



# Daily Compliance Activity Report

Trade Date: 08/19/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NOMURA CAPITAL	045	ACW	B	20,000	1.93	n/a	n/a	400.00	2.0
TYEE/TYGH	035	AMG	B	9,200	88.94	23-Jul	18-Aug	368.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AMT+II</b>	<b>C</b>	<b>2,000</b>	<b>0.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>S</b>	<b>10,000</b>	<b>49.89</b>	<b>7-Aug</b>	<b>15-Aug</b>	<b>400.00</b>	<b>4.0</b>
PRINCIPLE CAPITAL	040	ANF	SS	24,400	50.16	7-Aug	15-Aug	976.00	4.0
JP MORGAN VENTURES	046	ANN	SS	15,000	24.36	n/a	11-Jul	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>65,000</b>	<b>34.43</b>	<b>28-Apr</b>	<b>8-Aug</b>	<b>2,600.00</b>	<b>4.0</b>
WILLOW CREEK	033	AWBC	SS	10,000	1.65	n/a	n/a	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBT</b>	<b>SS</b>	<b>70,000</b>	<b>27.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBY+UV</b>	<b>SS</b>	<b>1,000</b>	<b>1.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
WHITTIER CAP	048	BBY	S	920	44.99	n/a	27-Jul	36.80	4.0
MS PRINCIPAL STRATEGIES	041	BK	B	25,000	35.03	n/a	15-Aug	1,250.00	5.0
SANDLER O'NEILL ASSET	031	BLK	B	10,000	206.45	n/a	18-Aug	500.00	5.0
WHITTIER CAP	048	BMRN	S	13,180	25.69	n/a	n/a	527.20	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BWS</b>	<b>SS</b>	<b>20,000</b>	<b>16.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DIAMONDBACK CAP MGMT	232	CALM	S	10,000	46.24	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	COH	B	20,000	29.48	30-Jul	30-Jul	1,000.00	5.0
ß BUCK CAPITAL MGMT		COLM	C	30,000	40.39	n/a	n/a	non-brg	4.0
WHITTIER CAP	048	CRI	S	6,855	17.98	n/a	n/a	274.20	4.0
ß BUCK CAPITAL MGMT		DECK	B	5,000	116.22	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	DKS	S	20,000	18.38	7-Jul	15-Aug	800.00	4.0
ß BUCK CAPITAL MGMT		DLTR	SS	50,000	39.43	n/a	8-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		DPZ+XV	SS	2,000	1.15	n/a	n/a	non-brg	300.0
VISIUM/DIV OF BALY BOBBY	044	DV	B	5,000	47.62	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		EAT+VW	SS	2,400	0.77	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	EL	B	10,000	50.95	15-Aug	15-Aug	400.00	4.0
MILLRACE FUND LP	028	EV	B	4,000	36.12	8-Aug	18-Aug	160.00	4.0
SCUDDER HEDGE	034	EV	B	5,000	36.11	8-Aug	18-Aug	250.00	5.0
CLOVIS CAPITAL	012	EXC	B	185,000	73.72	n/a	n/a	9,250.00	5.0
SENA	067	EXC	B	7,500	73.84	n/a	n/a	300.00	4.0
WILLOW CREEK	033	FBP	SS	5,000	9.28	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓐ BUCK CAPITAL MGMT		FMQ+VF	SS	1,000	0.69	n/a	n/a	non-brg	100.0
COUGAR TRADING	044	FOSL	SS	5,000	29.89	n/a	13-Aug	250.00	5.0
WILLOW CREEK	033	FSNM	SS	10,000	5.90	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	GCO	B	10,000	31.21	n/a	10-Jan	400.00	4.0
BUCK CAPITAL MGMT	001	GES	B	30,000	34.97	n/a	n/a	1,200.00	4.0
Ⓐ BUCK CAPITAL MGMT		GIGM	B	10,000	11.84	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	23.07	14-Aug	14-Aug	400.00	4.0
CASTLE ARK	031	GLW	S	278,900	21.05	n/a	30-Jul	16,734.00	6.0
COUGAR TRADING	044	GPS	B	5,000	18.87	n/a	8-Aug	250.00	5.0
Ⓐ BUCK CAPITAL MGMT		GYMB	B	10,000	36.69	11-Jul	15-Aug	non-brg	4.0
COUGAR TRADING	044	GYMB	S	20,000	36.76	11-Jul	15-Aug	1,000.00	5.0
CLOVIS CAPITAL	012	HSY	SS	80,000	37.70	n/a	n/a	4,000.00	5.0
CSFB GLOBAL PROPRIETARY	041	IP	B	50,000	28.09	1-Aug	1-Aug	2,500.00	5.0
DIAMONDBACK CAP MGMT	232	IP	S	20,000	27.69	1-Aug	1-Aug	1,000.00	5.0
MERRILL ASSET	032	IVZ	S	33,800	24.80	4-Aug	18-Aug	1,521.00	4.5
COUGAR TRADING	044	JCG	B	30,000	25.09	n/a	30-May	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	034	JCP	B	13,000	36.87	n/a	15-Aug	520.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>SS</b>	<b>150,000</b>	<b>18.22</b>	<b>n/a</b>	<b>30-Jul</b>	<b>non-brg</b>	<b>4.0</b>
WHITTIER CAP	048	JWN	B	2,835	29.49	10-Jul	15-Aug	113.40	4.0
MERRILL ASSET	032	KDN	S	20,000	53.18	n/a	n/a	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>S</b>	<b>50,000</b>	<b>48.86</b>	<b>n/a</b>	<b>15-Aug</b>	<b>2,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	KSS	SS	2,500	49.74	n/a	15-Aug	125.00	5.0
THE JAY GOLDMAN	034	KSS	SS	10,000	48.95	n/a	15-Aug	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>LAMR</b>	<b>S</b>	<b>51,846</b>	<b>38.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LBTYK</b>	<b>S</b>	<b>103,256</b>	<b>30.88</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LBTYK</b>	<b>S</b>	<b>25,000</b>	<b>31.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
WILLOW CREEK	033	LCC	S	25,000	7.91	n/a	n/a	1,000.00	4.0
NATIONAL BK OF CAN	031	LEH	S	15,000	13.70	20-Aug	15-Aug	600.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LOW</b>	<b>SS</b>	<b>20,000</b>	<b>23.81</b>	<b>n/a</b>	<b>27-Jul</b>	<b>800.00</b>	<b>4.0</b>
DIAMONDBACK CAP MGMT	232	LTD	B	18,940	18.20	19-Aug	19-Aug	947.00	5.0
DISIPLINED GROWTH INV.	030	LTD	S	5,300	18.00	19-Aug	19-Aug	265.00	5.0
EGS ASSOCIATES LP	091	LTD	B	3,500	18.03	19-Aug	19-Aug	210.00	6.0
DISIPLINED GROWTH INV.	030	LVLTT	S	45,500	3.16	n/a	4-Jan	1,365.00	3.0
BALYASNY ASSET MGMT	044	MAR	B	30,000	27.70	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITTIER CAP	048	MCD	S	1,335	62.37	9-Jun	8-Aug	53.40	4.0
WHITTIER CAP	048	MDR	B	2,700	33.84	n/a	n/a	108.00	4.0
WILLOW CREEK	033	MEA	SS	5,000	10.47	n/a	n/a	200.00	4.0
MERRILL ASSET	032	MLI	B	49,500	27.48	n/a	22-Jul	2,227.50	4.5
NATIONAL BK OF CAN	031	MS	SS	10,000	37.67	15-Aug	15-Aug	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>80,000</b>	<b>137.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>13.8</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>B</b>	<b>30,000</b>	<b>20.33</b>	<b>12-Aug</b>	<b>14-Aug</b>	<b>1,200.00</b>	<b>4.0</b>
PERRY PARTNERS	041	NCR	B	8,200	26.40	n/a	n/a	246.00	3.0
WILLOW CREEK	033	NCTY	SS	5,000	18.56	n/a	n/a	200.00	4.0
BENCHMARK	028	OME	S	1,900	12.87	n/a	n/a	95.00	5.0
WILLOW CREEK	033	OME	SS	5,000	13.12	n/a	n/a	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>B</b>	<b>15,000</b>	<b>36.41</b>	<b>23-Jun</b>	<b>15-Aug</b>	<b>non-brg</b>	<b>4.0</b>
AVESTA CAP	229	POT	B	10,000	176.83	n/a	n/a	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>S</b>	<b>180,000</b>	<b>36.73</b>	<b>5-May</b>	<b>18-Jul</b>	<b>7,200.00</b>	<b>4.0</b>
WHITTIER CAP	048	PVH	B	7,600	36.86	5-May	18-Jul	304.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>QPT+UX</b>	<b>SS</b>	<b>1,400</b>	<b>0.91</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>RCL</b>	<b>S</b>	<b>20,000</b>	<b>27.43</b>	<b>23-Jul</b>	<b>23-Jul</b>	<b>non-brg</b>	<b>4.0</b>
DISIPLINED GROWTH INV.	030	RCL	S	4,000	27.15	23-Jul	23-Jul	200.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>10,000</b>	<b>72.38</b>	<b>n/a</b>	<b>7-Aug</b>	<b>400.00</b>	<b>4.0</b>
IRIDIAN ASSET MGMT.	036	ROC	B	67,770	38.68	n/a	n/a	3,388.50	5.0
SOLARIS INV MGMT	029	SCHW	B	61,500	22.58	n/a	n/a	3,075.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>SKS</b>	<b>C</b>	<b>4,000</b>	<b>9.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAYPOINT TRADING/XYLEM	091	SPP	B	20,000	10.50	n/a	n/a	800.00	4.0
TRELLUS PARTNERS LP	028	SUP	SS	10,000	18.77	25-Jul	8-Aug	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>C</b>	<b>50,000</b>	<b>49.31</b>	<b>n/a</b>	<b>15-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>THI</b>	<b>SS</b>	<b>30,000</b>	<b>31.30</b>	<b>n/a</b>	<b>24-Apr</b>	<b>1,200.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>S</b>	<b>100,000</b>	<b>34.60</b>	<b>11-Aug</b>	<b>12-Aug</b>	<b>4,000.00</b>	<b>4.0</b>
DISIPLINED GROWTH INV.	030	TJX	S	6,700	34.65	11-Aug	12-Aug	335.00	5.0
WHITTIER CAP	048	TJX	S	3,500	34.79	11-Aug	12-Aug	140.00	4.0
BALYASNY ASSET MGMT	044	TPX	B	20,000	10.33	n/a	n/a	1,000.00	5.0
WILLOW CREEK	033	TQNT	S	15,000	6.59	n/a	n/a	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TRLG</b>	<b>S</b>	<b>40,000</b>	<b>26.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PRINCIPLE CAPITAL	040	TUP	SS	130,100	37.17	n/a	n/a	3,903.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	TWB	B	60,000	9.67	n/a	13-Aug	2,400.00	4.0
WILLOW CREEK	033	TXN	SS	5,000	24.97	n/a	n/a	200.00	4.0
LISTED ERR	099	UBS	B	2,400	19.42	n/a	n/a	0.00	0.0
LISTED ERR	099	UBS	SS	2,400	19.43	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ULTA	S	200,000	11.81	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		ULTA	S	5,000	11.81	n/a	n/a	non-brg	2.5
DIAMONDBACK CAP MGMT	232	UNH	S	15,000	30.39	n/a	n/a	750.00	5.0
ß BUCK CAPITAL MGMT		URBN	S	40,000	33.85	14-Aug	14-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		URY+LC	SS	2,000	0.85	n/a	n/a	non-brg	100.0
NATIONAL BK OF CAN	031	USB	SS	20,000	29.27	n/a	n/a	800.00	4.0
LEDDY	035	VC	B	4,500	3.27	n/a	31-Jul	0.00	0.0
WHITTIER CAP	048	WAB	S	1,885	58.33	n/a	n/a	75.40	4.0
LEHMAN PROPRIETARY TRAD	041	WAG	S	25,000	36.21	n/a	n/a	1,000.00	4.0
MERRILL ASSET	032	WBS	S	41,700	21.11	n/a	n/a	1,876.50	4.5
VISIUM/DIV OF BALY BOBBY	044	WFC	SS	30,000	28.40	n/a	n/a	1,500.00	5.0
OGORMAN	046	WFC	SS	500	27.75	n/a	n/a	0.00	0.0
OGORMAN	046	WFSL	SS	1,000	17.50	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓐ BUCK CAPITAL MGMT		WMT	S	170,000	58.25	8-Aug	14-Aug	non-brg	4.0
WILLOW CREEK	033	WOLF	SS	10,000	5.06	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	WRC	S	140,000	49.77	8-Aug	8-Aug	5,600.00	4.0
COUGAR TRADING	044	WRC	SS	10,000	50.28	8-Aug	8-Aug	500.00	5.0
Ⓐ BUCK CAPITAL MGMT		WWW+XX	SS	2,000	0.90	n/a	n/a	non-brg	100.0
TOTAL (Trade Date):							111,069.90		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	08/14	SAB CAPITAL	034	IWM	B	23,000	74.60	n/a	n/a	1150.00 5.0
AO,CRT	08/14	SAB CAPITAL	034	IWM	B	23,000	74.60	n/a	n/a	1150.00 5.0
AO,	08/18	SOLARIS INV MGMT	029	SCHW	B	50,000	23.13	n/a	n/a	2500.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>2,500.00</b>

TOTAL (Trade Date) :	111,069.90
TOTAL (AO, CXL, CRT) :	2,500.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>113,569.90</b>
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MRI Securities with Trade Activity on 19-Aug.

**LTD**

MRI Securities without Trade Activity on 19-Aug.

**NONE**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 32%

YTD = 18%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**