



# Daily Compliance Activity Report

Trade Date: 05/12/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	5,000	125.15	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ADM	B	25,000	26.07	5-May	5-May	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	105,000	14.53	20-Apr	8-May	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	60,000	14.71	20-Apr	8-May	non-brg	4.0
BUCK CAPITAL MGMT		AMT	B	21,500	28.88	n/a	n/a	non-brg	4.0
SANDLER CAPITAL	038	AMT	S	70,000	28.56	n/a	n/a	4,200.00	6.0
GRANITE CAPITAL	045	ANF	B	20,800	25.32	16-Apr	8-May	1,040.00	5.0
BUCK CAPITAL MGMT		AN	C	7,000	15.73	24-Apr	24-Apr	non-brg	1.0
BUCK CAPITAL MGMT		APC	B	75,000	45.37	3-Feb	7-May	non-brg	4.0
CASTLE ARK	031	APC	B	184,020	45.42	3-Feb	7-May	11,041.20	6.0
BUCK CAPITAL MGMT		APP	B	20,000	5.51	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		ARG	B	26,100	42.59	23-Mar	6-May	non-brg	4.0
SAB CAPITAL	034	AXS	S	166,530	24.36	n/a	n/a	8,326.50	5.0
BUCK CAPITAL MGMT	001	AZO	SS	10,000	154.71	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		BAC	B	25,000	12.27	11-May	11-May	non-brg	4.0
BUCK CAPITAL MGMT		BAC	B	40,000	12.54	11-May	11-May	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>BAC</b>	<b>S</b>	<b>1000</b>	<b>12.21</b>	<b>11-May</b>	<b>11-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BBBY</b>	<b>C</b>	<b>25,000</b>	<b>28.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BBBY</b>	<b>C</b>	<b>34,000</b>	<b>28.10</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
WAGONHOUND/NIC	048	BIDU	S	74	242.20	n/a	n/a	2.96	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BKS</b>	<b>SS</b>	<b>30,000</b>	<b>22.92</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BK</b>	<b>B</b>	<b>50,000</b>	<b>28.63</b>	<b>11-May</b>	<b>12-May</b>	<b>non-brg</b>	<b>1.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BWA</b>	<b>C</b>	<b>8,000</b>	<b>28.38</b>	<b>1-May</b>	<b>1-May</b>	<b>non-brg</b>	<b>1.0</b>
MTB INV ADV	034	BWA	S	22,050	28.94	1-May	1-May	1,102.50	5.0
MATRIX ASSET ADV.	036	CCL	S	1,500	26.90	n/a	26-Jan	60.00	4.0
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>CHCG</b>	<b>B</b>	<b>4000</b>	<b>1.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	CHUX	SS	10,000	8.54	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	CIT	S	110,500	3.11	23-Apr	6-May	3,315.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>CMG</b>	<b>C</b>	<b>10,000</b>	<b>74.82</b>	<b>23-Apr</b>	<b>23-Apr</b>	<b>non-brg</b>	<b>2.5</b>
<b>B</b> BUCK CAPITAL MGMT		<b>CMG</b>	<b>C</b>	<b>7,000</b>	<b>73.34</b>	<b>23-Apr</b>	<b>23-Apr</b>	<b>non-brg</b>	<b>1.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>COF</b>	<b>B</b>	<b>20,000</b>	<b>24.57</b>	<b>n/a</b>	<b>12-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>COF</b>	<b>B</b>	<b>20,000</b>	<b>24.88</b>	<b>n/a</b>	<b>12-May</b>	<b>non-brg</b>	<b>1.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>50,000</b>	<b>23.96</b>	<b>9-Jan</b>	<b>22-Apr</b>	<b>2,000.00</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>COST</b>	<b>SS</b>	<b>40,000</b>	<b>46.53</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		CPKI	C	10,000	14.08	n/a	8-May	non-brg	1.0
f KAREN F LEDDY	FID	CRK	B	500	40.89	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		CWTR	B	40,000	3.99	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		DAL	B	25,000	6.66	n/a	n/a	non-brg	3.0
DIAMONDBACK CAP MGMT	232	DE	C	50,000	43.43	26-Jan	19-Feb	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		DFS	B	50,000	9.38	n/a	12-May	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DHI	B	50,000	9.44	n/a	n/a	non-brg	3.0
MILLENIUM PARTNERS	028	DISH	B	15,000	17.00	n/a	4-Jan	600.00	4.0
⚡ BUCK CAPITAL MGMT		DLTR	B	10,000	42.49	8-May	8-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DRI	C	6,000	34.82	19-Mar	19-Mar	non-brg	1.0
f ABRAM M CHAPPELL	FID	DRYS	B	360	6.98	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		DRYS	B	7,500	6.98	n/a	n/a	non-brg	1.0
COOPER CREEK PART	028	DSW	SS	5,000	12.81	24-Mar	5-May	200.00	4.0
f JONATHAN RICHARD HAR	FID	DXO	S	1923	3.56	n/a	n/a	0.00	0.0
CIRCLE T/SES PART	035	EAT	B	10,000	15.94	n/a	24-Apr	500.00	5.0
f ABRAM M CHAPPELL	FID	EGLE	B	360	7.06	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B	BUCK CAPITAL MGMT		EGLE	B	15,000	6.99	n/a	n/a	non-brg 1.0
	COUGAR TRADING	044	EL	SS	5,000	33.18	5-May	5-May	250.00 5.0
	BUCK CAPITAL MGMT	001	FDO	B	30,000	31.08	5-Mar	5-May	1,200.00 4.0
	HOLLIS CAPITAL	033	FFIV	SS	7,000	27.06	n/a	n/a	350.00 5.0
f	KAREN F LEDDY	FID	FIG	B	700	6.27	n/a	n/a	0.00 0.0
f	KAREN F LEDDY	FID	FMCN	S	700	7.50	n/a	n/a	0.00 0.0
B	BUCK CAPITAL MGMT		FMQ+TB[WFMI]	SS	2,000	0.68	n/a	n/a	non-brg 100.0
f	ALICE BEEBE LONGLEY	FID	FOR	B	1500	12.71	12-Feb	8-May	0.00 0.0
B	BUCK CAPITAL MGMT		FOR	B	30,000	12.69	12-Feb	8-May	non-brg 1.0
B	BUCK CAPITAL MGMT		FOSL	S	10,000	22.36	n/a	30-Jan	non-brg 4.0
B	BUCK CAPITAL MGMT		FOSL	S	20,000	22.34	n/a	30-Jan	non-brg 4.0
f	JOHN MICHAEL ZOLIDIS	FID	FOSL	B	400	23.30	n/a	30-Jan	0.00 0.0
	BUCK CAPITAL MGMT	001	GCO	S	60,000	23.17	n/a	10-Jan	2,400.00 4.0
	STADIA CAPITAL	035	GFIG	B	23,200	5.26	n/a	n/a	928.00 4.0
B	BUCK CAPITAL MGMT		GIL	S	200,000	11.62	11-Dec	14-Apr	non-brg 4.0
B	BUCK CAPITAL MGMT		GLW	B	26,000	13.90	27-Mar	27-Apr	non-brg 1.0
B	BUCK CAPITAL MGMT		GNTX	C	8,000	11.44	30-Jan	23-Apr	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD COAST CAPITAL	035	GTIV	S	5,000	18.99	n/a	n/a	250.00	5.0
<b>ß</b> BUCK CAPITAL MGMT		<b>GYMB</b>	<b>S</b>	<b>30,000</b>	<b>33.61</b>	<b>7-May</b>	<b>8-May</b>	<b>non-brg</b>	<b>4.0</b>
THIRD POINT MGMT	044	HIG	S	250,000	14.38	n/a	n/a	10,000.00	4.0
<b>ß</b> BUCK CAPITAL MGMT		<b>HOT</b>	<b>C</b>	<b>11,100</b>	<b>20.88</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß</b> BUCK CAPITAL MGMT		<b>ICON</b>	<b>B</b>	<b>105,800</b>	<b>14.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>ß</b> BUCK CAPITAL MGMT		<b>ICON</b>	<b>B</b>	<b>130,000</b>	<b>14.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß</b> BUCK CAPITAL MGMT		<b>ICON</b>	<b>B</b>	<b>50,000</b>	<b>14.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß</b> BUCK CAPITAL MGMT		<b>IMAX</b>	<b>B</b>	<b>33,578</b>	<b>7.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
CUMBERLAND	038	ISIS	S	6,100	16.01	n/a	n/a	244.00	4.0
<b>ß</b> BUCK CAPITAL MGMT		<b>JAS</b>	<b>B</b>	<b>1,300</b>	<b>21.05</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß</b> BUCK CAPITAL MGMT		<b>JAS</b>	<b>B</b>	<b>24,500</b>	<b>21.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß</b> BUCK CAPITAL MGMT		<b>JAS</b>	<b>B</b>	<b>34,200</b>	<b>21.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß</b> BUCK CAPITAL MGMT		<b>JAS</b>	<b>B</b>	<b>50,000</b>	<b>20.99</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß</b> BUCK CAPITAL MGMT		<b>JBLU</b>	<b>B</b>	<b>50,000</b>	<b>5.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
MATRIX ASSET ADV.	036	JCI	S	3,000	19.00	22-Apr	22-Apr	90.00	3.0
<b>f</b> MICHAEL MARRANO	<b>FID</b>	<b>JMBA</b>	<b>B</b>	<b>1000</b>	<b>1.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	JPM	S	25,000	35.99	16-Jan	11-May	1,250.00	5.0
FORD FDN.	032	KBE	S	50,000	19.30	n/a	n/a	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
M&I FUNDS	256	KO	S	46,900	43.82	n/a	n/a	1,876.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	100,000	43.97	8-May	8-May	4,000.00	4.0
ß BUCK CAPITAL MGMT		LIZ	B	50,000	5.65	n/a	5-Mar	non-brg	3.0
ß BUCK CAPITAL MGMT		LL	S	30,000	13.17	n/a	n/a	non-brg	2.5
RDK CAPITAL MGMT	034	LOW	B	25,000	19.02	12-May	12-May	1,000.00	4.0
f MARC PAUL LUCHANSKY	FID	LPX	S	500	4.67	3-Mar	6-May	0.00	0.0
ß BUCK CAPITAL MGMT		LVS	B	140,000	10.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	B	385,000	10.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	B	75,000	10.62	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MDTL	C	6,700	0.36	n/a	n/a	non-brg	1.0
f BARBARA S LEDDY	FID	MGA	S	400	35.75	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	MS	B	400	25.69	11-May	11-May	0.00	0.0
HUNTER GLOBAL INVESTOR	067	MTB	B	10,000	48.87	n/a	n/a	500.00	5.0
f KEVIN MICHAEL O'GORM	FID	MWA	S	2000	4.00	n/a	6-May	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	MWA	S	2000	4.00	n/a	6-May	0.00	0.0
f NEIL M STRATTON	FID	NEWH	B	24	0.75	n/a	n/a	0.00	0.0
f STRATTON PAR TNERSHI	FID	NEWH	B	24	0.75	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	NILE	S	12,800	44.46	n/a	n/a	640.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NKE</b>	<b>S</b>	<b>20,000</b>	<b>51.95</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NP</b>	<b>B</b>	<b>29,500</b>	<b>7.80</b>	<b>12-May</b>	<b>12-May</b>	<b>non-brg</b>	<b>2.5</b>
JMP ASSET MGMT	210	NTRI	B	2,000	14.85	n/a	n/a	80.00	4.0
FRIESS MGMT	033	NVDA	S	818,000	8.94	n/a	n/a	24,540.00	3.0
KLEINHEINZ CAP PART	031	OXY	B	40,000	62.82	n/a	n/a	2,000.00	5.0
CAPITAL GROWTH MGMT.	241	PBR	B	100,000	40.19	n/a	n/a	5,000.00	5.0
RAMIUS CAP	040	PCH	S	22,000	27.06	n/a	29-Apr	880.00	4.0
WAGONHOUND/NIC	048	PCLN	S	296	108.29	n/a	n/a	11.84	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PETM</b>	<b>SS</b>	<b>20,000</b>	<b>21.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>S</b>	<b>50,000</b>	<b>32.39</b>	<b>19-Mar</b>	<b>8-May</b>	<b>non-brg</b>	<b>4.0</b>
ROTHSCHILD ASSET	040	POM	S	72,280	12.48	n/a	n/a	3,252.60	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>S</b>	<b>50,000</b>	<b>28.49</b>	<b>20-Apr</b>	<b>20-Apr</b>	<b>non-brg</b>	<b>4.0</b>
DENVER INST. ADV	046	PVH	S	60,300	27.10	20-Apr	20-Apr	2,412.00	4.0
LEVIN CAPITAL STRATEGIES	012	PVH	S	5,700	28.52	20-Apr	20-Apr	228.00	4.0
JETSTREAM GLOBAL FUND LP	065	QCOR	S	43,000	4.58	n/a	n/a	1,720.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PRINCIPLE CAPITAL	040	RHI	B	53,500	23.23	n/a	n/a	1,605.00	3.0
PRINCIPLE CAPITAL	040	RHI	SS	47,600	23.07	n/a	n/a	1,428.00	3.0
BUCK CAPITAL MGMT	001	RL	B	30,000	48.96	n/a	16-Apr	1,200.00	4.0
Ⓐ BUCK CAPITAL MGMT		RYL	B	30,000	19.99	n/a	n/a	non-brg	4.0
Ⓐ BUCK CAPITAL MGMT		SBAC	B	50,000	23.30	n/a	n/a	non-brg	4.0
Ⓕ JOHN MICHAEL ZOLIDIS	FID	SKF	S	300	45.50	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	SLM	S	25,000	5.67	23-Apr	11-May	1,000.00	4.0
Ⓐ BUCK CAPITAL MGMT		SLM	B	88,000	5.85	23-Apr	11-May	non-brg	1.0
GRANITE CAPITAL	045	SLM	B	20,000	5.46	23-Apr	11-May	1,000.00	5.0
Ⓕ KAREN F LEDDY	FID	SLM	B	1500	5.98	23-Apr	11-May	0.00	0.0
LAGRANGE CAP ADV	048	SLM	B	10,000	5.45	23-Apr	11-May	500.00	5.0
WAGONHOUND/NIC	048	SLM	B	79,303	6.03	23-Apr	11-May	2,379.09	3.0
Ⓐ BUCK CAPITAL MGMT		SPLS	SS	20,000	19.85	n/a	27-Jul	non-brg	4.0
Ⓐ BUCK CAPITAL MGMT		SUMR	B	25,000	2.70	n/a	n/a	non-brg	2.0
PILOT ROCK	028	TGT	SS	50,000	42.01	25-Feb	8-May	2,000.00	4.0
SIGMA CAPITAL ASSOCIATES	041	TGT	S	25,000	41.72	25-Feb	8-May	1,000.00	4.0
BUCK CAPITAL MGMT	001	TJX	B	60,000	28.35	4-May	8-May	2,400.00	4.0
Ⓐ BUCK CAPITAL MGMT		TJX	B	40,000	28.27	4-May	8-May	non-brg	4.0
Ⓐ BUCK CAPITAL MGMT		TJX	B	53,000	28.17	4-May	8-May	non-brg	4.0
COUGAR TRADING	044	TJX	S	10,000	27.96	4-May	8-May	500.00	5.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLEROCK	040	TTC	SS	38,614	28.06	n/a	n/a	1,544.56	4.0
⚡ BUCK CAPITAL MGMT		TWTC	S	80,000	11.76	n/a	4-Jan	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	UHOHB	S	20	1.25	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	UNEVO	B	20	1.65	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		URBN	B	79,408	18.97	n/a	30-Jan	non-brg	4.0
THOMAS WEISEL ASSET MGMT	035	VOCS	S	3,805	17.73	n/a	n/a	114.15	3.0
JMP ASSET MGMT	210	WEN	B	6,000	4.38	n/a	24-Apr	180.00	3.0
f JASON ERIC COHEN	FID	WFC	S	57	25.78	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		WFMI	C	100,000	21.85	n/a	n/a	non-brg	4.0
RDK CAPITAL MGMT	034	WMT	B	10,000	51.13	11-May	11-May	400.00	4.0
ASCEND PARTNERS	033	WRC	B	10,000	28.25	20-Apr	20-Apr	500.00	5.0
⚡ BUCK CAPITAL MGMT		WRC	B	2,411	28.62	20-Apr	20-Apr	non-brg	1.0
⚡ BUCK CAPITAL MGMT		WRC	B	50,000	28.62	20-Apr	20-Apr	non-brg	1.0
⚡ BUCK CAPITAL MGMT		WRC	S	50,000	29.17	20-Apr	20-Apr	non-brg	2.0
⚡ BUCK CAPITAL MGMT		WRC	S	53,100	29.30	20-Apr	20-Apr	non-brg	1.5
⚡ BUCK CAPITAL MGMT		WRC	S	75,000	28.77	20-Apr	20-Apr	non-brg	1.0
THIRD COAST CAPITAL	035	WRC	S	6,000	28.54	20-Apr	20-Apr	300.00	5.0
WEINTRAUB CAPITAL MGT.	040	WRC	S	4,850	31.00	20-Apr	20-Apr	194.00	4.0
⚡ BUCK CAPITAL MGMT		WYNN	SS	100,000	46.79	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		WYNN	SS	20,000	47.67	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		WYNN	SS	60,000	46.65	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		XRT	C	37,000	26.26	n/a	n/a	non-brg 1.0
TOTAL (Trade Date):									123,635.40

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/11	SAB CAPITAL	034	AXS	S	100,000	24.81	n/a	n/a	5000.00 5.0
AO,	05/11	SAB CAPITAL	034	AXS	S	97,910	24.81	n/a	n/a	3916.40 4.0
AO,CRT	05/11	SAB CAPITAL	034	AXS	S	2,090	24.81	n/a	n/a	104.50 5.0
AO,CRT	05/11	HIGHBRIDGE/MS OLDS	041	BBT	B	40,300	24.34	n/a	n/a	1612.00 4.0
AO,CXL	05/11	HIGHBRIDGE/MS OLDS	041	BBT	B	40,300	24.34	n/a	n/a	2015.00 5.0
AO,CRT	05/11	1798 CAPITAL MGMT	040	BBY	C	10,000	37.94	n/a	27-Jul	500.00 5.0
AO,CXL	05/11	1798 CAPITAL MGMT	040	BBY	C	10,000	37.94	n/a	27-Jul	500.00 5.0
AO,CXL	05/08	FRIESS MGMT	033	CCC	B	800	14.13	n/a	n/a	32.00 4.0
AO,CRT	05/08	FRIESS MGMT	033	CCC	B	800	14.13	n/a	n/a	32.00 4.0
AO,CXL	05/11	HIGHBRIDGE/MS OLDS	041	DISH	S	50,000	17.92	n/a	4-Jan	2500.00 5.0
AO,CRT	05/11	HIGHBRIDGE/MS OLDS	041	DISH	S	50,000	17.92	n/a	4-Jan	2000.00 4.0
AO,CXL	05/11	SAC CAP/ROSEN FOR BOBBY	044	PPG	B	50,600	43.65	n/a	17-Apr	2530.00 5.0
AO,CRT	05/11	SAC CAP/ROSEN FOR BOBBY	044	PPG	B	50,600	43.65	n/a	17-Apr	2024.00 4.0
AO,CRT	05/11	HIGHBRIDGE/MS OLDS	041	ROST	SS	100,000	35.45	n/a	n/a	4000.00 4.0
AO,CXL	05/11	HIGHBRIDGE/MS OLDS	041	ROST	SS	100,000	35.45	n/a	n/a	5000.00 5.0
AO,CXL	05/06	BENCHMARK	028	SLE	B	12,500	8.85	n/a	n/a	625.00 5.0
AO,CRT	05/06	BENCHMARK	028	SLE	B	12,500	8.85	n/a	n/a	500.00 4.0
AO,CRT	05/11	SAC CAP/ROSEN FOR BOBBY	044	TWX	S	11,000	24.84	n/a	n/a	440.00 4.0
AO,CXL	05/11	SAC CAP/ROSEN FOR BOBBY	044	TWX	S	11,000	24.84	n/a	n/a	550.00 5.0

**TOTAL (AO, CXL, CRT) :****-3,623.10**

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TOTAL (Trade Date):	123,635.40
TOTAL (AO, CXL, CRT):	-3,623.10

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>120,012.30</b>
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MRI Securities with Trade Activity on 12-May. <b>LOW, NP</b>
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MRI Securities without Trade Activity on 12-May. <b>HD</b>
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 12% YTD = 22%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**