



Daily Compliance Activity Report

Trade Date: 11/25/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f KAREN F LEDDY	FID	AB	S	1400	12.95	n/a	n/a	0.00	0.0
SCOPUS FUND	041	ANF	S	35,000	44.88	n/a	17-Nov	1,400.00	4.0
SENATOR INVESTMENT GROUP	036	AOS	B	54,900	35.50	n/a	21-Oct	1,647.00	3.0
KACELA CAPITAL	034	DHI	B	44,900	11.01	n/a	n/a	1,796.00	4.0
f KAREN F LEDDY	FID	FIG	S	2300	3.15	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOSL 3 C90	SS	248	8.00	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		FOSL 3 C90	SS	60	8.00	n/a	n/a	non-brg	300.0
SCOPUS FUND	041	HON	S	36,556	49.51	n/a	7-Nov	1,462.24	4.0
f KAREN F LEDDY	FID	ICON	S	500	15.62	n/a	n/a	0.00	0.0
MFP INVESTORS	085	JNS	B	300	5.80	n/a	n/a	12.00	4.0
KACELA CAPITAL	034	KSS	B	10,100	52.15	n/a	11-Nov	404.00	4.0
KACELA CAPITAL	034	LEN	B	38,400	16.71	n/a	n/a	1,536.00	4.0
B BUCK CAPITAL MGMT		LULU	C	50,000	46.82	n/a	12-Sep	non-brg	4.0
SONTERRA CAP	041	OSK	B	20,000	18.19	n/a	n/a	800.00	4.0
STANDARD PACIFIC CAPITAL	084	PG	B	106,451	61.48	n/a	16-Nov	5,322.55	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KACELA CAPITAL	034	SAP	SS	22,000	55.38	n/a	n/a	880.00	4.0
SCOPUS FUND	041	TGT	S	36,750	51.52	n/a	17-Nov	1,470.00	4.0
KACELA CAPITAL	034	URBN	S	84,198	25.18	n/a	n/a	3,367.92	4.0
KACELA CAPITAL	034	VMC	S	49,200	29.43	n/a	n/a	1,968.00	4.0
KACELA CAPITAL	034	WSM	SS	26,200	35.00	n/a	n/a	1,048.00	4.0
B BUCK CAPITAL MGMT		WYNN	SS	10,000	107.58	n/a	13-Jun	non-brg	4.0
TOTAL (Trade Date):								23,113.71	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									23,113.71
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									23,113.71

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 25-Nov.
NONE

MRI Securities without Trade Activity on 25-Nov.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 2%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)