



# Daily Compliance Activity Report

Trade Date: 07/27/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THIRD COAST CAPITAL	035	ABM	B	14,000	20.45	n/a	n/a	700.00	5.0
HUNTER GLOBAL INVESTOR	067	ACL	B	5,000	128.94	16-Jul	27-Jul	250.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>AETTE</b>	<b>B</b>	<b>20</b>	<b>1.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AGCO</b>	<b>S</b>	<b>10,000</b>	<b>31.42</b>	<b>29-Jun</b>	<b>29-Jun</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AGCO</b>	<b>S</b>	<b>10,000</b>	<b>31.54</b>	<b>29-Jun</b>	<b>29-Jun</b>	<b>non-brg</b>	<b>1.0</b>
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>AGCO</b>	<b>S</b>	<b>50</b>	<b>31.60</b>	<b>29-Jun</b>	<b>29-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>AMAG</b>	<b>B</b>	<b>1000</b>	<b>50.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRIMECAP MGMT	048	AMGN	B	30,169	60.61	n/a	26-Jan	1,508.45	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>10,000</b>	<b>28.33</b>	<b>3-Jun</b>	<b>10-Jul</b>	<b>400.00</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	ANN	C	30,000	10.41	30-Jan	30-Jan	1,500.00	5.0
REINHART & MAHONEY	031	APOL	B	5,140	66.41	n/a	n/a	257.00	5.0
COUGAR TRADING	044	ARO	B	5,000	36.64	n/a	10-Jul	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AXL</b>	<b>S</b>	<b>332,347</b>	<b>1.28</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>1.0</b>
<b>f JOHN CAREY MAGUIRE</b>	<b>FID</b>	<b>AXL</b>	<b>B</b>	<b>1000</b>	<b>1.29</b>	<b>n/a</b>	<b>9-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>AXL</b>	<b>S</b>	<b>5000</b>	<b>1.25</b>	<b>n/a</b>	<b>9-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>S</b>	<b>73,900</b>	<b>13.04</b>	<b>11-May</b>	<b>20-Jul</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>BBI</b>	<b>S</b>	<b>5000</b>	<b>0.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>SS</b>	<b>30,000</b>	<b>35.97</b>	<b>n/a</b>	<b>27-Jul</b>	<b>1,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BEBE</b>	<b>B</b>	<b>20,000</b>	<b>6.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
RBC CAPITAL MARKETS	040	BHP	SS	10,000	61.44	n/a	n/a	400.00	4.0
SANDLER O'NEILL ASSET	031	BK	B	25,000	26.97	11-May	23-Jul	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CBRL</b>	<b>C</b>	<b>24,019</b>	<b>30.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>B</b>	<b>80,000</b>	<b>26.70</b>	<b>n/a</b>	<b>n/a</b>	<b>3,200.00</b>	<b>4.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>C</b>	<b>B</b>	<b>4000</b>	<b>2.63</b>	<b>n/a</b>	<b>20-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>DBO</b>	<b>S</b>	<b>500</b>	<b>25.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f NEIL M STRATTON</b>	<b>FID</b>	<b>DDE</b>	<b>S</b>	<b>300</b>	<b>5.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KINGDON ASSET MGMT	041	DECK	B	25,000	69.08	n/a	n/a	1,000.00	4.0
NEWCASTLE FUNDS	255	DE	B	20,000	42.58	n/a	17-Jun	800.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DHI</b>	<b>S</b>	<b>58,000</b>	<b>11.41</b>	<b>n/a</b>	<b>n/a</b>	<b>2,320.00</b>	<b>4.0</b>
THIRD COAST CAPITAL	035	DSW	B	10,000	12.77	15-Jun	24-Jun	500.00	5.0
EPOCH INV MGMT	030	FII	B	6,500	25.10	24-Apr	27-Jul	260.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	FII	SS	28,650	25.04	24-Apr	27-Jul	1,432.50	5.0
UBS OCONNOR	031	FII	B	120,000	24.97	24-Apr	27-Jul	3,600.00	3.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>FINL</b>	<b>S</b>	<b>1000</b>	<b>8.68</b>	<b>n/a</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
MILLENIUM PARTNERS	028	FNF	S	40,000	13.52	n/a	n/a	1,600.00	4.0
NEUBERGER BERMAN	036	FNF	B	57,300	13.77	n/a	n/a	2,578.50	4.5
PHILADELPHIA FINANCIAL	033	FNF	S	45,000	13.73	n/a	n/a	1,800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>S</b>	<b>50,000</b>	<b>24.65</b>	<b>30-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	F	SS	25,000	7.06	n/a	24-Jul	1,000.00	4.0
TRELLUS PARTNERS LP	028	F	S	100,000	6.88	n/a	24-Jul	3,000.00	3.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>S</b>	<b>72,400</b>	<b>20.46</b>	<b>n/a</b>	<b>10-Jan</b>	<b>2,896.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>S</b>	<b>67,600</b>	<b>20.44</b>	<b>n/a</b>	<b>10-Jan</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>S</b>	<b>30,000</b>	<b>28.88</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>GGWPQ</b>	<b>S</b>	<b>3000</b>	<b>1.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	HAR	S	10,000	23.75	10-Jun	16-Jun	500.00	5.0
RK CAPTIAL MGMT	045	HEI	SS	9,500	38.77	n/a	n/a	475.00	5.0
<b>f NEIL M STRATTON</b>	<b>FID</b>	<b>HE</b>	<b>S</b>	<b>200</b>	<b>17.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CSFB GLOBAL PROPRIETARY	041	IP	B	250,000	18.58	21-Jul	21-Jul	12,500.00	5.0
REINHART & MAHONEY	031	IVZ	B	110	19.68	27-Jul	27-Jul	5.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JCG	B	10,000	28.46	30-Jan	30-Jan	400.00	4.0
HOOVER INV. MGMT	033	JCG	S	16,600	28.15	30-Jan	30-Jan	664.00	4.0
RBC CAPITAL MARKETS	040	JCG	B	10,000	28.29	30-Jan	30-Jan	400.00	4.0
REINHART & MAHONEY	031	JNJ	S	235	60.87	n/a	22-Apr	11.75	5.0
REINHART & MAHONEY	031	JPM	B	260	38.12	n/a	20-Jul	13.00	5.0
REINHART & MAHONEY	031	JPM	S	850	38.13	n/a	20-Jul	42.50	5.0
THE BOSTON COMPANY	039	KCP	B	27,000	7.00	n/a	28-Sep	1,080.00	4.0
f ROBERT M DANIELS	FID	KEG	S	425	6.60	n/a	23-Jul	0.00	0.0
f ROBERT M DANIELS	FID	KEG	S	80	6.70	n/a	23-Jul	0.00	0.0
f ROBERT M DANIELS	FID	KEY	S	155	5.60	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LEN	S	67,000	11.85	n/a	n/a	2,680.00	4.0
B BUCK CAPITAL MGMT		LPX	S	50,000	4.04	n/a	20-Jul	non-brg	3.0
B BUCK CAPITAL MGMT		LPX	S	97,200	4.06	n/a	20-Jul	non-brg	1.0
FIRST NEW YORK LEDDY	035	LVL	S	10,000	1.68	n/a	4-Jan	500.00	5.0
B BUCK CAPITAL MGMT		LVS	B	18,525	11.39	n/a	14-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		LVS	S	90,000	11.22	n/a	14-Jul	non-brg	4.0
TROUBH PART	067	MGM	S	50,000	7.70	n/a	8-Jul	2,500.00	5.0
STRONG/MARK GRONET	030	MMR	B	49,550	6.28	n/a	n/a	1,486.50	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MNE</b>	<b>B</b>	<b>1999</b>	<b>11.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MPEL</b>	<b>S</b>	<b>50,000</b>	<b>5.42</b>	<b>n/a</b>	<b>14-Jul</b>	<b>non-brg</b>	<b>3.0</b>
CIRCLE T/SES PART	035	MPEL	B	25,000	5.44	n/a	14-Jul	1,000.00	4.0
NEUBERGER BERMAN	036	MRK	B	25,000	30.66	n/a	n/a	1,125.00	4.5
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>MSFT</b>	<b>B</b>	<b>1000</b>	<b>22.99</b>	<b>n/a</b>	<b>3-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>MSFT</b>	<b>B</b>	<b>2000</b>	<b>23.19</b>	<b>n/a</b>	<b>3-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>354,300</b>	<b>139.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>14.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>M</b>	<b>S</b>	<b>300</b>	<b>13.23</b>	<b>n/a</b>	<b>10-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>M</b>	<b>S</b>	<b>800</b>	<b>13.18</b>	<b>n/a</b>	<b>10-Jul</b>	<b>0.00</b>	<b>0.0</b>
KACELA CAPITAL	034	M	S	35,000	13.23	n/a	10-Jul	1,400.00	4.0
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>NDN</b>	<b>S</b>	<b>2000</b>	<b>13.98</b>	<b>n/a</b>	<b>10-Jul</b>	<b>0.00</b>	<b>0.0</b>
APEX CAPITAL MGMT.	091	NVDA	S	200,000	12.82	n/a	n/a	8,000.00	4.0
DIAMONDBACK CAP MGMT	232	NWL	B	25,000	12.32	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>NWY</b>	<b>B</b>	<b>20,000</b>	<b>3.51</b>	<b>30-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>2.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>PBCT</b>	<b>B</b>	<b>2000</b>	<b>15.80</b>	<b>n/a</b>	<b>17-Jul</b>	<b>0.00</b>	<b>0.0</b>
REINHART & MAHONEY	031	PEP	S	60	56.15	n/a	n/a	1.80	3.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>PM</b>	<b>S</b>	<b>1000</b>	<b>46.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	PNK	B	30,000	11.05	n/a	n/a	1,200.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	QLNLW	S	10	2.55	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	ROST	SS	10,000	43.87	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		RYL	S	30,000	20.60	n/a	n/a	non-brg	4.0
f JOHN CAREY MAGUIRE	FID	SDS	B	200	48.18	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	S	30,000	13.73	n/a	13-Mar	1,200.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	S	1500	13.61	n/a	13-Mar	0.00	0.0
WAGONHOUND/NIC	048	SLM	S	93,308	9.08	23-Apr	22-Jul	2,799.24	3.0
RK CAPTIAL MGMT	045	SMBL	SS	44,400	6.28	n/a	n/a	1,776.00	4.0
SUN AMERICA ASSET MGMT	030	SYMC	B	10,000	16.99	n/a	3-Apr	400.00	4.0
B BUCK CAPITAL MGMT		TEX	S	10,000	14.58	n/a	24-Jul	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	TGT	S	100	42.22	10-Jul	22-Jul	0.00	0.0
BALYASNY ASSET MGMT	044	TIF	SS	25,000	28.86	30-Jan	30-Jan	1,250.00	5.0
B BUCK CAPITAL MGMT		TIN	S	20,000	15.72	23-Jul	23-Jul	non-brg	4.0
f IAN L MILLER	FID	TIP	B	5	100.35	n/a	n/a	0.00	0.0
f MARK MEGALLI	FID	TUP	S	1000	33.90	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET/BLACKROCK	214	URBN	B	27,972	21.73	30-Jan	30-Jan	1,258.74	4.5
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VNOIK</b>	<b>B</b>	<b>10</b>	<b>1.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VNOLL</b>	<b>S</b>	<b>30</b>	<b>1.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>VZ</b>	<b>B</b>	<b>1000</b>	<b>30.58</b>	<b>n/a</b>	<b>4-Jan</b>	<b>0.00</b>	<b>0.0</b>
SUFFOLK CAPITAL MGMT	040	VZ	B	116,500	30.76	n/a	4-Jan	4,660.00	4.0
BALYASNY ASSET MGMT	044	WDR	C	32,700	26.63	5-Jun	21-Jul	1,635.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WFT</b>	<b>B</b>	<b>10,000</b>	<b>18.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAPITAL	038	WLP	B	14,000	52.39	n/a	n/a	560.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WYNN</b>	<b>C</b>	<b>50,000</b>	<b>49.48</b>	<b>n/a</b>	<b>14-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>SS</b>	<b>1,659,000</b>	<b>29.87</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
JMP ASSET MGMT	210	ZAGG	S	3,000	6.30	n/a	n/a	120.00	4.0
<b>TOTAL (Trade Date):</b>								<b>88,196.48</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/21	SCOPUS FUND	041	PKG	S	39,000	20.09	21-Jul	21-Jul	1950.00 5.0
AO,CXL	07/21	SCOPUS FUND	041	PKG	S	39,000	20.09	21-Jul	21-Jul	1950.00 5.0
AO,CRT	07/24	JMP ASSET MGMT	210	ZAGG	S	6,000	7.31	n/a	n/a	240.00 4.0
AO,CXL	07/24	JMP ASSET MGMT	210	ZAGG	S	6,000	7.31	n/a	n/a	300.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-60.00</b>

TOTAL (Trade Date) :	88,196.48
TOTAL (AO, CXL, CRT) :	-60.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>88,136.48</b>
--------------------------------	------------------

MRI Securities with Trade Activity on 27-Jul.  
**IVZ**

MRI Securities without Trade Activity on 27-Jul.  
**AGN, GLW, IR, KMB**

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 16%  
YTD = 20%





Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)