



# Daily Compliance Activity Report

Trade Date: 04/26/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BREEDEN CAP MGMT	036	ABD	B	31,800	24.11	n/a	n/a	1,590.00	5.0
SIGMA CAPITAL ASSOCIATES	044	AEO	B	35,000	30.26	4-Jan	13-Apr	1,750.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>AIQ</b>	<b>S</b>	<b>400</b>	<b>9.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TYEE/TYGH	035	AMG	S	11,100	119.04	25-Apr	25-Apr	444.00	4.0
TROUBH PART	067	AMR	B	20,000	27.91	n/a	n/a	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>SS</b>	<b>15,000</b>	<b>84.53</b>	<b>2-Feb</b>	<b>25-Apr</b>	<b>600.00</b>	<b>4.0</b>
PHILADELPHIA FINANCIAL	031	AVZ	B	59,563	24.03	26-Apr	26-Apr	2,978.15	5.0
GRUSS CAPITAL	040	BAM	B	5,000	57.19	n/a	n/a	250.00	5.0
SIGMA CAPITAL ASSOCIATES	044	BEBE	SS	45,000	17.92	n/a	n/a	2,250.00	5.0
BALYASNY ASSET MGMT	044	BEN	S	20,000	129.34	26-Apr	26-Apr	1,000.00	5.0
FARALLON CAP MGMT	040	BE	B	200,000	7.56	n/a	n/a	6,000.00	3.0
CAPITAL GROWTH MGMT.	241	BHI	B	100,000	78.23	n/a	n/a	5,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>5,000</b>	<b>52.35</b>	<b>9-Mar</b>	<b>26-Apr</b>	<b>non-brg</b>	<b>5.0</b>
WHITE PINE CAPITAL	030	BONT	S	225	52.27	9-Mar	26-Apr	11.25	5.0
CAMBIAR CAP	046	BRL	B	10,940	48.67	1-Mar	26-Apr	437.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	BSC	S	250	157.82	15-Dec	16-Apr	0.00	0.0
8 BUCK CAPITAL MGMT		CBK	S	40,000	18.07	30-Mar	25-Apr	non-brg	5.0
KORMAN	003	CEUC	B	3,000	6.06	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	CFC	B	300	38.66	n/a	n/a	15.00	5.0
KORMAN	003	CHCG	B	5,000	7.15	n/a	n/a	0.00	0.0
EMP ERROR	099	CMG	S	1,000	67.13	24-Apr	24-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	CRI	SS	20,000	27.71	n/a	n/a	800.00	4.0
MANNING & NAPIER	034	CRM	B	379,000	42.52	n/a	n/a	15,160.00	4.0
MILLRACE FUND LP	033	CRR	S	15,400	46.36	1-Feb	26-Apr	616.00	4.0
CAPITAL GROWTH MGMT.	241	CRS	S	50,000	122.51	n/a	n/a	2,500.00	5.0
KORMAN	003	CSCA	B	3,000	6.22	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	CSCO	B	1,000	26.98	n/a	22-Mar	50.00	5.0
BINDER	007	CSCO	B	500	27.00	n/a	22-Mar	0.00	0.0
WHITE PINE CAPITAL	030	CSE	S	150	26.03	n/a	n/a	7.50	5.0
OGORMAN	146	CVGW	S	600	13.60	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	C	S	300	53.60	11-Jan	17-Apr	15.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>MCCARTAN</b>	<b>028</b>	<b>DFZ</b>	<b>B</b>	<b>200</b>	<b>10.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>OGORMAN</b>	<b>146</b>	<b>DHBT</b>	<b>S</b>	<b>1,000</b>	<b>5.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TYEE/TYGH	035	DHI	B	168,860	23.37	n/a	n/a	6,754.40	4.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	13,100	39.70	19-Apr	19-Apr	655.00	5.0
DSG CAPITAL	236	EDA	B	10,000	10.60	n/a	n/a	500.00	5.0
<b>LEDY</b>	<b>035</b>	<b>EDA</b>	<b>B</b>	<b>1,600</b>	<b>10.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BRAHMAN CAPITAL	028	EK	B	50,000	25.49	n/a	n/a	2,500.00	5.0
WHITE PINE CAPITAL	030	FAF	S	125	51.95	n/a	n/a	6.25	5.0
DIAMONDBACK CAP MGMT	232	FHN	SS	50,000	39.65	n/a	n/a	2,500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	FINL	SS	45,000	13.16	n/a	30-Mar	2,250.00	5.0
MERRILL ASSET	032	FL	S	50,700	23.75	n/a	19-Mar	2,281.50	4.5
SIGMA CAPITAL ASSOCIATES	044	FL	SS	106,000	23.79	n/a	19-Mar	5,300.00	5.0
PATTEN & PATTEN	067	FPL	S	300	64.55	n/a	n/a	15.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>FSIN</b>	<b>B</b>	<b>1,184</b>	<b>10.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>F</b>	<b>SS</b>	<b>100,000</b>	<b>8.23</b>	<b>n/a</b>	<b>5-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>S</b>	<b>50,000</b>	<b>50.79</b>	<b>n/a</b>	<b>10-Jan</b>	<b>2,000.00</b>	<b>4.0</b>

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		GCO	S	20,000	50.90	n/a	10-Jan	non-brg 5.0
	BUCK CAPITAL MGMT	001	GES	B	35,000	41.38	n/a	n/a	1,400.00 4.0
	PATTEN & PATTEN	067	GE	S	340	35.75	n/a	n/a	17.00 5.0
ß	BUCK CAPITAL MGMT		GIL	B	20,000	63.24	n/a	2-Apr	non-brg 5.0
	STADIA CAPITAL	035	GIL	B	15,000	63.22	n/a	2-Apr	600.00 4.0
ß	BUCK CAPITAL MGMT		GPI	B	20,000	41.71	27-Apr	13-Apr	non-brg 5.0
ß	BUCK CAPITAL MGMT		GPI	B	40,000	41.47	27-Apr	13-Apr	non-brg 2.0
	CIRCLE T PART	035	GPI	B	22,500	41.87	27-Apr	13-Apr	900.00 4.0
	MCCARTAN	028	GPI	B	100	42.30	27-Apr	13-Apr	0.00 0.0
	GALLEON MGMT LP	033	GSK	C	50,000	58.51	n/a	n/a	2,500.00 5.0
	ROBX	033	HAL	B	250,000	32.00	n/a	n/a	10,000.00 4.0
ß	BUCK CAPITAL MGMT		HLYS	B	10,000	31.33	n/a	n/a	non-brg 5.0
	PATTEN & PATTEN	067	HON	B	300	53.92	20-Apr	20-Apr	15.00 5.0
	KORMAN	003	HRBN	B	7,000	12.78	n/a	n/a	0.00 0.0
	ZOLIDIS	011	HRSB	B	1,510	3.60	n/a	n/a	0.00 0.0
	CLOVIS CAPITAL	012	HSY	SS	100,000	54.77	n/a	n/a	5,000.00 5.0
	FARALLON CAP MGMT	040	HTZ	B	55,200	22.02	n/a	n/a	2,208.00 4.0
	PATTEN & PATTEN	067	IJH	B	1,400	88.41	n/a	n/a	70.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BINDER	007	INTC	S	760	22.09	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	IO	B	18,600	14.22	n/a	n/a	930.00	5.0
Õ Glenn Redemann		IPS	B	8	1.22	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IPS	B	8	1.27	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IPS	SS	8	2.11	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IPS	SS	8	2.18	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ISE	B	35,000	46.85	n/a	25-Apr	non-brg	5.0
COUGAR TRADING	044	JCG	S	5,000	42.73	14-Mar	25-Apr	250.00	5.0
KEEFE BRUYETTE WOODS A	031	JNS	B	66,500	25.20	26-Apr	26-Apr	3,325.00	5.0
SANDLER O'NEILL ASSET	031	JNS	B	25,000	24.87	26-Apr	26-Apr	1,250.00	5.0
BUCK CAPITAL MGMT	001	JNY	SS	50,000	33.57	n/a	15-Feb	2,000.00	4.0
CHILTON CAPITAL MGMT.	036	JOE	C	50,000	57.18	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		JOSB	S	20,000	39.91	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		LAMR	B	20,000	61.21	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		LAVA	B	3,100	12.51	n/a	n/a	non-brg	1.0
CLOVIS CAPITAL	012	LEH	S	50,000	76.93	n/a	16-Apr	2,500.00	5.0
ß BUCK CAPITAL MGMT		LVL	B	50,000	5.79	12-Mar	26-Apr	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRON HORSE CAPITAL MGMT	038	LVLTT	B	100,000	5.83	12-Mar	26-Apr	3,000.00	3.0
PATTEN & PATTEN	067	MGU	B	50	32.89	n/a	n/a	2.50	5.0
PATTEN & PATTEN	067	MIC	B	300	41.88	n/a	n/a	15.00	5.0
WHITE PINE CAPITAL	030	MMR	S	500	13.40	n/a	n/a	25.00	5.0
TYEE/TYGH	035	MSO	B	29,213	18.79	n/a	n/a	1,168.52	4.0
DIAMONDBACK CAP MGMT	232	NCC	B	25,000	37.68	n/a	n/a	1,250.00	5.0
<b>BINDER</b>	<b>007</b>	<b>NEXC</b>	<b>S</b>	<b>200</b>	<b>12.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
APEX CAPITAL MGMT.	091	NVDA	B	100,000	33.60	n/a	n/a	5,000.00	5.0
MERRILL ASSET	032	OHI	B	29,700	17.06	n/a	n/a	1,336.50	4.5
WHITE PINE CAPITAL	030	OLN	S	200	17.07	n/a	22-Mar	10.00	5.0
<b>BINDER</b>	<b>007</b>	<b>OMX</b>	<b>SS</b>	<b>200</b>	<b>51.37</b>	<b>n/a</b>	<b>15-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>OXM</b>	<b>S</b>	<b>500</b>	<b>48.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GRUSS CAPITAL	040	PBY	B	15,000	19.25	n/a	n/a	750.00	5.0
WHITE PINE CAPITAL	030	PEP	B	100	66.48	n/a	n/a	5.00	5.0
PATTEN & PATTEN	067	PG	B	150	63.03	15-Dec	30-Mar	7.50	5.0
PATTEN & PATTEN	067	PHO	B	200	19.46	n/a	n/a	10.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
XYLEM GLOBAL	091	PKG	B	25,000	25.30	n/a	18-Apr	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>B</b>	<b>5,000</b>	<b>53.45</b>	<b>12-Apr</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>5.0</b>
SIGMA CAPITAL ASSOCIATES	044	PSUN	B	25,000	21.44	n/a	13-Apr	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>B</b>	<b>30,000</b>	<b>57.40</b>	<b>28-Mar</b>	<b>28-Mar</b>	<b>1,200.00</b>	<b>4.0</b>
<b>LEDDY</b>	<b>035</b>	<b>QID</b>	<b>B</b>	<b>1,000</b>	<b>47.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DENVER INST. ADV	046	RL	S	20,000	94.63	2-Feb	13-Apr	800.00	4.0
DENVER INST. ADV	046	SCHW	B	50,060	19.74	n/a	n/a	2,002.40	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>B</b>	<b>20,000</b>	<b>33.58</b>	<b>n/a</b>	<b>13-Mar</b>	<b>800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>B</b>	<b>130,000</b>	<b>32.83</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>B</b>	<b>20,000</b>	<b>33.20</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>B</b>	<b>50,000</b>	<b>32.75</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>5.0</b>
SARAS CAPITAL	038	SKX	B	10,000	33.50	n/a	13-Mar	500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	SKX	S	2,400	33.75	n/a	13-Mar	120.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>SKX</b>	<b>B</b>	<b>400</b>	<b>32.94</b>	<b>n/a</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>SNEN</b>	<b>B</b>	<b>25,000</b>	<b>2.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>SORL</b>	<b>B</b>	<b>9,000</b>	<b>8.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GOLDEN TREE	036	SPY	B	86,800	149.51	n/a	n/a	4,340.00	5.0
FARALLON CAP MGMT	040	S	S	245,000	20.26	n/a	n/a	9,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>B</b>	<b>100,000</b>	<b>60.88</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>5.0</b>
FARBER/WEISS PECK	012	THI	B	20,000	32.19	24-Apr	24-Apr	1,000.00	5.0
GOLD SACHS SPECIAL SIT	030	TSAI	B	58,000	32.13	n/a	n/a	2,900.00	5.0
IRIDIAN ASSET MGMT.	036	TYC	S	403,570	32.52	n/a	6-Feb	20,178.50	5.0
<b>NOLAN</b>	<b>067</b>	<b>UAUA</b>	<b>S</b>	<b>20</b>	<b>37.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	UCBI	SS	25,000	30.06	n/a	n/a	1,250.00	5.0
GRANAHAN INV	039	UCO	B	7,000	67.63	14-Mar	14-Mar	280.00	4.0
XYLEM GLOBAL	091	USG	SS	50,000	46.67	25-Apr	25-Apr	2,500.00	5.0
BALYASNY ASSET MGMT	044	VMED	SS	2,500	25.91	n/a	n/a	125.00	5.0
<b>KERN</b>	<b>050</b>	<b>VOII</b>	<b>B</b>	<b>50,000</b>	<b>0.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>DEMARTINI</b>	<b>065</b>	<b>VOII</b>	<b>B</b>	<b>50,000</b>	<b>0.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DAVIDSON KEMPNER ADVISOR	217	WEN	B	100,000	37.36	24-Apr	24-Apr	5,000.00	5.0
FARALLON CAP MGMT	040	WLP	B	37,500	79.63	n/a	n/a	1,500.00	4.0
ADAGE CAPITAL MGMT	030	WMT	B	150,000	48.78	n/a	12-Apr	6,000.00	4.0
SUTTONBROOK CAPITAL	044	WY	S	75,000	81.24	15-Dec	18-Apr	3,750.00	5.0
XYLEM GLOBAL	091	WY	B	20,000	76.99	15-Dec	18-Apr	1,000.00	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KORMAN	003	YTEC	B	10,000	9.00	n/a	n/a	0.00	0.0
BRIGHTPOINT CAP	067	YUM	B	20,000	62.81	24-Apr	24-Apr	1,000.00	5.0
COUGAR TRADING	044	ZQK	S	5,000	13.75	9-Mar	17-Apr	250.00	5.0
<b>TOTAL (Trade Date):</b>							<b>183,577.57</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	04/25	KALINOWSKI	070	CMG	B	1,000	65.56	24-Apr	24-Apr	0.00	0.0
AO,CRT	04/25	EMP ERROR	099	CMG	B	1,000	65.56	24-Apr	24-Apr	0.00	0.0
AO,CRT	04/25	MANNING & NAPIER	034	CRM	B	378,800	42.18	n/a	n/a	15152.00	4.0
AO,CXL	04/25	MANNING & NAPIER	034	CRM	B	318,200	42.18	n/a	n/a	0.00	0.0
AO,	04/25	SCOPUS FUND	039	DOW	S	15,750	45.80	n/a	10-Apr	787.50	5.0
AO,CRT	04/24	FRIESS MGMT	042	PVH	B	600	57.74	28-Mar	28-Mar	27.00	4.5
AO,CXL	04/24	FRIESS MGMT	042	PVH	B	600	57.74	28-Mar	28-Mar	26.40	4.4
AO,	04/25	GRISANTI BROWN & PARTNER	048	UAUA	C	9,800	37.54	n/a	n/a	490.00	5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>16,430.10</b>
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TOTAL (Trade Date) :	183,577.57
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TOTAL (AO, CXL, CRT) :	16,430.10
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>200,007.67</b>
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MRI Securities with Trade Activity on 26-Apr. AVZ, BEN, JNS
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MRI Securities without Trade Activity on 26-Apr.

AVP, CBT, CCMP, GR, SHPGY, TIN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 22%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION