



Daily Compliance Activity Report

Trade Date: 06/20/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f ANN BETTENDORF FREEM	FID	AAPL	S	10	584.60	n/a	n/a	0.00	0.0
DEVENNY CAP LLC/MILLENIU	044	ALV	B	10,000	56.04	20-Jun	20-Jun	300.00	3.0
TRELLUS PARTNERS LP	028	ALV	B	12,100	55.52	20-Jun	20-Jun	363.00	3.0
LIONEYE CAPITAL	040	AOL	S	10,200	26.74	n/a	n/a	510.00	5.0
f JOSEPH CIRO AMATURO	FID	ARR	B	3000	7.01	n/a	n/a	0.00	0.0
f STEFFANIE CARMELA CA	FID	ARR	B	120	6.97	n/a	n/a	0.00	0.0
BRONSON POINT	036	ATU	S	25,000	25.27	n/a	n/a	750.00	3.0
TROUBH PART	070	AVP	B	25,000	16.12	n/a	2-May	1,250.00	5.0
NEWLAND CAPITAL MGT	025	AXL	S	15,000	10.24	n/a	7-Jun	600.00	4.0
B BUCK CAPITAL MGMT		BBBY	S	72,269	73.99	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BBBY	S	86,131	74.07	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	BBBY	B	44,091	73.46	n/a	n/a	1,763.64	4.0
B BUCK CAPITAL MGMT		BID	SS	20,000	31.12	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BONT	S	25,405	6.58	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		BONT	S	30,000	6.27	n/a	n/a	non-brg	2.5
COLUMBUS CIRCLE	036	CAB	B	9,834	37.83	n/a	n/a	393.36	4.0
B BUCK CAPITAL MGMT		CCL	S	42,100	35.40	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		COST	S	20,000	91.32	n/a	n/a	non-brg	4.0
FRONTIER	029	CRK	S	100,120	15.47	n/a	n/a	4,004.80	4.0
B BUCK CAPITAL MGMT		CROX	B	20,000	16.00	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CROX	B	30,000	16.05	n/a	n/a	non-brg	4.0
FRONTIER	029	CRZO	S	60,770	21.37	n/a	n/a	2,430.80	4.0
f JONATHAN RICHARD HAR	FID	CVGI	B	300	8.70	n/a	n/a	0.00	0.0
FORTRESS INVESTMENT (US)	036	DAL	B	33,000	10.95	n/a	n/a	990.00	3.0
THE JAY GOLDMAN	028	DE	S	26,000	77.04	n/a	13-Jun	1,040.00	4.0
THE JAY GOLDMAN	028	EMR	B	40,000	46.20	n/a	n/a	1,600.00	4.0
HARVEST MGMT (JMP)	029	FDO	B	5,000	71.48	n/a	5-Jun	200.00	4.0
BRONSON POINT	036	FDX	B	25,000	91.89	n/a	n/a	750.00	3.0
Õ Doug Rogers		FOR	S	100	13.51	n/a	11-May	0.00	0.0
Õ Doug Rogers		FOR	S	200	13.51	n/a	11-May	0.00	0.0
Õ Doug Rogers		FOR	S	2	13.50	n/a	11-May	0.00	0.0
Õ Doug Rogers		FOR	S	300	13.51	n/a	11-May	0.00	0.0
Õ Doug Rogers		FOR	S	300	13.51	n/a	11-May	0.00	0.0
Õ Doug Rogers		FOR	S	98	13.51	n/a	11-May	0.00	0.0
LEVIN CAPITAL STRATEGIES	041	F	SS	15,000	10.59	n/a	4-Jun	450.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	041	GM	SS	10,000	21.35	n/a	7-Jun	300.00	3.0
SANDLER O'NEILL ASSET	031	GS	B	20,000	96.60	n/a	20-Jun	1,000.00	5.0
COBALT	041	GT	B	100,000	11.58	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		HAIN	B	20,000	53.81	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HAIN	B	20,000	53.82	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	HAIN	S	275	53.96	n/a	n/a	0.00	0.0
BRONSON POINT	036	HOT	SS	50,000	53.24	n/a	13-Jun	1,500.00	3.0
BAIRD INVESTMENT	047	IHG	S	38,050	24.55	n/a	n/a	1,902.50	5.0
NEWLAND CAPITAL MGT	025	KMB	SS	789	82.00	n/a	23-Apr	31.56	4.0
WOOSTER CAPITAL	035	LEA	B	20,000	38.61	n/a	4-May	1,000.00	5.0
BESSEMER INVESTMENT	085	LOW	B	300,000	28.52	n/a	22-May	12,000.00	4.0
DELTEC ASSET MGMT.	040	LVS	B	12,000	46.19	n/a	13-Jun	600.00	5.0
f EDWARD W WHEELER	FID	MTRX	S	6565	10.96	n/a	n/a	0.00	0.0
FRONTIER	029	ONNN	S	246,720	7.27	n/a	n/a	7,401.60	3.0
NORTHWESTERN MUTUAL LIFE	047	PAG	B	30,000	23.83	n/a	26-Apr	1,200.00	4.0
DSM CAPITAL PARTNERS	085	PAY	B	2,025	32.62	n/a	n/a	101.25	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DSM CAPITAL PARTNERS	085	PKT	B	2,700	22.67	n/a	n/a	135.00	5.0
HARVEST MGMT (JMP)	029	PLCE	B	5,000	44.85	n/a	5-Jun	200.00	4.0
BAIRD INVESTMENT	047	PLCM	S	76,325	10.70	n/a	n/a	3,816.25	5.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	31,000	79.83	n/a	25-May	930.00	3.0
COLUMBUS CIRCLE	036	RATE	S	4,815	19.08	n/a	n/a	192.60	4.0
B BUCK CAPITAL MGMT		RCL	S	124,150	25.72	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	RDC	S	58,370	31.95	n/a	n/a	2,918.50	5.0
NEWLAND CAPITAL MGT	025	RKT	S	15,000	55.16	n/a	26-Apr	600.00	4.0
CATAPULT/KGLT	040	RRC	S	25,000	59.37	n/a	9-May	1,000.00	4.0
B BUCK CAPITAL MGMT		SAND SS	SS	40,000	12.67	n/a	n/a	non-brg	1.9
DSM CAPITAL PARTNERS	085	SBH	B	2,025	28.01	n/a	n/a	101.25	5.0
DSM CAPITAL PARTNERS	085	SHOO	B	1,175	36.41	n/a	n/a	58.75	5.0
f MANNY I KORMAN	FID	SLMAP	B	3000	45.50	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SPY	S	1000	135.27	n/a	n/a	0.00	0.0
TROUBH PART	070	SPY	B	15,000	135.23	n/a	n/a	750.00	5.0
DELTEC ASSET MGMT.	040	TEX	B	25,000	18.87	n/a	13-Jun	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f EDWARD W WHEELER	FID	UNT	B	3000	36.89	n/a	9-May	0.00	0.0
DSM CAPITAL PARTNERS	085	VIT	B	9,475	9.98	n/a	n/a	473.75	5.0
f GAJANTHAN BALAKANES	FID	VIXY	S	9	34.75	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	VOD	B	400,000	27.90	n/a	n/a	16,000.00	4.0
COLUMBUS CIRCLE	036	VSI	B	355	53.48	n/a	n/a	14.20	4.0
f MANNY I KORMAN	FID	WAG	B	3000	28.85	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	WNC	B	500	6.96	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	WNC	B	2200	6.86	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	028	XLI	SS	30,000	35.29	n/a	n/a	900.00	3.0
TOTAL (Trade Date):							77,772.81		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/19	SUTTON BROOK	086	PEB	S	45,000	22.39	n/a	n/a	1350.00 3.0
AO,CXL	06/19	SUTTON BROOK	086	PEB	S	45,000	22.39	n/a	n/a	1800.00 4.0
AO,	06/19	COLUMBUS CIRCLE	036	PLXS	S	2,700	29.74	n/a	n/a	108.00 4.0

TOTAL (AO, CXL, CRT) :	-342.00
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TOTAL (Trade Date) :	77,772.81
TOTAL (AO, CXL, CRT) :	-342.00

TOTAL (RUN DATE) / NFS:	77,430.81
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 20-Jun.

ALV

MRI Securities without Trade Activity on 20-Jun.

PG

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)