



Daily Compliance Activity Report

Trade Date: 05/25/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	B	20,000	26.99	4-Jan	23-May	800.00	4.0
SIGMA CAPITAL ASSOCIATES	044	AEO	B	50,000	27.05	4-Jan	23-May	2,500.00	5.0
WYCKOFF	013	AIV	S	900	52.00	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	AMG	B	35,000	129.09	25-Apr	23-May	1,750.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	10,000	45.70	n/a	25-May	400.00	4.0
BUCK CAPITAL MGMT	001	BBW	B	10,000	30.31	19-Mar	11-May	400.00	4.0
LATEFF MGMT	040	BDN	B	5,000	31.18	n/a	n/a	600.00	12.0
MILLENIUM PARTNERS	028	BONT	S	5,000	44.61	25-May	25-May	250.00	5.0
Glenn Redemann		BRCM	B	18	25.0000	n/a	n/a	0.00	0.0
Glenn Redemann		BRCM	B	18	35.0000	n/a	n/a	0.00	0.0
Glenn Redemann		BRCM	SS	18	27.5000	n/a	n/a	0.00	0.0
Glenn Redemann		BRCM	SS	18	32.5000	n/a	n/a	0.00	0.0
WHEELER	008	BRNC	S	8,722	16.48	n/a	n/a	0.00	0.0
PIVOT CAP	034	BWS	B	10,260	28.50	n/a	n/a	513.00	5.0
ZOLIDIS	011	BWS	S	400	31.20	n/a	n/a	0.00	0.0
LATEFF MGMT	040	CBL	B	5,000	38.34	n/a	n/a	700.00	14.0
CROWLEY	061	CEI	S	3,000	22.33	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SULLIVAN	042	CHBD	S	17,200	0.88	n/a	n/a	0.00	0.0
Õ Doug Rogers		CMCSA	S	393	26.8000	5-Apr	23-May	0.00	0.0
GENERAL MOTORS INV CO	040	CMGI	B	34,600	2.42	n/a	n/a	1,038.00	3.0
BUCK	015	DDR	B	600	58.95	n/a	n/a	0.00	0.0
BARR	096	DITC	B	1,200	8.12	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	DRS	S	27,100	49.16	n/a	n/a	1,355.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	10,000	37.84	7-May	11-May	400.00	4.0
CROWLEY	061	DUK	B	3,000	19.18	n/a	n/a	0.00	0.0
Õ Doug Rogers		DURA	B	150	51.7500	n/a	n/a	0.00	0.0
Õ Doug Rogers		DURA	B	21	52.2500	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	DW	B	5,000	33.38	n/a	n/a	250.00	5.0
BUCK	015	EFA	S	600	79.98	n/a	n/a	0.00	0.0
DSG CAPITAL	225	ETN	B	500	92.78	24-May	24-May	25.00	5.0
BUCK CAPITAL MGMT	001	FD	S	30,000	38.35	17-May	17-May	1,200.00	4.0
COUGAR TRADING	044	FD	SS	5,000	38.35	17-May	17-May	250.00	5.0
BUCK	015	F	SS	2,500	8.48	n/a	27-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	GES	B	10,000	41.15	n/a	n/a	400.00	4.0
PAW PARTNERS	033	GIL	S	7,700	69.20	n/a	3-May	385.00	5.0
LEVIN CAPITAL STRATEGIES	012	GM	C	15,000	30.84	4-May	4-May	600.00	4.0
PIVOT CAP	034	GPS	B	38,475	18.24	n/a	25-May	1,923.75	5.0
TRELLUS PARTNERS LP	028	HLS	SS	25,000	19.85	n/a	n/a	1,250.00	5.0
MILLENIUM PARTNERS	028	HSC	B	10,000	51.26	23-Apr	23-Apr	500.00	5.0
FARALLON CAP MGMT	040	ID	B	67,700	19.68	n/a	n/a	2,031.00	3.0
NEUBERGER BERMAN	036	IHP	B	3,000	57.74	n/a	n/a	150.00	5.0
CUMBERLAND	038	INTC	B	50,000	22.09	n/a	n/a	2,000.00	4.0
T. ROWE PRICE	243	JPM	S	31,500	51.62	n/a	11-May	1,260.00	4.0
TROUBH PART	067	KKD	B	10,000	8.48	n/a	n/a	500.00	5.0
MERRILL LYNCH STRATEGICI	034	KMX	B	10,000	22.90	n/a	10-Jan	540.00	5.4
DELTEC ASSET MGMT.	040	LOW	S	18,000	32.28	n/a	21-May	900.00	5.0
SARAS CAPITAL	038	LPX	B	15,000	20.14	20-Mar	26-Apr	750.00	5.0
XYLEM GLOBAL	091	LPX	B	20,000	20.14	20-Mar	26-Apr	1,000.00	5.0
PIVOT CAP	034	NWSA	S	12,825	22.39	n/a	n/a	641.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	PDFS	B	1,400	10.66	n/a	n/a	0.00	0.0
BUCK	015	PEP	B	1,000	68.92	n/a	n/a	0.00	0.0
BUCK	015	PFE	S	1,500	27.40	n/a	31-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	PTT	B	15,000	7.30	n/a	n/a	600.00	4.0
WHITE PINE CAPITAL	030	RCKY	S	400	15.37	n/a	n/a	20.00	5.0
MAGNETAR FINANCIAL	247	RFMD	SS	40,000	6.50	n/a	n/a	1,200.00	3.0
BUCK CAPITAL MGMT	001	RL	SS	15,000	92.59	2-Feb	24-May	600.00	4.0
COUGAR TRADING	044	RL	SS	5,000	92.42	2-Feb	24-May	250.00	5.0
JP MORGAN VENTURES	046	RL	C	10,000	92.53	2-Feb	24-May	500.00	5.0
BUCK	015	SDS	B	800	52.22	n/a	n/a	0.00	0.0
KAICHER	064	SNCR	S	1,000	24.85	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	SPY	C	10,000	151.84	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	SSI	B	5,000	19.27	25-May	25-May	200.00	4.0
BEAR STEARNS ASSET MGMT.	033	URBN	B	25,000	25.10	2-Apr	11-May	1,000.00	4.0
JP MORGAN VENTURES	046	URBN	B	15,000	25.18	2-Apr	11-May	750.00	5.0
T. ROWE PRICE	243	USB	S	16,500	34.28	n/a	n/a	660.00	4.0
KAICHER	064	WHT	B	500	3.07	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRUST CO/COWEN/CALF.	040	WYE	S	207,190	57.70	n/a	31-Mar	10,359.50	5.0
T. ROWE PRICE	243	XL	S	95,700	79.65	n/a	n/a	3,828.00	4.0
TOTAL (Trade Date):								47,729.50	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CRT	05/25	CROWLEY (CROWLEY)	061	DUK	B	3,000	19.18	n/a	n/a	0.00	0.0
AO,CXL	05/24	FARALLON CAP MGMT (FARABULK F)	040	ID	B	79,200	19.61	n/a	n/a	3168.00	4.0
AO,CRT	05/24	FARALLON CAP MGMT (FARABULK F)	040	ID	B	79,200	19.61	n/a	n/a	2376.00	3.0
AO,	05/24	LISTED ERR (LISTED ERR)	099	IP	B	100,000	38.75	4-May	4-May	0.00	0.0
AO,	05/24	LISTED ERR (LISTED ERR)	099	IP	B	40,000	38.59	4-May	4-May	0.00	0.0
AO,	05/24	LISTED ERR (LISTED ERR)	096	IP	SS	140,000	38.70	4-May	4-May	0.00	0.0
AO,	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	S	100,000	38.75	4-May	4-May	3000.00	3.0
AO,	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	S	40,000	38.59	4-May	4-May	1200.00	3.0
AO,CXL	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	SS	100,000	38.75	4-May	4-May	3000.00	3.0
AO,CXL	05/24	UBS OCONNOR (UBSOWARBUR)	031	IP	SS	40,000	38.59	4-May	4-May	1200.00	3.0
TOTAL (AO, CXL, CRT) :										-792.00	
TOTAL (Trade Date) :									47,729.50		
TOTAL (AO, CXL, CRT) :									-792.00		
TOTAL (RUN DATE) / NFS :									46,937.50		

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION