



# Daily Compliance Activity Report

Trade Date: 09/25/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PECONIC PARTNERS	036	AAPL	S	50,000	184.13	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ADS GR</b>	<b>C</b>	<b>20,000</b>	<b>53.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>8.0</b>
ROTHSCHILD ASSET	040	AIR	B	4,800	20.33	n/a	n/a	216.03	4.5
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>12,000</b>	<b>31.82</b>	<b>3-Jun</b>	<b>21-Sep</b>	<b>480.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>B</b>	<b>80,000</b>	<b>32.07</b>	<b>3-Jun</b>	<b>21-Sep</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	ANF	B	2,500	31.90	3-Jun	21-Sep	125.00	5.0
HOOVER INV. MGMT	033	ANF	S	121,200	31.85	3-Jun	21-Sep	4,848.00	4.0
SIGMA CAPITAL ASSOCIATES	041	ANF	B	25,000	31.97	3-Jun	21-Sep	1,000.00	4.0
GRANITE CAPITAL	045	AN	B	50,000	17.76	3-Aug	5-Aug	2,500.00	5.0
LAGRANGE CAP ADV	048	AN	B	10,000	17.80	3-Aug	5-Aug	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>S</b>	<b>60,000</b>	<b>43.33</b>	<b>n/a</b>	<b>4-Sep</b>	<b>non-brg</b>	<b>4.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>AXL</b>	<b>B</b>	<b>1000</b>	<b>7.11</b>	<b>18-Sep</b>	<b>18-Sep</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>AXL</b>	<b>S</b>	<b>1000</b>	<b>7.23</b>	<b>18-Sep</b>	<b>18-Sep</b>	<b>0.00</b>	<b>0.0</b>
WAGONHOUND/NIC	048	AXL	S	22,261	7.08	18-Sep	18-Sep	667.83	3.0
SOROS	036	BBY	S	100,000	37.15	n/a	27-Jul	4,000.00	4.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>BEE</b>	<b>B</b>	<b>4500</b>	<b>2.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEWLAND CAPITAL MGT	067	BGC	SS	10,000	38.64	n/a	n/a	400.00	4.0
<b>f ALANA J A MADIGAN-SI</b>	<b>FID</b>	<b>BGZ</b>	<b>S</b>	<b>265</b>	<b>22.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	BIG	SS	10,000	23.47	25-Aug	10-Sep	500.00	5.0
MILLENIUM PARTNERS	028	CAKE	B	15,000	19.00	24-Jul	24-Jul	600.00	4.0
CATAPULT	040	CE	SS	50,000	24.44	n/a	n/a	2,500.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>CHCG</b>	<b>B</b>	<b>1500</b>	<b>0.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CHRS</b>	<b>S</b>	<b>20,000</b>	<b>4.90</b>	<b>n/a</b>	<b>22-Mar</b>	<b>non-brg</b>	<b>3.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>CNO</b>	<b>S</b>	<b>2000</b>	<b>5.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SOLARIS INV MGMT	029	COG	S	52,300	33.70	25-Sep	25-Sep	2,615.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>10,000</b>	<b>31.61</b>	<b>n/a</b>	<b>29-Jul</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>C</b>	<b>10,000</b>	<b>41.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
SOROS	036	COST	S	43,500	56.36	n/a	27-Jul	1,740.00	4.0
<b>f ALANA J A MADIGAN-SI</b>	<b>FID</b>	<b>C</b>	<b>B</b>	<b>1300</b>	<b>4.38</b>	<b>17-Sep</b>	<b>21-Sep</b>	<b>0.00</b>	<b>0.0</b>
COLONIAL FUND LLC	036	DAN	B	25,000	6.86	n/a	n/a	750.00	3.0
CATAPULT	040	DD	SS	25,000	31.79	n/a	22-Sep	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>S</b>	<b>5,261</b>	<b>83.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>EAT</b>	<b>S</b>	<b>80,000</b>	<b>15.64</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLENIU PARTNERS	028	EAT	B	20,201	15.63	n/a	24-Apr	808.04	4.0
SOUTH POINT CAP	067	ERTS	B	103,400	19.44	n/a	n/a	5,170.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>EXH</b>	<b>S</b>	<b>1000</b>	<b>23.23</b>	<b>n/a</b>	<b>15-Sep</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>S</b>	<b>20,000</b>	<b>10.42</b>	<b>n/a</b>	<b>28-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>S</b>	<b>40,000</b>	<b>10.28</b>	<b>n/a</b>	<b>28-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FL</b>	<b>B</b>	<b>42,000</b>	<b>11.74</b>	<b>21-Sep</b>	<b>25-Sep</b>	<b>1,680.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>B</b>	<b>8,000</b>	<b>11.61</b>	<b>21-Sep</b>	<b>25-Sep</b>	<b>non-brg</b>	<b>1.0</b>
EXIS CAPITAL	044	FL	B	4,840	11.50	21-Sep	25-Sep	242.00	5.0
EXIS CAPITAL	044	FL	S	4,840	11.95	21-Sep	25-Sep	242.00	5.0
NORTHPOINT	030	FL	B	41,300	12.02	21-Sep	25-Sep	1,652.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>FOLD</b>	<b>B</b>	<b>3000</b>	<b>9.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ABRAM M CHAPPELL</b>	<b>FID</b>	<b>F</b>	<b>B</b>	<b>275</b>	<b>7.27</b>	<b>27-Aug</b>	<b>2-Sep</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	F	B	50,000	7.22	27-Aug	2-Sep	1,500.00	3.0
MILLENIU PARTNERS	028	F	B	50,000	7.43	27-Aug	2-Sep	2,000.00	4.0
SCOGGIN CAP	039	GAME	S	75,000	11.78	n/a	n/a	3,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>20,000</b>	<b>18.46</b>	<b>21-Sep</b>	<b>21-Sep</b>	<b>non-brg</b>	<b>4.0</b>
CAERUS GLOBAL	041	GIL	B	20,000	18.90	21-Sep	21-Sep	800.00	4.0
ISLE RIDGE PARTNERS	041	GIL	B	10,000	18.90	21-Sep	21-Sep	400.00	4.0
EPOCH INV MGMT	030	GME	B	32,750	26.05	n/a	n/a	982.50	3.0
JMP ASSET MGMT	210	GME	B	4,000	26.05	n/a	n/a	200.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>40,000</b>	<b>48.63</b>	<b>21-Sep</b>	<b>21-Sep</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BULL PATH CAPITAL	044	HAS	B	8,000	27.19	n/a	n/a	400.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HGG</b>	<b>S</b>	<b>30,000</b>	<b>16.21</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
MERRILL ASSET/BLACKROCK	214	HHS	S	15,100	13.12	n/a	n/a	679.50	4.5
PZENA INV ADV	044	HHS	B	5,000	13.01	n/a	n/a	175.00	3.5
MERRILL ASSET/BLACKROCK	214	HRBN	S	24,200	16.49	n/a	n/a	1,089.00	4.5
MILLENIUM PARTNERS	028	IGT	S	8,944	20.80	n/a	27-Jul	357.76	4.0
MATRIX ASSET ADV.	036	INTC	S	13,500	19.53	n/a	n/a	405.00	3.0
MOORE CAPITAL	036	IP	B	125,000	21.70	16-Sep	16-Sep	5,000.00	4.0
SCHOTTENFELD ASSOC	041	IP	S	40,000	21.69	16-Sep	16-Sep	1,200.00	3.0
<b>f NEIL M STRATTON</b>	<b>FID</b>	<b>IRDM</b>	<b>S</b>	<b>300</b>	<b>10.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f NEIL M STRATTON</b>	<b>FID</b>	<b>IRDM</b>	<b>S</b>	<b>700</b>	<b>10.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f STRATTON PAR TNERSHI</b>	<b>FID</b>	<b>IRDM</b>	<b>S</b>	<b>400</b>	<b>10.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IWM</b>	<b>SS</b>	<b>140,000</b>	<b>60.18</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IWM</b>	<b>SS</b>	<b>70,000</b>	<b>59.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>30,000</b>	<b>35.15</b>	<b>n/a</b>	<b>30-Jan</b>	<b>1,200.00</b>	<b>4.0</b>
SCOPUS FUND	041	JCP	S	25,000	32.27	13-Apr	21-Sep	1,250.00	5.0
S.A.C. HEALTHCARE BOBBY	044	JNJ	B	20,000	60.66	n/a	22-Apr	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>2,500</b>	<b>43.89</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B	BUCK CAPITAL MGMT		JOSB	S	27,500	44.40	n/a	n/a	non-brg 2.5
	BUCK CAPITAL MGMT	001	KCP	S	5,000	10.00	n/a	28-Sep	150.00 3.0
	AVESTA CAP	229	LOW	SS	50,000	21.05	2-Sep	2-Sep	2,000.00 4.0
	BTIG/KDI ADV	039	LOW	B	29,000	21.01	2-Sep	2-Sep	1,160.00 4.0
	SOROS	036	LOW	S	113,500	20.99	2-Sep	2-Sep	4,540.00 4.0
	BMO NESBITT BURNS	041	LPX	B	22,500	6.81	23-Sep	23-Sep	1,125.00 5.0
	FIRST NEW YORK LEDDY	035	LVLTT	B	20,000	1.38	n/a	4-Jan	1,200.00 6.0
	MILLENIUUM PARTNERS	028	MAT	S	3,496	17.97	n/a	n/a	139.84 4.0
f	CHRISTOPHER ARTHUR K	FID	MHGC	S	2800	5.86	n/a	n/a	0.00 0.0
B	BUCK CAPITAL MGMT		MNRO	S	5,000	30.64	n/a	n/a	non-brg 4.0
	CIRCLE T/SES PART	035	MPEL	S	25,000	7.27	21-Sep	21-Sep	1,000.00 4.0
	CASTLEROCK	040	MRVL	B	76,901	15.71	n/a	n/a	3,076.04 4.0
	BUCK CAPITAL MGMT	001	M	B	20,000	17.79	21-Sep	21-Sep	800.00 4.0
	MILLENIUUM PARTNERS	028	M	B	106,000	17.82	21-Sep	21-Sep	4,240.00 4.0
f	JOHN BARTMAN COLE	FID	NBDFF	B	1000	1.51	n/a	n/a	0.00 0.0
	BUCK CAPITAL MGMT	001	NKE	B	30,000	58.92	n/a	n/a	1,200.00 4.0
B	BUCK CAPITAL MGMT		NKE	C	50,070	59.35	n/a	n/a	non-brg 4.0
	FIRST INV MGMT CO	029	NSC	B	13,200	43.39	n/a	n/a	660.00 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>NVN</b>	<b>S</b>	<b>2480</b>	<b>13.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>S</b>	<b>10,000</b>	<b>15.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
US BANCORP	030	PER	S	26,264	29.61	n/a	n/a	1,313.20	5.0
MILLENIUM PARTNERS	028	PFCB	S	1,836	34.10	22-Jul	22-Jul	73.44	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	30,000	19.25	21-Jul	21-Jul	1,500.00	5.0
ISLE RIDGE PARTNERS	041	PVH	SS	7,500	41.56	21-Sep	21-Sep	300.00	4.0
HIGHBRIDGE CAP	041	QGEN	S	170,000	20.62	n/a	n/a	6,800.00	4.0
<b>f ABRAM M CHAPPELL</b>	<b>FID</b>	<b>RIMM</b>	<b>B</b>	<b>26</b>	<b>69.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ALEXANDER PRYLUCKI</b>	<b>FID</b>	<b>RIMM</b>	<b>B</b>	<b>45</b>	<b>70.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>RIMM</b>	<b>B</b>	<b>18</b>	<b>70.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN CAREY MAGUIRE</b>	<b>FID</b>	<b>RIMM</b>	<b>B</b>	<b>100</b>	<b>70.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SCHOTTENFELD ASSOC	041	RKT	B	10,000	45.47	n/a	n/a	300.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>15,000</b>	<b>72.72</b>	<b>21-Sep</b>	<b>21-Sep</b>	<b>600.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RRR</b>	<b>B</b>	<b>50,000</b>	<b>7.20</b>	<b>17-Sep</b>	<b>17-Sep</b>	<b>non-brg</b>	<b>3.0</b>
JMP ASSET MGMT	210	SKS	SS	27,000	6.71	n/a	n/a	1,350.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>S</b>	<b>40,000</b>	<b>16.80</b>	<b>n/a</b>	<b>13-Mar</b>	<b>1,600.00</b>	<b>4.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>SLB</b>	<b>S</b>	<b>500</b>	<b>60.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>SMN</b>	<b>B</b>	<b>1000</b>	<b>11.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SPY</b>	<b>S</b>	<b>1000</b>	<b>104.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>SRS</b>	<b>B</b>	<b>500</b>	<b>9.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EXIS CAPITAL	044	STEC	S	25,000	29.95	n/a	n/a	1,250.00	5.0
ROSEDALE CAPITAL	046	TBT	S	15,000	44.64	n/a	n/a	750.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>TEX</b>	<b>S</b>	<b>300</b>	<b>19.17</b>	<b>n/a</b>	<b>24-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>S</b>	<b>390,000</b>	<b>46.39</b>	<b>3-Sep</b>	<b>22-Sep</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>THI</b>	<b>C</b>	<b>20,000</b>	<b>27.36</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	TIF	S	15,000	36.08	n/a	30-Jan	600.00	4.0
CATAPULT	040	TXT	B	41,000	18.03	n/a	n/a	2,050.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ULTA</b>	<b>S</b>	<b>40,000</b>	<b>15.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>35,000</b>	<b>29.99</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
SCOPUS FUND	041	VFC	B	10,000	70.91	n/a	n/a	500.00	5.0
MERRILL ASSET/BLACKROCK	214	VTR	S	17,000	38.33	n/a	n/a	765.00	4.5
SIGMA CAPITAL ASSOCIATES	041	WAG	B	75,000	34.00	n/a	n/a	3,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	041	WMT	SS	50,000	50.44	11-May	13-Aug	2,000.00	4.0
SLS CAPITAL LLC	224	WY	B	41,500	36.98	n/a	3-Aug	1,660.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>SS</b>	<b>375,000</b>	<b>33.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>XTEX</b>	<b>S</b>	<b>500</b>	<b>5.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ABRAM M CHAPPELL</b>	<b>FID</b>	<b>YHOO</b>	<b>S</b>	<b>105</b>	<b>17.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	041	YUM	B	50,000	32.72	n/a	15-Jul	2,000.00	4.0
<b>TOTAL (Trade Date):</b>							<b>109,727.18</b>		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	09/24	PATTEN & PATTEN	067	AGN	S	500	57.07	18-Sep	21-Sep	25.00 5.0
AO,CXL	09/24	PATTEN & PATTEN	067	AGN	S	500	57.07	18-Sep	21-Sep	25.00 5.0
AO,CRT	09/24	THE JAY GOLDMAN	034	BIG	S	40,000	23.71	25-Aug	10-Sep	1600.00 4.0
AO,CXL	09/24	THE JAY GOLDMAN	034	BIG	S	20,000	23.71	25-Aug	10-Sep	800.00 4.0
AO,	09/24	OSS CAPITAL/KEVIN DANN	067	DTV	B	100,000	26.56	n/a	4-Jan	2000.00 2.0
AO,CRT	09/24	SCHOTTENFELD ASSOC	041	IP	B	40,000	21.46	16-Sep	16-Sep	1200.00 3.0
AO,CXL	09/24	SCHOTTENFELD ASSOC	041	IP	B	40,000	21.46	16-Sep	16-Sep	1200.00 3.0
AO,CRT	09/23	BRIGADE CAPITAL MGMT	029	M	B	200,000	18.81	21-Sep	21-Sep	4000.00 2.0
AO,CXL	09/23	BRIGADE CAPITAL MGMT	029	M	B	200,000	18.81	21-Sep	21-Sep	6000.00 3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>800.00</b>

TOTAL (Trade Date) :	109,727.18
TOTAL (AO, CXL, CRT) :	800.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>110,527.18</b>
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<b>MRI Securities with Trade Activity on 25-Sep.</b> <b>COG</b>
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MRI Securities without Trade Activity on 25-Sep.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 17%

YTD = 21%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**