



## Daily Compliance Activity Report

Trade Date: 03/19/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FORD FDN.	032	ABT	S	75,000	53.03	n/a	22-Apr	3,750.00	5.0
BALYASNY ASSET MGMT	044	ADM	B	10,000	34.19	n/a	n/a	500.00	5.0
<b>NOLAN</b>	<b>067</b>	<b>AOS</b>	<b>S</b>	<b>20</b>	<b>37.77</b>	<b>21-Dec</b>	<b>8-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>APC</b>	<b>S</b>	<b>400</b>	<b>40.03</b>	<b>27-Feb</b>	<b>12-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>30,000</b>	<b>39.47</b>	<b>23-Oct</b>	<b>16-Mar</b>	<b>1,200.00</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	B	10,000	39.95	23-Oct	16-Mar	500.00	5.0
J L F ASSET MGMT	039	ARO	B	30,200	39.60	23-Oct	16-Mar	1,208.00	4.0
ROCKEFELLER & CO.	040	ATVI	S	104,250	17.29	n/a	n/a	4,170.00	4.0
MAZAMA CAPITAL MGMT.	035	ATW	S	42,100	54.13	n/a	n/a	1,684.00	4.0
DEEPHAVEN	031	AVZ	S	15,000	23.29	5-Mar	15-Mar	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBW</b>	<b>S</b>	<b>10,000</b>	<b>26.74</b>	<b>19-Mar</b>	<b>19-Mar</b>	<b>400.00</b>	<b>4.0</b>
SAC TRADING HOWARD	038	BBW	B	15,000	26.72	19-Mar	19-Mar	750.00	5.0
THE JAY GOLDMAN	034	BBW	S	15,000	26.93	19-Mar	19-Mar	600.00	4.0
ROBX	033	BBW	S	29,000	26.62	19-Mar	19-Mar	1,160.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>SS</b>	<b>20,000</b>	<b>31.28</b>	<b>9-Mar</b>	<b>16-Mar</b>	<b>800.00</b>	<b>4.0</b>
PILOT ADV	038	BOW	B	60,000	23.31	n/a	19-Mar	2,400.00	4.0
ARIENCE CAPITAL	036	BYD	B	23,620	44.76	21-Feb	21-Feb	1,181.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	CAKE	B	15,000	27.69	7-Feb	7-Feb	750.00	5.0
THE JAY GOLDMAN	034	CAKE	S	27,000	27.50	7-Feb	7-Feb	1,080.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CBK</b>	<b>B</b>	<b>70,000</b>	<b>17.80</b>	<b>11-Dec</b>	<b>9-Mar</b>	<b>2,800.00</b>	<b>4.0</b>
<b>KAICHER</b>	<b>064</b>	<b>CBLI</b>	<b>B</b>	<b>1,000</b>	<b>8.29</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CIBC ADV.	060	CBS	SS	23,000	30.48	n/a	n/a	920.00	4.0
SLS CAPITAL LLC	224	CLMS	SS	55,600	22.33	12-Mar	12-Mar	2,780.00	5.0
<b>Õ Glenn Redemann</b>		<b>CLM</b>	<b>B</b>	<b>1176</b>	<b>8.4700</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GALLEON MGMT LP	033	CL	B	5,000	65.77	31-Jan	31-Jan	250.00	5.0
MAZAMA CAPITAL MGMT.	035	CNS	B	50,700	41.55	n/a	n/a	2,028.00	4.0
GALLEON MGMT LP	033	CSG	S	5,000	48.27	n/a	n/a	250.00	5.0
SOROS	036	CVS	S	97,800	33.03	n/a	n/a	4,890.00	5.0
BLUE HARBOR	036	CWTR	SS	303,000	17.61	n/a	n/a	15,150.00	5.0
<b>OTC ERROR</b>	<b>099</b>	<b>CWTR</b>	<b>B</b>	<b>120,917</b>	<b>17.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>OTC ERROR</b>	<b>099</b>	<b>CWTR</b>	<b>SS</b>	<b>120,917</b>	<b>17.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KALINOWSKI</b>	<b>070</b>	<b>DRBNX</b>	<b>S</b>	<b>2,007</b>	<b>23.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GLG PARTNERS	034	DRI	S	25,000	40.64	19-Mar	19-Mar	1,250.00	5.0
TIGF	046	EAGL	S	15,000	36.60	n/a	n/a	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GLICK	059	FBNDX	S	3,205	7.40	n/a	n/a	0.00	0.0
GLICK	059	FDIVX	S	764	37.17	n/a	n/a	0.00	0.0
IVORY CAPITAL	034	FD	S	100,000	44.91	26-Jan	9-Mar	5,000.00	5.0
BARR	096	FLT	S	200	2.32	n/a	n/a	0.00	0.0
BELMONT GLOBAL ADV	248	FL	B	40,000	22.39	n/a	19-Mar	2,000.00	5.0
BUCK CAPITAL MGMT	001	FL	B	60,000	22.41	n/a	19-Mar	2,400.00	4.0
SCOPUS FUND	039	FL	B	50,000	22.26	n/a	19-Mar	2,500.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	15,000	42.74	n/a	10-Jan	600.00	4.0
SYSTEMATIC FINANCIAL MGT	035	GCO	B	40,000	42.75	n/a	10-Jan	1,500.00	3.8
BARR	096	GG	S	400	24.22	n/a	n/a	0.00	0.0
LEDDY	035	GLW	S	500	22.23	n/a	2-Mar	0.00	0.0
BARR	096	GMRF	B	10	2.80	n/a	n/a	0.00	0.0
WAGONHOUND	048	GYMB	B	7,500	39.06	4-Jan	14-Mar	300.00	4.0
PRIME LOGIC ADV	039	HBI	B	2,600	27.42	n/a	n/a	130.00	5.0
J L F ASSET MGMT	039	HOT	B	46,500	64.34	n/a	n/a	1,860.00	4.0
MAZAMA CAPITAL MGMT.	035	HPY	S	20,000	23.89	n/a	n/a	800.00	4.0
MERRILL ASSET	032	IFC	S	57,500	19.01	n/a	n/a	2,875.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND	048	ISE	B	6,600	47.54	26-Oct	13-Mar	264.00	4.0
GALLEON MGMT LP	033	JAH	S	5,000	37.18	n/a	n/a	250.00	5.0
COUGAR TRADING	044	JCG	B	5,000	38.54	14-Mar	14-Mar	250.00	5.0
<b>Õ Glenn Redemann</b>		<b>JSN</b>	<b>B</b>	<b>547</b>	<b>18.2500</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CUMBERLAND	038	JWN	S	25,000	51.58	27-Feb	9-Mar	1,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KMX</b>	<b>B</b>	<b>10,000</b>	<b>53.55</b>	<b>n/a</b>	<b>10-Jan</b>	<b>400.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KWD</b>	<b>S</b>	<b>25,400</b>	<b>30.84</b>	<b>n/a</b>	<b>n/a</b>	<b>1,016.00</b>	<b>4.0</b>
SAC TRADING HOWARD	038	KWD	SS	10,000	30.46	n/a	n/a	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>B</b>	<b>17,500</b>	<b>43.40</b>	<b>n/a</b>	<b>28-Feb</b>	<b>700.00</b>	<b>4.0</b>
SUNOVA CAPITAL	031	LM	SS	25,000	93.44	16-Mar	16-Mar	1,250.00	5.0
NCM CAPITAL MGMT	035	LRCX	B	14,775	45.21	n/a	n/a	738.75	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTM</b>	<b>S</b>	<b>4,900</b>	<b>49.30</b>	<b>n/a</b>	<b>n/a</b>	<b>196.00</b>	<b>4.0</b>
<b>Õ Glenn Redemann</b>		<b>MFV</b>	<b>B</b>	<b>930</b>	<b>10.7500</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAPITAL GROWTH MGMT.	241	MOS	B	150,000	26.94	n/a	n/a	7,500.00	5.0
JENNISON	032	MOS	S	47,000	27.06	n/a	n/a	1,880.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>MWV</b>	<b>S</b>	<b>900</b>	<b>29.61</b>	<b>8-Jan</b>	<b>19-Mar</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EMP ERROR	098	MWV	B	20	29.65	8-Jan	19-Mar	0.00	0.0
KORMAN	003	NDEPD	S	25	0.45	n/a	n/a	0.00	0.0
KORMAN	003	NDEPE	S	50	1.40	n/a	n/a	0.00	0.0
KORMAN	003	NDEPX	S	25	0.75	n/a	n/a	0.00	0.0
PLATTE RIVER CAPITAL	035	NDN	B	3,500	14.53	16-Jan	12-Mar	140.00	4.0
GALLEON MGMT LP	033	NUE	SS	5,000	62.25	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	NVT	B	1,700	31.97	n/a	n/a	68.00	4.0
BUCK CAPITAL MGMT	001	NWY	S	10,000	15.32	1-Dec	9-Mar	400.00	4.0
GALLEON MGMT LP	033	PPC	S	5,000	31.49	n/a	n/a	250.00	5.0
RH CAPITAL ASSOCIATES	060	PRGS	S	19,909	28.28	n/a	n/a	995.45	5.0
ACT II PARTNERS	067	PSMT	B	3,100	14.98	n/a	n/a	155.00	5.0
MKT MAKER	099	PSMT	B	77	14.98	n/a	n/a	0.00	0.0
SAC TRADING HOWARD	038	PTRY	S	10,000	44.63	n/a	n/a	500.00	5.0
NCM CAPITAL MGMT	035	PWR	S	77,500	24.40	n/a	n/a	3,540.00	4.6
KAICHER	064	REV	B	316	1.13	n/a	n/a	0.00	0.0
KAICHER	064	SIRI	S	300	3.28	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KAICHER	064	SNCR	S	1,000	18.56	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	B	5,000	60.14	n/a	8-Mar	200.00	4.0
AVESTA CAP	229	TIF	B	25,000	43.00	n/a	11-Jan	1,250.00	5.0
HARVEST MGMT	039	TIF	B	40,000	42.90	n/a	11-Jan	2,000.00	5.0
EGS ASSOCIATES LP	091	TJX	B	3,000	26.36	n/a	9-Mar	180.00	6.0
BUCK CAPITAL MGMT	001	TLB	S	10,000	24.62	10-Jan	8-Mar	400.00	4.0
GALLEON MGMT LP	033	UBS	S	5,000	57.81	n/a	n/a	250.00	5.0
CIBC ADV.	060	VIAB	SS	23,000	41.01	n/a	n/a	920.00	4.0
MAGNETAR FINANCIAL	247	WMT	S	20,000	46.67	n/a	8-Mar	800.00	4.0
MORGAN LEWIS/MLH	046	WMT	B	10,000	46.63	n/a	8-Mar	500.00	5.0
SOROS	036	WMT	B	69,030	46.58	n/a	8-Mar	3,451.50	5.0
<b>TOTAL (Trade Date):</b>								<b>105,960.70</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/16	HOOVER INV. MGMT (HOOV6)	033	ANN	B	55,400	38.41	5-Jan	19-Mar	2770.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV9)	033	ANN	B	1,500	38.41	5-Jan	19-Mar	75.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV10)	033	ANN	B	12,000	38.41	5-Jan	19-Mar	600.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV20)	033	ANN	B	700	38.41	5-Jan	19-Mar	35.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV22)	033	ANN	B	6,000	38.41	5-Jan	19-Mar	300.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV23)	033	ANN	B	700	38.41	5-Jan	19-Mar	35.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV24)	033	ANN	B	700	38.41	5-Jan	19-Mar	35.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV25)	033	ANN	B	7,000	38.41	5-Jan	19-Mar	350.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV26)	033	ANN	B	3,700	38.41	5-Jan	19-Mar	185.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV27)	033	ANN	B	800	38.41	5-Jan	19-Mar	40.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV29)	033	ANN	B	1,000	38.41	5-Jan	19-Mar	50.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV31)	033	ANN	B	700	38.41	5-Jan	19-Mar	35.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV32)	033	ANN	B	1,500	38.41	5-Jan	19-Mar	75.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV33)	033	ANN	B	100	38.41	5-Jan	19-Mar	5.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV34)	033	ANN	B	4,000	38.41	5-Jan	19-Mar	200.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV37)	033	ANN	B	1,100	38.41	5-Jan	19-Mar	55.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV42)	033	ANN	B	200	38.41	5-Jan	19-Mar	10.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV43)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV45)	033	ANN	B	200	38.41	5-Jan	19-Mar	10.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV42)	033	ANN	B	200	38.41	5-Jan	19-Mar	10.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV47)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV48)	033	ANN	B	200	38.41	5-Jan	19-Mar	10.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV49)	033	ANN	B	200	38.41	5-Jan	19-Mar	10.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV50)	033	ANN	B	700	38.41	5-Jan	19-Mar	35.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV96)	033	ANN	B	200	38.41	5-Jan	19-Mar	10.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV97)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV98)	033	ANN	B	100	38.41	5-Jan	19-Mar	5.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/16	HOOVER INV. MGMT (HOOV94)	033	ANN	B	100	38.41	5-Jan	19-Mar	5.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV93)	033	ANN	B	100	38.41	5-Jan	19-Mar	5.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV90)	033	ANN	B	7,700	38.41	5-Jan	19-Mar	385.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV95)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV21)	033	ANN	B	1,500	38.41	5-Jan	19-Mar	75.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV91)	033	ANN	B	1,400	38.41	5-Jan	19-Mar	70.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV92)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV87)	033	ANN	B	800	38.41	5-Jan	19-Mar	40.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV61)	033	ANN	B	18,800	38.41	5-Jan	19-Mar	940.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV88)	033	ANN	B	100	38.41	5-Jan	19-Mar	5.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV89)	033	ANN	B	3,400	38.41	5-Jan	19-Mar	170.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV86)	033	ANN	B	200	38.41	5-Jan	19-Mar	10.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV75)	033	ANN	B	3,200	38.41	5-Jan	19-Mar	160.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV76)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV77)	033	ANN	B	100	38.41	5-Jan	19-Mar	5.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV78)	033	ANN	B	100	38.41	5-Jan	19-Mar	5.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV79)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV82)	033	ANN	B	5,200	38.41	5-Jan	19-Mar	260.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV71)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV72)	033	ANN	B	200	38.41	5-Jan	19-Mar	10.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV73)	033	ANN	B	2,800	38.41	5-Jan	19-Mar	140.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV74)	033	ANN	B	200	38.41	5-Jan	19-Mar	10.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV83)	033	ANN	B	100	38.41	5-Jan	19-Mar	5.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOVCLOSED)	033	ANN	B	2,900	38.41	5-Jan	19-Mar	145.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV67)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV64)	033	ANN	B	1,500	38.41	5-Jan	19-Mar	75.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV62)	033	ANN	B	2,000	38.41	5-Jan	19-Mar	100.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV60)	033	ANN	B	3,200	38.41	5-Jan	19-Mar	160.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV53)	033	ANN	B	4,600	38.41	5-Jan	19-Mar	230.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV52)	033	ANN	B	300	38.41	5-Jan	19-Mar	15.00 5.0



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AO,	03/16	HOOVER INV. MGMT (HOOV1)	033	ANN	B	400	38.41	5-Jan	19-Mar	20.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV2)	033	ANN	B	9,200	38.41	5-Jan	19-Mar	460.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV3)	033	ANN	B	2,700	38.41	5-Jan	19-Mar	135.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV4)	033	ANN	B	2,100	38.41	5-Jan	19-Mar	105.00 5.0
AO,	03/16	HOOVER INV. MGMT (HOOV5)	033	ANN	B	3,900	38.41	5-Jan	19-Mar	195.00 5.0
AO,CRT	03/16	BALYASNY ASSET MGMT (BALY)	237	AVZ	S	25,000	22.98	5-Mar	15-Mar	1250.00 5.0
AO,	03/16	DENVER INST. ADV (DENV101)	046	ENDP	S	4,300	28.47	23-Feb	19-Mar	215.00 5.0
AO,CXL	03/16	DENVER INST. ADV (DENV100)	046	ENDP	S	4,300	28.47	23-Feb	19-Mar	215.00 5.0
AO,	03/16	WHEELER (WHEELER)	008	LEA	S	9,900	25.00	n/a	n/a	0.00 0.0
CRT	03/19	EMP ERROR (EMP ERROR)	098	MWV	B	20	29.65	8-Jan	19-Mar	0.00 0.0
AO,	03/16	EFSTATHIOU (EFSTATHIOU)	044	PZZA	B	500	30.00	n/a	28-Feb	0.00 0.0
AO,CRT	03/14	PILOT ADV (PILOOMNI)	038	USG	SS	8,500	47.78	13-Mar	19-Mar	340.00 4.0
AO,CXL	03/14	PILOT ADV (PILOPARTBS)	038	USG	SS	8,500	47.78	13-Mar	19-Mar	340.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>10,270.00</b>

TOTAL (Trade Date):	105,960.70
TOTAL (AO, CXL, CRT):	10,270.00
<b>TOTAL (RUN DATE) / NFS:</b>	<b>116,230.70</b>



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION