



Daily Compliance Activity Report

Trade Date: 10/13/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	AAPL	S	500	76.38	n/a	n/a	20.00	4.0
COLUMBUS CIRCLE	036	ABI	S	600	32.99	n/a	n/a	24.00	4.0
COLUMBUS CIRCLE	036	ADBE	S	1,100	38.47	n/a	n/a	44.00	4.0
MORRISON & FRASIER	040	ADCT	B	55,000	15.51	n/a	n/a	2,750.00	5.0
COLUMBUS CIRCLE	036	ADS	S	400	56.40	n/a	n/a	16.00	4.0
LUCHANSKY	036	AEOS	S	150	46.32	26-Sep	6-Oct	0.00	0.0
BALYASNY ASSET MGMT	044	AGE	B	10,000	56.48	n/a	n/a	500.00	5.0
TRIBECA/DOUG	040	AJG	S	10,000	27.54	n/a	n/a	400.00	4.0
COLUMBUS CIRCLE	036	AMAT	S	1,600	18.94	n/a	n/a	64.00	4.0
BALYASNY ASSET MGMT	044	AMG	S	5,000	98.69	13-Oct	12-Oct	250.00	5.0
COLUMBUS CIRCLE	036	AMT	S	800	37.89	n/a	n/a	32.00	4.0
THE JAY GOLDMAN	034	ARO	B	20,000	30.67	6-Jul	6-Oct	800.00	4.0
KAICHER	064	ARTG	B	500	2.20	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	ASML	S	800	24.03	n/a	n/a	32.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	AVZ	B	240,000	23.22	6-Sep	11-Oct	9,600.00	4.0
BUCK	015	BAY	B	1,000	50.19	n/a	n/a	0.00	0.0
GLENHILL CAPITAL	038	BBBY	S	50,000	38.48	n/a	n/a	2,500.00	5.0
COLUMBUS CIRCLE	036	BEAS	S	2,100	15.25	n/a	3-Apr	84.00	4.0
GALLEON MGMT LP	033	BHP	B	35,000	40.52	n/a	n/a	1,750.00	5.0
THE JAY GOLDMAN	034	BIG	S	10,000	20.86	16-Aug	13-Oct	400.00	4.0
HIGHBRIDGE CAPITAL	038	BNI	B	2,000	78.20	n/a	n/a	100.00	5.0
STERLING JOHNSTON	035	BONT	S	20,500	37.24	5-Oct	6-Oct	1,025.00	5.0
COLUMBUS CIRCLE	036	BRCM	S	600	29.15	n/a	n/a	24.00	4.0
COLUMBUS CIRCLE	036	CELG	S	400	45.55	n/a	n/a	16.00	4.0
KENNEDY CAPITAL	046	CHRS	S	30,000	14.77	n/a	17-Aug	1,200.00	4.0
DELTEC ASSET MGMT.	040	CHS	S	10,000	24.15	11-Oct	13-Oct	500.00	5.0
FIRST NEW YORK LEDDY	035	CHS	B	16,400	23.77	11-Oct	13-Oct	984.00	6.0
GENERIC TRADING	063	CHS	B	10,000	23.38	11-Oct	13-Oct	500.00	5.0
THE JAY GOLDMAN	034	CHS	S	10,000	24.18	11-Oct	13-Oct	400.00	4.0
COLUMBUS CIRCLE	036	CKFR	S	300	42.78	n/a	n/a	12.00	4.0
CAPITAL GROWTH MGMT.	241	CLB	S	40,000	63.17	7-Aug	7-Aug	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CLE	B	10,000	27.25	20-Sep	6-Oct	400.00	4.0
COLUMBUS CIRCLE	036	CSCO	S	1,700	24.49	n/a	22-Mar	68.00	4.0
ENTRUST CAPITAL	040	CVS	S	21,800	31.23	n/a	n/a	1,090.00	5.0
HOOVER INV. MGMT	033	CY	S	120,000	19.68	n/a	n/a	6,000.00	5.0
WEINTRAUB CAPITAL MGT.	040	DDS	S	25,000	30.32	n/a	n/a	1,250.00	5.0
BLACKROCK/BOSTON	037	DF	S	69,100	41.28	n/a	n/a	2,764.00	4.0
J L F ASSET MGMT	039	DG	S	113,500	13.68	21-Aug	13-Oct	4,540.00	4.0
COLUMBUS CIRCLE	036	DIGE	S	300	46.58	n/a	n/a	12.00	4.0
COLUMBUS CIRCLE	036	DIVX	S	500	24.19	n/a	n/a	20.00	4.0
COLUMBUS CIRCLE	036	DNA	S	300	82.76	n/a	n/a	12.00	4.0
MAGNETAR FINANCIAL	247	DPZ	S	27,000	26.49	12-Oct	12-Oct	1,080.00	4.0
THIRD COAST CAPITAL	035	DTV	B	40,000	21.66	15-Jun	15-Sep	2,000.00	5.0
LEEDS	002	EGLE	S	1,408	16.71	n/a	n/a	0.00	0.0
LEDDY	035	EVST	S	800	16.82	n/a	n/a	0.00	0.0
EIDELMAN,FINGER & CO	046	FINL	B	6,900	12.85	n/a	22-Sep	345.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	FL	S	100,000	24.57	n/a	18-Aug	4,000.00	4.0
COLUMBUS CIRCLE	036	FORM	S	300	43.70	n/a	n/a	12.00	4.0
SYSTEMATIC FINANCIAL MGT	035	GCO	B	20,000	36.90	n/a	10-Jan	800.00	4.0
BUCK CAPITAL MGMT	001	GES	B	42,040	56.33	n/a	n/a	1,681.60	4.0
SYSTEMATIC FINANCIAL MGT	035	GES	S	14,300	56.60	n/a	n/a	572.00	4.0
COLUMBUS CIRCLE	036	GLW	S	1,000	25.03	n/a	3-Oct	40.00	4.0
COLUMBUS CIRCLE	036	GRMN	S	600	51.16	n/a	n/a	24.00	4.0
IRIDIAN ASSET MGMT.	036	GRP	S	11,700	36.00	n/a	n/a	585.00	5.0
MCCARTAN	028	GYMB	B	200	46.29	21-Sep	6-Oct	0.00	0.0
MAGUIRE	039	GYMB	B	400	46.80	21-Sep	6-Oct	0.00	0.0
BUCK	015	HMA	B	1,300	20.65	23-Jun	11-Oct	0.00	0.0
COLUMBUS CIRCLE	036	HOLX	S	500	49.48	n/a	n/a	20.00	4.0
COLUMBUS CIRCLE	036	HPQ	S	900	38.63	n/a	n/a	36.00	4.0
COLUMBUS CIRCLE	036	HRS	S	900	43.50	2-Oct	2-Oct	36.00	4.0
DIAMONDBACK CAP MGMT	232	HYC	B	6,800	7.75	n/a	n/a	340.00	5.0
LEDY	035	ICON	S	800	18.70	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	IGT	S	600	42.02	n/a	24-Jul	24.00	4.0
COLUMBUS CIRCLE	036	INFA	S	1,200	13.92	n/a	n/a	48.00	4.0
CASTLEROCK	040	IRF	B	47,900	36.54	n/a	n/a	1,916.00	4.0
COLUMBUS CIRCLE	036	ISRG	S	200	112.42	n/a	n/a	8.00	4.0
THE JAY GOLDMAN	034	JCG	B	30,000	31.85	25-Aug	10-Oct	1,200.00	4.0
BALYASNY ASSET MGMT	044	JEF	B	20,000	31.25	n/a	n/a	1,000.00	5.0
J L F ASSET MGMT	039	JWN	S	50,900	46.96	5-Oct	6-Oct	2,036.00	4.0
LISTED ERR	099	KCP	S	100	25.96	n/a	31-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	KCP	S	20,000	26.89	n/a	31-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	KWD	S	5,000	30.76	n/a	n/a	200.00	4.0
SINCLAIR	031	LAB	S	500	11.01	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	LAMR	S	300	54.42	n/a	n/a	12.00	4.0
STADIA CAPITAL	035	LCC	B	44,000	45.81	n/a	n/a	1,760.00	4.0
BUCK	015	LEH	B	175	77.55	n/a	12-Oct	0.00	0.0
COLUMBUS CIRCLE	036	LMT	S	300	88.42	n/a	n/a	12.00	4.0
CLOVIS CAPITAL	012	MON	S	40,000	45.58	n/a	11-Oct	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	MOT	S	800	25.90	n/a	n/a	32.00	4.0
COLUMBUS CIRCLE	036	MRVL	S	16,500	17.27	n/a	n/a	660.00	4.0
COLUMBUS CIRCLE	036	MSFT	S	900	28.60	n/a	3-Apr	36.00	4.0
LEDDY	035	MTRX	S	600	14.72	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	MU	S	800	15.18	n/a	n/a	32.00	4.0
COLUMBUS CIRCLE	036	NETL	S	200	27.43	n/a	n/a	8.00	4.0
MAZAMA CAPITAL MGMT.	035	NGS	B	15,500	13.47	n/a	n/a	620.00	4.0
COLUMBUS CIRCLE	036	NIHD	S	300	65.22	n/a	n/a	12.00	4.0
HIGHBRIDGE CAPITAL	038	NSC	B	2,000	46.80	n/a	n/a	100.00	5.0
COLUMBUS CIRCLE	036	NTAP	S	500	38.34	n/a	n/a	20.00	4.0
COLUMBUS CIRCLE	036	NVDA	S	700	33.11	n/a	n/a	28.00	4.0
DELTEC ASSET MGMT.	040	NWY	B	63,000	13.49	n/a	5-Oct	2,520.00	4.0
FIRST NEW YORK LEDDY	035	NWY	B	15,000	13.49	n/a	5-Oct	900.00	6.0
COLUMBUS CIRCLE	036	ORCL	S	2,000	18.85	n/a	n/a	80.00	4.0
TPG-AXON	040	ORCL	S	250,000	18.97	n/a	n/a	12,500.00	5.0
LEDDY	035	OXM	S	400	47.82	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	PDE	S	58,300	26.25	n/a	n/a	2,915.00	5.0
GLG PARTNERS	034	PG	S	50,400	61.93	25-Apr	9-Oct	2,520.00	5.0
BUCK	015	PPCO	B	1,000	18.66	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	PSUN	S	63,200	17.47	3-Aug	6-Oct	3,160.00	5.0
WEINTRAUB CAPITAL MGT.	040	PSUN	B	25,000	17.57	3-Aug	6-Oct	1,250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	13,000	46.46	18-Sep	11-Oct	520.00	4.0
COLUMBUS CIRCLE	036	QCOM	S	1,000	39.39	n/a	n/a	40.00	4.0
GRONET	030	QLTY	S	500	16.33	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	RCKY	B	7,000	12.83	n/a	n/a	350.00	5.0
CAPITAL GROWTH MGMT.	241	RJF	B	20,000	31.25	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	RL	S	10,000	68.87	18-Sep	19-Sep	400.00	4.0
COLUMBUS CIRCLE	036	RVBD	S	600	18.47	n/a	n/a	24.00	4.0
SNYDER CAPITAL	038	SCHL	B	3,100	31.10	n/a	n/a	155.00	5.0
COLUMBUS CIRCLE	036	SHPGY	S	200	53.59	8-Oct	13-Oct	8.00	4.0
CLOVIS CAPITAL	012	SJM	S	54,400	48.20	n/a	n/a	2,720.00	5.0
COLUMBUS CIRCLE	036	SNDK	S	300	59.63	n/a	n/a	12.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	STT	B	10,000	63.70	n/a	n/a	500.00	5.0
LEDDY	035	SWN	S	500	30.90	7-Aug	8-Sep	0.00	0.0
CLOVIS CAPITAL	012	SWY	S	200,000	28.28	n/a	n/a	10,000.00	5.0
COLUMBUS CIRCLE	036	SY	S	500	25.99	n/a	n/a	20.00	4.0
COLUMBUS CIRCLE	036	SYMC	S	200	21.63	n/a	3-Apr	8.00	4.0
EGS ASSOCIATES LP	059	TBL	B	3,000	29.60	19-Jul	5-Oct	180.00	6.0
JANUS CAPITAL CORP	046	TER	B	150,000	14.18	n/a	n/a	6,000.00	4.0
COLUMBUS CIRCLE	036	TMO	S	400	40.45	n/a	n/a	16.00	4.0
COLUMBUS CIRCLE	036	TRID	S	800	25.01	n/a	n/a	32.00	4.0
COLUMBUS CIRCLE	036	TRMB	S	200	50.37	n/a	n/a	8.00	4.0
LISTED ERR	099	TWB	B	700	40.27	5-Sep	3-Oct	0.00	0.0
LISTED ERR	099	TWB	S	700	40.31	5-Sep	3-Oct	0.00	0.0
J L F ASSET MGMT	039	TWB	S	56,500	40.31	5-Sep	3-Oct	2,260.00	4.0
VISIUM/BALY HOWARD	038	TWB	S	10,000	40.30	5-Sep	3-Oct	500.00	5.0
SINCLAIR	031	UFPI	S	200	50.69	n/a	n/a	0.00	0.0
KORMAN	003	URBN	B	3,000	19.34	5-Oct	6-Oct	0.00	0.0
COLUMBUS CIRCLE	036	VLTR	S	800	18.54	n/a	n/a	32.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PZENA INV ADV	044	VVI	S	34,300	36.21	n/a	n/a	1,372.00	4.0
CAISSE DEPOT PLACEMENT Q	031	WB	B	200,000	56.44	n/a	n/a	10,000.00	5.0
ALTA CAPITAL MGMT	046	WFC	B	15,600	36.38	n/a	n/a	780.00	5.0
BALYASNY ASSET MGMT	044	WFC	B	25,000	36.33	n/a	n/a	1,250.00	5.0
PECONIC PARTNERS	036	WMT	B	100,000	48.37	5-Oct	6-Oct	5,000.00	5.0
FRIESS MGMT	042	WRNC	S	123,000	20.10	5-Sep	15-Sep	5,535.00	4.5
BUCK CAPITAL MGMT	001	ZQK	B	10,000	13.75	8-Sep	8-Sep	400.00	4.0
TOTAL :								141,285.60	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	MKT MAKER (MKT MAKER)	099	AEOS	S	1,408	43.84	26-Sep	6-Oct	0.00	0.0
AO,CXL	LEEDS (LEEDS)	002	AEOS	S	1,408	43.84	26-Sep	6-Oct	0.00	0.0
AO,	MKT MAKER (MKT MAKER)	099	BONT	S	65	36.78	5-Oct	6-Oct	0.00	0.0
AO,	NEEDHAM CAP (NEEDGROWTH)	067	CAR	B	12,500	18.62	n/a	n/a	500.00	4.0
AO,	NEEDHAM CAP (NEEDSMALLC)	067	CAR	B	2,500	18.62	n/a	n/a	100.00	4.0
AO,CXL	NEEDHAM CAP (NEEDHEDGE)	067	CAR	B	2,500	18.62	n/a	n/a	100.00	4.0
AO,CXL	NEEDHAM CAP (NEEDAGGRES)	067	CAR	B	12,500	18.62	n/a	n/a	500.00	4.0
AO,	THE JAY GOLDMAN (GOLDWOODMA)	034	CMGB	S	7,500	49.85	n/a	n/a	375.00	5.0
AO,	THE JAY GOLDMAN (GOLDMASTER)	034	CMGB	S	7,500	49.85	n/a	n/a	375.00	5.0
AO,CXL	THE JAY GOLDMAN (GOLDWOODMA)	034	CMGBWI	S	7,500	0.00	n/a	n/a	375.00	5.0
AO,CXL	THE JAY GOLDMAN (GOLDMASTER)	034	CMGBWI	S	7,500	0.00	n/a	n/a	375.00	5.0
AO,	MKT MAKER (MKT MAKER)	099	CWTR	B	1,000	29.31	n/a	n/a	0.00	0.0
AO,CRT	MKT MAKER (MKT MAKER)	099	ENDP	B	800	33.49	n/a	13-Oct	0.00	0.0
AO,	COLUMBUS CIRCLE (COLCCALL03)	036	ORCL	B	210	18.55	n/a	n/a	8.40	4.0
AO,CXL	COLUMBUS CIRCLE (COLCCALL05)	036	ORCL	B	210	18.55	n/a	n/a	8.40	4.0
AO,	MKT MAKER (MKT MAKER)	099	SCHL	B	34	31.03	n/a	n/a	0.00	0.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.