



RR Commissions Summary [Ian Miller]

Trade Date: 06/25/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	06/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BTIG/KDI ADV	025	0	0	0	0	12,500	25,000	25,000	12,500	25,000	25,000	50,000	50
CHARTERBRIDGE CAPITAL	025	0	10,448	10,448	10,448	0	0	0	10,448	10,448	10,448	43,000	24
CONIFER	025	0	0	0	0	0	0	0	0	0	0	6,928	0
CONIFER/ISF MANAGEMENT	025	0	0	0	0	0	4,000	4,000	0	4,000	4,000	13,840	29
CYRUS CAPITAL	025	0	3,360	25,097	83,648	0	0	0	3,360	25,097	83,648	36,516	229
EMINENCE CAPITAL	025	0	0	0	0	0	10,000	25,000	0	10,000	25,000	80,000	31
FRANKLIN ADVISORY SERVIC	025	0	0	0	0	2,787	2,787	7,787	2,787	2,787	7,787	20,000	39
G WEISS /IAN	025	0	0	0	0	0	0	0	0	0	0	5,040	0
GABELLI FUNDS	025	0	1,692	8,788	8,788	0	0	0	1,692	8,788	8,788	0	0
HOPRITE MGMT	025	0	4,000	11,610	26,009	0	0	0	4,000	11,610	26,009	59,717	44
KOLVEN CAPITAL	025	0	42	102	291	0	0	0	42	102	291	30	970
MASTERS CAPITAL MANAGE	025	0	6,000	28,897	34,897	0	0	0	6,000	28,897	34,897	48,495	72
NEWLAND CAPITAL MGT	025	0	2,705	6,553	12,991	0	0	0	2,705	6,553	12,991	68,617	19
PALISADE CAPITAL MANAGEMENT LLC	025	0	8,904	12,744	16,942	0	0	0	8,904	12,744	16,942	0	0
PATTEN & PATTEN	025	397	1,609	2,381	5,488	0	0	0	1,609	2,381	5,488	56,434	10
PAW PARTNERS	025	0	0	2,500	2,500	0	0	0	0	2,500	2,500	2,875	87
RIVERPARK CAPITAL	025	0	966	2,996	7,131	0	0	0	966	2,996	7,131	9,371	76
SAGE ASSET MGMT	025	0	1,727	8,723	18,995	0	0	0	1,727	8,723	18,995	31,923	60
SCOPIA CAPITAL	025	0	0	9,065	12,065	0	0	0	0	9,065	12,065	0	0
SEVEN LOCKS CAPITAL	025	0	0	3,000	4,000	0	0	0	0	3,000	4,000	11,607	34
SHIKIAR ASSET	025	0	0	0	0	0	0	0	0	0	0	3,100	0
SONICA CAPITAL LLC	025	0	3,000	5,115	5,115	0	0	0	3,000	5,115	5,115	4,539	113
SOUTH SHORE CAPITAL	025	0	0	0	0	0	0	500	0	0	500	850	59
TOTALS:		397	44,454	138,019	249,308	15,287	41,787	62,287	59,741	179,806	311,595		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	06/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	0	19,722	36,779	71,640	0	0	0	19,722	36,779	71,640	103,730	0
FIR TREE CAPITAL	270	0	0	0	0	0	9,000	9,000	0	9,000	9,000	6,500	138
GEORGE WEISS	278	0	300	8,765	24,693	15,000	58,000	60,000	15,300	66,765	84,693	0	0
TOTALS:		0	20,022	45,544	96,333	15,000	67,000	69,000	35,022	112,544	165,333		