



# Daily Compliance Activity Report

Trade Date: 08/08/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	5,000	168.16	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		AAP	SS	20,000	43.68	n/a	n/a	non-brg	4.0
BUCK	015	ABT	S	300	59.06	n/a	22-Apr	0.00	0.0
BUCK CAPITAL MGMT		AEO	B	60,000	13.78	6-Mar	8-Aug	non-brg	4.0
BUCK CAPITAL MGMT		AG	B	72,500	57.16	n/a	30-Jul	non-brg	4.0
TYEE/TYGH	035	AMG	B	22,245	90.85	23-Jul	5-Aug	889.80	4.0
BUCK CAPITAL MGMT	001	ANF	S	110,000	49.62	7-Aug	8-Aug	4,400.00	4.0
BUCK CAPITAL MGMT		ANF	S	24,200	50.03	7-Aug	8-Aug	non-brg	4.0
COUGAR TRADING	044	ANF	B	20,000	49.28	7-Aug	8-Aug	1,000.00	5.0
PRINCIPLE CAPITAL	040	ANF	SS	62,300	49.73	7-Aug	8-Aug	2,492.00	4.0
BUCK CAPITAL MGMT	001	ANN	SS	20,000	25.25	n/a	11-Jul	800.00	4.0
BUCK CAPITAL MGMT		AN	SS	50,000	11.59	25-Jul	28-Jul	non-brg	4.0
COUGAR TRADING	044	AN	B	15,000	11.71	25-Jul	28-Jul	750.00	5.0
CAPITAL GROWTH MGMT.	241	APC	S	117,300	53.55	20-Mar	5-Aug	5,865.00	5.0
BUCK CAPITAL MGMT		APP	B	50,000	6.51	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	ARO	S	20,000	34.38	28-Apr	8-Aug	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		ARO	S	90,000	32.70	28-Apr	8-Aug	non-brg	4.0
COUGAR TRADING	044	ARO	S	5,000	33.29	28-Apr	8-Aug	250.00	5.0
ZOLIDIS	011	ARO	S	500	34.00	28-Apr	8-Aug	0.00	0.0
LISTED ERR	099	ARO	B	4,100	32.95	28-Apr	8-Aug	0.00	0.0
LISTED ERR	099	ARO	S	4,100	32.95	28-Apr	8-Aug	0.00	0.0
BMO NESBITT BURNS	041	AVP	B	25,000	43.59	31-Jul	31-Jul	1,250.00	5.0
IRIDIAN ASSET MGMT.	036	AWI	S	38,240	35.26	n/a	n/a	1,912.00	5.0
BUCK CAPITAL MGMT	001	AZO	SS	10,000	138.09	n/a	n/a	400.00	4.0
BUCK	015	BAC	B	200	32.45	n/a	29-Jul	0.00	0.0
LEDDY	035	BIG	SS	1,000	32.84	29-May	8-Aug	0.00	0.0
INDEPENDENCE INV	039	BSX	S	9,000	12.89	n/a	22-Apr	360.00	4.0
⌘ BUCK CAPITAL MGMT		BUCY	SS	61,700	61.35	4-Jun	4-Jun	non-brg	4.0
LEDDY	035	BWA	SS	300	41.42	10-Jun	1-Aug	0.00	0.0
INDEPENDENCE INV	039	CAM	S	10,100	46.84	n/a	n/a	404.00	4.0
⌘ BUCK CAPITAL MGMT		CAT	SS	56,700	70.82	n/a	23-May	non-brg	4.0
LEDDY	035	CMI	C	300	68.25	n/a	23-May	0.00	0.0
⌘ BUCK CAPITAL MGMT		DE	B	60,600	68.06	n/a	23-May	non-brg	4.0
BUCK	015	DIS	B	500	31.99	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEISS PECK	040	DLM	S	100,000	8.69	n/a	n/a	4,000.00	4.0
INDEPENDENCE INV	039	DOV	S	14,300	48.31	n/a	n/a	572.00	4.0
BUCK CAPITAL MGMT	001	DRI	B	40,000	34.86	n/a	26-Jun	1,600.00	4.0
BUCK CAPITAL MGMT		DRI	B	36,400	35.37	n/a	26-Jun	non-brg	4.0
N STRATTON	014	ESCA	B	250	3.35	n/a	n/a	0.00	0.0
ASCEND PARTNERS	033	FDO	SS	30,000	24.63	23-Jul	8-Aug	1,500.00	5.0
BUCK CAPITAL MGMT		FOSL	B	50,000	27.13	n/a	6-Aug	non-brg	4.0
BUCK CAPITAL MGMT		FOSL	B	60,000	27.10	n/a	6-Aug	non-brg	4.0
WAGONHOUND/NIC	048	FOSL	B	5,173	27.17	n/a	6-Aug	206.92	4.0
KORMAN	003	FSYS	SS	2,000	48.18	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	B	110,000	31.03	n/a	10-Jan	4,400.00	4.0
BUCK CAPITAL MGMT	001	GES	B	37,500	34.80	n/a	n/a	1,500.00	4.0
BUCK CAPITAL MGMT		GIGM	B	40,000	11.89	n/a	n/a	non-brg	2.5
WOOSTER CAPITAL	035	GIL	B	50,000	26.26	30-Apr	18-Jul	2,500.00	5.0
LEDDY	035	GNTX	SS	900	15.72	24-Jun	28-Jul	0.00	0.0
COUGAR TRADING	044	GPI	B	15,000	22.30	10-Jun	30-Jul	750.00	5.0
COUGAR TRADING	044	GPS	S	5,000	18.10	n/a	8-Aug	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BUCK</b>	<b>015</b>	<b>GSK</b>	<b>S</b>	<b>1,400</b>	<b>48.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SANDLER O'NEILL ASSET	031	GS	S	10,000	175.74	19-Mar	14-Jul	500.00	5.0
INDEPENDENCE INV	039	GWW	S	17,100	87.26	14-Apr	16-Jul	684.00	4.0
PERRY PARTNERS	041	GW	B	96,500	8.61	n/a	n/a	2,895.00	3.0
PALMYRA CAPITAL	048	GYMB	B	17,500	37.53	11-Jul	8-Aug	875.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>HAYN</b>	<b>S</b>	<b>1,000</b>	<b>58.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HBI</b>	<b>B</b>	<b>100,000</b>	<b>24.72</b>	<b>n/a</b>	<b>n/a</b>	<b>4,000.00</b>	<b>4.0</b>
WAGONHOUND/NIC	048	HD	S	6,100	26.44	n/a	27-Jul	244.00	4.0
MAIRS AND POWER INV	030	INTC	S	20,000	23.99	n/a	n/a	1,000.00	5.0
HIGHBRIDGE CAPITAL	038	IP	B	14,333	28.66	1-Aug	1-Aug	716.65	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ITX SM</b>	<b>S</b>	<b>20,000</b>	<b>47.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>9.5</b>
STANDARD PACIFIC CAPITAL	040	JCG	SS	105,000	28.93	n/a	30-May	5,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>C</b>	<b>100,000</b>	<b>35.91</b>	<b>n/a</b>	<b>8-Aug</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	JWN	S	5,000	28.93	10-Jul	8-Aug	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>95,000</b>	<b>45.73</b>	<b>n/a</b>	<b>8-Aug</b>	<b>3,800.00</b>	<b>4.0</b>

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß	BUCK CAPITAL MGMT		KSS	B	13,100	46.55	n/a	8-Aug	non-brg	1.0
	BMO NESBITT BURNS	041	LPX	SS	18,000	9.10	n/a	4-Aug	900.00	5.0
	BMO NESBITT BURNS	041	LPX	S	32,000	9.11	n/a	4-Aug	1,600.00	5.0
	COUGAR TRADING	044	LTD	S	10,000	18.52	n/a	8-Aug	500.00	5.0
	BENCHMARK	028	LUB	B	6,800	6.93	n/a	n/a	340.00	5.0
ß	BUCK CAPITAL MGMT		MBFG IM	S	50,000	23.18	n/a	n/a	non-brg	4.6
	SECOND CURVE	031	MBI	S	50,000	8.61	n/a	n/a	2,500.00	5.0
ß	BUCK CAPITAL MGMT		MCD	SS	30,000	65.84	9-Jun	8-Aug	non-brg	4.0
ß	BUCK CAPITAL MGMT		MCD	S	92,800	65.32	9-Jun	8-Aug	non-brg	4.0
	MERRILL ASSET	032	MKC	B	13,700	41.13	n/a	n/a	616.50	4.5
	BUCK	015	MRK	B	2,300	36.00	n/a	n/a	0.00	0.0
	WASHINGTON ASSET	048	MTW	B	12,000	24.65	n/a	23-May	600.00	5.0
	BUCK CAPITAL MGMT	001	MW	SS	10,000	22.11	n/a	n/a	400.00	4.0
	BUCK CAPITAL MGMT	001	M	B	250,000	19.73	8-Aug	8-Aug	10,000.00	4.0
ß	BUCK CAPITAL MGMT		M	B	50,000	19.96	8-Aug	8-Aug	non-brg	2.0
	COUGAR TRADING	044	M	S	10,000	20.17	8-Aug	8-Aug	500.00	5.0
	GOLDMAN SACHS ASSET MGMT	044	NCMI	S	83,000	12.72	n/a	n/a	1,245.00	1.5
	SOROS	036	NILE	B	12,093	43.23	n/a	n/a	483.72	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
INDEPENDENCE INV	039	OCR	S	23,300	29.55	1-Aug	1-Aug	932.00	4.0
COUGAR TRADING	044	PAG	B	10,000	14.69	10-Jun	1-Aug	500.00	5.0
US BANCORP	030	PDX	S	132,518	56.50	n/a	n/a	6,625.90	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>S</b>	<b>10,000</b>	<b>25.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
INDEPENDENCE INV	039	PH	S	10,200	61.16	1-Aug	1-Aug	408.00	4.0
TRELLUS PARTNERS LP	028	PKG	B	34,400	24.30	22-Jul	22-Jul	1,720.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>B</b>	<b>20,000</b>	<b>36.12</b>	<b>23-Jun</b>	<b>8-Aug</b>	<b>non-brg</b>	<b>4.0</b>
PENN CAPITAL MGMT	243	PVH	B	40,585	39.39	5-May	18-Jul	1,623.40	4.0
<b>ZOLIDIS</b>	<b>011</b>	<b>QUKHF</b>	<b>S</b>	<b>10</b>	<b>0.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>QUKTD</b>	<b>B</b>	<b>6</b>	<b>3.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FIRST NEW YORK LEDDY	035	Q	B	75,000	3.75	n/a	n/a	4,500.00	6.0
FIRST NEW YORK NICK	029	Q	S	20,000	3.68	n/a	n/a	1,000.00	5.0
BULL PATH CAPITAL	044	RCL	B	30,000	27.76	23-Jul	23-Jul	1,500.00	5.0
COUGAR TRADING	044	SAH	B	10,000	11.13	n/a	30-Jul	500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>SGPPRB</b>	<b>S</b>	<b>50</b>	<b>191.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKF</b>	<b>S</b>	<b>16,800</b>	<b>121.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		SKX	B	101,800	19.67	n/a	13-Mar	non-brg 4.0
B BUCK CAPITAL MGMT		SKX	B	28,200	19.78	n/a	13-Mar	non-brg 2.0
BUCK	015	SLB	B	200	91.85	n/a	n/a	0.00 0.0
COUGAR TRADING	044	SMG	B	5,000	23.64	4-Aug	4-Aug	250.00 5.0
BUCK	015	SPY	B	200	129.73	n/a	n/a	0.00 0.0
COUGAR TRADING	044	SSO	S	5,000	61.43	n/a	n/a	250.00 5.0
INDEPENDENCE INV	039	STT	S	17,300	69.39	n/a	n/a	692.00 4.0
BAIRD INVESTMENT	031	SYNA	S	1,708	53.76	n/a	n/a	85.40 5.0
IRONBOUND CAPITAL	012	TIN	S	2,600	16.96	29-Apr	30-Jul	78.00 3.0
NWQ INVESTMENT MGMT CO	048	TIN	S	67,750	16.26	29-Apr	30-Jul	2,710.00 4.0
LISTED ERR	099	TJX	B	1,000	35.68	n/a	8-Aug	0.00 0.0
BUCK CAPITAL MGMT	001	TLB	S	100,000	12.53	7-Aug	8-Aug	4,000.00 4.0
B BUCK CAPITAL MGMT		TRLG	S	10,000	28.65	n/a	n/a	non-brg 2.0
B BUCK CAPITAL MGMT		TRLG	S	40,000	28.43	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	TWB	SS	30,000	13.47	17-Mar	22-May	1,200.00 4.0
B BUCK CAPITAL MGMT		ULTA	B	25,000	10.75	n/a	n/a	non-brg 4.0
WHITE PINE CAPITAL	030	UNT	S	20,275	61.35	20-Mar	1-Aug	1,013.75 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		URBN	S	10,000	34.55	15-May	8-Aug	non-brg	1.0
BUCK CAPITAL MGMT		URBN	S	40,000	34.18	15-May	8-Aug	non-brg	4.0
BUCK CAPITAL MGMT		URQ+LI	SS	2,000	0.70	n/a	n/a	non-brg	100.0
WHALE ROCK CAP	030	VISN	S	124,228	20.03	n/a	n/a	4,969.12	4.0
BUCK CAPITAL MGMT		WMT+IL	SS	1,000	1.23	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		WMT	B	100,000	57.96	8-Aug	8-Aug	non-brg	4.0
COUGAR TRADING	044	WMT	B	5,000	58.06	8-Aug	8-Aug	250.00	5.0
COUGAR TRADING	044	WMT	SS	5,000	58.14	8-Aug	8-Aug	250.00	5.0
MERRILL LYNCH STRATEGICI	034	WMT	SS	30,000	57.87	8-Aug	8-Aug	1,500.00	5.0
BUCK CAPITAL MGMT		WRC+AM	SS	2,000	1.30	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	WRC	S	25,000	49.28	8-Aug	8-Aug	1,000.00	4.0
BUCK CAPITAL MGMT		WRC	S	15,000	50.03	8-Aug	8-Aug	non-brg	4.0
RBC CAPITAL MARKETS	034	WRC	S	20,000	50.12	8-Aug	8-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	WWW	SS	18,372	27.16	n/a	13-Mar	734.88	4.0
TOTAL (Trade Date):								117,844.04	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	08/06	PILOT ADV	038	CIT	SS	1,000	8.94	n/a	n/a	30.00 3.0
AO,CRT	08/06	PILOT ADV	038	CIT	SS	1,000	8.94	n/a	n/a	30.00 3.0
AO,CXL	08/07	THE JAY GOLDMAN	034	DDS	B	25,000	10.16	n/a	n/a	1250.00 5.0
AO,CRT	08/07	THE JAY GOLDMAN	034	DDS	B	25,000	10.16	n/a	n/a	1000.00 4.0
AO,CXL	08/07	STELLIAM INV ADV	040	DE	SS	5,000	67.55	n/a	23-May	250.00 5.0
AO,CRT	08/07	STELLIAM INV ADV	040	DE	SS	5,000	67.55	n/a	23-May	250.00 5.0
AO,CXL	08/07	GABELLI FUNDS	028	EDS	B	100,000	24.87	n/a	n/a	5000.00 5.0
AO,CRT	08/07	GABELLI FUNDS	028	EDS	B	100,000	24.87	n/a	n/a	5000.00 5.0
AO,CRT	08/06	PILOT ADV	038	IM	SS	500	19.26	n/a	n/a	20.00 4.0
AO,CXL	08/06	PILOT ADV	038	IM	SS	500	19.26	n/a	n/a	20.00 4.0
AO,	08/07	SOUNDPOST CAP MGMT	048	MWA	SS	20,000	10.45	8-Aug	8-Aug	1000.00 5.0
AO,CXL	08/07	PERRY PARTNERS	041	NFS	S	50,000	51.06	n/a	n/a	2500.00 5.0
AO,CRT	08/07	PERRY PARTNERS	041	NFS	S	50,000	51.06	n/a	n/a	1500.00 3.0
AO,	08/07	TRELLUS PARTNERS LP	028	PAG	SS	30,000	13.92	10-Jun	1-Aug	1500.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>1,250.00</b>

TOTAL (Trade Date):

117,844.04

TOTAL (AO, CXL, CRT):

1,250.00

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TOTAL (RUN DATE) / NFS:

119,094.04

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MRI Securities with Trade Activity on 8-Aug.

M, WMT, WRC

MRI Securities without Trade Activity on 8-Aug.

CHS, EV, MWA, SPC

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 36%

YTD = 18%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**