



Daily Compliance Activity Report

Trade Date: 07/20/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	091	ABG	S	3,100	23.34	18-Jun	13-Jul	186.00	6.0
BUCK CAPITAL MGMT	001	AEO	S	100,000	27.48	10-Jul	13-Jul	4,000.00	4.0
GLG PARTNERS	034	AEO	B	50,000	27.46	10-Jul	13-Jul	2,500.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	30,000	72.16	12-Jul	13-Jul	1,200.00	4.0
MAZAMA CAPITAL MGMT.	035	AOS	S	37,300	50.16	18-Jul	18-Jul	1,492.00	4.0
CRAMER ROSENTHAL/SCOTT	012	ARO	B	20,800	41.05	n/a	13-Jul	832.00	4.0
PIVOT CAP	034	ASD	S	27,500	59.60	18-Jul	18-Jul	1,375.00	5.0
BUCK CAPITAL MGMT	001	BID	S	10,000	49.71	n/a	n/a	400.00	4.0
CROWLEY	061	BLJ	B	700	16.73	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	034	BMS	B	12,000	32.93	n/a	n/a	480.00	4.0
HIGHBRIDGE CAPITAL	038	BNI	B	4,000	90.24	n/a	n/a	200.00	5.0
SINCLAIR	031	BSCAK	B	9	5.80	n/a	n/a	0.00	0.0
ZOLIDIS	011	BX	B	200	25.89	n/a	n/a	0.00	0.0
WAGONHOUND	048	BYD	B	14,452	49.97	n/a	27-Apr	722.60	5.0
BUCK CAPITAL MGMT	001	CEC	SS	10,000	36.20	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	CEVA	B	1,600	9.08	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CHS	C	18,600	21.35	12-Jul	13-Jul	744.00	4.0
COUGAR TRADING	044	COH	B	10,000	49.27	n/a	25-Apr	500.00	5.0
SUTERA	160	CXTI	B	1,000	5.68	n/a	n/a	0.00	0.0
LIGHTHOUSE FIN/QUAD	046	C	B	25,000	51.65	n/a	13-Jul	1,250.00	5.0
BELMONT GLOBAL ADV	248	DEEP	S	30,000	17.58	n/a	n/a	1,500.00	5.0
LEDDY	035	DFZ	S	600	10.90	n/a	n/a	0.00	0.0
BUCK	015	DIS	S	1,500	34.42	n/a	n/a	0.00	0.0
LEDDY	035	DLIA	S	1,400	7.28	n/a	n/a	0.00	0.0
DALTON GREINER	012	DLTR	S	12,790	41.24	30-May	11-Jul	639.50	5.0
BUCK CAPITAL MGMT	001	DSW	B	15,000	37.09	7-May	7-Jun	600.00	4.0
BUCK	015	DVN	B	300	81.30	18-Jul	20-Jul	0.00	0.0
NICOLAS APPELEGATE	048	ELOY	S	40,000	18.50	n/a	n/a	1,600.00	4.0
LEDDY	035	EVST	S	3	33.02	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	S	20,000	49.89	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GPS	SS	20,000	18.69	n/a	13-Jul	800.00	4.0
BUCK	015	GPYHF	B	5	0.90	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	HPY	S	58,600	30.27	n/a	n/a	2,344.00	4.0
ACT II PARTNERS	067	INAP	B	100,000	15.05	n/a	n/a	5,000.00	5.0
BARR	096	IPGP	B	800	20.10	n/a	n/a	0.00	0.0
IRONBOUND CAPITAL	012	IP	SS	10,000	41.43	4-May	14-Jun	500.00	5.0
KERN	050	ISE	S	500	65.94	30-Apr	13-Jul	0.00	0.0
WYCKOFF	013	JADE	B	1,000	8.50	n/a	n/a	0.00	0.0
LEDDY	035	JADE	B	3,000	8.52	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JBX	SS	10,000	68.49	24-Apr	24-Apr	400.00	4.0
BUCK CAPITAL MGMT	001	JCG	B	7,500	51.29	22-Jun	22-Jun	300.00	4.0
BUCK CAPITAL MGMT	001	JCP	S	10,000	75.86	21-Jun	13-Jul	400.00	4.0
COUGAR TRADING	044	JCP	B	5,000	75.87	21-Jun	13-Jul	250.00	5.0
BUCK	015	JWN	S	500	47.60	12-Jul	13-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	KSS	B	65,000	65.72	14-Jun	12-Jul	2,600.00	4.0
KORMAN	003	KVA	B	1,000	28.80	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAVU CAP ADV	028	LLNW	S	36,000	17.45	n/a	n/a	1,800.00	5.0
BULL PATH CAPITAL	044	LM	B	30,650	99.78	9-May	18-Jul	1,532.50	5.0
LISTED ERR	099	LM	B	61,518	99.71	9-May	18-Jul	0.00	0.0
LISTED ERR	099	LM	S	61,518	99.78	9-May	18-Jul	0.00	0.0
SCOPUS FUND	039	LPX	S	100,000	19.06	14-Jun	14-Jun	5,000.00	5.0
BUCK	015	NMXTF	S	3	4.30	n/a	n/a	0.00	0.0
LEDDY	035	NWY	S	800	11.39	25-May	25-May	0.00	0.0
CAXTON PART	040	PMCS	B	100,000	8.40	n/a	n/a	4,000.00	4.0
COUGAR TRADING	044	PSUN	SS	15,000	21.18	n/a	13-Jul	750.00	5.0
THE JAY GOLDMAN	034	PTV	S	12,500	31.91	n/a	n/a	500.00	4.0
BUCK CAPITAL MGMT	001	PVH	B	5,000	55.13	31-May	31-May	200.00	4.0
BUCK CAPITAL MGMT	001	RL	S	10,000	98.94	21-Jun	27-Jun	400.00	4.0
BUCK CAPITAL MGMT	001	RSH	SS	10,000	30.01	n/a	n/a	400.00	4.0
OGORMAN	146	SDS	S	600	50.87	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	S	120,000	29.28	n/a	13-Mar	4,800.00	4.0
GOLDEN TREE	036	SPY	C	3,355	154.38	n/a	n/a	167.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GTAM	036	SPY	C	49,245	154.38	n/a	n/a	2,462.25	5.0
BUCK CAPITAL MGMT	001	SSI	S	20,000	20.60	25-May	13-Jul	800.00	4.0
IRON HORSE CAPITAL MGMT	038	SVU	S	10,000	47.18	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	TGT	B	10,000	68.40	n/a	12-Jul	400.00	4.0
SARAS CAPITAL	038	TGT	B	10,000	67.82	n/a	12-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	THI	SS	10,000	32.32	24-Apr	24-Apr	400.00	4.0
BUCK CAPITAL MGMT	001	TJX	S	10,000	29.57	13-Jul	13-Jul	400.00	4.0
DALTON GREINER	012	TJX	B	41,960	29.47	13-Jul	13-Jul	2,098.00	5.0
BEAR STEARNS ASSET MGMT.	033	TLB	C	20,000	24.19	n/a	17-Jul	800.00	4.0
BUCK CAPITAL MGMT	001	TWB	B	10,000	42.87	2-Jul	2-Jul	400.00	4.0
BARR	096	UAUA	S	500	46.55	n/a	n/a	0.00	0.0
KORMAN	003	UODTB	S	30	1.95	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	URS	B	10,000	54.46	n/a	n/a	500.00	5.0
PILOT ADV	038	USTR	SS	1,700	70.12	23-May	23-May	68.00	4.0
PIVOT CAP	034	WBCWI	S	18,000	0.00	n/a	n/a	900.00	5.0
CAPITAL GROWTH MGMT.	241	WDC	B	240,000	23.09	n/a	n/a	9,600.00	4.0
KEEFE BRUYETTE WOODS A	031	WDR	S	58,400	27.32	13-Jul	16-Jul	2,920.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	WFMI	C	700	39.21	n/a	n/a	0.00	0.0
BACKUS	005	WRNC	S	2,000	39.22	18-Jul	18-Jul	0.00	0.0
AMBER CAPITAL	046	XLB	SS	1,050,000	42.58	n/a	n/a	31,500.00	3.0
TROUBH PART	067	YHOO	S	25,000	25.50	n/a	n/a	1,250.00	5.0
ROSEDALE CAPITAL	046	ZQK	B	20,000	13.45	9-Mar	27-Jun	1,000.00	5.0
TOTAL (Trade Date):								110,063.60	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/19	AMBER CAPITAL (AMBRGS)	046	AAP	S	188,147	39.12	n/a	n/a	9407.35 5.0
AO,CRT	07/19	AMBER CAPITAL (AMBRGS)	046	AAP	S	188,147	39.12	n/a	n/a	7525.88 4.0
AO,CXL	07/19	PALMYRA CAPITAL (PALMFNYSGS)	048	CHTR	S	228,102	4.80	n/a	n/a	4562.04 2.0
AO,CRT	07/19	PALMYRA CAPITAL (PALMFNYSGS)	048	CHTR	S	11,898	4.80	n/a	n/a	237.96 2.0
AO,CXL	07/19	PALMYRA CAPITAL (PALMGSCO)	048	CHTR	S	11,898	4.80	n/a	n/a	237.96 2.0
AO,CRT	07/19	PALMYRA CAPITAL (PALMGSCO)	048	CHTR	S	228,102	4.80	n/a	n/a	4562.04 2.0
AO,	07/19	GOLDEN TREE (GTRE2219CH)	036	CTXS	S	2,930	38.79	n/a	n/a	146.50 5.0
AO,	07/19	GOLDEN TREE (GTRE2215CH)	036	CTXS	S	10,820	38.79	n/a	n/a	541.00 5.0
AO,	07/19	GOLDEN TREE (GTRE2205GS)	036	CTXS	S	14,385	38.79	n/a	n/a	719.25 5.0
AO,	07/19	GOLDEN TREE (GTRE1401GS)	036	CTXS	S	3,189	38.79	n/a	n/a	159.45 5.0
AO,	07/19	GOLDEN TREE (GTRE2203GS)	036	CTXS	S	66,371	38.79	n/a	n/a	3318.55 5.0
AO,	07/19	GOLDEN TREE (GTRE2300GS)	036	CTXS	S	2,305	38.79	n/a	n/a	115.25 5.0
AO,CXL	07/19	CAXTON PART (CAXTKFCIMS)	040	EXC	SS	32,498	82.08	n/a	n/a	1299.92 4.0
AO,	07/19	CAXTON PART (CAXTKFCIMS)	040	EXC	SS	32,498	82.02	n/a	n/a	1299.92 4.0
AO,CXL	07/19	CAPITAL GROWTH MGMT. (CAPGWELFAR)	241	HANS	B	2,000	43.56	n/a	n/a	100.00 5.0
AO,CRT	07/19	CAPITAL GROWTH MGMT. (CAPGWELFAR)	241	HANS	B	1,000	43.56	n/a	n/a	50.00 5.0
AO,CXL	07/19	CAPITAL GROWTH MGMT. (CAPGBROWN)	241	HANS	B	1,000	43.56	n/a	n/a	50.00 5.0
AO,CRT	07/19	CAPITAL GROWTH MGMT. (CAPGBROWN)	241	HANS	B	2,000	43.56	n/a	n/a	100.00 5.0
AO,	07/19	U.S.TRUST COMPANY (USTCMAIN A)	012	INTC	B	24,300	25.27	n/a	n/a	1093.50 4.5
AO,	07/19	WILLIAM BLAIR (WBLA43NORT)	031	MXIM	B	200	34.89	n/a	n/a	8.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43LFHI)	031	MXIM	B	6,100	34.89	n/a	n/a	244.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/19	WILLIAM BLAIR (WBLA43LFHR)	031	MXIM	B	2,400	34.89	n/a	n/a	96.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43LGCA)	031	MXIM	B	6,100	34.89	n/a	n/a	244.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43RIVP)	031	MXIM	B	1,600	34.89	n/a	n/a	64.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43SERV)	031	MXIM	B	800	34.89	n/a	n/a	32.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43CHIH)	031	MXIM	B	500	34.89	n/a	n/a	20.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43COLL)	031	MXIM	B	9,400	34.89	n/a	n/a	376.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43CONT)	031	MXIM	B	2,400	34.89	n/a	n/a	96.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA23NAPA)	031	MXIM	B	1,100	34.89	n/a	n/a	44.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43WELL)	031	MXIM	B	3,100	34.89	n/a	n/a	124.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA57EBJA)	031	MXIM	B	400	34.89	n/a	n/a	16.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA57LIBR)	031	MXIM	B	11,300	34.89	n/a	n/a	452.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43AUTO)	031	MXIM	B	8,900	34.89	n/a	n/a	356.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43CARV)	031	MXIM	B	16,200	34.89	n/a	n/a	648.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43VANA)	031	MXIM	B	21,500	34.89	n/a	n/a	860.00 4.0
AO,	07/19	WILLIAM BLAIR (WBLA43CHIM)	031	MXIM	B	43,100	34.89	n/a	n/a	1724.00 4.0
AO,CRT	07/18	REINHART & MAHONEY (REINMATR)	031	PCH	B	500	43.75	26-Jun	26-Jun	25.00 5.0
AO,CXL	07/18	REINHART & MAHONEY (REINBRAEGE)	031	PCH	B	500	43.75	26-Jun	26-Jun	25.00 5.0

TOTAL (AO, CXL, CRT) :

9,616.03

TOTAL (Trade Date) :

110,063.60

TOTAL (AO, CXL, CRT) :

9,616.03

TOTAL (RUN DATE) / NFS :

119,679.63

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION