



Daily Compliance Activity Report

Trade Date: 05/15/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FORSTMAN LEFF	032	ADBE	B	16,600	41.86	n/a	n/a	830.00	5.0
B BUCK CAPITAL MGMT		AEO	B	15,000	28.50	4-Jan	11-May	non-brg	5.0
GALLEON MGMT LP	033	AGIL	B	15,000	7.11	n/a	n/a	750.00	5.0
S.A.C. CAPITAL MGMT.	044	ALO	B	50,000	23.00	n/a	n/a	2,500.00	5.0
WHITE PINE CAPITAL	030	ALU	S	206	13.42	n/a	n/a	10.30	5.0
GALLEON MGMT LP	033	AMGN	B	70,000	53.50	n/a	26-Jan	3,500.00	5.0
DAVIDSON KEMPNER ADVISOR	217	AMP	B	50,000	61.32	n/a	n/a	2,500.00	5.0
ROTHSCHILD ASSET	040	ARG	S	32,340	41.96	3-May	3-May	1,455.30	4.5
US BANCORP	030	ARO	B	6,760	45.34	n/a	11-May	338.00	5.0
B BUCK CAPITAL MGMT		AVCI	C	600	8.29	n/a	n/a	non-brg	1.0
PHILADELPHIA FINANCIAL	033	AVZ	S	22,436	24.54	26-Apr	9-May	1,121.80	5.0
MAGUIRE	039	BBBY	SS	200	40.54	n/a	n/a	0.00	0.0
MERRILL ASSET	032	BJ	S	48,200	34.77	10-Apr	10-May	2,169.00	4.5
SANDLER CAPITAL	038	BLK	B	25,000	144.96	11-May	11-May	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BONT	B	10,000	47.67	9-Mar	11-May	non-brg	5.0
WHITE PINE CAPITAL	030	BONT	S	200	47.62	9-Mar	11-May	10.00	5.0
BUCK CAPITAL MGMT		BRNC	B	25,000	15.90	n/a	n/a	non-brg	5.0
KAICHER	064	CBLI	S	1,000	8.95	n/a	n/a	0.00	0.0
MORGAN LEWIS/MLH	046	CDL	B	20,000	8.39	n/a	n/a	1,000.00	5.0
PRIME LOGIC ADV	039	CENT	B	25,000	14.49	8-Feb	8-May	1,250.00	5.0
KORMAN	003	CHCG	B	5,000	6.35	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		COH	S	10,000	46.58	23-Feb	25-Apr	non-brg	5.0
COUGAR TRADING	044	COH	B	5,000	46.96	23-Feb	25-Apr	250.00	5.0
BUCK CAPITAL MGMT		CRI	B	10,000	25.11	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		CWTR	B	10,000	19.20	n/a	n/a	non-brg	0.4
BUCK CAPITAL MGMT		CWTR	B	10,000	19.27	n/a	n/a	non-brg	0.4
BUCK CAPITAL MGMT		CWTR	B	10,000	19.38	n/a	n/a	non-brg	0.4
WHITE PINE CAPITAL	030	DANKY	S	4,000	0.92	n/a	n/a	40.00	1.0
TOCQUEVILLE ASSET MGMT	048	DEPO	B	9,000	3.92	n/a	n/a	270.00	3.0
BUCK CAPITAL MGMT	001	DSW	B	35,000	36.84	7-May	11-May	1,400.00	4.0
BUCK CAPITAL MGMT	001	FD	SS	25,000	40.49	26-Jan	14-May	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	FD	B	15,000	40.19	26-Jan	14-May	750.00	5.0
THE JAY GOLDMAN	034	FD	B	25,000	40.15	26-Jan	14-May	1,000.00	4.0
COUGAR TRADING	044	FL	B	5,000	21.59	n/a	11-May	250.00	5.0
LISTED ERR	099	FL	S	400	21.60	n/a	11-May	0.00	0.0
HIGHBRIDGE CAPITAL	038	FRP	SS	40,800	18.01	n/a	n/a	2,040.00	5.0
TRELLUS PARTNERS LP	028	F	SS	92,800	8.86	n/a	27-Apr	4,640.00	5.0
SAGE ASSET MGMT	012	GGC	B	103,200	18.57	n/a	n/a	5,160.00	5.0
WHEELER	008	GHM	B	2,200	17.80	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GM	SS	30,000	31.69	4-May	4-May	1,500.00	5.0
TRELLUS PARTNERS LP	028	GPI	B	6,700	39.63	27-Apr	2-May	335.00	5.0
B BUCK CAPITAL MGMT		HLYS	B	25,000	31.91	n/a	n/a	non-brg	5.0
BUCK	015	IBN	S	700	44.08	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		IRF	SS	25,000	35.34	n/a	n/a	non-brg	5.0
COUGAR TRADING	044	JCG	B	5,000	38.97	14-Mar	8-May	250.00	5.0
EATON VANCE MGMT	039	JCP	B	50,000	76.36	20-Apr	14-May	2,000.00	4.0
PILOT ROCK	028	KLAC	S	187,699	55.29	n/a	n/a	7,507.96	4.0
CASE	067	KVA	B	12,000	25.59	n/a	n/a	600.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EATON VANCE MGMT	039	LEH	B	50,000	74.73	n/a	11-May	2,000.00	4.0
GRUBER MACBAINE	033	LM	B	10,000	99.97	9-May	9-May	400.00	4.0
BUCK CAPITAL MGMT	001	LTD	S	75,000	25.96	16-May	11-May	3,000.00	4.0
CHARTER OAK	033	LTD	S	100,000	25.94	16-May	11-May	5,000.00	5.0
SANDLER CAPITAL	038	LTD	S	80,000	27.19	16-May	11-May	4,800.00	6.0
WD PARTNERS ILP	067	LTD	S	14,000	26.00	16-May	11-May	700.00	5.0
MILLENIUM PARTNERS	028	LVL	B	40,000	5.40	30-Apr	4-May	2,000.00	5.0
WILLIAMS TRAD/BOBBY	044	LVL	S	110,000	5.40	30-Apr	4-May	3,300.00	3.0
BUCK	015	LVS	B	500	77.85	n/a	n/a	0.00	0.0
LATEFF MGMT	040	MCD	S	4,000	51.26	24-Apr	24-Apr	680.00	17.0
Õ Glenn Redemann		MLM	B	7	0.65	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MLM	B	7	0.79	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MLM	SS	7	1.22	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MLM	SS	7	1.27	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	MMR	S	600	12.62	n/a	n/a	30.00	5.0
BUCK	015	MP	S	1,000	14.59	n/a	n/a	0.00	0.0
KAICHER	064	MS	S	100	5.80	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	MTW	B	65,000	76.98	n/a	n/a	3,250.00	5.0
CHILTON CAPITAL MGMT.	036	MW	SS	60,000	43.48	n/a	n/a	2,400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLEROCK	040	MYL	B	206,100	19.74	n/a	15-May	8,244.00	4.0
CROWLEY	061	NG	B	1,000	14.05	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NOV	B	2	0.95	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NOV	B	2	1.15	n/a	n/a	0.00	0.0
STERLING JOHNSTON	035	NWY	S	25,000	12.85	11-May	11-May	1,250.00	5.0
CRAMER ROSENTHAL/SCOTT	012	OKE	S	31,900	50.75	n/a	n/a	1,595.00	5.0
Õ Glenn Redemann		OXPS	B	4	0.42	n/a	n/a	0.00	0.0
Õ Glenn Redemann		OXPS	B	4	0.53	n/a	n/a	0.00	0.0
BUCK	015	PDLI	S	1,000	26.15	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PH	S	3	5.10	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PLCE	S	20,000	50.24	12-Apr	11-May	non-brg	5.0
Õ Glenn Redemann		PLCE	B	5	0.10	12-Apr	11-May	0.00	0.0
Õ Glenn Redemann		PLCE	B	5	0.25	12-Apr	11-May	0.00	0.0
Õ Glenn Redemann		PLCE	SS	5	0.55	12-Apr	11-May	0.00	0.0
Õ Glenn Redemann		PLCE	SS	5	0.95	12-Apr	11-May	0.00	0.0
GALLEON MGMT LP	033	PLTE	S	1,100	21.50	n/a	n/a	55.00	5.0
US BANCORP	030	RL	S	13,710	93.07	2-Feb	13-Apr	685.50	5.0
ß BUCK CAPITAL MGMT		ROST	C	10,000	33.35	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		RTH	C	142,400	103.80	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RVI	B	10,000	18.85	n/a	n/a	non-brg	5.0
BUCK	015	RYN	B	500	44.01	n/a	25-Apr	0.00	0.0
FORSTMAN LEFF	032	SKH	B	124,200	16.37	n/a	n/a	6,210.00	5.0
ß BUCK CAPITAL MGMT		SKX	B	20,000	30.92	n/a	13-Mar	non-brg	5.0
PIVOT CAP	034	SWY	B	9,200	34.96	n/a	n/a	460.00	5.0
WHITE PINE CAPITAL	030	S	S	120	20.12	n/a	n/a	6.00	5.0
WD PARTNERS ILP	067	TJX	B	7,500	27.75	21-Mar	14-May	375.00	5.0
ß BUCK CAPITAL MGMT		TWB	S	60,000	38.10	22-Feb	8-May	non-brg	4.0
SAB CAPITAL	034	TWB	B	45,000	38.12	22-Feb	8-May	2,250.00	5.0
WHITE PINE CAPITAL	030	UNT	B	9,575	57.11	n/a	4-May	478.75	5.0
GAULT	063	VOII	B	10,000	0.18	n/a	n/a	0.00	0.0
LATEFF MGMT	040	VZ	S	4,000	42.22	4-May	10-May	600.00	15.0
SANDLER O'NEILL ASSET	031	WDR	B	15,000	24.35	5-Mar	1-May	750.00	5.0
HIGHBRIDGE CAPITAL	038	WFR	S	15,000	60.60	n/a	n/a	750.00	5.0
Ö Glenn Redemann		WHR	S	2	22.10	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

98,946.61

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/14	HARVEST MGMT	039	BBW	S	2,800	29.51	19-Mar	11-May	140.00 5.0
AO,CXL	05/14	HARVEST MGMT	039	BBW	S	2,800	29.51	19-Mar	11-May	140.00 5.0
AO,CXL	05/14	GENERAL MOTORS INV CO	040	BIO	B	300	71.22	n/a	n/a	0.00 0.0
AO,CRT	05/14	GENERAL MOTORS INV CO	040	BIO	B	300	71.22	n/a	n/a	12.00 4.0
AO,CXL	05/14	KORMAN	003	BRLC	B	10,000	6.35	n/a	n/a	0.00 0.0
AO,CRT	05/14	KORMAN	003	BRLC	B	10,000	6.35	n/a	n/a	0.00 0.0
AO,CXL	05/14	REINHART & MAHONEY	031	CDNS	S	12,000	22.16	n/a	n/a	600.00 5.0
AO,CRT	05/14	REINHART & MAHONEY	031	CDNS	S	12,000	22.16	n/a	n/a	600.00 5.0
AO,CRT	05/14	GENERAL MOTORS INV CO	040	CMGI	B	41,500	2.48	n/a	n/a	1245.00 3.0
AO,CXL	05/14	GENERAL MOTORS INV CO	040	CMGI	B	41,500	2.48	n/a	n/a	1660.00 4.0
AO,	05/11	LISTED ERR	099	FL	B	400	21.51	n/a	11-May	0.00 0.0
AO,	05/14	TRELLUS PARTNERS LP	028	GPI	B	12,800	39.76	27-Apr	2-May	640.00 5.0
AO,CXL	05/11	STANDARD PACIFIC CAPITAL	040	HD	B	182,000	38.77	n/a	14-May	7280.00 4.0
AO,CRT	05/11	STANDARD PACIFIC CAPITAL	040	HD	B	182,000	38.77	n/a	14-May	9100.00 5.0
AO,CXL	05/14	REINHART & MAHONEY	031	NSR	B	8,400	27.96	n/a	n/a	420.00 5.0
AO,CRT	05/14	REINHART & MAHONEY	031	NSR	B	8,400	27.96	n/a	n/a	420.00 5.0
AO,CXL	05/14	BARROW HANLEY MEWHINNEY	096	SWIM	B	8,943	13.20	n/a	n/a	0.00 0.0
AO,CRT	05/14	BARROW HANLEY MEWHINNEY	096	SWIM	B	8,943	13.20	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										2,057.00

TOTAL (Trade Date):	98,946.61
TOTAL (AO, CXL, CRT):	2,057.00

TOTAL (RUN DATE) / NFS:	101,003.61
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MRI Securities with Trade Activity on 15-May. NONE

MRI Securities without Trade Activity on 15-May. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 27% YTD = 17%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION