



Daily Compliance Activity Report

Trade Date: 05/29/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AEO	C	25,000	27.05	4-Jan	23-May	1,250.00	5.0
WILLIAM BLAIR	031	AIRM	S	15,000	34.78	n/a	n/a	300.00	2.0
MERRILL ASSET	032	AIT	B	22,700	28.27	n/a	n/a	1,021.50	4.5
BUCK CAPITAL MGMT	001	ANF	SS	190,000	80.46	n/a	24-May	7,600.00	4.0
BUCK CAPITAL MGMT	001	ANF	S	60,000	80.77	n/a	24-May	2,400.00	4.0
TRIBECA/DOUG	040	APOL	B	15,000	48.32	n/a	n/a	600.00	4.0
DIAMONDBACK CAP MGMT	232	AXP	B	10,000	63.80	n/a	n/a	500.00	5.0
MORGAN BANK /JPM	012	BA	S	335	98.22	n/a	n/a	10.05	3.0
BUCK CAPITAL MGMT	001	BBW	B	5,000	29.88	19-Mar	11-May	200.00	4.0
BUCK CAPITAL MGMT	001	BBY	S	150,000	46.58	1-May	11-May	6,000.00	4.0
ROTHSCHILD ASSET	040	BRS	B	2,870	47.16	n/a	n/a	129.15	4.5
MORGAN BANK /JPM	012	CAT	S	130	75.47	n/a	n/a	3.90	3.0
MORGAN LEWIS/MLH	046	CMCSA	B	10,000	27.04	5-Apr	23-May	500.00	5.0
J L F ASSET MGMT	039	CMRG	B	113,901	11.45	n/a	n/a	4,556.04	4.0
THIRD COAST CAPITAL	035	CONN	B	20,000	28.35	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	DAL	B	2,500	19.16	n/a	n/a	0.00	0.0
MCCARTAN	028	DFZ	S	300	10.38	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	012	DHR	B	1,020	73.73	n/a	n/a	30.60	3.0
MORGAN BANK /JPM	012	DOV	S	430	48.87	n/a	n/a	12.90	3.0
NEUBERGER BERMAN	036	DRS	S	33,400	49.51	n/a	n/a	1,670.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	75,000	37.31	7-May	11-May	3,000.00	4.0
LUCHANSKY1	036	DURA OPER CORPB		15,000	58.25	n/a	n/a	0.00	0.0
LUCHANSKY	036	DURA OPER CORPB		35,000	58.25	n/a	n/a	0.00	0.0
GAULT	063	DURA OPER CORPB		250,000	14.25	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	012	EFA	B	33,575	80.17	n/a	n/a	1,007.25	3.0
MORGAN BANK /JPM	012	EWJ	S	260,035	14.44	n/a	n/a	7,801.05	3.0
CHILTON CAPITAL MGMT.	036	FD	B	50,000	38.72	17-May	17-May	2,000.00	4.0
HIGHBRIDGE CAPITAL	038	FRP	SS	1,900	18.07	n/a	n/a	95.00	5.0
MORGAN BANK /JPM	012	GE	B	1,025	37.60	n/a	n/a	30.75	3.0
MORGAN BANK /JPM	012	GE	S	1,255	37.34	n/a	n/a	37.65	3.0
BUCK CAPITAL MGMT	001	GIL	B	5,000	33.86	n/a	3-May	200.00	4.0
MCCARTAN	028	GPI	S	100	40.64	27-Apr	2-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	012	GR	S	890	59.38	26-Apr	26-Apr	26.70	3.0
CASTLE ARK	031	GS	B	27,180	228.53	13-Dec	11-May	1,630.80	6.0
DIAMONDBACK CAP MGMT	232	GS	SS	10,000	226.34	13-Dec	11-May	500.00	5.0
TRIBECA/DOUG	040	GTRC	B	15,000	49.81	n/a	n/a	600.00	4.0
CROWLEY	061	GXP	B	2,000	30.70	n/a	n/a	0.00	0.0
LOOMIS SALES/BOSTON	036	HD	B	118,998	38.63	n/a	16-May	4,759.92	4.0
MORGAN BANK /JPM	012	HON	S	2,017	57.49	20-Apr	20-Apr	60.51	3.0
MORGAN BANK /JPM	012	IWF	B	9,000	59.51	n/a	n/a	270.00	3.0
MORGAN BANK /JPM	012	IWM	S	15,300	82.91	n/a	n/a	459.00	3.0
MORGAN BANK /JPM	012	IWP	B	18,300	114.34	n/a	n/a	549.00	3.0
MORGAN BANK /JPM	012	IWR	B	4,900	110.22	n/a	n/a	147.00	3.0
APEX CAPITAL MGMT.	091	JDSU	S	100,000	12.73	n/a	n/a	5,000.00	5.0
GRUBER MACBAINE	033	LM	B	5,000	100.47	9-May	23-May	200.00	4.0
DELTEC ASSET MGMT.	040	LOW	S	7,000	32.25	n/a	21-May	350.00	5.0
MORGAN BANK /JPM	012	LVS	S	9,370	77.62	n/a	n/a	281.10	3.0
SPRINGBOC	039	MHK	B	32,352	97.89	n/a	n/a	1,617.60	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IRIDIAN ASSET MGMT.	036	MLNM	B	91,320	10.79	n/a	n/a	4,566.00	5.0
CASTLEROCK	040	MOT	S	200,000	18.29	n/a	n/a	8,000.00	4.0
LEDDY	035	MSI	S	100	2.14	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	012	MWV	S	2,000	34.08	3-May	3-May	60.00	3.0
FARALLON CAP MGMT	040	OMX	B	77,400	42.81	n/a	15-Apr	3,096.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PSS	S	52,400	33.66	n/a	n/a	1,965.00	3.8
MORGAN BANK /JPM	012	PX	S	465	67.76	n/a	25-Apr	13.95	3.0
MORGAN BANK /JPM	012	RCL	S	340	42.84	n/a	2-May	10.20	3.0
APEX CAPITAL MGMT.	091	RHT	B	60,000	22.41	n/a	n/a	3,000.00	5.0
COUGAR TRADING	044	RL	B	10,000	92.98	2-Feb	24-May	500.00	5.0
MORGAN BANK /JPM	012	ROH	S	200	52.63	12-Feb	23-Apr	6.00	3.0
MORGAN BANK /JPM	012	RTN	S	570	54.50	n/a	n/a	17.10	3.0
BARR	096	SCOX	B	2,500	1.46	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	5,000	31.55	n/a	13-Mar	200.00	4.0
ROARING BLUE LION MGMT	012	SMRT	S	20,000	12.46	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRISANTI BROWN & PARTNER	048	TRA	S	39,900	19.15	n/a	n/a	1,995.00	5.0
MORGAN BANK /JPM	012	TYC	S	595	32.76	8-May	21-May	17.85	3.0
PILOT ROCK	028	TYC	B	200,000	33.07	8-May	21-May	8,000.00	4.0
MORGAN BANK /JPM	012	UTX	B	425	69.09	n/a	n/a	12.75	3.0
MORGAN BANK /JPM	012	UTX	S	580	68.97	n/a	n/a	17.40	3.0
KERN	050	VOII	S	15,000	0.13	n/a	n/a	0.00	0.0
GAULT	063	VOII	S	16,500	0.13	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	VRSN	B	10,000	27.71	n/a	n/a	500.00	5.0
LEDDY	035	VSL	S	500	22.21	n/a	n/a	0.00	0.0
TRIBECA/DOUG	040	WWY	B	15,000	58.49	n/a	n/a	600.00	4.0
MCCARTAN	028	ZQK	S	100	13.42	9-Mar	17-Apr	0.00	0.0
TOTAL (Trade Date):								91,984.72	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	05/25	WYCKOFF (WYCKOFF)	013	AIV	S	900	52.00	n/a	n/a	0.00	0.0
AO,CRT	05/25	LISTED ERR (LISTED ERR)	099	AIV	S	900	52.00	n/a	n/a	0.00	0.0
AO,CXL	05/22	LISTED ERR (LISTED ERR)	099	BJ	S	4,091	38.39	10-Apr	23-May	0.00	0.0
AO,CRT	05/22	LISTED ERR (LISTED ERR)	099	BJ	S	4,091	36.39	10-Apr	23-May	0.00	0.0
AO,CRT	05/25	CROWLEY (CROWLEY)	061	CEI	S	3,000	22.33	n/a	n/a	0.00	0.0
AO,CXL	05/25	CROWLEY (CROWLEY)	061	CEI	S	3,000	22.33	n/a	n/a	0.00	0.0
AO,CXL	05/22	&MCLATONI (&MCLATONI)	062	CHBD	S	10,900	0.87	n/a	n/a	0.00	0.0
AO,CRT	05/22	SULLIVAN (SULLIVAN)	042	CHBD	S	10,900	0.87	n/a	n/a	0.00	0.0
AO,	05/25	IRON HORSE CAPITAL MGMT (IHCMBUSE)	038	ED	B	7,500	47.75	n/a	n/a	375.00	5.0
AO,	05/24	UBS OCONNOR (UBSOLEHCHA)	031	IP	S	28,200	38.75	4-May	4-May	846.00	3.0
AO,	05/24	UBS OCONNOR (UBSOLEHCHA)	031	IP	S	11,280	38.59	4-May	4-May	338.40	3.0
AO,CXL	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	S	100,000	38.75	4-May	4-May	3000.00	3.0
AO,CXL	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	S	40,000	38.59	4-May	4-May	1200.00	3.0
AO,	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	S	71,800	38.75	4-May	4-May	2154.00	3.0
AO,	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	S	28,720	38.59	4-May	4-May	861.60	3.0
AO,CXL	05/25	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	KMX	B	10,000	22.90	n/a	10-Jan	540.00	5.4
AO,CRT	05/25	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	KMX	B	10,000	22.90	n/a	10-Jan	400.00	4.0
TOTAL (AO, CXL, CRT) :										235.00	

TOTAL (Trade Date):	91,984.72
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TOTAL (RUN DATE) / NFS:	92,219.72
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION