



Daily Compliance Activity Report

Trade Date: 04/24/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	S	5,800	30.50	4-Jan	13-Apr	232.00	4.0
CHARTER OAK	033	AFFY	B	2,100	33.64	n/a	n/a	105.00	5.0
MKT MAKER	099	AFFY	B	6	33.64	n/a	n/a	0.00	0.0
CATAPULT	040	AGE	S	10,000	72.83	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	AGIL	S	5,000	7.10	n/a	n/a	250.00	5.0
AMATURO	018	AMRN	S	15,000	0.75	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ANN	SS	5,000	38.15	5-Jan	13-Apr	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	AVZ	S	150,000	23.08	5-Mar	23-Apr	5,625.00	3.8
WAGONHOUND	048	AXE	S	9,700	70.82	24-Apr	24-Apr	388.00	4.0
BEAR STEARNS ASSET MGMT.	033	BA	S	95,900	93.69	n/a	n/a	3,836.00	4.0
BUCK CAPITAL MGMT	001	BBW	S	10,000	26.78	19-Mar	19-Mar	400.00	4.0
BUCK CAPITAL MGMT	001	BBY	S	3,000	48.62	13-Dec	5-Apr	120.00	4.0
BALYASNY ASSET MGMT	044	BELM	S	5,000	7.10	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	BEN	B	20,000	129.00	10-Apr	23-Apr	1,000.00	5.0
BALYASNY ASSET MGMT	044	BE	B	10,000	7.40	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUNOVA CAPITAL	031	BLK	B	36,800	151.99	n/a	23-Apr	5.00	0.0
ZOLIDIS	011	CBK	S	1,000	18.00	30-Mar	13-Apr	0.00	0.0
MCCARTAN	028	CBK	S	500	17.96	30-Mar	13-Apr	0.00	0.0
BALYASNY ASSET MGMT	044	CCI	B	2,500	34.77	n/a	n/a	125.00	5.0
IRIDIAN ASSET MGMT.	036	CEG	S	8,640	92.69	n/a	n/a	432.00	5.0
LED DY	035	CFC	S	300	36.32	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	CHD	S	29,900	51.23	7-Feb	7-Feb	1,495.00	5.0
LOOMIS SALES/BOSTON	036	CHS	B	45,000	25.96	27-Mar	13-Apr	1,800.00	4.0
SLS CAPITAL LLC	224	CLMS	B	15,900	23.66	11-Apr	23-Apr	795.00	5.0
WAGONHOUND	048	CLMS	B	10,000	23.37	11-Apr	23-Apr	400.00	4.0
CASTLEROCK	040	CMI	B	21,200	88.03	n/a	n/a	848.00	4.0
BUCK CAPITAL MGMT	001	COH	B	70,000	51.23	23-Feb	20-Apr	2,800.00	4.0
WAGONHOUND	048	COST	S	11,900	54.15	n/a	12-Apr	476.00	4.0
PAKLER	N22	CPNLQ	S	763	3.63	n/a	8-Mar	0.00	0.0
LED DY	035	CRI	S	400	25.21	n/a	n/a	0.00	0.0
PAKLER	N22	CROX	B	52	52.90	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	CWTR	SS	500	21.58	n/a	n/a	0.00	0.0
GRUSS CAPITAL	040	DEL	B	5,000	50.16	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	57.03	n/a	n/a	400.00	4.0
GRISANTII BROWN & PARTNER	048	EMC	B	21,800	15.46	n/a	n/a	1,090.00	5.0
BALYASNY ASSET MGMT	044	FII	S	60,000	38.69	n/a	23-Apr	3,000.00	5.0
BUCK CAPITAL MGMT	001	FL	S	3,000	23.87	n/a	19-Mar	120.00	4.0
BUCK CAPITAL MGMT	001	GM	SS	10,000	30.60	n/a	5-Apr	400.00	4.0
COUGAR TRADING	044	GNTX	SS	5,000	18.46	n/a	24-Apr	250.00	5.0
SLS CAPITAL LLC	224	GS	SS	58,800	221.30	13-Dec	16-Apr	2,940.00	5.0
COUGAR TRADING	044	GYMB	SS	5,000	38.38	4-Jan	13-Apr	250.00	5.0
MATTHES CAPITAL MGMT	033	HBI	B	5,000	28.26	n/a	n/a	200.00	4.0
LOOMIS SALES/BOSTON	036	HD	B	452,927	39.29	n/a	1-Mar	18,117.08	4.0
BLUE HARBOR	036	HIBB	B	100,000	29.54	n/a	n/a	5,000.00	5.0
MKT MAKER	099	HOT	B	3,300	68.50	n/a	n/a	0.00	0.0
CATAPULT	040	HRB	B	5,000	22.47	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	INPC	B	2,500	9.77	n/a	n/a	125.00	5.0
LOOMIS SALES/BOSTON	036	JCP	B	1,200	81.50	20-Apr	20-Apr	48.00	4.0
NOLAN	067	JDSU	B	40	15.75	n/a	n/a	0.00	0.0
CATAPULT	040	JNC	S	6,400	50.88	n/a	23-Apr	320.00	5.0
MAGNETAR FINANCIAL	247	KELYA	B	25,000	29.54	n/a	n/a	1,000.00	4.0
ROTHSCHILD ASSET	040	KEY	S	23,040	36.28	n/a	n/a	1,036.80	4.5
MAGUIRE	090	KOG	B	5,000	4.98	n/a	n/a	0.00	0.0
BARR	096	KOG	B	5,000	4.97	n/a	n/a	0.00	0.0
WOOSTER CAPITAL	035	LM	SS	50,000	97.16	10-Apr	23-Apr	2,500.00	5.0
JACOB	068	LNCR	S	400	39.02	21-Dec	24-Apr	0.00	0.0
DAVIDSON KEMPNER ADVISOR	217	MEDI	B	200,000	56.72	n/a	n/a	8,000.00	4.0
WASHINGTON ASSET	048	MWA	S	15,000	14.35	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	NDN	S	12,100	14.59	16-Jan	12-Apr	484.00	4.0
BALYASNY ASSET MGMT	044	NSR	B	5,000	29.10	n/a	n/a	250.00	5.0
BARR	096	NXG	B	5,000	3.70	n/a	n/a	0.00	0.0
MERRILL ASSET	032	OHI	B	6,400	17.90	n/a	n/a	288.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL LYNCH STRATEGICI	034	OI	B	16,500	26.24	n/a	n/a	825.00	5.0
GRUSS CAPITAL	040	PCL	B	5,000	40.33	n/a	24-Apr	200.00	4.0
LEDDY	035	PCL	S	1,000	40.25	n/a	24-Apr	0.00	0.0
JACOB	068	PCL	S	400	40.24	n/a	24-Apr	0.00	0.0
AMATURO	018	PFE	S	1,000	26.23	n/a	31-Mar	0.00	0.0
XYLEM GLOBAL	091	PKG	B	85,700	24.59	n/a	18-Apr	4,285.00	5.0
COUGAR TRADING	044	PLCE	S	5,000	51.07	12-Apr	13-Apr	250.00	5.0
SATELLITE ASSET MGMT	012	PLCE	B	30,000	51.06	12-Apr	13-Apr	1,500.00	5.0
BELMONT GLOBAL ADV	248	POL	B	7,600	6.27	n/a	n/a	380.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	15,000	57.34	28-Mar	28-Mar	600.00	4.0
FRIESS MGMT	042	PVH	B	62,300	57.74	28-Mar	28-Mar	2,802.90	4.5
BUCK CAPITAL MGMT	001	RL	S	10,000	96.57	2-Feb	13-Apr	400.00	4.0
NEUBERGER BERMAN	036	ROL	B	16,600	23.11	n/a	n/a	830.00	5.0
SNYDER CAPITAL	038	RRD	S	71,700	41.93	n/a	n/a	2,868.00	4.0
SYND	038	RRD	S	500	41.93	n/a	n/a	20.00	4.0
NEUBERGER BERMAN	036	SFY	S	39,200	41.16	n/a	n/a	1,960.00	5.0
BEAR STEARNS ASSET MGMT.	033	SLB	C	25,000	74.07	n/a	n/a	1,000.00	4.0
COUGAR TRADING	044	SMRT	SS	10,000	17.04	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	TCB	B	15,000	25.87	n/a	n/a	750.00	5.0
SATELLITE ASSET MGMT	012	TGT	B	20,000	59.99	n/a	24-Apr	800.00	4.0
LISTED ERR	099	TGT	B	20,000	59.94	n/a	24-Apr	800.00	4.0
LISTED ERR	099	TGT	S	20,000	59.93	n/a	24-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	TLB	S	10,000	24.19	10-Jan	8-Mar	400.00	4.0
BALYASNY ASSET MGMT	044	TSM	B	50,000	10.79	n/a	n/a	2,500.00	5.0
BARR	096	TWM	S	400	63.63	n/a	n/a	0.00	0.0
SAVANNAH-BALTIMORE	012	T	SS	80,000	39.34	26-Jan	24-Apr	4,000.00	5.0
BUCK CAPITAL MGMT	001	UAG	B	10,000	20.96	n/a	13-Apr	400.00	4.0
FRIESS MGMT	042	URBN	B	391,400	24.82	2-Apr	2-Apr	17,613.00	4.5
COLONIAL FUND LLC	036	USG	SS	25,000	46.96	17-Apr	18-Apr	1,250.00	5.0
FRONTPOINT	044	VLO	B	50,000	68.46	n/a	n/a	2,500.00	5.0
GLG PARTNERS	034	WEN	SS	50,000	32.12	24-Apr	24-Apr	2,500.00	5.0
XYLEM GLOBAL	091	WY	B	10,000	76.47	15-Dec	18-Apr	500.00	5.0
KORMAN	003	YTEC	B	2,000	8.53	n/a	n/a	0.00	0.0
CATAPULT	040	ZION	SS	5,000	82.06	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	ZQK	S	15,000	13.31	9-Mar	17-Apr	600.00	4.0
TOTAL (Trade Date):								122,934.78	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	04/19	REICH AND TANG (RICHMCNEIL)	067	IMN	B	700	36.87	n/a	n/a	0.00	0.0
AO,CRT	04/20	MKT MAKER (MKT MAKER)	099	SYN	B	50	33.59	24-Apr	24-Apr	0.00	0.0
AO,	04/20	LISTED ERR (LISTED ERR)	099	SYN	B	3,200	33.59	24-Apr	24-Apr	0.00	0.0
AO,	04/20	LISTED ERR (LISTED ERR)	099	SYN	S	3,200	33.59	24-Apr	24-Apr	0.00	0.0
CRT	04/24	LISTED ERR (LISTED ERR)	099	TGT	B	20,000	59.94	n/a	24-Apr	800.00	4.0
AO,CRT	04/23	NCM CAPITAL MGMT (NCMC584PEN)	035	WAG	B	4,050	45.93	n/a	n/a	202.50	5.0
AO,CRT	04/23	NCM CAPITAL MGMT (NCMC803PEN)	035	WAG	B	3,650	45.93	n/a	n/a	182.50	5.0
AO,CXL	04/23	NCM CAPITAL MGMT (NCMC803MID)	035	WAG	B	3,650	45.93	n/a	n/a	182.50	5.0
AO,CXL	04/23	NCM CAPITAL MGMT (NCMCLCL584)	035	WAG	B	4,050	45.93	n/a	n/a	202.50	5.0
TOTAL (AO, CXL, CRT) :										800.00	
TOTAL (Trade Date) :									122,934.78		
TOTAL (AO, CXL, CRT) :									800.00		
TOTAL (RUN DATE) / NFS :									123,734.78		

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION