



# Daily Compliance Activity Report

Trade Date: 12/29/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	S	884	209.90	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		AAP	C	620	40.91	n/a	n/a	non-brg	2.0
ABRAM M CHAPPELL	FID	AEO	B	115	16.98	n/a	4-Dec	0.00	0.0
BUCK CAPITAL MGMT		AEO	S	15,776	17.00	n/a	4-Dec	non-brg	2.0
STRONG/WELLS CAP ANN F	047	ALTR	S	100,000	22.61	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT		ANF	S	1,691	35.27	n/a	4-Dec	non-brg	2.0
BUCK CAPITAL MGMT		AN	S	259	19.30	n/a	30-Oct	non-brg	2.0
BUCK CAPITAL MGMT		ARB	C	188	24.52	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		ARO	S	8,332	34.15	n/a	4-Dec	non-brg	2.0
MORGAN BANK /JPM	070	BBY	SS	28,522	40.53	n/a	n/a	855.66	3.0
BUCK CAPITAL MGMT		BEBE	B	6,531	6.15	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BJRI	C	280	18.81	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BJ	C	1,173	33.77	n/a	n/a	non-brg	2.0
KEVIN MICHAEL O'GORM	FID	BKRS	S	6000	0.60	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BKS	C	391	18.71	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BONT	S	5,726	10.37	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		BYI	S	1,760	40.51	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CAB	C	1,094	14.50	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CACH	S	1,436	4.40	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CHA	C	215	41.64	n/a	n/a	non-brg	2.0
f KAREN F LEDDY	FID	CHCG	S	8000	0.47	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		COH	S	3,088	36.59	n/a	21-Oct	non-brg	2.0
ß BUCK CAPITAL MGMT		CPKI	C	259	14.17	n/a	6-Nov	non-brg	2.0
ß BUCK CAPITAL MGMT		CRI	S	5,862	25.63	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CSTR	S	1,693	27.43	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CTAS	C	231	26.30	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CVS	S	553	32.55	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CWTR	S	7,048	4.50	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DBRN	S	4,424	23.37	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DDS	C	1,057	19.91	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DECK	S	81	96.91	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DIA	C	1,163	105.38	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DLM	C	259	11.49	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DLTR	S	2,511	48.74	n/a	1-Dec	non-brg	2.0
PATTEN & PATTEN	067	DUK	S	700	17.37	n/a	n/a	35.00	5.0
PATTEN & PATTEN	067	DVY	B	500	44.57	n/a	n/a	25.00	5.0
BAIRD INVESTMENT	047	EL	B	5,575	49.46	n/a	21-Dec	278.75	5.0
f KAREN F LEDDY	FID	EV	B	800	30.63	n/a	18-Dec	0.00	0.0
ß BUCK CAPITAL MGMT		FINL	S	1,804	12.00	n/a	n/a	non-brg	2.0
DENVER INST. ADV	046	FINL	B	86,645	12.11	n/a	n/a	3,465.80	4.0
ß BUCK CAPITAL MGMT		FL	S	7,571	11.15	n/a	17-Dec	non-brg	2.0
ß BUCK CAPITAL MGMT		FOSL	S	5,019	33.67	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		FRED	C	136	10.15	n/a	n/a	non-brg	2.0
f MARK ANTHONY GRONET	FID	FRPT	S	2000	5.41	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FSLR	C	172	135.90	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		FUQI	B	20,000	18.14	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FUQI	S	1,902	18.03	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	F	B	150	10.11	n/a	2-Dec	7.50	5.0
ß BUCK CAPITAL MGMT		GAP	C	938	11.82	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GCO	S	5,160	27.50	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GENZ	C	188	49.72	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GES	S	4,909	42.81	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GIL	S	11,606	24.84	n/a	22-Dec	non-brg	2.0
ß BUCK CAPITAL MGMT		GPS	S	4,419	21.01	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GSIC	S	569	25.49	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GYMB	S	6,301	44.14	n/a	4-Dec	non-brg	2.0
ß BUCK CAPITAL MGMT		HBI	S	7,923	24.51	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HGG	S	1,656	22.29	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HOT	C	1,812	37.24	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	HPQ	B	600	52.72	n/a	n/a	30.00	5.0
JANA CAPITAL	044	HPT	B	14,200	23.90	n/a	n/a	568.00	4.0
ß BUCK CAPITAL MGMT		IBOC	C	747	18.98	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		ICON	S	4,201	12.38	n/a	n/a	non-brg	2.0
f KAREN F LEDDY	FID	ICON	S	800	12.44	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		IDXX	C	110	54.25	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	IEF	B	160	88.73	n/a	n/a	8.00	5.0
PATTEN & PATTEN	067	INTC	S	440	20.27	n/a	n/a	22.00	5.0
STG INVESTORS	041	INTC	S	20,000	20.33	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		IWM	C	9,502	63.25	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		JACK	S	565	19.97	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		JCG	S	2,480	45.76	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		JNY	S	1,466	16.08	n/a	22-Dec	non-brg	2.0
ß BUCK CAPITAL MGMT		JOSB	B	56	42.52	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		JOSB	S	10,000	42.50	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		JOSB	S	3,893	42.50	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		JWN	S	4,959	38.26	n/a	23-Dec	non-brg	2.0
GREEN ARROW DOUG	040	KAR	S	4,100	13.50	n/a	n/a	205.00	5.0
MORGAN BANK /JPM	070	KO	B	49,987	57.94	n/a	n/a	1,499.61	3.0
ß BUCK CAPITAL MGMT		KSS	S	4,355	55.27	n/a	4-Dec	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		LL	S	2,672	28.41	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		LTD	S	4,025	19.65	n/a	n/a	non-brg	2.0
SAC TRADIN/BINDER	044	LVS	B	30,000	15.42	n/a	11-Nov	1,200.00	4.0
OHIO PERS	047	LXK	B	8,700	25.94	n/a	n/a	261.00	3.0
ß BUCK CAPITAL MGMT		MDTL	C	2,058	0.06	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		MFB	S	642	16.64	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		MGM	C	3,130	9.48	n/a	6-Nov	non-brg	2.0
MAIRS AND POWER INV	030	MMM	S	10,000	84.26	n/a	11-Dec	500.00	5.0
ß BUCK CAPITAL MGMT		MNRO	S	2,548	34.27	n/a	n/a	non-brg	2.0
MORGAN BANK /JPM	070	MOT	S	314,400	7.73	n/a	n/a	9,432.00	3.0
WELLS/STRONG LEDDY	035	MPW	S	3,300	10.20	n/a	n/a	132.00	4.0
JP MORGAN VENTURES	046	MT	SS	15,000	46.63	n/a	n/a	750.00	5.0
ß BUCK CAPITAL MGMT		MVRX	C	6,546	172.03	n/a	n/a	non-brg	17.2
ß BUCK CAPITAL MGMT		M	S	15,269	17.49	n/a	4-Dec	non-brg	2.0
PATTEN & PATTEN	067	NSC	B	150	53.35	n/a	n/a	7.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		NTY	S	367	43.95	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		NWY	S	2,434	4.26	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	OSK	B	150	37.55	n/a	n/a	7.50	5.0
ß BUCK CAPITAL MGMT		PAG	S	171	15.70	n/a	2-Nov	non-brg	2.0
ß BUCK CAPITAL MGMT		PDCO	C	292	27.87	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	PGN	B	450	41.48	n/a	n/a	22.50	5.0
PATTEN & PATTEN	067	PHO	S	975	17.11	n/a	n/a	48.75	5.0
PATTEN & PATTEN	067	PIO	B	975	18.37	n/a	n/a	48.75	5.0
ß BUCK CAPITAL MGMT		PIR	S	8,565	5.16	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		PLCE	S	1,028	32.76	n/a	11-Dec	non-brg	2.0
STRONG/WELLS CAP ANN F	047	PMCS	B	75,000	8.37	n/a	n/a	2,250.00	3.0
ß BUCK CAPITAL MGMT		PNRA	S	1,342	68.36	n/a	18-Nov	non-brg	2.0
ß BUCK CAPITAL MGMT		PSS	S	3,397	23.23	n/a	n/a	non-brg	2.0
f JASON ERIC COHEN	FID	PSTAK	B	10	0.40	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PVH	S	3,387	40.75	n/a	22-Dec	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		QKLS	S	4,417	6.45	n/a	n/a	non-brg	2.0
f KAREN F LEDDY	FID	RF	S	1100	5.38	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	RGC	B	300	14.04	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		RL	S	795	82.08	n/a	4-Nov	non-brg	2.0
B BUCK CAPITAL MGMT		RRGB	C	772	18.24	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		RVI	S	2,236	8.98	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SAI	C	1,554	19.07	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SBUX	C	483	23.53	n/a	6-Nov	non-brg	2.0
B BUCK CAPITAL MGMT		SBUX	SS	273	23.46	n/a	6-Nov	non-brg	2.0
KACELA CAPITAL	034	SBUX	B	20,000	23.57	n/a	6-Nov	800.00	4.0
B BUCK CAPITAL MGMT		SHLD	C	549	85.47	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SHOO	S	703	41.62	n/a	n/a	non-brg	2.0
f EDWARD W WHEELER	FID	SHS	S	2500	12.01	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SKX	S	2,001	28.72	n/a	n/a	non-brg	2.0
f MANNY I KORMAN	FID	SLM	B	5000	11.60	n/a	29-Dec	0.00	0.0
B BUCK CAPITAL MGMT		SONC	C	456	10.61	n/a	n/a	non-brg	2.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SPLS	C	267	25.00	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SPLS	SS	30,000	24.99	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	SPNC	S	5000	6.02	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	SPNC	S	8500	7.23	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SPY	C	2,154	112.72	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	SPY	S	160	112.68	n/a	n/a	8.00	5.0
ß BUCK CAPITAL MGMT		STP	C	259	16.30	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SUMR	B	400	4.32	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		SVU	C	718	12.86	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		TGT	S	2,687	48.58	n/a	22-Dec	non-brg	2.0
ß BUCK CAPITAL MGMT		THI	C	750	30.56	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		THI	SS	25,000	30.53	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TJX	S	3,028	36.93	n/a	4-Dec	non-brg	2.0
BUCK CAPITAL MGMT	001	TLB	B	20,000	8.33	n/a	n/a	600.00	3.0
ß BUCK CAPITAL MGMT		TLB	S	5,077	8.32	n/a	n/a	non-brg	2.0
DIAMONDBACK CAP MGMT	232	TROW	B	10,000	53.87	n/a	18-Dec	500.00	5.0
ß BUCK CAPITAL MGMT		UA	C	955	27.71	n/a	28-Oct	non-brg	2.0
MORGAN BANK /JPM	070	UNH	B	88,262	31.00	n/a	n/a	2,647.86	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		URBN	S	6,332	35.58	n/a	n/a	non-brg	2.0
MORGAN BANK /JPM	070	VIAB	C	39,374	29.83	n/a	n/a	1,181.22	3.0
PRINCIPLE CAPITAL	040	VIV	B	100,000	31.36	n/a	n/a	3,000.00	3.0
⌘ BUCK CAPITAL MGMT		VLCM	C	230	16.67	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	VMC	B	210	53.39	n/a	n/a	10.50	5.0
⌘ BUCK CAPITAL MGMT		VSEA	C	930	35.60	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	VXX	S	1,140	32.48	n/a	n/a	57.00	5.0
PATTEN & PATTEN	067	VZ	B	125	33.50	n/a	n/a	6.25	5.0
⌘ BUCK CAPITAL MGMT		WMS	S	419	38.74	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		WRC	S	6,117	41.47	n/a	22-Dec	non-brg	2.0
ISLE RIDGE PARTNERS	041	XHB	SS	17,500	15.27	n/a	n/a	700.00	4.0
⌘ BUCK CAPITAL MGMT		XLY	C	8,423	30.22	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		XRT	C	47,026	36.33	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		ZLC	C	248	2.49	n/a	n/a	non-brg	2.0

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**TOTAL (Trade Date):**

**35,971.15**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/28	ROYCE TRADING	216	GME	B	6,500	22.31	n/a	n/a	325.00 5.0
AO,CXL	12/28	ROYCE TRADING	216	GME	B	6,500	22.31	n/a	n/a	325.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
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TOTAL (Trade Date) :	35,971.15
TOTAL (AO, CXL, CRT) :	0.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>35,971.15</b>
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MRI Securities with Trade Activity on 29-Dec. NONE
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MRI Securities without Trade Activity on 29-Dec. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 5% YTD = 19%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**