



Daily Compliance Activity Report

Trade Date: 02/06/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Glenn Redemann		ADBE	B	17	0.1400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ADBE	B	17	8.0000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ADBE	S	17	0.4100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ADBE	S	17	0.7600	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ANF	B	5,000	79.99	2-Feb	5-Feb	non-brg	5.0
SYSTEMATIC FINANCIAL MGT	035	ANF	S	10,800	79.92	2-Feb	5-Feb	405.00	3.8
SYSTEMATIC FINANCIAL MGT	035	AVZ	S	100,000	25.06	29-Dec	6-Feb	3,750.00	3.8
SINCLAIR	031	BAC	S	50	52.97	11-Jan	23-Jan	0.00	0.0
SAILFISH	037	BBBY	SS	10,000	41.48	n/a	n/a	500.00	5.0
SAC TRADING HOWARD	038	BBW	S	10,000	29.26	8-Jan	8-Jan	500.00	5.0
Õ Glenn Redemann		BBY	B	8	0.1200	13-Dec	10-Jan	0.00	0.0
Õ Glenn Redemann		BBY	B	8	0.8000	13-Dec	10-Jan	0.00	0.0
Õ Glenn Redemann		BBY	S	8	0.5200	13-Dec	10-Jan	0.00	0.0
Õ Glenn Redemann		BBY	S	8	0.9300	13-Dec	10-Jan	0.00	0.0
CROSS CAPITAL	028	BHE	SS	42,200	23.53	n/a	n/a	1,688.00	4.0
Õ Glenn Redemann		BIF	B	1000	10.8000	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BLDR	B	10,000	18.63	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		BLG	B	35,000	22.69	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		BLG	B	5,000	22.03	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		CACH	B	10,000	23.70	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CEL	S	20,000	20.13	n/a	n/a	non-brg	5.0
DIAMONDBACK CAP MGMT	232	COGN	S	15,000	42.30	n/a	n/a	750.00	5.0
MATRIX ASSET ADV.	036	COP	B	17,500	66.68	n/a	n/a	700.00	4.0
&MAT	036	COP	B	8,000	66.68	n/a	n/a	320.00	4.0
THE JAY GOLDMAN	034	COST	B	10,000	56.57	n/a	6-Feb	400.00	4.0
UBS O'CONNOR/TOM LEDDY	035	COST	B	50,000	56.93	n/a	6-Feb	2,500.00	5.0
RH CAPITAL ASSOCIATES	060	CRR	S	5,400	45.19	1-Feb	1-Feb	270.00	5.0
HIGHBRIDGE CAPITAL	038	CZN	SS	247,900	14.69	n/a	n/a	12,395.00	5.0
VANDER MOOLEN	040	C	B	5,000	55.40	11-Jan	22-Jan	250.00	5.0
⌘ BUCK CAPITAL MGMT		DBRN	B	10,000	22.37	n/a	n/a	non-brg	5.0
SAILFISH	037	DELL	B	10,000	23.64	n/a	n/a	500.00	5.0
SARAS CAPITAL	038	DSW	B	27,675	40.82	n/a	5-Feb	830.25	3.0
DKR CAPITAL	048	EP	SS	32,000	15.16	n/a	n/a	1,280.00	4.0
THE JAY GOLDMAN	034	FD	SS	25,000	41.32	26-Jan	5-Feb	1,000.00	4.0
Ö Glenn Redemann		FFIV	B	9	0.8400	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Glenn Redemann		FFIV	B	9	0.8400	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		FFIV	S	9	1.5700	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		FFIV	S	9	1.8100	n/a	n/a	0.00	0.0
	FIRST NEW YORK LEDDY	035	FINL	B	20,000	12.70	n/a	21-Dec	1,200.00	6.0
B	BUCK CAPITAL MGMT		FL	S	50,000	23.03	n/a	5-Feb	non-brg	5.0
	CHILTON CAPITAL MGMT.	036	FNM	SS	93,300	56.89	n/a	n/a	3,732.00	4.0
B	BUCK CAPITAL MGMT		GIL	B	40,000	52.24	n/a	n/a	non-brg	5.0
	AVENUE CAPITAL MGMT	036	GLW	SS	100,000	21.72	n/a	5-Feb	5,000.00	5.0
	DOUBLE V/PBX	044	GLW	S	20,000	21.99	n/a	5-Feb	1,000.00	5.0
	MORGAN LEWIS/MLH	046	GLW	B	10,000	22.35	n/a	5-Feb	500.00	5.0
	DEMARTINI	065	GSAT	B	2,000	11.13	n/a	n/a	0.00	0.0
	HIGHFIELDS CAP MGMT	039	HCBK	S	200,000	13.87	n/a	n/a	8,000.00	4.0
	U.S.TRUST COMPANY	012	HMA	S	98,713	19.66	18-Jan	6-Feb	4,442.09	4.5
	DAVIDSON KEMPNER ADVISOR	217	IBB	SS	32,000	79.62	n/a	n/a	1,600.00	5.0
	CHARTER OAK	037	IDIX	B	34,000	8.90	n/a	n/a	1,700.00	5.0
B	BUCK CAPITAL MGMT		INTC	B	10,000	21.20	n/a	n/a	non-brg	5.0
	ASCEND PARTNERS	033	ISE	S	10,000	46.03	26-Oct	6-Feb	500.00	5.0
	SSI INVESTORS	048	ISE	B	44,685	45.94	26-Oct	6-Feb	1,787.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	ISE	S	1,000	46.15	26-Oct	6-Feb	0.00	0.0
B BUCK CAPITAL MGMT		ISIL	C	40,000	24.57	n/a	n/a	non-brg	5.0
BARR	096	ITCD	S	500	2.40	n/a	n/a	0.00	0.0
BARON ASSET MGMT*	012	JCG	B	25,000	36.72	25-Jan	25-Jan	1,250.00	5.0
BUCK CAPITAL MGMT	001	JNY	B	20,000	33.85	n/a	2-Feb	800.00	4.0
PERRY PARTNERS	035	JWN	B	50,000	56.65	18-Jan	5-Feb	2,500.00	5.0
PRINCIPLE CAPITAL	040	KSS	SS	26,900	73.21	27-Dec	6-Feb	1,076.00	4.0
B BUCK CAPITAL MGMT		LTD	B	10,000	29.13	5-Jan	5-Feb	non-brg	5.0
MILLENIUM PARTNERS	028	LVLTT	B	50,000	6.32	n/a	7-Feb	2,000.00	4.0
AVESTA CAP	229	MCD	B	50,000	44.67	12-Oct	6-Feb	2,500.00	5.0
GALLEON MGMT LP	033	MCD	B	30,000	44.66	12-Oct	6-Feb	1,500.00	5.0
SAILFISH	037	MCD	B	20,000	44.74	12-Oct	6-Feb	1,000.00	5.0
SCOPUS FUND	039	MCD	S	20,000	44.55	12-Oct	6-Feb	1,000.00	5.0
B BUCK CAPITAL MGMT		MDTL	SS	5,000	14.30	n/a	n/a	non-brg	5.0
U.S.TRUST COMPANY	012	MLI	B	5,000	32.69	6-Feb	6-Feb	225.00	4.5
DKR CAPITAL	048	MLS	B	14,000	26.14	n/a	n/a	560.00	4.0
HAYGROUND COE	039	NKE	SS	5,000	100.08	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		NLS	B	20,000	16.90	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		NLS	B	55	0.3500	n/a	n/a	0.00	0.0
REICH AND TANG	037	NVL	S	20,000	40.71	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	NWY	S	10,000	14.82	1-Dec	5-Feb	400.00	4.0
Õ Glenn Redemann		NYX	B	2	1.9700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NYX	B	2	2.0300	n/a	n/a	0.00	0.0
SUFFOLK CAPITAL MGMT	040	PEP	S	100,000	64.64	n/a	n/a	4,000.00	4.0
Õ Glenn Redemann		PLLL	B	20	0.1400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PLLL	B	20	0.2600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PLLL	S	20	0.5600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PLLL	S	20	0.7400	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	84.59	2-Feb	2-Feb	400.00	4.0
SARAS CAPITAL	038	RVI	S	75,000	20.00	n/a	n/a	2,250.00	3.0
COHE & STEERS	091	RYN	B	112,000	44.31	24-Jan	24-Jan	5,600.00	5.0
GLG PARTNERS	034	SBUX	B	25,000	33.70	n/a	1-Feb	1,250.00	5.0
B BUCK CAPITAL MGMT		SHOO	B	15,000	30.95	n/a	n/a	non-brg	5.0
TRIBECA	038	SHOO	B	25,000	30.90	n/a	n/a	1,000.00	4.0
LUCHANSKY	036	SHOO	B	300	30.81	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	238	SM	S	12,600	35.88	n/a	n/a	630.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IRONBOUND CAPITAL	012	SSCC	SS	50,000	11.71	26-Oct	25-Jan	2,500.00	5.0
LUCHANSKY	036	SUP	B	400	22.00	n/a	n/a	0.00	0.0
S.A.C. CAPITAL MGMT.	044	SYX	SS	25,000	34.86	13-Sep	29-Jan	1,250.00	5.0
IRONBOUND CAPITAL	012	TIN	B	40,000	50.03	6-Feb	6-Feb	2,000.00	5.0
PLATTE RIVER CAPITAL	035	TIN	B	12,000	49.72	6-Feb	6-Feb	480.00	4.0
BASSO CAPITAL	037	TJX	B	11,300	28.23	6-Oct	5-Feb	565.00	5.0
B BUCK CAPITAL MGMT		TRI	S	79,900	49.51	22-Jan	6-Feb	non-brg	5.0
WOOSTER CAPITAL	035	TRLG	B	25,000	17.29	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		TSCO	B	10,000	45.89	n/a	n/a	non-brg	5.0
NICOLAS APPEGATE	048	TXCO	S	51,400	12.03	n/a	n/a	1,542.00	3.0
B BUCK CAPITAL MGMT		TXN	SS	5,000	31.17	n/a	n/a	non-brg	5.0
MCCARTAN	028	UA	C	100	46.16	n/a	n/a	0.00	0.0
STERLING JOHNSTON	035	UCO	B	2,950	70.82	n/a	5-Feb	147.50	5.0
GASNER	025	UFPI	C	200	52.17	n/a	n/a	0.00	0.0
GAULT	063	UPL	B	500	50.92	n/a	22-Jan	0.00	0.0
MERRILL ASSET	032	VQ	B	10,500	16.24	n/a	n/a	525.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	WDR	S	15,000	25.81	12-Jan	6-Feb	750.00	5.0
MAGUIRE	039	WHT	B	1,000	1.77	n/a	n/a	0.00	0.0
Õ Alice Longley		WINN	B	1000	16.9800	n/a	n/a	0.00	0.0
LEDDY	035	WLVT	S	3,000	2.02	n/a	n/a	0.00	0.0
SINCLAIR	031	XOHO	S	750	4.39	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	S	40,000	14.36	8-Sep	11-Jan	1,600.00	4.0
TOTAL (Trade Date):							101,300.24		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	01/31	MS PRINCIPAL STRATEGIES	040	ALC	S	69,200	10.93	n/a	n/a	3460.00 5.0
AO,CXL	01/31	MS PRINCIPAL STRATEGIES	040	ALC	S	69,200	10.93	n/a	n/a	2768.00 4.0
AO,CRT	02/01	THE JAY GOLDMAN	034	AVY	B	12,750	68.78	n/a	5-Feb	510.00 4.0
AO,CXL	02/01	THE JAY GOLDMAN	034	AVY	B	12,750	68.78	n/a	5-Feb	510.00 4.0
AO,CXL	02/05	GOLDEN TREE	036	BHS	B	36,155	40.35	n/a	n/a	1807.75 5.0
AO,CRT	02/05	GOLDEN TREE	036	BHS	B	36,155	40.35	n/a	n/a	1807.75 5.0
AO,CRT	02/05	BALYASNY ASSET MGMT	044	BSC	S	10,000	165.08	15-Dec	16-Jan	500.00 5.0
AO,CXL	02/05	BALYASNY ASSET MGMT	044	BSC	S	10,000	165.08	15-Dec	16-Jan	8.25 0.0
AO,CRT	02/02	PLATTE RIVER CAPITAL	035	BSX	B	5,000	18.24	n/a	22-Apr	200.00 4.0
AO,CXL	02/02	PLATTE RIVER CAPITAL	035	BSX	B	5,000	18.24	n/a	22-Apr	200.00 4.0
AO,CRT	02/05	MKT MAKER	097	CAKE	S	64	27.85	7-Feb	7-Feb	0.00 0.0
AO,CRT	02/05	THIRD POINT MGMT	044	FL	B	300,000	22.64	n/a	5-Feb	12000.00 4.0
AO,CXL	02/05	THIRD POINT MGMT	044	FL	B	600,000	22.64	n/a	5-Feb	24000.00 4.0
AO,CRT	02/05	LOCUS WOOD CAPITAL MGMT	044	HC	B	75,000	22.09	n/a	5-Feb	3750.00 5.0
AO,CXL	02/05	LOCUS WOOD CAPITAL MGMT	044	HC	B	75,000	22.09	n/a	5-Feb	3000.00 4.0
AO,CRT	02/05	MKT MAKER	099	HNSN	S	68	26.20	n/a	n/a	0.00 0.0
AO,CRT	02/05	MKT MAKER	099	NDAQ	SS	1,000	33.35	n/a	n/a	0.00 0.0
AO,	02/05	SLS CAPITAL LLC	224	NVL	B	200,000	36.80	n/a	n/a	10000.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/05	DAVIDSON KEMPNER ADVISOR	217	PCL	S	110,000	40.42	n/a	30-Jan	5500.00 5.0
AO,CRT	02/05	THIRD AVE MGMT	030	SM	B	7,650	36.15	n/a	n/a	306.00 4.0
AO,CXL	02/05	THIRD AVE MGMT	030	SM	B	750	36.15	n/a	n/a	30.00 4.0
AO,CRT	02/01	IRONBOUND CAPITAL	012	SSCC	SS	74,200	11.15	26-Oct	25-Jan	2226.00 3.0
AO,CXL	02/01	IRONBOUND CAPITAL	012	SSCC	SS	74,200	11.15	26-Oct	25-Jan	2226.00 3.0
AO,	02/05	ROXBURY CAPITAL MGMT	035	UCO	S	130,000	69.89	n/a	5-Feb	5200.00 4.0
AO,CRT	01/29	SINCLAIR	031	USB	B	200	35.45	n/a	n/a	0.00 0.0
AO,CXL	01/29	SINCLAIR	031	USB	B	200	35.45	n/a	n/a	0.00 0.0
AO,	02/05	GLICK	059	VFINX	S	97	133.39	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										10,909.75

TOTAL (Trade Date):	101,300.24
TOTAL (AO, CXL, CRT):	10,909.75

TOTAL (RUN DATE) / NFS:	112,209.99
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MRI Securities with Trade Activity on 6-Feb.
MLI, TIN

MRI Securities without Trade Activity on 6-Feb.

PCH

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 16%

YTD = 21%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION