



## Daily Compliance Activity Report

Trade Date: 06/18/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AAPL	B	200	179.92	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	AAPL	S	200	180.65	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	AAP	S	49,300	40.51	n/a	n/a	1,972.00	4.0
PATTEN & PATTEN	067	ADBE	S	1,450	41.31	n/a	n/a	2.50	1.7
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>S</b>	<b>15,000</b>	<b>16.08</b>	<b>6-Mar</b>	<b>6-Jun</b>	<b>600.00</b>	<b>4.0</b>
THE JAY GOLDMAN	034	AEO	B	110,000	16.26	6-Mar	6-Jun	4,400.00	4.0
HARRIS ASSOCIATES	031	ALO	S	27,700	24.09	n/a	n/a	831.00	3.0
<b>CATTANI</b>	<b>041</b>	<b>AMX</b>	<b>SS</b>	<b>300</b>	<b>53.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>20,000</b>	<b>68.68</b>	<b>10-Apr</b>	<b>6-Jun</b>	<b>800.00</b>	<b>4.0</b>
ACK ASSET	028	AN	SS	8,000	12.97	10-Jun	10-Jun	240.00	3.0
COUGAR TRADING	044	AN	SS	10,000	12.97	10-Jun	10-Jun	500.00	5.0
PATTEN & PATTEN	067	APA	S	100	139.27	7-May	18-Jun	5.00	5.0
CAXTON PART	040	ARBA	B	100,000	15.97	n/a	n/a	3,500.00	3.5
BARROW HANLEY MEWHINNEY	031	AXP	S	19,000	41.66	n/a	n/a	760.00	4.0
PATTEN & PATTEN	067	AXP	S	100	41.95	n/a	n/a	5.00	5.0
BARROW HANLEY MEWHINNEY	031	BAC	S	14,900	28.47	n/a	16-Jun	596.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BUCK</b>	<b>015</b>	<b>BAC</b>	<b>SS</b>	<b>1,500</b>	<b>28.79</b>	<b>n/a</b>	<b>16-Jun</b>	<b>0.00</b>	<b>0.0</b>
FRONTPOINT CHRIS C	041	BA	B	6,600	75.87	n/a	n/a	264.00	4.0
PATTEN & PATTEN	067	BBY	B	250	43.27	n/a	27-Jul	12.50	5.0
SUNOVA CAPITAL	031	BEN	S	65,000	101.74	24-Mar	9-Jun	3,250.00	5.0
LEVIN CAPITAL STRATEGIES	012	BIG	SS	20,000	33.53	29-May	5-Jun	800.00	4.0
TRELLUS PARTNERS LP	028	BIG	SS	10,000	33.34	29-May	5-Jun	500.00	5.0
SUNOVA CAPITAL	031	BLK	S	20,000	204.63	n/a	2-Jun	1,000.00	5.0
BARROW HANLEY MEWHINNEY	031	BMY	S	31,600	19.89	n/a	n/a	1,264.00	4.0
BMO NESBITT BURNS	041	BWA	SS	25,000	48.80	10-Jun	10-Jun	1,250.00	5.0
PATTEN & PATTEN	067	CAG	S	2,500	22.20	n/a	n/a	125.00	5.0
ROSEDALE CAPITAL	046	CB	S	15,000	52.55	n/a	n/a	750.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>CECE</b>	<b>B</b>	<b>5,717</b>	<b>6.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
STRONG/MARK GRONET	038	CHB	B	25,000	7.08	n/a	n/a	750.00	3.0
<b>KORMAN</b>	<b>003</b>	<b>CHCG</b>	<b>S</b>	<b>3,200</b>	<b>1.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	CHS	B	30,000	6.62	11-Apr	6-Jun	1,500.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>CHS</b>	<b>C</b>	<b>1,000</b>	<b>6.49</b>	<b>11-Apr</b>	<b>6-Jun</b>	<b>0.00</b>	<b>0.0</b>
BLACKSTONE KAILIX ASS.	048	CL	SS	150,000	71.95	n/a	17-Jun	7,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	CMA	SS	1,200	29.44	n/a	n/a	0.00	0.0
PALMYRA CAPITAL	048	CMC	S	20,000	37.74	n/a	n/a	1,000.00	5.0
HARRIS ASSOCIATES	031	CNO	S	93,400	11.32	n/a	n/a	2,802.00	3.0
CRAMER ROSENTHAL/SCOTT	012	COH	B	1,200	32.98	4-Apr	23-Apr	48.00	4.0
TRELLUS PARTNERS LP	028	COH	B	30,000	32.39	4-Apr	23-Apr	1,500.00	5.0
ROTHSCHILD ASSET	040	CQB	S	7,700	17.00	n/a	n/a	346.50	4.5
CENTURION GLOBAL MGMT LL	041	CRUS	S	28,000	6.08	n/a	n/a	840.00	3.0
J L F ASSET MGMT	039	CTRN	S	25,000	24.72	n/a	n/a	1,000.00	4.0
BARROW HANLEY MEWHINNEY	031	C	S	14,900	20.01	16-Jan	16-Jun	596.00	4.0
CAXTON PART	040	DD	S	100,000	46.79	n/a	14-May	3,500.01	3.5
PATTEN & PATTEN	067	DFS	S	50	14.89	n/a	n/a	2.50	5.0
PATTEN & PATTEN	067	DIS	S	2,000	33.19	n/a	n/a	100.00	5.0
SIGMA CAPITAL ASSOCIATES	237	DIS	B	10,000	32.93	n/a	n/a	500.00	5.0
COUGAR TRADING	044	DKS	B	20,000	21.93	n/a	23-May	1,000.00	5.0
PATTEN & PATTEN	067	DNN	S	1,400	7.46	n/a	n/a	70.00	5.0
GENERAL ELECTIC CO	033	DVA	S	35,000	49.91	n/a	n/a	1,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>AMATURO</b>	<b>018</b>	<b>EPG</b>	<b>B</b>	<b>2,000</b>	<b>5.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CROWLEY</b>	<b>061</b>	<b>EPG</b>	<b>B</b>	<b>1,494</b>	<b>4.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	EP	B	300	21.14	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	EP	S	300	21.22	n/a	n/a	0.00	0.0
NEWLAND CAPITAL MGT	067	ETN	B	1,700	94.15	18-Jun	18-Jun	85.00	5.0
PATTEN & PATTEN	067	EWG	S	3,950	31.69	n/a	n/a	197.50	5.0
PATTEN & PATTEN	067	EWI	S	1,700	18.00	n/a	n/a	85.00	5.0
<b>BARR</b>	<b>096</b>	<b>FRE</b>	<b>SS</b>	<b>800</b>	<b>23.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	GE	SS	50,000	28.37	n/a	n/a	2,500.00	5.0
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>GIL</b>	<b>B</b>	<b>3,000</b>	<b>27.60</b>	<b>30-Apr</b>	<b>28-May</b>	<b>180.00</b>	<b>6.0</b>
MAIRS AND POWER INV	030	GIS	S	10,000	62.80	n/a	n/a	500.00	5.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>GMRX</b>	<b>S</b>	<b>2</b>	<b>7.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	GNTX	C	800	15.56	7-Apr	9-Jun	40.00	5.0
ACK ASSET	028	GPI	SS	1,200	21.85	10-Jun	10-Jun	48.00	4.0
COUGAR TRADING	044	GPI	SS	10,000	21.80	10-Jun	10-Jun	500.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	30,000	21.73	10-Jun	10-Jun	1,500.00	5.0
COUGAR TRADING	044	GPS	B	40,000	17.73	n/a	6-Jun	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EFSTATHIOU	044	GTE	B	500	8.08	n/a	n/a	0.00	0.0
WHEELER	008	HAYN	S	2,000	56.83	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	HD	S	500	26.46	n/a	27-Jul	25.00	5.0
KAICHER	064	HK	B	1,000	39.55	n/a	n/a	0.00	0.0
POST ROAD CAP	045	ICFI	B	13,300	17.13	n/a	n/a	399.00	3.0
NOMURA CAPITAL	045	IESC	S	1,182	17.25	n/a	n/a	59.10	5.0
TRIVIUM CAP MGMT	251	IMMR	B	50,000	7.39	n/a	n/a	2,000.00	4.0
BARROW HANLEY MEWHINNEY	031	INTC	S	15,700	22.55	n/a	n/a	628.00	4.0
SANDLER O'NEILL ASSET	031	IVZ	B	25,000	26.14	10-Apr	17-Jun	1,250.00	5.0
FRONTPOINT CHRIS C	041	IWM	SS	10,200	73.08	n/a	n/a	408.00	4.0
COUGAR TRADING	044	JCG	B	10,000	33.64	n/a	30-May	500.00	5.0
JP MORGAN VENTURES	046	JCG	B	30,000	33.47	n/a	30-May	1,500.00	5.0
SUNOVA CAPITAL	031	JNS	S	25,000	29.99	25-Apr	2-Jun	1,250.00	5.0
JP MORGAN VENTURES	046	JNY	B	50,000	15.29	n/a	1-May	2,500.00	5.0
J L F ASSET MGMT	039	JOYG	S	8,900	82.65	n/a	30-May	356.00	4.0
DANIELS	N25	KEG	S	450	18.00	n/a	18-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	KEY	B	25,000	11.22	n/a	n/a	1,250.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>KMX</b>	<b>B</b>	<b>500</b>	<b>16.34</b>	<b>n/a</b>	<b>10-Jan</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	KO	B	1,200	53.61	n/a	n/a	60.00	5.0
COUGAR TRADING	044	KSS	SS	20,000	44.00	n/a	6-Jun	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	KSS	B	10,000	43.30	n/a	6-Jun	500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>K</b>	<b>B</b>	<b>500</b>	<b>51.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	LAZ	B	6,170	38.30	n/a	n/a	308.50	5.0
J L F ASSET MGMT	039	LEG	S	16,500	17.79	n/a	n/a	660.00	4.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>LEH</b>	<b>S</b>	<b>200</b>	<b>24.22</b>	<b>9-Jun</b>	<b>17-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>SS</b>	<b>30,000</b>	<b>16.05</b>	<b>n/a</b>	<b>14-May</b>	<b>1,200.00</b>	<b>4.0</b>
AVESTA CAP	229	LOW	SS	100,000	23.00	n/a	27-Jul	5,000.00	5.0
DAVIDSON KEMPNER ADVISOR	040	LPX	S	93,900	10.65	7-Apr	7-May	4,695.00	5.0
COUGAR TRADING	044	LTD	B	20,000	18.77	n/a	6-Jun	1,000.00	5.0
JP MORGAN VENTURES	046	LTD	SS	20,000	18.67	n/a	6-Jun	1,000.00	5.0
PATTEN & PATTEN	067	MBI	S	600	5.74	n/a	n/a	30.00	5.0
<b>BUCK</b>	<b>015</b>	<b>MHS</b>	<b>B</b>	<b>500</b>	<b>46.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	MMM	S	100	74.80	n/a	24-Apr	5.00	5.0
BARROW HANLEY MEWHINNEY	031	MO	S	22,500	20.74	n/a	n/a	900.00	4.0
PRIME LOGIC ADV	039	MSM	S	15,000	49.62	n/a	n/a	750.00	5.0
PATTEN & PATTEN	067	MS	B	750	38.57	n/a	16-Jun	0.00	0.0
PATTEN & PATTEN	067	MS	S	1,150	38.67	n/a	16-Jun	20.00	2.5
SANDLER O'NEILL ASSET	031	MS	SS	50,000	40.78	n/a	16-Jun	2,500.00	5.0
JP MORGAN VENTURES	046	MW	B	40,000	18.47	n/a	n/a	2,000.00	5.0
BMO NESBITT BURNS	041	M	B	25,000	21.49	7-Feb	2-Jun	1,250.00	5.0
FIRST NY SEC/CHRIS	041	M	B	15,000	21.47	7-Feb	2-Jun	900.00	6.0
SIGMA CAPITAL ASSOCIATES	237	M	B	10,000	21.30	7-Feb	2-Jun	500.00	5.0
FRONTPOINT CHRIS C	041	NOIZ	B	21	7.99	n/a	n/a	0.84	4.0
BARROW HANLEY MEWHINNEY	031	NOK	S	14,100	25.41	n/a	n/a	564.00	4.0
HARRIS ASSOCIATES	031	NSM	S	60,000	22.20	n/a	n/a	1,800.00	3.0
VENTANA CAPITAL	060	NSM	B	10,000	22.18	n/a	n/a	300.00	3.0
CAXTON PART	040	NTES	B	50,000	23.31	n/a	n/a	1,750.00	3.5
PATTEN & PATTEN	067	OSK	B	550	35.47	n/a	n/a	27.50	5.0
<b>KAICHER</b>	<b>064</b>	<b>PAAS</b>	<b>S</b>	<b>2,000</b>	<b>30.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	PAG	B	25,000	16.12	10-Jun	10-Jun	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PAG	SS	10,000	15.93	10-Jun	10-Jun	500.00	5.0
PATTEN & PATTEN	067	PCL	S	1,600	45.39	29-Apr	29-Apr	80.00	5.0
<b>NOLAN</b>	<b>067</b>	<b>PDRT</b>	<b>S</b>	<b>500</b>	<b>2.81</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>PJC</b>	<b>C</b>	<b>1,000</b>	<b>36.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BARROW HANLEY MEWHINNEY	031	PM	S	13,600	50.10	n/a	n/a	544.00	4.0
FRONTPOINT CHRIS C	041	PNC	SS	2,300	57.24	n/a	n/a	92.00	4.0
JP MORGAN VENTURES	046	PSS	B	15,000	12.58	n/a	n/a	750.00	5.0
COUGAR TRADING	044	PSUN	B	40,000	9.45	n/a	6-Jun	2,000.00	5.0
J L F ASSET MGMT	039	PSUN	S	31,800	9.49	n/a	6-Jun	1,272.00	4.0
PATTEN & PATTEN	067	PWR	S	2,200	33.09	n/a	n/a	110.00	5.0
WAGONHOUND	048	PZN	S	1,815	12.70	24-Mar	10-Jun	72.60	4.0
SYSTEMATIC FINANCIAL MGT	035	RGS	S	10,000	29.75	n/a	n/a	375.00	3.8
<b>BARR</b>	<b>096</b>	<b>RKH</b>	<b>SS</b>	<b>200</b>	<b>96.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>25,000</b>	<b>64.67</b>	<b>7-Feb</b>	<b>29-May</b>	<b>1,000.00</b>	<b>4.0</b>
J L F ASSET MGMT	039	RSH	S	65,000	13.44	n/a	n/a	2,600.00	4.0
HOLDEN CAPITAL MGMT	035	RSOL	SS	10,000	6.16	n/a	n/a	500.00	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHEELER	008	RUSHA	B	5,000	13.00	n/a	n/a	0.00	0.0
COUGAR TRADING	044	SDS	SS	5,000	60.95	n/a	n/a	250.00	5.0
STADIA CAPITAL	035	SJM	SS	32,500	50.54	n/a	n/a	1,300.00	4.0
CATAPULT	040	SLM	S	28,000	23.38	n/a	n/a	1,120.00	4.0
BARR	096	SLV	B	50	172.19	n/a	n/a	0.00	0.0
BARR	096	SLV	S	250	172.25	n/a	n/a	0.00	0.0
LISTED ERR	099	SONC	S	1,000	17.30	n/a	n/a	0.00	0.0
HEALY CIRCLE	044	SPR	S	20,000	24.25	n/a	n/a	1,000.00	5.0
KAICHER	064	SPWR	B	500	82.68	n/a	n/a	0.00	0.0
KAICHER	064	SPWR	S	500	84.05	n/a	n/a	0.00	0.0
FRONTPOINT CHRIS C	041	SPY	SS	5,600	134.43	n/a	n/a	224.00	4.0
PATTEN & PATTEN	067	SWN	B	200	45.76	20-Mar	18-Jun	0.00	0.0
PATTEN & PATTEN	067	SWN	S	200	46.07	20-Mar	18-Jun	0.00	0.0
HAYGROUND COE	039	SWY	B	28,250	30.03	n/a	n/a	1,130.00	4.0
CATAPULT	040	SYNT	B	25,000	36.20	n/a	n/a	1,000.00	4.0
JCK PARTNERS	067	TEX	S	5,000	62.06	n/a	3-Jun	200.00	4.0
DIAMONDBACK CAP MGMT	232	TGT	C	25,000	51.04	n/a	5-Jun	1,250.00	5.0

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VICTORIA INVESTORS LP	060	TJX	B	5,000	32.44	n/a	6-Jun	250.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>TLB</b>	<b>S</b>	<b>1,100</b>	<b>12.11</b>	<b>17-Apr</b>	<b>11-Jun</b>	<b>0.00</b>	<b>0.0</b>
GALT INVESTMENT	041	TPX	B	23,500	8.60	n/a	n/a	705.00	3.0
PERRY PARTNERS	041	TTWO	B	50,000	26.42	n/a	n/a	1,500.00	3.0
COUGAR TRADING	044	TWB	B	10,000	17.46	17-Mar	22-May	500.00	5.0
PALMYRA CAPITAL	048	TXI	SS	10,900	69.54	n/a	n/a	545.00	5.0
J L F ASSET MGMT	039	URBN	S	60,000	33.03	15-May	15-May	2,400.00	4.0
SAC TRADING HOWARD	038	URBN	B	15,000	32.92	15-May	15-May	750.00	5.0
BARROW HANLEY MEWHINNEY	031	VZ	S	26,100	35.40	n/a	4-Jan	1,044.00	4.0
PATTEN & PATTEN	067	V	B	200	83.89	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	V	S	300	84.15	n/a	n/a	5.00	2.5
CHILTON CAPITAL MGMT.	036	WB	SS	500,000	16.63	n/a	n/a	20,000.00	4.0
PATTEN & PATTEN	067	WB	S	1,100	16.30	n/a	n/a	55.00	5.0
SUNOVA CAPITAL	031	WDR	B	50,000	36.65	22-Apr	2-Jun	2,500.00	5.0
ROXBURY CAPITAL MGMT	035	WWW	B	59,000	27.51	n/a	13-Mar	2,360.00	4.0
PATTEN & PATTEN	067	WYE	S	300	45.01	n/a	31-Mar	15.00	5.0
PATTEN & PATTEN	067	WY	B	5,250	55.77	7-Apr	27-May	262.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	ZION	SS	1,600	31.94	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							147,474.55		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/17	BAYPOINT/PRIMEOVERS (BAYPSLK)	041	NAVI	S	5,700	4.71	n/a	n/a	171.00	3.0
AO,	06/17	BAYPOINT/PRIMEOVERS (BAYPSLK)	041	NAVI	S	5,700	4.71	n/a	n/a	285.00	5.0
<b>AO,CXL</b>	<b>06/16</b>	<b>BAYPOINT TRADING/XYLEM (BAYXXYLEM)</b>	<b>091</b>	<b>PTV</b>	<b>B</b>	<b>100,000</b>	<b>23.62</b>	<b>n/a</b>	<b>n/a</b>	<b>5000.00</b>	<b>5.0</b>
<b>AO,CRT</b>	<b>06/16</b>	<b>BAYPOINT TRADING/XYLEM (BAYXXYLEM)</b>	<b>091</b>	<b>PTV</b>	<b>B</b>	<b>100,000</b>	<b>23.62</b>	<b>n/a</b>	<b>n/a</b>	<b>4000.00</b>	<b>4.0</b>
<b>AO,</b>	<b>06/13</b>	<b>LISTED ERR (LISTED ERR)</b>	<b>099</b>	<b>SONC</b>	<b>B</b>	<b>1,000</b>	<b>18.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AO,CXL	06/13	JMP ASSET MGMT (JMPAGS)	210	SONC	S	8,000	18.04	n/a	n/a	400.00	5.0
AO,CRT	06/13	JMP ASSET MGMT (JMPAGS)	210	SONC	S	9,000	18.04	n/a	n/a	450.00	5.0
AO,	06/17	NORTHPOINT (NORP98717)	030	STU	B	39	117.93	n/a	n/a	1.56	4.0
AO,	06/17	NORTHPOINT (NORP99135)	030	STU	B	780	117.93	n/a	n/a	31.20	4.0
AO,	06/17	NORTHPOINT (NORP98775)	030	STU	B	3,864	117.93	n/a	n/a	154.56	4.0
AO,	06/17	NORTHPOINT (NORP98741)	030	STU	B	156	117.93	n/a	n/a	6.24	4.0
AO,	06/17	NORTHPOINT (NORP98773)	030	STU	B	39	117.93	n/a	n/a	1.56	4.0
AO,	06/17	NORTHPOINT (NORP98716)	030	STU	B	195	117.93	n/a	n/a	7.80	4.0
AO,	06/17	NORTHPOINT (NORP98706)	030	STU	B	235	117.93	n/a	n/a	9.40	4.0
AO,	06/17	NORTHPOINT (NORP98705)	030	STU	B	117	117.93	n/a	n/a	4.68	4.0
AO,	06/17	NORTHPOINT (NORP98970)	030	STU	B	312	117.93	n/a	n/a	12.48	4.0
AO,	06/17	NORTHPOINT (NORP99465)	030	STU	B	78	117.93	n/a	n/a	3.12	4.0
<b>AO,CRT</b>	<b>06/16</b>	<b>LISTED ERR (LISTED ERR)</b>	<b>099</b>	<b>TCB</b>	<b>B</b>	<b>3,600</b>	<b>13.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AO,CXL	06/16	CATAPULT (CATAMSPB)	040	TCB	B	3,600	13.43	n/a	n/a	0.00	0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-603.40</b>	

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TOTAL (Trade Date):	147,474.55
TOTAL (AO, CXL, CRT):	-603.40

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>146,871.15</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION