



Daily Compliance Activity Report

Trade Date: 02/09/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRELLUS PARTNERS LP	028	ALV	SS	2,500	20.71	30-Jan	4-Feb	125.00	5.0
BAIRD INVESTMENT	031	APC	B	2,925	41.58	3-Feb	4-Feb	146.25	5.0
THE BOSTON COMPANY	401	ARO	S	126,911	22.91	n/a	6-Feb	5,076.44	4.0
FRIESS MGMT	033	AZO	S	98,000	142.67	n/a	n/a	3,920.00	4.0
BALYASNY ASSET MGMT	044	BIG	B	75,000	15.19	5-Dec	5-Feb	3,750.00	5.0
TRELLUS PARTNERS LP	028	BWA	SS	2,500	20.49	27-Jan	27-Jan	125.00	5.0
MILLENIUM PARTNERS	028	CAT	S	22,000	32.95	22-Oct	27-Jan	880.00	4.0
RAMIUS CAP	040	CCK	S	75,000	21.62	n/a	n/a	3,750.00	5.0
B BUCK CAPITAL MGMT		DECK	B	20,389	56.66	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		DECK	B	7,500	57.38	n/a	n/a	non-brg	2.0
f KEVIN MICHAEL O'GORM	FID	DXO	B	5000	2.81	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	EGP	S	12,700	30.85	n/a	n/a	571.50	4.5
ROTHSCHILD ASSET	040	EMS	B	11,100	34.72	n/a	n/a	499.51	4.5
B BUCK CAPITAL MGMT		EQR	SS	40,000	22.87	n/a	n/a	non-brg	4.0
1798 CAPITAL MGMT	040	FDO	B	20,000	27.56	7-Jan	4-Feb	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		FOSL	S	1,006	12.06	23-Jan	30-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		FOSL	S	100	12.06	23-Jan	30-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		FOSL	S	125,476	12.01	23-Jan	30-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FOSL	S	41,800	12.03	23-Jan	30-Jan	non-brg	1.5
BUCK CAPITAL MGMT	001	FO	SS	50,000	33.16	n/a	n/a	2,000.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	FRED	B	500	9.51	n/a	27-Mar	0.00	0.0
⚡ BUCK CAPITAL MGMT		FUA+CV[???	SS	2,000	1.00	n/a	n/a	non-brg	300.0
DIAMONDBACK CAP MGMT	232	GE	SS	100,000	12.36	n/a	n/a	5,000.00	5.0
GOLDMAN SACHS ASSET MGMT	044	HPQ	S	95,200	36.26	n/a	n/a	2,856.00	3.0
IRIDIAN ASSET MGMT.	036	HUM	C	44,870	45.62	n/a	n/a	2,243.50	5.0
⚡ BUCK CAPITAL MGMT		JCG+CC[???	SS	1,000	0.50	n/a	n/a	non-brg	100.0
SIGMA CAPITAL ASSOCIATES	237	JCP	B	50,000	16.20	6-Feb	6-Feb	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		JOSB	B	10,000	28.07	n/a	n/a	non-brg	4.0
ROBX	033	JOYG	C	3,020	24.67	26-Jan	26-Jan	120.80	4.0
JENNISON	032	KLAC	B	800,000	20.64	n/a	n/a	29,600.00	3.7
SANDLER CAPITAL	038	LDK	B	100,000	11.34	n/a	n/a	6,000.00	6.0
⚡ BUCK CAPITAL MGMT		MCD+OK[???	SS	1,500	1.25	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	MCD	B	43,000	58.37	13-Oct	9-Feb	1,720.00	4.0
f KAREN F LEDDY	FID	MGA	S	500	31.09	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	MGA	SS	2,700	30.91	n/a	n/a	135.00	5.0
WHITE PINE CAPITAL	030	MI	B	49,600	5.58	n/a	n/a	2,480.00	5.0
PROSPECTOR PARTNERS LLC	036	NEM	S	10,000	40.55	n/a	n/a	400.00	4.0
PROSPECTOR PARTNERS LLC	036	NP	S	10,000	5.04	16-Dec	20-Jan	400.00	4.0
PROSPECTOR PARTNERS LLC	036	NXV	S	20,000	15.75	n/a	n/a	800.00	4.0
PROSPECTOR PARTNERS LLC	036	NYT	S	10,000	4.86	n/a	n/a	400.00	4.0
REICH AND TANG	067	OMG	S	20,000	22.79	n/a	n/a	1,000.00	5.0
TRELLUS PARTNERS LP	028	PCAR	SS	15,000	30.76	20-Nov	2-Feb	750.00	5.0
MILLENIUM PARTNERS	028	PH	B	15,000	41.58	n/a	11-Dec	600.00	4.0
PROSPECTOR PARTNERS LLC	036	PPS	S	20,000	12.00	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		PSB	SS	20,500	42.27	n/a	n/a	non-brg	4.0
COLUMBIA PARTNERS	028	QDEL	B	45,493	13.36	n/a	n/a	1,819.72	4.0
BUCK CAPITAL MGMT	001	RL	B	10,000	40.86	n/a	5-Feb	400.00	4.0
CASTLE ARK	031	RRC	S	163,700	40.60	n/a	n/a	9,822.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	SKT	S	12,600	31.35	n/a	n/a	567.00	4.5
ß BUCK CAPITAL MGMT		SKX+CU[???	SS	500	0.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+DU[???	SS	500	0.90	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	SSI	S	40,000	8.09	n/a	6-Feb	1,200.00	3.0
ß BUCK CAPITAL MGMT		SSI	S	77,528	8.11	n/a	6-Feb	non-brg	1.0
BUCK CAPITAL MGMT	001	SUI	S	30,000	12.46	n/a	n/a	1,200.00	4.0
f KEVIN MICHAEL O'GORM	FID	TBT	B	500	49.34	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	TBT	B	100	49.80	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	TBT	B	100	49.84	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		UDR	C	8	11.10	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		URBN	S	10,000	17.98	5-Nov	30-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		URBN	S	50,000	18.01	5-Nov	30-Jan	non-brg	4.0
f EDWARD W WHEELER	FID	UYG	S	20000	3.66	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WINN	SS	10,000	12.00	n/a	n/a	non-brg	1.0
CAXTON PART	040	WMI	S	11,889	29.00	n/a	n/a	416.12	3.5
ß BUCK CAPITAL MGMT		WMS	SS	11,499	19.61	n/a	n/a	non-brg	1.0
HARVEST MGMT	039	ZUMZ	B	10,000	8.88	n/a	n/a	300.00	3.0

TOTAL (Trade Date):

95,373.84

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/05	LITESPEED	028	BWA	SS	100,000	18.59	27-Jan	27-Jan	3000.00 3.0
AO,CXL	02/05	LITESPEED	028	BWA	SS	100,000	18.59	27-Jan	27-Jan	5000.00 5.0
AO,CRT	02/06	LITESPEED	028	BWA	SS	70,000	20.37	27-Jan	27-Jan	2100.00 3.0
AO,CXL	02/06	LITESPEED	028	BWA	SS	70,000	20.37	27-Jan	27-Jan	3500.00 5.0
AO,	02/06	RESURGENCE ASSET MGMT	070	BWA	SS	20,000	21.35	27-Jan	27-Jan	1000.00 5.0
AO,CXL	02/06	STELLIAM INV ADV	040	CMG	SS	15,000	51.17	23-Oct	9-Feb	750.00 5.0
AO,CRT	02/06	STELLIAM INV ADV	040	CMG	SS	15,000	51.17	23-Oct	9-Feb	750.00 5.0
AO,CRT	02/05	COOPER CREEK PART	028	HAR	B	10,000	13.49	5-Feb	5-Feb	400.00 4.0
AO,CXL	02/05	COOPER CREEK PART	028	HAR	B	10,000	13.49	5-Feb	5-Feb	500.00 5.0
AO,CRT	02/06	BALYASNY ASSET MGMT	044	LTD	S	30,000	8.83	8-Jan	30-Jan	1200.00 4.0
AO,CXL	02/06	BALYASNY ASSET MGMT	044	LTD	S	30,000	8.83	8-Jan	30-Jan	1500.00 5.0
AO,CXL	02/06	JP MORGAN VENTURES	046	M	S	150,000	9.59	n/a	6-Feb	7500.00 5.0
AO,CRT	02/06	JP MORGAN VENTURES	046	M	S	150,000	9.59	n/a	6-Feb	4500.00 3.0
AO,CXL	02/06	WHITE PINE CAPITAL	030	MI	B	11,800	4.86	n/a	n/a	472.00 4.0
AO,CRT	02/06	WHITE PINE CAPITAL	030	MI	B	11,800	4.86	n/a	n/a	472.00 4.0
AO,CRT	02/06	AMBER CAPITAL	041	SNV	SS	250,000	4.07	n/a	n/a	10000.00 4.0
AO,CXL	02/06	ARAGON GLOBAL	041	SNV	SS	250,000	4.07	n/a	n/a	10000.00 4.0
TOTAL (AO, CXL, CRT) :										-5,800.00

TOTAL (Trade Date):	95,373.84
TOTAL (AO, CXL, CRT):	-5,800.00

TOTAL (RUN DATE) / NFS:	89,573.84
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MRI Securities with Trade Activity on 9-Feb. NONE
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MRI Securities without Trade Activity on 9-Feb. GLW, WY
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 21% YTD = 25%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)