



## Daily Compliance Activity Report

Trade Date: 10/13/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ADAGE CAPITAL MGMT	030	AEO	B	170,000	11.04	n/a	10-Oct	6,800.00	4.0
CADIAN CAPITAL	067	AEO	B	100,000	11.00	n/a	10-Oct	5,000.00	5.0
COUGAR TRADING	044	AEO	B	5,000	10.70	n/a	10-Oct	250.00	5.0
SAC TRADING HOWARD	038	AEO	S	150,000	11.12	n/a	10-Oct	7,500.00	5.0
VALHALLA CAPITAL LP	041	AKAM	B	30,000	15.02	n/a	n/a	900.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>B</b>	<b>70,000</b>	<b>16.55</b>	<b>n/a</b>	<b>8-Oct</b>	<b>2,800.00</b>	<b>4.0</b>
BMO NESBITT BURNS	041	AN	B	50,000	8.01	25-Jul	13-Oct	2,500.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>APCAH</b>	<b>S</b>	<b>10</b>	<b>3.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ARG	B	15,000	36.64	13-Oct	13-Oct	750.00	5.0
PRINCIPLE CAPITAL	040	AVP	SS	70,200	32.55	10-Oct	10-Oct	2,106.00	3.0
SOROS	036	BA	B	93,500	43.22	n/a	n/a	3,740.00	4.0
AVESTA CAP	229	BBY	SS	20,000	26.49	n/a	27-Jul	1,000.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>BIG</b>	<b>C</b>	<b>1,500</b>	<b>24.07</b>	<b>29-May</b>	<b>9-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BKE</b>	<b>B</b>	<b>20,000</b>	<b>48.69</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
VALHALLA CAPITAL LP	041	BMC	B	15,000	26.44	n/a	n/a	450.00	3.0
WHITE PINE CAPITAL	030	BONT	S	75,410	2.31	n/a	10-Oct	1,508.20	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	BUD	B	1,000	63.30	n/a	n/a	0.00	0.0
KORMAN	003	BWF	B	1,000	22.60	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BYI	B	20,000	19.52	n/a	n/a	800.00	4.0
COLE	077	BZ	B	1,900	0.57	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	CHK	B	63,000	19.28	n/a	n/a	3,150.00	5.0
IRIDIAN ASSET MGMT.	036	CHL	B	1,230	50.95	n/a	n/a	61.50	5.0
WHEELER	008	CITPRA	B	100	4.79	n/a	n/a	0.00	0.0
MENCKE	055	CNBC	S	100	8.25	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	COH	SS	59,900	20.00	8-Oct	8-Oct	1,797.00	3.0
IRIDIAN ASSET MGMT.	036	CSCO	B	12,000	18.40	n/a	22-Mar	600.00	5.0
THE BOSTON COMPANY	401	CTRN	B	6,330	13.68	n/a	n/a	253.20	4.0
AMATURO	018	DAVKI	S	30	7.55	n/a	n/a	0.00	0.0
AMATURO	018	DAVWI	B	40	6.30	n/a	n/a	0.00	0.0
MCCARTAN	028	DAVWI	B	10	6.15	n/a	n/a	0.00	0.0
OGORMAN	046	DDM	S	500	35.08	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	DTV	B	30,660	22.36	n/a	4-Jan	1,533.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IRIDIAN ASSET MGMT.	036	DVN	B	68,980	69.44	n/a	2-Oct	3,449.00	5.0
<b>MENCKE</b>	<b>055</b>	<b>DXPE</b>	<b>B</b>	<b>100</b>	<b>18.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>EAT</b>	<b>B</b>	<b>30,000</b>	<b>12.81</b>	<b>n/a</b>	<b>24-Apr</b>	<b>1,200.00</b>	<b>4.0</b>
NOKOMIS PARTNERS	031	EMKR	S	3,000	4.01	n/a	n/a	90.00	3.0
NEUBERGER BERMAN	036	EXR	B	2,600	13.31	n/a	n/a	117.00	4.5
<b>SINCUTMA</b>	<b>031</b>	<b>FCEL</b>	<b>S</b>	<b>330</b>	<b>4.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HEALY CIRCLE	044	FDO	B	25,000	23.93	23-Jul	9-Oct	1,250.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>FIG</b>	<b>B</b>	<b>1,700</b>	<b>4.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	FLO	S	60,030	28.03	n/a	n/a	2,401.20	4.0
<b>MENCKE</b>	<b>055</b>	<b>FNM</b>	<b>S</b>	<b>100</b>	<b>1.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>FXP</b>	<b>B</b>	<b>1,000</b>	<b>86.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAGNETAR FINANCIAL	031	F	B	275,000	2.68	8-Oct	13-Oct	8,250.00	3.0
TRELLUS PARTNERS LP	028	F	S	14,470	2.48	8-Oct	13-Oct	434.10	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>30,000</b>	<b>28.47</b>	<b>n/a</b>	<b>10-Jan</b>	<b>1,200.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>70,000</b>	<b>28.53</b>	<b>n/a</b>	<b>n/a</b>	<b>2,800.00</b>	<b>4.0</b>
WHITE PINE CAPITAL	030	GIL	B	10,125	20.83	8-Sep	8-Oct	506.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>KORMAN</b>	<b>003</b>	<b>GIL</b>	<b>B</b>	<b>3,000</b>	<b>21.22</b>	<b>8-Sep</b>	<b>8-Oct</b>	<b>0.00</b>	<b>0.0</b>
VALHALLA CAPITAL LP	041	GLBC	B	10,000	11.26	n/a	n/a	300.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GPI</b>	<b>B</b>	<b>27,200</b>	<b>13.50</b>	<b>10-Jun</b>	<b>13-Oct</b>	<b>1,088.00</b>	<b>4.0</b>
ROBX	033	GPN	B	3,100	41.90	n/a	n/a	124.00	4.0
HARVEST MGMT	039	GPS	B	50,000	14.60	n/a	13-Oct	2,500.00	5.0
DSG CAPITAL	225	GR	B	5,000	33.23	13-Oct	13-Oct	250.00	5.0
NATIONAL BK OF CAN	031	GS	S	5,000	97.02	15-Sep	24-Sep	200.00	4.0
COUGAR TRADING	044	GYMB	B	10,000	29.21	11-Jul	10-Oct	500.00	5.0
IRIDIAN ASSET MGMT.	036	HOT	S	30,290	23.58	n/a	n/a	1,514.50	5.0
VALHALLA CAPITAL LP	041	HPQ	B	15,000	39.89	n/a	n/a	450.00	3.0
WAGONHOUND/NIC	048	IBKR	B	5,000	22.26	n/a	n/a	200.00	4.0
NEUBERGER BERMAN	036	IM	S	75,200	13.28	n/a	n/a	3,384.00	4.5
VALHALLA CAPITAL LP	041	INTC	B	30,000	16.17	n/a	n/a	900.00	3.0
BMO NESBITT BURNS	041	IP	B	20,000	20.95	22-Sep	22-Sep	1,000.00	5.0
COUGAR TRADING	044	JCG	B	5,000	22.00	n/a	17-Sep	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	JCG	B	6,800	19.98	n/a	17-Sep	255.00	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRELLUS PARTNERS LP	028	JCI	C	30,000	22.92	3-Oct	3-Oct	1,500.00	5.0
HUNTER GLOBAL INVESTOR	067	JCP	SS	100,000	23.10	n/a	10-Oct	5,000.00	5.0
MS PRINCIPAL STRATEGIES	041	KOG	S	74,700	0.81	n/a	n/a	1,494.00	2.0
ROBX	302	LAZ	B	2,760	36.80	n/a	n/a	110.40	4.0
TRUST CO/COWEN/CALF.	040	LEN	B	705,580	9.60	n/a	n/a	28,223.20	4.0
IRIDIAN ASSET MGMT.	036	LMEDIA	B	27,520	17.99	n/a	n/a	1,376.00	5.0
DURANGO INVESTMENTS	031	LRCX	B	15,000	24.69	n/a	n/a	600.00	4.0
CAMBIAR CAP	046	LTD	B	100,970	12.97	9-Oct	10-Oct	4,038.80	4.0
KNOTT PARTNERS	044	LULU	B	100,000	18.34	n/a	n/a	4,000.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>MERPRK</b>	<b>B</b>	<b>2,000</b>	<b>12.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>MER</b>	<b>B</b>	<b>2,000</b>	<b>16.68</b>	<b>24-Jun</b>	<b>10-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>METPRB</b>	<b>B</b>	<b>1,000</b>	<b>15.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BEAR STEARNS ASSET MGMT.	033	MHK	S	18,300	52.46	n/a	n/a	732.00	4.0
<b>OGORMAN</b>	<b>046</b>	<b>MS</b>	<b>C</b>	<b>2,700</b>	<b>15.40</b>	<b>15-Sep</b>	<b>13-Oct</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	MTW	B	23,300	14.23	n/a	18-Aug	1,165.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	035	MWO	B	1,500	8.50	n/a	n/a	0.00	0.0
VALHALLA CAPITAL LP	041	NIHD	B	10,000	24.77	n/a	n/a	300.00	3.0
CATTANI	041	NIHD	S	200	23.71	n/a	n/a	0.00	0.0
VALHALLA CAPITAL LP	041	NVDA	B	25,000	7.93	n/a	n/a	750.00	3.0
NEUBERGER BERMAN	036	OFC	B	27,900	31.49	n/a	n/a	1,255.50	4.5
VALHALLA CAPITAL LP	041	ORCL	B	10,000	17.70	n/a	n/a	300.00	3.0
LEDDY	035	PBR	B	400	29.75	n/a	n/a	0.00	0.0
LEDDY	035	PCL	B	800	43.97	n/a	9-Oct	0.00	0.0
ROBX	302	PCP	B	1,670	60.83	n/a	n/a	66.80	4.0
EATON VANCE MGMT	039	PDE	B	172,000	17.14	n/a	n/a	5,160.00	3.0
IRIDIAN ASSET MGMT.	036	PDLI	B	56,330	8.87	n/a	n/a	2,816.50	5.0
BEAR STEARNS ASSET MGMT.	033	POT	S	19,100	105.28	n/a	n/a	764.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	40,000	52.48	n/a	8-Oct	1,600.00	4.0
KAICHER	064	SBAC	S	1,000	18.05	n/a	n/a	0.00	0.0
AMATURO	018	SDS	B	300	100.34	n/a	n/a	0.00	0.0
THE BOSTON COMPANY	401	SHOO	B	19,070	20.27	n/a	n/a	762.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE BOSTON COMPANY	401	SKX	B	111,520	13.72	n/a	13-Mar	4,460.80	4.0
LEDDY	035	SLB	B	300	60.87	n/a	n/a	0.00	0.0
PAKLER	029	SMN	S	13	70.81	n/a	n/a	0.00	0.0
GAULT	063	SOJJI	S	50	1.00	n/a	n/a	0.00	0.0
VALHALLA CAPITAL LP	041	SPSS	B	10,000	27.12	n/a	n/a	300.00	3.0
OGORMAN	046	SSO	S	400	32.10	n/a	n/a	0.00	0.0
KERN	050	SSO	S	1,000	32.00	n/a	n/a	0.00	0.0
GAULT	063	SSO	S	3,000	32.00	n/a	n/a	0.00	0.0
DEMARTINI	065	SSO	S	500	32.04	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	SVU	SS	70,882	19.01	n/a	n/a	2,835.28	4.0
SINCLAIR	031	SWGXL	B	30	5.80	n/a	n/a	0.00	0.0
SINCLAIR	031	SWNLF	S	30	3.00	n/a	n/a	0.00	0.0
KERN	050	SWNVE	B	20	1.10	n/a	n/a	0.00	0.0
KAICHER	064	SWN	S	500	27.16	n/a	2-Oct	0.00	0.0
SINCLAIR	031	SZCXB	B	15	3.40	n/a	n/a	0.00	0.0
SINCLAIR	031	SZCXB	S	35	3.00	n/a	n/a	0.00	0.0
TANG	058	TCL	S	250	18.90	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PRINCIPLE CAPITAL	040	TIF	SS	97,400	30.72	n/a	10-Oct	2,922.00	3.0
BALYASNY ASSET MGMT	044	UNM	S	30,000	18.80	n/a	n/a	1,500.00	5.0
WHITE PINE CAPITAL	030	UNT	B	3,250	34.40	n/a	2-Oct	162.50	5.0
<b>OGORMAN</b>	<b>046</b>	<b>UYG</b>	<b>S</b>	<b>3,000</b>	<b>10.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>VC</b>	<b>B</b>	<b>6,700</b>	<b>1.39</b>	<b>n/a</b>	<b>31-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>WBPRC</b>	<b>B</b>	<b>300</b>	<b>14.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	WDR	B	18,400	17.02	15-Jul	9-Oct	920.00	5.0
CAXTON PART	040	WMT	B	142,453	52.95	10-Oct	10-Oct	4,985.86	3.5
POST ROAD CAP	045	WSM	B	3,700	12.87	n/a	n/a	111.00	3.0
BMO NESBITT BURNS	041	WY	B	20,000	47.81	n/a	11-Sep	1,000.00	5.0
<b>BUCK</b>	<b>015</b>	<b>WY</b>	<b>B</b>	<b>1,000</b>	<b>46.95</b>	<b>n/a</b>	<b>11-Sep</b>	<b>0.00</b>	<b>0.0</b>
<b>CATTANI</b>	<b>041</b>	<b>YHOO</b>	<b>S</b>	<b>200</b>	<b>12.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>ZOLT</b>	<b>S</b>	<b>410</b>	<b>12.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date) :</b>								<b>160,124.39</b>	



### Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	10/13	ADAGE CAPITAL MGMT (ADAGLONG)	030	AEO	B	170,000	11.04	n/a	10-Oct	6800.00 4.0
CRT	10/13	CADIAN CAPITAL (CADIMS)	067	AEO	B	100,000	11.00	n/a	10-Oct	5000.00 5.0
CRT	10/13	SAC TRADING HOWARD (SACHGS)	038	AEO	S	150,000	11.12	n/a	10-Oct	7500.00 5.0
AO,CXL	10/10	BRENCOURT (BRENGS)	028	AN	B	113,750	8.12	25-Jul	13-Oct	5687.50 5.0
AO,CRT	10/10	BRENCOURT (BRENGS)	028	AN	B	113,750	8.12	25-Jul	13-Oct	3412.50 3.0
<b>CRT</b>	<b>10/13</b>	<b>MENCKE (MENCKE)</b>	<b>055</b>	<b>CNBC</b>	<b>S</b>	<b>100</b>	<b>8.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
CRT	10/13	PRINCIPLE CAPITAL (PRINUBSPB)	040	COH	SS	59,900	20.00	8-Oct	8-Oct	1797.00 3.0
<b>CRT</b>	<b>10/13</b>	<b>MENCKE (MENCKE)</b>	<b>055</b>	<b>FNM</b>	<b>S</b>	<b>100</b>	<b>1.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>AO,CXL</b>	<b>10/09</b>	<b>BUCK CAPITAL MGMT (BUCKMSMAIN)</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>40,000</b>	<b>27.23</b>	<b>n/a</b>	<b>n/a</b>	<b>1600.00 4.0</b>
<b>AO,CRT</b>	<b>10/09</b>	<b>BUCK CAPITAL MGMT (BUCKMSMAIN)</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>50,000</b>	<b>27.23</b>	<b>n/a</b>	<b>n/a</b>	<b>2000.00 4.0</b>
<b>CRT</b>	<b>10/13</b>	<b>BUCK CAPITAL MGMT (BUCKMSMAIN)</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>19,900</b>	<b>28.35</b>	<b>n/a</b>	<b>n/a</b>	<b>796.00 4.0</b>
AO,	10/10	IRIDIAN ASSET MGMT. (IRAM66)	036	HOT	S	4,330	20.62	n/a	n/a	173.20 4.0
AO,	10/10	IRIDIAN ASSET MGMT. (IRAM158)	036	HOT	S	94,590	20.62	n/a	n/a	3783.60 4.0
AO,	10/10	IRIDIAN ASSET MGMT. (IRAM26)	036	HOT	S	36,270	20.62	n/a	n/a	1450.80 4.0
AO,	10/10	IRIDIAN ASSET MGMT. (IRAM192)	036	HOT	S	35,070	20.62	n/a	n/a	1402.80 4.0
AO,CXL	10/10	MS PRINCIPAL STRATEGIES (MSPSMS)	041	KOG	S	232,300	0.65	n/a	n/a	4646.00 2.0
AO,	10/10	MS PRINCIPAL STRATEGIES (MSPSMS)	041	KOG	S	232,300	0.65	n/a	n/a	11615.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA14)	029	MYL	S	4,000	6.77	30-May	10-Oct	200.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA443)	029	MYL	S	4,500	6.77	30-May	10-Oct	225.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA14)	029	MYL	S	4,000	6.77	30-May	10-Oct	160.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	10/10	SOLARIS INV MGMT (SOLA443)	029	MYL	S	4,500	6.77	30-May	10-Oct	180.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA92)	029	MYL	S	500	6.77	30-May	10-Oct	25.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA933)	029	MYL	S	3,300	6.77	30-May	10-Oct	165.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA92)	029	MYL	S	500	6.77	30-May	10-Oct	20.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA933)	029	MYL	S	3,300	6.77	30-May	10-Oct	132.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA10)	029	MYL	S	2,400	6.77	30-May	10-Oct	120.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA970)	029	MYL	S	900	6.77	30-May	10-Oct	45.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA10)	029	MYL	S	2,400	6.77	30-May	10-Oct	96.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA970)	029	MYL	S	900	6.77	30-May	10-Oct	36.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA512)	029	MYL	S	32,400	6.77	30-May	10-Oct	1620.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA872)	029	MYL	S	2,000	6.77	30-May	10-Oct	100.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA512)	029	MYL	S	32,400	6.77	30-May	10-Oct	1296.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA872)	029	MYL	S	2,000	6.77	30-May	10-Oct	80.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA495)	029	MYL	S	3,600	6.77	30-May	10-Oct	180.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA866)	029	MYL	S	900	6.77	30-May	10-Oct	45.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA495)	029	MYL	S	3,600	6.77	30-May	10-Oct	144.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA866)	029	MYL	S	900	6.77	30-May	10-Oct	36.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA511)	029	MYL	S	300	6.77	30-May	10-Oct	15.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA848)	029	MYL	S	700	6.77	30-May	10-Oct	35.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA511)	029	MYL	S	300	6.77	30-May	10-Oct	12.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA848)	029	MYL	S	700	6.77	30-May	10-Oct	28.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA847)	029	MYL	S	800	6.77	30-May	10-Oct	40.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA847)	029	MYL	S	800	6.77	30-May	10-Oct	32.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA829)	029	MYL	S	3,400	6.77	30-May	10-Oct	170.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA829)	029	MYL	S	3,400	6.77	30-May	10-Oct	136.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA800)	029	MYL	S	1,500	6.77	30-May	10-Oct	75.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA800)	029	MYL	S	1,500	6.77	30-May	10-Oct	60.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA799)	029	MYL	S	800	6.77	30-May	10-Oct	40.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA799)	029	MYL	S	800	6.77	30-May	10-Oct	32.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA790)	029	MYL	S	3,100	6.77	30-May	10-Oct	155.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	10/10	SOLARIS INV MGMT (SOLA790)	029	MYL	S	3,100	6.77	30-May	10-Oct	124.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA703)	029	MYL	S	600	6.77	30-May	10-Oct	30.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA703)	029	MYL	S	600	6.77	30-May	10-Oct	24.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA934)	029	MYL	S	2,800	6.77	30-May	10-Oct	140.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA934)	029	MYL	S	2,800	6.77	30-May	10-Oct	112.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA935)	029	MYL	S	8,600	6.77	30-May	10-Oct	430.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA935)	029	MYL	S	8,600	6.77	30-May	10-Oct	344.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA694)	029	MYL	S	1,400	6.77	30-May	10-Oct	70.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA694)	029	MYL	S	1,400	6.77	30-May	10-Oct	56.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA662)	029	MYL	S	200	6.77	30-May	10-Oct	10.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA662)	029	MYL	S	200	6.77	30-May	10-Oct	8.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA533)	029	MYL	S	1,600	6.77	30-May	10-Oct	80.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA533)	029	MYL	S	1,600	6.77	30-May	10-Oct	64.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA614)	029	MYL	S	3,800	6.77	30-May	10-Oct	190.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA614)	029	MYL	S	3,800	6.77	30-May	10-Oct	152.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA483)	029	MYL	S	600	6.77	30-May	10-Oct	30.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA483)	029	MYL	S	600	6.77	30-May	10-Oct	24.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA42)	029	MYL	S	700	6.77	30-May	10-Oct	35.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA42)	029	MYL	S	700	6.77	30-May	10-Oct	28.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA371)	029	MYL	S	200	6.77	30-May	10-Oct	10.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA371)	029	MYL	S	200	6.77	30-May	10-Oct	8.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA78)	029	MYL	S	700	6.77	30-May	10-Oct	35.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA1568)	029	MYL	S	7,200	6.77	30-May	10-Oct	360.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA78)	029	MYL	S	700	6.77	30-May	10-Oct	28.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA1568)	029	MYL	S	7,200	6.77	30-May	10-Oct	288.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA429)	029	MYL	S	600	6.77	30-May	10-Oct	30.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA60)	029	MYL	S	400	6.77	30-May	10-Oct	20.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA429)	029	MYL	S	600	6.77	30-May	10-Oct	24.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA60)	029	MYL	S	400	6.77	30-May	10-Oct	16.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA49)	029	MYL	S	900	6.77	30-May	10-Oct	45.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	10/10	SOLARIS INV MGMT (SOLA1352)	029	MYL	S	2,700	6.77	30-May	10-Oct	135.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA49)	029	MYL	S	900	6.77	30-May	10-Oct	36.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA1352)	029	MYL	S	2,700	6.77	30-May	10-Oct	108.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA453)	029	MYL	S	2,900	6.77	30-May	10-Oct	145.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA1355)	029	MYL	S	900	6.77	30-May	10-Oct	45.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA453)	029	MYL	S	2,900	6.77	30-May	10-Oct	116.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA1355)	029	MYL	S	900	6.77	30-May	10-Oct	36.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA25)	029	MYL	S	1,000	6.77	30-May	10-Oct	50.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA1248)	029	MYL	S	4,400	6.77	30-May	10-Oct	220.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA25)	029	MYL	S	1,000	6.77	30-May	10-Oct	40.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA1248)	029	MYL	S	4,400	6.77	30-May	10-Oct	176.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA48)	029	MYL	S	700	6.77	30-May	10-Oct	35.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA1277)	029	MYL	S	700	6.77	30-May	10-Oct	35.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA48)	029	MYL	S	700	6.77	30-May	10-Oct	28.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA1277)	029	MYL	S	700	6.77	30-May	10-Oct	28.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA40)	029	MYL	S	1,500	6.77	30-May	10-Oct	75.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA1141)	029	MYL	S	1,100	6.77	30-May	10-Oct	55.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA40)	029	MYL	S	1,500	6.77	30-May	10-Oct	60.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA1141)	029	MYL	S	1,100	6.77	30-May	10-Oct	44.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA37)	029	MYL	S	3,300	6.77	30-May	10-Oct	165.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA1099)	029	MYL	S	1,800	6.77	30-May	10-Oct	90.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA37)	029	MYL	S	3,300	6.77	30-May	10-Oct	132.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA1099)	029	MYL	S	1,800	6.77	30-May	10-Oct	72.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA26)	029	MYL	S	1,900	6.77	30-May	10-Oct	95.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA778)	029	MYL	S	2,200	6.77	30-May	10-Oct	110.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA26)	029	MYL	S	1,900	6.77	30-May	10-Oct	76.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA778)	029	MYL	S	2,200	6.77	30-May	10-Oct	88.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA21)	029	MYL	S	1,000	6.77	30-May	10-Oct	50.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA865)	029	MYL	S	900	6.77	30-May	10-Oct	45.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA21)	029	MYL	S	1,000	6.77	30-May	10-Oct	40.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	10/10	SOLARIS INV MGMT (SOLA865)	029	MYL	S	900	6.77	30-May	10-Oct	36.00 4.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA19)	029	MYL	S	6,200	6.77	30-May	10-Oct	310.00 5.0
AO,CXL	10/10	SOLARIS INV MGMT (SOLA15)	029	MYL	S	1,400	6.77	30-May	10-Oct	70.00 5.0
AO,	10/10	SOLARIS INV MGMT (SOLA19)	029	MYL	S	6,200	6.77	30-May	10-Oct	248.00 4.0
AO,	10/10	SOLARIS INV MGMT (SOLA15)	029	MYL	S	1,400	6.77	30-May	10-Oct	56.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>32,497.40</b>
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TOTAL (Trade Date) :	160,124.39
TOTAL (AO, CXL, CRT) :	32,497.40

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>192,621.79</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION