



## Daily Compliance Activity Report

Trade Date: 02/04/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>S</b>	<b>50,000</b>	<b>22.32</b>	<b>11-Jan</b>	<b>4-Feb</b>	<b>2,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	AEO	B	5,000	21.84	11-Jan	4-Feb	250.00	5.0
COUGAR TRADING	044	AEO	SS	5,000	23.17	11-Jan	4-Feb	250.00	5.0
IVORY CAPITAL	034	ALU	B	702,300	6.55	n/a	n/a	3,511.50	0.5
CAPITAL GROWTH MGMT.	241	AMX	B	50,000	60.80	n/a	n/a	2,500.00	5.0
SAB CAPITAL	034	ANF	B	35,000	79.47	10-Jan	4-Feb	1,750.00	5.0
<b>CATTANI</b>	<b>041</b>	<b>APA</b>	<b>B</b>	<b>100</b>	<b>99.67</b>	<b>14-Jan</b>	<b>28-Jan</b>	<b>0.00</b>	<b>0.0</b>
SAB CAPITAL	034	ARO	B	75,000	27.70	29-Nov	4-Feb	3,750.00	5.0
<b>CATTANI</b>	<b>041</b>	<b>AVP</b>	<b>S</b>	<b>300</b>	<b>36.00</b>	<b>23-Jan</b>	<b>23-Jan</b>	<b>0.00</b>	<b>0.0</b>
NATIONAL BK OF CAN	031	BAC	B	22,200	44.55	13-Nov	23-Jan	888.00	4.0
IRIDIAN ASSET MGMT.	036	BAX	S	88,850	62.26	n/a	22-Apr	4,442.50	5.0
BALYASNY ASSET MGMT	044	BJ	B	10,000	32.41	n/a	27-Jul	500.00	5.0
MTB INV ADV	034	BKC	B	15,170	26.37	n/a	24-Apr	758.50	5.0
<b>CROWLEY</b>	<b>061</b>	<b>BNJ</b>	<b>S</b>	<b>600</b>	<b>18.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SUTERA</b>	<b>160</b>	<b>BQI</b>	<b>B</b>	<b>1,500</b>	<b>3.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BACKUS	005	BTU	S	5,000	56.03	n/a	n/a	0.00	0.0
N STRATTON	014	CADA	B	100	41.50	n/a	n/a	0.00	0.0
BUCK	015	CAH	S	1,250	60.46	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		CAO	C	30,000	9.42	n/a	n/a	non-brg	4.0
SUTERA	160	CHP	S	2,500	6.28	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	CNX	B	100,000	76.87	n/a	n/a	5,000.00	5.0
PECONIC PARTNERS	036	COF	C	5,000	52.90	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	COH	SS	20,000	31.62	24-Oct	24-Jan	800.00	4.0
MTB INV ADV	034	CRI	B	21,600	18.89	n/a	n/a	1,080.00	5.0
ROYCE TRADING	216	CRR	B	54,600	35.47	25-Oct	28-Jan	2,730.00	5.0
Ⓐ BUCK CAPITAL MGMT		CTRN	S	20,000	14.00	n/a	n/a	non-brg	4.0
BACKUS	005	CVS	S	1,000	39.66	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		DAI	SS	30,000	79.93	n/a	n/a	non-brg	4.0
BUCK	015	DD	B	500	45.67	n/a	22-Jan	0.00	0.0
SHAREVEST CAPITAL MGMT.	038	DKS	B	10,000	31.97	n/a	27-Nov	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	DWSN	B	1,000	59.39	n/a	n/a	0.00	0.0
8 BUCK CAPITAL MGMT		ETH	SS	10,000	30.18	n/a	n/a	non-brg	4.0
GALLEON MGMT LP	033	FIG	C	82,840	14.72	n/a	n/a	4,142.00	5.0
MTB INV ADV	034	FOSL	B	11,855	33.82	13-Nov	29-Jan	582.75	3.3
MAGUIRE	090	FXP	B	3,000	85.63	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	GB	B	30,000	22.79	n/a	n/a	1,125.00	3.8
SINCLAIR	031	GIYCC	B	20	1.65	n/a	n/a	0.00	0.0
BARR	096	GLD	S	300	88.55	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	B	5,000	18.96	n/a	4-Feb	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	GR	S	24,000	63.23	25-Oct	31-Jan	900.00	3.8
COUGAR TRADING	044	GYMB	S	5,000	37.54	n/a	4-Feb	250.00	5.0
BULL PATH CAPITAL	044	HS	S	28,500	22.45	n/a	n/a	1,425.00	5.0
GEORGE WEISS	034	HUN	C	6,000	24.56	n/a	n/a	240.00	4.0
BUCK CAPITAL MGMT	001	JAH	S	20,000	24.21	n/a	n/a	800.00	4.0
CAXTON PART	040	JAVA	S	200,000	16.88	n/a	n/a	7,000.00	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JCG	S	20,000	44.67	28-Nov	4-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	46.51	4-Jan	4-Feb	800.00	4.0
COUGAR TRADING	044	JCP	S	5,000	47.27	4-Jan	4-Feb	250.00	5.0
JP MORGAN VENTURES	046	JWN	C	3,100	39.69	20-Nov	4-Feb	155.00	5.0
NATIONAL BK OF CAN	031	KBW	SS	5,000	27.78	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	KSS	SS	20,000	44.42	4-Jan	4-Feb	800.00	4.0
COUGAR TRADING	044	KSS	SS	15,000	45.06	4-Jan	4-Feb	750.00	5.0
GEORGE WEISS	034	LAVA	B	10,000	11.55	n/a	n/a	300.00	3.0
CATAPULT	040	LAZ	B	5,000	40.92	n/a	n/a	250.00	5.0
BACKUS	005	LBTYA	S	2,000	40.32	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	LEN	B	50,000	20.04	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	50,000	21.88	n/a	28-Jan	2,000.00	4.0
SAB CAPITAL	034	LTD	B	390,000	18.47	n/a	4-Feb	19,500.00	5.0
NEW JERSEY DIV OF INV.	220	LXP	B	37,300	15.16	n/a	n/a	1,865.00	5.0
BUCK CAPITAL MGMT	001	MAS	SS	50,000	22.46	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	MCD	SS	50,000	53.70	n/a	24-Apr	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAXON WOODS ADVISORS	038	MCD	B	50,000	53.92	n/a	24-Apr	2,000.00	4.0
<b>BARR</b>	<b>096</b>	<b>MCO</b>	<b>C</b>	<b>500</b>	<b>35.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BACKUS</b>	<b>005</b>	<b>MEE</b>	<b>S</b>	<b>5,000</b>	<b>40.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MIPS</b>	<b>S</b>	<b>10,000</b>	<b>4.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
IVORY CAPITAL	034	MSFT	B	669,300	30.53	n/a	3-Apr	3,346.50	0.5
<b>BUCK</b>	<b>015</b>	<b>MSFT</b>	<b>SS</b>	<b>1,500</b>	<b>30.56</b>	<b>n/a</b>	<b>3-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>SS</b>	<b>73,000</b>	<b>149.85</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>15.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>MZZ</b>	<b>B</b>	<b>2,000</b>	<b>59.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EGS ASSOCIATES LP	091	M	B	5,500	27.05	4-Jan	4-Feb	330.00	6.0
<b>BUCK</b>	<b>015</b>	<b>NCC</b>	<b>C</b>	<b>1,500</b>	<b>17.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KARSCH CAPITAL	034	ODP	S	100,000	14.81	n/a	27-Jul	3,000.00	3.0
MERRILL ASSET	032	ONB	S	20,000	16.56	n/a	n/a	900.00	4.5
WOOSTER CAPITAL	035	PAG	B	75,000	17.59	n/a	31-Jan	3,750.00	5.0
XYLEM GLOBAL	091	PCH	B	10,000	42.07	8-Jan	23-Jan	500.00	5.0
<b>BACKUS</b>	<b>005</b>	<b>PCX</b>	<b>S</b>	<b>100</b>	<b>43.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PETM</b>	<b>SS</b>	<b>20,000</b>	<b>23.52</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		PVH	S	20,000	41.41	16-Jan	29-Jan	non-brg	4.0
ASCEND PARTNERS	033	RL	SS	25,000	60.50	14-Jan	1-Feb	1,250.00	5.0
BUCK CAPITAL MGMT		RL	S	60,000	60.41	14-Jan	1-Feb	non-brg	4.0
BUCK CAPITAL MGMT		RTH	SS	122,400	94.00	n/a	n/a	non-brg	2.0
NEUBERGER BERMAN	036	SFD	S	52,800	28.15	n/a	n/a	2,376.00	4.5
GOLDMAN SACHS ASSET MGMT	044	SGP	B	632,930	21.00	n/a	n/a	9,493.95	1.5
GAULT	063	SKF	S	500	95.99	n/a	n/a	0.00	0.0
CATTANI	041	SLB	B	100	79.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SPLS	SS	50,000	23.32	n/a	27-Jul	non-brg	4.0
N STRATTON	014	TECUA	C	200	22.83	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	SS	20,000	55.66	n/a	27-Jul	800.00	4.0
COUGAR TRADING	044	TJX	B	15,000	32.04	21-Sep	4-Feb	750.00	5.0
BUCK CAPITAL MGMT		TRLG	S	20,000	18.98	n/a	n/a	non-brg	4.0
WHEELER	008	TRMA	B	2,000	32.10	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		TSCO	SS	40,000	38.93	n/a	n/a	non-brg	4.0
MAGUIRE	039	TTM	SS	300	19.81	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	TYCNH	B	30	0.85	n/a	n/a	0.00	0.0
GAULT	063	TYC	S	300	40.06	9-Nov	23-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		UA	S	10,000	42.76	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	10,000	28.14	3-Jan	4-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		VCGH	S	20,000	12.52	n/a	n/a	non-brg	4.0
UBS OCONNOR	031	WB	C	60,000	35.56	n/a	n/a	1,800.00	3.0
MTB INV ADV	034	WMS	B	10,800	37.18	n/a	n/a	540.00	5.0
IVORY CAPITAL	034	WM	B	852,800	19.93	n/a	n/a	4,264.00	0.5
BUCK CAPITAL MGMT	001	WSM	SS	10,000	26.70	n/a	n/a	400.00	4.0
BUCK	015	YHOO	B	3,500	28.97	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	YUM	SS	10,000	35.94	n/a	24-Apr	400.00	4.0
ß BUCK CAPITAL MGMT		ZLC	SS	70,000	16.95	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):							117,745.70		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/01	NCM CAPITAL MGMT	035	BLK	S	13,980	218.84	21-Nov	30-Jan	559.20 4.0
AO,CXL	01/29	REICH AND TANG	067	CR	S	1,000	40.75	n/a	n/a	0.00 0.0
AO,	02/01	STG INVESTORS	041	IFX	B	50,000	10.85	n/a	n/a	2500.00 5.0
AO,CXL	02/01	NEW JERSEY DIV OF INV.	220	LXP	B	16,500	15.10	n/a	n/a	825.00 5.0
AO,CRT	02/01	NEW JERSEY DIV OF INV.	220	LXP	B	16,500	15.10	n/a	n/a	660.00 4.0
AO,	02/01	CATTANI	041	PRUAX	B	535	14.03	n/a	n/a	0.00 0.0
AO,CRT	02/01	THE BOSTON COMPANY	401	RL	S	1,310	60.13	14-Jan	1-Feb	52.40 4.0
AO,CXL	02/01	THE BOSTON COMPANY	401	RL	S	1,310	60.13	14-Jan	1-Feb	52.40 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>2,894.20</b>

TOTAL (Trade Date) :	117,745.70
TOTAL (AO, CXL, CRT) :	2,894.20

<b>TOTAL (RUN DATE) / NFS:</b>	<b>120,639.90</b>
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MRI Securities with Trade Activity on 4-Feb. NONE
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MRI Securities without Trade Activity on 4-Feb.

MWV

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 32%

YTD = 25%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**