



Daily Compliance Activity Report

Trade Date: 06/20/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GOLDMAN SACHS ASSET MGMT	044	AAPL	B	137,237	122.75	n/a	n/a	2,744.74	2.0
WAGONHOUND	048	AB	S	3,720	88.72	15-Jun	20-Jun	148.80	4.0
REINHART & MAHONEY	031	ADSK	B	60	46.50	n/a	n/a	3.00	5.0
B BUCK CAPITAL MGMT		AEO	B	50,000	27.19	n/a	12-Jun	non-brg	5.0
REINHART & MAHONEY	031	AFG	B	210	35.04	n/a	n/a	10.50	5.0
WHITE PINE CAPITAL	030	AIN	S	200	42.60	n/a	n/a	10.00	5.0
REINHART & MAHONEY	031	AKAM	B	80	47.71	n/a	n/a	4.00	5.0
MERRILL ASSET	032	ALJ	B	49,900	44.80	n/a	n/a	2,495.00	5.0
REINHART & MAHONEY	031	ALK	B	60	28.01	n/a	n/a	3.00	5.0
SIGMA CAPITAL ASSOCIATES	044	ALTR	SS	25,000	22.62	n/a	n/a	1,250.00	5.0
MORGAN STANLEY PRINC 2	040	AMG	B	10,000	128.71	25-Apr	20-Jun	400.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	40,000	77.84	n/a	8-Jun	1,600.00	4.0
REINHART & MAHONEY	031	ANF	B	30	77.19	n/a	8-Jun	1.50	5.0
REINHART & MAHONEY	031	ARP	B	350	31.53	n/a	n/a	17.50	5.0
NICOLAS APPELEGATE	048	ARQL	S	10,800	7.76	n/a	n/a	324.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	ARRS	B	190	16.86	n/a	n/a	9.50	5.0
REINHART & MAHONEY	031	BBND	B	150	16.31	n/a	n/a	7.50	5.0
⌘ BUCK CAPITAL MGMT		BBW	S	110,000	22.91	15-Jun	15-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		BBW	S	20,000	23.03	15-Jun	15-Jun	non-brg	2.0
NICOLAS APPEGATE	048	BIOD	B	8,800	21.35	n/a	n/a	352.00	4.0
REINHART & MAHONEY	031	BRCM	B	160	30.16	n/a	n/a	8.00	5.0
KORMAN	003	BRLC	B	5,000	5.35	n/a	n/a	0.00	0.0
SINCLAIR	031	BSCAK	B	12	9.60	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	BWA	B	80	85.10	n/a	27-Apr	4.00	5.0
ARIENCE CAPITAL	036	BYD	B	62,440	50.77	n/a	27-Apr	3,122.00	5.0
REINHART & MAHONEY	031	CAM	B	40	73.22	n/a	n/a	2.00	5.0
NEUBERGER BERMAN	036	CBI	S	8,400	38.00	n/a	n/a	420.00	5.0
⌘ BUCK CAPITAL MGMT		CCI	B	20,000	35.62	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CCI	B	50,000	36.09	n/a	n/a	non-brg	2.0
OPPENHEIMER MGMT.	036	CC	S	25,200	16.00	1-May	18-Jun	1,008.00	4.0
LEDDY	035	CC	S	700	16.13	1-May	18-Jun	0.00	0.0
REINHART & MAHONEY	031	CELG	B	100	58.10	n/a	n/a	5.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	CEPH	B	40	81.51	2-May	18-Jun	2.00	5.0
LEDDY	035	CHCG	B	1,500	7.60	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	CHIC	B	10,000	27.72	n/a	n/a	600.00	6.0
Õ Glenn Redemann		CHS	B	4	0.48	31-May	8-Jun	0.00	0.0
Õ Glenn Redemann		CHS	B	4	0.52	31-May	8-Jun	0.00	0.0
REINHART & MAHONEY	031	CHS	B	100	25.11	31-May	8-Jun	5.00	5.0
KORMAN	003	CLUB	S	2,000	20.05	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	CNS	B	47,300	47.82	n/a	n/a	1,892.00	4.0
ß BUCK CAPITAL MGMT		COH	B	40,000	48.82	23-Feb	25-Apr	non-brg	5.0
REINHART & MAHONEY	031	COH	B	60	49.19	23-Feb	25-Apr	3.00	5.0
REINHART & MAHONEY	031	CPKI	B	190	22.20	n/a	n/a	9.50	5.0
MATTHES CAPITAL MGMT	033	CSV	S	5,500	8.31	n/a	n/a	220.00	4.0
REINHART & MAHONEY	031	CTXS	B	150	33.56	n/a	n/a	7.50	5.0
REINHART & MAHONEY	031	CVD	B	140	67.34	n/a	n/a	7.00	5.0
Õ Glenn Redemann		CVX	B	2	0.58	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CVX	B	2	1.42	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CWTR	B	10,000	24.08	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	CYTC	B	70	42.31	21-May	21-May	3.50	5.0
SUN AMERICA ASSET MGMT	030	C	B	50,000	53.98	n/a	14-Jun	2,500.00	5.0
Õ Glenn Redemann		DCX	B	1	1.70	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DCX	B	1	2.45	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	DF	SS	28,000	32.01	n/a	n/a	1,120.00	4.0
LISTED ERR	099	DF	B	28,000	32.01	n/a	n/a	0.00	0.0
LISTED ERR	099	DF	S	28,000	31.86	n/a	n/a	0.00	0.0
KARSCH CAPITAL	034	DIA	SS	286,000	136.48	n/a	n/a	8,580.00	3.0
ß BUCK CAPITAL MGMT		DKS	B	5,000	55.69	n/a	n/a	non-brg	5.0
REINHART & MAHONEY	031	DLM	B	220	12.31	n/a	n/a	11.00	5.0
REINHART & MAHONEY	031	DNB	B	40	100.79	n/a	n/a	2.00	5.0
REINHART & MAHONEY	031	DNR	B	100	37.75	n/a	n/a	5.00	5.0
REINHART & MAHONEY	031	DRE	B	100	36.76	n/a	n/a	5.00	5.0
ß BUCK CAPITAL MGMT		DSW	B	10,000	36.25	7-May	7-Jun	non-brg	5.0
REINHART & MAHONEY	031	DSW	B	70	36.41	7-May	7-Jun	3.50	5.0
REINHART & MAHONEY	031	DVA	B	90	54.03	n/a	n/a	4.50	5.0
LEDDY	035	EDA	B	3,500	12.09	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DSG CAPITAL	225	EFT	S	7,500	19.37	n/a	n/a	375.00	5.0
REINHART & MAHONEY	031	ELS	B	80	51.83	n/a	n/a	4.00	5.0
REINHART & MAHONEY	031	EQT	B	160	51.77	n/a	n/a	8.00	5.0
REINHART & MAHONEY	031	EV	B	70	45.68	23-May	20-Jun	3.50	5.0
REINHART & MAHONEY	031	FISV	B	90	58.46	n/a	n/a	4.50	5.0
REINHART & MAHONEY	031	FMC	B	30	86.39	n/a	n/a	1.50	5.0
CROWLEY	061	FSIIX	S	302	48.72	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	FSP	B	660	17.35	n/a	n/a	33.00	5.0
ß BUCK CAPITAL MGMT		GIII	B	10,000	17.00	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIII	B	10,000	17.01	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GIII	B	5,000	16.96	n/a	n/a	non-brg	1.0
COUGAR TRADING	044	GIL	B	5,000	36.09	21-Jun	1-Jun	250.00	5.0
REINHART & MAHONEY	031	GLW	B	310	25.72	n/a	15-May	15.50	5.0
FRIESS MGMT	042	GME	B	389,800	39.98	n/a	n/a	17,541.00	4.5
KANTROWITZ	N21	GPI	B	30	42.15	27-Apr	2-May	0.00	0.0
REINHART & MAHONEY	031	HANS	B	250	44.49	n/a	n/a	12.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	HLT	B	180	35.48	n/a	n/a	9.00	5.0
REINHART & MAHONEY	031	HSTX	B	300	17.82	n/a	n/a	15.00	5.0
SPRINGBOC	039	IESC	SS	27,100	33.53	n/a	n/a	1,355.00	5.0
REINHART & MAHONEY	031	IGT	B	60	39.12	n/a	20-Apr	3.00	5.0
IRONBOUND CAPITAL	012	IP	SS	7,000	39.00	4-May	14-Jun	350.00	5.0
REINHART & MAHONEY	031	ISCA	B	90	53.25	n/a	n/a	4.50	5.0
EFSTATHIOU	044	ISE	S	100	65.15	30-Apr	14-Jun	0.00	0.0
REINHART & MAHONEY	031	ISIL	B	90	32.80	n/a	n/a	4.50	5.0
B BUCK CAPITAL MGMT		IVZ	B	10,000	25.50	14-Jun	20-Jun	non-brg	5.0
MORGAN STANLEY PRINC 2	040	IVZ	B	50,000	25.46	14-Jun	20-Jun	2,000.00	4.0
REINHART & MAHONEY	031	IVZ	B	490	25.39	14-Jun	20-Jun	24.50	5.0
SUNOVA CAPITAL	031	IVZ	SS	26,700	25.41	14-Jun	20-Jun	1,335.00	5.0
WAGONHOUND	048	IVZ	B	27,780	25.50	14-Jun	20-Jun	1,111.20	4.0
WASHINGTON ASSET	048	IVZ	B	5,000	25.35	14-Jun	20-Jun	250.00	5.0
KORMAN	003	IVZ	B	5,000	25.49	14-Jun	20-Jun	0.00	0.0
GALLEON MGMT LP	033	IWM	SS	10,000	84.13	n/a	n/a	500.00	5.0
COUGAR TRADING	044	JCG	S	5,000	55.02	6-Jun	6-Jun	250.00	5.0
REINHART & MAHONEY	031	JCP	B	90	75.14	21-Jun	8-Jun	4.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CROWLEY	061	JMCVX	S	172	26.42	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	JNC	S	60,000	63.14	20-Jun	20-Jun	3,000.00	5.0
SUN AMERICA ASSET MGMT	030	JNJ	B	81,900	62.60	n/a	22-Apr	4,095.00	5.0
LEDDY	035	JNS	SS	600	28.95	7-Jun	20-Jun	0.00	0.0
CROWLEY	061	JSVAX	S	437	19.75	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	KMT	B	100	81.40	n/a	n/a	5.00	5.0
REINHART & MAHONEY	031	KRC	B	60	72.10	n/a	n/a	3.00	5.0
B BUCK CAPITAL MGMT		KSS	B	50,000	71.12	14-Jun	14-Jun	non-brg	5.0
REINHART & MAHONEY	031	KSS	B	90	71.04	14-Jun	14-Jun	4.50	5.0
B BUCK CAPITAL MGMT		LAMR	B	20,000	63.84	n/a	n/a	non-brg	5.0
DIAMONDBACK CAP MGMT	232	LAZ	S	25,000	47.80	n/a	n/a	1,250.00	5.0
REINHART & MAHONEY	031	LNCR	B	270	39.78	n/a	21-May	13.50	5.0
REINHART & MAHONEY	031	LOGI	B	160	27.77	n/a	n/a	8.00	5.0
GRUBER MACBAINE	033	LOW	S	15,000	31.90	n/a	21-May	600.00	4.0
B BUCK CAPITAL MGMT		LTM	B	100,000	53.27	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		LTM	B	12,000	53.31	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	LZ	B	230	66.98	n/a	n/a	11.50	5.0
REINHART & MAHONEY	031	MAN	B	190	93.94	n/a	n/a	9.50	5.0
REINHART & MAHONEY	031	MCHP	B	100	37.72	n/a	n/a	5.00	5.0
REINHART & MAHONEY	031	MCRS	B	80	53.18	n/a	n/a	4.00	5.0
REINHART & MAHONEY	031	MIL	B	80	77.28	n/a	n/a	4.00	5.0
CROWLEY	061	MIRWSB	S	500	26.35	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	MI	B	150	48.56	n/a	n/a	7.50	5.0
LISTED ERR	099	MLI	B	80	34.79	6-Feb	24-Apr	0.00	0.0
LISTED ERR	099	MLI	S	80	34.76	6-Feb	24-Apr	0.00	0.0
B BUCK CAPITAL MGMT		MSM	B	5,000	51.63	n/a	n/a	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	MSO	B	63,000	17.38	n/a	n/a	2,520.00	4.0
REINHART & MAHONEY	031	MTG	B	280	60.94	n/a	n/a	14.00	5.0
KORMAN	003	MWRK	S	1,000	32.74	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		M	S	220,000	38.76	17-May	8-Jun	non-brg	5.0
TRIBECA/DOUG	040	NAL	B	62,900	15.05	n/a	n/a	2,516.00	4.0
REINHART & MAHONEY	031	NBL	B	70	64.52	n/a	n/a	3.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	NCI	B	250	19.82	n/a	n/a	12.50	5.0
THE JAY GOLDMAN	034	NCX	SS	10,000	34.50	n/a	n/a	400.00	4.0
REINHART & MAHONEY	031	NE	B	80	97.38	n/a	n/a	4.00	5.0
REINHART & MAHONEY	031	NSR	B	150	27.64	n/a	n/a	7.50	5.0
Õ Glenn Redemann		NTRI	B	4	1.44	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NTRI	B	4	1.49	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NTRI	SS	4	2.50	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NTRI	SS	4	2.58	n/a	n/a	0.00	0.0
ENTRUST CAPITAL	040	NTY	B	68,273	41.27	n/a	n/a	3,413.65	5.0
CAPITAL GROWTH MGMT.	241	OII	B	20,000	52.08	n/a	n/a	1,000.00	5.0
Õ Glenn Redemann		OMX	B	2	37.50	n/a	15-Apr	0.00	0.0
Õ Glenn Redemann		OMX	B	2	45.00	n/a	15-Apr	0.00	0.0
MAZAMA CAPITAL MGMT.	035	ORA	B	37,900	36.95	n/a	n/a	1,516.00	4.0
BARR	096	ORB	B	500	20.85	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	ORLY	B	190	36.93	n/a	n/a	9.50	5.0
REINHART & MAHONEY	031	PCP	B	60	119.79	n/a	n/a	3.00	5.0
MAZAMA CAPITAL MGMT.	035	PJC	B	41,000	61.51	n/a	n/a	1,640.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	PKI	B	140	26.55	n/a	n/a	7.00	5.0
REINHART & MAHONEY	031	PPDI	B	380	36.91	n/a	n/a	19.00	5.0
REINHART & MAHONEY	031	PRGS	B	140	33.46	n/a	n/a	7.00	5.0
COUGAR TRADING	044	PSUN	B	5,000	22.22	n/a	8-Jun	250.00	5.0
REINHART & MAHONEY	031	PXD	B	90	53.69	n/a	4-Jun	4.50	5.0
Ö Glenn Redemann		RIMM	B	4	4.74	n/a	n/a	0.00	0.0
Ö Glenn Redemann		RIMM	B	4	5.13	n/a	n/a	0.00	0.0
Ö Glenn Redemann		RIMM	SS	4	6.06	n/a	n/a	0.00	0.0
Ö Glenn Redemann		RIMM	SS	4	6.56	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RL	SS	10,000	100.94	21-Jun	31-May	non-brg	4.0
COUGAR TRADING	044	RL	B	5,000	100.84	21-Jun	31-May	250.00	5.0
COUGAR TRADING	044	RL	SS	5,000	99.90	21-Jun	31-May	250.00	5.0
KORMAN	003	RPF	S	1,600	22.65	n/a	n/a	0.00	0.0
KORMAN	003	RQI	S	2,000	21.42	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	RSG	B	360	30.69	n/a	n/a	18.00	5.0
CROWLEY	061	RSINX	S	438	12.83	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	RX	B	340	32.51	n/a	n/a	17.00	5.0
AVESTA CAP	229	SCHW	S	25,000	21.55	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	SCHW	B	5,000	21.32	n/a	n/a	250.00	5.0
BARR	096	SCMR	B	3,000	4.15	n/a	n/a	0.00	0.0
KORMAN	003	SEED	S	3,000	8.18	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	SFD	B	230	32.01	n/a	n/a	11.50	5.0
GRISANTI BROWN & PARTNER	048	SGR	S	24,100	44.82	n/a	n/a	1,205.00	5.0
B BUCK CAPITAL MGMT		SHOO	S	10,000	32.66	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SHOO	S	15,000	32.66	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SHOO	S	52,382	32.62	n/a	n/a	non-brg	5.0
REINHART & MAHONEY	031	SII	B	120	59.80	n/a	n/a	6.00	5.0
COUGAR TRADING	044	SKX	B	5,000	30.87	n/a	13-Mar	250.00	5.0
REINHART & MAHONEY	031	SONC	B	190	23.88	n/a	n/a	9.50	5.0
KORMAN	003	SORL	S	1,992	7.14	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	SRE	B	80	62.04	n/a	n/a	4.00	5.0
REINHART & MAHONEY	031	SSW	B	200	31.67	n/a	n/a	10.00	5.0
REINHART & MAHONEY	031	STJ	B	180	43.41	n/a	n/a	9.00	5.0
REINHART & MAHONEY	031	STZ	B	100	24.32	n/a	n/a	5.00	5.0
BARR	096	SWIM	SS	1,000	10.20	n/a	n/a	0.00	0.0

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REINHART & MAHONEY	031	SY	B	290	23.04	n/a	n/a	14.50	5.0
CROWLEY	061	TACT	S	3,000	6.32	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	TDY	B	160	46.80	n/a	n/a	8.00	5.0
GOLDMAN SACHS ASSET MGMT	044	TGT	S	380,389	64.17	n/a	7-Jun	5,705.84	1.5
ADAGE CAPITAL MGMT	030	TJX	B	138,300	28.00	21-Mar	8-Jun	5,532.00	4.0
REINHART & MAHONEY	031	TMO	B	70	53.33	n/a	n/a	3.50	5.0
ß BUCK CAPITAL MGMT		TSCO	B	10,000	52.61	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		TWB	B	20,000	42.39	23-May	23-May	non-brg	5.0
IRIDIAN ASSET MGMT.	036	TYC	S	472,900	33.27	19-Jun	19-Jun	23,645.00	5.0
LEDDY	035	T	S	550	40.42	25-Apr	14-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		UAG	B	20,000	21.30	n/a	9-May	non-brg	5.0
ß BUCK CAPITAL MGMT		URBN	B	30,000	24.80	2-Apr	11-May	non-brg	5.0
MSD CAPITAL	036	USTR	S	55,500	68.40	23-May	23-May	2,775.00	5.0
REINHART & MAHONEY	031	VSEA	B	130	40.75	n/a	n/a	6.50	5.0
REINHART & MAHONEY	031	VSH	B	350	17.61	n/a	n/a	17.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EFSTATHIOU	044	VSL	S	400	23.21	n/a	n/a	0.00	0.0
KORMAN	003	WCRX	B	5,000	17.29	n/a	19-Jun	0.00	0.0
BULL PATH CAPITAL	044	WDR	B	25,000	27.15	5-Mar	20-Jun	1,250.00	5.0
SANDLER O'NEILL ASSET	031	WDR	S	34,000	27.10	5-Mar	20-Jun	1,700.00	5.0
SUNOVA CAPITAL	031	WDR	B	125,000	27.37	5-Mar	20-Jun	6,250.00	5.0
REINHART & MAHONEY	031	WFR	B	90	57.99	n/a	n/a	4.50	5.0
EGS ASSOCIATES LP	091	WRNC	B	7,500	37.21	20-Jun	20-Jun	450.00	6.0
8 BUCK CAPITAL MGMT		WSO	B	10,000	56.90	n/a	n/a	non-brg	5.0
WHITE PINE CAPITAL	030	WTI	S	500	29.31	n/a	n/a	25.00	5.0
KORMAN	003	YTEC	S	5,000	8.35	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	YUM	C	20,000	66.62	24-Apr	24-Apr	800.00	4.0
REINHART & MAHONEY	031	ZBRA	B	180	39.74	n/a	n/a	9.00	5.0
COUGAR TRADING	044	ZQK	B	5,000	14.28	9-Mar	11-Jun	250.00	5.0
TOTAL (Trade Date):							128,754.23		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/19	CHILTON CAPITAL MGMT.	036	BER	B	298,778	32.27	n/a	n/a	11951.12 4.0
AO,CXL	06/19	CHILTON CAPITAL MGMT.	036	BER	B	298,778	32.27	n/a	n/a	11951.12 4.0
AO,CRT	06/19	WEISS PECK	040	BJS	B	22,800	28.88	n/a	n/a	1140.00 5.0
AO,CXL	06/19	WEISS PECK	040	BJS	B	30,000	28.88	n/a	n/a	1500.00 5.0
AO,	06/19	WEISS PECK	040	BJS	B	7,200	28.88	n/a	n/a	360.00 5.0
AO,CXL	06/19	FRIESS MGMT	042	GME	B	1,300	40.06	n/a	n/a	58.50 4.5
AO,CRT	06/19	FRIESS MGMT	042	GME	B	1,300	40.06	n/a	n/a	58.50 4.5
AO,CXL	06/19	MORTAR ROCK	033	GNTX	SS	10,000	20.34	n/a	24-Apr	500.00 5.0
AO,CXL	06/19	TRELLUS PARTNERS LP	028	JCP	B	20,000	74.52	21-Jun	8-Jun	800.00 4.0
AO,CRT	06/19	TRELLUS PARTNERS LP	028	JCP	B	20,000	74.52	21-Jun	8-Jun	1000.00 5.0
AO,	06/19	FORCE CAPITAL MGMT	030	LBTYK	B	1,200	37.19	n/a	n/a	60.00 5.0
AO,CXL	06/18	STERLING JOHNSTON	035	PSUN	B	50,400	22.37	n/a	8-Jun	2520.00 5.0
AO,	06/18	STERLING JOHNSTON	035	PSUN	B	50,400	22.37	n/a	8-Jun	2520.00 5.0
AO,CRT	06/19	MKT MAKER	099	USTR	B	3	68.00	23-May	23-May	0.00 0.0
TOTAL (AO, CXL, CRT) :										-240.00

TOTAL (Trade Date):

128,754.23

TOTAL (AO, CXL, CRT):

-240.00

TOTAL (RUN DATE) / NFS:

128,514.23

MRI Securities with Trade Activity on 20-Jun.

JNC, WRNC

MRI Securities without Trade Activity on 20-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 4%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION