



Daily Compliance Activity Report

Trade Date: 06/06/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	AB	B	700	65.33	9-Apr	2-Jun	0.00	0.0
⌘ BUCK CAPITAL MGMT		AEO	B	15,000	16.91	6-Mar	6-Jun	non-brg	4.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	67.92	10-Apr	6-Jun	800.00	4.0
⌘ BUCK CAPITAL MGMT		ANF	B	2,000	68.30	10-Apr	6-Jun	non-brg	4.0
COUGAR TRADING	044	ANF	B	5,000	68.58	10-Apr	6-Jun	250.00	5.0
ACK ASSET	091	AN	SS	6,000	14.83	n/a	25-Apr	240.00	4.0
GEORGE WEISS	034	APA	C	5,000	136.64	7-May	4-Jun	200.00	4.0
SIGMA CAPITAL ASSOCIATES	237	APC	B	15,000	78.04	20-Mar	4-Jun	750.00	5.0
TRELLUS PARTNERS LP	028	ARGN	B	10,000	11.15	n/a	20-May	500.00	5.0
⌘ BUCK CAPITAL MGMT		ARO	S	150,000	32.48	28-Apr	6-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BARE	B	14,000	20.21	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		BARE	B	36,000	20.01	n/a	n/a	non-brg	2.5
DURANGO INVESTMENTS	031	BHI	S	5,000	90.07	n/a	n/a	200.00	4.0
PRIMECAP MGMT	048	BSX	B	5,500	13.51	n/a	22-Apr	220.00	4.0
⌘ BUCK CAPITAL MGMT		BWLD	SS	20,000	30.98	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BWLD	SS	40,000	31.19	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	BYD	S	1,200	16.35	30-Apr	30-Apr	0.00	0.0
PRIMECAP MGMT	048	COF	B	1,000	46.83	n/a	n/a	40.00	4.0
COUGAR TRADING	044	COH	B	20,000	36.39	4-Apr	23-Apr	1,000.00	5.0
MS PRINCIPAL STRATEGIES	041	CORS	B	9,521	5.00	n/a	n/a	476.05	5.0
MS PRINCIPAL STRATEGIES	041	CVBF	B	5,000	10.90	n/a	n/a	250.00	5.0
CARDINAL CAPITAL	044	CVO	B	120,300	12.78	n/a	n/a	6,015.00	5.0
MERRILL ASSET	032	C	S	802,100	20.41	16-Jan	15-May	36,094.50	4.5
OGORMAN	046	C	B	2,300	20.26	16-Jan	15-May	0.00	0.0
ZOLIDIS	011	DECK	B	200	129.50	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	DE	B	17,500	82.41	n/a	23-May	875.00	5.0
PRIMECAP MGMT	048	DFS	B	1,000	16.11	n/a	n/a	40.00	4.0
PRINCIPLE CAPITAL	040	DIN	B	99,800	42.59	n/a	n/a	3,992.00	4.0
BUCK	015	DIS	B	1,000	33.30	n/a	n/a	0.00	0.0
CASTLE ARK	031	DKS	S	63,040	23.00	n/a	23-May	3,782.40	6.0
COUGAR TRADING	044	DKS	SS	5,000	22.96	n/a	23-May	250.00	5.0
B BUCK CAPITAL MGMT		DRI	SS	30,000	32.29	n/a	15-Apr	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GAULT	063	DUG	S	1,000	27.33	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		EAT	SS	20,000	20.60	n/a	24-Apr	non-brg	4.0
PRIMECAP MGMT	048	EMC	B	16,400	17.34	n/a	n/a	656.00	4.0
GRONET	030	EPG	B	1,000	5.19	n/a	n/a	0.00	0.0
CROWLEY	061	EPG	B	2,000	5.20	n/a	n/a	0.00	0.0
ZOLIDIS	011	EWZ	B	72	95.59	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FDO	SS	20,000	22.16	8-Jan	5-Jun	800.00	4.0
SINCLAIR	031	FQGG	S	60	0.50	n/a	n/a	0.00	0.0
ZOLIDIS	011	FRED	C	1,000	12.99	27-Mar	27-Mar	0.00	0.0
DECADE CAPITAL PARTNERS	040	GBCI	B	10,000	19.38	n/a	n/a	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	GD	SS	12,000	87.00	n/a	n/a	600.00	5.0
MCCARTAN	028	GETI	S	100	30.89	n/a	n/a	0.00	0.0
AVESTA CAP	229	GE	B	100,000	30.26	n/a	n/a	5,000.00	5.0
MCCARTAN	028	GMO	S	500	8.98	n/a	n/a	0.00	0.0
MCCARTAN	028	GM	SS	1,200	16.36	29-Apr	5-Jun	0.00	0.0
BUCK	015	GOPSW	S	2	11.30	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ACK ASSET	091	GPI	SS	6,000	24.96	n/a	30-Apr	240.00	4.0
SCOPUS FUND	041	GPI	SS	30,000	24.81	n/a	30-Apr	1,500.00	5.0
PROSPECTOR PARTNERS LLC	036	GPK	B	50,000	2.71	n/a	n/a	1,500.00	3.0
PRIMECAP MGMT	048	GSK	B	200	42.62	n/a	n/a	8.00	4.0
BANK OF NEW YORK	046	GS	B	10,750	171.64	19-Mar	6-Jun	430.00	4.0
OGORMAN	046	GS	B	100	171.31	19-Mar	6-Jun	0.00	0.0
CROWLEY	061	GXP	B	1,000	25.99	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	HAS	SS	80,000	37.81	n/a	n/a	3,200.00	4.0
KAICHER	064	HK	B	2,000	35.57	n/a	n/a	0.00	0.0
WHALE ROCK CAP	030	HPQ	S	122,100	48.01	n/a	n/a	4,884.00	4.0
PROSPECTOR PARTNERS LLC	036	HSY	B	10,000	37.93	n/a	n/a	400.00	4.0
MFP INVESTORS	032	IACI	B	10,000	21.63	n/a	n/a	500.00	5.0
CROWLEY	061	ILA	S	26,000	3.82	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	INTC	B	25,000	23.35	n/a	n/a	1,250.00	5.0
NOMURA CAPITAL	045	IPHS	S	6,000	29.78	n/a	n/a	300.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	10,000	27.61	n/a	n/a	non-brg	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		JOSB	B	10,000	27.76	n/a	n/a	non-brg	4.0
	OGORMAN	046	JOSB	S	1,000	27.39	n/a	n/a	0.00	0.0
	TRUST CO/COWEN/CALF.	040	JPM	B	220,860	40.08	8-May	15-May	11,043.00	5.0
	BUCK	015	JPM	SS	600	40.11	8-May	15-May	0.00	0.0
	COUGAR TRADING	044	JWN	SS	10,000	34.75	16-May	6-Jun	500.00	5.0
	RBC CAPITAL MARKETS	034	JWN	B	5,000	34.02	16-May	6-Jun	200.00	4.0
	KORMAN	003	JWUSL	B	80	11.50	n/a	n/a	0.00	0.0
	RBC CAPITAL MARKETS	034	KSS	B	5,000	44.30	n/a	6-Jun	200.00	4.0
	BUCK	015	KSS	C	1,000	44.25	n/a	6-Jun	0.00	0.0
	MS PRINCIPAL STRATEGIES	041	LEH	SS	100,000	33.54	6-Jun	6-Jun	5,000.00	5.0
	BUCK	015	LEH	SS	1,000	33.26	6-Jun	6-Jun	0.00	0.0
	SINCLAIR	031	LESAI	S	40	2.47	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	LIZ	SS	10,000	16.53	n/a	14-May	400.00	4.0
	PRIMECAP MGMT	048	LLY	B	2,000	48.91	n/a	n/a	80.00	4.0
	DECADE CAPITAL PARTNERS	040	LNC	SS	10,000	54.05	n/a	n/a	500.00	5.0
	BUCK CAPITAL MGMT	001	LTD	SS	100,000	18.29	n/a	6-Jun	4,000.00	4.0
	BRIGHTPOINT CAP	067	MCHP	SS	25,000	35.01	n/a	n/a	1,000.00	4.0
	PRIMECAP MGMT	048	MMC	B	5,000	26.61	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BANK OF NEW YORK	046	MS	B	32,500	42.10	n/a	6-Jun	1,300.00	4.0
NATIONAL BK OF CAN	031	MS	B	40,000	41.07	n/a	6-Jun	1,600.00	4.0
OGORMAN	046	MS	B	500	41.21	n/a	6-Jun	0.00	0.0
B BUCK CAPITAL MGMT		MVRX	SS	46,800	143.37	n/a	n/a	non-brg	14.3
BUCK	015	M	B	1,000	22.10	7-Feb	2-Jun	0.00	0.0
DURANGO INVESTMENTS	031	NBR	S	10,000	44.24	n/a	n/a	400.00	4.0
MCCARTAN	028	NOA	B	400	18.64	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	NOC	B	30,000	72.21	n/a	n/a	1,500.00	5.0
PRIMECAP MGMT	048	NVDA	B	5,000	24.13	n/a	n/a	200.00	4.0
PRIMECAP MGMT	048	NVS	B	900	52.27	n/a	n/a	36.00	4.0
GEOSPHERE CAPITAL MGMT	044	ODFL	SS	50,000	29.78	n/a	n/a	1,500.00	3.0
KAICHER	064	PAAS	B	2,000	33.16	n/a	n/a	0.00	0.0
PRYLUCKI	092	PAAS	B	100	32.66	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	PACW	B	4,000	19.70	n/a	n/a	200.00	5.0
ACK ASSET	091	PAG	SS	6,000	18.91	22-Apr	30-Apr	240.00	4.0
BRENCOURT	028	PAG	B	500	18.70	22-Apr	30-Apr	25.00	5.0
BRENCOURT	028	PAG	SS	500	19.28	22-Apr	30-Apr	25.00	5.0
KAICHER	064	PAS	B	2,000	23.79	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KAICHER	064	PAS	S	2,000	23.79	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	PAYX	C	25,000	33.23	n/a	n/a	1,000.00	4.0
LEDDY	035	PBR	B	200	69.34	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PERY	S	20,000	24.21	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	PFE	B	10,000	18.18	n/a	31-Mar	400.00	4.0
DECADE CAPITAL PARTNERS	040	PFG	B	20,000	50.49	n/a	n/a	1,000.00	5.0
RBC CAPITAL MARKETS	034	PLCE	B	12,500	35.07	3-Jun	6-Jun	500.00	4.0
B BUCK CAPITAL MGMT		PNRA	SS	60,000	50.44	n/a	n/a	non-brg	4.0
CAPITAL GROWTH MGMT.	241	POT	B	200,000	221.24	n/a	n/a	10,000.00	5.0
B BUCK CAPITAL MGMT		PSUN	B	320,000	9.41	n/a	6-Jun	non-brg	4.0
COUGAR TRADING	044	PSUN	B	10,000	9.35	n/a	6-Jun	500.00	5.0
SINCLAIR	031	PUHAA	B	50	1.75	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PVH	B	2,000	42.46	5-May	22-May	non-brg	4.0
THOMSON HORSTMAN BRYANT	040	PVH	B	18,500	42.08	5-May	22-May	925.00	5.0
KORMAN	003	QAYSH	B	50	5.00	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	RCL	S	80,000	27.47	25-Apr	25-Apr	4,000.00	5.0
VISIUM/BALY HOWARD	038	RL	B	15,000	67.15	7-Feb	29-May	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	RYN	S	1,500	47.02	23-Apr	23-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		S4RETL	SS	7,200	358.94	n/a	n/a	non-brg	35.9
⌘ BUCK CAPITAL MGMT		S6RETL	SS	14,400	189.13	n/a	n/a	non-brg	18.9
COUGAR TRADING	044	SDS	SS	5,000	57.18	n/a	n/a	250.00	5.0
LISTED ERR	099	SFD	B	495	28.06	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SKS+SV	SS	500	0.85	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	12.49	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	12.55	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		SKX	S	30,000	22.40	n/a	13-Mar	non-brg	4.0
PRIMECAP MGMT	048	SNDK	B	5,000	28.13	n/a	n/a	200.00	4.0
PRIMECAP MGMT	048	SNY	B	300	35.51	n/a	n/a	12.00	4.0
MERRILL ASSET	032	STC	S	30,900	23.68	n/a	n/a	1,390.50	4.5
MS PRINCIPAL STRATEGIES	041	STT	B	5,500	69.75	n/a	n/a	275.00	5.0
⌘ BUCK CAPITAL MGMT		SWY	S	100,000	32.35	n/a	n/a	non-brg	4.0
DECADE CAPITAL PARTNERS	040	TAL	B	10,000	26.00	n/a	n/a	500.00	5.0
GEOSPHERE CAPITAL MGMT	044	TEX	SS	100,000	68.65	n/a	3-Jun	3,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	035	TLB	S	1,000	8.20	17-Apr	30-May	0.00	0.0
DECADE CAPITAL PARTNERS	040	TROW	B	40,000	59.60	n/a	2-Jun	2,000.00	5.0
KEEFE BRUYETTE WOODS A	031	TROW	SS	3,300	59.65	n/a	2-Jun	165.00	5.0
NOMURA CAPITAL	045	TSO	B	5,000	24.89	n/a	n/a	250.00	5.0
REICH AND TANG	067	TWP	S	25,000	13.48	n/a	n/a	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TXT	SS	20,000	59.54	n/a	n/a	1,000.00	5.0
GRONET	030	UQM	B	1,000	2.65	n/a	n/a	0.00	0.0
DECADE CAPITAL PARTNERS	040	USB	B	75,000	32.42	n/a	n/a	3,750.00	5.0
ZOLIDIS	011	UYG	B	113	27.16	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	SS	50,000	72.33	n/a	n/a	2,000.00	4.0
RBC CAPITAL MARKETS	034	WAG	SS	5,000	35.89	n/a	n/a	200.00	4.0
MS PRINCIPAL STRATEGIES	041	WB	B	9,022	20.00	n/a	n/a	451.10	5.0
CHARTER OAK	033	WDR	SS	30,000	35.94	22-Apr	2-Jun	1,500.00	5.0
DECADE CAPITAL PARTNERS	040	WFSL	SS	20,000	21.77	n/a	n/a	1,000.00	5.0
S.A.C. CAPITAL MGMT.	044	WY	S	50,000	58.92	7-Apr	27-May	2,500.00	5.0
BRIGHTPOINT CAP	067	XLY	C	25,000	31.86	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓕ BUCK CAPITAL MGMT		XRT	SS	9,200	31.92	n/a	n/a	non-brg	1.0
BUCK	015	YHQJF	B	20	1.06	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		ZQK	S	400,000	8.94	5-Feb	6-Jun	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		ZQK	S	600,000	9.55	5-Feb	6-Jun	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		ZZ	C	75,000	5.95	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):							149,660.55		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/05	PILOT ADV	038	BRCD	B	97,500	8.45	n/a	n/a	2437.50 2.5
AO,CRT	06/05	REINHART & MAHONEY	031	CAG	B	2,550	23.94	n/a	n/a	127.50 5.0
AO,CXL	06/05	REINHART & MAHONEY	031	CAG	B	2,550	23.94	n/a	n/a	127.50 5.0
AO,CRT	06/05	REINHART & MAHONEY	031	CIEN	S	1,780	29.02	n/a	22-Mar	89.00 5.0
AO,CXL	06/05	REINHART & MAHONEY	031	CIEN	S	1,780	29.02	n/a	22-Mar	89.00 5.0
AO,CXL	06/05	LISTED ERR	099	DFS	B	51,544	16.74	n/a	n/a	0.00 0.0
AO,CXL	06/05	LISTED ERR	099	DFS	S	51,544	16.70	n/a	n/a	0.00 0.0
AO,CRT	06/05	WYPER CAPITAL	044	DFS	B	11,885	16.74	n/a	n/a	0.00 0.0
AO,CRT	06/05	WYPER CAPITAL	044	DFS	B	39,659	16.74	n/a	n/a	0.00 0.0
AO,	06/05	WYPER CAPITAL	044	DFS	S	39,659	16.70	n/a	n/a	0.00 0.0
AO,CRT	06/05	WYPER CAPITAL	044	DFS	S	11,885	16.70	n/a	n/a	0.00 0.0
AO,	06/04	INDEPENDENCE INV	039	GILD	B	233,270	56.35	n/a	n/a	9330.80 4.0
AO,CXL	06/04	INDEPENDENCE INV	039	GILD	B	233,270	56.35	n/a	n/a	9330.80 4.0
AO,CRT	06/05	REINHART & MAHONEY	031	JBX	B	860	24.48	n/a	24-Apr	43.00 5.0
AO,CXL	06/05	REINHART & MAHONEY	031	JBX	B	860	24.48	n/a	24-Apr	43.00 5.0
AO,CXL	06/03	COHE & STEERS	091	RYN	B	5,697	46.55	23-Apr	23-Apr	227.88 4.0
AO,CRT	06/03	COHE & STEERS	091	RYN	B	5,697	46.55	23-Apr	23-Apr	227.88 4.0
AO,CRT	06/05	LISTED ERR	099	SFD	S	495	28.43	n/a	n/a	0.00 0.0
AO,CRT	06/05	REINHART & MAHONEY	031	SFD	S	4,000	28.43	n/a	n/a	200.00 5.0
AO,CXL	06/05	REINHART & MAHONEY	031	SFD	S	4,000	28.43	n/a	n/a	200.00 5.0
TOTAL (AO, CXL, CRT) :										2,437.50

TOTAL (Trade Date):	149,660.55
TOTAL (AO, CXL, CRT):	2,437.50

TOTAL (RUN DATE) / NFS:	152,098.05
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MRI Securities with Trade Activity on 6-Jun. LEH

MRI Securities without Trade Activity on 6-Jun. NONE

BRG comm. as a % of BCM Total Comm. paid. T-Date = 9% YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION