



Daily Compliance Activity Report

Trade Date: 08/23/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	012	ACF	S	57,633	18.22	n/a	n/a	1,728.99	3.0
WHITE PINE CAPITAL	030	ACIW	S	800	25.33	n/a	n/a	40.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	24.18	10-Jul	21-Aug	2,000.00	4.0
LEDDY	035	AER	S	100	25.72	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	AMG	B	20,000	111.93	25-Jul	22-Aug	1,000.00	5.0
BALYASNY ASSET MGMT	044	ANF	S	12,300	77.11	23-Aug	23-Aug	615.00	5.0
COUGAR TRADING	044	ANF	SS	15,000	77.31	23-Aug	23-Aug	750.00	5.0
COUGAR TRADING	044	ANN	B	15,000	29.95	6-Aug	16-Aug	750.00	5.0
DENVER INST. ADV	046	ARO	B	250,000	23.26	n/a	15-Aug	10,000.00	4.0
BARROW HANLEY MEWHINNEY	031	AVY	B	172,900	59.82	13-Aug	21-Aug	6,916.00	4.0
MORGAN BANK /JPM	012	AXP	B	130	60.51	n/a	n/a	3.90	3.0
MATRIX ASSET ADV.	036	BAC	S	10,000	51.70	20-Apr	16-Aug	400.00	4.0
WHITE PINE CAPITAL	030	BIOS	S	5,000	5.61	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	BJ	SS	30,000	34.28	10-Apr	27-Jul	1,200.00	4.0
NATIONAL BK OF CAN	031	BSC	S	10,000	116.53	10-Aug	16-Aug	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Ö Glenn Redemann		CAT	B	4	0.5700	n/a	n/a	0.00	0.0
Ö Glenn Redemann		CAT	B	4	0.8800	n/a	n/a	0.00	0.0
BARR	096	CCJ	S	300	38.60	n/a	n/a	0.00	0.0
ZOLIDIS	011	CHS	SS	1,000	18.01	10-Aug	10-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	COH	B	40,000	44.35	n/a	1-Aug	1,600.00	4.0
COUGAR TRADING	044	COH	B	5,000	44.57	n/a	1-Aug	250.00	5.0
GOLD SACHS SPECIAL SIT	030	COH	SS	65,000	43.90	n/a	1-Aug	3,250.00	5.0
MANNING & NAPIER	034	CVC	S	293,800	33.29	8-Aug	16-Aug	11,752.00	4.0
LUCHANSKY2	036	DFZ	S	500	9.93	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	DV	B	52,740	36.06	n/a	n/a	2,373.30	4.5
HUNTER GLOBAL INVESTOR	067	EEM	SS	235,000	127.44	n/a	n/a	7,050.00	3.0
NATIONAL BK OF CAN	031	ETFC	S	150,000	15.33	n/a	n/a	4,500.00	3.0
GOLD SACHS SPECIAL SIT	030	ETH	SS	75,000	33.08	n/a	n/a	3,750.00	5.0
MERRILL ASSET	032	FBN	S	51,700	11.96	n/a	n/a	2,326.50	4.5
TRIBECA/DOUG	040	FITB	B	69,999	36.98	n/a	n/a	2,799.96	4.0
ROARING BLUE LION MGMT	012	FRED	B	21,400	10.70	n/a	10-Aug	856.00	4.0
LEVIN CAPITAL STRATEGIES	012	F	B	15,000	7.66	n/a	9-Aug	450.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	GIL	B	30,000	32.82	21-Jun	2-Aug	1,200.00	4.0
PATTEN & PATTEN	067	GSK	S	300	51.29	n/a	n/a	15.00	5.0
WHITE PINE CAPITAL	030	HTCH	S	600	22.11	n/a	n/a	30.00	5.0
HIGHBRIDGE CAPITAL	038	ILF	B	6,000	199.07	n/a	n/a	300.00	5.0
KORMAN	003	IMBUD	S	100	1.50	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	012	IMB	S	36,387	24.42	n/a	n/a	1,091.61	3.0
CATAPULT	040	IVZ	S	3,500	24.60	8-Aug	22-Aug	175.00	5.0
DSG CAPITAL	225	IVZ	B	15,000	24.44	8-Aug	22-Aug	750.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	30,000	65.05	21-Jun	16-Aug	1,200.00	4.0
TRELLUS PARTNERS LP	028	JCP	B	20,000	64.60	21-Jun	16-Aug	1,000.00	5.0
BUCK	015	JCP	C	400	65.21	21-Jun	16-Aug	0.00	0.0
SAXON WOODS ADVISORS	038	JNJ	B	215,000	62.23	n/a	22-Apr	8,600.00	4.0
BUCK CAPITAL MGMT	001	JPM	SS	313,800	45.59	n/a	16-Aug	12,552.00	4.0
SANDLER O'NEILL ASSET	031	JPM	B	25,000	45.70	n/a	16-Aug	1,250.00	5.0
XYLEM GLOBAL	091	KMB	B	11,107	69.58	n/a	n/a	555.35	5.0
BUCK CAPITAL MGMT	001	KSS	B	25,000	59.94	14-Jun	27-Jul	1,000.00	4.0
THE BOSTON COMPANY	214	KSS	B	65,270	58.33	14-Jun	27-Jul	3,263.50	5.0
KORMAN	003	LESUK	S	100	2.00	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STADIA CAPITAL	035	LIZ	SS	10,000	34.62	n/a	6-Aug	400.00	4.0
LUCHANSKY2	036	LNCR	S	100	36.37	n/a	13-Aug	0.00	0.0
XYLEM GLOBAL	091	LPX	B	25,833	18.78	26-Jul	20-Aug	1,291.65	5.0
GLG PARTNERS	034	LTD	SS	50,000	23.45	16-May	17-Aug	2,500.00	5.0
BUCK	015	MDY	B	200	156.44	n/a	n/a	0.00	0.0
BARR	096	MENT	B	3,800	12.71	n/a	n/a	0.00	0.0
MATRIX ASSET ADV.	036	MMM	S	2,900	88.80	16-Jul	21-Aug	116.00	4.0
PATTEN & PATTEN	067	MMM	S	50	88.33	16-Jul	21-Aug	2.50	5.0
Õ Glenn Redemann		MM	B	3	0.3400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MM	B	3	0.6400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MM	SS	3	1.4600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MM	SS	3	1.6200	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	M	B	40,000	31.47	13-Jul	16-Aug	1,600.00	4.0
COUGAR TRADING	044	M	B	5,000	31.76	13-Jul	16-Aug	250.00	5.0
TRIBECA/DOUG	040	NCC	B	20,000	27.96	n/a	n/a	800.00	4.0
PATTEN & PATTEN	067	NVS	S	100	52.44	n/a	n/a	5.00	5.0
COUGAR TRADING	044	NWY	B	15,000	6.62	25-May	10-Aug	750.00	5.0
WHITE PINE CAPITAL	030	OPTM	S	2,000	8.00	n/a	n/a	100.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	OVTI	S	20,000	19.15	n/a	n/a	1,000.00	5.0
Õ Glenn Redemann		PCP	B	2	0.9500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PCP	B	2	1.2600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PCP	SS	2	1.8000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PCP	SS	2	2.0100	n/a	n/a	0.00	0.0
BARR	096	PDFS	B	800	9.37	n/a	n/a	0.00	0.0
LEDDY	035	PFE	S	700	24.55	n/a	31-Mar	0.00	0.0
CHARTER OAK	033	PRXI	B	2,415	15.25	n/a	n/a	120.75	5.0
ASCEND PARTNERS	033	PVH	S	20,000	56.26	13-Aug	23-Aug	1,000.00	5.0
HIGHBRIDGE CAPITAL	038	RBC	SS	17,600	51.03	n/a	n/a	880.00	5.0
BUCK CAPITAL MGMT	001	RL	B	45,500	79.78	9-Aug	9-Aug	1,820.00	4.0
CHILTON CAPITAL MGMT.	036	RL	B	10,000	79.67	9-Aug	9-Aug	400.00	4.0
MORGAN LEWIS/MLH	046	RSH	B	25,000	24.63	n/a	n/a	1,250.00	5.0
WOOSTER CAPITAL	035	SHLD	B	10,000	141.45	n/a	n/a	500.00	5.0
BARR	096	SNPS	S	1,500	26.48	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	SPLS	SS	15,000	23.15	n/a	27-Jul	900.00	6.0
BUCK CAPITAL MGMT	001	SSI	S	60,000	16.70	3-Aug	15-Aug	2,400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	091	SSI	B	8,000	16.61	3-Aug	15-Aug	480.00	6.0
WHITE PINE CAPITAL	030	STKL	S	1,500	12.55	n/a	n/a	75.00	5.0
LEDDY	035	SUNW	S	1,500	4.90	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	012	TCB	B	13,074	25.87	n/a	n/a	392.22	3.0
BUCK	015	TGT	S	500	63.91	n/a	27-Jul	0.00	0.0
ZOLIDIS	011	UA	C	200	67.20	n/a	n/a	0.00	0.0
CUMBERLAND	038	VFC	B	10,000	82.42	n/a	n/a	400.00	4.0
HIGHBRIDGE CAPITAL	038	VMC	B	23,000	89.46	n/a	n/a	1,150.00	5.0
BUCK	015	WFC	B	1,000	36.91	n/a	n/a	0.00	0.0
MAGUIRE	039	WRNC	B	200	34.00	18-Jul	8-Aug	0.00	0.0
HUNTER GLOBAL INVESTOR	067	XLB	SS	77,500	38.56	n/a	n/a	3,875.00	5.0
Õ Glenn Redemann		YHOO	B	7	0.2900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		YHOO	B	7	0.5300	n/a	n/a	0.00	0.0
CAMBIAR CAP	046	ZQK	S	90,970	13.15	9-Mar	27-Jun	2,729.10	3.0
TOTAL (Trade Date):								127,081.33	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	08/23	MATRIX ASSET ADV. (MATXWFGROW)	036	BAC	S	10,000	51.70	20-Apr	16-Aug	400.00 4.0
AO,CRT	08/22	MORGAN LEWIS/MLH (MORLBS)	046	CMA	SS	10,000	58.18	n/a	n/a	500.00 5.0
AO,CXL	08/22	MORGENS WATERFALL (MORMORGAN)	040	CMA	SS	10,000	58.18	n/a	n/a	500.00 5.0
AO,CXL	08/21	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	COH	B	30,000	43.06	n/a	1-Aug	1200.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4641)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6590)	034	CVC	S	6,800	33.30	8-Aug	16-Aug	272.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6404)	034	CVC	S	110	33.30	8-Aug	16-Aug	4.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ7283)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ2066)	034	CVC	S	670	33.30	8-Aug	16-Aug	26.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ6050)	034	CVC	S	750	33.30	8-Aug	16-Aug	30.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6201)	034	CVC	S	370	33.30	8-Aug	16-Aug	14.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ3002)	034	CVC	S	700	33.30	8-Aug	16-Aug	28.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4878)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4383)	034	CVC	S	20,650	33.30	8-Aug	16-Aug	826.00 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ1974)	034	CVC	S	3,890	33.30	8-Aug	16-Aug	155.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6433)	034	CVC	S	500	33.30	8-Aug	16-Aug	20.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6206)	034	CVC	S	32,920	33.30	8-Aug	16-Aug	1316.80 4.0
AO,	08/22	MANNING & NAPIER (MANNJS2012)	034	CVC	S	100	33.30	8-Aug	16-Aug	4.00 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2465)	034	CVC	S	750	33.30	8-Aug	16-Aug	30.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR0031)	034	CVC	S	1,900	33.30	8-Aug	16-Aug	76.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR9050)	034	CVC	S	4,210	33.30	8-Aug	16-Aug	168.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR9450)	034	CVC	S	940	33.30	8-Aug	16-Aug	37.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR8270)	034	CVC	S	770	33.30	8-Aug	16-Aug	30.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4669)	034	CVC	S	1,890	33.30	8-Aug	16-Aug	75.60 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNMJ7268)	034	CVC	S	200	33.30	8-Aug	16-Aug	8.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD9641)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS2009)	034	CVC	S	90	33.30	8-Aug	16-Aug	3.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0286)	034	CVC	S	340	33.30	8-Aug	16-Aug	13.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6154)	034	CVC	S	2,780	33.30	8-Aug	16-Aug	111.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6111)	034	CVC	S	400	33.30	8-Aug	16-Aug	16.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4877)	034	CVC	S	120	33.30	8-Aug	16-Aug	4.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2009)	034	CVC	S	140	33.30	8-Aug	16-Aug	5.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4118)	034	CVC	S	170	33.30	8-Aug	16-Aug	6.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1997)	034	CVC	S	160	33.30	8-Aug	16-Aug	6.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6195)	034	CVC	S	390	33.30	8-Aug	16-Aug	15.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6110)	034	CVC	S	380	33.30	8-Aug	16-Aug	15.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6357)	034	CVC	S	100	33.30	8-Aug	16-Aug	4.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ1021)	034	CVC	S	380	33.30	8-Aug	16-Aug	15.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0699)	034	CVC	S	40	33.30	8-Aug	16-Aug	1.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ9380)	034	CVC	S	80	33.30	8-Aug	16-Aug	3.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6152)	034	CVC	S	2,710	33.30	8-Aug	16-Aug	108.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4815)	034	CVC	S	410	33.30	8-Aug	16-Aug	16.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6373)	034	CVC	S	570	33.30	8-Aug	16-Aug	22.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0228)	034	CVC	S	50	33.30	8-Aug	16-Aug	2.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1744)	034	CVC	S	270	33.30	8-Aug	16-Aug	10.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1179)	034	CVC	S	780	33.30	8-Aug	16-Aug	31.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0096)	034	CVC	S	740	33.30	8-Aug	16-Aug	29.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD7029)	034	CVC	S	910	33.30	8-Aug	16-Aug	36.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ2675)	034	CVC	S	290	33.30	8-Aug	16-Aug	11.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR7175)	034	CVC	S	180	33.30	8-Aug	16-Aug	7.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6206)	034	CVC	S	560	33.30	8-Aug	16-Aug	22.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6660)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS4583)	034	CVC	S	810	33.30	8-Aug	16-Aug	32.40 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0095)	034	CVC	S	730	33.30	8-Aug	16-Aug	29.20 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNCD7027)	034	CVC	S	620	33.30	8-Aug	16-Aug	24.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6451)	034	CVC	S	5,610	33.30	8-Aug	16-Aug	224.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1024)	034	CVC	S	60	33.30	8-Aug	16-Aug	2.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6661)	034	CVC	S	230	33.30	8-Aug	16-Aug	9.20 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0044)	034	CVC	S	80	33.30	8-Aug	16-Aug	3.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS1501)	034	CVC	S	3,730	33.30	8-Aug	16-Aug	149.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4186)	034	CVC	S	7,940	33.30	8-Aug	16-Aug	317.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1295)	034	CVC	S	1,410	33.30	8-Aug	16-Aug	56.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1419)	034	CVC	S	400	33.30	8-Aug	16-Aug	16.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1029)	034	CVC	S	220	33.30	8-Aug	16-Aug	8.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2293)	034	CVC	S	100	33.30	8-Aug	16-Aug	4.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2325)	034	CVC	S	750	33.30	8-Aug	16-Aug	30.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1031)	034	CVC	S	750	33.30	8-Aug	16-Aug	30.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2307)	034	CVC	S	330	33.30	8-Aug	16-Aug	13.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ7061)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD7918)	034	CVC	S	1,310	33.30	8-Aug	16-Aug	52.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD8940)	034	CVC	S	1,860	33.30	8-Aug	16-Aug	74.40 4.0
AO,	08/22	MANNING & NAPIER (MANNJS9970)	034	CVC	S	540	33.30	8-Aug	16-Aug	21.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0125)	034	CVC	S	290	33.30	8-Aug	16-Aug	11.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0045)	034	CVC	S	80	33.30	8-Aug	16-Aug	3.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2305)	034	CVC	S	300	33.30	8-Aug	16-Aug	12.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6433)	034	CVC	S	800	33.30	8-Aug	16-Aug	32.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4676)	034	CVC	S	350	33.30	8-Aug	16-Aug	14.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0801)	034	CVC	S	900	33.30	8-Aug	16-Aug	36.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0425)	034	CVC	S	1,720	33.30	8-Aug	16-Aug	68.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4888)	034	CVC	S	7,830	33.30	8-Aug	16-Aug	313.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD7028)	034	CVC	S	240	33.30	8-Aug	16-Aug	9.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0037)	034	CVC	S	360	33.30	8-Aug	16-Aug	14.40 4.0
AO,	08/22	MANNING & NAPIER (MANNJS8924)	034	CVC	S	1,380	33.30	8-Aug	16-Aug	55.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR8770)	034	CVC	S	150	33.30	8-Aug	16-Aug	6.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNEQ1649)	034	CVC	S	480	33.30	8-Aug	16-Aug	19.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS8923)	034	CVC	S	330	33.30	8-Aug	16-Aug	13.20 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2176)	034	CVC	S	410	33.30	8-Aug	16-Aug	16.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0378)	034	CVC	S	200	33.30	8-Aug	16-Aug	8.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR9451)	034	CVC	S	1,090	33.30	8-Aug	16-Aug	43.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ3620)	034	CVC	S	480	33.30	8-Aug	16-Aug	19.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4642)	034	CVC	S	320	33.30	8-Aug	16-Aug	12.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR2449)	034	CVC	S	220	33.30	8-Aug	16-Aug	8.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2390)	034	CVC	S	890	33.30	8-Aug	16-Aug	35.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4675)	034	CVC	S	100	33.30	8-Aug	16-Aug	4.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6155)	034	CVC	S	900	33.30	8-Aug	16-Aug	36.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4644)	034	CVC	S	190	33.30	8-Aug	16-Aug	7.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ3526)	034	CVC	S	180	33.30	8-Aug	16-Aug	7.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ5538)	034	CVC	S	160	33.30	8-Aug	16-Aug	6.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6149)	034	CVC	S	900	33.30	8-Aug	16-Aug	36.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6199)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNJS4582)	034	CVC	S	340	33.30	8-Aug	16-Aug	13.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMU1900)	034	CVC	S	12,415	33.30	8-Aug	16-Aug	496.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6202)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6196)	034	CVC	S	450	33.30	8-Aug	16-Aug	18.00 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ7258)	034	CVC	S	1,630	33.30	8-Aug	16-Aug	65.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6203)	034	CVC	S	200	33.30	8-Aug	16-Aug	8.00 4.0
AO,	08/22	MANNING & NAPIER (MANNJS2016)	034	CVC	S	440	33.30	8-Aug	16-Aug	17.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5599)	034	CVC	S	490	33.30	8-Aug	16-Aug	19.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ7305)	034	CVC	S	780	33.30	8-Aug	16-Aug	31.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR0289)	034	CVC	S	340	33.30	8-Aug	16-Aug	13.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6208)	034	CVC	S	550	33.30	8-Aug	16-Aug	22.00 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2936)	034	CVC	S	460	33.30	8-Aug	16-Aug	18.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ8110)	034	CVC	S	500	33.30	8-Aug	16-Aug	20.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR1620)	034	CVC	S	1,020	33.30	8-Aug	16-Aug	40.80 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNCD1918)	034	CVC	S	320	33.30	8-Aug	16-Aug	12.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ7495)	034	CVC	S	190	33.30	8-Aug	16-Aug	7.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5212)	034	CVC	S	3,021	33.30	8-Aug	16-Aug	120.84 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0066)	034	CVC	S	160	33.30	8-Aug	16-Aug	6.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ8640)	034	CVC	S	140	33.30	8-Aug	16-Aug	5.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6204)	034	CVC	S	560	33.30	8-Aug	16-Aug	22.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ7287)	034	CVC	S	510	33.30	8-Aug	16-Aug	20.40 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0065)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ8641)	034	CVC	S	120	33.30	8-Aug	16-Aug	4.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR3017)	034	CVC	S	550	33.30	8-Aug	16-Aug	22.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4985)	034	CVC	S	570	33.30	8-Aug	16-Aug	22.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR7090)	034	CVC	S	1,880	33.30	8-Aug	16-Aug	75.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4214)	034	CVC	S	160	33.30	8-Aug	16-Aug	6.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR3335)	034	CVC	S	2,360	33.30	8-Aug	16-Aug	94.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5109)	034	CVC	S	2,930	33.30	8-Aug	16-Aug	117.20 4.0
AO,	08/22	MANNING & NAPIER (MANN058840)	034	CVC	S	1,090	33.30	8-Aug	16-Aug	43.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR7089)	034	CVC	S	220	33.30	8-Aug	16-Aug	8.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2178)	034	CVC	S	390	33.30	8-Aug	16-Aug	15.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4227)	034	CVC	S	920	33.30	8-Aug	16-Aug	36.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5108)	034	CVC	S	38,160	33.30	8-Aug	16-Aug	1526.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD9720)	034	CVC	S	150	33.30	8-Aug	16-Aug	6.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR3277)	034	CVC	S	440	33.30	8-Aug	16-Aug	17.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR3328)	034	CVC	S	120	33.30	8-Aug	16-Aug	4.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6093)	034	CVC	S	1,510	33.30	8-Aug	16-Aug	60.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5303)	034	CVC	S	220	33.30	8-Aug	16-Aug	8.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ1648)	034	CVC	S	180	33.30	8-Aug	16-Aug	7.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR8270)	034	CVC	S	1,310	33.30	8-Aug	16-Aug	52.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4215)	034	CVC	S	160	33.30	8-Aug	16-Aug	6.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR0035)	034	CVC	S	13,070	33.30	8-Aug	16-Aug	522.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR7965)	034	CVC	S	1,540	33.30	8-Aug	16-Aug	61.60 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNGR1000)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR8406)	034	CVC	S	220	33.30	8-Aug	16-Aug	8.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ9732)	034	CVC	S	110	33.30	8-Aug	16-Aug	4.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD7021)	034	CVC	S	470	33.30	8-Aug	16-Aug	18.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1518)	034	CVC	S	1,270	33.30	8-Aug	16-Aug	50.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR1121)	034	CVC	S	590	33.30	8-Aug	16-Aug	23.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ8466)	034	CVC	S	160	33.30	8-Aug	16-Aug	6.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6620)	034	CVC	S	300	33.30	8-Aug	16-Aug	12.00 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0039)	034	CVC	S	1,270	33.30	8-Aug	16-Aug	50.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ8779)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ8465)	034	CVC	S	540	33.30	8-Aug	16-Aug	21.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1921)	034	CVC	S	430	33.30	8-Aug	16-Aug	17.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS9998)	034	CVC	S	680	33.30	8-Aug	16-Aug	27.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0041)	034	CVC	S	660	33.30	8-Aug	16-Aug	26.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ2200)	034	CVC	S	7,430	33.30	8-Aug	16-Aug	297.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ5535)	034	CVC	S	280	33.30	8-Aug	16-Aug	11.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1084)	034	CVC	S	1,090	33.30	8-Aug	16-Aug	43.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0037)	034	CVC	S	380	33.30	8-Aug	16-Aug	15.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0043)	034	CVC	S	190	33.30	8-Aug	16-Aug	7.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4646)	034	CVC	S	1,580	33.30	8-Aug	16-Aug	63.20 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ5555)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ2168)	034	CVC	S	250	33.30	8-Aug	16-Aug	10.00 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0044)	034	CVC	S	220	33.30	8-Aug	16-Aug	8.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ2167)	034	CVC	S	370	33.30	8-Aug	16-Aug	14.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0541)	034	CVC	S	410	33.30	8-Aug	16-Aug	16.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6207)	034	CVC	S	190	33.30	8-Aug	16-Aug	7.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4670)	034	CVC	S	190	33.30	8-Aug	16-Aug	7.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1358)	034	CVC	S	250	33.30	8-Aug	16-Aug	10.00 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ8836)	034	CVC	S	970	33.30	8-Aug	16-Aug	38.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5001)	034	CVC	S	180	33.30	8-Aug	16-Aug	7.20 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNJS0103)	034	CVC	S	80	33.30	8-Aug	16-Aug	3.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5304)	034	CVC	S	19,430	33.30	8-Aug	16-Aug	777.20 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ8835)	034	CVC	S	490	33.30	8-Aug	16-Aug	19.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2280)	034	CVC	S	3,520	33.30	8-Aug	16-Aug	140.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD5078)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6150)	034	CVC	S	630	33.30	8-Aug	16-Aug	25.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6064)	034	CVC	S	60	33.30	8-Aug	16-Aug	2.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2282)	034	CVC	S	3,000	33.30	8-Aug	16-Aug	120.00 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0047)	034	CVC	S	110	33.30	8-Aug	16-Aug	4.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD9640)	034	CVC	S	180	33.30	8-Aug	16-Aug	7.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD5911)	034	CVC	S	200	33.30	8-Aug	16-Aug	8.00 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ3116)	034	CVC	S	240	33.30	8-Aug	16-Aug	9.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2283)	034	CVC	S	1,960	33.30	8-Aug	16-Aug	78.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ1738)	034	CVC	S	230	33.30	8-Aug	16-Aug	9.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0323)	034	CVC	S	290	33.30	8-Aug	16-Aug	11.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ1180)	034	CVC	S	960	33.30	8-Aug	16-Aug	38.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD5904)	034	CVC	S	110	33.30	8-Aug	16-Aug	4.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ3115)	034	CVC	S	270	33.30	8-Aug	16-Aug	10.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0542)	034	CVC	S	660	33.30	8-Aug	16-Aug	26.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2285)	034	CVC	S	1,200	33.30	8-Aug	16-Aug	48.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD9033)	034	CVC	S	160	33.30	8-Aug	16-Aug	6.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0450)	034	CVC	S	4,430	33.30	8-Aug	16-Aug	177.20 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0980)	034	CVC	S	490	33.30	8-Aug	16-Aug	19.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0544)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0256)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0136)	034	CVC	S	5,010	33.30	8-Aug	16-Aug	200.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD9721)	034	CVC	S	120	33.30	8-Aug	16-Aug	4.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ9730)	034	CVC	S	1,500	33.30	8-Aug	16-Aug	60.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD4706)	034	CVC	S	100	33.30	8-Aug	16-Aug	4.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ7618)	034	CVC	S	70	33.30	8-Aug	16-Aug	2.80 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNGR3323)	034	CVC	S	1,920	33.30	8-Aug	16-Aug	76.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2296)	034	CVC	S	270	33.30	8-Aug	16-Aug	10.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6211)	034	CVC	S	410	33.30	8-Aug	16-Aug	16.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR3326)	034	CVC	S	1,470	33.30	8-Aug	16-Aug	58.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0285)	034	CVC	S	340	33.30	8-Aug	16-Aug	13.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ8200)	034	CVC	S	500	33.30	8-Aug	16-Aug	20.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1734)	034	CVC	S	460	33.30	8-Aug	16-Aug	18.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD3830)	034	CVC	S	3,440	33.30	8-Aug	16-Aug	137.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ3405)	034	CVC	S	370	33.30	8-Aug	16-Aug	14.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ8750)	034	CVC	S	460	33.30	8-Aug	16-Aug	18.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD9310)	034	CVC	S	440	33.30	8-Aug	16-Aug	17.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD3441)	034	CVC	S	3,930	33.30	8-Aug	16-Aug	157.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6181)	034	CVC	S	250	33.30	8-Aug	16-Aug	10.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4174)	034	CVC	S	2,820	33.30	8-Aug	16-Aug	112.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR3346)	034	CVC	S	3,120	33.30	8-Aug	16-Aug	124.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0028)	034	CVC	S	1,770	33.30	8-Aug	16-Aug	70.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD9032)	034	CVC	S	290	33.30	8-Aug	16-Aug	11.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2295)	034	CVC	S	330	33.30	8-Aug	16-Aug	13.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6172)	034	CVC	S	190	33.30	8-Aug	16-Aug	7.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1464)	034	CVC	S	270	33.30	8-Aug	16-Aug	10.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR0029)	034	CVC	S	470	33.30	8-Aug	16-Aug	18.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4700)	034	CVC	S	2,560	33.30	8-Aug	16-Aug	102.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0027)	034	CVC	S	1,460	33.30	8-Aug	16-Aug	58.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4658)	034	CVC	S	250	33.30	8-Aug	16-Aug	10.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4177)	034	CVC	S	90	33.30	8-Aug	16-Aug	3.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6171)	034	CVC	S	190	33.30	8-Aug	16-Aug	7.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD8211)	034	CVC	S	70	33.30	8-Aug	16-Aug	2.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4701)	034	CVC	S	5,370	33.30	8-Aug	16-Aug	214.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0019)	034	CVC	S	1,780	33.30	8-Aug	16-Aug	71.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4662)	034	CVC	S	1,460	33.30	8-Aug	16-Aug	58.40 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNEQ1233)	034	CVC	S	1,310	33.30	8-Aug	16-Aug	52.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6197)	034	CVC	S	220	33.30	8-Aug	16-Aug	8.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6160)	034	CVC	S	1,400	33.30	8-Aug	16-Aug	56.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4638)	034	CVC	S	230	33.30	8-Aug	16-Aug	9.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0094)	034	CVC	S	270	33.30	8-Aug	16-Aug	10.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6072)	034	CVC	S	6,090	33.30	8-Aug	16-Aug	243.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0026)	034	CVC	S	1,570	33.30	8-Aug	16-Aug	62.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6398)	034	CVC	S	330	33.30	8-Aug	16-Aug	13.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0980)	034	CVC	S	550	33.30	8-Aug	16-Aug	22.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ7619)	034	CVC	S	270	33.30	8-Aug	16-Aug	10.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6148)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4637)	034	CVC	S	190	33.30	8-Aug	16-Aug	7.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0092)	034	CVC	S	540	33.30	8-Aug	16-Aug	21.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR6216)	034	CVC	S	4,840	33.30	8-Aug	16-Aug	193.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR7515)	034	CVC	S	260	33.30	8-Aug	16-Aug	10.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6750)	034	CVC	S	610	33.30	8-Aug	16-Aug	24.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6144)	034	CVC	S	760	33.30	8-Aug	16-Aug	30.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD5460)	034	CVC	S	560	33.30	8-Aug	16-Aug	22.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD9638)	034	CVC	S	640	33.30	8-Aug	16-Aug	25.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR8542)	034	CVC	S	70	33.30	8-Aug	16-Aug	2.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ4542)	034	CVC	S	280	33.30	8-Aug	16-Aug	11.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6700)	034	CVC	S	390	33.30	8-Aug	16-Aug	15.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6147)	034	CVC	S	190	33.30	8-Aug	16-Aug	7.60 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0113)	034	CVC	S	120	33.30	8-Aug	16-Aug	4.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2787)	034	CVC	S	1,950	33.30	8-Aug	16-Aug	78.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR7535)	034	CVC	S	550	33.30	8-Aug	16-Aug	22.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD5470)	034	CVC	S	500	33.30	8-Aug	16-Aug	20.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4226)	034	CVC	S	110	33.30	8-Aug	16-Aug	4.40 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6142)	034	CVC	S	240	33.30	8-Aug	16-Aug	9.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD7024)	034	CVC	S	650	33.30	8-Aug	16-Aug	26.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNJS0114)	034	CVC	S	230	33.30	8-Aug	16-Aug	9.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0102)	034	CVC	S	390	33.30	8-Aug	16-Aug	15.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2292)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD3900)	034	CVC	S	2,790	33.30	8-Aug	16-Aug	111.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0389)	034	CVC	S	280	33.30	8-Aug	16-Aug	11.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ2166)	034	CVC	S	300	33.30	8-Aug	16-Aug	12.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ2767)	034	CVC	S	530	33.30	8-Aug	16-Aug	21.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS1301)	034	CVC	S	270	33.30	8-Aug	16-Aug	10.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ7261)	034	CVC	S	480	33.30	8-Aug	16-Aug	19.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4835)	034	CVC	S	90	33.30	8-Aug	16-Aug	3.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0122)	034	CVC	S	350	33.30	8-Aug	16-Aug	14.00 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5130)	034	CVC	S	600	33.30	8-Aug	16-Aug	24.00 4.0
AO,	08/22	MANNING & NAPIER (MANNJS1504)	034	CVC	S	2,950	33.30	8-Aug	16-Aug	118.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2040)	034	CVC	S	170	33.30	8-Aug	16-Aug	6.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0119)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6450)	034	CVC	S	9,610	33.30	8-Aug	16-Aug	384.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0040)	034	CVC	S	670	33.30	8-Aug	16-Aug	26.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2297)	034	CVC	S	230	33.30	8-Aug	16-Aug	9.20 4.0
AO,	08/22	MANNING & NAPIER (MANNJS0104)	034	CVC	S	200	33.30	8-Aug	16-Aug	8.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1219)	034	CVC	S	220	33.30	8-Aug	16-Aug	8.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6198)	034	CVC	S	490	33.30	8-Aug	16-Aug	19.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ0101)	034	CVC	S	250	33.30	8-Aug	16-Aug	10.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6455)	034	CVC	S	9,130	33.30	8-Aug	16-Aug	365.20 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2180)	034	CVC	S	1,290	33.30	8-Aug	16-Aug	51.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ2298)	034	CVC	S	180	33.30	8-Aug	16-Aug	7.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6625)	034	CVC	S	560	33.30	8-Aug	16-Aug	22.40 4.0
AO,	08/22	MANNING & NAPIER (MANNeq9362)	034	CVC	S	290	33.30	8-Aug	16-Aug	11.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0183)	034	CVC	S	130	33.30	8-Aug	16-Aug	5.20 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ6112)	034	CVC	S	520	33.30	8-Aug	16-Aug	20.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4710)	034	CVC	S	6,050	33.30	8-Aug	16-Aug	242.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNCD1422)	034	CVC	S	1,230	33.30	8-Aug	16-Aug	49.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6662)	034	CVC	S	880	33.30	8-Aug	16-Aug	35.20 4.0
AO,	08/22	MANNING & NAPIER (MANNeq9361)	034	CVC	S	590	33.30	8-Aug	16-Aug	23.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ4401)	034	CVC	S	460	33.30	8-Aug	16-Aug	18.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0180)	034	CVC	S	240	33.30	8-Aug	16-Aug	9.60 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6454)	034	CVC	S	2,030	33.30	8-Aug	16-Aug	81.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1840)	034	CVC	S	3,050	33.30	8-Aug	16-Aug	122.00 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ8235)	034	CVC	S	4,700	33.30	8-Aug	16-Aug	188.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1258)	034	CVC	S	3,950	33.30	8-Aug	16-Aug	158.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ9360)	034	CVC	S	580	33.30	8-Aug	16-Aug	23.20 4.0
AO,	08/22	MANNING & NAPIER (MANNGR9611)	034	CVC	S	110	33.30	8-Aug	16-Aug	4.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD6453)	034	CVC	S	860	33.30	8-Aug	16-Aug	34.40 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1998)	034	CVC	S	80	33.30	8-Aug	16-Aug	3.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD1919)	034	CVC	S	70	33.30	8-Aug	16-Aug	2.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5107)	034	CVC	S	5,380	33.30	8-Aug	16-Aug	215.20 4.0
AO,	08/22	MANNING & NAPIER (MANNCD2656)	034	CVC	S	3,494	33.30	8-Aug	16-Aug	139.76 4.0
AO,	08/22	MANNING & NAPIER (MANNGR4551)	034	CVC	S	120	33.30	8-Aug	16-Aug	4.80 4.0
AO,	08/22	MANNING & NAPIER (MANNGR9610)	034	CVC	S	120	33.30	8-Aug	16-Aug	4.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD5455)	034	CVC	S	540	33.30	8-Aug	16-Aug	21.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ5013)	034	CVC	S	260	33.30	8-Aug	16-Aug	10.40 4.0
AO,	08/22	MANNING & NAPIER (MANNGR5556)	034	CVC	S	1,220	33.30	8-Aug	16-Aug	48.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD8210)	034	CVC	S	370	33.30	8-Aug	16-Aug	14.80 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ7547)	034	CVC	S	150	33.30	8-Aug	16-Aug	6.00 4.0
AO,	08/22	MANNING & NAPIER (MANNCD5450)	034	CVC	S	940	33.30	8-Aug	16-Aug	37.60 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ8115)	034	CVC	S	490	33.30	8-Aug	16-Aug	19.60 4.0
AO,	08/22	MANNING & NAPIER (MANNGR8271)	034	CVC	S	7,070	33.30	8-Aug	16-Aug	282.80 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ0108)	034	CVC	S	910	33.30	8-Aug	16-Aug	36.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ1463)	034	CVC	S	40	33.30	8-Aug	16-Aug	1.60 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ7546)	034	CVC	S	250	33.30	8-Aug	16-Aug	10.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ1100)	034	CVC	S	2,750	33.30	8-Aug	16-Aug	110.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	MANNING & NAPIER (MANNMJ2935)	034	CVC	S	1,070	33.30	8-Aug	16-Aug	42.80 4.0
AO,	08/22	MANNING & NAPIER (MANNCD0145)	034	CVC	S	210	33.30	8-Aug	16-Aug	8.40 4.0
AO,	08/22	MANNING & NAPIER (MANNMJ7272)	034	CVC	S	500	33.30	8-Aug	16-Aug	20.00 4.0
AO,	08/22	MANNING & NAPIER (MANNEQ8778)	034	CVC	S	200	33.30	8-Aug	16-Aug	8.00 4.0
AO,	08/22	ROTHSCHILD ASSET (ROTH500120)	040	DV	B	760	36.27	n/a	n/a	34.20 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH000479)	040	DV	B	420	36.27	n/a	n/a	18.90 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH471-2)	040	DV	B	380	36.27	n/a	n/a	17.10 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH471-1)	040	DV	B	990	36.27	n/a	n/a	44.55 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH471)	040	DV	B	1,850	36.27	n/a	n/a	83.25 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH470)	040	DV	B	1,290	36.27	n/a	n/a	58.05 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH450)	040	DV	B	3,380	36.27	n/a	n/a	152.10 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH386)	040	DV	B	240	36.27	n/a	n/a	10.80 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH340)	040	DV	B	6,320	36.27	n/a	n/a	284.40 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH348)	040	DV	B	440	36.27	n/a	n/a	19.80 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH349)	040	DV	B	3,520	36.27	n/a	n/a	158.40 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH370)	040	DV	B	700	36.27	n/a	n/a	31.50 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH341)	040	DV	B	230	36.27	n/a	n/a	10.35 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH323)	040	DV	B	530	36.27	n/a	n/a	23.85 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH376-1)	040	DV	B	150	36.27	n/a	n/a	6.75 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH333)	040	DV	B	900	36.27	n/a	n/a	40.50 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH379-1)	040	DV	B	50	36.27	n/a	n/a	2.25 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH353)	040	DV	B	380	36.27	n/a	n/a	17.10 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH320)	040	DV	B	360	36.27	n/a	n/a	16.20 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH303)	040	DV	B	1,290	36.27	n/a	n/a	58.05 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH318)	040	DV	B	1,600	36.27	n/a	n/a	72.00 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH354-1)	040	DV	B	530	36.27	n/a	n/a	23.85 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH360-2)	040	DV	B	850	36.27	n/a	n/a	38.25 4.5
AO,	08/22	ROTHSCHILD ASSET (ROTH360-1)	040	DV	B	1,180	36.27	n/a	n/a	53.10 4.5
AO,	08/22	PILOT ADV (PILOPERSH)	038	RCL	B	25,000	39.11	27-Jul	27-Jul	1000.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/22	ROBX (ROBX63)	035	SONC	S	38,700	22.74	n/a	n/a	1548.00 4.0
AO,	08/22	ROBX (ROBX62)	035	SONC	S	33,200	22.74	n/a	n/a	1328.00 4.0
AO,	08/22	ROBX (ROBX302)	035	SONC	S	3,100	22.74	n/a	n/a	124.00 4.0

TOTAL (AO, CXL, CRT) :	22,407.30
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TOTAL (Trade Date):	127,081.33
TOTAL (AO, CXL, CRT):	22,407.30

TOTAL (RUN DATE) / NFS:	149,488.63
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION