



RR Commissions Summary [Brian Colburn]

Trade Date: 08/27/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
APEX CAPITAL MGMT.	033	0	9,000	15,630	39,874	0	0	0	9,000	15,630	39,874	0	0
ARCHON CAPITAL	033	0	5,145	5,145	5,145	0	0	1	5,145	5,145	5,146	0	0
ASCEND PARTNERS	033	0	8,298	8,298	55,052	0	0	0	8,298	8,298	55,052	73,854	75
BASIX	033	0	0	0	0	0	0	0	0	0	0	24,201	0
CHARTER OAK	033	0	5,800	6,400	13,911	0	0	0	5,800	6,400	13,911	21,191	66
CLOVIS CAPITAL	033	0	0	1,500	2,500	0	0	0	0	1,500	2,500	17,250	14
COLUMBIA MGMT	033	0	0	0	0	0	0	27,529	0	0	27,529	0	0
CONIFER/FIRESTORM/BC	033	0	0	0	820	0	0	0	0	0	820	480	171
DAVIDSON INV ADV	033	0	0	0	21,274	0	0	0	0	0	21,274	0	0
FORWARD MGMT	033	0	0	600	2,700	0	0	0	0	600	2,700	5,467	49
FRIESS MGMT	033	0	6,000	18,603	18,603	0	0	0	6,000	18,603	18,603	16,612	112
GENERAL ELECTIC CO	033	0	0	0	0	3,603	3,603	9,728	3,603	3,603	9,728	14,339	68
GSA PROPHET/BRIAN COLBURN	033	0	0	0	0	0	0	0	0	0	0	46,661	0
HOLLIS CAPITAL	033	250	2,300	2,950	11,325	0	0	0	2,300	2,950	11,325	9,175	123
INTERVAL PARTNERS	033	0	4,408	6,658	9,178	0	0	0	4,408	6,658	9,178	4,665	197
LATEEF MGMT	033	0	2,431	4,392	18,522	0	0	0	2,431	4,392	18,522	0	0
MORTAR ROCK	033	0	0	0	0	0	0	0	0	0	0	4,500	0
MUTUAL SHARES/FRANKLYN	033	0	0	0	0	0	0	17,189	0	0	17,189	6,042	284
PARAGON INVESTMENT	033	0	0	0	0	0	0	12,001	0	0	12,001	0	0
PHILADELPHIA FINANCIAL	033	0	0	6,000	46,312	0	0	0	0	6,000	46,312	80,341	58
RAINIER INV MGMT	033	0	4,144	5,544	38,600	0	0	0	4,144	5,544	38,600	0	0
ROSENBERG CAPITAL MGMT	033	0	0	0	0	0	3,387	12,157	0	3,387	12,157	17,848	68
ROXBURY CAPITAL (HOOD RIVER CAP)	033	0	3,889	3,889	8,623	0	0	0	3,889	3,889	8,623	0	0
SASCO	033	0	7,950	12,450	20,110	0	0	0	7,950	12,450	20,110	23,090	87
SMEAD CAPITAL	033	0	0	0	0	0	2,000	4,000	0	2,000	4,000	0	0
SYMPHONY ASSET	033	0	2,480	2,480	21,108	0	0	0	2,480	2,480	21,108	23,863	88
T. ROWE PRICE	033	0	0	0	0	0	0	0	0	0	0	122,167	0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE	033	0	3,349	8,224	38,260	0	0	0	3,349	8,224	38,260	0	0
TYEE/TYGH	033	0	0	0	0	0	0	1	0	0	1	0	0
WAGONHOUND/NICOLAS	033	0	1,982	1,982	31,432	0	0	0	1,982	1,982	31,432	7,827	402
TOTALS:		250	67,176	110,745	403,349	3,603	8,990	82,606	70,779	119,736	485,955		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAPITAL RESEARCH GROUP	84	0	5,131	5,606	123,943	0	0	0	5,131	5,606	123,943	255,833	0
JMP ASSET MGMT	210	0	0	0	0	0	0	0	0	0	0	0	0
T. ROWE PRICE	243	0	20,846	24,406	101,052	0	0	0	20,846	24,406	101,052	122,167	0
WEXFORD CAPITAL	284	0	0	0	23,109	0	0	0	0	0	23,109	2,962	0
TOTALS:		0	25,976	30,011	248,105	0	0	0	25,976	30,011	248,105		

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