



Daily Compliance Activity Report

Trade Date: 08/08/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f ANN BETTENDORF FREEM	FID	AAPL	S	25	366.26	n/a	n/a	0.00	0.0
Õ Abram Chappel		AAPL	B	14	360.07	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	AIS	S	20000	1.94	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	ALSK	S	20000	6.75	n/a	n/a	0.00	0.0
SCOPUS FUND	041	AN	SS	25,000	32.85	n/a	28-Jul	1,000.00	4.0
ROSEDALE CAPITAL	046	APA	S	10,000	102.32	n/a	15-Jul	500.00	5.0
ROTHSCHILD ASSET	040	ASH	S	20,159	52.07	n/a	3-Aug	806.36	4.0
f JOSEPH CIRO AMATURO	FID	AUMN	S	4670	12.26	n/a	n/a	0.00	0.0
MACQUARIE INVESTMENT MGMT.	040	AVP	B	5,000	21.70	n/a	29-Jul	0.00	0.0
TRELLUS PARTNERS LP	028	AXL	C	50,000	8.85	n/a	1-Aug	1,500.00	3.0
SANDLER O'NEILL ASSET	031	AXP	SS	50,000	45.89	n/a	5-Aug	2,500.00	5.0
f HOWARD B TURETSKY	FID	BAC	B	7000	7.50	n/a	19-Jul	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BAC	S	10000	7.32	n/a	19-Jul	0.00	0.0
f REUBEN D DVORETSKY	FID	BBEP	B	90	16.11	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	BEE	S	550	4.82	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC ADV/LEE/PECK	044	BEXP	B	100,000	23.78	n/a	n/a	3,500.00	3.5
f HARALAMBOS EFSTATHI	FID	BGU	S	200	56.08	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	S	3000	49.55	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	BJRI	S	160	42.04	n/a	n/a	0.00	0.0
AVESTA CAP	229	BRCM	B	50,000	32.36	n/a	n/a	1,500.00	3.0
f CHRISTOPHER ROBERT C	FID	BWA	B	100	64.86	n/a	29-Jul	0.00	0.0
TUDOR INVESTORS	028	CBE	S	5,000	46.88	n/a	26-Apr	150.00	3.0
f MARC PAUL LUCHANSKY	FID	CIGX	S	1000	2.72	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	CMI	S	65	85.22	n/a	27-Jul	0.00	0.0
f DAVID GEORGE BUCK	FID	COP	B	10	9.95	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	COP	S	10	4.90	n/a	n/a	0.00	0.0
ROYAL CAPITAL MGMT	070	CPB	SS	325,000	31.63	n/a	n/a	16,250.00	5.0
BALYASNY ASSET MGMT	044	CROX	C	15,000	24.61	n/a	n/a	750.00	5.0
f JOHN BARTMAN COLE	FID	CVGI	B	1500	6.87	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	CVGI	S	11600	7.46	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	CVGI	S	1575	7.61	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	CVS	S	3000	33.13	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f DAVID GEORGE BUCK	FID	C	B	3000	29.13	n/a	18-Jul	0.00	0.0
f DAVID GEORGE BUCK	FID	C	S	3000	31.59	n/a	18-Jul	0.00	0.0
f HOWARD B TURETSKY	FID	C	B	2000	31.60	n/a	18-Jul	0.00	0.0
f JASON ERIC COHEN	FID	DAN	B	262	11.51	n/a	29-Jul	0.00	0.0
TRUST CO OF THE WEST/NY	040	DAN	B	22,400	11.55	n/a	29-Jul	896.00	4.0
TRUST CO/COWEN/CALF.	040	DAN	B	302,455	11.55	n/a	29-Jul	12,098.20	4.0
f JOSEPH CIRO AMATURO	FID	DF	S	5000	8.24	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DG	C	30,000	30.58	n/a	4-Aug	non-brg	2.5
DALTON GREINER	012	DG	C	16,030	30.65	n/a	4-Aug	801.50	5.0
CAERUS GLOBAL	041	EAT	B	25,000	21.21	n/a	5-Aug	1,000.00	4.0
f KAREN F LEDDY	FID	EGO	S	3000	17.92	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	ETAK	S	1700	2.70	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FAS	B	1000	15.24	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	FTR	S	10000	6.41	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	FTR	S	10000	6.44	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	F	B	20,000	10.16	n/a	3-Aug	600.00	3.0
f KEVIN MICHAEL O'GORM	FID	GCO	B	1000	46.62	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GES	B	10,000	32.46	n/a	18-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GIL	S	50,000	25.53	n/a	5-Aug	non-brg	4.0
f CHRISTOPHER ARTHUR K	FID	GLW	B	500	13.60	n/a	3-Aug	0.00	0.0
f MARK ADAM WEINTRAUB	FID	GLW	B	400	13.62	n/a	3-Aug	0.00	0.0
B BUCK CAPITAL MGMT		GNC	S	20,000	22.37	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GPS	C	50,000	16.48	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HBI	S	40,000	26.70	n/a	21-Jul	non-brg	4.0
GALT INVESTMENT	041	HGG	S	25,000	10.02	n/a	n/a	750.00	3.0
f KEVIN MICHAEL O'GORM	FID	HH	S	450	0.80	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	HL	S	2000	7.02	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	261	HON	C	24,500	46.43	n/a	2-Aug	980.00	4.0
f KEVIN MICHAEL O'GORM	FID	HOTT	B	3000	6.85	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	HWCC	B	5000	12.10	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		IP	B	40,000	23.72	n/a	4-Aug	non-brg	4.0
DELTEC ASSET MGMT.	040	IR	B	9,000	30.25	n/a	21-Jul	450.00	5.0
f JASON ERIC COHEN	FID	IVV	B	78	114.36	n/a	n/a	0.00	0.0
NORTHWESTERN MUTUAL LIFE	047	IWM	B	287,500	67.63	n/a	n/a	5,750.00	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		JBHT	C	30,000	39.95	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	JCI	S	500	31.50	n/a	8-Aug	0.00	0.0
BASIX	033	JNY	B	34,000	9.56	n/a	28-Jul	1,020.00	3.0
SUN AMERICA ASSET MGMT	030	JNY	S	51,400	10.48	n/a	28-Jul	2,056.00	4.0
B BUCK CAPITAL MGMT		JWN	C	30,000	42.18	n/a	5-Aug	non-brg	4.0
f EDWARD W WHEELER	FID	KEM	B	5000	8.88	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	KEY	S	300	6.69	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	297	KMT	B	4,900	31.48	n/a	29-Jul	196.00	4.0
TUDOR INVESTORS	028	KMT	S	5,000	32.27	n/a	29-Jul	150.00	3.0
B BUCK CAPITAL MGMT		KR	SS	20,000	23.07	n/a	n/a	non-brg	4.0
IRIDIAN ASSET MGMT.	036	LEAP	S	135,800	6.00	n/a	n/a	6,790.00	5.0
BASIX	033	LEA	B	7,000	41.06	n/a	5-Aug	210.00	3.0
MILLRACE FUND LP	028	LEA	B	15,300	41.71	n/a	5-Aug	612.00	4.0
f MARC PAUL LUCHANSKY	FID	LEN	S	1000	14.83	n/a	n/a	0.00	0.0
f DAEIL CHA	FID	LNKD	S	3	81.50	n/a	n/a	0.00	0.0
DC CAPITAL	044	LPX	B	25,000	6.26	n/a	5-Aug	1,000.00	4.0
PRIMECAP MGMT	084	LTD	B	20,000	33.15	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		LTM	C	30,000	36.24	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LULU	C	30,000	48.75	n/a	10-Jun	non-brg	4.0
BUCK CAPITAL MGMT		LULU	C	30,000	48.75	n/a	10-Jun	non-brg	4.0
BUCK CAPITAL MGMT		LULU	C	30,000	50.24	n/a	10-Jun	non-brg	4.0
BUCK CAPITAL MGMT		LULU	C	30,000	50.24	n/a	10-Jun	non-brg	4.0
CASTLEROCK	040	LVS	B	50,000	39.13	n/a	13-Jun	2,000.00	4.0
NORTHWESTERN MUTUAL LIFE	047	MDY	B	303,300	144.89	n/a	n/a	6,066.00	2.0
EDWARD W WHEELER	FID	MMM	B	1000	80.11	n/a	3-Aug	0.00	0.0
JOSEPH CIRO AMATURO	FID	MOTR	S	7000	4.52	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		MSMSBCM	SS	190,000	83.47	n/a	n/a	non-brg	6.7
BASIX	033	MTW	B	20,000	9.07	n/a	28-Jul	600.00	3.0
JOHN BARTMAN COLE	FID	MTW	B	600	9.94	n/a	28-Jul	0.00	0.0
BALYASNY ASSET MGMT	044	M	S	30,000	25.68	n/a	5-Aug	1,500.00	5.0
LEVIN CAPITAL STRATEGIES	012	M	B	529,002	24.02	n/a	5-Aug	15,870.06	3.0
BUCK CAPITAL MGMT		NBD CN	S	100,000	9.76	n/a	n/a	non-brg	3.0
JOHN BARTMAN COLE	FID	NBRXF	S	1500	9.96	n/a	n/a	0.00	0.0
PNC CAPITAL ADV	028	NRG	S	47,725	20.58	n/a	n/a	1,909.00	4.0
BUCK CAPITAL MGMT		NWY	S	20,000	4.37	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC ADV/LEE/PECK	044	OAS	SS	148,000	21.82	n/a	n/a	5,180.00	3.5
f JOSEPH CIRO AMATURO	FID	PBCT	S	2000	11.30	n/a	21-Jul	0.00	0.0
f EDWARD W WHEELER	FID	PCAR	B	5000	38.88	n/a	27-Jul	0.00	0.0
TUDOR INVESTORS	028	PH	B	8,000	66.67	n/a	3-Aug	240.00	3.0
SCOPUS FUND	041	PKG	B	50,000	22.67	n/a	4-Aug	2,000.00	4.0
SIGMA CAPITAL ASSOCIATES	041	PSS	SS	50,000	10.00	n/a	n/a	1,750.00	3.5
SIGMA CAPITAL ASSOCIATES	041	PVH	SS	50,000	61.93	n/a	18-Jul	1,750.00	3.5
f JOSEPH CIRO AMATURO	FID	PVX	S	5000	7.26	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	RBN	S	3,000	41.86	n/a	n/a	90.00	3.0
TUDOR INVESTORS	028	RKT	S	7,000	51.38	n/a	4-Aug	210.00	3.0
f CHRISTOPHER ARTHUR K	FID	RRR	B	500	7.92	n/a	22-Jul	0.00	0.0
f EDWARD W WHEELER	FID	RUSHA	B	2966	15.39	n/a	n/a	0.00	0.0
ELM RIDGE ADVISORS	044	RYN	B	10,000	56.43	n/a	1-Aug	400.00	4.0
f EDWARD W WHEELER	FID	SCSC	B	2600	31.37	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	SGI	S	10000	12.05	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOSEPH CIRO AMATURO	FID	SODA	S	1000	66.34	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SPG	B	700	105.53	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SPY	S	1000	116.80	n/a	n/a	0.00	0.0
NORTHWESTERN MUTUAL LIFE	047	SPY	B	178,900	114.75	n/a	n/a	3,578.00	2.0
FIRST NEW YORK LEDDY	035	S	B	20,000	3.34	n/a	n/a	800.00	4.0
DELTEC ASSET MGMT.	040	TEL	S	8,000	28.24	n/a	n/a	400.00	5.0
MERRILL ASSET/BLACKROCK	297	TEX	B	16,700	15.99	n/a	22-Jul	668.00	4.0
TUDOR INVESTORS	028	TEX	B	5,000	17.04	n/a	22-Jul	150.00	3.0
f BARBARA S LEDDY	FID	TFM	S	550	34.12	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	TKR	S	10,000	35.28	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		TPX	S	10,000	59.06	n/a	n/a	non-brg	2.0
f JOSEPH CIRO AMATURO	FID	TZA	S	3000	56.16	n/a	n/a	0.00	0.0
f HOWARD B TURETSKY	FID	T	B	2000	28.46	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	ULTA	S	140	52.46	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		VECO	SS	20,000	34.74	n/a	n/a	non-brg	2.5
TUDOR INVESTORS	028	VMC	B	5,000	32.99	n/a	n/a	150.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	VNO	S	1000	77.63	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	098	VZ	S	50,700	33.95	n/a	n/a	1,774.50	3.5
ROTHSCHILD ASSET	040	WBS	B	4,560	17.31	n/a	n/a	182.40	4.0
f MARC PAUL LUCHANSKY	FID	WCRX	S	500	17.31	8-Aug	8-Aug	0.00	0.0
APEX CAPITAL MGMT.	261	WFC	S	166,900	23.05	n/a	20-Jul	6,676.00	4.0
BARROW HANLEY MEWHINNEY	031	WFC	B	335,800	24.67	n/a	20-Jul	11,753.00	3.5
RAINIER INV MGMT	035	WFT	B	108,630	16.79	n/a	n/a	4,345.20	4.0
SUN AMERICA ASSET MGMT	030	WINN	B	26,800	7.62	n/a	n/a	1,072.00	4.0
f JOSEPH CIRO AMATURO	FID	WIN	S	5000	11.11	n/a	n/a	0.00	0.0
MACQUARIE INVESTMENT MGMT.	040	WMT	B	5,000	50.31	n/a	18-May	0.00	0.0
f ABRAM M CHAPPELL	FID	WNC	B	600	5.00	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	WRC	B	22,950	49.03	n/a	2-Aug	688.50	3.0
f EDWARD W WHEELER	FID	XIDE	B	5000	4.73	n/a	n/a	0.00	0.0
f CHRISTOPHER ARTHUR K	FID	XPO	S	2500	2.85	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	XPO	S	1150	2.82	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		XRT	SS	5,000	44.86	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f HOWARD B TURETSKY	FID		B	100000	97.44	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							136,718.72		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/05	DELTEC ASSET MGMT.	040	RRR	B	25,000	9.48	n/a	22-Jul	1000.00 4.0
AO,CXL	08/05	DELTEC ASSET MGMT.	040	RRR	B	25,000	9.48	n/a	22-Jul	1250.00 5.0
AO,	08/05	FARALLON CAP MGMT	040	SCHW	B	74,100	13.70	n/a	n/a	2223.00 3.0
AO,CRT	08/05	FARALLON CAP MGMT	040	SCHW	B	900	13.70	n/a	n/a	27.00 3.0
AO,CXL	08/05	FARALLON CAP MGMT	040	SCHW	B	75,000	13.70	n/a	n/a	2250.00 3.0
TOTAL (AO, CXL, CRT) :										-250.00

TOTAL (Trade Date) :	136,718.72
TOTAL (AO, CXL, CRT) :	-250.00

TOTAL (RUN DATE) / NFS:	136,468.72
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 8-Aug.

WCRX

MRI Securities without Trade Activity on 8-Aug.

PG, SUP

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 3%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)