



# Daily Compliance Activity Report

Trade Date: 04/16/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ADAGE CAPITAL MGMT	030	AEO	SS	241,600	17.67	n/a	9-Apr	7,248.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>200,000</b>	<b>17.66</b>	<b>n/a</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>50,000</b>	<b>18.07</b>	<b>n/a</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>750,000</b>	<b>17.78</b>	<b>n/a</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>4.0</b>
MERRILL ASSET/BLACKROCK	263	AGCO	S	31,700	38.53	n/a	18-Mar	1,268.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>AMG</b>	<b>B</b>	<b>15</b>	<b>0.77</b>	<b>n/a</b>	<b>2-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>AMRN</b>	<b>S</b>	<b>3000</b>	<b>1.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CASTLEROCK	040	AMR	SS	352,098	8.90	n/a	n/a	14,083.92	4.0
LEVIN CAPITAL STRATEGIES	012	ANF	C	40,000	48.50	n/a	9-Apr	1,200.00	3.0
RBC CAPITAL MARKETS	040	ANF	SS	5,000	49.62	n/a	9-Apr	200.00	4.0
CASTLEROCK	040	ANN	SS	84,884	22.13	n/a	n/a	3,395.36	4.0
THE JAY GOLDMAN	028	ANN	B	30,000	22.11	n/a	n/a	1,200.00	4.0
DIAMONDBACK CAP MGMT	232	ATR	C	10,000	42.49	n/a	n/a	500.00	5.0
COBALT	041	BAC	SS	100,000	18.67	n/a	15-Apr	5,000.00	5.0
PORTER-ORLIN-FELDMAN	044	BAC	SS	59,000	18.56	n/a	15-Apr	2,950.00	5.0
PORTER-ORLIN-FELDMAN	044	BAC	S	41,000	18.56	n/a	15-Apr	2,050.00	5.0
WAGONHOUND/NIC	048	BAC	B	25,000	19.06	n/a	15-Apr	1,000.00	4.0
BALYASNY ASSET MGMT	044	BA	SS	50,000	70.78	n/a	14-Apr	2,500.00	5.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MICHAEL THOMAS NOLAN	FID	BA	B	100	71.81	n/a	14-Apr	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	BGZ	B	2000	13.08	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	BGZ	S	1500	13.03	n/a	n/a	0.00	0.0
	EXIS CAPITAL	044	BONT	B	10,000	16.94	n/a	n/a	500.00	5.0
f	HARALAMBOS EFSTATHI	FID	BONT	B	1000	16.84	n/a	n/a	0.00	0.0
f	ROBERT M DANIELS	FID	BQI	S	1000	0.89	n/a	n/a	0.00	0.0
	ACK ASSET	028	CHP	B	92,000	1.77	n/a	n/a	2,760.00	3.0
B	BUCK CAPITAL MGMT		CRI	B	50,000	32.25	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		CSTR	S	20,000	36.58	n/a	n/a	non-brg	4.0
f	MARC PAUL LUCHANSKY	FID	CVGI	S	2000	8.42	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		C	B	200,000	4.59	n/a	15-Apr	non-brg	2.5
f	KAREN F LEDDY	FID	C	B	1500	4.62	n/a	15-Apr	0.00	0.0
	SANDLER O'NEILL ASSET	031	C	S	250,000	4.64	n/a	15-Apr	5,000.00	2.0
	TRELLUS PARTNERS LP	028	DAN	S	20,900	13.59	n/a	25-Feb	1,045.00	5.0
	BERMAN CAPITAL MGMT	046	DELL	B	20,000	16.92	n/a	n/a	600.00	3.0
B	BUCK CAPITAL MGMT		DIA	C	56,900	110.01	n/a	n/a	non-brg	2.0
B	BUCK CAPITAL MGMT		DIA	SS	90,900	109.79	n/a	n/a	non-brg	2.0
	GREEN ARROW DOUG	040	EFA	SS	10,000	57.19	n/a	n/a	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROTHSCHILD ASSET	040	ELS	B	7,848	56.34	n/a	n/a	313.92	4.0
MERRILL ASSET/BLACKROCK	263	ENR	B	3,100	61.25	n/a	3-Mar	124.00	4.0
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>FAS</b>	<b>B</b>	<b>600</b>	<b>104.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
1798 CAPITAL MGMT	040	FOR	B	20,000	21.29	n/a	26-Mar	1,000.00	5.0
BMO NESBITT BURNS	041	FOR	S	5,000	21.16	n/a	26-Mar	500.00	10.0
ROYCE TRADING	216	FRX	B	100,000	27.84	n/a	16-Apr	5,000.00	5.0
<b>f MITCHELL JAMES SPEIS</b>	<b>FID</b>	<b>FSNXX</b>	<b>B</b>	<b>9500</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>FXP</b>	<b>S</b>	<b>1000</b>	<b>38.31</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TROUBH PART	067	F	S	75,000	13.71	n/a	5-Apr	3,750.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>S</b>	<b>17,000</b>	<b>33.87</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>S</b>	<b>20,000</b>	<b>33.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>S</b>	<b>20,600</b>	<b>33.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>B</b>	<b>20,000</b>	<b>47.15</b>	<b>n/a</b>	<b>18-Mar</b>	<b>non-brg</b>	<b>4.0</b>
PROSPECTOR PARTNERS LLC	036	GFI	B	20,000	12.51	n/a	n/a	800.00	4.0
<b>ß BUCK CAPITAL MGMT</b>		<b>GS</b>	<b>B</b>	<b>166</b>	<b>160.38</b>	<b>n/a</b>	<b>15-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>B</b>	<b>10,000</b>	<b>51.32</b>	<b>n/a</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>HAL</b>	<b>B</b>	<b>10,000</b>	<b>32.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		HAL	B	20,000	31.61	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HD	SS	100,000	34.94	n/a	23-Feb	non-brg	4.0
NEUBERGER BERMAN	036	HEI	S	10,900	51.61	n/a	n/a	436.00	4.0
f MARK DEVEREAUX KAICH	FID	HK	S	600	22.89	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	HNI	B	15,951	28.50	n/a	n/a	638.04	4.0
⚡ BUCK CAPITAL MGMT		IJS	C	34,000	67.69	n/a	n/a	non-brg	2.0
JENNISON	032	INTC	S	570,000	24.03	n/a	n/a	21,090.00	3.7
NICOLAS APPLGATE	048	INTC	B	40,000	24.04	n/a	n/a	1,600.00	4.0
ARMATURE CAPITAL	041	IP	B	10,000	27.91	n/a	26-Mar	400.00	4.0
BMO NESBITT BURNS	041	IP	SS	50,000	27.65	n/a	26-Mar	2,500.00	5.0
CSFB GLOBAL PROPRIETARY	041	IP	B	210,000	27.71	n/a	26-Mar	10,500.00	5.0
f MARC PAUL LUCHANSKY	FID	IR	S	500	37.12	n/a	16-Feb	0.00	0.0
⚡ BUCK CAPITAL MGMT		IWM	C	42,000	71.37	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		IWO	C	17,700	76.59	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		JACK	S	20,000	25.14	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		JCG	S	10,000	46.48	n/a	n/a	non-brg	4.0
SACJ	030	JCI	B	25,000	32.49	n/a	26-Mar	875.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COOPER CREEK PART	028	JCP	B	45,000	31.32	n/a	9-Apr	2,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>S</b>	<b>40,000</b>	<b>43.10</b>	<b>n/a</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	JWN	SS	10,000	43.12	n/a	9-Apr	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>S</b>	<b>10,000</b>	<b>57.29</b>	<b>n/a</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>4.0</b>
DIAMONDBACK CAP MGMT	232	KSS	SS	25,000	57.64	n/a	9-Apr	1,250.00	5.0
EXIS CAPITAL	044	KSS	SS	5,000	57.16	n/a	9-Apr	250.00	5.0
<b>f BERNA GABRIELLE BARS</b>	<b>FID</b>	<b>KWS</b>	<b>S</b>	<b>750</b>	<b>13.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LL</b>	<b>S</b>	<b>10,000</b>	<b>28.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
1798 CAPITAL MGMT	040	LORL	B	1,000	38.55	n/a	n/a	50.00	5.0
SUN AMERICA ASSET MGMT	030	LO	B	2,013	77.86	n/a	n/a	80.52	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>LQN</b>	<b>B</b>	<b>20</b>	<b>0.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EMERSON CAPITAL MGMT	048	LVL	B	25,000	1.68	n/a	n/a	1,000.00	4.0
BROADARCH CAP/OLD NEWC	070	LVS	B	2,800	22.73	n/a	14-Apr	112.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MDY</b>	<b>C</b>	<b>17,500</b>	<b>148.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MFB</b>	<b>S</b>	<b>30,000</b>	<b>23.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MGM</b>	<b>C</b>	<b>250,000</b>	<b>14.51</b>	<b>n/a</b>	<b>15-Apr</b>	<b>non-brg</b>	<b>4.0</b>
CIRCLE T/SES PART	035	MGM	B	25,000	14.68	n/a	15-Apr	1,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f KAREN F LEDDY	FID	MIR	S	1630	11.89	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	MON	S	200	64.04	n/a	12-Apr	0.00	0.0
f MARGARET M DAVEY	FID	MON	B	400	64.03	n/a	12-Apr	0.00	0.0
Õ Michael DiMattia		MON	S	100	65.30	n/a	12-Apr	0.00	0.0
SUN AMERICA ASSET MGMT	030	MO	B	8,496	21.06	n/a	n/a	339.84	4.0
ß BUCK CAPITAL MGMT		MS	B	20,000	29.50	n/a	15-Apr	non-brg	4.0
f KAREN F LEDDY	FID	MS	B	500	29.55	n/a	15-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		MVRX	C	23,500	205.54	n/a	n/a	non-brg	20.6
ß BUCK CAPITAL MGMT		M	S	20,000	23.16	n/a	9-Apr	non-brg	4.0
f KAREN F LEDDY	FID	NBRXF	B	500	17.45	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	OXM	S	15	2.80	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	PG	B	5,000	63.45	n/a	18-Mar	200.00	4.0
BMO NESBITT BURNS	041	PKG	S	24,100	25.00	n/a	26-Mar	1,205.00	5.0
JP MORGAN VENTURES	046	PRI	S	20,000	22.98	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		PSS	B	20,000	24.04	n/a	n/a	non-brg	4.0
f JASON ERIC COHEN	FID	PST	B	200	50.00	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	PSUN	B	20,000	6.00	n/a	n/a	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET/BLACKROCK	263	REC	B	66,600	19.10	n/a	n/a	2,664.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>RF</b>	<b>S</b>	<b>1000</b>	<b>8.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>SEE</b>	<b>S</b>	<b>300</b>	<b>22.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SHOO</b>	<b>S</b>	<b>60,000</b>	<b>53.10</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PROSPECTOR PARTNERS LLC	036	SLE	B	10,000	13.94	n/a	n/a	400.00	4.0
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>SMN</b>	<b>S</b>	<b>2000</b>	<b>33.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SPY</b>	<b>C</b>	<b>23,200</b>	<b>119.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SPY</b>	<b>SS</b>	<b>84,000</b>	<b>118.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
CR INTRINIC INVESTMENT	044	SPY	SS	75,000	119.15	n/a	n/a	2,625.00	3.5
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SPY</b>	<b>S</b>	<b>20</b>	<b>0.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SPY</b>	<b>S</b>	<b>600</b>	<b>119.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>TA</b>	<b>B</b>	<b>650</b>	<b>4.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARK DEVEREAUX KAICH</b>	<b>FID</b>	<b>TA</b>	<b>B</b>	<b>2500</b>	<b>4.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>S</b>	<b>20,000</b>	<b>55.81</b>	<b>n/a</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>4.0</b>
SAXON WOODS ADVISORS	242	TGT	S	232,200	55.93	n/a	9-Apr	6,966.00	3.0
1798 CAPITAL MGMT	040	THO	B	1,000	35.04	n/a	n/a	50.00	5.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	40,000	22.66	n/a	26-Mar	2,000.00	5.0
RBC CAPITAL MARKETS	040	TIN	S	10,000	23.47	n/a	26-Mar	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>S</b>	<b>40,000</b>	<b>45.67</b>	<b>n/a</b>	<b>9-Apr</b>	<b>non-brg</b>	<b>4.0</b>

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1798 CAPITAL MGMT	040	TKR	B	8,000	31.93	n/a	n/a	400.00	5.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>TMBCF</b>	<b>B</b>	<b>2000</b>	<b>2.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	TRW	SS	25,000	34.17	n/a	n/a	1,250.00	5.0
BRANDWINE	028	UNH	S	42,100	30.73	n/a	n/a	1,684.00	4.0
RBC CAPITAL MARKETS	040	VALE	SS	25,000	34.15	n/a	n/a	1,000.00	4.0
NEWLAND CAPITAL MGT	067	VMC	S	10,000	52.94	n/a	n/a	400.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VNO</b>	<b>S</b>	<b>1500</b>	<b>78.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>WBC</b>	<b>B</b>	<b>500</b>	<b>31.25</b>	<b>n/a</b>	<b>13-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>B</b>	<b>10,000</b>	<b>50.21</b>	<b>n/a</b>	<b>2-Mar</b>	<b>non-brg</b>	<b>4.0</b>
CASTLEROCK	040	WRC	SS	62,265	50.14	n/a	2-Mar	2,490.60	4.0
1798 CAPITAL MGMT	040	WY	B	29,800	47.67	n/a	26-Mar	1,490.00	5.0
CSFB GLOBAL PROPRIETARY	041	WY	B	10,000	47.23	n/a	26-Mar	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>XLF</b>	<b>C</b>	<b>625,000</b>	<b>16.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XLF</b>	<b>C</b>	<b>64,400</b>	<b>16.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>115,500</b>	<b>43.23</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>187,900</b>	<b>43.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
RBC CAPITAL MARKETS	040	XRT	SS	17,500	43.38	n/a	n/a	700.00	4.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>ZINC</b>	<b>B</b>	<b>7220</b>	<b>12.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
EXIS CAPITAL	044	ZQK	B	10,000	5.25	n/a	12-Mar	500.00 5.0
BUCK CAPITAL MGMT		ZVZZT	B	100	1.00	n/a	n/a	non-brg1,000.0
TOTAL (Trade Date):							138,184.20	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CRT	04/13	SHEFFIELD ASSET MGMT	031	PKG	B	20,000	24.25	n/a 26-Mar	1000.00 5.0
AO,CXL	04/13	SHEFFIELD ASSET MGMT	031	PKG	B	20,000	24.25	n/a 26-Mar	1000.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
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TOTAL (Trade Date) :	138,184.20
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TOTAL (AO, CXL, CRT) :	0.00
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>138,184.20</b>
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MRI Securities with Trade Activity on 16-Apr. NONE
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MRI Securities without Trade Activity on 16-Apr. <b>WPI</b>
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 0% YTD = 12%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)