



# Daily Compliance Activity Report

Trade Date: 01/10/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NOMURA CAPITAL	029	ACW	S	30,000	16.01	n/a	n/a	900.00	3.0
BNP PARIBAS SEC	040	ALB	B	10,000	54.51	n/a	n/a	500.00	5.0
PARAMETER CAPITAL MGMT LLC	044	AMG	B	5,900	102.58	n/a	2-Mar	236.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>AONE</b>	<b>B</b>	<b>500</b>	<b>9.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f IAN L MILLER</b>	<b>FID</b>	<b>APGAX</b>	<b>B</b>	<b>38</b>	<b>26.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HOPLITE MGMT	025	APOL	B	50,000	36.00	n/a	n/a	2,500.00	5.0
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>ARCI</b>	<b>B</b>	<b>3276</b>	<b>3.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>ARM</b>	<b>S</b>	<b>150</b>	<b>2.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AXL</b>	<b>S</b>	<b>100,000</b>	<b>15.02</b>	<b>n/a</b>	<b>7-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AXL</b>	<b>S</b>	<b>7,600</b>	<b>13.92</b>	<b>n/a</b>	<b>7-Jan</b>	<b>non-brg</b>	<b>4.0</b>
LAGRANGE CAP ADV	048	AXL	SS	30,000	15.12	n/a	7-Jan	1,500.00	5.0
OSKIE CAPITAL MGMT	224	AXL	S	40,000	15.15	n/a	7-Jan	2,000.00	5.0
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>BAC</b>	<b>B</b>	<b>500</b>	<b>14.36</b>	<b>n/a</b>	<b>6-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BAC</b>	<b>B</b>	<b>600</b>	<b>14.36</b>	<b>n/a</b>	<b>6-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>f SEAN F LEDDY</b>	<b>FID</b>	<b>BAC</b>	<b>B</b>	<b>200</b>	<b>14.36</b>	<b>n/a</b>	<b>6-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>f THOMAS MACTAGGART LE</b>	<b>FID</b>	<b>BAC</b>	<b>B</b>	<b>400</b>	<b>14.36</b>	<b>n/a</b>	<b>6-Jan</b>	<b>0.00</b>	<b>0.0</b>
SAC CHICAGO ANDREW	031	BBY	B	33,000	35.38	n/a	n/a	1,155.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f JOHN BARTMAN COLE</b>	<b>FID</b>	<b>BLDR</b>	<b>B</b>	<b>2500</b>	<b>2.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>BODY</b>	<b>B</b>	<b>6,093</b>	<b>15.10</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>BYD</b>	<b>S</b>	<b>50,000</b>	<b>11.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>CBK</b>	<b>B</b>	<b>10,000</b>	<b>5.72</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>CBK</b>	<b>B</b>	<b>40,000</b>	<b>5.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
NOMURA CAPITAL	029	CHMT	S	4,000	16.00	n/a	n/a	120.00	3.0
BNP PARIBAS SEC	040	CMI	B	5,000	110.74	n/a	4-Jan	250.00	5.0
PARAMETER CAPITAL MGMT LLC	044	COF	B	30,985	45.92	n/a	7-Jan	1,239.40	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>CROX</b>	<b>B</b>	<b>300</b>	<b>16.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
OHIO PERS	047	CSCO	B	80,000	20.79	n/a	n/a	2,400.00	3.0
<b>ß BUCK CAPITAL MGMT</b>		<b>CSTR</b>	<b>B</b>	<b>10,000</b>	<b>56.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SENATOR INVESTMENT GROUP	036	CSX	B	50,000	67.79	n/a	n/a	1,500.00	3.0
METROPOLITAN CAPITAL	044	DAN	S	17,500	18.50	n/a	7-Jan	875.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>EXPR</b>	<b>B</b>	<b>35,000</b>	<b>18.05</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>EXPR</b>	<b>B</b>	<b>5,000</b>	<b>17.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>EXPR</b>	<b>B</b>	<b>5,000</b>	<b>17.97</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>EXPR</b>	<b>B</b>	<b>5,000</b>	<b>18.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FSDPX</b>	<b>B</b>	<b>74</b>	<b>67.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	FTO	S	25,000	18.43	n/a	n/a	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>F</b>	<b>S</b>	<b>3,300</b>	<b>18.36</b>	<b>n/a</b>	<b>7-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>45,300</b>	<b>37.02</b>	<b>n/a</b>	<b>n/a</b>	<b>1,359.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>B</b>	<b>11,700</b>	<b>35.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>GES</b>	<b>B</b>	<b>200</b>	<b>43.25</b>	<b>n/a</b>	<b>24-Nov</b>	<b>0.00</b>	<b>0.0</b>
SURSUM CAPITAL	215	GES	B	5,000	43.00	n/a	24-Nov	150.00	3.0
SAC COHEN	044	GE	S	250,000	18.56	n/a	n/a	8,750.00	3.5
NOMURA CAPITAL	029	GGC	S	3,000	24.65	n/a	n/a	90.00	3.0
OHIO PERS	047	GLW	S	86,000	19.17	n/a	6-Jan	2,580.00	3.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>HEES</b>	<b>S</b>	<b>400</b>	<b>12.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>HEES</b>	<b>B</b>	<b>5000</b>	<b>12.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DELTEC ASSET MGMT.	040	HPQ	B	13,756	44.76	n/a	n/a	687.80	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IMAX</b>	<b>S</b>	<b>180,000</b>	<b>27.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
SENATOR INVESTMENT GROUP	036	IWM	SS	174,500	78.83	n/a	n/a	5,235.00	3.0
SCOPUS FUND	041	JCI	SS	50,000	40.84	n/a	5-Jan	2,000.00	4.0
SURSUM CAPITAL	215	JNY	S	50,000	14.29	n/a	28-Oct	1,500.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>JWN</b>	<b>B</b>	<b>10,000</b>	<b>41.96</b>	<b>n/a</b>	<b>7-Jan</b>	<b>non-brg</b>	<b>4.0</b>
MA CAPITAL MGMT/MELLON ADV041		JWN	B	2,000	41.87	n/a	7-Jan	100.00	5.0
MA CAPITAL MGMT/MELLON ADV041		JWN	S	2,000	42.18	n/a	7-Jan	100.00	5.0
LAGRANGE CAP ADV	048	KMX	SS	10,000	32.40	n/a	n/a	500.00	5.0
METROPOLITAN CAPITAL	044	LEA	S	3,400	108.07	n/a	7-Jan	170.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>LEN</b>	<b>S</b>	<b>5,700</b>	<b>18.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>LIZ</b>	<b>S</b>	<b>100,000</b>	<b>5.80</b>	<b>n/a</b>	<b>7-Oct</b>	<b>non-brg</b>	<b>3.0</b>
SURSUM CAPITAL	215	LIZ	S	88,612	5.79	n/a	7-Oct	2,658.36	3.0
<b>f</b> ALICE BEEBE LONGLEY	<b>FID</b>	<b>MAR</b>	<b>B</b>	<b>200</b>	<b>40.48</b>	<b>n/a</b>	<b>7-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>MNRO</b>	<b>B</b>	<b>10,500</b>	<b>31.88</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B</b> BUCK CAPITAL MGMT		<b>MNRO</b>	<b>B</b>	<b>50,000</b>	<b>32.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>MNRO</b>	<b>B</b>	<b>89,500</b>	<b>32.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
LANE GATE PARTNERS LLC	012	MOO	B	1,500	54.22	n/a	n/a	45.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>MSMSRAWW</b>	<b>C</b>	<b>932,787</b>	<b>110.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.5</b>
<b>B</b> BUCK CAPITAL MGMT		<b>MSMSSOHA</b>	<b>SS</b>	<b>1,050,000</b>	<b>99.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>f</b> CHRISTOPHER ROBERT C	<b>FID</b>	<b>MWA</b>	<b>S</b>	<b>600</b>	<b>4.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> ALEXANDRA ELISE ZOLI	<b>FID</b>	<b>NWY</b>	<b>B</b>	<b>2000</b>	<b>4.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	NYT	S	10,000	9.99	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		PAG	S	20,000	16.79	n/a	7-Jan	non-brg 4.0
f MARC PAUL LUCHANSKY	FID	PGR	S	1000	19.36	n/a	n/a	0.00 0.0
JETSTREAM GLOBAL FUND LP	065	PH	B	9,400	86.26	n/a	3-Jan	470.00 5.0
B BUCK CAPITAL MGMT		PLCE	B	125,000	45.37	n/a	6-Jan	non-brg 4.0
NOMURA CAPITAL	029	PMUG	S	12,000	13.98	n/a	n/a	360.00 3.0
CAMBIAR CAP	046	PRX	B	33,300	35.99	n/a	10-Jan	1,332.00 4.0
NOMURA CAPITAL	029	QLTY	S	5,000	9.74	n/a	n/a	150.00 3.0
BALLAST CAP	040	RSG	SS	1,700	29.30	n/a	n/a	85.00 5.0
f MARC PAUL LUCHANSKY	FID	SDS	B	1000	23.53	n/a	n/a	0.00 0.0
f KAREN F LEDDY	FID	SGI	B	1200	9.83	n/a	n/a	0.00 0.0
B BUCK CAPITAL MGMT		SHOO	B	70,000	40.50	n/a	n/a	non-brg 4.0
MANNING & NAPIER	034	SHW	S	51,600	83.02	n/a	5-Jan	1,548.00 3.0
B BUCK CAPITAL MGMT		SIG	B	100,000	41.64	n/a	n/a	non-brg 4.0
B BUCK CAPITAL MGMT		SODA	B	10,000	32.18	n/a	n/a	non-brg 0.8
B BUCK CAPITAL MGMT		SODA	B	3,438	31.71	n/a	n/a	non-brg 0.8
B BUCK CAPITAL MGMT		SODA	B	61,562	31.78	n/a	n/a	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>SODA</b>	<b>B</b>	<b>68,000</b>	<b>31.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>SPWRA</b>	<b>S</b>	<b>1000</b>	<b>14.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CLIFFORD A LONGLEY</b>	<b>FID</b>	<b>SSO</b>	<b>B</b>	<b>4</b>	<b>48.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>SUP</b>	<b>S</b>	<b>200</b>	<b>20.93</b>	<b>n/a</b>	<b>7-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>SUP</b>	<b>S</b>	<b>1000</b>	<b>20.91</b>	<b>n/a</b>	<b>7-Jan</b>	<b>0.00</b>	<b>0.0</b>
SONTERRA CAP	041	SVU	SS	100,000	8.56	n/a	n/a	3,000.00	3.0
BALLAST CAP	040	SYU	SS	1,700	30.38	n/a	n/a	85.00	5.0
FIRST NEW YORK LEDDY	035	S	B	20,000	4.54	n/a	n/a	800.00	4.0
CRAMER ROSENTHAL/SCOTT	012	TGT	B	84,200	55.25	n/a	7-Jan	3,368.00	4.0
ELM RIDGE ADVISORS	044	TIN	S	37,000	22.82	n/a	7-Jan	1,480.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>S</b>	<b>50,000</b>	<b>7.41</b>	<b>n/a</b>	<b>n/a</b>	<b>1,000.00</b>	<b>2.0</b>
SURSUM CAPITAL	215	URBN	SS	15,000	35.82	n/a	n/a	450.00	3.0
PARAMETER CAPITAL MGMT LLC	044	VMC	B	20,000	40.78	n/a	n/a	800.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>VMW</b>	<b>B</b>	<b>100</b>	<b>95.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>WBC</b>	<b>S</b>	<b>300</b>	<b>61.69</b>	<b>n/a</b>	<b>5-Jan</b>	<b>0.00</b>	<b>0.0</b>
BALLAST CAP	040	WGO	SS	3,500	14.52	n/a	n/a	175.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CAPITAL GROWTH MGMT.	241	WLT	S	190,000	132.22	n/a	n/a	9,500.00	5.0
<b>f</b> CLIFFORD A LONGLEY	<b>FID</b>	<b>XLP</b>	<b>B</b>	<b>8</b>	<b>29.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>								<b>67,103.56</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	01/07	SCHOTTENFELD ASSOC	041	GES	B	10,000	42.89	n/a	24-Nov	300.00 3.0
AO,CRT	01/07	SCHOTTENFELD ASSOC	041	GES	B	10,000	42.89	n/a	24-Nov	300.00 3.0
AO,	01/07	NOMURA CAPITAL	029	QLTY	S	900	9.97	n/a	n/a	27.00 3.0
AO,CRT	01/07	DSG CAPITAL	012	RRR	S	10,100	11.89	n/a	25-Oct	303.00 3.0
AO,CXL	01/07	DSG CAPITAL	012	RRR	S	10,100	11.89	n/a	25-Oct	505.00 5.0
AO,CRT	01/07	BUCK CAPITAL MGMT	001	WRC	B	8,700	49.78	n/a	10-Jan	261.00 3.0
AO,CXL	01/07	BUCK CAPITAL MGMT	001	WRC	B	50,000	49.78	n/a	10-Jan	1500.00 3.0
AO,	01/07	BUCK CAPITAL MGMT	001	WRC	B	41,300	49.78	n/a	10-Jan	1239.00 3.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-175.00</b>
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TOTAL (Trade Date) :	67,103.56
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TOTAL (AO, CXL, CRT) :	-175.00
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<b>TOTAL (RUN DATE) / NFS :</b>	<b>66,928.56</b>
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**BCM Cancel/Corrects and As-Of Trades**

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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