



Daily Compliance Activity Report

Trade Date: 06/10/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	AA	B	24,000	43.00	n/a	n/a	1,200.00	5.0
COUGAR TRADING	044	ABG	SS	5,000	14.50	10-Jun	10-Jun	250.00	5.0
FIRST NY SEC/CHRIS	041	ADM	B	10,000	37.19	29-Apr	28-May	500.00	5.0
COUGAR TRADING	044	ANF	S	5,000	68.82	10-Apr	6-Jun	250.00	5.0
OGORMAN	046	ANN	SS	500	24.46	14-Mar	22-May	0.00	0.0
ROTHSCHILD ASSET	040	ANR	S	11,400	89.55	n/a	n/a	513.00	4.5
SINCLAIR	031	APAFY	B	10	3.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		APP	S	39,000	6.86	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		APP	S	44,135	6.44	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		ARO	S	200,000	32.53	28-Apr	6-Jun	non-brg	4.0
SUTERA	160	ASTI	B	1,000	10.40	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	ATHR	B	10,025	31.72	n/a	n/a	501.25	5.0
RAMIUS CAP	040	BCO	S	25,000	67.88	n/a	n/a	1,250.00	5.0
SANDLER O'NEILL ASSET	031	BEN	B	10,000	100.17	24-Mar	9-Jun	500.00	5.0
WILLOW CREEK	033	BGCP	SS	10,000	8.09	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRIVIUM CAP MGMT	251	BHE	C	35,000	17.24	n/a	n/a	1,400.00	4.0
KORMAN	003	BHQSZ	B	100	3.50	n/a	n/a	0.00	0.0
THIRD POINT MGMT	044	BIG	SS	200,000	30.56	29-May	5-Jun	8,000.00	4.0
GRUBER MACBAINE	033	BJ	B	40,000	39.81	n/a	27-Jul	1,600.00	4.0
COUGAR TRADING	044	BKC	B	5,000	28.55	1-May	2-May	250.00	5.0
HIGHBRIDGE CAPITAL	038	BNI	B	27,000	111.36	n/a	n/a	1,350.00	5.0
TRELLUS PARTNERS LP	028	BWA	SS	30,000	47.45	10-Jun	10-Jun	1,500.00	5.0
MFP INVESTORS	032	BYD	B	1,400	14.75	30-Apr	30-Apr	70.00	5.0
NEWLAND CAPITAL MGT	067	CAT	SS	10,000	80.35	n/a	23-May	400.00	4.0
SEMINOLE CAP	036	CAT	S	180,000	80.45	n/a	23-May	9,000.00	5.0
J L F ASSET MGMT	039	CBRL	B	9,700	29.07	n/a	n/a	388.00	4.0
KORMAN	003	CHCG	S	2,000	1.52	n/a	n/a	0.00	0.0
WHEELER	008	CHP	S	15,000	8.64	n/a	n/a	0.00	0.0
WILLOW CREEK	033	COF	SS	3,000	44.28	n/a	n/a	120.00	4.0
B BUCK CAPITAL MGMT		COST	SS	20,000	70.25	n/a	27-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		CPWM	C	19,600	3.44	n/a	n/a	non-brg	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	CSCO	B	25,000	26.26	n/a	22-Mar	1,250.00	5.0
NEWLAND CAPITAL MGT	067	DE	B	10,000	81.31	n/a	23-May	400.00	4.0
BARON ASSET MGMT*	012	DKS	B	17,500	22.64	n/a	23-May	875.00	5.0
BULL PATH CAPITAL	044	DPZ	S	25,000	13.09	n/a	24-Apr	1,250.00	5.0
GLG PARTNERS	034	DRI	S	25,000	32.19	n/a	15-Apr	1,250.00	5.0
WILLOW CREEK	033	EMKR	SS	5,000	7.14	n/a	n/a	200.00	4.0
CROWLEY	061	EPG	B	1,108	5.17	n/a	n/a	0.00	0.0
MILLER	025	EWZ	B	100	90.39	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GCO	B	15,000	26.36	n/a	10-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		GCO	B	20,000	26.56	n/a	10-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		GCO	B	85,000	26.35	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	S	90,000	42.95	n/a	n/a	non-brg	4.0
NOMURA CAPITAL	045	GETI	S	165	30.27	n/a	n/a	8.25	5.0
BUCK CAPITAL MGMT	001	GIL	B	20,000	27.90	30-Apr	28-May	800.00	4.0
COUGAR TRADING	044	GIL	S	15,000	27.89	30-Apr	28-May	750.00	5.0
NOMURA CAPITAL	045	GLBC	S	2,581	19.10	n/a	n/a	129.05	5.0
GOLD SACHS SPECIAL SIT	030	GNTX	B	150,000	15.90	7-Apr	9-Jun	7,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	GPI	SS	5,000	22.00	10-Jun	10-Jun	250.00	5.0
SCOPUS FUND	041	GPI	B	21,000	23.23	10-Jun	10-Jun	1,050.00	5.0
ADAGE CAPITAL MGMT	030	GPS	B	250,000	17.50	n/a	6-Jun	10,000.00	4.0
COUGAR TRADING	044	GPS	B	20,000	16.94	n/a	6-Jun	1,000.00	5.0
WILLOW CREEK	033	HIL	S	14,832	16.02	n/a	n/a	593.28	4.0
CANTILLON CAPITAL	040	HNZ	B	122,100	50.26	n/a	n/a	6,105.00	5.0
WILLOW CREEK	033	IVAC	SS	6,000	12.00	n/a	n/a	240.00	4.0
ARIENCE CAPITAL	036	IVZ	S	239,800	26.97	10-Apr	9-Jun	11,990.00	5.0
SANDLER O'NEILL ASSET	031	IVZ	B	25,000	26.75	10-Apr	9-Jun	1,250.00	5.0
B BUCK CAPITAL MGMT		JCG	B	45,000	34.48	n/a	30-May	non-brg	4.0
COUGAR TRADING	044	JCG	B	10,000	34.18	n/a	30-May	500.00	5.0
J L F ASSET MGMT	039	JCP	B	17,300	38.07	n/a	6-Jun	692.00	4.0
SIGMA CAPITAL ASSOCIATES	237	JDSU	B	30,000	12.05	n/a	n/a	1,500.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	10,000	28.88	n/a	n/a	non-brg	4.0
WILLOW CREEK	033	KMX	SS	5,000	18.20	n/a	10-Jan	200.00	4.0
B BUCK CAPITAL MGMT		KSS	B	2,091	43.91	n/a	6-Jun	non-brg	1.0
GLG PARTNERS	034	KSS	S	25,000	43.90	n/a	6-Jun	1,250.00	5.0
EPOCH INV MGMT	030	KVA	B	20,900	20.92	n/a	n/a	836.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		LAMR	S	101,000	36.57	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		LAMR	S	50,000	36.28	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		LEH	B	4,000	27.58	9-Jun	9-Jun	non-brg 4.0
BUCK CAPITAL MGMT		LEH	B	53,300	27.22	9-Jun	9-Jun	non-brg 4.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	16.10	n/a	14-May	400.00 4.0
BUCK CAPITAL MGMT		LL	B	30,000	13.16	n/a	n/a	non-brg 4.0
CAMBIAR CAP	046	LTD	B	22,000	17.85	n/a	6-Jun	880.00 4.0
BUCK CAPITAL MGMT		LTM	S	17,500	36.75	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		LTM	S	243,791	36.74	n/a	n/a	non-brg 4.0
BARR	096	MER	SS	400	37.94	18-Jan	22-May	0.00 0.0
J L F ASSET MGMT	039	MHK	B	10,000	72.30	n/a	n/a	400.00 4.0
JP MORGAN VENTURES	046	MNT	S	8,000	29.72	n/a	n/a	400.00 5.0
MAGUIRE	039	MSJZ	B	25	0.90	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		MS	B	4,000	39.54	n/a	6-Jun	non-brg 4.0
BUCK CAPITAL MGMT		MVRX	SS	80,000	140.54	n/a	n/a	non-brg 14.1
SINCLAIR	031	MWATB	B	40	1.20	n/a	n/a	0.00 0.0
WOOSTER CAPITAL	035	M	B	100,000	21.44	7-Feb	2-Jun	5,000.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SINCLAIR	031	NBRLI	B	10	4.40	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		NEXC	B	50,000	0.48	n/a	n/a	non-brg	1.0
SIGMA CAPITAL ASSOCIATES	237	NOK	B	25,000	26.04	n/a	n/a	1,250.00	5.0
PAW PARTNERS	041	NVDA	B	29,500	22.66	n/a	n/a	1,475.00	5.0
GREEN ARROW CAPITAL	034	NYB	SS	50,000	19.93	n/a	n/a	2,500.00	5.0
NORTHPOINT	030	OMG	S	106,900	40.10	n/a	n/a	4,276.00	4.0
COUGAR TRADING	044	PAG	SS	5,000	17.37	10-Jun	10-Jun	250.00	5.0
EGS ASSOCIATES LP	091	PAG	SS	4,500	17.90	10-Jun	10-Jun	270.00	6.0
HOLDEN CAPITAL MGMT	035	PAG	SS	30,000	17.71	10-Jun	10-Jun	1,500.00	5.0
SCOPUS FUND	041	PAG	B	34,000	17.80	10-Jun	10-Jun	1,700.00	5.0
KAICHER	064	PDE	S	1,000	44.87	n/a	n/a	0.00	0.0
WILLOW CREEK	033	PIR	SS	8,000	5.85	n/a	n/a	320.00	4.0
IRIDIAN ASSET MGMT.	036	PKG	B	67,660	24.25	7-Apr	22-Apr	3,383.00	5.0
SLS CAPITAL LLC	224	PKG	S	110,000	24.30	7-Apr	22-Apr	4,400.00	4.0
B BUCK CAPITAL MGMT		PSUN	B	20,000	9.91	n/a	6-Jun	non-brg	4.0
COUGAR TRADING	044	PSUN	B	2,200	9.66	n/a	6-Jun	110.00	5.0
PORTER-ORLIN-FELDMAN	044	QCOM	S	97,350	47.74	n/a	n/a	4,867.50	5.0
COUGAR TRADING	044	RDEN	B	10,000	15.01	10-Jun	10-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	RF	S	2,000	15.13	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	RL	B	15,000	66.83	7-Feb	29-May	600.00	4.0
OPPENHEIMER MGMT.	036	RL	S	89,680	66.64	7-Feb	29-May	3,587.20	4.0
B BUCK CAPITAL MGMT		RVI	S	182,900	4.73	n/a	n/a	non-brg	3.0
WILLOW CREEK	033	SEE	SS	5,000	22.31	n/a	n/a	200.00	4.0
JMP ASSET MGMT	210	SFLY	SS	4,000	14.61	n/a	n/a	200.00	5.0
N STRATTON	014	SHO	B	198	18.95	n/a	n/a	0.00	0.0
BARR	096	SKF	B	130	123.85	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SKX	S	108,420	21.21	n/a	13-Mar	non-brg	4.0
LAGRANGE CAP ADV	048	SKX	B	20,000	21.15	n/a	13-Mar	1,000.00	5.0
CASTLEROCK	040	SMN	B	236,200	28.03	n/a	n/a	9,448.00	4.0
B BUCK CAPITAL MGMT		SSCC	B	100,000	5.68	7-Apr	23-Apr	non-brg	4.0
BUCK	015	STI	C	1,000	46.16	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	TIF	SS	106,900	46.19	n/a	2-Jun	4,276.00	4.0
B BUCK CAPITAL MGMT		TIN	B	25,000	12.20	29-Apr	29-Apr	non-brg	4.0
ASCEND PARTNERS	033	TJX	B	99,200	32.05	n/a	6-Jun	4,960.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WILLOW CREEK	033	TLT	SS	3,500	89.90	n/a	n/a	140.00	4.0
SYSTEMATIC FINANCIAL MGT	035	TRV	B	125,000	48.13	n/a	n/a	4,687.50	3.8
STADIA CAPITAL	035	UA	B	25,000	34.10	n/a	n/a	1,000.00	4.0
CATAPULT	040	USB	B	5,000	31.20	n/a	n/a	250.00	5.0
WILLOW CREEK	033	USO	SS	3,000	107.10	n/a	n/a	120.00	4.0
MERRILL ASSET	032	VQ	S	21,600	22.19	n/a	n/a	972.00	4.5
FEDERATED INVESTOR	029	WBC	S	63,300	53.00	30-Apr	30-Apr	3,481.51	5.5
J L F ASSET MGMT	039	WHR	B	18,000	69.24	n/a	n/a	720.00	4.0
BARR	096	WM	SS	3,500	6.83	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	B	10,000	47.43	13-May	13-May	400.00	4.0
SCOPUS FUND	041	WY	B	5,000	56.05	7-Apr	27-May	250.00	5.0
CATTANI	041	XLFF	B	500	23.28	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		XRT	SS	8,000	31.69	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		ZQK	S	13,000	8.72	5-Feb	6-Jun	non-brg	1.0
B BUCK CAPITAL MGMT		ZQK	S	246,600	8.69	5-Feb	6-Jun	non-brg	1.0
B BUCK CAPITAL MGMT		ZQK	S	40,400	8.73	5-Feb	6-Jun	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		ZQK	S	477,500	8.67	5-Feb	6-Jun	non-brg 4.0
TOTAL (Trade Date):							151,484.54	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/09	ROTHSCHILD ASSET	040	ANR	S	42,330	89.22	n/a	n/a	1904.85 4.5
AO,	06/09	GARDNER LEWIS ASSET MGMT	000	CBG	S	1,000	20.49	n/a	n/a	50.00 5.0
AO,	06/09	GARDNER LEWIS ASSET MGMT	028	CBG	S	422,870	20.49	n/a	n/a	21143.50 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS	040	GBCI	B	10,000	19.38	n/a	n/a	500.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS	040	GBCI	B	10,000	19.38	n/a	n/a	500.00 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS	040	LNC	SS	10,000	54.05	n/a	n/a	500.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS	040	LNC	SS	10,000	54.05	n/a	n/a	500.00 5.0
AO,CRT	06/09	COOKBIELER	028	ORI	B	51,200	14.70	n/a	n/a	2048.00 4.0
AO,CXL	06/09	COOKBIELER	028	ORI	B	51,200	14.70	n/a	n/a	2048.00 4.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS	040	PFG	B	20,000	50.49	n/a	n/a	1000.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS	040	PFG	B	20,000	50.49	n/a	n/a	1000.00 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS	040	TAL	B	10,000	26.00	n/a	n/a	500.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS	040	TAL	B	10,000	26.00	n/a	n/a	500.00 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS	040	TROW	B	40,000	59.60	n/a	2-Jun	2000.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS	040	TROW	B	40,000	59.60	n/a	2-Jun	2000.00 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS	040	USB	B	75,000	32.42	n/a	n/a	3750.00 5.0
AO,CRT	06/06	MILLENIUUM PARTNERS	040	USB	B	75,000	32.42	n/a	n/a	3750.00 5.0
AO,CXL	06/06	DECADE CAPITAL PARTNERS	040	WFSL	SS	20,000	21.77	n/a	n/a	1000.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/06	MILLENIUM PARTNERS	040	WFSL	SS	20,000	21.77	n/a	n/a	1000.00 5.0
AO,CRT	06/09	WEINTRAUB CAPITAL MGT.	040	ZQK	B	75,000	9.04	5-Feb	6-Jun	2250.00 3.0
AO,CXL	06/09	WEINTRAUB CAPITAL MGT.	040	ZQK	B	75,000	9.04	5-Feb	6-Jun	3000.00 4.0
TOTAL (AO, CXL, CRT) :										22,348.35

TOTAL (Trade Date):	151,484.54
TOTAL (AO, CXL, CRT):	22,348.35

TOTAL (RUN DATE) / NFS:	173,832.89
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MRI Securities with Trade Activity on 10-Jun.
ABG, BWA, GPI, PAG, RDEN

MRI Securities without Trade Activity on 10-Jun.
AN

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 2%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION