



RR Commissions Summary [Ian Miller]

Trade Date: 09/24/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BTIG/KDI ADV	025	0	0	0	0	0	0	25,000	0	0	25,000	50,000	50
CHARTERBRIDGE CAPITAL	025	0	0	11,159	21,607	0	0	0	0	11,159	21,607	43,000	50
CONIFER	025	0	0	960	960	0	0	0	0	960	960	6,928	14
CONIFER/ISF MANAGEMENT	025	0	0	0	0	0	3,000	7,000	0	3,000	7,000	13,840	51
CYRUS CAPITAL	025	0	300	29,625	113,273	0	0	0	300	29,625	113,273	36,516	310
EMINENCE CAPITAL	025	0	0	0	0	10,000	10,000	35,000	10,000	10,000	35,000	80,000	44
FRANKLIN ADVISORY SERVIC	025	0	0	0	0	7,500	7,500	17,525	7,500	7,500	17,525	20,000	88
G WEISS /IAN	025	0	0	0	0	0	0	0	0	0	0	5,040	0
GABELLI FUNDS	025	0	0	998	9,786	0	0	0	0	998	9,786	0	0
HAWK RIDGE	025	0	360	360	360	0	0	0	360	360	360	0	0
HOPLITE MGMT	025	0	6,293	7,900	33,909	0	0	0	6,293	7,900	33,909	59,717	57
KOLVEN CAPITAL	025	0	314	314	605	0	0	0	314	314	605	30	2018
KORTRIGHT CAPITAL PARTNERS	025	1,350	7,599	7,899	7,899	0	0	0	7,599	7,899	7,899	0	0
MASTERS CAPITAL MANAGE	025	0	10,000	18,200	53,097	0	0	0	10,000	18,200	53,097	48,495	109
NEWLAND CAPITAL MGT	025	0	2,900	8,896	21,888	0	0	0	2,900	8,896	21,888	68,617	32
PALISADE CAPITAL MANAGEMENT LLC	025	0	0	0	16,942	0	0	0	0	0	16,942	0	0
PATTEN & PATTEN	025	0	2,164	43,725	49,213	0	0	0	2,164	43,725	49,213	56,434	87
PAW PARTNERS	025	0	0	0	2,500	0	0	0	0	0	2,500	2,875	87
RIVERPARK CAPITAL	025	0	1,168	4,235	11,366	0	0	0	1,168	4,235	11,366	9,371	121
SAGE ASSET MGMT	025	0	0	4,485	23,480	0	0	0	0	4,485	23,480	31,923	74
SCOPIA CAPITAL	025	0	0	10,000	22,065	0	0	0	0	10,000	22,065	0	0
SEVEN LOCKS CAPITAL	025	0	2,100	4,770	8,770	0	0	0	2,100	4,770	8,770	11,607	76
SONICA CAPITAL LLC	025	0	3,240	4,755	9,870	0	0	0	3,240	4,755	9,870	4,539	217
SOUTH SHORE CAPITAL	025	0	0	0	0	0	0	500	0	0	500	850	59
TOTALS:		1,350	36,438	158,281	407,589	17,500	20,500	85,025	53,938	178,781	492,614		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	0	11,655	32,386	104,026	0	0	0	11,655	32,386	104,026	103,730	0
FIR TREE CAPITAL	270	0	0	0	0	0	0	9,000	0	0	9,000	6,500	138
GEORGE WEISS	278	0	4,263	6,753	31,446	0	20,000	80,000	4,263	26,753	111,446	0	0
TOTALS:		0	15,918	39,139	135,472	0	20,000	89,000	15,918	59,139	224,472		

