



# Daily Compliance Activity Report

Trade Date: 09/10/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AB	S	20,000	49.49	10-Sep	10-Sep	1,000.00	5.0
COUGAR TRADING	044	AEO	S	10,000	16.77	n/a	5-Sep	500.00	5.0
J L F ASSET MGMT	039	AEO	B	51,700	16.68	n/a	5-Sep	2,068.00	4.0
MILLENIUUM KOZLOW	028	AEO	S	5,000	16.63	n/a	5-Sep	250.00	5.0
COUGAR TRADING	044	ANF	B	5,000	49.52	7-Aug	5-Sep	250.00	5.0
COUGAR TRADING	044	ANF	S	5,000	49.96	7-Aug	5-Sep	250.00	5.0
MISSOURI VALLEY PART	046	ANF	B	27,545	49.37	7-Aug	5-Sep	1,377.25	5.0
PRINCIPLE CAPITAL	040	ANF	B	80,100	49.81	7-Aug	5-Sep	3,204.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>S</b>	<b>5,000</b>	<b>34.80</b>	<b>28-Apr</b>	<b>5-Sep</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	SS	20,000	34.90	28-Apr	5-Sep	1,000.00	5.0
PRINCIPLE CAPITAL	040	AVP	SS	81,800	43.52	31-Jul	31-Jul	3,272.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BARE</b>	<b>S</b>	<b>30,000</b>	<b>11.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	BBBY	SS	20,000	31.61	n/a	n/a	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>C</b>	<b>100,000</b>	<b>31.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>SS</b>	<b>50,000</b>	<b>31.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>B</b>	<b>20,000</b>	<b>45.28</b>	<b>n/a</b>	<b>27-Jul</b>	<b>800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>C</b>	<b>50,000</b>	<b>44.94</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBY</b>	<b>SS</b>	<b>50,000</b>	<b>44.63</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>
JP MORGAN VENTURES	046	BBY	C	50,000	45.59	n/a	27-Jul	2,500.00	5.0
HIGHBRIDGE CAPITAL	038	BDX	B	25,000	85.10	n/a	22-Apr	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAPITAL	038	BEC	B	25,000	73.04	n/a	n/a	1,250.00	5.0
PHILADELPHIA FINANCIAL	033	BEN	B	17,612	95.96	10-Sep	10-Sep	704.48	4.0
MILLENIUM KOZLOW	028	BHI	S	5,000	64.74	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		BHQ+WY	SS	2,000	0.77	n/a	n/a	non-brg	100.0
BRIGHTPOINT CAP	067	BJS	C	100,000	21.08	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		BKE	S	20,000	57.61	n/a	n/a	non-brg	1.0
LATEFF MGMT	035	BLL	B	1,000	43.32	n/a	n/a	250.00	25.0
JP MORGAN VENTURES	046	CAM	B	25,000	39.40	n/a	n/a	1,250.00	5.0
WHEELER	008	CBI	B	1,000	23.80	n/a	n/a	0.00	0.0
LEDDY	035	CHK	S	350	40.33	n/a	n/a	0.00	0.0
NOMURA CAPITAL	045	CKH	S	1,500	82.59	n/a	n/a	75.00	5.0
MS PRINCIPAL STRATEGIES	041	CLMS	SS	300	20.00	13-Aug	8-Sep	15.00	5.0
B BUCK CAPITAL MGMT		COH+KF	SS	1,000	2.52	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		COH	B	100,000	29.50	30-Jul	28-Aug	non-brg	2.0
B BUCK CAPITAL MGMT		COLM	C	10,000	41.33	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	COL	S	25,000	49.66	n/a	n/a	1,250.00	5.0
MATRIX ASSET ADV.	036	COV	S	5,400	54.55	n/a	n/a	216.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CRI</b>	<b>B</b>	<b>60,000</b>	<b>19.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
JP MORGAN VENTURES	046	CRL	C	25,000	65.40	n/a	n/a	1,250.00	5.0
JP MORGAN VENTURES	046	CVD	C	15,000	96.35	n/a	n/a	750.00	5.0
THE JAY GOLDMAN	034	DDS	S	10,000	13.60	n/a	n/a	400.00	4.0
MUHLENKAMP FUND	046	DVN	S	87,700	88.62	7-May	21-Aug	4,385.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>EBHI</b>	<b>B</b>	<b>20,000</b>	<b>7.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>EBHI</b>	<b>B</b>	<b>25,000</b>	<b>7.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
THE BOSTON COMPANY	401	EL	B	4,000	52.48	15-Aug	4-Sep	160.00	4.0
<b>S CAST</b>	<b>016</b>	<b>EPG</b>	<b>B</b>	<b>300</b>	<b>1.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAPITAL	038	FISV	B	7,000	51.84	n/a	n/a	350.00	5.0
ADAGE CAPITAL MGMT	030	FL	S	142,927	17.49	11-Aug	22-Aug	5,717.08	4.0
COUGAR TRADING	044	FOSL	B	10,000	30.29	n/a	13-Aug	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FUQI</b>	<b>S</b>	<b>70,000</b>	<b>10.63</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GES+LJ</b>	<b>SS</b>	<b>1,000</b>	<b>1.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>S</b>	<b>60,000</b>	<b>41.36</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>36,000</b>	<b>24.83</b>	<b>8-Sep</b>	<b>8-Sep</b>	<b>1,440.00</b>	<b>4.0</b>
COUGAR TRADING	044	GIL	B	20,000	25.05	8-Sep	8-Sep	1,000.00	5.0
WAGONHOUND/NIC	048	GIL	B	11,906	24.95	8-Sep	8-Sep	476.24	4.0
WHITE PINE CAPITAL	030	GIL	B	59,025	24.90	8-Sep	8-Sep	2,951.25	5.0
TRELLUS PARTNERS LP	028	GNTX	SS	10,000	16.35	24-Jun	28-Jul	500.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	10,000	23.85	10-Jun	30-Jul	500.00	5.0
<b>S CAST</b>	<b>016</b>	<b>GSI</b>	<b>B</b>	<b>70</b>	<b>9.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	GT	S	50,000	17.32	n/a	n/a	2,500.00	5.0
LEHMAN PROPRIETARY TRAD	041	GYMB	B	50,000	39.57	11-Jul	5-Sep	2,000.00	4.0
NEUBERGER BERMAN	036	HCN	S	30,000	48.03	n/a	n/a	1,350.00	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>HD</b>	<b>C</b>	<b>20,000</b>	<b>29.35</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>
JP MORGAN VENTURES	046	HD	C	50,000	29.27	n/a	27-Jul	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HOTT</b>	<b>S</b>	<b>4,600</b>	<b>7.00</b>	<b>n/a</b>	<b>5-Sep</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HOT</b>	<b>SS</b>	<b>80,000</b>	<b>37.47</b>	<b>n/a</b>	<b>n/a</b>	<b>3,200.00</b>	<b>4.0</b>
ROTHSCHILD ASSET	040	HRL	S	13,300	36.53	n/a	n/a	598.50	4.5
HIGHBRIDGE/MS OLDS	041	IACID	B	23,100	17.57	n/a	n/a	924.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BACKBAY	041	IP	S	7,500	29.23	1-Aug	1-Aug	375.00	5.0
LEDDY	035	IVZ	S	700	24.70	4-Aug	10-Sep	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	B	135,700	30.17	n/a	27-Aug	5,428.00	4.0
⌘ BUCK CAPITAL MGMT		JCG	B	14,300	30.00	n/a	27-Aug	non-brg	2.0
COUGAR TRADING	044	JCG	B	5,000	30.60	n/a	27-Aug	250.00	5.0
⌘ BUCK CAPITAL MGMT		JCP	C	60,000	41.66	n/a	5-Sep	non-brg	4.0
BUCK CAPITAL MGMT	001	JNY	SS	80,000	21.06	n/a	30-Jul	3,200.00	4.0
⌘ BUCK CAPITAL MGMT		JOSB	S	20,000	30.82	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	JWN	S	10,000	34.71	10-Jul	5-Sep	500.00	5.0
AVESTA CAP	229	KMB	B	10,000	64.84	28-Aug	28-Aug	500.00	5.0
⌘ BUCK CAPITAL MGMT		KMX+VC	SS	1,000	1.45	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	KSS	B	15,000	52.35	n/a	5-Sep	750.00	5.0
MISSOURI VALLEY PART	046	KSS	S	57,515	52.78	n/a	5-Sep	2,875.75	5.0
BUCK CAPITAL MGMT	001	LIZ	B	125,000	18.95	n/a	28-Aug	5,000.00	4.0
⌘ BUCK CAPITAL MGMT		LOW	C	40,000	25.46	n/a	27-Jul	non-brg	4.0
WAGONHOUND/NIC	048	LPX	B	14,238	10.62	n/a	8-Sep	569.52	4.0
EPOCH INV MGMT	030	MA	B	4,900	212.73	n/a	6-Aug	196.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		MBFG IM	S	18,105	21.80	n/a	n/a	non-brg	4.4
MISSOURI VALLEY PART	046	MCD	S	29,950	63.63	9-Sep	9-Sep	1,497.50	5.0
SANDLER O'NEILL ASSET	031	MER	SS	50,000	23.71	24-Jun	15-Aug	2,500.00	5.0
MISSOURI VALLEY PART	046	MET	B	15,840	56.28	n/a	n/a	792.00	5.0
ß BUCK CAPITAL MGMT		MFB	B	11,100	15.10	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MFB	B	18,900	15.09	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		MFB	B	20,000	14.97	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAPITAL	038	MOH	B	24,000	32.62	n/a	n/a	1,200.00	5.0
BUCK	015	MOJVD	S	15	1.70	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MSMSBOIH	C	16,000	86.29	n/a	n/a	non-brg	8.6
WHEELER	008	MTRX	S	3,600	22.02	n/a	n/a	0.00	0.0
SLS CAPITAL LLC	224	M	B	128,500	21.94	12-Aug	29-Aug	5,140.00	4.0
DENVER INST. ADV	046	NFX	S	300,100	36.87	n/a	n/a	12,004.00	4.0
LATEFF MGMT	035	OLN	B	2,000	22.70	20-Jun	20-Jun	320.00	16.0
BACKBAY	041	PKG	S	34,600	25.17	22-Jul	22-Jul	1,730.00	5.0
MILLENIUM KOZLOW	028	PKG	B	5,000	24.86	22-Jul	22-Jul	250.00	5.0
THIRD POINT MGMT	044	PNRA	SS	25,000	53.00	4-Sep	4-Sep	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		PSS	B	20,000	17.39	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSS	S	1,500	17.15	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSUN	S	6,400	6.83	n/a	5-Sep	non-brg	4.0
CADIAN CAPITAL	067	PVH	B	125,000	40.53	5-May	28-Aug	6,250.00	5.0
LEDDY	035	PVH	S	500	41.24	5-May	28-Aug	0.00	0.0
⌘ BUCK CAPITAL MGMT		QZS+AI	SS	1,000	0.95	n/a	n/a	non-brg	100.0
FIRST NEW YORK LEDDY	035	Q	B	50,000	3.74	n/a	n/a	3,000.00	6.0
⌘ BUCK CAPITAL MGMT		RICK	S	400	11.86	n/a	n/a	non-brg	4.0
BULL PATH CAPITAL	044	ROST	SS	23,500	40.00	n/a	n/a	1,175.00	5.0
⌘ BUCK CAPITAL MGMT		RSH+MC	SS	2,000	0.89	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		RVI	S	1,100	4.59	n/a	n/a	non-brg	3.0
BACKBAY	041	SSCC	S	5,000	6.76	30-Jul	30-Jul	250.00	5.0
THE JAY GOLDMAN	034	SSI	B	10,000	15.60	n/a	5-Sep	400.00	4.0
COUGAR TRADING	044	SSO	B	5,000	56.56	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SSO	S	5,000	56.20	n/a	n/a	250.00	5.0
BAIRD INVESTMENT	031	SWN	B	6,085	29.30	20-Mar	3-Sep	304.25	5.0
AMBER CAPITAL	046	TGT	B	100,000	56.83	n/a	5-Sep	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AVESTA CAP	229	TGT	B	25,000	57.14	n/a	5-Sep	1,250.00	5.0
ß BUCK CAPITAL MGMT		TGT	C	50,000	56.62	n/a	5-Sep	non-brg	4.0
MISSOURI VALLEY PART	046	TGT	B	16,890	56.80	n/a	5-Sep	844.50	5.0
COUGAR TRADING	044	TIF	SS	15,000	43.89	n/a	28-Aug	750.00	5.0
ß BUCK CAPITAL MGMT		TIN	S	40,000	18.47	29-Apr	30-Jul	non-brg	4.0
CADIAN CAPITAL	067	TJX	SS	150,000	34.06	11-Aug	5-Sep	7,500.00	5.0
ß BUCK CAPITAL MGMT		TRLG	B	20,000	27.35	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	TWB	B	20,000	11.02	n/a	20-Aug	800.00	4.0
ß BUCK CAPITAL MGMT		UHO+KU	C	46	0.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ULTA	S	117,400	13.65	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		ULTA	S	30,000	13.67	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAPITAL	038	UNH	B	15,000	29.41	n/a	n/a	750.00	5.0
HARVEST MGMT	039	URBN	S	25,000	35.62	14-Aug	14-Aug	1,250.00	5.0
MILLENIUM KOZLOW	028	VC	B	15,000	3.32	n/a	31-Jul	450.00	3.0
TRELLUS PARTNERS LP	028	VC	S	66,810	3.20	n/a	31-Jul	2,004.30	3.0
LEDDY	035	VC	S	3,700	3.29	n/a	31-Jul	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	WAG	B	50,000	35.53	n/a	n/a	2,000.00	4.0
COUGAR TRADING	044	WFC	SS	5,000	31.94	n/a	n/a	250.00	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	WINN	B	20,000	14.84	n/a	n/a	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WRC</b>	<b>S</b>	<b>40,000</b>	<b>50.72</b>	<b>8-Aug</b>	<b>28-Aug</b>	<b>1,600.00</b>	<b>4.0</b>
CADIAN CAPITAL	067	WRC	B	29,000	50.23	8-Aug	28-Aug	1,450.00	5.0
COUGAR TRADING	044	WRC	SS	5,000	50.35	8-Aug	28-Aug	250.00	5.0
BEAR STEARNS ASSET MGMT.	033	WSM	B	50,000	18.64	n/a	n/a	2,000.00	4.0
REINHART & MAHONEY	031	XOM	B	10,100	74.82	n/a	n/a	505.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ZQK+KU</b>	<b>SS</b>	<b>1,000</b>	<b>0.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ZQK+KU</b>	<b>SS</b>	<b>2,000</b>	<b>0.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ZQK</b>	<b>B</b>	<b>300,000</b>	<b>7.44</b>	<b>27-Aug</b>	<b>5-Sep</b>	<b>12,000.00</b>	<b>4.0</b>
J L F ASSET MGMT	039	ZQK	S	86,000	7.37	27-Aug	5-Sep	3,440.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ZUMZ</b>	<b>C</b>	<b>24,600</b>	<b>17.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MILLENIUM KOZLOW	028	ZUMZ	B	15,000	17.32	n/a	n/a	750.00	5.0
<b>TOTAL (Trade Date):</b>								<b>165,234.62</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	09/08	PRINCIPLE CAPITAL	040	ANF	B	15,000	49.38	7-Aug	5-Sep	600.00 4.0
AO,CXL	09/08	PRINCIPLE CAPITAL	040	ANF	B	15,000	49.38	7-Aug	5-Sep	450.00 3.0
AO,	09/09	KENNEDY CAPITAL	046	CASY	S	300	30.31	n/a	n/a	12.00 4.0
AO,CXL	09/09	MATLIN PATTERSON	046	DAI	SS	20,000	57.58	n/a	n/a	1000.00 5.0
AO,CRT	09/09	MATLIN PATTERSON	046	DAI	SS	20,000	57.58	n/a	n/a	600.00 3.0
AO,CXL	09/09	BUCK CAPITAL MGMT	001	GES	S	25,000	42.29	n/a	n/a	1000.00 4.0
AO,CRT	09/09	BUCK CAPITAL MGMT	001	GES	S	25,000	42.29	n/a	n/a	1000.00 4.0
AO,	09/09	DURANGO INVESTMENTS	031	LNCR	S	20,000	34.32	n/a	22-Jul	800.00 4.0
AO,CRT	09/09	NOMURA CAPITAL	045	RT	B	15,000	8.18	n/a	n/a	600.00 4.0
AO,	09/09	WADDELL AND REED	046	SMBL	B	411,800	7.00	n/a	n/a	6177.00 1.5
AO,CRT	09/09	SCOPUS FUND	041	SSCC	S	300,000	6.75	30-Jul	30-Jul	15000.00 5.0
AO,CXL	09/09	SCOPUS FUND	041	SSCC	S	300,000	6.75	30-Jul	30-Jul	15000.00 5.0
AO,	09/09	TRELLUS PARTNERS LP	028	VC	S	22,600	3.38	n/a	31-Jul	678.00 3.0
AO,CXL	09/09	TRELLUS PARTNERS LP	028	VC	S	22,600	3.38	n/a	31-Jul	678.00 3.0
AO,	09/09	HIGHFIELDS CAP MGMT	039	XTO	B	200,000	45.41	n/a	n/a	8000.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>15,339.00</b>

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TOTAL (Trade Date):	165,234.62
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TOTAL (AO, CXL, CRT):	15,339.00
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TOTAL (RUN DATE) / NFS:	180,573.62
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MRI Securities with Trade Activity on 10-Sep.
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AB, BEN
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MRI Securities without Trade Activity on 10-Sep.
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NONE
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BRG comm. as a % of BCM Total Comm. paid.
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T-Date = 36%
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YTD = 18%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**