



Daily Compliance Activity Report

Trade Date: 01/18/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 2

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AAPL	B	200	163.97	n/a	n/a	10.00	5.0
PATTEN & PATTEN	067	ABX	B	1,000	47.44	n/a	n/a	50.00	5.0
BALYASNY ASSET MGMT	044	AB	B	25,000	59.47	11-Jan	15-Jan	1,250.00	5.0
CENTURION GLOBAL MGMT LL	041	ADBE	B	24,800	35.22	n/a	n/a	744.00	3.0
PATTEN & PATTEN	067	ADBE	B	700	35.35	n/a	n/a	35.00	5.0
GALLEON MGMT LP	033	ADM	B	10,000	40.02	2-Jan	2-Jan	500.00	5.0
CENTURION GLOBAL MGMT LL	041	AEO	B	62,400	19.64	11-Jan	11-Jan	1,872.00	3.0
CENTURION GLOBAL MGMT LL	041	AEO	S	63,600	19.72	11-Jan	11-Jan	1,908.00	3.0
SARAS CAPITAL	038	AEO	B	55,000	19.61	11-Jan	11-Jan	2,750.00	5.0
LUCHANSKY2	036	AIZ	S	200	63.71	n/a	n/a	0.00	0.0
DALTON GREINER	012	AMG	B	19,400	89.44	9-Jan	17-Jan	970.00	5.0
ARIENCE CAPITAL	036	ANF	SS	9,000	71.37	10-Jan	11-Jan	450.00	5.0
CENTURION GLOBAL MGMT LL	041	ANF	B	7,200	72.48	10-Jan	11-Jan	216.00	3.0
CENTURION GLOBAL MGMT LL	041	ANF	S	7,200	71.51	10-Jan	11-Jan	216.00	3.0
COUGAR TRADING	044	ANF	B	10,000	70.99	10-Jan	11-Jan	500.00	5.0
COUGAR TRADING	044	ANF	SS	10,000	71.96	10-Jan	11-Jan	500.00	5.0
CENTURION GLOBAL MGMT LL	041	ATVI	B	12,800	27.21	n/a	n/a	384.00	3.0
CENTURION GLOBAL MGMT LL	041	ATVI	S	21,000	26.66	n/a	n/a	630.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	AU	S	1,000	43.99	n/a	n/a	50.00	5.0
CENTURION GLOBAL MGMT LL	041	AXP	B	15,700	43.00	n/a	n/a	471.00	3.0
BALYASNY ASSET MGMT	044	BEN	B	10,000	89.31	25-Sep	15-Jan	500.00	5.0
KERN	050	BHI	S	250	69.41	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	BIDU	B	1,800	263.40	n/a	n/a	54.00	3.0
JP MORGAN VENTURES	046	BLK	SS	40,000	202.01	21-Nov	17-Jan	2,000.00	5.0
KAICHER	064	BONT	S	1,000	5.40	4-Jan	11-Jan	0.00	0.0
PATTEN & PATTEN	067	BRKB	B		54,419.95	n/a	n/a	0.25	5.0
NATIONAL BK OF CAN	031	BSC	S	10,000	74.17	21-Dec	14-Jan	400.00	4.0
BARR	096	CAMD	S	3,000	3.89	n/a	n/a	0.00	0.0
OPTIMUM INV ADV	030	CBI	S	7,400	46.34	n/a	n/a	296.00	4.0
WHEELER	008	CCC	S	4,000	15.03	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	CEC	B	10,000	20.45	n/a	n/a	500.00	5.0
WHEELER	008	CHP	S	10,000	5.43	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	CIEN	B	19,419	26.71	n/a	22-Mar	582.57	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	CLMS	B	2,500	21.75	15-Jan	15-Jan	125.00	5.0
BULL PATH CAPITAL	044	CNC	SS	12,500	27.00	n/a	n/a	625.00	5.0
BARR	096	COF	SS	1,000	41.32	n/a	n/a	0.00	0.0
OPTIMUM INV ADV	030	COGN	S	10,000	57.56	n/a	n/a	400.00	4.0
PATTEN & PATTEN	067	CSD	S	550	23.13	n/a	n/a	27.50	5.0
SCOPUS FUND	041	CTB	S	40,142	15.17	n/a	n/a	2,007.10	5.0
CENTURION GLOBAL MGMT LL	041	CTRP	B	17,900	48.24	n/a	n/a	537.00	3.0
CENTURION GLOBAL MGMT LL	041	CTRP	S	8,000	47.74	n/a	n/a	240.00	3.0
CENTURION GLOBAL MGMT LL	041	CTXS	B	10,000	34.14	n/a	n/a	300.00	3.0
CIBC ADV.	060	C	SS	50,000	24.65	16-Jan	16-Jan	2,000.00	4.0
BUCK	015	DD	B	1,000	42.95	n/a	9-Jan	0.00	0.0
CENTURION GLOBAL MGMT LL	041	DRIV	B	5,100	35.16	n/a	n/a	153.00	3.0
ROSENBERG	034	DUG	B	100	45.84	n/a	n/a	0.00	0.0
GAULT	063	DUG	B	200	45.83	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	ERTS	S	6,800	50.62	n/a	n/a	204.00	3.0
PATTEN & PATTEN	067	EWG	S	1,000	32.89	n/a	n/a	50.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	EWZ	B	500	71.75	n/a	n/a	25.00	5.0
PILOT ADV	038	FAST	B	7,000	33.81	n/a	n/a	280.00	4.0
LEDDY	035	FIG	B	1,200	11.62	n/a	n/a	0.00	0.0
KEEFE BRUYETTE WOODS A	031	FII	SS	18,000	40.66	n/a	17-Jan	900.00	5.0
CENTURION GLOBAL MGMT LL	041	FMCN	B	10,850	46.91	n/a	n/a	325.50	3.0
LUCHANSKY2	036	FNM	S	100	32.11	n/a	n/a	0.00	0.0
IRONBOUND CAPITAL	012	FOR	B	21,533	19.58	n/a	n/a	861.32	4.0
BARR	096	FXP	B	1,600	88.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	10,000	34.03	n/a	n/a	400.00	4.0
N STRATTON	014	GF	S	99	14.87	n/a	n/a	0.00	0.0
WHEELER	008	GHM	S	1,750	38.04	n/a	n/a	0.00	0.0
SARAS CAPITAL	038	GIL	B	25,000	33.89	5-Nov	11-Dec	1,250.00	5.0
LEVIN CAPITAL STRATEGIES	012	GM	C	7,700	23.03	3-Jan	18-Jan	308.00	4.0
TRELLUS PARTNERS LP	028	GM	SS	50,000	23.51	3-Jan	18-Jan	2,500.00	5.0
BALYASNY ASSET MGMT	044	GNTX	B	20,000	15.01	n/a	17-Jan	1,000.00	5.0
NATIONAL BK OF CAN	031	GS	S	5,000	191.79	21-Sep	14-Jan	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	HBI	B	30,000	22.63	n/a	n/a	1,200.00	4.0
MERRILL LYNCH STRATEGICI	034	HD	SS	25,000	27.15	n/a	27-Jul	1,000.00	4.0
CENTURION GLOBAL MGMT LL	041	HPQ	B	19,900	43.97	n/a	n/a	597.00	3.0
CENTURION GLOBAL MGMT LL	041	HPQ	S	19,800	43.88	n/a	n/a	594.00	3.0
CASTLE ARK	031	ICE	B	43,090	136.73	n/a	n/a	2,585.40	6.0
OPTIMUM INV ADV	030	IDC	S	10,000	29.11	n/a	n/a	400.00	4.0
LUCHANSKY1	036	IGT	S	1,000	38.15	n/a	18-Jan	0.00	0.0
MKT MAKER	099	INTC	B	100	19.00	n/a	n/a	0.00	0.0
BARR	096	IOM	S	5,000	2.54	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	ITT	B	300	57.20	n/a	13-Nov	15.00	5.0
BALYASNY ASSET MGMT	044	IVZ	B	25,000	24.49	20-Dec	17-Jan	1,250.00	5.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	39.94	28-Nov	18-Jan	400.00	4.0
SUFFOLK CAPITAL MGMT	040	JCP	B	100,000	40.40	4-Jan	11-Jan	4,000.00	4.0
APEX CAPITAL MGMT.	091	JNY	B	228,000	13.33	n/a	1-Nov	11,400.00	5.0
BALYASNY ASSET MGMT	044	KSS	SS	50,000	40.01	4-Jan	11-Jan	2,500.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	39.44	4-Jan	11-Jan	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SOMERSET ADV	044	LCRD	B	40,000	12.27	n/a	n/a	1,600.00	4.0
BUCK	015	LEH	C	1,000	54.93	n/a	14-Jan	0.00	0.0
CENTURION GLOBAL MGMT LL	041	LM	B	2,500	69.28	17-Dec	15-Jan	75.00	3.0
COBALT	041	LM	SS	50,000	69.04	17-Dec	15-Jan	2,500.00	5.0
WILLIAM BLAIR	031	LNC	S	30,000	48.61	n/a	n/a	1,200.00	4.0
PATTEN & PATTEN	067	LOW	S	400	21.98	n/a	27-Jul	20.00	5.0
BARR	096	MAG	S	5,000	3.46	n/a	n/a	0.00	0.0
OPTIMUM INV ADV	030	MAN	B	11,000	49.22	n/a	n/a	440.00	4.0
LOCUS WOOD CAPITAL MGMT	044	MER	S	25,000	50.03	18-Jan	18-Jan	1,000.00	4.0
STRONG/WELL HOWARD	038	MER	B	50,000	50.00	18-Jan	18-Jan	0.00	0.0
GAULT	063	MER	S	500	50.37	18-Jan	18-Jan	0.00	0.0
LISTED ERR	099	MER	S	50,000	50.01	18-Jan	18-Jan	0.00	0.0
KERN	050	MHGC	S	750	13.29	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	MIC	S	1,500	33.31	n/a	n/a	75.00	5.0
Õ Ted Wheeler		MLI	B	100	90.7500	9-Oct	23-Oct	0.00	0.0
BUCK	015	MON	SS	500	104.47	n/a	14-Jan	0.00	0.0
UBS OCONNOR	031	MS	B	120,000	44.78	20-Dec	14-Jan	3,600.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	MTRX	S	3,000	19.38	n/a	n/a	0.00	0.0
PILOT ADV	038	NLC	B	19,000	19.89	n/a	n/a	760.00	4.0
CENTURION GLOBAL MGMT LL	041	NSM	B	25,100	19.29	n/a	n/a	753.00	3.0
PATTEN & PATTEN	067	NUAN	S	400	14.78	n/a	n/a	20.00	5.0
CAXTON PART	040	OMC	B	59,983	42.71	n/a	n/a	2,099.42	3.5
BUCK	015	ONXX	B	700	50.53	n/a	n/a	0.00	0.0
CENTURION GLOBAL MGMT LL	041	OSK	B	4,000	40.50	n/a	n/a	120.00	3.0
PATTEN & PATTEN	067	OXY	S	500	69.33	n/a	n/a	25.00	5.0
MCCARTAN	028	PAG	B	300	14.71	n/a	17-Jan	0.00	0.0
PATTEN & PATTEN	067	PHO	S	825	18.44	n/a	n/a	41.25	5.0
TRELLUS PARTNERS LP	028	PLCE	S	121,894	15.63	10-Jan	11-Jan	6,094.70	5.0
TURETSKY	038	PNBK	B	3,000	14.64	n/a	n/a	0.00	0.0
MKT MAKER	099	PSUN	B	100	9.00	n/a	11-Jan	0.00	0.0
MKT MAKER	099	PSUN	S	300	9.17	n/a	11-Jan	0.00	0.0
LEDDY	035	PVH	S	500	35.43	16-Jan	16-Jan	0.00	0.0
SUNOVA CAPITAL	031	PZN	SS	20,000	9.47	11-Jan	15-Jan	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	SE	S	2,000	24.68	n/a	n/a	100.00	5.0
CENTURION GLOBAL MGMT LL	041	SGP	B	7,800	22.27	n/a	n/a	234.00	3.0
CENTURION GLOBAL MGMT LL	041	SINA	B	18,500	38.71	n/a	n/a	555.00	3.0
CENTURION GLOBAL MGMT LL	041	SINA	S	18,500	37.97	n/a	n/a	555.00	3.0
STADIA CAPITAL	035	SKS	S	50,000	15.62	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	SKX	B	150,000	17.12	n/a	13-Mar	6,000.00	4.0
LEDDY	035	SLB	B	200	77.39	n/a	n/a	0.00	0.0
LEDDY	035	SNEN	S	4,000	3.80	n/a	n/a	0.00	0.0
BUCK	015	STI	C	1,000	59.31	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	SWN	SS	11,585	50.19	14-Jan	14-Jan	463.40	4.0
GRUSS CAPITAL	045	TAST	B	5,000	7.75	n/a	n/a	200.00	4.0
J.W. SELIGMAN	041	TGT	S	388,500	50.10	n/a	27-Jul	15,540.00	4.0
RAMIUS CAP	040	TROW	SS	46,800	47.02	9-Jan	15-Jan	2,340.00	5.0
LUCHANSKY1	036	TROW	S	216	47.66	9-Jan	15-Jan	0.00	0.0
BENCHMARK	028	UA	B	7,500	30.09	n/a	n/a	375.00	5.0
BUCK CAPITAL MGMT	001	UA	B	10,000	27.46	n/a	n/a	400.00	4.0
ENTRUST CAPITAL	040	UA	SS	55,000	29.69	n/a	n/a	2,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	USB	SS	5,000	30.75	n/a	n/a	250.00	5.0
CENTURION GLOBAL MGMT LL	041	VMW	B	5,544	79.25	n/a	n/a	166.32	3.0
CENTURION GLOBAL MGMT LL	041	VMW	S	6,300	81.25	n/a	n/a	189.00	3.0
MERRILL ASSET	032	VQ	S	8,900	16.15	n/a	n/a	400.50	4.5
CENTURION GLOBAL MGMT LL	041	WDC	B	36,473	23.71	n/a	n/a	1,094.19	3.0
ACK ASSET	028	WDR	SS	5,000	30.42	21-Nov	15-Jan	0.00	0.0
PRINCIPLE CAPITAL	040	WDR	SS	50,000	29.84	21-Nov	15-Jan	1,500.00	3.0
SCOPUS FUND	041	WDR	SS	45,000	29.75	21-Nov	15-Jan	2,250.00	5.0
TRELLUS PARTNERS LP	028	WDR	SS	30,000	30.29	21-Nov	15-Jan	1,500.00	5.0
EMP ERROR	099	WDR	C	700	30.26	21-Nov	15-Jan	0.00	0.0
EMP ERROR	099	WDR	SS	700	31.22	21-Nov	15-Jan	0.00	0.0
ROTHSCHILD ASSET	040	WGL	B	40,180	32.58	n/a	n/a	1,808.10	4.5
J L F ASSET MGMT	039	WMT	B	15,500	47.58	20-Nov	11-Jan	620.00	4.0
COUGAR TRADING	044	WRNC	S	10,000	32.42	14-Jan	14-Jan	500.00	5.0
LEDDY	035	WRNC	B	500	32.52	14-Jan	14-Jan	0.00	0.0
BUCK	015	WYE	SS	800	44.35	n/a	31-Mar	0.00	0.0
HART	056	XOHO	S	500	2.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	B	200,000	7.38	n/a	16-Jan	8,000.00	4.0

TOTAL (Trade Date):

129,962.52

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	01/17	KORMAN (KORMAN)	003	ABK	B	1,000	22.50	n/a	n/a	0.00	0.0
AO,CXL	01/11	CHARTER OAK (CHARUBS)	033	AN	B	75,000	13.13	n/a	15-Jan	3750.00	5.0
AO,CRT	01/11	CHARTER OAK (CHARUBS)	033	AN	B	75,000	13.13	n/a	15-Jan	3750.00	5.0
CRT	01/18	CIBC ADV. (CIBCCIBC W)	060	C	SS	50,000	24.65	16-Jan	16-Jan	2000.00	4.0
CRT	01/18	BULL PATH CAPITAL (BULLPB BOA)	044	CNC	SS	12,500	27.00	n/a	n/a	625.00	5.0
CRT	01/18	MKT MAKER (MKT MAKER)	099	INTC	B	100	19.00	n/a	n/a	0.00	0.0
CRT	01/18	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCG	B	10,000	39.94	28-Nov	18-Jan	400.00	4.0
CRT	01/18	SUNOVA CAPITAL (SUNOMORGAN)	031	PZN	SS	20,000	9.47	11-Jan	15-Jan	1000.00	5.0
AO,	01/17	JENNISON (JENN8130)	032	S	B	11,700	11.79	n/a	n/a	468.00	4.0
AO,	01/17	JENNISON (JENN0878)	032	S	B	1,600	11.79	n/a	n/a	64.00	4.0
AO,	01/17	JENNISON (JENN8116)	032	S	B	8,100	11.79	n/a	n/a	324.00	4.0
AO,	01/17	JENNISON (JENN0848)	032	S	B	9,700	11.79	n/a	n/a	388.00	4.0
AO,	01/17	JENNISON (JENN8122)	032	S	B	11,000	11.79	n/a	n/a	440.00	4.0
AO,	01/17	JENNISON (JENN680)	032	S	B	42,200	11.79	n/a	n/a	1688.00	4.0
AO,	01/17	JENNISON (JENN812)	032	S	B	32,300	11.79	n/a	n/a	1292.00	4.0
AO,	01/17	JENNISON (JENN810)	032	S	B	112,100	11.79	n/a	n/a	4484.00	4.0
AO,	01/17	JENNISON (JENN808)	032	S	B	70,300	11.79	n/a	n/a	2812.00	4.0
AO,	01/17	JENNISON (JENN1071)	032	S	B	5,800	11.79	n/a	n/a	232.00	4.0
AO,	01/17	JENNISON (JENN673)	032	S	B	178,400	11.79	n/a	n/a	7136.00	4.0
AO,	01/17	JENNISON (JENN686)	032	S	B	65,900	11.79	n/a	n/a	2636.00	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	01/17	JENNISON (JENN685)	032	S	B	19,300	11.79	n/a	n/a	772.00	4.0
AO,	01/17	JENNISON (JENN684)	032	S	B	31,600	11.79	n/a	n/a	1264.00	4.0
AO,CXL	01/17	MATTHES CAPITAL MGMT (MATTMSPB)	033	S	B	17,495	11.79	n/a	n/a	699.80	4.0
AO,CXL	01/17	MATTHES CAPITAL MGMT (MATTGSPB)	033	S	B	33,605	11.79	n/a	n/a	1344.20	4.0
CRT	01/18	EMP ERROR (EMP ERROR)	099	WDR	C	500	30.26	21-Nov	15-Jan	0.00	0.0
CRT	01/18	EMP ERROR (EMP ERROR)	099	WDR	C	200	30.25	21-Nov	15-Jan	0.00	0.0
CRT	01/18	EMP ERROR (EMP ERROR)	099	WDR	SS	200	31.22	21-Nov	15-Jan	0.00	0.0
CRT	01/18	EMP ERROR (EMP ERROR)	099	WDR	SS	500	30.71	21-Nov	15-Jan	0.00	0.0
TOTAL (AO, CXL, CRT) :										25,981.00	
TOTAL (Trade Date) :										129,962.52	
TOTAL (AO, CXL, CRT) :										25,981.00	
TOTAL (RUN DATE) / NFS:										155,943.52	

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION