



## Daily Compliance Activity Report

Trade Date: 07/23/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
AMBER CAPITAL	046	AA	B	200,000	41.86	n/a	n/a	8,000.00 4.0
GLENHILL CAPITAL	038	AA	S	200,000	41.78	n/a	n/a	6,000.00 3.0
EGS ASSOCIATES LP	091	ABG	S	3,000	23.50	18-Jun	13-Jul	180.00 6.0
ROTHSCHILD ASSET	040	ALJ	B	24,950	41.03	n/a	n/a	1,122.75 4.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>5,000</b>	<b>71.85</b>	<b>12-Jul</b>	<b>13-Jul</b>	<b>200.00 4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>C</b>	<b>20,000</b>	<b>35.44</b>	<b>12-Jul</b>	<b>13-Jul</b>	<b>non-brg 5.0</b>
CRAMER ROSENTHAL/SCOTT	012	ARO	B	13,600	40.98	n/a	13-Jul	544.00 4.0
<b>MADIGAN</b>	<b>031</b>	<b>BAC</b>	<b>B</b>	<b>150</b>	<b>48.17</b>	<b>20-Apr</b>	<b>20-Jul</b>	<b>0.00 0.0</b>
SEQUENCE CAPITAL	028	BBW	S	1,778	24.36	15-Jun	15-Jun	71.12 4.0
<b>MAGUIRE</b>	<b>090</b>	<b>BJS</b>	<b>S</b>	<b>7,500</b>	<b>29.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>MADIGAN</b>	<b>031</b>	<b>BMJ</b>	<b>S</b>	<b>200</b>	<b>32.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>Glenn Redemann</b>		<b>BUCY</b>	<b>B</b>	<b>3</b>	<b>0.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>Glenn Redemann</b>		<b>BUCY</b>	<b>B</b>	<b>3</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>Glenn Redemann</b>		<b>BUCY</b>	<b>SS</b>	<b>3</b>	<b>1.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>Glenn Redemann</b>		<b>BUCY</b>	<b>SS</b>	<b>3</b>	<b>2.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
METROPOLITAN CAPITAL	044	BWS	B	20,000	24.15	n/a	n/a	1,000.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CIBC ADV.	060	CDL	B	59,171	6.20	n/a	n/a	2,366.84	4.0
<b>BARR</b>	<b>096</b>	<b>CEVA</b>	<b>B</b>	<b>800</b>	<b>9.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>CHIC</b>	<b>S</b>	<b>50,000</b>	<b>23.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>CHIC</b>	<b>S</b>	<b>50,000</b>	<b>23.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
SIGMA CAPITAL ASSOCIATES	044	CHS	SS	25,000	21.08	12-Jul	13-Jul	1,250.00	5.0
LAKEWAY CAPITAL MGMT	048	CKR	B	45,000	18.96	n/a	n/a	2,250.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>COSI</b>	<b>S</b>	<b>2,000</b>	<b>4.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>CSX</b>	<b>B</b>	<b>3</b>	<b>0.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>CSX</b>	<b>B</b>	<b>3</b>	<b>0.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>CSX</b>	<b>SS</b>	<b>3</b>	<b>0.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>CSX</b>	<b>SS</b>	<b>3</b>	<b>1.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DAVIDSON KEMPNER ADVISOR	217	DAL	SS	30,000	21.18	n/a	n/a	1,500.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>DBRN</b>	<b>S</b>	<b>30,000</b>	<b>20.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DDS</b>	<b>SS</b>	<b>20,000</b>	<b>36.34</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
SCOPUS FUND	039	DD	B	15,000	53.08	n/a	25-May	750.00	5.0
PEAK MACAYA CAP MGMT	044	DRI	SS	3,000	44.09	24-Apr	24-Apr	150.00	5.0
PEAK MACAYA CAP MGMT	044	EAT	SS	6,100	27.80	24-Apr	24-Apr	305.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>ESV</b>	<b>S</b>	<b>16,000</b>	<b>65.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>LEDDY</b>	<b>035</b>	<b>EVST</b>	<b>S</b>	<b>300</b>	<b>32.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>FACE</b>	<b>B</b>	<b>300</b>	<b>13.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FL</b>	<b>B</b>	<b>10,000</b>	<b>22.00</b>	<b>24-May</b>	<b>21-Jun</b>	<b>400.00</b>	<b>4.0</b>
SEQUENCE CAPITAL	028	FL	B	2,813	21.99	24-May	21-Jun	112.52	4.0
PEAK MACAYA CAP MGMT	044	FNF	B	8,000	23.32	n/a	n/a	400.00	5.0
UBS OCONNOR	031	F	B	380,000	8.47	n/a	19-Jul	11,400.00	3.0
AVESTA CAP	229	GE	B	100,000	40.58	n/a	n/a	5,000.00	5.0
SUN AMERICA ASSET MGMT	030	GE	B	38,100	40.61	n/a	n/a	1,905.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>GIII</b>	<b>S</b>	<b>43</b>	<b>17.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WAGONHOUND	048	GIL	B	1,410	35.14	21-Jun	21-Jun	56.40	4.0
UBS OCONNOR	031	GM	SS	92,500	35.13	4-May	20-Jul	2,775.00	3.0
BAIRD INVESTMENT	031	GNTX	S	32,370	22.11	24-Jul	20-Jul	1,618.50	5.0
COUGAR TRADING	044	GNTX	B	15,000	21.43	24-Jul	20-Jul	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPI</b>	<b>S</b>	<b>30,000</b>	<b>37.72</b>	<b>27-Apr</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	GPI	S	5,000	38.06	27-Apr	13-Jul	250.00	5.0
EGS ASSOCIATES LP	091	GPI	S	3,000	37.64	27-Apr	13-Jul	180.00	6.0
LATEFF MGMT	040	GPI	B	1,500	37.65	27-Apr	13-Jul	330.00	22.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>SS</b>	<b>30,000</b>	<b>18.50</b>	<b>n/a</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
TRUST CO/COWEN/CALF.	040	GPS	B	468,787	18.52	n/a	13-Jul	18,751.48	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GSF</b>	<b>S</b>	<b>20,000</b>	<b>78.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>B</b>	<b>15,000</b>	<b>39.27</b>	<b>n/a</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
MAZAMA CAPITAL MGMT.	035	HPY	S	6,900	29.65	n/a	n/a	276.00	4.0
ACT II PARTNERS	067	INAP	B	100,000	14.97	n/a	n/a	5,000.00	5.0
<b>BARR</b>	<b>096</b>	<b>IPGP</b>	<b>B</b>	<b>1,100</b>	<b>20.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IRONBOUND CAPITAL	012	IP	SS	10,000	41.00	4-May	14-Jun	500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>JADE</b>	<b>B</b>	<b>2,000</b>	<b>8.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>S</b>	<b>20,000</b>	<b>74.30</b>	<b>21-Jun</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
GOLDMAN SACHS ASSET MGMT	044	JCP	B	108,000	74.52	21-Jun	13-Jul	2,160.00	2.0
SANDLER O'NEILL ASSET	031	JNS	B	15,000	30.49	16-Jul	16-Jul	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>5,000</b>	<b>37.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
SAC TRADING HOWARD	038	JWN	B	20,000	46.82	12-Jul	13-Jul	1,000.00	5.0
ROSEDALE CAPITAL	046	KEGS	B	40,000	17.10	23-Jul	23-Jul	2,000.00	5.0
TRIBECA/DOUG	040	KFT	B	70,000	35.74	n/a	n/a	2,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LAKEWAY CAPITAL MGMT	048	KOP	B	20,000	29.88	n/a	n/a	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>35,000</b>	<b>65.42</b>	<b>14-Jun</b>	<b>12-Jul</b>	<b>1,400.00</b>	<b>4.0</b>
FIRST NEW YORK LEDDY	035	KSS	B	10,000	65.70	14-Jun	12-Jul	600.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>KV/A</b>	<b>B</b>	<b>20,000</b>	<b>28.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
FORCE CAPITAL MGMT	030	LNET	B	25,000	35.04	n/a	n/a	1,250.00	5.0
CAXTON PART	040	LYO	S	50,000	46.62	14-May	14-May	2,000.00	4.0
CHILTON CAPITAL MGMT.	036	MAR	B	25,000	44.85	n/a	n/a	1,000.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>MFM</b>	<b>B</b>	<b>3,500</b>	<b>7.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ROSENBERG</b>	<b>034</b>	<b>MIRWSB</b>	<b>S</b>	<b>1,000</b>	<b>23.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MRU+TV</b>	<b>S</b>	<b>100</b>	<b>0.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBFIN</b>	<b>C</b>	<b>85,000</b>	<b>28.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.8</b>
CAVU CAP ADV	028	MU	B	47,500	13.52	n/a	n/a	2,375.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>SS</b>	<b>25,000</b>	<b>41.82</b>	<b>13-Jul</b>	<b>13-Jul</b>	<b>1,000.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>M</b>	<b>S</b>	<b>60,000</b>	<b>41.88</b>	<b>13-Jul</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
PEREGRINE	030	NDN	B	141,700	13.58	n/a	11-Jul	7,085.00	5.0
<b>KAZMI</b>	<b>010</b>	<b>NRWS</b>	<b>S</b>	<b>15,000</b>	<b>0.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON KEMPNER ADVISOR	217	NWA	SS	70,000	21.57	n/a	n/a	3,500.00	5.0
ß BUCK CAPITAL MGMT		PAG	S	25,000	20.89	n/a	13-Jul	non-brg	5.0
BARR	096	PDFS	S	1,800	12.19	n/a	n/a	0.00	0.0
MKT MAKER	099	PFCB	B	32	35.97	24-Apr	24-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		PSUN	B	50,000	20.78	n/a	13-Jul	non-brg	5.0
WHITE PINE CAPITAL	030	RCKY	S	6,700	16.80	n/a	n/a	335.00	5.0
ß BUCK CAPITAL MGMT		RIG	S	15,000	116.14	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		RL	S	20,000	98.97	21-Jun	27-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		RTH	SS	142,000	105.22	n/a	n/a	non-brg	2.0
HARVEST MGMT	039	RVI	B	50,000	14.38	n/a	n/a	2,500.00	5.0
LEDDY	035	SDS	S	1,100	50.42	n/a	n/a	0.00	0.0
CAXTON PART	040	SGP	S	50,000	31.68	n/a	n/a	2,000.00	4.0
SEQUENCE CAPITAL	028	SKS	S	2,220	21.49	n/a	n/a	88.80	4.0
BUCK CAPITAL MGMT	001	SKX	S	40,000	29.90	n/a	13-Mar	1,600.00	4.0
Õ Glenn Redemann		SPWR	B	3	0.44	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Glenn Redemann		SPWR	B	3	0.60	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		SPWR	SS	3	1.44	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		SPWR	SS	3	1.45	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	SSI	S	20,000	20.81	25-May	13-Jul	800.00	4.0
	MKT MAKER	099	TARR	B	64	7.58	n/a	n/a	0.00	0.0
	GALLEON MGMT LP	033	TLAB	S	10,000	12.82	n/a	22-Mar	500.00	5.0
	MERRILL ASSET	032	TLB	S	39,900	23.73	n/a	17-Jul	1,795.50	4.5
ß	BUCK CAPITAL MGMT		TRLG	S	5,000	21.50	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		URBN	B	30,000	20.94	2-Apr	16-Jul	non-brg	5.0
	MKT MAKER	099	USTR	B	26	68.53	23-May	23-May	0.00	0.0
	KAZMI	010	VOII	S	20,000	0.07	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		WBC-W	B	5,000	52.01	n/a	n/a	non-brg	5.0
ß	BUCK CAPITAL MGMT		WRNC	S	20,000	40.58	18-Jul	18-Jul	non-brg	5.0
	JP MORGAN VENTURES	046	WRNC	S	37,500	40.17	18-Jul	18-Jul	1,875.00	5.0
TOTAL (Trade Date):									117,608.91	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	07/19	GOLDEN TREE	036	CTXS	S	91,576	38.79	n/a	n/a	4578.80	5.0
AO,CXL	07/19	GOLDEN TREE	036	CTXS	S	91,576	38.79	n/a	n/a	4578.80	5.0
AO,	05/11	LISTED ERR	099	FL	B	25,000	21.53	24-May	21-Jun	0.00	0.0
AO,	05/11	LISTED ERR	099	FL	S	25,000	21.50	24-May	21-Jun	0.00	0.0
AO,CRT	07/20	MKT MAKER	099	GIII	B	19	17.05	n/a	n/a	0.00	0.0
AO,CRT	07/20	WAGONHOUND	048	GIL	B	1,460	35.03	21-Jun	21-Jun	58.40	4.0
AO,CXL	07/18	REINHART & MAHONEY	031	PCH	B	9,000	43.75	26-Jun	26-Jun	450.00	5.0
AO,CRT	07/18	REINHART & MAHONEY	031	PCH	B	9,000	43.75	26-Jun	26-Jun	450.00	5.0
AO,	05/16	LISTED ERR	099	PVH	B	2,900	53.73	31-May	31-May	0.00	0.0
AO,CRT	05/16	LISTED ERR	099	PVH	S	2,900	53.64	31-May	31-May	0.00	0.0
AO,	05/10	LISTED ERR	099	TAP	B	34,456	93.55	n/a	n/a	0.00	0.0
AO,	05/10	LISTED ERR	099	TAP	S	34,456	93.56	n/a	n/a	0.00	0.0
AO,CRT	07/20	AMBER CAPITAL	046	XLB	SS	1,050,000	42.58	n/a	n/a	31500.00	3.0
AO,CXL	07/20	AMBER CAPITAL	046	XLB	SS	1,050,000	42.58	n/a	n/a	31500.00	3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>58.40</b>	

TOTAL (Trade Date) :

117,608.91



TOTAL (AO, CXL, CRT):

58.40

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TOTAL (RUN DATE) / NFS:

117,667.31

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MRI Securities with Trade Activity on 23-Jul.

KEGS

MRI Securities without Trade Activity on 23-Jul.

AXE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 16%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION