



## RR Commissions Summary [ Sasha Murray ]

Trade Date: 09/24/2013

| "Brokerage Month Basis"  |     | COMMISSIONS |              |               |                | CHECKS       |                |                | TOTAL         |                |                | LAST YEAR | %     |
|--------------------------|-----|-------------|--------------|---------------|----------------|--------------|----------------|----------------|---------------|----------------|----------------|-----------|-------|
| CLIENT (PRIMARY)         | RR  | 09/24       | MTD          | QTD           | YTD            | MTD          | QTD            | YTD            | MTD           | QTD            | YTD            |           | of LY |
| ALLIANCE BERNSTEIN       | 070 | 0           | 0            | 0             | 0              | 0            | 0              | 43,160         | 0             | 0              | 43,160         | 207,158   | 21    |
| AVENUE CAPITAL MGMT      | 070 | 0           | 0            | 0             | 7,500          | 0            | 0              | 0              | 0             | 0              | 7,500          | 9,681     | 77    |
| BROADARCH CAP/OLD NEWC   | 070 | 0           | 0            | 0             | 3,220          | 0            | 0              | 0              | 0             | 0              | 3,220          | 18,723    | 17    |
| CRAMER ROSENTHAL         | 070 | 0           | 3,219        | 13,585        | 53,876         | 0            | 0              | 0              | 3,219         | 13,585         | 53,876         | 172,339   | 31    |
| CREF                     | 070 | 0           | 0            | 0             | 0              | 0            | 60,500         | 178,500        | 0             | 60,500         | 178,500        | 311,000   | 57    |
| DALTON GREINER           | 070 | 0           | 0            | 0             | 695            | 0            | 0              | 0              | 0             | 0              | 695            | 16,703    | 4     |
| DEUTSCHE ASSET MGMT.     | 070 | 0           | 0            | 0             | 0              | 4,000        | 4,000          | 13,730         | 4,000         | 4,000          | 13,730         | 9,000     | 153   |
| FRED ALGER MGMT          | 070 | 0           | 0            | 28,857        | 71,848         | 0            | 0              | 0              | 0             | 28,857         | 71,848         | 0         | 0     |
| JENNISON                 | 070 | 0           | 0            | 0             | 0              | 0            | 13,500         | 50,250         | 0             | 13,500         | 50,250         | 99,513    | 50    |
| MORGAN BANK /JPM         | 070 | 0           | 0            | 0             | 0              | 0            | 35,000         | 99,081         | 0             | 35,000         | 99,081         | 95,730    | 104   |
| ROYAL CAPITAL MGMT       | 070 | 0           | 0            | 0             | 4,000          | 0            | 0              | 0              | 0             | 0              | 4,000          | 8,436     | 47    |
| SYSTEMATIC FINANCIAL MGT | 070 | 0           | 2,700        | 6,746         | 6,746          | 0            | 0              | 0              | 2,700         | 6,746          | 6,746          | 0         | 0     |
| TROUBH PART              | 070 | 0           | 2,500        | 4,250         | 28,000         | 0            | 0              | 0              | 2,500         | 4,250          | 28,000         | 31,325    | 89    |
| <b>TOTALS:</b>           |     | <b>0</b>    | <b>8,419</b> | <b>53,439</b> | <b>175,886</b> | <b>4,000</b> | <b>113,000</b> | <b>384,721</b> | <b>12,419</b> | <b>166,439</b> | <b>560,607</b> |           |       |

| "Brokerage Month Basis"  |     | COMMISSIONS |               |               |               | CHECKS   |          |          | TOTAL         |               |               | LAST YEAR | %     |
|--------------------------|-----|-------------|---------------|---------------|---------------|----------|----------|----------|---------------|---------------|---------------|-----------|-------|
| CLIENT (SHARED)          | RR  | 09/24       | MTD           | QTD           | YTD           | MTD      | QTD      | YTD      | MTD           | QTD           | YTD           |           | of LY |
| AELTUS/ING IVESTMENT MGT | 237 | 0           | 0             | 0             | 33,539        | 0        | 0        | 0        | 0             | 0             | 33,539        | 0         | 0     |
| FRED ALGER MGMT          | 300 | 0           | 22,611        | 22,611        | 22,611        | 0        | 0        | 0        | 22,611        | 22,611        | 22,611        | 0         | 0     |
| <b>TOTALS:</b>           |     | <b>0</b>    | <b>22,611</b> | <b>22,611</b> | <b>56,150</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>22,611</b> | <b>22,611</b> | <b>56,150</b> |           |       |

Report created by Sasha Murray on 09/25/2013 at 08:15:37am from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 8.0; Windows NT 6.1; Trident/4.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E; Tablet PC 2.0)