



Report: CHECKS

From : 01/01/2013 to 01/31/2013

Date	Amount	Type	Client	Client Name	Rep.	Rep. #
01/03/2013	3,277.19	Research - Research	BOST	THE BOSTON COMPANY	NP	029
01/31/2013	-9,000.00	Research - Research	BOST	THE BOSTON COMPANY	NP	029
01/02/2013	10,000.00	Research - Research	BRAN	BRANT POINT CAPITAL	CC	041
01/28/2013	390.00	Research - Research	CAPI	CAPITAL IQ	BRG	091
01/07/2013	10,000.00	Research - Research	CHAT	CHART WELL ADV	FM	028
01/07/2013	26,250.00	Research - Research	CHIL	CHILTON INVESTMENT CO.	ML	036
01/09/2013	35,000.00	Research - Research	COLC	COLUMBUS CIRCLE	ML	036
01/03/2013	2,500.00	Research - Research	CONA	CONATUS CAPITAL	ML	036
01/29/2013	12,500.00	Research - Research	CONA	CONATUS CAPITAL	ML	036
01/25/2013	7,500.00	Other	DEFE	DEFENSOR CAPITAL	BRG	091
01/30/2013	2,518.00	Research - Research	GEIC	GENERAL ELECTIC CO	BC	033
01/16/2013	24,455.00	Research - Research	GOVE	GOVERNMENT OF SINGAPORE INVESTMENT	ME	085
01/04/2013	30,834.00	Research - Research	INVE	INVESCO	AS	031
01/14/2013	65,000.00	Research - Research	JANU	JANUS CAPITAL CORP	KO	046
01/31/2013	29,081.00	Research - Research	JPMB	MORGAN BANK /JPM	SM	070
01/03/2013	20,000.00	Research - Research	LONE	LONE PINE MGMT	CC AS	257
01/02/2013	13,636.00	Research - Research	MANU	MANULIFE ASSET MGMT.	MS NP	275
01/14/2013	17,189.00	Research - Research	MUTT	MUTUAL SHARES/FRANKLYN	BC	033
01/25/2013	31,476.00	Research - Research	NBFF	NBF FINANCIAL		
01/10/2013	3,000.00	Research - Research	NGAM	NOMURA GLOBAL ASSET MGMT	KO	046
01/23/2013	27,500.00	Research - Research	NORG	NORGES BANK	ME	085
01/30/2013	5,000.00	Research - Research	OPTI	FAIRPOINTE - OPTIMUM INV ADV	MG	030
01/02/2013	12,000.00	Research - Research	PARG	PARAGON INVESTMENT	BC	033
01/08/2013	7,800.00	Research - Research	PECK	WEISS PECK/ROBECO/BOSTON PT	DR	040
01/29/2013	2,000.00	Research - Research	PECK	WEISS PECK/ROBECO/BOSTON PT	DR	040
01/31/2013	9,000.00	Research - Research	PECK	WEISS PECK/ROBECO/BOSTON PT	DR	040
01/22/2013	6,000.00	Research - Research	ROBX	ROBERTSON STEVENS	RS	084
01/03/2013	7,500.00	Research - Research	SCHC	SCHNEIDER CAPITAL	FM	028
01/07/2013	14,000.00	Research - Research	SILP	SILVERPOINT	ML	036

01/02/2013	500.00	Research - Research	SOUT	SOUTH SHORE CAPITAL	IM	025
01/22/2013	2,253.07	Research - Research	THOM	THOMSON/INDEPENDENT		
01/02/2013	15,000.00	Research - Research	TIGR	TIGER	CC	041
01/03/2013	11,000.00	Research - Research	TIME	TIME SQUARE CAP MGMT	JC	006
01/07/2013	7,500.00	Research - Research	TIME	TIME SQUARE CAP MGMT	JC	006
01/22/2013	40,400.00	Research - Research	TREA	TREADNEEDLE ASSET	ME	085
01/28/2013	2,000.00	Research - Research	WEIS	GEORGE WEISS	FM IM	278
TOTAL:	505,059.26					

Report created by Lloyd Karp on 08/27/2013 at 02:33:28pm from m/c [192.168.20.137] using Mozilla/4.0 (compatible; MSIE 8.0; Windows NT 6.1; Trident/4.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E)
