



# Daily Compliance Activity Report

Trade Date: 07/21/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSIMER TRUST	085	AAPL	B	100	387.88	n/a	n/a	4.00	4.0
MACQUARIE INVESTMENT MGMT.	040	AAP	B	3,000	55.14	n/a	n/a	0.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>ABFS</b>	<b>S</b>	<b>41,400</b>	<b>26.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BESSIMER TRUST	085	ABT	S	1,000	52.63	n/a	n/a	40.00	4.0
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>AB</b>	<b>B</b>	<b>450</b>	<b>18.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSIMER TRUST	085	ACE	B	730	65.38	n/a	n/a	29.20	4.0
BESSIMER TRUST	085	ACN	S	1,200	61.46	n/a	n/a	48.00	4.0
BESSIMER TRUST	085	ADM	B	1,640	32.32	n/a	15-Jul	65.60	4.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>ADM</b>	<b>B</b>	<b>9000</b>	<b>32.29</b>	<b>n/a</b>	<b>15-Jul</b>	<b>0.00</b>	<b>0.0</b>
BESSIMER TRUST	085	ADSK	S	1,250	35.52	n/a	n/a	50.00	4.0
HOLDEN CAPITAL MGMT	035	AF	B	10,000	12.24	n/a	21-Jul	400.00	4.0
BESSIMER TRUST	085	AMGN	S	466	55.54	n/a	n/a	18.64	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>S</b>	<b>25,000</b>	<b>28.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>S</b>	<b>45,000</b>	<b>27.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>AONE</b>	<b>S</b>	<b>1000</b>	<b>5.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAVITR CAPITAL	040	AONE	B	25,000	5.63	n/a	n/a	750.00	3.0
BESSIMER TRUST	085	APH	S	1,000	50.48	n/a	n/a	40.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>ATI</b>	<b>S</b>	<b>100</b>	<b>65.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	AXL	SS	30,000	11.75	n/a	14-Jul	1,500.00	5.0
BESSIMER TRUST	085	AXP	B	520	52.43	n/a	21-Jul	20.80	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>BBD</b>	<b>S</b>	<b>1500</b>	<b>19.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET/BLACKROCK	297	BBG	B	6,600	50.40	n/a	n/a	264.00	4.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BGU</b>	<b>S</b>	<b>2000</b>	<b>84.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ALANA J A MADIGAN-SI</b>	<b>FID</b>	<b>BGZ</b>	<b>B</b>	<b>600</b>	<b>32.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BRASADA	031	BHE	S	12,000	16.08	n/a	n/a	360.00	3.0
BESSIMER TRUST	085	BTU	S	1,200	61.00	n/a	n/a	48.00	4.0
PLATTE RIVER CAPITAL	240	BUD	S	15,750	58.20	n/a	29-Jun	630.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BWLD</b>	<b>C</b>	<b>10,000</b>	<b>68.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
1798 CAP/LOMBARD ASSET	040	CAT	SS	8,000	111.71	n/a	2-May	400.00	5.0
AVESTA CAP	229	CBI	S	50,000	41.27	n/a	n/a	1,500.00	3.0
BESSIMER TRUST	085	CFR	S	800	55.23	n/a	n/a	32.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>CIGX</b>	<b>B</b>	<b>1000</b>	<b>4.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	047	CLB	B	2,585	108.62	n/a	21-Jul	129.25	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CNW</b>	<b>S</b>	<b>40,000</b>	<b>39.36</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BESSIMER TRUST	085	COH	S	900	66.84	n/a	18-Jul	36.00	4.0
BESSIMER TRUST	085	COST	S	500	80.99	n/a	n/a	20.00	4.0
BESSIMER TRUST	085	CRR	S	250	174.75	n/a	11-Jan	10.00	4.0
BESSIMER TRUST	085	CSCO	S	2,038	15.87	n/a	n/a	81.52	4.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>CSCO</b>	<b>S</b>	<b>5000</b>	<b>16.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>125</b>	<b>14.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSIMER TRUST	085	C	S	618	39.68	n/a	18-Jul	24.72	4.0
SANDLER O'NEILL ASSET	031	C	S	35,000	40.27	n/a	18-Jul	1,750.00	5.0
BESSIMER TRUST	085	DCI	S	1,000	59.56	n/a	n/a	40.00	4.0
NEUBERGER BERMAN	036	DHR	S	17,100	51.91	n/a	n/a	684.00	4.0
BESSIMER TRUST	085	DIS	B	1,280	40.85	n/a	n/a	51.20	4.0
BESSIMER TRUST	085	DKS	S	1,500	39.31	n/a	18-May	60.00	4.0
SIGMA CAPITAL ASSOCIATES	041	DPZ	S	9,900	26.11	n/a	11-Jul	346.50	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSIMER TRUST	085	DVN	B	450	83.64	n/a	15-Jul	18.00	4.0
BESSIMER TRUST	085	DVN	S	110	83.93	n/a	15-Jul	4.40	4.0
BESSIMER TRUST	085	ECL	B	945	52.51	n/a	21-Jul	37.80	4.0
BRONSON POINT	036	ECL	S	7,500	51.90	n/a	21-Jul	225.00	3.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>EEM</b>	<b>B</b>	<b>1000</b>	<b>47.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>ELOS</b>	<b>B</b>	<b>4000</b>	<b>12.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSIMER TRUST	085	EL	S	600	106.49	n/a	20-Jun	24.00	4.0
BESSIMER TRUST	085	EMR	S	1,300	55.81	n/a	n/a	52.00	4.0
LATEFF MGMT	035	ENR	S	150	79.71	n/a	20-Jun	45.00	30.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>ESRX</b>	<b>S</b>	<b>1215</b>	<b>56.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ESV</b>	<b>B</b>	<b>20,000</b>	<b>52.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JOEL GIFFORD TISS</b>	<b>FID</b>	<b>FCX</b>	<b>B</b>	<b>1000</b>	<b>55.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>FIG</b>	<b>B</b>	<b>2800</b>	<b>4.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BNP PARIBAS SEC	040	FL	B	50,000	22.70	n/a	2-Jun	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>B</b>	<b>125,000</b>	<b>23.05</b>	<b>n/a</b>	<b>2-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>B</b>	<b>20,000</b>	<b>22.64</b>	<b>n/a</b>	<b>2-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>B</b>	<b>25,000</b>	<b>22.94</b>	<b>n/a</b>	<b>2-Jun</b>	<b>non-brg</b>	<b>2.0</b>
OZ MGMT	040	F	B	150,000	13.23	n/a	14-Jul	4,500.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TROUBH PART	070	F	B	50,000	13.31	n/a	14-Jul	2,500.00	5.0
BRASADA	031	GBX	S	8,000	22.44	n/a	n/a	240.00	3.0
TUDOR INVESTORS	028	GDI	S	5,000	86.26	n/a	n/a	150.00	3.0
AVESTA CAP	229	GE	B	500,000	19.17	n/a	n/a	15,000.00	3.0
BESSIMER TRUST	085	GE	B	2,500	19.25	n/a	n/a	100.00	4.0
BESSIMER TRUST	085	GE	S	2,315	19.17	n/a	n/a	92.60	4.0
BRONSON POINT	036	GE	S	25,000	19.00	n/a	n/a	750.00	3.0
COUGAR TRADING	044	GIL	B	10,000	33.33	n/a	18-Jul	500.00	5.0
MILLENNIUM-ICS BOBBY	044	GNTX	SS	10,000	29.57	n/a	14-Jul	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>50,000</b>	<b>30.76</b>	<b>n/a</b>	<b>21-Jul</b>	<b>non-brg</b>	<b>4.0</b>
ROTHSCHILD ASSET	040	HMA	S	83,730	10.39	n/a	n/a	3,349.20	4.0
TPG-AXON	040	HMA	B	115,000	10.39	n/a	n/a	4,600.00	4.0
BESSIMER TRUST	085	HON	B	1,070	58.32	n/a	7-Jul	42.80	4.0
BESSIMER TRUST	085	HPQ	S	300	36.28	n/a	n/a	12.00	4.0
BESSIMER TRUST	085	IBM	S	345	183.11	n/a	n/a	13.80	4.0
BESSIMER TRUST	085	IP	B	1,270	30.98	n/a	19-Jul	50.80	4.0
BESSIMER TRUST	085	IP	S	1,665	30.84	n/a	19-Jul	66.60	4.0
BESSIMER TRUST	085	IR	S	335	40.72	n/a	21-Jul	13.40	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		IR	B	30,000	41.60	n/a	21-Jul	non-brg	4.0
f	JOEL GIFFORD TISS	FID	IR	B	2000	41.45	n/a	21-Jul	0.00	0.0
f	JASON ERIC COHEN	FID	IWM	B	206	42.36	n/a	n/a	0.00	0.0
	NOKOMIS PARTNERS	031	IWM	B	15,000	84.01	n/a	n/a	450.00	3.0
	BESSIMER TRUST	085	JCI	B	580	39.99	n/a	20-Jul	23.20	4.0
	MA CAPITAL MGMT/MELLON ADV	041	JCI	B	4,000	40.06	n/a	20-Jul	200.00	5.0
	MFP INVESTORS	085	JNS	B	109,000	8.84	n/a	n/a	4,360.00	4.0
	BESSIMER TRUST	085	JPM	B	1,310	42.43	n/a	14-Jul	52.40	4.0
	BESSIMER TRUST	085	KFT	B	1,820	35.50	n/a	n/a	72.80	4.0
	KACELA CAPITAL	034	KLAC	B	18,000	43.07	n/a	n/a	720.00	4.0
	CYRUS CAPITAL	025	KND	S	16,000	21.28	n/a	n/a	480.00	3.0
	PIONEER PATH	028	KR	B	50,000	25.35	n/a	n/a	1,500.00	3.0
B	BUCK CAPITAL MGMT		KSS	S	30,000	57.14	n/a	8-Jul	non-brg	4.0
	BNP PARIBAS SEC	040	KS	B	40,000	16.83	n/a	n/a	2,000.00	5.0
	NEUBERGER BERMAN	036	LDR	S	600	60.98	n/a	n/a	24.00	4.0
	BESSIMER TRUST	085	LOW	S	1,500	22.87	n/a	13-Jun	60.00	4.0
B	BUCK CAPITAL MGMT		LTD	S	50,000	41.03	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	LXU	B	25,364	46.17	n/a	n/a	1,014.56	4.0
1798 CAP/LOMBARD ASSET	040	MAS	SS	40,000	11.64	n/a	n/a	2,000.00	5.0
BESSIMER TRUST	085	MA	B	100	310.30	n/a	11-Jul	4.00	4.0
BESSIMER TRUST	085	MET	B	945	42.08	n/a	n/a	37.80	4.0
MACQUARIE INVESTMENT MGMT.	040	MHK	SS	2,000	56.82	n/a	n/a	0.00	0.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MHS</b>	<b>B</b>	<b>1500</b>	<b>65.31</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SURVEYOR CAPITAL	041	MRK	B	25,000	36.41	n/a	n/a	750.00	3.0
BESSIMER TRUST	085	MSFT	B	1,625	27.19	n/a	n/a	65.00	4.0
BESSIMER TRUST	085	MSFT	S	765	27.17	n/a	n/a	30.60	4.0
BESSIMER TRUST	085	MS	B	1,880	24.31	n/a	21-Jul	75.20	4.0
BESSIMER TRUST	085	MS	S	150	24.31	n/a	21-Jul	6.00	4.0
TUDOR INVESTORS	028	MTW	S	10,000	16.81	n/a	3-May	300.00	3.0
BESSIMER TRUST	085	NDAQ	S	647	23.83	n/a	n/a	25.88	4.0
BESSIMER TRUST	085	NKE	S	600	90.90	n/a	1-Jul	24.00	4.0
BESSIMER TRUST	085	NOV	B	250	81.30	n/a	n/a	10.00	4.0
SCOPUS FUND	041	NYT	B	34,920	9.51	n/a	n/a	1,396.80	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LATEFF MGMT	035	OI	B	250	26.28	n/a	n/a	50.00	20.0
BNP PARIBAS SEC	040	OLN	S	30,000	22.99	n/a	n/a	1,500.00	5.0
SCOPUS FUND	041	OMX	SS	68,600	6.97	n/a	n/a	2,744.00	4.0
BESSIMER TRUST	085	ORCL	S	2,000	32.07	n/a	n/a	80.00	4.0
BESSIMER TRUST	085	ORLY	S	1,000	61.69	n/a	n/a	40.00	4.0
BESSIMER TRUST	085	OXY	B	190	107.73	n/a	n/a	7.60	4.0
BESSIMER TRUST	085	PCP	B	120	167.10	n/a	19-Jul	4.80	4.0
BESSIMER TRUST	085	PEP	S	1,000	66.40	n/a	n/a	40.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>B</b>	<b>20,000</b>	<b>25.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BESSIMER TRUST	085	PETM	S	1,500	44.85	n/a	n/a	60.00	4.0
SCOPUS FUND	041	PETM	S	8,000	45.32	n/a	n/a	320.00	4.0
BESSIMER TRUST	085	PFE	B	2,580	20.16	n/a	n/a	103.20	4.0
BESSIMER TRUST	085	PFE	S	2,150	20.15	n/a	n/a	86.00	4.0
BESSIMER TRUST	085	PG	B	430	64.60	n/a	20-Jun	17.20	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>PG</b>	<b>S</b>	<b>1500</b>	<b>64.69</b>	<b>n/a</b>	<b>20-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>B</b>	<b>20,000</b>	<b>49.37</b>	<b>n/a</b>	<b>8-Jun</b>	<b>non-brg</b>	<b>4.0</b>
BESSIMER TRUST	085	PM	S	300	71.47	n/a	n/a	12.00	4.0



	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	DAVID GEORGE BUCK	FID	PM	B	10	2.27	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	PM	S	10	1.60	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		PNK	S	50,000	15.16	n/a	n/a	non-brg	1.0
	MACQUARIE INVESTMENT MGMT.	040	PPG	B	20,000	90.98	n/a	21-Jul	0.00	0.0
	BESSIMER TRUST	085	PRU	S	1,268	61.57	n/a	n/a	50.72	4.0
	SIGMA CAPITAL ASSOCIATES	041	PSS	SS	50,000	13.36	n/a	n/a	1,750.00	3.5
	SCOPUS FUND	041	PVH	B	25,000	75.09	n/a	18-Jul	1,000.00	4.0
	BESSIMER TRUST	085	PX	S	700	106.50	n/a	15-Jul	28.00	4.0
	BESSIMER TRUST	085	QCOM	B	230	56.94	n/a	n/a	9.20	4.0
	BALLAST CAP	040	RGC	B	4,600	11.75	n/a	n/a	230.00	5.0
	SHEFFIELD ASSET MGMT	031	RKT	B	22,000	63.18	n/a	n/a	880.00	4.0
f	MARK DEVEREAUX KAICH	FID	RYL	B	1000	16.16	n/a	n/a	0.00	0.0
f	CHRISTOPHER ROBERT C	FID	RYN	S	100	66.47	n/a	19-Jul	0.00	0.0
B	BUCK CAPITAL MGMT		SFER IM	B	4,000	17.19	n/a	n/a	non-brg	2.4
B	BUCK CAPITAL MGMT		SFER IM	B	4,400	17.19	n/a	n/a	non-brg	2.4
f	JONATHAN RICHARD HAR	FID	SGI	B	125	15.67	n/a	n/a	0.00	0.0
	GREEN ARROW DOUG	040	SGMS	B	25,000	9.65	n/a	n/a	1,250.00	5.0

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BUCK CAPITAL MGMT	001	SIG	B	10,000	45.52	n/a	n/a	300.00	3.0
Ⓕ BUCK CAPITAL MGMT		SODA	B	10,000	68.29	n/a	n/a	non-brg	4.0
f ALANA J A MADIGAN-SI	FID	SOXL	S	475	40.93	n/a	n/a	0.00	0.0
BESSIMER TRUST	085	STJ	B	450	49.41	n/a	n/a	18.00	4.0
KACELA CAPITAL	034	STZ	B	44,000	21.48	n/a	n/a	1,760.00	4.0
BESSIMER TRUST	085	SWK	B	280	69.26	n/a	n/a	11.20	4.0
TUDOR INVESTORS	028	SWK	SS	3,000	69.60	n/a	n/a	90.00	3.0
BESSIMER TRUST	085	SWN	S	1,000	47.83	n/a	15-Jul	40.00	4.0
SIGMA CAPITAL ASSOCIATES	041	SWY	SS	25,000	21.55	n/a	n/a	875.00	3.5
Ⓕ BUCK CAPITAL MGMT		SXC	B	1,000	16.00	n/a	n/a	non-brg	0.0
Ⓕ BUCK CAPITAL MGMT		SXC	S	1,000	17.40	n/a	n/a	non-brg	2.5
BESSIMER TRUST	085	SYK	S	850	56.81	n/a	n/a	34.00	4.0
MERRILL ASSET/BLACKROCK	297	TESO	S	14,700	22.79	n/a	n/a	588.00	4.0
ENTRUST CAPITAL	040	TEX	B	45,300	24.75	n/a	25-Apr	2,265.00	5.0
TUDOR INVESTORS	028	TEX	B	3,000	25.57	n/a	25-Apr	90.00	3.0
BESSIMER TRUST	085	TGT	S	700	51.29	n/a	7-Jul	28.00	4.0
MACQUARIE INVESTMENT MGMT.	040	TJX	S	5,000	56.21	n/a	8-Jul	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRAMER ROSENTHAL/SCOTT	012	TNB	B	33,521	54.72	n/a	n/a	1,340.84	4.0
BESSIMER TRUST	085	TROW	S	1,000	58.29	n/a	n/a	40.00	4.0
<b>f GAJANTHAN BALAKANES</b>	<b>FID</b>	<b>TRST</b>	<b>B</b>	<b>100</b>	<b>4.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	TRV	S	125	57.35	n/a	n/a	37.50	30.0
ROTHSCHILD ASSET	040	TYL	B	19,275	26.52	n/a	n/a	771.00	4.0
<b>Õ Abram Chappel</b>		<b>TZA</b>	<b>S</b>	<b>100</b>	<b>32.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Abram Chappel</b>		<b>TZA</b>	<b>S</b>	<b>15</b>	<b>32.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>TZA</b>	<b>B</b>	<b>10000</b>	<b>32.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSIMER TRUST	085	T	S	1,000	30.41	n/a	n/a	40.00	4.0
LATEFF MGMT	035	UAL	B	200	20.44	n/a	n/a	40.00	20.0
BESSIMER TRUST	085	UNP	S	800	103.62	n/a	n/a	32.00	4.0
MACQUARIE INVESTMENT MGMT.	040	UNP	B	5,000	104.21	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	UNP	SS	5,000	103.00	n/a	n/a	150.00	3.0
1798 CAP/LOMBARD ASSET	040	UPS	B	10,000	74.43	n/a	n/a	500.00	5.0
BESSIMER TRUST	085	USB	S	1,900	26.41	n/a	n/a	76.00	4.0
SENATOR INVESTMENT GROUP	036	VAL	B	100,000	34.63	n/a	n/a	3,000.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>VECO</b>	<b>SS</b>	<b>30,000</b>	<b>40.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BNP PARIBAS SEC	040	VFC	S	10,000	121.12	n/a	18-Jul	500.00	5.0
BESSIMER TRUST	085	WAG	S	1,600	40.28	n/a	n/a	64.00	4.0
BESSIMER TRUST	085	WAT	S	750	96.52	n/a	n/a	30.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WCG</b>	<b>B</b>	<b>1000</b>	<b>53.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSIMER TRUST	085	WFC	S	1,625	28.92	n/a	20-Jul	65.00	4.0
BESSIMER TRUST	085	WHR	B	190	72.51	n/a	n/a	7.60	4.0
SCOPUS FUND	041	WRC	B	30,000	56.81	n/a	18-Jul	1,200.00	4.0
BESSIMER TRUST	085	WSM	S	300	38.49	n/a	n/a	12.00	4.0
NEUBERGER BERMAN	036	WST	B	11,600	46.21	n/a	n/a	464.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>XLFX</b>	<b>C</b>	<b>660,000</b>	<b>15.19</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>XPO</b>	<b>B</b>	<b>5000</b>	<b>4.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date) :</b>								<b>85,034.93</b>	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									85,034.93
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									85,034.93

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 21-Jul.  
NONE

MRI Securities without Trade Activity on 21-Jul.  
SLM, SMG, CAKE, HSP

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 1%  
YTD = 3%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**