



Daily Compliance Activity Report

Trade Date: 06/22/2011

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	ANF	B	7,500	66.01	n/a	19-May	225.00	3.0
MA CAPITAL MGMT/MELLON ADV041		ANN	B	5,000	27.10	n/a	n/a	250.00	5.0
TRELLUS PARTNERS LP	028	AXL	SS	40,000	10.84	n/a	25-May	2,000.00	5.0
TUDOR INVESTORS	028	BA	B	5,000	73.27	n/a	21-Jun	150.00	3.0
STANDARD PACIFIC CAPITAL	040	CE	B	45,000	51.14	n/a	20-May	2,250.00	5.0
JP MORGAN VENTURES	046	CHRS	S	20,000	4.01	n/a	n/a	600.00	3.0
TUDOR INVESTORS	028	DAN	B	5,000	17.12	n/a	25-May	150.00	3.0
TUDOR INVESTORS	028	DE	SS	5,000	82.27	n/a	19-May	150.00	3.0
SONTERRA CAP	041	ERJ	SS	43,600	30.87	n/a	n/a	1,744.00	4.0
KADE CAPITAL LLC	028	EXP	B	2,500	28.17	n/a	n/a	125.00	5.0
AVESTA CAP	229	FCX	SS	50,000	49.07	n/a	n/a	1,500.00	3.0
STRONG/WELLS CAP ANN F	047	FFIV	B	45,386	107.14	n/a	n/a	907.72	2.0
ROYCE TRADING	012	FINL	B	16,561	22.59	n/a	21-Jun	828.05	5.0
RAINIER INV MGMT	035	FMER	B	144,890	16.09	n/a	n/a	5,795.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CYRUS CAPITAL	025	F	B	48,000	13.46	n/a	2-Jun	1,920.00	4.0
CYRUS CAPITAL	025	GM	B	65,000	30.08	n/a	2-Jun	2,600.00	4.0
OZ MGMT	040	GM	SS	50,000	29.70	n/a	2-Jun	1,500.00	3.0
PHILADELPHIA FINANCIAL	033	GM	SS	24,827	29.98	n/a	2-Jun	993.08	4.0
MA CAPITAL MGMT/MELLON ADV041		HBI	B	5,000	29.22	n/a	21-Apr	250.00	5.0
RIDGECREST INV MGMT LLC	028	IP	B	15,000	28.95	n/a	21-Jun	750.00	5.0
TUDOR INVESTORS	028	ITT	S	5,000	57.74	n/a	4-May	150.00	3.0
TUDOR INVESTORS	028	ITW	SS	5,000	56.30	n/a	27-Apr	150.00	3.0
TUDOR INVESTORS	028	JCI	SS	4,000	39.28	n/a	10-Jun	120.00	3.0
BASIX	033	KMT	B	15,000	40.80	n/a	29-Apr	450.00	3.0
TUDOR INVESTORS	028	KMT	B	10,000	40.44	n/a	29-Apr	300.00	3.0
THE JAY GOLDMAN	028	KSS	B	10,000	51.12	n/a	3-Jun	400.00	4.0
WEISS PECK	040	MBND	S	3,000	3.35	n/a	n/a	90.00	3.0
ROYCE TRADING	012	MLI	B	6,000	36.73	n/a	27-Apr	300.00	5.0
MACQUARIE INVESTMENT MGMT. 040		M	B	5,000	28.50	n/a	3-Jun	0.00	0.0
PARAMETER CAPITAL MGMT LLC044		NDAQ	B	41,000	24.27	n/a	n/a	1,640.00	4.0
COOPER CREEK PART	028	NP	SS	5,000	21.52	n/a	11-May	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	PKG	B	15,000	27.11	n/a	21-Jun	600.00	4.0
ROARING BLUE LION MGMT	012	PLCE	B	1,600	44.81	n/a	8-Jun	64.00	4.0
LISTED ERR	099	PLCE	B	1,600	44.81	n/a	8-Jun	0.00	0.0
LISTED ERR	099	PLCE	S	1,600	44.82	n/a	8-Jun	0.00	0.0
DELTEC ASSET MGMT.	040	SLB	B	5,000	84.71	n/a	n/a	250.00	5.0
S.A.C. CAP/ORENSTEIN	044	SMH	B	100,000	32.91	n/a	n/a	3,500.00	3.5
FIRST NEW YORK LEDDY	035	S	B	20,000	5.15	n/a	n/a	800.00	4.0
PARAMETER CAPITAL MGMT LLC	044	TEX	B	1,700	27.47	n/a	25-Apr	68.00	4.0
DAVIDSON KEMPNER ADVISOR	040	TIN	S	60,000	29.58	n/a	21-Jun	3,000.00	5.0
CYRUS CAPITAL	025	TRW	B	33,879	56.21	n/a	n/a	1,355.16	4.0
1798 CAP/LOMBARD ASSET	040	UPS	B	6,000	71.53	n/a	n/a	300.00	5.0
ELM RIDGE ADVISORS	044	USG	SS	251,600	15.02	n/a	20-Apr	10,064.00	4.0
PEAK INV LLC	084	WFCWS	S	16,200	9.30	n/a	n/a	648.00	4.0
SIGMA CAPITAL ASSOCIATES	041	WMT	SS	25,000	52.94	n/a	18-May	875.00	3.5
TOTAL (Trade Date):								50,012.61	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/21	BALYASNY ASSET MGMT (BALYGS)	044	ARO	S	3,800	18.22	n/a	20-May	190.00 5.0
AO,CXL	06/21	BALYASNY ASSET MGMT (BALYGS)	044	ARO	S	21,200	18.22	n/a	20-May	1060.00 5.0
AO,	06/21	BALYASNY ASSET MGMT (BALYGS)	044	ARO	S	3,800	18.22	n/a	20-May	190.00 5.0
AO,	06/21	BALYASNY ASSET MGMT (BALYGS)	044	ARO	S	21,200	18.22	n/a	20-May	1060.00 5.0
AO,CXL	06/21	BALYASNY ASSET MGMT (BALY)	044	JCP	SS	800	35.89	n/a	3-Jun	40.00 5.0
AO,CXL	06/21	BALYASNY ASSET MGMT (BALY)	044	JCP	SS	4,200	35.89	n/a	3-Jun	210.00 5.0
AO,	06/21	BALYASNY ASSET MGMT (BALY)	044	JCP	SS	800	35.89	n/a	3-Jun	40.00 5.0
AO,	06/21	BALYASNY ASSET MGMT (BALY)	044	JCP	SS	4,200	35.89	n/a	3-Jun	210.00 5.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date) :	50,012.61
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS :	50,012.61
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION