



Daily Compliance Activity Report

Trade Date: 07/31/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	ABT	B	500	56.51	n/a	22-Apr	0.00	0.0
THIRD COAST CAPITAL	035	ACXM	SS	20,000	13.19	n/a	n/a	1,000.00	5.0
SINCLAIR	031	ADWJJ	S	5	1.30	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	AEO	B	25,000	14.08	6-Mar	16-Jul	1,250.00	5.0
REINHART & MAHONEY	031	AFSI	B	14,800	14.46	n/a	n/a	740.00	5.0
MKT MAKER	099	AFSI	B	50	14.46	n/a	n/a	2.50	5.0
BUCK CAPITAL MGMT	001	ANF	S	60,000	55.70	10-Jul	28-Jul	2,400.00	4.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	25,000	32.74	28-Apr	11-Jul	1,250.00	5.0
LITESPEED	028	AXL	B	125,000	5.92	n/a	n/a	6,250.00	5.0
BUCK	015	BACKH	B	10	1.40	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	BAC	S	20,000	33.50	n/a	29-Jul	1,000.00	5.0
RHO CAPITAL MGMT	041	BAC	S	500	33.11	n/a	29-Jul	20.00	4.0
BUCK	015	BAC	S	1,000	32.81	n/a	29-Jul	0.00	0.0
1798 CAPITAL MGMT	040	BARE	SS	5,000	11.94	n/a	n/a	250.00	5.0
ZOLIDIS	011	BKRS	B	794	2.00	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	BMV	S	250,000	21.22	n/a	n/a	12,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BMO NESBITT BURNS	041	BWA	B	25,000	41.07	10-Jun	17-Jul	1,250.00	5.0
METROPOLITAN CAPITAL	044	BWS	S	53,300	16.43	n/a	n/a	2,665.00	5.0
1798 CAPITAL MGMT	040	BYI	B	15,000	32.57	n/a	n/a	750.00	5.0
FRONTPOINT CHRIS C	041	CAT	SS	2,800	71.25	n/a	23-May	112.00	4.0
1798 CAPITAL MGMT	040	CBRL	SS	15,000	24.50	n/a	n/a	750.00	5.0
EFSTATHIOU	044	CHKHJ	B	10	2.80	n/a	n/a	0.00	0.0
SINCLAIR	031	CHKJJ	B	6	5.20	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	CLMS	SS	25,000	20.22	18-Jul	22-Jul	1,250.00	5.0
ENTRUST CAPITAL	040	COH	B	153,300	25.58	30-Jul	30-Jul	7,665.00	5.0
COUGAR TRADING	044	CPKI	B	10,000	12.46	10-Jul	10-Jul	500.00	5.0
RHO CAPITAL MGMT	041	CPST	SS	6,000	3.15	n/a	n/a	180.00	3.0
STERLING JOHNSTON	035	CRR	B	20,000	56.47	5-Jun	31-Jul	800.00	4.0
NEUBERGER BERMAN	036	CVS	B	200,000	37.61	n/a	n/a	9,000.00	4.5
WHITE PINE CAPITAL	030	C	B	9,250	18.90	19-Jun	31-Jul	462.50	5.0
PRYLUCKI	092	C	S	125	18.58	19-Jun	31-Jul	0.00	0.0
PRYLUCKI	092	DDM	B	75	60.63	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STADIA CAPITAL	035	DECK	B	10,000	113.50	n/a	n/a	400.00	4.0
REINHART & MAHONEY	031	EDU	S	13,050	70.94	n/a	n/a	652.50	5.0
CATTANI	041	EL	S	200	44.50	7-May	24-Jun	0.00	0.0
THIRD COAST CAPITAL	035	ES	B	11,000	21.00	n/a	n/a	550.00	5.0
BUCK CAPITAL MGMT	001	FL	B	130,000	15.08	2-Apr	9-Jul	5,200.00	4.0
DSG CAPITAL	225	FL	SS	10,000	14.75	2-Apr	9-Jul	500.00	5.0
BUCK	015	FOLD	S	1,500	15.62	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	10,000	31.57	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	GIL	S	25,000	25.41	30-Apr	18-Jul	1,000.00	4.0
MCCARTAN	028	GMTB	B	100	0.73	n/a	n/a	0.00	0.0
WAGONHOUND/NIC	048	GM	SS	29,735	11.40	24-Jun	31-Jul	1,189.40	4.0
TRELLUS PARTNERS LP	028	GPI	SS	20,000	19.90	10-Jun	30-Jul	1,000.00	5.0
LEDDY	035	GSI	S	765	15.52	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	GYMB	B	120,000	37.60	11-Jul	11-Jul	6,000.00	5.0
COUGAR TRADING	044	GYMB	B	10,000	37.06	11-Jul	11-Jul	500.00	5.0
COUGAR TRADING	044	GYMB	S	10,000	37.59	11-Jul	11-Jul	500.00	5.0
COLUMBUS CIRCLE	036	HES	S	47,000	102.72	n/a	n/a	1,880.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHEELER	008	HSTX	B	15,000	7.00	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	ICON	B	3,000	12.00	n/a	n/a	180.00	6.0
THIRD COAST CAPITAL	035	ICON	B	20,000	12.11	n/a	n/a	1,000.00	5.0
ADALY INV MGMT	067	IP	S	22,500	27.11	16-Jul	16-Jul	1,125.00	5.0
BMO NESBITT BURNS	041	IP	SS	100,000	26.90	16-Jul	16-Jul	5,000.00	5.0
CATAPULT	040	IP	S	42,362	27.04	16-Jul	16-Jul	2,118.10	5.0
SOLARIS INV MGMT	029	ITW	B	34,000	47.32	20-May	23-May	1,700.00	5.0
BUCK CAPITAL MGMT	001	JCG	S	60,000	28.62	n/a	30-May	2,400.00	4.0
JP MORGAN VENTURES	046	JCP	B	40,000	31.11	n/a	11-Jul	2,000.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	80,000	41.63	n/a	17-Jul	3,200.00	4.0
COUGAR TRADING	044	KSS	SS	5,000	41.50	n/a	17-Jul	250.00	5.0
JP MORGAN VENTURES	046	KSS	SS	15,000	42.10	n/a	17-Jul	750.00	5.0
ZOLIDIS	011	KSWs	SS	3,000	15.40	n/a	13-Mar	0.00	0.0
CR INTRINIC INVESTMENT	044	LLY	B	50,000	47.33	n/a	n/a	2,500.00	5.0
STADIA CAPITAL	035	LO	S	20,000	67.80	n/a	n/a	800.00	4.0
BRIGADE CAPITAL MGMT	029	MER	B	75,000	26.18	24-Jun	29-Jul	3,750.00	5.0
PRYLUCKI	092	MER	S	75	26.78	24-Jun	29-Jul	0.00	0.0
WHEELER	008	NLCAE	S	50	2.25	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	NNN	B	93,700	21.26	n/a	n/a	3,513.75	3.8
HIGHBRIDGE/MS OLDS	041	NUE	SS	10,501	58.91	n/a	n/a	420.04	4.0
EGS ASSOCIATES LP	091	PAG	SS	3,000	13.45	10-Jun	17-Jul	180.00	6.0
LEDDY	035	PCL	S	500	49.42	n/a	11-Jul	0.00	0.0
EGS ASSOCIATES LP	091	PNRA	B	4,000	50.43	n/a	23-Jul	240.00	6.0
RK CAPITAL MGMT LLC	045	POL	SS	30,000	7.59	n/a	n/a	1,200.00	4.0
RHO CAPITAL MGMT	041	PWAV	B	6,000	4.10	n/a	n/a	180.00	3.0
COUGAR TRADING	044	PZN	S	25,000	9.07	30-Jul	30-Jul	1,250.00	5.0
BALYASNY ASSET MGMT	044	ROST	B	25,000	38.90	n/a	n/a	1,250.00	5.0
MERRILL ASSET	032	RSH	B	87,600	16.70	n/a	n/a	3,942.00	4.5
HIGHBRIDGE/MS OLDS	041	RS	SS	50,000	63.42	n/a	n/a	2,000.00	4.0
BRENCOURT	028	SIRI	SS	200,000	1.60	n/a	n/a	2,000.00	1.0
BUCK CAPITAL MGMT	001	SKS	SS	40,000	10.23	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	18.98	n/a	13-Mar	400.00	4.0
PRYLUCKI	092	SSCC	S	525	5.74	30-Jul	30-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	SSO	B	5,000	61.30	n/a	n/a	250.00	5.0
TRELLUS PARTNERS LP	028	SUP	SS	10,000	17.22	25-Jul	28-Jul	500.00	5.0
REINHART & MAHONEY	031	SXE	S	31,000	31.71	n/a	n/a	1,550.00	5.0
1798 CAPITAL MGMT	040	TAP	S	10,000	53.98	n/a	n/a	500.00	5.0
1798 CAPITAL MGMT	040	TIF	B	15,000	38.18	n/a	2-Jun	750.00	5.0
BMO NESBITT BURNS	041	TIN	SS	20,000	15.98	29-Apr	30-Jul	1,000.00	5.0
MAGUIRE	039	TIN	S	600	16.29	29-Apr	30-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	TJX	B	20,000	34.39	n/a	11-Jul	800.00	4.0
JP MORGAN VENTURES	046	TJX	C	25,000	34.07	n/a	11-Jul	1,250.00	5.0
PRINCIPLE CAPITAL	040	TPX	S	129,100	9.61	n/a	n/a	2,582.00	2.0
BUCK	015	TRW	C	1,000	18.96	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	TWC	S	75,000	28.30	n/a	4-Jan	3,750.00	5.0
PILOT ADV	038	UFPI	B	10,000	27.07	n/a	n/a	400.00	4.0
VISIUM/BALY HOWARD	038	USTR	B	10,000	38.49	n/a	27-Jul	500.00	5.0
PRYLUCKI	092	UYG	B	275	21.87	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	VSEA	B	30,930	29.83	n/a	n/a	1,546.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLENIUM PARTNERS	028	VZ	SS	50,000	34.28	n/a	4-Jan	2,500.00	5.0
RHO CAPITAL MGMT	041	WB	S	900	17.64	n/a	n/a	36.00	4.0
CHART WELL ADV	028	WDR	S	172,676	33.21	15-Jul	29-Jul	6,907.04	4.0
LEDDY	035	WM	B	2,100	5.59	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	B	70,000	42.29	13-May	18-Jul	2,800.00	4.0
EGS ASSOCIATES LP	091	WRC	B	3,000	42.80	13-May	18-Jul	180.00	6.0
JPMSI/TOM LEDDY	035	WRC	B	15,000	42.02	13-May	18-Jul	750.00	5.0
WHEELER	008	WRLS	B	17,700	3.10	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	WWW	SS	15,000	26.84	n/a	13-Mar	600.00	4.0
1798 CAPITAL MGMT	040	YUM	B	5,000	36.16	17-Jul	22-Jul	250.00	5.0
TOTAL (Trade Date) :								146,169.33	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/30	COLUMBUS CIRCLE (COLC4400)	036	AVP	B	153,414	42.86	31-Jul	31-Jul	6136.56 4.0
AO,CRT	07/30	MORRISON/TLB/BAYPOINT (MORRCONTLB)	040	BEAV	B	2,000	25.35	n/a	n/a	100.00 5.0
AO,CXL	07/30	MORRISON/TLB/BAYPOINT (MORROLDAC)	040	BEAV	B	2,000	25.35	n/a	n/a	100.00 5.0
AO,CXL	07/30	MOORE CAPITAL (MOORMS)	036	EMC	SS	50,000	14.60	n/a	n/a	2000.00 4.0
AO,CRT	07/30	MOORE CAPITAL (MOORMS)	036	EMC	SS	50,000	14.60	n/a	n/a	1000.00 2.0
AO,CXL	07/30	MOORE CAPITAL (MOORMS)	036	ES	S	25,000	20.76	n/a	n/a	1000.00 4.0
AO,CRT	07/30	MOORE CAPITAL (MOORMS)	036	ES	S	25,000	20.76	n/a	n/a	500.00 2.0
AO,CXL	07/25	ADALY INV MGMT (ADALBMOADA)	067	IP	B	4,300	23.32	16-Jul	16-Jul	193.50 4.5
AO,CRT	07/25	ADALY INV MGMT (ADALOPPATU)	067	IP	B	4,300	23.32	16-Jul	16-Jul	193.50 4.5
AO,	07/30	SOLARIS INV MGMT (SOLA4)	029	ITW	B	900	47.53	20-May	23-May	45.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA800)	029	ITW	B	800	47.53	20-May	23-May	40.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA512)	029	ITW	B	16,600	47.53	20-May	23-May	830.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA799)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA524)	029	ITW	B	1,600	47.53	20-May	23-May	80.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA790)	029	ITW	B	1,600	47.53	20-May	23-May	80.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA495)	029	ITW	B	1,800	47.53	20-May	23-May	90.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA782)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA703)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA934)	029	ITW	B	1,500	47.53	20-May	23-May	75.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA935)	029	ITW	B	4,400	47.53	20-May	23-May	220.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA694)	029	ITW	B	700	47.53	20-May	23-May	35.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA525)	029	ITW	B	1,000	47.53	20-May	23-May	50.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/30	SOLARIS INV MGMT (SOLA533)	029	ITW	B	800	47.53	20-May	23-May	40.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA614)	029	ITW	B	2,000	47.53	20-May	23-May	100.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA78)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA429)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA391)	029	ITW	B	1,300	47.53	20-May	23-May	65.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA67)	029	ITW	B	1,300	47.53	20-May	23-May	65.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA49)	029	ITW	B	500	47.53	20-May	23-May	25.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA453)	029	ITW	B	1,600	47.53	20-May	23-May	80.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA1)	029	ITW	B	6,300	47.53	20-May	23-May	315.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA30)	029	ITW	B	100	47.53	20-May	23-May	5.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA1352)	029	ITW	B	1,200	47.53	20-May	23-May	60.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA48)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA1355)	029	ITW	B	600	47.53	20-May	23-May	30.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA37)	029	ITW	B	1,800	47.53	20-May	23-May	90.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA509)	029	ITW	B	700	47.53	20-May	23-May	35.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA26)	029	ITW	B	1,000	47.53	20-May	23-May	50.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA1248)	029	ITW	B	2,200	47.53	20-May	23-May	110.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA23)	029	ITW	B	300	47.53	20-May	23-May	15.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA1277)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA21)	029	ITW	B	500	47.53	20-May	23-May	25.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA1141)	029	ITW	B	600	47.53	20-May	23-May	30.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA19)	029	ITW	B	500	47.53	20-May	23-May	25.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA1138)	029	ITW	B	2,900	47.53	20-May	23-May	145.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA16)	029	ITW	B	3,100	47.53	20-May	23-May	155.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA15)	029	ITW	B	700	47.53	20-May	23-May	35.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA14)	029	ITW	B	2,100	47.53	20-May	23-May	105.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA443)	029	ITW	B	2,300	47.53	20-May	23-May	115.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA92)	029	ITW	B	200	47.53	20-May	23-May	10.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA970)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA10)	029	ITW	B	1,200	47.53	20-May	23-May	60.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/30	SOLARIS INV MGMT (SOLA872)	029	ITW	B	1,000	47.53	20-May	23-May	50.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA3)	029	ITW	B	3,100	47.53	20-May	23-May	155.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA848)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA6)	029	ITW	B	500	47.53	20-May	23-May	25.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA847)	029	ITW	B	400	47.53	20-May	23-May	20.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA2)	029	ITW	B	2,900	47.53	20-May	23-May	145.00 5.0
AO,	07/30	SOLARIS INV MGMT (SOLA829)	029	ITW	B	1,800	47.53	20-May	23-May	90.00 5.0
AO,CXL	07/30	SOROS (SOROGS004)	036	IWM	B	1,000,000	71.82	n/a	n/a	30000.00 3.0
AO,CRT	07/30	SOROS (SOROGS004)	036	IWM	B	1,000,000	71.82	n/a	n/a	30000.00 3.0
AO,CRT	07/30	WHEELER (WHEELER)	008	MMMAO	S	60	2.90	n/a	n/a	0.00 0.0
AO,CXL	07/30	WHEELER (WHEELER)	008	MMMAO	S	60	2.90	n/a	n/a	0.00 0.0
AO,CRT	07/30	MORRISON/TLB/BAYPOINT (MORRCONTLB)	040	WMT	B	4,000	58.38	30-Jun	11-Jul	200.00 5.0
AO,CXL	07/30	MORRISON/TLB/BAYPOINT (MORROLDAC)	040	WMT	B	4,000	58.38	30-Jun	11-Jul	200.00 5.0
TOTAL (AO, CXL, CRT) :										8,636.56

TOTAL (Trade Date) :	146,169.33
TOTAL (AO, CXL, CRT) :	8,636.56

TOTAL (RUN DATE) / NFS :	154,805.89
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION