



Daily Compliance Activity Report

Trade Date: 06/14/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PROSPECTOR PARTNERS LLC	036	ABT	B	10,000	47.51	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		AEO	S	50,000	13.58	n/a	4-Jun	non-brg	4.0
Ö Ann Bettendorf Freeman		AKAM	S	500	43.63	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	ALV	SS	5,000	51.00	n/a	28-Apr	250.00	5.0
f JONATHAN RICHARD HAR	FID	BAC	B	500	15.57	n/a	11-Jun	0.00	0.0
SAC TRADING TOM LEDDY	035	BBBY	SS	50,000	45.15	n/a	n/a	1,750.00	3.5
B BUCK CAPITAL MGMT		BC	C	30,000	16.99	n/a	n/a	non-brg	4.0
CAERUS GLOBAL	041	BC	SS	15,000	17.00	n/a	n/a	600.00	4.0
B BUCK CAPITAL MGMT		BEBE	B	20,000	6.76	n/a	n/a	non-brg	3.0
f DAVID GEORGE BUCK	FID	BEE	S	5000	4.67	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	BEE	B	1000	4.70	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BIG	C	30,000	34.08	n/a	4-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		BKC	C	30,000	19.26	n/a	29-Apr	non-brg	4.0
WALKER SMITH	031	BSX	B	55,000	5.90	n/a	n/a	2,200.00	4.0
f CHRISTOPHER ROBERT C	FID	BUFHX	B	230	10.86	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STERLING JOHNSTON	035	BWS	B	30,000	17.28	n/a	n/a	1,200.00	4.0
CAERUS GLOBAL	041	BYI	SS	7,500	40.19	n/a	n/a	300.00	4.0
f CHRISTOPHER ROBERT C	FID	BZ	S	1000	5.81	n/a	n/a	0.00	0.0
ROSEDALE CAPITAL	046	COG	S	4,000	37.83	n/a	3-Jun	200.00	5.0
BALYASNY ASSET MGMT	044	COH	C	25,000	43.40	n/a	14-May	1,250.00	5.0
f JONATHAN RICHARD HAR	FID	CVGI	B	700	11.88	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	263	CVH	B	100,000	20.53	n/a	n/a	4,000.00	4.0
f JONATHAN RICHARD HAR	FID	C	B	1500	3.91	n/a	11-Jun	0.00	0.0
f JONATHAN RICHARD HAR	FID	DAN	B	500	11.80	n/a	30-Apr	0.00	0.0
B BUCK CAPITAL MGMT		DBRN	B	20,000	27.16	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	DPZ	B	10,000	12.81	n/a	5-May	400.00	4.0
BUCK CAPITAL MGMT	001	EL	SS	10,000	58.21	n/a	7-Jun	400.00	4.0
THOMPSON SIEGAL&WALMSLEY	029	ENDP	B	30,500	21.70	n/a	14-Jun	915.00	3.0
DAVIDSON KEMPNER ADVISOR	040	EXXI	S	22,300	16.93	n/a	n/a	1,115.00	5.0
PROSPECTOR PARTNERS LLC	036	FAF	B	10,000	13.49	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		FBN	C	20,000	7.34	n/a	n/a	non-brg	3.0
f MANNY I KORMAN	FID	FNKXX	B	1947976	1.00	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	FOR	S	200	19.05	n/a	10-May	0.00	0.0
f JONATHAN RICHARD HAR	FID	F	B	500	11.61	n/a	3-Jun	0.00	0.0
OZ MGMT	040	F	B	280,000	11.70	n/a	3-Jun	8,400.00	3.0
SIGMA CAP BOBBY	044	F	B	100,000	11.65	n/a	3-Jun	3,500.00	3.5
EXIS CAPITAL	044	GES	SS	5,000	35.81	n/a	28-May	250.00	5.0
B BUCK CAPITAL MGMT		GIL	S	20,000	31.49	n/a	14-May	non-brg	4.0
HARVEST MGMT	029	GPS	SS	10,000	22.14	n/a	n/a	500.00	5.0
f DAVID GEORGE BUCK	FID	GRT	B	3000	6.93	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		HBI	S	20,000	29.08	n/a	14-May	non-brg	4.0
BUCK CAPITAL MGMT	001	HD	B	40,000	32.40	n/a	19-May	1,600.00	4.0
BALYASNY ASSET MGMT	044	HGG	S	69,800	28.45	n/a	n/a	3,490.00	5.0
B BUCK CAPITAL MGMT		HIBB	C	20,000	25.82	n/a	n/a	non-brg	4.0
SENATOR INVESTMENT GROUP	036	HK	B	25,000	21.40	n/a	n/a	750.00	3.0
f FRANCIS PATRICK GALL	FID	HTS	S	25	29.51	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ACK ASSET	028	ICON	S	36,134	16.31	n/a	n/a	1,084.02	3.0
DAVIDSON KEMPNER ADVISOR	040	IEO	C	4,400	54.07	n/a	n/a	220.00	5.0
BROADARCH CAP/OLD NEWC	070	IGT	B	4,500	19.49	n/a	23-Apr	180.00	4.0
SENATOR INVESTMENT GROUP	036	IWM	B	10,800	66.08	n/a	n/a	324.00	3.0
B BUCK CAPITAL MGMT		JBLU	S	30,000	6.87	n/a	n/a	non-brg	3.0
BMO NESBITT BURNS	041	JCP	SS	6,000	27.42	n/a	4-Jun	240.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	10,000	27.28	n/a	4-Jun	400.00	4.0
B BUCK CAPITAL MGMT		JCP	S	75,000	27.22	n/a	4-Jun	non-brg	4.0
f IAN L MILLER	FID	JNJ	S	100	58.76	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	B	20,000	20.00	n/a	14-May	800.00	4.0
EXIS CAPITAL	044	JWN	SS	15,000	39.05	n/a	4-Jun	750.00	5.0
CHILTON CAPITAL MGMT.	036	KMX	C	35,200	20.54	n/a	n/a	1,056.00	3.0
EXIS CAPITAL	044	KSS	B	15,000	52.01	n/a	4-Jun	750.00	5.0
THE JAY GOLDMAN	028	MAR	S	5,000	33.99	n/a	n/a	200.00	4.0
HARVEST MGMT	029	MGM	B	20,000	12.37	n/a	11-May	1,000.00	5.0
THE JAY GOLDMAN	028	MGM	S	15,000	12.19	n/a	11-May	600.00	4.0
PROSPECTOR PARTNERS LLC	036	MIR	B	10,000	12.33	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARROW HANLEY MEWHINNEY	031	MSFT	B	164,200	25.80	n/a	n/a	5,747.00	3.5
EXIS CAPITAL	044	M	B	20,000	21.50	n/a	4-Jun	1,000.00	5.0
SOROS	036	NBR	SS	2,500	21.51	n/a	n/a	100.00	4.0
MERRILL ASSET/BLACKROCK	263	NFLX	C	400	127.61	n/a	n/a	16.00	4.0
B BUCK CAPITAL MGMT		NWY	B	50,000	2.55	n/a	n/a	non-brg	3.0
CAVU CAP ADV	028	PH	S	25,000	62.03	n/a	30-Apr	1,250.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	PIR	S	1500	7.95	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	PKG	B	11,000	22.98	n/a	20-Apr	1,100.00	10.0
B BUCK CAPITAL MGMT		POOL	C	30,000	24.21	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	POT	SS	4,600	99.55	n/a	n/a	184.00	4.0
B BUCK CAPITAL MGMT		PSS	S	50,000	19.55	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	PVH	SS	5,000	54.03	n/a	26-May	250.00	5.0
B BUCK CAPITAL MGMT		QKLS	S	20,000	5.10	n/a	n/a	non-brg	3.0
SYSTEMATIC FINANCIAL MGT	035	RAI	B	150,000	52.12	n/a	n/a	4,500.00	3.0
f DAVID GEORGE BUCK	FID	RIG	S	2500	45.55	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		ROST	C	20,000	57.21	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	SEE	B	300	21.24	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKS	B	100,000	8.86	n/a	n/a	3,000.00	3.0
B BUCK CAPITAL MGMT		SKX	B	60,000	41.57	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	SLB	B	2300	60.35	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SPG	S	1000	88.38	n/a	n/a	0.00	0.0
LOCUS WOOD CAPITAL MGMT	044	STR	B	25,000	48.37	n/a	3-Jun	1,000.00	4.0
B BUCK CAPITAL MGMT		TIF	C	20,000	44.03	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	TIN	S	10,000	22.54	n/a	21-Apr	400.00	4.0
BMO NESBITT BURNS	041	TJX	SS	3,500	46.47	n/a	4-Jun	140.00	4.0
EXIS CAPITAL	044	TJX	SS	5,000	46.22	n/a	4-Jun	250.00	5.0
BUCK CAPITAL MGMT	001	TLB	B	20,000	12.93	n/a	n/a	800.00	4.0
COUGAR TRADING	044	TM	B	5,000	71.70	n/a	n/a	250.00	5.0
COUGAR TRADING	044	TM	SS	5,000	71.05	n/a	n/a	250.00	5.0
LEVEL GLOBAL SHARED ACCT	257	TPX	B	50,000	33.58	n/a	n/a	1,500.00	3.0
ROTHSCHILD ASSET	040	TPX	S	19,240	33.61	n/a	n/a	769.60	4.0
B BUCK CAPITAL MGMT		TSCO	C	30,000	68.22	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		UAUA	S	30,000	23.46	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		USTR	C	10,000	57.35	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		VFC	B	20,000	78.60	n/a	14-May	non-brg	4.0
DAVID GEORGE BUCK	FID	VNO	B	1000	78.33	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	WAG	S	10,450	29.67	n/a	n/a	522.50	5.0
JONATHAN RICHARD HAR	FID	WBC	B	250	31.08	n/a	27-May	0.00	0.0
DELTEC ASSET MGMT.	040	WCRX	S	12,000	24.80	n/a	14-Jun	600.00	5.0
BUCK CAPITAL MGMT		WHR	C	15,000	99.09	n/a	n/a	non-brg	2.0
EXIS CAPITAL	044	WRC	B	5,000	42.84	n/a	14-May	250.00	5.0
SCOPUS FUND	041	WRC	B	47,800	42.89	n/a	14-May	2,390.00	5.0
BUCK CAPITAL MGMT	001	WWW	B	20,000	29.00	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		XRT	SS	345,000	40.43	n/a	n/a	non-brg	2.0
TOTAL (Trade Date) :								68,943.12	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/11	SCOPUS FUND	041	FL	B	50,000	14.31	n/a	11-Jun	2500.00 5.0
AO,CRT	06/11	SCOPUS FUND	041	FL	B	50,000	14.31	n/a	11-Jun	2500.00 5.0
AO,	06/11	COLUMBUS CIRCLE	036	GWR	B	4,192	38.42	n/a	n/a	167.68 4.0
AO,	06/11	COLUMBUS CIRCLE	036	MGLN	S	1,076	39.18	n/a	n/a	43.04 4.0
AO,	06/11	WEINTRAUB CAPITAL MGT.	040	NDN	B	50,000	14.21	n/a	27-May	2000.00 4.0
AO,CRT	06/11	BUCK CAPITAL MGMT	001	NWY	B	20,000	2.54	n/a	n/a	600.00 3.0
AO,CXL	06/11	BUCK CAPITAL MGMT	001	NWY	B	20,000	2.54	n/a	n/a	800.00 4.0
AO,	06/11	SCOUT/UMB	047	UNT	B	15,111	45.90	n/a	3-Jun	755.55 5.0
AO,CRT	06/08	GRYPHON CAPITAL MGMT	084	WDC	S	50,000	33.40	n/a	n/a	1500.00 3.0
AO,CXL	06/08	GRYPHON CAPITAL MGMT	084	WDC	S	50,000	33.40	n/a	n/a	1500.00 3.0
TOTAL (AO, CXL, CRT) :										2,766.27
TOTAL (Trade Date):										68,943.12
TOTAL (AO, CXL, CRT):										2,766.27
TOTAL (RUN DATE) / NFS:										71,709.39

MRI Securities with Trade Activity on 14-Jun.

NONE

MRI Securities without Trade Activity on 14-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 19%

YTD = 8%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)