

Daily Compliance Activity Report Trade Date: 02/04/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	S/Shr.
BUCK CAPITAL MGMT	001	AEO	S	50,000	22.32	11-Jan	4-Feb	2,000.00	4.0
COUGAR TRADING	044	AEO	В	5,000	21.84	11-Jan	4-Feb	250.00	5.0
COUGAR TRADING	044	AEO	SS	5,000	23.17	11-Jan	4-Feb	250.00	5.0
IVORY CAPITAL	034	ALU	В	702,300	6.55	n/a	n/a	3,511.50	0.5
CAPITAL GROWTH MGMT.	241	AMX	В	50,000	60.80	n/a	n/a	2,500.00	5.0
SAB CAPITAL	034	ANF	В	35 , 000	79.47	10-Jan	4-Feb	1,750.00	5.0
CATTANI	041	APA	В	100	99.67	14-Jan	28-Jan	0.00	0.0
SAB CAPITAL	034	ARO	В	75 , 000	27.70	29-Nov	4-Feb	3,750.00	5.0
CATTANI	041	AVP	S	300	36.00	23-Jan	23-Jan	0.00	0.0
NATIONAL BK OF CAN	031	BAC	В	22,200	44.55	13-Nov	23-Jan	888.00	4.0
IRIDIAN ASSET MGMT.	036	BAX	S	88,850	62.26	n/a	22-Apr	4,442.50	5.0
BALYASNY ASSET MGMT	044	ВЈ	В	10,000	32.41	n/a	27-Jul	500.00	5.0
MTB INV ADV	034	BKC	В	15 , 170	26.37	n/a	24-Apr	758.50	5.0
CROWLEY	061	BNJ	S	600	18.02	n/a	n/a	0.00	0.0
SUTERA	160	BQI	В	1,500	3.48	n/a	n/a	0.00	0.0

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BACKUS	005	BTU	s	5,000	56.03	n/a	n/a	0.00	0.0
N STRATTON	014	CADA	В	100	41.50	n/a	n/a	0.00	0.0
BUCK	015	САН	S	1,250	60.46	n/a	n/a	0.00	0.0
SUTERA	160	СНР	S	2,500	6.28	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	CNX	В	100,000	76.87	n/a	n/a	5,000.00	5.0
PECONIC PARTNERS	036	COF	С	5,000	52.90	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	СОН	SS	20,000	31.62	24-Oct	24-Jan	800.00	4.0
MTB INV ADV	034	CRI	В	21,600	18.89	n/a	n/a	1,080.00	5.0
ROYCE TRADING	216	CRR	В	54,600	35.47	25-Oct	28-Jan	2,730.00	5.0
BACKUS	005	cvs	s	1,000	39.66	n/a	n/a	0.00	0.0
BUCK	015	DD	В	500	45.67	n/a	22-Jan	0.00	0.0
SHAREVEST CAPITAL MGMT.	038	DKS	В	10,000	31.97	n/a	27-Nov	500.00	5.0
WHEELER	800	DWSN	В	1,000	59.39	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	FIG	С	82,840	14.72	n/a	n/a	4,142.00	5.0
MTB INV ADV	034	FOSL	В	11,855	33.82	13-Nov	29-Jan	582.75	3.3
	BACKUS N STRATTON BUCK SUTERA CAPITAL GROWTH MGMT. PECONIC PARTNERS BUCK CAPITAL MGMT MTB INV ADV ROYCE TRADING BACKUS BUCK SHAREVEST CAPITAL MGMT. WHEELER GALLEON MGMT LP	BACKUS N STRATTON BUCK SUTERA 160 CAPITAL GROWTH MGMT. PECONIC PARTNERS 036 BUCK CAPITAL MGMT 001 MTB INV ADV ROYCE TRADING BACKUS O05 BUCK SHAREVEST CAPITAL MGMT. 038 WHEELER 008 GALLEON MGMT LP 0314	BACKUS N STRATTON 014 CADA BUCK 015 CAH SUTERA 160 CHP CAPITAL GROWTH MGMT. 241 CNX PECONIC PARTNERS 036 COF BUCK CAPITAL MGMT 001 COH MTB INV ADV 034 CRI ROYCE TRADING 216 CRR BACKUS 005 CVS BUCK SHAREVEST CAPITAL MGMT. 038 DKS WHEELER 008 DWSN GALLEON MGMT LP 0333 FIG	BACKUS 005 BTU S N STRATTON 014 CADA B BUCK 015 CAH S SUTERA 160 CHP S CAPITAL GROWTH MGMT. 241 CNX B PECONIC PARTNERS 036 COF C BUCK CAPITAL MGMT 001 COH SS MTB INV ADV 034 CRI B ROYCE TRADING 216 CRR B BACKUS 005 CVS S BUCK 015 DD B SHAREVEST CAPITAL MGMT. 038 DKS B WHEELER 008 DWSN B GALLEON MGMT LP 033 FIG C	BACKUS 005 BTU S 5,000 N STRATTON 014 CADA B 100 BUCK 015 CAH S 1,250 SUTERA 160 CHP S 2,500 CAPITAL GROWTH MGMT. 241 CNX B 100,000 PECONIC PARTNERS 036 COF C 5,000 BUCK CAPITAL MGMT 001 COH SS 20,000 MTB INV ADV 034 CRI B 54,600 ROYCE TRADING 216 CRR B 54,600 BACKUS 005 CVS S 1,000 BUCK 015 DD B 500 SHAREVEST CAPITAL MGMT 038 DKS B 10,000 WHEELER 008 DWSN B 1,000 GALLEON MGMT LP 033 FIG C 82,840	BACKUS 005 BTU S 5,000 56.03 N STRATTON 014 CADA B 100 41.50 BUCK 015 CAH S 1,250 60.46 SUTERA 160 CHP S 2,500 6.28 CAPITAL GROWTH MGMT. 241 CNX B 100,000 76.87 PECONIC PARTNERS 036 COF C 5,000 52.90 BUCK CAPITAL MGMT 001 COH SS 20,000 31.62 MTB INV ADV 034 CRI B 21,600 18.89 ROYCE TRADING 216 CRR B 54,600 35.47 BACKUS 005 CVS S 1,000 39.66 BUCK 015 DD B 500 45.67 SHAREVEST CAPITAL MGMT. 038 DKS B 1,000 59.39 GALLEON MGMT LP 033 FIG C 82,840 14.72	BACKUS 005 BTU S 5,000 56.03 n/a N STRATTON 014 CADA B 100 41.50 n/a BUCK 015 CAH S 1,250 60.46 n/a SUTERA 160 CHP S 2,500 6.28 n/a CAPITAL GROWTH MGMT. 241 CNX B 100,000 76.87 n/a PECONIC PARTNERS 036 COF C 5,000 52.90 n/a BUCK CAPITAL MGMT 001 COH SS 20,000 31.62 24-Oct MTB INV ADV 034 CRI B 21,600 18.89 n/a ROYCE TRADING 216 CRR B 54,600 35.47 25-Oct BACKUS 005 CVS S 1,000 39.66 n/a BUCK 015 DD B 500 45.67 n/a SHAREVEST CAPITAL MGMT. 038 DKS B 10,000 31.97 n/a WHEELER 008 DWSN B 1,000 59.39 n/a GALLEON MGMT LP 033 FIG C 82,840 14.72 n/a	BACKUS 005 BTU S 5,000 56.03 n/a n/a N STRATTON 014 CADA B 100 41.50 n/a n/a BUCK 015 CAH S 1,250 60.46 n/a n/a SUTERA 160 CHP S 2,500 6.28 n/a n/a CAPITAL GROWTH MGMT. 241 CNX B 100,000 76.87 n/a n/a BUCK CAPITAL MGMT 001 COH SS 20,000 31.62 24-Oct 24-Jan MTB INV ADV 034 CRI B 21,600 18.89 n/a n/a ROYCE TRADING 216 CRR B 54,600 35.47 25-Oct 28-Jan BACKUS 005 CVS S 1,000 39.66 n/a n/a BUCK CAPITAL MGMT. 038 DKS B 100,000 31.67 n/a 22-Jan SHAREVEST CAPITAL MGMT. 038 DKS B 100,000 31.97 n/a 27-Nov WHEELER 033 FIG C 82,840 14.72 n/a n/a	BACKUS 005 BTU S 5,000 56.03 n/a n/a 0.00 N STRATTON 014 CADA B 100 41.50 n/a n/a 0.00 BUCK 015 CAH S 1,250 60.46 n/a n/a 0.00 SUTERA 160 CHP S 2,500 6.28 n/a n/a 0.00 CAPITAL GROWTH MGMT. 241 CNX B 100,000 76.87 n/a n/a 5,000.00 BUCK CAPITAL MGMT 001 COH SS 20,000 31.62 24-Oct 24-Jan 800.00 MTB INV ADV 034 CRI B 21,600 18.89 n/a n/a 1,080.00 BOYCE TRADING 216 CRR B 54,600 35.47 25-Oct 28-Jan 2,730.00 BUCK CAPITAL MGMT 015 DD B 500 45.67 n/a 22-Jan 0.00 BUCK 015 DD B 10,000 31.67 n/a 22-Jan 0.00 SHAREVEST CAPITAL MGMT. 038 DKS B 10,000 59.39 n/a n/a 27-Nov 500.00 WHEELER 033 FIG C 82,840 14.72 n/a 1,04 4,142.00

Account	RR #	Symbol	B/S		Shares	Price	MRI	Research	Comm.	;/Shr.
MAGUIRE	090	FXP	В		3,000	85.63	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	GB	В		30,000	22.79	n/a	n/a	1,125.00	3.8
SINCLAIR	031	GIYCC	В		20	1.65	n/a	n/a	0.00	0.0
BARR	096	GLD	8	S	300	88.55	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	В		5,000	18.96	n/a	4-Feb	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	GR	Ç	S :	24,000	63.23	25-Oct	31-Jan	900.00	3.8
COUGAR TRADING	044	GYMB	Ç	S	5,000	37.54	n/a	4-Feb	250.00	5.0
BULL PATH CAPITAL	044	HS	Ç	S :	28,500	22.45	n/a	n/a	1,425.00	5.0
GEORGE WEISS	034	HUN	С		6,000	24.56	n/a	n/a	240.00	4.0
BUCK CAPITAL MGMT	001	JAH	\$	s :	20,000	24.21	n/a	n/a	800.00	4.0
CAXTON PART	040	JAVA	Ç	S 2	00,000	16.88	n/a	n/a	7,000.00	3.5
BUCK CAPITAL MGMT	001	JCG	S	s :	20,000	44.67	28-Nov	4-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	JCP	S	SS :	20,000	46.51	4-Jan	4-Feb	800.00	4.0
COUGAR TRADING	044	JCP	S	S	5,000	47.27	4-Jan	4-Feb	250.00	5.0
JP MORGAN VENTURES	046	JWN	С		3,100	39.69	20-Nov	4-Feb	155.00	5.0
NATIONAL BK OF CAN	031	KBW	Ç	SS	5,000	27.78	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BUCK CAPITAL MGMT	001	KSS	SS	20,000	44.42	4-Jan	4-Feb	800.00	4.0
COUGAR TRADING	044	KSS	SS	15,000	45.06	4-Jan	4-Feb	750.00	5.0
GEORGE WEISS	034	LAVA	В	10,000	11.55	n/a	n/a	300.00	3.0
CATAPULT	040	LAZ	В	5,000	40.92	n/a	n/a	250.00	5.0
BACKUS	005	LBTYA	s	2,000	40.32	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	LEN	В	50,000	20.04	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	50,000	21.88	n/a	28-Jan	2,000.00	4.0
SAB CAPITAL	034	LTD	В	390,000	18.47	n/a	4-Feb	19,500.00	5.0
NEW JERSEY DIV OF INV.	220	LXP	В	37,300	15.16	n/a	n/a	1,865.00	5.0
BUCK CAPITAL MGMT	001	MAS	ss	50,000	22.46	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	MCD	SS	50,000	53.70	n/a	24-Apr	2,000.00	4.0
SAXON WOODS ADVISORS	038	MCD	В	50,000	53.92	n/a	24-Apr	2,000.00	4.0
BARR	096	MCO	С	500	35.70	n/a	n/a	0.00	0.0
BACKUS	005	MEE	s	5,000	40.68	n/a	n/a	0.00	0.0
IVORY CAPITAL	034	MSFT	В	669,300	30.53	n/a	3-Apr	3,346.50	0.5
BUCK	015	MSFT	SS	1,500	30.56	n/a	3-Apr	0.00	0.0
MAGUIRE	090	MZZ	В	2,000	59.62	n/a	n/a	0.00	0.0

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Account	RR #	Symbol	B/S	3	Shares	Price	MRI	Research	Comm.	Shr.	
EGS ASSOCIATES LP	091	M	В		5,500	27.05	4-Jan	4-Feb	330.00	6.0	
BUCK	015	NCC	С		1,500	17.48	n/a	n/a	0.00	0.0	
KARSCH CAPITAL	034	ODP		S	100,000	14.81	n/a	27-Jul	3,000.00	3.0	
MERRILL ASSET	032	ONB		S	20,000	16.56	n/a	n/a	900.00	4.5	
WOOSTER CAPITAL	035	PAG	В		75 , 000	17.59	n/a	31-Jan	3,750.00	5.0	
XYLEM GLOBAL	091	PCH	В		10,000	42.07	8-Jan	23-Jan	500.00	5.0	
BACKUS	005	PCX		S	100	43.72	n/a	n/a	0.00	0.0	
ASCEND PARTNERS	033	RL		SS	25 , 000	60.50	14-Jan	1-Feb	1,250.00	5.0	
NEUBERGER BERMAN	036	SFD		S	52 , 800	28.15	n/a	n/a	2,376.00	4.5	
GOLDMAN SACHS ASSET MGMT	044	SGP	В		632 , 930	21.00	n/a	n/a	9,493.95	1.5	
GAULT	063	SKF		S	500	95.99	n/a	n/a	0.00	0.0	
CATTANI	041	SLB	В		100	79.45	n/a	n/a	0.00	0.0	
N STRATTON	014	TECUA	С		200	22.83	n/a	n/a	0.00	0.0	
BUCK CAPITAL MGMT	001	TGT		SS	20,000	55.66	n/a	27-Jul	800.00	4.0	
COUGAR TRADING	044	TJX	В		15,000	32.04	21-Sep	4-Feb	750.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
WHEELER	008	TRMA	В	2,000	32.10	n/a	n/a	0.00 0.0
MAGUIRE	039	TTM	SS	300	19.81	n/a	n/a	0.00 0.0
GAULT	063	TYCNH	В	30	0.85	n/a	n/a	0.00 0.0
GAULT	063	TYC	S	300	40.06	9-Nov	23-Jan	0.00 0.0
UBS OCONNOR	031	WB	С	60,000	35.56	n/a	n/a	1,800.00 3.0
MTB INV ADV	034	WMS	В	10,800	37.18	n/a	n/a	540.00 5.0
IVORY CAPITAL	034	WM	В	852 , 800	19.93	n/a	n/a	4,264.00 0.5
BUCK CAPITAL MGMT	001	WSM	SS	10,000	26.70	n/a	n/a	400.00 4.0
BUCK	015	УНОО	В	3,500	28.97	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT	001	YUM	SS	10,000	35.94	n/a	24-Apr	400.00 4.0

TOTAL (Trade Date): 117,745.70

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	02/01	NCM CAPITAL MGMT (NCMC210HEA)	035	BLK	S	220	218.84	21-Nov	30-Jan	8.80	4.0
AO,	02/01	NCM CAPITAL MGMT (NCMCASBWOR)	035	BLK	S	2,800	218.84	21-Nov	30-Jan	112.00	4.0
AO,	02/01	NCM CAPITAL MGMT (NCMCLOC210)	035	BLK	S	2,800	218.84	21-Nov	30-Jan	112.00	4.0
AO,	02/01	NCM CAPITAL MGMT (NCMCNBREVA)	035	BLK	S	750	218.84	21-Nov	30-Jan	30.00	4.0
AO,	02/01	NCM CAPITAL MGMT (NCMCWALGRE)	035	BLK	S	3,500	218.84	21-Nov	30-Jan	140.00	4.0
AO,	02/01	NCM CAPITAL MGMT (NCMCPROMID)	035	BLK	S	2,000	218.84	21-Nov	30-Jan	80.00	4.0
AO,	02/01	NCM CAPITAL MGMT (NCMCBRIWTR)	035	BLK	S	750	218.84	21-Nov	30-Jan	30.00	4.0
AO,	02/01	NCM CAPITAL MGMT (NCMCMATTHE)	035	BLK	S	1,160	218.84	21-Nov	30-Jan	46.40	4.0
AO,CXL	01/29	REICH AND TANG (RICHXALFRD)	067	CR	S	1,000	40.75	n/a	n/a	0.00	0.0
ΑΟ,	02/01	STG INVESTORS (STGIGOLDMA)	041	IFX	В	1,000	10.85	n/a	n/a	50.00	5.0
0,	02/01	STG INVESTORS (STGIBANC O)	041	IFX	В	20,900	10.85	n/a	n/a	1045.00	5.0
.0,	02/01	STG INVESTORS (STGIMAIN.)	041	IFX	В	28,100	10.85	n/a	n/a	1405.00	5.0
O,CXL	02/01	NEW JERSEY DIV OF INV. (NEWJ5140)	220	LXP	В	16,500	15.10	n/a	n/a	825.00	5.0
O,CRT	02/01	NEW JERSEY DIV OF INV. (NEWJ5140)	220	LXP	В	16,500	15.10	n/a	n/a	660.00	4.0
٥,	02/01	CATTANI (CATTANI)	041	PRUAX	В	535	14.03	n/a	n/a	0.00	0.0
O,CXL	02/01	THE BOSTON COMPANY (BOST427)	401	RL	S	1,310	60.13	14-Jan	1-Feb	52.40	4.0
۸٥,	02/01	THE BOSTON COMPANY (BOST546)	401	RL	S	1,310	60.13	14-Jan	1-Feb	52.40	4.0

TOTAL (AO, CXL, CRT): 2,894.20

TOTAL (Trade Date): 117,745.70

TOTAL (AO, CXL, CRT): 2,894.20

TOTAL (RUN DATE) / NFS: 120,639.90

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION