



RR Commissions Summary [Bob Efstathiou]

Trade Date: 11/25/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	11/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AMICI CAPITAL N/C	044	0	7,200	22,480	58,244	0	0	0	7,200	22,480	58,244	36,032	162
BALYASNY ASSET MGMT	044	0	32,772	64,907	275,367	0	0	0	32,772	64,907	275,367	283,475	97
BOW STREET LLC	044	0	0	0	0	0	0	0	0	0	0	2,733	0
CARDINAL CAPITAL	044	0	0	0	0	0	0	0	0	0	0	5,685	0
COUGAR TRADING	044	0	7,250	9,750	21,880	0	0	0	7,250	9,750	21,880	11,600	189
CR INTRINIC INVESTMENT	044	1,500	8,817	17,496	60,938	0	0	0	8,817	17,496	60,938	8,190	744
DC CAPITAL	044	1,950	11,950	31,450	96,346	0	0	0	11,950	31,450	96,346	65,700	147
DEVENNY CAP LLC/MILLENIUM	044	0	0	0	4,473	0	0	6,526	0	0	10,999	24,627	45
ELM RIDGE ADVISORS	044	0	5,211	6,211	43,445	0	0	0	5,211	6,211	43,445	126,829	34
FRANKLIN TEMPLETON	044	0	0	0	0	0	3,542	7,845	0	3,542	7,845	0	0
GLG PARTNERS	044	0	0	0	2,111	0	0	0	0	0	2,111	0	0
GOLDMAN SACHS INVESTMENT PARTNERS	044	0	0	0	0	8,000	8,000	30,000	8,000	8,000	30,000	65,000	46
HIGHLINE ADV	044	0	0	0	55,000	0	0	0	0	0	55,000	95,000	58
JANA CAPITAL	044	0	0	0	0	0	0	5,000	0	0	5,000	36,608	14
LOCUST WOOD CAPITAL MGMT	044	0	846	846	23,242	0	0	0	846	846	23,242	21,898	106
METROPOLITAN CAPITAL	044	741	1,666	1,666	7,684	0	0	0	1,666	1,666	7,684	11,282	68
PARAMETER CAPITAL MGMT LLC	044	0	0	0	48,131	0	0	0	0	0	48,131	26,168	184
POINT STATE CAPITAL	044	0	0	0	0	0	0	25,000	0	0	25,000	0	0
PZENA INV ADV	044	0	0	0	53	0	0	15,675	0	0	15,728	59,571	26
RIVERLOFT CAPITAL	044	0	3,500	5,000	18,620	0	0	0	3,500	5,000	18,620	0	0
S.A.C. CAPITAL	044	0	11,559	66,591	230,542	0	0	5,000	11,559	66,591	235,542	11,767	2002
SAC TRADING TOM LEDDY	044	0	0	0	14,000	0	0	0	0	0	14,000	0	0
SIGMA CAP BOBBY	044	0	450	450	26,017	0	0	0	450	450	26,017	0	0
SOUTH POINT CAP	044	0	0	0	15,000	0	0	45,000	0	0	60,000	55,000	109
THIRD POINT MGMT	044	0	0	4,000	11,064	0	0	0	0	4,000	11,064	1,000	1106
VISIUM/BALY BOBBY	044	0	2,250	29,000	67,425	0	0	0	2,250	29,000	67,425	78,547	86
WCG MANAGEMENT	044	0	0	0	2,460	0	0	0	0	0	2,460	11,820	21
WOOSTER CAPITAL	044	0	5,000	10,250	78,528	0	0	0	5,000	10,250	78,528	0	0
TOTALS:		4,191	98,470	270,096	1,160,569	8,000	11,542	140,046	106,470	281,638	1,300,614		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	11/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AELTUS/ING IVESTMENT MGT	237	0	0	0	33,539	0	0	0	0	0	33,539	0	0
ARDSLEY PARTNERS	280	0	8,000	24,250	89,708	0	0	0	8,000	24,250	89,708	0	0
GLG PARTNERS	280	0	5,037	5,937	6,297	0	0	0	5,037	5,937	6,297	0	0
GOLDMAN SACHS ASSET MGMT	098	0	0	0	0	0	0	0	0	0	0	5,000	0
KENSAI MANAGEMENT	286	0	0	0	0	0	0	0	0	0	0	0	0
OSKIE CAPITAL MGMT	224	0	12,145	17,145	71,495	0	0	0	12,145	17,145	71,495	50,768	0
SG CAPITAL/CEDAR STREET FUNDS	307	0	0	0	0	0	0	3,000	0	0	3,000	0	0
TOTALS:		0	25,182	47,332	201,039	0	0	3,000	25,182	47,332	204,039		

Report created by Bob Efsthathiou on 12/17/2013 at 03:41:37pm from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 6.1; Trident/5.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E; Tablet PC 2.0)