



# Daily Compliance Activity Report

Trade Date: 10/25/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	012	ADS	S	30,800	59.14	n/a	n/a	924.00	3.0
WEISS PECK	040	AEOS	S	4,300	46.10	23-Oct	23-Oct	215.00	5.0
MORGAN BANK /JPM	012	AIZ	B	2,300	53.55	n/a	n/a	46.00	2.0
<b>MORGAN BANK /JPM</b>	<b>012</b>	<b>AMG</b>	<b>B</b>	<b>8,200</b>	<b>96.39</b>	<b>25-Oct</b>	<b>25-Oct</b>	<b>246.00</b>	<b>3.0</b>
MORGAN BANK /JPM	012	AMTD	B	2,550	15.78	n/a	n/a	76.50	3.0
<b>SINCLAIR</b>	<b>031</b>	<b>ANDW</b>	<b>B</b>	<b>1,000</b>	<b>9.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ANF	B	5,000	77.47	31-Aug	6-Oct	250.00	5.0
CAPITAL GROWTH MGMT.	241	APH	B	32,000	68.09	n/a	n/a	1,600.00	5.0
<b>COMMISSUM CAPITAL</b>	<b>067</b>	<b>AVZ</b>	<b>B</b>	<b>1,300</b>	<b>22.19</b>	<b>19-Oct</b>	<b>25-Oct</b>	<b>65.00</b>	<b>5.0</b>
<b>PHILADELPHIA FINANCIAL</b>	<b>031</b>	<b>AVZ</b>	<b>B</b>	<b>57,916</b>	<b>22.32</b>	<b>19-Oct</b>	<b>25-Oct</b>	<b>2,895.80</b>	<b>5.0</b>
<b>ROARING BLUE LION MGMT</b>	<b>012</b>	<b>AVZ</b>	<b>B</b>	<b>3,000</b>	<b>22.42</b>	<b>19-Oct</b>	<b>25-Oct</b>	<b>150.00</b>	<b>5.0</b>
MORGAN BANK /JPM	012	BAC	B	5,900	53.65	19-Jul	20-Oct	118.00	2.0
MORGAN BANK /JPM	012	BAC	S	31,100	53.56	19-Jul	20-Oct	933.00	3.0
BUCK CAPITAL MGMT	001	BKS	B	20,000	41.01	n/a	n/a	800.00	4.0
<b>CENTURION PARTNERS</b>	<b>249</b>	<b>BONT</b>	<b>B</b>	<b>3,000</b>	<b>38.30</b>	<b>23-Oct</b>	<b>25-Oct</b>	<b>150.00</b>	<b>5.0</b>
<b>GRACIE CAPITAL</b>	<b>028</b>	<b>BONT</b>	<b>B</b>	<b>11,000</b>	<b>37.83</b>	<b>23-Oct</b>	<b>25-Oct</b>	<b>550.00</b>	<b>5.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	012	BSC	B	400	153.21	15-Jun	18-Oct	8.00	2.0
MORGAN BANK /JPM	012	BXP	B	600	104.82	n/a	n/a	12.00	2.0
MORGAN BANK /JPM	012	BXS	S	8,900	25.31	n/a	n/a	178.00	2.0
SLS CAPITAL LLC	224	BYD	B	100,000	40.25	n/a	27-Jul	5,000.00	5.0
BENCHMARK	028	BZH	S	5,000	42.23	n/a	n/a	300.00	6.0
MORGAN BANK /JPM	012	C	B	3,000	50.60	n/a	20-Oct	60.00	2.0
BUCK CAPITAL MGMT	001	CAB	B	10,000	25.58	n/a	n/a	400.00	4.0
MORGAN BANK /JPM	012	CB	B	2,000	53.66	n/a	n/a	40.00	2.0
MORGAN BANK /JPM	012	CBG	B	800	25.18	n/a	n/a	16.00	2.0
BUCK CAPITAL MGMT	001	CC	S	10,000	27.08	n/a	18-Oct	400.00	4.0
MORGAN BANK /JPM	012	CFC	B	9,000	38.35	n/a	n/a	180.00	2.0
MORGAN BANK /JPM	012	CG	B	400	57.88	n/a	n/a	8.00	2.0
<b>MAGUIRE</b>	<b>090</b>	<b>CHTR</b>	<b>B</b>	<b>50,000</b>	<b>1.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BLACKROCK/BOSTON	037	CKFR	S	46,600	39.64	n/a	n/a	1,864.00	4.0
PHILADELPHIA FINANCIAL	031	CLMS	B	71,000	28.39	n/a	24-Oct	3,550.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	012	CMI	B	200	134.00	n/a	n/a	4.00	2.0
KINGD POINT PARTNERS	067	CMX	B	20,000	49.23	n/a	n/a	1,000.00	5.0
MORGAN BANK /JPM	012	CR	B	700	38.13	n/a	n/a	14.00	2.0
MORGAN BANK /JPM	012	CSE	B	700	27.22	n/a	n/a	14.00	2.0
MORGAN BANK /JPM	012	CTL	B	800	41.00	n/a	n/a	16.00	2.0
MERRILL ASSET	032	DCP	S	2,200	11.47	n/a	n/a	110.00	5.0
GRACIE CAPITAL	028	DEI	S	10,000	23.66	n/a	n/a	500.00	5.0
BAIRD INVESTMENT	031	DHR	B	33,300	70.85	n/a	n/a	0.00	0.0
<b>KORMAN</b>	<b>003</b>	<b>DSW</b>	<b>B</b>	<b>3,000</b>	<b>35.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	DSW	B	10,000	35.30	n/a	n/a	400.00	4.0
<b>IRIDIAN ASSET MGMT.</b>	<b>036</b>	<b>DVN</b>	<b>S</b>	<b>111,225</b>	<b>69.37</b>	<b>31-Aug</b>	<b>25-Oct</b>	<b>5,561.25</b>	<b>5.0</b>
<b>MORGENS WATERFALL</b>	<b>040</b>	<b>DVN</b>	<b>B</b>	<b>25,000</b>	<b>69.28</b>	<b>31-Aug</b>	<b>25-Oct</b>	<b>1,250.00</b>	<b>5.0</b>
MORGAN BANK /JPM	012	EFA	B	16,000	70.15	n/a	n/a	480.00	3.0
MORGAN BANK /JPM	012	EGN	B	800	40.96	n/a	n/a	16.00	2.0
APEX CAPITAL MGMT.	002	EL	S	25,000	42.90	20-Sep	24-Oct	1,250.00	5.0
MORGAN BANK /JPM	012	ENR	B	300	79.00	n/a	n/a	6.00	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORGAN BANK /JPM	012	EQ	B	500	51.14	n/a	n/a	10.00	2.0
MORGAN BANK /JPM	012	ETN	B	400	73.17	16-Oct	16-Oct	8.00	2.0
MORGAN BANK /JPM	012	EWJ	B	255,236	13.99	n/a	n/a	7,657.08	3.0
MORGAN BANK /JPM	012	FD	B	3,400	44.63	23-Oct	23-Oct	68.00	2.0
MORGAN BANK /JPM	012	FDC	S	28,200	24.42	n/a	n/a	564.00	2.0
MORGAN BANK /JPM	012	FE	B	2,800	60.31	n/a	n/a	56.00	2.0
FINANCIAL STOCK LP	028	FII	S	60,000	33.61	n/a	23-Oct	2,400.00	4.0
MAZAMA CAPITAL MGMT.	035	FII	S	32,700	33.46	n/a	23-Oct	1,308.00	4.0
SSI INVESTORS	048	FII	S	50,530	33.37	n/a	23-Oct	2,021.20	4.0
MAZAMA CAPITAL MGMT.	035	FMR	B	6,500	19.75	n/a	n/a	260.00	4.0
MORGAN BANK /JPM	012	FRE	S	10,300	68.28	n/a	n/a	309.00	3.0
BUCK CAPITAL MGMT	001	GCO	B	10,000	37.88	n/a	10-Jan	400.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>GLW</b>	<b>B</b>	<b>300</b>	<b>21.02</b>	<b>n/a</b>	<b>25-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>GLW</b>	<b>B</b>	<b>1,500</b>	<b>21.16</b>	<b>n/a</b>	<b>25-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>AVESTA CAP</b>	<b>229</b>	<b>GLW</b>	<b>S</b>	<b>75,000</b>	<b>20.85</b>	<b>n/a</b>	<b>25-Oct</b>	<b>3,750.00</b>	<b>5.0</b>
<b>GRANITE CAPITAL</b>	<b>067</b>	<b>GLW</b>	<b>B</b>	<b>10,000</b>	<b>21.62</b>	<b>n/a</b>	<b>25-Oct</b>	<b>500.00</b>	<b>5.0</b>
<b>MAIRS AND POWER INV</b>	<b>030</b>	<b>GLW</b>	<b>B</b>	<b>20,000</b>	<b>21.97</b>	<b>n/a</b>	<b>25-Oct</b>	<b>1,200.00</b>	<b>6.0</b>
<b>S.A.C. CAPITAL MGMT.</b>	<b>044</b>	<b>GLW</b>	<b>B</b>	<b>60,000</b>	<b>21.81</b>	<b>n/a</b>	<b>25-Oct</b>	<b>3,000.00</b>	<b>5.0</b>
CAPITAL WORKS	048	GMST	S	20,300	3.31	n/a	n/a	812.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>SINCLAIR</b>	<b>031</b>	<b>GNSS</b>	<b>S</b>	<b>500</b>	<b>9.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MORGAN BANK /JPM	012	GS	B	300	188.08	17-May	12-Oct	6.00	2.0
<b>DSG CAPITAL</b>	<b>225</b>	<b>HMA</b>	<b>B</b>	<b>15,000</b>	<b>19.95</b>	<b>23-Jun</b>	<b>25-Oct</b>	<b>750.00</b>	<b>5.0</b>
<b>SAXON WOODS ADVISORS</b>	<b>038</b>	<b>HMA</b>	<b>B</b>	<b>25,000</b>	<b>19.98</b>	<b>23-Jun</b>	<b>25-Oct</b>	<b>1,250.00</b>	<b>5.0</b>
NEUBERGER BERMAN	238	HP	S	122,000	24.43	n/a	n/a	6,100.00	5.0
MORGAN BANK /JPM	012	HPQ	B	4,200	39.10	n/a	n/a	84.00	2.0
MORGAN BANK /JPM	012	HPT	B	300	47.75	n/a	n/a	6.00	2.0
<b>MAGUIRE</b>	<b>039</b>	<b>HRSKH</b>	<b>B</b>	<b>10</b>	<b>5.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>ILE</b>	<b>B</b>	<b>2,000</b>	<b>3.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COMMISSUM CAPITAL	067	ISE	S	5,000	52.18	2-Oct	12-Oct	250.00	5.0
MORGAN BANK /JPM	012	ITG	S	800	48.04	n/a	n/a	24.00	3.0
MORGAN BANK /JPM	012	IWF	B	122,256	54.09	n/a	n/a	3,667.68	3.0
MORGAN BANK /JPM	012	IWO	B	10,000	77.14	n/a	n/a	300.00	3.0
MORGAN BANK /JPM	012	IXJ	S	14,100	58.22	n/a	n/a	423.00	3.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	75.72	23-Oct	23-Oct	400.00	4.0
MORGAN BANK /JPM	012	JLL	B	400	87.68	n/a	n/a	8.00	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FINANCIAL STOCK LP	028	JNS	S	100,000	20.71	n/a	23-Oct	4,000.00	4.0
FORCE CAPITAL MGMT	030	JNS	S	57,900	20.72	n/a	23-Oct	2,895.00	5.0
MORGAN BANK /JPM	012	JNY	B	600	32.69	n/a	19-Oct	12.00	2.0
MORGAN BANK /JPM	012	KG	B	1,800	16.69	n/a	n/a	36.00	2.0
MORGAN BANK /JPM	012	KMB	B	1,900	65.38	n/a	n/a	38.00	2.0
MORGAN BANK /JPM	012	KR	B	900	22.14	n/a	n/a	18.00	2.0
BUCK CAPITAL MGMT	001	KSS	B	10,000	72.05	2-Oct	6-Oct	400.00	4.0
MORGAN BANK /JPM	012	LEH	B	1,400	77.55	n/a	12-Oct	28.00	2.0
MORGAN BANK /JPM	012	LMT	B	700	86.24	n/a	n/a	14.00	2.0
MORGAN BANK /JPM	012	LRCX	B	600	50.78	n/a	n/a	12.00	2.0
MORGAN BANK /JPM	012	LTR	B	4,700	38.97	n/a	n/a	94.00	2.0
<b>IRON HORSE CAPITAL MGMT</b>	<b>038</b>	<b>LVLTT</b>	<b>B</b>	<b>115,000</b>	<b>5.42</b>	<b>17-Oct</b>	<b>25-Oct</b>	<b>3,450.00</b>	<b>3.0</b>
MORGAN BANK /JPM	012	MCD	B	1,100	41.93	12-Oct	19-Oct	22.00	2.0
BENCHMARK	028	MDC	S	5,000	49.00	n/a	n/a	300.00	6.0
HIGHBRIDGE CAPITAL	038	MHK	B	3,200	75.17	n/a	n/a	160.00	5.0
MORGAN BANK /JPM	012	MO	B	1,800	80.82	n/a	n/a	36.00	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	012	MS	B	1,300	77.15	20-Sep	12-Oct	26.00	2.0
MORGAN BANK /JPM	012	MTG	B	2,000	58.60	n/a	n/a	40.00	2.0
MAZAMA CAPITAL MGMT.	035	MTH	S	124,425	47.48	n/a	n/a	4,977.00	4.0
MORGAN BANK /JPM	012	NDE	B	600	45.80	n/a	n/a	12.00	2.0
BUCK CAPITAL MGMT	001	NKE	B	14,400	93.18	n/a	n/a	576.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>NWY</b>	<b>B</b>	<b>5,000</b>	<b>13.30</b>	<b>n/a</b>	<b>5-Oct</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	NWY	B	50,000	13.14	n/a	5-Oct	2,000.00	4.0
MORGAN BANK /JPM	012	NXL	B	1,100	28.29	n/a	n/a	22.00	2.0
AMBER CAPITAL	046	NYB	B	100,000	16.24	n/a	n/a	4,000.00	4.0
MORGAN BANK /JPM	012	OKE	B	500	41.67	n/a	n/a	10.00	2.0
EMINENCE CAPITAL	038	ORCL	S	200,000	18.76	n/a	n/a	10,000.00	5.0
MORGAN BANK /JPM	012	OSG	B	300	64.36	n/a	n/a	6.00	2.0
FIRST NEW YORK LEDDY	035	OSI	B	10,000	34.46	12-Sep	4-Oct	600.00	6.0
BUCK CAPITAL MGMT	001	OXM	S	5,000	52.93	n/a	n/a	200.00	4.0
MORGAN BANK /JPM	012	OXY	B	11,700	48.48	n/a	n/a	234.00	2.0
CAPITAL GROWTH MGMT.	241	PCU	S	20,000	53.05	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EATON VANCE MGMT	039	PFE	B	50,000	27.13	n/a	31-Mar	2,000.00	4.0
MORGAN BANK /JPM	012	PFE	B	27,900	27.16	n/a	31-Mar	558.00	2.0
MORGAN BANK /JPM	012	PH	B	300	83.08	n/a	n/a	6.00	2.0
<b>SINCLAIR</b>	<b>031</b>	<b>PLT</b>	<b>S</b>	<b>500</b>	<b>19.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NICOLAS APPLGATE	048	PLXT	B	14,800	13.01	n/a	n/a	444.00	3.0
MORGAN BANK /JPM	012	PMI	B	800	43.56	n/a	n/a	16.00	2.0
MORGAN BANK /JPM	012	PVH	B	400	46.30	23-Oct	23-Oct	8.00	2.0
NEUBERGER BERMAN	238	RBA	S	2,300	52.37	n/a	n/a	115.00	5.0
MORGAN BANK /JPM	012	RDN	B	1,300	54.05	n/a	n/a	26.00	2.0
MORGAN BANK /JPM	012	RF	B	6,800	37.89	n/a	n/a	136.00	2.0
STADIA CAPITAL	035	RL	S	22,000	70.02	23-Oct	23-Oct	880.00	4.0
MORGAN BANK /JPM	012	RS	S	10,200	35.01	n/a	n/a	306.00	3.0
MORGAN BANK /JPM	012	RTN	B	4,800	49.85	n/a	n/a	96.00	2.0
BUCK CAPITAL MGMT	001	RVI	B	10,000	17.39	n/a	n/a	400.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>S</b>	<b>B</b>	<b>100,000</b>	<b>17.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>S</b>	<b>S</b>	<b>100,000</b>	<b>17.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>



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GOLD SACHS SPECIAL SIT	037	S	S	100,000	17.78	n/a	n/a	5,000.00	5.0
MORGAN BANK /JPM	012	SFI	B	500	43.89	n/a	n/a	10.00	2.0
BAIRD INVESTMENT	031	SLB	B	23,900	61.87	n/a	n/a	1,195.00	5.0
MORGAN BANK /JPM	012	SNV	B	10,000	29.42	n/a	n/a	300.00	3.0
EATON VANCE MGMT	039	SO	S	160,000	36.09	n/a	n/a	6,400.00	4.0
AMBER CAPITAL	046	SOV	B	131,000	23.04	n/a	n/a	5,240.00	4.0
MORGAN BANK /JPM	012	SRE	B	600	53.67	n/a	n/a	12.00	2.0
<b>WANG</b>	<b>192</b>	<b>SWIR</b>	<b>S</b>	<b>150</b>	<b>13.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAPITAL	038	SWK	B	5,800	47.90	n/a	n/a	290.00	5.0
MORGAN BANK /JPM	012	TEX	B	400	52.89	n/a	n/a	8.00	2.0
PARTNER FUND MGMT LP	040	TGT	S	227,770	58.00	n/a	6-Oct	11,388.50	5.0
CLOVIS CAPITAL	012	TIF	B	100,000	36.07	n/a	6-Oct	5,000.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>TLB</b>	<b>B</b>	<b>3,000</b>	<b>29.83</b>	<b>2-Oct</b>	<b>18-Oct</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	TLB	B	60,000	28.52	2-Oct	18-Oct	2,400.00	4.0
FRIESS MGMT	042	TLB	B	8,300	29.12	2-Oct	18-Oct	373.50	4.5
XYLEM GLOBAL	024	TWP	S	18,200	25.33	n/a	n/a	910.00	5.0
WASHINGTON ASSET	048	TXI	B	10,000	61.00	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORGAN BANK /JPM	012	UBB	S	536	81.02	n/a	n/a	16.08	3.0
COUGAR TRADING	044	URBN	B	5,000	18.55	5-Oct	23-Oct	250.00	5.0
<b>MORGAN BANK /JPM</b>	<b>012</b>	<b>VZ</b>	<b>B</b>	<b>11,900</b>	<b>38.10</b>	<b>19-Sep</b>	<b>25-Oct</b>	<b>238.00</b>	<b>2.0</b>
MORGAN BANK /JPM	012	WB	B	18,800	55.60	n/a	n/a	564.00	3.0
<b>MENCKE</b>	<b>055</b>	<b>WBD</b>	<b>B</b>	<b>5</b>	<b>44.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MORGAN BANK /JPM	012	WCC	B	400	68.29	n/a	n/a	8.00	2.0
<b>LEDDY</b>	<b>035</b>	<b>WDR</b>	<b>B</b>	<b>500</b>	<b>24.98</b>	<b>13-Oct</b>	<b>24-Oct</b>	<b>0.00</b>	<b>0.0</b>
MORGAN BANK /JPM	012	WLK	B	13,800	32.71	n/a	n/a	414.00	3.0
<b>PARTNER FUND MGMT LP</b>	<b>040</b>	<b>WMT</b>	<b>S</b>	<b>261,220</b>	<b>50.78</b>	<b>5-Oct</b>	<b>25-Oct</b>	<b>13,061.00</b>	<b>5.0</b>
UBS O'CONNOR/TOM LEDDY	035	WY	B	44,100	64.23	20-Sep	17-Oct	2,205.00	5.0
CASTLEROCK	040	X	B	27,400	69.14	n/a	n/a	1,096.00	4.0
DAVIDSON KEMPNER ADVISOR	217	XLU	B	200,000	36.08	n/a	n/a	10,000.00	5.0
MORGAN BANK /JPM	012	XOM	B	3,000	70.36	n/a	n/a	60.00	2.0
MORGAN BANK /JPM	012	XRX	B	5,200	16.78	n/a	n/a	104.00	2.0
COUGAR TRADING	044	ZQK	S	5,000	13.94	8-Sep	8-Sep	250.00	5.0

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**TOTAL :**

**176,750.59**

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# Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	MKT MAKER (MKT MAKER)	099	ABFS	B	100	40.18	n/a	n/a	0.00	0.0
AO,CXL	LEHMAN PROPRIETARY TRAD (LEHMAN FLI)	039	ABFS	S	50,000	44.93	n/a	n/a	2,500.00	5.0
AO,	LEHMAN PROPRIETARY TRAD (LEHMAN FLI)	039	ABFS	S	50,000	44.93	n/a	n/a	2,000.00	4.0
AO,CRT	BACKUS (BACKUS)	005	BLUE	S	2,000	3.86	n/a	n/a	0.00	0.0
AO,CXL	BACKUS (BACKUS)	005	BLUE	S	2,000	3.86	n/a	n/a	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	CHRS	B	12	14.67	n/a	17-Aug	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	FINL	B	178	12.96	n/a	22-Sep	0.00	0.0
AO,	SAC TRADING HOWARD (SACHGS)	038	GPS	B	10,000	19.87	31-Jul	6-Oct	500.00	5.0
AO,	LATEFF MGMT (LATESCHWAR)	040	JNY	S	1,500	33.12	n/a	19-Oct	330.00	22.0
AO,CXL	LATEFF MGMT (LATETEMPLE)	040	JNY	S	1,500	33.12	n/a	19-Oct	330.00	22.0
AO,	SLS CAPITAL LLC (SLSCMSMAIN)	224	LOW	S	120,000	30.53	n/a	26-Sep	6,000.00	5.0
AO,	BLACKROCK/BOSTON (BLRSEJPM)	037	MSCC	B	8,500	16.55	n/a	n/a	340.00	4.0
AO,CRT	MKT MAKER (MKT MAKER)	099	PSUN	B	20	16.92	3-Aug	6-Oct	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	SCHL	S	203	31.40	n/a	n/a	0.00	0.0
AO,	FRANKLIN FUND OF CALIFOR (FFCA4180)	033	T	B	150,000	34.75	20-Sep	25-Oct	7,500.00	5.0
AO,CXL	FRANKLIN FUNDS N J (FFNJ4180)	035	T	B	150,000	34.75	20-Sep	25-Oct	7,500.00	5.0
AO,CRT	BACKUS (BACKUS)	005	TMM	S	5,000	2.94	n/a	n/a	0.00	0.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	BACKUS (BACKUS)	005	TMM	S	5,000	2.94	n/a	n/a	0.00 0.0
AO,	LISTED ERR (LISTED ERR)	099	WDR	B	1,300	24.40	13-Oct	24-Oct	0.00 0.0
AO,CXL	LISTED ERR (LISTED ERR)	099	WDR	S	1,300	24.09	13-Oct	24-Oct	0.00 0.0
AO,CRT	LISTED ERR (LISTED ERR)	099	WDR	S	1,300	24.09	13-Oct	24-Oct	0.00 0.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.