



Daily Compliance Activity Report

Trade Date: 12/29/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JOSEPH CIRO AMATURO	FID	AA	S	3000	15.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	AEO	S	150,000	14.50	n/a	3-Dec	4,500.00	3.0
LAGRANGE CAP ADV	048	ALV	SS	5,000	79.00	n/a	27-Oct	250.00	5.0
B BUCK CAPITAL MGMT		ANF	B	436,000	58.14	n/a	3-Dec	non-brg	1.0
B BUCK CAPITAL MGMT		ANF	S	436,000	58.14	n/a	3-Dec	non-brg	1.0
B BUCK CAPITAL MGMT		ANN	B	485,960	27.46	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		ANN	S	485,960	27.46	n/a	n/a	non-brg	1.0
STRONG/MARK GRONET	030	AUY	S	10,000	12.79	n/a	n/a	400.00	4.0
BRANDWINE	028	BBY	S	101,700	34.38	n/a	n/a	4,068.00	4.0
B BUCK CAPITAL MGMT		BHI	S	23,000	56.86	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	BID	S	10,000	45.25	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		CAR	S	35,000	15.00	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CBK	B	20,000	6.18	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		CHS	B	970,000	12.00	n/a	5-Feb	non-brg	1.0
B BUCK CAPITAL MGMT		CHS	S	970,000	12.00	n/a	5-Feb	non-brg	1.0
B BUCK CAPITAL MGMT		COH	B	401,100	55.56	n/a	27-Oct	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		COH	S	401,100	55.56	n/a	27-Oct	non-brg	1.0
LOMBARDIA CAP MGMT	084	CONN	S	88,368	4.70	n/a	n/a	4,191.23	5.0
f MARC PAUL LUCHANSKY	FID	CVGI	S	1000	16.70	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		DECK	B	380,845	82.44	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DECK	S	380,845	82.44	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DECK	S	40,000	82.64	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	ENS	B	1,600	32.35	n/a	n/a	64.00	4.0
ARCHON CAPITAL	035	EXTR	B	125,000	3.20	n/a	n/a	3,750.00	3.0
CRAMER ROSENTHAL/SCOTT	012	FCF	B	9,200	7.30	n/a	n/a	368.00	4.0
⚡ BUCK CAPITAL MGMT		FINL	B	1,334,100	17.17	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		FINL	S	1,334,100	17.17	n/a	n/a	non-brg	1.0
f JOHN MICHAEL DEMARTI	FID	FOR	S	1000	19.47	n/a	3-Nov	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	FPO	B	3,500	17.14	n/a	n/a	140.00	4.0
PZENA INV ADV	044	FRX	B	23,023	32.10	n/a	27-Dec	805.83	3.5
⚡ BUCK CAPITAL MGMT		GCO	B	83,772	37.03	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		GCO	S	83,772	37.03	n/a	n/a	non-brg	1.0
CRAMER ROSENTHAL/SCOTT	012	GEF	B	1,400	63.20	n/a	n/a	56.00	4.0
BUCK CAPITAL MGMT	001	GES	S	20,000	47.07	n/a	24-Nov	600.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		GMCR	B	497,700	32.08	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GMCR	S	497,700	32.08	n/a	n/a	non-brg	1.0
CRAMER ROSENTHAL/SCOTT	012	GPI	B	2,600	41.42	n/a	27-Oct	104.00	4.0
ß BUCK CAPITAL MGMT		HAL	S	40,000	40.66	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	HPQ	S	750	42.58	n/a	n/a	210.00	28.0
BUCK CAPITAL MGMT	001	HTZ	S	20,000	14.22	n/a	n/a	600.00	3.0
ß BUCK CAPITAL MGMT		HTZ	B	421,361	14.24	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HTZ	S	421,361	14.24	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HTZ	S	50,000	14.24	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		IJH	SS	136,000	91.13	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		IJR	C	560,000	69.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		IWO	SS	438,000	88.25	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		IWR	C	122,000	102.07	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		IWS	SS	145,000	45.13	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		IYC	SS	559,000	67.91	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		JACK	B	20,000	21.32	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		JCI	S	69,300	38.38	n/a	7-Dec	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JNY	S	30,000	15.52	n/a	28-Oct	900.00	3.0
TRUSTCO/SUNTRUST	040	JNY	B	13,100	15.50	n/a	28-Oct	458.50	3.5
f CHRISTOPHER ROBERT C	FID	JPM	S	100	42.50	n/a	17-Dec	0.00	0.0
SIGMA CAPITAL ASSOCIATES	041	KR	B	25,000	21.91	n/a	n/a	875.00	3.5
LEVIN CAPITAL STRATEGIES	012	LEA	B	6,200	96.75	n/a	29-Oct	186.00	3.0
B BUCK CAPITAL MGMT		LIZ	B	1,397,800	7.32	n/a	7-Oct	non-brg	1.0
B BUCK CAPITAL MGMT		LIZ	S	1,397,800	7.32	n/a	7-Oct	non-brg	1.0
BUCK CAPITAL MGMT	001	LTD	S	20,000	30.85	n/a	n/a	600.00	3.0
B BUCK CAPITAL MGMT		MDY	C	40,000	165.79	n/a	n/a	non-brg	1.0
f JOSEPH CIRO AMATURO	FID	MOTR	B	5000	18.22	n/a	n/a	0.00	0.0
SCOPUS FUND	041	MTW	S	9,500	12.90	n/a	1-Nov	380.00	4.0
B BUCK CAPITAL MGMT		MVRX	C	32,500	213.96	n/a	n/a	non-brg	21.4
MATRIX ASSET ADV.	091	MWW	S	3,900	23.75	n/a	n/a	156.00	4.0
BUCK CAPITAL MGMT	001	M	S	10,000	25.26	n/a	30-Nov	300.00	3.0
STRONG/MARK GRONET	030	NLY	S	8,800	17.88	n/a	n/a	352.00	4.0
B BUCK CAPITAL MGMT		NWY	B	1,115,279	4.26	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		NWY	S	1,115,279	4.26	n/a	n/a	non-brg	1.0
JOSEPH CIRO AMATURO	FID	OEH	S	5000	12.96	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		PCAR	S	15,000	57.46	n/a	23-Nov	non-brg	4.0
MASTERS CAPITAL MANAGE	091	PFE	S	50,000	17.64	n/a	n/a	2,000.00	4.0
WEINTRAUB CAPITAL MGT.	040	PKG	B	125,000	25.86	n/a	15-Dec	5,000.00	4.0
BUCK CAPITAL MGMT		PLCE	B	471,930	49.75	n/a	18-Nov	non-brg	1.0
BUCK CAPITAL MGMT		PLCE	S	471,930	49.75	n/a	18-Nov	non-brg	1.0
BUCK CAPITAL MGMT		PSS	SS	20,000	20.61	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	RL	SS	20,000	111.71	n/a	11-Nov	600.00	3.0
STRONG/MARK GRONET	030	RNWK	S	10,695	4.19	n/a	n/a	320.85	3.0
BUCK CAPITAL MGMT		SLB	S	20,000	83.72	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SODA	B	10,000	31.38	n/a	n/a	non-brg	2.5
WEINTRAUB CAPITAL MGT.	040	SSCC	S	86,500	25.43	n/a	15-Dec	3,460.00	4.0
1798 CAP/LOMBARD ASSET	040	SWC	B	20,000	21.54	n/a	n/a	1,000.00	5.0
STRONG/MARK GRONET	030	TBBK	S	3,005	10.02	n/a	n/a	120.20	4.0
BUCK CAPITAL MGMT		TGT	B	30,000	60.19	n/a	2-Dec	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	TSN	S	100,000	17.53	n/a	n/a	4,000.00	4.0
f JOSEPH CIRO AMATURO	FID	UQM	S	5000	2.24	n/a	n/a	0.00	0.0
f ALICE BEEBE LONGLEY	FID	URRE	B	1000	3.38	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	URRE	B	2000	3.28	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	URZ	S	20000	3.66	n/a	n/a	0.00	0.0
f BERNA GABRIELLE BARS	FID	VXX	B	500	38.34	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	V	S	1000	70.49	n/a	21-Dec	0.00	0.0
SCOPUS FUND	041	WAB	SS	20,000	52.70	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		WBC	S	15,000	60.50	n/a	21-Dec	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	WNC	B	5000	11.80	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	S	10,000	54.78	n/a	9-Nov	300.00	3.0
B BUCK CAPITAL MGMT		XRT	C	790,000	48.53	n/a	n/a	non-brg	1.0
SIGMA CAPITAL ASSOCIATES	041	ZUMZ	SS	10,000	28.27	n/a	n/a	350.00	3.5
TOTAL (Trade Date) :								42,565.61	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT):									0.00

TOTAL (Trade Date):	42,565.61
TOTAL (AO, CXL, CRT):	0.00

TOTAL (RUN DATE) / NFS:	42,565.61
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MRI Securities with Trade Activity on 29-Dec.
NONE

MRI Securities without Trade Activity on 29-Dec.
IR

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 4%
YTD = 11%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)