RR Commissions Summary [Kevin O'Gorman]

Trade Date: 09/24/2013

"Brokerage Month Basis" CLIENT (PRIMARY)	RR	COMMISSIONS 09/24	MTD	QTD	YTD	CHECKS MTD	QTD	YTD	TOTAL MTD	QTD	YTD	LAST YEAR	% of LY
AMBER CAPITAL	046	0	0	0	17,148	0	0	0	0	0	17,148	51,197	33
CAMBIAR CAP	046	0	0	33,556	117,746	0	0	0	0	33,556	117,746	129,983	91
DARUMA ASSET MGMT INC	046	0	0	0	4,528	0	0	0	0	0	4,528	963	470
DENVER INST. ADV	046	0	4,754	11,407	27,954	0	0	0	4,754	11,407	27,954	64,358	43
GARTMORE/HENDERSON - LONDON	046	0	0	0	0	0	0	0	0	0	0	51,254	0
HENDERSON GLOBAL INVESTORS	046	0	0	0	0	0	0	5,154	0	0	5,154	0	0
JANUS CAPITAL CORP	046	0	0	0	0	0	95,000	160,000	0	95,000	160,000	41,000	390
JP MORGAN VENTURES	046	0	0	0	0	0	0	0	0	0	0	17,892	0
KENNEDY CAPITAL	046	0	2,678	26,252	47,167	0	0	0	2,678	26,252	47,167	46,063	102
MADISON ST PARTNERS LLC	046	0	0	4,250	13,250	0	0	0	0	4,250	13,250	3,719	356
MARSICO CAPITAL	046	0	0	2,567	12,567	0	0	1,875	0	2,567	14,442	3,530	409
MELLON/BANK OF NEW YORK	046	0	20,126	30,116	118,605	0	0	0	20,126	30,116	118,605	206,470	57
MUHLENKAMP FUND	046	0	0	0	25,000	0	0	0	0	0	25,000	19,250	130
NEWTON MANAGEMENT	046	0	0	0	0	0	0	6,085	0	0	6,085	4,880	125
NOMURA GLOBAL ASSET MGMT	046	0	0	0	0	0	0	6,400	0	0	6,400	0	0
PLATTE RIVER CAPITAL	046	0	0	254	20,465	0	0	0	0	254	20,465	0	0
RK CAPTIAL MGMT	046	0	0	0	2,290	0	0	0	0	0	2,290	4,750	48
ROSEDALE CAPITAL	046	0	4,700	12,550	58,742	0	0	0	4,700	12,550	58,742	81,694	72
TOCQUEVILLE	046	0	0	1,250	24,440	0	0	0	0	1,250	24,440	0	0
ZIFF BROS	046	0	0	0	0	2,500	2,500	17,500	2,500	2,500	17,500	50,000	35
TOTALS:		0	32,258	122,202	489,902	2,500	97,500	197,015	34,758	219,702	686,917		

"Brokerage Month Basis" CLIENT (SHARED)	RR	COMMISSIONS 09/24	MTD	QTD	YTD	CHECKS MTD	QTD	YTD	TOTAL MTD	QTD	YTD	LAST YEAR	% of LY
KENSAI MANAGEMENT	286	0	0	0	0	0	0	0	0	0	0	0	0
MANNING & NAPIER	035	0	0	0	0	0	32,500	65,000	0	32,500	65,000	108,750	60
TOTALS:		0	0	0	0	0	32,500	65,000	0	32,500	65,000		

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