



## Daily Compliance Activity Report

Trade Date: 06/16/2009

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	ABT	B	180	44.84	n/a	22-Apr	9.00	5.0
REINHART & MAHONEY	031	ACS	B	190	45.41	n/a	n/a	9.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>S</b>	<b>500,000</b>	<b>13.69</b>	<b>20-Apr</b>	<b>5-Jun</b>	<b>20,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	AEO	B	1,700	13.40	20-Apr	5-Jun	85.00	5.0
SANDELL ADV	040	AES	SS	25,000	10.31	n/a	n/a	1,000.00	4.0
REINHART & MAHONEY	031	AFG	B	300	21.78	n/a	n/a	15.00	5.0
LAGRANGE CAP ADV	048	ALGT	B	10,000	36.43	n/a	n/a	500.00	5.0
REINHART & MAHONEY	031	AMGN	B	100	51.37	n/a	26-Jan	5.00	5.0
JP MORGAN VENTURES	046	AMZN	B	15,000	82.30	n/a	n/a	750.00	5.0
REINHART & MAHONEY	031	APOL	B	70	63.75	n/a	n/a	3.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>164,885</b>	<b>34.64</b>	<b>n/a</b>	<b>5-Jun</b>	<b>6,595.40</b>	<b>4.0</b>
DIAMONDBACK CAP MGMT	232	ARO	B	50,000	34.10	n/a	5-Jun	2,500.00	5.0
CAVU CAP ADV	028	ATMI	S	29,300	15.56	n/a	n/a	1,465.00	5.0
REINHART & MAHONEY	031	ATVI	B	300	12.36	n/a	n/a	15.00	5.0
KLEINHEINZ CAP PART	031	AVP	S	50,000	25.70	2-Jun	2-Jun	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK LEDDY	035	AXL	B	10,000	3.39	n/a	10-Jun	600.00	6.0
TRELLUS PARTNERS LP	028	AXL	B	30,000	3.54	n/a	10-Jun	900.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>B</b>	<b>110,000</b>	<b>36.79</b>	<b>n/a</b>	<b>27-Jul</b>	<b>4,400.00</b>	<b>4.0</b>
NEUBERGER BERMAN	036	BBY	B	77,900	36.46	n/a	27-Jul	3,505.50	4.5
SAC TRADING HOWARD	038	BBY	SS	35,000	35.91	n/a	27-Jul	1,400.00	4.0
REINHART & MAHONEY	031	BRKB	B	32,912.00		n/a	n/a	0.15	5.0
DSM CAPITAL PARTNERS	032	CHD	B	5,055	51.27	27-Apr	14-May	252.75	5.0
JP MORGAN VENTURES	046	CLX	SS	15,000	55.69	15-Jun	15-Jun	750.00	5.0
REINHART & MAHONEY	031	CSCO	B	125	19.18	n/a	22-Mar	6.25	5.0
REINHART & MAHONEY	031	CVS	B	250	30.06	n/a	n/a	12.50	5.0
SANDLER O'NEILL ASSET	031	DFS	S	16,639	9.30	n/a	16-Jun	499.17	3.0
<b>MKT MAKER</b>	<b>099</b>	<b>DKS</b>	<b>S</b>	<b>2,096</b>	<b>16.32</b>	<b>27-Apr</b>	<b>20-May</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	036	DLLR	B	17,711	14.07	n/a	n/a	797.00	4.5
STADIA CAPITAL	035	ERTS	B	11,900	21.71	n/a	n/a	476.00	4.0
RBC CAPITAL MARKETS	040	FDO	B	10,000	28.43	5-Mar	9-Jun	400.00	4.0
REINHART & MAHONEY	031	FISV	B	200	45.99	n/a	n/a	10.00	5.0
CRAMER ROSENTHAL/SCOTT	012	FO	SS	10,600	35.82	n/a	n/a	424.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	GE	B	300	12.85	n/a	n/a	15.00	5.0
REINHART & MAHONEY	031	GLD	B	100	91.75	n/a	n/a	5.00	5.0
SCOPUS FUND	041	GPI	S	31,855	21.93	n/a	29-Apr	1,592.75	5.0
DSG CAPITAL	225	HAR	B	7,500	20.49	10-Jun	16-Jun	375.00	5.0
REINHART & MAHONEY	031	HCC	B	200	24.17	n/a	n/a	10.00	5.0
JMP ASSET MGMT	210	HOTT	B	14,000	7.10	n/a	30-Jan	560.00	4.0
REINHART & MAHONEY	031	HPQ	B	150	36.95	n/a	n/a	7.50	5.0
REINHART & MAHONEY	031	IBM	B	100	107.65	n/a	n/a	5.00	5.0
RBC CAPITAL MARKETS	040	IGT	B	15,000	16.04	n/a	27-Apr	600.00	4.0
LISTED ERR	099	IGT	B	15,000	16.00	n/a	27-Apr	0.00	0.0
LISTED ERR	099	IGT	SS	15,000	16.00	n/a	27-Apr	0.00	0.0
REINHART & MAHONEY	031	INTC	B	200	15.95	n/a	n/a	10.00	5.0
SCOPUS FUND	041	IP	B	10,000	14.56	n/a	1-May	500.00	5.0
REINHART & MAHONEY	031	IRM	B	150	27.33	n/a	n/a	7.50	5.0
NEUBERGER BERMAN	036	ISLE	B	119,600	11.52	n/a	26-Jan	5,382.00	4.5
MKT MAKER	099	ISLE	S	1,800	11.21	n/a	26-Jan	0.00	0.0
M&I FUNDS	256	IVZ	S	40,000	17.63	5-Jun	15-Jun	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	IVZ	B	225	17.45	5-Jun	15-Jun	11.25	5.0
UBS OCONNOR	031	IVZ	S	120,000	17.65	5-Jun	15-Jun	3,600.00	3.0
REINHART & MAHONEY	031	IWC	B	125	32.50	n/a	n/a	6.25	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>10,000</b>	<b>24.09</b>	<b>n/a</b>	<b>30-Jan</b>	<b>400.00</b>	<b>4.0</b>
REINHART & MAHONEY	031	JNJ	B	275	54.88	n/a	22-Apr	13.75	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>S</b>	<b>20,000</b>	<b>11.25</b>	<b>n/a</b>	<b>30-Apr</b>	<b>800.00</b>	<b>4.0</b>
REINHART & MAHONEY	031	JPM	B	125	33.65	16-Jan	12-Jun	6.25	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>5,000</b>	<b>44.13</b>	<b>8-May</b>	<b>5-Jun</b>	<b>200.00</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	KSS	B	10,000	43.76	8-May	5-Jun	400.00	4.0
KEEFE BRUYETTE WOODS A	045	LAZ	SS	4,209	27.91	n/a	12-Jun	210.45	5.0
RBC CAPITAL MARKETS	040	LOW	SS	10,000	19.17	12-May	18-May	400.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>MAR</b>	<b>SS</b>	<b>20,000</b>	<b>22.40</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	MAR	SS	15,000	22.56	n/a	n/a	600.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>MAR</b>	<b>B</b>	<b>4,100</b>	<b>22.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>MAR</b>	<b>S</b>	<b>4,100</b>	<b>22.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RBC CAPITAL MARKETS	040	MCD	B	10,000	57.29	n/a	11-Jun	400.00	4.0
REINHART & MAHONEY	031	MCD	B	75	57.34	n/a	11-Jun	3.75	5.0
REINHART & MAHONEY	031	MDT	B	125	32.54	n/a	22-Apr	6.25	5.0
ROTHSCHILD ASSET	040	MLI	B	19,470	21.04	n/a	22-Apr	876.15	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	MSFT	B	600	23.51	n/a	3-Apr	30.00	5.0
STADIA CAPITAL	035	MSFT	S	47,200	23.85	n/a	3-Apr	1,888.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>M</b>	<b>B</b>	<b>10,000</b>	<b>11.27</b>	<b>n/a</b>	<b>5-Jun</b>	<b>0.00</b>	<b>0.0</b>
REINHART & MAHONEY	031	NTRS	B	100	53.11	22-Apr	12-Jun	5.00	5.0
REINHART & MAHONEY	031	NU	B	400	21.75	n/a	n/a	20.00	5.0
DALTON GREINER	012	ODC	S	19,770	14.21	n/a	n/a	988.50	5.0
DLAT	012	ODC	S	230	14.21	n/a	n/a	11.50	5.0
REINHART & MAHONEY	031	PEP	B	100	52.47	n/a	n/a	5.00	5.0
REINHART & MAHONEY	031	PG	B	100	50.18	5-Jun	5-Jun	5.00	5.0
REINHART & MAHONEY	031	PPDI	B	150	21.86	n/a	n/a	7.50	5.0
REINHART & MAHONEY	031	RGA	B	355	34.19	n/a	n/a	17.75	5.0
RAINIER INV MGMT	035	RJF	S	75,000	17.03	n/a	n/a	3,750.00	5.0
SCOPUS FUND	041	RYN	B	10,000	36.59	n/a	1-May	500.00	5.0
SANDELL ADV	040	SFD	B	18,783	10.64	n/a	n/a	751.32	4.0
REINHART & MAHONEY	031	SHPGY	B	185	40.21	20-Feb	16-Jun	9.25	5.0
RBC CAPITAL MARKETS	040	SPLS	B	10,000	20.41	n/a	27-Jul	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RAINIER INV MGMT	035	STT	B	142,080	45.97	n/a	n/a	5,683.20	4.0
SCOPUS FUND	041	TEN	S	60,000	10.41	n/a	n/a	3,000.00	5.0
REINHART & MAHONEY	031	TEVA	B	100	47.92	18-Feb	16-Jun	5.00	5.0
BUCK CAPITAL MGMT	001	TGT	B	10,000	38.69	25-Feb	9-Jun	400.00	4.0
REINHART & MAHONEY	031	TMO	B	110	40.28	n/a	n/a	5.50	5.0
TRELLUS PARTNERS LP	028	TWI	B	60,300	8.70	n/a	n/a	3,015.00	5.0
ENTRUST CAPITAL	040	TXRH	B	137,983	10.50	n/a	n/a	6,899.15	5.0
REINHART & MAHONEY	031	TYC	B	150	26.57	1-May	1-May	7.50	5.0
SACC-SCHWARTZ	044	VALE	B	50,000	19.57	n/a	n/a	2,000.00	4.0
REINHART & MAHONEY	031	WMT	B	125	48.33	11-May	9-Jun	6.25	5.0
RBC CAPITAL MARKETS	040	WYNN	SS	10,000	36.34	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	YUM	SS	100,000	33.77	n/a	11-Jun	4,000.00	4.0
TOTAL (Trade Date):								103,099.99	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/12	LISTED ERR (LISTED ERR)	099	M	S	100	12.00	n/a	5-Jun	0.00 0.0
AO,	06/12	LISTED ERR (LISTED ERR)	099	M	S	9,900	11.98	n/a	5-Jun	0.00 0.0
AO,CXL	06/15	SCOPUS FUND (SCOPBANC O)	041	PKG	B	4,400	15.79	8-Apr	21-Apr	220.00 5.0
AO,CRT	06/15	SCOPUS FUND (SCOPBANC O)	041	PKG	B	5,504	15.79	8-Apr	21-Apr	275.20 5.0
AO,CXL	06/15	SCOPUS FUND (SCOPUBS)	041	PKG	B	15,600	15.79	8-Apr	21-Apr	780.00 5.0
AO,CRT	06/15	SCOPUS FUND (SCOPUBS)	041	PKG	B	14,496	15.79	8-Apr	21-Apr	724.80 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>0.00</b>

TOTAL (Trade Date) :	103,099.99
TOTAL (AO, CXL, CRT) :	0.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>103,099.99</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION