



Daily Compliance Activity Report

Trade Date: 02/22/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	B	10,000	119.27	n/a	n/a	non-brg	4.0
SUTERA	060	ABP	S	1,000	3.67	n/a	n/a	0.00	0.0
COUGAR TRADING	044	AEO	B	10,000	21.54	5-Feb	8-Feb	500.00	5.0
KENNEDY CAPITAL	046	ALV	S	8,865	50.83	5-Feb	5-Feb	354.60	4.0
ROXBURY CAPITAL MGMT	035	AMG	B	19,500	92.90	9-Jan	21-Feb	780.00	4.0
FIRST NY SEC/CHRIS	041	AN	SS	25,000	15.01	n/a	8-Feb	1,250.00	5.0
AVESTA CAP	229	AVP	S	50,000	37.29	5-Feb	21-Feb	2,500.00	5.0
BUCK	015	BIIB	B	600	59.78	n/a	n/a	0.00	0.0
SUTERA	060	BRLC	S	1,000	0.98	n/a	n/a	0.00	0.0
MFP INVESTORS	032	CBT	B	4,000	27.50	n/a	30-Jan	200.00	5.0
BUCK	015	CELG	SS	500	53.69	n/a	n/a	0.00	0.0
S CAST	016	CHCG	B	200	2.89	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	CHK	S	8,710	43.74	n/a	n/a	348.40	4.0
MANNING & NAPIER	034	CHTR	B	361,700	1.02	n/a	n/a	10,851.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JPSI/TOM LEDDY	035	CLX	B	6,900	56.33	n/a	5-Feb	345.00	5.0
MERRILL ASSET	032	CNB	B	14,800	13.07	n/a	n/a	666.00	4.5
B BUCK CAPITAL MGMT		COLM	SS	10,000	42.69	n/a	n/a	non-brg	4.0
IRIDIAN ASSET MGMT.	036	CSCO	B	38,710	23.37	n/a	22-Mar	1,935.50	5.0
SUTERA	060	CUR	S	1,000	3.14	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	C	B	50,000	24.70	16-Jan	21-Feb	2,500.00	5.0
BUCK	015	C	C	1,400	24.32	16-Jan	21-Feb	0.00	0.0
B BUCK CAPITAL MGMT		DAI	SS	10,000	81.65	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	B	30,000	121.38	n/a	n/a	non-brg	2.5
GRISANTI BROWN & PARTNER	048	DELL	C	16,600	19.35	n/a	n/a	830.00	5.0
MERRILL ASSET	032	DSL	B	20,419	29.74	n/a	n/a	918.86	4.5
N STRATTON	014	DSNY	B	2,000	0.66	n/a	n/a	0.00	0.0
WHEELER	008	DUG	B	3,000	40.24	n/a	n/a	0.00	0.0
MAGUIRE	090	DUG	B	3,000	40.72	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	EL	SS	25,000	41.60	4-Jan	4-Feb	1,250.00	5.0
BUCK	015	FITB	SS	1,000	24.10	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLE	N24	FRE	B	100	25.81	n/a	n/a	0.00	0.0
MAGUIRE	039	FXERO	S	10	1.90	n/a	n/a	0.00	0.0
EATON VANCE MGMT	039	GD	B	50,000	83.01	n/a	n/a	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		GM	SS	10,000	24.03	3-Jan	13-Feb	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GM	SS	30,000	23.92	3-Jan	13-Feb	non-brg	4.0
WAGONHOUND	048	GM	SS	30,750	23.50	3-Jan	13-Feb	1,230.00	4.0
WASHINGTON ASSET	048	GM	SS	10,000	24.02	3-Jan	13-Feb	500.00	5.0
BULL PATH CAPITAL	044	GPS	S	35,000	19.56	n/a	8-Feb	1,750.00	5.0
S CAST	016	GSI	B	1,000	8.01	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	GS	B	10,000	172.28	21-Sep	21-Feb	500.00	5.0
HARVEST MGMT	039	GYMB	S	30,000	39.07	n/a	8-Feb	1,500.00	5.0
KENNEDY CAPITAL	046	HLX	S	50,340	32.17	n/a	n/a	2,013.60	4.0
BUCK CAPITAL MGMT	001	HON	SS	10,000	55.49	n/a	25-Jan	400.00	4.0
⚡ BUCK CAPITAL MGMT		HON	SS	10,000	55.90	n/a	25-Jan	non-brg	4.0
KENNEDY CAPITAL	046	IFF	S	33,270	42.48	14-Dec	30-Jan	1,330.80	4.0
BENCHMARK	028	JCG	B	10,000	41.89	28-Nov	21-Feb	500.00	5.0
COUGAR TRADING	044	JCG	B	5,000	40.69	28-Nov	21-Feb	250.00	5.0
AMBER CAPITAL	046	JCP	SS	160,000	47.88	4-Jan	22-Feb	6,400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		JCP	SS	70,000	47.50	4-Jan	22-Feb	non-brg	4.0
COUGAR TRADING	044	JCP	B	10,000	48.39	4-Jan	22-Feb	500.00	5.0
B BUCK CAPITAL MGMT		JWN	SS	60,000	34.87	14-Feb	14-Feb	non-brg	4.0
GRISANTI BROWN & PARTNER	048	KBR	B	4,100	32.64	n/a	n/a	205.00	5.0
BALYASNY ASSET MGMT	044	KSS	B	15,000	44.30	4-Jan	21-Feb	750.00	5.0
COUGAR TRADING	044	KSS	B	10,000	44.26	4-Jan	21-Feb	500.00	5.0
SAC TRADING HOWARD	038	KSS	SS	15,000	44.32	4-Jan	21-Feb	750.00	5.0
BUCK	015	KSS	SS	800	44.42	4-Jan	21-Feb	0.00	0.0
B BUCK CAPITAL MGMT		LAMR	S	10,000	39.91	n/a	n/a	non-brg	4.0
KENNEDY CAPITAL	046	LDG	S	36,370	47.96	n/a	n/a	1,454.80	4.0
MATLIN PATTERSON	046	LEA	SS	30,000	28.79	n/a	n/a	1,500.00	5.0
B BUCK CAPITAL MGMT		LECO	C	10,000	66.40	n/a	n/a	non-brg	2.5
HART	056	LEH	B	9	52.45	n/a	21-Feb	0.00	0.0
ROARING BLUE LION MGMT	012	LM	S	14,500	68.12	17-Dec	21-Feb	580.00	4.0
B BUCK CAPITAL MGMT		LTM	B	10,000	36.93	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		LTM	B	60,000	32.61	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		LTM	B	70,000	34.85	n/a	n/a	non-brg	2.5
MERRILL LYNCH STRATEGICI	034	MCD	SS	10,000	54.47	n/a	24-Apr	500.00	5.0
EATON VANCE MGMT	039	MIR	B	25,000	36.74	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	MSFT	C	1,000	27.43	n/a	3-Apr	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		MVRX	SS	65,000	143.33	n/a	n/a	non-brg	14.3
WHEELER	008	MYE	S	5,000	13.30	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	NUE	S	5,770	64.87	n/a	n/a	230.80	4.0
MATRIX ASSET ADV.	036	ODP	S	10,100	14.05	n/a	27-Jul	303.00	3.0
LISTED ERR	099	ODP	B	78	13.88	n/a	27-Jul	0.00	0.0
SONAR CAP MGMT	039	ORCL	SS	85,000	18.35	n/a	n/a	3,400.00	4.0
PILOT ADV	038	PAG	SS	40,000	18.79	6-Feb	20-Feb	1,600.00	4.0
Ⓐ BUCK CAPITAL MGMT		PETM	SS	10,000	21.92	n/a	n/a	non-brg	2.5
COUGAR TRADING	044	PSUN	B	15,000	10.85	n/a	8-Feb	750.00	5.0
COUGAR TRADING	044	PVH	B	5,000	36.69	5-Feb	15-Feb	250.00	5.0
Ⓐ BUCK CAPITAL MGMT		QYS+DF	C	1,800	3.02	n/a	n/a	non-brg	300.0
Ⓐ BUCK CAPITAL MGMT		RIG	SS	10,000	135.69	n/a	n/a	non-brg	4.0
FIRST NY SEC/CHRIS	041	SHPGY	S	10,000	58.78	22-Feb	22-Feb	600.00	6.0
LEHMAN PROPRIETARY TRAD	041	SIAL	SS	15,000	54.52	20-Feb	20-Feb	600.00	4.0
GAULT	063	SKF	B	1,000	112.43	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	SKX	S	200,000	22.06	n/a	13-Mar	8,000.00	4.0
MS PRINCIPAL STRATEGIES	041	SOV	B	27,736	11.45	n/a	n/a	1,386.80	5.0
MAGUIRE	090	SRS	B	1,000	115.50	n/a	n/a	0.00	0.0
SWIS	035	SSCC	B	21,500	8.30	8-Jan	30-Jan	860.00	4.0
GAULT	063	SSO	B	500	67.64	n/a	n/a	0.00	0.0
MERRILL ASSET	032	STC	B	14,900	29.79	n/a	n/a	670.50	4.5
EATON VANCE MGMT	039	SWY	B	25,000	29.96	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		SYNP	S	20,000	4.31	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		TEN	SS	10,000	26.37	n/a	n/a	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	TEX	B	81,850	67.15	n/a	n/a	3,069.38	3.8
⌘ BUCK CAPITAL MGMT		THI	SS	10,000	34.76	n/a	24-Apr	non-brg	4.0
WAGONHOUND	048	TIF	S	27,125	36.60	14-Jan	8-Feb	1,085.00	4.0
KENNEDY CAPITAL	046	TJX	S	13,285	32.20	n/a	21-Feb	531.40	4.0
LEVIN CAPITAL STRATEGIES	012	TJX	SS	10,000	32.18	n/a	21-Feb	400.00	4.0
⌘ BUCK CAPITAL MGMT		TPX	B	10,000	16.91	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		TSCO	SS	20,000	37.98	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		VCGH	S	20,000	10.86	n/a	n/a	non-brg	2.5
HART	056	VC	B	140	3.55	n/a	15-Feb	0.00	0.0
BUCK CAPITAL MGMT		VIRL	S	5,000	6.66	n/a	n/a	non-brg	4.0
HEALY CIRCLE	044	VLO	SS	25,000	59.00	n/a	n/a	1,250.00	5.0
EGS ASSOCIATES LP	091	WRNC	B	3,000	34.58	14-Jan	21-Feb	180.00	6.0
BUCK CAPITAL MGMT		WSO	C	10,000	34.60	n/a	n/a	non-brg	4.0
FORW	033	ZQK	B	7,000	8.89	5-Feb	15-Feb	350.00	5.0
TOTAL (Trade Date) :								77,860.44	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.
AO,	02/21	COHE & STEERS	091	PCL	B	124,400	40.41	8-Jan	30-Jan	6220.00 5.0
TOTAL (AO, CXL, CRT) :										6,220.00

TOTAL (Trade Date):	77,860.44
TOTAL (AO, CXL, CRT):	6,220.00

TOTAL (RUN DATE) / NFS:	84,080.44
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MRI Securities with Trade Activity on 22-Feb.
SHPGY

MRI Securities without Trade Activity on 22-Feb.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 20%
YTD = 26%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION