



Daily Compliance Activity Report

Trade Date: 07/08/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|--------------|----------|--------------|--------------|---------------|---------------|-------------|------------|
| ADAGE CAPITAL MGMT | 030 | AEO | SS | 300,000 | 13.35 | 6-Mar | 2-Jul | 12,000.00 | 4.0 |
| MERRILL ASSET | 032 | AEO | S | 36,100 | 13.30 | 6-Mar | 2-Jul | 1,624.50 | 4.5 |
| GAULT | 063 | ANFGZ | B | 20 | 1.11 | n/a | n/a | 0.00 | 0.0 |
| COUGAR TRADING | 044 | ANF | B | 5,000 | 60.72 | 10-Apr | 2-Jul | 250.00 | 5.0 |
| DEMARTINI | 065 | ANF | B | 200 | 60.50 | 10-Apr | 2-Jul | 0.00 | 0.0 |
| COUGAR TRADING | 044 | ARO | B | 10,000 | 33.03 | 28-Apr | 2-Jul | 500.00 | 5.0 |
| FRONTPOINT | 044 | AZO | B | 25,400 | 121.92 | n/a | n/a | 1,016.00 | 4.0 |
| WHEELER | 008 | BEAV | S | 3,000 | 24.25 | n/a | n/a | 0.00 | 0.0 |
| PHILADELPHIA FINANCIAL | 033 | BEN | B | 6,002 | 89.11 | 24-Mar | 2-Jul | 240.08 | 4.0 |
| SIGMA CAPITAL ASSOCIATES | 237 | BKE | SS | 75,000 | 45.78 | n/a | n/a | 3,750.00 | 5.0 |
| LUCHANSKY1 | 036 | BWA | B | 20 | 40.22 | 10-Jun | 10-Jun | 0.00 | 0.0 |
| REICH AND TANG | 067 | B | B | 55,800 | 21.96 | n/a | n/a | 2,500.00 | 1.7 |
| FRONTPOINT CHRIS C | 041 | CAT | B | 1,700 | 69.73 | n/a | 23-May | 68.00 | 4.0 |
| MCCARTAN | 028 | CAT | C | 100 | 69.63 | n/a | 23-May | 0.00 | 0.0 |
| TALA | 041 | CCI | S | 5,000 | 36.41 | n/a | n/a | 250.00 | 5.0 |
| WAGONHOUND/NIC | 048 | CHD | B | 20,908 | 50.94 | 2-Apr | 7-Jul | 836.32 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------|------------|------------|-----------|--------------|--------------|--------------|---------------|-------------|------------|
| WAGONHOUND/NIC | 048 | CHD | S | 21,818 | 52.48 | 2-Apr | 7-Jul | 872.72 | 4.0 |
| ROXBURY CAPITAL MGMT | 035 | CLB | B | 25,000 | 127.61 | n/a | 18-Jun | 1,000.00 | 4.0 |
| NCM CAPITAL MGMT | 035 | CLX | B | 11,825 | 53.02 | n/a | 24-Jun | 473.00 | 4.0 |
| BUCK | 015 | CMA | SS | 1,500 | 24.62 | n/a | n/a | 0.00 | 0.0 |
| ROTHSCHILD ASSET | 040 | CMC | B | 26,660 | 31.96 | n/a | n/a | 1,199.70 | 4.5 |
| LUCHANSKY1 | 036 | CPN | S | 800 | 19.44 | n/a | n/a | 0.00 | 0.0 |
| PIVOT CAP | 034 | CSCO | S | 10,500 | 22.76 | n/a | 22-Mar | 525.00 | 5.0 |
| CUMBERLAND | 038 | CTRN | B | 20,000 | 23.87 | n/a | n/a | 800.00 | 4.0 |
| DIAMONDBACK CAP MGMT | 232 | CWTR | B | 25,000 | 4.88 | n/a | n/a | 1,250.00 | 5.0 |
| NATIONAL BK OF CAN | 031 | C | S | 65,000 | 16.86 | 19-Jun | 2-Jul | 2,600.00 | 4.0 |
| BALYASNY ASSET MGMT | 044 | DFS | B | 50,000 | 13.34 | n/a | n/a | 2,500.00 | 5.0 |
| JP MORGAN VENTURES | 046 | DISH | C | 25,000 | 27.44 | n/a | 4-Jan | 1,250.00 | 5.0 |
| JP MORGAN VENTURES | 046 | DPZ | S | 25,000 | 11.52 | n/a | 24-Apr | 1,250.00 | 5.0 |
| PENN CAPITAL MGMT | 243 | DSW | B | 26,910 | 11.78 | 8-May | 30-May | 1,076.40 | 4.0 |
| MAGUIRE | 039 | DSW | B | 500 | 11.31 | 8-May | 30-May | 0.00 | 0.0 |
| NOMURA CAPITAL | 045 | DTG | B | 16,750 | 3.17 | n/a | n/a | 502.50 | 3.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------|------|--------|-----|--------|--------|--------|----------|-------------|-----|
| PAKLER | 029 | DUG | B | 83 | 30.50 | n/a | n/a | 0.00 | 0.0 |
| OGORMAN | 046 | DUG | B | 600 | 30.71 | n/a | n/a | 0.00 | 0.0 |
| BENCHMARK | 028 | ERJ | B | 7,500 | 27.17 | n/a | n/a | 375.00 | 5.0 |
| MS PRINCIPAL STRATEGIES | 041 | ERJ | SS | 50,000 | 27.18 | n/a | n/a | 2,500.00 | 5.0 |
| WILLIAM BLAIR | 031 | ERTS | B | 33,060 | 45.76 | n/a | n/a | 1,322.40 | 4.0 |
| NCM CAPITAL MGMT | 035 | ESL | B | 36,266 | 46.69 | n/a | n/a | 1,450.64 | 4.0 |
| DEMARTINI | 065 | ETN | B | 200 | 82.22 | 18-Jun | 18-Jun | 0.00 | 0.0 |
| BALYASNY ASSET MGMT | 044 | FII | B | 25,000 | 32.39 | 14-Apr | 2-Jul | 1,250.00 | 5.0 |
| OGORMAN | 046 | FINL | SS | 2,000 | 9.65 | 28-Mar | 28-Mar | 0.00 | 0.0 |
| NATIONAL BK OF CAN | 031 | FITB | S | 25,000 | 11.39 | n/a | n/a | 1,000.00 | 4.0 |
| ROTHSCHILD ASSET | 040 | FLO | S | 37,660 | 28.42 | n/a | n/a | 1,694.70 | 4.5 |
| PAKLER | 029 | FSLR | S | 4 | 254.77 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | GES | B | 10,000 | 35.92 | n/a | n/a | 400.00 | 4.0 |
| MORGAN LEWIS/MLH | 046 | GE | B | 35,000 | 28.15 | n/a | n/a | 1,750.00 | 5.0 |
| MORTAR ROCK | 033 | GFIG | S | 75,000 | 7.98 | n/a | n/a | 3,000.00 | 4.0 |
| JPMSI/TOM LEDDY | 035 | GIL | S | 4,000 | 24.49 | 30-Apr | 27-Jun | 200.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|-------------|-----------|---------------|--------------|---------------|--------------|---------------|------------|
| KERN | 050 | GLW | B | 500 | 21.20 | n/a | 7-Jul | 0.00 | 0.0 |
| DEMARTINI | 065 | GLW | B | 1,000 | 21.17 | n/a | 7-Jul | 0.00 | 0.0 |
| OGORMAN | 046 | GM | C | 1,000 | 10.50 | 24-Jun | 2-Jul | 0.00 | 0.0 |
| ADAGE CAPITAL MGMT | 030 | GPS | B | 300,000 | 16.58 | n/a | 2-Jul | 12,000.00 | 4.0 |
| COUGAR TRADING | 044 | GPS | B | 10,000 | 16.36 | n/a | 2-Jul | 500.00 | 5.0 |
| NATIONAL BK OF CAN | 031 | GS | S | 5,000 | 169.00 | 19-Mar | 17-Jun | 200.00 | 4.0 |
| SANDLER O'NEILL ASSET | 031 | GS | B | 10,000 | 169.56 | 19-Mar | 17-Jun | 500.00 | 5.0 |
| SIGMA CAPITAL ASSOCIATES | 237 | GYMB | SS | 25,000 | 38.17 | 3-Jun | 2-Jul | 1,250.00 | 5.0 |
| N STRATTON | 014 | HIBB | SS | 100 | 21.50 | n/a | n/a | 0.00 | 0.0 |
| CENTURION GLOBAL MGMT LL | 041 | INTC | S | 12,400 | 20.76 | n/a | n/a | 372.00 | 3.0 |
| NCM CAPITAL MGMT | 035 | INTU | B | 71,022 | 28.20 | n/a | n/a | 2,840.88 | 4.0 |
| MERRILL ASSET | 032 | IVZ | B | 27,300 | 22.67 | 10-Apr | 2-Jul | 1,228.50 | 4.5 |
| BUCK CAPITAL MGMT | 001 | JAH | B | 10,000 | 17.98 | n/a | n/a | 400.00 | 4.0 |
| J L F ASSET MGMT | 039 | JCP | SS | 83,700 | 36.47 | n/a | 2-Jul | 3,348.00 | 4.0 |
| BALYASNY ASSET MGMT | 044 | JNS | B | 50,000 | 24.62 | 25-Apr | 8-Jul | 2,500.00 | 5.0 |
| NATIONAL BK OF CAN | 031 | JPM | S | 10,000 | 35.08 | 8-May | 2-Jul | 400.00 | 4.0 |
| SANDLER O'NEILL ASSET | 031 | JPM | B | 100,000 | 34.75 | 8-May | 2-Jul | 5,000.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|-------------|-----------|--------------|---------------|--------------|---------------|-------------|------------|
| BUCK | 015 | JPM | C | 2,500 | 33.84 | 8-May | 2-Jul | 0.00 | 0.0 |
| COUGAR TRADING | 044 | KSS | B | 5,000 | 43.90 | n/a | 2-Jul | 250.00 | 5.0 |
| N STRATTON | 014 | LEG | SS | 150 | 15.00 | n/a | n/a | 0.00 | 0.0 |
| NATIONAL BK OF CAN | 031 | LEH | S | 65,000 | 20.27 | 9-Jun | 17-Jun | 2,600.00 | 4.0 |
| NCM CAPITAL MGMT | 035 | LLTC | B | 167,755 | 32.30 | n/a | n/a | 6,710.20 | 4.0 |
| EPOCH INV MGMT | 030 | LM | S | 50,000 | 37.22 | 1-Jul | 2-Jul | 2,000.00 | 4.0 |
| N STRATTON | 014 | LNET | B | 1,050 | 3.53 | n/a | n/a | 0.00 | 0.0 |
| COUGAR TRADING | 044 | LTD | SS | 10,000 | 16.73 | n/a | 2-Jul | 500.00 | 5.0 |
| NCM CAPITAL MGMT | 035 | MAT | B | 86,425 | 17.46 | n/a | n/a | 3,457.00 | 4.0 |
| BUCK | 015 | MON | SS | 300 | 115.01 | n/a | 26-Jun | 0.00 | 0.0 |
| NATIONAL BK OF CAN | 031 | MS | S | 35,000 | 35.07 | n/a | 19-Jun | 1,400.00 | 4.0 |
| NCM CAPITAL MGMT | 035 | NFG | B | 32,559 | 55.17 | n/a | n/a | 1,302.36 | 4.0 |
| COLUMBIA PARTNERS | 028 | NOV | S | 29,296 | 78.70 | n/a | n/a | 878.88 | 3.0 |
| DAVIDSON KEMPNER ADVISOR | 040 | NWA | S | 184,000 | 6.41 | n/a | n/a | 9,200.00 | 5.0 |
| MS PRINCIPAL STRATEGIES | 041 | OIH | B | 20,000 | 199.91 | n/a | n/a | 1,000.00 | 5.0 |
| SCOPUS FUND | 041 | PAG | B | 48,000 | 13.75 | 10-Jun | 10-Jun | 2,400.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------|------------|--------------|----------|------------|--------------|------------|---------------|-------------|------------|
| TRUST CO/COWEN/CALF. | 040 | PFE | S | 109,100 | 18.04 | n/a | 31-Mar | 4,364.00 | 4.0 |
| BASIX | 033 | PKG | B | 30,000 | 22.81 | 7-Apr | 26-Jun | 1,200.00 | 4.0 |
| CSFB GLOBAL PROPRIETARY | 041 | PKG | B | 20,000 | 22.71 | 7-Apr | 26-Jun | 1,000.00 | 5.0 |
| SCOPUS FUND | 041 | PKG | B | 75,000 | 23.29 | 7-Apr | 26-Jun | 3,750.00 | 5.0 |
| N STRATTON | 014 | PRXI | B | 500 | 3.90 | n/a | n/a | 0.00 | 0.0 |
| BLUE HARBOR | 036 | PVH | B | 45,000 | 35.44 | 5-May | 22-May | 2,250.00 | 5.0 |
| JP MORGAN VENTURES | 046 | PWR | C | 75,000 | 28.81 | n/a | n/a | 3,750.00 | 5.0 |
| DANIELS | N25 | QXM | B | 500 | 4.85 | n/a | n/a | 0.00 | 0.0 |
| N STRATTON | 014 | REXI | S | 300 | 9.50 | n/a | n/a | 0.00 | 0.0 |
| CSFB GLOBAL PROPRIETARY | 041 | RSG | B | 20,000 | 29.01 | n/a | n/a | 1,000.00 | 5.0 |
| BUCK | 015 | SDBSA | B | 5 | 9.49 | n/a | n/a | 0.00 | 0.0 |
| OGORMAN | 046 | SDS | S | 300 | 69.85 | n/a | n/a | 0.00 | 0.0 |
| PALMYRA CAPITAL | 048 | SKS | S | 146,000 | 9.63 | n/a | n/a | 7,300.00 | 5.0 |
| ZOLIDIS | 011 | SKX | C | 300 | 19.01 | n/a | 13-Mar | 0.00 | 0.0 |
| OGORMAN | 046 | SKX | C | 500 | 19.49 | n/a | 13-Mar | 0.00 | 0.0 |
| BUCK | 015 | SLBTR | S | 6 | 3.40 | n/a | n/a | 0.00 | 0.0 |
| PERRY PARTNERS | 041 | SPLS | S | 90,000 | 22.01 | n/a | 27-Jul | 4,500.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------|------------|--------------|-----------|--------------|--------------|------------|---------------|-------------|------------|
| KORMAN | 003 | SPLS | SS | 5,000 | 22.30 | n/a | 27-Jul | 0.00 | 0.0 |
| N STRATTON | 014 | TECUA | SS | 100 | 32.60 | n/a | n/a | 0.00 | 0.0 |
| HIGHBRIDGE CAPITAL | 038 | THQI | SS | 55,800 | 18.71 | n/a | n/a | 2,790.00 | 5.0 |
| BACKBAY | 041 | TIN | B | 25,000 | 11.85 | 29-Apr | 26-Jun | 1,250.00 | 5.0 |
| BMO NESBITT BURNS | 041 | TIN | B | 50,000 | 11.98 | 29-Apr | 26-Jun | 2,500.00 | 5.0 |
| CSFB GLOBAL PROPRIETARY | 041 | TIN | B | 30,000 | 11.69 | 29-Apr | 26-Jun | 1,500.00 | 5.0 |
| SCOPUS FUND | 041 | TIN | B | 50,000 | 12.00 | 29-Apr | 26-Jun | 2,500.00 | 5.0 |
| J L F ASSET MGMT | 039 | TJX | B | 25,600 | 31.94 | n/a | 2-Jul | 1,024.00 | 4.0 |
| KEEFE BRUYETTE WOODS A | 031 | TROW | SS | 5,700 | 50.61 | n/a | 2-Jul | 285.00 | 5.0 |
| BARON ASSET MGMT* | 012 | TSCO | S | 57,000 | 29.22 | n/a | n/a | 2,850.00 | 5.0 |
| COUGAR TRADING | 044 | TWB | B | 25,000 | 15.40 | 17-Mar | 22-May | 1,250.00 | 5.0 |
| OGORMAN | 046 | UCC | B | 500 | 39.57 | n/a | n/a | 0.00 | 0.0 |
| ZOLIDIS | 011 | UYG | B | 1,000 | 19.45 | n/a | n/a | 0.00 | 0.0 |
| KENNEDY CAPITAL | 046 | VCI | B | 6,300 | 12.18 | n/a | n/a | 252.00 | 4.0 |
| JP MORGAN VENTURES | 046 | VFC | B | 15,000 | 74.57 | n/a | n/a | 750.00 | 5.0 |
| FRONTPOINT CHRIS C | 041 | VMI | B | 1,500 | 89.29 | n/a | n/a | 60.00 | 4.0 |
| WILLIAM BLAIR | 031 | VRSN | S | 117,700 | 32.82 | n/a | n/a | 2,354.00 | 2.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|-----------------------------|------------|-------------|----------|------------|--------------|------------|------------|-------------------|------------|
| MERRILL ASSET | 032 | WBS | B | 10,500 | 17.74 | n/a | n/a | 472.50 | 4.5 |
| PHILADELPHIA FINANCIAL | 033 | WDR | B | 60,293 | 33.40 | 22-Apr | 2-Jul | 2,411.72 | 4.0 |
| CSFB GLOBAL PROPRIETARY | 041 | WMI | B | 15,000 | 36.31 | n/a | n/a | 750.00 | 5.0 |
| OGORMAN | 046 | XLY | B | 400 | 28.36 | n/a | n/a | 0.00 | 0.0 |
| JP MORGAN VENTURES | 046 | XRTX | B | 15,000 | 15.83 | n/a | n/a | 750.00 | 5.0 |
| POST ROAD CAP | 045 | XRT | B | 10,000 | 29.76 | n/a | n/a | 300.00 | 3.0 |
| ZOLIDIS | 011 | ZUMZ | B | 500 | 17.87 | n/a | n/a | 0.00 | 0.0 |
| TOTAL (Trade Date) : | | | | | | | | 168,678.00 | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------|-------|---------------------------------------|------|--------|-----|---------|-------|--------|----------|-------------|
| AO, | 07/03 | TRELLUS PARTNERS LP (TRELMMERR) | 028 | BWA | B | 2,850 | 40.94 | 10-Jun | 10-Jun | 142.50 5.0 |
| AO, | 07/03 | TRELLUS PARTNERS LP (TRELGS) | 028 | BWA | B | 2,850 | 40.94 | 10-Jun | 10-Jun | 142.50 5.0 |
| AO, | 07/03 | TRELLUS PARTNERS LP (TRELMAIN) | 028 | BWA | B | 44,300 | 40.94 | 10-Jun | 10-Jun | 2215.00 5.0 |
| AO,CXL | 07/07 | SATELITE/BOBBY (SATBCITI) | 044 | CVS | S | 39,500 | 39.00 | n/a | n/a | 1975.00 5.0 |
| AO,CRT | 07/07 | SATELITE/BOBBY (SATBCITI) | 044 | CVS | S | 39,500 | 39.00 | n/a | n/a | 1580.00 4.0 |
| AO,CXL | 07/02 | MCCARTAN (MCCARTAN) | 028 | DHR | SS | 100 | 75.09 | n/a | n/a | 0.00 0.0 |
| AO, | 07/02 | MCCARTAN (MCCARTAN) | 028 | DHR | SS | 100 | 75.32 | n/a | n/a | 0.00 0.0 |
| AO,CRT | 07/02 | EMP ERROR (EMP ERROR) | 099 | DHR | SS | 100 | 75.09 | n/a | n/a | 0.00 0.0 |
| AO, | 07/02 | EMP ERROR (EMP ERROR) | 099 | DHR | SS | 100 | 75.32 | n/a | n/a | 0.00 0.0 |
| AO,CXL | 07/02 | GALT INVESTMENT (GALTGS) | 041 | DKS | B | 25,000 | 17.25 | 7-Jul | 7-Jul | 1250.00 5.0 |
| AO,CRT | 07/02 | GALT INVESTMENT (GALTGS) | 041 | DKS | B | 25,000 | 17.25 | 7-Jul | 7-Jul | 1000.00 4.0 |
| AO,CXL | 07/07 | BAYPOINT/PRIMEMOVERS (BAYPSLK) | 041 | FTK | B | 5,000 | 17.78 | n/a | n/a | 200.00 4.0 |
| AO, | 07/07 | BAYPOINT/PRIMEMOVERS (BAYPSLK) | 041 | FTK | B | 5,000 | 17.78 | n/a | n/a | 250.00 5.0 |
| AO,CXL | 07/07 | SATELITE/BOBBY (SATBCITI) | 044 | IGT | SS | 10,000 | 24.91 | n/a | 18-Apr | 500.00 5.0 |
| AO,CRT | 07/07 | SATELITE/BOBBY (SATBCITI) | 044 | IGT | SS | 10,000 | 24.91 | n/a | 18-Apr | 400.00 4.0 |
| AO,CXL | 07/07 | SATELITE/BOBBY (SATBCITI) | 044 | KSS | S | 5,000 | 43.21 | n/a | 2-Jul | 250.00 5.0 |
| AO,CRT | 07/07 | SATELITE/BOBBY (SATBCITI) | 044 | KSS | S | 5,000 | 43.21 | n/a | 2-Jul | 200.00 4.0 |
| AO,CXL | 07/07 | SATELITE/BOBBY (SATBCITI) | 044 | PBG | B | 15,000 | 28.42 | n/a | n/a | 750.00 5.0 |
| AO,CRT | 07/07 | SATELITE/BOBBY (SATBCITI) | 044 | PBG | B | 15,000 | 28.42 | n/a | n/a | 600.00 4.0 |
| AO,CXL | 07/01 | MERRILL LYNCH STRATEGICI (MLSIMERRIL) | 034 | ROST | SS | 100,000 | 35.79 | n/a | n/a | 5000.00 5.0 |
| AO,CRT | 07/01 | MERRILL LYNCH STRATEGICI (MLSIMERRIL) | 034 | ROST | SS | 100,000 | 35.79 | n/a | n/a | 4000.00 4.0 |

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------------|-------|-----------------------------|------|--------|-----|--------|-------|--------|----------|---------------|-----|
| AO,CRT | 07/03 | OTC ERROR (OTC ERROR) | 099 | UFPI | B | 400 | 27.30 | n/a | n/a | 0.00 | 0.0 |
| AO,CXL | 07/03 | LISTED ERR (LISTED ERR) | 091 | UFPI | B | 400 | 27.30 | n/a | n/a | 20.00 | 5.0 |
| AO,CXL | 07/03 | FRONTPOINT CHRIS C (FPCCMS) | 041 | WMT | B | 25,000 | 56.88 | 30-Jun | 2-Jul | 1250.00 | 5.0 |
| AO, | 07/03 | J L F ASSET MGMT (JLFA004) | 039 | WMT | B | 25,000 | 56.88 | 30-Jun | 2-Jul | 1000.00 | 4.0 |
| TOTAL (AO, CXL, CRT) : | | | | | | | | | | 335.00 | |

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|-----------------------|------------|
| TOTAL (Trade Date): | 168,678.00 |
| TOTAL (AO, CXL, CRT): | 335.00 |

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|--------------------------------|-------------------|
| TOTAL (RUN DATE) / NFS: | 169,013.00 |
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION