



# Daily Compliance Activity Report

Trade Date: 12/18/2006

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	ACL	B	300	111.88	25-Jul	18-Dec	15.00	5.0
B BUCK CAPITAL MGMT		ADTN	SS	15,000	21.91	n/a	22-Mar	non-brg	5.0
B BUCK CAPITAL MGMT		AEOS	B	15,000	47.00	15-Nov	5-Dec	non-brg	5.0
WHITE PINE CAPITAL	030	AGO	S	75	26.44	n/a	n/a	3.75	5.0
WHITE PINE CAPITAL	030	AGU	S	900	29.76	n/a	n/a	45.00	5.0
WHITE PINE CAPITAL	030	AIN	S	275	33.10	n/a	n/a	13.75	5.0
Õ Glenn Redemann		AKAM	B	5	0.28	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AKAM	B	5	0.34	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AKAM	S	5	0.86	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AKAM	S	5	1.01	n/a	n/a	0.00	0.0
SEASONS CAPITAL	040	AMAT	SS	100,000	18.33	n/a	n/a	5,000.00	5.0
ARTISAN PARTNERS LP	031	AMD	B	200,000	21.89	n/a	n/a	10,000.00	5.0
B BUCK CAPITAL MGMT		ANF	C	15,000	68.17	3-Nov	1-Dec	non-brg	5.0
J L F ASSET MGMT	039	ANF	SS	43,400	68.64	3-Nov	1-Dec	1,736.00	4.0
FARBER/WEISS PECK	012	ANN	SS	8,500	33.70	n/a	1-Dec	425.00	5.0
B BUCK CAPITAL MGMT		ANST	SS	7,000	27.33	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CAXTON PART	040	AOC	S	70,000	36.10	n/a	n/a	2,800.00	4.0
NWQ INVESTMENT MGMT CO	048	APA	B	10,000	66.95	7-Aug	8-Dec	400.00	4.0
<b>BACKUS</b>	<b>005</b>	<b>APC</b>	<b>S</b>	<b>2,000</b>	<b>43.95</b>	<b>1-Sep</b>	<b>13-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>SCHEINER</b>	<b>037</b>	<b>APC</b>	<b>S</b>	<b>750</b>	<b>44.03</b>	<b>1-Sep</b>	<b>13-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>S</b>	<b>25,000</b>	<b>31.04</b>	<b>23-Oct</b>	<b>1-Dec</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	ARO	S	10,000	30.87	23-Oct	1-Dec	500.00	5.0
FARBER/WEISS PECK	012	ARO	SS	30,000	31.02	23-Oct	1-Dec	1,500.00	5.0
J L F ASSET MGMT	039	ARO	S	63,300	31.22	23-Oct	1-Dec	2,532.00	4.0
RIDGECRST PARTNERS	035	ATHR	SS	5,000	21.55	n/a	n/a	250.00	5.0
PATTEN & PATTEN	067	BBH	S	500	186.37	n/a	n/a	25.00	5.0
<b>Ö</b> <b>Glenn Redemann</b>		<b>BEP</b>	<b>B</b>	<b>2000</b>	<b>18.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAILFISH	037	BID	B	10,000	32.15	n/a	n/a	500.00	5.0
ROYCE TRADING	216	BKE	S	2,200	52.54	n/a	n/a	110.00	5.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>C</b>	<b>5,000</b>	<b>34.39</b>	<b>23-Oct</b>	<b>1-Dec</b>	<b>non-brg</b>	<b>5.0</b>
WHITE PINE CAPITAL	030	BONT	S	350	34.35	23-Oct	1-Dec	17.50	5.0
WOOSTER CAPITAL	035	BONT	B	25,000	34.14	23-Oct	1-Dec	1,250.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>BONT</b>	<b>B</b>	<b>1,000</b>	<b>34.93</b>	<b>23-Oct</b>	<b>1-Dec</b>	<b>0.00</b>	<b>0.0</b>
XYLEM GLOBAL	024	BOW	B	48,300	22.37	27-Oct	14-Dec	2,415.00	5.0
WHITE PINE CAPITAL	030	BRL	S	175	51.62	15-Aug	18-Dec	8.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WILLIAM BLAIR	031	BSX	S	1,500	16.16	n/a	22-Apr	60.00	4.0
REINHART & MAHONEY	031	BWA	B	7,655	57.39	n/a	n/a	355.62	4.7
NWQ INVESTMENT MGMT CO	048	CA	B	35,000	22.75	n/a	n/a	1,400.00	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>CBK</b>	<b>SS</b>	<b>500</b>	<b>18.37</b>	<b>11-Dec</b>	<b>19-Dec</b>	<b>0.00</b>	<b>0.0</b>
NWQ INVESTMENT MGMT CO	048	CCU	B	10,000	35.54	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CC</b>	<b>S</b>	<b>40,000</b>	<b>22.81</b>	<b>n/a</b>	<b>8-Dec</b>	<b>non-brg</b>	<b>5.0</b>
TRIVIUM CAP MGMT	028	CC	C	50,000	22.88	n/a	8-Dec	2,000.00	4.0
NWQ INVESTMENT MGMT CO	048	CFC	B	10,000	41.62	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CHRS</b>	<b>SS</b>	<b>10,000</b>	<b>14.13</b>	<b>n/a</b>	<b>16-Nov</b>	<b>non-brg</b>	<b>5.0</b>
GRANAHAN INV	039	CHRS	B	100,000	14.10	n/a	16-Nov	4,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>B</b>	<b>90,000</b>	<b>42.60</b>	<b>7-Dec</b>	<b>7-Dec</b>	<b>3,600.00</b>	<b>4.0</b>
THE BOSTON COMPANY	214	COH	S	21,000	43.29	7-Dec	7-Dec	1,050.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>B</b>	<b>40,000</b>	<b>26.73</b>	<b>n/a</b>	<b>n/a</b>	<b>1,600.00</b>	<b>4.0</b>
WHITE PINE CAPITAL	030	CSE	S	521	27.86	n/a	n/a	26.05	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CTRN</b>	<b>C</b>	<b>20,000</b>	<b>42.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CWTR</b>	<b>C</b>	<b>25,000</b>	<b>25.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>SCHWARZWAL</b>	<b>N18</b>	<b>CXTI</b>	<b>B</b>	<b>2,000</b>	<b>4.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	CYN	S	175	69.23	n/a	n/a	8.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>10,000</b>	<b>57.05</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>30,000</b>	<b>57.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
WHITE PINE CAPITAL	030	DRC	S	300	25.21	n/a	n/a	15.00	5.0
WILLIAM BLAIR	031	ECA	S	28,400	47.55	n/a	n/a	1,136.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>EGLT</b>	<b>SS</b>	<b>5,000</b>	<b>14.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
WILLIAM BLAIR	031	ERTS	B	2,500	53.70	n/a	n/a	100.00	4.0
<b>BACKUS</b>	<b>005</b>	<b>EVST</b>	<b>S</b>	<b>1,000</b>	<b>18.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	FAF	S	500	41.22	n/a	n/a	25.00	5.0
BENNETT LAWRENCE	044	FAST	S	2,100	35.28	n/a	n/a	105.00	5.0
WILLIAM BLAIR	031	FAST	B	21,650	35.50	n/a	n/a	866.00	4.0
WHITE PINE CAPITAL	030	FLEX	S	2,798	11.86	n/a	n/a	139.90	5.0
EGS ASSOCIATES LP	059	FL	S	3,500	22.30	n/a	7-Dec	210.00	6.0
EIDELMAN, FINGER & CO	046	FRED	B	10,000	12.11	30-Nov	1-Dec	500.00	5.0
PATTEN & PATTEN	067	GE	B	200	37.98	n/a	n/a	10.00	5.0
WHITE PINE CAPITAL	030	GGG	S	175	40.26	n/a	n/a	8.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>1,915</b>	<b>21.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 2.0</b>
WILLIAM BLAIR	031	GILD	B	11,600	64.56	n/a	n/a	464.00 4.0
WHITE PINE CAPITAL	030	GLBL	S	625	13.92	n/a	n/a	31.25 5.0
<b>BARR</b>	<b>096</b>	<b>GLD</b>	<b>S</b>	<b>200</b>	<b>61.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
PATTEN & PATTEN	067	GLW	B	1,800	19.80	n/a	18-Dec	90.00 5.0
<b>SCHEINER</b>	<b>037</b>	<b>GLW</b>	<b>S</b>	<b>3,700</b>	<b>19.53</b>	<b>n/a</b>	<b>18-Dec</b>	<b>0.00 0.0</b>
WHITE PINE CAPITAL	030	GMRK	S	1,375	38.06	n/a	n/a	68.75 5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>C</b>	<b>140,000</b>	<b>20.40</b>	<b>n/a</b>	<b>1-Dec</b>	<b>non-brg 5.0</b>
FRIESS MGMT	042	GRP	B	244,400	42.10	n/a	n/a	10,998.00 4.5
WHITE PINE CAPITAL	030	GTRC	S	475	44.72	n/a	n/a	23.75 5.0
STRAUSS ASSET MGMT	040	GW	B	25,000	7.28	n/a	n/a	1,000.00 4.0
RIDGECRST PARTNERS	035	GYMB	SS	5,000	37.64	1-Dec	1-Dec	250.00 5.0
RIDGECRST PARTNERS	035	HET	B	5,000	82.27	3-Oct	26-Oct	250.00 5.0
J L F ASSET MGMT	039	HIBB	S	38,038	31.28	n/a	n/a	1,521.52 4.0
WHITE PINE CAPITAL	030	HMX	S	1,850	6.99	n/a	n/a	92.50 5.0
HIGHBRIDGE CAPITAL	038	HOT	SS	15,000	63.04	n/a	n/a	750.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	HPY	S	1,500	29.12	n/a	n/a	60.00	4.0
PATTEN & PATTEN	067	IAR	S	15	27.22	n/a	n/a	0.75	5.0
WHITE PINE CAPITAL	030	IFF	S	650	48.68	10-Nov	22-Nov	32.50	5.0
⌘ BUCK CAPITAL MGMT		IJJ	SS	38,000	80.64	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IJR	C	40,500	66.76	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IJS	SS	35,000	76.05	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IKAN	B	10,000	8.64	n/a	n/a	non-brg	4.0
WHITE PINE CAPITAL	030	IO	S	850	13.06	n/a	n/a	42.50	5.0
XYLEM GLOBAL	024	IP	SS	50,000	34.26	n/a	14-Dec	2,500.00	5.0
WILLIAM BLAIR	031	IRM	S	30,000	41.48	n/a	n/a	1,200.00	4.0
NWQ INVESTMENT MGMT CO	048	IR	B	25,000	39.35	n/a	n/a	1,000.00	4.0
RIVER RUN MGMT	031	ITCD	B	23,800	2.59	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		IWM	C	207,600	79.03	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IWO	SS	205,000	79.69	n/a	n/a	non-brg	2.0
WHITE PINE CAPITAL	030	JCS	S	1,600	9.96	n/a	n/a	80.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	KNL	S	5,600	20.36	n/a	n/a	224.00	4.0
GENERAL ELECTIC CO	033	KO	S	100,000	48.96	n/a	n/a	4,500.08	4.5
CUMBERLAND	038	KSS	B	56,500	71.75	10-Nov	1-Dec	2,260.00	4.0
MAGNETAR FINANCIAL	247	KWK	B	92,000	38.80	n/a	n/a	3,680.00	4.0
BENNETT LAWRENCE	044	LIFC	S	2,200	21.70	n/a	n/a	110.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MANH</b>	<b>C</b>	<b>30,000</b>	<b>30.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
BENNETT LAWRENCE	044	MDRX	B	36,450	28.00	n/a	n/a	1,822.50	5.0
PATTEN & PATTEN	067	MDT	S	1,750	54.10	n/a	22-Apr	87.50	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MDY</b>	<b>C</b>	<b>20,500</b>	<b>148.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
MAZAMA CAPITAL MGMT.	035	MTZ	S	5,400	11.68	n/a	n/a	216.00	4.0
J L F ASSET MGMT	039	MW	SS	26,500	37.74	n/a	n/a	1,060.00	4.0
REICH AND TANG	037	NAV	S	85,000	31.80	n/a	n/a	4,250.00	5.0
WHITE PINE CAPITAL	030	NBL	S	300	50.54	n/a	n/a	15.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NDN</b>	<b>S</b>	<b>20,000</b>	<b>12.24</b>	<b>n/a</b>	<b>1-Dec</b>	<b>800.00</b>	<b>4.0</b>
PATTEN & PATTEN	067	NEM	B	2,200	47.20	n/a	n/a	110.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WASHINGTON ASSET	048	NKTR	S	25,000	16.16	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	NOK	S	2,800	20.50	n/a	n/a	140.00	5.0
BUCK CAPITAL MGMT	001	NWY	S	10,000	13.94	1-Dec	1-Dec	400.00	4.0
Õ Glenn Redemann		NYX	B	6	0.91	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NYX	B	6	1.01	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NYX	S	6	1.56	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NYX	S	6	1.96	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	OLN	S	850	16.81	n/a	27-Oct	42.50	5.0
BUCK CAPITAL MGMT	001	OXM	B	5,000	49.70	n/a	n/a	200.00	4.0
LUCHANSKY4	036	PCL	B	200	38.29	24-Oct	14-Dec	0.00	0.0
WHITE PINE CAPITAL	030	PERY	S	350	42.22	n/a	n/a	17.50	5.0
WILLIAM BLAIR	031	PETM	B	2,300	29.87	n/a	n/a	92.00	4.0
PATTEN & PATTEN	067	PHO	B	800	18.51	n/a	n/a	40.00	5.0
BENNETT LAWRENCE	044	PLCM	B	1,050	31.01	n/a	22-Mar	52.50	5.0
REINHART & MAHONEY	031	PPDI	B	31,185	32.55	n/a	n/a	1,559.25	5.0
WILLIAM BLAIR	031	PPDI	B	12,400	32.55	n/a	n/a	496.00	4.0
CARDINAL CAPITAL	044	PSS	B	21,700	32.73	n/a	n/a	1,085.00	5.0



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<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>B</b>	<b>60,000</b>	<b>51.30</b>	<b>22-Nov</b>	<b>22-Nov</b>	<b>2,400.00</b>	<b>4.0</b>
COLUMBIA PARTNERS	028	PVH	B	26,871	51.65	22-Nov	22-Nov	1,074.84	4.0
WILLIAM BLAIR	031	PX	S	22,000	62.10	n/a	25-Oct	880.00	4.0
WHITE PINE CAPITAL	030	RAH	S	600	50.61	n/a	n/a	30.00	5.0
WHITE PINE CAPITAL	030	RCKY	S	500	17.29	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	RF	S	637	37.26	n/a	n/a	31.85	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>75,000</b>	<b>77.80</b>	<b>7-Dec</b>	<b>7-Dec</b>	<b>3,000.00</b>	<b>4.0</b>
WHITE PINE CAPITAL	030	ROP	S	450	50.73	n/a	n/a	22.50	5.0
J L F ASSET MGMT	039	RSH	SS	86,800	17.16	n/a	n/a	3,472.00	4.0
WHITE PINE CAPITAL	030	RVI	S	875	19.09	n/a	n/a	43.75	5.0
<b>BACKUS</b>	<b>005</b>	<b>RVI</b>	<b>S</b>	<b>1,000</b>	<b>19.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WILLIAM BLAIR	031	SCHW	B	53,650	19.23	n/a	n/a	2,146.00	4.0
J L F ASSET MGMT	039	SCSS	SS	57,500	17.29	n/a	n/a	2,300.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SKNN</b>	<b>B</b>	<b>125,000</b>	<b>2.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
MFP INVESTORS	032	SKS	S	20,000	18.00	n/a	n/a	1,000.00	5.0
WHITE PINE CAPITAL	030	SM	S	875	37.38	n/a	n/a	43.75	5.0

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<b>B BUCK CAPITAL MGMT</b>		<b>STN</b>	<b>C</b>	<b>20,000</b>	<b>81.85</b>	<b>n/a</b>	<b>3-Nov</b>	<b>non-brg 5.0</b>
PALMYRA CAPITAL	048	S	S	147,000	19.21	n/a	n/a	7,350.00 5.0
WHITE PINE CAPITAL	030	TCB	S	1,000	26.99	n/a	n/a	50.00 5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TEX</b>	<b>C</b>	<b>15,000</b>	<b>61.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 5.0</b>
IRONBOUND CAPITAL	012	TIN	B	82,400	44.99	n/a	15-Dec	4,120.00 5.0
<b>BACKUS</b>	<b>005</b>	<b>TRI</b>	<b>S</b>	<b>1,000</b>	<b>40.79</b>	<b>17-Oct</b>	<b>1-Dec</b>	<b>0.00 0.0</b>
SAB CAPITAL	034	TRLG	B	51,800	15.51	n/a	n/a	2,590.00 5.0
<b>MKT MAKER</b>	<b>099</b>	<b>TRLG</b>	<b>B</b>	<b>14</b>	<b>15.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
WHITE PINE CAPITAL	030	TUNE	S	3,400	4.70	n/a	n/a	136.00 4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TWB</b>	<b>C</b>	<b>100,000</b>	<b>42.27</b>	<b>5-Sep</b>	<b>8-Dec</b>	<b>non-brg 5.0</b>
<b>GASNER</b>	<b>025</b>	<b>UADMI</b>	<b>S</b>	<b>4</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
CAXTON PART	040	UAUA	S	25,000	44.50	n/a	n/a	1,000.00 4.0
<b>Õ Glenn Redemann</b>		<b>UAUA</b>	<b>B</b>	<b>5</b>	<b>0.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>Õ Glenn Redemann</b>		<b>UAUA</b>	<b>B</b>	<b>5</b>	<b>0.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>Õ Glenn Redemann</b>		<b>UAUA</b>	<b>S</b>	<b>5</b>	<b>0.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>Õ Glenn Redemann</b>		<b>UAUA</b>	<b>S</b>	<b>5</b>	<b>0.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
WHITE PINE CAPITAL	030	UCO	S	250	62.39	11-Oct	29-Nov	12.50 5.0
ARTISAN PARTNERS LP	031	UNFI	S	2,800	37.02	n/a	n/a	140.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>MKT MAKER</b>	<b>099</b>	<b>UNFI</b>	<b>S</b>	<b>95</b>	<b>37.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>10,000</b>	<b>23.42</b>	<b>7-Dec</b>	<b>11-Dec</b>	<b>non-brg</b>	<b>5.0</b>
CAXTON PART	040	USB	S	10,000	35.96	n/a	n/a	400.00	4.0
SAILFISH	037	USB	B	5,000	35.79	n/a	n/a	250.00	5.0
WHITE PINE CAPITAL	030	VAL	S	750	28.17	n/a	n/a	37.50	5.0
LINCOLN FUNDS	031	VZ	S	3,000	36.57	7-Dec	19-Dec	120.00	4.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>WCI</b>	<b>B</b>	<b>500</b>	<b>17.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	WDR	B	50,000	26.87	15-Dec	15-Dec	2,500.00	5.0
PATTEN & PATTEN	067	WYE	B	2,300	51.18	n/a	31-Mar	115.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ZQK</b>	<b>S</b>	<b>40,000</b>	<b>15.66</b>	<b>8-Sep</b>	<b>15-Dec</b>	<b>non-brg</b>	<b>5.0</b>
<b>TOTAL (Trade Date) :</b>								<b>127,818.86</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/15	BUCK CAPITAL MGMT	001	ANF	S	49,900	69.88	3-Nov	1-Dec	1996.00 4.0
AO,CXL	12/15	BUCK CAPITAL MGMT	001	ANF	S	49,900	69.88	3-Nov	1-Dec	2495.00 5.0
AO,CRT	12/15	ROBERTSON STEVENS BC	033	APOL	B	100	38.84	n/a	n/a	4.00 4.0
AO,CXL	12/15	ROBERTSON STEVENS BC	033	APOL	B	100	38.84	n/a	n/a	4.00 4.0
AO,CRT	12/14	SANDLER O'NEILL ASSET	031	BEN	S	5,000	114.01	9-Nov	12-Dec	250.00 5.0
AO,CXL	12/14	SANDLER O'NEILL ASSET	031	BEN	S	5,000	114.01	9-Nov	12-Dec	2.50 0.1
AO,	12/15	ROTHSCHILD ASSET	040	CPF	B	8,200	37.62	n/a	n/a	369.00 4.5
AO,CRT	12/15	NEEDHAM CAP	067	FOSL	SS	450	22.30	15-Nov	15-Nov	18.00 4.0
AO,CXL	12/15	NEEDHAM CAP	067	FOSL	SS	500	22.30	15-Nov	15-Nov	20.00 4.0
AO,CXL	12/11	RIVER RUN MGMT	031	ITCD	B	5,000	2.48	n/a	n/a	150.00 3.0
AO,CRT	12/11	RIVER RUN MGMT	031	ITCD	B	5,000	2.49	n/a	n/a	100.00 2.0
AO,CRT	12/15	FRANKLIN FUNDS N J	033	NFP	S	35,100	42.51	n/a	n/a	1755.00 5.0
AO,CXL	12/15	FRANKLIN FUNDS N J	035	NFP	S	35,100	42.51	n/a	n/a	1755.00 5.0
AO,	12/15	WILLIAM BLAIR	031	PETM	B	84,400	30.10	n/a	n/a	3376.00 4.0
AO,CRT	12/15	COLUMBIA PARTNERS	028	PVH	B	30,899	51.56	22-Nov	22-Nov	1235.96 4.0
AO,CXL	12/15	COLUMBIA PARTNERS	098	PVH	B	30,899	51.56	22-Nov	22-Nov	1235.96 4.0
AO,	12/15	DOUBLE V/PBX	044	WEBM	B	4,400	7.10	n/a	3-Apr	220.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>3,661.50</b>

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TOTAL (Trade Date):	127,818.86
TOTAL (AO, CXL, CRT):	3,661.50

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>131,480.36</b>
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MRI Securities with Trade Activity on 18-Dec. NONE
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MRI Securities without Trade Activity on 18-Dec. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 22% YTD = 23%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION