

Daily Compliance Activity Report Trade Date: 08/04/2009

POTENTIAL ANALYST TRADE VIOLATIONS: 0

					- 0			110122 110	
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	S/Shr.
BUCK CAPITAL MGMT	001	AAN	S	102,956	28.32	n/a	n/a	4,118.24	4.0
SOROS	036	AA	S	500,000	12.50	n/a	n/a	20,000.00	4.0
BUCK CAPITAL MGMT	001	AEO	s	180,000	14.26	25-Jun	3-Aug	7,200.00	4.0
JP MORGAN VENTURES	046	AEO	S	38,100	14.14	25-Jun	3-Aug	1,905.00	5.0
NEW JERSEY DIV OF INV.	030	AIV	S	10,000	10.20	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	ANF	В	50,000	29.38	3-Jun	3-Aug	2,000.00	4.0
SIGMA CAPITAL ASSOCIATES	041	ANF	S	50,000	29.75	3-Jun	3-Aug	2,000.00	4.0
TRELLUS PARTNERS LP	028	AN	В	20,000	19.94	3-Aug	3-Aug	1,000.00	5.0
BUCK CAPITAL MGMT	001	ARO	s	10,000	37.78	n/a	3-Aug	400.00	4.0
BUCK CAPITAL MGMT	001	BBY	SS	20,000	38.67	n/a	27-Jul	800.00	4.0
ROARING BLUE LION MGMT	012	BK	В	5,900	27.97	11-May	23-Jul	236.00	4.0
BUCK CAPITAL MGMT	001	CAB	SS	20,000	16.13	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	CAT	В	60,000	47.35	n/a	22-Jul	2,400.00	4.0
CUMBERLAND	038	CENX	SS	5,000	10.28	n/a	n/a	200.00	4.0
NEUBERGER BERMAN	036	CMA	В	33,400	25.72	n/a	n/a	1,503.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
DSG CAPITAL	225	COG	В	1,800	36.65	n/a	3-Aug	90.00	5.0
BUCK CAPITAL MGMT	001	СОН	S	100,000	29.25	n/a	29-Jul	4,000.00	4.0
EPOCH INV MGMT	030	COP	В	1,500	44.79	n/a	n/a	45.00	3.0
EGS ASSOCIATES LP	091	CPKI	SS	3,000	16.19	4-Aug	4-Aug	180.00	6.0
STRONG/MARK GRONET	030	CRS	В	15 , 000	19.38	n/a	n/a	600.00	4.0
TROUBH PART	067	CSX	SS	25 , 000	41.44	n/a	n/a	1,250.00	5.0
ROTHSCHILD ASSET	040	CW	S	8 , 500	33.96	n/a	n/a	382.54	4.5
BUCK CAPITAL MGMT	001	DAI	В	15,000	48.91	n/a	n/a	600.00	4.0
EPOCH INV MGMT	030	DD	В	2,650	31.89	n/a	21-Jul	79.50	3.0
EPOCH INV MGMT	030	DE	В	1,250	45.51	n/a	17-Jun	37.50	3.0
EPOCH INV MGMT	030	DHR	В	1,500	62.29	n/a	n/a	45.00	3.0
BUCK CAPITAL MGMT	001	DKS	SS	50,000	20.04	27-Apr	3-Aug	2,000.00	4.0
EPOCH INV MGMT	030	DO	В	1,000	91.13	n/a	n/a	30.00	3.0
EPOCH INV MGMT	030	DVA	В	3,100	50.97	n/a	n/a	93.00	3.0
RAINIER INV MGMT	035	DVN	S	17,630	61.00	6-May	3-Aug	881.50	5.0
	BUCK CAPITAL MGMT EPOCH INV MGMT EGS ASSOCIATES LP STRONG/MARK GRONET TROUBH PART ROTHSCHILD ASSET BUCK CAPITAL MGMT EPOCH INV MGMT EPOCH INV MGMT BUCK CAPITAL MGMT EPOCH INV MGMT EPOCH INV MGMT EPOCH INV MGMT	DSG CAPITAL 225 BUCK CAPITAL MGMT 001 EPOCH INV MGMT 030 EGS ASSOCIATES LP 091 STRONG/MARK GRONET 030 TROUBH PART 067 ROTHSCHILD ASSET 040 BUCK CAPITAL MGMT 001 EPOCH INV MGMT 030 EPOCH INV MGMT 030 BUCK CAPITAL MGMT 001 EPOCH INV MGMT 030 EPOCH INV MGMT 030 EPOCH INV MGMT 030 EPOCH INV MGMT 030	BUCK CAPITAL MGMT 001 COH EPOCH INV MGMT 030 COP EGS ASSOCIATES LP 091 CPKI STRONG/MARK GRONET 030 CRS TROUBH PART 067 CSX ROTHSCHILD ASSET 040 CW BUCK CAPITAL MGMT 001 DAI EPOCH INV MGMT 030 DD EPOCH INV MGMT 030 DE EPOCH INV MGMT 030 DHR BUCK CAPITAL MGMT 001 DKS EPOCH INV MGMT 030 DO EPOCH INV MGMT 030 DO	BUCK CAPITAL MGMT EGS ASSOCIATES LP TROUBH PART BUCK CAPITAL MGMT BUCK CAPITAL MGMT O30 CRS B TROUBH PART O67 CSX SS ROTHSCHILD ASSET D40 EPOCH INV MGMT O30 DD B EPOCH INV MGMT O30 DD B	DSG CAPITAL 225 COG B 1,800 BUCK CAPITAL MGMT 001 COH S 100,000 EPOCH INV MGMT 030 COP B 1,500 EGS ASSOCIATES LP 091 CPKI SS 3,000 STRONG/MARK GRONET 030 CRS B 15,000 TROUBH PART 067 CSX SS 25,000 ROTHSCHILD ASSET 040 CW S 8,500 BUCK CAPITAL MGMT 030 DD B 15,000 EPOCH INV MGMT 030 DD B 1,250 EPOCH INV MGMT 030 DHR B 1,500 BUCK CAPITAL MGMT 030 DHR B 1,500 BUCK CAPITAL MGMT 030 DO B 1,000 EPOCH INV MGMT 030 DVA B 3,100	DSG CAPITAL 225 COG B 1,800 36.65 BUCK CAPITAL MGMT 001 COH S 100,000 29.25 EPOCH INV MGMT 030 COP B 1,500 44.79 EGS ASSOCIATES LP 091 CPKI SS 3,000 16.19 STRONG/MARK GRONET 030 CRS B 15,000 19.38 TROUBH PART 067 CSX SS 25,000 41.44 ROTHSCHILD ASSET 040 CW S 8,500 33.96 BUCK CAPITAL MGMT 001 DAI B 15,000 48.91 EPOCH INV MGMT 030 DD B 2,650 31.89 EPOCH INV MGMT 030 DHR B 1,500 62.29 BUCK CAPITAL MGMT 001 DKS SS 50,000 20.04 EPOCH INV MGMT 030 DO B 1,000 91.13 EPOCH INV MGMT 030 DVA B 3,100	BUCK CAPITAL MGMT 001 COH S 100,000 29.25 n/a EPOCH INV MGMT 030 COP B 1,500 44.79 n/a EGS ASSOCIATES LP 091 CPKI SS 3,000 16.19 4-Aug STRONG/MARK GRONET 030 CRS B 15,000 19.38 n/a TROUBH PART 067 CSX SS 25,000 41.44 n/a ROTHSCHILD ASSET 040 CW S 8,500 33.96 n/a BUCK CAPITAL MGMT 030 DD B 2,650 31.89 n/a EPOCH INV MGMT 030 DB B 1,250 45.51 n/a EPOCH INV MGMT 030 DB B 1,500 62.29 n/a BUCK CAPITAL MGMT 031 DKS SS 50,000 20.04 27-Apr EPOCH INV MGMT 030 DO B 1,000 91.13 n/a EPOCH INV MGMT 030 DO B 1,000 91.13 n/a EPOCH INV MGMT 030 DO B 3,100 50.97 n/a	DSG CAPITAL 225 COG B 1,800 36.65 n/a 3-Aug BUCK CAPITAL MGMT 001 COH S 100,000 29.25 n/a 29-Jul EPOCH INV MGMT 030 COP B 1,500 44.79 n/a n/a EGS ASSOCIATES LP 091 CPKI SS 3,000 16.19 4-Aug 4-Aug STRONG/MARK GRONET 030 CRS B 15,000 19.38 n/a n/a TROUBH PART 067 CSX SS 25,000 41.44 n/a n/a n/a ROTHSCHILD ASSET 040 CW S 8,500 33.96 n/a n/a BUCK CAPITAL MGMT 001 DAI B 15,000 48.91 n/a n/a EPOCH INV MGMT 030 DD B 2,650 31.89 n/a 21-Jul EPOCH INV MGMT 030 DHR B 1,250 45.51 n/a 17-Jun EPOCH INV MGMT 030 DHR B 1,500 62.29 n/a n/a BUCK CAPITAL MGMT 011 DKS SS 50,000 20.04 27-Apr 3-Aug EPOCH INV MGMT 030 DO B 1,000 91.13 n/a n/a EPOCH INV MGMT 030 DO B 1,000 91.13 n/a n/a	DSG CAPITAL 225 COG B 1,800 36.65 n/a 3-Aug 90.00 BUCK CAPITAL MGMT 001 COH S 100,000 29.25 n/a 29-Jul 4,000.00 EPOCH INV MGMT 030 COP B 1,500 44.79 n/a n/a 45.00 EGS ASSOCIATES LP 091 CPKI SS 3,000 16.19 4-Aug 4-Aug 180.00 STRONG/MARK GRONET 030 CRS B 15,000 19.38 n/a n/a 600.00 TROUBH PART 067 CSX SS 25,000 41.44 n/a n/a n/a 1,250.00 ROTHSCHILD ASSET 040 CW S 8,500 33.96 n/a n/a 382.54 BUCK CAPITAL MGMT 030 DD B 2,650 31.89 n/a 21-Jul 79.50 EPOCH INV MGMT 030 DE B 1,250 45.51 n/a 17-Jun 37.50 EPOCH INV MGMT 030 DHR B 1,500 62.29 n/a n/a 45.00 BUCK CAPITAL MGMT 030 DO B 1,000 91.13 n/a n/a 30.00 EPOCH INV MGMT 030 DO B 1,000 91.13 n/a n/a 30.00 EPOCH INV MGMT 030 DO B 3,100 50.97 n/a n/a 93.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
SCOPUS FUND	041	EMR	SS	5,000	35.02	n/a	n/a	250.00	5.0
EPOCH INV MGMT	030	ENDP	В	44,550	21.11	n/a	4-Aug	1,336.50	3.0
EPOCH INV MGMT	030	ERTS	В	3,000	22.05	n/a	n/a	90.00	3.0
FRED ALGER MGMT	035	ERTS	S	17,200	22.07	n/a	n/a	688.00	4.0
HUNTER GLOBAL INVESTOR	067	ESRX	В	20,000	68.85	n/a	n/a	800.00	4.0
EPOCH INV MGMT	030	ETR	В	600	78.89	n/a	n/a	18.00	3.0
PHILADELPHIA FINANCIAL	033	EV	В	32,718	29.05	19-May	4-Aug	1,308.72	4.0
CSL CAPITAL MGMT	039	EXH	В	2,000	17.93	27-May	3-Aug	100.00	5.0
EPOCH INV MGMT	030	FISV	В	2,200	48.59	n/a	n/a	66.00	3.0
TRELLUS PARTNERS LP	028	F	В	50,000	8.24	3-Aug	3-Aug	1,500.00	3.0
TROUBH PART	067	F	SS	75,000	8.32	3-Aug	3-Aug	3,750.00	5.0
CSL CAPITAL MGMT	039	GDP	В	2,000	27.11	n/a	n/a	100.00	5.0
BUCK CAPITAL MGMT	001	GES	S	30,000	28.87	n/a	n/a	1,200.00	4.0
MOORE CAPITAL	036	GE	S	500,000	13.47	n/a	n/a	20,000.00	4.0
BUCK CAPITAL MGMT	001	GIL	В	10,000	16.86	25-Jun	25-Jun	400.00	4.0
EPOCH INV MGMT	030	GLW	В	2 , 950	16.75	27-Jul	27-Jul	88.50	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. (Shr.	_
BUCK CAPITAL MGMT	001	HGG	S	20,000	19.53	n/a	n/a	800.00	4.0	
CSL CAPITAL MGMT	039	НОС	В	2,000	22.35	n/a	n/a	100.00	5.0	
NEUBERGER BERMAN	036	IART	S	33,800	32.46	n/a	22-Apr	1,521.00	4.5	
TROUBH PART	067	INFN	S	25,026	7.31	n/a	n/a	1,251.30	5.0	
BUCK CAPITAL MGMT	001	JCG	В	10,000	28.53	n/a	30-Jan	400.00	4.0	
BUCK CAPITAL MGMT	001	JCP	s	20,000	30.81	13-Apr	3-Aug	800.00	4.0	
TRELLUS PARTNERS LP	028	LVS	S	100,000	10.69	n/a	31-Jul	5,000.00	5.0	
STELLIAM INV ADV	034	MCD	В	20,000	55.09	n/a	23-Jul	1,000.00	5.0	
EGS ASSOCIATES LP	091	MGM	SS	3,000	8.18	n/a	4-Aug	180.00	6.0	
CIRCLE T/SES PART	035	MPEL	S	25,000	6.02	n/a	4-Aug	1,000.00	4.0	
BUCK CAPITAL MGMT	001	М	SS	300,000	14.06	n/a	3-Aug	12,000.00	4.0	
CSL CAPITAL MGMT	039	NGS	В	3,000	14.07	n/a	n/a	150.00	5.0	
BUCK CAPITAL MGMT	001	NKE	SS	30,000	56.84	n/a	n/a	1,200.00	4.0	
HIGHBRIDGE/MS OLDS	041	PCH	S	29,000	30.57	n/a	29-Jul	1,160.00	4.0	
HIGHBRIDGE CAPITAL	038	PCL	S	72,240	32.75	n/a	28-Jul	2,889.60	4.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
GRANITE CAPITAL	045	PCS	В	10,000	12.18	n/a	n/a	500.00	5.0
EPOCH INV MGMT	030	PEP	S	93,843	58.84	n/a	n/a	2,815.29	3.0
BASIX	033	PKG	S	20,000	20.05	21-Jul	21-Jul	600.00	3.0
SIGMA CAPITAL ASSOCIATES	041	QQQQ	SS	50,000	40.07	n/a	n/a	2,000.00	4.0
CSL CAPITAL MGMT	039	RIG	В	1,000	80.75	n/a	n/a	50.00	5.0
DSG CAPITAL	225	RRC	В	1,400	47.75	n/a	3-Aug	70.00	5.0
HIGHBRIDGE CAPITAL	038	RYN	S	58,100	40.81	n/a	3-Aug	2,324.00	4.0
BUCK CAPITAL MGMT	001	SKX	S	40,000	14.11	n/a	13-Mar	1,600.00	4.0
HUNTER GLOBAL INVESTOR	067	SPG	В	56,300	59.43	n/a	n/a	2,815.00	5.0
BUCK CAPITAL MGMT	001	SSI	SS	25,000	12.88	n/a	3-Aug	1,000.00	4.0
NEUBERGER BERMAN	036	STI	В	39,600	20.59	n/a	n/a	1,782.00	4.5
BALYASNY ASSET MGMT	044	SUP	В	6,328	15.88	10-Jun	10-Jun	316.40	5.0
DSG CAPITAL	225	SWN	В	1,500	42.99	n/a	3-Aug	75.00	5.0
FRED ALGER MGMT	035	SYNA	S	139,650	24.98	n/a	n/a	5,586.00	4.0
BUCK CAPITAL MGMT	001	TJX	S	20,000	36.12	28-Jul	3-Aug	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CAXTON PART	040	UNH	S	87,132	26.82	n/a	n/a	3,049.64 3.5
BUCK CAPITAL MGMT TYEE/TYGH	001 035	WDR WDR	s B	38,000 15,000	28.30 27.84	29-Ju1 29-Ju1	4-Aug 4-Aug	1,520.00 4.0 600.00 4.0
BUCK CAPITAL MGMT	001	WRC	S	20,000	37.61	28-Jul	28-Jul	800.00 4.0
CATAPULT	040	WTI	S	50,000	11.58	n/a	n/a	2,500.00 5.0
EATON VANCE MGMT	039	XOM	В	134,799	70.37	n/a	n/a	4,043.97 3.0

TOTAL (Trade Date): 151,271.20

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	08/03	MATRIX ASSET ADV. (MATXARVINM)	036	CSCO	S	500	22.36	n/a	22-Mar	0.00	0.0
AO,CRT	08/03	MATRIX ASSET ADV. (MATXARVINM)	036	CSCO	S	500	22.36	n/a	22-Mar	20.00	4.0
AO,	08/03	BALYASNY ASSET MGMT (BALYGS)	044	F	В	25,000	8.51	3-Aug	3-Aug	1000.00	4.0
AO,CXL	08/03	BALYASNY ASSET MGMT (BALYGS)	044	F	С	2,200	8.51	3-Aug	3-Aug	88.00	4.0
AO,CXL	08/03	BALYASNY ASSET MGMT (BALYGS)	044	F	С	22,800	8.51	3-Aug	3-Aug	912.00	4.0
AO,CXL	08/03	BALYASNY ASSET MGMT (BALYGS)	044	F	С	2,100	8.51	3-Aug	3-Aug	84.00	4.0
AO,CXL	08/03	BALYASNY ASSET MGMT (BALYGS)	044	F	С	22,900	8.51	3-Aug	3-Aug	916.00	4.0
AO,	08/03	BALYASNY ASSET MGMT (BALYGS)	044	F	С	25 , 000	8.48	3-Aug	3-Aug	1000.00	4.0
AO,	07/31	DAVIDSON INV ADV (DADAMAIN)	035	K	В	15 , 385	47.58	n/a	n/a	615.40	4.0
AO,CXL	07/31	DAVIDSON KEMPNER ADVISOR (DAVIBEAR)	243	K	В	15,385	47.58	n/a	n/a	615.40	4.0
AO,CXL	07/30	HIGHFIELDS CAP MGMT (HIGFMORGAN)	039	MOX	В	100,000	71.27	n/a	n/a	5000.00	5.0
AO,CRT	07/30	HIGHFIELDS CAP MGMT (HIGFBSPB)	039	MOX	В	100,000	71.27	n/a	n/a	5000.00	5.0
TOTAL	(AO, 0	CXL, CRT):								20.00	

TOTAL (RUN DATE) / NFS:	151,291.20
TOTAL (Trade Date): TOTAL (AO, CXL, CRT):	151,271.20 20.00

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION