



Daily Compliance Activity Report

Trade Date: 05/29/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGAN BANK /JPM	0	AAPL	S	1,050	135.81	n/a	n/a	31.50	3.0
MORGAN BANK /JPM	0	ABC	S	369	37.10	n/a	n/a	11.07	3.0
MORGAN BANK /JPM	0	ABT	S	1,619	45.06	n/a	22-Apr	48.57	3.0
MORGAN BANK /JPM	0	ACS	S	27	44.83	n/a	n/a	0.81	3.0
MORGAN BANK /JPM	0	ADBE	S	316	28.18	n/a	n/a	9.48	3.0
MORGAN BANK /JPM	0	ADI	S	142	24.41	n/a	n/a	4.26	3.0
MORGAN BANK /JPM	0	ADM	S	1,553	27.52	5-May	27-May	46.59	3.0
MORGAN BANK /JPM	0	AEE	S	305	23.26	n/a	n/a	9.15	3.0
JP MORGAN VENTURES	046	AEO	S	40,000	14.63	20-Apr	28-May	2,000.00	5.0
MORGAN BANK /JPM	0	AEP	S	464	26.34	n/a	n/a	13.92	3.0
MORGAN BANK /JPM	0	AES	S	462	9.99	n/a	n/a	13.86	3.0
MORGAN BANK /JPM	0	AET	S	121	26.78	n/a	n/a	3.63	3.0
MORGAN BANK /JPM	0	AFL	S	223	35.50	n/a	n/a	6.69	3.0
MORGAN BANK /JPM	0	AIG	S	46	1.68	n/a	n/a	1.15	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGAN BANK /JPM	0	AIZ	S	364	23.63	n/a	n/a	10.92	3.0
MORGAN BANK /JPM	0	AKAM	S	4,067	22.26	n/a	n/a	122.01	3.0
MORGAN BANK /JPM	0	AKS	S	3,209	14.30	n/a	n/a	96.27	3.0
MORGAN BANK /JPM	0	ALL	S	668	25.73	n/a	n/a	20.04	3.0
MORGAN BANK /JPM	0	ALTR	S	762	17.02	n/a	n/a	22.86	3.0
MORGAN BANK /JPM	0	AMGN	S	1,192	49.94	n/a	26-Jan	35.76	3.0
MORGAN BANK /JPM	0	AMP	S	247	30.20	n/a	n/a	7.41	3.0
MORGAN BANK /JPM	0	AMZN	S	703	77.99	n/a	n/a	21.09	3.0
B BUCK CAPITAL MGMT		ANF	B	20,000	30.07	16-Apr	18-May	non-brg	4.0
MORGAN BANK /JPM	0	AOC	S	40	35.89	n/a	n/a	1.20	3.0
MORGAN BANK /JPM	0	APA	S	39	84.16	3-Feb	7-May	1.17	3.0
MORGAN BANK /JPM	0	APC	S	277	47.78	3-Feb	7-May	8.31	3.0
MORGAN BANK /JPM	0	APH	S	122	33.39	n/a	n/a	3.66	3.0
MORGAN BANK /JPM	0	APOL	S	200	59.10	n/a	n/a	6.00	3.0
B BUCK CAPITAL MGMT		ARO	B	20,000	34.22	n/a	22-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGAN BANK /JPM	0	ATI	S	133	35.41	n/a	n/a	3.99	3.0
MORGAN BANK /JPM	0	AVB	S	41	61.28	n/a	n/a	1.23	3.0
B BUCK CAPITAL MGMT		AVP	SS	50,000	26.08	25-Feb	6-May	non-brg	4.0
PLATTE RIVER CAPITAL	240	AVP	S	6,000	26.15	25-Feb	6-May	240.00	4.0
MORGAN BANK /JPM	0	AZO	S	58	151.97	n/a	n/a	1.74	3.0
MORGAN BANK /JPM	0	A	S	114	18.23	n/a	n/a	3.42	3.0
MORGAN BANK /JPM	0	BAC	S	5,431	11.27	11-May	27-May	162.93	3.0
MORGAN BANK /JPM	0	BAX	S	730	51.19	n/a	22-Apr	21.90	3.0
TROUBH PART	067	BA	S	35,000	44.54	n/a	n/a	1,750.00	5.0
MORGAN BANK /JPM	0	BBBY	S	66	28.02	n/a	n/a	1.98	3.0
MORGAN BANK /JPM	0	BBT	S	848	22.42	n/a	n/a	25.44	3.0
JP MORGAN VENTURES	046	BBY	SS	20,000	34.83	n/a	27-Jul	1,000.00	5.0
MORGAN BANK /JPM	0	BCR	S	152	71.49	n/a	22-Apr	4.56	3.0
MORGAN BANK /JPM	0	BDK	S	100	32.07	n/a	n/a	3.00	3.0
MORGAN BANK /JPM	0	BDX	S	317	67.68	n/a	22-Apr	9.51	3.0
B BUCK CAPITAL MGMT		BEBE	S	145,442	8.00	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGAN BANK /JPM	0	BEN	S	61	66.81	11-May	28-May	1.83	3.0
MORGAN BANK /JPM	0	BHI	S	155	39.06	n/a	n/a	4.65	3.0
B BUCK CAPITAL MGMT		BIG	S	20,000	22.24	28-May	28-May	non-brg	4.0
MORGAN BANK /JPM	0	BIIB	S	394	51.79	n/a	n/a	11.82	3.0
MORGAN BANK /JPM	0	BJS	S	6,093	15.64	n/a	n/a	182.79	3.0
B BUCK CAPITAL MGMT		BKC	C	100,000	16.24	16-Apr	29-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		BKC	C	100,000	16.39	16-Apr	29-Apr	non-brg	1.0
CIRCLE T/SES PART	035	BKC	SS	25,000	16.37	16-Apr	29-Apr	1,000.00	4.0
JP MORGAN VENTURES	046	BKC	B	30,800	16.43	16-Apr	29-Apr	1,540.00	5.0
MORGAN BANK /JPM	0	BK	S	1,346	27.78	11-May	19-May	40.38	3.0
MORGAN BANK /JPM	0	BMC	S	264	34.10	n/a	n/a	7.92	3.0
MORGAN BANK /JPM	0	BMS	S	214	25.09	n/a	n/a	6.42	3.0
MORGAN BANK /JPM	0	BMV	S	2,527	19.92	n/a	n/a	75.81	3.0
MORGAN BANK /JPM	0	BRCM	S	158	25.48	n/a	n/a	4.74	3.0
MORGAN BANK /JPM	0	BSX	S	1,007	9.40	n/a	22-Apr	30.21	3.0
MORGAN BANK /JPM	0	BTU	S	110	33.98	n/a	n/a	3.30	3.0
TRELLUS PARTNERS LP	028	BWA	SS	9,500	31.45	1-May	1-May	475.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGAN BANK /JPM	0	BXP	S	82	48.22	n/a	n/a	2.46	3.0
EGS ASSOCIATES LP	091	CAKE	B	3,000	16.63	29-May	29-May	180.00	6.0
MORGAN BANK /JPM	0	CAM	S	3,556	31.23	n/a	n/a	106.68	3.0
MORGAN BANK /JPM	0	CAT	S	349	35.46	n/a	22-Apr	10.47	3.0
MORGAN BANK /JPM	0	CA	S	1,128	17.45	n/a	n/a	33.84	3.0
MORGAN BANK /JPM	0	CBE	S	21	32.73	n/a	27-Apr	0.63	3.0
MORGAN BANK /JPM	0	CB	S	387	39.65	n/a	n/a	11.61	3.0
MORGAN BANK /JPM	0	CEG	S	584	27.28	n/a	n/a	17.52	3.0
MORGAN BANK /JPM	0	CEPH	S	1,545	58.31	21-May	26-May	46.35	3.0
MORGAN BANK /JPM	0	CF	S	30	77.68	n/a	n/a	0.90	3.0
MORGAN BANK /JPM	0	CHK	S	1,111	22.66	n/a	n/a	33.33	3.0
MORGAN BANK /JPM	0	CLX	S	183	52.44	19-May	19-May	5.49	3.0
MORGAN BANK /JPM	0	CL	S	462	65.95	16-Mar	14-May	13.86	3.0
MORGAN BANK /JPM	0	CMA	S	821	21.68	n/a	n/a	24.63	3.0
MORGAN BANK /JPM	0	CMCSA	S	2,907	13.77	n/a	4-Jan	87.21	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		CMG	C	10,000	78.90	14-May	14-May	non-brg	4.0
B BUCK CAPITAL MGMT		CMG	C	4,000	78.01	14-May	14-May	non-brg	1.0
MORGAN BANK /JPM	0	CMI	S	993	32.43	6-May	6-May	29.79	3.0
MORGAN BANK /JPM	0	CMS	S	811	11.34	n/a	n/a	24.33	3.0
MORGAN BANK /JPM	0	CNP	S	1,061	10.12	n/a	n/a	31.83	3.0
MORGAN BANK /JPM	0	CNX	S	158	41.16	n/a	n/a	4.74	3.0
MORGAN BANK /JPM	0	COF	S	274	24.44	15-May	27-May	8.22	3.0
MORGAN BANK /JPM	0	COH	S	150	26.27	9-Jan	22-Apr	4.50	3.0
PRINCIPLE CAPITAL	040	COH	B	70,400	25.96	9-Jan	22-Apr	2,112.00	3.0
MORGAN BANK /JPM	0	COP	S	1,829	45.84	n/a	n/a	54.87	3.0
MORGAN BANK /JPM	0	COV	S	287	35.72	n/a	n/a	8.61	3.0
EGS ASSOCIATES LP	091	CPKI	B	3,000	13.79	29-May	29-May	180.00	6.0
MORGAN BANK /JPM	0	CRM	S	49	37.93	n/a	n/a	1.47	3.0
MORGAN BANK /JPM	0	CSCO	S	5,929	18.50	n/a	22-Mar	177.87	3.0
MORGAN BANK /JPM	0	CSC	S	674	42.46	n/a	n/a	20.22	3.0
MORGAN BANK /JPM	0	CSX	S	233	31.76	n/a	n/a	6.99	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		CTAS	C	40,000	23.29	n/a	n/a	non-brg	4.0
MORGAN BANK /JPM	0	CTL	S	272	30.85	n/a	n/a	8.16	3.0
B BUCK CAPITAL MGMT		CVGI	B	50,000	1.17	n/a	n/a	non-brg	2.5
HOLLIS CAPITAL	033	CVS	S	5,000	29.60	n/a	n/a	250.00	5.0
MORGAN BANK /JPM	0	CVS	S	293	29.80	n/a	n/a	8.79	3.0
MORGAN BANK /JPM	0	CVX	S	1,963	66.67	n/a	n/a	58.89	3.0
GALT INVESTMENT	041	CWTR	B	50,000	3.76	n/a	n/a	2,500.00	5.0
MORGAN BANK /JPM	0	C	S	17,026	3.72	n/a	26-May	425.65	2.5
B BUCK CAPITAL MGMT		DAL	B	100,000	5.57	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		DBD	B	10,000	24.47	n/a	5-May	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	B	10,000	57.93	n/a	n/a	non-brg	4.0
MORGAN BANK /JPM	0	DELL	S	2,702	11.58	n/a	n/a	81.06	3.0
TRUST CO/COWEN/CALF.	040	DELL	B	100,625	11.55	n/a	n/a	4,025.00	4.0
BNP PARIBAS SEC	040	DE	B	12,000	43.16	26-Jan	20-May	480.00	4.0
MORGAN BANK /JPM	0	DE	S	4	43.34	26-Jan	20-May	0.12	3.0
MORGAN BANK /JPM	0	DF	S	445	18.80	n/a	n/a	13.35	3.0
MORGAN BANK /JPM	0	DGX	S	229	52.22	n/a	n/a	6.87	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	DHI	S	271	9.21	n/a	n/a	8.13	3.0
MORGAN BANK /JPM	0	DIS	S	673	24.22	n/a	n/a	20.19	3.0
MORGAN BANK /JPM	0	DNB	S	29	81.54	n/a	n/a	0.87	3.0
MORGAN BANK /JPM	0	DNR	S	131	17.19	n/a	n/a	3.93	3.0
MORGAN BANK /JPM	0	DOW	S	3,627	17.68	n/a	6-May	108.81	3.0
MORGAN BANK /JPM	0	DO	S	45	83.75	n/a	n/a	1.35	3.0
f JONATHAN RICHARD HAR	FID	DPTR	B	500	2.16	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	0	DRI	S	708	36.17	19-Mar	29-May	21.24	3.0
MORGAN BANK /JPM	0	DTV	S	737	22.50	n/a	4-Jan	22.11	3.0
MORGAN BANK /JPM	0	DUK	S	1,015	14.15	n/a	n/a	30.45	3.0
MORGAN BANK /JPM	0	DVA	S	60	45.06	n/a	n/a	1.80	3.0
MORGAN BANK /JPM	0	DVN	S	310	63.24	6-May	6-May	9.30	3.0
MORGAN BANK /JPM	0	D	S	750	31.79	n/a	n/a	22.50	3.0
MORGAN BANK /JPM	0	ECL	S	74	37.27	28-Apr	22-May	2.22	3.0
f JOSEPH CIRO AMATURO	FID	ED	B	1000	35.15	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	EFX	S	225	27.22	n/a	n/a	6.75	3.0
MORGAN BANK /JPM	0	EIX	S	140	29.24	n/a	29-Jun	4.20	3.0
MORGAN BANK /JPM	0	EMC	S	178	11.75	n/a	n/a	5.34	3.0
MORGAN BANK /JPM	0	EMN	S	149	41.44	n/a	n/a	4.47	3.0
MORGAN BANK /JPM	0	EOG	S	196	73.19	n/a	n/a	5.88	3.0
MORGAN BANK /JPM	0	EP	S	2,198	9.75	n/a	n/a	65.94	3.0
MORGAN BANK /JPM	0	EQR	S	208	24.34	n/a	n/a	6.24	3.0
MORGAN BANK /JPM	0	EQ	S	100	42.02	n/a	n/a	3.00	3.0
MORGAN BANK /JPM	0	ESRX	S	322	64.05	n/a	n/a	9.66	3.0
MORGAN BANK /JPM	0	ESV	S	833	38.89	n/a	n/a	24.99	3.0
MORGAN BANK /JPM	0	ETN	S	63	43.49	27-May	27-May	1.89	3.0
MORGAN BANK /JPM	0	ETR	S	135	74.62	n/a	n/a	4.05	3.0
MORGAN BANK /JPM	0	EXC	S	79	47.90	n/a	n/a	2.37	3.0
MORGAN BANK /JPM	0	FDO	S	810	30.27	5-Mar	18-May	24.30	3.0
MORGAN BANK /JPM	0	FDX	S	26	55.32	n/a	n/a	0.78	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	FE	S	588	37.79	n/a	n/a	17.64	3.0
MORGAN BANK /JPM	0	FISV	S	75	42.24	n/a	n/a	2.25	3.0
MORGAN BANK /JPM	0	FIS	S	544	19.26	n/a	n/a	16.32	3.0
MORGAN BANK /JPM	0	FLR	S	795	46.98	n/a	n/a	23.85	3.0
MORGAN BANK /JPM	0	FLS	S	31	73.49	n/a	n/a	0.93	3.0
f DANIEL R SCHWARZWALD	FID	FNKXX	B	120119	1.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOR	B	11,946	11.93	12-Feb	8-May	non-brg	1.0
B BUCK CAPITAL MGMT		FOSL	B	20,000	22.18	n/a	30-Jan	non-brg	4.0
MORGAN BANK /JPM	0	FO	S	94	34.98	n/a	n/a	2.82	3.0
MORGAN BANK /JPM	0	FPL	S	330	56.13	n/a	n/a	9.90	3.0
MORGAN BANK /JPM	0	FRX	S	600	23.69	22-Apr	26-May	18.00	3.0
FIRST NEW YORK LEDDY	035	F	B	50,000	5.60	n/a	12-May	3,000.00	6.0
f KAREN F LEDDY	FID	F	B	2000	5.63	n/a	12-May	0.00	0.0
MORGAN BANK /JPM	0	GCI	S	439	4.77	n/a	n/a	10.98	2.5
BUCK CAPITAL MGMT	001	GCO	S	10,000	25.09	n/a	10-Jan	400.00	4.0
MORGAN BANK /JPM	0	GD	S	814	56.90	n/a	n/a	24.42	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	GENZ	S	146	59.14	n/a	n/a	4.38	3.0
BUCK CAPITAL MGMT	001	GES	B	30,000	25.49	n/a	n/a	1,200.00	4.0
MORGAN BANK /JPM	0	GE	S	11,190	13.48	n/a	n/a	335.70	3.0
THIRD POINT MGMT	044	GE	B	98,700	13.28	n/a	n/a	2,961.00	3.0
TRUST CO/COWEN/CALF.	040	GE	B	151,300	13.28	n/a	n/a	4,539.00	3.0
f JOHN BARTMAN COLE	FID	GGWPQ	B	500	2.14	n/a	n/a	0.00	0.0
f NEIL M STRATTON	FID	GGWPQ	B	300	2.05	n/a	n/a	0.00	0.0
f STRATTON PAR TNERSHI	FID	GGWPQ	B	200	2.05	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	0	GILD	S	1,152	43.10	n/a	n/a	34.56	3.0
MORGAN BANK /JPM	0	GIS	S	524	51.18	n/a	n/a	15.72	3.0
f JOHN MICHAEL ZOLIDIS	FID	GLD	S	100	95.84	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	GLD	S	300	95.81	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	0	GME	S	395	24.95	n/a	n/a	11.85	3.0
MORGAN BANK /JPM	0	GNW	S	1,564	5.92	n/a	n/a	46.92	3.0
MORGAN BANK /JPM	0	GOOG	S	261	417.23	n/a	n/a	7.83	3.0
MORGAN BANK /JPM	0	GR	S	181	48.54	30-Mar	29-Apr	5.43	3.0
f ROBERT M DANIELS	FID	GSI	B	300	5.35	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	GSSB	B	15	1.39	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	GS	S	283	144.57	11-May	19-May	8.49	3.0
MORGAN BANK /JPM	0	GT	S	676	11.45	n/a	n/a	20.28	3.0
MORGAN BANK /JPM	0	HAL	S	1,054	22.93	n/a	n/a	31.62	3.0
MORGAN BANK /JPM	0	HAR	S	455	18.64	12-Mar	1-May	13.65	3.0
B BUCK CAPITAL MGMT		HAS	SS	50,000	24.61	n/a	n/a	non-brg	4.0
MORGAN BANK /JPM	0	HCBK	S	736	12.83	n/a	n/a	22.08	3.0
MORGAN BANK /JPM	0	HCN	S	63	34.24	n/a	n/a	1.89	3.0
MORGAN BANK /JPM	0	HCP	S	129	23.23	n/a	n/a	3.87	3.0
B BUCK CAPITAL MGMT		HD	C	100,000	22.85	12-May	20-May	non-brg	4.0
MORGAN BANK /JPM	0	HD	S	2,072	23.16	12-May	20-May	62.16	3.0
MORGAN BANK /JPM	0	HNZ	S	412	36.58	n/a	n/a	12.36	3.0
B BUCK CAPITAL MGMT		HOG	SS	25,000	16.69	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HOG	SS	25,000	16.70	n/a	n/a	non-brg	2.5
MORGAN BANK /JPM	0	HON	S	915	33.16	n/a	24-Apr	27.45	3.0
B BUCK CAPITAL MGMT		HOTT	C	50,000	7.11	n/a	30-Jan	non-brg	2.5
MORGAN BANK /JPM	0	HPQ	S	2,141	34.35	n/a	n/a	64.23	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	HRL	S	69	34.72	n/a	n/a	2.07	3.0
MORGAN BANK /JPM	0	HRS	S	287	31.08	6-May	12-May	8.61	3.0
MORGAN BANK /JPM	0	HST	S	358	9.38	n/a	n/a	10.74	3.0
MORGAN BANK /JPM	0	HSY	S	62	35.15	n/a	n/a	1.86	3.0
MORGAN BANK /JPM	0	HUM	S	416	31.33	n/a	n/a	12.48	3.0
MORGAN BANK /JPM	0	IBM	S	1,567	106.28	n/a	n/a	47.01	3.0
B BUCK CAPITAL MGMT		ICON	B	10,000	15.88	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		IDXX	SS	11,100	41.72	n/a	22-Apr	non-brg	1.0
B BUCK CAPITAL MGMT		IDXX	SS	31,100	41.79	n/a	22-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		IDXX	SS	7,800	41.85	n/a	22-Apr	non-brg	2.0
B BUCK CAPITAL MGMT		IGT	B	150,000	16.79	n/a	27-Apr	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	INTC	B	2000	15.54	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	0	INTC	S	5,685	15.72	n/a	n/a	170.55	3.0
MORGAN BANK /JPM	0	IPG	S	1,548	5.24	n/a	n/a	46.44	3.0
MORGAN BANK /JPM	0	IP	S	573	14.37	n/a	1-May	17.19	3.0
MORGAN BANK /JPM	0	ITT	S	197	41.18	n/a	27-Apr	5.91	3.0
MORGAN BANK /JPM	0	IVZ	S	272	15.65	11-May	28-May	8.16	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROARING BLUE LION MGMT	012	IVZ	S	10,500	15.26	11-May	28-May	420.00	4.0
TYEE/TYGH	035	IVZ	B	30,284	15.00	11-May	28-May	1,211.36	4.0
⚡ BUCK CAPITAL MGMT		JAS	S	1,688	21.63	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		JAS	S	10,000	21.48	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		JAS	S	100,000	21.53	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		JAS	S	61,582	21.49	n/a	n/a	non-brg	1.0
MORGAN BANK /JPM	0	JAVA	S	554	9.00	n/a	n/a	16.62	3.0
⚡ BUCK CAPITAL MGMT		JBLU	B	50,000	4.47	n/a	n/a	non-brg	2.0
MORGAN BANK /JPM	0	JBL	S	1,738	7.83	n/a	n/a	52.14	3.0
⚡ JOHN MICHAEL ZOLIDIS	FID	JCGIE	S	10	2.75	n/a	n/a	0.00	0.0
⚡ JOHN MICHAEL ZOLIDIS	FID	JCGIF	S	15	1.70	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		JCG	B	50,000	24.56	n/a	30-Jan	non-brg	4.0
AVESTA CAP	229	JCI	B	25,000	19.63	22-Apr	22-Apr	1,000.00	4.0
MORGAN BANK /JPM	0	JCI	S	813	19.93	22-Apr	22-Apr	24.39	3.0
MORGAN BANK /JPM	0	JCP	S	315	26.09	13-Apr	18-May	9.45	3.0
MORGAN BANK /JPM	0	JNJ	S	2,734	55.16	n/a	22-Apr	82.02	3.0
MORGAN BANK /JPM	0	JNPR	S	778	24.73	n/a	22-Mar	23.34	3.0
MORGAN BANK /JPM	0	JNY	S	491	9.10	n/a	30-Apr	14.73	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BNP PARIBAS SEC	040	JOYG	SS	16,000	34.58	26-Jan	5-Mar	640.00	4.0
MORGAN BANK /JPM	0	KFT	S	1,429	26.11	n/a	n/a	42.87	3.0
MORGAN BANK /JPM	0	KIM	S	242	11.69	n/a	n/a	7.26	3.0
MORGAN BANK /JPM	0	KMB	S	615	51.89	23-Apr	27-Apr	18.45	3.0
MORGAN BANK /JPM	0	KO	S	1,677	49.16	n/a	n/a	50.31	3.0
MORGAN BANK /JPM	0	KR	S	946	22.80	n/a	n/a	28.38	3.0
BMO NESBITT BURNS	041	KSS	B	25,000	42.10	8-May	15-May	1,250.00	5.0
B BUCK CAPITAL MGMT		KSS	B	20,000	41.61	8-May	15-May	non-brg	4.0
MORGAN BANK /JPM	0	KSS	S	177	42.47	8-May	15-May	5.31	3.0
SCHOTTENFELD ASSOC	041	KSS	B	20,000	42.07	8-May	15-May	600.00	3.0
MORGAN BANK /JPM	0	LH	S	7	60.94	n/a	n/a	0.21	3.0
MORGAN BANK /JPM	0	LIFE	S	3,836	38.78	n/a	n/a	115.08	3.0
MORGAN BANK /JPM	0	LLL	S	417	73.51	n/a	n/a	12.51	3.0
MORGAN BANK /JPM	0	LLTC	S	184	23.41	n/a	n/a	5.52	3.0
MORGAN BANK /JPM	0	LLY	S	1,312	34.57	n/a	n/a	39.36	3.0
MORGAN BANK /JPM	0	LMT	S	258	38.99	n/a	n/a	7.74	3.0
MORGAN BANK /JPM	0	LNC	S	1,014	18.95	n/a	n/a	30.42	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOHN BARTMAN COLE	FID	LNET	S	200	5.87	n/a	n/a	0.00	0.0
	MORGAN BANK /JPM	0	LOW	S	1,328	19.01	12-May	18-May	39.84	3.0
	MORGAN BANK /JPM	0	LTD	S	1,032	12.51	n/a	30-Jan	30.96	3.0
	MORGAN BANK /JPM	0	LUK	S	27	20.84	n/a	n/a	0.81	3.0
f	ANTHONY NG	FID	LVLTT	B	1000	1.05	n/a	4-Jan	0.00	0.0
B	BUCK CAPITAL MGMT		LVS	B	50,000	10.00	n/a	n/a	non-brg	3.0
	MORGAN BANK /JPM	0	LXK	S	617	16.34	n/a	n/a	18.51	3.0
	MORGAN BANK /JPM	0	L	S	362	27.05	n/a	n/a	10.86	3.0
	MORGAN BANK /JPM	0	MAR	S	391	23.36	n/a	n/a	11.73	3.0
	MORGAN BANK /JPM	0	MAS	S	1,067	10.36	n/a	n/a	32.01	3.0
	MORGAN BANK /JPM	0	MA	S	66	175.51	n/a	27-May	1.98	3.0
	MORGAN BANK /JPM	0	MCD	S	1,173	58.99	n/a	8-May	35.19	3.0
	MORGAN BANK /JPM	0	MCK	S	517	41.15	n/a	n/a	15.51	3.0
	MORGAN BANK /JPM	0	MDP	S	127	26.96	n/a	n/a	3.81	3.0
	MORGAN BANK /JPM	0	MDT	S	1,107	34.35	n/a	22-Apr	33.21	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	MHP	S	81	29.96	n/a	n/a	2.43	3.0
MORGAN BANK /JPM	0	MMC	S	659	18.92	n/a	n/a	19.77	3.0
MORGAN BANK /JPM	0	MMM	S	79	57.01	24-Apr	24-Apr	2.37	3.0
MORGAN BANK /JPM	0	MON	S	262	82.15	n/a	27-May	7.86	3.0
MORGAN BANK /JPM	0	MO	S	3,498	17.09	n/a	n/a	104.94	3.0
MORGAN BANK /JPM	0	MRK	S	1,367	27.58	n/a	n/a	41.01	3.0
MORGAN BANK /JPM	0	MRO	S	73	31.87	n/a	n/a	2.19	3.0
MORGAN BANK /JPM	0	MSFT	S	8,963	20.89	n/a	3-Apr	268.89	3.0
MORGAN BANK /JPM	0	MS	S	1,743	30.32	11-May	19-May	52.29	3.0
PLATTE RIVER CAPITAL	240	MTG	B	10,700	4.13	n/a	n/a	321.00	3.0
MORGAN BANK /JPM	0	MUR	S	264	59.01	n/a	n/a	7.92	3.0
B BUCK CAPITAL MGMT		MVRX	SS	30,000	123.44	n/a	n/a	non-brg	12.3
MORGAN BANK /JPM	0	MWV	S	251	15.97	28-Jan	30-Apr	7.53	3.0
ROSEDALE CAPITAL	046	MYL	S	20,000	13.17	n/a	26-May	1,000.00	5.0
MORGAN BANK /JPM	0	M	S	703	11.68	n/a	14-May	21.09	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	NBL	S	147	59.48	n/a	n/a	4.41	3.0
MORGAN BANK /JPM	0	NBR	S	39	17.80	n/a	n/a	1.17	3.0
MORGAN BANK /JPM	0	NIHD	S	366	20.46	n/a	n/a	10.98	3.0
MORGAN BANK /JPM	0	NI	S	988	10.69	n/a	n/a	29.64	3.0
MORGAN BANK /JPM	0	NOC	S	903	47.62	n/a	n/a	27.09	3.0
MORGAN BANK /JPM	0	NOVL	S	1,102	4.16	n/a	n/a	27.55	2.5
MORGAN BANK /JPM	0	NOV	S	562	38.62	n/a	n/a	16.86	3.0
f ROBERT M DANIELS	FID	NP	B	200	8.21	12-May	12-May	0.00	0.0
MORGAN BANK /JPM	0	NSC	S	129	37.20	n/a	n/a	3.87	3.0
MORGAN BANK /JPM	0	NSM	S	425	13.88	n/a	n/a	12.75	3.0
MORGAN BANK /JPM	0	NTAP	S	68	19.44	n/a	n/a	2.04	3.0
MORGAN BANK /JPM	0	NTRS	S	139	57.65	22-Apr	19-May	4.17	3.0
MORGAN BANK /JPM	0	NUE	S	393	43.91	n/a	n/a	11.79	3.0
MORGAN BANK /JPM	0	NVLS	S	384	17.93	n/a	n/a	11.52	3.0
MORGAN BANK /JPM	0	NWSA	S	5,073	9.78	n/a	n/a	152.19	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGAN BANK /JPM	0	NYX	S	641	30.00	n/a	n/a	19.23	3.0
MORGAN BANK /JPM	0	OI	S	558	28.63	n/a	n/a	16.74	3.0
MORGAN BANK /JPM	0	OMC	S	429	30.50	n/a	n/a	12.87	3.0
MORGAN BANK /JPM	0	ORCL	S	4,741	19.59	n/a	n/a	142.23	3.0
MORGAN BANK /JPM	0	ORLY	S	85	35.90	n/a	n/a	2.55	3.0
MORGAN BANK /JPM	0	OXY	S	988	67.11	n/a	n/a	29.64	3.0
MORGAN BANK /JPM	0	PBCT	S	233	15.80	n/a	n/a	6.99	3.0
MORGAN BANK /JPM	0	PBG	S	801	32.86	n/a	n/a	24.03	3.0
MORGAN BANK /JPM	0	PCL	S	106	34.65	n/a	29-Apr	3.18	3.0
MORGAN BANK /JPM	0	PEG	S	504	31.87	n/a	n/a	15.12	3.0
B BUCK CAPITAL MGMT		PERY	S	16,975	8.38	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		PERY	S	38,357	8.37	n/a	n/a	non-brg	1.0
MORGAN BANK /JPM	0	PFE	S	8,091	15.19	n/a	31-Mar	242.73	3.0
MORGAN BANK /JPM	0	PGR	S	390	16.13	n/a	n/a	11.70	3.0
MORGAN BANK /JPM	0	PG	S	3,961	51.94	12-Mar	4-May	118.83	3.0
MORGAN BANK /JPM	0	PH	S	65	42.16	n/a	17-Apr	1.95	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	PLL	S	166	25.68	n/a	n/a	4.98	3.0
MORGAN BANK /JPM	0	PM	S	1,670	42.64	n/a	n/a	50.10	3.0
MORGAN BANK /JPM	0	PNC	S	352	45.55	n/a	n/a	10.56	3.0
WASHINGTON ASSET	048	PNRA	B	5,000	53.43	n/a	29-Apr	250.00	5.0
MORGAN BANK /JPM	0	PNW	S	181	27.65	n/a	n/a	5.43	3.0
MORGAN BANK /JPM	0	POM	S	787	12.98	n/a	n/a	23.61	3.0
MORGAN BANK /JPM	0	PPG	S	231	44.47	n/a	17-Apr	6.93	3.0
MORGAN BANK /JPM	0	PPL	S	650	32.46	n/a	n/a	19.50	3.0
MORGAN BANK /JPM	0	PSA	S	103	66.61	n/a	n/a	3.09	3.0
MORGAN BANK /JPM	0	PXD	S	31	27.99	n/a	5-May	0.93	3.0
MORGAN BANK /JPM	0	QCOM	S	1,355	43.59	n/a	n/a	40.65	3.0
MORGAN BANK /JPM	0	QLGC	S	279	13.65	n/a	n/a	8.37	3.0
MORGAN BANK /JPM	0	Q	S	2,397	4.36	n/a	n/a	59.93	2.5
f JONATHAN RICHARD HAR	FID	RAD	B	2800	1.21	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	0	RAI	S	307	39.97	n/a	n/a	9.21	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	RF	S	2,442	4.19	n/a	n/a	61.05	2.5
MORGAN BANK /JPM	0	RHI	S	280	21.39	n/a	n/a	8.40	3.0
BUCK CAPITAL MGMT	001	RL	B	20,000	53.38	n/a	28-May	800.00	4.0
MORGAN BANK /JPM	0	RL	S	638	53.82	n/a	28-May	19.14	3.0
MORGAN BANK /JPM	0	ROK	S	206	30.69	n/a	n/a	6.18	3.0
MORGAN BANK /JPM	0	RRD	S	1,080	13.48	n/a	n/a	32.40	3.0
MORGAN BANK /JPM	0	RTN	S	521	44.65	n/a	n/a	15.63	3.0
MORGAN BANK /JPM	0	RX	S	359	12.04	n/a	n/a	10.77	3.0
MORGAN BANK /JPM	0	R	S	62	28.14	n/a	n/a	1.86	3.0
MORGAN BANK /JPM	0	SCHW	S	1,477	17.60	n/a	n/a	44.31	3.0
MORGAN BANK /JPM	0	SEE	S	652	20.01	n/a	n/a	19.56	3.0
MORGAN BANK /JPM	0	SE	S	394	16.05	n/a	n/a	11.82	3.0
f NEIL M STRATTON	FID	SFLWB	S	3	1.00	n/a	n/a	0.00	0.0
f STRATTON PAR TNERSHI	FID	SFLWB	S	2	1.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SGMS	SS	50,000	17.50	n/a	n/a	non-brg	2.5
BARROW HANLEY MEWHINNEY	098	SGP	S	69,500	24.34	n/a	n/a	2,780.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	SGP	S	1,539	24.40	n/a	n/a	46.17	3.0
COBALT	041	SGY	SS	100,000	8.38	n/a	n/a	5,000.00	5.0
MORGAN BANK /JPM	0	SHLD	S	104	56.85	n/a	n/a	3.12	3.0
MORGAN BANK /JPM	0	SHW	S	594	52.80	17-Apr	17-Apr	17.82	3.0
MORGAN BANK /JPM	0	SIAL	S	192	48.46	13-May	13-May	5.76	3.0
MORGAN BANK /JPM	0	SLB	S	638	57.23	n/a	n/a	19.14	3.0
MORGAN BANK /JPM	0	SLE	S	1,410	8.99	n/a	n/a	42.30	3.0
MORGAN BANK /JPM	0	SLM	S	1,447	6.61	23-Apr	27-May	43.41	3.0
f JOSEPH CIRO AMATURO	FID	SLV	B	5000	15.25	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	SLW	B	10000	10.45	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	0	SNA	S	90	31.03	n/a	n/a	2.70	3.0
MORGAN BANK /JPM	0	SNI	S	153	27.74	n/a	n/a	4.59	3.0
f DAVID GEORGE BUCK	FID	SPG	S	1000	51.51	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	0	SPG	S	205	53.47	n/a	n/a	6.15	3.0
MORGAN BANK /JPM	0	SRE	S	126	45.68	n/a	n/a	3.78	3.0
f DAVID GEORGE BUCK	FID	STI	S	1500	13.02	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	STI	S	1,604	13.17	n/a	n/a	48.12	3.0
MORGAN BANK /JPM	0	STJ	S	196	39.02	n/a	n/a	5.88	3.0
MORGAN BANK /JPM	0	STR	S	260	33.89	n/a	29-Apr	7.80	3.0
MORGAN BANK /JPM	0	STT	S	572	46.45	n/a	n/a	17.16	3.0
MORGAN BANK /JPM	0	STZ	S	585	11.56	n/a	n/a	17.55	3.0
MORGAN BANK /JPM	0	SUN	S	204	30.43	n/a	n/a	6.12	3.0
MORGAN BANK /JPM	0	SVU	S	599	16.60	n/a	n/a	17.97	3.0
MORGAN BANK /JPM	0	SWK	S	152	35.70	n/a	n/a	4.56	3.0
MORGAN BANK /JPM	0	SWN	S	1,042	43.47	27-Feb	28-Apr	31.26	3.0
MORGAN BANK /JPM	0	SWY	S	580	20.26	n/a	n/a	17.40	3.0
MORGAN BANK /JPM	0	SYK	S	860	38.44	n/a	n/a	25.80	3.0
MORGAN BANK /JPM	0	SYMC	S	2,039	15.59	n/a	3-Apr	61.17	3.0
MORGAN BANK /JPM	0	SYY	S	767	23.96	n/a	24-Apr	23.01	3.0
MORGAN BANK /JPM	0	S	S	5,148	5.15	n/a	n/a	154.44	3.0
MORGAN BANK /JPM	0	TDC	S	382	21.60	n/a	n/a	11.46	3.0
PORTER-ORLIN-FELDMAN	044	TDW	SS	25,000	47.50	n/a	n/a	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	TEL	S	37	17.33	n/a	n/a	1.11	3.0
ß BUCK CAPITAL MGMT		TEX	B	10,000	13.71	13-Feb	28-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		TEX	B	10,000	13.76	13-Feb	28-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		TEX	B	10,000	13.84	13-Feb	28-Apr	non-brg	2.5
ß BUCK CAPITAL MGMT		TEX	B	20,000	13.00	13-Feb	28-Apr	non-brg	0.0
ß BUCK CAPITAL MGMT		TEX	B	45,000	14.07	13-Feb	28-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		TGT	B	60,000	39.38	25-Feb	20-May	non-brg	4.0
MORGAN BANK /JPM	0	TGT	S	51	39.29	25-Feb	20-May	1.53	3.0
BALYASNY ASSET MGMT	044	TJX	S	25,000	28.67	4-May	20-May	1,250.00	5.0
MORGAN BANK /JPM	0	TJX	S	636	29.51	4-May	20-May	19.08	3.0
MORGAN BANK /JPM	0	TLAB	S	1,939	5.55	n/a	22-Mar	58.17	3.0
MORGAN BANK /JPM	0	TROW	S	333	40.57	n/a	19-May	9.99	3.0
MORGAN BANK /JPM	0	TRV	S	729	40.66	n/a	n/a	21.87	3.0
MORGAN BANK /JPM	0	TSO	S	667	16.94	n/a	n/a	20.01	3.0
MORGAN BANK /JPM	0	TWC	S	342	30.79	n/a	4-Jan	10.26	3.0
MORGAN BANK /JPM	0	TWX	S	1,717	23.42	n/a	n/a	51.51	3.0
MORGAN BANK /JPM	0	TXN	S	1,140	19.40	n/a	n/a	34.20	3.0
MORGAN BANK /JPM	0	TXT	S	355	11.50	n/a	n/a	10.65	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGAN BANK /JPM	0	T	S	3,019	24.79	n/a	4-Jan	90.57	3.0
B BUCK CAPITAL MGMT		UAUA	B	20,000	4.58	n/a	n/a	non-brg	3.0
MORGAN BANK /JPM	0	UNM	S	759	17.11	n/a	n/a	22.77	3.0
MORGAN BANK /JPM	0	UNP	S	653	49.27	n/a	n/a	19.59	3.0
MORGAN BANK /JPM	0	UPS	S	1,117	51.14	n/a	n/a	33.51	3.0
B BUCK CAPITAL MGMT		URBN	B	10,000	20.22	n/a	30-Jan	non-brg	4.0
MORGAN BANK /JPM	0	USB	S	4,523	19.20	n/a	n/a	135.69	3.0
MORGAN BANK /JPM	0	UTX	S	985	52.61	n/a	n/a	29.55	3.0
MORGAN BANK /JPM	0	VAR	S	400	35.76	n/a	n/a	12.00	3.0
MORGAN BANK /JPM	0	VLO	S	1,621	22.37	n/a	n/a	48.63	3.0
MORGAN BANK /JPM	0	VNO	S	94	46.46	n/a	n/a	2.82	3.0
MORGAN BANK /JPM	0	VRSN	S	1,203	23.41	n/a	n/a	36.09	3.0
MORGAN BANK /JPM	0	VTR	S	90	30.25	n/a	n/a	2.70	3.0
BARROW HANLEY MEWHINNEY	098	VZ	S	188,000	28.82	n/a	4-Jan	7,520.00	4.0
MORGAN BANK /JPM	0	VZ	S	1,419	29.26	n/a	4-Jan	42.57	3.0
MORGAN BANK /JPM	0	WAG	S	412	29.79	n/a	n/a	12.36	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	WAT	S	173	43.32	n/a	n/a	5.19	3.0
MORGAN BANK /JPM	0	WBC	S	2	16.98	5-Feb	27-Apr	0.06	3.0
MORGAN BANK /JPM	0	WEC	S	67	39.38	n/a	n/a	2.01	3.0
MORGAN BANK /JPM	0	WFC	S	5,106	25.50	n/a	n/a	153.18	3.0
PENNANT CAP MGMT	029	WLT	S	80,000	32.68	n/a	n/a	2,400.00	3.0
MORGAN BANK /JPM	0	WMB	S	733	16.78	n/a	n/a	21.99	3.0
MORGAN BANK /JPM	0	WMT	S	2,677	49.74	11-May	14-May	80.31	3.0
MORGAN BANK /JPM	0	WPI	S	164	30.25	n/a	26-May	4.92	3.0
B BUCK CAPITAL MGMT		WRC	B	30,000	31.13	13-May	13-May	non-brg	4.0
B BUCK CAPITAL MGMT		WRC	B	5,000	31.35	13-May	13-May	non-brg	1.0
MORGAN BANK /JPM	0	WU	S	999	17.63	n/a	n/a	29.97	3.0
MORGAN BANK /JPM	0	WYE	S	1,361	44.86	n/a	31-Mar	40.83	3.0
MORGAN BANK /JPM	0	WYN	S	914	11.79	n/a	n/a	27.42	3.0
MORGAN BANK /JPM	0	XLNX	S	222	20.74	n/a	n/a	6.66	3.0
MORGAN BANK /JPM	0	XOM	S	2,398	69.35	n/a	n/a	71.94	3.0
B BUCK CAPITAL MGMT		XRAY	SS	50,000	28.89	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	0	XTO	S	193	42.77	n/a	n/a	5.79	3.0
MORGAN BANK /JPM	0	X	S	1,238	34.08	n/a	n/a	37.14	3.0
TOTAL (Trade Date):								66,330.31	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/28	HEALTHCOR MGMT	038	AGN	B	100,000	43.39	24-Mar	27-May	5000.00 5.0
AO,CRT	05/28	HEALTHCOR MGMT	038	AGN	B	100,000	43.39	24-Mar	27-May	5000.00 5.0
AO,CRT	05/28	CIRCLE T/SES PART	035	BKC	SS	25,000	16.31	16-Apr	29-Apr	1000.00 4.0
AO,CXL	05/28	CIRCLE T/SES PART	035	BKC	SS	25,000	16.31	16-Apr	29-Apr	1250.00 5.0
AO,CRT	05/28	CR INTRINIC INVESTMENT	044	PFE	S	39,000	14.63	n/a	31-Mar	1560.00 4.0
AO,CXL	05/28	CR INTRINIC INVESTMENT	044	PFE	S	39,000	14.63	n/a	31-Mar	1950.00 5.0
AO,CXL	05/28	MILLRACE FUND LP	028	PRX	S	27,000	13.17	6-May	26-May	810.00 3.0
AO,CRT	05/28	MILLRACE FUND LP	028	PRX	S	27,000	13.17	6-May	26-May	1080.00 4.0

TOTAL (AO, CXL, CRT) :	-370.00
-------------------------------	----------------

TOTAL (Trade Date) :	66,330.31
----------------------	-----------

TOTAL (AO, CXL, CRT) :	-370.00
------------------------	---------

TOTAL (RUN DATE) / NFS:	65,960.31
--------------------------------	------------------

MRI Securities with Trade Activity on 29-May. CAKE, CPKI
--

MRI Securities without Trade Activity on 29-May.

ACV, PFCB

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 4%

YTD = 21%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)