



Daily Compliance Activity Report

Trade Date: 06/16/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	AA	S	14,000	39.58	n/a	n/a	700.00	5.0
DSG CAPITAL	225	ADM	B	6,000	32.97	29-Apr	13-Jun	300.00	5.0
BUCK CAPITAL MGMT	001	AEO	S	120,000	16.32	6-Mar	6-Jun	4,800.00	4.0
MERRILL LYNCH STRATEGICI	034	AMZN	SS	10,000	79.09	n/a	n/a	600.00	6.0
COUGAR TRADING	044	ANF	B	10,000	70.01	10-Apr	6-Jun	500.00	5.0
TRELLUS PARTNERS LP	028	BIG	SS	10,000	34.50	29-May	5-Jun	500.00	5.0
LEDDY	035	BIG	SS	700	34.55	29-May	5-Jun	0.00	0.0
HIGHBRIDGE CAPITAL	038	BNI	B	23,000	105.01	n/a	n/a	1,150.00	5.0
TRELLUS PARTNERS LP	028	BWA	SS	15,000	49.10	10-Jun	10-Jun	750.00	5.0
LEDDY	035	BWA	SS	300	49.05	10-Jun	10-Jun	0.00	0.0
WHEELER	008	CECE	B	14,283	6.20	n/a	n/a	0.00	0.0
KORMAN	003	CHCG	S	1,000	1.43	n/a	n/a	0.00	0.0
GOLD SACHS SPECIAL SIT	030	COLM	B	100,000	41.64	n/a	n/a	5,000.00	5.0
MERRILL ASSET	032	CRR	S	8,200	56.32	5-Jun	16-Jun	369.00	4.5
BUCK CAPITAL MGMT	001	DDS	B	20,000	14.38	n/a	n/a	800.00	4.0

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GLG PARTNERS	034	DHI	S	100,000	12.00	n/a	n/a	5,000.00	5.0
COUGAR TRADING	044	DRI	B	5,000	32.46	n/a	12-Jun	250.00	5.0
1798 CAPITAL MGMT	040	EL	B	10,000	48.77	7-May	21-May	500.00	5.0
BALYASNY ASSET MGMT	044	ETN	B	35,000	95.88	14-Apr	20-May	1,750.00	5.0
N STRATTON	014	FBSI	S	300	12.98	n/a	n/a	0.00	0.0
CARLYLE BLUE WAVE PR MG	224	GE	B	10,000	28.63	n/a	n/a	500.00	5.0
MS PRINCIPAL STRATEGIES	041	GS	SS	25,000	177.05	19-Mar	16-Jun	1,250.00	5.0
STRONG/MARK GRONET	030	GTI	S	15,000	25.97	n/a	n/a	600.00	4.0
MERRILL LYNCH STRATEGICI	034	HANS	S	25,000	37.58	n/a	n/a	0.00	0.0
CATTANI	041	HLX	B	300	38.81	n/a	n/a	0.00	0.0
CARDINAL CAPITAL	044	INTU	B	27,800	29.66	n/a	n/a	1,390.00	5.0
FRONTPOINT CHRIS C	041	IWM	SS	10,100	73.06	n/a	n/a	404.00	4.0
LEDDY	035	JADE	S	2,800	3.45	n/a	n/a	0.00	0.0
SLS CAPITAL LLC	224	KSS	SS	97,000	44.80	n/a	6-Jun	3,880.00	4.0
NATIONAL BK OF CAN	031	LEH	B	10,000	27.90	9-Jun	16-Jun	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KORMAN	003	LL	C	4,800	15.15	n/a	n/a	0.00	0.0
CAXTON PART	040	MER	C	30,000	38.54	18-Jan	16-Jun	1,050.00	3.5
SUFFOLK CAPITAL MGMT	040	NKE	S	100,000	68.29	n/a	n/a	4,000.00	4.0
HIGHBRIDGE CAPITAL	038	NSC	B	37,000	63.75	n/a	n/a	1,850.00	5.0
Õ Claire Mencke		ODFL	S	137	29.2700	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	OI	S	20,000	51.86	n/a	n/a	1,000.00	5.0
PATTEN & PATTEN	067	PCX	S	320	152.05	n/a	n/a	16.00	5.0
BAYPOINT TRADING/XYLEM	091	PTV	B	100,000	23.62	n/a	n/a	5,000.00	5.0
LISTED ERR	099	PTV	B	4,800	23.80	n/a	n/a	0.00	0.0
LISTED ERR	099	PTV	S	4,800	23.88	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	QID	S	16,600	39.23	n/a	n/a	498.00	3.0
FIRST NEW YORK NICK	029	Q	S	10,000	4.20	n/a	n/a	500.00	5.0
COUGAR TRADING	044	RL	B	2,400	66.31	7-Feb	29-May	120.00	5.0
1798 CAPITAL MGMT	040	RSH	B	30,000	14.59	n/a	n/a	1,500.00	5.0
Õ Claire Mencke		SMH	S	100	32.2700	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	SPY	B	40,000	136.03	n/a	n/a	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	SSCC	S	100,000	5.78	7-Apr	23-Apr	3,000.00	3.0
CATAPULT	040	TCB	B	3,600	13.43	n/a	n/a	0.00	0.0
CATAPULT	040	TCB	S	5,000	13.42	n/a	n/a	250.00	5.0
LISTED ERR	099	TCB	S	3,600	13.43	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	TIN	S	50,000	12.49	29-Apr	29-Apr	1,500.00	3.0
Õ Claire Mencke		TKC	S	1200	17.2400	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	TLB	SS	7,000	11.82	17-Apr	11-Jun	420.00	6.0
CSFB GLOBAL PROPRIETARY	041	TXT	S	20,000	55.31	n/a	n/a	1,000.00	5.0
FRONTPOINT CHRIS C	041	UB	B	11,000	44.77	n/a	n/a	440.00	4.0
GREENLIGHT CAPITAL	041	URBN	SS	275,000	33.66	15-May	15-May	13,750.00	5.0
GRISANTI BROWN & PARTNER	048	VAL	C	18,200	20.99	n/a	n/a	910.00	5.0
LEDDY	035	VC	S	2,500	3.72	n/a	9-Jun	0.00	0.0
CHAPPELL	073	VFORX	B	40	22.57	n/a	n/a	0.00	0.0
SUFFOLK CAPITAL MGMT	040	VRTX	B	100,000	33.12	n/a	n/a	4,000.00	4.0
BALYASNY ASSET MGMT	044	WHR	SS	15,000	67.93	n/a	n/a	750.00	5.0
CSFB GLOBAL PROPRIETARY	041	XLI	B	27,000	36.79	n/a	n/a	1,350.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BULL PATH CAPITAL	044	YUM	B	23,500	38.05	n/a	16-May	1,175.00	5.0
TOTAL (Trade Date):								77,472.00	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/13	MATTHES CAPITAL MGMT (MATTGSPB)	033	HIBB	SS	31,450	21.12	n/a	n/a	0.00 0.0
AO,CRT	06/13	MATTHES CAPITAL MGMT (MATTGSPB)	033	HIBB	SS	31,450	21.12	n/a	n/a	1258.00 4.0
AO,	06/13	NOMURA CAPITAL (NOMUNOCBO2)	045	IESC	S	15,386	18.01	n/a	n/a	769.30 5.0
AO,CXL	06/09	COOKBIELER (COOK20557)	028	ORI	B	17,900	14.70	n/a	n/a	716.00 4.0
AO,	06/11	TRELLUS PARTNERS LP (TRELMMERR)	028	PH	SS	1,318	75.34	n/a	23-May	65.90 5.0
AO,	06/11	TRELLUS PARTNERS LP (TRELGS)	028	PH	SS	1,318	75.34	n/a	23-May	65.90 5.0
AO,CXL	06/11	TRELLUS PARTNERS LP (TRELMAIN)	028	PH	SS	22,800	75.34	n/a	23-May	1140.00 5.0
AO,CRT	06/11	TRELLUS PARTNERS LP (TRELMAIN)	028	PH	SS	20,164	75.34	n/a	23-May	1008.20 5.0
AO,CXL	06/12	IRONBOUND CAPITAL (IROBGs)	012	TIN	B	4,400	12.19	29-Apr	29-Apr	220.00 5.0
AO,CRT	06/12	IRONBOUND CAPITAL (IROBGs)	012	TIN	B	4,400	12.19	29-Apr	29-Apr	132.00 3.0
TOTAL (AO, CXL, CRT) :										1,223.30

TOTAL (Trade Date) :	77,472.00
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TOTAL (RUN DATE) / NFS :	78,695.30
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION