



Daily Compliance Activity Report

Trade Date: 06/10/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RWC PARTNERS LTD	085	AA	S	57,700	15.29	n/a	n/a	1,731.00	3.0
CATAPULT	040	AKS	B	25,000	15.12	n/a	n/a	1,000.00	4.0
f KAREN F LEDDY	FID	ARCO	B	402	21.45	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	AVY	B	3000	36.69	n/a	6-Jun	0.00	0.0
f ALICE BEEBE LONGLEY	FID	AXE	B	100	62.48	n/a	27-Apr	0.00	0.0
FRONTPOINT	266	AXL	S	43,150	10.04	n/a	25-May	1,726.00	4.0
f KAREN F LEDDY	FID	BEE	S	1500	6.17	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	S	10000	38.85	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	S	3000	38.72	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	S	8000	38.86	n/a	n/a	0.00	0.0
FORTRESS INVESTMENT (US)	036	BPOP	SS	500,000	2.71	n/a	n/a	10,000.00	2.0
GLENHILL CAPITAL	036	BYI	B	65,050	35.79	n/a	11-May	3,252.50	5.0
B BUCK CAPITAL MGMT		CBK	C	10,000	5.15	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		CHS	S	50,000	13.39	n/a	5-Feb	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	CSCO	B	3000	15.11	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JONATHAN RICHARD HAR	FID	CVGI	B	35	13.80	n/a	n/a	0.00	0.0
PZENA INV ADV	044	C	B	1,525	37.46	n/a	2-Jun	53.38	3.5
B BUCK CAPITAL MGMT		DDS	B	24,000	49.54	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	S	10,000	79.09	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	DFS	B	14,597	22.75	n/a	8-Jun	729.85	5.0
f JOSEPH CIRO AMATURO	FID	DIA	B	3000	119.66	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	DIA	B	5000	119.65	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DPZ	S	48,600	23.19	n/a	6-May	non-brg	2.5
ROYCE TRADING	012	FINL	B	39,228	21.08	n/a	10-May	1,961.40	5.0
f MICHAEL MARRANO	FID	FINPX	S	40	12.12	n/a	n/a	0.00	0.0
f MITCHELL JAMES SPEIS	FID	FSNXX	S	12000	1.00	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	FSTFX	S	88	10.71	n/a	n/a	0.00	0.0
ROSEDALE CAPITAL	046	F	B	40,000	13.51	n/a	2-Jun	1,600.00	4.0
B BUCK CAPITAL MGMT	001	GCO	B	10,000	41.48	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		GES	B	20,000	40.34	n/a	26-May	non-brg	4.0
B BUCK CAPITAL MGMT		GES	B	25,000	40.46	n/a	26-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		GIL	S	40,000	33.77	n/a	12-May	non-brg 4.0
BUCK CAPITAL MGMT		GNC	S	20,000	19.10	n/a	n/a	non-brg 4.0
CATAPULT	040	HON	SS	5,000	56.10	n/a	3-Jun	200.00 4.0
Doug Rogers		HOTT	S	1000	7.00	n/a	n/a	0.00 0.0
Doug Rogers		HOTT	S	1000	7.08	n/a	n/a	0.00 0.0
Doug Rogers		HOTT	S	2000	7.02	n/a	n/a	0.00 0.0
CHRISTOPHER ARTHUR K	FID	HOT	S	100	54.96	n/a	28-Apr	0.00 0.0
JOSEPH CIRO AMATURO	FID	HWCC	B	3000	13.89	n/a	n/a	0.00 0.0
JOSEPH CIRO AMATURO	FID	IGD	B	10000	10.98	n/a	n/a	0.00 0.0
GREEN ARROW DOUG	040	IWM	SS	25,000	78.17	n/a	n/a	1,250.00 5.0
ARMATURE CAPITAL	041	JCI	B	60,000	35.91	n/a	10-Jun	2,400.00 4.0
KAREN F LEDDY	FID	JNY	B	1200	10.34	n/a	28-Apr	0.00 0.0
BUCK CAPITAL MGMT		JOSB	B	10,000	45.73	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		JOSB	B	10,000	45.82	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		KMX	C	50,000	26.92	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		KSS	S	160,184	49.99	n/a	3-Jun	non-brg 4.0
BUCK CAPITAL MGMT		KSS	S	24,000	50.03	n/a	3-Jun	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KAREN F LEDDY	FID	LEA	S	300	48.24	n/a	2-May	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	LEA	S	7,500	48.00	n/a	2-May	225.00	3.0
BUCK CAPITAL MGMT	001	LTD	B	10,000	35.89	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		LTD	B	10,000	35.89	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MAR	C	20,000	34.21	n/a	21-Apr	non-brg	4.0
f CHRISTOPHER ARTHUR K	FID	MAR	S	100	33.94	n/a	21-Apr	0.00	0.0
KACELA CAPITAL	034	MGM	S	54,000	12.40	n/a	9-Jun	2,160.00	4.0
SONTERRA CAP	041	MMI	SS	40,000	23.45	n/a	n/a	1,600.00	4.0
B BUCK CAPITAL MGMT		MSMSBCM	SS	85,000	93.96	n/a	n/a	non-brg	7.5
f JONATHAN RICHARD HAR	FID	MTW	B	35	15.56	n/a	3-May	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	MWV	B	35,000	32.27	n/a	27-Apr	1,750.00	5.0
THE JAY GOLDMAN	028	M	B	20,000	27.37	n/a	3-Jun	800.00	4.0
f CHRISTOPHER ARTHUR K	FID	NAV	S	100	54.92	n/a	8-Jun	0.00	0.0
RESERVOIR CAPITAL GROUP LLC	091	NFG	B	32,700	67.21	n/a	n/a	981.00	3.0
BALLAST CAP	040	NUE	B	13,800	40.29	n/a	n/a	690.00	5.0
f MICHAEL MARRANO	FID	PAFDX	B	118	23.81	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	PBR	S	200	33.16	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WOOSTER CAPITAL	035	PCAR	B	25,000	46.08	n/a	20-Apr	1,250.00	5.0
TRELLUS PARTNERS LP	028	PCH	B	30,000	34.11	n/a	3-Jun	1,500.00	5.0
B BUCK CAPITAL MGMT		PERY	S	10,000	24.44	n/a	n/a	non-brg	4.0
STELLIAM INV ADV	034	PLD	B	15,000	32.16	n/a	n/a	750.00	5.0
SIGMA CAPITAL ASSOCIATES	041	PSS	SS	31,800	14.06	n/a	n/a	1,113.00	3.5
PAULSON & CO INC	041	RIG	S	250,000	63.60	n/a	n/a	10,000.00	4.0
BALYASNY ASSET MGMT	044	RL	SS	2,000	120.04	n/a	26-May	100.00	5.0
f KAREN F LEDDY	FID	ROHI	S	1400	4.70	n/a	n/a	0.00	0.0
HAHN CAPITAL MANAGEMENT	084	RS	B	25,889	47.69	n/a	n/a	1,294.45	5.0
B BUCK CAPITAL MGMT		SAH	S	40,000	11.89	n/a	n/a	non-brg	4.0
STELLIAM INV ADV	034	SYU	B	25,000	30.55	n/a	n/a	1,250.00	5.0
f MICHAEL MARRANO	FID	TPINX	S	52	13.89	n/a	n/a	0.00	0.0
SCOPUS FUND	041	TRW	B	79,910	52.19	n/a	n/a	3,196.40	4.0
f JONATHAN RICHARD HAR	FID	TZA	S	450	41.37	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	TZA	S	5000	41.96	n/a	n/a	0.00	0.0
f ABRAM M CHAPPELL	FID	UAL	B	225	22.20	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	UFI	S	5,000	11.89	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	VFC	SS	2,000	92.07	n/a	2-May	100.00	5.0
f ALEXANDER A SACCO	FID	VXX	S	175	22.73	n/a	n/a	0.00	0.0
f CHRISTOPHER ARTHUR K	FID	V	S	100	74.77	n/a	8-Jun	0.00	0.0
f HARALAMBOS EFSTATHI	FID	WBC	B	200	63.31	n/a	29-Apr	0.00	0.0
f KAREN F LEDDY	FID	WBC	B	150	63.20	n/a	29-Apr	0.00	0.0
f JOSEPH CIRO AMATURO	FID	WNC	B	5000	8.50	n/a	n/a	0.00	0.0
ACK ASSET	028	WRC	S	25,000	47.60	n/a	6-May	750.00	3.0
ß BUCK CAPITAL MGMT		WRC	S	10,000	47.57	n/a	6-May	non-brg	4.0
ß BUCK CAPITAL MGMT		WRC	S	11,400	48.41	n/a	6-May	non-brg	4.0
ß BUCK CAPITAL MGMT		XRT	C	60,000	49.88	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	SS	5,000	49.52	n/a	n/a	non-brg	1.0
TOTAL (Trade Date) :								56,263.98	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/09	SAC TRADING TOM LEDDY	035	EXPR	S	15,000	19.80	n/a	n/a	525.00 3.5
AO,CXL	06/09	SAC TRADING TOM LEDDY	035	EXPR	S	15,000	19.80	n/a	n/a	0.00 0.0
AO,CRT	06/09	PERRY PARTNERS	085	HTZ	S	75,000	14.45	n/a	n/a	3000.00 4.0
AO,CXL	06/09	PERRY PARTNERS	085	HTZ	S	75,000	14.45	n/a	n/a	3750.00 5.0
TOTAL (AO, CXL, CRT) :										-225.00

TOTAL (Trade Date) :	56,263.98
TOTAL (AO, CXL, CRT) :	-225.00

TOTAL (RUN DATE) / NFS :	56,038.98
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
CXL	06/09	BUCK CAPITAL MGMT.	RRR	B	2,400	10.95	n/a 26-Apr	96.00
CRT	06/09	BUCK CAPITAL MGMT	RRR	B	2,400	10.95	n/a 26-Apr	60.00 2.5

MRI Securities with Trade Activity on 10-Jun.
NONE

MRI Securities without Trade Activity on 10-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 2%
YTD = 3%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)