



RR Commissions Summary [Andrew Sinclair]

Trade Date: 07/23/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A. R. SCHMEIDLER & CO.	031	0	1,010	1,010	1,010	0	0	0	1,010	1,010	1,010	0	0
ADALY INV MGMT	031	0	0	0	0	0	0	0	0	0	0	1,875	0
ASSOCIATED	031	0	0	0	0	0	0	0	0	0	0	15,509	0
BARROW HANLEY MEWHINNEY	031	0	1,407	1,407	54,734	0	0	9,000	1,407	1,407	63,734	89,062	72
CASTLE ARK	031	0	0	0	88,859	0	0	0	0	0	88,859	210,377	42
CHANNING CAPITAL	031	0	0	0	3,976	0	0	0	0	0	3,976	9,924	40
CHILTON CAPITAL MGMT	031	0	0	0	0	0	0	0	0	0	0	4,159	0
COPIA CAPITAL	031	0	0	0	0	0	0	0	0	0	0	16,875	0
ESSENCIAL CAPITAL	031	0	300	300	1,465	0	0	0	300	300	1,465	0	0
HAMILTON CAP PART FIN	031	0	0	0	4,020	0	0	0	0	0	4,020	4,520	89
HIGHLAND CAPITAL/PYXIS	031	0	0	0	0	0	0	0	0	0	0	1,828	0
INVESCO	031	0	56,480	56,480	119,961	0	0	30,834	56,480	56,480	150,795	114,396	132
JHL CAPITAL	031	0	0	0	0	0	0	0	0	0	0	12,500	0
KLEINHEINZ CAP PART	031	0	18,750	18,750	68,275	0	0	0	18,750	18,750	68,275	279,792	24
MILLENIUM -MICHAEL EHRLICH	031	0	0	0	0	0	0	0	0	0	0	2,541	0
NEW VERNON	031	0	3,500	3,500	21,320	0	0	0	3,500	3,500	21,320	13,151	162
NOKOMIS PARTNERS	031	0	2,296	2,296	16,820	0	0	0	2,296	2,296	16,820	24,102	70
PIONEER OAKRIDGE	031	0	0	0	0	0	0	20,000	0	0	20,000	40,272	50
RAIL-SPLITTER	031	0	0	0	0	0	0	8,000	0	0	8,000	19,000	42
ROARING BLUE LION MGMT	031	0	0	0	30,311	0	0	0	0	0	30,311	17,869	170
ROYCE TRADING	031	0	0	0	53,089	0	0	0	0	0	53,089	101,758	52
SAC CHICAGO ANDREW	031	0	0	0	4,365	0	0	0	0	0	4,365	31,633	14
SANDLER O'NEILL ASSET	031	0	5,750	5,750	122,905	0	0	0	5,750	5,750	122,905	105,074	117
SHEFFIELD ASSET MGMT	031	0	652	652	17,000	0	0	0	652	652	17,000	38,713	44
TYVOR CAPITAL LLC	031	0	4,869	4,869	4,869	0	0	0	4,869	4,869	4,869	0	0
UBS OCONNOR	031	0	0	0	16,434	0	0	10,000	0	0	26,434	19,717	134
WANGER ASS	031	0	0	0	0	0	0	17,500	0	0	17,500	30,000	58
WOODWAY FINANCIAL	031	8	2,434	2,434	12,717	0	0	0	2,434	2,434	12,717	34,268	37
TOTALS:		8	97,448	97,448	642,130	0	0	95,334	97,448	97,448	737,464		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAISSE DEPOT PLACEMENT Q	295	0	0	0	17,997	0	0	0	0	0	17,997	12,444	0
CITADEL ADV	280	0	0	0	0	0	0	55,000	0	0	55,000	240,000	23
CITADEL ALPHA LEAGUE	280	0	0	0	0	0	0	33,492	0	0	33,492	100,035	33
LONE PINE MGMT	257	0	0	0	0	0	0	12,000	0	0	12,000	0	0
LORD ABBETT & COMPANY	098	0	0	0	0	0	0	0	0	0	0	0	0
MOORE CAPITAL	257	0	0	0	21,242	0	0	0	0	0	21,242	0	0
TOTALS:		0	0	0	39,239	0	0	100,492	0	0	139,731		

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