



Daily Compliance Activity Report

Trade Date: 03/26/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AEO	SS	10,000	30.39	4-Jan	9-Mar	500.00	5.0
CASTLEROCK	040	ALU	SS	89,500	11.93	n/a	n/a	3,580.00	4.0
COUGAR TRADING	044	ARO	B	5,000	40.41	23-Oct	16-Mar	250.00	5.0
KAICHER	064	ARTG	S	5,000	2.34	n/a	n/a	0.00	0.0
KAICHER	064	AVNX	S	5,000	1.86	n/a	n/a	0.00	0.0
BARR	096	AVNX	S	2,500	1.89	n/a	n/a	0.00	0.0
STANDARD PACIFIC CAPITAL	040	BBBY	SS	237,000	40.41	n/a	n/a	11,850.00	5.0
BUCK CAPITAL MGMT	001	BBW	S	15,000	27.47	19-Mar	19-Mar	600.00	4.0
JP MORGAN VENTURES	046	BBY	SS	10,000	49.29	13-Dec	23-Mar	500.00	5.0
STRAUSS ASSET MGMT	040	BRNC	SS	20,000	16.54	n/a	n/a	1,000.00	5.0
CAPITAL GROWTH MGMT.	241	BSC	S	1,000	149.99	15-Dec	16-Mar	50.00	5.0
CROWLEY	061	CEI	B	1,000	19.99	n/a	n/a	0.00	0.0
COUGAR TRADING	044	CHS	B	15,000	24.32	5-Jan	9-Mar	750.00	5.0
WAGONHOUND	048	CHS	B	10,000	24.36	5-Jan	9-Mar	400.00	4.0
ROARING BLUE LION MGMT	012	CLE	S	12,250	32.03	n/a	21-Mar	612.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUNOVA CAPITAL	031	CLMS	SS	50,000	22.80	12-Mar	23-Mar	2,500.00	5.0
CAPITAL GROWTH MGMT.	241	CMI	B	8,600	148.84	n/a	n/a	430.00	5.0
MERRILL ASSET	032	CNB	S	69,000	25.32	n/a	n/a	3,450.00	5.0
GENERAL ELECTIC CO	033	COCO	S	55,258	14.27	n/a	n/a	2,486.67	4.5
DAVIDSON KEMPNER ADVISOR	217	CVS	SS	50,000	34.58	n/a	n/a	2,500.00	5.0
CAPITAL GROWTH MGMT.	241	DE	S	230,000	110.36	n/a	n/a	11,500.00	5.0
BUCK CAPITAL MGMT	001	DSW	S	10,000	43.59	n/a	9-Feb	400.00	4.0
IRIDIAN ASSET MGMT.	036	DVN	B	15,500	68.05	9-Feb	9-Feb	775.00	5.0
S.A.C. CAPITAL MGMT.	044	FCX	B	10,000	63.31	n/a	n/a	500.00	5.0
CASTLEROCK	040	FIC	SS	48,200	38.16	n/a	n/a	1,928.00	4.0
BARR	096	FLT	S	4,400	2.01	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	B	10,000	42.72	n/a	10-Jan	400.00	4.0
REICH AND TANG	037	GFF	B	5,000	24.96	n/a	n/a	250.00	5.0
IVORY CAPITAL	034	HD	B	200,000	37.87	n/a	1-Mar	10,000.00	5.0
CHARTER OAK	037	IDIX	B	50,000	7.48	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARVEST MGMT	039	IP	SS	21,000	36.41	20-Mar	20-Mar	1,050.00	5.0
RIVER RUN MGMT	031	ITCD	B	2,750	3.20	n/a	n/a	82.50	3.0
S.A.C. CAPITAL MGMT.	044	IWM	B	25,000	80.07	n/a	n/a	1,250.00	5.0
KEEFE BRUYETTE WOODS A	031	JNS	SS	50,000	21.20	5-Mar	23-Mar	2,500.00	5.0
CHILTON CAPITAL MGMT.	036	KMX	C	64,400	53.80	n/a	10-Jan	2,576.00	4.0
GALLEON MGMT LP	033	K	S	5,000	51.46	n/a	n/a	250.00	5.0
CAPITAL GROWTH MGMT.	241	LEH	S	23,200	72.51	n/a	14-Mar	1,160.00	5.0
PRIME CAPITAL	048	LUV	B	62,100	14.86	n/a	n/a	3,105.00	5.0
MAGUIRE	090	MEM	S	200	4.40	n/a	n/a	0.00	0.0
BARR	096	MEM	S	100	4.40	n/a	n/a	0.00	0.0
BARR	096	MIR	S	800	40.19	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	MON	S	40,000	55.10	n/a	2-Mar	2,000.00	5.0
CAPITAL GROWTH MGMT.	241	MS	S	3,000	79.89	22-Mar	22-Mar	150.00	5.0
DIAMONDBACK CAP MGMT	232	MWV	B	88,500	30.49	20-Mar	20-Mar	4,425.00	5.0
HARVEST MGMT	039	MWV	B	25,000	30.70	20-Mar	20-Mar	1,250.00	5.0
CAPITAL GROWTH MGMT.	241	NOV	S	4,000	76.47	n/a	n/a	200.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	NOV	S	10,100	77.88	n/a	n/a	505.00	5.0
SPRINGBOC	039	NUCO	SS	7,462	26.00	n/a	n/a	373.10	5.0
WAGONHOUND	048	PSUN	SS	15,000	20.39	n/a	16-Mar	600.00	4.0
BUCK CAPITAL MGMT	001	PVH	S	30,000	58.61	18-Jan	22-Mar	1,200.00	4.0
CRAMER ROSENTHAL/SCOTT	012	RL	C	14,300	88.53	2-Feb	16-Feb	715.00	5.0
CUMBERLAND	038	RRGB	B	7,800	37.84	n/a	n/a	312.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	10,000	21.40	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	34.75	n/a	13-Mar	400.00	4.0
KAICHER	064	SNCR	B	2,000	17.00	n/a	n/a	0.00	0.0
BARR	096	SNWL	S	1,400	8.33	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	SSI	S	9,165	23.61	26-Feb	14-Mar	458.25	5.0
GLG PARTNERS	034	SVU	S	50,000	39.47	n/a	n/a	2,500.00	5.0
AVESTA CAP	229	TGT	S	50,000	60.81	n/a	8-Mar	2,500.00	5.0
SENTINAL CAPITAL	067	TIF	B	5,000	45.52	n/a	23-Mar	250.00	5.0
CASTLE ARK	031	TJX	B	122,200	26.92	21-Mar	21-Mar	7,332.00	6.0
WAGONHOUND	048	URBN	B	15,000	25.55	7-Dec	9-Mar	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ACT II PARTNERS	067	VG	B	150,000	3.30	9-Mar	9-Mar	6,000.00	4.0
SENTINAL CAPITAL	067	VZ	S	3,000	37.47	15-Mar	15-Mar	150.00	5.0
JP MORGAN VENTURES	046	WRNC	S	15,000	28.72	18-Jan	21-Mar	750.00	5.0
S.A.C. CAPITAL MGMT.	044	XLB	B	50,000	38.30	n/a	n/a	2,500.00	5.0
TOTAL (Trade Date):							107,256.02		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	03/23	MKT MAKER (MKT MAKER)	099	CENT	B	51	14.98	8-Feb	8-Feb	0.00	0.0
AO,CRT	03/23	BARROW HANLEY MEWHINNEY (BARR)	096	DITC	B	1,500	8.20	n/a	n/a	0.00	0.0
AO,CXL	03/23	BARROW HANLEY MEWHINNEY (BARR)	096	DITC	B	1,500	8.20	n/a	n/a	0.00	0.0
AO,	03/23	CUMBERLAND (CUMBMS\$.04)	038	RRGB	B	5,000	38.27	n/a	n/a	200.00	4.0
AO,CRT	03/23	MKT MAKER (MKT MAKER)	099	USTR	S	188	60.12	16-Feb	16-Feb	0.00	0.0
TOTAL (AO, CXL, CRT) :										200.00	
TOTAL (Trade Date) :									107,256.02		
TOTAL (AO, CXL, CRT) :									200.00		
TOTAL (RUN DATE) / NFS:									107,456.02		

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION