



## RR Commissions Summary [ Brian Colburn ]

Trade Date: 09/24/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
APEX CAPITAL MGMT.	033	0	2,668	18,298	42,542	0	0	0	2,668	18,298	42,542	0	0
ARCHON CAPITAL	033	0	0	5,145	5,145	0	0	1	0	5,145	5,146	0	0
ASCEND PARTNERS	033	300	8,093	16,391	63,145	0	0	0	8,093	16,391	63,145	73,854	86
CHARTER OAK	033	0	0	6,400	13,911	0	0	0	0	6,400	13,911	21,191	66
CLOVIS CAPITAL	033	0	0	1,500	2,500	0	0	0	0	1,500	2,500	17,250	14
COLUMBIA MGMT	033	0	0	0	0	0	0	27,529	0	0	27,529	0	0
CONIFER/FIRESTORM/BC	033	0	0	0	820	0	0	0	0	0	820	480	171
DAVIDSON INV ADV	033	0	0	0	21,274	0	0	0	0	0	21,274	0	0
FORWARD MGMT	033	0	0	600	2,700	0	0	0	0	600	2,700	5,467	49
FRIESS MGMT	033	0	0	18,603	18,603	0	0	0	0	18,603	18,603	16,612	112
HOLLIS CAPITAL	033	0	750	3,700	12,075	0	0	0	750	3,700	12,075	9,175	132
INTERVAL PARTNERS	033	750	3,900	10,558	13,078	0	0	0	3,900	10,558	13,078	4,665	280
LATEEF MGMT	033	0	2,814	7,207	21,336	0	0	0	2,814	7,207	21,336	0	0
MORTAR ROCK	033	0	0	0	0	0	0	0	0	0	0	4,500	0
MUTUAL SHARES/FRANKLYN	033	0	0	0	0	0	0	17,189	0	0	17,189	6,042	284
PARAGON INVESTMENT	033	0	0	0	0	0	0	12,001	0	0	12,001	0	0
PHILADELPHIA FINANCIAL	033	0	0	6,000	46,312	0	0	0	0	6,000	46,312	80,341	58
RAINIER INV MGMT	033	0	0	5,544	38,600	0	0	0	0	5,544	38,600	0	0
ROSENBERG CAPITAL MGMT	033	0	0	0	0	0	3,387	12,157	0	3,387	12,157	17,848	68
ROXBURY CAPITAL (HOOD RIVER CAP)	033	0	0	3,889	8,623	0	0	0	0	3,889	8,623	0	0
SASCO	033	0	0	12,450	20,110	0	0	0	0	12,450	20,110	23,090	87
SMEAD CAPITAL	033	0	0	0	0	0	2,000	4,000	0	2,000	4,000	0	0
SYMPHONY ASSET	033	0	0	2,480	21,108	0	0	0	0	2,480	21,108	23,863	88
T. ROWE PRICE	033	0	0	0	0	0	0	0	0	0	0	122,167	0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE	033	0	7,494	15,717	45,754	0	0	0	7,494	15,717	45,754	0	0
TYEE/TYGH	033	0	0	0	0	0	0	1	0	0	1	0	0
WAGONHOUND/NICOLAS	033	221	2,617	4,599	34,049	0	0	0	2,617	4,599	34,049	7,827	435
<b>TOTALS:</b>		<b>1,271</b>	<b>28,336</b>	<b>139,081</b>	<b>431,684</b>	<b>0</b>	<b>5,387</b>	<b>72,878</b>	<b>28,336</b>	<b>144,468</b>	<b>504,562</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAPITAL RESEARCH GROUP	84	0	8,750	14,356	132,693	0	0	0	8,750	14,356	132,693	255,833	0
JMP ASSET MGMT	210	0	0	0	0	0	0	0	0	0	0	0	0
T. ROWE PRICE	243	0	5,920	30,326	106,972	0	0	0	5,920	30,326	106,972	122,167	0

WEXFORD CAPITAL	284	0	159	159	23,268	0	0	0	159	159	23,268	2,962	0
<b>TOTALS:</b>		<b>0</b>	<b>14,829</b>	<b>44,840</b>	<b>262,934</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>14,829</b>	<b>44,840</b>	<b>262,934</b>		

---

Report created by Brian Colburn on 09/25/2013 at 08:04:39am from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 8.0; Windows NT 6.1; Trident/4.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E; Tablet PC 2.0)

---