



Daily Compliance Activity Report

Trade Date: 02/08/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GOLDMAN SACHS ASSET MGMT	044	AAI	S	100,000	8.83	n/a	n/a	3,000.00	3.0
B BUCK CAPITAL MGMT		AAPL	B	10,000	125.18	n/a	n/a	non-brg	4.0
CATTANI	041	AAPL	B	100	124.25	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AEO	B	70,000	21.68	5-Feb	8-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	B	80,000	22.02	5-Feb	8-Feb	non-brg	4.0
COUGAR TRADING	044	AEO	B	10,000	21.70	5-Feb	8-Feb	500.00	5.0
BAIRD INVESTMENT	031	AGN	S	5,325	63.17	31-Jan	8-Feb	266.25	5.0
COUGAR TRADING	044	ANF	SS	5,000	78.17	10-Jan	8-Feb	250.00	5.0
MFP INVESTORS	032	AN	S	100,000	15.13	n/a	8-Feb	5,000.00	5.0
SARAS CAPITAL	038	AN	SS	25,000	15.01	n/a	8-Feb	1,250.00	5.0
BALYASNY ASSET MGMT	044	AXP	B	80,000	45.50	n/a	n/a	4,000.00	5.0
BALYASNY ASSET MGMT	044	AXP	S	30,000	44.36	n/a	n/a	1,500.00	5.0
COBALT	041	BAC	S	50,000	41.61	13-Nov	23-Jan	2,500.00	5.0
BALYASNY ASSET MGMT	044	BEN	S	10,000	97.80	25-Sep	8-Feb	500.00	5.0
BENCHMARK	028	BIG	SS	20,000	16.36	7-Jan	7-Feb	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	BJ	S	29,700	31.42	n/a	27-Jul	1,485.00	5.0
CROWLEY	061	BNJ	S	1,000	17.98	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
⌘ BUCK CAPITAL MGMT		BONT	B	20,000	7.30	4-Jan	8-Feb	non-brg 4.0
COUGAR TRADING	044	BWA	S	15,000	45.03	14-Jan	8-Feb	750.00 5.0
METROPOLITAN CAPITAL	044	BWS	B	8,900	15.79	n/a	n/a	445.00 5.0
BARR	096	CHK	S	200	38.46	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT	001	CHS	SS	30,000	9.75	31-Jan	8-Feb	1,200.00 4.0
CENTURION GLOBAL MGMT LL	041	CHS	B	1,400	10.00	31-Jan	8-Feb	42.00 3.0
COUGAR TRADING	044	CHS	B	30,000	10.04	31-Jan	8-Feb	1,500.00 5.0
WAGONHOUND	048	CLMS	B	16,400	20.54	29-Jan	29-Jan	656.00 4.0
NATIONAL BK OF CAN	031	CME	SS	1,400	523.39	n/a	6-Feb	56.00 4.0
MERRILL ASSET	032	CNB	B	54,500	13.83	n/a	n/a	2,452.50 4.5
⌘ BUCK CAPITAL MGMT		CPKI	SS	10,000	14.05	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		CROX	B	20,000	31.98	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		CSTR	SS	20,000	31.13	n/a	n/a	non-brg 2.5
⌘ BUCK CAPITAL MGMT		DECK	B	30,000	115.63	n/a	n/a	non-brg 4.0
WEINTRAUB CAPITAL MGT.	040	FDO	B	100,000	19.75	8-Jan	5-Feb	5,000.00 5.0
BALYASNY ASSET MGMT	044	FNM	SS	40,000	32.08	n/a	n/a	2,000.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAXON WOODS ADVISORS	038	FORM	S	18,000	20.52	n/a	n/a	720.00	4.0
BARR	096	GLD	S	100	90.76	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	GLW	S	15,000	23.55	n/a	28-Jan	750.00	5.0
N STRATTON	014	GM	C	100	25.75	3-Jan	6-Feb	0.00	0.0
COUGAR TRADING	044	GPS	B	10,000	19.85	n/a	8-Feb	500.00	5.0
COUGAR TRADING	044	GYMB	B	5,000	40.00	n/a	8-Feb	250.00	5.0
CROWLEY	061	ICENX	S	727	28.48	n/a	n/a	0.00	0.0
MAGUIRE	039	ILA	S	1,500	3.42	n/a	n/a	0.00	0.0
BARR	096	IOM	S	400	2.85	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	IP	B	94,000	31.93	8-Feb	8-Feb	4,700.00	5.0
BARR	096	ITCD	S	1,000	3.45	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	IWO	C	10,000	74.34	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	JCG	B	20,000	42.31	28-Nov	4-Feb	800.00	4.0
B BUCK CAPITAL MGMT		JCP	B	115,000	48.55	4-Jan	8-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		JCP	B	125,000	48.36	4-Jan	8-Feb	non-brg	4.0
METROPOLITAN CAPITAL	044	JCP	S	9,700	48.77	4-Jan	8-Feb	485.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHEELER	008	JNJ	S	3,000	62.11	n/a	22-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	B	30,000	15.63	n/a	30-Jan	1,200.00	4.0
NEUBERGER BERMAN	036	JPM	B	50,000	44.09	17-Jan	22-Jan	2,250.00	4.5
Ⓐ BUCK CAPITAL MGMT		KSS	B	150,000	45.99	4-Jan	8-Feb	non-brg	4.0
COUGAR TRADING	044	KSS	B	5,000	45.08	4-Jan	8-Feb	250.00	5.0
METROPOLITAN CAPITAL	044	KSS	S	10,400	46.76	4-Jan	8-Feb	520.00	5.0
SIGMA CAPITAL ASSOCIATES	237	KSS	B	22,000	46.20	4-Jan	8-Feb	1,100.00	5.0
COUGAR TRADING	044	LTD	SS	25,000	18.44	n/a	8-Feb	1,250.00	5.0
MAGUIRE	039	LUVCV	B	10	0.85	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	MCD	SS	20,000	55.89	n/a	24-Apr	800.00	4.0
SUFFOLK CAPITAL MGMT	040	MCD	S	80,000	55.81	n/a	24-Apr	3,200.00	4.0
CROWLEY	061	MCR	B	6,500	8.55	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	MET	S	50,000	57.90	n/a	n/a	2,500.00	5.0
Ⓐ BUCK CAPITAL MGMT		MIPS	S	20,000	4.01	n/a	n/a	non-brg	3.0
TRIVIUM CAP MGMT	251	MU	C	25,000	6.86	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT	001	M	S	30,000	24.28	7-Feb	8-Feb	1,200.00	4.0
NATIONAL BK OF CAN	031	NMX	B	8,000	95.34	n/a	n/a	320.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	OESX	S	3,000	8.85	n/a	n/a	0.00	0.0
BARR	096	PDFS	B	1,000	5.85	n/a	n/a	0.00	0.0
GOLDMAN SACHS ASSET MGMT	044	PFG	B	75,000	54.71	n/a	n/a	1,500.00	2.0
ELM RIDGE ADVISORS	044	PKG	B	111,785	23.84	23-Jan	23-Jan	4,471.40	4.0
B BUCK CAPITAL MGMT		PLCE	B	20,000	19.90	10-Jan	8-Feb	non-brg	4.0
WHEELER	008	RAE	B	1,117	2.19	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	RF	SS	40,000	23.46	n/a	n/a	2,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	SCSS	S	15,000	4.77	n/a	n/a	750.00	5.0
GALT	041	SCSS	B	50,000	4.89	n/a	n/a	2,000.00	4.0
SIGMA CAPITAL ASSOCIATES	237	SKX	S	10,000	19.29	n/a	13-Mar	500.00	5.0
MS PRINCIPAL STRATEGIES	041	SMP	S	31,859	7.81	n/a	n/a	1,592.95	5.0
BALYASNY ASSET MGMT	044	SNV	SS	20,000	12.61	n/a	n/a	1,000.00	5.0
MS PRINCIPAL STRATEGIES	041	SRI	S	18,510	9.14	n/a	n/a	925.50	5.0
MS PRINCIPAL STRATEGIES	041	SUP	B	43,569	18.12	n/a	n/a	2,178.45	5.0
BARR	096	SYNP	B	4,700	4.34	n/a	n/a	0.00	0.0
CATAPULT	040	TCB	S	10,000	20.48	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		TEL	SS	10,000	34.82	n/a	n/a	non-brg	4.0
IRONBOUND CAPITAL	012	TIN	S	102,100	16.82	6-Feb	7-Feb	4,084.00	4.0
CENTURION GLOBAL MGMT LL	041	TJX	S	15,900	31.01	21-Sep	8-Feb	477.00	3.0
BALYASNY ASSET MGMT	044	TROW	SS	15,000	49.72	9-Jan	29-Jan	750.00	5.0
CROWLEY	061	TT	S	500	44.34	17-Dec	29-Jan	0.00	0.0
BALYASNY ASSET MGMT	044	TWB	S	1,944	32.00	9-Jan	9-Jan	97.20	5.0
COUGAR TRADING	044	TWB	B	5,000	31.21	9-Jan	9-Jan	250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TWB	B	13,500	31.21	9-Jan	9-Jan	675.00	5.0
U.S.TRUST COMPANY	012	TYC	B	50,000	40.05	9-Nov	5-Feb	1,500.00	3.0
B BUCK CAPITAL MGMT		URBN	S	150,000	30.01	3-Jan	8-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	S	250,000	30.13	3-Jan	8-Feb	non-brg	4.0
TRELLUS PARTNERS LP	028	VRSN	C	50,000	33.36	n/a	n/a	2,500.00	5.0
GRONET	030	WBC	S	1,000	43.30	n/a	7-Feb	0.00	0.0
B BUCK CAPITAL MGMT		WRNC	B	10,000	34.31	14-Jan	29-Jan	non-brg	4.0
STERLING JOHNSTON	035	WRNC	B	15,000	34.10	14-Jan	29-Jan	600.00	4.0
COBALT	041	YHOO	S	50,000	28.83	n/a	n/a	2,500.00	5.0
BALYASNY ASSET MGMT	044	ZION	SS	10,000	51.81	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	ZQK	B	5,000	8.97	5-Feb	5-Feb	250.00	5.0
PEREGRINE	030	ZQK	B	385,000	8.86	5-Feb	5-Feb	19,250.00	5.0
TOTAL (Trade Date) :								110,499.25	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	02/07	STRAUSS ASSET MGMT	040	AERO	B	4,200	5.50	n/a	n/a	210.00	5.0
AO,CRT	02/07	STRAUSS ASSET MGMT	040	AERO	B	4,200	5.50	n/a	n/a	126.00	3.0
AO,CRT	01/31	ZOLIDIS	011	ANF	B	150	79.62	10-Jan	8-Feb	0.00	0.0
AO,CXL	01/31	ZOLIDIS	011	ANF	B	150	79.62	10-Jan	8-Feb	0.00	0.0
AO,CRT	02/07	STG INVESTORS	041	NOK	B	100,000	34.80	n/a	n/a	5000.00	5.0
AO,CXL	02/07	STG INVESTORS	041	NOK	B	100,000	34.80	n/a	n/a	5000.00	5.0
AO,CRT	02/07	ACT II PARTNERS	067	SBAC	SS	30,000	28.21	n/a	n/a	1200.00	4.0
AO,CXL	02/07	ACT II PARTNERS	067	SBAC	SS	30,000	28.21	n/a	n/a	1500.00	5.0
AO,CRT	02/07	GAULT	063	SKF	B	500	104.00	n/a	n/a	0.00	0.0
AO,CXL	02/07	GAULT	063	SKF	B	500	104.00	n/a	n/a	0.00	0.0
AO,CXL	02/07	GAULT	063	SKF	S	500	105.84	n/a	n/a	0.00	0.0
AO,CRT	02/07	GAULT	063	SKF	S	500	105.84	n/a	n/a	0.00	0.0
AO,CXL	02/07	DIAMONDBACK CAP MGMT	232	SKS	B	28,000	16.51	n/a	n/a	1400.00	5.0
AO,CRT	02/07	DIAMONDBACK JOHN MAQUIRE	039	SKS	B	28,000	16.51	n/a	n/a	1400.00	5.0

TOTAL (AO, CXL, CRT) :**-384.00**

TOTAL (Trade Date) :

110,499.25

TOTAL (AO, CXL, CRT) :

-384.00

TOTAL (RUN DATE) / NFS:

110,115.25

MRI Securities with Trade Activity on 8-Feb.

IP

MRI Securities without Trade Activity on 8-Feb.

ACL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 11%

YTD = 27%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION