



Daily Compliance Activity Report

Trade Date: 07/20/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Ravi Sadarangani		AAPL	B	100	252.43	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	ABT	B	1000	46.99	n/a	n/a	0.00	0.0
CAMBIAR CAP	046	ALR	B	11,000	26.38	n/a	n/a	440.00	4.0
MERU CAP PART LLC	036	AMTD	B	15,000	15.31	n/a	n/a	600.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	30,000	33.01	n/a	9-Jul	1,200.00	4.0
ß BUCK CAPITAL MGMT		ANN	B	30,000	15.96	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ARO	S	50,000	29.03	n/a	9-Jul	non-brg	4.0
EXIS CAPITAL	044	ARO	SS	7,500	28.76	n/a	9-Jul	375.00	5.0
BUCK CAPITAL MGMT	001	AVP	B	50,000	28.23	n/a	12-Jul	2,000.00	4.0
OZ MGMT	040	AXL	S	171,802	7.97	n/a	15-Jul	5,154.06	3.0
f EDWARD W WHEELER	FID	BAX	B	3000	42.25	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BBY	B	30,000	34.21	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	BGU	B	1000	42.09	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BONT	B	10,100	7.91	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		BONT	B	19,900	7.80	n/a	n/a	non-brg	2.5

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		BONT	B	20,000	7.90	n/a	n/a	non-brg 2.5
Õ	Ravi Sadarangani		BRK/B	S	100	77.81	n/a	n/a	0.00 0.0
	FIRST NEW YORK LEDDY	035	BSX	S	20,000	6.12	n/a	n/a	800.00 4.0
	1798 CAP/LOMBARD ASSET	040	CAM	B	14,000	37.36	n/a	n/a	700.00 5.0
	NWQ INVESTMENT MGMT CO	048	CA	S	13,240	19.17	n/a	n/a	397.20 3.0
	CATAPULT	040	CE	B	10,000	25.68	n/a	n/a	500.00 5.0
	BUCK CAPITAL MGMT	001	CHS	B	30,000	9.58	n/a	5-Feb	900.00 3.0
Õ	Ravi Sadarangani		CIT	S	100	36.48	n/a	2-Nov	0.00 0.0
f	DAVID GEORGE BUCK	FID	CL	B	500	82.60	n/a	18-May	0.00 0.0
	CATAPULT	040	CMC	B	20,000	13.45	n/a	n/a	1,000.00 5.0
	WHITE PINE CAPITAL	030	COGO	S	14,780	6.44	n/a	n/a	739.00 5.0
	PILOT ROCK	028	COH	SS	17,064	34.51	n/a	19-Jul	682.56 4.0
ß	BUCK CAPITAL MGMT		COLM	C	10,000	44.90	n/a	n/a	non-brg 2.5
ß	BUCK CAPITAL MGMT		CWTR	S	150,000	3.45	n/a	n/a	non-brg 2.0
	BENCHMARK	025	DAR	B	5,000	7.66	n/a	n/a	250.00 5.0
ß	BUCK CAPITAL MGMT		DBRN	B	10,000	23.73	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		DHI	B	15,000	10.01	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	DO	B	500	64.00	n/a	n/a	0.00	0.0
f STEFFANIE CARMELA CA	FID	DPTR	B	1000	0.78	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	DPZ	B	10,730	11.99	n/a	8-Jul	429.20	4.0
f DAVID GEORGE BUCK	FID	DRI	S	3000	39.91	n/a	8-Jul	0.00	0.0
f JASON ERIC COHEN	FID	DRWI	B	400	5.36	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		EL	C	10,000	61.58	n/a	7-Jun	non-brg	4.0
BROADARCH CAP/OLD NEWC	070	EMC	S	8,500	19.92	n/a	n/a	340.00	4.0
f DAVID GEORGE BUCK	FID	ESRX	S	2000	45.59	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FAZ	B	2000	16.35	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FINL	B	40,000	14.47	n/a	n/a	non-brg	2.5
BALYASNY ASSET MGMT	044	FL	B	30,000	13.17	n/a	16-Jun	1,500.00	5.0
OZ MGMT	040	F	B	114,535	11.51	n/a	15-Jul	3,436.05	3.0
BUCK CAPITAL MGMT	001	GCO	B	30,000	26.40	n/a	n/a	1,200.00	4.0
LEVEL GLOBAL SHARED ACCT	257	GES	B	100,000	33.50	n/a	19-Jul	3,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GIII	B	2,800	21.11	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		GIII	B	27,200	21.23	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	GNTX	SS	75,000	18.86	n/a	15-Jul	3,750.00	5.0
SOUTH POINT CAP	067	GOOG	S	10,000	481.50	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		GS	S	6,700	149.28	n/a	19-Jul	non-brg	0.8
B BUCK CAPITAL MGMT		HAL	S	20,000	30.02	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HAS	C	80,000	40.19	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HBI	B	10,000	24.52	n/a	19-Jul	non-brg	4.0
BALYASNY ASSET MGMT	044	HD	C	20,000	27.15	20-Jul	20-Jul	1,000.00	5.0
GEORGE WEISS	034	HD	B	27,000	27.26	20-Jul	20-Jul	1,080.00	4.0
KADE CAPITAL LLC	036	HD	S	15,000	27.37	20-Jul	20-Jul	750.00	5.0
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	HD	S	20,000	27.18	20-Jul	20-Jul	1,000.00	5.0
MTB INV ADV	034	ICON	S	62,750	14.82	n/a	n/a	3,137.50	5.0
CRAMER ROSENTHAL/SCOTT	012	IPG	S	1,800	7.55	n/a	n/a	72.00	4.0
AVESTA CAP	229	IP	C	100,000	23.91	n/a	19-Jul	3,000.00	3.0
B BUCK CAPITAL MGMT		ITW	B	25,000	42.52	n/a	30-Apr	non-brg	4.0
DIAMONDBACK CAP MGMT	232	IWM	C	8,000	61.12	n/a	n/a	240.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DIAMONDBACK CAP MGMT	232	IWM	SS	8,000	61.68	n/a	n/a	240.00	3.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	33.08	n/a	n/a	400.00	4.0
ADAGE CAPITAL MGMT	030	JCP	B	107,400	22.55	n/a	9-Jul	3,222.00	3.0
⚡ BUCK CAPITAL MGMT		JCP	SS	43,000	23.18	n/a	9-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		JNY	B	20,000	14.04	n/a	19-Jul	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	KEY	S	1,400	7.62	n/a	n/a	56.00	4.0
MERRILL ASSET/BLACKROCK	266	KFRC	B	54,100	12.62	n/a	n/a	2,164.00	4.0
LEVIN CAPITAL STRATEGIES	012	KSS	C	20,000	46.49	n/a	9-Jul	600.00	3.0
⚡ BUCK CAPITAL MGMT		LEN	B	20,000	13.97	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LL	B	10,000	21.61	n/a	n/a	non-brg	0.8
⚡ BUCK CAPITAL MGMT		LL	B	10,000	21.75	n/a	n/a	non-brg	2.0
BROADARCH CAP/OLD NEWC	070	LVS	B	6,100	23.61	n/a	7-Jul	244.00	4.0
⚡ BUCK CAPITAL MGMT		MA	S	5,000	204.02	n/a	20-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MDC	B	10,000	27.24	n/a	n/a	non-brg	4.0
DENVER INST. ADV	046	MFB	B	20,000	21.04	n/a	n/a	800.00	4.0
1798 CAP/LOMBARD ASSET	040	MHK	B	15,000	44.11	n/a	n/a	750.00	5.0
⚡ BUCK CAPITAL MGMT		MON	S	62,000	57.21	n/a	1-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f CHRISTOPHER ROBERT C	FID	MON	S	100	56.15	n/a	1-Jul	0.00	0.0
TROUBH PART	067	MOT	B	25,000	7.99	n/a	n/a	1,250.00	5.0
f HARALAMBOS EFSTATHI	FID	MTG	B	1500	7.83	n/a	n/a	0.00	0.0
CATAPULT	040	MUR	B	25,000	50.74	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		M	B	20,000	17.19	n/a	9-Jul	non-brg	4.0
EXIS CAPITAL	044	M	B	5,000	17.15	n/a	9-Jul	250.00	5.0
SAC CAPITAL/M GRONET	030	M	B	10,000	17.65	n/a	9-Jul	350.00	3.5
PROSPECTOR PARTNERS LLC	036	NP	S	10,000	18.06	n/a	19-Jul	400.00	4.0
BENNETT LAWRENCE	028	NTAP	B	12,800	41.13	n/a	n/a	512.00	4.0
WEINTRAUB CAPITAL MGT.	040	NWY	B	25,000	2.20	n/a	n/a	500.00	2.0
f DAVID GEORGE BUCK	FID	PG	B	1500	61.32	n/a	28-Jun	0.00	0.0
BMO NESBITT BURNS	041	PKG	S	4,000	24.07	n/a	20-Jul	280.00	7.0
CSFB GLOBAL PROPRIETARY	041	PKG	S	35,000	23.75	n/a	20-Jul	1,750.00	5.0
KADE CAPITAL LLC	036	PKG	S	15,000	23.90	n/a	20-Jul	900.00	6.0
TRELLUS PARTNERS LP	028	PKG	S	22,600	23.70	n/a	20-Jul	1,130.00	5.0
LISTED ERR	099	PKG	B	1,000	24.63	n/a	20-Jul	0.00	0.0
LISTED ERR	099	PKG	S	1,000	23.70	n/a	20-Jul	0.00	0.0
CATAPULT	040	POT	B	5,000	97.54	n/a	n/a	250.00	5.0
CASTLEROCK	040	PVH	B	29,726	45.70	n/a	19-Jul	1,189.04	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	PVH	B	100,000	46.86	n/a	19-Jul	3,000.00	3.0
⚡ BUCK CAPITAL MGMT		QKLS	S	25,000	4.25	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		RYL	B	10,000	15.85	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	B	20,000	32.47	n/a	n/a	800.00	4.0
f JASON ERIC COHEN	FID	SNCR	B	100	17.79	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		STT	S	20,000	39.52	n/a	20-Jul	non-brg	0.8
CONIFER/ISF	025	TEN	B	3,500	22.43	n/a	n/a	140.00	4.0
BALYASNY ASSET MGMT	044	TIF	C	25,000	39.37	n/a	n/a	1,250.00	5.0
PILOT ROCK	028	TIF	SS	30,393	38.76	n/a	n/a	1,215.72	4.0
BMO NESBITT BURNS	041	TIN	B	7,000	21.31	n/a	19-Jul	350.00	5.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	30,000	21.14	n/a	19-Jul	1,500.00	5.0
EXIS CAPITAL	044	TJX	SS	10,000	41.25	n/a	9-Jul	500.00	5.0
⚡ BUCK CAPITAL MGMT		TLB	B	30,000	10.18	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TLB	B	50,000	10.25	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	TLB	B	15,000	10.13	n/a	n/a	750.00	5.0
⚡ BUCK CAPITAL MGMT		TOL	B	10,000	16.40	n/a	n/a	non-brg	4.0
LEVEL GLOBAL SHARED ACCT	257	TPX	S	100,000	31.74	n/a	n/a	3,000.00	3.0
HARBORWATCH/DIAMBACK	232	TXT	B	25,000	17.65	n/a	n/a	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		UAUA	S	20,000	21.83	n/a	n/a	non-brg	4.0
LEVEL GLOBAL SHARED ACCT	257	URBN	C	50,000	32.73	n/a	n/a	1,500.00	3.0
1798 CAP/LOMBARD ASSET	040	USG	B	29,000	12.46	n/a	19-Jul	1,450.00	5.0
MILLENIUM PARTNERS	028	VCI	B	10,000	28.95	n/a	n/a	400.00	4.0
BENNETT LAWRENCE	028	VRX	B	13,950	53.85	n/a	n/a	558.00	4.0
B BUCK CAPITAL MGMT		WBC	S	20,000	36.14	n/a	25-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		WFT	S	267,938	15.62	n/a	n/a	non-brg	4.0
SLS CAPITAL LLC	224	WL	B	100,000	10.94	n/a	n/a	3,000.00	3.0
JMP ASSET MGMT	210	WNI	B	12,770	7.26	n/a	n/a	510.80	4.0
CRAMER ROSENTHAL/SCOTT	012	WRC	S	300	36.14	n/a	19-Jul	12.00	4.0
EXIS CAPITAL	044	WRC	B	10,000	36.50	n/a	19-Jul	500.00	5.0
SAXON WOODS ADVISORS	242	WY	S	50,000	15.82	n/a	19-Jul	2,000.00	4.0
WEINTRAUB CAPITAL MGT.	040	WY	B	200,000	15.93	n/a	19-Jul	8,000.00	4.0
IRIDIAN ASSET MGMT.	036	XRX	B	117,060	8.12	n/a	n/a	5,853.00	5.0
Õ Ravi Sadarangani		YHOO	B	1000	15.16	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

96,199.13

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/19	LISTED ERR	099	KSU	S	2,000	34.35	n/a	n/a	0.00 0.0
AO,CXL	07/19	LISTED ERR	099	KSU	S	2,000	34.26	n/a	n/a	0.00 0.0
AO,CRT	07/19	MTB INV ADV	034	KSU	S	20,750	34.25	n/a	n/a	1037.50 5.0
AO,CXL	07/19	MTB INV ADV	034	KSU	S	20,750	34.26	n/a	n/a	1037.50 5.0
AO,CXL	07/19	SAC CAPITAL/M GRONET	030	M	B	15,000	17.29	n/a	9-Jul	525.00 3.5
AO,CRT	07/19	SAC CAPITAL/M GRONET	030	M	B	15,000	17.29	n/a	9-Jul	525.00 3.5
AO,CRT	07/19	SONTERRA CAP	041	NTY	S	109,932	53.42	n/a	n/a	4397.28 4.0
AO,CXL	07/19	SONTERRA CAP	041	NTY	S	109,932	53.42	n/a	n/a	4397.28 4.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date):	96,199.13
TOTAL (AO, CXL, CRT):	0.00

TOTAL (RUN DATE) / NFS:	96,199.13
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MRI Securities with Trade Activity on 20-Jul.

HD

MRI Securities without Trade Activity on 20-Jul.

AOS

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 13%

YTD = 10%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)