



Daily Compliance Activity Report

Trade Date: 08/01/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PRINCIPLE CAPITAL	040	ABB	S	45,700	25.78	n/a	n/a	1,371.00	3.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	13.88	6-Mar	1-Aug	2,000.00	4.0
COUGAR TRADING	044	AEO	B	10,000	13.88	6-Mar	1-Aug	500.00	5.0
JP MORGAN VENTURES	046	AEO	B	50,000	13.89	6-Mar	1-Aug	2,500.00	5.0
OTC ERROR	099	AFSI	S	50	14.00	n/a	n/a	0.00	0.0
COOKBIELER	028	ALV	B	49,130	38.47	23-Jul	30-Jul	1,965.20	4.0
BUCK CAPITAL MGMT	001	ANF	S	170,000	55.17	10-Jul	28-Jul	6,800.00	4.0
HARVEST MGMT	039	ANF	S	20,000	55.36	10-Jul	28-Jul	1,000.00	5.0
BUCK CAPITAL MGMT	001	ANN	SS	20,000	22.50	14-Mar	11-Jul	800.00	4.0
B BUCK CAPITAL MGMT		AN	SS	150,000	10.24	25-Jul	28-Jul	non-brg	4.0
LEDDY	035	ARGN	B	2,000	6.75	24-Jun	17-Jul	0.00	0.0
APEX CAPITAL MGMT.	091	ARO	SS	52,700	32.30	28-Apr	1-Aug	2,635.00	5.0
BUCK CAPITAL MGMT	001	ARO	B	20,000	32.23	28-Apr	1-Aug	800.00	4.0
COUGAR TRADING	044	ARO	B	5,000	32.20	28-Apr	1-Aug	250.00	5.0
LBACMELISA	005	AXE	S	1,000	67.09	28-Mar	23-Jul	0.00	0.0
LBACCICIRA	005	AXE	S	1,000	67.15	28-Mar	23-Jul	0.00	0.0
LBACCICIND	005	AXE	S	2,000	67.26	28-Mar	23-Jul	0.00	0.0
BUCK	015	BACUA	S	15	1.52	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	BAC	SS	15,000	32.94	n/a	29-Jul	750.00	5.0
⌘ BUCK CAPITAL MGMT		BARE	B	40,000	11.26	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BBBY	SS	30,000	27.35	n/a	n/a	non-brg	4.0
CATAPULT	040	BBT	B	15,000	29.03	n/a	n/a	750.00	5.0
HEARTLAND FUNDS	031	BGG	S	43,500	13.67	n/a	n/a	2,175.00	5.0
⌘ BUCK CAPITAL MGMT		BWA+TH	C	1,300	2.20	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		BWA	C	130,000	39.25	10-Jun	1-Aug	non-brg	2.0
EFSTATHIOU	044	CHKHJ	S	10	2.95	n/a	n/a	0.00	0.0
SINCLAIR	031	CHKJJ	S	6	5.70	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	CHRW	S	48,600	47.89	n/a	n/a	1,944.00	4.0
⌘ BUCK CAPITAL MGMT		CMG	SS	20,000	67.93	24-Jul	24-Jul	non-brg	4.0
CATAPULT	040	COF	SS	15,000	41.00	n/a	29-Jul	750.00	5.0
SAC TRADING HOWARD	038	COST	B	25,000	62.38	n/a	27-Jul	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		DAI	SS	10,000	57.15	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DECK	B	20,000	108.14	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WESTLANE CAPITAL	044	DE	B	7,000	70.39	n/a	23-May	350.00	5.0
APEX CAPITAL MGMT.	091	DKS	S	256,000	17.56	7-Jul	9-Jul	12,800.00	5.0
METROPOLITAN CAPITAL	044	DSW	S	30,100	13.70	8-May	9-Jul	1,505.00	5.0
LEVIN CAPITAL STRATEGIES	012	EMR	B	10,000	48.59	n/a	n/a	400.00	4.0
NOMURA CAPITAL	045	EYE	SS	12,000	17.05	n/a	n/a	600.00	5.0
BUCK CAPITAL MGMT	001	FL	B	100,000	14.67	1-Aug	1-Aug	4,000.00	4.0
REINHART & MAHONEY	031	FTO	S	2,880	18.34	n/a	n/a	144.00	5.0
⌘ BUCK CAPITAL MGMT		F	SS	200,000	4.86	25-Jul	28-Jul	non-brg	3.0
FIRST NY SEC/CHRIS	041	GAP	B	10,000	15.59	n/a	n/a	600.00	6.0
⌘ BUCK CAPITAL MGMT		GCO	B	30,000	29.74	n/a	10-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GES	B	10,000	31.70	n/a	n/a	non-brg	4.0
WHEELER	008	GFG	S	15,000	3.57	n/a	n/a	0.00	0.0
WAGONHOUND/NIC	048	GLW	B	16,950	19.93	n/a	30-Jul	678.00	4.0
MCCARTAN	028	GMTB	S	100	0.80	n/a	n/a	0.00	0.0
BRENCOURT	028	GM	B	25,000	10.50	24-Jun	31-Jul	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HEALY CIRCLE	044	GM	B	13,000	10.10	24-Jun	31-Jul	650.00	5.0
BALYASNY ASSET MGMT	044	GNTX	SS	10,000	15.25	24-Jun	28-Jul	500.00	5.0
TRELLUS PARTNERS LP	028	GNTX	SS	20,000	15.41	24-Jun	28-Jul	1,000.00	5.0
JP MORGAN VENTURES	046	GPS	SS	10,000	16.39	n/a	11-Jul	500.00	5.0
SANDLER O'NEILL ASSET	031	GS	B	10,000	181.59	19-Mar	14-Jul	500.00	5.0
COUGAR TRADING	044	GYMB	B	10,000	37.13	11-Jul	11-Jul	500.00	5.0
COUGAR TRADING	044	GYMB	S	5,000	37.03	11-Jul	11-Jul	250.00	5.0
N STRATTON	014	HEMGF	B	200	3.73	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	HOC	S	2,220	28.79	n/a	n/a	111.00	5.0
BMO NESBITT BURNS	041	IP	SS	60,000	29.09	1-Aug	1-Aug	3,000.00	5.0
RAINIER INV MGMT	035	ITC	B	23,075	50.35	n/a	n/a	1,153.75	5.0
WENTWORTH HAUSER VIOLICH	033	ITW	S	347,692	46.95	20-May	23-May	13,907.68	4.0
B BUCK CAPITAL MGMT		ITX SM	S	20,000	46.38	n/a	n/a	non-brg	9.3
B BUCK CAPITAL MGMT		JCG	S	60,000	28.16	n/a	30-May	non-brg	4.0
BUCK CAPITAL MGMT	001	JCP	SS	10,000	29.96	n/a	1-Aug	400.00	4.0
COUGAR TRADING	044	JCP	SS	10,000	30.50	n/a	1-Aug	500.00	5.0
JP MORGAN VENTURES	046	JCP	B	20,000	30.22	n/a	1-Aug	1,000.00	5.0
HUNTER GLOBAL INVESTOR	067	JEF	SS	26,300	18.85	n/a	n/a	1,052.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	JNY	S	40,000	16.49	n/a	30-Jul	2,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	KAMN	S	20,000	25.70	n/a	n/a	600.00	3.0
LEVIN CAPITAL STRATEGIES	012	KFT	SS	20,000	31.96	n/a	n/a	800.00	4.0
STRONG/MARK GRONET	030	KRE	S	50,595	31.01	n/a	n/a	2,023.80	4.0
STRONG/WELL HOWARD	038	KRE	S	90	31.01	n/a	n/a	3.60	4.0
B BUCK CAPITAL MGMT		LEG	SS	30,000	19.43	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	40,000	12.85	n/a	25-Jul	1,600.00	4.0
BUCK	015	LYHVV	B	40	1.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MAS	SS	100,000	16.63	n/a	n/a	non-brg	4.0
FRONTPOINT CHRIS C	041	MCRS	SS	3,900	30.73	n/a	n/a	156.00	4.0
BUCK CAPITAL MGMT	001	MHK	SS	50,000	59.03	n/a	n/a	2,000.00	4.0
AVESTA CAP	229	MHS	S	25,000	48.89	n/a	n/a	1,250.00	5.0
SANDLER O'NEILL ASSET	031	MS	S	25,000	41.09	n/a	14-Jul	1,250.00	5.0
CATAPULT	040	MTB	SS	15,000	71.22	n/a	n/a	750.00	5.0
LEVIN CAPITAL STRATEGIES	012	MV	S	36,310	22.70	n/a	n/a	1,452.40	4.0
SINCLAIR	031	NBRLH	B	40	2.75	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SINCLAIR	031	NBRLJ	S	145	0.70	n/a	n/a	0.00	0.0
LBACCICIND	005	NFC	B	2,000	15.20	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	NFLX	B	30,000	29.17	n/a	n/a	1,500.00	5.0
LBACCICIND	005	NGK	B	2,000	15.64	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	NKE	B	25,000	59.73	n/a	n/a	1,000.00	4.0
SYSTEMATIC FINANCIAL MGT	035	NNN	B	174,900	21.62	n/a	n/a	6,558.75	3.8
⌘ BUCK CAPITAL MGMT		NP	S	10,000	19.01	n/a	11-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		NP	S	9,200	19.00	n/a	11-Jul	non-brg	1.0
JP MORGAN VENTURES	046	NWL	B	10,000	16.40	n/a	n/a	500.00	5.0
MERRILL ASSET	032	PM	B	15,500	52.40	n/a	n/a	697.50	4.5
⌘ BUCK CAPITAL MGMT		PSUN	S	120,625	8.77	n/a	1-Aug	non-brg	4.0
COUGAR TRADING	044	PSUN	B	20,000	8.85	n/a	1-Aug	1,000.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	12,500	34.27	5-May	18-Jul	500.00	4.0
ZOLIDIS	011	QUKHF	B	10	1.30	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	RAH	B	25,000	53.19	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		RICK	B	10,000	15.74	n/a	n/a	non-brg	2.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	RYN	B	15,000	46.13	n/a	24-Jul	675.00	4.5
NOMURA CAPITAL	045	SGLP	B	3,200	9.39	n/a	n/a	128.00	4.0
BUCK CAPITAL MGMT	001	SKX	B	40,000	18.82	n/a	13-Mar	1,600.00	4.0
COUGAR TRADING	044	SMG	SS	15,000	25.34	4-Aug	24-Jun	750.00	5.0
B BUCK CAPITAL MGMT		TEN	C	64,600	13.73	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAPITAL	038	TIN	SS	79,605	16.06	29-Apr	30-Jul	3,980.25	5.0
HIGHBRIDGE CAPITAL	038	TIN	S	125,000	16.10	29-Apr	30-Jul	6,250.00	5.0
SLS CAPITAL LLC	224	TIN	B	56,500	16.24	29-Apr	30-Jul	2,260.00	4.0
B BUCK CAPITAL MGMT		TLB+KW	SS	750	1.39	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	TLB	S	30,000	13.95	17-Apr	23-Jul	1,200.00	4.0
REINHART & MAHONEY	031	TNL	B	5,000	14.12	n/a	n/a	250.00	5.0
PRINCIPLE CAPITAL	040	TPX	S	126,100	9.51	n/a	n/a	2,522.00	2.0
B BUCK CAPITAL MGMT		URBN	S	10,000	32.72	15-May	1-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		URY+IV	SS	1,000	0.62	n/a	n/a	non-brg	100.0
VISIUM/BALY HOWARD	038	USTR	S	10,000	42.08	n/a	27-Jul	500.00	5.0
MS PRINCIPAL STRATEGIES	041	VCLK	S	45,000	10.96	n/a	n/a	2,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	VC	B	4,000	2.90	n/a	31-Jul	0.00	0.0
NEUBERGER BERMAN	036	VNO	B	9,000	94.21	n/a	n/a	405.00	4.5
B BUCK CAPITAL MGMT		VOW GR	SS	5,000	309.12	n/a	n/a	non-brg	61.8
BUCK CAPITAL MGMT	001	WFMI	SS	20,000	21.50	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	WRC	B	23,000	41.77	13-May	18-Jul	920.00	4.0
EGS ASSOCIATES LP	091	WRC	B	4,500	42.18	13-May	18-Jul	270.00	6.0
B BUCK CAPITAL MGMT		XRT	SS	200,000	29.60	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		ZJQ+JF	SS	1,000	1.45	n/a	n/a	non-brg	300.0
TOTAL (Trade Date):							126,993.93		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/31	NICOLAS APPELEGATE	048	ACE	B	80,000	50.65	n/a	n/a	3200.00 4.0
AO,CRT	07/31	OTC ERROR	099	AFSI	B	50	14.46	n/a	n/a	0.00 0.0
AO,CXL	07/31	MKT MAKER	099	AFSI	B	50	14.46	n/a	n/a	2.50 5.0
AO,CXL	07/31	REINHART & MAHONEY	031	AFSI	B	11,600	14.46	n/a	n/a	580.00 5.0
AO,CRT	07/31	REINHART & MAHONEY	031	AFSI	B	11,600	14.46	n/a	n/a	580.00 5.0
AO,	07/31	COLUMBUS CIRCLE	036	HAL	B	71,000	45.13	n/a	n/a	2840.00 4.0
AO,CRT	07/31	BUCK CAPITAL MGMT	001	KSS	S	79,900	41.63	n/a	1-Aug	3196.00 4.0
AO,CXL	07/31	BUCK CAPITAL MGMT	001	KSS	S	80,000	41.63	n/a	1-Aug	3200.00 4.0
AO,	07/31	BUCK CAPITAL MGMT	001	KSS	S	100	41.63	n/a	1-Aug	4.00 4.0
AO,CRT	07/31	COUGAR TRADING	044	PZN	S	25,000	9.07	30-Jul	30-Jul	1000.00 4.0
AO,CXL	07/31	COUGAR TRADING	044	PZN	S	25,000	9.07	30-Jul	30-Jul	1250.00 5.0
AO,CXL	07/29	COLUMBUS CIRCLE	036	STJ	B	50	46.93	n/a	n/a	2.00 4.0
AO,CRT	07/29	COLUMBUS CIRCLE	036	STJ	B	50	46.93	n/a	n/a	2.00 4.0
AO,CRT	07/30	SYSTEMATIC FINANCIAL MGT	035	TIN	B	1,800	15.30	29-Apr	30-Jul	67.50 3.8
AO,CXL	07/30	SYSTEMATIC FINANCIAL MGT	035	TIN	B	1,800	15.30	29-Apr	30-Jul	67.50 3.8
TOTAL (AO, CXL, CRT) :										5,787.50

TOTAL (Trade Date) :

126,993.93

TOTAL (AO, CXL, CRT):

5,787.50

TOTAL (RUN DATE) / NFS:

132,781.43

MRI Securities with Trade Activity on 1-Aug.

FL, IP

MRI Securities without Trade Activity on 1-Aug.

ENDP, OCR, PH

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 30%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION