



Daily Compliance Activity Report

Trade Date: 07/07/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AB	B	25,000	18.78	5-Jun	2-Jul	1,250.00	5.0
f DAVID GEORGE BUCK	FID	AETTE	S	20	1.55	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	AET	S	1000	26.49	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	AMG	S	20,000	57.02	5-Jun	2-Jul	1,000.00	5.0
BALYASNY ASSET MGMT	044	AOS	B	20,000	32.36	16-Jun	16-Jun	1,000.00	5.0
B BUCK CAPITAL MGMT		AXL	B	100,000	2.20	n/a	7-Jul	non-brg	2.0
B BUCK CAPITAL MGMT		AXL	B	100,000	2.38	n/a	7-Jul	non-brg	2.0
CIRCLE T/SES PART	035	AXL	B	10,000	2.18	n/a	7-Jul	300.00	3.0
f JOHN MICHAEL ZOLIDIS	FID	AXL	B	5000	2.35	n/a	7-Jul	0.00	0.0
HIGHBRIDGE CAPITAL	038	BBY	B	95,000	31.41	n/a	27-Jul	3,800.00	4.0
f EDWARD W WHEELER	FID	BELM	B	1000	1.18	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	BEN	SS	10,000	67.36	5-Jun	2-Jul	500.00	5.0
RBC CAPITAL MARKETS	040	BJ	B	10,000	31.54	n/a	27-Jul	400.00	4.0
BALYASNY ASSET MGMT	044	BK	S	57,500	27.80	11-May	30-Jun	2,875.00	5.0
B BUCK CAPITAL MGMT		CCL	SS	100,000	25.03	n/a	26-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	COH	B	180,000	24.44	n/a	22-Apr	7,200.00	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		COH	B	10,000	24.46	n/a	22-Apr	non-brg	4.0
B	BUCK CAPITAL MGMT		COH	B	20,000	24.75	n/a	22-Apr	non-brg	4.0
Õ	Alice Longley		COMPARTO	B	4000	3.04	n/a	n/a	0.00	0.0
f	MANNY I KORMAN	FID	CRM	S	3000	35.89	n/a	n/a	0.00	0.0
	JMP ASSET MGMT	210	DAR	SS	20,000	6.50	n/a	n/a	800.00	4.0
	CUMBERLAND	038	DE	SS	7,000	37.19	n/a	17-Jun	280.00	4.0
f	FRANCIS MICHAEL MCCA	FID	DE	S	100	36.79	n/a	17-Jun	0.00	0.0
B	BUCK CAPITAL MGMT		DFS	B	25,000	9.37	n/a	7-Jul	non-brg	3.0
	COUGAR TRADING	044	DKS	SS	10,000	17.52	27-Apr	20-May	500.00	5.0
	OZ MGMT	040	EBAY	S	150,000	16.06	n/a	n/a	4,500.00	3.0
	OVERLAND PARK CAP	041	EL	B	13,400	31.55	5-May	5-May	536.00	4.0
	RBC CAPITAL MARKETS	040	ERTS	B	20,000	20.72	n/a	n/a	800.00	4.0
	BNP PARIBAS SEC	040	FDO	S	25,000	27.73	n/a	6-Jul	1,000.00	4.0
	KARSCH CAPITAL	034	GE	SS	300,000	11.27	n/a	n/a	9,000.00	3.0
	KLEINHEINZ CAP PART	031	GIL	B	100,000	14.03	25-Jun	25-Jun	5,000.00	5.0
	SCOPUS FUND	041	GIL	SS	5,000	14.07	25-Jun	25-Jun	250.00	5.0
	BUCK CAPITAL MGMT	001	GLW	B	33,000	14.69	30-Jun	30-Jun	1,320.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	GNW	B	90,000	6.06	n/a	n/a	3,600.00	4.0
B BUCK CAPITAL MGMT		HGG	B	20,000	14.95	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HOTT	C	100,000	7.03	n/a	30-Jan	non-brg	3.0
OZ MGMT	040	IP	B	75,000	14.64	23-Jun	23-Jun	2,250.00	3.0
CAVU CAP ADV	028	JCI	S	50,000	20.02	2-Jun	2-Jun	2,500.00	5.0
NEWCASTLE FUNDS	255	JCI	B	20,000	20.17	2-Jun	2-Jun	800.00	4.0
B BUCK CAPITAL MGMT		JCP	B	150,000	26.91	13-Apr	6-Jul	non-brg	4.0
BUCK CAPITAL MGMT	001	JNY	S	40,000	10.01	n/a	30-Apr	1,600.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	30,000	42.62	8-May	6-Jul	1,200.00	4.0
f DAVID GEORGE BUCK	FID	KSS	S	1200	42.66	8-May	6-Jul	0.00	0.0
RBC CAPITAL MARKETS	040	KSS	S	25,000	43.35	8-May	6-Jul	1,000.00	4.0
BALYASNY ASSET MGMT	044	LAZ	B	25,000	26.65	n/a	17-Jun	1,250.00	5.0
CUMBERLAND	038	LM DIA	S	75,000	25.17	n/a	n/a	3,000.00	4.0
BALYASNY ASSET MGMT	044	LM	SS	25,000	23.53	25-Jun	2-Jul	1,250.00	5.0
BUCK CAPITAL MGMT	001	MAR	SS	70,000	19.88	n/a	n/a	2,800.00	4.0
B BUCK CAPITAL MGMT		MAR	SS	30,000	19.63	n/a	n/a	non-brg	1.0
GALT INVESTMENT	041	MCD	B	20,000	57.25	n/a	11-Jun	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	MDRX	B	1500	15.00	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	MDRX	B	300	14.93	n/a	n/a	0.00	0.0
PZENA INV ADV	044	MET	B	8,575	28.00	n/a	n/a	300.13	3.5
f DAVID GEORGE BUCK	FID	MHSTI	S	10	1.45	n/a	n/a	0.00	0.0
PRIMECAP MGMT	040	MON	B	6,000	72.58	n/a	26-Jun	300.00	5.0
GREEN ARROW CAPITAL	040	MO	S	50,000	16.46	n/a	n/a	2,500.00	5.0
f EDWARD W WHEELER	FID	MWA	B	10000	2.72	n/a	14-May	0.00	0.0
f DAVID GEORGE BUCK	FID	NYB	B	2000	10.46	n/a	2-Jul	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	NYB	B	400	10.45	n/a	2-Jul	0.00	0.0
HUNTER GLOBAL INVESTOR	067	PEP	B	100,000	56.90	n/a	n/a	5,000.00	5.0
⌘ BUCK CAPITAL MGMT		PERY	S	6,938	7.02	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PLCE	S	10,000	26.00	19-Mar	6-Jul	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PLCE	S	70,000	25.89	19-Mar	6-Jul	non-brg	4.0
PRINCIPLE CAPITAL	040	RHI	B	61,700	20.99	n/a	n/a	1,851.00	3.0
HUNTER GLOBAL INVESTOR	067	RIMM	B	50,000	68.00	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	RL	B	10,000	49.42	25-Jun	25-Jun	400.00	4.0
⌘ BUCK CAPITAL MGMT		SBUX	SS	100,000	13.13	20-Apr	30-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	SKS	B	200,000	4.62	n/a	n/a	4,000.00	2.0
B BUCK CAPITAL MGMT		SKX	S	35,000	9.48	n/a	13-Mar	non-brg	2.0
f RAGHAV NAYAR	FID	SLM	S	124	9.41	23-Apr	1-Jul	0.00	0.0
f ANDREW SINCLAIR	FID	SWGHN	B	80	1.89	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	SWGHN	S	40	1.80	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	SYI	SS	169,900	22.04	n/a	24-Apr	8,495.00	5.0
f ANDREW SINCLAIR	FID	SZCTI	S	35	2.62	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	TGT	B	100	36.93	25-Feb	6-Jul	0.00	0.0
OZ MGMT	040	TIN	B	75,000	12.00	5-Jun	5-Jun	2,250.00	3.0
f JOHN MICHAEL ZOLIDIS	FID	TIP	B	100	100.74	n/a	n/a	0.00	0.0
ROYCE TRADING	216	TRN	S	25,000	12.33	n/a	n/a	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	041	URBN	SS	25,000	19.10	n/a	30-Jan	1,000.00	4.0
HUNTER GLOBAL INVESTOR	067	WMT	SS	100,000	48.01	11-May	6-Jul	5,000.00	5.0
KARSCH CAPITAL	034	X	S	25,900	31.96	n/a	n/a	777.00	3.0
TOTAL (Trade Date):								99,684.13	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/06	HIGHBRIDGE CAPITAL	038	AEO	B	50,000	13.20	25-Jun	6-Jul	2500.00 5.0
AO,CRT	07/06	HIGHBRIDGE/MS OLDS	041	AEO	B	50,000	13.20	25-Jun	6-Jul	2500.00 5.0
AO,CRT	07/01	MELLON/BANK OF NEW YORK	046	AIG	S	10,000	17.77	n/a	n/a	200.00 2.0
AO,CXL	07/01	MELLON/BANK OF NEW YORK	046	AIG	S	10,000	17.77	n/a	n/a	500.00 5.0
AO,CXL	07/06	BUCK CAPITAL MGMT	001	EAT	SS	26,900	16.88	n/a	24-Apr	1076.00 4.0
AO,CRT	07/06	BUCK CAPITAL MGMT	001	EAT	SS	26,900	16.88	n/a	24-Apr	1076.00 4.0
AO,	07/06	ROTHSCHILD ASSET	040	IDC	S	14,660	22.94	n/a	n/a	659.70 4.5
AO,CXL	07/06	HIGHBRIDGE CAPITAL	038	JCP	B	50,000	26.98	13-Apr	6-Jul	2500.00 5.0
AO,CRT	07/06	HIGHBRIDGE/MS OLDS	041	JCP	B	50,000	26.98	13-Apr	6-Jul	2500.00 5.0
AO,CXL	07/06	HIGHBRIDGE CAPITAL	038	TGT	B	50,000	37.36	25-Feb	6-Jul	2500.00 5.0
AO,CRT	07/06	HIGHBRIDGE/MS OLDS	041	TGT	B	50,000	37.36	25-Feb	6-Jul	2500.00 5.0
AO,CRT	07/06	HIGHBRIDGE CAPITAL	038	VZ	SS	84,000	30.27	n/a	4-Jan	3360.00 4.0
AO,CXL	07/06	HIGHBRIDGE CAPITAL	038	VZ	SS	84,000	30.27	n/a	4-Jan	4200.00 5.0

TOTAL (AO, CXL, CRT) :**-480.30**

TOTAL (Trade Date) :

99,684.13

TOTAL (AO, CXL, CRT) :

-480.30

TOTAL (RUN DATE) / NFS:

99,203.83

MRI Securities with Trade Activity on 7-Jul.
NONE

MRI Securities without Trade Activity on 7-Jul.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 40%
YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)