



## Daily Compliance Activity Report

Trade Date: 02/01/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	ABG	S	10,000	14.96	25-Jan	1-Feb	500.00	5.0
GLOBAL 56 LLC	060	ABG	S	1,500	14.88	25-Jan	1-Feb	60.00	4.0
HEALY CIRCLE	044	ABG	S	20,000	14.70	25-Jan	1-Feb	1,000.00	5.0
CAPITAL GROWTH MGMT.	241	ACI	B	33,900	46.50	n/a	n/a	1,695.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>ACI</b>	<b>S</b>	<b>3,000</b>	<b>46.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WESTLANE CAPITAL	044	AIG	S	5,000	55.58	n/a	n/a	250.00	5.0
CAXTON PART	040	AMR	B	100,000	14.89	n/a	n/a	3,500.01	3.5
COUGAR TRADING	044	ANN	B	10,000	25.19	11-Jan	31-Jan	500.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>ANR</b>	<b>S</b>	<b>6,000</b>	<b>35.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SARAS CAPITAL	038	AN	B	40,000	16.17	n/a	25-Jan	2,000.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	S	17,600	28.66	29-Nov	29-Jan	660.00	3.8
U.S.TRUST COMPANY	012	ATW	B	75,000	85.55	n/a	n/a	2,250.00	3.0
<b>CATTANI</b>	<b>041</b>	<b>AVP</b>	<b>B</b>	<b>300</b>	<b>35.51</b>	<b>23-Jan</b>	<b>23-Jan</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	BBY	SS	25,000	48.30	n/a	27-Jul	1,250.00	5.0
CASTLEROCK	040	BDK	B	42,200	71.64	n/a	n/a	1,688.00	4.0
THE BOSTON COMPANY	401	BMC	B	44,900	34.12	n/a	n/a	1,796.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>B</b>	<b>80,000</b>	<b>7.39</b>	<b>4-Jan</b>	<b>11-Jan</b>	<b>non-brg</b>	<b>4.0</b>
EGS ASSOCIATES LP	091	BONT	B	7,000	7.40	4-Jan	11-Jan	420.00	6.0
<b>MAGUIRE</b>	<b>090</b>	<b>BTU</b>	<b>S</b>	<b>4,000</b>	<b>55.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>VOLPETTI</b>	<b>N08</b>	<b>CDL</b>	<b>S</b>	<b>4</b>	<b>1.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SINCLAIR</b>	<b>031</b>	<b>CFU</b>	<b>S</b>	<b>50</b>	<b>0.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDY</b>	<b>035</b>	<b>CLMS</b>	<b>SS</b>	<b>1,000</b>	<b>22.33</b>	<b>29-Jan</b>	<b>29-Jan</b>	<b>0.00</b>	<b>0.0</b>
NOMURA CAPITAL	045	CMCSK	S	4,500	17.96	n/a	n/a	225.00	5.0
EGS ASSOCIATES LP	091	COH	B	3,500	32.45	24-Oct	24-Jan	210.00	6.0
PROSPECTOR PARTNERS LLC	036	COP	B	15,000	80.11	n/a	n/a	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DHI</b>	<b>S</b>	<b>168,500</b>	<b>17.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>VOLPETTI</b>	<b>N08</b>	<b>DIS</b>	<b>S</b>	<b>56</b>	<b>30.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>DRYS</b>	<b>S</b>	<b>2,000</b>	<b>75.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
METROPOLITAN CAPITAL	044	DSW	S	53,000	19.62	26-Oct	24-Jan	2,650.00	5.0
CAXTON PART	040	DTV	S	100,000	23.51	15-Nov	4-Jan	3,500.01	3.5
TRELLUS PARTNERS LP	028	EL	SS	17,000	45.38	4-Jan	4-Jan	850.00	5.0
REICH AND TANG	067	EMN	S	15,000	66.10	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REICH AND TANG	067	ETH	S	105,000	30.99	n/a	n/a	5,250.00	5.0
<b>CATTANI</b>	<b>041</b>	<b>ETN</b>	<b>S</b>	<b>200</b>	<b>85.46</b>	<b>22-Jan</b>	<b>22-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>FOR</b>	<b>S</b>	<b>333</b>	<b>23.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>F</b>	<b>SS</b>	<b>1,800</b>	<b>6.88</b>	<b>n/a</b>	<b>31-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>SINCLAIR</b>	<b>031</b>	<b>GIYEC</b>	<b>S</b>	<b>10</b>	<b>3.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MILLER</b>	<b>025</b>	<b>GLW</b>	<b>B</b>	<b>15</b>	<b>23.98</b>	<b>n/a</b>	<b>28-Jan</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	GM	SS	50,000	28.07	3-Jan	31-Jan	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GM</b>	<b>SS</b>	<b>10,000</b>	<b>28.81</b>	<b>3-Jan</b>	<b>31-Jan</b>	<b>non-brg</b>	<b>4.0</b>
PALMYRA CAPITAL	048	GM	SS	100,000	27.98	3-Jan	31-Jan	5,000.00	5.0
TROUBH PART	067	GM	SS	50,000	28.63	3-Jan	31-Jan	2,500.00	5.0
WESTLANE CAPITAL	044	GM	SS	5,000	28.92	3-Jan	31-Jan	250.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>GOOG</b>	<b>B</b>	<b>30</b>	<b>515.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	GPS	B	10,000	19.19	n/a	11-Jan	500.00	5.0
<b>CATTANI</b>	<b>041</b>	<b>GR</b>	<b>B</b>	<b>200</b>	<b>62.30</b>	<b>25-Oct</b>	<b>31-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>GR</b>	<b>S</b>	<b>200</b>	<b>62.65</b>	<b>25-Oct</b>	<b>31-Jan</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	237	GYMB	SS	14,100	37.80	n/a	29-Jan	705.00	5.0
<b>GAULT</b>	<b>063</b>	<b>HRSBJ</b>	<b>S</b>	<b>30</b>	<b>4.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CATTANI</b>	<b>041</b>	<b>HRS</b>	<b>S</b>	<b>300</b>	<b>54.65</b>	<b>11-Jan</b>	<b>30-Jan</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	HRS	S	500	54.32	11-Jan	30-Jan	0.00	0.0
GAULT	063	ILA	S	10,000	3.50	n/a	n/a	0.00	0.0
ROARING BLUE LION MGMT	012	IVZ	S	11,800	27.73	20-Dec	30-Jan	472.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	20,000	46.61	28-Nov	18-Jan	800.00	4.0
COUGAR TRADING	044	JCG	B	5,000	47.24	28-Nov	18-Jan	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	46.80	28-Nov	18-Jan	250.00	5.0
BEAR STEARNS ASSET MGMT.	033	JCP	B	50,000	48.20	4-Jan	1-Feb	2,000.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	20,000	48.51	4-Jan	1-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	47.40	4-Jan	1-Feb	800.00	4.0
COUGAR TRADING	044	JCP	S	5,000	47.07	4-Jan	1-Feb	250.00	5.0
DELTEC ASSET MGMT.	040	JCP	S	10,000	48.34	4-Jan	1-Feb	500.00	5.0
JPMSI/TOM LEDDY	035	JCP	SS	20,000	48.07	4-Jan	1-Feb	1,000.00	5.0
METROPOLITAN CAPITAL	044	JCP	S	10,250	48.41	4-Jan	1-Feb	512.50	5.0
TRELLUS PARTNERS LP	028	JCP	SS	75,000	47.90	4-Jan	1-Feb	3,750.00	5.0
VENTANA CAPITAL	060	JCP	SS	7,500	47.18	4-Jan	1-Feb	300.00	4.0
MAGUIRE	090	JCP	S	5,000	47.56	4-Jan	1-Feb	0.00	0.0
SINCLAIR	031	JGQCA	S	40	2.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	B	20,000	16.64	n/a	30-Jan	800.00	4.0
MAGUIRE	090	JRCC	S	5,000	12.92	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	KEG	B	1,450	12.00	29-Oct	28-Jan	72.50	5.0
B BUCK CAPITAL MGMT		KSS	SS	20,000	45.22	4-Jan	11-Jan	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	KSS	SS	5,000	45.02	4-Jan	11-Jan	250.00	5.0
COUGAR TRADING	044	KSS	S	5,000	45.30	4-Jan	11-Jan	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LBTYA</b>	<b>S</b>	<b>46,000</b>	<b>40.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>LOW</b>	<b>S</b>	<b>7,000</b>	<b>26.20</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>LPX</b>	<b>S</b>	<b>1,000</b>	<b>14.73</b>	<b>30-Oct</b>	<b>23-Jan</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	237	LTD	SS	50,000	18.92	n/a	11-Jan	2,500.00	5.0
NEW JERSEY DIV OF INV.	220	LXP	B	16,500	15.10	n/a	n/a	825.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>MEE</b>	<b>S</b>	<b>10,000</b>	<b>38.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MIPS</b>	<b>S</b>	<b>70,000</b>	<b>4.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>MS</b>	<b>B</b>	<b>200</b>	<b>49.19</b>	<b>20-Dec</b>	<b>22-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>MZZ</b>	<b>B</b>	<b>2,000</b>	<b>60.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	M	B	25,000	27.32	4-Jan	29-Jan	1,250.00	5.0
SAGE ASSET MGMT	012	NCC	B	100,000	17.77	n/a	n/a	5,000.00	5.0
WHITE PINE CAPITAL	030	NWY	B	1,700	5.46	n/a	18-Jan	85.00	5.0
ELM RIDGE ADVISORS	044	PKG	B	25,281	24.29	23-Jan	23-Jan	1,011.24	4.0
CAPITAL GROWTH MGMT.	241	POT	S	30,000	143.03	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PSUN	B	5,000	11.37	n/a	11-Jan	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>S</b>	<b>10,000</b>	<b>42.72</b>	<b>16-Jan</b>	<b>29-Jan</b>	<b>400.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>S</b>	<b>38,000</b>	<b>42.27</b>	<b>16-Jan</b>	<b>29-Jan</b>	<b>non-brg</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	RAI	S	25,000	63.42	n/a	n/a	1,250.00	5.0
ENTRUST CAPITAL	040	RHI	SS	40,000	27.08	n/a	n/a	2,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>S</b>	<b>60,000</b>	<b>61.50</b>	<b>14-Jan</b>	<b>1-Feb</b>	<b>2,400.00</b>	<b>4.0</b>
COUGAR TRADING	044	RL	SS	10,000	60.95	14-Jan	1-Feb	500.00	5.0
THE BOSTON COMPANY	401	RL	S	133,120	60.13	14-Jan	1-Feb	5,324.80	4.0
THE BOSTON COMPANY	401	ROST	S	21,660	29.07	n/a	n/a	866.40	4.0
ELM RIDGE ADVISORS	044	RRD	B	26,462	34.85	n/a	n/a	1,058.48	4.0
<b>ZOLIDIS</b>	<b>011</b>	<b>RSTO</b>	<b>S</b>	<b>6,500</b>	<b>4.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	220	RUS	S	10,000	14.29	n/a	n/a	400.00	4.0
<b>BUCK CAPITAL MGMT</b>		<b>RYL</b>	<b>S</b>	<b>93,600</b>	<b>32.48</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>		<b>SIG LN</b>	<b>SS</b>	<b>50,000</b>	<b>1.40</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.2</b>
<b>GAULT</b>	<b>063</b>	<b>SKF</b>	<b>B</b>	<b>500</b>	<b>93.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>SKF</b>	<b>S</b>	<b>500</b>	<b>93.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAXON WOODS ADVISORS	038	SLM	B	10,000	22.98	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	SMBL	B	1,500	9.50	n/a	n/a	75.00	5.0
FIRST NY SEC/CHRIS	041	SSCC	SS	15,000	9.49	8-Jan	30-Jan	900.00	6.0
CARDINAL CAPITAL	044	SSI	B	19,400	12.30	4-Jan	11-Jan	970.00	5.0
CATAPULT	040	TCB	B	10,000	21.45	n/a	n/a	500.00	5.0
<b>GAULT</b>	<b>063</b>	<b>TIN</b>	<b>S</b>	<b>1,000</b>	<b>19.52</b>	<b>8-Jan</b>	<b>23-Jan</b>	<b>0.00</b>	<b>0.0</b>
IRIDIAN ASSET MGMT.	036	TK	B	63,370	46.41	n/a	n/a	3,168.50	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TOL</b>	<b>S</b>	<b>98,900</b>	<b>22.78</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TRLG</b>	<b>S</b>	<b>10,000</b>	<b>19.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>TWM</b>	<b>B</b>	<b>2,000</b>	<b>78.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>UA</b>	<b>S</b>	<b>10,000</b>	<b>42.99</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>20,000</b>	<b>29.42</b>	<b>3-Jan</b>	<b>29-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>VCGH</b>	<b>S</b>	<b>10,000</b>	<b>12.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>VIRL</b>	<b>S</b>	<b>10,000</b>	<b>6.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>CATTANI</b>	<b>041</b>	<b>WBC</b>	<b>S</b>	<b>400</b>	<b>42.42</b>	<b>n/a</b>	<b>12-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>XRT</b>	<b>S</b>	<b>7,500</b>	<b>34.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATTANI	041	YHOO	S	150	28.59	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	B	10,000	9.52	23-Jan	23-Jan	500.00	5.0
<b>TOTAL (Trade Date):</b>							<b>89,650.44</b>		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	01/31	MATTHES CAPITAL MGMT	033	FDO	SS	50,000	20.32	8-Jan	11-Jan	2000.00 4.0
AO,CRT	01/31	MATTHES CAPITAL MGMT	033	FDO	SS	50,000	20.32	8-Jan	11-Jan	2000.00 4.0
AO,CXL	01/31	LISTED ERR	099	JCP	B	1,100	47.39	4-Jan	1-Feb	0.00 0.0
AO,CXL	01/31	SANDLER CAPITAL	038	JPM	B	3,400	47.37	17-Jan	22-Jan	204.00 6.0
AO,CRT	01/31	SANDLER O'NEILL ASSET	031	JPM	B	3,400	47.37	17-Jan	22-Jan	170.00 5.0
AO,	01/31	GENERAL ELECTIC CO	033	UTX	S	54,703	72.83	n/a	n/a	2188.12 4.0
AO,CXL	01/31	CASTLEROCK	040	WB	B	40,600	36.26	n/a	n/a	2436.00 6.0
AO,CRT	01/31	CASTLEROCK	040	WB	B	40,600	36.26	n/a	n/a	1624.00 4.0

**TOTAL (AO, CXL, CRT) :****1,342.12**

TOTAL (Trade Date):

89,650.44

TOTAL (AO, CXL, CRT):

1,342.12

**TOTAL (RUN DATE) / NFS:****90,992.56**

MRI Securities with Trade Activity on 1-Feb.  
NONE

MRI Securities without Trade Activity on 1-Feb.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 20%  
YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION