



Daily Compliance Activity Report

Trade Date: 06/13/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ADAGE CAPITAL MGMT	030	AEO	B	150,000	26.24	n/a	12-Jun	6,000.00	4.0
BUCK CAPITAL MGMT	001	AEO	B	100,000	26.30	n/a	12-Jun	4,000.00	4.0
BUCK CAPITAL MGMT	001	ANN	B	20,000	37.10	25-May	8-Jun	800.00	4.0
US BANCORP	030	ARO	S	21,500	44.00	n/a	8-Jun	1,075.00	5.0
ZOLIDIS	011	BBI	SS	500	4.28	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	BIG	SS	67,500	29.82	9-Mar	7-Jun	2,700.00	4.0
ADAGE CAPITAL MGMT	030	BJ	S	80,000	37.15	10-Apr	7-Jun	3,200.00	4.0
DIAMONDBACK CAP MGMT	232	BLK	B	5,000	156.38	25-May	31-May	250.00	5.0
Õ Glenn Redemann		BWLD	B	3	0.6000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BWLD	B	3	0.7900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BWLD	SS	3	1.3200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BWLD	SS	3	1.6700	n/a	n/a	0.00	0.0
CASTLEROCK	040	COO	S	122,700	53.93	n/a	n/a	4,908.00	4.0
SOLARIS INV MGMT	036	COP	B	71,300	77.69	n/a	n/a	3,565.00	5.0
WHEELER	008	CRAY	B	10,000	7.10	n/a	n/a	0.00	0.0
SOLARIS INV MGMT	036	CVX	S	71,900	81.14	n/a	n/a	3,595.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ADAGE CAPITAL MGMT	030	DDS	B	50,000	33.74	n/a	n/a	2,000.00	4.0
HIGHBRIDGE CAPITAL	038	DIS	SS	4,000	33.19	n/a	n/a	200.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	10,000	34.52	7-May	7-Jun	400.00	4.0
WEISS PECK	040	DSW	B	8,800	34.96	7-May	7-Jun	440.00	5.0
OGORMAN	146	DXD	B	300	51.01	n/a	n/a	0.00	0.0
BACKUS	005	ECMV	B	2,000	25.35	n/a	n/a	0.00	0.0
FINANCIAL STOCK LP	028	ETFC	S	185,000	24.38	n/a	n/a	7,400.00	4.0
HIGHBRIDGE CAPITAL	038	ETM	SS	29,000	24.98	n/a	n/a	1,450.00	5.0
FARALLON CAP MGMT	040	FDX	B	15,000	107.24	n/a	n/a	600.00	4.0
BACKUS	005	FL	S	1,000	21.33	24-May	24-May	0.00	0.0
GOLDMAN SACHS ASSET MGMT	044	FO	B	35,828	79.11	n/a	n/a	716.56	2.0
TRELLUS PARTNERS LP	028	F	B	144,600	8.47	n/a	27-Apr	7,230.00	5.0
WHITE PINE CAPITAL	030	GGG	B	200	40.29	n/a	n/a	10.00	5.0
WHEELER	008	GHM	S	4,000	25.77	n/a	n/a	0.00	0.0
LEDDY	035	GIL	B	400	34.40	1-Jun	1-Jun	0.00	0.0
LEDDY	035	GM	SS	700	31.80	4-May	4-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAPITAL GROWTH MGMT.	241	GS	S	45,000	232.75	n/a	30-May	1,800.00	4.0
BACKUS	005	GS	S	500	230.58	n/a	30-May	0.00	0.0
WHITE PINE CAPITAL	030	HMX	B	800	6.17	n/a	n/a	40.00	5.0
Õ Glenn Redemann		HOT	B	3	0.6100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HOT	B	3	0.6200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HOT	SS	3	1.4900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HOT	SS	3	1.6400	n/a	n/a	0.00	0.0
BACKUS	005	ICON	S	1,000	21.80	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	IFF	B	100	51.09	3-May	12-Jun	5.00	5.0
Õ Glenn Redemann		IMO	B	3	0.1600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IMO	B	3	0.2700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IMO	SS	3	0.7300	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IMO	SS	3	1.3000	n/a	n/a	0.00	0.0
CAXTON PART	040	INTC	B	250,000	22.44	n/a	n/a	10,000.00	4.0
KERN	050	ITCD	S	2,500	5.35	n/a	n/a	0.00	0.0
WEINTRAUB CAPITAL MGT.	040	IVZ	B	75,000	23.52	26-Apr	11-Jun	3,750.00	5.0
CENTURION PARTNERS	233	JCP	S	20,000	76.64	20-Apr	8-Jun	1,000.00	5.0
ZOLIDIS	011	JMBA	S	1,000	10.03	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LTM	B	16,500	50.50	n/a	n/a	660.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CROWLEY	061	MIRWSB	S	1,000	27.47	n/a	n/a	0.00	0.0
MFP INVESTORS	032	MNI	B	20,000	26.42	n/a	n/a	1,000.00	5.0
ADAGE CAPITAL MGMT	030	M	S	130,000	39.04	17-May	8-Jun	5,200.00	4.0
BUCK CAPITAL MGMT	001	M	S	40,000	39.09	17-May	8-Jun	1,600.00	4.0
MENCKE	055	NEWCQ	S	100	0.39	n/a	n/a	0.00	0.0
DAVIDSON TRUST CO	033	NVS	B	3,155	54.67	n/a	n/a	157.75	5.0
CAPITAL GROWTH MGMT.	241	OII	B	25,000	48.68	n/a	n/a	1,250.00	5.0
BARR	096	ORB	B	500	19.82	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	PL	B	30,000	48.14	n/a	n/a	1,500.00	5.0
WHEELER	008	PMD	B	2,000	19.05	n/a	n/a	0.00	0.0
RH CAPITAL ASSOCIATES	060	PRX	B	5,500	29.00	21-May	13-Jun	275.00	5.0
COUGAR TRADING	044	PSUN	B	15,000	20.58	n/a	8-Jun	750.00	5.0
BUCK CAPITAL MGMT	001	PVH	SS	20,000	59.81	31-May	31-May	800.00	4.0
WHITE PINE CAPITAL	030	RCKY	B	350	15.39	n/a	n/a	17.50	5.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	94.84	2-Feb	31-May	400.00	4.0
WEISS PECK	040	RVI	SS	20,000	16.70	n/a	n/a	600.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	RVI	B	525	16.70	n/a	n/a	26.25	5.0
OGORMAN	146	SDS	B	300	53.39	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	15,000	29.82	n/a	13-Mar	600.00	4.0
COUGAR TRADING	044	SKX	S	5,000	29.61	n/a	13-Mar	250.00	5.0
DEMARTINI	065	SMGGI	B	50	0.60	n/a	n/a	0.00	0.0
DEMARTINI	065	SMGIX	B	25	3.00	n/a	n/a	0.00	0.0
KERN	050	SMG	B	100	43.28	20-Feb	7-Jun	0.00	0.0
ARIENCE CAPITAL	036	STX	B	60,320	20.66	n/a	n/a	3,016.00	5.0
CAXTON PART	040	SUNW	S	400,000	4.84	n/a	n/a	16,000.00	4.0
BARR	096	SWIM	SS	1,000	10.35	n/a	n/a	0.00	0.0
SHAREVEST CAPITAL MGMT.	038	SWY	B	8,100	34.07	n/a	n/a	405.00	5.0
WAGONHOUND	048	TJX	S	11,890	27.99	21-Mar	8-Jun	475.60	4.0
Õ Glenn Redemann		TSO	??	14	0.0400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		TSO	??	14	0.0400	n/a	n/a	0.00	0.0
ADAGE CAPITAL MGMT	030	TWTC	B	29,500	19.00	4-May	12-Jun	1,180.00	4.0
GASNER	025	UADMH	B	4	1.55	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	UNT	B	75	62.16	n/a	7-Jun	3.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	URBN	B	40,000	25.38	2-Apr	11-May	1,600.00	4.0
WHITE PINE CAPITAL	030	WDR	B	250	25.44	5-Mar	23-May	12.50	5.0
MERRILL LYNCH STRATEGICI	034	WHR	SS	15,000	110.48	n/a	n/a	750.00	5.0
ROARING BLUE LION MGMT	012	WTSLA	S	20,000	5.86	n/a	n/a	1,000.00	5.0
TOTAL (Trade Date):								106,663.91	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/11	HIGHBRIDGE CAPITAL (HIGBNEW)	038	CMLS	SS	48,000	9.42	n/a	n/a	2400.00 5.0
AO,CRT	06/11	HIGHBRIDGE CAPITAL (HIGBNEW)	038	CMLS	SS	48,000	9.42	n/a	n/a	1440.00 3.0
AO,CXL	06/12	HIGHBRIDGE CAPITAL (HIGBNEW)	038	CMLS	SS	140,000	9.34	n/a	n/a	7000.00 5.0
AO,CRT	06/12	HIGHBRIDGE CAPITAL (HIGBNEW)	038	CMLS	SS	140,000	9.34	n/a	n/a	4200.00 3.0
AO,CRT	06/12	SANDELL ADV (SANLGSPB)	040	GRP	B	200,000	54.41	n/a	n/a	10000.00 5.0
AO,CXL	06/12	SANDLER CAPITAL (SANDOMINBU)	038	GRP	B	200,000	54.41	n/a	n/a	10000.00 5.0

TOTAL (AO, CXL, CRT) :	-3,760.00
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TOTAL (Trade Date) :	106,663.91
TOTAL (AO, CXL, CRT) :	-3,760.00

TOTAL (RUN DATE) / NFS :	102,903.91
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION