



Daily Compliance Activity Report

Trade Date: 07/17/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	S	48,200	150.24	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	AEO	B	158,000	14.15	25-Jun	10-Jul	6,320.00	4.0
BUCK CAPITAL MGMT		AEO	B	200,000	14.03	25-Jun	10-Jul	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	7,000	14.25	25-Jun	10-Jul	non-brg	1.0
EPOCH INV MGMT	030	AET	B	950	26.67	n/a	n/a	38.00	4.0
BUCK CAPITAL MGMT		AGCO	S	20,000	29.66	29-Jun	29-Jun	non-brg	4.0
JOSEPH CIRO AMATURO	FID	AMAG	B	500	50.18	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	AMP	B	950	24.69	n/a	n/a	38.00	4.0
BUCK CAPITAL MGMT		APV+GH[???	C	291	9.52	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		AXL	S	279,682	1.33	n/a	9-Jul	non-brg	1.0
LAGRANGE CAP ADV	048	AXL	B	20,000	1.31	n/a	9-Jul	600.00	3.0
TRELLUS PARTNERS LP	028	AXL	B	200,000	1.37	n/a	9-Jul	6,000.00	3.0
JOSEPH CIRO AMATURO	FID	BAC	S	5000	12.86	11-May	10-Jul	0.00	0.0
L.R.BURTSCHY & CO	045	BAC	B	25,000	12.90	11-May	10-Jul	1,500.00	6.0
TROUBH PART	067	BAC	S	25,000	13.06	11-May	10-Jul	1,250.00	5.0
EPOCH INV MGMT	030	BA	B	700	42.31	n/a	n/a	28.00	4.0
JOSEPH CIRO AMATURO	FID	BGU	B	1000	35.57	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOSEPH CIRO AMATURO	FID	BGU	S	2000	36.06	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	BGZ	B	2000	32.18	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		BIG	B	150,000	21.52	28-May	6-Jul	non-brg	4.0
	EPOCH INV MGMT	030	BK	B	1,050	29.63	11-May	10-Jul	42.00	4.0
	EPOCH INV MGMT	030	BSX	B	2,100	10.20	n/a	22-Apr	84.00	4.0
B	BUCK CAPITAL MGMT		BWLD	C	20,000	34.36	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		BYI	S	50,000	33.91	n/a	n/a	non-brg	4.0
	ACK ASSET	028	CAKE	B	21,000	17.54	29-May	17-Jul	630.00	3.0
B	BUCK CAPITAL MGMT		CIT	S	1,000,000	0.57	16-Jul	16-Jul	non-brg	0.5
B	BUCK CAPITAL MGMT		CIT	S	386,415	0.55	16-Jul	16-Jul	non-brg	0.5
	IVORY CAPITAL	034	CIT	B	1,000,000	0.69	16-Jul	16-Jul	5,000.00	0.0
	BUCK CAPITAL MGMT	001	CLX	B	50,000	58.21	15-Jun	15-Jun	2,000.00	4.0
	EPOCH INV MGMT	030	CMCSK	B	2,100	13.73	n/a	n/a	84.00	4.0
	BUCK CAPITAL MGMT	001	COH	S	5,000	26.57	n/a	16-Jul	200.00	4.0
B	BUCK CAPITAL MGMT		COH	S	20,000	26.37	n/a	16-Jul	non-brg	4.0
	BMO NESBITT BURNS	041	CYCL	S	10,000	7.61	n/a	n/a	300.00	3.0
	BUCK CAPITAL MGMT	001	DAI	SS	20,000	40.79	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	DKS	B	10,000	18.69	27-Apr	20-May	500.00	5.0
BUCK CAPITAL MGMT	001	EL	SS	20,000	33.12	5-May	5-May	800.00	4.0
EPOCH INV MGMT	030	ERTS	B	850	21.55	n/a	n/a	34.00	4.0
BUCK CAPITAL MGMT		FOSL	S	35,000	24.24	n/a	30-Jan	non-brg	4.0
NEUBERGER BERMAN	036	FRT	B	3,400	51.80	n/a	n/a	153.00	4.5
BMO NESBITT BURNS	041	F	B	50,000	6.08	n/a	16-Jul	2,500.00	5.0
COOPER CREEK PART	028	F	B	25,000	6.10	n/a	16-Jul	750.00	3.0
TRELLUS PARTNERS LP	028	F	B	100,000	6.07	n/a	16-Jul	3,000.00	3.0
BUCK CAPITAL MGMT		GME	B	120,000	21.87	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GME	B	177,300	22.00	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GME	B	62,700	21.71	n/a	n/a	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	041	HLF	S	50,000	31.84	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		HOG	SS	20,000	18.65	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	IP	SS	25,000	16.16	23-Jun	23-Jun	1,250.00	5.0
OZ MGMT	040	IP	S	125,653	16.28	23-Jun	23-Jun	3,769.59	3.0
BMO NESBITT BURNS	041	IR	S	39,700	21.74	n/a	1-Jul	1,985.00	5.0
WAGONHOUND/NIC	048	JCI	S	13,617	21.22	2-Jun	2-Jun	544.68	4.0
HUNTER GLOBAL INVESTOR	067	JKHY	SS	50,000	20.75	n/a	n/a	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		JOSB	B	2,754	35.03	n/a	n/a	non-brg 1.0
f ANTHONY NG	FID	KEY	B	100	5.08	n/a	n/a	0.00 0.0
f MARK MEGALLI	FID	KPPC	S	2000	4.87	n/a	n/a	0.00 0.0
B BUCK CAPITAL MGMT		KSS+GI[???	C	965	1.15	n/a	n/a	non-brg 100.0
f ABRAM M CHAPPELL	FID	LEN	S	125	9.80	n/a	n/a	0.00 0.0
ISLE RIDGE PARTNERS	041	LTD	SS	1,000	11.62	30-Jan	30-Jan	50.00 5.0
f EDWARD W WHEELER	FID	METAG	S	100	2.70	n/a	n/a	0.00 0.0
MISSION GLOBAL LLC	041	MOT	S	50,000	6.19	n/a	n/a	1,750.00 3.5
ISLE RIDGE PARTNERS	041	M	S	1,000	11.94	n/a	10-Jul	50.00 5.0
B BUCK CAPITAL MGMT		NTY	B	20,000	30.50	n/a	n/a	non-brg 4.0
ARAGON GLOBAL	041	PCLN	B	6,000	115.83	n/a	n/a	300.00 5.0
PROSPECTOR PARTNERS LLC	036	PCZ	S	10,000	38.49	n/a	n/a	400.00 4.0
B BUCK CAPITAL MGMT		PERY	S	14,699	7.50	n/a	n/a	non-brg 1.0
f JILL ROSENBERG	FID	PKG	S	100	17.58	8-Apr	23-Jun	0.00 0.0
B BUCK CAPITAL MGMT		PLCE	B	9,864	29.27	19-Mar	10-Jul	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		PSS	S	100,000	14.32	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		QAA+GT[???	C	482	50.29	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		QZS+GF[???	S	1	4.90	n/a	n/a	non-brg	100.0
PRINCIPLE CAPITAL	040	RCL	SS	55,600	13.61	22-Apr	24-Apr	1,668.00	3.0
BUCK CAPITAL MGMT	001	RL	S	15,000	55.48	25-Jun	25-Jun	600.00	4.0
CARDINAL CAPITAL	044	RX	S	31,200	13.15	n/a	n/a	1,560.00	5.0
BUCK CAPITAL MGMT		SGMS	C	10,000	15.45	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		SGMS	C	10,000	15.47	n/a	n/a	non-brg	1.5
BUCK CAPITAL MGMT		SGMS	C	144,592	15.44	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		SHLD	SS	25,000	62.18	n/a	n/a	non-brg	1.5
BUCK CAPITAL MGMT		SHLD	SS	75,000	62.31	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		SKX+GB[???	C	1,940	1.95	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	SKX	S	50,000	11.83	n/a	13-Mar	2,000.00	4.0
BUCK CAPITAL MGMT		SKX	S	194,000	11.95	n/a	13-Mar	non-brg	1.0
JP MORGAN VENTURES	046	SKX	S	30,000	11.93	n/a	13-Mar	1,500.00	5.0
BUCK CAPITAL MGMT		SPLS	SS	40,000	20.42	n/a	27-Jul	non-brg	4.0
JP MORGAN VENTURES	046	SVU	S	25,000	13.54	n/a	n/a	1,250.00	5.0
CATAPULT	040	TGI	SS	31,000	39.26	n/a	n/a	1,550.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOSEPH CIRO AMATURO	FID	TIN	B	1000	14.10	9-Jul	9-Jul	0.00	0.0
f JOSEPH CIRO AMATURO	FID	TIN	S	1000	14.15	9-Jul	9-Jul	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	TITN	B	400	12.25	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	TMO	B	850	40.71	n/a	n/a	34.00	4.0
ß BUCK CAPITAL MGMT		UA	SS	15,000	22.74	29-Apr	16-Jul	non-brg	4.0
ELM RIDGE ADVISORS	044	UFS	B	34,850	15.08	n/a	n/a	1,394.00	4.0
ß BUCK CAPITAL MGMT		URBN	S	40,000	21.48	30-Jan	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		WFMI	C	100,000	21.54	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WHR	SS	40,000	53.84	n/a	n/a	non-brg	4.0
ARAGON GLOBAL	041	WMT	SS	21,600	48.44	11-May	6-Jul	1,080.00	5.0
ß BUCK CAPITAL MGMT		WRC+GE[???	C	478	9.78	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		WRC+GE[???	C	522	9.50	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		WRC	S	47,800	34.73	13-May	13-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	S	52,200	34.50	13-May	13-May	non-brg	2.0
COUGAR TRADING	044	WRC	B	5,000	34.58	13-May	13-May	250.00	5.0
ß BUCK CAPITAL MGMT		WYNN	SS	50,000	36.38	n/a	14-Jul	non-brg	4.0
ISLE RIDGE PARTNERS	041	XHB	B	10,000	12.53	n/a	n/a	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		XLY	SS	150,000	23.68	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		XLY	SS	200,000	23.66	n/a	n/a	non-brg	2.0
EPOCH INV MGMT	030	XOM	B	800	68.25	n/a	n/a	32.00	4.0
NEWCASTLE FUNDS	255	YUM	SS	36,303	33.81	n/a	15-Jul	1,452.12	4.0
TOTAL (Trade Date):								62,120.39	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/16	TPG-AXON	040	BAC	S	500,000	13.11	11-May	10-Jul	25000.00 5.0
AO,CRT	07/16	CAVU CAP ADV	028	ETN	B	35,000	44.83	27-May	19-Jun	1750.00 5.0
AO,CXL	07/16	CAVU CAP ADV	028	ETN	B	35,000	44.83	27-May	19-Jun	1750.00 5.0
TOTAL (AO, CXL, CRT) :										25,000.00

TOTAL (Trade Date) :	62,120.39
TOTAL (AO, CXL, CRT) :	25,000.00

TOTAL (RUN DATE) / NFS:	87,120.39
--------------------------------	------------------

MRI Securities with Trade Activity on 17-Jul.
NONE

MRI Securities without Trade Activity on 17-Jul.
AOS, PRX

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 13%
YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)