



## Daily Compliance Activity Report

Trade Date: 03/07/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>SUTERA</b>	<b>060</b>	<b>AEO</b>	<b>B</b>	<b>1,000</b>	<b>17.65</b>	<b>6-Mar</b>	<b>7-Mar</b>	<b>0.00</b>	<b>0.0</b>
CAXTON PART	040	AET	SS	25,000	47.45	n/a	n/a	875.01	3.5
CAXTON PART	040	AGU	SS	25,000	68.94	n/a	n/a	875.01	3.5
MAIRS AND POWER INV	030	AMGN	S	20,000	44.35	n/a	26-Jan	1,200.00	6.0
WENTWORTH HAUSER VIOLICH	033	AMG	B	27,975	89.90	9-Jan	6-Mar	1,119.00	4.0
<b>OGORMAN</b>	<b>046</b>	<b>AMZN</b>	<b>C</b>	<b>200</b>	<b>63.29</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ARIENCE CAPITAL	036	ANF	SS	36,500	73.15	10-Jan	7-Mar	1,825.00	5.0
COUGAR TRADING	044	ANF	B	10,000	73.82	10-Jan	7-Mar	500.00	5.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>APVCE</b>	<b>B</b>	<b>10</b>	<b>2.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ARO	S	10,000	27.00	29-Nov	7-Mar	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	25,000	26.73	29-Nov	7-Mar	1,250.00	5.0
CAXTON PART	040	AVP	S	57,914	36.63	4-Mar	4-Mar	2,027.00	3.5
<b>SUTERA</b>	<b>060</b>	<b>BIG</b>	<b>SS</b>	<b>1,000</b>	<b>20.99</b>	<b>6-Mar</b>	<b>7-Mar</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	BLK	B	22,500	189.65	21-Nov	6-Mar	1,125.00	5.0
WELLS/STRONG LEDDY	035	CHRS	S	2,400	5.06	n/a	22-Mar	96.00	4.0

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<b>OGORMAN</b>	<b>046</b>	<b>CHS</b>	<b>C</b>	<b>500</b>	<b>7.57</b>	<b>31-Jan</b>	<b>7-Mar</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE/MS OLDS	041	CIT	B	100,000	16.56	n/a	n/a	3,000.00	3.0
COUGAR TRADING	044	COH	B	15,000	29.36	24-Oct	24-Jan	750.00	5.0
WENTWORTH HAUSER VIOLICH	033	COP	S	15,184	79.75	n/a	n/a	607.36	4.0
<b>LEDDY</b>	<b>035</b>	<b>CPHI</b>	<b>S</b>	<b>4,000</b>	<b>2.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>OGORMAN</b>	<b>046</b>	<b>CPN</b>	<b>S</b>	<b>424</b>	<b>17.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAXTON PART	040	CVX	SS	25,000	85.64	n/a	n/a	875.01	3.5
WELLS/STRONG LEDDY	035	CWTR	S	2,300	4.07	n/a	n/a	92.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>DAI</b>	<b>SS</b>	<b>100</b>	<b>81.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>DFS</b>	<b>SS</b>	<b>2,500</b>	<b>13.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GALLEON MGMT LP	033	DKS	SS	10,000	26.46	n/a	25-Feb	500.00	5.0
KACELA CAPITAL	034	FDO	B	27,000	19.29	8-Jan	<b>7-Mar</b>	1,080.00	4.0
GALLEON MGMT LP	033	FL	SS	25,000	11.35	n/a	20-Feb	1,250.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>FOR</b>	<b>S</b>	<b>466</b>	<b>24.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>OGORMAN</b>	<b>046</b>	<b>FOSL</b>	<b>C</b>	<b>500</b>	<b>31.29</b>	<b>13-Nov</b>	<b>20-Feb</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	F	C	2,000	5.89	n/a	4-Mar	0.00	0.0
BARR	096	GFI	B	4,000	15.99	n/a	n/a	0.00	0.0
BARR	096	GLD	S	500	96.13	n/a	n/a	0.00	0.0
CASTLE ARK	031	GLW	S	306,200	22.99	n/a	7-Mar	18,372.00	6.0
AMATURO	018	GMO	B	2,000	9.28	n/a	n/a	0.00	0.0
SLS CAPITAL LLC	224	GPS	S	225,000	19.32	n/a	7-Mar	9,000.00	4.0
WELLS/STRONG LEDDY	035	GRB	S	2,800	7.87	n/a	n/a	112.00	4.0
BUCK	015	GS	S	250	158.21	n/a	21-Feb	0.00	0.0
COUGAR TRADING	044	GYMB	S	5,000	38.97	n/a	7-Mar	250.00	5.0
WELLS/STRONG LEDDY	035	HCSG	S	2,500	21.00	n/a	n/a	100.00	4.0
KACELA CAPITAL	034	HD	B	21,450	26.06	n/a	27-Jul	858.00	4.0
CAXTON PART	040	HOLX	S	50,000	53.95	n/a	n/a	1,750.01	3.5
ARIENCE CAPITAL	036	HOT	B	13,800	48.81	n/a	n/a	690.00	5.0
LEDDY	035	ICON	S	274	20.10	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	IOSP	S	2,000	20.43	n/a	n/a	80.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	ISTA	S	3,000	2.91	n/a	n/a	0.00	0.0
LEDDY	035	ITCD	S	4,857	3.42	n/a	n/a	0.00	0.0
CATAPULT	040	IVZ	S	5,000	22.83	20-Dec	6-Mar	250.00	5.0
CHILTON CAPITAL MGMT.	036	IVZ	B	7,000	22.10	20-Dec	6-Mar	280.00	4.0
COUGAR TRADING	044	IVZ	B	5,000	22.70	20-Dec	6-Mar	250.00	5.0
COUGAR TRADING	044	IVZ	S	5,000	22.02	20-Dec	6-Mar	250.00	5.0
GALLEON MGMT LP	033	IWM	SS	10,000	66.27	n/a	n/a	500.00	5.0
COUGAR TRADING	044	JCG	B	5,000	42.51	28-Nov	21-Feb	250.00	5.0
COUGAR TRADING	044	JCP	S	15,000	41.68	4-Jan	7-Mar	750.00	5.0
KACELA CAPITAL	034	JCP	S	30,000	41.24	4-Jan	7-Mar	1,200.00	4.0
BUCK CAPITAL MGMT	001	JNY	B	20,000	13.25	n/a	15-Feb	1,000.00	5.0
ROARING BLUE LION MGMT	012	LAZ	SS	9,500	35.51	n/a	n/a	380.00	4.0
OGORMAN	046	LEH	B	100	46.20	n/a	27-Feb	0.00	0.0
BENCHMARK	028	LUV	S	20,000	12.20	n/a	n/a	1,000.00	5.0
BUCK	015	LVS	S	600	78.94	n/a	n/a	0.00	0.0
RAMIUS CAP	040	MCO	C	44,900	36.27	n/a	n/a	2,245.00	5.0
WELLS/STRONG LEDDY	035	MFB	S	2,400	13.89	n/a	n/a	96.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RAMIUS CAP	040	MHP	C	56,100	39.12	n/a	n/a	2,805.00	5.0
PROSPECTOR PARTNERS LLC	036	MIR	S	10,000	37.18	n/a	n/a	400.00	4.0
CAXTON PART	040	MOH	SS	15,000	29.11	n/a	n/a	525.00	3.5
CENTURION GLOBAL MGMT LL	041	MRVL	S	33,800	10.66	n/a	n/a	1,014.00	3.0
<b>BUCK</b>	<b>015</b>	<b>MS</b>	<b>C</b>	<b>1,000</b>	<b>39.38</b>	<b>20-Dec</b>	<b>21-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>OGORMAN</b>	<b>046</b>	<b>MS</b>	<b>B</b>	<b>650</b>	<b>39.58</b>	<b>20-Dec</b>	<b>21-Feb</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	M	B	10,000	24.04	7-Feb	7-Mar	500.00	5.0
CENTURION PARTNERS	255	NLY	S	195,000	14.95	n/a	n/a	7,800.00	4.0
CAXTON PART	040	NOK	SS	50,000	33.14	n/a	n/a	1,750.01	3.5
CAXTON PART	040	NUVA	B	10,000	34.02	n/a	n/a	350.01	3.5
WELLS/STRONG LEDDY	035	PGI	S	2,500	15.05	n/a	n/a	100.00	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>RF</b>	<b>S</b>	<b>275</b>	<b>20.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	RL	B	5,000	58.42	7-Feb	15-Feb	250.00	5.0
COUGAR TRADING	044	RL	SS	5,000	58.36	7-Feb	15-Feb	250.00	5.0
Õ <b>Alice Longley</b>		<b>SDS</b>	<b>S</b>	<b>600</b>	<b>68.2500</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SDS</b>	<b>B</b>	<b>15,000</b>	<b>67.42</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>4.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>SDS</b>	<b>S</b>	<b>300</b>	<b>67.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>CATTANI</b>	<b>041</b>	<b>SLBCQ</b>	<b>B</b>	<b>2</b>	<b>3.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	SRP	S	20,000	12.24	n/a	n/a	800.00	4.0
<b>BARR</b>	<b>096</b>	<b>SRS</b>	<b>B</b>	<b>100</b>	<b>120.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>N STRATTON</b>	<b>014</b>	<b>TECUA</b>	<b>C</b>	<b>400</b>	<b>22.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TGT</b>	<b>B</b>	<b>50,000</b>	<b>52.83</b>	<b>n/a</b>	<b>27-Jul</b>	<b>2,000.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TPX</b>	<b>B</b>	<b>20,000</b>	<b>16.02</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
CAXTON PART	040	TRA	SS	50,000	42.27	n/a	n/a	1,750.01	3.5
REINHART & MAHONEY	031	TTEK	B	43,450	17.18	n/a	n/a	2,172.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TWB</b>	<b>S</b>	<b>50,500</b>	<b>26.74</b>	<b>21-Feb</b>	<b>21-Feb</b>	<b>2,020.00</b>	<b>4.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>TWM</b>	<b>S</b>	<b>650</b>	<b>92.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE/MS OLDS	041	T	SS	245,100	35.14	n/a	4-Jan	7,353.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>UA</b>	<b>S</b>	<b>26,400</b>	<b>34.21</b>	<b>n/a</b>	<b>n/a</b>	<b>1,056.00</b>	<b>4.0</b>
COUGAR TRADING	044	URBN	B	5,000	28.61	29-Feb	7-Mar	250.00	5.0
HIGHBRIDGE/MS OLDS	041	VZ	SS	250,000	35.29	n/a	4-Jan	7,500.00	3.0
<b>BUCK</b>	<b>015</b>	<b>WBCG</b>	<b>B</b>	<b>22</b>	<b>0.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	035	WBC	S	300	43.21	n/a	7-Feb	0.00	0.0
BUCK	015	WB	S	2,200	26.88	n/a	n/a	0.00	0.0
BUCK	015	WFC	C	1,200	27.56	n/a	n/a	0.00	0.0
MERRILL ASSET	032	WHQ	B	16,600	61.49	n/a	n/a	830.00	5.0
PECONIC PARTNERS	036	WMT	S	400,000	50.35	n/a	7-Mar	20,000.00	5.0
CATAPULT	040	WTNY	B	5,000	23.66	n/a	n/a	250.00	5.0
BAYPOINT/PRIMEMOVERS	041	ZLC	SS	5,000	18.92	n/a	n/a	250.00	5.0
COUGAR TRADING	044	ZQK	S	5,000	8.98	5-Feb	7-Mar	250.00	5.0
ZOLIDIS	011	ZUMZ	C	2,000	14.90	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :							122,834.93		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/06	BLUE HARBOR (BLUHOLDMA)	036	KFN	B	25,000	11.06	n/a	n/a	1000.00 4.0
AO,CRT	03/06	ROARING BLUE LION MGMT (ROAR4CENTS)	012	KFN	B	25,000	11.06	n/a	n/a	1000.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
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TOTAL (Trade Date) :	122,834.93
TOTAL (AO, CXL, CRT) :	0.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>122,834.93</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION