



## Daily Compliance Activity Report

Trade Date: 02/13/2009

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	AA	B	285,000	7.57	n/a	n/a	11,400.00	4.0
BALYASNY ASSET MGMT	044	ALV	SS	10,000	19.86	30-Jan	4-Feb	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>30,000</b>	<b>22.63</b>	<b>n/a</b>	<b>6-Feb</b>	<b>1,200.00</b>	<b>4.0</b>
COLUMBIA PARTNERS	028	AN	SS	30,000	10.74	30-Jan	30-Jan	1,200.00	4.0
CENTURION GLOBAL MGMT LL	041	ARW	B	5,000	19.14	n/a	n/a	150.00	3.0
BALYASNY ASSET MGMT	044	BWA	SS	10,000	21.39	27-Jan	13-Feb	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BWA</b>	<b>SS</b>	<b>30,000</b>	<b>20.70</b>	<b>27-Jan</b>	<b>13-Feb</b>	<b>1,200.00</b>	<b>4.0</b>
MILLENIUM KOZLOW	028	BWA	SS	20,000	21.32	27-Jan	13-Feb	800.00	4.0
RAINIER INV MGMT	035	CCC	B	75,000	15.10	n/a	n/a	3,750.00	5.0
ROSEDALE CAPITAL	046	CIT	B	50,000	2.89	22-Jan	11-Feb	1,500.00	3.0
FRONTPOINT/COPIA-ANDREW	031	CPX	SS	105,000	4.44	n/a	n/a	3,675.00	3.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>B</b>	<b>26,400</b>	<b>16.00</b>	<b>n/a</b>	<b>n/a</b>	<b>1,056.00</b>	<b>4.0</b>
BAIRD INVESTMENT	031	CRR	B	4,425	39.03	5-Feb	5-Feb	141.25	3.3
TRUGENT CAPITAL	031	EMR	B	9,300	32.24	n/a	n/a	372.00	4.0
CENTURION GLOBAL MGMT LL	041	FXI	B	17,400	27.00	n/a	n/a	522.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	GES	S	100,000	16.82	n/a	n/a	4,000.00	4.0
KENNEDY CAPITAL	046	GIL	B	79,500	7.24	11-Dec	12-Feb	2,385.00	3.0
MILLENIUM PARTNERS	028	GME	S	15,000	26.35	n/a	n/a	600.00	4.0
BALYASNY ASSET MGMT	044	GNTX	SS	20,000	8.95	30-Jan	30-Jan	800.00	4.0
MILLENIUM KOZLOW	028	HON	B	10,000	32.94	n/a	30-Jan	400.00	4.0
ELM RIDGE ADVISORS	044	IP	B	138,900	6.95	22-Sep	29-Jan	4,167.00	3.0
FRIESS MGMT	033	JOSB	B	50,000	26.80	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	KMT	SS	20,000	18.10	18-Sep	30-Jan	800.00	4.0
MILLENIUM KOZLOW	028	KMT	SS	30,000	18.43	18-Sep	30-Jan	1,200.00	4.0
SAXON WOODS ADVISORS	038	LLY	S	268,700	35.49	n/a	n/a	8,061.00	3.0
MUHLENKAMP FUND	046	MMM	S	95,000	49.80	8-Dec	29-Jan	4,750.00	5.0
INDEPENDENCE INV	039	MON	S	10,010	80.02	n/a	22-Jan	400.40	4.0
COUGAR TRADING	044	M	B	5,000	8.48	n/a	6-Feb	200.00	4.0
BUCK CAPITAL MGMT	001	NKE	B	20,000	44.38	n/a	n/a	800.00	4.0
EGS ASSOCIATES LP	091	PAG	SS	6,000	7.30	n/a	13-Feb	360.00	6.0
FRIESS MGMT	033	RE	B	43,200	71.65	n/a	n/a	1,728.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RL	B	20,000	38.35	n/a	5-Feb	800.00	4.0
GRANITE CAPITAL	045	SHPGY	S	10,000	43.33	15-Oct	13-Feb	500.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	239,400	7.33	n/a	13-Mar	9,576.00	4.0
INDEPENDENCE INV	039	SLB	S	8,310	41.86	n/a	n/a	332.40	4.0
HILLTOP PARK ASSOC	041	TSM	B	50,000	8.37	n/a	n/a	2,000.00	4.0
PORTER-ORLIN-FELDMAN	044	TXN	B	80,000	16.74	n/a	n/a	4,000.00	5.0
PAULSON & CO INC	041	USB	SS	1,000,000	12.99	n/a	n/a	30,000.00	3.0
AVESTA CAP	229	WAG	SS	25,000	26.46	n/a	n/a	1,250.00	5.0
FRIESS MGMT	033	WRB	S	461,800	23.12	n/a	n/a	18,472.00	4.0
<b>TOTAL (Trade Date) :</b>								<b>127,548.05</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/12	MORTAR ROCK (MORTGSEOSP)	033	BWA	SS	5,000	21.80	27-Jan	13-Feb	200.00 4.0
AO,CRT	02/12	MORTAR ROCK (MORTGSEOSP)	033	BWA	SS	5,000	19.91	27-Jan	13-Feb	200.00 4.0
AO,CXL	02/12	MORTAR ROCK (&MORTUBS)	033	BWA	SS	5,000	21.80	27-Jan	13-Feb	200.00 4.0
AO,CXL	02/12	MORTAR ROCK (&MORTUBS)	033	BWA	SS	5,000	19.91	27-Jan	13-Feb	200.00 4.0
AO,	02/12	MANNING & NAPIER (MANNGR8726)	034	FII	B	1,560	20.51	13-Nov	10-Feb	46.80 3.0
AO,	02/12	MANNING & NAPIER (MANNJS2036)	034	FII	B	140	20.51	13-Nov	10-Feb	4.20 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ6364)	034	FII	B	190	20.51	13-Nov	10-Feb	5.70 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ2411)	034	FII	B	4,760	20.51	13-Nov	10-Feb	142.80 3.0
AO,	02/12	MANNING & NAPIER (MANNJS1551)	034	FII	B	1,830	20.51	13-Nov	10-Feb	54.90 3.0
AO,	02/12	MANNING & NAPIER (MANNGR0031)	034	FII	B	940	20.51	13-Nov	10-Feb	28.20 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ2412)	034	FII	B	3,080	20.51	13-Nov	10-Feb	92.40 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0486)	034	FII	B	310	20.51	13-Nov	10-Feb	9.30 3.0
AO,	02/12	MANNING & NAPIER (MANNJS0145)	034	FII	B	380	20.51	13-Nov	10-Feb	11.40 3.0
AO,	02/12	MANNING & NAPIER (MANNCD2519)	034	FII	B	770	20.51	13-Nov	10-Feb	23.10 3.0
AO,	02/12	MANNING & NAPIER (MANNJS0140)	034	FII	B	170	20.51	13-Nov	10-Feb	5.10 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ7171)	034	FII	B	3,270	20.51	13-Nov	10-Feb	98.10 3.0
AO,	02/12	MANNING & NAPIER (MANNJS1501)	034	FII	B	5,140	20.51	13-Nov	10-Feb	154.20 3.0
AO,	02/12	MANNING & NAPIER (MANNCD8072)	034	FII	B	1,810	20.51	13-Nov	10-Feb	54.30 3.0
AO,	02/12	MANNING & NAPIER (MANNJS0045)	034	FII	B	400	20.51	13-Nov	10-Feb	12.00 3.0
AO,	02/12	MANNING & NAPIER (MANNJS0208)	034	FII	B	110	20.51	13-Nov	10-Feb	3.30 3.0
AO,	02/12	MANNING & NAPIER (MANNJS0040)	034	FII	B	120	20.51	13-Nov	10-Feb	3.60 3.0
AO,	02/12	MANNING & NAPIER (MANNCD2514)	034	FII	B	160	20.51	13-Nov	10-Feb	4.80 3.0
AO,	02/12	MANNING & NAPIER (MANNJS9970)	034	FII	B	410	20.51	13-Nov	10-Feb	12.30 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ3057)	034	FII	B	3,400	20.51	13-Nov	10-Feb	102.00 3.0
AO,	02/12	MANNING & NAPIER (MANNJS8924)	034	FII	B	1,600	20.51	13-Nov	10-Feb	48.00 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ1344)	034	FII	B	3,100	20.51	13-Nov	10-Feb	93.00 3.0
AO,	02/12	MANNING & NAPIER (MANNJS8923)	034	FII	B	260	20.51	13-Nov	10-Feb	7.80 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/12	MANNING & NAPIER (MANNGR2022)	034	FII	B	210	20.51	13-Nov	10-Feb	6.30 3.0
AO,	02/12	MANNING & NAPIER (MANNJS8913)	034	FII	B	3,060	20.51	13-Nov	10-Feb	91.80 3.0
AO,	02/12	MANNING & NAPIER (MANNJS0560)	034	FII	B	2,260	20.51	13-Nov	10-Feb	67.80 3.0
AO,	02/12	MANNING & NAPIER (MANNJS4582)	034	FII	B	280	20.51	13-Nov	10-Feb	8.40 3.0
AO,	02/12	MANNING & NAPIER (MANNCD8061)	034	FII	B	540	20.51	13-Nov	10-Feb	16.20 3.0
AO,	02/12	MANNING & NAPIER (MANNJS2016)	034	FII	B	340	20.51	13-Nov	10-Feb	10.20 3.0
AO,	02/12	MANNING & NAPIER (MANNGR2046)	034	FII	B	240	20.51	13-Nov	10-Feb	7.20 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ7287)	034	FII	B	580	20.51	13-Nov	10-Feb	17.40 3.0
AO,	02/12	MANNING & NAPIER (MANNGR2508)	034	FII	B	420	20.51	13-Nov	10-Feb	12.60 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ8572)	034	FII	B	220	20.51	13-Nov	10-Feb	6.60 3.0
AO,	02/12	MANNING & NAPIER (MANNJS5072)	034	FII	B	190	20.51	13-Nov	10-Feb	5.70 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ0974)	034	FII	B	40	20.51	13-Nov	10-Feb	1.20 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ3146)	034	FII	B	350	20.51	13-Nov	10-Feb	10.50 3.0
AO,	02/12	MANNING & NAPIER (MANNCD8675)	034	FII	B	80	20.51	13-Nov	10-Feb	2.40 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0710)	034	FII	B	4,120	20.51	13-Nov	10-Feb	123.60 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ2445)	034	FII	B	430	20.51	13-Nov	10-Feb	12.90 3.0
AO,	02/12	MANNING & NAPIER (MANNGR3123)	034	FII	B	1,850	20.51	13-Nov	10-Feb	55.50 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ2935)	034	FII	B	910	20.51	13-Nov	10-Feb	27.30 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0442)	034	FII	B	110	20.51	13-Nov	10-Feb	3.30 3.0
AO,	02/12	MANNING & NAPIER (MANNCD2123)	034	FII	B	3,560	20.51	13-Nov	10-Feb	106.80 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ3147)	034	FII	B	280	20.51	13-Nov	10-Feb	8.40 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ1325)	034	FII	B	70	20.51	13-Nov	10-Feb	2.10 3.0
AO,	02/12	MANNING & NAPIER (MANNGR2035)	034	FII	B	590	20.51	13-Nov	10-Feb	17.70 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ3863)	034	FII	B	120	20.51	13-Nov	10-Feb	3.60 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ3142)	034	FII	B	810	20.51	13-Nov	10-Feb	24.30 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ3861)	034	FII	B	150	20.51	13-Nov	10-Feb	4.50 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ1433)	034	FII	B	1,970	20.51	13-Nov	10-Feb	59.10 3.0
AO,	02/12	MANNING & NAPIER (MANNGR9050)	034	FII	B	3,580	20.51	13-Nov	10-Feb	107.40 3.0
AO,	02/12	MANNING & NAPIER (MANNCD8067)	034	FII	B	340	20.51	13-Nov	10-Feb	10.20 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0357)	034	FII	B	2,460	20.51	13-Nov	10-Feb	73.80 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/12	MANNING & NAPIER (MANNCD8066)	034	FII	B	1,260	20.51	13-Nov	10-Feb	37.80 3.0
AO,	02/12	MANNING & NAPIER (MANNCD7028)	034	FII	B	200	20.51	13-Nov	10-Feb	6.00 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ4440)	034	FII	B	1,030	20.51	13-Nov	10-Feb	30.90 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ8571)	034	FII	B	1,270	20.51	13-Nov	10-Feb	38.10 3.0
AO,	02/12	MANNING & NAPIER (MANNJS5070)	034	FII	B	280	20.51	13-Nov	10-Feb	8.40 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ8570)	034	FII	B	1,980	20.51	13-Nov	10-Feb	59.40 3.0
AO,	02/12	MANNING & NAPIER (MANNJS0185)	034	FII	B	1,060	20.51	13-Nov	10-Feb	31.80 3.0
AO,	02/12	MANNING & NAPIER (MANNGR9350)	034	FII	B	660	20.51	13-Nov	10-Feb	19.80 3.0
AO,	02/12	MANNING & NAPIER (MANNGR0888)	034	FII	B	2,650	20.51	13-Nov	10-Feb	79.50 3.0
AO,	02/12	MANNING & NAPIER (MANNGR1790)	034	FII	B	310	20.51	13-Nov	10-Feb	9.30 3.0
AO,	02/12	MANNING & NAPIER (MANNCD5115)	034	FII	B	140	20.51	13-Nov	10-Feb	4.20 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ7630)	034	FII	B	460	20.51	13-Nov	10-Feb	13.80 3.0
AO,	02/12	MANNING & NAPIER (MANNCD5114)	034	FII	B	140	20.51	13-Nov	10-Feb	4.20 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ1180)	034	FII	B	570	20.51	13-Nov	10-Feb	17.10 3.0
AO,	02/12	MANNING & NAPIER (MANNCD2051)	034	FII	B	8,720	20.51	13-Nov	10-Feb	261.60 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ3240)	034	FII	B	770	20.51	13-Nov	10-Feb	23.10 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0472)	034	FII	B	180	20.51	13-Nov	10-Feb	5.40 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ1273)	034	FII	B	1,360	20.51	13-Nov	10-Feb	40.80 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0438)	034	FII	B	360	20.51	13-Nov	10-Feb	10.80 3.0
AO,	02/12	MANNING & NAPIER (MANNCD1797)	034	FII	B	2,040	20.51	13-Nov	10-Feb	61.20 3.0
AO,	02/12	MANNING & NAPIER (MANNJS2038)	034	FII	B	1,800	20.51	13-Nov	10-Feb	54.00 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0328)	034	FII	B	1,460	20.51	13-Nov	10-Feb	43.80 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ0246)	034	FII	B	230	20.51	13-Nov	10-Feb	6.90 3.0
AO,	02/12	MANNING & NAPIER (MANNGR6395)	034	FII	B	1,080	20.51	13-Nov	10-Feb	32.40 3.0
AO,	02/12	MANNING & NAPIER (MANNCD7933)	034	FII	B	60	20.51	13-Nov	10-Feb	1.80 3.0
AO,	02/12	MANNING & NAPIER (MANNGR8301)	034	FII	B	270	20.51	13-Nov	10-Feb	8.10 3.0
AO,	02/12	MANNING & NAPIER (MANNGR6216)	034	FII	B	3,510	20.51	13-Nov	10-Feb	105.30 3.0
AO,	02/12	MANNING & NAPIER (MANNGR7845)	034	FII	B	270	20.51	13-Nov	10-Feb	8.10 3.0
AO,	02/12	MANNING & NAPIER (MANNJS1504)	034	FII	B	3,940	20.51	13-Nov	10-Feb	118.20 3.0
AO,	02/12	MANNING & NAPIER (MANNGR5820)	034	FII	B	1,280	20.51	13-Nov	10-Feb	38.40 3.0

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AO,	02/12	MANNING & NAPIER (MANNMJ5013)	034	FII	B	240	20.51	13-Nov	10-Feb	7.20 3.0
AO,	02/12	MANNING & NAPIER (MANNGR5292)	034	FII	B	140	20.51	13-Nov	10-Feb	4.20 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0448)	034	FII	B	310	20.51	13-Nov	10-Feb	9.30 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ2465)	034	FII	B	500	20.51	13-Nov	10-Feb	15.00 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ4442)	034	FII	B	1,020	20.51	13-Nov	10-Feb	30.60 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ4637)	034	FII	B	190	20.51	13-Nov	10-Feb	5.70 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0425)	034	FII	B	1,920	20.51	13-Nov	10-Feb	57.60 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0349)	034	FII	B	3,780	20.51	13-Nov	10-Feb	113.40 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0437)	034	FII	B	430	20.51	13-Nov	10-Feb	12.90 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0336)	034	FII	B	1,290	20.51	13-Nov	10-Feb	38.70 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0136)	034	FII	B	4,020	20.51	13-Nov	10-Feb	120.60 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ4995)	034	FII	B	100	20.51	13-Nov	10-Feb	3.00 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0138)	034	FII	B	660	20.51	13-Nov	10-Feb	19.80 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ2166)	034	FII	B	270	20.51	13-Nov	10-Feb	8.10 3.0
AO,	02/12	MANNING & NAPIER (MANNJS1037)	034	FII	B	290	20.51	13-Nov	10-Feb	8.70 3.0
AO,	02/12	MANNING & NAPIER (MANNCD1206)	034	FII	B	970	20.51	13-Nov	10-Feb	29.10 3.0
AO,	02/12	MANNING & NAPIER (MANNJS1038)	034	FII	B	290	20.51	13-Nov	10-Feb	8.70 3.0
AO,	02/12	MANNING & NAPIER (MANNGR1455)	034	FII	B	150	20.51	13-Nov	10-Feb	4.50 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0428)	034	FII	B	2,830	20.51	13-Nov	10-Feb	84.90 3.0
AO,	02/12	MANNING & NAPIER (MANNGR4606)	034	FII	B	290	20.51	13-Nov	10-Feb	8.70 3.0
AO,	02/12	MANNING & NAPIER (MANNJS1900)	034	FII	B	1,310	20.51	13-Nov	10-Feb	39.30 3.0
AO,	02/12	MANNING & NAPIER (MANNGR4605)	034	FII	B	320	20.51	13-Nov	10-Feb	9.60 3.0
AO,	02/12	MANNING & NAPIER (MANNOMNIHE)	034	FII	B	2,140	20.51	13-Nov	10-Feb	64.20 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ7268)	034	FII	B	170	20.51	13-Nov	10-Feb	5.10 3.0
AO,	02/12	MANNING & NAPIER (MANNGR5219)	034	FII	B	1,760	20.51	13-Nov	10-Feb	52.80 3.0
AO,	02/12	MANNING & NAPIER (MANNCD1328)	034	FII	B	17,100	20.51	13-Nov	10-Feb	513.00 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ3002)	034	FII	B	540	20.51	13-Nov	10-Feb	16.20 3.0
AO,	02/12	MANNING & NAPIER (MANNCD8157)	034	FII	B	2,110	20.51	13-Nov	10-Feb	63.30 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0392)	034	FII	B	170	20.51	13-Nov	10-Feb	5.10 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ3141)	034	FII	B	1,770	20.51	13-Nov	10-Feb	53.10 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/12	MANNING & NAPIER (MANNCD0344)	034	FII	B	900	20.51	13-Nov	10-Feb	27.00 3.0
AO,	02/12	MANNING & NAPIER (MANNCD6661)	034	FII	B	140	20.51	13-Nov	10-Feb	4.20 3.0
AO,	02/12	MANNING & NAPIER (MANNGR2032)	034	FII	B	2,200	20.51	13-Nov	10-Feb	66.00 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ4644)	034	FII	B	160	20.51	13-Nov	10-Feb	4.80 3.0
AO,	02/12	MANNING & NAPIER (MANNCD9109)	034	FII	B	100	20.51	13-Nov	10-Feb	3.00 3.0
AO,	02/12	MANNING & NAPIER (MANNCD7783)	034	FII	B	3,840	20.51	13-Nov	10-Feb	115.20 3.0
AO,	02/12	MANNING & NAPIER (MANNCD1759)	034	FII	B	1,240	20.51	13-Nov	10-Feb	37.20 3.0
AO,	02/12	MANNING & NAPIER (MANNJS9998)	034	FII	B	520	20.51	13-Nov	10-Feb	15.60 3.0
AO,	02/12	MANNING & NAPIER (MANNGR2507)	034	FII	B	840	20.51	13-Nov	10-Feb	25.20 3.0
AO,	02/12	MANNING & NAPIER (MANNOMNIBU)	034	FII	B	223,120	20.51	13-Nov	10-Feb	6693.60 3.0
AO,	02/12	MANNING & NAPIER (MANNGR0029)	034	FII	B	310	20.51	13-Nov	10-Feb	9.30 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0484)	034	FII	B	60	20.51	13-Nov	10-Feb	1.80 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ5008)	034	FII	B	4,600	20.51	13-Nov	10-Feb	138.00 3.0
AO,	02/12	MANNING & NAPIER (MANNCDE047)	034	FII	B	4,770	20.51	13-Nov	10-Feb	143.10 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ2793)	034	FII	B	130	20.51	13-Nov	10-Feb	3.90 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ1656)	034	FII	B	130	20.51	13-Nov	10-Feb	3.90 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0261)	034	FII	B	510	20.51	13-Nov	10-Feb	15.30 3.0
AO,	02/12	MANNING & NAPIER (MANNMJ2885)	034	FII	B	500	20.51	13-Nov	10-Feb	15.00 3.0
AO,	02/12	MANNING & NAPIER (MANNGR5130)	034	FII	B	430	20.51	13-Nov	10-Feb	12.90 3.0
AO,	02/12	MANNING & NAPIER (MANNJS1505)	034	FII	B	390	20.51	13-Nov	10-Feb	11.70 3.0
AO,	02/12	MANNING & NAPIER (MANNGR6470)	034	FII	B	730	20.51	13-Nov	10-Feb	21.90 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ1655)	034	FII	B	210	20.51	13-Nov	10-Feb	6.30 3.0
AO,	02/12	MANNING & NAPIER (MANNJS2035)	034	FII	B	140	20.51	13-Nov	10-Feb	4.20 3.0
AO,	02/12	MANNING & NAPIER (MANNGR6048)	034	FII	B	940	20.51	13-Nov	10-Feb	28.20 3.0
AO,	02/12	MANNING & NAPIER (MANNCD2387)	034	FII	B	410	20.51	13-Nov	10-Feb	12.30 3.0
AO,	02/12	MANNING & NAPIER (MANNCD0499)	034	FII	B	1,950	20.51	13-Nov	10-Feb	58.50 3.0
AO,	02/12	MANNING & NAPIER (MANNEQ8356)	034	FII	B	240	20.51	13-Nov	10-Feb	7.20 3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>12,000.00</b>



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TOTAL (Trade Date):	127,548.05
TOTAL (AO, CXL, CRT):	12,000.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>139,548.05</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION