



RR Commissions Summary [Michael Smith]

Trade Date: 09/24/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
DEPRINCE, RACE & ZOLLO	093	0	2,000	7,970	11,070	0	0	0	2,000	7,970	11,070	0	0
EAGLE ASSET MGMT. (FLORIDA)	093	0	0	0	0	0	0	17,000	0	0	17,000	46,222	37
FIDELITY/M. SMITH (FLORIDA)	093	0	0	0	0	0	2,430	15,282	0	2,430	15,282	5,963	256
GATOR CAPITAL MGMT LLC	093	0	0	0	730	0	0	0	0	0	730	0	0
OTTER CREEK MGMT	093	0	2,060	2,060	7,928	0	0	0	2,060	2,060	7,928	8,313	95
RBC GLOBAL ASSET MGMT	093	0	0	0	0	5,000	5,000	15,000	5,000	5,000	15,000	15,000	100
REAL ESTATE MGMT SERVICES GROUP	093	0	0	0	1,000	0	0	0	0	0	1,000	0	0
RETIREMENT SYSTEMS OF ALABAMA	093	0	0	0	0	0	0	16,500	0	0	16,500	0	0
SPARTAN	093	0	705	1,251	3,594	0	0	0	705	1,251	3,594	7,062	51
STERLING CAPITAL MGMT.	093	0	0	0	3,150	0	0	0	0	0	3,150	0	0
WEDGE CAPITAL	093	0	0	0	0	667	2,001	6,001	667	2,001	6,001	2,977	202
WELLSPRING CAPITAL	093	0	0	4,604	14,484	0	0	0	0	4,604	14,484	10,434	139
TOTALS:		0	4,765	15,885	41,956	5,667	9,431	69,783	10,432	25,316	111,739		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
FIR TREE CAPITAL	270	0	0	0	0	0	0	9,000	0	0	9,000	6,500	138
GOLDMAN SACHS ASSET MGMT	098	0	0	0	0	0	0	0	0	0	0	0	0
MANNING & NAPIER	035	0	0	0	0	0	32,500	65,000	0	32,500	65,000	108,750	60
MANULIFE ASSET MGMT.	294	0	0	0	0	0	16,000	44,679	0	16,000	44,679	16,200	276
PERIMETER	273	0	0	0	3,797	0	0	0	0	0	3,797	55,952	0
TRUSTCO/SUNTRUST (CEREDEX)	273	0	0	449	35,813	0	10,067	26,995	0	10,516	62,808	147,889	18
TOTALS:		0	0	449	39,611	0	58,567	145,674	0	59,016	185,284		

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