



# Daily Compliance Activity Report

Trade Date: 12/12/2008

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CHART WELL ADV	028	ABC	B	120,025	32.83	n/a	n/a	4,801.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ACC</b>	<b>C</b>	<b>5,500</b>	<b>20.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>50,000</b>	<b>9.58</b>	<b>n/a</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>3.0</b>
COUGAR TRADING	044	AG	SS	5,000	21.30	15-Dec	31-Oct	250.00	5.0
PIONEER OAKRIDGE	031	AIR	B	12,000	15.87	n/a	n/a	240.00	2.0
COUGAR TRADING	044	ALV	B	5,000	21.42	26-Nov	26-Nov	250.00	5.0
COUGAR TRADING	044	ALV	SS	15,000	20.08	26-Nov	26-Nov	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AMB</b>	<b>C</b>	<b>4,200</b>	<b>16.87</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PILOT ADV	038	AMZN	B	4,900	49.84	n/a	n/a	196.00	4.0
BALYASNY ASSET MGMT	044	ANF	B	25,000	20.13	7-Aug	12-Dec	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>SS</b>	<b>120,000</b>	<b>20.39</b>	<b>7-Aug</b>	<b>12-Dec</b>	<b>4,800.00</b>	<b>4.0</b>
PIONEER OAKRIDGE	031	ANSS	B	17,500	28.66	n/a	n/a	350.00	2.0
COUGAR TRADING	044	AN	B	5,000	9.09	7-Nov	7-Nov	200.00	4.0
COUGAR TRADING	044	AN	SS	10,000	8.68	7-Nov	7-Nov	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>APP</b>	<b>S</b>	<b>85,000</b>	<b>3.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
PIONEER OAKRIDGE	031	ARG	B	3,000	34.59	24-Oct	3-Nov	60.00	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		ARO	S	101,716	15.23	n/a	12-Dec	non-brg	4.0
NORTHPOINT	030	AWR	B	16,640	29.81	n/a	n/a	665.60	4.0
⌘ BUCK CAPITAL MGMT		BBBY	C	100,000	24.42	n/a	n/a	non-brg	4.0
AMATURO	018	BGZ	S	1,500	71.43	n/a	n/a	0.00	0.0
MATRIX ASSET ADV.	036	BK	B	14,000	26.34	9-Oct	12-Dec	560.00	4.0
PIONEER OAKRIDGE	031	BLUD	B	1,500	23.02	n/a	n/a	30.00	2.0
⌘ BUCK CAPITAL MGMT		BRE	C	4,100	29.18	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	BWA	B	10,000	19.64	26-Nov	26-Nov	500.00	5.0
BMO NESBITT BURNS	041	BWA	B	25,000	20.90	26-Nov	26-Nov	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		BWA	C	20,000	19.97	26-Nov	26-Nov	non-brg	2.5
⌘ BUCK CAPITAL MGMT		BWA	C	25,000	19.24	26-Nov	26-Nov	non-brg	2.0
⌘ BUCK CAPITAL MGMT		BWA	C	27,500	20.27	26-Nov	26-Nov	non-brg	4.0
COUGAR TRADING	044	BWA	SS	10,000	19.36	26-Nov	26-Nov	500.00	5.0
⌘ BUCK CAPITAL MGMT		BXP	C	1,860	51.45	n/a	n/a	non-brg	4.0
PALMYRA CAPITAL	048	CAB	B	19,300	6.65	n/a	n/a	772.00	4.0
BALYASNY ASSET MGMT	044	CAT	SS	7,500	40.64	19-Sep	22-Oct	375.00	5.0
⌘ BUCK CAPITAL MGMT		CAT	SS	50,000	41.28	19-Sep	22-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CBL	C	2,700	5.65	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PIONEER OAKRIDGE	031	CHD	B	11,500	51.11	4-Sep	8-Dec	230.00	2.0
⌘ BUCK CAPITAL MGMT		CHRS	B	100,000	1.53	n/a	22-Mar	non-brg	2.0
PIONEER OAKRIDGE	031	CHSI	B	4,000	22.76	n/a	n/a	80.00	2.0
PIONEER OAKRIDGE	031	CHTT	B	13,000	63.17	16-Oct	11-Dec	260.00	2.0
SERENGETI MGMT	030	CLI	B	75,000	19.73	n/a	n/a	3,750.00	5.0
⌘ BUCK CAPITAL MGMT		CLP	C	20,750	6.15	n/a	n/a	non-brg	3.0
SAXON WOODS ADVISORS	038	CL	B	75,000	57.64	14-Oct	13-Nov	3,000.00	4.0
⌘ BUCK CAPITAL MGMT		COST	SS	40,000	53.27	n/a	27-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		COST	SS	50,000	52.16	n/a	27-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CPT	C	2,900	26.67	n/a	n/a	non-brg	4.0
DELTEC ASSET MGMT.	040	CTV	B	12,000	13.60	n/a	n/a	600.00	5.0
⌘ BUCK CAPITAL MGMT		CUZ	C	5,400	11.67	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CVS	B	50,000	28.47	n/a	n/a	non-brg	4.0
PIONEER OAKRIDGE	031	CXO	B	5,000	22.02	n/a	n/a	100.00	2.0
⌘ BUCK CAPITAL MGMT		DECK	S	20,000	63.59	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	DSW	B	896	9.55	14-Nov	12-Dec	35.84	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		EDR	C	20,740	4.51	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		ELS	C	5,100	33.61	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		EQR	C	10,200	28.56	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ESS	C	7,900	75.22	n/a	n/a	non-brg	4.0
BRANDWINE	028	ETN	B	25,600	44.28	18-Nov	18-Nov	1,024.00	4.0
BUCK CAPITAL MGMT		EXR	C	6,300	8.45	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		FCE/A	C	11,900	5.54	n/a	n/a	non-brg	1.0
TRIBE CAP/MILLENNIUM	041	FDML	S	38,200	4.11	n/a	n/a	1,910.00	5.0
ASCEND PARTNERS	033	FDO	SS	20,000	24.29	5-Dec	12-Dec	1,000.00	5.0
WAGONHOUND/NIC	048	FDO	C	7,218	24.35	5-Dec	12-Dec	288.72	4.0
BUCK CAPITAL MGMT	001	FL	S	150,000	6.92	7-Oct	12-Dec	4,500.00	3.0
PROSPECTOR PARTNERS LLC	036	FOR	B	25,000	4.30	n/a	3-Oct	1,000.00	4.0
TYNDALL	091	FOR	B	17,400	4.19	n/a	3-Oct	522.00	3.0
BUCK CAPITAL MGMT		FRT	C	12,800	55.64	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FR	C	14,510	6.96	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		GCO	S	100,000	13.39	n/a	10-Jan	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GES	B	200,000	14.67	n/a	n/a	8,000.00	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	233,226	8.73	11-Dec	12-Dec	non-brg	3.0
⌘ BUCK CAPITAL MGMT		GIL	S	50,000	8.66	11-Dec	12-Dec	non-brg	3.0
⌘ BUCK CAPITAL MGMT		GIL	S	75,000	8.72	11-Dec	12-Dec	non-brg	2.0
⌘ BUCK CAPITAL MGMT		GIL	S	75,000	8.93	11-Dec	12-Dec	non-brg	1.0
COUGAR TRADING	044	GIL	B	10,000	8.67	11-Dec	12-Dec	400.00	4.0
GRUSS CAPITAL	045	GLD	B	20,000	81.03	n/a	n/a	600.00	3.0
⌘ BUCK CAPITAL MGMT		GPS	S	179,000	12.83	n/a	12-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GRT	C	43,520	3.00	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		GSIC	B	20,000	8.18	n/a	n/a	non-brg	3.0
COOPER CREEK PART	028	GT	S	20,000	5.46	n/a	n/a	1,000.00	5.0
RAMIUS CAP	040	GVA	SS	34,800	41.88	n/a	n/a	1,740.00	5.0
⌘ BUCK CAPITAL MGMT		GYMB	S	110,000	23.21	7-Nov	12-Dec	non-brg	4.0
PIONEER OAKRIDGE	031	HAE	B	3,000	55.18	n/a	n/a	60.00	2.0
⌘ BUCK CAPITAL MGMT		HBI	S	50,000	10.42	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HD	SS	10,000	23.33	n/a	27-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HD	SS	150,000	22.69	n/a	27-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HIW	C	3,900	21.93	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>HME</b>	<b>C</b>	<b>11,600</b>	<b>35.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PIONEER OAKRIDGE	031	HMSY	B	10,500	27.61	n/a	n/a	210.00	2.0
BRANDWINE	028	HON	B	65,700	28.14	3-Oct	18-Nov	2,628.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>S</b>	<b>100,000</b>	<b>8.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
PIONEER OAKRIDGE	031	ICON	B	2,500	8.53	n/a	n/a	50.00	2.0
MERRILL ASSET	032	ITG	B	18,300	19.90	n/a	n/a	823.50	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>IYR</b>	<b>C</b>	<b>14,600</b>	<b>32.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>150,000</b>	<b>12.46</b>	<b>n/a</b>	<b>12-Dec</b>	<b>6,000.00</b>	<b>4.0</b>
MERRILL ASSET	032	JLL	B	10,000	25.83	n/a	n/a	450.00	4.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>S</b>	<b>200,000</b>	<b>5.44</b>	<b>n/a</b>	<b>30-Oct</b>	<b>6,000.00</b>	<b>3.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KCP</b>	<b>S</b>	<b>30,000</b>	<b>8.11</b>	<b>n/a</b>	<b>28-Sep</b>	<b>900.00</b>	<b>3.0</b>
SERENGETI MGMT	030	KIM	B	50,000	15.22	n/a	n/a	2,500.00	5.0
PIONEER OAKRIDGE	031	KNX	B	10,500	13.30	n/a	n/a	210.00	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>B</b>	<b>50,039</b>	<b>35.15</b>	<b>n/a</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>4.0</b>
BULL PATH CAPITAL	044	KSS	B	21,500	34.66	n/a	12-Dec	1,075.00	5.0
WEINTRAUB CAPITAL MGT.	040	LEA	B	225,000	1.99	n/a	n/a	9,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PIIONEER OAKRIDGE	031	LKQX	B	5,000	10.08	n/a	n/a	100.00	2.0
⌘ BUCK CAPITAL MGMT		LRV	C	3,900	19.16	n/a	n/a	non-brg	4.0
CAMBIAR CAP	046	LTD	B	145,140	8.57	18-Nov	12-Dec	4,354.20	3.0
⌘ BUCK CAPITAL MGMT		MAC	C	31,600	14.03	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	MFB	S	1,600	9.51	n/a	n/a	48.00	3.0
⌘ BUCK CAPITAL MGMT		MFB	S	10,000	9.58	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		MFB	S	16,719	9.68	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		MFB	S	8,800	9.45	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		MHK	SS	50,000	33.74	n/a	n/a	non-brg	4.0
PIIONEER OAKRIDGE	031	MOGA	B	6,500	31.33	n/a	n/a	130.00	2.0
⌘ BUCK CAPITAL MGMT		M	S	200,000	7.99	12-Aug	12-Dec	non-brg	3.0
⌘ BUCK CAPITAL MGMT		NKE	C	70,000	49.19	n/a	n/a	non-brg	4.0
UBS OCONNOR	031	NUE	C	10,000	43.31	n/a	n/a	300.00	3.0
⌘ BUCK CAPITAL MGMT		NWY	B	200,000	1.85	n/a	12-Dec	non-brg	2.0
⌘ BUCK CAPITAL MGMT		OFC	C	7,800	26.05	n/a	n/a	non-brg	4.0
AVESTA CAP	229	PEP	S	50,000	51.28	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		PETM	C	50,000	16.90	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PH	B	5,000	36.97	28-Sep	11-Dec	250.00	5.0
COUGAR TRADING	044	PH	SS	10,000	35.59	28-Sep	11-Dec	500.00	5.0
BUCK CAPITAL MGMT	001	PII	SS	30,000	26.10	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		PKY	C	2,700	14.66	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PLD	C	12,600	6.70	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		PPS	C	4,800	15.92	n/a	n/a	non-brg	4.0
PIONEER OAKRIDGE	031	PRAA	B	5,000	31.17	n/a	n/a	100.00	2.0
PIONEER OAKRIDGE	031	PRA	B	3,500	47.81	n/a	n/a	70.00	2.0
BUCK CAPITAL MGMT	001	PSA	B	10,000	65.52	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		PSA	C	14,700	66.71	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSB	C	4,800	42.43	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSS	S	88,300	9.65	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		PVH+AD[PVH]	SS	1,000	0.86	n/a	n/a	non-brg	100.0
PIONEER OAKRIDGE	031	RATE	B	2,000	33.47	n/a	n/a	40.00	2.0
FRONTPOINT/COPIA-ANDREW	031	RIG	S	46,000	55.55	n/a	n/a	1,610.00	3.5
BUCK CAPITAL MGMT	001	RL	B	15,000	43.60	n/a	6-Nov	600.00	4.0



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PIONEER OAKRIDGE	031	RMD	B	3,500	36.17	n/a	n/a	70.00	2.0
⌘ BUCK CAPITAL MGMT		RNT	B	20,000	23.71	n/a	n/a	non-brg	4.0
BRANDWINE	028	RTN	B	65,400	50.48	n/a	n/a	2,616.00	4.0
DEMARTINI	065	SDS	S	500	88.49	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SHOO	SS	71,000	19.73	n/a	n/a	non-brg	4.0
PALMYRA CAPITAL	048	SKS	S	14,525	4.38	n/a	n/a	581.00	4.0
⌘ BUCK CAPITAL MGMT		SKT	C	43,300	35.47	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	S	100,000	10.62	n/a	13-Mar	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		SPG	C	10,800	48.80	n/a	n/a	non-brg	4.0
GRUSS CAPITAL	045	SPY	B	10,000	85.83	n/a	n/a	300.00	3.0
⌘ BUCK CAPITAL MGMT		SSI	B	80,000	6.17	n/a	5-Dec	non-brg	3.0
⌘ BUCK CAPITAL MGMT		SUI	C	3,900	11.60	n/a	n/a	non-brg	4.0
PIONEER OAKRIDGE	031	SXE	B	8,500	31.14	n/a	n/a	170.00	2.0
PIONEER OAKRIDGE	031	SYNT	B	5,500	23.85	n/a	n/a	110.00	2.0
⌘ BUCK CAPITAL MGMT		TCO	C	14,800	26.39	n/a	n/a	non-brg	4.0

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DELTEC ASSET MGMT.	040	TEX	B	10,000	16.81	23-Oct	23-Oct	500.00	5.0
ASCEND PARTNERS	033	TGT	SS	2,000	36.61	17-Nov	12-Dec	100.00	5.0
BUCK CAPITAL MGMT	001	TGT	SS	50,000	36.76	17-Nov	12-Dec	2,000.00	4.0
TRELLUS PARTNERS LP	028	TGT	B	10,000	35.82	17-Nov	12-Dec	500.00	5.0
⌘ BUCK CAPITAL MGMT		TIF	SS	50,000	22.32	n/a	12-Dec	non-brg	4.0
PRINCIPLE CAPITAL	040	TIF	SS	93,700	22.66	n/a	12-Dec	2,811.00	3.0
⌘ BUCK CAPITAL MGMT		TPX	B	200,000	6.77	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		TRLG	S	50,000	10.93	n/a	n/a	non-brg	4.0
KEEFE BRUYETTE WOODS A	045	TROW	SS	5,600	31.28	19-Sep	9-Dec	280.00	5.0
BUCK CAPITAL MGMT	001	UA	SS	20,000	24.37	n/a	29-Oct	800.00	4.0
⌘ BUCK CAPITAL MGMT		UDR	C	11,700	13.35	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	UN	S	2,250	23.32	n/a	n/a	315.00	14.0
MAIRS AND POWER INV	030	USB	B	20,000	24.86	n/a	n/a	1,000.00	5.0
FRONTPOINT/COPIA-ANDREW	031	USO	B	125,100	36.63	n/a	n/a	3,753.00	3.0
RAMIUS CAP	040	VMC	SS	20,600	65.07	n/a	n/a	1,030.00	5.0
PIONEER OAKRIDGE	031	VMI	B	1,500	56.82	n/a	n/a	30.00	2.0
⌘ BUCK CAPITAL MGMT		VNO	C	15,800	54.88	n/a	n/a	non-brg	4.0

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⌘ BUCK CAPITAL MGMT		VOW GR	C	14,336	409.56	n/a	n/a	non-brg	61.4
AVESTA CAP	229	WAG	SS	25,000	25.50	n/a	n/a	1,250.00	5.0
FRONTPOINT/COPIA-ANDREW	031	WFT	B	86,584	10.33	n/a	n/a	3,030.44	3.5
BUCK CAPITAL MGMT	001	WRC	S	30,000	16.05	7-Nov	7-Nov	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		WRI	C	21,000	17.77	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	WSM	SS	100,000	7.77	n/a	n/a	3,000.00	3.0
PIONEER OAKRIDGE	031	WWW	B	3,500	19.59	n/a	13-Mar	70.00	2.0
FRONTPOINT/COPIA-ANDREW	031	XOM	B	88,000	79.18	n/a	n/a	3,080.00	3.5
⌘ BUCK CAPITAL MGMT		XRT	C	500,000	19.25	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		YSI	C	23,200	3.58	n/a	n/a	non-brg	2.0
UBS OCONNOR	031	YUM	SS	180,000	27.36	14-Aug	10-Dec	5,400.00	3.0
SERENGETI MGMT	030	ZION	SS	50,000	26.00	n/a	n/a	2,500.00	5.0
<b>TOTAL (Trade Date):</b>								<b>130,845.30</b>	

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/10	BUCK CAPITAL MGMT	040	AEO	B	100,000	10.13	n/a	12-Dec	4000.00 4.0
AO,CXL	12/10	DE SHAW	040	AEO	B	100,000	10.13	n/a	12-Dec	4000.00 4.0
AO,CXL	12/11	UBS OCONNOR	031	COST	C	74,048	51.86	n/a	27-Jul	2221.44 3.0
AO,	12/11	UBS OCONNOR	031	COST	C	74,048	51.86	n/a	27-Jul	2221.44 3.0
AO,CRT	12/11	BUCK CAPITAL MGMT	001	GIL	S	52,900	9.31	11-Dec	12-Dec	1587.00 3.0
AO,CXL	12/11	BUCK CAPITAL MGMT	001	GIL	S	52,900	9.31	11-Dec	12-Dec	2116.00 4.0
AO,CRT	12/11	COUGAR TRADING	044	GIL	S	10,000	9.71	11-Dec	12-Dec	300.00 3.0
AO,CXL	12/11	COUGAR TRADING	044	GIL	S	10,000	9.71	11-Dec	12-Dec	400.00 4.0
AO,CRT	12/11	WILLOW CREEK	033	GMT	B	10,000	25.04	n/a	n/a	400.00 4.0
AO,CXL	12/11	WILLOW CREEK	033	GMT	B	10,000	25.04	n/a	n/a	500.00 5.0
AO,CRT	12/11	HBK FUNDS	203	KG	S	250,000	9.27	n/a	n/a	12500.00 5.0
AO,CXL	12/11	HBK FUNDS	203	KG	S	250,000	9.27	n/a	n/a	0.00 0.0
AO,CRT	12/11	WILLOW CREEK	033	NOK	B	10,000	15.12	n/a	n/a	400.00 4.0
AO,CXL	12/11	WILLOW CREEK	033	NOK	B	10,000	15.12	n/a	n/a	500.00 5.0
AO,CXL	12/11	COUGAR TRADING	044	SSI	B	15,000	6.46	n/a	5-Dec	750.00 5.0
AO,CRT	12/11	COUGAR TRADING	044	SSI	B	15,000	6.46	n/a	5-Dec	600.00 4.0
AO,CRT	12/11	WILLOW CREEK	033	TTC	B	5,000	30.36	n/a	n/a	200.00 4.0
AO,CXL	12/11	WILLOW CREEK	033	TTC	B	5,000	30.36	n/a	n/a	250.00 5.0
AO,	12/11	AELTUS/ING INVESTMENT MGT	215	URBN	S	45,577	15.15	5-Nov	12-Dec	1595.33 3.5

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/11	BAIRD INVESTMENT	031	WFT	B	14,425	10.65	n/a	n/a	721.25 5.0
AO,CRT	12/11	WILLOW CREEK	033	ZBRA	B	10,000	19.64	n/a	n/a	400.00 4.0
AO,CXL	12/11	WILLOW CREEK	033	ZBRA	B	10,000	19.64	n/a	n/a	500.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>13,687.58</b>

TOTAL (Trade Date) :	130,845.30
TOTAL (AO, CXL, CRT) :	13,687.58

<b>TOTAL (RUN DATE) / NFS:</b>	<b>144,532.88</b>
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MRI Securities with Trade Activity on 12-Dec.  
NONE

MRI Securities without Trade Activity on 12-Dec.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 24%  
YTD = 20%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**