



Daily Compliance Activity Report

Trade Date: 06/28/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	B	200,000	25.47	n/a	12-Jun	8,000.00	4.0
GLG PARTNERS	034	AEO	B	50,000	25.38	n/a	12-Jun	2,500.00	5.0
STRATIX ASSEST MGMT	035	AM	B	10,000	28.49	n/a	n/a	500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	B	12,300	41.95	n/a	8-Jun	461.25	3.8
WEINTRAUB CAPITAL MGT.	040	ARO	SS	50,000	41.97	n/a	8-Jun	2,500.00	5.0
CROWLEY	061	ASD	B	500	59.01	31-May	25-Jun	0.00	0.0
PAKLER	N22	ASD	B	19	59.00	31-May	25-Jun	0.00	0.0
BUCK	015	BG	B	500	81.05	n/a	n/a	0.00	0.0
WHALE ROCK CAP	030	BONT	S	3,900	40.18	25-May	8-Jun	156.00	4.0
DURANGO INVESTMENTS	031	BRNC	B	20,000	16.45	n/a	n/a	800.00	4.0
FARALLON CAP MGMT	040	BSX	B	190,000	15.50	n/a	22-Apr	7,600.00	4.0
BULL PATH CAPITAL	044	CCI	B	25,000	35.34	n/a	n/a	1,250.00	5.0
THE JAY GOLDMAN	034	CHS	SS	31,000	25.06	31-May	8-Jun	1,240.00	4.0
CUMBERLAND	038	COH	SS	60,000	47.53	23-Feb	25-Apr	2,400.00	4.0
BINDER	007	CSCO	S	300	28.14	n/a	22-Mar	0.00	0.0
BACKUS	005	CSCT	B	2,000	15.00	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WENTWORTH HAUSER VIOLICK	035	CSE	B	100,000	24.63	n/a	n/a	5,000.00	5.0
BEAR STEARNS ASSET MGMT.	033	CVS	S	20,000	36.58	n/a	n/a	800.00	4.0
BACKUS	005	CVS	B	1,000	36.74	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	217	DAL	SS	30,000	19.72	n/a	n/a	1,500.00	5.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	56.58	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	DSW	B	30,000	35.33	7-May	7-Jun	1,200.00	4.0
BUCK	015	DUK	B	1,500	18.27	n/a	n/a	0.00	0.0
BUCK	015	ED	S	1,000	45.16	n/a	n/a	0.00	0.0
KERN	050	EFT	B	1,000	19.04	n/a	n/a	0.00	0.0
WHEELER	008	EXTR	B	15,000	3.95	n/a	22-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	FL	B	70,000	21.94	24-May	21-Jun	2,800.00	4.0
MAZAMA CAPITAL MGMT.	035	FMR	B	16,400	20.95	n/a	n/a	656.00	4.0
BUCK	015	FPRS	S	2,000	38.92	n/a	n/a	0.00	0.0
MAGNETAR FINANCIAL	031	GM	SS	25,000	37.90	4-May	4-May	1,000.00	4.0
BARR	096	GNSM	B	3,200	2.30	n/a	n/a	0.00	0.0
BUCK	015	GS	B	200	218.66	15-Jun	15-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	HCBK	S	2,000	12.36	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	IOSP	S	5,000	61.84	n/a	n/a	200.00	4.0
BACKUS	005	ISE	S	1,000	65.66	30-Apr	14-Jun	0.00	0.0
BLUE HARBOR	036	IVZ	S	4,000	26.21	14-Jun	25-Jun	200.00	5.0
GALLEON MGMT LP	033	IWM	C	5,000	83.79	n/a	n/a	250.00	5.0
MATRIX ASSET ADV.	036	JNJ	B	5,000	61.50	n/a	22-Apr	200.00	4.0
OGORMAN	146	KEGS	S	1,000	18.19	n/a	21-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	B	10,000	38.39	n/a	2-May	400.00	4.0
MS PRINCIPAL STRATEGIES	040	MAR	B	24,400	43.39	n/a	n/a	1,220.00	5.0
SCHOTTENFELD/KEVIN	046	M	B	15,000	39.55	17-May	8-Jun	750.00	5.0
SCOPUS FUND	039	NP	S	35,000	41.11	14-Jun	14-Jun	1,750.00	5.0
DAVIDSON KEMPNER ADVISOR	217	NWA	SS	120,000	22.49	n/a	n/a	6,000.00	5.0
CAPITAL GROWTH MGMT.	241	OII	B	40,000	53.11	n/a	n/a	2,000.00	5.0
KAICHER	064	ONNN	S	100	10.85	n/a	n/a	0.00	0.0
VAN KAMPEN ADV	031	ORCL	B	750,000	19.99	n/a	n/a	22,500.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	OXM	S	10,000	45.43	n/a	n/a	400.00	4.0
CAPITAL GROWTH MGMT.	241	PCU	S	20,000	92.70	n/a	n/a	1,000.00	5.0
MATRIX ASSET ADV.	036	PFE	B	5,000	25.54	n/a	31-Mar	200.00	4.0
PRINCIPLE CAPITAL	040	PLCE	S	101,500	52.04	12-Apr	8-Jun	4,060.00	4.0
THE JAY GOLDMAN	034	ROST	B	10,000	30.95	n/a	n/a	400.00	4.0
SCHOTTENFELD/KEVIN	046	SBUX	S	10,000	26.63	24-Apr	24-Apr	500.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	29.40	n/a	13-Mar	400.00	4.0
GALLEON MGMT LP	033	SPY	C	5,000	150.81	n/a	n/a	250.00	5.0
DE SHAW	040	SUNW	SS	500,000	5.12	n/a	n/a	15,000.00	3.0
LISTED ERR	099	S	B	15,000	21.06	n/a	n/a	0.00	0.0
LISTED ERR	099	S	S	15,000	21.05	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	TIF	B	100,000	52.59	1-Jun	13-Jun	4,000.00	4.0
KERN	050	TIN	B	100	61.33	14-Jun	14-Jun	0.00	0.0
PIVOT CAP	034	TJX	S	10,400	27.06	21-Mar	8-Jun	520.00	5.0
BACKUS	005	TYC	S	2,000	33.81	19-Jun	19-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	UAG	B	20,000	21.59	n/a	9-May	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	URBN	S	20,000	24.43	2-Apr	11-May	800.00	4.0
CLOVIS CAPITAL	012	USTR	SS	15,000	67.08	23-May	23-May	750.00	5.0
SANDLER O'NEILL ASSET	031	WDR	B	2,400	26.20	5-Mar	25-Jun	120.00	5.0
TOTAL (Trade Date):							105,233.25		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	06/27	BRIGHTPOINT CAP (BRIGMAIN)	067	AAP	B	20,000	40.22	n/a	n/a	800.00	4.0
AO,	06/27	GENERAL ELECTIC CO (GEICGE51)	033	C	S	9,923	50.85	n/a	14-Jun	446.54	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICGEI3)	033	C	S	428	50.85	n/a	14-Jun	19.26	4.5
AO,	06/27	GENERAL ELECTIC CO (GEIC8XA9)	033	C	S	219	50.85	n/a	14-Jun	9.86	4.5
AO,	06/27	GENERAL ELECTIC CO (GEIC8XA5)	033	C	S	15	50.85	n/a	14-Jun	0.68	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICGE9X)	033	C	S	6,988	50.85	n/a	14-Jun	314.46	4.5
AO,	06/27	GENERAL ELECTIC CO (GEIC8X7W)	033	C	S	750	50.85	n/a	14-Jun	33.75	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICZW80)	033	C	S	85	50.85	n/a	14-Jun	3.83	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICGH16)	033	C	S	413	50.85	n/a	14-Jun	18.59	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICZW35)	033	C	S	652	50.85	n/a	14-Jun	29.34	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICZW60)	033	C	S	453	50.85	n/a	14-Jun	20.39	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICZQ50)	033	C	S	4,709	50.85	n/a	14-Jun	211.91	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICZQ7D)	033	C	S	125	50.85	n/a	14-Jun	5.63	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICZQ92)	033	C	S	153	50.85	n/a	14-Jun	6.89	4.5
AO,	06/27	GENERAL ELECTIC CO (GEICZW59)	033	C	S	86	50.85	n/a	14-Jun	3.87	4.5
AO,CXL	06/27	MS PRINCIPAL STRATEGIES (MSPSMS)	040	CFC	B	4,000	35.50	n/a	n/a	1.78	0.0
AO,CRT	06/27	MS PRINCIPAL STRATEGIES (MSPSMS)	040	CFC	B	4,000	35.50	n/a	n/a	200.00	5.0
AO,	06/27	NICOLAS APPLGATE (APPL1696)	048	INXI	S	5,000	9.06	n/a	n/a	150.00	3.0
AO,CXL	06/27	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	LTM	B	10,000	53.77	n/a	n/a	400.00	4.0
AO,CRT	06/27	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	LTM	B	15,000	53.77	n/a	n/a	600.00	4.0
AO,CRT	06/27	STRAUSS ASSET MGMT (STRAJEFF)	040	TAST	S	15,000	15.21	n/a	n/a	750.00	5.0
AO,CXL	06/27	STRAUSS ASSET MGMT (STRAMS)	040	TAST	S	15,000	15.21	n/a	n/a	750.00	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/27	MS PRINCIPAL STRATEGIES (MSPSMS)	040	VLY	B	3,972	22.60	n/a	n/a	1.13	0.0
AO,CRT	06/27	MS PRINCIPAL STRATEGIES (MSPSMS)	040	VLY	B	3,972	22.60	n/a	n/a	198.60	5.0
TOTAL (AO, CXL, CRT) :										2,670.69	

TOTAL (Trade Date) :	105,233.25
TOTAL (AO, CXL, CRT) :	2,670.69

TOTAL (RUN DATE) / NFS :	107,903.94
---------------------------------	-------------------

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION