



# Daily Compliance Activity Report

Trade Date: 06/25/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>AAPL</b>	<b>S</b>	<b>35</b>	<b>139.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	AEO	S	25,000	14.06	25-Jun	25-Jun	1,250.00	5.0
BASIX	033	AEO	B	35,000	14.26	25-Jun	25-Jun	1,050.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>120,000</b>	<b>13.93</b>	<b>25-Jun</b>	<b>25-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>20,000</b>	<b>14.23</b>	<b>25-Jun</b>	<b>25-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>60,000</b>	<b>14.34</b>	<b>25-Jun</b>	<b>25-Jun</b>	<b>non-brg</b>	<b>4.0</b>
NEWCASTLE FUNDS	255	AEO	S	50,000	14.10	25-Jun	25-Jun	2,000.00	4.0
DSG CAPITAL	225	AGCO	B	5,000	28.98	n/a	20-May	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ALV</b>	<b>C</b>	<b>237,194</b>	<b>28.22</b>	<b>10-Jun</b>	<b>10-Jun</b>	<b>non-brg</b>	<b>4.0</b>
COOPER CREEK PART	028	ALV	B	1,635	27.53	10-Jun	10-Jun	65.40	4.0
CASTLE ARK	031	AMZN	S	100	81.46	n/a	n/a	6.00	6.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>SS</b>	<b>85,000</b>	<b>26.07</b>	<b>3-Jun</b>	<b>18-Jun</b>	<b>3,400.00</b>	<b>4.0</b>
THOMSON HORSTMAN BRYANT	034	ANN	B	10,000	7.75	n/a	30-Jan	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AN</b>	<b>C</b>	<b>198,123</b>	<b>16.88</b>	<b>10-Jun</b>	<b>10-Jun</b>	<b>non-brg</b>	<b>4.0</b>
CIRCLE T/SES PART	035	AN	B	40,000	16.66	10-Jun	10-Jun	1,600.00	4.0
CASTLE ARK	031	APC	S	500	45.16	3-Feb	24-Jun	30.00	6.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>50,000</b>	<b>34.28</b>	<b>n/a</b>	<b>5-Jun</b>	<b>2,000.00</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	AVP	B	30,000	26.14	2-Jun	2-Jun	1,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AVY	B	25,000	25.14	28-Apr	28-Apr	non-brg	4.0
JOHN FRANCIS MCERLEA	FID	AXL	B	4300	3.08	10-Jun	10-Jun	0.00	0.0
ALEXANDER PRYLUCKI	FID	BAC	S	300	12.24	11-May	25-Jun	0.00	0.0
BUCK CAPITAL MGMT		BAC	B	50,000	12.19	11-May	25-Jun	non-brg	4.0
CASTLE ARK	031	BAX	S	400	51.43	n/a	22-Apr	24.00	6.0
BUCK CAPITAL MGMT		BBBY	SS	100,000	31.08	n/a	n/a	non-brg	4.0
GRUBER MACBAINE	033	BBBY	S	20,000	30.68	n/a	n/a	800.00	4.0
JMP ASSET MGMT	210	BEBE	B	13,000	6.73	n/a	n/a	520.00	4.0
CASTLE ARK	031	BEC	S	300	56.92	n/a	n/a	18.00	6.0
SCOPUS FUND	041	BIG	SS	10,000	20.99	28-May	24-Jun	500.00	5.0
ARMA	041	BJS	S	35,000	13.27	n/a	n/a	1,400.00	4.0
BUCK CAPITAL MGMT		BK	B	20,000	29.27	11-May	17-Jun	non-brg	4.0
JONATHAN RICHARD HAR	FID	BONT	B	115	3.45	6-Feb	6-Feb	0.00	0.0
PLATTE RIVER CAPITAL	240	BSX	B	8,100	9.59	n/a	22-Apr	324.00	4.0
CASTLE ARK	031	BUCY	S	200	28.51	n/a	4-Jun	12.00	6.0
BUCK CAPITAL MGMT		BWA	C	280,513	32.74	10-Jun	10-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		BWLD	SS	15,000	32.48	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	CAKE	B	10,000	16.36	29-May	12-Jun	500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	CBE	B	121,000	31.23	n/a	27-Apr	4,235.00	3.5
⚡ BUCK CAPITAL MGMT		CECO	C	30,301	23.02	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		CECO	C	70,000	22.75	n/a	n/a	non-brg	4.0
PLATTE RIVER CAPITAL	240	CHS	S	20,000	10.12	n/a	30-Jan	800.00	4.0
f ALEXANDER PRYLUCKI	FID	CIT	S	450	2.44	23-Apr	17-Jun	0.00	0.0
⚡ BUCK CAPITAL MGMT		COF	B	40,000	21.72	15-May	17-Jun	non-brg	4.0
ASCEND PARTNERS	033	COH	SS	15,000	26.15	n/a	22-Apr	750.00	5.0
BUCK CAPITAL MGMT	001	COH	B	70,000	26.20	n/a	22-Apr	2,800.00	4.0
f ALEXANDER PRYLUCKI	FID	CVGI	S	1300	1.65	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		C	B	100,000	3.02	n/a	17-Jun	non-brg	2.0
⚡ BUCK CAPITAL MGMT		DAL	B	50,000	5.63	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		DBRN	B	10,000	14.56	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		DBRN	B	100,000	14.13	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DBRN	B	115,000	14.38	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DBRN	B	50,000	13.66	n/a	n/a	non-brg	1.0
CASTLE ARK	031	DE	S	200	41.64	n/a	17-Jun	12.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>DHI</b>	<b>B</b>	<b>35,000</b>	<b>9.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
DC CAPITAL	044	DIS	S	50,000	23.17	n/a	n/a	2,000.00	4.0
CASTLE ARK	031	DKS	S	400	17.03	27-Apr	20-May	24.00	6.0
SCOPUS FUND	041	DPS	B	50,000	20.98	n/a	n/a	2,500.00	5.0
SCOPUS FUND	041	DRI	S	6,500	32.82	19-Mar	24-Jun	325.00	5.0
PLATTE RIVER CAPITAL	240	DRYS	B	13,500	5.66	n/a	n/a	405.00	3.0
CASTLE ARK	031	EMR	S	300	32.83	n/a	n/a	18.00	6.0
<b>f STRATTON PAR TNERSHI</b>	<b>FID</b>	<b>EUBWZ</b>	<b>S</b>	<b>3</b>	<b>0.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	EXBD	S	7,500	19.37	n/a	n/a	375.00	5.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>FINL</b>	<b>B</b>	<b>1500</b>	<b>7.58</b>	<b>n/a</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>FINL</b>	<b>B</b>	<b>520</b>	<b>7.68</b>	<b>n/a</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL THOMAS NOLAN</b>	<b>FID</b>	<b>FINL</b>	<b>B</b>	<b>1000</b>	<b>7.65</b>	<b>n/a</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FL</b>	<b>SS</b>	<b>50,000</b>	<b>10.37</b>	<b>27-Apr</b>	<b>22-May</b>	<b>2,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>SS</b>	<b>100,000</b>	<b>10.48</b>	<b>27-Apr</b>	<b>22-May</b>	<b>non-brg</b>	<b>4.0</b>
BMO NESBITT BURNS	041	F	B	50,000	5.61	n/a	3-Jun	2,500.00	5.0
OZ MGMT	040	F	B	150,000	5.73	n/a	3-Jun	4,500.00	3.0
SCHOTTENFELD ASSOC	041	F	B	50,000	5.63	n/a	3-Jun	1,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>B</b>	<b>4,000</b>	<b>19.37</b>	<b>n/a</b>	<b>10-Jan</b>	<b>non-brg</b>	<b>1.0</b>

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⌘ BUCK CAPITAL MGMT		GCO	B	5,000	19.37	n/a	10-Jan	non-brg	1.0
f JOHN MICHAEL ZOLIDIS	FID	GCO	B	600	19.07	n/a	10-Jan	0.00	0.0
⌘ BUCK CAPITAL MGMT		GIII	B	50,000	11.37	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		GIII	S	10,000	11.04	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		GIII	S	50,000	11.37	n/a	n/a	non-brg	2.0
CASTLE ARK	031	GILD	S	100	47.24	n/a	n/a	6.00	6.0
⌘ BUCK CAPITAL MGMT		GIL	B	40,000	15.79	25-Jun	25-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GS	B	10,000	143.23	11-May	19-Jun	non-brg	4.0
CASTLE ARK	031	GS	S	200	142.44	11-May	19-Jun	12.00	6.0
f ALEXANDER PRYLUCKI	FID	ICON	S	100	14.36	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		ICON	B	70,000	14.57	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IFF	B	15,000	31.73	21-Jan	16-Jun	non-brg	4.0
ELM RIDGE ADVISORS	044	IP	B	25,600	13.85	23-Jun	23-Jun	1,024.00	4.0
DALTON GREINER	012	IVZ	S	72,270	16.72	5-Jun	17-Jun	3,613.50	5.0
CASTLE ARK	031	JBHT	S	100	30.68	n/a	n/a	6.00	6.0
f ALEXANDER PRYLUCKI	FID	JBLU	S	350	4.08	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		JCG	B	50,000	24.37	n/a	30-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JCG	B	70,000	24.75	n/a	30-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	20,000	31.13	n/a	n/a	non-brg	2.5

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<b>B BUCK CAPITAL MGMT</b>		<b>JPM</b>	<b>B</b>	<b>50,000</b>	<b>33.40</b>	<b>n/a</b>	<b>17-Jun</b>	<b>non-brg</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	JWN	C	50,000	19.83	20-Feb	5-Jun	2,500.00	5.0
SIGMA CAPITAL ASSOCIATES	041	JWN	B	25,000	19.86	20-Feb	5-Jun	1,000.00	4.0
M&I FUNDS	256	KG	S	18,631	9.66	n/a	23-Jun	558.93	3.0
CASTLE ARK	031	KSS	S	100	44.26	8-May	5-Jun	6.00	6.0
SIGMA CAPITAL ASSOCIATES	041	KSS	B	25,000	44.42	8-May	5-Jun	1,000.00	4.0
SANDLER O'NEILL ASSET	031	LAZ	B	4,815	25.80	n/a	17-Jun	240.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LEN</b>	<b>B</b>	<b>20,000</b>	<b>9.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LJJ+HH[LVS]</b>	<b>SS</b>	<b>1,709</b>	<b>1.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LM</b>	<b>S</b>	<b>49,000</b>	<b>24.94</b>	<b>25-Jun</b>	<b>25-Jun</b>	<b>non-brg</b>	<b>1.0</b>
KEEFE BRUYETTE WOODS A	045	LM	SS	8,000	24.79	25-Jun	25-Jun	400.00	5.0
FIRST NEW YORK LEDDY	035	LVLIT	B	10,000	1.39	n/a	4-Jan	600.00	6.0
MILLENIUM PARTNERS	028	MAS	B	50,000	9.42	n/a	n/a	2,000.00	4.0
CASTLE ARK	031	MCHP	S	600	22.21	n/a	n/a	36.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>MDSO</b>	<b>S</b>	<b>7,500</b>	<b>17.23</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
AELTUS/ING INVESTMENT MGT	215	MIG	S	95,706	6.33	n/a	n/a	3,349.73	3.5
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MNE</b>	<b>B</b>	<b>1500</b>	<b>10.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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<b>B BUCK CAPITAL MGMT</b>		<b>MNRO</b>	<b>B</b>	<b>10,000</b>	<b>24.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PLATTE RIVER CAPITAL	240	MOT	B	10,000	6.25	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MPEL</b>	<b>S</b>	<b>100,000</b>	<b>4.55</b>	<b>23-Jun</b>	<b>23-Jun</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MPEL</b>	<b>S</b>	<b>100,000</b>	<b>4.60</b>	<b>23-Jun</b>	<b>23-Jun</b>	<b>non-brg</b>	<b>3.0</b>
CASTLE ARK	031	MSFT	S	1,000	23.67	n/a	3-Apr	60.00	6.0
CASTLE ARK	031	MS	S	800	27.49	11-May	19-Jun	48.00	6.0
CASTLE ARK	031	MTD	S	300	74.78	n/a	n/a	18.00	6.0
COBALT	041	M	SS	100,000	11.76	n/a	5-Jun	5,000.00	5.0
CASTLE ARK	031	NE	S	300	31.55	n/a	n/a	18.00	6.0
AVESTA CAP	229	NKE	B	20,000	50.76	n/a	n/a	800.00	4.0
CASTLE ARK	031	NKE	S	100	50.54	n/a	n/a	6.00	6.0
SUN AMERICA ASSET MGMT	030	NOC	S	27,385	46.57	n/a	n/a	1,095.40	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>NTRS</b>	<b>B</b>	<b>10,000</b>	<b>53.68</b>	<b>22-Apr</b>	<b>17-Jun</b>	<b>non-brg</b>	<b>4.0</b>
CASTLE ARK	031	NUVA	S	500	42.46	n/a	n/a	30.00	6.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>OXM</b>	<b>SS</b>	<b>20,000</b>	<b>11.46</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
PLATTE RIVER CAPITAL	240	PAYX	B	9,780	25.14	n/a	n/a	391.20	4.0

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CASTLE ARK	031	PCAR	S	200	31.60	25-Mar	4-May	12.00	6.0
⌘ BUCK CAPITAL MGMT		PERY	S	12,500	7.80	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		PERY	S	50,000	7.41	n/a	n/a	non-brg	3.0
SLS CAPITAL LLC	224	PKG	B	28,100	15.10	8-Apr	23-Jun	1,124.00	4.0
TRUSTCO/SUNTRUST	040	PKG	B	7,100	14.80	8-Apr	23-Jun	276.90	3.9
⌘ BUCK CAPITAL MGMT		PLCE	B	20,000	26.02	19-Mar	5-Jun	non-brg	4.0
TRUSTCO/SUNTRUST	040	PRGO	B	10,900	27.30	n/a	23-Jun	425.10	3.9
⌘ BUCK CAPITAL MGMT		PSS	B	15,000	14.40	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PVH	B	100,000	28.24	20-Apr	21-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	B	15,200	27.71	20-Apr	21-May	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PVH	B	22,100	28.13	20-Apr	21-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	B	84,800	28.09	20-Apr	21-May	non-brg	1.0
BUCK CAPITAL MGMT	001	RL	B	60,000	54.15	25-Jun	25-Jun	2,400.00	4.0
COUGAR TRADING	044	RL	B	700	51.39	25-Jun	25-Jun	35.00	5.0
JP MORGAN VENTURES	046	RL	B	25,000	54.00	25-Jun	25-Jun	1,250.00	5.0
SCOPUS FUND	041	RL	SS	23,200	53.49	25-Jun	25-Jun	1,160.00	5.0
f ABRAM M CHAPPELL	FID	RRC	B	50	41.50	n/a	n/a	0.00	0.0
CASTLE ARK	031	RRC	S	200	41.51	n/a	n/a	12.00	6.0
⌘ BUCK CAPITAL MGMT		RYL	B	20,000	17.34	n/a	n/a	non-brg	4.0
CASTLE ARK	031	SCHW	S	800	17.70	n/a	n/a	48.00	6.0



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<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SGP</b>	<b>S</b>	<b>5000</b>	<b>24.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BARROW HANLEY MEWHINNEY	031	SHW	S	330,300	53.35	17-Apr	24-Jun	13,212.00	4.0
CASTLE ARK	031	SLB	S	200	54.32	n/a	n/a	12.00	6.0
HIGHBRIDGE CAPITAL	038	SOHU	B	22,000	65.50	n/a	n/a	1,100.00	5.0
CASTLE ARK	031	SPLS	S	700	20.50	n/a	27-Jul	42.00	6.0
<b>f ANTHONY NG</b>	<b>FID</b>	<b>SPNG</b>	<b>B</b>	<b>2500</b>	<b>0.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRUSTCO/SUNTRUST	040	SSI	B	10,700	11.19	n/a	5-Jun	417.30	3.9
CASTLE ARK	031	STJ	S	400	41.00	n/a	n/a	24.00	6.0
FARALLON CAP MGMT	040	STP	S	100,000	18.30	n/a	n/a	4,000.00	4.0
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>SWGGS</b>	<b>S</b>	<b>500</b>	<b>0.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>SZCSI</b>	<b>B</b>	<b>220</b>	<b>0.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>SZCSI</b>	<b>S</b>	<b>100</b>	<b>0.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>SS</b>	<b>100,000</b>	<b>40.29</b>	<b>25-Feb</b>	<b>22-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>S</b>	<b>100,000</b>	<b>40.33</b>	<b>25-Feb</b>	<b>22-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>S</b>	<b>120,000</b>	<b>40.27</b>	<b>25-Feb</b>	<b>22-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>S</b>	<b>200,000</b>	<b>40.20</b>	<b>25-Feb</b>	<b>22-Jun</b>	<b>non-brg</b>	<b>2.5</b>
CASTLE ARK	031	TJX	S	500	31.54	4-May	5-Jun	30.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HUNTER GLOBAL INVESTOR	067	TTC	B	12,400	29.25	n/a	n/a	620.00	5.0
⚡ BUCK CAPITAL MGMT		TWB	B	100,000	6.61	n/a	30-Jan	non-brg	3.0
⚡ BUCK CAPITAL MGMT		TWB	B	50,000	6.22	n/a	30-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		TWB	B	50,000	6.64	n/a	30-Jan	non-brg	2.0
⚡ BUCK CAPITAL MGMT		TWB	B	75,000	6.44	n/a	30-Jan	non-brg	3.0
PLATTE RIVER CAPITAL	240	T	B	15,000	24.53	n/a	4-Jan	600.00	4.0
CASTLE ARK	031	UA	S	200	20.96	29-Apr	29-Apr	12.00	6.0
CONIFER/ISF	025	URI	S	7,694	6.16	n/a	29-Oct	384.70	5.0
⚡ DAVID GEORGE BUCK	FID	VQRVF	B	15	1.70	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	WDR	SS	5,000	25.32	5-Jun	25-Jun	250.00	5.0
⚡ BUCK CAPITAL MGMT		WFT	B	30,000	19.23	n/a	n/a	non-brg	4.0
ARMA	041	WFT	B	25,000	19.45	n/a	n/a	1,000.00	4.0
CHILTON CAPITAL MGMT.	036	WNR	C	291,843	7.19	n/a	n/a	8,755.29	3.0
THIRD COAST CAPITAL	035	WRC	SS	10,000	32.47	13-May	13-May	500.00	5.0
AELTUS/ING INVESTMENT MGT	215	WSO	B	598	47.65	n/a	n/a	20.94	3.5
⚡ BUCK CAPITAL MGMT		XLFX	SS	295,000	11.68	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		XRT	SS	75,000	27.42	n/a	n/a	non-brg	2.0

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**TOTAL (Trade Date):**

**105,136.14**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/24	GARDNER LEWIS ASSET MGMT	028	AES	B	226,805	10.47	n/a	n/a	11340.25 5.0
AO,CRT	06/24	COLONIAL FUND LLC	036	AXL	B	25,000	3.12	10-Jun	10-Jun	750.00 3.0
AO,CXL	06/24	COLONIAL FUND LLC	036	AXL	B	25,000	3.12	10-Jun	10-Jun	1250.00 5.0
AO,CRT	06/24	WINSLOW CAPITAL	030	EQIX	B	500	69.08	n/a	n/a	20.00 4.0
AO,CXL	06/24	WINSLOW CAPITAL	030	EQIX	B	500	69.08	n/a	n/a	20.00 4.0
<b>AO,CRT</b>	<b>06/23</b>	<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>40,000</b>	<b>18.82</b>	<b>n/a</b>	<b>10-Jan</b>	<b>1600.00 4.0</b>
<b>AO,CXL</b>	<b>06/23</b>	<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>40,000</b>	<b>18.82</b>	<b>n/a</b>	<b>10-Jan</b>	<b>1600.00 4.0</b>
AO,CXL	06/24	WINSLOW CAPITAL	030	ILMN	B	200	36.64	n/a	n/a	8.00 4.0
AO,CRT	06/24	WINSLOW CAPITAL	030	ILMN	B	200	36.64	n/a	n/a	8.00 4.0
AO,	06/24	ELM RIDGE ADVISORS	044	IP	B	150,000	13.84	23-Jun	23-Jun	6000.00 4.0
AO,CXL	06/24	SCOPUS FUND	041	M	B	122,300	11.50	n/a	5-Jun	6115.00 5.0
AO,CRT	06/24	SCOPUS FUND	041	M	B	122,300	11.50	n/a	5-Jun	6115.00 5.0
AO,CRT	06/24	DSG CAPITAL	225	MPEL	SS	2,500	4.74	23-Jun	23-Jun	75.00 3.0
AO,CXL	06/24	DSG CAPITAL	225	MPEL	SS	2,500	4.74	23-Jun	23-Jun	125.00 5.0
AO,CXL	06/24	HIGHBRIDGE CAPITAL	038	PIKE	B	9,800	11.89	n/a	n/a	490.00 5.0
AO,CRT	06/24	HIGHBRIDGE CAPITAL	038	PIKE	B	9,800	11.89	n/a	n/a	392.00 4.0
AO,CRT	06/24	HIGHBRIDGE CAPITAL	038	PWR	B	6,000	22.33	n/a	n/a	240.00 4.0
AO,CXL	06/24	HIGHBRIDGE CAPITAL	038	PWR	B	6,000	22.33	n/a	n/a	300.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,	06/24	AELTUS/ING INVESTMENT MGT	215	WSO	B	35,060	47.19	n/a	n/a 1227.11 3.5
<b>TOTAL (AO, CXL, CRT) :</b>									<b>17,859.36</b>

TOTAL (Trade Date):	105,136.14
TOTAL (AO, CXL, CRT):	17,859.36

<b>TOTAL (RUN DATE) / NFS:</b>	<b>122,995.50</b>
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MRI Securities with Trade Activity on 25-Jun.  
**AEO, GIL, LM, RL**

MRI Securities without Trade Activity on 25-Jun.  
 NONE

BRG comm. as a % of BCM Total Comm. paid.  
 T-Date = 9%  
 YTD = 20%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)