



# Daily Compliance Activity Report

Trade Date: 03/06/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	S	10,000	83.72	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ABI BB	SS	25,000	24.00	n/a	n/a	non-brg	1.2
TOKIO MARINE ASSET MGMT	045	ACGL	S	6,600	48.06	n/a	n/a	264.00	4.0
f MANNY I KORMAN	FID	ADM	S	3000	25.98	5-Jan	6-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	AEO	B	70,000	8.84	n/a	6-Mar	2,100.00	3.0
WHITE PINE CAPITAL	030	AMERICAN INTL GROUP	S	100,000	45.00		n/a	n/a	500.00 0.0
B BUCK CAPITAL MGMT		ANF	C	50,000	18.42	n/a	6-Feb	non-brg	4.0
BUCK CAPITAL MGMT	001	ARO	S	30,000	22.94	n/a	6-Mar	1,200.00	4.0
B BUCK CAPITAL MGMT		BBBY	SS	50,000	19.51	n/a	n/a	non-brg	2.0
f MANNY I KORMAN	FID	BBY	S	3000	24.96	n/a	27-Jul	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	BGU	S	800	14.43	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGU	S	8000	14.79	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BHQ+PW[???	SS	1,000	0.77	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	BKE	S	100,903	22.63	n/a	n/a	4,036.12	4.0
BUCK CAPITAL MGMT	001	BKS	SS	20,000	15.33	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BMO NESBITT BURNS	041	BK	B	25,000	19.53	21-Jan	26-Feb	1,250.00	5.0
FORD FDN.	032	BK	S	85,000	18.36	21-Jan	26-Feb	4,250.00	5.0
SANDLER O'NEILL ASSET	031	BK	S	50,000	17.50	21-Jan	26-Feb	2,500.00	5.0
EATON VANCE MGMT	039	BSX	B	33,995	6.41	n/a	22-Apr	679.90	2.0
BMO NESBITT BURNS	041	BWA	B	25,000	15.04	27-Jan	13-Feb	1,250.00	5.0
LITESPEED	028	BWA	B	207,800	15.13	27-Jan	13-Feb	6,234.00	3.0
DAVIDSON KEMPNER ADVISOR	040	CAT	SS	50,000	23.11	n/a	27-Jan	2,500.00	5.0
JET CAPITAL/CHRIS	041	CAT	C	30,000	23.46	n/a	27-Jan	900.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>CECO</b>	<b>SS</b>	<b>70,000</b>	<b>20.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
IRIDIAN ASSET MGMT.	036	CHRW	C	18,000	38.57	n/a	n/a	900.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>COCO</b>	<b>SS</b>	<b>70,000</b>	<b>16.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f PEARL LUCHANSKY</b>	<b>FID</b>	<b>COF</b>	<b>S</b>	<b>794</b>	<b>8.14</b>	<b>n/a</b>	<b>6-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>B</b>	<b>140,000</b>	<b>11.76</b>	<b>9-Jan</b>	<b>22-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>B</b>	<b>200,000</b>	<b>11.77</b>	<b>9-Jan</b>	<b>22-Jan</b>	<b>non-brg</b>	<b>4.0</b>
AMBER CAPITAL	046	CX	B	122,100	4.42	n/a	n/a	4,884.00	4.0
<b>f WILLIAM RAYMOND KATZ</b>	<b>FID</b>	<b>C</b>	<b>B</b>	<b>2500</b>	<b>1.05</b>	<b>n/a</b>	<b>27-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DAI</b>	<b>SS</b>	<b>140,000</b>	<b>23.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DAI</b>	<b>SS</b>	<b>50,000</b>	<b>23.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>20,000</b>	<b>39.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HILLTOP PARK ASSOC	041	DELL	S	100,000	8.30	n/a	n/a	4,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DSW</b>	<b>SS</b>	<b>50,000</b>	<b>6.96</b>	<b>14-Nov</b>	<b>13-Feb</b>	<b>non-brg</b>	<b>3.0</b>
COUGAR TRADING	044	DSW	B	5,000	7.10	14-Nov	13-Feb	200.00	4.0
JAT CAPITAL MANAGEMENT	041	ERIC	S	126,400	7.62	n/a	n/a	3,792.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>FDO+PY[???</b>	<b>SS</b>	<b>1,000</b>	<b>1.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
BARROW HANLEY MEWHINNEY	031	FDO	S	105,000	29.92	5-Mar	6-Mar	4,200.00	4.0
CSFB GLOBAL PROPRIETARY	041	FOR	B	5,000	5.99	12-Feb	12-Feb	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>B</b>	<b>20,000</b>	<b>11.68</b>	<b>23-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>B</b>	<b>20,000</b>	<b>11.98</b>	<b>23-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>S</b>	<b>60,000</b>	<b>13.30</b>	<b>n/a</b>	<b>10-Jan</b>	<b>2,400.00</b>	<b>4.0</b>
TOKIO MARINE ASSET MGMT	045	GENZ	B	10,000	51.99	n/a	n/a	400.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>S</b>	<b>100,000</b>	<b>13.09</b>	<b>n/a</b>	<b>n/a</b>	<b>4,000.00</b>	<b>4.0</b>
SCOPUS FUND	041	GES	S	5,000	13.04	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	GNTX	C	20,000	7.23	30-Jan	30-Jan	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GNTX</b>	<b>SS</b>	<b>50,000</b>	<b>7.32</b>	<b>30-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>3.0</b>
EOS PARTNERS	045	GPI	SS	3,100	8.69	n/a	20-Feb	124.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	GPS	B	25,000	9.82	n/a	30-Jan	1,250.00	5.0
SLS CAPITAL LLC	224	GPS	S	255,520	9.60	n/a	30-Jan	7,665.60	3.0
⚡ BUCK CAPITAL MGMT		GQU+EW[???	SS	1,000	1.11	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		GYMB	B	40,000	15.44	5-Mar	5-Mar	non-brg	4.0
WAGONHOUND/NIC	048	GYMB	B	4,853	15.69	5-Mar	5-Mar	194.12	4.0
⚡ BUCK CAPITAL MGMT		HD	SS	50,000	17.60	n/a	25-Feb	non-brg	4.0
HOLDEN CAPITAL MGMT	035	HMC	SS	30,000	21.31	n/a	n/a	1,500.00	5.0
⚡ BUCK CAPITAL MGMT		HOG	C	50,000	8.91	n/a	n/a	non-brg	3.0
f JOHN MICHAEL ZOLIDIS	FID	HOTT	S	2000	8.26	n/a	30-Jan	0.00	0.0
f JONATHAN RICHARD HAR	FID	HYG	B	16	62.28	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	031	IBM	S	24,000	85.00	n/a	n/a	960.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	100,000	14.41	6-Feb	6-Mar	4,000.00	4.0
⚡ BUCK CAPITAL MGMT		JOSB	B	20,000	20.14	n/a	n/a	non-brg	2.5
DIAMONDBACK CAP MGMT	232	JPM	S	45,000	17.18	16-Jan	27-Feb	2,250.00	5.0
⚡ BUCK CAPITAL MGMT		KSS+DH[???	SS	1,000	0.75	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		KSS	S	120,000	34.20	n/a	6-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAPITAL	038	LPX	B	4,500	1.19	3-Mar	4-Mar	90.00	2.0
NEUBERGER BERMAN	036	MAA	S	21,200	22.77	n/a	n/a	954.00	4.5
⚡ BUCK CAPITAL MGMT		MAR	SS	50,000	12.25	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	C	100,000	51.75	13-Oct	3-Mar	non-brg	4.0
FIRST NEW YORK LEDDY	035	MCD	B	10,000	51.50	13-Oct	3-Mar	600.00	6.0
SCHOTTENFELD ASSOC	041	MCD	B	25,000	51.50	13-Oct	3-Mar	1,000.00	4.0
DIAMONDBACK CAP MGMT	232	MS	S	100,000	18.96	18-Dec	26-Feb	5,000.00	5.0
⚡ BUCK CAPITAL MGMT		M	B	80,000	6.97	n/a	6-Mar	non-brg	3.0
COUGAR TRADING	044	M	B	25,000	6.87	n/a	6-Mar	1,000.00	4.0
COUGAR TRADING	044	M	S	15,000	7.28	n/a	6-Mar	600.00	4.0
PERMIAN/BAYPIONT	028	NFLX	SS	8,000	37.41	n/a	n/a	320.00	4.0
BUCK CAPITAL MGMT	001	NKE	B	20,000	39.65	n/a	n/a	800.00	4.0
⚡ BUCK CAPITAL MGMT		NKE	B	20,000	39.84	n/a	n/a	non-brg	1.0
BMO NESBITT BURNS	041	NTRS	S	10,000	49.14	14-Jan	26-Feb	500.00	5.0
MATRIX ASSET ADV.	036	OMC	S	15,000	22.06	n/a	n/a	600.00	4.0
TOKIO MARINE ASSET MGMT	045	OXY	S	37,100	51.01	n/a	n/a	1,484.00	4.0
⚡ BUCK CAPITAL MGMT		PERY	B	20,000	3.94	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		PLCE	B	70,000	20.10	6-Jan	6-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		PVH+DC[???	SS	2,000	1.11	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	PVH	B	5,000	14.51	22-Jan	22-Jan	250.00	5.0
KLEINHEINZ CAP PART	031	QCOM	S	75,000	33.05	n/a	n/a	3,750.00	5.0
⚡ BUCK CAPITAL MGMT	001	RL	SS	20,000	32.19	n/a	5-Feb	800.00	4.0
f MANNY I KORMAN	FID	RL	S	3000	32.59	n/a	5-Feb	0.00	0.0
f JOEL GIFFORD TISS	FID	ROH	S	100	63.58	n/a	11-Sep	0.00	0.0
f MANNY I KORMAN	FID	ROST	S	3000	29.24	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SAB LN	SS	25,000	13.09	n/a	n/a	non-brg	0.7
EATON VANCE MGMT	039	SGP	B	46,203	16.46	n/a	n/a	1,386.09	3.0
⚡ BUCK CAPITAL MGMT	001	SKX	B	79,200	5.55	n/a	13-Mar	2,376.00	3.0
⚡ BUCK CAPITAL MGMT		SKX	B	100,000	5.55	n/a	13-Mar	non-brg	3.0
⚡ BUCK CAPITAL MGMT		SKX	B	143,000	5.70	n/a	13-Mar	non-brg	1.0
⚡ BUCK CAPITAL MGMT		SKX	B	54,200	5.57	n/a	13-Mar	non-brg	1.5
IRIDIAN ASSET MGMT.	036	SONC	C	90,000	6.68	n/a	n/a	4,500.00	5.0
SANDELL ADV	040	SPY	B	65,000	68.13	n/a	n/a	2,600.00	4.0
⚡ BUCK CAPITAL MGMT		SUMR	B	1,400	1.48	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		SUMR	B	216,253	1.25	n/a	n/a	non-brg	1.5
ELM RIDGE ADVISORS	044	TIN	B	312,500	2.73	5-Dec	28-Jan	9,375.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HOLDEN CAPITAL MGMT	035	TM	SS	5,000	57.70	n/a	n/a	250.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>TM</b>	<b>S</b>	<b>300</b>	<b>58.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>TM</b>	<b>B</b>	<b>5,000</b>	<b>57.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>TM</b>	<b>S</b>	<b>5,000</b>	<b>57.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TPX</b>	<b>S</b>	<b>64,700</b>	<b>4.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
HILLTOP PARK ASSOC	041	TSM	S	100,000	8.24	n/a	n/a	4,000.00	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>TZA</b>	<b>B</b>	<b>10</b>	<b>109.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>7,033</b>	<b>14.10</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>20,000</b>	<b>14.14</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	237	URBN	SS	50,000	14.15	n/a	30-Jan	2,500.00	5.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>UYG</b>	<b>B</b>	<b>14000</b>	<b>1.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>VNO</b>	<b>S</b>	<b>20,000</b>	<b>27.83</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
MAIRS AND POWER INV	030	WFC	B	10,000	8.82	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WMT</b>	<b>B</b>	<b>70,000</b>	<b>49.60</b>	<b>10-Oct</b>	<b>6-Mar</b>	<b>non-brg</b>	<b>4.0</b>
SYSTEMATIC FINANCIAL MGT	035	WMT	B	88,800	49.28	10-Oct	6-Mar	3,108.00	3.5
CASTLEROCK	040	WRC	B	56,762	18.05	27-Feb	27-Feb	2,270.48	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WSM</b>	<b>SS</b>	<b>100,000</b>	<b>7.47</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
WELLS/STRONG LEDDY	035	WTSLA	B	4,400	2.33	n/a	n/a	88.00	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDELL ADV	040	XLU	B	150,000	22.90	n/a	n/a	6,000.00	4.0
Ⓕ BUCK CAPITAL MGMT		XOM	C	52,100	62.44	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		XRT	C	400,000	18.78	n/a	n/a	non-brg	1.0
Ⓕ BUCK CAPITAL MGMT		YUM	C	30,000	24.39	n/a	5-Feb	non-brg	4.0
TOTAL (Trade Date):							129,985.31		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/05	JET CAPITAL/CHRIS	041	CAT	B	65,000	23.57	n/a	27-Jan	1950.00 3.0
AO,	03/05	JET CAPITAL/CHRIS	041	CAT	SS	40,000	23.83	n/a	27-Jan	1200.00 3.0
AO,CXL	03/05	SANDELL ADV	040	KFT	B	40,000	21.55	n/a	n/a	2400.00 6.0
AO,CRT	03/05	SANDLER CAPITAL	038	KFT	B	40,000	21.55	n/a	n/a	2400.00 6.0
AO,CRT	02/26	LISTED ERR	099	WMT	B	50,000	49.05	10-Oct	6-Mar	0.00 0.0
AO,	02/26	LISTED ERR	099	WMT	S	50,000	49.05	10-Oct	6-Mar	0.00 0.0
AO,CRT	02/26	LEVIN CAPITAL STRATEGIES	012	WMT	S	50,000	49.05	10-Oct	6-Mar	2000.00 4.0
AO,CXL	02/26	LEVIN CAPITAL STRATEGIES	012	WMT	S	50,000	49.05	10-Oct	6-Mar	2000.00 4.0
AO,	03/05	JET CAPITAL/CHRIS	041	WTR	SS	50,000	18.38	n/a	n/a	1500.00 3.0

**TOTAL (AO, CXL, CRT) :****4,650.00**

TOTAL (Trade Date):

129,985.31

TOTAL (AO, CXL, CRT):

4,650.00

**TOTAL (RUN DATE) / NFS:****134,635.31**

MRI Securities with Trade Activity on 6-Mar.  
NONE

MRI Securities without Trade Activity on 6-Mar.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 19%  
YTD = 25%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**

**f: Employee Trades (Fidelity)**