



Daily Compliance Activity Report

Trade Date: 01/31/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATTANI	041	AAPL	S	100	134.10	n/a	n/a	0.00	0.0
BACKBAY	041	ABG	B	12,600	13.47	25-Jan	31-Jan	630.00	5.0
BACKBAY	041	ABG	S	12,600	14.08	25-Jan	31-Jan	630.00	5.0
BALYASNY ASSET MGMT	044	ABG	B	15,000	13.74	25-Jan	31-Jan	750.00	5.0
⚡ BUCK CAPITAL MGMT		ABG	B	20,100	13.57	25-Jan	31-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		ABG	B	4,600	13.82	25-Jan	31-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		ABG	B	55,300	14.27	25-Jan	31-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		ABG	B	70,000	14.04	25-Jan	31-Jan	non-brg	2.5
COUGAR TRADING	044	ABG	B	10,000	13.78	25-Jan	31-Jan	500.00	5.0
FIRST NY SEC/CHRIS	041	ABG	B	17,000	13.46	25-Jan	31-Jan	850.00	5.0
FIRST NY SEC/CHRIS	041	ABG	S	22,500	14.18	25-Jan	31-Jan	1,125.00	5.0
HEALY CIRCLE	044	ABG	B	25,000	14.26	25-Jan	31-Jan	1,250.00	5.0
SCOPUS FUND	041	ABG	B	60,000	13.70	25-Jan	31-Jan	3,000.00	5.0
GLOB	060	ABG	B	4,000	14.07	25-Jan	31-Jan	160.00	4.0
PATTEN & PATTEN	067	ADSK	B	150	40.92	n/a	n/a	7.50	5.0
NEUBERGER BERMAN	036	ADS	B	132,300	49.31	n/a	n/a	5,953.50	4.5
BUCK CAPITAL MGMT	001	AEO	S	400,000	22.48	11-Jan	11-Jan	16,000.00	4.0
⚡ BUCK CAPITAL MGMT		AEO	S	60,000	23.06	11-Jan	11-Jan	non-brg	4.0
STRAUSS ASSET MGMT	040	AERO	B	138	5.50	n/a	n/a	4.14	3.0
PATTEN & PATTEN	067	AGG	B	250	103.38	n/a	n/a	12.50	5.0
ZOLIDIS	011	AMZN	C	300	70.48	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	ANF	B	150	79.62	10-Jan	29-Jan	0.00	0.0
⚡ BUCK CAPITAL MGMT		ANN	S	200	23.93	11-Jan	31-Jan	non-brg	1.0
COUGAR TRADING	044	ANN	B	5,000	24.10	11-Jan	31-Jan	250.00	5.0
FIRST NY SEC/CHRIS	041	ANN	B	10,000	22.84	11-Jan	31-Jan	600.00	6.0
⚡ BUCK CAPITAL MGMT		AN	SS	20,000	15.21	n/a	25-Jan	non-brg	4.0
CHARTER OAK	033	AN	SS	100,000	16.41	n/a	25-Jan	5,000.00	5.0
ZOLIDIS	011	ARO	B	500	27.73	29-Nov	29-Jan	0.00	0.0
⚡ BUCK CAPITAL MGMT		AVCI	C	10,000	6.28	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	AXE	B	5,000	69.11	29-Jan	29-Jan	250.00	5.0
COUGAR TRADING	044	AXE	SS	5,000	66.98	29-Jan	29-Jan	250.00	5.0
RAINIER INV MGMT	035	AXE	S	107,575	69.88	29-Jan	29-Jan	4,303.00	4.0
MATRIX ASSET ADV.	036	BAC	S	12,700	43.35	13-Nov	23-Jan	508.00	4.0
⚡ BUCK CAPITAL MGMT		BDK	SS	30,000	69.51	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	BEN	B	10,000	100.51	25-Sep	28-Jan	500.00	5.0
⚡ BUCK CAPITAL MGMT		BHI	B	10,000	65.02	n/a	n/a	non-brg	4.0
GRANITE CAPITAL	045	BKC	B	50,000	24.74	n/a	24-Apr	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		BKS	C	20,000	33.57	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	BK	SS	25,000	44.62	19-Oct	18-Jan	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAPITAL	038	BNI	B	36,000	85.96	n/a	n/a	1,800.00	5.0
⌘ BUCK CAPITAL MGMT		BONT	B	100,000	7.05	4-Jan	11-Jan	non-brg	4.0
KERN	050	BRWIX	B	1,084	32.28	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	BUCY	S	10,000	92.56	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		CAKE	C	20,000	21.05	n/a	24-Apr	non-brg	4.0
LEDDY	035	CHCG	B	4,500	3.02	n/a	n/a	0.00	0.0
SUTERA	160	CHP	S	2,500	5.95	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	CLMS	B	15,000	21.96	29-Jan	29-Jan	750.00	5.0
REICH AND TANG	067	CMC	S	25,000	28.39	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	COH	SS	20,000	29.97	24-Oct	24-Jan	800.00	4.0
⌘ BUCK CAPITAL MGMT		CPKI	C	20,000	13.70	n/a	n/a	non-brg	4.0
ZOLIDIS	011	CROX	S	400	32.41	n/a	n/a	0.00	0.0
TROUBH PART	067	CSCO	B	50,000	24.50	n/a	22-Mar	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		CTRN	S	30,000	13.20	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	CTX	B	50,000	27.41	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NY SEC/CHRIS	041	CY	S	15,000	20.70	n/a	n/a	900.00	6.0
B BUCK CAPITAL MGMT		DBRN	S	30,000	11.87	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DDS	SS	10,000	19.70	n/a	n/a	non-brg	4.0
BUCK	015	DD	B	500	45.19	n/a	22-Jan	0.00	0.0
ZOLIDIS	011	DECK	B	200	120.38	n/a	n/a	0.00	0.0
TROUBH PART	067	DISH	B	50,000	28.59	15-Nov	4-Jan	2,500.00	5.0
PATTEN & PATTEN	067	DIS	S	1,225	29.75	n/a	n/a	61.25	5.0
PRINCIPLE CAPITAL	040	DKS	SS	29,700	32.70	n/a	27-Nov	891.00	3.0
B BUCK CAPITAL MGMT		DLTR	C	20,000	27.14	9-Nov	11-Jan	non-brg	4.0
ARIENCE CAPITAL	036	DST	B	18,500	71.18	n/a	n/a	925.00	5.0
GAULT	063	DUG	S	500	46.84	n/a	n/a	0.00	0.0
BACKBAY	041	EJ	B	21,200	18.65	n/a	n/a	1,060.00	5.0
REICH AND TANG	067	EMN	S	10,000	66.26	n/a	n/a	500.00	5.0
SUTERA	160	ETFC	B	2,500	4.92	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	FCX	B	75	89.27	n/a	n/a	3.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		FDO	C	20,000	21.11	8-Jan	11-Jan	non-brg	4.0
MATTHES CAPITAL MGMT	033	FDO	SS	50,000	20.32	8-Jan	11-Jan	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		FOSL	B	50,000	33.08	13-Nov	29-Jan	non-brg	4.0
MAGUIRE	039	FXP	S	100	105.80	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	20,000	37.04	n/a	n/a	800.00	4.0
WHEELER	000	GFG	B	2,400	13.99	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GIL	B	50,000	36.40	22-Jan	31-Jan	non-brg	4.0
DELTEC ASSET MGMT.	040	GLW	S	5,000	24.15	n/a	28-Jan	250.00	5.0
HART	056	GMRE	B	10	2.36	n/a	n/a	0.00	0.0
SINCLAIR	031	GMRX	B	50	1.54	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	GM	SS	30,000	27.28	3-Jan	31-Jan	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		GM	SS	25,000	27.33	3-Jan	31-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GM	SS	30,000	26.76	3-Jan	31-Jan	non-brg	4.0
CAXTON PART	040	GM	S	50,000	26.53	3-Jan	31-Jan	1,750.01	3.5
GAULT	063	GM	SS	1,000	28.40	3-Jan	31-Jan	0.00	0.0
SANDLER O'NEILL ASSET	031	GS	B	10,000	201.51	21-Sep	22-Jan	500.00	5.0
COUGAR TRADING	044	GYMB	S	5,000	38.22	n/a	29-Jan	250.00	5.0
DELTEC ASSET MGMT.	040	HRS	S	20,000	53.59	11-Jan	30-Jan	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WESTLANE CAPITAL	044	HRS	S	4,000	53.92	11-Jan	30-Jan	200.00	5.0
WHEELER	000	HSTX	B	5,000	10.99	n/a	n/a	0.00	0.0
BRUNNER	012	HSTX	B	1,000	10.76	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	IGM	B	100	51.95	n/a	n/a	5.00	5.0
THIRD AVE MGMT	030	IM	S	100,000	17.82	n/a	n/a	4,000.00	4.0
PATTEN & PATTEN	067	ITT	B	75	59.06	n/a	13-Nov	3.75	5.0
IRON HORSE CAPITAL MGMT	038	IVZ	S	15,000	26.57	20-Dec	30-Jan	750.00	5.0
U.S.TRUST COMPANY	012	IVZ	B	110,000	26.51	20-Dec	30-Jan	3,300.00	3.0
B BUCK CAPITAL MGMT		JAH	S	10,000	21.35	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	JBX	S	15,000	29.16	n/a	24-Apr	750.00	5.0
B BUCK CAPITAL MGMT		JCG	S	40,000	44.09	28-Nov	18-Jan	non-brg	4.0
PRINCIPLE CAPITAL	040	JCG	SS	100,000	45.68	28-Nov	18-Jan	4,000.00	4.0
BEAR STEARNS ASSET MGMT.	033	JCP	S	50,000	46.41	4-Jan	11-Jan	2,000.00	4.0
BUCK CAPITAL MGMT	001	JCP	S	50,000	47.49	4-Jan	11-Jan	2,000.00	4.0
COUGAR TRADING	044	JCP	B	5,000	47.73	4-Jan	11-Jan	250.00	5.0
DELTEC ASSET MGMT.	040	JCP	S	9,000	47.47	4-Jan	11-Jan	450.00	5.0
LISTED ERR	099	JCP	B	1,100	47.39	4-Jan	11-Jan	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	B	30,000	16.46	n/a	30-Jan	1,200.00	4.0
EATON VANCE MGMT	039	JPM	S	127,435	47.49	17-Jan	22-Jan	5,097.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER CAPITAL	038	JPM	B	3,400	47.37	17-Jan	22-Jan	204.00	6.0
COUGAR TRADING	044	JWN	S	15,000	39.18	20-Nov	29-Jan	750.00	5.0
MATTHES CAPITAL MGMT	033	JWN	SS	50,000	38.30	20-Nov	29-Jan	2,000.00	4.0
SLS CAPITAL LLC	224	JWN	B	215,800	37.53	20-Nov	29-Jan	8,632.00	4.0
BUCK	015	JWN	C	700	39.47	20-Nov	29-Jan	0.00	0.0
PATTEN & PATTEN	067	KO	B	400	58.86	n/a	n/a	20.00	5.0
BUCK CAPITAL MGMT	001	KSS	SS	50,000	44.09	4-Jan	11-Jan	2,000.00	4.0
COUGAR TRADING	044	KSS	B	40,000	45.24	4-Jan	11-Jan	2,000.00	5.0
COUGAR TRADING	044	KSS	S	5,000	46.28	4-Jan	11-Jan	250.00	5.0
CAPITAL GROWTH MGMT.	241	LEH	B	10,000	64.38	n/a	22-Jan	500.00	5.0
B BUCK CAPITAL MGMT		LIZ	SS	50,000	21.54	n/a	28-Jan	non-brg	4.0
BALYASNY ASSET MGMT	044	LM	B	10,000	72.00	17-Dec	30-Jan	500.00	5.0
DIAMONDBACK CAP MGMT	232	LOW	B	25,000	26.24	n/a	27-Jul	1,250.00	5.0
HEALY CIRCLE	044	MAT	B	25,000	21.05	n/a	n/a	1,250.00	5.0
ZOLIDIS	011	MAT	C	400	20.43	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	MIC	B	200	33.00	n/a	n/a	10.00	5.0
B BUCK CAPITAL MGMT		MIPS	S	80,000	4.28	n/a	n/a	non-brg	3.0
NEUBERGER BERMAN	036	MO	S	99,570	75.60	n/a	n/a	4,480.66	4.5
PATTEN & PATTEN	067	MRK	B	400	45.88	n/a	n/a	20.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PRINCIPLE CAPITAL	040	MYL	SS	400,000	14.84	n/a	29-Jan	12,000.00	3.0
JP MORGAN VENTURES	046	M	S	25,000	25.90	4-Jan	29-Jan	1,250.00	5.0
THE JAY GOLDMAN	034	M	B	10,000	26.14	4-Jan	29-Jan	400.00	4.0
BUCK	015	NCC	SS	1,500	17.78	n/a	n/a	0.00	0.0
REICH AND TANG	067	NCS	S	25,000	27.40	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		NEXC	B	20,000	4.43	n/a	n/a	non-brg	3.0
LEDDY	035	NEXC	B	3,000	4.27	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	NOV	S	63,400	60.86	n/a	n/a	2,853.00	4.5
HIGHBRIDGE CAPITAL	038	NSC	B	59,000	51.41	n/a	n/a	2,950.00	5.0
PATTEN & PATTEN	067	NVS	B	75	50.54	n/a	n/a	3.75	5.0
PATTEN & PATTEN	067	OIH	S	100	157.03	n/a	n/a	5.00	5.0
CATAPULT	040	OZM	B	5,000	21.55	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		PAG	B	50,000	17.81	n/a	31-Jan	non-brg	4.0
WOOSTER CAPITAL	035	PAG	B	100,000	18.11	n/a	31-Jan	5,000.00	5.0
BUCK CAPITAL MGMT	001	PEP	B	10,000	67.17	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		PETM	SS	20,000	21.66	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	PFE	S	600	23.28	n/a	31-Mar	30.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GENERAL ELECTIC CO	033	PG	S	47,184	65.58	11-Dec	15-Jan	1,371.46	3.0
PATTEN & PATTEN	067	PPH	S	100	73.84	n/a	n/a	5.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	40,000	41.27	16-Jan	29-Jan	1,600.00	4.0
BUCK CAPITAL MGMT	001	RL	S	40,000	59.47	14-Jan	14-Jan	1,600.00	4.0
LEDDY	035	RL	S	200	60.27	14-Jan	14-Jan	0.00	0.0
ENTRUST CAPITAL	040	ROST	S	62,900	29.12	n/a	n/a	3,145.00	5.0
B BUCK CAPITAL MGMT		RRGB	C	20,000	34.89	n/a	n/a	non-brg	4.0
NEW JERSEY DIV OF INV.	220	RUS	S	10,000	14.61	n/a	n/a	400.00	4.0
GRONET	030	SBLK	B	1,000	10.96	n/a	n/a	0.00	0.0
BUCK	015	SBUX	B	1,500	18.88	n/a	24-Apr	0.00	0.0
GAULT	063	SKF	B	500	95.97	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	500	106.27	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SKT	C	20,000	37.13	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	19.82	n/a	13-Mar	400.00	4.0
SAXON WOODS ADVISORS	038	SLM	B	10,000	21.71	n/a	n/a	500.00	5.0
THIRD AVE MGMT	030	SNPS	B	100,000	21.82	n/a	n/a	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		SONC	C	20,000	22.36	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SPG	C	20,000	87.11	n/a	n/a	non-brg	4.0
REICH AND TANG	067	STE	B	25,000	24.73	n/a	22-Apr	1,250.00	5.0
NATIONAL BK OF CAN	031	STT	B	10,000	78.31	n/a	n/a	400.00	4.0
REICH AND TANG	067	SUG	B	25,000	26.67	n/a	n/a	1,250.00	5.0
AVESTA CAP	229	SVU	B	35,000	30.25	n/a	n/a	1,750.00	5.0
BUCK CAPITAL MGMT	001	TGT	SS	50,000	54.02	n/a	27-Jul	2,000.00	4.0
IRONBOUND CAPITAL	012	TIN	S	29,000	18.08	8-Jan	23-Jan	1,160.00	4.0
LEDDY	035	TIN	B	700	18.87	8-Jan	23-Jan	0.00	0.0
BUCK CAPITAL MGMT		TJX	S	20,000	30.75	21-Sep	29-Jan	non-brg	4.0
BUCK CAPITAL MGMT		TRLG	S	10,000	18.10	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	TROW	B	15,000	50.18	9-Jan	29-Jan	750.00	5.0
BUCK CAPITAL MGMT	001	UA	S	30,000	39.18	n/a	n/a	1,200.00	4.0
GRACIE CAPITAL	028	UA	B	25,000	39.61	n/a	n/a	1,250.00	5.0
GRACIE CAPITAL	028	UA	SS	25,000	38.74	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT		URBN	S	40,000	28.34	3-Jan	29-Jan	non-brg	4.0
BUCK CAPITAL MGMT		URBN	S	60,000	29.33	3-Jan	29-Jan	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GAULT	063	UYG	B	1,000	36.82	n/a	n/a	0.00	0.0
GAULT	063	UYG	S	1,000	38.04	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		VCGH	S	10,000	11.01	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		VCGH	S	10,000	11.95	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		VIRL	S	20,000	7.46	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		VNO	C	20,000	89.45	n/a	n/a	non-brg	4.0
GRONET	030	WBC	B	1,000	40.39	n/a	12-Dec	0.00	0.0
CATTANI	041	WBC	B	500	40.25	n/a	12-Dec	0.00	0.0
CAPITAL GROWTH MGMT.	241	WB	B	300,000	37.09	n/a	n/a	15,000.00	5.0
CASTLEROCK	040	WB	B	40,600	36.26	n/a	n/a	2,436.00	6.0
NATIONAL BK OF CAN	031	WB	B	21,000	37.89	n/a	n/a	840.00	4.0
NATIONAL BK OF CAN	031	WB	SS	21,000	36.59	n/a	n/a	840.00	4.0
BENCHMARK	028	WHR	B	2,500	84.76	n/a	n/a	125.00	5.0
⌘ BUCK CAPITAL MGMT		WHR	SS	20,000	80.91	n/a	n/a	non-brg	4.0
NEUBERGER BERMAN	036	WRI	B	22,700	32.90	n/a	n/a	1,021.50	4.5
BUCK CAPITAL MGMT	001	WSM	SS	10,000	27.05	n/a	n/a	400.00	4.0
BALYASNY ASSET MGMT	044	XHB	S	50,000	21.56	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		XLF	SS	348,800	28.12	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		XLF	SS	855,000	27.71	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	XLY	B	550	32.35	n/a	n/a	27.50	5.0
Ⓕ BUCK CAPITAL MGMT		YUM	SS	40,000	33.27	n/a	24-Apr	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		ZLC	SS	50,000	16.55	n/a	n/a	non-brg	4.0
THE JAY GOLDMAN	034	ZQK	S	20,000	9.70	23-Jan	23-Jan	800.00	4.0
TOTAL (Trade Date):							194,810.67		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	01/30	STRAUSS ASSET MGMT	040	AERO	B	21,400	5.49	n/a	n/a	642.00 3.0
AO,CXL	01/30	STRAUSS ASSET MGMT	040	AERO	B	21,400	5.49	n/a	n/a	1070.00 5.0
AO,CRT	01/30	SERENGETI MGMT	030	DRQ	B	70,000	50.57	n/a	n/a	3500.00 5.0
AO,CXL	01/30	SERENGETI MGMT	030	DRQ	B	70,000	50.57	n/a	n/a	2800.00 4.0
AO,	01/30	TRELLUS PARTNERS LP	028	GM	SS	50,000	27.73	3-Jan	31-Jan	2500.00 5.0
AO,	01/30	TRELLUS PARTNERS LP	028	GR	B	50,000	62.50	25-Oct	31-Jan	2500.00 5.0
AO,CRT	01/29	BUCK CAPITAL MGMT	001	VC	S	10,000	3.85	n/a	18-Jan	300.00 3.0
AO,CXL	01/29	BUCK CAPITAL MGMT	001	VC	S	10,000	3.85	n/a	18-Jan	400.00 4.0
AO,CXL	01/30	CAPITAL GROWTH MGMT.	241	X	S	35,000	102.50	n/a	n/a	5.12 0.0
AO,CRT	01/30	CAPITAL GROWTH MGMT.	241	X	S	35,000	102.50	n/a	n/a	1750.00 5.0
AO,CRT	01/30	BUCK CAPITAL MGMT	001	ZQK	B	20,000	8.89	23-Jan	23-Jan	800.00 4.0
AO,CXL	01/30	BUCK CAPITAL MGMT	001	ZQK	B	20,000	8.89	23-Jan	23-Jan	800.00 4.0
TOTAL (AO, CXL, CRT) :										6,916.88

TOTAL (Trade Date):	194,810.67
TOTAL (AO, CXL, CRT):	6,916.88

TOTAL (RUN DATE) / NFS:

201,727.55

MRI Securities with Trade Activity on 31-Jan.
NONE

MRI Securities without Trade Activity on 31-Jan.
AGN, BYD, CHS, USG

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 32%
YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION