



Daily Compliance Activity Report

Trade Date: 06/27/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	ABV	S	700	63.52	n/a	n/a	0.00	0.0
PLATTE RIVER CAPITAL	240	ABX	B	3,000	45.17	n/a	n/a	120.00	4.0
COUGAR TRADING	044	AEO	B	8,000	13.76	6-Mar	6-Jun	400.00	5.0
OGORMAN	046	AEO	B	1,000	13.65	6-Mar	6-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	B	10,000	64.28	10-Apr	6-Jun	400.00	4.0
COUGAR TRADING	044	ANF	B	15,000	64.16	10-Apr	6-Jun	750.00	5.0
COUGAR TRADING	044	ANF	S	15,000	63.26	10-Apr	6-Jun	750.00	5.0
OGORMAN	046	ANN	C	500	24.60	14-Mar	22-May	0.00	0.0
COUGAR TRADING	044	ARO	B	10,000	31.99	28-Apr	6-Jun	500.00	5.0
BARR	096	BAA	B	2,500	7.00	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	BAC	SS	121,000	24.68	n/a	16-Jun	6,050.00	5.0
MS PRINCIPAL STRATEGIES	041	BBT	B	25,000	23.65	n/a	n/a	1,250.00	5.0
BUCK	015	BBY	C	1,000	39.61	n/a	27-Jul	0.00	0.0
HUNTER GLOBAL INVESTOR	067	BLK	B	5,500	180.80	n/a	23-Jun	275.00	5.0
BUCK	015	CAL	C	1,500	10.65	n/a	n/a	0.00	0.0
MFP INVESTORS	032	CBT	B	10,000	25.35	n/a	23-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	CFR	B	33,100	51.69	n/a	n/a	1,489.50	4.5
MORGENS WATERFALL	040	CHK	B	40,000	64.00	n/a	n/a	1,200.00	3.0
BUCK	015	CHTT	SS	1,500	67.80	2-Jun	24-Jun	0.00	0.0
LUCHANISKY	036	CLHB	S	200	73.02	n/a	n/a	0.00	0.0
SINCLAIR	031	CMO	B	660	11.00	n/a	n/a	0.00	0.0
BUCK	015	CPD	S	1,500	13.05	n/a	n/a	0.00	0.0
HIGHBRIDGE/MS OLDS	041	CVC	B	50,000	22.64	n/a	4-Jan	2,000.00	4.0
BUCK	015	DVNGF	B	7	0.55	n/a	n/a	0.00	0.0
CAXTON PART	040	EMC	S	376,357	14.72	n/a	n/a	13,172.51	3.5
RHO CAPITAL MGMT	041	ENER	S	1,300	74.27	n/a	n/a	39.00	3.0
JMP ASSET MGMT	210	EPIQ	S	12,000	14.35	n/a	n/a	600.00	5.0
SINCUTMA	031	EXH	B	30	71.22	n/a	18-Jun	0.00	0.0
SINCUTMA	031	FCEL	B	330	7.33	n/a	n/a	0.00	0.0
CASTLE ARK	031	FDS	SS	1,040	57.33	n/a	n/a	62.40	6.0
ZOLIDIS	011	FINL	C	1,000	8.27	28-Mar	28-Mar	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OGORMAN	046	FINL	SS	2,000	8.68	28-Mar	28-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	FL	S	20,000	12.52	2-Apr	23-May	800.00	4.0
N STRATTON	014	FNDT	S	175	14.00	n/a	n/a	0.00	0.0
BARR	096	FNM	SS	800	20.42	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	FOSL	B	3,000	29.68	n/a	14-May	180.00	6.0
CHILTON CAPITAL MGMT.	036	GE	SS	100,000	26.27	n/a	n/a	4,000.00	4.0
BUCK	015	GIS	B	1,000	60.30	n/a	n/a	0.00	0.0
GAULT	063	GMO	B	6,000	8.12	n/a	n/a	0.00	0.0
WAGONHOUND/NIC	048	GM	C	20,790	11.59	24-Jun	24-Jun	831.60	4.0
HOLDEN CAPITAL MGMT	035	GNTX	SS	30,000	15.04	24-Jun	24-Jun	1,500.00	5.0
RAMIUS CAP	040	GNTX	SS	142,400	15.08	24-Jun	24-Jun	7,120.00	5.0
BUCK	015	GOPSW	B	2	27.00	n/a	n/a	0.00	0.0
N STRATTON	014	HIBB	C	200	21.15	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	HURN	C	25,170	44.49	n/a	n/a	1,006.80	4.0
FRONTPOINT CHRIS C	041	ITW	B	6,300	46.41	20-May	23-May	252.00	4.0
SANDLER O'NEILL ASSET	031	IVZ	S	16,500	24.64	10-Apr	23-Jun	825.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	JCG	SS	30,000	32.52	n/a	30-May	1,500.00	5.0
FRIESS MGMT	033	JCG	B	147,100	32.84	n/a	30-May	5,884.00	4.0
BUCK	015	JCP	C	1,500	36.09	n/a	6-Jun	0.00	0.0
COUGAR TRADING	044	JWN	SS	5,000	31.24	16-May	6-Jun	250.00	5.0
PERRY PARTNERS	041	KO	B	95,000	52.38	n/a	n/a	4,750.00	5.0
BUCK	015	K	S	1,000	48.39	n/a	n/a	0.00	0.0
FORD FDN.	032	LEH	S	50,000	22.54	9-Jun	17-Jun	2,500.00	5.0
KINGDON ASSET MGMT	041	LLTC	C	150,000	32.24	n/a	n/a	6,000.00	4.0
COUGAR TRADING	044	LTD	S	20,000	16.47	n/a	6-Jun	1,000.00	5.0
HUNTER GLOBAL INVESTOR	067	MA	S	18,400	271.02	n/a	n/a	920.00	5.0
BARR	096	MENT	B	2,000	15.59	n/a	n/a	0.00	0.0
BUCK	015	MER	C	1,500	32.53	24-Jun	24-Jun	0.00	0.0
MENCKE	055	MOO	S	20	61.45	n/a	n/a	0.00	0.0
RHO CAPITAL MGMT	041	MRVL	S	2,700	17.24	n/a	n/a	81.00	3.0
BUCK	015	MS	SS	2,000	36.90	n/a	19-Jun	0.00	0.0
CR INTRINIC INVESTMENT	044	MYL	B	92,300	12.08	30-May	27-Jun	4,615.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	MYL	B	88	12.08	30-May	27-Jun	0.00	0.0
LISTED ERR	099	MYL	S	88	12.19	30-May	27-Jun	0.00	0.0
BUCK	015	M	S	2,000	19.50	7-Feb	2-Jun	0.00	0.0
BARR	096	NEM	B	1,000	52.50	n/a	n/a	0.00	0.0
ROARING BLUE LION MGMT	012	NLY	S	20,000	15.24	n/a	n/a	800.00	4.0
ROTHSCHILD ASSET	040	NSM	B	83,100	20.22	n/a	n/a	3,739.50	4.5
HUNTER GLOBAL INVESTOR	067	NUE	S	67,400	74.69	n/a	n/a	3,370.00	5.0
WHITE PINE CAPITAL	030	NWY	S	88,600	9.46	n/a	22-May	4,430.00	5.0
FRONTPOINT CHRIS C	041	PBI	SS	14,700	33.78	n/a	n/a	588.00	4.0
CHARTER OAK	033	PII	SS	30,000	42.43	n/a	n/a	1,500.00	5.0
EGS ASSOCIATES LP	091	PVH	B	3,300	36.70	5-May	22-May	198.00	6.0
LUCHANSKY1	036	QQQQ	SS	1,000	45.12	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	RCL	SS	50,000	22.84	25-Apr	25-Apr	2,500.00	5.0
STERLING JOHNSTON	035	RDEN	B	40,000	15.14	10-Jun	24-Jun	1,600.00	4.0
N STRATTON	014	REXI	S	800	9.55	n/a	n/a	0.00	0.0
MENCKE	055	RJA	B	95	12.49	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RL	B	12,500	62.86	7-Feb	29-May	500.00	4.0
BARR	096	SKF	S	300	152.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKS	SS	50,000	11.59	n/a	n/a	2,000.00	4.0
BARR	096	SQNM	B	1,000	15.31	n/a	n/a	0.00	0.0
GAULT	063	SSO	B	500	61.47	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	STR	B	56,200	69.03	22-May	18-Jun	2,248.00	4.0
PRINCIPLE CAPITAL	040	STZ	S	118,900	19.46	n/a	n/a	3,567.00	3.0
SINCUTMA	031	SU	B	60	60.02	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	TAP	S	29,301	54.06	n/a	n/a	1,172.04	4.0
BUCK CAPITAL MGMT	001	TIF	SS	10,000	42.27	n/a	2-Jun	400.00	4.0
GAULT	063	TIN	B	3,600	11.48	29-Apr	26-Jun	0.00	0.0
JMP ASSET MGMT	210	TJX	B	3,000	31.90	n/a	6-Jun	150.00	5.0
SANDLER O'NEILL ASSET	031	TROW	B	5,500	56.92	n/a	23-Jun	275.00	5.0
ROYCE TRADING	216	UNT	S	16,620	81.44	20-Mar	18-Jun	831.00	5.0
COUGAR TRADING	044	URBN	SS	5,000	31.55	15-May	15-May	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SINCUTMA	031	UTX	B	40	61.98	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	S	10,000	71.98	n/a	n/a	400.00	4.0
STANDARD PACIFIC CAPITAL	040	VIV	S	260,000	6.30	n/a	n/a	13,000.00	5.0
BRENCOURT	028	VSE	SS	150,000	4.05	n/a	n/a	4,500.00	3.0
ADALY INV MGMT	067	WBC	S	1,200	46.44	30-Apr	11-Jun	60.00	5.0
GRANAHAN INV	039	WBC	S	60,700	46.69	30-Apr	11-Jun	2,428.00	4.0
COLUMBUS CIRCLE	036	WMS	B	81,740	28.96	n/a	n/a	3,269.60	4.0
BUCK CAPITAL MGMT	001	WSM	SS	20,000	20.96	n/a	n/a	800.00	4.0
AVESTA CAP	229	WU	B	50,000	24.96	n/a	n/a	2,500.00	5.0
GAULT	063	WY	B	600	50.23	7-Apr	27-May	0.00	0.0
TOTAL (Trade Date):								130,649.95	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	06/27	COUGAR TRADING (COUGPB MS)	044	ANF	B	15,000	64.16	10-Apr	6-Jun	750.00 5.0
CRT	06/27	COUGAR TRADING (COUGPB MS)	044	ARO	B	10,000	31.99	28-Apr	6-Jun	500.00 5.0
AO,CXL	06/26	GRUSS CAPITAL (GRUS)	045	FOR	B	5,000	20.59	n/a	n/a	200.00 4.0
AO,CRT	06/26	GRUSS CAPITAL (GRUS)	045	FOR	B	5,000	20.59	n/a	n/a	150.00 3.0
AO,	06/26	NOMURA CAPITAL (NOMUNCRAM)	045	GETIL	B	600	21.71	n/a	n/a	30.00 5.0
AO,CXL	06/23	DIAMONDBACK CAP MGMT (DIAMMSMAIN)	232	JAVA	SS	100,000	11.30	n/a	n/a	5000.00 5.0
AO,	06/23	DIAMONDBACK CAP MGMT (DIAMMSMAIN)	232	JAVA	SS	25,000	11.31	n/a	n/a	1250.00 5.0
AO,	06/23	DIAMONDBACK CAP MGMT (DIAMMSMAIN)	232	JAVA	SS	75,000	11.29	n/a	n/a	3750.00 5.0
AO,	06/26	NOMURA CAPITAL (NOMUSTRATE)	045	MGI	B	14,350	1.04	n/a	n/a	287.00 2.0
AO,	06/26	NOMURA CAPITAL (NOMUBATT2)	045	MGI	B	15,800	1.04	n/a	n/a	316.00 2.0
AO,	06/26	NOMURA CAPITAL (NOMUBSMAIN)	045	MGI	B	19,850	1.04	n/a	n/a	397.00 2.0
AO,	06/26	BAYPOINT/PRIMEMOVERS (BAYPCRITER)	033	MU	SS	350,000	7.02	n/a	n/a	14000.00 4.0
AO,	06/26	BAYPOINT TRADING/XYLEM (BAYXXYLEM)	091	SPP	SS	100,000	12.06	n/a	n/a	4000.00 4.0
AO,	06/24	ROTHSCHILD ASSET (ROTH356)	040	TUP	S	250	35.94	n/a	n/a	11.25 4.5
AO,CXL	06/24	ROTHSCHILD ASSET (ROTH367)	040	TUP	S	250	35.94	n/a	n/a	11.25 4.5
TOTAL (AO, CXL, CRT) :										20,230.00

TOTAL (Trade Date):	130,649.95
TOTAL (AO, CXL, CRT):	20,230.00

TOTAL (RUN DATE) / NFS:	150,879.95
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION