



## Daily Compliance Activity Report

Trade Date: 07/28/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BASIX	033	AAP	S	18,500	39.80	n/a	n/a	740.00	4.0
IMPALA ASSET MMT	012	ABFS	B	100,000	33.51	n/a	n/a	3,000.00	3.0
IMPALA ASSET MMT	012	ABX	S	200,000	44.40	n/a	n/a	6,000.00	3.0
IMPALA ASSET MMT	012	AEM	B	126,200	59.16	n/a	n/a	3,786.00	3.0
COUGAR TRADING	044	AEO	B	20,000	14.48	6-Mar	16-Jul	1,000.00	5.0
IMPALA ASSET MMT	012	AKS	SS	100,000	50.45	n/a	n/a	3,000.00	3.0
DENVER INST. ADV	046	ANF	S	25,000	55.93	10-Jul	28-Jul	1,000.00	4.0
IMPALA ASSET MMT	012	ATI	B	166,300	46.60	n/a	n/a	4,989.00	3.0
CARLSON CAPITAL	031	BAC	B	59,400	30.16	n/a	22-Jul	2,376.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>SS</b>	<b>20,000</b>	<b>27.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>SS</b>	<b>20,000</b>	<b>38.63</b>	<b>n/a</b>	<b>27-Jul</b>	<b>800.00</b>	<b>4.0</b>
IMPALA ASSET MMT	012	BDK	B	193,108	58.87	n/a	n/a	5,793.24	3.0
SYSTEMATIC FINANCIAL MGT	035	BG	B	44,100	99.66	n/a	n/a	1,653.76	3.8
CATAPULT	040	BJ	SS	15,000	36.32	n/a	27-Jul	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NATIONAL BK OF CAN	031	BK	B	10,000	33.77	n/a	18-Jul	400.00	4.0
SANDLER O'NEILL ASSET	031	BLK	B	10,000	210.17	n/a	22-Jul	500.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>BMV</b>	<b>S</b>	<b>5,000</b>	<b>22.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IMPALA ASSET MMT	012	BNI	S	150,000	97.38	n/a	n/a	4,500.00	3.0
<b>WHEELER</b>	<b>008</b>	<b>BQI</b>	<b>B</b>	<b>10,000</b>	<b>4.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NUMERIC	060	BRL	C	57,093	66.20	18-Jul	28-Jul	1,712.79	3.0
PILOT ROCK	028	BWA	SS	32,100	39.82	10-Jun	17-Jul	1,284.00	4.0
TRELLUS PARTNERS LP	028	BWA	C	10,000	38.86	10-Jun	17-Jul	500.00	5.0
IMPALA ASSET MMT	012	CCL	S	301,846	37.45	n/a	26-Jan	9,055.38	3.0
NEW JERSEY DIV OF INV.	220	CHK	B	50,000	49.48	n/a	n/a	2,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CHS</b>	<b>S</b>	<b>200,000</b>	<b>5.55</b>	<b>11-Jul</b>	<b>16-Jul</b>	<b>8,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CHUX</b>	<b>SS</b>	<b>7,356</b>	<b>11.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>KAICHER</b>	<b>064</b>	<b>CJR</b>	<b>B</b>	<b>18</b>	<b>17.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	COH	S	15,000	26.48	4-Apr	18-Jul	750.00	5.0
CATAPULT	040	COST	SS	25,000	61.26	n/a	27-Jul	1,000.00	4.0
COLUMBUS CIRCLE	036	CPTS	S	29,900	17.14	n/a	n/a	1,196.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IMPALA ASSET MMT	012	CTRP	SS	150,000	42.49	n/a	n/a	4,500.00	3.0
WEINTRAUB CAPITAL MGT.	040	CVG	B	75,000	12.52	n/a	n/a	3,000.00	4.0
SYSTEMATIC FINANCIAL MGT	035	CVS	B	175,000	37.72	n/a	n/a	6,562.50	3.8
⌘ BUCK CAPITAL MGMT		DECK	B	15,000	115.82	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	DSW	S	20,000	12.08	8-May	9-Jul	800.00	4.0
NORTHPOINT	030	FCSX	S	31,760	18.26	n/a	n/a	1,270.40	4.0
BUCK CAPITAL MGMT	001	FL	S	20,000	13.84	2-Apr	9-Jul	800.00	4.0
IMPALA ASSET MMT	012	GG	B	275,000	41.99	n/a	n/a	8,250.00	3.0
⌘ BUCK CAPITAL MGMT		GIL	S	20,000	25.14	30-Apr	18-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	22,003	25.04	30-Apr	18-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GIL	S	77,997	24.85	30-Apr	18-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GRMN	SS	20,000	47.05	n/a	n/a	non-brg	4.0
NATIONAL BK OF CAN	031	GS	B	1,500	172.74	19-Mar	14-Jul	60.00	4.0
IMPALA ASSET MMT	012	GT	B	317,320	18.99	n/a	n/a	9,519.60	3.0
IMPALA ASSET MMT	012	HAL	B	105,000	46.07	n/a	n/a	3,150.00	3.0
IMPALA ASSET MMT	012	HOG	B	100,000	36.73	n/a	n/a	3,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	IWM	SS	10,500	69.75	n/a	n/a	420.00	4.0
KENNEDY CAPITAL	046	JASO	B	27,700	14.32	n/a	n/a	1,108.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>30,000</b>	<b>29.94</b>	<b>n/a</b>	<b>30-May</b>	<b>1,200.00</b>	<b>4.0</b>
COUGAR TRADING	044	JCG	SS	5,000	30.07	n/a	30-May	250.00	5.0
CARLSON CAPITAL	031	JPM	B	19,200	39.98	8-May	21-Jul	768.00	4.0
J L F ASSET MGMT	039	JWN	B	71,200	28.67	10-Jul	11-Jul	2,848.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>S</b>	<b>10,000</b>	<b>41.59</b>	<b>n/a</b>	<b>17-Jul</b>	<b>400.00</b>	<b>4.0</b>
JP MORGAN VENTURES	046	KSS	B	30,000	42.12	n/a	17-Jul	1,500.00	5.0
SOROS	036	KSS	SS	48,000	41.60	n/a	17-Jul	1,920.00	4.0
J L F ASSET MGMT	039	LTD	SS	19,400	15.93	n/a	23-Jul	776.00	4.0
IMPALA ASSET MMT	012	LUV	S	225,000	15.12	n/a	n/a	6,750.00	3.0
ARIENCE CAPITAL	036	MER	S	176,600	25.22	24-Jun	18-Jul	8,830.00	5.0
NATIONAL BK OF CAN	031	MER	SS	25,000	24.59	24-Jun	18-Jul	1,000.00	4.0
NATIONAL BK OF CAN	031	MS	B	10,000	34.82	n/a	14-Jul	400.00	4.0
SYSTEMATIC FINANCIAL MGT	035	M	S	437,000	17.76	14-Jul	14-Jul	16,387.50	3.8
MERRILL ASSET	032	NEM	B	15,400	49.02	n/a	n/a	693.00	4.5
STADIA CAPITAL	035	NKE	SS	75,000	57.68	n/a	n/a	3,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MS PRINCIPAL STRATEGIES	041	NWSB	B	19,011	25.05	n/a	n/a	950.55	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NWY</b>	<b>SS</b>	<b>30,000</b>	<b>8.97</b>	<b>n/a</b>	<b>22-May</b>	<b>1,200.00</b>	<b>4.0</b>
BEAR STEARNS ASSET MGMT.	033	PETM	S	35,000	21.49	n/a	n/a	1,400.00	4.0
SUFFOLK CAPITAL MGMT	040	PG	B	250,000	63.99	24-Jun	18-Jul	10,000.00	4.0
CARLSON CAPITAL	031	PNC	B	5,500	68.98	n/a	n/a	220.00	4.0
LITE	028	PPC	SS	215,000	12.27	n/a	n/a	6,450.00	3.0
NEUBERGER BERMAN	036	PSA	S	18,600	80.69	n/a	n/a	837.00	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>PSUN</b>	<b>S</b>	<b>135,800</b>	<b>8.16</b>	<b>n/a</b>	<b>11-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PSUN</b>	<b>S</b>	<b>136,800</b>	<b>8.14</b>	<b>n/a</b>	<b>11-Jul</b>	<b>non-brg</b>	<b>2.0</b>
COUGAR TRADING	044	PSUN	SS	10,000	8.11	n/a	11-Jul	400.00	4.0
FIRST NEW YORK LEDDY	035	Q	S	10,000	3.56	n/a	n/a	600.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>RCII</b>	<b>B</b>	<b>25,000</b>	<b>24.31</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RCII</b>	<b>B</b>	<b>50,000</b>	<b>24.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>S</b>	<b>20,000</b>	<b>58.56</b>	<b>7-Feb</b>	<b>25-Jul</b>	<b>800.00</b>	<b>4.0</b>
CATAPULT	040	SEE	B	44,979	20.77	n/a	n/a	2,248.95	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>SKF</b>	<b>S</b>	<b>5,000</b>	<b>143.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>B</b>	<b>135,000</b>	<b>17.47</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>4.0</b>

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		SKX	B	200,000	17.35	n/a	13-Mar	non-brg	1.0
	BUCK CAPITAL MGMT	001	THI	SS	30,000	26.77	n/a	24-Apr	1,200.00	4.0
	BACKBAY	041	TIN	B	15,000	14.24	29-Apr	11-Jul	750.00	5.0
	CATAPULT	040	TIN	S	15,000	14.35	29-Apr	11-Jul	750.00	5.0
	BUCK CAPITAL MGMT	001	TJX	B	100,000	32.38	n/a	11-Jul	4,000.00	4.0
	COUGAR TRADING	044	TJX	SS	25,000	32.29	n/a	11-Jul	1,250.00	5.0
B	BUCK CAPITAL MGMT		TRLG	S	10,000	25.81	n/a	n/a	non-brg	4.0
	WHEELER	008	TRMA	B	1,000	25.20	n/a	n/a	0.00	0.0
	KENNEDY CAPITAL	046	TSFG	B	13,700	5.34	n/a	n/a	411.00	3.0
	EFSTATHIOU	044	TWTC	B	400	15.05	n/a	4-Jan	0.00	0.0
	CARLSON CAPITAL	031	WB	SS	128,500	14.90	n/a	n/a	5,140.00	4.0
	CARLSON CAPITAL	031	WFC	SS	34,500	29.29	n/a	n/a	1,380.00	4.0
	CAXTON PART	040	WFC	S	62,982	28.40	n/a	n/a	2,204.38	3.5
	NEUBERGER BERMAN	036	WRE	B	15,900	32.90	n/a	n/a	715.50	4.5
	WHEELER	008	WRLS	S	2,700	3.53	n/a	n/a	0.00	0.0
	STADIA CAPITAL	035	WWW	SS	50,000	25.72	n/a	13-Mar	2,000.00	4.0
B	BUCK CAPITAL MGMT		XLFF	C	37,000	19.85	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>SS</b>	<b>375,000</b>	<b>29.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
IMPALA ASSET MMT	012	X	B	85,105	146.76	n/a	n/a	2,553.15	3.0
COUGAR TRADING	044	ZQK	B	8,200	7.27	n/a	9-Jul	328.00	4.0
<b>TOTAL (Trade Date):</b>							<b>202,587.70</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/07	N STRATTON	014	DSNY	B	3,000	0.40	n/a	n/a	0.00 0.0
AO,CXL	07/07	N STRATTON	014	DSNY	B	3,000	0.40	n/a	n/a	0.00 0.0
AO,CXL	07/25	PROSPECTOR PARTNERS LLC	036	IVZ	B	12,600	22.07	24-Jul	24-Jul	504.00 4.0
AO,	07/25	PROSPECTOR PARTNERS LLC	036	IVZ	B	2,800	22.07	24-Jul	24-Jul	112.00 4.0
AO,CRT	07/25	PROSPECTOR PARTNERS LLC	036	IVZ	B	9,800	22.07	24-Jul	24-Jul	392.00 4.0
AO,CRT	07/25	COUGAR TRADING	044	ZQK	SS	6,400	8.40	n/a	9-Jul	256.00 4.0
AO,CXL	07/25	COUGAR TRADING	044	ZQK	SS	6,400	8.40	n/a	9-Jul	256.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>0.00</b>

TOTAL (Trade Date) :	202,587.70
TOTAL (AO, CXL, CRT) :	0.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>202,587.70</b>
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MRI Securities with Trade Activity on 28-Jul. NONE
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MRI Securities without Trade Activity on 28-Jul. NONE
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 39%

YTD = 17%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**