



Daily Compliance Activity Report

Trade Date: 04/17/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	AA	B	50,000	9.29	n/a	n/a	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		ABG	B	30,000	6.17	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	AEO	B	70,000	15.14	n/a	n/a	2,800.00	4.0
⌘ BUCK CAPITAL MGMT		AEO	B	30,000	15.06	n/a	n/a	non-brg	4.0
CATAPULT	040	AKS	S	50,000	11.91	n/a	n/a	2,500.00	5.0
PAW PARTNERS	041	AMT	B	5,000	32.84	n/a	n/a	250.00	5.0
KLEINHEINZ CAP PART	031	ANF	SS	50,000	23.03	n/a	n/a	2,500.00	5.0
NEWCASTLE FUNDS	033	ANN	SS	18,200	6.80	n/a	n/a	728.00	4.0
CATAPULT	040	ANR	S	30,000	16.98	n/a	n/a	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		APP	B	50,000	4.33	n/a	n/a	non-brg	2.0
SUN AMERICA ASSET MGMT	030	ATVI	B	60,000	10.65	n/a	n/a	2,400.00	4.0
⌘ BUCK CAPITAL MGMT		AVP	C	102,398	22.15	n/a	n/a	non-brg	4.0
TROUBH PART	067	AXP	B	50,000	21.64	n/a	n/a	2,500.00	5.0
TROUBH PART	067	AXP	SS	25,000	21.99	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		BDK	C	81,993	33.76	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ALANA J A MADIGAN-SI	FID	BGZ	B	85	46.78	n/a	n/a	0.00	0.0
CIRCLE T/SES PART	035	BKC	B	35,000	18.33	n/a	n/a	1,750.00	5.0
NATIONAL BK OF CAN	031	BK	S	25,000	30.90	n/a	n/a	1,000.00	4.0
f ROBERT M DANIELS	FID	BQI	S	800	1.30	n/a	n/a	0.00	0.0
EIDELMAN,FINGER & CO	045	BWS	S	4,000	5.98	n/a	n/a	200.00	5.0
B BUCK CAPITAL MGMT		BXP	C	137,757	45.21	n/a	n/a	non-brg	4.0
ROYCE TRADING	216	CAB	S	10,000	11.38	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	CAT	SS	30,000	31.69	n/a	n/a	1,200.00	4.0
ROYCE TRADING	216	CCC	S	5,000	19.06	n/a	n/a	250.00	5.0
f CICILY S BACKUS	FID	CHCGE	B	20000	1.46	n/a	n/a	0.00	0.0
f CICILY S BACKUS	FID	CHCGE	B	4999	1.46	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CHCGE	B	4000	1.41	n/a	n/a	0.00	0.0
f THOMAS MACTAGGART LE	FID	CHCGE	B	750	1.41	n/a	n/a	0.00	0.0
PAW PARTNERS	041	CHH	B	10,000	28.45	n/a	n/a	500.00	5.0
f KAREN F LEDDY	FID	CIT	B	1500	4.28	n/a	n/a	0.00	0.0
KEEFE BRUYETTE WOODS A	045	CMA	S	8,100	21.28	n/a	n/a	405.00	5.0
ROYCE TRADING	216	CNK	S	5,000	10.68	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		COH	B	20,000	18.72	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	COPH	B	15	4.30	n/a	n/a	0.00	0.0
ROYCE TRADING	216	CPO	S	5,400	22.98	n/a	n/a	270.00	5.0
f JONATHAN RICHARD HAR	FID	CRI	B	120	21.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CTX	S	65,000	11.03	n/a	n/a	non-brg	4.0
f CICILY S BACKUS	FID	DAL	B	5000	7.25	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DECK	B	28,800	65.80	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		DECK	S	28,800	65.98	n/a	n/a	non-brg	1.0
f ALANA J A MADIGAN-SI	FID	DE	S	100	39.93	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DHI	S	7,500	12.39	n/a	n/a	non-brg	4.0
CARDINAL CAPITAL	044	DM	B	34,000	9.98	n/a	n/a	1,700.00	5.0
PAW PARTNERS	041	DOX	S	5,000	19.43	n/a	n/a	250.00	5.0
JP MORGAN VENTURES	046	DSW	S	30,000	11.05	n/a	n/a	1,500.00	5.0
f ROBERT F CROWLEY JR	FID	DUK	B	1000	13.74	n/a	n/a	0.00	0.0
f BARBARA S LEDDY	FID	EFT	B	400	9.12	n/a	n/a	0.00	0.0
CATAPULT	040	ENDP	B	37,000	18.27	n/a	n/a	1,850.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEWCASTLE FUNDS	033	ETH	B	5,000	12.68	n/a	n/a	200.00	4.0
⚡ BUCK CAPITAL MGMT		EXLP	S	6,101	13.61	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		FDO+SF[???	SS	1,000	1.82	n/a	n/a	non-brg	300.0
SYSTEMATIC FINANCIAL MGT	035	FDP	S	40,000	18.12	n/a	n/a	1,400.00	3.5
BUCK CAPITAL MGMT	001	FO	SS	50,000	34.31	n/a	n/a	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		FRT	C	46,128	58.28	n/a	n/a	non-brg	4.0
⚡ JOHN CAREY MAGUIRE	FID	F	S	800	4.08	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		GES+DD[???	C	1,989	4.27	n/a	n/a	non-brg	300.0
CATAPULT	040	GE	B	25,000	12.50	n/a	n/a	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		GIL	B	20,000	12.76	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GME	C	100,000	28.46	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GQU+DD[???	C	995	10.39	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		GRMN	SS	50,000	22.06	n/a	n/a	non-brg	4.0
NATIONAL BK OF CAN	031	GS	B	12,000	121.49	n/a	n/a	480.00	4.0
NATIONAL BK OF CAN	031	GS	SS	12,000	120.38	n/a	n/a	480.00	4.0
CRAMER ROSENTHAL/SCOTT	012	HAR	S	55,400	17.67	n/a	n/a	2,216.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		HBI	B	50,000	12.80	n/a	n/a	non-brg	2.5
JONATHAN RICHARD HAR	FID	HBI	B	200	12.80	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		HOG	SS	20,000	18.79	n/a	n/a	non-brg	4.0
FIRST NY SEC/CHRIS	041	IP	SS	50,000	8.85	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT		IVZ	S	5,000	15.89	n/a	n/a	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	53,875	15.73	n/a	n/a	1,885.63	3.5
SOUTH POINT CAP	067	IYH	SS	700	49.86	n/a	n/a	35.00	5.0
BUCK CAPITAL MGMT		KCP	S	1,004	7.04	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		KCP	S	2,650	7.00	n/a	n/a	non-brg	3.0
DSG CAPITAL	225	KG	B	45,000	8.56	17-Apr[i]	n/a	1,350.00	3.0
BUCK CAPITAL MGMT		KSS+DH[???	C	1,990	4.84	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		LEN	S	20,000	9.40	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		LM	S	4,000	20.36	n/a	n/a	non-brg	4.0
JOHN CAREY MAGUIRE	FID	LOW	S	300	20.56	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		MDC	S	5,000	34.32	n/a	n/a	non-brg	4.0
MORRISON/TLB/BAYPOINT	040	MOVE	S	5,000	2.17	n/a	n/a	200.00	4.0
NATIONAL BK OF CAN	031	MS	B	75,000	25.23	n/a	n/a	3,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NATIONAL BK OF CAN	031	MS	SS	75,000	24.91	n/a	n/a	3,000.00	4.0
ELM RIDGE ADVISORS	044	MU	S	234,777	5.05	n/a	n/a	7,043.31	3.0
BUCK CAPITAL MGMT	001	M	S	100,000	12.95	n/a	n/a	4,000.00	4.0
PAW PARTNERS	041	M	S	10,000	12.92	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		NKE+DJ[???	C	1,840	4.24	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		NP	B	15,300	4.49	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		NP	B	24,700	4.56	n/a	n/a	non-brg	2.5
REINHART & MAHONEY	031	NRIM	S	2,462	9.88	n/a	n/a	123.10	5.0
REICH AND TANG	067	NX	S	16,800	10.07	n/a	n/a	840.00	5.0
ß BUCK CAPITAL MGMT		OSTK	C	10,031	11.88	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		PETM	SS	20,000	23.24	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PSUN	S	100,000	2.18	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		PVH+DC[???	C	1,990	12.39	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		PVH+DW[???	C	4,976	10.79	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		PVH+FF[???	SS	1,000	1.92	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		RCL	S	111,237	11.82	n/a	n/a	non-brg	4.0
ENTRUST CAPITAL	040	RHI	B	59,900	21.34	n/a	n/a	2,995.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		RIG	S	15,000	68.92	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	51.10	n/a	n/a	400.00 4.0
BUCK CAPITAL MGMT		RYL	S	15,000	20.97	n/a	n/a	non-brg 4.0
EDWARD W WHEELER	FID	SHS	S	10000	4.09	n/a	n/a	0.00 0.0
RAGHAV NAYAR	FID	SLM	B	200	5.05	n/a	n/a	0.00 0.0
SOUTH POINT CAP	067	SPY	B	400	86.60	n/a	n/a	20.00 5.0
BUCK CAPITAL MGMT	001	SSI	SS	20,000	11.77	n/a	n/a	800.00 4.0
MARC PAUL LUCHANSKY	FID	SWNEY	S	5	1.25	n/a	n/a	0.00 0.0
DSG CAPITAL	225	TIN	S	49,000	7.32	n/a	n/a	1,470.00 3.0
PZENA INV ADV	044	TJX	S	37,625	27.90	n/a	n/a	1,317.01 3.5
BUCK CAPITAL MGMT		TUY+DD[???	C	1,984	6.21	n/a	n/a	non-brg 300.0
BUCK CAPITAL MGMT		TUY+FF[???	SS	1,000	1.42	n/a	n/a	non-brg 300.0
SCOPUS FUND	041	TYC	S	2,300	22.18	n/a	n/a	115.00 5.0
SCOPUS FUND	041	TYC	S	7,700	22.18	n/a	n/a	385.00 5.0
JOHN MICHAEL ZOLIDIS	FID	UA	S	1500	17.50	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		URBN	B	40,000	17.54	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HOOVER INV. MGMT	033	URBN	B	55,900	17.49	n/a	n/a	2,236.00	4.0
KEEFE BRUYETTE WOODS A	045	USB	B	94,900	18.50	n/a	n/a	4,745.00	5.0
MAIRS AND POWER INV	030	VAL	S	20,000	22.25	n/a	n/a	1,000.00	5.0
CATAPULT	040	VAR	B	10,000	34.71	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		VNO	C	110,644	43.76	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	WMT	S	45,000	50.63	n/a	n/a	2,250.00	5.0
B BUCK CAPITAL MGMT		WRC+DD[???	C	992	9.59	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		WRC+DE[???	C	968	4.90	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		WRC+GG[???	SS	1,000	1.72	n/a	n/a	non-brg	300.0
ASCEND PARTNERS	033	WRC	B	50,000	29.41	n/a	n/a	2,500.00	5.0
RBC CAPITAL MARKETS	034	WRC	SS	10,000	28.30	n/a	n/a	400.00	4.0
CANTILLON CAPITAL	040	WSH	B	88,800	23.78	n/a	n/a	4,440.00	5.0
B BUCK CAPITAL MGMT		WSO	S	15,000	40.17	n/a	n/a	non-brg	1.0
f CICILY S BACKUS	FID	WU	B	3000	14.89	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	WWRAX	B	45	4.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		XRT	S	2,500	26.36	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		ZJQ+DB[???	C	992	4.60	n/a	n/a	non-brg 300.0
TOTAL (Trade Date):								88,129.05

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/16	FARALLON CAP MGMT	040	GE	B	200,000	11.97	n/a	n/a	6000.00 3.0
AO,CXL	04/16	FARALLON CAP MGMT	040	GE	B	200,000	11.97	n/a	n/a	8000.00 4.0
AO,CRT	04/16	BUCK CAPITAL MGMT	001	HBI	B	118,600	12.62	n/a	n/a	4744.00 4.0
AO,CXL	04/16	BUCK CAPITAL MGMT	001	HBI	B	118,600	12.62	n/a	n/a	4744.00 4.0
TOTAL (AO, CXL, CRT):										-2,000.00

TOTAL (Trade Date):	88,129.05
TOTAL (AO, CXL, CRT):	-2,000.00

TOTAL (RUN DATE) / NFS:	86,129.05
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MRI Securities with Trade Activity on 17-Apr.

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MRI Securities without Trade Activity on 17-Apr.

AOS, SHW, ABH

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 9%

YTD = 23%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)