



## Daily Compliance Activity Report

Trade Date: 06/10/2011

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RWC PARTNERS LTD	085	AA	S	57,700	15.29	n/a	n/a	1,731.00	3.0
CATAPULT	040	AKS	B	25,000	15.12	n/a	n/a	1,000.00	4.0
FRONTPOINT	266	AXL	S	43,150	10.04	n/a	25-May	1,726.00	4.0
FORTRESS INVESTMENT (US)	036	BPOP	SS	500,000	2.71	n/a	n/a	10,000.00	2.0
GLENHILL CAPITAL	036	BYI	B	65,050	35.79	n/a	11-May	3,252.50	5.0
PZENA INV ADV	044	C	B	1,525	37.46	n/a	2-Jun	53.38	3.5
SANDLER O'NEILL ASSET	031	DFS	B	14,597	22.75	n/a	8-Jun	729.85	5.0
ROYCE TRADING	012	FINL	B	39,228	21.08	n/a	10-May	1,961.40	5.0
ROSEDALE CAPITAL	046	F	B	40,000	13.51	n/a	2-Jun	1,600.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>10,000</b>	<b>41.48</b>	<b>n/a</b>	<b>n/a</b>	<b>300.00</b>	<b>3.0</b>
CATAPULT	040	HON	SS	5,000	56.10	n/a	3-Jun	200.00	4.0
GREEN ARROW DOUG	040	IWM	SS	25,000	78.17	n/a	n/a	1,250.00	5.0
ARMATURE CAPITAL	041	JCI	B	60,000	35.91	n/a	10-Jun	2,400.00	4.0
LEVIN CAPITAL STRATEGIES	012	LEA	S	7,500	48.00	n/a	2-May	225.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	LTD	B	10,000	35.89	n/a	n/a	300.00	3.0
KACELA CAPITAL	034	MGM	S	54,000	12.40	n/a	9-Jun	2,160.00	4.0
SONTERRA CAP	041	MMI	SS	40,000	23.45	n/a	n/a	1,600.00	4.0
CSFB GLOBAL PROPRIETARY	041	MWV	B	35,000	32.27	n/a	27-Apr	1,750.00	5.0
THE JAY GOLDMAN	028	M	B	20,000	27.37	n/a	3-Jun	800.00	4.0
RESERVOIR CAPITAL GROUP LLC091		NFG	B	32,700	67.21	n/a	n/a	981.00	3.0
BALLAST CAP	040	NUE	B	13,800	40.29	n/a	n/a	690.00	5.0
WOOSTER CAPITAL	035	PCAR	B	25,000	46.08	n/a	20-Apr	1,250.00	5.0
TRELLUS PARTNERS LP	028	PCH	B	30,000	34.11	n/a	3-Jun	1,500.00	5.0
STELLIAM INV ADV	034	PLD	B	15,000	32.16	n/a	n/a	750.00	5.0
SIGMA CAPITAL ASSOCIATES	041	PSS	SS	31,800	14.06	n/a	n/a	1,113.00	3.5
PAULSON & CO INC	041	RIG	S	250,000	63.60	n/a	n/a	10,000.00	4.0
BALYASNY ASSET MGMT	044	RL	SS	2,000	120.04	n/a	26-May	100.00	5.0
HAHN CAPITAL MANAGEMENT	084	RS	B	25,889	47.69	n/a	n/a	1,294.45	5.0
STELLIAM INV ADV	034	SYI	B	25,000	30.55	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	TRW	B	79,910	52.19	n/a	n/a	3,196.40	4.0
COUGAR TRADING	044	UFI	S	5,000	11.89	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	VFC	SS	2,000	92.07	n/a	2-May	100.00	5.0
ACK ASSET	028	WRC	S	25,000	47.60	n/a	6-May	750.00	3.0
<b>TOTAL (Trade Date) :</b>								<b>56,263.98</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/09	SAC TRADING TOM LEDDY (SACHMLLEDY)	035	EXPR	S	15,000	19.80	n/a	n/a	0.00	0.0
AO,CRT	06/09	SAC TRADING TOM LEDDY (SACHMLLEDY)	035	EXPR	S	15,000	19.80	n/a	n/a	525.00	3.5
AO,CXL	06/09	PERRY PARTNERS (PERRGOLDMA)	085	HTZ	S	75,000	14.45	n/a	n/a	3750.00	5.0
AO,CRT	06/09	PERRY PARTNERS (PERRGOLDMA)	085	HTZ	S	75,000	14.45	n/a	n/a	3000.00	4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-225.00</b>	

TOTAL (Trade Date) :	56,263.98
TOTAL (AO, CXL, CRT) :	-225.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>56,038.98</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION