



Daily Compliance Activity Report

Trade Date: 02/18/2011

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CADIAN CAPITAL	041	ANF	S	100,000	58.70	n/a	17-Feb	3,000.00	3.0
DSM CAPITAL PARTNERS	085	ARG	B	6,875	64.30	n/a	18-Feb	343.75	5.0
BUCK CAPITAL MGMT	001	ARO	B	50,000	26.60	n/a	4-Feb	1,500.00	3.0
BALYASNY ASSET MGMT	044	ASNA	SS	25,000	31.26	n/a	n/a	1,250.00	5.0
NICOLAS APPELEGATE	084	BTU	S	41,600	65.70	n/a	n/a	832.00	2.0
SUN AMERICA ASSET MGMT	030	CREE	B	15,300	55.20	n/a	n/a	612.00	4.0
COLUMBUS CAP MGMT LLC	084	CVGI	B	10,000	17.57	n/a	n/a	500.00	5.0
SASCO	033	DUK	S	211,500	17.99	n/a	n/a	8,460.00	4.0
NEUBERGER BERMAN	036	EQR	B	7,600	53.99	n/a	n/a	304.00	4.0
DAVIDSON KEMPNER ADVISOR	040	FCX	B	10,000	53.50	n/a	n/a	500.00	5.0
NEUBERGER BERMAN	036	KELYA	B	25,000	22.60	n/a	n/a	1,000.00	4.0
NEUBERGER BERMAN	036	KRC	B	10,600	38.50	n/a	n/a	424.00	4.0
BMO NESBITT BURNS	041	LPX	B	1,000	10.88	n/a	10-Feb	60.00	6.0
SCHOTTENFELD ASSOC	041	LPX	B	30,000	11.02	n/a	10-Feb	900.00	3.0
FARALLON CAP MGMT	040	MSFT	S	150,000	27.06	n/a	n/a	4,500.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	MS	S	45,000	31.00	n/a	14-Feb	2,250.00	5.0
BNP PARIBAS SEC	040	ORLY	B	10,000	55.27	n/a	n/a	500.00	5.0
BNP PARIBAS SEC	040	PBCT	SS	11,390	13.40	n/a	21-Jan	569.50	5.0
SCOUT/UMB	047	PCX	S	54,475	24.67	n/a	n/a	1,634.25	3.0
PATTEN & PATTEN	025	PEP	S	7,350	63.47	n/a	n/a	367.50	5.0
BMO NESBITT BURNS	041	PKG	S	2,000	29.94	n/a	17-Feb	120.00	6.0
NEUBERGER BERMAN	036	REG	B	9,400	43.86	n/a	n/a	376.00	4.0
PATTEN & PATTEN	025	RKT	S	22,850	70.64	n/a	n/a	1,142.50	5.0
TALPION LLC	040	SWC	B	25,000	24.82	n/a	n/a	750.00	3.0
SCOPUS FUND	041	TGT	B	73,043	51.90	n/a	18-Feb	2,921.72	4.0
WOOSTER CAPITAL	035	TGT	B	75,000	51.96	n/a	18-Feb	3,750.00	5.0
MERRILL ASSET/BLACKROCK	266	THFF	S	1,559	32.09	n/a	n/a	62.36	4.0
BALLAST CAP	040	TIN	S	30,000	25.60	n/a	8-Feb	1,500.00	5.0
SENATOR INVESTMENT GROUP	036	TIN	B	50,000	25.46	n/a	8-Feb	1,500.00	3.0
TRUSTCO/SUNTRUST	040	WAG	B	100,000	42.76	n/a	n/a	3,500.00	3.5
TPG-AXON	040	WMT	SS	200,000	55.10	n/a	18-Feb	8,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DAVIDSON KEMPNER ADVISOR	040	X	SS	16,000	61.40	n/a	n/a	800.00	5.0
TOTAL (Trade Date):								53,929.58	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
CRT	02/18	WOOSTER CAPITAL (WOOSGOLDMA)	035	TGT	B	75,000	51.96	n/a 18-Feb	3750.00 5.0

TOTAL (AO, CXL, CRT) :	3,750.00
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TOTAL (Trade Date) :	53,929.58
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TOTAL (AO, CXL, CRT) :	3,750.00
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TOTAL (RUN DATE) / NFS:	57,679.58
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION