

Daily Compliance Activity Report Trade Date: 07/03/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

					- 1	,			
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
COUGAR TRADING	044	AEO	S	30,000	13.36	6-Mar	2-Jul	1,500.00	5.0
DAVIS SELECT ADV	040	AES	В	375 , 000	18.85	n/a	n/a	11,250.00	3.0
FRONTPOINT CHRIS C	041	AMT	В	6,300	40.43	n/a	n/a	252.00	4.0
BULL PATH CAPITAL	044	ANF	В	15,500	61.67	10-Apr	2-Jul	775.00	5.0
SINCLAIR	031	APCKT	S	90	0.70	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ARO	В	5,000	31.11	28-Apr	2-Jul	250.00	5.0
J L F ASSET MGMT	039	ARO	В	27,400	31.58	28-Apr	2-Jul	1,096.00	4.0
PILOT ROCK	028	AVP	В	123,232	35.46	4-Mar	24-Jun	4,929.28	4.0
BUCK	015	BACPRL	S	130	874.50	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	BAC	SS	50,000	22.43	n/a	2-Jul	2,500.00	5.0
WAGONHOUND/NIC	048	BIG	В	1,065	32.14	29-May	2-Jul	42.60	4.0
SYSTEMATIC FINANCIAL MGT	035	BKE	В	5,900	43.97	n/a	n/a	221.25	3.8
BMO NESBITT BURNS	041	BWA	В	25,000	41.13	10-Jun	10-Jun	1,250.00	5.0
FIRST NY SEC/CHRIS	041	BWA	В	25,000	41.10	10-Jun	10-Jun	1,250.00	5.0
LEDDY	035	CAT	SS	200	69.53	n/a	23-May	0.00	0.0

											_
Account	RR #	Symbol	в/:	S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
MFP INVESTORS	032	CBT	В		4,800	22.97	n/a	23-Jun	240.00	5.0	
BUCK	015	СМА	С		1,000	24.90	n/a	n/a	0.00	0.0	
CAPITAL GROWTH MGMT.	241	CMI	В		80,000	61.70	n/a	23-May	4,000.00	5.0	
OGORMAN	046	DXD	В		300	64.96	n/a	n/a	0.00	0.0	
MS PRINCIPAL STRATEGIES	041	HLX	В		60,000	37.78	n/a	n/a	2,400.00	4.0	
CAMBIAR CAP	046	IGT		S	231,084	23.40	n/a	18-Apr	9,243.36	4.0	
BUCK	015	JNJ	В		700	64.77	n/a	22-Apr	0.00	0.0	
COUGAR TRADING	044	KSS	В		5,000	42.44	n/a	2-Jul	250.00	5.0	
EPOCH INV MGMT	030	KVA		S	51,700	19.01	n/a	n/a	2,068.00	4.0	
CAPITAL GROWTH MGMT.	241	MCD	В		60,000	57.11	9-Jun	9-Jun	3,000.00	5.0	
FRONTPOINT CHRIS C	041	MOS		SS	3,900	128.02	n/a	n/a	156.00	4.0	
FRONTPOINT CHRIS C	041	MSM	В		11,300	43.82	n/a	n/a	452.00	4.0	
SINCLAIR	031	NBRLI	В		25	7.30	n/a	n/a	0.00	0.0	
SINCLAIR	031	NBRLJ	В		25	5.00	n/a	n/a	0.00	0.0	
HOLDEN CAPITAL MGMT	035	PAG	В		97,600	14.22	10-Jun	10-Jun	4,880.00	5.0	
_	BUCK CAPITAL GROWTH MGMT. OGORMAN MS PRINCIPAL STRATEGIES CAMBIAR CAP BUCK COUGAR TRADING EPOCH INV MGMT CAPITAL GROWTH MGMT. FRONTPOINT CHRIS C FRONTPOINT CHRIS C SINCLAIR	MFP INVESTORS 032 BUCK 015 CAPITAL GROWTH MGMT. 241 OGORMAN 046 MS PRINCIPAL STRATEGIES 041 CAMBIAR CAP 046 BUCK 015 COUGAR TRADING 044 EPOCH INV MGMT 030 CAPITAL GROWTH MGMT. 241 FRONTPOINT CHRIS C 041 SINCLAIR 031 SINCLAIR 031	BUCK 015 CMA CAPITAL GROWTH MGMT. 241 CMI OGORMAN 046 DXD MS PRINCIPAL STRATEGIES 041 HLX CAMBIAR CAP 046 IGT BUCK 015 JNJ COUGAR TRADING 044 KSS EPOCH INV MGMT 030 KVA CAPITAL GROWTH MGMT. 241 MCD FRONTPOINT CHRIS C 041 MSM SINCLAIR 031 NBRLI SINCLAIR 031 NBRLI	MFP INVESTORS 032 CBT B BUCK 015 CMA C CAPITAL GROWTH MGMT. 241 CMI B OGORMAN 046 DXD B MS PRINCIPAL STRATEGIES 041 HLX B CAMBIAR CAP 046 IGT BUCK 015 JNJ B COUGAR TRADING 044 KSS B EPOCH INV MGMT 030 KVA CAPITAL GROWTH MGMT. 241 MCD B FRONTPOINT CHRIS C 041 MOS FRONTPOINT CHRIS C 041 MSM B SINCLAIR 031 NBRLI B SINCLAIR 031 NBRLI B	BUCK 015 CMA C CAPITAL GROWTH MGMT. 241 CMI B MS PRINCIPAL STRATEGIES 041 HLX B CAMBIAR CAP 046 IGT S BUCK 015 JNJ B COUGAR TRADING 044 KSS B EPOCH INV MGMT 030 KVA S CAPITAL GROWTH MGMT. 241 MCD B FRONTPOINT CHRIS C 041 MSM B SINCLAIR 031 NBRLI B SINCLAIR 031 NBRLI B	BUCK 015 CMA C 1,000 CAPITAL GROWTH MGMT. 241 CMI B 80,000 OGORMAN 046 DXD B 300 MS PRINCIPAL STRATEGIES 041 HLX B 60,000 CAMBIAR CAP 046 IGT S 231,084 BUCK 015 JNJ B 700 COUGAR TRADING 044 KSS B 5,000 EPOCH INV MGMT 030 KVA S 51,700 CAPITAL GROWTH MGMT. 241 MCD B 60,000 FRONTPOINT CHRIS C 041 MSM B 11,300 FRONTPOINT CHRIS C 041 MSM B 11,300 SINCLAIR 031 NBRLI B 25	MEP INVESTORS 032 CBT B 4,800 22.97 BUCK 015 CMA C 1,000 24.90 CAPITAL GROWTH MGMT. 241 CMI B 80,000 61.70 OGORMAN 046 DXD B 300 64.96 MS PRINCIPAL STRATEGIES 041 HLX B 60,000 37.78 CAMBIAR CAP 046 IGT S 231,084 23.40 BUCK 015 JNJ B 700 64.77 COUGAR TRADING 044 KSS B 5,000 42.44 EPOCH INV MGMT 030 KVA S 51,700 19.01 CAPITAL GROWTH MGMT. 241 MCD B 60,000 57.11 FRONTPOINT CHRIS C 041 MSM B 11,300 43.82 FRONTPOINT CHRIS C 041 MSM B 11,300 43.82 SINCLAIR 031 NBRLJ B 25 7.30	MFP INVESTORS 032 CBT B 4,800 22.97 n/a BUCK 015 CMA C 1,000 24.90 n/a CAPITAL GROWTH MGMT. 241 CMI B 80,000 61.70 n/a OGORMAN 046 DXD B 300 64.96 n/a MS PRINCIPAL STRATEGIES 041 HLX B 60,000 37.78 n/a CAMBIAR CAP 046 IGT S 231,084 23.40 n/a BUCK 015 JNJ B 700 64.77 n/a COUGAR TRADING 044 KSS B 5,000 42.44 n/a EPOCH INV MGMT 030 KVA S 51,700 19.01 n/a CAPITAL GROWTH MGMT. 241 MCD B 60,000 57.11 9-Jun FRONTPOINT CHRIS C 041 MSM B 11,300 43.82 n/a SINCLAIR 031 NBRLI B 25 7.30 n/a SINCLAIR	MEP INVESTORS 032 CBT B 4,800 22.97 n/a 23-Jun BUCK 015 CMA C 1,000 24.90 n/a n/a CAPITAL GROWTH MGMT. 241 CMI B 80,000 61.70 n/a 23-May CGORMAN 046 DXD B 300 64.96 n/a n/a MS PRINCIPAL STRATEGIES 041 HLX B 60,000 37.78 n/a 18-Apr BUCK 015 JNJ B 700 64.77 n/a 22-Apr COUGAR TRADING 044 KSS B 5,000 42.44 n/a 2-Jul EPOCH INV MGMT 030 KVA S 51,700 19.01 n/a n/a CAPITAL GROWTH MGMT. 241 MCD B 60,000 57.11 9-Jun 9-Jun FRONTPOINT CHRIS C 041 MSM B 11,300 43.82 n/a n/a SINCLAIR 031 NBRLI B 25 7.30 n/a n/a SINCLAIR 031 NBRLI B 25 7.30 n/a n/a	MEP INVESTORS 032 CBT B 4,800 22.97 n/a 23-Jun 240.00 BUCK 015 CMA C 1,000 24.90 n/a n/a 0.00 CAPITAL GROWTH MGMT. 241 CMI B 80,000 61.70 n/a 23-May 4,000.00 OGORMAN 046 DXD B 300 64.96 n/a n/a 0.00 MS PRINCIPAL STRATEGIES 041 HLX B 60,000 37.78 n/a n/a 2.400.00 CAMBIAR CAP 046 IGT S 231,084 23.40 n/a 18-Apr 9,243.36 BUCK 015 JNJ B 700 64.77 n/a 22-Apr 0.00 COUGAR TRADING 044 KSS B 5,000 42.44 n/a 2-Jul 250.00 EPOCH INV MCMT 030 KVA S 51,700 19.01 n/a n/a 2-Jul 3,000.00 CAPITAL GROWTH MGMT. 241 MCD B 60,000 57.11 9-Jun 9-Jun 3,000.00 FRONTPOINT CHRIS C 041 MSM B 11,300 43.82 n/a n/a 156.00 SINCLAIR 031 NBRLJ B 25 7.30 n/a n/a 0.00 SINCLAIR 031 NBRLJ B 25 5.00 n/a n/a 0.00	MEF INVESTORS 032 CBT B 4,800 22.97 n/a 23-Jun 240.00 5.0 BUCK 015 CMA C 1,000 24.90 n/a n/a 23-Jun 0.00 0.0 CAPITAL GROWTH MCMT. 241 CMI B 80,000 61.70 n/a 23-May 4,000.00 5.0 OGORMAN 046 DXD B 300 64.96 n/a n/a 0.00 0.0 MS PRINCIPAL STRATEGIES 041 HLX B 60,000 37.78 n/a 18-Apr 9,243.36 4.0 EUCK 015 JNJ B 700 64.77 n/a 22-Apr 0.00 0.0 EUCK 015 JNJ B 700 64.77 n/a 22-Apr 0.00 0.0 EUCK 015 JNJ B 700 64.77 n/a 22-Apr 0.00 0.0 EUCK 015 JNJ B 700 64.77 n/a 22-Apr 0.00 0.0 EUCK 015 JNJ B 700 64.77 n/a 22-Apr 0.00 0.0 EPOCH INV MGMT 030 KVA S 51,700 19.01 n/a n/a 2.Jul 250.00 5.0 EPOCH INV MGMT 241 MCD B 60,000 57.11 9-Jun 9-Jun 3,000.00 5.0 FRONTPOINT CHRIS C 041 MSM B 11,300 43.82 n/a n/a 156.00 4.0 SINCLAIR 031 NBRLJ B 25 7.30 n/a n/a n/a 0.00 0.0 SINCLAIR 031 NBRLJ B 25 7.30 n/a n/a n/a 0.00 0.0

Account	RR #	Symbol	B/S	}	Shares	Price	MRI	Research	Comm.	\$/Shr.	
BASIX	033	PKG	В		60,000	21.92	7-Apr	26-Jun	2,400.00	4.0	
BAYPOINT TRADING/XYLEM	091	PKG	В		80,000	21.97	7-Apr	26-Jun	4,000.00	5.0	
CSFB GLOBAL PROPRIETARY	041	PKG	В		45,000	21.82	7-Apr	26-Jun	2,250.00	5.0	
DSG CAPITAL	225	PKG	В		2,500	21.44	7-Apr	26-Jun	125.00	5.0	
OGORMAN	046	PSUN	В		2,000	8.38	n/a	2-Jul	0.00	0.0	
SINCLAIR	031	PXDIQ	В		35	2.80	n/a	n/a	0.00	0.0	
виск	015	RYN	В		1,000	42.64	23-Apr	23-Apr	0.00	0.0	
FRONTPOINT CHRIS C	041	R		SS	2,100	66.00	n/a	n/a	84.00	4.0	
FRONTPOINT CHRIS C	041	SBAC	В		7,000	33.56	n/a	n/a	280.00	4.0	
OGORMAN	046	SDS	В		300	67.93	n/a	n/a	0.00	0.0	
виск	015	SGPPRB	В		200	191.43	n/a	n/a	0.00	0.0	
FRONTPOINT CHRIS C	041	SNI		SS	3,000	41.15	n/a	n/a	120.00	4.0	
FRONTPOINT	044	SPY		SS	5,900	125.26	n/a	n/a	236.00	4.0	
CARDINAL CAPITAL	044	SSI		S	25,000	11.63	n/a	2-Jul	1,250.00	5.0	
PRINCIPLE CAPITAL	040	STZ	В		58,200	21.41	n/a	n/a	1,746.00	3.0	
J L F ASSET MGMT	039	TGT	В		45,800	47.39	n/a	2-Jul	1,832.00	4.0	
ROYCE TRADING	216	UNT		S	5 , 200	84.53	20-Mar	18-Jun	260.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
COUGAR TRADING	044	URBN	В	10,000	31.12	15-May	15-May	500.00	5.0
PHILADELPHIA FINANCIAL	033	WDR	В	75 , 000	34.48	22-Apr	2-Jul	3,000.00	4.0
FRONTPOINT	044	X	SS	800	150.75	n/a	n/a	32.00	4.0

TOTAL (Trade Date): 70,120.49

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
AO,	07/02	DAVIDSON KEMPNER ADVISOR (DAVIML)	040	AMLN	SS	50,000	25.47	n/a	n/a	2500.00	5.0
AO,CXL	07/02	DKR CAPITAL (DKRCMERRIL)	048	AMLN	SS	50,000	25.47	n/a	n/a	2500.00	5.0
AO,CXL	07/02	GRUSS CAPITAL (GRUS)	045	FOR	В	5,000	18.02	n/a	n/a	200.00	4.0
AO,CRT	07/02	GRUSS CAPITAL (GRUS)	045	FOR	В	5,000	18.02	n/a	n/a	150.00	3.0
AO,CXL	07/02	DSM CAPITAL PARTNERS (DSMCFLIIGF)	032	GENZ	В	3,000	72.38	n/a	n/a	150.00	5.0
AO,CRT	07/02	DSM CAPITAL PARTNERS (DSMCFLIIGF)	032	GENZ	В	3,000	72.38	n/a	n/a	120.00	4.0
AO,	07/02	FORWARD MGMT (FORWLGCPGW)	033	GRNB	В	1,200	14.40	n/a	n/a	60.00	5.0
AO,	07/02	CAMBIAR CAP (CAMB204)	046	IGT	S	110	24.02	n/a	18-Apr	4.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB903)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB18)	046	IGT	S	110	24.02	n/a	18-Apr	4.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB27)	046	IGT	S	300	24.02	n/a	18-Apr	12.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB890)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB520)	046	IGT	S	600	24.02	n/a	18-Apr	24.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB781)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB799)	046	IGT	S	30	24.02	n/a	18-Apr	1.20	4.0
AO,	07/02	CAMBIAR CAP (CAMB771)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB802)	046	IGT	S	20	24.02	n/a	18-Apr	0.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB875)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB10)	046	IGT	S	2,500	24.02	n/a	18-Apr	100.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB836)	046	IGT	S	20	24.02	n/a	18-Apr	0.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB400)	046	IGT	S	130	24.02	n/a	18-Apr	5.20	4.0
AO,	07/02	CAMBIAR CAP (CAMB784)	046	IGT	S	20	24.02	n/a	18-Apr	0.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB29)	046	IGT	S	50	24.02	n/a	18-Apr	2.00	4.0

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	07/02	CAMBIAR CAP (CAMB816)	046	IGT	S	20	24.02	n/a	18-Apr	0.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB42)	046	IGT	S	35 , 500	24.02	n/a	18-Apr	1420.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB823)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB409)	046	IGT	S	100	24.02	n/a	18-Apr	4.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB841)	046	IGT	S	20	24.02	n/a	18-Apr	0.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB65)	046	IGT	S	3,300	24.02	n/a	18-Apr	132.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB883)	046	IGT	S	300	24.02	n/a	18-Apr	12.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB43)	046	IGT	S	2,100	24.02	n/a	18-Apr	84.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB831)	046	IGT	S	100	24.02	n/a	18-Apr	4.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB75)	046	IGT	S	100	24.02	n/a	18-Apr	4.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB832)	046	IGT	S	100	24.02	n/a	18-Apr	4.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB28)	046	IGT	S	100	24.02	n/a	18-Apr	4.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB804)	046	IGT	S	200	24.02	n/a	18-Apr	8.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB766)	046	IGT	S	200	24.02	n/a	18-Apr	8.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB755)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB754)	046	IGT	S	20	24.02	n/a	18-Apr	0.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB745)	046	IGT	S	30	24.02	n/a	18-Apr	1.20	4.0
AO,	07/02	CAMBIAR CAP (CAMB758)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB682)	046	IGT	S	220	24.02	n/a	18-Apr	8.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB694)	046	IGT	S	500	24.02	n/a	18-Apr	20.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB710)	046	IGT	S	60	24.02	n/a	18-Apr	2.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB741)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB743)	046	IGT	S	100	24.02	n/a	18-Apr	4.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB714)	046	IGT	S	500	24.02	n/a	18-Apr	20.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB594)	046	IGT	S	300	24.02	n/a	18-Apr	12.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB535)	046	IGT	S	610	24.02	n/a	18-Apr	24.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB30)	046	IGT	S	40	24.02	n/a	18-Apr	1.60	4.0
AO,CXL	07/01	DAVIDSON KEMPNER ADVISOR (DAVIML)	040	INCY	В	20,700	7.50	n/a	n/a	1035.00	5.0
AO,	07/01	DAVIDSON KEMPNER ADVISOR (DAVIGS)	040	INCY	В	20,700	7.50	n/a	n/a	1035.00	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	07/02	BAYPOINT TRADING/XYLEM (BAYXXYLEM)	091	IP	SS	80,300	22.90	n/a	26-Jun	4015.00	5.0
AO,	07/02	BAYPOINT TRADING/XYLEM (BAYXXYLEM)	091	NCS	SS	31,900	33.05	n/a	n/a	1595.00	5.0
AO,	07/02	FORWARD MGMT (FORWLGCPGW)	033	OSBC	В	1,600	11.97	n/a	n/a	80.00	5.0
AO,CXL	06/27	ADALY INV MGMT (ADALBMOADA)	067	WBC	S	500	46.44	30-Apr	11-Jun	25.00	5.0
AO, CRT	06/27	ADALY INV MGMT (ADALOMNI)	067	WBC	S	500	46.44	30-Apr	11-Jun	25.00	5.0
TOTAL	(AO, 0	CXL, CRT):							7,	610.00	

TOTAL (RUN DATE) / NFS:	77,730.49
TOTAL (AO, CXL, CRT):	7,610.00
TOTAL (Trade Date):	70,120.49

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION