



RR Commissions Summary [Brian Colburn]

Trade Date: 11/27/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	11/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
APEX CAPITAL MGMT.	033	0	0	11,004	53,546	0	0	0	0	11,004	53,546	0	0
ARCHON CAPITAL	033	0	0	7,362	12,507	0	0	1	0	7,362	12,508	0	0
ASCEND PARTNERS	033	0	0	25,702	88,847	0	0	0	0	25,702	88,847	73,854	120
CHARTER OAK	033	0	0	450	14,361	0	0	0	0	450	14,361	21,191	68
CLOVIS CAPITAL	033	0	0	6,250	8,750	0	0	0	0	6,250	8,750	17,250	51
COLUMBIA MGMT	033	0	0	0	0	0	7,410	34,939	0	7,410	34,939	0	0
CONIFER/FIRESTORM/BC	033	0	0	0	820	0	0	0	0	0	820	480	171
DAVIDSON INV ADV	033	0	0	1,272	22,546	0	0	0	0	1,272	22,546	0	0
FORWARD MGMT	033	0	0	9,302	12,002	0	0	0	0	9,302	12,002	5,467	220
FRIESS MGMT	033	0	0	0	18,603	0	0	0	0	0	18,603	16,612	112
GRUBER MACBAINE	033	0	0	1,600	1,600	0	0	0	0	1,600	1,600	0	0
HOLLIS CAPITAL	033	650	650	2,900	14,975	0	0	0	650	2,900	14,975	9,175	163
INTERVAL PARTNERS	033	0	0	22,428	35,506	0	0	0	0	22,428	35,506	4,665	761
LATEEF MGMT	033	96	96	5,894	27,230	0	0	0	96	5,894	27,230	0	0
MORTAR ROCK	033	0	0	0	0	0	0	0	0	0	0	4,500	0
MUTUAL SHARES/FRANKLYN	033	0	0	0	0	0	8,101	25,290	0	8,101	25,290	6,042	419
PARAGON INVESTMENT	033	0	0	0	0	0	0	12,001	0	0	12,001	0	0
PHILADELPHIA FINANCIAL	033	0	0	8,800	55,112	0	0	0	0	8,800	55,112	80,341	69
RAINIER INV MGMT	033	0	0	10,942	49,542	0	0	0	0	10,942	49,542	0	0
ROSENBERG CAPITAL MGMT	033	0	0	0	0	0	0	12,157	0	0	12,157	17,848	68
ROXBURY CAPITAL (HOOD RIVER CAP)	033	0	0	2,222	10,845	0	0	0	0	2,222	10,845	0	0
SASCO	033	0	0	8,400	28,510	0	0	0	0	8,400	28,510	23,090	123
SMEAD CAPITAL	033	0	0	0	0	0	2,000	6,000	0	2,000	6,000	0	0
SYMPHONY ASSET	033	0	0	13,134	34,242	0	0	0	0	13,134	34,242	23,863	143
T. ROWE PRICE	033	0	0	0	0	0	0	0	0	0	0	122,167	0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE	033	0	0	15,638	61,392	0	0	0	0	15,638	61,392	0	0
TYEE/TYGH	033	0	0	0	0	0	0	1	0	0	1	0	0
WAGONHOUND/NICOLAS	033	0	177	5,127	39,177	0	0	0	177	5,127	39,177	7,827	501
WASHINGTON CAPITAL MANAGEMENT	033	0	0	0	0	0	2,500	2,500	0	2,500	2,500	0	0
TOTALS:		746	923	158,429	590,113	0	20,011	92,889	923	178,440	683,002		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	11/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAPITAL RESEARCH GROUP	84	0	0	89,996	222,689	0	0	0	0	89,996	222,689	255,833	0
JMP ASSET MGMT	210	0	0	0	0	0	0	0	0	0	0	0	0

T. ROWE PRICE	243	3,500	3,500	41,464	148,437	0	0	0	3,500	41,464	148,437	122,167	0
WEXFORD CAPITAL	284	0	0	6,265	29,533	0	0	0	0	6,265	29,533	2,962	0
TOTALS:		3,500	3,500	137,726	400,659	0	0	0	3,500	137,726	400,659		

Report created by Brian Colburn on 12/03/2013 at 04:37:45pm from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 6.1; Trident/5.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E; Tablet PC 2.0)
