



Daily Compliance Activity Report

Trade Date: 07/24/2006

Potential ANALYST TRADE Violations: 0

Watch List **ACTIVITY ALERT: 1**

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	10,000	61.03	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		ACMR	S	10,000	16.30	n/a	n/a	non-brg	5.0
&LEEDSFAML	002	ADBL	S	5,500	8.13	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	ADRX	S	6,400	23.78	n/a	8-Nov	320.00	5.0
J L F ASSET MGMT	039	AGII	B	8,300	28.92	n/a	n/a	332.00	4.0
MORGENS WATERFALL	040	ALK	S	9,000	35.53	n/a	n/a	450.00	5.0
MATTHES CAPITAL MGMT	033	ANF	SS	8,000	53.56	6-Apr	4-Sep	320.00	4.0
BUCK CAPITAL MGMT	001	ANN	B	20,000	40.21	6-Jul	30-Jan	800.00	4.0
THE JAY GOLDMAN	034	ARO	S	10,000	29.03	7-Jul	4-Sep	400.00	4.0
AMARANTH	040	AXP	S	25,000	49.87	n/a	10-Sep	1,250.00	5.0
J L F ASSET MGMT	039	AZO	B	31,200	86.18	n/a	n/a	1,248.00	4.0
J L F ASSET MGMT	039	BBY	B	118,500	45.51	14-Jun	27-Jul	4,740.00	4.0
BUCK CAPITAL MGMT		BEBE	B	15,000	15.63	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		BGG	C	15,000	24.61	n/a	n/a	non-brg	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THE JAY GOLDMAN	034	BJ	S	10,000	27.93	n/a	27-Jul	400.00	4.0
THE BOSTON COMPANY	214	BLI	SS	93,500	15.71	n/a	n/a	2,805.00	3.0
J L F ASSET MGMT	039	BOBE	B	24,600	27.85	n/a	n/a	984.00	4.0
COUGAR TRADING	044	BONT	S	5,000	24.80	n/a	6-Feb	250.00	5.0
TRAFELET & COMP.	033	BONT	B	50,000	24.72	n/a	6-Feb	2,500.00	5.0
WHALE ROCK CAP	030	BONT	B	30,000	24.76	n/a	6-Feb	1,200.00	4.0
B BUCK CAPITAL MGMT		CAKE	B	45,000	23.38	28-Jun	24-Jul	non-brg	5.0
BUCK CAPITAL MGMT	001	CC	B	20,000	23.34	12-Apr	27-Jul	800.00	4.0
FORTRESS CAPITAL	040	CC	B	200,000	23.29	12-Apr	27-Jul	10,000.00	5.0
B BUCK CAPITAL MGMT		CHIC	SS	10,000	25.18	n/a	n/a	non-brg	5.0
&WHEELER	008	CHP	S	11,000	7.22	n/a	n/a	0.00	0.0
BANK OF NEW YORK	040	CLMS	S	25,485	26.07	9-Mar	10-Sep	1,146.83	4.5
MKT MAKER	099	CLMS	S	100	26.10	9-Mar	10-Sep	0.00	0.0
B BUCK CAPITAL MGMT		CMG/B	B	10,000	50.51	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		CMN	S	5,000	14.90	n/a	n/a	non-brg	5.0
&LEEDSFAML	002	CMN	S	1,500	14.95	n/a	n/a	0.00	0.0
&LEEDS	002	CMN	S	5,000	14.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	COH	B	15,000	26.85	n/a	29-Jul	600.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CENTURION PARTNERS	244	COH	B	20,000	27.17	n/a	29-Jul	1,000.00	5.0
&LEEDS	002	COH	B	4,000	27.20	n/a	29-Jul	0.00	0.0
&LEEDSFAML	002	COH	B	5,000	27.20	n/a	29-Jul	0.00	0.0
&LEDDY	035	COSI	S	700	4.56	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CPWM	SS	10,000	13.67	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	CRI	B	5,000	26.07	n/a	n/a	200.00	4.0
J L F ASSET MGMT	039	CWTR	B	4,000	20.39	n/a	n/a	160.00	4.0
B BUCK CAPITAL MGMT		C	S	20,000	47.26	n/a	17-Aug	non-brg	5.0
PLATTE RIVER CAPITAL	035	C	B	7,500	47.40	n/a	17-Aug	300.00	4.0
J L F ASSET MGMT	039	DBRN	B	6,700	22.75	n/a	n/a	268.00	4.0
BUCK CAPITAL MGMT	001	DDS	SS	10,000	30.10	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		DECK	C	20,000	38.15	n/a	n/a	non-brg	5.0
ALTA CAPITAL MGMT	046	DELL	S	11,395	20.15	n/a	n/a	569.75	5.0
B BUCK CAPITAL MGMT		DIA	C	50,000	109.27	n/a	n/a	non-brg	3.0
J L F ASSET MGMT	039	DRI	B	131,300	34.54	29-Jun	13-Aug	5,252.00	4.0
&LEDDY	035	DVW	S	4,000	1.64	n/a	n/a	0.00	0.0
KEEL CAPITAL	036	ERTS	S	16,600	45.40	n/a	n/a	830.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		FAST	C	20,000	33.59	n/a	n/a	non-brg 5.0
SANDLER CAPITAL	038	FDX	S	50,300	108.77	n/a	n/a	3,018.00 6.0
BUCK CAPITAL MGMT	001	FD	B	50,000	33.79	n/a	n/a	2,000.00 4.0
CENTURION PARTNERS	244	FD	B	30,000	34.22	n/a	n/a	1,500.00 5.0
WHALE ROCK CAP	030	FD	B	30,000	34.56	n/a	n/a	1,200.00 4.0
&WHEELER	008	FHAKH	S	9	6.30	n/a	n/a	0.00 0.0
B BUCK CAPITAL MGMT		FNLY	S	172,900	6.90	n/a	n/a	non-brg 4.0
B BUCK CAPITAL MGMT		FNLY	S	88,700	6.85	n/a	n/a	non-brg 2.0
PLATTE RIVER CAPITAL	035	FWLT	B	4,000	33.92	n/a	n/a	160.00 4.0
FINANCIAL STOCK LP	028	GBL	S	5,186	34.78	9-Mar	9-Sep	207.44 4.0
BUCK CAPITAL MGMT	001	GCO	B	60,000	28.96	n/a	10-Jan	2,400.00 4.0
CHARTER OAK	037	GHDX	B	5,000	11.46	n/a	n/a	250.00 5.0
B BUCK CAPITAL MGMT		GIII	B	7,600	10.69	n/a	n/a	non-brg 5.0
B BUCK CAPITAL MGMT		GTRC	B	10,000	41.99	n/a	n/a	non-brg 5.0
&LEEDSFAML	002	GTRC	B	2,500	41.97	n/a	n/a	0.00 0.0
&LEEDS	002	GTRC	B	4,000	41.97	n/a	n/a	0.00 0.0
B BUCK CAPITAL MGMT		GYMB	C	10,000	32.32	28-Jun	4-Sep	non-brg 5.0
B BUCK CAPITAL MGMT		GYMB	C	30,000	32.40	28-Jun	4-Sep	non-brg 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CENTURION PARTNERS	244	HD	B	10,000	34.61	n/a	2-Sep	500.00	5.0
MAIRS AND POWER INV	030	HRL	S	10,000	37.86	n/a	n/a	600.00	6.0
U.S.TRUST COMPANY	012	HRS	B	54,050	39.06	2-May	13-Aug	2,162.00	4.0
&GAULT	063	HRS	S	1,000	39.32	2-May	13-Aug	0.00	0.0
NEW JERSEY DIV OF INV.	220	IBM	S	60,000	75.34	n/a	n/a	3,000.00	5.0
STRONG/WELL HOWARD	038	IGT	S	100,000	38.10	n/a	27-Jul	4,000.00	4.0
B BUCK CAPITAL MGMT		IMCL	SS	80,000	35.03	n/a	n/a	non-brg	5.0
THE JAY GOLDMAN	034	JCG	B	50,000	25.24	n/a	30-Jan	2,000.00	4.0
CENTURION PARTNERS	244	JCP	B	50,000	63.90	5-May	4-Sep	2,500.00	5.0
BUCK CAPITAL MGMT	001	JNY	B	50,000	28.75	n/a	30-Jul	2,000.00	4.0
STRAUSS ASSET MGMT	040	KMX	S	17,500	33.42	n/a	10-Jan	875.00	5.0
AMARANTH	040	KSS	SS	5,400	55.57	1-May	4-Sep	270.00	5.0
AMARANTH	040	KSS	S	39,600	55.50	1-May	4-Sep	1,980.00	5.0
BUCK CAPITAL MGMT	001	KWD	SS	10,000	26.68	n/a	n/a	400.00	4.0
J L F ASSET MGMT	039	KWD	B	54,756	26.62	n/a	n/a	2,190.24	4.0
BUCK CAPITAL MGMT	001	LIZ	B	40,000	35.69	n/a	13-Aug	1,600.00	4.0
J L F ASSET MGMT	039	LMT	B	52,100	76.48	n/a	n/a	2,084.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J L F ASSET MGMT	039	MCD	B	56,800	34.74	n/a	9-Sep	2,272.00	4.0
DAVID J GREEN & CO	040	MGLN	S	17,600	44.22	n/a	n/a	1,013.00	5.3
DAVID J GREEN & CO	040	MGLN	S	2,500	44.22	n/a	n/a	125.00	5.0
RIDGECRST PARTNERS	035	MHS	B	5,000	60.63	n/a	n/a	250.00	5.0
J L F ASSET MGMT	039	MLM	B	50,800	81.00	n/a	n/a	2,032.00	4.0
&SINCLAIR	031	MOT	S	500	21.25	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MVRX	C	110,000	158.77	n/a	n/a	non-brg	15.9
XYLEM GLOBAL	024	MWV	SS	20,000	26.16	24-Jul	29-Jul	1,000.00	5.0
&LUCHANSKY	036	NDN	SS	300	10.84	n/a	6-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		NTRI	B	10,000	60.34	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		NTRI	B	5,000	66.90	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	NWY	B	20,000	10.42	3-Mar	30-Jan	800.00	4.0
ß BUCK CAPITAL MGMT		OEF	C	100,000	57.90	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	OSI	B	65,000	31.74	n/a	24-Apr	2,600.00	4.0
ß BUCK CAPITAL MGMT		PERY	S	10,000	25.63	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		PFCB	B	25,000	31.91	6-Jul	22-Jul	non-brg	1.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAXON WOODS ADVISORS	038	PHH	S	10,000	25.81	n/a	n/a	500.00	5.0
DSM CAPITAL PARTNERS	032	PIR	B	26,650	6.61	n/a	n/a	1,332.50	5.0
THE JAY GOLDMAN	034	PLCE	S	10,000	53.67	1-May	4-Sep	400.00	4.0
BUCK CAPITAL MGMT	001	PVH	B	5,000	34.01	9-Jun	8-Sep	200.00	4.0
IRON HORSE CAPITAL MGMT	038	RL	SS	3,600	53.58	16-Jun	6-Aug	180.00	5.0
WHALE ROCK CAP	030	RL	B	30,000	55.65	16-Jun	6-Aug	1,200.00	4.0
WOOSTER CAPITAL	035	RL	B	50,000	54.00	16-Jun	6-Aug	2,500.00	5.0
&OGORMAN	146	RL	SS	200	55.20	16-Jun	6-Aug	0.00	0.0
B BUCK CAPITAL MGMT		RTH	C	50,000	90.28	n/a	n/a	non-brg	1.5
WHITE PINE CAPITAL	030	RVI	S	300	17.39	n/a	n/a	15.00	5.0
WHITE PINE CAPITAL	030	RVI	S	450	17.39	n/a	n/a	22.50	5.0
J L F ASSET MGMT	039	SCVL	SS	2,800	21.69	n/a	n/a	112.00	4.0
B BUCK CAPITAL MGMT		SPY	C	50,000	124.79	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		STN	C	10,000	60.00	n/a	9-Aug	non-brg	5.0
B BUCK CAPITAL MGMT		TEVA	B	10,000	29.30	22-Jun	10-Sep	non-brg	5.0
MORGENS WATERFALL	040	TEVA	B	200,000	29.64	22-Jun	10-Sep	10,000.00	5.0
J L F ASSET MGMT	039	THO	B	48,000	42.96	n/a	n/a	1,920.00	4.0
B BUCK CAPITAL MGMT		TJX	C	70,000	24.36	n/a	4-Sep	non-brg	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EGS ASSOCIATES LP	005	TJX	SS	3,000	24.74	n/a	4-Sep	180.00	6.0
BUCK CAPITAL MGMT	001	TWB	B	5,000	36.10	17-May	30-Jan	200.00	4.0
	062	UPFC	B	1,000	18.15	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		VIRL	B	2,500	9.52	n/a	n/a	non-brg	2.0
BANK OF NEW YORK	040	WDR	B	30,215	20.72	24-Jul	9-Sep	1,359.68	4.5
CHART WELL ADV	243	WDR	B	53,875	20.54	24-Jul	9-Sep	2,424.45	4.5
BALYASNY ASSET MGMT	044	WMT	SS	110,000	44.50	20-Mar	13-Aug	5,500.00	5.0
J L F ASSET MGMT	039	WMT	SS	44,200	44.51	20-Mar	13-Aug	1,768.00	4.0
J L F ASSET MGMT	039	WOLF	B	59,900	12.38	n/a	n/a	2,396.00	4.0
XYLEM GLOBAL	024	WY	S	67,800	56.06	16-Jun	3-Aug	3,390.00	5.0
CHILTON CAPITAL MGMT.	036	XRX	B	300,000	13.43	n/a	n/a	15,000.00	5.0
MORGENS WATERFALL	040	YHOO	B	100,000	26.96	n/a	n/a	5,000.00	5.0
TOTAL (Trade Date) :								142,114.39	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/20	PLATTE RIVER CAPITAL	035	APC	B	2,500	43.59	17-Jul	28-Aug	100.00 4.0
AO,CXL	07/20	PLATTE RIVER CAPITAL	035	APC	B	2,500	43.59	17-Jul	28-Aug	125.00 5.0
AO,CXL	07/20	PLATTE RIVER CAPITAL	035	AVP	B	5,000	31.76	1-May	3-Aug	250.00 5.0
AO,CRT	07/20	PLATTE RIVER CAPITAL	035	AVP	B	5,000	31.76	1-May	3-Aug	200.00 4.0
AO,	07/21	BANK OF NEW YORK	040	CAKE	B	29,460	22.55	28-Jun	24-Jul	1325.70 4.5
AO,CRT	07/21	MKT MAKER	099	CSCO	B	100	17.50	22-Mar	22-Mar	0.00 0.0
AO,CRT	07/20	PLATTE RIVER CAPITAL	035	ETR	B	2,500	75.82	n/a	n/a	100.00 4.0
AO,CXL	07/20	PLATTE RIVER CAPITAL	035	ETR	B	2,500	75.82	n/a	n/a	125.00 5.0
AO,	07/21	DAVIDSON KEMPNER ADVISOR	015	GRMN	S	200	90.00	n/a	n/a	0.00 0.0
AO,CRT	07/20	PLATTE RIVER CAPITAL	035	IFF	B	5,000	35.06	26-Apr	9-Sep	200.00 4.0
AO,CXL	07/20	PLATTE RIVER CAPITAL	035	IFF	B	5,000	35.06	26-Apr	9-Sep	250.00 5.0
AO,CRT	07/20	PLATTE RIVER CAPITAL	035	LCAPA	B	2,500	81.38	n/a	n/a	100.00 4.0
AO,CXL	07/20	PLATTE RIVER CAPITAL	035	LCAPA	B	2,500	81.38	n/a	n/a	125.00 5.0
AO,CRT	07/21	MKT MAKER	099	RARE	SS	200	26.04	n/a	n/a	0.00 0.0
AO,	07/21	MKT MAKER	099	SONC	B	450	19.29	n/a	n/a	0.00 0.0
AO,CRT	07/20	PLATTE RIVER CAPITAL	035	SYK	B	5,000	43.76	n/a	n/a	200.00 4.0
AO,CXL	07/20	PLATTE RIVER CAPITAL	035	SYK	B	5,000	43.76	n/a	n/a	250.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO, CRT	07/19	MKT MAKER	099	TUES	B	9	12.78	n/a	n/a	0.00 0.0
AO, CRT	07/21	MKT MAKER	099	WRNC	B	100	17.00	n/a	n/a	0.00 0.0
AO,	07/21	BANK OF NEW YORK	040	WWW	B	48,620	24.61	n/a	13-Mar	2187.90 4.5
TOTAL (AO, CXL, CRT) :										3,288.60

TOTAL (Trade Date):	142,114.39
TOTAL (AO, CXL, CRT):	3,288.60

TOTAL (RUN DATE) / NFS:	145,402.99
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MRI Securities with Trade Activity on 24-Jul.
MWV, WDR

MRI Securities without Trade Activity on 24-Jul.
HCA, TBL

BRG comm. as a % of BCM Total Comm. paid.
 T-Date = 21%
 YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)