

Daily Compliance Activity Report Trade Date: 05/25/2011

POTENTIAL ANALYST TRADE VIOLATIONS: 0

						TIEIDE VIOLITIONS V			
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
COLUMBIA PARTNERS	028	ACTG	S	1,410	37.84	n/a	n/a	56.40	4.0
COLUMBIA PARTNERS	028	ADS	S	450	89.42	n/a	n/a	18.00	4.0
COLUMBIA PARTNERS	028	ALGN	S	618	22.86	n/a	n/a	24.72	4.0
ARMATURE CAPITAL	041	ALV	SS	10,000	73.92	n/a	21-Apr	400.00	4.0
COBALT	041	BEAV	В	50,000	36.37	n/a	5-May	2,000.00	4.0
COLUMBIA PARTNERS	028	CMP	S	565	90.90	n/a	n/a	22.60	4.0
NEWLAND CAPITAL MGT	025	CNW	S	20,000	38.25	n/a	n/a	800.00	4.0
MERRILL ASSET/BLACKROCK	297	DCOM	S	30,600	13.43	n/a	n/a	1,224.00	4.0
BUCK CAPITAL MGMT	001	DDS	В	100,000	53.76	n/a	n/a	3,000.00	3.0
COLUMBIA PARTNERS	028	EPR	S	1,085	47.14	n/a	n/a	43.40	4.0
ROYCE TRADING	012	FINL	В	27,000	21.46	n/a	10-May	1,350.00	5.0
PIONEER PATH	028	FNF	В	28,939	15.58	n/a	n/a	868.17	3.0
CATAPULT	040	FUL	В	5,000	21.35	n/a	n/a	200.00	4.0
COLUMBIA PARTNERS	028	GDI	S	603	79.59	n/a	n/a	24.12	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BALLAST CAP	040	GG	В	6,100	49.89	n/a	n/a	305.00	5.0
COOPER CREEK PART	028	GIS	В	40,000	39.17	n/a	n/a	1,600.00	4.0
CIRCLE T/SES PART	033	GM	SS	10,000	31.03	n/a	25-May	400.00	4.0
LANE GATE PARTNERS LLC	012	GM	SS	5,000	31.23	n/a	25-May	150.00	3.0
ENTRUST CAPITAL	040	GPC	SS	35,600	53.37	n/a	n/a	1,780.00	5.0
KLEINHEINZ CAP PART	031	НВІ	S	50,000	29.58	n/a	21-Apr	2,500.00	5.0
SAC CHICAGO ANDREW	031	HD	SS	200,000	36.56	n/a	18-May	7,000.00	3.5
COLUMBIA PARTNERS	028	НТ	S	7,127	5.77	n/a	n/a	213.81	3.0
HARVEST MGMT	029	IP	В	5,000	30.39	n/a	28-Apr	200.00	4.0
MA CAPITAL MGMT/MELLON AI	OV041	IP	В	4,000	30.47	n/a	28-Apr	200.00	5.0
ACK ASSET	028	IWM	В	50,000	82.06	n/a	n/a	1,500.00	3.0
LISTED ERR	099	IWN	В	50,000	72.84	n/a	n/a	0.00	0.0
LISTED ERR	099	IWN	S	50,000	72.79	n/a	n/a	0.00	0.0
SAC TRADING TOM LEDDY	035	JCP	В	4,226	35.55	n/a	17-May	147.91	3.5
COLUMBUS CIRCLE	036	KNXA	В	5,000	31.58	n/a	n/a	200.00	4.0
SURVEYOR CAPITAL	041	LLY	SS	50,000	38.22	n/a	n/a	1,500.00	3.0
BALYASNY ASSET MGMT	044	LOW	В	25,000	24.13	n/a	16-May	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
RWC PARTNERS LTD	085	MCD	В	66,918	82.88	n/a	9-May	2,007.54	3.0
NEWLAND CAPITAL MGT	025	MDR	S	25,000	20.80	n/a	n/a	1,000.00	4.0
GREEN ARROW DOUG	040	MGM	S	25,000	15.29	n/a	10-May	1,250.00	5.0
NEWLAND CAPITAL MGT	025	MSM	S	15,000	68.61	n/a	n/a	600.00	4.0
PIONEER PATH	028	NYB	SS	27,881	16.11	n/a	2-May	836.43	3.0
BALLAST CAP	040	PFCB	В	20,000	39.80	n/a	29-Apr	1,000.00	5.0
NEWLAND CAPITAL MGT	025	PH	S	18,461	87.66	n/a	28-Apr	738.44	4.0
ROYCE TRADING	012	PLCE	В	36,500	50.09	n/a	20-May	1,825.00	5.0
COLUMBIA PARTNERS	028	SBAC	S	1,238	39.04	n/a	n/a	49.52	4.0
MFP INVESTORS	085	SSCC	S	15,000	39.98	n/a	14-Apr	750.00	5.0
NEWLAND CAPITAL MGT	025	SWFT	S	8,605	13.60	n/a	n/a	344.20	4.0
ROSEDALE CAPITAL	046	SWN	В	10,000	43.51	n/a	29-Apr	500.00	5.0
TALPION LLC	040	TIF	S	5,000	68.80	n/a	n/a	200.00	4.0
NEWLAND CAPITAL MGT	025	TOL	В	25,000	20.73	n/a	n/a	1,000.00	4.0
BALYASNY ASSET MGMT	044	UFS	SS	5,000	99.68	n/a	n/a	250.00	5.0
	RWC PARTNERS LTD NEWLAND CAPITAL MGT GREEN ARROW DOUG NEWLAND CAPITAL MGT PIONEER PATH BALLAST CAP NEWLAND CAPITAL MGT ROYCE TRADING COLUMBIA PARTNERS MFP INVESTORS NEWLAND CAPITAL MGT ROSEDALE CAPITAL TALPION LLC NEWLAND CAPITAL MGT	RWC PARTNERS LTD RWC PARTNERS LTD 085 NEWLAND CAPITAL MGT 025 GREEN ARROW DOUG 040 NEWLAND CAPITAL MGT 028 BALLAST CAP 040 NEWLAND CAPITAL MGT 025 ROYCE TRADING 012 COLUMBIA PARTNERS 028 MFP INVESTORS 085 NEWLAND CAPITAL MGT 025 ROSEDALE CAPITAL 046 TALPION LLC 040 NEWLAND CAPITAL MGT 025	RWC PARTNERS LTD NEWLAND CAPITAL MGT GREEN ARROW DOUG NEWLAND CAPITAL MGT PIONEER PATH BALLAST CAP NEWLAND CAPITAL MGT O25 NEWLAND CAPITAL MGT O26 NEWLAND CAPITAL MGT O27 ROYCE TRADING COLUMBIA PARTNERS O28 SBAC MFP INVESTORS O85 SSCC NEWLAND CAPITAL MGT O25 SWFT ROSEDALE CAPITAL O46 SWN TALPION LLC O40 TIF	RWC PARTNERS LTD 085 MCD B NEWLAND CAPITAL MGT 025 MDR S GREEN ARROW DOUG 040 MGM S NEWLAND CAPITAL MGT 025 MSM S PIONEER PATH 028 NYB SS BALLAST CAP 040 PFCB B ROYCE TRADING 012 PLCE B COLUMBIA PARTNERS 028 SBAC S MFP INVESTORS 085 SSCC S NEWLAND CAPITAL MGT 025 SWFT S ROSEDALE CAPITAL 046 SWN B TALPION LLC 040 TIF S NEWLAND CAPITAL MGT 025 TOL B	RWC PARTNERS LTD 085 MCD B 66,918 NEWLAND CAPITAL MGT 025 MDR S 25,000 GREEN ARROW DOUG 040 MGM S 25,000 NEWLAND CAPITAL MGT 025 MSM S 15,000 PIONEER PATH 028 NYB SS 27,881 BALLAST CAP 040 PFCB B 20,000 NEWLAND CAPITAL MGT 025 PH S 18,461 ROYCE TRADING 012 PLCE B 36,500 COLUMBIA PARTNERS 028 SBAC S 1,238 MFP INVESTORS 085 SSCC S 15,000 NEWLAND CAPITAL MGT 025 SWFT S 8,605 ROSEDALE CAPITAL 046 SWN B 10,000 TALPION LLC 040 TIF S 5,000 NEWLAND CAPITAL MGT 025 TOL B 25,000	RWC PARTNERS LTD 085 MCD B 66,918 82.88 NEWLAND CAPITAL MGT 025 MDR S 25,000 20.80 GREEN ARROW DOUG 040 MGM S 25,000 15.29 NEWLAND CAPITAL MGT 025 MSM S 15,000 68.61 PIONEER PATH 028 NYB SS 27,881 16.11 BALLAST CAP 040 PFCB B 20,000 39.80 NEWLAND CAPITAL MGT 025 PH S 18,461 87.66 ROYCE TRADING 012 PLCE B 36,500 50.09 COLUMBIA PARTNERS 028 SBAC S 1,238 39.04 MFP INVESTORS 085 SSCC S 15,000 39.98 NEWLAND CAPITAL MGT 025 SWFT S 8,605 13.60 ROSEDALE CAPITAL 046 SWN B 10,000 43.51 TALPION LLC 040 TIF S 5,000 68.80 NEWLAND CAPITAL MGT 025 TOL B 25,000 20.73	RWC PARTNERS LTD 085 MCD B 66,918 82.88 n/a NEWLAND CAPITAL MGT 025 MDR S 25,000 20.80 n/a GREEN ARROW DOUG 040 MGM S 25,000 15.29 n/a NEWLAND CAPITAL MGT 025 MSM S 15,000 68.61 n/a PIONEER PATH 028 NYB SS 27,881 16.11 n/a BALLAST CAP 040 PFCB B 20,000 39.80 n/a NEWLAND CAPITAL MGT 025 PH S 18,461 87.66 n/a ROYCE TRADING 012 PLCE B 36,500 50.09 n/a COLUMBIA PARTNERS 028 SBAC S 1,238 39.04 n/a MFP INVESTORS 085 SSCC S 15,000 39.98 n/a NEWLAND CAPITAL MGT 025 SWFT S 8,605 13.60 n/a ROSEDALE CAPITAL 046 SWN B 10,000 43.51 n/a TALPION LLC 040 TIF S 5,000 68.80 n/a	RWC PARTNERS LTD 085 MCD B 66,918 82.88 n/a 9-May NEWLAND CAPITAL MGT 025 MDR S 25,000 20.80 n/a n/a GREEN ARROW DOUG 040 MGM S 25,000 15.29 n/a 10-May NEWLAND CAPITAL MGT 025 MSM S 15,000 68.61 n/a n/a PIONEER PATH 028 NYB SS 27,881 16.11 n/a 2-May BALLAST CAP 040 PFCB B 20,000 39.80 n/a 29-Apr NEWLAND CAPITAL MGT 025 PH S 18,461 87.66 n/a 28-Apr ROYCE TRADING 012 PLCE B 36,500 50.09 n/a 20-May COLUMBIA PARTNERS 028 SBAC S 1,238 39.04 n/a n/a MFP INVESTORS 085 SSCC S 15,000 39.98 n/a 14-Apr NEWLAND CAPITAL MGT 025 SWFT S 8,605 13.60 n/a n/a ROSEDALE CAPITAL 046 SWN B 10,000 43.51 n/a 29-Apr TALPION LLC 040 TIF S 5,000 68.80 n/a n/a NEWLAND CAPITAL MGT 025 TOL B 25,000 20.73 n/a n/a	RWC PARTNERS LTD 085 MCD B 66,918 82.88 n/a 9-May 2,007.54 NEWLAND CAPITAL MGT 025 MDR S 25,000 20.80 n/a n/a 1,000.00 GREEN ARROW DOUG 040 MCM S 25,000 15.29 n/a 10-May 1,250.00 NEWLAND CAPITAL MGT 025 MSM S 15,000 68.61 n/a n/a 600.00 PIONEER PATH 028 NYB SS 27,881 16.11 n/a 2-May 836.43 BALLAST CAP 040 PFCB B 20,000 39.80 n/a 29-Apr 1,000.00 NEWLAND CAPITAL MGT 025 PH S 18,461 87.66 n/a 28-Apr 738.44 ROYCE TRADING 012 PLCE B 36,500 50.09 n/a 20-May 1,825.00 COLUMBIA PARTNERS 028 SBAC S 1,238 39.04 n/a n/a 49.52 MFP INVESTORS 085 SSCC S 15,000 39.88 n/a 14-Apr 750.00 NENLAND CAPITAL MGT 025 SWFT S 8,605 13.60 n/a n/a 344.20 ROSEDALE CAPITAL MGT 046 SWN B 10,000 43.51 n/a 29-Apr 500.00 TALPION LLC 040 TIF S 5,000 68.80 n/a n/a 1,000.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
FARALLON CAP MGMT	040	V	В	75,000	78.89	n/a	11-May	3,000.00 4.0
MATRIX ASSET ADV.	091	WU	S	5,000	20.30	n/a	n/a	200.00 4.0
RAINIER INV MGMT	035	WXS	В	42,180	51.59	n/a	n/a	1,687.20 4.0
BNP PARIBAS SEC	040	WY	В	20,000	21.81	n/a	19-May	1,000.00 5.0
TALPION LLC	040	YUM	S	5,100	55.71	n/a	25-Apr	204.00 4.0

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	05/24	YORK CAPITAL (YORKML)	036	TEVA	В	5,660	49.39	n/a	23-May	169.80	3.0
AO, CRT	05/24	YORK CAPITAL (YORKML)	036	TEVA	В	5,650	49.39	n/a	23-May	169.50	3.0
AO,CXL	05/24	YORK CAPITAL (YORKBS)	036	TEVA	В	44,340	49.39	n/a	23-May	1330.20	3.0
AO,CRT	05/24	YORK CAPITAL (YORKBS)	036	TEVA	В	44,350	49.39	n/a	23-May	1330.50	3.0
AO, CRT	05/24	YORK CAPITAL (YORKBS)	036	TEVA	В	44,350	49.39	n/a	23-May	1330.50	_
TOTAL	(AO,	CXL, CRT):								0.00	

TOTAL (RUN DATE) / NFS:	47,420.46
TOTAL (AO, CXL, CRT):	0.00
TOTAL (Trade Date):	47,420.46

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION