

Daily Compliance Activity Report Trade Date: 07/14/2010

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

watch List ACTIVITY ALL												
Account	Account		Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.		
XIS CAPITAL	044	AEO	В	15,000	12.02	n/a	9-Jul	750.00	5.0			
IGMA CAPITAL ASSOCIATES	041	ARO	SS	25,000	29.16	n/a	9-Jul	875.00	3.5			
&I FUNDS	047	ВА	S	18,810	65.30	n/a	9-Jul	752.40	4.0			
UCK CAPITAL MGMT		BBBY	В	50,000	37.42	n/a	n/a	non-brg	4.0			
XIS CAPITAL	044	BBY	В	5,000	35.05	n/a	n/a	250.00	5.0			
UCK CAPITAL MGMT		BC	С	3,000	14.70	n/a	n/a	non-brg	4.0			
ONATHAN RICHARD HAR	FID	BEE	В	382	4.18	n/a	n/a	0.00	0.0			
ONATHAN RICHARD HAR	FID	BEE	В	382	4.18	n/a	n/a	0.00	0.0			
EVIN MICHAEL O'GORM	FID	BEE	В	6000	4.25	n/a	n/a	0.00	0.0			
UCK CAPITAL MGMT		вні	S	10,000	46.84	n/a	n/a	non-brg	4.0			
ATAPULT	040	BRKB	SS	5 , 800	79.36	n/a	n/a	290.00	5.0			
ASON ERIC COHEN	FID	CGI	В	150	15.26	n/a	n/a	0.00	0.0			
UCK CAPITAL MGMT		CNW	s	5,000	33.01	n/a	n/a	non-brg	4.0			
UCK CAPITAL MGMT		COLM	С	1,500	47.23	n/a	n/a	non-brg	2.5			
UCK CAPITAL MGMT		COLM	С	10,000	47.10	n/a	n/a	non-brg	4.0			
UCK CAPITAL MGMT		CRI	S	15,000	26.38	n/a	n/a	non-brg	4.0			
	CCOUNT XIS CAPITAL IGMA CAPITAL ASSOCIATES &I FUNDS UCK CAPITAL MGMT XIS CAPITAL UCK CAPITAL MGMT CONATHAN RICHARD HAR CONATHAN RICHARD HAR EVIN MICHAEL O'GORM UCK CAPITAL MGMT ATAPULT ASON ERIC COHEN UCK CAPITAL MGMT UCK CAPITAL MGMT	CCOUNT XIS CAPITAL ASSOCIATES 041 AI FUNDS 047 UCK CAPITAL MGMT CONATHAN RICHARD HAR CONATHAN RICHARD HAR EVIN MICHAEL O'GORM ATAPULT ATAPULT COAPITAL MGMT UCK CAPITAL MGMT UCK CAPITAL MGMT ASON ERIC COHEN UCK CAPITAL MGMT UCK CAPITAL MGMT	CCOUNT XIS CAPITAL 044 AEO IGMA CAPITAL ASSOCIATES 041 ARO &I FUNDS 047 BA UCK CAPITAL MGMT BBBY XIS CAPITAL MGMT UCK CAPITAL MGMT BC ONATHAN RICHARD HAR EVIN MICHAEL O'GORM FID BEE UCK CAPITAL MGMT BHI ATAPULT ATAPULT ASSON ERIC COHEN UCK CAPITAL MGMT UCK CAPITAL MGMT COLM UCK CAPITAL MGMT COLM COLM COLM COLM COLM	XIS CAPITAL XIS CAPITAL XIS CAPITAL XIS CAPITAL XIS CAPITAL ASSOCIATES XIS FUNDS XIS CAPITAL MGMT XIS CAPITAL MGMT XIS CAPITAL XIS CAPITAL	CCOUNT RR# Symbol B/S Shares XIS CAPITAL 044 AEO B 15,000 IGMA CAPITAL ASSOCIATES 041 ARO SS 25,000 &I FUNDS 047 BA S 18,810 UCK CAPITAL MGMT BBBY B 50,000 XIS CAPITAL 044 BBY B 5,000 UCK CAPITAL MGMT BC C 3,000 ONATHAN RICHARD HAR FID BEE B 382 EVIN MICHAEL O'GORM FID BEE B 6000 UCK CAPITAL MGMT BHI S 10,000 ATAPULT 040 BRKB SS 5,800 ASON ERIC COHEN FID CGI B 150 UCK CAPITAL MGMT CNW S 5,000 UCK CAPITAL MGMT COLM C 1,500 UCK CAPITAL MGMT COLM C 10,000	CCOUNT RR# Symbol B/S Shares Price XIS CAPITAL 044 AEO B 15,000 12.02 IGMA CAPITAL ASSOCIATES 041 ARO SS 25,000 29.16 &I FUNDS 047 BA S 18,810 65.30 UCK CAPITAL MGMT BBBY B 50,000 37.42 XIS CAPITAL 044 BBY B 5,000 35.05 UCK CAPITAL MGMT BC C 3,000 14.70 ONATHAN RICHARD HAR FID BEE B 382 4.18 ONATHAN RICHARD HAR FID BEE B 382 4.18 ONATHAN RICHARD HAR FID BEE B 6000 4.25 UCK CAPITAL MGMT BHI S 10,000 46.84 ATAPULT 040 BRKB SS 5,800 79.36 ASON ERIC COHEN FID CGI B 150 15.26 UCK CAPITAL MGMT	CCOUNT RR# Symbol B/S Shares Price MRI XIS CAPITAL 044 AEO B 15,000 12.02 n/a IGMA CAPITAL ASSOCIATES 041 ARO SS 25,000 29.16 n/a &I FUNDS 047 BA S 18,810 65.30 n/a UCK CAPITAL MGMT BBBY B 50,000 37.42 n/a VICK CAPITAL MGMT BC C 3,000 14.70 n/a UCK CAPITAL MGMT BC C 3,000 14.70 n/a ONATHAN RICHARD HAR FID BEE B 382 4.18 n/a ONATHAN RICHARD HAR FID BEE B 6000 4.25 n/a UCK CAPITAL MGMT BHI S 10,000 46.84 n/a ATAPULT 040 BRKB SS 5,800 79.36 n/a UCK CAPITAL MGMT CNW S 5,000 33.01 n/a <td>CCOUNT RR# Symbol B/S Shares Price MRI Research XIS CAPITAL 044 AEO B 15,000 12.02 n/a 9-Jul IGMA CAPITAL ASSOCIATES 041 ARO SS 25,000 29.16 n/a 9-Jul 61 FUNDS 047 BA S 18,810 65.30 n/a 9-Jul UCK CAPITAL MGMT BBBY B 50,000 37.42 n/a n/a XIS CAPITAL 044 BBY B 5,000 35.05 n/a n/a UCK CAPITAL MGMT BC C 3,000 14.70 n/a n/a ONATHAN RICHARD HAR FID BEE B 382 4.18 n/a n/a ONATHAN RICHARD HAR FID BEE B 382 4.18 n/a n/a UCK CAPITAL MGMT BHI S 10,000 46.84 n/a n/a UCK CAPITAL MGMT CNW S</td> <td>CCOUNT RR# Symbol B/S Shares Price MRI Research Comm. of the count of the count</td>	CCOUNT RR# Symbol B/S Shares Price MRI Research XIS CAPITAL 044 AEO B 15,000 12.02 n/a 9-Jul IGMA CAPITAL ASSOCIATES 041 ARO SS 25,000 29.16 n/a 9-Jul 61 FUNDS 047 BA S 18,810 65.30 n/a 9-Jul UCK CAPITAL MGMT BBBY B 50,000 37.42 n/a n/a XIS CAPITAL 044 BBY B 5,000 35.05 n/a n/a UCK CAPITAL MGMT BC C 3,000 14.70 n/a n/a ONATHAN RICHARD HAR FID BEE B 382 4.18 n/a n/a ONATHAN RICHARD HAR FID BEE B 382 4.18 n/a n/a UCK CAPITAL MGMT BHI S 10,000 46.84 n/a n/a UCK CAPITAL MGMT CNW S	CCOUNT RR# Symbol B/S Shares Price MRI Research Comm. of the count			

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
a	BUCK CAPITAL MGMT		CROX	S	30,000	10.24	n/a	n/a	non-brg	4.0
	ROSEDALE CAPITAL	046	CSCO	S	10,000	23.80	n/a	n/a	500.00	5.0
f	JONATHAN RICHARD HAR	FID	CVGI	В	250	10.92	n/a	n/a	0.00	0.0
	MARINER/TRICADIA	041	CXW	S	20,000	19.26	n/a	n/a	1,000.00	5.0
	MARINER/TRICADIA	041	CYBX	S	10,106	22.75	n/a	n/a	505.30	5.0
	WAGONHOUND/NIC	048	DAN	В	7 , 595	11.05	n/a	7-Jul	303.80	4.0
	M&I FUNDS	047	DD	В	21,470	37.06	n/a	9-Jun	858.80	4.0
ß	BUCK CAPITAL MGMT		DKS	С	15,000	25.22	n/a	11-Jun	non-brg	4.0
ß	BUCK CAPITAL MGMT		DKS	С	5,000	25.19	n/a	11-Jun	non-brg	2.0
	EGS ASSOCIATES LP	091	DPZ	В	2,500	12.82	n/a	8-Jul	150.00	6.0
	BUCK CAPITAL MGMT	001	EL	В	41,000	64.59	n/a	7–Jun	1,640.00	4.0
	THOMPSON SIEGAL&WALMSLEY	029	ENDP	В	3,000	23.40	n/a	12-Jul	90.00	3.0
ß	BUCK CAPITAL MGMT		FDO	C	3,100	36.61	n/a	7-Jul	non-brg	4.0
ß	BUCK CAPITAL MGMT		FDO	С	36,900	36.70	n/a	7-Jul	non-brg	4.0
f	PEARL LUCHANSKY	FID	FMAGX	В	605	61.89	n/a	n/a	0.00	0.0
	MILLENIUM PARTNERS	028	F	В	40,000	11.76	n/a	13-Jul	1,600.00	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	SIGMA CAP BOBBY	044	F	В	50,000	11.85	n/a	13-Jul	1,750.00	3.5
	BUCK CAPITAL MGMT	001	GPS	S	50,000	18.77	n/a	n/a	2,000.00	4.0
õ	Ravi Sadarangani		JBSS	S	500	16.05	n/a	n/a	0.00	0.0
	ADAGE CAPITAL MGMT	030	JCP	В	208,000	22.92	n/a	9-Jul	6,240.00	3.0
	EXIS CAPITAL	044	JNY	В	5,000	15.99	n/a	14-May	250.00	5.0
	ROYCE TRADING	012	JNY	В	10,000	15.93	n/a	14-May	500.00	5.0
	COPIA CAPITAL	031	KEG	С	58,500	9.61	n/a	6-Jul	2,047.51	3.5
	CATAPULT	040	KMB	S	37,100	62.18	n/a	26-Apr	1,855.00	5.0
ß	BUCK CAPITAL MGMT		KNL	С	1,500	12.98	n/a	n/a	non-brg	4.0
	CAERUS GLOBAL	041	KSS	S	15,000	46.96	n/a	9-Jul	600.00	4.0
	GRUBER MACBAINE	033	LOW	В	25,000	20.79	n/a	17-May	1,000.00	4.0
	DIAMONDBACK CAP MGMT	232	LTD	В	25,000	24.40	n/a	n/a	1,250.00	5.0
	DIAMONDBACK CAP MGMT	232	LTD	S	50,000	24.67	n/a	n/a	2,500.00	5.0
	TRELLUS PARTNERS LP	028	LVS	SS	20,000	24.39	n/a	7-Jul	1,000.00	5.0
ß	BUCK CAPITAL MGMT		LZB	С	3,100	8.15	n/a	n/a	non-brg	3.0
	ROYCE TRADING	012	MEI	S	5,000	10.35	n/a	n/a	250.00	5.0
f	ABRAM M CHAPPELL	FID	МНО	В	195	10.42	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
	ROYCE TRADING	012	MIG	S	5,000	9.02	n/a	n/a	250.00	5.0	
	ROYCE TRADING	012	MI	В	500	8.09	n/a	n/a	25.00	5.0	
f	CHRISTOPHER ROBERT C	FID	MON	В	100	53.83	n/a	1-Jul	0.00	0.0	
	CHILTON CAPITAL MGMT.	036	MRVL	В	15,000	17.47	n/a	n/a	450.00	3.0	
В	BUCK CAPITAL MGMT		MSMSRAWW	С	50,000	86.04	n/a	n/a	non-brg	8.6	
	ROYCE TRADING	012	MTX	В	5,000	50.01	n/a	n/a	250.00	5.0	
	BNP PARIBAS SEC	040	М	В	15,000	18.42	n/a	9-Jul	600.00	4.0	
	ROYCE TRADING	012	NWK	S	5,000	3.83	n/a	n/a	150.00	3.0	
ß	BUCK CAPITAL MGMT		NWY	s	52,483	2.43	n/a	n/a	non-brg	3.0	
	ROYCE TRADING	012	OMG	В	5,000	24.71	n/a	n/a	250.00	5.0	
ß	BUCK CAPITAL MGMT		PETM	С	20,000	32.75	n/a	n/a	non-brg	4.0	
a	BUCK CAPITAL MGMT		PETM	С	5,500	32.46	n/a	n/a	non-brg	4.0	
В	BUCK CAPITAL MGMT		PII	С	2,400	60.77	n/a	n/a	non-brg	4.0	
ß	BUCK CAPITAL MGMT		PII	С	22,500	60.92	n/a	n/a	non-brg	2.5	
ß	BUCK CAPITAL MGMT		PII	С	5,100	61.07	n/a	n/a	non-brg	1.0	
	BMO NESBITT BURNS	041	PKG	В	8,000	22.85	n/a	28-Jun	320.00	4.0	
	CSFB GLOBAL PROPRIETARY	041	PKG	В	40,000	23.09	n/a	28-Jun	2,000.00	5.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	;/Shr.
	SCHOTTENFELD ASSOC	041	PKG	В	20,000	22.84	n/a	28-Jun	600.00	3.0
	TRELLUS PARTNERS LP	028	PKG	В	17,400	22.82	n/a	28-Jun	870.00	5.0
	VISIUM/BALY BOBBY	044	PLCE	С	7,500	45.70	n/a	3-Jun	375.00	5.0
	THE JAY GOLDMAN	028	PNK	SS	10,000	10.01	n/a	n/a	400.00	4.0
	EXIS CAPITAL	044	PVH	В	5,000	48.69	n/a	26-May	250.00	5.0
	DAVIDSON KEMPNER ADVISOR	040	RS	В	15,000	36.89	n/a	n/a	750.00	5.0
	SMITH MGMT LLC	029	SDRL	В	26,300	21.45	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	SKX	В	50,000	34.86	n/a	n/a	2,000.00	4.0
	BMO NESBITT BURNS	041	SSCC	S	7,000	20.40	n/a	8-Jul	280.00	4.0
	ARCHON CAPITAL	035	SVVS	S	80,000	15.77	n/a	n/a	2,400.00	3.0
a	BUCK CAPITAL MGMT		SWK	С	30,000	53.88	n/a	n/a	non-brg	4.0
	SF SENTRY	085	SWN	В	7,500	38.76	n/a	12-Jul	375.00	5.0
	GREEN ARROW DOUG	040	SWY	В	25,000	20.15	n/a	n/a	1,250.00	5.0
	CATAPULT	040	TEN	В	13,843	22.81	n/a	n/a	692.15	5.0
ß	BUCK CAPITAL MGMT		TLB	В	20,000	11.25	n/a	n/a	non-brg	4.0
	LEVEL GLOBAL SHARED ACCT	257	TPX	S	50,000	31.91	n/a	n/a	1,500.00	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
ß	BUCK CAPITAL MGMT		TSCO	С	2,800	66.97	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		TSCO	C	30,000	67.70	n/a	n/a	non-brg	4.0
	ROYCE TRADING	012	TXI	В	500	29.10	n/a	n/a	25.00	5.0
ß	BUCK CAPITAL MGMT		UAUA	S	10,000	22.70	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		URBN	В	10,000	33.49	n/a	n/a	non-brg	4.0
	DAVIDSON KEMPNER ADVISOR	040	VALE	С	11,600	25.14	n/a	n/a	580.00	5.0
	RBC CAPITAL MARKETS	040	VALE	SS	50,000	25.12	n/a	n/a	2,000.00	4.0
	BAIRD INVESTMENT	047	WBC	В	18,175	36.31	n/a	25-Jun	908.75	5.0
	EMERSON CAPITAL MGMT	048	WEN	S	20,000	4.21	n/a	8-Jul	1,000.00	5.0
	DIAMONDBACK CAP MGMT	232	WMT	В	75,000	50.32	n/a	19-May	3,750.00	5.0
f	CHRISTOPHER ROBERT C	FID	WNC	S	500	7.66	n/a	n/a	0.00	0.0
	AAD CAPITAL	048	WRC	S	10,200	39.33	n/a	14-May	408.00	4.0
	EXIS CAPITAL	044	WRC	В	5,000	38.73	n/a	14-May	250.00	5.0
ß	BUCK CAPITAL MGMT		WSO	S	10,000	60.23	n/a	n/a	non-brg	4.0
	GALT INVESTMENT	041	WTSLA	S	30,000	3.60	n/a	n/a	750.00	2.5
	THE JAY GOLDMAN	028	WYN	В	10,000	21.70	n/a	n/a	400.00	4.0
f	ALEXANDER PRYLUCKI	FID	WY	s	155	39.90	n/a	28-Jun	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		XRT	С	125,000	37.45	n/a	n/a	non-brg	2.0
DAVIDSON KEMPNER ADVISOR	040	X	SS	20,000	41.82	n/a	n/a	1,000.00	5.0
LISTED ERR	099	x	В	6,600	42.07	n/a	n/a	0.00	0.0
LISTED ERR	099	x	S	6,600	42.07	n/a	n/a	0.00	0.0

TOTAL (Trade Date): 57,916.71

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI F	Research	Comm.	Shr.
AO,CXL AO,CRT		UBS OCONNOR UBS OCONNOR	031 031	JEF JEF	SS SS	5,000 5,000	23.74	n/a n/a		150.00 150.00	3.0 3.0
TOTAL	(AO, 0	CXL, CRT):								0.00	

TOTAL (Trade Date): 57,916.71
TOTAL (AO, CXL, CRT): 0.00

TOTAL (RUN DATE) / NFS: 57,916.71

MRI Securities with Trade Activity on 14-Jul. NONE

MRI Securities without Trade Activity on 14-Jul.
NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 20%

YTD = 10%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)