



Daily Compliance Activity Report

Trade Date: 08/04/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	B	166	260.95	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	AEO	S	25,000	12.30	n/a	29-Jul	1,250.00	5.0
CATAPULT	040	AF	SS	3,000	13.36	n/a	27-Jul	150.00	5.0
B BUCK CAPITAL MGMT	001	ANF	B	80,000	38.69	n/a	29-Jul	3,200.00	4.0
B BUCK CAPITAL MGMT		ANF	B	2,400	38.60	n/a	29-Jul	non-brg	4.0
BASIX	033	ANN	S	55,000	17.39	n/a	n/a	1,650.00	3.0
B BUCK CAPITAL MGMT	001	ARO	S	100,000	27.47	n/a	29-Jul	4,000.00	4.0
B BUCK CAPITAL MGMT		ARO	B	30,000	26.89	n/a	29-Jul	non-brg	4.0
EXIS CAPITAL	044	ARO	SS	10,000	27.44	n/a	29-Jul	500.00	5.0
NEUBERGER BERMAN	036	ASTE	S	11,000	30.97	n/a	n/a	440.00	4.0
f MARC PAUL LUCHANSKY	FID	AVNW	S	149	4.07	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	AWI	B	10,000	36.41	n/a	n/a	500.00	5.0
CATAPULT	040	BAC	S	3,750	14.20	n/a	19-Jul	187.50	5.0
B BUCK CAPITAL MGMT		BBBY	B	1,000	38.78	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BBBY	B	10,000	39.16	n/a	n/a	non-brg	4.0
f WILLIAM WEINBERG	FID	BDE	B	700	6.75	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BEBE	B	40,000	6.06	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		BHI	B	5,000	41.91	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BKS	C	12,200	15.81	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		BKS	C	7,800	15.41	n/a	n/a	non-brg	4.0
GCORE MANAGEMENT	041	BCUY	SS	20,000	63.22	n/a	26-Jul	1,000.00	5.0
LISTED ERR	099	BCUY	SS	10,000	63.15	n/a	26-Jul	0.00	0.0
LISTED ERR	099	BCUY	S	10,000	63.15	n/a	26-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		BWS	B	10,000	14.72	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BWS	B	4,100	14.80	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		BWS	B	6,100	14.74	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		BWS	B	62,000	14.77	n/a	n/a	non-brg	4.0
DAVIDSON KEMPNER ADVISOR	040	CF	S	17,800	82.77	n/a	n/a	890.00	5.0
f MARC PAUL LUCHANSKY	FID	CIM	B	2000	3.90	n/a	n/a	0.00	0.0
f ABRAM M CHAPPELL	FID	CMTL	B	115	21.85	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		COH	B	200	38.14	n/a	4-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		COLM	SS	10,000	50.73	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CROX	S	50,000	12.29	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CTRN	SS	10,000	30.14	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JONATHAN RICHARD HAR	FID	CVGI	B	150	10.96	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	CX	SS	100,000	9.51	n/a	n/a	4,000.00	4.0
1798 CAP/LOMBARD ASSET	040	CYT	B	15,000	51.53	n/a	n/a	750.00	5.0
f MANNY I KORMAN	FID	C	B	10000	4.13	n/a	19-Jul	0.00	0.0
f MANNY I KORMAN	FID	C	B	5000	4.13	n/a	19-Jul	0.00	0.0
B BUCK CAPITAL MGMT		DECK	B	800	49.84	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DG	C	500	30.06	n/a	8-Jun	non-brg	4.0
SENATOR INVESTMENT GROUP	036	DOW	B	150,000	24.85	n/a	3-Aug	4,500.00	3.0
CR INTRINIC INVESTMENT	044	ENDP	B	400	24.35	n/a	2-Aug	14.00	3.5
MELLON/BANK OF NEW YORK	046	EQIX	S	10,577	94.38	n/a	n/a	528.85	5.0
HIGHBRIDGE CAP	041	EXPE	B	25,000	24.00	n/a	n/a	1,000.00	4.0
HARBORWATCH/DIAMBACK	232	FAST	S	5,000	50.61	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		FINL	B	1,800	14.26	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		FINL	B	100,000	14.28	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	FNKXX	S	216000	1.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOSL	B	1,700	39.98	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	FSLXX	S	25000	1.00	n/a	n/a	0.00	0.0
OZ MGMT	040	F	B	150,000	13.05	n/a	4-Aug	4,500.00	3.0
B BUCK CAPITAL MGMT		GES	B	2,000	36.17	n/a	19-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		GIII	B	4,700	25.44	n/a	n/a	non-brg	2.5
f MANNY I KORMAN	FID	GLW	B	4000	19.21	n/a	28-Jul	0.00	0.0
f MANNY I KORMAN	FID	GLW	B	6000	19.21	n/a	28-Jul	0.00	0.0
BALYASNY ASSET MGMT	044	GPS	C	30,000	18.14	n/a	n/a	1,500.00	5.0
B BUCK CAPITAL MGMT		GPS	SS	23,400	18.19	n/a	n/a	non-brg	4.0
HOTCHKIS & WILEY	035	GPS	B	670,600	18.17	n/a	n/a	33,530.00	5.0
B BUCK CAPITAL MGMT		GYMB	B	3,000	43.71	n/a	29-Jul	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	HAR	S	125	32.66	n/a	2-Aug	0.00	0.0
PORTER-ORLIN	044	HBC	SS	100,000	53.27	n/a	n/a	5,000.00	5.0
f HARALAMBOS EFSTATHI	FID	HGG	B	500	20.97	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		HOTT	B	30,000	5.28	n/a	n/a	non-brg	3.0
MISSOURI VALLEY PART	046	HPQ	S	33,902	46.81	n/a	n/a	1,695.10	5.0
SONTERRA CAP	041	HUN	S	50,000	10.25	n/a	n/a	2,000.00	4.0
PORTER-ORLIN	044	IBN	B	100,000	42.05	n/a	n/a	5,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		ICON	B	100,000	17.18	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAP	041	INTC	SS	2,100	20.77	n/a	n/a	84.00	4.0
IRIDIAN ASSET MGMT.	036	IP	B	9,520	24.70	n/a	28-Jul	476.00	5.0
B BUCK CAPITAL MGMT		JCG	B	800	34.97	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	JNY	S	20,000	17.67	n/a	29-Jul	1,000.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	1,600	58.37	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		JWN	B	1,200	34.21	n/a	29-Jul	non-brg	4.0
THE JAY GOLDMAN	028	KSS	B	10,000	47.05	n/a	29-Jul	400.00	4.0
NEUBERGER BERMAN	036	LAYN	S	4,227	25.95	n/a	n/a	169.08	4.0
B BUCK CAPITAL MGMT		LEG	C	900	21.22	n/a	n/a	non-brg	4.0
GRANITE CAPITAL	028	LINTA	B	50,000	11.54	n/a	n/a	2,500.00	5.0
f DAVID GEORGE BUCK	FID	LLY	B	1000	36.66	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LL	B	20,000	22.32	n/a	n/a	800.00	4.0
f MANNY I KORMAN	FID	LNCR	B	10000	25.05	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		LTD	B	80,000	26.01	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	LULU	C	21,115	40.23	n/a	n/a	633.45	3.0
MERRILL ASSET/BLACKROCK	266	MCY	S	8,800	41.23	n/a	n/a	352.00	4.0
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	MIDD		SS	7,500	60.03	n/a	14-May	375.00 5.0
B BUCK CAPITAL MGMT		MNRO	B	1,000	40.46	n/a	n/a	non-brg	4.0
CAXTON PART	040	MRK	B	17,649	35.03	n/a	n/a	617.72	3.5
BANK OF AMERICA/MERRILL	259	M	B	25,000	19.40	n/a	29-Jul	1,000.00	4.0
B BUCK CAPITAL MGMT		M	B	20,000	19.12	n/a	29-Jul	non-brg	4.0
KACELA CAPITAL	034	M	B	110,000	19.38	n/a	29-Jul	4,400.00	4.0
THE JAY GOLDMAN	028	M	S	25,000	19.11	n/a	29-Jul	1,000.00	4.0
B BUCK CAPITAL MGMT		NTY	S	4,000	54.13	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	NYB	S	3000	17.46	n/a	28-Jul	0.00	0.0
JP MORGAN VENTURES	046	OMX	B	45,000	13.07	n/a	n/a	2,250.00	5.0
IRIDIAN ASSET MGMT.	036	OXY	B	2,880	79.14	n/a	n/a	144.00	5.0
f DAVID GEORGE BUCK	FID	PBCT	B	2000	13.68	n/a	27-Jul	0.00	0.0
MILLENIUM PARTNERS	028	PCL	B	10,000	36.97	n/a	27-Jul	400.00	4.0
EATON VANCE MGMT	048	PFE	B	500,000	16.49	n/a	n/a	15,000.00	3.0
B BUCK CAPITAL MGMT		PLCE	B	20,000	41.99	n/a	29-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROTHSCHILD ASSET	040	PPL	S	12,921	27.46	n/a	n/a	516.84	4.0
B BUCK CAPITAL MGMT		PSS	S	69,067	15.57	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PVH	B	1,100	52.28	n/a	19-Jul	non-brg	4.0
NEUBERGER BERMAN	036	RBN	S	26,933	23.80	n/a	n/a	1,077.32	4.0
f DAVID GEORGE BUCK	FID	RDSB	S	2000	55.48	n/a	n/a	0.00	0.0
f ALICE BEEBE LONGLEY	FID	RL	S	200	84.78	n/a	19-Jul	0.00	0.0
B BUCK CAPITAL MGMT		RL	B	10,000	83.17	n/a	19-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		ROST	B	10,000	52.80	n/a	n/a	non-brg	4.0
DAVIDSON KEMPNER ADVISOR	040	RS	B	10,000	40.29	n/a	n/a	500.00	5.0
RESERVOIR CAPITAL GROUP LLC	038	SAH	B	30,300	9.76	n/a	n/a	1,212.00	4.0
MERU CAP PART LLC	036	SCHW	S	10,000	14.84	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		SHOO	S	20,000	38.87	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		SKX	B	20,000	34.19	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	B	300	33.96	n/a	n/a	0.00	0.0
THOMAS WEISEL ASSET MGMT	035	SMCI	S	14,400	10.37	n/a	n/a	432.00	3.0
SENATOR INVESTMENT GROUP	036	SPY	B	75,000	112.85	n/a	n/a	2,250.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ELM RIDGE ADVISORS	044	TIN	B	82,000	20.04	n/a	27-Jul	3,280.00	4.0
⚡ BUCK CAPITAL MGMT		TLB	B	4,100	10.61	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TRLG	C	20,000	21.71	n/a	n/a	non-brg	2.5
CATAPULT	040	TROW	SS	4,200	49.81	n/a	n/a	210.00	5.0
CAVU CAP ADV	028	TRW	S	20,000	33.55	n/a	n/a	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		TSCO	C	400	69.85	n/a	n/a	non-brg	4.0
DAVIDSON KEMPNER ADVISOR	040	TXI	S	10,000	33.74	n/a	n/a	500.00	5.0
MELLON/BANK OF NEW YORK	046	UHS	B	56,080	37.22	n/a	n/a	2,804.00	5.0
⚡ BUCK CAPITAL MGMT		URBN	B	20,000	32.08	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		URBN	B	3,000	31.60	n/a	n/a	non-brg	4.0
⚡ CHRISTOPHER ROBERT C	FID	USG	B	300	12.01	n/a	26-Jul	0.00	0.0
PORTER-ORLIN	044	VIAB	B	50,000	34.09	n/a	n/a	2,500.00	5.0
FARALLON CAP MGMT	040	VRSK	S	7,235	29.50	n/a	n/a	217.05	3.0
CATAPULT	040	WABC	B	2,586	53.76	n/a	n/a	129.30	5.0
CAXTON PART	040	WFC	S	17,821	28.08	n/a	22-Jul	623.75	3.5
⚡ BUCK CAPITAL MGMT		WFMI	C	1,000	36.27	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		WFMI	C	30,000	36.62	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WMS	B	28,000	37.34	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	WNC	B	6100	7.84	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	B	3,100	42.16	n/a	19-Jul	124.00	4.0
JMP ASSET MGMT	210	WTW	SS	10,000	27.79	n/a	n/a	180.00	2.5
PILOT ADV	242	WU	B	25,000	16.13	n/a	n/a	500.00	2.0
MILLENIUM PARTNERS	028	WY	SS	25,000	17.23	n/a	21-Jul	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		XRT	C	5,300	38.63	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		XRT	SS	6,700	38.44	n/a	n/a	non-brg	2.0
TOTAL (Trade Date):							129,092.96		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/03	COLUMBUS CIRCLE	036	DOW	S	51,945	25.72	n/a	3-Aug	2077.80 4.0
AO,CRT	08/03	DSG CAPITAL	225	F	B	10,000	13.17	n/a	4-Aug	300.00 3.0
AO,CXL	08/03	DSG CAPITAL	225	F	B	10,000	13.17	n/a	4-Aug	500.00 5.0
AO,CRT	08/02	SAC CAPITAL/M GRONET	030	M	B	12,500	19.35	n/a	29-Jul	437.50 3.5
AO,CXL	08/02	SAC CAPITAL/M GRONET	030	M	B	12,500	19.35	n/a	29-Jul	437.50 3.5
AO,	08/03	EPOCH INV MGMT	030	SSI	B	41,100	11.20	n/a	n/a	1233.00 3.0
AO,CRT	07/29	COBALT	041	TYC	S	18,762	36.89	n/a	29-Jul	938.10 5.0
AO,	07/29	COBALT	041	TYC	S	2,145	36.89	n/a	29-Jul	107.25 5.0
AO,CXL	07/29	COBALT	041	TYC	S	20,907	36.89	n/a	29-Jul	1045.35 5.0
AO,CXL	08/03	GALT INVESTMENT	041	ZUMZ	B	10,000	18.18	n/a	n/a	500.00 5.0
AO,CRT	08/03	GALT INVESTMENT	041	ZUMZ	B	10,000	18.18	n/a	n/a	400.00 4.0
TOTAL (AO, CXL, CRT) :										3,010.80
TOTAL (Trade Date):										129,092.96
TOTAL (AO, CXL, CRT):										3,010.80
TOTAL (RUN DATE) / NFS:										132,103.76

MRI Securities with Trade Activity on 4-Aug.
NONE

MRI Securities without Trade Activity on 4-Aug.
PG, LEA

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 19%
YTD = 10%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)