



Daily Compliance Activity Report

Trade Date: 06/22/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PIIONEER OAKRIDGE	031	AAPL	S	200	577.78	n/a	n/a	6.00	3.0
CHARTER OAK	033	ABG	B	1,900	23.51	n/a	27-Apr	95.00	5.0
f ANDREW MARK GRONET	FID	AF	S	100	9.73	n/a	19-Apr	0.00	0.0
FRONTIER	029	AKAM	B	93,710	30.73	n/a	n/a	3,748.40	4.0
FRONTIER	029	AME	S	15,300	50.27	n/a	n/a	612.00	4.0
B BUCK CAPITAL MGMT		BC IM	S	20,000	13.97	n/a	n/a	non-brg	2.1
PALESTRA	040	BECN	SS	10,000	24.97	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		BID	SS	15,000	31.08	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BID	SS	15,000	32.50	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BYD	S	26,700	7.24	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		BYD	S	50,000	7.34	n/a	n/a	non-brg	3.0
f JASON ERIC COHEN	FID	CGI	S	213	16.04	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL	070	CI	B	17,300	44.25	n/a	n/a	692.00	4.0
ESTABROOK	085	CL	S	1,000	99.94	n/a	18-Jun	40.00	4.0
B BUCK CAPITAL MGMT		CROX	B	21,800	16.16	n/a	n/a	non-brg	2.5

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		CROX	S	57,600	16.14	n/a	n/a	non-brg 2.5
	LIONEYE CAPITAL	040	CSC	S	5,000	23.90	n/a	n/a	250.00 5.0
	KENNEDY CAPITAL	046	CVGI	S	100,000	8.28	n/a	n/a	3,000.00 3.0
f	DAVID GEORGE BUCK	FID	CVS	B	1000	45.87	n/a	n/a	0.00 0.0
	TROUBH PART	070	C	B	15,000	28.17	n/a	20-Jun	750.00 5.0
ß	BUCK CAPITAL MGMT		DECK	C	10,200	45.78	n/a	n/a	non-brg 0.8
ß	BUCK CAPITAL MGMT		DECK	C	30,000	45.26	n/a	n/a	non-brg 4.0
f	SNEJANA P GARFUNKEL	FID	DFZ	S	1000	12.64	n/a	n/a	0.00 0.0
	FIRST NY SEC/CHRIS	041	DLPH	B	25,000	27.11	n/a	n/a	1,250.00 5.0
ß	BUCK CAPITAL MGMT		ESV	S	25,000	43.60	n/a	n/a	non-brg 4.0
	ESTABROOK	085	FCX	B	2,000	32.72	n/a	n/a	80.00 4.0
ß	BUCK CAPITAL MGMT		FNP	C	30,000	10.64	n/a	n/a	non-brg 4.0
	LEVIN CAPITAL STRATEGIES	041	F	C	15,000	10.38	n/a	4-Jun	450.00 3.0
	LEVIN CAPITAL STRATEGIES	041	GM	C	10,000	20.87	n/a	7-Jun	300.00 3.0
ß	BUCK CAPITAL MGMT		HAIN	B	30,000	51.78	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		HAIN	B	30,000	51.85	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		IGT	S	119,600	15.09	n/a	13-Jun	non-brg 0.8

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LIONEYE CAPITAL	040	ILMN	S	4,485	40.43	n/a	n/a	224.25	5.0
BALYASNY ASSET MGMT	044	JWN	SS	10,000	48.31	n/a	8-Jun	500.00	5.0
B BUCK CAPITAL MGMT		KBH	S	150,000	8.15	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		KBH	S	240,400	8.03	n/a	n/a	non-brg	3.0
f CLIFFORD A LONGLEY	FID	KONG	S	32	7.85	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		KORS	B	20,000	42.70	n/a	n/a	non-brg	2.5
Õ Doug Rogers		LGND	S	295	15.98	n/a	n/a	0.00	0.0
DSM CAPITAL PARTNERS	085	MDSO	S	6,850	30.12	n/a	n/a	342.50	5.0
ARSENAL ASSET MANAGEMENT	028	MLI	B	5,000	40.90	n/a	14-Jun	200.00	4.0
B BUCK CAPITAL MGMT		M	S	50,000	33.97	n/a	1-Jun	non-brg	4.0
ESTABROOK	085	M	B	5,000	33.99	n/a	1-Jun	200.00	4.0
METROPOLITAN CAPITAL	044	M	S	5,900	34.35	n/a	1-Jun	295.00	5.0
B BUCK CAPITAL MGMT		NKE	SS	30,000	98.64	n/a	19-Jun	non-brg	4.0
PIONEER OAKRIDGE	031	OXY	S	22,745	79.47	n/a	n/a	682.35	3.0
DEVENNY CAP LLC/MILLENIU	044	PAG	B	25,000	22.71	n/a	26-Apr	750.00	3.0
B BUCK CAPITAL MGMT		PLCE	B	30,000	45.31	n/a	5-Jun	non-brg	4.0
METROPOLITAN CAPITAL	044	PLCE	S	5,900	44.43	n/a	5-Jun	295.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BASIX	033	PVH	B	4,800	79.87	n/a	25-May	144.00	3.0
⚡ BUCK CAPITAL MGMT		R	S	65,000	35.91	n/a	n/a	non-brg	1.0
SENATOR INVESTMENT GROUP	036	SCHW	SS	214,400	12.37	n/a	n/a	6,432.00	3.0
ESTABROOK	085	SJT	B	10,000	14.19	n/a	n/a	400.00	4.0
⚡ BUCK CAPITAL MGMT		SKUL	S	65,454	13.20	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		STT	S	23,000	43.57	n/a	15-Jun	non-brg	4.0
SENATOR INVESTMENT GROUP	036	TIF	S	100,000	52.01	n/a	n/a	3,000.00	3.0
⚡ BUCK CAPITAL MGMT		TJX	B	30,000	43.08	n/a	1-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TJX	S	23,400	42.90	n/a	1-Jun	non-brg	4.0
LATEEF MGMT	035	UAL	S	600	24.51	n/a	n/a	72.00	12.0
⚡ BUCK CAPITAL MGMT		ULTA	B	20,000	95.99	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		VFC	B	20,000	141.54	n/a	27-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		VFC	B	23,800	142.39	n/a	27-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		V	S	8,000	124.35	n/a	30-May	non-brg	1.0
f EDWARD W WHEELER	FID	WAG	B	5000	29.93	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		WNC	S	113,605	6.26	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SENATOR INVESTMENT GROUP	036	XLV	B	50,000	37.27	n/a	n/a	1,500.00	3.0
Õ Doug Rogers		XPO	S	400	17.36	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								26,610.50	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/21	CHANNING CAPITAL	031	FBHS	B	8,100	20.91	n/a	n/a	324.00 4.0
AO,CRT	06/21	CHANNING CAPITAL	031	FBHS	B	8,100	20.91	n/a	n/a	324.00 4.0
AO,CRT	06/21	FIRST NEW YORK LEDDY	035	NOK	S	20,000	2.42	n/a	n/a	800.00 4.0
AO,CXL	06/21	FIRST NEW YORK LEDDY	035	NOK	S	20,000	2.42	n/a	n/a	1200.00 6.0
TOTAL (AO, CXL, CRT) :										-400.00

TOTAL (Trade Date) :	26,610.50
TOTAL (AO, CXL, CRT) :	-400.00

TOTAL (RUN DATE) / NFS :	26,210.50
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO	06/21	BUCK CAPITAL MGMT	R	S	2,500	37.00	n/a	n/a	25.00 1.0

MRI Securities with Trade Activity on 22-Jun.
NONE

MRI Securities without Trade Activity on 22-Jun.
DLTR

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)