



Daily Compliance Activity Report

Trade Date: 03/09/2011

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MOORE CAPITAL	041	AEO	SS	10,000	15.58	n/a	4-Feb	400.00	4.0
THIRD COAST CAPITAL	035	AEO	S	35,000	15.36	n/a	4-Feb	1,750.00	5.0
APEX CAPITAL MGMT.	261	ALTR	SS	136,300	40.60	n/a	n/a	5,452.00	4.0
BNP PARIBAS SEC	040	ANF	B	20,000	56.65	n/a	17-Feb	1,000.00	5.0
CIRCLE T/SES PART	033	ANF	S	5,000	56.65	n/a	17-Feb	200.00	4.0
EMERSON CAPITAL MGMT	048	ASNA	S	10,000	31.36	n/a	n/a	300.00	3.0
NEUBERGER BERMAN	036	AVAV	B	19,000	32.39	n/a	n/a	760.00	4.0
CATAPULT	040	CAT	S	5,000	102.66	n/a	28-Jan	200.00	4.0
NEUBERGER BERMAN	036	CLGX	B	51,900	18.23	n/a	n/a	2,076.00	4.0
IMPALA ASSET MMT	012	CMI	S	21,200	99.90	n/a	1-Mar	1,060.00	5.0
Õ Nilsa Vazquez		CREE	B	100	48.0180	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	CWEI	S	12,016	93.86	n/a	n/a	480.64	4.0
NEUBERGER BERMAN	036	CXO	S	42,650	101.87	n/a	n/a	1,706.00	4.0
ARMATURE CAPITAL	041	DE	SS	25,000	89.69	n/a	18-Feb	1,000.00	4.0
SCOPUS FUND	041	DKS	B	31,566	40.34	n/a	8-Mar	1,262.64	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
S.A.C. CAP/ORENSTEIN	044	EEM	B	100,000	46.82	n/a	n/a	3,500.00	3.5
COLUMBIA PARTNERS	028	FNSR	S	800	26.51	n/a	n/a	32.00	4.0
COLUMBIA PARTNERS	028	FN	S	349	22.66	n/a	n/a	13.96	4.0
COLUMBIA PARTNERS	028	FOE	S	369	15.39	n/a	n/a	14.76	4.0
COLUMBIA PARTNERS	028	FSC	S	139	13.47	n/a	n/a	5.56	4.0
COLUMBIA PARTNERS	028	GEOI	S	179	29.96	n/a	n/a	7.16	4.0
COLUMBIA PARTNERS	028	GEOY	S	96	41.12	n/a	n/a	3.84	4.0
MOORE CAPITAL	041	GPS	C	7,500	21.39	n/a	n/a	300.00	4.0
PARKWEST	084	GSL	B	13,800	6.97	n/a	n/a	414.00	3.0
COLUMBIA PARTNERS	028	HAIN	S	282	29.56	n/a	n/a	11.28	4.0
ACK ASSET	028	HBI	B	50,000	25.75	n/a	28-Jan	1,500.00	3.0
KLEINHEINZ CAP PART	031	HBI	B	50,000	25.92	n/a	28-Jan	2,500.00	5.0
KLEINHEINZ CAP PART	031	HD	B	35,000	37.52	n/a	22-Feb	1,750.00	5.0
COLUMBIA PARTNERS	028	HOS	S	262	29.01	n/a	n/a	10.48	4.0
COLUMBIA PARTNERS	028	HPY	S	341	19.46	n/a	n/a	13.64	4.0
COLUMBIA PARTNERS	028	HSIC	S	216	69.53	n/a	n/a	8.64	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA PARTNERS	028	HUGH	S	129	59.74	n/a	n/a	5.16	4.0
COLUMBIA PARTNERS	028	IPXL	S	481	22.86	n/a	n/a	19.24	4.0
IMPALA ASSET MMT	012	JBHT	B	23,000	43.40	n/a	n/a	1,150.00	5.0
S.A.C. CAP/ORENSTEIN	044	KBE	SS	200,000	26.50	n/a	n/a	7,000.00	3.5
IMPALA ASSET MMT	012	KNX	B	52,000	19.46	n/a	n/a	2,080.00	4.0
IMPALA ASSET MMT	012	KSU	S	10,700	53.80	n/a	n/a	535.00	5.0
COLUMBIA PARTNERS	028	LOGM	S	249	35.90	n/a	n/a	9.96	4.0
MA CAPITAL MGMT/MELLON ADV041		LPX	B	6,000	10.10	n/a	10-Feb	180.00	3.0
SAC TRADING TOM LEDDY	035	LTD	S	40,000	31.48	n/a	n/a	1,400.00	3.5
COLUMBIA PARTNERS	028	LTM	S	44	36.54	n/a	n/a	1.76	4.0
COLUMBIA PARTNERS	028	MDMD	S	109	13.59	n/a	n/a	4.36	4.0
COLUMBIA PARTNERS	028	MIDD	S	112	88.07	n/a	3-Mar	4.48	4.0
COLUMBIA PARTNERS	028	MIPS	S	760	11.61	n/a	n/a	30.40	4.0
COLUMBIA PARTNERS	028	MNRO	S	147	31.94	n/a	n/a	5.88	4.0
COLUMBIA PARTNERS	028	MTZ	S	123	19.66	n/a	n/a	4.92	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ARMATURE CAPITAL	041	MWV	S	40,000	28.61	n/a	26-Jan	1,600.00	4.0
COLUMBIA PARTNERS	028	NGS	S	311	17.81	n/a	n/a	12.44	4.0
COLUMBIA PARTNERS	028	NOG	S	356	30.79	n/a	n/a	14.24	4.0
COLUMBIA PARTNERS	028	ODFL	S	314	32.69	n/a	n/a	12.56	4.0
COLUMBIA PARTNERS	028	OSIS	S	309	37.99	n/a	n/a	12.36	4.0
COLUMBIA PARTNERS	028	OXPS	S	340	16.26	n/a	n/a	13.60	4.0
EMERSON CAPITAL MGMT	048	PERY	B	2,240	27.10	n/a	n/a	67.20	3.0
COLUMBIA PARTNERS	028	PII	S	84	81.16	n/a	n/a	3.36	4.0
METROPOLITAN CAPITAL	044	PLCE	S	15,800	46.34	n/a	9-Mar	790.00	5.0
SAC TRADING TOM LEDDY	035	PLCE	S	20,000	47.96	n/a	9-Mar	700.00	3.5
COLUMBIA PARTNERS	028	PRX	S	321	31.10	n/a	7-Mar	12.84	4.0
SAC TRADIN/BINDER	044	PSS	S	50,000	20.54	n/a	n/a	1,750.00	3.5
NEUBERGER BERMAN	036	TFM	S	15,100	39.16	n/a	n/a	604.00	4.0
SENATOR INVESTMENT GROUP	036	TIN	B	5,000	22.93	n/a	8-Feb	150.00	3.0
Ñ Nilsa Vazquez		TSM	B	500	12.3780	n/a	n/a	0.00	0.0
COUGAR TRADING	044	UFI	B	5,000	17.43	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IMPALA ASSET MMT	012	URI	S	100,300	31.09	n/a	n/a	4,012.00	4.0
IMPALA ASSET MMT	012	UTIW	S	30,500	19.27	n/a	n/a	1,220.00	4.0
OSKIE CAPITAL MGMT	224	WCRX	B	5,000	23.36	n/a	9-Mar	250.00	5.0
IMPALA ASSET MMT	012	WLL	S	6,900	66.24	n/a	n/a	345.00	5.0
IMPALA ASSET MMT	012	WNC	S	24,600	10.23	n/a	n/a	738.00	3.0
MOORE CAPITAL	041	XLP	C	12,500	29.91	n/a	n/a	375.00	3.0
KLEINHEINZ CAP PART	031	XOM	B	75,000	84.27	n/a	n/a	3,750.00	5.0
OWL CREEK INV	085	X	S	500,000	54.10	n/a	n/a	15,000.00	3.0
TOTAL (Trade Date) :								71,846.36	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									71,846.36
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									71,846.36

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION