



Daily Compliance Activity Report

Trade Date: 05/05/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PERRY PARTNERS	085	AEO	S	100,000	15.30	n/a	10-Mar	5,000.00	5.0
ST OF MICHIAN	047	AEO	B	150,000	15.17	n/a	10-Mar	4,500.00	3.0
f MITCHELL JAMES SPEIS	FID	AGQ	B	10	179.60	n/a	n/a	0.00	0.0
f HARALAMBOS EFSTATHI	FID	ALU	B	2000	6.23	n/a	n/a	0.00	0.0
ARMATURE CAPITAL	041	ALV	SS	10,000	77.11	n/a	21-Apr	400.00	4.0
BNP PARIBAS SEC	040	ANF	B	25,000	72.02	n/a	2-May	1,250.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	20,000	73.33	n/a	2-May	600.00	3.0
ADAGE CAPITAL MGMT	030	AOS	S	70,000	42.06	n/a	19-Apr	2,100.00	3.0
TRUSTCO/SUNTRUST	040	AOS	B	21,500	41.97	n/a	19-Apr	752.50	3.5
B BUCK CAPITAL MGMT		APO	B	1,700	17.97	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	B	18,200	17.97	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	B	2,000	17.97	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	B	2,200	17.97	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	B	2,600	17.97	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	B	600	17.97	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APO	B	800	17.97	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ARCO	B	15,000	22.78	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ARCO	B	20,000	22.78	n/a	n/a	non-brg	4.0
f HARALAMBOS EFSTATHI	FID	ARCO	B	200	23.05	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	ARCO	B	65	0.98	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KAREN F LEDDY	FID	ARCO	B	500	22.77	n/a	n/a	0.00	0.0
	MA CAPITAL MGMT/MELLON ADV041		ARCO	B	5,000	22.99	n/a	n/a	250.00	5.0
f	MICHAEL C PERILLO	FID	ARCO	B	85	22.54	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		ARO	C	40,000	21.85	n/a	11-Mar	non-brg	4.0
	BALYASNY ASSET MGMT	044	ASNA	SS	20,000	31.03	n/a	n/a	1,000.00	5.0
ß	BUCK CAPITAL MGMT		ASNA	S	20,000	31.22	n/a	n/a	non-brg	4.0
f	JONATHAN RICHARD HAR	FID	ASNA	B	485	31.17	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	AUMN	B	1000	18.56	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		AVY	B	4,500	41.96	n/a	28-Apr	non-brg	4.0
ß	BUCK CAPITAL MGMT		AVY	C	15,100	41.96	n/a	28-Apr	non-brg	4.0
	SCOPUS FUND	041	AXL	B	200,000	11.79	n/a	2-May	8,000.00	4.0
	GREEN ARROW DOUG	040	BAC	B	25,000	12.26	n/a	15-Apr	1,250.00	5.0
	LISTED ERR	099	BBT	B	10	26.64	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		BBY	B	30,000	31.07	n/a	n/a	non-brg	4.0
	SAC CHICAGO ANDREW	031	BBY	B	15,000	30.87	n/a	n/a	525.00	3.5
f	KAREN F LEDDY	FID	BEE	S	2000	6.70	n/a	n/a	0.00	0.0
	IRIDIAN ASSET MGMT.	036	BSX	SS	98,270	7.70	n/a	n/a	2,948.10	3.0
ß	BUCK CAPITAL MGMT		BYD	B	25,000	9.64	n/a	n/a	non-brg	3.0
ß	BUCK CAPITAL MGMT		BYD	B	25,000	9.70	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COOPER CREEK PART	028	BZ	B	3,524	8.28	n/a	n/a	140.96	4.0
MA CAPITAL MGMT/MELLON ADV041		BZ	B	5,000	8.31	n/a	n/a	150.00	3.0
MA CAPITAL MGMT/MELLON ADV041		BZ	S	5,000	8.37	n/a	n/a	150.00	3.0
f MATTHEW FRANCIS BUCK	FID	CAH	B	300	44.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CAR 8 C19	C	900	1.30	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		CCL	C	50,000	39.91	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	CHRS	B	1000	4.31	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CHRS	B	2500	4.33	n/a	n/a	0.00	0.0
BURL CAPITAL LLC	029	COL	SS	10,000	62.80	n/a	5-May	400.00	4.0
f DAVID GEORGE BUCK	FID	COP	B	20	0.10	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	COP	S	2000	71.45	n/a	n/a	0.00	0.0
GRANAHAN INV	029	CRR	S	27,800	150.43	n/a	11-Jan	1,112.00	4.0
KLEINHEINZ CAP PART	031	CTXS	B	25,000	82.40	n/a	n/a	1,250.00	5.0
f DAVID GEORGE BUCK	FID	CVX	B	500	101.36	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DAL	S	100,000	11.22	n/a	n/a	non-brg	4.0
EVERCORE WEALTH MGMT	012	DBC	S	22,680	29.08	n/a	n/a	1,134.00	5.0
B BUCK CAPITAL MGMT	001	DDS	S	10,000	48.37	n/a	n/a	300.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		DDS	S	30,000	48.99	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DECK	S	10,000	89.04	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DIA	C	38,450	125.36	n/a	n/a	non-brg	2.0
BMO NESBITT BURNS	041	DKS	SS	3,000	41.16	n/a	8-Mar	150.00	5.0
⌘ BUCK CAPITAL MGMT		DPZ	B	8,600	20.33	n/a	5-May	non-brg	4.0
IRIDIAN ASSET MGMT.	036	DRI	SS	15,570	49.46	n/a	11-Apr	467.10	3.0
⌘ BUCK CAPITAL MGMT		DSW	S	15,000	44.31	n/a	11-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DSW	S	55,000	44.58	n/a	11-Feb	non-brg	4.0
Ⓕ DAVID GEORGE BUCK	FID	DVY	S	2000	52.99	n/a	n/a	0.00	0.0
ROARING BLUE LION MGMT	012	FDO	SS	3,740	53.94	n/a	29-Apr	149.60	4.0
ROYCE TRADING	012	FINL	B	18,000	21.42	n/a	25-Mar	900.00	5.0
MERRILL ASSET/BLACKROCK	266	FOR	B	76,600	18.49	n/a	5-May	3,064.00	4.0
GREEN ARROW DOUG	040	F	S	35,000	15.07	n/a	4-May	1,750.00	5.0
OZ MGMT	040	F	SS	100,000	14.94	n/a	4-May	3,000.00	3.0
⌘ BUCK CAPITAL MGMT		GES	S	20,000	42.48	n/a	25-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIII	S	10,000	42.65	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	GLW	B	30,000	20.37	n/a	27-Apr	1,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GM	SS	10,000	31.99	n/a	4-May	non-brg	4.0
B BUCK CAPITAL MGMT		GM	SS	20,000	32.68	n/a	4-May	non-brg	1.0
B BUCK CAPITAL MGMT		GM	SS	20,000	32.68	n/a	4-May	non-brg	1.0
CYRUS CAPITAL	025	GM	B	25,000	32.22	n/a	4-May	1,000.00	4.0
PHILADELPHIA FINANCIAL	033	GM	C	53,894	31.85	n/a	4-May	2,155.76	4.0
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	GM		SS	15,000	32.00	n/a	4-May	750.00 5.0
BERMAN CAPITAL MGMT	046	GPS	B	10,000	23.08	n/a	n/a	300.00	3.0
ARMATURE CAPITAL	041	GT	B	75,000	17.61	n/a	n/a	3,000.00	4.0
BMO NESBITT BURNS	041	HOTT	S	7,000	7.07	n/a	n/a	350.00	5.0
f KAREN F LEDDY	FID	HOV	B	3500	2.90	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	HSM	B	5000	6.42	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	HWCC	B	90	15.99	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	IGD	B	2500	10.99	n/a	n/a	0.00	0.0
CASTLE ARK	031	INTC	B	775,250	23.55	n/a	n/a	38,762.50	5.0
RBC CAPITAL MARKETS	040	IPI	B	20,000	31.44	n/a	n/a	800.00	4.0
ARMATURE CAPITAL	041	ITW	SS	20,000	57.69	n/a	27-Apr	800.00	4.0
BALLAST CAP	040	JACK	S	20,000	19.70	n/a	n/a	800.00	4.0
f CHRISTOPHER ROBERT C	FID	JCI	B	200	39.74	n/a	26-Apr	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THE JAY GOLDMAN	028	JCP	S	152,733	37.90	n/a	2-May	6,109.32	4.0
f KAREN F LEDDY	FID	JNY	B	1000	13.21	n/a	28-Apr	0.00	0.0
SAC TRADING TOM LEDDY	035	JNY	B	50,000	13.24	n/a	28-Apr	1,750.00	3.5
SONICA CAPITAL LLC	025	JNY	B	56,100	13.29	n/a	28-Apr	1,683.00	3.0
WINSLOW CAPITAL	030	JPM	B	52,300	45.50	n/a	13-Apr	1,569.00	3.0
RBC CAPITAL MARKETS	040	KEX	B	10,000	54.66	n/a	n/a	400.00	4.0
BRONSON POINT	036	KMT	SS	20,000	39.72	n/a	29-Apr	600.00	3.0
B BUCK CAPITAL MGMT		KSS	B	75,000	51.89	n/a	2-May	non-brg	4.0
B BUCK CAPITAL MGMT		KSS	B	75,000	51.89	n/a	2-May	non-brg	4.0
THE JAY GOLDMAN	028	KSS	B	108,400	52.88	n/a	2-May	4,336.00	4.0
OSKIE CAPITAL MGMT	224	LEA	B	5,000	49.53	n/a	2-May	250.00	5.0
f DAVID GEORGE BUCK	FID	LGND	S	4000	10.87	n/a	n/a	0.00	0.0
TALPION LLC	040	LOW	S	5,000	25.94	n/a	25-Apr	200.00	4.0
GEORGE WEISS	034	LPLA	S	20,000	36.60	n/a	n/a	600.00	3.0
DAVIDSON KEMPNER ADVISOR	040	LPX	S	50,000	8.92	n/a	14-Apr	2,500.00	5.0
MA CAPITAL MGMT/MELLON ADV	041	LVS	B	3,000	43.09	n/a	4-May	150.00	5.0
ST OF MICHIAN	047	MDT	S	120,000	42.56	n/a	n/a	3,600.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	MHP	SS	18,720	40.89	n/a	n/a	561.60	3.0
KLEINHEINZ CAP PART	031	MMM	S	50,000	95.83	n/a	26-Apr	2,500.00	5.0
ß BUCK CAPITAL MGMT		MNRO	B	10,000	29.69	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		M	S	50,000	25.93	n/a	2-May	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	M	B	50,000	25.71	n/a	2-May	1,500.00	3.0
ß BUCK CAPITAL MGMT		NQ	B	10,000	11.50	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		NQ	S	10,000	8.84	n/a	n/a	non-brg	2.5
f WILLIAM J GAULT	FID	NXJ	B	2800	12.86	n/a	n/a	0.00	0.0
GRANAHAN INV	048	OII	S	20,400	79.17	n/a	n/a	816.00	4.0
ß BUCK CAPITAL MGMT		OZM	B	1,000	14.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	2,000	14.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	2,400	14.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	2,700	14.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	22,000	14.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	3,100	14.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		OZM	B	700	14.87	n/a	n/a	non-brg	4.0
COLUMBIA PARTNERS	028	PAG	SS	6,106	22.05	n/a	29-Apr	244.24	4.0
BARROW HANLEY MEWHINNEY	031	PDCO	S	22,800	35.00	n/a	n/a	798.00	3.5
LISTED ERR	099	PDCO	B	68	35.00	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	PKG	B	10,000	28.21	n/a	19-Apr	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		PLCE	S	10,000	52.66	n/a	9-Mar	non-brg	4.0
ROYCE TRADING	012	PLCE	B	15,000	52.03	n/a	9-Mar	750.00	5.0
B BUCK CAPITAL MGMT		RRR	B	25,000	12.38	n/a	26-Apr	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	RRR	B	400	12.39	n/a	26-Apr	0.00	0.0
BMO NESBITT BURNS	041	RUE	B	2,000	30.11	n/a	n/a	100.00	5.0
COHE & STEERS	041	RYN	B	182,407	65.13	n/a	27-Apr	7,296.28	4.0
f MATTHEW FRANCIS BUCK	FID	SBUX	S	400	36.47	n/a	28-Apr	0.00	0.0
BMO NESBITT BURNS	041	SCVL	B	3,000	26.24	n/a	n/a	150.00	5.0
WEISS PECK	040	SDS	S	19,900	20.23	n/a	n/a	597.00	3.0
B BUCK CAPITAL MGMT		SFLY	B	15,000	55.18	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SFLY	B	15,000	55.35	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	SGI	S	30	5.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SIG	B	20,000	40.70	n/a	n/a	non-brg	4.0
f HARALAMBOS EFSTATHI	FID	SMRT	B	400	9.86	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	SMRT	B	1500	9.94	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SPY	B	2000	133.11	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SSI	C	40,000	17.61	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	SYK	B	1500	59.72	n/a	n/a	0.00	0.0
SAC CHICAGO ANDREW	031	SYX	B	21,600	28.81	n/a	n/a	756.00	3.5
DELTEC ASSET MGMT.	040	TEN	B	10,000	42.85	n/a	n/a	500.00	5.0
f JONATHAN RICHARD HAR	FID	TEX	B	50	31.59	n/a	25-Apr	0.00	0.0
SAC CHICAGO ANDREW	031	TGT	S	100,000	50.62	n/a	5-May	3,500.00	3.5
B BUCK CAPITAL MGMT		TIF	B	25,000	68.68	n/a	n/a	non-brg	4.0
NEUBERGER BERMAN	036	TISI	S	34,371	21.98	n/a	n/a	1,374.84	4.0
SCOPUS FUND	041	TRW	SS	25,100	55.39	n/a	n/a	1,004.00	4.0
B BUCK CAPITAL MGMT		UAL	S	30,000	25.34	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		UAL	S	30,000	25.76	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	USG	B	30,000	15.02	n/a	20-Apr	1,500.00	5.0
BNP PARIBAS SEC	040	VFC	S	20,000	100.59	n/a	2-May	1,000.00	5.0
CYRUS CAPITAL	025	VRX	B	3,007	49.19	n/a	n/a	120.28	4.0
WEISS PECK	040	VXX	S	16,200	24.72	n/a	n/a	486.00	3.0
f DAVID GEORGE BUCK	FID	V	B	1500	78.52	n/a	13-Apr	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	V	B	100	78.65	n/a	13-Apr	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MATRIX ASSET ADV.	091	WAG	S	2,500	42.59	n/a	n/a	100.00	4.0
TRUSTCO/SUNTRUST	040	WEN	B	124,300	4.91	n/a	4-May	4,350.52	3.5
f JOSEPH CIRO AMATURO	FID	WIN	B	2500	12.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WNC	B	50,000	10.21	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	WRC	S	27,000	62.45	n/a	25-Apr	1,080.00	4.0
KLEINHEINZ CAP PART	031	XOM	B	50,000	83.15	n/a	n/a	2,500.00	5.0
BURL CAPITAL LLC	029	ZBRA	B	5,000	41.64	n/a	n/a	200.00	4.0
TOTAL (Trade Date) :								150,943.60	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/04	LISTED ERR	097	BBT	S	10	26.75	n/a	n/a	0.00 0.0
AO,CRT	05/04	SCOPIA CAPITAL	048	BBT	SS	57,846	26.75	n/a	n/a	2313.84 4.0
AO,CXL	05/04	SCOPIA CAPITAL	048	BBT	SS	57,856	26.75	n/a	n/a	2314.24 4.0
AO,CRT	05/04	ACK ASSET	028	CP	SS	18,000	65.37	n/a	n/a	540.00 3.0
AO,CXL	05/04	ACK ASSET	028	CP	SS	18,000	65.37	n/a	n/a	540.00 3.0
AO,CRT	05/02	STATE TEACHERS RET SYS	047	OFC	S	25,000	34.91	n/a	n/a	1250.00 5.0
AO,CXL	05/02	STATE TEACHERS RET SYS	047	OFC	S	25,000	34.91	n/a	n/a	750.00 3.0
TOTAL (AO, CXL, CRT) :										499.60

TOTAL (Trade Date) :	150,943.60
TOTAL (AO, CXL, CRT) :	499.60

TOTAL (RUN DATE) / NFS :	151,443.20
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 5-May.
NONE

MRI Securities without Trade Activity on 5-May.
PCP, ARG

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 2%
YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)