



Daily Compliance Activity Report

Trade Date: 09/05/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLE ARK	031	APC	B	265,100	49.20	31-Aug	5-Sep	15,906.00	6.0
MAZAMA CAPITAL MGMT.	035	ATW	B	35,000	46.74	n/a	n/a	1,400.00	4.0
CIBC ADV.	060	BBY	B	10,000	47.66	13-Jun	14-Jun	500.00	5.0
NCM CAPITAL MGMT	035	BHE	B	13,900	25.39	n/a	n/a	695.00	5.0
CASTLEROCK	040	BMC	B	76,218	26.81	n/a	n/a	3,048.72	4.0
KORMAN	003	CAKE	B	3,000	25.13	28-Jun	2-Aug	0.00	0.0
JEFFRIES MIKE	067	CBK	B	25,000	24.70	1-Jun	28-Aug	1,250.00	5.0
US BANCORP	030	CBK	S	60,000	24.70	1-Jun	28-Aug	3,000.00	5.0
DIAMONDBACK CAP MGMT	232	CHK	B	50,000	32.22	n/a	n/a	2,500.00	5.0
NCM CAPITAL MGMT	035	CHRT	S	32,750	7.95	n/a	n/a	1,637.50	5.0
DENVER INST. ADV	046	COH	B	26,940	30.86	n/a	2-Aug	1,347.00	5.0
SEAROCK CAPITAL MANAGE	012	COH	S	98,400	30.94	n/a	2-Aug	3,936.00	4.0
STANDARD PACIFIC CAPITAL	040	CSCO	S	350,000	22.12	n/a	22-Mar	17,500.00	5.0
TILLDALE MGMT.	048	CVX	B	10,000	66.40	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	DSW	S	15,000	28.32	n/a	n/a	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CIBC ADV.	060	DVN	B	6,000	71.89	31-Aug	5-Sep	300.00	5.0
CIBC ADV.	060	DVN	S	3,000	72.41	31-Aug	5-Sep	150.00	5.0
BUCK CAPITAL MGMT	001	FD	B	10,000	38.92	12-Jun	31-Aug	400.00	4.0
STADIA CAPITAL	035	FD	S	93,000	39.08	12-Jun	31-Aug	3,720.00	4.0
METROPOLITAN CAPITAL	044	FINL	S	74,000	11.33	n/a	25-Aug	3,700.00	5.0
BUCK CAPITAL MGMT	001	FL	S	225,000	24.28	n/a	18-Aug	9,000.00	4.0
THE JAY GOLDMAN	034	FRX	B	17,500	49.34	18-Jul	5-Sep	875.00	5.0
MAZAMA CAPITAL MGMT.	035	FVE	B	36,000	10.55	n/a	n/a	1,440.00	4.0
KORMAN	003	GLW	B	2,000	21.72	n/a	7-Aug	0.00	0.0
COUGAR TRADING	044	GYMB	B	10,000	34.55	10-Aug	31-Aug	500.00	5.0
NCM CAPITAL MGMT	035	H	B	21,475	21.07	n/a	n/a	1,073.75	5.0
NCM CAPITAL MGMT	035	IBN	S	16,450	27.65	n/a	n/a	822.50	5.0
CROWLEY	061	ICBMX	B	815	12.27	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	B	20,000	25.85	25-Aug	25-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	30,000	64.71	5-May	31-Aug	1,200.00	4.0
HUNTER GLOBAL INVESTOR	067	JPM	S	110,000	45.65	n/a	10-Aug	5,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	035	KBH	B	47,000	41.80	n/a	n/a	0.00	0.0
LISTED ERR	099	KBH	S	37,025	41.73	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	KBH	B	4,775	41.80	n/a	n/a	238.75	5.0
BARROW HANLEY MEWHINNEY	096	KFX	S	1,000	14.91	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	034	KVA	S	12,500	22.68	n/a	n/a	625.00	5.0
BUCK CAPITAL MGMT	001	LIZ	B	20,000	37.72	n/a	27-Jul	800.00	4.0
MAGNETAR FINANCIAL	247	MKC	B	30,000	36.54	n/a	n/a	1,200.00	4.0
BARROW HANLEY MEWHINNEY	096	OIH	B	100	139.67	n/a	n/a	0.00	0.0
SANDLER CAPITAL	038	OIS	B	125,000	33.18	n/a	n/a	7,500.00	6.0
BUCK CAPITAL MGMT	001	PSS	B	10,000	24.09	n/a	n/a	400.00	4.0
HAYSTACK CAPITAL	035	PSS	S	5,000	23.96	n/a	n/a	250.00	5.0
OGORMAN	146	RL	B	200	59.00	16-Jun	31-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	RVI	S	30,000	14.49	n/a	n/a	1,200.00	4.0
WHITE PINE CAPITAL	030	RVI	B	1,500	14.51	n/a	n/a	75.00	5.0
KAICHER	064	SNCR	B	2,000	10.00	n/a	n/a	0.00	0.0
VICTORIA INVESTORS LP	060	SWN	B	5,000	36.09	7-Aug	5-Sep	250.00	5.0
BUCK CAPITAL MGMT	001	TBL	B	16,300	28.48	19-Jul	7-Aug	652.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CUMBERLAND	038	TGT	S	25,000	48.99	n/a	31-Aug	1,000.00	4.0
BUCK CAPITAL MGMT	001	TWB	B	90,000	31.84	5-Sep	5-Sep	3,600.00	4.0
COUGAR TRADING	044	TWB	B	10,000	31.35	5-Sep	5-Sep	500.00	5.0
EGS ASSOCIATES LP	059	TWB	S	3,500	31.68	5-Sep	5-Sep	210.00	6.0
OGORMAN	146	UBS	S	82	57.40	n/a	n/a	0.00	0.0
SOMERSET ADV	044	USIH	B	2,500	13.26	n/a	n/a	125.00	5.0
MERRILL ASSET	032	UTI	B	32,700	18.16	n/a	n/a	981.00	3.0
NEW JERSEY DIV OF INV.	220	WYE	S	145,000	48.63	n/a	31-Mar	7,250.00	5.0
BEAR STEARNS ASSET MGMT.	033	YUM	B	25,000	49.42	n/a	19-Jul	1,000.00	4.0
BUCK CAPITAL MGMT	001	ZQK	S	305,000	13.84	n/a	1-Sep	12,200.00	4.0
TOTAL:								123,358.22	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	MKT MAKER (MKT MAKER)	097	AEOS	S	100	40.25	5-Sep	1-Sep	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	097	AEOS	S	100	40.00	5-Sep	1-Sep	0.00	0.0
AO,	MANNING & NAPIER (MANNMU1000)	034	CENT	B	5,300	43.78	3-May	28-Aug	212.00	4.0
AO,CXL	MANNING & NAPIER (MANNMU1000)	099	CENT	B	5,300	43.78	3-May	28-Aug	212.00	4.0
AO,	BUCK CAPITAL MGMT (BUCKGIL)	001	FL	S	100	24.05	n/a	18-Aug	4.00	4.0
AO,CXL	BUCK CAPITAL MGMT (BUCKGIL)	001	FL	S	100	24.05	n/a	18-Aug	0.00	0.0
AO,CXL	ANDERSON HOAGLAND & CO (ANDEUBS)	046	T	B	600	30.82	25-Jul	5-Sep	0.00	0.0
AO,	ANDERSON HOAGLAND & CO (ANDEUBS)	046	T	B	600	30.82	25-Jul	5-Sep	30.00	5.0
AO,	COUGAR TRADING (COUGPB MS)	044	TWB	B	5,000	31.84	5-Sep	5-Sep	250.00	5.0
AO,	MS PRINCIPAL STRATEGIES (MSPSMS)	040	WEN	S	45,000	64.12	25-Jul	27-Jul	1,800.00	4.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.