



RR Commissions Summary [Michael Smith]

Trade Date: 11/25/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	11/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
DEPRINCE, RACE & ZOLLO	093	0	4,225	9,275	20,345	0	0	0	4,225	9,275	20,345	0	0
EAGLE ASSET MGMT. (FLORIDA)	093	0	0	728	728	0	0	26,900	0	728	27,628	46,222	60
FIDELITY/M. SMITH (FLORIDA)	093	0	0	0	0	3,294	3,294	18,576	3,294	3,294	18,576	5,963	312
GATOR CAPITAL MGMT LLC	093	0	0	313	1,043	0	0	0	0	313	1,043	0	0
OTTER CREEK MGMT	093	0	0	0	7,928	0	0	0	0	0	7,928	8,313	95
RBC GLOBAL ASSET MGMT	093	0	0	0	0	0	0	15,000	0	0	15,000	15,000	100
REAL ESTATE MGMT SERVICES GROUP	093	0	0	0	1,000	0	0	0	0	0	1,000	0	0
RETIREMENT SYSTEMS OF ALABAMA	093	0	0	0	0	0	0	16,500	0	0	16,500	0	0
SPARTAN	093	0	0	401	3,995	0	0	0	0	401	3,995	7,062	57
STERLING CAPITAL MGMT.	093	0	5,958	10,665	13,815	0	0	0	5,958	10,665	13,815	0	0
WEDGE CAPITAL	093	0	0	0	0	2,000	4,000	10,001	2,000	4,000	10,001	2,977	336
WELLSPRING CAPITAL	093	0	160	1,960	16,444	0	0	0	160	1,960	16,444	10,434	158
TOTALS:		0	10,343	23,342	65,298	5,294	7,294	86,977	15,637	30,636	152,275		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	11/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
FIR TREE CAPITAL	270	0	0	0	0	0	0	9,000	0	0	9,000	6,500	138
GOLDMAN SACHS ASSET MGMT	098	0	0	0	0	0	0	0	0	0	0	0	0
MANNING & NAPIER	035	0	0	0	0	0	32,500	97,500	0	32,500	97,500	108,750	90
MANULIFE ASSET MGMT.	294	0	0	0	0	0	27,379	72,058	0	27,379	72,058	16,200	445
PERIMETER	273	0	0	1,771	5,568	0	0	0	0	1,771	5,568	55,952	0
TRUSTCO/SUNTRUST (CEREDEX)	273	0	0	0	35,813	0	24,276	51,271	0	24,276	87,084	147,889	35
TOTALS:		0	0	1,771	41,381	0	84,155	229,829	0	85,926	271,210		

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