



## Daily Compliance Activity Report

Trade Date: 01/24/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>AB-S</b>	<b>S</b>	<b>46,500</b>	<b>63.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
BALYASNY ASSET MGMT	044	AB	S	35,000	63.11	11-Jan	24-Jan	1,750.00	5.0
PERRY PARTNERS	041	ADS	SS	75,000	67.11	n/a	n/a	2,250.00	3.0
<b>WYCKOFF</b>	<b>013</b>	<b>AEO</b>	<b>B</b>	<b>300</b>	<b>21.74</b>	<b>11-Jan</b>	<b>11-Jan</b>	<b>0.00</b>	<b>0.0</b>
CAXTON PART	040	AMCN	C	25,000	21.93	n/a	n/a	875.01	3.5
BALYASNY ASSET MGMT	044	AMG	S	30,000	98.49	9-Jan	23-Jan	1,500.00	5.0
ARIENCE CAPITAL	036	ANF	SS	8,500	76.43	10-Jan	22-Jan	425.00	5.0
COUGAR TRADING	044	ANN	SS	5,000	21.44	11-Jan	11-Jan	250.00	5.0
CAPITAL GROWTH MGMT.	241	ANR	B	50,000	28.07	n/a	n/a	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AN</b>	<b>SS</b>	<b>20,000</b>	<b>15.38</b>	<b>n/a</b>	<b>15-Jan</b>	<b>800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>APP</b>	<b>B</b>	<b>40,000</b>	<b>11.12</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>LEDY</b>	<b>035</b>	<b>APP</b>	<b>S</b>	<b>3,300</b>	<b>11.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AVCI</b>	<b>C</b>	<b>20,000</b>	<b>6.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CIBC ADV.	060	BAC	S	147,000	39.97	13-Nov	23-Jan	5,880.00	4.0
NATIONAL BK OF CAN	031	BAC	B	30,000	40.30	13-Nov	23-Jan	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NATIONAL BK OF CAN	031	BAC	SS	30,000	40.14	13-Nov	23-Jan	1,200.00	4.0
NATIONAL BK OF CAN	031	BBT	B	50,000	35.82	n/a	n/a	2,000.00	4.0
ONE EAST CAPITAL ADV	038	BEAS	B	150,000	18.45	n/a	3-Apr	6,000.00	4.0
BALYASNY ASSET MGMT	044	BEN	B	10,000	99.84	25-Sep	24-Jan	500.00	5.0
ß BUCK CAPITAL MGMT		BIG	C	20,000	16.17	7-Jan	11-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		BJ	C	80,000	31.65	n/a	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		BRNC	S	100,000	15.71	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BRNC	S	200,000	15.68	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BRNC	S	250,000	15.63	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BRNC	S	50,000	15.68	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BRNC	S	95,300	15.68	n/a	n/a	non-brg	4.0
CAPITAL GROWTH MGMT.	241	BRY	S	50,000	36.08	n/a	n/a	2,500.00	5.0
GAULT	063	CALPINE CORP	S	100,000	105.00	n/a	n/a	0.00	0.0
SSI INVESTORS	048	CBT	SS	5,000	31.78	n/a	24-Jan	200.00	4.0
ß BUCK CAPITAL MGMT		CHIC	S	100,000	16.23	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	CHIC	S	10,000	16.24	n/a	n/a	500.00	5.0
WEINTRAUB CAPITAL MGT.	040	CHS	SS	100,000	8.97	10-Jan	11-Jan	3,000.00	3.0
DSG CAPITAL	225	CLMS	B	5,000	21.15	15-Jan	24-Jan	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	COF	SS	800	48.38	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	COH	SS	30,000	30.87	24-Oct	24-Jan	1,200.00	4.0
8 BUCK CAPITAL MGMT		COLM	SS	10,000	41.87	n/a	n/a	non-brg	2.5
8 BUCK CAPITAL MGMT		COST	SS	10,000	68.01	n/a	27-Jul	non-brg	4.0
DC CAPITAL	044	CVS	S	50,000	35.89	n/a	n/a	2,500.00	5.0
GLG PARTNERS	034	CVS	S	50,000	36.39	n/a	n/a	2,500.00	5.0
NATIONAL BK OF CAN	031	C	B	80,000	27.21	16-Jan	22-Jan	3,200.00	4.0
NATIONAL BK OF CAN	031	C	SS	50,000	27.00	16-Jan	22-Jan	2,000.00	4.0
NATIONAL BK OF CAN	031	C	S	30,000	27.14	16-Jan	22-Jan	1,200.00	4.0
BUCK	015	C	S	500	27.11	16-Jan	22-Jan	0.00	0.0
BUCK	015	DD	S	1,000	44.88	n/a	22-Jan	0.00	0.0
ZOLIDIS	011	DECK	S	200	133.65	n/a	n/a	0.00	0.0
DIAMONDBACK JOHN MAQUIRE	039	DF	B	25,000	28.26	n/a	n/a	1,250.00	5.0
ROXBURY CAPITAL MGMT	035	DRI	B	220,000	26.40	n/a	24-Apr	8,800.00	4.0
GAULT	063	DUG	B	200	46.06	n/a	n/a	0.00	0.0
SCOPUS FUND	041	EL	B	10,000	40.41	4-Jan	4-Jan	500.00	5.0
EMP ERROR	099	EL	S	100	39.18	4-Jan	4-Jan	0.00	0.0
BUCK CAPITAL MGMT	001	ETH	SS	10,000	30.73	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MATTHES CAPITAL MGMT	033	FDO	SS	53,600	19.54	8-Jan	11-Jan	2,680.00	5.0
NATIONAL BK OF CAN	031	FNM	B	90,000	34.07	n/a	n/a	3,600.00	4.0
NATIONAL BK OF CAN	031	FNM	S	90,000	35.06	n/a	n/a	3,600.00	4.0
⌘ BUCK CAPITAL MGMT		FOR	B	20,000	21.90	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	B	140,000	32.16	13-Nov	18-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	B	25,000	31.99	13-Nov	18-Jan	non-brg	2.0
N STRATTON	014	GF	S	99	14.40	n/a	n/a	0.00	0.0
SINCLAIR	031	GIYEC	B	10	3.00	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	B	25,000	18.31	n/a	11-Jan	1,250.00	5.0
FIRST NEW YORK LEDDY	035	GPS	B	10,000	18.24	n/a	11-Jan	600.00	6.0
NOMURA CAPITAL	045	GT	S	8,000	25.01	n/a	n/a	400.00	5.0
IRIDIAN ASSET MGMT.	036	HAL	B	54,070	32.14	n/a	n/a	2,703.50	5.0
DAVIDSON KEMPNER ADVISOR	040	HBAN	B	150,000	12.17	n/a	n/a	7,500.00	5.0
BUCK CAPITAL MGMT	001	HD	SS	10,000	29.78	n/a	27-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	HOG	SS	10,000	40.54	n/a	n/a	400.00	4.0
IRIDIAN ASSET MGMT.	036	HPQ	B	19,940	43.82	n/a	n/a	997.00	5.0
TRIVIUM CAP MGMT	251	IAR	SS	25,000	15.40	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>ZOLIDIS</b>	<b>011</b>	<b>ICON</b>	<b>S</b>	<b>1,000</b>	<b>20.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IRIDIAN ASSET MGMT.	036	INTC	B	42,750	20.37	n/a	n/a	2,137.50	5.0
GALLEON MGMT LP	033	ITW	SS	10,000	50.13	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	IVZ	S	50,000	25.57	20-Dec	24-Jan	2,500.00	5.0
U.S.TRUST COMPANY	012	IVZ	B	151,200	25.99	20-Dec	24-Jan	4,536.00	3.0
COUGAR TRADING	044	JCG	B	5,000	43.29	28-Nov	18-Jan	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	42.62	28-Nov	18-Jan	250.00	5.0
EGS ASSOCIATES LP	091	JCG	SS	7,500	43.27	28-Nov	18-Jan	450.00	6.0
<b>SINCLAIR</b>	<b>031</b>	<b>JGQCA</b>	<b>B</b>	<b>40</b>	<b>0.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>JJG</b>	<b>B</b>	<b>400</b>	<b>58.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DE SHAW	040	JNS	S	100,000	27.84	9-Jan	24-Jan	3,000.00	3.0
NUMERIC	060	JNS	S	30,000	27.81	9-Jan	24-Jan	900.00	3.0
<b>GAULT</b>	<b>063</b>	<b>JPM</b>	<b>S</b>	<b>300</b>	<b>45.79</b>	<b>17-Jan</b>	<b>22-Jan</b>	<b>0.00</b>	<b>0.0</b>
MATTHES CAPITAL MGMT	033	JWN	SS	25,000	36.21	20-Nov	11-Jan	1,250.00	5.0
KACELA CAPITAL	034	KR	S	25,000	26.01	n/a	n/a	1,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>SS</b>	<b>20,000</b>	<b>44.68</b>	<b>4-Jan</b>	<b>11-Jan</b>	<b>800.00</b>	<b>4.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>SS</b>	<b>50,000</b>	<b>43.96</b>	<b>4-Jan</b>	<b>11-Jan</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	KSS	SS	5,000	42.80	4-Jan	11-Jan	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NATIONAL BK OF CAN	031	LEH	S	50,000	58.88	n/a	22-Jan	2,000.00	4.0
STADIA CAPITAL	035	LIZ	B	15,000	21.09	n/a	31-Oct	600.00	4.0
KENNEDY CAPITAL	046	LSI	S	370,535	4.83	n/a	n/a	7,410.70	2.0
<b>WHEELER</b>	<b>008</b>	<b>MBI</b>	<b>S</b>	<b>5,000</b>	<b>18.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>MCO</b>	<b>B</b>	<b>100,000</b>	<b>37.04</b>	<b>n/a</b>	<b>n/a</b>	<b>4,000.00</b>	<b>4.0</b>
CATAPULT	040	MF	S	12,500	29.35	n/a	n/a	625.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MIPS</b>	<b>S</b>	<b>50,000</b>	<b>3.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>BUCK</b>	<b>015</b>	<b>MON</b>	<b>SS</b>	<b>300</b>	<b>109.80</b>	<b>n/a</b>	<b>14-Jan</b>	<b>0.00</b>	<b>0.0</b>
GALLEON MGMT LP	033	MO	S	5,000	74.77	n/a	n/a	250.00	5.0
PERRY PARTNERS	041	MPG	SS	111,000	26.15	n/a	n/a	3,330.00	3.0
CAXTON PART	040	MSFT	S	118,725	32.18	n/a	3-Apr	4,155.39	3.5
WEISS PECK	040	MW	S	16,700	23.76	n/a	n/a	835.00	5.0
BEAR STEARNS ASSET MGMT.	033	M	B	50,000	25.73	4-Jan	11-Jan	2,000.00	4.0
COUGAR TRADING	044	M	S	5,000	25.36	4-Jan	11-Jan	250.00	5.0
<b>MCERLEAN</b>	<b>RR2</b>	<b>M</b>	<b>S</b>	<b>500</b>	<b>25.68</b>	<b>4-Jan</b>	<b>11-Jan</b>	<b>0.00</b>	<b>0.0</b>
NATIONAL BK OF CAN	031	NDAQ	B	35,000	44.17	n/a	n/a	1,400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>WYCKOFF</b>	<b>013</b>	<b>NILE</b>	<b>B</b>	<b>100</b>	<b>55.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IVORY CAPITAL	034	NOK	S	200,000	34.56	n/a	n/a	10,000.00	5.0
KACELA CAPITAL	034	NWL	S	25,000	24.22	n/a	n/a	1,000.00	4.0
NATIONAL BK OF CAN	031	NYX	B	23,000	77.17	n/a	n/a	920.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PETM</b>	<b>SS</b>	<b>10,000</b>	<b>22.52</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROARING BLUE LION MGMT	012	PFCB	S	5,800	27.78	n/a	24-Apr	232.00	4.0
ELM RIDGE ADVISORS	044	PKG	B	176,300	23.82	23-Jan	23-Jan	7,052.00	4.0
COUGAR TRADING	044	PSUN	B	45,000	10.17	n/a	11-Jan	2,250.00	5.0
EGS ASSOCIATES LP	091	PSUN	S	3,000	10.00	n/a	11-Jan	180.00	6.0
FIRST NEW YORK LEDDY	035	Q	B	10,000	5.68	n/a	n/a	600.00	6.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>S</b>	<b>40,000</b>	<b>61.87</b>	<b>14-Jan</b>	<b>14-Jan</b>	<b>1,600.00</b>	<b>4.0</b>
PRINCIPLE CAPITAL	040	SBUX	B	87,700	20.22	n/a	24-Apr	2,631.00	3.0
<b>GAULT</b>	<b>063</b>	<b>SDS</b>	<b>C</b>	<b>500</b>	<b>64.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>B</b>	<b>40,000</b>	<b>19.17</b>	<b>n/a</b>	<b>13-Mar</b>	<b>1,600.00</b>	<b>4.0</b>
FIRST NY SEC/CHRIS	041	SOLF	B	20,000	16.16	n/a	n/a	1,200.00	6.0
<b>OTC ERROR</b>	<b>099</b>	<b>SOLF</b>	<b>B</b>	<b>20,000</b>	<b>16.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OTC ERROR	099	SOLF	S	20,000	15.96	n/a	n/a	0.00	0.0
GOLDMAN SACHS ASSET MGMT	044	SU	B	136,303	87.65	n/a	n/a	2,044.55	1.5
⌘ BUCK CAPITAL MGMT		SYNP	S	12,500	5.40	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SYNP	S	13,481	5.40	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	091	TGT	SS	10,000	53.61	n/a	27-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	TGT	SS	10,000	54.14	n/a	27-Jul	400.00	4.0
COUGAR TRADING	044	TJX	B	5,000	31.06	21-Sep	11-Jan	250.00	5.0
BALYASNY ASSET MGMT	044	TLB	SS	50,000	7.30	n/a	7-Jan	2,000.00	4.0
PALMYRA CAPITAL	048	TLB	SS	20,300	8.00	n/a	7-Jan	609.00	3.0
COUGAR TRADING	044	TWB	S	5,000	30.80	9-Jan	9-Jan	250.00	5.0
TRELLUS PARTNERS LP	028	TWTC	C	105,100	17.03	9-Nov	4-Jan	5,255.00	5.0
MKT MAKER	099	TWTC	S	100	17.03	9-Nov	4-Jan	0.00	0.0
IRIDIAN ASSET MGMT.	036	TXN	B	28,830	30.13	n/a	n/a	1,441.50	5.0
MATTHES CAPITAL MGMT	033	TXRH	SS	50,000	10.61	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	T	B	61,600	36.89	n/a	4-Jan	2,464.00	4.0
⌘ BUCK CAPITAL MGMT		T	C	25,000	36.64	n/a	4-Jan	non-brg	1.0
CASTLE ARK	031	UNH	SS	1,180	49.56	n/a	n/a	70.80	6.0
⌘ BUCK CAPITAL MGMT		URBN	S	20,000	26.68	3-Jan	18-Jan	non-brg	4.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CENTURION PARTNERS	255	USB	B	25,000	33.35	n/a	n/a	1,250.00	5.0
SCOPUS FUND	041	USG	S	50,583	33.90	8-Jan	23-Jan	2,529.15	5.0
⚡ BUCK CAPITAL MGMT		VIRL	S	20,000	6.96	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	VZ	B	61,900	38.42	n/a	4-Jan	2,476.00	4.0
⚡ BUCK CAPITAL MGMT		VZ	C	18,000	38.12	n/a	4-Jan	non-brg	1.0
LEDDY	035	WBC	B	300	39.97	n/a	12-Dec	0.00	0.0
BUCK	015	WB	B	2,100	36.05	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	WFC	B	200,000	31.08	n/a	n/a	10,000.00	5.0
⚡ BUCK CAPITAL MGMT		WSM	SS	20,000	24.60	n/a	n/a	non-brg	4.0
BULL PATH CAPITAL	044	WSM	S	30,000	25.76	n/a	n/a	1,500.00	5.0
KARSCH CAPITAL	034	WYN	C	210,300	21.73	n/a	n/a	6,309.00	3.0
NATIONAL BK OF CAN	031	XLF	B	150,000	27.85	n/a	n/a	6,000.00	4.0
NATIONAL BK OF CAN	031	XLF	SS	100,000	27.61	n/a	n/a	4,000.00	4.0
NATIONAL BK OF CAN	031	XLF	S	50,000	27.76	n/a	n/a	2,000.00	4.0
GAULT	063	XRT	S	1,000	32.85	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	S	5,000	8.71	23-Jan	23-Jan	250.00	5.0

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**TOTAL (Trade Date):**

**209,804.10**

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# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	01/22	DAVIDSON KEMPNER ADVISOR	040	DAL	S	319	15.04	n/a	n/a	15.95	5.0
AO,CRT	01/22	DAVIDSON KEMPNER ADVISOR	040	DAL	S	319	15.04	n/a	n/a	15.95	5.0
AO,	01/23	DAVIDSON KEMPNER ADVISOR	040	DAL	S	15,800	15.00	n/a	n/a	790.00	5.0
AO,CXL	01/23	MCCARTAN	028	EL	C	100	41.09	4-Jan	4-Jan	0.00	0.0
AO,CRT	01/23	EMP ERROR	099	EL	C	100	41.09	4-Jan	4-Jan	0.00	0.0
AO,CRT	01/23	ENTRUST CAPITAL	040	ETN	B	20,000	79.41	22-Jan	22-Jan	1000.00	5.0
AO,CXL	01/23	ENTRUST CAPITAL	040	ETN	B	20,000	79.41	22-Jan	22-Jan	1000.00	5.0
AO,CRT	01/23	SAC TRADING HOWARD	063	FNF	SS	100,000	15.50	n/a	n/a	5000.00	5.0
AO,CXL	01/23	SAC TRADING/BILLY	063	FNF	SS	100,000	15.50	n/a	n/a	5000.00	5.0
AO,CXL	01/23	BLUE HARBOR	036	GYMB	S	7,500	35.07	10-Aug	11-Jan	300.00	4.0
AO,CRT	01/23	ROARING BLUE LION MGMT	012	GYMB	S	7,500	35.07	10-Aug	11-Jan	300.00	4.0
AO,CRT	01/23	EMP ERROR	099	M	B	500	24.70	4-Jan	11-Jan	0.00	0.0
AO,CXL	01/23	MCKERLEAN	RR2	M	B	500	24.70	4-Jan	11-Jan	0.00	0.0
AO,CXL	01/23	MCKERLEAN	RR2	M	S	500	24.43	4-Jan	11-Jan	0.00	0.0
AO,CRT	01/23	EMP ERROR	099	M	S	500	24.43	4-Jan	11-Jan	0.00	0.0
AO,CXL	01/22	N STRATTON	014	MGM	S	198	68.75	n/a	9-Jan	0.00	0.0
AO,CRT	01/22	N STRATTON	014	MGM	S	198	68.75	n/a	9-Jan	0.00	0.0
AO,CRT	01/23	SONAR CAP MGMT	039	NOK	B	75,000	31.56	n/a	n/a	3000.00	4.0
AO,CXL	01/23	SONAR CAP MGMT	039	NOK	B	75,000	31.56	n/a	n/a	3750.00	5.0
AO,	01/23	LISTED ERR	099	SWN	S	1,600	50.82	14-Jan	14-Jan	0.00	0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,	01/23	GOLDMAN SACHS ASSET MGMT	044	UAPH	S	82,003	38.26	n/a	n/a 2460.09 3.0
<b>TOTAL (AO, CXL, CRT) :</b>									<b>2,500.09</b>

TOTAL (Trade Date):	209,804.10
TOTAL (AO, CXL, CRT):	2,500.09

<b>TOTAL (RUN DATE) / NFS:</b>	<b>212,304.19</b>
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MRI Securities with Trade Activity on 24-Jan.  
NONE

MRI Securities without Trade Activity on 24-Jan.  
CBE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 23%  
YTD = 26%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**