



Daily Compliance Activity Report

Trade Date: 06/04/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LOOMIS SALES/BOSTON	036	ADM	C	19,500	34.00	n/a	n/a	780.00	4.0
BUCK CAPITAL MGMT	001	AEO	S	120,000	27.18	n/a	4-Jun	4,800.00	4.0
GENERAL ELECTIC CO	033	AFL	S	49,495	52.93	n/a	n/a	989.90	2.0
HUNTER GLOBAL INVESTOR	067	AMX	S	48,100	62.07	n/a	n/a	2,405.00	5.0
BUCK CAPITAL MGMT	001	ANF	SS	50,000	81.31	n/a	4-Jun	2,000.00	4.0
BUCK CAPITAL MGMT	001	ANN	SS	100,000	38.79	25-May	4-Jun	4,000.00	4.0
GASNER	025	AOS	B	50	40.85	18-Apr	18-Apr	0.00	0.0
CANTILLON CAPITAL	040	AV	B	1,000,000	16.71	n/a	22-Mar	50,000.00	5.0
KANTROWIT	N21	BBY	S	50	49.25	1-May	11-May	0.00	0.0
GRUBER MACBAINE	033	BLK	B	5,000	152.98	25-May	31-May	200.00	4.0
HIGHBRIDGE CAPITAL	038	BNI	B	22,000	93.49	n/a	n/a	1,100.00	5.0
KAICHER	064	COLY	S	1,000	8.61	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	DDS	B	100,000	36.98	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	DSW	B	20,000	37.53	7-May	11-May	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	035	EDA	B	1,500	11.65	n/a	n/a	0.00	0.0
LATEFF MGMT	040	ETM	B	5,000	26.63	n/a	n/a	550.00	11.0
UBS OCONNOR	031	GNTX	SS	65,000	18.32	n/a	24-Apr	1,950.00	3.0
HAYGROUND COE	039	HD	B	75,000	39.41	n/a	16-May	3,750.00	5.0
NWQ INVESTMENT MGMT CO	048	HMB	S	20,100	1.97	n/a	n/a	402.00	2.0
LISTED ERR	099	IGT	S	1,600	40.27	n/a	20-Apr	0.00	0.0
LEDDY	035	IP	SS	1,000	39.84	4-May	4-May	0.00	0.0
KANTROWITZ	N21	ITCD	B	215	6.55	n/a	n/a	0.00	0.0
CASEY CAPITAL	067	IVZ	B	10,000	24.50	26-Apr	23-May	500.00	5.0
HUNTER GLOBAL INVESTOR	067	IYR	B	122,300	86.13	n/a	n/a	3,669.00	3.0
JP MORGAN VENTURES	046	JCP	B	12,100	82.19	20-Apr	4-Jun	605.00	5.0
THE JAY GOLDMAN	034	JCP	S	15,000	82.18	20-Apr	4-Jun	600.00	4.0
AAD CAPITAL	048	JNS	SS	105,300	28.34	26-Apr	23-May	4,212.00	4.0
ROTHSCHILD ASSET	040	JWN	S	32,070	53.33	27-Feb	4-Jun	1,443.15	4.5
BUCK CAPITAL MGMT	001	KSS	B	150,000	76.23	n/a	18-May	6,000.00	4.0
THIRD COAST CAPITAL	035	LIZ	B	15,000	36.54	n/a	2-May	750.00	5.0

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DISIPLINED GROWTH INV.	030	LTD	B	2,100	26.91	16-May	4-Jun	105.00	5.0
OSS CAPITAL/KEVIN DANN	067	LXK	B	43,100	51.76	n/a	n/a	1,293.00	3.0
CR INTRINIC INVESTMENT	044	MEDI	B	705,879	57.96	n/a	n/a	35,293.95	5.0
CAPITAL GROWTH MGMT.	241	MER	S	100,000	91.21	20-Apr	30-May	5,000.00	5.0
MILLENIUM PARTNERS	028	M	S	30,000	40.44	n/a	n/a	1,500.00	5.0
THE JAY GOLDMAN	034	M	B	35,000	40.34	n/a	n/a	1,400.00	4.0
TRUST CO/COWEN/CALF.	040	NOK	S	94,055	28.48	n/a	n/a	4,702.75	5.0
BUCK CAPITAL MGMT	001	OXM	S	50,000	45.46	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	PSS	B	10,000	35.01	n/a	n/a	400.00	4.0
HIGHBRIDGE CAPITAL	038	Q	B	15,000	10.28	n/a	n/a	750.00	5.0
DISIPLINED GROWTH INV.	030	RCL	B	1,300	44.26	n/a	2-May	65.00	5.0
STRAUSS ASSET MGMT	040	RDC	S	10,000	39.69	n/a	n/a	500.00	5.0
ENTRUST CAPITAL	040	RNT	B	50,000	29.56	n/a	n/a	2,500.00	5.0
HUNTER GLOBAL INVESTOR	067	RTH	B	46,300	108.21	n/a	n/a	1,389.00	3.0
FIRST NEW YORK LEDDY	035	RUS	B	10,000	17.78	n/a	n/a	600.00	6.0
PRINCIPLE CAPITAL	040	SKX	B	200,000	33.09	n/a	13-Mar	6,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	SKX	B	200,000	33.14	n/a	13-Mar	0.00	0.0
LISTED ERR	099	SKX	S	200,000	33.09	n/a	13-Mar	0.00	0.0
DISCIPLINED GROWTH INV.	030	TJX	B	2,000	28.51	21-Mar	4-Jun	100.00	5.0
GASNER	025	TYC	B	75	33.75	8-May	1-Jun	0.00	0.0
GASNER	025	UFPI	SS	100	48.45	n/a	n/a	0.00	0.0
ROYCE TRADING	216	UNT	B	20,000	62.57	n/a	4-Jun	1,000.00	5.0
J L F ASSET MGMT	039	UST	SS	47,000	53.42	n/a	n/a	1,880.00	4.0
ACT II PARTNERS	067	VG	B	100	3.01	9-Mar	23-May	4.00	4.0
J L F ASSET MGMT	039	WMT	S	88,150	50.84	4-Jun	4-Jun	3,526.00	4.0
SEAROCK CAPITAL MANAGE	012	WMT	S	100,900	50.91	4-Jun	4-Jun	5,045.00	5.0
TRIBECA	038	WMT	B	10,000	50.86	4-Jun	4-Jun	400.00	4.0
ACT II PARTNERS	067	XOHO	S	39,100	4.75	n/a	n/a	1,564.00	4.0
BUCK CAPITAL MGMT	001	ZQK	S	100,000	13.85	9-Mar	17-Apr	4,000.00	4.0
TOTAL (Trade Date):								176,523.75	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	AVR	B	50,000	17.21	n/a	n/a	2500.00	5.0
AO,CRT	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	AVR	B	50,000	17.21	n/a	n/a	1500.00	3.0
AO,CXL	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	FMD	SS	50,000	38.26	n/a	n/a	2500.00	5.0
AO,CRT	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	FMD	SS	50,000	38.26	n/a	n/a	1500.00	3.0
AO,	05/31	UBS O'CONNOR/TOM LEDDY (UBSTLEHM)	035	HPQ	S	77,550	45.62	n/a	n/a	2326.50	3.0
AO,CXL	05/31	UBS O'CONNOR/TOM LEDDY (UBSTPRIME)	035	HPQ	S	275,000	45.62	n/a	n/a	8250.00	3.0
AO,CRT	05/31	UBS O'CONNOR/TOM LEDDY (UBSTPRIME)	035	HPQ	S	197,450	45.62	n/a	n/a	5923.50	3.0
AO,CXL	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	LXK	B	1,400	52.00	n/a	n/a	70.00	5.0
AO,CRT	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	LXK	B	1,400	52.00	n/a	n/a	42.00	3.0
AO,CXL	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	NSR	SS	20,000	29.41	n/a	n/a	1000.00	5.0
AO,CRT	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	NSR	SS	20,000	29.41	n/a	n/a	600.00	3.0
AO,CXL	06/01	UBS O'CONNOR/TOM LEDDY (UBSTPRIME)	035	SMG	B	25,000	45.85	20-Feb	2-May	750.00	3.0
AO,CXL	06/01	UBS O'CONNOR/TOM LEDDY (UBSTPRIME)	035	SMG	B	19,300	45.72	20-Feb	2-May	579.00	3.0
AO,	05/31	UBS OCONNOR (UBSOLEHCHA)	031	SMG	B	7,050	46.01	20-Feb	2-May	211.50	3.0
AO,CXL	05/31	UBS OCONNOR (UBSOWARUBS)	031	SMG	B	25,000	46.01	20-Feb	2-May	750.00	3.0
AO,CRT	05/31	UBS OCONNOR (UBSOWARUBS)	031	SMG	B	17,950	46.01	20-Feb	2-May	538.50	3.0
AO,	06/01	UBS OCONNOR (UBSOLEHCHA)	031	SMG	B	12,493	45.80	20-Feb	2-May	374.79	3.0
AO,	06/01	UBS OCONNOR (UBSOWARUBS)	031	SMG	B	31,807	45.80	20-Feb	2-May	954.21	3.0
AO,	06/01	JP MORGAN VENTURES (JPMVGS)	046	TYC	B	200,000	33.65	8-May	1-Jun	10000.00	5.0
AO,CXL	05/31	TRELLUS PARTNERS LP (TRELMAIN)	028	VC	B	50,000	8.19	n/a	n/a	2500.00	5.0
AO,CRT	05/31	TRELLUS PARTNERS LP (TRELMAIN)	028	VC	B	50,000	8.19	n/a	n/a	1500.00	3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	WHI	SS	25,000	5.53	n/a	n/a	1250.00 5.0
AO,CRT	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	WHI	SS	25,000	5.53	n/a	n/a	750.00 3.0
AO,CXL	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	XTXI	B	27,195	29.98	n/a	n/a	1359.75 5.0
AO,CRT	06/01	OSS CAPITAL/KEVIN DANN (OSSCBANK O)	067	XTXI	B	27,195	29.98	n/a	n/a	815.85 3.0
TOTAL (AO, CXL, CRT) :										5,528.10
TOTAL (Trade Date):										176,523.75
TOTAL (AO, CXL, CRT):										5,528.10
TOTAL (RUN DATE) / NFS:										182,051.85

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION