

Daily Compliance Activity Report Trade Date: 04/09/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
	MAGUIRE	090	AAI	В	4,150	10.73	n/a	n/a	0.00	0.0
	BARR	096	AAI	В	1,200	10.82	n/a	n/a	0.00	0.0
	MAZAMA CAPITAL MGMT.	035	AIQ	S	49,800	9.25	n/a	n/a	1,992.00	4.0
õ	Glenn Redemann		AMAG	В	4	1.80	n/a	n/a	0.00	0.0
õ	Glenn Redemann		AMAG	В	8	0.65	n/a	n/a	0.00	0.0
õ	Glenn Redemann		AMAG	S	8	1.83	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		AMAG	S	8	2.64	n/a	n/a	0.00	0.0
	ROXBURY CAPITAL MGMT	035	AMG	S	45,800	111.94	5-Mar	28-Mar	1,832.00	4.0
	MORGAN LEWIS/MLH	046	AMR	В	15,000	32.82	n/a	n/a	750.00	5.0
	MAGUIRE	090	AMR	В	7,000	32.68	n/a	n/a	0.00	0.0
	LUCID PARTNERS	067	AOS	В	100	38.00	21-Dec	8-Feb	5.00	5.0
	WOOSTER CAPITAL	035	APC	В	50,000	44.93	27-Feb	12-Mar	2,500.00	5.0
	PATTEN & PATTEN	067	APD	S	1,000	75.19	n/a	2-Mar	50.00	5.0
	VISIUM/BALY HOWARD	038	ASCA	S	20,000	30.11	n/a	4-Apr	1,000.00	5.0
	HOOVER INV. MGMT	033	AVZ	В	50,600	22.93	5-Mar	28-Mar	2,530.00	5.0
	PATTEN & PATTEN	067	AXP	S	1,300	55.97	n/a	n/a	65.00	5.0
ß	BUCK CAPITAL MGMT		BONT	s	10,000	54.30	9-Mar	16-Mar	non-brg	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	ċ/Shr
	necount	10. π	oynmoor .	2,5	onarcs	11100		Rescuren	COMMI.	+
	GRONET	030	BORL	S	1,000	5.35	n/a	3-Apr	0.00	0.0
	PATTEN & PATTEN	067	CAG	S	1,500	24.83	n/a	n/a	75.00	5.0
	PATTEN & PATTEN	067	СВ	S	1,500	51.84	n/a	n/a	75.00	5.0
	MAGUIRE	090	CCOI	S	2,500	24.34	n/a	n/a	0.00	0.0
	RAINIER INV MGMT	035	CF	В	66 , 950	41.71	n/a	n/a	3,347.50	5.0
õ	Claire Mencke		СНС	S	300	19.05	n/a	n/a	0.00	0.0
	J L F ASSET MGMT	039	CHS	S	120,800	25.20	27-Mar	27-Mar	4,832.00	4.0
	SUNOVA CAPITAL	031	CLMS	В	140,000	23.59	12-Mar	28-Mar	7,000.00	5.0
	WAGONHOUND	048	CLMS	SS	35,000	23.66	12-Mar	28-Mar	1,400.00	4.0
õ	Glenn Redemann		COGN	В	4	0.34	n/a	n/a	0.00	0.0
õ	Glenn Redemann		COGN	В	4	0.58	n/a	n/a	0.00	0.0
	WASHINGTON ASSET	048	CPLP	В	5 , 276	26.15	n/a	n/a	263.80	5.0
	NEUBERGER BERMAN	036	CRL	S	5 , 700	46.39	n/a	n/a	285.00	5.0
	J L F ASSET MGMT	039	CWTR	S	75 , 000	21.62	n/a	n/a	3,000.00	4.0
	BUCK CAPITAL MGMT	001	DDS	В	50,000	33.42	n/a	n/a	2,000.00	4.0
	JP MORGAN VENTURES	046	DPZ	В	29,700	33.68	23-Feb	23-Feb	1,485.00	5.0

Account	RR #	Symbol	в/	s	Shares	Price	MRI	Research	Comm.	\$/Shr.
WHEELER	800	DUK	В		5,000	20.76	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	DW	В		25,000	28.24	n/a	n/a	1,250.00	5.0
HUNTER GLOBAL INVESTOR	067	EEM	В		124,000	121.13	n/a	n/a	3,720.00	3.0
BUCK CAPITAL MGMT		FDO	С		20,000	30.56	3-Nov	9-Apr	non-brg	5.0
JP MORGAN VENTURES	046	FDO	С		43,900	30.35	3-Nov	9-Apr	2,195.00	5.0
BUCK CAPITAL MGMT	001	FD		SS	50,000	46.26	26-Jan	9-Mar	2,000.00	4.0
BUCK CAPITAL MGMT	001	FD		S	302,000	45.96	26-Jan	9-Mar	12,080.00	4.0
STADIA CAPITAL	035	FD		SS	75,000	45.99	26-Jan	9-Mar	3,000.00	4.0
WAGONHOUND	048	FD		SS	2,500	46.32	26-Jan	9-Mar	100.00	4.0
LEVIN CAPITAL STRATEGIES	012	F		SS	25,000	8.08	n/a	5-Apr	750.00	3.0
PATTEN & PATTEN	067	GD		S	1,000	78.68	n/a	n/a	50.00	5.0
MAZAMA CAPITAL MGMT.	035	GHL	В		108,975	60.84	n/a	n/a	4,359.00	4.0
CASTLE ARK	031	GIL	В		36,100	63.07	n/a	2-Apr	2,166.00	6.0
PAKLER	N22	GIL	В		39	63.27	n/a	2-Apr	0.00	0.0
BUCK CAPITAL MGMT		GLBL		S	30,000	19.39	n/a	n/a	non-brg	5.0
GRONET	030	GLW	В		1,000	23.54	n/a	2-Mar	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	GM		SS	10,000	31.99	n/a	5-Apr	400.00	4.0
MORTAR ROCK	033	GM		SS	25,000	32.02	n/a	5-Apr	1,250.00	5.0
	WHEELER NEUBERGER BERMAN HUNTER GLOBAL INVESTOR BUCK CAPITAL MGMT JP MORGAN VENTURES BUCK CAPITAL MGMT BUCK CAPITAL MGMT STADIA CAPITAL WAGONHOUND LEVIN CAPITAL STRATEGIES PATTEN & PATTEN MAZAMA CAPITAL MGMT. CASTLE ARK PAKLER BUCK CAPITAL MGMT GRONET LEVIN CAPITAL STRATEGIES	WHEELER 008 NEUBERGER BERMAN 036 HUNTER GLOBAL INVESTOR 067 BUCK CAPITAL MGMT JP MORGAN VENTURES 046 BUCK CAPITAL MGMT 001 STADIA CAPITAL MGMT 001 STADIA CAPITAL MGMT 048 LEVIN CAPITAL STRATEGIES 012 PATTEN & PATTEN 067 MAZAMA CAPITAL MGMT. 035 CASTLE ARK 031 PAKLER 031 BUCK CAPITAL MGMT 005 CASTLE ARK 031 PAKLER 030 BUCK CAPITAL MGMT 035 CASTLE ARK 031 PAKLER 031 BUCK CAPITAL MGMT 035 CASTLE ARK 031 PAKLER 030 BUCK CAPITAL MGMT 030	WHEELER NEUBERGER BERMAN HUNTER GLOBAL INVESTOR BUCK CAPITAL MGMT JP MORGAN VENTURES BUCK CAPITAL MGMT BUCK CAPITAL MGMT STADIA CAPITAL MGMT WAGONHOUND LEVIN CAPITAL STRATEGIES CASTLE ARK PAKLER BUCK CAPITAL MGMT O01 FD STADIA CAPITAL STRATEGIES O12 F PATTEN & PATTEN O67 GD MAZAMA CAPITAL MGMT O35 GHL CASTLE ARK PAKLER CASTLE ARK PAKLER CASTLE ARK O31 GIL BUCK CAPITAL MGMT GLBL GRONET O30 GLW	WHEELER 008 DUK B NEUBERGER BERMAN 036 DW B HUNTER GLOBAL INVESTOR 067 EEM B BUCK CAPITAL MGMT JP MORGAN VENTURES 046 BUCK CAPITAL MGMT O01 BUCK CAPITAL MGMT O01 FD STADIA CAPITAL MGMT WAGONHOUND 048 FD LEVIN CAPITAL STRATEGIES 012 F PATTEN & PATTEN 067 GD MAZAMA CAPITAL MGMT 035 GHL B CASTLE ARK 031 GIL B BUCK CAPITAL MGMT N22 GIL B BUCK CAPITAL MGMT O35 GLBL GRONET 030 GLW B	WHEELER NEUBERGER BERMAN NEUBERGER BERMAN HUNTER GLOBAL INVESTOR BUCK CAPITAL MGMT JP MORGAN VENTURES O46 BUCK CAPITAL MGMT O01 FD SS BUCK CAPITAL MGMT O01 FD SS STADIA CAPITAL O35 FD SS WAGONHOUND O48 FD SS LEVIN CAPITAL STRATEGIES O67 GD SS MAZAMA CAPITAL MGMT O35 GHL B CASTLE ARK PAKLER D31 GIL B CASTLE ARK PAKLER O30 GLW B LEVIN CAPITAL MGMT SS CASTLE ARK PAKLER CASTLE ARK PAKLER CASTLE ARK PAKLER O30 GLW B LEVIN CAPITAL STRATEGIES CASTLE ARK PAKLER CASTLE ARK PA	WHEELER 008 DUK B 5,000 NEUBERGER BERMAN 036 DW B 25,000 HUNTER GLOBAL INVESTOR 067 EEM B 124,000 BUCK CAPITAL MGMT FDO C 20,000 JP MORGAN VENTURES 046 FDO C 43,900 BUCK CAPITAL MGMT 001 FD SS 50,000 BUCK CAPITAL MGMT 001 FD SS 302,000 STADIA CAPITAL 035 FD SS 75,000 WAGONHOUND 048 FD SS 25,000 PATTEN & PATTEN 067 GD S 1,000 MAZAMA CAPITAL MGMT 035 GHL B 36,100 MAZAMA CAPITAL MGMT 035 GHL B 36,100 PAKLER N22 GIL B 39 BUCK CAPITAL MGMT GLBL S 30,000 LEVIN CAPITAL STRATEGIES 012 GM S 1,000	WHEELER 008 DUK B 5,000 20.76 NEUBERGER BERMAN 036 DW B 25,000 28.24 HUNTER GLOBAL INVESTOR 067 EEM B 124,000 121.13 BUCK CAPITAL MGMT FDO C 20,000 30.56 JP MORGAN VENTURES 046 FDO C 43,900 30.35 BUCK CAPITAL MGMT 001 FD SS 50,000 46.26 BUCK CAPITAL MGMT 001 FD SS 302,000 45.96 STADIA CAPITAL 035 FD SS 75,000 45.99 WAGONHOUND 048 FD SS 25,000 8.08 PATTEN & PATTEN 067 GD S 1,000 78.68 MAZAMA CAPITAL MGMT 035 GHL B 108,975 60.84 CASTLE ARK 031 GIL B 36,100 63.07 PAKLER N22 GIL B 39 63.27 <td>WHEELER 008 DUK B 5,000 20.76 n/a NEUBERGER BERMAN 036 DW B 25,000 28.24 n/a HUNTER GLOBAL INVESTOR 067 EEM B 124,000 121.13 n/a BUCK CAPITAL MGMT FDO C 20,000 30.56 3-Nov JP MORGAN VENTURES 046 FDO C 43,900 30.35 3-Nov BUCK CAPITAL MGMT 001 FD SS 50,000 46.26 26-Jan BUCK CAPITAL MGMT 001 FD SS 302,000 45.96 26-Jan STADIA CAPITAL MGMT 035 FD SS 75,000 45.99 26-Jan STADIA CAPITAL STRATEGIES 012 F SS 25,000 46.32 26-Jan LEVIN CAPITAL STRATEGIES 012 F SS 25,000 8.08 n/a MAZAMA CAPITAL MGMT 035 GHL B 100,000 78.68 n/a BU</td> <td>WHEELER 008 DUK B 5,000 20.76 n/a n/a NEUBERGER BERMAN 036 DW B 25,000 28.24 n/a n/a HUNTER GLOBAL INVESTOR 067 EEM B 124,000 121.13 n/a n/a BUCK CAPITAL MGMT FDO C 20,000 30.56 3-Nov 9-Apr JP MORGAN VENTURES 046 FDO C 43,900 30.35 3-Nov 9-Apr BUCK CAPITAL MGMT 001 FD SS 50,000 46.26 26-Jan 9-Mar BUCK CAPITAL MGMT 001 FD SS 50,000 45.96 26-Jan 9-Mar STADIA CAPITAL 035 FD SS 75,000 45.99 26-Jan 9-Mar LEVIN CAPITAL STRATEGIES 012 F SS 25,000 8.08 n/a 5-Apr PATTEN & PATTEN 067 GD S 1,000 78.68 n/a n/a <tr< td=""><td>WHEELER 008 DUK B 5,000 20.76 n/a n/a 0.00 NEUBERGER BERMAN 036 DW B 25,000 28.24 n/a n/a 1,250.00 HUNTER GLOBAL INVESTOR 067 EEM B 124,000 121.13 n/a n/a 3,720.00 BUCK CAPITAL MGMT FDO C 20,000 30.56 3-Nov 9-Apr non-brg JP MORGAN VENTURES 046 PDO C 20,000 30.56 3-Nov 9-Apr 2,195.00 BUCK CAPITAL MGMT 001 FD SS 50,000 46.26 26-Jan 9-Mar 12,080.00 BUCK CAPITAL MGMT 001 FD SS 302,000 45.99 26-Jan 9-Mar 12,080.00 STADIA CAPITAL 035 FD SS 75,000 45.99 26-Jan 9-Mar 100.00 LEVIN CAPITAL STRATEGIES 012 F SS 25,000 8.08 n/a 5-Apr 7</td></tr<></td>	WHEELER 008 DUK B 5,000 20.76 n/a NEUBERGER BERMAN 036 DW B 25,000 28.24 n/a HUNTER GLOBAL INVESTOR 067 EEM B 124,000 121.13 n/a BUCK CAPITAL MGMT FDO C 20,000 30.56 3-Nov JP MORGAN VENTURES 046 FDO C 43,900 30.35 3-Nov BUCK CAPITAL MGMT 001 FD SS 50,000 46.26 26-Jan BUCK CAPITAL MGMT 001 FD SS 302,000 45.96 26-Jan STADIA CAPITAL MGMT 035 FD SS 75,000 45.99 26-Jan STADIA CAPITAL STRATEGIES 012 F SS 25,000 46.32 26-Jan LEVIN CAPITAL STRATEGIES 012 F SS 25,000 8.08 n/a MAZAMA CAPITAL MGMT 035 GHL B 100,000 78.68 n/a BU	WHEELER 008 DUK B 5,000 20.76 n/a n/a NEUBERGER BERMAN 036 DW B 25,000 28.24 n/a n/a HUNTER GLOBAL INVESTOR 067 EEM B 124,000 121.13 n/a n/a BUCK CAPITAL MGMT FDO C 20,000 30.56 3-Nov 9-Apr JP MORGAN VENTURES 046 FDO C 43,900 30.35 3-Nov 9-Apr BUCK CAPITAL MGMT 001 FD SS 50,000 46.26 26-Jan 9-Mar BUCK CAPITAL MGMT 001 FD SS 50,000 45.96 26-Jan 9-Mar STADIA CAPITAL 035 FD SS 75,000 45.99 26-Jan 9-Mar LEVIN CAPITAL STRATEGIES 012 F SS 25,000 8.08 n/a 5-Apr PATTEN & PATTEN 067 GD S 1,000 78.68 n/a n/a <tr< td=""><td>WHEELER 008 DUK B 5,000 20.76 n/a n/a 0.00 NEUBERGER BERMAN 036 DW B 25,000 28.24 n/a n/a 1,250.00 HUNTER GLOBAL INVESTOR 067 EEM B 124,000 121.13 n/a n/a 3,720.00 BUCK CAPITAL MGMT FDO C 20,000 30.56 3-Nov 9-Apr non-brg JP MORGAN VENTURES 046 PDO C 20,000 30.56 3-Nov 9-Apr 2,195.00 BUCK CAPITAL MGMT 001 FD SS 50,000 46.26 26-Jan 9-Mar 12,080.00 BUCK CAPITAL MGMT 001 FD SS 302,000 45.99 26-Jan 9-Mar 12,080.00 STADIA CAPITAL 035 FD SS 75,000 45.99 26-Jan 9-Mar 100.00 LEVIN CAPITAL STRATEGIES 012 F SS 25,000 8.08 n/a 5-Apr 7</td></tr<>	WHEELER 008 DUK B 5,000 20.76 n/a n/a 0.00 NEUBERGER BERMAN 036 DW B 25,000 28.24 n/a n/a 1,250.00 HUNTER GLOBAL INVESTOR 067 EEM B 124,000 121.13 n/a n/a 3,720.00 BUCK CAPITAL MGMT FDO C 20,000 30.56 3-Nov 9-Apr non-brg JP MORGAN VENTURES 046 PDO C 20,000 30.56 3-Nov 9-Apr 2,195.00 BUCK CAPITAL MGMT 001 FD SS 50,000 46.26 26-Jan 9-Mar 12,080.00 BUCK CAPITAL MGMT 001 FD SS 302,000 45.99 26-Jan 9-Mar 12,080.00 STADIA CAPITAL 035 FD SS 75,000 45.99 26-Jan 9-Mar 100.00 LEVIN CAPITAL STRATEGIES 012 F SS 25,000 8.08 n/a 5-Apr 7

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		GPS	В	50,000	17.95	n/a	9-Mar	non-brg	5.0
	J L F ASSET MGMT	039	GPS	В	333,000	18.32	n/a	9-Mar	13,320.00	4.0
	WHEELER	008	GXP	В	5,000	32.98	n/a	n/a	0.00	0.0
	TRELLUS PARTNERS LP	028	GYMB	В	50,000	41.46	4-Jan	14-Mar	2,500.00	5.0
	SIRIS	013	HAR	s	800	99.37	n/a	n/a	0.00	0.0
	PATTEN & PATTEN	067	HMC	S	1,500	35.26	n/a	n/a	75.00	5.0
õ	Claire Mencke		HW	s	100	22.27	n/a	n/a	0.00	0.0
	MERRILL ASSET	032	IFC	S	10,700	17.95	n/a	n/a	481.50	4.5
ß	BUCK CAPITAL MGMT		IJR	С	11,100	69.03	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		IKAN	В	10,000	8.05	n/a	n/a	non-brg	4.0
õ	Claire Mencke		IMH	s	300	4.66	n/a	n/a	0.00	0.0
	RIVER RUN MGMT	031	ITCD	В	25,000	3.25	n/a	n/a	750.00	3.0
	BALYASNY ASSET MGMT	044	ITG	SS	20,000	38.83	n/a	n/a	1,000.00	5.0
ß	BUCK CAPITAL MGMT		IWM	С	9,500	80.67	n/a	n/a	non-brg	1.0
	LATEFF MGMT	040	JNJ	В	1,200	61.53	n/a	22-Apr	396.00	33.0
	PATTEN & PATTEN	067	JNJ	S	500	61.43	n/a	22-Apr	25.00	5.0

	Account	RR #	Symbol	в/:	S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	THIRD COAST CAPITAL	035	JNY		S	30,000	31.98	n/a	15-Feb	1,500.00	5.0
	PATTEN & PATTEN	067	JPM		S	1,000	48.90	n/a	13-Mar	50.00	5.0
	CUMBERLAND	038	JWN	В		10,000	54.57	27-Feb	9-Mar	400.00	4.0
	BUCK CAPITAL MGMT	001	KCP	В		10,000	26.88	n/a	28-Feb	400.00	4.0
	CUMBERLAND	038	KSS	В		12,300	78.01	27-Dec	8-Mar	615.00	5.0
	CUMBERLAND	038	KSS		S	4,800	77.92	27-Dec	8-Mar	240.00	5.0
	J L F ASSET MGMT	039	KSS		SS	39,600	78.26	27-Dec	8-Mar	1,584.00	4.0
	RBC CAPITAL MARKETS	034	KSS	В		20,000	78.27	27-Dec	8-Mar	1,000.00	5.0
	CAXTON PART	040	LRCX		SS	20,000	49.47	n/a	n/a	800.00	4.0
	PATTEN & PATTEN	067	MDT		S	500	48.79	n/a	22-Apr	25.00	5.0
ß	BUCK CAPITAL MGMT		MIR		s	25,000	44.26	n/a	n/a	non-brg	5.0
	WEINTRAUB CAPITAL MGT.	040	MIR		S	50,000	43.16	n/a	n/a	2,500.00	5.0
õ	Claire Mencke		MMA		S	200	28.24	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		MSMSBFIN	С		26,600	28.84	n/a	n/a	non-brg	2.9
ß	BUCK CAPITAL MGMT		MVRX	С		2,500	202.47	n/a	n/a	non-brg	20.2
	STRONG/WELL HOWARD	038	MWV		S	170,600	32.09	20-Mar	20-Mar	6,824.00	4.0
	CAPITAL GROWTH MGMT.	241	NCS	В		10,000	47.09	n/a	n/a	500.00	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
	PATTEN & PATTEN	067	NVS	S	1,200	55.18	n/a	n/a	60.00	5.0
	BUCK CAPITAL MGMT	001	NWY NWY	S S	5,000 72,100	15.36 15.23	23-Mar 23-Mar	23-Mar 23-Mar	200.00 2,884.00	4.0 4.0
	STRAUSS ASSET MGMT	040	OCNW	В	20,000	8.85	n/a	n/a	1,000.00	5.0
	CAPITAL GROWTH MGMT.	241	PCU	В	20,000	76.65	n/a	n/a	1,000.00	5.0
	PATTEN & PATTEN	067	PEP	S	1,000	63.03	n/a	n/a	50.00	5.0
	PATTEN & PATTEN	067	PG	S	1,200	63.00	15-Dec	30-Mar	60.00	5.0
	WHEELER	800	PNW	В	5,000	49.58	n/a	n/a	0.00	0.0
	PATTEN & PATTEN	067	PRU	S	1,000	91.48	n/a	n/a	50.00	5.0
	MERRILL ASSET	032	PVA	В	20,700	76.67	n/a	n/a	931.50	4.5
õ	Claire Mencke		RAS	S	100	27.01	n/a	n/a	0.00	0.0
	PATTEN & PATTEN	067	RHHBY	S	1,000	90.60	n/a	n/a	50.00	5.0
	PIVOT CAP	034	SKS	S	11,500	20.93	n/a	n/a	575.00	5.0
	WHEELER	800	SO	В	5,000	37.34	n/a	n/a	0.00	0.0
	MAIRS AND POWER INV	030	SVU	S	10,000	38.94	n/a	n/a	600.00	6.0
	BARR	096	TGIC	SS	500	39.95	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.
	JP MORGAN VENTURES	046	TIF	SS	10,000	47.87	27-Mar	27-Mar	500.00	5.0
	XYLEM GLOBAL	091	TIN	S	50,000	60.90	26-Feb	20-Mar	2,500.00	5.0
ß	BUCK CAPITAL MGMT		TJX	В	20,000	27.87	21-Mar	21-Mar	non-brg	5.0
	MATRIX ASSET ADV.	036	TWX	S	18 , 500	21.16	n/a	n/a	740.00	4.0
	MAGUIRE	090	UAUA	В	4,473	41.75	n/a	n/a	0.00	0.0
	BARR	096	UAUA	В	500	41.26	n/a	n/a	0.00	0.0
	MAGUIRE	090	VLO	S	3,500	67.25	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		WMT	В	100,000	48.46	n/a	21-Mar	non-brg	5.0
	BARR	096	WM	SS	400	39.50	n/a	n/a	0.00	0.0
	PATTEN & PATTEN	067	WWY	S	250	51.05	n/a	n/a	12.50	5.0
	BRIGHTPOINT CAP	067	YUM	SS	5,000	59.07	12-Dec	13-Feb	250.00	5.0

TOTAL (Trade Date): 114,255.80

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI R	esearch	Comm.	\$/Shr.
AO, CRT	04/05	MKT MAKER	099	ITCD	S	500	2.90	n/a	n/a	0.00	0.0
AO,	04/05	GRUSS CAPITAL	040	WMG	S	15,000	16.82	n/a	n/a	600.00	4.0
TOTAL	(AO,	CXL, CRT):								600.00	

TOTAL (RUN DATE) / NFS:	114,855.80
TOTAL (AO, CXL, CRT):	600.00
TOTAL (Trade Date):	114,255.80

MRI Securities with Trade Activity on 9-Apr. NONE

MRI Securities without Trade Activity on 9-Apr.

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 53%

YTD = 18%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION