



# Daily Compliance Activity Report

Trade Date: 02/02/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AB	B	25,000	16.51	2-Feb	2-Feb	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>150,000</b>	<b>8.93</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>3.0</b>
COUGAR TRADING	044	AEO	B	5,000	8.93	n/a	30-Jan	200.00	4.0
PEREGRINE	030	AEO	B	17,000	8.88	n/a	30-Jan	680.00	4.0
T. ROWE PRICE	033	AES	S	283,330	7.99	n/a	n/a	8,499.90	3.0
<b>f NEIL M STRATTON</b>	<b>FID</b>	<b>ALDN</b>	<b>B</b>	<b>300</b>	<b>11.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f STRATTON PAR TNERSHI</b>	<b>FID</b>	<b>ALDN</b>	<b>B</b>	<b>300</b>	<b>11.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ALV	B	5,000	19.21	30-Jan	30-Jan	250.00	5.0
BALYASNY ASSET MGMT	044	AMG	S	10,000	39.82	13-Nov	29-Jan	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>C</b>	<b>50,000</b>	<b>18.60</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
STRAUSS ASSET MGMT	040	AN	SS	25,000	9.39	30-Jan	30-Jan	1,000.00	4.0
CAVU CAP ADV	028	APC	S	32,000	36.03	3-Feb	8-Jan	1,600.00	5.0
MILLENIUM KOZLOW	028	ARG	B	5,000	36.55	9-Jan	29-Jan	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>SS</b>	<b>100,000</b>	<b>20.77</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>S</b>	<b>80,700</b>	<b>21.02</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>AVY</b>	<b>B</b>	<b>2000</b>	<b>24.19</b>	<b>27-Jan</b>	<b>27-Jan</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		BBBY	SS	100,000	22.50	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	BEN	B	5,000	48.40	13-Nov	29-Jan	250.00	5.0
f JONATHAN RICHARD HAR	FID	BGU	S	71	26.25	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGU	S	8000	26.11	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	BGZ	B	100	73.13	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	B	3000	73.27	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		BKC	SS	50,000	21.84	27-Oct	30-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BKS+PV[BKS]	SS	1,000	0.65	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BKS	SS	50,000	16.16	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BRBY LN	SS	50,000	3.46	n/a	n/a	non-brg	0.5
⚡ BUCK CAPITAL MGMT		BRBY LN	SS	50,000	3.50	n/a	n/a	non-brg	0.5
⚡ BUCK CAPITAL MGMT		BWA	C	20,000	17.50	27-Jan	27-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BXP	SS	70,000	42.43	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	BX	S	30,000	4.22	n/a	n/a	900.00	3.0
⚡ BUCK CAPITAL MGMT		CAKE	SS	100	8.66	n/a	29-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		CAKE	SS	99,900	8.59	n/a	29-Jan	non-brg	3.0
f JOHN MICHAEL ZOLIDIS	FID	CHS	B	2500	3.65	5-Dec	30-Jan	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	CL	S	16,000	63.95	n/a	29-Jan	800.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>C</b>	<b>100,000</b>	<b>14.18</b>	<b>9-Jan</b>	<b>22-Jan</b>	<b>non-brg</b>	<b>4.0</b>
WEISS PECK	040	CRS	S	8,600	15.92	n/a	n/a	430.00	5.0
WEISS PECK	040	CVD	S	5,800	38.54	n/a	n/a	290.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CVS+BY[CVS]</b>	<b>SS</b>	<b>1,000</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>20,000</b>	<b>52.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DIN</b>	<b>C</b>	<b>30,000</b>	<b>8.43</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
RBC CAPITAL MARKETS	034	DKS	B	10,000	11.01	7-Oct	30-Jan	400.00	4.0
CHARTER OAK	033	DVN	S	37,089	59.75	3-Feb	7-Jan	1,854.45	5.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>DXD</b>	<b>S</b>	<b>100</b>	<b>64.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>DXD</b>	<b>S</b>	<b>250</b>	<b>64.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	EAT	SS	46,200	10.80	n/a	24-Apr	2,310.00	5.0
JAT CAPITAL MANAGEMENT	041	ERIC	B	80,500	7.87	n/a	n/a	2,415.00	3.0
AVESTA CAP	229	ETN	SS	25,000	42.71	26-Jan	26-Jan	1,250.00	5.0
CUMBERLAND	038	EXH	B	7,000	22.06	27-Jan	27-Jan	280.00	4.0
BALYASNY ASSET MGMT	044	FAF	B	15,000	22.92	n/a	n/a	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f PEARL LUCHANSKY</b>	<b>FID</b>	<b>FDRXX</b>	<b>B</b>	<b>110000</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	FNF	B	25,000	15.79	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>B</b>	<b>10,000</b>	<b>11.59</b>	<b>23-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>60,000</b>	<b>10.42</b>	<b>11-Dec</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	GIL	S	9,300	10.58	11-Dec	12-Dec	465.00	5.0
CENTURION GLOBAL MGMT LL	041	GPS	B	12,200	11.30	n/a	30-Jan	366.00	3.0
HUNTER GLOBAL INVESTOR	067	GVA	SS	14,600	34.07	n/a	n/a	730.00	5.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>HASNX</b>	<b>S</b>	<b>40</b>	<b>1.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HOTT</b>	<b>SS</b>	<b>20,000</b>	<b>8.46</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>3.0</b>
AVESTA CAP	229	IBM	B	10,000	90.92	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IP</b>	<b>C</b>	<b>30,000</b>	<b>8.06</b>	<b>22-Sep</b>	<b>29-Jan</b>	<b>non-brg</b>	<b>3.0</b>
BALYASNY ASSET MGMT	044	IVZ	B	10,000	12.03	9-Jan	2-Feb	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>20,000</b>	<b>9.64</b>	<b>n/a</b>	<b>30-Jan</b>	<b>600.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP+QX[JCP]</b>	<b>SS</b>	<b>1,000</b>	<b>1.02</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>B</b>	<b>30,000</b>	<b>3.36</b>	<b>n/a</b>	<b>23-Jan</b>	<b>600.00</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>20,000</b>	<b>26.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KCP	B	20,000	6.84	n/a	28-Sep	600.00	3.0
Õ Ted Wheeler		KEG	B	100	73.50	14-Jan	14-Jan	0.00	0.0
COUGAR TRADING	044	KSS	S	5,000	37.36	n/a	30-Jan	250.00	5.0
BALYASNY ASSET MGMT	044	LAZ	B	5,000	25.67	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		LL	B	20,000	9.58	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		LL	B	9,990	9.71	n/a	n/a	non-brg	1.0
CSFB GLOBAL PROPRIETARY	041	LPX	S	20,000	2.04	5-Nov	23-Jan	600.00	3.0
ß BUCK CAPITAL MGMT		MCD	SS	128,000	58.05	13-Oct	27-Jan	non-brg	4.0
TRELLUS PARTNERS LP	028	MGA	SS	5,000	28.34	n/a	n/a	250.00	5.0
HUNTER GLOBAL INVESTOR	067	MLM	SS	6,200	80.65	n/a	n/a	310.00	5.0
MKT MAKER	099	MSFT	S	1	17.91	n/a	3-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	M	B	200,000	9.27	12-Aug	30-Jan	6,000.00	3.0
ß BUCK CAPITAL MGMT		M	S	100,000	8.28	12-Aug	30-Jan	non-brg	3.0
COUGAR TRADING	044	M	SS	10,000	8.28	12-Aug	30-Jan	400.00	4.0
ß BUCK CAPITAL MGMT		NKE+CI [NKE]	SS	1,000	3.14	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		NKE+CJ [NKE]	SS	1,000	1.20	n/a	n/a	non-brg	300.0
NOMURA CAPITAL	045	OC	S	3,483	13.71	n/a	n/a	174.15	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	OFC	B	2,900	26.74	n/a	n/a	130.50	4.5
SAXON WOODS ADVISORS	038	PFE	B	350,000	15.03	n/a	31-Mar	10,500.00	3.0
BMO NESBITT BURNS	041	PG	B	19,000	52.73	3-Feb	15-Jan	950.00	5.0
COUGAR TRADING	044	PKG	B	10,000	14.05	5-Dec	21-Jan	500.00	5.0
COUGAR TRADING	044	PKG	SS	10,000	13.84	5-Dec	21-Jan	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>S</b>	<b>175,000</b>	<b>17.98</b>	<b>6-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>S</b>	<b>25,000</b>	<b>18.14</b>	<b>6-Jan</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>2.0</b>
NEUBERGER BERMAN	036	PLD	S	2,700	9.25	n/a	n/a	81.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>PNRA</b>	<b>SS</b>	<b>50,000</b>	<b>45.83</b>	<b>4-Sep</b>	<b>8-Jan</b>	<b>non-brg</b>	<b>4.0</b>
DIAMONDBACK CAP MGMT	232	PPG	SS	9,000	37.56	n/a	22-Jan	450.00	5.0
COLUMBIA PARTNERS	028	QDEL	B	600	12.25	n/a	n/a	24.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>QIA+EB[ICON]</b>	<b>SS</b>	<b>1,000</b>	<b>0.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>QZS+DF[JOSB]</b>	<b>SS</b>	<b>500</b>	<b>1.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
FIRST NEW YORK LEDDY	035	Q	S	10,000	3.17	n/a	n/a	600.00	6.0
BMO NESBITT BURNS	041	RKT	B	2,500	30.55	n/a	n/a	125.00	5.0
BMO NESBITT BURNS	041	RKT	SS	2,500	30.84	n/a	n/a	125.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>225,000</b>	<b>39.66</b>	<b>n/a</b>	<b>20-Jan</b>	<b>9,000.00</b>	<b>4.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		RL	C	75,000	39.70	n/a	20-Jan	non-brg	1.5
	SIGMA CAPITAL ASSOCIATES	237	ROST	S	25,000	29.38	n/a	n/a	1,250.00	5.0
ß	BUCK CAPITAL MGMT		TGT	SS	100,000	30.23	17-Nov	28-Jan	non-brg	4.0
f	JOHN MICHAEL ZOLIDIS	FID	TIF	B	1000	20.05	n/a	30-Jan	0.00	0.0
ß	BUCK CAPITAL MGMT		TIN	S	50,000	5.68	5-Dec	28-Jan	non-brg	2.5
f	JONATHAN RICHARD HAR	FID	TNA	S	82	22.49	n/a	n/a	0.00	0.0
	AVESTA CAP	229	TSM	B	50,000	7.50	n/a	n/a	2,500.00	5.0
ß	BUCK CAPITAL MGMT		TUY+CD[PLCE]	SS	1,000	1.18	n/a	n/a	non-brg	300.0
	BALYASNY ASSET MGMT	044	VFC	SS	50,000	54.87	n/a	n/a	2,500.00	5.0
	HUNTER GLOBAL INVESTOR	067	VMC	SS	10,300	48.28	n/a	n/a	515.00	5.0
ß	BUCK CAPITAL MGMT		VNO	SS	50,000	50.08	n/a	n/a	non-brg	4.0
	COLUMBIA PARTNERS	028	V	S	56,942	49.76	n/a	28-Jan	2,277.68	4.0
	MATRIX ASSET ADV.	036	WAG	S	15,000	27.15	n/a	n/a	600.00	4.0
f	ANDREW SINCLAIR	FID	WBAAQ	B	100	2.10	n/a	n/a	0.00	0.0
	AVESTA CAP	229	WFR	B	25,000	12.92	n/a	n/a	1,250.00	5.0
ß	BUCK CAPITAL MGMT		XRT	SS	140,000	19.63	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		XRT	SS	240,000	19.45	n/a	n/a	non-brg	2.0
JP MORGAN VENTURES	046	XRT	S	85,300	19.52	n/a	n/a	4,265.00	5.0
⌘ BUCK CAPITAL MGMT		ZQK	S	150,000	2.00	19-Dec	27-Jan	non-brg	2.0
TOTAL (Trade Date):								78,097.68	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	01/30	SAC CAP/ROSEN FOR BOBBY	044	BR	S	100,000	13.52	n/a	30-Jan	5000.00 5.0
AO,CXL	01/30	SAC CAP/ROSEN FOR BOBBY	044	BR	S	100,000	13.52	n/a	30-Jan	5.00 0.0
AO,CRT	01/30	MILLENIUUM PARTNERS	028	CMCSA	S	50,000	14.92	n/a	4-Jan	2000.00 4.0
AO,CXL	01/30	MILLENIUUM PARTNERS	028	CMCSA	S	50,000	14.92	n/a	4-Jan	2000.00 4.0
AO,CRT	01/30	MILLENIUUM PARTNERS	028	KFT	B	36,430	28.00	n/a	n/a	1457.20 4.0
AO,CXL	01/30	MILLENIUUM PARTNERS	028	KFT	B	36,430	28.00	n/a	n/a	1457.20 4.0
AO,CXL	01/30	MILLENIUUM PARTNERS	028	KMB	B	17,340	51.41	27-Jan	27-Jan	693.60 4.0
AO,CRT	01/30	MILLENIUUM PARTNERS	028	KMB	B	17,340	51.41	27-Jan	27-Jan	693.60 4.0
AO,CXL	01/30	COLUMBIA PARTNERS	028	QDEL	B	42	12.31	n/a	n/a	1.68 4.0
AO,CRT	01/30	COLUMBIA PARTNERS	028	QDEL	B	42	12.31	n/a	n/a	1.68 4.0
AO,CXL	01/30	HUNTER GLOBAL INVESTOR	067	RJF	SS	25,000	19.07	n/a	n/a	1250.00 5.0
AO,CRT	01/30	HUNTER GLOBAL INVESTOR	067	RJF	SS	25,000	19.07	n/a	n/a	1000.00 4.0
AO,	01/30	PERMIAN/BAYPIONT	028	SFD	B	12,600	11.90	n/a	n/a	504.00 4.0
AO,CXL	01/30	PERMIAN/BAYPIONT	028	SFD	B	12,600	11.90	n/a	n/a	504.00 4.0
AO,CXL	01/30	CARDINAL CAPITAL	044	SSI	B	14,000	7.19	n/a	30-Jan	420.00 3.0
AO,	01/30	CARDINAL CAPITAL	044	SSI	B	14,000	7.19	n/a	30-Jan	700.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>5,025.00</b>

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TOTAL (Trade Date):	78,097.68
TOTAL (AO, CXL, CRT):	5,025.00

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TOTAL (RUN DATE) / NFS:	83,122.68
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MRI Securities with Trade Activity on 2-Feb.

AB

MRI Securities without Trade Activity on 2-Feb.

HD, LOW

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 43%

YTD = 26%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**

**f: Employee Trades (Fidelity)**