



Daily Compliance Activity Report

Trade Date: 07/23/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WAGONHOUND/NIC	289	AEIS	S	114	17.14	n/a	n/a	4.56	4.0
EXIS CAPITAL	044	AEO	B	10,000	12.78	n/a	9-Jul	500.00	5.0
WAGONHOUND/NIC	289	AIN	S	122	17.95	n/a	n/a	4.88	4.0
BMO NESBITT BURNS	041	ALV	S	3,500	54.74	n/a	23-Jul	175.00	5.0
LEVIN CAPITAL STRATEGIES	012	ALV	B	10,000	55.01	n/a	23-Jul	300.00	3.0
BENCHMARK	025	AMZN	B	2,500	112.29	n/a	n/a	100.00	4.0
PHILADELPHIA FINANCIAL	033	AN	C	25,000	22.78	n/a	23-Jul	1,000.00	4.0
COPIA CAPITAL	031	APA	SS	31,100	92.46	n/a	21-Jul	1,088.50	3.5
SOROS	036	APA	S	50,000	89.32	n/a	21-Jul	2,000.00	4.0
YORK CAPITAL	036	APA	S	128,571	91.04	n/a	21-Jul	38.57	0.0
MADISON ST PARTNERS LLC	046	APC	B	25,000	48.90	n/a	19-Jul	1,246.32	4.5
ISLE RIDGE PARTNERS	041	ARM	B	800	15.00	n/a	n/a	32.00	4.0
BALYASNY ASSET MGMT	044	ARO	B	25,000	30.44	n/a	9-Jul	1,250.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	50,000	30.71	n/a	9-Jul	2,000.00	4.0
EXIS CAPITAL	044	ARO	SS	10,000	30.67	n/a	9-Jul	500.00	5.0
WAGONHOUND/NIC	289	ARUN	S	137	17.43	n/a	n/a	5.48	4.0
S.A.C. HEALTHCARE BOBBY	044	AZN	SS	20,000	48.50	n/a	n/a	700.00	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	BEZ	SS	1,904	37.74	n/a	n/a	95.20	5.0
GREEN ARROW DOUG	040	BLL	B	10,000	56.26	n/a	n/a	500.00	5.0
CATAPULT	040	BMS	B	5,346	29.69	n/a	n/a	267.30	5.0
EGS ASSOCIATES LP	091	BONT	B	2,237	8.69	n/a	n/a	134.22	6.0
GLOBAL 56 LLC	060	BONT	B	2,629	8.80	n/a	n/a	105.16	4.0
CATAPULT	040	BTU	SS	787	45.21	n/a	n/a	39.35	5.0
WEDBUSH/PACIFIC GROWTH EQTY LLC084		BCUY	SS	10,000	59.81		n/a 30-Apr	500.00	5.0
BASIX	033	BWA	S	10,000	43.03	n/a	23-Jul	300.00	3.0
BMO NESBITT BURNS	041	BWA	B	4,500	41.97	n/a	23-Jul	225.00	5.0
ISLE RIDGE PARTNERS	041	BWA	B	10,000	42.75	n/a	23-Jul	400.00	4.0
TRELLUS PARTNERS LP	028	BWA	B	400	41.87	n/a	23-Jul	20.00	5.0
CATAPULT	040	CBE	SS	1,244	45.39	n/a	22-Jul	62.20	5.0
FRONTIER	048	CBI	B	103,390	20.69	n/a	n/a	4,135.60	4.0
CATAPULT	040	CHK	S	2,374	21.46	n/a	n/a	118.70	5.0
SOROS	036	CHK	SS	300,000	21.40	n/a	n/a	12,000.00	4.0
1798 CAP/LOMBARD ASSET	040	CMI	S	3,000	76.72	n/a	1-Jul	150.00	5.0
OZ MGMT	040	COF	C	6,900	39.97	n/a	23-Jul	207.00	3.0
CATAPULT	040	CRK	S	1,531	26.16	n/a	n/a	76.55	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRUST CO/COWEN/CALF.	040	CRM	S	18,667	99.09	n/a	n/a	933.35	5.0
CATAPULT	040	CRR	SS	1,025	78.36	n/a	19-Jul	51.25	5.0
CATAPULT	040	CTB	B	3,600	21.95	n/a	n/a	180.00	5.0
MTB INV ADV	034	CTB	B	27,500	22.53	n/a	n/a	1,375.00	5.0
OZ MGMT	040	CTCM	S	230,444	17.74	n/a	n/a	6,913.32	3.0
1798 CAP/LOMBARD ASSET	040	CYT	B	6,500	50.83	n/a	n/a	325.00	5.0
CATAPULT	040	DAN	B	5,757	11.41	n/a	23-Jul	287.85	5.0
MISSION POINT	048	DHR	SS	5,000	37.70	n/a	n/a	250.00	5.0
CATAPULT	040	DOV	B	2,673	47.04	n/a	n/a	133.65	5.0
OZ MGMT	040	DOW	C	50,000	26.77	n/a	21-May	1,500.00	3.0
WAGONHOUND/NIC	289	DXCM	S	163	11.40	n/a	n/a	6.52	4.0
CATAPULT	040	ECA	SS	1,520	31.04	n/a	n/a	76.00	5.0
BUCK CAPITAL MGMT	001	EL	B	10,000	62.64	n/a	7-Jun	400.00	4.0
CATAPULT	040	EQT	S	2,634	37.47	n/a	n/a	131.70	5.0
MISSION POINT	048	ETN	B	6,000	75.43	n/a	21-Jul	300.00	5.0
BUCK CAPITAL MGMT	001	FDO	SS	20,000	39.35	n/a	7-Jul	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WAGONHOUND/NIC	289	FNSR	S	181	16.90	n/a	n/a	7.24	4.0
BALYASNY ASSET MGMT	044	F	S	40,000	12.67	n/a	23-Jul	2,000.00	5.0
OZ MGMT	040	F	B	100,000	12.72	n/a	23-Jul	3,000.00	3.0
SF SENTRY	085	F	S	20,000	12.50	n/a	23-Jul	1,000.00	5.0
SLS CAPITAL LLC	224	F	B	43,500	12.54	n/a	23-Jul	1,740.00	4.0
TRELLUS PARTNERS LP	028	F	B	70,000	12.48	n/a	23-Jul	3,500.00	5.0
OZ MGMT	040	GA	C	33,100	7.06	n/a	n/a	993.00	3.0
SCOPUS FUND	041	GNTX	SS	100,000	19.99	n/a	23-Jul	5,000.00	5.0
BUCK CAPITAL MGMT	001	GPS	S	30,000	18.25	n/a	n/a	1,200.00	4.0
EXIS CAPITAL	044	GYMB	SS	5,000	44.26	n/a	9-Jul	250.00	5.0
MISSION POINT	048	HON	B	3,000	43.16	n/a	31-Mar	150.00	5.0
ISLE RIDGE PARTNERS	041	IP	B	7,600	24.74	n/a	19-Jul	304.00	4.0
CATAPULT	040	ITW	SS	1,399	42.71	n/a	21-Jul	69.95	5.0
DIAMONDBACK CAP MGMT	232	ITW	B	40,000	43.25	n/a	21-Jul	2,000.00	5.0
GREEN ARROW DOUG	040	IWM	SS	5,000	63.15	n/a	n/a	250.00	5.0
OZ MGMT	040	IWM	C	57,000	63.48	n/a	n/a	1,710.00	3.0
BUCK CAPITAL MGMT	001	JCG	B	20,000	36.22	n/a	n/a	800.00	4.0
MISSION POINT	048	JCI	B	4,000	28.48	n/a	23-Jul	200.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ISLE RIDGE PARTNERS	041	JNY	B	10,000	16.02	n/a	19-Jul	400.00	4.0
ISLE RIDGE PARTNERS	041	KNX	SS	10,000	21.78	n/a	n/a	400.00	4.0
SONTERRA CAP	041	LCC	S	50,000	10.19	n/a	n/a	2,000.00	4.0
LEVIN CAPITAL STRATEGIES	012	LEA	S	5,400	73.02	n/a	23-Jul	162.00	3.0
THIRD COAST CAPITAL	035	LEA	B	5,000	74.25	n/a	23-Jul	250.00	5.0
BUCK CAPITAL MGMT	001	LEG	B	40,000	21.22	n/a	n/a	1,600.00	4.0
WAGONHOUND/NIC	289	LOGM	S	109	28.13	n/a	n/a	4.36	4.0
PARTNER REINSURANCE	033	LOW	S	8,800	20.77	n/a	17-May	352.00	4.0
BMO NESBITT BURNS	041	LPX	S	12,000	7.95	n/a	19-Jul	960.00	8.0
CATAPULT	040	LULU	B	1,911	40.51	n/a	n/a	95.55	5.0
CRAMER ROSENTHAL/SCOTT	012	MCD	S	18,800	69.35	n/a	23-Jul	752.00	4.0
OZ MGMT	040	MCD	B	40,000	70.87	n/a	23-Jul	1,200.00	3.0
EVERCORE WEALTH MGMT	012	MCK	B	8,950	65.54	n/a	n/a	447.50	5.0
CATAPULT	040	MOLX	B	5,950	19.54	n/a	n/a	297.50	5.0
CATAPULT	040	MTW	SS	5,684	10.10	n/a	30-Apr	284.20	5.0
DELTEC ASSET MGMT.	040	MTW	B	16,333	10.02	n/a	30-Apr	653.32	4.0
EXIS CAPITAL	044	M	B	35,000	19.24	n/a	9-Jul	1,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND/NIC	289	PAG	B	7,500	12.97	n/a	23-Jul	300.00	4.0
CATAPULT	040	PCAR	B	2,574	45.74	n/a	23-Jun	128.70	5.0
BUCK CAPITAL MGMT	001	PSS	S	30,000	16.04	n/a	n/a	1,200.00	4.0
ELM RIDGE ADVISORS	044	PTV	SS	145,500	31.00	n/a	n/a	5,820.00	4.0
BUCK CAPITAL MGMT	001	PVH	S	30,000	50.69	n/a	19-Jul	1,200.00	4.0
CATAPULT	040	PWR	SS	2,741	21.83	n/a	n/a	137.05	5.0
CATAPULT	040	SEE	B	7,821	22.36	n/a	n/a	391.05	5.0
WAGONHOUND/NIC	289	SHFL	S	262	8.69	n/a	n/a	7.86	3.0
WAGONHOUND/NIC	289	SHOO	S	88	35.40	n/a	n/a	3.52	4.0
FIRST NEW YORK NICK	029	SIRI	S	10,000	0.98	n/a	n/a	500.00	5.0
WAGONHOUND/NIC	289	SIRO	S	103	31.24	n/a	n/a	4.12	4.0
COPIA CAPITAL	031	SLB	SS	52,800	58.75	n/a	n/a	1,848.00	3.5
ISLE RIDGE PARTNERS	041	SNA	B	1,100	44.41	n/a	n/a	44.00	4.0
GREEN ARROW DOUG	040	SOA	B	20,000	15.00	n/a	n/a	1,000.00	5.0
SF SENTRY	085	SSCC	S	5,000	21.58	n/a	19-Jul	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LAKV	031	SSI	B	22,400	11.21	n/a	n/a	896.00	4.0
FIRST NY SEC/CHRIS	041	STX	B	15,000	12.92	n/a	n/a	750.00	5.0
BASIX	033	TEN	S	23,000	24.49	n/a	n/a	690.00	3.0
MELLON/BANK OF NEW YORK	046	TEVA	S	447,451	50.03	n/a	23-Jul	22,372.55	5.0
CATAPULT	040	TEX	B	3,160	20.31	n/a	23-Jul	158.00	5.0
BMO NESBITT BURNS	041	TIN	S	45,000	22.43	n/a	19-Jul	2,250.00	5.0
SCOPUS FUND	041	TIN	SS	100,000	22.37	n/a	19-Jul	5,000.00	5.0
EVERCORE WEALTH MGMT	012	TJX	B	5,750	42.85	n/a	9-Jul	287.50	5.0
1798 CAP/LOMBARD ASSET	040	TKR	B	28,000	30.53	n/a	n/a	1,400.00	5.0
EXIS CAPITAL	044	TLB	B	5,000	10.93	n/a	n/a	250.00	5.0
CATAPULT	040	TYC	S	4,464	36.37	n/a	3-Jun	223.20	5.0
OZ MGMT	040	UBSI	C	58,668	24.92	n/a	n/a	1,760.04	3.0
CATAPULT	040	UPL	SS	2,075	44.19	n/a	19-Jul	103.75	5.0
CATAPULT	040	URI	B	4,343	12.50	n/a	n/a	217.15	5.0
GREEN ARROW DOUG	040	VCI	B	60,000	30.88	n/a	n/a	3,000.00	5.0
BUCK CAPITAL MGMT	001	VFC	SS	10,000	79.94	n/a	23-Jul	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SOROS	036	VLO	B	100,000	17.02	n/a	n/a	4,000.00	4.0
WAGONHOUND/NIC	289	VOCS	S	91	14.77	n/a	n/a	3.64	4.0
OZ MGMT	040	VZ	SS	114,536	27.80	n/a	n/a	3,436.08	3.0
WEDBUSH/PACIFIC GROWTH EQTY LLC084		WBC	B	10,500	38.09		n/a 25-Jun	525.00	5.0
GREEN ARROW DOUG	040	WINN	B	25,000	9.82	n/a	n/a	1,250.00	5.0
BNP PARIBAS SEC	040	WRC	SS	5,000	41.37	n/a	19-Jul	200.00	4.0
CATAPULT	040	XLI	SS	5,044	29.62	n/a	n/a	252.20	5.0
GREEN ARROW DOUG	040	XLY	SS	10,000	30.99	n/a	n/a	500.00	5.0
EVERCORE WEALTH MGMT	012	YUM	B	12,650	41.54	n/a	14-Jul	632.50	5.0
TOTAL (Trade Date) :								143,119.76	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	07/23	SONTERRA CAP (SONTMSBULK)	041	LCC	S	50,000	10.19	n/a	n/a	2000.00 4.0
AO,	07/22	COLUMBUS CIRCLE (COLC3050)	036	MLNX	S	300	19.22	n/a	n/a	2.25 0.8
AO,	07/22	COLUMBUS CIRCLE (COLC3050)	036	MLNX	S	228	18.90	n/a	n/a	9.12 4.0
AO,	07/22	COLUMBUS CIRCLE (COLC3050)	036	MLNX	S	254	16.95	n/a	n/a	10.16 4.0
AO,	07/22	COLUMBUS CIRCLE (COLC3050)	036	MLNX	S	13,172	15.97	n/a	n/a	526.88 4.0
TOTAL (AO, CXL, CRT) :										2,548.41

TOTAL (Trade Date) :	143,119.76
TOTAL (AO, CXL, CRT) :	2,548.41

TOTAL (RUN DATE) / NFS:	145,668.17
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION