



Daily Compliance Activity Report

Trade Date: 06/09/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KLEINHEINZ CAP PART	031	AMD	B	200,000	4.77	n/a	n/a	10,000.00	5.0
SCOPUS FUND	041	AN	B	60,000	17.81	24-Apr	24-Apr	3,000.00	5.0
NEUBERGER BERMAN	036	ARD	S	47,700	35.24	n/a	n/a	2,146.50	4.5
B BUCK CAPITAL MGMT		ARO	B	10,000	36.32	n/a	5-Jun	non-brg	1.0
B BUCK CAPITAL MGMT		ARO	B	10,000	36.38	n/a	5-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		BBBY	C	10,000	29.78	n/a	n/a	non-brg	4.0
MILLENIUM PARTNERS	028	BBBY	SS	36,520	29.43	n/a	n/a	1,460.80	4.0
f MARK MEGALLI	FID	BBI	S	2000	0.94	n/a	n/a	0.00	0.0
STELLIAM INV ADV	034	BEAV	B	22,000	16.48	n/a	n/a	1,100.00	5.0
f MARK MEGALLI	FID	BPI	S	1000	14.59	n/a	n/a	0.00	0.0
THIRD AVE MGMT	030	BRS	S	25,000	33.24	n/a	n/a	1,000.00	4.0
HOOVER INV. MGMT	033	BR	S	172,900	17.34	n/a	30-Jan	6,916.00	4.0
B BUCK CAPITAL MGMT		CCI	B	20,000	22.94	n/a	n/a	non-brg	4.0
GRANAHAN INV	039	CMGB	S	2,500	73.90	n/a	n/a	100.00	4.0
f JOEL GIFFORD TISS	FID	CVX	B	550	70.04	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PROSPECTOR PARTNERS LLC	036	CWEI	B	10,000	23.88	n/a	n/a	400.00	4.0
⚡ BUCK CAPITAL MGMT		DBD	B	10,000	27.00	n/a	5-May	non-brg	4.0
ARCC	035	DFT	B	35,000	9.05	n/a	n/a	1,050.00	3.0
⚡ BUCK CAPITAL MGMT		DHI	B	20,000	9.73	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		DIN	S	30,000	32.87	n/a	n/a	non-brg	4.0
AELTUS/ING INVESTMENT MGT	215	DOV	B	1,000	35.33	n/a	n/a	35.01	3.5
f JOEL GIFFORD TISS	FID	FDIVX	S	1259	23.82	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FINL	B	20,000	7.27	n/a	28-Mar	non-brg	2.5
THIRD COAST CAPITAL	035	FL	SS	30,000	11.17	27-Apr	22-May	1,500.00	5.0
⚡ BUCK CAPITAL MGMT		FOR	B	10,000	12.43	12-Feb	8-May	non-brg	2.5
f EDWARD W WHEELER	FID	FOR	B	8000	12.40	12-Feb	8-May	0.00	0.0
⚡ BUCK CAPITAL MGMT		FOSL	B	40,000	24.05	n/a	30-Jan	non-brg	1.0
NEUBERGER BERMAN	036	GCA	B	115,200	7.03	n/a	n/a	3,456.00	3.0
⚡ BUCK CAPITAL MGMT		GES	B	10,000	28.54	n/a	n/a	non-brg	1.0
f MARK MEGALLI	FID	GGWPQ	S	4000	2.69	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	GSSE	S	12	1.82	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓕ BUCK CAPITAL MGMT		GYMB	B	10,000	36.92	21-May	5-Jun	non-brg	1.0
Ⓕ BUCK CAPITAL MGMT		GYMB	B	10,000	37.12	21-May	5-Jun	non-brg	4.0
BUCK CAPITAL MGMT	001	HBI	S	50,000	17.52	n/a	n/a	2,000.00	4.0
SAC TRADING HOWARD	038	HOG	SS	20,000	16.29	n/a	n/a	800.00	4.0
THIRD AVE MGMT	030	HRLY	S	10,634	10.96	n/a	n/a	425.36	4.0
Ⓕ BUCK CAPITAL MGMT		IGT	B	20,000	16.92	n/a	27-Apr	non-brg	4.0
THIRD AVE MGMT	030	IIIN	S	327	8.84	n/a	n/a	13.08	4.0
Ⓕ DAVID GEORGE BUCK	FID	INCY	S	2500	3.51	n/a	n/a	0.00	0.0
MADISON ST PARTNERS LLC	046	INTC	B	30,000	16.49	n/a	n/a	1,500.00	5.0
BMO NESBITT BURNS	041	IP	B	50,000	14.49	n/a	1-May	2,500.00	5.0
CSFB GLOBAL PROPRIETARY	041	IP	B	20,000	14.52	n/a	1-May	1,000.00	5.0
SCOPUS FUND	041	IP	B	15,000	14.49	n/a	1-May	750.00	5.0
Ⓕ BUCK CAPITAL MGMT		JOSB	B	20,000	38.99	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		JPM	B	100,000	35.63	16-Jan	2-Jun	non-brg	4.0
CHART WELL ADV	028	KSS	B	63,725	46.83	8-May	5-Jun	2,549.00	4.0
DISCIPLINED GROWTH INV.	030	LTD	S	3,900	12.80	n/a	30-Jan	195.00	5.0
SAXON WOODS ADVISORS	038	MCD	S	148,600	59.28	n/a	8-Jun	5,944.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	MET	B	135,850	32.89	n/a	n/a	5,434.00	4.0
AELTUS/ING IVESTMENT MGT	215	MON	B	20,000	85.28	n/a	27-May	700.00	3.5
CATAPULT	040	MS	B	25,000	31.40	11-May	2-Jun	1,250.00	5.0
BUCK CAPITAL MGMT	001	MW	SS	30,000	20.26	n/a	n/a	1,200.00	4.0
HARVEST MGMT	039	MW	S	15,000	19.30	n/a	n/a	750.00	5.0
COOPER CREEK PART	028	PAG	SS	10,000	16.27	n/a	6-May	400.00	4.0
f MARK MEGALLI	FID	PBY	S	1000	9.28	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PERY	S	10,000	9.41	n/a	n/a	non-brg	3.0
MATRIX ASSET ADV.	036	PG	B	6,000	52.60	5-Jun	5-Jun	240.00	4.0
f EDWARD W WHEELER	FID	PHK	S	20000	9.26	n/a	n/a	0.00	0.0
SCOPUS FUND	041	PKG	B	17,300	16.43	8-Apr	21-Apr	865.00	5.0
B BUCK CAPITAL MGMT		PLCE	B	10,000	31.94	19-Mar	5-Jun	non-brg	4.0
f MANNY I KORMAN	FID	PRGO	B	3000	25.87	n/a	9-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	PSS	B	20,000	14.67	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		PVH	B	10,000	30.32	20-Apr	21-May	non-brg	1.0
f JOSEPH CIRO AMATURO	FID	RAD	B	20000	1.54	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DISIPLINED GROWTH INV.	030	RCL	S	2,400	14.19	22-Apr	24-Apr	120.00	5.0
PROSPECTOR PARTNERS LLC	036	REP	B	10,000	22.81	n/a	n/a	400.00	4.0
PILOT ADV	038	ROK	B	15,000	33.30	n/a	n/a	600.00	4.0
B BUCK CAPITAL MGMT		RYL	B	10,000	17.80	n/a	n/a	non-brg	4.0
CATAPULT	040	SCHW	B	25,000	18.20	n/a	n/a	1,250.00	5.0
f JOEL GIFFORD TISS	FID	SCS	S	3000	5.48	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SKX	S	50,000	9.52	n/a	13-Mar	non-brg	3.0
f DAVID GEORGE BUCK	FID	SLBRJ	B	10	0.15	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	STI	S	1500	16.96	n/a	n/a	0.00	0.0
COOPER CREEK PART	028	TEN	S	10,000	9.16	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		TJX	B	10,000	30.90	4-May	5-Jun	non-brg	1.0
DISIPLINED GROWTH INV.	030	TJX	S	3,400	30.98	4-May	5-Jun	170.00	5.0
BARCLEYS CAP (OLD LEHM)	041	URBN	B	25,000	21.81	n/a	30-Jan	1,000.00	4.0
f JOSEPH CIRO AMATURO	FID	VMMXX	S	57285	1.00	n/a	n/a	0.00	0.0
f ROBERT M DANIELS	FID	WEN	B	280	4.15	n/a	24-Apr	0.00	0.0
B BUCK CAPITAL MGMT		WFMI	C	120,000	21.50	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f KAREN F LEDDY	FID	XOHO	S	6000	0.32	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								65,319.75	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/08	PRIMECAP MGMT	048	BK	S	400,000	28.29	11-May	19-May	20000.00 5.0
AO,	06/08	GRANAHAN INV	039	CMGB	S	2,500	72.62	n/a	n/a	100.00 4.0
AO,CXL	06/05	STANDARD PACIFIC CAPITAL	040	GIL	SS	75,000	15.67	n/a	14-May	3750.00 5.0
AO,CRT	06/05	STANDARD PACIFIC CAPITAL	040	GIL	SS	75,000	15.67	n/a	14-May	3750.00 5.0
AO,	06/08	LEVIN CAPITAL STRATEGIES	012	KSS	SS	40,000	45.50	8-May	5-Jun	1600.00 4.0
AO,	06/08	AELTUS/ING INVESTMENT MGT	215	NSM	S	215,750	13.86	n/a	n/a	7551.25 3.5
AO,CXL	06/05	FRONTPOINT/COPIA-ANDREW	031	SSW	SS	114,700	6.84	n/a	n/a	3441.00 3.0
AO,CRT	06/05	FRONTPOINT/COPIA-ANDREW	031	SSW	SS	114,700	6.84	n/a	n/a	3441.00 3.0
TOTAL (AO, CXL, CRT) :										29,251.25

TOTAL (Trade Date) :	65,319.75
TOTAL (AO, CXL, CRT) :	29,251.25

TOTAL (RUN DATE) / NFS :	94,571.00
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MRI Securities with Trade Activity on 9-Jun. NONE
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MRI Securities without Trade Activity on 9-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 18%

YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)