



Daily Compliance Activity Report

Trade Date: 03/16/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ABX	B	1,115	43.81	n/a	n/a	44.60	4.0
BALLAST CAP	040	ACI	B	10,000	12.63	n/a	n/a	300.00	3.0
TALPION LLC	040	ALSN	S	33	23.90	n/a	n/a	1.32	4.0
f DAVID GEORGE BUCK	FID	AMRN	B	2500	9.91	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	AMRN	B	500	9.97	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	APC	B	1000	84.54	n/a	14-Mar	0.00	0.0
B BUCK CAPITAL MGMT		ARO	B	10,800	20.54	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		ARO	B	9,200	20.55	n/a	n/a	non-brg	4.0
SIMMONS VENTURES	041	ARO	B	7,500	20.69	n/a	n/a	300.00	4.0
B BUCK CAPITAL MGMT		BWLD	SS	15,000	86.83	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		CHRS	S	100,000	5.64	n/a	n/a	non-brg	3.0
f JASON ERIC COHEN	FID	CLWR	B	1000	2.21	n/a	n/a	0.00	0.0
TALPION LLC	040	COST	S	3,000	90.46	n/a	n/a	120.00	4.0
f JASON ERIC COHEN	FID	CRK	S	250	16.12	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	297	CRZO	B	7,500	29.99	n/a	n/a	300.00	4.0
COLUMBUS CAP MGMT LLC	084	CVGI	B	20,000	12.10	n/a	n/a	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DELTEC ASSET MGMT.	040	C	B	10,000	36.42	n/a	15-Mar	500.00	5.0
f JENNIFER CORWIN GLIC	FID	DECK	B	300	68.19	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	DHR	B	81,300	55.10	n/a	n/a	2,439.00	3.0
B BUCK CAPITAL MGMT		DKS	S	15,000	47.52	n/a	7-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		DKS	S	15,000	47.52	n/a	7-Mar	non-brg	4.0
PERRY PARTNERS	085	ESRX	B	25,000	54.40	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		EXPR	S	60,000	25.48	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		EXPR	S	60,000	25.59	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		FOSL	SS	40,000	125.58	n/a	n/a	non-brg	4.0
YORK CAPITAL	036	F	B	150,000	12.70	n/a	12-Mar	4,500.00	3.0
MERRILL ASSET/BLACKROCK	297	GEOI	S	17,100	34.78	n/a	n/a	684.00	4.0
LAGRANGE CAP ADV	085	GES	B	5,000	32.50	n/a	15-Mar	250.00	5.0
IRIDIAN ASSET MGMT.	036	GLW	B	121,860	14.31	n/a	12-Mar	6,093.00	5.0
TALPION LLC	040	GNC	S	10,000	33.57	n/a	n/a	400.00	4.0
TALPION LLC	040	GPI	B	6,600	55.48	n/a	10-Feb	264.00	4.0
SCOPUS FUND	041	HBI	S	31,585	29.08	n/a	16-Feb	1,263.40	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WEINTRAUB CAPITAL MGT.	269	HNZ	B	50,000	53.38	n/a	n/a	2,000.00	4.0
BALYASNY ASSET MGMT	044	IP	SS	45,000	35.51	n/a	2-Feb	2,250.00	5.0
⌘ BUCK CAPITAL MGMT		JNY	B	126,300	11.38	n/a	9-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JNY	B	126,300	11.38	n/a	9-Feb	non-brg	4.0
GEORGE WEISS	034	JWN	SS	15,000	54.83	n/a	2-Mar	600.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	KWS	B	1000	4.28	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	LEA	S	3,000	47.22	n/a	3-Feb	90.00	3.0
⌘ BUCK CAPITAL MGMT		LL	S	10,000	23.96	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LL	S	30,000	24.04	n/a	n/a	non-brg	4.0
HOPLITE MGMT	025	LPX	S	25,000	9.93	n/a	7-Feb	750.00	3.0
DISCIPLINED GROWTH INV.	030	LTD	B	26,000	47.05	n/a	n/a	1,300.00	5.0
⌘ BUCK CAPITAL MGMT		LULU	SS	10,000	72.65	n/a	17-Feb	non-brg	2.5
⌘ BUCK CAPITAL MGMT		LULU	SS	10,000	72.65	n/a	17-Feb	non-brg	2.5
⌘ BUCK CAPITAL MGMT		LVS	S	20,000	56.88	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LVS	S	20,000	56.88	n/a	13-Jun	non-brg	4.0
BESSEMER INVESTMENT	085	MGA	B	660	48.58	n/a	n/a	26.40	4.0
f JOEL GIFFORD TISS	FID	MWA	S	31261	3.33	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		M	S	20,000	39.63	n/a	2-Mar	non-brg	4.0
GEORGE WEISS	034	M	S	25,000	39.71	n/a	2-Mar	1,000.00	4.0
MILLENIU SUB A/C NEW VERNON	085	NCT	B	100,000	6.65	n/a	n/a	3,000.00	3.0
BESSEMER INVESTMENT	085	NEM	B	1,000	53.74	n/a	n/a	40.00	4.0
f MANNY I KORMAN	FID	NFLX	S	100	0.12	n/a	n/a	0.00	0.0
f SNEJANA P GARFUNKEL	FID	NWL	S	1000	18.58	n/a	n/a	0.00	0.0
f SNEJANA P GARFUNKEL	FID	NWL	S	500	18.59	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PAG	B	20,000	24.76	n/a	16-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		PAG	B	20,000	24.82	n/a	16-Feb	non-brg	4.0
COOPER CREEK PART	028	PKG	B	20,000	29.74	n/a	22-Feb	800.00	4.0
B BUCK CAPITAL MGMT		PLCE	S	40,000	50.75	n/a	7-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		PLCE	S	40,000	50.79	n/a	7-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		PVH	S	10,000	89.60	n/a	29-Feb	non-brg	4.0
DISCIPLINED GROWTH INV.	030	RCL	B	37,000	30.58	n/a	n/a	1,850.00	5.0
RAINIER INV MGMT	035	RJF	B	57,850	37.15	n/a	n/a	2,314.00	4.0
COOPER CREEK PART	028	RKT	S	16,258	68.43	n/a	26-Jan	650.32	4.0
f CICYLY S BACKUS	FID	RNDY	B	2000	10.86	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	LBP ENTERPRISES INC	FID	RNDY	B	1000	10.89	n/a	n/a	0.00	0.0
f	SNEJANA P GARFUNKEL	FID	RNDY	B	2000	10.88	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		RYL	S	400	20.25	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SAH	B	20,000	17.80	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SIG	S	20,000	49.19	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SODA	S	20,000	36.13	n/a	n/a	non-brg	4.0
	BNP PARIBAS SEC	040	SWN	B	40,000	34.55	n/a	1-Mar	2,000.00	5.0
f	JOSEPH CIRO AMATURO	FID	TBT	S	2000	20.99	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		TIF	SS	10,000	67.89	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		TIF	SS	10,000	67.92	n/a	n/a	non-brg	4.0
	DISCIPLINED GROWTH INV.	030	TJX	B	53,000	38.05	n/a	2-Mar	2,650.00	5.0
	TALPION LLC	040	TRIP	B	1,700	34.09	n/a	n/a	68.00	4.0
	RAINIER INV MGMT	035	TROW	B	40,420	64.78	n/a	n/a	1,616.80	4.0
ß	BUCK CAPITAL MGMT		ULTA	S	7,500	88.76	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		ULTA	S	7,500	88.76	n/a	n/a	non-brg	4.0
	BRONSON POINT	036	WFC	S	25,000	33.66	n/a	14-Mar	750.00	3.0
	CAMBIAR CAP	046	WRC	B	23,000	57.70	n/a	29-Feb	920.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MA CAPITAL MGMT/MELLON ADV041	WY		B	3,000	22.05	n/a	3-Feb	150.00	5.0
BNP PARIBAS SEC	040	XLB	SS	50,000	37.15	n/a	n/a	2,500.00	5.0
BASIX	033	XME	B	35,000	51.05	n/a	n/a	1,050.00	3.0
f KAREN F LEDDY	FID	XPO	B	1800	16.48	n/a	n/a	0.00	0.0
f SEAN F LEDDY	FID	XPO	B	220	16.49	n/a	n/a	0.00	0.0
f THOMAS JOHN LEDDY	FID	XPO	B	439	16.50	n/a	n/a	0.00	0.0
YORK CAPITAL	036	YHOO	B	100,000	15.08	n/a	n/a	3,000.00	3.0
CHARTER OAK	033	Y	S	5,000	333.45	n/a	n/a	250.00	5.0
f MANNY I KORMAN	FID	ZOLT	S	200	3.90	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								51,334.84	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/14	STANDARD PACIFIC CAPITAL	084	CE	S	14,203	46.53	n/a	20-May	710.15 5.0
AO,CXL	03/14	STANDARD PACIFIC CAPITAL	084	CE	S	14,203	46.53	n/a	20-May	710.15 5.0
AO,CRT	03/15	CAISSE DEPOT PLACEMENT Q	295	IYR	SS	60,000	61.87	n/a	n/a	1800.00 3.0
AO,CXL	03/15	CAISSE DEPOT PLACEMENT Q	295	IYR	SS	60,000	61.87	n/a	n/a	3000.00 5.0
AO,CXL	03/15	CAISSE DEPOT PLACEMENT Q	295	USB	B	100,000	31.50	n/a	n/a	5000.00 5.0
AO,CRT	03/15	CAISSE DEPOT PLACEMENT Q	295	USB	B	100,000	31.50	n/a	n/a	3000.00 3.0
TOTAL (AO, CXL, CRT) :										-3,200.00
TOTAL (Trade Date) :										51,334.84
TOTAL (AO, CXL, CRT) :										-3,200.00
TOTAL (RUN DATE) / NFS :										48,134.84

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 16-Mar.
NONE

MRI Securities without Trade Activity on 16-Mar.
ROST

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)