



# Daily Compliance Activity Report

Trade Date: 01/12/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AEO+QU[AEO]	SS	1,000	0.72	n/a	n/a	non-brg	100.0
f DAVID GEORGE BUCK	FID	ALV	B	1500	21.22	26-Nov	16-Dec	0.00	0.0
COLUMBIA PARTNERS	028	AMB	S	33,000	21.09	n/a	n/a	1,320.00	4.0
B BUCK CAPITAL MGMT		AVP+NW[AVP]	SS	1,000	0.48	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		AVP+PD[AVP]	SS	1,000	1.77	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	AVP	SS	30,000	22.23	3-Nov	9-Dec	1,200.00	4.0
B BUCK CAPITAL MGMT		BBY+NE[BBY]	SS	1,000	1.22	n/a	n/a	non-brg	300.0
HIGHBRIDGE/MS OLDS	041	BBY	SS	60,000	27.61	n/a	27-Jul	3,000.00	5.0
B BUCK CAPITAL MGMT		BKS+PC[BKS]	SS	1,000	1.46	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		BKS	SS	20,000	18.04	n/a	n/a	non-brg	4.0
f JOEL GIFFORD TISS	FID	BNI	B	250	69.67	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BRE	SS	10,000	25.03	n/a	n/a	non-brg	4.0
FIRST NY SEC/CHRIS	041	BWA	SS	20,000	21.96	16-Dec	12-Jan	1,000.00	5.0
HOLDEN CAPITAL MGMT	035	BWA	SS	20,000	22.23	16-Dec	12-Jan	1,000.00	5.0
MACKLOWE ASSET MGMT	041	CCL	S	30,000	23.14	n/a	26-Jan	1,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT	001	CMG	SS	50,000	54.07	23-Oct	8-Jan	2,000.00 4.0
f DAVID GEORGE BUCK	FID	COF	B	3000	28.97	n/a	9-Jan	0.00 0.0
ß BUCK CAPITAL MGMT		COH+NC[COH]	SS	1,000	0.75	n/a	n/a	non-brg 100.0
f JOHN MICHAEL ZOLIDIS	FID	COH	S	600	18.18	9-Jan	9-Jan	0.00 0.0
SIGMA CAPITAL ASSOCIATES	237	COLM	SS	25,000	32.61	n/a	n/a	1,250.00 5.0
ß BUCK CAPITAL MGMT		CPKI	SS	20,000	9.36	30-Oct	9-Jan	non-brg 3.0
ß BUCK CAPITAL MGMT		CPT	SS	10,000	28.14	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	CUZ	S	10,000	12.41	n/a	n/a	400.00 4.0
ß BUCK CAPITAL MGMT		CVS	B	20,000	25.13	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		DECK	S	30,000	64.10	n/a	n/a	non-brg 4.0
DIAMONDBACK CAP MGMT	232	DELL	SS	248,390	10.51	n/a	n/a	12,419.50 5.0
ß BUCK CAPITAL MGMT		DIS	SS	150,000	21.99	n/a	n/a	non-brg 4.0
THE BOSTON COMPANY	401	DIS	S	195,230	22.04	n/a	n/a	7,809.20 4.0
ß BUCK CAPITAL MGMT		DLTR	B	50,000	40.95	n/a	6-Jan	non-brg 2.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	600	40.91	n/a	6-Jan	24.00 4.0
SCOPUS FUND	041	DLTR	B	16,400	41.12	n/a	6-Jan	820.00 5.0
ß BUCK CAPITAL MGMT		DQO+BI[DLTR]	SS	1,000	1.10	n/a	n/a	non-brg 300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		DRE	SS	30,000	10.08	n/a	n/a	non-brg	4.0
PNC/MERCANTILE	028	DVN	S	19,180	63.24	n/a	7-Jan	959.00	5.0
BUCK CAPITAL MGMT	001	EAT	SS	50,000	10.00	n/a	24-Apr	2,000.00	4.0
BUCK CAPITAL MGMT	001	ELS	S	20,000	36.17	n/a	n/a	800.00	4.0
MACKLOWE ASSET MGMT	041	EL	SS	10,000	30.35	n/a	29-Oct	500.00	5.0
UBS OCONNOR	031	ESV	SS	25,000	27.40	n/a	n/a	750.00	3.0
CATAPULT	040	ES	B	25,000	5.96	n/a	n/a	1,000.00	4.0
CUMBERLAND	038	EXH	B	12,900	22.81	n/a	16-Dec	516.00	4.0
BUCK CAPITAL MGMT	001	EXR	S	10,000	9.15	n/a	n/a	400.00	4.0
BRIGADE CAPITAL MGMT	029	FCH	B	50,000	1.93	n/a	n/a	1,500.00	3.0
BALYASNY ASSET MGMT	044	FII	S	30,000	18.50	13-Nov	12-Jan	1,500.00	5.0
FIRST NY SEC/CHRIS	041	FMCN	B	10,000	8.13	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		FOSL	S	10,000	14.07	10-Nov	12-Dec	non-brg	1.0
BUCK CAPITAL MGMT	001	FRT	S	10,000	54.13	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		FUA+BC[FOSL]	SS	2,000	1.17	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		GES	<b>S</b>	30,000	15.24	n/a	n/a	non-brg	4.0
THE BOSTON COMPANY	401	GES	B	90,960	15.30	n/a	n/a	3,638.40	4.0
BRANDWINE	028	GE	B	96,400	15.90	n/a	n/a	3,856.00	4.0
BUCK CAPITAL MGMT	001	GIL	<b>B</b>	200,000	11.41	11-Dec	12-Dec	8,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		GIL	<b>B</b>	100,000	11.18	11-Dec	12-Dec	non-brg	4.0
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>GMOH</b>	<b>S</b>	100	1.53	n/a	n/a	0.00	0.0
BRANDWINE	028	HD	B	35,400	23.16	n/a	27-Jul	1,416.00	4.0
BRANDWINE	028	HIG	B	18,400	15.22	n/a	n/a	736.00	4.0
BUCK CAPITAL MGMT	001	HIW	<b>S</b>	10,000	21.58	n/a	n/a	400.00	4.0
BRANDWINE	028	HON	B	31,100	33.70	3-Oct	18-Nov	1,244.00	4.0
<b>B</b> BUCK CAPITAL MGMT		HOTT	<b>SS</b>	50,000	8.99	n/a	9-Jan	non-brg	3.0
KLEINHEINZ CAP PART	031	HRB	B	50,000	21.02	n/a	n/a	2,500.00	5.0
SANDLER O'NEILL ASSET	031	IVZ	B	25,000	13.13	9-Jan	12-Jan	1,250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	188,500	13.02	9-Jan	12-Jan	6,597.50	3.5
<b>B</b> BUCK CAPITAL MGMT		IYR	<b>SS</b>	20,000	33.44	n/a	n/a	non-brg	1.0
HUNTER GLOBAL INVESTOR	067	JPM	B	19,700	25.43	23-Dec	23-Dec	985.00	5.0
<b>Ö</b> Ted Wheeler		KEG	<b>B</b>	50	8.50	n/a	20-Nov	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KEVIN MICHAEL O'GORM	FID	LIZ	S	1000	3.02	n/a	12-Nov	0.00	0.0
f STRATTON PAR TNERSHI	FID	LRGIF	B	500	10.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LRY	S	10,000	19.91	n/a	n/a	400.00	4.0
MACKLOWE ASSET MGMT	041	MAR	B	15,000	19.10	n/a	n/a	750.00	5.0
DALTON GREINER	012	MLI	S	10,430	22.95	n/a	18-Nov	521.50	5.0
STRAUSS ASSET MGMT	040	MS	B	15,000	19.74	18-Dec	12-Jan	600.00	4.0
ß BUCK CAPITAL MGMT		MW+NV [MW]	SS	2,000	1.05	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		MW	SS	100,000	12.90	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	OFC	S	20,000	24.36	n/a	n/a	800.00	4.0
MATRIX ASSET ADV.	036	OMC	S	3,000	27.21	n/a	n/a	120.00	4.0
ß BUCK CAPITAL MGMT		O	SS	30,000	19.80	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PERY	S	5,000	4.52	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		PFCB	SS	40,000	19.82	8-Jan	8-Jan	non-brg	4.0
MAGNETAR FINANCIAL	031	PGN	S	44,100	38.21	n/a	n/a	1,764.00	4.0
BUCK CAPITAL MGMT	001	PKY	S	20,000	15.55	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		PVH+BD[PVH]	SS	2,000	0.60	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		QUK+BN[DECK]	SS	300	4.10	n/a	n/a	non-brg	300.0
f JOHN MICHAEL ZOLIDIS	FID	QUKAN	B	30	0.95	n/a	n/a	0.00	0.0
NWQ INVESTMENT MGMT CO	048	RDEN	B	14,100	12.21	7-Nov	7-Nov	423.00	3.0
⚡ BUCK CAPITAL MGMT		REG	SS	40,000	38.41	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	RJF	B	28,900	17.27	n/a	n/a	1,156.00	4.0
⚡ BUCK CAPITAL MGMT		RL+NG[RL]	SS	1,000	1.50	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		RL+PF[RL]	SS	1,000	1.65	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		RRGB	SS	20,000	14.58	n/a	n/a	non-brg	4.0
KLEINHEINZ CAP PART	031	SBH	SS	50,000	5.01	n/a	n/a	2,500.00	5.0
f MARC PAUL LUCHANSKY	FID	SDS	B	1000	72.80	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SDS	B	500	73.50	n/a	n/a	0.00	0.0
f NEIL M STRATTON	FID	SFL	B	100	11.75	n/a	n/a	0.00	0.0
HOLDEN CAPITAL MGMT	035	SFSF	B	40,000	5.69	n/a	n/a	2,000.00	5.0
⚡ BUCK CAPITAL MGMT		SKF	S	30,000	127.09	n/a	n/a	non-brg	1.0
f JOHN MICHAEL ZOLIDIS	FID	SKF	B	200	123.72	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SKX+BB[SKX]	SS	1,000	1.03	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		SONC	SS	30,000	10.63	n/a	n/a	non-brg	4.0
DAVID GEORGE BUCK	FID	SPG	B	1500	45.42	n/a	n/a	0.00	0.0
MATRIX ASSET ADV.	036	SPLS	S	3,000	18.51	n/a	27-Jul	90.00	3.0
BUCK CAPITAL MGMT		SSS	SS	30,000	27.31	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SUI	SS	10,000	12.75	n/a	n/a	non-brg	4.0
KEVIN MICHAEL O'GORM	FID	TBT	B	100	41.03	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		TGT	SS	50,000	34.86	17-Nov	9-Jan	non-brg	4.0
THIRD COAST CAPITAL	035	TIF	SS	8,000	22.21	n/a	12-Jan	400.00	5.0
BUCK CAPITAL MGMT		TPX+BS[TPX]	SS	1,000	0.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		TXRH	SS	30,000	7.78	n/a	n/a	non-brg	3.0
JOSEPH CIRO AMATURO	FID	UQM	B	10000	1.87	n/a	n/a	0.00	0.0
STEFFANIE CARMELA CA	FID	UQM	B	1000	1.78	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		URBN	B	100,000	14.79	5-Nov	9-Jan	non-brg	4.0
JOHN FRANCIS MCERLEA	FID	USO	B	1000	30.79	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	SS	20,000	50.60	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HUNTER GLOBAL INVESTOR	067	VLV	B	28,800	17.41	n/a	n/a	1,152.00	4.0
ß BUCK CAPITAL MGMT		VNO	SS	20,000	53.33	n/a	n/a	non-brg	4.0
REINHART & MAHONEY	031	WCRX	B	23,480	14.06	n/a	12-Jan	1,174.00	5.0
ß BUCK CAPITAL MGMT		WMT+BX[WMT]	SS	2,000	2.15	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		WMT	B	50,000	51.72	10-Oct	8-Jan	non-brg	4.0
WAGONHOUND/NIC	048	WMT	S	9,137	51.57	10-Oct	8-Jan	365.48	4.0
ß BUCK CAPITAL MGMT		WRC+BW[WRC]	SS	1,000	1.95	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		WRC+NC[WRC]	SS	1,000	0.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WRI	SS	10,000	17.55	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WSM+QA[WSM]	SS	2,000	0.45	n/a	n/a	non-brg	100.0
HIGHBRIDGE/MS OLDS	041	X	S	7,544	36.33	n/a	n/a	377.20	5.0
PRINCIPLE CAPITAL	040	YUM	SS	41,700	30.54	14-Aug	8-Jan	1,251.00	3.0
<b>TOTAL (Trade Date) :</b>								<b>92,634.78</b>	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	01/09	SANDLER O'NEILL ASSET	031	MS	S	75,000	19.82	18-Dec	12-Jan	3000.00 4.0
AO,CRT	01/09	SANDLER O'NEILL ASSET	031	MS	S	75,000	19.82	18-Dec	12-Jan	3750.00 5.0
AO,CRT	01/09	BALYASNY ASSET MGMT	044	PAG	SS	15,000	8.76	n/a	23-Dec	600.00 4.0
AO,CXL	01/09	BALYASNY ASSET MGMT	044	PAG	SS	15,000	8.76	n/a	23-Dec	750.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>600.00</b>

TOTAL (Trade Date) :	92,634.78
TOTAL (AO, CXL, CRT) :	600.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>93,234.78</b>
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MRI Securities with Trade Activity on 12-Jan. NONE
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MRI Securities without Trade Activity on 12-Jan. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 17% YTD = 25%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**

**f: Employee Trades (Fidelity)**