



Daily Compliance Activity Report

Trade Date: 01/11/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		7974 JP	B	900	286.97	n/a	n/a	non-brg	43.0
BAIRD INVESTMENT	047	AA	B	400	16.27	n/a	n/a	20.00	5.0
BUCK CAPITAL MGMT		ABFS	B	400	25.66	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ABFS	B	600	25.66	n/a	n/a	non-brg	4.0
BARBARA S LEDDY	FID	AB	B	250	23.23	n/a	n/a	0.00	0.0
KAREN F LEDDY	FID	AB	B	750	23.25	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ANF	B	500	52.88	n/a	10-Jan	non-brg	4.0
BUCK CAPITAL MGMT		ANF	B	800	52.88	n/a	10-Jan	non-brg	4.0
JP MORGAN VENTURES	046	ANN	B	15,000	22.99	n/a	n/a	750.00	5.0
CHRISTOPHER ROBERT C	FID	ANR	B	50	65.94	n/a	n/a	0.00	0.0
CHRISTOPHER ROBERT C	FID	AONE	S	500	9.99	n/a	n/a	0.00	0.0
WILLIAM J GAULT	FID	APC	S	1000	76.43	n/a	11-Jan	0.00	0.0
TRELLUS PARTNERS LP	028	AXL	SS	50,000	15.21	n/a	7-Jan	2,500.00	5.0
BAIRD INVESTMENT	047	BBY	S	300	36.04	n/a	n/a	15.00	5.0
BUCK CAPITAL MGMT		BIG	B	1,300	31.01	n/a	7-Dec	non-brg	4.0
BUCK CAPITAL MGMT		BIG	B	800	31.01	n/a	7-Dec	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	BKS	S	5,000	16.15	n/a	n/a	250.00	5.0
ß BUCK CAPITAL MGMT		BK	B	1,300	30.77	n/a	16-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		BK	B	800	30.77	n/a	16-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		BODY	S	100,000	18.13	n/a	n/a	non-brg	4.0
f REUBEN D DVORETSKY	FID	BRKB	B	50	79.42	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	CACI	B	5,000	52.84	n/a	n/a	200.00	4.0
f JOHN BARTMAN COLE	FID	CFN	B	500	25.30	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CHS	B	100,000	10.73	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		CHS	B	100,000	10.74	n/a	5-Feb	non-brg	4.0
BAIRD INVESTMENT	047	COH	B	9,500	53.98	11-Jan	11-Jan	475.00	5.0
ß BUCK CAPITAL MGMT		COH	B	20,000	54.11	11-Jan	11-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		COLM	SS	20,000	58.70	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		C	B	10,100	4.96	n/a	6-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		C	B	16,500	4.96	n/a	6-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		DAL	B	2,000	12.48	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DAL	B	3,300	12.48	n/a	n/a	non-brg	4.0
METROPOLITAN CAPITAL	044	DAN	S	4,200	18.51	n/a	7-Jan	210.00	5.0
ß BUCK CAPITAL MGMT		DDS	B	300	39.63	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		DDS	B	400	39.63	n/a	n/a	non-brg 4.0
	BAIRD INVESTMENT	047	DD	B	200	49.25	n/a	10-Jan	10.00 5.0
ß	BUCK CAPITAL MGMT		DECK	S	20,000	80.44	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		DFS	B	500	19.21	n/a	7-Jan	non-brg 4.0
ß	BUCK CAPITAL MGMT		DFS	B	800	19.21	n/a	7-Jan	non-brg 4.0
ß	BUCK CAPITAL MGMT		DHI	S	25,000	13.06	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		DHI	S	50,000	13.14	n/a	n/a	non-brg 4.0
	LATEFF MGMT	035	DVN	S	1,500	79.63	n/a	11-Jan	375.00 25.0
	BAIRD INVESTMENT	047	EXPR	B	300	18.51	n/a	n/a	15.00 5.0
f	SUSAN D SCHWARZWALDE	FID	FDLXX	B	307120	1.00	n/a	n/a	0.00 0.0
ß	BUCK CAPITAL MGMT		FINL	B	1,000	16.55	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		FITB	B	1,400	14.48	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		FITB	B	900	14.48	n/a	n/a	non-brg 4.0
	BAIRD INVESTMENT	047	FLIR	B	100	28.94	n/a	n/a	5.00 5.0
f	DANIEL R SCHWARZWALD	FID	FNKXX	S	21009634	1.00	n/a	n/a	0.00 0.0
ß	BUCK CAPITAL MGMT		FOR	B	1,300	19.53	n/a	3-Nov	non-brg 4.0
ß	BUCK CAPITAL MGMT		FOR	B	2,100	19.53	n/a	3-Nov	non-brg 4.0
f	DANIEL R SCHWARZWALD	FID	FSIXX	B	21009634	1.00	n/a	n/a	0.00 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f SUSAN D SCHWARZWALDE	FID	FSNXX	S	307120	1.00	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	047	FTR	B	300	9.46	n/a	n/a	15.00	5.0
1798 CAP/LOMBARD ASSET	040	F	S	25,000	18.27	n/a	7-Jan	1,250.00	5.0
SCOPUS FUND	041	F	SS	50,000	18.35	n/a	7-Jan	2,000.00	4.0
ß BUCK CAPITAL MGMT		GCO	B	20,000	37.05	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	S	10,000	42.57	n/a	24-Nov	300.00	3.0
ß BUCK CAPITAL MGMT		GES	B	1,200	42.41	n/a	24-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	B	1,900	42.41	n/a	24-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	S	10,000	42.70	n/a	24-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		GIII	S	20,000	35.24	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIL	B	1,800	27.88	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	B	2,900	27.88	n/a	10-Jan	non-brg	4.0
M&I FUNDS	047	GLW	S	33,125	19.56	n/a	6-Jan	1,325.00	4.0
AVENUE CAPITAL MGMT	070	HAR	S	100,000	45.42	n/a	3-Nov	4,000.00	4.0
ß BUCK CAPITAL MGMT		HBI	B	1,000	24.47	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		HBI	B	1,700	24.47	n/a	10-Jan	non-brg	4.0
LANE GATE PARTNERS LLC	012	HD	SS	2,000	35.15	n/a	13-Dec	60.00	3.0
SAC CHICAGO ANDREW	031	HD	B	10,000	34.85	n/a	13-Dec	350.00	3.5
f KAREN F LEDDY	FID	HOV	B	2500	4.54	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f CHRISTOPHER ROBERT C	FID	ICO	B	500	9.18	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	IGT	SS	50,000	18.52	n/a	7-Dec	2,000.00	4.0
ß BUCK CAPITAL MGMT		IMAX	S	120,000	26.82	n/a	n/a	non-brg	2.0
JENNISON	070	INTC	B	601,082	20.94	n/a	n/a	22,240.03	3.7
SCHOTTENFELD ASSOC	041	IP	SS	10,000	27.90	n/a	7-Jan	300.00	3.0
SENATOR INVESTMENT GROUP	036	IWM	SS	100,000	79.06	n/a	n/a	3,000.00	3.0
SAC COHEN	044	JCP	SS	125,000	30.49	n/a	7-Jan	4,375.00	3.5
ß BUCK CAPITAL MGMT		JNY	S	200,000	14.36	n/a	28-Oct	non-brg	4.0
CAERUS GLOBAL	041	JNY	S	50,000	14.38	n/a	28-Oct	2,000.00	4.0
ß BUCK CAPITAL MGMT		JPM	B	600	43.55	n/a	7-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		JPM	B	900	43.55	n/a	7-Jan	non-brg	4.0
f DAVID GEORGE BUCK	FID	JPM	S	15	4.05	n/a	7-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		JWN	B	1,000	41.56	n/a	7-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	B	600	41.56	n/a	7-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		KEY	B	1,100	8.66	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		KEY	B	1,900	8.66	n/a	n/a	non-brg	3.0
BAIRD INVESTMENT	047	KFT	B	300	31.44	n/a	n/a	15.00	5.0
ß BUCK CAPITAL MGMT		KNX	B	1,300	19.37	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		KNX	B	2,100	19.37	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	KO	B	200	63.09	n/a	23-Dec	10.00	5.0
⌘ BUCK CAPITAL MGMT		KSS	B	1,000	52.27	n/a	7-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	B	1,600	52.27	n/a	7-Jan	non-brg	4.0
T. ROWE PRICE	033	LOW	S	68,000	24.61	n/a	13-Dec	2,720.00	4.0
⌘ BUCK CAPITAL MGMT		LTD	B	300	29.01	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	B	600	29.01	n/a	n/a	non-brg	4.0
CENTURION PARTNERS	041	LVS	S	20,000	50.34	n/a	9-Dec	800.00	4.0
⌘ BUCK CAPITAL MGMT		MA	B	109	229.00	n/a	10-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MA	B	178	229.00	n/a	10-Jan	non-brg	4.0
M&I FUNDS	047	MCD	S	16,589	74.25	n/a	7-Jan	663.56	4.0
⌘ BUCK CAPITAL MGMT		MDC	B	1,400	29.80	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MDC	B	800	29.80	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	MI	S	1,500	7.04	n/a	n/a	75.00	5.0
BAIRD INVESTMENT	047	MMI	B	300	32.04	n/a	n/a	15.00	5.0
⌘ BUCK CAPITAL MGMT		MSMSRAW3	C	677,100	134.27	n/a	n/a	non-brg	6.7
⌘ BUCK CAPITAL MGMT		MSMSSOHA	SS	900,000	99.37	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		MS	B	1,800	27.89	n/a	7-Jan	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		MS	B	2,900	27.89	n/a	7-Jan	non-brg	4.0
CAPITAL GROWTH MGMT.	241	MTL	B	100,000	33.49	n/a	n/a	5,000.00	5.0
ß BUCK CAPITAL MGMT		MW	C	50,000	25.56	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	NAT	B	200	26.44	n/a	n/a	10.00	5.0
ß BUCK CAPITAL MGMT		NBD CN	B	1,600	15.52	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		NBD CN	B	2,600	15.52	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	NUE	B	200	44.11	n/a	n/a	10.00	5.0
f CHRISTOPHER ROBERT C	FID	PCH	S	200	33.90	n/a	29-Oct	0.00	0.0
f CHRISTOPHER ROBERT C	FID	PCX	B	150	25.46	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PLCE	B	400	45.44	n/a	6-Jan	non-brg	4.0
f MARGARET M DAVEY	FID	PLCE	S	200	45.12	n/a	6-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		PVH	B	400	59.96	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		PVH	B	700	59.96	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		PVH	S	20,000	60.70	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		PVH	S	70,000	60.29	n/a	10-Jan	non-brg	4.0
MELLON/BANK OF NEW YORK	046	PVH	B	53,400	60.47	n/a	10-Jan	2,136.00	4.0
ß BUCK CAPITAL MGMT		RF	B	1,400	7.19	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		RF	B	2,300	7.19	n/a	n/a	non-brg	3.0
BAIRD INVESTMENT	047	ROK	B	7,500	72.24	n/a	n/a	375.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f KAREN F LEDDY	FID	RUSHA	B	500	19.59	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RYL	B	1,400	18.15	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RYL	B	2,200	18.15	n/a	n/a	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	RYN	B	25,000	55.26	n/a	27-Oct	1,250.00	5.0
BAIRD INVESTMENT	047	SBUX	B	200	32.68	n/a	7-Jan	10.00	5.0
f MARC PAUL LUCHANSKY	FID	SDS	S	1000	23.12	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SHOO	B	20,000	40.66	n/a	n/a	non-brg	4.0
MANNING & NAPIER	034	SHW	S	221,000	83.34	n/a	5-Jan	6,630.00	3.0
BAIRD INVESTMENT	047	SID	B	400	17.71	n/a	n/a	20.00	5.0
ß BUCK CAPITAL MGMT		SIG	B	20,000	41.48	n/a	n/a	non-brg	4.0
OMEGA ADVISORS	041	SIRI	S	1,250,000	1.58	n/a	n/a	12,500.00	1.0
ß BUCK CAPITAL MGMT		SODA	B	20,000	32.22	n/a	n/a	non-brg	4.0
CARDINAL CAPITAL	044	SSI	S	7,400	16.00	n/a	n/a	370.00	5.0
ß BUCK CAPITAL MGMT		STI	B	1,400	28.60	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		STI	B	900	28.60	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SWFT	B	1,800	13.66	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SWFT	B	3,000	13.66	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SWN	B	1,100	38.19	n/a	11-Jan	non-brg	4.0
BUCK CAPITAL MGMT		SWN	B	700	38.19	n/a	11-Jan	non-brg	4.0
BAIRD INVESTMENT	047	TEVA	B	200	53.97	n/a	10-Jan	10.00	5.0
BUCK CAPITAL MGMT		TEX	B	1,400	28.57	n/a	22-Oct	non-brg	4.0
BUCK CAPITAL MGMT		TEX	B	900	28.57	n/a	22-Oct	non-brg	4.0
BUCK CAPITAL MGMT		TGT	B	500	55.27	n/a	7-Jan	non-brg	4.0
BUCK CAPITAL MGMT		TGT	B	700	55.27	n/a	7-Jan	non-brg	4.0
ELM RIDGE ADVISORS	044	TIN	S	80,000	22.92	n/a	7-Jan	3,200.00	4.0
BUCK CAPITAL MGMT		TJX	B	500	45.66	n/a	7-Jan	non-brg	4.0
BUCK CAPITAL MGMT		TJX	B	900	45.66	n/a	7-Jan	non-brg	4.0
BUCK CAPITAL MGMT		TLB	S	200,000	6.22	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		TLB	S	300,000	6.21	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		TLB	S	452,330	6.15	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		TLB	S	500,000	6.24	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		TLB	S	800,000	6.19	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		TOL	B	1,200	20.40	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		TOL	B	2,000	20.40	n/a	n/a	non-brg	4.0
LEVEL GLOBAL SHARED ACCT	257	TPX	S	50,000	41.01	n/a	n/a	1,500.00	3.0
BAIRD INVESTMENT	047	T	B	100	28.02	n/a	n/a	5.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		UA	SS	10,000	54.40	n/a	27-Oct	non-brg 4.0
JP MORGAN VENTURES	046	USTR	B	4,600	62.75	n/a	n/a	230.00 5.0
CATAPULT	040	UTX	SS	22,764	78.67	n/a	n/a	910.56 4.0
BUCK CAPITAL MGMT		VFC	SS	50,000	82.35	n/a	22-Oct	non-brg 4.0
JP MORGAN VENTURES	046	VFC	SS	5,000	82.46	n/a	22-Oct	250.00 5.0
BAIRD INVESTMENT	047	VZ	B	100	35.46	n/a	n/a	5.00 5.0
BUCK CAPITAL MGMT		V	B	300	71.67	n/a	10-Jan	non-brg 4.0
BUCK CAPITAL MGMT		V	B	600	71.67	n/a	10-Jan	non-brg 4.0
BUCK CAPITAL MGMT		WBS	B	500	19.62	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		WBS	B	800	19.62	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		WERN	B	1,100	23.29	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		WERN	B	1,700	23.29	n/a	n/a	non-brg 4.0
BAIRD INVESTMENT	047	WIN	B	200	13.22	n/a	n/a	10.00 5.0
BUCK CAPITAL MGMT	001	WRC	S	10,000	51.22	n/a	10-Jan	300.00 3.0
BUCK CAPITAL MGMT		WRC	B	300	50.88	n/a	10-Jan	non-brg 4.0
BUCK CAPITAL MGMT		WRC	B	800	50.88	n/a	10-Jan	non-brg 4.0
BUCK CAPITAL MGMT		WRC	S	70,000	51.37	n/a	10-Jan	non-brg 4.0
SIGMA CAPITAL ASSOCIATES	041	WRC	SS	10,000	51.11	n/a	10-Jan	350.00 3.5
BUCK CAPITAL MGMT		XRT	C	75,000	46.77	n/a	n/a	non-brg 2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAIRD INVESTMENT	047	YUM	B	200	49.61	n/a	7-Jan	10.00	5.0
TOTAL (Trade Date):								87,430.15	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	01/10	NOMURA CAPITAL	029	ACW	S	5,000	15.99	n/a	n/a	150.00 3.0
AO,CRT	01/10	SCOPUS FUND	041	JCI	SS	50,000	40.84	n/a	5-Jan	2000.00 4.0
AO,CXL	01/10	SCOPUS FUND	041	JCI	SS	50,000	40.84	n/a	5-Jan	2000.00 4.0

TOTAL (AO, CXL, CRT) :	150.00
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TOTAL (Trade Date) :	87,430.15
TOTAL (AO, CXL, CRT) :	150.00

TOTAL (RUN DATE) / NFS:	87,580.15
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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