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Daily Compliance Activity Report Trade Date: 05/04/2012

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.		
BALTHAZAR CAPITAL PARTNER	S LTD085	ARCO	В	25,0	16.03	n	/a 4-May	1,500.	.00 6.0		
BALTHAZAR CAPITAL PARTNER			S		15.71		/a 4-May	1,500.			
COUGAR TRADING	044	ARCO	S	15,000	14.96	n/a	4-May	750.00	5.0		
TRELLUS PARTNERS LP	028	ARCO	В	40,000	15.40	n/a	4-May	1,200.00	3.0		
MILLRACE FUND LP	028	ARG	S	7,594	91.94	n/a	3-May	303.76	4.0		
BALYASNY ASSET MGMT	044	ASNA	В	20,000	21.59	n/a	n/a	1,000.00	5.0		
SANDLER O'NEILL ASSET	031	BAC	В	25,000	7.77	n/a	3-May	750.00	3.0		
PRIMECAP MGMT	084	BA	В	10,000	75.80	n/a	27-Apr	400.00	4.0		
PATTEN & PATTEN	025	BBY	S	550	21.02	n/a	n/a	27.50	5.0		
HOPLITE MGMT	025	BKE	С	4,758	43.60	n/a	n/a	190.32	4.0		
SIMMONS VENTURES	041	BODY	В	10,000	15.93	n/a	n/a	400.00	4.0		
ARSENAL ASSET MANAGEMENT	028	CE	SS	5,000	46.34	n/a	20-May	150.00	3.0		
Ravi Sadarangani		CHS	s	100 1	5.3810	n/a	5-Feb	0.00	0.0		
TUDOR INVESTORS	028	CROX	В	25,000	18.87	n/a	n/a	750.00	3.0		
NEUBERGER BERMAN	036	CYBX	В	46,189	37.83	n/a	n/a	1,847.56	4.0		
HIGHBRIDGE CAP	041	DAL	S	32,000	10.97	n/a	n/a	960.00	3.0		

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PLATTE RIVER CAPITAL	240	DAL	В	60,000	10.98	n/a	n/a	2,400.00	4.0
BESSEMER INVESTMENT	085	DIS	S	720	43.13	n/a	n/a	28.80	4.0
KLEINHEINZ CAP PART	031	DVN	S	50,000	65.11	n/a	1-May	2,500.00	5.0
BESSEMER INVESTMENT	085	ECL	S	450	63.61	n/a	1-May	18.00	4.0
PATTEN & PATTEN	025	EFG	В	200	56.59	n/a	n/a	10.00	5.0
OSKIE CAPITAL MGMT	224	ESRX	В	20,000	54.64	n/a	n/a	1,000.00	5.0
BESSEMER INVESTMENT	085	EXC	S	867	38.70	n/a	n/a	34.68	4.0
HIGHBRIDGE CAP	041	FDX	В	5,500	88.95	n/a	n/a	165.00	3.0
BRONSON POINT	036	F	S	250,000	10.69	n/a	2-May	7,500.00	3.0
OZ MGMT	040	F	В	310,095	10.77	n/a	2-May	9,302.85	3.0
OZ MGMT	040	GM	В	150,000	21.98	n/a	4-May	4,500.00	3.0
ARSENAL ASSET MANAGEMENT	028	HTZ	В	25,000	15.48	n/a	n/a	750.00	3.0
BESSEMER INVESTMENT	085	IP	S	700	32.19	n/a	27-Apr	28.00	4.0
PATTEN & PATTEN	025	IXN	В	200	67.27	n/a	n/a	10.00	5.0
PATTEN & PATTEN	025	IYG	В	150	53.86	n/a	n/a	7.50	5.0
BESSEMER INVESTMENT	085	JCI	S	400	31.79	n/a	27-Apr	16.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
BESSEMER INVESTMENT	085	JPM	S	450	41.99	n/a	3-May	18.00	4.0
MERRILL ASSET/BLACKROCK	297	LF	S	108,169	10.54	n/a	n/a	4,326.76	4.0
BESSEMER INVESTMENT	085	LOW	В	370	31.39	n/a	2-May	14.80	4.0
VERITION	028	LVS	В	5,000	54.56	n/a	13-Jun	150.00	3.0
BESSEMER INVESTMENT	085	MSFT	S	480	31.28	n/a	n/a	19.20	4.0
MTB INV ADV	034	MTW	S	101,873	12.87	n/a	18-Apr	3,310.87	3.3
ARSENAL ASSET MANAGEMENT	028	MWV	В	5,000	28.15	n/a	25-Apr	150.00	3.0
BESSEMER INVESTMENT	085	NEM	В	360	46.17	n/a	n/a	14.40	4.0
MERRILL ASSET/BLACKROCK	297	PCS	В	300,000	6.72	n/a	n/a	12,000.00	4.0
BESSEMER INVESTMENT	085	PFE	S	1,000	22.35	n/a	n/a	40.00	4.0
PATTEN & PATTEN	025	РНО	S	900	18.37	n/a	n/a	45.00	5.0
COLUMBUS CIRCLE	036	PLXS	В	2,784	31.03	n/a	n/a	111.36	4.0
OSKIE CAPITAL MGMT	224	PPO	В	40,000	38.13	n/a	n/a	2,000.00	5.0
BESSEMER INVESTMENT	085	PSX	S	412	30.92	n/a	n/a	16.48	4.0
SCOPUS FUND	041	PVH	В	50,000	87.90	n/a	19-Apr	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MR:	Research	Comm.¢	/Shr.
SOROS	274	QQQ	SS	1,000,000	65.62	n/a	n/a	30,000.00	3.0
MERRILL ASSET/BLACKROCK	297	RSE	В	4,900	13.44	n/a	n/a	196.00	4.0
PATTEN & PATTEN	025	RSG	В	400	27.03	n/a	n/a	20.00	5.0
BNP PARIBAS SEC	040	SEE	В	25,000	17.76	n/a	2-Jun	1,250.00	5.0
PRIMECAP MGMT	084	SGEN	В	2,500	19.24	n/a	n/a	100.00	4.0
HIGHBRIDGE CAP	041	SHW	В	1,300	121.33	n/a	a 19-Apr	39.00	3.0
ELM RIDGE ADVISORS	044	SKS	С	50,000	10.85	n/a	n/a	2,000.00	4.0
M&I FUNDS	047	SPLS	В	40,165	14.99	n/a	n/a	1,606.60	4.0
HIGHBRIDGE CAP	041	SPN	S	20,000	25.04	n/a	n/a	600.00	3.0
ARSENAL ASSET MANAGEMENT	028	TKR	В	5,000	52.47	n/a	n/a	150.00	3.0
TALPION LLC	040	TPX	С	2,600	56.59	n/a	n/a	104.00	4.0
TUDOR INVESTORS	028	TYC	В	5,000	55.17	n/a	a 28-Mar	150.00	3.0
WHITE PINE CAPITAL	030	Т	S	33,500	32.93	n/a	n/a	1,675.00	5.0
HIGHBRIDGE CAP	041	UAL	В	51,000	22.19	n/a	n/a	1,530.00	3.0
LONG OAR GLOBAL INV	040	URS	SS	52,186	39.64	n/a	n/a	2,087.44	4.0
	SOROS MERRILL ASSET/BLACKROCK PATTEN & PATTEN BNP PARIBAS SEC PRIMECAP MGMT HIGHBRIDGE CAP ELM RIDGE ADVISORS M&I FUNDS HIGHBRIDGE CAP ARSENAL ASSET MANAGEMENT TALPION LLC TUDOR INVESTORS WHITE PINE CAPITAL HIGHBRIDGE CAP	SOROS 274 MERRILL ASSET/BLACKROCK 297 PATTEN & PATTEN 025 BNP PARIBAS SEC 040 PRIMECAP MGMT 084 HIGHBRIDGE CAP 041 ELM RIDGE ADVISORS 044 M&I FUNDS 047 HIGHBRIDGE CAP 041 ARSENAL ASSET MANAGEMENT 028 TALPION LLC 040 TUDOR INVESTORS 028 WHITE PINE CAPITAL 030 HIGHBRIDGE CAP 041	SOROS 274 QQQ MERRILL ASSET/BLACKROCK 297 RSE PATTEN & PATTEN 025 RSG BNP PARIBAS SEC 040 SEE PRIMECAP MGMT 084 SGEN HIGHBRIDGE CAP 041 SHW ELM RIDGE ADVISORS 044 SKS M&I FUNDS 047 SPLS HIGHBRIDGE CAP 041 SPN ARSENAL ASSET MANAGEMENT 028 TKR TALPION LLC 040 TPX TUDOR INVESTORS 028 TYC WHITE PINE CAPITAL 030 T HIGHBRIDGE CAP 041 UAL	SOROS 274 QQQ SS MERRILL ASSET/BLACKROCK 297 RSE B PATTEN & PATTEN 025 RSG B BNP PARIBAS SEC 040 SEE B PRIMECAP MGMT 084 SGEN B HIGHBRIDGE CAP 041 SHW B ELM RIDGE ADVISORS 044 SKS C M&I FUNDS 047 SPLS B HIGHBRIDGE CAP 041 SPN S ARSENAL ASSET MANAGEMENT 028 TKR B TALPION LLC 040 TPX C TUDOR INVESTORS 028 TYC B WHITE PINE CAPITAL 030 T S HIGHBRIDGE CAP 041 UAL B	SOROS 274 QQQ SS 1,000,000 MERRILL ASSET/BLACKROCK 297 RSE B 4,900 PATTEN & PATTEN 025 RSG B 400 BNP PARIBAS SEC 040 SEE B 25,000 PRIMECAP MGMT 084 SGEN B 2,500 HIGHBRIDGE CAP 041 SHW B 1,300 ELM RIDGE ADVISORS 044 SKS C 50,000 M&I FUNDS 047 SPLS B 40,165 HIGHBRIDGE CAP 041 SPN S 20,000 ARSENAL ASSET MANAGEMENT 028 TKR B 5,000 TALPION LLC 040 TPX C 2,600 TUDOR INVESTORS 028 TYC B 5,000 WHITE PINE CAPITAL 030 T S 33,500 HIGHBRIDGE CAP 041 UAL B 51,000	SOROS 274 QQQ SS 1,000,000 65.62 MERRILL ASSET/BLACKROCK 297 RSE B 4,900 13.44 PATTEN & PATTEN 025 RSG B 400 27.03 BNP PARIBAS SEC 040 SEE B 25,000 17.76 PRIMECAP MGMT 084 SGEN B 2,500 19.24 HIGHBRIDGE CAP 041 SHW B 1,300 121.33 ELM RIDGE ADVISORS 044 SKS C 50,000 10.85 M&I FUNDS 047 SPLS B 40,165 14.99 HIGHBRIDGE CAP 041 SPN S 20,000 25.04 ARSENAL ASSET MANAGEMENT 028 TKR B 5,000 56.59 TUDOR INVESTORS 028 TYC B 5,000 55.17 WHITE PINE CAPITAL 030 T S 33,500 32.93 HIGHBRIDGE CAP 041 UAL B 51,000 22.	SOROS 274 QQQ SS 1,000,000 65.62 n/a MERRILL ASSET/BLACKROCK 297 RSE B 4,900 13.44 n/a PATTEN & PATTEN 025 RSG B 400 27.03 n/a BNP PARIBAS SEC 040 SEE B 25,000 17.76 n/a PRIMECAP MGMT 084 SGEN B 2,500 19.24 n/a HIGHBRIDGE CAP 041 SHW B 1,300 121.33 n/a ELM RIDGE ADVISORS 044 SKS C 50,000 10.85 n/a HIGHBRIDGE CAP 041 SPN S 20,000 25.04 n/a ARSENAL ASSET MANAGEMENT 028 TKR B 5,000 52.47 n/a TALPION LLC 040 TPX C 2,600 56.59 n/a TUDOR INVESTORS 028 TYC B 5,000 55.17 n/a WHITE PINE CAPITAL 030 T S 33,500 32.93 n/a HIGHBRIDGE CAP 041 UAL B 51,000 22.19 n/a	SOROS 274 QQQ SS 1,000,000 65.62	SOROS 274 QQQ SS 1,000,000 65.62

	Account	RR # Symbol		. B/S Shares Price			MRI	Research	Comm.¢/Shr.	
õ	Ravi Sadarangani		WNC	S	100	7.9700	n/a	n/a	0.00	0.0
	SIMMONS VENTURES	041	WRC	В	5,000	48.00	n/a	4-May	200.00	4.0
	BNP PARIBAS SEC	040	WY	В	20,000	20.09	n/a	4-May	1,000.00	5.0
	CATAPULT	040	WY	В	10,000	20.03	n/a	4-May	400.00	4.0
	CSFB GLOBAL PROPRIETARY	041	WY	В	50,000	20.00	n/a	4-May	2,500.00	5.0
	DEVENNY CAP LLC	044	WY	В	30,000	20.15	n/a	4-May	900.00	3.0
	BESSEMER INVESTMENT	085	XEL	S	1,336	27.09	n/a	n/a	53.44	4.0

TOTAL (Trade Date): 110,778.32

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI R	esearch	Comm.	Shr.
CRT	05/04	SIMMONS VENTURES (SIMMJPM)	041	BODY	В	10,000	15.93	n/a	n/a	400.00	4.0
CRT	05/04	OSKIE CAPITAL MGMT (OSKIGSPB)	224	ESRX	В	20,000	54.64	n/a	n/a	1000.00	5.0
TOTAL	TOTAL (AO, CXL, CRT): 1,400.00										

TOTAL (RUN DATE) / NFS:	112,178.32
TOTAL (AO, CXL, CRT):	1,400.00
TOTAL (Trade Date):	110,778.32

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION