



## RR Commissions Summary [ Ravi Sadarangani ]

Trade Date: 12/31/2012

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AAD CAPITAL	084	0	0	0	1,720	0	0	0	0	0	1,720	26,400	7
ALLIANZ/NICOLAS APPEGATE	084	0	0	2,000	11,816	0	0	0	0	2,000	11,816	12,015	98
BRANDES INVESTMENT	084	0	0	0	0	0	2,500	5,150	0	2,500	5,150	0	0
BUTTE CAPITAL MGMT LLC	084	0	0	0	1,500	0	0	0	0	0	1,500	4,825	31
CAPITAL WORLD	084	0	0	9,573	35,823	0	0	0	0	9,573	35,823	0	0
COLUMBUS CAP MGMT LLC	084	0	0	12,100	28,587	0	0	0	0	12,100	28,587	30,862	93
CONIFER/GROW	084	0	0	0	1,700	0	0	0	0	0	1,700	0	0
EMPYREAN CAPITAL	084	0	0	23,265	74,293	0	0	0	0	23,265	74,293	2,575	2885
FRANKLIN FUND OF CALIFOR	084	0	0	0	0	4,515	4,515	11,991	4,515	4,515	11,991	2,897	414
GEOSPHERE CAPITAL MGMT	084	0	0	0	3,240	0	0	0	0	0	3,240	6,000	54
HAHN CAPITAL MANAGEMENT	084	0	0	5,018	23,087	0	0	0	0	5,018	23,087	15,099	153
KAYNE ANDERSON ADV	084	0	0	6,770	15,042	0	0	0	0	6,770	15,042	2,536	593
NWQ INVESTMENT MGMT CO	084	0	0	6,197	48,354	0	0	25,000	0	6,197	73,354	25,000	293
OSTERWEIS CAPITAL	084	0	0	25,273	33,934	2,500	2,500	6,000	2,500	27,773	39,934	9,800	407
PARKWEST	084	0	0	14,179	29,845	0	0	0	0	14,179	29,845	11,634	257
PARNASSUS INVESTMENTS	084	0	0	0	0	0	5,000	5,000	0	5,000	5,000	0	0
PEAK INV/OAKMONT/CYPRESS	084	0	0	0	45,352	0	0	0	0	0	45,352	12,300	369
PRIMECAP MGMT	084	0	0	13,074	80,736	0	0	0	0	13,074	80,736	75,308	107
ROBERTSON STEVENS	084	0	0	0	14,814	20,000	24,000	74,000	20,000	24,000	88,814	41,558	214
STANDARD PACIFIC CAPITAL	084	0	0	141,919	486,608	0	0	0	0	141,919	486,608	51,756	940
TALKOT CAPITAL LLC	084	0	0	0	1,500	0	0	0	0	0	1,500	4,678	32
TRUST CO L.A.	084	0	0	0	0	0	0	28,847	0	0	28,847	63,426	45
WALL STREET CAPITAL	084	0	0	860	1,971	0	0	0	0	860	1,971	20,459	10
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	0	0	0	3,050	0	0	0	0	0	3,050	26,260	12
WEINTRAUB CAPITAL MGT.	084	0	0	6,200	64,723	0	0	0	0	6,200	64,723	0	0
<b>TOTALS:</b>		<b>0</b>	<b>0</b>	<b>266,428</b>	<b>1,007,694</b>	<b>27,015</b>	<b>38,515</b>	<b>155,988</b>	<b>27,015</b>	<b>304,943</b>	<b>1,163,682</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
CAPITAL RESEARCH GROUP	84	0	0	31,762	170,833	0	0	85,000	0	31,762	255,833	70,000	121
<b>TOTALS:</b>		<b>0</b>	<b>0</b>	<b>31,762</b>	<b>170,833</b>	<b>0</b>	<b>0</b>	<b>85,000</b>	<b>0</b>	<b>31,762</b>	<b>255,833</b>		