



Daily Compliance Activity Report

Trade Date: 04/01/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	9,926	105.12	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		AAPL	S	9,926	105.12	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		ABI BB	C	7,200	27.56	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		ABI BB	SS	7,200	27.56	n/a	n/a	non-brg	0.0
CAPITAL GROWTH MGMT.	241	ABT	S	100,000	46.72	n/a	22-Apr	5,000.00	5.0
BUCK CAPITAL MGMT		ADS GR	C	10,481	33.29	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		ADS GR	SS	10,481	33.29	n/a	n/a	non-brg	0.0
CUMBERLAND	038	ADS	B	2,000	35.44	n/a	n/a	80.00	4.0
BUCK CAPITAL MGMT		AEO+QU[AEO]	C	48	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AEO+QU[AEO]	SS	48	0.10	n/a	n/a	non-brg	0.0
ADAGE CAPITAL MGMT	030	AEO	B	100,000	12.41	n/a	12-Mar	4,000.00	4.0
BUCK CAPITAL MGMT		AEO	B	61,941	12.24	n/a	12-Mar	non-brg	0.0
BUCK CAPITAL MGMT		AEO	S	61,941	12.24	n/a	12-Mar	non-brg	0.0
BUCK CAPITAL MGMT		ALV+RV[ALV]	C	97	0.45	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		ALV+RV[ALV]	SS	97	0.45	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		ALV	C	28,740	18.57	12-Mar	12-Mar	non-brg	0.0
BUCK CAPITAL MGMT		ALV	SS	28,740	18.57	12-Mar	12-Mar	non-brg	0.0
BUCK CAPITAL MGMT		AMB	C	935	14.40	n/a	n/a	non-brg	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		AMB	SS	935	14.40	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		AMT	S	11,300	31.41	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		AN+PU[AN]	C	97	0.05	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		AN+PU[AN]	SS	97	0.05	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		ANF	C	11,542	23.80	n/a	6-Feb	non-brg	0.0
ß	BUCK CAPITAL MGMT		ANF	SS	11,542	23.80	n/a	6-Feb	non-brg	0.0
ß	BUCK CAPITAL MGMT		AN	C	24,128	13.88	30-Jan	30-Jan	non-brg	0.0
ß	BUCK CAPITAL MGMT		AN	SS	24,128	13.88	30-Jan	30-Jan	non-brg	0.0
ß	BUCK CAPITAL MGMT		APP	B	208,785	2.72	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		APP	B	220,500	2.70	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		APP	B	70,715	2.69	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		ARE	C	1,231	36.40	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		ARE	SS	1,231	36.40	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		ARO	C	5,765	26.56	n/a	13-Mar	non-brg	0.0
ß	BUCK CAPITAL MGMT		ARO	SS	5,765	26.56	n/a	13-Mar	non-brg	0.0
f	NEIL M STRATTON	FID	ASH	S	92	12.00	n/a	11-Mar	0.00	0.0
f	STRATTON PAR TNERSHI	FID	ASH	S	46	12.00	n/a	11-Mar	0.00	0.0
ß	BUCK CAPITAL MGMT		AVB	C	633	47.06	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		AVB	SS	633	47.06	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		AVP+PD[AVP]	C	48	1.55	n/a	n/a	non-brg	100.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		AVP+PD [AVP]	SS	48	1.55	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		AVP+PW [AVP]	C	48	0.40	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		AVP+PW [AVP]	SS	48	0.40	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		AVP+SC [AVP]	C	48	0.90	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		AVP+SC [AVP]	SS	48	0.90	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		AVP	C	11,040	19.23	25-Feb	30-Mar	non-brg 0.0
ß	BUCK CAPITAL MGMT		AVP	C	20,000	19.27	25-Feb	30-Mar	non-brg 4.0
ß	BUCK CAPITAL MGMT		AVP	SS	11,040	19.23	25-Feb	30-Mar	non-brg 0.0
f	MANNY I KORMAN	FID	BACPRU	S	3000	9.80	n/a	n/a	0.00 0.0
ß	BUCK CAPITAL MGMT		BBBY	C	24,026	24.75	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		BBBY	SS	24,026	24.75	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		BBBY	SS	50,000	24.11	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		BBY+RT [BBY]	C	96	0.20	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		BBY+RT [BBY]	SS	96	0.20	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		BBY	B	1,451	37.96	n/a	27-Jul	non-brg 0.0
ß	BUCK CAPITAL MGMT		BBY	S	1,451	37.96	n/a	27-Jul	non-brg 0.0
ß	BUCK CAPITAL MGMT		BDK	C	3,436	31.56	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		BDK	SS	3,436	31.56	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		BEBE	B	5,503	6.67	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		BEBE	B	8,920	6.68	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		BEBE	B	91,080	6.78	n/a	n/a	non-brg 3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BEBE	S	5,503	6.67	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BHQ+PW[BBBY]	C	48	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BHQ+PW[BBBY]	SS	48	0.10	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BHQ+QD[BBBY]	C	96	0.55	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BHQ+QD[BBBY]	SS	96	0.55	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BHQ+QW[BBBY]	C	241	0.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BHQ+QW[BBBY]	SS	241	0.25	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BIG	C	9,558	20.78	4-Mar	6-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		BIG	SS	9,558	20.78	4-Mar	6-Mar	non-brg	0.0
ADAGE CAPITAL MGMT	030	BJ	B	200,000	31.83	n/a	27-Jul	8,000.00	4.0
ß BUCK CAPITAL MGMT		BJ	C	9,103	31.99	n/a	27-Jul	non-brg	0.0
ß BUCK CAPITAL MGMT		BJ	SS	9,103	31.99	n/a	27-Jul	non-brg	0.0
NORTHPOINT	030	BJ	S	8,400	31.82	n/a	27-Jul	336.00	4.0
VINIK ASSET MGMT.	030	BJ	S	65,000	31.67	n/a	27-Jul	1,950.00	3.0
ß BUCK CAPITAL MGMT		BKS+PB[BKS]	C	18	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKS+PB[BKS]	SS	18	0.10	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BKS+PC[BKS]	C	48	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKS+PC[BKS]	SS	48	0.10	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BKS+PV[BKS]	C	96	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKS+PV[BKS]	SS	96	0.10	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BKS+SV[BKS]	C	48	0.30	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BKS+SV[BKS]	SS	48	0.30	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BKS+SW[BKS]	C	48	1.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKS+SW[BKS]	SS	48	1.25	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BKS	C	33,957	21.38	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BKS	SS	33,957	21.38	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BWA+SR[BWA]	C	96	0.85	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BWA+SR[BWA]	SS	96	0.85	n/a	n/a	non-brg	0.0
ASCEND PARTNERS	033	BWA	SS	50,000	20.38	12-Mar	19-Mar	2,500.00	5.0
ß BUCK CAPITAL MGMT		BWA	C	47,684	20.30	12-Mar	19-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		BWA	SS	47,684	20.30	12-Mar	19-Mar	non-brg	0.0
TRELLUS PARTNERS LP	028	BWA	SS	10,000	20.68	12-Mar	19-Mar	500.00	5.0
ß BUCK CAPITAL MGMT		BXP	C	6,831	35.03	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		BXP	SS	6,831	35.03	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CAB	C	10,826	9.11	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CAB	SS	10,826	9.11	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CACH	B	18,631	2.89	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CACH	B	2,000	2.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CACH	B	26,481	2.72	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		CACH	B	4,934	2.88	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CACH	S	4,934	2.88	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CBRL	C	4,036	28.64	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CBRL	SS	4,036	28.64	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		CCI	S	8,300	20.97	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		CCL+PC [CCL]	C	96	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		CCL+PC [CCL]	SS	96	0.05	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		CCL+PR [CCL]	C	48	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		CCL+PR [CCL]	SS	48	0.05	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		CCL+SR [CCL]	C	48	0.35	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		CCL+SR [CCL]	SS	48	0.35	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		CCL	C	3,034	21.60	n/a	26-Jan	non-brg 0.0
ß BUCK CAPITAL MGMT		CCL	SS	3,034	21.60	n/a	26-Jan	non-brg 0.0
ß BUCK CAPITAL MGMT		CECO	C	6,713	23.96	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		CECO	SS	6,713	23.96	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		CHRS	B	72,679	1.40	n/a	22-Mar	non-brg 0.0
ß BUCK CAPITAL MGMT		CHRS	S	72,679	1.40	n/a	22-Mar	non-brg 0.0
ß BUCK CAPITAL MGMT		CJY+RH [CMG]	C	191	1.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		CJY+RH [CMG]	SS	191	1.05	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		CMG	C	21,947	66.38	23-Oct	12-Feb	non-brg 0.0
ß BUCK CAPITAL MGMT		CMG	SS	21,947	66.38	23-Oct	12-Feb	non-brg 0.0
ß BUCK CAPITAL MGMT		COCO	C	6,713	19.45	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		COCO	SS	6,713	19.45	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		COH+EC [COH]	C	336	2.65	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		COH+EC[COH]	SS	336	2.65	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		COH	B	121,496	16.70	9-Jan	22-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		COH	S	121,496	16.70	9-Jan	22-Jan	non-brg	0.0
f JOHN MICHAEL ZOLIDIS	FID	COH	S	700	16.97	9-Jan	22-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		COLM	C	9,898	29.92	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		COLM	SS	9,898	29.92	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		COST	C	15,403	46.32	n/a	27-Jul	non-brg	0.0
ß BUCK CAPITAL MGMT		COST	SS	15,403	46.32	n/a	27-Jul	non-brg	0.0
STRAUSS ASSET MGMT	040	CRNT	S	15,000	4.47	n/a	n/a	600.00	4.0
MORGENS WATERFALL	040	CRR	SS	16,800	29.00	5-Feb	17-Mar	504.00	3.0
ß BUCK CAPITAL MGMT		CTAS	C	3,390	24.72	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CTAS	SS	3,390	24.72	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CVS+EY[CVS]	C	48	1.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CVS+EY[CVS]	SS	48	1.90	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CVS	B	11,874	27.49	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CVS	S	11,874	27.49	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CWTR	B	20,032	2.51	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		CWTR	S	20,032	2.51	n/a	n/a	non-brg	0.0
DAVIDSON KEMPNER ADVISOR	040	CX	C	100,000	6.38	n/a	n/a	5,000.00	5.0
ß BUCK CAPITAL MGMT		DAI	C	16,302	25.54	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		DAI	C	50,000	25.78	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DAI	SS	16,302	25.54	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		DECK	B	39,609	53.04	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		DECK	S	39,609	53.04	n/a	n/a	non-brg	0.0
SANDLER O'NEILL ASSET	031	DFS	B	50,400	6.28	n/a	1-Apr	1,512.00	3.0
ADAGE CAPITAL MGMT	030	DKS	B	16,906	14.27	24-Mar	24-Mar	676.24	4.0
ARAGON GLOBAL	041	DLTR	S	33,600	43.79	10-Feb	6-Mar	1,680.00	5.0
AVESTA CAP	229	DLTR	B	25,000	43.89	10-Feb	6-Mar	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		DLTR	C	4,845	44.55	10-Feb	6-Mar	non-brg	0.0
⌘ BUCK CAPITAL MGMT		DLTR	SS	4,845	44.55	10-Feb	6-Mar	non-brg	0.0
⌘ BUCK CAPITAL MGMT		DQO+EW[DLTR]	C	48	1.20	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		DQO+EW[DLTR]	SS	48	1.20	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		DRI	C	2,408	34.26	19-Mar	19-Mar	non-brg	0.0
⌘ BUCK CAPITAL MGMT		DRI	SS	2,408	34.26	19-Mar	19-Mar	non-brg	0.0
⌘ BUCK CAPITAL MGMT		DSW	C	808	9.29	24-Mar	25-Mar	non-brg	0.0
⌘ BUCK CAPITAL MGMT		DSW	SS	808	9.29	24-Mar	25-Mar	non-brg	0.0
SENVEST PART	045	DSW	SS	20,000	9.96	24-Mar	25-Mar	1,000.00	5.0
f MANNY I KORMAN	FID	DUA	S	3000	11.39	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		DV+QI [DV]	C	48	3.60	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		DV+QI [DV]	SS	48	3.60	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		DV	C	8,501	48.18	n/a	n/a	non-brg	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		DV	SS	8,501	48.18	n/a	n/a	non-brg	0.0
f	JONATHAN RICHARD HAR	FID	DXO	B	1175	2.60	n/a	n/a	0.00	0.0
f	RAGHAV NAYAR	FID	DXO	S	350	2.60	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		EAT	C	23,980	15.10	n/a	24-Apr	non-brg	0.0
ß	BUCK CAPITAL MGMT		EAT	SS	23,980	15.10	n/a	24-Apr	non-brg	0.0
ß	BUCK CAPITAL MGMT		EDU+PG[EDU]	C	57	0.10	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		EDU+PG[EDU]	SS	57	0.10	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		EDU	C	4,720	50.25	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		EDU	SS	4,720	50.25	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		EGP	C	803	28.07	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		EGP	SS	803	28.07	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		ELS	C	638	38.10	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		ELS	SS	638	38.10	n/a	n/a	non-brg	0.0
	BALYASNY ASSET MGMT	044	ELY	SS	25,000	7.32	n/a	n/a	1,000.00	4.0
ß	BUCK CAPITAL MGMT		EQR	C	993	18.35	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		EQR	SS	993	18.35	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		ESS	C	455	57.34	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		ESS	SS	455	57.34	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		ETH+QB[ETH]	C	48	1.00	n/a	n/a	non-brg	100.0
ß	BUCK CAPITAL MGMT		ETH+QB[ETH]	SS	48	1.00	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		ETH	C	10,237	11.26	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		ETH	SS	10,237	11.26	n/a	n/a	non-brg	0.0
f JAMES H HLAVACEK II	FID	FAZ	B	50	21.65	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FAZ	B	500	19.17	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FBN	C	10,192	1.47	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		FBN	SS	10,192	1.47	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		FDO+PD[FDO]	C	96	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FDO+PD[FDO]	SS	96	0.05	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		FDO+PE[FDO]	C	128	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FDO+PE[FDO]	SS	128	0.10	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		FDO+PW[FDO]	C	113	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FDO+PW[FDO]	SS	113	0.05	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		FDO+PY[FDO]	C	96	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FDO+PY[FDO]	SS	96	0.15	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		FDO	C	21,751	33.37	5-Mar	6-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		FDO	SS	21,751	33.37	5-Mar	6-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		FINL	B	16,790	6.62	n/a	28-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		FINL	S	16,790	6.62	n/a	28-Mar	non-brg	0.0
CASTLEROCK	040	FIS	B	184,219	17.23	n/a	n/a	7,368.76	4.0
ß BUCK CAPITAL MGMT		FMQ+QV[WFMI]	C	96	0.05	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		FMQ+QV[WFMI]	SS	96	0.05	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		FMQ+QW[WFMI]	C	48	0.07	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FMQ+QW[WFMI]	SS	48	0.07	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		FMQ+TU[WFMI]	C	145	1.42	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FMQ+TU[WFMI]	SS	145	1.42	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		FMQ+TY[WFMI]	C	48	0.49	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FMQ+TY[WFMI]	SS	48	0.49	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		FOSL	B	98,874	15.70	23-Jan	30-Jan	non-brg 0.0
ß BUCK CAPITAL MGMT		FOSL	S	98,874	15.70	23-Jan	30-Jan	non-brg 0.0
ß BUCK CAPITAL MGMT		FO	C	10,084	24.55	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		FO	SS	10,084	24.55	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		FRT	C	3,024	46.00	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		FRT	SS	3,024	46.00	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		FUA+FC[FOSL]	C	96	2.55	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		FUA+FC[FOSL]	SS	96	2.55	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GCI	C	469	2.20	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GCI	SS	469	2.20	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GCO	B	43,875	18.83	n/a	10-Jan	non-brg 0.0
ß BUCK CAPITAL MGMT		GCO	S	43,875	18.83	n/a	10-Jan	non-brg 0.0
ß BUCK CAPITAL MGMT		GES+DD[GES]	C	97	1.80	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		GES+DD [GES]	SS	97	1.80	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT	001	GES	B	10,000	20.68	n/a	n/a	400.00 4.0
ß BUCK CAPITAL MGMT		GES	B	55,809	21.08	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GES	S	55,809	21.08	n/a	n/a	non-brg 0.0
NATIONAL BK OF CAN	031	GHL	SS	20,000	77.19	n/a	n/a	800.00 4.0
ß BUCK CAPITAL MGMT		GIII	B	155,935	5.52	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GIII	S	155,935	5.52	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GIL+DU [GIL]	C	97	0.80	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		GIL+DU [GIL]	SS	97	0.80	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GIL+FB [GIL]	C	145	0.40	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		GIL+FB [GIL]	SS	145	0.40	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GIL	B	118,674	8.10	11-Dec	12-Feb	non-brg 0.0
ß BUCK CAPITAL MGMT		GIL	S	118,674	8.10	11-Dec	12-Feb	non-brg 0.0
WHITE PINE CAPITAL	030	GIL	S	60,900	7.96	11-Dec	12-Feb	3,045.00 5.0
ß BUCK CAPITAL MGMT		GMCR	C	10,697	48.00	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GMCR	SS	10,697	48.00	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		GM	C	6,902	1.94	19-Feb	31-Mar	non-brg 0.0
ß BUCK CAPITAL MGMT		GM	SS	6,902	1.94	19-Feb	31-Mar	non-brg 0.0
ß BUCK CAPITAL MGMT		GNTX	C	8,204	9.96	30-Jan	12-Mar	non-brg 0.0
ß BUCK CAPITAL MGMT		GNTX	SS	8,204	9.96	30-Jan	12-Mar	non-brg 0.0
ß BUCK CAPITAL MGMT		GPS	B	59,491	12.99	n/a	30-Jan	non-brg 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		GPS	S	59,491	12.99	n/a	30-Jan	non-brg	0.0
THIRD COAST CAPITAL	035	GPS	B	12,000	13.29	n/a	30-Jan	600.00	5.0
ß BUCK CAPITAL MGMT		GQU+DD [GYMB]	C	48	2.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GQU+DD [GYMB]	SS	48	2.05	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		GQU+EW [GYMB]	C	48	4.50	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GQU+EW [GYMB]	SS	48	4.50	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		GQU+EX [GYMB]	C	48	1.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GQU+EX [GYMB]	SS	48	1.40	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		GQU+HE [GYMB]	C	97	1.95	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GQU+HE [GYMB]	SS	97	1.95	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		GSIC	B	41,357	13.10	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		GSIC	S	41,357	13.10	n/a	n/a	non-brg	0.0
MILLENIUUM PARTNERS	028	GT	S	30,000	6.39	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		GYMB	B	100,299	21.35	5-Mar	5-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		GYMB	S	100,299	21.35	5-Mar	5-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		HBI	B	25,136	9.57	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HBI	S	25,136	9.57	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HD+QC [HD]	C	48	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HD+QC [HD]	SS	48	0.10	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HD+QW [HD]	C	48	0.23	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		HD+QW[HD]	SS	48	0.23	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HD+TJ[HD]	C	48	1.32	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HD+TJ[HD]	SS	48	1.32	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HD	C	19,200	23.56	n/a	25-Feb	non-brg	0.0
ß BUCK CAPITAL MGMT		HD	SS	19,200	23.56	n/a	25-Feb	non-brg	0.0
ß BUCK CAPITAL MGMT		HME	C	236	30.65	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HME	SS	236	30.65	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HOT+QB[HOT]	C	48	0.55	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HOT+QB[HOT]	SS	48	0.55	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HOTT	C	35,693	11.19	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		HOTT	SS	35,693	11.19	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		HUO+PC[PFCB]	C	105	0.07	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HUO+PC[PFCB]	SS	105	0.07	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HUO+PV[PFCB]	C	48	0.07	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HUO+PV[PFCB]	SS	48	0.07	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HUO+SV[PFCB]	C	48	0.37	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HUO+SV[PFCB]	SS	48	0.37	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HVT	C	3,307	10.53	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		HVT	SS	3,307	10.53	n/a	n/a	non-brg	0.0
WHITE PINE CAPITAL	030	IBI	B	275	8.41	n/a	n/a	13.75	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		ICON	B	79,799	8.85	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		ICON	S	79,799	8.85	n/a	n/a	non-brg	0.0
WHITE PINE CAPITAL	030	IFF	B	125	30.92	21-Jan	4-Mar	6.25	5.0
ß BUCK CAPITAL MGMT		INFO	C	1,211	0.02	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		INFO	SS	1,211	0.02	n/a	n/a	non-brg	0.0
MILLENIUUM PARTNERS	028	IR	B	40,000	13.88	n/a	n/a	2,000.00	5.0
ß BUCK CAPITAL MGMT		ITX SM	C	3,317	38.99	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		ITX SM	SS	3,317	38.99	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		IYR	C	13,313	25.46	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		IYR	SS	13,313	25.46	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		JCG+RR[JCG]	C	100	0.30	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCG+RR[JCG]	SS	100	0.30	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		JCG	C	9,639	13.18	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		JCG	SS	9,639	13.18	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		JCP+QB[JCP]	C	170	0.07	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+QB[JCP]	SS	170	0.07	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		JCP+QX[JCP]	C	241	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+QX[JCP]	SS	241	0.15	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		JCP	B	29,031	20.07	30-Mar	30-Mar	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		JCP	S	29,031	20.07	30-Mar	30-Mar	non-brg	0.0
WHITE PINE CAPITAL	030	JCP	B	75	20.46	30-Mar	30-Mar	3.75	5.0
BUCK CAPITAL MGMT		JNY+EA[JNY]	C	387	0.45	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		JNY+EA[JNY]	SS	387	0.45	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		JNY	B	99,394	4.22	n/a	11-Feb	non-brg	0.0
BUCK CAPITAL MGMT		JNY	S	99,394	4.22	n/a	11-Feb	non-brg	0.0
BUCK CAPITAL MGMT		JOSB	B	33,146	27.81	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		JOSB	S	33,146	27.81	n/a	n/a	non-brg	0.0
DAVID GEORGE BUCK	FID	JPM	B	1000	27.25	16-Jan	27-Mar	0.00	0.0
BUCK CAPITAL MGMT		JWN	C	25,366	16.75	20-Feb	6-Mar	non-brg	0.0
BUCK CAPITAL MGMT		JWN	SS	25,366	16.75	20-Feb	6-Mar	non-brg	0.0
BUCK CAPITAL MGMT		KCP	B	4,902	6.39	n/a	28-Sep	non-brg	0.0
BUCK CAPITAL MGMT		KCP	S	4,902	6.39	n/a	28-Sep	non-brg	0.0
BUCK CAPITAL MGMT		KMT	C	4,796	16.21	n/a	30-Jan	non-brg	0.0
BUCK CAPITAL MGMT		KMT	SS	4,796	16.21	n/a	30-Jan	non-brg	0.0
WHITE PINE CAPITAL	030	KNSY	B	125	20.58	n/a	n/a	6.25	5.0
BUCK CAPITAL MGMT		KSS+DH[KSS]	C	96	3.90	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		KSS+DH[KSS]	SS	96	3.90	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		KSS+GI[KSS]	C	48	4.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		KSS+GI[KSS]	SS	48	4.10	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		KSS	B	11,048	42.32	30-Mar	30-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		KSS	S	11,048	42.32	30-Mar	30-Mar	non-brg	0.0
KEEFE BRUYETTE WOODS A	045	LAZ	SS	15,000	28.68	1-Apr[i]	1-Apr	600.00	4.0
WAGONHOUND/NIC	048	LAZ	S	25,799	29.21	1-Apr[i]	1-Apr	1,031.96	4.0
ß BUCK CAPITAL MGMT		LIZ	B	4,832	2.47	n/a	5-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		LIZ	S	4,832	2.47	n/a	5-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		LL	B	52,141	12.75	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		LL	S	52,141	12.75	n/a	n/a	non-brg	0.0
f MANNY I KORMAN	FID	LMI	S	6000	17.80	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LOW+SC[LOW]	C	48	0.95	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LOW+SC[LOW]	SS	48	0.95	n/a	n/a	non-brg	0.0
ADAGE CAPITAL MGMT	030	LOW	S	250,000	18.04	31-Mar	31-Mar	10,000.00	4.0
ß BUCK CAPITAL MGMT		LOW	C	5,817	18.25	31-Mar	31-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		LOW	SS	5,817	18.25	31-Mar	31-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		LRY	C	411	18.94	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		LRY	SS	411	18.94	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		LTD+HB[LTD]	C	145	1.22	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LTD+HB[LTD]	SS	145	1.23	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		LTD	B	15,530	8.70	8-Jan	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		LTD	S	15,530	8.70	8-Jan	30-Jan	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		LVS	B	50,529	3.01	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		LVS	S	50,529	3.01	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		LZB	C	1,373	1.25	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		LZB	SS	1,373	1.25	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		M+EB [M]	C	144	0.95	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		M+EB [M]	SS	144	0.95	n/a	n/a	non-brg	0.0
f DAVID GEORGE BUCK	FID	MAC	B	2000	6.22	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MAR+PS [MAR]	C	48	0.07	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MAR+PS [MAR]	SS	48	0.07	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MC FP	C	9,730	62.82	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MC FP	SS	9,730	62.82	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MCD	C	7,200	54.57	n/a	9-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		MCD	SS	7,200	54.57	n/a	9-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		MDTL	C	2,488	0.44	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MDTL	SS	2,488	0.44	n/a	n/a	non-brg	0.0
f MANNY I KORMAN	FID	MERPRK	S	3000	8.85	n/a	n/a	0.00	0.0
CASLEROCK	040	MET	B	183,579	23.00	n/a	n/a	7,343.16	4.0
ß BUCK CAPITAL MGMT		MHK+QX [MHK]	C	48	0.87	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MHK+QX [MHK]	SS	48	0.87	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		MHK	C	11,173	29.87	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MHK	SS	11,173	29.87	n/a	n/a	non-brg	0.0
WHITE PINE CAPITAL	030	MI	B	400	5.94	n/a	n/a	20.00	5.0
REINHART & MAHONEY	031	MMM	B	450	49.03	8-Dec	17-Mar	22.50	5.0
WHITE PINE CAPITAL	030	MMR	B	450	4.59	n/a	n/a	18.00	4.0
f DAVID GEORGE BUCK	FID	MONPP	S	10	2.35	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MVL+PX [MVL]	C	57	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MVL+PX [MVL]	SS	57	0.10	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MVL	C	5,789	26.55	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MVL	SS	5,789	26.55	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MVRX	C	11,760	100.14	n/a	n/a	non-brg	10.0
ß BUCK CAPITAL MGMT		MVRX	SS	11,760	100.14	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MVRX	SS	30,000	97.45	n/a	n/a	non-brg	9.7
ß BUCK CAPITAL MGMT		MW+QB [MW]	C	48	0.22	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MW+QB [MW]	SS	48	0.22	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MW+QU [MW]	C	97	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MW+QU [MW]	SS	97	0.05	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MW+QV [MW]	C	48	0.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MW+QV [MW]	SS	48	0.70	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		MW	C	27,370	15.14	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MW	SS	27,370	15.14	n/a	n/a	non-brg	0.0
f FRANCIS MICHAEL MCCA	FID	MXGL	S	200	17.51	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		M	B	86,662	8.90	n/a	6-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		M	S	86,662	8.90	n/a	6-Mar	non-brg	0.0
f JOHN MICHAEL ZOLIDIS	FID	M	S	1000	8.95	n/a	6-Mar	0.00	0.0
ß BUCK CAPITAL MGMT		NKE+DJ[NKE]	C	96	0.60	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		NKE+DJ[NKE]	SS	96	0.60	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		NKE+GK[NKE]	C	48	1.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		NKE+GK[NKE]	SS	48	1.80	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		NKE	B	27,633	46.89	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		NKE	S	27,633	46.89	n/a	n/a	non-brg	0.0
DARUMA ASSET MGMT INC	034	NP	S	50,000	3.49	13-Mar	13-Mar	2,500.00	5.0
ß BUCK CAPITAL MGMT		NWY	B	26,663	3.55	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		NWY	S	26,663	3.55	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		OFC	C	798	24.83	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		OFC	SS	798	24.83	n/a	n/a	non-brg	0.0
WHITE PINE CAPITAL	030	OME	B	325	2.61	n/a	n/a	6.50	2.0
ß BUCK CAPITAL MGMT		ORI SS	C	1,208	31.26	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		ORI SS	SS	1,208	31.26	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		OSTK	C	1,254	9.15	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		OSTK	SS	1,254	9.15	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		O	C	470	18.82	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		O	SS	470	18.82	n/a	n/a	non-brg 0.0
MILLRACE FUND LP	028	PAG	SS	5,000	9.31	n/a	18-Feb	150.00 3.0
RAMIUS CAP	040	PCH	S	51,550	22.63	n/a	26-Feb	2,062.00 4.0
RAMIUS CAP	040	PCL	B	55,075	29.28	n/a	5-Feb	2,203.00 4.0
BUCK CAPITAL MGMT		PDCO	C	2,427	18.86	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		PDCO	SS	2,427	18.86	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		PENN	B	3,881	24.15	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		PENN	S	3,881	24.15	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		PERY	B	54,584	3.46	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		PERY	S	54,584	3.46	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		PFCB	C	10,921	22.88	8-Jan	12-Feb	non-brg 0.0
BUCK CAPITAL MGMT		PFCB	SS	10,921	22.88	8-Jan	12-Feb	non-brg 0.0
NEWCASTLE FUNDS	033	PFCB	C	25,900	21.93	8-Jan	12-Feb	1,036.00 4.0
REINHART & MAHONEY	031	PFE	S	2,100	13.50	n/a	31-Mar	105.00 5.0
DADA	035	PFGB	B	60,560	8.00	n/a	n/a	2,422.40 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PII	C	252	21.44	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PII	SS	252	21.44	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PLCE	B	39,280	21.89	19-Mar	19-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		PLCE	S	39,280	21.89	19-Mar	19-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		PP FP	C	4,321	64.13	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PP FP	SS	4,321	64.13	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PRQ+PI [COST]	C	18	1.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		PRQ+PI [COST]	SS	18	1.15	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PRQ+SA [COST]	C	48	0.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		PRQ+SA [COST]	SS	48	0.65	n/a	n/a	non-brg	0.0
f DAVID GEORGE BUCK	FID	PRU	S	2500	19.22	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PSB	C	870	36.85	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PSB	SS	870	36.85	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PSS+FB [PSS]	C	96	1.35	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		PSS+FB [PSS]	SS	96	1.35	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PSS	B	54,980	9.74	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PSS	S	54,980	9.74	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PSUN	B	31,060	1.66	6-Feb	6-Feb	non-brg	0.0
ß BUCK CAPITAL MGMT		PSUN	S	31,060	1.66	6-Feb	6-Feb	non-brg	0.0
ß BUCK CAPITAL MGMT		PVH+DC [PVH]	C	96	7.60	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PVH+DC [PVH]	SS	96	7.60	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PVH+DW [PVH]	C	240	5.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		PVH+DW [PVH]	SS	240	5.20	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PVH+FD [PVH]	C	48	4.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		PVH+FD [PVH]	SS	48	4.20	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PVH+FE [PVH]	C	97	1.68	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		PVH+FE [PVH]	SS	97	1.68	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PVH+FW [PVH]	C	96	5.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		PVH+FW [PVH]	SS	96	5.90	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		PVH	B	54,292	22.68	27-Mar	27-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		PVH	S	20,000	22.54	27-Mar	27-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		PVH	S	54,292	22.68	27-Mar	27-Mar	non-brg	0.0
JP MORGAN VENTURES	046	PVH	B	20,000	22.55	27-Mar	27-Mar	1,000.00	5.0
SCOPUS FUND	041	PVH	S	48,000	22.53	27-Mar	27-Mar	2,400.00	5.0
ß BUCK CAPITAL MGMT		QAA+GT [AAPL]	C	24	13.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QAA+GT [AAPL]	SS	24	13.70	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		QIA+DU [ICON]	C	96	1.55	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QIA+DU [ICON]	SS	96	1.55	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		QIA+EB [ICON]	C	48	0.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QIA+EB [ICON]	SS	48	0.40	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		QIA+EU [ICON]	C	48	1.65	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		QIA+EU [ICON]	SS	48	1.65	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		QPT+PC [PETM]	C	48	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QPT+PC [PETM]	SS	48	0.05	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		QUK+FJ [DECK]	C	87	9.50	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FJ [DECK]	SS	87	9.50	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		QUK+FM [DECK]	C	24	3.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FM [DECK]	SS	24	3.20	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		QUK+FO [DECK]	C	10	1.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+FO [DECK]	SS	10	1.20	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		QZS+DF [JOSB]	C	24	1.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+DF [JOSB]	SS	24	1.15	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		QZS+DG [JOSB]	C	48	0.25	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+DG [JOSB]	SS	48	0.25	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		QZS+DH [JOSB]	C	48	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+DH [JOSB]	SS	48	0.05	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		QZS+GF [JOSB]	C	48	3.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+GF [JOSB]	SS	48	3.15	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		QZS+GG [JOSB]	C	48	1.60	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+GG [JOSB]	SS	48	1.60	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		RCL	B	11,983	8.01	26-Mar	26-Mar	non-brg 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		RCL	S	11,983	8.01	26-Mar	26-Mar	non-brg	0.0
NWQ INVESTMENT MGMT CO	048	RDEN	B	12,000	6.00	12-Feb	12-Feb	360.00	3.0
ß BUCK CAPITAL MGMT		REG	C	1,254	26.57	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		REG	SS	1,254	26.57	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		REQ+QE [ROST]	C	48	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		REQ+QE [ROST]	SS	48	0.15	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		REQ+QX [ROST]	C	48	0.07	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		REQ+QX [ROST]	SS	48	0.07	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		RL+PE [RL]	C	57	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL+PE [RL]	SS	57	0.05	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		RL+PF [RL]	C	192	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL+PF [RL]	SS	192	0.05	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		RL+PG [RL]	C	191	0.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL+PG [RL]	SS	191	0.25	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	41.00	n/a	5-Feb	400.00	4.0
ß BUCK CAPITAL MGMT		RL	C	4,850	42.25	n/a	5-Feb	non-brg	0.0
ß BUCK CAPITAL MGMT		RL	SS	4,850	42.25	n/a	5-Feb	non-brg	0.0
JP MORGAN VENTURES	046	RL	SS	20,000	42.56	n/a	5-Feb	1,000.00	5.0
ß BUCK CAPITAL MGMT		RMS FP	C	2,326	116.31	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		RMS FP	SS	2,326	116.31	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		RNT+HF [RNT]	C	96	1.90	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		RNT+HF [RNT]	SS	96	1.90	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		RNT	B	22,022	26.66	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		RNT	S	22,022	26.66	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		ROST	C	13,459	35.88	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		ROST	SS	13,459	35.88	n/a	n/a	non-brg	0.0
SIGMA CAPITAL ASSOCIATES	041	ROST	S	25,000	36.04	n/a	n/a	1,250.00	5.0
SENVEST PART	045	RVI	S	33,600	1.79	n/a	n/a	1,008.00	3.0
ß BUCK CAPITAL MGMT		S4RETL	C	686	270.49	n/a	n/a	non-brg	27.0
ß BUCK CAPITAL MGMT		S4RETL	SS	686	270.49	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		SBAC	S	12,900	24.10	n/a	n/a	non-brg	4.0
KENNEDY CAPITAL	046	SFD	B	2,850	9.52	n/a	n/a	85.50	3.0
CASTLEROCK	040	SHW	B	40,896	51.46	31-Mar	31-Mar	1,635.84	4.0
f WILLIAM J GAULT	FID	SKF	B	12000	96.09	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	SKF	S	13000	95.50	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	SKS	B	35,000	2.00	n/a	n/a	700.00	2.0
ß BUCK CAPITAL MGMT		SKX+DU [SKX]	C	121	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+DU [SKX]	SS	121	0.20	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		SKX+GU [SKX]	C	48	0.87	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+GU [SKX]	SS	48	0.87	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		SKX	B	171,500	6.67	n/a	13-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		SKX	S	171,500	6.67	n/a	13-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		SLG	C	2,698	10.80	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		SLG	SS	2,698	10.80	n/a	n/a	non-brg	0.0
f DAVID GEORGE BUCK	FID	SMG	S	1000	35.24	5-Feb	20-Mar	0.00	0.0
ß BUCK CAPITAL MGMT		SPG	C	2,050	34.64	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		SPG	SS	2,050	34.64	n/a	n/a	non-brg	0.0
f DAVID GEORGE BUCK	FID	SPG	B	1035	33.74	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SUMR	B	83,201	1.79	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		SUMR	S	83,201	1.79	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TGT	C	4,832	34.39	25-Feb	20-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		TGT	SS	4,832	34.39	25-Feb	20-Mar	non-brg	0.0
ß BUCK CAPITAL MGMT		THI+PD[THI]	C	57	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		THI+PD[THI]	SS	57	0.05	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TIF+QC[TIF]	C	97	0.30	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TIF+QC[TIF]	SS	97	0.30	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TIF+QW[TIF]	C	48	0.60	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TIF+QW[TIF]	SS	48	0.60	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TIF+TB[TIF]	C	48	0.30	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TIF+TB[TIF]	SS	48	0.30	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		TIF	C	9,810	21.56	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		TIF	SS	9,810	21.56	n/a	30-Jan	non-brg	0.0
PRINCIPLE CAPITAL	040	TIF	B	41,400	21.67	n/a	30-Jan	1,242.00	3.0
ß BUCK CAPITAL MGMT		TLB	B	11,139	3.51	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		TLB	S	11,139	3.51	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		TPX+FS [TPX]	C	48	1.55	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TPX+FS [TPX]	SS	48	1.55	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TQQ+PE [COLM]	C	48	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TQQ+PE [COLM]	SS	48	0.15	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TQQ+PF [COLM]	C	48	1.30	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TQQ+PF [COLM]	SS	48	1.30	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TRLG	B	7	11.81	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TRLG	S	7	11.81	n/a	n/a	non-brg	0.0
SUN AMERICA ASSET MGMT	030	TRV	B	25,000	41.14	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		TUY+DD [PLCE]	C	97	2.97	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TUY+DD [PLCE]	SS	97	2.97	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TUY+FG [PLCE]	C	113	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TUY+FG [PLCE]	SS	113	0.10	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TWTRQ	C	1,328	0.00	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TWTRQ	SS	1,328	0.00	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		UHO+QU[HOTT]	C	190	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		UHO+QU[HOTT]	SS	190	0.15	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		UHO+TU[HOTT]	C	48	0.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		UHO+TU[HOTT]	SS	48	0.65	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		UNFI	C	3,459	18.97	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		UNFI	SS	3,459	18.97	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		URBN	B	19,539	16.37	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		URBN	B	60,000	15.34	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	S	19,539	16.37	n/a	30-Jan	non-brg	0.0
ß BUCK CAPITAL MGMT		URQ+FD[URBN]	C	48	0.47	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		URQ+FD[URBN]	SS	48	0.47	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		URQ+FW[URBN]	C	48	1.07	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		URQ+FW[URBN]	SS	48	1.07	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		URQ+FX[URBN]	C	96	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		URQ+FX[URBN]	SS	96	0.20	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		VFC	B	5,280	57.11	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		VFC	S	5,280	57.11	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		VNO	C	5,211	33.24	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		VNO	SS	5,211	33.24	n/a	n/a	non-brg	0.0
f NEIL M STRATTON	FID	VSTN	S	200	0.11	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
TPG-AXON	040	VZ	SS	50,000	30.84	n/a	4-Jan	2,500.00 5.0
ß BUCK CAPITAL MGMT		WAA+MN[AAPL]	C	95	1.36	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		WAA+MN[AAPL]	SS	95	1.36	n/a	n/a	non-brg 0.0
CATAPULT	040	WFC	SS	33,000	14.77	n/a	n/a	1,650.00 5.0
HOLLIS CAPITAL	033	WFC	B	5,000	14.84	n/a	n/a	250.00 5.0
ß BUCK CAPITAL MGMT		WFMI	C	58,712	16.80	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		WFMI	SS	58,712	16.80	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		WMAR	C	2,226	5.35	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		WMAR	SS	2,226	5.35	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		WMS+SV[WMS]	C	96	0.40	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		WMS+SV[WMS]	SS	96	0.40	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		WMT+DJ[WMT]	C	96	3.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		WMT+DJ[WMT]	SS	96	3.20	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		WMT+DX[WMT]	C	48	1.50	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		WMT+DX[WMT]	SS	48	1.50	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		WMT+EK[WMT]	C	48	1.39	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		WMT+EK[WMT]	SS	48	1.39	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		WMT+FK[WMT]	C	48	0.54	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		WMT+FK[WMT]	SS	48	0.54	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		WMT	B	28,622	52.10	10-Oct	6-Mar	non-brg 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		WMT	S	28,622	52.10	10-Oct	6-Mar	non-brg 0.0
BUCK CAPITAL MGMT		WRC+DD [WRC]	C	48	5.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WRC+DD [WRC]	SS	48	5.05	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		WRC+DE [WRC]	C	192	1.27	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WRC+DE [WRC]	SS	192	1.27	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		WRC+GE [WRC]	C	240	3.70	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WRC+GE [WRC]	SS	240	3.70	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		WRC	B	116,091	24.00	27-Mar	27-Mar	non-brg 0.0
BUCK CAPITAL MGMT		WRC	S	116,091	24.00	27-Mar	27-Mar	non-brg 0.0
BUCK CAPITAL MGMT		WRI	C	4,000	9.52	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		WRI	SS	4,000	9.52	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		WSM+QA [WSM]	C	145	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WSM+QA [WSM]	SS	145	0.05	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		WSM+QB [WSM]	C	97	0.98	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WSM+QB [WSM]	SS	97	0.98	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		WSM+QS [WSM]	C	48	0.25	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WSM+QS [WSM]	SS	48	0.25	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		WSM+TA [WSM]	C	240	0.30	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WSM+TA [WSM]	SS	240	0.30	n/a	n/a	non-brg 0.0
BUCK CAPITAL MGMT		WSM+TS [WSM]	C	48	0.85	n/a	n/a	non-brg 100.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß	BUCK CAPITAL MGMT		WSM+TS [WSM]	SS	48	0.85	n/a	n/a	non-brg 0.0
	ADAGE CAPITAL MGMT	030	WSM	B	200,000	10.44	n/a	n/a	8,000.00 4.0
ß	BUCK CAPITAL MGMT		WSM	C	73,583	10.08	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		WSM	SS	73,583	10.08	n/a	n/a	non-brg 0.0
	MOORE CAPITAL	036	WYE	B	50,000	42.67	n/a	31-Mar	2,000.00 4.0
ß	BUCK CAPITAL MGMT		WYNN	C	2,410	19.97	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		WYNN	SS	2,410	19.97	n/a	n/a	non-brg 0.0
	DAVIDSON KEMPNER ADVISOR	040	XLF	C	50,000	8.69	n/a	n/a	2,500.00 5.0
ß	BUCK CAPITAL MGMT		XRT	C	214,543	22.91	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		XRT	SS	150,000	22.68	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		XRT	SS	214,543	22.91	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		YUM+PX [YUM]	C	113	0.10	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		YUM+PX [YUM]	SS	113	0.10	n/a	n/a	non-brg 0.0
	NEWCASTLE FUNDS	033	YUM	S	105,000	28.08	n/a	5-Feb	4,200.00 4.0
ß	BUCK CAPITAL MGMT		ZJQ+DB [TRLG]	C	48	2.30	n/a	n/a	non-brg 100.0
ß	BUCK CAPITAL MGMT		ZJQ+DB [TRLG]	SS	48	2.30	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		ZLC	C	12,869	1.95	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		ZLC	SS	12,869	1.95	n/a	n/a	non-brg 0.0
ß	BUCK CAPITAL MGMT		ZQK	B	78,302	1.28	12-Mar	12-Mar	non-brg 0.0
ß	BUCK CAPITAL MGMT		ZQK	S	78,302	1.28	12-Mar	12-Mar	non-brg 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
8 BUCK CAPITAL MGMT		ZWR+PW[ANF]	C	120	0.15	n/a	n/a	non-brg 100.0
8 BUCK CAPITAL MGMT		ZWR+PW[ANF]	SS	120	0.15	n/a	n/a	non-brg 0.0
TOTAL (Trade Date):								113,483.86

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/31	BARON ASSET MGMT*	012	JCG	B	7,345	12.95	n/a	30-Jan	293.80 4.0
AO,CRT	03/31	BARON ASSET MGMT*	012	JCG	B	7,345	12.95	n/a	30-Jan	293.80 4.0
AO,CXL	03/31	CRAMER ROSENTHAL/SCOTT	012	MUR	B	100	45.61	n/a	n/a	4.00 4.0
AO,CRT	03/31	CRAMER ROSENTHAL/SCOTT	012	MUR	B	100	45.61	n/a	n/a	4.00 4.0
AO,	03/31	CHART WELL ADV	028	WRC	B	56,800	23.96	27-Mar	27-Mar	2272.00 4.0
AO,CXL	03/31	CHART WELL ADV	028	WRC	B	56,800	23.96	27-Mar	27-Mar	2272.00 4.0
AO,CXL	03/31	AELTUS/ING INVESTMENT MGT	215	WW	B	91,800	49.84	n/a	n/a	3213.00 3.5
AO,	03/31	AELTUS/ING INVESTMENT MGT	215	WW	B	91,800	49.84	n/a	n/a	3213.00 3.5

TOTAL (AO, CXL, CRT) : **0.00**

TOTAL (Trade Date) : **113,483.86****TOTAL (AO, CXL, CRT) :** **0.00**

TOTAL (RUN DATE) / NFS: **113,483.86**

MRI Securities with Trade Activity on 1-Apr.

LAZ

MRI Securities without Trade Activity on 1-Apr.

EL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 2%

YTD = 24%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
BCM Watch List Trades
f: Employee Trades (Fidelity)