



Daily Compliance Activity Report

Trade Date: 12/10/2008

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	S	20,000	97.51	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AAP	C	9,100	32.49	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ACC	C	7,200	23.06	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		AEO	S	30,400	10.25	n/a	5-Dec	non-brg	4.0
DE SHAW	040	AEO	B	100,000	10.13	n/a	5-Dec	4,000.00	4.0
MENCKE	055	ALD	S	100	2.35	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		AMB	C	2,800	19.79	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AMB	C	6,300	20.34	n/a	n/a	non-brg	2.5
SENATOR INVESTMENT GROUP	036	AMT	SS	25,000	27.61	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT		ANF	S	11,800	20.74	7-Aug	5-Dec	non-brg	4.0
BALYASNY ASSET MGMT	044	AN	SS	35,000	9.99	7-Nov	7-Nov	1,750.00	5.0
BUCK CAPITAL MGMT		APP	S	105,900	3.24	n/a	n/a	non-brg	2.0
HUNTER GLOBAL INVESTOR	067	ARG	B	110,200	35.68	24-Oct	3-Nov	5,510.00	5.0
BUCK CAPITAL MGMT		ARO	S	18,200	16.52	n/a	5-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AVP	C	36,100	22.89	3-Nov	9-Dec	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		AXP	C	27,200	21.72	n/a	10-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AZO	C	1,800	127.70	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BBBY	C	182,900	24.80	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BBBY	C	70,000	24.89	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BBY	C	31,200	24.90	n/a	27-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BDK	C	6,700	44.33	n/a	n/a	non-brg	4.0
AMATURO	018	BGU	S	4,000	36.40	n/a	n/a	0.00	0.0
AMATURO	018	BGZ	B	1,500	62.19	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		BIG	C	7,200	15.59	5-Dec	5-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BJ	C	17,600	35.88	n/a	27-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BKE	S	30,200	25.11	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BKS	C	19,200	16.75	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BOBE	C	60,000	18.09	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BRE	C	2,800	32.38	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BRE	C	6,100	32.96	n/a	n/a	non-brg	2.5
TRELLUS PARTNERS LP	028	BWA	SS	2,500	25.07	26-Nov	26-Nov	125.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		BWLD	C	70,000	24.78	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		BXP	C	200	57.41	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		BXP	C	520	57.62	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		BYI	S	34,000	18.98	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		CAKE	C	20,000	7.78	n/a	24-Oct	non-brg 3.0
TRELLUS PARTNERS LP	028	CAT	SS	20,000	44.50	19-Sep	22-Oct	1,000.00 5.0
BUCK CAPITAL MGMT		CBRL	C	16,000	21.09	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		CBRL	C	35,000	21.11	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		CCL	C	18,100	22.31	n/a	26-Jan	non-brg 4.0
PIONEER MICHAEL NOLAN	067	CECO	S	27,600	17.97	n/a	n/a	828.00 3.0
BUCK CAPITAL MGMT		CEC	C	60,000	22.27	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		CHRS	B	150,000	1.65	n/a	22-Mar	non-brg 2.0
BUCK CAPITAL MGMT		CHRS	B	250,000	1.64	n/a	22-Mar	non-brg 2.0
WELLS/STRONG LEDDY	035	CHS	B	194,500	3.59	5-Dec	5-Dec	5,835.00 3.0
BUCK CAPITAL MGMT		CLP	C	4,900	7.35	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		CLX	C	4,000	53.55	3-Nov	3-Nov	non-brg 4.0
BUCK CAPITAL MGMT		CMG	C	100,000	58.33	23-Oct	23-Oct	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		COF	C	14,500	32.75	n/a	10-Dec	non-brg 4.0
BUCK CAPITAL MGMT		COLM	C	19,200	36.98	n/a	n/a	non-brg 4.0
HEALY CIRCLE	044	COST	S	10,000	52.40	n/a	27-Jul	400.00 4.0
BUCK CAPITAL MGMT		CPKI	C	25,000	9.55	30-Oct	7-Nov	non-brg 3.0
BUCK CAPITAL MGMT		CPT	C	3,700	30.05	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		CRI	C	35,400	19.86	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		CSTR	C	6,000	18.06	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		CUZ	C	7,000	13.50	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT	001	CVS	B	50,000	28.34	n/a	n/a	2,000.00 4.0
BUCK CAPITAL MGMT		CVS	S	9,000	28.85	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		DECK	S	12,300	72.19	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		DECK	S	27,700	72.48	n/a	n/a	non-brg 4.0
CUMBERLAND	038	DELL	B	100,000	11.98	n/a	n/a	4,000.00 4.0
BUCK CAPITAL MGMT		DIN	C	80,000	15.12	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		DIS	C	35,900	23.61	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		DKS	C	4,500	14.76	7-Oct	20-Nov	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		DLTR	S	30,000	40.13	n/a	5-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		DRE	C	11,500	8.75	n/a	n/a	non-brg	3.0
COUGAR TRADING	044	DSW	SS	5,000	10.72	14-Nov	25-Nov	250.00	5.0
ß BUCK CAPITAL MGMT		DV	C	18,900	54.11	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		EBHI	S	28,000	0.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		EBHI	S	29,873	0.52	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		EDR	C	5,000	4.37	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		EDU	C	14,700	54.29	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ELS	C	6,600	37.09	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		EL	C	8,600	28.17	n/a	29-Oct	non-brg	4.0
ß BUCK CAPITAL MGMT		EQR	C	13,000	33.34	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		ESS	C	11,400	80.46	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		ESS	C	5,100	79.99	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ETH	C	22,500	15.90	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		EXBD	C	7,300	21.33	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		EXR	C	8,200	9.29	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		FBN	C	22,400	3.12	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		FCE/A	C	2,800	7.32	n/a	n/a	non-brg 1.0
BASIX	033	FDO	SS	21,000	23.59	5-Dec	5-Dec	630.00 3.0
BUCK CAPITAL MGMT		FDO	C	53,600	23.34	5-Dec	5-Dec	non-brg 4.0
HOLLIS CAPITAL	033	FDO	SS	8,000	23.64	5-Dec	5-Dec	400.00 5.0
PILOT ADV	038	FDO	B	15,000	23.25	5-Dec	5-Dec	588.00 2.0
LISTED ERR	099	FDO	B	4,000	23.25	5-Dec	5-Dec	0.00 0.0
LISTED ERR	099	FDO	S	4,000	23.25	5-Dec	5-Dec	0.00 0.0
BUCK CAPITAL MGMT		FL	S	49,500	6.77	7-Oct	21-Nov	non-brg 3.0
MFP INVESTORS	032	FOR	S	31,800	4.49	n/a	3-Oct	1,272.00 4.0
TYNDALL	091	FOR	B	50,000	4.77	n/a	3-Oct	1,500.00 3.0
BUCK CAPITAL MGMT		FRT	C	15,900	60.72	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		FR	C	3,400	8.06	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		GCI	C	900	8.20	n/a	n/a	non-brg 3.0
BUCK CAPITAL MGMT		GCO	S	150,000	15.20	n/a	10-Jan	non-brg 4.0
BUCK CAPITAL MGMT	001	GES	B	20,000	15.13	n/a	n/a	800.00 4.0
BUCK CAPITAL MGMT		GIII	S	22,000	6.08	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		GIII	S	3,000	5.93	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		GIII	S	32,296	6.01	n/a	n/a	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		GIL	S	100,800	14.15	25-Nov	25-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		GMCR	C	40,000	37.30	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GM	C	14,600	4.84	10-Nov	9-Dec	non-brg	2.0
ß BUCK CAPITAL MGMT		GPS	B	100,000	13.95	n/a	5-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		GPS	C	55,900	14.04	n/a	5-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		GPS	S	21,000	13.96	n/a	5-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		GRMN	C	14,300	19.99	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GRT	C	10,800	3.14	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GSIC	S	50,000	9.17	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		GYMB	S	31,300	26.09	7-Nov	5-Dec	non-brg	4.0
BUCK CAPITAL MGMT	001	HBI	B	100,000	14.50	n/a	n/a	4,000.00	4.0
ß BUCK CAPITAL MGMT		HBI	S	15,000	14.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HELE	C	9,100	16.28	n/a	n/a	non-brg	4.0
SENATOR INVESTMENT GROUP	036	HES	B	15,000	44.65	n/a	n/a	600.00	4.0
ß BUCK CAPITAL MGMT		HEW	C	9,900	27.06	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HIBB	C	9,600	16.22	n/a	n/a	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	HIG	S	75,000	15.45	n/a	n/a	2,250.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		HIW	C	4,800	24.82	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		HME	C	14,600	40.50	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		HOG	C	6,400	17.25	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		HOT	C	65,800	16.84	n/a	n/a	non-brg 4.0
BAIRD INVESTMENT	031	HRS	B	2,675	36.60	30-Oct	9-Dec	133.75 5.0
BUCK CAPITAL MGMT		HSII	C	7,500	20.97	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		HVT	C	6,900	8.41	n/a	n/a	non-brg 3.0
AMATURO	018	HYP	B	5,000	1.54	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		ICON	S	145,000	9.18	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		IFF	C	27,300	30.78	30-Oct	3-Dec	non-brg 4.0
BUCK CAPITAL MGMT		INFO	C	1,800	0.04	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		ITW	C	13,500	32.48	25-Nov	9-Dec	non-brg 4.0
BUCK CAPITAL MGMT		IYR	C	18,000	35.45	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		JCG	C	30,800	12.96	n/a	2-Dec	non-brg 4.0
BUCK CAPITAL MGMT		JCG	S	96,300	12.88	n/a	2-Dec	non-brg 4.0
BUCK CAPITAL MGMT		JCP	C	155,100	22.00	17-Nov	5-Dec	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		JNY	C	4,100	6.27	n/a	30-Oct	non-brg 3.0
ß BUCK CAPITAL MGMT		JNY	S	117,100	6.25	n/a	30-Oct	non-brg 3.0
ß BUCK CAPITAL MGMT		JOSB	C	9,000	26.41	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		JWN	C	60,800	13.51	14-Nov	5-Dec	non-brg 4.0
ß BUCK CAPITAL MGMT		KCP	S	16,700	9.52	n/a	28-Sep	non-brg 3.0
ß BUCK CAPITAL MGMT		KFY	C	9,100	11.87	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		KMT	C	3,200	19.69	18-Sep	27-Oct	non-brg 4.0
ß BUCK CAPITAL MGMT		KRE	C	18,000	28.15	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	KSS	S	50,000	36.09	n/a	5-Dec	2,000.00 4.0
ß BUCK CAPITAL MGMT		KSS	S	21,500	36.71	n/a	5-Dec	non-brg 4.0
ß BUCK CAPITAL MGMT		LEG	C	65,100	15.19	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		LL	S	16,800	10.72	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		LNCE	C	20,000	20.42	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		LOW	C	4,600	22.69	n/a	27-Jul	non-brg 4.0
ß BUCK CAPITAL MGMT		LRV	C	5,000	21.31	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		LTD	S	8,100	9.31	18-Nov	5-Dec	non-brg 3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		LULU	S	11,100	10.08	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		LZB	C	3,000	2.83	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		MAC	C	31,600	13.71	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		MAN	C	10,000	32.97	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		MAR	C	17,600	17.49	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		MAS	C	19,800	10.05	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		MDTL	C	5,300	0.43	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		MFB	S	12,000	11.21	n/a	n/a	non-brg 4.0
KINGDON ASSET MGMT	041	MFE	SS	150,000	29.13	n/a	3-Apr	6,000.00 4.0
BUCK CAPITAL MGMT		MGM	C	4,500	10.91	n/a	30-Oct	non-brg 4.0
BUCK CAPITAL MGMT		MHK	C	6,300	37.15	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		MHK	SS	30,000	37.09	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		MIDD	C	9,100	30.07	6-Nov	11-Nov	non-brg 4.0
BUCK CAPITAL MGMT		MSSR	C	10,000	4.28	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		MWW	C	9,100	11.74	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		MW	C	64,300	14.28	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		M	S	123,100	9.05	12-Aug	5-Dec	non-brg 3.0
SLS CAPITAL LLC	224	M	B	163,700	8.77	12-Aug	5-Dec	6,548.00 4.0
SANDELL ADV	040	NCX	B	150,000	4.39	n/a	n/a	9,000.00 6.0
ß BUCK CAPITAL MGMT		NDN	C	20,000	11.54	31-Oct	5-Dec	non-brg 4.0
BUCK CAPITAL MGMT	001	NKE	B	50,000	51.33	n/a	n/a	2,000.00 4.0
ß BUCK CAPITAL MGMT		NKE	C	33,000	50.70	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		OFC	C	9,600	29.53	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		OSTK	C	3,700	11.66	n/a	n/a	non-brg 4.0
AELTUS/ING INVESTMENT MGT	215	PCP	B	169,670	56.88	n/a	n/a	5,938.45 3.5
ß BUCK CAPITAL MGMT		PERY	B	30,000	6.69	n/a	n/a	non-brg 3.0
ß BUCK CAPITAL MGMT		PERY	S	63,200	6.70	n/a	n/a	non-brg 3.0
BEAR STEARNS ASSET MGMT.	033	PETM	S	50,000	17.48	n/a	n/a	2,000.00 4.0
ß BUCK CAPITAL MGMT		PETM	C	55,100	17.48	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		PFCB	C	100,000	22.84	n/a	14-Nov	non-brg 4.0
ß BUCK CAPITAL MGMT		PFCB	C	43,500	22.80	n/a	14-Nov	non-brg 4.0
ß BUCK CAPITAL MGMT		PKY	C	3,300	15.62	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		PLCE	S	124,800	23.07	21-Nov	5-Dec	non-brg 4.0
ß BUCK CAPITAL MGMT		PLD	C	13,100	7.23	n/a	n/a	non-brg 2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		POOL	C	7,600	16.87	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		PPS	C	6,100	16.93	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		PSA	C	21,200	72.64	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		PSB	C	6,400	45.54	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		PSS	S	45,000	10.76	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	PVH	S	200,000	19.81	7-Nov	20-Nov	8,000.00	4.0
BUCK CAPITAL MGMT		PZZA	C	50,000	17.73	n/a	24-Apr	non-brg	4.0
J.W. SELIGMAN	041	PZZA	C	200	17.60	n/a	24-Apr	8.00	4.0
BUCK CAPITAL MGMT		QTF+MG[TSCO]	SS	2,000	1.21	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		RCL	C	5,500	11.04	29-Oct	29-Oct	non-brg	4.0
BUCK CAPITAL MGMT		RECN	C	13,200	15.79	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		RHI	C	12,700	19.60	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		RIMM	C	4,500	39.39	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		RL	C	171,800	47.06	n/a	6-Nov	non-brg	4.0
BUCK CAPITAL MGMT		RNT	S	4,000	24.65	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ROST	C	9,200	28.20	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		RRGB	C	16,000	15.96	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		RSH	C	35,500	11.36	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		RT	C	10,000	1.93	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		SAFM	C	4,000	33.32	n/a	n/a	non-brg	4.0
MENCKE	055	SGA	S	35	2.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SHLD	C	4,100	46.75	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SHOO	SS	50,000	21.27	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SKT	C	43,800	36.60	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT	001	SKX	S	100,000	11.38	n/a	13-Mar	4,000.00	4.0
BUCK CAPITAL MGMT		SKX	S	25,000	11.23	n/a	13-Mar	non-brg	1.0
HUNTER GLOBAL INVESTOR	067	SLG	B	44,000	23.08	n/a	n/a	2,200.00	5.0
BUCK CAPITAL MGMT		SONC	C	30,000	10.69	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SPG	C	13,300	53.86	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		SPLS	C	100,000	17.35	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT		SPLS	C	34,800	17.74	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT		SPTN	C	18,700	23.47	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		SSI	B	100,000	6.79	n/a	5-Dec	non-brg	3.0
ß BUCK CAPITAL MGMT		SSI	S	7,200	6.76	n/a	5-Dec	non-brg	3.0
ß BUCK CAPITAL MGMT		SUI	C	5,000	12.94	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		SYX	C	10,000	22.47	n/a	24-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		TCO	C	18,700	28.75	n/a	n/a	non-brg	2.5
MUHLENKAMP FUND	046	TEX	B	100,000	17.17	23-Oct	23-Oct	5,000.00	5.0
NUMERIC	060	TEX	S	87,500	17.39	23-Oct	23-Oct	2,625.00	3.0
ß BUCK CAPITAL MGMT		TGT	C	6,700	37.54	17-Nov	5-Dec	non-brg	4.0
TRELLUS PARTNERS LP	028	TGT	C	4,500	37.79	17-Nov	5-Dec	225.00	5.0
TRELLUS PARTNERS LP	028	TGT	SS	14,500	38.26	17-Nov	5-Dec	725.00	5.0
ß BUCK CAPITAL MGMT		THI	C	110,000	25.55	n/a	24-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		TIF	C	18,600	23.71	n/a	2-Dec	non-brg	4.0
CLOVIS CAPITAL	012	TIF	S	63,500	23.89	n/a	2-Dec	3,175.00	5.0
ß BUCK CAPITAL MGMT		TMX	C	10,000	19.00	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TPX	B	200,000	7.67	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		TRLG	S	26,600	13.75	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TSCO	C	35,100	41.16	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TWB	S	27,200	4.80	n/a	2-Dec	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		TWTRQ	C	2,700	0.00	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		TXRH	C	5,000	6.87	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		UDR	C	2,800	13.95	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		UNFI	C	40,000	17.73	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		URBN	S	150,000	18.08	5-Nov	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		URBN	S	300,000	18.38	5-Nov	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		URI	C	16,900	7.49	29-Oct	29-Oct	non-brg	3.0
BUCK CAPITAL MGMT		USTR	C	1,800	33.29	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT		VFC	S	1,200	53.86	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		VNO	C	20,000	58.98	n/a	n/a	non-brg	2.5
HBK FUNDS	031	WCG	B	50,000	12.15	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		WFMI	C	118,100	10.87	n/a	n/a	non-brg	4.0
PIONEER MICHAEL NOLAN	067	WFT	B	25,000	10.74	n/a	n/a	750.00	3.0
BUCK CAPITAL MGMT		WMAR	C	4,400	5.05	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		WMS	C	7,200	26.07	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		WMT	S	69,400	54.98	10-Oct	5-Dec	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		WRC	S	171,400	18.02	7-Nov	7-Nov	non-brg 4.0
ß BUCK CAPITAL MGMT		WRI	C	26,600	18.41	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		WSM	C	53,400	8.49	n/a	n/a	non-brg 3.0
ß BUCK CAPITAL MGMT		WWW	C	17,900	20.36	n/a	13-Mar	non-brg 4.0
ß BUCK CAPITAL MGMT		WYNN	C	12,900	42.63	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		XRT	C	500,000	19.52	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		XRT	C	73,000	19.74	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		YSI	C	2,500	4.01	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		YUM	C	140,000	29.14	14-Aug	10-Dec	non-brg 4.0
COUGAR TRADING	044	YUM	SS	5,000	28.23	14-Aug	10-Dec	250.00 5.0
ß BUCK CAPITAL MGMT		ZLC	C	55,200	4.00	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		ZQK	S	108,500	1.56	3-Nov	3-Nov	non-brg 2.0
TOTAL (Trade Date):							99,316.20	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/08	TRELLUS PARTNERS LP	028	BWA	SS	7,500	25.04	26-Nov	26-Nov	375.00 5.0
AO,	12/09	AELTUS/ING IVESTMENT MGT	215	JPM	S	528,490	34.65	26-Sep	20-Nov	18497.15 3.5
AO,CRT	12/09	HBK FUNDS	012	UAUA	S	256,730	10.71	n/a	n/a	7701.90 3.0
AO,CXL	12/09	IMPALA ASSET MMT	012	UAUA	S	256,730	10.71	n/a	n/a	7701.90 3.0
TOTAL (AO, CXL, CRT) :										18,872.15

TOTAL (Trade Date) :	99,316.20
TOTAL (AO, CXL, CRT) :	18,872.15

TOTAL (RUN DATE) / NFS:	118,188.35
--------------------------------	-------------------

MRI Securities with Trade Activity on 10-Dec. NONE

MRI Securities without Trade Activity on 10-Dec. NONE
--

BRG comm. as a % of BCM Total Comm. paid. T-Date = 9% YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades