



Daily Compliance Activity Report

Trade Date: 02/06/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	B	10,000	98.26	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	AAP	S	9,400	33.61	n/a	n/a	470.00	5.0
B BUCK CAPITAL MGMT		AG	S	2,600	24.99	15-Dec	19-Dec	non-brg	1.0
COUGAR TRADING	044	ALV	B	5,000	20.58	30-Jan	4-Feb	250.00	5.0
TRELLUS PARTNERS LP	028	ALV	SS	10,000	20.40	30-Jan	4-Feb	500.00	5.0
B BUCK CAPITAL MGMT		AMG	S	1,300	48.19	13-Nov	5-Feb	non-brg	1.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	21.11	n/a	6-Feb	800.00	4.0
f MANNY I KORMAN	FID	ANN	B	3000	5.37	n/a	30-Jan	0.00	0.0
COUGAR TRADING	044	AN	B	20,000	11.61	30-Jan	30-Jan	1,000.00	5.0
ADALY INV MGMT	067	APD	B	10,000	55.40	n/a	22-Jan	500.00	5.0
f JOHN CAREY MAGUIRE	FID	ASCA	S	500	10.12	n/a	11-Nov	0.00	0.0
ROTHSCHILD ASSET	040	AXE	S	13,893	35.26	22-Dec	3-Feb	625.19	4.5
f MANNY I KORMAN	FID	AZO	B	800	140.72	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	BACPRU	S	500	11.45	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	BAC	B	54,450	5.99	16-Jan	16-Jan	2,722.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		BBBY	SS	20,000	23.99	n/a	n/a	non-brg	4.0
TROUBH PART	067	BBY	SS	40,000	29.51	n/a	27-Jul	2,000.00	5.0
f FRANCIS MICHAEL MCCA	FID	BGZ	B	100	60.56	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	BGZ	B	12	61.50	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	B	2000	60.00	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	BKC	B	15,000	20.00	6-Feb	6-Feb	750.00	5.0
EGS ASSOCIATES LP	091	BKC	SS	7,000	20.41	6-Feb	6-Feb	420.00	6.0
EVERCORE WEALTH MGMT	012	BK	S	1,500	28.08	21-Jan	28-Jan	75.00	5.0
COUGAR TRADING	044	BWA	B	5,000	21.36	27-Jan	27-Jan	250.00	5.0
LITESPEED	028	BWA	SS	70,000	20.37	27-Jan	27-Jan	3,500.00	5.0
STADIA CAPITAL	035	BWA	SS	16,100	21.42	27-Jan	27-Jan	644.00	4.0
TRELLUS PARTNERS LP	028	BWA	SS	11,500	20.56	27-Jan	27-Jan	575.00	5.0
⚡ BUCK CAPITAL MGMT		BYI	S	50,000	22.10	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	CBIGV	S	150	2.30	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		CHS	B	174,900	4.14	5-Dec	30-Jan	non-brg	2.0
⚡ BUCK CAPITAL MGMT		CHS	B	25,100	3.97	5-Dec	30-Jan	non-brg	2.0
⚡ BUCK CAPITAL MGMT		CMG	C	30,000	52.45	23-Oct	8-Jan	non-brg	4.0
KLEINHEINZ CAP PART	031	CMG	SS	75,000	51.45	23-Oct	8-Jan	3,750.00	5.0
STELLIAM INV ADV	040	CMG	SS	15,000	51.17	23-Oct	8-Jan	750.00	5.0
⚡ BUCK CAPITAL MGMT		COF	C	25,000	14.61	n/a	6-Feb	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		COF	C	69,500	14.95	n/a	6-Feb	non-brg	1.0
f MANNY I KORMAN	FID	COF	B	3000	14.69	n/a	6-Feb	0.00	0.0
⚡ BUCK CAPITAL MGMT		COH	S	70,000	15.56	9-Jan	22-Jan	non-brg	4.0
f MANNY I KORMAN	FID	COH	B	3000	15.37	9-Jan	22-Jan	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	CPRE	S	1000	10.05	n/a	n/a	0.00	0.0
FULLER & THALER ASSET	033	CRR	B	45,000	38.92	5-Feb	5-Feb	1,350.00	3.0
TRELLUS PARTNERS LP	028	CRR	B	20,000	38.16	5-Feb	5-Feb	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		CTX	S	8,000	11.46	n/a	n/a	non-brg	1.0
EPOCH INV MGMT	030	DBD	S	30,100	24.34	30-Oct	5-Feb	1,204.00	4.0
MILLENIUM PARTNERS	028	DD	B	25,000	24.45	n/a	27-Jan	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		DE	S	2,000	41.74	26-Jan	26-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DHI	S	10,000	9.67	n/a	n/a	non-brg	1.0
ROTHSCHILD ASSET	040	EMS	B	191	34.45	n/a	n/a	8.60	4.5
f MANNY I KORMAN	FID	FDO	B	4000	27.36	7-Jan	4-Feb	0.00	0.0
f JOHN CAREY MAGUIRE	FID	F	B	2500	1.96	10-Nov	4-Feb	0.00	0.0
f JOHN PATRICK DAVEY	FID	F	B	5000	1.96	10-Nov	4-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	20,000	18.27	n/a	n/a	800.00	4.0
QUAD CAPITAL	046	GLW	S	5,000	11.62	19-Nov	28-Jan	500.00	10.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOHN MICHAEL ZOLIDIS	FID	GMFH	S	50	0.36	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GYMB	B	100,000	27.45	7-Nov	6-Feb	non-brg	4.0
COUGAR TRADING	044	HAR	B	10,000	13.83	5-Feb	5-Feb	500.00	5.0
WHITE PINE CAPITAL	030	HBAN	B	53,000	2.25	n/a	n/a	1,060.00	2.0
BUCK CAPITAL MGMT	001	HD	SS	100,000	23.55	2-Feb	2-Feb	4,000.00	4.0
BUCK CAPITAL MGMT	001	HOG	SS	20,000	14.24	n/a	n/a	800.00	4.0
TRUSTCO/SUNTRUST	040	IFF	S	40,000	31.26	21-Jan	5-Feb	1,280.01	3.2
SCOPUS FUND	041	ITW	B	20,000	35.97	30-Jan	30-Jan	1,000.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	10,000	26.90	n/a	n/a	non-brg	4.0
WHITE PINE CAPITAL	030	JPM	B	13,200	26.38	16-Jan	16-Jan	660.00	5.0
f KEVIN MICHAEL O'GORM	FID	KRBPRD	B	2000	12.84	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		KRE	C	20,000	21.27	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		KRE	C	50,600	22.02	n/a	n/a	non-brg	2.0
JP MORGAN VENTURES	046	KSS	SS	25,000	38.80	n/a	6-Feb	1,250.00	5.0
f MANNY I KORMAN	FID	LEG	B	2000	12.89	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		LL	B	10,000	10.23	n/a	n/a	non-brg	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		LL	B	10,090	10.31	n/a	n/a	non-brg	1.5
f	KEVIN MICHAEL O'GORM	FID	LM	B	1000	16.49	29-Jan	6-Feb	0.00	0.0
f	MANNY I KORMAN	FID	LNCE	B	2000	19.49	n/a	n/a	0.00	0.0
	HUNTER GLOBAL INVESTOR	067	LOW	B	260,000	19.25	2-Feb	2-Feb	10,400.00	4.0
	BALYASNY ASSET MGMT	044	LTD	S	30,000	8.83	8-Jan	30-Jan	1,500.00	5.0
B	BUCK CAPITAL MGMT		M+CB[M]	SS	1,000	1.02	n/a	n/a	non-brg	300.0
	TRELLUS PARTNERS LP	028	MGA	SS	3,500	31.28	n/a	n/a	175.00	5.0
	WHITE PINE CAPITAL	030	MI	B	141,500	4.86	n/a	n/a	5,660.00	4.0
	GRUSS CAPITAL	045	MLI	S	10,000	23.09	n/a	6-Feb	300.00	3.0
	COUGAR TRADING	044	M	B	5,000	9.65	n/a	6-Feb	200.00	4.0
	JP MORGAN VENTURES	046	M	S	150,000	9.59	n/a	6-Feb	7,500.00	5.0
f	FRANCIS MICHAEL MCCA	FID	NCI	S	500	14.34	n/a	n/a	0.00	0.0
f	MANNY I KORMAN	FID	NDN	B	6800	7.36	31-Oct	5-Feb	0.00	0.0
	CATAPULT	040	NLC	B	45,000	12.21	n/a	n/a	2,250.00	5.0
	HUNTER GLOBAL INVESTOR	067	NVR	B	11,900	419.40	n/a	n/a	595.00	5.0
	COUGAR TRADING	044	PAG	B	5,000	8.11	n/a	23-Dec	200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PENN	S	2,000	21.98	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PFCB	SS	20,000	18.50	8-Jan	8-Jan	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	PFE	S	5000	14.76	n/a	31-Mar	0.00	0.0
ß BUCK CAPITAL MGMT		PLCE	B	20,000	18.30	6-Jan	6-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		PNK	S	7,500	7.49	n/a	n/a	non-brg	1.0
f STEFFANIE CARMELA CA	FID	PVH	S	25	20.55	22-Jan	22-Jan	0.00	0.0
BUCK CAPITAL MGMT	001	RL	B	3,000	41.62	n/a	5-Feb	120.00	4.0
ß BUCK CAPITAL MGMT		RL	C	20,000	42.58	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	C	22,400	42.38	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	C	47,000	41.82	n/a	5-Feb	non-brg	4.0
BUCK CAPITAL MGMT	001	RNT	B	20,000	24.40	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		RYL	S	5,000	20.27	n/a	n/a	non-brg	1.0
PERMIAN/BAYPIONT	028	SAM	SS	8,600	28.52	n/a	n/a	344.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	SEF	B	100	88.00	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	SKF	B	100	130.66	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	SKF	B	4000	133.85	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKX+CU[SKX]	SS	3,000	0.70	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SKX+DU[SKX]	SS	1,000	0.75	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX	B	145,000	7.38	n/a	13-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		SKX	B	219,171	7.39	n/a	13-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		SKX	B	35,829	7.40	n/a	13-Mar	non-brg	3.0
LAGRANGE CAP ADV	048	SKX	B	10,000	7.39	n/a	13-Mar	500.00	5.0
NEWCASTLE FUNDS	033	SMG	SS	20,000	34.16	5-Feb	5-Feb	800.00	4.0
ARAGON GLOBAL	041	SNV	SS	250,000	4.07	n/a	n/a	10,000.00	4.0
SONT	041	SPR	S	40,000	13.00	n/a	n/a	1,600.00	4.0
ß BUCK CAPITAL MGMT		SSI	S	10,000	8.04	n/a	6-Feb	non-brg	1.5
ß BUCK CAPITAL MGMT		SSI	S	45,369	8.07	n/a	6-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		SSI	S	92,811	8.06	n/a	6-Feb	non-brg	3.0
AMBER CAPITAL	046	STI	SS	100,000	11.97	n/a	n/a	4,000.00	4.0
f ANDREW SINCLAIR	FID	SZCOF	S	350	3.62	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	TIF	SS	50,200	22.74	n/a	30-Jan	1,506.00	3.0
f JONATHAN RICHARD HAR	FID	TZA	B	14	52.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		VFC+CL[VFC]	SS	600	3.10	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		VFC	S	20,000	59.45	n/a	n/a	non-brg	2.0
KARSCH CAPITAL	034	WFC	SS	55,000	18.04	n/a	n/a	1,650.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KLEINHEINZ CAP PART	031	WFMI	S	75,000	10.82	n/a	n/a	3,750.00	5.0
ß BUCK CAPITAL MGMT		WMS	SS	101,701	19.66	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WMS	SS	23,100	19.90	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		WMS	SS	46,800	19.78	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		WMT+CX[WMT]	SS	1,000	0.94	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	WMT	B	100,000	49.19	10-Oct	6-Feb	4,000.00	4.0
KACELA CAPITAL	034	WMT	B	10,000	49.95	10-Oct	6-Feb	400.00	4.0
BUCK CAPITAL MGMT	001	WRC	B	20,000	24.29	7-Nov	27-Jan	800.00	4.0
WHALE ROCK CAP	030	WRC	B	46,600	24.03	7-Nov	27-Jan	1,864.00	4.0
ß BUCK CAPITAL MGMT		WSM	SS	50,000	9.22	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		WYNN	C	20,000	29.17	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	WY	SS	25,000	26.79	9-Feb	20-Jan	1,250.00	5.0
ß BUCK CAPITAL MGMT		XRT	SS	175,000	21.07	n/a	n/a	non-brg	1.0
PRINCIPLE CAPITAL	040	YUM	B	33,000	30.05	n/a	5-Feb	990.00	3.0
TOTAL (Trade Date):								99,148.30	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/05	FULLER & THALER ASSET	033	CRR	B	5,300	39.42	5-Feb	5-Feb	159.00 3.0
AO,	02/05	BUCK CAPITAL MGMT	001	HOG	S	47,500	12.51	n/a	n/a	1900.00 4.0
AO,CRT	02/05	BUCK CAPITAL MGMT	001	HOG	SS	2,500	12.51	n/a	n/a	100.00 4.0
AO,CXL	02/05	BUCK CAPITAL MGMT	001	HOG	SS	50,000	12.51	n/a	n/a	2000.00 4.0
AO,	02/05	SANDLER O'NEILL ASSET	031	IVZ	S	700	13.77	9-Jan	6-Feb	35.00 5.0
AO,CRT	02/05	SANDLER O'NEILL ASSET	031	IVZ	S	9,300	13.77	9-Jan	6-Feb	465.00 5.0
AO,CXL	02/05	SANDLER O'NEILL ASSET	031	IVZ	S	10,000	13.77	9-Jan	6-Feb	500.00 5.0
AO,CRT	02/05	BUCK CAPITAL MGMT	001	MCD	SS	4,900	57.98	13-Oct	6-Feb	196.00 4.0
AO,CXL	02/05	BUCK CAPITAL MGMT	001	MCD	SS	90,000	57.98	13-Oct	6-Feb	3600.00 4.0
AO,	02/05	BUCK CAPITAL MGMT	001	MCD	SS	85,100	57.98	13-Oct	6-Feb	3404.00 4.0
AO,CRT	02/04	SENATOR INVESTMENT GROUP	036	XLF	S	50,000	9.14	n/a	n/a	2500.00 5.0
AO,CXL	02/04	SENECA CAPITAL LP NY	028	XLF	S	50,000	9.14	n/a	n/a	2500.00 5.0
TOTAL (AO, CXL, CRT) :										159.00
TOTAL (Trade Date) :									99,148.30	
TOTAL (AO, CXL, CRT) :									159.00	
TOTAL (RUN DATE) / NFS:									99,307.30	

MRI Securities with Trade Activity on 6-Feb.

BKC

MRI Securities without Trade Activity on 6-Feb.

BONT, JCP, PSUN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 19%

YTD = 25%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)