



Daily Compliance Activity Report

Trade Date: 05/25/2011

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBIA PARTNERS	028	ACTG	S	1,410	37.84	n/a	n/a	56.40	4.0
COLUMBIA PARTNERS	028	ADS	S	450	89.42	n/a	n/a	18.00	4.0
COLUMBIA PARTNERS	028	ALGN	S	618	22.86	n/a	n/a	24.72	4.0
ARMATURE CAPITAL	041	ALV	SS	10,000	73.92	n/a	21-Apr	400.00	4.0
COBALT	041	BEAV	B	50,000	36.37	n/a	5-May	2,000.00	4.0
COLUMBIA PARTNERS	028	CMP	S	565	90.90	n/a	n/a	22.60	4.0
NEWLAND CAPITAL MGT	025	CNW	S	20,000	38.25	n/a	n/a	800.00	4.0
MERRILL ASSET/BLACKROCK	297	DCOM	S	30,600	13.43	n/a	n/a	1,224.00	4.0
BUCK CAPITAL MGMT	001	DDS	B	100,000	53.76	n/a	n/a	3,000.00	3.0
COLUMBIA PARTNERS	028	EPR	S	1,085	47.14	n/a	n/a	43.40	4.0
ROYCE TRADING	012	FINL	B	27,000	21.46	n/a	10-May	1,350.00	5.0
PIONEER PATH	028	FNF	B	28,939	15.58	n/a	n/a	868.17	3.0
CATAPULT	040	FUL	B	5,000	21.35	n/a	n/a	200.00	4.0
COLUMBIA PARTNERS	028	GDI	S	603	79.59	n/a	n/a	24.12	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALLAST CAP	040	GG	B	6,100	49.89	n/a	n/a	305.00	5.0
COOPER CREEK PART	028	GIS	B	40,000	39.17	n/a	n/a	1,600.00	4.0
CIRCLE T/SES PART	033	GM	SS	10,000	31.03	n/a	25-May	400.00	4.0
LANE GATE PARTNERS LLC	012	GM	SS	5,000	31.23	n/a	25-May	150.00	3.0
ENTRUST CAPITAL	040	GPC	SS	35,600	53.37	n/a	n/a	1,780.00	5.0
KLEINHEINZ CAP PART	031	HBI	S	50,000	29.58	n/a	21-Apr	2,500.00	5.0
SAC CHICAGO ANDREW	031	HD	SS	200,000	36.56	n/a	18-May	7,000.00	3.5
COLUMBIA PARTNERS	028	HT	S	7,127	5.77	n/a	n/a	213.81	3.0
HARVEST MGMT	029	IP	B	5,000	30.39	n/a	28-Apr	200.00	4.0
MA CAPITAL MGMT/MELLON ADV041	IP	B	B	4,000	30.47	n/a	28-Apr	200.00	5.0
ACK ASSET	028	IWM	B	50,000	82.06	n/a	n/a	1,500.00	3.0
LISTED ERR	099	IWN	B	50,000	72.84	n/a	n/a	0.00	0.0
LISTED ERR	099	IWN	S	50,000	72.79	n/a	n/a	0.00	0.0
SAC TRADING TOM LEDDY	035	JCP	B	4,226	35.55	n/a	17-May	147.91	3.5
COLUMBUS CIRCLE	036	KNXA	B	5,000	31.58	n/a	n/a	200.00	4.0
SURVEYOR CAPITAL	041	LLY	SS	50,000	38.22	n/a	n/a	1,500.00	3.0
BALYASNY ASSET MGMT	044	LOW	B	25,000	24.13	n/a	16-May	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RWC PARTNERS LTD	085	MCD	B	66,918	82.88	n/a	9-May	2,007.54	3.0
NEWLAND CAPITAL MGT	025	MDR	S	25,000	20.80	n/a	n/a	1,000.00	4.0
GREEN ARROW DOUG	040	MGM	S	25,000	15.29	n/a	10-May	1,250.00	5.0
NEWLAND CAPITAL MGT	025	MSM	S	15,000	68.61	n/a	n/a	600.00	4.0
PIONEER PATH	028	NYB	SS	27,881	16.11	n/a	2-May	836.43	3.0
BALLAST CAP	040	PFCB	B	20,000	39.80	n/a	29-Apr	1,000.00	5.0
NEWLAND CAPITAL MGT	025	PH	S	18,461	87.66	n/a	28-Apr	738.44	4.0
ROYCE TRADING	012	PLCE	B	36,500	50.09	n/a	20-May	1,825.00	5.0
COLUMBIA PARTNERS	028	SBAC	S	1,238	39.04	n/a	n/a	49.52	4.0
MFP INVESTORS	085	SSCC	S	15,000	39.98	n/a	14-Apr	750.00	5.0
NEWLAND CAPITAL MGT	025	SWFT	S	8,605	13.60	n/a	n/a	344.20	4.0
ROSEDALE CAPITAL	046	SWN	B	10,000	43.51	n/a	29-Apr	500.00	5.0
TALPION LLC	040	TIF	S	5,000	68.80	n/a	n/a	200.00	4.0
NEWLAND CAPITAL MGT	025	TOL	B	25,000	20.73	n/a	n/a	1,000.00	4.0
BALYASNY ASSET MGMT	044	UFS	SS	5,000	99.68	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FARALLON CAP MGMT	040	V	B	75,000	78.89	n/a	11-May	3,000.00	4.0
MATRIX ASSET ADV.	091	WU	S	5,000	20.30	n/a	n/a	200.00	4.0
RAINIER INV MGMT	035	WXS	B	42,180	51.59	n/a	n/a	1,687.20	4.0
BNP PARIBAS SEC	040	WY	B	20,000	21.81	n/a	19-May	1,000.00	5.0
TALPION LLC	040	YUM	S	5,100	55.71	n/a	25-Apr	204.00	4.0
TOTAL (Trade Date):								47,420.46	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/24	YORK CAPITAL (YORKML)	036	TEVA	B	5,660	49.39	n/a	23-May	169.80 3.0
AO,CRT	05/24	YORK CAPITAL (YORKML)	036	TEVA	B	5,650	49.39	n/a	23-May	169.50 3.0
AO,CXL	05/24	YORK CAPITAL (YORKBS)	036	TEVA	B	44,340	49.39	n/a	23-May	1330.20 3.0
AO,CRT	05/24	YORK CAPITAL (YORKBS)	036	TEVA	B	44,350	49.39	n/a	23-May	1330.50 3.0

TOTAL (AO, CXL, CRT) :**0.00**

TOTAL (Trade Date) :**47,420.46****TOTAL (AO, CXL, CRT) :****0.00**

TOTAL (RUN DATE) / NFS:**47,420.46**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION