



# Daily Compliance Activity Report

Trade Date: 07/26/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>AAGPX</b>	<b>S</b>	<b>212</b>	<b>16.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> MICHAEL MARRANO	<b>FID</b>	<b>ABB</b>	<b>S</b>	<b>1500</b>	<b>20.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ABG</b>	<b>B</b>	<b>20,000</b>	<b>12.70</b>	<b>n/a</b>	<b>23-Jul</b>	<b>non-brg</b>	<b>2.5</b>
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>AEGFX</b>	<b>S</b>	<b>22</b>	<b>36.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	AEO	S	40,000	12.75	n/a	9-Jul	2,000.00	5.0
EXIS CAPITAL	044	AEO	B	5,000	12.74	n/a	9-Jul	250.00	5.0
WEISS PECK	040	AEO	S	9,100	12.75	n/a	9-Jul	455.00	5.0
SAC CRIB	044	ALB	B	25,000	45.00	n/a	n/a	875.00	3.5
<b>B</b> BUCK CAPITAL MGMT		<b>ANF</b>	<b>B</b>	<b>50,000</b>	<b>37.63</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
SHEFFIELD ASSET MGMT	031	ANF	S	50,000	37.94	n/a	9-Jul	2,500.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>ANN</b>	<b>B</b>	<b>50,000</b>	<b>17.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>ARM</b>	<b>S</b>	<b>10000</b>	<b>15.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>250,000</b>	<b>30.06</b>	<b>n/a</b>	<b>9-Jul</b>	<b>10,000.00</b>	<b>4.0</b>
NEUBERGER BERMAN	036	ASTE	S	22,500	31.33	n/a	n/a	900.00	4.0
<b>f</b> STEFFANIE CARMELA CA	<b>FID</b>	<b>ATSG</b>	<b>S</b>	<b>600</b>	<b>5.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> JASON ERIC COHEN	<b>FID</b>	<b>AVY</b>	<b>S</b>	<b>100</b>	<b>38.05</b>	<b>n/a</b>	<b>23-Jul</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
1798 CAP/LOMBARD ASSET	040	AWI	B	14,600	37.14	n/a	n/a	730.00	5.0
f JOHN PATRICK DAVEY	FID	AXE	B	500	47.68	n/a	16-Jul	0.00	0.0
f MARGARET M DAVEY	FID	AXE	B	100	47.68	n/a	16-Jul	0.00	0.0
NEWLAND CAPITAL MGT	067	AXE	S	25,000	47.26	n/a	16-Jul	1,000.00	4.0
B BUCK CAPITAL MGMT		AXL	S	50,000	9.24	n/a	23-Jul	non-brg	3.0
B BUCK CAPITAL MGMT		BBBY	B	30,000	39.25	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	BEE	B	10000	4.40	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BFK	B	2000	13.55	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BJ	C	50,000	46.94	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	BP	S	1500	38.76	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BWLD	B	60,000	41.73	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	BZ	S	10000	6.02	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	CIVVX	S	90	11.11	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CNW	S	15,000	34.33	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		COLM	SS	20,000	49.57	n/a	n/a	non-brg	2.5
f DAVID GEORGE BUCK	FID	COP	B	1000	54.43	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		COST	C	55,000	55.71	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		COST	C	55,600	55.85	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	CRI	S	50,000	26.64	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		CRI	S	50,000	26.98	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CROX	S	20,000	12.00	n/a	n/a	non-brg	4.0
JONATHAN RICHARD HAR	FID	CVGI	B	500	10.48	n/a	n/a	0.00	0.0
JONATHAN RICHARD HAR	FID	C	B	500	4.09	n/a	19-Jul	0.00	0.0
MANNY I KORMAN	FID	C	B	50000	4.11	n/a	19-Jul	0.00	0.0
MICHAEL C PERILLO	FID	DAN	S	26	11.90	n/a	23-Jul	0.00	0.0
MTB INV ADV	034	DAN	B	10,400	11.83	n/a	23-Jul	520.00	5.0
OZ MGMT	040	DAN	B	125,000	12.00	n/a	23-Jul	3,750.00	3.0
BUCK CAPITAL MGMT		DLTR	S	15,000	43.92	n/a	6-Jul	non-brg	4.0
1798 CAP/LOMBARD ASSET	040	DO	SS	4,000	62.14	n/a	n/a	200.00	5.0
EGS ASSOCIATES LP	091	DPZ	B	6,000	13.28	n/a	26-Jul	360.00	6.0
CATAPULT	040	EBAY	SS	4,023	21.02	n/a	n/a	201.15	5.0
DAVID GEORGE BUCK	FID	EFA	S	1500	51.83	n/a	n/a	0.00	0.0
CATAPULT	040	EXPE	SS	2,967	21.60	n/a	n/a	148.35	5.0
MARC PAUL LUCHANSKY	FID	EXWAX	S	114	7.88	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	FAF	S	9,925	14.87	n/a	n/a	496.25	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FAGIX</b>	<b>S</b>	<b>102</b>	<b>8.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FCSAX</b>	<b>B</b>	<b>371</b>	<b>9.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CASTLE ARK	031	FCX	B	84,940	71.34	n/a	n/a	4,247.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FDGFX</b>	<b>S</b>	<b>50</b>	<b>24.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FDGRX</b>	<b>S</b>	<b>11</b>	<b>70.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FDIVX</b>	<b>S</b>	<b>34</b>	<b>26.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CASTLEROCK	040	FDO	SS	72,744	38.96	n/a	7-Jul	2,909.76	4.0
GREEN ARROW DOUG	040	FDO	B	15,000	38.97	n/a	7-Jul	750.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FIGRX</b>	<b>S</b>	<b>31</b>	<b>28.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FILEX</b>	<b>B</b>	<b>629</b>	<b>7.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>B</b>	<b>30,000</b>	<b>14.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
EXIS CAPITAL	044	FINL	B	50,000	14.65	n/a	n/a	2,500.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FLPSX</b>	<b>S</b>	<b>51</b>	<b>33.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>S</b>	<b>20,000</b>	<b>40.36</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FPIOX</b>	<b>B</b>	<b>191</b>	<b>9.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FSGFX</b>	<b>B</b>	<b>367</b>	<b>10.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FUSOX</b>	<b>B</b>	<b>186</b>	<b>8.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FVSAX</b>	<b>B</b>	<b>410</b>	<b>12.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AVESTA CAP	229	F	S	200,000	12.83	26-Jul	26-Jul	6,000.00	3.0
OZ MGMT	040	F	SS	125,537	13.08	26-Jul	26-Jul	3,766.11	3.0
OZ MGMT	040	F	S	318,067	13.00	26-Jul	26-Jul	9,542.01	3.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>GES</b>	<b>S</b>	<b>200</b>	<b>37.82</b>	<b>n/a</b>	<b>19-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>S</b>	<b>16,863</b>	<b>18.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>10,000</b>	<b>25.35</b>	<b>n/a</b>	<b>22-Jul</b>	<b>non-brg</b>	<b>4.0</b>
BANK OF AMERICA/MERRILL	259	HD	SS	7,000	28.58	n/a	20-Jul	350.00	5.0
KLEINHEINZ CAP PART	031	HOTT	B	100,000	5.50	n/a	n/a	5,000.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>HRINX</b>	<b>S</b>	<b>19</b>	<b>52.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BACKBAY	041	IP	B	12,500	25.32	n/a	19-Jul	625.00	5.0
BACKBAY	041	IP	SS	12,500	25.27	n/a	19-Jul	625.00	5.0
CASEY CAPITAL	067	IP	S	5,000	24.84	n/a	19-Jul	200.00	4.0
SACC-SCHWARTZ	044	ITW	S	50,000	43.37	n/a	21-Jul	1,750.00	3.5
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>JANSX</b>	<b>S</b>	<b>54</b>	<b>25.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FRONTIER	048	JBL	B	127,330	15.59	n/a	n/a	5,093.20	4.0
FRONTIER	048	JBL	B	34,900	15.59	n/a	n/a	1,396.00	4.0
BUCK CAPITAL MGMT	001	JCG	B	70,000	36.93	n/a	n/a	2,800.00	4.0
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>JCI</b>	<b>S</b>	<b>5020</b>	<b>29.05</b>	<b>n/a</b>	<b>23-Jul</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	JCP	B	4,000	25.66	n/a	9-Jul	200.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>JCP</b>	<b>C</b>	<b>40,000</b>	<b>25.06</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>JCP</b>	<b>C</b>	<b>40,000</b>	<b>25.22</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>JCP</b>	<b>C</b>	<b>40,000</b>	<b>25.33</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>JCP</b>	<b>C</b>	<b>75,000</b>	<b>25.62</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>0.8</b>
<b>B</b> BUCK CAPITAL MGMT		<b>JCP</b>	<b>C</b>	<b>95,800</b>	<b>25.59</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
BMO NESBITT BURNS	041	JNY	B	8,000	16.70	n/a	19-Jul	400.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>JNY</b>	<b>B</b>	<b>50,000</b>	<b>16.71</b>	<b>n/a</b>	<b>19-Jul</b>	<b>non-brg</b>	<b>4.0</b>
JP MORGAN VENTURES	046	JNY	S	45,000	16.52	n/a	19-Jul	2,250.00	5.0
SCOPUS FUND	041	JNY	B	50,000	16.74	n/a	19-Jul	2,500.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>JOSB</b>	<b>S</b>	<b>20,000</b>	<b>61.18</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BMO NESBITT BURNS	041	JWN	SS	4,000	34.50	n/a	9-Jul	160.00	4.0
BUCK CAPITAL MGMT	001	JWN	B	30,000	34.62	n/a	9-Jul	1,200.00	4.0
GLG PARTNERS	034	JWN	S	25,000	34.52	n/a	9-Jul	750.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>KNX</b>	<b>S</b>	<b>15,000</b>	<b>22.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SCOPUS FUND	041	KSS	B	200	49.45	n/a	9-Jul	10.00	5.0
NEUBERGER BERMAN	036	LAYN	S	10,500	27.17	n/a	n/a	420.00	4.0

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BUCK CAPITAL MGMT	001	LEG	B	20,000	21.62	n/a	n/a	800.00	4.0
BANK OF AMERICA/MERRILL	259	LOW	SS	9,400	21.34	n/a	17-May	470.00	5.0
AAD CAPITAL	084	LPX	S	100,000	8.20	n/a	19-Jul	2,000.00	2.0
f JOSEPH CIRO AMATURO	FID	LVS	S	10000	25.56	n/a	26-Jul	0.00	0.0
f MARC PAUL LUCHANSKY	FID	MFOCX	S	33	15.31	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	MHK	B	9,000	50.58	n/a	n/a	450.00	5.0
f MARC PAUL LUCHANSKY	FID	MIQBX	S	73	12.30	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MVRX	SS	45,000	178.89	n/a	n/a	non-brg	17.9
CATAPULT	040	MXIM	S	5,300	18.38	n/a	n/a	265.00	5.0
SAC CAPITAL/M GRONET	030	M	B	20,000	19.96	n/a	9-Jul	700.00	3.5
BENNETT LAWRENCE	028	NUVA	S	25,750	34.28	n/a	n/a	1,030.00	4.0
CATAPULT	040	NVDA	SS	5,551	10.51	n/a	n/a	277.55	5.0
LEVIN CAPITAL STRATEGIES	012	OXY	S	20,000	82.46	n/a	n/a	600.00	3.0
B BUCK CAPITAL MGMT		PAG	B	40,000	13.67	n/a	23-Jul	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	PAHIX	S	138	6.54	n/a	n/a	0.00	0.0

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<b>B</b> BUCK CAPITAL MGMT		PLCE	<b>S</b>	50,000	45.99	n/a	3-Jun	non-brg	4.0
<b>f</b> MANNY I KORMAN	FID	PLCE	<b>B</b>	5000	46.37	n/a	3-Jun	0.00	0.0
<b>f</b> DAVID GEORGE BUCK	FID	PM	<b>B</b>	1000	50.93	n/a	n/a	0.00	0.0
NOMURA CAPITAL	029	QUAD	S	3,495	43.01	n/a	n/a	104.85	3.0
NEUBERGER BERMAN	036	RBN	S	15,000	23.78	n/a	n/a	600.00	4.0
<b>B</b> BUCK CAPITAL MGMT		ROST	<b>C</b>	20,000	55.49	n/a	n/a	non-brg	2.5
MILLENIUM PARTNERS	028	RRR	S	20,000	8.13	n/a	23-Jul	800.00	4.0
<b>f</b> JASON ERIC COHEN	FID	RYL	<b>B</b>	5	0.40	n/a	n/a	0.00	0.0
<b>f</b> JASON ERIC COHEN	FID	RYL	<b>S</b>	1	1.55	n/a	n/a	0.00	0.0
CATAPULT	040	SAI	S	12,592	16.89	n/a	n/a	629.60	5.0
VISIUM/BALY BOBBY	044	SBUX	B	20,000	25.25	n/a	22-Jul	1,000.00	5.0
<b>B</b> BUCK CAPITAL MGMT		SHOO	<b>S</b>	20,000	37.10	n/a	n/a	non-brg	4.0
BANK OF AMERICA/MERRILL	259	SHW	SS	2,900	69.04	n/a	22-Jul	145.00	5.0
BUCK CAPITAL MGMT	001	SIG	<b>S</b>	20,000	30.42	n/a	n/a	800.00	4.0
WEISS PECK	040	SKX	B	8,600	34.52	n/a	n/a	430.00	5.0
<b>f</b> MARC PAUL LUCHANSKY	FID	SLASX	<b>S</b>	24	37.14	n/a	n/a	0.00	0.0



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CATAPULT	040	SPR	SS	5,504	21.24	n/a	21-Jul	275.20	5.0
1798 CAP/LOMBARD ASSET	040	SPY	SS	9,000	110.91	n/a	n/a	450.00	5.0
CATAPULT	040	TDG	SS	3,836	53.92	n/a	n/a	191.80	5.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>TEL</b>	<b>B</b>	<b>2750</b>	<b>26.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>TEN</b>	<b>B</b>	<b>200</b>	<b>24.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAMBIAR CAP	046	TEVA	B	126,100	49.93	n/a	26-Jul	5,044.00	4.0
CAMBIAR CAP	046	TEVA	B	2,290	49.93	n/a	26-Jul	91.60	4.0
BACKBAY	041	TIN	SS	100,000	22.64	n/a	19-Jul	5,000.00	5.0
BASIX	033	TIN	S	67,000	22.55	n/a	19-Jul	2,010.00	3.0
BMO NESBITT BURNS	041	TIN	S	24,900	22.56	n/a	19-Jul	1,245.00	5.0
CASEY CAPITAL	067	TIN	S	5,000	22.47	n/a	19-Jul	200.00	4.0
SAC CRIB	044	TIN	S	15,000	22.64	n/a	19-Jul	525.00	3.5
TRELLUS PARTNERS LP	028	TIN	SS	10,000	22.62	n/a	19-Jul	0.00	0.0
<b>f CHARLES MAXWELL KAIC</b>	<b>FID</b>	<b>TIVO</b>	<b>B</b>	<b>180</b>	<b>9.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>S</b>	<b>46,000</b>	<b>42.73</b>	<b>n/a</b>	<b>9-Jul</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	TJX	SS	5,000	42.67	n/a	9-Jul	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>B</b>	<b>30,000</b>	<b>11.65</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>TNA</b>	<b>S</b>	<b>200</b>	<b>44.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BNP PARIBAS SEC	040	TOT	S	25,000	49.60	n/a	n/a	1,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		TRLG	C	35,000	25.53	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TRLG	C	5,000	25.40	n/a	n/a	non-brg	2.0
BANK OF AMERICA/MERRILL	259	TSCO	SS	2,900	69.55	n/a	n/a	145.00	5.0
B BUCK CAPITAL MGMT		UAUA	S	10,000	24.33	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	B	10,000	34.24	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	USGLX	S	16	25.39	n/a	n/a	0.00	0.0
CATAPULT	040	UTX	SS	1,710	71.49	n/a	n/a	85.50	5.0
B BUCK CAPITAL MGMT		VFC	SS	20,000	81.56	n/a	23-Jul	non-brg	4.0
NEUBERGER BERMAN	036	VMI	S	22,600	74.87	n/a	n/a	904.00	4.0
B BUCK CAPITAL MGMT		WBC	S	30,000	38.79	n/a	25-Jun	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	WBIGX	S	51	19.44	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WFMI	SS	10,000	39.61	n/a	n/a	non-brg	0.8
f JOHN MICHAEL ZOLIDIS	FID	WHR	B	200	87.80	n/a	n/a	0.00	0.0
SAC CHICAGO ANDREW	031	WMT	B	25,000	51.03	n/a	19-May	875.00	3.5
LEVEL GLOBAL SHARED ACCT	257	WRC	S	50,000	42.58	n/a	19-Jul	1,500.00	3.0
PEREGRINE	030	WRC	B	32,120	42.54	n/a	19-Jul	1,284.80	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PEREGRINE	030	WRC	B	7,880	42.54	n/a	19-Jul	315.20	4.0
BANK OF AMERICA/MERRILL	259	WSM	SS	7,300	27.18	n/a	n/a	365.00	5.0
<b>f</b> DAVID GEORGE BUCK	<b>FID</b>	<b>WY</b>	<b>B</b>	<b>2000</b>	<b>16.88</b>	<b>n/a</b>	<b>21-Jul</b>	<b>0.00</b>	<b>0.0</b>
RBC CAPITAL MARKETS	040	WY	SS	30,000	16.86	n/a	21-Jul	1,200.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>XRT</b>	<b>C</b>	<b>240,000</b>	<b>38.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>XRK</b>	<b>B</b>	<b>15000</b>	<b>9.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>							<b>117,088.93</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CRT	07/23	YORK CAPITAL	036	APA	S	128,571	91.04	n/a 21-Jul	4499.99 3.5
AO,CXL	07/23	YORK CAPITAL	036	APA	S	128,571	91.04	n/a 21-Jul	38.57 0.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>4,461.42</b>
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TOTAL (Trade Date) :	117,088.93
TOTAL (AO, CXL, CRT) :	4,461.42

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>121,550.35</b>
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MRI Securities with Trade Activity on 26-Jul.

**F**

MRI Securities without Trade Activity on 26-Jul.

**PFCB, ALV**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 24%

YTD = 10%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**