



# Daily Compliance Activity Report

Trade Date: 07/29/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THE JAY GOLDMAN	034	AEO	B	20,000	14.19	6-Mar	16-Jul	800.00	4.0
TRELLUS PARTNERS LP	028	ALV	B	30,000	37.98	23-Jul	23-Jul	1,500.00	5.0
GENERAL MOTORS INV CO	040	AME	S	6,000	48.47	n/a	n/a	240.00	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>APCKQ</b>	<b>B</b>	<b>100</b>	<b>0.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>ARGN</b>	<b>B</b>	<b>3,200</b>	<b>7.33</b>	<b>24-Jun</b>	<b>17-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>70,000</b>	<b>31.21</b>	<b>28-Apr</b>	<b>11-Jul</b>	<b>2,800.00</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	SS	5,000	31.30	28-Apr	11-Jul	250.00	5.0
JP MORGAN VENTURES	046	BJ	C	25,000	36.87	n/a	27-Jul	1,250.00	5.0
WHITE PINE CAPITAL	030	BON TON DEPT STORES	B	100,000	54.50	n/a	n/a	500.00	0.0
<b>WHEELER</b>	<b>008</b>	<b>BQI</b>	<b>B</b>	<b>5,000</b>	<b>4.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	BWA	SS	15,000	40.75	10-Jun	17-Jul	750.00	5.0
PILOT ROCK	028	BWA	SS	67,900	40.10	10-Jun	17-Jul	2,716.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>BWA</b>	<b>B</b>	<b>1,800</b>	<b>39.89</b>	<b>10-Jun</b>	<b>17-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>BWA</b>	<b>SS</b>	<b>1,800</b>	<b>40.10</b>	<b>10-Jun</b>	<b>17-Jul</b>	<b>0.00</b>	<b>0.0</b>
METROPOLITAN CAPITAL	044	BWS	S	35,500	15.18	n/a	n/a	1,775.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>CBIAG</b>	<b>S</b>	<b>40</b>	<b>4.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KAICHER	064	CBI	S	1,000	34.06	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	CEC	SS	100,000	35.52	n/a	n/a	4,000.00	4.0
S.A.C. CAPITAL MGMT.	044	CEPH	S	25,000	72.94	24-Jun	28-Jul	1,250.00	5.0
MS PRINCIPAL STRATEGIES	041	CLMS	SS	24,624	19.21	18-Jul	22-Jul	1,231.20	5.0
CATAPULT	040	CMP	SS	5,900	67.42	n/a	n/a	295.00	5.0
THOMAS WEISEL ASSET MGMT	035	COWN	B	4,580	8.21	n/a	n/a	137.40	3.0
NEW JERSEY DIV OF INV.	220	CPB	B	195,000	36.30	n/a	n/a	7,800.00	4.0
COUGAR TRADING	044	CPKI	B	5,000	13.85	10-Jul	10-Jul	250.00	5.0
BAIRD INVESTMENT	031	CRR	S	2,650	52.03	5-Jun	24-Jul	132.50	5.0
GENERAL MOTORS INV CO	040	CYMI	B	15,000	26.82	n/a	n/a	600.00	4.0
AVENUE CAPITAL MGMT	036	C	B	100,000	16.69	19-Jun	29-Jul	4,000.00	4.0
BALYASNY ASSET MGMT	044	C	B	150,000	17.34	19-Jun	29-Jul	7,500.00	5.0
NEW JERSEY DIV OF INV.	220	DHI	S	56,666	10.89	n/a	n/a	2,266.64	4.0
GENERAL MOTORS INV CO	040	ESV	B	4,000	69.30	n/a	n/a	160.00	4.0
THIRD COAST CAPITAL	035	ES	B	12,000	20.03	n/a	n/a	600.00	5.0
WAGONHOUND/NIC	048	EV	C	2,000	35.02	15-Jul	22-Jul	80.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GENERAL MOTORS INV CO	040	EXPD	B	12,000	35.71	n/a	n/a	480.00	4.0
TROUBH PART	067	FRE	B	50,000	8.43	n/a	n/a	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>20,000</b>	<b>32.88</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
LEVIN CAPITAL STRATEGIES	012	GES	B	15,000	32.64	n/a	n/a	600.00	4.0
PRINCIPLE CAPITAL	040	GE	SS	135,500	28.17	n/a	n/a	4,065.00	3.0
JP MORGAN VENTURES	046	GIL	B	10,000	25.47	30-Apr	18-Jul	500.00	5.0
WEISS PECK	040	GIL	B	9,100	24.82	30-Apr	18-Jul	455.00	5.0
<b>J COHEN</b>	<b>N27</b>	<b>GLW</b>	<b>B</b>	<b>50</b>	<b>21.30</b>	<b>n/a</b>	<b>28-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>PAKLER</b>	<b>029</b>	<b>GMTS</b>	<b>B</b>	<b>10</b>	<b>2.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	GNTX	SS	25,000	15.72	24-Jun	28-Jul	1,250.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>GNTX</b>	<b>SS</b>	<b>200</b>	<b>15.75</b>	<b>24-Jun</b>	<b>28-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>N STRATTON</b>	<b>014</b>	<b>GOV</b>	<b>B</b>	<b>198</b>	<b>6.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	GPI	SS	9,400	20.19	10-Jun	28-Jul	470.00	5.0
NOMURA CAPITAL	045	GPI	S	5,000	20.00	10-Jun	28-Jul	250.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	15,000	20.58	10-Jun	28-Jul	750.00	5.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>GTE</b>	<b>B</b>	<b>1,000</b>	<b>5.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	GYMB	S	5,000	37.42	11-Jul	11-Jul	250.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>HEMGF</b>	<b>B</b>	<b>300</b>	<b>3.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAIRD INVESTMENT	031	HSC	B	3,220	48.02	23-Apr	29-Jul	161.00	5.0
HEALY CIRCLE	044	HSC	B	4,359	46.53	23-Apr	29-Jul	217.95	5.0
BAIRD INVESTMENT	031	IO	S	8,500	15.65	n/a	n/a	425.00	5.0
WAGONHOUND/NIC	048	IVZ	B	1,174	22.37	24-Jul	24-Jul	46.96	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>60,000</b>	<b>30.42</b>	<b>n/a</b>	<b>30-May</b>	<b>2,400.00</b>	<b>4.0</b>
BEAR STEARNS ASSET MGMT.	033	JCP	B	30,000	30.45	n/a	11-Jul	1,200.00	4.0
SLS CAPITAL LLC	224	JNS	SS	186,000	30.64	25-Jul	25-Jul	7,440.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>B</b>	<b>100,000</b>	<b>14.88</b>	<b>n/a</b>	<b>25-Jul</b>	<b>4,000.00</b>	<b>4.0</b>
THE JAY GOLDMAN	034	JWN	B	30,000	28.94	10-Jul	11-Jul	1,200.00	4.0
<b>N STRATTON</b>	<b>014</b>	<b>KFT</b>	<b>B</b>	<b>198</b>	<b>31.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BEAR STEARNS ASSET MGMT.	033	KSS	B	100,000	41.40	n/a	17-Jul	4,000.00	4.0
COUGAR TRADING	044	KSS	S	10,000	41.76	n/a	17-Jul	500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>LEH</b>	<b>B</b>	<b>700</b>	<b>15.22</b>	<b>9-Jun</b>	<b>14-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>N STRATTON</b>	<b>014</b>	<b>INET</b>	<b>B</b>	<b>350</b>	<b>3.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WAGONHOUND/NIC	048	LPX	S	11,885	9.30	7-Apr	11-Jul	356.55	3.0
JP MORGAN VENTURES	046	LTD	C	40,000	16.62	n/a	23-Jul	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WEINTRAUB CAPITAL MGT.	040	LZ	B	25,000	45.64	n/a	n/a	1,000.00	4.0
HIGHBRIDGE CAPITAL	038	MCRS	SS	36,128	32.07	n/a	n/a	1,806.40	5.0
GENERAL MOTORS INV CO	040	MDY	S	6,000	144.61	n/a	n/a	240.00	4.0
FORD FDN.	032	MER	B	75,000	23.92	24-Jun	29-Jul	3,750.00	5.0
NATIONAL BK OF CAN	031	MER	B	15,000	22.62	24-Jun	29-Jul	600.00	4.0
NATIONAL BK OF CAN	031	MER	SS	15,000	24.49	24-Jun	29-Jul	600.00	4.0
TROUBH PART	067	MS	S	50,000	36.13	n/a	14-Jul	2,500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>MS</b>	<b>B</b>	<b>300</b>	<b>34.39</b>	<b>n/a</b>	<b>14-Jul</b>	<b>0.00</b>	<b>0.0</b>
SYSTEMATIC FINANCIAL MGT	035	M	S	515,800	18.03	14-Jul	14-Jul	19,342.50	3.8
THE JAY GOLDMAN	034	M	S	50,000	18.40	14-Jul	14-Jul	2,000.00	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>NBRLJ</b>	<b>B</b>	<b>73</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GENERAL MOTORS INV CO	040	NOV	B	4,000	75.27	n/a	n/a	160.00	4.0
NEUBERGER BERMAN	036	NRF	S	181,700	8.65	n/a	n/a	8,176.50	4.5
CENTURION GLOBAL MGMT LL	041	ORCL	B	1,200	21.47	n/a	n/a	36.00	3.0
<b>Joel Tiss</b>		<b>PFE</b>	<b>S</b>	<b>5397</b>	<b>18.4100</b>	<b>n/a</b>	<b>31-Mar</b>	<b>0.00</b>	<b>0.0</b>
SUFFOLK CAPITAL MGMT	040	PG	B	200,000	64.89	24-Jun	18-Jul	8,000.00	4.0
GENERAL MOTORS INV CO	040	POT	B	2,000	196.55	n/a	n/a	80.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CR INTRINIC INVESTMENT	044	PRX	S	43,413	17.36	n/a	28-Jul	2,170.65	5.0
<b>WHEELER</b>	<b>008</b>	<b>QXWKX</b>	<b>S</b>	<b>100</b>	<b>2.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE/MS OLDS	041	RIO	SS	25,000	28.39	n/a	n/a	1,000.00	4.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>SBLK</b>	<b>B</b>	<b>500</b>	<b>10.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CR INTRINIC INVESTMENT	044	SGP	B	110,000	19.81	n/a	n/a	5,500.00	5.0
CASEY CAPITAL	067	SINT	B	12,552	20.35	n/a	n/a	502.08	4.0
GEOSPHERE CAPITAL MGMT	044	SLB	S	100,000	95.99	n/a	n/a	3,000.00	3.0
BRENCOURT	028	SOA	SS	40,000	15.13	n/a	n/a	2,000.00	5.0
GLG PARTNERS	034	SPLS	SS	50,000	22.54	n/a	27-Jul	2,500.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>SPNC</b>	<b>B</b>	<b>3,000</b>	<b>9.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SINCLAIR</b>	<b>031</b>	<b>SWNLF</b>	<b>B</b>	<b>25</b>	<b>6.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	220	TAP	B	180,000	55.46	n/a	n/a	7,200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>B</b>	<b>100,000</b>	<b>33.97</b>	<b>n/a</b>	<b>11-Jul</b>	<b>4,000.00</b>	<b>4.0</b>
KENNEDY CAPITAL	046	TSFG	B	3,900	5.35	n/a	n/a	117.00	3.0
<b>DANIELS</b>	<b>N25</b>	<b>UQM</b>	<b>S</b>	<b>1,000</b>	<b>2.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRELLUS PARTNERS LP	028	VC	B	56,700	2.36	n/a	17-Jul	1,701.00	3.0
FRONTPOINT CHRIS C	041	VMI	SS	900	106.40	n/a	n/a	36.00	4.0
CR INTRINIC INVESTMENT	044	VRX	S	40,000	17.69	n/a	n/a	2,000.00	5.0
PHILADELPHIA FINANCIAL	033	WDR	S	39,752	33.52	15-Jul	29-Jul	1,590.08	4.0
FRONTPOINT CHRIS C	041	WMI	B	3,700	35.97	n/a	n/a	148.00	4.0
JP MORGAN VENTURES	046	WMT	B	15,000	56.46	30-Jun	11-Jul	750.00	5.0
BUCK CAPITAL MGMT	001	WRC	B	10,000	40.74	13-May	18-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	WSM	SS	50,000	17.86	n/a	n/a	2,000.00	4.0
CR INTRINIC INVESTMENT	044	WYE	S	104,000	44.77	n/a	31-Mar	5,200.00	5.0
OMEGA ADVISORS	041	XL	B	250,000	16.59	n/a	n/a	10,000.00	4.0
SYSTEMATIC FINANCIAL MGT	035	XOM	S	100,000	80.42	n/a	n/a	3,750.00	3.8
COUGAR TRADING	044	ZQK	B	40,000	7.66	n/a	9-Jul	1,600.00	4.0
<b>TOTAL (Trade Date):</b>								<b>184,657.41</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/28	TRELLUS PARTNERS LP (TRELMERR)	028	BWA	C	558	38.86	10-Jun	17-Jul	27.90 5.0
AO,	07/28	TRELLUS PARTNERS LP (TRELMERR)	028	BWA	C	560	38.86	10-Jun	17-Jul	28.00 5.0
AO,CXL	07/28	TRELLUS PARTNERS LP (TRELGS)	028	BWA	C	558	38.86	10-Jun	17-Jul	27.90 5.0
AO,	07/28	TRELLUS PARTNERS LP (TRELGS)	028	BWA	C	560	38.86	10-Jun	17-Jul	28.00 5.0
AO,CXL	07/28	TRELLUS PARTNERS LP (TRELMAIN)	028	BWA	C	8,884	38.86	10-Jun	17-Jul	444.20 5.0
AO,	07/28	TRELLUS PARTNERS LP (TRELMAIN)	028	BWA	C	8,880	38.86	10-Jun	17-Jul	444.00 5.0
AO,CXL	07/25	PROSPECTOR PARTNERS LLC (PROSOPPORT)	036	IVZ	B	200	22.07	24-Jul	24-Jul	8.00 4.0
AO,	07/25	PROSPECTOR PARTNERS LLC (PROSOPPORT)	036	IVZ	B	200	22.07	24-Jul	24-Jul	10.00 5.0
AO,CXL	07/25	PROSPECTOR PARTNERS LLC (PROSFARE)	036	IVZ	B	900	22.07	24-Jul	24-Jul	36.00 4.0
AO,	07/25	PROSPECTOR PARTNERS LLC (PROSFARE)	036	IVZ	B	900	22.07	24-Jul	24-Jul	45.00 5.0
AO,CXL	07/25	PROSPECTOR PARTNERS LLC (PROSDELOS)	036	IVZ	B	600	22.07	24-Jul	24-Jul	24.00 4.0
AO,	07/25	PROSPECTOR PARTNERS LLC (PROSDELOS)	036	IVZ	B	600	22.07	24-Jul	24-Jul	30.00 5.0
AO,CXL	07/25	PROSPECTOR PARTNERS LLC (PROSMORGAN)	036	IVZ	B	9,800	22.07	24-Jul	24-Jul	392.00 4.0
AO,CRT	07/25	PROSPECTOR PARTNERS LLC (PROSMORGAN)	036	IVZ	B	9,800	22.07	24-Jul	24-Jul	490.00 5.0
AO,CXL	07/25	PROSPECTOR PARTNERS LLC (PROSNGM)	036	IVZ	B	1,100	22.07	24-Jul	24-Jul	44.00 4.0
AO,	07/25	PROSPECTOR PARTNERS LLC (PROSNGM)	036	IVZ	B	1,100	22.07	24-Jul	24-Jul	55.00 5.0
AO,CXL	07/22	EMP ERROR (EMP ERROR)	099	JCG	B	400	30.47	n/a	30-May	0.00 0.0
AO,	07/22	EMP ERROR (EMP ERROR)	099	JCG	B	400	30.08	n/a	30-May	0.00 0.0
AO,CXL	07/22	LEDDY (LEDDY)	035	JCG	S	400	30.47	n/a	30-May	0.00 0.0
AO,	07/22	LEDDY (LEDDY)	035	JCG	S	400	30.08	n/a	30-May	0.00 0.0
CRT	07/29	BRENCOURT (BRENGS)	028	SOA	SS	40,000	15.13	n/a	n/a	2000.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>2,126.00</b>



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TOTAL (Trade Date):	184,657.41
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TOTAL (AO, CXL, CRT):	2,126.00
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>186,783.41</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION