



Daily Compliance Activity Report

Trade Date: 06/01/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		AAN	SS	1,300	26.28	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		AAPL 10 C550	SS	6	58.15	n/a	n/a	non-brg 300.0
BUCK CAPITAL MGMT		AAPL	B	2,400	562.51	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		AAWW	SS	30,000	42.42	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		ABG	B	4,400	24.89	n/a	27-Apr	non-brg 2.0
BUCK CAPITAL MGMT		ABG	S	16,000	25.47	n/a	27-Apr	non-brg 4.0
BUCK CAPITAL MGMT		ABG	S	16,000	25.47	n/a	27-Apr	non-brg 4.0
BUCK CAPITAL MGMT		ABG	S	4,000	26.02	n/a	27-Apr	non-brg 4.0
BUCK CAPITAL MGMT		ABG	S	4,000	26.02	n/a	27-Apr	non-brg 4.0
BESSEMER INVESTMENT	085	ACE	B	285	70.69	n/a	n/a	11.40 4.0
BESSEMER INVESTMENT	085	ACN	B	275	55.15	n/a	n/a	11.00 4.0
BUCK CAPITAL MGMT		AEO	B	1,500	18.86	n/a	2-Dec	non-brg 1.0
BUCK CAPITAL MGMT		AEO	B	26,900	18.50	n/a	2-Dec	non-brg 2.0
BUCK CAPITAL MGMT		AEO	S	1,500	18.86	n/a	2-Dec	non-brg 1.0
BUCK CAPITAL MGMT		AEO	S	50,000	18.43	n/a	2-Dec	non-brg 4.0
IAN L MILLER	FID	AGRFX	B	13	39.71	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		ANN	B	6,300	25.72	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		APC	B	1,000	58.80	n/a	15-May	non-brg 1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		APC	S	1,000	58.80	n/a	15-May	non-brg	1.0
f	GABRIELLA ROSA AMATU	FID	ARCO	B	200	12.79	n/a	7-May	0.00	0.0
f	GIANNA VICTORIA AMAT	FID	ARCO	B	100	12.79	n/a	7-May	0.00	0.0
f	OLIVIA DANIELLA AMAT	FID	ARCO	B	100	12.79	n/a	7-May	0.00	0.0
ß	BUCK CAPITAL MGMT		ARO	B	1,300	18.06	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ARO	B	20,800	17.93	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		ARO	S	1,300	18.06	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		ASNA	B	100,000	19.03	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		ASNA	B	14,500	18.34	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		AXP	B	19,000	53.44	n/a	1-Jun	non-brg	4.0
ß	BUCK CAPITAL MGMT		BAC	B	7,900	7.02	n/a	24-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		BAC	S	7,900	7.02	n/a	24-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		BBBY	B	8,400	70.98	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BBBY	S	20,000	71.66	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		BBBY	S	30,000	71.00	n/a	n/a	non-brg	4.0
	LATEFF MGMT	035	BBT	B	500	28.35	n/a	n/a	50.00	10.0
ß	BUCK CAPITAL MGMT		BC IM	B	1,000	13.18	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		BC IM	S	1,000	13.18	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		BC IM	S	30,000	13.33	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BC IM	S	30,000	13.33	n/a	n/a	non-brg	2.0
f	ALANA J A MADIGAN-SI	FID	BGZ	S	200	25.67	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		BHI	C	600	40.52	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BHI	SS	600	40.52	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BODY	B	300	14.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BODY	B	8,500	14.47	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		BODY	S	300	14.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	B	2,800	4.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	B	32,300	4.88	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		BONT	S	2,800	4.90	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BYD	B	8,400	7.26	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BYD	S	8,400	7.26	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CAR	B	3,100	13.82	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CAR	S	3,100	13.82	n/a	n/a	non-brg	1.0
f EDWARD W WHEELER	FID	CBT	B	50	3.20	n/a	2-May	0.00	0.0
ß BUCK CAPITAL MGMT		CCL	B	700	31.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CCL	S	700	31.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CLH	SS	16,400	60.02	n/a	n/a	non-brg	2.5
f MATTHEW FRANCIS BUCK	FID	CLX	B	100	69.15	n/a	23-May	0.00	0.0
ß BUCK CAPITAL MGMT		COF	B	1,000	49.14	n/a	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		COF	B	10,000	48.56	n/a	1-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		COF	S	1,000	49.14	n/a	1-Jun	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		COH	B	1,000	63.57	n/a	9-May	non-brg	2.0
ß BUCK CAPITAL MGMT		COH	S	20,000	64.79	n/a	9-May	non-brg	4.0
BESSEMER INVESTMENT	085	COP	B	195	51.28	n/a	n/a	7.80	4.0
ß BUCK CAPITAL MGMT		COST	B	3,100	85.45	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		COST	S	20,000	85.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CROX	B	10,900	16.10	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CROX	B	900	16.32	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CROX	S	900	16.32	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	CTL	B	285	37.30	n/a	n/a	11.40	4.0
BESSEMER INVESTMENT	085	CVS	B	225	43.77	n/a	n/a	9.00	4.0
ß BUCK CAPITAL MGMT		C	B	2,000	25.54	n/a	24-May	non-brg	1.0
ß BUCK CAPITAL MGMT		C	S	2,000	25.54	n/a	24-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DAL	B	4,900	11.72	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DAL	S	160,000	11.78	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DAL	S	4,900	11.72	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DDS	B	1,400	66.02	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DFS	B	1,200	31.40	n/a	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		DFS	B	16,000	30.95	n/a	1-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		DFS	S	1,200	31.40	n/a	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		DG	B	3,100	48.66	n/a	1-Jun	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DHI	B	2,600	15.18	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DHI	S	2,600	15.18	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DIA	C	2,200	121.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DIA	SS	2,200	121.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DKS	B	1,300	44.53	n/a	15-May	non-brg	2.0
T. ROWE PRICE	033	DLTR	B	30,100	100.10	n/a	18-May	1,204.00	4.0
ß BUCK CAPITAL MGMT		DO	SS	50,000	57.36	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DRI	SS	12,500	49.84	n/a	13-Apr	non-brg	2.0
BESSEMER INVESTMENT	085	DTE	B	115	56.30	n/a	n/a	4.60	4.0
ß BUCK CAPITAL MGMT		DVN	B	600	57.64	n/a	18-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DVN	S	600	57.64	n/a	18-May	non-brg	1.0
MELLON/BANK OF NEW YORK	046	EMC	B	202,390	23.26	n/a	n/a	8,095.60	4.0
ARSENAL ASSET MANAGEMENT	028	EMN	S	20,000	44.29	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		ESV	B	1,600	43.17	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ESV	S	1,600	43.17	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ESV	S	25,000	44.06	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ETH	SS	900	22.05	n/a	n/a	non-brg	2.0
BNP PARIBAS SEC	040	ETN	B	15,000	40.88	n/a	22-May	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	EXC	B	265	36.71	n/a	n/a	10.60	4.0
⌘ BUCK CAPITAL MGMT		FBHS	B	1,300	21.14	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FBHS	S	1,300	21.14	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	FCX	B	185	32.17	n/a	n/a	7.40	4.0
ARSENAL ASSET MANAGEMENT	028	FDO	B	10,000	66.90	n/a	7-May	400.00	4.0
⌘ BUCK CAPITAL MGMT		FDO	B	8,400	67.18	n/a	7-May	non-brg	2.0
NEUBERGER BERMAN	036	FEIC	B	27,300	44.46	n/a	n/a	1,092.00	4.0
⌘ BUCK CAPITAL MGMT		FINL	B	26,200	19.45	n/a	31-May	non-brg	2.0
⌘ BUCK CAPITAL MGMT		FINL	B	3,000	19.82	n/a	31-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FINL	S	100,000	19.45	n/a	31-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FINL	S	3,000	19.82	n/a	31-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FINL	S	50,000	19.68	n/a	31-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FL	B	1,900	30.93	n/a	18-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FL	B	37,500	30.30	n/a	18-May	non-brg	2.0
⌘ BUCK CAPITAL MGMT		FL	S	1,900	30.93	n/a	18-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FL	S	100,000	30.12	n/a	18-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FL	S	50,000	30.56	n/a	18-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FNP	C	25,000	11.18	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FNP	C	25,000	11.20	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FNP	SS	9,400	11.14	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		FOR	B	3,100	13.05	n/a	11-May	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FOR	S	3,100	13.05	n/a	11-May	non-brg	1.0
f IAN L MILLER	FID	FOR	B	50	13.07	n/a	11-May	0.00	0.0
ß BUCK CAPITAL MGMT		FRAN	C	16,000	23.12	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FRAN	SS	500	22.76	n/a	n/a	non-brg	2.0
f MARK MEGALLI	FID	FURX	S	1000	19.55	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GCO	B	200	65.47	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GCO	B	25,100	63.79	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GCO	S	200	65.47	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	GD	B	95	63.01	n/a	26-Apr	3.80	4.0
ß BUCK CAPITAL MGMT		GIII	B	200	23.97	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIII	B	33,000	24.22	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GIII	S	200	23.97	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIL	B	1,600	22.39	n/a	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		GIL	B	10,000	22.56	n/a	4-May	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	B	22,300	22.45	n/a	4-May	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	B	55,300	22.80	n/a	4-May	non-brg	2.0
ß BUCK CAPITAL MGMT		GIL	S	1,600	22.39	n/a	4-May	non-brg	1.0
HOLLIS CAPITAL	033	GIL	B	6,000	22.60	n/a	4-May	300.00	5.0
ß BUCK CAPITAL MGMT		GMCR	B	19,100	22.54	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GMCR	S	30,000	22.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GMCR	S	50,000	22.50	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GMCR	S	50,000	22.59	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GNC	B	3,300	36.66	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		GNC	S	10,000	37.30	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GNC	S	109,004	36.67	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GNC	S	20,000	37.67	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GPI	B	1,300	48.34	n/a	27-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		GPI	S	20,000	50.10	n/a	27-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		GPS 1 P15	SS	91	1.22	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		GPS	B	12,500	25.24	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GS	B	200	92.75	n/a	24-May	non-brg	1.0
ß BUCK CAPITAL MGMT		GS	S	200	92.85	n/a	24-May	non-brg	1.0
f JONATHAN RICHARD HAR	FID	HAIN	B	56	53.24	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		HAL	B	2,000	29.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HAL	S	2,000	29.47	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HAS	SS	3,100	34.90	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HBI	B	1,700	27.38	n/a	25-May	non-brg	1.0
ß BUCK CAPITAL MGMT		HBI	B	52,500	27.00	n/a	25-May	non-brg	2.0
ß BUCK CAPITAL MGMT		HBI	S	1,700	27.38	n/a	25-May	non-brg	1.0
ß BUCK CAPITAL MGMT		HLF	B	8,400	44.14	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HLF	S	40,000	44.07	n/a	n/a	non-brg	4.0
SPARTAN	093	HL	B	50,000	4.45	n/a	n/a	1,500.00	3.0
ß BUCK CAPITAL MGMT		HTZ	B	4,700	12.82	n/a	n/a	non-brg	1.0

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ß	BUCK CAPITAL MGMT		HTZ	S	4,700	12.82	n/a	n/a	non-brg	1.0
	BESSEMER INVESTMENT	085	IBM	B	105	189.40	n/a	n/a	4.20	4.0
f	JOSEPH CIRO AMATURO	FID	IGD	B	900	8.57	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		IGT	B	1,700	13.82	n/a	13-Jun	non-brg	1.0
ß	BUCK CAPITAL MGMT		IGT	S	1,700	13.82	n/a	13-Jun	non-brg	1.0
ß	BUCK CAPITAL MGMT		IJH	C	1,300	90.30	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IJH	SS	1,300	90.30	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IP	B	1,300	28.20	n/a	27-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		IP	S	1,300	28.19	n/a	27-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		IR	B	300	40.38	n/a	11-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		IR	S	300	40.38	n/a	11-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		IWO	C	5,700	84.72	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IWO	SS	5,700	84.72	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		JIVE	B	25,000	15.79	n/a	n/a	non-brg	4.0
	BESSEMER INVESTMENT	085	JNJ	B	265	61.87	n/a	n/a	10.60	4.0
f	IAN L MILLER	FID	JNJ	B	25	61.82	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		JNY	B	26,100	9.24	n/a	26-Apr	non-brg	2.0
ß	BUCK CAPITAL MGMT		JNY	B	30,000	9.37	n/a	26-Apr	non-brg	3.0
	SIMMONS VENTURES	041	JNY	B	10,000	9.34	n/a	26-Apr	400.00	4.0
ß	BUCK CAPITAL MGMT		JPCMSBCM	SS	44,747	102.08	n/a	n/a	non-brg	8.2

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ß BUCK CAPITAL MGMT		JPCMSBCM	SS	52,530	102.08	n/a	n/a	non-brg	8.2
ß BUCK CAPITAL MGMT		JPM	B	1,100	32.16	n/a	24-May	non-brg	1.0
ß BUCK CAPITAL MGMT		JPM	S	1,100	32.18	n/a	24-May	non-brg	1.0
ß BUCK CAPITAL MGMT		KBH	B	2,800	6.78	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		KBH	S	2,800	6.78	n/a	n/a	non-brg	1.0
BASIX	033	KMT	B	10,000	33.93	n/a	27-Apr	300.00	3.0
ß BUCK CAPITAL MGMT		KORS	B	6,900	37.32	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		KORS	S	20,000	37.08	n/a	n/a	non-brg	4.0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE035		KRA		S	25,063	17.96	n/a	n/a	751.89 3.0
ß BUCK CAPITAL MGMT		KR	SS	7,000	21.67	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		KSS	B	5,900	44.57	1-Jun	1-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		KSS	B	600	45.00	1-Jun	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		KSS	S	20,000	44.71	1-Jun	1-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		KSS	S	600	45.00	1-Jun	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		LAD	B	3,400	22.74	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		LAD	S	20,000	23.44	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LEN	B	1,500	25.02	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LEN	S	1,500	25.02	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LLY	B	25,000	40.23	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	LOW	B	380	26.47	n/a	22-May	15.20	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		LTM	SS	3,100	41.39	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		LULU	SS	2,500	69.22	n/a	10-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		LVS	B	1,900	42.55	n/a	13-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		LVS	S	160,000	43.15	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	60,000	43.44	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		MA	B	2,500	391.76	n/a	31-May	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	MA	S	50	394.25	n/a	31-May	0.00	0.0
ß BUCK CAPITAL MGMT		MCD	SS	30,000	87.20	n/a	18-May	non-brg	4.0
ß BUCK CAPITAL MGMT		MCD	SS	30,000	87.24	n/a	18-May	non-brg	4.0
ß BUCK CAPITAL MGMT		MCD	SS	6,300	86.73	n/a	18-May	non-brg	2.0
ß BUCK CAPITAL MGMT		MDY	C	400	164.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MDY	SS	400	164.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MFRM	B	5,400	34.90	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		MFRM	S	10,000	33.66	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MGM	B	9,400	10.32	n/a	13-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		MGM	S	682,500	10.31	n/a	13-Jun	non-brg	4.0
BESSEMER INVESTMENT	085	MHP	B	235	42.85	n/a	n/a	9.40	4.0
NEUBERGER BERMAN	036	MRK	S	57,952	37.18	n/a	n/a	2,318.08	4.0
BESSEMER INVESTMENT	085	MRO	B	410	24.07	n/a	n/a	16.40	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	MSFT	B	600	28.63	n/a	n/a	24.00	4.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	196,120	102.43	n/a	n/a	non-brg	8.2
ß BUCK CAPITAL MGMT		MSMSBCM	C	76,000	102.77	n/a	n/a	non-brg	8.2
ß BUCK CAPITAL MGMT		MS	B	2,200	12.85	n/a	31-May	non-brg	1.0
ß BUCK CAPITAL MGMT		MS	S	2,200	12.84	n/a	31-May	non-brg	1.0
ß BUCK CAPITAL MGMT		MWV	B	600	26.54	n/a	25-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		MWV	S	600	26.54	n/a	25-Apr	non-brg	1.0
TUDOR INVESTORS	028	MWV	B	10,000	26.37	n/a	25-Apr	300.00	3.0
BESSEMER INVESTMENT	085	M	B	370	37.09	n/a	1-Jun	14.80	4.0
ß BUCK CAPITAL MGMT		M	B	1,500	37.26	n/a	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		M	B	35,400	36.90	n/a	1-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		M	S	1,500	37.24	n/a	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		M	S	30,000	37.24	n/a	1-Jun	non-brg	4.0
BESSEMER INVESTMENT	085	NEM	B	340	50.42	n/a	n/a	13.60	4.0
ß BUCK CAPITAL MGMT		NKE	B	1,200	104.63	n/a	23-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		NKE	B	200	105.39	n/a	23-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		NKE	S	10,000	104.34	n/a	23-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		NKE	S	200	105.39	n/a	23-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		NWY	B	30,900	3.41	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		PAG	B	8,100	23.51	n/a	26-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		PAG	S	173,900	23.61	n/a	26-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		PAG	S	20,000	23.73	n/a	26-Apr	non-brg	4.0
TRELLUS PARTNERS LP	028	PAG	B	20,000	23.84	n/a	26-Apr	600.00	3.0
Ⓣ EDWARD W WHEELER	FID	PCAR	S	5000	36.70	n/a	25-Apr	0.00	0.0
OHIO PERS	047	PCG	B	92,618	43.68	n/a	n/a	2,778.54	3.0
⌘ BUCK CAPITAL MGMT		PII	B	3,800	71.87	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PII	S	40,000	72.20	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PII	S	40,000	72.90	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PLCE	B	6,300	44.61	n/a	17-May	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PLCE	B	600	45.08	n/a	17-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PLCE	S	600	45.08	n/a	17-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PSMT	B	4,600	65.01	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PSMT	S	10,000	65.37	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	B	700	77.58	n/a	25-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PVH	B	9,600	76.25	n/a	25-May	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PVH	S	1,800	76.11	n/a	25-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	20,000	77.20	n/a	25-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	700	77.58	n/a	25-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RCII	SS	1,300	33.30	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		RCII	SS	20,000	33.44	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		RCL	B	2,000	22.60	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RCL	S	2,000	22.60	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RGC	B	7,400	13.09	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		RKT	B	200	50.58	n/a	26-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		RKT	S	200	50.58	n/a	26-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		RLJAU	B	7,800	10.48	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RLJA	B	9,400	9.94	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RL	SS	10,000	143.36	n/a	23-May	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	SS	900	141.50	n/a	23-May	non-brg	2.0
ß BUCK CAPITAL MGMT		RUSHA	B	1,100	16.22	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RUSHA	S	1,100	16.22	n/a	n/a	non-brg	1.0
f EDWARD W WHEELER	FID	RUSHA	B	5000	16.19	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RYL	B	1,900	20.74	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RYL	S	1,900	20.74	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		R	B	1,700	41.94	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		R	S	1,700	41.94	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SAH	B	6,400	14.10	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SCSS	B	19,500	25.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SCSS	B	31,800	25.91	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SCSS	B	800	25.92	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SCSS	S	20,000	25.91	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SCSS	S	50,000	25.66	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SCSS	S	800	25.92	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SHOO	B	5,800	38.64	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SHOO	S	10,000	38.76	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHOO	S	20,000	38.21	n/a	n/a	non-brg	4.0
Õ Sasha Murray		SHOR	B	3000	3.94	n/a	n/a	0.00	0.0
Õ Sasha Murray		SHOR	B	7000	3.94	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SIG	B	15,800	42.49	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SIG	B	500	42.84	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SIG	S	50,000	42.55	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SIG	S	500	42.84	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKS	SS	16,600	9.77	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SKUL	B	23,800	13.19	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SKUL	S	40,000	12.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SLB	B	600	61.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SLB	S	600	61.02	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SLM	B	4,400	13.80	n/a	30-May	non-brg	1.0
ß BUCK CAPITAL MGMT		SLM	S	4,400	13.80	n/a	30-May	non-brg	1.0
ß BUCK CAPITAL MGMT		SLXP	C	300	51.46	n/a	29-May	non-brg	1.0
ß BUCK CAPITAL MGMT		SLXP	SS	300	51.46	n/a	29-May	non-brg	1.0
EPOCH INV MGMT	030	SPTN	B	13,755	16.44	n/a	n/a	412.65	3.0
ß BUCK CAPITAL MGMT		SPY	C	300	128.88	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SPY	SS	300	128.88	n/a	n/a	non-brg	1.0
f IAN L MILLER	FID	SPY	B	10	128.91	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		SSI	B	4,700	16.20	n/a	n/a	non-brg	2.0
f JONATHAN RICHARD HAR	FID	SSI	B	240	16.24	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		STT	B	1,600	40.32	n/a	24-May	non-brg	1.0
ß BUCK CAPITAL MGMT		STT	S	1,600	40.33	n/a	24-May	non-brg	1.0
BESSEMER INVESTMENT	085	STX	B	220	21.89	n/a	n/a	8.80	4.0
ß BUCK CAPITAL MGMT		SUMR	B	8,700	3.06	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SWN	B	2,100	26.96	n/a	25-May	non-brg	1.0
ß BUCK CAPITAL MGMT		SWN	S	2,100	26.96	n/a	25-May	non-brg	1.0
f DAVID GEORGE BUCK	FID	SWN	S	2000	27.36	n/a	25-May	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	SWN	S	300	27.34	n/a	25-May	0.00	0.0
ß BUCK CAPITAL MGMT		SWY	SS	9,500	18.48	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SYX	SS	6,300	27.60	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		TEX	B	600	15.49	n/a	24-May	non-brg	1.0
ß BUCK CAPITAL MGMT		TEX	S	600	15.42	n/a	24-May	non-brg	1.0
SCOPUS FUND	041	TEX	S	50,000	15.88	n/a	24-May	2,000.00	4.0
ß BUCK CAPITAL MGMT		TFM	SS	9,400	56.04	n/a	n/a	non-brg	2.0
BESSEMER INVESTMENT	085	TGT	B	240	57.31	n/a	31-May	9.60	4.0
CRAMER ROSENTHAL	070	TGT	S	86,510	57.26	n/a	31-May	3,460.40	4.0
ß BUCK CAPITAL MGMT		TIF	SS	600	54.29	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		TJX	B	16,500	40.99	n/a	1-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		TJX	B	900	42.00	n/a	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	S	20,000	40.95	n/a	1-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		TJX	S	900	41.99	n/a	1-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		TLYS	S	30,573	15.69	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TOL	B	500	25.39	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TOL	S	500	25.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TPX	B	11,500	42.81	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TPX	B	500	43.75	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TPX	B	7,800	43.01	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		TPX	S	182,198	43.25	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TPX	S	20,000	43.32	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TPX	S	500	43.70	n/a	n/a	non-brg	1.0
f EDWARD W WHEELER	FID	TWI	B	184	1.10	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ULTA	B	5,900	86.43	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		ULTA	S	20,000	86.07	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ULTA	S	20,000	86.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	SS	20,000	27.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	SS	3,100	26.72	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		VFC	B	1,300	134.41	n/a	27-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		VRA	S	220,000	20.30	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		V	B	500	113.00	n/a	30-May	non-brg	1.0
ß BUCK CAPITAL MGMT		V	S	500	113.01	n/a	30-May	non-brg	1.0
f EDWARD W WHEELER	FID	WCRX	B	5000	18.06	n/a	29-May	0.00	0.0
ß BUCK CAPITAL MGMT		WNC	B	8,300	6.48	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WNC	S	8,300	6.48	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WSM	SS	3,100	34.09	n/a	n/a	non-brg	2.0
BESSEMER INVESTMENT	085	WU	B	675	15.99	n/a	n/a	27.00	4.0
ß BUCK CAPITAL MGMT		WWW	B	1,600	40.87	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		WWW	S	10,000	41.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WYNN	B	1,600	97.37	n/a	13-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		WYNN	S	90,000	97.69	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		WY	B	1,400	19.31	n/a	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WY	S	1,400	19.30	n/a	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		XLF	C	10,400	13.60	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XLF	SS	10,400	13.60	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRT	C	3,400	57.36	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRT	SS	3,400	57.36	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		YUM	SS	4,400	64.85	n/a	20-Apr	non-brg	2.0
BESSEMER INVESTMENT	085	ZMH	B	290	59.21	n/a	n/a	11.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		ZUMZ	B	2,500	35.11	n/a	n/a	non-brg 2.0
TOTAL (Trade Date):								27,755.36

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/30	NEWLAND CAPITAL MGT	025	FCEL	S	25,000	1.21	n/a	n/a	500.00 2.0
AO,CXL	05/30	NEWLAND CAPITAL MGT	025	FCEL	S	25,000	1.21	n/a	n/a	750.00 3.0
AO,CRT	05/31	CONIFER/ISF	025	HPQ	B	5,000	22.67	n/a	n/a	200.00 4.0
AO,CXL	05/31	CONIFER/ISF	025	HPQ	B	5,000	22.67	n/a	n/a	200.00 4.0
AO,CRT	05/29	SHIKIAR ASSET	025	MRK	B	1,700	37.54	n/a	n/a	85.00 5.0
AO,CXL	05/29	SHIKIAR ASSET	025	MRK	B	1,700	37.54	n/a	n/a	85.00 5.0
TOTAL (AO, CXL, CRT) :										-250.00
TOTAL (Trade Date) :										27,755.36
TOTAL (AO, CXL, CRT) :										-250.00
TOTAL (RUN DATE) / NFS:										27,505.36

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 1-Jun.

KSS

MRI Securities without Trade Activity on 1-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)