



RR Commissions Summary [Ravi Sadarangani]

Trade Date: 07/23/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AAD CAPITAL	084	0	0	0	690	0	0	0	0	0	690	1,720	40
ALLIANZ GLOBAL INVESTOR	084	0	635	635	635	0	0	0	635	635	635	0	0
ALLIANZ/NICOLAS APPLGATE	084	0	0	0	4,993	0	0	0	0	0	4,993	11,816	42
BRANDES INVESTMENT	084	0	0	0	0	0	0	0	0	0	0	5,150	0
CAPITAL WORLD	084	0	6,149	6,149	127,792	0	0	0	6,149	6,149	127,792	35,823	357
COLUMBUS CAP MGMT LLC	084	0	2,000	2,000	5,000	0	0	0	2,000	2,000	5,000	28,587	17
CONIFER/GROW	084	0	0	0	1,720	0	0	0	0	0	1,720	1,700	101
EAM INVESTORS	084	0	0	0	500	0	0	0	0	0	500	0	0
EMPYREAN CAPITAL	084	0	0	0	0	0	0	0	0	0	0	74,293	0
FEINBERG INVESTMENTS	084	0	0	0	12,420	0	0	0	0	0	12,420	0	0
FOCUSED INVESTORS	084	0	5,416	5,416	19,232	0	0	0	5,416	5,416	19,232	0	0
FRANKLIN FUND OF CALIFOR	084	0	0	0	0	0	0	0	0	0	0	11,991	0
HAHN CAPITAL MANAGEMENT	084	0	0	0	1,408	0	0	0	0	0	1,408	23,087	6
HOTCHKIS & WILEY	084	13,295	13,295	13,295	49,470	0	0	0	13,295	13,295	49,470	0	0
IVORY CAPITAL	084	0	10,102	10,102	59,523	0	0	0	10,102	10,102	59,523	0	0
KAYNE ANDERSON ADV	084	0	0	0	14,208	0	0	0	0	0	14,208	15,042	94
LOMBARDIA CAP MGMT	084	0	0	0	6,730	0	0	0	0	0	6,730	0	0
NWQ INVESTMENT MGMT CO	084	0	343	343	32,244	0	0	0	343	343	32,244	73,354	44
OSTERWEIS CAPITAL	084	0	0	0	7,760	0	0	0	0	0	7,760	39,934	19
PARKWEST	084	0	2,096	2,096	29,481	0	0	0	2,096	2,096	29,481	29,845	99
PARNASSUS INVESTMENTS	084	0	0	0	0	0	0	0	0	0	0	5,000	0
PEAK INV/OAKMONT/CYPRESS	084	0	16,760	16,760	45,600	0	0	0	16,760	16,760	45,600	45,352	101
PIMCO	084	0	1,220	1,220	9,266	0	0	0	1,220	1,220	9,266	0	0
PRIMECAP MGMT	084	0	17,643	17,643	31,117	0	0	0	17,643	17,643	31,117	80,736	39
ROBERTSON STEVENS	084	0	2,200	2,200	12,957	0	0	23,500	2,200	2,200	36,457	88,814	41
STANDARD PACIFIC CAPITAL	084	0	0	0	136,491	0	0	0	0	0	136,491	486,608	28
TRUST CO L.A.	084	0	0	0	0	0	0	6,621	0	0	6,621	28,847	23
TRUST CO/COWEN/CALF.	084	0	819	819	819	0	0	0	819	819	819	0	0
WALL STREET CAPITAL	084	0	1,412	1,412	1,412	0	0	0	1,412	1,412	1,412	1,971	72
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	0	0	0	1,000	0	0	0	0	0	1,000	3,050	33
WEINTRAUB CAPITAL MGT.	084	0	0	0	0	0	0	0	0	0	0	64,723	0
TOTALS:		13,295	80,089	80,089	612,465	0	0	30,121	80,089	80,089	642,586		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
CAPITAL RESEARCH GROUP	84	0	475	475	118,813	0	0	0	475	475	118,813	255,833	0
TOTALS:		0	475	475	118,813	0	0	0	475	475	118,813		

