



Daily Compliance Activity Report

Trade Date: 03/19/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	S	15,000	458.37	n/a	n/a	non-brg	4.0
DAVID GEORGE BUCK	FID	AAPL	S	5	3.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		AAP	SS	53,000	80.02	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	20,000	19.47	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	25,700	19.54	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		ANF	B	10,800	46.67	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ANF	B	20,000	46.79	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ANN	SS	104,000	29.81	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ARO	SS	100,000	13.73	n/a	n/a	non-brg	4.0
ROSEDALE CAPITAL	046	AXL	B	20,000	13.03	n/a	19-Mar	800.00	4.0
WEXFORD CAPITAL	284	AXL	B	75,000	13.21	n/a	19-Mar	2,250.00	3.0
BUCK CAPITAL MGMT		AZO	SS	18,000	389.76	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BCC	S	30,000	33.08	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BHI	B	11,100	45.42	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	BIG	B	50,000	35.19	n/a	n/a	2,500.00	0.0
MANNY I KORMAN	FID	CAH	S	2000	43.00	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
WEISS PECK/ROBECO/BOSTON PT		040	CBR	S	127,850	4.80	n/a	n/a	5,114.00	0.0
IRIDIAN ASSET MGMT.	036	CCK	S	44,830	40.59	n/a	n/a	2,241.50	0.0	
f MITCHELL JAMES SPEIS	FID	CHL	B	200	52.18	n/a	n/a	0.00	0.0	
B BUCK CAPITAL MGMT		CHS	SS	189,000	17.22	n/a	5-Feb	non-brg	4.0	
COLUMBUS CIRCLE	036	CLGX	S	8,948	25.73	n/a	n/a	357.92	0.0	
B BUCK CAPITAL MGMT		CMG	SS	24,000	316.45	n/a	6-Feb	non-brg	4.0	
B BUCK CAPITAL MGMT		COF	B	9,400	53.54	n/a	15-Mar	non-brg	4.0	
f MICHAEL ALEXANDER SM	FID	COF	B	30	1.69	n/a	15-Mar	0.00	0.0	
f MICHAEL ALEXANDER SM	FID	COF	B	5	1.68	n/a	15-Mar	0.00	0.0	
BTG PACTUAL	040	COH	B	10,000	48.43	n/a	27-Feb	300.00	3.0	
B BUCK CAPITAL MGMT		COH	SS	163,000	48.06	n/a	27-Feb	non-brg	4.0	
B BUCK CAPITAL MGMT		CROX	S	50,000	15.51	n/a	n/a	non-brg	4.0	
ROYCE TRADING	031	CROX	B	65,000	15.53	n/a	n/a	3,250.00	0.0	
BRONSON POINT	036	C	C	25,000	45.75	n/a	15-Mar	750.00	0.0	
B BUCK CAPITAL MGMT		DAL	S	30,000	16.48	n/a	5-Mar	non-brg	0.8	
B BUCK CAPITAL MGMT		DDS	SS	39,000	78.42	n/a	n/a	non-brg	4.0	
SCOPUS FUND	041	DECK	B	50,000	48.86	n/a	n/a	2,000.00	0.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		DHI	S	20,000	24.26	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	DKS	SS	20,000	47.48	n/a	19-Mar	1,000.00	0.0
B BUCK CAPITAL MGMT		EL	B	10,000	62.68	n/a	1-Mar	non-brg	4.0
WELLSPRING CAPITAL	093	EZCH	S	20,000	25.22	n/a	n/a	800.00	4.0
f KELLY ELIZABETH CLIF	FID	FAUDX	B	79	10.10	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FAUDX	B	59	10.10	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FCSAX	S	60	13.24	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FDO	SS	86,000	58.07	n/a	4-Jan	non-brg	4.0
f KELLY ELIZABETH CLIF	FID	FILEX	B	96	9.36	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FILEX	B	224	9.36	n/a	n/a	0.00	0.0
f KELLY ELIZABETH CLIF	FID	FKRCX	S	16	25.64	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FKRCX	S	23	25.64	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FL	B	20,000	31.74	n/a	19-Mar	non-brg	4.0
f KELLY ELIZABETH CLIF	FID	FNSXX	S	700	1.00	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FNSXX	S	1300	1.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOSL	B	20,000	99.49	n/a	n/a	non-brg	4.0
f KELLY ELIZABETH CLIF	FID	FPCIX	B	28	10.87	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	FPCIX	B	110	10.87	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FRAN	B	18,900	26.53	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FRAN	B	20,000	26.14	n/a	n/a	non-brg	4.0
f KELLY ELIZABETH CLIF	FID	FSGFX	S	36	13.85	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSGFX	S	51	13.85	n/a	n/a	0.00	0.0
f KELLY ELIZABETH CLIF	FID	FSHBX	S	47	8.60	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSHBX	S	58	8.60	n/a	n/a	0.00	0.0
f MITCHELL JAMES SPEIS	FID	FSNXX	S	10000	1.00	n/a	n/a	0.00	0.0
SCOPUS FUND	041	F	SS	200,000	13.15	n/a	19-Mar	8,000.00	0.0
WOOSTER CAPITAL	044	F	SS	100,000	13.19	n/a	19-Mar	4,000.00	4.0
ß BUCK CAPITAL MGMT		GCO	SS	10,000	60.43	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GCO	SS	15,000	60.16	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	SS	102,000	26.63	n/a	12-Mar	non-brg	4.0
EGS ASSOCIATES LP	091	GES	SS	3,000	27.12	n/a	12-Mar	150.00	5.0
SCOPUS FUND	041	GPI	S	35,000	60.53	n/a	19-Mar	1,400.00	0.0
CR INTRINIC INVESTMENT	044	GPS	SS	20,000	35.79	n/a	n/a	600.00	3.0
ß BUCK CAPITAL MGMT		GRMN	SS	101,000	33.57	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HAL	B	12,800	39.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HAS	SS	99,000	41.73	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		HBC CN	S	5,000	14.44	n/a	n/a	non-brg	0.0
⚡ BUCK CAPITAL MGMT		HIBB	SS	36,000	53.69	n/a	n/a	non-brg	4.0
GLENHILL CAPITAL	036	HNR	B	50,000	3.66	n/a	n/a	2,500.00	0.0
⚡ BUCK CAPITAL MGMT		HOG	SS	91,000	52.78	n/a	n/a	non-brg	4.0
CR INTRINIC INVESTMENT	044	HPQ	B	10,000	22.92	n/a	n/a	300.00	3.0
f JONATHAN RICHARD HAR	FID	HTZ	B	960	20.90	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		ICON	SS	79,000	24.40	n/a	n/a	non-brg	4.0
f SERGEI BARANOVSKY	FID	INTC	B	200	0.02	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	IP	B	15,000	44.91	n/a	18-Mar	750.00	5.0
BESSEMER INVESTMENT	085	ITW	B	197,200	62.25	n/a	n/a	7,888.00	0.0
⚡ BUCK CAPITAL MGMT		JOY	B	8,500	59.69	n/a	11-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		JWN	SS	94,000	52.53	n/a	6-Mar	non-brg	4.0
SCOPUS FUND	041	JWN	SS	20,000	52.43	n/a	6-Mar	800.00	0.0
⚡ BUCK CAPITAL MGMT		KMX	SS	107,000	40.94	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		KORS	B	20,000	56.37	n/a	12-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		KORS	B	9,000	56.30	n/a	12-Mar	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		KSS	C	20,000	46.59	n/a	6-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	SS	130,000	46.57	n/a	6-Mar	non-brg	4.0
J. GOLDMAN	028	KSS	B	22,330	46.92	n/a	6-Mar	893.20	4.0
SANDLER O'NEILL ASSET	031	LAZ	B	15,000	35.49	n/a	7-Mar	750.00	5.0
PEREGRINE	030	LCC	B	75,000	16.70	n/a	5-Mar	3,000.00	0.0
⌘ BUCK CAPITAL MGMT		LEG	SS	25,004	32.28	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LEN	S	50,000	42.10	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	B	20,000	43.56	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LULU	C	15,000	62.49	n/a	19-Mar	non-brg	2.5
⌘ BUCK CAPITAL MGMT		LULU	SS	44,000	63.41	n/a	19-Mar	non-brg	4.0
LOMAS CAPITAL	040	LVS	B	30,000	53.36	n/a	13-Jun	900.00	3.0
⌘ BUCK CAPITAL MGMT		MAR	SS	126,000	39.40	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MAT	SS	117,000	41.70	n/a	n/a	non-brg	4.0
Ⓕ EDWARD W WHEELER	FID	MET	S	2000	38.61	n/a	n/a	0.00	0.0
VERITION	028	MGA	SS	2,500	56.32	n/a	n/a	75.00	3.0
ROXBURY CAPITAL MGMT	033	MLI	S	29,974	53.14	n/a	1-Mar	1,198.96	0.0
⌘ BUCK CAPITAL MGMT		MVRX	C	2,800	266.52	n/a	n/a	non-brg	21.3

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		MVRX	C	74,400	266.36	n/a	n/a	non-brg 21.3
CSFB GLOBAL PROPRIETARY	041	MWV	S	10,000	37.88	n/a	12-Mar	500.00 5.0
BUCK CAPITAL MGMT		MW	SS	73,000	33.13	n/a	n/a	non-brg 4.0
SCOPUS FUND	041	M	SS	13,800	41.01	n/a	7-Mar	552.00 0.0
BUCK CAPITAL MGMT		NILE	SS	39,000	33.87	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		NKE	SS	139,000	53.70	n/a	19-Mar	non-brg 4.0
LEVIN CAPITAL STRATEGIES	041	NKE	SS	10,000	53.58	n/a	19-Mar	300.00 0.0
NEW JERSEY DIV OF INV.	030	NSC	S	41,000	75.58	n/a	n/a	1,640.00 4.0
BUCK CAPITAL MGMT		PCP	S	10,400	191.90	n/a	11-Mar	non-brg 4.0
MACELLUM CAPITAL	086	PERY	B	25,000	17.23	n/a	n/a	750.00 0.0
BUCK CAPITAL MGMT		PETM	SS	76,000	61.80	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		PIR	SS	116,000	22.62	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		PLCE	SS	46,000	46.16	n/a	10-Jan	non-brg 4.0
JET CAPITAL/CHRIS	041	PRKR	B	100,000	3.50	n/a	n/a	3,000.00 0.0
BUCK CAPITAL MGMT		PVH	B	10,000	114.68	n/a	1-Mar	non-brg 4.0
BUCK CAPITAL MGMT		RCII	SS	59,000	37.44	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RAINIER INV MGMT	033	RDEN	S	7,120	37.94	n/a	28-Feb	284.80	0.0
BALYASNY ASSET MGMT	044	RL	C	10,000	167.67	n/a	21-Feb	500.00	0.0
B BUCK CAPITAL MGMT		RL	B	10,000	166.86	n/a	21-Feb	non-brg	4.0
ACK ASSET	028	RTK	S	100,000	2.43	n/a	n/a	3,000.00	0.0
BTG PACTUAL	040	SBUX	B	10,000	56.71	n/a	18-Mar	300.00	3.0
MERRILL ASSET/BLACKROCK	297	SF	B	16,100	36.30	n/a	n/a	644.00	0.0
B BUCK CAPITAL MGMT		SHOO	B	20,000	43.31	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SKX	S	20,000	21.09	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SLB	B	6,800	74.03	n/a	n/a	non-brg	4.0
f GEORGE HARRISON BURW	FID	SNXFX	S	130	42.01	n/a	n/a	0.00	0.0
VERITION	028	TEX	B	5,000	34.79	n/a	n/a	150.00	3.0
B BUCK CAPITAL MGMT		TFM	SS	60,000	40.59	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TGT	SS	133,000	66.23	n/a	13-Mar	non-brg	4.0
CR INTRINIC INVESTMENT	044	TGT	SS	10,000	66.39	n/a	13-Mar	300.00	3.0
LEVIN CAPITAL STRATEGIES	041	TGT	SS	10,000	66.04	n/a	13-Mar	300.00	0.0
B BUCK CAPITAL MGMT		THI	SS	28,000	51.29	n/a	n/a	non-brg	4.0
f KEVIN MICHAEL O'GORM	FID	TLT	B	7	4.97	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f EDWARD W WHEELER	FID	TWI	B	5000	22.25	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	ULTA	S	300	74.26	n/a	n/a	0.00	0.0
J. GOLDMAN	028	UPS	SS	17,500	85.08	n/a	n/a	700.00	4.0
B BUCK CAPITAL MGMT		URBN	B	12,800	39.17	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	B	20,000	39.23	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	VNTV	B	4000	23.17	n/a	18-Mar	0.00	0.0
B BUCK CAPITAL MGMT		VSI	SS	41,000	50.13	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	WCRX	B	200	0.40	n/a	19-Mar	0.00	0.0
B BUCK CAPITAL MGMT		WFM	SS	70,000	85.46	n/a	n/a	non-brg	4.0
CASTLE ARK	031	WFM	S	75,431	85.42	n/a	n/a	3,771.55	0.0
B BUCK CAPITAL MGMT		WMT	SS	167,000	72.36	n/a	22-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		WYNN	SS	54,000	119.48	n/a	13-Jun	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	WY	S	25,000	30.68	n/a	15-Mar	1,250.00	5.0
B BUCK CAPITAL MGMT		XLY	C	338,500	51.66	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		XRT	C	587,250	69.06	n/a	n/a	non-brg	2.0
ENTRUST CAPITAL	040	X	B	49,600	19.63	n/a	n/a	2,480.00	5.0

TOTAL (Trade Date):

74,990.93

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									74,990.93
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									74,990.93
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 19-Mar.
NONE

MRI Securities without Trade Activity on 19-Mar.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)