



Daily Compliance Activity Report

Trade Date: 05/08/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	5,000	17.80	6-Mar	2-May	250.00	5.0
AMATURO	018	AKNS	B	3,000	5.57	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	AMD	SS	20,000	6.96	n/a	n/a	1,200.00	6.0
COUGAR TRADING	044	ANF	B	25,000	74.22	10-Apr	2-May	1,250.00	5.0
COUGAR TRADING	044	ANF	SS	25,000	73.98	10-Apr	2-May	1,250.00	5.0
THIRD COAST CAPITAL	035	AOS	B	10,000	31.91	28-Mar	17-Apr	500.00	5.0
COUGAR TRADING	044	ARO	S	10,000	32.70	28-Apr	2-May	500.00	5.0
TRELLUS PARTNERS LP	028	AXL	SS	6,000	21.90	n/a	n/a	300.00	5.0
Õ Raghav Nayar		BIDZ	S	190	10.1500	n/a	n/a	0.00	0.0
CROWLEY	061	BLJ	S	1	17.27	n/a	n/a	0.00	0.0
MAGUIRE	090	CAL	S	2,500	16.87	n/a	n/a	0.00	0.0
BANK OF NEW YORK	046	CBI	B	11,110	39.99	n/a	n/a	444.40	4.0
MORRISON&FRASIER/BUTLER	040	CENT	B	100	4.50	8-May	8-May	5.00	5.0
MORRISON&FRASIER/BUTLER	040	CENT	S	34,500	5.33	8-May	8-May	1,725.00	5.0
OTC ERROR	099	CENT	B	500	5.28	8-May	8-May	0.00	0.0
OTC ERROR	099	CENT	S	500	5.33	8-May	8-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	012	CFX	S	200,000	20.97	n/a	n/a	6,000.00	3.0
JMP ASSET MGMT	210	CHS	B	500	6.88	11-Apr	2-May	25.00	5.0
BUCK CAPITAL MGMT	001	COH	S	10,000	34.21	4-Apr	23-Apr	400.00	4.0
COUGAR TRADING	044	COH	B	5,000	34.50	4-Apr	23-Apr	250.00	5.0
COUGAR TRADING	044	COH	SS	10,000	34.62	4-Apr	23-Apr	500.00	5.0
NATIONAL BK OF CAN	031	C	S	50,000	24.32	16-Jan	30-Apr	2,000.00	4.0
MAGUIRE	090	DAL	S	5,000	7.71	n/a	n/a	0.00	0.0
COUGAR TRADING	044	DSW	B	40,000	13.07	8-May	8-May	2,000.00	5.0
PROSPECTOR PARTNERS LLC	036	EAC	S	10,000	55.89	n/a	n/a	400.00	4.0
OMEGA ADVISORS	041	EBAY	B	150,000	29.90	n/a	n/a	6,000.00	4.0
SINCLAIR	031	EXHKL	B	5	15.60	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	FDO	SS	25,000	21.66	8-Jan	2-May	1,250.00	5.0
MERRILL ASSET	032	FL	S	41,200	12.45	2-Apr	2-Apr	1,854.00	4.5
DAVIDSON TRUST CO	028	GE	S	3,045	32.59	n/a	n/a	152.25	5.0
EGS ASSOCIATES LP	091	GIL	B	4,500	28.23	30-Apr	8-May	270.00	6.0
LEDDY	035	GIL	B	500	28.12	30-Apr	8-May	0.00	0.0
DAVIDSON TRUST CO	028	GLW	S	1,100	26.22	n/a	7-May	99.00	9.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEINTRAUB CAPITAL MGT.	040	GM	SS	25,000	21.11	29-Apr	2-May	1,000.00	4.0
BULL PATH CAPITAL	044	GPS	B	40,000	18.36	n/a	2-May	2,000.00	5.0
COUGAR TRADING	044	GPS	B	20,000	18.12	n/a	2-May	1,000.00	5.0
COUGAR TRADING	044	GPS	SS	20,000	18.24	n/a	2-May	1,000.00	5.0
COUGAR TRADING	044	GYMB	S	15,000	44.73	2-Apr	8-May	750.00	5.0
AMBER CAPITAL	046	HBAN	B	547,701	9.49	n/a	n/a	21,908.04	4.0
LUCHANSKY	036	IGT	S	300	34.71	n/a	18-Apr	0.00	0.0
PHILADELPHIA FINANCIAL	033	IVZ	S	10,000	27.33	10-Apr	8-May	400.00	4.0
BUCK CAPITAL MGMT	001	JAH	B	70,000	21.17	n/a	n/a	2,800.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	10,000	46.87	n/a	12-Mar	400.00	4.0
COUGAR TRADING	044	JCG	B	10,000	47.46	n/a	12-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	JCP	SS	30,000	43.31	4-Jan	2-May	1,200.00	4.0
PERRY PARTNERS	041	JCP	SS	46,000	43.16	4-Jan	2-May	2,300.00	5.0
TROUBH PART	067	JCP	B	15,000	42.81	4-Jan	2-May	750.00	5.0
TROUBH PART	067	JCP	SS	5,000	44.14	4-Jan	2-May	250.00	5.0
DAVIDSON TRUST CO	028	JPM	S	1,107	46.08	8-May	8-May	99.63	9.0
THE JAY GOLDMAN	034	JWN	SS	40,000	35.31	31-Mar	2-May	1,600.00	4.0
DAVIDSON TRUST CO	028	KMB	S	1,915	63.03	n/a	n/a	172.35	9.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	KR	B	40,000	26.52	n/a	n/a	1,600.00	4.0
ADAGE CAPITAL MGMT	030	KSS	S	195,000	48.20	4-Jan	2-May	7,800.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	80,000	48.23	4-Jan	2-May	3,200.00	4.0
COUGAR TRADING	044	KSS	SS	15,000	47.53	4-Jan	2-May	750.00	5.0
COUGAR TRADING	044	KSS	S	25,000	48.75	4-Jan	2-May	1,250.00	5.0
LISTED ERR	099	KSS	B	5,000	49.53	4-Jan	2-May	0.00	0.0
LISTED ERR	099	KSS	S	5,000	49.53	4-Jan	2-May	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	LIZ	B	25,400	18.12	n/a	7-May	952.50	3.8
PERRY PARTNERS	041	LM	B	100,000	54.67	7-May	7-May	5,000.00	5.0
N STRATTON	014	LTRE	C	100	16.70	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	MCHP	B	25,000	37.09	n/a	n/a	1,000.00	4.0
LEHMAN PROPRIETARY TRAD	041	MDRX	B	25,000	11.86	n/a	n/a	1,000.00	4.0
CASTLE ARK	031	MDT	SS	1,260	47.76	n/a	22-Apr	75.60	6.0
BANK OF NEW YORK	046	MIC	S	19,685	28.31	n/a	n/a	787.40	4.0
AMATURO	018	MPEL	S	1,000	14.17	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	034	M	B	40,000	24.11	7-Feb	2-May	1,600.00	4.0
N STRATTON	014	NETM	S	2,000	7.00	n/a	n/a	0.00	0.0
DSG CAPITAL	225	NP	B	2,300	19.97	7-Apr	7-Apr	115.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DSG CAPITAL	225	NP	S	2,300	20.20	7-Apr	7-Apr	115.00	5.0
COUGAR TRADING	044	NWY	S	10,000	6.84	n/a	2-May	500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	OSK	B	5,600	38.70	n/a	n/a	210.00	3.8
CUMBERLAND	038	PCAR	S	10,000	47.94	n/a	n/a	400.00	4.0
BMO NESBITT BURNS	041	PCH	B	25,000	44.36	28-Apr	28-Apr	1,000.00	4.0
BARR	096	PDFS	B	3,000	5.00	n/a	n/a	0.00	0.0
DAVIDSON TRUST CO	028	PFE	S	2,465	19.94	n/a	31-Mar	147.90	6.0
MAIRS AND POWER INV	030	PFG	B	10,000	52.95	n/a	n/a	600.00	6.0
DAVIDSON TRUST CO	028	PG	S	1,314	66.01	n/a	8-May	118.26	9.0
EGS ASSOCIATES LP	091	PLCE	B	6,500	27.79	2-May	8-May	390.00	6.0
LUCHANSKY1	036	PNK	B	300	14.40	n/a	n/a	0.00	0.0
COUGAR TRADING	044	PSUN	B	3,305	12.00	n/a	2-May	165.25	5.0
COUGAR TRADING	044	PSUN	SS	10,000	12.35	n/a	2-May	500.00	5.0
MERRILL ASSET	032	PVH	S	11,600	42.49	5-May	5-May	522.00	4.5
BARABOO GROWTH	031	QCOM	B	5,000	44.16	n/a	n/a	250.00	5.0
COUGAR TRADING	044	RL	B	5,000	59.13	7-Feb	6-May	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	RL	SS	44,300	58.77	7-Feb	6-May	1,772.00	4.0
SIRIS	013	RL	S	500	58.93	7-Feb	6-May	0.00	0.0
1798 CAPITAL MGMT	040	SKX	SS	5,000	22.88	n/a	13-Mar	250.00	5.0
Õ Jason Cohen		SNCR	B	120	12.4000	n/a	n/a	0.00	0.0
KAICHER	064	SNCR	B	100	12.31	n/a	n/a	0.00	0.0
DEMARTINI	065	SPNG	B	500,000	0.05	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	SPWR	B	300	81.17	n/a	n/a	15.00	5.0
ROSEDALE CAPITAL	046	SSCC	B	25,000	5.32	7-Apr	23-Apr	1,000.00	4.0
SASCO	041	SSCC	B	80,000	5.38	7-Apr	23-Apr	4,000.00	5.0
PRINCIPLE CAPITAL	040	SVVS	S	93,500	15.24	n/a	n/a	2,805.00	3.0
DAVIDSON TRUST CO	028	SYX	S	1,075	30.54	n/a	24-Apr	96.75	9.0
TROUBH PART	067	TGT	B	10,000	52.16	n/a	27-Jul	500.00	5.0
COUGAR TRADING	044	TIF	SS	10,000	42.05	14-Jan	25-Mar	500.00	5.0
IRONBOUND CAPITAL	012	TIN	B	39,900	11.72	29-Apr	29-Apr	1,197.00	3.0
SAC TRADING HOWARD	038	TJX	SS	25,000	31.21	n/a	2-May	1,250.00	5.0
VICTORIA INVESTORS LP	060	TJX	B	5,000	31.53	n/a	2-May	250.00	5.0
TRELLUS PARTNERS LP	028	VC	S	241,050	4.46	n/a	1-May	7,231.50	3.0
MCCARTAN	028	VC	B	2,200	4.37	n/a	1-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MCCARTAN	028	VC	S	2,200	4.36	n/a	1-May	0.00	0.0
PATTEN & PATTEN	067	V	S	200	87.16	n/a	n/a	10.00	5.0
LUCHANSKY	036	V	B	100	89.35	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	WFC	SS	25,000	29.67	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	WFR	B	600	64.37	n/a	n/a	30.00	5.0
TROUBH PART	067	WMT	SS	5,000	56.93	n/a	2-May	250.00	5.0
J L F ASSET MGMT	039	WSM	SS	50,000	25.55	n/a	n/a	2,000.00	4.0
LAGRANGE CAP ADV	048	WWE	B	20,000	16.04	n/a	n/a	1,000.00	5.0
TOTAL (Trade Date) :							122,460.83		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/07	TRELLUS PARTNERS LP (TRELMEER)	028	AXL	SS	608	22.20	n/a	n/a	30.40	5.0
AO,	05/07	TRELLUS PARTNERS LP (TRELGS)	028	AXL	SS	608	22.20	n/a	n/a	30.40	5.0
AO,	05/07	TRELLUS PARTNERS LP (TRELMAIN)	028	AXL	SS	9,384	22.20	n/a	n/a	469.20	5.0
CRT	05/08	CROWN ADVISORS (CROWLEY)	061	BLJ	S	1	17.27	n/a	n/a	0.00	0.0
AO,	05/07	SUTTONBROOK CAPITAL (SUTTFB04)	067	FNM	SS	100,000	29.15	n/a	n/a	4000.00	4.0
AO,CXL	05/07	BAIRD INVESTMENT (BAIRVB515)	031	HON	B	725	59.88	18-Apr	18-Apr	0.00	0.0
AO,CRT	05/07	BAIRD INVESTMENT (BAIRVB515)	031	HON	B	725	59.88	18-Apr	18-Apr	36.25	5.0
AO,CXL	05/02	WAGONHOUND (WAGO)	048	LM	B	6,160	64.37	7-May	7-May	308.00	5.0
AO,CRT	05/02	WAGONHOUND (WAGO)	048	LM	B	6,160	64.37	7-May	7-May	246.40	4.0
AO,	05/07	TRELLUS PARTNERS LP (TRELMEER)	028	PAG	SS	2,416	20.74	22-Apr	30-Apr	120.80	5.0
AO,	05/07	TRELLUS PARTNERS LP (TRELGS)	028	PAG	SS	2,416	20.74	22-Apr	30-Apr	120.80	5.0
AO,	05/07	TRELLUS PARTNERS LP (TRELMAIN)	028	PAG	SS	36,768	20.74	22-Apr	30-Apr	1838.40	5.0
AO,	05/07	SUTTONBROOK CAPITAL (SUTTFB04)	067	T	B	100,000	39.04	n/a	4-Jan	4000.00	4.0
TOTAL (AO, CXL, CRT) :										10,584.65	

TOTAL (Trade Date):	122,460.83
TOTAL (AO, CXL, CRT):	10,584.65

TOTAL (RUN DATE) / NFS:

133,045.48

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION