

Daily Compliance Activity Report Trade Date: 06/29/2009

POTENTIAL ANALYST TRADE VIOLATIONS: 0

\$4					10.		MALLIGI	TRIDE VIO	
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
PATTEN & PATTEN	067	AA	В	500	10.42	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	ACL	В	200	117.32	n/a	23-Jun	10.00	5.0
NEUBERGER BERMAN	036	ACN	В	30,000	33.40	n/a	n/a	1,350.00	4.5
PATTEN & PATTEN	067	ADBE	В	200	28.71	n/a	n/a	10.00	5.0
PATTEN & PATTEN	067	ADRU	S	600	17.61	n/a	n/a	30.00	5.0
BALYASNY ASSET MGMT	044	AMG	В	15,000	58.19	5-Jun	29-Jun	750.00	5.0
HOOVER INV. MGMT	033	ANF	S	99,400	26.09	3-Jun	18-Jun	3,976.00	4.0
SAC TRADING HOWARD	038	ANF	В	19,200	26.00	3-Jun	18-Jun	960.00	5.0
STRAUSS ASSET MGMT	040	AN	В	25,000	17.47	10-Jun	10-Jun	1,000.00	4.0
MILLRACE FUND LP	028	ARGN	В	100	5.79	n/a	30-Apr	3.00	3.0
PATTEN & PATTEN	067	AVY	S	200	25.70	28-Apr	28-Apr	10.00	5.0
COOPER CREEK PART	028	AXL	В	25,000	3.46	n/a	10-Jun	750.00	3.0
PATTEN & PATTEN	067	BAC	S	2,500	12.91	11-May	25-Jun	125.00	5.0
PATTEN & PATTEN	067	ВВҮ	В	900	34.46	n/a	27-Jul	45.00	5.0
PATTEN & PATTEN	067	BDX	В	850	71.20	n/a	22-Apr	42.50	5.0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.	
FORD FDN.	032	BIIB	S	100,000	46.80	n/a	n/a	5,000.00	5.0	
STANDARD PACIFIC CAPITAL	040	CCK	В	50,000	23.91	n/a	n/a	2,500.00	5.0	
PATTEN & PATTEN	067	CL	S	300	72.03	8-Jun	8-Jun	15.00	5.0	
BUCK CAPITAL MGMT	001	СОН	В	70,000	26.96	n/a	22-Apr	2,800.00	4.0	
PATTEN & PATTEN	067	CVS	В	200	32.25	n/a	n/a	10.00	5.0	
KLEINHEINZ CAP PART	031	DELL	В	75,000	13.88	n/a	n/a	3,750.00	5.0	
GREEN ARROW CAPITAL	040	DKS	S	25,000	17.48	27-Apr	20-May	1,250.00	5.0	
PATTEN & PATTEN	067	DOV	S	700	33.60	n/a	n/a	35.00	5.0	
PATTEN & PATTEN	067	EPP	В	200	31.95	n/a	n/a	10.00	5.0	
PATTEN & PATTEN	067	EWC	В	300	21.80	n/a	n/a	15.00	5.0	
PATTEN & PATTEN	067	EWZ	В	200	53.68	n/a	n/a	10.00	5.0	
IRONBOUND CAPITAL	012	EXH	В	110,000	16.53	27-May	22-Jun	5,500.00	5.0	
PATTEN & PATTEN	067	FHN	В	800	12.30	n/a	n/a	40.00	5.0	
BUCK CAPITAL MGMT	001	FL	SS	50,000	10.63	27-Apr	26-Jun	2,000.00	4.0	
ROXBURY CAPITAL MGMT	035	FL	S	215,655	10.68	27-Apr	26-Jun	8,626.20	4.0	
CSFB GLOBAL PROPRIETARY	041	FOR	В	30,000	11.49	29-Jun	29-Jun	1,500.00	5.0	

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	
PATTEN & PATTEN PNC/MERCANTILE	067 028	FPL FPL	S B	500 12 , 058	57.46 57.39	n/a n/a	n/a n/a	25.00 482.32	5.0 4.0	
BUCK CAPITAL MGMT	001	GES	S	10,000	26.01	n/a	n/a	400.00	4.0	
APEX CAPITAL MGMT.	033	GME	В	100,000	21.98	n/a	n/a	4,000.00	4.0	
JP MORGAN VENTURES	046	GPS	В	69 , 800	16.58	n/a	30-Jan	3,490.00	5.0	
PATTEN & PATTEN	067	IEF	В	250	90.93	n/a	n/a	12.50	5.0	
CAXTON PART	040	IP	В	75 , 000	14.45	23-Jun	23-Jun	2,625.00	3.5	
WAGONHOUND/NIC	048	IWP	S	951	36.63	n/a	n/a	38.04	4.0	
BUCK CAPITAL MGMT	001	JCG	В	10,000	26.46	n/a	30-Jan	400.00	4.0	
BUCK CAPITAL MGMT	001	JCP	В	60,000	29.20	13-Apr	19-Jun	2,400.00	4.0	
PATTEN & PATTEN	067	JNJ	S	100	56.79	n/a	22-Apr	5.00	5.0	
CATAPULT	040	KBR	S	25 , 000	18.69	n/a	n/a	1,250.00	5.0	
STRAUSS ASSET MGMT	040	KLAC	В	15,000	24.96	n/a	n/a	600.00	4.0	
PATTEN & PATTEN	067	KMB	В	1,600	52.96	23-Apr	27-Apr	80.00	5.0	
MELLON/BANK OF NEW YORK	046	K	В	33 , 820	46.54	n/a	n/a	1,691.00	5.0	
CAXTON PART	040	MAT	В	19,501	16.13	n/a	n/a	682.55	3.5	
	PATTEN & PATTEN PNC/MERCANTILE BUCK CAPITAL MGMT APEX CAPITAL MGMT. JP MORGAN VENTURES PATTEN & PATTEN CAXTON PART WAGONHOUND/NIC BUCK CAPITAL MGMT BUCK CAPITAL MGMT PATTEN & PATTEN CATAPULT STRAUSS ASSET MGMT PATTEN & PATTEN MELLON/BANK OF NEW YORK	PATTEN & PATTEN 067 PNC/MERCANTILE 028 BUCK CAPITAL MGMT 001 APEX CAPITAL MGMT. 033 JP MORGAN VENTURES 046 PATTEN & PATTEN 067 CAXTON PART 040 WAGONHOUND/NIC 048 BUCK CAPITAL MGMT 001 BUCK CAPITAL MGMT 001 PATTEN & PATTEN 067 CATAPULT 040 STRAUSS ASSET MGMT 040 PATTEN & PATTEN 067 MELLON/BANK OF NEW YORK 046	PATTEN & PATTEN PNC/MERCANTILE BUCK CAPITAL MGMT APEX CAPITAL MGMT O01 GES APEX CAPITAL MGMT JP MORGAN VENTURES PATTEN & PATTEN CAXTON PART WAGONHOUND/NIC BUCK CAPITAL MGMT O01 JCG BUCK CAPITAL MGMT O01 JCG BUCK CAPITAL MGMT O01 JCF PATTEN & PATTEN O67 JNJ CATAPULT O40 KBR STRAUSS ASSET MGMT O40 KLAC PATTEN & PATTEN O67 KMB MELLON/BANK OF NEW YORK O46 K STRAUSS ASSET MGMT O46 K MELLON/BANK OF NEW YORK O46 K COMBES APEL PATTEN O67 FPL FPL FPL FPL FPL FPL FPL FP	PATTEN & PATTEN DOS FPL S PNC/MERCANTILE 028 FPL B BUCK CAPITAL MGMT 001 GES S APEX CAPITAL MGMT. 033 GME B JP MORGAN VENTURES 046 GPS B PATTEN & PATTEN 067 IEF B CAXTON PART 040 IP B WAGONHOUND/NIC 048 IWP S BUCK CAPITAL MGMT 001 JCG B BUCK CAPITAL MGMT 001 JCG B PATTEN & PATTEN 067 JNJ S CATAPULT 040 KBR S STRAUSS ASSET MGMT 040 KLAC B PATTEN & PATTEN 067 KMB B MELLON/BANK OF NEW YORK 046 K B	PATTEN & PATTEN 067 FPL S 500 PNC/MERCANTILE 028 FPL B 12,058 BUCK CAPITAL MGMT 001 GES S 10,000 APEX CAPITAL MGMT. 033 GME B 100,000 JP MORGAN VENTURES 046 GPS B 69,800 PATTEN & PATTEN 067 TEF B 250 CAXTON PART 040 TP B 75,000 WAGONHOUND/NIC 048 TWP S 951 BUCK CAPITAL MGMT 001 JCG B 10,000 BUCK CAPITAL MGMT 001 JCP B 60,000 PATTEN & PATTEN 067 JNJ S 100 CATAPULT 040 KBR S 25,000 STRAUSS ASSET MGMT 040 KLAC B 15,000 PATTEN & PATTEN 067 KMB B 1,600 MELLON/BANK OF NEW YORK 046 K B 33,820	PATTEN & PATTEN 067 FPL S 500 57.46 PNC/MERCANTILE 028 FPL B 12,058 57.39 BUCK CAPITAL MGMT 001 GES S 10,000 26.01 APEX CAPITAL MGMT. 033 GME B 100,000 21.98 JP MORGAN VENTURES 046 GPS B 69,800 16.58 PATTEN & PATTEN 067 IEF B 250 90.93 CAXTON PART 040 IP B 75,000 14.45 WAGONHOUND/NIC 048 IWP S 951 36.63 BUCK CAPITAL MGMT 001 JCG B 10,000 26.46 BUCK CAPITAL MGMT 001 JCP B 60,000 29.20 PATTEN & PATTEN 067 JNJ S 100 56.79 CATAPULT 040 KBR S 25,000 18.69 STRAUSS ASSET MGMT 040 KLAC B 15,000 24.96 PATTEN & PATTEN 067 KMB B 1,600 52.96 MELLON/BANK OF NEW YORK 046 K B 33,820 46.54	PATTEN & PATTEN	PATTEN 6 PATTEN PNC/MERCANTILE 028 FPL B 12,058 57.39 n/a n/a n/a BUCK CAPITAL MGMT 001 GES S 10,000 26.01 n/a n/a APEX CAPITAL MGMT 033 GME B 100,000 21.98 n/a n/a 104 105 BB 100,000 21.98 106 R 107 107 108 108 109 109 108 109 109 108 109 109	PATTEN & PATTEN	PATTEN & PATTEN PATTEN 067 FPL S 500 57.46 n/a n/a 25.00 5.0 PNC/MERCANTILE 028 FPL B 12,058 57.39 n/a n/a 482.32 4.0 BUCK CAPITAL MGMT 001 GES S 10,000 26.01 n/a n/a 400.00 4.0 APEX CAPITAL MGMT. 033 GME B 100,000 21.98 n/a n/a 4,000.00 4.0 JP MORGAN VENTURES 046 GPS B 69,800 16.58 n/a 30-Jan 3,490.00 5.0 PATTEN & PATTEN 040 IF B 75,000 14.45 23-Jun 23-Jun 2,625.00 3.5 NAGONHOUND/NIC 048 TWP S 951 36.63 n/a 30-Jan 400.00 4.0 BUCK CAPITAL MGMT 001 JCG B 10,000 26.46 n/a 30-Jan 400.00 4.0 BUCK CAPITAL MGMT 001 JCP B 60,000 29.20 13-Apr 19-Jun 2,400.00 4.0 PATTEN & PATTEN 040 KBR S 25,000 18.69 n/a n/a 1,250.00 5.0 CATAPULT 040 KBR S 25,000 18.69 n/a n/a 600.00 4.0 PATTEN & PATTEN 047 KMB B 1,600 52.96 23-Apr 27-Apr 80.00 5.0 MELLON/BANK OF NEW YORK 046 K B 33,820 46.54 n/a n/a 1,691.00 5.0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	
ENTRUST CAPITAL	040	MEE	В	116,400	19.91	n/a	n/a	5,820.00	5.0	
GREEN ARROW CAPITAL	040	MGM	В	75,000	6.75	n/a	6-May	3,750.00	5.0	
PATTEN & PATTEN	067	MIC	В	1,000	3.94	n/a	n/a	50.00	5.0	
PATTEN & PATTEN	067	MMM	В	100	59.93	4-Jun	4-Jun	5.00	5.0	
NEUBERGER BERMAN	036	MRK	В	35,000	26.96	n/a	n/a	1,575.00	4.5	
CASTLE ARK	031	MTD	S	36,710	76.80	n/a	n/a	2,202.60	6.0	
APEX CAPITAL MGMT.	033	NOK	SS	150,000	15.03	n/a	n/a	6,000.00	4.0	
CRAMER ROSENTHAL/SCOTT	012	OMI	S	27,700	43.67	n/a	n/a	1,108.00	4.0	
PATTEN & PATTEN	067	PG	S	200	52.05	5-Jun	5-Jun	10.00	5.0	
COLUMBIA PARTNERS	028	PNFP	В	700	13.49	n/a	n/a	28.00	4.0	
STANDARD PACIFIC CAPITAL	040	POT	SS	13,000	95.95	n/a	n/a	650.00	5.0	
BUCK CAPITAL MGMT	001	PVH	В	30,000	28.74	20-Apr	21-May	1,200.00	4.0	
PATTEN & PATTEN	067	Q	S	500	4.11	n/a	n/a	25.00	5.0	
RAIL-SPLITTER	031	RCL	SS	29,300	13.22	22-Apr	24-Apr	1,465.00	5.0	
RAMIUS CAP	040	RKT	SS	19,000	37.34	n/a	n/a	760.00	4.0	
	ENTRUST CAPITAL GREEN ARROW CAPITAL PATTEN & PATTEN PATTEN & PATTEN NEUBERGER BERMAN CASTLE ARK APEX CAPITAL MGMT. CRAMER ROSENTHAL/SCOTT PATTEN & PATTEN COLUMBIA PARTNERS STANDARD PACIFIC CAPITAL BUCK CAPITAL MGMT PATTEN & PATTEN RAIL-SPLITTER	ENTRUST CAPITAL 040 GREEN ARROW CAPITAL 040 PATTEN & PATTEN 067 PATTEN & PATTEN 036 CASTLE ARK 031 APEX CAPITAL MGMT. 033 CRAMER ROSENTHAL/SCOTT 012 PATTEN & PATTEN 067 COLUMBIA PARTNERS 028 STANDARD PACIFIC CAPITAL 040 BUCK CAPITAL MGMT 001 PATTEN & PATTEN 067 RAIL-SPLITTER 031	ENTRUST CAPITAL 040 MEE GREEN ARROW CAPITAL 040 MGM PATTEN & PATTEN 067 MIC PATTEN & PATTEN 067 MMM NEUBERGER BERMAN 036 MRK CASTLE ARK 031 MTD APEX CAPITAL MGMT. 033 NOK CRAMER ROSENTHAL/SCOTT 012 OMI PATTEN & PATTEN 067 PG COLUMBIA PARTNERS 028 PNFP STANDARD PACIFIC CAPITAL 040 POT BUCK CAPITAL MGMT 001 PVH PATTEN & PATTEN 067 Q RAIL-SPLITTER 031 RCL	ENTRUST CAPITAL 040 MEE B GREEN ARROW CAPITAL 040 MGM B PATTEN & PATTEN 067 MIC B PATTEN & PATTEN 067 MMM B NEUBERGER BERMAN 036 MRK B CASTLE ARK 031 MTD S APEX CAPITAL MGMT. 033 NOK SS CRAMER ROSENTHAL/SCOTT 012 OMI S PATTEN & PATTEN 067 PG S COLUMBIA PARTNERS 028 PNFP B STANDARD PACIFIC CAPITAL 040 POT SS BUCK CAPITAL MGMT 001 PVH B PATTEN & PATTEN 067 Q S RAIL-SPLITTER 031 RCL SS	ENTRUST CAPITAL 040 MEE B 116,400 GREEN ARROW CAPITAL 040 MGM B 75,000 PATTEN & PATTEN 067 MIC B 1,000 PATTEN & PATTEN 067 MMM B 100 NEUBERGER BERMAN 036 MRK B 35,000 CASTLE ARK 031 MTD S 36,710 APEX CAPITAL MGMT. 033 NOK SS 150,000 CRAMER ROSENTHAL/SCOTT 012 OMI S 27,700 PATTEN & PATTEN 067 PG S 200 COLUMBIA PARTNERS 028 PNFP B 700 STANDARD PACIFIC CAPITAL 040 POT SS 13,000 BUCK CAPITAL MGMT 001 PVH B 30,000 PATTEN & PATTEN 067 Q S 500 RAIL-SPLITTER 031 RCL SS 29,300	ENTRUST CAPITAL 040 MEE B 116,400 19.91 GREEN ARROW CAPITAL 040 MGM B 75,000 6.75 PATTEN & PATTEN 067 MIC B 1,000 3.94 PATTEN & PATTEN 067 MMM B 100 59.93 NEUBERGER BERMAN 036 MRK B 35,000 26.96 CASTLE ARK 031 MTD S 36,710 76.80 APEX CAPITAL MGMT. 033 NOK SS 150,000 15.03 CRAMER ROSENTHAL/SCOTT 012 OMI S 27,700 43.67 PATTEN & PATTEN 067 PG S 200 52.05 COLUMBIA PARTNERS 028 PNFP B 700 13.49 STANDARD PACIFIC CAPITAL 040 POT SS 13,000 95.95 BUCK CAPITAL MGMT 001 PVH B 30,000 28.74 PATTEN & PATTEN 067 Q S 500 4.11 RAIL-SPLITTER 031 RCL SS 29,300 13.22	ENTRUST CAPITAL 040 MEE B 116,400 19.91 n/a GREEN ARROW CAPITAL 040 MGM B 75,000 6.75 n/a PATTEN & PATTEN 067 MIC B 1,000 3.94 n/a PATTEN & PATTEN 067 MMM B 100 59.93 4-Jun NEUBERGER BERMAN 036 MRK B 35,000 26.96 n/a CASTLE ARK 031 MTD S 36,710 76.80 n/a APEX CAPITAL MGMT. 033 NOK SS 150,000 15.03 n/a CRAMER ROSENTHAL/SCOTT 012 OMI S 27,700 43.67 n/a PATTEN & PATTEN 067 PG S 200 52.05 5-Jun COLUMBIA PARTNERS 028 PNFP B 700 13.49 n/a STANDARD PACIFIC CAPITAL 040 POT SS 13,000 95.95 n/a BUCK CAPITAL MGMT 001 PVH B 30,000 28.74 20-Apr PATTEN & PATTEN 067 Q S 500 4.11 n/a RAIL-SPLITTER 031 RCL SS 29,300 13.22 22-Apr	ENTRUST CAPITAL 040 MEE B 116,400 19.91 n/a n/a GREEN ARROW CAPITAL 040 MGM B 75,000 6.75 n/a 6-May PATTEN & PATTEN 067 MIC B 1,000 3.94 n/a n/a PATTEN & PATTEN 067 MMM B 100 59.93 4-Jun 4-Jun NEUBERGER BERMAN 036 MRK B 35,000 26.96 n/a n/a CASTLE ARK 031 MTD S 36,710 76.80 n/a n/a APEX CAPITAL MGMT. 033 NOK SS 150,000 15.03 n/a n/a CRAMER ROSENTHAL/SCOTT 012 OMI S 27,700 43.67 n/a n/a PATTEN & PATTEN 067 PG S 200 52.05 5-Jun 5-Jun COLUMBIA PARTNERS 028 PNFP B 700 13.49 n/a n/a STANDARD PACIFIC CAPITAL 040 POT SS 13,000 95.95 n/a n/a BUCK CAPITAL MGMT 001 PVH B 30,000 28.74 20-Apr 21-May PATTEN & PATTEN 067 Q S 500 4.11 n/a n/a RAIL-SPLITTER 031 RCL SS 29,300 13.22 22-Apr 24-Apr	ENTRUST CAPITAL 040 MEE B 116,400 19.91 n/a n/a 5,820.00 GREEN ARROW CAPITAL 040 MGM B 75,000 6.75 n/a 6-May 3,750.00 PATTEN 6 PATTEN 067 MIC B 1,000 3.94 n/a n/a 50.00 PATTEN 8 PATTEN 067 MMM B 100 59.93 4-Jun 4-Jun 5.00 NEUBERGER BERMAN 036 MRK B 35,000 26.96 n/a n/a 1,575.00 CASTLE ARK 031 MTD S 36,710 76.80 n/a n/a 2,202.60 APEX CAPITAL MCMT. 033 NOK SS 150,000 15.03 n/a n/a 6,000.00 CRAMER ROSENTHAL/SCOTT 012 OMT S 27,700 43.67 n/a n/a 1,108.00 PATTEN 8 PATTEN 6 PATTEN 067 PG S 200 52.05 5-Jun 5-Jun 10.00 COLUMBIA PARTNERS 028 PNFP B 700 13.49 n/a n/a 28.00 STANDARD PACIFIC CAPITAL 040 POT SS 13,000 95.95 n/a n/a n/a 650.00 BUCK CAPITAL MCMT 011 PVH B 30,000 28.74 20-Apr 21-May 1,200.00 PATTEN 8 PATTEN 067 Q S 500 4.11 n/a n/a 25.00 RAIL-SPLITTER 031 RCL SS 29,300 13.22 22-Apr 24-Apr 1,465.00	ENTRUST CAPITAL 040 MEE B 116,400 19.91 n/a 1.0 5,820.00 5.0 GREEN ARROW CAPITAL 040 MGM B 75,000 6.75 n/a 6-May 3,750.00 5.0 PATTEN & PATTEN 067 MIC B 1,000 3.94 n/a n/a 50.00 5.0 PATTEN & PATTEN 067 MMM B 100 59.93 4-Jun 4-Jun 5.00 5.0 NEUBERGER BERMAN 036 MRK B 35,000 26.96 n/a n/a 1,575.00 4.5 CASTLE ARK 031 MTD 8 36,710 76.80 n/a n/a 2,202.60 6.0 APEX CAPITAL MGMT. 033 NOK 88 150,000 15.03 n/a n/a 6,000.00 4.0 CRAMER ROSENTHAL/SCOTT 012 OMI 8 27,700 43.67 n/a n/a 1,108.00 4.0 PATTEN & PATTEN 067 PG 8 200 52.05 5-Jun 5-Jun 10.00 5.0 COLUMBIA PARTNERS 028 PNFP B 700 13.49 n/a n/a 28.00 4.0 STANDARD PACIFIC CAPITAL MGMT 061 PVH B 30,000 28.74 20-Apr 21-May 1,200.00 4.0 PATTEN & PATTEN 067 Q 8 500 4.11 n/a n/a 25.00 5.0 RAIL-SPLITTER 031 RCL 88 29,300 13.22 22-Apr 24-Apr 1,465.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	:/Shr.
PATTEN & PATTEN	067	SGP	S	2,000	24.89	n/a	n/a	100.00	5.0
WAGONHOUND/NIC	048	SLM	S	8,283	10.26	23-Apr	18-Jun	331.32	4.0
PATTEN & PATTEN	067	SNE	S	400	26.69	n/a	n/a	20.00	5.0
DC CAPITAL	044	SOA	S	50,000	6.02	n/a	n/a	1,500.00	3.0
PATTEN & PATTEN	067	SWN	В	1,500	39.20	27-Feb	1-Jun	75.00	5.0
PATTEN & PATTEN	067	Т	В	200	25.16	n/a	4-Jan	10.00	5.0
DC CAPITAL	044	UAUA	S	50,000	3.46	n/a	n/a	1,500.00	3.0
CONIFER/ISF	025	URI	S	10,000	6.55	n/a	29-Oct	500.00	5.0
PNC/MERCANTILE	028	URS	В	17,250	50.49	n/a	n/a	690.00	4.0
PATTEN & PATTEN	067	UTX	S	200	52.35	n/a	n/a	10.00	5.0
MILLENIUM PARTNERS	028	WMT	В	30,000	48.76	11-May	19-Jun	1,200.00	4.0
AELTUS/ING IVESTMENT MGT	215	WSO	В	19,900	49.30	n/a	n/a	696.51	3.5

TOTAL (Trade Date): 91,610.54

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI R	esearch	Comm.¢	/Shr.
AO,CXL AO,CRT		CIRCLE T/SES PART (CIRCMAIN) CIRCLE T/SES PART (CIRCMAIN)		F F	В	25,000 25,000	5.79 5.79		3-Jun 3-Jun	750.00 1000.00	3.0 4.0
TOTAL	(AO, 0	CXL, CRT):								250.00	

TOTAL (RUN DATE) / NFS:	91,860.54
TOTAL (AO, CXL, CRT):	250.00
TOTAL (Trade Date):	91,610.54

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION