



Daily Compliance Activity Report

Trade Date: 06/25/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		ABG	S	11,800	25.53	18-Jun	18-Jun	non-brg	5.0
BRENCOURT	028	ADI	S	25,000	37.65	n/a	n/a	1,250.00	5.0
LOOMIS SALES/BOSTON	036	ADM	C	64,650	33.00	n/a	22-Jun	2,586.00	4.0
B BUCK CAPITAL MGMT		AEO	B	20,000	26.31	n/a	12-Jun	non-brg	5.0
ROARING BLUE LION MGMT	012	AEO	B	11,000	26.34	n/a	12-Jun	550.00	5.0
MERRILL ASSET	032	ALJ	B	20,100	44.80	n/a	n/a	1,005.00	5.0
Ö Glenn Redemann		ALXN	B	3	0.21	n/a	n/a	0.00	0.0
Ö Glenn Redemann		ALXN	B	3	0.23	n/a	n/a	0.00	0.0
Ö Glenn Redemann		ALXN	SS	3	0.73	n/a	n/a	0.00	0.0
Ö Glenn Redemann		ALXN	SS	3	0.84	n/a	n/a	0.00	0.0
ROSEDALE CAPITAL	046	AMAT	B	30,000	19.81	n/a	n/a	1,500.00	5.0
SUNOVA CAPITAL	031	AMG	B	15,000	126.99	25-Apr	25-Jun	750.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	73.21	n/a	8-Jun	800.00	4.0
Ö Glenn Redemann		ANF	B	3	0.39	n/a	8-Jun	0.00	0.0
Ö Glenn Redemann		ANF	B	3	0.46	n/a	8-Jun	0.00	0.0
Ö Glenn Redemann		ANF	SS	3	1.01	n/a	8-Jun	0.00	0.0
Ö Glenn Redemann		ANF	SS	3	1.49	n/a	8-Jun	0.00	0.0
WD PARTNERS ILP	067	ASD	B	10,000	58.68	31-May	25-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROBX	302	ATML	B	199,100	5.71	n/a	n/a	5,973.00	3.0
ROSB	302	ATML	B	900	5.71	n/a	n/a	27.00	3.0
NWQ INVESTMENT MGMT CO	048	BAC	B	17,000	48.75	20-Apr	14-Jun	680.00	4.0
LEDDY	035	BDSB	S	1,000	9.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BONT	S	10,000	41.80	25-May	8-Jun	non-brg	5.0
WHEELER	008	BQI	S	40,000	2.52	n/a	n/a	0.00	0.0
LATEFF MGMT	040	BRL	B	2,500	51.20	9-May	25-Jun	575.00	23.0
MAGUIRE	039	BSCAO	B	10	2.70	n/a	n/a	0.00	0.0
PIVOT CAP	034	BWS	S	21,000	24.31	n/a	n/a	1,050.00	5.0
PAKLER	N22	BX	B	105	34.53	n/a	n/a	0.00	0.0
WHEELER	008	CCC	S	5,000	11.26	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CHCG	B	20,000	6.61	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CHIC	B	10,000	26.84	n/a	n/a	non-brg	5.0
SAGE ASSET MGMT	012	CHK	S	208,600	35.63	n/a	n/a	10,430.00	5.0
KORMAN	003	CLUB	S	2,000	18.50	n/a	n/a	0.00	0.0
SUFFOLK CAPITAL MGMT	040	CL	B	170,000	65.62	25-Apr	25-Apr	6,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CMRG	S	20,000	10.45	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	COH	B	10,000	46.70	23-Feb	25-Apr	400.00	4.0
ß BUCK CAPITAL MGMT		CPKI	S	4,950	20.88	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		CREE	B	3	0.55	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CREE	B	3	0.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CRI	S	4,200	25.66	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CROX	S	5,000	45.66	n/a	n/a	non-brg	5.0
PAKLER	N22	CROX	S	79	45.67	n/a	n/a	0.00	0.0
MATTHES CAPITAL MGMT	033	CSV	S	6,000	8.02	n/a	n/a	240.00	4.0
ß BUCK CAPITAL MGMT		DBRN	S	10,000	21.37	n/a	n/a	non-brg	5.0
NOLAN	067	DPHIQ	S	250	2.69	n/a	n/a	0.00	0.0
ENTRUST CAPITAL	040	DPZ	B	112,900	18.28	24-Apr	24-Apr	5,645.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	70,000	34.91	7-May	7-Jun	2,800.00	4.0
ß BUCK CAPITAL MGMT		EVST	S	4,300	26.00	n/a	n/a	non-brg	5.0
LAPIDES ASSET MGMT	034	FINL	S	16,500	9.81	n/a	19-Jun	660.00	4.0
ß BUCK CAPITAL MGMT		FL	B	100,000	22.07	24-May	21-Jun	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FL	B	110,000	21.98	24-May	21-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		FL	B	50,000	22.06	24-May	21-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		FL	B	70,000	22.04	24-May	21-Jun	non-brg	5.0
SSI INVESTORS	048	FL	S	50,000	22.05	24-May	21-Jun	2,000.00	4.0
MAZAMA CAPITAL MGMT.	035	FMR	B	31,000	20.34	n/a	n/a	1,240.00	4.0
ß BUCK CAPITAL MGMT		GES	S	25,000	47.74	n/a	n/a	non-brg	5.0
BECK MACK & OLIVER LLC	068	GE	S	1,000	38.49	n/a	n/a	50.00	5.0
WHEELER	008	GHM	S	4,000	26.75	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GIII	B	15,000	15.98	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIII	B	5,000	15.94	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIII	B	5,000	16.01	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIL	S	15,000	35.55	21-Jun	21-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		GM	C	40,000	36.31	4-May	4-May	non-brg	5.0
ß BUCK CAPITAL MGMT		GPI	B	20,000	41.24	27-Apr	2-May	non-brg	5.0
SEAROCK CAPITAL MANAGE	012	GPS	S	100,000	19.13	n/a	8-Jun	4,000.00	4.0
ß BUCK CAPITAL MGMT		GTRC	B	50,000	50.98	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GYMB	B	10,000	39.94	4-Jan	4-Jun	non-brg	5.0
CAMBIAR CAP	046	HD	B	23,070	39.68	n/a	20-Jun	922.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		HLYS	B	35,000	25.12	n/a	n/a	non-brg	5.0
CLOVIS CAPITAL	012	HRB	S	200,000	22.39	n/a	n/a	10,000.00	5.0
ß BUCK CAPITAL MGMT		ICON	S	10,000	22.14	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		ICON	S	20,000	22.12	n/a	n/a	non-brg	5.0
CLOVIS CAPITAL	012	IWM	B	200,000	83.30	n/a	n/a	6,000.00	3.0
ß BUCK CAPITAL MGMT		JADE	S	10,000	10.84	n/a	n/a	non-brg	5.0
COUGAR TRADING	044	JCG	S	5,000	51.50	22-Jun	22-Jun	250.00	5.0
ASCEND PARTNERS	033	JCP	B	19,200	72.57	21-Jun	21-Jun	960.00	5.0
ß BUCK CAPITAL MGMT		JCP	S	10,000	71.84	21-Jun	21-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		JOSB	S	10,000	41.92	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		JOSB	S	10,000	42.08	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		LIZ	SS	50,000	36.54	n/a	2-May	non-brg	5.0
CUMBERLAND	038	LOW	SS	50,000	31.19	n/a	21-May	2,000.00	4.0
ß BUCK CAPITAL MGMT		LTM	B	15,000	54.17	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		LUFK	B	3	0.41	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LUFK	B	3	0.68	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LUFK	SS	3	1.18	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LUFK	SS	3	1.66	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SENTINAL CAPITAL	067	MER	S	5,000	83.71	20-Apr	14-Jun	250.00	5.0
CAXTON PART	040	MET	B	50,000	64.59	n/a	n/a	2,000.00	4.0
NWQ INVESTMENT MGMT CO	048	MOT	B	67,000	17.77	n/a	n/a	2,680.00	4.0
NWQ INVESTMENT MGMT CO	048	MO	B	15,000	68.73	n/a	n/a	600.00	4.0
⌘ BUCK CAPITAL MGMT		MRU+TV	B	50	1.42	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		MSSR	S	1,500	24.23	n/a	n/a	non-brg	5.0
WHEELER	008	MTRX	S	5,000	24.80	n/a	n/a	0.00	0.0
KORMAN	003	MWRK	S	3,000	30.79	n/a	n/a	0.00	0.0
TRIBECA/DOUG	040	NAL	B	1,800	14.94	n/a	n/a	72.00	4.0
MORGAN LEWIS/MLH	046	NBR	B	5,000	34.46	n/a	n/a	250.00	5.0
DARUMA ASSET MGMT INC	034	NDN	B	100,000	12.53	16-Jan	18-Jun	5,000.00	5.0
⌘ BUCK CAPITAL MGMT		NEXC	S	10,000	10.81	n/a	n/a	non-brg	5.0
THE BOSTON COMPANY	214	NE	B	11,200	97.61	n/a	n/a	560.00	5.0
⌘ BUCK CAPITAL MGMT		NLS	S	26,000	12.56	n/a	n/a	non-brg	5.0
CAXTON PART	040	NRG	S	50,000	42.50	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SSI INVESTORS	048	NSR	B	34,639	27.85	n/a	n/a	1,385.56	4.0
ß BUCK CAPITAL MGMT		NVT	C	13,600	44.96	n/a	n/a	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	ORA	B	33,600	35.21	n/a	n/a	1,344.00	4.0
ß BUCK CAPITAL MGMT		OXM	S	13,100	44.64	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		OXM	S	25,000	44.66	n/a	n/a	non-brg	5.0
CAPITAL GROWTH MGMT.	241	PCU	S	20,000	93.73	n/a	n/a	1,000.00	5.0
REINHART & MAHONEY	031	PKI	S	31,560	25.88	n/a	n/a	1,578.00	5.0
ß BUCK CAPITAL MGMT		PLCE	S	15,000	52.83	12-Apr	8-Jun	non-brg	5.0
BECK MACK & OLIVER LLC	068	PNW	S	2,000	41.08	n/a	n/a	100.00	5.0
Õ Glenn Redemann		PRU	B	2	0.88	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PRU	B	2	1.37	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PSS	S	10,000	31.89	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		PSS	S	100,000	31.95	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		PSUN	S	10,000	21.74	n/a	8-Jun	non-brg	5.0
MORGAN LEWIS/MLH	046	PTEN	B	5,000	27.44	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		PVH	S	10,000	59.47	31-May	31-May	non-brg	5.0
WHEELER	008	RAE	B	10,800	2.37	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE BOSTON COMPANY	214	RIG	B	10,200	108.26	n/a	n/a	510.00	5.0
Õ Manny Korman		RLF	S	1000	21.57	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	B	15,000	97.19	21-Jun	21-Jun	600.00	4.0
ß BUCK CAPITAL MGMT		RL	S	10,000	96.46	21-Jun	21-Jun	non-brg	5.0
STADIA CAPITAL	035	RL	S	5,000	97.38	21-Jun	21-Jun	200.00	4.0
THE BOSTON COMPANY	214	ROST	S	39,200	31.02	n/a	n/a	1,960.00	5.0
KORMAN	003	RPF	S	1,500	21.45	n/a	n/a	0.00	0.0
Õ Manny Korman		RPI	S	1916	21.71	n/a	n/a	0.00	0.0
KORMAN	003	RQI	S	2,900	20.24	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RVI	S	5,000	15.88	n/a	n/a	non-brg	5.0
APEX CAPITAL MGMT.	091	SBUX	B	100,000	25.74	24-Apr	24-Apr	5,000.00	5.0
FRIESS MGMT	042	SKS	B	130,300	21.32	n/a	n/a	5,863.50	4.5
BUCK CAPITAL MGMT	001	SKX	B	5,000	29.60	n/a	13-Mar	200.00	4.0
ß BUCK CAPITAL MGMT		SKX	S	15,000	29.41	n/a	13-Mar	non-brg	5.0
THE BOSTON COMPANY	214	SLB	B	39,900	87.80	n/a	n/a	1,995.00	5.0
Õ Manny Korman		SRO	S	2000	17.52	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SSI	S	20,000	20.67	25-May	12-Jun	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NWQ INVESTMENT MGMT CO	048	S	B	15,000	22.00	n/a	n/a	600.00	4.0
B BUCK CAPITAL MGMT		TGT	S	10,000	64.18	n/a	7-Jun	non-brg	5.0
GLG PARTNERS	034	TGT	B	25,000	63.72	n/a	7-Jun	1,250.00	5.0
ADAGE CAPITAL MGMT	030	TJX	B	100,000	27.03	21-Mar	8-Jun	4,000.00	4.0
ASCEND PARTNERS	033	TJX	B	35,775	26.87	21-Mar	8-Jun	1,788.75	5.0
B BUCK CAPITAL MGMT		TJX	S	5,000	26.77	21-Mar	8-Jun	non-brg	5.0
PIVOT CAP	034	TJX	S	26,000	27.11	21-Mar	8-Jun	1,300.00	5.0
B BUCK CAPITAL MGMT		TRLG	S	15,000	21.31	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		TSCO	B	5,000	52.00	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		TS	B	3	0.41	n/a	n/a	0.00	0.0
Õ Glenn Redemann		TS	B	3	0.73	n/a	n/a	0.00	0.0
OWL CREEK INV	032	TV	B	415,000	27.18	n/a	n/a	16,600.00	4.0
B BUCK CAPITAL MGMT		TWB	B	50,000	42.86	23-May	23-May	non-brg	5.0
B BUCK CAPITAL MGMT		URBN	B	10,000	23.51	2-Apr	11-May	non-brg	5.0
Õ Glenn Redemann		USG	B	3	0.65	25-Apr	14-Jun	0.00	0.0
Õ Glenn Redemann		USG	B	3	0.75	25-Apr	14-Jun	0.00	0.0
MSD CAPITAL	036	USTR	S	1,600	68.05	23-May	23-May	80.00	5.0
PILOT ADV	038	USTR	B	10,000	67.86	23-May	23-May	400.00	4.0
SUN AMERICA ASSET MGMT	030	UTX	S	124,900	71.04	n/a	n/a	6,245.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SANDLER O'NEILL ASSET	031	WDR	B	10,000	26.21	5-Mar	25-Jun	500.00	5.0
⌘ BUCK CAPITAL MGMT		WMT	S	7,000	47.70	4-Jun	7-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		WRNC	SS	20,000	36.06	20-Jun	20-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		WRNC	S	15,000	36.17	20-Jun	20-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		WTSLA	S	20,000	6.02	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	XOHO	B	150,000	4.35	n/a	n/a	6,000.00	4.0
SIGMA CAPITAL ASSOCIATES	044	XOHO	S	100,000	4.35	n/a	n/a	2,000.00	2.0
⌘ BUCK CAPITAL MGMT		ZQK	S	15,000	13.45	9-Mar	11-Jun	non-brg	5.0
TOTAL (Trade Date) :							147,805.61		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/21	NEUBERGER BERMAN	036	EFII	S	7,000	29.08	n/a	n/a	2.80 0.0
AO,CXL	06/21	NEUBERGER BERMAN	036	EFII	S	7,000	29.08	n/a	n/a	266.00 3.8

TOTAL (AO, CXL, CRT) :	-263.20
-------------------------------	----------------

TOTAL (Trade Date) :	147,805.61
TOTAL (AO, CXL, CRT) :	-263.20

TOTAL (RUN DATE) / NFS:	147,542.41
--------------------------------	-------------------

MRI Securities with Trade Activity on 25-Jun. NONE

MRI Securities without Trade Activity on 25-Jun. AGN

BRG comm. as a % of BCM Total Comm. paid. T-Date = 7% YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION