



Daily Compliance Activity Report

Trade Date: 07/31/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BRIGHTPOINT CAP	067	AAP	B	20,000	35.83	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	10,000	70.69	12-Jul	13-Jul	400.00	4.0
COUGAR TRADING	044	ANF	B	5,000	70.15	12-Jul	13-Jul	250.00	5.0
COUGAR TRADING	044	ANF	S	10,000	70.41	12-Jul	13-Jul	500.00	5.0
SARAS CAPITAL	038	AN	SS	20,000	19.89	n/a	27-Jul	1,000.00	5.0
EFSTATHIOU	044	ASD	B	100	54.42	18-Jul	23-Jul	0.00	0.0
DEMARTINI	065	ASD	B	600	55.01	18-Jul	23-Jul	0.00	0.0
IMPALA ASSET MMT	012	BA	S	148,352	104.52	n/a	n/a	4,450.56	3.0
MAGNETAR FINANCIAL	247	BEBE	B	35,000	14.12	n/a	n/a	1,400.00	4.0
PRINCIPLE CAPITAL	040	BID	B	160,000	43.90	n/a	n/a	6,400.00	4.0
PALMYRA CAPITAL	048	BIG	SS	40,000	26.70	9-Mar	27-Jul	1,600.00	4.0
ROTHSCHILD ASSET	040	BMR	S	64,210	22.04	n/a	n/a	2,889.45	4.5
GOLD SACHS SPECIAL SIT	030	BONT	SS	107,000	26.38	6-Jul	13-Jul	5,350.00	5.0
ZOLIDIS	011	BWS	B	500	21.40	n/a	n/a	0.00	0.0
MANNING & NAPIER	034	CCMP	S	50,075	43.03	18-Jul	26-Jul	2,003.00	4.0
REINHART & MAHONEY	031	CDWC	S	4,875	84.84	n/a	n/a	243.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	CHS	S	16,005	20.00	12-Jul	13-Jul	800.25	5.0
Õ Glenn Redemann		CNX	B	3	0.1100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CNX	B	3	0.1200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CNX	SS	3	0.6900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CNX	SS	3	0.8400	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	COF	SS	10,569	71.03	n/a	n/a	422.76	4.0
MS PRINCIPAL STRATEGIES	040	COF	B	11,700	72.85	n/a	n/a	585.00	5.0
BUCK CAPITAL MGMT	001	COH	S	20,000	46.07	n/a	26-Jul	800.00	4.0
COUGAR TRADING	044	COH	S	20,000	46.54	n/a	26-Jul	1,000.00	5.0
LEDDY	035	COSI	S	1,500	4.58	n/a	n/a	0.00	0.0
GOLD SACHS SPECIAL SIT	030	COST	SS	20,000	60.33	n/a	27-Jul	1,000.00	5.0
DSG CAPITAL	225	COV	B	3,000	40.86	n/a	n/a	150.00	5.0
HIGHBRIDGE CAPITAL	038	CPHL	S	10,000	12.60	n/a	n/a	500.00	5.0
MAGNETAR FINANCIAL	247	CXR	B	30,000	13.44	n/a	n/a	1,200.00	4.0
GALLEON MGMT LP	033	DF	SS	5,000	29.16	n/a	n/a	250.00	5.0
BARON ASSET MGMT*	012	DKS	B	20,000	56.95	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	135,000	33.15	7-May	7-Jun	5,400.00	4.0
LOOMIS SALES/BOSTON	036	DW	B	31,757	34.92	n/a	n/a	1,270.28	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NWQ INVESTMENT MGMT CO	048	ECIL	S	66,000	9.41	n/a	n/a	1,980.00	3.0
MKT MAKER	099	ECIL	S	63	9.41	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	B	5,000	34.45	21-Jun	31-Jul	200.00	4.0
GALLEON MGMT LP	033	GIL	B	10,000	34.80	21-Jun	31-Jul	500.00	5.0
CAXTON PART	040	GLW	S	121,648	24.00	n/a	25-Jul	4,865.92	4.0
DELTEC ASSET MGMT.	040	GLW	B	22,000	24.52	n/a	25-Jul	1,100.00	5.0
STADIA CAPITAL	035	GLW	S	35,000	24.16	n/a	25-Jul	1,400.00	4.0
CIRCLE T PART	035	GM	B	50,000	33.50	4-May	31-Jul	276.00	2.0
COUGAR TRADING	044	GYMB	S	5,000	43.58	n/a	31-Jul	250.00	5.0
STADIA CAPITAL	035	HBI	B	20,000	31.08	n/a	n/a	800.00	4.0
REINHART & MAHONEY	031	HLT	S	28,040	44.21	n/a	n/a	1,402.00	5.0
LUCHANSKY1	036	IGT	B	500	36.11	n/a	20-Jul	0.00	0.0
CAPITAL GROWTH MGMT.	241	IHP	B	20,000	64.88	n/a	n/a	1,000.00	5.0
CUMBERLAND	038	IHP	SS	5,000	65.15	n/a	n/a	200.00	4.0
OPTIMUM INV ADV	030	INTU	B	53,300	28.79	n/a	n/a	2,132.00	4.0
WILLIAM BLAIR	031	INWK	B	20,880	13.57	n/a	n/a	835.20	4.0
IRONBOUND CAPITAL	012	IP	SS	15,000	38.25	4-May	14-Jun	750.00	5.0
LEDDY	035	IP	C	1,800	37.97	4-May	14-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PHILADELPHIA FINANCIAL	033	IVZ	B	9,159	25.48	10-Jul	25-Jul	457.95	5.0
COUGAR TRADING	044	JCG	B	5,000	50.99	22-Jun	22-Jun	250.00	5.0
COUGAR TRADING	044	JCG	S	10,000	52.68	22-Jun	22-Jun	500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	JCP	B	50,000	69.53	21-Jun	13-Jul	2,500.00	5.0
DENVER INST. ADV	046	JWN	B	19,550	47.79	12-Jul	26-Jul	782.00	4.0
SAC TRADING HOWARD	038	JWN	S	20,000	47.81	12-Jul	26-Jul	1,000.00	5.0
WHITE PINE CAPITAL	030	KEGS	B	1,550	15.41	23-Jul	23-Jul	77.50	5.0
LOOMIS SALES/BOSTON	036	KMX	SS	199,879	24.03	n/a	10-Jan	7,995.16	4.0
BUCK CAPITAL MGMT	001	KSS	S	100,000	61.80	14-Jun	27-Jul	4,000.00	4.0
COUGAR TRADING	044	KSS	S	15,000	61.36	14-Jun	27-Jul	750.00	5.0
Õ Glenn Redemann		LCC	B	3	0.1200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LCC	B	3	0.1900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LCC	SS	3	0.6700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LCC	SS	3	1.0200	n/a	n/a	0.00	0.0
MAGUIRE	039	LEH	B	100	65.62	12-Jun	13-Jul	0.00	0.0
EFSTATHIOU	044	LEH	B	100	64.53	12-Jun	13-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	SS	20,000	35.67	n/a	27-Jul	800.00	4.0
SANDLER O'NEILL ASSET	031	LM	B	10,000	90.16	24-Jul	25-Jul	500.00	5.0
THE BOSTON COMPANY	214	LTD	S	144,000	24.50	16-May	13-Jul	5,760.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		MCO	??	3	0.2000	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	MF	B	25,000	25.37	n/a	n/a	1,250.00	5.0
WEINTRAUB CAPITAL MGT.	040	MOT	S	100,000	17.01	n/a	n/a	5,000.00	5.0
Õ Glenn Redemann		MTB	B	7	1.3100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MTB	B	7	2.3400	n/a	n/a	0.00	0.0
LEDDY	035	MZZ	S	6,000	54.35	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	M	B	30,000	36.54	13-Jul	13-Jul	1,200.00	4.0
PATTEN & PATTEN	067	NEM	S	400	42.11	n/a	n/a	20.00	5.0
GENERAL ELECTIC CO	033	NOC	S	13,728	77.18	n/a	n/a	274.56	2.0
DSG CAPITAL	225	NPO	B	2,000	40.57	n/a	n/a	100.00	5.0
GALLEON MGMT LP	033	NUS	B	20,000	15.74	n/a	n/a	1,000.00	5.0
SAGE ASSET MGMT	012	NWA	B	115,000	18.63	n/a	n/a	5,750.00	5.0
HIGHBRIDGE CAPITAL	038	OWW	S	105,200	12.29	n/a	n/a	5,260.00	5.0
SARAS CAPITAL	038	PAG	SS	20,000	19.82	n/a	25-Jul	1,000.00	5.0
HIGHBRIDGE CAPITAL	038	PCH	B	57,200	43.94	27-Jul	27-Jul	2,860.00	5.0
Õ Glenn Redemann		PDGI	??	3	0.1500	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRASSANO	053	PENN	B	100	57.37	n/a	n/a	0.00	0.0
GOLD SACHS SPECIAL SIT	030	PETM	B	100,000	33.23	n/a	n/a	5,000.00	5.0
COUGAR TRADING	044	PLCE	S	5,000	34.41	12-Apr	9-Jul	250.00	5.0
LUCHANSKY	036	PLCE	B	200	35.98	12-Apr	9-Jul	0.00	0.0
LUCHANSKY	036	PLCE	S	200	35.28	12-Apr	9-Jul	0.00	0.0
IMPALA ASSET MMT	012	PPC	S	122,848	33.82	n/a	n/a	3,685.44	3.0
GOLD SACHS SPECIAL SIT	030	PSUN	SS	69,000	18.71	n/a	13-Jul	3,450.00	5.0
IMPALA ASSET MMT	012	PXP	B	100,000	43.95	n/a	n/a	3,000.00	3.0
MAGUIRE	039	QID	S	200	43.81	n/a	n/a	0.00	0.0
BRENCOURT	028	RIG	SS	100,000	109.00	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT	001	RL	B	15,000	92.54	21-Jun	27-Jun	600.00	4.0
BLACKROCK/BOSTON	039	ROLL	B	2,986	38.26	n/a	n/a	134.37	4.5
GOLD SACHS SPECIAL SIT	030	SHLD	S	10,000	138.46	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	30,000	21.51	n/a	13-Mar	1,200.00	4.0
BODRI CAPITAL MGMT	035	SOV	S	37,900	19.71	n/a	n/a	1,895.00	5.0
GOLD SACHS SPECIAL SIT	030	SPLS	S	175,000	23.23	n/a	27-Jul	8,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IMPALA ASSET MMT	012	SU	S	150,000	91.86	n/a	n/a	4,500.00	3.0
HAYGROUND COE	039	TGT	B	124,300	62.68	n/a	27-Jul	6,215.00	5.0
COUGAR TRADING	044	TIF	B	10,000	50.24	1-Jun	13-Jun	500.00	5.0
THE BOSTON COMPANY	214	TJX	B	106,000	28.38	13-Jul	13-Jul	4,240.00	4.0
U.S.TRUST COMPANY	012	TJX	B	55,000	28.81	13-Jul	13-Jul	2,475.00	4.5
SAC TRADING/BILLY	063	TOL	S	250,000	22.47	n/a	n/a	12,500.00	5.0
DIAMONDBACK CAP MGMT	232	TROW	C	10,000	52.43	n/a	25-Jul	500.00	5.0
SANDLER O'NEILL ASSET	031	TROW	S	10,000	52.32	n/a	25-Jul	500.00	5.0
JP MORGAN VENTURES	046	TWB	C	22,300	39.25	2-Jul	2-Jul	1,115.00	5.0
LEDDY	035	TWM	S	800	70.90	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	UAUA	B	134,800	44.25	n/a	n/a	4,044.00	3.0
BEAR STEARNS ASSET MGMT.	033	URBN	S	66,100	20.85	31-Jul	31-Jul	2,644.00	4.0
COUGAR TRADING	044	URBN	S	20,000	20.79	31-Jul	31-Jul	1,000.00	5.0
REINHART & MAHONEY	031	URBN	B	37,050	20.85	31-Jul	31-Jul	1,852.50	5.0
HIGHBRIDGE CAPITAL	038	URS	B	3,000	50.67	n/a	n/a	150.00	5.0
IMPALA ASSET MMT	012	USU	B	71,000	17.23	n/a	n/a	2,130.00	3.0
IMPALA ASSET MMT	012	VOE	S	86,800	14.17	n/a	n/a	2,604.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DIAMONDBACK CAP MGMT	232	WDR	S	8,200	25.96	13-Jul	24-Jul	410.00	5.0
WESLEY CAPITAL	246	WMT	B	16,800	46.39	4-Jun	27-Jul	672.00	4.0
HIGHBRIDGE CAPITAL	038	WY	B	200,000	72.42	13-Jul	13-Jul	10,000.00	5.0
COUGAR TRADING	044	ZQK	B	5,000	13.02	9-Mar	27-Jun	250.00	5.0
TOTAL (Trade Date):							200,409.65		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRT	07/31	GOLD SACHS SPECIAL SIT (GSSSGOLDMA)	030	BONT	SS	107,000	26.38	6-Jul	13-Jul	5350.00	5.0
AO,CXL	07/30	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	BWS	B	115,400	21.60	n/a	n/a	4616.00	4.0
AO,	07/30	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	BWS	B	120,000	21.58	n/a	n/a	4800.00	4.0
AO,	07/26	GENERAL ELECTIC CO (GEICGEM4)	033	DNA	B	374	76.62	n/a	n/a	7.48	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICGEI3)	033	DNA	B	530	76.62	n/a	n/a	10.60	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICGE9X)	033	DNA	B	8,651	76.62	n/a	n/a	173.02	2.0
AO,	07/26	GENERAL ELECTIC CO (GEIC8X7W)	033	DNA	B	929	76.62	n/a	n/a	18.58	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICZW80)	033	DNA	B	105	76.62	n/a	n/a	2.10	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICGH16)	033	DNA	B	512	76.62	n/a	n/a	10.24	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICZW35)	033	DNA	B	807	76.62	n/a	n/a	16.14	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICZW60)	033	DNA	B	561	76.62	n/a	n/a	11.22	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICZQ50)	033	DNA	B	5,830	76.62	n/a	n/a	116.60	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICZQ7D)	033	DNA	B	158	76.62	n/a	n/a	3.16	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICZQ92)	033	DNA	B	180	76.62	n/a	n/a	3.60	2.0
AO,	07/26	GENERAL ELECTIC CO (GEICZW59)	033	DNA	B	106	76.62	n/a	n/a	2.12	2.0
AO,	07/30	HAYGROUND COE (HAYGFUND)	039	GPS	S	218,200	17.50	n/a	13-Jul	10910.00	5.0
AO,CXL	07/30	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	SKX	B	44,600	21.28	n/a	13-Mar	1784.00	4.0
AO,	07/30	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	SKX	B	50,000	21.28	n/a	13-Mar	2000.00	4.0
AO,CXL	07/25	BRANDWINE (BWAM4807)	028	USB	B	1,300	31.02	n/a	n/a	58.50	4.5
TOTAL (AO, CXL, CRT) :										16,976.36	

TOTAL (Trade Date):	200,409.65
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TOTAL (AO, CXL, CRT):	16,976.36
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TOTAL (RUN DATE) / NFS:	217,386.01
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION