



Daily Compliance Activity Report

Trade Date: 06/01/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRUSTCO/SUNTRUST	040	ACV	B	19,247	27.54	n/a	26-Apr	750.64	3.9
BUCK CAPITAL MGMT	001	AEO	S	60,000	12.69	n/a	28-May	2,400.00	4.0
f JODY YEN	FID	AOL	S	6	21.31	n/a	n/a	0.00	0.0
BERMAN CAPITAL MGMT	046	ARO	B	10,000	27.87	n/a	28-May	300.00	3.0
B BUCK CAPITAL MGMT		AVP	S	30,000	25.89	n/a	28-May	non-brg	4.0
LAGRANGE CAP ADV	048	AXL	B	10,000	8.60	n/a	3-May	500.00	5.0
B BUCK CAPITAL MGMT		BBY	B	10,000	41.66	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	BEE	B	500	4.78	n/a	n/a	0.00	0.0
BRIGADE CAPITAL MGMT	029	BONT	S	8,700	12.98	n/a	n/a	261.00	3.0
LAGRANGE CAP ADV	048	BONT	B	10,100	12.60	n/a	n/a	505.00	5.0
f KAREN F LEDDY	FID	BP	S	300	36.61	n/a	n/a	0.00	0.0
SACC-SCHWARTZ	044	BWA	SS	50,000	36.83	n/a	30-Apr	1,750.00	3.5
BAIRD INVESTMENT	047	CAM	S	39,580	34.12	n/a	n/a	1,979.00	5.0
SIGMA CAPITAL ASSOCIATES	041	CHS	SS	50,000	12.02	n/a	5-Feb	1,750.00	3.5
f JASON ERIC COHEN	FID	CLWR	S	200	8.02	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROYCE TRADING	216	CMP	S	4,100	73.23	n/a	n/a	205.00	5.0
⌘ BUCK CAPITAL MGMT		CNK	S	25,000	14.96	n/a	n/a	non-brg	0.8
⌘ BUCK CAPITAL MGMT		CNK	S	75,000	15.31	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	COH	S	10,000	40.37	n/a	14-May	400.00	4.0
f JONATHAN RICHARD HAR	FID	CVGI	B	200	11.36	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CVGI	B	1000	11.84	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	C	B	50,000	3.93	n/a	21-May	1,000.00	2.0
PROSPECTOR PARTNERS LLC	036	EMC	B	43,100	18.81	n/a	n/a	1,724.00	4.0
GREEN ARROW DOUG	040	EXR	B	50,000	14.71	n/a	n/a	2,500.00	5.0
BERMAN CAPITAL MGMT	046	FL	S	10,000	14.88	n/a	21-May	300.00	3.0
BUCK CAPITAL MGMT	001	GES	S	50,000	37.28	n/a	28-May	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	10,000	29.60	n/a	14-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GYMB	B	10,000	44.64	n/a	28-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HOT	SS	30,000	45.44	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	HTRN	B	10,000	4.50	n/a	n/a	300.00	3.0
CONIFER/ISF	025	IBM	S	1,200	126.47	n/a	n/a	60.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GREEN ARROW DOUG	040	IYR	SS	15,000	49.76	n/a	n/a	750.00	5.0
B BUCK CAPITAL MGMT		JACK	S	10,000	22.01	n/a	n/a	non-brg	2.5
EXIS CAPITAL	044	JNY	B	5,000	19.24	n/a	14-May	250.00	5.0
B BUCK CAPITAL MGMT		JWN	S	20,000	39.42	n/a	28-May	non-brg	2.0
EXIS CAPITAL	044	JWN	B	5,000	39.73	n/a	28-May	250.00	5.0
CASTLEROCK	040	KSS	S	55,202	50.95	n/a	28-May	2,208.08	4.0
NEUBERGER BERMAN	036	LANC	B	19,500	54.17	n/a	n/a	780.00	4.0
N&BE	036	LANC	B	100	54.17	n/a	n/a	4.00	4.0
LAGRANGE CAP ADV	048	LGF	S	7,919	6.85	n/a	n/a	395.95	5.0
B BUCK CAPITAL MGMT		LL	S	10,000	28.72	n/a	n/a	non-brg	2.0
BMO NESBITT BURNS	041	LPX	B	25,000	7.91	n/a	11-May	1,250.00	5.0
SCOPUS FUND	041	LPX	B	84,692	7.97	n/a	11-May	4,234.60	5.0
B BUCK CAPITAL MGMT		MFB	B	20,000	22.91	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	M	S	25,000	22.53	n/a	28-May	1,250.00	5.0
KACELA CAPITAL	034	M	S	55,000	22.49	n/a	28-May	2,200.00	4.0
LAGRANGE CAP ADV	048	PAG	B	10,000	12.61	n/a	3-May	500.00	5.0
f CHRISTOPHER ROBERT C	FID	PCAR	S	150	41.49	n/a	30-Apr	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		PERY	S	4,769	23.61	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		PSS	S	350,000	21.80	n/a	n/a	non-brg	4.0
CASTLEROCK	040	PVH	S	30,472	52.30	n/a	26-May	1,218.88	4.0
HIGHBRIDGE CAP	041	PVH	SS	21,195	54.17	n/a	26-May	847.80	4.0
HARVEST MGMT	029	QQQQ	B	10,000	45.79	n/a	n/a	300.00	3.0
HIGHBRIDGE CAP	041	RL	SS	8,739	86.01	n/a	20-May	349.56	4.0
LISTED ERR	099	RSH	B	5,700	21.75	n/a	n/a	0.00	0.0
LISTED ERR	099	RSH	S	5,700	21.93	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SAH	S	175,642	9.42	n/a	n/a	non-brg	3.0
LAGRANGE CAP ADV	048	SAH	B	20,000	9.31	n/a	n/a	1,000.00	5.0
GOLD SACHS SPECIAL SIT	030	SPY	SS	100,000	109.23	n/a	n/a	3,000.00	3.0
BUCK CAPITAL MGMT		SUMR	S	50,000	8.09	n/a	n/a	non-brg	3.0
COUGAR TRADING	044	TAST	S	5,000	6.11	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	TGT	S	10,000	53.96	n/a	28-May	400.00	4.0
SUFFOLK CAPITAL MGMT	040	TGT	S	100,000	54.68	n/a	28-May	4,000.00	4.0
HARVEST MGMT	029	TIBX	S	50,000	11.39	n/a	n/a	2,500.00	5.0
CAPITAL GROWTH MGMT.	241	TJX	S	100,000	45.44	n/a	28-May	5,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	TJX	S	10,600	45.37	n/a	28-May	318.00	3.0
COUGAR TRADING	044	TM	SS	5,000	71.92	n/a	n/a	250.00	5.0
LEVEL GLOBAL SHARED ACCT	257	TPX	B	150,000	32.53	n/a	n/a	4,500.00	3.0
B BUCK CAPITAL MGMT		TRLG	SS	30,000	27.15	n/a	n/a	non-brg	4.0
ROTHSCHILD ASSET	040	TTI	B	36,720	8.96	n/a	n/a	1,468.80	4.0
f CHRISTOPHER ROBERT C	FID	WNC	B	1000	6.87	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	041	WRC	SS	50,000	41.08	n/a	14-May	1,750.00	3.5
B BUCK CAPITAL MGMT		WSM	SS	10,000	29.01	n/a	n/a	non-brg	4.0
S.A.C. CAP/ORENSTEIN	044	XLI	SS	150,000	29.58	n/a	n/a	5,250.00	3.5
B BUCK CAPITAL MGMT		XLP	SS	358,600	26.31	n/a	n/a	non-brg	2.0
TOTAL (Trade Date):								65,110.31	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/26	SCOPUS FUND	041	DBRN	S	36,819	26.70	n/a	n/a	1840.95 5.0
AO,CXL	05/26	SCOPUS FUND	041	DBRN	S	25,919	26.65	n/a	n/a	1295.95 5.0
AO,CXL	05/26	SCOPUS FUND	041	DBRN	SS	10,900	26.82	n/a	n/a	545.00 5.0
AO,CXL	05/27	COLUMBUS CIRCLE	036	DNR	S	37	16.05	n/a	n/a	1.48 4.0
AO,CRT	05/27	COLUMBUS CIRCLE	036	DNR	S	37	16.05	n/a	n/a	1.48 4.0
AO,CRT	05/27	WHITE PINE CAPITAL	030	TBT	S	1,000	40.21	n/a	n/a	50.00 5.0
AO,CXL	05/27	WHITE PINE CAPITAL	030	TBT	S	1,000	40.21	n/a	n/a	50.00 5.0
AO,CRT	05/27	WHITE PINE CAPITAL	030	TLT	B	300	96.52	n/a	n/a	15.00 5.0
AO,CXL	05/27	WHITE PINE CAPITAL	030	TLT	B	300	96.52	n/a	n/a	15.00 5.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date):	65,110.31
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TOTAL (AO, CXL, CRT):	0.00
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TOTAL (RUN DATE) / NFS:	65,110.31
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MRI Securities with Trade Activity on 1-Jun. NONE
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MRI Securities without Trade Activity on 1-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 12%
YTD = 8%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)