



Daily Compliance Activity Report

Trade Date: 04/25/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	ABG	B	30,000	15.47	n/a	25-Apr	1,500.00	5.0
FINANCIAL STOCK LP	028	ACF	B	50,000	12.57	n/a	n/a	2,000.00	4.0
COUGAR TRADING	044	AEO	B	10,000	17.36	6-Mar	11-Apr	500.00	5.0
ROSEDALE CAPITAL	046	AEO	S	30,000	17.66	6-Mar	11-Apr	1,500.00	5.0
S CAST	016	AKNS	B	100	6.40	n/a	n/a	0.00	0.0
1798 CAPITAL MGMT	040	ANF	SS	5,000	75.21	10-Apr	11-Apr	250.00	5.0
COUGAR TRADING	044	ANF	B	3,100	75.15	10-Apr	11-Apr	155.00	5.0
SIGMA CAPITAL ASSOCIATES	237	ANF	B	25,000	74.53	10-Apr	11-Apr	1,250.00	5.0
HARVEST MGMT	039	ARGN	B	1,800	13.41	n/a	21-Apr	90.00	5.0
ZOLIDIS	011	ARO	S	750	32.81	29-Nov	11-Apr	0.00	0.0
OGORMAN	046	BGS	B	2,000	8.12	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BIG	B	155,200	25.41	6-Mar	11-Apr	6,208.00	4.0
EMP ERROR	035	BIG	SS	400	25.42	6-Mar	11-Apr	0.00	0.0
SUNOVA CAPITAL	031	BLK	B	10,000	203.60	n/a	24-Apr	500.00	5.0
LEDDY	035	BONT	S	1,600	8.92	4-Jan	11-Apr	0.00	0.0
DURANGO INVESTMENTS	031	BUD	S	20,000	47.60	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
1798 CAPITAL MGMT	040	CBRL	SS	5,000	34.73	n/a	n/a	250.00	5.0
IRIDIAN ASSET MGMT.	036	CHE	B	32,000	32.34	n/a	n/a	1,600.00	5.0
BUCK CAPITAL MGMT	001	CHS	B	40,000	6.55	11-Apr	11-Apr	1,600.00	4.0
EGS ASSOCIATES LP	091	CHS	B	13,000	6.59	11-Apr	11-Apr	780.00	6.0
ROARING BLUE LION MGMT	012	CNB	B	100,000	8.29	n/a	n/a	4,000.00	4.0
LEVIN CAPITAL STRATEGIES	012	COH	SS	35,000	35.32	4-Apr	23-Apr	1,400.00	4.0
WAGONHOUND	048	COST	B	2,620	70.71	n/a	27-Jul	104.80	4.0
BRUNNER	012	CPN	S	1,500	19.58	n/a	n/a	0.00	0.0
LBACCHRIS	005	CSCO	B	1,000	25.18	n/a	22-Mar	0.00	0.0
LBACIRA	005	CSCO	B	1,000	25.22	n/a	22-Mar	0.00	0.0
LBACMELISA	005	CSCO	B	1,000	25.22	n/a	22-Mar	0.00	0.0
LBACCICIND	005	CSCO	B	2,000	25.22	n/a	22-Mar	0.00	0.0
MANNING & NAPIER	034	CVA	S	50,000	28.58	n/a	n/a	1,500.00	3.0
LISTED ERR	099	CVA	B	500	28.57	n/a	n/a	0.00	0.0
LISTED ERR	099	CVA	S	500	28.57	n/a	n/a	0.00	0.0
LEDY	035	DAI	SS	150	79.20	n/a	n/a	0.00	0.0
ZOLIDIS	011	DECK	B	125	137.66	n/a	n/a	0.00	0.0
ZOLIDIS	011	DECK	S	125	142.33	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	DKS	SS	23,000	27.08	n/a	14-Mar	1,150.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BULL PATH CAPITAL	044	DRI	B	45,000	34.92	n/a	15-Apr	2,250.00	5.0
COUGAR TRADING	044	DSW	B	20,000	14.63	28-Mar	25-Apr	1,000.00	5.0
LBACCICIND	005	ECMV	B	2,000	17.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FDO	B	70,000	21.29	8-Jan	11-Apr	2,800.00	4.0
GLG PARTNERS	034	FDO	SS	25,000	21.31	8-Jan	11-Apr	1,250.00	5.0
BUCK CAPITAL MGMT	001	FL	B	100,000	11.92	2-Apr	2-Apr	4,000.00	4.0
COLONIAL FUND LLC	036	F	B	50,000	7.70	25-Apr	25-Apr	2,500.00	5.0
FIRST NY SEC/CHRIS	041	F	B	365,000	7.86	25-Apr	25-Apr	15,000.00	4.5
HARVEST MGMT	039	F	SS	50,000	8.02	25-Apr	25-Apr	1,500.00	3.0
1798 CAPITAL MGMT	040	GES	B	15,000	38.42	n/a	n/a	750.00	5.0
LBACCHRIS	005	GLW	B	1,000	25.41	n/a	27-Mar	0.00	0.0
LBACMELISA	005	GLW	B	1,000	25.48	n/a	27-Mar	0.00	0.0
LBACCICIND	005	GLW	B	2,000	25.47	n/a	27-Mar	0.00	0.0
BALYASNY ASSET MGMT	044	GM	SS	20,000	21.36	3-Jan	8-Apr	1,000.00	5.0
COLONIAL FUND LLC	036	GM	SS	55,000	22.02	3-Jan	8-Apr	2,750.00	5.0
WAGONHOUND	048	GM	B	8,480	21.24	3-Jan	8-Apr	339.20	4.0
SCOPUS FUND	041	GPI	B	21,453	25.53	n/a	25-Apr	1,072.65	5.0
SANDLER O'NEILL ASSET	031	GS	S	10,000	190.10	19-Mar	14-Apr	500.00	5.0
1798 CAPITAL MGMT	040	HAS	B	10,000	34.76	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	HD	SS	1,000	28.89	n/a	27-Jul	0.00	0.0
BARROW HANLEY MEWHINNEY	031	HI	S	40,100	19.40	n/a	n/a	1,604.00	4.0
BARROW HANLEY MEWHINNEY	031	HRC	B	73,800	25.70	n/a	n/a	2,952.00	4.0
BRUNNER	012	HRS	B	500	52.00	28-Mar	28-Mar	0.00	0.0
LUCHANSKY	036	IGT	B	300	35.67	n/a	18-Apr	0.00	0.0
CASTLE ARK	031	ISRG	S	200	280.22	n/a	n/a	12.00	6.0
LBACCHRIS	005	IVZ	B	1,000	23.98	10-Apr	24-Apr	0.00	0.0
LBACIRA	005	IVZ	B	1,000	24.01	10-Apr	24-Apr	0.00	0.0
LBACMELISA	005	IVZ	B	1,000	24.01	10-Apr	24-Apr	0.00	0.0
LBACCICIND	005	IVZ	B	2,000	23.96	10-Apr	24-Apr	0.00	0.0
WAGONHOUND	048	JCG	B	3,090	46.13	n/a	12-Mar	123.60	4.0
BUCK CAPITAL MGMT	001	JCP	B	80,000	42.57	4-Jan	17-Apr	3,200.00	4.0
FIRST NY SEC/CHRIS	041	JCP	B	10,000	42.53	4-Jan	17-Apr	500.00	5.0
ZOLIDIS	011	JOSB	S	600	23.84	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JWN	B	80,000	36.21	31-Mar	11-Apr	3,200.00	4.0
ADAGE CAPITAL MGMT	030	KSS	B	389,900	49.70	4-Jan	11-Apr	15,596.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	34,100	49.35	4-Jan	11-Apr	1,364.00	4.0
COUGAR TRADING	044	KSS	S	10,000	49.58	4-Jan	11-Apr	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
UBS OCONNOR	031	KSS	SS	100,000	49.78	4-Jan	11-Apr	3,000.00	3.0
NATIONAL BK OF CAN	031	LEH	B	11,000	47.32	1-Apr	14-Apr	440.00	4.0
BUCK	015	LEH	SS	1,000	46.24	1-Apr	14-Apr	0.00	0.0
RHO CAPITAL MGMT	041	LEN	B	2,200	18.62	n/a	n/a	88.00	4.0
SCOPUS FUND	041	LPX	S	20,000	11.81	7-Apr	7-Apr	1,000.00	5.0
KORMAN	003	LYHQO	S	50	1.44	n/a	n/a	0.00	0.0
CASTLE ARK	031	MA	S	400	237.30	n/a	n/a	24.00	6.0
CASTLE ARK	031	MCHP	S	3,200	35.98	n/a	n/a	192.00	6.0
PROSPECTOR PARTNERS LLC	036	MDP	B	25,000	31.91	n/a	n/a	1,000.00	4.0
CASTLE ARK	031	MDT	S	800	49.35	n/a	22-Apr	48.00	6.0
CASTLE ARK	031	MEE	S	1,800	54.85	n/a	n/a	108.00	6.0
KEEFE BRUYETTE WOODS A	031	MER	B	30,000	48.30	18-Jan	17-Apr	1,500.00	5.0
BUCK	015	MER	C	800	48.90	18-Jan	17-Apr	0.00	0.0
CASTLE ARK	031	MON	S	800	125.06	n/a	2-Apr	48.00	6.0
NATIONAL BK OF CAN	031	MS	B	10,000	50.51	20-Dec	14-Apr	400.00	4.0
LEDY	035	MS	B	300	50.14	20-Dec	14-Apr	0.00	0.0
CASTLE ARK	031	NBR	S	3,900	38.34	n/a	n/a	234.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	NEM	S	7,900	43.93	n/a	n/a	316.00	4.0
CASTLE ARK	031	NE	S	1,100	59.56	n/a	n/a	66.00	6.0
DSG CAPITAL	225	NE	SS	4,000	58.85	n/a	n/a	200.00	5.0
STRONG/MARK GRONET	030	NVTI	S	19,000	8.77	n/a	n/a	570.00	3.0
1798 CAPITAL MGMT	040	ORLY	SS	10,000	27.39	n/a	n/a	500.00	5.0
LBACCHRIS	005	OXM	S	500	26.11	n/a	n/a	0.00	0.0
SCOPUS FUND	041	PAG	B	20,000	20.73	22-Apr	25-Apr	1,000.00	5.0
BASIX	033	PKG	B	34,000	22.29	7-Apr	22-Apr	1,360.00	4.0
J.W. SELIGMAN	041	PNK	S	229,000	14.17	n/a	n/a	9,160.00	4.0
CASTLE ARK	031	QCOM	S	2,900	43.22	n/a	n/a	174.00	6.0
KORMAN	003	QJPQX	S	50	1.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	60.65	7-Feb	15-Feb	400.00	4.0
CASTLE ARK	031	RRC	S	1,200	69.18	n/a	n/a	72.00	6.0
LBACCICIND	005	RUSHA	B	2,000	15.31	n/a	n/a	0.00	0.0
LBACIRA	005	RUSHA	B	2,000	15.39	n/a	n/a	0.00	0.0
CASTLE ARK	031	SBUX	S	2,400	15.80	n/a	24-Apr	144.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KERN	050	SDS	B	300	58.35	n/a	n/a	0.00	0.0
CASTLE ARK	031	SGR	S	900	53.90	n/a	n/a	54.00	6.0
IRIDIAN ASSET MGMT.	036	SHPGY	S	40,570	55.53	22-Feb	25-Apr	2,028.50	5.0
CASTLE ARK	031	SLB	S	1,000	102.57	n/a	n/a	60.00	6.0
MANNING & NAPIER	034	SMG	B	50,000	34.11	11-Feb	25-Apr	1,500.00	3.0
LBACCICIND	005	SNCR	S	1,000	21.95	n/a	n/a	0.00	0.0
RHO CAPITAL MGMT	041	SPY	B	1,000	139.34	n/a	n/a	40.00	4.0
BAIRD INVESTMENT	031	SWN	S	12,775	43.67	20-Mar	25-Apr	638.75	5.0
CASTLE ARK	031	SWN	S	2,900	44.55	20-Mar	25-Apr	174.00	6.0
LBACCICIND	005	SWN	S	2,000	43.89	20-Mar	25-Apr	0.00	0.0
CASTLE ARK	031	TJX	S	4,800	32.94	n/a	11-Apr	288.00	6.0
WAGONHOUND	048	TJX	B	270	32.21	n/a	11-Apr	10.80	4.0
BUCK CAPITAL MGMT	001	TLB	B	15,000	8.24	17-Apr	18-Apr	600.00	4.0
SERENGETI MGMT	030	TROW	SS	150,000	59.15	9-Jan	24-Apr	7,500.00	5.0
MAGUIRE	039	TUJFF	B	20	0.95	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	TUP	SS	85,900	41.28	n/a	n/a	3,436.00	4.0
CASTLE ARK	031	UA	S	1,500	36.89	n/a	n/a	90.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FULLER & THALER ASSET	033	UHS	B	90,000	62.86	n/a	25-Apr	2,683.89	2.9
BARABOO GROWTH	031	URBN	B	300	33.60	3-Apr	9-Apr	15.00	5.0
HOLDEN CAPITAL MGMT	035	URBN	B	15,000	33.20	3-Apr	9-Apr	750.00	5.0
GALLEON MGMT LP	033	USO	C	15,000	95.50	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	VFC	B	5,000	75.56	n/a	n/a	200.00	4.0
CASTLE ARK	031	VLO	S	2,100	51.80	n/a	n/a	126.00	6.0
STRONG/MARK GRONET	030	VNDA	B	45,000	3.96	n/a	n/a	1,350.00	3.0
MERRILL ASSET	032	VQ	B	20,100	15.18	n/a	n/a	904.50	4.5
LAGRANGE CAP ADV	048	VVTV	B	1,000	4.98	n/a	n/a	50.00	5.0
TRUST CO OF THE WEST/NY	040	WFMI	B	38,750	31.74	n/a	n/a	1,937.50	5.0
TRUST CO/COWEN/CALF.	040	WFMI	B	21,280	31.74	n/a	n/a	1,064.00	5.0
CASTLE ARK	031	WFT	S	800	81.05	n/a	n/a	48.00	6.0
AVESTA CAP	229	WMT	S	25,000	57.26	n/a	14-Apr	1,250.00	5.0
JPMSI/TOM LEDDY	035	WRNC	S	20,000	45.17	3-Apr	3-Apr	1,000.00	5.0
EPOCH INV MGMT	030	WWY	B	4,900	62.88	n/a	n/a	196.00	4.0
HIGHBRIDGE CAPITAL	038	WY	S	9,143	65.88	7-Apr	7-Apr	457.15	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE/MS OLDS	041	WY	SS	65,000	64.84	7-Apr	7-Apr	3,250.00	5.0
PROSPECTOR PARTNERS LLC	036	XRX	B	25,000	13.92	n/a	n/a	1,000.00	4.0
CASTLE ARK	031	XTO	S	1,400	64.21	n/a	n/a	84.00	6.0
TOTAL (Trade Date) :								148,981.34	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/24	HIGHBRIDGE CAPITAL (HIGBNEW)	038	ADSK	SS	27,500	37.80	n/a	n/a	1375.00 5.0
AO,CRT	04/24	HIGHBRIDGE/MS OLDS (HIBCMAIN)	041	ADSK	SS	27,500	37.80	n/a	n/a	1375.00 5.0
AO,CXL	04/24	CHARTER OAK (CHARFUND 2)	033	AFFY	S	1,183	15.92	n/a	n/a	0.00 0.0
AO,CRT	04/24	CHARTER OAK (CHARFUND 2)	033	AFFY	S	1,183	15.92	n/a	n/a	59.15 5.0
CRT	04/25	EMP ERROR (EMP ERROR)	035	BIG	SS	400	25.42	6-Mar	11-Apr	0.00 0.0
AO,	04/22	TRELLUS PARTNERS LP (TRELMEER)	028	F	SS	1,164	7.80	25-Apr	25-Apr	34.92 3.0
AO,	04/22	TRELLUS PARTNERS LP (TRELGS)	028	F	SS	1,164	7.80	25-Apr	25-Apr	34.92 3.0
AO,CXL	04/22	TRELLUS PARTNERS LP (TRELMAIN)	028	F	SS	20,000	7.80	25-Apr	25-Apr	600.00 3.0
AO,	04/22	TRELLUS PARTNERS LP (TRELMAIN)	028	F	SS	17,672	7.80	25-Apr	25-Apr	530.16 3.0
AO,CXL	04/24	MORGENS WATERFALL (MORGMORGAN)	040	KSS	B	100,000	48.52	4-Jan	11-Apr	5000.00 5.0
AO,CRT	04/24	MORGENS WATERFALL (MORGMORGAN)	040	KSS	B	100,000	48.52	4-Jan	11-Apr	3000.00 3.0
AO,	04/24	UBS OCONNOR (UBSOLEHCHA)	031	KSS	SS	34,500	48.81	4-Jan	11-Apr	1035.00 3.0
AO,	04/24	UBS OCONNOR (UBSOCFBCO)	031	KSS	SS	65,500	48.81	4-Jan	11-Apr	1965.00 3.0
AO,CXL	04/24	PALMYRA CAPITAL (PALMGSCO)	048	LIZ	SS	32,000	17.07	n/a	17-Mar	1600.00 5.0
AO,	04/24	PALMYRA CAPITAL (PALMGSCO)	048	LIZ	SS	32,000	17.07	n/a	17-Mar	960.00 3.0
AO,CXL	04/24	BMO NESBITT BURNS (BMONFLIP)	041	PCH	S	25,000	45.04	18-Apr	18-Apr	750.00 3.0
AO,	04/24	FIRST NY SEC/CHRIS (FNYCHRIS)	041	PLXS	S	10,000	24.00	n/a	n/a	500.00 5.0
AO,CRT	04/24	BARROW HANLEY MEWHINNEY (BARR)	096	SOAP	B	300	6.99	n/a	n/a	0.00 0.0
AO,CXL	04/24	BARROW HANLEY MEWHINNEY (BARR)	096	SOAP	B	300	6.99	n/a	n/a	0.00 0.0
AO,CXL	04/24	HIGHBRIDGE CAPITAL (HIGBNEW)	038	TXT	S	22,400	61.05	n/a	n/a	1120.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/24	HIGHBRIDGE/MS OLDS (HIBCMAIN)	041	TXT	S	22,400	61.05	n/a	n/a	1120.00 5.0
AO,CXL	04/24	HIGHBRIDGE CAPITAL (HIGBNEW)	038	VCLK	SS	49,109	19.64	n/a	n/a	2455.45 5.0
AO,CRT	04/24	HIGHBRIDGE/MS OLDS (HIBCMAIN)	041	VCLK	SS	49,109	19.64	n/a	n/a	2455.45 5.0
TOTAL (AO, CXL, CRT) :										169.15

TOTAL (Trade Date):	148,981.34
TOTAL (AO, CXL, CRT):	169.15

TOTAL (RUN DATE) / NFS:	149,150.49
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION