



Daily Compliance Activity Report

Trade Date: 08/08/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	AEOS	B	15,000	34.17	30-Mar	8-Aug	750.00	5.0
SUTE	060	AIPN	S	2,000	0.01	n/a	n/a	0.00	0.0
GAUL	063	AMCC	S	10,000	2.54	n/a	n/a	0.00	0.0
T. ROWE PRICE	033	AMG	B	48,100	91.39	26-Jul	31-Jul	1,924.00	4.0
BUCK CAPITAL MGMT	001	ARO	B	15,000	23.03	6-Jul	4-Aug	600.00	4.0
IRON HORSE CAPITAL MGMT	038	BBY	B	10,000	46.26	13-Jun	26-Jun	500.00	5.0
T. ROWE PRICE	033	BEN	S	35,500	92.95	3-Aug	9-Aug	1,420.00	4.0
BUCK CAPITAL MGMT	001	BID	S	80,000	28.93	n/a	n/a	3,200.00	4.0
BUCK CAPITAL MGMT	001	BLI	S	10,000	17.36	23-Jun	4-Aug	400.00	4.0
MISSOURI VALLEY PART	046	CBK	S	40,000	26.96	1-Jun	4-Aug	2,000.00	5.0
IVORY CAPITAL	034	CC	B	108,500	23.98	12-Apr	19-Jun	5,425.00	5.0
ROYCE TRADING	216	CHB	B	25,000	6.23	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	CHS	B	5,000	21.99	23-Jun	4-Aug	200.00	4.0
MATTHES CAPITAL MGMT	033	CLE	S	8,000	25.23	4-May	4-Aug	320.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	CMCSK	B	100	34.51	n/a	n/a	0.00	0.0
CAXTON PART	040	CMX	B	24,700	56.68	n/a	n/a	988.00	4.0
BUCK CAPITAL MGMT	001	COH	S	10,000	29.95	n/a	2-Aug	400.00	4.0
COMMERCE BANK	046	COH	S	29,620	29.93	n/a	2-Aug	1,481.00	5.0
KAIC	064	CWPC	B	3,500	3.81	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DDS	S	10,000	30.67	n/a	n/a	400.00	4.0
ENTRUST CAPITAL	040	DKS	B	35,000	37.52	n/a	n/a	1,750.00	5.0
BUCK CAPITAL MGMT	001	DSW	S	15,000	28.46	n/a	n/a	600.00	4.0
SUTE	060	EMIS	S	111	8.63	n/a	n/a	0.00	0.0
MKT MAKER	099	FINL	B	70	11.24	n/a	2-Aug	0.00	0.0
ROBERTSON STEVENS BC	033	FLSH	S	40,000	35.41	n/a	n/a	2,000.00	5.0
OWL CREEK INV	032	FNT	B	100,000	19.42	n/a	n/a	4,000.00	4.0
GALLEON MGMT LP	033	FOSL	B	25,000	19.19	31-Jul	8-Aug	1,250.00	5.0
FINANCIAL STOCK LP	028	GBL	S	34,814	35.35	7-Aug	7-Aug	1,392.56	4.0
PHILADELPHIA FINANCIAL	031	GBL	B	30,500	35.37	7-Aug	7-Aug	1,525.00	5.0
DEMA	065	GLW	B	1,000	18.49	21-Mar	7-Aug	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHFIELDS CAP MGMT	039	GPS	B	25,000	16.65	31-Jul	4-Aug	1,000.00	4.0
WESLEY CAPITAL	246	H	B	200,000	21.69	n/a	n/a	8,000.00	4.0
TRUST CO OF THE WEST/NY	040	IFF	B	45,287	38.10	26-Apr	26-Jul	1,811.48	4.0
TRUST CO/COWEN/CALF.	040	IFF	B	27,807	38.10	26-Apr	26-Jul	1,112.28	4.0
BUCK CAPITAL MGMT	001	JNY	S	10,000	30.08	n/a	26-Jul	400.00	4.0
JP MORGAN VENTURES	046	KSS	S	12,000	58.46	31-Jul	3-Aug	600.00	5.0
BUCK CAPITAL MGMT	001	LIZ	S	10,000	35.60	n/a	26-Jul	400.00	4.0
LEDDY	035	LM	S	200	85.76	28-Jul	4-Aug	0.00	0.0
CAXTON PART	040	MO	B	98,689	79.79	n/a	n/a	3,947.56	4.0
BUCK CAPITAL MGMT	001	NKE	S	30,000	78.08	n/a	n/a	1,200.00	4.0
LISTED ERR	039	PVH	B	1,000	35.10	8-Jun	8-Jun	0.00	0.0
ASCEND PARTNERS	033	PVH	B	50,000	35.22	8-Jun	8-Jun	2,500.00	5.0
GRANAHAN INV	039	PVH	B	18,100	35.10	8-Jun	8-Jun	905.00	5.0
SUTE	060	RIAFX	S	411	6.27	n/a	n/a	0.00	0.0
CAXTON PART	040	RIMM	B	25,000	67.81	n/a	n/a	1,000.00	4.0
COUGAR TRADING	044	RL	S	5,000	59.75	16-Jun	9-Aug	250.00	5.0
STADIA CAPITAL	035	RL	S	30,000	59.46	16-Jun	9-Aug	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FORCE CAPITAL MGMT	030	RSH	S	25,000	16.84	n/a	n/a	750.00	3.0
BUCK CAPITAL MGMT	001	RVI	S	15,000	14.73	n/a	n/a	600.00	4.0
MKT MAKER	099	SBUX	S	100	30.80	2-Aug	2-Aug	0.00	0.0
MKT MAKER	099	SCHL	B	9	29.41	n/a	n/a	0.00	0.0
THIRD POINT MGMT	044	SHPGY	S	25,000	47.86	24-Feb	8-Aug	1,000.00	4.0
MKT MAKER	099	SSCC	S	326	10.38	15-May	13-Jul	0.00	0.0
HIGHFIELDS CAP MGMT	039	STN	B	44,200	55.80	n/a	25-Jul	1,768.00	4.0
ALLEN & CO	032	TEVA	S	203,000	35.43	7-Aug	9-Aug	8,120.00	4.0
MERRILL ASSET	032	TTI	B	82,100	30.54	n/a	n/a	4,105.00	5.0
MCCARTAN	028	UARM	S	100	35.28	n/a	n/a	0.00	0.0
DUNCAN HURST CAP	048	UCO	B	10,030	57.51	8-Aug	8-Aug	501.50	5.0
GLENHILL CAPITAL	038	URBN	B	100,000	14.14	19-Jul	2-Aug	5,000.00	5.0
MERRILL ASSET	032	VTS	B	13,400	58.00	n/a	n/a	670.00	5.0
GAUL	063	WRNC	B	500	17.99	9-Aug	9-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	B	10,000	12.28	n/a	9-Jun	400.00	4.0

TOTAL :

81,016.38

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
AO, CXL	FORCE CAPITAL MGMT (FORCGSPB)	030	CCL	B	50,000	37.72	n/a	26-Jan	1,500.00 3.0
AO,	FORCE CAPITAL MGMT (FORCGSPB)	030	CCL	B	50,000	38.72	n/a	26-Jan	1,500.00 3.0
AO,	LAPIDES ASSET MGMT (LAPIPERS)	037	IT	S	30,000	15.05	n/a	n/a	1,200.00 4.0
AO, CRT	MKT MAKER (MKT MAKER)	099	LVL	B	100	3.50	4-Aug	7-Aug	0.00 0.0
AO, CRT	MKT MAKER (MKT MAKER)	099	SBUX	S	100	30.90	2-Aug	2-Aug	0.00 0.0
AO,	BUCK CAPITAL MGMT (BUCKMS MAI)	001	TLB	S	10,000	20.39	n/a	4-Aug	400.00 4.0
AO, CXL	ADAGE CAPITAL MGMT (ADAGESHORT)	030	WSM	S	25,000	33.90	n/a	n/a	1,000.00 4.0
AO, CRT	ADAGE CAPITAL MGMT (ADAGESHORT)	030	WSM	S	25,000	33.90	n/a	n/a	1,000.00 4.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.