



Daily Compliance Activity Report

Trade Date: 07/10/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	AAPL	B	100	175.97	n/a	n/a	0.00	0.0
ROSEDALE CAPITAL	046	AEO	B	20,000	12.92	6-Mar	2-Jul	1,000.00	5.0
LEDDY	035	AER	S	1,000	13.08	n/a	n/a	0.00	0.0
BUCK	015	AET	B	800	36.55	n/a	n/a	0.00	0.0
BRIGHTPOINT CAP	067	AMR	C	65,000	5.20	n/a	n/a	1,300.00	2.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	53.90	10-Jul	10-Jul	800.00	4.0
COUGAR TRADING	044	ANF	S	20,000	55.35	10-Jul	10-Jul	1,000.00	5.0
LEDDY	035	APP	S	1,400	6.35	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	S	150,000	30.84	28-Apr	2-Jul	6,000.00	4.0
COUGAR TRADING	044	ARO	B	20,000	30.61	28-Apr	2-Jul	1,000.00	5.0
COUGAR TRADING	044	ARO	SS	25,000	31.49	28-Apr	2-Jul	1,250.00	5.0
BARR	096	ATT	B	800	25.19	n/a	n/a	0.00	0.0
SATELITE/BOBBY	044	ATVID	B	20,000	31.20	n/a	n/a	800.00	4.0
BUCK	015	BBBY	SS	1,000	28.80	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BIG	SS	40,000	31.31	29-May	2-Jul	1,600.00	4.0
N STRATTON	014	BIG	C	125	31.50	29-May	2-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	BKC	S	123,200	25.61	1-May	2-May	4,928.00	4.0
CLOVIS CAPITAL	012	CAG	B	150,000	21.02	n/a	n/a	7,500.00	5.0
KORMAN	003	CHCG	S	6,000	1.20	n/a	n/a	0.00	0.0
BUCK	015	CMA	C	2,500	23.79	n/a	n/a	0.00	0.0
COUGAR TRADING	044	COH	B	25,000	26.38	4-Apr	9-Jul	1,250.00	5.0
J.W. SELIGMAN	041	COH	B	152,900	25.45	4-Apr	9-Jul	6,116.00	4.0
WOOSTER CAPITAL	035	COH	B	53,700	25.77	4-Apr	9-Jul	2,685.00	5.0
DENVER INST. ADV	046	COST	B	11,611	70.97	n/a	27-Jul	464.44	4.0
BAIRD INVESTMENT	031	CRR	S	2,975	51.03	5-Jun	9-Jul	148.75	5.0
MERRILL ASSET	032	CRR	S	9,900	51.32	5-Jun	9-Jul	445.50	4.5
BAIRD INVESTMENT	031	DRQ	S	2,100	54.12	n/a	n/a	105.00	5.0
CLOVIS CAPITAL	012	DST	S	100,000	55.35	n/a	n/a	5,000.00	5.0
EPOCH INV MGMT	030	DUF	B	20,000	15.70	n/a	n/a	800.00	4.0
J COHEN	N27	DXD	S	14	66.44	n/a	n/a	0.00	0.0
SATELITE/BOBBY	044	EL	B	10,000	45.44	7-May	24-Jun	400.00	4.0
BRANDWINE	028	ETN	B	62,600	80.17	18-Jun	9-Jul	2,504.00	4.0
BUCK CAPITAL MGMT	001	FDO	SS	40,000	23.04	30-Jun	2-Jul	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRUST CO/COWEN/CALF.	040	FLE	S	25,000	2.13	n/a	n/a	750.00	3.0
MKT MAKER	099	FOSL	B	200	25.00	n/a	9-Jul	0.00	0.0
BUCK	015	FREHM	S	30	1.40	n/a	n/a	0.00	0.0
BUCK	015	FREHT	B	30	0.28	n/a	n/a	0.00	0.0
CLOVIS CAPITAL	012	GAP	B	75,000	21.54	n/a	n/a	3,750.00	5.0
BUCK CAPITAL MGMT	001	GES	B	10,000	34.41	n/a	n/a	400.00	4.0
BUCK	015	GIS	S	1,000	62.93	n/a	n/a	0.00	0.0
M WEINTRAU	024	GLW	B	200	19.98	n/a	9-Jul	0.00	0.0
SATELITE/BOBBY	044	HAIN	SS	15,000	23.36	n/a	n/a	600.00	4.0
BUCK	015	HAR	SS	1,000	37.74	n/a	7-May	0.00	0.0
N STRATTON	014	HIBB	C	100	20.25	n/a	n/a	0.00	0.0
MERRILL ASSET	032	HOS	S	12,000	48.59	n/a	n/a	600.00	5.0
SUFFOLK CAPITAL MGMT	040	HOT	B	447,800	35.77	n/a	n/a	17,912.00	4.0
WEINTRAUB CAPITAL MGT.	040	HOT	S	25,000	35.67	n/a	n/a	1,000.00	4.0
CHARTER OAK	033	IN	S	97,171	16.25	n/a	n/a	4,858.55	5.0
BRIGHTPOINT CAP	067	IWM	C	175,000	67.32	n/a	n/a	7,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	JCG	B	15,000	29.99	n/a	30-May	750.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	31.27	n/a	30-May	250.00	5.0
ASCEND PARTNERS	033	JCP	S	20,000	33.81	n/a	2-Jul	1,000.00	5.0
NICOLAS APPELGATE	048	JNPR	S	45,000	21.82	n/a	22-Mar	1,800.00	4.0
BUCK CAPITAL MGMT	001	JNY	S	198,000	13.24	n/a	1-May	7,920.00	4.0
JP MORGAN VENTURES	046	JNY	B	30,000	13.21	n/a	1-May	1,500.00	5.0
COUGAR TRADING	044	JWN	S	30,000	28.64	10-Jul	10-Jul	1,500.00	5.0
WEISS PECK	040	KMX	SS	12,000	13.35	n/a	10-Jan	600.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	10,000	40.07	n/a	2-Jul	400.00	4.0
COUGAR TRADING	044	KSS	B	10,000	41.06	n/a	2-Jul	500.00	5.0
COUGAR TRADING	044	KSS	S	30,000	39.88	n/a	2-Jul	1,500.00	5.0
SAGE ASSET MGMT	012	KSS	B	41,800	40.47	n/a	2-Jul	2,090.00	5.0
THE JAY GOLDMAN	034	KSS	B	10,000	40.28	n/a	2-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	LEG	SS	40,000	14.93	n/a	n/a	1,600.00	4.0
COBALT	041	LEG	SS	50,000	15.06	n/a	n/a	2,500.00	5.0
N STRATTON	014	LEG	SS	100	15.00	n/a	n/a	0.00	0.0
KAICHER	064	LEH	B	1,000	15.96	9-Jun	17-Jun	0.00	0.0
KAICHER	064	LEH	S	1,000	17.24	9-Jun	17-Jun	0.00	0.0
APEX CAPITAL MGMT.	091	LOW	S	218,700	19.66	n/a	27-Jul	10,935.00	5.0
OSS CAPITAL/KEVIN DANN	067	LPS	B	100,000	31.77	n/a	n/a	3,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	LTD	B	10,000	16.38	n/a	2-Jul	500.00	5.0
RAMIUS CAP	040	MER	SS	265,000	29.21	24-Jun	24-Jun	13,250.00	5.0
BUCK	015	MER	C	1,000	29.00	24-Jun	24-Jun	0.00	0.0
RAMIUS CAP	040	MS	SS	232,000	33.95	n/a	19-Jun	11,600.00	5.0
BRIGHTPOINT CAP	067	NWA	C	240,000	6.38	n/a	n/a	4,800.00	2.0
OSS CAPITAL/KEVIN DANN	067	PLD	S	200,000	47.59	n/a	n/a	6,000.00	3.0
NICOLAS APPLGATE	048	PRU	S	44,150	59.06	n/a	n/a	1,766.00	4.0
FIRST NEW YORK LEDDY	035	Q	B	10,000	3.76	n/a	n/a	600.00	6.0
BARR	096	RIMM	SS	350	117.77	n/a	n/a	0.00	0.0
COUGAR TRADING	044	RL	S	10,000	60.11	7-Feb	29-May	500.00	5.0
DIAMONDBACK CAP MGMT	232	ROST	C	25,000	37.95	n/a	n/a	1,250.00	5.0
SATELITE/BOBBY	044	RTH	SS	10,000	86.98	n/a	n/a	400.00	4.0
COUGAR TRADING	044	SDS	SS	10,000	69.88	n/a	n/a	500.00	5.0
CSFB GLOBAL PROPRIETARY	041	SSCC	B	100,000	4.66	7-Apr	26-Jun	5,000.00	5.0
BUCK CAPITAL MGMT	001	SSI	S	40,000	12.67	n/a	2-Jul	1,600.00	4.0
MCERLEAN	016	SSO	B	1,000	58.72	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BAIRD INVESTMENT	031	SWN	S	2,375	42.07	20-Mar	9-Jul	118.75	5.0
ROTHSCHILD ASSET	040	SY	S	36,070	29.94	n/a	n/a	1,623.15	4.5
MS PRINCIPAL STRATEGIES	041	TD	B	45,900	60.02	n/a	n/a	2,295.00	5.0
N STRATTON	014	TECUA	SS	200	33.05	n/a	n/a	0.00	0.0
LEDDY	035	TEX	B	300	43.27	n/a	10-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	SS	20,000	45.90	n/a	2-Jul	800.00	4.0
BUCK CAPITAL MGMT	001	THI	SS	35,000	28.50	n/a	24-Apr	1,400.00	4.0
COLUMBIA PARTNERS	028	TIF	SS	27,021	38.14	n/a	2-Jun	1,080.84	4.0
DANIELS	N25	TIN	B	150	11.72	29-Apr	26-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	TJX	S	100,000	31.99	n/a	9-Jul	4,000.00	4.0
JP MORGAN VENTURES	046	TJX	SS	10,000	31.63	n/a	9-Jul	500.00	5.0
KEEFE BRUYETTE WOODS A	031	TROW	SS	5,300	53.08	n/a	10-Jul	265.00	5.0
MKT MAKER	099	TROW	B	2,700	53.10	n/a	10-Jul	0.00	0.0
MKT MAKER	099	TROW	SS	2,700	53.24	n/a	10-Jul	0.00	0.0
COUGAR TRADING	044	TWB	S	25,000	14.57	17-Mar	22-May	1,250.00	5.0
ARIENCE CAPITAL	036	TWC	SS	23,600	25.86	n/a	4-Jan	1,180.00	5.0
BARR	096	T	B	500	32.79	n/a	4-Jan	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	UA	S	60,000	25.92	n/a	n/a	2,400.00	4.0
COUGAR TRADING	044	URBN	B	10,000	29.98	15-May	9-Jul	500.00	5.0
KENNEDY CAPITAL	046	VCI	B	40,000	12.50	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	VFC	S	30,000	72.43	n/a	n/a	1,200.00	4.0
SIGMA CAPITAL ASSOCIATES	237	VFC	SS	75,000	72.66	n/a	n/a	3,750.00	5.0
VISIUM/BALY HOWARD	038	VFC	SS	10,000	72.84	n/a	n/a	500.00	5.0
BARR	096	VZ	SS	700	34.42	n/a	4-Jan	0.00	0.0
SUNOVA CAPITAL	031	WDR	S	20,000	31.25	22-Apr	10-Jul	1,000.00	5.0
OGORMAN	046	WFSL	SS	1,000	15.38	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	WMI	B	30,000	36.94	n/a	n/a	1,500.00	5.0
BUCK CAPITAL MGMT	001	WSM	SS	150,000	18.99	n/a	n/a	6,000.00	4.0
GRUSS CAPITAL	045	WY	B	5,000	49.10	7-Apr	27-May	150.00	3.0
KAICHER	064	XCO	S	1,000	34.86	n/a	n/a	0.00	0.0
MCERLEAN	016	XLF	S	1,000	19.00	n/a	n/a	0.00	0.0
SATELITE/BOBBY	044	XRT	SS	20,000	28.31	n/a	n/a	800.00	4.0
DENVER INST. ADV	046	YUM	B	23,184	35.29	n/a	10-Jul	927.36	4.0

TOTAL (Trade Date):

206,418.34

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	07/10	COUGAR TRADING (COUGPB MS)	044	ARO	B	20,000	30.61	28-Apr	2-Jul	1000.00 5.0
AO,CRT	07/02	MCCARTAN (MCCARTAN)	028	DHR	SS	100	75.32	n/a	n/a	0.00 0.0
AO,CXL	07/02	MCCARTAN (MCCARTAN)	028	DHR	SS	100	75.32	n/a	n/a	0.00 0.0
CRT	07/10	MKT MAKER (MKT MAKER)	099	FOSL	B	100	25.00	n/a	9-Jul	0.00 0.0
CRT	07/10	MKT MAKER (MKT MAKER)	099	FOSL	B	100	24.50	n/a	9-Jul	0.00 0.0
AO,CXL	07/02	FORWARD MGMT (FORWLGCPGW)	033	GRNB	B	1,200	14.40	n/a	n/a	60.00 5.0
AO,CRT	07/02	FORWARD MGMT (FORWSCVAFD)	033	GRNB	B	1,200	14.40	n/a	n/a	60.00 5.0
AO,CXL	07/02	FORWARD MGMT (FORWLGCPGW)	033	OSBC	B	1,600	11.97	n/a	n/a	80.00 5.0
AO,CRT	07/02	FORWARD MGMT (FORWSCVAFD)	033	OSBC	B	1,600	11.97	n/a	n/a	80.00 5.0
TOTAL (AO, CXL, CRT) :										1,000.00
TOTAL (Trade Date):										206,418.34
TOTAL (AO, CXL, CRT):										1,000.00
TOTAL (RUN DATE) / NFS:										207,418.34

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION