



## Daily Compliance Activity Report

Trade Date: 12/21/2007

### POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MAGUIRE</b>	<b>090</b>	<b>AAI</b>	<b>S</b>	<b>5,000</b>	<b>7.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	ABG	B	2,800	15.26	31-Oct	4-Dec	140.00	5.0
US BANCORP	030	ACN	B	75,000	37.57	n/a	n/a	3,750.00	5.0
CASTLEROCK	040	AIG	B	114,400	57.44	n/a	n/a	4,576.00	4.0
<b>MAGUIRE</b>	<b>090</b>	<b>AMR</b>	<b>S</b>	<b>3,000</b>	<b>15.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CHARTER OAK	033	AN	SS	100,000	15.43	n/a	25-Oct	5,000.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	S	29,100	27.33	29-Nov	7-Dec	1,091.25	3.8
<b>LUCHANSKY1</b>	<b>036</b>	<b>AXE</b>	<b>B</b>	<b>100</b>	<b>62.24</b>	<b>9-Oct</b>	<b>28-Nov</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	AZN	B	75,000	43.96	n/a	n/a	3,000.00	4.0
TROOB CAPITAL	067	BBI	S	50,000	3.78	n/a	n/a	1,500.00	3.0
MAZAMA CAPITAL MGMT.	035	BGS	S	43,400	10.08	n/a	n/a	1,736.00	4.0
BENCHMARK	028	BHP	B	5,000	70.05	n/a	n/a	250.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>BSC</b>	<b>S</b>	<b>1,500</b>	<b>89.20</b>	<b>21-Dec</b>	<b>21-Dec</b>	<b>0.00</b>	<b>0.0</b>
SATELLITE ASSET MGMT	012	BYI	S	5,000	50.21	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRIVIUM CAP MGMT	251	CAVM	S	20,000	24.21	n/a	n/a	800.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>B</b>	<b>50,000</b>	<b>19.89</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
SATELLITE ASSET MGMT	012	CRI	B	5,000	19.72	n/a	n/a	200.00	4.0
SATELLITE ASSET MGMT	012	CROX	B	2,500	40.86	n/a	n/a	100.00	4.0
FRIESS MGMT	042	CYH	B	145,900	36.66	10-Oct	3-Dec	6,565.50	4.5
IRIDIAN ASSET MGMT.	036	DD	B	217,760	44.99	n/a	4-Dec	10,888.00	5.0
<b>GAULT</b>	<b>063</b>	<b>DURA OPER CORP</b>	<b>S</b>	<b>100,000</b>	<b>0.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>OGORMAN</b>	<b>046</b>	<b>DXD</b>	<b>S</b>	<b>500</b>	<b>48.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>EFT</b>	<b>S</b>	<b>1,400</b>	<b>15.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
STRAUSS ASSET MGMT	040	EHTH	S	7,500	32.01	n/a	n/a	375.00	5.0
TRIVIUM CAP MGMT	251	EL	SS	35,000	44.81	25-Oct	17-Dec	1,400.00	4.0
TOCQUEVILLE ASSET MGMT	048	EPAX	B	6,900	17.75	n/a	n/a	414.00	6.0
<b>MKT MAKER</b>	<b>099</b>	<b>EPAX</b>	<b>S</b>	<b>28</b>	<b>17.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
S.A.C. CAPITAL MGMT.	044	EV	SS	25,000	47.00	20-Nov	29-Nov	1,250.00	5.0
DSG CAPITAL	225	FDO	SS	7,500	19.19	7-Dec	7-Dec	375.00	5.0
GALLEON MGMT LP	033	FRZ	S	20,000	24.90	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	FSP	S	14,795	15.43	n/a	n/a	739.75	5.0
SOROS	036	GES	S	124,000	42.01	n/a	n/a	4,960.00	4.0
NATIONAL BK OF CAN	031	GS	S	5,000	207.84	21-Sep	19-Dec	200.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>HCGI</b>	<b>B</b>	<b>13</b>	<b>0.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	HK	B	60,900	17.52	n/a	n/a	2,740.50	4.5
COLUMBUS CIRCLE	036	HXL	B	66,370	25.00	n/a	n/a	2,654.80	4.0
TRIVIAM CAP MGMT	251	IAR	SS	25,000	17.72	n/a	n/a	1,000.00	4.0
IRIDIAN ASSET MGMT.	036	IBM	B	7,190	110.56	n/a	n/a	359.50	5.0
ARIENCE CAPITAL	036	IVZ	B	90,000	29.83	20-Dec	20-Dec	4,500.00	5.0
UBS OCONNOR	031	IVZ	S	175,000	30.74	20-Dec	20-Dec	5,250.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JAH</b>	<b>B</b>	<b>10,000</b>	<b>24.62</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>JBLU</b>	<b>S</b>	<b>5,000</b>	<b>6.31</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>10,000</b>	<b>50.38</b>	<b>28-Nov</b>	<b>30-Nov</b>	<b>400.00</b>	<b>4.0</b>
MATRIX ASSET ADV.	036	JPM	B	15,000	43.55	19-Oct	13-Dec	600.00	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>KEGCV</b>	<b>S</b>	<b>25</b>	<b>2.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IRIDIAN ASSET MGMT.	036	KMB	B	25,270	69.94	n/a	n/a	1,263.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MS PRINCIPAL STRATEGIES	041	KND	SS	15,000	25.24	n/a	n/a	750.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>KOG</b>	<b>S</b>	<b>5,000</b>	<b>1.71</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>KOG</b>	<b>S</b>	<b>2,300</b>	<b>1.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SATELLITE ASSET MGMT	012	KO	S	5,000	63.01	n/a	n/a	200.00	4.0
NATIONAL BK OF CAN	031	LEH	B	10,000	63.77	n/a	19-Dec	400.00	4.0
UBS OCONNOR	031	LEH	SS	60,000	63.45	n/a	19-Dec	1,800.00	3.0
<b>SINCLAIR</b>	<b>031</b>	<b>LESAM</b>	<b>S</b>	<b>20</b>	<b>2.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HEAR	031	LUV	S	250,000	12.80	n/a	n/a	12,500.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>LUV</b>	<b>S</b>	<b>5,000</b>	<b>12.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>MCO</b>	<b>SS</b>	<b>1,700</b>	<b>37.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>MENT</b>	<b>S</b>	<b>3,300</b>	<b>11.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CASTLEROCK	040	MER	B	217,400	55.75	16-Nov	13-Dec	8,696.00	4.0
NATIONAL BK OF CAN	031	MER	B	20,000	55.30	16-Nov	13-Dec	800.00	4.0
DSG CAPITAL	225	MLI	B	5,000	29.16	9-Oct	23-Oct	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>S</b>	<b>30,000</b>	<b>26.55</b>	<b>9-Nov</b>	<b>7-Dec</b>	<b>1,200.00</b>	<b>4.0</b>
COUGAR TRADING	044	M	B	10,000	26.66	9-Nov	7-Dec	500.00	5.0
FIRST NY SEC/CHRIS	041	M	B	10,000	26.33	9-Nov	7-Dec	600.00	6.0
IRIDIAN ASSET MGMT.	036	M	B	70,500	26.20	9-Nov	7-Dec	3,525.00	5.0
SOROS	036	M	S	467,000	26.36	9-Nov	7-Dec	18,680.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STRATTON	N21	NETM	B	1,000	6.20	n/a	n/a	0.00	0.0
BARR	096	NG	S	1,500	7.93	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	NITE	S	75,000	14.07	n/a	n/a	3,750.00	5.0
TRELLUS PARTNERS LP	028	NLC	B	15,000	22.72	n/a	n/a	750.00	5.0
IRIDIAN ASSET MGMT.	036	NOC	B	9,890	80.36	n/a	n/a	494.50	5.0
CASTLE ARK	031	NOK	B	222,190	38.78	n/a	n/a	13,331.40	6.0
CAPITAL GROWTH MGMT.	241	NOV	S	275,000	72.71	n/a	n/a	11,000.00	4.0
BUCK CAPITAL MGMT	001	OXM	SS	10,000	26.24	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	PAG	S	40,000	18.26	n/a	12-Dec	1,600.00	4.0
CATAPULT	040	PBCT	S	25,000	16.86	n/a	n/a	1,250.00	5.0
OTC ERROR	099	PBCT	B	13,100	16.86	n/a	n/a	0.00	0.0
OTC ERROR	099	PBCT	S	13,100	16.86	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	PCL	B	10,000	46.99	n/a	23-Oct	500.00	5.0
Õ Manny Korman		PNF	B	7400	12.3000	n/a	n/a	0.00	0.0
Õ Manny Korman		PNI	B	19000	13.4700	n/a	n/a	0.00	0.0
KNOTT PARTNERS	044	PRX	S	100,000	22.09	28-Sep	21-Dec	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>KORMAN</b>	<b>003</b>	<b>QJPAN</b>	<b>S</b>	<b>90</b>	<b>9.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>QJPLN</b>	<b>B</b>	<b>90</b>	<b>5.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
STG INVESTORS	041	QQQQ	SS	50,000	51.81	n/a	n/a	2,500.00	5.0
SATELLITE ASSET MGMT	012	RCL	S	5,000	43.25	23-Oct	23-Oct	200.00	4.0
SUFFOLK CAPITAL MGMT	040	ROH	S	61,000	54.79	n/a	10-Dec	2,440.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RVI</b>	<b>B</b>	<b>50,000</b>	<b>4.95</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
GALLEON MGMT LP	033	SAY	S	15,000	27.20	n/a	n/a	750.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>SDS</b>	<b>S</b>	<b>500</b>	<b>52.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>SFBLP</b>	<b>S</b>	<b>100</b>	<b>1.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TROOB CAPITAL	067	SIX	B	50,000	2.38	n/a	n/a	1,500.00	3.0
ROYCE TRADING	216	SKS	S	10,000	21.45	n/a	n/a	500.00	5.0
STADIA CAPITAL	035	SKS	B	100,000	21.43	n/a	n/a	4,000.00	4.0
SATELLITE ASSET MGMT	012	SMG	SS	400	38.98	1-Nov	13-Dec	16.00	4.0
<b>BARR</b>	<b>096</b>	<b>SYNP</b>	<b>B</b>	<b>1,900</b>	<b>5.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GEORGE WEISS	034	TIN	B	25,000	30.70	11-Dec	11-Dec	1,000.00	4.0
HARVEST MGMT	039	TJX	B	95,400	29.30	21-Sep	7-Dec	4,770.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	TK	B	32,120	54.40	n/a	n/a	1,606.00	5.0
IRIDIAN ASSET MGMT.	036	TNK	B	87,750	21.28	n/a	n/a	4,387.50	5.0
MATRIX ASSET ADV.	036	TSM	B	15,000	9.75	n/a	n/a	450.00	3.0
COUGAR TRADING	044	TWB	B	10,000	25.93	19-Nov	19-Nov	500.00	5.0
U.S.TRUST COMPANY	012	TYC	B	110,000	40.67	9-Nov	15-Nov	4,400.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>VOIC</b>	<b>S</b>	<b>1,500</b>	<b>0.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET	032	VQ	S	14,100	19.18	n/a	n/a	634.50	4.5
THE BOSTON COMPANY	401	WAG	B	42,800	38.82	n/a	n/a	2,140.00	5.0
DSG CAPITAL	225	WBC	B	3,000	49.57	n/a	12-Dec	150.00	5.0
GALLEON MGMT LP	033	WMT	S	10,000	48.39	20-Nov	21-Dec	500.00	5.0
SENTINAL CAPITAL	067	XLF	S	25,500	29.03	n/a	n/a	1,275.00	5.0
COUGAR TRADING	044	ZQK	B	5,000	9.06	n/a	18-Dec	250.00	5.0
<b>TOTAL (Trade Date):</b>								<b>195,624.70</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/20	PERRY PARTNERS (PERRGOLDMA)	041		B	81,000	33.50	n/a	n/a	2430.00 3.0
AO,	12/20	LISTED ERR (LISTED ERR)	099	BGS	B	180	10.07	n/a	n/a	0.00 0.0
AO,CXL	12/19	DSG CAPITAL (DSGCBANK O)	225	CPNLQ	B	50,000	0.30	n/a	29-Jun	2500.00 5.0
AO,CRT	12/19	DSG CAPITAL (DSGCBANK O)	225	CPNLQ	B	50,000	0.30	n/a	29-Jun	750.00 1.5
AO,CRT	12/20	BUCK CAPITAL MGMT (BUCK)	015	GAADQ	S	8	2.15	n/a	n/a	0.00 0.0
AO,CXL	12/20	BUCK CAPITAL MGMT (BUCK)	015	GAADQ	S	8	2.15	n/a	n/a	0.00 0.0
AO,	12/20	GARDNER LEWIS ASSET MGMT (GLAMFUND)	028	HS	S	59,750	18.56	n/a	n/a	2987.50 5.0
AO,CXL	12/20	PALMYRA CAPITAL (PALMFNYSGS)	048	HZO	SS	32,484	16.26	n/a	n/a	974.52 3.0
AO,	12/20	PALMYRA CAPITAL (PALMFNYSGS)	048	HZO	SS	616	16.26	n/a	n/a	18.48 3.0
AO,CXL	12/20	PALMYRA CAPITAL (PALMGSCO)	048	HZO	SS	616	16.26	n/a	n/a	18.48 3.0
AO,	12/20	PALMYRA CAPITAL (PALMGSCO)	048	HZO	SS	32,484	16.26	n/a	n/a	974.52 3.0
AO,CXL	12/14	REICH AND TANG (RICHTAUBEN)	067	KCI	B	3,300	51.39	n/a	n/a	165.00 5.0
AO,	12/14	REICH AND TANG (RICHTAUBEN)	067	KCI	B	2,600	51.39	n/a	n/a	130.00 5.0
AO,	12/14	REICH AND TANG (RICHMIN2)	067	KCI	B	700	51.39	n/a	n/a	35.00 5.0
CRT	12/21	FIRST NY SEC/CHRIS (FNYCHRIS)	041	M	B	10,000	26.33	9-Nov	7-Dec	600.00 6.0
CRT	12/21	OTC ERROR (OTC ERROR)	099	PBCT	S	13,100	16.86	n/a	n/a	0.00 0.0
AO,CRT	12/20	BUCK CAPITAL MGMT (BUCK)	015	USBCZ	S	20	1.35	n/a	n/a	0.00 0.0
AO,CXL	12/20	BUCK CAPITAL MGMT (BUCK)	015	USBCZ	S	20	1.35	n/a	n/a	0.00 0.0
AO,	12/20	GARDNER LEWIS ASSET MGMT (GLAMFUND)	028	WWE	S	43,900	14.64	n/a	n/a	2195.00 5.0
TOTAL (AO, CXL, CRT) :										6,462.50



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TOTAL (Trade Date):	195,624.70
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TOTAL (AO, CXL, CRT):	6,462.50
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>202,087.20</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION