



# Daily Compliance Activity Report

Trade Date: 05/28/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AAI	B	1,000	2.86	n/a	n/a	50.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ABG</b>	<b>S</b>	<b>18,721</b>	<b>16.36</b>	<b>n/a</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>4.0</b>
CATAPULT	040	ABH	S	17,201	10.26	n/a	16-May	860.05	5.0
PATTEN & PATTEN	067	ACL	B	100	155.13	29-Apr	28-May	5.00	5.0
PATTEN & PATTEN	067	ADBE	S	400	42.80	n/a	n/a	20.00	5.0
PATTEN & PATTEN	067	ADRU	S	100	30.40	n/a	n/a	5.00	5.0
COUGAR TRADING	044	AEO	S	20,000	18.51	6-Mar	9-May	1,000.00	5.0
J.W. SELIGMAN	041	AEO	S	231,800	18.59	6-Mar	9-May	9,272.00	4.0
POST ROAD CAP	045	AEO	S	30,000	18.71	6-Mar	9-May	900.00	3.0
<b>LEDDY</b>	<b>035</b>	<b>AER</b>	<b>B</b>	<b>1,000</b>	<b>15.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	AIG	S	800	34.91	n/a	n/a	40.00	5.0
SUNOVA CAPITAL	031	AMG	B	20,000	98.01	7-Apr	22-May	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF+HQ</b>	<b>SS</b>	<b>1,000</b>	<b>1.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANN+SE</b>	<b>SS</b>	<b>750</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>SS</b>	<b>10,000</b>	<b>26.71</b>	<b>14-Mar</b>	<b>22-May</b>	<b>400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	APA	S	300	138.55	7-May	27-May	15.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>APCKQ</b>	<b>B</b>	<b>20</b>	<b>4.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>305,000</b>	<b>34.79</b>	<b>28-Apr</b>	<b>23-May</b>	<b>12,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>95,000</b>	<b>34.65</b>	<b>28-Apr</b>	<b>23-May</b>	<b>non-brg</b>	<b>2.0</b>
TRELLUS PARTNERS LP	028	AXL	C	46,600	18.22	n/a	n/a	2,330.00	5.0
PATTEN & PATTEN	067	AXP	S	500	46.04	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	BAC	S	200	33.75	n/a	15-May	10.00	5.0
WHITE PINE CAPITAL	030	BONT	S	47,585	6.89	4-Jan	23-May	2,379.25	5.0
PRIMECAP MGMT	048	BSX	B	700	13.14	n/a	22-Apr	28.00	4.0
KACELA CAPITAL	034	BTU	B	15,000	73.80	n/a	n/a	600.00	4.0
MFP INVESTORS	032	BYD	B	1,800	15.00	30-Apr	30-Apr	90.00	5.0
ROYCE TRADING	216	CAB	B	10,000	13.71	n/a	n/a	500.00	5.0
PATTEN & PATTEN	067	CAG	S	3,000	23.16	n/a	n/a	150.00	5.0
PATTEN & PATTEN	067	CAL	B	1,500	13.53	n/a	n/a	75.00	5.0
<b>BARR</b>	<b>096</b>	<b>CAMD</b>	<b>B</b>	<b>9,500</b>	<b>3.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>CEVA</b>	<b>B</b>	<b>1,500</b>	<b>9.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>WHEELER</b>	<b>008</b>	<b>CHP</b>	<b>B</b>	<b>6,600</b>	<b>6.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ADAGE CAPITAL MGMT	030	CHS	S	300,000	7.97	11-Apr	28-May	12,000.00	4.0
PATTEN & PATTEN	067	CL	B	200	72.72	n/a	1-May	10.00	5.0
PATTEN & PATTEN	067	CNI	B	500	56.16	n/a	n/a	25.00	5.0
ROYCE TRADING	216	CSH	B	10,000	35.22	n/a	n/a	500.00	5.0
PATTEN & PATTEN	067	CX	B	191	29.70	n/a	n/a	9.55	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>DAL</b>	<b>S</b>	<b>2,500</b>	<b>5.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
POST ROAD CAP	045	DCP	B	15,400	15.98	n/a	n/a	462.00	3.0
PRIMECAP MGMT	048	DD	S	58,800	47.61	n/a	14-May	2,352.00	4.0
MATRIX ASSET ADV.	036	DELL	S	26,000	21.51	n/a	n/a	1,040.00	4.0
BMO NESBITT BURNS	041	DE	S	25,000	82.04	n/a	23-May	1,250.00	5.0
PATTEN & PATTEN	067	DFS	S	300	17.12	n/a	n/a	15.00	5.0
PATTEN & PATTEN	067	DIS	S	6,529	33.80	n/a	n/a	326.45	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>DKS+GE</b>	<b>SS</b>	<b>313</b>	<b>0.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DLTR</b>	<b>SS</b>	<b>30,000</b>	<b>35.33</b>	<b>n/a</b>	<b>9-May</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUFFOLK CAPITAL MGMT	040	ENR	B	62,600	79.98	30-Apr	30-Apr	2,504.00	4.0
HOOVER INV. MGMT	033	ENS	B	29,200	30.72	n/a	n/a	1,460.00	5.0
PRIMECAP MGMT	048	ERIC	B	200	26.91	n/a	n/a	8.00	4.0
PATTEN & PATTEN	067	EWG	S	500	33.48	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	EWH	S	6,000	19.11	n/a	n/a	300.00	5.0
<b>MILLER</b>	<b>025</b>	<b>EWZ</b>	<b>S</b>	<b>50</b>	<b>98.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	EXH	B	480	74.51	n/a	27-May	24.00	5.0
PATTEN & PATTEN	067	FCX	S	100	119.25	n/a	n/a	5.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FDO</b>	<b>SS</b>	<b>20,000</b>	<b>20.62</b>	<b>8-Jan</b>	<b>9-May</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	067	FHN	S	2,250	9.00	n/a	n/a	112.50	5.0
<b>LEDDY</b>	<b>035</b>	<b>FIG</b>	<b>B</b>	<b>1,400</b>	<b>13.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
STERLING JOHNSTON	035	FL	S	89,800	14.61	2-Apr	23-May	4,490.00	5.0
PATTEN & PATTEN	067	FRP	S	28	8.80	n/a	n/a	1.40	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>F</b>	<b>C</b>	<b>400</b>	<b>7.00</b>	<b>25-Apr</b>	<b>2-May</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	GB	B	10,000	18.24	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>GES+IJ</b>	<b>SS</b>	<b>1,000</b>	<b>1.72</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
LEVIN CAPITAL STRATEGIES	012	GES	S	15,000	39.84	n/a	n/a	600.00	4.0
BARON ASSET MGMT*	012	GIL	B	40,000	29.60	30-Apr	28-May	2,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>10,000</b>	<b>28.20</b>	<b>30-Apr</b>	<b>28-May</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	GIL	S	10,000	28.86	30-Apr	28-May	500.00	5.0
RBC CAPITAL MARKETS	034	GIL	B	15,000	28.68	30-Apr	28-May	600.00	4.0
PATTEN & PATTEN	067	GLW	B	500	27.52	n/a	7-May	25.00	5.0
PATTEN & PATTEN	067	GLW	S	1,000	27.49	n/a	7-May	50.00	5.0
<b>MILLER</b>	<b>025</b>	<b>GLW</b>	<b>S</b>	<b>50</b>	<b>27.49</b>	<b>n/a</b>	<b>7-May</b>	<b>0.00</b>	<b>0.0</b>
<b>PAKLER</b>	<b>029</b>	<b>GMRX</b>	<b>S</b>	<b>6</b>	<b>5.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WAGONHOUND	048	GM	SS	9,920	17.77	29-Apr	19-May	396.80	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>GM</b>	<b>C</b>	<b>300</b>	<b>17.78</b>	<b>29-Apr</b>	<b>19-May</b>	<b>0.00</b>	<b>0.0</b>
GOLD SACHS SPECIAL SIT	030	GNTX	B	325,000	17.45	7-Apr	23-Apr	16,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GVK+IP</b>	<b>SS</b>	<b>300</b>	<b>3.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
PATTEN & PATTEN	067	HCF	B	700	14.29	n/a	n/a	35.00	5.0
PATTEN & PATTEN	067	HST	S	3,200	17.71	n/a	n/a	160.00	5.0
BMO NESBITT BURNS	041	IP	B	50,000	26.74	8-Feb	27-May	2,500.00	5.0
COUGAR TRADING	044	IP	B	25,000	26.71	8-Feb	27-May	1,250.00	5.0
COUGAR TRADING	044	IP	S	25,000	26.61	8-Feb	27-May	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NY SEC/CHRIS	041	IP	B	60,000	26.80	8-Feb	27-May	3,000.00	5.0
PATTEN & PATTEN	067	ITT	B	400	64.98	n/a	25-Apr	20.00	5.0
PATTEN & PATTEN	067	ITT	S	550	65.07	n/a	25-Apr	27.50	5.0
<b>WHEELER</b>	<b>008</b>	<b>IVGN</b>	<b>B</b>	<b>1,000</b>	<b>44.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	IYT	S	300	94.80	n/a	n/a	15.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	47.50	n/a	27-May	250.00	5.0
PATTEN & PATTEN	067	JNJ	S	796	64.95	n/a	22-Apr	39.80	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>B</b>	<b>20,000</b>	<b>16.59</b>	<b>n/a</b>	<b>1-May</b>	<b>800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>B</b>	<b>20,000</b>	<b>16.61</b>	<b>n/a</b>	<b>1-May</b>	<b>non-brg</b>	<b>4.0</b>
REINHART & MAHONEY	031	JOSB	S	3,450	27.46	n/a	n/a	172.50	5.0
PATTEN & PATTEN	067	JWN	B	1,000	34.27	16-May	16-May	50.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>KEG</b>	<b>S</b>	<b>990</b>	<b>16.48</b>	<b>14-Feb</b>	<b>27-May</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	KFT	S	300	32.43	n/a	n/a	15.00	5.0
PATTEN & PATTEN	067	KO	B	7,650	57.39	n/a	n/a	382.50	5.0
COUGAR TRADING	044	KSS	B	20,000	44.30	n/a	16-May	1,000.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	44.51	n/a	16-May	250.00	5.0
BALYASNY ASSET MGMT	044	LAZ	SS	30,000	36.40	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	LAZ	B	19,850	36.65	n/a	n/a	992.50	5.0
⌘ BUCK CAPITAL MGMT		LEG+UW	SS	1,000	0.95	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		LEG	SS	50,000	18.86	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LEG	SS	50,000	18.92	n/a	n/a	non-brg	2.0
BUCK	015	LEH	SS	1,000	36.61	1-Apr	22-May	0.00	0.0
SINCLAIR	031	LESAJ	S	30	2.30	n/a	n/a	0.00	0.0
SINCLAIR	031	LESJJ	S	30	1.21	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LIZ	SS	60,000	17.43	n/a	14-May	non-brg	4.0
DISCIPLINED GROWTH INV.	030	LVLTT	S	11,700	3.49	n/a	4-Jan	351.00	3.0
PATTEN & PATTEN	067	MBI	S	400	7.07	n/a	n/a	20.00	5.0
⌘ BUCK CAPITAL MGMT		MCD	B	250,000	58.72	n/a	8-May	non-brg	4.0
PATTEN & PATTEN	067	MHP	S	300	39.69	n/a	n/a	15.00	5.0
PATTEN & PATTEN	067	MIC	B	500	32.46	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	MMC	S	5,750	26.91	n/a	n/a	287.50	5.0
PATTEN & PATTEN	067	MMM	S	750	76.85	n/a	24-Apr	37.50	5.0
PATTEN & PATTEN	067	MRK	B	1,700	38.75	n/a	n/a	85.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HUNTER GLOBAL INVESTOR	067	MS	B	108,000	42.36	20-Dec	22-May	5,400.00	5.0
PATTEN & PATTEN	067	MS	S	800	42.58	20-Dec	22-May	40.00	5.0
WHITE PINE CAPITAL	030	MTG	S	45,075	11.63	n/a	n/a	2,253.75	5.0
ROSEDALE CAPITAL	046	MYL	S	30,000	12.89	n/a	28-May	1,500.00	5.0
CATAPULT	040	NEU	S	14,250	76.98	n/a	n/a	712.50	5.0
ROYCE TRADING	216	NR	S	5,000	7.31	n/a	n/a	250.00	5.0
PATTEN & PATTEN	067	NSC	S	500	63.85	n/a	n/a	25.00	5.0
LOCUS WOOD CAPITAL MGMT	044	NS	S	3,000	49.51	n/a	n/a	120.00	4.0
PATTEN & PATTEN	067	OSK	B	1,350	39.94	n/a	n/a	67.50	5.0
PATTEN & PATTEN	067	OSK	S	150	39.83	n/a	n/a	7.50	5.0
PATTEN & PATTEN	067	OXY	S	450	92.87	n/a	n/a	22.50	5.0
PATTEN & PATTEN	067	PCX	S	50	97.62	n/a	n/a	2.50	5.0
LEDDY	035	PDXC	B	1,000	4.35	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		PERY	S	5,000	28.12	n/a	n/a	non-brg	2.5
BEAR STEARNS ASSET MGMT.	033	PETM	B	30,000	23.60	n/a	n/a	1,200.00	4.0
REINHART & MAHONEY	031	PFWD	B	19,180	17.96	n/a	n/a	959.00	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	PG	B	300	64.91	n/a	8-May	15.00	5.0
FIRST NY SEC/CHRIS	041	PKG	B	15,300	25.49	7-Apr	22-Apr	765.00	5.0
PERFORMANCE PART LP #2	041	PKG	B	10,000	25.57	7-Apr	22-Apr	500.00	5.0
PERFORMANCE PART LP #2	041	PKG	S	10,000	26.24	7-Apr	22-Apr	500.00	5.0
SCOPUS FUND	041	PKG	B	50,000	25.56	7-Apr	22-Apr	2,500.00	5.0
SLS CAPITAL LLC	224	PKG	B	64,600	26.17	7-Apr	22-Apr	2,584.00	4.0
JMP ASSET MGMT	210	PNWIF	B	12,575	4.05	n/a	n/a	377.25	3.0
BALYASNY ASSET MGMT	044	PRE	SS	20,000	72.59	n/a	n/a	1,000.00	5.0
LOCUS WOOD CAPITAL MGMT	044	PSEC	S	5,000	14.81	n/a	n/a	200.00	4.0
BALYASNY ASSET MGMT	044	PTP	SS	35,000	35.09	n/a	n/a	1,750.00	5.0
COUGAR TRADING	044	PVH	B	5,000	45.54	5-May	22-May	250.00	5.0
COUGAR TRADING	044	PVH	SS	5,000	45.47	5-May	22-May	250.00	5.0
GALLEON MGMT LP	033	QQQQ	SS	250,000	48.95	n/a	n/a	12,500.00	5.0
MS PRINCIPAL STRATEGIES	041	RAI	B	19,195	55.19	n/a	n/a	959.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>RL</b>	<b>C</b>	<b>12,500</b>	<b>66.71</b>	<b>7-Feb</b>	<b>6-May</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RL</b>	<b>C</b>	<b>30,000</b>	<b>68.92</b>	<b>7-Feb</b>	<b>6-May</b>	<b>non-brg</b>	<b>2.5</b>
COUGAR TRADING	044	RL	SS	10,000	68.89	7-Feb	6-May	500.00	5.0
RBC CAPITAL MARKETS	034	RL	SS	5,000	69.34	7-Feb	6-May	200.00	4.0
MS PRINCIPAL STRATEGIES	041	RT	B	25,200	7.44	n/a	n/a	1,260.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUNOVA CAPITAL	031	SCHW	B	25,000	21.75	n/a	n/a	1,250.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>SHOE</b>	<b>SS</b>	<b>3,100</b>	<b>0.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SLS CAPITAL LLC	224	SSCC	B	332,400	6.38	7-Apr	23-Apr	9,972.00	3.0
PRINCIPLE CAPITAL	040	STZ	B	58,100	21.04	n/a	n/a	1,743.00	3.0
PATTEN & PATTEN	067	SWN	S	650	44.85	20-Mar	27-May	32.50	5.0
LEVIN CAPITAL STRATEGIES	012	TGT	S	5,690	52.06	n/a	27-Jul	227.60	4.0
PATTEN & PATTEN	067	TGT	B	200	52.18	n/a	27-Jul	10.00	5.0
SAC TRADING HOWARD	038	TIF	B	5,000	47.27	14-Jan	16-May	250.00	5.0
SLS CAPITAL LLC	224	TIN	B	219,200	14.42	29-Apr	29-Apr	8,768.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>TLB</b>	<b>B</b>	<b>1,200</b>	<b>7.11</b>	<b>17-Apr</b>	<b>21-May</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	237	TWB	SS	25,000	20.32	17-Mar	22-May	1,250.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>UA</b>	<b>B</b>	<b>1,000</b>	<b>33.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>UBSRT</b>	<b>S</b>	<b>500</b>	<b>1.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	UB	B	10,000	50.60	n/a	n/a	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	UNH	S	6,020	35.11	n/a	n/a	240.80	4.0
<b>WHEELER</b>	<b>008</b>	<b>UNT</b>	<b>S</b>	<b>1,000</b>	<b>74.83</b>	<b>20-Mar</b>	<b>27-May</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	UN	S	10,600	32.68	n/a	n/a	424.00	4.0
CATAPULT	040	UPS	B	5,000	70.03	n/a	n/a	250.00	5.0
JP MORGAN VENTURES	046	URBN	B	20,000	31.70	15-May	15-May	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>URQ+LI</b>	<b>SS</b>	<b>2,002</b>	<b>0.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
DIAMONDBACK CAP MGMT	232	URS	S	15,000	45.73	n/a	n/a	750.00	5.0
CATAPULT	040	USB	SS	5,000	32.37	n/a	n/a	250.00	5.0
LOCUS WOOD CAPITAL MGMT	044	UTX	S	20,000	70.41	n/a	n/a	800.00	4.0
<b>OGORMAN</b>	<b>046</b>	<b>VC</b>	<b>S</b>	<b>11,000</b>	<b>4.08</b>	<b>n/a</b>	<b>20-May</b>	<b>0.00</b>	<b>0.0</b>
<b>SINCLAIR</b>	<b>031</b>	<b>VGNMD</b>	<b>S</b>	<b>35</b>	<b>5.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	VMC	B	600	76.45	n/a	n/a	30.00	5.0
S.A.C. CAPITAL MGMT.	044	VZ	SS	25,000	37.46	4-Jan	4-Jan	1,250.00	5.0
PATTEN & PATTEN	067	V	S	100	81.54	n/a	n/a	5.00	5.0
SUNOVA CAPITAL	031	V	B	20,000	81.67	n/a	n/a	1,000.00	5.0
S.A.C. CAPITAL MGMT.	044	WB	B	150,000	23.57	n/a	n/a	7,500.00	5.0
PRIMECAP MGMT	048	WHR	B	2,000	71.86	n/a	n/a	80.00	4.0
LEVIN CAPITAL STRATEGIES	012	WMB	S	6,900	37.50	n/a	n/a	276.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	WMB	S	200	38.46	n/a	n/a	10.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WMT+IL</b>	<b>SS</b>	<b>800</b>	<b>1.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
PATTEN & PATTEN	067	WM	S	1,000	9.39	n/a	n/a	50.00	5.0
WAGONHOUND	048	WRC	S	850	49.76	13-May	13-May	34.00	4.0
PATTEN & PATTEN	067	WY	B	1,700	67.70	7-Apr	27-May	85.00	5.0
S.A.C. CAPITAL MGMT.	044	XLE	B	50,000	85.72	n/a	n/a	2,500.00	5.0
S.A.C. CAPITAL MGMT.	044	XLE	SS	50,000	85.42	n/a	n/a	2,500.00	5.0
<b>GAULT</b>	<b>063</b>	<b>ZYTAG</b>	<b>S</b>	<b>20</b>	<b>4.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date) :</b>								<b>176,035.95</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/27	PRIMECAP MGMT	048	ADBE	B	25,300	41.86	n/a	n/a	1262.00 4.5
AO,CXL	05/27	BUCK CAPITAL MGMT	001	AEO	B	100	17.13	6-Mar	9-May	4.00 4.0
AO,CRT	05/27	BUCK CAPITAL MGMT	001	AEO	S	100	17.13	6-Mar	9-May	4.00 4.0
AO,CXL	05/27	SUTTONBROOK CAPITAL	067	CCU	S	150,000	34.90	n/a	n/a	7500.00 5.0
AO,CRT	05/27	SUTTONBROOK CAPITAL	067	CCU	S	150,000	34.90	n/a	n/a	6000.00 4.0
AO,CRT	05/27	BALYASNY ASSET MGMT	044	ZQK	B	20,000	8.66	5-Feb	7-Mar	800.00 4.0
AO,CXL	05/27	BALYASNY ASSET MGMT	044	ZQK	B	20,000	8.66	5-Feb	7-Mar	1000.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-438.00</b>

TOTAL (Trade Date):	176,035.95
TOTAL (AO, CXL, CRT):	-438.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>175,597.95</b>
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MRI Securities with Trade Activity on 28-May. NONE
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MRI Securities without Trade Activity on 28-May. NONE
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 26%

YTD = 18%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**