

Daily Compliance Activity Report Trade Date: 08/11/2010

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
IRIDIAN ASSET MGMT.	036	ACI	В	55,290	24.38	n/a	n/a	2,764.50	5.0
EXIS CAPITAL	044	AEO	В	10,000	12.30	n/a	6-Aug	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	ALV	S	10,000	55.52	n/a	2-Aug	300.00	3.0
DAVIDSON KEMPNER ADVISOR	040	APD	В	10,000	75.28	n/a	22-Jul	500.00	5.0
MTB INV ADV	034	ARM	S	9,650	14.81	n/a	n/a	482.50	5.0
EXIS CAPITAL	044	ARO	В	5,000	24.00	n/a	6-Aug	250.00	5.0
NEUBERGER BERMAN	036	ASTE	S	7,000	28.52	n/a	n/a	280.00	4.0
SIGMA CAPITAL ASSOCIATES	041	AVP	SS	50,000	30.05	n/a	30-Jul	1,750.00	3.5
PZENA INV ADV	044	AVT	В	2,450	25.18	n/a	n/a	85.75	3.5
MTB INV ADV	034	AXL	S	15,550	9.23	n/a	4-Aug	777.50	5.0
MELLON/BANK OF NEW YORK	046	BAX	В	10,575	45.23	n/a	n/a	528.75	5.0
BUCK CAPITAL MGMT	001	BJ	SS	30,000	43.46	n/a	n/a	1,200.00	4.0
DIAMONDBACK CAP MGMT	232	CFR	SS	10,000	53.24	n/a	n/a	500.00	5.0
1798 CAP/LOMBARD ASSET	040	CLF	S	45,100	58.48	n/a	n/a	2,255.00	5.0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	
BALYASNY ASSET MGMT	044	CMI	В	25,000	77.62	n/a	28-Jul	1,250.00	5.0	
MELLON/BANK OF NEW YORK	046	COV	В	12,925	37.88	n/a	n/a	646.25	5.0	
SAXON WOODS ADVISORS	242	CPY	В	10,000	20.67	n/a	n/a	400.00	4.0	
MTB INV ADV	034	DAN	S	25 , 975	11.19	n/a	2-Aug	1,298.75	5.0	
DALTON GREINER	012	DOV	S	128,236	47.08	n/a	n/a	6,411.80	5.0	
BALYASNY ASSET MGMT	044	EL	С	20,000	61.74	n/a	7-Jun	1,000.00	5.0	
DIAMONDBACK CAP MGMT	232	EV	S	10,000	29.44	n/a	n/a	500.00	5.0	
DIAMONDBACK CAP MGMT	232	FII	SS	20,000	20.69	n/a	2-Mar	1,000.00	5.0	
EXIS CAPITAL	044	FINL	В	10,000	12.68	n/a	n/a	500.00	5.0	
DND DADIDAC CEC	0.4.0	P.I	D	10 000	12 40	2/2	20 7.1	400.00	4 0	
BUCK CAPITAL MGMT	001	FL FL	B	40,200	12.49 12.49	n/a	29-Jul	1,608.00	4.0	
BUCK CAPITAL MGMT	001	GIL	S	50,000	30.12	n/a	19-Jul	2,000.00	4.0	
CAMBIAR CAP	046	HAR	В	74,180	29.03	n/a	6-Aug	2,967.20	4.0	
BUCK CAPITAL MGMT	001	HBI	S	50,000	26.65	n/a	11-Aug	2,000.00	4.0	
FIRST NY SEC/CHRIS	041	HD	SS	10,000	27.78	n/a	20-Jul	500.00	5.0	
IRIDIAN ASSET MGMT.	036	HPQ	S	27 , 980	41.33	n/a	n/a	1,399.00	5.0	
	BALYASNY ASSET MGMT MELLON/BANK OF NEW YORK SAXON WOODS ADVISORS MTB INV ADV DALTON GREINER BALYASNY ASSET MGMT DIAMONDBACK CAP MGMT DIAMONDBACK CAP MGMT EXIS CAPITAL BNP PARIBAS SEC BUCK CAPITAL MGMT CAMBIAR CAP BUCK CAPITAL MGMT FIRST NY SEC/CHRIS	BALYASNY ASSET MGMT 044 MELLON/BANK OF NEW YORK 046 SAXON WOODS ADVISORS 242 MTB INV ADV 034 DALTON GREINER 012 BALYASNY ASSET MGMT 044 DIAMONDBACK CAP MGMT 232 DIAMONDBACK CAP MGMT 232 EXIS CAPITAL 044 BNP PARIBAS SEC 040 BUCK CAPITAL MGMT 001 CAMBIAR CAP 046 BUCK CAPITAL MGMT 001 FIRST NY SEC/CHRIS 041	BALYASNY ASSET MGMT 044 CMI MELLON/BANK OF NEW YORK 046 COV SAXON WOODS ADVISORS 242 CPY MTB INV ADV 034 DAN DALTON GREINER 012 DOV BALYASNY ASSET MGMT 044 EL DIAMONDBACK CAP MGMT 232 EV DIAMONDBACK CAP MGMT 232 FII EXIS CAPITAL 044 FINL BNP PARIBAS SEC 040 FL BUCK CAPITAL MGMT 001 GIL CAMBIAR CAP 046 HAR BUCK CAPITAL MGMT 001 HBI FIRST NY SEC/CHRIS 041 HD	BALYASNY ASSET MGMT 044 CMI B MELLON/BANK OF NEW YORK 046 COV B SAXON WOODS ADVISORS 242 CPY B MTB INV ADV 034 DAN S DALTON GREINER 012 DOV S BALYASNY ASSET MGMT 044 EL C DIAMONDBACK CAP MGMT 232 EV S DIAMONDBACK CAP MGMT 232 FII SS EXIS CAPITAL 044 FINL B BNP PARIBAS SEC 040 FL B BUCK CAPITAL MGMT 001 FL B BUCK CAPITAL MGMT 001 GIL S CAMBIAR CAP 046 HAR B BUCK CAPITAL MGMT 001 HBI S FIRST NY SEC/CHRIS 041 HD SS	BALYASNY ASSET MGMT 044 CMI B 25,000 MELLON/BANK OF NEW YORK 046 COV B 12,925 SAXON WOODS ADVISORS 242 CPY B 10,000 MTB INV ADV 034 DAN S 25,975 DALTON GREINER 012 DOV S 128,236 BALYASNY ASSET MGMT 044 EL C 20,000 DIAMONDBACK CAP MGMT 232 EV S 10,000 EXIS CAPITAL 044 FINL B 10,000 BNP PARIBAS SEC 040 FL B 10,000 BNP PARIBAS SEC 040 FL B 10,000 BUCK CAPITAL MGMT 001 FL B 40,200 BUCK CAPITAL MGMT 001 GIL S 50,000 CAMBIAR CAP 046 HAR B 74,180 BUCK CAPITAL MGMT 001 HBI S 50,000 FIRST NY SEC/CHRIS 041 HD SS 10,000	BALYASNY ASSET MGMT 044 CMI B 25,000 77.62 MELLON/BANK OF NEW YORK 046 COV B 12,925 37.88 SAXON WOODS ADVISORS 242 CPY B 10,000 20.67 MTB INV ADV 034 DAN S 25,975 11.19 DALTON GREINER 012 DOV S 128,236 47.08 BALYASNY ASSET MGMT 044 EL C 20,000 61.74 DIAMONDBACK CAP MGMT 232 EV S 10,000 29.44 DIAMONDBACK CAP MGMT 232 FII SS 20,000 20.69 EXIS CAPITAL 044 FINL B 10,000 12.68 BNP PARIBAS SEC 040 FL B 10,000 12.49 BUCK CAPITAL MGMT 001 GIL S 50,000 30.12 CAMBIAR CAP 046 HAR B 74,180 29.03 BUCK CAPITAL MGMT 001 HBI S 50,000 26.65 FIRST NY SEC/CHRIS 041 HD SS 10,000 27.78	BALYASNY ASSET MGMT 044 CMI B 25,000 77.62 n/a MELLON/BANK OF NEW YORK 046 COV B 12,925 37.88 n/a SAXON WOODS ADVISORS 242 CPY B 10,000 20.67 n/a MTB INV ADV 034 DAN S 25,975 11.19 n/a DALTON GREINER 012 DOV S 128,236 47.08 n/a BALYASNY ASSET MGMT 044 EL C 20,000 61.74 n/a DIAMONDBACK CAP MGMT 232 EV S 10,000 29.44 n/a DIAMONDBACK CAP MGMT 232 FII SS 20,000 20.69 n/a EXIS CAPITAL 044 FINL B 10,000 12.68 n/a BNP PARIBAS SEC 040 FL B 10,000 12.68 n/a BNP PARIBAS SEC 040 FL B 10,000 12.49 n/a BUCK CAPITAL MGMT 001 GIL S 50,000 30.12 n/a BUCK CAPITAL MGMT 001 HBI S 50,000 26.65 n/a BUCK CAPITAL MGMT 001 HBI S 50,000 27.78 n/a	BALYASNY ASSET MGMT 044 CMI B 25,000 77.62	BALYASNY ASSET MGMT 044 CMI B 25,000 77.62	BALYASNY ASSET MGMT 044 CMI B 25,000 77.62

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
MELLON/BANK OF NEW YORK	046	HPQ	S	6,840	40.86	n/a	n/a	342.00	5.0
SONTERRA CAP	041	HUN	В	45,000	9.34	n/a	n/a	1,350.00	3.0
SHEFFIELD ASSET MGMT	031	IWM	В	50,000	62.51	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	JAH	S	45,000	26.76	n/a	n/a	1,800.00	4.0
BUCK CAPITAL MGMT	001	JCG	В	10,000	34.16	n/a	n/a	400.00	4.0
PZENA INV ADV	044	JCP	В	5,850	20.78	n/a	6-Aug	204.76	3.5
MELLON/BANK OF NEW YORK	046	JNJ	S	12,150	58.50	n/a	n/a	607.50	5.0
SYSTEMATIC FINANCIAL MGT	035	JNS	В	46,500	9.99	n/a	n/a	1,395.00	3.0
ONE EAST CAP ADV LP	048	KKR	S	10,000	9.70	n/a	n/a	500.00	5.0
THE JAY GOLDMAN	028	KSS	В	25 , 000	47.90	n/a	6-Aug	1,000.00	4.0
NEUBERGER BERMAN	036	LAYN	S	16,900	24.26	n/a	n/a	676.00	4.0
ARCHON CAPITAL	035	LPX	В	71,900	6.81	n/a	5-Aug	2,157.00	3.0
T. ROWE PRICE	033	LPX	В	58 , 909	6.75	n/a	5-Aug	1,767.27	3.0
BUCK CAPITAL MGMT	001	LTD	S	30,000	25.05	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	MFB	S	10,000	24.92	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	M	S	80,000	20.29	n/a	6-Aug	3,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
EXIS CAPITAL	044	М	В	10,000	19.70	n/a	6-Aug	500.00	5.0
CATAPULT	040	NTRS	В	10,000	50.15	n/a	10-Aug	500.00	5.0
BMO NESBITT BURNS	041	PKG	SS	4,000	23.17	n/a	20-Jul	200.00	5.0
KENNEDY CAPITAL	046	PSUN	S	150,000	4.03	n/a	n/a	3,000.00	2.0
SYSTEMATIC FINANCIAL MGT	035	PVH	В	36,400	50.29	n/a	19-Jul	1,092.00	3.0
DAVIDSON KEMPNER ADVISOR	040	PX	SS	3,300	86.90	n/a	28-Jul	165.00	5.0
COOPER CREEK PART	028	RRTS	В	10,000	13.25	n/a	n/a	400.00	4.0
METROPOLITAN CAPITAL	044	SKS	В	15,600	8.13	n/a	n/a	780.00	5.0
BMO NESBITT BURNS	041	SSCC	В	3,000	19.09	n/a	3-Aug	150.00	5.0
TROUBH PART	067	SUP	В	10,000	13.87	n/a	2-Aug	500.00	5.0
1798 CAP/LOMBARD ASSET	040	SWC	S	20,000	12.64	n/a	n/a	1,000.00	5.0
MTB INV ADV	034	TEN	S	6 , 225	26.46	n/a	n/a	311.25	5.0
MELLON/BANK OF NEW YORK	046	TEVA	S	16,890	49.99	n/a	10-Aug	844.50	5.0
BALYASNY ASSET MGMT	044	TGT	SS	7,900	52.26	n/a	6-Aug	395.00	5.0
EXIS CAPITAL	044	TJX	SS	5 , 000	41.70	n/a	6-Aug	250.00	5.0
1798 CAP/LOMBARD ASSET	040	TKR	S	6,200	33.68	n/a	n/a	310.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
WAGONHOUND/NIC	289	TKR	S	4,411	34.58	n/a	n/a	176.44	4.0
GREEN ARROW DOUG	040	TPX	SS	30,000	29.87	n/a	n/a	1,500.00	5.0
DAVIDSON KEMPNER ADVISOR	040	TXI	S	20,000	32.43	n/a	n/a	1,000.00	5.0
METROPOLITAN CAPITAL	044	VCLK	В	7,800	9.93	n/a	n/a	390.00	5.0
CAXTON PART	040	VIAB	В	35,431	32.62	n/a	n/a	1,240.10	3.5
FARALLON CAP MGMT	040	WMT	S	100,000	51.14	n/a	19-May	4,000.00	4.0
NEUSTRADA CAP LLC	085	WMT	S	39,600	51.15	n/a	19-May	1,188.00	3.0
BUCK CAPITAL MGMT	001	WRC	S	10,000	42.24	n/a	6-Aug	400.00	4.0
COOPER CREEK PART	028	WRC	SS	4,000	43.48	n/a	6-Aug	160.00	4.0
LEVEL GLOBAL SHARED ACCT	257	WRC	S	50,000	42.17	n/a	6-Aug	1,500.00	3.0
1798 CAP/LOMBARD ASSET	040	XRX	S	135,000	9.42	n/a	n/a	5,400.00	4.0
EXIS CAPITAL	044	ZQK	В	10,000	4.09	n/a	10-May	500.00	5.0

TOTAL (Trade Date): 83,406.82

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	08/10	SAC CAPITAL/M GRONET (SACJMSCO)	030	KO	В	10,000	56.95	n/a	22-Jul	350.00	3.5
O,CRT	08/10	SAC CAPITAL/M GRONET (SACJCSPB)	030	KO	В	10,000	56.95	n/a	22-Jul	350.00	3.5
O,CXL	08/10	NEUSTRADA CAP LLC (NEUSJPMPB)	085	MHK	SS	19,600	51.03	n/a	n/a	784.00	4.0
AO, CRT	08/10	NEUSTRADA CAP LLC (NEUSJPMPB)	085	MHK	SS	19,600	51.03	n/a	n/a	588.00	3.0
O,CXL	08/10	SAC CAPITAL/M GRONET (SACJMSCO)	030	PVH	В	15,000	53.22	n/a	19-Jul	525.00	3.5
O,CRT	08/10	SAC CAPITAL/M GRONET (SACJCSPB)	030	PVH	В	15,000	53.22	n/a	19-Jul	525.00	3.5
٥,	08/10	GEOSPHERE CAPITAL MGMT (GEOSMSPB)	084	MOX	В	3,988	61.92	n/a	n/a	119.64	3.0
AO,CXL	08/10	GEOSPHERE CAPITAL MGMT (GEOSFIDO)	084	XOM	В	15 , 897	61.92	n/a	n/a	476.91	3.0
AO, CRT	08/10	GEOSPHERE CAPITAL MGMT (GEOSFIDO)	084	XOM	В	11,909	61.92	n/a	n/a	357.27	3.0

TOTAL (RUN DATE) / NFS:	83,210.82
COTAL (AO, CXL, CRT):	-196.00
COTAL (Trade Date):	83,406.82

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION