



Daily Compliance Activity Report

Trade Date: 08/06/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		AAPL	S	50,000	132.98	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT		AAWW	SS	10,000	52.59	n/a	n/a	non-brg 5.0
NEW JERSEY DIV OF INV.	220	AA	S	148,000	35.58	n/a	n/a	5,920.00 4.0
BUCK CAPITAL MGMT		ABG	S	14,500	20.43	18-Jun	27-Jul	non-brg 5.0
BUCK CAPITAL MGMT		ABG	S	50,000	20.49	18-Jun	27-Jul	non-brg 5.0
BUCK CAPITAL MGMT		AEO	S	100,000	23.24	10-Jul	6-Aug	non-brg 5.0
BUCK CAPITAL MGMT		AEO	S	100,000	23.30	10-Jul	6-Aug	non-brg 2.0
BUCK CAPITAL MGMT		AEO	S	100,000	23.34	10-Jul	6-Aug	non-brg 5.0
BUCK CAPITAL MGMT		AEO	S	100,000	23.36	10-Jul	6-Aug	non-brg 5.0
BUCK CAPITAL MGMT		AEO	S	100,000	23.61	10-Jul	6-Aug	non-brg 2.0
BUCK CAPITAL MGMT		AEO	S	140,000	23.18	10-Jul	6-Aug	non-brg 5.0
BUCK CAPITAL MGMT		AEO	S	180,800	23.36	10-Jul	6-Aug	non-brg 5.0
BUCK CAPITAL MGMT		AEO	S	200,000	23.27	10-Jul	6-Aug	non-brg 5.0
BUCK CAPITAL MGMT		AEO	S	50,000	23.43	10-Jul	6-Aug	non-brg 2.0
BUCK CAPITAL MGMT		AEO	S	69,200	23.28	10-Jul	6-Aug	non-brg 2.0
COUGAR TRADING	044	AEO	S	5,000	23.21	10-Jul	6-Aug	250.00 5.0
THE JAY GOLDMAN	034	AEO	B	10,000	23.17	10-Jul	6-Aug	400.00 4.0
BUCK CAPITAL MGMT		AMD	SS	50,000	12.59	n/a	n/a	non-brg 5.0
SUNOVA CAPITAL	031	AMG	S	15,000	111.16	25-Jul	2-Aug	750.00 5.0
BUCK CAPITAL MGMT		AMT	B	5,000	39.54	n/a	n/a	non-brg 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	ANF	S	5,000	68.87	12-Jul	6-Aug	250.00	5.0
J L F ASSET MGMT	039	ANF	B	71,700	69.69	12-Jul	6-Aug	2,868.00	4.0
COUGAR TRADING	044	ANN	SS	15,000	28.93	6-Aug	6-Aug	750.00	5.0
MAZAMA CAPITAL MGMT.	035	AOS	S	9,400	46.90	18-Jul	18-Jul	376.00	4.0
⌘ BUCK CAPITAL MGMT		APC	B	5,000	49.68	22-Jun	31-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		ARO	SS	200,000	35.60	n/a	6-Aug	non-brg	5.0
⌘ BUCK CAPITAL MGMT		ARO	SS	200,000	35.96	n/a	6-Aug	non-brg	5.0
MCCARTAN	028	ASD	S	100	37.38	18-Jul	23-Jul	0.00	0.0
MANNING & NAPIER	034	BHI	B	25,000	73.95	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT	001	BID	S	122,100	43.98	n/a	n/a	4,884.00	4.0
⌘ BUCK CAPITAL MGMT		BIG	SS	10,000	26.62	9-Mar	27-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		BIG	SS	30,000	25.11	9-Mar	27-Jul	non-brg	5.0
RAMIUS CAP	040	BIG	C	25,000	25.36	9-Mar	27-Jul	0.00	0.0
ROTHSCHILD ASSET	040	BIG	S	44,580	26.61	9-Mar	27-Jul	2,006.10	4.5
CR INTRINIC INVESTMENT	044	BMV	SS	30,100	27.80	n/a	n/a	1,505.00	5.0
⌘ BUCK CAPITAL MGMT		BONT	B	100,000	20.91	3-Aug	6-Aug	non-brg	5.0
COUGAR TRADING	044	BONT	B	10,000	21.50	3-Aug	6-Aug	500.00	5.0
COUGAR TRADING	044	BONT	S	5,000	20.47	3-Aug	6-Aug	0.00	0.0
BUCK	015	BUD	S	1,000	49.52	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		CACH	S	40,000	15.59	n/a	n/a	non-brg	5.0
NWQ INVESTMENT MGMT CO	048	CASY	B	15,000	25.58	n/a	n/a	450.00	3.0
STRONG/MARK GRONET	030	CBB	B	100,000	4.90	n/a	n/a	3,000.00	3.0
Õ Glenn Redemann		CBK	B	8	0.05	7-Jun	6-Aug	0.00	0.0
B BUCK CAPITAL MGMT		CCI	B	6,000	36.09	n/a	n/a	non-brg	5.0
ACT II PARTNERS	067	CCOI	SS	30,000	27.65	n/a	n/a	1,500.00	5.0
BUCK	015	CDL	S	76	4.60	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	CEPH	B	20,000	68.67	1-Aug	6-Aug	1,000.00	5.0
B BUCK CAPITAL MGMT		CHS	C	10,000	18.46	12-Jul	6-Aug	non-brg	5.0
CR INTRINIC INVESTMENT	044	CHTR	B	550,000	2.94	n/a	n/a	16,500.00	3.0
BRIGHTPOINT CAP	067	COH	SS	120,000	45.01	n/a	1-Aug	6,000.00	5.0
BUCK CAPITAL MGMT	001	COH	S	200,000	44.89	n/a	1-Aug	8,000.00	4.0
B BUCK CAPITAL MGMT		COH	S	100,000	44.70	n/a	1-Aug	non-brg	5.0
THIRD COAST CAPITAL	035	CONN	SS	25,000	22.02	n/a	n/a	1,250.00	5.0
VARDON PARTNERS	035	COST	B	12,317	62.18	n/a	27-Jul	615.85	5.0
MAZAMA CAPITAL MGMT.	035	CPX	S	31,900	20.78	n/a	n/a	1,276.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		CROX	B	20,000	55.06	n/a	n/a	non-brg	5.0
PAKLER	N22	CROX	S	51	54.98	n/a	n/a	0.00	0.0
BUCK	015	CVXUP	S	7	3.50	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CWTR	B	86,000	18.86	n/a	n/a	non-brg	5.0
MCCARTAN	028	DFZ	S	300	10.42	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DIA	C	100,000	133.36	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DIA	SS	100,000	132.40	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DIA	SS	100,000	133.31	n/a	n/a	non-brg	2.0
BARON ASSET MGMT*	012	DKS	B	25,000	57.68	n/a	n/a	1,250.00	5.0
ß BUCK CAPITAL MGMT		DKS	S	20,000	56.88	n/a	n/a	non-brg	5.0
XXXX	012	DKS	B	2,000	57.68	n/a	n/a	100.00	5.0
KNOTT PARTNERS	044	DLTR	B	75,000	39.02	30-May	27-Jul	3,000.00	4.0
SAGE ASSET MGMT	012	DNA	S	125,750	73.11	n/a	n/a	6,287.50	5.0
WELLS/STRONG LEDDY	035	DRAX	B	23,200	4.95	n/a	n/a	928.00	4.0
ß BUCK CAPITAL MGMT		DRI	SS	20,000	44.56	24-Apr	24-Apr	non-brg	5.0
BUCK CAPITAL MGMT	001	DSW	B	10,000	31.88	2-Aug	2-Aug	400.00	4.0
BUCK	015	DUK	S	3,000	17.26	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	DVN	S	140,000	76.34	18-Jul	20-Jul	7,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	DVN	S	800	76.15	18-Jul	20-Jul	0.00	0.0
LEDDY	035	EDA	S	1,100	10.25	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	ELOS	C	36,760	24.51	n/a	n/a	1,470.40	4.0
MTB INV ADV	034	ENG	S	41,000	10.15	n/a	n/a	2,050.00	5.0
SANDLER O'NEILL ASSET	031	EV	SS	25,000	41.66	23-May	2-Aug	1,250.00	5.0
FARALLON CAP MGMT	040	FCX	S	50,000	84.71	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		FDO	SS	100,000	28.09	19-Apr	27-Jul	non-brg	5.0
FIRST NEW YORK LEDDY	035	FINL	B	10,000	6.30	n/a	2-Aug	600.00	6.0
ß BUCK CAPITAL MGMT		FRED	SS	10,000	11.86	n/a	27-Jul	non-brg	5.0
LEVIN CAPITAL STRATEGIES	012	F	B	20,000	8.07	n/a	2-Aug	600.00	3.0
VARDON PARTNERS	035	GCO	S	40,000	46.30	n/a	10-Jan	2,000.00	5.0
BUCK CAPITAL MGMT	001	GES	S	10,000	45.51	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		GIII	B	20,000	14.90	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIL	B	40,000	32.62	21-Jun	2-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		GLW	B	10,000	22.85	n/a	1-Aug	non-brg	5.0
SLS CAPITAL LLC	224	GPS	B	96,900	16.01	n/a	6-Aug	3,876.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	GS	S	800	178.64	15-Jun	2-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		GYMB	B	50,000	41.05	n/a	6-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		GYMB	S	20,000	40.94	n/a	6-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		HAS	SS	50,000	27.54	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		HOLX	B	2	1.50	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HOLX	B	2	1.55	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	HRB	B	257,500	19.56	n/a	n/a	7,725.00	3.0
LEDDY	035	ICON	S	1,500	19.11	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IMO	B	2	1.13	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IMO	B	2	1.32	n/a	n/a	0.00	0.0
LUCHANSKY	036	ITG	S	300	38.51	n/a	n/a	0.00	0.0
LEDDY	035	IVZ	S	500	24.17	2-Aug	2-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		IWM	SS	191,400	74.44	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	JCG	S	20,000	45.21	22-Jun	6-Aug	800.00	4.0
LEVIN CAPITAL STRATEGIES	012	JCG	SS	10,000	44.64	22-Jun	6-Aug	400.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	65.18	21-Jun	6-Aug	400.00	4.0
COUGAR TRADING	044	JCP	B	10,000	68.37	21-Jun	6-Aug	500.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	10,000	45.99	12-Jul	6-Aug	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KBH	SS	30,000	30.30	n/a	n/a	1,200.00	4.0
FARALLON CAP MGMT	040	KBR	S	50,000	32.24	n/a	n/a	2,000.00	4.0
PILOT ROCK	028	KFT	B	100,000	32.55	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	50,000	57.83	14-Jun	27-Jul	2,000.00	4.0
COUGAR TRADING	044	KSS	B	5,000	58.08	14-Jun	27-Jul	250.00	5.0
COUGAR TRADING	044	KSS	S	5,000	58.02	14-Jun	27-Jul	250.00	5.0
GLG PARTNERS	034	KSS	S	25,000	60.00	14-Jun	27-Jul	1,250.00	5.0
Glenn Redemann		KSS	B	4	0.91	14-Jun	27-Jul	0.00	0.0
Glenn Redemann		KSS	B	4	0.97	14-Jun	27-Jul	0.00	0.0
Glenn Redemann		KSS	SS	4	2.33	14-Jun	27-Jul	0.00	0.0
Glenn Redemann		KSS	SS	4	2.35	14-Jun	27-Jul	0.00	0.0
J L F ASSET MGMT	039	KSS	B	72,700	59.62	14-Jun	27-Jul	2,908.00	4.0
BUCK CAPITAL MGMT		LAMR	S	10,000	57.50	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		LIZ	SS	135,000	34.79	n/a	6-Aug	non-brg	5.0
BUCK CAPITAL MGMT		LIZ	SS	35,000	35.23	n/a	6-Aug	non-brg	5.0
CAPITAL GROWTH MGMT.	241	MA	S	85,000	132.33	n/a	n/a	3,400.00	4.0
BARR	096	MBI	SS	300	50.75	n/a	n/a	0.00	0.0
BARR	096	MCO	SS	250	53.70	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	MDR	B	50,000	83.39	n/a	n/a	2,500.00	5.0
BUCK	015	MERVK	S	15	1.35	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		MIR	B	8,000	37.23	n/a	n/a	non-brg	5.0
BUCK	015	MOUM	S	10	1.90	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MRO	B	4	0.05	n/a	n/a	0.00	0.0
BARR	096	MTG	SS	750	34.11	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MVRX	SS	80,600	171.76	n/a	n/a	non-brg	17.2
Õ Glenn Redemann		MYGN	B	3	0.15	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		M	B	110,000	33.69	13-Jul	6-Aug	non-brg	5.0
PRINCIPLE CAPITAL	040	M	B	43,100	33.50	13-Jul	6-Aug	1,293.00	3.0
ß BUCK CAPITAL MGMT		NEXC	B	50,000	7.37	n/a	n/a	non-brg	5.0
PAKLER	N22	NILE	B	33	82.40	n/a	n/a	0.00	0.0
BARR	096	NSTK	B	600	13.04	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	NWY	B	100,000	8.73	25-May	6-Aug	4,000.00	4.0
MAZAMA CAPITAL MGMT.	035	NZ	S	16,300	15.00	n/a	n/a	652.00	4.0
MAZAMA CAPITAL MGMT.	035	OB	S	132,600	20.70	n/a	n/a	5,304.00	4.0
ß BUCK CAPITAL MGMT		PAG	S	20,000	19.22	n/a	1-Aug	non-brg	5.0
LEDDY	035	PCH	S	400	45.42	27-Jul	27-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	PDFS	B	1,100	10.00	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		PERY	B	15,200	26.69	n/a	n/a	non-brg	1.0
MTB INV ADV	034	PLCE	B	32,165	31.52	12-Apr	6-Aug	1,608.25	5.0
COUGAR TRADING	044	PSUN	B	5,000	15.62	n/a	6-Aug	250.00	5.0
COUGAR TRADING	044	PSUN	SS	15,000	16.41	n/a	6-Aug	750.00	5.0
HOOVER INV. MGMT	033	PSUN	B	161,700	16.70	n/a	6-Aug	8,085.00	5.0
⌘ BUCK CAPITAL MGMT		PVH	S	20,000	51.77	31-May	6-Aug	non-brg	5.0
⌘ BUCK CAPITAL MGMT		PZZA	SS	10,000	26.41	24-Apr	24-Apr	non-brg	5.0
BARR	096	QID	S	300	46.61	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	S	100,000	85.92	21-Jun	27-Jun	4,000.00	4.0
COUGAR TRADING	044	RL	B	25,000	88.30	21-Jun	27-Jun	1,250.00	5.0
COUGAR TRADING	044	RL	SS	15,000	28.93	21-Jun	27-Jun	750.00	5.0
⌘ BUCK CAPITAL MGMT		RRGB	SS	10,000	37.38	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		RTH	SS	140,000	98.24	n/a	n/a	non-brg	2.0
LEDDY	035	RVI	S	500	11.84	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RYL	SS	30,000	33.21	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		SBAC	B	5,000	31.48	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ZOLIDIS	011	SHOO	C	600	27.11	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKX	B	50,000	20.01	n/a	13-Mar	non-brg	5.0
LEDDY	035	SLB	B	200	86.55	n/a	n/a	0.00	0.0
S.A.C. CAPITAL MGMT.	044	SLXP	SS	20,850	11.60	n/a	n/a	1,042.50	5.0
ß BUCK CAPITAL MGMT		SONC	SS	20,000	20.50	n/a	n/a	non-brg	5.0
MORGAN LEWIS/MLH	046	SONO	B	10,000	28.42	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		SPY	SS	100,000	144.42	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SSI	S	15,300	15.62	3-Aug	6-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		SSI	S	50,000	15.85	3-Aug	6-Aug	non-brg	5.0
BARR	096	SUNW	B	2,700	4.97	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SWN	B	6,000	38.52	n/a	3-Aug	non-brg	5.0
LUCHANSKY1	036	S	SS	1,000	19.67	n/a	n/a	0.00	0.0
SARAS CAPITAL	038	TGT	S	42,000	59.87	n/a	27-Jul	2,100.00	5.0
ß BUCK CAPITAL MGMT		THI	SS	20,000	31.60	24-Apr	24-Apr	non-brg	5.0
JP MORGAN VENTURES	046	TIF	C	10,000	45.72	1-Jun	13-Jun	500.00	5.0
BUCK CAPITAL MGMT	001	TJX	B	10,000	27.57	13-Jul	6-Aug	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORRISON & FRASIER	040	TJX	S	91,000	27.96	13-Jul	6-Aug	4,550.00	5.0
BUCK CAPITAL MGMT	001	TLB	SS	20,000	20.29	n/a	6-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	TOL	SS	30,000	21.42	n/a	n/a	1,200.00	4.0
PRINCIPLE CAPITAL	040	TPX	B	30,000	28.80	n/a	n/a	900.00	3.0
⌘ BUCK CAPITAL MGMT		TRLG	B	10,000	20.33	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		TSCO	S	41,382	45.56	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	TWB	B	60,000	36.09	2-Jul	6-Aug	2,400.00	4.0
CAXTON PART	040	TWC	S	35,000	34.79	2-Aug	2-Aug	1,400.00	4.0
⌘ BUCK CAPITAL MGMT		TWTC	B	10,000	17.25	4-May	25-Jul	non-brg	5.0
REICH AND TANG	067	TYC	B	28,150	47.94	6-Aug	6-Aug	1,407.50	5.0
BEAR STEARNS ASSET MGMT.	033	URBN	S	166,700	20.96	31-Jul	6-Aug	6,668.00	4.0
⌘ BUCK CAPITAL MGMT		URBN	B	10,000	21.27	31-Jul	6-Aug	non-brg	5.0
⌘ BUCK CAPITAL MGMT		URBN	S	70,000	20.28	31-Jul	6-Aug	non-brg	5.0
THIRD COAST CAPITAL	035	URBN	B	20,000	20.37	31-Jul	6-Aug	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		USTR	C	15,000	56.25	23-May	27-Jul	non-brg	5.0
HASAN	010	VSL	S	5,000	20.71	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		WTSLA	B	20,000	4.16	n/a	n/a	non-brg	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Glenn Redemann		WYNN	B	3	0.15	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	S	100,000	12.81	9-Mar	27-Jun	4,000.00	4.0
COUGAR TRADING	044	ZQK	S	20,000	12.90	9-Mar	27-Jun	1,000.00	5.0
ß BUCK CAPITAL MGMT		ZUMZ	S	20,000	36.81	n/a	n/a	non-brg	5.0
TOTAL (Trade Date):							183,256.10		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/02	WEISS PECK	040	ASD	S	10,000	38.02	18-Jul	23-Jul	400.00 4.0
AO,CXL	08/02	WEISS PECK	040	ASD	S	9,900	38.03	18-Jul	23-Jul	396.00 4.0
AO,CRT	08/03	DAVIDSON TRUST CO	033	HAE	B	1,225	50.37	n/a	n/a	110.25 9.0
AO,CXL	08/03	DAVIDSON TRUST CO	033	HAE	B	1,225	50.37	n/a	n/a	61.25 5.0
AO,CRT	08/02	STRAUSS ASSET MGMT	040	HYC	S	30,000	4.78	n/a	n/a	900.00 3.0
AO,CXL	08/02	STRAUSS ASSET MGMT	040	HYC	S	30,000	4.78	n/a	n/a	1500.00 5.0
AO,CRT	08/03	CIRCLE T PART	035	STP	B	25,000	39.66	n/a	n/a	1000.00 4.0
AO,CXL	08/03	CIRCLE T PART	035	STP	B	25,000	39.66	n/a	n/a	1250.00 5.0
AO,	08/03	CROWLEY	061	VNJTJX	S	1,111	11.61	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										-797.00

TOTAL (Trade Date) :	183,256.10
TOTAL (AO, CXL, CRT) :	-797.00

TOTAL (RUN DATE) / NFS :	182,459.10
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MRI Securities with Trade Activity on 6-Aug.
ANN, TYC

MRI Securities without Trade Activity on 6-Aug.

DTV

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 17%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION