



Daily Compliance Activity Report

Trade Date: 03/12/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	AAPL	S	50	550.59	n/a	n/a	2.00	4.0
BESSEMER INVESTMENT	085	ABX	B	278	45.38	n/a	n/a	11.12	4.0
BESSEMER INVESTMENT	085	ACE	B	85	72.04	n/a	n/a	3.40	4.0
BESSEMER INVESTMENT	085	ACE	S	185	72.03	n/a	n/a	7.40	4.0
BESSEMER INVESTMENT	085	ACN	B	218	60.74	n/a	n/a	8.72	4.0
MOORE CAPITAL	041	AEO	C	30,000	16.29	n/a	2-Dec	900.00	3.0
RBC CAPITAL MARKETS	040	ANF	B	5,000	50.58	n/a	16-Feb	200.00	4.0
TALPION LLC	040	APC	B	4,500	84.73	n/a	9-Mar	180.00	4.0
BESSEMER INVESTMENT	085	CCL	B	161	31.01	n/a	n/a	6.44	4.0
BESSEMER INVESTMENT	085	CMCSA	B	110	29.63	n/a	n/a	4.40	4.0
BESSEMER INVESTMENT	085	COP	B	188	77.31	n/a	n/a	7.52	4.0
BESSEMER INVESTMENT	085	CTL	B	349	39.01	n/a	n/a	13.96	4.0
BESSEMER INVESTMENT	085	CVS	B	83	45.32	n/a	n/a	3.32	4.0
RBC CAPITAL MARKETS	040	DG	B	10,000	43.71	n/a	27-Jan	400.00	4.0
BESSEMER INVESTMENT	085	DIS	S	216	42.29	n/a	n/a	8.64	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.		
BESSEMER INVESTMENT	085	DTE	B	34	55.79	n/a	n/a	1.36	4.0	
BESSEMER INVESTMENT	085	ECL	S	160	59.40	n/a	28-Feb	6.40	4.0	
SANDLER CAPITAL	028	EFX	S	130,000	43.61	n/a	n/a	6,500.00	5.0	
MOORE CAPITAL	041	EL	C	15,177	59.85	n/a	6-Feb	455.31	3.0	
JET CAPITAL/CHRIS	041	EP	S	25,000	29.10	n/a	n/a	750.00	3.0	
BESSEMER INVESTMENT	085	EXC	B	230	39.77	n/a	n/a	9.20	4.0	
BESSEMER INVESTMENT	085	FCX	B	153	38.06	n/a	n/a	6.12	4.0	
RBC CAPITAL MARKETS	040	FDO	SS	5,000	57.28	n/a	9-Jan	200.00	4.0	
BESSEMER INVESTMENT	085	FE	B	253	45.01	n/a	n/a	10.12	4.0	
CAPITAL RESEARCH GROUP		084	FTI	B	50,349	50.53	n/a	n/a	1,762.22	3.5
BESSEMER INVESTMENT	085	GD	B	108	71.72	n/a	14-Feb	4.32	4.0	
MERRILL ASSET/BLACKROCK	297	GEOI	S	15,500	33.87	n/a	n/a	620.00	4.0	
CORNERSTONE	030	GES	S	327,102	36.50	n/a	23-Jan	9,813.06	3.0	
LEVIN CAPITAL STRATEGIES	041	GES	C	25,000	36.70	n/a	23-Jan	750.00	3.0	
SIMMONS VENTURES	041	GES	SS	5,000	36.41	n/a	23-Jan	200.00	4.0	
BESSEMER INVESTMENT	085	GE	S	760	19.15	n/a	n/a	30.40	4.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PROSPECTOR PARTNERS LLC	036	GLW	B	20,000	13.40	n/a	12-Mar	800.00	4.0
RBC CAPITAL MARKETS	040	HAS	SS	5,100	35.54	n/a	n/a	204.00	4.0
RBC CAPITAL MARKETS	040	HLF	B	5,000	68.56	n/a	n/a	200.00	4.0
BESSEMER INVESTMENT	085	IBM	B	55	201.20	n/a	n/a	2.20	4.0
BESSEMER INVESTMENT	085	IP	S	408	35.46	n/a	2-Feb	16.32	4.0
BESSEMER INVESTMENT	085	JCI	S	275	31.79	n/a	8-Mar	11.00	4.0
BESSEMER INVESTMENT	085	JNJ	B	80	65.04	n/a	n/a	3.20	4.0
BESSEMER INVESTMENT	085	JPM	S	252	40.40	n/a	5-Mar	10.08	4.0
BESSEMER INVESTMENT	085	KFT	S	210	38.16	n/a	n/a	8.40	4.0
RBC CAPITAL MARKETS	040	KO	SS	10,000	70.21	n/a	23-Feb	400.00	4.0
ADAGE CAPITAL MGMT	030	KSS	B	61,716	50.57	n/a	2-Mar	1,851.48	3.0
IVORY CAPITAL	034	LOW	S	350,000	29.73	n/a	28-Feb	7,000.00	2.0
MERCHANTS GATE CAP LP	036	LOW	S	100,000	29.87	n/a	28-Feb	4,000.00	4.0
BESSEMER INVESTMENT	085	MGA	B	148	47.32	n/a	n/a	5.92	4.0
BESSEMER INVESTMENT	085	MHP	B	224	46.73	n/a	n/a	8.96	4.0
BESSEMER INVESTMENT	085	MRO	B	420	33.40	n/a	n/a	16.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	MSFT	B	20	32.10	n/a	n/a	0.80	4.0
BESSEMER INVESTMENT	085	MSFT	S	516	32.09	n/a	n/a	20.64	4.0
BESSEMER INVESTMENT	085	M	B	416	39.81	n/a	2-Mar	16.64	4.0
BESSEMER INVESTMENT	085	NEM	B	305	55.75	n/a	n/a	12.20	4.0
BESSEMER INVESTMENT	085	NE	S	1,325	38.52	n/a	n/a	53.00	4.0
BESSEMER INVESTMENT	085	NOV	S	125	79.75	n/a	n/a	5.00	4.0
BESSEMER INVESTMENT	085	OXY	S	50	98.26	n/a	n/a	2.00	4.0
SCOPUS FUND	041	PETM	S	50,000	57.74	n/a	n/a	2,000.00	4.0
BESSEMER INVESTMENT	085	PFE	S	629	21.46	n/a	n/a	25.16	4.0
BESSEMER INVESTMENT	085	PG	S	188	67.46	n/a	24-Feb	7.52	4.0
SIMMONS VENTURES	041	PSS	B	5,000	17.94	n/a	n/a	200.00	4.0
BESSEMER INVESTMENT	085	QCOM	S	110	63.92	n/a	n/a	4.40	4.0
SCOPUS FUND	041	ROST	B	75,000	56.54	n/a	2-Mar	3,000.00	4.0
BESSEMER INVESTMENT	085	TGT	B	332	57.91	n/a	1-Mar	13.28	4.0
BESSEMER INVESTMENT	085	UNH	B	224	55.12	n/a	n/a	8.96	4.0
BESSEMER INVESTMENT	085	UN	B	50	33.98	n/a	n/a	2.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MOORE CAPITAL	041	URBN	C	15,000	29.48	n/a	n/a	450.00	3.0
SCOPUS FUND	041	VFC	B	37,500	146.82	n/a	17-Feb	1,500.00	4.0
RBC CAPITAL MARKETS	040	WMT	SS	10,000	60.75	n/a	22-Feb	400.00	4.0
SCOPUS FUND	041	WRC	S	90,000	58.42	n/a	29-Feb	3,600.00	4.0
JET CAPITAL/CHRIS	041	WTW	B	50,000	80.23	n/a	n/a	1,500.00	3.0
BESSEMER INVESTMENT	085	WU	B	627	17.80	n/a	n/a	25.08	4.0
CSFB GLOBAL PROPRIETARY	041	WY	B	50,000	21.46	n/a	3-Feb	2,500.00	5.0
MFP INVESTORS	085	XYL	S	37,675	27.50	n/a	n/a	1,883.75	5.0
TOTAL (Trade Date) :								54,644.22	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/09	BASIX (BASIBARC)	033	AGCO	SS	5,800	49.12	n/a	23-Feb	174.00 3.0
AO,CXL	03/09	BASIX (BASIUBS)	033	AGCO	SS	5,800	49.12	n/a	23-Feb	174.00 3.0
AO,	03/09	COLUMBUS CIRCLE (COLC3040)	036	APEI	S	2,062	39.24	n/a	n/a	82.48 4.0
AO,CXL	03/06	RAINIER INV MGMT (RAINAMEX)	035	BWA	S	590	80.21	n/a	15-Feb	23.60 4.0
AO,CRT	03/06	RAINIER INV MGMT (RAINAMEX)	035	BWA	S	590	80.21	n/a	15-Feb	23.60 4.0
CRT	03/12	RBC CAPITAL MARKETS (RBCCMAIN)	040	FDO	SS	5,000	57.28	n/a	9-Jan	200.00 4.0
AO,CXL	03/08	BESSEMER INVESTMENT (BESSMAIN)	085	GD	B	10	71.72	n/a	14-Feb	0.00 0.0
AO,CRT	03/08	BESSEMER INVESTMENT (BESSMAIN)	085	GD	B	10	71.72	n/a	14-Feb	0.50 5.0
AO,CXL	03/08	GALT INVESTMENT (GALTFIDO)	041	HGG	S	7,500	11.63	n/a	n/a	375.00 5.0
AO,CRT	03/08	GALT INVESTMENT (GALTFIDO)	041	HGG	S	7,500	11.63	n/a	n/a	300.00 4.0
AO,CXL	03/08	GALT INVESTMENT (GALTGS)	041	HGG	S	7,500	11.63	n/a	n/a	375.00 5.0
AO,CRT	03/08	GALT INVESTMENT (GALTGS)	041	HGG	S	7,500	11.63	n/a	n/a	300.00 4.0
AO,CXL	03/09	MFP INVESTORS (MFPIGS)	085	XYL	S	12,325	27.29	n/a	n/a	493.00 4.0
AO,CRT	03/09	MFP INVESTORS (MFPIGS)	085	XYL	S	12,325	27.29	n/a	n/a	616.25 5.0
TOTAL (AO, CXL, CRT) :										256.23

TOTAL (Trade Date):	54,644.22
TOTAL (AO, CXL, CRT):	256.23

TOTAL (RUN DATE) / NFS:

54,900.45

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION