



Daily Compliance Activity Report

Trade Date: 05/21/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KACELA CAPITAL	034	ACI	B	8,000	68.43	n/a	n/a	320.00	4.0
TROUBH PART	067	AIG	S	15,000	37.54	n/a	n/a	600.00	4.0
AMATURO	018	AKNS	S	6,000	7.10	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ANF	B	15,000	72.32	10-Apr	16-May	750.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	73.49	10-Apr	16-May	250.00	5.0
COUGAR TRADING	044	ARO	SS	10,000	33.49	28-Apr	9-May	500.00	5.0
J L F ASSET MGMT	039	ARO	SS	48,100	33.69	28-Apr	9-May	1,924.00	4.0
J L F ASSET MGMT	039	BA	S	7,800	82.36	n/a	n/a	312.00	4.0
KACELA CAPITAL	034	BBBY	S	20,100	31.83	n/a	n/a	804.00	4.0
KACELA CAPITAL	034	BHI	B	12,000	88.82	n/a	n/a	480.00	4.0
SYSTEMATIC FINANCIAL MGT	035	BJ	B	25,700	38.00	n/a	27-Jul	963.75	3.8
COUGAR TRADING	044	BKC	S	10,000	28.65	1-May	2-May	500.00	5.0
DIAMONDBACK CAP MGMT	232	BMS	SS	25,000	26.00	n/a	n/a	1,250.00	5.0
LISTED ERR	099	BWS	B	16	14.50	n/a	n/a	0.00	0.0
LISTED ERR	099	BWS	S	16	15.03	n/a	n/a	0.00	0.0
MILLER	025	BX	B	50	19.43	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	CAG	S	1,800	23.60	n/a	n/a	90.00	5.0
BUCK	015	CAL	SS	1,000	14.55	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	CAM	B	10,000	56.58	n/a	n/a	400.00	4.0
KACELA CAPITAL	034	CL	B	10,800	72.04	n/a	1-May	432.00	4.0
COUGAR TRADING	044	COH	SS	20,000	35.56	4-Apr	23-Apr	1,000.00	5.0
MERRILL LYNCH STRATEGICI	034	COH	B	30,000	35.08	4-Apr	23-Apr	1,200.00	4.0
DAWSON SANBERG & CO	041	CVS	B	91,000	41.98	n/a	n/a	4,550.00	5.0
ZOLIDIS	011	DECK	B	190	138.56	n/a	n/a	0.00	0.0
CATTANI	041	DECK	B	50	138.67	n/a	n/a	0.00	0.0
COUGAR TRADING	044	DSW	S	10,000	13.31	8-May	9-May	500.00	5.0
AMATURO	018	EPG	B	4,000	5.23	n/a	n/a	0.00	0.0
OSS CAPITAL/KEVIN DANN	067	FIS	B	400,000	38.99	n/a	n/a	12,000.00	3.0
BUCK CAPITAL MGMT	001	FL	S	20,000	12.31	2-Apr	20-May	800.00	4.0
BUCK CAPITAL MGMT	001	GES	B	10,000	38.58	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	GIL	B	30,000	27.59	30-Apr	8-May	1,200.00	4.0
AMATURO	018	GMO	S	2,000	9.03	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HART	056	GMRX	S	5	3.25	n/a	n/a	0.00	0.0
KORMAN	003	GM	SS	5,000	19.88	29-Apr	19-May	0.00	0.0
BUCK	015	GS	B	150	181.06	19-Mar	15-May	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	HBI	B	22,500	34.64	n/a	n/a	843.75	3.8
ZOLIDIS	011	HD	S	1,000	27.25	n/a	27-Jul	0.00	0.0
CATTANI	041	HD	S	250	27.27	n/a	27-Jul	0.00	0.0
BENCHMARK	028	HIL	S	5,000	15.60	n/a	n/a	250.00	5.0
MISSOURI VALLEY PART	046	JADE	S	60,000	3.65	n/a	n/a	1,800.00	3.0
BUCK CAPITAL MGMT	001	JAH	S	10,000	20.76	n/a	n/a	400.00	4.0
CATAPULT	040	JNS	S	5,000	27.50	25-Apr	20-May	250.00	5.0
MERRILL LYNCH STRATEGICI	034	JWN	B	20,000	35.72	16-May	16-May	800.00	4.0
PATTEN & PATTEN	067	KO	B	700	57.20	n/a	n/a	35.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	45.37	n/a	16-May	250.00	5.0
MERRILL LYNCH STRATEGICI	034	KSS	B	10,000	46.31	n/a	16-May	500.00	5.0
MERRILL LYNCH STRATEGICI	034	KSS	SS	10,000	47.58	n/a	16-May	500.00	5.0
RBC CAPITAL MARKETS	034	KSS	B	10,000	45.22	n/a	16-May	400.00	4.0
BUCK	015	LEH	SS	1,000	40.15	1-Apr	15-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SINCLAIR	031	LESAJ	B	10	3.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	17.01	n/a	14-May	400.00	4.0
WHITTIER CAP	048	LOW	S	1,925	23.74	n/a	27-Jul	77.00	4.0
PATTEN & PATTEN	067	MBI	S	600	8.66	n/a	n/a	30.00	5.0
KACELA CAPITAL	034	MCO	S	18,000	38.24	n/a	n/a	720.00	4.0
N STRATTON	014	MCO	C	100	40.00	n/a	n/a	0.00	0.0
MFP INVESTORS	032	MFW	B	200	33.00	n/a	n/a	10.00	5.0
PATTEN & PATTEN	067	MMC	S	1,500	27.59	n/a	n/a	75.00	5.0
SEMINOLE CAP	036	MMM	S	100,000	75.99	n/a	24-Apr	5,000.00	5.0
PATTEN & PATTEN	067	MRK	B	1,000	39.51	n/a	n/a	50.00	5.0
DSG CAPITAL	225	MTW	B	15,000	40.33	n/a	19-May	750.00	5.0
RBC CAPITAL MARKETS	034	M	B	15,000	23.16	7-Feb	15-May	600.00	4.0
THE JAY GOLDMAN	034	M	B	20,000	23.51	7-Feb	15-May	800.00	4.0
RBC CAPITAL MARKETS	034	NDN	B	20,000	7.99	27-Feb	9-May	800.00	4.0
KACELA CAPITAL	034	NFLX	B	35,000	32.41	n/a	n/a	1,400.00	4.0
J L F ASSET MGMT	039	NKE	S	10,500	66.26	n/a	n/a	420.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	NTRS	B	5,000	74.96	16-Apr	15-May	250.00	5.0
REINHART & MAHONEY	031	PBG	B	9,000	33.11	n/a	n/a	450.00	5.0
BARR	096	PDFS	B	7,500	5.28	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	PEP	B	8,000	68.11	n/a	n/a	320.00	4.0
COUGAR TRADING	044	PFCB	B	5,000	27.10	n/a	24-Apr	250.00	5.0
LAGRANGE CAP ADV	048	PLCE	B	21,438	28.11	2-May	12-May	1,071.90	5.0
METROPOLITAN CAPITAL	044	PLCE	S	7,400	28.40	2-May	12-May	370.00	5.0
MILLRACE FUND LP	028	PLCE	B	10,000	27.65	2-May	12-May	400.00	4.0
WAGONHOUND	048	PLCE	B	15,000	28.73	2-May	12-May	600.00	4.0
WEISS PECK	040	PLCE	S	16,800	28.14	2-May	12-May	840.00	5.0
PATTEN & PATTEN	067	PRU	B	400	75.31	n/a	n/a	20.00	5.0
COUGAR TRADING	044	PSUN	B	20,000	10.15	n/a	9-May	1,000.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	44.26	5-May	5-May	400.00	4.0
GOLD SACHS SPECIAL SIT	030	PVH	B	100,000	43.63	5-May	5-May	5,000.00	5.0
WHITTIER CAP	048	PVH	B	1,035	44.06	5-May	5-May	41.40	4.0
KACELA CAPITAL	034	QID	B	32,000	39.00	n/a	n/a	1,280.00	4.0
COUGAR TRADING	044	RL	SS	5,000	61.21	7-Feb	6-May	250.00	5.0
KACELA CAPITAL	034	SDS	B	15,000	56.02	n/a	n/a	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KACELA CAPITAL	034	SKF	B	5,000	106.72	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	SKX	S	10,000	22.36	n/a	13-Mar	400.00	4.0
IRIDIAN ASSET MGMT.	036	SLE	SS	80,690	13.69	n/a	n/a	4,034.50	5.0
J L F ASSET MGMT	039	SPLS	S	22,700	23.08	n/a	27-Jul	908.00	4.0
KACELA CAPITAL	034	SRS	B	15,000	83.13	n/a	n/a	600.00	4.0
BMO NESBITT BURNS	041	SSCC	S	50,000	6.37	7-Apr	23-Apr	2,500.00	5.0
SCOPUS FUND	041	SSCC	B	75,000	6.44	7-Apr	23-Apr	3,750.00	5.0
BARR	096	SYNA	B	200	40.65	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	TDC	S	25,000	25.37	n/a	n/a	1,250.00	5.0
AVESTA CAP	229	TGT	SS	50,000	53.27	n/a	27-Jul	2,500.00	5.0
MERRILL LYNCH STRATEGICI	034	TGT	SS	10,000	54.12	n/a	27-Jul	500.00	5.0
FIRST NY SEC/CHRIS	041	TIN	B	25,000	15.31	29-Apr	29-Apr	1,250.00	5.0
LUCHANSKY1	036	TROW	C	200	58.48	n/a	20-May	0.00	0.0
COUGAR TRADING	044	TWB	B	20,000	22.07	17-Mar	14-May	1,000.00	5.0
XYLEM GLOBAL	091	UFPI	B	34,077	33.76	n/a	n/a	1,703.85	5.0
AMATURO	018	UQM	B	5,000	2.37	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HART	056	UQM	B	800	2.34	n/a	n/a	0.00	0.0
CROWLEY	061	UQM	B	2,500	2.35	n/a	n/a	0.00	0.0
GREENLIGHT CAPITAL	041	UST	SS	65,339	54.16	n/a	n/a	3,266.95	5.0
RBC CAPITAL MARKETS	034	VFC	B	7,500	73.97	n/a	n/a	300.00	4.0
MERRILL ASSET	032	WBS	S	31,100	25.76	n/a	n/a	1,399.50	4.5
KORMAN	003	WFMI	SS	5,000	28.99	n/a	n/a	0.00	0.0
OSS CAPITAL/KEVIN DANN	067	WHR	SS	125,000	72.41	n/a	n/a	3,750.00	3.0
KACELA CAPITAL	034	WSM	S	35,000	25.34	n/a	n/a	1,400.00	4.0
PATTEN & PATTEN	067	WYE	S	800	44.13	n/a	31-Mar	40.00	5.0
KERN	050	XHB	S	500	20.17	n/a	n/a	0.00	0.0
STRAUSS ASSET MGMT	040	YTEC	B	10,000	11.33	n/a	n/a	400.00	4.0
TOTAL (Trade Date) :								92,682.60	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/20	REINHART & MAHONEY (REINCS64SC)	031	AIRM	B	1,300	36.37	n/a	n/a	65.00 5.0
AO,	05/20	REINHART & MAHONEY (REINSETLSM)	031	AIRM	B	300	36.37	n/a	n/a	15.00 5.0
AO,	05/20	REINHART & MAHONEY (REINNGLSM)	031	AIRM	B	1,000	36.37	n/a	n/a	50.00 5.0
AO,	05/20	REINHART & MAHONEY (REINHENGJT)	031	AIRM	B	600	36.37	n/a	n/a	30.00 5.0
AO,	05/20	REINHART & MAHONEY (REINDBSC)	031	AIRM	B	2,900	36.37	n/a	n/a	145.00 5.0
AO,	05/20	REINHART & MAHONEY (REINCS64SC)	031	ARP	S	1,100	19.05	n/a	n/a	55.00 5.0
AO,	05/20	REINHART & MAHONEY (REINSETLSM)	031	ARP	S	100	19.05	n/a	n/a	5.00 5.0
AO,	05/20	REINHART & MAHONEY (REINNGLSM)	031	ARP	S	800	19.05	n/a	n/a	40.00 5.0
AO,	05/20	REINHART & MAHONEY (REINHENGJT)	031	ARP	S	500	19.05	n/a	n/a	25.00 5.0
AO,	05/20	REINHART & MAHONEY (REINDBSC)	031	ARP	S	2,500	19.05	n/a	n/a	125.00 5.0
CRT	05/21	COUGAR TRADING (COUGPB MS)	044	BKC	S	10,000	28.65	1-May	2-May	500.00 5.0
AO,	05/20	PATTEN & PATTEN (PATTSCHWAB)	067	CAG	S	825	23.62	n/a	n/a	41.25 5.0
AO,CXL	05/20	CASTLEROCK (CASTMS)	040	CSR	SS	6,500	19.45	n/a	n/a	325.00 5.0
AO,CRT	05/20	CASTLEROCK (CASTMS)	040	CSR	SS	6,500	19.45	n/a	n/a	260.00 4.0
AO,CXL	05/20	CASTLEROCK (CASTMERRIL)	040	CSR	SS	19,000	19.45	n/a	n/a	950.00 5.0
AO,CRT	05/20	CASTLEROCK (CASTMERRIL)	040	CSR	SS	19,000	19.45	n/a	n/a	760.00 4.0
AO,CXL	05/20	CASTLEROCK (CASTUBS)	040	CSR	SS	34,900	19.45	n/a	n/a	1745.00 5.0
AO,CRT	05/20	CASTLEROCK (CASTUBS)	040	CSR	SS	34,900	19.45	n/a	n/a	1396.00 4.0
AO,	05/20	PATTEN & PATTEN (PATTSCHWAB)	067	CX	B	258	31.20	n/a	n/a	12.90 5.0
AO,	05/20	PATTEN & PATTEN (PATTSCHWAB)	067	DUK	S	1,600	18.57	n/a	n/a	80.00 5.0
AO,	05/20	PATTEN & PATTEN (PATTSCHWAB)	067	HCF	B	3,000	14.18	n/a	n/a	150.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/20	REINHART & MAHONEY (REINSETLSM)	031	HGR	S	200	12.58	n/a	n/a	10.00 5.0
AO,	05/20	REINHART & MAHONEY (REINNGLSM)	031	HGR	S	500	12.58	n/a	n/a	25.00 5.0
AO,	05/20	REINHART & MAHONEY (REINHENGJT)	031	HGR	S	400	12.58	n/a	n/a	20.00 5.0
AO,	05/20	REINHART & MAHONEY (REINDBSC)	031	HGR	S	1,800	12.58	n/a	n/a	90.00 5.0
AO,	05/20	REINHART & MAHONEY (REINCS64SC)	031	HGR	S	800	12.58	n/a	n/a	40.00 5.0
AO,CXL	05/20	GALT INVESTMENT (GALTGS)	041	KSS	B	10,000	46.98	n/a	16-May	400.00 4.0
AO,CRT	05/20	GALT INVESTMENT (GALTGS)	041	KSS	B	10,000	46.98	n/a	16-May	500.00 5.0
AO,	05/20	REINHART & MAHONEY (REINSETLWM)	031	MDT	B	450	48.97	n/a	22-Apr	22.50 5.0
AO,	05/20	REINHART & MAHONEY (REINNGLWM)	031	MDT	B	750	48.97	n/a	22-Apr	37.50 5.0
AO,	05/20	REINHART & MAHONEY (REINBRAEGE)	031	MDT	B	50	48.97	n/a	22-Apr	2.50 5.0
AO,	05/20	REINHART & MAHONEY (REINKANTMR)	031	MDT	B	150	48.97	n/a	22-Apr	7.50 5.0
AO,	05/20	REINHART & MAHONEY (REINTICE4)	031	MDT	B	400	48.97	n/a	22-Apr	20.00 5.0
AO,	05/20	REINHART & MAHONEY (REINSCHREV)	031	MDT	B	100	48.97	n/a	22-Apr	5.00 5.0
AO,	05/20	REINHART & MAHONEY (REINKANTJE)	031	MDT	B	950	48.97	n/a	22-Apr	47.50 5.0
AO,	05/20	REINHART & MAHONEY (REINKANTGS)	031	MDT	B	200	48.97	n/a	22-Apr	10.00 5.0
AO,	05/20	REINHART & MAHONEY (REINSCHOO9)	031	MDT	B	50	48.97	n/a	22-Apr	2.50 5.0
AO,	05/20	REINHART & MAHONEY (REINSCHOOW)	031	MDT	B	50	48.97	n/a	22-Apr	2.50 5.0
AO,	05/20	REINHART & MAHONEY (REINWILLTR)	031	MDT	B	250	48.97	n/a	22-Apr	12.50 5.0
AO,	05/20	REINHART & MAHONEY (REINCST64W)	031	MDT	B	3,000	48.97	n/a	22-Apr	150.00 5.0
AO,	05/20	REINHART & MAHONEY (REINBLAKEM)	031	MDT	B	50	48.97	n/a	22-Apr	2.50 5.0
AO,	05/20	REINHART & MAHONEY (REINTURERQ)	031	MDT	B	40	48.97	n/a	22-Apr	2.00 5.0
AO,	05/20	REINHART & MAHONEY (REINTURERB)	031	MDT	B	50	48.97	n/a	22-Apr	2.50 5.0
AO,	05/20	REINHART & MAHONEY (REINBLAKEM)	031	MDT	B	50	48.97	n/a	22-Apr	2.50 5.0
AO,	05/20	REINHART & MAHONEY (REINBLAKEB)	031	MDT	B	50	48.97	n/a	22-Apr	2.50 5.0
AO,	05/20	REINHART & MAHONEY (REINKIPP)	031	MDT	B	150	48.97	n/a	22-Apr	7.50 5.0
AO,	05/20	REINHART & MAHONEY (REINCST197)	031	MDT	B	150	48.97	n/a	22-Apr	7.50 5.0
AO,	05/20	REINHART & MAHONEY (REINCST 19)	031	MDT	B	500	48.97	n/a	22-Apr	25.00 5.0
AO,	05/20	REINHART & MAHONEY (REINONGWRT)	031	MDT	B	50	48.97	n/a	22-Apr	2.50 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/20	REINHART & MAHONEY (REINCHESTE)	031	MDT	B	500	48.97	n/a	22-Apr	25.00	5.0
AO,	05/20	REINHART & MAHONEY (REINMILLER)	031	MDT	B	1,050	48.97	n/a	22-Apr	52.50	5.0
AO,	05/20	REINHART & MAHONEY (REINSECHAR)	031	MDT	B	150	48.97	n/a	22-Apr	7.50	5.0
AO,	05/20	PATTEN & PATTEN (PATTSCHWAB)	067	MWV	S	450	26.22	7-Apr	13-May	22.50	5.0
AO,	05/20	PATTEN & PATTEN (PATTSCHWAB)	067	PG	B	100	65.79	n/a	8-May	5.00	5.0
AO,	05/20	PATTEN & PATTEN (PATTSCHWAB)	067	PRU	B	250	75.49	n/a	n/a	12.50	5.0
AO,	05/20	PATTEN & PATTEN (PATTSCHWAB)	067	RHHBY	S	200	86.30	n/a	n/a	10.00	5.0
AO,	05/20	PATTEN & PATTEN (PATTSCHWAB)	067	SWN	S	150	47.88	20-Mar	14-May	7.50	5.0
AO,CXL	05/20	GALT INVESTMENT (GALTGS)	041	WRC	B	20,000	48.08	13-May	13-May	800.00	4.0
AO,CRT	05/20	GALT INVESTMENT (GALTGS)	041	WRC	B	20,000	48.08	13-May	13-May	1000.00	5.0
TOTAL (AO, CXL, CRT) :										1,737.15	
TOTAL (Trade Date) :										92,682.60	
TOTAL (AO, CXL, CRT) :										1,737.15	
TOTAL (RUN DATE) / NFS :										94,419.75	

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION