



Daily Compliance Activity Report

Trade Date: 06/24/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PZENA INV ADV	044	ABT	S	125	51.60	n/a	n/a	4.38	3.5
MA CAPITAL MGMT/MELLON ADV041	ALV	B	1,500	75.34	n/a	21-Apr	75.00	5.0	
BUCK CAPITAL MGMT	001	ANF	B	10,000	65.46	n/a	19-May	300.00	3.0
KACELA CAPITAL	034	ANF	SS	31,000	64.58	n/a	19-May	1,240.00	4.0
BALYASNY ASSET MGMT	044	ANN	C	25,000	26.04	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		ARCO	S	21,218	20.64	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	SS	20,000	17.26	n/a	20-May	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	SS	20,000	17.36	n/a	20-May	non-brg	2.5
SANDLER CAPITAL	028	ARW	SS	80,000	36.71	n/a	n/a	4,000.00	5.0
B BUCK CAPITAL MGMT		BID	B	10,000	40.25	n/a	n/a	non-brg	4.0
PZENA INV ADV	044	CA	S	400	22.08	n/a	n/a	14.00	3.5
B BUCK CAPITAL MGMT		CBK	C	10,000	5.50	n/a	n/a	non-brg	2.5
AMBER CAPITAL	046	CIT	S	16,000	42.41	n/a	17-May	640.00	4.0
f EDWARD W WHEELER	FID	CSCO	B	20000	15.26	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	031	C	B	163,000	39.07	n/a	23-Jun	5,705.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AMBER CAPITAL	046	DCOM	B	50,000	14.34	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	DDS	S	20,000	51.70	n/a	n/a	600.00	3.0
CATAPULT	040	DD	SS	25,000	50.97	n/a	15-Jun	1,000.00	4.0
TRUST CO OF THE WEST/NY	040	DELL	S	41,400	16.00	n/a	n/a	1,656.00	4.0
f DINA GOLDSTEIN	FID	DRRX	B	1000	2.15	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	DXPE	S	5000	24.18	n/a	n/a	0.00	0.0
RAIL-SPLITTER	031	EAT	SS	4,800	24.86	n/a	29-Apr	240.00	5.0
f KAREN F LEDDY	FID	EGO	S	500	14.25	n/a	n/a	0.00	0.0
CAMBIAR CAP	046	ETN	B	226,015	48.53	n/a	16-Jun	9,040.60	4.0
BALYASNY ASSET MGMT	044	FDO	SS	30,000	52.64	n/a	23-Jun	1,500.00	5.0
B BUCK CAPITAL MGMT		FERG IM	B	1,450,000	12.77	n/a	n/a	non-brg	0.0
ROYCE TRADING	012	FINL	B	40,372	21.53	24-Jun	24-Jun	2,018.60	5.0
B BUCK CAPITAL MGMT		FL	S	20,000	23.80	n/a	2-Jun	non-brg	4.0
GREEN ARROW DOUG	040	FL	SS	25,000	24.67	n/a	2-Jun	1,250.00	5.0
HARVEST MGMT	029	FL	B	10,000	24.30	n/a	2-Jun	400.00	4.0
MACQUARIE INVESTMENT MGMT.	040	FL	S	5,000	24.75	n/a	2-Jun	0.00	0.0
WEINTRAUB CAPITAL MGT.	040	FL	B	50,000	23.71	n/a	2-Jun	2,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RAINIER INV MGMT	035	FMER	B	99,730	16.03	n/a	n/a	3,989.20	4.0
MA CAPITAL MGMT/MELLON ADV	041	F	B	10,000	13.28	n/a	2-Jun	500.00	5.0
OZ MGMT	040	F	B	116,163	13.26	n/a	2-Jun	3,484.89	3.0
BUCK CAPITAL MGMT	001	GIL	S	10,000	32.99	n/a	12-May	300.00	3.0
CIRCLE T/SES PART	033	GM	SS	20,000	29.85	n/a	2-Jun	800.00	4.0
HUNTER GLOBAL INVESTOR	028	GM	SS	200,000	30.01	n/a	2-Jun	10,000.00	5.0
BALYASNY ASSET MGMT	044	GPS	SS	50,000	17.73	n/a	n/a	2,500.00	5.0
f WILLIAM WEINBERG	FID	HWD	B	5000	15.62	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	JCP	C	15,000	34.93	n/a	3-Jun	750.00	5.0
BALYASNY ASSET MGMT	044	JCP	SS	25,000	34.58	n/a	3-Jun	1,250.00	5.0
HUNTER GLOBAL INVESTOR	028	JPM	B	100,000	39.62	n/a	16-Jun	5,000.00	5.0
AMBER CAPITAL	046	KFT	B	62,738	34.48	n/a	n/a	2,509.52	4.0
f CHRISTOPHER ROBERT C	FID	KMT	B	200	40.00	n/a	29-Apr	0.00	0.0
HOPLITE MGMT	025	KMX	SS	50,000	32.24	n/a	n/a	2,000.00	4.0
ADALY INV MGMT	031	KSS	B	10,000	50.03	n/a	3-Jun	500.00	5.0
B BUCK CAPITAL MGMT		KSS	B	40,000	49.60	n/a	3-Jun	non-brg	4.0
KACELA CAPITAL	034	KSS	SS	41,000	49.47	n/a	3-Jun	1,640.00	4.0
MACQUARIE INVESTMENT MGMT.	040	KSS	B	5,000	49.49	n/a	3-Jun	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BASIX	033	LEA	B	30,000	50.81	n/a	2-May	900.00	3.0
B BUCK CAPITAL MGMT		LTD	S	45,300	37.24	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		LULU	SS	30,000	104.41	n/a	10-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		LULU	SS	30,000	104.41	n/a	10-Jun	non-brg	4.0
DELTEC ASSET MGMT.	040	MGA	S	18,000	50.23	n/a	n/a	900.00	5.0
SURVEYOR CAPITAL	041	MGM	B	77,793	12.58	n/a	13-Jun	2,333.79	3.0
ROYCE TRADING	012	MLI	B	5,300	36.26	n/a	27-Apr	265.00	5.0
f JONATHAN RICHARD HAR	FID	MTW	B	60	15.93	n/a	3-May	0.00	0.0
PIONEER PATH	028	MU	B	164,708	7.30	n/a	n/a	4,941.24	3.0
f CHRISTOPHER ROBERT C	FID	PCH	S	300	34.92	n/a	3-Jun	0.00	0.0
ADALY INV MGMT	031	QUAD	B	10,000	38.33	n/a	n/a	500.00	5.0
f JOSEPH CIRO AMATURO	FID	SGI	B	5000	15.98	n/a	n/a	0.00	0.0
CYRUS CAPITAL	025	SLGN	S	17,000	40.11	n/a	n/a	680.00	4.0
SENATOR INVESTMENT GROUP	036	SLGN	S	92,800	40.06	n/a	n/a	2,784.00	3.0
FORTRESS INVESTMENT (US)	036	SPW	B	6,000	79.39	n/a	n/a	240.00	4.0
f JASON ERIC COHEN	FID	SPY	B	57	127.21	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MARC PAUL LUCHANSKY	FID	SPY	S	1000	127.20	n/a	n/a	0.00	0.0
	TUDOR INVESTORS	028	SWK	SS	2,000	70.83	n/a	n/a	60.00	3.0
f	CHRISTOPHER ROBERT C	FID	TEX	S	250	26.62	n/a	25-Apr	0.00	0.0
	DSG CAPITAL	012	TEX	B	10,000	26.41	n/a	25-Apr	300.00	3.0
B	BUCK CAPITAL MGMT		TIF	S	20,000	76.00	n/a	n/a	non-brg	4.0
	JP MORGAN VENTURES	046	TIF	SS	10,000	75.56	n/a	n/a	400.00	4.0
	SONTERRA CAP	041	TIN	B	150,000	29.92	n/a	21-Jun	6,000.00	4.0
B	BUCK CAPITAL MGMT		TSCO	S	20,000	65.07	n/a	n/a	non-brg	4.0
	BARROW HANLEY MEWHINNEY	031	UNH	S	800	50.36	n/a	n/a	28.00	3.5
	SENATOR INVESTMENT GROUP	036	VAL	B	25,000	35.73	n/a	n/a	750.00	3.0
f	EDWARD W WHEELER	FID	VE	S	10000	26.68	n/a	n/a	0.00	0.0
	PIONEER PATH	028	VLO	B	26,008	23.08	n/a	n/a	780.24	3.0
B	BUCK CAPITAL MGMT		VRA	SS	14,000	37.94	n/a	n/a	non-brg	4.0
	PERRY PARTNERS	085	WMB	S	50,000	29.05	n/a	n/a	2,500.00	5.0
B	BUCK CAPITAL MGMT		XRT	C	38,500	51.93	n/a	n/a	non-brg	2.0
	BNP PARIBAS SEC	040	ZUMZ	SS	18,900	24.64	n/a	n/a	945.00	5.0

TOTAL (Trade Date):

95,464.46

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									95,464.46
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									95,464.46
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 24-Jun.

FINL

MRI Securities without Trade Activity on 24-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 9%

YTD = 3%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)