



Daily Compliance Activity Report

Trade Date: 07/21/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SANDLER CAPITAL	038	ACI	SS	140,000	16.25	n/a	n/a	8,400.00	6.0
BUCK CAPITAL MGMT	001	AEO	B	20,000	14.21	25-Jun	10-Jul	800.00	4.0
COUGAR TRADING	044	AEO	S	20,000	14.37	25-Jun	10-Jul	1,000.00	5.0
B BUCK CAPITAL MGMT		AGCO	S	10,000	31.08	29-Jun	29-Jun	non-brg	1.0
MORTAR ROCK	033	ALV	B	5,000	34.09	10-Jun	16-Jul	200.00	4.0
f ROBERT M DANIELS	FID	AMD	S	500	4.01	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	AMG	S	15,000	62.15	5-Jun	21-Jul	750.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	140,000	26.27	3-Jun	10-Jul	5,600.00	4.0
COUGAR TRADING	044	ARO	SS	10,000	37.50	n/a	10-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	AVP	B	105,000	29.41	2-Jun	2-Jun	4,200.00	4.0
B BUCK CAPITAL MGMT		AVP	C	25,000	29.18	2-Jun	2-Jun	non-brg	2.5
LAGRANGE CAP ADV	048	AXL	B	6,700	1.36	n/a	9-Jul	201.00	3.0
BUCK CAPITAL MGMT	001	BBY	S	20,000	36.35	n/a	27-Jul	800.00	4.0
f JOSEPH CIRO AMATURO	FID	BGZ	B	1000	30.59	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	BHP	SS	10,000	58.76	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		BIG	B	30,000	21.66	28-May	6-Jul	non-brg	4.0
CATAPULT	040	BJS	SS	25,000	14.21	n/a	n/a	1,250.00	5.0
PHILADELPHIA FINANCIAL	033	BLK	S	7,445	180.43	21-Jul	21-Jul	297.80	4.0
f JOSEPH CIRO AMATURO	FID	BMV	S	3000	19.95	n/a	n/a	0.00	0.0
NORTHPOINT	030	BRCD	B	41,750	8.64	n/a	n/a	1,252.50	3.0
B BUCK CAPITAL MGMT		BYI	S	10,000	34.51	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		BYI	S	40,000	34.28	n/a	n/a	non-brg	1.0
CATAPULT	040	CAKE	S	45,000	17.52	29-May	21-Jul	1,800.00	4.0
f JOSEPH CIRO AMATURO	FID	CAT	S	1000	40.46	n/a	10-Jun	0.00	0.0
f MARC PAUL LUCHANSKY	FID	CAT	B	1000	38.74	n/a	10-Jun	0.00	0.0
f MARC PAUL LUCHANSKY	FID	CAT	S	1000	39.60	n/a	10-Jun	0.00	0.0
f JOSEPH CIRO AMATURO	FID	CHU	B	2000	14.60	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CMG	SS	2,462	88.80	14-May	21-Jul	non-brg	1.0
STRONG/MARK GRONET	030	CMO	S	5,000	13.20	n/a	n/a	200.00	4.0
COUGAR TRADING	044	COH	B	5,000	27.22	n/a	16-Jul	250.00	5.0
f JOEL GIFFORD TISS	FID	CVGI	B	3388	1.40	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	CVGI	B	10000	1.45	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STRONG/MARK GRONET	030	DAL	B	50,000	5.99	n/a	n/a	1,500.00	3.0
JMP ASSET MGMT	210	DPZ	B	7,100	8.33	n/a	24-Apr	284.00	4.0
THE BOSTON COMPANY	039	EL	S	6,430	34.11	5-May	5-May	257.20	4.0
REICH AND TANG	067	ESL	B	3,800	28.08	n/a	n/a	190.00	5.0
LISTED ERR	099	ESL	S	200	28.08	n/a	n/a	0.00	0.0
REICH AND TANG	067	ETH	B	16,000	11.82	n/a	n/a	800.00	5.0
f FRANCIS MICHAEL MCCA	FID	ETN	S	100	48.80	20-Jul	20-Jul	0.00	0.0
PHILADELPHIA FINANCIAL	033	EV	C	19,209	25.70	19-May	21-Jul	768.36	4.0
STRONG/MARK GRONET	030	FST	B	24,400	14.14	n/a	n/a	976.00	4.0
BUCK CAPITAL MGMT	001	HBI	B	20,000	15.15	n/a	n/a	800.00	4.0
CATAPULT	040	HERO	SS	100,000	3.84	n/a	n/a	4,000.00	4.0
CATAPULT	040	HLX	B	20,000	11.51	n/a	n/a	1,000.00	5.0
RBC CAPITAL MARKETS	040	INT	SS	7,500	40.86	n/a	n/a	300.00	4.0
BNP PARIBAS SEC	040	IP	B	25,000	17.62	21-Jul	21-Jul	1,000.00	4.0
ELM RIDGE ADVISORS	044	IP	S	286,300	17.61	21-Jul	21-Jul	11,452.00	4.0
MILLENIUM PARTNERS	028	IP	S	15,000	17.53	21-Jul	21-Jul	750.00	5.0
RBC CAPITAL MARKETS	040	IP	B	20,000	17.25	21-Jul	21-Jul	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	IP	B	20,000	17.42	21-Jul	21-Jul	0.00	0.0
LISTED ERR	099	IP	S	20,000	17.25	21-Jul	21-Jul	0.00	0.0
BASIX	033	IR	B	48,000	23.15	n/a	1-Jul	1,440.00	3.0
⚡ BUCK CAPITAL MGMT		JCG	B	20,000	27.66	30-Jan	30-Jan	non-brg	4.0
⚡ ANTHONY NG	FID	KEY	B	200	4.67	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		LEN	B	40,000	9.96	n/a	n/a	non-brg	1.0
BASIX	033	LM	B	42,000	25.82	25-Jun	21-Jul	1,260.00	3.0
KEEFE BRUYETTE WOODS A	045	LM	SS	6,700	26.38	25-Jun	21-Jul	335.00	5.0
⚡ BUCK CAPITAL MGMT		LVS	S	50,000	10.00	n/a	14-Jul	non-brg	4.0
⚡ MANNY I KORMAN	FID	MAR	B	5000	19.81	n/a	n/a	0.00	0.0
SCOPUS FUND	041	MMM	S	70,000	64.36	4-Jun	4-Jun	3,500.00	5.0
⚡ BUCK CAPITAL MGMT		MNRO	B	20,000	27.85	n/a	n/a	non-brg	1.0
RBC CAPITAL MARKETS	040	MON	S	10,000	80.59	n/a	26-Jun	400.00	4.0
⚡ BUCK CAPITAL MGMT		MPEL	S	100,000	5.20	n/a	14-Jul	non-brg	3.0
JENNISON	032	MSFT	B	26,700	24.75	n/a	3-Apr	987.90	3.7
COUGAR TRADING	044	M	SS	5,000	12.46	n/a	10-Jul	250.00	5.0
⚡ BUCK CAPITAL MGMT		NTY	B	11,700	30.70	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		NWY	B	20,000	3.04	30-Jan	30-Jan	non-brg	3.0
CATAPULT	040	PEP	S	20,000	55.90	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		PERY	S	42,153	8.17	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		PKG	S	10,000	20.78	21-Jul	21-Jul	non-brg	1.0
SCOPUS FUND	041	PKG	S	39,000	20.09	21-Jul	21-Jul	1,950.00	5.0
B BUCK CAPITAL MGMT		PLCE	B	10,000	28.80	19-Mar	10-Jul	non-brg	1.0
B BUCK CAPITAL MGMT		PNRA	S	50,000	52.95	n/a	21-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		POOL	SS	20,000	18.22	n/a	n/a	non-brg	4.0
OZ MGMT	040	POT	SS	50,261	90.86	n/a	n/a	1,507.83	3.0
BUCK CAPITAL MGMT	001	PSS	S	50,000	14.41	n/a	n/a	2,000.00	4.0
REICH AND TANG	067	PSS	B	13,000	14.50	n/a	n/a	650.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	20,000	31.74	20-Apr	21-May	800.00	4.0
JMP ASSET MGMT	210	RHI	SS	6,000	23.36	n/a	n/a	300.00	5.0
BUCK CAPITAL MGMT	001	RL	S	20,000	56.22	25-Jun	25-Jun	800.00	4.0
B BUCK CAPITAL MGMT		RMS FP	C	20,000	145.84	n/a	n/a	non-brg	7.3
REICH AND TANG	067	RRD	B	10,500	12.56	n/a	n/a	525.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f IAN L MILLER	FID	SDS	B	15	51.52	n/a	n/a	0.00	0.0
KARSCH CAPITAL	034	SGP	B	110,875	26.51	n/a	n/a	3,326.25	3.0
B BUCK CAPITAL MGMT		SLM	S	100,000	9.42	23-Apr	20-Jul	non-brg	3.0
SIGMA CAPITAL ASSOCIATES	041	SPLS	B	25,000	20.91	n/a	27-Jul	1,000.00	4.0
CATAPULT	040	SPN	B	50,000	18.11	n/a	n/a	2,500.00	5.0
SACC-SCHWARTZ	044	SPY	B	50,000	94.65	n/a	n/a	2,000.00	4.0
REICH AND TANG	067	SUG	B	7,000	18.85	n/a	n/a	350.00	5.0
CATAPULT	040	SYK	B	25,000	39.44	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		TEX	S	10,000	14.32	n/a	12-Jun	non-brg	1.0
SUFFOLK CAPITAL MGMT	040	TGT	B	208,300	39.62	10-Jul	10-Jul	8,332.00	4.0
B BUCK CAPITAL MGMT		TIN	S	10,000	15.04	21-Jul	21-Jul	non-brg	1.0
MILLENIUM PARTNERS	028	TIN	S	20,000	14.91	21-Jul	21-Jul	1,000.00	5.0
CATAPULT	040	TSN	S	50,000	11.50	n/a	n/a	2,000.00	4.0
f MANNY I KORMAN	FID	UNG	B	5000	13.37	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		URBN	B	10,000	21.28	30-Jan	30-Jan	non-brg	4.0
RBC CAPITAL MARKETS	040	VALE	B	19,976	19.05	n/a	n/a	799.04	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE/MS OLDS	041	VFC	SS	33,439	60.33	n/a	n/a	1,337.56	4.0
CAVU CAP ADV	028	VSEA	B	30,000	28.86	n/a	n/a	1,500.00	5.0
B BUCK CAPITAL MGMT		WFT	B	20,000	19.13	n/a	n/a	non-brg	4.0
CAVU CAP ADV	028	WFT	B	50,000	18.93	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	WRC	S	20,000	34.60	13-May	13-May	800.00	4.0
BUCK CAPITAL MGMT	001	WWW	SS	10,000	22.83	n/a	13-Mar	400.00	4.0
B BUCK CAPITAL MGMT		XLF	SS	290,000	12.06	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		XLY	SS	330,600	24.17	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		XRT	SS	120,600	28.95	n/a	n/a	non-brg	2.0
TOTAL (Trade Date):								99,379.44	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/20	ARAGON GLOBAL	041	AAPL	S	9,112	153.23	n/a	n/a	455.60 5.0
AO,CXL	07/20	SOLARIS INV MGMT	029	AEO	B	200	14.47	25-Jun	10-Jul	10.00 5.0
AO,CRT	07/20	SOLARIS INV MGMT	029	AEO	B	200	14.47	25-Jun	10-Jul	10.00 5.0
AO,	07/20	ROTHSCHILD ASSET	040	BIO	S	5,920	71.49	n/a	n/a	266.40 4.5
AO,CRT	07/20	MILLENIUM PARTNERS	028	DRI	S	25,000	34.01	19-Mar	15-Jul	1000.00 4.0
AO,CXL	07/20	MILLENIUM PARTNERS	028	DRI	S	25,000	34.01	19-Mar	15-Jul	1000.00 4.0
AO,CRT	07/20	IRONBOUND CAPITAL	012	EXH	B	150,000	16.73	27-May	1-Jul	4500.00 3.0
AO,CXL	07/20	IRONBOUND CAPITAL	012	EXH	B	150,000	16.73	27-May	1-Jul	6000.00 4.0
AO,	07/20	ARAGON GLOBAL	041	UNP	B	12,472	58.28	n/a	n/a	623.60 5.0
AO,CRT	07/20	JP MORGAN VENTURES	046	WEN	S	40,000	4.47	n/a	10-Jul	1200.00 3.0
AO,CXL	07/20	JP MORGAN VENTURES	046	WEN	S	40,000	4.47	n/a	10-Jul	1600.00 4.0
TOTAL (AO, CXL, CRT) :										-554.40

TOTAL (Trade Date) :	99,379.44
TOTAL (AO, CXL, CRT) :	-554.40

TOTAL (RUN DATE) / NFS:	98,825.04
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MRI Securities with Trade Activity on 21-Jul.

BLK, IP, PKG, TIN

MRI Securities without Trade Activity on 21-Jul.

JCI

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 35%

YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)