



Daily Compliance Activity Report

Trade Date: 03/23/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLENNIUM-ICS-SAYAN GHOSH028	ACI		SS	86,500	11.71	n/a	n/a	3,460.00	4.0
B BUCK CAPITAL MGMT		AEO	B	29,700	16.82	n/a	2-Dec	non-brg	4.0
f DAVID GEORGE BUCK	FID	AMRN	S	25	0.30	n/a	n/a	0.00	0.0
MILLENNIUM-ICS-SAYAN GHOSH028	ANR		B	62,500	16.15	n/a	n/a	2,500.00	4.0
NOKOMIS PARTNERS	031	AOL	B	8,396	17.52	n/a	n/a	251.88	3.0
f BARBARA S LEDDY	FID	ARCO	B	300	18.30	n/a	6-Mar	0.00	0.0
f HARALAMBOS EFSTATHI	FID	ARCO	B	500	18.31	n/a	6-Mar	0.00	0.0
f JOSEPH CIRO AMATURO	FID	ARCO	B	2000	18.29	n/a	6-Mar	0.00	0.0
f KAREN F LEDDY	FID	ARCO	B	800	18.30	n/a	6-Mar	0.00	0.0
f SEAN F LEDDY	FID	ARCO	B	200	18.29	n/a	6-Mar	0.00	0.0
B BUCK CAPITAL MGMT		ARO	B	20,000	20.59	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		ARO	B	25,000	20.40	n/a	n/a	non-brg	4.0
CATAPULT	040	ARO	S	755	20.69	n/a	n/a	30.20	4.0
B BUCK CAPITAL MGMT		BBBY	S	15,200	66.00	n/a	n/a	non-brg	4.0
TUDOR INVESTORS	028	BGC	B	10,000	28.55	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		BODY	B	1,000	26.75	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	BRKB	S	5,000	81.30	n/a	n/a	200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		BYD	B	30,000	7.86	n/a	n/a	non-brg	3.0
CATAPULT	040	CBS	S	175	31.60	n/a	n/a	7.00	4.0
MILLENNIUM-ICS-SAYAN	GHOSH028	CLF	B	25,700	70.59	n/a	n/a	1,028.00	4.0
B BUCK CAPITAL MGMT		COH	B	20,000	77.14	n/a	2-Mar	non-brg	4.0
f JESSICA PERNO	FID	CVGI	B	50	11.86	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CZR	S	38,022	12.49	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	B	7,500	65.00	n/a	n/a	non-brg	4.0
f HARALAMBOS EFSTATHI	FID	DECK	S	200	66.09	n/a	n/a	0.00	0.0
CATAPULT	040	DIS	B	2,278	43.07	n/a	n/a	91.12	4.0
B BUCK CAPITAL MGMT		DKS	S	20,000	49.20	n/a	7-Mar	non-brg	4.0
CATAPULT	040	DKS	S	949	48.71	n/a	7-Mar	37.96	4.0
MERRILL ASSET/BLACKROCK	297	DRE	S	32,000	14.22	n/a	n/a	1,280.00	4.0
f JESSICA PERNO	FID	ESSX	B	150	4.11	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ESV	B	10,000	54.21	n/a	n/a	non-brg	4.0
CATAPULT	040	ETH	B	2,270	25.36	n/a	n/a	90.80	4.0
B BUCK CAPITAL MGMT		FBHS	B	20,000	21.25	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TUDOR INVESTORS	028	FCX	B	5,000	38.44	n/a	n/a	150.00	3.0
MERRILL ASSET/BLACKROCK	297	FX	S	6,759	14.63	n/a	n/a	270.36	4.0
B BUCK CAPITAL MGMT		GCO	B	17,300	72.76	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	GFI	B	20,000	13.87	n/a	n/a	600.00	3.0
PROSPECTOR PARTNERS LLC	036	GFI	S	10,000	13.87	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		GIII	B	20,000	28.17	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	B	19,000	27.04	n/a	9-Feb	non-brg	4.0
UNITED STATES PENSION	085	GOOG	B	39,000	645.72	n/a	n/a	1,950.00	5.0
B BUCK CAPITAL MGMT		GWW	C	2,400	212.04	n/a	23-Mar	non-brg	4.0
CATAPULT	040	HBI	B	2,483	29.13	n/a	16-Feb	99.32	4.0
RBC CAPITAL MARKETS	040	HD	B	10,000	49.35	n/a	21-Feb	400.00	4.0
f EDWARD W WHEELER	FID	HEK	B	5000	4.46	n/a	n/a	0.00	0.0
CATAPULT	040	HOG	B	943	48.39	n/a	n/a	37.72	4.0
TOCQUEVILLE	035	HSC	B	50,000	22.73	n/a	23-Mar	2,500.00	5.0
LATEFF MGMT	035	INTC	S	1,825	27.88	n/a	n/a	182.50	10.0
MOORE CAPITAL	041	ITB	S	35,000	14.65	n/a	n/a	1,050.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TUDOR INVESTORS	028	JJC	SS	5,000	48.58	n/a	n/a	150.00	3.0
B BUCK CAPITAL MGMT		JNY	B	45,000	11.13	n/a	9-Feb	non-brg	4.0
SIMMONS VENTURES	041	JNY	B	15,000	11.06	n/a	9-Feb	600.00	4.0
f DAVID GEORGE BUCK	FID	JOY	S	10	0.56	n/a	13-Mar	0.00	0.0
JAT CAPITAL MANAGEMENT	041	KBH	B	330,000	10.25	n/a	n/a	13,200.00	4.0
CATAPULT	040	KCG	SS	15,000	13.35	n/a	n/a	600.00	4.0
f HARALAMBOS EFSTATHI	FID	KORS	B	300	47.52	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	KRE	B	500	28.21	n/a	n/a	25.00	5.0
CATAPULT	040	LEG	B	6,015	22.39	n/a	n/a	240.60	4.0
TUDOR INVESTORS	028	LEN	B	5,000	25.53	n/a	n/a	150.00	3.0
KACELA CAPITAL	034	LOW	S	24,305	30.20	n/a	28-Feb	972.20	4.0
DSG CAPITAL	030	LPX	B	30,000	9.43	n/a	7-Feb	900.00	3.0
CATAPULT	040	MAN	B	1,713	45.49	n/a	n/a	68.52	4.0
B BUCK CAPITAL MGMT		MGM	B	250,000	14.21	n/a	13-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		MGM	B	250,000	14.21	n/a	13-Jun	non-brg	4.0
f JASON ERIC COHEN	FID	MTH	B	175	26.49	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		MWV	B	16,400	30.57	n/a	21-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		NKE	S	46,182	107.25	n/a	23-Mar	non-brg	4.0
BALYASNY ASSET MGMT	044	OI	SS	10,000	23.42	n/a	n/a	500.00	5.0
ROSEDALE CAPITAL	046	PBCT	S	20,000	13.24	n/a	28-Feb	800.00	4.0
PATTEN & PATTEN	025	PEP	S	1,500	65.71	n/a	n/a	75.00	5.0
STANDARD PACIFIC CAPITAL	084	PHM	B	150,000	8.69	n/a	n/a	6,000.00	4.0
⌘ BUCK CAPITAL MGMT		PLCE	B	10,000	50.55	n/a	7-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PLCE	S	20,000	50.52	n/a	7-Mar	non-brg	4.0
ACK ASSET	028	PNK	S	100,000	10.36	n/a	n/a	3,000.00	3.0
ASCEND PARTNERS	033	PVH	S	15,000	89.31	n/a	29-Feb	450.00	3.0
CATAPULT	040	RDEN	B	1,163	35.47	n/a	20-Mar	46.52	4.0
CATAPULT	040	RF	B	5,000	6.42	n/a	n/a	200.00	4.0
CATAPULT	040	RGC	B	571	14.08	n/a	n/a	22.84	4.0
ARMATURE CAPITAL	041	RKT	SS	45,000	67.48	n/a	19-Mar	1,800.00	4.0
CATAPULT	040	SPLS	B	1,162	16.55	n/a	n/a	46.48	4.0
GEORGE WEISS	034	STMP	SS	10,900	27.85	n/a	n/a	327.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLENNIUM-ICS-SAYAN	GHOSH028	SXC	SS	36,400	14.02	n/a	n/a	1,456.00	4.0
B BUCK CAPITAL MGMT		SYX	SS	50,000	29.65	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TFM	C	22,500	47.16	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	TSCO	B	250	86.36	n/a	n/a	12.50	5.0
CAERUS GLOBAL	041	URBN	B	12,400	28.68	n/a	n/a	496.00	4.0
PATTEN & PATTEN	025	UTX	S	421	82.07	n/a	n/a	21.05	5.0
BRONSON POINT	036	VAC	B	11,000	27.53	n/a	n/a	330.00	3.0
B BUCK CAPITAL MGMT		VRA	S	70,000	30.90	n/a	n/a	non-brg	4.0
CATAPULT	040	VSI	B	2,254	43.46	n/a	n/a	90.16	4.0
MILLENNIUM-ICS-SAYAN	GHOSH028	WLT	B	23,800	62.85	n/a	n/a	952.00	4.0
PROSPECTOR PARTNERS LLC	036	WMT	S	5,000	60.72	n/a	22-Feb	200.00	4.0
RIDGECREST INV MGMT LLC	028	WRC	B	5,000	57.18	n/a	23-Mar	250.00	5.0
TRELLUS PARTNERS LP	028	WRC	C	10,400	57.22	n/a	23-Mar	312.00	3.0
B BUCK CAPITAL MGMT		WY	B	23,200	21.66	n/a	3-Feb	non-brg	4.0
PATTEN & PATTEN	025	XOM	S	500	85.90	n/a	n/a	25.00	5.0
f HARALAMBOS EFSTATHI	FID	ZNGA	B	300	13.34	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

51,135.73

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									51,135.73
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									51,135.73

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 23-Mar.
NONE

MRI Securities without Trade Activity on 23-Mar.
AOS, ARG, MON, SHW, TYC

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)