



Daily Compliance Activity Report

Trade Date: 05/21/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	S	10,000	123.12	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ABG	S	90,000	9.80	n/a	30-Apr	non-brg	3.0
BUCK CAPITAL MGMT	001	AEO	B	20,000	13.50	20-Apr	8-May	800.00	4.0
B BUCK CAPITAL MGMT		AEO	B	20,000	13.79	20-Apr	8-May	non-brg	1.0
THOMSON HORSTMAN BRYANT	040	AEO	S	10,000	13.87	20-Apr	8-May	500.00	5.0
B BUCK CAPITAL MGMT		AMT	B	15,000	29.18	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		AN	C	6,000	15.13	24-Apr	24-Apr	non-brg	4.0
SAXON WOODS ADVISORS	038	APD	S	83,400	61.36	n/a	22-Apr	3,336.00	4.0
CANTILLON CAPITAL	040	AVP	S	489,000	24.44	25-Feb	6-May	19,560.00	4.0
B BUCK CAPITAL MGMT		BAC	B	50,000	11.36	11-May	19-May	non-brg	4.0
f MANNY I KORMAN	FID	BAC	B	5000	11.83	11-May	19-May	0.00	0.0
NOMURA CAPITAL	045	BAC	B	20,500	11.37	11-May	19-May	1,025.00	5.0
DIAMONDBACK CAP MGMT	232	BBT	C	5,000	21.11	n/a	n/a	250.00	5.0
f MANNY I KORMAN	FID	BBY	S	3000	35.60	n/a	27-Jul	0.00	0.0
B BUCK CAPITAL MGMT		BEBE	S	20,000	7.90	n/a	n/a	non-brg	3.0
BALYASNY ASSET MGMT	044	BEN	B	10,000	60.44	11-May	19-May	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f FRANCIS MICHAEL MCCA	FID	BGZ	B	100	40.47	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BLK	B	1,600	138.02	4-Mar	20-May	non-brg	4.0
S.A.C. HEALTHCARE BOBBY	044	BMY	B	25,000	20.69	n/a	n/a	1,000.00	4.0
f KEVIN MICHAEL O'GORM	FID	BONT	S	1000	4.20	6-Feb	6-Feb	0.00	0.0
B BUCK CAPITAL MGMT		BWA	C	7,000	29.48	1-May	1-May	non-brg	4.0
f MANNY I KORMAN	FID	BWA	S	3000	28.70	1-May	1-May	0.00	0.0
B BUCK CAPITAL MGMT		CAB	SS	25,000	11.51	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	CADX	S	1000	10.11	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CCI	B	10,000	22.64	n/a	n/a	non-brg	4.0
JMP ASSET MGMT	210	CHIC	S	15,000	9.60	n/a	n/a	600.00	4.0
f BARBARA S LEDDY	FID	CIT	B	1200	2.86	23-Apr	20-May	0.00	0.0
f KAREN F LEDDY	FID	CIT	B	2000	2.88	23-Apr	20-May	0.00	0.0
B BUCK CAPITAL MGMT		COF	B	13,000	22.57	15-May	20-May	non-brg	1.0
B BUCK CAPITAL MGMT		COH	S	220,000	24.21	9-Jan	22-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		COH	S	50,000	24.19	9-Jan	22-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		CPKI	C	5,000	13.00	n/a	8-May	non-brg	1.0
REINHART & MAHONEY	031	CRM	S	14,770	39.60	n/a	n/a	738.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ALICE BEEBE LONGLEY	FID	CSCO	B	600	17.91	n/a	22-Mar	0.00	0.0
B BUCK CAPITAL MGMT		CSTR	SS	150,000	29.74	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	CVS	S	20,000	29.38	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		C	B	100,000	3.64	n/a	19-May	non-brg	3.0
B BUCK CAPITAL MGMT		DBD	B	25,000	24.65	n/a	5-May	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	S	15,000	50.19	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		DHI	B	17,000	9.00	n/a	n/a	non-brg	1.0
DIAMONDBACK CAP MGMT	232	DKS	S	50,000	17.94	27-Apr	20-May	2,500.00	5.0
LISTED ERR	099	DKS	B	11,800	17.82	27-Apr	20-May	0.00	0.0
B BUCK CAPITAL MGMT		DRI	C	8,000	34.11	19-Mar	19-Mar	non-brg	1.0
REINHART & MAHONEY	031	DRI	S	17,130	33.36	19-Mar	19-Mar	856.50	5.0
f SCOTT L BRUNNER	FID	FAZ	S	2000	5.60	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	FII	SS	25,000	22.19	24-Apr	19-May	1,250.00	5.0
B BUCK CAPITAL MGMT		FOR	B	5,042	11.82	12-Feb	8-May	non-brg	1.0
B BUCK CAPITAL MGMT		FOSL	B	10,000	21.34	n/a	30-Jan	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	FSCTX	B	114	7.01	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	FSHBX	B	99	8.06	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FTBFX	B	72	9.72	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GES	B	120,000	21.89	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GES	B	50,000	21.88	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GE	SS	100,000	13.13	n/a	n/a	non-brg	1.0
COUGAR TRADING	044	GIL	SS	5,000	15.92	11-Dec	14-May	250.00	5.0
B BUCK CAPITAL MGMT		GLW	B	28,000	14.03	27-Mar	27-Apr	non-brg	1.0
B BUCK CAPITAL MGMT		GME	S	25,000	22.85	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	GMIW	S	100	0.22	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GNTX	C	8,000	11.04	30-Jan	23-Apr	non-brg	1.0
B BUCK CAPITAL MGMT		GYMB	S	90,000	36.53	21-May	21-May	non-brg	4.0
f MICHAEL THOMAS NOLAN	FID	HAR	S	500	16.90	12-Mar	1-May	0.00	0.0
B BUCK CAPITAL MGMT		HD	SS	100,000	23.44	12-May	20-May	non-brg	4.0
B BUCK CAPITAL MGMT		HD	SS	100,000	23.57	12-May	20-May	non-brg	4.0
B BUCK CAPITAL MGMT		HD	SS	100,000	23.57	12-May	20-May	non-brg	4.0
B BUCK CAPITAL MGMT		HOTT	C	150,000	7.51	n/a	30-Jan	non-brg	3.0
f JOHN MICHAEL ZOLIDIS	FID	HOTT	B	1000	7.25	n/a	30-Jan	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	HOT	SS	30,000	21.30	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		ICON	B	10,000	14.32	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	IFF	S	16,875	30.50	21-Jan	30-Apr	675.00	4.0
FRED ALGER MGMT	035	INTC	B	66,050	15.41	n/a	n/a	2,642.00	4.0
f KEVIN MICHAEL O'GORM	FID	IRE	B	500	8.32	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		IVZ	B	10,000	14.33	11-May	20-May	non-brg	4.0
CROSS CAPITAL	028	IVZ	B	83,100	14.59	11-May	20-May	4,155.00	5.0
TYEE/TYGH	035	IVZ	B	49,000	14.42	11-May	20-May	1,960.00	4.0
f FRANCIS MICHAEL MCCA	FID	JBLU	S	600	4.92	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		JCG	C	10,000	20.15	n/a	30-Jan	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	JMCVX	S	38	16.00	n/a	n/a	0.00	0.0
SIGMA CAP BOBBY	044	JNJ	SS	25,000	54.76	n/a	22-Apr	1,000.00	4.0
BUCK CAPITAL MGMT	001	JNY	S	50,000	8.65	n/a	30-Apr	1,500.00	3.0
BALYASNY ASSET MGMT	044	JPM	SS	80,000	34.41	16-Jan	20-May	4,000.00	5.0
f MANNY I KORMAN	FID	JPM	B	2000	34.77	16-Jan	20-May	0.00	0.0
COUGAR TRADING	044	JWN	B	5,000	20.90	20-Feb	15-May	250.00	5.0
f ROBERT M DANIELS	FID	KEG	B	100	6.00	n/a	18-May	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
M&I FUNDS	256	KG	B	20,337	8.65	n/a	19-May	610.11	3.0
⌘ BUCK CAPITAL MGMT		KSS	B	10,000	41.08	8-May	15-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	B	22,000	41.55	8-May	15-May	non-brg	1.0
BALYASNY ASSET MGMT	044	LAZ	C	15,000	26.75	n/a	19-May	750.00	5.0
SANDLER O'NEILL ASSET	031	LAZ	B	25,000	27.42	n/a	19-May	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		LEN	B	9,000	9.27	n/a	n/a	non-brg	1.0
MILLENIUM PARTNERS	028	LII	B	25,000	29.56	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		LL	S	30,000	13.99	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LM	B	10,000	18.49	5-May	19-May	non-brg	4.0
BUCK CAPITAL MGMT	001	LTD	S	62,000	11.79	n/a	30-Jan	2,480.00	4.0
CENTURION PARTNERS	041	LTD	B	30,000	12.04	n/a	30-Jan	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		LVS	S	100,000	9.21	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		LVS	S	50,000	9.24	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		LVS	S	75,000	9.52	n/a	n/a	non-brg	3.0
Ⓕ MANNY I KORMAN	FID	MAR	S	3000	21.79	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	MLM	C	4,000	80.08	n/a	n/a	200.00	5.0
FRED ALGER MGMT	035	MSFT	B	50,350	20.16	n/a	3-Apr	2,014.00	4.0
ROSEDALE CAPITAL	046	MSFT	S	25,000	19.63	n/a	3-Apr	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MANNY I KORMAN	FID	MS	B	3000	28.25	11-May	19-May	0.00	0.0
ß BUCK CAPITAL MGMT		MVL	C	40,000	31.51	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MVRX	SS	30,000	120.73	n/a	n/a	non-brg	12.1
ß BUCK CAPITAL MGMT		NILE	SS	10,000	43.84	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		NWY	S	150,000	3.41	n/a	30-Jan	non-brg	3.0
ß BUCK CAPITAL MGMT		PAG	S	70,000	11.53	n/a	6-May	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	PAVLX	S	25	15.88	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PCRRX	B	129	6.97	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PFUUX	S	181	8.85	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PHYAX	S	110	7.30	n/a	n/a	0.00	0.0
COUGAR TRADING	044	PLCE	SS	5,000	34.01	19-Mar	21-May	250.00	5.0
THIRD COAST CAPITAL	035	PLCE	SS	10,000	34.02	19-Mar	21-May	500.00	5.0
f MARC PAUL LUCHANSKY	FID	POT	S	100	108.08	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PSFAX	B	42	9.58	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PTRAX	B	67	10.42	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PVH	S	50,000	27.20	20-Apr	21-May	2,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		PVH	B	10,000	26.67	20-Apr	21-May	non-brg	4.0
BUCK CAPITAL MGMT		PVH	S	20,000	26.31	20-Apr	21-May	non-brg	4.0
DIAMONDBACK CAP MGMT	232	RL	C	25,000	49.26	n/a	16-Apr	1,250.00	5.0
BUCK CAPITAL MGMT		RYL	B	6,000	18.98	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		S4RETL	SS	13,000	293.64	n/a	n/a	non-brg	29.4
BUCK CAPITAL MGMT		S6RETL	SS	25,000	150.17	n/a	n/a	non-brg	15.0
BUCK CAPITAL MGMT		SBAC	B	15,000	23.35	n/a	n/a	non-brg	4.0
DAVID GEORGE BUCK	FID	SGP	B	2000	23.64	n/a	n/a	0.00	0.0
JOHN MICHAEL ZOLIDIS	FID	SKF	S	300	46.50	n/a	n/a	0.00	0.0
MARC PAUL LUCHANSKY	FID	SLASX	S	41	29.50	n/a	n/a	0.00	0.0
BENCHMARK	028	SLM	B	5,000	6.49	23-Apr	20-May	200.00	4.0
LAGRANGE CAP ADV	048	SLM	S	10,000	6.52	23-Apr	20-May	500.00	5.0
BAIRD INVESTMENT	256	SWN	S	24,753	39.80	27-Feb	28-Apr	1,237.65	5.0
BUCK CAPITAL MGMT		TAST	S	11,900	6.70	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		TAST	S	60,000	6.75	n/a	n/a	non-brg	2.5
MARC PAUL LUCHANSKY	FID	TBT	B	100	52.38	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	S	100,000	42.10	25-Feb	20-May	4,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STADIA CAPITAL	035	TGT	B	25,500	43.22	25-Feb	20-May	1,020.00	4.0
TRUST CO/COWEN/CALF.	040	THC	S	713,385	3.29	n/a	5-May	21,401.55	3.0
COOPER CREEK PART	028	TIN	SS	15,000	11.64	n/a	22-Apr	750.00	5.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	10,000	11.81	n/a	22-Apr	500.00	5.0
f FRANCIS MICHAEL MCCA	FID	TWI	S	1000	8.47	n/a	n/a	0.00	0.0
MERRILL ASSET	032	TYC	S	10,900	25.76	1-May	1-May	490.50	4.5
SUFFOLK CAPITAL MGMT	040	T	S	380,000	23.75	n/a	4-Jan	15,200.00	4.0
BUCK CAPITAL MGMT	001	UA	SS	20,000	20.96	29-Apr	29-Apr	800.00	4.0
B BUCK CAPITAL MGMT		URBN	B	20,000	19.38	n/a	30-Jan	non-brg	4.0
SERENGETI MGMT	030	WCG	B	75,000	18.99	n/a	n/a	3,750.00	5.0
BALYASNY ASSET MGMT	044	WMS	C	20,000	31.38	n/a	n/a	1,000.00	5.0
ASCEND PARTNERS	033	WRC	B	15,200	27.21	13-May	13-May	760.00	5.0
BUCK CAPITAL MGMT	001	WRC	B	55,000	27.19	13-May	13-May	2,200.00	4.0
B BUCK CAPITAL MGMT		WRC	B	10,000	27.46	13-May	13-May	non-brg	1.0
B BUCK CAPITAL MGMT		WRC	B	15,000	27.87	13-May	13-May	non-brg	4.0
COUGAR TRADING	044	WRC	B	10,000	27.00	13-May	13-May	500.00	5.0
B BUCK CAPITAL MGMT		WSM	SS	130,000	11.56	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WSO	B	2,000	46.86	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
S.A.C. CAP/ORENSTEIN	044	XLB	B	150,000	26.26	n/a	n/a	6,000.00	4.0
B BUCK CAPITAL MGMT		XRT	SS	150,000	26.08	n/a	n/a	non-brg	2.0
METROPOLITAN CAPITAL	044	XRT	SS	33,300	26.38	n/a	n/a	1,332.00	4.0
TOTAL (Trade Date) :								128,293.81	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/20	GRUSS CAPITAL	045	IP	B	3,000	15.27	n/a	1-May	150.00 5.0
AO,CXL	05/20	GRUSS CAPITAL	045	IP	B	3,000	15.27	n/a	1-May	90.00 3.0
AO,CRT	05/20	MADISON ST PARTNERS LLC	046	IVZ	B	30,000	14.66	11-May	20-May	1500.00 5.0
AO,CXL	05/20	MADISON ST PARTNERS LLC	046	IVZ	B	30,000	14.66	11-May	20-May	900.00 3.0
AO,CXL	05/20	&SCH	041	TIN	SS	20,000	11.93	n/a	22-Apr	600.00 3.0
AO,CRT	05/20	SCHOTTENFELD ASSOC	041	TIN	SS	20,000	11.93	n/a	22-Apr	600.00 3.0

TOTAL (AO, CXL, CRT) :**660.00**

TOTAL (Trade Date):

128,293.81

TOTAL (AO, CXL, CRT):

660.00

TOTAL (RUN DATE) / NFS:**128,953.81****MRI Securities with Trade Activity on 21-May.****GYMB****MRI Securities without Trade Activity on 21-May.****CEPH, WCRX**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 13%

YTD = 22%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)