



Daily Compliance Activity Report

Trade Date: 07/24/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 2

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J COHEN	N27	AAPL	B	10	162.03	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ABG	B	5,000	13.15	10-Jun	17-Jul	250.00	5.0
COUGAR TRADING	044	AEO	B	10,000	14.71	6-Mar	16-Jul	500.00	5.0
BUCK	015	AET	S	2,000	40.11	n/a	n/a	0.00	0.0
COOKBIELER	028	ALV	B	20,095	39.16	23-Jul	23-Jul	803.80	4.0
BUCK	015	ALV	SS	1,000	37.89	23-Jul	23-Jul	0.00	0.0
TOKIO MARINE ASSET MGMT	045	AMP	B	31,600	42.40	n/a	n/a	1,580.00	5.0
GRISANTI BROWN & PARTNER	048	AMR	B	23,900	9.02	n/a	n/a	717.00	3.0
⌘ BUCK CAPITAL MGMT		AN+VB	C	1,000	0.85	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		AN+VC	C	4,979	4.50	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		ANN+UD	SS	1,000	0.85	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		AN	C	597,900	10.85	2-Jul	17-Jul	non-brg	2.0
COUGAR TRADING	044	AN	B	5,000	10.66	2-Jul	17-Jul	250.00	5.0
⌘ BUCK CAPITAL MGMT		APC	S	82,700	59.50	20-Mar	24-Jul	non-brg	4.0
WHITE PINE CAPITAL	030	APOG	B	1,000	17.50	n/a	n/a	50.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	ARGN	B	10,000	7.38	24-Jun	17-Jul	300.00	3.0
BUCK CAPITAL MGMT	001	ARO	S	100,000	32.90	28-Apr	11-Jul	4,000.00	4.0
RBC CAPITAL MARKETS	034	ARO	B	10,000	33.96	28-Apr	11-Jul	400.00	4.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	25,000	33.93	28-Apr	11-Jul	1,250.00	5.0
B BUCK CAPITAL MGMT		AXE	S	30,000	67.37	28-Mar	23-Jul	non-brg	4.0
BUCK	015	BACPRL	S	25	949.00	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	BAC	S	18,123	31.35	n/a	22-Jul	906.15	5.0
RBC CAPITAL MARKETS	034	BBBY	B	10,000	28.98	n/a	n/a	400.00	4.0
NEUBERGER BERMAN	036	BBG	S	192,700	40.90	n/a	n/a	8,671.50	4.5
RBC CAPITAL MARKETS	034	BJ	B	10,000	36.78	n/a	27-Jul	400.00	4.0
COLUMBIA PARTNERS	028	BWA	SS	39,397	41.28	10-Jun	17-Jul	1,575.88	4.0
LEDDY	035	BWA	SS	500	41.12	10-Jun	17-Jul	0.00	0.0
ZOLIDIS	011	BWS	SS	1,500	16.49	n/a	n/a	0.00	0.0
NUMERIC	060	BYD	S	6,200	10.55	30-Apr	30-Apr	186.00	3.0
ROTHSCHILD ASSET	040	CAL	S	34,194	13.02	n/a	n/a	1,538.75	4.5
B BUCK CAPITAL MGMT		CASY	SS	20,000	24.15	n/a	n/a	non-brg	4.0
LEDDY	035	CAT	SS	300	70.46	n/a	23-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	CCL	SS	10,000	38.51	n/a	26-Jan	500.00	5.0
S CAST	016	CHCG	B	1,000	2.28	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	CLX	SS	10,000	54.03	n/a	24-Jun	400.00	4.0
ß BUCK CAPITAL MGMT		CMG	C	20,000	73.15	24-Jul	24-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		CMG	C	84,058	70.68	24-Jul	24-Jul	non-brg	4.0
SANDLER CAPITAL	038	CMG	S	40,000	70.08	24-Jul	24-Jul	2,400.00	6.0
LEDDY	035	CMI	C	250	66.38	n/a	23-May	0.00	0.0
ß BUCK CAPITAL MGMT		COLM	C	10,000	41.19	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		COLM	C	40,000	41.10	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	034	COST	B	5,000	64.06	n/a	27-Jul	200.00	4.0
RHO CAPITAL MGMT	041	CPST	SS	6,000	2.81	n/a	n/a	150.00	2.5
LISTED ERR	099	CSCO	B	100	21.77	n/a	22-Mar	0.00	0.0
LISTED ERR	099	CSCO	S	100	21.73	n/a	22-Mar	0.00	0.0
CATAPULT	040	CVS	S	25,000	38.08	n/a	n/a	1,000.00	4.0
N STRATTON	014	CYBE	B	99	9.19	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DAI	B	2,600	60.91	n/a	n/a	104.00	4.0
BUCK CAPITAL MGMT	001	DAI	SS	100,000	59.64	n/a	n/a	4,000.00	4.0
DIAMONDBACK CAP MGMT	232	DELL	C	100,000	23.71	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LAGRANGE CAP ADV	048	DHX	B	1,200	7.95	n/a	n/a	60.00	5.0
CENTURION GLOBAL MGMT LL	041	DUG	B	17,200	36.71	n/a	n/a	516.00	3.0
BLACKSTONE KAILIX ASS.	048	DVN	S	500,000	91.33	7-May	24-Jul	25,000.00	5.0
⚡ BUCK CAPITAL MGMT		ETH+TX	SS	500	0.55	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		EXH	S	30,000	58.79	n/a	24-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		EXH	S	34,200	58.80	n/a	24-Jul	non-brg	1.0
BAYPOINT TRADING/XYLEM	091	EXP	B	50,000	24.09	n/a	n/a	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		F+IA	SS	3,000	1.00	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		F+UA	B	3,000	0.44	n/a	n/a	non-brg	100.0
LUCHANSKY1	036	FDO	SS	500	23.54	23-Jul	23-Jul	0.00	0.0
BARR	096	FNM	C	8,000	14.50	n/a	n/a	0.00	0.0
BUCK	015	FOLD	B	1,500	14.88	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	F	S	50,000	5.65	23-Jun	17-Jul	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		F	SS	100,000	5.63	23-Jun	17-Jul	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	B	10,000	32.19	n/a	n/a	400.00	4.0
CUMBERLAND	038	GLBL	B	30,435	12.32	n/a	n/a	1,217.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KARPNANCY	023	GLW	B	200	20.31	n/a	9-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		GM+IS	SS	2,000	2.43	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		GM+US	B	2,000	1.68	n/a	n/a	non-brg	300.0
HART	056	GMTS	B	10	0.87	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GNTX	SS	21,838	15.23	24-Jun	23-Jul	1,091.90	5.0
COUGAR TRADING	044	GPI	B	5,000	18.57	10-Jun	17-Jul	250.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	25,000	18.34	10-Jun	17-Jul	1,250.00	5.0
BUCK CAPITAL MGMT	001	GPS	SS	50,000	16.37	n/a	11-Jul	2,000.00	4.0
JP MORGAN VENTURES	046	GPS	SS	10,000	16.50	n/a	11-Jul	500.00	5.0
BUCK	015	GSK	B	1,400	47.87	n/a	n/a	0.00	0.0
NEW JERSEY DIV OF INV.	220	GS	S	55,000	183.46	19-Mar	14-Jul	2,200.00	4.0
TOKIO MARINE ASSET MGMT	045	GS	B	6,800	184.49	19-Mar	14-Jul	340.00	5.0
COUGAR TRADING	044	GYMB	B	10,000	39.53	11-Jul	11-Jul	500.00	5.0
WHITE PINE CAPITAL	030	HALL	B	2,000	9.61	n/a	n/a	100.00	5.0
TOKIO MARINE ASSET MGMT	045	HAL	B	29,000	44.51	n/a	n/a	1,450.00	5.0
⌘ BUCK CAPITAL MGMT		HAS	SS	20,000	39.85	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	HD	SS	40,000	24.08	n/a	27-Jul	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TOKIO MARINE ASSET MGMT	045	HIG	B	13,200	64.17	n/a	n/a	660.00	5.0
GAULT	063	HRS	S	1,000	51.38	2-Jun	2-Jun	0.00	0.0
EGS ASSOCIATES LP	091	ICON	B	5,000	11.90	n/a	n/a	300.00	6.0
SAXON WOODS ADVISORS	038	INTC	B	200,000	21.89	n/a	n/a	8,000.00	4.0
WHITE PINE CAPITAL	030	IO	B	1,200	15.19	n/a	n/a	60.00	5.0
B BUCK CAPITAL MGMT		IPAR	SS	10,000	14.67	n/a	n/a	non-brg	4.0
ADALY INV MGMT	067	IP	B	12,500	23.50	16-Jul	16-Jul	562.50	4.5
BAYPOINT TRADING/XYLEM	091	IP	B	200,000	23.91	16-Jul	16-Jul	10,000.00	5.0
KENNEDY CAPITAL	046	JASO	B	66,300	14.05	n/a	n/a	2,652.00	4.0
COUGAR TRADING	044	JCG	B	5,000	30.43	n/a	30-May	250.00	5.0
BUCK CAPITAL MGMT	001	JCP	SS	50,000	31.19	n/a	11-Jul	2,000.00	4.0
RBC CAPITAL MARKETS	034	JCP	B	10,000	32.01	n/a	11-Jul	400.00	4.0
B BUCK CAPITAL MGMT		JNS	S	15,000	30.54	15-Jul	22-Jul	non-brg	1.0
B BUCK CAPITAL MGMT		JNS	S	179,600	30.91	15-Jul	22-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		JNS	S	7,000	30.64	15-Jul	22-Jul	non-brg	2.0
JP MORGAN VENTURES	046	JNY	S	20,000	15.52	n/a	1-May	1,000.00	5.0
OGORMAN	046	JOSB	B	1,000	24.41	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JWN	SS	20,000	30.07	10-Jul	11-Jul	800.00	4.0
COUGAR TRADING	044	JWN	B	20,000	30.29	10-Jul	11-Jul	1,000.00	5.0
JP MORGAN VENTURES	046	JWN	SS	10,000	30.03	10-Jul	11-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	KMB	SS	10,000	55.49	n/a	24-Jul	400.00	4.0
SYSTEMATIC FINANCIAL MGT	035	KO	S	202,800	51.88	n/a	n/a	7,605.00	3.8
BALYASNY ASSET MGMT	044	KSS	S	25,000	44.18	n/a	17-Jul	1,250.00	5.0
RBC CAPITAL MARKETS	034	KSS	S	10,000	43.76	n/a	17-Jul	400.00	4.0
COLE	N24	LNET	B	300	3.51	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	LSCC	B	3,000	2.82	n/a	n/a	60.00	2.0
BUCK CAPITAL MGMT		LTM+HH	C	974	0.35	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	LTM	S	20,000	33.53	n/a	n/a	800.00	4.0
COUGAR TRADING	044	MCD	S	5,000	58.29	9-Jun	23-Jul	250.00	5.0
BUCK	015	MCK	B	1,250	56.29	n/a	n/a	0.00	0.0
WEISS PECK	040	MEE	C	2,700	65.96	n/a	n/a	135.00	5.0
BARR	096	MENT	S	4,000	14.41	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	MMR	B	1,300	23.30	n/a	n/a	65.00	5.0
AMATURO	018	MPER	B	1,000	7.54	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		MSMSBOIH	C	6,000	100.17	n/a	n/a	non-brg	10.0
LEDDY	035	MTW	S	1,500	28.52	n/a	23-May	0.00	0.0
B BUCK CAPITAL MGMT		MVRX	SS	89,500	130.68	n/a	n/a	non-brg	13.1
LISTED ERR	099	NT	B	100	7.36	n/a	22-Mar	0.00	0.0
LISTED ERR	099	NT	S	100	7.37	n/a	22-Mar	0.00	0.0
NOMURA CAPITAL	045	NWA	S	11,500	9.56	n/a	n/a	460.00	4.0
JP MORGAN VENTURES	046	NWL	B	20,000	16.63	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	NWY	SS	40,000	9.58	n/a	22-May	1,600.00	4.0
NORTHPOINT	030	ODFL	S	47,270	34.49	n/a	n/a	1,890.80	4.0
B BUCK CAPITAL MGMT		OIH	C	10,000	188.56	n/a	n/a	non-brg	1.0
COUGAR TRADING	044	PAG	B	5,000	14.41	10-Jun	17-Jul	250.00	5.0
KARPNANCY	023	PCAR	B	200	43.27	n/a	23-Jul	0.00	0.0
WEISS PECK	040	PDE	C	4,500	39.56	n/a	n/a	225.00	5.0
B BUCK CAPITAL MGMT		PERY	S	5,000	23.86	n/a	n/a	non-brg	2.5
WHITE PINE CAPITAL	030	PERY	B	500	23.98	n/a	n/a	25.00	5.0
B BUCK CAPITAL MGMT		PFCB	SS	20,000	25.72	n/a	23-Jul	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WEISS PECK	040	PLCE	SS	11,000	37.45	23-Jun	17-Jul	550.00	5.0
COUGAR TRADING	044	PSUN	SS	20,000	8.97	n/a	11-Jul	800.00	4.0
BUCK	015	QQQQ	S	200	45.09	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	RCL	SS	46,400	26.05	23-Jul	23-Jul	1,392.00	3.0
STERLING JOHNSTON	035	RDEN	B	55,000	16.72	10-Jun	24-Jun	2,200.00	4.0
BUCK CAPITAL MGMT	001	RL	S	30,000	61.94	7-Feb	29-May	1,200.00	4.0
COUGAR TRADING	044	RL	B	5,000	62.91	7-Feb	29-May	250.00	5.0
VISIUM/BALY HOWARD	038	RL	B	10,000	61.99	7-Feb	29-May	500.00	5.0
GALT INVESTMENT	041	RRGB	B	20,000	25.19	n/a	n/a	1,000.00	5.0
BUCK	015	RYN	S	250	46.97	n/a	24-Jul	0.00	0.0
NOMURA CAPITAL	045	SGLP	B	20,000	7.75	n/a	n/a	800.00	4.0
ZOLIDIS	011	SKX	B	900	19.85	n/a	13-Mar	0.00	0.0
ZOLIDIS	011	SKX	C	1,300	20.38	n/a	13-Mar	0.00	0.0
BUCK	015	SLB	B	100	98.39	n/a	n/a	0.00	0.0
LEDDY	035	SLB	S	150	98.26	n/a	n/a	0.00	0.0
COLE	N24	SNS	B	200	7.31	n/a	n/a	0.00	0.0
LEDDY	035	SSCC	S	2,500	5.39	7-Apr	11-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	SSO	S	10,000	61.22	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		SYX	SS	60,000	29.29	n/a	24-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TEN	SS	50,000	13.94	n/a	n/a	non-brg	4.0
KORMAN	003	TEN	C	13,000	13.17	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		TEX	S	28,074	50.48	24-Jul	24-Jul	non-brg	4.0
MCCARTAN	028	TEX	S	100	47.33	24-Jul	24-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		TGT	SS	50,000	45.07	n/a	16-Jul	non-brg	4.0
PRINCIPLE CAPITAL	040	TIF	SS	29,800	39.12	n/a	2-Jun	894.00	3.0
SCOPUS FUND	041	TIN	B	50,000	13.44	29-Apr	11-Jul	2,500.00	5.0
HOLDEN CAPITAL MGMT	035	TITN	SS	50,000	28.59	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		TJX	S	160,000	33.12	n/a	11-Jul	non-brg	4.0
J L F ASSET MGMT	039	TJX	S	40,000	33.53	n/a	11-Jul	1,600.00	4.0
RBC CAPITAL MARKETS	034	TJX	B	20,000	33.82	n/a	11-Jul	800.00	4.0
THIRD COAST CAPITAL	035	TJX	S	45,000	33.40	n/a	11-Jul	2,250.00	5.0
RBC CAPITAL MARKETS	034	TLB	SS	50,000	13.28	17-Apr	23-Jul	2,000.00	4.0
CHARTER OAK	033	TLP	S	4,900	23.11	n/a	n/a	245.00	5.0
PRINCIPLE CAPITAL	040	TPX	S	149,700	10.05	n/a	n/a	4,491.00	3.0
⌘ BUCK CAPITAL MGMT		TRLG	S	30,000	27.39	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	TRW	SS	1,000	16.62	n/a	n/a	0.00	0.0
HART	056	TWTC	S	75	15.38	n/a	4-Jan	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		URBN	S	22,000	31.49	15-May	9-Jul	non-brg	4.0
COUGAR TRADING	044	URBN	B	5,000	32.06	15-May	9-Jul	250.00	5.0
WEISS PECK	040	URBN	SS	9,000	31.19	15-May	9-Jul	450.00	5.0
KORMAN	003	URBN	C	2,000	31.30	15-May	9-Jul	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		UWY+TQ	C	575	4.83	n/a	n/a	non-brg	300.0
COLE	N24	VC	B	1,500	2.17	n/a	17-Jul	0.00	0.0
ARIENCE CAPITAL	036	WAG	SS	87,000	34.13	n/a	n/a	4,350.00	5.0
RBC CAPITAL MARKETS	034	WAG	B	10,000	34.70	n/a	n/a	400.00	4.0
COUGAR TRADING	044	WMT	SS	5,000	57.46	30-Jun	11-Jul	250.00	5.0
FRONTPOINT CHRIS C	041	WNC	SS	6,700	9.22	n/a	n/a	268.00	4.0
Ⓐ BUCK CAPITAL MGMT		WSM	SS	20,000	18.43	n/a	n/a	non-brg	4.0
Ⓐ BUCK CAPITAL MGMT		WYNN	B	50,000	91.69	n/a	n/a	non-brg	2.0
Ⓐ BUCK CAPITAL MGMT		WYNN	B	7,500	91.72	n/a	n/a	non-brg	2.0
FRONTPOINT CHRIS C	041	WYNN	B	4,000	91.47	n/a	n/a	160.00	4.0
FRONTPOINT CHRIS C	041	X	B	2,400	135.17	n/a	n/a	96.00	4.0

TOTAL (Trade Date):

158,304.68

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	07/23	BUCK CAPITAL MGMT	015	BACKH	S	10	1.30	n/a	n/a	0.00	0.0
AO,CRT	07/23	BUCK CAPITAL MGMT	015	BACKH	S	10	1.30	n/a	n/a	0.00	0.0
AO,	07/23	METROPOLITAN CAPITAL	044	DSW	S	21,150	13.50	8-May	9-Jul	1057.50	5.0
AO,CXL	07/23	MORGAN LEWIS/MLH	046	FDO	SS	15,000	23.71	23-Jul	23-Jul	750.00	5.0
AO,CRT	07/23	MORTAR ROCK	033	FDO	SS	15,000	23.71	23-Jul	23-Jul	750.00	5.0
AO,CRT	07/23	AAD CAPITAL	048	GCI	S	200,000	18.79	n/a	n/a	6000.00	3.0
AO,CXL	07/23	AAD CAPITAL	048	GCI	S	200,000	18.79	n/a	n/a	8000.00	4.0
AO,CXL	07/23	MORGAN LEWIS/MLH	046	IWM	B	25,000	71.70	n/a	n/a	1250.00	5.0
AO,CRT	07/23	MORTAR ROCK	033	IWM	B	25,000	71.70	n/a	n/a	1250.00	5.0
AO,	07/23	LISTED ERR	099	M	B	12,400	19.25	14-Jul	14-Jul	0.00	0.0
AO,CRT	07/23	NEUBERGER BERMAN	036	ME	S	53,600	27.32	n/a	n/a	2412.00	4.5
AO,	07/23	COLUMBUS CIRCLE	036	NKE	B	68,000	59.29	n/a	n/a	2720.00	4.0
AO,CRT	07/23	MTB INV ADV	034	ROST	B	400	39.71	n/a	n/a	20.00	5.0
AO,CXL	07/23	MTB INV ADV	034	ROST	B	400	39.71	n/a	n/a	16.00	4.0
TOTAL (AO, CXL, CRT) :										4,193.50	

TOTAL (Trade Date):	158,304.68
TOTAL (AO, CXL, CRT):	4,193.50

TOTAL (RUN DATE) / NFS:	162,498.18
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MRI Securities with Trade Activity on 24-Jul. CMG, TEX

MRI Securities without Trade Activity on 24-Jul. ENR, IVZ
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 15% YTD = 17%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION