



Daily Compliance Activity Report

Trade Date: 05/14/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STADIA CAPITAL	035	ADBE	S	9,200	25.54	n/a	n/a	368.00	4.0
⚡ BUCK CAPITAL MGMT		ADM	B	20,000	25.08	5-May	5-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		AEO	B	20,000	14.01	20-Apr	8-May	non-brg	4.0
LAGRANGE CAP ADV	048	AIQ	B	56,000	7.04	n/a	n/a	2,800.00	5.0
COOPER CREEK PART	028	ALV	SS	5,000	23.35	12-Mar	22-Apr	200.00	4.0
⚡ BUCK CAPITAL MGMT		ANF	C	100,000	27.28	16-Apr	8-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		ANF	C	159,437	27.31	16-Apr	8-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		ARG	B	20,000	40.90	23-Mar	6-May	non-brg	1.0
BUCK CAPITAL MGMT	001	ARO	B	100,000	32.26	n/a	8-May	4,000.00	4.0
f ANTHONY NG	FID	ATVI	S	100	11.57	n/a	n/a	0.00	0.0
f MICHAEL THOMAS NOLAN	FID	AXL	B	1000	2.41	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		AZO	C	30,193	156.07	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BAC	B	40,000	11.19	11-May	11-May	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	CCE	S	82,300	16.98	n/a	n/a	2,880.50	3.5
⚡ BUCK CAPITAL MGMT		CCI	B	20,000	22.04	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f CHRISTOPHER ROBERT B	FID	CHCG	B	10000	1.45	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	CHCG	B	690	1.49	n/a	n/a	0.00	0.0
f MELISSA LEE BACKUS	FID	CHCG	B	10000	1.44	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CLX	C	30,000	50.73	10-Feb	20-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		CMG	C	10,000	75.31	14-May	14-May	non-brg	2.5
f NICOLAS EVAN PAKLER	FID	COL	B	50	37.88	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CRK	B	400	36.98	n/a	n/a	0.00	0.0
LOCUS WOOD CAPITAL MGMT	044	CRM	B	15,000	39.86	n/a	n/a	600.00	4.0
LOCUS WOOD CAPITAL MGMT	044	CSCO	B	25,000	18.19	n/a	22-Mar	1,000.00	4.0
f ANTHONY NG	FID	CTIC	B	500	1.17	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	CVGI	B	4400	1.39	n/a	n/a	0.00	0.0
f JOHN CAREY MAGUIRE	FID	CVLY	B	800	8.25	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DGI	S	1,000	22.64	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DHI	B	75,000	9.17	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		DIN	B	30,000	26.72	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DIN	B	70,000	26.94	n/a	n/a	non-brg	4.0
f BARBARA S LEDDY	FID	DVN	B	100	61.72	6-May	6-May	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KAREN F LEDDY	FID	DVN	B	50	61.60	6-May	6-May	0.00	0.0
	PHILADELPHIA FINANCIAL	033	EV	SS	39,406	27.01	7-Apr	13-May	1,576.24	4.0
f	JOEL GIFFORD TISS	FID	FAZ	B	2000	6.27	n/a	n/a	0.00	0.0
	TRELLUS PARTNERS LP	028	FDO	SS	16,300	31.19	5-Mar	5-May	815.00	5.0
f	BARBARA S LEDDY	FID	FOR	B	300	11.98	12-Feb	8-May	0.00	0.0
ß	BUCK CAPITAL MGMT		FOR	B	20,000	11.98	12-Feb	8-May	non-brg	1.0
f	KAREN F LEDDY	FID	FOR	B	600	11.97	12-Feb	8-May	0.00	0.0
	BUCK CAPITAL MGMT	001	FO	B	70,000	37.18	n/a	n/a	2,800.00	4.0
f	JOHN MICHAEL ZOLIDIS	FID	F	B	2000	5.25	n/a	12-May	0.00	0.0
	BUCK CAPITAL MGMT	001	GCO	S	20,000	22.64	n/a	10-Jan	800.00	4.0
	BUCK CAPITAL MGMT	001	GES	B	30,000	22.63	n/a	n/a	1,200.00	4.0
ß	BUCK CAPITAL MGMT		GIL+EB[GIL]	C	1,004	2.19	n/a	n/a	non-brg	300.0
ß	BUCK CAPITAL MGMT		GIL	B	250,000	12.58	11-Dec	14-May	non-brg	4.0
ß	BUCK CAPITAL MGMT		GIL	B	50,000	11.70	11-Dec	14-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		GIL	B	70,000	13.39	11-Dec	14-May	non-brg	4.0
	SAB CAPITAL	034	GIL	B	500,000	13.28	11-Dec	14-May	20,000.00	4.0
	SAC TRADING HOWARD	038	GIL	B	7,000	14.02	11-Dec	14-May	280.00	4.0
ß	BUCK CAPITAL MGMT		GME	B	100,000	26.42	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		GME	S	100,000	26.45	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KAREN F LEDDY	FID	GM	B	3000	1.15	19-Feb	8-May	0.00	0.0
f DAVID GEORGE BUCK	FID	GSSP	B	10	0.56	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	GSSY	S	10	0.03	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	HBI	B	50,000	14.26	n/a	n/a	2,000.00	4.0
GRANITE CAPITAL	045	HELE	B	15,300	19.47	n/a	n/a	765.00	5.0
f ALEXANDRA ELISE ZOLI	FID	HOG	B	400	17.24	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	HOG	B	400	17.54	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	IP	B	10,000	11.67	n/a	1-May	500.00	5.0
SCOPUS FUND	041	IP	B	10,000	12.14	n/a	1-May	500.00	5.0
SCOPUS FUND	041	IR	S	25,000	20.47	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		JAS	B	26,270	20.50	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		JAS	B	60,000	20.47	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		JBLU	B	50,000	5.00	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		JCP	C	100,000	27.55	13-Apr	8-May	non-brg	4.0
THE JAY GOLDMAN	034	JWN	SS	25,000	20.34	20-Feb	8-May	1,000.00	4.0
GENERAL MOTORS INV CO	040	KIM	B	50,000	10.34	n/a	n/a	2,000.00	4.0
RBC CAPITAL MARKETS	034	LOW	S	20,000	19.34	12-May	12-May	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CADIAN CAPITAL	067	LULU	SS	50,000	12.16	n/a	n/a	2,000.00	4.0
f ANTHONY NG	FID	LVLTT	B	500	1.08	n/a	4-Jan	0.00	0.0
B BUCK CAPITAL MGMT		LVS	B	200,000	8.49	n/a	n/a	non-brg	1.0
f NEIL M STRATTON	FID	LWTSX	S	2	1.00	n/a	n/a	0.00	0.0
COUGAR TRADING	044	MCD	S	5,000	53.47	n/a	8-May	250.00	5.0
B BUCK CAPITAL MGMT		MHK	C	50,000	40.27	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MNRO	B	10,000	24.65	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		MNRO	B	40,000	24.56	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MVRX	SS	30,000	113.97	n/a	n/a	non-brg	11.4
f EDWARD W WHEELER	FID	MWA	B	5000	3.60	n/a	14-May	0.00	0.0
ROSEDALE CAPITAL	046	MYL	B	20,000	12.86	n/a	14-May	1,000.00	5.0
S.A.C. HEALTHCARE BOBBY	044	MYL	B	25,000	13.08	n/a	14-May	1,000.00	4.0
THE JAY GOLDMAN	034	M	B	50,000	11.34	n/a	14-May	2,000.00	4.0
WASHINGTON ASSET	048	NSC	S	10,000	34.60	n/a	n/a	500.00	5.0
WHITE PINE CAPITAL	030	NWY	S	25,000	4.41	n/a	30-Jan	1,000.00	4.0
M&I FUNDS	256	OCR	S	6,750	27.41	30-Apr	30-Apr	270.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LOCUS WOOD CAPITAL MGMT	044	ORCL	B	13,000	18.55	n/a	n/a	520.00	4.0
BUCK CAPITAL MGMT	001	PAG	B	20,000	11.43	n/a	6-May	800.00	4.0
COOPER CREEK PART	028	PAG	SS	10,000	10.81	n/a	6-May	400.00	4.0
f ALEX JOSEPH DEFILIPP	FID	PCAR	B	100	29.65	25-Mar	4-May	0.00	0.0
f JILL ROSENBERG	FID	PCAR	B	100	29.65	25-Mar	4-May	0.00	0.0
f VICTORIA JEAN DEFILI	FID	PCAR	B	100	29.64	25-Mar	4-May	0.00	0.0
B BUCK CAPITAL MGMT		PERY	S	1,300	6.07	n/a	n/a	non-brg	1.0
SCOPUS FUND	041	PKG	B	5,300	15.37	8-Apr	21-Apr	265.00	5.0
ROARING BLUE LION MGMT	012	PLCE	S	9,600	32.39	19-Mar	8-May	384.00	4.0
JETSTREAM GLOBAL FUND LP	065	QCOR	S	86,500	4.04	n/a	n/a	3,460.00	4.0
f CHRISTOPHER ROBERT B	FID	RRC	B	1000	41.52	n/a	n/a	0.00	0.0
f MELISSA LEE BACKUS	FID	RRC	B	1000	41.60	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		RYL	B	10,000	19.54	n/a	n/a	non-brg	4.0
f NEIL M STRATTON	FID	SFLWB	S	2	2.25	n/a	n/a	0.00	0.0
f NEIL M STRATTON	FID	SFL	B	250	9.50	n/a	n/a	0.00	0.0
LISTED ERR	099	SMG	S	32	34.30	5-Feb	29-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	TJX	B	70,000	27.40	4-May	8-May	2,800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		TJX	B	10,000	27.38	4-May	8-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		UA	C	50,000	21.60	29-Apr	29-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WFMI	C	100,000	19.85	n/a	n/a	non-brg	4.0
AVESTA CAP	229	WMT	SS	50,000	49.04	11-May	14-May	2,000.00	4.0
SLS CAPITAL LLC	224	WMT	B	21,900	49.53	11-May	14-May	876.00	4.0
BUCK CAPITAL MGMT	001	WRC	B	11,700	27.41	13-May	13-May	468.00	4.0
⌘ BUCK CAPITAL MGMT		WRC	B	17,000	27.57	13-May	13-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WRC	B	51,300	27.79	13-May	13-May	non-brg	4.0
COUGAR TRADING	044	WRC	S	10,000	28.10	13-May	13-May	500.00	5.0
⌘ BUCK CAPITAL MGMT		WYNN	C	110,000	38.89	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		XRT	SS	100,000	25.68	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		XRT	SS	65,000	25.58	n/a	n/a	non-brg	1.0
LISTED ERR	099	XRT	S	29,526	25.49	n/a	n/a	0.00	0.0
CUMBERLAND	038	YRCW	SS	67,661	3.28	n/a	n/a	2,706.44	4.0
TOTAL (Trade Date):								71,334.18	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/13	JMP ASSET MGMT	210	DAR	S	12,000	6.40	n/a	n/a	480.00 4.0
AO,CXL	05/13	JMP ASSET MGMT	210	DAR	S	12,000	6.40	n/a	n/a	600.00 5.0
AO,	05/13	MANNING & NAPIER	034	LM	B	253,200	17.96	5-May	11-May	7596.00 3.0
AO,	05/12	BRAHMAN CAPITAL	028	MTG	B	150,000	4.62	n/a	n/a	6000.00 4.0
AO,CRT	05/13	JETSTREAM GLOBAL FUND LP	065	QCOR	S	86,500	3.87	n/a	n/a	3460.00 4.0
AO,CXL	05/13	JETSTREAM GLOBAL FUND LP	065	QCOR	S	86,500	3.87	n/a	n/a	4325.00 5.0
AO,CRT	05/13	MARINER/TRICADIA	041	XRT	SS	50,000	25.54	n/a	n/a	1500.00 3.0
AO,CXL	05/13	MARINER/TRICADIA	041	XRT	SS	50,000	25.54	n/a	n/a	2500.00 5.0

TOTAL (AO, CXL, CRT) :**11,611.00**

TOTAL (Trade Date):

71,334.18

TOTAL (AO, CXL, CRT):

11,611.00

TOTAL (RUN DATE) / NFS:**82,945.18****MRI Securities with Trade Activity on 14-May.****CMG**

MRI Securities without Trade Activity on 14-May.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 16%
YTD = 22%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)