



RR Commissions Summary [Chris Cattani]

Trade Date: 07/23/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (41)	041	0	0	0	0	0	0	0	0	0	0	13,476	0
ARMATURE CAPITAL	041	0	0	0	30,800	760	760	7,135	760	760	37,935	90,192	42
BLACK CAPITAL(CALAMOS ASSET)	041	0	0	0	0	0	0	5,000	0	0	5,000	0	0
BRANT POINT CAPITAL	041	0	0	0	0	0	0	10,000	0	0	10,000	10,000	100
BTIG/QUENTEC	041	0	0	0	3,360	0	0	0	0	0	3,360	0	0
CADIAN CAPITAL	041	0	3,477	3,477	3,477	0	0	0	3,477	3,477	3,477	11,550	30
CAERUS GLOBAL	041	0	6,613	6,613	35,677	0	0	0	6,613	6,613	35,677	61,691	58
CENTURION PARTNERS	041	0	11,197	11,197	28,437	0	0	0	11,197	11,197	28,437	46,987	61
COBALT	041	0	0	0	43,308	0	0	0	0	0	43,308	103,803	42
COHE & STEERS	041	0	0	0	75,722	0	0	0	0	0	75,722	74,414	102
COLUMBIA MGMT	041	0	0	0	0	0	0	15,569	0	0	15,569	5,875	265
CORVEX CAPITAL	041	0	0	0	21,792	0	0	0	0	0	21,792	31,016	70
CR INTRINSIC/CHRIS C	041	0	5,700	5,700	30,327	0	0	0	5,700	5,700	30,327	3,826	793
CSFB GLOBAL PROPRIETARY	041	0	16,750	16,750	265,453	0	0	0	16,750	16,750	265,453	425,383	62
DECADE CAPITAL (ARETE INV) CHRIS C	041	0	0	0	10,410	5,365	5,365	15,574	5,365	5,365	25,984	7,963	326
DW INVESTMENT MGMT.	041	0	750	750	750	0	0	0	750	750	750	0	0
FIRST NY SEC/CHRIS	041	0	2,050	2,050	24,037	0	0	0	2,050	2,050	24,037	34,239	70
GALT INVESTMENT	041	1,000	3,600	3,600	21,347	0	0	0	3,600	3,600	21,347	19,472	110
GREENLIGHT CAPITAL	041	0	0	0	0	0	0	25,000	0	0	25,000	25,000	100
HALCYON LLC	041	0	3,000	3,000	37,680	0	0	0	3,000	3,000	37,680	26,157	144
HIGHBRIDGE CAP	041	0	0	0	36,264	0	0	0	0	0	36,264	121,287	30
IONIC CAPITAL MANAGEMENT	041	0	6,873	6,873	13,530	0	0	0	6,873	6,873	13,530	0	0
JAT CAPITAL MANAGEMENT	041	0	0	0	0	0	0	0	0	0	0	75,412	0
JET CAPITAL/CHRIS	041	0	3,000	3,000	42,107	0	0	0	3,000	3,000	42,107	59,192	71
KAM ADVISORS LLC	041	0	0	0	66,318	0	0	0	0	0	66,318	89,699	74
KINGDON ASSET MGMT	041	0	4,200	4,200	34,400	0	0	0	4,200	4,200	34,400	117,902	29
LEVIN CAPITAL STRATEGIES	041	1,200	5,508	5,508	69,718	0	0	0	5,508	5,508	69,718	85,638	81
LUXOR CAPITAL	041	0	0	0	0	6,250	6,250	18,750	6,250	6,250	18,750	18,750	100
MARSHALL WACE	041	0	0	0	0	10,000	10,000	25,000	10,000	10,000	25,000	57,500	43
MILLENIU CATTANI	041	0	0	0	10,220	0	0	0	0	0	10,220	0	0
MOORE CAPITAL	041	0	0	0	12,190	0	0	0	0	0	12,190	63,167	19
OMEGA ADVISORS	041	0	0	0	51,720	0	0	0	0	0	51,720	113,355	46
P.SCHOENFELD ASSET MGMT LLC	041	0	2,000	2,000	19,560	0	0	0	2,000	2,000	19,560	12,773	153
PAULSON & CO INC	041	0	0	0	19,891	0	0	0	0	0	19,891	41,600	48
RATAN CAPITAL MGMT LP	041	0	0	0	1,800	0	0	0	0	0	1,800	318	566
SCHOTTENFELD ASSOC	041	0	900	900	4,650	0	0	0	900	900	4,650	3,450	135
SCOGGIN LLC	041	0	0	0	8,827	0	0	0	0	0	8,827	0	0
SCOPUS FUND	041	0	36,285	36,285	236,324	0	0	0	36,285	36,285	236,324	386,840	61
SIGMA CAPITAL ASSOCIATES	041	1,500	6,750	6,750	110,434	0	0	0	6,750	6,750	110,434	56,753	195

SIMMONS VENTURES	041	0	0	0	400	0	0	0	0	0	400	52,868	1
TEMAGAMI CAPITAL	041	0	0	0	536	0	0	0	0	0	536	9,832	5
THREE G	041	0	4,926	4,926	20,939	0	0	0	4,926	4,926	20,939	0	0
TIGER	041	0	0	0	0	0	0	15,000	0	0	15,000	0	0
TIGER GLOBAL	041	0	0	0	0	0	0	0	0	0	0	48,500	0
TOURBILLION CAPITAL	041	0	0	0	0	0	0	10,000	0	0	10,000	0	0
TOURMALINE PARTNERS CHRIS	041	0	0	0	450	0	0	0	0	0	450	3,450	13
VELAZQUEZ/MILLENNIUM	041	0	0	0	1,600	1,991	1,991	22,350	1,991	1,991	23,950	0	0
TOTALS:		3,700	123,579	123,579	1,394,453	24,366	24,366	169,379	147,946	147,946	1,563,832		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (251)	251	0	0	0	0	0	0	0	0	0	0	-13,476	0
CAISSE DEPOT PLACEMENT Q	295	0	0	0	17,997	0	0	0	0	0	17,997	12,444	0
GUGGENHEIM GLOBAL TRADING (GGT)	251	347	9,677	9,677	59,461	0	0	0	9,677	9,677	59,461	23,170	0
LONE PINE MGMT	257	0	0	0	0	0	0	32,000	0	0	32,000	7,500	427
LORD ABBETT & COMPANY	098	0	0	0	0	0	0	0	0	0	0	0	0
MOORE CAPITAL	257	0	0	0	9,052	0	0	0	0	0	9,052	63,167	19
SENATOR INVESTMENT GROUP	287	0	12,401	12,401	290,581	0	0	0	12,401	12,401	290,581	0	0
SURVEYOR CAPITAL	251	0	4,544	4,544	18,062	0	0	60,000	4,544	4,544	78,062	191,311	31
TOTALS:		347	26,622	26,622	395,153	0	0	92,000	26,622	26,622	487,153		

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