



Daily Compliance Activity Report

Trade Date: 08/01/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	AEO	S	10,000	24.08	10-Jul	13-Jul	500.00	5.0
ROARING BLUE LION MGMT	012	AEO	B	2,000	24.16	10-Jul	13-Jul	80.00	4.0
SANDLER O'NEILL ASSET	031	AMG	B	16,400	118.33	25-Jul	2-Aug	820.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	60,000	70.13	12-Jul	13-Jul	2,400.00	4.0
COUGAR TRADING	044	ANF	B	15,000	70.33	12-Jul	13-Jul	750.00	5.0
BALYASNY ASSET MGMT	044	ARO	SS	10,000	37.57	n/a	13-Jul	500.00	5.0
STADIA CAPITAL	035	BBBY	SS	10,000	34.83	n/a	n/a	400.00	4.0
BUCK	015	BBW	S	1,200	20.14	15-Jun	27-Jul	0.00	0.0
ROARING BLUE LION MGMT	012	BIG	B	2,500	25.23	9-Mar	27-Jul	100.00	4.0
SINCLAIR	031	BSCAK	B	36	2.85	n/a	n/a	0.00	0.0
LEDDY	035	CHCG	B	1,200	6.31	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	COH	S	30,000	46.32	n/a	1-Aug	1,200.00	4.0
WHEELER	008	CPNLQ	B	15,000	2.67	n/a	29-Jun	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	CSG	S	30,000	46.82	n/a	n/a	1,500.00	5.0
BUCK CAPITAL MGMT	001	CVS	B	50,000	35.75	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PIVOT CAP	034	CVS	S	13,500	35.76	n/a	n/a	675.00	5.0
JP MORGAN VENTURES	046	DKS	C	15,000	56.64	n/a	n/a	750.00	5.0
INDEPENDENCE INV	039	DO	B	5,500	101.17	n/a	n/a	220.00	4.0
CAPITAL GROWTH MGMT.	241	DVN	B	4,000	77.18	18-Jul	20-Jul	200.00	5.0
ROTHSCHILD ASSET	040	EAT	S	27,970	27.10	24-Apr	24-Apr	1,258.65	4.5
LEDDY	035	EDA	S	200	11.11	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	EEM	C	5,000	131.14	n/a	n/a	250.00	5.0
KERN	050	EFT	S	2,058	17.22	n/a	n/a	0.00	0.0
INDEPENDENCE INV	039	ESV	B	4,700	59.05	n/a	n/a	188.00	4.0
SLS CAPITAL LLC	224	ETFC	S	200,000	17.37	n/a	n/a	8,000.00	4.0
T. ROWE PRICE	243	ETFC	S	751,200	17.30	n/a	n/a	22,536.00	3.0
Õ Glenn Redemann		ETN	B	3	0.3200	16-Jul	16-Jul	0.00	0.0
Õ Glenn Redemann		ETN	B	3	0.4100	16-Jul	16-Jul	0.00	0.0
Õ Glenn Redemann		ETN	SS	3	1.2400	16-Jul	16-Jul	0.00	0.0
Õ Glenn Redemann		ETN	SS	3	1.3600	16-Jul	16-Jul	0.00	0.0
SANDLER O'NEILL ASSET	031	EV	SS	50,000	40.62	23-May	2-Aug	2,500.00	5.0
WILLIAM BLAIR	031	EXPD	B	71,700	44.50	n/a	n/a	2,868.00	4.0
PHILADELPHIA FINANCIAL	033	FII	B	135,000	35.63	n/a	2-Aug	6,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EATON VANCE MGMT	039	GE	B	240,457	38.78	n/a	n/a	7,213.71	3.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	33.47	21-Jun	31-Jul	400.00	4.0
GALLEON MGMT LP	033	GIL	S	10,000	33.64	21-Jun	31-Jul	500.00	5.0
TRELLUS PARTNERS LP	028	GM	B	150,000	32.59	4-May	1-Aug	7,500.00	5.0
BUCK	015	GS	B	300	185.08	15-Jun	13-Jul	0.00	0.0
INDEPENDENCE INV	039	HAL	B	20,100	35.10	n/a	n/a	804.00	4.0
LEDDY	035	HBI	C	1,000	30.70	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	HCC	S	70,000	29.31	n/a	n/a	2,800.00	4.0
NEUBERGER BERMAN	036	HEI	B	17,000	40.90	n/a	n/a	850.00	5.0
J L F ASSET MGMT	039	HIG	B	33,700	90.94	n/a	n/a	1,348.00	4.0
OGORMAN	146	HMA	S	400	7.58	31-Jul	31-Jul	0.00	0.0
CASTLEROCK	040	HOT	S	141,523	62.10	n/a	n/a	5,660.92	4.0
CAPITAL GROWTH MGMT.	241	IHP	B	20,000	64.89	n/a	n/a	1,000.00	5.0
MORGAN LEWIS/MLH	046	IMOS	S	10,000	6.89	n/a	n/a	500.00	5.0
GENERAL ELECTIC CO	033	INTU	S	36,747	28.71	n/a	n/a	1,653.62	4.5
DEMARTINI	065	ISE	S	500	65.60	30-Apr	13-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	IVZ	S	1,800	24.84	10-Jul	2-Aug	0.00	0.0
SENECA CAPITAL LP NY	028	IWM	B	140,000	77.65	n/a	n/a	7,000.00	5.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	48.54	22-Jun	22-Jun	400.00	4.0
COUGAR TRADING	044	JCG	B	15,000	48.58	22-Jun	22-Jun	750.00	5.0
COUGAR TRADING	044	JCG	S	5,000	48.78	22-Jun	22-Jun	250.00	5.0
JP MORGAN VENTURES	046	JCP	B	15,000	68.63	21-Jun	13-Jul	750.00	5.0
COLUMBIA PARTNERS	028	JOE	SS	88,750	39.37	n/a	n/a	3,550.00	4.0
BUCK	015	JPM	SS	500	43.71	n/a	19-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	KSS	B	210,000	60.05	14-Jun	27-Jul	8,400.00	4.0
BALYASNY ASSET MGMT	044	LUM	B	50,000	7.12	n/a	n/a	2,000.00	4.0
DIAMONDBACK CAP MGMT	232	MF	B	50,000	25.60	n/a	n/a	2,500.00	5.0
LEDDY	035	MIR	S	263	37.80	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	MKL	B	2,200	464.64	n/a	n/a	88.00	4.0
HEAL	044	NOA	S	16,000	17.55	n/a	n/a	800.00	5.0
GAULT	063	NYXHP	B	100	1.85	n/a	n/a	0.00	0.0
INDEPENDENCE INV	039	OXY	B	15,000	56.83	n/a	n/a	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	PFCB	SS	500	31.79	24-Apr	24-Apr	0.00	0.0
AMBER CAPITAL	046	PHLY	B	30,000	35.91	n/a	n/a	1,200.00	4.0
COUGAR TRADING	044	PLCE	S	5,000	33.82	12-Apr	9-Jul	250.00	5.0
BINDER	007	SDS	B	700	56.72	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	35,000	20.25	n/a	13-Mar	1,400.00	4.0
CRYSTAL ROCK CAP MGMT	031	SMG	B	20,100	41.79	9-Jul	1-Aug	1,005.00	5.0
BODRI CAPITAL MGMT	035	SOV	S	176,961	18.64	n/a	n/a	8,848.05	5.0
BUCK CAPITAL MGMT	001	SSI	S	50,000	17.83	25-May	13-Jul	2,000.00	4.0
FARALLON CAP MGMT	040	SWY	B	162,500	32.47	n/a	n/a	6,500.00	4.0
BRUNNER	012	TEL	S	375	35.21	n/a	n/a	0.00	0.0
LUCHANSKY2	036	TGIC	SS	1,000	27.05	n/a	n/a	0.00	0.0
COUGAR TRADING	044	TIF	B	5,000	48.31	1-Jun	13-Jun	250.00	5.0
COUGAR TRADING	044	TJX	B	5,000	28.01	13-Jul	13-Jul	250.00	5.0
BALYASNY ASSET MGMT	044	TLB	SS	10,000	22.43	n/a	17-Jul	500.00	5.0
BUCK	015	TOL	S	1,000	21.45	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	TRV	B	36,200	51.18	n/a	n/a	1,448.00	4.0
INDEPENDENCE INV	039	TSO	B	6,100	47.74	n/a	n/a	244.00	4.0
MAGUIRE	039	TTM	S	500	16.76	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	TUP	SS	68,200	32.04	n/a	n/a	2,046.00	3.0
BALYASNY ASSET MGMT	044	TWB	B	25,000	38.41	2-Jul	2-Jul	1,250.00	5.0
BUCK CAPITAL MGMT	001	TWB	B	15,000	37.73	2-Jul	2-Jul	600.00	4.0
BRUNNER	012	TYC	S	375	47.09	19-Jun	19-Jun	0.00	0.0
COUGAR TRADING	044	URBN	S	5,000	19.99	31-Jul	31-Jul	250.00	5.0
WILLIAM BLAIR	031	VPRT	B	16,870	35.21	n/a	n/a	674.80	4.0
HASAN	010	VSL	B	2,000	22.00	n/a	n/a	0.00	0.0
KAZMI	010	VSL	B	500	22.13	n/a	n/a	0.00	0.0
HEAL	044	WBC	B	15,000	47.85	n/a	n/a	750.00	5.0
COUGAR TRADING	044	WMT	B	15,000	45.75	4-Jun	27-Jul	750.00	5.0
J L F ASSET MGMT	039	WMT	B	81,500	45.88	4-Jun	27-Jul	3,260.00	4.0
JP MORGAN VENTURES	046	WMT	B	21,700	46.00	4-Jun	27-Jul	1,085.00	5.0
GRASSANO	053	WWE	B	100	14.60	n/a	n/a	0.00	0.0
S.A.C. CAPITAL MGMT.	044	XLI	B	100,000	39.48	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
INDEPENDENCE INV	039	XOM	S	34,800	85.07	n/a	n/a	1,392.00	4.0
BUCK CAPITAL MGMT	001	ZQK	S	10,000	12.75	9-Mar	27-Jun	400.00	4.0
COUGAR TRADING	044	ZQK	B	5,000	13.03	9-Mar	27-Jun	250.00	5.0
BRUNNER	012	ZQK	S	1,000	12.92	9-Mar	27-Jun	0.00	0.0
TOTAL (Trade Date):							155,366.75		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/31	PALMYRA CAPITAL (PALMFNYSGS)	048	BIG	SS	1,896	26.70	9-Mar	27-Jul	75.84 4.0
AO,CRT	07/31	PALMYRA CAPITAL (PALMFNYSGS)	048	BIG	SS	1,896	26.70	9-Mar	27-Jul	94.80 5.0
AO,CXL	07/31	PALMYRA CAPITAL (PALMGSCO)	048	BIG	SS	38,104	26.70	9-Mar	27-Jul	1524.16 4.0
AO,CRT	07/31	PALMYRA CAPITAL (PALMGSCO)	048	BIG	SS	38,104	26.70	9-Mar	27-Jul	1905.20 5.0
AO,CXL	07/30	NATIONAL BK OF CAN (NBCAMAIN)	031	C	B	40,000	47.34	n/a	23-Jul	2000.00 5.0
AO,CRT	07/30	NATIONAL BK OF CAN (NBCAMAIN)	031	C	B	40,000	47.34	n/a	23-Jul	1600.00 4.0
AO,CXL	07/31	CIRCLE T PART (CIRCMAN)	035	GM	B	43,100	33.50	4-May	1-Aug	0.00 0.0
AO,CRT	07/31	CIRCLE T PART (CIRCMAN)	035	GM	B	43,100	33.50	4-May	1-Aug	1724.00 4.0
AO,CRT	07/31	LUCHANSKY1 (LUCHANSKY1)	036	IGT	B	500	36.11	n/a	20-Jul	0.00 0.0
AO,CXL	07/31	LUCHANSKY1 (LUCHANSKY1)	036	IGT	B	500	36.11	n/a	20-Jul	0.00 0.0
AO,CXL	07/30	NATIONAL BK OF CAN (NBCAMAIN)	031	JPM	B	40,000	44.67	n/a	19-Jul	2000.00 5.0
AO,CRT	07/30	NATIONAL BK OF CAN (NBCAMAIN)	031	JPM	B	40,000	44.67	n/a	19-Jul	1600.00 4.0
AO,	07/31	BLACKROCK/BOSTON (BLRSTCE)	039	ROLL	B	585	38.34	n/a	n/a	11.70 2.0
AO,	07/31	BLACKROCK/BOSTON (BLRSSEIU)	039	ROLL	B	527	38.34	n/a	n/a	10.54 2.0
AO,	07/31	BLACKROCK/BOSTON (BLRSSUPV)	039	ROLL	B	527	38.34	n/a	n/a	10.54 2.0
AO,	07/31	BLACKROCK/BOSTON (BLRSNSIFSG)	039	ROLL	B	937	38.34	n/a	n/a	18.74 2.0
AO,	07/31	BLACKROCK/BOSTON (BLRSMLOSG)	039	ROLL	B	3,980	38.34	n/a	n/a	79.60 2.0
AO,	07/31	BLACKROCK/BOSTON (BLRSBRSCGE)	039	ROLL	B	7,965	38.34	n/a	n/a	159.30 2.0
AO,CRT	07/31	S.A.C. CAPITAL MGMT. (SACCNEW)	044	TOL	S	250,000	22.47	n/a	n/a	12500.00 5.0
AO,CXL	07/31	SAC TRADING/BILLY (SACBMORGAN)	063	TOL	S	250,000	22.47	n/a	n/a	12500.00 5.0
AO,CRT	07/31	MKT MAKER (MKT MAKER)	099	URBN	B	52	20.82	31-Jul	31-Jul	0.00 0.0
AO,CXL	07/31	IMPALA ASSET MMT (IMPAGOLDMA)	012	VOE	S	86,800	14.17	n/a	n/a	2604.00 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/31	IMPALA ASSET MMT (IMPAGOLDMA)	012	VSE	S	86,800	14.17	n/a	n/a	2604.00 3.0
TOTAL (AO, CXL, CRT) :										1,614.42

TOTAL (Trade Date):										155,366.75
TOTAL (AO, CXL, CRT):										1,614.42
TOTAL (RUN DATE) / NFS:										156,981.17

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION