



Daily Compliance Activity Report

Trade Date: 04/07/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DEMARTINI	065	ABG	S	1,000	14.91	25-Jan	7-Apr	0.00	0.0
B BUCK CAPITAL MGMT		AEO	S	8,800	17.67	6-Mar	7-Apr	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	AMG	S	9,100	99.74	7-Apr	7-Apr	364.00	4.0
CAPITAL GROWTH MGMT.	241	AMX	S	50,000	64.80	n/a	n/a	2,500.00	5.0
B BUCK CAPITAL MGMT		ANF	S	2,000	74.82	10-Jan	7-Apr	non-brg	4.0
COUGAR TRADING	044	ANF	B	5,000	75.30	10-Jan	7-Apr	250.00	5.0
MAGUIRE	090	ANR	S	2,500	50.00	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	ARGN	B	10,000	13.07	n/a	7-Apr	500.00	5.0
LEDDY	035	ARGN	B	700	14.21	n/a	7-Apr	0.00	0.0
B BUCK CAPITAL MGMT		ARO	S	3,000	26.30	29-Nov	7-Apr	non-brg	4.0
ENTRUST CAPITAL	040	ARO	B	101,200	26.28	29-Nov	7-Apr	5,060.00	5.0
LUCHANSKY1	036	ATDPF	B	20,000	0.12	n/a	n/a	0.00	0.0
LUCHANSKY	036	AXE	B	100	66.91	28-Mar	4-Apr	0.00	0.0
KNOTT PARTNERS	044	BBI	S	94,800	3.49	n/a	n/a	1,896.00	2.0
LISTED ERR	099	BBI	B	927	3.46	n/a	n/a	0.00	0.0
LISTED ERR	099	BBI	S	7,700	3.49	n/a	n/a	0.00	0.0
T. ROWE PRICE	033	BEN	S	131,600	102.27	24-Mar	2-Apr	5,264.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRELLUS PARTNERS LP	028	BIG	SS	8,400	22.98	6-Mar	3-Apr	420.00	5.0
SYSTEMATIC FINANCIAL MGT	035	BKH	B	12,000	37.73	n/a	n/a	450.00	3.8
HIGHBRIDGE CAPITAL	038	BNI	B	25,000	95.76	n/a	n/a	1,250.00	5.0
NORTHPOINT	030	CCOI	B	125,300	21.31	n/a	n/a	5,012.00	4.0
B BUCK CAPITAL MGMT		CC	C	100,000	4.87	n/a	27-Jul	non-brg	3.0
PILOT ROCK	028	CELG	S	25,000	63.45	n/a	n/a	1,000.00	4.0
SANDLER O'NEILL ASSET	031	CLMS	B	1,500	17.56	13-Feb	2-Apr	75.00	5.0
LEVIN CAPITAL STRATEGIES	012	COF	C	15,000	52.97	n/a	n/a	600.00	4.0
WAGONHOUND	048	COH	B	1,540	32.80	4-Apr	4-Apr	61.60	4.0
B BUCK CAPITAL MGMT		DDS+TW	SS	3,000	1.42	n/a	n/a	non-brg	300.0
GRUSS CAPITAL	045	DEI	B	5,000	23.15	n/a	n/a	200.00	4.0
PRINCIPLE CAPITAL	040	DKS	B	72,000	26.19	n/a	14-Mar	2,160.00	3.0
B BUCK CAPITAL MGMT		DSW	S	3,000	13.45	28-Mar	28-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		DSW	S	40,000	13.36	28-Mar	28-Mar	non-brg	4.0
BARON ASSET MGMT*	012	EV	B	20,000	33.30	9-Jan	2-Apr	1,000.00	5.0
B BUCK CAPITAL MGMT		FLDR	C	19,400	6.48	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DSG CAPITAL	225	FOR	B	1,105	24.00	n/a	n/a	55.25	5.0
GRUSS CAPITAL	045	FOR	B	5,000	24.09	n/a	n/a	200.00	4.0
GLICK	059	FOR	S	66	24.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOSL	B	10,000	32.68	13-Nov	10-Mar	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	237	GES	SS	25,000	41.34	n/a	n/a	1,250.00	5.0
LUCHANSKY	036	GE	B	200	37.68	n/a	n/a	0.00	0.0
GLICK	059	GFG	S	66	12.15	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GIL	B	10,000	36.72	22-Jan	10-Mar	non-brg	4.0
DSG CAPITAL	225	GLW	S	1,700	25.91	n/a	27-Mar	85.00	5.0
GAULT	063	GMO	S	2,000	9.36	n/a	n/a	0.00	0.0
DEMARTINI	065	GMO	S	1,000	9.31	n/a	n/a	0.00	0.0
WOOSTER CAPITAL	035	GM	B	100,000	20.93	3-Jan	7-Apr	5,000.00	5.0
WOOSTER CAPITAL	035	GM	SS	100,000	21.50	3-Jan	7-Apr	5,000.00	5.0
BALYASNY ASSET MGMT	044	GNTX	SS	15,000	17.86	7-Apr	7-Apr	750.00	5.0
WHEELER	008	GOODYEAR TIRE & RUBR	S	100,000	102.50		n/a	n/a	0.00 0.0
MATRIX ASSET ADV.	036	GPS	S	5,000	19.35	n/a	7-Apr	150.00	3.0
SIGMA CAPITAL ASSOCIATES	237	GPS	S	15,000	19.21	n/a	7-Apr	750.00	5.0
AELTUS/ING INVESTMENT MGT	044	HON	B	300,000	58.28	n/a	28-Mar	12,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATTANI	041	ITT	B	200	56.20	n/a	28-Mar	0.00	0.0
ß BUCK CAPITAL MGMT		JAH	S	2,000	23.57	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	JCG	S	3,000	44.59	28-Nov	12-Mar	120.00	4.0
CATTANI	041	JNS	B	200	26.01	24-Mar	4-Apr	0.00	0.0
EMP ERROR	099	JNS	S	300	25.79	24-Mar	4-Apr	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	KR	B	10,000	24.91	n/a	n/a	500.00	5.0
BRANDWINE	028	LIZ	S	26,900	19.09	n/a	17-Mar	1,076.00	4.0
MCCARTAN	028	LPX	S	400	10.89	7-Apr	7-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	LTD	S	10,000	17.89	n/a	7-Apr	400.00	4.0
ß BUCK CAPITAL MGMT		LTD	S	100,000	17.86	n/a	7-Apr	non-brg	4.0
CHILTON CAPITAL MGMT.	036	LVS	C	100,000	81.10	n/a	n/a	4,000.00	4.0
BRANDWINE	028	MAS	S	10,500	19.80	n/a	n/a	420.00	4.0
WELLS/STRONG LEDDY	035	MFB	S	2,100	16.85	n/a	n/a	84.00	4.0
CSFB GLOBAL PROPRIETARY	041	MWV	SS	15,000	28.81	7-Apr	7-Apr	750.00	5.0
BARROW HANLEY MEWHINNEY	031	MW	B	116,500	24.66	n/a	n/a	4,660.00	4.0
VENTANA CAPITAL	060	MW	S	7,500	24.95	n/a	n/a	300.00	4.0
PILOT ADV	038	M	S	20,000	23.89	7-Feb	7-Apr	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	034	M	S	30,000	24.03	7-Feb	7-Apr	1,200.00	4.0
CATTANI	041	NPRTX	B	160	31.28	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	NSC	B	36,000	57.43	n/a	n/a	1,800.00	5.0
CATTANI	041	PAFDX	B	193	25.94	n/a	n/a	0.00	0.0
DEMARTINI	065	PAG	S	1,000	20.64	6-Feb	7-Apr	0.00	0.0
CATAPULT	040	PBCT	S	5,000	18.09	n/a	n/a	250.00	5.0
XYLEM GLOBAL	091	PCL	B	15,000	41.08	8-Jan	30-Jan	750.00	5.0
ß BUCK CAPITAL MGMT		PLCE	S	5,000	23.70	24-Mar	7-Apr	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	PMTC	B	24,200	15.98	n/a	n/a	726.00	3.0
EPOCH INV MGMT	030	PM	B	3,800	51.30	n/a	n/a	152.00	4.0
ß BUCK CAPITAL MGMT		PSUN	SS	70,000	12.55	n/a	7-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		PSUN	S	47,800	12.93	n/a	7-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		QYS+DF	C	240	1.80	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		RMS FP	SS	10,000	129.96	n/a	n/a	non-brg	26.0
ß BUCK CAPITAL MGMT		RSH	C	150,000	16.63	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RSH	C	50,000	16.24	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RSH	C	50,000	16.46	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		RSH	C	61,100	16.73	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	RVI	B	15,000	4.40	n/a	n/a	300.00	2.0
XYLEM GLOBAL	091	RYN	B	10,000	44.45	8-Jan	25-Jan	500.00	5.0
BUCK CAPITAL MGMT		SHLD	SS	10,000	104.08	n/a	n/a	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	237	SKS	B	70,000	13.12	n/a	n/a	3,500.00	5.0
BUCK CAPITAL MGMT		SKX	B	20,000	17.59	n/a	13-Mar	non-brg	4.0
BUCK CAPITAL MGMT		SOAP	C	19,630	7.13	n/a	n/a	non-brg	1.0
GLICK	059	TIN	S	200	14.16	19-Mar	7-Apr	0.00	0.0
BUCK CAPITAL MGMT		TJX+GG	SS	2,000	1.35	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		TJX	S	2,000	32.13	n/a	7-Apr	non-brg	4.0
COUGAR TRADING	044	TJX	B	10,000	32.54	n/a	7-Apr	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TJX	B	20,000	32.40	n/a	7-Apr	1,000.00	5.0
BUCK CAPITAL MGMT	001	TLB	SS	10,000	13.44	2-Apr	2-Apr	400.00	4.0
EPOCH INV MGMT	030	TMO	B	1,100	58.10	n/a	n/a	44.00	4.0
BUCK CAPITAL MGMT		TQQ+QH	SS	1,000	1.15	n/a	n/a	non-brg	300.0
LEHMAN PROPRIETARY TRAD	041	TSFG	SS	37,500	12.39	n/a	n/a	1,500.00	4.0
MATRIX ASSET ADV.	036	TYC	S	5,175	45.95	n/a	28-Mar	207.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓐ BUCK CAPITAL MGMT		URBN	B	10,000	31.86	3-Apr	3-Apr	non-brg	4.0
VISIUM/BALY HOWARD	038	USTR	SS	10,000	48.74	n/a	27-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	VFC	B	10,000	77.48	n/a	n/a	400.00	4.0
Ⓐ BUCK CAPITAL MGMT		VIRL	S	25,000	6.05	n/a	n/a	non-brg	1.0
EPOCH INV MGMT	030	V	B	1,950	65.02	n/a	n/a	78.00	4.0
GRANAHAN INV	039	WBC	B	300	46.00	28-Mar	28-Mar	12.00	4.0
EPOCH INV MGMT	030	WMI	S	9,300	34.89	n/a	n/a	372.00	4.0
SIGMA CAPITAL ASSOCIATES	237	WMT	S	25,000	54.51	n/a	7-Mar	1,250.00	5.0
JP MORGAN VENTURES	046	WRNC	SS	15,000	44.30	3-Apr	3-Apr	750.00	5.0
GRUSS CAPITAL	045	WY	B	5,000	65.72	7-Apr	7-Apr	200.00	4.0
LEDDY	035	XOHO	S	5,000	1.26	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		ZJQ+ED	SS	156	1.40	n/a	n/a	non-brg	300.0
CAXTON PART	040	ZNT	S	20,000	39.47	n/a	n/a	700.02	3.5
Ⓐ BUCK CAPITAL MGMT		ZQK	S	5,000	10.36	5-Feb	7-Mar	non-brg	4.0

TOTAL (Trade Date):

83,503.87

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	04/04	CATTANI	041	JNS	B	300	25.81	24-Mar	4-Apr	0.00	0.0
AO,CRT	04/04	EMP ERROR	099	JNS	B	300	25.81	24-Mar	4-Apr	0.00	0.0
AO,CRT	04/04	BUCK CAPITAL MGMT	001	RVI	S	10,000	4.65	n/a	n/a	300.00	3.0
AO,CXL	04/04	BUCK CAPITAL MGMT	001	RVI	S	10,000	4.65	n/a	n/a	400.00	4.0
AO,CRT	04/03	JCK PARTNERS	067	ZLC	SS	50,000	19.03	n/a	n/a	2500.00	5.0
AO,CXL	04/03	JCK PARTNERS	067	ZLC	SS	50,000	19.03	n/a	n/a	2000.00	4.0

TOTAL (AO, CXL, CRT) :**400.00**

TOTAL (Trade Date):

83,503.87

TOTAL (AO, CXL, CRT):

400.00

TOTAL (RUN DATE) / NFS:**83,903.87****MRI Securities with Trade Activity on 7-Apr.****AMG, GNTX, LPX, MWV, WY****MRI Securities without Trade Activity on 7-Apr.****ACL, FII, NP, PKG, SIAL, SSCC**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 2%

YTD = 23%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION