



## Daily Compliance Activity Report

Trade Date: 08/09/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHALE ROCK CAP	030	AAPL	S	70,000	126.66	n/a	n/a	2,800.00	4.0
ADAGE CAPITAL MGMT	030	AEO	B	100,000	22.76	10-Jul	6-Aug	4,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>B</b>	<b>50,000</b>	<b>23.32</b>	<b>10-Jul</b>	<b>6-Aug</b>	<b>2,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	AEO	B	10,000	23.04	10-Jul	6-Aug	500.00	5.0
COUGAR TRADING	044	AEO	S	10,000	22.80	10-Jul	6-Aug	500.00	5.0
FRIESS MGMT	042	AEO	B	512,200	22.90	10-Jul	6-Aug	23,049.00	4.5
OWL CREEK INV	032	ALU	S	4,045,000	11.52	n/a	n/a	101,125.01	2.5
INDEPENDENCE INV	039	AL	S	30,150	95.65	n/a	n/a	1,206.00	4.0
CRAMER ROSENTHAL/SCOTT	012	AMG	B	116,700	112.86	25-Jul	8-Aug	4,668.00	4.0
ADAGE CAPITAL MGMT	030	ANF	B	100,000	75.45	12-Jul	9-Aug	4,000.00	4.0
BALYASNY ASSET MGMT	044	ANF	B	5,000	74.50	12-Jul	9-Aug	250.00	5.0
STADIA CAPITAL	035	AN	SS	25,000	21.06	n/a	27-Jul	1,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>555,100</b>	<b>33.46</b>	<b>n/a</b>	<b>6-Aug</b>	<b>22,204.00</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	B	20,000	34.38	n/a	6-Aug	1,000.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	B	10,000	33.47	n/a	6-Aug	375.00	3.8
HUNTER GLOBAL INVESTOR	067	BA	B	20,000	98.88	n/a	n/a	1,000.00	5.0
WHITE PINE CAPITAL	030	BIG	C	500	26.74	9-Mar	7-Aug	25.00	5.0
PHILADELPHIA FINANCIAL	033	BLK	B	42,750	159.81	18-Jul	8-Aug	2,137.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
INDEPENDENCE INV	039	BNI	S	36,500	82.47	n/a	n/a	1,460.00	4.0
J L F ASSET MGMT	039	BONT	B	69,100	22.23	3-Aug	6-Aug	2,764.00	4.0
<b>KAICHER</b>	<b>064</b>	<b>BQI</b>	<b>S</b>	<b>5,000</b>	<b>4.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>BQI</b>	<b>S</b>	<b>800</b>	<b>4.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SINCLAIR</b>	<b>031</b>	<b>BSCAG</b>	<b>B</b>	<b>6</b>	<b>7.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BWS</b>	<b>B</b>	<b>20,000</b>	<b>19.61</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
ARIENCE CAPITAL	036	BYD	B	76,571	40.10	2-Aug	2-Aug	3,828.55	5.0
WEINTRAUB CAPITAL MGT.	040	CACH	S	7,100	17.69	n/a	n/a	355.00	5.0
JP MORGAN VENTURES	046	CAKE	S	25,000	27.83	24-Apr	24-Apr	1,250.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>CCOI</b>	<b>S</b>	<b>8,788</b>	<b>27.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Glenn Redemann</b>		<b>CG</b>	<b>B</b>	<b>2</b>	<b>0.6800</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Glenn Redemann</b>		<b>CG</b>	<b>B</b>	<b>2</b>	<b>1.5200</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
INDEPENDENCE INV	039	CG	S	23,000	74.02	n/a	n/a	920.00	4.0
MILLENIUM PARTNERS	028	CHAP	B	20,000	84.29	n/a	n/a	1,000.00	5.0
HIGHFIELDS CAP MGMT	039	CHK	B	200,000	33.89	n/a	n/a	10,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CHS</b>	<b>B</b>	<b>10,000</b>	<b>19.52</b>	<b>12-Jul</b>	<b>6-Aug</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	CHS	B	5,000	19.72	12-Jul	6-Aug	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	COH	B	35,900	45.61	n/a	1-Aug	1,436.00	4.0
AVESTA CAP	229	COST	S	25,000	64.12	n/a	27-Jul	1,250.00	5.0
BAIRD INVESTMENT	031	CRR	B	21,654	49.81	n/a	26-Jul	1,082.70	5.0
THIRD COAST CAPITAL	035	CSH	S	35,000	31.30	n/a	n/a	1,750.00	5.0
BEAR STEARNS ASSET MGMT.	033	CWTR	S	33,300	21.39	n/a	n/a	1,332.00	4.0
APEX CAPITAL MGMT.	091	DDS	B	50,000	23.24	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	DDS	B	10,000	22.97	n/a	n/a	400.00	4.0
BALYASNY ASSET MGMT	044	DKS	SS	10,000	60.97	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	DKS	S	40,000	61.10	n/a	n/a	1,600.00	4.0
ROTHSCHILD ASSET	040	DST	S	19,680	80.33	n/a	n/a	885.60	4.5
MAZAMA CAPITAL MGMT.	035	DVR	S	85,800	14.94	n/a	n/a	3,432.00	4.0
LOOMIS SALES/BOSTON	036	DW	B	40,000	34.94	n/a	n/a	1,600.00	4.0
OGORMAN	146	DXD	S	300	49.77	n/a	n/a	0.00	0.0
WHALE ROCK CAP	030	EMC	S	538,337	17.97	n/a	n/a	21,533.48	4.0
HIGHBRIDGE CAPITAL	038	EMN	SS	24,000	65.77	n/a	n/a	1,200.00	5.0
THIRD COAST CAPITAL	035	EXBD	SS	12,000	69.87	n/a	n/a	600.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AVESTA CAP	229	FDO	B	10,000	26.95	9-Aug	9-Aug	500.00	5.0
STADIA CAPITAL	035	FDO	SS	120,000	26.82	9-Aug	9-Aug	4,800.00	4.0
WEINTRAUB CAPITAL MGT.	040	FDO	B	26,300	27.00	9-Aug	9-Aug	1,315.00	5.0
ROTHSCHILD ASSET	040	FIF	B	710	31.40	n/a	n/a	31.95	4.5
<b>KANTROWITZ</b>	<b>N21</b>	<b>GPI</b>	<b>S</b>	<b>30</b>	<b>36.60</b>	<b>27-Apr</b>	<b>1-Aug</b>	<b>0.00</b>	<b>0.0</b>
MORGENS WATERFALL	040	GPS	B	225,000	16.27	n/a	6-Aug	6,750.00	3.0
HUNTER GLOBAL INVESTOR	067	GS	S	51,600	185.65	15-Jun	9-Aug	2,580.00	5.0
LOOMIS SALES/BOSTON	036	HAS	C	279,900	26.38	n/a	n/a	11,196.00	4.0
HARVEST MGMT	039	HBI	SS	16,700	29.23	n/a	n/a	835.00	5.0
DIAMONDBACK CAP MGMT	232	HCBK	S	100,000	13.64	n/a	n/a	5,000.00	5.0
COLUMBIA PARTNERS	028	HC	B	11,700	23.87	14-Mar	8-Aug	351.00	3.0
SARAS CAPITAL	038	HD	B	146,000	35.78	n/a	27-Jul	7,300.00	5.0
<b>LED DY</b>	<b>035</b>	<b>HLYS</b>	<b>B</b>	<b>1,000</b>	<b>10.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HUNTER GLOBAL INVESTOR	067	ICE	S	30,000	162.12	n/a	n/a	1,500.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>ISE</b>	<b>S</b>	<b>5,000</b>	<b>65.73</b>	<b>30-Apr</b>	<b>8-Aug</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>ITCD</b>	<b>S</b>	<b>500</b>	<b>8.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>MAGUIRE</b>	<b>090</b>	<b>ITCD</b>	<b>S</b>	<b>20,000</b>	<b>8.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>ITCD</b>	<b>S</b>	<b>5,000</b>	<b>8.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	IVZ	B	1,700	24.40	8-Aug	9-Aug	85.00	5.0
COUGAR TRADING	044	IVZ	S	1,700	25.25	8-Aug	9-Aug	85.00	5.0
U.S.TRUST COMPANY	012	IVZ	B	60,000	25.28	8-Aug	9-Aug	2,700.00	4.5
GALLEON MGMT LP	033	IWM	C	20,000	78.23	n/a	n/a	1,000.00	5.0
HARVEST MGMT	039	JCG	SS	10,000	51.37	22-Jun	6-Aug	500.00	5.0
COUGAR TRADING	044	JCP	B	5,000	67.50	21-Jun	6-Aug	250.00	5.0
COUGAR TRADING	044	JCP	S	5,000	66.39	21-Jun	6-Aug	250.00	5.0
J L F ASSET MGMT	039	JCP	B	55,600	65.74	21-Jun	6-Aug	2,224.00	4.0
NATIONAL BK OF CAN	031	JPM	B	40,000	44.73	n/a	19-Jul	1,600.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>60,000</b>	<b>59.93</b>	<b>14-Jun</b>	<b>27-Jul</b>	<b>2,400.00</b>	<b>4.0</b>
REICH AND TANG	067	LDL	B	200,000	9.18	n/a	n/a	4,000.00	2.0
STRAUSS ASSET MGMT	040	LEAP	B	7,500	57.97	n/a	n/a	375.00	5.0
<b>APEX CAPITAL MGMT.</b>	<b>091</b>	<b>LIZ</b>	<b>SS</b>	<b>40,000</b>	<b>35.51</b>	<b>n/a</b>	<b>6-Aug</b>	<b>2,000.00</b>	<b>5.0</b>
J L F ASSET MGMT	039	LIZ	SS	102,000	34.87	n/a	6-Aug	4,080.00	4.0
<b>MAGUIRE</b>	<b>090</b>	<b>LNC</b>	<b>S</b>	<b>5,000</b>	<b>56.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	LUK	S	53,300	46.74	n/a	n/a	2,665.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>LVQIK</b>	<b>S</b>	<b>30</b>	<b>2.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	MCCC	B	13,000	6.85	7-Aug	8-Aug	390.00	3.0
<b>BARR</b>	<b>096</b>	<b>MENT</b>	<b>S</b>	<b>3,000</b>	<b>11.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>MER</b>	<b>B</b>	<b>700</b>	<b>75.99</b>	<b>18-Jul</b>	<b>2-Aug</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	036	MET	B	35,000	62.92	n/a	n/a	1,750.00	5.0
DALTON GREINER	012	MLI	B	28,485	33.71	24-Jul	24-Jul	1,424.25	5.0
<b>BARR</b>	<b>096</b>	<b>MLNX</b>	<b>S</b>	<b>1,000</b>	<b>19.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
Ö <b>Ted Wheeler</b>		<b>MTRX</b>	<b>B</b>	<b>10000</b>	<b>17.0000</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BRUNNER</b>	<b>012</b>	<b>MTRX</b>	<b>B</b>	<b>500</b>	<b>17.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>MZZ</b>	<b>B</b>	<b>15,000</b>	<b>57.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>NGI</b>	<b>S</b>	<b>5,800</b>	<b>4.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	NOC	S	34,600	75.00	n/a	n/a	1,557.00	4.5
DSG CAPITAL	225	NPO	B	3,000	32.92	n/a	n/a	150.00	5.0
Ö <b>Ted Wheeler</b>		<b>NPO</b>	<b>B</b>	<b>2500</b>	<b>32.8700</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NTY</b>	<b>B</b>	<b>15,000</b>	<b>35.26</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	NWY	S	15,000	7.73	25-May	6-Aug	750.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>ORB</b>	<b>S</b>	<b>10,000</b>	<b>20.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	ORB	S	1,000	20.46	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	B	35,000	36.45	n/a	n/a	1,400.00	4.0
LEDDY	035	PCH	B	1,000	43.07	27-Jul	27-Jul	0.00	0.0
LEDDY	035	PCL	S	400	43.79	10-Jul	24-Jul	0.00	0.0
BARR	096	PDFS	SS	1,500	11.66	n/a	n/a	0.00	0.0
BARR	096	PDFS	S	4,939	11.90	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	PFCB	C	21,100	36.65	24-Apr	24-Apr	1,055.00	5.0
PLATTE RIVER CAPITAL	240	PFE	S	149,350	24.41	n/a	31-Mar	5,974.00	4.0
WHEELER	008	PFE	S	8,000	24.41	n/a	31-Mar	0.00	0.0
HIGHBRIDGE CAPITAL	038	PPG	SS	13,000	73.01	n/a	23-Jul	650.00	5.0
COUGAR TRADING	044	PSUN	B	25,000	15.35	n/a	6-Aug	1,250.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	51.52	31-May	6-Aug	400.00	4.0
MILTON PARTNERS	060	PYX	B	75,000	18.00	n/a	4-May	1,500.00	2.0
FIRST NEW YORK LEDDY	035	Q	S	5,000	8.16	n/a	n/a	300.00	6.0
CUMBERLAND	038	RESP	B	75,000	46.15	n/a	n/a	3,000.00	4.0
COUGAR TRADING	044	RL	B	30,000	78.63	9-Aug	9-Aug	1,500.00	5.0
COUGAR TRADING	044	RL	S	10,000	76.97	9-Aug	9-Aug	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
INDEPENDENCE INV	039	RL	S	44,550	78.94	9-Aug	9-Aug	1,782.00	4.0
STADIA CAPITAL	035	RL	S	40,000	77.56	9-Aug	9-Aug	1,600.00	4.0
HIGHBRIDGE CAPITAL	038	ROH	SS	20,000	57.49	n/a	24-Jul	0.00	0.0
OGORMAN	146	SDS	B	1,000	54.33	n/a	n/a	0.00	0.0
OGORMAN	146	SDS	S	800	54.69	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	SGMS	S	25,000	38.24	n/a	n/a	1,250.00	5.0
EGS ASSOCIATES LP	091	SKX	SS	5,000	22.04	n/a	13-Mar	300.00	6.0
SYSTEMATIC FINANCIAL MGT	035	SNA	B	20,125	49.00	n/a	n/a	754.68	3.8
KAICHER	064	SNCR	B	1,000	34.51	n/a	n/a	0.00	0.0
BARR	096	SNPS	B	5,000	23.55	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	SPY	C	20,000	147.79	n/a	n/a	1,000.00	5.0
IRONBOUND CAPITAL	012	SSCC	B	100,000	10.00	4-May	9-Aug	5,000.00	5.0
COUGAR TRADING	044	SSI	B	5,000	17.96	3-Aug	6-Aug	250.00	5.0
ROXBURY CAPITAL MGMT	035	SSI	B	220,000	17.94	3-Aug	6-Aug	8,800.00	4.0
WHITE PINE CAPITAL	030	SUF	B	1,500	4.60	n/a	n/a	60.00	4.0
PRINCIPLE CAPITAL	040	SVVS	B	45,300	37.36	n/a	n/a	1,359.00	3.0
HUNTER GLOBAL INVESTOR	067	SWY	B	96,500	31.23	n/a	n/a	4,825.00	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SARAS CAPITAL	038	TGT	S	80,000	63.06	n/a	27-Jul	4,000.00	5.0
ASCEND PARTNERS	033	TJX	B	35,000	27.49	13-Jul	6-Aug	1,750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>B</b>	<b>10,000</b>	<b>27.78</b>	<b>13-Jul</b>	<b>6-Aug</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	TJX	B	5,000	28.30	13-Jul	6-Aug	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	TJX	SS	15,000	27.59	13-Jul	6-Aug	600.00	4.0
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>TLB</b>	<b>SS</b>	<b>3,000</b>	<b>24.78</b>	<b>n/a</b>	<b>6-Aug</b>	<b>180.00</b>	<b>6.0</b>
BALYASNY ASSET MGMT	044	TPX	SS	10,000	30.61	n/a	n/a	500.00	5.0
PRINCIPLE CAPITAL	040	TUP	B	47,000	26.93	n/a	n/a	1,410.00	3.0
MILTON PARTNERS	060	TW	S	11,700	21.98	n/a	n/a	351.00	3.0
MATRIX ASSET ADV.	036	TYC	B	42,650	44.06	6-Aug	7-Aug	1,706.00	4.0
COLUMBIA PARTNERS	028	UCO	B	9,000	73.22	10-May	8-Aug	270.00	3.0
<b>MKT MAKER</b>	<b>099</b>	<b>URBN</b>	<b>B</b>	<b>10,300</b>	<b>23.97</b>	<b>31-Jul</b>	<b>7-Aug</b>	<b>0.00</b>	<b>0.0</b>
SSI INVESTORS	048	VZ	B	44,800	43.15	31-Jul	9-Aug	1,792.00	4.0
REINHART & MAHONEY	031	WFR	S	14,740	54.51	n/a	n/a	737.00	5.0
INDEPENDENCE INV	039	WHR	S	38,400	96.74	n/a	n/a	1,536.00	4.0
<b>MAGUIRE</b>	<b>090</b>	<b>WHT</b>	<b>S</b>	<b>400</b>	<b>3.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	WSO	B	35,100	51.33	n/a	n/a	1,404.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>WY</b>	<b>B</b>	<b>50,000</b>	<b>68.28</b>	<b>13-Jul</b>	<b>6-Aug</b>	<b>2,500.00</b>	<b>5.0</b>
GALLEON MGMT LP	033	XHB	C	20,000	27.53	n/a	n/a	1,000.00	5.0
GALLEON MGMT LP	033	XLE	C	15,000	68.00	n/a	n/a	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ZLC</b>	<b>B</b>	<b>30,000</b>	<b>24.92</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
BRIGHTPOINT CAP	067	ZQK	S	50,000	15.07	9-Mar	27-Jun	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ZQK</b>	<b>S</b>	<b>270,000</b>	<b>15.02</b>	<b>9-Mar</b>	<b>27-Jun</b>	<b>10,800.00</b>	<b>4.0</b>
<b>TOTAL (Trade Date):</b>								<b>389,108.72</b>	

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	08/08	MKT MAKER (MKT MAKER)	099	CHRS	B	100	8.00	n/a	22-Mar	0.00	0.0
AO,CXL	08/08	J L F ASSET MGMT (JLFA\$0.04)	039	DELL	B	100,000	27.84	n/a	n/a	4000.00	4.0
AO,CXL	08/08	BALYASNY ASSET MGMT (BALY)	044	ESPD	B	75,000	7.38	n/a	n/a	3750.00	5.0
AO,CRT	08/08	BALYASNY ASSET MGMT (BALY)	044	ESPD	B	75,000	7.38	n/a	n/a	3000.00	4.0
AO,CRT	08/07	BRUNNER (BRUNNER)	012	EXPD	S	500	49.72	n/a	n/a	0.00	0.0
AO,CXL	08/07	BRUNNER (BRUNNER)	012	EXPD	S	500	49.72	n/a	n/a	0.00	0.0
AO,	08/08	KORMAN (KORMAN)	003	JADE	B	2,000	10.00	n/a	n/a	0.00	0.0
AO,CXL	08/08	THIRD POINT MGMT (THIRCITI)	044	JNS	C	282,500	30.96	27-Jul	8-Aug	11300.00	4.0
AO,CRT	08/08	THIRD POINT MGMT (THIRCITI)	044	JNS	C	282,500	30.96	27-Jul	8-Aug	11300.00	4.0
AO,CXL	08/08	TRELLUS PARTNERS LP (TRELMAIN)	028	MCCC	B	100,000	7.78	7-Aug	8-Aug	5000.00	5.0
AO,CRT	08/08	TRELLUS PARTNERS LP (TRELMAIN)	028	MCCC	B	100,000	7.78	7-Aug	8-Aug	3000.00	3.0
CRT	08/09	TRELLUS PARTNERS LP (TRELMAIN)	028	MCCC	B	13,000	6.85	7-Aug	8-Aug	390.00	3.0
AO,CXL	08/08	MKT MAKER (MKT MAKER)	099	SLGN	B	13	53.73	n/a	n/a	0.65	5.0
AO,	08/08	MKT MAKER (MKT MAKER)	099	SLGN	B	13	53.73	n/a	n/a	0.00	0.0
AO,CRT	08/08	MKT MAKER (MKT MAKER)	099	SSCC	B	100	10.00	4-May	9-Aug	0.00	0.0
AO,	08/08	THIRD POINT MGMT (THIRGOLDMA)	044	TROW	C	150,000	56.43	n/a	8-Aug	4500.00	3.0
AO,CXL	08/06	REICH AND TANG (RICHMCNEIL)	067	TYC	B	150	47.94	6-Aug	7-Aug	7.50	5.0
AO,CRT	08/08	MKT MAKER (MKT MAKER)	099	URBN	B	5,000	24.05	31-Jul	7-Aug	0.00	0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
CRT	08/09	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	ZQK	S	269,600	15.02	9-Mar 27-Jun 10784.00	4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>8,915.85</b>
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TOTAL (Trade Date) :	389,108.72
TOTAL (AO, CXL, CRT) :	8,915.85

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<b>TOTAL (RUN DATE) / NFS :</b>	<b>398,024.57</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION