



Daily Compliance Activity Report

Trade Date: 02/23/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		ABFS	B	10,000	24.83	n/a	n/a	non-brg	2.5
BALYASNY ASSET MGMT	044	AB	S	25,000	26.05	n/a	12-Feb	1,250.00	5.0
TROUBH PART	067	ADM	S	30,000	29.59	n/a	18-Feb	1,500.00	5.0
f KAREN F LEDDY	FID	ALN	S	1700	3.20	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	AMG	S	14,800	70.19	n/a	22-Feb	740.00	5.0
SANDLER O'NEILL ASSET	031	AMG	S	700	71.09	n/a	22-Feb	35.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	100,000	35.36	n/a	17-Feb	4,000.00	4.0
B BUCK CAPITAL MGMT		ANF	B	42,000	35.31	n/a	17-Feb	non-brg	0.8
HIGHBRIDGE CAP	041	ANR	S	600	47.02	n/a	n/a	24.00	4.0
B BUCK CAPITAL MGMT		ARO	S	170,000	34.53	n/a	5-Feb	non-brg	4.0
GLOBAL 56 LLC	060	AXL	S	2,500	9.50	n/a	8-Feb	125.00	5.0
CATAPULT	040	BAC	B	50,000	16.15	n/a	22-Feb	2,500.00	5.0
B BUCK CAPITAL MGMT		BEBE	S	31,300	8.01	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		BEBE	S	8,700	7.93	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT	001	BYI	S	10,000	41.06	n/a	n/a	400.00	4.0
ROYCE TRADING	216	BZ	B	22,000	4.77	n/a	n/a	660.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARVEST MGMT	039	CAKE	B	10,000	23.55	n/a	12-Feb	500.00	5.0
⚡ BUCK CAPITAL MGMT		CAR	B	30,000	10.44	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		CBRL	C	10,000	42.34	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		CBRL	C	15,000	41.81	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		CBRL	C	25,000	42.52	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	CHS	S	10,000	14.10	n/a	5-Feb	500.00	5.0
JP MORGAN VENTURES	046	CHS	SS	30,000	14.04	n/a	5-Feb	1,500.00	5.0
⚡ BUCK CAPITAL MGMT		CNW	B	15,000	31.06	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT	001	COH	S	20,000	36.46	n/a	21-Jan	800.00	4.0
BUCK CAPITAL MGMT	001	CRI	S	30,000	28.37	n/a	n/a	1,200.00	4.0
KAILIX INV ADV	048	CSX	B	10,000	46.91	n/a	n/a	500.00	5.0
COLONIAL FUND LLC	036	DAN	B	25,000	10.92	n/a	6-Jan	1,250.00	5.0
TRELLUS PARTNERS LP	028	DAN	B	20,000	10.64	n/a	6-Jan	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		DBRN	S	20,000	24.36	n/a	n/a	non-brg	4.0
S.A.C. CAP/ORENSTEIN	044	EEM	SS	50,000	38.91	n/a	n/a	1,750.00	3.5
MAIRS AND POWER INV	030	EMR	S	20,000	47.65	n/a	n/a	1,000.00	5.0
FIRST NEW YORK LEDDY	035	ETFC	B	10,000	1.54	n/a	n/a	600.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SEMINOLE CAP	036	EXPE	B	10,000	22.19	n/a	n/a	500.00	5.0
f JOHN CAREY MAGUIRE	FID	FLPSX	S	651	32.21	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	FLR	S	400,000	45.01	n/a	n/a	12,000.00	3.0
MILLENIUM PARTNERS	028	FWLT	S	10,000	27.21	n/a	n/a	400.00	4.0
EXIS CAPITAL	044	F	B	25,000	11.40	n/a	3-Feb	1,250.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	10,000	22.96	n/a	n/a	400.00	4.0
S.A.C. CAP/ORENSTEIN	044	GE	B	150,000	15.97	n/a	n/a	5,250.00	3.5
B BUCK CAPITAL MGMT		GPS	S	100,000	19.72	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GPS	S	100,000	19.76	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GPS	S	122,020	19.77	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GPS	S	80,000	19.78	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	GS	S	500	157.13	n/a	13-Feb	0.00	0.0
SANDLER O'NEILL ASSET	031	GS	SS	50,000	157.10	n/a	13-Feb	2,500.00	5.0
CHILTON CAPITAL MGMT.	036	HAIN	C	50,000	16.07	n/a	n/a	1,500.00	3.0
HARVEST MGMT	039	HBI	B	5,000	24.38	n/a	n/a	250.00	5.0
KAILIX INV ADV	048	HK	B	20,000	21.53	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		ICON	S	40,000	13.30	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KAREN F LEDDY	FID	ICON	S	1200	13.33	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		IMAX	SS	10,000	12.96	n/a	n/a	non-brg	4.0
THOMPSON SIEGAL&WALMSLEY	029	JOYG	B	7,870	48.43	n/a	22-Feb	236.10	3.0
HUNTER GLOBAL INVESTOR	067	JPM	S	50,000	41.08	n/a	22-Feb	2,500.00	5.0
METROPOLITAN CAPITAL	044	JPM	B	10,200	39.98	n/a	22-Feb	510.00	5.0
PZENA INV ADV	044	KELYA	S	164	16.16	n/a	n/a	5.74	3.5
B BUCK CAPITAL MGMT		KNX	B	25,000	19.18	n/a	n/a	non-brg	4.0
KENNEDY CAPITAL	046	LIZ	S	132,215	6.23	n/a	7-Oct	3,966.45	3.0
BUCK CAPITAL MGMT	001	LL	B	30,000	22.96	n/a	n/a	1,200.00	4.0
TROUBH PART	067	LMT	S	10,000	76.91	n/a	23-Feb	500.00	5.0
THOMPSON SIEGAL&WALMSLEY	029	LOW	S	30,442	22.97	n/a	22-Feb	913.26	3.0
PHILADELPHIA FINANCIAL	033	LUK	C	7,500	22.49	n/a	n/a	300.00	4.0
SAC TRADIN/BINDER	044	LVS	B	25,000	16.18	n/a	18-Feb	875.00	3.5
TRELLUS PARTNERS LP	028	LVS	B	55,000	16.22	n/a	18-Feb	2,750.00	5.0
B BUCK CAPITAL MGMT		MA	B	2,300	220.20	n/a	19-Feb	non-brg	4.0
AVESTA CAP	229	MT	SS	100,000	38.01	n/a	n/a	3,000.00	3.0
CHILTON CAPITAL MGMT.	036	MWV	C	25,000	23.58	n/a	28-Jan	750.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	NTY	SS	10,000	44.59	n/a	n/a	400.00	4.0
f DAVID GEORGE BUCK	FID	PCH	B	1000	32.72	n/a	11-Feb	0.00	0.0
BAIRD INVESTMENT	047	PCP	B	5,574	111.38	n/a	23-Feb	278.70	5.0
HUNTER GLOBAL INVESTOR	067	PEP	S	25,000	61.94	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		PFCB	S	50,000	42.64	n/a	18-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		PNRA	S	20,000	72.55	n/a	12-Feb	non-brg	4.0
SNYDER CAPITAL	033	PRXL	S	2,000	20.90	n/a	n/a	80.00	4.0
HIGHBRIDGE CAP	041	RGC	SS	16,500	15.00	n/a	n/a	660.00	4.0
CHILTON CAPITAL MGMT.	036	RT	C	50,000	8.13	n/a	n/a	1,500.00	3.0
VISIUM/BALY BOBBY	044	RVI	B	8,651	8.73	n/a	n/a	346.04	4.0
f EDWARD W WHEELER	FID	R	B	3000	34.07	n/a	n/a	0.00	0.0
FRIESS MGMT	033	SCHW	B	380,400	18.48	n/a	n/a	15,216.00	4.0
B BUCK CAPITAL MGMT		SHOO	B	10,000	40.96	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SKX	S	60,000	29.35	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	SKX	B	10,000	29.42	n/a	n/a	500.00	5.0
STERLING JOHNSTON	035	SMG	S	8,050	38.11	n/a	9-Feb	322.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
S.A.C. HEALTHCARE BOBBY	044	SNY	B	10,000	36.74	n/a	n/a	350.00	3.5
UNITED STATES PENSION	032	SPLS	S	325,000	25.47	n/a	n/a	13,000.00	4.0
B BUCK CAPITAL MGMT		SPY	SS	75,000	109.73	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT	001	TGT	S	10,000	49.30	n/a	23-Feb	400.00	4.0
BROADARCH CAP/OLD NEWC	070	TIN	S	12,200	18.70	n/a	9-Feb	488.00	4.0
LISTED ERR	099	TIN	B	4,700	18.66	n/a	9-Feb	0.00	0.0
EXIS CAPITAL	044	TLB	S	30,000	10.15	n/a	n/a	1,500.00	5.0
HOLLIS CAPITAL	033	TM	SS	5,000	72.24	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		TSCO	SS	10,000	53.09	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		UBI FP	B	20,000	12.71	n/a	n/a	non-brg	0.6
PHILADELPHIA FINANCIAL	033	UBS	C	77,895	13.34	n/a	n/a	3,115.80	4.0
KAILIX INV ADV	048	UNP	B	10,000	66.71	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		URBN	B	10,000	31.82	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	WDR	S	3,200	33.50	n/a	18-Feb	160.00	5.0
B BUCK CAPITAL MGMT		WERN	B	10,000	21.38	n/a	n/a	non-brg	4.0
T. ROWE PRICE	033	WMT	S	180,700	53.82	n/a	18-Feb	7,228.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RK CAPTIAL MGMT	045	WSM	B	85,000	21.32	n/a	n/a	4,250.00	5.0
KADE CAPITAL LLC	036	WYNN	B	3,500	63.54	n/a	23-Feb	175.00	5.0
ROSEDALE CAPITAL	046	WYNN	B	15,000	62.85	n/a	23-Feb	750.00	5.0
WADDELL AND REED	047	WYNN	B	100,000	63.07	n/a	23-Feb	3,000.00	3.0
SEMINOLE CAP	036	ZION	SS	20,000	18.09	n/a	n/a	1,000.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	ZLC	B	4000	2.35	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							121,110.09		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/19	MILLENIUМ PARTNERS	028	AEO	S	5,000	16.94	n/a	5-Feb	200.00 4.0
AO,CRT	02/19	MILLENIUМ PARTNERS	028	AEO	S	5,000	16.94	n/a	5-Feb	200.00 4.0
AO,CXL	02/19	MILLENIUМ PARTNERS	028	DBRN	B	10,000	24.95	n/a	n/a	400.00 4.0
AO,CRT	02/19	MILLENIUМ PARTNERS	028	DBRN	B	10,000	24.95	n/a	n/a	400.00 4.0
AO,CXL	02/19	MILLENIUМ PARTNERS	028	JCG	B	20,000	40.89	n/a	n/a	800.00 4.0
AO,CRT	02/19	MILLENIUМ PARTNERS	028	JCG	B	20,000	40.89	n/a	n/a	800.00 4.0
AO,CXL	02/22	ARCHON CAPITAL	035	SPWRA	S	89,000	19.80	n/a	n/a	2670.00 3.0
AO,CRT	02/22	ARCHON CAPITAL	035	SPWRA	S	89,000	19.80	n/a	n/a	2670.00 3.0
AO,CXL	02/19	MILLENIUМ PARTNERS	028	WFMI	SS	15,500	33.92	n/a	n/a	620.00 4.0
AO,CRT	02/19	MILLENIUМ PARTNERS	028	WFMI	SS	15,500	33.92	n/a	n/a	620.00 4.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date):	121,110.09
TOTAL (AO, CXL, CRT):	0.00

TOTAL (RUN DATE) / NFS:	121,110.09
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MRI Securities with Trade Activity on 23-Feb.
NONE

MRI Securities without Trade Activity on 23-Feb.
HD, COG

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 19%
YTD = 16%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)