



## Daily Compliance Activity Report

Trade Date: 03/23/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYAL CAPITAL	039	AGO	S	120,500	26.66	n/a	n/a	6,025.00	5.0
CHARTER OAK	037	APC	B	50,000	41.77	27-Feb	12-Mar	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>10,000</b>	<b>40.93</b>	<b>23-Oct</b>	<b>16-Mar</b>	<b>400.00</b>	<b>4.0</b>
INTEGRITY CAPITAL MGMT	039	AT	B	5,000	62.23	n/a	n/a	150.00	3.0
MORTAR ROCK	033	AXL	SS	10,000	27.99	n/a	n/a	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBW</b>	<b>S</b>	<b>5,000</b>	<b>27.77</b>	<b>19-Mar</b>	<b>19-Mar</b>	<b>200.00</b>	<b>4.0</b>
SUNOVA CAPITAL	031	BEN	B	10,000	122.98	22-Mar	23-Mar	500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>BFB</b>	<b>B</b>	<b>500</b>	<b>64.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>SS</b>	<b>10,000</b>	<b>33.10</b>	<b>9-Mar</b>	<b>16-Mar</b>	<b>400.00</b>	<b>4.0</b>
DAVIDSON KEMPNER ADVISOR	217	BMY	B	100,000	27.77	n/a	n/a	5,000.00	5.0
CAPITAL GROWTH MGMT.	241	BNI	S	47,000	82.40	n/a	n/a	1,880.00	4.0
BECK MACK & OLIVER LLC	044	BOL	S	4,000	49.90	n/a	n/a	200.00	5.0
CAPITAL GROWTH MGMT.	241	BSC	S	4,000	152.95	15-Dec	16-Mar	200.00	5.0
<b>BUCK</b>	<b>015</b>	<b>CAKE</b>	<b>B</b>	<b>1,500</b>	<b>27.40</b>	<b>7-Feb</b>	<b>7-Feb</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CBK	B	30,000	18.78	11-Dec	9-Mar	1,200.00	4.0
EGS ASSOCIATES LP	091	CC	B	4,500	18.72	20-Dec	23-Mar	270.00	6.0
HARVEST MGMT	039	CC	SS	25,000	18.40	20-Dec	23-Mar	1,250.00	5.0
PRIME LOGIC ADV	039	CENT	B	1,900	15.10	8-Feb	8-Feb	95.00	5.0
ZOLIDIS	011	CHS	SS	200	23.85	5-Jan	9-Mar	0.00	0.0
SUTERA	160	CHS	SS	1,000	23.76	5-Jan	9-Mar	0.00	0.0
SLS CAPITAL LLC	224	CLMS	SS	63,900	22.57	12-Mar	23-Mar	3,195.00	5.0
MERRILL ASSET	032	CNB	S	35,600	25.66	n/a	n/a	1,780.00	5.0
CAPITAL GROWTH MGMT.	241	COH	S	9,000	50.77	23-Feb	23-Feb	450.00	5.0
MILLRACE FUND LP	033	CRR	B	1,200	46.40	1-Feb	1-Feb	48.00	4.0
AMBER CAPITAL	046	CVC	B	86,400	30.30	12-Jan	28-Feb	3,456.00	4.0
CAXTON PART	040	CVH	S	62,900	56.12	n/a	n/a	2,516.00	4.0
BUCK	015	CVX	S	400	73.93	n/a	n/a	0.00	0.0
ZOLIDIS	011	CWTR	SS	300	19.59	n/a	n/a	0.00	0.0
LISTED ERR	099	CYH	B	1,200	34.79	19-Mar	20-Mar	0.00	0.0
LISTED ERR	099	CYH	SS	1,200	34.79	19-Mar	20-Mar	0.00	0.0
BARR	096	DITC	B	1,500	8.20	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	DKS	B	15,000	57.17	n/a	n/a	600.00	4.0
LEDDY	035	DLIA	B	1,400	9.31	n/a	n/a	0.00	0.0
BUCK	015	DVN	S	300	68.08	9-Feb	9-Feb	0.00	0.0
BUCK	015	EVVV	S	1,200	20.28	n/a	n/a	0.00	0.0
BARON ASSET MGMT*	012	EV	B	12,000	35.67	2-Nov	23-Mar	600.00	5.0
BELMONT GLOBAL ADV	248	FL	B	10,000	23.70	n/a	19-Mar	500.00	5.0
BECK MACK & OLIVER LLC	044	HCC	S	1,000	30.51	n/a	n/a	50.00	5.0
GRONET	030	HC	S	1,000	22.27	14-Mar	14-Mar	0.00	0.0
MKT MAKER	099	HOTT	S	16	11.32	n/a	15-Mar	0.00	0.0
CAPITAL GROWTH MGMT.	241	HRS	S	8,000	50.23	31-Jan	31-Jan	400.00	5.0
MERRILL ASSET	032	IFC	S	12,700	19.25	n/a	n/a	635.00	5.0
WHITE PINE CAPITAL	030	IFF	S	200	47.86	30-Jan	22-Mar	10.00	5.0
RIVER RUN MGMT	031	ITCD	B	103,000	3.24	n/a	n/a	3,090.00	3.0
COUGAR TRADING	044	JCG	B	5,000	39.60	14-Mar	14-Mar	250.00	5.0
MERRILL LYNCH STRATEGICI	034	JWN	B	5,000	53.43	27-Feb	9-Mar	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	KCP	B	5,000	26.80	n/a	28-Feb	200.00	4.0
GALLEON MGMT LP	033	KG	S	75,000	19.54	n/a	n/a	3,750.00	5.0
CAPITAL GROWTH MGMT.	241	LEH	S	20,000	73.50	n/a	14-Mar	850.00	4.5
XYLEM GLOBAL	091	LPX	SS	96,600	20.61	20-Mar	20-Mar	4,830.00	5.0
Õ Glenn Redemann		LSS	B	3	0.7000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LSS	B	3	1.1000	n/a	n/a	0.00	0.0
KORMAN	003	LVQEH	S	100	0.75	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	MAN	S	9,000	75.43	n/a	n/a	450.00	5.0
MERRILL LYNCH STRATEGICI	034	MOS	B	50,000	27.72	n/a	n/a	2,000.00	4.0
EFSTATHIOU	044	MOT	S	400	17.68	n/a	n/a	0.00	0.0
WASHINGTON ASSET	048	MWV	B	5,000	30.70	20-Mar	20-Mar	250.00	5.0
ZOLIDIS	011	OPMR	B	1,000	8.72	n/a	n/a	0.00	0.0
Õ Glenn Redemann		OSIP	B	7	0.1600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		OSIP	B	7	0.2700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		OSIP	S	7	0.8300	n/a	n/a	0.00	0.0
Õ Glenn Redemann		OSIP	S	7	1.2500	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	PCP	S	11,000	103.76	n/a	n/a	550.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL LYNCH STRATEGICI	034	PLCE	B	5,000	57.54	n/a	21-Mar	250.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>PSMT</b>	<b>S</b>	<b>227</b>	<b>16.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	PSUN	SS	20,000	20.40	n/a	16-Mar	1,000.00	5.0
MILLENIUM PARTNERS	028	PXR	B	25,000	28.50	n/a	n/a	1,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>30,000</b>	<b>89.60</b>	<b>2-Feb</b>	<b>16-Feb</b>	<b>1,200.00</b>	<b>4.0</b>
STADIA CAPITAL	035	RL	S	60,000	89.56	2-Feb	16-Feb	2,400.00	4.0
<b>MKT MAKER</b>	<b>099</b>	<b>SCRX</b>	<b>S</b>	<b>93</b>	<b>23.56</b>	<b>20-Dec</b>	<b>19-Mar</b>	<b>0.00</b>	<b>0.0</b>
BECK MACK & OLIVER LLC	044	SLB	S	300	69.14	n/a	n/a	15.00	5.0
<b>KAICHER</b>	<b>064</b>	<b>SNCR</b>	<b>B</b>	<b>1,000</b>	<b>18.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WAGONHOUND	048	TIF	B	14,400	45.55	n/a	23-Mar	576.00	4.0
CASTLE ARK	031	TJX	B	196,900	26.90	21-Mar	21-Mar	11,814.00	6.0
<b>MKT MAKER</b>	<b>099</b>	<b>TWTR</b>	<b>S</b>	<b>86</b>	<b>1.64</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAXON WOODS ADVISORS	038	UIC	B	12,000	56.55	n/a	n/a	600.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>URBN</b>	<b>S</b>	<b>300</b>	<b>25.94</b>	<b>7-Dec</b>	<b>9-Mar</b>	<b>0.00</b>	<b>0.0</b>
ACT II PARTNERS	067	VG	B	44,900	4.00	9-Mar	9-Mar	1,796.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>VSL</b>	<b>B</b>	<b>500</b>	<b>19.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EFSTATHIOU	044	VSL	B	200	19.18	n/a	n/a	0.00	0.0
TANG	058	VSL	B	250	18.90	n/a	n/a	0.00	0.0
ENTRUST CAPITAL	040	WAG	B	57,650	47.25	n/a	n/a	2,882.50	5.0
RBC CAPITAL MARKETS	034	WMT	SS	20,000	47.84	n/a	21-Mar	1,000.00	5.0
MERRILL LYNCH STRATEGICI	034	WWW	B	3,000	28.96	31-Jan	13-Mar	120.00	4.0
<b>TOTAL (Trade Date) :</b>								<b>76,133.50</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	03/22	DAVIDSON TRUST CO (DAVTOMNI)	033	BAC	B	1,000	51.71	11-Jan	13-Mar	50.00	5.0
AO,CRT	03/22	DAVIDSON TRUST CO (DAVTOMNI)	033	BAC	B	1,000	51.71	11-Jan	13-Mar	90.00	9.0
AO,	03/22	MKT MAKER (MKT MAKER)	099	BONT	B	6	56.77	9-Mar	16-Mar	0.00	0.0
AO,CXL	03/22	SLS CAPITAL LLC (SLSCMSMAIN)	224	CLMS	SS	42,500	22.59	12-Mar	23-Mar	1.13	0.0
AO,CRT	03/22	SLS CAPITAL LLC (SLSCMSMAIN)	224	CLMS	SS	42,500	22.59	12-Mar	23-Mar	2125.00	5.0
AO,CXL	03/21	HARVEST MGMT (HARVNEUBER)	039	IP	SS	9,615	35.96	20-Mar	20-Mar	480.75	5.0
AO,CRT	03/21	HARVEST MGMT (HARVNEUBER)	039	IP	SS	10,685	35.96	20-Mar	20-Mar	534.25	5.0
AO,	03/22	HARVEST MGMT (HARVNEUBER)	039	IP	SS	9,943	36.20	20-Mar	20-Mar	497.15	5.0
AO,	03/22	HARVEST MGMT (HARVGSCO)	039	IP	SS	11,057	36.20	20-Mar	20-Mar	552.85	5.0
AO,	03/22	REINHART & MAHONEY (REINSECHAR)	031	IRF	S	170	40.01	n/a	n/a	8.50	5.0
AO,	03/22	RIVER RUN MGMT (RIVEGSPB)	031	ITCD	B	2,750	3.24	n/a	n/a	82.50	3.0
AO,CRT	03/22	GRANITE CAPITAL (GRANFIRSTS)	067	LCAPA	S	8,000	109.89	n/a	n/a	400.00	5.0
AO,CXL	03/22	GRANITE CAPITAL (GRANBULK)	067	LCAPA	S	8,000	109.89	n/a	n/a	400.00	5.0
AO,	03/22	HARVEST MGMT (HARVNEUBER)	039	MWV	B	11,836	30.41	20-Mar	20-Mar	591.80	5.0
AO,	03/22	HARVEST MGMT (HARVGSCO)	039	MWV	B	13,164	30.41	20-Mar	20-Mar	658.20	5.0
AO,CXL	03/22	GULF STREAM EQUITY MGMT (GULFSPEAR)	049	QQQQ	SS	5,000	44.23	n/a	n/a	300.00	6.0
AO,CRT	03/22	GULF STREAM EQUITY MGMT (GULFSPEAR)	049	QQQQ	SS	5,000	44.23	n/a	n/a	300.00	6.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM103)	036	WCI	S	1,800	22.56	n/a	n/a	90.00	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM100)	036	WCI	S	2,500	22.56	n/a	n/a	125.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM69)	036	WCI	S	3,500	22.56	n/a	n/a	175.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM47)	036	WCI	S	3,200	22.56	n/a	n/a	160.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM26)	036	WCI	S	5,600	22.56	n/a	n/a	280.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM1)	036	WCI	S	2,900	22.56	n/a	n/a	145.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM192)	036	WCI	S	4,500	22.56	n/a	n/a	225.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM182)	036	WCI	S	1,800	22.56	n/a	n/a	90.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM320)	036	WCI	S	3,400	22.56	n/a	n/a	170.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM8002)	036	WCI	S	3,400	22.56	n/a	n/a	170.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM158)	036	WCI	S	13,000	22.56	n/a	n/a	650.00 5.0
AO,	03/22	IRIDIAN ASSET MGMT. (IRAM125)	036	WCI	S	2,400	22.56	n/a	n/a	120.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>7,008.37</b>

TOTAL (Trade Date) :	76,133.50
TOTAL (AO, CXL, CRT) :	7,008.37

<b>TOTAL (RUN DATE) / NFS:</b>	<b>83,141.87</b>
--------------------------------	------------------



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION