



Daily Compliance Activity Report

Trade Date: 04/05/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	AAI	B	5,000	10.85	n/a	n/a	0.00	0.0
BARR	096	AAI	B	1,000	10.80	n/a	n/a	0.00	0.0
GEORGE WEISS	034	ABG	C	50,000	27.08	n/a	n/a	2,000.00	4.0
Glenn Redemann		AEM	B	7	0.1400	n/a	n/a	0.00	0.0
Glenn Redemann		AEM	B	7	0.4200	n/a	n/a	0.00	0.0
Glenn Redemann		AEM	S	7	0.8300	n/a	n/a	0.00	0.0
Glenn Redemann		AEM	S	7	1.3800	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	044	AEO	B	10,000	29.85	4-Jan	9-Mar	500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	AEO	SS	30,000	29.81	4-Jan	9-Mar	1,500.00	5.0
WEISS PECK	040	AEO	C	5,500	30.05	4-Jan	9-Mar	275.00	5.0
LISTED ERR	099	AEO	B	30,000	29.81	4-Jan	9-Mar	0.00	0.0
LISTED ERR	099	AEO	S	30,000	29.81	4-Jan	9-Mar	0.00	0.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	33,800	8.96	n/a	n/a	1,352.00	4.0
ZOLIDIS	011	ANN	B	200	38.98	5-Jan	19-Mar	0.00	0.0
WEISS PECK	040	ARO	C	5,300	41.93	n/a	5-Apr	265.00	5.0
NEUBERGER BERMAN	036	ATK	S	8,400	89.46	n/a	n/a	420.00	5.0
CRAMER ROSENTHAL/SCOTT	012	AVT	C	16,500	38.53	n/a	n/a	825.00	5.0
SIGMA CAPITAL ASSOCIATES	044	BEBE	B	20,000	18.14	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRISANTI BROWN & PARTNER	048	BSX	C	24,800	14.88	n/a	22-Apr	1,240.00	5.0
PENN CAPITAL MGMT	033	CAKE	S	9,365	26.64	7-Feb	7-Feb	374.60	4.0
BUCK CAPITAL MGMT	001	CBK	S	10,000	20.32	30-Mar	5-Apr	400.00	4.0
RAINIER INV MGMT	035	CF	B	72,850	41.19	n/a	n/a	3,642.50	5.0
BUCK CAPITAL MGMT	001	CHS	B	70,000	25.30	27-Mar	27-Mar	2,800.00	4.0
NEUBERGER BERMAN	036	CRL	S	24,300	46.54	n/a	n/a	1,215.00	5.0
ZOLIDIS	011	CROX	C	50	51.11	n/a	n/a	0.00	0.0
MATTHES CAPITAL MGMT	033	CSV	S	10,000	8.43	n/a	n/a	400.00	4.0
CATAPULT	040	CVS	B	25,000	34.55	n/a	n/a	1,250.00	5.0
LISTED ERR	099	CVS	B	5,000	34.65	n/a	n/a	0.00	0.0
LISTED ERR	099	CVS	S	5,000	34.65	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	DBRN	B	10,000	22.52	n/a	n/a	600.00	6.0
SIGMA CAPITAL ASSOCIATES	044	DBRN	S	110,000	22.43	n/a	n/a	5,500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	DSW	B	5,000	43.48	30-Mar	30-Mar	250.00	5.0
HUNTER GLOBAL INVESTOR	067	EEM	B	125,300	120.25	n/a	n/a	3,759.00	3.0
MATTHES CAPITAL MGMT	033	FBN	B	20,000	15.80	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	FD	SS	20,000	45.95	26-Jan	9-Mar	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	044	FD	SS	10,000	46.03	26-Jan	9-Mar	500.00	5.0
TROUBH PART	067	FD	SS	10,000	45.90	26-Jan	9-Mar	500.00	5.0
WAGONHOUND	048	F	SS	41,500	7.97	n/a	5-Apr	1,245.00	3.0
MCCARTAN	028	GIL	B	100	63.29	n/a	2-Apr	0.00	0.0
FIRST NEW YORK LEDDY	035	GPS	S	10,000	17.67	n/a	9-Mar	600.00	6.0
Õ Glenn Redemann		GRP	B	3	0.8100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GRP	B	3	0.8900	n/a	n/a	0.00	0.0
SHAREVEST CAPITAL MGMT.	038	IFS	S	3,700	30.90	n/a	n/a	185.00	5.0
RIVER RUN MGMT	031	ITCD	B	13,000	2.98	n/a	n/a	390.00	3.0
KERN	050	IYM	B	100	65.59	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	83.67	23-Feb	5-Mar	400.00	4.0
BUCK CAPITAL MGMT	001	KCP	B	10,000	26.71	n/a	28-Feb	400.00	4.0
HUNTER GLOBAL INVESTOR	067	KR	S	100,000	29.09	n/a	n/a	5,000.00	5.0
BALYASNY ASSET MGMT	044	LAZ	B	20,000	51.49	n/a	n/a	1,000.00	5.0
Õ Glenn Redemann		NCV	S	623	16.3000	n/a	n/a	0.00	0.0
KERN	050	PBW	B	100	19.37	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	044	PERY	B	10,000	32.95	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KNOTT PARTNERS	044	PRX	B	25,000	25.95	30-Jan	5-Apr	1,000.00	4.0
SIGMA CAPITAL ASSOCIATES	044	PSS	B	48,000	34.19	n/a	n/a	2,400.00	5.0
SIGMA CAPITAL ASSOCIATES	044	PSS	S	48,000	34.03	n/a	n/a	2,400.00	5.0
BARR	096	QID	S	500	52.01	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	RAS	S	146,809	27.13	n/a	n/a	7,340.45	5.0
SIGMA CAPITAL ASSOCIATES	044	RSTO	B	40,000	6.15	n/a	n/a	2,000.00	5.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	22.19	n/a	n/a	800.00	4.0
SIGMA CAPITAL ASSOCIATES	044	SMRT	S	15,000	17.03	n/a	n/a	750.00	5.0
WAGONHOUND	048	TJX	B	6,500	27.74	21-Mar	21-Mar	260.00	4.0
BALYASNY ASSET MGMT	044	TROW	B	10,000	48.42	n/a	28-Mar	500.00	5.0
HOOVER INV. MGMT	033	TTI	B	16,400	25.05	n/a	n/a	820.00	5.0
HARVEST MGMT	039	TWB	B	25,000	37.49	22-Feb	22-Feb	1,250.00	5.0
THE JAY GOLDMAN	034	TWB	S	20,000	37.42	22-Feb	22-Feb	800.00	4.0
MAGUIRE	090	UAUA	B	5,000	40.75	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	WDR	B	25,000	24.20	5-Mar	28-Mar	1,250.00	5.0
KERN	050	WMH	B	100	61.05	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	XIDE	S	900	8.85	n/a	n/a	0.00	0.0
KERN	050	XLU	B	100	40.60	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	ZZ	SS	2,000	16.99	n/a	n/a	100.00	5.0
TOTAL (Trade Date) :							63,558.55		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/04	NOMU (NOMUSTRATE)	040	ARII	B	2,200	29.52	n/a	n/a	110.00 5.0
AO,	04/04	NOMU (NOMUBATT2)	040	ARII	B	7,400	29.52	n/a	n/a	370.00 5.0
AO,	04/04	NOMU (NOMUBSMAN)	040	ARII	B	8,000	29.52	n/a	n/a	400.00 5.0
AO,CRT	04/03	BANK OF NEW YORK (BONYMAIN A)	032	CENT	S	12,280	14.58	8-Feb	8-Feb	552.60 4.5
AO,CXL	04/03	ESTABROOK (ESTAMANE)	032	CENT	S	12,280	14.58	8-Feb	8-Feb	552.60 4.5
AO,CXL	03/29	MILLENIUIM PARTNERS (MILP-IAN)	028	EAGL	B	33,500	39.84	n/a	n/a	1340.00 4.0
AO,CRT	03/29	MILLENIUIM PARTNERS (MILP-IAN)	028	EAGL	B	33,500	39.84	n/a	n/a	1005.00 3.0
AO,CXL	04/04	SPRINGBOC (SPRIGOLDMA)	039	QQQQ	SS	31,336	44.27	n/a	n/a	1566.80 5.0
AO,CRT	04/04	SPRINGBOC (SPRIGOLDMA)	039	QQQQ	SS	31,336	44.27	n/a	n/a	1566.80 5.0
CRT	04/05	HARVEST MGMT (HARVNEUBER)	039	TWB	B	11,563	37.49	22-Feb	22-Feb	578.15 5.0
AO,CXL	03/28	BARROW HANLEY MEWHINNEY (BARR9841)	031	XL	S	9,000	69.75	n/a	n/a	450.00 5.0
AO,CRT	03/28	BARROW HANLEY MEWHINNEY (BARR9841)	031	XL	S	9,000	69.75	n/a	n/a	360.00 4.0
AO,CRT	03/30	OTC ERROR (OTC ERROR)	999	XOHO	B	25,000	5.14	n/a	n/a	0.00 0.0
AO,CRT	03/30	OTC ERROR (OTC ERROR)	099	XOHO	S	25,000	5.15	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										1,033.15

TOTAL (Trade Date):	63,558.55
TOTAL (AO, CXL, CRT):	1,033.15

TOTAL (RUN DATE) / NFS:

64,591.70

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION