



Daily Compliance Activity Report

Trade Date: 05/30/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	S	10,000	26.91	4-Jan	23-May	500.00	5.0
GRUBER MACBAINE	033	AEO	B	10,000	26.90	4-Jan	23-May	400.00	4.0
BUCK	015	AMQVZ	S	10	1.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	SS	110,000	81.32	n/a	24-May	4,400.00	4.0
DAVIDSON KEMPNER ADVISOR	217	AQNT	B	100,000	63.76	n/a	n/a	5,000.00	5.0
GENERAL MOTORS INV CO	040	BDY	S	2,800	22.44	n/a	n/a	112.00	4.0
BUCK	015	BFB	S	800	67.98	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	BIG	B	12,500	34.90	9-Mar	29-May	625.00	5.0
LISTED ERR	099	BIG	B	12,500	34.90	9-Mar	29-May	0.00	0.0
LISTED ERR	099	BIG	S	12,500	34.74	9-Mar	29-May	0.00	0.0
Glenn Redemann		BNI	B	8	0.5600	n/a	n/a	0.00	0.0
Glenn Redemann		BNI	B	8	0.9900	n/a	n/a	0.00	0.0
Glenn Redemann		BNI	SS	8	1.3400	n/a	n/a	0.00	0.0
Glenn Redemann		BNI	SS	8	2.3100	n/a	n/a	0.00	0.0
COUGAR TRADING	044	BONT	B	5,000	45.87	25-May	25-May	250.00	5.0
MERRILL LYNCH STRATEGICI	034	BOW	B	30,000	20.72	n/a	27-Apr	1,200.00	4.0
PIVOT CAP	034	BWS	B	10,260	28.45	n/a	n/a	513.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EFSTATHIOU	044	CALL	S	1,000	3.57	n/a	n/a	0.00	0.0
BARR	096	CCOI	S	1,100	27.89	n/a	n/a	0.00	0.0
BRIGHTPOINT CAP	067	CHS	S	20,000	25.48	27-Mar	22-May	1,000.00	5.0
COUGAR TRADING	044	CHS	B	10,000	25.66	27-Mar	22-May	500.00	5.0
LAPIDES ASSET MGMT	034	CKP	B	8,300	25.26	n/a	n/a	332.00	4.0
SAILFISH	035	CMA	SS	10,000	63.03	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	COH	B	5,000	50.04	23-Feb	25-Apr	200.00	4.0
COUGAR TRADING	044	COH	B	5,000	49.48	23-Feb	25-Apr	250.00	5.0
COUGAR TRADING	044	COH	S	10,000	51.14	23-Feb	25-Apr	500.00	5.0
WD PARTNERS ILP	067	COST	SS	10,000	56.42	n/a	30-May	500.00	5.0
MERRILL ASSET	032	CRA	S	21,500	13.57	n/a	n/a	967.50	4.5
LEDDY	035	CRI	S	700	26.11	n/a	n/a	0.00	0.0
ZOLIDIS	011	CWTR	SS	400	24.55	n/a	n/a	0.00	0.0
MERRILL ASSET	032	CW	S	20,200	43.91	n/a	n/a	909.00	4.5
LAPIDES ASSET MGMT	034	DBD	B	8,500	49.12	3-Apr	25-Apr	340.00	4.0
BUCK	015	DDR	S	600	62.06	n/a	n/a	0.00	0.0
Ö Glenn Redemann		DIA	B	1	0.8500	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
Ö	Glenn Redemann		DIA	B	2	0.4500	n/a	n/a	0.00 0.0
	JP MORGAN VENTURES	046	DIA	SS	50,000	136.15	n/a	n/a	1,500.00 3.0
	NEUBERGER BERMAN	036	DRS	S	65,800	49.62	n/a	n/a	3,290.00 5.0
	MAZAMA CAPITAL MGMT.	035	EVR	B	40,000	31.55	n/a	n/a	1,600.00 4.0
	PRINCIPLE CAPITAL	040	FD	B	61,200	39.03	17-May	17-May	1,836.00 3.0
	HIGHBRIDGE CAPITAL	038	FRP	SS	43,000	18.00	n/a	n/a	2,150.00 5.0
	LEDDY	035	GIL	B	400	34.20	n/a	3-May	0.00 0.0
	BUCK CAPITAL MGMT	001	GPI	B	20,000	42.20	27-Apr	2-May	800.00 4.0
	CIRCLE T PART	035	GPI	B	10,000	42.31	27-Apr	2-May	400.00 4.0
	SAILFISH	035	HAL	SS	5,000	36.13	n/a	n/a	250.00 5.0
	FAGS	250	HC	B	99,900	23.89	14-Mar	22-May	4,995.00 5.0
	BENCHMARK	028	HW	SS	10,000	19.46	n/a	n/a	500.00 5.0
	SANDLER CAPITAL	038	HXL	B	40,000	22.80	n/a	n/a	2,400.00 6.0
	KORMAN	003	JASO	B	6,000	21.70	n/a	n/a	0.00 0.0
	COUGAR TRADING	044	JCG	B	5,000	41.19	14-Mar	22-May	250.00 5.0
	DSG CAPITAL	225	KEGS	B	4,000	18.74	n/a	23-May	200.00 5.0
	STADIA CAPITAL	035	KSS	C	75,000	74.88	n/a	18-May	3,000.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRUSS CAPITAL	040	LNK	S	5,000	28.48	n/a	n/a	250.00	5.0
CHIARMONTE	N10	MSFT	S	30	30.63	n/a	3-Apr	0.00	0.0
LEDDY	035	MSI	S	200	2.17	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	MT	S	500,000	57.98	n/a	n/a	20,000.00	4.0
AMBER CAPITAL	046	NEM	SS	500,000	39.44	n/a	n/a	20,000.00	4.0
MERRILL LYNCH STRATEGICI	034	NEU	B	10,100	46.75	n/a	n/a	505.00	5.0
~ Glenn Redemann		NFX	B	2	0.9800	n/a	n/a	0.00	0.0
~ Glenn Redemann		NFX	B	2	1.2700	n/a	n/a	0.00	0.0
ZOLIDIS	011	NLS	B	1,000	13.12	n/a	n/a	0.00	0.0
ENDEAVOUR CAPITAL	035	NMX	S	18,000	127.29	n/a	n/a	900.00	5.0
APEX CAPITAL MGMT.	091	NOK	B	60,000	27.02	n/a	n/a	3,000.00	5.0
FARALLON CAP MGMT	040	OMX	B	78,600	42.65	n/a	15-Apr	3,144.00	4.0
DEMARTINI	065	OPBL	S	2,000	0.44	n/a	n/a	0.00	0.0
KERN	050	PCU	B	100	85.87	n/a	n/a	0.00	0.0
BUCK	015	PEP	B	400	68.67	n/a	n/a	0.00	0.0
HOOVER INV. MGMT	033	PKG	B	30,000	25.65	n/a	18-Apr	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRUSS CAPITAL	040	PLD	B	5,000	64.85	n/a	n/a	200.00	4.0
BRIGHTPOINT CAP	067	PVH	B	32,400	59.75	28-Mar	24-May	1,620.00	5.0
Õ Glenn Redemann		QQQQ	B	1	0.2500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		QQQQ	B	1	0.3700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		QQQQ	B	2	0.0500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		QQQQ	B	2	0.1500	n/a	n/a	0.00	0.0
LAPIDES ASSET MGMT	034	RGS	B	7,000	39.70	n/a	n/a	280.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	50,000	94.82	2-Feb	24-May	2,000.00	4.0
COUGAR TRADING	044	RL	B	5,000	90.96	2-Feb	24-May	250.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	5,000	31.89	n/a	13-Mar	200.00	4.0
COUGAR TRADING	044	SKX	B	5,000	31.87	n/a	13-Mar	250.00	5.0
ROARING BLUE LION MGMT	012	SMRT	S	21,350	12.49	n/a	n/a	1,067.50	5.0
GALLEON MGMT LP	033	SPY	C	15,000	153.24	n/a	n/a	750.00	5.0
Õ Glenn Redemann		SPY	B	1	1.0000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPY	B	2	0.4000	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	STX	B	29,565	20.72	n/a	n/a	1,478.25	5.0
MERRILL ASSET	032	TGI	S	10,700	65.36	n/a	n/a	481.50	4.5
COUGAR TRADING	044	TIF	SS	10,000	51.26	27-Mar	26-Apr	500.00	5.0
THIRD COAST CAPITAL	035	TIF	S	7,000	52.19	27-Mar	26-Apr	350.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PIVOT CAP	034	TJX	B	13,030	27.65	21-Mar	16-May	651.50	5.0
PZENA INV ADV	044	TJX	B	62,225	27.54	21-Mar	16-May	2,489.00	4.0
COUGAR TRADING	044	TWB	B	5,000	41.50	23-May	23-May	250.00	5.0
LAPIDES ASSET MGMT	034	TWP	B	7,000	21.82	n/a	n/a	280.00	4.0
BUCK CAPITAL MGMT	001	UAG	B	40,000	22.09	n/a	9-May	1,600.00	4.0
ROTHSCHILD ASSET	040	UPFC	S	7,910	15.43	n/a	n/a	355.95	4.5
JP MORGAN VENTURES	046	URBN	C	9,000	26.24	2-Apr	11-May	450.00	5.0
ROXBURY CAPITAL MGMT	035	USTR	S	128,377	66.05	23-May	23-May	5,135.08	4.0
KERN	050	VOII	S	10,000	0.12	n/a	n/a	0.00	0.0
DEMARTINI	065	VOII	S	50,000	0.12	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	091	VRSN	B	15,000	29.07	n/a	n/a	750.00	5.0
JP MORGAN VENTURES	046	WMT	B	32,000	46.82	n/a	16-May	1,600.00	5.0
ROTHSCHILD ASSET	040	WNG	S	15,190	84.60	n/a	n/a	683.55	4.5
BUCK CAPITAL MGMT	001	ZQK	S	10,000	13.75	9-Mar	17-Apr	400.00	4.0
DELTEC ASSET MGMT.	040	ZQK	S	26,800	13.66	9-Mar	17-Apr	1,072.00	4.0
TOTAL (Trade Date):								116,612.83	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	S	71,800	38.75	4-May	4-May	2154.00 3.0
AO,CXL	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	S	28,720	38.59	4-May	4-May	861.60 3.0
AO,CRT	05/24	UBS OCONNOR (UBSOCREDIT)	031	IP	S	71,800	38.75	4-May	4-May	2154.00 3.0
AO,CRT	05/24	UBS OCONNOR (UBSOCREDIT)	031	IP	S	28,720	38.59	4-May	4-May	861.60 3.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	116,612.83
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	116,612.83
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION