



Daily Compliance Activity Report

Trade Date: 06/22/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		210 HK	S	2,163,700	0.88	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		494 HK	S	1,884,000	2.00	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT	001	ANF	B	7,500	66.01	n/a	19-May	225.00	3.0
B BUCK CAPITAL MGMT		ANN	B	19,000	26.36	n/a	n/a	non-brg	4.0
MA CAPITAL MGMT/MELLON ADV041		ANN	B	5,000	27.10	n/a	n/a	250.00	5.0
f DAVID GEORGE BUCK	FID	ASH	B	1500	63.86	n/a	1-Jun	0.00	0.0
TRELLUS PARTNERS LP	028	AXL	SS	40,000	10.84	n/a	25-May	2,000.00	5.0
TUDOR INVESTORS	028	BA	B	5,000	73.27	n/a	21-Jun	150.00	3.0
B BUCK CAPITAL MGMT		BBBY	B	100,000	54.23	n/a	n/a	non-brg	4.0
STANDARD PACIFIC CAPITAL	040	CE	B	45,000	51.14	n/a	20-May	2,250.00	5.0
JP MORGAN VENTURES	046	CHRS	S	20,000	4.01	n/a	n/a	600.00	3.0
f DAVID GEORGE BUCK	FID	C	B	2000	39.97	n/a	16-Jun	0.00	0.0
f CHRISTOPHER ROBERT C	FID	DAN	S	250	17.08	n/a	25-May	0.00	0.0
TUDOR INVESTORS	028	DAN	B	5,000	17.12	n/a	25-May	150.00	3.0
f CHRISTOPHER ROBERT C	FID	DECK	S	75	84.57	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TUDOR INVESTORS	028	DE	SS	5,000	82.27	n/a	19-May	150.00	3.0
SONTERRA CAP	041	ERJ	SS	43,600	30.87	n/a	n/a	1,744.00	4.0
KADE CAPITAL LLC	028	EXP	B	2,500	28.17	n/a	n/a	125.00	5.0
AVESTA CAP	229	FCX	SS	50,000	49.07	n/a	n/a	1,500.00	3.0
ß BUCK CAPITAL MGMT		FDX	S	100	91.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FDX	S	200	91.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FDX	S	200	91.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FDX	S	3,800	91.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FDX	S	400	91.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FDX	S	400	91.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FDX	S	500	91.83	n/a	n/a	non-brg	4.0
STRONG/WELLS CAP ANN F	047	FFIV	B	45,386	107.14	n/a	n/a	907.72	2.0
ROYCE TRADING	012	FINL	B	16,561	22.59	n/a	21-Jun	828.05	5.0
RAINIER INV MGMT	035	FMER	B	144,890	16.09	n/a	n/a	5,795.60	4.0
CYRUS CAPITAL	025	F	B	48,000	13.46	n/a	2-Jun	1,920.00	4.0
ß BUCK CAPITAL MGMT		GCO	S	24,000	46.11	n/a	n/a	non-brg	4.0
CYRUS CAPITAL	025	GM	B	65,000	30.08	n/a	2-Jun	2,600.00	4.0
OZ MGMT	040	GM	SS	50,000	29.70	n/a	2-Jun	1,500.00	3.0
PHILADELPHIA FINANCIAL	033	GM	SS	24,827	29.98	n/a	2-Jun	993.08	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MA CAPITAL MGMT/MELLON	ADV041	HBI	B	5,000	29.22	n/a	21-Apr	250.00	5.0
f KAREN F LEDDY	FID	HL	B	700	7.59	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	HNT	S	2000	31.61	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	HPQ	S	1500	35.43	n/a	n/a	0.00	0.0
RIDGECREST INV MGMT LLC	028	IP	B	15,000	28.95	n/a	21-Jun	750.00	5.0
TUDOR INVESTORS	028	ITT	S	5,000	57.74	n/a	4-May	150.00	3.0
TUDOR INVESTORS	028	ITW	SS	5,000	56.30	n/a	27-Apr	150.00	3.0
TUDOR INVESTORS	028	JCI	SS	4,000	39.28	n/a	10-Jun	120.00	3.0
f DAVID GEORGE BUCK	FID	JPM	B	1000	41.37	n/a	16-Jun	0.00	0.0
BASIX	033	KMT	B	15,000	40.80	n/a	29-Apr	450.00	3.0
TUDOR INVESTORS	028	KMT	B	10,000	40.44	n/a	29-Apr	300.00	3.0
f JOHN MICHAEL ZOLIDIS	FID	KMX	B	200	32.86	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		KSS	B	30,000	51.05	n/a	3-Jun	non-brg	4.0
THE JAY GOLDMAN	028	KSS	B	10,000	51.12	n/a	3-Jun	400.00	4.0
B BUCK CAPITAL MGMT		LTD	B	14,000	36.71	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		LTD	B	20,000	36.70	n/a	n/a	non-brg	4.0
WEISS PECK	040	MBND	S	3,000	3.35	n/a	n/a	90.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	MCD	S	1500	82.95	n/a	8-Jun	0.00	0.0
ROYCE TRADING	012	MLI	B	6,000	36.73	n/a	27-Apr	300.00	5.0
f CAROL ANNE LACHENAU	FID	MWA	S	1490	3.60	n/a	n/a	0.00	0.0
f DOUGLAS ROGERS	FID	MWA	S	1480	3.58	n/a	n/a	0.00	0.0
Õ Doug Rogers		MWA	S	1200	3.70	n/a	n/a	0.00	0.0
Õ Doug Rogers		MWA	S	1800	3.70	n/a	n/a	0.00	0.0
f TR U/W OF CH RISTINA	FID	MWA	S	1450	3.60	n/a	n/a	0.00	0.0
MACQUARIE INVESTMENT MGMT. 040	M		B	5,000	28.50	n/a	3-Jun	0.00	0.0
PARAMETER CAPITAL MGMT LLC044	NDAQ		B	41,000	24.27	n/a	n/a	1,640.00	4.0
COOPER CREEK PART	028	NP	SS	5,000	21.52	n/a	11-May	200.00	4.0
f CHRISTOPHER ROBERT C	FID	PCL	S	200	39.95	n/a	3-Jun	0.00	0.0
f DAVID GEORGE BUCK	FID	PG	B	1000	64.32	n/a	20-Jun	0.00	0.0
f CHRISTOPHER ROBERT C	FID	PH	S	75	87.24	n/a	28-Apr	0.00	0.0
CATAPULT	040	PKG	B	15,000	27.11	n/a	21-Jun	600.00	4.0
ROARING BLUE LION MGMT	012	PLCE	B	1,600	44.81	n/a	8-Jun	64.00	4.0
LISTED ERR	099	PLCE	B	1,600	44.81	n/a	8-Jun	0.00	0.0
LISTED ERR	099	PLCE	S	1,600	44.82	n/a	8-Jun	0.00	0.0
DELTEC ASSET MGMT.	040	SLB	B	5,000	84.71	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOSEPH CIRO AMATURO	FID	SLW	S	5000	33.42	n/a	n/a	0.00	0.0
S.A.C. CAP/ORENSTEIN	044	SMH	B	100,000	32.91	n/a	n/a	3,500.00	3.5
f JASON ERIC COHEN	FID	SPY	B	100	129.61	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	S	B	20,000	5.15	n/a	n/a	800.00	4.0
PARAMETER CAPITAL MGMT LLC	044	TEX	B	1,700	27.47	n/a	25-Apr	68.00	4.0
DAVIDSON KEMPNER ADVISOR	040	TIN	S	60,000	29.58	n/a	21-Jun	3,000.00	5.0
CYRUS CAPITAL	025	TRW	B	33,879	56.21	n/a	n/a	1,355.16	4.0
f JOSEPH CIRO AMATURO	FID	TZA	B	5000	37.16	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	UPS	B	6,000	71.53	n/a	n/a	300.00	5.0
ELM RIDGE ADVISORS	044	USG	SS	251,600	15.02	n/a	20-Apr	10,064.00	4.0
PEAK INV LLC	084	WFCWS	S	16,200	9.30	n/a	n/a	648.00	4.0
SIGMA CAPITAL ASSOCIATES	041	WMT	SS	25,000	52.94	n/a	18-May	875.00	3.5
f JOHN BARTMAN COLE	FID		B	37500	0.28	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								50,012.61	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/21	BALYASNY ASSET MGMT	044	ARO	S	25,000	18.22	n/a	20-May	1250.00 5.0
AO,CXL	06/21	BALYASNY ASSET MGMT	044	ARO	S	25,000	18.22	n/a	20-May	1250.00 5.0
AO,CXL	06/21	BALYASNY ASSET MGMT	044	JCP	SS	5,000	35.89	n/a	3-Jun	250.00 5.0
AO,	06/21	BALYASNY ASSET MGMT	044	JCP	SS	5,000	35.89	n/a	3-Jun	250.00 5.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date) :	50,012.61
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS :	50,012.61
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 22-Jun.
NONE

MRI Securities without Trade Activity on 22-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 3%
YTD = 3%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)