



Daily Compliance Activity Report

Trade Date: 06/06/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	039	AAI	S	500	11.84	n/a	n/a	0.00	0.0
WEISS PECK	040	ABY	S	152,700	2.72	n/a	n/a	4,581.00	3.0
ELM RIDGE ADVISORS	044	ACF	C	100,936	26.26	n/a	n/a	4,037.44	4.0
BUCK CAPITAL MGMT	001	AEO	B	450,000	27.07	n/a	4-Jun	18,000.00	4.0
GRUBER MACBAINE	033	AEO	B	10,000	26.28	n/a	4-Jun	400.00	4.0
ADAGE CAPITAL MGMT	030	ANF	SS	30,000	78.77	n/a	4-Jun	1,200.00	4.0
J L F ASSET MGMT	039	ANF	SS	21,100	78.96	n/a	4-Jun	844.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	10,000	45.50	n/a	4-Jun	400.00	4.0
REINHART & MAHONEY	031	ARP	B	18,130	29.99	n/a	n/a	906.50	5.0
OSS CAPITAL/KEVIN DANN	067	ASD	S	5,000	60.26	31-May	31-May	150.00	3.0
PILOT ADV	038	AVY	SS	10,000	65.04	n/a	24-Apr	400.00	4.0
BUCK	015	AYR	B	1,000	39.24	n/a	n/a	0.00	0.0
MAGNETAR FINANCIAL	247	BBY	SS	20,600	48.49	1-May	11-May	824.00	4.0
WHITE PINE CAPITAL	030	BDSB	B	375	10.19	n/a	n/a	18.75	5.0
DARUMA ASSET MGMT INC	034	BIG	S	31,300	31.10	9-Mar	4-Jun	1,565.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	BKRS	S	1,000	8.50	n/a	n/a	0.00	0.0
KANTROWIT	N21	BKRS	S	200	8.51	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	BONT	B	100	49.45	25-May	4-Jun	5.00	5.0
LEDDY	035	BONT	B	80	49.01	25-May	4-Jun	0.00	0.0
WEISS PECK	040	BOW	B	18,400	24.05	n/a	27-Apr	552.00	3.0
GAULT	063	CBKFD	B	50	0.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	CBK	B	40,000	18.75	30-Mar	4-Jun	1,600.00	4.0
ADAGE CAPITAL MGMT	030	CHS	SS	60,000	26.17	31-May	4-Jun	2,400.00	4.0
GALLEON MGMT LP	033	CHS	B	50,000	26.06	31-May	4-Jun	2,500.00	5.0
KANTROWIT	N21	CMG	B	25	84.65	24-Apr	24-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	COH	B	10,000	49.06	23-Feb	25-Apr	400.00	4.0
PIEDRA CAPITAL	031	COH	S	55,185	49.20	23-Feb	25-Apr	2,759.25	5.0
BEAR STEARNS ASSET MGMT.	033	COST	S	77,800	55.84	n/a	5-Jun	3,112.00	4.0
LEDDY	035	CRI	S	500	26.84	n/a	n/a	0.00	0.0
SAC TRADING HOWARD	038	CWTR	S	20,000	24.68	n/a	n/a	1,000.00	5.0
THIRD COAST CAPITAL	035	CWTR	SS	35,000	24.41	n/a	n/a	1,750.00	5.0
WEISS PECK	040	DCNAQ	S	29,000	2.11	n/a	n/a	870.00	3.0
ADAGE CAPITAL MGMT	030	DDS	B	150,000	35.19	n/a	n/a	6,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	DFZ	B	300	11.24	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DSW	B	10,000	36.48	7-May	5-Jun	400.00	4.0
BUCK	015	DUK	B	1,500	19.09	n/a	n/a	0.00	0.0
DSG CAPITAL	225	EDA	B	800	12.05	n/a	n/a	40.00	5.0
LEDDY	035	EDA	B	2,000	11.60	n/a	n/a	0.00	0.0
BUCK	015	ED	B	700	47.35	n/a	n/a	0.00	0.0
LEDDY	035	EFT	B	800	19.00	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	EMN	B	20,000	65.45	n/a	n/a	1,200.00	6.0
PZENA INV ADV	044	FIS	S	86,724	53.20	n/a	n/a	3,468.96	4.0
WHITE PINE CAPITAL	030	GGG	B	250	40.42	n/a	n/a	12.50	5.0
SANDLER O'NEILL ASSET	031	GS	SS	7,300	226.12	n/a	30-May	365.00	5.0
WHITE PINE CAPITAL	030	IBI	B	200	24.26	n/a	n/a	10.00	5.0
LEDDY	035	ICON	S	150	22.69	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	IFF	B	125	50.83	3-May	3-May	6.25	5.0
GRUSS CAPITAL	040	IWM	SS	10,000	83.67	n/a	n/a	400.00	4.0
SYSTEMATIC FINANCIAL MGT	035	JBX	B	10,000	74.84	24-Apr	24-Apr	375.00	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	JCP	B	100	81.01	20-Apr	4-Jun	0.00	0.0
SANDLER O'NEILL ASSET	031	JNS	B	25,000	27.48	26-Apr	23-May	1,250.00	5.0
BUCK	015	JWNSJ	S	5	0.87	n/a	n/a	0.00	0.0
BUCK	015	JWN	B	500	52.66	27-Feb	4-Jun	0.00	0.0
WEISS PECK	040	KEGS	B	15,000	18.50	n/a	4-Jun	450.00	3.0
DELAWARE MANAGEMENT	243	KRC	B	39,100	75.39	n/a	n/a	1,955.00	5.0
CASEY CAPITAL	067	KVA	S	5,000	27.68	n/a	n/a	250.00	5.0
US BANCORP	030	KVA	B	33,050	27.75	n/a	n/a	1,652.50	5.0
LEDDY	035	LEH	S	300	74.23	n/a	30-May	0.00	0.0
BARR	096	LEND	C	1,500	14.85	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	LTD	B	21,600	26.39	16-May	4-Jun	1,080.00	5.0
BUCK	015	LVS	S	800	79.83	n/a	n/a	0.00	0.0
OSS CAPITAL/KEVIN DANN	067	LXK	B	60,500	50.97	n/a	n/a	1,815.00	3.0
RIVER RUN MGMT	031	MCCC	B	30,000	9.85	4-Jun	5-Jun	1,200.00	4.0
TROUBH PART	067	MCCC	B	20,000	9.84	4-Jun	5-Jun	1,000.00	5.0
SUN AMERICA ASSET MGMT	030	MER	S	70,400	89.86	20-Apr	30-May	3,520.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	MER	S	192	90.78	20-Apr	30-May	0.00	0.0
Ö Glenn Redemann		MSA	B	2	0.6200	n/a	n/a	0.00	0.0
Ö Glenn Redemann		MSA	B	2	0.7800	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	MU	S	44,000	12.18	n/a	n/a	2,200.00	5.0
ROBX	933	MWA	B	70,000	15.98	n/a	n/a	1,400.00	2.0
GALLEON MGMT LP	033	MWV	S	20,000	35.61	3-May	3-May	1,000.00	5.0
LEDDY	035	MZZ	B	1,200	50.25	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	NE	S	6,715	92.38	n/a	n/a	232.25	4.6
BUCK CAPITAL MGMT	001	NKE	SS	50,000	56.49	n/a	n/a	2,000.00	4.0
JP MORGAN VENTURES	046	NWY	B	10,000	11.53	25-May	25-May	500.00	5.0
MAGNETAR FINANCIAL	247	PEP	SS	14,900	67.11	n/a	n/a	596.00	4.0
HOOVER INV. MGMT	033	PFCB	S	55,500	37.31	24-Apr	24-Apr	2,775.00	5.0
COUGAR TRADING	044	PSUN	B	20,000	20.35	n/a	4-Jun	1,000.00	5.0
BUCK CAPITAL MGMT	001	PTT	B	10,000	9.13	n/a	n/a	400.00	4.0
BARR	096	QID	B	300	46.68	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	RDEN	B	50,000	23.03	4-May	4-May	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		RS	B	5	0.2900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RS	B	5	0.5400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RS	SS	5	0.8600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RS	SS	5	1.4700	n/a	n/a	0.00	0.0
SUTERA	160	SCRX	B	1,000	25.17	27-Apr	5-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	31.95	n/a	13-Mar	400.00	4.0
MILLENIUM PARTNERS	028	SSCC	B	25,000	12.81	4-May	4-May	1,000.00	4.0
Õ Glenn Redemann		STI	B	1	1.3500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		STI	B	1	1.4500	n/a	n/a	0.00	0.0
BARR	096	SWIM	SS	1,500	11.87	n/a	n/a	0.00	0.0
SAILFISH	035	TJX	B	20,000	28.34	21-Mar	4-Jun	1,000.00	5.0
GALLEON MGMT LP	033	TS	B	5,000	47.97	n/a	n/a	250.00	5.0
US BANCORP	030	TWB	S	45,800	42.28	23-May	23-May	2,290.00	5.0
GALLEON MGMT LP	033	T	B	10,000	40.62	25-Apr	5-Jun	500.00	5.0
MAGUIRE	039	UAUA	S	200	35.93	n/a	n/a	0.00	0.0
ACT II PARTNERS	067	VG	B	22,800	3.01	9-Mar	5-Jun	912.00	4.0
Õ Glenn Redemann		WCG	??	7	0.1100	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Ö	Glenn Redemann		WCG	??	7	0.1500	n/a	n/a	0.00	0.0
	DSG CAPITAL	225	WCRX	B	10,000	17.68	n/a	5-Jun	500.00	5.0
	JP MORGAN VENTURES	046	WINN	S	25,000	29.87	n/a	n/a	1,250.00	5.0
	DEEPHAVEN	031	WMT	B	30,000	50.74	4-Jun	5-Jun	1,500.00	5.0
	ZOLIDIS	011	WMT	B	300	50.78	4-Jun	5-Jun	0.00	0.0
TOTAL (Trade Date):									100,430.40	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/05	DE SHAW (DESHMERRIL)	040	BKHM	B	100,000	2.13	n/a	n/a	3000.00 3.0
AO,CRT	06/05	DE SHAW (DESHBARCLA)	040	BKHM	B	100,000	2.13	n/a	n/a	3000.00 3.0
AO,CXL	06/05	SAVANNAH-BALTIMORE (SAVAGOLDMA)	012	EMC	B	483,000	16.99	n/a	n/a	24150.00 5.0
AO,CRT	06/05	SAVANNAH-BALTIMORE (SAVAGOLDMA)	012	EMC	B	483,000	16.99	n/a	n/a	19320.00 4.0
AO,CXL	06/05	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	FL	S	50,000	21.96	24-May	24-May	2000.00 4.0
AO,CRT	06/05	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	FL	S	49,900	21.96	24-May	24-May	1996.00 4.0
AO,	06/05	BUCK CAPITAL MGMT (BUCKGIL)	001	FL	S	100	21.96	24-May	24-May	4.00 4.0
AO,	06/04	LISTED ERR (LISTED ERR)	099	JCP	S	100	82.19	20-Apr	4-Jun	0.00 0.0
AO,	06/05	MKT MAKER (MKT MAKER)	099	MCCC	B	58	9.50	4-Jun	5-Jun	0.00 0.0
AO,	06/05	MKT MAKER (MKT MAKER)	099	PERY	S	21	31.21	n/a	n/a	0.00 0.0
AO,CXL	06/01	TRELLUS PARTNERS LP (TRELMAIN)	028	PSYS	S	30,000	37.85	n/a	n/a	1200.00 4.0
AO,CRT	06/01	TRELLUS PARTNERS LP (TRELMAIN)	028	PSYS	S	30,000	37.85	n/a	n/a	1500.00 5.0
AO,CRT	06/05	REINHART & MAHONEY (REINMATR)	031	SUNW	B	2,300	5.02	n/a	n/a	115.00 5.0
AO,CXL	06/05	REINHART & MAHONEY (REINBRAEGE)	031	SUNW	B	2,300	5.02	n/a	n/a	115.00 5.0
AO,	06/05	REINHART & MAHONEY (REINBRAEGE)	031	SUNW	B	2,000	5.01	n/a	n/a	100.00 5.0
AO,	06/05	US BANCORP (USBCFEDSCC)	030	TWB	S	811	42.89	23-May	23-May	40.55 5.0
AO,	06/05	US BANCORP (USBCFABAXS)	030	TWB	S	961	42.89	23-May	23-May	48.05 5.0
AO,	06/05	US BANCORP (USBCEMGRX)	030	TWB	S	59,895	42.89	23-May	23-May	2994.75 5.0
AO,	06/05	US BANCORP (USBCSIMS)	030	TWB	S	1,238	42.89	23-May	23-May	61.90 5.0
AO,CXL	06/05	IMPALA ASSET MMT (IMPACITI)	012	WRCN	B	125,000	40.36	n/a	n/a	3750.00 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/05	IMPALA ASSET MMT (IMPACITI)	012	YRCW	B	125,000	40.36	n/a	n/a	3750.00 3.0
TOTAL (AO, CXL, CRT) :										-1,284.75

TOTAL (Trade Date):										100,430.40
TOTAL (AO, CXL, CRT):										-1,284.75
TOTAL (RUN DATE) / NFS:										99,145.65

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION