



## Daily Compliance Activity Report

Trade Date: 06/10/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	AA	B	24,000	43.00	n/a	n/a	1,200.00	5.0
COUGAR TRADING	044	ABG	SS	5,000	14.50	10-Jun	10-Jun	250.00	5.0
FIRST NY SEC/CHRIS	041	ADM	B	10,000	37.19	29-Apr	28-May	500.00	5.0
COUGAR TRADING	044	ANF	S	5,000	68.82	10-Apr	6-Jun	250.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>ANN</b>	<b>SS</b>	<b>500</b>	<b>24.46</b>	<b>14-Mar</b>	<b>22-May</b>	<b>0.00</b>	<b>0.0</b>
ROTHSCHILD ASSET	040	ANR	S	11,400	89.55	n/a	n/a	513.00	4.5
<b>SINCLAIR</b>	<b>031</b>	<b>APAFY</b>	<b>B</b>	<b>10</b>	<b>3.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SUTERA</b>	<b>160</b>	<b>ASTI</b>	<b>B</b>	<b>1,000</b>	<b>10.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	237	ATHR	B	10,025	31.72	n/a	n/a	501.25	5.0
RAMIUS CAP	040	BCO	S	25,000	67.88	n/a	n/a	1,250.00	5.0
SANDLER O'NEILL ASSET	031	BEN	B	10,000	100.17	24-Mar	9-Jun	500.00	5.0
WILLOW CREEK	033	BGCP	SS	10,000	8.09	n/a	n/a	400.00	4.0
TRIVIUM CAP MGMT	251	BHE	C	35,000	17.24	n/a	n/a	1,400.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>BHQSZ</b>	<b>B</b>	<b>100</b>	<b>3.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD POINT MGMT	044	BIG	SS	200,000	30.56	29-May	5-Jun	8,000.00	4.0
GRUBER MACBAINE	033	BJ	B	40,000	39.81	n/a	27-Jul	1,600.00	4.0
COUGAR TRADING	044	BKC	B	5,000	28.55	1-May	2-May	250.00	5.0
HIGHBRIDGE CAPITAL	038	BNI	B	27,000	111.36	n/a	n/a	1,350.00	5.0
TRELLUS PARTNERS LP	028	BWA	SS	30,000	47.45	10-Jun	10-Jun	1,500.00	5.0
MFP INVESTORS	032	BYD	B	1,400	14.75	30-Apr	30-Apr	70.00	5.0
NEWLAND CAPITAL MGT	067	CAT	SS	10,000	80.35	n/a	23-May	400.00	4.0
SEMINOLE CAP	036	CAT	S	180,000	80.45	n/a	23-May	9,000.00	5.0
J L F ASSET MGMT	039	CBRL	B	9,700	29.07	n/a	n/a	388.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>CHCG</b>	<b>S</b>	<b>2,000</b>	<b>1.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>WHEELER</b>	<b>008</b>	<b>CHP</b>	<b>S</b>	<b>15,000</b>	<b>8.64</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WILLOW CREEK	033	COF	SS	3,000	44.28	n/a	n/a	120.00	4.0
SIGMA CAPITAL ASSOCIATES	237	CSCO	B	25,000	26.26	n/a	22-Mar	1,250.00	5.0
NEWLAND CAPITAL MGT	067	DE	B	10,000	81.31	n/a	23-May	400.00	4.0
BARON ASSET MGMT*	012	DKS	B	17,500	22.64	n/a	23-May	875.00	5.0
BULL PATH CAPITAL	044	DPZ	S	25,000	13.09	n/a	24-Apr	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GLG PARTNERS	034	DRI	S	25,000	32.19	n/a	15-Apr	1,250.00	5.0
WILLOW CREEK	033	EMKR	SS	5,000	7.14	n/a	n/a	200.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>EPG</b>	<b>B</b>	<b>1,108</b>	<b>5.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MILLER</b>	<b>025</b>	<b>EWZ</b>	<b>B</b>	<b>100</b>	<b>90.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NOMURA CAPITAL	045	GETI	S	165	30.27	n/a	n/a	8.25	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>20,000</b>	<b>27.90</b>	<b>30-Apr</b>	<b>28-May</b>	<b>800.00</b>	<b>4.0</b>
COUGAR TRADING	044	GIL	S	15,000	27.89	30-Apr	28-May	750.00	5.0
NOMURA CAPITAL	045	GLBC	S	2,581	19.10	n/a	n/a	129.05	5.0
GOLD SACHS SPECIAL SIT	030	GNTX	B	150,000	15.90	7-Apr	9-Jun	7,500.00	5.0
COUGAR TRADING	044	GPI	SS	5,000	22.00	10-Jun	10-Jun	250.00	5.0
SCOPUS FUND	041	GPI	B	21,000	23.23	10-Jun	10-Jun	1,050.00	5.0
ADAGE CAPITAL MGMT	030	GPS	B	250,000	17.50	n/a	6-Jun	10,000.00	4.0
COUGAR TRADING	044	GPS	B	20,000	16.94	n/a	6-Jun	1,000.00	5.0
WILLOW CREEK	033	HIL	S	14,832	16.02	n/a	n/a	593.28	4.0
CANTILLON CAPITAL	040	HNZ	B	122,100	50.26	n/a	n/a	6,105.00	5.0
WILLOW CREEK	033	IVAC	SS	6,000	12.00	n/a	n/a	240.00	4.0
ARIENCE CAPITAL	036	IVZ	S	239,800	26.97	10-Apr	9-Jun	11,990.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	IVZ	B	25,000	26.75	10-Apr	9-Jun	1,250.00	5.0
COUGAR TRADING	044	JCG	B	10,000	34.18	n/a	30-May	500.00	5.0
J L F ASSET MGMT	039	JCP	B	17,300	38.07	n/a	6-Jun	692.00	4.0
SIGMA CAPITAL ASSOCIATES	237	JDSU	B	30,000	12.05	n/a	n/a	1,500.00	5.0
WILLOW CREEK	033	KMX	SS	5,000	18.20	n/a	10-Jan	200.00	4.0
GLG PARTNERS	034	KSS	S	25,000	43.90	n/a	6-Jun	1,250.00	5.0
EPOCH INV MGMT	030	KVA	B	20,900	20.92	n/a	n/a	836.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>SS</b>	<b>10,000</b>	<b>16.10</b>	<b>n/a</b>	<b>14-May</b>	<b>400.00</b>	<b>4.0</b>
CAMBIAR CAP	046	LTD	B	22,000	17.85	n/a	6-Jun	880.00	4.0
<b>BARR</b>	<b>096</b>	<b>MER</b>	<b>SS</b>	<b>400</b>	<b>37.94</b>	<b>18-Jan</b>	<b>22-May</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	MHK	B	10,000	72.30	n/a	n/a	400.00	4.0
JP MORGAN VENTURES	046	MNT	S	8,000	29.72	n/a	n/a	400.00	5.0
<b>MAGUIRE</b>	<b>039</b>	<b>MSJZ</b>	<b>B</b>	<b>25</b>	<b>0.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SINCLAIR</b>	<b>031</b>	<b>MWATB</b>	<b>B</b>	<b>40</b>	<b>1.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WOOSTER CAPITAL	035	M	B	100,000	21.44	7-Feb	2-Jun	5,000.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>NBRLI</b>	<b>B</b>	<b>10</b>	<b>4.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	NOK	B	25,000	26.04	n/a	n/a	1,250.00	5.0
PAW PARTNERS	041	NVDA	B	29,500	22.66	n/a	n/a	1,475.00	5.0
GREEN ARROW CAPITAL	034	NYB	SS	50,000	19.93	n/a	n/a	2,500.00	5.0
NORTHPOINT	030	OMG	S	106,900	40.10	n/a	n/a	4,276.00	4.0
COUGAR TRADING	044	PAG	SS	5,000	17.37	10-Jun	10-Jun	250.00	5.0
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>PAG</b>	<b>SS</b>	<b>4,500</b>	<b>17.90</b>	<b>10-Jun</b>	<b>10-Jun</b>	<b>270.00</b>	<b>6.0</b>
HOLDEN CAPITAL MGMT	035	PAG	SS	30,000	17.71	10-Jun	10-Jun	1,500.00	5.0
SCOPUS FUND	041	PAG	B	34,000	17.80	10-Jun	10-Jun	1,700.00	5.0
<b>KAICHER</b>	<b>064</b>	<b>PDE</b>	<b>S</b>	<b>1,000</b>	<b>44.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WILLOW CREEK	033	PIR	SS	8,000	5.85	n/a	n/a	320.00	4.0
IRIDIAN ASSET MGMT.	036	PKG	B	67,660	24.25	7-Apr	22-Apr	3,383.00	5.0
SLS CAPITAL LLC	224	PKG	S	110,000	24.30	7-Apr	22-Apr	4,400.00	4.0
COUGAR TRADING	044	PSUN	B	2,200	9.66	n/a	6-Jun	110.00	5.0
PORTER-ORLIN-FELDMAN	044	QCOM	S	97,350	47.74	n/a	n/a	4,867.50	5.0
COUGAR TRADING	044	RDEN	B	10,000	15.01	10-Jun	10-Jun	500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>RF</b>	<b>S</b>	<b>2,000</b>	<b>15.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LEVIN CAPITAL STRATEGIES	012	RL	B	15,000	66.83	7-Feb	29-May	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OPPENHEIMER MGMT.	036	RL	S	89,680	66.64	7-Feb	29-May	3,587.20	4.0
WILLOW CREEK	033	SEE	SS	5,000	22.31	n/a	n/a	200.00	4.0
JMP ASSET MGMT	210	SFLY	SS	4,000	14.61	n/a	n/a	200.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>SHO</b>	<b>B</b>	<b>198</b>	<b>18.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>SKF</b>	<b>B</b>	<b>130</b>	<b>123.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LAGRANGE CAP ADV	048	SKX	B	20,000	21.15	n/a	13-Mar	1,000.00	5.0
CASTLEROCK	040	SMN	B	236,200	28.03	n/a	n/a	9,448.00	4.0
<b>BUCK</b>	<b>015</b>	<b>STI</b>	<b>C</b>	<b>1,000</b>	<b>46.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	TIF	SS	106,900	46.19	n/a	2-Jun	4,276.00	4.0
ASCEND PARTNERS	033	TJX	B	99,200	32.05	n/a	6-Jun	4,960.00	5.0
WILLOW CREEK	033	TLT	SS	3,500	89.90	n/a	n/a	140.00	4.0
SYSTEMATIC FINANCIAL MGT	035	TRV	B	125,000	48.13	n/a	n/a	4,687.50	3.8
STADIA CAPITAL	035	UA	B	25,000	34.10	n/a	n/a	1,000.00	4.0
CATAPULT	040	USB	B	5,000	31.20	n/a	n/a	250.00	5.0
WILLOW CREEK	033	USO	SS	3,000	107.10	n/a	n/a	120.00	4.0
MERRILL ASSET	032	VQ	S	21,600	22.19	n/a	n/a	972.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FEDERATED INVESTOR	029	WBC	S	63,300	53.00	30-Apr	30-Apr	3,481.51	5.5
J L F ASSET MGMT	039	WHR	B	18,000	69.24	n/a	n/a	720.00	4.0
<b>BARR</b>	<b>096</b>	<b>WM</b>	<b>SS</b>	<b>3,500</b>	<b>6.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WRC</b>	<b>B</b>	<b>10,000</b>	<b>47.43</b>	<b>13-May</b>	<b>13-May</b>	<b>400.00</b>	<b>4.0</b>
SCOPUS FUND	041	WY	B	5,000	56.05	7-Apr	27-May	250.00	5.0
<b>CATTANI</b>	<b>041</b>	<b>XLF</b>	<b>B</b>	<b>500</b>	<b>23.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date) :</b>								<b>151,484.54</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/09	ROTHSCHILD ASSET (ROTH466)	040	ANR	S	2,610	89.22	n/a	n/a	117.45 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH452)	040	ANR	S	390	89.22	n/a	n/a	17.55 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH000300)	040	ANR	S	790	89.22	n/a	n/a	35.55 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH347)	040	ANR	S	30	89.22	n/a	n/a	1.35 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH346)	040	ANR	S	160	89.22	n/a	n/a	7.20 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH345)	040	ANR	S	330	89.22	n/a	n/a	14.85 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH3021)	040	ANR	S	1,880	89.22	n/a	n/a	84.60 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH369)	040	ANR	S	590	89.22	n/a	n/a	26.55 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH322)	040	ANR	S	990	89.22	n/a	n/a	44.55 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH302)	040	ANR	S	1,390	89.22	n/a	n/a	62.55 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH329)	040	ANR	S	450	89.22	n/a	n/a	20.25 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH309)	040	ANR	S	3,440	89.22	n/a	n/a	154.80 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH306)	040	ANR	S	260	89.22	n/a	n/a	11.70 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH396)	040	ANR	S	3,530	89.22	n/a	n/a	158.85 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH368)	040	ANR	S	140	89.22	n/a	n/a	6.30 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH367)	040	ANR	S	500	89.22	n/a	n/a	22.50 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH304)	040	ANR	S	1,170	89.22	n/a	n/a	52.65 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH500140)	040	ANR	S	100	89.22	n/a	n/a	4.50 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH500130)	040	ANR	S	380	89.22	n/a	n/a	17.10 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH355)	040	ANR	S	500	89.22	n/a	n/a	22.50 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH500110)	040	ANR	S	580	89.22	n/a	n/a	26.10 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH500100)	040	ANR	S	200	89.22	n/a	n/a	9.00 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH344)	040	ANR	S	160	89.22	n/a	n/a	7.20 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH000331)	040	ANR	S	490	89.22	n/a	n/a	22.05 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH476)	040	ANR	S	330	89.22	n/a	n/a	14.85 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH359)	040	ANR	S	8,560	89.22	n/a	n/a	385.20 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH362)	040	ANR	S	1,050	89.22	n/a	n/a	47.25 4.5



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/09	ROTHSCHILD ASSET (ROTH356)	040	ANR	S	450	89.22	n/a	n/a	20.25 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH351)	040	ANR	S	60	89.22	n/a	n/a	2.70 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH3631)	040	ANR	S	530	89.22	n/a	n/a	23.85 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH332)	040	ANR	S	190	89.22	n/a	n/a	8.55 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH315)	040	ANR	S	440	89.22	n/a	n/a	19.80 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH310)	040	ANR	S	350	89.22	n/a	n/a	15.75 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH467)	040	ANR	S	200	89.22	n/a	n/a	9.00 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH464)	040	ANR	S	680	89.22	n/a	n/a	30.60 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH469)	040	ANR	S	6,620	89.22	n/a	n/a	297.90 4.5
AO,	06/09	ROTHSCHILD ASSET (ROTH468)	040	ANR	S	1,810	89.22	n/a	n/a	81.45 4.5
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMEDOCOR)	028	CBG	S	2,000	20.49	n/a	n/a	100.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMLWM000)	028	CBG	S	100	20.49	n/a	n/a	5.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSUMIT2)	028	CBG	S	600	20.49	n/a	n/a	30.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMLWM000)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSYMPON)	028	CBG	S	1,000	20.49	n/a	n/a	50.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMNORMAN)	028	CBG	S	300	20.49	n/a	n/a	15.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSYSCO)	028	CBG	S	17,600	20.49	n/a	n/a	880.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMARS1)	028	CBG	S	9,300	20.49	n/a	n/a	465.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMTEAMSRS)	028	CBG	S	4,300	20.49	n/a	n/a	215.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMARRIO)	028	CBG	S	5,900	20.49	n/a	n/a	295.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMTEAM14)	028	CBG	S	4,700	20.49	n/a	n/a	235.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMNWU)	028	CBG	S	8,100	20.49	n/a	n/a	405.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSCHAEF)	000	CBG	S	1,000	20.49	n/a	n/a	50.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMTXHOSP)	028	CBG	S	6,870	20.49	n/a	n/a	343.50 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMNYSE)	028	CBG	S	2,800	20.49	n/a	n/a	140.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWALACE)	028	CBG	S	505	20.49	n/a	n/a	25.25 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPRESBY)	028	CBG	S	1,300	20.49	n/a	n/a	65.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWARE16)	028	CBG	S	1,100	20.49	n/a	n/a	55.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMREMY2)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWCHEN)	028	CBG	S	300	20.49	n/a	n/a	15.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMETHOP)	028	CBG	S	3,400	20.49	n/a	n/a	170.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWCHOP)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMETHPE)	028	CBG	S	2,200	20.49	n/a	n/a	110.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWCHPE)	028	CBG	S	300	20.49	n/a	n/a	15.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMOLSON)	028	CBG	S	5,500	20.49	n/a	n/a	275.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWOAGG)	028	CBG	S	1,000	20.49	n/a	n/a	50.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMNATION)	028	CBG	S	2,100	20.49	n/a	n/a	105.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWOALT)	028	CBG	S	900	20.49	n/a	n/a	45.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMOCE)	028	CBG	S	7,600	20.49	n/a	n/a	380.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWOCON)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMNATION)	028	CBG	S	8,915	20.49	n/a	n/a	445.75 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWCMOD)	028	CBG	S	800	20.49	n/a	n/a	40.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMOPAL)	028	CBG	S	1,900	20.49	n/a	n/a	95.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMADIA)	028	CBG	S	80,900	20.49	n/a	n/a	4045.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMNYCOMM)	028	CBG	S	500	20.49	n/a	n/a	25.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMCLARIA)	028	CBG	S	10,400	20.49	n/a	n/a	520.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPGERET)	028	CBG	S	16,600	20.49	n/a	n/a	830.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMITW)	028	CBG	S	12,200	20.49	n/a	n/a	610.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMNC0006)	028	CBG	S	100	20.49	n/a	n/a	5.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMDIOPHX)	028	CBG	S	700	20.49	n/a	n/a	35.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPOMAR)	028	CBG	S	4,200	20.49	n/a	n/a	210.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMADONN)	028	CBG	S	645	20.49	n/a	n/a	32.25 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMNICHOL)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMFRANOP)	028	CBG	S	2,200	20.49	n/a	n/a	110.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPACCAR)	028	CBG	S	2,700	20.49	n/a	n/a	135.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMDIOPHX)	028	CBG	S	1,900	20.49	n/a	n/a	95.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMORLAND)	028	CBG	S	1,600	20.49	n/a	n/a	80.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMFRANCI)	028	CBG	S	1,700	20.49	n/a	n/a	85.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPACARP)	028	CBG	S	2,900	20.49	n/a	n/a	145.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMCHRIUS)	028	CBG	S	3,500	20.49	n/a	n/a	175.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMRBS)	028	CBG	S	4,700	20.49	n/a	n/a	235.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMDOMIN)	028	CBG	S	2,135	20.49	n/a	n/a	106.75 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPACCAR)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMWELLBS)	028	CBG	S	580	20.49	n/a	n/a	29.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMADISO)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPGEMED)	028	CBG	S	1,700	20.49	n/a	n/a	85.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMKINEYC)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMCHRIS2)	028	CBG	S	1,300	20.49	n/a	n/a	65.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSCHAEF)	028	CBG	S	1,000	20.49	n/a	n/a	50.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMKINSEY)	028	CBG	S	100	20.49	n/a	n/a	5.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMDIOPHX)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMERID3)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMKLOTH2)	028	CBG	S	100	20.49	n/a	n/a	5.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMERCY)	028	CBG	S	1,900	20.49	n/a	n/a	95.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPOWER2)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMELPASO)	028	CBG	S	1,900	20.49	n/a	n/a	95.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMOSF1)	028	CBG	S	5,280	20.49	n/a	n/a	264.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPOWER3)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMETCPAR)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMOSF2)	028	CBG	S	3,800	20.49	n/a	n/a	190.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSCS)	028	CBG	S	1,700	20.49	n/a	n/a	85.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMGENCOR)	028	CBG	S	5,200	20.49	n/a	n/a	260.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPENNSW)	028	CBG	S	300	20.49	n/a	n/a	15.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPOWER1)	028	CBG	S	75	20.49	n/a	n/a	3.75 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMJBLIND)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPROVCS)	028	CBG	S	900	20.49	n/a	n/a	45.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPOWER4)	028	CBG	S	600	20.49	n/a	n/a	30.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMGOSHAW)	028	CBG	S	700	20.49	n/a	n/a	35.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMURSULI)	028	CBG	S	2,100	20.49	n/a	n/a	105.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSCS1)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMFLOWER)	028	CBG	S	1,100	20.49	n/a	n/a	55.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPOWER5)	028	CBG	S	500	20.49	n/a	n/a	25.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMIBEW26)	028	CBG	S	1,600	20.49	n/a	n/a	80.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMPRIA)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMGLATFE)	028	CBG	S	5,700	20.49	n/a	n/a	285.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMREMY1)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMIBEW15)	028	CBG	S	1,600	20.49	n/a	n/a	80.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMREMY3)	028	CBG	S	600	20.49	n/a	n/a	30.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMIBEW)	028	CBG	S	800	20.49	n/a	n/a	40.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMROYALT)	028	CBG	S	300	20.49	n/a	n/a	15.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMGOLDMA)	028	CBG	S	7,600	20.49	n/a	n/a	380.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMRBSUK)	028	CBG	S	6,800	20.49	n/a	n/a	340.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMHILLCR)	028	CBG	S	300	20.49	n/a	n/a	15.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMRHC2)	028	CBG	S	800	20.49	n/a	n/a	40.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMHITACH)	028	CBG	S	600	20.49	n/a	n/a	30.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMREMY4)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMIOWA)	028	CBG	S	2,700	20.49	n/a	n/a	135.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMRKINSE)	028	CBG	S	100	20.49	n/a	n/a	5.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMLLEGY1)	028	CBG	S	100	20.49	n/a	n/a	5.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMRHC3)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMGENEVA)	028	CBG	S	645	20.49	n/a	n/a	32.25 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSANFRA)	028	CBG	S	300	20.49	n/a	n/a	15.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMLEBEN)	028	CBG	S	1,900	20.49	n/a	n/a	95.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMRHC1)	028	CBG	S	2,700	20.49	n/a	n/a	135.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMLWM004)	028	CBG	S	515	20.49	n/a	n/a	25.75 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSCS2)	028	CBG	S	500	20.49	n/a	n/a	25.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMAPRIT)	028	CBG	S	29,600	20.49	n/a	n/a	1480.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSHRVPT)	028	CBG	S	400	20.49	n/a	n/a	20.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMLEBEN2)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSIBLEY)	028	CBG	S	5,800	20.49	n/a	n/a	290.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMKIMBEL)	028	CBG	S	1,500	20.49	n/a	n/a	75.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSMTSCH)	028	CBG	S	100	20.49	n/a	n/a	5.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMKLOTH1)	028	CBG	S	195	20.49	n/a	n/a	9.75 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSTANLY)	028	CBG	S	1,100	20.49	n/a	n/a	55.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMARIOT)	028	CBG	S	8,300	20.49	n/a	n/a	415.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSTPAL1)	028	CBG	S	300	20.49	n/a	n/a	15.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMIAHN)	028	CBG	S	3,800	20.49	n/a	n/a	190.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSTPAL2)	028	CBG	S	700	20.49	n/a	n/a	35.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMIMI)	028	CBG	S	8,300	20.49	n/a	n/a	415.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSTPAL3)	028	CBG	S	1,000	20.49	n/a	n/a	50.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMKINSEY)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSUMIT)	028	CBG	S	900	20.49	n/a	n/a	45.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMLAUDU)	028	CBG	S	2,900	20.49	n/a	n/a	145.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMSUMIT1)	028	CBG	S	455	20.49	n/a	n/a	22.75 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMKINSEY)	028	CBG	S	300	20.49	n/a	n/a	15.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMCGSE)	028	CBG	S	2,100	20.49	n/a	n/a	105.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMEMPHS)	028	CBG	S	100	20.49	n/a	n/a	5.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMCHATT)	028	CBG	S	3,200	20.49	n/a	n/a	160.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMLSI)	028	CBG	S	2,000	20.49	n/a	n/a	100.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMCORNEL)	028	CBG	S	8,200	20.49	n/a	n/a	410.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMERID1)	028	CBG	S	700	20.49	n/a	n/a	35.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMCROWN)	028	CBG	S	5,700	20.49	n/a	n/a	285.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMLUND)	028	CBG	S	900	20.49	n/a	n/a	45.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMCSMAY)	028	CBG	S	2,900	20.49	n/a	n/a	145.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMER1D2)	028	CBG	S	1,000	20.49	n/a	n/a	50.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMDEANEY)	028	CBG	S	200	20.49	n/a	n/a	10.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMMARS2)	028	CBG	S	1,900	20.49	n/a	n/a	95.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMDESRET)	028	CBG	S	4,300	20.49	n/a	n/a	215.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT (GLAMNC0007)	028	CBG	S	55	20.49	n/a	n/a	2.75 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/06	DECADE CAPITAL PARTNERS (DECACLOSED)	040	GBCI	B	10,000	19.38	n/a	n/a	500.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS (DECAINTEGR)	040	GBCI	B	10,000	19.38	n/a	n/a	500.00 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS (DECACLOSED)	040	LNC	SS	10,000	54.05	n/a	n/a	500.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS (DECAINTEGR)	040	LNC	SS	10,000	54.05	n/a	n/a	500.00 5.0
AO,CXL	06/09	COOKBIELER (COOK40188)	028	ORI	B	20,900	14.70	n/a	n/a	836.00 4.0
AO,CXL	06/09	COOKBIELER (COOK30855)	028	ORI	B	30,300	14.70	n/a	n/a	1212.00 4.0
AO,	06/09	COOKBIELER (COOK30855)	028	ORI	B	51,200	14.70	n/a	n/a	2048.00 4.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS (DECACLOSED)	040	PFG	B	20,000	50.49	n/a	n/a	1000.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS (DECAINTEGR)	040	PFG	B	20,000	50.49	n/a	n/a	1000.00 5.0
CRT	06/10	SLS CAPITAL LLC (SLSCMSMAIN)	224	PKG	S	25,000	24.30	7-Apr	22-Apr	1000.00 4.0
CRT	06/10	SLS CAPITAL LLC (SLSCMSMAIN)	224	PKG	S	50,000	24.20	7-Apr	22-Apr	2000.00 4.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS (DECACLOSED)	040	TAL	B	10,000	26.00	n/a	n/a	500.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS (DECAINTEGR)	040	TAL	B	10,000	26.00	n/a	n/a	500.00 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS (DECACLOSED)	040	TROW	B	40,000	59.60	n/a	2-Jun	2000.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS (DECAINTEGR)	040	TROW	B	40,000	59.60	n/a	2-Jun	2000.00 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS (DECACLOSED)	040	USB	B	75,000	32.42	n/a	n/a	3750.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS (DECAINTEGR)	040	USB	B	75,000	32.42	n/a	n/a	3750.00 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS (DECACLOSED)	040	WFSL	SS	20,000	21.77	n/a	n/a	1000.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS (DECAINTEGR)	040	WFSL	SS	20,000	21.77	n/a	n/a	1000.00 5.0
AO,CXL	06/09	WEINTRAUB CAPITAL MGT. (WEINMAIN A)	040	ZQK	B	75,000	9.04	5-Feb	6-Jun	3000.00 4.0
AO,CRT	06/09	WEINTRAUB CAPITAL MGT. (WEINMAIN A)	040	ZQK	B	75,000	9.04	5-Feb	6-Jun	2250.00 3.0

**TOTAL (AO, CXL, CRT) :**

**25,348.35**

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TOTAL (Trade Date):	151,484.54
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TOTAL (AO, CXL, CRT):	25,348.35
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>176,832.89</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION