



# Daily Compliance Activity Report

Trade Date: 03/03/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>PAKLER</b>	<b>029</b>	<b>AAPL</b>	<b>S</b>	<b>19</b>	<b>125.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GRANITE CAPITAL	045	ABG	B	10,000	14.21	25-Jan	22-Feb	500.00	5.0
PORTER-ORLIN-FELDMAN	044	AES	B	50,000	18.32	n/a	n/a	2,500.00	5.0
MERRILL ASSET	032	AMG	S	37,500	93.77	9-Jan	29-Feb	1,687.50	4.5
TYEE/TYGH	035	AMG	S	86,975	93.77	9-Jan	29-Feb	3,479.00	4.0
COUGAR TRADING	044	ANF	B	5,000	77.52	10-Jan	29-Feb	250.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	76.23	10-Jan	29-Feb	250.00	5.0
BACKBAY	041	AN	SS	12,500	14.30	n/a	8-Feb	625.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AN</b>	<b>SS</b>	<b>20,000</b>	<b>14.23</b>	<b>n/a</b>	<b>8-Feb</b>	<b>800.00</b>	<b>4.0</b>
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>AVY</b>	<b>B</b>	<b>10,000</b>	<b>50.71</b>	<b>n/a</b>	<b>29-Jan</b>	<b>500.00</b>	<b>5.0</b>
CASTLEROCK	040	BIG	B	106,650	17.29	7-Jan	3-Mar	4,266.00	4.0
VENTANA CAPITAL	060	BIG	S	10,000	17.20	7-Jan	3-Mar	400.00	4.0
<b>BUCK</b>	<b>015</b>	<b>BIIB</b>	<b>S</b>	<b>1,200</b>	<b>58.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SARAS CAPITAL	038	BLK	SS	10,000	189.51	21-Nov	29-Feb	500.00	5.0
JP MORGAN VENTURES	046	BRL	B	3,000	46.36	28-Feb	28-Feb	150.00	5.0
MFP INVESTORS	032	CBT	B	5,000	27.10	n/a	30-Jan	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FARALLON CAP MGMT	040	CCU	S	135,250	31.85	n/a	n/a	5,410.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>CHCG</b>	<b>B</b>	<b>6,000</b>	<b>2.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BEAR STEARNS ASSET MGMT.	033	CHS	C	73,500	9.31	31-Jan	29-Feb	2,940.00	4.0
CATAPULT	040	CHS	B	8,500	9.31	31-Jan	29-Feb	340.00	4.0
BALYASNY ASSET MGMT	044	CIT	S	30,000	21.55	n/a	n/a	1,500.00	5.0
GRUSS CAPITAL	045	CKR	B	10,000	10.97	n/a	n/a	400.00	4.0
<b>BUCK</b>	<b>015</b>	<b>COF</b>	<b>SS</b>	<b>1,000</b>	<b>46.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BEAR STEARNS ASSET MGMT.	033	COH	SS	47,400	30.62	24-Oct	24-Jan	1,896.00	4.0
CATAPULT	040	COST	B	2,000	62.65	n/a	27-Jul	80.00	4.0
GALLEON MGMT LP	033	COT	B	50,000	2.19	n/a	n/a	1,500.00	3.0
MORGAN LEWIS/MLH	046	CSCO	B	10,000	24.39	n/a	22-Mar	500.00	5.0
NEUBERGER BERMAN	036	CTSH	S	200	29.84	n/a	n/a	9.00	4.5
<b>GASNER</b>	<b>028</b>	<b>CVI</b>	<b>B</b>	<b>150</b>	<b>28.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	C	S	283,900	23.03	16-Jan	21-Feb	12,775.50	4.5
BEAR STEARNS ASSET MGMT.	033	DF	SS	37,700	22.12	n/a	n/a	1,508.00	4.0
<b>SINCUTMA</b>	<b>031</b>	<b>DGP</b>	<b>B</b>	<b>140</b>	<b>26.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MADIGAN</b>	<b>031</b>	<b>DGP</b>	<b>B</b>	<b>420</b>	<b>26.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	036	DRC	S	300	33.61	n/a	n/a	13.50	4.5
TRIVIAM CAP MGMT	251	DRI	SS	50,000	30.98	n/a	24-Apr	2,000.00	4.0
CUMBERLAND	038	EME	B	50,000	24.33	n/a	n/a	2,000.00	4.0
PHILADELPHIA FINANCIAL	033	EV	S	39,264	31.27	9-Jan	29-Feb	1,963.20	5.0
MILLENIUM PARTNERS	028	FII	B	67,500	40.29	n/a	29-Feb	3,375.00	5.0
FIRST NY SEC/CHRIS	041	FINL	S	10,000	4.08	n/a	29-Feb	600.00	6.0
NEUBERGER BERMAN	036	FMCN	S	450	50.11	n/a	n/a	20.25	4.5
MS PRINCIPAL STRATEGIES	041	FMER	B	472	18.65	n/a	n/a	23.60	5.0
GRUSS CAPITAL	045	FOR	B	5,000	23.62	n/a	n/a	200.00	4.0
IRONBOUND CAPITAL	012	FOR	B	3,400	23.76	n/a	n/a	170.00	5.0
<b>BARR</b>	<b>096</b>	<b>GFI</b>	<b>B</b>	<b>3,700</b>	<b>14.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	036	GILD	S	200	48.23	n/a	n/a	9.00	4.5
<b>PAKLER</b>	<b>029</b>	<b>GMOX</b>	<b>S</b>	<b>16</b>	<b>0.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>PAKLER</b>	<b>029</b>	<b>GMRX</b>	<b>B</b>	<b>3</b>	<b>2.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BACKBAY	041	GM	SS	37,500	23.01	3-Jan	29-Feb	1,875.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>SINCLAIR</b>	<b>031</b>	<b>GRCN</b>	<b>S</b>	<b>25</b>	<b>0.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AMBER CAPITAL	046	GS	B	30,000	166.70	n/a	21-Feb	1,200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HON</b>	<b>SS</b>	<b>20,000</b>	<b>57.95</b>	<b>n/a</b>	<b>25-Jan</b>	<b>800.00</b>	<b>4.0</b>
DSG CAPITAL	225	IMB	B	10,000	5.04	n/a	n/a	500.00	5.0
<b>TURETSKY</b>	<b>038</b>	<b>IMB</b>	<b>B</b>	<b>4,000</b>	<b>5.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>WHEELER</b>	<b>008</b>	<b>IMMC</b>	<b>B</b>	<b>15,000</b>	<b>0.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	IVZ	B	50,000	24.83	20-Dec	29-Feb	2,500.00	5.0
CATAPULT	040	IVZ	B	7,500	24.48	20-Dec	29-Feb	375.00	5.0
SANDLER O'NEILL ASSET	031	IVZ	B	25,000	24.49	20-Dec	29-Feb	1,250.00	5.0
WILLIAMS TRAD/MANHASSET	041	IVZ	B	25,000	24.59	20-Dec	29-Feb	875.00	3.5
COUGAR TRADING	044	JCG	B	70,000	41.21	28-Nov	21-Feb	3,500.00	5.0
NEUBERGER BERMAN	036	JOYG	S	37,500	65.93	n/a	n/a	1,687.50	4.5
<b>BUCK</b>	<b>015</b>	<b>LEH</b>	<b>C</b>	<b>800</b>	<b>48.98</b>	<b>n/a</b>	<b>27-Feb</b>	<b>0.00</b>	<b>0.0</b>
JPMSI/TOM LEDDY	035	LIZ	B	25,000	18.01	n/a	15-Feb	1,250.00	5.0
JP MORGAN VENTURES	046	LTD	C	30,000	15.26	n/a	29-Feb	1,500.00	5.0
CHILTON CAPITAL MGMT.	036	MAR	B	29,121	34.29	n/a	n/a	1,164.84	4.0
NEUBERGER BERMAN	036	MA	S	200	188.34	n/a	n/a	9.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BUCK</b>	<b>015</b>	<b>MDY</b>	<b>S</b>	<b>800</b>	<b>142.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>MON</b>	<b>C</b>	<b>200</b>	<b>117.92</b>	<b>n/a</b>	<b>12-Feb</b>	<b>0.00</b>	<b>0.0</b>
DENVER INST. ADV	046	NFX	B	141,400	55.79	n/a	n/a	5,656.00	4.0
LISTED ERR	046	NFX	B	2	55.79	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	NTRS	S	25,000	66.82	n/a	21-Feb	1,250.00	5.0
<b>BARR</b>	<b>096</b>	<b>OESX</b>	<b>SS</b>	<b>1,200</b>	<b>10.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FARALLON CAP MGMT	040	PCS	B	136,200	15.19	n/a	n/a	4,086.00	3.0
U.S.TRUST COMPANY	012	PPG	B	46,600	61.95	n/a	26-Feb	1,398.00	3.0
MILLENIUM PARTNERS	028	PSUN	B	20,000	10.87	n/a	29-Feb	1,000.00	5.0
PORTER-ORLIN-FELDMAN	044	QQQQ	SS	100,000	42.58	n/a	n/a	5,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>10,000</b>	<b>60.81</b>	<b>7-Feb</b>	<b>15-Feb</b>	<b>400.00</b>	<b>4.0</b>
BULL PATH CAPITAL	044	ROST	S	30,000	27.86	n/a	n/a	1,500.00	5.0
<b>SUTERA</b>	<b>160</b>	<b>SDS</b>	<b>SS</b>	<b>500</b>	<b>64.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>PAKLER</b>	<b>029</b>	<b>SKF</b>	<b>B</b>	<b>21</b>	<b>122.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>S</b>	<b>20,000</b>	<b>21.16</b>	<b>n/a</b>	<b>13-Mar</b>	<b>800.00</b>	<b>4.0</b>
NEUBERGER BERMAN	036	SLT	B	20,700	20.15	n/a	n/a	931.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	SPY	C	30,000	133.18	n/a	n/a	900.00	3.0
BUCK	015	SPY	C	800	132.55	n/a	n/a	0.00	0.0
BARR	096	SRS	B	200	113.76	n/a	n/a	0.00	0.0
MERRILL ASSET	032	STC	B	5,200	29.39	n/a	n/a	234.00	4.5
BUCK	015	STI	SS	500	57.55	n/a	n/a	0.00	0.0
NOLAN	067	SWN	S	20	67.70	29-Feb	29-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	TEN	SS	10,000	25.15	n/a	n/a	400.00	4.0
HARVEST MGMT	039	TIF	B	25,000	37.74	14-Jan	8-Feb	1,250.00	5.0
WAGONHOUND	048	TJX	B	750	32.55	n/a	29-Feb	30.00	4.0
BUCK CAPITAL MGMT	001	TPX	B	30,000	17.06	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	TWB	S	100,000	29.16	21-Feb	21-Feb	4,000.00	4.0
DSG CAPITAL	225	TYC	B	7,300	40.13	9-Nov	25-Feb	365.00	5.0
DSG CAPITAL	225	TYC	S	2,300	40.49	9-Nov	25-Feb	115.00	5.0
AMATURO	018	UNAOB	B	10	1.60	n/a	n/a	0.00	0.0
SINCLAIR	031	VHEAN	B	10	2.75	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	WMS	S	125	37.62	n/a	n/a	5.63	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRUSS CAPITAL	045	XLY	SS	5,000	31.15	n/a	n/a	200.00	4.0
JP MORGAN VENTURES	046	XOM	SS	50,000	87.29	n/a	n/a	2,500.00	5.0
<b>TOTAL (Trade Date):</b>								<b>107,098.02</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/28	WEINTRAUB CAPITAL MGT. (WEINMAIN A)	040	BIG	SS	50,000	17.87	7-Jan	3-Mar	2500.00 5.0
AO,CRT	02/28	WEINTRAUB CAPITAL MGT. (WEINMAIN A)	040	BIG	SS	50,000	17.87	7-Jan	3-Mar	2000.00 4.0
AO,	02/29	GRISANTI BROWN & PARTNER (GRISGS)	048	DYN	S	50,000	7.39	n/a	n/a	2000.00 4.0
AO,CXL	02/28	SAXON WOODS ADVISORS (SAXOTOTDYN)	038	LTD	S	50,000	16.06	n/a	29-Feb	2500.00 5.0
AO,CRT	02/28	SAXON WOODS ADVISORS (SAXOTOTDYN)	038	LTD	S	50,000	16.06	n/a	29-Feb	2000.00 4.0
AO,CXL	02/28	SAXON WOODS ADVISORS (SAXODYNDIV)	038	LTD	S	50,000	16.06	n/a	29-Feb	2500.00 5.0
AO,CRT	02/28	SAXON WOODS ADVISORS (SAXODYNDIV)	038	LTD	S	50,000	16.06	n/a	29-Feb	2000.00 4.0
AO,	02/29	DLGR (DLGR10103)	040	PLL	S	50	39.72	n/a	n/a	2.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10102)	040	PLL	S	40	39.72	n/a	n/a	1.60 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10702)	040	PLL	S	200	39.72	n/a	n/a	8.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10703)	040	PLL	S	500	39.72	n/a	n/a	20.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10101)	040	PLL	S	90	39.72	n/a	n/a	3.60 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10100)	040	PLL	S	30	39.72	n/a	n/a	1.20 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10661)	040	PLL	S	3,500	39.72	n/a	n/a	140.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10782)	040	PLL	S	600	39.72	n/a	n/a	24.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10680)	040	PLL	S	1,300	39.72	n/a	n/a	52.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10660)	040	PLL	S	800	39.72	n/a	n/a	32.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10610)	040	PLL	S	5,800	39.72	n/a	n/a	232.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10400)	040	PLL	S	1,700	39.72	n/a	n/a	68.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10705)	040	PLL	S	700	39.72	n/a	n/a	28.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10832)	040	PLL	S	16,600	39.72	n/a	n/a	664.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10790)	040	PLL	S	400	39.72	n/a	n/a	16.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10953)	040	PLL	S	1,800	39.72	n/a	n/a	72.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10952)	040	PLL	S	7,900	39.72	n/a	n/a	316.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10951)	040	PLL	S	4,700	39.72	n/a	n/a	188.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10870)	040	PLL	S	600	39.72	n/a	n/a	24.00 4.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/29	DAVID J GREEN & CO (DJGR10900)	040	PLL	S	1,000	39.72	n/a	n/a	40.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10812)	040	PLL	S	800	39.72	n/a	n/a	32.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10860)	040	PLL	S	9,300	39.72	n/a	n/a	372.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR27800)	040	PLL	S	2,800	39.72	n/a	n/a	112.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10830)	040	PLL	S	2,400	39.72	n/a	n/a	96.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10811)	040	PLL	S	700	39.72	n/a	n/a	28.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10950)	040	PLL	S	2,900	39.72	n/a	n/a	116.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10760)	040	PLL	S	1,500	39.72	n/a	n/a	60.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10720)	040	PLL	S	400	39.72	n/a	n/a	16.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10770)	040	PLL	S	9,500	39.72	n/a	n/a	380.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10810)	040	PLL	S	400	39.72	n/a	n/a	16.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10750)	040	PLL	S	2,800	39.72	n/a	n/a	112.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10740)	040	PLL	S	8,300	39.72	n/a	n/a	332.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10730)	040	PLL	S	100	39.72	n/a	n/a	4.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10708)	040	PLL	S	700	39.72	n/a	n/a	28.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10780)	040	PLL	S	1,100	39.72	n/a	n/a	44.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10670)	040	PLL	S	1,100	39.72	n/a	n/a	44.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGR10615)	040	PLL	S	700	39.72	n/a	n/a	28.00 4.0
AO,	02/29	DAVID J GREEN & CO (DJGRBSPB)	040	PLL	S	12,600	39.72	n/a	n/a	504.00 4.0
CRT	03/03	PORTER-ORLIN-FELDMAN (PORTORLIN)	044	QQQQ	SS	100,000	42.58	n/a	n/a	5000.00 5.0

<b>TOTAL (AO, CXL, CRT) :</b>	<b>9,756.40</b>
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TOTAL (Trade Date):	107,098.02
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TOTAL (RUN DATE) / NFS:

116,854.42

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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION