



Daily Compliance Activity Report

Trade Date: 03/05/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRUSTCO/SUNTRUST	040	AAPL	S	5	216.91	n/a	n/a	0.20	4.0
TRUSTCO/SUNTRUST	040	ADBE	S	10	35.24	n/a	n/a	0.39	3.9
TRUSTCO/SUNTRUST	040	ADI	S	5	29.16	n/a	n/a	0.20	4.0
THE JAY GOLDMAN	028	AEO	SS	20,000	16.75	n/a	5-Mar	800.00	4.0
TRUSTCO/SUNTRUST	040	AGN	S	5	61.68	n/a	5-Mar	0.20	4.0
TRUSTCO/SUNTRUST	040	AMGN	S	8	56.64	n/a	n/a	0.31	3.9
TRUSTCO/SUNTRUST	040	AMZN	S	3	128.59	n/a	n/a	0.12	4.0
TRUSTCO/SUNTRUST	040	AOS	B	20,000	47.61	n/a	8-Feb	780.00	3.9
TRUSTCO/SUNTRUST	040	APC	S	2	71.27	n/a	3-Mar	0.08	4.0
MILLRACE FUND LP	028	ARGN	SS	9,214	11.00	n/a	11-Feb	368.56	4.0
TRUSTCO/SUNTRUST	040	ASML	S	5	33.50	n/a	n/a	0.20	4.0
TRUSTCO/SUNTRUST	040	BAC	S	18	16.50	n/a	24-Feb	0.70	3.9
TRUSTCO/SUNTRUST	040	BAX	S	7	59.13	n/a	n/a	0.27	3.9
MERRILL ASSET/BLACKROCK	214	BBY	C	1,400	38.41	n/a	n/a	56.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRUSTCO/SUNTRUST	040	BDX	S	3	78.56	n/a	n/a	0.12	4.0
KLEINHEINZ CAP PART	031	BMY	B	200,000	25.27	n/a	n/a	10,000.00	5.0
TRUSTCO/SUNTRUST	040	BRCM	S	5	30.94	n/a	n/a	0.20	4.0
EATON VANCE MGMT	039	BTU	B	50,000	49.08	n/a	n/a	1,500.00	3.0
TRUSTCO/SUNTRUST	040	BTU	S	4	49.28	n/a	n/a	0.16	4.0
TRUSTCO/SUNTRUST	040	BWA	S	4	38.05	n/a	12-Feb	0.16	4.0
TRUSTCO/SUNTRUST	040	CAM	S	7	43.92	n/a	n/a	0.27	3.9
OSS CAPITAL/KEVIN DANN	067	CCK	S	125,000	27.16	n/a	n/a	6,250.00	5.0
TRUSTCO/SUNTRUST	040	CERN	S	2	83.61	n/a	n/a	0.08	4.0
TRUSTCO/SUNTRUST	040	CHD	S	4	67.03	n/a	3-Mar	0.16	4.0
COLUMBUS CIRCLE	036	CHS	S	36,700	14.51	n/a	5-Feb	1,468.00	4.0
TRUSTCO/SUNTRUST	040	CL	S	5	83.80	n/a	3-Mar	0.20	4.0
TRUSTCO/SUNTRUST	040	COF	S	5	36.30	n/a	5-Mar	0.20	4.0
TRUSTCO/SUNTRUST	040	COH	S	7	37.39	n/a	21-Jan	0.27	3.9
TRUSTCO/SUNTRUST	040	COST	S	5	60.76	n/a	n/a	0.20	4.0
OSS CAPITAL/KEVIN DANN	067	CRI	S	25,000	29.47	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRUSTCO/SUNTRUST	040	CRM	S	3	71.95	n/a	n/a	0.12	4.0
TRUSTCO/SUNTRUST	040	CSCO	S	17	24.99	n/a	n/a	0.66	3.9
SUN AMERICA ASSET MGMT	030	DFS	B	42,000	14.21	n/a	5-Mar	1,680.00	4.0
HIGHBRIDGE CAP	041	DLTR	SS	17,590	56.78	n/a	24-Feb	703.60	4.0
TRUSTCO/SUNTRUST	040	DOV	S	5	46.64	n/a	n/a	0.20	4.0
KAILIX INV ADV	048	DOW	B	15,000	29.99	n/a	2-Feb	750.00	5.0
EGS ASSOCIATES LP	091	DPZ	B	3,500	13.89	n/a	3-Mar	210.00	6.0
TRUSTCO/SUNTRUST	040	EL	S	4	61.69	n/a	3-Mar	0.16	4.0
TRUSTCO/SUNTRUST	040	EMC	S	18	17.62	n/a	n/a	0.70	3.9
TRUSTCO/SUNTRUST	040	EMR	S	7	48.65	n/a	n/a	0.27	3.9
TRUSTCO/SUNTRUST	040	ESRX	S	3	99.02	n/a	n/a	0.12	4.0
MERRILL ASSET/BLACKROCK	214	ES	S	252,300	5.96	n/a	n/a	10,092.00	4.0
TRUSTCO/SUNTRUST	040	FLR	B	2,260	44.57	n/a	n/a	88.15	3.9
BERMAN CAPITAL MGMT	046	FL	B	15,000	14.19	n/a	4-Mar	450.00	3.0
ROSEDALE CAPITAL	046	F	S	30,000	12.96	n/a	3-Mar	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GES	B	20,000	42.33	n/a	5-Mar	800.00	4.0
TRUSTCO/SUNTRUST	040	GES	S	5	42.42	n/a	5-Mar	0.20	4.0
TRUSTCO/SUNTRUST	040	GME	S	10	17.96	n/a	n/a	0.39	3.9
TRUSTCO/SUNTRUST	040	GOOG	S	2	561.90	n/a	n/a	0.08	4.0
BUCK CAPITAL MGMT	001	GPS	S	20,000	22.20	n/a	n/a	800.00	4.0
KAILIX INV ADV	048	GR	S	25,000	69.47	n/a	29-Jan	1,250.00	5.0
TRUSTCO/SUNTRUST	040	GS	S	1	165.96	n/a	5-Mar	0.04	4.0
TRUSTCO/SUNTRUST	040	HAL	S	7	31.91	n/a	n/a	0.27	3.9
TRUSTCO/SUNTRUST	040	HANS	S	6	41.46	n/a	n/a	0.23	3.8
TRUSTCO/SUNTRUST	040	HLF	S	7,435	42.87	n/a	n/a	289.97	3.9
MADISON ST PARTNERS LLC	046	HOG	B	68,000	26.62	n/a	n/a	3,400.00	5.0
KAILIX INV ADV	048	HON	B	25,000	41.84	n/a	1-Mar	1,250.00	5.0
TRUSTCO/SUNTRUST	040	HON	S	9	41.49	n/a	1-Mar	0.35	3.9
TRUSTCO/SUNTRUST	040	IBM	S	2	127.42	n/a	n/a	0.08	4.0
RBC CAPITAL MARKETS	040	IGT	B	15,000	17.09	n/a	9-Feb	600.00	4.0
RBC CAPITAL MARKETS	040	IGT	SS	10,000	17.42	n/a	9-Feb	400.00	4.0
TRUSTCO/SUNTRUST	040	INTC	S	26	20.54	n/a	n/a	1.01	3.9

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WEINTRAUB CAPITAL MGT.	040	IP	B	200,000	25.33	n/a	3-Feb	8,000.00	4.0
TRUSTCO/SUNTRUST	040	ISRG	S	1	354.76	n/a	n/a	0.04	4.0
CATAPULT	040	ITG	SS	5,000	17.55	n/a	n/a	250.00	5.0
TRUSTCO/SUNTRUST	040	ITW	S	6	47.03	n/a	28-Jan	0.23	3.8
GREEN ARROW DOUG	040	IWM	B	20,000	66.49	n/a	n/a	1,000.00	5.0
TRUSTCO/SUNTRUST	040	JBHT	S	7	34.59	n/a	n/a	0.27	3.9
WHITE PINE CAPITAL	030	JCP	B	8,700	29.94	n/a	5-Mar	435.00	5.0
TRUSTCO/SUNTRUST	040	JNPR	S	10	28.73	n/a	n/a	0.39	3.9
BUCK CAPITAL MGMT	001	JNY	B	40,000	18.56	n/a	10-Feb	1,600.00	4.0
TRUSTCO/SUNTRUST	040	JOYG	S	3	55.34	n/a	4-Mar	0.12	4.0
HIGHBRIDGE CAP	041	KSS	B	18,264	54.72	n/a	5-Mar	730.56	4.0
TRUSTCO/SUNTRUST	040	KSS	S	4	53.96	n/a	5-Mar	0.16	4.0
BROADARCH CAP/OLD NEWC	070	LVS	S	4,500	17.87	n/a	2-Mar	180.00	4.0
RBC CAPITAL MARKETS	040	LVS	B	15,000	17.55	n/a	2-Mar	600.00	4.0
THE JAY GOLDMAN	028	LVS	B	15,000	17.83	n/a	2-Mar	600.00	4.0
TRUSTCO/SUNTRUST	040	LVS	S	10	17.59	n/a	2-Mar	0.39	3.9
CIRCLE T/SES PART	035	MCD	B	15,000	63.49	n/a	5-Mar	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	MCD	SS	20,000	63.47	n/a	5-Mar	1,000.00	5.0
MADISON ST PARTNERS LLC	046	MCD	S	10,000	63.28	n/a	5-Mar	500.00	5.0
RBC CAPITAL MARKETS	040	MCD	B	5,000	63.33	n/a	5-Mar	200.00	4.0
THIRD COAST CAPITAL	035	MCD	SS	10,000	63.40	n/a	5-Mar	500.00	5.0
ARMATURE CAPITAL	041	MDR	S	52,100	25.39	n/a	n/a	2,084.00	4.0
TRUSTCO/SUNTRUST	040	MFE	S	6	39.55	n/a	n/a	0.23	3.8
BUCK CAPITAL MGMT	001	MGM	SS	30,000	11.29	n/a	18-Feb	1,200.00	4.0
WAGONHOUND/NIC	048	MGM	B	100,000	11.14	n/a	18-Feb	4,000.00	4.0
DC CAPITAL	044	MIL	S	10,000	105.12	n/a	n/a	400.00	4.0
TRUSTCO/SUNTRUST	040	MSFT	S	16	28.51	n/a	n/a	0.62	3.9
TRUSTCO/SUNTRUST	040	MYL	S	15	21.46	n/a	5-Mar	0.59	3.9
TRUSTCO/SUNTRUST	040	NTES	S	4	38.32	n/a	n/a	0.16	4.0
TRUSTCO/SUNTRUST	040	ORCL	S	27	24.94	n/a	n/a	1.05	3.9
TRUSTCO/SUNTRUST	040	OXY	S	3	81.98	n/a	n/a	0.12	4.0
WAGONHOUND/NIC	048	PCLN	B	167	234.50	n/a	n/a	6.68	4.0
TRUSTCO/SUNTRUST	040	PH	S	5	62.97	n/a	20-Jan	0.20	4.0
BRIGADE CAPITAL MGMT	029	PLCE	S	25,000	41.25	n/a	2-Mar	750.00	3.0
TRUSTCO/SUNTRUST	040	PM	S	12	50.90	n/a	n/a	0.47	3.9

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEWLAND CAPITAL MGT	067	PPO	S	40,000	16.42	n/a	n/a	1,600.00	4.0
BMO NESBITT BURNS	041	PRGO	SS	1,000	50.48	n/a	5-Mar	100.00	10.0
BMO NESBITT BURNS	041	PRGO	S	4,000	50.61	n/a	5-Mar	400.00	10.0
OSS CAPITAL/KEVIN DANN	067	PSA	B	10,000	86.49	n/a	n/a	500.00	5.0
TRUSTCO/SUNTRUST	040	PX	S	4	77.79	n/a	18-Feb	0.16	4.0
SEVEN LOCKS CAPITAL	067	QQQQ	B	4,000	46.37	n/a	n/a	120.00	3.0
TRUSTCO/SUNTRUST	040	RHI	S	7	29.69	n/a	n/a	0.27	3.9
TRUSTCO/SUNTRUST	040	R	B	1,855	35.92	n/a	n/a	72.35	3.9
BUCK CAPITAL MGMT	001	SIG	B	20,000	29.71	n/a	n/a	800.00	4.0
EXIS CAPITAL	044	SKS	B	50,000	7.32	n/a	n/a	2,500.00	5.0
GEORGE WEISS	034	SLM	S	61,200	11.92	n/a	5-Mar	2,448.00	4.0
TROUBH PART	067	SLM	B	75,000	11.94	n/a	5-Mar	3,750.00	5.0
CONIFER/ISF	025	SMG	B	3,500	40.53	n/a	26-Feb	175.00	5.0
TRUSTCO/SUNTRUST	040	SNI	S	5	39.85	n/a	n/a	0.20	4.0
ARMATURE CAPITAL	041	SOA	S	20,000	15.02	n/a	n/a	800.00	4.0
GREEN ARROW DOUG	040	SSO	B	9,600	39.62	n/a	n/a	480.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAP	041	TGT	B	18,737	53.43	n/a	4-Mar	749.48	4.0
IMPALA ASSET MMT	012	TIN	S	20,000	19.23	n/a	9-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	TJX	B	20,000	41.38	n/a	5-Mar	800.00	4.0
TRUSTCO/SUNTRUST	040	TJX	S	6	41.19	n/a	5-Mar	0.23	3.8
TRUSTCO/SUNTRUST	040	TMO	S	6	49.39	n/a	n/a	0.23	3.8
TRUSTCO/SUNTRUST	040	TROW	S	5	53.13	n/a	2-Mar	0.20	4.0
IMPALA ASSET MMT	012	UAUA	S	100,000	18.14	n/a	n/a	4,000.00	4.0
EXIS CAPITAL	044	UA	B	5,446	26.94	n/a	29-Jan	272.30	5.0
TRUSTCO/SUNTRUST	040	UNP	S	4	68.79	n/a	n/a	0.16	4.0
ARMATURE CAPITAL	041	UPS	B	15,000	59.42	n/a	n/a	600.00	4.0
EXIS CAPITAL	044	UPS	B	20,000	59.49	n/a	n/a	1,000.00	5.0
TRUSTCO/SUNTRUST	040	UPS	S	7	59.57	n/a	n/a	0.27	3.9
SEVEN LOCKS CAPITAL	067	VRSN	S	10,000	26.51	n/a	n/a	300.00	3.0
TRUSTCO/SUNTRUST	040	V	S	6	87.82	n/a	19-Feb	0.23	3.8
TRUSTCO/SUNTRUST	040	WLP	S	5	61.67	n/a	n/a	0.20	4.0
RBC CAPITAL MARKETS	040	WMS	B	5,000	38.56	n/a	n/a	200.00	4.0
TRUSTCO/SUNTRUST	040	WMT	S	10	54.08	n/a	18-Feb	0.39	3.9

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	091	WRC	B	3,000	44.81	n/a	2-Mar	180.00	6.0
THIRD COAST CAPITAL	035	ZLC	B	150,000	2.83	n/a	n/a	7,500.00	5.0
BUCK CAPITAL MGMT	001	ZQK	B	100,000	2.95	n/a	5-Mar	2,000.00	2.0
EXIS CAPITAL	044	ZQK	B	20,000	2.95	n/a	5-Mar	1,000.00	5.0
TOTAL (Trade Date):								104,536.80	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/04	MINDSHARE CAPITAL MGMT (MINDJHCCF)	046	INAP	B	2,915	5.39	n/a	n/a	116.60 4.0
AO,	03/04	MINDSHARE CAPITAL MGMT (MINDLUTHST)	046	INAP	B	2,435	5.39	n/a	n/a	97.40 4.0
AO,	03/04	MINDSHARE CAPITAL MGMT (MINDLEICAL)	046	INAP	B	36,610	5.39	n/a	n/a	1464.40 4.0
AO,	03/04	MINDSHARE CAPITAL MGMT (MINDLGAHYN)	046	INAP	B	420	5.39	n/a	n/a	16.80 4.0
AO,	03/04	MINDSHARE CAPITAL MGMT (MINDLEIMSR)	046	INAP	B	21,325	5.39	n/a	n/a	853.00 4.0
AO,	03/04	MINDSHARE CAPITAL MGMT (MINDQUADGR)	046	INAP	B	6,175	5.39	n/a	n/a	247.00 4.0
AO,CXL	03/04	MISSION POINT (&MISPPMPMFC)	041	JCI	B	8,000	31.84	n/a	22-Jan	400.00 5.0
AO,CRT	03/04	MISSION POINT (MISPCSMAS)	041	JCI	B	8,000	31.84	n/a	22-Jan	400.00 5.0
AO,	03/04	COOPER CREEK PART (COOPGS)	028	NP	SS	10,000	15.63	n/a	3-Mar	300.00 3.0
AO,CXL	03/04	MISSION POINT (&MISPPMPMFC)	041	PCP	B	600	116.37	n/a	23-Feb	30.00 5.0
AO,CRT	03/04	MISSION POINT (MISPCSMAS)	041	PCP	B	600	116.37	n/a	23-Feb	30.00 5.0
AO,CRT	03/02	SENATOR INVESTMENT GROUP (SENAJPM)	036	TRA	S	30,000	45.94	n/a	n/a	900.00 3.0
AO,CXL	03/02	SENATOR INVESTMENT GROUP (SENAGS)	036	TRA	S	30,000	45.94	n/a	n/a	900.00 3.0
AO,CRT	03/03	SENATOR INVESTMENT GROUP (SENAJPM)	036	TRA	S	100,000	45.13	n/a	n/a	3000.00 3.0
AO,CXL	03/03	SENATOR INVESTMENT GROUP (SENAGS)	036	TRA	S	100,000	45.13	n/a	n/a	3000.00 3.0
AO,CRT	03/04	AVESTA CAP (AVESDEUTS)	229	UTX	B	100,000	70.63	n/a	n/a	3000.00 3.0
AO,CXL	03/04	AVESTA CAP (AVESGSUSE)	229	UTX	B	100,000	70.63	n/a	n/a	3000.00 3.0
TOTAL (AO, CXL, CRT) :										3,095.20

TOTAL (Trade Date):	104,536.80
TOTAL (AO, CXL, CRT):	3,095.20

TOTAL (RUN DATE) / NFS:	107,632.00
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION