

Daily Compliance Activity Report Trade Date: 02/07/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BARR	096	AAI	В	2,000	8.85	n/a	n/a	0.00	0.0
BACKUS	005	AAPL	В	2,000	121.10	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ABG	В	20,000	14.09	25-Jan	1-Feb	800.00	4.0
STRAUSS ASSET MGMT	040	AERO	В	4,200	5.50	n/a	n/a	210.00	5.0
AELTUS/ING IVESTMENT MGT	044	AIG	S	150,000	51.80	n/a	n/a	6,000.00	4.0
CENTURION GLOBAL MGMT LL	041	ANF	S	6,200	77.81	10-Jan	4-Feb	186.00	3.0
COUGAR TRADING	044	ANF	В	5,000	77.79	10-Jan	4-Feb	250.00	5.0
COUGAR TRADING	044	ANF	SS	10,000	77.32	10-Jan	4-Feb	500.00	5.0
JP MORGAN VENTURES	046	ANF	С	10,000	77.37	10-Jan	4-Feb	500.00	5.0
MERRILL ASSET	032	ANN	S	177 , 500	25.35	11-Jan	7-Feb	7,987.50	4.5
BALYASNY ASSET MGMT	044	AN	SS	20,000	14.68	n/a	25-Jan	1,000.00	5.0
BALYASNY ASSET MGMT	044	ARO	В	20,000	26.72	29-Nov	4-Feb	1,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	ARO	S	15,000	25.96	29-Nov	4-Feb	600.00	4.0
CAPITAL GROWTH MGMT.	241	ATW	S	40,000	82.64	n/a	n/a	2,000.00	5.0
PAW PARTNERS	041	AVT	В	12,000	33.46	n/a	n/a	600.00	5.0
AMBER CAPITAL	046	BHI	В	165,000	64.16	n/a	n/a	6,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
BUCK	015	BIIB	В	600	61.76	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	BKE	S	20,000	41.13	n/a	n/a	750.00	3.8
TRUSTCO/SUNTRUST	040	BONT	S	40,156	6.95	4-Jan	4-Feb	1,204.68	3.0
BALYASNY ASSET MGMT	044	BWA	В	5,000	45.42	14-Jan	18-Jan	250.00	5.0
COUGAR TRADING	044	BWA	В	15,000	45.01	14-Jan	18-Jan	750.00	5.0
TRELLUS PARTNERS LP	028	BWA	В	50,000	45.41	14-Jan	18-Jan	2,500.00	5.0
WAGONHOUND	048	BWA	В	7,750	45.77	14-Jan	18-Jan	310.00	4.0
N STRATTON	014	CADA	В	100	40.50	n/a	n/a	0.00	0.0
SOCIETY GENERALE	040	CAKE	S	5,000	20.01	n/a	24-Apr	200.00	4.0
BUCK	015	CELG	SS	800	57.55	n/a	n/a	0.00	0.0
GRISANTI BROWN & PARTNER	048	CMCSA	S	21,500	17.42	26-0ct	4-Jan	1,075.00	5.0
NATIONAL BK OF CAN	031	CME	S	2,000	519.23	n/a	6-Feb	80.00	4.0
LISTED ERR	099	CME	В	2,000	518.02	n/a	6-Feb	0.00	0.0
LISTED ERR	099	CME	S	2,000	518.43	n/a	6-Feb	0.00	0.0
MERRILL ASSET	032	CNB	В	63,900	14.06	n/a	n/a	2,875.50	4.5
CASTLEROCK	040	СОН	SS	48,800	30.46	24-Oct	24-Jan	1,952.00	4.0
BUCK	015	CPN	S	1,000	16.51	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CROX	В	65,000	31.95	n/a	n/a	2,600.00	4.0
	BUCK SYSTEMATIC FINANCIAL MGT TRUSTCO/SUNTRUST BALYASNY ASSET MGMT COUGAR TRADING TRELLUS PARTNERS LP WAGONHOUND N STRATTON SOCIETY GENERALE BUCK GRISANTI BROWN & PARTNER NATIONAL BK OF CAN LISTED ERR LISTED ERR MERRILL ASSET CASTLEROCK BUCK	BUCK 015 SYSTEMATIC FINANCIAL MGT 035 TRUSTCO/SUNTRUST 040 BALYASNY ASSET MGMT 044 COUGAR TRADING 044 TRELLUS PARTNERS LP 028 WAGONHOUND 048 N STRATTON 014 SOCIETY GENERALE 040 BUCK 015 GRISANTI BROWN & PARTNER 048 NATIONAL BK OF CAN 031 LISTED ERR 099 LISTED ERR 0999 LISTED ERR 0999 MERRILL ASSET 032 CASTLEROCK 040	BUCK 015 BIIB SYSTEMATIC FINANCIAL MGT 035 BKE TRUSTCO/SUNTRUST 040 BONT BALYASNY ASSET MGMT 044 BWA COUGAR TRADING 044 BWA TRELLUS PARTNERS LP 028 BWA WAGONHOUND 048 BWA N STRATTON 014 CADA SOCIETY GENERALE 040 CAKE BUCK 015 CELG GRISANTI BROWN & PARTNER 048 CMCSA NATIONAL BK OF CAN 031 CME LISTED ERR 099 CME LISTED ERR 099 CME LISTED ERR 099 CME CASTLEROCK 040 COH BUCK 015 CPN	BUCK SYSTEMATIC FINANCIAL MGT O35 BKE SYSTEMATIC FINANCIAL MGT O40 BONT S BALYASNY ASSET MGMT COUGAR TRADING TRELLUS PARTNERS LP WAGONHOUND O48 BWA B N STRATTON O14 CADA B SOCIETY GENERALE O40 CAKE S GRISANTI BROWN & PARTNER O48 CMCSA NATIONAL BK OF CAN LISTED ERR O99 CME B MERRILL ASSET O32 CNB BUCK O15 CPN S S S S S S S S S S S S S	BUCK 015 BIIB B 600 SYSTEMATIC FINANCIAL MGT 035 BKE S 20,000 TRUSTCO/SUNTRUST 040 BONT S 40,156 BALYASNY ASSET MGMT 044 BWA B 5,000 COUGAR TRADING 044 BWA B 15,000 TRELLUS PARTNERS LP 028 BWA B 50,000 WAGONHOUND 048 BWA B 7,750 N STRATTON 014 CADA B 100 SOCIETY GENERALE 040 CAKE S 5,000 BUCK 015 CELG SS 800 GRISANTI BROWN & PARTNER 048 CMCSA S 21,500 NATIONAL BK OF CAN 031 CME S 2,000 LISTED ERR 099 CME B 2,000 LISTED ERR 099 CME B 2,000 MERRILL ASSET 032 CNB B 63,900 CASTLEROCK 040 COH SS 48,800 BUCK 015 CPN S 1,000	BUCK 015 BITB B 600 61.76 SYSTEMATIC FINANCIAL MGT 035 BKE S 20,000 41.13 TRUSTCO/SUNTRUST 040 BONT S 40,156 6.95 BALYASNY ASSET MGMT 044 BWA B 5,000 45.42 COUGAR TRADING 044 BWA B 15,000 45.01 TRELLUS PARTNERS LP 028 BWA B 50,000 45.41 WAGONHOUND 048 BWA B 7,750 45.77 N STRATTON 014 CADA B 100 40.50 SOCIETY GENERALE 040 CAKE S 5,000 20.01 BUCK 015 CELG SS 800 57.55 GRISANTI BROWN & PARTNER 048 CMCSA S 21,500 17.42 NATIONAL BK OF CAN 031 CME S 2,000 518.02 LISTED ERR 099 CME B 2,000 518.02 LISTED ERR 099 CME B 2,000 518.43 MERRILL ASSET 032 CNB B 63,900 14.06 CASTLEROCK 040 COH SS 48,800 30.46 BUCK 015 CPN S 1,000 16.51	BUCK 015 BIIB B 600 61.76 n/a SYSTEMATIC FINANCIAL MGT 035 BKE S 20,000 41.13 n/a TRUSTCO/SUNTRUST 040 BONT S 40,156 6.95 4-Jan BALYASNY ASSET MGMT 044 BWA B 5,000 45.42 14-Jan COUGAR TRADING 044 BWA B 15,000 45.41 14-Jan 17ELLUS PARTNERS LP 028 BWA B 50,000 45.41 14-Jan WAGONHOUND 048 BWA B 7,750 45.77 14-Jan N STRATTON 014 CADA B 100 40.50 n/a SOCIETY GENERALE 040 CAKE S 5,000 20.01 n/a BUCK 015 CELG SS 800 57.55 n/a GRISANTI BROWN & PARTNER 048 CMCSA S 21,500 17.42 26-Oct NATIONAL BK OF CAN 031 CME B 2,000 518.02 n/a LISTED ERR 099 CME B 3,000 14.06 n/a CASTLEROCK 040 COH SS 48,800 30.46 24-Oct BUCK 015 CPN S 1,000 16.51 n/a	BUCK 015 BIIB B 600 61.76 n/a n/a SYSTEMATIC FINANCIAL MGT 035 BKE S 20,000 41.13 n/a n/a TRUSTCO/SUNTRUST 040 BONT S 40,156 6.95 4-Jan 4-Feb BALYASNY ASSET MGMT 044 BWA B 5,000 45.42 14-Jan 18-Jan 18-J	BUCK 015 BITB B 600 61.76 n/a n/a 0.00 SYSTEMATIC FINANCIAL MGT 035 BKE S 20,000 41.13 n/a n/a 750.00 TRUSTCO/SUNTRUST 040 BONT S 40,156 6.95 4-Jan 4-Feb 1,204.68 BALYASNY ASSET MGMT 044 BWA B 5,000 45.42 14-Jan 18-Jan 250.00 COUGAR TRADING 044 BWA B 15,000 45.01 14-Jan 18-Jan 750.00 TRELLUS PARTNERS LP 028 BWA B 50,000 45.41 14-Jan 18-Jan 2,500.00 WAGCONHOUND 048 BWA B 7,750 45.77 14-Jan 18-Jan 310.00 N STRATTON 014 CADA B 100 40.50 n/a n/a 0.00 BUCK 015 CELG 88 800 57.55 n/a n/a 0.00 GRISANTI BROWN & PARTNER 048 CMCSA S 21,500 17.42 26-Oct 4-Jan 1,075.00 NATIONAL BK OF CAN 031 CME S 2,000 519.23 n/a 6-Feb 80.00 LISTED ERR 099 CME B 2,000 518.02 n/a 6-Feb 0.00 LISTED ERR 099 CME B 2,000 518.02 n/a 6-Feb 0.00 LISTED ERR 099 CME B 3,000 14.06 n/a n/a 2,875.50 CASTLEROCK 040 COH SS 48,800 30.46 24-Oct 24-Jan 1,952.00 BUCK 015 CPN 8 1,000 16.51 n/a n/a 0.00

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. (Shr.	
TROUBH PART	067	CTSH	SS	20,000	27.32	n/a	n/a	1,000.00	5.0	
CAPITAL GROWTH MGMT.	241	С	В	15,200	26.83	16-Jan	22-Jan	760.00	5.0	
BUCK CAPITAL MGMT	001	DDS	SS	20,000	19.23	n/a	n/a	800.00	4.0	
CUMBERLAND	038	DRI	В	15,000	26.72	n/a	24-Apr	600.00	4.0	
BUCK CAPITAL MGMT	001	DSW	s	20,000	19.44	26-0ct	4-Feb	800.00	4.0	
OGORMAN	046	DUG	В	200	46.75	n/a	n/a	0.00	0.0	
NEUBERGER BERMAN	036	ERJ	S	11,900	41.99	n/a	n/a	535.50	4.5	
BUCK CAPITAL MGMT	001	ETH	SS	10,000	29.25	n/a	n/a	400.00	4.0	
NEUBERGER BERMAN	036	ETN	S	8,500	80.73	22-Jan	5-Feb	382.50	4.5	
NEUBERGER BERMAN	036	ETR	В	900	105.80	n/a	n/a	40.50	4.5	
ASCEND PARTNERS	033	FDO	SS	60,000	20.12	8-Jan	5-Feb	3,000.00	5.0	
NATIONAL BK OF CAN	031	FNM	В	16,000	32.20	n/a	n/a	640.00	4.0	
REINHART & MAHONEY	031	FSP	S	20,000	13.46	n/a	n/a	1,000.00	5.0	
JACOB	068	FXP	В	100	99.16	n/a	n/a	0.00	0.0	
FIRST NY SEC/CHRIS	041	GE	В	25,000	34.23	n/a	n/a	1,500.00	6.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
DELTEC ASSET MGMT.	040	GLW	В	15,000	22.64	n/a	28-Jan	750.00	5.0
COUGAR TRADING	044	GPS	S	5,000	19.51	n/a	4-Feb	250.00	5.0
LEDDY	035	HAL	В	400	33.55	n/a	n/a	0.00	0.0
YORK CAPITAL	036	HES	S	391,303	83.57	n/a	n/a	19,565.15	5.0
COUGAR TRADING	044	НОТТ	S	5,000	5.61	n/a	4-Feb	250.00	5.0
LEDDY	035	ITCD	S	1,000	3.50	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	IVZ	В	26,300	26.30	20-Dec	5-Feb	1,315.00	5.0
BEAR STEARNS ASSET MGMT.	033	JCP	S	147,100	48.19	4-Jan	4-Feb	5,884.00	4.0
BUCK CAPITAL MGMT	001	JCP	В	400,000	47.70	4-Jan	4-Feb	16,000.00	4.0
METROPOLITAN CAPITAL	044	JCP	S	20,525	47.62	4-Jan	4-Feb	1,026.25	5.0
BUCK CAPITAL MGMT	001	JNY	В	20,000	15.93	n/a	30-Jan	800.00	4.0
SANDLER O'NEILL ASSET	031	JPM	В	25 , 000	43.98	17-Jan	22-Jan	1,250.00	5.0
COLUMBIA PARTNERS	028	JWN	С	28 , 870	37.01	20-Nov	4-Feb	1,154.80	4.0
HUNTER GLOBAL INVESTOR	067	JWN	SS	50,000	37.79	20-Nov	4-Feb	2,500.00	5.0
JP MORGAN VENTURES	046	JWN	SS	10,000	36.35	20-Nov	4-Feb	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	JWN	С	20,000	37.68	20-Nov	4-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	KMX	SS	75,000	20.12	n/a	10-Jan	3,000.00	4.0
BUCK CAPITAL MGMT	001	KSS	В	500,000	45.22	4-Jan	4-Feb	20,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.
COUGAR TRADING	044	KSS	В	10,000	45.62	4-Jan	4-Feb	500.00	5.0
METROPOLITAN CAPITAL	044	KSS	S	16,500	45.17	4-Jan	4-Feb	825.00	5.0
NATIONAL BK OF CAN	031	LEH	В	15,000	60.28	n/a	22-Jan	600.00	4.0
NATIONAL BK OF CAN	031	LEH	SS	15,000	61.03	n/a	22-Jan	600.00	4.0
APEX CAPITAL MGMT.	091	LIZ	В	50,000	20.87	n/a	28-Jan	2,500.00	5.0
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JP MORGAN VENTURES	046	LTD	SS	10,000	18.11	n/a	4-Feb	500.00	5.0
BARR	096	LUV	В	520	12.30	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	MA	В	17,500	203.94	n/a	n/a	875.00	5.0
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BUCK CAPITAL MGMT	001	MCD	SS	20,000	54.42	n/a	24-Apr	800.00	4.0
GALLEON MGMT LP	033	MCD	SS	50,000	53.74	n/a	24-Apr	2,500.00	5.0
NATIONAL BK OF CAN	031	MER	SS	15,000	53.11	18-Jan	22-Jan	600.00	4.0
NEUBERGER BERMAN	036	MIR	S	11,000	36.43	n/a	n/a	495.00	4.5
NEODEROER DERERIN	000	11110	S	11,000	30.13	11, a	11, a	130.00	1.0
NATIONAL BK OF CAN	031	MS	В	17,000	44.86	20-Dec	22-Jan	680.00	4.0
COUGAR TRADING	044	М	SS	15,000	24.16	7-Feb	7-Feb	750.00	5.0
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ASCEND PARTNERS	033	NEM	С	50,000	50.25	n/a	n/a	2,500.00	5.0
NEUBERGER BERMAN	036	NE	S	18,600	43.96	n/a	n/a	837.00	4.5
N. Cobbicobit Dutanit		212	S	10,000	10.00	11/ 4	11, 0.	007.00	1.0
WHEELER	800	NLC	В	5,000	18.70	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. (Shr.
STG INVESTORS	041	NOK	В	100,000	34.80	n/a	n/a	5,000.00	5.0
GAULT	063	OESX	В	3,000	8.75	n/a	n/a	0.00	0.0
BUCK	015	ONXX	s	700	44.63	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	PBY	В	10,000	11.87	n/a	n/a	400.00	4.0
BUCK	015	PHRM	В	1,500	69.55	n/a	n/a	0.00	0.0
COUGAR TRADING	044	PSUN	S	10,000	10.96	n/a	4-Feb	500.00	5.0
SAC TRADING HOWARD	038	PVH	В	20,000	40.91	5-Feb	5-Feb	1,000.00	5.0
GRISANTI BROWN & PARTNER	048	QQQQ	С	29,900	43.30	n/a	n/a	1,495.00	5.0
KORMAN	003	QSUNM	В	30	7.63	n/a	n/a	0.00	0.0
COUGAR TRADING	044	RL	SS	5,000	62.94	7-Feb	7-Feb	250.00	5.0
GALLEON MGMT LP	033	RL	SS	·	62.55	7-Feb	7-Feb	500.00	5.0
HARVEST MGMT	039	RL	SS		62.87	7-Feb	7-Feb	1,000.00	5.0
HUNTER GLOBAL INVESTOR	067	RL	SS		63.03	7-Feb	7-Feb	2,500.00	5.0
MARK ASSETMGMT (SR)	040	RL	S	10,000	62.43	7-Feb	7-Feb	400.00	4.0
GALLEON MGMT LP	033	RTH	С	10,000	90.50	n/a	n/a	500.00	5.0
ASCEND PARTNERS	033	SAH	S	25,100	19.42	25-Jan	25-Jan	1,255.00	5.0
ACT II PARTNERS	067	SBAC	SS	30,000	28.21	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
GAULT	063	SKF	В	1,000	105.57	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	1,000	105.84	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGM	Γ 232	SKS	В	28,000	16.51	n/a	n/a	1,400.00	5.0
BACKUS	005	SNCR	В	4,000	19.10	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	SPY	С	30,000	132.37	n/a	n/a	900.00	3.0
BUCK	015	SPY	SS	400	132.65	n/a	n/a	0.00	0.0
TRUSTCO/SUNTRUST	040	SSI	S	82 , 800	13.51	4-Jan	4-Feb	2,484.00	3.0
MERRILL LYNCH STRATE	EGICI 034	TBL	S	35,000	16.12	n/a	13-Mar	1,400.00	4.0
BUCK CAPITAL MGMT	001	TGT	SS	100,000	54.18	n/a	27-Jul	4,000.00	4.0
PRINCIPLE CAPITAL	040	TPX	S	84,200	17.45	n/a	n/a	2,526.00	3.0
COUGAR TRADING	044	TWB	S	5,000	32.02	9-Jan	9-Jan	250.00	5.0
BUCK CAPITAL MGMT	001	UA	В	20,000	40.17	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	UA	S	10,000	42.33	n/a	n/a	400.00	4.0
COUGAR TRADING	044	URBN	SS	5,000	27.45	3-Jan	4-Feb	250.00	5.0
TYEE/TYGH	035	WBC	В	81 , 070	43.50	n/a	7-Feb	3,242.80	4.0
EMP ERROR	099	WBC	В	1,000	43.50	n/a	7-Feb	0.00	0.0
EMP ERROR	099	WBC	s	1,000	43.45	n/a	7-Feb	0.00	0.0
BUCK	015	WB	В	500	34.62	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
AMBER CAPITAL	046	WFT	S	277,000	60.28	n/a	n/a	11,080.00	4.0
APEX CAPITAL MGMT.	091	WMT	В	300,000	48.85	n/a	5-Feb	15,000.00	5.0
NATIONAL BK OF CAN	031	WM	SS	28,000	18.21	n/a	n/a	1,120.00	4.0
BUCK CAPITAL MGMT	001	ZQK	В	100,000	8.72	5-Feb	5-Feb	4,000.00	4.0
PEREGRINE	030	ZQK	В	224,000	8.85	5-Feb	5-Feb	11,200.00	5.0
PAW PARTNERS	041	ZZ	SS	36,000	10.41	n/a	n/a	1,800.00	5.0

TOTAL (Trade Date): 222,999.18

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO, CRT	01/31	ZOLIDIS (ZOLIDIS)	011	ANF	В	150	79.62	10-Jan	4-Feb	0.00	0.0
AO, CXL	01/31	ZOLIDIS (ZOLIDIS)	011	ANF	В	150	79.62	10-Jan	4-Feb	0.00	0.0
AO, CRT	01/31	ZOLIDIS (ZOLIDIS)	011	ARO	В	500	27.72	29-Nov	4-Feb	0.00	0.0
AO, CXL	01/31	ZOLIDIS (ZOLIDIS)	011	ARO	В	500	27.72	29-Nov	4-Feb	0.00	0.0
AO,CXL	02/06	METROPOLITAN CAPITAL (METRSPEAR)	044	BWS	В	700	15.28	n/a	n/a	28.00	4.0
AO, CRT	02/06	METROPOLITAN CAPITAL (METRSPEAR)	044	BWS	В	700	15.28	n/a	n/a	35.00	5.0
CRT	02/07	TROUBH PART (TROUGOLD)	067	CTSH	SS	20,000	27.32	n/a	n/a	1000.00	5.0
AO, CRT	01/31	ZOLIDIS (ZOLIDIS)	011	DECK	В	200	120.38	n/a	n/a	0.00	0.0
AO, CXL	01/31	ZOLIDIS (ZOLIDIS)	011	DECK	В	200	120.38	n/a	n/a	0.00	0.0
CRT	02/07	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCP	В	399,500	47.70	4-Jan	4-Feb	15980.00	4.0
CRT	02/07	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	KSS	В	499,400	45.22	4-Jan	4-Feb	19976.00	4.0
CRT	02/07	COUGAR TRADING (COUGPB MS)	044	М	SS	15,000	24.16	7-Feb	7-Feb	750.00	5.0
CRT	02/07	ACT II PARTNERS (ACT2-GOLDM)	067	SBAC	SS	30,000	28.21	n/a	n/a	1500.00	5.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	100	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	200	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	100	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	100	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	100	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	400	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	ZQK	В	99,500	8.72	5-Feb	5-Feb	3980.00	4.0

Туре	Date Account	RR # Symbol B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL	(AO, CXL, CRT):				43,1	93.00
TOTAL	(Trade Date):				222,9	99.18
TOTAL	(AO, CXL, CRT):				43,1	93.00
TOTAL	(RUN DATE) / NFS:				266,1	92.18

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION