



## RR Commissions Summary [ Bob Efstathiou ]

Trade Date: 11/26/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	11/26	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AMICI CAPITAL N/C	044	0	0	22,480	58,244	0	0	0	0	22,480	58,244	36,032	162
BALYASNY ASSET MGMT	044	0	0	64,907	275,367	0	0	0	0	64,907	275,367	283,475	97
BOW STREET LLC	044	0	0	0	0	0	0	0	0	0	0	2,733	0
CARDINAL CAPITAL	044	0	0	0	0	0	0	0	0	0	0	5,685	0
COUGAR TRADING	044	0	0	9,750	21,880	0	0	0	0	9,750	21,880	11,600	189
CR INTRINIC INVESTMENT	044	0	0	17,496	60,938	0	0	0	0	17,496	60,938	8,190	744
DC CAPITAL	044	0	0	31,450	96,346	0	0	0	0	31,450	96,346	65,700	147
DEVENNY CAP LLC/MILLENIUM	044	0	0	0	4,473	0	0	6,526	0	0	10,999	24,627	45
ELM RIDGE ADVISORS	044	0	0	6,211	43,445	0	0	0	0	6,211	43,445	126,829	34
FRANKLIN TEMPLETON	044	0	0	0	0	0	3,542	7,845	0	3,542	7,845	0	0
GLG PARTNERS	044	0	0	0	2,111	0	0	0	0	0	2,111	0	0
GOLDMAN SACHS INVESTMENT PARTNERS	044	0	0	0	0	8,000	8,000	30,000	8,000	8,000	30,000	65,000	46
HIGHLINE ADV	044	0	0	0	55,000	0	0	0	0	0	55,000	95,000	58
JANA CAPITAL	044	0	0	0	0	0	0	5,000	0	0	5,000	36,608	14
LOCUST WOOD CAPITAL MGMT	044	0	0	846	23,242	0	0	0	0	846	23,242	21,898	106
METROPOLITAN CAPITAL	044	0	0	1,666	7,684	0	0	0	0	1,666	7,684	11,282	68
PARAMETER CAPITAL MGMT LLC	044	0	0	0	48,131	0	0	0	0	0	48,131	26,168	184
POINT STATE CAPITAL	044	0	0	0	0	0	0	25,000	0	0	25,000	0	0
PZENA INV ADV	044	0	0	0	53	0	0	15,675	0	0	15,728	59,571	26
RIVERLOFT CAPITAL	044	0	0	5,000	18,620	0	0	0	0	5,000	18,620	0	0
S.A.C. CAPITAL	044	900	900	67,491	231,442	0	0	5,000	900	67,491	236,442	11,767	2009
SAC TRADING TOM LEDDY	044	0	0	0	14,000	0	0	0	0	0	14,000	0	0
SIGMA CAP BOBBY	044	0	0	450	26,017	0	0	0	0	450	26,017	0	0
SOUTH POINT CAP	044	0	0	0	15,000	0	0	45,000	0	0	60,000	55,000	109
THIRD POINT MGMT	044	0	0	4,000	11,064	0	0	0	0	4,000	11,064	1,000	1106
VISIUM/BALY BOBBY	044	0	0	29,000	67,425	0	0	0	0	29,000	67,425	78,547	86
WCG MANAGEMENT	044	0	0	0	2,460	0	0	0	0	0	2,460	11,820	21
WOOSTER CAPITAL	044	0	0	10,250	78,528	0	0	0	0	10,250	78,528	0	0
<b>TOTALS:</b>		<b>900</b>	<b>900</b>	<b>270,996</b>	<b>1,161,469</b>	<b>8,000</b>	<b>11,542</b>	<b>140,046</b>	<b>8,900</b>	<b>282,538</b>	<b>1,301,514</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	11/26	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AELTUS/ING IVESTMENT MGT	237	0	0	0	33,539	0	0	0	0	0	33,539	0	0
ARDSLEY PARTNERS	280	0	0	24,250	89,708	0	0	0	0	24,250	89,708	0	0
GLG PARTNERS	280	0	0	5,937	6,297	0	0	0	0	5,937	6,297	0	0
GOLDMAN SACHS ASSET MGMT	098	0	0	0	0	0	0	0	0	0	0	5,000	0
KENSAI MANAGEMENT	286	0	0	0	0	0	0	0	0	0	0	0	0
OSKIE CAPITAL MGMT	224	0	0	17,145	71,495	0	0	0	0	17,145	71,495	50,768	0
SG CAPITAL/CEDAR STREET FUNDS	307	0	0	0	0	0	0	3,000	0	0	3,000	0	0
<b>TOTALS:</b>		<b>0</b>	<b>0</b>	<b>47,332</b>	<b>201,039</b>	<b>0</b>	<b>0</b>	<b>3,000</b>	<b>0</b>	<b>47,332</b>	<b>204,039</b>		

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