



# Daily Compliance Activity Report

Trade Date: 08/03/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>ABT</b>	<b>B</b>	<b>500</b>	<b>44.41</b>	<b>n/a</b>	<b>22-Apr</b>	<b>0.00</b>	<b>0.0</b>
MATRIX ASSET ADV.	036	ADI	S	1,000	27.43	n/a	n/a	40.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>B</b>	<b>30,000</b>	<b>14.30</b>	<b>25-Jun</b>	<b>3-Aug</b>	<b>1,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AGCO</b>	<b>S</b>	<b>5,000</b>	<b>32.53</b>	<b>29-Jun</b>	<b>29-Jul</b>	<b>non-brg</b>	<b>1.0</b>
CIRCLE T/SES PART	035	AN	S	55,000	20.75	3-Aug	3-Aug	2,200.00	4.0
SCHOTTENFELD ASSOC	041	AN	S	15,000	20.67	3-Aug	3-Aug	450.00	3.0
SCOPUS FUND	041	AN	S	100,000	20.67	3-Aug	3-Aug	5,000.00	5.0
LUCID PARTNERS	067	AOS	S	10,000	40.06	17-Jul	17-Jul	400.00	4.0
EATON VANCE MGMT	039	APA	B	57,964	86.80	31-Jul	3-Aug	1,738.92	3.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>ARGN</b>	<b>S</b>	<b>350</b>	<b>8.18</b>	<b>n/a</b>	<b>30-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AVP</b>	<b>B</b>	<b>70,000</b>	<b>32.38</b>	<b>3-Aug</b>	<b>3-Aug</b>	<b>2,800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AVY</b>	<b>B</b>	<b>40,000</b>	<b>26.80</b>	<b>28-Apr</b>	<b>31-Jul</b>	<b>non-brg</b>	<b>1.0</b>
<b>f BRIAN JOSEPH WANG</b>	<b>FID</b>	<b>AXL</b>	<b>B</b>	<b>750</b>	<b>2.69</b>	<b>n/a</b>	<b>30-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AXL</b>	<b>B</b>	<b>10,000</b>	<b>2.50</b>	<b>n/a</b>	<b>30-Jul</b>	<b>non-brg</b>	<b>1.0</b>
DIAMONDBACK CAP MGMT	232	AXL	S	75,000	2.66	n/a	30-Jul	2,250.00	3.0
HUNTER GLOBAL INVESTOR	067	AXP	B	150,000	28.64	n/a	29-Jul	7,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BAC</b>	<b>B</b>	<b>5000</b>	<b>15.10</b>	<b>11-May</b>	<b>20-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>BBY</b>	<b>B</b>	<b>100</b>	<b>37.77</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
HUNTER GLOBAL INVESTOR	067	BEN	SS	55,300	90.74	30-Jul	3-Aug	2,765.00	5.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BGU</b>	<b>S</b>	<b>7500</b>	<b>43.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MATRIX ASSET ADV.	036	BK	B	11,500	27.49	11-May	23-Jul	460.00	4.0
ROARING BLUE LION MGMT	012	BK	B	24,300	27.95	11-May	23-Jul	972.00	4.0
NEW JERSEY DIV OF INV.	030	BRY	S	30,000	24.96	n/a	n/a	1,200.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BWA</b>	<b>B</b>	<b>1400</b>	<b>33.73</b>	<b>3-Aug</b>	<b>3-Aug</b>	<b>0.00</b>	<b>0.0</b>
NEWCASTLE FUNDS	255	BWA	B	20,000	33.85	3-Aug	3-Aug	800.00	4.0
SCHOTTENFELD ASSOC	041	BWA	S	5,000	34.46	3-Aug	3-Aug	150.00	3.0
NICOLAS APPEGATE	048	BZ	B	100,000	2.50	n/a	n/a	3,000.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CAT</b>	<b>B</b>	<b>20,000</b>	<b>45.33</b>	<b>n/a</b>	<b>22-Jul</b>	<b>800.00</b>	<b>4.0</b>
NICOLAS APPEGATE	048	CCE	B	29,000	19.00	n/a	n/a	1,160.00	4.0
CASTLEROCK	040	CCI	S	77,988	28.80	n/a	n/a	3,119.52	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CLX</b>	<b>B</b>	<b>40,725</b>	<b>58.87</b>	<b>15-Jun</b>	<b>15-Jun</b>	<b>1,629.00</b>	<b>4.0</b>
MATRIX ASSET ADV.	036	CMCSK	S	800	14.11	n/a	n/a	32.00	4.0
ROARING BLUE LION MGMT	012	CMO	S	14,200	13.43	n/a	n/a	568.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	CNO	B	2000	3.41	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	COH	S	70,000	29.44	n/a	29-Jul	2,800.00	4.0
B BUCK CAPITAL MGMT		COLM	C	10,000	35.86	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT	001	CRI	S	50,000	27.39	n/a	n/a	2,000.00	4.0
MATRIX ASSET ADV.	036	CSCO	S	500	22.36	n/a	22-Mar	0.00	0.0
f JASON ERIC COHEN	FID	CVGI	S	209	3.45	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	CVGI	B	2000	2.80	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	CVGI	B	10000	2.77	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CVGI	B	7000	3.14	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	CVGI	B	1000	3.05	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	CVGI	B	800	2.62	n/a	n/a	0.00	0.0
f STEFFANIE CARMELA CA	FID	CVGI	B	160	2.83	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DAI	C	148,912	48.42	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	DDS	SS	50,000	11.03	n/a	n/a	2,000.00	4.0
f JOSEPH CIRO AMATURO	FID	DPTR	B	10000	1.99	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DSW	SS	30,000	12.54	28-Jul	3-Aug	1,200.00	4.0
f MICHAEL THOMAS NOLAN	FID	EGO	S	240	10.23	n/a	n/a	0.00	0.0
SCOPUS FUND	041	EMR	B	15,000	36.34	n/a	n/a	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEW JERSEY DIV OF INV.	030	EPR	S	20,000	27.47	n/a	n/a	800.00	4.0
HUNTER GLOBAL INVESTOR	067	ESRX	B	10,000	69.41	n/a	n/a	400.00	4.0
FIRST NEW YORK LEDDY	035	ETFC	S	10,000	1.46	n/a	n/a	600.00	6.0
PENNANT CAP MGMT	029	EXC	S	150,000	51.41	n/a	n/a	4,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>FII</b>	<b>B</b>	<b>20,000</b>	<b>24.80</b>	<b>3-Aug</b>	<b>3-Aug</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>S</b>	<b>10,000</b>	<b>26.40</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	F	C	50,000	8.51	3-Aug	3-Aug	2,000.00	4.0
CIRCLE T/SES PART	035	F	S	68,000	8.76	3-Aug	3-Aug	2,720.00	4.0
COOPER CREEK PART	028	F	SS	20,000	8.45	3-Aug	3-Aug	600.00	3.0
DIAMONDBACK CAP MGMT	232	F	S	250,000	8.53	3-Aug	3-Aug	0.00	0.0
TRELLUS PARTNERS LP	028	F	B	100,000	8.57	3-Aug	3-Aug	3,000.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>20,000</b>	<b>16.78</b>	<b>25-Jun</b>	<b>25-Jun</b>	<b>800.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GME</b>	<b>B</b>	<b>30,000</b>	<b>22.81</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
<b>f JOHN BARTMAN COLE</b>	<b>FID</b>	<b>GPK</b>	<b>B</b>	<b>1000</b>	<b>2.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
STRONG/MARK GRONET	030	GPRO	B	100,000	37.64	n/a	n/a	4,000.00	4.0
NEWCASTLE FUNDS	255	GT	B	50,000	17.76	n/a	n/a	2,000.00	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>HBI</b>	<b>S</b>	<b>225</b>	<b>19.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	HLF	B	30,000	35.03	n/a	n/a	1,200.00	4.0
SCOPIA CAPITAL	048	HURN	B	123,844	14.43	n/a	n/a	4,953.76	4.0
NEUBERGER BERMAN	036	IART	S	15,400	32.00	n/a	22-Apr	693.00	4.5
Ⓐ BUCK CAPITAL MGMT		ICON	S	20,000	17.99	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	IGT	B	100,000	20.67	n/a	27-Jul	4,000.00	4.0
Ⓐ BUCK CAPITAL MGMT		IMAX	S	20,000	9.09	n/a	n/a	non-brg	3.0
CSFB GLOBAL PROPRIETARY	041	IP	S	250,000	19.22	30-Jul	30-Jul	12,500.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	20,000	31.36	13-Apr	3-Aug	800.00	4.0
f JOSEPH CIRO AMATURO	FID	JNK	B	3000	36.74	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		JOSB	B	20,000	36.03	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	JPM	S	1,500	39.41	n/a	20-Jul	315.00	21.0
SIGMA CAPITAL ASSOCIATES	041	JWN	S	50,000	27.25	n/a	3-Aug	2,000.00	4.0
f ANTHONY NG	FID	KEY	S	400	6.03	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	KFT	SS	28,000	28.28	n/a	n/a	1,120.00	4.0
Ⓐ BUCK CAPITAL MGMT		KMX	B	50,000	16.23	n/a	10-Jan	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GREENLIGHT CAPITAL	041	KO	B	350,000	49.50	n/a	n/a	17,500.00	5.0
<b>f ALICE BEEBE LONGLEY</b>	<b>FID</b>	<b>KXI</b>	<b>B</b>	<b>200</b>	<b>50.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GREEN ARROW CAPITAL	034	LVS	S	50,000	9.75	n/a	31-Jul	2,500.00	5.0
TRELLUS PARTNERS LP	028	LVS	B	50,000	9.88	n/a	31-Jul	1,500.00	3.0
GREEN ARROW CAPITAL	034	MAR	SS	25,000	22.05	n/a	n/a	1,250.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>MCD</b>	<b>S</b>	<b>500</b>	<b>55.29</b>	<b>n/a</b>	<b>23-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MDRX</b>	<b>S</b>	<b>1500</b>	<b>17.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GREEN ARROW CAPITAL	034	MGM	B	35,000	7.20	n/a	8-Jul	1,750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>MHK</b>	<b>B</b>	<b>20,000</b>	<b>51.01</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
CIRCLE T/SES PART	035	MPEL	S	25,000	5.85	n/a	14-Jul	1,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NKE</b>	<b>SS</b>	<b>10,000</b>	<b>56.69</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
PENNANT CAP MGMT	029	NSR	SS	20,000	22.80	n/a	n/a	600.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NTY</b>	<b>B</b>	<b>10,000</b>	<b>37.04</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NWY</b>	<b>B</b>	<b>20,000</b>	<b>3.87</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>3.0</b>
BAIRD INVESTMENT	047	OII	S	7,400	53.23	n/a	n/a	370.00	5.0
CATAPULT	040	PBRA	B	142,000	35.34	n/a	n/a	7,100.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NICOLAS APPEGATE	048	PEP	S	9,000	56.13	n/a	n/a	360.00	4.0
ARAGON GLOBAL	041	PH	B	7,042	46.72	n/a	31-Jul	352.10	5.0
EPOCH INV MGMT	030	RE	S	20,100	81.47	n/a	n/a	603.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>20,000</b>	<b>63.97</b>	<b>28-Jul</b>	<b>28-Jul</b>	<b>800.00</b>	<b>4.0</b>
ACK ASSET	028	R	B	10,000	36.32	n/a	n/a	300.00	3.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SLB</b>	<b>B</b>	<b>500</b>	<b>56.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SLM</b>	<b>B</b>	<b>100,000</b>	<b>8.60</b>	<b>23-Apr</b>	<b>29-Jul</b>	<b>non-brg</b>	<b>1.0</b>
HIGHFIELDS CAP MGMT	039	SLM	B	110,000	8.61	23-Apr	29-Jul	4,400.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SPY</b>	<b>S</b>	<b>1000</b>	<b>99.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	030	SXT	S	20,000	25.25	n/a	n/a	800.00	4.0
ARAGON GLOBAL	041	TEX	B	20,524	16.02	n/a	24-Jul	1,026.20	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TEX</b>	<b>S</b>	<b>20,000</b>	<b>15.97</b>	<b>n/a</b>	<b>24-Jul</b>	<b>non-brg</b>	<b>1.0</b>
SIGMA CAPITAL ASSOCIATES	041	TGT	SS	25,000	42.85	10-Jul	22-Jul	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TWB</b>	<b>B</b>	<b>25,000</b>	<b>7.51</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>1.0</b>
DIAMONDBACK CAP MGMT	232	UTX	S	35,000	55.01	n/a	n/a	1,750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>VLO</b>	<b>S</b>	<b>60,000</b>	<b>18.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TYEE/TYGH	035	WDR	B	50,446	28.00	29-Jul	3-Aug	2,017.84	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>WFMI</b>	<b>C</b>	<b>35,535</b>	<b>24.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
NEW JERSEY DIV OF INV.	030	WWE	S	30,000	13.27	n/a	n/a	1,200.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>XLFI</b>	<b>SS</b>	<b>270,000</b>	<b>13.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
EATON VANCE MGMT	039	XOM	B	69,220	71.28	n/a	n/a	2,076.60	3.0
<b>f</b> JONATHAN RICHARD HAR	<b>FID</b>	<b>YGII</b>	<b>B</b>	<b>685</b>	<b>5.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>								<b>151,041.94</b>	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/31	ADAGE CAPITAL MGMT	030	AOS	SS	40,000	39.43	17-Jul	17-Jul	1600.00 4.0
AO,CXL	07/31	ADAGE CAPITAL MGMT	030	AOS	SS	40,000	39.43	17-Jul	17-Jul	1600.00 4.0
AO,CRT	07/31	ROYCE TRADING	216	AOS	B	30,000	39.40	17-Jul	17-Jul	1500.00 5.0
AO,CXL	07/31	ROYCE TRADING	216	AOS	B	30,000	39.40	17-Jul	17-Jul	1500.00 5.0
AO,CRT	07/31	COOPER CREEK PART	028	BDN	S	10,000	8.26	n/a	n/a	300.00 3.0
AO,CXL	07/31	COOPER CREEK PART	028	BDN	S	10,000	8.26	n/a	n/a	400.00 4.0
AO,CRT	07/31	SCOPUS FUND	041	BWA	B	25,000	32.40	3-Aug	3-Aug	1250.00 5.0
AO,CXL	07/31	SCOPUS FUND	041	BWA	B	25,000	32.40	3-Aug	3-Aug	1250.00 5.0
AO,CXL	07/31	FIRST NEW YORK LEDDY	035	DSW	B	1,500	13.42	28-Jul	3-Aug	90.00 6.0
AO,CXL	07/31	FIRST NEW YORK LEDDY	035	DSW	SS	1,500	13.48	28-Jul	3-Aug	90.00 6.0
AO,CRT	07/31	FIRST NYS/FENLIN	035	DSW	B	1,500	13.42	28-Jul	3-Aug	60.00 4.0
AO,CRT	07/31	FIRST NYS/FENLIN	035	DSW	SS	1,500	13.48	28-Jul	3-Aug	60.00 4.0
AO,CRT	07/31	SCOPUS FUND	041	F	B	400,000	7.61	3-Aug	3-Aug	20000.00 5.0
AO,CXL	07/31	SCOPUS FUND	041	F	B	400,000	7.61	3-Aug	3-Aug	20000.00 5.0
AO,	07/31	NICOLAS APPELEGATE	048	GCO	B	9,000	21.67	n/a	10-Jan	360.00 4.0
AO,	07/31	NICOLAS APPELEGATE	048	IVC	B	10,800	20.60	n/a	n/a	432.00 4.0
AO,CRT	07/31	SCOPUS FUND	041	KMT	SS	10,000	21.36	30-Jul	30-Jul	500.00 5.0
AO,CXL	07/31	SCOPUS FUND	041	KMT	SS	10,000	21.36	30-Jul	30-Jul	500.00 5.0
AO,CRT	07/31	CENTURION PARTNERS	041	MET	S	30,000	33.75	n/a	n/a	1200.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/31	CENTURION PARTNERS	041	MET	S	30,000	33.75	n/a	n/a	1500.00 5.0
AO,	07/31	NICOLAS APPELEGATE	048	OMX	B	30,800	9.24	n/a	15-Apr	924.00 3.0
AO,CXL	07/31	MILLENIUUM PARTNERS	028	WY	S	31,000	35.15	n/a	3-Aug	1240.00 4.0
AO,CRT	07/31	MILLENIUUM PARTNERS	028	WY	S	31,000	35.15	n/a	3-Aug	1240.00 4.0
AO,CRT	07/31	MILLENIUUM PARTNERS	028	WY	SS	29,000	35.10	n/a	3-Aug	1160.00 4.0
AO,CXL	07/31	MILLENIUUM PARTNERS	028	WY	SS	29,000	35.10	n/a	3-Aug	1160.00 4.0
AO,CRT	07/31	SCOPUS FUND	041	WY	B	30,000	35.28	n/a	3-Aug	1500.00 5.0
AO,CXL	07/31	SCOPUS FUND	041	WY	B	30,000	35.28	n/a	3-Aug	1500.00 5.0
AO,CRT	07/31	SCOPUS FUND	041	WY	SS	20,000	36.27	n/a	3-Aug	1000.00 5.0
AO,CXL	07/31	SCOPUS FUND	041	WY	SS	20,000	36.27	n/a	3-Aug	1000.00 5.0
AO,	07/31	PENN CAPITAL	243	ZQK	B	153,000	2.11	12-Mar	9-Jun	4590.00 3.0
AO,CXL	07/31	PENN CAPITAL	243	ZQK	B	153,000	2.11	12-Mar	9-Jun	4590.00 3.0

<b>TOTAL (AO, CXL, CRT) :</b>	<b>1,256.00</b>
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TOTAL (Trade Date):	151,041.94
TOTAL (AO, CXL, CRT):	1,256.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>152,297.94</b>
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<b>MRI Securities with Trade Activity on 3-Aug.</b> <b>AN, AVP, BWA, FII, F</b>
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MRI Securities without Trade Activity on 3-Aug.

AMG, CL, CYH, LM, PG

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 57%

YTD = 20%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)