



## Daily Compliance Activity Report

Trade Date: 04/17/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	AB	B	600	58.68	9-Apr	16-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	AEO	B	100,000	15.94	6-Mar	11-Apr	4,000.00	4.0
CHARTER OAK	033	AFFY	S	15,563	13.33	n/a	n/a	778.15	5.0
CROWLEY	061	APNS	S	10,000	0.08	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	ARO	B	16,500	28.55	29-Nov	11-Apr	660.00	4.0
MILLENIUM PARTNERS	028	ARO	S	20,000	28.70	29-Nov	11-Apr	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	AXE	B	15,000	64.23	28-Mar	4-Apr	750.00	5.0
BALYASNY ASSET MGMT	044	BIG	B	25,000	22.46	6-Mar	11-Apr	1,250.00	5.0
SUNOVA CAPITAL	031	BK	B	25,000	43.21	n/a	14-Apr	1,250.00	5.0
TROUBH PART	067	CAT	B	10,000	78.91	n/a	n/a	500.00	5.0
TROUBH PART	067	CAT	SS	10,000	78.65	n/a	n/a	500.00	5.0
CHILTON CAPITAL MGMT.	036	CHK	SS	250,000	49.55	n/a	n/a	10,000.00	4.0
COUGAR TRADING	044	CHS	S	10,000	5.87	11-Apr	11-Apr	500.00	5.0
STRONG/MARK GRONET	030	CMOS	B	50,000	1.35	n/a	n/a	500.00	1.0
GALLEON MGMT LP	033	CMVT	B	20,000	15.89	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	COH	S	5,000	31.50	4-Apr	17-Apr	250.00	5.0
RHO CAPITAL MGMT	041	CPST	S	158,000	2.93	n/a	n/a	4,740.00	3.0
FIRST NY SEC/CHRIS	041	CROX	B	20,000	10.27	n/a	n/a	1,200.00	6.0
BENCHMARK	028	CYN	B	10,000	47.80	n/a	n/a	500.00	5.0
CATAPULT	040	C	S	10,000	23.97	16-Jan	14-Apr	500.00	5.0
CHILTON CAPITAL MGMT.	036	C	C	500,000	24.09	16-Jan	14-Apr	20,000.00	4.0
EMP ERROR	099	C	B	500	24.36	16-Jan	14-Apr	0.00	0.0
EMP ERROR	099	C	S	500	24.26	16-Jan	14-Apr	0.00	0.0
PERRY PARTNERS	041	DBD	S	50,000	38.38	27-Mar	28-Mar	1,500.00	3.0
COUGAR TRADING	044	DSW	B	10,000	12.82	28-Mar	28-Mar	500.00	5.0
GAULT	063	EBHI	S	2,000	3.55	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	ENR	SS	51,700	88.73	n/a	14-Apr	2,068.00	4.0
COLUMBIA PARTNERS	028	ESE	B	23,704	43.93	n/a	n/a	948.16	4.0
PATTEN & PATTEN	067	EWG	S	500	32.34	n/a	n/a	25.00	5.0
SANDLER O'NEILL ASSET	031	FII	B	25,000	33.22	14-Apr	16-Apr	1,250.00	5.0
PATTEN & PATTEN	067	FPL	S	100	67.11	n/a	n/a	5.00	5.0
TRELLUS PARTNERS LP	028	F	SS	50,000	7.23	18-Mar	2-Apr	1,500.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GOLD SACHS SPECIAL SIT	030	GIL	SS	25,000	34.96	22-Jan	10-Mar	1,250.00	5.0
WAGONHOUND	048	GIL	S	1,310	34.90	22-Jan	10-Mar	52.40	4.0
JPMSI/TOM LEDDY	035	HBI	S	5,000	34.22	n/a	n/a	250.00	5.0
EPOCH INV MGMT	030	HLX	B	5,900	34.68	n/a	n/a	236.00	4.0
GALLEON MGMT LP	033	HOG	C	15,900	36.23	n/a	n/a	795.00	5.0
<b>HARTIRRL</b>	<b>056</b>	<b>ICON</b>	<b>B</b>	<b>60</b>	<b>15.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>IP</b>	<b>SS</b>	<b>20,000</b>	<b>27.73</b>	<b>8-Feb</b>	<b>7-Apr</b>	<b>1,000.00</b>	<b>5.0</b>
MERRILL ASSET	032	ITG	S	37,200	49.29	n/a	n/a	1,767.00	4.8
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>JCP</b>	<b>SS</b>	<b>4,000</b>	<b>38.44</b>	<b>4-Jan</b>	<b>17-Apr</b>	<b>240.00</b>	<b>6.0</b>
LEHMAN PROPRIETARY TRAD	041	KCE	B	80,000	51.81	n/a	n/a	3,200.00	4.0
HIGHBRIDGE/MS OLDS	041	KLAC	B	15,900	42.13	n/a	n/a	477.00	3.0
PATTEN & PATTEN	067	KO	B	125	60.72	n/a	n/a	6.25	5.0
SUNOVA CAPITAL	031	LM	B	10,000	56.32	24-Mar	10-Apr	500.00	5.0
BALYASNY ASSET MGMT	044	LTD	B	25,000	17.75	n/a	11-Apr	1,250.00	5.0
COUGAR TRADING	044	LTD	S	5,000	17.79	n/a	11-Apr	250.00	5.0
DALTON GREINER	012	MLI	S	27,490	30.51	5-Feb	28-Mar	1,374.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	MLI	S	1,300	31.06	5-Feb	28-Mar	58.50	4.5
MAGNETAR FINANCIAL	031	MOLX	SS	75,000	24.96	n/a	n/a	2,250.00	3.0
PRIMECAP MGMT	048	MON	B	14,400	128.00	n/a	2-Apr	576.00	4.0
ROSEDALE CAPITAL	046	MRK	S	15,000	39.38	n/a	n/a	750.00	5.0
PATTEN & PATTEN	067	MXI	B	50	83.60	n/a	n/a	2.50	5.0
THE JAY GOLDMAN	034	M	B	15,000	22.21	7-Feb	11-Apr	600.00	4.0
ROTHSCHILD ASSET	040	NUS	B	15,400	18.78	n/a	n/a	693.00	4.5
PATTEN & PATTEN	067	NVS	S	200	46.31	n/a	n/a	10.00	5.0
COUGAR TRADING	044	NWY	B	5,000	5.61	n/a	20-Mar	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	OXM	S	20,000	26.56	n/a	n/a	750.00	3.8
GALLEON MGMT LP	033	PMTC	S	20,000	15.30	n/a	n/a	1,000.00	5.0
MAGNETAR FINANCIAL	031	RAI	SS	10,000	58.83	n/a	n/a	300.00	3.0
HIGHBRIDGE/MS OLDS	041	RRI	B	100,000	26.96	n/a	n/a	3,000.00	3.0
JCK PARTNERS	067	SKS	B	45,000	12.27	n/a	n/a	2,250.00	5.0
RHO CAPITAL MGMT	041	SLT	S	2,800	20.58	n/a	n/a	112.00	4.0
MERRILL ASSET	032	STC	B	8,900	31.19	n/a	n/a	400.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RHO CAPITAL MGMT	041	STX	B	1,000	19.50	n/a	n/a	40.00	4.0
STADIA CAPITAL	044	SVU	SS	25,000	30.57	n/a	n/a	1,000.00	4.0
MERRILL ASSET	032	TLB	B	87,300	9.01	17-Apr	17-Apr	3,928.50	4.5
SANDLER O'NEILL ASSET	031	TROW	SS	15,000	52.26	9-Jan	16-Apr	750.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>TROW</b>	<b>SS</b>	<b>300</b>	<b>53.99</b>	<b>9-Jan</b>	<b>16-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>EFSTATHIOU</b>	<b>044</b>	<b>TWTC</b>	<b>B</b>	<b>500</b>	<b>16.60</b>	<b>9-Nov</b>	<b>4-Jan</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	WBC	B	1,400	44.52	28-Mar	28-Mar	56.00	4.0
PATTEN & PATTEN	067	WBC	S	200	44.49	28-Mar	28-Mar	10.00	5.0
PATTEN & PATTEN	067	WB	S	300	25.99	n/a	n/a	15.00	5.0
EPOCH INV MGMT	030	WFR	B	3,350	72.77	n/a	n/a	134.00	4.0
EPOCH INV MGMT	030	WFT	B	2,750	78.95	n/a	n/a	110.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>WHT</b>	<b>S</b>	<b>1,000</b>	<b>3.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	WMI	B	9,250	34.90	n/a	n/a	370.00	4.0
TROUBH PART	067	WMT	SS	5,000	56.37	n/a	14-Apr	250.00	5.0
RHO CAPITAL MGMT	041	XLNX	B	1,500	23.44	n/a	n/a	60.00	4.0
EPOCH INV MGMT	030	XOM	B	5,700	92.81	n/a	n/a	228.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	ZQK	S	5,000	9.96	5-Feb	7-Mar	250.00	5.0
<b>TOTAL (Trade Date):</b>								<b>90,526.46</b>	

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	04/15	MKT MAKER (MKT MAKER)	099	BTRNQ	S	12,640	0.00	n/a	n/a	0.00	0.0
CRT	04/17	EMP ERROR (EMP ERROR)	099	C	B	500	24.36	16-Jan	14-Apr	0.00	0.0
CRT	04/17	EMP ERROR (EMP ERROR)	099	C	S	500	24.26	16-Jan	14-Apr	0.00	0.0
AO,CRT	04/16	WHEELER (WHEELER)	008	COVJI	S	40	3.50	n/a	n/a	0.00	0.0
AO,CXL	04/16	WHEELER (WHEELER)	008	COVJI	S	40	3.50	n/a	n/a	0.00	0.0
AO,	04/16	COLUMBIA PARTNERS (COLP520BNA)	028	ESE	B	4,697	43.00	n/a	n/a	187.88	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP426STA)	028	ESE	B	1,332	43.00	n/a	n/a	53.28	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP525ADL)	028	ESE	B	199	43.00	n/a	n/a	7.96	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP532EMS)	028	ESE	B	270	43.00	n/a	n/a	10.80	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP450AH)	028	ESE	B	130	43.00	n/a	n/a	5.20	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP533CAU)	028	ESE	B	216	43.00	n/a	n/a	8.64	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP516VMI)	028	ESE	B	1,768	43.00	n/a	n/a	70.72	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP420SFC)	028	ESE	B	1,305	43.00	n/a	n/a	52.20	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP338NEB)	028	ESE	B	3,362	43.00	n/a	n/a	134.48	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP521SRI)	028	ESE	B	275	43.00	n/a	n/a	11.00	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP0ERSSL)	028	ESE	B	3,458	43.00	n/a	n/a	138.32	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP522PSP)	028	ESE	B	483	43.00	n/a	n/a	19.32	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP014NEC)	028	ESE	B	189	43.00	n/a	n/a	7.56	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP289ERF)	028	ESE	B	5,152	43.00	n/a	n/a	206.08	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP051IBE)	028	ESE	B	6,527	43.00	n/a	n/a	261.08	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP457PPS)	028	ESE	B	8,426	43.00	n/a	n/a	337.04	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP498CA)	028	ESE	B	1,683	43.00	n/a	n/a	67.32	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP422GO)	028	ESE	B	706	43.00	n/a	n/a	28.24	4.0
AO,	04/16	COLUMBIA PARTNERS (COLP488TSC)	028	ESE	B	487	43.00	n/a	n/a	19.48	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/16	COLUMBIA PARTNERS (COLP423GOB)	028	ESE	B	1,958	43.00	n/a	n/a	78.32 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP463BLR)	028	ESE	B	317	43.00	n/a	n/a	12.68 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP513YBC)	028	ESE	B	1,685	43.00	n/a	n/a	67.40 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP458MHF)	028	ESE	B	650	43.00	n/a	n/a	26.00 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP515ROO)	028	ESE	B	674	43.00	n/a	n/a	26.96 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP495JC)	028	ESE	B	133	43.00	n/a	n/a	5.32 4.0
AO,	04/16	COLUMBIA PARTNERS (COLPUSBANK)	028	ESE	B	200	43.00	n/a	n/a	8.00 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP446CNH)	028	ESE	B	1,143	43.00	n/a	n/a	45.72 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP000FIR)	028	ESE	B	668	43.00	n/a	n/a	26.72 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP476IBE)	028	ESE	B	1,169	43.00	n/a	n/a	46.76 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP486MTG)	028	ESE	B	188	43.00	n/a	n/a	7.52 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP437CDC)	028	ESE	B	3,021	43.00	n/a	n/a	120.84 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP507YJK)	028	ESE	B	217	43.00	n/a	n/a	8.68 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP371EGR)	028	ESE	B	176	43.00	n/a	n/a	7.04 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP508UD)	028	ESE	B	281	43.00	n/a	n/a	11.24 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP300HAA)	028	ESE	B	81	43.00	n/a	n/a	3.24 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP511FCN)	028	ESE	B	355	43.00	n/a	n/a	14.20 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP092QN)	028	ESE	B	11,261	43.00	n/a	n/a	450.44 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP512CC)	028	ESE	B	54	43.00	n/a	n/a	2.16 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP514PIU)	028	ESE	B	3,631	43.00	n/a	n/a	145.24 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP287RCU)	028	ESE	B	599	43.00	n/a	n/a	23.96 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP518NGP)	028	ESE	B	790	43.00	n/a	n/a	31.60 4.0
AO,	04/16	COLUMBIA PARTNERS (COLP335JJG)	028	ESE	B	59	43.00	n/a	n/a	2.36 4.0
AO,	04/16	NOMURA CAPITAL (NOMUSTRATE)	045	GT	B	730	26.75	n/a	n/a	36.50 5.0
AO,	04/16	NOMURA CAPITAL (NOMUBATT2)	045	GT	B	770	26.75	n/a	n/a	38.50 5.0
AO,	04/16	NOMURA CAPITAL (NOMUBSMAN)	045	GT	B	900	26.75	n/a	n/a	45.00 5.0
AO,CXL	04/15	JCK PARTNERS (JCKPMILPML)	067	ZLC	SS	15,000	18.99	n/a	n/a	750.00 5.0
AO,CRT	04/15	JCK PARTNERS (JCKPMILPML)	067	ZLC	SS	3,895	18.99	n/a	n/a	194.75 5.0



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/15	JCK PARTNERS (JCKPGOLDMA)	067	ZLC	SS	11,105	18.99	n/a	n/a	555.25 5.0

<b>TOTAL (AO, CXL, CRT) :</b>	<b>2,919.00</b>
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TOTAL (Trade Date) :	90,526.46
TOTAL (AO, CXL, CRT) :	2,919.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>93,445.46</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION