



# Daily Compliance Activity Report

Trade Date: 02/20/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	ABH	B	95,000	16.90	n/a	23-Jan	4,750.00	5.0
<b>MAST</b>	<b>091</b>	<b>ABH</b>	<b>B</b>	<b>100,000</b>	<b>16.63</b>	<b>n/a</b>	<b>23-Jan</b>	<b>4,000.00</b>	<b>4.0</b>
PERRY PARTNERS	041	AFL	SS	30,400	63.18	n/a	n/a	1,520.00	5.0
FIRST NY SEC/CHRIS	041	AMAT	S	10,000	18.97	n/a	n/a	600.00	6.0
COUGAR TRADING	044	ANN	B	5,000	24.76	11-Jan	8-Feb	250.00	5.0
J L F ASSET MGMT	039	ARO	B	90,000	27.34	29-Nov	8-Feb	3,600.00	4.0
SATELLITE ASSET MGMT	012	ASCA	S	10,000	20.55	n/a	4-Feb	400.00	4.0
<b>LUCHANSKY2</b>	<b>036</b>	<b>AVP</b>	<b>C</b>	<b>800</b>	<b>38.80</b>	<b>5-Feb</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>CATTANI</b>	<b>041</b>	<b>AVP</b>	<b>C</b>	<b>185</b>	<b>38.74</b>	<b>5-Feb</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
UBS OCONNOR	031	BAC	S	55,000	42.50	13-Nov	23-Jan	1,650.00	3.0
SATELLITE ASSET MGMT	012	BBI	B	25,000	3.12	n/a	n/a	1,000.00	4.0
<b>KAICHER</b>	<b>064</b>	<b>BONT</b>	<b>S</b>	<b>2,000</b>	<b>7.14</b>	<b>4-Jan</b>	<b>15-Feb</b>	<b>0.00</b>	<b>0.0</b>
ROTHSCHILD ASSET	040	BRS	S	13,260	52.90	n/a	n/a	596.73	4.5
ARIENCE CAPITAL	036	BYD	S	70,200	24.69	31-Jan	31-Jan	3,510.00	5.0
SATELLITE ASSET MGMT	012	BYD	B	12,500	25.05	31-Jan	31-Jan	500.00	4.0
FIRST NY SEC/CHRIS	041	CBE	B	15,000	45.22	24-Jan	30-Jan	900.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	CBE	B	14,200	44.83	24-Jan	30-Jan	710.00	5.0
PERRY PARTNERS	041	CNO	S	80,000	12.39	n/a	n/a	4,000.00	5.0
<b>CROWLEY</b>	<b>061</b>	<b>CRMEX</b>	<b>S</b>	<b>1,436</b>	<b>9.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	CSX	B	50,000	50.60	n/a	n/a	2,000.00	4.0
LATEFF MGMT	035	CVS	S	1,500	40.64	n/a	n/a	345.00	23.0
SANDLER O'NEILL ASSET	031	C	SS	50,000	25.16	16-Jan	14-Feb	2,500.00	5.0
<b>CROWLEY</b>	<b>061</b>	<b>EFR</b>	<b>S</b>	<b>3,013</b>	<b>13.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	EV	B	25,000	33.46	9-Jan	19-Feb	1,250.00	5.0
<b>MAST</b>	<b>091</b>	<b>GCI</b>	<b>B</b>	<b>50,000</b>	<b>31.63</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
SUN AMERICA ASSET MGMT	030	GE	S	31,600	33.92	n/a	n/a	1,264.00	4.0
RAMIUS CAP	040	GGG	SS	35,800	36.01	n/a	n/a	1,790.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>10,000</b>	<b>37.96</b>	<b>22-Jan</b>	<b>31-Jan</b>	<b>400.00</b>	<b>4.0</b>
SATELLITE ASSET MGMT	012	GME	B	2,500	45.01	n/a	n/a	100.00	4.0
HEALY CIRCLE	044	GM	SS	25,000	25.83	3-Jan	13-Feb	1,250.00	5.0
BALYASNY ASSET MGMT	044	GPS	B	50,000	19.57	n/a	8-Feb	2,500.00	5.0
ROARING BLUE LION MGMT	012	GTIV	S	4,707	22.07	n/a	n/a	188.28	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GENERAL ELECTIC CO	033	HCC	B	26,502	24.86	n/a	n/a	530.04	2.0
CHILTON CAPITAL MGMT.	036	HD	C	300,000	27.71	n/a	27-Jul	12,000.00	4.0
<b>WHEELER</b>	<b>008</b>	<b>HSTX</b>	<b>S</b>	<b>5,000</b>	<b>10.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PERRY PARTNERS	041	IRM	B	300	32.90	n/a	n/a	15.00	5.0
MERRILL ASSET	032	ITG	B	7,600	46.73	n/a	n/a	380.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCP</b>	<b>B</b>	<b>90,000</b>	<b>47.81</b>	<b>4-Jan</b>	<b>15-Feb</b>	<b>3,600.00</b>	<b>4.0</b>
<b>LEDDY</b>	<b>035</b>	<b>JNS</b>	<b>C</b>	<b>300</b>	<b>24.72</b>	<b>9-Jan</b>	<b>14-Feb</b>	<b>0.00</b>	<b>0.0</b>
DENVER INST. ADV	046	JWN	S	49,961	35.79	14-Feb	14-Feb	1,998.44	4.0
ROARING BLUE LION MGMT	012	LINE	B	2,600	20.04	n/a	n/a	104.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>B</b>	<b>30,000</b>	<b>18.01</b>	<b>n/a</b>	<b>15-Feb</b>	<b>1,200.00</b>	<b>4.0</b>
<b>LUCHANSKY1</b>	<b>036</b>	<b>MMAB</b>	<b>B</b>	<b>500</b>	<b>7.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>WYCKOFF</b>	<b>013</b>	<b>NILE</b>	<b>S</b>	<b>300</b>	<b>41.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY2</b>	<b>036</b>	<b>PG</b>	<b>S</b>	<b>500</b>	<b>65.83</b>	<b>11-Dec</b>	<b>19-Feb</b>	<b>0.00</b>	<b>0.0</b>
ELM RIDGE ADVISORS	044	PKG	B	112,800	24.30	23-Jan	23-Jan	4,512.00	4.0
<b>CROWLEY</b>	<b>164</b>	<b>PNM</b>	<b>B</b>	<b>1,500</b>	<b>13.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>N STRATTON</b>	<b>014</b>	<b>PRXI</b>	<b>B</b>	<b>800</b>	<b>5.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SATELLITE ASSET MGMT	012	ROST	B	5,000	27.69	n/a	n/a	200.00	4.0
<b>GAULT</b>	<b>063</b>	<b>SKF</b>	<b>B</b>	<b>1,000</b>	<b>110.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PERRY PARTNERS	041	SLF	B	1,500	45.59	n/a	n/a	75.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>SPNC</b>	<b>B</b>	<b>10,000</b>	<b>9.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CUMBERLAND	038	STRA	B	10,000	172.29	n/a	n/a	400.00	4.0
BALYASNY ASSET MGMT	044	STT	SS	60,000	83.09	n/a	n/a	3,000.00	5.0
FIRST NY SEC/CHRIS	041	STX	S	10,000	22.93	n/a	n/a	600.00	6.0
FINANCIAL STOCK LP	028	TCB	B	75,000	20.05	n/a	n/a	3,000.00	4.0
ASCEND PARTNERS	033	TJX	S	25,000	31.52	n/a	15-Feb	1,250.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>TRBR</b>	<b>S</b>	<b>1,137</b>	<b>11.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TWB</b>	<b>S</b>	<b>30,000</b>	<b>29.96</b>	<b>9-Jan</b>	<b>12-Feb</b>	<b>1,200.00</b>	<b>4.0</b>
COUGAR TRADING	044	TWB	B	5,000	29.47	9-Jan	12-Feb	250.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>USTR</b>	<b>C</b>	<b>200</b>	<b>53.07</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
RAMIUS CAP	040	WDR	SS	33,100	31.71	21-Nov	14-Feb	1,655.00	5.0
CASCADE INVESTMENT MGMT	038	WM	B	300,000	16.73	n/a	n/a	15,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NICHOLAS ADVISORS	034	WRNC	B	840	34.78	14-Jan	15-Feb	42.00	5.0
LEDDY	035	WRNC	S	500	34.88	14-Jan	15-Feb	0.00	0.0
OTC ERROR	099	WRNC	B	10	34.78	14-Jan	15-Feb	0.00	0.0
OTC ERROR	099	WRNC	S	10	34.65	14-Jan	15-Feb	0.00	0.0
PERRY PARTNERS	041	XL	B	24,100	37.18	n/a	n/a	1,205.00	5.0
<b>TOTAL (Trade Date):</b>								<b>95,790.49</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/19	FINANCIAL STOCK LP (FSILMERRIL)	028	HIG	B	3,783	73.06	n/a	n/a	151.32 4.0
AO,CRT	02/19	FINANCIAL STOCK LP (FSILMERRIL)	028	HIG	B	3,756	73.06	n/a	n/a	150.24 4.0
AO,CXL	02/19	FINANCIAL STOCK LP (FSILMSPB)	028	HIG	B	3,756	73.06	n/a	n/a	150.24 4.0
AO,CRT	02/19	FINANCIAL STOCK LP (FSILMSPB)	028	HIG	B	3,783	73.06	n/a	n/a	151.32 4.0
AO,	02/19	BARABOO GROWTH (BBOOCALMWA)	031	KGC	B	15,100	23.08	n/a	n/a	755.00 5.0
AO,CXL	02/19	SATELLITE ASSET MGMT (SATECITI)	012	RTH	SS	5,000	91.56	n/a	n/a	200.00 4.0
AO,CRT	02/19	SATELLITE ASSET MGMT (SATECITI)	012	RTH	SS	5,000	91.56	n/a	n/a	150.00 3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>705.00</b>

TOTAL (Trade Date) :	95,790.49
TOTAL (AO, CXL, CRT) :	705.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>96,495.49</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION