



Daily Compliance Activity Report

Trade Date: 02/13/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	AA	B	285,000	7.57	n/a	n/a	11,400.00	4.0
B BUCK CAPITAL MGMT		ADS GR	SS	20,000	34.75	n/a	n/a	non-brg	7.0
BALYASNY ASSET MGMT	044	ALV	SS	10,000	19.86	30-Jan	4-Feb	500.00	5.0
B BUCK CAPITAL MGMT	001	ANF	B	30,000	22.63	n/a	6-Feb	1,200.00	4.0
B BUCK CAPITAL MGMT		ANF	C	26,100	22.90	n/a	6-Feb	non-brg	1.0
COLUMBIA PARTNERS	028	AN	SS	30,000	10.74	30-Jan	30-Jan	1,200.00	4.0
CENTURION GLOBAL MGMT LL	041	ARW	B	5,000	19.14	n/a	n/a	150.00	3.0
B BUCK CAPITAL MGMT		BAC	S	145,000	5.58	16-Jan	10-Feb	non-brg	3.0
B BUCK CAPITAL MGMT		BBBY	C	50,000	22.38	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	BWA	SS	10,000	21.39	27-Jan	13-Feb	500.00	5.0
B BUCK CAPITAL MGMT	001	BWA	SS	30,000	20.70	27-Jan	13-Feb	1,200.00	4.0
B BUCK CAPITAL MGMT		BWA	SS	30,000	21.46	27-Jan	13-Feb	non-brg	4.0
MILLENIUM KOZLOW	028	BWA	SS	20,000	21.32	27-Jan	13-Feb	800.00	4.0
B BUCK CAPITAL MGMT		BWLD	C	100,000	30.12	n/a	n/a	non-brg	2.5
RAINIER INV MGMT	035	CCC	B	75,000	15.10	n/a	n/a	3,750.00	5.0
ROSEDALE CAPITAL	046	CIT	B	50,000	2.89	22-Jan	11-Feb	1,500.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT/COPIA-ANDREW	031	CPX	SS	105,000	4.44	n/a	n/a	3,675.00	3.5
BUCK CAPITAL MGMT	001	CRI	B	26,400	16.00	n/a	n/a	1,056.00	4.0
⌘ BUCK CAPITAL MGMT		CRI	C	25,000	16.14	n/a	n/a	non-brg	2.0
BAIRD INVESTMENT	031	CRR	B	4,425	39.03	5-Feb	5-Feb	141.25	3.3
⌘ BUCK CAPITAL MGMT		DIN	C	2,300	8.03	n/a	n/a	non-brg	1.0
TRUGENT CAPITAL	031	EMR	B	9,300	32.24	n/a	n/a	372.00	4.0
f ROBERT M DANIELS	FID	FLVCX	B	33	14.06	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FOSL	B	132,104	12.10	23-Jan	30-Jan	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FOSL	B	274,300	11.73	23-Jan	30-Jan	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FOSL	B	93,596	11.94	23-Jan	30-Jan	non-brg	1.5
CENTURION GLOBAL MGMT LL	041	FXI	B	17,400	27.00	n/a	n/a	522.00	3.0
BUCK CAPITAL MGMT	001	GES	S	100,000	16.82	n/a	n/a	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		GIII	B	20,000	3.92	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GIL	S	263,600	7.29	11-Dec	12-Feb	non-brg	3.0
KENNEDY CAPITAL	046	GIL	B	79,500	7.24	11-Dec	12-Feb	2,385.00	3.0
MILLENIUM PARTNERS	028	GME	S	15,000	26.35	n/a	n/a	600.00	4.0
BALYASNY ASSET MGMT	044	GNTX	SS	20,000	8.95	30-Jan	30-Jan	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLENIU M KOZLOW	028	HON	B	10,000	32.94	n/a	30-Jan	400.00	4.0
ELM RIDGE ADVISORS	044	IP	B	138,900	6.95	22-Sep	29-Jan	4,167.00	3.0
FRIESS MGMT	033	JOSB	B	50,000	26.80	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	KMT	SS	20,000	18.10	18-Sep	30-Jan	800.00	4.0
MILLENIU M KOZLOW	028	KMT	SS	30,000	18.43	18-Sep	30-Jan	1,200.00	4.0
SAXON WOODS ADVISORS	038	LLY	S	268,700	35.49	n/a	n/a	8,061.00	3.0
f KAREN F LEDDY	FID	MGA	S	500	30.75	n/a	n/a	0.00	0.0
MUHLENKAMP FUND	046	MMM	S	95,000	49.80	8-Dec	29-Jan	4,750.00	5.0
INDEPENDENCE INV	039	MON	S	10,010	80.02	n/a	22-Jan	400.40	4.0
f FRANCIS MICHAEL MCCA	FID	MXGL	B	200	17.93	n/a	n/a	0.00	0.0
f HARALAMBOS EFSTATHI	FID	MXGL	B	300	18.23	n/a	n/a	0.00	0.0
COUGAR TRADING	044	M	B	5,000	8.48	n/a	6-Feb	200.00	4.0
BUCK CAPITAL MGMT	001	NKE	B	20,000	44.38	n/a	n/a	800.00	4.0
EGS ASSOCIATES LP	091	PAG	SS	6,000	7.30	n/a	13-Feb	360.00	6.0
B BUCK CAPITAL MGMT		PSUN	B	20,000	1.52	6-Feb	6-Feb	non-brg	1.0
f HARALAMBOS EFSTATHI	FID	PWR	B	200	19.15	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRIESS MGMT	033	RE	B	43,200	71.65	n/a	n/a	1,728.00	4.0
BUCK CAPITAL MGMT	001	RL	B	20,000	38.35	n/a	5-Feb	800.00	4.0
GRANITE CAPITAL	045	SHPGY	S	10,000	43.33	15-Oct	13-Feb	500.00	5.0
f CHRISTINE MARIE NORM	FID	SIRI	B	2300	0.11	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	239,400	7.33	n/a	13-Mar	9,576.00	4.0
ß BUCK CAPITAL MGMT		SKX	B	25,000	7.49	n/a	13-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	B	35,600	7.15	n/a	13-Mar	non-brg	2.0
INDEPENDENCE INV	039	SLB	S	8,310	41.86	n/a	n/a	332.40	4.0
f HARALAMBOS EFSTATHI	FID	SLM	B	500	8.75	22-Jan	11-Feb	0.00	0.0
ß BUCK CAPITAL MGMT		TRLG	B	25,000	10.78	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		TRLG	B	28,100	10.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TRLG	B	96,900	10.53	n/a	n/a	non-brg	4.0
HILLTOP PARK ASSOC	041	TSM	B	50,000	8.37	n/a	n/a	2,000.00	4.0
PORTER-ORLIN-FELDMAN	044	TXN	B	80,000	16.74	n/a	n/a	4,000.00	5.0
PAULSON & CO INC	041	USB	SS	1,000,000	12.99	n/a	n/a	30,000.00	3.0
AVESTA CAP	229	WAG	SS	25,000	26.46	n/a	n/a	1,250.00	5.0
ß BUCK CAPITAL MGMT		WMT	S	279,400	46.93	10-Oct	10-Feb	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B	BUCK CAPITAL MGMT		WMT	S	33,600	47.35	10-Oct	10-Feb	non-brg 4.0
	FRIESS MGMT	033	WRB	S	461,800	23.12	n/a	n/a	18,472.00 4.0
f	CHRISTOPHER ARTHUR K	FID	XLFX	S	3000	8.90	n/a	n/a	0.00 0.0
TOTAL (Trade Date):									127,548.05

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/12	MORTAR ROCK	033	BWA	SS	10,000	20.85	27-Jan	13-Feb	400.00 4.0
AO,CRT	02/12	MORTAR ROCK	033	BWA	SS	10,000	20.85	27-Jan	13-Feb	400.00 4.0
AO,	02/12	MANNING & NAPIER	034	FII	B	400,000	20.51	13-Nov	10-Feb	12000.00 3.0

TOTAL (AO, CXL, CRT) :	12,000.00
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TOTAL (Trade Date) :	127,548.05
TOTAL (AO, CXL, CRT) :	12,000.00

TOTAL (RUN DATE) / NFS:	139,548.05
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MRI Securities with Trade Activity on 13-Feb. NONE

MRI Securities without Trade Activity on 13-Feb. CEPH, TEX

BRG comm. as a % of BCM Total Comm. paid. T-Date = 28% YTD = 25%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)