



# Daily Compliance Activity Report

Trade Date: 04/30/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	AMG	B	20,000	119.04	25-Apr	25-Apr	1,000.00	5.0
MAZAMA CAPITAL MGMT.	035	AMG	B	37,700	118.25	25-Apr	25-Apr	1,508.00	4.0
SUNOVA CAPITAL	031	AMG	S	20,000	118.52	25-Apr	25-Apr	1,000.00	5.0
COLUMBIA PARTNERS	028	ANF	SS	11,014	81.68	2-Feb	25-Apr	440.56	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AVCI</b>	<b>C</b>	<b>80,000</b>	<b>7.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BARR</b>	<b>096</b>	<b>AVNX</b>	<b>B</b>	<b>7,000</b>	<b>1.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBW</b>	<b>S</b>	<b>10,000</b>	<b>27.56</b>	<b>19-Mar</b>	<b>25-Apr</b>	<b>400.00</b>	<b>4.0</b>
SUNOVA CAPITAL	031	BEN	S	20,000	131.30	26-Apr	26-Apr	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BID</b>	<b>S</b>	<b>20,000</b>	<b>52.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>Glenn Redemann</b>		<b>BMC</b>	<b>B</b>	<b>4</b>	<b>0.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Glenn Redemann</b>		<b>BMC</b>	<b>B</b>	<b>4</b>	<b>0.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>15,000</b>	<b>50.74</b>	<b>9-Mar</b>	<b>26-Apr</b>	<b>non-brg</b>	<b>5.0</b>
CENTURION PARTNERS	233	BONT	B	3,000	50.12	9-Mar	26-Apr	150.00	5.0
XYLEM GLOBAL	091	BOW	B	200,000	22.06	n/a	27-Apr	10,000.00	5.0
FORTRESS CAPITAL	040	BYI	B	25,000	23.98	n/a	n/a	1,250.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>CAMH</b>	<b>S</b>	<b>10,000</b>	<b>3.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GASNER	025	CAT	B	100	72.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CBK	S	10,500	17.64	30-Mar	25-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		CBK	S	40,000	17.64	30-Mar	25-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		CBK	S	54,100	17.60	30-Mar	25-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		CBK	S	95,400	17.51	30-Mar	25-Apr	non-brg	5.0
MAGUIRE	090	CCOI	S	5,000	25.44	n/a	n/a	0.00	0.0
SUTERA	160	CHS	C	1,000	26.59	27-Mar	25-Apr	0.00	0.0
SUTERA	160	CHS	SS	1,000	27.93	27-Mar	25-Apr	0.00	0.0
MAGUIRE	090	CHTR	S	50,000	3.11	n/a	n/a	0.00	0.0
FORTRESS CAPITAL	040	CNW	SS	5,500	55.37	n/a	n/a	275.00	5.0
FORTRESS CAPITAL	040	CNW	S	24,500	55.40	n/a	n/a	1,225.00	5.0
COUGAR TRADING	044	COH	B	5,000	50.70	23-Feb	25-Apr	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	COH	C	15,000	48.80	23-Feb	25-Apr	600.00	4.0
EMP ERROR	099	CSCO	S	500	27.03	n/a	22-Mar	0.00	0.0
MATTHES CAPITAL MGMT	033	CSV	S	1,300	8.20	n/a	n/a	52.00	4.0
ß BUCK CAPITAL MGMT		CWTR	B	10,000	21.16	n/a	n/a	non-brg	5.0
THIRD COAST CAPITAL	035	CWTR	SS	40,000	21.16	n/a	n/a	2,000.00	5.0
ß BUCK CAPITAL MGMT		DKS	B	10,000	57.28	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DKS	B	20,000	56.26	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	EMKR	S	10,500	4.96	n/a	n/a	0.00	0.0
BUCK	015	EVVV	B	1,000	18.00	n/a	n/a	0.00	0.0
BARON ASSET MGMT*	012	EV	B	30,000	38.18	n/a	30-Apr	1,500.00	5.0
GASNER	025	EXM	B	150	22.65	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FD	SS	10,000	44.26	26-Jan	25-Apr	400.00	4.0
ß BUCK CAPITAL MGMT		FL	S	30,000	23.79	n/a	19-Mar	non-brg	5.0
ß BUCK CAPITAL MGMT		FL	S	50,000	23.77	n/a	19-Mar	non-brg	5.0
LEVIN CAPITAL STRATEGIES	012	F	SS	15,000	8.20	n/a	27-Apr	450.00	3.0
BUCK CAPITAL MGMT	001	GCO	SS	30,000	50.66	n/a	10-Jan	1,200.00	4.0
BUCK CAPITAL MGMT	001	GES	B	35,000	40.22	n/a	n/a	1,400.00	4.0
Õ Glenn Redemann		GES	B	2	0.84	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GES	B	2	1.01	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	GGG	S	175	39.67	n/a	n/a	8.75	5.0
KORMAN	003	GIL	B	2,500	64.01	n/a	30-Apr	0.00	0.0
BRIGHTPOINT CAP	067	GNTX	SS	10,000	17.69	n/a	24-Apr	500.00	5.0
AMBER CAPITAL	046	GPN	B	113,500	37.99	n/a	n/a	4,540.00	4.0
JP MORGAN VENTURES	046	HBI	B	15,000	26.75	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	HLT	B	1,000	34.70	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	HRS	B	7,300	52.28	30-Apr	30-Apr	365.00	5.0
STADIA CAPITAL	035	IGT	S	25,000	38.35	n/a	20-Apr	1,000.00	4.0
HARVEST MGMT	039	IP	SS	15,000	37.93	20-Mar	18-Apr	750.00	5.0
MILLENIUM PARTNERS	028	IP	SS	6,500	38.12	20-Mar	18-Apr	325.00	5.0
LEDDY	035	IP	SS	500	37.81	20-Mar	18-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		ISE	S	20,000	65.03	30-Apr	30-Apr	non-brg	5.0
⌘ BUCK CAPITAL MGMT		ISE	S	20,000	65.38	30-Apr	30-Apr	non-brg	1.0
MISSOURI VALLEY PART	046	ISE	S	51,990	64.68	30-Apr	30-Apr	2,599.50	5.0
MAGUIRE	039	ISE	S	100	65.05	30-Apr	30-Apr	0.00	0.0
BUCK	015	ISPH	S	2,200	7.80	n/a	n/a	0.00	0.0
SAB CAPITAL	034	IWM	B	20,000	81.70	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	80.14	20-Apr	20-Apr	400.00	4.0
LOOMIS SALES/BOSTON	036	JCP	B	61,037	80.90	20-Apr	20-Apr	2,441.48	4.0
BALYASNY ASSET MGMT	044	JNC	B	60,000	52.71	30-Apr	30-Apr	3,000.00	5.0
SANDLER O'NEILL ASSET	031	JNC	S	7,500	53.94	30-Apr	30-Apr	375.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	S	30,000	39.09	n/a	n/a	non-brg	5.0
COUGAR TRADING	044	JWN	S	5,000	55.24	27-Feb	25-Apr	250.00	5.0
⌘ BUCK CAPITAL MGMT		LAMR	B	10,000	60.60	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	LIZ	SS	20,000	44.75	n/a	30-Apr	800.00	4.0
XYLEM GLOBAL	091	LPX	B	250,000	20.02	20-Mar	26-Apr	12,500.00	5.0
APEX CAPITAL MGMT.	091	LTD	B	27,400	27.94	2-Mar	27-Apr	1,370.00	5.0
ß BUCK CAPITAL MGMT		LTD	S	237,700	27.62	2-Mar	27-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		LTD	S	50,000	27.65	2-Mar	27-Apr	non-brg	5.0
MORGAN LEWIS/MLH	046	LUV	SS	10,000	14.45	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		LVLT	S	100,000	5.61	30-Apr	30-Apr	non-brg	3.0
ß BUCK CAPITAL MGMT		LVLT	S	100,000	5.62	30-Apr	30-Apr	non-brg	3.0
ß BUCK CAPITAL MGMT		LVLT	S	100,000	5.62	30-Apr	30-Apr	non-brg	3.0
ß BUCK CAPITAL MGMT		LVLT	S	185,100	5.60	30-Apr	30-Apr	non-brg	3.0
ß BUCK CAPITAL MGMT		LVLT	S	200,000	5.60	30-Apr	30-Apr	non-brg	3.0
ß BUCK CAPITAL MGMT		LVLT	S	200,000	5.62	30-Apr	30-Apr	non-brg	3.0
ß BUCK CAPITAL MGMT		LVLT	S	200,000	5.63	30-Apr	30-Apr	non-brg	3.0
ß BUCK CAPITAL MGMT		LVLT	S	284,400	5.57	30-Apr	30-Apr	non-brg	3.0
CASTLEROCK	040	LVLT	SS	283,300	5.61	30-Apr	30-Apr	8,499.00	3.0
SSI INVESTORS	048	LVLT	SS	50,000	5.60	30-Apr	30-Apr	1,500.00	3.0
ADAGE CAPITAL MGMT	030	LYO	B	2,200	31.96	n/a	26-Apr	88.00	4.0
REINHART & MAHONEY	031	MAN	B	11,665	81.16	n/a	n/a	583.25	5.0
HIGHBRIDGE CAPITAL	038	MCHP	SS	44,000	40.64	n/a	n/a	2,200.00	5.0
GRONET	030	MER	S	200	90.91	20-Apr	20-Apr	0.00	0.0
MAGUIRE	090	ME	S	5,000	22.75	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PILOT ROCK	028	MIR	S	158,908	45.04	n/a	n/a	6,356.32	4.0
COLUMBIA PARTNERS	028	MNC	SS	13,574	15.29	n/a	n/a	542.96	4.0
<b>GRONET</b>	<b>030</b>	<b>MSFT</b>	<b>S</b>	<b>100</b>	<b>30.14</b>	<b>n/a</b>	<b>3-Apr</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT	039	MWV	B	20,000	33.02	20-Mar	18-Apr	1,000.00	5.0
MILLENIUM PARTNERS	028	MWV	B	25,000	33.29	20-Mar	18-Apr	1,250.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>NGI</b>	<b>S</b>	<b>5,000</b>	<b>4.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>NLS</b>	<b>S</b>	<b>1,000</b>	<b>14.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LHFG	046	NWS	S	25,000	24.05	n/a	n/a	1,000.00	4.0
<b>GRONET</b>	<b>030</b>	<b>OPY</b>	<b>S</b>	<b>300</b>	<b>38.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>OXM</b>	<b>S</b>	<b>15,000</b>	<b>46.63</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>4.0</b>
<b>NOLAN</b>	<b>067</b>	<b>PCL</b>	<b>S</b>	<b>10</b>	<b>39.82</b>	<b>n/a</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	PENN	S	15,000	48.18	n/a	n/a	750.00	5.0
Õ Glenn Redemann		PHM	B	7	0.16	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PHM	B	7	0.17	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PHM	SS	7	0.79	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PHM	SS	7	0.89	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PLCE	S	20,000	52.84	12-Apr	13-Apr	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	PNC	B	10,000	74.60	n/a	n/a	500.00	5.0
NCM CAPITAL MGMT	035	PTI	S	33,300	26.57	n/a	n/a	1,665.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>B</b>	<b>10,000</b>	<b>56.55</b>	<b>28-Mar</b>	<b>28-Mar</b>	<b>400.00</b>	<b>4.0</b>
WAGONHOUND	048	PVH	S	10,500	56.66	28-Mar	28-Mar	420.00	4.0
GALLEON MGMT LP	033	RSH	C	10,000	29.59	n/a	n/a	500.00	5.0
GALLEON MGMT LP	033	RSH	SS	20,000	30.61	n/a	n/a	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>S4RETL</b>	<b>SS</b>	<b>31,800</b>	<b>455.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>45.6</b>
NEUBERGER BERMAN	036	SFD	B	45,100	30.75	n/a	n/a	2,255.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>SFD</b>	<b>S</b>	<b>5,600</b>	<b>30.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>SHOO</b>	<b>S</b>	<b>600</b>	<b>30.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>B</b>	<b>55,000</b>	<b>31.76</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>5.0</b>
<b>KAICHER</b>	<b>064</b>	<b>SNCR</b>	<b>S</b>	<b>1,000</b>	<b>23.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>SNEN</b>	<b>B</b>	<b>10,000</b>	<b>2.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>SNWL</b>	<b>S</b>	<b>15,000</b>	<b>8.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GRISANTTI BROWN & PARTNER	048	SSCC	S	81,200	12.09	n/a	18-Apr	4,060.00	5.0
<b>BARR</b>	<b>096</b>	<b>SWIM</b>	<b>SS</b>	<b>7,443</b>	<b>13.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>B</b>	<b>10,000</b>	<b>59.98</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>5.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		TGT	B	15,000	59.52	n/a	24-Apr	non-brg	5.0
BUCK	015	TIN	B	500	59.89	26-Apr	26-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		TJX	S	300,000	27.90	21-Mar	13-Apr	non-brg	5.0
⌘ BUCK CAPITAL MGMT		TLB	S	35,000	23.53	10-Jan	26-Apr	non-brg	5.0
GOLD SACHS SPECIAL SIT	030	TSAI	B	59,000	31.98	n/a	n/a	2,950.00	5.0
GRONET	030	TYC	S	200	32.99	n/a	6-Feb	0.00	0.0
LHFG	046	TYC	B	10,000	33.00	n/a	6-Feb	400.00	4.0
UBS OCONNOR	031	UAG	B	50,000	20.37	n/a	13-Apr	1,500.00	3.0
PRIME LOGIC ADV	039	VG	B	100,000	3.04	9-Mar	30-Apr	3,000.00	3.0
MAGUIRE	VLO	VLO	S	4,000	71.53	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		WMT	S	130,000	48.01	n/a	12-Apr	non-brg	5.0
BUCK CAPITAL MGMT	001	WSM	SS	10,000	35.23	n/a	n/a	400.00	4.0
XYLEM GLOBAL	091	WY	B	10,000	79.99	15-Dec	18-Apr	500.00	5.0
MAGUIRE	090	XIDE	S	15,000	9.34	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		ZQK	S	20,000	13.22	9-Mar	17-Apr	non-brg	5.0



---

**TOTAL (Trade Date):**

**103,494.82**

---

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/26	EMP ERROR	097	CSCO	B	500	27.00	n/a	22-Mar	0.00 0.0
AO,CXL	04/26	BINDER	007	CSCO	B	500	27.00	n/a	22-Mar	0.00 0.0
AO,CRT	04/26	BRAHMAN CAPITAL	028	EK	B	50,000	25.49	n/a	n/a	2500.00 5.0
AO,CXL	04/26	BRAHMAN CAPITAL	028	EK	B	50,000	25.49	n/a	n/a	2500.00 5.0
AO,CRT	04/25	S.A.C. CAPITAL MGMT.	044	PKG	B	100,000	24.99	n/a	18-Apr	5000.00 5.0
AO,CXL	04/25	SAC DOUG RODGERS	040	PKG	B	100,000	24.99	n/a	18-Apr	5000.00 5.0
AO,CRT	04/27	ACT II PARTNERS	067	XOHO	B	50,000	5.18	n/a	n/a	2000.00 4.0
AO,CXL	04/27	ACT II PARTNERS	067	XOHO	B	50,000	5.18	n/a	n/a	2500.00 5.0

---

<b>TOTAL (AO, CXL, CRT) :</b>	<b>-500.00</b>
-------------------------------	----------------

---

---

TOTAL (Trade Date) :	103,494.82
TOTAL (AO, CXL, CRT) :	-500.00

---

<b>TOTAL (RUN DATE) / NFS:</b>	<b>102,994.82</b>
--------------------------------	-------------------

---

MRI Securities with Trade Activity on 30-Apr. HRS, ISE, JNC, LVL
---

  

--

MRI Securities without Trade Activity on 30-Apr.  
CBT, ITT

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 5%  
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION