



# Daily Compliance Activity Report

Trade Date: 01/20/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	B	5,000	79.10	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		ACOR	S	3,000	23.15	n/a	n/a	non-brg	2.5
RAINIER INV MGMT	035	ADS	B	73,900	41.53	n/a	n/a	3,695.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	9.28	n/a	9-Jan	1,500.00	3.0
THIRD POINT MGMT	044	AZO	SS	15,000	130.47	n/a	n/a	600.00	4.0
NATIONAL BK OF CAN	031	BAC	B	150,000	5.35	16-Jan	16-Jan	6,000.00	4.0
B BUCK CAPITAL MGMT		BBY+NE[BBY]	SS	1,000	0.94	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	BBY	B	50,000	27.99	n/a	27-Jul	2,000.00	4.0
HUNTER GLOBAL INVESTOR	067	BEN	B	40,000	50.45	13-Nov	20-Jan	2,000.00	5.0
f JONATHAN RICHARD HAR	FID	BGZ	B	30	76.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BKE	S	60,000	20.34	n/a	n/a	2,400.00	4.0
B BUCK CAPITAL MGMT		BKS+PV[BKS]	SS	1,000	0.60	n/a	n/a	non-brg	100.0
NATIONAL BK OF CAN	031	BK	S	25,000	17.82	14-Jan	14-Jan	1,000.00	4.0
f JOEL GIFFORD TISS	FID	BPZ	B	10000	5.00	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	034	BWLD	B	10,000	23.09	n/a	n/a	400.00	4.0
⚡ BUCK CAPITAL MGMT		CAT	C	49,500	38.69	22-Oct	23-Dec	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CCL	SS	50,000	19.65	n/a	26-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	CLX	S	21,500	50.49	3-Nov	3-Nov	860.00	4.0
HUNTER GLOBAL INVESTOR	067	CMTL	B	40,000	40.06	n/a	n/a	1,600.00	4.0
f DAVID GEORGE BUCK	FID	COF	S	2000	24.10	n/a	15-Jan	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	COH	B	600	16.25	9-Jan	9-Jan	0.00	0.0
GREENLIGHT CAPITAL	041	CPN	B	100,000	7.64	n/a	n/a	4,000.00	4.0
WASHINGTON ASSET	048	CSX	S	15,000	28.03	n/a	n/a	750.00	5.0
⚡ BUCK CAPITAL MGMT		DECK	B	30,000	54.59	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DIS+OW[DIS]	SS	1,000	0.90	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	DIS	SS	50,000	20.34	n/a	n/a	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		DIS	S	1,800	20.23	n/a	n/a	non-brg	1.0
f KEVIN MICHAEL O'GORM	FID	DXO	B	3000	2.59	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	DXO	B	5000	2.51	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	DXO	B	5000	2.51	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		EAT	SS	60,000	8.41	n/a	24-Apr	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		EGP	SS	5,000	31.53	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	EV	SS	25,000	17.65	9-Jan	20-Jan	1,250.00	5.0
CUMBERLAND	038	EXH	B	25,000	20.92	n/a	16-Dec	1,000.00	4.0
BUCK CAPITAL MGMT	001	FL	SS	50,000	7.49	7-Oct	12-Dec	1,500.00	3.0
<b>B</b> BUCK CAPITAL MGMT		FMQ+NY[WFMI]	SS	2,000	0.40	n/a	n/a	non-brg	100.0
<b>B</b> BUCK CAPITAL MGMT		FMQ+OW[WFMI]	SS	2,000	0.42	n/a	n/a	non-brg	100.0
<b>B</b> BUCK CAPITAL MGMT		FMQ+QV[WFMI]	SS	2,000	0.51	n/a	n/a	non-brg	100.0
ROARING BLUE LION MGMT	012	FNF	B	5,200	16.54	n/a	n/a	208.00	4.0
EGS ASSOCIATES LP	091	FOSL	B	5,000	12.35	10-Nov	12-Dec	300.00	6.0
<b>f</b> WILLIAM J GAULT	<b>FID</b>	<b>FXP</b>	<b>S</b>	<b>500</b>	<b>46.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		GIL	B	20,000	11.15	11-Dec	12-Dec	non-brg	2.0
<b>B</b> BUCK CAPITAL MGMT		GIL	B	50,000	11.01	11-Dec	12-Dec	non-brg	4.0
WHITE PINE CAPITAL	030	GIL	B	7,750	10.74	11-Dec	12-Dec	387.50	5.0
RBC CAPITAL MARKETS	034	GME	B	10,000	23.05	n/a	n/a	400.00	4.0
KARSCH CAPITAL	034	GPS	S	231,770	11.62	n/a	9-Jan	6,953.10	3.0
<b>f</b> PEARL LUCHANSKY	<b>FID</b>	<b>GS</b>	<b>S</b>	<b>100</b>	<b>61.89</b>	<b>15-Sep</b>	<b>14-Jan</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	HAR	B	11,600	17.84	n/a	26-Nov	580.00	5.0
HOLDEN CAPITAL MGMT	035	HAR	SS	20,000	17.84	n/a	26-Nov	1,000.00	5.0
SCOPUS FUND	041	HAR	B	20,000	17.98	n/a	26-Nov	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		HD+OW[HD]	SS	2,000	0.69	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		HD	SS	50,000	22.38	n/a	27-Jul	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HD	SS	50,000	22.43	n/a	27-Jul	non-brg	4.0
KACELA CAPITAL	034	HD	S	25,000	21.91	n/a	27-Jul	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		HUO+PC[PFCB]	SS	1,000	1.32	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		HUO+PV[PFCB]	SS	1,000	0.62	n/a	n/a	non-brg	100.0
PIONEER MICHAEL NOLAN	067	HXL	B	21,500	6.58	n/a	n/a	645.00	3.0
NEUBERGER BERMAN	036	INTU	B	53,500	23.09	n/a	n/a	2,407.50	4.5
⚡ BUCK CAPITAL MGMT		IYR	SS	110,000	31.73	n/a	n/a	non-brg	2.0
HOLDEN CAPITAL MGMT	035	JACK	SS	50,000	21.24	n/a	n/a	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		JCG+CB[JCG]	SS	1,000	1.00	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		JCG	B	100,000	9.05	n/a	9-Jan	non-brg	2.0
⚡ BUCK CAPITAL MGMT		JCP+QX[JCP]	SS	1,000	1.05	n/a	n/a	non-brg	300.0
⚡ DAVID GEORGE BUCK	FID	JCP	B	1000	17.70	9-Jan	9-Jan	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	034	JCP	B	12,500	17.42	9-Jan	9-Jan	500.00	4.0
HUNTER GLOBAL INVESTOR	067	JPM	B	392,000	18.86	16-Jan	16-Jan	15,680.00	4.0
<b>f CHRISTOPHER ARTHUR K</b>	<b>FID</b>	<b>KBE</b>	<b>B</b>	<b>2000</b>	<b>12.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KMT</b>	<b>SS</b>	<b>50,000</b>	<b>16.24</b>	<b>18-Sep</b>	<b>13-Jan</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	034	KR	B	10,000	24.42	n/a	n/a	400.00	4.0
BMO NESBITT BURNS	041	LM	B	25,000	18.09	29-Oct	20-Jan	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>MAS</b>	<b>S</b>	<b>7,400</b>	<b>9.54</b>	<b>n/a</b>	<b>n/a</b>	<b>296.00</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	237	MCHP	S	10,000	16.73	n/a	n/a	500.00	5.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>METPRB</b>	<b>S</b>	<b>2000</b>	<b>16.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MHK+NU [MHK]</b>	<b>SS</b>	<b>1,000</b>	<b>0.56</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MHS</b>	<b>B</b>	<b>1000</b>	<b>41.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	MMR	B	2,375	8.08	n/a	n/a	118.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MW+OB [MW]</b>	<b>SS</b>	<b>1,000</b>	<b>0.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MW+QU [MW]</b>	<b>SS</b>	<b>1,000</b>	<b>0.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>MWO</b>	<b>S</b>	<b>1100</b>	<b>12.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>MWO</b>	<b>S</b>	<b>1002</b>	<b>13.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		MW	SS	50,000	12.95	n/a	n/a	non-brg	2.0
MARINER/TRICADIA	041	M	B	25,000	8.94	12-Aug	9-Jan	1,000.00	4.0
ROARING BLUE LION MGMT	012	NDAQ	B	5,600	19.19	n/a	n/a	224.00	4.0
SANDLER O'NEILL ASSET	031	NTRS	B	75,000	46.81	14-Jan	14-Jan	3,750.00	5.0
HUNTER GLOBAL INVESTOR	067	NYX	B	102,000	19.83	n/a	n/a	4,080.00	4.0
KLEINHEINZ CAP PART	031	NYX	B	100,000	20.62	n/a	n/a	5,000.00	5.0
ROARING BLUE LION MGMT	012	PCS	B	10,000	12.72	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		PETM	C	2,600	17.67	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		PETM	S	46,900	17.60	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PFCB	SS	45,000	17.50	8-Jan	8-Jan	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PFCB	SS	5,000	17.48	8-Jan	8-Jan	non-brg	4.0
SERENGETI MGMT	030	PLCE	B	35,000	20.25	6-Jan	9-Jan	1,750.00	5.0
NATIONAL BK OF CAN	031	PNC	B	30,000	22.55	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		QIA+CB[ICON]	SS	2,000	0.42	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		RL+PF[RL]	SS	1,000	2.10	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	RL	B	55,000	37.76	n/a	20-Jan	2,200.00	4.0
COUGAR TRADING	044	RL	S	5,000	38.32	n/a	20-Jan	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PIIONEER OAKRIDGE	031	RL	B	25,000	37.33	n/a	20-Jan	500.00	2.0
BUCK CAPITAL MGMT	001	RSH	B	700	11.59	n/a	n/a	28.00	4.0
⚡ BUCK CAPITAL MGMT		RSH	S	36,200	11.62	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	SEP	B	400	19.65	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SHOO	C	50,000	18.89	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SKX+BB[SKX]	SS	1,000	0.75	n/a	n/a	non-brg	100.0
HOLDEN CAPITAL MGMT	035	SONC	SS	50,000	9.64	n/a	n/a	2,500.00	5.0
f WILLIAM J GAULT	FID	SPNG	S	945000	0.02	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	SRS	S	500	63.27	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	034	SWY	B	5,000	22.90	n/a	n/a	200.00	4.0
HOLDEN CAPITAL MGMT	035	SYU	SS	50,000	23.61	n/a	24-Apr	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		TIF+QW[TIF]	SS	1,000	2.15	n/a	n/a	non-brg	300.0
BMO NESBITT BURNS	041	TIN	B	50,000	3.52	5-Dec	20-Jan	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		TPX+CS[TPX]	SS	2,000	0.40	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		TQQ+PE[COLM]	SS	1,000	1.50	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		TSCO	S	34,100	32.71	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	TWB	S	125,000	2.55	n/a	6-Jan	2,500.00	2.0
BUCK CAPITAL MGMT		TWB	S	21,500	2.92	n/a	6-Jan	non-brg	1.0
JONATHAN RICHARD HAR	FID	TZA	B	35	64.43	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		URBN	S	50,000	15.34	5-Nov	9-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	URI	SS	50,000	5.70	29-Oct	29-Oct	1,500.00	3.0
BUCK CAPITAL MGMT		URQ+BW[URBN]	SS	2,000	0.65	n/a	n/a	non-brg	100.0
NATIONAL BK OF CAN	031	USB	SS	40,000	16.28	n/a	n/a	1,600.00	4.0
NATIONAL BK OF CAN	031	WFC	B	50,000	14.42	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		WFMI	SS	100,000	11.24	n/a	n/a	non-brg	2.0
RBC CAPITAL MARKETS	034	WRC	B	10,000	19.47	7-Nov	7-Nov	400.00	4.0
BUCK CAPITAL MGMT		WSM+OA[WSM]	SS	3,000	0.42	n/a	n/a	non-brg	100.0
ROARING BLUE LION MGMT	012	WU	B	5,300	13.50	n/a	n/a	212.00	4.0
SIGMA CAPITAL ASSOCIATES	237	WYE	B	25,000	38.42	n/a	31-Mar	1,250.00	5.0
BUCK CAPITAL MGMT		WYNN	SS	100,000	34.39	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):								106,224.85	



Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,	01/16	LOCUS WOOD CAPITAL MGMT	044	BWA	SS	10,000	18.45	16-Dec 12-Jan	400.00 4.0
TOTAL (AO, CXL, CRT) :									400.00

TOTAL (Trade Date):	106,224.85
TOTAL (AO, CXL, CRT):	400.00

TOTAL (RUN DATE) / NFS: 106,624.85

MRI Securities with Trade Activity on 20-Jan.  
NONE

MRI Securities without Trade Activity on 20-Jan.  
EL, RDEN

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 18%  
YTD = 22%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**

**f: Employee Trades (Fidelity)**