



Daily Compliance Activity Report

Trade Date: 06/11/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WAGONHOUND	048	AB	S	3,660	88.96	11-Jun	11-Jun	146.40	4.0
BUCK CAPITAL MGMT	001	AEO	B	311,500	25.90	n/a	8-Jun	12,460.00	4.0
HARVEST MGMT	039	AEO	B	80,000	26.14	n/a	8-Jun	4,000.00	5.0
THE JAY GOLDMAN	034	AEO	B	50,000	26.10	n/a	8-Jun	2,000.00	4.0
MERRILL ASSET	032	AMG	B	19,300	127.61	25-Apr	7-Jun	868.50	4.5
WAGONHOUND	048	AMX	S	1,720	60.92	n/a	n/a	68.80	4.0
BUCK CAPITAL MGMT	001	ANF	B	50,000	77.32	n/a	8-Jun	2,000.00	4.0
THE JAY GOLDMAN	034	ANF	SS	5,000	77.46	n/a	8-Jun	200.00	4.0
BUCK CAPITAL MGMT	001	ANN	B	10,000	36.76	25-May	8-Jun	400.00	4.0
THE JAY GOLDMAN	034	ANN	SS	20,000	36.87	25-May	8-Jun	800.00	4.0
SASCO	038	ASD	B	25,000	58.19	31-May	31-May	1,250.00	5.0
LISTED ERR	099	ASD	S	25,000	58.19	31-May	31-May	0.00	0.0
WHITE PINE CAPITAL	030	BDSB	S	200	10.15	n/a	n/a	10.00	5.0
BALYASNY ASSET MGMT	044	BEN	B	7,500	129.52	8-May	11-Jun	375.00	5.0
SCHOTTENFELD/KEVIN	046	CAO	S	50,000	18.76	n/a	n/a	2,500.00	5.0
VISIUM/BALY HOWARD	038	CBK	B	10,000	17.91	7-Jun	8-Jun	500.00	5.0
SAILFISH	035	CMA	B	15,000	63.12	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
VAN KAMPEN ADV	031	CMCSA	B	450,000	26.39	5-Apr	5-Jun	13,500.00	3.0
HIGHBRIDGE CAPITAL	038	CMLS	SS	48,000	9.42	n/a	n/a	2,400.00	5.0
MAZAMA CAPITAL MGMT.	035	CPX	S	36,900	26.69	n/a	n/a	1,476.00	4.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	52.88	n/a	n/a	200.00	4.0
REINHART & MAHONEY	031	DNB	S	4,720	102.94	n/a	n/a	236.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	10,000	35.36	7-May	7-Jun	400.00	4.0
SAXON WOODS ADVISORS	038	EBAY	S	15,000	31.52	n/a	n/a	750.00	5.0
LEDDY	035	EDA	B	2,300	12.40	n/a	n/a	0.00	0.0
MAIRS AND POWER INV	030	EFD	S	10,000	35.46	n/a	n/a	600.00	6.0
LONG TRAIL CAP	067	EYE	S	8,911	35.36	n/a	n/a	356.44	4.0
BUCK CAPITAL MGMT	001	FDO	SS	50,000	33.96	19-Apr	7-Jun	2,000.00	4.0
WAGONHOUND	048	FXI	B	12,640	115.65	n/a	n/a	379.20	3.0
LEDDY	035	GM	SS	500	31.72	4-May	4-May	0.00	0.0
BUCK CAPITAL MGMT	001	GPI	B	20,000	41.05	27-Apr	2-May	800.00	4.0
MHR CAPITAL MGMT	067	HPOL	B	25,400	5.39	n/a	n/a	1,016.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	HPOL	B	12	5.39	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	ICON	S	5,000	22.08	n/a	n/a	300.00	6.0
BARR	096	ITCD	S	1,000	6.80	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	B	15,000	77.45	20-Apr	8-Jun	600.00	4.0
GAULT	063	LESFO	S	10	2.20	n/a	n/a	0.00	0.0
GAULT	063	LESFP	S	50	0.40	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LTM	B	15,000	50.61	n/a	n/a	600.00	4.0
CAPITAL GROWTH MGMT.	241	MA	B	10,000	141.40	n/a	n/a	400.00	4.0
CAPITAL GROWTH MGMT.	241	MS	S	30,000	88.46	22-Mar	30-May	1,200.00	4.0
CAPITAL GROWTH MGMT.	241	MTW	B	31,600	76.19	n/a	n/a	1,580.00	5.0
BUCK CAPITAL MGMT	001	M	S	70,000	38.77	17-May	8-Jun	2,800.00	4.0
MAZAMA CAPITAL MGMT.	035	NGS	S	60,400	19.52	n/a	n/a	2,416.00	4.0
BUCK CAPITAL MGMT	001	NKE	SS	15,000	53.61	n/a	n/a	600.00	4.0
LUCHANSKY1	036	NTRZ	B	1,000	3.54	n/a	n/a	0.00	0.0
KARSCH CAPITAL	034	ODP	S	100,000	34.80	n/a	27-Apr	3,000.00	3.0
IVORY CAPITAL	034	ORCL	B	150,000	19.16	n/a	n/a	7,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	PJC	B	23,200	61.41	n/a	n/a	928.00	4.0
ROBX	035	PSUN	S	100,000	20.52	n/a	8-Jun	4,000.00	4.0
LEDDY	035	QID	S	1,200	47.33	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	RCKY	S	800	15.89	n/a	n/a	40.00	5.0
BUCK CAPITAL MGMT	001	RVI	S	22,300	18.30	n/a	n/a	892.00	4.0
SUTERA	160	SCRX	B	1,000	24.62	27-Apr	11-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	30.94	n/a	13-Mar	400.00	4.0
PEREGRINE	030	SSI	B	125,000	20.07	25-May	8-Jun	6,250.00	5.0
WAGONHOUND	048	TGT	S	10,490	63.05	n/a	7-Jun	419.60	4.0
HIGHBRIDGE CAPITAL	038	TIE	SS	66,200	32.41	n/a	n/a	3,310.00	5.0
BUCK CAPITAL MGMT	001	TWB	B	5,000	40.83	23-May	23-May	200.00	4.0
WAGONHOUND	048	UNM	S	15,550	25.87	n/a	n/a	622.00	4.0
HIGHBRIDGE CAPITAL	038	URS	SS	11,000	48.47	n/a	n/a	550.00	5.0
MAZAMA CAPITAL MGMT.	035	WDR	B	43,000	25.35	5-Mar	23-May	1,720.00	4.0
PAW PARTNERS	033	WFMI	B	15,400	38.92	n/a	n/a	770.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAZAMA CAPITAL MGMT.	035	WXS	B	18,200	33.58	n/a	n/a	728.00	4.0
HIGHBRIDGE CAPITAL	038	XTO	S	218,000	61.06	n/a	n/a	10,900.00	5.0
TOTAL (Trade Date):							105,167.94		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/08	CAPITAL GROWTH MGMT. (CAPG4810)	241	ACF	S	40,000	26.01	n/a	n/a	2000.00 5.0
AO,	06/07	GRISANTI BROWN & PARTNER (GRISGS)	048	AMR	S	48,900	26.00	n/a	n/a	2445.00 5.0
AO,	06/08	NWQ INVESTMENT MGMT CO (NWQI293597)	048	CTV	B	2,200	53.37	n/a	n/a	88.00 4.0
AO,CXL	06/08	SEAROCK CAPITAL MANAGE (SEARMS)	012	CVS	B	50,000	37.60	n/a	n/a	2500.00 5.0
AO,CRT	06/08	SEAROCK CAPITAL MANAGE (SEARMS)	012	CVS	B	50,000	37.60	n/a	n/a	2000.00 4.0
AO,	06/08	NWQ INVESTMENT MGMT CO (NWQI293597)	048	DLM	B	6,400	11.97	n/a	n/a	256.00 4.0
AO,	06/08	NWQ INVESTMENT MGMT CO (NWQI293597)	048	DNR	B	3,200	35.73	n/a	n/a	128.00 4.0
AO,CXL	06/07	LEVIN CAPITAL STRATEGIES (LEVSMS)	012	EMC	S	10,000	16.53	n/a	n/a	300.00 3.0
AO,CRT	06/07	LEVIN CAPITAL STRATEGIES (LEVSMS)	012	EMC	S	10,000	16.53	n/a	n/a	400.00 4.0
AO,	06/08	NWQ INVESTMENT MGMT CO (NWQI293597)	048	GLT	B	6,400	13.78	n/a	n/a	256.00 4.0
AO,	06/08	IRONBOUND CAPITAL (IROBGS)	012	IP	SS	3,000	38.40	4-May	4-May	150.00 5.0
AO,	06/08	NWQ INVESTMENT MGMT CO (NWQI293597)	048	RAS	B	2,800	28.53	n/a	n/a	112.00 4.0
TOTAL (AO, CXL, CRT) :										5,035.00

TOTAL (Trade Date):

105,167.94

TOTAL (AO, CXL, CRT):

5,035.00

TOTAL (RUN DATE) / NFS:

110,202.94

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION