



Daily Compliance Activity Report

Trade Date: 01/02/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	AAPL	B	45	548.33	n/a	n/a	1.80	4.0
⌘ BUCK CAPITAL MGMT		AAPL	S	2,400	543.75	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		ABG	S	3,578	32.68	n/a	28-Dec	non-brg	1.0
BESSEMER INVESTMENT	085	ACE	B	764	81.34	n/a	n/a	30.56	4.0
BESSEMER INVESTMENT	085	ACN	B	877	68.70	n/a	n/a	35.08	4.0
⌘ BUCK CAPITAL MGMT		AEO	B	51	20.10	n/a	2-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		AEO	S	20,000	19.98	n/a	2-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	3,485	20.08	n/a	2-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		AEO	S	73,100	19.97	n/a	2-Dec	non-brg	1.0
BESSEMER INVESTMENT	085	AET	B	1,015	46.70	n/a	n/a	40.60	4.0
⌘ BUCK CAPITAL MGMT		ALV	S	2,388	68.08	n/a	24-Oct	non-brg	1.0
PRIMECAP MGMT	084	AMGN	S	5,000	87.90	n/a	n/a	200.00	0.0
⌘ BUCK CAPITAL MGMT		ANF	B	52	47.39	n/a	15-Nov	non-brg	1.0
⌘ BUCK CAPITAL MGMT		ANF	S	701	47.38	n/a	15-Nov	non-brg	1.0
⌘ BUCK CAPITAL MGMT		ANF	S	98,500	46.70	n/a	15-Nov	non-brg	1.0
⌘ BUCK CAPITAL MGMT		ANN	S	44,800	32.72	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		APC	S	4,125	75.59	n/a	20-Dec	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	AVGO	B	1,072	32.57	n/a	n/a	42.88	4.0
BTG PACTUAL	040	AXL	S	10,000	11.66	n/a	31-Dec	300.00	3.0
ß BUCK CAPITAL MGMT		AXL	B	77	11.58	n/a	31-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		AXL	S	43,026	11.48	n/a	31-Dec	non-brg	1.0
f MICHAEL ALEXANDER SM	FID	AXL	S	30	1.51	n/a	31-Dec	0.00	0.0
f MICHAEL ALEXANDER SM	FID	AXL	S	75	1.50	n/a	31-Dec	0.00	0.0
ß BUCK CAPITAL MGMT		AXP	S	5,089	58.64	n/a	28-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		BAC	B	100	11.96	n/a	20-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		BAC	S	38,169	11.95	n/a	20-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		BID	C	15,400	34.32	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BID	C	6,945	34.32	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BID	SS	3	34.30	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BIG	C	9,300	28.46	n/a	n/a	non-brg	1.0
PRIMECAP MGMT	084	BIIB	S	600	149.53	n/a	n/a	24.00	0.0
ß BUCK CAPITAL MGMT		BKW	C	41,600	16.97	n/a	27-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		BODY	S	66,300	9.72	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	S	66,100	12.20	n/a	n/a	non-brg	1.0
f KAREN F LEDDY	FID	BONT	S	400	12.49	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BWS	C	5,800	18.33	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BYD	S	58,400	6.82	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BYI	S	28,900	45.08	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CAKE	C	8,200	32.85	n/a	25-Oct	non-brg	1.0
BUCK CAPITAL MGMT		CAR	S	22,202	20.71	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CERN	C	2,080	79.59	n/a	n/a	non-brg	1.0
BAIRD INVESTMENT	047	CHD	B	6,815	53.96	n/a	5-Dec	340.75	0.0
DAVID GEORGE BUCK	FID	CMG	S	10	58.60	n/a	19-Oct	0.00	0.0
BUCK CAPITAL MGMT		CNC	B	59	41.75	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CNC	S	5,211	41.47	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		COF	S	6,731	59.99	n/a	28-Dec	non-brg	1.0
BESSEMER INVESTMENT	085	COH	B	1,179	55.33	n/a	5-Nov	47.16	4.0
BUCK CAPITAL MGMT		COH	B	37	54.96	n/a	5-Nov	non-brg	1.0
BUCK CAPITAL MGMT		COH	S	1,077	54.70	n/a	5-Nov	non-brg	1.0
BESSEMER INVESTMENT	085	COP	B	738	58.90	n/a	n/a	29.52	4.0
BUCK CAPITAL MGMT		CROX	B	81	14.77	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CROX	S	192,600	14.75	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CROX	S	2,836	14.76	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	CSCO	B	1,250	20.24	n/a	14-Dec	50.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	CTL	B	876	40.08	n/a	n/a	35.04	4.0
BESSEMER INVESTMENT	085	CVX	B	250	109.86	n/a	n/a	10.00	4.0
BESSEMER INVESTMENT	085	C	B	802	41.04	n/a	20-Dec	32.08	4.0
ß BUCK CAPITAL MGMT		C	B	8	40.99	n/a	20-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		C	S	3,518	40.98	n/a	20-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		DAL	S	36,177	12.12	n/a	17-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		DECK	S	20,000	38.57	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DECK	S	46,900	38.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DECK	S	678	38.69	n/a	n/a	non-brg	1.0
f KAREN F LEDDY	FID	DECK	B	1200	38.93	n/a	n/a	0.00	0.0
f SEAN F LEDDY	FID	DECK	B	130	39.31	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DFS	B	40	39.45	n/a	28-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		DFS	S	8,646	39.32	n/a	28-Dec	non-brg	1.0
f KAREN F LEDDY	FID	DGP	B	300	52.97	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	DG	B	824	43.72	n/a	12-Dec	32.96	4.0
ß BUCK CAPITAL MGMT		DG	B	18	43.51	n/a	12-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		DG	S	12,100	43.02	n/a	12-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		DG	S	30,000	43.28	n/a	12-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		DG	S	40,000	43.47	n/a	12-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		DG	S	8,537	43.23	n/a	12-Dec	non-brg	1.0
SENATOR INVESTMENT GROUP	036	DG	B	200,000	43.46	n/a	12-Dec	6,000.00	3.0
ß BUCK CAPITAL MGMT		DHI	S	15,543	20.04	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		DIA	C	11,394	133.02	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DKS	B	44	45.76	n/a	21-Dec	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DKS	S	4,243	45.58	n/a	21-Dec	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DKS	S	77,000	45.33	n/a	21-Dec	non-brg	1.0
CORNERSTONE	030	DKS	B	50,000	45.53	n/a	21-Dec	1,500.00	0.0
⚡ BUCK CAPITAL MGMT		DLTR	B	58	40.57	n/a	20-Nov	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DLTR	S	7,693	40.52	n/a	20-Nov	non-brg	1.0
DSG CAPITAL	030	DOLE	B	30,000	9.97	n/a	n/a	900.00	3.0
BESSEMER INVESTMENT	085	DTE	B	408	61.15	n/a	n/a	16.32	4.0
⚡ BUCK CAPITAL MGMT		DVN	S	4,504	52.03	n/a	20-Dec	non-brg	1.0
WOOSTER CAPITAL	035	EMC	B	100,000	24.78	n/a	4-Dec	4,000.00	4.0
BESSEMER INVESTMENT	085	EMR	B	450	54.43	n/a	n/a	18.00	4.0
⚡ BUCK CAPITAL MGMT		ENR	C	15,200	80.99	n/a	13-Dec	non-brg	1.0
⚡ BUCK CAPITAL MGMT		ENR	C	30,000	81.00	n/a	13-Dec	non-brg	0.8
BESSEMER INVESTMENT	085	ESRX	B	450	55.41	n/a	n/a	18.00	4.0
f JASON ERIC COHEN	FID	ESSX	B	850	3.55	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	EXC	B	1,105	30.01	n/a	n/a	44.20	4.0
⚡ BUCK CAPITAL MGMT		EXPR	S	20,000	14.48	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		EXPR	S	38,000	14.55	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	FCX	S	330	35.36	n/a	n/a	13.20	4.0
ß BUCK CAPITAL MGMT		FDO	B	51	63.55	n/a	26-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		FDO	C	60,000	63.59	n/a	26-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		FDO	S	6,068	63.39	n/a	26-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		FINL	B	78	18.57	n/a	21-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		FINL	S	23,380	18.46	n/a	21-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		FL	S	11,191	31.37	n/a	21-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		FL	S	154,700	31.22	n/a	21-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		FOR	B	47	17.67	n/a	8-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		FOR	S	24,673	17.83	n/a	8-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	B	54	93.06	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	S	10,000	93.52	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FOSL	S	228	93.13	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	S	45,100	92.41	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GCO	B	37	55.78	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GCO	S	3,854	55.71	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	GD	B	427	70.87	n/a	11-Dec	17.08	4.0
BESSEMER INVESTMENT	085	GE	B	1,175	21.27	n/a	n/a	47.00	4.0
ß BUCK CAPITAL MGMT		GIH	S	20,085	34.02	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		GIII	S	6,435	34.33	n/a	n/a	non-brg	1.0
DAVID GEORGE BUCK	FID	GILD	B	2000	74.59	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		GIL	S	100,000	36.14	n/a	30-Nov	non-brg	1.0
BUCK CAPITAL MGMT		GIL	S	3,338	36.34	n/a	30-Nov	non-brg	1.0
MARC PAUL LUCHANSKY	FID	GLD	B	100	163.43	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		GM	B	9	28.96	n/a	31-Dec	non-brg	1.0
BUCK CAPITAL MGMT		GM	S	15,504	28.90	n/a	31-Dec	non-brg	1.0
BUCK CAPITAL MGMT		GNC	S	109,300	32.98	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		GNC	S	3,468	33.86	n/a	n/a	non-brg	1.0
SCOPUS FUND	041	GNTX	SS	50,000	19.00	n/a	24-Oct	2,000.00	4.0
BESSEMER INVESTMENT	085	GOOG	B	35	721.53	n/a	n/a	1.40	4.0
BUCK CAPITAL MGMT		GPS 1 P15	C	400	0.32	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GPS	S	33,200	30.83	n/a	n/a	non-brg	1.0
SIGMA CAPITAL ASSOCIATES	041	GPS	SS	50,000	30.78	n/a	n/a	1,750.00	3.5
DAVID GEORGE BUCK	FID	GS	B	10	5.64	n/a	20-Dec	0.00	0.0
SCOPUS FUND	041	GWR	B	8,150	78.96	n/a	n/a	326.00	4.0
BUCK CAPITAL MGMT		HAL	S	6,393	35.33	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		HAS	C	21,000	35.44	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		HBC CN	B	34,900	17.05	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HBC CN	B	655	16.79	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HBC CN	S	977	16.79	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HBI	S	7,287	35.30	n/a	30-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		HBI	S	99,500	35.23	n/a	30-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		HCA	B	81	30.99	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HCA	S	6,961	30.85	n/a	n/a	non-brg	1.0
f KEVIN MICHAEL O'GORM	FID	HH	S	5357	0.40	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		HTZ	S	29,435	16.74	n/a	n/a	non-brg	1.0
ARSENAL ASSET MANAGEMENT	028	HUBB	B	5,000	86.67	n/a	n/a	200.00	0.0
COLUMBUS CIRCLE	036	HURN	S	2,000	32.88	n/a	n/a	80.00	0.0
BESSEMER INVESTMENT	085	IBM	B	389	195.84	n/a	n/a	15.56	4.0
ß BUCK CAPITAL MGMT		ICON	C	5,800	22.62	n/a	n/a	non-brg	1.0
NOKOMIS PARTNERS	031	IDCC	S	77,153	42.35	n/a	n/a	2,314.59	3.0
ß BUCK CAPITAL MGMT		IJH	C	7,219	103.64	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		IP	B	41	40.22	n/a	18-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		IP	S	6,943	40.15	n/a	18-Dec	non-brg	1.0
f DAVID GEORGE BUCK	FID	IWF	B	20	2.00	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		IWO	C	33,928	97.65	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IWO	C	6,000	97.65	n/a	n/a	non-brg	1.0
CENTURION PARTNERS	041	JBLU	B	30,000	5.88	n/a	17-Dec	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		JCI	S	5,554	31.18	n/a	20-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JIVE	S	21,570	14.91	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	JNJ	B	1,154	70.72	n/a	n/a	46.16	4.0
⌘ BUCK CAPITAL MGMT		JNY	C	14,600	11.16	n/a	25-Oct	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JNY	SS	20,000	11.36	n/a	25-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JOSB	SS	70,000	43.37	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	JPM	B	550	44.56	n/a	20-Dec	22.00	4.0
⌘ BUCK CAPITAL MGMT		JPM	B	51	44.53	n/a	20-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JPM	S	3,685	44.52	n/a	20-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JWN	B	26	53.36	2-Jan	2-Jan	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JWN	S	4,055	53.29	2-Jan	2-Jan	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JWN	S	80,061	53.35	2-Jan	2-Jan	non-brg	4.0
CASTLEROCK	040	JWN	SS	50,249	53.34	2-Jan	2-Jan	2,009.96	4.0
ROSEDALE CAPITAL	046	KEG	S	20,000	7.20	n/a	4-Dec	600.00	3.0
f EDWARD W WHEELER	FID	KEM	S	5000	5.35	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		KNX	B	135	15.12	n/a	n/a	non-brg	1.0

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BUCK CAPITAL MGMT		KNX	S	20,201	14.97	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		KORS	S	5,658	51.21	n/a	14-Nov	non-brg	1.0
BUCK CAPITAL MGMT		KORS	S	99,400	50.60	n/a	14-Nov	non-brg	1.0
BUCK CAPITAL MGMT		KR	C	18,600	26.28	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		KSS	C	22,200	42.13	2-Jan	2-Jan	non-brg	1.0
BUCK CAPITAL MGMT		KSS	S	5,558	42.05	2-Jan	2-Jan	non-brg	1.0
CASTLEROCK	040	KSS	SS	63,453	42.26	2-Jan	2-Jan	2,538.12	4.0
BUCK CAPITAL MGMT		LEA	S	5,165	47.44	n/a	7-Dec	non-brg	1.0
BUCK CAPITAL MGMT		LEN	S	8,466	39.55	n/a	n/a	non-brg	1.0
PRIMECAP MGMT	084	LLY	S	2,500	49.41	n/a	n/a	100.00	0.0
BESSEMER INVESTMENT	085	LOW	B	1,571	36.20	n/a	n/a	62.84	4.0
BUCK CAPITAL MGMT		LPNT	S	7,675	38.20	n/a	n/a	non-brg	1.0
SAC TRADING TOM LEDDY	035	LTD	B	100,000	47.30	n/a	n/a	3,500.00	3.5
BESSEMER INVESTMENT	085	MCD	B	275	89.91	n/a	10-Dec	11.00	4.0
BUCK CAPITAL MGMT		MCD	C	18,400	89.89	n/a	10-Dec	non-brg	1.0
BUCK CAPITAL MGMT		MCD	C	2,579	89.87	n/a	10-Dec	non-brg	1.0
SAC TRADING TOM LEDDY	035	MCD	B	165,000	89.75	n/a	10-Dec	5,775.00	3.5
BUCK CAPITAL MGMT		MDC	C	2,889	37.36	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		MDT	S	3,455	41.28	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		MDY	C	3,002	189.24	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MFRM	S	7,000	24.85	n/a	n/a	non-brg	1.0
f DAVID GEORGE BUCK	FID	MRK	B	1000	41.39	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	MRK	B	300	41.43	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	MRO	B	1,597	31.50	n/a	n/a	63.88	4.0
BESSEMER INVESTMENT	085	MSFT	B	2,110	27.44	n/a	n/a	84.40	4.0
ß BUCK CAPITAL MGMT		MS	S	18,472	19.66	n/a	20-Dec	non-brg	1.0
SANDLER O'NEILL ASSET	031	MS	B	63,100	19.84	n/a	20-Dec	3,155.00	5.0
ß BUCK CAPITAL MGMT		MTOR	S	59,330	4.94	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MVRX	C	9,500	243.56	n/a	n/a	non-brg	19.5
ß BUCK CAPITAL MGMT		MWV	S	5,114	32.27	n/a	24-Oct	non-brg	1.0
ß BUCK CAPITAL MGMT		MW	B	70,000	31.66	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	M	B	1,259	38.65	2-Jan	2-Jan	50.36	4.0
ß BUCK CAPITAL MGMT		M	B	32	38.64	2-Jan	2-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		M	S	250,000	38.47	2-Jan	2-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		M	S	7,971	38.47	2-Jan	2-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		M	S	83,000	38.34	2-Jan	2-Jan	non-brg	1.0
HOLLIS CAPITAL	033	M	S	5,000	38.66	2-Jan	2-Jan	250.00	5.0
BESSEMER INVESTMENT	085	NEM	B	610	47.18	n/a	n/a	24.40	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		NUAN	C	17,700	23.28	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	NU	B	650	39.60	n/a	n/a	26.00	4.0
ß BUCK CAPITAL MGMT		NWY	S	59,700	3.73	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PCLN	C	2,500	629.99	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PCLN	C	476	631.28	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PCLN	SS	32	631.24	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PCLN	SS	785	635.82	n/a	n/a	non-brg	4.0
STATE TEACHERS RET SYS	047	PEI	S	9,951	18.30	n/a	n/a	497.55	5.0
BESSEMER INVESTMENT	085	PEP	B	350	69.00	n/a	n/a	14.00	4.0
ß BUCK CAPITAL MGMT		PERY	S	4,700	19.95	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PETM	C	1,233	68.93	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PETM	SS	7,500	69.02	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PFE	B	64	25.56	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PFE	S	21,893	25.53	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	PG	B	375	69.09	n/a	13-Dec	15.00	4.0
ß BUCK CAPITAL MGMT		PII	S	10,000	85.80	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PII	S	22,200	85.08	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PLCE	S	17,500	43.32	n/a	27-Nov	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		PLCE	S	60,000	43.47	n/a	27-Nov	non-brg	4.0
CR INTRINSIC/CHRIS C	041	PLL	SS	5,000	61.86	n/a	n/a	175.00	3.5
BESSEMER INVESTMENT	085	POT	B	600	41.23	n/a	n/a	24.00	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	20,000	110.94	n/a	19-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	21,000	110.25	n/a	19-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PVH	S	3,544	111.00	n/a	19-Dec	non-brg	1.0
BESSEMER INVESTMENT	085	QCOM	B	1,137	64.55	n/a	n/a	45.48	4.0
⌘ BUCK CAPITAL MGMT		RCII	C	6,200	34.84	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RGC	S	23,500	13.96	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RH	S	15,800	33.88	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RH	S	3,268	33.86	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RKT	S	1,065	71.17	n/a	18-Dec	non-brg	1.0
SHEFFIELD ASSET MGMT	031	RKT	S	17,500	71.56	n/a	18-Dec	700.00	0.0
⌘ BUCK CAPITAL MGMT		RLGY	S	20,000	41.21	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		RLGY	S	50,000	40.71	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RL	C	2,400	149.93	n/a	5-Nov	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RUSHA	S	8,494	20.96	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		R	S	8,529	50.72	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		SAND SS	C	15,000	16.23	n/a	n/a	non-brg 2.4
ß BUCK CAPITAL MGMT		SAND SS	C	16,047	16.22	n/a	n/a	non-brg 2.4
ß BUCK CAPITAL MGMT		SCSS	S	20,000	26.82	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		SCSS	S	71,600	27.14	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		SHOO	S	20,000	41.48	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		SHOO	S	59,500	41.65	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		SIG	S	19,200	53.61	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		SIG	S	20,000	53.50	n/a	n/a	non-brg 4.0
TUDOR INVESTORS	028	SIG	SS	5,062	54.26	n/a	n/a	151.86 3.0
ß BUCK CAPITAL MGMT		SKS 5 P10	C	800	0.90	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		SKS	C	160,700	10.67	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		SKS	SS	80,000	10.72	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		SKT	S	11,500	34.49	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		SKX	S	116,300	18.36	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		SKX	S	20,000	18.27	n/a	n/a	non-brg 4.0
BESSEMER INVESTMENT	085	SLB	B	350	71.11	n/a	n/a	14.00 4.0
ß BUCK CAPITAL MGMT		SLB	S	3,197	70.43	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		SLM	S	28,148	17.26	n/a	18-Dec	non-brg 1.0
ß BUCK CAPITAL MGMT		SLXP	C	4,600	42.49	n/a	31-Dec	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		SLXP	SS	12,000	41.91	n/a	31-Dec	non-brg	4.0
f EDWARD W WHEELER	FID	SPNC	S	10000	14.92	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SPY	C	1,514	145.01	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		SSI	S	6,400	24.73	n/a	n/a	non-brg	1.0
f JASON ERIC COHEN	FID	STLY	B	140	4.55	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		STT	S	9,741	48.12	n/a	20-Dec	non-brg	1.0
BESSEMER INVESTMENT	085	STX	B	806	31.80	n/a	n/a	32.24	4.0
⚡ BUCK CAPITAL MGMT		SWFT	B	136	9.36	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		SWFT	S	43,870	9.31	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		SWN	B	56	32.68	n/a	20-Dec	non-brg	1.0
⚡ BUCK CAPITAL MGMT		SWN	S	14,918	32.63	n/a	20-Dec	non-brg	1.0
f MICHAEL ALEXANDER SM	FID	SWN	S	30	2.89	n/a	20-Dec	0.00	0.0
⚡ BUCK CAPITAL MGMT		SWY	C	55,400	18.36	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		SYX	C	43,300	31.91	n/a	n/a	non-brg	1.0
STATE TEACHERS RET SYS	047	S	S	637,996	5.71	n/a	n/a	31,899.80	0.0
f JASON ERIC COHEN	FID	TBF	B	337	29.68	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		TDG	C	2,274	140.63	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TDG	C	4,900	140.24	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TDG	SS	49	140.53	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TEVA	S	11,689	37.30	n/a	31-Dec	non-brg	1.0
f JONATHAN RICHARD HAR	FID	TFM	S	500	48.26	n/a	n/a	0.00	0.0
LATEEF MGMT	035	TGT	B	1,875	58.40	n/a	28-Dec	270.00	16.3
ß BUCK CAPITAL MGMT		TIF	S	1,441	58.51	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TITN	B	9	25.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TITN	S	16,104	25.22	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	B	52	42.62	n/a	2-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	S	2,044	42.63	n/a	2-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	S	27,000	42.72	n/a	2-Jan	non-brg	1.0
SAC TRADING TOM LEDDY	035	TJX	B	90,000	42.76	n/a	2-Jan	3,150.00	3.5
BESSEMER INVESTMENT	085	TMO	B	919	65.06	n/a	n/a	36.76	4.0
ß BUCK CAPITAL MGMT		TSCO	S	18,000	89.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		UAL	S	10,446	24.14	n/a	28-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		ULTA	S	20,000	101.28	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ULTA	S	39,200	101.24	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	UNH	B	896	54.77	n/a	n/a	35.84	4.0
BESSEMER INVESTMENT	085	UNP	B	200	127.74	n/a	n/a	8.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		URBN	S	20,000	40.76	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	49,600	40.17	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		URI	B	55	47.65	n/a	17-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		URI	S	7,130	47.52	n/a	17-Apr	non-brg	1.0
BESSEMER INVESTMENT	085	USB	B	750	32.87	n/a	n/a	30.00	4.0
BESSEMER INVESTMENT	085	UTX	B	300	83.65	n/a	n/a	12.00	4.0
ß BUCK CAPITAL MGMT		V	S	2,246	155.46	n/a	20-Dec	non-brg	1.0
BESSEMER INVESTMENT	085	WAG	B	2,009	38.20	n/a	n/a	80.36	4.0
ß BUCK CAPITAL MGMT		WERN	B	39	22.34	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WERN	S	14,213	22.19	n/a	n/a	non-brg	1.0
f DAVID GEORGE BUCK	FID	WLP	S	1000	61.68	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	WM	B	1,326	33.89	n/a	n/a	53.04	4.0
CR INTRINSIC/CHRIS C	041	WM	SS	10,000	33.94	n/a	n/a	350.00	3.5
ß BUCK CAPITAL MGMT		WNC	B	138	9.47	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WNC	S	59,880	9.43	n/a	n/a	non-brg	1.0
CAMBIAR CAP	046	WRC	S	120,000	71.54	n/a	6-Nov	4,800.00	0.0
ß BUCK CAPITAL MGMT		WSM	C	12,200	44.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WSM	SS	20,000	45.53	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		WWW	C	2,400	40.69	n/a	n/a	non-brg	1.0
T. ROWE PRICE	033	WY	S	123,000	28.88	n/a	28-Dec	4,920.00	0.0
⌘ BUCK CAPITAL MGMT		XLFX	C	35,163	16.77	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		XLX	C	228,400	48.16	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	XOM	B	300	88.27	n/a	n/a	12.00	4.0
⌘ BUCK CAPITAL MGMT		XPO	S	8,619	17.21	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		XRT	C	129,500	62.63	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		XRT	C	21,755	62.65	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	YUM	B	375	66.89	n/a	7-Dec	15.00	4.0
BESSEMER INVESTMENT	085	ZMH	B	1,189	67.71	n/a	n/a	47.56	4.0
⌘ BUCK CAPITAL MGMT		ZUMZ	C	2,400	19.57	n/a	n/a	non-brg	1.0
TUDOR INVESTORS	028	ZUMZ	SS	12,500	19.31	n/a	n/a	375.00	3.0
TOTAL (Trade Date) :							87,797.39		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									87,797.39
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									87,797.39

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	12/31	BUCK CAPITAL MGMT.	DECK	B	30,000	38.87	n/a	n/a	1200.00
CRT	12/31	BUCK CAPITAL MGMT	DECK	B	30,000	38.96	n/a	n/a	1200.00 4.0
CXL	12/31	BUCK CAPITAL MGMT.	DECK	B	30,000	38.87	n/a	n/a	1200.00
CRT	12/31	BUCK CAPITAL MGMT	DECK	B	30,000	38.96	n/a	n/a	1200.00 4.0

MRI Securities with Trade Activity on 2-Jan.

JWN, KSS, M

MRI Securities without Trade Activity on 2-Jan.

JCP

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)