



## Daily Compliance Activity Report

Trade Date: 07/08/2011

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
APEX CAPITAL MGMT.	261	AEO	C	200,000	13.77	n/a	26-May	8,000.00 4.0
MTB INV ADV	034	AGCO	S	15,600	52.16	n/a	27-Apr	780.00 5.0
BNP PARIBAS SEC	040	ALV	SS	10,000	72.08	n/a	8-Jul	500.00 5.0
PARAMETER CAPITAL MGMT LLC044		AVB	S	10,600	136.33	n/a	n/a	424.00 4.0
CYRUS CAPITAL	025	AXL	B	62,290	11.62	n/a	7-Jul	1,868.70 3.0
TRUST CO/COWEN/CALF.	040	CBS	S	20,000	28.57	n/a	n/a	1,000.00 5.0
HOLLIS CAPITAL	033	CNX	S	6,000	50.13	n/a	n/a	300.00 5.0
KLEINHEINZ CAP PART	031	COST	SS	40,000	81.58	n/a	n/a	2,000.00 5.0
PARAMETER CAPITAL MGMT LLC044		CSX	SS	75,000	26.53	n/a	n/a	3,000.00 4.0
SUFFOLK CAPITAL MGMT	040	DHR	B	21,100	54.40	n/a	n/a	844.00 4.0
CAPITAL GROWTH MGMT.	241	F	S	200,000	13.82	n/a	5-Jul	4,000.00 2.0
MA CAPITAL MGMT/MELLON ADV041		F	B	10,000	13.85	n/a	5-Jul	400.00 4.0
S.A.C. CAP/ORENSTEIN	044	F	S	200,000	13.81	n/a	5-Jul	7,000.00 3.5
MILLENNIUM-ICS BOBBY	044	GDX	S	5,000	56.50	n/a	n/a	150.00 3.0
DENVER INST. ADV	046	IHG	S	199,856	21.22	n/a	n/a	7,994.24 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	JWN	B	25,000	49.83	n/a	8-Jul	1,000.00	4.0
MACQUARIE INVESTMENT MGMT.	040	K	SS	5,000	55.11	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	LEA	S	5,000	53.21	n/a	2-May	250.00	5.0
ROYCE TRADING	012	MLI	B	500	42.37	n/a	27-Apr	25.00	5.0
TRUST CO/COWEN/CALF.	040	MTSN	S	32,310	2.00	n/a	n/a	969.30	3.0
SANDLER CAPITAL	028	MTW	SS	150,000	17.37	n/a	3-May	7,500.00	5.0
CATAPULT	040	M	B	20,000	30.21	n/a	8-Jul	800.00	4.0
MACQUARIE INVESTMENT MGMT.	040	M	B	5,000	29.72	n/a	8-Jul	0.00	0.0
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	PCAR	B	10,000	52.58		n/a 20-Apr	500.00	5.0
BALYASNY ASSET MGMT	044	PFCB	C	3,000	41.75	n/a	29-Apr	150.00	5.0
KACELA CAPITAL	034	PH	S	30,000	89.22	n/a	28-Apr	1,200.00	4.0
SCOPUS FUND	041	STZ	SS	37,500	21.32	n/a	n/a	1,500.00	4.0
FIRST NEW YORK NICK	029	S	B	20,000	5.49	n/a	n/a	1,000.00	5.0
AVESTA CAP	229	TGT	B	50,000	51.32	n/a	7-Jul	1,500.00	3.0
CAERUS GLOBAL	041	TGT	B	7,500	51.06	n/a	7-Jul	300.00	4.0
ELM RIDGE ADVISORS	044	TIN	S	52,579	31.05	n/a	21-Jun	2,103.16	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MACQUARIE INVESTMENT MGMT.	040	TJX	B	5,000	55.08	n/a	8-Jul	0.00	0.0
PARAMETER CAPITAL MGMT LLC	044	VNO	S	15,000	95.81	n/a	n/a	600.00	4.0
GRANITE CAPITAL	028	VRA	S	15,000	38.13	n/a	n/a	750.00	5.0
PARAMETER CAPITAL MGMT LLC	044	WBC	B	3,800	71.10	n/a	29-Apr	152.00	4.0
KACELA CAPITAL	034	WFM	S	14,825	64.29	n/a	n/a	593.00	4.0
LEVIN CAPITAL STRATEGIES	012	WRC	B	15,000	53.10	n/a	6-May	450.00	3.0
TRUST CO/COWEN/CALF.	040	XXIA	B	4,300	9.83	n/a	n/a	172.00	4.0
<b>TOTAL (Trade Date) :</b>								<b>59,775.40</b>	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									59,775.40
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									59,775.40

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION