



Report: CHECKS

From : 01/01/2014 to 01/31/2014

Date	Amount	Type	Client	Client Name	Rep.	Rep. #
01/30/2014	570.00	Research - Research	ARMA	ARMATURE CAPITAL	CC	041
01/10/2014	7,997.68	Research - Research	BLHI	BLUE HILL CAPITAL	AS	031
01/10/2014	3,610.50	Research - Research	BLHI	BLUE HILL CAPITAL	AS	031
01/24/2014	1,900.00	Research - Research	BLUA	BLUE ARROW	DR	040
01/30/2014	1,330.00	Research - Research	BLUA	BLUE ARROW	DR	040
01/02/2014	41,000.00	Research - Research	BRIC	BRIGADE CAPITAL MGMT	NP	029
01/02/2014	2,500.00	Research - Research	BTIG	KDI CAPITAL PARTNERS	IM	025
01/02/2014	2,269.02	Research - Research	CAPI	CAPITAL IQ	BRG	091
01/02/2014	5,000.00	Research - Research	CARL	CARLSON CAPITAL	AS	031
01/31/2014	1,641.09	Research - Research	CATJ	CATAPULT - JC	JC	006
01/07/2014	20,000.00	Research - Research	CHAT	CHART WELL ADV	FM	028
01/02/2014	7,500.00	Research - Research	CHSA	CHESAPEAKE PARTNERS	FM	028
01/21/2014	24,750.00	Research - Research	COLC	COLUMBUS CIRCLE	ML	036
01/07/2014	8,264.00	Research - Research	COLJ	COLUMBIA MGMT	NP	029
01/07/2014	7,410.00	Research - Research	COLM	COLUMBIA MGMT	BC	033
01/07/2014	5,985.00	Research - Research	COMC	COLUMBIA MGMT	CC	041
01/07/2014	6,840.00	Research - Research	COMG	COLUMBIA MGMT	MG	030
01/02/2014	4,000.00	Research - Research	CONA	CONATUS CAPITAL	ML	036
01/10/2014	3,345.00	Research - Research	DECA	DECADE CAPITAL PARTNERS	JC	006
01/02/2014	11,100.00	Research - Research	DELM	DELAWARE MANAGEMENT	FM DR	281
01/08/2014	563.81	Research - Research	FACT	FACTSET		
01/07/2014	9,306.00	Research - Research	GLAB	GLADE BROOK CAPITAL	NP	029
01/21/2014	23,909.00	Research - Research	GOVE	GOVERNMENT OF SINGAPORE INVESTMENT	ME	085
01/02/2014	2,500.00	Research - Research	ISFM	CONIFER/ISF MANAGEMENT	IM	025
01/10/2014	25,000.00	Research - Research	JANU	JANUS CAPITAL CORP	KO	046
01/02/2014	42,033.00	Research - Research	JPMB	MORGAN BANK /JPM	SM	070
01/02/2014	1,537.40	Research - Research	MARC	MARCATO CAPITAL MGMT.	NP	029
01/02/2014	21,331.71	Research - Research	MARC	MARCATO CAPITAL MGMT.	NP	029
01/02/2014	77,130.89	Research - Research	MARC	MARCATO CAPITAL MGMT.	NP	029

01/02/2014	75,000.00	Research - Research	MASO	MASON CAPITAL	FM	028
01/27/2014	1,500.00	Research - Research	MNCL	MONTCLAIR GLOBAL	JC	006
01/02/2014	4,000.00	Research - Research	OSTE	OSTERWEIS CAPITAL	RS	084
01/10/2014	3,500.00	Research - Research	PIOO	PIONEER OAKRIDGE	AS	031
01/21/2014	11,500.00	Research - Research	ROBX	ROBERTSON STEVENS	RS	084
01/21/2014	20,000.00	Research - Research	SAML	SAMLYN	FM	028
01/02/2014	3,000.00	Research - Research	SGCA	SG CAPITAL/CEDAR STREET FUNDS	AS BE	307
01/07/2014	20,000.00	Research - Research	TACO	TACONIC	ME	085
01/15/2014	5,200.00	Research - Research	TCIF	THE CHILDRENS INVESTMENTS FUND	ME	085
01/21/2014	2,014.42	Research - Research	THOM	THOMSON/INDEPENDENT		
01/10/2014	15,500.00	Research - Research	TIME	TIME SQUARE CAP MGMT	JC	006
01/02/2014	15,000.00	Research - Research	TOUB	TOURBILLION CAPITAL	CC	041
01/14/2014	90,565.45	Research - Research	TREA	TREADNEEDLE ASSET	ME	085
01/02/2014	2,000.00	Research - Research	TRIO	TRIOAKS CAPITAL	FM	028
01/23/2014	16,766.00	Research - Research	TRUS	TRUSTCO/SUNTRUST (CEREDEX)	DR	040
01/17/2014	12,000.00	Research - Research	WEIS	GEORGE WEISS	FM IM	278
TOTAL:	667,869.97					

Report created by Lloyd Karp on 02/10/2014 at 12:13:00pm from m/c [192.168.20.199] using Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 6.1; Trident/5.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E)