



## Daily Compliance Activity Report

Trade Date: 02/15/2007

### POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLONIAL FUND LLC	036	AEOS	B	25,000	32.00	4-Jan	9-Feb	1,250.00	5.0
ELAZAR ADV	034	AEOS	B	9,036	32.16	4-Jan	9-Feb	451.80	5.0
T. ROWE PRICE	033	AMZN	B	25,000	40.11	n/a	n/a	1,000.00	4.0
SYSTEMATIC FINANCIAL MGT	035	ANF	S	22,100	82.18	2-Feb	9-Feb	828.75	3.8
A.I.M. ADVISORS INC	031	ARO	S	143,463	38.40	23-Oct	9-Feb	5,738.52	4.0
PEREGRINE	030	ARTG	B	30,000	2.05	n/a	n/a	0.00	0.0
WD PARTNERS ILP	067	ASD	S	5,000	55.00	12-Feb	12-Feb	250.00	5.0
DIAMONDBACK CAP MGMT	232	ASH	SS	20,000	68.33	4-Jan	5-Feb	1,000.00	5.0
ELAZAR ADV	034	ATI	B	10,100	102.19	n/a	n/a	505.00	5.0
PRINCIPLE CAPITAL	040	AVP	SS	32,200	39.07	7-Feb	7-Feb	966.00	3.0
MERRILL LYNCH STRATEGICI	034	BBY	B	5,000	49.95	13-Dec	10-Jan	250.00	5.0
BRIGHTPOINT CAP	067	BIG	SS	20,000	26.42	16-Nov	9-Feb	1,000.00	5.0
REICH AND TANG	037	BKR	B	100	24.00	n/a	n/a	5.00	5.0
IRIDIAN ASSET MGMT.	036	BLL	S	17,600	46.77	n/a	n/a	880.00	5.0
DSM CAPITAL PARTNERS	032	BUD	SS	5,650	51.54	n/a	n/a	339.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRANAHAN INV	039	CENT	B	69,700	13.54	8-Feb	8-Feb	2,788.00	4.0
MAIRS AND POWER INV	030	CEN	S	30,000	33.59	n/a	n/a	1,800.00	6.0
THIRD COAST CAPITAL	035	CHRD	B	100,000	3.65	n/a	n/a	5,000.00	5.0
GEORGE WEISS	034	CHS	SS	29,200	22.02	5-Jan	9-Feb	1,315.00	4.3
BANK OF NEW YORK	040	CLB	B	4,250	79.50	6-Dec	15-Feb	191.25	4.5
<b>BINDER</b>	<b>007</b>	<b>CRI</b>	<b>B</b>	<b>200</b>	<b>22.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>DCTH</b>	<b>B</b>	<b>2,500</b>	<b>4.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CSFB GLOBAL PROPRIETARY	035	DTC	B	300,000	8.13	n/a	n/a	15,000.00	5.0
DSM CAPITAL PARTNERS	032	EPAX	B	9,150	30.51	n/a	n/a	549.00	6.0
<b>BUCK</b>	<b>015</b>	<b>EWJ</b>	<b>S</b>	<b>2,000</b>	<b>14.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	EXBD	SS	10,000	78.77	n/a	n/a	500.00	5.0
<b>BRUNNER</b>	<b>012</b>	<b>EXPD</b>	<b>S</b>	<b>1,000</b>	<b>44.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SIRIS</b>	<b>013</b>	<b>EXPE</b>	<b>S</b>	<b>1,570</b>	<b>22.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	FCN	B	62,900	33.13	n/a	n/a	2,516.00	4.0
SAILFISH	037	FDO	SS	9,400	31.21	3-Nov	9-Feb	470.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD COAST CAPITAL	035	FD	B	15,000	44.69	26-Jan	9-Feb	750.00	5.0
ELAZAR ADV	034	FFEX	B	33,035	8.29	n/a	n/a	1,651.75	5.0
BALYASNY ASSET MGMT	044	FII	B	20,000	36.10	n/a	12-Feb	1,000.00	5.0
ELAZAR ADV	034	FOSL	B	24,382	23.47	15-Nov	15-Feb	1,219.10	5.0
<b>GAULT</b>	<b>063</b>	<b>FUACE</b>	<b>B</b>	<b>70</b>	<b>0.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SIRIS</b>	<b>013</b>	<b>HAR</b>	<b>S</b>	<b>1,000</b>	<b>103.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>HC</b>	<b>S</b>	<b>350</b>	<b>22.39</b>	<b>n/a</b>	<b>15-Feb</b>	<b>0.00</b>	<b>0.0</b>
GEORGE WEISS	034	HLF	B	8,500	37.89	n/a	n/a	340.00	4.0
WEISS PECK	040	HMA	S	15,250	20.35	18-Jan	6-Feb	762.50	5.0
DSM CAPITAL PARTNERS	032	HYSL	S	9,200	41.85	n/a	n/a	552.00	6.0
<b>SIRIS</b>	<b>013</b>	<b>IACI</b>	<b>S</b>	<b>1,500</b>	<b>39.64</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CHARTER OAK	037	IDIX	B	21,300	10.34	n/a	n/a	1,065.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>IDIX</b>	<b>B</b>	<b>42</b>	<b>10.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	IP	S	50,000	37.19	n/a	2-Feb	2,500.00	5.0
DEEPHAVEN	031	IWM	B	21,692	80.82	n/a	n/a	1,084.60	5.0
ELAZAR ADV	034	JCG	B	43,461	36.90	25-Jan	25-Jan	2,173.05	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FASS	030	JNPR	B	367,261	19.87	n/a	22-Mar	18,363.05	5.0
KEEFE BRUYETTE WOODS A	031	JNS	SS	50,200	22.26	1-Feb	12-Feb	2,510.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>B</b>	<b>10,000</b>	<b>33.98</b>	<b>n/a</b>	<b>15-Feb</b>	<b>400.00</b>	<b>4.0</b>
NICHOLAS ADVISORS	034	KRE	B	250	50.34	n/a	n/a	12.50	5.0
SIGMA CAPITAL ASSOCIATES	044	KR	S	25,000	26.20	n/a	n/a	1,250.00	5.0
JP MORGAN VENTURES	046	KSS	SS	6,900	73.28	27-Dec	9-Feb	345.00	5.0
SAILFISH	037	KSS	B	3,800	72.70	27-Dec	9-Feb	190.00	5.0
BALYASNY ASSET MGMT	044	LAZ	B	10,000	53.31	n/a	n/a	500.00	5.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>LPX</b>	<b>B</b>	<b>50,000</b>	<b>21.00</b>	<b>n/a</b>	<b>7-Feb</b>	<b>2,500.00</b>	<b>5.0</b>
SENTINAL CAPITAL	067	MCD	B	2,000	45.19	12-Oct	6-Feb	100.00	5.0
<b>SCHEINER</b>	<b>037</b>	<b>NLS</b>	<b>B</b>	<b>2,000</b>	<b>18.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>JACOB</b>	<b>068</b>	<b>NLS</b>	<b>B</b>	<b>500</b>	<b>18.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>ODP</b>	<b>S</b>	<b>1,000</b>	<b>36.04</b>	<b>n/a</b>	<b>15-Feb</b>	<b>0.00</b>	<b>0.0</b>
FRIESS MGMT	042	ORCL	B	99,500	16.88	n/a	n/a	4,477.50	4.5
BANK OF NEW YORK	040	PFCB	B	5,670	44.00	n/a	14-Feb	255.15	4.5
ELAZAR ADV	034	PNRA	B	7,736	59.42	n/a	n/a	386.80	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	PSS	B	10,000	31.60	n/a	n/a	400.00	4.0
NICHOLAS ADVISORS	034	RKH	B	2,700	166.04	n/a	n/a	135.00	5.0
TRIBECA/DOUG	040	RLI	SS	5,000	58.06	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	85.28	2-Feb	8-Feb	400.00	4.0
LEEDS	002	RMKR	B	10,000	9.35	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	SSYS	SS	5,000	37.31	n/a	n/a	250.00	5.0
DIAMONDBACK CAP MGMT	232	STLD	B	30,000	39.44	n/a	n/a	1,500.00	5.0
CAPITAL GROWTH MGMT.	241	TIE	B	30,000	35.35	n/a	n/a	1,500.00	5.0
DSM CAPITAL PARTNERS	032	TWW	B	21,800	8.71	n/a	n/a	1,090.00	5.0
VAN KAMPEN ADV	031	TWX	B	199,500	21.37	n/a	n/a	7,980.00	4.0
MILA	033	TWX	B	500	21.37	n/a	n/a	20.00	4.0
DSM CAPITAL PARTNERS	032	UPS	SS	3,850	74.85	n/a	n/a	231.00	6.0
BUCK	015	USB	B	1,000	36.33	n/a	n/a	0.00	0.0
ACT II PARTNERS	067	VG	SS	113,100	5.53	15-Feb	15-Feb	5,655.00	5.0
BALYASNY ASSET MGMT	044	WDR	B	25,000	26.17	12-Jan	12-Feb	1,250.00	5.0
DSM CAPITAL PARTNERS	032	WEBX	SS	6,200	46.07	n/a	n/a	372.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
VANDER MOOLEN	040	WMT	B	5,000	48.36	n/a	9-Feb	250.00	5.0
<b>TOTAL (Trade Date):</b>							<b>110,214.32</b>		

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	02/14	COMMISSUM CAPITAL (COMMCIRT#2)	067	AMG	B	1,000	116.78	25-Jan	12-Feb	50.00	5.0
AO,	02/14	COMMISSUM CAPITAL (COMMBS#1)	067	AMG	B	4,000	116.78	25-Jan	12-Feb	200.00	5.0
AO,	02/14	GRISANTI BROWN & PARTNER (GRIS8014)	048	ASD	B	1,000	53.42	12-Feb	12-Feb	50.00	5.0
AO,	02/14	GRISANTI BROWN & PARTNER (GRIS970)	048	ASD	B	300	53.42	12-Feb	12-Feb	15.00	5.0
AO,	02/14	GRISANTI BROWN & PARTNER (GRIS912)	048	ASD	B	5,400	53.42	12-Feb	12-Feb	270.00	5.0
AO,CXL	02/14	GRISANTI BROWN & PARTNER (GRISGS)	048	ASD	B	5,500	53.42	12-Feb	12-Feb	0.00	0.0
AO,	02/14	GRISANTI BROWN & PARTNER (GRISGS)	048	ASD	B	5,500	53.42	12-Feb	12-Feb	275.00	5.0
AO,	02/14	REICH AND TANG (RICHCONCEN)	037	BKR	B	1,300	24.00	n/a	n/a	65.00	5.0
AO,CXL	02/14	REICH AND TANG (&RICHCONCE)	037	BKR	B	1,300	24.00	n/a	n/a	65.00	5.0
AO,CXL	02/13	IMPALA ASSET MMT (IMPABS)	012	CENT	B	158,900	48.03	8-Feb	8-Feb	4767.00	3.0
AO,CRT	02/13	IMPALA ASSET MMT (IMPABS)	012	CENX	B	158,900	48.03	n/a	n/a	4767.00	3.0
AO,	02/14	HARTFORD INVEST MGMT (HTFDHSG)	048	CVA	B	82,000	23.94	n/a	n/a	3280.00	4.0
AO,	02/14	HARTFORD INVEST MGMT (HTFDSGF)	048	CVA	B	13,000	23.94	n/a	n/a	520.00	4.0
AO,CXL	02/09	SOMERSET ADV (SOMEL I 2)	044	GLW	B	350	21.65	n/a	12-Feb	17.50	5.0
AO,CRT	02/09	SOMERSET ADV (SOMEL I 2)	044	GLW	B	350	21.65	n/a	12-Feb	14.00	4.0
AO,	02/14	CHARTER OAK (CHARFUND 2)	037	IDIX	B	4,953	10.51	n/a	n/a	247.65	5.0
AO,	02/14	CHARTER OAK (CHARMAIN A)	037	IDIX	B	30,047	10.51	n/a	n/a	1502.35	5.0
AO,CXL	02/14	NICHOLAS ADVISORS (NICHLEH197)	034	KRE	B	10,000	50.58	n/a	n/a	0.00	0.0
AO,CRT	02/14	NICHOLAS ADVISORS (NICHLEH197)	034	KRE	B	10,000	50.58	n/a	n/a	500.00	5.0
AO,CXL	02/14	IRON HORSE CAPITAL MGMT (IHCMBUSE)	038	LVL	B	25,000	6.24	n/a	12-Feb	1250.00	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/14	IRON HORSE CAPITAL MGMT (IHCMBUSE)	038	LVL	B	25,000	6.24	n/a	12-Feb	750.00 3.0
AO,	02/14	MANNING & NAPIER (MANNCD1226)	034	SMG	S	80	54.71	24-Jan	24-Jan	3.20 4.0
AO,CXL	02/14	MANNING & NAPIER (MANNCD1226)	034	SMG	S	80	54.71	24-Jan	24-Jan	3.20 4.0
AO,	02/14	MKT MAKER (MKT MAKER)	099	SPLS	S	13,389	27.21	n/a	10-Jan	0.00 0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>6,406.50</b>

TOTAL (Trade Date):	110,214.32
TOTAL (AO, CXL, CRT):	6,406.50

<b>TOTAL (RUN DATE) / NFS:</b>	<b>116,620.82</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION