



Daily Compliance Activity Report

Trade Date: 06/14/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|---------------------|-----|---------|-----|---------|-------|-----|----------|--------------|-----|
| BUCK CAPITAL MGMT | | 1023 HK | B | 100,000 | 0.45 | n/a | n/a | non-brg | 0.1 |
| BUCK CAPITAL MGMT | | 1023 HK | B | 100,000 | 0.45 | n/a | n/a | non-brg | 0.1 |
| BUCK CAPITAL MGMT | | 589 HK | B | 16,700 | 1.12 | n/a | n/a | non-brg | 0.2 |
| BUCK CAPITAL MGMT | | 589 HK | B | 16,800 | 1.12 | n/a | n/a | non-brg | 0.2 |
| BUCK CAPITAL MGMT | | AAN | C | 20,650 | 27.53 | n/a | n/a | non-brg | 4.0 |
| BUCK CAPITAL MGMT | | AAN | C | 20,650 | 27.53 | n/a | n/a | non-brg | 4.0 |
| PATRICK O'GRADY | FID | ABCP | B | 600 | 1.78 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | | ACAT | C | 30,000 | 32.09 | n/a | n/a | non-brg | 4.0 |
| BALYASNY ASSET MGMT | 044 | ACCO | S | 30,000 | 9.62 | n/a | n/a | 1,200.00 | 4.0 |
| COLUMBUS CIRCLE | 036 | ACIW | B | 6,100 | 39.37 | n/a | n/a | 244.00 | 4.0 |
| BUCK CAPITAL MGMT | | AEO | B | 20,000 | 18.81 | n/a | 2-Dec | non-brg | 4.0 |
| BUCK CAPITAL MGMT | | AEO | B | 20,000 | 18.81 | n/a | 2-Dec | non-brg | 4.0 |
| OZ MGMT | 040 | AXL | S | 75,000 | 9.27 | n/a | 7-Jun | 2,250.00 | 3.0 |
| BUCK CAPITAL MGMT | | BID | SS | 20,000 | 30.36 | n/a | n/a | non-brg | 4.0 |
| BUCK CAPITAL MGMT | | BODY | S | 27,600 | 15.19 | n/a | n/a | non-brg | 4.0 |
| SIMMONS VENTURES | 041 | BODY | B | 5,000 | 15.30 | n/a | n/a | 200.00 | 4.0 |
| BUCK CAPITAL MGMT | | BONT | S | 7,200 | 5.10 | n/a | n/a | non-brg | 1.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------|-----|--------|-----|-----------|-------|-----|----------|-------------|-----|
| PLATTE RIVER CAPITAL | 240 | BUD | S | 21,870 | 70.97 | n/a | 4-Jun | 874.80 | 4.0 |
| SCOPUS FUND | 041 | CNK | SS | 17,402 | 21.58 | n/a | n/a | 696.08 | 4.0 |
| ß BUCK CAPITAL MGMT | | COH | SS | 10,000 | 60.19 | n/a | 9-May | non-brg | 4.0 |
| ß BUCK CAPITAL MGMT | | COH | SS | 10,000 | 60.19 | n/a | 9-May | non-brg | 4.0 |
| PROSPECTOR PARTNERS LLC | 036 | CVC | B | 10,000 | 12.08 | n/a | n/a | 400.00 | 4.0 |
| MERRILL ASSET/BLACKROCK | 297 | C | B | 1,000,000 | 27.70 | n/a | 8-Jun | 40,000.00 | 4.0 |
| CORNERSTONE | 030 | DG | B | 28,685 | 50.55 | n/a | 5-Jun | 860.55 | 3.0 |
| ß BUCK CAPITAL MGMT | | DPZ | C | 30,000 | 29.04 | n/a | 2-May | non-brg | 4.0 |
| ß BUCK CAPITAL MGMT | | DRI | C | 20,000 | 50.08 | n/a | 13-Apr | non-brg | 4.0 |
| ß BUCK CAPITAL MGMT | | DRI | C | 20,000 | 50.08 | n/a | 13-Apr | non-brg | 4.0 |
| ß BUCK CAPITAL MGMT | | DRI | C | 20,000 | 50.22 | n/a | 13-Apr | non-brg | 4.0 |
| ß BUCK CAPITAL MGMT | | DRI | C | 20,000 | 50.22 | n/a | 13-Apr | non-brg | 4.0 |
| ß BUCK CAPITAL MGMT | | DRI | C | 50,000 | 49.98 | n/a | 13-Apr | non-brg | 4.0 |
| ß BUCK CAPITAL MGMT | | DRI | C | 50,000 | 50.44 | n/a | 13-Apr | non-brg | 4.0 |
| f PATRICK O'GRADY | FID | EMMS | S | 700 | 1.52 | n/a | n/a | 0.00 | 0.0 |
| NEUBERGER BERMAN | 036 | EXXI | S | 86,000 | 27.79 | n/a | n/a | 3,440.00 | 4.0 |
| BNP PARIBAS SEC | 040 | FDO | S | 24,750 | 74.62 | n/a | 5-Jun | 1,237.50 | 5.0 |
| ß BUCK CAPITAL MGMT | | FL | S | 27,000 | 29.54 | n/a | 18-May | non-brg | 4.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------------------------|-----|--------|-----|---------|-------|--------|----------|---------------|
| ⚡ BUCK CAPITAL MGMT | | FNP | C | 50,000 | 10.39 | n/a | n/a | non-brg 4.0 |
| BNP PARIBAS SEC | 040 | F | B | 40,000 | 10.39 | n/a | 4-Jun | 2,000.00 5.0 |
| COUGAR TRADING | 044 | GIL | B | 5,000 | 27.04 | n/a | 12-Jun | 250.00 5.0 |
| ⚡ BUCK CAPITAL MGMT | | HBI | S | 20,000 | 28.28 | n/a | 25-May | non-brg 4.0 |
| ⚡ BUCK CAPITAL MGMT | | HIBB | SS | 10,000 | 56.04 | n/a | n/a | non-brg 4.0 |
| ⚡ BUCK CAPITAL MGMT | | HIBB | SS | 10,000 | 56.04 | n/a | n/a | non-brg 4.0 |
| ⚡ BUCK CAPITAL MGMT | | IR | B | 13,000 | 39.12 | 14-Jun | 14-Jun | non-brg 4.0 |
| ⚡ BUCK CAPITAL MGMT | | JNY | B | 20,000 | 9.37 | n/a | 26-Apr | non-brg 3.0 |
| ⚡ BUCK CAPITAL MGMT | | JNY | B | 20,000 | 9.37 | n/a | 26-Apr | non-brg 3.0 |
| KLEINHEINZ CAP PART | 031 | KSS | B | 120,000 | 43.93 | n/a | 1-Jun | 6,000.00 5.0 |
| STANDARD PACIFIC CAPITAL | 084 | LCC | B | 400,000 | 12.32 | n/a | n/a | 20,000.00 5.0 |
| ⚡ BUCK CAPITAL MGMT | | LZB | C | 50,000 | 12.90 | n/a | n/a | non-brg 4.0 |
| ⚡ BUCK CAPITAL MGMT | | MCD | C | 20,000 | 89.13 | n/a | 8-Jun | non-brg 4.0 |
| ⚡ BUCK CAPITAL MGMT | | MCD | C | 20,000 | 89.13 | n/a | 8-Jun | non-brg 4.0 |
| ARSENAL ASSET MANAGEMENT | 028 | MLI | B | 5,000 | 40.99 | n/a | 14-Jun | 200.00 4.0 |
| ⚡ BUCK CAPITAL MGMT | | NKE | B | 5,000 | 99.88 | n/a | 23-Mar | non-brg 4.0 |
| STELLIAM INV ADV | 034 | PFE | S | 25,000 | 22.48 | n/a | n/a | 1,250.00 5.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------|-----|--------|-----|---------|--------|-----|----------|-------------|------|
| ROYCE TRADING | 031 | PLCE | B | 500 | 43.51 | n/a | 5-Jun | 25.00 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | PP FP | SS | 10,000 | 139.09 | n/a | n/a | non-brg | 20.9 |
| ⌘ BUCK CAPITAL MGMT | | PVH | B | 20,000 | 77.91 | n/a | 25-May | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | RCII | C | 20,438 | 34.55 | n/a | n/a | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | RCII | C | 40,862 | 34.55 | n/a | n/a | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | RL | SS | 10,000 | 140.86 | n/a | 23-May | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | RL | SS | 10,000 | 140.86 | n/a | 23-May | non-brg | 4.0 |
| ST OF MICHIAN | 047 | SCHW | B | 100,000 | 12.32 | n/a | n/a | 3,000.00 | 3.0 |
| ⌘ BUCK CAPITAL MGMT | | SHOO | S | 27,136 | 37.32 | n/a | n/a | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | SKS | SS | 50,000 | 10.00 | n/a | n/a | non-brg | 4.0 |
| BNP PARIBAS SEC | 040 | SWN | B | 30,000 | 25.96 | n/a | 25-May | 1,500.00 | 5.0 |
| HOTCHKIS & WILEY | 035 | SYA | S | 45,000 | 11.80 | n/a | n/a | 2,250.00 | 5.0 |
| FIRST NEW YORK LEDDY | 035 | S | S | 20,000 | 3.04 | n/a | n/a | 800.00 | 4.0 |
| BNP PARIBAS SEC | 040 | UNG | S | 10,000 | 16.33 | n/a | n/a | 500.00 | 5.0 |
| PROSPECTOR PARTNERS LLC | 036 | WAG | B | 10,000 | 31.62 | n/a | n/a | 400.00 | 4.0 |
| f BARBARA S LEDDY | FID | WNC | B | 500 | 6.24 | n/a | n/a | 0.00 | 0.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|----------------------------|-----|--------|-----|--------|-------|-----|----------|------------------|-----|
| EATON VANCE MGMT | 029 | XOM | S | 38,556 | 81.33 | n/a | n/a | 1,156.68 | 3.0 |
| TOTAL (Trade Date): | | | | | | | | 90,734.61 | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI Research | Comm.¢/Shr. |
|------|------|---------|------|--------|-----|--------|-------|--------------|-------------|
|------|------|---------|------|--------|-----|--------|-------|--------------|-------------|

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|-----------------------|--|--|--|--|--|--|--|--|------|
| TOTAL (AO, CXL, CRT): | | | | | | | | | 0.00 |
|-----------------------|--|--|--|--|--|--|--|--|------|

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|---------------------|--|--|--|--|--|--|--|--|-----------|
| TOTAL (Trade Date): | | | | | | | | | 90,734.61 |
|---------------------|--|--|--|--|--|--|--|--|-----------|

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| TOTAL (AO, CXL, CRT): | | | | | | | | | 0.00 |
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| TOTAL (RUN DATE) / NFS: | | | | | | | | | 90,734.61 |
|-------------------------|--|--|--|--|--|--|--|--|-----------|

BCM Cancel/Corrects and As-Of Trades

| Type | Date | Account | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|------|-------|--------------------|--------|-----|--------|-------|-----|----------|-------------|
| CXL | 06/12 | BUCK CAPITAL MGMT. | TFM | C | 67,000 | 50.50 | n/a | n/a | 3350.00 |
| CRT | 06/12 | BUCK CAPITAL MGMT | TFM | C | 67,000 | 50.50 | n/a | n/a | 0.00 0.0 |
| CXL | 06/12 | BUCK CAPITAL MGMT. | TFM | C | 33,000 | 50.50 | n/a | n/a | 1650.00 |
| CRT | 06/12 | BUCK CAPITAL MGMT | TFM | C | 33,000 | 50.50 | n/a | n/a | 0.00 0.0 |

MRI Securities with Trade Activity on 14-Jun.

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MRI Securities without Trade Activity on 14-Jun.

ETN, HSC, JCI, WBC, AXE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)