



Daily Compliance Activity Report

Trade Date: 05/25/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAN	SS	20,000	26.96	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		AAN	SS	20,000	26.96	n/a	n/a	non-brg	4.0
f MICHAEL ALEXANDER SM	FID	AAPL	S	6	3.60	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ABG	S	20,000	26.45	n/a	27-Apr	non-brg	4.0
LATEFF MGMT	035	ATI	B	1,000	34.29	n/a	11-May	100.00	10.0
NEWLAND CAPITAL MGT	025	AXL	S	20,000	9.31	n/a	30-Apr	600.00	3.0
LATEFF MGMT	035	BDN	S	250	11.30	n/a	n/a	37.50	15.0
f DAVID GEORGE BUCK	FID	CAT	S	1000	90.20	n/a	26-Apr	0.00	0.0
NEUBERGER BERMAN	036	CCE	S	20,000	27.58	n/a	n/a	800.00	4.0
f DAVID GEORGE BUCK	FID	CLX	B	200	69.97	n/a	23-May	0.00	0.0
f DAVID GEORGE BUCK	FID	CLX	B	500	69.84	n/a	23-May	0.00	0.0
LATEFF MGMT	035	CMCSK	S	2,000	28.66	n/a	n/a	200.00	10.0
f KAREN F LEDDY	FID	CROX	S	800	17.33	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CVGI	S	1260	8.79	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	DAL	S	34,000	11.48	n/a	n/a	1,700.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f EDWARD W WHEELER	FID	DAN	S	190	1.63	n/a	26-Apr	0.00	0.0
LATEFF MGMT	035	DOW	B	2,000	31.36	n/a	1-May	200.00	10.0
f DAVID GEORGE BUCK	FID	DRI	B	1000	52.99	n/a	13-Apr	0.00	0.0
WEINTRAUB CAPITAL MGT.	269	EBAY	S	100,000	39.89	n/a	n/a	4,000.00	4.0
f DAVID GEORGE BUCK	FID	FB	B	1000	32.07	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	FB	B	200	31.98	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	FB	B	200	31.89	n/a	n/a	0.00	0.0
SCOUT/UMB	047	FDO	B	46,368	67.69	n/a	7-May	1,391.04	3.0
NEUBERGER BERMAN	036	FEIC	B	21,700	45.58	n/a	n/a	868.00	4.0
B BUCK CAPITAL MGMT		FINL	S	20,000	21.71	n/a	2-Apr	non-brg	4.0
ROYCE TRADING	031	FINL	S	4,000	21.76	n/a	2-Apr	200.00	5.0
BASIX	033	FL	SS	10,000	32.53	n/a	18-May	300.00	3.0
ROYCE TRADING	031	FL	B	4,400	32.57	n/a	18-May	220.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	FRED	B	300	14.02	n/a	n/a	0.00	0.0
ROYCE TRADING	031	FRS	S	1,200	27.00	n/a	n/a	60.00	5.0
B BUCK CAPITAL MGMT		GPI	S	10,000	51.32	n/a	27-Apr	non-brg	4.0
DECADE CAPITAL PARTNERS	040	GS	SS	10,300	96.85	n/a	24-May	412.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Yanai Frank		GWW	B	100	195.74	n/a	19-Apr	0.00	0.0
ROBERTSON STEVENS BC	084	HBI	B	37,500	26.90	n/a	25-May	1,500.00	4.0
f DAVID GEORGE BUCK	FID	HDV	S	400	57.23	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	HDV	S	300	57.15	n/a	n/a	0.00	0.0
ARSENAL ASSET MANAGEMENT	028	HON	S	20,000	56.98	n/a	3-May	600.00	3.0
ROYCE TRADING	031	HUBB	S	1,000	79.54	n/a	n/a	50.00	5.0
ß BUCK CAPITAL MGMT		KORS	B	30,000	41.18	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LAD	S	10,000	25.07	n/a	n/a	non-brg	2.5
LIONEYE CAPITAL	040	LEN	SS	5,000	28.37	n/a	n/a	250.00	5.0
LONG OAR GLOBAL INV	040	LPX	B	75,000	9.53	n/a	7-May	2,250.00	3.0
ß BUCK CAPITAL MGMT		LTM	SS	25,000	43.17	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LTM	SS	25,000	43.17	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	LUK	S	200	1.70	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MCD	SS	10,000	91.00	n/a	18-May	non-brg	1.0
ß BUCK CAPITAL MGMT		MCD	SS	20,000	90.85	n/a	18-May	non-brg	4.0
f DAVID GEORGE BUCK	FID	MCD	B	500	91.27	n/a	18-May	0.00	0.0
TUDOR INVESTORS	028	MHK	B	5,000	70.07	n/a	n/a	150.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	031	MOV	S	5,647	28.98	n/a	n/a	282.35	5.0
SENATOR INVESTMENT GROUP	036	MPC	B	200,000	36.73	n/a	n/a	6,000.00	3.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	1,608,882	105.78	n/a	n/a	non-brg	0.3
ß BUCK CAPITAL MGMT		MSMSBCM	C	1,675,000	105.78	n/a	n/a	non-brg	0.3
ß BUCK CAPITAL MGMT		MSMSBCM	SS	1,608,882	105.78	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MSMSBCM	SS	1,675,000	105.78	n/a	n/a	non-brg	0.0
DECADE CAPITAL PARTNERS	040	MS	B	75,200	13.27	n/a	24-May	3,008.00	4.0
f JASON ERIC COHEN	FID	NFLX	B	75	72.26	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	NYX	SS	100,000	24.47	n/a	n/a	4,000.00	4.0
ß BUCK CAPITAL MGMT		PAG	S	20,000	24.76	n/a	26-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		PAG	S	20,000	24.92	n/a	26-Apr	non-brg	4.0
Õ Yanai Frank		PH	B	275	84.41	n/a	25-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		PII	S	10,000	77.30	n/a	n/a	non-brg	4.0
Õ Yanai Frank		PX	B	230	108.91	n/a	3-May	0.00	0.0
ß BUCK CAPITAL MGMT		RCII	SS	20,000	33.60	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RCII	SS	20,000	33.60	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	RDSB	B	500	64.92	n/a	n/a	65.00	13.0
RAINIER INV MGMT	035	RJF	B	73,450	34.03	n/a	n/a	2,938.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOHN MICHAEL ZOLIDIS	FID	RUE	S	150	28.73	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	RUE	S	70	28.68	n/a	n/a	0.00	0.0
STATE TEACHERS RET SYS	047	SBUX	B	30,000	54.69	n/a	27-Apr	1,500.00	5.0
B BUCK CAPITAL MGMT		SIG	B	10,000	43.79	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SKS	SS	25,000	10.25	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SKS	SS	25,000	10.25	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SKS	SS	25,000	10.26	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SKS	SS	25,000	10.26	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	SPLS	S	200	13.44	n/a	n/a	30.00	15.0
Ö Yanai Frank		SPY	B	125	132.43	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	STWD	B	400	20.20	n/a	n/a	0.00	0.0
f JESSICA PERNO	FID	SVU	S	95	4.71	n/a	n/a	0.00	0.0
LATEFF MGMT	035	TSN	S	225	19.32	n/a	n/a	33.75	15.0
ROYCE TRADING	031	TW	S	1,200	60.75	n/a	n/a	60.00	5.0
LATEFF MGMT	035	T	S	2,500	33.68	n/a	n/a	250.00	10.0
WEINTRAUB CAPITAL MGT.	269	T	B	50,000	33.81	n/a	n/a	2,000.00	4.0
ARSENAL ASSET MANAGEMENT	028	UNP	SS	15,000	112.18	n/a	n/a	450.00	3.0
f EDWARD W WHEELER	FID	VE	B	5000	12.05	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Yanai Frank		VGK	B	600	40.10	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	VOD	B	550,000	27.13	n/a	n/a	22,000.00	4.0
ß BUCK CAPITAL MGMT		VRA	S	10,366	24.44	n/a	n/a	non-brg	4.0
ARSENAL ASSET MANAGEMENT	028	WLK	B	20,000	56.05	n/a	n/a	600.00	3.0
ß BUCK CAPITAL MGMT		WNC	B	35,000	7.10	n/a	n/a	non-brg	3.0
TOTAL (Trade Date):								59,105.64	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									59,105.64
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									59,105.64

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 25-May.
NONE

MRI Securities without Trade Activity on 25-May.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)