



Daily Compliance Activity Report

Trade Date: 03/27/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALLEON MGMT LP	033	AA	B	10,000	34.13	n/a	n/a	500.00	5.0
EATON VANCE MGMT	039	ABT	B	168,644	56.54	n/a	22-Apr	6,745.76	4.0
MAZAMA CAPITAL MGMT.	035	AIQ	B	11,200	8.15	n/a	n/a	448.00	4.0
LATEFF MGMT	040	AOS	B	4,500	38.65	21-Dec	8-Feb	675.00	15.0
B BUCK CAPITAL MGMT		ARUN	S	5,000	14.00	n/a	n/a	non-brg	5.0
BARR	096	AVNX	S	4,900	1.83	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AXL	SS	20,000	28.00	n/a	n/a	non-brg	5.0
J.W. SELIGMAN	032	BAX	S	10,000	53.27	n/a	22-Apr	400.00	4.0
PRIME CAPITAL	048	BBBY	B	18,500	40.12	n/a	n/a	925.00	5.0
BUCK CAPITAL MGMT	001	BBW	S	25,000	27.50	19-Mar	19-Mar	1,000.00	4.0
VARDON PARTNERS	035	BECN	B	25,000	16.32	n/a	n/a	1,250.00	5.0
BRIGHTPOINT CAP	067	BIG	SS	10,000	32.15	9-Mar	27-Mar	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	BIG	SS	5,000	32.16	9-Mar	27-Mar	200.00	4.0
J.W. SELIGMAN	032	BJS	B	50,900	28.08	n/a	n/a	2,036.00	4.0
THE JAY GOLDMAN	034	BKE	B	10,000	35.42	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REICH AND TANG	037	BKR	B	4,000	23.97	n/a	n/a	200.00	5.0
B BUCK CAPITAL MGMT		BONT	S	20,000	55.51	9-Mar	16-Mar	non-brg	5.0
BUCK CAPITAL MGMT	001	CBK	B	10,000	18.92	11-Dec	9-Mar	400.00	4.0
KAICHER	064	CBLI	S	1,000	9.14	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	CEM	S	13,500	11.20	n/a	n/a	405.00	3.0
PRIME LOGIC ADV	039	CENT	B	40,000	14.87	8-Feb	8-Feb	2,000.00	5.0
BRIGHTPOINT CAP	067	CHS	B	10,000	24.93	5-Jan	27-Mar	500.00	5.0
COUGAR TRADING	044	CHS	B	10,000	24.98	5-Jan	27-Mar	500.00	5.0
GALLEON MGMT LP	033	CHS	S	25,000	24.91	5-Jan	27-Mar	1,250.00	5.0
GLG PARTNERS	034	CHS	B	150,000	24.87	5-Jan	27-Mar	7,500.00	5.0
SAC TRADING HOWARD	038	CHS	SS	10,000	25.02	5-Jan	27-Mar	500.00	5.0
VENTANA CAPITAL	060	CHS	B	5,000	24.65	5-Jan	27-Mar	200.00	4.0
WAGONHOUND	048	CHS	S	10,000	24.93	5-Jan	27-Mar	400.00	4.0
EGS ASSOCIATES LP	091	CLE	S	6,000	32.13	n/a	21-Mar	360.00	6.0
MERRILL ASSET	032	CNB	S	10,900	25.30	n/a	n/a	545.00	5.0
PRIME CAPITAL	048	COF	B	5,000	76.89	n/a	n/a	250.00	5.0
STRATIX ASSEST MGMT	035	CSCO	S	225,000	25.95	n/a	22-Mar	3,937.50	0.0
J.W. SELIGMAN	032	CSX	S	10,000	39.32	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TILLDALE MGMT.	048	CVS	B	10,000	34.59	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		DBRN	B	20,000	21.03	n/a	n/a	non-brg	5.0
J.W. SELIGMAN	032	DD	S	10,000	50.28	n/a	2-Mar	400.00	4.0
BUCK CAPITAL MGMT	001	DKS	B	15,000	58.28	n/a	n/a	600.00	4.0
J.W. SELIGMAN	032	DOX	B	30,000	36.34	n/a	n/a	1,200.00	4.0
B BUCK CAPITAL MGMT		EGLT	C	10,000	16.60	n/a	n/a	non-brg	5.0
REICH AND TANG	037	ELRC	B	18,000	14.87	n/a	n/a	900.00	5.0
Õ Glenn Redemann		GDP	B	2	0.85	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GDP	B	2	1.30	n/a	n/a	0.00	0.0
REICH AND TANG	037	GFF	B	10,000	25.00	n/a	n/a	500.00	5.0
WHITE PINE CAPITAL	030	GGG	S	300	39.59	n/a	n/a	15.00	5.0
JP MORGAN VENTURES	046	GME	C	4,200	31.05	n/a	n/a	210.00	5.0
Õ Glenn Redemann		HAR	B	2	1.11	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HAR	B	2	1.74	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		HLYS	B	15,000	29.99	n/a	n/a	non-brg	5.0
CHARTER OAK	037	IDIX	B	50,000	7.33	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DAVIDSON KEMPNER ADVISOR	217	IDU	B	36,000	97.38	n/a	n/a	1,800.00	5.0
WHITE PINE CAPITAL	030	IFF	S	175	47.57	30-Jan	22-Mar	8.75	5.0
REICH AND TANG	037	INSU	B	5,000	25.12	n/a	n/a	250.00	5.0
RIVER RUN MGMT	031	ITCD	B	17,000	3.39	n/a	n/a	510.00	3.0
B BUCK CAPITAL MGMT		JCP	B	5,000	81.98	23-Feb	5-Mar	non-brg	5.0
B BUCK CAPITAL MGMT		JOSB	B	15,000	34.99	n/a	n/a	non-brg	5.0
AVESTA CAP	229	JPM	B	25,000	48.65	n/a	13-Mar	1,250.00	5.0
BUCK CAPITAL MGMT	001	KCP	B	5,000	26.37	n/a	28-Feb	200.00	4.0
WEISS PECK	040	KEGS	B	50,000	16.60	n/a	26-Mar	2,500.00	5.0
VARDON PARTNERS	035	KMX	SS	20,000	27.16	n/a	10-Jan	1,000.00	5.0
MAZAMA CAPITAL MGMT.	035	KNL	B	5,400	23.36	n/a	n/a	216.00	4.0
MAZAMA CAPITAL MGMT.	035	KOG	B	6,500	4.93	n/a	n/a	195.00	3.0
B BUCK CAPITAL MGMT		KSS	B	5,000	75.11	27-Dec	8-Mar	non-brg	5.0
A.I.M. ADVISORS INC	222	LIZ	S	100,500	42.31	n/a	28-Feb	4,020.00	4.0
COUGAR TRADING	044	LTD	B	5,000	26.69	2-Mar	9-Mar	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PRIME CAPITAL	048	LUV	B	23,000	14.85	n/a	n/a	920.00	4.0
MAGUIRE	090	MEM	S	2,400	4.17	n/a	n/a	0.00	0.0
BARR	096	MEM	S	2,500	4.17	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MIPS	S	20,000	8.98	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MIR	S	25,000	40.97	n/a	n/a	non-brg	5.0
DAVIDSON KEMPNER ADVISOR	217	MIR	S	50,000	41.01	n/a	n/a	2,500.00	5.0
BARR	096	MZZ	B	500	56.40	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	NEM	C	5,000	42.73	n/a	n/a	250.00	5.0
Õ Glenn Redemann		NIHD	B	8	0.67	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NIHD	B	8	1.80	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NIHD	S	8	1.67	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NIHD	S	8	1.80	n/a	n/a	0.00	0.0
SPRINGBOC	039	NUCO	SS	4,338	25.47	n/a	n/a	216.90	5.0
STERLING JOHNSTON	035	NWY	B	13,500	15.19	23-Mar	23-Mar	675.00	5.0
WHITE PINE CAPITAL	030	OLN	S	600	16.87	n/a	22-Mar	30.00	5.0
CAPITAL GROWTH MGMT.	241	PCU	B	15,000	72.12	n/a	n/a	750.00	5.0
Õ Glenn Redemann		PEIX	B	17	0.26	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PEIX	B	17	0.33	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Glenn Redemann		PEIX	S	17	0.77	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		PEIX	S	17	0.87	n/a	n/a	0.00	0.0
	WHITE PINE CAPITAL	030	PERY	S	350	32.72	n/a	n/a	17.50	5.0
ß	BUCK CAPITAL MGMT		PETM	SS	20,000	32.39	n/a	n/a	non-brg	5.0
	PLATTE RIVER CAPITAL	035	PFE	S	57,500	25.53	n/a	31-Mar	2,300.00	4.0
	WALKER SMITH	031	PPCO	B	1,700	9.58	n/a	n/a	85.00	5.0
	KNOTT PARTNERS	044	PRX	B	10,000	23.96	30-Jan	26-Mar	400.00	4.0
	BUCK CAPITAL MGMT	001	PVH	S	10,000	60.46	18-Jan	22-Mar	400.00	4.0
	SYSTEMATIC FINANCIAL MGT	035	PVH	S	24,000	58.54	18-Jan	22-Mar	900.00	3.8
	BARR	096	QID	B	800	52.69	n/a	n/a	0.00	0.0
	WHITE PINE CAPITAL	030	RCKY	S	1,100	11.79	n/a	n/a	55.00	5.0
	CAXTON PART	040	RGC	B	100,000	19.54	n/a	n/a	4,000.00	4.0
	CRAMER ROSENTHAL/SCOTT	012	RL	C	10,700	88.20	2-Feb	16-Feb	535.00	5.0
	CUMBERLAND	038	RRGB	B	4,900	37.95	n/a	n/a	196.00	4.0
	WHITE PINE CAPITAL	030	RVI	S	350	21.22	n/a	n/a	17.50	5.0
	GALLEON MGMT LP	033	SEE	C	4,000	32.28	n/a	n/a	200.00	5.0
	FRONTPOINT	044	SNV	S	90,200	32.75	n/a	n/a	4,510.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	SSCC	SS	50,000	11.27	26-Oct	20-Mar	2,500.00	5.0
IRIDIAN ASSET MGMT.	036	SSCC	B	152,400	11.22	26-Oct	20-Mar	7,620.00	5.0
TRELLUS PARTNERS LP	028	SSI	S	600	23.60	26-Feb	14-Mar	30.00	5.0
BARR	096	SU	S	125	73.75	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TGT	B	5,000	60.47	n/a	8-Mar	non-brg	5.0
BUCK CAPITAL MGMT	001	TJX	B	10,000	26.70	21-Mar	21-Mar	400.00	4.0
CASTLE ARK	031	TJX	B	181,030	26.82	21-Mar	21-Mar	10,861.80	6.0
SATELLITE ASSET MGMT	012	TSCO	B	5,000	51.99	n/a	n/a	250.00	5.0
WHITE PINE CAPITAL	030	TUNE	S	2,200	4.28	n/a	n/a	88.00	4.0
TRIBECA/DOUG	040	UCBH	B	20,000	18.68	n/a	n/a	800.00	4.0
BEAR STEARNS ASSET MGMT.	033	URBN	S	70,000	26.57	7-Dec	9-Mar	2,800.00	4.0
B BUCK CAPITAL MGMT		URBN	B	100,000	26.13	7-Dec	9-Mar	non-brg	5.0
B BUCK CAPITAL MGMT		URBN	B	150,000	26.22	7-Dec	9-Mar	non-brg	5.0
COLONIAL FUND LLC	036	URBN	S	25,000	26.26	7-Dec	9-Mar	1,250.00	5.0
WAGONHOUND	048	URBN	S	15,000	26.55	7-Dec	9-Mar	600.00	4.0
ACT II PARTNERS	067	VG	B	170,100	3.26	9-Mar	9-Mar	6,804.00	4.0
MKT MAKER	099	VG	B	70	3.26	9-Mar	9-Mar	0.00	0.0
B BUCK CAPITAL MGMT		VIRL	S	10,000	7.28	n/a	n/a	non-brg	4.0
J.W. SELIGMAN	032	WFT	B	78,400	46.25	n/a	n/a	3,136.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TILLDALE MGMT.	048	XMSR	S	15,000	13.33	n/a	n/a	750.00	5.0
MKT MAKER	099	XMSR	B	15,000	13.34	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	ZLC	B	8,700	26.02	n/a	n/a	435.00	5.0
TOTAL (Trade Date) :								110,734.71	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/22	WHITE PINE CAPITAL	030	BONT	S	500	56.74	9-Mar	16-Mar	25.00 5.0
AO,CRT	03/22	WHITE PINE CAPITAL	030	BONT	S	500	56.74	9-Mar	16-Mar	25.00 5.0
AO,CRT	03/21	HARVEST MGMT	039	IP	SS	20,300	35.96	20-Mar	20-Mar	1015.00 5.0
AO,CXL	03/21	HARVEST MGMT	039	IP	SS	20,300	35.96	20-Mar	20-Mar	1015.00 5.0
AO,	03/26	TRUST CO/COWEN/CALF.	040	KFT	B	707,315	31.92	n/a	n/a	35365.75 5.0

TOTAL (AO, CXL, CRT) :	35,365.75
-------------------------------	------------------

TOTAL (Trade Date) :	110,734.71
TOTAL (AO, CXL, CRT) :	35,365.75

TOTAL (RUN DATE) / NFS:	146,100.46
--------------------------------	-------------------

MRI Securities with Trade Activity on 27-Mar. NONE

MRI Securities without Trade Activity on 27-Mar. TIF

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 12%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION