



Daily Compliance Activity Report

Trade Date: 08/23/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBUS CIRCLE	036	ABI	S	617	30.77	n/a	n/a	24.68	4.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	1,500	6.50	n/a	n/a	60.00	4.0
COLUMBUS CIRCLE	036	AKAM	S	737	38.56	n/a	n/a	29.48	4.0
COLUMBUS CIRCLE	036	AMT	S	1,300	35.43	n/a	n/a	52.00	4.0
J L F ASSET MGMT	039	ANF	B	300	62.30	6-Apr	12-Aug	12.00	4.0
J L F ASSET MGMT	039	ANF	S	69,600	61.76	6-Apr	12-Aug	2,784.00	4.0
COUGAR TRADING	044	ARO	S	5,000	24.34	6-Jul	17-Aug	250.00	5.0
U.S.TRUST COMPANY	012	AZO	S	5,000	87.74	n/a	n/a	150.00	3.0
FIRST INV MGMT CORP	036	B	B	9,800	16.80	n/a	n/a	490.00	5.0
HARRIS ASSOCIATES	031	BC	B	30,000	29.00	n/a	n/a	1,200.00	4.0
COLUMBUS CIRCLE	036	BEAS	S	1,768	13.17	n/a	2-Apr	70.72	4.0
COLUMBUS CIRCLE	036	BEAV	S	773	22.90	n/a	n/a	30.92	4.0
BUCK CAPITAL MGMT	001	BID	S	100,000	30.81	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	BIG	S	15,000	17.81	16-Aug	21-Aug	600.00	4.0
NEW JERSEY DIV OF INV.	220	BK	S	100,000	34.04	n/a	10-Aug	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MKT MAKER	099	BONT	S	1,000	26.17	n/a	21-Aug	0.00	0.0
IRONBOUND CAPITAL	012	BOW	S	16,600	23.27	13-Jul	13-Jul	830.00	5.0
COLUMBUS CIRCLE	036	BRCM	S	614	29.23	n/a	n/a	24.56	4.0
J L F ASSET MGMT	039	CAB	S	34,800	19.84	n/a	n/a	1,392.00	4.0
KORMAN	003	CAKE	B	1,000	23.64	28-Jun	28-Jul	0.00	0.0
COLUMBUS CIRCLE	036	CAM	S	968	49.50	n/a	n/a	38.72	4.0
CAXTON PART	040	CB	S	10,000	48.51	n/a	n/a	400.00	4.0
COLUMBUS CIRCLE	036	CBG	S	709	20.99	n/a	n/a	28.36	4.0
COLUMBUS CIRCLE	036	CBI	S	1,263	26.30	n/a	n/a	50.52	4.0
COLUMBUS CIRCLE	036	CBSS	S	456	57.94	n/a	n/a	18.24	4.0
COLUMBUS CIRCLE	036	CCI	S	1,375	33.40	n/a	n/a	55.00	4.0
HAYGROUND COE	039	CCL	S	50,000	39.11	n/a	26-Jan	2,500.00	5.0
COLUMBUS CIRCLE	036	CELG	S	72,841	41.14	n/a	n/a	2,913.64	4.0
COLUMBUS CIRCLE	036	CKFR	S	505	34.88	n/a	n/a	20.20	4.0
BUCK CAPITAL MGMT	001	CL	S	5,000	59.18	25-Jul	25-Jul	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	239	CLP	B	25,900	48.41	n/a	n/a	1,295.00	5.0
SOMERSET ADV	044	COSI	B	5,000	4.77	n/a	n/a	250.00	5.0
COLUMBUS CIRCLE	036	CTSH	S	323	70.18	n/a	n/a	12.92	4.0
COLUMBUS CIRCLE	036	CTXS	S	1,193	31.20	n/a	n/a	47.72	4.0
COLUMBUS CIRCLE	036	CWTR	S	1,123	24.49	n/a	n/a	44.92	4.0
HIGHBRIDGE CAPITAL	038	CZN	B	61,900	13.35	n/a	n/a	3,095.00	5.0
J L F ASSET MGMT	039	DDS	S	145,700	32.19	n/a	n/a	5,828.00	4.0
DELTEC ASSET MGMT.	040	DHI	B	6,000	21.00	n/a	n/a	300.00	5.0
COLUMBUS CIRCLE	036	DO	S	281	72.94	n/a	n/a	11.24	4.0
MCCARTAN	028	EAT	S	200	36.73	26-Jul	10-Aug	0.00	0.0
KENNEDY CAPITAL	046	EQ	B	33,050	45.10	n/a	n/a	1,322.00	4.0
BUCK CAPITAL MGMT	001	FD	B	5,000	37.00	12-Jun	10-Aug	200.00	4.0
J L F ASSET MGMT	039	FD	S	48,900	36.95	12-Jun	10-Aug	1,956.00	4.0
PRINCIPLE CAPITAL	040	FD	B	50,000	37.06	12-Jun	10-Aug	2,500.00	5.0
COLUMBUS CIRCLE	036	FDS	S	842	43.50	n/a	n/a	33.68	4.0
BUCK CAPITAL MGMT	001	FL	B	10,000	23.74	n/a	18-Aug	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBUS CIRCLE	036	FLR	S	436	85.33	n/a	n/a	17.44	4.0
HIGHFIELDS CAP MGMT	039	FRE	S	63,500	61.88	n/a	n/a	2,540.00	4.0
SAXON WOODS ADVISORS	038	FSH	S	8,700	78.38	n/a	n/a	435.00	5.0
EGS ASSOCIATES LP	059	GCO	B	3,000	26.95	n/a	27-Dec	180.00	6.0
MAZAMA CAPITAL MGMT.	035	GHL	B	19,000	55.47	n/a	n/a	760.00	4.0
COLUMBUS CIRCLE	036	GME	S	491	43.71	n/a	n/a	19.64	4.0
COLUMBUS CIRCLE	036	GRMN	S	323	45.35	n/a	n/a	12.92	4.0
WASHINGTON ASSET	048	GYMB	S	10,000	31.16	10-Aug	16-Aug	500.00	5.0
CAXTON PART	040	HET	S	71,000	61.62	27-Jul	27-Jul	2,840.00	4.0
COLUMBUS CIRCLE	036	HLF	S	612	31.11	n/a	n/a	24.48	4.0
COLUMBUS CIRCLE	036	HLT	S	1,151	24.61	n/a	n/a	46.04	4.0
HARRIS ASSOCIATES	031	HOG	S	4,200	57.96	n/a	n/a	168.00	4.0
COLUMBUS CIRCLE	036	HOLX	S	730	41.34	n/a	n/a	29.20	4.0
COLUMBUS CIRCLE	036	HRS	S	866	44.60	2-May	27-Jul	34.64	4.0
MAGNETAR FINANCIAL	247	HSY	S	20,000	53.39	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	HURC	S	274	26.68	n/a	n/a	0.00	0.0
IRON HORSE CAPITAL MGMT	038	IDC	B	17,500	18.73	n/a	n/a	875.00	5.0
BINDER	007	INTC	B	100	18.41	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	ISIL	S	919	23.41	n/a	n/a	36.76	4.0
COLUMBUS CIRCLE	036	ISRG	S	140	94.40	n/a	n/a	5.60	4.0
COLUMBUS CIRCLE	036	JCP	S	218	66.87	5-May	10-Aug	8.72	4.0
REICH AND TANG	037	JNY	S	31,400	30.17	n/a	16-Aug	1,570.00	5.0
J L F ASSET MGMT	039	KMX	S	1,100	36.22	n/a	3-Jan	44.00	4.0
COLUMBUS CIRCLE	036	KWK	S	775	37.57	n/a	n/a	31.00	4.0
COLUMBUS CIRCLE	036	LAMR	S	547	50.70	n/a	n/a	21.88	4.0
COLUMBUS CIRCLE	036	LAZ	S	1,053	38.59	n/a	n/a	42.12	4.0
KENNEDY CAPITAL	046	LLL	B	20,450	72.74	n/a	n/a	818.00	4.0
TRIBECA	038	LM	S	10,000	90.57	28-Jul	22-Aug	400.00	4.0
J L F ASSET MGMT	039	LOW	S	73,800	27.39	n/a	21-Aug	2,952.00	4.0
COLUMBUS CIRCLE	036	MA	S	498	55.56	n/a	n/a	19.92	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	MAN	S	498	59.38	n/a	n/a	19.92	4.0
HARRIS ASSOCIATES	031	MCD	S	11,900	35.35	n/a	25-Jul	476.00	4.0
COLUMBUS CIRCLE	036	MDR	S	860	49.33	n/a	n/a	34.40	4.0
COLUMBUS CIRCLE	036	MNST	S	400	40.41	n/a	n/a	16.00	4.0
HARRIS ASSOCIATES	031	MS	S	12,500	68.25	21-Jun	10-Aug	500.00	4.0
ADAGE CAPITAL MGMT	030	MT	S	50,000	32.54	n/a	n/a	2,500.00	5.0
COLUMBUS CIRCLE	036	NIHD	S	603	51.75	n/a	n/a	24.12	4.0
SOLARIS INV MGMT	036	OI	S	5,000	14.15	n/a	n/a	299.00	6.0
COLUMBUS CIRCLE	036	OSK	S	337	50.00	n/a	n/a	13.48	4.0
DOUBLE V/PBX	044	OTEX	S	9,800	15.95	n/a	n/a	490.00	5.0
COLUMBUS CIRCLE	036	PCP	S	533	60.35	n/a	n/a	21.32	4.0
COLUMBUS CIRCLE	036	PNRA	S	478	51.29	n/a	n/a	19.12	4.0
AMBER CAPITAL	046	PNX	B	68,200	13.83	n/a	n/a	2,728.00	4.0
COLUMBUS CIRCLE	036	PPDI	S	1,141	37.63	n/a	n/a	45.64	4.0
KENNEDY CAPITAL	046	PSUN	B	24,350	13.54	3-Aug	11-Aug	974.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	PSYS	S	660	31.68	n/a	n/a	26.40	4.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	37.55	8-Jun	21-Aug	400.00	4.0
VARDON PARTNERS	035	PVH	B	30,000	36.80	8-Jun	21-Aug	1,500.00	5.0
VARDON PARTNERS	035	RAD	B	100,000	4.67	n/a	n/a	5,000.00	5.0
STADIA CAPITAL	035	RL	S	19,000	57.71	16-Jun	9-Aug	760.00	4.0
COLUMBUS CIRCLE	036	ROK	S	491	60.74	n/a	n/a	19.64	4.0
COLUMBUS CIRCLE	036	ROP	S	700	45.82	n/a	n/a	28.00	4.0
HARRIS ASSOCIATES	031	RTN	S	4,700	47.13	n/a	n/a	188.00	4.0
KENNEDY CAPITAL	046	SFD	B	35,250	28.39	n/a	n/a	1,410.00	4.0
COLUMBUS CIRCLE	036	SHPGY	S	470	50.28	15-Aug	20-Aug	18.80	4.0
OWL CREEK INV	032	SPF	S	32,600	22.56	n/a	n/a	1,304.00	4.0
BUCK CAPITAL MGMT	001	SSI	B	10,000	26.77	n/a	n/a	400.00	4.0
J L F ASSET MGMT	039	STX	S	9,550	20.34	n/a	n/a	382.00	4.0
COLUMBUS CIRCLE	036	SWN	S	1,495	35.03	7-Aug	7-Aug	59.80	4.0
J L F ASSET MGMT	039	SYNA	S	50,800	22.96	n/a	n/a	2,032.00	4.0
J L F ASSET MGMT	039	TBL	B	10,700	27.10	19-Jul	2-Aug	428.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RAMIUS CAP	040	TIN	B	4,700	44.00	17-Apr	13-Jul	235.00	5.0
OWL CREEK INV	032	TOL	S	279,400	24.56	n/a	n/a	11,176.00	4.0
J L F ASSET MGMT	039	TPX	B	15,700	15.71	n/a	n/a	628.00	4.0
COLUMBUS CIRCLE	036	TRMB	S	309	49.77	n/a	n/a	12.36	4.0
COLUMBUS CIRCLE	036	TROW	S	912	43.80	10-Jul	22-Aug	36.48	4.0
HARRIS ASSOCIATES	031	TWX	S	16,200	16.54	n/a	n/a	648.00	4.0
SOMERSET ADV	044	USIH	B	7,000	12.84	n/a	n/a	350.00	5.0
COLUMBUS CIRCLE	036	VAR	S	667	52.01	n/a	n/a	26.68	4.0
J L F ASSET MGMT	039	VLCM	S	26,366	20.30	n/a	n/a	1,054.64	4.0
COLUMBUS CIRCLE	036	WGII	S	582	57.18	n/a	n/a	23.28	4.0
OWL CREEK INV	032	WHR	B	175,000	80.12	n/a	n/a	7,000.00	4.0
HARRIS ASSOCIATES	031	WM	S	3,000	43.95	n/a	n/a	120.00	4.0
COLUMBUS CIRCLE	036	WOOF	S	1,045	34.31	n/a	n/a	41.80	4.0
PERRY PARTNERS	035	WSM	B	200,000	32.51	n/a	n/a	0.00	0.0
IRON HORSE CAPITAL MGMT	038	WY	S	20,000	61.49	13-Jun	23-Aug	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CAXTON PART	040	WYN	B	28,000	26.33	n/a	n/a	1,120.00	4.0
HARRIS ASSOCIATES	031	XTO	B	65,000	46.07	n/a	n/a	2,600.00	4.0
BUCK CAPITAL MGMT	001	ZQK	B	30,000	12.79	n/a	9-Jun	1,200.00	4.0
J L F ASSET MGMT	039	ZUMZ	S	78,500	24.40	n/a	n/a	3,140.00	4.0
TOTAL :							108,283.76		

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,CXL	DELTEC ASSET MGMT. (DELTFNYS)	040	COH	S	5,000	31.37	n/a	2-Aug	250.00	5.0
AO,	DELTEC ASSET MGMT. (DELTMAIN)	040	COH	S	5,000	31.37	n/a	2-Aug	250.00	5.0
AO,CXL	ADAGE CAPITAL MGMT (ADAGLONG)	030	GPS	S	40,900	16.78	31-Jul	14-Aug	2,045.00	5.0
AO,	ADAGE CAPITAL MGMT (ADAGLONG)	030	GPS	S	40,900	16.78	31-Jul	14-Aug	1,636.00	4.0
AO,CXL	DELTEC ASSET MGMT. (DELTFNYS)	040	MIR	S	3,000	28.63	n/a	n/a	150.00	5.0
AO,	DELTEC ASSET MGMT. (DELTMAIN)	040	MIR	S	3,000	28.63	n/a	n/a	150.00	5.0
AO,	MKT MAKER (MKT MAKER)	099	OTEX	S	52	15.95	n/a	n/a	0.00	0.0
AO,CXL	TRIBECA (TRIBMS MAI)	038	RE	S	900	94.42	n/a	n/a	45.00	5.0
AO,	TRIBECA (TRIBMS MAI)	038	RE	S	900	94.42	n/a	n/a	36.00	4.0
AO,	WHITTIER CAP (WHITCALI)	048	WRNC	B	10,010	19.00	23-Aug	23-Aug	500.50	5.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.