



## Daily Compliance Activity Report

Trade Date: 02/10/2009

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAXON WOODS ADVISORS	038	ABT	S	70,200	56.67	n/a	22-Apr	2,808.00	4.0
CAPITAL GROWTH MGMT.	241	ABX	B	150,000	38.62	n/a	n/a	7,500.00	5.0
BUCK CAPITAL MGMT	001	ALV	SS	30,000	20.05	30-Jan	4-Feb	1,200.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	21.58	n/a	6-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	AN	SS	50,000	10.98	30-Jan	30-Jan	2,000.00	4.0
FRIESS MGMT	033	AZO	S	19,800	142.28	n/a	n/a	792.00	4.0
LISTED ERR	099	AZO	B	66	141.00	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	BAC	B	100,000	6.36	16-Jan	10-Feb	4,000.00	4.0
BALYASNY ASSET MGMT	044	BIG	B	50,000	15.20	5-Dec	5-Feb	2,500.00	5.0
HIGHBRIDGE/MS OLDS	041	BNI	B	8,000	71.00	n/a	n/a	400.00	5.0
BUCK CAPITAL MGMT	001	BWA	SS	30,000	20.08	27-Jan	27-Jan	1,200.00	4.0
MILLRACE FUND LP	028	BWA	SS	5,000	20.45	27-Jan	27-Jan	200.00	4.0
TRELLUS PARTNERS LP	028	BWA	SS	11,500	19.84	27-Jan	27-Jan	575.00	5.0
BALYASNY ASSET MGMT	044	CAL	B	10,000	13.73	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	CAT	S	10,000	32.47	22-Oct	27-Jan	500.00	5.0
MOORE CAPITAL	036	CENX	S	7,781	4.48	n/a	n/a	311.24	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	COST	SS	22,300	44.16	n/a	27-Jul	1,115.00	5.0
BALYASNY ASSET MGMT	044	C	B	100,000	3.79	n/a	10-Feb	3,000.00	3.0
HUNTER GLOBAL INVESTOR	067	DHI	S	400,000	9.06	n/a	n/a	12,000.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DIN</b>	<b>B</b>	<b>10,000</b>	<b>9.05</b>	<b>n/a</b>	<b>n/a</b>	<b>384.00</b>	<b>3.5</b>
HUNTER GLOBAL INVESTOR	067	ED	S	10,000	40.73	n/a	n/a	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>EL</b>	<b>SS</b>	<b>50,000</b>	<b>26.79</b>	<b>20-Jan</b>	<b>9-Feb</b>	<b>2,000.00</b>	<b>4.0</b>
CUMBERLAND	038	EXH	B	10,000	22.71	27-Jan	3-Feb	400.00	4.0
BALYASNY ASSET MGMT	044	FITB	B	100,000	2.70	n/a	n/a	3,000.00	3.0
RAINIER INV MGMT	035	FLS	B	49,550	53.55	n/a	n/a	2,477.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>S</b>	<b>35,000</b>	<b>14.77</b>	<b>n/a</b>	<b>10-Jan</b>	<b>1,400.00</b>	<b>4.0</b>
COUGAR TRADING	044	GIL	S	15,000	10.64	11-Dec	12-Dec	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HEW</b>	<b>B</b>	<b>30,000</b>	<b>32.08</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
SANDLER O'NEILL ASSET	031	IVZ	B	40,000	13.34	9-Jan	10-Feb	2,000.00	5.0
BALYASNY ASSET MGMT	044	JEC	B	5,000	40.88	n/a	n/a	250.00	5.0
STERLING JOHNSTON	035	JWN	S	17,200	14.07	9-Jan	6-Feb	688.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KFY	B	20,000	10.41	n/a	n/a	800.00	4.0
BALYASNY ASSET MGMT	044	KMT	S	25,000	19.82	18-Sep	30-Jan	1,250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	KR	S	220,000	21.36	n/a	n/a	7,700.00	3.5
BUCK CAPITAL MGMT	001	MAR	SS	21,500	15.50	n/a	n/a	860.00	4.0
TRELLUS PARTNERS LP	028	MGA	SS	4,700	30.28	n/a	n/a	235.00	5.0
BALYASNY ASSET MGMT	044	MI	S	15,000	4.26	n/a	n/a	450.00	3.0
MKT MAKER	099	MSFT	B	1	19.60	n/a	3-Apr	0.00	0.0
SANDLER O'NEILL ASSET	031	MS	B	50,000	22.94	18-Dec	10-Feb	2,500.00	5.0
BUCK CAPITAL MGMT	001	NDN	B	40,000	7.38	31-Oct	5-Feb	1,200.00	3.0
PERMIAN/BAYPIONT	028	NFLX	SS	8,200	36.51	n/a	n/a	410.00	5.0
HIGHBRIDGE/MS OLDS	041	NSC	B	16,000	39.27	n/a	n/a	800.00	5.0
CENTURION GLOBAL MGMT LL	041	NTAP	S	18,000	15.68	n/a	n/a	900.00	5.0
HUNTER GLOBAL INVESTOR	067	NVR	S	11,900	395.68	n/a	n/a	595.00	5.0
TRELLUS PARTNERS LP	028	PAG	SS	37,500	8.53	n/a	23-Dec	1,125.00	3.0
CRAMER ROSENTHAL/SCOTT	012	PEP	S	7,000	49.50	n/a	n/a	280.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CR INTRINIC INVESTMENT	044	PFE	B	150,000	14.14	n/a	31-Mar	7,500.00	5.0
COLUMBIA PARTNERS	028	QDEL	B	37,917	12.96	n/a	n/a	1,516.68	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RCL</b>	<b>SS</b>	<b>50,000</b>	<b>7.20</b>	<b>30-Jan</b>	<b>30-Jan</b>	<b>1,500.00</b>	<b>3.0</b>
LAGRANGE CAP ADV	048	RDEN	B	10,000	6.50	20-Jan	20-Jan	500.00	5.0
BALYASNY ASSET MGMT	044	STI	S	25,000	10.62	n/a	n/a	1,250.00	5.0
KEEFE BRUYETTE WOODS A	045	TROW	SS	16,800	28.29	n/a	10-Feb	840.00	5.0
MERRILL ASSET	032	TXT	S	108,884	6.60	n/a	n/a	4,899.78	4.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>VFC</b>	<b>S</b>	<b>65,000</b>	<b>55.40</b>	<b>n/a</b>	<b>n/a</b>	<b>2,600.00</b>	<b>4.0</b>
BENNETT LAWRENCE	045	VNO	B	7,700	53.00	n/a	n/a	346.50	4.5
KLEINHEINZ CAP PART	031	WFMI	S	50,000	10.52	n/a	n/a	2,500.00	5.0
BALYASNY ASSET MGMT	044	WLK	S	20,000	14.23	n/a	n/a	1,000.00	5.0
BALYASNY ASSET MGMT	044	WL	C	30,000	11.07	n/a	n/a	1,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WMT</b>	<b>S</b>	<b>30,000</b>	<b>47.61</b>	<b>10-Oct</b>	<b>10-Feb</b>	<b>1,200.00</b>	<b>4.0</b>
KLEINHEINZ CAP PART	031	WMT	S	75,000	47.59	10-Oct	10-Feb	3,750.00	5.0
WHALE ROCK CAP	030	WRC	S	66,000	22.75	7-Nov	27-Jan	2,640.00	4.0
BMO NESBITT BURNS	041	WY	B	25,000	26.95	9-Feb	9-Feb	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SENATOR INVESTMENT GROUP	036	XLF	S	35,000	9.00	n/a	n/a	1,750.00	5.0
<b>TOTAL (Trade Date):</b>								<b>112,098.70</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/09	1798 CAPITAL MGMT (1798MS)	040	FDO	B	5,000	27.56	7-Jan	4-Feb	250.00 5.0
AO,	02/09	1798 CAPITAL MGMT (1798MS)	040	FDO	B	10,000	27.46	7-Jan	4-Feb	500.00 5.0
AO,	02/09	1798 CAPITAL MGMT (1798MS)	040	FDO	B	5,000	27.36	7-Jan	4-Feb	250.00 5.0
AO,CXL	02/09	1798 CAPITAL MGMT (1798GS)	040	FDO	B	5,000	27.56	7-Jan	4-Feb	250.00 5.0
AO,CXL	02/09	1798 CAPITAL MGMT (1798GS)	040	FDO	B	10,000	27.46	7-Jan	4-Feb	500.00 5.0
AO,CXL	02/09	1798 CAPITAL MGMT (1798GS)	040	FDO	B	5,000	27.36	7-Jan	4-Feb	250.00 5.0
AO,CXL	02/09	BUCK CAPITAL MGMT (BUCKJPMBS)	001	MCD	B	16,000	58.37	13-Oct	9-Feb	640.00 4.0
AO,CRT	02/09	BUCK CAPITAL MGMT (BUCKJPMBS)	001	MCD	B	27,000	58.37	13-Oct	9-Feb	1080.00 4.0
AO,CXL	02/09	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	MCD	B	27,000	58.37	13-Oct	9-Feb	1080.00 4.0
AO,CRT	02/09	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	MCD	B	16,000	58.37	13-Oct	9-Feb	640.00 4.0
AO,	02/09	WHITE PINE CAPITAL (WPIN4428)	030	MI	B	2,200	5.58	n/a	n/a	110.00 5.0
AO,CXL	02/09	WHITE PINE CAPITAL (WPIN04427)	030	MI	B	2,200	5.58	n/a	n/a	110.00 5.0
AO,CXL	02/09	COLUMBIA PARTNERS (COLPTID)	028	QDEL	B	165	13.36	n/a	n/a	6.60 4.0
AO,	02/09	COLUMBIA PARTNERS (COLPTID)	028	QDEL	B	165	13.36	n/a	n/a	6.60 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>0.00</b>
TOTAL (Trade Date):										112,098.70
TOTAL (AO, CXL, CRT):										0.00
<b>TOTAL (RUN DATE) / NFS:</b>										<b>112,098.70</b>



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION