# RR Commissions Summary [ Michael Smith ]

Trade Date: 06/25/2013

| "Brokerage Month Basis" | | COMMISSIONS | | | | CHECKS | | | TOTAL | | | LAST YEAR | % |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CLIENT (PRIMARY) | RR | 06/25 | MTD | QTD | YTD | MTD | QTD | YTD | MTD | QTD | YTD | | of LY |
| DEPRINCE, RACE & ZOLLO | 093 | 0 | 0 | 2,100 | 3,100 | 0 | 0 | 0 | 0 | 2,100 | 3,100 | 0 | 0 |
| EAGLE ASSET MGMT. (FLORIDA) | 093 | 0 | 0 | 0 | 0 | 0 | 7,000 | 7,000 | 0 | 7,000 | 7,000 | 46,222 | 15 |
| FIDELITY/M. SMITH (FLORIDA) | 093 | 0 | 0 | 0 | 0 | 0 | 6,030 | 12,852 | 0 | 6,030 | 12,852 | 5,963 | 216 |
| GATOR CAPITAL MGMT LLC | 093 | 0 | 0 | 430 | 730 | 0 | 0 | 0 | 0 | 430 | 730 | 0 | 0 |
| OTTER CREEK MGMT | 093 | 0 | 1,410 | 3,410 | 5,868 | 0 | 0 | 0 | 1,410 | 3,410 | 5,868 | 8,313 | 71 |
| RBC GLOBAL ASSET MGMT | 093 | 0 | 0 | 0 | 0 | 5,000 | 5,000 | 10,000 | 5,000 | 5,000 | 10,000 | 15,000 | 67 |
| REAL ESTATE MGMT SERVICES GROUP | 093 | 0 | 0 | 0 | 1,000 | 0 | 0 | 0 | 0 | 0 | 1,000 | 0 | 0 |
| RETIREMENT SYSTEMS OF ALABAMA | 093 | 0 | 0 | 0 | 0 | 16,500 | 16,500 | 16,500 | 16,500 | 16,500 | 16,500 | 0 | 0 |
| SPARTAN | 093 | 0 | 150 | 1,383 | 2,343 | 0 | 0 | 0 | 150 | 1,383 | 2,343 | 7,062 | 33 |
| STERLING CAPITAL MGMT. | 093 | 0 | 0 | 3,150 | 3,150 | 0 | 0 | 0 | 0 | 3,150 | 3,150 | 0 | 0 |
| WEDGE CAPITAL | 093 | 0 | 0 | 0 | 0 | 667 | 2,667 | 4,000 | 667 | 2,667 | 4,000 | 2,977 | 134 |
| WELLSPRING CAPITAL | 093 | 0 | 2,000 | 4,000 | 9,880 | 0 | 0 | 0 | 2,000 | 4,000 | 9,880 | 10,434 | 95 |
| TOTALS: | | 0 | 3,560 | 14,473 | 26,071 | 22,167 | 37,197 | 50,352 | 25,727 | 51,670 | 76,423 | | |

| "Brokerage Month Basis" | | COMMISSIONS | | | | CHECKS | | | TOTAL | | | LAST YEAR | % |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CLIENT (SHARED) | RR | 06/25 | MTD | QTD | YTD | MTD | QTD | YTD | MTD | QTD | YTD | | of LY |
| FIR TREE CAPITAL | 270 | 0 | 0 | 0 | 0 | 0 | 9,000 | 9,000 | 0 | 9,000 | 9,000 | 6,500 | 138 |
| GOLDMAN SACHS ASSET MGMT | 098 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| MANNING & NAPIER | 035 | 0 | 0 | 0 | 0 | 0 | 32,500 | 32,500 | 0 | 32,500 | 32,500 | 108,750 | 30 |
| MANULIFE ASSET MGMT. | 294 | 0 | 0 | 0 | 0 | 0 | 15,043 | 28,679 | 0 | 15,043 | 28,679 | 16,200 | 177 |
| PERIMETER | 273 | 0 | 1,145 | 1,907 | 3,797 | 0 | 0 | 0 | 1,145 | 1,907 | 3,797 | 55,952 | 0 |
| TRUSTCO/SUNTRUST (CEREDEX) | 273 | 0 | 7,059 | 27,132 | 35,365 | 0 | 0 | 16,928 | 7,059 | 27,132 | 52,293 | 147,889 | 11 |
| TOTALS: | | 0 | 8,204 | 29,040 | 39,162 | 0 | 56,543 | 87,107 | 8,204 | 85,583 | 126,269 | | |