



## Daily Compliance Activity Report

Trade Date: 06/16/2010

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GREEN ARROW DOUG	040	AA	B	50,000	11.47	n/a	n/a	2,500.00	5.0
BALYASNY ASSET MGMT	044	ANN	SS	15,000	21.20	n/a	n/a	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>30,000</b>	<b>30.12</b>	<b>n/a</b>	<b>4-Jun</b>	<b>1,200.00</b>	<b>4.0</b>
EXIS CAPITAL	044	ARO	B	5,000	30.20	n/a	4-Jun	250.00	5.0
LAGRANGE CAP ADV	048	AXL	S	60,000	9.75	n/a	3-May	3,000.00	5.0
S.A.C. CAP/ORENSTEIN	044	CE	B	50,000	28.71	n/a	n/a	1,750.00	3.5
BALYASNY ASSET MGMT	044	CHS	SS	50,000	11.61	n/a	5-Feb	2,500.00	5.0
MARINER/TRICADIA	041	CXW	S	15,000	21.12	n/a	n/a	750.00	5.0
BALYASNY ASSET MGMT	044	EAT	C	25,000	16.70	n/a	n/a	1,250.00	5.0
PARTNER REINSURANCE	033	FL	B	32,750	14.61	n/a	16-Jun	1,310.00	4.0
ROYCE TRADING	012	FSS	S	500	6.56	n/a	n/a	25.00	5.0
HOPLITE MGMT	025	GE	C	890,000	16.00	n/a	n/a	35,600.00	4.0
ROYCE TRADING	012	GF	S	1,000	11.62	n/a	n/a	50.00	5.0
ROYCE TRADING	012	GY	B	1,500	5.04	n/a	n/a	75.00	5.0
ROYCE TRADING	012	HAR	S	500	33.69	n/a	25-May	25.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	HBI	C	30,000	28.64	n/a	14-May	1,500.00	5.0
GLG PARTNERS	034	HD	S	75,000	32.01	n/a	19-May	2,250.00	3.0
BENNETT LAWRENCE	028	IMAX	S	13,900	15.80	n/a	n/a	556.00	4.0
HARBORWATCH/DIAMBACK	232	ITW	SS	20,000	46.53	n/a	30-Apr	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>30,000</b>	<b>44.00</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCP</b>	<b>SS</b>	<b>20,000</b>	<b>26.98</b>	<b>n/a</b>	<b>4-Jun</b>	<b>800.00</b>	<b>4.0</b>
EXIS CAPITAL	044	JNY	B	5,000	19.60	n/a	14-May	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KMX</b>	<b>SS</b>	<b>20,000</b>	<b>21.59</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
EXIS CAPITAL	044	KSS	B	5,000	53.10	n/a	4-Jun	250.00	5.0
ROYCE TRADING	012	KSU	S	200	40.16	n/a	n/a	10.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LEG</b>	<b>SS</b>	<b>20,000</b>	<b>22.85</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
THOMPSON SIEGAL&WALMSLEY	029	LHO	B	4,800	23.98	n/a	n/a	192.00	4.0
ASCEND PARTNERS	033	LOW	S	90,000	23.01	n/a	17-May	4,500.00	5.0
BALYASNY ASSET MGMT	044	LTD	B	80,000	25.72	n/a	n/a	4,000.00	5.0
TRELLUS PARTNERS LP	028	LVS	SS	20,000	26.90	n/a	15-Jun	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	012	MAG	B	2,000	1.46	n/a	n/a	60.00	3.0
THE JAY GOLDMAN	028	MAR	S	5,000	35.40	n/a	n/a	200.00	4.0
SNYDER CAPITAL	033	MAT	B	35,635	22.52	n/a	n/a	1,425.40	4.0
BROADARCH CAP/OLD NEWC	070	MGM	S	12,500	12.27	n/a	15-Jun	500.00	4.0
ROYCE TRADING	012	MIG	S	2,500	8.86	n/a	n/a	125.00	5.0
ASCEND PARTNERS	033	MWW	SS	24,121	13.74	n/a	n/a	1,206.05	5.0
BMO NESBITT BURNS	041	MYL	B	5,000	17.63	n/a	16-Jun	500.00	10.0
ASCEND PARTNERS	033	M	B	150,000	21.89	n/a	4-Jun	7,500.00	5.0
EXIS CAPITAL	044	M	B	20,000	21.71	n/a	4-Jun	1,000.00	5.0
KACELA CAPITAL	034	NOV	S	25,000	38.11	n/a	n/a	1,000.00	4.0
ROYCE TRADING	012	ONB	B	10,000	11.28	n/a	n/a	500.00	5.0
SONTERRA CAP	041	PDS	B	54,615	7.67	n/a	n/a	2,184.60	4.0
ROYCE TRADING	012	PIR	S	500	8.00	n/a	n/a	25.00	5.0
ROYCE TRADING	012	PMC	B	5,000	14.71	n/a	n/a	250.00	5.0
ROYCE TRADING	012	REX	S	2,500	18.81	n/a	n/a	125.00	5.0
SOROS	036	SAI	SS	50,000	17.98	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SOROS	036	SGY	S	6,500	14.55	n/a	n/a	260.00	4.0
ROYCE TRADING	012	SOA	S	5,000	15.28	n/a	n/a	250.00	5.0
PECONIC PARTNERS	036	SPY	SS	400,000	111.75	n/a	n/a	20,000.00	5.0
ROYCE TRADING	012	TIN	S	500	22.79	n/a	21-Apr	25.00	5.0
LEVEL GLOBAL SHARED ACCT	257	TPX	B	100,000	34.68	n/a	n/a	3,000.00	3.0
BALYASNY ASSET MGMT	044	TRLG	SS	25,000	27.01	n/a	n/a	1,250.00	5.0
EXIS CAPITAL	044	UA	B	10,000	35.96	n/a	28-Apr	500.00	5.0
SIGMA CAPITAL ASSOCIATES	041	URBN	SS	25,000	36.95	n/a	n/a	875.00	3.5
EXIS CAPITAL	044	WRC	B	5,000	42.90	n/a	14-May	250.00	5.0
<b>TOTAL (Trade Date) :</b>								<b>115,154.05</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/15	STANDARD PACIFIC CAPITAL (SPACJPMORG)	040	BYI	SS	20,000	40.66	n/a	n/a	800.00 4.0
AO,CRT	06/15	STANDARD PACIFIC CAPITAL (SPACJPMORG)	040	BYI	SS	20,000	40.66	n/a	n/a	1000.00 5.0
AO,CXL	06/15	FIRST NY SEC/CHRIS (FNYCHRIS)	041	C	B	25,000	3.97	n/a	15-Jun	0.00 0.0
AO,CRT	06/15	FIRST NY SEC/CHRIS (FNYCHRIS)	041	C	B	25,000	3.97	n/a	15-Jun	750.00 3.0
AO,	06/15	MARINER/TRICADIA (MARITRGSPB)	041	IWM	B	1,018	66.32	n/a	n/a	50.90 5.0
AO,CXL	06/15	MARINER/TRICADIA (MARITRICAD)	041	IWM	B	10,000	66.32	n/a	n/a	500.00 5.0
AO,CRT	06/15	MARINER/TRICADIA (MARITRICAD)	041	IWM	B	8,982	66.32	n/a	n/a	449.10 5.0
AO,CXL	06/15	PAW PARTNERS (PAWPBONY)	045	NUAN	S	10,000	17.25	n/a	n/a	500.00 5.0
AO,CRT	06/15	PAW PARTNERS (PAWPMORGAN)	045	NUAN	S	10,000	17.25	n/a	n/a	500.00 5.0
CRT	06/16	SONTERRA CAP (SONTMSBULK)	041	PDS	B	54,615	7.67	n/a	n/a	2184.60 4.0

**TOTAL (AO, CXL, CRT) :****3,134.60**

TOTAL (Trade Date):

115,154.05

TOTAL (AO, CXL, CRT):

3,134.60

**TOTAL (RUN DATE) / NFS:****118,288.65**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION