



## Daily Compliance Activity Report

Trade Date: 02/25/2010

### POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>B</b>	<b>40,000</b>	<b>16.95</b>	<b>n/a</b>	<b>5-Feb</b>	<b>1,600.00</b>	<b>4.0</b>
CENTURION PARTNERS	041	AEO	B	30,000	17.00	n/a	5-Feb	1,500.00	5.0
RAINIER INV MGMT	035	ALK	B	34,860	34.45	n/a	n/a	1,743.00	5.0
LEVIN CAPITAL STRATEGIES	012	ALV	B	10,000	44.03	n/a	1-Feb	400.00	4.0
WAGONHOUND/NIC	048	ALV	B	923	44.22	n/a	1-Feb	36.92	4.0
SOUTH POINT CAP	067	AMSC	B	5,400	27.96	n/a	n/a	270.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>40,000</b>	<b>35.63</b>	<b>n/a</b>	<b>17-Feb</b>	<b>1,600.00</b>	<b>4.0</b>
KAILIX INV ADV	048	ANR	B	15,000	43.56	n/a	n/a	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>20,000</b>	<b>34.84</b>	<b>n/a</b>	<b>5-Feb</b>	<b>800.00</b>	<b>4.0</b>
TROUBH PART	067	AXL	B	50,000	9.49	n/a	8-Feb	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AXP</b>	<b>B</b>	<b>13,000</b>	<b>37.77</b>	<b>n/a</b>	<b>24-Feb</b>	<b>520.00</b>	<b>4.0</b>
EPOCH INV MGMT	030	BA	B	8,650	62.73	n/a	23-Feb	259.50	3.0
HARBORWATCH/DIAMBACK	232	CE	C	5,000	30.63	n/a	n/a	250.00	5.0
EXIS CAPITAL	044	CHS	S	20,000	13.78	n/a	5-Feb	1,000.00	5.0
MILLRACE FUND LP	028	CPKI	B	1,000	15.22	n/a	19-Feb	40.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	DE	B	10,000	56.36	n/a	18-Feb	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DFS</b>	<b>B</b>	<b>37,500</b>	<b>13.36</b>	<b>n/a</b>	<b>24-Feb</b>	<b>1,500.00</b>	<b>4.0</b>
EPOCH INV MGMT	030	DHR	B	41,300	74.45	n/a	n/a	1,239.00	3.0
1798 CAPITAL MGMT	040	EP	B	23,000	10.25	n/a	n/a	1,150.00	5.0
JENNISON	032	ESRX	S	30,000	95.38	n/a	n/a	1,110.00	3.7
FIRST NEW YORK LEDDY	035	ETFC	B	10,000	1.54	n/a	n/a	600.00	6.0
CUMBERLAND	239	EXH	S	20,000	23.06	n/a	19-Jan	800.00	4.0
IRIDIAN ASSET MGMT.	036	EXP	S	30,000	23.97	n/a	n/a	1,500.00	5.0
LEVIN CAPITAL STRATEGIES	012	EXXID	B	10,000	17.75	n/a	n/a	300.00	3.0
ADAGE CAPITAL MGMT	030	FL	B	209,361	12.66	n/a	3-Feb	8,374.44	4.0
COOPER CREEK PART	028	FL	B	15,800	12.65	n/a	3-Feb	632.00	4.0
VISIUM/BALY BOBBY	044	FL	B	15,000	12.62	n/a	3-Feb	750.00	5.0
MTB INV ADV	034	FOSL	S	7,250	35.59	n/a	n/a	362.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>10,000</b>	<b>40.48</b>	<b>n/a</b>	<b>5-Feb</b>	<b>400.00</b>	<b>4.0</b>
EXIS CAPITAL	044	GIL	SS	10,000	23.75	n/a	10-Feb	500.00	5.0
DIAMONDBACK CAP MGMT	232	GS	C	5,000	155.23	n/a	13-Feb	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	HTZ	B	20,000	9.25	n/a	n/a	800.00	4.0
RBC CAPITAL MARKETS	040	IGT	S	35,000	17.06	n/a	9-Feb	1,400.00	4.0
SCHOTTENFELD ASSOC	041	IP	S	12,000	23.86	n/a	3-Feb	600.00	5.0
BUCK CAPITAL MGMT	001	JCG	B	20,000	40.81	n/a	n/a	800.00	4.0
CRAMER ROSENTHAL/SCOTT	012	JNS	B	35,700	11.96	n/a	12-Feb	1,428.00	4.0
BUCK CAPITAL MGMT	001	JWN	S	50,000	36.23	n/a	23-Feb	2,000.00	4.0
M&I FUNDS	047	KG	S	19,394	10.53	n/a	22-Feb	775.76	4.0
1798 CAPITAL MGMT	040	KMT	SS	20,000	25.96	n/a	18-Nov	1,000.00	5.0
CATAPULT	040	KMT	SS	45,000	25.38	n/a	18-Nov	2,250.00	5.0
RBC CAPITAL MARKETS	040	KMT	SS	40,000	25.96	n/a	18-Nov	1,600.00	4.0
BUCK CAPITAL MGMT	001	KSS	S	30,000	53.52	n/a	23-Feb	1,200.00	4.0
HIGHBRIDGE CAP	041	KSS	B	10,120	51.75	n/a	23-Feb	404.80	4.0
TRELLUS PARTNERS LP	028	LEA	B	10,000	68.88	n/a	8-Feb	500.00	5.0
CRAMER ROSENTHAL/SCOTT	012	LII	B	350	41.69	n/a	n/a	14.00	4.0
BUCK CAPITAL MGMT	001	LL	B	70,000	22.33	n/a	n/a	2,800.00	4.0
CRAMER ROSENTHAL/SCOTT	012	LSI	B	3,900	5.34	n/a	n/a	156.00	4.0
BUCK CAPITAL MGMT	001	LTD	S	70,000	22.23	n/a	n/a	2,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC TRADIN/BINDER	044	LVS	B	20,000	15.83	n/a	24-Feb	700.00	3.5
CRAMER ROSENTHAL/SCOTT	012	MAN	B	400	51.28	n/a	n/a	16.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>MA</b>	<b>B</b>	<b>4,500</b>	<b>220.15</b>	<b>n/a</b>	<b>19-Feb</b>	<b>180.00</b>	<b>4.0</b>
CRAMER ROSENTHAL/SCOTT	012	MKC	B	350	36.99	n/a	n/a	14.00	4.0
CRAMER ROSENTHAL/SCOTT	012	MOGA	B	700	33.78	n/a	n/a	28.00	4.0
PLATTE RIVER CAPITAL	240	MPEL	B	20,000	3.87	n/a	24-Feb	600.00	3.0
CRAMER ROSENTHAL/SCOTT	012	MWV	B	450	23.09	n/a	28-Jan	18.00	4.0
CRAMER ROSENTHAL/SCOTT	012	MWW	B	1,450	14.01	n/a	n/a	58.00	4.0
HOOVER INV. MGMT	033	MW	B	60,000	21.20	n/a	n/a	2,400.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>S</b>	<b>222,000</b>	<b>19.18</b>	<b>n/a</b>	<b>24-Feb</b>	<b>8,880.00</b>	<b>4.0</b>
EXIS CAPITAL	044	M	B	10,000	19.20	n/a	24-Feb	500.00	5.0
SEMINOLE CAP	036	NFLX	B	12,400	65.08	n/a	n/a	620.00	5.0
CRAMER ROSENTHAL/SCOTT	012	NU	B	650	25.55	n/a	n/a	26.00	4.0
SEMINOLE CAP	036	PALM	SS	120,000	6.61	n/a	n/a	4,800.00	4.0
1798 CAPITAL MGMT	040	PDE	B	9,000	28.37	n/a	n/a	450.00	5.0
1798 CAPITAL MGMT	040	PKG	B	5,000	24.13	n/a	26-Jan	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRIGADE CAPITAL MGMT	029	PLCE	B	25,000	37.54	n/a	5-Feb	750.00	3.0
GOLD SACHS SPECIAL SIT	030	PLCE	S	100,000	37.19	n/a	5-Feb	5,000.00	5.0
METROPOLITAN CAPITAL	044	PLCE	S	13,800	37.30	n/a	5-Feb	690.00	5.0
GREEN ARROW DOUG	040	PNK	B	25,300	7.22	n/a	n/a	1,265.00	5.0
PROSPECTOR PARTNERS LLC	036	PPS	S	10,000	18.76	n/a	n/a	400.00	4.0
CAXTON PART	040	QCOM	S	30,144	36.80	n/a	n/a	1,055.08	3.5
WAGONHOUND/NIC	048	RCL	B	909	27.34	n/a	n/a	36.36	4.0
1798 CAPITAL MGMT	040	RDC	C	17,800	25.58	n/a	n/a	890.00	5.0
CATAPULT	040	ROST	B	15,000	48.93	n/a	n/a	600.00	4.0
FRIESS MGMT	033	SCHW	B	195,600	18.16	n/a	n/a	7,824.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>S</b>	<b>10,400</b>	<b>30.05</b>	<b>n/a</b>	<b>n/a</b>	<b>416.00</b>	<b>4.0</b>
MATRIX ASSET ADV.	036	SPLS	S	20,000	25.40	n/a	n/a	800.00	4.0
HIGHBRIDGE CAP	041	SVU	B	18,024	15.17	n/a	n/a	720.96	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TGT</b>	<b>S</b>	<b>10,000</b>	<b>50.53</b>	<b>n/a</b>	<b>23-Feb</b>	<b>400.00</b>	<b>4.0</b>
BMO NESBITT BURNS	041	TIN	B	50,000	17.85	n/a	9-Feb	2,500.00	5.0
SCHOTTENFELD ASSOC	041	TIN	B	30,000	18.39	n/a	9-Feb	900.00	3.0
SCOPUS FUND	041	TIN	B	46,000	18.41	n/a	9-Feb	2,300.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BENCHMARK	025	TJX	S	10,000	39.84	n/a	25-Feb	400.00	4.0
GREEN ARROW DOUG	040	TJX	SS	20,000	41.36	n/a	25-Feb	1,000.00	5.0
SAC TRADING TOM LEDDY	035	TJX	SS	75,000	40.92	n/a	25-Feb	2,625.00	3.5
HOLLIS CAPITAL	033	TM	B	5,000	73.63	n/a	n/a	250.00	5.0
GALT INVESTMENT	041	TRLG	B	20,000	23.94	n/a	n/a	1,000.00	5.0
KAILIX INV ADV	048	TRW	B	15,000	24.01	n/a	n/a	750.00	5.0
EATON VANCE MGMT	039	T	S	136,219	24.64	n/a	n/a	4,086.57	3.0
BMO NESBITT BURNS	041	UFS	B	10,600	52.99	n/a	n/a	530.00	5.0
HUNTER GLOBAL INVESTOR	067	UNP	B	75,000	66.63	n/a	n/a	3,750.00	5.0
HUNTER GLOBAL INVESTOR	067	WAT	B	27,900	58.70	n/a	n/a	1,395.00	5.0
KACELA CAPITAL	034	WMT	B	26,000	53.99	n/a	18-Feb	1,040.00	4.0
RBC CAPITAL MARKETS	040	WRC	B	7,500	41.29	n/a	28-Jan	300.00	4.0
RBC CAPITAL MARKETS	040	WYNN	B	12,500	62.80	n/a	24-Feb	500.00	4.0
PROSPECTOR PARTNERS LLC	036	XEC	S	10,000	57.89	n/a	n/a	400.00	4.0
EXIS CAPITAL	044	XLI	B	15,000	28.58	n/a	n/a	750.00	5.0
SEMINOLE CAP	036	ZION	SS	25,000	18.31	n/a	n/a	1,250.00	5.0

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**TOTAL (Trade Date):**

**119,879.89**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/24	ADAGE CAPITAL MGMT (ADAGLONG)	030	FL	B	203,800	12.68	n/a	3-Feb	8152.00 4.0
AO,CRT	02/24	ADAGE CAPITAL MGMT (ADAGLONG)	030	FL	B	203,800	12.68	n/a	3-Feb	6114.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4275)	029	JOYG	B	2,000	49.92	n/a	22-Feb	60.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4207)	029	JOYG	B	386	49.92	n/a	22-Feb	11.58 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4158)	029	JOYG	B	500	49.92	n/a	22-Feb	15.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4228)	029	JOYG	B	386	49.92	n/a	22-Feb	11.58 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4230)	029	JOYG	B	155	49.92	n/a	22-Feb	4.65 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4214)	029	JOYG	B	1,400	49.92	n/a	22-Feb	42.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4229)	029	JOYG	B	232	49.92	n/a	22-Feb	6.96 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4208)	029	JOYG	B	1,300	49.92	n/a	22-Feb	39.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4195)	029	JOYG	B	1,000	49.92	n/a	22-Feb	30.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4193)	029	JOYG	B	900	49.92	n/a	22-Feb	27.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4025)	029	JOYG	B	200	49.92	n/a	22-Feb	6.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4184)	029	JOYG	B	1,200	49.92	n/a	22-Feb	36.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4087)	029	JOYG	B	1,300	49.92	n/a	22-Feb	39.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4152)	029	JOYG	B	200	49.92	n/a	22-Feb	6.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4151)	029	JOYG	B	100	49.92	n/a	22-Feb	3.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4131)	029	JOYG	B	400	49.92	n/a	22-Feb	12.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4129)	029	JOYG	B	800	49.92	n/a	22-Feb	24.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4124)	029	JOYG	B	200	49.92	n/a	22-Feb	6.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4109)	029	JOYG	B	500	49.92	n/a	22-Feb	15.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4249)	029	JOYG	B	77	49.92	n/a	22-Feb	2.31 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4240)	029	JOYG	B	1,200	49.92	n/a	22-Feb	36.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4089)	029	JOYG	B	2,900	49.92	n/a	22-Feb	87.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4085)	029	JOYG	B	1,600	49.92	n/a	22-Feb	48.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4125)	029	JOYG	B	2,200	49.92	n/a	22-Feb	66.00 3.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4284)	029	JOYG	B	3,100	49.92	n/a	22-Feb	93.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4143)	029	JOYG	B	5,017	49.92	n/a	22-Feb	150.51 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4220)	029	JOYG	B	8,182	49.92	n/a	22-Feb	245.46 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS6023)	029	JOYG	B	11,269	49.92	n/a	22-Feb	338.07 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4133)	029	JOYG	B	3,500	49.92	n/a	22-Feb	105.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4212)	029	JOYG	B	1,600	49.92	n/a	22-Feb	48.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4263)	029	JOYG	B	77	49.92	n/a	22-Feb	2.31 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4192)	029	JOYG	B	1,200	49.92	n/a	22-Feb	36.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4213)	029	JOYG	B	541	49.92	n/a	22-Feb	16.23 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4202)	029	JOYG	B	1,200	49.92	n/a	22-Feb	36.00 3.0
AO,	02/24	THOMPSON SIEGAL&WALMSLEY (THOS4205)	029	JOYG	B	1,200	49.92	n/a	22-Feb	36.00 3.0
AO,	02/24	SNYDER CAPITAL (SNYDPERS)	033	PRXL	S	8,250	20.51	n/a	n/a	330.00 4.0
AO,CXL	02/24	SNYDER CAPITAL (SNYDPURDUE)	033	PRXL	S	8,250	20.51	n/a	n/a	330.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-297.34</b>
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TOTAL (Trade Date) :	119,879.89
TOTAL (AO, CXL, CRT) :	-297.34

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<b>TOTAL (RUN DATE) / NFS :</b>	<b>119,582.55</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION