



Daily Compliance Activity Report

Trade Date: 12/12/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	S	5,000	540.16	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ACAT	B	16,750	37.73	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ACAT	B	16,750	37.73	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	S	100,000	20.86	n/a	2-Dec	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	S	80,000	20.79	n/a	2-Dec	non-brg	4.0
CENTURION PARTNERS	041	AIG	S	15,000	35.14	n/a	n/a	600.00	4.0
f EDWARD W WHEELER	FID	ALB	B	1000	59.74	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	AOS	SS	3,250	62.26	n/a	18-Oct	130.00	4.0
FRONTIER	029	APH	B	46,148	62.84	n/a	n/a	1,845.92	0.0
f DAVID GEORGE BUCK	FID	ARIA	B	25	1.65	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ASNA	S	50,000	18.38	n/a	n/a	non-brg	4.0
DC CAPITAL	044	ATI	S	200,000	28.10	n/a	11-Dec	8,000.00	0.0
f JONATHAN RICHARD HAR	FID	AXL	B	200	10.56	n/a	2-Nov	0.00	0.0
f KAREN F LEDDY	FID	AXL	B	1000	10.61	n/a	2-Nov	0.00	0.0
UBS OCONNOR	031	BBT	S	35,000	29.06	n/a	n/a	1,050.00	0.0
J. GOLDMAN	028	BKW	SS	30,000	17.41	n/a	n/a	1,200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LOCUST WOOD CAPITAL MGMT	044	BKW	S	38,700	17.57	n/a	n/a	1,548.00	4.0
PARAMETER CAPITAL MGMT LLC	044	BK	SS	30,000	24.86	n/a	5-Dec	1,200.00	4.0
LATEEF MGMT	035	BLL	S	200	44.58	n/a	n/a	36.00	18.0
TIDE POINT CAPITAL	034	CAT	B	6,000	88.52	n/a	11-Jul	180.00	0.0
MACELLUM CAPITAL	086	CHS	B	61,500	18.10	n/a	5-Feb	1,845.00	3.0
OHIO PERS	047	CIEN	S	29,740	15.40	n/a	n/a	892.20	0.0
PARAMETER CAPITAL MGMT LLC	044	CME	SS	10,000	53.14	n/a	28-Apr	400.00	4.0
STANDARD PACIFIC CAPITAL	084	CMI	B	43,600	106.70	n/a	n/a	2,180.00	5.0
FARALLON CAP MGMT	040	COL	B	13,400	57.44	n/a	11-Dec	536.00	4.0
TALPION LLC	040	CRI	S	6,000	52.53	n/a	n/a	240.00	0.0
B BUCK CAPITAL MGMT		CROX	B	20,000	14.11	n/a	n/a	non-brg	4.0
TRUSTCO/SUNTRUST (CEREDEX)	273	CSCO	S	87,400	19.85	n/a	7-Dec	2,796.80	0.0
PARKWEST	084	CSTR	S	40,000	53.40	n/a	n/a	1,600.00	4.0
PARAMETER CAPITAL MGMT LLC	044	DFS	B	30,000	41.03	n/a	12-Dec	1,200.00	4.0
B BUCK CAPITAL MGMT		DG	B	50,000	43.50	12-Dec	12-Dec	non-brg	4.0
B BUCK CAPITAL MGMT		DG	S	208,689	42.73	12-Dec	12-Dec	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FARALLON CAP MGMT	040	DG	B	15,500	43.49	12-Dec	12-Dec	620.00	4.0
TALPION LLC	040	DG	B	200	42.50	12-Dec	12-Dec	8.00	0.0
⚡ BUCK CAPITAL MGMT		DKS	S	50,000	47.43	n/a	13-Nov	non-brg	4.0
f EDWARD W WHEELER	FID	DXPE	S	100	3.20	n/a	n/a	0.00	0.0
OHIO PERS	047	EFX	B	8,800	53.98	n/a	n/a	264.00	0.0
⚡ BUCK CAPITAL MGMT		ENR	SS	30,000	82.36	n/a	13-Nov	non-brg	4.0
f JONATHAN RICHARD HAR	FID	EXPR	B	50	0.60	n/a	n/a	0.00	0.0
LOMAS CAPITAL	040	EXP	B	45,000	55.29	n/a	n/a	1,350.00	3.0
f ANGELOS EFSTATHIOU	FID	FAGIX	B	1577	9.51	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	FCX	B	5000	32.51	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	FCX	B	5000	32.66	n/a	n/a	0.00	0.0
PLATTE RIVER CAPITAL	240	FCX	B	5,050	32.15	n/a	n/a	202.00	0.0
⚡ BUCK CAPITAL MGMT		FINL	S	25,000	18.79	n/a	28-Sep	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FINL	S	25,000	18.79	n/a	28-Sep	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FINL	S	25,000	18.84	n/a	28-Sep	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FINL	S	25,000	18.84	n/a	28-Sep	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FINL	S	25,000	18.86	n/a	28-Sep	non-brg	2.5
⚡ BUCK CAPITAL MGMT		FINL	S	25,000	18.86	n/a	28-Sep	non-brg	2.5
⚡ BUCK CAPITAL MGMT		FINL	S	25,000	19.07	n/a	28-Sep	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FINL	S	25,000	19.07	n/a	28-Sep	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FL	S	70,000	34.07	n/a	16-Nov	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f NICOLAS EVAN PAKLER	FID	FNP	B	700	12.87	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOR	S	2,656	15.63	n/a	8-Nov	non-brg	1.0
PROSPECTOR PARTNERS LLC	036	FOR	B	10,000	15.73	n/a	8-Nov	400.00	4.0
B BUCK CAPITAL MGMT		FOSL	B	15,000	91.40	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		FOSL	B	30,000	91.07	n/a	n/a	non-brg	4.0
STANDARD PACIFIC CAPITAL	084	F	S	177,902	11.53	n/a	4-Dec	8,895.10	5.0
RAINIER INV MGMT	035	GCO	S	30,310	52.58	n/a	n/a	1,212.40	0.0
CENTURION PARTNERS	041	GGP	S	13,670	19.83	n/a	n/a	546.80	4.0
B BUCK CAPITAL MGMT		GIII	S	100	35.45	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIII	S	200	35.44	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	S	50,000	35.98	n/a	30-Nov	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	S	50,000	35.98	n/a	30-Nov	non-brg	4.0
BNP PARIBAS SEC	040	GM	B	20,000	25.59	n/a	12-Dec	600.00	3.0
J. GOLDMAN	028	GM	S	85,000	25.73	n/a	12-Dec	3,400.00	4.0
f MANNY I KORMAN	FID	GM	B	3000	25.96	n/a	12-Dec	0.00	0.0
PATRIOT STRATEGY PARTNERS	036	GM	B	15,000	25.69	n/a	12-Dec	600.00	4.0
PHILADELPHIA FINANCIAL	033	GM	B	100,000	25.59	n/a	12-Dec	4,000.00	0.0
TIDE POINT CAPITAL	034	GM	B	15,000	25.68	n/a	12-Dec	450.00	0.0
SYSTEMATIC FINANCIAL MGT	035	GNC	B	275,000	33.77	n/a	n/a	8,250.00	0.0
B BUCK CAPITAL MGMT		HBI	S	50,000	35.58	n/a	30-Nov	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	HCA	B	1500	32.88	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	HCA	B	200	32.91	n/a	n/a	0.00	0.0
PARAMETER CAPITAL MGMT LLC044		HIG	B	50,000	22.21	n/a	n/a	2,000.00	4.0
B BUCK CAPITAL MGMT		HLF	B	40,000	46.42	n/a	n/a	non-brg	2.5
TIDE POINT CAPITAL	034	HON	S	12,000	61.71	12-Dec	12-Dec	360.00	0.0
PROSPECTOR PARTNERS LLC	036	HSP	B	10,000	31.99	n/a	10-Dec	400.00	4.0
BRANDWINE	028	HTZ	B	60,450	16.03	n/a	n/a	2,418.00	0.0
DELTEC ASSET MGMT.	040	IP	B	10,000	37.59	n/a	11-Dec	500.00	5.0
HEIGHTS CAPITAL	251	IR	B	28,174	47.80	n/a	11-Dec	1,126.96	0.0
HEIGHTS CAPITAL	251	ITT	SS	67,952	22.59	n/a	n/a	2,718.08	0.0
B BUCK CAPITAL MGMT		IWF	C	150,000	66.19	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		IWF	C	75,000	66.19	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		IWO	C	150,000	94.76	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		IWO	C	50,000	94.76	n/a	n/a	non-brg	2.0
PARAMETER CAPITAL MGMT LLC044		JPM	S	60,000	43.25	n/a	4-Dec	2,400.00	4.0
B BUCK CAPITAL MGMT		KNX	B	35,000	14.20	n/a	n/a	non-brg	4.0
CENTURION PARTNERS	041	LUK	S	10,000	23.47	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RIDGECREST INV MGMT LLC	028	LULU	SS	7,500	74.28	n/a	6-Dec	300.00	4.0
OHIO PERS	047	MTB	S	4,835	98.50	n/a	n/a	145.05	0.0
B BUCK CAPITAL MGMT		M	S	50,000	38.52	n/a	30-Nov	non-brg	4.0
PARAMETER CAPITAL MGMT LLC044		NDAQ	B	20,000	24.02	n/a	n/a	800.00	4.0
WAGONHOUND/NICOLAS	033	NXPI	B	5,981	25.21	n/a	n/a	239.24	0.0
PARAMETER CAPITAL MGMT LLC044		NYX	SS	20,000	23.36	n/a	n/a	800.00	4.0
BESSEMER INVESTMENT	085	ORCL	S	4,000	31.86	n/a	n/a	160.00	4.0
B BUCK CAPITAL MGMT		ORLY	C	36,091	93.89	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ORLY	C	38,209	93.00	n/a	n/a	non-brg	4.0
MACELLUM CAPITAL	086	ORLY	B	23,870	92.79	n/a	n/a	716.10	3.0
TALPION LLC	040	PBY	B	8,000	9.74	n/a	n/a	240.00	0.0
B BUCK CAPITAL MGMT		PLCE	S	30,000	45.56	n/a	27-Nov	non-brg	4.0
B BUCK CAPITAL MGMT		PLCE	S	60,424	45.56	n/a	27-Nov	non-brg	4.0
PARAMETER CAPITAL MGMT LLC044		PNC	B	15,000	56.92	n/a	n/a	600.00	4.0
WOODWAY FINANCIAL	031	PRGO	B	23,877	105.17	n/a	10-Dec	1,432.62	6.0
PARAMETER CAPITAL MGMT LLC044		PRU	B	10,000	53.13	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		PVH	S	10,000	109.15	n/a	29-Nov	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PVH	S	22,500	109.57	n/a	29-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	S	25,000	151.72	n/a	5-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	S	25,000	151.72	n/a	5-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		SCSS	S	12,000	24.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SCSS	S	12,491	24.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SCSS	S	75,000	25.00	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SCSS	S	75,000	25.01	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHOO	S	20,000	42.34	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SIG	S	20,000	55.07	n/a	n/a	non-brg	4.0
PLATTE RIVER CAPITAL	240	SPLK	B	8,000	27.56	n/a	n/a	320.00	0.0
f DAVID GEORGE BUCK	FID	STWD	B	1000	22.93	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	STZ	S	300	35.72	n/a	n/a	0.00	0.0
PARAMETER CAPITAL MGMT LLC044		TCB	S	10,000	11.77	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		TEVA	B	25,100	40.02	12-Dec	12-Dec	non-brg	4.0
JET CAPITAL/CHRIS	041	TIE	S	100,000	16.42	n/a	n/a	3,000.00	0.0
ß BUCK CAPITAL MGMT		TJX	S	50,070	42.40	n/a	30-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		ULTA	S	20,000	96.03	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	20,000	38.45	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CENTURION PARTNERS	041	URI	B	10,000	43.65	n/a	17-Apr	400.00	4.0
PARAMETER CAPITAL MGMT LLC044		USB	SS	60,000	32.03	n/a	n/a	2,400.00	4.0
B BUCK CAPITAL MGMT		VCI	C	18,454	27.35	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		VCI	C	50,000	27.35	n/a	n/a	non-brg	4.0
CENTURION PARTNERS	041	VGR	S	10,000	15.35	n/a	n/a	400.00	4.0
f MANNY I KORMAN	FID	WFC	B	2000	33.76	n/a	4-Dec	0.00	0.0
TUDOR INVESTORS	028	WFM	B	15,000	90.48	n/a	n/a	450.00	3.0
NOMURA/ANGEL LANE PRINCIPAL040		WHR	B	10,000	100.53	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		WWW	SS	30,000	41.20	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		XLY	C	150,000	47.41	n/a	n/a	non-brg	2.0
CENTURION PARTNERS	041	XOM	B	5,000	89.78	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		XRT	C	150,000	62.59	n/a	n/a	non-brg	2.0
TOTAL (Trade Date) :								84,304.27	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/10	PATTEN & PATTEN	025	QQQ	B	800	65.12	n/a	n/a	40.00 5.0
AO,CXL	12/10	PATTEN & PATTEN	025	QQQ	B	800	65.12	n/a	n/a	0.00 0.0

TOTAL (AO, CXL, CRT) :	40.00
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TOTAL (Trade Date) :	84,304.27
TOTAL (AO, CXL, CRT) :	40.00

TOTAL (RUN DATE) / NFS:	84,344.27
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 12-Dec.

DG, HON, TEVA

MRI Securities without Trade Activity on 12-Dec.

ASH

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)