



# Daily Compliance Activity Report

Trade Date: 03/26/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>BUCK</b>	<b>015</b>	<b>AB</b>	<b>S</b>	<b>800</b>	<b>65.02</b>	<b>24-Mar</b>	<b>24-Mar</b>	<b>0.00</b>	<b>0.0</b>
ROTHSCHILD ASSET	040	ACV	B	5,030	27.09	n/a	n/a	226.35	4.5
COLUMBUS CIRCLE	036	AMAT	B	307,383	20.10	n/a	n/a	12,295.32	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AMG</b>	<b>B</b>	<b>13,000</b>	<b>91.77</b>	<b>9-Jan</b>	<b>24-Mar</b>	<b>520.00</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	SS	5,000	78.11	10-Jan	10-Mar	250.00	5.0
SCOPUS FUND	041	AN	SS	34,600	15.33	n/a	8-Feb	1,730.00	5.0
CHARTER OAK	033	CAT	SS	15,000	77.07	n/a	n/a	750.00	5.0
BELMONT GLOBAL ADV	248	CDE	B	100,000	3.97	n/a	n/a	5,000.00	5.0
<b>BUCK</b>	<b>015</b>	<b>CMA</b>	<b>SS</b>	<b>700</b>	<b>37.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SANDELL ADV	040	CNL	B	30,200	22.18	n/a	n/a	1,510.00	5.0
HIGHBRIDGE/MS OLDS	041	COP	SS	66,003	75.85	n/a	n/a	2,640.12	4.0
DECADE CAPITAL PARTNERS	040	CPHL	B	12,500	10.18	n/a	n/a	625.00	5.0
TRELLUS PARTNERS LP	028	CPKI	S	74,086	13.38	n/a	n/a	3,704.30	5.0
IRIDIAN ASSET MGMT.	036	CSCO	S	68,590	24.92	n/a	22-Mar	3,429.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE/MS OLDS	041	CVX	SS	30,000	84.79	n/a	n/a	1,200.00	4.0
<b>CATTANI</b>	<b>041</b>	<b>C</b>	<b>B</b>	<b>200</b>	<b>22.26</b>	<b>16-Jan</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	DSL	B	18,200	20.33	n/a	n/a	819.00	4.5
TRELLUS PARTNERS LP	028	DSL	S	45,000	20.27	n/a	n/a	2,250.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>DSNY</b>	<b>B</b>	<b>5,000</b>	<b>0.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY1</b>	<b>036</b>	<b>DUG</b>	<b>S</b>	<b>300</b>	<b>38.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TROUBH PART	067	ESI	B	10,000	47.00	n/a	n/a	500.00	5.0
TROUBH PART	067	ESI	S	10,000	46.64	n/a	n/a	500.00	5.0
SANDLER O'NEILL ASSET	031	EV	B	24,600	32.02	9-Jan	24-Mar	1,230.00	5.0
SYSTEMATIC FINANCIAL MGT	035	FCX	B	103,300	95.56	n/a	n/a	3,873.76	3.8
NOMURA CAPITAL	045	FEMO	S	2,145	20.00	n/a	n/a	107.25	5.0
DECADE CAPITAL PARTNERS	040	GBCI	SS	20,000	19.77	n/a	n/a	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>10,000</b>	<b>40.17</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
MAIRS AND POWER INV	030	GIS	S	20,000	59.13	n/a	n/a	1,200.00	6.0
WAGONHOUND	048	GLW	B	5,290	24.02	n/a	24-Mar	211.60	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GPS</b>	<b>SS</b>	<b>50,000</b>	<b>20.63</b>	<b>n/a</b>	<b>7-Mar</b>	<b>2,000.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	GYMB	B	15,000	43.32	n/a	13-Mar	750.00	5.0
<b>CATTANI</b>	<b>041</b>	<b>HRS</b>	<b>S</b>	<b>100</b>	<b>49.02</b>	<b>11-Jan</b>	<b>24-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>ICON</b>	<b>B</b>	<b>200</b>	<b>18.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>IVZ</b>	<b>S</b>	<b>200</b>	<b>24.40</b>	<b>10-Mar</b>	<b>24-Mar</b>	<b>0.00</b>	<b>0.0</b>
FORD FDN.	032	JNJ	S	20,000	64.58	n/a	22-Apr	1,000.00	5.0
COUGAR TRADING	044	JWN	B	5,000	35.34	26-Feb	10-Mar	250.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	44.96	4-Jan	7-Mar	250.00	5.0
CR INTRINIC INVESTMENT	044	KVA	B	50,000	25.30	n/a	n/a	2,500.00	5.0
TYEE/TYGH	035	KVA	S	150,000	25.41	n/a	n/a	6,000.00	4.0
MS PRINCIPAL STRATEGIES	041	LAZ	SS	5,500	38.51	n/a	n/a	275.00	5.0
SANDLER O'NEILL ASSET	031	LEH	S	50,000	42.74	19-Mar	19-Mar	2,500.00	5.0
HOLLIS CAPITAL	033	LII	SS	3,000	36.86	n/a	n/a	120.00	4.0
SSI INVESTORS	048	LIZ	SS	68,700	19.42	n/a	17-Mar	2,748.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>B</b>	<b>20,000</b>	<b>17.07</b>	<b>n/a</b>	<b>7-Mar</b>	<b>800.00</b>	<b>4.0</b>
<b>BUCK</b>	<b>015</b>	<b>MSGL</b>	<b>S</b>	<b>20</b>	<b>1.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	ONB	S	29,100	18.53	n/a	n/a	1,309.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	PAG	SS	33,280	19.38	6-Feb	20-Mar	1,664.00	5.0
TRELLUS PARTNERS LP	028	PAG	SS	75,000	19.86	6-Feb	20-Mar	3,750.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>PENX</b>	<b>B</b>	<b>5,000</b>	<b>21.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	PVH	B	27,100	38.26	13-Mar	26-Mar	1,219.50	4.5
MS PRINCIPAL STRATEGIES	041	QMAR	S	21,900	22.16	n/a	n/a	1,095.00	5.0
COUGAR TRADING	044	RL	SS	5,000	61.46	7-Feb	15-Feb	250.00	5.0
STG INVESTORS	041	SAP	SS	30,000	51.10	n/a	n/a	1,200.00	4.0
LEVIN CAPITAL STRATEGIES	012	SPWR	S	15,000	71.68	n/a	n/a	450.00	3.0
MERRILL ASSET	032	STC	B	7,200	31.14	n/a	n/a	324.00	4.5
<b>SINCLAIR</b>	<b>031</b>	<b>VCFA</b>	<b>S</b>	<b>64</b>	<b>0.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>V</b>	<b>S</b>	<b>500</b>	<b>61.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TROUBH PART	067	WLP	S	10,000	45.35	n/a	n/a	500.00	5.0
GALLEON MGMT LP	033	WRNC	B	5,000	39.66	14-Jan	10-Mar	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ZZ</b>	<b>SS</b>	<b>50,000</b>	<b>8.09</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
<b>TOTAL (Trade Date):</b>								<b>79,177.20</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/25	IMPALA ASSET MMT (IMPACITI)	012	ACI	S	200,000	43.25	n/a	n/a	6000.00 3.0
AO,CXL	03/25	&CRO (&CRON-UBS)	045	AN	SS	3,000	16.02	n/a	8-Feb	150.00 5.0
AO,CRT	03/25	CROWN ADVISORS (CRONMERLBS)	045	AN	SS	3,000	16.02	n/a	8-Feb	150.00 5.0
AO,	03/14	LISTED ERR (LISTED ERR)	099	BSC	B	10,000	53.00	17-Mar	17-Mar	0.00 0.0
AO,CXL	03/14	LISTED ERR (LISTED ERR)	099	BSC	SS	10,000	53.00	17-Mar	17-Mar	0.00 0.0
AO,	03/25	IMPALA ASSET MMT (IMPACITI)	012	DNR	B	50,000	29.09	n/a	n/a	1500.00 3.0
CRT	03/26	CR INTRINIC INVESTMENT (CRINGSPB)	044	KVA	B	50,000	25.30	n/a	n/a	2500.00 5.0
AO,CXL	03/25	IMPALA ASSET MMT (IMPACITI)	012	PNR	B	50,000	29.09	n/a	n/a	1500.00 3.0
AO,CXL	03/25	MS PRINCIPAL STRATEGIES (MSPSMS)	041	QMAR	S	83,688	22.08	n/a	n/a	3347.52 4.0
AO,CRT	03/25	MS PRINCIPAL STRATEGIES (MSPSMS)	041	QMAR	S	83,688	22.08	n/a	n/a	4184.40 5.0
CRT	03/26	STG INVESTORS (STGIGOLDMA)	041	SAP	SS	600	51.10	n/a	n/a	24.00 4.0
AO,	03/25	TRELLUS PARTNERS LP (TRELMEER)	028	WB	SS	1,436	30.00	n/a	n/a	71.80 5.0
AO,	03/25	TRELLUS PARTNERS LP (TRELGS)	028	WB	SS	1,436	30.00	n/a	n/a	71.80 5.0
AO,	03/25	TRELLUS PARTNERS LP (TRELMAIN)	028	WB	SS	27,128	30.00	n/a	n/a	1356.40 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>10,860.88</b>

TOTAL (Trade Date):	79,177.20
TOTAL (AO, CXL, CRT):	10,860.88

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>90,038.08</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION