

## **Daily Compliance Activity Report** Trade Date: 02/23/2012

## **POTENTIAL ANALYST TRADE VIOLATIONS: 0**

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
BESSEMER INVESTMENT	085	AAPL	S	60	512.65	n/a	n/a	2.40	4.0
BESSEMER INVESTMENT	085	ACE	S	140	73.45	n/a	n/a	5.60	4.0
WINSLOW CAPITAL	030	ALTR	В	200	39.00	n/a	n/a	6.00	3.0
WINSLOW CAPITAL	030	AMTD	В	600	17.68	n/a	n/a	18.00	3.0
WINSLOW CAPITAL	030	AMZN	В	100	179.42	n/a	n/a	3.00	3.0
TRUSTCO/SUNTRUST	040	ARMH	S	118,526	27.05	n/a	n/a	4,148.44	3.5
VERITION	028	ARO	S	15,000	17.36	n/a	n/a	450.00	3.0
ARSENAL ASSET MANAGEMENT	028	ASH	SS	5,000	63.05	n/a	22-Feb	200.00	4.0
RAINIER INV MGMT	035	BBBY	В	81,940	60.53	n/a	n/a	3,277.60	4.0
WINSLOW CAPITAL	030	BIDU	В	200	132.29	n/a	n/a	6.00	3.0
WINSLOW CAPITAL	030	BIIB	В	100	117.16	n/a	n/a	3.00	3.0
BESSEMER INVESTMENT	085	CA	S	770	26.99	n/a	n/a	30.80	4.0
BESSEMER INVESTMENT	085	CCL	В	3 <b>,</b> 595	30.16	n/a	n/a	143.80	4.0
WINSLOW CAPITAL	030	CELG	В	200	75.12	n/a	n/a	6.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MF	I R	esearch	Comm.	Shr.	
WINSLOW CAPITAL	030	CERN	В	100	73.36	n/	a	n/a	3.00	3.0	
STANDARD PACIFIC CAPITAL	084	CE	В	127,900	48.46	n/	a .	20-May	6,395.00	5.0	
WINSLOW CAPITAL	030	CHRW	В	100	67.36	n/	a	n/a	3.00	3.0	
BESSEMER INVESTMENT	085	COP	В	4,096	74.62	n/	a	n/a	163.84	4.0	
WINSLOW CAPITAL	030	COST	В	100	84.31	n/	a	n/a	3.00	3.0	
WINSLOW CAPITAL	030	CTSH	В	500	70.22	n/	a	n/a	15.00	3.0	
WINSLOW CAPITAL	030	CTXS	В	200	73.48	n/	a	n/a	6.00	3.0	
BESSEMER INVESTMENT	085	CVS	В	3,689	43.85	n/	a	n/a	147.56	4.0	
BESSEMER INVESTMENT	085	CVX	S	617	107.96	n/	a	n/a	24.68	4.0	
PATTEN & PATTEN	025	CVX	S	50	108.32	n/	а	n/a	2.50	5.0	
KLEINHEINZ CAP PART	031	DDS	В	172,500	56.83	n/	a	n/a	8,625.00	5.0	
BESSEMER INVESTMENT	085	DIS	S	328	41.44	n/	a	n/a	13.12	4.0	
BALYASNY ASSET MGMT	044	DKS	SS	10,000	45.42	n/	a	17-Feb	500.00	5.0	
BESSEMER INVESTMENT	085	DTE	В	492	54.39	n/	a	n/a	19.68	4.0	
BALYASNY ASSET MGMT	044	EAT	В	20,000	27.00	n/	a	25-Jan	1,000.00	5.0	
BESSEMER INVESTMENT	085	ECL	S	360	62.06	n/	a	19-Jan	14.40	4.0	
	WINSLOW CAPITAL  STANDARD PACIFIC CAPITAL  WINSLOW CAPITAL  BESSEMER INVESTMENT  WINSLOW CAPITAL  WINSLOW CAPITAL  WINSLOW CAPITAL  BESSEMER INVESTMENT  BESSEMER INVESTMENT  PATTEN & PATTEN  KLEINHEINZ CAP PART  BESSEMER INVESTMENT  BALYASNY ASSET MGMT  BALYASNY ASSET MGMT	WINSLOW CAPITAL 030  STANDARD PACIFIC CAPITAL 084  WINSLOW CAPITAL 030  BESSEMER INVESTMENT 085  WINSLOW CAPITAL 030  WINSLOW CAPITAL 030  WINSLOW CAPITAL 030  BESSEMER INVESTMENT 085  BESSEMER INVESTMENT 085  PATTEN & PATTEN 025  KLEINHEINZ CAP PART 031  BESSEMER INVESTMENT 085  BALYASNY ASSET MGMT 044  BESSEMER INVESTMENT 085  BALYASNY ASSET MGMT 044	WINSLOW CAPITAL 030 CERN  STANDARD PACIFIC CAPITAL 084 CE  WINSLOW CAPITAL 030 CHRW  BESSEMER INVESTMENT 085 COP  WINSLOW CAPITAL 030 CTSH  WINSLOW CAPITAL 030 CTSH  WINSLOW CAPITAL 030 CTXS  BESSEMER INVESTMENT 085 CVX  BESSEMER INVESTMENT 085 CVX  RATTEN & PATTEN 025 CVX  KLEINHEINZ CAP PART 031 DDS  BESSEMER INVESTMENT 085 DIS  BALYASNY ASSET MGMT 044 DKS  BESSEMER INVESTMENT 085 DTE  BALYASNY ASSET MGMT 044 EAT	WINSLOW CAPITAL  STANDARD PACIFIC CAPITAL  WINSLOW CAPITAL  BESSEMER INVESTMENT  WINSLOW CAPITAL  WINSLOW CA	WINSLOW CAPITAL 030 CERN B 127,900  STANDARD PACIFIC CAPITAL 084 CE B 127,900  WINSLOW CAPITAL 030 CHRW B 100  BESSEMER INVESTMENT 085 COP B 4,096  WINSLOW CAPITAL 030 COST B 100  WINSLOW CAPITAL 030 CTSH B 500  WINSLOW CAPITAL 030 CTXS B 200  BESSEMER INVESTMENT 085 CVS B 3,689  BESSEMER INVESTMENT 085 CVX S 617  PATTEN & PATTEN 025 CVX S 50  KLEINHEINZ CAP PART 031 DDS B 172,500  BESSEMER INVESTMENT 085 DIS S 328  BALYASNY ASSET MGMT 044 DKS SS 10,000  BESSEMER INVESTMENT 085 DTE B 492  BALYASNY ASSET MGMT 044 EAT B 20,000	WINSLOW CAPITAL 030 CERN B 127,900 48.46  STANDARD PACIFIC CAPITAL 084 CE B 127,900 48.46  WINSLOW CAPITAL 030 CHRW B 100 67.36  BESSEMER INVESTMENT 085 COP B 4,096 74.62  WINSLOW CAPITAL 030 COST B 100 84.31  WINSLOW CAPITAL 030 CTSH B 500 70.22  WINSLOW CAPITAL 030 CTSB B 200 73.48  BESSEMER INVESTMENT 085 CVS B 3,689 43.85  BESSEMER INVESTMENT 085 CVS B 3,689 43.85  BESSEMER INVESTMENT 025 CVX S 50 108.32  KLEINHEINZ CAP PART 031 DDS B 172,500 56.83  BESSEMER INVESTMENT 085 DIS S 328 41.44  BALYASNY ASSET MGMT 044 DKS SS 10,000 45.42  BESSEMER INVESTMENT 085 DTE B 492 54.39  BALYASNY ASSET MGMT 044 EAT B 20,000 27.00	WINSLOW CAPITAL 030 CERN B 100 73.36 n/ STANDARD PACIFIC CAPITAL 084 CE B 127,900 48.46 n/ WINSLOW CAPITAL 030 CHRW B 100 67.36 n/ BESSEMER INVESTMENT 085 COP B 4,096 74.62 n/ WINSLOW CAPITAL 030 COST B 100 84.31 n/ WINSLOW CAPITAL 030 CTSH B 500 70.22 n/ WINSLOW CAPITAL 030 CTSH B 500 70.22 n/ WINSLOW CAPITAL 030 CTSH B 3,689 43.85 n/ BESSEMER INVESTMENT 085 CVS B 3,689 43.85 n/ PATTEN & PATTEN 025 CVX S 617 107.96 n/ PATTEN & PATTEN 025 CVX S 50 108.32 n/ KLEINHEINZ CAP PART 031 DDS B 172,500 56.83 n/ BESSEMER INVESTMENT 085 DIS S 328 41.44 n/ BALYASNY ASSET MGMT 044 DKS SS 10,000 45.42 n/ BESSEMER INVESTMENT 085 DTE B 492 54.39 n/ BESSEMER INVESTMENT 085 DTE B 492 54.39 n/	WINSLOW CAPITAL 030 CERN B 100 73.36 n/a  STANDARD PACIFIC CAPITAL 084 CE B 127,900 48.46 n/a  WINSLOW CAPITAL 030 CHRW B 100 67.36 n/a  BESSEMER INVESTMENT 085 COP B 4,096 74.62 n/a  WINSLOW CAPITAL 030 COST B 100 84.31 n/a  WINSLOW CAPITAL 030 CTSH B 500 70.22 n/a  WINSLOW CAPITAL 030 CTSS B 200 73.48 n/a  BESSEMER INVESTMENT 085 CVS B 3,689 43.85 n/a  BESSEMER INVESTMENT 085 CVS B 3,689 43.85 n/a  BESSEMER INVESTMENT 085 CVX S 617 107.96 n/a  PATTEN & PATTEN 025 CVX S 50 108.32 n/a  KLEINHEINZ CAP PART 031 DDS B 172,500 56.83 n/a  BESSEMER INVESTMENT 085 DIS S 328 41.44 n/a  BALYASNY ASSET MGMT 044 DKS SS 10,000 45.42 n/a  BESSEMER INVESTMENT 085 DTE B 492 54.39 n/a  BALYASNY ASSET MGMT 044 EAT B 20,000 27.00 n/a	WINSLOW CAPITAL 030 CERN B 100 73.36 n/a n/a  STANDARD PACIFIC CAPITAL 084 CE B 127,900 48.46 n/a 20-May  WINSLOW CAPITAL 030 CHRW B 100 67.36 n/a n/a  BESSEMER INVESTMENT 085 COP B 4,096 74.62 n/a n/a  WINSLOW CAPITAL 030 COST B 100 84.31 n/a n/a  WINSLOW CAPITAL 030 CTSH B 500 70.22 n/a n/a  WINSLOW CAPITAL 030 CTXS B 200 73.48 n/a n/a  BESSEMER INVESTMENT 085 CVX B 3,689 43.85 n/a n/a  BESSEMER INVESTMENT 085 CVX S 617 107.96 n/a n/a  PATTEN 6 PATTEN 025 CVX S 50 108.32 n/a n/a  KLEINHEINZ CAP PART 031 DDS B 172,500 56.83 n/a n/a  BESSEMER INVESTMENT 085 DIS S 328 41.44 n/a n/a  BALYASNY ASSET MGMT 044 DKS SS 10,000 45.42 n/a 17-Feb  BESSEMER INVESTMENT 085 DTE B 492 54.39 n/a n/a  BALYASNY ASSET MGMT 044 EAT B 20,000 27.00 n/a 25-Jan	WINSLOW CAPITAL 030 CERN B 100 73.36 n/a n/a 3.00  STANDARD PACIFIC CAPITAL 084 CE B 127,900 48.46 n/a 20-May 6,395.00  WINSLOW CAPITAL 030 CHRW B 100 67.36 n/a n/a 3.00  BESSEMER INVESTMENT 085 COP B 4,096 74.62 n/a n/a 163.84  WINSLOW CAPITAL 030 COST B 100 84.31 n/a n/a 3.00  WINSLOW CAPITAL 030 CTSH B 500 70.22 n/a n/a n/a 15.00  WINSLOW CAPITAL 030 CTSH B 200 73.48 n/a n/a 15.00  WINSLOW CAPITAL 030 CTSS B 3,689 43.85 n/a n/a n/a 147.56  BESSEMER INVESTMENT 085 CVX B 3,689 43.85 n/a n/a n/a 24.68  PATTEN 6 PATTEN 025 CVX S 50 108.32 n/a n/a 2.50  KLEINHEINZ CAP FART 031 DDS B 172,500 56.83 n/a n/a n/a 3.625.00  BESSEMER INVESTMENT 085 DIS S 328 41.44 n/a n/a 13.12  BALYASNY ASSET MGMT 044 DKS SS 10,000 45.42 n/a 17-Feb 500.00  BESSEMER INVESTMENT 085 DTE B 492 54.39 n/a n/a 1/a 19.68  BALYASNY ASSET MGMT 044 EAT B 20,000 27.00 n/a 25-Jan 1,000.00	WINSLOW CAPITAL 030 CERN B 100 73.36

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
BESSEMER INVESTMENT	085	ED	S	525	57.77	n/a	n/a	21.00	4.0
SCOPUS FUND	041	EL	S	35,050	56.76	n/a	6-Feb	1,402.00	4.0
WINSLOW CAPITAL	030	ESRX	В	500	52.76	n/a	n/a	15.00	3.0
PATTEN & PATTEN	025	EWA	В	200	23.66	n/a	n/a	10.00	5.0
BESSEMER INVESTMENT	085	FCX	В	3,667	44.02	n/a	n/a	146.68	4.0
SCOPUS FUND	041	FINL	В	10,000	23.76	n/a	16-Feb	400.00	4.0
BALLAST CAP	040	FWLT	В	14,400	24.40	n/a	n/a	720.00	5.0
BESSEMER INVESTMENT	085	GD	В	2,232	70.39	n/a	14-Feb	89.28	4.0
BESSEMER INVESTMENT	085	GE	S	1,040	19.29	n/a	n/a	41.60	4.0
OZ MGMT	040	GM	С	26,000	26.90	n/a	17-Feb	780.00	3.0
BALYASNY ASSET MGMT	044	HTZ	S	10,000	14.32	n/a	n/a	500.00	5.0
PATTEN & PATTEN	025	IAU	В	350	17.41	n/a	n/a	17.50	5.0
BESSEMER INVESTMENT	085	IBM	В	431	197.33	n/a	n/a	17.24	4.0
PATTEN & PATTEN	025	IJH	В	25	98.24	n/a	n/a	1.25	5.0
BESSEMER INVESTMENT	085	INTC	S	927	26.72	n/a	n/a	37.08	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
WINSLOW CAPITAL	030	INTU	В	200	58.95	n/a	n/a	6.00	3.0
BESSEMER INVESTMENT	085	IP	S	555	33.14	n/a	2-Feb	22.20	4.0
NORTHWESTERN MUTUAL LIFE	047	ITW	S	296,239	56.34	n/a	1-Feb	11,849.56	4.0
BESSEMER INVESTMENT	085	JCI	S	325	33.43	n/a	13-Feb	13.00	4.0
BESSEMER INVESTMENT	085	JNJ	В	3,808	64.99	n/a	n/a	152.32	4.0
BESSEMER INVESTMENT	085	JPM	S	358	38.13	n/a	16-Feb	14.32	4.0
BESSEMER INVESTMENT	085	KFT	S	250	37.98	n/a	n/a	10.00	4.0
PATTEN & PATTEN	025	KRE	В	150	27.11	n/a	n/a	7.50	5.0
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BALYASNY ASSET MGMT	044	KSS	С	20,000	49.73	n/a	3-Feb	1,000.00	5.0
OZ MGMT	040	KSS	SS	60,000	49.83	n/a	3-Feb	1,800.00	3.0
BNP PARIBAS SEC	040	LTD	SS	100,000	45.76	n/a	n/a	5,000.00	5.0
BESSEMER INVESTMENT	085	MGA	В	2,496	45.18	n/a	n/a	99.84	4.0
PATTEN & PATTEN	025	MRK	S	75	38.34	n/a	n/a	3.75	5.0
BESSEMER INVESTMENT	085	MSFT	S	445	31.36	n/a	n/a	17.80	4.0
WINSLOW CAPITAL	030	MSFT	В	600	31.40	n/a	n/a	18.00	3.0
	300		-	000		11, 0	11/ 4	10.00	J. J
ROYCE TRADING	031	MTX	В	9,748	66.13	n/a	n/a	487.40	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
PATTEN & PATTEN	025	MUNI	В	100	53.85	n/a	n/a	5.00	5.0
SIMMONS VENTURES	041	М	В	5,000	37.20	n/a	22-Feb	200.00	4.0
BESSEMER INVESTMENT	085	NOV	S	165	86.17	n/a	n/a	6.60	4.0
WINSLOW CAPITAL	030	NTAP	В	400	43.21	n/a	n/a	12.00	3.0
PATTEN & PATTEN	025	NVS	S	125	57.38	n/a	n/a	6.25	5.0
BASIX	033	OC	SS	14,000	31.50	n/a	n/a	420.00	3.0
WINSLOW CAPITAL	030	ORCL	В	900	28.68	n/a	n/a	27.00	3.0
WINSLOW CAPITAL	030	ORLY	В	100	85.59	n/a	n/a	3.00	3.0
BESSEMER INVESTMENT	085	OXY	S	65	103.69	n/a	n/a	2.60	4.0
MATRIX ASSET ADV.	091	PEP	В	2,800	62.88	n/a	n/a	112.00	4.0
BESSEMER INVESTMENT	085	PFE	S	815	21.05	n/a	n/a	32.60	4.0
BESSEMER INVESTMENT	085	PGC	S	500	12.08	n/a	n/a	20.00	4.0
BESSEMER INVESTMENT	085	PG	S	256	65.29	n/a	16-Feb	10.24	4.0
ARSENAL ASSET MANAGEMENT	028	PPG	SS	10,000	92.24	n/a	19-Jan	400.00	4.0
BESSEMER INVESTMENT	085	QCOM	S	145	63.40	n/a	n/a	5.80	4.0
	PATTEN & PATTEN  SIMMONS VENTURES  BESSEMER INVESTMENT  WINSLOW CAPITAL  PATTEN & PATTEN  BASIX  WINSLOW CAPITAL  WINSLOW CAPITAL  BESSEMER INVESTMENT  MATRIX ASSET ADV.  BESSEMER INVESTMENT  BESSEMER INVESTMENT  BESSEMER INVESTMENT  ARSENAL ASSET MANAGEMENT	PATTEN & PATTEN 025  SIMMONS VENTURES 041  BESSEMER INVESTMENT 085  WINSLOW CAPITAL 030  PATTEN & PATTEN 025  BASIX 033  WINSLOW CAPITAL 030  WINSLOW CAPITAL 030  BESSEMER INVESTMENT 085  MATRIX ASSET ADV. 091  BESSEMER INVESTMENT 085  BESSEMER INVESTMENT 085  BESSEMER INVESTMENT 085  ARSENAL ASSET MANAGEMENT 028	PATTEN & PATTEN 025 MUNI  SIMMONS VENTURES 041 M  BESSEMER INVESTMENT 085 NOV  WINSLOW CAPITAL 030 NTAP  PATTEN & PATTEN 025 NVS  BASIX 033 OC  WINSLOW CAPITAL 030 ORCL  WINSLOW CAPITAL 030 ORCL  WINSLOW CAPITAL 030 ORLY  BESSEMER INVESTMENT 085 OXY  MATRIX ASSET ADV. 091 PEP  BESSEMER INVESTMENT 085 PFE  BESSEMER INVESTMENT 085 PFE  BESSEMER INVESTMENT 085 PGC  BESSEMER INVESTMENT 085 PGC  ARSENAL ASSET MANAGEMENT 028 PPG	PATTEN & PATTEN  SIMMONS VENTURES  041  BESSEMER INVESTMENT  085  NOV  S WINSLOW CAPITAL  030  NTAP  BASIX  033  OC  SS WINSLOW CAPITAL  030  ORCL  B WINSLOW CAPITAL  030  ORCL  B WINSLOW CAPITAL  030  ORCL  B WINSLOW CAPITAL  030  ORLY  B BESSEMER INVESTMENT  085  OXY  S  MATRIX ASSET ADV.  091  PEP  B B BESSEMER INVESTMENT  085  PFE  S BESSEMER INVESTMENT  085  PGC  S ARSENAL ASSET MANAGEMENT  028  PPG  SS	PATTEN & PATTEN 025 MUNI B 100  SIMMONS VENTURES 041 M B 5,000  BESSEMER INVESTMENT 085 NOV S 165  WINSLOW CAPITAL 030 NTAP B 400  PATTEN & PATTEN 025 NVS S 125  BASIX 033 OC SS 14,000  WINSLOW CAPITAL 030 ORCL B 900  WINSLOW CAPITAL 030 ORLY B 100  BESSEMER INVESTMENT 085 OXY S 65  MATRIX ASSET ADV. 091 PEP B 2,800  BESSEMER INVESTMENT 085 PFE S 815  BESSEMER INVESTMENT 085 PGC S 500  BESSEMER INVESTMENT 085 PG S 256  ARSENAL ASSET MANAGEMENT 028 PPG SS 10,000	PATTEN & PATTEN 025 MUNI B 100 53.85  SIMMONS VENTURES 041 M B 5,000 37.20  BESSEMER INVESTMENT 085 NOV S 165 86.17  WINSLOW CAPITAL 030 NTAP B 400 43.21  PATTEN & PATTEN 025 NVS S 125 57.38  BASIX 033 OC SS 14,000 31.50  WINSLOW CAPITAL 030 ORCL B 900 28.68  WINSLOW CAPITAL 030 ORLY B 100 85.59  BESSEMER INVESTMENT 085 OXY S 65 103.69  MATRIX ASSET ADV. 091 PEP B 2,800 62.88  BESSEMER INVESTMENT 085 PFE S 815 21.05  BESSEMER INVESTMENT 085 PGC S 500 12.08  BESSEMER INVESTMENT 085 PG S 256 65.29  ARSENAL ASSET MANAGEMENT 028 PPG SS 10,000 92.24	PATTEN & PATTEN	PATTEN 6 PATTEN 025 MUNI B 100 53.85	PATIEN & PATIEN   025   MUNI   B   100   53.85   n/a   n/a   5.00    SIMMONS VENTURES   041   M   B   5,000   37.20   n/a   22-Feb   200.00    BESSEMER INVESTMENT   085   NOV   S   165   86.17   n/a   n/a   6.60    WINSLOW CAPITAL   030   NTAP   B   400   43.21   n/a   n/a   12.00    PATIEN & PATIEN   025   NVS   S   125   57.38   n/a   n/a   6.25    BASIX   033   OC   SS   14,000   31.50   n/a   n/a   420.00    WINSLOW CAPITAL   030   ORCL   B   900   28.68   n/a   n/a   27.00    WINSLOW CAPITAL   030   ORCL   B   900   28.68   n/a   n/a   3.00    BESSEMER INVESTMENT   085   OXY   S   65   103.69   n/a   n/a   2.60    MATRIX ASSET ADV.   091   PEP   B   2,800   62.88   n/a   n/a   32.60    BESSEMER INVESTMENT   085   PGC   S   815   21.05   n/a   n/a   20.00    BESSEMER INVESTMENT   085   PGC   S   500   12.08   n/a   n/a   20.00    BESSEMER INVESTMENT   085   PGC   S   256   65.29   n/a   16-Feb   10.24    ARSENAL ASSET MANAGEMENT   028   PFG   SS   10,000   92.24   n/a   19-Jan   400.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	
WINSLOW CAPITAL	030	QCOM	В	700	63.44	n/a	n/a	21.00	3.0
EMPYREAN CAPITAL	084	RKT	В	10,000	67.93	n/a	26-Jan	500.00	5.0
CHART WELL ADV	028	ROST	В	78 <b>,</b> 575	53.08	n/a	3-Feb	3,143.00	4.0
TRUSTCO/SUNTRUST	040	RSH	S	174,827	7.27	n/a	n/a	6,118.95	3.5
GLOBAL 56 LLC	060	SANW	В	5,000	5.63	n/a	n/a	200.00	4.0
WINSLOW CAPITAL	030	SBUX	В	400	48.35	n/a	27-Jan	12.00	3.0
WINSLOW CAPITAL	030	SHPGY	В	100	104.66	n/a	21-Feb	3.00	3.0
M&I FUNDS	047	SLM	В	38,950	16.25	n/a	14-Feb	1,558.00	4.0
BESSEMER INVESTMENT	085	TGT	В	3,050	54.21	n/a	8-Feb	122.00	4.0
HOLLIS CAPITAL	033	UNFI	SS	4,500	45.82	n/a	n/a	225.00	5.0
BESSEMER INVESTMENT	085	UNH	В	3,328	54.96	n/a	n/a	133.12	4.0
WINSLOW CAPITAL	030	VRSN	В	400	37.61	n/a	n/a	12.00	3.0

TOTAL (Trade Date): 63,247.90

Туре	Date Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL	(AO, CXL, CRT):							0.00
TOTAL	(Trade Date):						63 <b>,</b> 2	47.90
TOTAL	(AO, CXL, CRT):							0.00
TOTAL	(RUN DATE) / NFS:						63,2	47.90

## Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION