

Daily Compliance Activity Report Trade Date: 12/13/2006

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	s Price	MRI	Research	Comm.	\$/Shr.
PATTEN & PATTEN	067	ACL	В	100	114.87	25-Jul	11-Dec	5.00	5.0
FRIESS MGMT	042	AGR	S	70,400	19.34	n/a	n/a	3,168.00	4.5
CENTURION PARTNERS	249	AMR	В	20,000	32.81	n/a	n/a	1,000.00	5.0
ROBERTSON STEVENS BC	033	ARO	В	54,300	31.00	23-0ct	1-Dec	2,172.00	4.0
SANDLER O'NEILL ASSET	031	BEN	S	5,000	113.15	9-Nov	12-Dec	250.00	5.0
CENTURION PARTNERS	249	BONT	В	5,000	35.87	23-0ct	1-Dec	250.00	5.0
RIDGECRST PARTNERS	035	BONT	В	2,500	35.60	23-Oct	1-Dec	125.00	5.0
LEDDY	035	BSC	В	150	156.14	6-Dec	12-Dec	0.00	0.0
WHEELER	008	BTN	В	4,900	4.70	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	BYD	В	10,000	46.79	12-Dec	12-Dec	600.00	6.0
WHEELER	008	CASM	s	8,124	8.25	n/a	n/a	0.00	0.0
SAILFISH	037	CAT	В	10,000	62.48	n/a	n/a	500.00	5.0
DSG CAPITAL	225	CC	В	20,000	23.37	n/a	8-Dec	1,000.00	5.0
TRELLUS PARTNERS LP	028	CC	В	100,000	22.98	n/a	8-Dec	5,000.00	5.0
OGORMAN	146	CC	В	400	22.99	n/a	8-Dec	0.00	0.0
EMP ERROR	099	CHS	S	1,000	21.77	29-Nov	8-Dec	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	;/Shr.
	ASCEND PARTNERS	033	СОН	В	22,000	42.30	7-Dec	7-Dec	1,100.00	5.0
	BUCK CAPITAL MGMT	001	СОН	В	40,000	42.67	7-Dec	7-Dec	1,600.00	4.0
	ROTHSCHILD ASSET	040	CPF	В	5,100	37.42	n/a	n/a	229.50	4.5
	BUCK CAPITAL MGMT	001	CRI	В	10,000	26.84	n/a	n/a	400.00	4.0
	GENERAL ELECTIC CO	033	CSCO	S	84,297	27.14	n/a	22-Mar	3,793.37	4.5
	OGORMAN	146	СҮН	S	300	35.65	26-0ct	1-Dec	0.00	0.0
	SAC DOUG RODGERS	040	С	SS	100,000	52.42	n/a	12-Dec	5,000.00	5.0
	SAILFISH	037	DIGE	SS	10,000	47.22	n/a	n/a	500.00	5.0
	GRANAHAN INV	039	DLTR	S	38,500	30.04	21-Nov	1-Dec	1,540.00	4.0
	PATTEN & PATTEN	067	D	S	100	82.31	n/a	n/a	5.00	5.0
	LEEDS	002	EDGR	В	5,000	3.23	n/a	n/a	0.00	0.0
	SUTERA	160	EFT	В	8,200	18.49	n/a	n/a	0.00	0.0
õ	Henry Ngan		ENT	s	2200	8.15	n/a	n/a	0.00	0.0
	DISIPLINED GROWTH INV.	030	EPIX	S	5,500	7.05	n/a	n/a	275.00	5.0
	PATTEN & PATTEN	067	EPP	В	100	125.76	n/a	n/a	5.00	5.0
	MAGNETAR FINANCIAL	247	EVC	S	25,000	7.85	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
PATTEN & PATTEN	067	EWJ	В	1,000	14.04	n/a	n/a	50.00	5.0
MAZAMA CAPITAL MGMT.	035	EW	В	17,450	47.57	n/a	n/a	698.00	4.0
FRIESS MGMT	042	GRP	В	98,400	43.69	n/a	n/a	4,428.00	4.5
HUNTER GLOBAL INVESTOR	067	GT	S	ss 125,000	18.02	n/a	n/a	5,000.00	4.0
COUGAR TRADING	044	GYMB	S	ss 5,000	40.28	1-Dec	1-Dec	250.00	5.0
FIRST NEW YORK LEDDY	035	GYMB	S	SS 10,000	39.60	1-Dec	1-Dec	600.00	6.0
LEVIN CAPITAL STRATEGIES	012	GYMB	S	5,000	39.98	1-Dec	1-Dec	150.00	3.0
LEDDY	035	HLYS	В	500	33.30	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	HST	S	500	24.52	n/a	n/a	25.00	5.0
J L F ASSET MGMT	039	IBM	S	25,900	94.77	n/a	n/a	1,036.00	4.0
SCHEINER	037	ILA	В	3,000	4.66	n/a	n/a	0.00	0.0
RIVER RUN MGMT	031	ITCD	В	51,400	2.61	n/a	n/a	1,542.00	3.0
WHITE PINE CAPITAL	030	JBX	S	SS 500	60.01	12-Dec	12-Dec	25.00	5.0
SUNOVA CAPITAL	031	JNS	В	50,000	21.13	1-Dec	12-Dec	2,500.00	5.0
SPRINGBOC	039	JNY	S	ss 2,100	33.25	n/a	26-0ct	105.00	5.0
MAGUIRE	090	KOG	В	6,100	4.34	n/a	n/a	0.00	0.0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.	
WHITE PINE CAPITAL	030	KSWS	С	500	32.11	n/a	7-Dec	25.00	5.0	
SSI INVESTORS	048	LNCR	В	42,316	38.61	2-Nov	8-Dec	1,692.64	4.0	
LEVIN CAPITAL STRATEGIES	012	LPX	SS	16,000	21.65	14-Sep	25-Oct	640.00	4.0	
BUCK CAPITAL MGMT	001	LTD	В	20,000	30.88	1-Dec	1-Dec	800.00	4.0	
WHITE PINE CAPITAL	030	LXK	С	300	72.34	n/a	n/a	15.00	5.0	
HIGHBRIDGE CAPITAL	038	MBI	SS	30,215	72.01	n/a	n/a	1,510.75	5.0	
PATTEN & PATTEN SHAREVEST CAPITAL MGMT.	067	MDT	S	300 12.100	54.09	n/a	22-Apr	15.00 605.00	5.0 5.0	
	241	MW	S			n/a				
CROWLEY	062	MZT	В	3,000	0.77	n/a	n/a	0.00	0.0	
PATTEN & PATTEN	067	NEM	В	500	47.10	n/a	n/a	25.00	5.0	
PATTEN & PATTEN	067	NOK	S	400	20.38	n/a	n/a	20.00	5.0	
EMINENCE CAPITAL	038	NTGR	В	38,000	26.42	n/a	n/a	1,900.00	5.0	
KEEL CAPITAL	036	NWSA	В	30,000	21.27	n/a	n/a	1,500.00	5.0	
PATTEN & PATTEN	067	PBE	В	1,000	18.31	n/a	n/a	50.00	5.0	
GALLEON MGMT LP	033	PDS	SS	1,300	25.02	n/a	n/a	65.00	5.0	
	WHITE PINE CAPITAL SSI INVESTORS LEVIN CAPITAL STRATEGIES BUCK CAPITAL MGMT WHITE PINE CAPITAL HIGHBRIDGE CAPITAL PATTEN & PATTEN SHAREVEST CAPITAL MGMT. CAPITAL GROWTH MGMT. CROWLEY PATTEN & PATTEN PATTEN & PATTEN EMINENCE CAPITAL KEEL CAPITAL PATTEN & PATTEN	WHITE PINE CAPITAL 030 SSI INVESTORS 048 LEVIN CAPITAL STRATEGIES 012 BUCK CAPITAL MGMT 001 WHITE PINE CAPITAL 030 HIGHBRIDGE CAPITAL 038 PATTEN & PATTEN 067 SHAREVEST CAPITAL MGMT. 038 CAPITAL GROWTH MGMT. 241 CROWLEY 062 PATTEN & PATTEN 067 PATTEN & PATTEN 067 EMINENCE CAPITAL 038 KEEL CAPITAL 036 PATTEN & PATTEN 067	WHITE PINE CAPITAL 030 KSWS SSI INVESTORS 048 LNCR LEVIN CAPITAL STRATEGIES 012 LPX BUCK CAPITAL MGMT 001 LTD WHITE PINE CAPITAL 030 LXK HIGHBRIDGE CAPITAL 038 MBI PATTEN & PATTEN 067 MDT SHAREVEST CAPITAL MGMT. 038 MDT CAPITAL GROWTH MGMT. 241 MW CROWLEY 062 MZT PATTEN & PATTEN 067 NEM PATTEN & PATTEN 067 NOK EMINENCE CAPITAL 038 NTGR KEEL CAPITAL 036 NWSA PATTEN & PATTEN 067 PBE	WHITE PINE CAPITAL 030 KSWS C SSI INVESTORS 048 LNCR B LEVIN CAPITAL STRATEGIES 012 LPX SS BUCK CAPITAL MGMT 001 LTD B WHITE PINE CAPITAL 030 LXK C HIGHBRIDGE CAPITAL 038 MBI SS PATTEN & PATTEN 067 MDT S SHAREVEST CAPITAL MGMT. 038 MDT S CAPITAL GROWTH MGMT. 241 MW S CROWLEY 062 MZT B PATTEN & PATTEN 067 NDM B PATTEN & PATTEN 067 NOK S EMINENCE CAPITAL 038 NTGR B KEEL CAPITAL 036 NWSA B PATTEN & PATTEN 067 PBE B	WHITE PINE CAPITAL 030 KSWS C 500 SSI INVESTORS 048 LNCR B 42,316 LEVIN CAPITAL STRATEGIES 012 LPX SS 16,000 BUCK CAPITAL MGMT 001 LTD B 20,000 WHITE PINE CAPITAL 030 LXK C 300 HIGHBRIDGE CAPITAL 038 MBI SS 30,215 PATTEN & PATTEN 067 MDT S 300 SHAREVEST CAPITAL MGMT. 038 MDT S 12,100 CAPITAL GROWTH MGMT. 241 MW S 40,000 CROWLEY 062 MZT B 3,000 PATTEN & PATTEN 067 NOK S 400 PATTEN & PATTEN 067 NOK S 400 EMINENCE CAPITAL 038 NTGR B 38,000 KEEL CAPITAL 036 NWSA B 30,000 PATTEN & PATTEN 067 PBE B 1,000	WHITE PINE CAPITAL 030 KSWS C 500 32.11 SSI INVESTORS 048 LNCR B 42,316 38.61 LEVIN CAPITAL STRATEGIES 012 LPX SS 16,000 21.65 BUCK CAPITAL MGMT 001 LTD B 20,000 30.88 WHITE PINE CAPITAL 030 LXK C 300 72.34 HIGHBRIDGE CAPITAL 038 MBI SS 30,215 72.01 PATTEN & PATTEN 067 MDT S 300 54.09 SHAREVEST CAPITAL MGMT. 038 MDT S 12,100 54.09 CAPITAL GROWTH MGMT. 241 MW S 40,000 38.10 CROWLEY 062 MZT B 3,000 0.77 PATTEN & PATTEN 067 NEM B 500 47.10 PATTEN & PATTEN 067 NOK S 400 20.38 EMINENCE CAPITAL 038 NTGR B 38,000 26.42 KEEL CAPITAL 036 NWSA B 30,000 21.27 PATTEN & PATTEN 067 PBE B 1,000 18.31	WHITE PINE CAPITAL 030 KSWS C 500 32.11 n/a SSI INVESTORS 048 LNCR B 42,316 38.61 2-Nov LEVIN CAPITAL STRATEGIES 012 LPX SS 16,000 21.65 14-Sep BUCK CAPITAL MGMT 001 LTD B 20,000 30.88 1-Dec WHITE PINE CAPITAL 030 LXK C 300 72.34 n/a HIGHBRIDGE CAPITAL 038 MBI SS 30,215 72.01 n/a PATTEN & PATTEN 067 MDT S 30,005 54.09 n/a SHAREVEST CAPITAL MGMT. 038 MDT S 12,100 54.09 n/a CAPITAL GROWTH MGMT. 241 MW S 40,000 38.10 n/a CAROMLEY 062 MZT B 3,000 0.77 n/a PATTEN & PATTEN 067 NEM B 500 47.10 n/a PATTEN & PATTEN 067 NOK S 400 20.38 n/a EMINENCE CAPITAL 038 NIGR B 38,000 26.42 n/a EMINENCE CAPITAL 036 NWSA B 30,000 21.27 n/a PATTEN & PATTEN 067 PBE B 1,000 18.31 n/a	WHITE PINE CAPITAL 030 KSWS C 500 32.11 n/a 7-Dec SSI INVESTORS 048 LNCR B 42,316 38.61 2-Nov 8-Dec LEVIN CAPITAL STRATEGIES 012 LPX SS 16,000 21.65 14-Sep 25-Oct BUCK CAPITAL MGMT 001 LTD B 20,000 30.88 1-Dec 1-Dec WHITE PINE CAPITAL 030 LXK C 300 72.34 n/a n/a HIGHBRIDGE CAPITAL 038 MBI SS 30,215 72.01 n/a n/a PATTEN & PATTEN 067 MDT S 300 54.09 n/a 22-Apr SHAREVEST CAPITAL MGMT. 038 MDT S 12,100 54.09 n/a 22-Apr CAPITAL GROWTH MGMT. 241 MW S 40,000 38.10 n/a n/a PATTEN & PATTEN 067 NEM B 500 47.10 n/a n/a PATTEN & PATTEN 067 NOK S 400 20.38 n/a n/a EMINENCE CAPITAL 038 NTGR B 38,000 26.42 n/a n/a KEEL CAPITAL 036 NWSA B 30,000 21.27 n/a n/a PATTEN & PATTEN 067 PBE B 1,000 18.31 n/a n/a	WHITE PINE CAPITAL 030 KSWS C 500 32.11 n/a 7-Dec 25.00 SSI INVESTORS 048 LNCR B 42,316 38.61 2-Nov 8-Dec 1,692.64 LEVIN CAPITAL STRATEGIES 012 LPX SS 16,000 21.65 14-Sep 25-Oct 640.00 BUCK CAPITAL MGMT 001 LTD B 20,000 30.88 1-Dec 1-Dec 800.00 WHITE PINE CAPITAL 030 LXK C 300 72.34 n/a n/a 15.00 HIGHBRIDGE CAPITAL 038 MBI SS 30,215 72.01 n/a n/a 1,510.75 PATTEN & PATTEN 667 MDT S 300 54.09 n/a 22-Apr 605.00 CAPITAL GROWTH MCMT. 038 MDT S 12,100 54.09 n/a 22-Apr 605.00 CAPITAL GROWTH MCMT. 241 MW S 40,000 38.10 n/a n/a 2,000.00 CROWLEY 062 MZT B 3,000 0.77 n/a n/a 2.000.00 PATTEN & PATTEN 067 NOK S 400 20.38 n/a n/a 25.00 PATTEN & PATTEN 067 NOK S 38,000 21.27 n/a n/a 1,900.00 EMINENCE CAPITAL 036 NWSA B 30,000 21.27 n/a n/a 1,500.00 PATTEN & PATTEN 067 PBE B 1,000 18.31 n/a n/a 50.00	WHITE PINE CAPITAL 030 KSWS C 500 32.11 n/a 7-Dec 25.00 5.0 SSI INVESTORS 048 LNCR B 42,316 38.61 2-Nov 8-Dec 1,692.64 4.0 LEVIN CAPITAL STRATEGIES 012 LPX SS 16,000 21.65 14-Sep 25-Oct 640.00 4.0 BUCK CAPITAL MGMT 001 LTD B 20,000 30.88 1-Dec 1-Dec 800.00 4.0 WHITE PINE CAPITAL 030 LXK C 300 72.34 n/a n/a n/a 15.00 5.0 HIGHBRIDGE CAPITAL 038 MBI SS 30,215 72.01 n/a n/a 22-Apr 15.00 5.0 PATIEN & PATIEN 067 MDT S 300 54.09 n/a 22-Apr 15.00 5.0 SHAREVEST CAPITAL MGMT. 038 MDT S 12,100 54.09 n/a 22-Apr 605.00 5.0 CAPITAL GROWTH MGMT. 241 MW S 40,000 38.10 n/a n/a 2,000.00 5.0 CROWLEY 062 MET B 3,000 0.77 n/a n/a n/a 25.00 5.0 PATIEN & PATIEN 067 NOK S 400 20.38 n/a n/a 25.00 5.0 EMINENCE CAPITAL 038 NTGR B 39,000 26.42 n/a n/a 1,900.00 5.0 KEEL CAPITAL 036 NWSA B 30,000 21.27 n/a n/a 1,500.00 5.0 PATIEN & PATIEN 067 NWSA B 30,000 21.27 n/a n/a 1,500.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
WHEELER	008	PFE	В	3,000	25.34	n/a	31-Mar	0.00	0.0
PATTEN & PATTEN	067	PHO	В	500	18.58	n/a	n/a	25.00	5.0
BUCK CAPITAL MGMT	001	PVH	В	15,000	50.66	22-Nov	22-Nov	600.00	4.0
WHEELER	008	PXPL	S	3,650	2.07	n/a	n/a	0.00	0.0
BANK OF NEW YORK	040	PX	S	4,150	62.19	n/a	25-Oct	186.75	4.5
BUCK CAPITAL MGMT	001	RL	В	35,000	78.60	7-Dec	7-Dec	1,400.00	4.0
EMINENCE CAPITAL	038	ROST	S	45,000	30.62	n/a	n/a	2,250.00	5.0
EMINENCE CAPITAL	038	RTSX	SS	50,000	32.31	n/a	n/a	2,500.00	5.0
SCHWARZWAL	N18	RYJUX	s	1,000	19.32	n/a	n/a	0.00	0.0
CAMBIAR CAP	046	SKS	В	65,200	17.59	n/a	n/a	2,608.00	4.0
MFP INVESTORS	032	SKS	S	10,000	17.70	n/a	n/a	500.00	5.0
RAMIUS CAP	040	TIN	В	30,000	44.07	n/a	27-Oct	1,500.00	5.0
BUCK CAPITAL MGMT	001	TLB	В	10,000	25.01	18-Oct	16-Nov	400.00	4.0
LOOMIS SALES/BOSTON	036	TPX	SS	210,000	20.84	n/a	n/a	10,500.00	5.0
WHEELER	800	TRPS	S	3,310	0.71	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TWB	В	40,000	42.83	5-Sep	8-Dec	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
SAC TRADING HOWARD	038	TWB	S	25,000	43.18	5-Sep	8-Dec	1,250.00	5.0
PORTER-ORLIN-FELDMAN	044	URI	SS	25,000	26.05	n/a	n/a	1,250.00	5.0
LINCOLN FUNDS	031	VZ	S	4,000	35.83	7-Dec	11-Dec	160.00	4.0
CENTURION PARTNERS	249	WCI	В	30,000	17.88	n/a	n/a	1,500.00	5.0
DOUBLE V/PBX	044	WEBM	В	12,500	7.12	n/a	3-Apr	625.00	5.0
MKT MAKER	099	WEBM	В	92	7.12	n/a	3-Apr	0.00	0.0
CAPITAL GROWTH MGMT.	241	WLL	В	15,000	49.09	n/a	n/a	750.00	5.0
OSS CAPITAL/KEVIN DANN	067	WU	В	50,000	22.69	n/a	n/a	2,500.00	5.0
PATTEN & PATTEN	067	WWY	S	500	52.92	n/a	n/a	25.00	5.0
John Marrin		WXCP	s	500	9.80	n/a	n/a	0.00	0.0
TROUBH PART	067	ХОНО	В	25,000	4.38	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	ZQK	В	20,000	14.26	8-Sep	7-Dec	800.00	4.0
BUCK CAPITAL MGMT	001	ZQK	S	96,800	13.95	8-Sep	7-Dec	3,872.00	4.0
ROXBURY CAPITAL MGMT	035	ZQK	S	500,000	14.20	8-Sep	7-Dec	20,000.00	4.0

TOTAL (Trade Date): 114,842.01

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/	s	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO, CXL	12/12	SUTERA (SUTERA)	160	CHS	С		1,000	21.69	29-Nov	8-Dec	0.00	0.0
AO, CRT	12/12	EMP ERROR (EMP ERROR)	097	CHS	С		1,000	21.69	29-Nov	8-Dec	0.00	0.0
AO, CXL	12/12	LOOMIS SALES/BOSTON (LOOMJ47547)	098	FD		s	200	38.28	9-Nov	5-Dec	10.00	5.0
AO, CXL	12/12	LOOMIS SALES/BOSTON (LOOMJ49741)	098	FD		S	150	38.28	9-Nov	5-Dec	7.50	5.0
AO,	12/12	WEISS PECK (PECKMS)	040	FDC		S	6,100	25.16	n/a	n/a	244.00	4.0
AO,	12/12	WEISS PECK (PECKARBBS)	040	FDC		S	3,900	25.16	n/a	n/a	156.00	4.0
AO,	12/12	LOOMIS SALES/BOSTON (LOOMTBW001)	036	GIL	В		21,950	49.78	n/a	n/a	1097.50	5.0
AO,	12/12	LOOMIS SALES/BOSTON (LOOMTBW002)	036	GIL	В		10,050	49.78	n/a	n/a	502.50	5.0
AO,	12/12	LOOMIS SALES/BOSTON (LOOMTBW003)	036	GIL	В		17,125	49.78	n/a	n/a	856.25	5.0
AO,	12/12	LOOMIS SALES/BOSTON (LOOMTBW004)	036	GIL	В		6,825	49.78	n/a	n/a	341.25	5.0
AO,	12/12	LOOMIS SALES/BOSTON (LOOMTBW100)	036	GIL	В		2,350	49.78	n/a	n/a	117.50	5.0
AO,	12/12	LOOMIS SALES/BOSTON (LOOMTBW200)	036	GIL	В		2,150	49.78	n/a	n/a	107.50	5.0
AO,CXL	12/11	FRONTPOINT (FROPCSFB)	044	NBL		SS	67,000	52.15	n/a	n/a	3350.00	5.0
AO,	12/11	FRONTPOINT (FROPCSFB)	044	NBL		SS	67 , 000	52.15	n/a	n/a	0.00	0.0
AO,CXL	12/11	FRONTPOINT (FROPMORGAN)	044	NBL		SS	100,000	52.15	n/a	n/a	5000.00	5.0
AO,	12/11	FRONTPOINT (FROPMORGAN)	044	NBL		SS	100,000	52.15	n/a	n/a	0.00	0.0
AO,CXL	12/08	SCUDDER HEDGE (SCUFDEUTSC)	034	NEM	В		30,000	46.83	n/a	n/a	1500.00	5.0
AO,	12/08	SCUDDER HEDGE (SCUFDEUTSC)	034	NEM	В		30,000	46.83	n/a	n/a	1200.00	4.0
AO,	12/12	EMINENCE CAPITAL (EMINGOLDMA)	038	NTGR	В		26,500	26.02	n/a	n/a	1325.00	5.0
AO,CXL	12/12	EMINENCE CAPITAL (EMINGOLDMA)	038	NTGR		S	26,500	26.02	n/a	n/a	1325.00	5.0

TOTAL (AO, CXL, CRT): -5,245.00

TOTAL (RUN DATE) / NFS:	109,597.01
TOTAL (AO, CXL, CRT):	-5,245.00
TOTAL (Trade Date):	114,842.01

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION