



RR Commissions Summary [Andrew Sinclair]

Trade Date: 12/31/2012

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADALY INV MGMT	031	0	0	0	1,875	0	0	0	0	0	1,875	17,508	11
ASSOCIATED	031	0	0	0	0	0	0	15,509	0	0	15,509	19,769	78
BARROW HANLEY MEWHINNEY	031	0	0	34,626	74,344	0	0	14,719	0	34,626	89,062	244,476	36
CASTLE ARK	031	0	0	45,424	210,377	0	0	0	0	45,424	210,377	252,213	83
CHANNING CAPITAL	031	0	0	4,125	9,924	0	0	0	0	4,125	9,924	0	0
CHILTON CAPITAL MGMT	031	0	0	1,060	4,159	0	0	0	0	1,060	4,159	0	0
COPIA CAPITAL	031	0	0	9,275	16,875	0	0	0	0	9,275	16,875	25,507	66
HAMILTON CAP PART FIN	031	0	0	2,000	4,520	0	0	0	0	2,000	4,520	4,412	102
HIGHLAND CAPITAL/PYXIS	031	0	0	1,828	1,828	0	0	0	0	1,828	1,828	0	0
INVESCO	031	0	0	2,309	114,396	0	0	0	0	2,309	114,396	157,575	73
JHL CAPITAL	031	0	0	0	0	12,500	12,500	12,500	12,500	12,500	12,500	12,500	100
KLEINHEINZ CAP PART	031	0	0	84,602	279,792	0	0	0	0	84,602	279,792	333,619	84
MAGNETAR FINANCIAL	031	0	0	0	0	0	0	0	0	0	0	102,000	0
MILLENUM -MICHAEL EHRLICH	031	0	0	2,541	2,541	0	0	0	0	2,541	2,541	0	0
NEW VERNON/SF INVESTMENTS	031	0	0	7,229	13,151	0	0	0	0	7,229	13,151	28,990	45
NOKOMIS PARTNERS	031	2,235	2,714	12,877	26,817	0	0	0	2,714	12,877	26,817	15,014	179
PIONEER OAKRIDGE	031	0	0	0	22,272	0	8,000	18,000	0	8,000	40,272	39,662	102
RAIL-SPLITTER	031	0	0	0	0	6,000	6,000	19,000	6,000	6,000	19,000	34,461	55
ROARING BLUE LION MGMT	031	0	892	2,385	18,761	0	0	0	892	2,385	18,761	10,183	184
ROYCE TRADING	031	0	0	31,156	101,758	0	0	0	0	31,156	101,758	28,638	355
SAC CHICAGO ANDREW	031	0	0	30,829	31,633	0	0	0	0	30,829	31,633	96,131	33
SANDLER O'NEILL ASSET	031	2,690	6,190	22,624	111,264	0	0	0	6,190	22,624	111,264	100,882	110
SHEFFIELD ASSET MGMT	031	0	0	13,368	38,713	0	0	0	0	13,368	38,713	54,543	71
UBS OCONNOR	031	0	0	7,500	19,717	0	0	0	0	7,500	19,717	33,282	59
WANGER ASS	031	0	0	0	0	7,500	7,500	30,000	7,500	7,500	30,000	42,500	71
WOODWAY FINANCIAL	031	0	0	7,737	34,268	0	0	0	0	7,737	34,268	25,085	137
TOTALS:		4,925	9,796	323,494	1,138,983	26,000	34,000	109,728	35,796	357,494	1,248,711		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAISSE DEPOT PLACEMENT Q	295	0	0	12,444	56,594	0	0	0	0	12,444	56,594	48,565	0
CITADEL ADV	280	0	0	0	0	0	110,000	240,000	0	110,000	240,000	260,000	92
CITADEL ALPHA LEAGUE	280	0	0	0	0	0	81,693	100,035	0	81,693	100,035	0	0
LONE PINE MGMT	257	0	0	0	0	0	0	0	0	0	0	28,000	0
LORD ABBETT & COMPANY	098	0	0	0	4,110	0	0	0	0	0	4,110	30,000	0

MOORE CAPITAL	257	0	0	0	63,167	0	0	0	0	0	63,167	0	0
SG CAPITAL/CEDAR STREET FUNDS	307	0	0	0	0	0	0	0	0	0	0	2,000	0
TOTALS:		0	0	12,444	123,872	0	191,693	340,035	0	204,137	463,907		

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