



Daily Compliance Activity Report

Trade Date: 06/12/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KEEFE BRUYETTE WOODS A	031	AB	S	6,200	90.06	11-Jun	15-Jun	310.00	5.0
DSM CAPITAL PARTNERS	032	ADP	B	13,400	48.45	n/a	n/a	804.00	6.0
BUCK CAPITAL MGMT	001	AEO	B	150,000	26.10	n/a	12-Jun	6,000.00	4.0
ß BUCK CAPITAL MGMT		AEO	B	50,000	26.14	n/a	12-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		AEO	B	50,000	26.23	n/a	12-Jun	non-brg	5.0
HARVEST MGMT	039	AEO	B	45,000	26.15	n/a	12-Jun	2,250.00	5.0
LISTED ERR	099	AEO	B	5,000	25.98	n/a	12-Jun	0.00	0.0
LISTED ERR	099	AEO	SS	5,000	25.89	n/a	12-Jun	0.00	0.0
MERRILL ASSET	032	AMG	B	79,500	126.64	25-Apr	7-Jun	3,975.00	5.0
ß BUCK CAPITAL MGMT		ANF	C	10,000	77.88	n/a	8-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		ANF	C	34,800	78.14	n/a	8-Jun	non-brg	5.0
LEVIN CAPITAL STRATEGIES	012	ARO	SS	5,000	43.46	n/a	8-Jun	200.00	4.0
SASCO	038	ASD	B	55,900	57.70	31-May	31-May	2,795.00	5.0
GOLDMAN SACHS ASSET MGMT	044	ATVI	B	210,000	18.56	n/a	n/a	3,150.00	1.5
ß BUCK CAPITAL MGMT		BBY	S	30,000	47.56	1-May	11-May	non-brg	5.0
WHITE PINE CAPITAL	030	BDSB	B	350	10.00	n/a	n/a	17.50	5.0
Ö Glenn Redemann		BIDU	??	7	0.10	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ö	Glenn Redemann		BIDU	??	7	0.15	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	BID	S	10,000	45.60	n/a	n/a	400.00	4.0
	FARALLON CAP MGMT	040	BIG	SS	165,000	30.07	9-Mar	7-Jun	6,600.00	4.0
	WHITE PINE CAPITAL	030	CBK	B	200	18.36	7-Jun	8-Jun	10.00	5.0
	GAULT	063	CCUR	S	10,000	1.81	n/a	n/a	0.00	0.0
	DEMARTINI	065	CCUR	S	5,000	1.82	n/a	n/a	0.00	0.0
	LEDDY	035	CC	S	800	16.83	1-May	1-May	0.00	0.0
ß	BUCK CAPITAL MGMT		CHIC	B	5,000	27.94	n/a	n/a	non-brg	5.0
	HIGHBRIDGE CAPITAL	038	CMLS	SS	140,000	9.34	n/a	n/a	7,000.00	5.0
ß	BUCK CAPITAL MGMT		CMRG	S	50,000	11.00	n/a	n/a	non-brg	5.0
	SAILFISH	035	CMZ	B	9,700	11.66	n/a	n/a	485.00	5.0
	BUCK CAPITAL MGMT	001	COH	B	5,000	47.54	23-Feb	25-Apr	200.00	4.0
	MAZAMA CAPITAL MGMT.	035	CPX	S	51,000	26.97	n/a	n/a	2,040.00	4.0
	WHEELER	008	CRAY	B	10,000	7.16	n/a	n/a	0.00	0.0
	PAKLER	N22	CROX	B	31	92.31	n/a	n/a	0.00	0.0
	WHITE PINE CAPITAL	030	CYBI	B	625	6.67	n/a	n/a	31.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAILFISH	035	C	S	20,000	53.23	11-Jan	14-Jun	1,000.00	5.0
ß BUCK CAPITAL MGMT		DBRN	S	30,000	21.09	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DBRN	S	40,000	21.23	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		DB	B	4	0.65	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DB	B	4	1.11	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DB	SS	4	1.15	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DB	SS	4	2.06	n/a	n/a	0.00	0.0
HIGHFIELDS CAP MGMT	039	DF	B	100,000	31.02	n/a	n/a	5,000.00	5.0
HIGHBRIDGE CAPITAL	038	DIS	SS	50,000	33.88	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	52.62	n/a	n/a	200.00	4.0
WHITE PINE CAPITAL	030	DTLK	B	900	6.64	n/a	n/a	45.00	5.0
ß BUCK CAPITAL MGMT		EDA	S	50,000	12.76	n/a	n/a	non-brg	5.0
FARALLON CAP MGMT	040	FDX	B	40,000	107.23	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	GCO	S	10,000	48.93	n/a	10-Jan	400.00	4.0
DSM CAPITAL PARTNERS	032	GENZ	B	16,500	65.64	n/a	n/a	990.00	6.0
ß BUCK CAPITAL MGMT		GIII	B	200	17.89	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIII	B	300	17.88	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIII	B	5,000	17.65	n/a	n/a	non-brg	1.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		GIIL	B	5,000	17.86	n/a	n/a	non-brg 5.0
	PAKLER	N22	GIL	S	80	34.68	1-Jun	1-Jun	0.00 0.0
	SANDLER CAPITAL	038	GRP	B	200,000	54.41	n/a	n/a	10,000.00 5.0
ß	BUCK CAPITAL MGMT		GYMB	B	5,000	41.73	4-Jan	4-Jun	non-brg 5.0
	MHR CAPITAL MGMT	067	HPOL	B	16,900	5.39	n/a	n/a	676.00 4.0
	SUN AMERICA ASSET MGMT	030	IDA	S	87,000	31.48	n/a	n/a	4,350.00 5.0
Õ	Glenn Redemann		INSP	B	4	0.12	n/a	n/a	0.00 0.0
Õ	Glenn Redemann		INSP	B	4	0.22	n/a	n/a	0.00 0.0
Õ	Glenn Redemann		INSP	SS	4	0.91	n/a	n/a	0.00 0.0
Õ	Glenn Redemann		INSP	SS	4	1.03	n/a	n/a	0.00 0.0
	RAINIER INV MGMT	035	IO	B	55,000	15.70	n/a	n/a	2,750.00 5.0
	PROSPECTOR PARTNERS LLC	036	IP	B	10,000	37.50	4-May	14-Jun	500.00 5.0
	SUTTONBROOK CAPITAL	044	IP	B	100,000	37.06	4-May	14-Jun	4,000.00 4.0
	DSM CAPITAL PARTNERS	032	ISRG	B	1,550	138.19	n/a	n/a	93.00 6.0
	KERN	050	ITCD	S	500	5.71	n/a	n/a	0.00 0.0
	BARR	096	ITCD	S	500	5.71	n/a	n/a	0.00 0.0
	LED DY	035	IVZ	S	900	23.27	26-Apr	14-Jun	0.00 0.0
	GRUSS CAPITAL	040	IWM	SS	5,000	81.85	n/a	n/a	200.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WALKER SMITH	031	IWM	SS	110,000	82.06	n/a	n/a	5,500.00	5.0
PIVOT CAP	034	JAS	S	17,745	30.53	n/a	n/a	887.25	5.0
Õ Glenn Redemann		JCG	B	2	0.58	6-Jun	6-Jun	0.00	0.0
Õ Glenn Redemann		JCG	B	2	0.72	6-Jun	6-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	B	5,000	76.85	20-Apr	8-Jun	200.00	4.0
Õ Glenn Redemann		JCP	B	1	0.70	20-Apr	8-Jun	0.00	0.0
Õ Glenn Redemann		JCP	B	1	1.35	20-Apr	8-Jun	0.00	0.0
THE JAY GOLDMAN	034	JCP	SS	10,000	76.71	20-Apr	8-Jun	400.00	4.0
WHITE PINE CAPITAL	030	JCS	B	400	10.32	n/a	n/a	20.00	5.0
ß BUCK CAPITAL MGMT		JOSB	S	120,000	44.84	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	KSS	B	5,000	70.79	n/a	14-Jun	200.00	4.0
GAULT	063	LESFO	S	20	2.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LTM	B	20,000	50.30	n/a	n/a	non-brg	5.0
CAPITAL GROWTH MGMT.	241	MER	S	63,200	88.96	20-Apr	14-Jun	3,160.00	5.0
SANDLER O'NEILL ASSET	031	MS	B	18,300	88.89	22-Mar	14-Jun	915.00	5.0
BUCK CAPITAL MGMT	001	M	S	15,000	38.90	17-May	8-Jun	600.00	4.0
ß BUCK CAPITAL MGMT		M	S	30,000	39.01	17-May	8-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		M	S	85,000	38.97	17-May	8-Jun	non-brg	5.0
GAULT	063	NEXC	S	1,000	12.25	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	NGS	S	46,500	19.14	n/a	n/a	1,860.00	4.0
⌘ BUCK CAPITAL MGMT		OIH	C	30,000	168.98	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	OXM	S	20,000	43.71	n/a	n/a	800.00	4.0
MAZAMA CAPITAL MGMT.	035	PJC	B	13,500	61.21	n/a	n/a	540.00	4.0
⌘ BUCK CAPITAL MGMT		PLCE	S	10,000	52.58	12-Apr	8-Jun	non-brg	5.0
WHEELER	008	PMD	B	2,000	19.20	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		PSS	S	30,000	32.66	n/a	n/a	non-brg	4.0
STANDARD PACIFIC CAPITAL	040	PSS	B	60,000	32.51	n/a	n/a	3,000.00	5.0
WHEELER	008	QXWHW	B	100	8.00	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	RDEN	B	6,000	22.96	4-May	4-May	240.00	4.0
STADIA CAPITAL	035	RL	S	10,000	94.71	2-Feb	31-May	400.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	100,000	17.25	n/a	n/a	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		RVI	S	14,300	17.95	n/a	n/a	non-brg	1.0
PRIME LOGIC ADV	039	SBUX	B	30,000	27.73	24-Apr	24-Apr	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		SHOO	S	2,300	30.84	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		SHOO	S	21,159	30.88	n/a	n/a	non-brg	0.4
⌘ BUCK CAPITAL MGMT		SHOO	S	62,000	30.81	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	SKX	B	15,000	30.05	n/a	13-Mar	600.00	4.0
COUGAR TRADING	044	SKX	S	5,000	30.13	n/a	13-Mar	250.00	5.0
GALLEON MGMT LP	033	SPY	SS	15,000	150.50	n/a	n/a	750.00	5.0
KARSCH CAPITAL	034	SPY	SS	105,000	150.51	n/a	n/a	3,150.00	3.0
PEREGRINE	030	SSI	B	100,000	20.09	25-May	12-Jun	5,000.00	5.0
DSM CAPITAL PARTNERS	032	SYK	B	8,700	64.66	n/a	n/a	522.00	6.0
BUCK CAPITAL MGMT	001	TGT	S	20,000	62.67	n/a	7-Jun	800.00	4.0
COUGAR TRADING	044	TIF	SS	5,000	49.16	1-Jun	13-Jun	250.00	5.0
MAGUIRE	039	TTM	B	500	16.07	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		URBN	B	40,000	25.24	2-Apr	11-May	non-brg	5.0
HIGHBRIDGE CAPITAL	038	URS	SS	2,000	48.45	n/a	n/a	100.00	5.0
APEX CAPITAL MGMT.	091	VRSN	B	10,600	28.99	n/a	n/a	530.00	5.0
MAZAMA CAPITAL MGMT.	035	WDR	B	34,500	25.24	5-Mar	23-May	1,380.00	4.0
MORGAN STANLEY ASSET MGT	031	WFT	B	19,000	53.38	n/a	n/a	760.00	4.0
B BUCK CAPITAL MGMT		ZQK	S	20,000	14.07	9-Mar	11-Jun	non-brg	5.0

TOTAL (Trade Date):

108,886.00

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/11	LISTED ERR	099	ASD	S	25,000	58.19	31-May	31-May	0.00 0.0
AO,CRT	06/11	MKT MAKER	099	WFMI	B	300	39.11	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT):										0.00

TOTAL (Trade Date):	108,886.00
TOTAL (AO, CXL, CRT):	0.00

TOTAL (RUN DATE) / NFS:	108,886.00
-------------------------	------------

MRI Securities with Trade Activity on 12-Jun.
NONE

MRI Securities without Trade Activity on 12-Jun.
HRS, LEH

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 27%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION