POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
SCOPUS FUND	041	ANF	S	35,000	44.88	n/a	17-Nov	1,400.00	4.0
SENATOR INVESTMENT GROUP	036	AOS	B	54,900	35.50	n/a	21-Oct	1,647.00	3.0
KACELA CAPITAL	034	DHI	B	44,900	11.01	n/a	n/a	1,796.00	4.0
SCOPUS FUND	041	HON	S	36,556	49.51	n/a	7-Nov	1,462.24	4.0
MFP INVESTORS	085	JNS	B	300	5.80	n/a	n/a	12.00	4.0
KACELA CAPITAL	034	KSS	B	10,100	52.15	n/a	11-Nov	404.00	4.0
KACELA CAPITAL	034	LEN	B	38,400	16.71	n/a	n/a	1,536.00	4.0
SONTERRA CAP	041	OSK	B	20,000	18.19	n/a	n/a	800.00	4.0
STANDARD PACIFIC CAPITAL	084	PG	B	106,451	61.48	n/a	16-Nov	5,322.55	5.0
KACELA CAPITAL	034	SAP	SS	22,000	55.38	n/a	n/a	880.00	4.0
SCOPUS FUND	041	TGT	S	36,750	51.52	n/a	17-Nov	1,470.00	4.0
KACELA CAPITAL	034	URBN	S	84,198	25.18	n/a	n/a	3,367.92	4.0
KACELA CAPITAL	034	VMC	S	49,200	29.43	n/a	n/a	1,968.00	4.0
KACELA CAPITAL	034	WSM	SS	26,200	35.00	n/a	n/a	1,048.00	4.0

TOTAL (Trade Date):   23,113.71

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT):									**0.00**

TOTAL (Trade Date):									23,113.71
TOTAL (AO, CXL, CRT):									0.00
TOTAL (RUN DATE) / NFS:									**23,113.71**

Legend:
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION