



RR Commissions Summary [Ravi Sadarangani]

Trade Date: 09/24/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AAD CAPITAL	084	0	0	0	690	0	0	0	0	0	690	1,720	40
ALLIANZ/NICOLAS APPELATE	084	1,943	7,622	11,185	16,178	0	0	0	7,622	11,185	16,178	11,816	137
BRANDES INVESTMENT	084	0	0	0	0	0	0	0	0	0	0	5,150	0
BTIG/MONT PELERIN	084	2,928	2,928	3,169	3,169	0	0	0	2,928	3,169	3,169	0	0
CAPITAL GUARDIAN /INTN'L	084	0	2,069	2,069	2,069	0	0	0	2,069	2,069	2,069	0	0
CAPITAL WORLD	084	0	0	17,993	139,636	0	0	0	0	17,993	139,636	35,823	390
COLUMBUS CAP MGMT LLC	084	0	0	2,000	5,000	0	0	0	0	2,000	5,000	28,587	17
CONIFER/GROW	084	0	0	0	1,720	0	0	0	0	0	1,720	1,700	101
EAM INVESTORS	084	0	0	0	500	0	0	0	0	0	500	0	0
EMPYREAN CAPITAL	084	0	0	0	0	0	0	0	0	0	0	74,293	0
FEINBERG INVESTMENTS	084	0	0	0	12,420	0	0	0	0	0	12,420	0	0
FOCUSED INVESTORS	084	0	964	7,756	21,572	0	0	0	964	7,756	21,572	0	0
FRANKLIN FUND OF CALIFOR	084	0	0	0	0	0	0	0	0	0	0	11,991	0
HAHN CAPITAL MANAGEMENT	084	0	0	7,200	8,607	0	0	0	0	7,200	8,607	23,087	37
HOTCHKIS & WILEY	084	0	13,292	26,587	62,762	0	0	0	13,292	26,587	62,762	0	0
IVORY CAPITAL	084	0	0	17,904	67,325	0	0	0	0	17,904	67,325	0	0
KAYNE ANDERSON ADV	084	0	0	0	14,208	0	0	0	0	0	14,208	15,042	94
LOMBARDIA CAP MGMT	084	0	1,740	4,081	10,811	0	0	0	1,740	4,081	10,811	0	0
NWQ INVESTMENT MGMT CO	084	0	0	26,078	57,978	0	0	0	0	26,078	57,978	73,354	79
OSTERWEIS CAPITAL	084	0	4,754	4,754	12,514	0	0	0	4,754	4,754	12,514	39,934	31
PARKWEST	084	0	2,450	5,946	33,331	0	0	0	2,450	5,946	33,331	29,845	112
PARNASSUS INVESTMENTS	084	0	0	0	0	0	0	0	0	0	0	5,000	0
PEAK INV/OAKMONT/CYPRESS	084	0	0	28,760	57,600	0	0	0	0	28,760	57,600	45,352	127
PIMCO	084	0	1,900	5,720	13,766	0	0	0	1,900	5,720	13,766	0	0
PRIMECAP MGMT	084	0	8,239	28,420	41,894	0	0	0	8,239	28,420	41,894	80,736	52
ROBERTSON STEVENS	084	0	0	2,200	12,957	0	0	23,500	0	2,200	36,457	88,814	41
STANDARD PACIFIC CAPITAL	084	0	2,633	31,985	168,476	0	0	0	2,633	31,985	168,476	486,608	35
TRUST CO L.A.	084	0	0	0	0	0	8,326	14,947	0	8,326	14,947	28,847	52
WALL STREET CAPITAL	084	0	3,460	5,933	5,933	0	0	0	3,460	5,933	5,933	1,971	301
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	0	0	0	1,000	0	0	0	0	0	1,000	3,050	33
WEINTRAUB CAPITAL MGT.	084	0	0	0	0	0	0	0	0	0	0	64,723	0
TOTALS:		4,871	52,050	239,739	772,115	0	8,326	38,447	52,050	248,065	810,562		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
CAPITAL RESEARCH GROUP	84	0	8,750	14,356	132,693	0	0	0	8,750	14,356	132,693	255,833	0
TOTALS:		0	8,750	14,356	132,693	0	0	0	8,750	14,356	132,693		

