



Daily Compliance Activity Report

Trade Date: 04/07/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MATTHEW FRANCIS BUCK	FID	ABT	S	400	50.42	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	ATML	B	5,200	13.21	n/a	n/a	208.00	4.0
Õ Doug Rogers		BAC	B	20	25.14	n/a	28-Mar	0.00	0.0
Õ Doug Rogers		BAC	B	20	25.14	n/a	28-Mar	0.00	0.0
Õ Doug Rogers		BAC	B	20	25.14	n/a	28-Mar	0.00	0.0
ß BUCK CAPITAL MGMT		BBBY	S	20,000	53.59	n/a	n/a	non-brg	4.0
HARVEST MGMT	029	BBY	B	10,000	30.59	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		BID	S	10,000	52.16	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BID	S	10,000	52.93	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	S	20,000	15.05	n/a	n/a	non-brg	4.0
FRED ALGER MGMT	035	CAM	S	90,690	54.94	n/a	n/a	3,264.84	3.6
COUGAR TRADING	044	CBRL	SS	5,000	48.60	n/a	n/a	250.00	5.0
BMO NESBITT BURNS	041	CHS	SS	5,000	15.30	n/a	5-Feb	250.00	5.0
FRED ALGER MGMT	035	CLF	S	81,635	98.12	n/a	n/a	2,938.86	3.6
f MATTHEW FRANCIS BUCK	FID	COP	B	100	80.28	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	CRI	SS	4,000	29.79	n/a	n/a	200.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRED ALGER MGMT	035	CSCO	S	169,690	17.82	n/a	n/a	6,108.84	3.6
f KEVIN MICHAEL O'GORM	FID	CVGI	S	3000	17.92	n/a	n/a	0.00	0.0
f CALEF CAMPBELL DAVIS	FID	CWTR	B	248	2.63	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	CWTR	B	2000	2.64	n/a	n/a	0.00	0.0
GREEN ARROW DOUG	040	DAL	B	50,000	9.57	n/a	n/a	2,500.00	5.0
f JONATHAN RICHARD HAR	FID	DAN	S	555	18.01	n/a	24-Feb	0.00	0.0
STATE TEACHERS RET SYS	047	DDR	B	15,000	13.75	n/a	n/a	750.00	5.0
T. ROWE PRICE	033	DE	B	59,900	96.48	n/a	18-Feb	2,396.00	4.0
f JOHN BARTMAN COLE	FID	DRYS	S	1500	4.99	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	EAT	B	14,508	24.49	n/a	26-Jan	725.40	5.0
B BUCK CAPITAL MGMT		EAT	C	40,000	24.67	n/a	26-Jan	non-brg	4.0
f MANNY I KORMAN	FID	ENDP	B	50	3.40	7-Apr	7-Apr	0.00	0.0
TRUST CO/COWEN/CALF.	040	ENR	B	42,019	72.39	n/a	18-Feb	2,100.95	5.0
CAPITAL GROWTH MGMT.	241	FCX	B	200,000	57.11	n/a	n/a	6,000.00	3.0
FRED ALGER MGMT	035	FCX	S	16,385	57.16	n/a	n/a	589.86	3.6
ROYCE TRADING	012	FINL	B	6,050	20.10	n/a	25-Mar	302.50	5.0
f JOEL GIFFORD TISS	FID	FSS	S	5000	6.59	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ISLE RIDGE PARTNERS	041	F	B	50,000	15.63	n/a	4-Apr	2,000.00	4.0
TROUBH PART	070	F	SS	25,000	15.71	n/a	4-Apr	1,250.00	5.0
CIRCLE T/SES PART	033	GM	B	15,000	32.42	n/a	4-Apr	600.00	4.0
IRIDIAN ASSET MGMT.	036	GM	S	5,750	32.40	n/a	4-Apr	287.50	5.0
ISLE RIDGE PARTNERS	041	GM	B	7,900	32.46	n/a	4-Apr	316.00	4.0
B BUCK CAPITAL MGMT		GPS	C	400,000	22.38	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	GPS	S	20,000	23.15	n/a	n/a	800.00	4.0
f DAVID GEORGE BUCK	FID	GS	B	10	6.72	n/a	28-Mar	0.00	0.0
f DAVID GEORGE BUCK	FID	HTZ	B	2000	16.15	n/a	n/a	0.00	0.0
f REUBEN D DVORETSKY	FID	IEF	S	37	92.26	n/a	n/a	0.00	0.0
f BRIAN W CRAGO	FID	INAP	B	200	7.24	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	JCP	B	20,000	37.76	n/a	31-Mar	1,000.00	5.0
JP MORGAN VENTURES	046	JWN	B	6,000	46.40	n/a	31-Mar	240.00	4.0
ASCEND PARTNERS	033	KO	SS	99,160	67.24	n/a	18-Feb	4,958.00	5.0
RBC CAPITAL MARKETS	040	KSS	B	30,000	54.99	n/a	31-Mar	1,200.00	4.0
AVENUE CAPITAL MGMT	070	LEA	S	50,000	48.18	n/a	21-Mar	2,000.00	4.0
JET CAPITAL/CHRIS	041	LINTA	B	75,000	16.77	n/a	n/a	2,250.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	LPX	B	12,812	9.75	n/a	6-Apr	512.48	4.0
BUCK CAPITAL MGMT	001	LTD	S	63,000	35.41	n/a	n/a	1,890.00	3.0
⌘ BUCK CAPITAL MGMT		LTD	S	20,000	35.75	n/a	n/a	non-brg	4.0
TRUSTCO/SUNTRUST	040	LZ	S	113,174	134.02	n/a	n/a	3,961.14	3.5
SUN AMERICA ASSET MGMT	030	MRVL	B	2,400	16.27	n/a	n/a	96.00	4.0
f CALEF CAMPBELL DAVIS	FID	MWA	S	150	4.41	n/a	n/a	0.00	0.0
ASCEND PARTNERS	033	M	S	4,950	25.56	n/a	31-Mar	247.50	5.0
f JOHN BARTMAN COLE	FID	NCS	S	500	12.32	n/a	n/a	0.00	0.0
f REUBEN D DVORETSKY	FID	NOOF	S	1000	1.81	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		NWY	S	50,000	7.33	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		OMX	C	10,000	14.03	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		OMX	SS	10,000	13.96	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		OXM	S	10,000	34.37	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		OXM	S	15,000	34.39	n/a	n/a	non-brg	2.5
SUN AMERICA ASSET MGMT	030	PAY	S	900	53.43	n/a	n/a	36.00	4.0
f DAVID GEORGE BUCK	FID	PFE	B	3000	20.30	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		PIR	S	100,000	11.15	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		PIR	S	134,500	11.10	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		PIR	S	28,100	11.02	n/a	n/a	non-brg	0.8
f	JOHN MICHAEL ZOLIDIS	FID	PIR	S	500	11.18	n/a	n/a	0.00	0.0
f	JOHN MICHAEL ZOLIDIS	FID	PIR	S	500	11.23	n/a	n/a	0.00	0.0
	SHEFFIELD ASSET MGMT	031	PKG	S	25,000	28.76	n/a	17-Mar	1,000.00	4.0
	BMO NESBITT BURNS	041	PLCE	B	4,000	54.01	n/a	9-Mar	200.00	5.0
	PAW PARTNERS	025	REG	B	1,000	42.92	n/a	n/a	50.00	5.0
	LISTED ERR	099	REG	B	2,955	42.92	n/a	n/a	0.00	0.0
	LISTED ERR	099	REG	S	2,955	42.94	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		RYL	B	20,000	16.52	n/a	n/a	non-brg	4.0
	SCOPUS FUND	041	RYN	B	10,000	62.60	n/a	26-Jan	400.00	4.0
f	EDWARD W WHEELER	FID	SCSC	B	5000	37.77	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		SHOO	S	20,000	49.06	n/a	n/a	non-brg	4.0
	BNP PARIBAS SEC	040	SHW	S	15,000	85.42	n/a	30-Mar	750.00	5.0
	ENTRUST CAPITAL	040	SPG	B	18,200	106.12	n/a	n/a	910.00	5.0
f	JONATHAN RICHARD HAR	FID	SRI	S	500	14.38	n/a	n/a	0.00	0.0
f	BERNA GABRIELLE BARS	FID	TIVO	B	10	0.50	n/a	n/a	0.00	0.0
f	BERNA GABRIELLE BARS	FID	TIVO	S	10	0.51	n/a	n/a	0.00	0.0
	MERRILL ASSET/BLACKROCK	266	TTI	B	1,700	14.73	n/a	n/a	68.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DC CAPITAL	044	VTG	S	74,900	1.94	n/a	n/a	1,498.00	2.0
f ALEXANDER A SACCO	FID	VXX	B	175	28.60	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	VXX	B	1000	28.83	n/a	n/a	0.00	0.0
STATE TEACHERS RET SYS	047	WRI	B	10,000	24.75	n/a	n/a	500.00	5.0
RAINIER INV MGMT	035	WTR	S	22,400	22.70	n/a	n/a	896.00	4.0
GLOBAL 56 LLC	060	WTSLA	S	3,500	4.60	n/a	n/a	105.00	3.0
PAW PARTNERS	025	XRT	B	10,000	52.52	n/a	n/a	500.00	5.0
TOTAL (Trade Date):								59,606.87	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/06	COLUMBUS CIRCLE	036	ARUN	S	5,180	30.51	n/a	n/a	207.20 4.0
AO,CRT	04/06	SCOPUS FUND	041	ASNA	S	33,217	33.11	n/a	n/a	1328.68 4.0
AO,CXL	04/06	SCOPUS FUND	041	ASNA	S	33,217	33.11	n/a	n/a	1328.68 4.0
AO,CRT	04/01	FARALLON CAP MGMT	040	LOGI	B	100,000	14.94	n/a	n/a	3000.00 3.0
AO,CXL	04/01	FARALLON CAP MGMT	040	LOGI	B	100,000	14.94	n/a	n/a	4000.00 4.0

TOTAL (AO, CXL, CRT) :	-792.80
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TOTAL (Trade Date) :	59,606.87
TOTAL (AO, CXL, CRT) :	-792.80

TOTAL (RUN DATE) / NFS :	58,814.07
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO	04/06	BUCK CAPITAL MGMT	EXPR	B	40,000	19.00	n/a	n/a	0.00	0.0
CXL	04/06	BUCK CAPITAL MGMT.	GPS	SS	100,000	23.11	n/a	n/a	4000.00	
CRT	04/06	BUCK CAPITAL MGMT	GPS	SS	200,000	23.07	n/a	n/a	8000.00	4.0
CXL	04/06	BUCK CAPITAL MGMT.	GPS	SS	100,000	23.11	n/a	n/a	4000.00	
CRT	04/06	BUCK CAPITAL MGMT	GPS	SS	200,000	23.08	n/a	n/a	8000.00	4.0
CXL	04/06	BUCK CAPITAL MGMT.	GPS	SS	100,000	23.11	n/a	n/a	4000.00	
CRT	04/06	BUCK CAPITAL MGMT	GPS	SS	100,000	23.11	n/a	n/a	4000.00	4.0

MRI Securities with Trade Activity on 7-Apr.

ENDP

MRI Securities without Trade Activity on 7-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 5%

YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)