



Daily Compliance Activity Report

Trade Date: 08/16/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	ACMR	S	15,200	15.81	n/a	n/a	608.00	4.0
SEGAL BRYANT HAMMILL	037	ADBE	B	100	33.84	n/a	n/a	5.00	5.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	30,600	6.52	n/a	n/a	1,224.00	4.0
SEGAL BRYANT HAMMILL	037	AMAT	B	150	15.85	n/a	n/a	7.50	5.0
SEGAL BRYANT HAMMILL	037	AMGN	B	100	66.65	n/a	26-Jan	5.00	5.0
COUGAR TRADING	044	ANF	B	2,500	60.00	6-Apr	12-Aug	125.00	5.0
COUGAR TRADING	044	ANF	S	2,500	60.29	6-Apr	12-Aug	125.00	5.0
SEGAL BRYANT HAMMILL	037	APD	B	150	66.58	n/a	26-Jul	7.50	5.0
THE JAY GOLDMAN	034	ARO	S	20,000	22.25	6-Jul	10-Aug	800.00	4.0
ROARING BLUE LION MGMT	012	AVZ	S	10,500	20.34	16-Aug	16-Aug	525.00	5.0
BEAR STEARNS ASSET MGMT.	033	BEBE	S	21,000	18.79	n/a	n/a	840.00	4.0
SANDLER O'NEILL ASSET	031	BEN	B	9,200	96.20	3-Aug	9-Aug	460.00	5.0
SEGAL BRYANT HAMMILL	037	BER	B	50	35.47	n/a	n/a	2.50	5.0
BUCK CAPITAL MGMT	001	BID	S	10,000	31.00	n/a	n/a	400.00	4.0
J L F ASSET MGMT	039	BKS	S	27,500	35.17	n/a	n/a	1,100.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	BLI	S	90,000	18.60	16-Aug	16-Aug	3,600.00	4.0
PRINCIPLE CAPITAL	040	BLI	S	112,700	17.60	16-Aug	16-Aug	4,508.00	4.0
IRONBOUND CAPITAL	012	BOW	S	28,000	22.17	13-Jul	13-Jul	1,400.00	5.0
LUCHANSKY1	036	BSX	S	200	16.38	n/a	22-Apr	0.00	0.0
SEGAL BRYANT HAMMILL	037	BWA	B	50	60.71	n/a	n/a	2.50	5.0
BARROW HANLEY MEWHINNEY	031	C	B	328,800	48.58	n/a	10-Aug	13,152.00	4.0
LISTED ERR	099	CBT	B	20,000	31.84	28-Jun	3-Aug	0.00	0.0
LISTED ERR	099	CBT	S	20,000	32.13	28-Jun	3-Aug	0.00	0.0
PBEX/BODRI TOM L	035	CBT	B	25,000	31.84	28-Jun	3-Aug	1,250.00	5.0
FRIESS MGMT	042	CCK	B	155,300	17.71	n/a	n/a	6,988.50	4.5
HOOVER INV. MGMT	033	CHRS	B	206,800	12.43	n/a	14-Aug	10,340.00	5.0
STADIA CAPITAL	035	CL	S	140,000	59.69	25-Jul	25-Jul	5,600.00	4.0
MATTHES CAPITAL MGMT	033	CLE	S	10,000	26.41	4-May	14-Aug	400.00	4.0
SEGAL BRYANT HAMMILL	037	CMCSA	B	100	34.09	27-Jul	8-Aug	5.00	5.0
LUCHANSKY1	036	CMCSK	S	100	34.19	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	034	COST	B	10,000	51.46	n/a	3-Aug	400.00	4.0
BUCK CAPITAL MGMT	001	CRI	S	40,000	22.36	n/a	n/a	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	059	CRI	B	3,500	22.79	n/a	n/a	210.00	6.0
SEGAL BRYANT HAMMILL	037	CSCO	B	200	21.13	n/a	22-Mar	10.00	5.0
BONANZA PARTNERS	031	CTRN	S	10,000	33.18	n/a	n/a	500.00	5.0
SCUDDER HEDGE	034	CWTR	S	16,100	23.43	n/a	n/a	644.00	4.0
THE JAY GOLDMAN	034	DDS	S	10,000	33.36	n/a	n/a	400.00	4.0
SEGAL BRYANT HAMMILL	037	DGX	B	100	62.78	n/a	n/a	5.00	5.0
SEGAL BRYANT HAMMILL	037	DHR	B	100	65.68	n/a	n/a	5.00	5.0
J L F ASSET MGMT	039	DLTR	S	83,100	28.98	n/a	4-Aug	3,324.00	4.0
ALSON CAPITAL	039	DRI	B	200,000	35.85	28-Jun	28-Jul	10,000.00	5.0
BUCK CAPITAL MGMT	001	DSW	S	20,000	28.69	n/a	n/a	800.00	4.0
NEW JERSEY DIV OF INV.	220	DTV	S	200,000	18.04	15-Jun	10-Aug	10,000.00	5.0
CAPITAL GROWTH MGMT.	241	DVN	S	150,000	61.43	7-Aug	7-Aug	7,500.00	5.0
HOFFMAN	014	EDV	B	1,000	25.35	n/a	n/a	0.00	0.0
PERRY PARTNERS	035	ETH	S	50,000	38.61	n/a	n/a	2,500.00	5.0
LUCHANSKY2	036	EVST	B	300	13.24	n/a	n/a	0.00	0.0
LEDDY	035	EVST	B	1,000	13.02	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	FD	S	102,100	37.52	12-Jun	10-Aug	4,084.00	4.0
EMPYREAN CAP	036	FRX	S	46,100	47.03	18-Jul	14-Aug	2,305.00	5.0
GEORGE WEISS	034	GAIA	B	2,000	11.91	n/a	n/a	100.00	5.0
SYSTEMATIC FINANCIAL MGT	035	GES	B	30,300	48.34	n/a	n/a	1,212.00	4.0
COUGAR TRADING	044	GPS	S	10,000	17.18	31-Jul	14-Aug	500.00	5.0
REICH AND TANG	037	GTI	S	10,000	5.48	n/a	n/a	500.00	5.0
GAULT	063	GWW	S	1,000	64.24	17-Jul	14-Aug	0.00	0.0
GAULT	063	GWWHM	S	50	0.05	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GYMB	B	5,000	30.30	10-Aug	16-Aug	250.00	5.0
COUGAR TRADING	044	GYMB	S	5,000	28.97	10-Aug	16-Aug	250.00	5.0
MORTAR ROCK	033	GYMB	B	6,500	30.41	10-Aug	16-Aug	325.00	5.0
MORTAR ROCK	033	GYMB	S	1,640	29.71	10-Aug	16-Aug	82.00	5.0
SCHEINER	037	HCGI	B	200	0.50	n/a	n/a	0.00	0.0
SEGAL BRYANT HAMMILL	037	HD	B	50	34.52	n/a	14-Aug	2.50	5.0
UBS OCONNOR	031	HD	B	125,000	34.26	n/a	14-Aug	6,250.00	5.0
BONANZA PARTNERS	031	HURC	B	32,000	23.49	n/a	n/a	1,600.00	5.0
BUCK CAPITAL MGMT	001	JCP	S	80,000	67.63	5-May	10-Aug	3,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	JNS	S	30,000	17.76	27-Apr	3-Aug	1,500.00	5.0
J L F ASSET MGMT	039	JOSB	B	25,500	28.03	n/a	n/a	1,020.00	4.0
SEGAL BRYANT HAMMILL	037	JPM	B	100	45.48	n/a	10-Aug	5.00	5.0
BUCK CAPITAL MGMT	001	KCP	B	5,000	24.02	n/a	27-Jul	200.00	4.0
J L F ASSET MGMT	039	KWD	S	10,800	26.27	n/a	n/a	432.00	4.0
LUCHANSKY2	036	LVL	S	500	3.86	4-Aug	7-Aug	0.00	0.0
BARROW HANLEY MEWHINNEY	096	MAG	B	5,000	3.00	n/a	n/a	0.00	0.0
TRUST CO/COWEN/CALF.	040	MAT	S	87,950	18.00	n/a	n/a	3,518.00	4.0
GEORGE WEISS	034	MCD	S	10,500	35.78	n/a	25-Jul	525.00	5.0
OWL CREEK INV	032	MLS	S	200,000	14.95	n/a	n/a	8,000.00	4.0
FOXP	067	MSFT	B	25,000	24.71	2-Apr	2-Apr	1,000.00	4.0
SEGAL BRYANT HAMMILL	037	MSFT	B	100	24.70	2-Apr	2-Apr	5.00	5.0
ROTHSCHILD ASSET	040	MWV	S	23,700	25.49	13-Jul	24-Jul	1,066.50	4.5
THE JAY GOLDMAN	034	NWY	S	20,000	12.42	n/a	14-Aug	800.00	4.0
J L F ASSET MGMT	039	ODP	B	45,400	37.76	26-Jun	28-Jul	1,816.00	4.0
SEGAL BRYANT HAMMILL	037	PEP	B	100	63.28	n/a	n/a	5.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY1	036	PERY	S	300	24.72	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	PETM	B	63,300	23.51	n/a	n/a	3,165.00	5.0
LUCHANSKY1	036	PHM	B	100	29.62	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	PLCE	S	48,016	57.03	1-May	10-Aug	1,920.64	4.0
LUCHANSKY1	036	PRE	S	100	63.51	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PSS	S	60,000	27.71	n/a	n/a	2,400.00	4.0
J L F ASSET MGMT	039	PVH	S	107,700	36.82	8-Jun	8-Jun	4,308.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	45,700	36.65	8-Jun	8-Jun	1,828.00	4.0
BONANZA PARTNERS	031	QRCP	B	1,500	11.00	n/a	n/a	75.00	5.0
AELTUS/ING INVESTMENT MGT	237	RHI	S	260,750	32.39	n/a	n/a	13,037.50	5.0
COUGAR TRADING	044	RL	S	5,000	58.68	16-Jun	9-Aug	250.00	5.0
BUCK CAPITAL MGMT	001	RVI	S	40,000	14.71	n/a	n/a	1,600.00	4.0
MULLINS	056	SBL	S	400	11.15	n/a	2-Aug	0.00	0.0
ROSENBERG	034	SBL	S	200	11.15	n/a	2-Aug	0.00	0.0
MFP INVESTORS	032	SKS	B	65,000	15.38	n/a	n/a	3,250.00	5.0
SEGAL BRYANT HAMMILL	037	SPLS	B	100	23.91	n/a	14-Aug	5.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	SVI	S	700	8.88	n/a	n/a	0.00	0.0
AVESTA CAP	229	TGT	S	25,000	48.13	n/a	10-Aug	1,250.00	5.0
J L F ASSET MGMT	039	TIF	S	4,500	31.32	13-Mar	14-Aug	180.00	4.0
BUCK CAPITAL MGMT	001	TWB	B	75,000	35.09	16-May	14-Aug	3,000.00	4.0
SAC TRADING HOWARD	038	TWB	B	10,000	35.71	16-May	14-Aug	500.00	5.0
US BANCORP	030	TWB	B	15,967	34.75	16-May	14-Aug	798.35	5.0
MORGAN LEWIS/MLH	046	TWX	B	20,000	16.16	n/a	n/a	1,000.00	5.0
SEGAL BRYANT HAMMILL	037	TXN	B	75	32.96	n/a	n/a	3.75	5.0
U.S.TRUST COMPANY	012	TYC	B	108,300	26.08	n/a	3-Aug	3,249.00	3.0
LUCHANSKY1	036	URBN	B	100	17.08	9-Aug	9-Aug	0.00	0.0
SEGAL BRYANT HAMMILL	037	WB	B	50	55.39	n/a	n/a	2.50	5.0
FORTRESS CAPITAL	040	WEN	B	25,000	60.95	25-Jul	27-Jul	1,250.00	5.0
MULLINS	056	WFMI	B	110	53.00	n/a	n/a	0.00	0.0
PERRY PARTNERS	035	WHR	B	30,000	77.48	n/a	n/a	1,500.00	5.0
GAULT	063	WRNC	S	500	18.44	9-Aug	14-Aug	0.00	0.0
JENNISON	032	YHOO	S	57,400	28.26	n/a	15-Nov	2,410.80	4.2

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORTAR ROCK	033	ZOLT	B	67,000	20.34	n/a	n/a	3,350.00	5.0
BUCK CAPITAL MGMT	001	ZQK	B	30,000	12.64	n/a	9-Jun	1,200.00	4.0
TOTAL:								183,465.04	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,	MKT MAKER (MKT MAKER)	099	AEOS	S	69	36.45	16-Aug	16-Aug	0.00	0.0
AO,	ROARING BLUE LION MGMT (ROARMAIN U)	012	AEOS	S	3,000	36.37	16-Aug	16-Aug	120.00	4.0
AO,	J L F ASSET MGMT (JLFAMAIN)	039	CHRS	B	127,539	11.13	n/a	14-Aug	5,101.56	4.0
AO,CXL	J L F ASSET MGMT (JLFAMAIN)	039	CHRS	S	127,539	11.13	n/a	14-Aug	5,101.56	4.0
AO,CXL	SOMERSET ADV (SOMEUBSADV)	044	CT	S	1,000	37.64	n/a	n/a	50.00	5.0
AO,	SOMERSET ADV (SOMEUBSADV)	044	CT	S	645	37.64	n/a	n/a	32.25	5.0
AO,	SOMERSET ADV (SOMEL I 1)	044	CT	S	295	37.64	n/a	n/a	14.75	5.0
AO,	SOMERSET ADV (SOMEL I 2)	044	CT	S	60	37.64	n/a	n/a	3.00	5.0
AO,	BLACKROCK/BOSTON (BLRSBRMCGE)	037	ENH	S	22,553	31.08	n/a	n/a	902.12	4.0
AO,	BLACKROCK/BOSTON (BLRSE-MET-)	037	ENH	S	1,514	31.08	n/a	n/a	60.56	4.0
AO,	BLACKROCK/BOSTON (BLRSBR-AA-)	037	ENH	S	1,488	31.08	n/a	n/a	59.52	4.0
AO,	SAILFISH (SAILG3)	037	GWV	S	15,000	61.43	17-Jul	14-Aug	750.00	5.0
AO,CRT	MKT MAKER (MKT MAKER)	099	HURC	S	150	22.88	n/a	n/a	0.00	0.0
AO,CXL	LATEFF MGMT (LATESCHWAR)	040	MCD	S	2,000	35.35	n/a	25-Jul	4.00	0.2
AO,	LATEFF MGMT (LATESCHWAR)	040	MCD	S	2,000	35.35	n/a	25-Jul	400.00	0.0
AO,CXL	HERMES (HERMMORGAN)	048	MENT	S	25,000	14.09	n/a	n/a	1,250.00	5.0
AO,CXL	ROARING BLUE LION MGMT (ROARMAIN U)	012	RL	S	2,600	58.15	16-Jun	9-Aug	104.00	4.0
AO,	ROARING BLUE LION MGMT (ROARMAIN U)	012	RL	S	2,600	58.15	16-Jun	9-Aug	130.00	5.0
AO,CXL	LATEFF MGMT (LATESCHWAR)	040	SVU	B	2,500	26.83	n/a	n/a	4.00	0.2
AO,	LATEFF MGMT (LATESCHWAR)	040	SVU	B	2,500	26.83	n/a	n/a	400.00	0.0
AO,	BUCK CAPITAL MGMT (BUCKMS MAI)	001	TLB	B	25,000	21.22	n/a	14-Aug	1,000.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	MKT MAKER (MKT MAKER)	099	URBN	S	500	16.41	9-Aug	9-Aug	0.00	0.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.