60	n/a	27-Jul	600.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>TLB</b>	<b>S</b>	<b>1700</b>	<b>10.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	TRLG	SS	16,000	19.64	n/a	n/a	800.00	5.0
HOPLITE MGMT	025	TYC	S	290,000	38.27	n/a	18-Aug	14,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>UAUA</b>	<b>B</b>	<b>35,000</b>	<b>19.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>20,000</b>	<b>31.54</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	VFC	SS	7,500	73.67	n/a	23-Jul	375.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WSO</b>	<b>B</b>	<b>5,000</b>	<b>52.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>SS</b>	<b>300,000</b>	<b>36.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>TOTAL (Trade Date):</b>							<b>156,794.10</b>		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/24	BALYASNY ASSET MGMT	044	ALV	SS	15,000	52.57	n/a	2-Aug	750.00 5.0
AO,	08/24	BARROW HANLEY MEWHINNEY	031	AMP	B	111,900	42.24	n/a	n/a	3916.50 3.5
AO,	08/24	T. ROWE PRICE	033	LPX	B	223,400	6.64	n/a	5-Aug	4468.00 2.0
AO,CXL	08/24	CONIFER/ISF	025	WHR	B	26,400	75.86	n/a	n/a	1056.00 4.0
AO,CRT	08/24	NEUSTRADA CAP LLC	085	WHR	B	26,400	75.86	n/a	n/a	792.00 3.0
AO,	08/24	BALYASNY ASSET MGMT	044	WY	C	10,000	15.52	n/a	5-Aug	500.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>9,370.50</b>

TOTAL (Trade Date):	156,794.10
TOTAL (AO, CXL, CRT):	9,370.50

<b>TOTAL (RUN DATE) / NFS:</b>	<b>166,164.60</b>
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MRI Securities with Trade Activity on 25-Aug. NONE
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MRI Securities without Trade Activity on 25-Aug. CIT
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 17%

YTD = 11%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**