

## **Daily Compliance Activity Report** Trade Date: 04/15/2008

## POTENTIAL ANALYST TRADE VIOLATIONS: 0

					10.		11 12 12 13 1	TIEIDE VIO	
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CHARTER OAK	033	AFFY	S	15,744	13.42	n/a	n/a	787.20	5.0
COUGAR TRADING	044	ANF	В	5,000	70.51	10-Apr	11-Apr	250.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	70.20	10-Apr	11-Apr	250.00	5.0
STRONG/MARK GRONET	030	ANH	В	15,000	6.64	n/a	n/a	450.00	3.0
SANDLER CAPITAL	038	AVT	SS	150,000	28.41	n/a	n/a	9,000.00	6.0
MERRILL ASSET	032	AW	S	35 <b>,</b> 700	11.39	n/a	n/a	1,606.50	4.5
BUCK CAPITAL MGMT		AXE	В	10,000	62.18	28-Mar	4-Apr	non-brg	4.0
GAULT	063	AXE	В	1,000	61.04	28-Mar	4-Apr	0.00	0.0
FRIESS MGMT	033	BKC	S	260,900	27.17	n/a	15-Apr	10,436.00	4.0
BUCK CAPITAL MGMT		BRL	S	20,000	50.29	28-Feb	15-Apr	non-brg	4.0
BUCK CAPITAL MGMT		BRL	S	5,000	49.87	28-Feb	15-Apr	non-brg	1.0
BUCK CAPITAL MGMT	001	BYI	В	10,000	30.03	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		BYI	В	50,000	31.07	n/a	n/a	non-brg	4.0
THIRD COAST CAPITAL	035	СОН	S	15,000	30.91	4-Apr	4-Apr	750.00	5.0
CATAPULT	040	CPKI	S	30,000	14.78	n/a	15-Apr	1,200.00	4.0
COUGAR TRADING	044	CPKI	SS	15,000	14.76	n/a	15-Apr	750.00	5.0
MAGUIRE	090	C	В	7,500	22.75	16-Jan	14-Apr	0.00	0.0
	CHARTER OAK  COUGAR TRADING COUGAR TRADING  STRONG/MARK GRONET  SANDLER CAPITAL  MERRILL ASSET  BUCK CAPITAL MGMT GAULT  FRIESS MGMT  BUCK CAPITAL MGMT BUCK CAPITAL MGMT THIRD COAST CAPITAL  CATAPULT COUGAR TRADING	CHARTER OAK  COUGAR TRADING  COUGAR TRADING  COUGAR TRADING  O44  STRONG/MARK GRONET  O30  SANDLER CAPITAL  BUCK CAPITAL MGMT  GAULT  BUCK CAPITAL MGMT  O01  THIRD COAST CAPITAL  COUGAR TRADING  O40  O44	CHARTER OAK  CHARTER OAK  COUGAR TRADING  COUGAR TRADING  COUGAR TRADING  STRONG/MARK GRONET  SANDLER CAPITAL  MERRILL ASSET  BUCK CAPITAL MGMT  GAULT  BUCK CAPITAL MGMT  CATAPULT  COUGAR TRADING  O40  CPKI  COUGAR TRADING  O44  CPKI	Account RR # Symbol B/S  CHARTER OAK 033 AFFY S  COUGAR TRADING 044 ANF B COUGAR TRADING 044 ANF SS  STRONG/MARK GRONET 030 ANH B  SANDLER CAPITAL 038 AVT SS  MERRILL ASSET 032 AW S  BUCK CAPITAL MGMT AXE B GAULT 063 AXE B  FRIESS MGMT 033 BKC S  BUCK CAPITAL MGMT BRL S  THIRD COAST CAPITAL 035 COH S  CATAPULT 040 CPKI S  CATAPULT 040 CPKI S  COUGAR TRADING 044 CPKI SS	Account         RR #         Symbol         B/S         Shares           CHARTER OAK         033         AFFY         S         15,744           COUGAR TRADING         044         ANF         B         5,000           COUGAR TRADING         044         ANF         SS         5,000           STRONG/MARK GRONET         030         ANH         B         15,000           SANDLER CAPITAL         038         AVT         SS         150,000           MERRILL ASSET         032         AW         S         35,700           BUCK CAPITAL MGMT         AXE         B         10,000           FRIESS MGMT         033         BKC         S         260,900           BUCK CAPITAL MGMT         BRL         S         20,000           BUCK CAPITAL MGMT         BRL         S         5,000           BUCK CAPITAL MGMT         BYI         B         10,000           BUCK CAPITAL MGMT         BYI         B         50,000           THIRD COAST CAPITAL         035         COH         S         15,000           CATAPULT         040         CPKI         S         30,000           COUGAR TRADING         044         CPKI	Account         RR #         Symbol         B/S         Shares         Price           CHARTER OAK         033         AFFY         S         15,744         13.42           COUGAR TRADING         044         ANF         B         5,000         70.51           COUGAR TRADING         044         ANF         SS         5,000         70.20           STRONG/MARK GRONET         030         ANH         B         15,000         6.64           SANDLER CAPITAL         038         AVT         SS         150,000         28.41           MERRILL ASSET         032         AW         S         35,700         11.39           BUCK CAPITAL MGMT         AXE         B         10,000         62.18           GAULT         063         AXE         B         1,000         61.04           FRIESS MGMT         033         BKC         S         260,900         27.17           BUCK CAPITAL MGMT         BRL         S         20,000         50.29           BUCK CAPITAL MGMT         BRL         S         5,000         49.87           BUCK CAPITAL MGMT         BYI         B         10,000         30.03           BUCK CAPITAL MGMT         BYI <td>Account         RR #         Symbol         B/S         Shares         Price         MRI           CHARTER OAK         033         AFFY         S         15,744         13.42         n/a           COUGAR TRADING         044         ANF         B         5,000         70.51         10-Apr           COUGAR TRADING         044         ANF         SS         5,000         70.20         10-Apr           STRONG/MARK GRONET         030         ANH         B         15,000         6.64         n/a           SANDLER CAPITAL         038         AVT         SS         150,000         28.41         n/a           MERRILL ASSET         032         AW         S         35,700         11.39         n/a           BUCK CAPITAL MGMT         AXE         B         10,000         62.18         28-Mar           FRIESS MGMT         033         BKC         S         260,900         27.17         n/a           BUCK CAPITAL MGMT         BRL         S         20,000         50.29         28-Feb           BUCK CAPITAL MGMT         BRI         B         10,000         30.03         n/a           BUCK CAPITAL MGMT         BYI         B         10,000</td> <td>Account         RR #         Symbol         B/S         Shares         Price         MRI         Research           CHARTER OAK         033         AFFY         S         15,744         13.42         n/a         n/a           COUGAR TRADING         044         ANF         B         5,000         70.51         10-Apr         11-Apr           COUGAR TRADING         044         ANF         SS         5,000         70.20         10-Apr         11-Apr           STRONG/MARK GRONET         030         ANH         B         15,000         6.64         n/a         n/a           SANDLER CAPITAL         038         AVT         SS         150,000         28.41         n/a         n/a           MERRILL ASSET         032         AW         S         35,700         11.39         n/a         n/a           BUCK CAPITAL MGMT         AXE         B         10,000         62.18         28-Mar         4-Apr           FRIESS MGMT         033         BKC         S         260,900         27.17         n/a         15-Apr           BUCK CAPITAL MGMT         BRL         S         20,000         50.29         28-Feb         15-Apr           BUCK CAPITAL MGMT<td>Account         RR #         Symbol         B/S         Shares         Price         MRI         Research         Comm.           CHARTER OAK         033         AFFY         S         15,744         13.42         n/a         n/a         787.20           COUGAR TRADING         044         ANF         B         5,000         70.51         10-Apr         11-Apr         250.00           COUGAR TRADING         044         ANF         SS         5,000         70.20         10-Apr         11-Apr         250.00           STRONG/MARK GRONET         030         ANH         B         15,000         6.64         n/a         n/a         450.00           SANDLER CAPITAL         038         AVT         SS         150,000         28.41         n/a         n/a         1,606.50           MERRILL ASSET         032         AN         S         35,700         11.39         n/a         n/a         1,606.50           BUCK CAPITAL MGMT         AXE         B         10,000         62.18         28-Mar         4-Apr         non-brg           GAULT         063         AXE         B         1,000         61.04         28-Mar         4-Apr         non-brg</td></td>	Account         RR #         Symbol         B/S         Shares         Price         MRI           CHARTER OAK         033         AFFY         S         15,744         13.42         n/a           COUGAR TRADING         044         ANF         B         5,000         70.51         10-Apr           COUGAR TRADING         044         ANF         SS         5,000         70.20         10-Apr           STRONG/MARK GRONET         030         ANH         B         15,000         6.64         n/a           SANDLER CAPITAL         038         AVT         SS         150,000         28.41         n/a           MERRILL ASSET         032         AW         S         35,700         11.39         n/a           BUCK CAPITAL MGMT         AXE         B         10,000         62.18         28-Mar           FRIESS MGMT         033         BKC         S         260,900         27.17         n/a           BUCK CAPITAL MGMT         BRL         S         20,000         50.29         28-Feb           BUCK CAPITAL MGMT         BRI         B         10,000         30.03         n/a           BUCK CAPITAL MGMT         BYI         B         10,000	Account         RR #         Symbol         B/S         Shares         Price         MRI         Research           CHARTER OAK         033         AFFY         S         15,744         13.42         n/a         n/a           COUGAR TRADING         044         ANF         B         5,000         70.51         10-Apr         11-Apr           COUGAR TRADING         044         ANF         SS         5,000         70.20         10-Apr         11-Apr           STRONG/MARK GRONET         030         ANH         B         15,000         6.64         n/a         n/a           SANDLER CAPITAL         038         AVT         SS         150,000         28.41         n/a         n/a           MERRILL ASSET         032         AW         S         35,700         11.39         n/a         n/a           BUCK CAPITAL MGMT         AXE         B         10,000         62.18         28-Mar         4-Apr           FRIESS MGMT         033         BKC         S         260,900         27.17         n/a         15-Apr           BUCK CAPITAL MGMT         BRL         S         20,000         50.29         28-Feb         15-Apr           BUCK CAPITAL MGMT <td>Account         RR #         Symbol         B/S         Shares         Price         MRI         Research         Comm.           CHARTER OAK         033         AFFY         S         15,744         13.42         n/a         n/a         787.20           COUGAR TRADING         044         ANF         B         5,000         70.51         10-Apr         11-Apr         250.00           COUGAR TRADING         044         ANF         SS         5,000         70.20         10-Apr         11-Apr         250.00           STRONG/MARK GRONET         030         ANH         B         15,000         6.64         n/a         n/a         450.00           SANDLER CAPITAL         038         AVT         SS         150,000         28.41         n/a         n/a         1,606.50           MERRILL ASSET         032         AN         S         35,700         11.39         n/a         n/a         1,606.50           BUCK CAPITAL MGMT         AXE         B         10,000         62.18         28-Mar         4-Apr         non-brg           GAULT         063         AXE         B         1,000         61.04         28-Mar         4-Apr         non-brg</td>	Account         RR #         Symbol         B/S         Shares         Price         MRI         Research         Comm.           CHARTER OAK         033         AFFY         S         15,744         13.42         n/a         n/a         787.20           COUGAR TRADING         044         ANF         B         5,000         70.51         10-Apr         11-Apr         250.00           COUGAR TRADING         044         ANF         SS         5,000         70.20         10-Apr         11-Apr         250.00           STRONG/MARK GRONET         030         ANH         B         15,000         6.64         n/a         n/a         450.00           SANDLER CAPITAL         038         AVT         SS         150,000         28.41         n/a         n/a         1,606.50           MERRILL ASSET         032         AN         S         35,700         11.39         n/a         n/a         1,606.50           BUCK CAPITAL MGMT         AXE         B         10,000         62.18         28-Mar         4-Apr         non-brg           GAULT         063         AXE         B         1,000         61.04         28-Mar         4-Apr         non-brg

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
a	BUCK CAPITAL MGMT		DAI+PQ	С	474	6.65	n/a	n/a	non-brg	300.0
ß	BUCK CAPITAL MGMT		DAI	С	47,400	78.40	n/a	n/a	non-brg	3.0
a a	BUCK CAPITAL MGMT BUCK CAPITAL MGMT		DECK DECK	B B	15,309 4,691	106.79 110.72	n/a n/a	n/a n/a	non-brg	4.0 4.0
	S.A.C. CAPITAL MGMT.	044	DOW	SS	25,000	38.00	n/a	6-Feb	1,250.00	5.0
	EGS ASSOCIATES LP	091	DRI	В	8 <b>,</b> 500	33.77	n/a	15-Apr	510.00	6.0
	MATRIX ASSET ADV.	036	DVN	S	11,100	112.73	20-Mar	1-Apr	444.00	4.0
	MAGUIRE	090	DXD	S	1,000	55.90	n/a	n/a	0.00	0.0
	COLUMBIA PARTNERS	028	ESE	В	19 <b>,</b> 723	40.41	n/a	n/a	788.92	4.0
	GAULT	063	ETNDP	S	20	2.00	n/a	n/a	0.00	0.0
	SUNOVA CAPITAL	031	EV	В	25 <b>,</b> 000	31.83	9-Jan	15-Apr	1,250.00	5.0
	BALYASNY ASSET MGMT	044	EXP	В	20,000	36.51	n/a	n/a	1,000.00	5.0
	UBS OCONNOR	031	FII	В	60,000	32.82	14-Apr	15-Apr	1,800.00	3.0
	HEALY CIRCLE	044	FNM	SS	10,000	25.58	n/a	n/a	500.00	5.0
	KENNEDY CAPITAL	046	FOSL	В	40,450	31.78	13-Nov	9-Apr	1,618.00	4.0
	BUCK CAPITAL MGMT	001	GES	В	10,000	37.84	n/a	n/a	400.00	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	;/Shr.
	S.A.C. CAPITAL MGMT.	044	GE	SS	50,000	31.61	n/a	n/a	2,500.00	5.0
	HUNTER GLOBAL INVESTOR	067	GME	SS	100,000	55.09	n/a	n/a	5,000.00	5.0
	FIRST NY SEC/CHRIS	041	GM	SS	25,000	18.79	3-Jan	8-Apr	1,250.00	5.0
	J.W. SELIGMAN	041	GT	S	72 <b>,</b> 600	25.85	n/a	n/a	2,904.00	4.0
	LEHMAN PROPRIETARY TRAD	041	НСВК	В	50,000	17.40	n/a	n/a	2,000.00	4.0
	NEW JERSEY DIV OF INV.	220	HOC	В	90,000	44.28	n/a	n/a	3,600.00	4.0
	PIVOT CAP	034	IM	S	18 <b>,</b> 780	15.86	n/a	n/a	939.00	5.0
	MAGUIRE	090	IOM	S	30,400	3.81	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		IR	SS	50,000	42.92	n/a	n/a	non-brg	4.0
	NATIONAL BK OF CAN	031	JPM	S	20,000	41.90	17-Jan	14-Apr	800.00	4.0
	SYSTEMATIC FINANCIAL MGT	035	KCP	S	8,000	16.55	n/a	28-Sep	300.00	3.8
	SIGMA CAPITAL ASSOCIATES	237	KSS	SS	15,000	43.90	4-Jan	11-Apr	750.00	5.0
ß	BUCK CAPITAL MGMT		LEG	С	175,000	14.37	n/a	n/a	non-brg	4.0
	MERRILL ASSET	032	LMT	S	5 <b>,</b> 600	102.29	n/a	n/a	252.00	4.5
	COUGAR TRADING	044	LTD	S	5,000	17.91	n/a	11-Apr	250.00	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
a	BUCK CAPITAL MGMT		LVS+QN	С	500	5.20	n/a	n/a	non-brg	300.0
ß	BUCK CAPITAL MGMT		LVS+QO	С	500	8.10	n/a	n/a	non-brg	300.0
ß	BUCK CAPITAL MGMT		LVS	С	100,000	70.40	n/a	n/a	non-brg	3.0
ß	BUCK CAPITAL MGMT		LVS	С	25,000	70.09	n/a	n/a	non-brg	1.0
	VICTORIA INVESTORS LP	060	MCD	В	5,000	56.06	n/a	15-Apr	250.00	5.0
	MAGUIRE	090	MER	В	4,500	43.01	18-Jan	14-Apr	0.00	0.0
	MS PRINCIPAL STRATEGIES	041	MRK	В	120,000	41.97	n/a	n/a	6,000.00	5.0
	ROSEDALE CAPITAL	046	MYL	S	20,000	12.47	n/a	15-Apr	1,000.00	5.0
	MAGUIRE	090	MZZ	S	1,000	60.07	n/a	n/a	0.00	0.0
	BRANDWINE	028	М	В	23,100	22.83	7-Feb	11-Apr	924.00	4.0
	COUGAR TRADING	044	М	SS	5,000	22.81	7-Feb	11-Apr	250.00	5.0
	NATIONAL BK OF CAN	031	NTRS	В	15,000	67.43	16-Apr	14-Apr	600.00	4.0
	LISTED ERR	099	NWA	В	25,000	10.66	n/a	n/a	0.00	0.0
	LISTED ERR	099	NWA	S	25,000	10.66	n/a	n/a	0.00	0.0
	BALYASNY ASSET MGMT	044	OLN	В	31,700	20.92	n/a	25-Feb	1,585.00	5.0
ß	BUCK CAPITAL MGMT		OXM+SE	S	1,000	2.02	n/a	n/a	non-brg	300.0
ß	BUCK CAPITAL MGMT		PCAR	В	10,000	44.67	n/a	n/a	non-brg	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	BARR	096	PDFS	В	20,500	5.05	n/a	n/a	0.00	0.0
	SIGMA CAPITAL ASSOCIATES	237	PG	SS	10,000	69.98	11-Dec	27-Mar	500.00	5.0
	PERRY PARTNERS	041	PRU	В	5,000	75.90	n/a	n/a	150.00	3.0
	BARABOO GROWTH	031	PXD	S	10,000	56.32	9-Apr	9-Apr	500.00	5.0
	FIRST NEW YORK NICK	029	Q	S	5,000	4.43	n/a	n/a	250.00	5.0
	DIAMONDBACK CAP MGMT	232	RL	С	10,000	58.00	7-Feb	15-Feb	500.00	5.0
	KNOTT PARTNERS	044	RVI	S	100,000	3.85	n/a	n/a	2,000.00	2.0
	GREENLIGHT CAPITAL	041	SAI	S	140,200	18.90	n/a	n/a	7,010.00	5.0
	SERENGETI MGMT	030	SCHW	В	100,000	19.37	n/a	n/a	0.97	0.0
	MAGUIRE	090	SKF	S	1,500	117.27	n/a	n/a	0.00	0.0
	MAGUIRE	090	SRS	S	1,000	95.67	n/a	n/a	0.00	0.0
	THIRD COAST CAPITAL	035	SWIR	S	45,000	16.75	n/a	n/a	2,250.00	5.0
ß	BUCK CAPITAL MGMT		SWU+SC	С	800	1.32	n/a	n/a	non-brg	100.0
	GALLEON MGMT LP	033	S	S	10,000	6.35	n/a	n/a	500.00	5.0
	THIRD COAST CAPITAL	035	TIF	S	14,000	40.95	14-Jan	25-Mar	700.00	5.0

Account	RR #	Symbol	B/S	S Shar	es Price	MRI	Research	Comm.	¢/Shr.
WEINTRAUB CAPITAL MGT.	040	TJX	В	25,00	0 30.70	n/a	11-Apr	1,000.00	4.0
WHITTIER CAP	048	TJX	В	2,18	5 30.55	n/a	11-Apr	87.40	4.0
BUCK CAPITAL MGMT		TRLG	В	20,00	0 16.71	n/a	n/a	non-brg	4.0
MAGUIRE	090	TWM		s 1,00	0 80.84	n/a	n/a	0.00	0.0
KERN	050	USBPF		s 3	0 0.15	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		VCGH		s 10,00	0 4.64	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		VIRL		S 6,04	0 5.54	n/a	n/a	non-brg	1.0
MERRILL ASSET	032	VQ	В	19,90	0 13.09	n/a	n/a	895.50	4.5
MATRIX ASSET ADV.	036	WAG	В	2,60	0 35.43	n/a	n/a	104.00	4.0
BUCK CAPITAL MGMT		WBC	В	10,00	0 43.80	28-Mar	28-Mar	non-brg	4.0
CATAPULT	040	WFC		SS 10,00	0 27.56	n/a	n/a	500.00	5.0
BARR	096	WIND	В	2,50	0 8.25	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	YHOO	В	325 <b>,</b> 00	0 28.11	n/a	n/a	16,250.00	5.0
J L F ASSET MGMT	039	ZLC	В	49,10	0 18.89	n/a	n/a	1,964.00	4.0
JCK PARTNERS	067	ZLC		SS 15,00	0 18.99	n/a	n/a	750.00	5.0

TOTAL (Trade Date): 102,506.49

## Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CRT	04/11	STADIA CAPITAL	035	ARO	В	60,000	27.47	29-Nov	11-Apr	2400.00	4.0
AO,CXL	04/11	STADIA CAPITAL	035	ARO	В	60,000	27.47	29-Nov	11-Apr	2400.00	4.0
AO,CXL	04/11	IMPALA ASSET MMT	012	BG	В	149,800	103.11	n/a	n/a	4494.00	3.0
AO,CRT	04/11	IMPALA ASSET MMT	012	BG	В	170,000	103.14	n/a	n/a	5100.00	3.0
AO,	04/14	RHO CAPITAL MGMT	041	BTRNQ	S	15,000	0.01	n/a	n/a	6.00	0.0
AO,	04/14	RHO CAPITAL MGMT	041	CPST	S	130,000	2.72	n/a	n/a	3900.00	3.0
AO,CXL	04/14	LATEFF MGMT	035	PKG	В	5,500	21.15	7-Apr	7-Apr	550.00	10.0
AO, CRT	04/14	LATEFF MGMT	035	PKG	В	5,500	21.15	7-Apr	7-Apr	550.00	10.0
AO,CRT	04/11	DAVID J GREEN & CO	040	TKLC	S	6,810	13.01	n/a	22-Mar	272.40	4.0
	04/11	DAVID J GREEN & CO	040	TKLC	S	6,810	13.01	n/a	22-Mar	271.72	4.0

TOTAL (RUN DATE) / NFS:	107,019.17
TOTAL (AO, CXL, CRT):	4,512.68
TOTAL (Trade Date):	102,506.49

MRI Securities with Trade Activity on 15-Apr. NONE

MRI Securities without Trade Activity on 15-Apr. FRX

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 22%

## Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION