



Daily Compliance Activity Report

Trade Date: 03/08/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AB	S	5,000	85.29	5-Mar	5-Mar	250.00	5.0
HIGHBRIDGE CAPITAL	038	ADP	SS	6,000	48.58	n/a	n/a	300.00	5.0
THE JAY GOLDMAN	034	AEO	S	20,000	30.18	n/a	n/a	800.00	4.0
WEISS PECK	040	AEO	SS	7,400	30.02	n/a	n/a	0.00	0.0
LISTED ERR	099	AEO	B	100	30.46	n/a	n/a	0.00	0.0
LISTED ERR	099	AEO	SS	100	30.02	n/a	n/a	0.00	0.0
SEMINOLE CAP	036	AET	B	50,000	44.49	n/a	n/a	2,500.00	5.0
Ö Glenn Redemann		AGD	S	432	23.05	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	2,400	7.57	n/a	n/a	96.00	4.0
MAIRS AND POWER INV	030	AMGN	B	10,000	62.00	n/a	26-Jan	400.00	4.0
ß BUCK CAPITAL MGMT		ANF	B	40,000	75.51	2-Feb	5-Mar	non-brg	5.0
COUGAR TRADING	044	ANF	SS	5,000	75.50	2-Feb	5-Mar	250.00	5.0
BEAR STEARNS ASSET MGMT.	033	ANN	SS	20,000	37.48	5-Jan	5-Mar	800.00	4.0
BUCK CAPITAL MGMT	001	ANN	B	10,000	36.75	5-Jan	5-Mar	400.00	4.0
PAW PARTNERS	033	ARO	S	16,800	38.46	23-Oct	5-Mar	840.00	5.0
THE JAY GOLDMAN	034	ARO	B	20,000	37.66	23-Oct	5-Mar	800.00	4.0
WHITTIER CAP	048	BEAS	S	18,550	11.14	n/a	3-Apr	742.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	BIG	B	20,000	24.90	16-Nov	8-Mar	800.00	4.0
BALYASNY ASSET MGMT	044	BLK	B	2,300	158.64	n/a	6-Mar	115.00	5.0
ß BUCK CAPITAL MGMT		BONT	S	100,000	54.37	9-Feb	9-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	S	30,000	55.42	9-Feb	9-Mar	non-brg	5.0
COUGAR TRADING	044	BONT	SS	5,000	55.58	9-Feb	9-Mar	250.00	5.0
STERLING JOHNSTON	035	BONT	S	26,500	54.87	9-Feb	9-Mar	1,325.00	5.0
SIRIS	014	BONT	S	1,000	55.57	9-Feb	9-Mar	0.00	0.0
LUCHANSKY2	036	BONT	C	1,000	54.91	9-Feb	9-Mar	0.00	0.0
LUCHANSKY2	036	BONT	SS	1,000	55.48	9-Feb	9-Mar	0.00	0.0
BALYASNY ASSET MGMT	044	BSC	SS	5,000	152.30	15-Dec	8-Mar	250.00	5.0
Õ Glenn Redemann		CEPH	B	7	0.51	13-Feb	5-Mar	0.00	0.0
Õ Glenn Redemann		CEPH	B	7	0.52	13-Feb	5-Mar	0.00	0.0
Õ Glenn Redemann		CEPH	S	7	1.18	13-Feb	5-Mar	0.00	0.0
Õ Glenn Redemann		CEPH	S	7	1.38	13-Feb	5-Mar	0.00	0.0
ß BUCK CAPITAL MGMT		CHCG	B	175,000	6.09	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		CHCG	B	225,000	6.02	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		CHCG	B	56,800	6.04	n/a	n/a	non-brg	3.0
GAULT	063	CHSCX	S	60	0.30	n/a	n/a	0.00	0.0
COUGAR TRADING	044	CHS	SS	15,000	22.38	5-Jan	7-Mar	750.00	5.0
ZOLIDIS	011	CHS	SS	200	22.42	5-Jan	7-Mar	0.00	0.0
WAGONHOUND	048	CLMS	SS	10,000	24.47	5-Mar	8-Mar	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CLWR	S	6,200	26.37	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CMRG	B	10,000	12.11	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		CTX	B	7	0.23	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CTX	B	7	0.32	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CTX	S	7	1.18	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CTX	S	7	1.18	n/a	n/a	0.00	0.0
BINDER	007	CVGW	S	500	10.90	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CWTR	B	10,000	18.31	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DBRN	B	10,000	20.27	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	DDS	SS	10,000	32.35	n/a	n/a	400.00	4.0
MATRIX ASSET ADV.	036	DG	S	24,000	17.01	n/a	8-Mar	720.00	3.0
GLG PARTNERS	034	DRI	B	15,000	40.11	12-Dec	19-Dec	750.00	5.0
SIGMA CAPITAL ASSOCIATES	044	EK	SS	50,000	23.50	n/a	n/a	2,500.00	5.0
KAICHER	064	ENER	S	500	32.93	n/a	n/a	0.00	0.0
PASSPORT CAPITAL	033	EPP	B	2,500	126.87	n/a	n/a	100.00	4.0
BARON ASSET MGMT*	012	EV	B	25,000	34.59	2-Nov	5-Mar	1,250.00	5.0
PASSPORT CAPITAL	033	EWM	B	50,000	10.09	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND	048	EWS	S	44,000	11.80	n/a	n/a	1,320.00	3.0
BUCK CAPITAL MGMT	001	FDO	SS	20,000	29.19	3-Nov	8-Mar	800.00	4.0
CENTURION PARTNERS	249	FD	B	35,000	44.60	26-Jan	5-Mar	1,750.00	5.0
COUGAR TRADING	044	FD	S	15,000	44.58	26-Jan	5-Mar	750.00	5.0
ß BUCK CAPITAL MGMT		FLDR	SS	5,000	7.63	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	FL	B	40,000	21.84	n/a	8-Mar	1,600.00	4.0
COUGAR TRADING	044	FL	B	5,000	22.21	n/a	8-Mar	250.00	5.0
SASCO	038	FL	B	45,000	21.75	n/a	8-Mar	2,250.00	5.0
PASSPORT CAPITAL	033	FXI	B	6,500	98.77	n/a	n/a	260.00	4.0
ß BUCK CAPITAL MGMT		GCO	B	20,000	36.86	n/a	10-Jan	non-brg	5.0
ß BUCK CAPITAL MGMT		GCO	B	30,000	37.42	n/a	10-Jan	non-brg	5.0
ZOLIDIS	011	GCO	B	500	37.49	n/a	10-Jan	0.00	0.0
Õ Glenn Redemann		GFIG	B	2	0.92	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GFIG	B	2	1.63	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	GGG	S	500	39.22	n/a	n/a	25.00	5.0
BALYASNY ASSET MGMT	044	GS	S	1,700	200.79	13-Dec	8-Mar	85.00	5.0
KENNEDY CAPITAL	046	GXP	B	10,000	30.79	n/a	n/a	400.00	4.0
HARVEST MGMT	039	GYMB	SS	25,000	35.90	4-Jan	5-Mar	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND	048	GYMB	SS	15,000	36.23	4-Jan	5-Mar	600.00	4.0
SIRIS	014	HAR	S	700	98.39	n/a	n/a	0.00	0.0
GENERAL ELECTIC CO	033	HD	S	38,727	38.86	n/a	1-Mar	1,742.74	4.5
WHEELER	008	HEPH	S	20,000	3.00	n/a	n/a	0.00	0.0
SSI INVESTORS	048	HWAY	B	37,262	46.93	n/a	n/a	1,490.48	4.0
B BUCK CAPITAL MGMT		IKAN	B	15,000	7.92	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		JCG	B	5,000	36.30	25-Jan	25-Jan	non-brg	5.0
COUGAR TRADING	044	JCG	B	5,000	36.16	25-Jan	25-Jan	250.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	80.00	23-Feb	5-Mar	400.00	4.0
B BUCK CAPITAL MGMT		JOSB	B	210,000	31.68	n/a	n/a	non-brg	5.0
COLUMBUS CIRCLE	036	JWN	B	77,101	52.56	27-Feb	5-Mar	3,084.04	4.0
CUMBERLAND	038	JWN	S	25,000	52.77	27-Feb	5-Mar	1,000.00	4.0
LOOMIS SALES/BOSTON	036	JWN	B	165,797	52.86	27-Feb	5-Mar	6,631.88	4.0
B BUCK CAPITAL MGMT		KCP	S	10,000	24.98	n/a	28-Feb	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	KNL	S	700	23.79	n/a	n/a	28.00	4.0
J L F ASSET MGMT	039	KSWS	B	62,500	28.65	n/a	22-Feb	2,500.00	4.0
B BUCK CAPITAL MGMT		KWD	B	40,000	31.14	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	LAZ	S	5,000	51.05	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	LEH	SS	5,000	75.60	6-Dec	8-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	LIZ	B	10,000	43.28	n/a	28-Feb	400.00	4.0
BALYASNY ASSET MGMT	044	LM	B	5,000	101.10	n/a	6-Mar	250.00	5.0
FINANCIAL STOCK LP	028	LM	B	20,807	100.65	n/a	6-Mar	832.28	4.0
GENERAL ELECTIC CO	033	LOW	B	19,162	33.03	n/a	26-Feb	862.35	4.5
XYLEM GLOBAL	091	LPX	SS	50,000	20.13	n/a	7-Feb	2,500.00	5.0
RAMIUS CAP	040	LTD	B	62,400	26.18	2-Mar	5-Mar	3,120.00	5.0
TRIVIUM CAP MGMT	028	LTD	B	256,900	26.26	2-Mar	5-Mar	10,276.00	4.0
BALYASNY ASSET MGMT	044	MER	SS	5,000	83.39	19-Jan	8-Mar	250.00	5.0
LEDDY	035	MER	B	200	84.23	19-Jan	8-Mar	0.00	0.0
ALLEN & CO	032	MLM	B	27,000	128.25	n/a	n/a	1,080.00	4.0
LEDDY	035	MWV	B	600	30.00	8-Jan	1-Feb	0.00	0.0
CROWLEY	061	MZT	B	6,250	0.60	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		NEXC	B	20,000	10.02	n/a	n/a	non-brg	5.0
LEDDY	035	NEXC	B	1,000	9.99	n/a	n/a	0.00	0.0
SUTERA	160	NEXC	B	1,000	10.05	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		NLS	B	5,000	17.28	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHALE ROCK CAP	030	NOK	B	50,000	21.87	n/a	n/a	2,000.00	4.0
Õ Glenn Redemann		NTAP	B	3	0.57	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NTAP	B	3	0.93	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		NWY	S	20,000	15.32	1-Dec	5-Mar	non-brg	5.0
ß BUCK CAPITAL MGMT		NWY	S	35,000	14.61	1-Dec	5-Mar	non-brg	5.0
ß BUCK CAPITAL MGMT		NWY	S	45,000	14.59	1-Dec	5-Mar	non-brg	2.0
COUGAR TRADING	044	NWY	B	5,000	14.62	1-Dec	5-Mar	250.00	5.0
THE JAY GOLDMAN	034	NWY	S	15,000	14.51	1-Dec	5-Mar	600.00	4.0
BEAR STEARNS ASSET MGMT.	033	PFCB	B	30,000	44.02	n/a	20-Feb	1,200.00	4.0
Õ Glenn Redemann		PJC	B	7	0.41	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PJC	B	7	0.67	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PJC	S	7	1.04	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PJC	S	7	1.69	n/a	n/a	0.00	0.0
ENDEAVOUR CAPITAL	035	PRU	S	19,000	90.33	n/a	n/a	950.00	5.0
VARDON PARTNERS	035	PSS	S	25,000	34.90	n/a	n/a	1,250.00	5.0
KAICHER	064	PXT	S	100	4.56	n/a	n/a	0.00	0.0
LEDDY	035	QID	B	1,000	55.39	n/a	n/a	0.00	0.0
LUCHANSKY1	036	QID	S	1,000	55.13	n/a	n/a	0.00	0.0
SCHEINER	037	QID	S	4,000	55.05	n/a	n/a	0.00	0.0
SOROS	036	RAD	S	912,700	5.81	n/a	n/a	36,508.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		RICK	B	100,000	10.13	n/a	n/a	non-brg	4.0
LUCHANSKY1	036	SDS	S	1,000	59.95	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SDXC	B	20,000	18.25	n/a	n/a	non-brg	5.0
ZOLIDIS	011	SHOO	S	600	29.00	n/a	n/a	0.00	0.0
KAICHER	064	SNCR	B	2,000	18.23	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SSI	B	20,000	21.79	26-Feb	9-Mar	800.00	4.0
COUGAR TRADING	044	SSI	B	5,000	22.02	26-Feb	9-Mar	250.00	5.0
COUGAR TRADING	044	SSI	S	5,000	22.00	26-Feb	9-Mar	250.00	5.0
EGS ASSOCIATES LP	091	SSI	B	3,000	22.04	26-Feb	9-Mar	180.00	6.0
ENDEAVOUR CAPITAL	035	STT	B	30,000	65.87	n/a	n/a	1,500.00	5.0
STANDARD PACIFIC CAPITAL	040	S	SS	353,000	19.65	n/a	n/a	17,650.00	5.0
BELMONT GLOBAL ADV	248	TIE	B	20,000	34.99	n/a	n/a	1,000.00	5.0
WYPER CAPITAL	044	TIF	B	17,500	43.48	n/a	11-Jan	700.00	4.0
SIRIS	014	TJX	S	600	27.33	n/a	5-Mar	0.00	0.0
MCCARTAN	028	UA	C	100	46.13	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		URBN	S	20,000	26.07	7-Dec	9-Mar	non-brg	5.0
EGS ASSOCIATES LP	091	URBN	B	6,000	25.84	7-Dec	9-Mar	360.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ELM RIDGE ADVISORS	044	URBN	SS	55,000	25.18	7-Dec	9-Mar	2,200.00	4.0
ACT II PARTNERS	067	VG	SS	32,300	4.86	15-Feb	8-Mar	1,615.00	5.0
HOTCHKIS & WILEY	035	WCI	S	18,900	20.25	n/a	n/a	945.00	5.0
COUGAR TRADING	044	WMT	SS	5,000	48.00	n/a	8-Mar	250.00	5.0
B BUCK CAPITAL MGMT		WSO	B	10,000	49.87	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		WTSLA	B	60,000	6.05	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	ZQK	B	10,000	13.03	9-Feb	9-Mar	400.00	4.0
COUGAR TRADING	044	ZQK	S	10,000	13.18	9-Feb	9-Mar	500.00	5.0
BRUNNER	012	ZQK	B	1,000	12.95	9-Feb	9-Mar	0.00	0.0
GAULT	063	ZQK	B	1,500	12.95	9-Feb	9-Mar	0.00	0.0
GAULT	063	ZZQCF	S	25	0.70	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								139,683.77	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/05	COUGAR TRADING	044	BONT	SS	5,000	46.68	9-Feb	9-Mar	250.00 5.0
AO,CXL	03/05	COUGAR TRADING	044	BONT	SS	5,000	46.68	9-Feb	9-Mar	250.00 5.0
AO,CXL	03/07	CAPITAL GROWTH MGMT.	241	CAM	B	15,000	57.53	n/a	n/a	750.00 5.0
AO,CRT	03/07	CAPITAL GROWTH MGMT.	241	CAM	B	15,000	57.53	n/a	n/a	750.00 5.0
AO,CXL	03/07	CAPITAL GROWTH MGMT.	241	CRS	B	20,000	115.37	n/a	n/a	1000.00 5.0
AO,CRT	03/07	CAPITAL GROWTH MGMT.	241	CRS	B	20,000	115.37	n/a	n/a	1000.00 5.0
AO,CRT	03/07	SOROS	036	FD	B	112,500	44.23	26-Jan	5-Mar	4500.00 4.0
AO,CRT	03/06	MERRILL LYNCH STRATEGICI	034	PX	B	20,000	60.72	n/a	2-Mar	1200.00 6.0
AO,CXL	03/06	MERRILL LYNCH STRATEGICI	034	PX	B	20,000	60.72	n/a	2-Mar	1000.00 5.0
TOTAL (AO, CXL, CRT) :										4,700.00

TOTAL (Trade Date) :	139,683.77
TOTAL (AO, CXL, CRT) :	4,700.00

TOTAL (RUN DATE) / NFS:	144,383.77
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MRI Securities with Trade Activity on 8-Mar. NONE
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MRI Securities without Trade Activity on 8-Mar.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 11%

YTD = 16%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION