



## Daily Compliance Activity Report

Trade Date: 12/12/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WYCKOFF	013	ABG	B	500	15.89	31-Oct	4-Dec	0.00	0.0
MORGAN LEWIS/MLH	046	ABR	S	20,000	17.90	n/a	n/a	1,000.00	5.0
APEX CAPITAL MGMT.	091	AEO	S	185,000	21.71	9-Nov	7-Dec	9,250.00	5.0
BUCK CAPITAL MGMT	001	AEO	S	20,000	22.14	9-Nov	7-Dec	800.00	4.0
ROSEDALE CAPITAL	046	AEO	B	10,000	21.99	9-Nov	7-Dec	500.00	5.0
CHART WELL ADV	243	AGN	S	23,275	66.01	9-Oct	11-Dec	1,047.39	4.5
PATTEN & PATTEN	067	AIG	S	450	57.36	n/a	n/a	22.50	5.0
DURANGO INVESTMENTS	031	AIMC	S	1,000	17.01	n/a	n/a	40.00	4.0
B BUCK CAPITAL MGMT		AMT	S	50,000	41.50	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	ANF	S	10,000	82.03	2-Oct	7-Dec	400.00	4.0
COUGAR TRADING	044	ANF	SS	5,000	82.56	2-Oct	7-Dec	250.00	5.0
BUCK CAPITAL MGMT	001	ANN	B	25,000	29.90	6-Aug	7-Dec	1,000.00	4.0
B BUCK CAPITAL MGMT		APC	S	30,000	63.03	4-Dec	4-Dec	non-brg	4.0
FRIESS MGMT	042	ARG	S	21,430	52.51	5-Oct	25-Oct	964.35	4.5
BUCK CAPITAL MGMT	001	ARO	B	24,000	26.38	29-Nov	7-Dec	960.00	4.0
COUGAR TRADING	044	ARO	S	5,000	26.00	29-Nov	7-Dec	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	ARO	S	69,800	26.47	29-Nov	7-Dec	2,617.50	3.8
BALYASNY ASSET MGMT	044	BBY	S	25,000	52.00	n/a	27-Jul	1,250.00	5.0
CARDINAL CAPITAL	044	BE	S	97,100	2.86	n/a	n/a	2,913.00	3.0
FRIESS MGMT	042	BHE	B	120,900	17.78	n/a	n/a	5,440.50	4.5
CARLSON CAPITAL	031	BK	S	62,000	48.36	19-Oct	20-Nov	3,100.00	5.0
ROYCE TRADING	216	BNE	S	5,000	17.91	n/a	n/a	250.00	5.0
CENTURION PARTNERS	255	BONT	S	10,000	13.09	9-Nov	7-Dec	500.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>BONT</b>	<b>B</b>	<b>500</b>	<b>14.25</b>	<b>9-Nov</b>	<b>7-Dec</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	BTO	S	600	6.20	n/a	n/a	30.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CCI</b>	<b>S</b>	<b>45,000</b>	<b>38.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
TROUBH PART	067	CFC	B	10,000	10.62	n/a	n/a	500.00	5.0
ASCEND PARTNERS	033	CFSG	B	10,000	16.07	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CHIC</b>	<b>SS</b>	<b>20,000</b>	<b>15.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BRIGHTPOINT CAP	067	CHS	B	170,000	10.71	5-Dec	7-Dec	6,800.00	4.0
BEAR STEARNS ASSET MGMT.	033	COH	C	212,800	35.06	24-Oct	24-Oct	8,512.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>SS</b>	<b>80,000</b>	<b>35.74</b>	<b>24-Oct</b>	<b>24-Oct</b>	<b>3,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>SS</b>	<b>20,000</b>	<b>46.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MKT MAKER</b>	<b>099</b>	<b>COWN</b>	<b>B</b>	<b>200</b>	<b>10.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FRIESS MGMT	042	CPWR	B	478,300	8.92	n/a	n/a	16,740.50	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>CRI</b>	<b>SS</b>	<b>20,000</b>	<b>21.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FRIESS MGMT	042	CRL	B	112,700	63.47	n/a	n/a	5,071.50	4.5
ASCEND PARTNERS	033	CROX	S	50,000	42.08	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CROX</b>	<b>B</b>	<b>70,000</b>	<b>43.91</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROTHSCHILD ASSET	040	CR	B	43,000	44.94	n/a	n/a	1,935.00	4.5
<b>CROWLEY</b>	<b>061</b>	<b>CXE</b>	<b>B</b>	<b>3,000</b>	<b>5.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FRIESS MGMT	042	CYH	B	385,200	35.72	10-Oct	3-Dec	17,334.00	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>C</b>	<b>S</b>	<b>100,000</b>	<b>32.76</b>	<b>5-Nov</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>C</b>	<b>S</b>	<b>143,300</b>	<b>32.90</b>	<b>5-Nov</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>C</b>	<b>S</b>	<b>50,000</b>	<b>33.00</b>	<b>5-Nov</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>KAICHER</b>	<b>064</b>	<b>C</b>	<b>B</b>	<b>1,000</b>	<b>30.86</b>	<b>5-Nov</b>	<b>12-Dec</b>	<b>0.00</b>	<b>0.0</b>
SOCIETY GENERALE	040	DAL	S	11,000	16.40	n/a	n/a	440.00	4.0
ROYCE TRADING	216	DCO	B	1,000	35.70	n/a	n/a	50.00	5.0
PATTEN & PATTEN	067	DELL	S	700	23.91	n/a	n/a	35.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>DHI</b>	<b>S</b>	<b>20,000</b>	<b>13.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DIA	SS	90,000	136.69	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DLTR	SS	20,000	28.52	9-Nov	7-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		DVN	S	15,000	88.96	22-Oct	30-Nov	non-brg	4.0
STRATTON	N21	ETFC	S	200	4.05	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FLDR	SS	9,000	6.36	n/a	n/a	non-brg	4.0
FRIESS MGMT	042	FMC	B	28,200	52.82	n/a	n/a	1,269.00	4.5
MAZAMA CAPITAL MGMT.	035	FMR	S	4,500	22.32	n/a	n/a	180.00	4.0
SINCLAIR	031	FMU	S	100	0.70	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FORWI	B	10,000	22.00	n/a	n/a	non-brg	4.0
STRATTON	N21	FRED	SS	200	9.75	6-Dec	7-Dec	0.00	0.0
PHILADELPHIA FINANCIAL	033	GBL	SS	4,500	60.76	n/a	26-Nov	225.00	5.0
BUCK CAPITAL MGMT	001	GES	B	55,000	43.49	n/a	n/a	2,200.00	4.0
ß BUCK CAPITAL MGMT		GIL	B	50,000	40.02	5-Nov	11-Dec	non-brg	4.0
MAGNETAR FINANCIAL	247	GM	B	35,000	27.96	n/a	4-Dec	1,050.00	3.0
PECONIC PARTNERS	036	GM	SS	200,000	27.35	n/a	4-Dec	10,000.00	5.0
BUCK CAPITAL MGMT	001	GPS	B	25,000	21.03	n/a	7-Dec	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRIESS MGMT	042	GTI	S	242,000	18.54	n/a	n/a	10,890.00	4.5
ROYCE TRADING	216	GY	B	1,200	11.70	n/a	n/a	60.00	5.0
KENNEDY CAPITAL	046	HES	S	23,710	80.06	n/a	n/a	948.40	4.0
ß BUCK CAPITAL MGMT		HP	S	88,800	37.68	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HSTX	S	10,500	15.66	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HSTX	S	175,000	15.64	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HSTX	S	25,000	15.60	n/a	n/a	non-brg	4.0
AMBER CAPITAL	046	HSY	SS	250,000	38.73	n/a	n/a	10,000.00	4.0
TRIVIUM CAP MGMT	251	IAR	C	75,000	17.34	n/a	n/a	3,000.00	4.0
ß BUCK CAPITAL MGMT		IJR	SS	80,400	66.78	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		IJS	SS	74,400	72.00	n/a	n/a	non-brg	1.0
WHEELER	008	IMMC	S	11,036	0.91	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	INTC	B	64,570	27.50	n/a	n/a	3,228.50	5.0
WHEELER	008	IOM	B	10,400	3.67	n/a	n/a	0.00	0.0
BARR	096	IOM	B	4,350	3.68	n/a	n/a	0.00	0.0
CROWLEY	061	ISLN	S	1,000	5.70	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	60,350	27.98	21-Nov	10-Dec	2,263.13	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JS ASSET MGMT	028	IWD	S	800	82.61	n/a	n/a	32.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>IWM</b>	<b>SS</b>	<b>69,000</b>	<b>77.91</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
DOWNTOWN ASSOC	033	IWM	SS	200,000	78.34	n/a	n/a	7,000.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>IWO</b>	<b>SS</b>	<b>63,200</b>	<b>84.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
PATTEN & PATTEN	067	IXN	B	250	66.25	n/a	n/a	12.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JAH</b>	<b>B</b>	<b>50,000</b>	<b>26.74</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>B</b>	<b>5,000</b>	<b>48.77</b>	<b>28-Nov</b>	<b>30-Nov</b>	<b>non-brg</b>	<b>4.0</b>
APEX CAPITAL MGMT.	091	JCP	S	105,000	45.59	15-Nov	7-Dec	5,250.00	5.0
PILOT ADV	038	JCP	S	35,900	45.51	15-Nov	7-Dec	1,436.00	4.0
FORD FDN.	032	JPM	B	65,000	46.57	19-Oct	20-Nov	3,250.00	5.0
APEX CAPITAL MGMT.	091	JWN	S	300,000	37.42	20-Nov	7-Dec	15,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JWN</b>	<b>S</b>	<b>60,000</b>	<b>37.53</b>	<b>20-Nov</b>	<b>7-Dec</b>	<b>2,400.00</b>	<b>4.0</b>
COUGAR TRADING	044	JWN	B	5,000	39.40	20-Nov	7-Dec	250.00	5.0
<b>CROWLEY</b>	<b>061</b>	<b>KEG</b>	<b>S</b>	<b>1,000</b>	<b>13.64</b>	<b>29-Oct</b>	<b>10-Dec</b>	<b>0.00</b>	<b>0.0</b>
MORGAN LEWIS/MLH	046	KFN	S	20,000	14.38	n/a	n/a	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>KMX</b>	<b>SS</b>	<b>20,000</b>	<b>22.90</b>	<b>n/a</b>	<b>10-Jan</b>	<b>non-brg</b>	<b>4.0</b>
ASCEND PARTNERS	033	KR	S	50,000	26.08	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	091	KSS	S	200,000	49.16	9-Nov	7-Dec	10,000.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	40,000	49.08	9-Nov	7-Dec	1,600.00	4.0
COUGAR TRADING	044	KSS	SS	5,000	50.12	9-Nov	7-Dec	250.00	5.0
8 BUCK CAPITAL MGMT		KWD	B	30,000	17.88	n/a	n/a	non-brg	4.0
BUCK	015	LEH	SS	500	61.12	n/a	11-Dec	0.00	0.0
STRATTON	N21	LEH	SS	100	60.00	n/a	11-Dec	0.00	0.0
SINCLAIR	031	LESMD	B	5	4.80	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	LOW	S	1,000	23.11	27-Jul	27-Jul	50.00	5.0
BUCK CAPITAL MGMT	001	LTD	SS	20,000	20.26	n/a	7-Dec	800.00	4.0
STADIA CAPITAL	035	LTD	SS	25,800	19.87	n/a	7-Dec	1,032.00	4.0
BENCHMARK	028	LYTS	SS	7,500	18.71	n/a	n/a	375.00	5.0
HIGHBRIDGE CAPITAL	038	MAR	B	15,000	36.58	n/a	n/a	750.00	5.0
MS PRINCIPAL STRATEGIES	041	MAR	B	50,000	36.41	n/a	n/a	2,500.00	5.0
ZOLIDIS	011	MAT	SS	400	20.33	n/a	n/a	0.00	0.0
BUCK	015	MBI	SS	700	32.62	n/a	n/a	0.00	0.0
AVESTA CAP	229	MCD	S	50,000	62.87	n/a	24-Apr	2,500.00	5.0
COVEY CAPITAL	045	MCF	B	4,000	48.82	n/a	n/a	160.00	4.0
8 BUCK CAPITAL MGMT		MDC	S	4,000	38.88	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	216	MDC	B	2,500	37.30	n/a	n/a	125.00	5.0
ROYCE TRADING	216	MTZ	S	5,000	10.42	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	M	S	100,000	29.52	9-Nov	7-Dec	4,000.00	4.0
WYCKOFF	013	NILE	B	100	70.24	n/a	n/a	0.00	0.0
DISCIPLINED GROWTH INV.	030	NLS	S	20,000	6.16	n/a	n/a	1,000.00	5.0
GALLEON MGMT LP	033	NOK	S	10,000	40.15	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		PERY	B	10,000	16.30	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		PERY	B	16,500	16.08	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		PERY	B	20,000	16.32	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PERY	B	36,250	16.19	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PFCB	SS	20,000	26.65	n/a	24-Apr	non-brg	4.0
JP MORGAN VENTURES	046	PLCE	S	15,000	28.96	3-Dec	7-Dec	750.00	5.0
ß BUCK CAPITAL MGMT		PNRA	SS	20,000	39.05	n/a	n/a	non-brg	4.0
BASIX	033	PSS	B	21,000	17.91	n/a	n/a	840.00	4.0
WYCKOFF	013	QSGI	B	10,000	0.28	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	S	20,000	67.14	8-Nov	8-Nov	800.00	4.0
CASTLE ARK	031	RRC	B	142,280	47.35	n/a	n/a	8,536.80	6.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	RVI	S	21,100	6.64	n/a	n/a	1,055.00	5.0
⌘ BUCK CAPITAL MGMT		RYL	S	12,000	25.90	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SBAC	S	40,000	33.76	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SBUX	SS	20,000	22.21	n/a	24-Apr	non-brg	4.0
ZOLIDIS	011	SHOE	SS	2,400	1.15	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKS	B	30,000	19.86	n/a	n/a	1,200.00	4.0
JS ASSET MGMT	028	SPC	S	17,800	5.41	9-Nov	9-Nov	534.00	3.0
STRATTON	N21	TECUA	SS	200	26.15	n/a	n/a	0.00	0.0
ASCEND PARTNERS	033	TGT	C	50,000	52.99	n/a	27-Jul	2,500.00	5.0
BUCK CAPITAL MGMT	001	TGT	S	30,000	53.01	n/a	27-Jul	1,200.00	4.0
RAMIUS CAP	040	TIBX	S	50,000	7.43	n/a	3-Apr	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		TIF	SS	20,000	46.80	18-Oct	3-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIN	B	20,000	32.22	11-Dec	11-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIN	B	55,000	32.40	11-Dec	11-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIN	B	75,000	32.48	11-Dec	11-Dec	non-brg	4.0
IRONBOUND CAPITAL	012	TIN	B	525,000	32.45	11-Dec	11-Dec	26,250.00	5.0
MATLIN PATTERSON	046	TIN	B	10,000	32.18	11-Dec	11-Dec	300.00	3.0
BUCK CAPITAL MGMT	001	TJX	B	15,000	30.64	21-Sep	7-Dec	600.00	4.0

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BUCK CAPITAL MGMT		TOL	S	14,000	21.42	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		TRLG	B	10,000	19.42	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		TSCO	SS	30,000	37.73	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		TSCO	SS	70,000	37.61	n/a	n/a	non-brg	4.0
COVEY CAPITAL	045	TTC	B	3,500	57.34	n/a	n/a	140.00	4.0
ROTHSCHILD ASSET	040	TUP	S	7,100	34.00	n/a	n/a	319.50	4.5
GOLDEN TREE	036	TWX	S	500,000	17.09	n/a	n/a	25,000.00	5.0
IRIDIAN ASSET MGMT.	036	TXN	B	23,860	33.51	n/a	n/a	1,193.00	5.0
BUCK CAPITAL MGMT	001	UA	B	40,000	49.08	n/a	n/a	1,600.00	4.0
BEAR STEARNS ASSET MGMT.	033	URBN	S	125,100	28.52	9-Nov	7-Dec	5,004.00	4.0
GRUSS CAPITAL	045	WBC	B	5,000	49.07	n/a	12-Dec	200.00	4.0
ROYCE TRADING	216	WLT	S	5,000	37.10	n/a	n/a	250.00	5.0
MATRIX ASSET ADV.	036	WMT	B	10,500	48.68	20-Nov	7-Dec	420.00	4.0
BUCK	015	WMUTP	B	751,055.00		n/a	n/a	0.00	0.0
BUCK	015	WM	SS	1,000	17.12	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	WWY	SS	126,900	62.44	n/a	n/a	5,076.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOGGIN CAP	044	XIN	S	75,000	14.63	n/a	n/a	3,750.00	5.0
COUGAR TRADING	044	ZQK	B	5,000	10.70	n/a	11-Dec	250.00	5.0
<b>TOTAL (Trade Date):</b>								<b>298,808.07</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/11	BENCHMARK	028	CCIX	S	5,000	9.92	n/a	n/a	0.00 0.0
AO,CRT	12/11	BENCHMARK	028	CCIX	S	5,000	9.92	n/a	n/a	250.00 5.0
AO,CXL	12/11	BRIGHTPOINT CAP	067	CHS	B	155,000	10.88	5-Dec	7-Dec	6200.00 4.0
AO,CRT	12/11	BRIGHTPOINT CAP	067	CHS	B	155,000	10.88	5-Dec	7-Dec	6200.00 4.0
AO,CRT	12/11	H PARTNERS MGMT LLC	031	CMCSK	B	251,945	18.05	n/a	n/a	10077.80 4.0
AO,CXL	12/11	H PARTNERS MGMT LLC	031	CMCSK	B	251,945	18.05	n/a	n/a	12597.25 5.0
AO,	12/11	SARAS CAPITAL	038	JNS	SS	30,000	33.83	21-Nov	26-Nov	1500.00 5.0
AO,CRT	12/06	NCM CAPITAL MGMT	035	LLL	B	1,100	112.75	n/a	n/a	55.00 5.0
AO,CXL	12/06	NCM CAPITAL MGMT	035	LLL	B	1,100	112.75	n/a	n/a	55.00 5.0
AO,CRT	12/11	MKT MAKER	099	SCSS	S	75	10.20	n/a	n/a	0.00 0.0
AO,	12/11	GARDNER LEWIS ASSET MGMT	028	T	B	112,000	39.90	21-Sep	12-Dec	5600.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>4,830.55</b>

TOTAL (Trade Date) :	298,808.07
TOTAL (AO, CXL, CRT) :	4,830.55

<b>TOTAL (RUN DATE) / NFS:</b>	<b>303,638.62</b>
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MRI Securities with Trade Activity on 12-Dec. NONE
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MRI Securities without Trade Activity on 12-Dec. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 30% YTD     = 20%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**