



Daily Compliance Activity Report

Trade Date: 12/27/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AN	B	20,000	28.05	n/a	29-Oct	600.00	3.0
BUCK CAPITAL MGMT	001	BIG	B	20,000	29.94	n/a	7-Dec	600.00	3.0
CHARTER OAK	033	BUD	B	10,000	57.49	n/a	15-Dec	500.00	5.0
ARMATURE CAPITAL	041	BWA	SS	20,000	72.39	n/a	27-Oct	800.00	4.0
EGS ASSOCIATES LP	091	BYI	B	4,100	42.55	n/a	23-Dec	246.00	6.0
STRONG/MARK GRONET	030	CMO	S	8,000	13.00	n/a	n/a	320.00	4.0
BAIRD INVESTMENT	047	CTSH	S	4,575	73.07	n/a	n/a	228.75	5.0
TRAFELET & COMP.	028	C	S	200,000	4.77	n/a	17-Dec	6,000.00	3.0
TYEE/TYGH	035	EXPE	S	100,000	25.58	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	FL	S	30,000	19.23	n/a	22-Dec	900.00	3.0
EGS ASSOCIATES LP	091	FL	B	5,000	19.39	n/a	22-Dec	300.00	6.0
ACK ASSET	028	FOE	S	86,801	14.99	n/a	n/a	2,604.03	3.0
BUCK CAPITAL MGMT	001	GES	B	30,000	47.74	n/a	24-Nov	900.00	3.0
LAGRANGE CAP ADV	048	GNTX	SS	10,000	29.11	n/a	25-Oct	500.00	5.0
KACELA CAPITAL	034	HD	B	15,000	35.37	n/a	13-Dec	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SANDLER CAPITAL	226	HRB	SS	190,000	11.75	n/a	n/a	11,400.00	6.0
PAULSON & CO INC	041	IP	B	300,000	27.05	n/a	15-Dec	12,000.00	4.0
MILLENNIUM-ANDEW	031	ITRI	S	11,500	54.72	n/a	n/a	575.00	5.0
BUCK CAPITAL MGMT	001	JNY	S	20,000	15.49	n/a	28-Oct	600.00	3.0
BUCK CAPITAL MGMT	001	LIZ	S	50,000	7.43	n/a	7-Oct	1,000.00	2.0
BUCK CAPITAL MGMT	001	LTD	S	10,000	31.02	n/a	n/a	300.00	3.0
TRAFELET & COMP.	028	MHR	B	150,000	7.19	n/a	n/a	4,500.00	3.0
BUCK CAPITAL MGMT	001	M	S	30,000	25.19	n/a	30-Nov	900.00	3.0
LAGRANGE CAP ADV	048	PAG	S	40,000	17.15	n/a	25-Oct	2,000.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	50,000	64.82	n/a	3-Dec	1,500.00	3.0
Õ Nilsa Vazquez		RGC	B	500	11.9400	n/a	n/a	0.00	0.0
MILLENNIUM-ANDEW	031	SHAW	S	4,606	34.61	n/a	n/a	230.30	5.0
BUCK CAPITAL MGMT	001	SIG	B	30,000	43.50	n/a	n/a	900.00	3.0
BUCK CAPITAL MGMT	001	TGT	B	50,000	60.12	n/a	2-Dec	1,500.00	3.0
SURSUM CAPITAL	215	TLB	S	50,000	8.58	n/a	n/a	1,500.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	TPX	B	30,000	39.93	n/a	n/a	900.00	3.0
KACELA CAPITAL	034	UNP	B	24,000	92.18	n/a	n/a	960.00	4.0
CHART WELL ADV	028	URBN	S	10,000	35.64	n/a	n/a	400.00	4.0
MANNING & NAPIER	034	V	B	278,830	70.40	n/a	21-Dec	8,364.90	3.0
THOMPSON SIEGAL&WALMSLEY	029	ZQK	B	3,800	5.15	n/a	10-May	76.00	2.0
TOTAL (Trade Date):								68,704.98	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRT	12/27	EGS ASSOCIATES LP (EGASBANC O)	091	BYI	B	4,100	42.55	n/a	23-Dec	246.00	6.0
AO,CXL	12/23	FARALLON CAP MGMT (FARABULK F)	040	CPN	SS	30,000	13.54	n/a	n/a	1200.00	4.0
AO,CRT	12/23	FARALLON CAP MGMT (FARABULK F)	040	CPN	SS	30,000	13.54	n/a	n/a	900.00	3.0
AO,	12/23	NOMURA CAPITAL (NOMUAYCB)	029	FDML	S	10,000	20.74	n/a	n/a	300.00	3.0
CRT	12/27	EGS ASSOCIATES LP (EGASBANC O)	091	FL	B	5,000	19.39	n/a	22-Dec	300.00	6.0
AO,	12/23	ARCHON CAPITAL (ARCCMORGAN)	035	GDOT	SS	10,000	50.60	n/a	n/a	300.00	3.0
AO,	12/23	NOMURA CAPITAL (NOMUGMCA)	029	LYB	S	8,650	32.94	n/a	n/a	259.50	3.0
AO,	12/23	NOMURA CAPITAL (NOMUSW7Y)	029	LYB	S	10,800	32.94	n/a	n/a	324.00	3.0
AO,	12/23	NOMURA CAPITAL (NOMUNOMIR)	029	LYB	S	1,300	32.94	n/a	n/a	39.00	3.0
AO,	12/23	NOMURA CAPITAL (NOMUL3)	029	LYB	S	1,575	32.94	n/a	n/a	47.25	3.0
AO,	12/23	NOMURA CAPITAL (NOMUEB6J)	029	LYB	S	4,725	32.94	n/a	n/a	141.75	3.0
AO,	12/23	NOMURA CAPITAL (NOMULASERS)	029	LYB	S	4,700	32.94	n/a	n/a	141.00	3.0
AO,	12/23	NOMURA CAPITAL (NOMUSPH)	029	LYB	S	1,800	32.94	n/a	n/a	54.00	3.0
AO,	12/23	NOMURA CAPITAL (NOMU7N1Q)	029	LYB	S	10,450	32.94	n/a	n/a	313.50	3.0
AO,	12/23	NOMURA CAPITAL (NOMU7N1R)	029	LYB	S	6,000	32.94	n/a	n/a	180.00	3.0
AO,	12/23	STRONG/MARK GRONET (SCMG9969)	030	NLY	S	25,000	17.79	n/a	n/a	1000.00	4.0
AO,	12/23	NOMURA CAPITAL (NOMU7N1Q)	029	QLTY	S	1,520	9.82	n/a	n/a	45.60	3.0
AO,	12/23	NOMURA CAPITAL (NOMU7N1R)	029	QLTY	S	1,000	9.82	n/a	n/a	30.00	3.0
AO,	12/23	CATAPULT (CATAML)	040	RCII	S	14,805	32.61	n/a	n/a	592.20	4.0
AO,	12/23	MANNING & NAPIER (MANNGR2074)	034	V	B	30	68.71	n/a	21-Dec	0.90	3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNGR2038)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD9638)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2131)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2039)	034	V	B	110	68.71	n/a	21-Dec	3.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2033)	034	V	B	80	68.71	n/a	21-Dec	2.40 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0070)	034	V	B	120	68.71	n/a	21-Dec	3.60 3.0
AO,	12/23	MANNING & NAPIER (MANNJS7016)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD4213)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ3865)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1286)	034	V	B	110	68.71	n/a	21-Dec	3.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1120)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4381)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0027)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD8157)	034	V	B	200	68.71	n/a	21-Dec	6.00 3.0
AO,	12/23	MANNING & NAPIER (MANNJS6090)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2280)	034	V	B	210	68.71	n/a	21-Dec	6.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ6281)	034	V	B	90	68.71	n/a	21-Dec	2.70 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ3831)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1285)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1656)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1452)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2069)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0019)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0623)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2282)	034	V	B	170	68.71	n/a	21-Dec	5.10 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2190)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1284)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ3141)	034	V	B	130	68.71	n/a	21-Dec	3.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1459)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0026)	034	V	B	110	68.71	n/a	21-Dec	3.30 3.0

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AO,	12/23	MANNING & NAPIER (MANNJS5080)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2283)	034	V	B	90	68.71	n/a	21-Dec	2.70 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4472)	034	V	B	1,010	68.71	n/a	21-Dec	30.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1282)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4552)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0611)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJS4013)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2285)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2794)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1587)	034	V	B	930	68.71	n/a	21-Dec	27.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0261)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4780)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2450)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0164)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2459)	034	V	B	120	68.71	n/a	21-Dec	3.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4815)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4658)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ9923)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4810)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2071)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ3045)	034	V	B	330	68.71	n/a	21-Dec	9.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4898)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJS1551)	034	V	B	100	68.71	n/a	21-Dec	3.00 3.0
AO,	12/23	MANNING & NAPIER (MANNJS1302)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNJS8016)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1273)	034	V	B	190	68.71	n/a	21-Dec	5.70 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0273)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6398)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1655)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ3834)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0

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AO,	12/23	MANNING & NAPIER (MANNGR4700)	034	V	B	120	68.71	n/a	21-Dec	3.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ1274)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD4212)	034	V	B	710	68.71	n/a	21-Dec	21.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ7171)	034	V	B	400	68.71	n/a	21-Dec	12.00 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4701)	034	V	B	330	68.71	n/a	21-Dec	9.90 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ9461)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0271)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNCD5797)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ8778)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0432)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ8235)	034	V	B	310	68.71	n/a	21-Dec	9.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4644)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6072)	034	V	B	220	68.71	n/a	21-Dec	6.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0046)	034	V	B	100	68.71	n/a	21-Dec	3.00 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2534)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR7515)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNJS7151)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ3057)	034	V	B	390	68.71	n/a	21-Dec	11.70 3.0
AO,	12/23	MANNING & NAPIER (MANNCD5801)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0232)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1226)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1759)	034	V	B	110	68.71	n/a	21-Dec	3.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1344)	034	V	B	220	68.71	n/a	21-Dec	6.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ5026)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2376)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ4043)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR7535)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ1596)	034	V	B	80	68.71	n/a	21-Dec	2.40 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2022)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1590)	034	V	B	120	68.71	n/a	21-Dec	3.60 3.0

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AO,	12/23	MANNING & NAPIER (MANNGR4951)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ5025)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2233)	034	V	B	150	68.71	n/a	21-Dec	4.50 3.0
AO,	12/23	MANNING & NAPIER (MANNGR9878)	034	V	B	130	68.71	n/a	21-Dec	3.90 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ5146)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNJS150)	034	V	B	230	68.71	n/a	21-Dec	6.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4710)	034	V	B	500	68.71	n/a	21-Dec	15.00 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ5576)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2298)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1797)	034	V	B	160	68.71	n/a	21-Dec	4.80 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ4041)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNJS5072)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0115)	034	V	B	1,490	68.71	n/a	21-Dec	44.70 3.0
AO,	12/23	MANNING & NAPIER (MANNMU2000)	034	V	B	12,430	68.71	n/a	21-Dec	372.90 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ5575)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1840)	034	V	B	130	68.71	n/a	21-Dec	3.90 3.0
AO,	12/23	MANNING & NAPIER (MANNOMNIDI)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1919)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1595)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ4040)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNJS0140)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ5013)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4897)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2302)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ1203)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ7512)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJS2065)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNJS5070)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ6268)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJS5088)	034	V	B	170	68.71	n/a	21-Dec	5.10 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNGR4286)	034	V	B	90	68.71	n/a	21-Dec	2.70 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2307)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0429)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ6124)	034	V	B	1,600	68.71	n/a	21-Dec	48.00 3.0
AO,	12/23	MANNING & NAPIER (MANNJS3354)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0145)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNeq9362)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ2066)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2292)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4389)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2935)	034	V	B	200	68.71	n/a	21-Dec	6.00 3.0
AO,	12/23	MANNING & NAPIER (MANNJS0040)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ4044)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2936)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNJS3240)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD3003)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2656)	034	V	B	440	68.71	n/a	21-Dec	13.20 3.0
AO,	12/23	MANNING & NAPIER (MANNCD6625)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6256)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0699)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR8301)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ4916)	034	V	B	110	68.71	n/a	21-Dec	3.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD6662)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNJS2581)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNJS0191)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1454)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2305)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4934)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1744)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNJS0121)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNJS2066)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ4915)	034	V	B	860	68.71	n/a	21-Dec	25.80 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ4048)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4888)	034	V	B	430	68.71	n/a	21-Dec	12.90 3.0
AO,	12/23	MANNING & NAPIER (MANNJS2064)	034	V	B	220	68.71	n/a	21-Dec	6.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1044)	034	V	B	58,040	68.71	n/a	21-Dec	1741.20 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4490)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR5580)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNJS2061)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD5198)	034	V	B	2,470	68.71	n/a	21-Dec	74.10 3.0
AO,	12/23	MANNING & NAPIER (MANNGR7965)	034	V	B	140	68.71	n/a	21-Dec	4.20 3.0
AO,	12/23	MANNING & NAPIER (MANNCD072)	034	V	B	120	68.71	n/a	21-Dec	3.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6647)	034	V	B	230	68.71	n/a	21-Dec	6.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1649)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR5293)	034	V	B	250	68.71	n/a	21-Dec	7.50 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ4037)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1947)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2326)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6284)	034	V	B	630	68.71	n/a	21-Dec	18.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4642)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4859)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0449)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2325)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0413)	034	V	B	110	68.71	n/a	21-Dec	3.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJS8913)	034	V	B	350	68.71	n/a	21-Dec	10.50 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1593)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ9732)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ5014)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ3051)	034	V	B	140	68.71	n/a	21-Dec	4.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR9050)	034	V	B	860	68.71	n/a	21-Dec	25.80 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNGR4393)	034	V	B	110	68.71	n/a	21-Dec	3.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4675)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ3405)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD6590)	034	V	B	340	68.71	n/a	21-Dec	10.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4383)	034	V	B	1,580	68.71	n/a	21-Dec	47.40 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2447)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD7094)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ3898)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4937)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ5016)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1450)	034	V	B	140	68.71	n/a	21-Dec	4.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4849)	034	V	B	140	68.71	n/a	21-Dec	4.20 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2657)	034	V	B	120	68.71	n/a	21-Dec	3.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMU8006)	034	V	B	1,550	68.71	n/a	21-Dec	46.50 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0801)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNGR0037)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR8000)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJS0009)	034	V	B	340	68.71	n/a	21-Dec	10.20 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0783)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNCD3103)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1964)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1464)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1118)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2119)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0339)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR0171)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR0058)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4514)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ5129)	034	V	B	140	68.71	n/a	21-Dec	4.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR5289)	034	V	B	2,010	68.71	n/a	21-Dec	60.30 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNCD7029)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNGR9241)	034	V	B	200	68.71	n/a	21-Dec	6.00 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2458)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4523)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNCD7106)	034	V	B	80	68.71	n/a	21-Dec	2.40 3.0
AO,	12/23	MANNING & NAPIER (MANNGR0151)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6206)	034	V	B	910	68.71	n/a	21-Dec	27.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD7027)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2103)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0316)	034	V	B	240	68.71	n/a	21-Dec	7.20 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1594)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR0095)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANN2417)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD5460)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR5375)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNCD3367)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2390)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ6145)	034	V	B	700	68.71	n/a	21-Dec	21.00 3.0
AO,	12/23	MANNING & NAPIER (MANNCD6089)	034	V	B	170	68.71	n/a	21-Dec	5.10 3.0
AO,	12/23	MANNING & NAPIER (MANNCD9641)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ4067)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR3320)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD5181)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1063)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6056)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2070)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1447)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1795)	034	V	B	210	68.71	n/a	21-Dec	6.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0003)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4253)	034	V	B	360	68.71	n/a	21-Dec	10.80 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNCD1358)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0276)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1313)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1381)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ5144)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4254)	034	V	B	220	68.71	n/a	21-Dec	6.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2306)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1260)	034	V	B	80	68.71	n/a	21-Dec	2.40 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0014)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD7028)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1009)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ7305)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4255)	034	V	B	160	68.71	n/a	21-Dec	4.80 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2793)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1528)	034	V	B	610	68.71	n/a	21-Dec	18.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0241)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ7511)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR5185)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4348)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1422)	034	V	B	80	68.71	n/a	21-Dec	2.40 3.0
AO,	12/23	MANNING & NAPIER (MANNCD4411)	034	V	B	120	68.71	n/a	21-Dec	3.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR5189)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1484)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0260)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR0014)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1419)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ8571)	034	V	B	130	68.71	n/a	21-Dec	3.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1481)	034	V	B	230	68.71	n/a	21-Dec	6.90 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ8640)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANN1325)	034	V	B	210	68.71	n/a	21-Dec	6.30 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNMJ5123)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0288)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ8570)	034	V	B	240	68.71	n/a	21-Dec	7.20 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ1018)	034	V	B	80	68.71	n/a	21-Dec	2.40 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0064)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1425)	034	V	B	190	68.71	n/a	21-Dec	5.70 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4343)	034	V	B	390	68.71	n/a	21-Dec	11.70 3.0
AO,	12/23	MANNING & NAPIER (MANNCD7192)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNJS1009)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNJS5014)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0632)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJ21011)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0199)	034	V	B	340	68.71	n/a	21-Dec	10.20 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0029)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ3760)	034	V	B	360	68.71	n/a	21-Dec	10.80 3.0
AO,	12/23	MANNING & NAPIER (MANNGR0028)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJS5015)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD5217)	034	V	B	110	68.71	n/a	21-Dec	3.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJS0367)	034	V	B	7,440	68.71	n/a	21-Dec	223.20 3.0
AO,	12/23	MANNING & NAPIER (MANNGR0035)	034	V	B	830	68.71	n/a	21-Dec	24.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4492)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ4170)	034	V	B	80	68.71	n/a	21-Dec	2.40 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2035)	034	V	B	100	68.71	n/a	21-Dec	3.00 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0258)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1010)	034	V	B	90	68.71	n/a	21-Dec	2.70 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1433)	034	V	B	150	68.71	n/a	21-Dec	4.50 3.0
AO,	12/23	MANNING & NAPIER (MANNJS1386)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0099)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1039)	034	V	B	200	68.71	n/a	21-Dec	6.00 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1845)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNMJ1944)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNJS1384)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ9459)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0102)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2446)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ1276)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0185)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR5001)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR3452)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD6453)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1307)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR9877)	034	V	B	450	68.71	n/a	21-Dec	13.50 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6165)	034	V	B	80	68.71	n/a	21-Dec	2.40 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1812)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0695)	034	V	B	90	68.71	n/a	21-Dec	2.70 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ1086)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD4410)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1102)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4420)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ1557)	034	V	B	90	68.71	n/a	21-Dec	2.70 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6230)	034	V	B	340	68.71	n/a	21-Dec	10.20 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ7866)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0363)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR1455)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ1144)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4858)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0103)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNCD3005)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4368)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ2296)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNMJ7590)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR6389)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0622)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNCD3004)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4367)	034	V	B	140	68.71	n/a	21-Dec	4.20 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0312)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNJS0075)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2185)	034	V	B	200	68.71	n/a	21-Dec	6.00 3.0
AO,	12/23	MANNING & NAPIER (MANNGR5354)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR3262)	034	V	B	130	68.71	n/a	21-Dec	3.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0311)	034	V	B	6,750	68.71	n/a	21-Dec	202.50 3.0
AO,	12/23	MANNING & NAPIER (MANNJS0077)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0377)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2123)	034	V	B	520	68.71	n/a	21-Dec	15.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2133)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2038)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD5182)	034	V	B	210	68.71	n/a	21-Dec	6.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR0513)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2007)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ0024)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2111)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ9460)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1328)	034	V	B	1,200	68.71	n/a	21-Dec	36.00 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ1259)	034	V	B	50	68.71	n/a	21-Dec	1.50 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ7595)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1311)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ8572)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2109)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNJS5037)	034	V	B	120	68.71	n/a	21-Dec	3.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1310)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/23	MANNING & NAPIER (MANNGR2086)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNEQ8326)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0061)	034	V	B	40	68.71	n/a	21-Dec	1.20 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ1272)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNGR4680)	034	V	B	600	68.71	n/a	21-Dec	18.00 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1121)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2075)	034	V	B	70	68.71	n/a	21-Dec	2.10 3.0
AO,	12/23	MANNING & NAPIER (MANNGR2043)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD8664)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNCD2445)	034	V	B	110	68.71	n/a	21-Dec	3.30 3.0
AO,	12/23	MANNING & NAPIER (MANNCD0059)	034	V	B	90	68.71	n/a	21-Dec	2.70 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ0246)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNMJ5520)	034	V	B	20	68.71	n/a	21-Dec	0.60 3.0
AO,	12/23	MANNING & NAPIER (MANNCD6096)	034	V	B	80	68.71	n/a	21-Dec	2.40 3.0
AO,	12/23	MANNING & NAPIER (MANNCD6495)	034	V	B	60	68.71	n/a	21-Dec	1.80 3.0
AO,	12/23	MANNING & NAPIER (MANNCD1084)	034	V	B	30	68.71	n/a	21-Dec	0.90 3.0
AO,	12/23	MANNING & NAPIER (MANNCD9033)	034	V	B	10	68.71	n/a	21-Dec	0.30 3.0

TOTAL (AO, CXL, CRT) : **7,913.80**

TOTAL (Trade Date): 68,704.98

TOTAL (AO, CXL, CRT): 7,913.80

TOTAL (RUN DATE) / NFS: **76,618.78**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION