



Daily Compliance Activity Report

Trade Date: 06/08/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JASON ERIC COHEN	FID	ABFS	B	10	0.50	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	ACC	S	6,976	43.87	n/a	n/a	279.04	4.0
B BUCK CAPITAL MGMT		ANN	B	20,000	25.88	n/a	n/a	non-brg	4.0
ARSENAL ASSET MANAGEMENT	028	ASH	B	10,000	64.31	n/a	24-Apr	400.00	4.0
B BUCK CAPITAL MGMT		ASNA	B	50,000	19.15	n/a	n/a	non-brg	4.0
DAVIDSON INV ADV	035	ATI	B	27,585	30.07	n/a	6-Jun	1,103.40	4.0
f MICHAEL ALEXANDER SM	FID	AXL	B	40	1.10	n/a	7-Jun	0.00	0.0
B BUCK CAPITAL MGMT		BC IM	S	12,540	14.44	n/a	n/a	non-brg	2.2
B BUCK CAPITAL MGMT		BC IM	S	5,000	14.44	n/a	n/a	non-brg	2.2
GEORGE WEISS	034	BJRI	B	24,000	41.82	n/a	n/a	720.00	3.0
AMBER CAPITAL	046	BKU	S	10,913	22.56	n/a	n/a	436.52	4.0
COHE & STEERS	041	BKU	B	39,170	22.74	n/a	n/a	1,175.10	3.0
ROTHSCHILD ASSET	040	CMI	S	23,041	96.01	n/a	2-May	921.64	4.0
B BUCK CAPITAL MGMT		COH	SS	10,000	63.27	n/a	9-May	non-brg	4.0
B BUCK CAPITAL MGMT		COH	SS	10,000	63.90	n/a	9-May	non-brg	4.0
B BUCK CAPITAL MGMT		COH	SS	20,000	62.62	n/a	9-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ARSENAL ASSET MANAGEMENT	028	CSX	SS	15,000	20.70	n/a	n/a	600.00	4.0
⌘ BUCK CAPITAL MGMT		DG	B	50,000	50.31	n/a	5-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DG	B	50,000	50.31	n/a	5-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DKS	B	30,000	47.04	n/a	15-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DKS	B	50,000	47.04	n/a	15-May	non-brg	4.0
T. ROWE PRICE	033	DLTR	B	17,700	106.66	n/a	18-May	708.00	4.0
⌘ BUCK CAPITAL MGMT		DRI	C	16,500	49.94	n/a	13-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DRI	C	50,000	49.92	n/a	13-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DRI	C	66,500	49.94	n/a	13-Apr	non-brg	4.0
BARROW HANLEY MEWHINNEY	031	D	S	188,000	53.12	n/a	n/a	6,580.00	3.5
NORTHWESTERN MUTUAL LIFE	047	EL	S	72,700	54.93	n/a	7-May	2,908.00	4.0
DAVIDSON KEMPNER ADVISOR	040	ENB	C	20,000	37.83	n/a	n/a	600.00	3.0
Ⓕ ROHINI T SADARANGANI	FID	FB	B	200	27.06	n/a	n/a	0.00	0.0
SCOPUS FUND	041	FDO	S	1,300	70.03	n/a	5-Jun	52.00	4.0
ROYCE TRADING	031	FINL	B	2,000	19.62	n/a	31-May	100.00	5.0
⌘ BUCK CAPITAL MGMT		FL	B	30,000	31.13	n/a	18-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	S	20,000	76.05	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		GCO	S	20,000	64.79	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GCO	S	20,000	64.81	n/a	n/a	non-brg	4.0
KLEINHEINZ CAP PART	031	GIL	B	50,000	25.19	n/a	4-May	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		GMCR	S	214,476	23.22	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GMCR	S	50,000	23.28	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL	070	IN	B	2,700	6.28	n/a	n/a	108.00	4.0
⌘ BUCK CAPITAL MGMT		JNY	B	50,000	9.89	n/a	26-Apr	non-brg	3.0
⌘ BUCK CAPITAL MGMT		JNY	B	75,000	9.90	n/a	26-Apr	non-brg	3.0
CRAMER ROSENTHAL	070	JPM	B	400	33.55	n/a	5-Jun	16.00	4.0
⌘ BUCK CAPITAL MGMT		JWN	B	50,000	48.56	8-Jun	8-Jun	non-brg	4.0
GEORGE WEISS	034	JWN	S	8,200	48.75	8-Jun	8-Jun	328.00	4.0
LEVIN CAPITAL STRATEGIES	041	JWN	B	25,250	48.23	8-Jun	8-Jun	757.50	3.0
TUDOR INVESTORS	028	JWN	B	50,000	48.78	8-Jun	8-Jun	1,500.00	3.0
CRAMER ROSENTHAL	070	KFT	B	100	38.25	n/a	n/a	4.00	4.0
COHE & STEERS	041	KIM	S	156,500	18.27	n/a	n/a	4,695.00	3.0
⌘ BUCK CAPITAL MGMT		LLY	B	10,000	41.59	n/a	n/a	non-brg	4.0
DONALD SMITH	088	LPX	S	962,200	9.63	n/a	7-May	28,866.00	3.0
LISTED ERR	099	LPX	B	27	9.54	n/a	7-May	0.00	0.0
LISTED ERR	099	LPX	S	54	9.63	n/a	7-May	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		MCD	C	22,835	86.68	n/a	8-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	C	33,465	86.35	n/a	8-Jun	non-brg	2.5
CRAMER ROSENTHAL	070	MRK	B	200	38.40	n/a	n/a	8.00	4.0
PERRY PARTNERS	085	MS	B	50,000	13.50	n/a	5-Jun	2,000.00	4.0
CRAMER ROSENTHAL	070	MWW	B	2,900	8.17	n/a	n/a	116.00	4.0
TUDOR INVESTORS	028	M	SS	32,500	37.11	n/a	1-Jun	975.00	3.0
CRAMER ROSENTHAL	070	NI	B	300	25.29	n/a	n/a	12.00	4.0
CRAMER ROSENTHAL	070	NOV	B	100	67.09	n/a	n/a	4.00	4.0
FORTRESS INVESTMENT (US)	036	OIH	SS	30,000	34.97	n/a	n/a	1,200.00	4.0
⚡ BUCK CAPITAL MGMT		PAG	S	1,642	23.73	n/a	26-Apr	non-brg	4.0
CRAMER ROSENTHAL	070	PEP	B	100	68.16	n/a	n/a	4.00	4.0
⚡ BUCK CAPITAL MGMT		PII	B	20,000	74.33	n/a	n/a	non-brg	4.0
ROYCE TRADING	031	PLCE	B	500	45.57	n/a	5-Jun	25.00	5.0
CRAMER ROSENTHAL	070	PMTC	B	400	20.65	n/a	n/a	16.00	4.0
CRAMER ROSENTHAL	070	PNC	B	100	59.11	n/a	n/a	4.00	4.0
⚡ BUCK CAPITAL MGMT		PNRA	B	20,000	144.72	n/a	26-Apr	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ARSENAL ASSET MANAGEMENT	028	PPG	B	5,000	102.05	n/a	25-May	200.00	4.0
CRAMER ROSENTHAL	070	QCOM	B	200	58.59	n/a	n/a	8.00	4.0
CRAMER ROSENTHAL	070	RYN	B	200	43.12	n/a	3-May	8.00	4.0
Ⓕ BUCK CAPITAL MGMT		SHOO	S	24,964	39.75	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		SHOO	S	50,000	39.75	n/a	n/a	non-brg	4.0
SIMMONS VENTURES	041	SKS	SS	10,000	10.15	n/a	n/a	400.00	4.0
CRAMER ROSENTHAL	070	SO	B	100	47.26	n/a	n/a	4.00	4.0
Ⓕ BUCK CAPITAL MGMT		SSI	B	20,000	16.92	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL	070	STI	B	200	22.03	n/a	n/a	8.00	4.0
Ⓕ JONATHAN RICHARD HAR	FID	TIF	S	90	55.08	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		TJX	B	20,000	41.49	n/a	1-Jun	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		TPX	S	20,000	25.05	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		TRLG	SS	33,668	29.36	n/a	n/a	non-brg	4.0
ARSENAL ASSET MANAGEMENT	028	TRN	SS	10,000	24.66	n/a	n/a	400.00	4.0
1798 CAP/LOMBARD ASSET	040	TWI	B	20,000	21.59	n/a	n/a	1,000.00	5.0
WEINTRAUB CAPITAL MGT.	269	TZOO	B	17,500	23.42	n/a	n/a	700.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CRAMER ROSENTHAL	070	T	B	200	34.51	n/a	n/a	8.00	4.0
Ⓕ BUCK CAPITAL MGMT		ULTA	B	20,000	94.19	n/a	n/a	non-brg	4.0
ARSENAL ASSET MANAGEMENT	028	VAL	B	7,516	48.68	n/a	n/a	300.64	4.0
Ⓕ BUCK CAPITAL MGMT		VFC	B	10,000	138.73	n/a	27-Apr	non-brg	2.5
Ⓕ BUCK CAPITAL MGMT		WSM	SS	10,000	35.01	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		WWW	S	51,600	40.54	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		YUM	C	72,200	63.93	n/a	20-Apr	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		YUM	C	92,200	63.90	n/a	20-Apr	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		ZUMZ	B	18,000	37.54	n/a	n/a	non-brg	4.0
f DOUGLAS ROGERS	FID		B	25000	101.36	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID		B	185000	92.93	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								62,758.84	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/07	SCOPUS FUND	041	NWY	S	50,000	3.54	n/a	n/a	1500.00 3.0
AO,CXL	06/07	SCOPUS FUND	041	NWY	S	50,000	3.54	n/a	n/a	2000.00 4.0

TOTAL (AO, CXL, CRT) :	-500.00
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TOTAL (Trade Date) :	62,758.84
TOTAL (AO, CXL, CRT) :	-500.00

TOTAL (RUN DATE) / NFS:	62,258.84
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 8-Jun.

JWN

MRI Securities without Trade Activity on 8-Jun.

NAV

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)