



# Daily Compliance Activity Report

Trade Date: 02/10/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f BARBARA WYCKOFF SIRI	FID	AAPL	S	200	101.17	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AAPL	S	30,000	100.53	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		AAP	C	20,400	34.01	n/a	n/a	non-brg	4.0
SAXON WOODS ADVISORS	038	ABT	S	70,200	56.67	n/a	22-Apr	2,808.00	4.0
CAPITAL GROWTH MGMT.	241	ABX	B	150,000	38.62	n/a	n/a	7,500.00	5.0
BUCK CAPITAL MGMT	001	ALV	SS	30,000	20.05	30-Jan	4-Feb	1,200.00	4.0
f BARBARA WYCKOFF SIRI	FID	ANF	S	300	20.95	n/a	6-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	21.58	n/a	6-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	AN	SS	50,000	10.98	30-Jan	30-Jan	2,000.00	4.0
f ANDREW SINCLAIR	FID	APCEI	B	200	2.23	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ARO	S	50,000	22.85	n/a	6-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		AVP+PW[???	SS	1,000	0.60	n/a	n/a	non-brg	100.0
FRIESS MGMT	033	AZO	S	19,800	142.28	n/a	n/a	792.00	4.0
LISTED ERR	099	AZO	B	66	141.00	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	BACPRU	B	500	11.73	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	BAC	B	100,000	6.36	16-Jan	10-Feb	4,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		BAC	B	270,000	6.37	16-Jan	10-Feb	non-brg	3.0
⚡ BUCK CAPITAL MGMT		BBBY	C	22,000	22.88	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	BIG	B	50,000	15.20	5-Dec	5-Feb	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		BKC	SS	30,000	19.50	6-Feb	6-Feb	non-brg	4.0
HIGHBRIDGE/MS OLDS	041	BNI	B	8,000	71.00	n/a	n/a	400.00	5.0
⚡ BUCK CAPITAL MGMT		BOBE	C	30,000	19.64	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	BWA	SS	30,000	20.08	27-Jan	27-Jan	1,200.00	4.0
MILLRACE FUND LP	028	BWA	SS	5,000	20.45	27-Jan	27-Jan	200.00	4.0
TRELLUS PARTNERS LP	028	BWA	SS	11,500	19.84	27-Jan	27-Jan	575.00	5.0
⚡ BUCK CAPITAL MGMT		CAKE	C	20,000	9.36	n/a	10-Feb	non-brg	3.0
BALYASNY ASSET MGMT	044	CAL	B	10,000	13.73	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	CAT	S	10,000	32.47	22-Oct	27-Jan	500.00	5.0
⚡ BUCK CAPITAL MGMT		CBRL	C	20,000	18.81	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CCL	SS	40,000	21.09	n/a	26-Jan	non-brg	4.0
MOORE CAPITAL	036	CENX	S	7,781	4.48	n/a	n/a	311.24	4.0
f MANNY I KORMAN	FID	COFNC	B	30	2.71	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		COLM	C	11,302	29.73	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	COPOI	S	10	2.21	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	COP	S	1000	47.45	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	COST	SS	22,300	44.16	n/a	27-Jul	1,115.00	5.0
B BUCK CAPITAL MGMT		CPKI	C	30,000	11.08	30-Oct	10-Feb	non-brg	4.0
f KEVIN MICHAEL O'GORM	FID	CPRE	B	500	9.99	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CRI	C	10,000	16.05	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		CRI	C	20,000	16.72	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	C	B	100,000	3.79	n/a	10-Feb	3,000.00	3.0
B BUCK CAPITAL MGMT		DECK	B	30,000	56.01	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	DHI	S	400,000	9.06	n/a	n/a	12,000.00	3.0
B BUCK CAPITAL MGMT	001	DIN	B	10,000	9.05	n/a	n/a	384.00	3.5
B BUCK CAPITAL MGMT		DIN	C	20,000	8.93	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		DIN	C	50,000	8.86	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		DIS	C	30,000	18.98	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DSW	C	15,000	10.86	14-Nov	6-Feb	non-brg	1.5
B BUCK CAPITAL MGMT		DSW	C	3,300	10.99	14-Nov	6-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		DSW	C	31,700	10.88	14-Nov	6-Feb	non-brg	2.5
HUNTER GLOBAL INVESTOR	067	ED	S	10,000	40.73	n/a	n/a	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	EL	SS	50,000	26.79	20-Jan	9-Feb	2,000.00	4.0
ß BUCK CAPITAL MGMT		ETH+QB[???	SS	1,000	1.02	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		EXBD	C	10,000	17.37	n/a	n/a	non-brg	4.0
CUMBERLAND	038	EXH	B	10,000	22.71	27-Jan	3-Feb	400.00	4.0
f MANNY I KORMAN	FID	FDONY	B	40	1.50	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	FITB	B	100,000	2.70	n/a	n/a	3,000.00	3.0
ß BUCK CAPITAL MGMT		FL+OU[???	SS	2,000	0.65	n/a	n/a	non-brg	100.0
RAINIER INV MGMT	035	FLS	B	49,550	53.55	n/a	n/a	2,477.50	5.0
ß BUCK CAPITAL MGMT		FMQ+QW[???	SS	1,000	0.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FOSL	S	100	11.50	23-Jan	30-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	S	27,900	11.54	23-Jan	30-Jan	non-brg	1.5
ß BUCK CAPITAL MGMT		FOSL	S	49,502	11.40	23-Jan	30-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	S	50,000	11.76	23-Jan	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		FO	SS	20,000	31.54	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FUA+CV[???	SS	1,000	0.65	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	GCO	S	35,000	14.77	n/a	10-Jan	1,400.00	4.0
f EDWARD W WHEELER	FID	GE	B	4000	11.59	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		GIII	B	5,000	4.28	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		GIII	B	5,000	4.32	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIL	B	40,000	10.67	11-Dec	12-Dec	non-brg	4.0
COUGAR TRADING	044	GIL	S	15,000	10.64	11-Dec	12-Dec	750.00	5.0
ß BUCK CAPITAL MGMT		GQR+OU[???	SS	1,000	0.85	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	HEW	B	30,000	32.08	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		HOG	SS	20,000	13.62	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HOT+QB[???	SS	1,000	0.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HSII	C	20,000	16.79	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HUO+OC[???	SS	1,000	0.55	n/a	n/a	non-brg	100.0
SANDLER O'NEILL ASSET	031	IVZ	B	40,000	13.34	9-Jan	10-Feb	2,000.00	5.0
ß BUCK CAPITAL MGMT		IYR	C	334,400	31.77	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		JACK	C	20,000	22.62	n/a	n/a	non-brg	2.5
f BARBARA WYCKOFF SIRI	FID	JCG	S	300	12.03	n/a	30-Jan	0.00	0.0
BALYASNY ASSET MGMT	044	JEC	B	5,000	40.88	n/a	n/a	250.00	5.0
STERLING JOHNSTON	035	JWN	S	17,200	14.07	9-Jan	6-Feb	688.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f</b> CHRISTOPHER ARTHUR K	<b>FID</b>	<b>KBE</b>	<b>B</b>	<b>2000</b>	<b>13.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	KFY	B	20,000	10.41	n/a	n/a	800.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>KIM</b>	<b>SS</b>	<b>20,000</b>	<b>12.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	KMT	S	25,000	19.82	18-Sep	30-Jan	1,250.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>KMT</b>	<b>SS</b>	<b>10,000</b>	<b>19.38</b>	<b>18-Sep</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>1.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>KMT</b>	<b>SS</b>	<b>10,000</b>	<b>19.40</b>	<b>18-Sep</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>KMT</b>	<b>SS</b>	<b>30,000</b>	<b>19.67</b>	<b>18-Sep</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>2.5</b>
SYSTEMATIC FINANCIAL MGT	035	KR	S	220,000	21.36	n/a	n/a	7,700.00	3.5
<b>f</b> JOHN MICHAEL ZOLIDIS	<b>FID</b>	<b>KSWs</b>	<b>B</b>	<b>4</b>	<b>10.20</b>	<b>n/a</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>LM</b>	<b>B</b>	<b>76,753</b>	<b>15.69</b>	<b>29-Jan</b>	<b>10-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>MAN</b>	<b>C</b>	<b>20,000</b>	<b>32.63</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>MAR+PS[???</b>	<b>SS</b>	<b>1,000</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
BUCK CAPITAL MGMT	001	MAR	SS	21,500	15.50	n/a	n/a	860.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>MCD</b>	<b>SS</b>	<b>30,000</b>	<b>57.30</b>	<b>13-Oct</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
TRELLUS PARTNERS LP	028	MGA	SS	4,700	30.28	n/a	n/a	235.00	5.0
<b>f</b> DAVID GEORGE BUCK	<b>FID</b>	<b>MHSPH</b>	<b>B</b>	<b>20</b>	<b>1.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	MI	S	15,000	4.26	n/a	n/a	450.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	MONNO	B	15	0.74	n/a	n/a	0.00	0.0
MKT MAKER	099	MSFT	B	1	19.60	n/a	3-Apr	0.00	0.0
B BUCK CAPITAL MGMT		MS	B	147,600	23.35	18-Dec	10-Feb	non-brg	4.0
SANDLER O'NEILL ASSET	031	MS	B	50,000	22.94	18-Dec	10-Feb	2,500.00	5.0
B BUCK CAPITAL MGMT		MW+QB[???	SS	1,000	1.26	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		MWW	C	20,000	8.55	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	NDN	B	40,000	7.38	31-Oct	5-Feb	1,200.00	3.0
PERMIAN/BAYPIONT	028	NFLX	SS	8,200	36.51	n/a	n/a	410.00	5.0
HIGHBRIDGE/MS OLDS	041	NSC	B	16,000	39.27	n/a	n/a	800.00	5.0
CENTURION GLOBAL MGMT LL	041	NTAP	S	18,000	15.68	n/a	n/a	900.00	5.0
HUNTER GLOBAL INVESTOR	067	NVR	S	11,900	395.68	n/a	n/a	595.00	5.0
TRELLUS PARTNERS LP	028	PAG	SS	37,500	8.53	n/a	23-Dec	1,125.00	3.0
CRAMER ROSENTHAL/SCOTT	012	PEP	S	7,000	49.50	n/a	n/a	280.00	4.0
B BUCK CAPITAL MGMT		PFCB	C	10,000	18.14	8-Jan	9-Feb	non-brg	1.0
B BUCK CAPITAL MGMT		PFCB	C	40,000	17.81	8-Jan	9-Feb	non-brg	4.0
CR INTRINIC INVESTMENT	044	PFE	B	150,000	14.14	n/a	31-Mar	7,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f WILLIAM J GAULT	FID	PFGB	B	20000	12.35	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PNRA	C	20,000	45.97	n/a	4-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		PNRA	C	30,000	46.91	n/a	4-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		PSUN	B	109,448	1.19	6-Feb	6-Feb	non-brg	1.0
B BUCK CAPITAL MGMT		PSUN	B	16,510	1.19	6-Feb	6-Feb	non-brg	1.5
COLUMBIA PARTNERS	028	QDEL	B	37,917	12.96	n/a	n/a	1,516.68	4.0
B BUCK CAPITAL MGMT		QUK+CL[???	SS	300	3.50	n/a	n/a	non-brg	300.0
f KEVIN MICHAEL O'GORM	FID	RBSPRL	B	800	4.01	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RCL	SS	50,000	7.20	30-Jan	30-Jan	1,500.00	3.0
LAGRANGE CAP ADV	048	RDEN	B	10,000	6.50	20-Jan	20-Jan	500.00	5.0
B BUCK CAPITAL MGMT		RHI	C	30,000	17.88	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		RL	C	20,000	39.59	n/a	5-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		ROST	C	19,920	32.38	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		ROST	C	20,000	31.59	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		ROST	C	30,080	32.34	n/a	n/a	non-brg	1.0
f JOHN MICHAEL ZOLIDIS	FID	SKF	S	100	140.00	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	B	1500	7.35	n/a	13-Mar	0.00	0.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SLG	SS	20,000	17.84	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SSI	S	10,000	8.10	n/a	6-Feb	non-brg	1.0
BUCK CAPITAL MGMT		SSI	S	15,500	8.06	n/a	6-Feb	non-brg	3.0
JOHN MICHAEL DEMARTI	FID	SSO	B	1000	24.21	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	STI	S	25,000	10.62	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT		TGT	C	50,000	32.19	5-Feb	10-Feb	non-brg	4.0
KEEFE BRUYETTE WOODS A	045	TROW	SS	16,800	28.29	n/a	10-Feb	840.00	5.0
MERRILL ASSET	032	TXT	S	108,884	6.60	n/a	n/a	4,899.78	4.5
BUCK CAPITAL MGMT		UHO+QU[???	SS	2,000	0.45	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		URBN	S	20,000	17.34	5-Nov	30-Jan	non-brg	4.0
EDWARD W WHEELER	FID	UYG	B	25000	3.00	n/a	n/a	0.00	0.0
WILLIAM J GAULT	FID	UYG	B	40000	3.16	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	S	65,000	55.40	n/a	n/a	2,600.00	4.0
BENNETT LAWRENCE	045	VNO	B	7,700	53.00	n/a	n/a	346.50	4.5
JASON ERIC COHEN	FID	WFC	B	88	17.03	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	WFMI	S	50,000	10.52	n/a	n/a	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	WLK	S	20,000	14.23	n/a	n/a	1,000.00	5.0
BALYASNY ASSET MGMT	044	WL	C	30,000	11.07	n/a	n/a	1,500.00	5.0
f MANNY I KORMAN	FID	WMSND	S	30	1.30	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	WMSNX	B	30	2.90	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WMS	SS	30,000	19.11	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	WMT	S	30,000	47.61	10-Oct	10-Feb	1,200.00	4.0
KLEINHEINZ CAP PART	031	WMT	S	75,000	47.59	10-Oct	10-Feb	3,750.00	5.0
WHALE ROCK CAP	030	WRC	S	66,000	22.75	7-Nov	27-Jan	2,640.00	4.0
BMO NESBITT BURNS	041	WY	B	25,000	26.95	9-Feb	9-Feb	1,250.00	5.0
SENATOR INVESTMENT GROUP	036	XLFX	S	35,000	9.00	n/a	n/a	1,750.00	5.0
ß BUCK CAPITAL MGMT		XRT	C	350,000	20.91	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRT	SS	350,000	20.06	n/a	n/a	non-brg	1.0
TOTAL (Trade Date):								112,098.70	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/09	1798 CAPITAL MGMT	040	FDO	B	20,000	27.46	7-Jan	4-Feb	1000.00 5.0
AO,CRT	02/09	1798 CAPITAL MGMT	040	FDO	B	20,000	27.46	7-Jan	4-Feb	1000.00 5.0
AO,CRT	02/09	BUCK CAPITAL MGMT	001	MCD	B	43,000	58.37	13-Oct	9-Feb	1720.00 4.0
AO,CXL	02/09	BUCK CAPITAL MGMT	001	MCD	B	43,000	58.37	13-Oct	9-Feb	1720.00 4.0
AO,CXL	02/09	WHITE PINE CAPITAL	030	MI	B	2,200	5.58	n/a	n/a	110.00 5.0
AO,CRT	02/09	WHITE PINE CAPITAL	030	MI	B	2,200	5.58	n/a	n/a	110.00 5.0
AO,CRT	02/09	COLUMBIA PARTNERS	028	QDEL	B	165	13.36	n/a	n/a	6.60 4.0
AO,CXL	02/09	COLUMBIA PARTNERS	028	QDEL	B	165	13.36	n/a	n/a	6.60 4.0

**TOTAL (AO, CXL, CRT) :****0.00**

TOTAL (Trade Date) :

112,098.70

TOTAL (AO, CXL, CRT) :

0.00

**TOTAL (RUN DATE) / NFS:****112,098.70****MRI Securities with Trade Activity on 10-Feb.  
NONE**

MRI Securities without Trade Activity on 10-Feb.

CLX, DLTR

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 17%

YTD = 25%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**

**f: Employee Trades (Fidelity)**