



# Daily Compliance Activity Report

Trade Date: 06/06/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	039	AAI	S	500	11.84	n/a	n/a	0.00	0.0
WEISS PECK	040	ABY	S	152,700	2.72	n/a	n/a	4,581.00	3.0
ELM RIDGE ADVISORS	044	ACF	C	100,936	26.26	n/a	n/a	4,037.44	4.0
ß BUCK CAPITAL MGMT		ADSGR	SS	100,000	60.95	n/a	n/a	non-brg	12.2
BUCK CAPITAL MGMT	001	AEO	B	450,000	27.07	n/a	4-Jun	18,000.00	4.0
ß BUCK CAPITAL MGMT		AEO	S	135,000	26.31	n/a	4-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		AEO	S	65,000	26.23	n/a	4-Jun	non-brg	5.0
GRUBER MACBAINE	033	AEO	B	10,000	26.28	n/a	4-Jun	400.00	4.0
ß BUCK CAPITAL MGMT		ALGT	S	11,700	31.75	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ALGT	S	20,000	31.82	n/a	n/a	non-brg	5.0
ADAGE CAPITAL MGMT	030	ANF	SS	30,000	78.77	n/a	4-Jun	1,200.00	4.0
ß BUCK CAPITAL MGMT		ANF	C	17,500	78.71	n/a	4-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		ANF	C	232,500	79.08	n/a	4-Jun	non-brg	5.0
J L F ASSET MGMT	039	ANF	SS	21,100	78.96	n/a	4-Jun	844.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	10,000	45.50	n/a	4-Jun	400.00	4.0
REINHART & MAHONEY	031	ARP	B	18,130	29.99	n/a	n/a	906.50	5.0
OSS CAPITAL/KEVIN DANN	067	ASD	S	5,000	60.26	31-May	31-May	150.00	3.0
PILOT ADV	038	AVY	SS	10,000	65.04	n/a	24-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>BUCK</b>	<b>015</b>	<b>AYR</b>	<b>B</b>	<b>1,000</b>	<b>39.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAGNETAR FINANCIAL	247	BBY	SS	20,600	48.49	1-May	11-May	824.00	4.0
WHITE PINE CAPITAL	030	BDSB	B	375	10.19	n/a	n/a	18.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BEBE</b>	<b>S</b>	<b>143,000</b>	<b>17.10</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
DARUMA ASSET MGMT INC	034	BIG	S	31,300	31.10	9-Mar	4-Jun	1,565.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>BKRS</b>	<b>S</b>	<b>1,000</b>	<b>8.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KANTROWIT</b>	<b>N21</b>	<b>BKRS</b>	<b>S</b>	<b>200</b>	<b>8.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	BONT	B	100	49.45	25-May	4-Jun	5.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>BONT</b>	<b>B</b>	<b>80</b>	<b>49.01</b>	<b>25-May</b>	<b>4-Jun</b>	<b>0.00</b>	<b>0.0</b>
WEISS PECK	040	BOW	B	18,400	24.05	n/a	27-Apr	552.00	3.0
<b>GAULT</b>	<b>063</b>	<b>CBKFD</b>	<b>B</b>	<b>50</b>	<b>0.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CBK</b>	<b>B</b>	<b>40,000</b>	<b>18.75</b>	<b>30-Mar</b>	<b>4-Jun</b>	<b>1,600.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CHCG</b>	<b>B</b>	<b>10,345</b>	<b>6.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ADAGE CAPITAL MGMT	030	CHS	SS	60,000	26.17	31-May	4-Jun	2,400.00	4.0
GALLEON MGMT LP	033	CHS	B	50,000	26.06	31-May	4-Jun	2,500.00	5.0
<b>KANTROWIT</b>	<b>N21</b>	<b>CMG</b>	<b>B</b>	<b>25</b>	<b>84.65</b>	<b>24-Apr</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>B</b>	<b>10,000</b>	<b>49.06</b>	<b>23-Feb</b>	<b>25-Apr</b>	<b>400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PIEDRA CAPITAL	031	COH	S	55,185	49.20	23-Feb	25-Apr	2,759.25	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>COLM</b>	<b>SS</b>	<b>32,900</b>	<b>67.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
BEAR STEARNS ASSET MGMT.	033	COST	S	77,800	55.84	n/a	5-Jun	3,112.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>CRI</b>	<b>S</b>	<b>500</b>	<b>26.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC TRADING HOWARD	038	CWTR	S	20,000	24.68	n/a	n/a	1,000.00	5.0
THIRD COAST CAPITAL	035	CWTR	SS	35,000	24.41	n/a	n/a	1,750.00	5.0
WEISS PECK	040	DCNAQ	S	29,000	2.11	n/a	n/a	870.00	3.0
ADAGE CAPITAL MGMT	030	DDS	B	150,000	35.19	n/a	n/a	6,000.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>DFZ</b>	<b>B</b>	<b>300</b>	<b>11.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DKS</b>	<b>B</b>	<b>10,000</b>	<b>53.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DSW</b>	<b>B</b>	<b>10,000</b>	<b>36.48</b>	<b>7-May</b>	<b>5-Jun</b>	<b>400.00</b>	<b>4.0</b>
<b>BUCK</b>	<b>015</b>	<b>DUK</b>	<b>B</b>	<b>1,500</b>	<b>19.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DSG CAPITAL	225	EDA	B	800	12.05	n/a	n/a	40.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>EDA</b>	<b>B</b>	<b>2,000</b>	<b>11.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>ED</b>	<b>B</b>	<b>700</b>	<b>47.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>EFT</b>	<b>B</b>	<b>800</b>	<b>19.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL LYNCH STRATEGICI	034	EMN	B	20,000	65.45	n/a	n/a	1,200.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PZENA INV ADV	044	FIS	S	86,724	53.20	n/a	n/a	3,468.96	4.0
WHITE PINE CAPITAL	030	GGG	B	250	40.42	n/a	n/a	12.50	5.0
SANDLER O'NEILL ASSET	031	GS	SS	7,300	226.12	n/a	30-May	365.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HLYS</b>	<b>B</b>	<b>10,000</b>	<b>32.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
WHITE PINE CAPITAL	030	IBI	B	200	24.26	n/a	n/a	10.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>ICON</b>	<b>S</b>	<b>150</b>	<b>22.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	IFF	B	125	50.83	3-May	3-May	6.25	5.0
GRUSS CAPITAL	040	IWM	SS	10,000	83.67	n/a	n/a	400.00	4.0
SYSTEMATIC FINANCIAL MGT	035	JBX	B	10,000	74.84	24-Apr	24-Apr	375.00	3.8
<b>LISTED ERR</b>	<b>099</b>	<b>JCP</b>	<b>B</b>	<b>100</b>	<b>81.01</b>	<b>20-Apr</b>	<b>4-Jun</b>	<b>0.00</b>	<b>0.0</b>
SANDLER O'NEILL ASSET	031	JNS	B	25,000	27.48	7-Jun	23-May	1,250.00	5.0
<b>BUCK</b>	<b>015</b>	<b>JWNSJ</b>	<b>S</b>	<b>5</b>	<b>0.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>JWN</b>	<b>B</b>	<b>500</b>	<b>52.66</b>	<b>27-Feb</b>	<b>4-Jun</b>	<b>0.00</b>	<b>0.0</b>
WEISS PECK	040	KEGS	B	15,000	18.50	n/a	4-Jun	450.00	3.0
DELAWARE MANAGEMENT	243	KRC	B	39,100	75.39	n/a	n/a	1,955.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		KSS	B	120,000	74.87	n/a	5-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		KSS	B	200,000	75.03	n/a	5-Jun	non-brg	5.0
CASEY CAPITAL	067	KVA	S	5,000	27.68	n/a	n/a	250.00	5.0
US BANCORP	030	KVA	B	33,050	27.75	n/a	n/a	1,652.50	5.0
LEDDY	035	LEH	S	300	74.23	n/a	30-May	0.00	0.0
BARR	096	LEND	C	1,500	14.85	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	LTD	B	21,600	26.39	16-May	4-Jun	1,080.00	5.0
BUCK	015	LVS	S	800	79.83	n/a	n/a	0.00	0.0
OSS CAPITAL/KEVIN DANN	067	LXK	B	60,500	50.97	n/a	n/a	1,815.00	3.0
RIVER RUN MGMT	031	MCCC	B	30,000	9.85	4-Jun	5-Jun	1,200.00	4.0
TROUBH PART	067	MCCC	B	20,000	9.84	4-Jun	5-Jun	1,000.00	5.0
SUN AMERICA ASSET MGMT	030	MER	S	70,400	89.86	20-Apr	30-May	3,520.00	5.0
LEDDY	035	MER	S	192	90.78	20-Apr	30-May	0.00	0.0
Õ Glenn Redemann		MSA	B	2	0.62	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MSA	B	2	0.78	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	MU	S	44,000	12.18	n/a	n/a	2,200.00	5.0
ROBX	933	MWA	B	70,000	15.98	n/a	n/a	1,400.00	2.0
GALLEON MGMT LP	033	MWV	S	20,000	35.61	3-May	3-May	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	035	MZZ	B	1,200	50.25	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	NE	S	6,715	92.38	n/a	n/a	232.25	4.6
BUCK CAPITAL MGMT	001	NKE	SS	50,000	56.49	n/a	n/a	2,000.00	4.0
JP MORGAN VENTURES	046	NWY	B	10,000	11.53	25-May	25-May	500.00	5.0
MAGNETAR FINANCIAL	247	PEP	SS	14,900	67.11	n/a	n/a	596.00	4.0
HOOVER INV. MGMT	033	PFCB	S	55,500	37.31	24-Apr	24-Apr	2,775.00	5.0
COUGAR TRADING	044	PSUN	B	20,000	20.35	n/a	4-Jun	1,000.00	5.0
BUCK CAPITAL MGMT	001	PTT	B	10,000	9.13	n/a	n/a	400.00	4.0
BARR	096	QID	B	300	46.68	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	RDEN	B	50,000	23.03	4-May	4-May	2,000.00	4.0
ß BUCK CAPITAL MGMT		ROST	C	160,000	31.39	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		RS	B	5	0.29	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RS	B	5	0.54	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RS	SS	5	0.86	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RS	SS	5	1.47	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RVI	S	50,000	18.80	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		S4RETL	SS	65,000	451.82	n/a	n/a	non-brg	45.2

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUTERA	160	SCRX	B	1,000	25.17	27-Apr	5-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		SHOO	S	10,400	32.33	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SHOO	S	50,000	32.23	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		SHOO	S	50,000	32.43	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SHOO	S	50,000	32.44	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SHRP	C	120,000	13.38	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	31.95	n/a	13-Mar	400.00	4.0
MILLENIUM PARTNERS	028	SSCC	B	25,000	12.81	4-May	4-May	1,000.00	4.0
ß BUCK CAPITAL MGMT		STAR	S	10,000	14.00	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		STI	B	1	1.35	n/a	n/a	0.00	0.0
Õ Glenn Redemann		STI	B	1	1.45	n/a	n/a	0.00	0.0
BARR	096	SWIM	SS	1,500	11.87	n/a	n/a	0.00	0.0
SAILFISH	035	TJX	B	20,000	28.34	21-Mar	4-Jun	1,000.00	5.0
ß BUCK CAPITAL MGMT		TRLG	B	115,000	19.13	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		TRLG	B	45,000	19.15	n/a	n/a	non-brg	5.0
GALLEON MGMT LP	033	TS	B	5,000	47.97	n/a	n/a	250.00	5.0
US BANCORP	030	TWB	S	45,800	42.28	23-May	23-May	2,290.00	5.0
GALLEON MGMT LP	033	T	B	10,000	40.62	25-Apr	5-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	039	UAUA	S	200	35.93	n/a	n/a	0.00	0.0
ACT II PARTNERS	067	VG	B	22,800	3.01	9-Mar	5-Jun	912.00	4.0
Õ Glenn Redemann		WCG	??	7	0.11	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WCG	??	7	0.15	n/a	n/a	0.00	0.0
DSG CAPITAL	225	WCRX	B	10,000	17.68	n/a	5-Jun	500.00	5.0
JP MORGAN VENTURES	046	WINN	S	25,000	29.87	n/a	n/a	1,250.00	5.0
DEEPHAVEN	031	WMT	B	30,000	50.74	4-Jun	5-Jun	1,500.00	5.0
ZOLIDIS	011	WMT	B	300	50.78	4-Jun	5-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		WTSIA	B	20,000	6.02	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):								100,430.40	



### Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/05	DE SHAW	040	BKHM	B	100,000	2.13	n/a	n/a	3000.00 3.0
AO,CXL	06/05	DE SHAW	040	BKHM	B	100,000	2.13	n/a	n/a	3000.00 3.0
AO,CRT	06/05	SAVANNAH-BALTIMORE	012	EMC	B	483,000	16.99	n/a	n/a	19320.00 4.0
AO,CXL	06/05	SAVANNAH-BALTIMORE	012	EMC	B	483,000	16.99	n/a	n/a	24150.00 5.0
AO,CRT	06/05	BUCK CAPITAL MGMT	001	FL	S	49,900	21.96	24-May	24-May	1996.00 4.0
AO,CXL	06/05	BUCK CAPITAL MGMT	001	FL	S	50,000	21.96	24-May	24-May	2000.00 4.0
AO,	06/05	BUCK CAPITAL MGMT	001	FL	S	100	21.96	24-May	24-May	4.00 4.0
AO,	06/04	LISTED ERR	099	JCP	S	100	82.19	20-Apr	4-Jun	0.00 0.0
AO,CRT	06/05	MKT MAKER	099	MCCC	B	58	9.50	4-Jun	5-Jun	0.00 0.0
AO,CRT	06/05	MKT MAKER	099	PERY	S	21	31.21	n/a	n/a	0.00 0.0
AO,CRT	06/01	TRELLUS PARTNERS LP	028	PSYS	S	30,000	37.85	n/a	n/a	1500.00 5.0
AO,CXL	06/01	TRELLUS PARTNERS LP	028	PSYS	S	30,000	37.85	n/a	n/a	1200.00 4.0
AO,CRT	06/05	REINHART & MAHONEY	031	SUNW	B	2,300	5.02	n/a	n/a	115.00 5.0
AO,	06/05	REINHART & MAHONEY	031	SUNW	B	2,000	5.01	n/a	n/a	100.00 5.0
AO,CXL	06/05	REINHART & MAHONEY	031	SUNW	B	2,300	5.02	n/a	n/a	115.00 5.0
AO,	06/05	US BANCORP	030	TWB	S	62,905	42.89	23-May	23-May	3145.25 5.0
AO,CXL	06/05	IMPALA ASSET MMT	012	WRCN	B	125,000	40.36	n/a	n/a	3750.00 3.0
AO,	06/05	IMPALA ASSET MMT	012	YRCW	B	125,000	40.36	n/a	n/a	3750.00 3.0

TOTAL (AO, CXL, CRT):	-1,284.75
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TOTAL (Trade Date):	100,430.40
TOTAL (AO, CXL, CRT):	-1,284.75

TOTAL (RUN DATE) / NFS:	99,145.65
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MRI Securities with Trade Activity on 6-Jun. NONE
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MRI Securities without Trade Activity on 6-Jun. JCG, JCI
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 16% YTD = 17%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION