



# Daily Compliance Activity Report

Trade Date: 04/10/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AEO	S	20,000	16.81	6-Mar	7-Apr	non-brg	4.0
BUCK CAPITAL MGMT		AEO	S	30,000	16.25	6-Mar	7-Apr	non-brg	1.0
BULL PATH CAPITAL	044	AEO	S	44,600	16.73	6-Mar	7-Apr	2,230.00	5.0
COUGAR TRADING	044	AEO	B	10,000	16.30	6-Mar	7-Apr	500.00	5.0
COUGAR TRADING	044	AEO	S	10,000	16.50	6-Mar	7-Apr	500.00	5.0
RHO CAPITAL MGMT	041	AIG	B	500	45.84	n/a	n/a	20.00	4.0
IMPALA ASSET MMT	012	AMR	B	219,396	9.83	n/a	n/a	2,193.96	1.0
BUCK CAPITAL MGMT	001	ANF	B	50,000	72.95	10-Apr	10-Apr	2,000.00	4.0
BUCK CAPITAL MGMT		ANF	B	25,000	73.42	10-Apr	10-Apr	non-brg	1.0
BUCK CAPITAL MGMT		ANF	B	25,000	73.96	10-Apr	10-Apr	non-brg	4.0
COUGAR TRADING	044	ANF	SS	10,000	73.85	10-Apr	10-Apr	500.00	5.0
JPMSI/TOM LEDDY	035	APC	S	5,000	64.96	20-Mar	1-Apr	250.00	5.0
LEDDY	035	ARGN	B	640	13.33	n/a	8-Apr	0.00	0.0
COUGAR TRADING	044	ARO	S	30,000	27.57	29-Nov	7-Apr	1,500.00	5.0
BUCK CAPITAL MGMT		AXE	B	5,000	63.04	28-Mar	4-Apr	non-brg	4.0
BUCK CAPITAL MGMT		BBT	SS	100,000	32.51	n/a	n/a	non-brg	4.0
PRINCIPLE CAPITAL	040	BC	SS	104,300	16.25	n/a	n/a	3,129.00	3.0
PORTER-ORLIN-FELDMAN	044	BIG	S	50,000	21.56	6-Mar	8-Apr	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BYI	B	25,000	33.37	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BYI	B	30,000	33.54	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BYI	B	45,000	33.27	n/a	n/a	non-brg	2.0
J L F ASSET MGMT	039	CAKE	B	42,600	21.45	n/a	24-Apr	1,704.00	4.0
WEISS PECK	040	CHS	B	220,650	6.38	11-Apr	7-Apr	8,826.00	4.0
ZOLIDIS	011	CMGB	B	400	100.59	n/a	n/a	0.00	0.0
ZOLIDIS	011	CMG	S	400	113.34	n/a	24-Apr	0.00	0.0
BARR	096	COF	SS	1,000	49.59	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		COH	B	10,000	30.61	4-Apr	4-Apr	non-brg	4.0
MILLENIU PARTNERS	028	COH	S	20,000	31.21	4-Apr	4-Apr	1,000.00	5.0
BUCK	015	COPQO	S	5	1.76	n/a	n/a	0.00	0.0
BUCK	015	COP	S	500	78.50	n/a	n/a	0.00	0.0
DSG CAPITAL	225	CPN	S	6,967	18.50	n/a	n/a	348.35	5.0
MERRILL ASSET	032	CRR	B	10,800	42.87	13-Feb	1-Apr	540.00	5.0
TROUBH PART	067	CSX	B	15,000	55.74	n/a	n/a	750.00	5.0
ß BUCK CAPITAL MGMT		DAI+QO	SS	500	1.45	n/a	n/a	non-brg	300.0
IMPALA ASSET MMT	012	DAL	B	200,000	9.71	n/a	n/a	2,000.00	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROTHSCHILD ASSET	040	DD	B	39,320	49.62	n/a	10-Apr	1,769.40	4.5
⌘ BUCK CAPITAL MGMT		DSGI LN	C	200,000	1.16	n/a	n/a	non-brg	0.2
⌘ BUCK CAPITAL MGMT		DSW	S	170,000	12.34	28-Mar	28-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DSW	S	20,000	12.28	28-Mar	28-Mar	non-brg	4.0
BUCK	015	DVNQT	B	5	2.10	n/a	n/a	0.00	0.0
NORTHPOINT	030	EMKR	B	308,195	7.40	n/a	n/a	9,245.85	3.0
DENVER INST. ADV	046	ENDP	B	264,600	26.27	17-Dec	10-Apr	10,584.00	4.0
IMPALA ASSET MMT	012	EOG	B	147,375	129.13	n/a	n/a	4,421.25	3.0
SUNOVA CAPITAL	031	FII	SS	25,000	35.23	7-Apr	10-Apr	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		FLDR	C	50,000	6.65	n/a	n/a	non-brg	4.0
BARR	096	FNM	SS	1,000	26.78	n/a	n/a	0.00	0.0
DSG CAPITAL	225	FOR	B	626	24.00	n/a	n/a	31.30	5.0
⌘ BUCK CAPITAL MGMT		FOSL	B	50,000	32.59	13-Nov	9-Apr	non-brg	4.0
BEAR STEARNS ASSET MGMT.	033	FWLT	C	9,400	60.75	n/a	n/a	376.00	4.0
⌘ BUCK CAPITAL MGMT		GES+FJ	SS	1,000	1.35	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		GES	B	10,000	39.95	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>LEDDY</b>	<b>035</b>	<b>GM</b>	<b>SS</b>	<b>1,200</b>	<b>20.37</b>	<b>3-Jan</b>	<b>8-Apr</b>	<b>0.00</b>	<b>0.0</b>
RAMIUS CAP	040	GNTX	SS	100,000	16.87	7-Apr	7-Apr	5,000.00	5.0
COUGAR TRADING	044	GPS	B	428	18.30	n/a	7-Apr	21.40	5.0
COUGAR TRADING	044	GPS	SS	15,000	18.74	n/a	7-Apr	750.00	5.0
IRIDIAN ASSET MGMT.	036	GRP	S	31,930	54.18	n/a	n/a	1,596.50	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GS</b>	<b>B</b>	<b>6,500</b>	<b>170.89</b>	<b>19-Mar</b>	<b>19-Mar</b>	<b>non-brg</b>	<b>4.0</b>
TROUBH PART	067	HAL	SS	12,500	42.81	n/a	n/a	625.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HOTT</b>	<b>B</b>	<b>20,000</b>	<b>4.89</b>	<b>n/a</b>	<b>7-Apr</b>	<b>non-brg</b>	<b>3.0</b>
<b>BARR</b>	<b>096</b>	<b>IOM</b>	<b>S</b>	<b>14,820</b>	<b>3.81</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COMMISSUM CAPITAL	067	IVZ	S	10,000	23.03	10-Apr	10-Apr	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>10,000</b>	<b>43.29</b>	<b>28-Nov</b>	<b>12-Mar</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	JCG	S	10,000	43.59	28-Nov	12-Mar	500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>JJG</b>	<b>B</b>	<b>1,000</b>	<b>64.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>JOSB</b>	<b>S</b>	<b>600</b>	<b>26.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	JWN	B	5,000	34.00	31-Mar	7-Apr	250.00	5.0
COUGAR TRADING	044	JWN	S	5,000	34.47	31-Mar	7-Apr	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>C</b>	<b>50,000</b>	<b>42.76</b>	<b>4-Jan</b>	<b>7-Apr</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	KSS	B	5,000	41.38	4-Jan	7-Apr	250.00	5.0
<b>BARR</b>	<b>096</b>	<b>LAVA</b>	<b>SS</b>	<b>1,500</b>	<b>9.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LEH</b>	<b>B</b>	<b>28,700</b>	<b>38.91</b>	<b>1-Apr</b>	<b>1-Apr</b>	<b>non-brg</b>	<b>4.0</b>
BRANDWINE	028	LIZ	S	25,000	17.93	n/a	17-Mar	1,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>SS</b>	<b>10,000</b>	<b>18.06</b>	<b>n/a</b>	<b>17-Mar</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LTD</b>	<b>S</b>	<b>130,000</b>	<b>17.68</b>	<b>n/a</b>	<b>7-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LTD</b>	<b>S</b>	<b>150,000</b>	<b>17.20</b>	<b>n/a</b>	<b>7-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LTD</b>	<b>S</b>	<b>50,000</b>	<b>17.38</b>	<b>n/a</b>	<b>7-Apr</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	LTD	B	10,000	17.23	n/a	7-Apr	500.00	5.0
J L F ASSET MGMT	039	LTD	S	100,000	17.43	n/a	7-Apr	4,000.00	4.0
SIGMA CAPITAL ASSOCIATES	237	LTD	B	50,000	17.32	n/a	7-Apr	2,500.00	5.0
CHARTER OAK	033	LTM	S	76,851	31.04	n/a	n/a	3,842.55	5.0
RHO CAPITAL MGMT	041	MS	B	600	45.00	20-Dec	20-Mar	24.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>150,000</b>	<b>143.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>14.3</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MW</b>	<b>SS</b>	<b>10,000</b>	<b>24.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	NCX	SS	15,000	24.90	n/a	n/a	750.00	5.0
ROTHSCHILD ASSET	040	NOC	S	8,170	77.90	n/a	n/a	367.65	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>NTRI</b>	<b>S</b>	<b>100,000</b>	<b>19.18</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
WAGONHOUND	048	OCN	B	15,475	4.15	n/a	n/a	464.25	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MATRIX ASSET ADV.	036	ODP	B	10,000	11.06	n/a	27-Jul	300.00	3.0
BALYASNY ASSET MGMT	044	PCP	B	10,000	111.76	n/a	n/a	500.00	5.0
ELM RIDGE ADVISORS	044	PKG	B	37,603	21.27	7-Apr	7-Apr	1,504.12	4.0
⚡ BUCK CAPITAL MGMT		PLCE	S	20,000	21.19	24-Mar	10-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PSUN	SS	20,000	13.01	n/a	7-Apr	non-brg	4.0
COUGAR TRADING	044	PSUN	SS	15,000	12.88	n/a	7-Apr	750.00	5.0
CAXTON PART	040	QCOM	B	215,613	42.65	n/a	n/a	7,546.47	3.5
HART	056	QZSEX	S	5	4.20	n/a	n/a	0.00	0.0
FIRST NEW YORK NICK	029	Q	S	5,000	4.66	n/a	n/a	250.00	5.0
GALLEON MGMT LP	033	RAD	C	30,000	2.59	n/a	n/a	1,500.00	5.0
BENCHMARK	028	RIMM	B	2,500	120.68	n/a	n/a	125.00	5.0
COUGAR TRADING	044	RL	SS	5,000	60.22	7-Feb	15-Feb	250.00	5.0
EGS ASSOCIATES LP	091	RL	SS	3,000	60.42	7-Feb	15-Feb	180.00	6.0
⚡ BUCK CAPITAL MGMT		RTH	C	46,700	92.69	n/a	n/a	non-brg	2.0
KNOTT PARTNERS	044	RVI	S	150,000	4.26	n/a	n/a	3,000.00	2.0
⚡ BUCK CAPITAL MGMT		SGMS	S	30,000	26.74	n/a	n/a	non-brg	2.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⌘	BUCK CAPITAL MGMT		SGMS	S	50,000	26.99	n/a	n/a	non-brg 4.0
⌘	BUCK CAPITAL MGMT		SKX+ED	SS	1,000	0.90	n/a	n/a	non-brg 100.0
	TROUBH PART	067	SLB	SS	12,500	91.07	n/a	n/a	625.00 5.0
	TROUBH PART	067	SPY	S	25,000	136.00	n/a	n/a	1,250.00 5.0
	IMPALA ASSET MMT	012	SU	B	200,000	107.42	n/a	n/a	6,000.00 3.0
	CASTLE ARK	031	SWN	S	132,420	36.68	20-Mar	3-Apr	7,945.20 6.0
	<b>BARR</b>	<b>096</b>	<b>SYNP</b>	<b>S</b>	<b>2,268</b>	<b>7.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
	HIGHBRIDGE CAPITAL	038	TIN	B	200,000	13.32	19-Mar	7-Apr	10,000.00 5.0
	ASCEND PARTNERS	033	TJX	C	50,000	31.05	n/a	7-Apr	2,500.00 5.0
	<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>B</b>	<b>220,000</b>	<b>30.83</b>	<b>n/a</b>	<b>7-Apr</b>	<b>8,800.00 4.0</b>
⌘	<b>BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>B</b>	<b>100,000</b>	<b>31.51</b>	<b>n/a</b>	<b>7-Apr</b>	<b>non-brg 4.0</b>
	WAGONHOUND	048	TJX	B	3,680	31.28	n/a	7-Apr	147.20 4.0
	WEINTRAUB CAPITAL MGT.	040	TJX	B	200,000	30.94	n/a	7-Apr	8,000.00 4.0
	PERRY PARTNERS	041	TTWO	B	10,965	25.25	n/a	n/a	328.95 3.0
	COUGAR TRADING	044	TWB	B	10,000	18.92	17-Mar	10-Apr	500.00 5.0
	COUGAR TRADING	044	TWB	S	10,000	18.98	17-Mar	10-Apr	500.00 5.0
	PROSPECTOR PARTNERS LLC	036	UFS	B	50,000	6.68	n/a	n/a	2,000.00 4.0
⌘	<b>BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>30,000</b>	<b>30.70</b>	<b>3-Apr</b>	<b>9-Apr</b>	<b>non-brg 4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	VAL	B	37,370	21.96	n/a	n/a	1,868.50	5.0
SYSTEMATIC FINANCIAL MGT	035	VFC	B	23,825	76.81	n/a	n/a	893.44	3.8
<b>B BUCK CAPITAL MGMT</b>		<b>VIRL</b>	<b>S</b>	<b>5,862</b>	<b>5.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
BALYASNY ASSET MGMT	044	WG	S	20,000	34.59	n/a	n/a	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WSM</b>	<b>SS</b>	<b>20,000</b>	<b>25.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MATRIX ASSET ADV.	036	WYE	S	2,000	45.75	n/a	31-Mar	80.00	4.0
SOUTH POINT CAP	067	XLF	B	50,000	25.81	n/a	n/a	2,500.00	5.0
DSG CAPITAL	225	XRT	SS	5,000	32.07	n/a	n/a	250.00	5.0
<b>BARR</b>	<b>096</b>	<b>ZILG</b>	<b>B</b>	<b>5,800</b>	<b>3.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ZJQ+GX</b>	<b>SS</b>	<b>1,000</b>	<b>1.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>TOTAL (Trade Date) :</b>								<b>153,254.34</b>	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	04/09	LEVIN CAPITAL STRATEGIES	012	ALV	B	20,000	50.77	n/a	7-Apr	800.00	4.0
AO,CXL	04/07	MAGUIRE	090	ANR	S	2,500	50.00	n/a	n/a	0.00	0.0
AO,CRT	04/07	MAGUIRE	090	ANR	S	2,500	50.00	n/a	n/a	0.00	0.0
AO,CRT	04/09	AMATURO	018	CHCG	B	5,000	1.83	n/a	n/a	0.00	0.0
AO,CXL	04/09	AMATURO	018	CHCG	B	5,000	1.83	n/a	n/a	0.00	0.0
AO,	04/09	RHO CAPITAL MGMT	041	MS	B	400	46.01	20-Dec	20-Mar	16.00	4.0
AO,	04/09	COMMISSUM CAPITAL	067	SWIM	S	10,700	11.14	n/a	n/a	535.00	5.0
AO,CXL	04/08	CARDINAL CAPITAL	044	WLL	S	400	68.53	n/a	n/a	20.00	5.0
AO,CRT	04/08	CARDINAL CAPITAL	044	WLL	S	400	68.53	n/a	n/a	20.00	5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>1,351.00</b>	

TOTAL (Trade Date):	153,254.34
TOTAL (AO, CXL, CRT):	1,351.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>154,605.34</b>
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MRI Securities with Trade Activity on 10-Apr.

ANF, IVZ

MRI Securities without Trade Activity on 10-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 12%

YTD = 22%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION