



Daily Compliance Activity Report

Trade Date: 03/25/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	B	120,000	12.09	n/a	12-Mar	4,800.00	4.0
LOCUS WOOD CAPITAL MGMT	044	ALV	B	20,000	16.20	12-Mar	12-Mar	800.00	4.0
CHILTON CAPITAL MGMT.	036	AMCC	B	25,700	4.87	n/a	n/a	771.00	3.0
LISTED ERR	099	AOS	S	100	25.26	25-Mar	25-Mar	0.00	0.0
BUCK CAPITAL MGMT		ARO	SS	100,000	25.63	n/a	13-Mar	non-brg	4.0
BUCK CAPITAL MGMT		AVP	C	50,000	19.79	25-Feb	20-Mar	non-brg	4.0
KINGDON ASSET MGMT	041	AVT	B	200,000	18.15	n/a	n/a	8,000.00	4.0
MANNY I KORMAN	FID	BAC	B	5000	7.73	2-Mar	23-Mar	0.00	0.0
BUCK CAPITAL MGMT		BKC	C	100,000	23.19	6-Feb	3-Mar	non-brg	4.0
BALYASNY ASSET MGMT	044	BLK	C	2,500	129.72	4-Mar	24-Mar	125.00	5.0
BUCK CAPITAL MGMT		BOBE	C	40,000	21.70	n/a	n/a	non-brg	2.5
BRENCOURT	028	BWA	SS	50,000	22.87	12-Mar	19-Mar	2,500.00	5.0
COUGAR TRADING	044	BWA	B	15,000	22.31	12-Mar	19-Mar	750.00	5.0
JONATHAN RICHARD HAR	FID	BWA	S	20	22.64	12-Mar	19-Mar	0.00	0.0
TRELLUS PARTNERS LP	028	BWA	SS	15,000	22.22	12-Mar	19-Mar	750.00	5.0
EDWARD W WHEELER	FID	CBIGV	B	150	0.30	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CIT	B	100,000	2.70	22-Jan	25-Mar	non-brg	2.5
ß BUCK CAPITAL MGMT		CIT	B	250,000	2.70	22-Jan	25-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		CIT	B	69,200	2.73	22-Jan	25-Mar	non-brg	2.0
STELLIAM INV ADV	040	CLW	B	15,000	7.21	n/a	n/a	600.00	4.0
SOUTH POINT CAP	067	CMP	S	4,800	58.31	n/a	n/a	240.00	5.0
BUCK CAPITAL MGMT	001	COH	B	30,000	17.36	9-Jan	22-Jan	1,200.00	4.0
f MANNY I KORMAN	FID	COST	B	1000	47.40	n/a	27-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		CTX	B	30,000	8.35	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		CTX	S	30,000	9.40	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		CWTR	B	50,000	2.61	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DAL	B	100,000	5.77	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		DECK	B	40,000	52.06	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DECK	S	30,000	53.37	n/a	n/a	non-brg	2.0
f NEIL M STRATTON	FID	DISK	B	200	1.30	n/a	n/a	0.00	0.0
f NEIL M STRATTON	FID	DISK	B	700	1.28	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DLTR	S	50,000	41.75	10-Feb	6-Mar	non-brg	4.0
COOPER CREEK PART	028	DSW	SS	14,900	9.53	24-Mar	25-Mar	447.00	3.0
SENVEST PART	045	DSW	SS	8,600	9.31	24-Mar	25-Mar	430.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	EL	B	20,000	25.52	20-Jan	9-Feb	800.00	4.0
BALYASNY ASSET MGMT	044	EV	C	10,000	21.75	9-Mar	24-Mar	500.00	5.0
⚡ BUCK CAPITAL MGMT		EXBD	C	15,592	14.49	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	EXHEX	B	40	0.30	n/a	n/a	0.00	0.0
CUMBERLAND	038	EXH	B	10,000	17.17	27-Jan	17-Mar	400.00	4.0
BALYASNY ASSET MGMT	044	FII	B	25,000	22.39	13-Nov	24-Mar	1,250.00	5.0
CAMBIAR CAP	046	FII	S	11,360	22.42	13-Nov	24-Mar	454.40	4.0
f BARBARA S LEDDY	FID	FOR	B	400	7.97	12-Feb	12-Feb	0.00	0.0
f KAREN F LEDDY	FID	FOR	B	600	8.02	12-Feb	12-Feb	0.00	0.0
⚡ BUCK CAPITAL MGMT		FOSL	B	20,000	16.44	23-Jan	30-Jan	non-brg	4.0
NEWCASTLE FUNDS	033	FO	SS	18,100	25.17	n/a	n/a	724.00	4.0
DIAMONDBACK CAP MGMT	232	GE	C	50,000	10.35	n/a	n/a	2,500.00	5.0
DIAMONDBACK CAP MGMT	232	GE	SS	50,000	10.83	n/a	n/a	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		GIL	B	13,905	8.29	11-Dec	12-Feb	non-brg	1.0
⚡ BUCK CAPITAL MGMT		GIL	B	160,000	8.47	11-Dec	12-Feb	non-brg	3.0
⚡ BUCK CAPITAL MGMT		GIL	B	50,000	8.58	11-Dec	12-Feb	non-brg	1.5
⚡ BUCK CAPITAL MGMT		GIL	B	76,095	8.35	11-Dec	12-Feb	non-brg	3.0
LATEFF MGMT	035	GIS	B	1,000	48.77	n/a	n/a	260.00	26.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ASCEND PARTNERS	033	GYMB	B	20,000	20.55	5-Mar	5-Mar	1,000.00	5.0
NEUBERGER BERMAN	036	HAL	S	28,700	17.16	n/a	n/a	1,291.50	4.5
COUGAR TRADING	044	HAR	B	5,000	13.56	12-Mar	12-Mar	250.00	5.0
⌘ BUCK CAPITAL MGMT		HBI	B	25,000	10.53	n/a	n/a	non-brg	1.5
⌘ BUCK CAPITAL MGMT		HBI	B	75,000	10.19	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	HD	B	50,000	23.62	n/a	25-Feb	2,000.00	4.0
PECONIC PARTNERS	036	HD	SS	200,000	23.33	n/a	25-Feb	10,000.00	5.0
⌘ BUCK CAPITAL MGMT		HEW	C	9,546	28.86	n/a	n/a	non-brg	4.0
GARDNER LEWIS ASSET MGMT	028	HOT	B	61,870	13.78	n/a	n/a	3,093.50	5.0
MFP INVESTORS	032	HOT	S	30,000	14.24	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		HSII	C	7,046	16.11	n/a	n/a	non-brg	4.0
CASTLE ARK	031	ICE	B	54,995	76.82	n/a	n/a	3,299.70	6.0
DC CAPITAL	044	IP	S	50,000	9.04	n/a	20-Mar	2,500.00	5.0
NEWCASTLE FUNDS	033	ITT	B	30,000	39.12	n/a	5-Feb	1,200.00	4.0
NEWCASTLE FUNDS	033	ITW	SS	45,200	30.37	30-Jan	17-Feb	1,808.00	4.0
BALYASNY ASSET MGMT	044	IVZ	C	5,000	12.90	24-Mar	24-Mar	250.00	5.0
⌘ BUCK CAPITAL MGMT		IYR	C	138,862	26.35	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PERSHING SQUARE	029	IYR	S	600,000	25.88	n/a	n/a	18,000.00	3.0
DECADE CAPITAL PARTNERS	040	JBHT	B	21,000	24.01	n/a	n/a	1,050.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	350,000	19.95	6-Feb	6-Mar	14,000.00	4.0
ß BUCK CAPITAL MGMT		JCP	B	100,000	20.35	6-Feb	6-Mar	non-brg	1.5
ß BUCK CAPITAL MGMT		JCP	B	50,000	20.22	6-Feb	6-Mar	non-brg	1.0
WHITE PINE CAPITAL	030	JCP	B	24,075	19.26	6-Feb	6-Mar	1,203.75	5.0
AELTUS/ING INVESTMENT MGT	215	JNJ	B	2,390	53.08	n/a	22-Apr	83.75	3.5
ß BUCK CAPITAL MGMT		JOSB	B	20,000	28.19	n/a	n/a	non-brg	2.5
f ABRAM M CHAPPELL	FID	JSVAX	S	113	8.38	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		JWN	C	50,000	17.09	20-Feb	6-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		KCP	S	3,971	7.05	n/a	28-Sep	non-brg	1.0
ß BUCK CAPITAL MGMT		KFY	C	14,792	8.87	n/a	n/a	non-brg	3.0
f MANNY I KORMAN	FID	KO	B	1000	44.72	n/a	n/a	0.00	0.0
AVESTA CAP	229	KSS	B	25,000	40.42	17-Mar	17-Mar	1,250.00	5.0
ß BUCK CAPITAL MGMT		KSS	S	200,000	40.17	17-Mar	17-Mar	non-brg	4.0
DECADE CAPITAL PARTNERS	040	KSS	SS	14,000	40.74	17-Mar	17-Mar	420.00	3.0
ß BUCK CAPITAL MGMT		LEN	B	30,000	9.37	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		LEN	S	30,000	11.07	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	LM	C	55,000	16.05	29-Jan	24-Mar	2,750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		LNCE	C	50,000	19.70	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		LTD+HB[LTD]	SS	3,000	0.95	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		LVS	B	100,000	3.23	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		MAN	C	19,564	31.06	n/a	n/a	non-brg	4.0
LAGRANGE CAP ADV	048	MAT	B	30,000	12.03	n/a	n/a	1,500.00	5.0
EDWARD W WHEELER	FID	MCOAQ	S	15000	0.09	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		MDC	B	7,500	31.87	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		MDC	S	7,500	34.48	n/a	n/a	non-brg	4.0
MANNY I KORMAN	FID	MET	B	2000	23.49	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	MGA	SS	15,000	28.92	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT		MVRX	C	38,000	102.24	n/a	n/a	non-brg	10.2
BUCK CAPITAL MGMT		MWV	S	66,000	12.58	28-Jan	28-Jan	non-brg	4.0
BUCK CAPITAL MGMT		MWW	C	15,492	8.37	n/a	n/a	non-brg	3.0
NEUBERGER BERMAN	036	NLY	S	25,800	13.88	n/a	n/a	1,161.00	4.5
BUCK CAPITAL MGMT		OSTK	C	10,000	10.03	n/a	n/a	non-brg	2.5
TRELLUS PARTNERS LP	028	PAG	SS	5,000	10.03	n/a	18-Feb	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		PCAR	S	10,000	27.08	25-Mar	25-Mar	non-brg	1.0
MANNING & NAPIER	034	PCAR	S	3,500	27.00	25-Mar	25-Mar	105.00	3.0
WEINTRAUB CAPITAL MGT.	040	PCAR	SS	20,000	26.46	25-Mar	25-Mar	800.00	4.0
f MANNY I KORMAN	FID	PEP	B	1000	52.47	n/a	n/a	0.00	0.0
NEWCASTLE FUNDS	033	PFCB	C	17,000	23.00	8-Jan	12-Feb	680.00	4.0
f MANNY I KORMAN	FID	PG	B	1000	47.86	12-Mar	20-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	PII	B	20,000	23.64	n/a	n/a	800.00	4.0
NEWCASTLE FUNDS	033	PNRA	C	17,800	53.52	n/a	13-Feb	712.00	4.0
B BUCK CAPITAL MGMT		POOL	C	45,302	14.26	n/a	n/a	non-brg	4.0
SOUTH POINT CAP	067	POT	S	25,000	83.19	n/a	n/a	1,250.00	5.0
SANDLER CAPITAL	038	PPG	B	75,100	37.78	n/a	13-Mar	4,506.00	6.0
B BUCK CAPITAL MGMT		QUK+DI [DECK]	C	300	9.35	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		RCL	S	50,000	8.87	30-Jan	30-Jan	non-brg	3.0
B BUCK CAPITAL MGMT		RHI	C	11,919	18.05	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	RL	B	170,000	42.05	n/a	5-Feb	6,800.00	4.0
f EDWARD W WHEELER	FID	RUSHA	S	5000	9.51	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SENVEST PART	045	RVI	S	67,900	2.01	n/a	n/a	2,037.00	3.0
⚡ BUCK CAPITAL MGMT		RYL	B	15,000	16.81	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		RYL	S	15,000	18.39	n/a	n/a	non-brg	4.0
f CHRISTOPHER ARTHUR K	FID	SDS	B	250	80.29	n/a	n/a	0.00	0.0
f CHRISTOPHER ARTHUR K	FID	SDS	S	250	78.59	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SIG LN	C	31,565	11.82	n/a	n/a	non-brg	1.8
f CHRISTOPHER ARTHUR K	FID	SKF	S	200	100.06	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	SKF	B	13000	94.86	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	SKF	S	13000	94.22	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SLM	B	200,000	3.60	27-Feb	25-Mar	non-brg	2.0
WOOSTER CAPITAL	035	SLM	B	75,000	3.58	27-Feb	25-Mar	3,750.00	5.0
f JONATHAN RICHARD HAR	FID	SPHIX	S	839	6.16	n/a	n/a	0.00	0.0
f JOHN MICHAEL DEMARTI	FID	SSO	S	1000	20.34	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SSO	B	1000	21.36	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SSO	S	1000	20.17	n/a	n/a	0.00	0.0
SOUTH POINT CAP	067	TCK	B	150,000	5.92	n/a	n/a	7,500.00	5.0
f MANNY I KORMAN	FID	TEVA	B	1000	45.58	18-Feb	23-Mar	0.00	0.0
f BARBARA S LEDDY	FID	TIN	B	600	5.55	5-Dec	20-Mar	0.00	0.0
CAXTON PART	040	TIN	B	10,000	5.42	5-Dec	20-Mar	350.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KENNEDY CAPITAL	046	TIN	B	30,000	5.55	5-Dec	20-Mar	900.00	3.0
SCOPUS FUND	041	TIN	B	25,000	5.50	5-Dec	20-Mar	1,250.00	5.0
f THOMAS JOHN LEDDY	FID	TIN	B	240	5.50	5-Dec	20-Mar	0.00	0.0
f THOMAS MACTAGGART LE	FID	TIN	B	400	5.52	5-Dec	20-Mar	0.00	0.0
LISTED ERR	099	TIN	S	25,000	5.40	5-Dec	20-Mar	0.00	0.0
B BUCK CAPITAL MGMT		TRLG	S	20,000	12.09	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	TROW	C	2,500	27.03	n/a	24-Mar	125.00	5.0
SANDLER O'NEILL ASSET	031	TROW	B	25,000	27.14	n/a	24-Mar	1,250.00	5.0
PZENA INV ADV	044	TYC	S	9,400	20.27	12-Nov	3-Feb	329.00	3.5
f ANDREW SINCLAIR	FID	UPLPZ	S	200	0.70	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	UPLQV	B	110	0.67	n/a	n/a	0.00	0.0
HOOVER INV. MGMT	033	URBN	S	91,100	15.90	n/a	30-Jan	3,644.00	4.0
B BUCK CAPITAL MGMT		VFC	B	10,000	59.12	n/a	n/a	non-brg	4.0
f ABRAM M CHAPPELL	FID	VFORX	S	317	13.91	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	WBAAQ	B	100	2.84	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	WDR	C	35,000	19.00	n/a	24-Mar	1,750.00	5.0
PZENA INV ADV	044	WEC	S	6,125	40.63	n/a	n/a	214.38	3.5
PZENA INV ADV	044	WHR	S	7,050	30.97	n/a	n/a	246.75	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PZENA INV ADV	044	WLP	S	4,450	36.46	n/a	n/a	155.75	3.5
⌘ BUCK CAPITAL MGMT		WMT+EK[WMT]	S	6	1.14	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	WMT	S	80,000	51.49	10-Oct	6-Mar	3,200.00	4.0
COUGAR TRADING	044	WRC	B	5,000	22.10	27-Feb	27-Feb	250.00	5.0
⌘ BUCK CAPITAL MGMT		WSM+QB[WSM]	SS	2,000	0.85	n/a	n/a	non-brg	100.0
SCOPUS FUND	041	WY	B	36,100	29.66	9-Feb	9-Feb	1,805.00	5.0
⌘ BUCK CAPITAL MGMT		XRT	C	750,000	23.06	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	ZQK	B	100,000	1.22	12-Mar	12-Mar	2,000.00	2.0
TOTAL (Trade Date):							147,522.48		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/23	LISTED ERR	099	AOS	B	100	24.17	25-Mar	25-Mar	0.00 0.0
AO,CXL	03/24	SCOPUS FUND	041	DSW	B	15,000	10.03	24-Mar	25-Mar	750.00 5.0
AO,CRT	03/24	SCOPUS FUND	041	DSW	B	15,000	10.03	24-Mar	25-Mar	750.00 5.0
AO,	03/24	JANA CAPITAL	044	GE	C	244,500	10.39	n/a	n/a	9780.00 4.0
TOTAL (AO, CXL, CRT) :										9,780.00

TOTAL (Trade Date) :	147,522.48
TOTAL (AO, CXL, CRT) :	9,780.00

TOTAL (RUN DATE) / NFS:	157,302.48
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MRI Securities with Trade Activity on 25-Mar.
AOS, PCAR

MRI Securities without Trade Activity on 25-Mar.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 27%
YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)