



Daily Compliance Activity Report

Trade Date: 05/20/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SATELITE/BOBBY	044	ABG	B	10,000	16.95	n/a	25-Apr	400.00	4.0
B BUCK CAPITAL MGMT		AEO+KD	SS	2,000	1.30	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	AEO	S	22,066	17.91	6-Mar	9-May	882.64	4.0
B BUCK CAPITAL MGMT		AEO	S	60,000	17.89	6-Mar	9-May	non-brg	2.0
B BUCK CAPITAL MGMT		AEO	S	79,934	17.81	6-Mar	9-May	non-brg	4.0
FORD FDN.	032	AMGN	S	23,000	42.65	n/a	26-Jan	1,150.00	5.0
TYEE/TYGH	035	AMG	B	16,633	99.88	7-Apr	20-May	665.32	4.0
B BUCK CAPITAL MGMT		ANF	S	10,000	73.48	10-Apr	16-May	non-brg	4.0
MORGAN BANK /JPM	012	APD	S	700	102.72	n/a	23-Apr	21.00	3.0
LEDDY	035	ARGN	B	1,000	13.65	n/a	20-May	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	S	50,000	34.04	28-Apr	9-May	2,000.00	4.0
PECONIC PARTNERS	036	ARO	S	100,000	33.82	28-Apr	9-May	5,000.00	5.0
CLOVIS CAPITAL	012	ATI	SS	25,000	79.48	n/a	n/a	1,250.00	5.0
MILLENIUM PARTNERS	028	AVP	B	50,000	38.69	4-Mar	29-Apr	2,500.00	5.0
GENERAL ELECTIC CO	033	BAC	S	25,000	35.48	n/a	15-May	1,000.00	4.0
JP MORGAN VENTURES	046	BBBY	SS	10,000	32.41	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SANDLER O'NEILL ASSET	031	BK	B	50,000	44.51	n/a	15-May	2,500.00	5.0
MS PRINCIPAL STRATEGIES	041	BOKF	B	3,734	59.69	n/a	n/a	186.70	5.0
SIGMA CAPITAL ASSOCIATES	237	BRL	B	30,000	40.63	28-Feb	20-May	1,500.00	5.0
FIRST NY SEC/CHRIS	041	BUD	B	25,000	50.66	n/a	n/a	1,250.00	5.0
JP MORGAN VENTURES	046	CHIC	B	15,000	18.06	n/a	n/a	750.00	5.0
B BUCK CAPITAL MGMT		CHRS	C	27,200	5.77	n/a	22-Mar	non-brg	2.5
BEAR STEARNS ASSET MGMT.	033	COH	S	50,500	35.58	4-Apr	23-Apr	2,020.00	4.0
GALLEON MGMT LP	033	COH	C	28,000	35.67	4-Apr	23-Apr	1,400.00	5.0
JP MORGAN VENTURES	046	COH	C	15,000	35.82	4-Apr	23-Apr	750.00	5.0
JP MORGAN VENTURES	046	COO	S	46,000	38.99	n/a	n/a	2,300.00	5.0
JPMSI/TOM LEDDY	035	COST	B	1,200	71.19	n/a	27-Jul	60.00	5.0
JPMSI/TOM LEDDY	035	COST	SS	5,000	71.73	n/a	27-Jul	250.00	5.0
CASTLEROCK	040	CSR	SS	60,400	19.45	n/a	n/a	3,020.00	5.0
RHO CAPITAL MGMT	041	CYBX	B	2,400	16.50	n/a	n/a	96.00	4.0
B BUCK CAPITAL MGMT		DKS+IZ	SS	1,000	0.87	n/a	n/a	non-brg	100.0
BMO NESBITT BURNS	041	EK	B	50,000	16.74	n/a	n/a	2,500.00	5.0
PATTEN & PATTEN	067	EWG	S	1,300	33.87	n/a	n/a	65.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	EZU	S	400	115.46	n/a	n/a	20.00	5.0
PATTEN & PATTEN	067	FHN	S	700	9.34	n/a	n/a	35.00	5.0
SUNOVA CAPITAL	031	FII	SS	50,000	35.22	14-Apr	20-May	2,500.00	5.0
BUCK CAPITAL MGMT	001	FL	S	10,000	12.55	2-Apr	20-May	400.00	4.0
⌘ BUCK CAPITAL MGMT		FUQI	B	18,500	9.68	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		FUQI	B	31,100	9.69	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FUQI	B	400	9.56	n/a	n/a	non-brg	1.0
BRENCOURT	028	GENZ	S	10,000	69.57	n/a	n/a	500.00	5.0
MORGAN BANK /JPM	012	GE	S	10,300	31.93	n/a	n/a	309.00	3.0
TROUBH PART	067	GE	S	10,000	32.00	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	28.20	30-Apr	8-May	400.00	4.0
SATELITE/BOBBY	044	GIL	B	10,000	28.36	30-Apr	8-May	400.00	4.0
WAGONHOUND	048	GM	SS	27,680	20.58	29-Apr	19-May	1,107.20	4.0
CR INTRINIC INVESTMENT	044	GSK	SS	25,000	45.70	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		GYMB	S	10,000	44.41	2-Apr	9-May	non-brg	4.0
BALYASNY ASSET MGMT	044	HD	S	25,000	27.99	n/a	27-Jul	1,250.00	5.0
EPOCH INV MGMT	030	HLIT	B	1,200	8.66	n/a	n/a	48.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	HOLX	B	25,000	22.19	n/a	n/a	1,250.00	5.0
EPOCH INV MGMT	030	HYC	B	3,700	4.85	n/a	n/a	148.00	4.0
JP MORGAN VENTURES	046	IACI	B	10,000	23.57	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	JAH	S	30,000	21.43	n/a	n/a	1,200.00	4.0
JP MORGAN VENTURES	046	JAH	S	35,000	21.48	n/a	n/a	1,750.00	5.0
B BUCK CAPITAL MGMT		JCG+IL	SS	1,000	1.10	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	JCG	B	5,000	47.53	n/a	12-Mar	250.00	5.0
STADIA CAPITAL	035	JCG	S	35,000	47.35	n/a	12-Mar	1,400.00	4.0
GENERAL ELECTIC CO	033	JPM	B	25,000	43.97	8-May	15-May	1,000.00	4.0
B BUCK CAPITAL MGMT		KMX+VW	SS	1,000	1.40	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	KSS	SS	10,000	47.35	n/a	16-May	500.00	5.0
GALT INVESTMENT	041	KSS	B	10,000	46.98	n/a	16-May	400.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	17.61	n/a	14-May	400.00	4.0
CR INTRINIC INVESTMENT	044	LLY	S	20,000	49.45	n/a	n/a	1,000.00	5.0
LISTED ERR	099	LLY	B	20,000	49.45	n/a	n/a	0.00	0.0
LISTED ERR	099	LLY	SS	20,000	49.25	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	012	LMT	S	1,000	109.26	n/a	n/a	30.00	3.0
COUGAR TRADING	044	LM	B	5,000	55.03	7-May	20-May	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	LM	S	10,000	55.01	7-May	20-May	500.00	5.0
KEEFE BRUYETTE WOODS A	031	LM	B	11,200	55.02	7-May	20-May	560.00	5.0
PROSPECTOR PARTNERS LLC	036	MDP	B	25,000	34.27	n/a	n/a	1,000.00	4.0
OSS CAPITAL/KEVIN DANN	067	MDVN	B	115,000	13.97	n/a	n/a	3,450.00	3.0
KACELA CAPITAL	034	MFE	B	15,000	36.01	n/a	3-Apr	600.00	4.0
MFP INVESTORS	032	MFW	B	700	33.00	n/a	n/a	35.00	5.0
MORGAN BANK /JPM	012	MON	S	1,000	123.90	n/a	2-Apr	30.00	3.0
CR INTRINIC INVESTMENT	044	MRK	B	34,000	40.24	n/a	n/a	1,700.00	5.0
SIGMA CAPITAL ASSOCIATES	237	MRK	S	200	39.90	n/a	n/a	10.00	5.0
B BUCK CAPITAL MGMT		MVRX	SS	75,000	148.95	n/a	n/a	non-brg	14.9
CR INTRINIC INVESTMENT	044	MYL	SS	125,000	12.50	n/a	20-May	6,250.00	5.0
CATTANI	041	M	C	200	24.45	7-Feb	15-May	0.00	0.0
DURANGO INVESTMENTS	031	NBR	S	20,000	42.01	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		NP	B	15,000	20.70	7-Apr	19-May	non-brg	2.5
EPOCH INV MGMT	030	NTLS	B	500	27.32	n/a	n/a	20.00	4.0
PILOT ROCK	028	NUVA	B	62,269	40.35	n/a	n/a	2,490.76	4.0
JP MORGAN VENTURES	046	ODP	B	15,000	13.49	n/a	27-Jul	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MATRIX ASSET ADV.	036	ODP	B	16,000	13.43	n/a	27-Jul	480.00	3.0
RHO CAPITAL MGMT	041	ORCL	B	2,000	22.16	n/a	n/a	80.00	4.0
BULL PATH CAPITAL	044	PCS	S	30,000	21.33	n/a	n/a	1,500.00	5.0
SANDLER CAPITAL	038	PH	S	48,100	84.10	19-May	19-May	2,886.00	6.0
COLONIAL FUND LLC	036	PLCE	B	12,500	29.25	2-May	12-May	625.00	5.0
JP MORGAN VENTURES	046	PLCE	S	25,000	28.78	2-May	12-May	1,250.00	5.0
S.A.C. CAPITAL MGMT.	044	PRGO	B	5,000	33.14	n/a	n/a	250.00	5.0
COUGAR TRADING	044	PSUN	B	10,000	10.55	n/a	9-May	500.00	5.0
B BUCK CAPITAL MGMT		PVH	B	10,000	46.04	5-May	5-May	non-brg	4.0
CLOVIS CAPITAL	012	QCOM	S	150,000	46.28	n/a	n/a	4,500.00	3.0
JP MORGAN VENTURES	046	Q	C	100,000	4.67	n/a	n/a	2,000.00	2.0
BUCK CAPITAL MGMT	001	RL	B	10,000	60.98	7-Feb	6-May	400.00	4.0
PECONIC PARTNERS	036	ROST	S	100,500	34.73	n/a	n/a	5,025.00	5.0
B BUCK CAPITAL MGMT		S4RETL	SS	30,000	359.99	n/a	n/a	non-brg	36.0
WASHINGTON ASSET	048	SAP	B	5,000	52.41	n/a	n/a	250.00	5.0
CR INTRINIC INVESTMENT	044	SCRX	SS	30,000	21.40	n/a	20-May	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MS PRINCIPAL STRATEGIES	041	SIVB	B	5,388	50.03	n/a	n/a	269.40	5.0
RHO CAPITAL MGMT	041	SLAB	B	700	36.93	n/a	n/a	28.00	4.0
EPOCH INV MGMT	030	SLGN	B	500	56.00	n/a	n/a	20.00	4.0
S.A.C. CAPITAL MGMT.	044	SLXP	SS	10,000	6.90	n/a	n/a	500.00	5.0
EPOCH INV MGMT	030	SONO	B	750	30.39	n/a	n/a	30.00	4.0
B BUCK CAPITAL MGMT		SPLS	B	100,000	23.74	n/a	27-Jul	non-brg	4.0
MORGAN BANK /JPM	012	SPW	S	200	126.42	n/a	n/a	6.00	3.0
EPOCH INV MGMT	030	SRZ	B	600	25.32	n/a	n/a	24.00	4.0
EPOCH INV MGMT	030	SWM	B	300	20.81	n/a	n/a	12.00	4.0
EPOCH INV MGMT	030	SXT	B	300	29.56	n/a	n/a	12.00	4.0
EPOCH INV MGMT	030	TFX	B	300	57.20	n/a	n/a	12.00	4.0
GRUBER MACBAIN	033	TGT	S	40,000	54.95	n/a	27-Jul	1,600.00	4.0
JP MORGAN VENTURES	046	TGT	C	15,000	55.19	n/a	27-Jul	750.00	5.0
B BUCK CAPITAL MGMT		TIF	C	50,000	47.54	14-Jan	16-May	non-brg	4.0
SLS CAPITAL LLC	224	TIN	B	280,800	15.11	29-Apr	29-Apr	11,232.00	4.0
B BUCK CAPITAL MGMT		TJX+JG	SS	3,000	1.20	n/a	n/a	non-brg	300.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LAGRANGE CAP ADV	048	TSCM	B	15,000	7.54	n/a	n/a	750.00	5.0
EPOCH INV MGMT	030	TUP	B	450	38.46	n/a	n/a	18.00	4.0
BUCK CAPITAL MGMT	001	TWB	B	15,700	21.30	17-Mar	14-May	628.00	4.0
MORGAN BANK /JPM	012	UFS	S	2,700	6.26	n/a	n/a	81.00	3.0
RHO CAPITAL MGMT	041	UNG	B	400	54.51	n/a	n/a	16.00	4.0
ROYCE TRADING	216	UNT	S	25,000	78.20	20-Mar	1-May	1,250.00	5.0
CROWLEY	061	UQM	B	3,500	2.40	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		URBN	B	20,000	30.69	15-May	15-May	non-brg	4.0
CATAPULT	040	USB	SS	5,000	33.62	n/a	n/a	250.00	5.0
GREENLIGHT CAPITAL	041	UST	SS	27,000	54.03	n/a	n/a	1,350.00	5.0
⌘ BUCK CAPITAL MGMT		VCGH	S	30,000	4.33	n/a	n/a	non-brg	2.5
EPOCH INV MGMT	030	VVC	B	950	28.82	n/a	n/a	38.00	4.0
⌘ BUCK CAPITAL MGMT		WFMI	SS	30,000	28.92	n/a	n/a	non-brg	4.0
SATELITE/BOBBY	044	WMS	B	10,000	35.47	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		WRC	B	20,000	48.05	13-May	13-May	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALT INVESTMENT	041	WRC	B	20,000	48.08	13-May	13-May	800.00	4.0
EPOCH INV MGMT	030	WR	B	1,100	23.41	n/a	n/a	44.00	4.0
MORGAN BANK /JPM	012	X	S	1,500	179.13	n/a	n/a	45.00	3.0
8 BUCK CAPITAL MGMT		ZUMZ	SS	30,000	20.43	n/a	n/a	non-brg	2.5
TOTAL (Trade Date):							112,421.02		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/15	EMP ERROR	099	BLJ	B	699	17.31	n/a	n/a	0.00	0.0
AO,CRT	05/15	EMP ERROR	061	BLJ	S	699	17.05	n/a	n/a	0.00	0.0
AO,	05/15	CROWN ADVISORS	061	BLJ	S	699	17.31	n/a	n/a	0.00	0.0
AO,CXL	05/15	CROWN ADVISORS	061	BLJ	S	699	17.05	n/a	n/a	0.00	0.0
AO,	05/19	BNOL	045	FRP	S	5	8.58	n/a	n/a	0.25	5.0
AO,	05/19	BMOO	045	FRP	S	37	8.58	n/a	n/a	1.85	5.0
AO,	05/19	BECK MACK & OLIVER LLC	045	FRP	S	11,374	8.58	n/a	n/a	568.70	5.0
AO,CRT	05/16	CR INTRINIC INVESTMENT	044	KVA	S	50,000	25.08	n/a	n/a	2500.00	5.0
AO,CXL	05/16	CR INTRINIC INVESTMENT	044	KVA	S	50,000	25.08	n/a	n/a	0.00	0.0
AO,	05/19	NOMURA CAPITAL	045	MNTG	S	10,000	5.75	n/a	n/a	400.00	4.0
AO,CRT	05/19	PECONIC PARTNERS	036	SPLS	C	414,700	23.62	n/a	27-Jul	20735.00	5.0
TOTAL (AO, CXL, CRT) :										24,205.80	
TOTAL (Trade Date) :										112,421.02	
TOTAL (AO, CXL, CRT) :										24,205.80	
TOTAL (RUN DATE) / NFS:										136,626.82	

MRI Securities with Trade Activity on 20-May.
NONE

MRI Securities without Trade Activity on 20-May.
ITW, MIDD, RRR

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 9%
YTD = 19%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION