



# Daily Compliance Activity Report

Trade Date: 04/01/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUN AMERICA ASSET MGMT	030	AAPL	B	1,100	349.12	n/a	n/a	44.00	4.0
APEX CAPITAL MGMT.	261	ADSK	SS	61,500	43.49	n/a	n/a	2,460.00	4.0
MERRILL ASSET/BLACKROCK	266	AFG	S	7,800	35.61	n/a	n/a	312.00	4.0
BRANDWINE	028	ANF	S	114,850	59.67	n/a	14-Mar	4,594.00	4.0
⚡ BUCK CAPITAL MGMT		ANF	S	20,000	59.78	n/a	14-Mar	non-brg	4.0
BWAN	028	ANF	S	100	59.67	n/a	14-Mar	4.00	4.0
⚡ BUCK CAPITAL MGMT		APO	B	1,200	18.06	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		APO	B	1,700	18.06	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		APO	B	100	18.06	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		APO	B	19,000	18.06	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		APO	B	2,000	18.06	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		APO	B	2,600	18.06	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		APO	B	600	18.06	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		APO	B	800	18.06	n/a	n/a	non-brg	4.0
⚡ MARK DEVEREAUX KAICH	FID	APO	S	1000	17.99	n/a	n/a	0.00	0.0
⚡ WILLIAM J GAULT	FID	ARCI	S	2000	3.82	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		ARO	S	124,600	24.32	n/a	11-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		ASNA	S	45,000	32.73	n/a	n/a	non-brg	4.0
NEW JERSEY DIV OF INV.	030	AVT	B	20,000	33.55	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BC</b>	<b>S</b>	<b>400</b>	<b>26.29</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEWLAND CAPITAL MGT	025	BEAV	S	25,000	36.52	n/a	4-Mar	1,000.00	4.0
NEW JERSEY DIV OF INV.	030	BIG	B	20,000	43.44	n/a	3-Mar	800.00	4.0
NEW JERSEY DIV OF INV.	030	BJ	B	20,000	49.12	n/a	n/a	800.00	4.0
NEW JERSEY DIV OF INV.	030	BLK	S	10,000	202.31	n/a	n/a	400.00	4.0
SUN AMERICA ASSET MGMT	030	BLK	B	5,900	203.27	n/a	n/a	236.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>30,000</b>	<b>15.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>BP</b>	<b>S</b>	<b>2000</b>	<b>45.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SCOPUS FUND	041	BUD	SS	25,000	58.85	n/a	29-Mar	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CAR</b>	<b>S</b>	<b>25,000</b>	<b>18.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	CAR	S	30,000	18.02	n/a	n/a	1,200.00	4.0
T. ROWE PRICE	033	CAT	B	25,800	112.89	n/a	28-Jan	1,032.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CHS</b>	<b>S</b>	<b>30,000</b>	<b>14.90</b>	<b>n/a</b>	<b>5-Feb</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	CL	SS	15,000	80.39	n/a	18-Feb	600.00	4.0
MERRILL ASSET/BLACKROCK	266	CNA	B	15,000	30.14	n/a	n/a	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>S</b>	<b>20,000</b>	<b>52.84</b>	<b>n/a</b>	<b>26-Jan</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>COSI</b>	<b>S</b>	<b>16225</b>	<b>1.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	030	CSH	S	10,000	45.96	n/a	n/a	400.00	4.0
AMBER CAPITAL	046	C	B	33,400	4.46	n/a	28-Mar	1,336.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DAL</b>	<b>B</b>	<b>75,000</b>	<b>9.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
SIGMA CAPITAL ASSOCIATES	041	DELL	SS	240,000	14.31	n/a	n/a	8,400.00	3.5
<b>f CICILY S BACKUS</b>	<b>FID</b>	<b>DFZ</b>	<b>B</b>	<b>1000</b>	<b>13.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f LBP ENTERPRISES INC</b>	<b>FID</b>	<b>DFZ</b>	<b>B</b>	<b>2000</b>	<b>13.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f LEE F BACKUS</b>	<b>FID</b>	<b>DFZ</b>	<b>B</b>	<b>2000</b>	<b>13.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARK DEVEREAUX KAICH</b>	<b>FID</b>	<b>DFZ</b>	<b>B</b>	<b>1500</b>	<b>12.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f SNEJANA P GARFUNKEL</b>	<b>FID</b>	<b>DFZ</b>	<b>B</b>	<b>1000</b>	<b>13.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RBC CAPITAL MARKETS	040	DRI	S	20,000	48.83	n/a	25-Mar	800.00	4.0
NEW JERSEY DIV OF INV.	030	DTV	S	10,000	46.95	n/a	n/a	400.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>EEM</b>	<b>S</b>	<b>1000</b>	<b>49.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FBR</b>	<b>S</b>	<b>150</b>	<b>16.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BARROW HANLEY MEWHINNEY	031	FDO	S	52,500	51.71	n/a	31-Mar	1,837.50	3.5
ROBX	084	FFIV	S	22,600	96.29	n/a	n/a	904.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>FFIV</b>	<b>B</b>	<b>22,600</b>	<b>96.29</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>FFIV</b>	<b>S</b>	<b>22,600</b>	<b>96.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROYCE TRADING	012	FINL	B	20,000	19.98	n/a	25-Mar	1,000.00	5.0
AVESTA CAP	229	FLR	B	50,000	73.60	n/a	n/a	1,500.00	3.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>FRED</b>	<b>S</b>	<b>500</b>	<b>13.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEWLAND CAPITAL MGT	025	F	S	20,000	15.37	n/a	31-Mar	800.00	4.0
RBC CAPITAL MARKETS	040	GIS	SS	15,000	36.50	n/a	n/a	600.00	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>GMCR</b>	<b>S</b>	<b>80</b>	<b>64.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CIRCLE T/SES PART	033	GM	SS	5,000	31.77	n/a	1-Apr	200.00	4.0
IRIDIAN ASSET MGMT.	036	GM	S	7,150	31.56	n/a	1-Apr	357.50	5.0
PHILADELPHIA FINANCIAL	033	GM	C	30,000	31.38	n/a	1-Apr	1,200.00	4.0
PHILADELPHIA FINANCIAL	033	GM	SS	35,000	31.19	n/a	1-Apr	1,400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GNC</b>	<b>B</b>	<b>1,000</b>	<b>16.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GNC</b>	<b>B</b>	<b>25,000</b>	<b>16.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GNC</b>	<b>B</b>	<b>250,000</b>	<b>16.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GNC</b>	<b>B</b>	<b>75,000</b>	<b>16.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BNP PARIBAS SEC	040	GS	B	3,606	160.58	n/a	28-Mar	180.30	5.0
CAXTON PART	040	GT	B	50,000	15.20	n/a	n/a	1,750.01	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>HAL</b>	<b>S</b>	<b>30,000</b>	<b>49.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROTHSCHILD ASSET	040	HII	S	11,971	41.00	n/a	n/a	478.84	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	DAVID GEORGE BUCK	FID	HSIC	B	2000	70.43	n/a	n/a	0.00	0.0
f	KAREN F LEDDY	FID	HZO	S	700	9.80	n/a	n/a	0.00	0.0
	MA CAPITAL MGMT/MELLON ADV	041	IP	S	2,000	30.66	n/a	17-Mar	100.00	5.0
	SUN AMERICA ASSET MGMT	030	IP	S	62,600	30.33	n/a	17-Mar	2,504.00	4.0
	SCOPUS FUND	041	IR	B	50,000	48.54	n/a	4-Mar	2,000.00	4.0
B	BUCK CAPITAL MGMT		JBHT	S	20,000	45.73	n/a	n/a	non-brg	4.0
	TALPION LLC	040	JCP	B	5,000	35.92	n/a	31-Mar	200.00	4.0
B	BUCK CAPITAL MGMT		JOSB	S	20,000	50.89	n/a	n/a	non-brg	4.0
	CASTLE ARK	031	JOYG	B	57,420	102.47	n/a	3-Mar	2,871.00	5.0
	SCOPUS FUND	041	KFRC	B	20,000	18.30	n/a	n/a	800.00	4.0
	BNP PARIBAS SEC	040	KFT	B	20,257	31.59	n/a	n/a	1,012.85	5.0
	MERRILL ASSET/BLACKROCK	266	LAZ	S	20,000	41.77	n/a	14-Mar	800.00	4.0
B	BUCK CAPITAL MGMT		LEN	B	40,000	18.32	n/a	n/a	non-brg	4.0
	NEW JERSEY DIV OF INV.	030	LGF	S	20,000	6.29	n/a	n/a	800.00	4.0
f	DAVID GEORGE BUCK	FID	LNCR	B	1500	29.66	n/a	n/a	0.00	0.0
	FARALLON CAP MGMT	040	LOGI	B	100,000	14.94	n/a	n/a	4,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
OSS CAPITAL	036	LOGI	B	87,500	15.00	n/a	n/a	2,625.00	3.0
BMO NESBITT BURNS	041	LPX	S	50,000	10.55	n/a	10-Feb	2,500.00	5.0
WEINTRAUB CAPITAL MGT.	040	LPX	S	200,000	10.66	n/a	10-Feb	8,000.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>LVS</b>	<b>S</b>	<b>200</b>	<b>43.90</b>	<b>n/a</b>	<b>1-Apr</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	LXK	B	17,900	35.80	n/a	n/a	716.00	4.0
NEW JERSEY DIV OF INV.	030	MOS	B	10,000	80.55	n/a	n/a	400.00	4.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>MTOR</b>	<b>B</b>	<b>5000</b>	<b>16.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ANN M GAULT</b>	<b>FID</b>	<b>MWA</b>	<b>S</b>	<b>500</b>	<b>4.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>MWA</b>	<b>S</b>	<b>10000</b>	<b>4.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>MWA</b>	<b>S</b>	<b>2000</b>	<b>4.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MA CAPITAL MGMT/MELLON ADV	041	MWV	B	3,000	30.97	1-Apr	1-Apr	150.00	5.0
SCOPUS FUND	041	MWV	B	100,000	31.08	1-Apr	1-Apr	4,000.00	4.0
SF SENTRY	085	MWV	B	15,000	30.95	1-Apr	1-Apr	750.00	5.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>NAV</b>	<b>B</b>	<b>145</b>	<b>70.03</b>	<b>n/a</b>	<b>10-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>NAV</b>	<b>S</b>	<b>145</b>	<b>69.48</b>	<b>n/a</b>	<b>10-Mar</b>	<b>0.00</b>	<b>0.0</b>
SONTERRA CAP	041	NAV	B	20,000	69.25	n/a	10-Mar	800.00	4.0
SMITH MGMT LLC	029	NDN	B	50,000	19.65	n/a	31-Mar	2,500.00	5.0
NEW JERSEY DIV OF INV.	030	NEM	S	20,000	54.34	n/a	n/a	800.00	4.0
SCOPUS FUND	041	NOC	B	75,000	63.21	n/a	23-Mar	3,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	NWY	S	50,000	6.95	n/a	n/a	1,000.00	2.0
BUCK CAPITAL MGMT	001	OXM	S	6,408	34.25	n/a	n/a	192.24	3.0
KENNEDY CAPITAL	046	OXM	S	48,610	34.20	n/a	n/a	1,944.40	4.0
NEWLAND CAPITAL MGT	025	PCAR	S	15,000	53.84	n/a	2-Feb	600.00	4.0
RBC CAPITAL MARKETS	040	PCX	B	35,000	26.40	n/a	n/a	1,400.00	4.0
NEW JERSEY DIV OF INV.	030	PEP	B	20,000	64.90	n/a	n/a	800.00	4.0
BNP PARIBAS SEC	040	PFCB	S	10,000	46.41	n/a	16-Feb	500.00	5.0
SUN AMERICA ASSET MGMT	030	PG	B	3,900	61.98	n/a	21-Mar	156.00	4.0
<b>f</b> CHRISTOPHER ROBERT C	<b>FID</b>	<b>PKG</b>	<b>S</b>	<b>200</b>	<b>29.26</b>	<b>n/a</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	012	PLCE	B	12,500	49.21	n/a	9-Mar	625.00	5.0
SCOPUS FUND	041	PNRA	SS	50,000	127.61	n/a	15-Mar	2,000.00	4.0
RBC CAPITAL MARKETS	040	POT	B	20,000	59.90	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	PVH	S	20,000	65.34	n/a	30-Mar	600.00	3.0
RBC CAPITAL MARKETS	040	PVH	S	900	66.61	n/a	30-Mar	36.00	4.0
EPOCH INV MGMT	030	REC�	B	50,000	15.53	n/a	n/a	1,500.00	3.0
EPOCH INV MGMT	030	RKT	B	50,000	69.70	n/a	n/a	1,500.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>RL</b>	<b>B</b>	<b>75</b>	<b>127.64</b>	<b>n/a</b>	<b>10-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>f RAVI SADARANGANI</b>	<b>FID</b>	<b>RRR</b>	<b>S</b>	<b>2000</b>	<b>14.33</b>	<b>n/a</b>	<b>12-Jan</b>	<b>0.00</b>	<b>0.0</b>
APEX CAPITAL MGMT.	261	RUE	SS	26,000	28.77	n/a	n/a	1,040.00	4.0
WEISS PECK	040	SCVL	S	4,609	28.01	n/a	n/a	230.45	5.0
APEX CAPITAL MGMT.	261	SD	B	173,500	13.23	n/a	n/a	6,940.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SHOO</b>	<b>S</b>	<b>30,000</b>	<b>47.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SLB</b>	<b>S</b>	<b>15,000</b>	<b>94.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SODA</b>	<b>S</b>	<b>20,000</b>	<b>47.18</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
SAXON WOODS ADVISORS	048	SO	S	132,124	38.16	n/a	n/a	5,284.96	4.0
MERRILL ASSET/BLACKROCK	266	SPN	S	2,698	41.36	n/a	n/a	107.92	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>SRI</b>	<b>S</b>	<b>545</b>	<b>14.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>STI</b>	<b>B</b>	<b>1500</b>	<b>29.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET/BLACKROCK	266	TESO	S	2,000	22.12	n/a	n/a	80.00	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>TFM</b>	<b>B</b>	<b>50</b>	<b>37.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUN AMERICA ASSET MGMT	030	TGT	S	6,300	50.55	n/a	31-Mar	252.00	4.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	261	TIVO	B	195,400	8.85	n/a	n/a	5,862.00	3.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>TYC</b>	<b>S</b>	<b>100</b>	<b>45.32</b>	<b>n/a</b>	<b>28-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>UAL</b>	<b>B</b>	<b>40,000</b>	<b>23.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NEW JERSEY DIV OF INV.	030	UPS	B	20,000	74.72	n/a	n/a	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>20,000</b>	<b>29.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FRONTPOINT	266	URI	S	68,193	33.72	n/a	n/a	2,727.72	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>VC</b>	<b>S</b>	<b>300</b>	<b>62.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KAYNE ANDERSON ADV	084	VIVO	S	23,500	24.12	n/a	n/a	940.00	4.0
BNP PARIBAS SEC	040	VRA	SS	7,900	41.79	n/a	n/a	395.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VRTX</b>	<b>B</b>	<b>1000</b>	<b>47.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>VXX</b>	<b>B</b>	<b>5000</b>	<b>28.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BARROW HANLEY MEWHINNEY	031	VZ	S	150,900	38.38	n/a	n/a	5,281.50	3.5
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>V</b>	<b>B</b>	<b>1000</b>	<b>73.73</b>	<b>n/a</b>	<b>7-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WERN</b>	<b>S</b>	<b>30,000</b>	<b>26.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EGS ASSOCIATES LP	091	WMS	B	3,000	35.06	n/a	21-Mar	180.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEW JERSEY DIV OF INV.	030	WMT	B	30,000	52.07	n/a	23-Mar	1,200.00	4.0
BNP PARIBAS SEC	040	WRC	B	16,800	58.47	n/a	1-Mar	840.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>30,000</b>	<b>57.94</b>	<b>n/a</b>	<b>1-Mar</b>	<b>non-brg</b>	<b>4.0</b>
ADAGE CAPITAL MGMT	030	WSM	SS	100,000	41.73	n/a	n/a	3,000.00	3.0
SACC-SCHWARTZ	044	XLI	B	250,000	38.19	n/a	n/a	8,750.00	3.5
<b>f EDWARD W WHEELER</b>	<b>FID</b>		<b>S</b>	<b>100000</b>	<b>76.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN BARTMAN COLE</b>	<b>FID</b>		<b>B</b>	<b>10000</b>	<b>0.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>								<b>138,320.19</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/23	WAGONHOUND/NIC	048	HII	SS	14,776	0.00	n/a	n/a	0.00 0.0
AO,CXL	03/23	WAGONHOUND/NIC	048	HIIWI	S	14,776	0.00	n/a	n/a	591.04 4.0
AO,CRT	03/31	SMITH MGMT LLC	029	NDN	B	50,000	19.62	n/a	31-Mar	2500.00 5.0
AO,CXL	03/31	SMITH MGMT LLC	029	NDN	B	50,000	19.62	n/a	31-Mar	0.00 0.0
AO,CRT	03/31	SCOPUS FUND	041	UPS	SS	15,000	74.40	n/a	n/a	600.00 4.0
AO,CXL	03/31	SCOPUS FUND	041	UPS	SS	15,000	74.40	n/a	n/a	600.00 4.0

**TOTAL (AO, CXL, CRT) :****1,908.96**

TOTAL (Trade Date) :

138,320.19

TOTAL (AO, CXL, CRT) :

1,908.96

**TOTAL (RUN DATE) / NFS :****140,229.15**

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
CXL	03/30	BUCK CAPITAL MGMT.	ASCA	B	10,000	17.29	n/a 13-Jan	0.00
CRT	03/30	BUCK CAPITAL MGMT	ASCA	B	10,000	17.29	n/a 13-Jan	100.00 1.0

MRI Securities with Trade Activity on 1-Apr.

MWV

MRI Securities without Trade Activity on 1-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 6%

YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)