



Daily Compliance Activity Report

Trade Date: 05/31/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AEO	B	10,000	19.29	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	S	1,700	19.10	n/a	2-Dec	non-brg	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE	ASSE035	AKRX	B	11,048	13.57	n/a	n/a	220.96	2.0
SCOPUS FUND	041	ANF	S	70,000	32.97	n/a	17-May	2,800.00	4.0
BUCK CAPITAL MGMT		ANN	B	20,000	27.05	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		APC	S	1,235	59.68	n/a	15-May	non-brg	4.0
BUCK CAPITAL MGMT		ARO	B	10,000	18.35	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ARO	S	1,600	18.20	n/a	n/a	non-brg	4.0
DC CAPITAL	044	ATI	B	50,000	31.73	n/a	30-May	2,000.00	4.0
BUCK CAPITAL MGMT		BAC	S	9,700	7.09	n/a	24-May	non-brg	3.0
NUVEEN - US BANCORP	030	BBBY	S	51,648	72.65	n/a	n/a	2,324.19	4.5
BUCK CAPITAL MGMT		BC IM	S	1,200	13.18	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BC IM	S	30,000	13.42	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BC IM	S	30,000	13.47	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BHI	C	700	41.05	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BODY	S	500	14.37	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		BONT	S	11,200	5.24	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	S	3,600	5.19	n/a	n/a	non-brg	3.0
f FRANCIS MICHAEL MCCA	FID	BUD	B	100	67.66	n/a	1-May	0.00	0.0
ß BUCK CAPITAL MGMT		BYD	S	10,320	7.44	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		CAR	S	3,820	14.41	n/a	n/a	non-brg	4.0
NEUBERGER BERMAN	036	CCE	S	20,000	27.43	n/a	n/a	800.00	4.0
STANDARD PACIFIC CAPITAL	084	CCK	B	295,600	33.91	n/a	n/a	14,780.00	5.0
ß BUCK CAPITAL MGMT		CCL	S	900	31.75	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CLH	C	100	61.68	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		COF	S	1,239	50.47	n/a	24-May	non-brg	4.0
ß BUCK CAPITAL MGMT		COH	S	104,978	67.07	n/a	9-May	non-brg	4.0
ß BUCK CAPITAL MGMT		COH	S	40,000	67.26	n/a	9-May	non-brg	4.0
ß BUCK CAPITAL MGMT		CROX	S	1,200	16.65	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CROX	S	100,000	16.56	n/a	n/a	non-brg	4.0
ARSENAL ASSET MANAGEMENT	028	CSX	B	40,000	20.62	n/a	n/a	1,600.00	4.0
DAVIDSON INV ADV	035	CVX	S	22,300	98.44	n/a	n/a	892.00	4.0
ß BUCK CAPITAL MGMT		C	S	2,554	26.19	n/a	24-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		DAL	S	7,370	11.90	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DDS	B	10,000	67.62	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DFS	S	1,500	32.67	n/a	24-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DHI	S	3,320	16.07	n/a	n/a	non-brg	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		DHX	B		9,866	9.82	n/a	n/a	197.32 2.0
⌘ BUCK CAPITAL MGMT		DIA	C	2,610	123.14	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		DKS	B	10,000	46.45	n/a	15-May	non-brg	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		DLLR	B		8,979	16.27	n/a	n/a	179.58 2.0
⌘ BUCK CAPITAL MGMT		DVN	S	662	58.87	n/a	18-May	non-brg	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		DXCM	B		7,803	10.50	n/a	n/a	156.06 2.0
BAIRD INVESTMENT	047	EMR	S	14,640	46.61	n/a	n/a	732.00	5.0
⌘ BUCK CAPITAL MGMT		ESV	S	2,140	43.91	n/a	n/a	non-brg	4.0
DAVIDSON INV ADV	035	ESV	B	26,440	44.90	n/a	n/a	1,057.60	4.0
BNP PARIBAS SEC	040	ETN	B	10,000	42.58	n/a	22-May	500.00	5.0
BRONSON POINT	036	EXPD	S	125,000	37.93	n/a	n/a	3,750.00	3.0
MACELLUM CAPITAL	086	EXPR	B	42,000	18.55	n/a	n/a	1,260.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		FBHS	S	1,500	21.57	n/a	n/a	non-brg 4.0
NEUBERGER BERMAN	036	FEIC	B	31,388	45.52	n/a	n/a	1,255.52 4.0
BUCK CAPITAL MGMT		FINL	S	20,000	20.61	n/a	31-May	non-brg 4.0
BUCK CAPITAL MGMT		FINL	S	3,630	20.49	n/a	31-May	non-brg 4.0
ROYCE TRADING	031	FINL	B	2,500	20.77	n/a	31-May	125.00 5.0
BUCK CAPITAL MGMT		FL	S	2,390	31.49	n/a	18-May	non-brg 4.0
BUCK CAPITAL MGMT		FOR	S	3,940	13.24	n/a	11-May	non-brg 4.0
BUCK CAPITAL MGMT		GCO	B	7,600	66.84	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		GCO	S	200	66.22	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		GIII	S	300	24.71	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		GIL	B	10,000	23.31	n/a	4-May	non-brg 4.0
BUCK CAPITAL MGMT		GIL	B	10,000	23.49	n/a	4-May	non-brg 4.0
BUCK CAPITAL MGMT		GIL	B	21,400	23.36	n/a	4-May	non-brg 4.0
BUCK CAPITAL MGMT		GIL	S	1,700	23.39	n/a	4-May	non-brg 4.0
BUCK CAPITAL MGMT		GS	S	333	94.69	n/a	24-May	non-brg 4.0
BUCK CAPITAL MGMT		HAL	S	2,360	29.19	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		HBI	S	2,100	27.45	n/a	25-May	non-brg 4.0
ROBERTSON STEVENS BC	084	HBI	B	44,200	27.48	n/a	25-May	1,768.00 4.0
WADDELL AND REED	047	HBI	B	21,900	27.72	n/a	25-May	876.00 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLE ARK	031	HD	B	335,290	49.50	n/a	16-May	16,764.50	5.0
CONIFER/ISF	025	HPQ	B	5,000	22.67	n/a	n/a	200.00	4.0
⌘ BUCK CAPITAL MGMT		HTZ	S	5,693	13.32	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IGT	S	2,100	13.79	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IJH	C	1,408	91.53	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IP	S	1,630	28.83	n/a	27-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IR	B	12,000	41.19	n/a	11-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IR	S	200	40.88	n/a	11-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IWO	C	6,935	85.97	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		JNY	B	40,000	9.58	n/a	26-Apr	non-brg	3.0
⌘ BUCK CAPITAL MGMT		JOSB	C	2,000	44.54	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JOSB	C	2,000	44.54	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JOSB	SS	2,000	44.62	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JOSB	SS	2,000	44.62	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JPM	S	1,370	33.04	n/a	24-May	non-brg	4.0
GALT INVESTMENT	041	JWN	B	30,000	47.62	n/a	25-May	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		KBH	S	3,600	6.96	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	KFT	B	15,000	38.45	n/a	n/a	600.00	4.0
⚡ BUCK CAPITAL MGMT		KORS	B	10,000	39.83	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		KSS	S	800	46.20	n/a	25-May	non-brg	4.0
DALTON GREINER	070	KSS	S	156,000	46.30	n/a	25-May	7,800.00	5.0
MACELLUM CAPITAL	086	LAD	S	20,000	24.14	n/a	n/a	600.00	3.0
MARCATO CAPITAL MGMT.	029	LEA	B	71,200	39.78	n/a	4-May	2,136.00	3.0
⚡ BUCK CAPITAL MGMT		LEN	S	1,900	26.04	n/a	n/a	non-brg	4.0
ROSEDALE CAPITAL	046	LLY	B	10,000	40.83	n/a	n/a	500.00	5.0
RIDGECREST INV MGMT LLC	028	LPX	S	40,000	9.23	n/a	7-May	1,600.00	4.0
PRIMECAP MGMT	084	MDR	S	7,000	10.10	n/a	n/a	280.00	4.0
⚡ BUCK CAPITAL MGMT		MDY	C	564	166.72	n/a	n/a	non-brg	2.0
ROYCE TRADING	031	MOV	S	26,698	27.35	n/a	n/a	1,334.90	5.0
BRONSON POINT	036	MO	C	50,000	32.20	n/a	n/a	1,500.00	3.0
⚡ BUCK CAPITAL MGMT		MSMSBCM	C	1,608,882	104.84	n/a	n/a	non-brg	1.2
⚡ BUCK CAPITAL MGMT		MSMSBCM	C	1,675,000	104.84	n/a	n/a	non-brg	1.2
⚡ BUCK CAPITAL MGMT		MSMSBCM	SS	1,619,488	104.84	n/a	n/a	non-brg	0.0
⚡ BUCK CAPITAL MGMT		MSMSBCM	SS	1,686,041	104.84	n/a	n/a	non-brg	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
THOMAS WEISEL ASSET MGMT/TIMBERLINE		ASSE035	MSPD	B	20,637	3.22	n/a	n/a	412.74	2.0
⚡ BUCK CAPITAL MGMT		MS	S	2,700	12.99	n/a	31-May	non-brg	4.0	
⚡ BUCK CAPITAL MGMT		MWV	S	700	27.05	n/a	25-Apr	non-brg	4.0	
⚡ BUCK CAPITAL MGMT		M	S	1,930	37.80	n/a	25-May	non-brg	4.0	
THE JAY GOLDMAN	028	M	SS	20,000	38.10	n/a	25-May	800.00	4.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE		ASSE035	NCI	B	8,778	11.94	n/a	n/a	175.56	2.0
f JOHN MICHAEL ZOLIDIS	FID	NFLX	S	75	62.40	n/a	n/a	0.00	0.0	
⚡ BUCK CAPITAL MGMT		NKE	S	200	106.78	n/a	23-Mar	non-brg	4.0	
f FRANCIS MICHAEL MCCA	FID	NKE	B	150	108.22	n/a	23-Mar	0.00	0.0	
ARSENAL ASSET MANAGEMENT	028	NSC	B	40,000	65.28	n/a	n/a	1,600.00	4.0	
PECONIC PARTNERS	036	NXPI	S	42,400	20.94	n/a	n/a	2,120.00	5.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE		ASSE035	OCZ	B	9,976	4.56	n/a	n/a	199.52	2.0
⚡ BUCK CAPITAL MGMT		PAG	S	10,000	24.15	n/a	26-Apr	non-brg	4.0	
⚡ BUCK CAPITAL MGMT		PII	S	10,000	75.72	n/a	n/a	non-brg	4.0	
THOMAS WEISEL ASSET MGMT/TIMBERLINE		ASSE035	PIR	B	7,916	16.16	n/a	n/a	158.32	2.0
⚡ BUCK CAPITAL MGMT		PLCE	S	800	45.61	n/a	17-May	non-brg	4.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PVH	S	10,000	81.87	n/a	25-May	non-brg	4.0
ß BUCK CAPITAL MGMT		PVH	S	829	79.35	n/a	25-May	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	23,850	79.71	n/a	25-May	715.50	3.0
ß BUCK CAPITAL MGMT		RCL	S	2,420	23.15	n/a	n/a	non-brg	4.0
DAVIDSON INV ADV	035	RDSA	B	14,515	62.33	n/a	n/a	580.60	4.0
ß BUCK CAPITAL MGMT		RKT	S	300	50.87	n/a	26-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	SS	10,000	147.72	n/a	23-May	non-brg	4.0
T. ROWE PRICE	033	ROST	B	42,000	63.45	n/a	25-May	1,680.00	4.0
GALT INVESTMENT	041	RUE	B	20,000	26.75	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		RUSHA	S	1,100	16.13	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RYL	S	2,290	21.50	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		R	S	2,080	42.44	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SCSS	S	1,100	26.50	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	SDS	B	860	17.28	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SIG	B	10,000	43.76	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SIG	S	610	43.26	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SLB	S	747	61.28	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAIRS AND POWER INV	030	SLB	B	10,000	62.00	n/a	n/a	500.00	5.0
SONTERRA CAP	041	SLE	S	25,000	21.01	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		SLM	S	5,360	13.87	n/a	30-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SLXP	C	400	51.62	n/a	29-May	non-brg	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035	SPPI		B		8,350	11.53	n/a	n/a	167.00 2.0
EPOCH INV MGMT	030	SPTN	B	3,348	16.64	n/a	n/a	100.44	3.0
⌘ BUCK CAPITAL MGMT		SPY	C	289	130.48	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		STT	S	2,002	40.93	n/a	24-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SWN	S	2,590	27.23	n/a	25-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TEX	B	30,000	16.48	n/a	24-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TEX	S	500	16.56	n/a	24-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TFM	SS	10,000	58.59	n/a	n/a	non-brg	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035	THLD		B		10,837	7.14	n/a	n/a	216.74 2.0
⌘ BUCK CAPITAL MGMT		TJX	S	1,180	41.97	n/a	25-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TLYS	S	15,573	15.88	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TLYS	S	30,000	15.96	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TOL	S	600	26.35	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		TPX	S	660	45.77	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		ULTA	S	10,000	87.64	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		URBN	SS	20,000	27.86	n/a	n/a	non-brg 4.0
HOTCHKIS & WILEY	035	VCI	B	5,800	19.78	n/a	n/a	290.00 5.0
BUCK CAPITAL MGMT		VFC	B	10,000	140.00	n/a	27-Apr	non-brg 4.0
BUCK CAPITAL MGMT		V	S	609	115.15	n/a	30-May	non-brg 4.0
BUCK CAPITAL MGMT		WNC	S	10,390	6.49	n/a	n/a	non-brg 3.0
ARMATURE CAPITAL	041	WY	B	50,000	19.81	n/a	4-May	2,000.00 4.0
BUCK CAPITAL MGMT		WY	S	1,900	19.66	n/a	4-May	non-brg 4.0
BUCK CAPITAL MGMT		XLF	C	12,926	13.84	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		XRT	C	6,948	57.93	n/a	n/a	non-brg 2.0
TOTAL (Trade Date):								85,806.05

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CRT	05/30	SIMMONS VENTURES	041	JNY	B	10,000	9.70	n/a 26-Apr	400.00 4.0
AO,CXL	05/30	SIMMONS VENTURES	041	JNY	B	10,000	9.70	n/a 26-Apr	300.00 3.0

TOTAL (AO, CXL, CRT) :	100.00
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TOTAL (Trade Date) :	85,806.05
TOTAL (AO, CXL, CRT) :	100.00

TOTAL (RUN DATE) / NFS:	85,906.05
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 31-May.
NONE

MRI Securities without Trade Activity on 31-May.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)