



Daily Compliance Activity Report

Trade Date: 04/08/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f FRANCIS MICHAEL MCCA	FID	AFL	B	250	53.85	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	041	ANF	S	25,000	67.41	n/a	6-Apr	875.00	3.5
f CHRISTOPHER ROBERT C	FID	AONE	B	500	5.58	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	AONE	B	275	5.52	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ASNA	S	20,000	32.88	n/a	n/a	non-brg	4.0
STRONG/MARK GRONET	030	ASTI	S	3,545	1.59	n/a	n/a	35.45	1.0
UNICOM CAPITAL	033	AVT	B	10,000	34.47	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		AVY	S	25,600	42.12	n/a	10-Mar	non-brg	2.5
B BUCK CAPITAL MGMT		BAC	B	30,000	13.54	n/a	28-Mar	non-brg	0.5
B BUCK CAPITAL MGMT		BAC	S	30,000	16.55	n/a	28-Mar	non-brg	0.5
f FRANCIS MICHAEL MCCA	FID	BEE	S	2500	6.24	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BONT	S	10,000	14.15	n/a	n/a	non-brg	4.0
TALPION LLC	040	BSBR	S	30,000	11.95	n/a	n/a	1,200.00	4.0
B BUCK CAPITAL MGMT		BYI	B	5,000	36.52	8-Apr	8-Apr	non-brg	1.0
B BUCK CAPITAL MGMT		CAR	S	50,000	18.38	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
T. ROWE PRICE	033	CAT	B	42,500	109.46	n/a	28-Jan	1,700.00	4.0
COUGAR TRADING	044	CBRL	SS	10,000	47.80	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		CHS	S	30,000	15.18	n/a	5-Feb	non-brg	4.0
f STEFFANIE CARMELA CA	FID	CLNE	S	500	17.59	n/a	n/a	0.00	0.0
FRED ALGER MGMT	035	CMI	S	45,295	108.37	n/a	1-Mar	1,630.62	3.6
BALLAST CAP	040	CVS	B	13,300	36.39	n/a	n/a	665.00	5.0
B BUCK CAPITAL MGMT		C	B	109,614	4.61	n/a	28-Mar	non-brg	0.5
B BUCK CAPITAL MGMT		C	S	109,614	4.61	n/a	28-Mar	non-brg	0.5
f JONATHAN RICHARD HAR	FID	DAN	S	285	17.54	n/a	24-Feb	0.00	0.0
B BUCK CAPITAL MGMT		DDS	S	10,000	42.58	n/a	n/a	non-brg	4.0
TALPION LLC	040	DELL	B	15,000	14.85	n/a	n/a	600.00	4.0
f JOSEPH CIRO AMATURO	FID	DIA	S	1000	123.86	n/a	n/a	0.00	0.0
CYPRESS CAP MGMT LLC	040	DISCA	S	11,000	40.30	n/a	n/a	440.00	4.0
f JONATHAN RICHARD HAR	FID	DRI	S	205	46.85	n/a	25-Mar	0.00	0.0
ENTRUST CAPITAL	040	DW	SS	6,500	22.32	n/a	n/a	325.00	5.0
BUCK CAPITAL MGMT	001	EAT	B	10,000	23.98	n/a	26-Jan	300.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER CAPITAL	028	EMR	SS	85,000	57.88	n/a	n/a	4,250.00	5.0
ROYCE TRADING	012	FINL	B	11,000	20.34	n/a	25-Mar	550.00	5.0
BUCK CAPITAL MGMT	001	FL	S	10,000	20.79	n/a	3-Mar	300.00	3.0
⚡ BUCK CAPITAL MGMT		FOSL	S	10,000	94.20	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	FSENX	S	87	61.58	n/a	n/a	0.00	0.0
f MITCHELL JAMES SPEIS	FID	FSNXX	B	64680	1.00	n/a	n/a	0.00	0.0
f JOEL GIFFORD TISS	FID	FSS	S	10000	6.56	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	F	SS	30,000	15.41	n/a	4-Apr	1,500.00	5.0
f FRANCIS MICHAEL MCCA	FID	F	S	1000	15.28	n/a	4-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	S	10,000	32.34	n/a	9-Feb	300.00	3.0
⚡ BUCK CAPITAL MGMT		GMCR	S	10,000	64.96	n/a	n/a	non-brg	2.5
BNP PARIBAS SEC	040	GM	SS	20,000	31.85	n/a	4-Apr	1,000.00	5.0
CIRCLE T/SES PART	033	GM	SS	15,000	32.42	n/a	4-Apr	600.00	4.0
IRIDIAN ASSET MGMT.	036	GM	S	3,480	31.63	n/a	4-Apr	174.00	5.0
CYPRESS CAP MGMT LLC	040	GPS	SS	20,100	22.42	n/a	n/a	804.00	4.0
PILOT ADV	048	GPS	SS	16,000	22.18	n/a	n/a	480.00	3.0
EGS ASSOCIATES LP	091	HOT	B	8,000	56.83	n/a	7-Apr	480.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JWN	S	10,000	46.80	n/a	8-Apr	300.00	3.0
f BARRY JONAS	FID	KEG	S	750	15.56	n/a	24-Feb	0.00	0.0
f ABRAM M CHAPPELL	FID	KID	B	420	7.15	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	LEN	B	25,000	18.74	n/a	n/a	1,000.00	4.0
WEINTRAUB CAPITAL MGT.	040	LPX	B	50,000	9.65	n/a	6-Apr	2,000.00	4.0
BUCK CAPITAL MGMT	001	LTD	S	30,000	36.55	n/a	n/a	900.00	3.0
B BUCK CAPITAL MGMT		LTD	S	20,000	36.96	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	LTD	B	11,678	36.43	n/a	n/a	467.12	4.0
f MARC PAUL LUCHANSKY	FID	LVS	S	500	44.52	n/a	8-Apr	0.00	0.0
PERRY PARTNERS	085	MENT	S	25,000	14.47	n/a	n/a	1,000.00	4.0
CASTLE ARK	031	MON	S	153,800	66.68	n/a	6-Apr	7,690.00	5.0
KENNEDY CAPITAL	046	MOV	S	100	17.00	n/a	n/a	4.00	4.0
B BUCK CAPITAL MGMT		MSMSDAH	SS	3,400,000	99.43	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		MSMSSOHA	C	3,140,000	107.63	n/a	n/a	non-brg	5.4
f FRANCIS MICHAEL MCCA	FID	MTOR	S	700	15.79	n/a	n/a	0.00	0.0
f MARY B MCCARTAN	FID	MTOR	S	700	15.79	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	MTW	B	50	21.65	n/a	2-Feb	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		MVRX	SS	35,000	220.56	n/a	n/a	non-brg	22.1
BNP PARIBAS SEC	040	M	B	40,000	25.12	n/a	8-Apr	2,000.00	5.0
BUCK CAPITAL MGMT	001	M	S	10,000	24.70	n/a	8-Apr	300.00	3.0
⚡ BUCK CAPITAL MGMT		M	S	30,000	24.99	n/a	8-Apr	non-brg	4.0
SMITH MGMT LLC	029	NDN	B	125,000	19.73	n/a	31-Mar	6,250.00	5.0
f ALEXANDRA ELISE ZOLI	FID	NKE	S	100	78.16	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID	NM	B	2000	5.75	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		NWY	S	1,200	7.37	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		NWY	S	1,266	7.16	n/a	n/a	non-brg	1.0
PERRY PARTNERS	085	NYX	B	50,000	38.56	n/a	n/a	2,500.00	5.0
STATE TEACHERS RET SYS	047	OFC	B	5,000	34.75	n/a	n/a	250.00	5.0
⚡ BUCK CAPITAL MGMT		OXM	S	10,000	33.70	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		OXM	S	40,000	33.63	n/a	n/a	non-brg	2.5
COOKBIELER	028	PAG	B	36,300	20.81	n/a	17-Feb	1,452.00	4.0
CSFB GLOBAL PROPRIETARY	041	PCH	B	10,000	39.45	n/a	9-Feb	500.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	PIR	S	1000	11.77	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	PIR	S	300	11.66	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	15,000	28.33	n/a	17-Mar	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SHEFFIELD ASSET MGMT	031	PKG	S	7,419	28.64	n/a	17-Mar	370.95	5.0
WEDBUSH/PACIFIC GROWTH EQTY LLC084	PWER		B	30,000	8.43		n/a	n/a	1,200.00 4.0
PILOT ADV	048	RCII	SS	11,000	35.11	n/a	n/a	330.00	3.0
B BUCK CAPITAL MGMT		RYL	B	20,000	16.42	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SHOO	S	100,000	48.80	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		SHOO	S	2,150	48.86	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SIG	S	10,000	45.83	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		SIG	S	10,000	45.85	n/a	n/a	non-brg	4.0
THIRD POINT MGMT	044	SLE	B	100,000	18.48	n/a	n/a	4,000.00	4.0
f JOSEPH CIRO AMATURO	FID	SLW	B	10000	46.68	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	SLW	S	10000	47.49	n/a	n/a	0.00	0.0
CATAPULT	040	SON	SS	5,000	35.89	n/a	n/a	200.00	4.0
CAMBIAR CAP	046	SPR	B	48,000	24.02	8-Apr	8-Apr	1,920.00	4.0
f JASON ERIC COHEN	FID	SPY	B	8	1.23	n/a	n/a	0.00	0.0
CLOVIS CAPITAL	012	S	SS	250,000	4.72	n/a	n/a	7,500.00	3.0
IVORY CAPITAL	034	S	S	669,200	4.65	n/a	n/a	6,692.00	1.0
SIGMA CAPITAL ASSOCIATES	041	TGT	SS	50,000	49.38	n/a	8-Apr	1,750.00	3.5
SACC-SCHWARTZ	044	TIE	B	33,900	18.40	n/a	n/a	1,186.50	3.5

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
	CSFB GLOBAL PROPRIETARY	041	TIN	B	20,000	23.02	n/a	17-Mar	1,000.00	5.0
f	BERNA GABRIELLE BARS	FID	TIVO	B	10	0.50	n/a	n/a	0.00	0.0
f	BERNA GABRIELLE BARS	FID	TIVO	S	10	0.54	n/a	n/a	0.00	0.0
	PILOT ADV	048	TKR	SS	8,000	50.75	n/a	n/a	240.00	3.0
	BUCK CAPITAL MGMT	001	TPX	S	10,000	59.06	n/a	n/a	300.00	3.0
	MERRILL ASSET/BLACKROCK	266	TTI	B	17,000	15.09	n/a	n/a	680.00	4.0
	CAXTON PART	040	UNP	S	26,136	95.10	n/a	n/a	914.79	3.5
	CAXTON PART	040	UTX	S	19,589	84.56	n/a	n/a	685.63	3.5
f	JASON ERIC COHEN	FID	VFC	S	100	98.25	n/a	14-Mar	0.00	0.0
f	FRANCIS MICHAEL MCCA	FID	VPG	S	600	15.87	n/a	n/a	0.00	0.0
	PILOT ADV	048	WRLD	SS	2,300	63.97	n/a	n/a	69.00	3.0
	RAINIER INV MGMT	035	WTR	S	52,000	22.49	n/a	n/a	2,080.00	4.0
	COOPER CREEK PART	028	WY	SS	10,000	23.98	n/a	8-Apr	400.00	4.0
	GRYPHON CAPITAL MGMT	084	WY	SS	75,000	23.81	n/a	8-Apr	2,250.00	3.0
	TRELLUS PARTNERS LP	028	WY	SS	6,941	24.05	n/a	8-Apr	347.05	5.0
B	BUCK CAPITAL MGMT		XLFX	C	26,900	16.58	n/a	n/a	non-brg	0.5
B	BUCK CAPITAL MGMT		XLFX	SS	26,900	16.58	n/a	n/a	non-brg	0.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
US BANCORP	030	XOM	S	77,093	85.87	n/a	n/a	3,469.20	4.5
DAVIDSON KEMPNER ADVISOR	040	X	C	10,000	52.94	n/a	n/a	500.00	5.0
TOTAL (Trade Date):								84,957.31	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/07	HARVEST MGMT	029	BBY	B	10,000	30.59	n/a	n/a	400.00 4.0
AO,CXL	04/07	HARVEST MGMT	029	BBY	B	10,000	30.59	n/a	n/a	500.00 5.0
AO,	04/07	KAYNE ANDERSON ADV	084	LPHI	S	7,000	8.00	n/a	n/a	280.00 4.0
AO,	04/07	CASTLE ARK	031	PCAR	B	74,743	52.86	n/a	2-Feb	3737.15 5.0
AO,	04/07	DAVIDSON KEMPNER ADVISOR	040	X	C	10,000	53.84	n/a	n/a	500.00 5.0

TOTAL (AO, CXL, CRT) :**4,417.15**

TOTAL (Trade Date):

84,957.31

TOTAL (AO, CXL, CRT):

4,417.15

TOTAL (RUN DATE) / NFS:**89,374.46**

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 8-Apr.

BYI, SPR

MRI Securities without Trade Activity on 8-Apr.

SHPGY

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 1%

YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)