



RR Commissions Summary [Ravi Sadarangani]

Trade Date: 11/25/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	11/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AAD CAPITAL	084	0	0	0	690	0	0	0	0	0	690	1,720	40
ALLIANZ/NICOLAS APPLGATE	084	0	13,784	20,216	36,394	0	0	2,872	13,784	20,216	39,266	11,816	332
BRANDES INVESTMENT	084	0	0	0	0	0	0	0	0	0	0	5,150	0
BTIG/MONT PELERIN	084	0	0	300	3,469	0	0	0	0	300	3,469	0	0
CAPITAL GUARDIAN /INTN'L	084	0	0	0	2,069	0	0	0	0	0	2,069	0	0
CAPITAL WORLD	084	0	0	6,300	145,936	0	0	0	0	6,300	145,936	35,823	407
COLUMBUS CAP MGMT LLC	084	0	0	1,800	6,800	0	0	0	0	1,800	6,800	28,587	24
CONIFER/GROW	084	0	0	0	1,720	0	0	0	0	0	1,720	1,700	101
EAM INVESTORS	084	0	0	0	500	0	0	0	0	0	500	0	0
EMPYREAN CAPITAL	084	0	22,800	22,800	22,800	0	0	0	22,800	22,800	22,800	74,293	31
FEINBERG INVESTMENTS	084	0	0	0	12,420	0	0	0	0	0	12,420	0	0
FOCUSED INVESTORS	084	0	0	7,280	28,852	0	0	0	0	7,280	28,852	0	0
FRANKLIN FUND OF CALIFOR	084	0	0	0	0	0	0	0	0	0	0	11,991	0
HAHN CAPITAL MANAGEMENT	084	0	16,757	19,416	28,024	0	0	0	16,757	19,416	28,024	23,087	121
HOTCHKIS & WILEY	084	0	9,724	9,724	72,486	0	0	0	9,724	9,724	72,486	0	0
IVORY CAPITAL	084	0	5,000	10,000	77,325	0	0	0	5,000	10,000	77,325	0	0
JSRG CAPITAL PARTNERS LP	084	0	8,425	8,425	8,425	0	0	0	8,425	8,425	8,425	0	0
KAYNE ANDERSON ADV	084	0	0	0	14,208	0	0	0	0	0	14,208	15,042	94
LOMBARDIA CAP MGMT	084	0	0	0	10,811	0	0	0	0	0	10,811	0	0
NWQ INVESTMENT MGMT CO	084	0	0	0	57,978	0	0	0	0	0	57,978	73,354	79
OSTERWEIS CAPITAL	084	0	0	916	13,430	0	7,700	7,700	0	8,616	21,130	39,934	53
PARKWEST	084	0	1,768	3,768	37,099	0	0	0	1,768	3,768	37,099	29,845	124
PARNASSUS INVESTMENTS	084	0	0	0	0	0	2,500	2,500	0	2,500	2,500	5,000	50
PCO CAPITAL MGMT.	084	0	0	150	150	0	0	0	0	150	150	0	0
PEAK INV/OAKMONT/CYPRESS	084	10,000	48,000	48,000	105,600	0	0	0	48,000	48,000	105,600	45,352	233
PIMCO	084	0	3,600	7,900	21,666	0	0	0	3,600	7,900	21,666	0	0
PRIMECAP MGMT	084	0	3,265	71,604	113,499	0	0	0	3,265	71,604	113,499	80,736	141
ROBERTSON STEVENS	084	0	0	0	12,957	10,000	46,500	70,000	10,000	46,500	82,957	88,814	93
STANDARD PACIFIC CAPITAL	084	0	19,600	38,545	207,021	8,000	8,000	8,000	27,600	46,545	215,021	486,608	44
TRUST CO L.A.	084	0	0	0	0	0	12	14,959	0	12	14,959	28,847	52
WALL STREET CAPITAL	084	0	0	3,097	9,031	0	0	0	0	3,097	9,031	1,971	458
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	0	0	0	1,000	0	0	0	0	0	1,000	3,050	33
TOTALS:		10,000	152,723	280,242	1,052,357	18,000	64,712	106,031	170,723	344,954	1,158,388		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	11/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
CAPITAL RESEARCH GROUP	84	0	86,496	89,996	222,689	0	0	0	86,496	89,996	222,689	255,833	0

TOTALS:	0	86,496	89,996	222,689	0	0	0	86,496	89,996	222,689
----------------	----------	---------------	---------------	----------------	----------	----------	----------	---------------	---------------	----------------

Report created by Ravi Sadarangani on 12/17/2013 at 03:20:22pm from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 6.1; Trident/5.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E; Tablet PC 2.0)
