



Daily Compliance Activity Report

Trade Date: 03/02/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. |
|-------------------|------|--------|-----|---------|--------|--------|----------|--------------|
| BUCK CAPITAL MGMT | | 494HK | B | 500,000 | 3.19 | n/a | n/a | non-brg 0.6 |
| BUCK CAPITAL MGMT | | AEOS | B | 5,000 | 29.93 | 4-Jan | 1-Mar | non-brg 5.0 |
| TRIBECA | 038 | AEOS | B | 7,600 | 30.08 | 4-Jan | 1-Mar | 304.00 4.0 |
| T. ROWE PRICE | 033 | AMG | B | 11,200 | 107.68 | 25-Jan | 5-Mar | 448.00 4.0 |
| TRIBECA | 038 | AMZN | SS | 4,400 | 38.08 | n/a | n/a | 176.00 4.0 |
| BUCK CAPITAL MGMT | 001 | ANF | B | 35,000 | 75.92 | 2-Feb | 22-Feb | 1,400.00 4.0 |
| LEDDY | 035 | ANN | S | 500 | 35.19 | 5-Jan | 16-Feb | 0.00 0.0 |
| LEDDY | 035 | APC | B | 400 | 39.50 | 27-Feb | 27-Feb | 0.00 0.0 |
| TRIBECA | 038 | APOL | B | 5,700 | 46.61 | n/a | n/a | 228.00 4.0 |
| BUCK CAPITAL MGMT | | ARO | S | 15,000 | 36.65 | 23-Oct | 9-Feb | non-brg 5.0 |
| TRIBECA | 038 | ARO | B | 9,400 | 36.01 | 23-Oct | 9-Feb | 376.00 4.0 |
| WYCKOFF | 013 | AVP | S | 300 | 37.05 | 7-Feb | 28-Feb | 0.00 0.0 |
| SCHEINER | 037 | AVZ | S | 3,500 | 23.08 | 13-Feb | 5-Mar | 0.00 0.0 |
| BUCK | 015 | BAC | S | 650 | 50.18 | 11-Jan | 28-Feb | 0.00 0.0 |
| BUCK CAPITAL MGMT | | BBW | B | 5,000 | 26.20 | 8-Jan | 21-Feb | non-brg 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------------|------------|-------------|----------|----------------|--------------|---------------|---------------|------------------|------------|
| COUGAR TRADING | 044 | BBW | B | 10,000 | 26.59 | 8-Jan | 21-Feb | 500.00 | 5.0 |
| SCHEINER | 037 | BKC | C | 2,000 | 20.79 | 30-Jan | 30-Jan | 0.00 | 0.0 |
| DAVIDSON KEMPNER ADVISOR | 217 | BMET | B | 55,000 | 42.32 | n/a | n/a | 2,750.00 | 5.0 |
| COUGAR TRADING | 044 | BONT | SS | 10,000 | 48.18 | 9-Feb | 9-Feb | 500.00 | 5.0 |
| TRIBECA/DOUG | 040 | BRO | B | 10,000 | 27.60 | n/a | n/a | 400.00 | 4.0 |
| SANDLER O'NEILL ASSET | 031 | BSC | B | 2,900 | 147.97 | 15-Dec | 28-Feb | 145.00 | 5.0 |
| TRIBECA | 038 | BUD | B | 6,400 | 48.48 | n/a | n/a | 256.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | BWS | B | 10,000 | 50.06 | n/a | n/a | 400.00 | 4.0 |
| B BUCK CAPITAL MGMT | | CACH | S | 10,000 | 21.91 | n/a | n/a | non-brg | 5.0 |
| BARR | 096 | CCC | S | 2,000 | 6.60 | n/a | n/a | 0.00 | 0.0 |
| HERMES | 048 | CCMP | S | 12,000 | 31.85 | n/a | 2-Mar | 600.00 | 5.0 |
| MANNING & NAPIER | 034 | CCMP | S | 25,000 | 31.86 | n/a | 2-Mar | 1,000.00 | 4.0 |
| HIGHFIELDS CAP MGMT | 039 | CCU | B | 50,000 | 36.00 | n/a | n/a | 2,500.00 | 5.0 |
| LEDDY | 035 | CC | B | 500 | 17.89 | 20-Dec | 23-Feb | 0.00 | 0.0 |
| SCHEINER | 037 | CC | S | 1,500 | 18.24 | 20-Dec | 23-Feb | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | CLE | S | 250,500 | 31.83 | 3-Nov | 23-Feb | 10,020.00 | 4.0 |
| B BUCK CAPITAL MGMT | | CLE | S | 160,200 | 31.64 | 3-Nov | 23-Feb | non-brg | 5.0 |

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|--------------------------|------|--------|-----|--------|-------|--------|----------|-------------|-----|
| DAVIDSON KEMPNER ADVISOR | 217 | CMX | B | 70,000 | 60.88 | n/a | n/a | 3,500.00 | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | COH | B | 30,000 | 47.76 | 23-Feb | 23-Feb | 1,500.00 | 5.0 |
| ß BUCK CAPITAL MGMT | | COLM | C | 10,000 | 62.78 | n/a | n/a | non-brg | 5.0 |
| Õ Doug Rogers | | CPN | B | 100 | 89.00 | n/a | n/a | 0.00 | 0.0 |
| TRIBECA | 038 | CPO | B | 9,100 | 31.15 | n/a | n/a | 364.00 | 4.0 |
| GALLEON MGMT LP | 033 | CSX | S | 5,000 | 36.23 | n/a | n/a | 250.00 | 5.0 |
| DAVIDSON KEMPNER ADVISOR | 217 | CVS | SS | 38,500 | 31.05 | n/a | n/a | 1,925.00 | 5.0 |
| ß BUCK CAPITAL MGMT | | CWTR | B | 25,000 | 17.89 | n/a | n/a | non-brg | 5.0 |
| ß BUCK CAPITAL MGMT | | DBRN | B | 15,000 | 20.58 | n/a | n/a | non-brg | 5.0 |
| ß BUCK CAPITAL MGMT | | DECK | S | 5,000 | 67.00 | n/a | n/a | non-brg | 5.0 |
| LEDDY | 035 | DIS | S | 400 | 34.00 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | DKS | B | 5,000 | 50.94 | n/a | n/a | 200.00 | 4.0 |
| CIBC ADV. | 060 | EDS | S | 15,000 | 27.61 | n/a | n/a | 600.00 | 4.0 |
| CIBC ADV. | 060 | EK | S | 10,000 | 23.77 | n/a | n/a | 400.00 | 4.0 |
| DAVIDSON KEMPNER ADVISOR | 217 | ESRX | SS | 14,000 | 73.69 | n/a | n/a | 700.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------|------|--------|-----|---------|--------|--------|----------|-------------|-----|
| WAGONHOUND | 048 | EWS | S | 43,400 | 11.48 | n/a | n/a | 1,736.00 | 4.0 |
| ⚡ BUCK CAPITAL MGMT | | FDO | C | 50,000 | 28.82 | 3-Nov | 9-Feb | non-brg | 5.0 |
| BUCK CAPITAL MGMT | 001 | GCO | B | 5,000 | 38.72 | n/a | 10-Jan | 200.00 | 4.0 |
| CIBC ADV. | 060 | GENZ | S | 15,000 | 60.89 | n/a | n/a | 600.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | GES | B | 5,000 | 80.02 | n/a | n/a | 200.00 | 4.0 |
| ⚡ BUCK CAPITAL MGMT | | GIII | B | 4,000 | 20.60 | n/a | n/a | non-brg | 1.0 |
| ⚡ BUCK CAPITAL MGMT | | GIL | B | 20,000 | 51.05 | n/a | n/a | non-brg | 5.0 |
| ⚡ BUCK CAPITAL MGMT | | GM | C | 10,000 | 31.17 | n/a | n/a | non-brg | 5.0 |
| BUCK CAPITAL MGMT | 001 | GPS | B | 20,000 | 18.52 | n/a | 2-Mar | 800.00 | 4.0 |
| ⚡ BUCK CAPITAL MGMT | | GPS | C | 20,000 | 18.31 | n/a | 2-Mar | non-brg | 5.0 |
| MERRILL ASSET | 032 | GS | S | 114,200 | 199.26 | 13-Dec | 28-Feb | 5,710.00 | 5.0 |
| SCHEINER | 037 | GWW | S | 650 | 76.87 | 26-Jan | 26-Jan | 0.00 | 0.0 |
| PROSPECTOR PARTNERS LLC | 036 | HE | B | 53,600 | 26.20 | n/a | n/a | 2,144.00 | 4.0 |
| LUCHANSKY | 036 | HMA | B | 500 | 10.28 | 22-Feb | 22-Feb | 0.00 | 0.0 |
| BUCK | 015 | IBN | B | 700 | 37.79 | n/a | n/a | 0.00 | 0.0 |
| WHEELER | 008 | INTC | B | 15,000 | 19.48 | n/a | n/a | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------------|------------|---------------|----------|---------------|--------------|---------------|---------------|----------------|------------|
| WAGONHOUND | 048 | ISE | B | 7,000 | 45.30 | 26-Oct | 1-Mar | 280.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | JCG | B | 5,000 | 35.93 | 25-Jan | 25-Jan | 200.00 | 4.0 |
| COUGAR TRADING | 044 | JCG | S | 15,000 | 35.85 | 25-Jan | 25-Jan | 750.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | JCP | B | 10,000 | 79.32 | 23-Feb | 23-Feb | 400.00 | 4.0 |
| EGS ASSOCIATES LP | 091 | JNY | B | 3,000 | 31.97 | n/a | 15-Feb | 180.00 | 6.0 |
| CATAPULT | 040 | JPM | B | 15,000 | 48.42 | n/a | 16-Feb | 750.00 | 5.0 |
| B BUCK CAPITAL MGMT | | KBLH | B | 18,500 | 5.59 | n/a | n/a | non-brg | 4.0 |
| B BUCK CAPITAL MGMT | | KCP | S | 20,000 | 25.13 | n/a | 28-Feb | non-brg | 5.0 |
| ROSENBERG | 034 | KCP | B | 300 | 25.11 | n/a | 28-Feb | 0.00 | 0.0 |
| GALLEON MGMT LP | 033 | KNL | SS | 10,000 | 23.49 | n/a | n/a | 500.00 | 5.0 |
| J L F ASSET MGMT | 039 | LTD | SS | 23,100 | 26.58 | 2-Mar | 2-Mar | 924.00 | 4.0 |
| SCHEINER | 037 | LVLIT | S | 3,200 | 6.41 | n/a | 12-Feb | 0.00 | 0.0 |
| WHEELER | 008 | MAG | B | 10,000 | 4.85 | n/a | n/a | 0.00 | 0.0 |
| B BUCK CAPITAL MGMT | | MBFGIM | B | 20,000 | 29.18 | n/a | n/a | non-brg | 5.8 |
| LEDY | 035 | MIR | B | 263 | 37.25 | n/a | n/a | 0.00 | 0.0 |
| GALLEON MGMT LP | 033 | MLHR | SS | 5,000 | 37.56 | n/a | n/a | 250.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|---------------------------|------|--------|-----|---------|-------|--------|----------|-------------|-----|
| SCHEINER | 037 | MLI | S | 1,500 | 29.41 | 6-Feb | 28-Feb | 0.00 | 0.0 |
| SINCLAIR | 031 | MRK | S | 200 | 44.25 | n/a | n/a | 0.00 | 0.0 |
| GRISANTTI BROWN & PARTNER | 048 | MU | B | 20,000 | 12.02 | n/a | n/a | 1,000.00 | 5.0 |
| CIBC ADV. | 060 | MYL | SS | 100,000 | 19.84 | n/a | 28-Feb | 4,000.00 | 4.0 |
| ß BUCK CAPITAL MGMT | | NEXC | B | 5,000 | 10.30 | n/a | n/a | non-brg | 5.0 |
| BUCK CAPITAL MGMT | 001 | NLS | B | 5,000 | 16.90 | n/a | n/a | 200.00 | 4.0 |
| ß BUCK CAPITAL MGMT | | NWY | S | 30,000 | 14.43 | 1-Dec | 16-Feb | non-brg | 5.0 |
| SCHEINER | 037 | OXM | S | 1,000 | 48.50 | n/a | n/a | 0.00 | 0.0 |
| S.A.C. CAPITAL MGMT. | 044 | PKG | B | 25,000 | 24.83 | 27-Oct | 24-Jan | 1,250.00 | 5.0 |
| COUGAR TRADING | 044 | PSUN | B | 5,000 | 18.04 | n/a | 21-Feb | 250.00 | 5.0 |
| J L F ASSET MGMT | 039 | PSUN | SS | 34,400 | 17.82 | n/a | 21-Feb | 1,376.00 | 4.0 |
| SCHEINER | 037 | QID | B | 4,000 | 56.56 | n/a | n/a | 0.00 | 0.0 |
| ß BUCK CAPITAL MGMT | | RCII | B | 2,700 | 28.31 | n/a | n/a | non-brg | 5.0 |
| ß BUCK CAPITAL MGMT | | RCII | C | 27,300 | 28.31 | n/a | n/a | non-brg | 5.0 |
| ß BUCK CAPITAL MGMT | | RL | C | 5,000 | 84.12 | 2-Feb | 16-Feb | non-brg | 5.0 |
| J L F ASSET MGMT | 039 | RSH | S | 18,700 | 24.73 | n/a | n/a | 748.00 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|----------------------------|------------|-------------|-----------|---------------|--------------|---------------|---------------|----------------|------------|
| GLG PARTNERS | 034 | SBUX | B | 75,000 | 30.06 | n/a | 1-Feb | 3,750.00 | 5.0 |
| BINDER | 007 | SBUX | C | 200 | 30.08 | n/a | 1-Feb | 0.00 | 0.0 |
| DENVER INST. ADV | 046 | SCRX | B | 85,300 | 22.52 | 20-Dec | 5-Mar | 4,265.00 | 5.0 |
| GALLEON MGMT LP | 033 | SCS | SS | 10,000 | 19.09 | n/a | n/a | 500.00 | 5.0 |
| BINDER | 007 | SDS | B | 100 | 60.55 | n/a | n/a | 0.00 | 0.0 |
| LEDDY | 035 | SDS | B | 600 | 61.36 | n/a | n/a | 0.00 | 0.0 |
| B BUCK CAPITAL MGMT | | SDXC | B | 10,000 | 18.61 | n/a | n/a | non-brg | 5.0 |
| CSFB GLOBAL PROPRIETARY | 035 | SPY | B | 14,000 | 140.35 | n/a | n/a | 700.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | SSI | B | 20,000 | 21.41 | 26-Feb | 26-Feb | 800.00 | 4.0 |
| ROSENBERG | 034 | TBL | B | 300 | 26.71 | 15-Nov | 9-Feb | 0.00 | 0.0 |
| SCHEINER | 037 | THC | S | 2,000 | 6.38 | n/a | 27-Feb | 0.00 | 0.0 |
| BULL PATH CAPITAL | 044 | TROW | B | 17,500 | 45.87 | 16-Oct | 5-Mar | 875.00 | 5.0 |
| B BUCK CAPITAL MGMT | | TWB | S | 10,000 | 35.62 | 22-Feb | 22-Feb | non-brg | 5.0 |
| B BUCK CAPITAL MGMT | | TXN | SS | 10,000 | 30.71 | n/a | n/a | non-brg | 5.0 |
| GRISANTI BROWN & PARTNER | 048 | UAUA | B | 18,800 | 40.48 | n/a | n/a | 940.00 | 5.0 |
| B BUCK CAPITAL MGMT | | URBN | B | 10,000 | 24.90 | 7-Dec | 9-Feb | non-brg | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|---------------------|------|--------|-----|--------|-------|--------|----------|--------------|-----|
| BUCK CAPITAL MGMT | | VIRL | B | 10,000 | 7.73 | n/a | n/a | non-brg | 4.0 |
| BALYASNY ASSET MGMT | 044 | WDR | B | 30,000 | 23.54 | 12-Jan | 5-Mar | 1,500.00 | 5.0 |
| BUCK CAPITAL MGMT | | WRNC | B | 5,000 | 25.84 | 18-Jan | 27-Feb | non-brg | 5.0 |
| EGS ASSOCIATES LP | 091 | WRNC | S | 9,364 | 25.71 | 18-Jan | 27-Feb | 561.84 | 6.0 |
| BUCK CAPITAL MGMT | | WTSLA | B | 30,000 | 5.97 | n/a | n/a | non-brg | 4.0 |
| BUCK CAPITAL MGMT | | WTSLA | B | 50,000 | 5.95 | n/a | n/a | non-brg | 4.0 |
| AMBER CAPITAL | 046 | ZLC | B | 40,000 | 26.61 | n/a | n/a | 2,000.00 | 5.0 |
| WYCKOFF | 013 | ZQK | S | 200 | 13.86 | 9-Feb | 1-Mar | 0.00 | 0.0 |
| TOTAL (Trade Date): | | | | | | | | 71,781.84 | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------|-------|------------------------|------|--------|-----|--------|-------|--------|----------|-------------|
| AO, | 03/01 | LISTED ERR | 099 | BBY | S | 10,000 | 45.27 | 13-Dec | 21-Feb | 0.00 0.0 |
| AO,CRT | 03/01 | CIBC ADV. | 060 | BBY | S | 50,000 | 45.27 | 13-Dec | 21-Feb | 2000.00 4.0 |
| AO,CXL | 03/01 | CIBC ADV. | 060 | BBY | S | 50,000 | 45.27 | 13-Dec | 21-Feb | 2500.00 5.0 |
| AO,CRT | 02/27 | SOMERSET ADV | 044 | GLW | B | 26,485 | 20.50 | n/a | 2-Mar | 1059.40 4.0 |
| AO,CXL | 02/27 | SOMERSET ADV | 044 | GLW | B | 26,485 | 20.50 | n/a | 2-Mar | 1324.25 5.0 |
| AO,CXL | 03/01 | MKT MAKER | 099 | JCG | B | 83 | 35.88 | 25-Jan | 25-Jan | 0.00 0.0 |
| AO,CRT | 03/01 | MKT MAKER | 099 | JCG | B | 83 | 35.88 | 25-Jan | 25-Jan | 0.00 0.0 |
| AO,CRT | 03/01 | MKT MAKER | 099 | JCG | S | 83 | 35.88 | 25-Jan | 25-Jan | 0.00 0.0 |
| AO,CXL | 03/01 | MKT MAKER | 099 | JCG | S | 83 | 35.88 | 25-Jan | 25-Jan | 0.00 0.0 |
| AO,CXL | 03/01 | STRAUSS ASSET MGMT | 040 | TIN | B | 10,000 | 59.19 | 26-Feb | 26-Feb | 500.00 5.0 |
| AO,CRT | 03/01 | WILLIAM TRADING/DOUG R | 040 | TIN | B | 10,000 | 59.19 | 26-Feb | 26-Feb | 400.00 4.0 |

TOTAL (AO, CXL, CRT) :**-864.85**

TOTAL (Trade Date):

71,781.84

TOTAL (AO, CXL, CRT):

-864.85

TOTAL (RUN DATE) / NFS:**70,916.99**

MRI Securities with Trade Activity on 2-Mar.

LTD

MRI Securities without Trade Activity on 2-Mar.

NP

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 31%

YTD = 16%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION