



Daily Compliance Activity Report

Trade Date: 08/11/2006

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|-------------|----------|--------------|--------------|------------|------------|-------------|------------|
| BINDER | 007 | AMWD | S | 100 | 34.41 | n/a | n/a | 0.00 | 0.0 |
| BULL PATH CAPITAL | 044 | ANN | B | 25,900 | 38.95 | 6-Jul | 4-Aug | 1,295.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | ARO | B | 170,000 | 22.73 | 6-Jul | 10-Aug | 6,800.00 | 4.0 |
| EGS ASSOCIATES LP | 059 | ARO | B | 3,000 | 22.69 | 6-Jul | 10-Aug | 180.00 | 6.0 |
| MAZAMA CAPITAL MGMT. | 035 | ATW | B | 45,200 | 41.62 | n/a | n/a | 1,808.00 | 4.0 |
| DAVIDSON KEMPNER ADVISOR | 015 | BAY | S | 500 | 49.57 | n/a | n/a | 0.00 | 0.0 |
| OGOR | 146 | BKYI | S | 5,000 | 0.40 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | BLI | S | 15,000 | 17.32 | 10-Aug | 10-Aug | 600.00 | 4.0 |
| MATTHES CAPITAL MGMT | 033 | CLE | S | 15,000 | 25.71 | 4-May | 4-Aug | 600.00 | 4.0 |
| NCM CAPITAL MGMT | 035 | CLMS | B | 11,900 | 24.68 | n/a | 9-Aug | 595.00 | 5.0 |
| SOMERSET ADV | 044 | CT | S | 1,000 | 37.64 | n/a | n/a | 50.00 | 5.0 |
| DAVIDSON KEMPNER ADVISOR | 015 | CTX | S | 400 | 47.32 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | DDS | S | 15,000 | 31.01 | n/a | n/a | 600.00 | 4.0 |
| GABELLI FUNDS | 037 | DIS | S | 15,000 | 29.42 | n/a | n/a | 750.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | DSW | S | 40,000 | 27.27 | n/a | n/a | 1,600.00 | 4.0 |

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|--------------------------|------|--------|-----|---------|-------|--------|----------|-------------|-----|
| CIRCLE T PART | 035 | EAT | S | 4,500 | 35.77 | 26-Jul | 10-Aug | 180.00 | 4.0 |
| APEX CAPITAL MGMT. | 002 | EL | S | 50,000 | 36.90 | n/a | 14-Jun | 2,500.00 | 5.0 |
| W.D.WITTER | 040 | EL | S | 4,000 | 38.09 | n/a | 14-Jun | 200.00 | 5.0 |
| JANUS CAPITAL CORP | 046 | EMC | S | 300,000 | 10.06 | n/a | n/a | 10,500.00 | 3.5 |
| LEVIN CAPITAL STRATEGIES | 012 | EPD | S | 20,000 | 26.36 | n/a | n/a | 800.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | ETH | B | 50,000 | 37.45 | n/a | n/a | 2,000.00 | 4.0 |
| BACKUS | 105 | EV | B | 2,000 | 25.73 | 24-May | 31-Jul | 0.00 | 0.0 |
| BACK | 105 | EVST | B | 1,000 | 13.37 | n/a | n/a | 0.00 | 0.0 |
| BACKUS | 105 | GCO | B | 1,000 | 27.79 | n/a | 27-Dec | 0.00 | 0.0 |
| LISTED ERR | 099 | GHL | B | 7,600 | 54.25 | n/a | n/a | 0.00 | 0.0 |
| LISTED ERR | 099 | GHL | S | 7,600 | 53.81 | n/a | n/a | 0.00 | 0.0 |
| MAZAMA CAPITAL MGMT. | 035 | GHL | B | 76,525 | 53.61 | n/a | n/a | 3,061.00 | 4.0 |
| BACK | 105 | GLW | B | 1,000 | 18.97 | 21-Mar | 7-Aug | 0.00 | 0.0 |
| BACKUS | 105 | GLW | B | 4,000 | 18.98 | 21-Mar | 7-Aug | 0.00 | 0.0 |
| ASCEND PARTNERS | 033 | GYMB | S | 50,000 | 29.65 | 10-Aug | 11-Aug | 2,500.00 | 5.0 |
| BENCHMARK | 028 | GYMB | S | 15,000 | 29.72 | 10-Aug | 11-Aug | 750.00 | 5.0 |
| COUGAR TRADING | 044 | GYMB | S | 10,000 | 30.67 | 10-Aug | 11-Aug | 500.00 | 5.0 |
| FIRST NEW YORK LEDDY | 035 | GYMB | S | 10,000 | 29.90 | 10-Aug | 11-Aug | 500.00 | 5.0 |
| LEVIN CAPITAL STRATEGIES | 012 | GYMB | S | 5,000 | 30.92 | 10-Aug | 11-Aug | 150.00 | 3.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------|--------|-----|---------|-------|--------|----------|-------------|-----|
| MATTHES CAPITAL MGMT | 033 | GYMB | S | 50,000 | 30.28 | 10-Aug | 11-Aug | 2,000.00 | 4.0 |
| ROBERTSON STEVENS BC | 033 | GYMB | S | 35,290 | 29.91 | 10-Aug | 11-Aug | 1,764.50 | 5.0 |
| TRELLUS PARTNERS LP | 028 | GYMB | B | 70,100 | 29.90 | 10-Aug | 11-Aug | 3,505.00 | 5.0 |
| COUGAR TRADING | 044 | HOTT | S | 5,000 | 11.85 | n/a | 4-Aug | 250.00 | 5.0 |
| NWQ INVESTMENT MGMT CO | 048 | HOTT | S | 2,750 | 11.63 | n/a | 4-Aug | 82.50 | 3.0 |
| BUCK CAPITAL MGMT | 015 | IBN | S | 400 | 26.47 | n/a | n/a | 0.00 | 0.0 |
| DAVIDSON KEMPNER ADVISOR | 015 | IBN | S | 1,000 | 26.75 | n/a | n/a | 0.00 | 0.0 |
| BARROW HANLEY MEWHINNEY | 096 | IEDU | S | 1,100 | 7.78 | n/a | n/a | 0.00 | 0.0 |
| OSS CAPITAL/KEVIN DANN | 045 | IFF | B | 100,000 | 38.42 | 26-Apr | 11-Aug | 5,000.00 | 5.0 |
| THE JAY GOLDMAN | 034 | IMCL | B | 25,000 | 27.96 | n/a | n/a | 1,000.00 | 4.0 |
| IRONBOUND CAPITAL | 012 | IP | S | 5,600 | 34.47 | n/a | 14-Jul | 280.00 | 5.0 |
| THE BOSTON COMPANY | 214 | JCP | S | 19,500 | 65.45 | 5-May | 10-Aug | 975.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | KCP | B | 10,000 | 24.34 | n/a | 27-Jul | 400.00 | 4.0 |
| BACK | 105 | KSS | S | 1,000 | 60.56 | 10-Aug | 10-Aug | 0.00 | 0.0 |
| BACKUS | 105 | KSS | S | 500 | 60.50 | 10-Aug | 10-Aug | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | LIZ | B | 26,900 | 35.71 | n/a | 26-Jul | 1,076.00 | 4.0 |
| NCM CAPITAL MGMT | 035 | MGI | B | 18,500 | 29.16 | n/a | n/a | 925.00 | 5.0 |
| ROTHSCHILD ASSET | 040 | MWV | S | 8,700 | 25.43 | 13-Jul | 24-Jul | 391.50 | 4.5 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|-------------|----------|----------------|--------------|--------------|---------------|-----------------|------------|
| NATIONAL CITY/ARMADA FD | 028 | NAL | S | 35,000 | 13.98 | n/a | n/a | 1,575.00 | 4.5 |
| ROBERTSON STEVENS BC | 033 | NPO | S | 40,000 | 29.54 | n/a | n/a | 1,600.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | OXM | S | 5,000 | 40.10 | n/a | n/a | 200.00 | 4.0 |
| COUGAR TRADING | 044 | PLCE | B | 10,000 | 54.33 | 1-May | 10-Aug | 500.00 | 5.0 |
| COUGAR TRADING | 044 | PLCE | S | 10,000 | 54.60 | 1-May | 10-Aug | 500.00 | 5.0 |
| MKT MAKER | 099 | PSUN | B | 25,100 | 14.00 | 3-Aug | 11-Aug | 0.00 | 0.0 |
| MKT MAKER | 099 | PSUN | S | 100 | 14.05 | 3-Aug | 11-Aug | 0.00 | 0.0 |
| AAD CAPITAL | 099 | PSUN | B | 100,000 | 13.75 | 3-Aug | 11-Aug | 2,000.00 | 2.0 |
| AAD CAPITAL | 099 | PSUN | S | 25,000 | 13.95 | 3-Aug | 11-Aug | 500.00 | 2.0 |
| SOMERSET ADV | 044 | QQQQ | B | 5,000 | 36.58 | n/a | n/a | 250.00 | 5.0 |
| COUGAR TRADING | 044 | RL | B | 5,000 | 56.57 | 16-Jun | 9-Aug | 250.00 | 5.0 |
| STADIA CAPITAL | 035 | RL | S | 33,000 | 56.49 | 16-Jun | 9-Aug | 1,320.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | RVI | S | 30,000 | 14.09 | n/a | n/a | 1,200.00 | 4.0 |
| HIGHBRIDGE CAPITAL | 038 | RYN | S | 128,400 | 39.74 | n/a | 13-Jul | 6,420.00 | 5.0 |
| FRANKLIN FUND OF CALIFOR | 033 | S | S | 104,700 | 16.72 | n/a | n/a | 5,235.00 | 5.0 |
| DOUBLE V/PBX | 044 | SBL | B | 300 | 10.10 | n/a | 2-Aug | 15.00 | 5.0 |
| ZOLI | 011 | SHOE | B | 4,200 | 5.75 | n/a | n/a | 0.00 | 0.0 |
| CROSS CAPITAL | 028 | SWK | S | 12,000 | 57.05 | n/a | n/a | 600.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|-------------|----------|--------------|--------------|------------|--------------|------------------|------------|
| CAPITAL GROWTH MGMT. | 241 | THX | S | 125,000 | 60.94 | n/a | n/a | 6,250.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | TJX | B | 25,000 | 26.11 | n/a | 8-Aug | 1,000.00 | 4.0 |
| BACK | 105 | TYC | B | 1,000 | 25.47 | n/a | 3-Aug | 0.00 | 0.0 |
| BACKUS | 105 | TYC | B | 2,000 | 25.49 | n/a | 3-Aug | 0.00 | 0.0 |
| ZOLI | 011 | UARM | S | 500 | 32.67 | n/a | n/a | 0.00 | 0.0 |
| BENCHMARK | 028 | UARM | S | 10,000 | 33.36 | n/a | n/a | 500.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | VFC | B | 40,000 | 67.26 | n/a | n/a | 1,600.00 | 4.0 |
| DAVIDSON KEMPNER ADVISOR | 015 | WEN | B | 500 | 59.13 | 25-Jul | 27-Jul | 0.00 | 0.0 |
| THE BOSTON COMPANY | 214 | WSM | B | 59,000 | 32.66 | n/a | n/a | 2,950.00 | 5.0 |
| GALLEON MGMT LP | 033 | WWW | S | 35,000 | 24.92 | 8-Aug | 8-Aug | 1,750.00 | 5.0 |
| DAVIDSON KEMPNER ADVISOR | 015 | WY | B | 700 | 55.97 | 13-Jun | 21-Jul | 0.00 | 0.0 |
| SCOPUS FUND | 039 | WY | S | 30,403 | 55.47 | 13-Jun | 21-Jul | 1,520.15 | 5.0 |
| ARIENCE CAPITAL | 036 | X | B | 6,895 | 57.05 | n/a | n/a | 344.75 | 5.0 |
| BUCK CAPITAL MGMT | 001 | ZQK | B | 5,000 | 11.80 | n/a | 9-Jun | 200.00 | 4.0 |
| TOTAL : | | | | | | | | 94,448.40 | |

Cancel/Corrects and As-Of Trades

| Type | Account | RR # | Symbol | B/S | Shares | Price | MRI Research | | Comm. ¢/Shr. | |
|--------|--------------------------------------|------|--------|-----|--------|-------|--------------|--------|--------------|-----|
| AO, | SIGMA CAPITAL ASSOCIATES (SIGMAINMS) | 034 | ANF | B | 50,000 | 55.84 | 6-Apr | 4-Aug | 2,500.00 | 5.0 |
| AO, | BENCHMARK (BENCBS) | 028 | BRC | B | 15,000 | 34.04 | n/a | n/a | 900.00 | 6.0 |
| AO, | SOMERSET ADV (SOMEUBSADV) | 044 | CCC | B | 400 | 5.68 | n/a | n/a | 20.00 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRJB570-) | 031 | CEPH | B | 1,035 | 55.66 | 9-Aug | 10-Aug | 51.75 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRRH251M) | 031 | CEPH | B | 280 | 55.66 | 9-Aug | 10-Aug | 14.00 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRJB279-) | 031 | CEPH | B | 790 | 55.66 | 9-Aug | 10-Aug | 39.50 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRJB473M) | 031 | CEPH | B | 2,460 | 55.66 | 9-Aug | 10-Aug | 123.00 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRRH250M) | 031 | CEPH | B | 165 | 55.66 | 9-Aug | 10-Aug | 8.25 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRJB015-) | 031 | CEPH | B | 1,105 | 55.66 | 9-Aug | 10-Aug | 55.25 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRRH531-) | 031 | CEPH | B | 245 | 55.66 | 9-Aug | 10-Aug | 12.25 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRJB205-) | 031 | CEPH | B | 1,985 | 55.66 | 9-Aug | 10-Aug | 99.25 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRBMCF-B) | 031 | CEPH | B | 5,875 | 55.66 | 9-Aug | 10-Aug | 293.75 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRJB110-) | 031 | CEPH | B | 100 | 55.66 | 9-Aug | 10-Aug | 5.00 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRJR068M) | 031 | CEPH | B | 35 | 55.66 | 9-Aug | 10-Aug | 1.75 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRRB945M) | 031 | CEPH | B | 960 | 55.66 | 9-Aug | 10-Aug | 48.00 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRRB939M) | 031 | CEPH | B | 695 | 55.66 | 9-Aug | 10-Aug | 34.75 | 5.0 |
| AO, | BAIRD INVESTMENT (BAIRJB160-) | 031 | CEPH | B | 555 | 55.66 | 9-Aug | 10-Aug | 27.75 | 5.0 |
| AO, | STRAUSS ASSET MGMT (STRANEUBER) | 040 | GOL | S | 15,000 | 30.93 | n/a | n/a | 750.00 | 5.0 |
| AO, | THE JAY GOLDMAN (GOLDWOODMA) | 034 | JCP | S | 15,000 | 64.66 | 5-May | 10-Aug | 600.00 | 4.0 |
| AO, | THE JAY GOLDMAN (GOLDMASTER) | 034 | JCP | S | 15,000 | 64.66 | 5-May | 10-Aug | 600.00 | 4.0 |
| AO,CXL | J L F ASSET MGMT (JLFAMAIN) | 039 | JOSB | B | 46,100 | 25.68 | n/a | n/a | 1,844.00 | 4.0 |
| AO, | J L F ASSET MGMT (JLFAMAIN) | 039 | JOSB | B | 46,100 | 25.70 | n/a | n/a | 1,844.00 | 4.0 |

| Type | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|------|-------------------------------|------|--------|-----|--------|-------|-----|----------|-------------|
| AO, | RAINIER INV MGMT (RAINARM) | 035 | NWSA | B | 1,150 | 18.90 | n/a | n/a | 57.50 5.0 |
| AO, | RAINIER INV MGMT (RAINNASH) | 035 | NWSA | B | 400 | 18.90 | n/a | n/a | 20.00 5.0 |
| AO, | RAINIER INV MGMT (RAINDUN) | 035 | NWSA | B | 500 | 18.90 | n/a | n/a | 25.00 5.0 |
| AO, | RAINIER INV MGMT (RAINFORD) | 035 | NWSA | B | 400 | 18.90 | n/a | n/a | 20.00 5.0 |
| AO, | RAINIER INV MGMT (RAINHYDRO1) | 035 | NWSA | B | 400 | 18.90 | n/a | n/a | 20.00 5.0 |
| AO, | RAINIER INV MGMT (RAINRIMGRT) | 035 | NWSA | B | 1,500 | 18.90 | n/a | n/a | 75.00 5.0 |
| AO, | RAINIER INV MGMT (RAINDETROI) | 035 | NWSA | B | 550 | 18.90 | n/a | n/a | 27.50 5.0 |
| AO, | RAINIER INV MGMT (RAINODT) | 035 | NWSA | B | 500 | 18.90 | n/a | n/a | 25.00 5.0 |
| AO, | RAINIER INV MGMT (RAINRIMCOR) | 035 | NWSA | B | 5,450 | 18.90 | n/a | n/a | 272.50 5.0 |
| AO, | RAINIER INV MGMT (RAINTURLOC) | 035 | NWSA | B | 750 | 18.90 | n/a | n/a | 37.50 5.0 |
| AO, | RAINIER INV MGMT (RAINFORDLL) | 035 | NWSA | B | 300 | 18.90 | n/a | n/a | 15.00 5.0 |
| AO, | RAINIER INV MGMT (RAINVNEURO) | 035 | NWSA | B | 750 | 18.90 | n/a | n/a | 37.50 5.0 |
| AO, | RAINIER INV MGMT (RAINFRANK) | 035 | NWSA | B | 1,650 | 18.90 | n/a | n/a | 82.50 5.0 |
| AO, | RAINIER INV MGMT (RAINCAIRON) | 035 | NWSA | B | 1,050 | 18.90 | n/a | n/a | 52.50 5.0 |
| AO, | RAINIER INV MGMT (RAINFRANKT) | 035 | NWSA | B | 1,200 | 18.90 | n/a | n/a | 60.00 5.0 |
| AO, | RAINIER INV MGMT (RAINHALIFA) | 035 | NWSA | B | 300 | 18.90 | n/a | n/a | 15.00 5.0 |
| AO, | RAINIER INV MGMT (RAINEVAMED) | 035 | NWSA | B | 550 | 18.90 | n/a | n/a | 27.50 5.0 |

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.