



# Daily Compliance Activity Report

Trade Date: 03/22/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>ABT</b>	<b>B</b>	<b>100</b>	<b>54.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TROUBH PART	067	ADM	B	50,000	29.15	n/a	22-Mar	2,500.00	5.0
LEVIN CAPITAL STRATEGIES	012	ALV	S	10,000	52.20	n/a	1-Feb	300.00	3.0
GLOBAL 56 LLC	060	ARM	S	1,500	12.93	n/a	n/a	75.00	5.0
WAGONHOUND/NIC	048	AXL	S	64,445	10.86	n/a	8-Feb	2,577.80	4.0
THE JAY GOLDMAN	028	BJ	B	10,000	35.71	n/a	n/a	400.00	4.0
FINDLAY PARK PART	259	BK	B	20,172	30.50	n/a	9-Mar	1,008.60	5.0
<b>f CICILY S BACKUS</b>	<b>FID</b>	<b>BTN</b>	<b>B</b>	<b>5000</b>	<b>4.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f LEE F BACKUS</b>	<b>FID</b>	<b>BTN</b>	<b>B</b>	<b>3000</b>	<b>4.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BYI</b>	<b>S</b>	<b>60,000</b>	<b>37.98</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BMO NESBITT BURNS	041	BZ	B	50,000	5.82	n/a	n/a	2,500.00	5.0
T. ROWE PRICE	033	COH	B	43,400	38.51	n/a	21-Jan	1,736.00	4.0
BNP PARIBAS SEC	040	CRU	S	5,000	15.84	n/a	n/a	200.00	4.0
ROTHSCHILD ASSET	040	CTL	S	24,930	35.11	n/a	n/a	997.20	4.0
SANDLER O'NEILL ASSET	031	C	S	50,000	4.05	n/a	16-Mar	1,000.00	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f LEE F BACKUS	FID	DAN	B	1000	12.44	n/a	25-Feb	0.00	0.0
B BUCK CAPITAL MGMT		DLTR	S	30,000	59.06	n/a	24-Feb	non-brg	4.0
MKT MAKER	099	DLTR	S	100	60.00	n/a	24-Feb	0.00	0.0
f DAVID GEORGE BUCK	FID	EPP	S	2500	42.77	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	EPP	S	800	42.76	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FAZ	B	1000	14.23	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	214	FHN	B	46,500	14.15	n/a	n/a	1,860.00	4.0
f KAREN F LEDDY	FID	FOR	B	700	19.23	n/a	5-Feb	0.00	0.0
CHILTON CAPITAL MGMT.	036	FSIN	S	191	11.50	n/a	n/a	5.73	3.0
MERRILL ASSET/BLACKROCK	214	FWLT	B	7,800	26.77	n/a	n/a	312.00	4.0
f CICILY S BACKUS	FID	F	S	1000	13.82	n/a	3-Mar	0.00	0.0
f LEE F BACKUS	FID	F	S	1000	13.83	n/a	3-Mar	0.00	0.0
OZ MGMT	040	F	SS	290,666	12.96	n/a	3-Mar	8,719.98	3.0
BUCK CAPITAL MGMT	001	GCO	S	20,000	29.21	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		GES	S	20,000	46.70	n/a	18-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		GPS	B	20,000	23.46	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GYMB	S	20,000	52.34	n/a	17-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	HAR	B	15,000	44.69	n/a	9-Feb	600.00	4.0
THE JAY GOLDMAN	028	HOT	B	5,000	43.36	n/a	n/a	200.00	4.0
THE JAY GOLDMAN	028	HOT	SS	6,000	42.00	n/a	n/a	240.00	4.0
EXIS CAPITAL	044	HWD	B	30,000	9.58	n/a	n/a	1,500.00	5.0
SUFFOLK CAPITAL MGMT	040	IBM	S	140,000	127.34	n/a	n/a	5,600.00	4.0
ADAGE CAPITAL MGMT	030	JCP	B	300,000	31.80	n/a	5-Mar	9,000.00	3.0
RAIL-SPLITTER	031	JNS	B	60,800	13.97	n/a	n/a	3,040.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>B</b>	<b>30,000</b>	<b>18.19</b>	<b>n/a</b>	<b>10-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>40,000</b>	<b>49.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>S</b>	<b>30,000</b>	<b>40.44</b>	<b>n/a</b>	<b>5-Mar</b>	<b>non-brg</b>	<b>4.0</b>
OSS CAPITAL/KEVIN DANN	067	KR	SS	50,000	21.50	n/a	n/a	1,500.00	3.0
EXIS CAPITAL	044	LIZ	B	25,000	6.86	n/a	7-Oct	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LL</b>	<b>B</b>	<b>20,000</b>	<b>25.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ENTRUST CAPITAL	040	LMT	S	20,500	86.25	n/a	12-Mar	1,025.00	5.0
BALYASNY ASSET MGMT	044	LTD	S	25,000	24.74	n/a	n/a	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LTD</b>	<b>B</b>	<b>40,000</b>	<b>24.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAXON WOODS ADVISORS	242	LTD	B	285,600	25.18	n/a	n/a	8,568.00	3.0
THE JAY GOLDMAN	028	LVS	B	13,000	19.04	n/a	10-Mar	520.00	4.0
THE JAY GOLDMAN	028	LVS	S	10,000	20.79	n/a	10-Mar	400.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MA</b>	<b>B</b>	<b>300</b>	<b>246.85</b>	<b>n/a</b>	<b>19-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>MA</b>	<b>B</b>	<b>100</b>	<b>246.73</b>	<b>n/a</b>	<b>19-Feb</b>	<b>0.00</b>	<b>0.0</b>
PHILADELPHIA FINANCIAL	033	MF	B	44,703	7.04	n/a	n/a	1,788.12	4.0
BROADARCH CAP/OLD NEWC	070	MGM	B	1,500	11.98	n/a	12-Mar	60.00	4.0
ROSEDALE CAPITAL	046	MSFT	S	10,000	29.55	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>180,000</b>	<b>194.89</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>19.5</b>
ADAGE CAPITAL MGMT	030	M	S	650,000	21.24	n/a	5-Mar	19,500.00	3.0
JP MORGAN VENTURES	046	M	B	20,000	21.26	n/a	5-Mar	1,000.00	5.0
DAVIDSON KEMPNER ADVISOR	040	NCR	B	50,000	13.78	n/a	n/a	2,500.00	5.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>NP</b>	<b>B</b>	<b>200</b>	<b>18.22</b>	<b>n/a</b>	<b>3-Mar</b>	<b>0.00</b>	<b>0.0</b>
APEX CAPITAL MGMT.	261	PFE	B	200,000	17.21	n/a	n/a	8,000.00	4.0
GREEN ARROW DOUG	040	PLCE	SS	25,000	44.17	22-Mar	22-Mar	900.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>PSS</b>	<b>B</b>	<b>50,000</b>	<b>21.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>PVH</b>	<b>B</b>	<b>1000</b>	<b>55.10</b>	<b>n/a</b>	<b>16-Mar</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GCORE MANAGEMENT	041	ROST	B	25,000	54.40	n/a	n/a	1,250.00	5.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>SDS</b>	<b>S</b>	<b>250</b>	<b>31.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SIG</b>	<b>B</b>	<b>30,000</b>	<b>28.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JOHN PATRICK DAVEY</b>	<b>FID</b>	<b>SIJ</b>	<b>S</b>	<b>1400</b>	<b>19.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET/BLACKROCK	214	SKT	S	24,700	44.27	n/a	n/a	988.00	4.0
MTB INV ADV	034	SKX	S	10,350	33.42	n/a	n/a	517.50	5.0
THOMAS WEISEL ASSET MGMT	035	SONO	S	3,830	32.06	n/a	n/a	114.90	3.0
<b>LISTED ERR</b>	<b>099</b>	<b>SONO</b>	<b>B</b>	<b>1,900</b>	<b>32.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>SONO</b>	<b>S</b>	<b>1,900</b>	<b>32.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
1798 CAPITAL MGMT	040	SPY	C	5,000	116.57	n/a	n/a	250.00	5.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>STE</b>	<b>S</b>	<b>50</b>	<b>2.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TROUBH PART	067	SUP	B	10,500	16.10	n/a	1-Mar	525.00	5.0
OSS CAPITAL/KEVIN DANN	067	SWY	SS	50,000	24.06	n/a	n/a	1,500.00	3.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>TGT</b>	<b>S</b>	<b>1000</b>	<b>53.53</b>	<b>n/a</b>	<b>22-Mar</b>	<b>0.00</b>	<b>0.0</b>
RAINIER INV MGMT	035	THO	B	2,450	31.08	n/a	n/a	98.00	4.0
MERRILL ASSET/BLACKROCK	214	TLB	B	90,000	11.35	n/a	n/a	3,600.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PHILADELPHIA FINANCIAL	033	TRAD	S	3,000	6.95	n/a	n/a	120.00	4.0
BNP PARIBAS SEC	040	TSN	B	12,707	17.99	n/a	n/a	508.28	4.0
GREEN ARROW DOUG	040	WAG	B	15,282	35.00	n/a	n/a	611.28	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>WBC</b>	<b>B</b>	<b>150</b>	<b>29.35</b>	<b>n/a</b>	<b>4-Feb</b>	<b>0.00</b>	<b>0.0</b>
1798 CAPITAL MGMT	040	WFT	S	40,000	15.78	n/a	n/a	2,000.00	5.0
SUFFOLK CAPITAL MGMT	040	WLP	B	153,500	64.97	n/a	n/a	6,140.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>1000</b>	<b>6.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>20,000</b>	<b>46.65</b>	<b>n/a</b>	<b>2-Mar</b>	<b>non-brg</b>	<b>4.0</b>
DIAMONDBACK CAP MGMT	232	XLE	C	9,000	56.49	n/a	n/a	270.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>705,000</b>	<b>40.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>f ALEXANDRA ELISE ZOLI</b>	<b>FID</b>	<b>ZLC</b>	<b>B</b>	<b>1000</b>	<b>3.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>ZLC</b>	<b>B</b>	<b>3000</b>	<b>3.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date) :</b>							<b>112,426.39</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/19	OMEGA ADVISORS	041	ADCT	B	44,400	7.33	n/a	n/a	1332.00 3.0
AO,CRT	03/19	OMEGA ADVISORS	041	ADCT	B	44,400	7.33	n/a	n/a	1332.00 3.0
AO,	03/18	FINDLAY PARK PART	259	BK	B	54,328	30.40	n/a	9-Mar	2716.40 5.0
AO,CXL	03/18	FINDLAY PARK PART	259	BK	B	54,328	30.40	n/a	9-Mar	2716.40 5.0
AO,	03/19	MISSION POINT	048	PH	S	2,000	65.91	n/a	20-Jan	80.00 4.0
AO,CXL	03/17	AMBER CAPITAL	046	SLE	S	250,000	14.06	n/a	n/a	10000.00 4.0
AO,CRT	03/17	AMBER CAPITAL	046	SLE	S	181,160	14.06	n/a	n/a	7246.40 4.0
AO,CRT	03/17	AMBER CAPITAL	046	SLE	S	68,840	14.06	n/a	n/a	2753.60 4.0

**TOTAL (AO, CXL, CRT) :****80.00**

TOTAL (Trade Date) :

112,426.39

TOTAL (AO, CXL, CRT) :

80.00

**TOTAL (RUN DATE) / NFS:****112,506.39****MRI Securities with Trade Activity on 22-Mar.****PLCE**

MRI Securities without Trade Activity on 22-Mar.

GIL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 1%

YTD = 15%



**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**