



RR Commissions Summary [Ravi Sadarangani]

Trade Date: 11/26/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	11/26	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AAD CAPITAL	084	0	0	0	690	0	0	0	0	0	690	1,720	40
ALLIANZ/NICOLAS APPEGATE	084	0	0	20,216	36,394	0	0	2,872	0	20,216	39,266	11,816	332
BRANDES INVESTMENT	084	0	0	0	0	0	0	0	0	0	0	5,150	0
BTIG/MONT PELERIN	084	35	35	335	3,504	0	0	0	35	335	3,504	0	0
CAPITAL GUARDIAN /INTN'L	084	0	0	0	2,069	0	0	0	0	0	2,069	0	0
CAPITAL WORLD	084	0	0	6,300	145,936	0	0	0	0	6,300	145,936	35,823	407
COLUMBUS CAP MGMT LLC	084	0	0	1,800	6,800	0	0	0	0	1,800	6,800	28,587	24
CONIFER/GROW	084	0	0	0	1,720	0	0	0	0	0	1,720	1,700	101
EAM INVESTORS	084	0	0	0	500	0	0	0	0	0	500	0	0
EMPYREAN CAPITAL	084	0	0	22,800	22,800	0	0	0	0	22,800	22,800	74,293	31
FEINBERG INVESTMENTS	084	0	0	0	12,420	0	0	0	0	0	12,420	0	0
FOCUSED INVESTORS	084	0	0	7,280	28,852	0	0	0	0	7,280	28,852	0	0
FRANKLIN FUND OF CALIFOR	084	0	0	0	0	0	0	0	0	0	0	11,991	0
HAHN CAPITAL MANAGEMENT	084	0	0	19,416	28,024	0	0	0	0	19,416	28,024	23,087	121
HOTCHKIS & WILEY	084	0	0	9,724	72,486	0	0	0	0	9,724	72,486	0	0
IVORY CAPITAL	084	0	0	10,000	77,325	0	0	0	0	10,000	77,325	0	0
JSRG CAPITAL PARTNERS LP	084	600	600	9,025	9,025	0	0	0	600	9,025	9,025	0	0
KAYNE ANDERSON ADV	084	0	0	0	14,208	0	0	0	0	0	14,208	15,042	94
LOMBARDIA CAP MGMT	084	0	0	0	10,811	0	0	0	0	0	10,811	0	0
NWQ INVESTMENT MGMT CO	084	0	0	0	57,978	0	0	0	0	0	57,978	73,354	79
OSTERWEIS CAPITAL	084	0	0	916	13,430	0	7,700	7,700	0	8,616	21,130	39,934	53
PARKWEST	084	0	0	3,768	37,099	0	0	0	0	3,768	37,099	29,845	124
PARNASSUS INVESTMENTS	084	0	0	0	0	0	2,500	2,500	0	2,500	2,500	5,000	50
PCO CAPITAL MGMT.	084	0	0	150	150	0	0	0	0	150	150	0	0
PEAK INV/OAKMONT/CYPRESS	084	0	0	48,000	105,600	0	0	0	0	48,000	105,600	45,352	233
PIMCO	084	0	0	7,900	21,666	0	0	0	0	7,900	21,666	0	0
PRIMECAP MGMT	084	828	828	72,432	114,327	0	0	0	828	72,432	114,327	80,736	142
ROBERTSON STEVENS	084	0	0	0	12,957	10,000	46,500	70,000	10,000	46,500	82,957	88,814	93
STANDARD PACIFIC CAPITAL	084	0	0	38,545	207,021	8,000	8,000	8,000	8,000	46,545	215,021	486,608	44
TRUST CO L.A.	084	0	0	0	0	0	12	14,959	0	12	14,959	28,847	52
WALL STREET CAPITAL	084	0	0	3,097	9,031	0	0	0	0	3,097	9,031	1,971	458
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	0	0	0	1,000	0	0	0	0	0	1,000	3,050	33
TOTALS:		1,463	1,463	281,705	1,053,821	18,000	64,712	106,031	19,463	346,417	1,159,852		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	11/26	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
CAPITAL RESEARCH GROUP	84	0	0	89,996	222,689	0	0	0	0	89,996	222,689	255,833	0

TOTALS:	0	0	89,996	222,689	0	0	0	0	89,996	222,689
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