



# Daily Compliance Activity Report

Trade Date: 04/07/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ABG	B	20,000	14.00	n/a	26-Feb	non-brg	4.0
BUCK CAPITAL MGMT		AEO	S	100,000	18.53	n/a	31-Mar	non-brg	4.0
BUCK CAPITAL MGMT		AEO	S	100,000	18.61	n/a	31-Mar	non-brg	4.0
METROPOLITAN CAPITAL	044	AEO	B	22,800	18.78	n/a	31-Mar	1,140.00	5.0
PROSPECTOR PARTNERS LLC	036	AEO	B	10,800	18.69	n/a	31-Mar	432.00	4.0
BUCK CAPITAL MGMT		ANF	B	100,000	47.63	n/a	31-Mar	non-brg	4.0
KAILIX INV ADV	048	ANW	B	10,000	27.59	n/a	n/a	500.00	5.0
SONTERRA CAP	041	AOL	B	40,000	27.60	n/a	n/a	1,600.00	4.0
ROYCE TRADING	216	APOL	B	350	64.46	n/a	n/a	17.50	5.0
BUCK CAPITAL MGMT		ARO	S	100,000	29.22	n/a	31-Mar	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	AVP	SS	300,000	33.61	n/a	7-Apr	15,000.00	5.0
CHRISTOPHER ROBERT C	FID	BEE	B	1000	5.26	n/a	n/a	0.00	0.0
KAREN F LEDDY	FID	BEE	B	3000	5.06	n/a	n/a	0.00	0.0
MARK ANTHONY GRONET	FID	BEE	B	10000	5.23	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	BHP	B	15,000	81.63	n/a	n/a	600.00	4.0
LISTED ERR	099	BIIB	S	800	57.42	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BJRI	C	49,975	24.10	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>BJ</b>	<b>C</b>	<b>50,000</b>	<b>37.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FORD FDN.	032	BMJ	S	20,000	26.51	n/a	n/a	800.00	4.0
WHALE ROCK CAP	030	BONT	B	78,617	14.71	n/a	n/a	3,144.68	4.0
<b>f CICYL S BACKUS</b>	<b>FID</b>	<b>BTN</b>	<b>S</b>	<b>3000</b>	<b>6.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>BTN</b>	<b>S</b>	<b>10000</b>	<b>6.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f LEE F BACKUS</b>	<b>FID</b>	<b>BTN</b>	<b>S</b>	<b>3000</b>	<b>6.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	BUZY	B	7,000	69.67	n/a	22-Feb	350.00	5.0
BALYASNY ASSET MGMT	044	BWA	B	15,000	37.74	n/a	12-Feb	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BYI</b>	<b>B</b>	<b>30,000</b>	<b>40.58</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BYI</b>	<b>B</b>	<b>50,000</b>	<b>40.52</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROYCE TRADING	216	CA	B	1,000	23.10	n/a	n/a	50.00	5.0
EXIS CAPITAL	044	CHRS	B	20,000	5.11	n/a	n/a	1,000.00	5.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>CIDM</b>	<b>S</b>	<b>10000</b>	<b>2.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	CMA	SS	5,000	40.71	n/a	n/a	250.00	5.0
EXIS CAPITAL	044	CMG	SS	5,000	123.54	7-Apr	7-Apr	250.00	5.0
DIAMONDBACK CAP MGMT	232	CMI	B	7,500	64.85	n/a	5-Apr	375.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>C</b>	<b>22,454</b>	<b>54.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DIAMONDBACK CAP MGMT	232	COP	SS	10,000	52.97	n/a	n/a	500.00	5.0
ASCEND PARTNERS	033	COST	S	65,000	61.74	n/a	n/a	3,250.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>CRK</b>	<b>S</b>	<b>400</b>	<b>35.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KAILIX INV ADV	048	CSX	B	20,000	51.87	n/a	n/a	1,000.00	5.0
GREEN ARROW DOUG	040	CTB	SS	100	19.49	n/a	n/a	5.00	5.0
<b>f DAVID S HOCHSTIM</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>7650</b>	<b>7.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	DAN	S	50,000	12.46	n/a	25-Feb	2,500.00	5.0
DIAMONDBACK CAP MGMT	232	DAN	B	25,000	12.60	n/a	25-Feb	1,250.00	5.0
TRELLUS PARTNERS LP	028	DAN	S	75,000	12.46	n/a	25-Feb	2,250.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DDS</b>	<b>B</b>	<b>100,000</b>	<b>25.88</b>	<b>n/a</b>	<b>n/a</b>	<b>4,000.00</b>	<b>4.0</b>
PROSPECTOR PARTNERS LLC	036	DD	B	3,000	38.79	n/a	31-Mar	120.00	4.0
RBC CAPITAL MARKETS	040	DLTR	SS	5,000	59.51	n/a	24-Feb	200.00	4.0
BAIRD INVESTMENT	047	DRC	B	26,700	33.87	n/a	n/a	1,335.00	5.0
DAVIDSON KEMPNER ADVISOR	040	DTV	B	50,000	34.54	n/a	n/a	2,500.00	5.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>DXPE</b>	<b>B</b>	<b>5000</b>	<b>13.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	047	EL	S	23,220	63.38	n/a	18-Mar	1,161.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CASTLE ARK	031	EMC	S	276,624	18.63	n/a	n/a	16,597.44	6.0
FIRST NEW YORK LEDDY	035	ETFC	B	10,000	1.71	n/a	n/a	600.00	6.0
NEW JERSEY DIV OF INV.	030	EXP	S	80,000	27.04	n/a	n/a	3,200.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>FCH</b>	<b>B</b>	<b>900</b>	<b>7.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KENNEDY CAPITAL	046	FINL	S	100,000	17.29	n/a	n/a	4,000.00	4.0
ROYCE TRADING	216	FIS	B	1,000	24.15	n/a	n/a	50.00	5.0
PROSPECTOR PARTNERS LLC	036	FOR	B	5,000	19.39	n/a	26-Mar	200.00	4.0
<b>f DAVID S HOCHSTIM</b>	<b>FID</b>	<b>FSIXX</b>	<b>S</b>	<b>70000</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FUQI</b>	<b>S</b>	<b>20,000</b>	<b>11.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>FXP</b>	<b>B</b>	<b>5000</b>	<b>7.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GAP</b>	<b>C</b>	<b>50,000</b>	<b>8.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
THOMAS WEISEL ASSET MGMT	035	GES	S	4,150	47.04	n/a	18-Mar	124.50	3.0
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>GME</b>	<b>S</b>	<b>1200</b>	<b>22.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DSM CAPITAL PARTNERS	032	GYMB	B	8,275	54.81	n/a	31-Mar	413.75	5.0
EXIS CAPITAL	044	GYMB	B	25,000	54.96	n/a	31-Mar	1,250.00	5.0
<b>f CHRISTOPHER ROBERT B</b>	<b>FID</b>	<b>HAL</b>	<b>B</b>	<b>500</b>	<b>31.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f</b> CICY S BACKUS	<b>FID</b>	<b>HAL</b>	<b>B</b>	<b>1000</b>	<b>31.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> LBP ENTERPRISES INC	<b>FID</b>	<b>HAL</b>	<b>B</b>	<b>1000</b>	<b>31.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> LEE F BACKUS	<b>FID</b>	<b>HAL</b>	<b>B</b>	<b>1000</b>	<b>31.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> SNEJANA P GARFUNKEL	<b>FID</b>	<b>HAL</b>	<b>B</b>	<b>500</b>	<b>31.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CIRCLE T/SES PART	035	IGT	S	10,000	19.20	n/a	9-Feb	400.00	4.0
PILOT ADV	242	IM	B	39,000	18.18	n/a	n/a	1,560.00	4.0
BNP PARIBAS SEC	040	IP	B	25,000	26.30	n/a	26-Mar	1,000.00	4.0
CAXTON PART	040	IP	B	141,325	26.64	n/a	26-Mar	4,946.39	3.5
FIRST NY SEC/CHRIS	041	IP	B	1,100	26.50	n/a	26-Mar	44.00	4.0
KADE CAPITAL LLC	036	IP	S	17,500	26.90	n/a	26-Mar	875.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>JCP</b>	<b>B</b>	<b>40,000</b>	<b>33.27</b>	<b>n/a</b>	<b>31-Mar</b>	<b>non-brg</b>	<b>4.0</b>
BUCK CAPITAL MGMT	<b>001</b>	<b>JNY</b>	<b>B</b>	<b>50,000</b>	<b>19.90</b>	<b>n/a</b>	<b>10-Feb</b>	<b>2,000.00</b>	<b>4.0</b>
EXIS CAPITAL	044	JNY	B	5,000	19.77	n/a	10-Feb	250.00	5.0
ASCEND PARTNERS	033	JWN	S	13,800	42.71	n/a	31-Mar	690.00	5.0
EXIS CAPITAL	044	JWN	B	5,000	42.54	n/a	31-Mar	250.00	5.0
<b>f</b> MARK DEVEREAUX KAICH	<b>FID</b>	<b>KFRC</b>	<b>B</b>	<b>1000</b>	<b>16.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MACKLOWE ASSET MGMT	041	KO	SS	40,000	53.76	n/a	18-Mar	1,600.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>KR</b>	<b>C</b>	<b>50,000</b>	<b>22.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> JOHN MICHAEL ZOLIDIS	<b>FID</b>	<b>KSWs</b>	<b>S</b>	<b>500</b>	<b>12.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	LIZ	B	25,000	7.80	n/a	7-Oct	1,250.00	5.0

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ELM RIDGE ADVISORS	044	LLY	B	100,000	36.51	n/a	n/a	4,000.00	4.0
GRUBER MACBAINE	033	LOW	S	10,000	25.24	n/a	22-Feb	400.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>B</b>	<b>50,000</b>	<b>25.55</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
DSM CAPITAL PARTNERS	032	MDSO	B	29,150	15.31	n/a	n/a	1,457.50	5.0
ISLE RIDGE PARTNERS	041	MHGC	B	25,000	7.09	n/a	n/a	1,000.00	4.0
<b>f MARK ANTHONY GRONET</b>	<b>FID</b>	<b>MIR</b>	<b>S</b>	<b>6000</b>	<b>10.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MON</b>	<b>B</b>	<b>15,000</b>	<b>67.74</b>	<b>7-Apr</b>	<b>7-Apr</b>	<b>non-brg</b>	<b>0.8</b>
FORD FDN.	032	MON	B	15,000	68.89	7-Apr	7-Apr	600.00	4.0
PLATTE RIVER CAPITAL	240	MPEL	S	59,660	5.32	n/a	1-Apr	1,789.80	3.0
HOLLIS CAPITAL	033	MS	B	4,000	30.25	n/a	30-Mar	200.00	5.0
HUNTER GLOBAL INVESTOR	067	MS	B	500,000	30.04	n/a	30-Mar	25,000.00	5.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>MS</b>	<b>B</b>	<b>265</b>	<b>30.17</b>	<b>n/a</b>	<b>30-Mar</b>	<b>0.00</b>	<b>0.0</b>
MELLON/BANK OF NEW YORK	046	MS	B	71,986	29.88	n/a	30-Mar	3,599.30	5.0
CASTLEROCK	040	MTB	SS	21,240	83.90	n/a	n/a	849.60	4.0
ROTHSCHILD ASSET	040	MTD	S	4,483	112.31	n/a	n/a	179.32	4.0
DIAMONDBACK CAP MGMT	232	NAV	C	10,500	47.53	n/a	n/a	525.00	5.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>NBRXF</b>	<b>S</b>	<b>280</b>	<b>16.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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<b>B</b> BUCK CAPITAL MGMT		NFLX	C	20,722	81.10	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	NP	B	5,000	16.91	n/a	26-Mar	200.00	4.0
<b>B</b> BUCK CAPITAL MGMT		PAG	B	20,000	15.84	n/a	23-Mar	non-brg	4.0
APEX CAPITAL MGMT.	261	PALM	B	150,000	4.34	n/a	n/a	3,000.00	2.0
<b>f</b> DAVID S HOCHSTIM	<b>FID</b>	<b>PCAR</b>	<b>B</b>	<b>1500</b>	<b>44.21</b>	<b>7-Apr</b>	<b>7-Apr</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	030	PEP	S	150,000	66.10	n/a	n/a	6,000.00	4.0
KACELA CAPITAL	034	PG	SS	12,000	62.61	n/a	18-Mar	480.00	4.0
LISTED ERR	099	PG	B	12,000	62.61	n/a	18-Mar	0.00	0.0
LISTED ERR	099	PG	S	12,000	62.61	n/a	18-Mar	0.00	0.0
EXIS CAPITAL	044	PSUN	SS	5,000	5.90	n/a	n/a	250.00	5.0
KAILIX INV ADV	048	PTV	B	20,000	25.70	n/a	n/a	1,000.00	5.0
BMO NESBITT BURNS	041	PVH	S	1,700	59.88	n/a	23-Mar	340.00	20.0
LEVIN CAPITAL STRATEGIES	012	RDC	C	30,000	30.41	n/a	n/a	900.00	3.0
MERRILL ASSET/BLACKROCK	263	REC�	B	55,700	18.60	n/a	n/a	2,228.00	4.0
<b>B</b> BUCK CAPITAL MGMT		RRGB	C	50,000	26.03	n/a	n/a	non-brg	4.0
GALT INVESTMENT	041	RRGB	S	15,000	26.26	n/a	n/a	750.00	5.0
<b>B</b> BUCK CAPITAL MGMT		SAH	B	20,000	12.10	n/a	n/a	non-brg	4.0

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T. ROWE PRICE	033	SBUX	B	86,100	24.82	7-Apr	7-Apr	3,444.00	4.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>SCSC</b>	<b>B</b>	<b>2000</b>	<b>29.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ARMATURE CAPITAL	041	SEE	SS	20,000	21.69	n/a	n/a	800.00	4.0
FIRST NY SEC/CHRIS	041	SFI	B	15,000	4.95	n/a	n/a	600.00	4.0
GLOBAL 56 LLC	060	SIMG	B	7,000	3.32	n/a	n/a	350.00	5.0
<b>f MARK DEVEREAUX KAICH</b>	<b>FID</b>	<b>SNV</b>	<b>S</b>	<b>4000</b>	<b>3.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SUMR</b>	<b>S</b>	<b>10,000</b>	<b>6.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>TBT</b>	<b>B</b>	<b>10</b>	<b>0.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KAILIX INV ADV	048	TCK	B	20,000	46.12	n/a	n/a	1,000.00	5.0
SCOPUS FUND	041	TIN	B	50,000	21.38	n/a	26-Mar	2,500.00	5.0
SENATOR INVESTMENT GROUP	036	TIN	B	68,100	21.64	n/a	26-Mar	2,043.00	3.0
EPOCH INV MGMT	030	TJX	B	6,900	44.52	n/a	31-Mar	207.00	3.0
SAC TRADING TOM LEDDY	035	TJX	B	50,000	44.57	n/a	31-Mar	1,750.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>TLB</b>	<b>B</b>	<b>150,000</b>	<b>13.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FRONTIER	048	TLM	S	175,100	17.39	n/a	n/a	7,004.00	4.0
FRON	048	TLM	S	13,400	17.39	n/a	n/a	536.00	4.0
COUGAR TRADING	044	TM	SS	5,000	80.12	n/a	n/a	250.00	5.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GREEN ARROW DOUG	040	UFS	B	8,300	68.81	n/a	n/a	415.00	5.0
EXIS CAPITAL	044	UPS	S	40,000	63.52	n/a	n/a	2,000.00	5.0
JETSTREAM GLOBAL FUND LP	065	VECO	S	6,000	47.03	n/a	n/a	300.00	5.0
CONIFER/ISF	025	VZ	B	4,000	30.30	n/a	n/a	200.00	5.0
GRUBER MACBAINE	033	WEN	S	25,000	5.23	n/a	7-Apr	1,000.00	4.0
TROUBH PART	067	WFC	SS	50,000	32.04	n/a	30-Mar	2,500.00	5.0
BUCK CAPITAL MGMT	001	WMS	B	10,000	43.30	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		WMS	B	28,300	43.15	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	WNC	S	500	7.71	n/a	n/a	0.00	0.0
FIRST INV MGMT CO	029	WU	B	25,000	17.30	n/a	n/a	1,250.00	5.0
TOTAL (Trade Date):							172,928.78		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/06	&SEN	036	ACI	B	200,000	25.09	n/a	n/a	6000.00 3.0
AO,CRT	04/06	SENATOR INVESTMENT GROUP	036	ACI	B	200,000	25.09	n/a	n/a	6000.00 3.0
<b>AO,CRT</b>	<b>04/06</b>	<b>LISTED ERR</b>	<b>099</b>	<b>BIIB</b>	<b>B</b>	<b>800</b>	<b>57.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
AO,CXL	04/06	ROYCE TRADING	216	BIIB	B	800	57.86	n/a	n/a	40.00 5.0
AO,	04/06	THOMPSON SIEGAL&WALMSLEY	029	PKG	S	3,400	25.08	n/a	26-Mar	102.00 3.0
AO,CRT	04/06	MILLENIUUM PARTNERS	028	RRR	B	25,000	8.08	n/a	17-Feb	750.00 3.0
AO,CXL	04/06	MILLENIUUM PARTNERS	028	RRR	B	25,000	8.08	n/a	17-Feb	1000.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-188.00</b>

TOTAL (Trade Date):	172,928.78
TOTAL (AO, CXL, CRT):	-188.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>172,740.78</b>
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MRI Securities with Trade Activity on 7-Apr.  
**CMG, MON, PCAR, SBUX**

MRI Securities without Trade Activity on 7-Apr.  
**PRGO, PNRA, MCD, CAKE, AGN**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 16%

YTD = 14%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)