



Daily Compliance Activity Report

Trade Date: 03/19/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	AAPL	S	225	598.77	n/a	n/a	9.00	4.0
BESSEMER INVESTMENT	085	ABT	S	60	60.27	n/a	n/a	2.40	4.0
BESSEMER INVESTMENT	085	ABX	B	310	43.83	n/a	n/a	12.40	4.0
BESSEMER INVESTMENT	085	ACE	B	325	73.35	n/a	n/a	13.00	4.0
BESSEMER INVESTMENT	085	ACN	B	365	63.43	n/a	n/a	14.60	4.0
BESSEMER INVESTMENT	085	AMZN	S	500	185.55	n/a	n/a	20.00	4.0
SENATOR INVESTMENT GROUP	036	APC	SS	10,000	84.64	n/a	14-Mar	300.00	3.0
SCOPUS FUND	041	ARO	B	25,000	20.40	n/a	n/a	1,000.00	4.0
BESSEMER INVESTMENT	085	A	S	49	45.42	n/a	n/a	1.96	4.0
BESSEMER INVESTMENT	085	BAX	S	500	59.59	n/a	n/a	20.00	4.0
BESSEMER INVESTMENT	085	BA	S	370	75.55	n/a	15-Mar	14.80	4.0
BESSEMER INVESTMENT	085	BCE	S	720	40.16	n/a	n/a	28.80	4.0
Ö Jill Rosenberg		BHI	S	3	1.0300	n/a	n/a	0.00	0.0
UBS OCONNOR	031	CAT	SS	27,000	113.55	n/a	27-Jan	810.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	CB	S	130	69.27	n/a	n/a	5.20	4.0
BESSEMER INVESTMENT	085	CCL	B	225	32.84	n/a	n/a	9.00	4.0
RBC CAPITAL MARKETS	040	CCL	SS	10,000	32.81	n/a	n/a	400.00	4.0
FEDERATED INV/KAUFMAN	029	CE	B	100,000	47.37	n/a	20-May	5,500.01	5.5
CATAPULT	040	CHK	B	20,000	25.42	n/a	n/a	800.00	4.0
BESSEMER INVESTMENT	085	CLX	S	170	67.71	n/a	21-Feb	6.80	4.0
BESSEMER INVESTMENT	085	CMCSA	B	485	29.90	n/a	n/a	19.40	4.0
BESSEMER INVESTMENT	085	CNP	S	970	19.01	n/a	n/a	38.80	4.0
LEVIN CAPITAL STRATEGIES	041	COH	SS	6,000	77.48	n/a	2-Mar	180.00	3.0
BESSEMER INVESTMENT	085	COP	B	175	78.21	n/a	n/a	7.00	4.0
BESSEMER INVESTMENT	085	CTL	B	335	39.32	n/a	n/a	13.40	4.0
BESSEMER INVESTMENT	085	CVS	B	245	45.19	n/a	n/a	9.80	4.0
SANDLER O'NEILL ASSET	031	C	B	25,000	36.79	n/a	15-Mar	1,250.00	5.0
UBS OCONNOR	031	DAN	C	90,000	16.33	n/a	22-Feb	2,700.00	3.0
BESSEMER INVESTMENT	085	DELL	S	365	17.40	n/a	n/a	14.60	4.0
JP MORGAN VENTURES	046	DKS	B	10,000	47.69	n/a	7-Mar	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	DTE	B	110	54.79	n/a	n/a	4.40	4.0
BESSEMER INVESTMENT	085	EMR	S	400	51.95	n/a	n/a	16.00	4.0
PERRY PARTNERS	085	ESRX	B	50,000	53.39	n/a	n/a	2,500.00	5.0
BESSEMER INVESTMENT	085	EXC	B	255	38.95	n/a	n/a	10.20	4.0
BESSEMER INVESTMENT	085	FCX	B	180	39.32	n/a	n/a	7.20	4.0
BESSEMER INVESTMENT	085	FDX	S	320	95.16	n/a	n/a	12.80	4.0
BESSEMER INVESTMENT	085	FE	B	365	44.15	n/a	n/a	14.60	4.0
BESSEMER INVESTMENT	085	FTR	S	19	4.25	n/a	n/a	0.76	4.0
BESSEMER INVESTMENT	085	GD	B	105	73.20	n/a	14-Feb	4.20	4.0
MERRILL ASSET/BLACKROCK	297	GEOI	S	22,949	35.77	n/a	n/a	917.96	4.0
BESSEMER INVESTMENT	085	GE	S	106	20.24	n/a	n/a	4.24	4.0
LEVIN CAPITAL STRATEGIES	041	GIL	B	10,100	27.52	n/a	9-Feb	303.00	3.0
CRAMER ROSENTHAL	070	GIS	S	406,450	38.64	n/a	n/a	16,258.00	4.0
TALPION LLC	040	GPI	B	3,000	55.84	n/a	10-Feb	120.00	4.0
BESSEMER INVESTMENT	085	GRMN	S	700	47.34	n/a	n/a	28.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	HAL	S	390	35.16	n/a	n/a	15.60	4.0
ROTHSCHILD ASSET	040	HLX	B	34,007	18.73	n/a	n/a	1,360.28	4.0
ROTHSCHILD ASSET	040	HMN	B	23,021	17.79	n/a	n/a	920.84	4.0
M&I FUNDS	047	HNZ	S	6,419	53.25	n/a	n/a	256.76	4.0
BESSEMER INVESTMENT	085	HPQ	S	260	24.43	n/a	n/a	10.40	4.0
BESSEMER INVESTMENT	085	IBM	B	110	205.79	n/a	n/a	4.40	4.0
BESSEMER INVESTMENT	085	IBM	S	20	205.76	n/a	n/a	0.80	4.0
BESSEMER INVESTMENT	085	IMO	S	230	47.35	n/a	n/a	9.20	4.0
BESSEMER INVESTMENT	085	INTC	S	600	27.87	n/a	n/a	24.00	4.0
BALYASNY ASSET MGMT	044	IP	SS	25,000	35.44	n/a	2-Feb	1,250.00	5.0
CSFB GLOBAL PROPRIETARY	041	IP	B	25,000	35.37	n/a	2-Feb	1,250.00	5.0
DC CAPITAL	044	IP	S	50,000	35.54	n/a	2-Feb	2,000.00	4.0
BALLAST CAP	040	IRM	B	8,700	29.25	n/a	n/a	435.00	5.0
TROUBH PART	070	JCP	B	25,000	36.25	n/a	27-Feb	1,250.00	5.0
BESSEMER INVESTMENT	085	JNJ	B	355	65.23	n/a	n/a	14.20	4.0
BESSEMER INVESTMENT	085	KBH	S	20	11.93	n/a	n/a	0.80	4.0
BESSEMER INVESTMENT	085	KFT	S	925	38.33	n/a	n/a	37.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIMMONS VENTURES	041	KSS	B	5,000	49.00	n/a	2-Mar	200.00	4.0
MELLON/BANK OF NEW YORK	046	K	S	87,870	52.65	n/a	n/a	3,375.76	3.3
HARVEST MGMT (JMP)	029	LGF	B	20,000	14.07	n/a	n/a	800.00	4.0
BESSEMER INVESTMENT	085	LOW	B	425	30.47	n/a	28-Feb	17.00	4.0
MELLON/BANK OF NEW YORK	046	LO	B	30,394	132.00	n/a	n/a	1,215.76	4.0
MOORE CAPITAL	041	LVS	S	9,000	59.73	n/a	13-Jun	270.00	3.0
BESSEMER INVESTMENT	085	MGA	B	235	48.41	n/a	n/a	9.40	4.0
BESSEMER INVESTMENT	085	MHP	B	260	47.17	n/a	n/a	10.40	4.0
BESSEMER INVESTMENT	085	MMM	S	260	89.78	n/a	10-Feb	10.40	4.0
MOORE CAPITAL	041	MPER	B	28,500	14.11	n/a	13-Jun	855.00	3.0
BESSEMER INVESTMENT	085	MRO	B	415	34.65	n/a	n/a	16.60	4.0
PROSPECTOR PARTNERS LLC	036	MRO	S	10,000	34.46	n/a	n/a	400.00	4.0
BESSEMER INVESTMENT	085	M	B	485	39.59	n/a	2-Mar	19.40	4.0
BESSEMER INVESTMENT	085	NEM	B	330	53.65	n/a	n/a	13.20	4.0
LATEFF MGMT	035	NKE	S	300	112.32	n/a	13-Mar	60.00	20.0
BESSEMER INVESTMENT	085	NOV	S	805	83.25	n/a	n/a	32.20	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FARALLON CAP MGMT	040	ORCL	S	100,000	29.76	n/a	n/a	3,000.00	3.0
BESSEMER INVESTMENT	085	OXY	S	295	101.89	n/a	n/a	11.80	4.0
MERRILL ASSET/BLACKROCK	297	PDC	S	38,600	9.29	n/a	n/a	1,544.00	4.0
BESSEMER INVESTMENT	085	PFG	S	200	29.18	n/a	n/a	8.00	4.0
M&I FUNDS	047	PG	S	6,099	67.28	n/a	24-Feb	243.96	4.0
BESSEMER INVESTMENT	085	PHM	S	59	9.20	n/a	n/a	2.36	4.0
BNP PARIBAS SEC	040	PKG	SS	20,000	29.84	n/a	22-Feb	1,000.00	5.0
BESSEMER INVESTMENT	085	QCOM	S	550	66.85	n/a	n/a	22.00	4.0
PECONIC PARTNERS	036	QQQ	C	12,200	67.02	n/a	n/a	610.00	5.0
MERRILL ASSET/BLACKROCK	297	RE	B	9,900	93.03	n/a	n/a	396.00	4.0
MA CAPITAL MGMT/MELLON ADV041		RKT	B	2,000	69.59	n/a	19-Mar	100.00	5.0
GEORGE WEISS	034	ROST	S	14,000	55.77	n/a	16-Mar	420.00	3.0
BESSEMER INVESTMENT	085	SCG	S	370	44.67	n/a	n/a	14.80	4.0
DELTEC ASSET MGMT.	040	SFL	S	17,725	15.85	n/a	n/a	886.25	5.0
BESSEMER INVESTMENT	085	SSYS	S	590	37.94	n/a	n/a	23.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IVORY CAPITAL	034	SWY	S	82,000	21.99	n/a	n/a	4,100.00	5.0
ROTHSCHILD ASSET	040	TBI	B	2,791	17.50	n/a	n/a	111.64	4.0
BESSEMER INVESTMENT	085	TGT	B	485	58.32	n/a	1-Mar	19.40	4.0
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	TNK	S	20,000	5.60		n/a	n/a	800.00 4.0
BESSEMER INVESTMENT	085	TOL	S	40	24.48	n/a	n/a	1.60	4.0
BESSEMER INVESTMENT	085	TXN	S	450	33.83	n/a	n/a	18.00	4.0
ROTHSCHILD ASSET	040	TYL	S	18,939	37.95	n/a	n/a	757.56	4.0
BESSEMER INVESTMENT	085	UNH	B	235	56.29	n/a	n/a	9.40	4.0
BESSEMER INVESTMENT	085	UN	B	160	34.36	n/a	n/a	6.40	4.0
BESSEMER INVESTMENT	085	VZ	S	80	39.66	n/a	n/a	3.20	4.0
MTB INV ADV	034	WBC	S	21,400	61.91	n/a	17-Feb	695.50	3.3
MTB INV ADV	034	WCC	S	4,125	66.68	n/a	n/a	134.06	3.3
BESSEMER INVESTMENT	085	WFC	S	400	34.31	n/a	14-Mar	16.00	4.0
TRELLUS PARTNERS LP	028	WRC	SS	7,700	57.81	n/a	29-Feb	231.00	3.0
BESSEMER INVESTMENT	085	WU	B	795	17.99	n/a	n/a	31.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RBC CAPITAL MARKETS	040	XHB	S	15,000	21.60	n/a	n/a	600.00	4.0
TOTAL (Trade Date):								65,765.06	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/14	STANDARD PACIFIC CAPITAL (SPACJPMORG)	084	CE	S	25,000	46.63	n/a	20-May	1250.00 5.0
AO,CRT	03/14	STANDARD PACIFIC CAPITAL (SPACJPMORG)	084	CE	S	65,000	46.43	n/a	20-May	3250.00 5.0
AO,CXL	03/14	STANDARD PACIFIC CAPITAL (SPACMS)	084	CE	S	25,000	46.63	n/a	20-May	1250.00 5.0
AO,CXL	03/14	STANDARD PACIFIC CAPITAL (SPACMS)	084	CE	S	65,000	46.43	n/a	20-May	3250.00 5.0
AO,	03/16	COLUMBUS CIRCLE (COLC3040)	036	CREE	B	4,900	31.04	n/a	n/a	196.00 4.0
AO,	03/16	COLUMBUS CIRCLE (COLC3040)	036	EZCH	B	4,700	44.30	n/a	n/a	188.00 4.0
AO,CXL	03/15	MA CAPITAL MGMT/MELLON ADV (MACAJPM)	041	MWV	B	4,000	31.23	n/a	26-Jan	200.00 5.0
AO,CRT	03/15	MA CAPITAL MGMT/MELLON ADV (MACAJPM)	041	MWV	B	4,000	31.23	n/a	26-Jan	200.00 5.0
AO,CXL	03/15	SUTTON BROOK (SUTTFB04)	086	MWV	B	25,000	31.38	n/a	26-Jan	1000.00 4.0
AO,CRT	03/15	SUTTON BROOK (SUTTFB04)	086	MWV	B	25,000	31.38	n/a	26-Jan	750.00 3.0

TOTAL (AO, CXL, CRT) :	134.00
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TOTAL (Trade Date):	65,765.06
TOTAL (AO, CXL, CRT):	134.00

TOTAL (RUN DATE) / NFS:	65,899.06
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION