



Daily Compliance Activity Report

Trade Date: 04/26/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		\$\$EURUSD	B	103,875	1.32	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		\$\$EURUSD	B	73,028	1.32	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		\$\$GBPUSD	B	6,806	1.62	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		\$\$GBPUSD	B	9,523	1.62	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		AAPL 10 C550 C		2	87.00	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		AAPL 10 C550 C		3	87.00	n/a	n/a	non-brg	300.0
f NICOLAS EVAN PAKLER	FID	AAPL	B	35	612.65	n/a	n/a	0.00	0.0
CAISSE DEPOT PLACEMENT Q	295	ABFS	B	20,000	17.46	n/a	n/a	600.00	3.0
MILLRACE FUND LP	028	ABG	B	5,000	26.72	n/a	18-Apr	200.00	4.0
APEX CAPITAL MGMT.	261	ABT	B	1,500	61.77	n/a	n/a	60.00	4.0
COLUMBUS CAP MGMT LLC	084	ACW	S	50,800	7.15	n/a	n/a	2,032.00	4.0
⚡ BUCK CAPITAL MGMT		AEO	B	60,000	18.22	n/a	2-Dec	non-brg	4.0
SIMMONS VENTURES	041	AEO	SS	5,000	18.17	n/a	2-Dec	200.00	4.0
Õ Alice Longley		AIG	B	971	103.00	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	261	ALKS	S	9,200	17.60	n/a	n/a	368.00	4.0
APEX CAPITAL MGMT.	261	AMRN	S	10,000	11.53	n/a	n/a	300.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		ANF	C	50,000	49.98	n/a	16-Feb	non-brg	4.0
APEX CAPITAL MGMT.	261	ARO	B	8,200	22.36	n/a	n/a	328.00	4.0
⌘ BUCK CAPITAL MGMT		ARO	S	50,000	22.18	n/a	n/a	non-brg	4.0
CHARTER OAK	033	ATI	B	25,000	42.83	n/a	26-Apr	1,250.00	5.0
BALTHAZAR CAPITAL PARTNERS LTD085		AXE	B	7,500	67.79	n/a	26-Apr	450.00	6.0
BROOKSIDE CAPITAL PART	029	BA	SS	173,792	77.03	n/a	13-Apr	6,951.68	4.0
APEX CAPITAL MGMT.	261	BDX	B	900	77.13	n/a	n/a	36.00	4.0
APEX CAPITAL MGMT.	261	BID	S	8,000	38.36	n/a	n/a	320.00	4.0
MELLON/BANK OF NEW YORK	046	BMJ	B	8,010	33.75	n/a	n/a	320.40	4.0
⌘ BUCK CAPITAL MGMT		BODY	S	10,000	29.01	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BODY	S	20,000	28.91	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BWA	C	6,500	79.20	n/a	18-Apr	non-brg	1.0
APEX CAPITAL MGMT.	261	BYI	B	1,700	47.69	n/a	n/a	68.00	4.0
VERITION	028	CAB	B	7,500	37.76	n/a	n/a	225.00	3.0
JP MORGAN VENTURES	046	CAKE	B	20,000	31.79	n/a	26-Apr	800.00	4.0
MELLON/BANK OF NEW YORK	046	CLF	B	14,130	63.65	n/a	n/a	565.20	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SONTERRA CAP	041	CLF	B	20,000	64.94	n/a	n/a	800.00	4.0
APEX CAPITAL MGMT.	261	CLR	B	700	88.93	n/a	n/a	28.00	4.0
f NICOLAS EVAN PAKLER	FID	CMG	S	27	412.81	n/a	20-Apr	0.00	0.0
B BUCK CAPITAL MGMT		CMN	S	1,600	22.70	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CMN	S	2,200	22.70	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CMN	S	20,903	22.70	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CMN	S	600	22.70	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CMN	S	700	22.70	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	261	CNQR	B	1,600	56.41	n/a	n/a	64.00	4.0
PRIMECAP MGMT	084	CPHD	S	4,000	38.05	n/a	n/a	160.00	4.0
APEX CAPITAL MGMT.	261	CRI	B	3,100	55.90	n/a	n/a	124.00	4.0
APEX CAPITAL MGMT.	261	CRM	B	200	152.62	n/a	n/a	8.00	4.0
B BUCK CAPITAL MGMT		CROX	B	25,100	19.99	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CROX	B	30,000	19.88	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	261	CTRP	S	8,500	21.51	n/a	n/a	340.00	4.0
f NICOLAS EVAN PAKLER	FID	C	S	350	33.66	n/a	17-Apr	0.00	0.0
HIGHBRIDGE CAP	041	DAL	S	49,000	10.41	n/a	n/a	1,470.00	3.0
B BUCK CAPITAL MGMT		DECK	S	20,000	56.46	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		DECK	S	20,000	56.46	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		DECK	S	30,000	56.46	n/a	n/a	non-brg	2.5
JP MORGAN VENTURES	046	DG	S	10,000	47.39	n/a	23-Mar	400.00	4.0
APEX CAPITAL MGMT.	261	DNR	S	900	18.73	n/a	n/a	36.00	4.0
APEX CAPITAL MGMT.	261	DRC	B	100	48.17	n/a	n/a	4.00	4.0
APEX CAPITAL MGMT.	261	DWA	S	2,700	17.84	n/a	n/a	108.00	4.0
APEX CAPITAL MGMT.	261	EAT	B	1,700	31.56	n/a	23-Apr	68.00	4.0
T. ROWE PRICE	033	ESIO	B	100	14.10	n/a	n/a	4.00	4.0
f KAREN F LEDDY	FID	ESSX	S	300	4.00	n/a	n/a	0.00	0.0
SANDLER CAPITAL	028	EV	SS	225,000	26.21	n/a	n/a	11,250.00	5.0
MELLON/BANK OF NEW YORK	046	EXC	B	7,320	38.64	n/a	n/a	292.80	4.0
APEX CAPITAL MGMT.	261	FCN	B	1,500	35.99	n/a	n/a	60.00	4.0
⌘ BUCK CAPITAL MGMT		FDO	S	8,000	67.42	n/a	3-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	SS	25,000	131.52	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	SS	5,000	131.32	n/a	n/a	non-brg	0.8
STATE TEACHERS RET SYS	047	FPO	S	5,000	13.15	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		GCO	S	10,000	74.70	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GCO	S	7,000	76.10	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GMCR	B	40,000	48.31	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	261	GOOG	S	800	613.65	n/a	n/a	32.00	4.0
⌘ BUCK CAPITAL MGMT		GPS 1 P15	C	37	0.85	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		GPS 1 P15	C	40	0.85	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		GPS	S	20,000	28.34	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	261	GRFS	S	10,500	9.48	n/a	n/a	315.00	3.0
MELLON/BANK OF NEW YORK	046	GRMN	B	19,230	47.18	n/a	n/a	769.20	4.0
APEX CAPITAL MGMT.	261	GTLS	B	600	75.15	n/a	n/a	24.00	4.0
⌘ BUCK CAPITAL MGMT		HMB SS	C	3,300	34.16	n/a	n/a	non-brg	5.1
⌘ BUCK CAPITAL MGMT		HMB SS	C	3,500	34.16	n/a	n/a	non-brg	5.1
CAISSE DEPOT PLACEMENT Q	295	HON	B	40,000	60.84	n/a	5-Apr	1,200.00	3.0
WAGONHOUND/NICOLAS	033	HSC	S	45,000	22.30	n/a	11-Apr	1,800.00	4.0
ROTHSCHILD ASSET	040	HSY	S	2,703	66.69	n/a	n/a	108.12	4.0
APEX CAPITAL MGMT.	261	IBM	B	900	205.12	n/a	n/a	36.00	4.0
APEX CAPITAL MGMT.	261	INCY	B	2,300	21.20	n/a	n/a	92.00	4.0
APEX CAPITAL MGMT.	261	ITW	B	1,700	57.37	n/a	25-Apr	68.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FEDERATED INV/KAUFMAN	029	JCI	B	200,000	31.64	n/a	23-Apr	10,000.00	5.0
⌘ BUCK CAPITAL MGMT		JCP	C	50,000	35.73	n/a	19-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JCP	SS	10,000	36.31	n/a	19-Apr	non-brg	4.0
APEX CAPITAL MGMT.	261	JIVE	B	2,800	23.76	n/a	n/a	112.00	4.0
FARALLON CAP MGMT	040	JNJ	B	100,000	64.44	n/a	n/a	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		JNY	S	172,900	11.51	n/a	26-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JNY	S	200,000	11.41	n/a	26-Apr	non-brg	4.0
DSG CAPITAL	030	JNY	B	25,000	11.14	n/a	26-Apr	750.00	3.0
APEX CAPITAL MGMT.	261	JOY	S	900	71.72	n/a	18-Apr	36.00	4.0
⌘ BUCK CAPITAL MGMT		KBH	B	50,000	8.43	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		KORS	B	20,000	44.00	n/a	n/a	non-brg	4.0
SOROS	036	KSS	SS	100,000	50.52	n/a	19-Apr	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		LIZ 7 C13	C	1,462	1.88	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		LIZ 7 C13	C	18	1.70	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		LIZ 7 C13	C	20	1.70	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		LIZ	S	201,763	13.39	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	80,000	13.28	n/a	7-Oct	non-brg	4.0
BALLAST CAP	040	LKQX	SS	9,100	31.00	n/a	n/a	455.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARROW HANLEY MEWHINNEY	031	LLL	S	26,700	72.51	n/a	n/a	934.50	3.5
PRIMECAP MGMT	084	LLY	S	7,000	40.84	n/a	n/a	280.00	4.0
APEX CAPITAL MGMT.	261	LTD	S	10,600	49.81	n/a	n/a	424.00	4.0
FARALLON CAP MGMT	040	MA	B	60,000	449.64	n/a	2-Apr	2,400.00	4.0
ß BUCK CAPITAL MGMT		MC FP	S	300	165.48	n/a	n/a	non-brg	24.8
ß BUCK CAPITAL MGMT		MC FP	S	400	165.48	n/a	n/a	non-brg	24.8
APEX CAPITAL MGMT.	261	MCD	B	1,400	95.40	n/a	23-Apr	56.00	4.0
APEX CAPITAL MGMT.	261	MDT	B	2,300	37.05	n/a	n/a	92.00	4.0
ß BUCK CAPITAL MGMT		MFRM	B	10,000	38.81	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MFRM	B	10,000	38.84	n/a	n/a	non-brg	4.0
TUDOR INVESTORS	028	MGA	SS	5,000	44.91	n/a	n/a	150.00	3.0
APEX CAPITAL MGMT.	261	MHK	B	900	65.87	n/a	n/a	36.00	4.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	1,545,000	110.79	n/a	n/a	non-brg	0.6
ß BUCK CAPITAL MGMT		MSMSBCM	C	2,016,987	110.79	n/a	n/a	non-brg	0.6
ß BUCK CAPITAL MGMT		MSMSBCM	C	45,307	110.82	n/a	n/a	non-brg	8.9
ß BUCK CAPITAL MGMT		MSMSBCM	C	49,141	110.82	n/a	n/a	non-brg	8.9
ß BUCK CAPITAL MGMT		MSMSBCM	SS	1,545,000	110.79	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MSMSBCM	SS	2,016,987	110.79	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		MSMSRASH	C	73,728	115.72	n/a	n/a	non-brg	9.3

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
APEX CAPITAL MGMT.	261	MSTR	S	700	142.20	n/a	n/a	28.00	4.0
B BUCK CAPITAL MGMT		MWV	S	63,034	31.76	n/a	25-Apr	non-brg	4.0
PILOT ADV	041	M	B	7,500	40.19	n/a	19-Apr	225.00	3.0
SOROS	036	M	B	100,000	40.59	n/a	19-Apr	4,000.00	4.0
APEX CAPITAL MGMT.	261	NBL	S	700	98.19	n/a	n/a	28.00	4.0
BAIRD INVESTMENT	047	NKE	B	2,625	110.40	n/a	23-Mar	131.25	5.0
MELLON/BANK OF NEW YORK	046	NLY	S	40,410	16.26	n/a	n/a	1,616.40	4.0
B BUCK CAPITAL MGMT		NUS	C	50,000	55.29	n/a	n/a	non-brg	4.0
BALLAST CAP	040	NWL	B	8,600	17.97	n/a	n/a	430.00	5.0
BRONSON POINT	036	ODFL	B	10,000	45.56	n/a	n/a	300.00	3.0
APEX CAPITAL MGMT.	261	OIH	B	700	40.64	n/a	n/a	14.00	2.0
BAIRD INVESTMENT	047	OII	B	6,375	50.49	n/a	n/a	318.75	5.0
BALLAST CAP	040	OI	S	24,000	23.55	n/a	n/a	1,200.00	5.0
APEX CAPITAL MGMT.	261	ONXX	S	2,700	46.73	n/a	n/a	108.00	4.0
APEX CAPITAL MGMT.	261	OXY	S	2,700	90.49	n/a	n/a	108.00	4.0
MELLON/BANK OF NEW YORK	046	PBRA	S	5,380	22.48	n/a	n/a	215.20	4.0

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COLUMBUS CIRCLE	036	PLXS	B	2,158	33.24	n/a	n/a	86.32	4.0
MELLON/BANK OF NEW YORK	046	PPG	S	9,470	105.40	n/a	9-Apr	378.80	4.0
APEX CAPITAL MGMT.	261	PPO	S	2,800	37.30	n/a	n/a	112.00	4.0
PRIMECAP MGMT	084	QGEN	B	14,000	16.46	n/a	n/a	560.00	4.0
APEX CAPITAL MGMT.	261	RAD	S	71,000	1.42	n/a	n/a	1,420.00	2.0
JET CAPITAL/CHRIS	041	RAI	B	48,948	40.41	n/a	n/a	1,468.44	3.0
APEX CAPITAL MGMT.	261	RAX	B	2,400	57.12	n/a	n/a	96.00	4.0
B BUCK CAPITAL MGMT		RCL	B	100,000	27.54	n/a	n/a	non-brg	4.0
Õ Alice Longley		RF	B	485	103.00	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	261	RGR	B	100	55.07	n/a	n/a	4.00	4.0
FARALLON CAP MGMT	040	RGR	SS	1,000	55.79	n/a	n/a	40.00	4.0
EMPYREAN CAPITAL	084	RKT	S	45,000	62.96	n/a	26-Apr	2,250.00	5.0
NEWLAND CAPITAL MGT	025	RKT	B	50,000	62.94	n/a	26-Apr	2,000.00	4.0
TUDOR INVESTORS	028	RKT	S	3,000	65.19	n/a	26-Apr	90.00	3.0
Õ Alice Longley		RRD	B	487	102.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		RYL	S	30,000	20.60	n/a	n/a	non-brg	4.0
VERITION	028	SBUX	B	7,500	59.81	n/a	23-Apr	225.00	3.0

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PRIMECAP MGMT	084	SCHW	B	5,000	14.32	n/a	n/a	200.00	4.0
PRIMECAP MGMT	084	SCHW	S	20,000	14.27	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		SCSS	B	10,000	29.39	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SCSS	B	10,000	29.39	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	261	SFLY	S	9,100	31.15	n/a	n/a	364.00	4.0
CAERUS GLOBAL	041	SFLY	S	20,000	31.19	n/a	n/a	800.00	4.0
APEX CAPITAL MGMT.	261	SGEN	B	2,300	19.85	n/a	n/a	92.00	4.0
M&I FUNDS	047	SHPGY	S	4,922	98.75	n/a	26-Apr	196.88	4.0
COLUMBUS CIRCLE	036	SIMO	S	6,565	21.30	n/a	n/a	262.60	4.0
B BUCK CAPITAL MGMT		SKUL	B	30,000	15.38	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	261	SPLK	B	1,400	34.66	n/a	n/a	56.00	4.0
APEX CAPITAL MGMT.	261	SWFT	S	2,800	10.18	n/a	n/a	112.00	4.0
PEAK INV LLC	084	SWK	B	210,400	73.73	n/a	n/a	8,416.00	4.0
APEX CAPITAL MGMT.	261	TFM	B	1,400	51.91	n/a	n/a	56.00	4.0
f DAEIL CHA	FID	TMF	S	5	64.04	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TPX	B	10,000	59.63	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TPX	B	10,000	59.77	n/a	n/a	non-brg	4.0

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APEX CAPITAL MGMT.	261	TRIP	B	2,800	33.95	n/a	n/a	112.00	4.0
FORTRESS INVESTMENT (US)	036	TYC	S	56,000	56.78	n/a	28-Mar	2,240.00	4.0
HIGHBRIDGE CAP	041	UAL	SS	34,000	22.08	n/a	n/a	1,020.00	3.0
f DAEIL CHA	FID	UVXY	B	23	12.81	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	261	VLO	S	2,700	24.38	n/a	n/a	108.00	4.0
B BUCK CAPITAL MGMT		WBC	C	37,500	61.61	n/a	26-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		WBC	S	80,247	61.67	n/a	26-Apr	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	WMS	B	600	24.20	n/a	13-Jun	0.00	0.0
f KAREN F LEDDY	FID	WNC	B	1200	8.49	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	261	WPI	S	200	74.99	26-Apr	26-Apr	8.00	4.0
JET CAPITAL/CHRIS	041	WPI	SS	75,000	74.91	26-Apr	26-Apr	2,250.00	3.0
APEX CAPITAL MGMT.	261	WPRT	S	100	30.15	n/a	n/a	4.00	4.0
APEX CAPITAL MGMT.	261	WTW	S	1,400	75.43	n/a	n/a	56.00	4.0
APEX CAPITAL MGMT.	261	WYNN	S	2,900	132.37	n/a	13-Jun	116.00	4.0
LONG POND	029	WY	B	215,000	20.86	n/a	16-Apr	8,600.00	4.0
APEX CAPITAL MGMT.	261	XRT	B	1,400	60.77	n/a	n/a	28.00	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß	BUCK CAPITAL MGMT		XRT	SS	140,000	60.53	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		XRT	SS	82,900	60.61	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		XRT	SS	90,100	60.61	n/a	n/a	non-brg	1.0
	APEX CAPITAL MGMT.	261	YELP	B	900	21.16	n/a	n/a	36.00	4.0
	APEX CAPITAL MGMT.	261	YNDX	S	7,900	23.85	n/a	n/a	316.00	4.0
f	JOHN BARTMAN COLE	FID		B	50000	0.11	n/a	n/a	0.00	0.0
f	JOHN BARTMAN COLE	FID		S	500	10.95	n/a	n/a	0.00	0.0
TOTAL (Trade Date):									102,413.54	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	04/25	WINSLOW CAPITAL	030	ADSK	S	1,900	39.06	n/a	n/a	57.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	ADSK	S	1,900	39.06	n/a	n/a	76.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	AMTD	S	3,400	18.53	n/a	n/a	102.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	AMTD	S	3,400	18.53	n/a	n/a	136.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	AMZN	S	600	193.71	n/a	n/a	18.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	AMZN	S	600	193.71	n/a	n/a	24.00	4.0
AO,CXL	04/25	WINSLOW CAPITAL	030	BIIB	S	900	130.17	n/a	n/a	36.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	BIIB	S	900	130.17	n/a	n/a	27.00	3.0
AO,CRT	04/25	WINSLOW CAPITAL	030	CELG	S	1,300	78.13	n/a	n/a	39.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	CELG	S	1,300	78.13	n/a	n/a	52.00	4.0
AO,CRT	04/25	SCOPUS FUND	041	COH	B	10,000	71.95	n/a	25-Apr	400.00	4.0
AO,CXL	04/25	SCOPUS FUND	041	COH	B	10,000	71.95	n/a	25-Apr	400.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	COST	S	1,000	87.21	n/a	n/a	30.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	COST	S	1,000	87.21	n/a	n/a	40.00	4.0
AO,CXL	04/25	WINSLOW CAPITAL	030	CTSH	S	2,800	72.05	n/a	n/a	112.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	CTSH	S	2,800	72.05	n/a	n/a	84.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	EBAY	S	100	39.77	n/a	n/a	4.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	EBAY	S	100	39.77	n/a	n/a	3.00	3.0
AO,CRT	04/25	WINSLOW CAPITAL	030	ESRX	S	2,400	57.26	n/a	n/a	72.00	3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/25	WINSLOW CAPITAL	030	ESRX	S	2,400	57.26	n/a	n/a	96.00 4.0
AO,CXL	04/25	WINSLOW CAPITAL	030	FOSL	S	500	127.97	n/a	n/a	20.00 4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	FOSL	S	500	127.97	n/a	n/a	15.00 3.0
AO,CRT	04/25	WINSLOW CAPITAL	030	GOOG	S	300	607.47	n/a	n/a	9.00 3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	GOOG	S	300	607.47	n/a	n/a	12.00 4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	INTU	S	1,300	57.39	n/a	n/a	39.00 3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	INTU	S	1,300	57.39	n/a	n/a	52.00 4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	ISRG	S	200	575.77	n/a	n/a	6.00 3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	ISRG	S	200	575.77	n/a	n/a	8.00 4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	KORS	S	800	41.08	n/a	n/a	24.00 3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	KORS	S	800	41.08	n/a	n/a	32.00 4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	LNKD	S	600	100.86	n/a	n/a	18.00 3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	LNKD	S	600	100.86	n/a	n/a	24.00 4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	MSFT	S	3,600	32.20	n/a	n/a	108.00 3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	MSFT	S	3,600	32.20	n/a	n/a	144.00 4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	NTAP	S	2,200	38.87	n/a	n/a	66.00 3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	NTAP	S	2,200	38.87	n/a	n/a	88.00 4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	ORCL	S	5,400	28.62	n/a	n/a	162.00 3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	ORCL	S	5,400	28.62	n/a	n/a	216.00 4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	ORLY	S	100	95.65	n/a	n/a	3.00 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	04/25	WINSLOW CAPITAL	030	ORLY	S	100	95.65	n/a	n/a	4.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	PCLN	S	200	708.26	n/a	n/a	6.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	PCLN	S	200	708.26	n/a	n/a	8.00	4.0
AO,	04/25	COLUMBUS CIRCLE	036	PLXS	B	5,231	32.21	n/a	n/a	191.26	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	PRGO	S	700	104.73	n/a	24-Apr	28.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	PRGO	S	700	104.73	n/a	24-Apr	21.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	QCOM	S	4,400	62.91	n/a	n/a	176.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	QCOM	S	4,400	62.91	n/a	n/a	132.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	SBUX	S	2,300	59.22	n/a	23-Apr	92.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	SBUX	S	2,300	59.22	n/a	23-Apr	69.00	3.0
AO,CRT	04/25	WINSLOW CAPITAL	030	SHPGY	S	900	97.43	n/a	26-Apr	27.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	SHPGY	S	900	97.43	n/a	26-Apr	36.00	4.0
AO,CXL	04/25	WINSLOW CAPITAL	030	ULTA	S	400	89.37	n/a	n/a	16.00	4.0
AO,CRT	04/25	WINSLOW CAPITAL	030	ULTA	S	400	89.37	n/a	n/a	12.00	3.0
AO,CRT	04/25	WINSLOW CAPITAL	030	VRSN	S	1,300	41.66	n/a	n/a	39.00	3.0
AO,CXL	04/25	WINSLOW CAPITAL	030	VRSN	S	1,300	41.66	n/a	n/a	52.00	4.0
TOTAL (AO, CXL, CRT) :										-204.74	

TOTAL (Trade Date):	102,413.54
TOTAL (AO, CXL, CRT):	-204.74

TOTAL (RUN DATE) / NFS:	102,208.80
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	04/24	BUCK CAPITAL MGMT.	SFER IM	S	20,000	20.06	n/a	n/a	601.79
CRT	04/24	BUCK CAPITAL MGMT	SFER IM	S	20,000	20.06	n/a	n/a	601.79 3.0

MRI Securities with Trade Activity on 26-Apr.

WPI

MRI Securities without Trade Activity on 26-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)