



Daily Compliance Activity Report

Trade Date: 06/27/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|---------------------------|------|--------|-----|---------|--------|--------|----------|--------------|-----|
| BUCK CAPITAL MGMT | | AAPL | S | 5,000 | 119.88 | n/a | n/a | non-brg | 5.0 |
| AELTUS/ING INVESTMENT MGT | 044 | ABK | B | 195,520 | 84.65 | n/a | n/a | 7,820.80 | 4.0 |
| CAXTON PART | 040 | ABT | B | 25,000 | 53.70 | n/a | 22-Apr | 1,000.00 | 4.0 |
| MAGUIRE | 090 | ACI | B | 3,000 | 34.37 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | | AEO | B | 200,000 | 25.39 | n/a | 12-Jun | non-brg | 5.0 |
| ROARING BLUE LION MGMT | 012 | AEO | B | 4,000 | 25.55 | n/a | 12-Jun | 200.00 | 5.0 |
| FIRST NEW YORK LEDDY | 035 | AMR | B | 20,000 | 25.74 | n/a | n/a | 1,200.00 | 6.0 |
| BUCK CAPITAL MGMT | 001 | ANN | SS | 11,800 | 36.05 | 25-May | 8-Jun | 472.00 | 4.0 |
| LIGHTHOUSE FIN/QUAD | 046 | ARO | SS | 5,000 | 42.52 | n/a | 8-Jun | 250.00 | 5.0 |
| WEINTRAUB CAPITAL MGT. | 040 | ARO | SS | 50,000 | 42.82 | n/a | 8-Jun | 2,500.00 | 5.0 |
| BUCK CAPITAL MGMT | | ASD | B | 5,000 | 57.72 | 31-May | 25-Jun | non-brg | 5.0 |
| DSG CAPITAL | 225 | ASD | B | 3,000 | 58.41 | 31-May | 25-Jun | 150.00 | 5.0 |
| Philip Franz | | ASD | B | 200 | 58.42 | 31-May | 25-Jun | 0.00 | 0.0 |
| MCCARTAN | 028 | ASD | B | 200 | 57.95 | 31-May | 25-Jun | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | | AUTH | S | 26,300 | 11.00 | n/a | n/a | non-brg | 5.0 |
| BUCK CAPITAL MGMT | | AXE | S | 5,000 | 73.98 | 24-Apr | 16-May | non-brg | 5.0 |
| BUCK CAPITAL MGMT | | BBY | S | 5,300 | 45.74 | 1-May | 27-Jun | non-brg | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------|------|--------|-----|---------|--------|--------|----------|-------------|-----|
| BUCK CAPITAL MGMT | 001 | BID | B | 10,000 | 47.07 | n/a | n/a | 400.00 | 4.0 |
| CAPITAL GROWTH MGMT. | 241 | BID | S | 115,000 | 46.36 | n/a | n/a | 5,750.00 | 5.0 |
| DSG CAPITAL | 225 | BLK | S | 500 | 156.00 | 26-Jun | 27-Jun | 25.00 | 5.0 |
| ß BUCK CAPITAL MGMT | | BONT | S | 5,000 | 39.22 | 25-May | 8-Jun | non-brg | 5.0 |
| DURANGO INVESTMENTS | 031 | BRNC | B | 20,900 | 16.26 | n/a | n/a | 836.00 | 4.0 |
| MAGUIRE | 090 | BTU | B | 2,000 | 46.60 | n/a | n/a | 0.00 | 0.0 |
| ARIENCE CAPITAL | 036 | BYD | B | 12,875 | 48.64 | n/a | 27-Apr | 643.75 | 5.0 |
| HARVEST MGMT | 039 | CAO | S | 60,000 | 18.38 | n/a | n/a | 3,000.00 | 5.0 |
| NWQ INVESTMENT MGMT CO | 048 | CBS | S | 15,000 | 32.95 | n/a | n/a | 600.00 | 4.0 |
| ß BUCK CAPITAL MGMT | | CCI | B | 10,000 | 34.64 | n/a | n/a | non-brg | 5.0 |
| ß BUCK CAPITAL MGMT | | CCI | B | 6,300 | 34.46 | n/a | n/a | non-brg | 1.0 |
| MS PRINCIPAL STRATEGIES | 040 | CFC | B | 4,000 | 35.50 | n/a | n/a | 1.78 | 0.0 |
| ß BUCK CAPITAL MGMT | | CHCG | S | 20,000 | 6.06 | n/a | n/a | non-brg | 4.0 |
| KERN | 050 | CHCG | B | 1,000 | 6.12 | n/a | n/a | 0.00 | 0.0 |
| ß BUCK CAPITAL MGMT | | CHIC | B | 100,000 | 25.62 | n/a | n/a | non-brg | 5.0 |
| ß BUCK CAPITAL MGMT | | CHIC | B | 20,000 | 26.62 | n/a | n/a | non-brg | 2.0 |
| ß BUCK CAPITAL MGMT | | CHIC | B | 300,000 | 26.25 | n/a | n/a | non-brg | 5.0 |
| BUCK CAPITAL MGMT | 001 | CHS | SS | 20,000 | 25.06 | 31-May | 8-Jun | 800.00 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------|--------|-----|---------|-------|--------|----------|-------------|-----|
| DIAMONDBACK CAP MGMT | 232 | CLMS | B | 25,000 | 24.89 | 29-May | 27-Jun | 1,250.00 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | CMG | S | 2,000 | 82.20 | 24-Apr | 24-Apr | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | CMG | S | 3,000 | 82.20 | 24-Apr | 24-Apr | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | CMRG | S | 16,300 | 10.36 | n/a | n/a | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | CMRG | S | 300,000 | 10.35 | n/a | n/a | non-brg | 5.0 |
| BUCK CAPITAL MGMT | 001 | COH | B | 10,000 | 46.92 | 23-Feb | 25-Apr | 400.00 | 4.0 |
| OGORMAN | 146 | CPNLQ | S | 2,500 | 3.75 | n/a | 13-Jun | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | CRI | S | 20,000 | 25.17 | n/a | n/a | 800.00 | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | CROX | B | 20,000 | 41.95 | n/a | n/a | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | CROX | B | 20,000 | 42.05 | n/a | n/a | non-brg | 5.0 |
| WENTWORTH HAUSER VIOLICK | 035 | CSE | B | 99,950 | 24.50 | n/a | n/a | 4,997.50 | 5.0 |
| WEMT | 035 | CSE | B | 50 | 24.50 | n/a | n/a | 2.50 | 5.0 |
| MATTHES CAPITAL MGMT | 033 | CSV | S | 13,000 | 7.90 | n/a | n/a | 520.00 | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | CWTR | B | 15,000 | 23.62 | n/a | n/a | non-brg | 5.0 |
| NWQ INVESTMENT MGMT CO | 048 | C | S | 25,000 | 50.97 | n/a | 14-Jun | 1,000.00 | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | DBRN | B | 10,000 | 21.06 | n/a | n/a | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | DBRN | S | 20,000 | 21.06 | n/a | n/a | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | DDUP | S | 1,000 | 20.00 | n/a | n/a | non-brg | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|------------------------|------|--------|-----|---------|--------|--------|----------|--------------|
| ⌘ BUCK CAPITAL MGMT | | DIA | C | 16,500 | 132.96 | n/a | n/a | non-brg 2.0 |
| BUCK CAPITAL MGMT | 001 | DKS | B | 5,000 | 56.09 | n/a | n/a | 200.00 4.0 |
| BUCK CAPITAL MGMT | 001 | DSW | B | 40,000 | 34.81 | 7-May | 7-Jun | 1,600.00 4.0 |
| ⌘ BUCK CAPITAL MGMT | | EDA | S | 20,000 | 11.30 | n/a | n/a | non-brg 5.0 |
| CHILTON CAPITAL MGMT. | 036 | ETH | SS | 11,700 | 34.41 | n/a | n/a | 468.00 4.0 |
| ⌘ BUCK CAPITAL MGMT | | FL | B | 155,000 | 21.70 | 24-May | 21-Jun | non-brg 5.0 |
| ⌘ BUCK CAPITAL MGMT | | FL | B | 25,000 | 21.80 | 24-May | 21-Jun | non-brg 2.0 |
| MAZAMA CAPITAL MGMT. | 035 | FMR | B | 22,000 | 20.54 | n/a | n/a | 880.00 4.0 |
| BUCK CAPITAL MGMT | 001 | F | B | 21,200 | 9.03 | n/a | 14-Jun | 848.00 4.0 |
| ⌘ BUCK CAPITAL MGMT | | F | C | 100,000 | 9.21 | n/a | 14-Jun | non-brg 5.0 |
| BUCK CAPITAL MGMT | 001 | GCO | B | 170,000 | 52.62 | n/a | 10-Jan | 6,800.00 4.0 |
| ⌘ BUCK CAPITAL MGMT | | GES | S | 23,500 | 46.90 | n/a | n/a | non-brg 5.0 |
| NWQ INVESTMENT MGMT CO | 048 | GFF | B | 14,100 | 21.63 | n/a | n/a | 564.00 4.0 |
| FRIESS MGMT | 042 | GME | B | 96,800 | 40.13 | n/a | n/a | 4,356.00 4.5 |
| BUCK CAPITAL MGMT | 001 | GM | B | 2,700 | 36.29 | 4-May | 4-May | 108.00 4.0 |
| ⌘ BUCK CAPITAL MGMT | | GM | C | 37,000 | 37.21 | 4-May | 4-May | non-brg 5.0 |
| ⌘ BUCK CAPITAL MGMT | | GPI | B | 60,000 | 41.12 | 27-Apr | 2-May | non-brg 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------|------|--------|-----|--------|--------|--------|----------|-------------|-----|
| ⌘ BUCK CAPITAL MGMT | | GPI | S | 5,000 | 40.80 | 27-Apr | 2-May | non-brg | 5.0 |
| KACELA CAPITAL | 034 | GPS | S | 38,000 | 19.09 | n/a | 8-Jun | 1,520.00 | 4.0 |
| THIRD COAST CAPITAL | 035 | GPS | S | 75,000 | 19.11 | n/a | 8-Jun | 3,750.00 | 5.0 |
| MATTHES CAPITAL MGMT | 033 | HBI | B | 10,000 | 27.08 | n/a | n/a | 400.00 | 4.0 |
| WHEELER | 008 | HSTX | S | 946 | 17.87 | n/a | n/a | 0.00 | 0.0 |
| CAPITAL GROWTH MGMT. | 241 | ICE | B | 3,300 | 150.02 | n/a | n/a | 165.00 | 5.0 |
| BODRI CAPITAL MGMT | 035 | ILF | SS | 4,120 | 207.52 | n/a | n/a | 206.00 | 5.0 |
| PROSPECTOR PARTNERS LLC | 036 | IOSP | S | 7,000 | 60.41 | n/a | n/a | 280.00 | 4.0 |
| SUN AMERICA ASSET MGMT | 030 | IRM | B | 27,700 | 25.94 | n/a | n/a | 1,385.00 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | ISE | S | 28,400 | 65.27 | 30-Apr | 14-Jun | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | ISE | S | 50,000 | 65.27 | 30-Apr | 14-Jun | non-brg | 2.0 |
| REINHART & MAHONEY | 031 | ISIL | S | 17,490 | 31.21 | n/a | n/a | 874.50 | 5.0 |
| PHILADELPHIA FINANCIAL | 033 | IVZ | B | 19,441 | 25.88 | 14-Jun | 25-Jun | 972.05 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | IWM | C | 28,700 | 82.34 | n/a | n/a | non-brg | 2.0 |
| ⌘ BUCK CAPITAL MGMT | | JADE | S | 10,000 | 10.71 | n/a | n/a | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | JCP | S | 10,000 | 69.41 | 21-Jun | 21-Jun | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | JCP | S | 700 | 70.42 | 21-Jun | 21-Jun | non-brg | 1.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|---------------------------|------|--------|-----|---------|-------|--------|----------|-------------|-------|
| TRIVIUM CAP MGMT | 028 | JCP | B | 28,600 | 70.34 | 21-Jun | 21-Jun | 1,144.00 | 4.0 |
| AELTUS/ING INVESTMENT MGT | 044 | JEF | B | 36,700 | 26.94 | n/a | n/a | 1,468.00 | 4.0 |
| ⚡ BUCK CAPITAL MGMT | | JOSB | S | 11,000 | 41.36 | n/a | n/a | non-brg | 5.0 |
| CAXTON PART | 040 | KLAC | C | 50,000 | 56.42 | n/a | n/a | 2,000.00 | 4.0 |
| BARON ASSET MGMT* | 012 | KMX | B | 11,500 | 25.62 | n/a | 10-Jan | 575.00 | 5.0 |
| HARVEST MGMT | 039 | KR | S | 50,000 | 27.93 | n/a | n/a | 2,500.00 | 5.0 |
| ⚡ BUCK CAPITAL MGMT | | LAMR | B | 5,000 | 62.97 | n/a | n/a | non-brg | 1.0 |
| ⚡ BUCK CAPITAL MGMT | | LAVA | S | 35,000 | 14.28 | n/a | n/a | non-brg | 5.0 |
| ⚡ BUCK CAPITAL MGMT | | LBTYA | S | 10,000 | 41.19 | n/a | n/a | non-brg | 5.0 |
| ⚡ BUCK CAPITAL MGMT | | LBTYK | S | 10,000 | 39.41 | n/a | n/a | non-brg | 5.0 |
| TRUST CO/COWEN/CALF. | 040 | LSI | B | 326,354 | 8.48 | n/a | n/a | 13,054.16 | 4.0 |
| BUCK CAPITAL MGMT | 001 | LTM | B | 10,000 | 53.77 | n/a | n/a | 400.00 | 4.0 |
| CROWLEY | 061 | MIRWSB | S | 500 | 23.34 | n/a | n/a | 0.00 | 0.0 |
| NWQ INVESTMENT MGMT CO | 048 | MOT | S | 50,000 | 17.82 | n/a | n/a | 2,000.00 | 4.0 |
| ⚡ BUCK CAPITAL MGMT | | MRU+TV | B | 100 | 1.35 | n/a | n/a | non-brg | 300.0 |
| BUCK CAPITAL MGMT | 001 | M | SS | 10,000 | 39.09 | 17-May | 8-Jun | 400.00 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|------------------------|------|--------|-----|---------|-------|--------|----------|--------------|-----|
| ⌘ BUCK CAPITAL MGMT | | NEXC | S | 10,000 | 10.65 | n/a | n/a | non-brg | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | NKE | B | 157,700 | 58.14 | n/a | n/a | 7,885.00 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | NLS | S | 20,000 | 12.25 | n/a | n/a | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | NLS | S | 200,000 | 12.22 | n/a | n/a | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | NLS | S | 80,000 | 12.21 | n/a | n/a | non-brg | 5.0 |
| DEMARTINI | 065 | OBTAM | B | 25 | 5.20 | n/a | n/a | 0.00 | 0.0 |
| SUN AMERICA ASSET MGMT | 030 | ODP | B | 19,300 | 32.13 | n/a | 26-Jun | 965.00 | 5.0 |
| BINDER | 007 | OMX | C | 100 | 39.91 | n/a | 15-Apr | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | OXM | S | 11,300 | 44.62 | n/a | n/a | 452.00 | 4.0 |
| FIRST INV MGMT CORP | 036 | PGR | B | 15,300 | 22.95 | n/a | n/a | 765.00 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | PLCE | S | 82,479 | 52.22 | 12-Apr | 8-Jun | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | PSUN | S | 20,000 | 21.90 | n/a | 8-Jun | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | PSUN | S | 7,500 | 21.83 | n/a | 8-Jun | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | PTT | B | 10,000 | 8.01 | n/a | n/a | non-brg | 1.0 |
| ⌘ BUCK CAPITAL MGMT | | RICK | B | 10,000 | 9.56 | n/a | n/a | non-brg | 5.0 |
| A.I.M. ADVISORS INC | 222 | RL | S | 33,299 | 98.66 | 21-Jun | 27-Jun | 1,331.96 | 4.0 |
| COUGAR TRADING | 044 | RL | SS | 5,000 | 98.33 | 21-Jun | 27-Jun | 250.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|----------------------------|------------|-------------|-----------|----------------|---------------|---------------|---------------|----------------|------------|
| SUN AMERICA ASSET MGMT | 030 | ROG | B | 11,500 | 39.07 | n/a | n/a | 575.00 | 5.0 |
| B BUCK CAPITAL MGMT | | RVI | S | 11,000 | 15.59 | n/a | n/a | non-brg | 5.0 |
| CHILTON CAPITAL MGMT. | 036 | SCS | SS | 15,000 | 18.05 | n/a | n/a | 600.00 | 4.0 |
| MORRISON & FRASIER | 040 | SDS | B | 22,150 | 53.79 | n/a | n/a | 886.00 | 4.0 |
| FRIESS MGMT | 042 | SKS | B | 146,200 | 20.98 | n/a | n/a | 6,579.00 | 4.5 |
| B BUCK CAPITAL MGMT | | SKX | B | 150,000 | 28.95 | n/a | 13-Mar | non-brg | 5.0 |
| B BUCK CAPITAL MGMT | | SPRD | S | 11,000 | 14.79 | n/a | n/a | non-brg | 5.0 |
| B BUCK CAPITAL MGMT | | SPY | C | 14,300 | 148.91 | n/a | n/a | non-brg | 2.0 |
| DOWNTOWN ASSOC | 033 | SPY | B | 100,000 | 148.74 | n/a | n/a | 3,500.00 | 3.5 |
| B BUCK CAPITAL MGMT | | SSI | S | 22,500 | 20.76 | 25-May | 12-Jun | non-brg | 5.0 |
| DE SHAW | 040 | SUNW | B | 1,250,000 | 5.06 | n/a | n/a | 37,500.00 | 3.0 |
| BARR | 096 | SWIM | C | 1,400 | 9.98 | n/a | n/a | 0.00 | 0.0 |
| STRAUSS ASSET MGMT | 040 | TAST | S | 15,000 | 15.21 | n/a | n/a | 750.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | TGT | SS | 20,000 | 63.71 | n/a | 7-Jun | 800.00 | 4.0 |
| THIRD COAST CAPITAL | 035 | TGT | SS | 25,000 | 62.80 | n/a | 7-Jun | 1,250.00 | 5.0 |
| HARVEST MGMT | 039 | TJX | B | 50,000 | 26.99 | 21-Mar | 8-Jun | 2,500.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|-------------------------|------|--------|-----|--------|-------|--------|----------|--------------|
| BUCK CAPITAL MGMT | | TRLG | S | 13,400 | 19.82 | n/a | n/a | non-brg 5.0 |
| BUCK CAPITAL MGMT | 001 | TWB | B | 10,000 | 44.75 | 23-May | 23-May | 400.00 4.0 |
| BUCK CAPITAL MGMT | | TWB | B | 50,000 | 44.99 | 23-May | 23-May | non-brg 5.0 |
| MCCARTAN | 028 | TYC | S | 350 | 33.50 | 19-Jun | 19-Jun | 0.00 0.0 |
| BUCK CAPITAL MGMT | | UAG | S | 5,000 | 21.00 | n/a | 9-May | non-brg 5.0 |
| BUCK CAPITAL MGMT | | URBN | B | 10,000 | 23.26 | 2-Apr | 11-May | non-brg 5.0 |
| REINHART & MAHONEY | 031 | VAR | B | 17,770 | 40.91 | n/a | n/a | 888.50 5.0 |
| LOOMIS SALES/BOSTON | 036 | VCI | B | 62,950 | 17.40 | n/a | n/a | 2,518.00 4.0 |
| NWQ INVESTMENT MGMT CO | 048 | VIAB | S | 20,000 | 41.26 | n/a | n/a | 800.00 4.0 |
| MS PRINCIPAL STRATEGIES | 040 | VLY | B | 3,972 | 22.60 | n/a | n/a | 1.13 0.0 |
| SUN AMERICA ASSET MGMT | 030 | WFMI | B | 10,800 | 38.55 | n/a | n/a | 540.00 5.0 |
| SENTINAL CAPITAL | 067 | WFT | S | 3,000 | 55.12 | n/a | n/a | 150.00 5.0 |
| BUCK CAPITAL MGMT | | WRNC | SS | 20,000 | 37.78 | 20-Jun | 20-Jun | non-brg 5.0 |
| BUCK CAPITAL MGMT | | WRNC | S | 1,000 | 37.51 | 20-Jun | 20-Jun | non-brg 1.0 |
| BUCK CAPITAL MGMT | | WRNC | S | 10,000 | 38.64 | 20-Jun | 20-Jun | non-brg 5.0 |
| BUCK CAPITAL MGMT | | WRNC | S | 30,000 | 38.60 | 20-Jun | 20-Jun | non-brg 5.0 |
| BUCK CAPITAL MGMT | | WSO | B | 15,000 | 54.32 | n/a | n/a | non-brg 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|---------------------|------|--------|-----|--------|-------|-------|----------|--------------|-----|
| 8 BUCK CAPITAL MGMT | | ZQK | S | 29,400 | 13.37 | 9-Mar | 27-Jun | non-brg | 5.0 |
| COUGAR TRADING | 044 | ZQK | B | 5,000 | 13.65 | 9-Mar | 27-Jun | 250.00 | 5.0 |
| TOTAL (Trade Date): | | | | | | | | 155,673.63 | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------------------------------|-------|----------------------|------|--------|-----|---------|-------|--------|----------|-------------------|
| AO,CXL | 06/26 | MAZAMA CAPITAL MGMT. | 035 | FMR | B | 2,100 | 20.63 | n/a | n/a | 84.00 4.0 |
| AO,CRT | 06/26 | MAZAMA CAPITAL MGMT. | 035 | FMR | B | 2,100 | 20.63 | n/a | n/a | 84.00 4.0 |
| AO, | 06/26 | NUMERIC | 060 | GE | S | 116,100 | 38.06 | n/a | n/a | 3483.00 3.0 |
| AO,CXL | 06/26 | NUMERIC | 060 | GE | S | 116,100 | 38.06 | n/a | n/a | 3483.00 3.0 |
| AO,CXL | 06/26 | MATTHES CAPITAL MGMT | 033 | HBI | B | 21,000 | 26.47 | n/a | n/a | 840.00 4.0 |
| AO, | 06/26 | MATTHES CAPITAL MGMT | 033 | HBI | B | 8,418 | 26.47 | n/a | n/a | 336.72 4.0 |
| AO,CRT | 06/26 | MATTHES CAPITAL MGMT | 033 | HBI | B | 12,582 | 26.47 | n/a | n/a | 503.28 4.0 |
| AO,CRT | 06/26 | TROOB CAPITAL | 067 | HBI | S | 20,000 | 26.50 | n/a | n/a | 600.00 3.0 |
| AO,CXL | 06/26 | TROUBH PART | 067 | HBI | S | 20,000 | 26.50 | n/a | n/a | 1000.00 5.0 |
| AO,CXL | 06/26 | FRIESS MGMT | 042 | URBN | B | 400 | 23.61 | 2-Apr | 11-May | 18.00 4.5 |
| AO,CRT | 06/26 | FRIESS MGMT | 042 | URBN | B | 400 | 23.61 | 2-Apr | 11-May | 18.00 4.5 |
| AO,CRT | 06/26 | MKT MAKER | 099 | WRNC | B | 131 | 37.05 | 20-Jun | 20-Jun | 0.00 0.0 |
| TOTAL (AO, CXL, CRT) : | | | | | | | | | | -400.00 |
| TOTAL (Trade Date): | | | | | | | | | | 155,673.63 |
| TOTAL (AO, CXL, CRT): | | | | | | | | | | -400.00 |
| TOTAL (RUN DATE) / NFS: | | | | | | | | | | 155,273.63 |

MRI Securities with Trade Activity on 27-Jun.
NONE

MRI Securities without Trade Activity on 27-Jun.
CLB

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 11%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION