



Daily Compliance Activity Report

Trade Date: 04/03/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	AAI	S	4,150	6.58	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	AEM	B	57,200	66.86	n/a	n/a	1,716.00	3.0
ENTRUST CAPITAL	040	AEO	S	100,000	18.66	6-Mar	13-Mar	5,000.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	76.30	10-Jan	10-Mar	250.00	5.0
B BUCK CAPITAL MGMT		AN	SS	200,000	15.77	n/a	8-Feb	non-brg	4.0
COUGAR TRADING	044	ARO	SS	25,000	27.60	29-Nov	14-Mar	1,250.00	5.0
DIAMONDBACK CAP MGMT	232	BAC	S	25,000	40.05	13-Nov	3-Apr	1,250.00	5.0
B BUCK CAPITAL MGMT		BBY+QH	SS	500	1.23	n/a	n/a	non-brg	300.0
IMPALA ASSET MMT	012	BG	B	50,000	90.52	n/a	n/a	1,500.00	3.0
CROWN ADVISORS	045	BIG	SS	2,500	22.87	6-Mar	3-Apr	125.00	5.0
B BUCK CAPITAL MGMT		BLK	SS	6,900	223.91	21-Nov	2-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		BONT	S	23,011	5.49	4-Jan	31-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		BONT	S	442,800	5.48	4-Jan	31-Mar	non-brg	2.0
SUN AMERICA ASSET MGMT	030	CAL	B	25,000	20.40	n/a	n/a	1,000.00	4.0
MILLENIUM PARTNERS	028	CCI	B	20,000	36.39	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		CHCG	S	125,000	1.40	n/a	n/a	non-brg	2.5
KENNEDY CAPITAL	046	CHKP	S	34,055	22.57	n/a	3-Apr	1,362.20	4.0
PEREGRINE	030	CHS	B	263,700	7.05	31-Jan	31-Mar	13,185.00	5.0
SCOPUS FUND	041	CHTT	B	40,000	63.29	11-Jan	3-Apr	2,000.00	5.0
SANDLER O'NEILL ASSET	031	CLMS	B	2,000	17.27	13-Feb	2-Apr	100.00	5.0
AELTUS/ING INVESTMENT MGT	044	CMC	S	12,700	31.55	n/a	n/a	508.00	4.0
⌘ BUCK CAPITAL MGMT		CMG	SS	45,000	117.68	n/a	24-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CMG	SS	5,000	115.91	n/a	24-Apr	non-brg	2.0
CAXTON PART	040	CNO	C	23,900	11.40	n/a	n/a	836.50	3.5
NOLAN	067	COV	S	5	45.01	n/a	n/a	0.00	0.0
1798 CAPITAL MGMT	040	CPKI	B	14,900	13.98	n/a	n/a	745.00	5.0
⌘ BUCK CAPITAL MGMT		CPKI	SS	50,000	14.01	n/a	n/a	non-brg	4.0
MERRILL ASSET	032	CRR	B	700	41.23	13-Feb	1-Apr	31.50	4.5
N STRATTON	014	CSCO	S	100	24.35	n/a	22-Mar	0.00	0.0
N STRATTON	014	CVLL	B	99	11.82	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		DSW	S	30,000	12.91	28-Mar	28-Mar	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EFSTATHIOU	044	DUG	B	300	36.23	n/a	n/a	0.00	0.0
NOLAN	067	DUG	B	30	35.62	n/a	n/a	0.00	0.0
MAGUIRE	090	DUG	S	1,500	35.56	n/a	n/a	0.00	0.0
MAGUIRE	090	DXD	S	2,000	53.46	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		EAT	SS	20,000	18.94	n/a	24-Apr	non-brg	4.0
AAD CAPITAL	048	EL	SS	40,000	47.60	4-Jan	1-Apr	1,600.00	4.0
AELTUS/ING INVESTMENT MGT	044	ETN	S	20,540	79.93	28-Mar	28-Mar	821.60	4.0
ß BUCK CAPITAL MGMT		FDO+PD	SS	1,000	0.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	FDO	B	70,000	21.01	8-Jan	1-Apr	2,800.00	4.0
ß BUCK CAPITAL MGMT		FLDR	C	5,667	6.23	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		FMQ+QF	SS	1,000	0.81	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FOSL	B	20,000	31.95	13-Nov	10-Mar	non-brg	4.0
CAXTON PART	040	GILD	S	43,282	51.78	n/a	n/a	1,514.88	3.5
ß BUCK CAPITAL MGMT		GIL	B	5,000	36.12	22-Jan	10-Mar	non-brg	4.0
WHEELER	008	GLDD	B	20,000	5.27	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GM+QT	SS	1,000	0.88	n/a	n/a	non-brg	100.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		GPS+QW	SS	1,000	0.36	n/a	n/a	non-brg 100.0	
BUCK CAPITAL MGMT	001	GPS	SS	50,000	19.74	n/a	7-Mar	2,000.00	4.0
DIAMONDBACK CAP MGMT	232	GPS	SS	38,100	19.80	n/a	7-Mar	1,905.00	5.0
⚡ BUCK CAPITAL MGMT		GS	B	13,100	177.70	19-Mar	19-Mar	non-brg 4.0	
LAGRANGE CAP ADV	048	HYC	S	20,000	4.65	n/a	n/a	1,000.00	5.0
PRINCIPLE CAPITAL	040	IACI	S	28,500	21.59	n/a	n/a	855.00	3.0
LUCHANSKY1	036	IR	B	300	45.53	n/a	n/a	0.00	0.0
NOLAN	067	IR	S	20	45.86	n/a	n/a	0.00	0.0
CAXTON PART	040	IVZ	B	60,000	26.31	10-Mar	2-Apr	2,100.03	3.5
PROSPECTOR PARTNERS LLC	036	IVZ	B	28,900	25.96	10-Mar	2-Apr	1,156.00	4.0
SUNOVA CAPITAL	031	IVZ	S	14,000	25.64	10-Mar	2-Apr	700.00	5.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	56,950	25.64	10-Mar	2-Apr	2,135.62	3.8
COUGAR TRADING	044	JCG	B	10,000	45.65	28-Nov	12-Mar	500.00	5.0
WYCKOFF	013	JCP	B	200	40.12	4-Jan	31-Mar	0.00	0.0
SUNOVA CAPITAL	031	JNS	B	80,000	25.74	24-Mar	3-Apr	4,000.00	5.0
⚡ BUCK CAPITAL MGMT		JOSB	B	1,000	21.20	n/a	n/a	non-brg 1.0	
IMPALA ASSET MMT	012	KMT	B	126,548	32.21	n/a	n/a	3,796.44	3.0
⚡ BUCK CAPITAL MGMT		KMX+QW	SS	1,000	0.66	n/a	n/a	non-brg 100.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KMX	SS	10,000	20.38	n/a	10-Jan	400.00	4.0
GLG PARTNERS	034	KO	S	50,000	60.45	n/a	n/a	2,500.00	5.0
ß BUCK CAPITAL MGMT		KSS+QH	SS	1,000	1.27	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		KSS	SS	200,000	44.54	4-Jan	31-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		KSS	S	1,000	44.67	4-Jan	31-Mar	non-brg	1.0
WYCKOFF	013	KSS	B	200	44.58	4-Jan	31-Mar	0.00	0.0
KENNEDY CAPITAL	046	KSU	S	21,115	40.53	n/a	n/a	844.60	4.0
ß BUCK CAPITAL MGMT		LEH	B	50,000	44.78	1-Apr	1-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		LIZ+PW	SS	1,000	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LIZ+QD	C	811	1.85	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		LIZ+QD	SS	811	1.70	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		LM	B	39,000	59.68	24-Mar	2-Apr	non-brg	4.0
LUCHANSKY1	036	LPX	B	500	10.99	n/a	6-Feb	0.00	0.0
ß BUCK CAPITAL MGMT		LTD+ED	SS	1,000	0.48	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	LTM	S	20,000	33.22	n/a	n/a	800.00	4.0
N STRATTON	014	LTRE	S	150	15.55	n/a	n/a	0.00	0.0
BUCK	015	LYHOG	B	30	1.51	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
N STRATTON	014	MCF	S	100	70.00	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MER	B	50,000	46.87	18-Jan	3-Apr	non-brg	4.0
Õ Michael Marrano		MSFT	S	200	28.84	n/a	3-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		MS	B	47,100	49.23	20-Dec	20-Mar	non-brg	4.0
WHEELER	008	MTRX	B	10,000	16.83	n/a	n/a	0.00	0.0
BRUNNER	012	MTRX	B	1,000	16.67	n/a	n/a	0.00	0.0
MAGUIRE	090	MZZ	S	1,000	58.46	n/a	n/a	0.00	0.0
AELTUS/ING IVESTMENT MGT	044	NRG	B	20,600	38.91	n/a	n/a	824.00	4.0
SUNOVA CAPITAL	031	NTRS	B	20,000	71.21	n/a	3-Apr	1,000.00	5.0
Õ Michael Marrano		NYX	B	250	66.63	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	PAG	SS	25,000	20.61	6-Feb	20-Mar	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		PCAR	B	5,000	47.17	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PNRA	SS	10,000	42.95	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		QIA+ED	SS	1,000	0.65	n/a	n/a	non-brg	100.0
CAXTON PART	040	RGA	S	35,000	56.77	n/a	n/a	1,225.01	3.5
⌘ BUCK CAPITAL MGMT		RL+SJ	SS	1,086	1.89	n/a	n/a	non-brg	300.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RL	SS	20,000	60.87	7-Feb	15-Feb	800.00	4.0
SIRIS	013	RL	B	300	60.76	7-Feb	15-Feb	0.00	0.0
XYLEM GLOBAL	091	RYN	B	35,000	45.32	8-Jan	25-Jan	1,750.00	5.0
BUCK CAPITAL MGMT	001	SKX	S	30,000	21.12	n/a	13-Mar	1,200.00	4.0
BUCK CAPITAL MGMT		SLE	C	30,000	14.43	n/a	n/a	non-brg	4.0
CATAPULT	040	SMG	SS	30,000	34.95	11-Feb	20-Mar	1,200.00	4.0
BUCK CAPITAL MGMT		SOAP	C	35,000	7.00	n/a	n/a	non-brg	1.0
MAGUIRE	090	SRS	S	2,000	86.17	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	STZ	B	58,400	19.58	n/a	n/a	1,752.00	3.0
IMPALA ASSET MMT	012	SU	SS	40,000	100.17	n/a	n/a	1,200.00	3.0
BUCK CAPITAL MGMT		SWU+QC	SS	800	0.52	n/a	n/a	non-brg	100.0
NOLAN	067	TEL	S	5	34.99	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		THI	SS	30,000	35.25	n/a	24-Apr	non-brg	4.0
BUCK CAPITAL MGMT		TIN	B	1,000	12.86	19-Mar	19-Mar	non-brg	1.0
CLOVIS CAPITAL	012	TJX	SS	25,000	33.89	n/a	10-Mar	1,250.00	5.0
BUCK CAPITAL MGMT	001	TLB	SS	15,000	13.87	2-Apr	2-Apr	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		TLB	C	50,000	13.55	2-Apr	2-Apr	non-brg	4.0
PRINCIPLE CAPITAL	040	TPX	B	239,200	12.19	n/a	n/a	7,176.00	3.0
⚡ BUCK CAPITAL MGMT		TQQ+QH	SS	1,000	1.00	n/a	n/a	non-brg	100.0
MAGUIRE	090	TRMA	C	200	41.08	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	TRN	S	144,200	27.12	n/a	n/a	4,326.00	3.0
MAGUIRE	090	TWM	S	2,000	76.05	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		TWTC	B	25,000	16.66	9-Nov	4-Jan	non-brg	4.0
Õ Michael Marrano		TWX	S	400	14.40	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	T	S	50,000	38.94	n/a	4-Jan	2,500.00	5.0
IMPALA ASSET MMT	012	UAUA	B	82,738	21.64	n/a	n/a	2,482.14	3.0
⚡ BUCK CAPITAL MGMT		UPA+QH	SS	1,000	2.10	n/a	n/a	non-brg	300.0
CASTLE ARK	031	UPL	S	88,700	76.41	n/a	1-Apr	5,322.00	6.0
⚡ BUCK CAPITAL MGMT		URBN	B	10,000	32.57	3-Apr	3-Apr	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	VFC	B	67,975	78.78	n/a	n/a	2,549.07	3.8
⚡ BUCK CAPITAL MGMT		VIRL	S	21,885	5.99	n/a	n/a	non-brg	1.0
GRANAHAN INV	039	WBC	B	33,600	45.50	28-Mar	28-Mar	1,344.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	WDR	SS	4,000	33.80	21-Nov	2-Apr	200.00	5.0
MAGUIRE	090	WHT	S	4,100	2.77	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	WSM	C	100,000	26.33	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		WWY+QL	SS	600	1.05	n/a	n/a	non-brg	300.0
CAXTON PART	040	ZNT	S	20,000	38.20	n/a	n/a	700.04	3.5
B BUCK CAPITAL MGMT		ZUMZ	SS	10,000	16.38	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		ZUMZ	SS	12,100	16.28	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ZUMZ	SS	5,000	16.54	n/a	n/a	non-brg	4.0
TOTAL (Trade Date) :								107,938.63	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/02	ROTHSCHILD ASSET	040	M	B	56,010	25.29	7-Feb	31-Mar	2520.45 4.5
AO,CRT	03/27	ROYCE TRADING	216	MODT	B	10,000	0.26	n/a	n/a	100.00 1.0
AO,CXL	03/27	ROYCE TRADING	216	MODT	B	10,000	0.26	n/a	n/a	300.00 3.0
AO,	04/02	MORGAN BANK /JPM	012	NAVZ	S	400	62.35	n/a	n/a	12.00 3.0
AO,CXL	04/02	BUCK CAPITAL MGMT	001	RVI	S	17,198	4.92	n/a	n/a	687.92 4.0
AO,CRT	04/02	BUCK CAPITAL MGMT	001	RVI	S	17,198	4.92	n/a	n/a	515.94 3.0
AO,CRT	04/02	GALT INVESTMENT	041	SCSS	S	11,326	3.93	n/a	n/a	283.15 2.5
AO,CXL	04/02	GALT INVESTMENT	041	SCSS	S	11,326	3.93	n/a	n/a	453.04 4.0
AO,CXL	04/02	ROBX	302	TJX	B	15,000	34.70	n/a	10-Mar	0.00 0.0
AO,	04/02	GRANAHAN INV	039	WBC	B	138,100	45.85	28-Mar	28-Mar	5524.00 4.0
AO,	04/02	MORGAN BANK /JPM	012	WCC	B	400	37.46	n/a	n/a	12.00 3.0
AO,	04/02	JPMSI/TOM LEDDY	035	WRNC	B	19,000	42.72	3-Apr	3-Apr	950.00 5.0
TOTAL (AO, CXL, CRT) :										8,476.58

TOTAL (Trade Date):	107,938.63
TOTAL (AO, CXL, CRT):	8,476.58

TOTAL (RUN DATE) / NFS:

116,415.21

MRI Securities with Trade Activity on 3-Apr.

URBN

MRI Securities without Trade Activity on 3-Apr.

WRNC

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 12%

YTD = 23%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION