



# Daily Compliance Activity Report

Trade Date: 10/20/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GREEN ARROW DOUG	040	AA	S	25,000	13.85	n/a	n/a	1,250.00	5.0
LOCUS WOOD CAPITAL MGMT	044	ABX	B	10,000	38.15	n/a	n/a	400.00	4.0
SACC-SCHWARTZ	044	ABX	S	50,000	37.92	n/a	n/a	2,000.00	4.0
SIGMA CAPITAL ASSOCIATES	041	AEO	S	25,000	19.38	n/a	9-Oct	1,000.00	4.0
CATAPULT	040	AGCO	B	10,580	28.61	n/a	n/a	529.00	5.0
DEFIANCE ASSET	028	AGU	B	17,000	55.38	n/a	n/a	680.00	4.0
EXIS CAPITAL	044	AMR	S	15,000	7.69	n/a	n/a	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AM</b>	<b>S</b>	<b>30,000</b>	<b>22.47</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	ANF	B	10,000	37.05	n/a	9-Oct	500.00	5.0
COLONIAL FUND LLC	036	AN	B	15,000	20.67	n/a	19-Oct	750.00	5.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>APC</b>	<b>B</b>	<b>2000</b>	<b>65.70</b>	<b>n/a</b>	<b>9-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>APP</b>	<b>S</b>	<b>30,000</b>	<b>3.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
EXIS CAPITAL	044	ARO	B	2,500	41.49	n/a	9-Oct	125.00	5.0
<b>f JOEL GIFFORD TISS</b>	<b>FID</b>	<b>ASTE</b>	<b>B</b>	<b>2000</b>	<b>24.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>AZWAO</b>	<b>B</b>	<b>20</b>	<b>2.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>AZWKO</b>	<b>B</b>	<b>50</b>	<b>0.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GREEN ARROW DOUG	040	BAC	S	25,000	17.41	n/a	19-Oct	1,250.00	5.0
COLONIAL FUND LLC	036	BA	B	10,000	51.65	n/a	12-Oct	500.00	5.0
GRUBER MACBAINE	033	BBBY	S	10,000	36.01	n/a	n/a	400.00	4.0
EXIS CAPITAL	044	BBY	S	10,300	39.23	n/a	n/a	515.00	5.0
KEEFE BRUYETTE WOODS A	045	BCS	B	6,600	23.85	n/a	n/a	330.00	5.0
NEUBERGER BERMAN	036	BDN	S	37,300	10.01	n/a	n/a	1,678.50	4.5
EXIS CAPITAL	044	BKE	S	5,000	32.01	n/a	n/a	250.00	5.0
SANDLER O'NEILL ASSET	031	BLK	B	5,000	237.50	n/a	20-Oct	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>B</b>	<b>40,000</b>	<b>8.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BTU</b>	<b>S</b>	<b>4,000</b>	<b>45.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
JP MORGAN VENTURES	046	BWS	B	20,000	11.64	n/a	n/a	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BYD</b>	<b>SS</b>	<b>10,000</b>	<b>11.15</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
BAIRD INVESTMENT	047	CAM	B	2,500	41.05	n/a	n/a	75.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>CCI</b>	<b>S</b>	<b>10,000</b>	<b>34.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CMG	B	8,769	89.44	n/a	20-Oct	350.76	4.0
EXIS CAPITAL	044	COH	SS	10,000	33.70	n/a	n/a	500.00	5.0
MILLRACE FUND LP	028	CPKI	B	5,000	14.21	n/a	8-Oct	150.00	3.0
STERLING JOHNSTON	035	CRI	B	28,000	28.42	n/a	n/a	1,120.00	4.0
ROYCE TRADING	216	CR	B	1,000	27.46	n/a	n/a	50.00	5.0
B BUCK CAPITAL MGMT		CTRN	S	10,000	29.92	n/a	n/a	non-brg	4.0
f JOEL GIFFORD TISS	FID	CVGI	B	12800	7.53	n/a	n/a	0.00	0.0
f MICHAEL THOMAS NOLAN	FID	C	B	1000	4.58	n/a	16-Oct	0.00	0.0
ROYCE TRADING	216	DAN	B	10,000	6.94	n/a	n/a	500.00	5.0
EXIS CAPITAL	044	DECK	B	4,200	92.01	n/a	n/a	210.00	5.0
B BUCK CAPITAL MGMT		EAT	S	80,000	15.08	n/a	n/a	non-brg	4.0
COOPER CREEK PART	028	EAT	B	10,000	14.80	n/a	n/a	400.00	4.0
NORTHPOINT	030	ELX	S	37,872	11.33	n/a	n/a	1,514.88	4.0
CR INTRINIC INVESTMENT	044	ENDP	SS	53,502	23.53	n/a	20-Oct	2,140.08	4.0
NEUBERGER BERMAN	036	EQR	S	17,700	29.21	n/a	n/a	796.50	4.5
NEUBERGER BERMAN	036	EXR	S	68,800	10.52	n/a	n/a	3,096.00	4.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROARING BLUE LION MGMT	012	FINL	B	14,500	11.22	n/a	n/a	580.00	4.0
FEDERATED INV/KAUFMAN	029	F	B	500,000	7.72	n/a	19-Oct	27,500.00	5.5
PHILADELPHIA FINANCIAL	033	F	B	100,000	7.76	n/a	19-Oct	4,000.00	4.0
LEVEL GLOBAL SHARED ACCT	257	GCI	C	250,000	13.99	n/a	n/a	7,500.00	3.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>GCO</b>	<b>B</b>	<b>500</b>	<b>28.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>74,000</b>	<b>18.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
KLEINHEINZ CAP PART	031	GLD	S	100,000	103.75	n/a	n/a	5,000.00	5.0
EXIS CAPITAL	044	GPS	B	2,500	22.69	n/a	n/a	125.00	5.0
NORTHPOINT	030	GTIV	S	14,469	26.22	n/a	n/a	578.76	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>10,000</b>	<b>47.26</b>	<b>n/a</b>	<b>9-Oct</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	GYMB	B	5,000	47.49	n/a	9-Oct	250.00	5.0
ROYCE TRADING	216	HBI	B	3,000	23.40	n/a	n/a	150.00	5.0
NEUBERGER BERMAN	036	HCP	S	35,500	30.13	n/a	n/a	1,597.50	4.5
ROYCE TRADING	216	HRZ	S	10,000	5.86	n/a	n/a	500.00	5.0
CR INTRINIC INVESTMENT	044	INCY	S	800	5.96	n/a	n/a	32.00	4.0
SCHOTTENFELD ASSOC	041	IP	B	25,000	24.32	n/a	12-Oct	750.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	IP	B	66,600	24.38	n/a	12-Oct	3,330.00	5.0
TRELLUS PARTNERS LP	028	IP	C	75,000	24.29	n/a	12-Oct	3,750.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>IR</b>	<b>B</b>	<b>20,000</b>	<b>34.09</b>	<b>20-Oct</b>	<b>20-Oct</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> NICOLAS EVAN PAKLER	<b>FID</b>	<b>IR</b>	<b>B</b>	<b>85</b>	<b>34.12</b>	<b>20-Oct</b>	<b>20-Oct</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	ITB	S	5,000	12.45	n/a	n/a	250.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>ITW</b>	<b>B</b>	<b>25,000</b>	<b>46.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
BAIRD INVESTMENT	047	IVZ	B	3,350	23.45	20-Oct	20-Oct	100.50	3.0
DIAMONDBACK CAP MGMT	232	IVZ	B	15,000	23.27	20-Oct	20-Oct	750.00	5.0
NORTHPOINT	030	JAH	S	12,303	28.53	n/a	n/a	492.12	4.0
<b>f</b> ANTHONY NG	<b>FID</b>	<b>JBLU</b>	<b>B</b>	<b>150</b>	<b>6.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	JNY	S	3,353	19.29	n/a	n/a	167.65	5.0
MERRILL ASSET/BLACKROCK	214	JOYG	S	11,400	54.12	n/a	n/a	513.00	4.5
<b>B</b> BUCK CAPITAL MGMT	<b>001</b>	<b>JWN</b>	<b>B</b>	<b>70,000</b>	<b>35.40</b>	<b>n/a</b>	<b>9-Oct</b>	<b>2,800.00</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>JWN</b>	<b>B</b>	<b>30,600</b>	<b>35.24</b>	<b>n/a</b>	<b>9-Oct</b>	<b>non-brg</b>	<b>4.0</b>
PRINCIPLE CAPITAL	040	KMX	S	50,000	22.20	n/a	n/a	1,500.00	3.0
SCOPIA CAPITAL	048	KR	S	100,000	24.15	n/a	n/a	4,000.00	4.0
LEVEL GLOBAL SHARED ACCT	257	LULU	C	50,000	25.25	n/a	n/a	1,500.00	3.0
FIRST NEW YORK LEDDY	035	LVL	S	10,000	1.35	n/a	n/a	600.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PARTNER REINSURANCE	033	MCD	S	14,650	58.96	n/a	20-Oct	586.00	4.0
NORTHPOINT	030	MCRS	S	12,103	27.61	n/a	n/a	484.12	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MGM</b>	<b>SS</b>	<b>20,000</b>	<b>12.08</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
UBS OCONNOR	031	MMM	B	50,000	75.88	n/a	n/a	1,500.00	3.0
CR INTRINIC INVESTMENT	044	MRK	SS	50,000	33.79	n/a	n/a	2,000.00	4.0
CR INTRINIC INVESTMENT	044	MRK	S	24,354	33.76	n/a	n/a	974.16	4.0
DIAMONDBACK CAP MGMT	232	MS	C	10,000	32.61	n/a	15-Oct	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>SS</b>	<b>25,000</b>	<b>170.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>17.0</b>
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>MWE</b>	<b>B</b>	<b>200</b>	<b>26.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>S</b>	<b>20,000</b>	<b>19.99</b>	<b>n/a</b>	<b>9-Oct</b>	<b>800.00</b>	<b>4.0</b>
ENDEAVOUR CAPITAL	035	NDAQ	S	223,000	20.51	n/a	n/a	8,920.00	4.0
NEUBERGER BERMAN	036	NHP	S	24,600	31.80	n/a	n/a	1,107.00	4.5
ENDEAVOUR CAPITAL	035	NYX	B	152,000	28.94	n/a	n/a	6,080.00	4.0
NORTHPOINT	030	OCR	S	8,180	22.53	n/a	n/a	327.20	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ORLY</b>	<b>SS</b>	<b>20,000</b>	<b>36.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COLONIAL FUND LLC	036	PAG	B	12,500	18.37	n/a	19-Oct	625.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HUNTER GLOBAL INVESTOR	067	PEP	S	50,000	61.30	n/a	n/a	2,500.00	5.0
BACKBAY	041	PKG	B	50,000	20.33	n/a	20-Oct	2,500.00	5.0
BMO NESBITT BURNS	041	PKG	B	25,000	19.94	n/a	20-Oct	1,250.00	5.0
CASTLEROCK	040	PKG	B	69,275	20.35	n/a	20-Oct	2,771.00	4.0
COLONIAL FUND LLC	036	PKG	S	25,000	21.00	n/a	20-Oct	1,250.00	5.0
DSG CAPITAL	225	PKG	B	10,000	20.00	n/a	20-Oct	500.00	5.0
SCHOTTENFELD ASSOC	041	PKG	B	20,000	20.36	n/a	20-Oct	600.00	3.0
SCHOTTENFELD ASSOC	041	PKG	S	10,000	21.28	n/a	20-Oct	300.00	3.0
WEINTRAUB CAPITAL MGT.	040	PKG	B	100,000	20.25	n/a	20-Oct	4,000.00	4.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>PRCP</b>	<b>B</b>	<b>7100</b>	<b>3.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	PSUN	S	10,000	6.75	n/a	n/a	500.00	5.0
KLEINHEINZ CAP PART	031	QCOM	S	50,000	41.30	n/a	n/a	2,500.00	5.0
NORTHPOINT	030	RADS	S	31,617	10.02	n/a	n/a	1,264.68	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>RF</b>	<b>B</b>	<b>150</b>	<b>6.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>RF</b>	<b>B</b>	<b>10000</b>	<b>5.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RL</b>	<b>S</b>	<b>5,000</b>	<b>77.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
LEVEL GLOBAL SHARED ACCT	257	RL	C	50,000	77.63	n/a	n/a	1,500.00	3.0
EXIS CAPITAL	044	ROST	B	2,500	46.01	n/a	n/a	125.00	5.0
EXIS CAPITAL	044	ROST	SS	10,000	45.85	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>RRR</b>	<b>B</b>	<b>25,000</b>	<b>7.85</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RSH	SS	20,000	15.56	n/a	n/a	800.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	SEUKH	S	9	4.00	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SFL	S	100	13.07	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	SKS	B	5,000	6.48	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		SKX	S	30,000	22.91	n/a	n/a	non-brg	4.0
FRONTIER	039	SMTC	B	10,900	16.90	n/a	n/a	436.00	4.0
EXIS CAPITAL	044	SNDK	B	15,000	21.79	n/a	n/a	750.00	5.0
ROYCE TRADING	216	SOA	B	2,500	12.84	n/a	n/a	125.00	5.0
B BUCK CAPITAL MGMT		STT	B	30,000	48.78	n/a	20-Oct	non-brg	4.0
DIAMONDBACK CAP MGMT	232	STT	C	10,000	47.81	n/a	20-Oct	500.00	5.0
BUCK CAPITAL MGMT	001	SVU	SS	50,000	17.16	n/a	n/a	2,000.00	4.0
f JONATHAN RICHARD HAR	FID	TBT	S	50	44.63	n/a	n/a	0.00	0.0
NORTHPOINT	030	TER	S	38,200	10.18	n/a	n/a	1,528.00	4.0
STRONG/MARK GRONET	030	TEX	S	65,000	24.18	n/a	n/a	2,600.00	4.0
BMO NESBITT BURNS	041	TIN	B	50,000	17.09	n/a	12-Oct	2,500.00	5.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	228,000	17.25	n/a	12-Oct	11,400.00	5.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEWLAND CAPITAL MGT	067	TIN	B	50,000	17.19	n/a	12-Oct	2,000.00	4.0
SCOPUS FUND	041	TIN	B	23,100	17.33	n/a	12-Oct	1,155.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>B</b>	<b>27,700</b>	<b>38.83</b>	<b>20-Oct</b>	<b>20-Oct</b>	<b>1,108.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TWB</b>	<b>B</b>	<b>20,000</b>	<b>9.04</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>3.0</b>
PZENA INV ADV	044	TWP	B	800	18.39	n/a	n/a	28.00	3.5
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>UA</b>	<b>B</b>	<b>1200</b>	<b>31.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
T. ROWE PRICE	033	UFS	S	60,000	41.91	n/a	n/a	2,400.00	4.0
RBC CAPITAL MARKETS	040	VMC	B	4,300	53.71	n/a	n/a	172.00	4.0
KLEINHEINZ CAP PART	031	WHR	B	50,000	73.61	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>10,000</b>	<b>43.22</b>	<b>n/a</b>	<b>2-Oct</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	WRC	SS	2,500	43.44	n/a	2-Oct	125.00	5.0
ROTHSCHILD ASSET	040	WRC	B	60,290	43.41	n/a	2-Oct	2,713.05	4.5
CR INTRINIC INVESTMENT	044	WST	B	4,045	40.50	n/a	n/a	161.80	4.0
LEVIN CAPITAL STRATEGIES	012	XLP	SS	50,000	26.59	n/a	n/a	2,000.00	4.0
<b>f ANTHONY NG</b>	<b>FID</b>	<b>XOMA</b>	<b>S</b>	<b>500</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	XRT	SS	5,000	36.32	n/a	n/a	250.00	5.0
LAGRANGE CAP ADV	048	YHOO	B	10,000	16.90	n/a	n/a	500.00	5.0

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**TOTAL (Trade Date):**

**178,343.26**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/19	KOYOTE TRADING LLC	041	C	S	50,000	4.60	n/a	16-Oct	1500.00 3.0
AO,CXL	10/19	SCHOTTENFELD ASSOC	041	C	S	50,000	4.60	n/a	16-Oct	1500.00 3.0
AO,	10/19	ASCEND PARTNERS	033	EXPE	B	55,472	25.34	n/a	n/a	2773.60 5.0
AO,CXL	10/19	SCOPUS FUND	041	RKT	S	4,515	49.42	n/a	n/a	225.75 5.0
AO,CRT	10/19	SCOPUS FUND	041	RKT	S	4,515	49.42	n/a	n/a	225.75 5.0
AO,	10/19	ROYCE TRADING	216	VSH	B	10,000	7.22	n/a	n/a	500.00 5.0
AO,CXL	10/19	ROYCE TRADING	216	VSH	B	10,000	7.22	n/a	n/a	500.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>2,773.60</b>

TOTAL (Trade Date):	178,343.26
TOTAL (AO, CXL, CRT):	2,773.60

<b>TOTAL (RUN DATE) / NFS:</b>	<b>181,116.86</b>
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MRI Securities with Trade Activity on 20-Oct.  
**IR, IVZ, TJX**

MRI Securities without Trade Activity on 20-Oct.  
**ETN, EL**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 29%

YTD = 21%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)