



# Daily Compliance Activity Report

Trade Date: 05/25/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		\$\$EURUSD	C	22,299	1.41	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		\$\$EURUSD	C	22,400	1.41	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		\$\$GBPUSD	C	2,235	1.63	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		\$\$GBPUSD	C	2,246	1.63	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		AAPL	B	800	335.22	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		AAPL	S	400	335.22	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		AAPL	S	400	335.22	n/a	n/a	non-brg	1.0
COLUMBIA PARTNERS	028	ACTG	S	1,410	37.84	n/a	n/a	56.40	4.0
COLUMBIA PARTNERS	028	ADS	S	450	89.42	n/a	n/a	18.00	4.0
BUCK CAPITAL MGMT		AEO	C	600	13.02	n/a	10-May	non-brg	1.0
BUCK CAPITAL MGMT		AEO	C	600	13.02	n/a	10-May	non-brg	1.0
BUCK CAPITAL MGMT		AEO	SS	1,200	13.02	n/a	10-May	non-brg	1.0
COLUMBIA PARTNERS	028	ALGN	S	618	22.86	n/a	n/a	24.72	4.0
ARMATURE CAPITAL	041	ALV	SS	10,000	73.92	n/a	21-Apr	400.00	4.0
BUCK CAPITAL MGMT		ANF	B	10,000	74.16	n/a	19-May	non-brg	4.0
BUCK CAPITAL MGMT		ANF	B	3,600	73.88	n/a	19-May	non-brg	1.0
BUCK CAPITAL MGMT		ANF	S	1,800	73.88	n/a	19-May	non-brg	1.0
BUCK CAPITAL MGMT		ANF	S	1,800	73.88	n/a	19-May	non-brg	1.0
BUCK CAPITAL MGMT		ANN	B	5,208	28.03	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		ANN	B	50,000	28.10	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		ANN	S	2,554	28.03	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ANN	S	2,654	28.03	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ARCO	B	5,372	21.90	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ARCO	S	2,683	21.90	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ARCO	S	2,689	21.90	n/a	n/a	non-brg	1.0
f	JONATHAN RICHARD HAR	FID	ARCO	B	100	21.97	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		ARO	C	800	18.23	n/a	20-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		ARO	C	800	18.23	n/a	20-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		ARO	SS	1,600	18.23	n/a	20-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		ASNA	B	2,422	30.67	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ASNA	S	1,176	30.67	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ASNA	S	1,246	30.67	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		BBBY	B	1,200	53.86	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		BBBY	S	600	53.86	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		BBBY	S	600	53.86	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		BBY	B	6,000	31.27	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		BBY	S	3,000	31.27	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		BBY	S	3,000	31.27	n/a	n/a	non-brg	1.0
	COBALT	041	BEAV	B	50,000	36.37	n/a	5-May	2,000.00	4.0
f	JOSEPH CIRO AMATURO	FID	BGZ	B	1000	35.28	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	BGZ	B	2000	34.98	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		BID	B	3,800	40.66	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BID	S	1,900	40.66	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BID	S	1,900	40.66	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BIG	B	1,000	32.75	n/a	19-May	non-brg	1.0
ß BUCK CAPITAL MGMT		BIG	S	50,000	32.36	n/a	19-May	non-brg	4.0
ß BUCK CAPITAL MGMT		BIG	S	500	32.75	n/a	19-May	non-brg	1.0
ß BUCK CAPITAL MGMT		BIG	S	500	32.75	n/a	19-May	non-brg	1.0
ß BUCK CAPITAL MGMT		BODY	B	4,400	25.10	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BODY	S	2,200	25.10	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BODY	S	2,200	25.10	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	B	6,954	10.08	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	S	3,454	10.08	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	S	3,500	10.08	n/a	n/a	non-brg	1.0
f CHRISTOPHER ROBERT C	FID	BUFHX	S	519	11.57	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CACH	B	800	5.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CACH	S	400	5.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CACH	S	400	5.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CBK	C	800	5.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CBK	C	800	5.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CBK	SS	1,600	5.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CHS	B	1,600	14.30	n/a	5-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		CHS	S	800	14.30	n/a	5-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		CHS	S	800	14.30	n/a	5-Feb	non-brg	1.0
COLUMBIA PARTNERS	028	CMP	S	565	90.90	n/a	n/a	22.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEWLAND CAPITAL MGT	025	CNW	S	20,000	38.25	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		COH	B	2,831	59.53	n/a	27-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		COH	B	50,000	59.70	n/a	27-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		COH	S	1,415	59.53	n/a	27-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		COH	S	1,416	59.53	n/a	27-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		COLM	C	100	63.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		COLM	C	100	63.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		COLM	SS	200	63.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CPKI	S	61,771	18.37	n/a	25-May	non-brg	4.0
ß BUCK CAPITAL MGMT		CRI	C	500	31.77	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CRI	C	500	31.77	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CRI	SS	1,000	31.77	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CROX	B	4,000	21.59	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CROX	S	2,000	21.59	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CROX	S	2,000	21.59	n/a	n/a	non-brg	1.0
f JOSEPH CIRO AMATURO	FID	CSCO	B	2000	16.13	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CSTR	B	4,050	53.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CSTR	S	2,000	53.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CSTR	S	2,050	53.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CVS	B	1,600	38.19	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CVS	S	800	38.19	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CVS	S	800	38.19	n/a	n/a	non-brg	1.0
MERRILL ASSET/BLACKROCK	297	DCOM	S	30,600	13.43	n/a	n/a	1,224.00	4.0
BUCK CAPITAL MGMT	001	DDS	B	100,000	53.76	n/a	n/a	3,000.00	3.0
ß BUCK CAPITAL MGMT		DDS	B	5,400	54.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DDS	S	2,700	54.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DDS	S	2,700	54.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DECK	B	600	89.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DECK	S	300	89.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DECK	S	300	89.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DG	C	800	32.78	n/a	29-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		DG	C	800	32.78	n/a	29-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		DG	SS	1,600	32.78	n/a	29-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		DLTR	B	400	61.81	n/a	20-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DLTR	S	200	61.81	n/a	20-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DLTR	S	200	61.81	n/a	20-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DPZ	B	2,000	24.47	n/a	6-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DPZ	S	1,000	24.47	n/a	6-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DPZ	S	1,000	24.47	n/a	6-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DSW	B	3,600	49.36	n/a	11-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		DSW	S	1,800	49.36	n/a	11-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		DSW	S	1,800	49.36	n/a	11-Feb	non-brg	1.0
COLUMBIA PARTNERS	028	EPR	S	1,085	47.14	n/a	n/a	43.40	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		ETH	C	400	22.60	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		ETH	C	400	22.60	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		ETH	SS	800	22.60	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		EXPR	B	1,400	20.67	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		EXPR	S	700	20.67	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		EXPR	S	700	20.67	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FINL	B	10,900	21.40	n/a	10-May	non-brg 1.0
ß BUCK CAPITAL MGMT		FINL	S	5,400	21.40	n/a	10-May	non-brg 1.0
ß BUCK CAPITAL MGMT		FINL	S	5,500	21.40	n/a	10-May	non-brg 1.0
ROYCE TRADING	012	FINL	B	27,000	21.46	n/a	10-May	1,350.00 5.0
ß BUCK CAPITAL MGMT		FL	B	9,000	24.54	n/a	19-May	non-brg 1.0
ß BUCK CAPITAL MGMT		FL	S	4,500	24.54	n/a	19-May	non-brg 1.0
ß BUCK CAPITAL MGMT		FL	S	4,500	24.54	n/a	19-May	non-brg 1.0
PIIONEER PATH	028	FNF	B	28,939	15.58	n/a	n/a	868.17 3.0
ß BUCK CAPITAL MGMT		FOSL	B	3,000	100.66	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FOSL	S	1,500	100.66	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FOSL	S	1,500	100.66	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FRED	C	200	13.66	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FRED	C	200	13.66	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FRED	SS	400	13.66	n/a	n/a	non-brg 1.0
CATAPULT	040	FUL	B	5,000	21.35	n/a	n/a	200.00 4.0
ß BUCK CAPITAL MGMT		GCO	B	107,200	40.63	n/a	n/a	non-brg 2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		GCO	B	20,000	40.96	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GCO	B	4,000	40.68	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GCO	B	50,000	41.17	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		GCO	B	82,800	40.80	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		GCO	S	2,000	40.68	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GCO	S	2,000	40.68	n/a	n/a	non-brg 1.0
COLUMBIA PARTNERS	028	GDI	S	603	79.59	n/a	n/a	24.12 4.0
ß BUCK CAPITAL MGMT		GES	B	10,000	38.30	n/a	25-Apr	non-brg 4.0
ß BUCK CAPITAL MGMT		GES	B	3,400	39.02	n/a	25-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		GES	S	1,700	39.02	n/a	25-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		GES	S	1,700	39.02	n/a	25-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		GES	S	50,000	45.04	n/a	25-Apr	non-brg 1.0
BALLAST CAP	040	GG	B	6,100	49.89	n/a	n/a	305.00 5.0
ß BUCK CAPITAL MGMT		GIII	B	9,636	40.65	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GIII	S	4,767	40.65	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GIII	S	4,869	40.65	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GIL	B	8,200	35.61	n/a	12-May	non-brg 1.0
ß BUCK CAPITAL MGMT		GIL	S	4,100	35.61	n/a	12-May	non-brg 1.0
ß BUCK CAPITAL MGMT		GIL	S	4,100	35.61	n/a	12-May	non-brg 1.0
COOPER CREEK PART	028	GIS	B	40,000	39.17	n/a	n/a	1,600.00 4.0
ß BUCK CAPITAL MGMT		GMCR	B	1,800	79.84	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GMCR	S	900	79.84	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GMCR	S	900	79.84	n/a	n/a	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GM	C	1,300	31.07	n/a	25-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GM	C	1,400	31.07	n/a	25-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GM	SS	2,700	31.07	n/a	25-May	non-brg	1.0
CIRCLE T/SES PART	033	GM	SS	10,000	31.03	n/a	25-May	400.00	4.0
LANE GATE PARTNERS LLC	012	GM	SS	5,000	31.23	n/a	25-May	150.00	3.0
⌘ BUCK CAPITAL MGMT		GNC	B	3,313	19.51	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GNC	S	1,653	19.51	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GNC	S	1,660	19.51	n/a	n/a	non-brg	1.0
ENTRUST CAPITAL	040	GPC	SS	35,600	53.37	n/a	n/a	1,780.00	5.0
⌘ BUCK CAPITAL MGMT		GPS	C	400	18.87	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GPS	C	400	18.87	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GPS	SS	800	18.87	n/a	n/a	non-brg	1.0
f DAVID GEORGE BUCK	FID	GRT	S	3200	9.63	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		HBI	B	10,000	29.56	n/a	21-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HBI	B	9,300	29.60	n/a	21-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HBI	S	4,600	29.60	n/a	21-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HBI	S	4,700	29.60	n/a	21-Apr	non-brg	1.0
KLEINHEINZ CAP PART	031	HBI	S	50,000	29.58	n/a	21-Apr	2,500.00	5.0
SAC CHICAGO ANDREW	031	HD	SS	200,000	36.56	n/a	18-May	7,000.00	3.5
⌘ BUCK CAPITAL MGMT		HTZ	B	3,194	15.68	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HTZ	S	1,593	15.68	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HTZ	S	1,601	15.68	n/a	n/a	non-brg	1.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBIA PARTNERS	028	HT	S	7,127	5.77	n/a	n/a	213.81	3.0
⌘ BUCK CAPITAL MGMT		IBOC	C	300	16.09	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IBOC	C	300	16.09	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IBOC	SS	600	16.09	n/a	n/a	non-brg	1.0
HARVEST MGMT	029	IP	B	5,000	30.39	n/a	28-Apr	200.00	4.0
MA CAPITAL MGMT/MELLON ADV041		IP	B	4,000	30.47	n/a	28-Apr	200.00	5.0
ACK ASSET	028	IWM	B	50,000	82.06	n/a	n/a	1,500.00	3.0
⌘ BUCK CAPITAL MGMT		IWM	C	800	81.81	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IWM	C	800	81.81	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		IWM	SS	1,600	81.81	n/a	n/a	non-brg	1.0
LISTED ERR	099	IWN	B	50,000	72.84	n/a	n/a	0.00	0.0
LISTED ERR	099	IWN	S	50,000	72.79	n/a	n/a	0.00	0.0
SAC TRADING TOM LEDDY	035	JCP	B	4,226	35.55	n/a	17-May	147.91	3.5
⌘ BUCK CAPITAL MGMT		JOSB	B	750	54.48	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JOSB	S	350	54.48	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JOSB	S	400	54.48	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JWN	B	4,000	45.06	n/a	17-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JWN	S	2,000	45.06	n/a	17-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JWN	S	2,000	45.06	n/a	17-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		KMX	C	200	28.66	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		KMX	C	200	28.66	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		KMX	SS	400	28.66	n/a	n/a	non-brg 1.0
	COLUMBUS CIRCLE	036	KNXA	B	5,000	31.58	n/a	n/a	200.00 4.0
ß	BUCK CAPITAL MGMT		KOS	B	12,500	18.70	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		KOS	B	900	18.57	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		KR	C	700	24.28	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		KR	C	700	24.28	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		KR	SS	1,400	24.28	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		KSS	B	3,100	54.05	n/a	13-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		KSS	S	1,500	54.05	n/a	13-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		KSS	S	1,600	54.05	n/a	13-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		LEA	B	10,000	48.50	n/a	2-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		LEA	B	844	48.57	n/a	2-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		LEA	S	421	48.57	n/a	2-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		LEA	S	423	48.57	n/a	2-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		LIZ	B	6,200	6.29	n/a	7-Oct	non-brg 1.0
ß	BUCK CAPITAL MGMT		LIZ	S	3,100	6.29	n/a	7-Oct	non-brg 1.0
ß	BUCK CAPITAL MGMT		LIZ	S	3,100	6.29	n/a	7-Oct	non-brg 1.0
	SURVEYOR CAPITAL	041	LLY	SS	50,000	38.22	n/a	n/a	1,500.00 3.0
	BALYASNY ASSET MGMT	044	LOW	B	25,000	24.13	n/a	16-May	1,250.00 5.0
ß	BUCK CAPITAL MGMT		LTD	B	8,200	38.50	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		LTD	S	4,100	38.50	n/a	n/a	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		LTD	S	4,100	38.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LVS	B	3,100	40.47	n/a	10-May	non-brg	1.0
ß BUCK CAPITAL MGMT		LVS	S	1,500	40.47	n/a	10-May	non-brg	1.0
ß BUCK CAPITAL MGMT		LVS	S	1,600	40.47	n/a	10-May	non-brg	1.0
f DAVID GEORGE BUCK	FID	MCD	B	1500	82.89	n/a	9-May	0.00	0.0
RWC PARTNERS LTD	085	MCD	B	66,918	82.88	n/a	9-May	2,007.54	3.0
NEWLAND CAPITAL MGT	025	MDR	S	25,000	20.80	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		MGM	B	1,600	15.15	n/a	10-May	non-brg	1.0
ß BUCK CAPITAL MGMT		MGM	S	800	15.15	n/a	10-May	non-brg	1.0
ß BUCK CAPITAL MGMT		MGM	S	800	15.15	n/a	10-May	non-brg	1.0
f DAVID GEORGE BUCK	FID	MGM	B	2000	15.16	n/a	10-May	0.00	0.0
GREEN ARROW DOUG	040	MGM	S	25,000	15.29	n/a	10-May	1,250.00	5.0
ß BUCK CAPITAL MGMT		MNRO	B	4,400	31.63	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MNRO	S	2,200	31.63	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MNRO	S	2,200	31.63	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MSMMRTL	SS	480,000	30.89	n/a	n/a	non-brg	3.1
NEWLAND CAPITAL MGT	025	MSM	S	15,000	68.61	n/a	n/a	600.00	4.0
f MARK DEVEREAUX KAICH	FID	MSO	B	1500	4.95	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	MS	S	3000	23.56	n/a	17-May	0.00	0.0
ß BUCK CAPITAL MGMT		M	B	12,300	28.17	n/a	12-May	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		M	S	6,100	28.17	n/a	12-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		M	S	6,200	28.17	n/a	12-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		NWY	B	4,500	5.11	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		NWY	S	2,200	5.11	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		NWY	S	2,300	5.11	n/a	n/a	non-brg	1.0
PIONEER PATH	028	NYB	SS	27,881	16.11	n/a	2-May	836.43	3.0
⌘ BUCK CAPITAL MGMT		PERY	B	3,265	29.66	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PERY	S	1,600	29.66	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PERY	S	1,665	29.66	n/a	n/a	non-brg	1.0
BALLAST CAP	040	PFCB	B	20,000	39.80	n/a	29-Apr	1,000.00	5.0
f KATHERINE L O'GORMAN	FID	PG	S	600	66.34	n/a	13-May	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	PG	S	400	66.33	n/a	13-May	0.00	0.0
NEWLAND CAPITAL MGT	025	PH	S	18,461	87.66	n/a	28-Apr	738.44	4.0
⌘ BUCK CAPITAL MGMT		PLCE	B	3,000	50.11	n/a	20-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PLCE	S	1,500	50.11	n/a	20-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PLCE	S	1,500	50.11	n/a	20-May	non-brg	1.0
ROYCE TRADING	012	PLCE	B	36,500	50.09	n/a	20-May	1,825.00	5.0
⌘ BUCK CAPITAL MGMT		PNK	B	20,000	14.57	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	B	36,000	62.69	n/a	25-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	B	5,350	63.35	n/a	25-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PVH	S	2,650	63.35	n/a	25-Apr	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PVH	S	2,700	63.35	n/a	25-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		PZZA	C	200	34.07	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PZZA	C	200	34.07	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PZZA	SS	400	34.07	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RGC	B	2,800	14.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RGC	S	1,400	14.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RGC	S	1,400	14.00	n/a	n/a	non-brg	1.0
f DAVID GEORGE BUCK	FID	RIMM	B	1000	43.24	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RL	S	24,000	120.51	n/a	25-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		RTH	C	2,200	109.56	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RTH	C	2,200	109.56	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RTH	SS	4,400	109.56	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SAH	B	2,600	12.67	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SAH	S	1,300	12.67	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SAH	S	1,300	12.67	n/a	n/a	non-brg	1.0
COLUMBIA PARTNERS	028	SBAC	S	1,238	39.04	n/a	n/a	49.52	4.0
ß BUCK CAPITAL MGMT		SCS	B	3,400	10.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SCS	S	1,700	10.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SCS	S	1,700	10.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SFLY	B	2,200	54.92	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SFLY	S	1,100	54.92	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SFLY	S	1,100	54.92	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHLD	C	400	69.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHLD	C	400	69.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHLD	SS	800	69.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHOO	B	1,200	53.53	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHOO	S	600	53.53	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHOO	S	600	53.53	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SIG	B	4,900	42.68	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SIG	S	2,400	42.68	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SIG	S	2,500	42.68	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKS	C	300	10.81	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKS	C	400	10.81	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKS	SS	700	10.81	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SODA	B	4,246	59.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SODA	S	2,123	59.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SODA	S	2,123	59.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SODA	S	20,000	59.30	n/a	n/a	non-brg	4.0
MFP INVESTORS	085	SSCC	S	15,000	39.98	n/a	14-Apr	750.00	5.0
ß BUCK CAPITAL MGMT		SSI	C	100	16.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SSI	C	100	16.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SSI	SS	200	16.45	n/a	n/a	non-brg	1.0
f MARK DEVEREAUX KAICH	FID	STVI	S	3500	2.12	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SUMR	B	10,175	8.43	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SUMR	S	5,075	8.43	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SUMR	S	5,100	8.43	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SVU	C	600	10.07	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SVU	C	600	10.07	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SVU	SS	1,200	10.07	n/a	n/a	non-brg	1.0
NEWLAND CAPITAL MGT	025	SWFT	S	8,605	13.60	n/a	n/a	344.20	4.0
ROSEDALE CAPITAL	046	SWN	B	10,000	43.51	n/a	29-Apr	500.00	5.0
ß BUCK CAPITAL MGMT		SWY	C	1,700	24.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SWY	C	1,700	24.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SWY	SS	3,400	24.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TEX	B	10,000	27.33	n/a	25-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		TFM	B	537	40.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TFM	S	268	40.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TFM	S	269	40.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TIF	B	30,000	68.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TIF	B	400	68.69	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TIF	S	200	68.69	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TIF	S	200	68.69	n/a	n/a	non-brg	1.0
TALPION LLC	040	TIF	S	5,000	68.80	n/a	n/a	200.00	4.0
ß BUCK CAPITAL MGMT		TJX	B	2,200	52.91	n/a	18-May	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TJX	S	1,100	52.91	n/a	18-May	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	S	1,100	52.91	n/a	18-May	non-brg	1.0
NEWLAND CAPITAL MGT	025	TOL	B	25,000	20.73	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		TPX	B	400	63.48	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TPX	S	200	63.48	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TPX	S	200	63.48	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TSCO	B	800	59.59	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TSCO	S	400	59.59	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TSCO	S	400	59.59	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TWMC	C	100	2.04	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TWMC	C	100	2.04	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TWMC	SS	200	2.04	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	UFS	SS	5,000	99.68	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		ULTA	B	400	52.74	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ULTA	S	200	52.74	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ULTA	S	200	52.74	n/a	n/a	non-brg	1.0
f DAVID GEORGE BUCK	FID	VOLC	S	2000	29.98	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	V	B	75,000	78.89	n/a	11-May	3,000.00	4.0
ß BUCK CAPITAL MGMT		WRC	B	2,300	53.40	n/a	6-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	S	1,150	53.40	n/a	6-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	S	1,150	53.40	n/a	6-May	non-brg	1.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MATRIX ASSET ADV.	091	WU	S	5,000	20.30	n/a	n/a	200.00	4.0
RAINIER INV MGMT	035	WXS	B	42,180	51.59	n/a	n/a	1,687.20	4.0
BNP PARIBAS SEC	040	WY	B	20,000	21.81	n/a	19-May	1,000.00	5.0
ß BUCK CAPITAL MGMT		XRT	C	19,300	52.03	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	C	45,200	52.05	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRT	C	45,300	52.05	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRT	SS	90,500	52.05	n/a	n/a	non-brg	1.0
TALPION LLC	040	YUM	S	5,100	55.71	n/a	25-Apr	204.00	4.0
<b>TOTAL (Trade Date):</b>								<b>47,420.46</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/24	YORK CAPITAL	036	TEVA	B	50,000	49.39	n/a	23-May	1500.00 3.0
AO,CXL	05/24	YORK CAPITAL	036	TEVA	B	50,000	49.39	n/a	23-May	1500.00 3.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
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TOTAL (Trade Date) :	47,420.46
TOTAL (AO, CXL, CRT) :	0.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>47,420.46</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 25-May.  
NONE

MRI Securities without Trade Activity on 25-May.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 6%  
YTD = 4%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)