



Daily Compliance Activity Report

Trade Date: 03/08/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CENTURION PARTNERS	041	AEO	B	20,000	16.92	n/a	5-Mar	800.00	4.0
ARMATURE CAPITAL	041	AKS	B	50,000	24.20	n/a	n/a	2,000.00	4.0
AVESTA CAP	229	ANF	B	25,000	42.84	n/a	5-Mar	750.00	3.0
B BUCK CAPITAL MGMT		ANF	S	10,000	43.37	n/a	5-Mar	non-brg	4.0
MILLRACE FUND LP	028	ARGN	SS	2,500	11.13	n/a	11-Feb	75.00	3.0
SCOPUS FUND	041	AXL	B	216,400	9.95	n/a	8-Feb	10,820.00	5.0
TROUBH PART	067	AXL	S	25,000	9.85	n/a	8-Feb	1,250.00	5.0
f JOSEPH CIRO AMATURO	FID	BGZ	B	10000	15.21	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	BIG	S	300	36.08	n/a	4-Mar	0.00	0.0
f CHRISTOPHER ROBERT C	FID	BUFHX	B	230	10.89	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	CMFO	B	250	6.67	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CMFO	B	1200	6.73	n/a	n/a	0.00	0.0
MARINER/TRICADIA	041	CNK	S	20,000	17.41	n/a	n/a	1,000.00	5.0
f COLE THOMAS KAICHER	FID	CNXT	S	30	4.16	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		COH	B	70,000	37.60	n/a	21-Jan	non-brg	4.0
OSS CAPITAL/KEVIN DANN	067	CPLA	SS	5,000	85.02	n/a	n/a	150.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CASTLE ARK	031	CSCO	B	305,814	25.68	n/a	n/a	18,348.84	6.0
ROSEDALE CAPITAL	046	CSCO	S	10,000	25.72	n/a	n/a	500.00	5.0
f JOSEPH CIRO AMATURO	FID	CVGI	S	13672	5.38	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	CVGI	B	600	5.32	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CWTR	S	50,000	6.98	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		CWTR	S	50,000	7.03	n/a	n/a	non-brg	2.5
f KAREN F LEDDY	FID	CWTR	S	1500	7.17	n/a	n/a	0.00	0.0
MARINER/TRICADIA	041	CXW	B	10,000	21.15	n/a	n/a	400.00	4.0
f MANNY I KORMAN	FID	C	B	20000	3.57	n/a	24-Feb	0.00	0.0
B BUCK CAPITAL MGMT		DBRN	S	10,000	27.04	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	DFS	S	200	14.17	n/a	8-Mar	0.00	0.0
WAGONHOUND/NIC	048	DPZ	S	4,673	14.02	n/a	3-Mar	186.92	4.0
FIRST NEW YORK LEDDY	035	ETFC	B	10,000	1.67	n/a	n/a	600.00	6.0
f CHRISTOPHER ROBERT C	FID	FBR	B	300	20.13	n/a	n/a	0.00	0.0
BASIX	033	FL	B	16,000	13.97	n/a	4-Mar	480.00	3.0
VISIUM/BALY BOBBY	044	FL	B	9,600	14.00	n/a	4-Mar	480.00	5.0
f NICOLAS EVAN PAKLER	FID	FOR	B	185	19.18	n/a	5-Feb	0.00	0.0
f KAREN F LEDDY	FID	FPRS	B	300	47.64	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	040	FTI	SS	20,000	61.32	n/a	n/a	800.00	4.0
COOPER CREEK PART	028	GCO	SS	5,000	28.46	n/a	n/a	200.00	4.0
ROARING BLUE LION MGMT	012	GCO	B	3,121	28.55	n/a	n/a	31.21	1.0
ROARING BLUE LION MGMT	012	GCO	S	11,421	28.53	n/a	n/a	456.84	4.0
ST OF MICHIAN	047	GE	S	330,000	16.33	n/a	n/a	9,900.00	3.0
f COLE THOMAS KAICHER	FID	GNTX	B	48	20.56	n/a	29-Jan	0.00	0.0
f CHRISTOPHER ROBERT C	FID	GS	S	25	168.93	n/a	5-Mar	0.00	0.0
B BUCK CAPITAL MGMT		GYMB	B	15,000	45.01	n/a	5-Mar	non-brg	2.5
CRAMER ROSENTHAL/SCOTT	012	HES	S	12,710	61.26	n/a	n/a	508.40	4.0
IMPALA ASSET MMT	012	JBHT	B	13,361	35.36	n/a	n/a	534.44	4.0
f JOHN MICHAEL ZOLIDIS	FID	JMBA	B	3000	2.17	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	JMBA	B	4000	2.17	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID	LBY	S	400	12.45	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		LL	B	30,000	24.60	n/a	n/a	non-brg	4.0
f ALEXANDRA ELISE ZOLI	FID	LMI	S	333	33.50	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	LPX	SS	50,000	8.51	n/a	23-Feb	2,500.00	5.0
BUCK CAPITAL MGMT	001	LTD	S	20,000	23.50	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OSS CAPITAL/KEVIN DANN	067	LYV	B	25,000	14.45	n/a	n/a	750.00	3.0
US BANCORP	030	MYL	B	196,346	21.47	n/a	8-Mar	9,817.30	5.0
COOPER CREEK PART	028	NP	SS	5,000	17.00	n/a	3-Mar	200.00	4.0
JENNISON	032	NTAP	B	54,200	32.53	n/a	n/a	2,005.40	3.7
f ALEXANDER A SACCO	FID	NXST	B	400	4.72	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	OZM	S	13,496	14.74	n/a	2-Mar	539.84	4.0
OSS CAPITAL/KEVIN DANN	067	PCL	B	25,000	36.94	n/a	2-Feb	750.00	3.0
THE BOSTON COMPANY	039	PLCE	S	33,860	41.05	n/a	2-Mar	1,354.40	4.0
COPIA CAPITAL	031	PQ	S	50,000	6.33	n/a	n/a	1,750.00	3.5
OSS CAPITAL/KEVIN DANN	067	QCOM	B	25,000	38.93	n/a	n/a	750.00	3.0
ST OF MICHIAN	047	QCOM	S	115,000	38.86	n/a	n/a	3,450.00	3.0
OSS CAPITAL/KEVIN DANN	067	RGC	S	125,000	16.36	n/a	n/a	3,750.00	3.0
B BUCK CAPITAL MGMT		SHOO	S	3,600	44.31	n/a	n/a	non-brg	0.8
EXIS CAPITAL	044	SKS	B	25,000	7.49	n/a	n/a	1,250.00	5.0
COBALT	041	SLM	S	150,000	12.10	n/a	8-Mar	7,500.00	5.0
SCOPIA CAPITAL	048	SPY	S	68,478	114.32	n/a	n/a	2,739.12	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET/BLACKROCK	214	SSYS	B	4,700	27.27	n/a	n/a	188.00	4.0
f NICOLAS EVAN PAKLER	FID	SWN	B	85	42.41	n/a	8-Mar	0.00	0.0
HIGHFIELDS CAP MGMT	039	S	SS	200,000	3.40	n/a	n/a	8,000.00	4.0
f KAREN F LEDDY	FID	TEX	B	500	22.57	n/a	22-Feb	0.00	0.0
f MARK DEVEREAUX KAICH	FID	TIVO	B	700	17.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TJX	S	10,000	41.49	n/a	5-Mar	non-brg	4.0
f JOHN CAREY MAGUIRE	FID	TOL	B	600	19.74	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UBI FP	B	20,000	12.59	n/a	n/a	non-brg	0.6
B BUCK CAPITAL MGMT		WERN	B	10,000	22.84	n/a	n/a	non-brg	4.0
IMPALA ASSET MMT	012	WERN	B	3,251	22.76	n/a	n/a	130.04	4.0
FARALLON CAP MGMT	040	WLP	S	75,300	62.00	n/a	n/a	3,012.00	4.0
B BUCK CAPITAL MGMT	001	WRC	S	10,000	45.16	n/a	2-Mar	400.00	4.0
COPIA CAPITAL	031	XOM	S	46,804	66.36	n/a	n/a	1,638.14	3.5
B BUCK CAPITAL MGMT		XRT	C	450,000	39.59	n/a	n/a	non-brg	0.8
f KAREN F LEDDY	FID	ZLC	B	2500	2.67	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ZQK	B	50,000	3.09	n/a	5-Mar	non-brg	2.0

TOTAL (Trade Date):

103,545.89

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/05	OSS CAPITAL/KEVIN DANN	067	CCK	S	125,000	27.16	n/a	n/a	6250.00 5.0
AO,CRT	03/05	OSS CAPITAL/KEVIN DANN	067	CCK	S	125,000	27.16	n/a	n/a	6250.00 5.0
AO,CRT	03/05	OSS CAPITAL/KEVIN DANN	067	CRI	S	143	29.47	n/a	n/a	7.15 5.0
AO,	03/05	OSS CAPITAL/KEVIN DANN	067	CRI	S	24,857	29.47	n/a	n/a	745.71 3.0
AO,CXL	03/05	OSS CAPITAL/KEVIN DANN	067	CRI	S	25,000	29.47	n/a	n/a	1250.00 5.0
AO,	03/05	SUN AMERICA ASSET MGMT	030	ES	S	261,200	5.94	n/a	n/a	10448.00 4.0
AO,CRT	03/04	ADAGE CAPITAL MGMT	030	FL	B	250,000	14.00	n/a	4-Mar	7500.00 3.0
AO,CXL	03/04	ADAGE CAPITAL MGMT	030	FL	B	250,000	14.00	n/a	4-Mar	10000.00 4.0
AO,CXL	03/03	ADAGE CAPITAL MGMT	030	NAV	S	80,000	41.40	n/a	n/a	3200.00 4.0
AO,CRT	03/03	ADAGE CAPITAL MGMT	030	NAV	S	80,000	41.40	n/a	n/a	2400.00 3.0
AO,CRT	03/05	OSS CAPITAL/KEVIN DANN	067	PSA	B	3,581	86.49	n/a	n/a	179.05 5.0
AO,CXL	03/05	OSS CAPITAL/KEVIN DANN	067	PSA	B	10,000	86.49	n/a	n/a	500.00 5.0
AO,	03/05	OSS CAPITAL/KEVIN DANN	067	PSA	B	6,419	86.49	n/a	n/a	192.57 3.0
AO,CRT	03/05	THIRD COAST CAPITAL	035	ZLC	B	150,000	2.83	n/a	n/a	4500.00 3.0
AO,CXL	03/05	THIRD COAST CAPITAL	035	ZLC	B	150,000	2.83	n/a	n/a	7500.00 5.0
TOTAL (AO, CXL, CRT) :										3,522.48

TOTAL (Trade Date) :

103,545.89

TOTAL (AO, CXL, CRT):

3,522.48

TOTAL (RUN DATE) / NFS:

107,068.37

MRI Securities with Trade Activity on 8-Mar.
NONE

MRI Securities without Trade Activity on 8-Mar.
EL, ADM

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 8%
YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)