



# Daily Compliance Activity Report

Trade Date: 07/27/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CHARTER OAK	033	ABT	B	96,580	50.38	n/a	22-Apr	4,829.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>AB</b>	<b>S</b>	<b>400</b>	<b>86.63</b>	<b>25-Jul</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
FARALLON CAP MGMT	040	ACE	B	175,000	57.76	n/a	n/a	7,000.00	4.0
BAIRD INVESTMENT	031	ADBE	B	860	40.66	n/a	n/a	43.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>B</b>	<b>10,000</b>	<b>25.06</b>	<b>10-Jul</b>	<b>13-Jul</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>5,000</b>	<b>25.00</b>	<b>10-Jul</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>5,000</b>	<b>25.02</b>	<b>10-Jul</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>2.0</b>
COUGAR TRADING	044	AEO	B	5,000	25.23	10-Jul	13-Jul	250.00	5.0
ROARING BLUE LION MGMT	012	AEO	B	5,000	25.18	10-Jul	13-Jul	250.00	5.0
<b>WYCKOFF</b>	<b>013</b>	<b>AEO</b>	<b>B</b>	<b>500</b>	<b>25.03</b>	<b>10-Jul</b>	<b>13-Jul</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	AIZ	B	15,200	51.73	n/a	n/a	760.00	5.0
<b>BINDER</b>	<b>007</b>	<b>AMWD</b>	<b>C</b>	<b>100</b>	<b>30.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MS PRINCIPAL STRATEGIES	040	ANDE	B	100	42.45	n/a	n/a	5.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>B</b>	<b>20,000</b>	<b>68.95</b>	<b>12-Jul</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	B	10,000	69.24	12-Jul	13-Jul	500.00	5.0
PRINCIPLE CAPITAL	040	ANF	B	25,000	69.66	12-Jul	13-Jul	1,000.00	4.0
REINHART & MAHONEY	031	ANF	S	5,970	69.70	12-Jul	13-Jul	298.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>B</b>	<b>31,800</b>	<b>33.65</b>	<b>12-Jul</b>	<b>13-Jul</b>	<b>1,272.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>ASD</b>	<b>B</b>	<b>5,000</b>	<b>54.10</b>	<b>18-Jul</b>	<b>23-Jul</b>	<b>non-brg</b>	<b>5.0</b>
MERRILL ASSET	032	AW	S	58,900	12.70	n/a	n/a	2,650.50	4.5
<b>B BUCK CAPITAL MGMT</b>		<b>AXL</b>	<b>C</b>	<b>100,000</b>	<b>23.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
PILOT ADV	038	BLL	B	3,200	50.64	n/a	n/a	128.00	4.0
IMPALA ASSET MMT	012	BNI	B	100,000	83.18	n/a	n/a	3,000.00	3.0
BAIRD INVESTMENT	031	CAH	B	55	65.48	n/a	n/a	2.75	5.0
GOLD SACHS SPECIAL SIT	030	CAKE	SS	200,000	24.40	24-Apr	24-Apr	10,000.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>CAKE</b>	<b>S</b>	<b>9,000</b>	<b>24.48</b>	<b>24-Apr</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
GOLD SACHS SPECIAL SIT	030	CBRL	SS	125,000	38.69	n/a	n/a	6,250.00	5.0
MANNING & NAPIER	034	CCMP	S	17,900	43.01	18-Jul	26-Jul	716.00	4.0
FIRST PACIFIC ADV	048	CC	B	400,000	12.61	1-May	27-Jul	20,000.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>CHC</b>	<b>S</b>	<b>3,000</b>	<b>12.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CHIC</b>	<b>B</b>	<b>10,000</b>	<b>18.56</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
RIVER RUN MGMT	031	CLNE	SS	41,125	14.49	n/a	n/a	2,056.25	5.0
HIGHBRIDGE CAPITAL	038	CPHL	S	5,500	13.00	n/a	n/a	275.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>CPNLQ</b>	<b>B</b>	<b>35,000</b>	<b>2.33</b>	<b>n/a</b>	<b>29-Jun</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CROWLEY	061	CRMEX	S	1,500	10.95	n/a	n/a	0.00	0.0
CROWLEY	061	CRMMX	S	200	31.85	n/a	n/a	0.00	0.0
ZOLIDIS	011	CROX	SS	100	57.05	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	CRS	S	25,000	118.85	n/a	n/a	1,250.00	5.0
ß BUCK CAPITAL MGMT		CWTR	B	25,000	19.62	n/a	n/a	non-brg	5.0
KORMAN	003	CXTI	S	6,000	4.26	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	DAL	B	75,000	18.64	n/a	n/a	2,250.00	3.0
STADIA CAPITAL	035	DDS	S	225,000	30.22	n/a	n/a	9,000.00	4.0
BUCK CAPITAL MGMT	001	DSW	B	5,000	32.06	7-May	7-Jun	200.00	4.0
LOOMIS SALES/BOSTON	036	DW	B	65,100	33.80	n/a	n/a	2,604.00	4.0
LEDDY	035	EDA	S	100	11.27	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	ERTS	B	645	50.25	n/a	n/a	32.25	5.0
ß BUCK CAPITAL MGMT		EVST	S	20,000	32.01	n/a	n/a	non-brg	5.0
POST ROAD CAP	068	FDO	SS	12,000	30.52	19-Apr	27-Jul	480.00	4.0
MILLENIUM PARTNERS	028	FII	B	22,900	36.87	n/a	27-Jul	1,145.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAVANNAH-BALTIMORE	012	FMD	B	84,906	34.93	n/a	n/a	3,396.24	4.0
REINHART & MAHONEY	031	FSP	B	15,810	15.05	n/a	n/a	790.50	5.0
<b>BINDER</b>	<b>007</b>	<b>F</b>	<b>C</b>	<b>400</b>	<b>8.31</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
IMPALA ASSET MMT	012	GE	B	481,600	39.52	n/a	n/a	14,448.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>5,000</b>	<b>33.33</b>	<b>21-Jun</b>	<b>21-Jun</b>	<b>200.00</b>	<b>4.0</b>
<b>LEDY</b>	<b>035</b>	<b>GIL</b>	<b>B</b>	<b>500</b>	<b>33.47</b>	<b>21-Jun</b>	<b>21-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>BINDER</b>	<b>007</b>	<b>GM</b>	<b>C</b>	<b>100</b>	<b>32.45</b>	<b>4-May</b>	<b>25-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>BINDER</b>	<b>007</b>	<b>GPC</b>	<b>C</b>	<b>200</b>	<b>48.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AAD CAPITAL	048	GPI	B	5,500	34.70	27-Apr	25-Jul	220.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GPS</b>	<b>SS</b>	<b>60,000</b>	<b>17.55</b>	<b>n/a</b>	<b>13-Jul</b>	<b>2,400.00</b>	<b>4.0</b>
COUGAR TRADING	044	GPS	S	10,000	17.55	n/a	13-Jul	500.00	5.0
IMPALA ASSET MMT	012	GT	B	517,109	27.60	n/a	n/a	15,513.27	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>B</b>	<b>120,000</b>	<b>36.53</b>	<b>n/a</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>HLT</b>	<b>B</b>	<b>300</b>	<b>43.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDY</b>	<b>035</b>	<b>IP</b>	<b>C</b>	<b>500</b>	<b>36.77</b>	<b>4-May</b>	<b>14-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>ITG</b>	<b>B</b>	<b>300</b>	<b>39.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COMMISSUM CAPITAL	067	IVZ	B	5,000	24.66	10-Jul	25-Jul	250.00	5.0
ROARING BLUE LION MGMT	012	IVZ	B	3,500	24.75	10-Jul	25-Jul	175.00	5.0
MORGAN BANK /JPM	012	IWM	S	13,700	78.17	n/a	n/a	411.00	3.0
COUGAR TRADING	044	JCG	B	10,000	49.62	22-Jun	22-Jun	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCP</b>	<b>B</b>	<b>10,000</b>	<b>70.01</b>	<b>21-Jun</b>	<b>13-Jul</b>	<b>400.00</b>	<b>4.0</b>
APEX CAPITAL MGMT.	091	JNY	B	171,200	25.43	n/a	27-Jul	8,560.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>10,000</b>	<b>34.05</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
TROUBH PART	067	JPM	S	25,000	44.56	n/a	19-Jul	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>B</b>	<b>100,000</b>	<b>44.46</b>	<b>12-Jul</b>	<b>26-Jul</b>	<b>non-brg</b>	<b>5.0</b>
WHITE PINE CAPITAL	030	KEGS	B	35,900	15.02	23-Jul	23-Jul	1,795.00	5.0
<b>KERN</b>	<b>050</b>	<b>KEGS</b>	<b>B</b>	<b>1,000</b>	<b>14.80</b>	<b>23-Jul</b>	<b>23-Jul</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	031	KSS	B	80	62.53	14-Jun	27-Jul	4.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>5,000</b>	<b>62.22</b>	<b>14-Jun</b>	<b>27-Jul</b>	<b>200.00</b>	<b>4.0</b>
COUGAR TRADING	044	KSS	B	5,000	62.79	14-Jun	27-Jul	250.00	5.0
FORCE CAPITAL MGMT	030	LAD	S	35,000	20.41	n/a	27-Jul	1,750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LAVA</b>	<b>S</b>	<b>100,000</b>	<b>14.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LAVA</b>	<b>S</b>	<b>142,218</b>	<b>14.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>SS</b>	<b>10,000</b>	<b>34.63</b>	<b>n/a</b>	<b>27-Jul</b>	<b>400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		LPNT	S	152,500	30.18	n/a	25-Jul	non-brg 5.0
XYLEM GLOBAL	091	LPX	SS	100,000	18.35	26-Jul	26-Jul	5,000.00 5.0
ROTHSCHILD ASSET	040	LTC	S	47,700	20.32	n/a	n/a	2,146.50 4.5
BUCK CAPITAL MGMT	001	LTM	B	10,000	51.47	n/a	n/a	400.00 4.0
LUCHANSKY1	036	MA	S	100	154.50	n/a	n/a	0.00 0.0
SSI INVESTORS	048	MCD	B	134,143	48.74	24-Apr	24-Apr	5,365.72 4.0
BARR	096	MCO	SS	400	55.02	n/a	n/a	0.00 0.0
ß BUCK CAPITAL MGMT		MSMSBFIN	C	49,000	27.09	n/a	n/a	non-brg 2.7
ß BUCK CAPITAL MGMT		MVRX	C	152,700	183.14	n/a	n/a	non-brg 18.3
ß BUCK CAPITAL MGMT		MVRX	C	30,000	183.14	n/a	n/a	non-brg 18.3
CAPITAL GROWTH MGMT.	241	MW	B	15,000	50.09	n/a	n/a	750.00 5.0
MAGUIRE	090	MZZ	S	30,000	55.67	n/a	n/a	0.00 0.0
ß BUCK CAPITAL MGMT		M	B	130,000	37.18	13-Jul	13-Jul	non-brg 5.0
ß BUCK CAPITAL MGMT		NEXC	B	30,000	8.81	n/a	n/a	non-brg 5.0
ß BUCK CAPITAL MGMT		NEXC	B	30,000	8.98	n/a	n/a	non-brg 5.0
ß BUCK CAPITAL MGMT		NTY	C	25,000	45.76	n/a	n/a	non-brg 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		OIH	C	10,000	182.71	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		OIH	C	140,000	180.95	n/a	n/a	non-brg	2.0
BARR	096	ORB	S	500	21.83	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	OWW	S	100,000	12.38	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT	001	PAG	S	50,000	19.14	n/a	25-Jul	2,000.00	4.0
HIGHBRIDGE CAPITAL	038	PCH	B	65,100	42.79	27-Jul	27-Jul	3,255.00	5.0
BULL PATH CAPITAL	044	PRU	B	17,500	90.62	n/a	n/a	875.00	5.0
COUGAR TRADING	044	PSUN	B	5,000	18.46	n/a	13-Jul	250.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	51.30	31-May	31-May	400.00	4.0
MAGUIRE	090	QID	S	17,000	44.62	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	QQQQ	SS	10,000	48.38	n/a	n/a	500.00	5.0
ZOLIDIS	011	RCKY	C	500	11.73	n/a	n/a	0.00	0.0
EFSTATHIOU	044	RCKY	C	300	11.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	B	30,000	91.03	21-Jun	27-Jun	1,200.00	4.0
BUCK CAPITAL MGMT	001	RL	S	10,000	89.83	21-Jun	27-Jun	400.00	4.0
REINHART & MAHONEY	031	RMCF	S	1,870	17.35	n/a	n/a	93.50	5.0
KORMAN	003	RRGB	S	3,000	39.04	n/a	n/a	0.00	0.0

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STADIA CAPITAL	035	RSH	B	75,000	29.02	n/a	n/a	3,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>RTH</b>	<b>C</b>	<b>140,000</b>	<b>101.47</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
IMPALA ASSET MMT	012	RTN	S	90,500	55.13	n/a	n/a	2,715.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>S4RETL</b>	<b>C</b>	<b>33,800</b>	<b>413.19</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
GOLD SACHS SPECIAL SIT	030	SBUX	SS	185,000	26.93	24-Apr	24-Apr	9,250.00	5.0
<b>BARR</b>	<b>096</b>	<b>SCMR</b>	<b>S</b>	<b>7,500</b>	<b>4.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SDXC</b>	<b>S</b>	<b>6,008</b>	<b>17.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
ROARING BLUE LION MGMT	012	SHOO	SS	12,000	30.01	n/a	n/a	600.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>SHOO</b>	<b>SS</b>	<b>300</b>	<b>29.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>B</b>	<b>5,000</b>	<b>20.75</b>	<b>n/a</b>	<b>13-Mar</b>	<b>200.00</b>	<b>4.0</b>
IMPALA ASSET MMT	012	SLB	SS	100,000	93.41	n/a	n/a	3,000.00	3.0
IMPALA ASSET MMT	012	SLGN	B	96,000	52.40	n/a	n/a	2,880.00	3.0
GALLEON MGMT LP	033	SPY	SS	10,000	147.11	n/a	n/a	500.00	5.0
MORGAN BANK /JPM	012	SPY	B	7,300	147.46	n/a	n/a	219.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>SSI</b>	<b>S</b>	<b>175,000</b>	<b>18.35</b>	<b>25-May</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
KENNEDY CAPITAL	046	SSI	B	30,000	18.17	25-May	13-Jul	1,200.00	4.0
BAIRD INVESTMENT	031	STJ	B	75	43.09	n/a	n/a	3.75	5.0



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<b>BARR</b>	<b>096</b>	<b>SUNW</b>	<b>B</b>	<b>2,500</b>	<b>4.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IMPALA ASSET MMT	012	SUN	B	135,000	67.17	n/a	n/a	4,050.00	3.0
<b>BINDER</b>	<b>007</b>	<b>SWK</b>	<b>C</b>	<b>290</b>	<b>56.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>THI</b>	<b>S</b>	<b>5,000</b>	<b>31.13</b>	<b>24-Apr</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BINDER</b>	<b>007</b>	<b>TIN</b>	<b>C</b>	<b>300</b>	<b>58.32</b>	<b>25-Jul</b>	<b>26-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>B</b>	<b>50,000</b>	<b>27.53</b>	<b>13-Jul</b>	<b>13-Jul</b>	<b>2,000.00</b>	<b>4.0</b>
SYSTEMATIC FINANCIAL MGT	035	TRA	B	50,000	23.35	n/a	n/a	1,875.00	3.8
<b>B BUCK CAPITAL MGMT</b>		<b>TRLG</b>	<b>S</b>	<b>10,000</b>	<b>19.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>KORMAN</b>	<b>003</b>	<b>TRLG</b>	<b>S</b>	<b>3,000</b>	<b>19.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TWB</b>	<b>B</b>	<b>35,000</b>	<b>39.19</b>	<b>2-Jul</b>	<b>2-Jul</b>	<b>1,400.00</b>	<b>4.0</b>
COUGAR TRADING	044	TWB	B	10,000	39.22	2-Jul	2-Jul	500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>TWM</b>	<b>B</b>	<b>500</b>	<b>70.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>TXRH</b>	<b>S</b>	<b>3,000</b>	<b>12.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>30,000</b>	<b>19.45</b>	<b>2-Apr</b>	<b>16-Jul</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	URBN	B	20,000	19.69	2-Apr	16-Jul	1,000.00	5.0
IMPALA ASSET MMT	012	VLO	B	87,500	68.60	n/a	n/a	2,625.00	3.0
NEUBERGER BERMAN	036	VTR	B	14,600	31.63	n/a	n/a	730.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBIA PARTNERS	028	WMAR	C	27,442	14.98	n/a	n/a	823.26	3.0
COUGAR TRADING	044	WMT	B	5,000	46.76	4-Jun	27-Jul	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRNC</b>	<b>S</b>	<b>10,000</b>	<b>35.93</b>	<b>18-Jul</b>	<b>18-Jul</b>	<b>non-brg</b>	<b>5.0</b>
AMBER CAPITAL	046	WY	B	280,000	71.68	13-Jul	13-Jul	11,200.00	4.0
SCOPUS FUND	039	WY	S	15,000	71.61	13-Jul	13-Jul	750.00	5.0
XYLEM GLOBAL	091	WY	S	10,000	73.11	13-Jul	13-Jul	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>XLF</b>	<b>C</b>	<b>70,000</b>	<b>33.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
IMPALA ASSET MMT	012	XOM	B	100,000	87.03	n/a	n/a	3,000.00	3.0
PRINCIPLE CAPITAL	040	ZLC	S	78,800	21.26	n/a	n/a	2,364.00	3.0
<b>TOTAL (Trade Date) :</b>							<b>216,330.99</b>		

### Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/26	SAVANNAH-BALTIMORE	012	AA	S	60,591	38.17	n/a	n/a	2423.64 4.0
AO,CXL	07/26	SAVANNAH-BALTIMORE	012	AA	S	60,591	38.17	n/a	n/a	3029.55 5.0
AO,CXL	07/25	SOCIETY GENERALE	040	ASD	B	6,000	56.27	18-Jul	23-Jul	300.00 5.0
AO,CRT	07/25	SOCIETY GENERALE	040	ASD	B	6,000	56.27	18-Jul	23-Jul	240.00 4.0
AO,	07/26	BASSO CAPITAL	034	BDK	B	12,000	84.75	n/a	n/a	600.00 5.0
AO,CXL	07/26	SINCLAIR	031	BSCAK	B	33	3.40	n/a	n/a	0.00 0.0
AO,CRT	07/26	SINCLAIR	031	BSCAK	B	33	3.40	n/a	n/a	0.00 0.0
AO,CRT	07/25	TRELLUS PARTNERS LP	028	BWA	B	20,000	90.01	n/a	27-Jul	1000.00 5.0
AO,CXL	07/25	TRELLUS PARTNERS LP	028	BWA	B	20,000	90.01	n/a	27-Jul	800.00 4.0
AO,CXL	07/26	BUCK CAPITAL MGMT	001	COH	B	5,000	46.45	n/a	26-Jul	200.00 4.0
AO,	07/26	BUCK CAPITAL MGMT	001	COH	B	5,000	46.45	n/a	26-Jul	200.00 4.0
AO,CRT	07/26	BUCK CAPITAL MGMT	001	COH	S	5,000	47.24	n/a	26-Jul	200.00 4.0
AO,CXL	07/26	BUCK CAPITAL MGMT	001	COH	S	5,000	47.24	n/a	26-Jul	200.00 4.0
AO,	07/26	REINHART & MAHONEY	031	CSCO	S	100	29.31	n/a	22-Mar	5.00 5.0
AO,CXL	07/26	SOMERSET ADV	044	DE	B	4,300	120.45	n/a	n/a	215.00 5.0
AO,CRT	07/26	SOMERSET ADV	044	DE	B	4,300	120.45	n/a	n/a	172.00 4.0
AO,CXL	07/26	PILOT ADV	038	FAST	SS	9,100	43.19	n/a	n/a	364.00 4.0
AO,CRT	07/26	PILOT ADV	038	FAST	SS	9,100	43.19	n/a	n/a	364.00 4.0
AO,CRT	07/26	REINHART & MAHONEY	031	FSP	B	1,311	14.93	n/a	n/a	65.55 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/26	REINHART & MAHONEY	031	FSP	B	1,211	14.93	n/a	n/a	60.55 5.0
AO,	07/26	BASSO CAPITAL	034	KSS	B	30,000	61.79	14-Jun	27-Jul	1500.00 5.0
AO,CRT	07/26	MILLENIUUM PARTNERS	028	LVLTT	B	50,000	4.98	26-Jul	27-Jul	1500.00 3.0
AO,CXL	07/26	MILLENIUUM PARTNERS	028	LVLTT	B	50,000	4.98	26-Jul	27-Jul	2500.00 5.0
AO,	07/26	BASSO CAPITAL	034	PSUN	S	60,000	19.11	n/a	13-Jul	2400.00 4.0
AO,CXL	07/26	BUCK CAPITAL MGMT	001	SSI	S	145,000	18.43	25-May	13-Jul	5800.00 4.0
AO,	07/26	ACT II PARTNERS	067	TWTC	SS	96,100	19.02	4-May	25-Jul	4805.00 5.0
AO,CXL	07/26	STRAUSS ASSET MGMT	040	TXRH	S	15,000	12.99	n/a	n/a	750.00 5.0
AO,CRT	07/26	STRAUSS ASSET MGMT	040	TXRH	S	15,000	12.99	n/a	n/a	750.00 5.0
AO,CXL	07/26	CHILTON CAPITAL MGMT.	036	YUM	SS	25,000	33.10	24-Apr	24-Apr	1000.00 4.0
AO,CRT	07/26	CHILTON CAPITAL MGMT.	036	YUM	SS	25,000	33.10	24-Apr	24-Apr	1000.00 4.0

<b>TOTAL (AO, CXL, CRT) :</b>	<b>2,006.09</b>
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TOTAL (Trade Date) :	216,330.99
TOTAL (AO, CXL, CRT) :	2,006.09

<b>TOTAL (RUN DATE) / NFS :</b>	<b>218,337.08</b>
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MRI Securities with Trade Activity on 27-Jul.

PCH

MRI Securities without Trade Activity on 27-Jul.

JNS, RCL, SHPGY

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 11%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION