



## Daily Compliance Activity Report

Trade Date: 07/18/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	AAPL	S	135	168.47	n/a	n/a	5.40	4.0
<b>CHRISTENSE</b>	<b>022</b>	<b>AAPL</b>	<b>B</b>	<b>50</b>	<b>166.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CRAGO</b>	<b>201</b>	<b>AAPL</b>	<b>B</b>	<b>25</b>	<b>165.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	ACI	S	350	54.40	n/a	n/a	14.00	4.0
EPOCH INV MGMT	030	AET	S	800	35.57	n/a	n/a	32.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ALV</b>	<b>B</b>	<b>10,000</b>	<b>46.07</b>	<b>17-Apr</b>	<b>17-Jul</b>	<b>400.00</b>	<b>4.0</b>
SCOPUS FUND	041	ALV	B	10,000	46.02	17-Apr	17-Jul	500.00	5.0
CARDINAL CAPITAL	044	AMG	B	16,700	81.78	15-Jul	15-Jul	835.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>200,000</b>	<b>59.59</b>	<b>10-Jul</b>	<b>11-Jul</b>	<b>8,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	SS	20,000	59.05	10-Jul	11-Jul	1,000.00	5.0
COUGAR TRADING	044	ANN	SS	30,000	23.72	14-Mar	11-Jul	1,500.00	5.0
RAINIER INV MGMT	035	ANR	B	40,630	93.96	n/a	n/a	2,031.50	5.0
<b>BAYPOINT TRADING/XYLEM</b>	<b>091</b>	<b>ARA</b>	<b>B</b>	<b>20,000</b>	<b>69.95</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
EPOCH INV MGMT	030	ARB	S	850	47.06	n/a	n/a	34.00	4.0
EPOCH INV MGMT	030	ATK	S	285	96.94	n/a	n/a	11.40	4.0
<b>BAYPOINT TRADING/XYLEM</b>	<b>091</b>	<b>ATR</b>	<b>B</b>	<b>60,000</b>	<b>38.22</b>	<b>n/a</b>	<b>n/a</b>	<b>2,400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>BARR</b>	<b>096</b>	<b>ATT</b>	<b>S</b>	<b>800</b>	<b>24.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PAW PARTNERS	041	AUO	B	11,800	13.03	n/a	n/a	590.00	5.0
EPOCH INV MGMT	030	AVY	S	6,270	44.82	22-Apr	23-Jun	250.80	4.0
BALYASNY ASSET MGMT	044	AW	B	25,000	11.90	n/a	n/a	1,250.00	5.0
EPOCH INV MGMT	030	A	S	620	35.19	n/a	n/a	24.80	4.0
NATIONAL BK OF CAN	031	BAC	B	50,000	27.57	n/a	14-Jul	2,000.00	4.0
EPOCH INV MGMT	030	BA	S	500	67.89	n/a	n/a	20.00	4.0
EPOCH INV MGMT	030	BG	S	350	98.72	n/a	n/a	14.00	4.0
BULL PATH CAPITAL	044	BKC	S	80,000	28.09	1-May	2-May	4,000.00	5.0
EPOCH INV MGMT	030	BK	S	823	36.14	n/a	18-Jul	32.92	4.0
EPOCH INV MGMT	030	BMV	S	1,250	22.28	n/a	n/a	50.00	4.0
WHITE PINE CAPITAL	030	BONT	B	2,400	5.00	n/a	11-Jul	120.00	5.0
CAMBIAR CAP	046	BRL	S	239,940	63.42	18-Jul	18-Jul	9,597.60	4.0
LATEFF MGMT	035	BRL	S	7,500	63.25	18-Jul	18-Jul	975.00	13.0
S.A.C. CAPITAL MGMT.	044	BRL	S	25,000	63.20	18-Jul	18-Jul	1,250.00	5.0
ZANDER CAPITAL MGMT	035	BRL	S	20,500	63.53	18-Jul	18-Jul	615.00	3.0
EPOCH INV MGMT	030	BSX	S	1,820	13.90	n/a	22-Apr	72.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILTON PARTNERS	060	BUD	B	25,000	67.13	n/a	n/a	750.00	3.0
BMO NESBITT BURNS	041	BWA	S	25,000	42.29	10-Jun	17-Jul	1,250.00	5.0
TRELLUS PARTNERS LP	028	BWA	SS	20,000	42.60	10-Jun	17-Jul	1,000.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>CADA</b>	<b>S</b>	<b>100</b>	<b>39.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CARLYLE BLUE WAVE PR MG	224	CENX	B	10,000	53.06	n/a	n/a	500.00	5.0
CUMBERLAND	038	CETV	B	10,000	79.01	n/a	n/a	400.00	4.0
WHITE PINE CAPITAL	030	CHRW	B	1,100	56.80	n/a	n/a	55.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CLX</b>	<b>SS</b>	<b>50,000</b>	<b>53.41</b>	<b>n/a</b>	<b>24-Jun</b>	<b>2,000.00</b>	<b>4.0</b>
EPOCH INV MGMT	030	CMCSK	S	2,550	19.96	n/a	n/a	102.00	4.0
COUGAR TRADING	044	COH	B	5,000	27.75	4-Apr	18-Jul	250.00	5.0
DENVER INST. ADV	046	COST	B	16,850	73.52	n/a	27-Jul	674.00	4.0
WHITE PINE CAPITAL	030	CSCO	B	1,800	21.66	n/a	22-Mar	90.00	5.0
AVESTA CAP	229	CVS	SS	100,000	37.05	n/a	n/a	5,000.00	5.0
HUNTER GLOBAL INVESTOR	067	CVS	S	200,000	37.41	n/a	n/a	10,000.00	5.0
WHITE PINE CAPITAL	030	C	B	15,250	19.65	19-Jun	14-Jul	762.50	5.0
<b>BARR</b>	<b>096</b>	<b>DFS</b>	<b>C</b>	<b>3,000</b>	<b>16.34</b>	<b>n/a</b>	<b>16-Jul</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	DIS	SS	3,900	30.74	n/a	n/a	156.00	4.0
<b>ZOLIDIS</b>	<b>011</b>	<b>FINL</b>	<b>C</b>	<b>1,000</b>	<b>9.84</b>	<b>28-Mar</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FL</b>	<b>S</b>	<b>40,000</b>	<b>12.90</b>	<b>2-Apr</b>	<b>9-Jul</b>	<b>1,600.00</b>	<b>4.0</b>
J L F ASSET MGMT	039	FL	B	58,600	13.23	2-Apr	9-Jul	2,344.00	4.0
COUGAR TRADING	044	FOSL	B	10,000	26.66	n/a	9-Jul	500.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>FRE</b>	<b>S</b>	<b>2,000</b>	<b>9.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GAP</b>	<b>B</b>	<b>150,000</b>	<b>18.14</b>	<b>n/a</b>	<b>n/a</b>	<b>6,000.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>20,000</b>	<b>32.74</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>50,000</b>	<b>25.62</b>	<b>30-Apr</b>	<b>18-Jul</b>	<b>2,000.00</b>	<b>4.0</b>
J L F ASSET MGMT	039	GIL	B	32,600	26.10	30-Apr	18-Jul	1,304.00	4.0
JP MORGAN VENTURES	046	GIL	B	35,000	25.48	30-Apr	18-Jul	1,750.00	5.0
WEISS PECK	040	GIL	B	14,400	25.96	30-Apr	18-Jul	720.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>GOOG</b>	<b>B</b>	<b>30</b>	<b>497.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>GSI</b>	<b>S</b>	<b>1,190</b>	<b>13.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	GYMB	B	5,000	39.29	11-Jul	11-Jul	250.00	5.0
IRIDIAN ASSET MGMT.	036	HAL	S	19,650	47.10	n/a	n/a	982.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HAS</b>	<b>SS</b>	<b>10,000</b>	<b>37.93</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	HBI	B	40,000	25.93	n/a	n/a	1,600.00	4.0
WHITE PINE CAPITAL	030	HON	B	300	50.70	18-Jul	18-Jul	15.00	5.0
HARVEST MGMT	039	HOTT	B	87,032	6.26	n/a	11-Jul	2,610.96	3.0
BMO NESBITT BURNS	041	IP	B	25,000	23.22	16-Jul	16-Jul	1,250.00	5.0
NEWLAND CAPITAL MGT	067	IP	B	75,000	23.29	16-Jul	16-Jul	3,000.00	4.0
PERFORMANCE PART LP #2	041	IP	B	20,000	23.49	16-Jul	16-Jul	1,000.00	5.0
STG INVESTORS	041	IP	B	100,000	23.40	16-Jul	16-Jul	4,000.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	10,000	29.97	n/a	30-May	400.00	4.0
COUGAR TRADING	044	JCG	SS	10,000	30.29	n/a	30-May	500.00	5.0
THIRD POINT MGMT	044	JCG	C	200,000	30.68	n/a	30-May	8,000.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	100,000	32.07	n/a	11-Jul	4,000.00	4.0
WHITE PINE CAPITAL	030	JCP	B	1,250	31.88	n/a	11-Jul	62.50	5.0
THE JAY GOLDMAN	034	JWN	SS	10,000	30.41	10-Jul	11-Jul	400.00	4.0
KORMAN	003	JWUGG	B	100	5.60	n/a	n/a	0.00	0.0
KORMAN	003	JWUSK	S	50	14.50	n/a	n/a	0.00	0.0
KORMAN	003	JWUSL	S	80	19.60	n/a	n/a	0.00	0.0
KORMAN	003	KMXSD	S	50	6.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KSS	B	100,000	43.33	n/a	17-Jul	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FRONTPOINT CHRIS C	041	LAMR	SS	3,400	34.78	n/a	n/a	136.00	4.0
<b>BARR</b>	<b>096</b>	<b>LAVA</b>	<b>S</b>	<b>6,000</b>	<b>6.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NATIONAL BK OF CAN	031	LEH	B	10,000	20.00	9-Jun	14-Jul	400.00	4.0
S.A.C. CAPITAL MGMT.	044	LLY	S	83,000	47.90	n/a	n/a	4,150.00	5.0
WHITE PINE CAPITAL	030	LLY	B	6,100	47.67	n/a	n/a	305.00	5.0
<b>BUCK</b>	<b>015</b>	<b>LYHVV</b>	<b>S</b>	<b>40</b>	<b>1.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>N STRATTON</b>	<b>014</b>	<b>MCO</b>	<b>SS</b>	<b>100</b>	<b>35.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ARIENCE CAPITAL	036	MER	B	44,000	30.62	24-Jun	18-Jul	2,200.00	5.0
GRANITE CAPITAL	045	MER	S	15,000	29.83	24-Jun	18-Jul	750.00	5.0
WHITE PINE CAPITAL	030	MIR	B	2,450	33.20	n/a	n/a	122.50	5.0
EPOCH INV MGMT	030	MOLXA	S	9,880	22.61	n/a	n/a	395.20	4.0
COUGAR TRADING	044	M	B	5,000	18.07	14-Jul	14-Jul	250.00	5.0
THE JAY GOLDMAN	034	M	S	10,000	18.49	14-Jul	14-Jul	400.00	4.0
<b>J ROSEVIC</b>	<b>034</b>	<b>NKE</b>	<b>B</b>	<b>200</b>	<b>57.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>J ROSEALEX</b>	<b>034</b>	<b>NKE</b>	<b>B</b>	<b>200</b>	<b>57.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	NLY	B	1,900	14.99	n/a	n/a	95.00	5.0
WHITE PINE CAPITAL	030	NVTL	B	1,300	10.74	n/a	n/a	65.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	NWY	SS	20,000	9.51	n/a	22-May	800.00	4.0
BAYPOINT TRADING/XYLEM	091	OI	B	20,000	44.57	n/a	n/a	800.00	4.0
EPOCH INV MGMT	030	ORI	S	9,800	10.85	n/a	n/a	392.00	4.0
SCOPUS FUND	041	PKG	B	25,000	25.27	7-Apr	11-Jul	1,250.00	5.0
AAD CAPITAL	048	PLCE	SS	15,249	40.27	23-Jun	17-Jul	609.96	4.0
WHITE PINE CAPITAL	030	PM	B	900	50.18	n/a	n/a	45.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	10,000	35.36	5-May	18-Jul	400.00	4.0
LEVIN CAPITAL STRATEGIES	012	PVH	S	25,000	35.21	5-May	18-Jul	1,000.00	4.0
FIRST NEW YORK LEDDY	035	Q	S	5,000	3.85	n/a	n/a	300.00	6.0
COUGAR TRADING	044	RL	S	2,700	62.84	7-Feb	29-May	135.00	5.0
FARALLON CAP MGMT	040	RPM	B	35,000	21.08	n/a	n/a	1,400.00	4.0
EPOCH INV MGMT	030	SFI	S	20,800	10.12	n/a	n/a	832.00	4.0
BUCK	015	SLBTR	B	6	1.10	n/a	n/a	0.00	0.0
BAYPOINT TRADING/XYLEM	091	SLGN	B	41,678	48.92	n/a	n/a	1,667.12	4.0
BARR	096	SQNM	S	1,000	20.46	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	SSCC	S	100,000	4.90	7-Apr	11-Jul	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	SSO	B	5,000	59.62	n/a	n/a	250.00	5.0
FRONTPOINT CHRIS C	041	SSP	SS	14,400	8.66	n/a	n/a	576.00	4.0
EPOCH INV MGMT	030	SUG	B	7,950	25.76	n/a	n/a	318.00	4.0
EPOCH INV MGMT	030	TEL	S	3,062	36.62	n/a	n/a	122.48	4.0
BMO NESBITT BURNS	041	TEN	S	25,000	14.12	n/a	n/a	1,250.00	5.0
GOLD SACHS SPECIAL SIT	030	TEN	SS	71,800	14.03	n/a	n/a	3,590.00	5.0
<b>APEX CAPITAL MGMT.</b>	<b>091</b>	<b>TEVA</b>	<b>B</b>	<b>160,000</b>	<b>43.27</b>	<b>n/a</b>	<b>18-Jul</b>	<b>8,000.00</b>	<b>5.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>THI</b>	<b>SS</b>	<b>60,000</b>	<b>28.01</b>	<b>n/a</b>	<b>24-Apr</b>	<b>2,400.00</b>	<b>4.0</b>
BMO NESBITT BURNS	041	TIN	SS	50,000	13.40	29-Apr	11-Jul	2,500.00	5.0
BMO NESBITT BURNS	041	TIN	S	50,000	13.22	29-Apr	11-Jul	2,500.00	5.0
<b>DANIELS</b>	<b>N25</b>	<b>TIN</b>	<b>S</b>	<b>150</b>	<b>13.50</b>	<b>29-Apr</b>	<b>11-Jul</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	TJX	SS	10,000	34.67	n/a	11-Jul	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>S</b>	<b>70,000</b>	<b>11.14</b>	<b>17-Apr</b>	<b>9-Jul</b>	<b>2,800.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TPX</b>	<b>S</b>	<b>500,000</b>	<b>9.85</b>	<b>n/a</b>	<b>n/a</b>	<b>20,000.00</b>	<b>4.0</b>
CROWN ADVISORS	045	TPX	S	4,000	10.41	n/a	n/a	200.00	5.0
<b>BARR</b>	<b>096</b>	<b>T</b>	<b>S</b>	<b>500</b>	<b>31.76</b>	<b>n/a</b>	<b>4-Jan</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	URBN	SS	10,000	31.35	15-May	9-Jul	500.00	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CARLYLE BLUE WAVE PR MG	224	VAL	SS	10,000	21.13	n/a	n/a	500.00	5.0
EPOCH INV MGMT	030	VFC	S	3,280	73.82	n/a	n/a	131.20	4.0
SIGMA CAPITAL ASSOCIATES	237	VFC	SS	23,500	72.66	n/a	n/a	1,175.00	5.0
WHITE PINE CAPITAL	030	VZ	B	900	35.37	n/a	4-Jan	45.00	5.0
GALLEON MGMT LP	033	WAG	S	30,000	33.86	n/a	n/a	1,500.00	5.0
AELTUS/ING IVESTMENT MGT	044	WMT	B	13,699	57.78	30-Jun	11-Jul	547.96	4.0
EPOCH INV MGMT	030	WMT	S	4,370	57.80	30-Jun	11-Jul	174.80	4.0
EPOCH INV MGMT	030	WSH	S	9,910	31.81	n/a	n/a	396.40	4.0
FARALLON CAP MGMT	040	WU	B	200,000	25.74	n/a	n/a	8,000.00	4.0
LEDDY	035	XOHO	S	5,000	0.35	n/a	n/a	0.00	0.0
BARR	096	ZION	C	1,500	25.07	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	ZLC	S	7,200	19.94	n/a	n/a	288.00	4.0
<b>TOTAL (Trade Date) :</b>								<b>193,069.30</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/17	ARIENCE CAPITAL (ARIEMORGAN)	036	BAX	S	36,200	69.48	n/a	22-Apr	1810.00 5.0
AO,	07/17	CAMBIAR CAP (CAMB741)	046	BRL	S	40	54.48	18-Jul	18-Jul	1.60 4.0
AO,	07/17	CAMBIAR CAP (CAMB743)	046	BRL	S	800	54.48	18-Jul	18-Jul	32.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB714)	046	BRL	S	2,100	54.48	18-Jul	18-Jul	84.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB594)	046	BRL	S	1,700	54.48	18-Jul	18-Jul	68.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB535)	046	BRL	S	4,280	54.48	18-Jul	18-Jul	171.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB30)	046	BRL	S	150	54.48	18-Jul	18-Jul	6.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB204)	046	BRL	S	410	54.48	18-Jul	18-Jul	16.40 4.0
AO,	07/17	CAMBIAR CAP (CAMB18)	046	BRL	S	410	54.48	18-Jul	18-Jul	16.40 4.0
AO,	07/17	CAMBIAR CAP (CAMB527)	046	BRL	S	58	54.48	18-Jul	18-Jul	2.32 4.0
AO,	07/17	CAMBIAR CAP (CAMB27)	046	BRL	S	1,350	54.48	18-Jul	18-Jul	54.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB520)	046	BRL	S	2,800	54.48	18-Jul	18-Jul	112.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB784)	046	BRL	S	80	54.48	18-Jul	18-Jul	3.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB525)	046	BRL	S	1,253	54.48	18-Jul	18-Jul	50.12 4.0
AO,	07/17	CAMBIAR CAP (CAMB816)	046	BRL	S	80	54.48	18-Jul	18-Jul	3.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB502)	046	BRL	S	2,300	54.48	18-Jul	18-Jul	92.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB823)	046	BRL	S	30	54.48	18-Jul	18-Jul	1.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB526)	046	BRL	S	1,588	54.48	18-Jul	18-Jul	63.52 4.0
AO,	07/17	CAMBIAR CAP (CAMB841)	046	BRL	S	80	54.48	18-Jul	18-Jul	3.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB26)	046	BRL	S	900	54.48	18-Jul	18-Jul	36.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB883)	046	BRL	S	1,500	54.48	18-Jul	18-Jul	60.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB25)	046	BRL	S	1,200	54.48	18-Jul	18-Jul	48.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB810)	046	BRL	S	50	54.48	18-Jul	18-Jul	2.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB24)	046	BRL	S	700	54.48	18-Jul	18-Jul	28.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB831)	046	BRL	S	800	54.48	18-Jul	18-Jul	32.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB21)	046	BRL	S	9,000	54.48	18-Jul	18-Jul	360.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/17	CAMBIAR CAP (CAMB832)	046	BRL	S	400	54.48	18-Jul	18-Jul	16.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB20)	046	BRL	S	10,500	54.48	18-Jul	18-Jul	420.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB767)	046	BRL	S	25	54.48	18-Jul	18-Jul	1.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB903)	046	BRL	S	20	54.48	18-Jul	18-Jul	0.80 4.0
AO,	07/17	CAMBIAR CAP (CAMB76)	046	BRL	S	3,300	54.48	18-Jul	18-Jul	132.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB)	046	BRL	S	25	54.48	18-Jul	18-Jul	1.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB890)	046	BRL	S	30	54.48	18-Jul	18-Jul	1.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB1)	046	BRL	S	19,300	54.48	18-Jul	18-Jul	772.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB804)	046	BRL	S	1,000	54.48	18-Jul	18-Jul	40.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB781)	046	BRL	S	50	54.48	18-Jul	18-Jul	2.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB766)	046	BRL	S	800	54.48	18-Jul	18-Jul	32.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB10)	046	BRL	S	10,650	54.48	18-Jul	18-Jul	426.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB799)	046	BRL	S	90	54.48	18-Jul	18-Jul	3.60 4.0
AO,	07/17	CAMBIAR CAP (CAMB10)	046	BRL	S	1,300	54.48	18-Jul	18-Jul	52.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB748)	046	BRL	S	54,300	54.48	18-Jul	18-Jul	2172.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB10)	046	BRL	S	450	54.48	18-Jul	18-Jul	18.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB771)	046	BRL	S	30	54.48	18-Jul	18-Jul	1.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB755)	046	BRL	S	30	54.48	18-Jul	18-Jul	1.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB29)	046	BRL	S	190	54.48	18-Jul	18-Jul	7.60 4.0
AO,	07/17	CAMBIAR CAP (CAMB754)	046	BRL	S	60	54.48	18-Jul	18-Jul	2.40 4.0
AO,	07/17	CAMBIAR CAP (CAMB802)	046	BRL	S	70	54.48	18-Jul	18-Jul	2.80 4.0
AO,	07/17	CAMBIAR CAP (CAMB42)	046	BRL	S	180,000	54.48	18-Jul	18-Jul	7200.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB745)	046	BRL	S	130	54.48	18-Jul	18-Jul	5.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB879)	046	BRL	S	1,430	54.48	18-Jul	18-Jul	57.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB409)	046	BRL	S	800	54.48	18-Jul	18-Jul	32.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB65)	046	BRL	S	15,700	54.48	18-Jul	18-Jul	628.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB758)	046	BRL	S	40	54.48	18-Jul	18-Jul	1.60 4.0
AO,	07/17	CAMBIAR CAP (CAMB875)	046	BRL	S	30	54.48	18-Jul	18-Jul	1.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB43)	046	BRL	S	9,600	54.48	18-Jul	18-Jul	384.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB682)	046	BRL	S	1,090	54.48	18-Jul	18-Jul	43.60 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/17	CAMBIAR CAP (CAMB836)	046	BRL	S	80	54.48	18-Jul	18-Jul	3.20 4.0
AO,	07/17	CAMBIAR CAP (CAMB75)	046	BRL	S	800	54.48	18-Jul	18-Jul	32.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB694)	046	BRL	S	2,300	54.48	18-Jul	18-Jul	92.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB28)	046	BRL	S	600	54.48	18-Jul	18-Jul	24.00 4.0
AO,	07/17	CAMBIAR CAP (CAMB710)	046	BRL	S	670	54.48	18-Jul	18-Jul	26.80 4.0
AO,	07/17	CAMBIAR CAP (CAMB716)	046	BRL	S	334	54.48	18-Jul	18-Jul	13.36 4.0
AO,	07/17	CAMBIAR CAP (CAMB717)	046	BRL	S	117	54.48	18-Jul	18-Jul	4.68 4.0
AO,CXL	07/17	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCP	B	50,000	31.97	n/a	11-Jul	2000.00 4.0
AO,CRT	07/17	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCP	B	150,000	31.28	n/a	11-Jul	6000.00 4.0
AO,CXL	07/17	STRAUSS ASSET MGMT (STRAJEFUSE)	040	LCC	B	25,000	2.41	n/a	n/a	1000.00 4.0
AO,CRT	07/17	STRAUSS ASSET MGMT (STRAJEFUSE)	040	LCC	B	25,000	2.41	n/a	n/a	750.00 3.0
CRT	07/18	S.A.C. CAPITAL MGMT. (SACCNEW)	044	LLY	S	83,000	47.90	n/a	n/a	4150.00 5.0
AO,CRT	07/17	S.A.C. CAPITAL MGMT. (SACCNEW)	044	WYE	SS	25,000	47.59	n/a	31-Mar	1250.00 5.0
AO,CXL	07/17	SAC TRADING/BILLY (SACBMORGAN)	063	WYE	SS	25,000	47.59	n/a	31-Mar	1250.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>23,710.00</b>
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TOTAL (Trade Date) :	193,069.30
TOTAL (AO, CXL, CRT) :	23,710.00

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<b>TOTAL (RUN DATE) / NFS :</b>	<b>216,779.30</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION