



Daily Compliance Activity Report

Trade Date: 07/25/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CARLYLE BLUE WAVE PR MG	224	AA	B	4,900	41.05	n/a	n/a	245.00	5.0
BALYASNY ASSET MGMT	044	AB	B	20,000	85.22	25-Jul	25-Jul	1,000.00	5.0
KEEFE BRUYETTE WOODS A	031	AB	S	6,400	83.48	25-Jul	25-Jul	320.00	5.0
BUCK CAPITAL MGMT	001	AEO	S	50,000	26.07	10-Jul	13-Jul	2,000.00	4.0
COUGAR TRADING	044	AEO	S	5,000	25.98	10-Jul	13-Jul	250.00	5.0
Õ Glenn Redemann		AGU	B	3	0.1400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AGU	B	3	0.3000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AGU	SS	3	0.7500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AGU	SS	3	1.2400	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	AMG	B	50,000	118.64	25-Jul	25-Jul	2,500.00	5.0
TYEE/TYGH	035	AMG	B	131,203	120.27	25-Jul	25-Jul	5,248.12	4.0
BUCK CAPITAL MGMT	001	ANF	B	35,000	71.15	12-Jul	13-Jul	1,400.00	4.0
COUGAR TRADING	044	ANF	B	5,000	71.28	12-Jul	13-Jul	250.00	5.0
STADIA CAPITAL	035	ANF	SS	25,000	70.35	12-Jul	13-Jul	1,000.00	4.0
BUCK CAPITAL MGMT	001	ANN	B	40,000	34.26	12-Jul	13-Jul	1,600.00	4.0
FARBER/WEISS PECK	012	ANN	C	25,000	34.21	12-Jul	13-Jul	1,250.00	5.0
CRAMER ROSENTHAL/SCOTT	012	ARO	B	15,000	40.05	n/a	13-Jul	600.00	4.0
SOCIETY GENERALE	040	ASD	B	6,000	56.27	18-Jul	23-Jul	300.00	5.0
FARALLON CAP MGMT	040	AT	B	45,000	66.67	n/a	n/a	1,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CARLYLE BLUE WAVE PR MG	224	AVP	S	6,000	40.41	2-May	17-Jul	300.00	5.0
ROTHSCHILD ASSET	040	AXE	S	16,330	87.04	24-Jul	24-Jul	734.85	4.5
PHILADELPHIA FINANCIAL	033	BAC	S	32,440	47.53	20-Apr	20-Jul	1,622.00	5.0
CARLYLE BLUE WAVE PR MG	224	BA	B	6,000	107.35	n/a	n/a	300.00	5.0
EFSTATHIOU	044	BBW	B	200	23.39	15-Jun	24-Jul	0.00	0.0
BINDER	007	BDK	SS	200	90.31	n/a	n/a	0.00	0.0
COMMISSUM CAPITAL	067	BEN	S	4,500	134.96	10-Jul	24-Jul	225.00	5.0
SUNOVA CAPITAL	031	BEN	B	30,000	135.29	10-Jul	24-Jul	1,500.00	5.0
BASSO CAPITAL	034	BGG	S	36,300	29.51	n/a	n/a	1,815.00	5.0
BUCK CAPITAL MGMT	001	BID	S	30,000	50.08	n/a	n/a	1,200.00	4.0
BULL PATH CAPITAL	044	BLK	B	7,500	167.62	18-Jul	25-Jul	375.00	5.0
ALLEN & CO	032	BNI	S	52,500	86.74	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	BSC	B	7,500	130.61	n/a	18-Jul	300.00	4.0
CARLYLE BLUE WAVE PR MG	224	BTU	S	13,000	42.14	n/a	n/a	650.00	5.0
ROBX	302	BTU	B	50,000	42.15	n/a	n/a	2,000.00	4.0
CIRCLE T PART	035	BWA	B	57,500	90.05	n/a	25-Jul	2,875.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	BWA	B	20,000	90.01	n/a	25-Jul	1,000.00	5.0
SNYDER CAPITAL	038	CC	S	200,000	12.73	1-May	21-Jun	8,000.00	4.0
THIRD COAST CAPITAL	035	CONN	SS	12,000	28.08	n/a	n/a	600.00	5.0
HIGHBRIDGE CAPITAL	038	CPHL	S	50,000	13.54	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	CRI	B	30,000	22.65	n/a	n/a	1,200.00	4.0
JACOB	068	CRR	B	450	41.93	n/a	20-Jul	0.00	0.0
ALLEN & CO	032	CSX	S	164,250	48.90	n/a	n/a	6,570.00	4.0
THIRD COAST CAPITAL	035	CTSH	SS	8,000	82.72	n/a	n/a	400.00	5.0
BINDER	007	CXP	S	600	14.65	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DDS	SS	20,000	34.65	n/a	n/a	800.00	4.0
BUCK	015	DHI	S	2,000	17.43	n/a	n/a	0.00	0.0
DALTON GREINER	012	DLTR	S	11,670	40.37	30-May	11-Jul	583.50	5.0
WILLIAM BLAIR	031	DV	B	58,700	35.26	n/a	n/a	2,348.00	4.0
KERN	050	EFT	S	1,035	17.40	n/a	n/a	0.00	0.0
BARR	096	EMKR	S	2,200	7.94	n/a	n/a	0.00	0.0
Ö Glenn Redemann		EOG	B	3	0.9400	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ö	Glenn Redemann		EOG	B	3	1.6900	n/a	n/a	0.00	0.0
Ö	Glenn Redemann		EOG	SS	3	2.0700	n/a	n/a	0.00	0.0
Ö	Glenn Redemann		EOG	SS	3	3.0100	n/a	n/a	0.00	0.0
	LEDDY	035	EVST	S	700	33.00	n/a	n/a	0.00	0.0
	THIRD COAST CAPITAL	035	EXBD	SS	5,000	67.37	n/a	n/a	250.00	5.0
	BUCK CAPITAL MGMT	001	FDO	SS	30,000	32.74	19-Apr	12-Jul	1,200.00	4.0
	PEREGRINE	030	FLOW	S	6,500	9.40	n/a	n/a	260.00	4.0
	PEREGRINE	030	FORM	S	1,500	39.98	n/a	n/a	60.00	4.0
	PEREGRINE	030	FTD	S	3,000	17.35	n/a	n/a	120.00	4.0
	COUGAR TRADING	044	GIL	S	5,000	34.03	21-Jun	21-Jun	250.00	5.0
	STADIA CAPITAL	035	GIL	S	15,000	34.10	21-Jun	21-Jun	600.00	4.0
	PEREGRINE	030	GPOR	S	2,800	20.35	n/a	n/a	112.00	4.0
	PEREGRINE	030	GPRO	S	800	61.98	n/a	n/a	32.00	4.0
	BUCK CAPITAL MGMT	001	GPS	SS	30,000	17.72	n/a	13-Jul	1,200.00	4.0
	ZOLIDIS	011	GTRC	B	300	58.49	n/a	n/a	0.00	0.0
	PEREGRINE	030	HAYN	S	1,400	88.03	n/a	n/a	56.00	4.0
	PEREGRINE	030	HGSI	S	7,400	8.06	n/a	n/a	296.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PEREGRINE	030	HHGP	S	3,600	18.35	n/a	n/a	144.00	4.0
WILLIAM BLAIR	031	HHGP	B	17,600	18.48	n/a	n/a	704.00	4.0
PEREGRINE	030	HK	S	6,000	14.48	n/a	n/a	240.00	4.0
PEREGRINE	030	HLYS	S	1,800	21.95	n/a	n/a	72.00	4.0
ZOLIDIS	011	HLYS	S	600	22.80	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	HOT	S	20,000	69.22	n/a	n/a	1,000.00	5.0
NOLAN	067	HSTX	B	50	16.66	n/a	n/a	0.00	0.0
PEREGRINE	030	IART	S	1,800	50.13	n/a	22-Apr	72.00	4.0
PEREGRINE	030	IDEV	S	11,400	7.24	n/a	n/a	456.00	4.0
PEREGRINE	030	IDTI	S	2,900	15.83	n/a	n/a	116.00	4.0
PEREGRINE	030	ILMN	S	1,200	46.28	n/a	n/a	48.00	4.0
THIRD COAST CAPITAL	035	INFY	SS	5,000	52.03	n/a	n/a	250.00	5.0
LEDDY	035	IP	C	1,000	39.96	4-May	14-Jun	0.00	0.0
PEREGRINE	030	IRIS	S	2,900	15.41	n/a	n/a	116.00	4.0
PEREGRINE	030	ISIS	S	6,800	10.22	n/a	n/a	272.00	4.0
PEREGRINE	030	ISSC	S	2,800	18.40	n/a	n/a	112.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PEREGRINE	030	ITC	S	1,300	42.19	n/a	n/a	52.00	4.0
MAZAMA CAPITAL MGMT.	035	IT	B	34,000	23.19	n/a	n/a	1,360.00	4.0
KEEFE BRUYETTE WOODS A	031	IVZ	S	37,600	25.79	10-Jul	25-Jul	1,880.00	5.0
WASHINGTON ASSET	048	IVZ	S	7,500	25.80	10-Jul	25-Jul	375.00	5.0
GALLEON MGMT LP	033	IWM	SS	10,000	80.85	n/a	n/a	500.00	5.0
KERN	050	IYM	S	100	71.19	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	217	IYT	B	400	93.31	n/a	n/a	20.00	5.0
COUGAR TRADING	044	JCG	S	10,000	50.83	22-Jun	22-Jun	500.00	5.0
SENTINAL CAPITAL	067	JCI	B	4,000	113.63	19-Jul	19-Jul	200.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	70.64	21-Jun	13-Jul	400.00	4.0
CARLYLE BLUE WAVE PR MG	224	JCP	B	3,700	71.10	21-Jun	13-Jul	185.00	5.0
COUGAR TRADING	044	JCP	S	15,000	71.44	21-Jun	13-Jul	750.00	5.0
JP MORGAN VENTURES	046	JCP	B	10,000	70.55	21-Jun	13-Jul	500.00	5.0
FORD FDN.	032	JNJ	S	37,300	61.47	n/a	22-Apr	1,865.00	5.0
BRANDWINE	028	JPM	B	55,600	44.84	n/a	19-Jul	2,502.00	4.5
BALYASNY ASSET MGMT	044	KSS	S	15,000	63.97	14-Jun	12-Jul	750.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	10,000	64.58	14-Jun	12-Jul	400.00	4.0
KEEFE BRUYETTE WOODS A	031	LEH	SS	3,800	67.07	12-Jun	13-Jul	228.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	LEH	B	7,500	67.58	12-Jun	13-Jul	300.00	4.0
PATTEN & PATTEN	067	LEH	S	4,025	66.80	12-Jun	13-Jul	201.25	5.0
U.S.TRUST COMPANY	012	LEH	B	52,500	68.23	12-Jun	13-Jul	2,362.50	4.5
CARLYLE BLUE WAVE PR MG	224	LII	S	5,000	37.52	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	50,000	35.91	n/a	12-Jul	2,000.00	4.0
PAW PARTNERS	033	LOW	S	46,200	28.67	n/a	21-May	2,310.00	5.0
ROTHSCHILD ASSET	040	LTC	S	1,110	21.06	n/a	n/a	49.95	4.5
BUCK CAPITAL MGMT	001	LTM	B	5,000	54.04	n/a	n/a	200.00	4.0
THIRD COAST CAPITAL	035	MATK	SS	5,000	26.48	n/a	n/a	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	MBI	S	10,000	58.83	n/a	n/a	400.00	4.0
GALLEON MGMT LP	033	MCD	B	5,000	51.00	24-Apr	24-Apr	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	MCO	S	10,000	57.86	n/a	n/a	400.00	4.0
CAXTON PART	040	MET	S	79,594	63.34	n/a	n/a	3,183.76	4.0
DALTON GREINER	012	MLI	B	83,990	38.03	24-Jul	24-Jul	4,199.50	5.0
JP MORGAN VENTURES	046	MRT	B	20,000	17.35	n/a	n/a	1,000.00	5.0
SUN AMERICA ASSET MGMT	030	MSFT	B	119,200	30.90	n/a	3-Apr	5,960.00	5.0
CAXTON PART	040	MS	S	100,000	65.39	2-Jul	13-Jul	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
U.S.TRUST COMPANY	012	MS	B	52,500	66.51	2-Jul	13-Jul	2,362.50	4.5
LISTED ERR	099	MS	B	100,000	65.39	2-Jul	13-Jul	0.00	0.0
LISTED ERR	099	MS	SS	100,000	65.39	2-Jul	13-Jul	0.00	0.0
WHEELER	008	MTRX	S	2,000	24.22	n/a	n/a	0.00	0.0
CARLYLE BLUE WAVE PR MG	224	MT	B	8,000	64.51	n/a	n/a	400.00	5.0
BUCK CAPITAL MGMT	001	M	SS	20,000	41.03	13-Jul	13-Jul	800.00	4.0
LEDY	035	NEXC	S	1,300	9.97	n/a	n/a	0.00	0.0
HAYGROUND COE	039	NKE	B	40,000	57.31	n/a	n/a	2,000.00	5.0
BUCK	015	NQN	S	4,000	13.53	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	OWW	S	129,200	13.51	n/a	n/a	6,460.00	5.0
DSG CAPITAL	225	PAG	S	10,000	20.15	n/a	25-Jul	500.00	5.0
KERN	050	PBW	S	100	21.52	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	PCH	B	10,000	42.46	26-Jun	26-Jun	500.00	5.0
KERN	050	PCL	S	100	40.38	10-Jul	24-Jul	0.00	0.0
TRELLUS PARTNERS LP	028	PLCE	B	10,000	39.73	12-Apr	9-Jul	500.00	5.0
BARR	096	PMI	SS	150	38.90	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	PRU	B	62,500	93.49	n/a	n/a	3,125.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PSUN	SS	15,000	19.86	n/a	13-Jul	750.00	5.0
SAXON WOODS ADVISORS	038	PVA	S	20,000	37.82	n/a	n/a	1,000.00	5.0
HIGHBRIDGE CAPITAL	038	RIO	B	15,000	49.64	n/a	n/a	750.00	5.0
COUGAR TRADING	044	RL	B	5,000	95.22	21-Jun	27-Jun	250.00	5.0
CHART WELL ADV	243	RNT	S	171,975	24.09	n/a	n/a	7,738.93	4.5
GLG PARTNERS	034	ROST	SS	50,000	30.28	n/a	n/a	2,500.00	5.0
SAC TRADING HOWARD	038	ROST	S	10,000	29.97	n/a	n/a	500.00	5.0
GALLEON MGMT LP	033	SBUX	B	5,000	27.88	24-Apr	24-Apr	250.00	5.0
OGORMAN	146	SDS	B	800	52.30	n/a	n/a	0.00	0.0
WHEELER	008	SFE	S	50,000	2.42	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	SHOO	SS	20,000	30.76	n/a	n/a	1,000.00	5.0
MAGNETAR FINANCIAL	247	SKX	B	48,864	22.16	n/a	13-Mar	1,954.56	4.0
LEVIN CAPITAL STRATEGIES	012	SNE	SS	15,000	52.33	n/a	n/a	600.00	4.0
GALLEON MGMT LP	033	SPY	SS	10,000	151.61	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	SSI	S	110,000	19.62	25-May	13-Jul	4,400.00	4.0
CASTLE ARK	031	SWN	B	143,100	39.36	n/a	20-Jul	8,586.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	TEL	S	3,844	38.12	n/a	n/a	0.00	0.0
KERN	050	TIN	S	200	63.18	25-Jul	25-Jul	0.00	0.0
COUGAR TRADING	044	TJX	B	5,000	28.73	13-Jul	13-Jul	250.00	5.0
DALTON GREINER	012	TJX	B	43,640	28.74	13-Jul	13-Jul	2,182.00	5.0
GLG PARTNERS	034	TJX	B	50,000	29.10	13-Jul	13-Jul	2,500.00	5.0
WAGONHOUND	048	TJX	S	22,430	28.87	13-Jul	13-Jul	897.20	4.0
BUCK	015	TOL	B	1,000	23.47	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	TPX	SS	30,000	31.29	n/a	n/a	1,500.00	5.0
BALYASNY ASSET MGMT	044	TRMP	SS	25,000	8.59	n/a	n/a	1,000.00	4.0
GALLEON MGMT LP	033	TSN	B	5,000	22.76	n/a	n/a	250.00	5.0
EGS ASSOCIATES LP	091	TWB	B	6,500	40.10	2-Jul	2-Jul	390.00	6.0
LEDDY	035	TWM	B	800	65.92	n/a	n/a	0.00	0.0
NOLAN	067	TYC	S	5	48.93	19-Jun	19-Jun	0.00	0.0
BRANDWINE	028	USB	B	62,000	31.02	n/a	n/a	2,790.00	4.5
DSG CAPITAL	225	USG	B	3,000	42.49	25-Apr	25-Jul	150.00	5.0
TRIVIUM CAP MGMT	028	USG	C	25,000	41.61	25-Apr	25-Jul	1,000.00	4.0
GALLEON MGMT LP	033	UST	B	5,000	52.58	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ELM RIDGE ADVISORS	044	VC	B	165,000	7.08	n/a	n/a	4,950.00	3.0
NICOLAS APPLGATE	048	VOCS	S	9,000	29.31	n/a	n/a	360.00	4.0
MERRILL ASSET	032	VQ	B	21,300	18.01	n/a	n/a	958.50	4.5
SOCIETY GENERALE	040	WBCWI	S	2,000	0.00	n/a	n/a	100.00	5.0
MAZAMA CAPITAL MGMT.	035	WDR	B	56,400	26.25	13-Jul	24-Jul	2,256.00	4.0
MERRILL ASSET	032	WDR	B	58,500	26.21	13-Jul	24-Jul	2,632.50	4.5
ZOLIDIS	011	WFMI	S	100	38.03	n/a	n/a	0.00	0.0
KERN	050	WMH	S	100	69.67	n/a	n/a	0.00	0.0
ADAGE CAPITAL MGMT	030	WRNC	SS	107,300	37.74	18-Jul	18-Jul	4,292.00	4.0
SNYDER CAPITAL	038	WRNC	S	2,500	39.50	18-Jul	18-Jul	100.00	4.0
MKT MAKER	099	WRNC	S	76	39.50	18-Jul	18-Jul	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	WSM	C	9,700	32.65	n/a	n/a	388.00	4.0
BUCK	015	WY	B	300	79.15	13-Jul	13-Jul	0.00	0.0
KERN	050	XLU	S	100	39.21	n/a	n/a	0.00	0.0
CAXTON PART	040	XOM	S	50,000	91.71	n/a	n/a	2,000.00	4.0
LISTED ERR	099	XOM	B	50,000	91.71	n/a	n/a	0.00	0.0
LISTED ERR	099	XOM	SS	50,000	91.71	n/a	n/a	0.00	0.0
Ö Glenn Redemann		X	B	4	2.1700	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Glenn Redemann		X	B	4	3.8800	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		X	SS	4	3.4800	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		X	SS	4	5.4200	n/a	n/a	0.00	0.0
	COUGAR TRADING	044	ZQK	S	15,000	12.87	9-Mar	27-Jun	750.00	5.0
	SIGMA CAPITAL ASSOCIATES	044	ZQK	B	33,700	12.82	9-Mar	27-Jun	1,685.00	5.0
TOTAL (Trade Date):									186,541.62	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/24	THIRD AVE MGMT (THAMTOUCHS)	030	BRNC	B	10,000	15.09	n/a	n/a	400.00 4.0
AO,	07/20	ARIENCE CAPITAL (ARIEMORGAN)	036	BYD	B	14,452	49.97	n/a	27-Apr	722.60 5.0
AO,CXL	07/20	WAGONHOUND (WAGO)	048	BYD	B	14,452	49.97	n/a	27-Apr	722.60 5.0
AO,CXL	07/24	LUCHANSKY1 (LUCHANSKY1)	036	IWM	SS	500	81.29	n/a	n/a	0.00 0.0
AO,CRT	07/24	LUCHANSKY1 (LUCHANSKY1)	036	IWM	SS	500	81.29	n/a	n/a	0.00 0.0
AO,	07/24	LISTED ERR (LISTED ERR)	040	JPM	S	7,200	45.71	n/a	19-Jul	0.00 0.0
AO,	07/24	LISTED ERR (LISTED ERR)	099	JPM	SS	17,800	45.68	n/a	19-Jul	0.00 0.0
AO,CRT	07/24	LISTED ERR (LISTED ERR)	099	JPM	SS	25,000	45.69	n/a	19-Jul	0.00 0.0
AO,CXL	07/24	CAXTON PART (CAXTKFZEMS)	040	JPM	S	8,504	45.69	n/a	19-Jul	340.16 4.0
AO,CXL	07/24	CAXTON PART (CAXTKFCIMS)	040	JPM	S	16,496	45.69	n/a	19-Jul	659.84 4.0
AO,	07/24	CAXTON PART (CAXTKFZEMS)	040	JPM	SS	8,504	45.69	n/a	19-Jul	340.16 4.0
AO,	07/24	CAXTON PART (CAXTKFCIMS)	040	JPM	SS	16,496	45.69	n/a	19-Jul	659.84 4.0
AO,CRT	07/24	LISTED ERR (LISTED ERR)	099	NDN	B	12,300	13.23	n/a	11-Jul	0.00 0.0
AO,CRT	07/23	ALLEN & CO (ALLNALLEN)	032	QCOM	S	35,250	42.64	n/a	n/a	1410.00 4.0
AO,CXL	07/24	ALLEN & CO (ALLNALLEN)	032	QCOM	S	35,250	42.64	n/a	n/a	1410.00 4.0
AO,CRT	07/24	MKT MAKER (MKT MAKER)	099	URBN	B	100	20.00	2-Apr	16-Jul	0.00 0.0
AO,	07/24	MKT MAKER (MKT MAKER)	099	WRNC	S	77	39.50	18-Jul	18-Jul	0.00 0.0
AO,CXL	07/24	MKT MAKER (MKT MAKER)	038	WRNC	S	77	39.50	18-Jul	18-Jul	3.08 4.0
TOTAL (AO, CXL, CRT) :										396.92

TOTAL (Trade Date):	186,541.62
TOTAL (AO, CXL, CRT):	396.92

TOTAL (RUN DATE) / NFS:	186,938.54
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION