

Daily Compliance Activity Report Trade Date: 03/26/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	виск	015	AB	s	800	65.02	24-Mar	24-Mar	0.00	0.0
	ROTHSCHILD ASSET	040	ACV	В	5,030	27.09	n/a	n/a	226.35	4.5
	COLUMBUS CIRCLE	036	AMAT	В	307,383	20.10	n/a	n/a	12,295.32	4.0
	BUCK CAPITAL MGMT	001	AMG	В	13,000	91.77	9-Jan	24-Mar	520.00	4.0
	COUGAR TRADING	044	ANF	SS	5,000	78.11	10-Jan	10-Mar	250.00	5.0
	SCOPUS FUND	041	AN	SS	34,600	15.33	n/a	8-Feb	1,730.00	5.0
	CHARTER OAK	033	CAT	SS	15,000	77.07	n/a	n/a	750.00	5.0
	BELMONT GLOBAL ADV	248	CDE	В	100,000	3.97	n/a	n/a	5,000.00	5.0
	BUCK	015	CMA	SS	700	37.24	n/a	n/a	0.00	0.0
	SANDELL ADV	040	CNL	В	30,200	22.18	n/a	n/a	1,510.00	5.0
	HIGHBRIDGE/MS OLDS	041	COP	SS	66,003	75.85	n/a	n/a	2,640.12	4.0
	DECADE CAPITAL PARTNERS	040	CPHL	В	12,500	10.18	n/a	n/a	625.00	5.0
	TRELLUS PARTNERS LP	028	CPKI	S	74,086	13.38	n/a	n/a	3,704.30	5.0
	IRIDIAN ASSET MGMT.	036	CSCO	S	68 , 590	24.92	n/a	22-Mar	3,429.50	5.0

Account	RR #	Symbol	B/S		Shares	Shares Price		MRI Research		Shr.
HIGHBRIDGE/MS OLDS	041	CVX		SS	30,000	84.79	n/a	n/a	1,200.00	4.0
CATTANI	041	С	В		200	22.26	16-Jan	17-Mar	0.00	0.0
MERRILL ASSET	032	DSL	В		18,200	20.33	n/a	n/a	819.00	4.5
TRELLUS PARTNERS LP	028	DSL		S	45,000	20.27	n/a	n/a	2,250.00	5.0
N STRATTON	014	DSNY	В		5,000	0.56	n/a	n/a	0.00	0.0
LUCHANSKY1	036	DUG		s	300	38.69	n/a	n/a	0.00	0.0
TROUBH PART	067	ESI	В		10,000	47.00	n/a	n/a	500.00	5.0
TROUBH PART	067	ESI		S	10,000	46.64	n/a	n/a	500.00	5.0
SANDLER O'NEILL ASSET	031	EV	В		24,600	32.02	9-Jan	24-Mar	1,230.00	5.0
SYSTEMATIC FINANCIAL MGT	035	FCX	В		103,300	95.56	n/a	n/a	3,873.76	3.8
NOMURA CAPITAL	045	FEMO		S	2,145	20.00	n/a	n/a	107.25	5.0
DECADE CAPITAL PARTNERS	040	GBCI		SS	20,000	19.77	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	GES	В		10,000	40.17	n/a	n/a	400.00	4.0
MAIRS AND POWER INV	030	GIS		S	20,000	59.13	n/a	n/a	1,200.00	6.0
WAGONHOUND	048	GLW	В		5,290	24.02	n/a	24-Mar	211.60	4.0
BUCK CAPITAL MGMT	001	GPS		SS	50,000	20.63	n/a	7-Mar	2,000.00	4.0

Account	RR #	Symbol	B/S		Shares	Shares Price		Research	Comm.	:/Shr.	
COUGAR TRADING	044	GYMB	В		15,000	43.32	n/a	13-Mar	750.00	5.0	
CATTANI	041	HRS		s	100	49.02	11-Jan	24-Mar	0.00	0.0	
MCCARTAN	028	ICON	В		200	18.62	n/a	n/a	0.00	0.0	
MCCARTAN	028	IVZ		S	200	24.40	10-Mar	24-Mar	0.00	0.0	
FORD FDN.	032	JNJ		S	20,000	64.58	n/a	22-Apr	1,000.00	5.0	
COUGAR TRADING	044	JWN	В		5,000	35.34	26-Feb	10-Mar	250.00	5.0	
COUGAR TRADING	044	KSS		SS	5,000	44.96	4-Jan	7-Mar	250.00	5.0	
CR INTRINIC INVESTMENT	044	KVA	В		50,000	25.30	n/a	n/a	2,500.00	5.0	
TYEE/TYGH	035	KVA		S	150,000	25.41	n/a	n/a	6,000.00	4.0	
MS PRINCIPAL STRATEGIES	041	LAZ		SS	5,500	38.51	n/a	n/a	275.00	5.0	
SANDLER O'NEILL ASSET	031	LEH		S	50,000	42.74	19-Mar	19-Mar	2,500.00	5.0	
HOLLIS CAPITAL	033	LII		SS	3,000	36.86	n/a	n/a	120.00	4.0	
SSI INVESTORS	048	LIZ		SS	68,700	19.42	n/a	17-Mar	2,748.00	4.0	
BUCK CAPITAL MGMT	001	LTD	В		20,000	17.07	n/a	7-Mar	800.00	4.0	
BUCK	015	MSGL		S	20	1.10	n/a	n/a	0.00	0.0	
MERRILL ASSET	032	ONB		S	29,100	18.53	n/a	n/a	1,309.50	4.5	
	COUGAR TRADING CATTANI MCCARTAN MCCARTAN FORD FDN. COUGAR TRADING COUGAR TRADING CR INTRINIC INVESTMENT TYEE/TYGH MS PRINCIPAL STRATEGIES SANDLER O'NEILL ASSET HOLLIS CAPITAL SSI INVESTORS BUCK CAPITAL MGMT BUCK	COUGAR TRADING 044 CATTANI 041 MCCARTAN 028 MCCARTAN 032 FORD FDN. 032 COUGAR TRADING 044 COUGAR TRADING 044 CR INTRINIC INVESTMENT 044 TYEE/TYGH 035 MS PRINCIPAL STRATEGIES 041 SANDLER O'NEILL ASSET 031 HOLLIS CAPITAL 033 SSI INVESTORS 048 BUCK CAPITAL MGMT 001 BUCK 015	COUGAR TRADING 044 GYMB CATTANI 041 HRS MCCARTAN 028 ICON MCCARTAN 028 IVZ FORD FDN. 032 JNJ COUGAR TRADING 044 JWN COUGAR TRADING 044 KSS CR INTRINIC INVESTMENT 044 KVA TYEE/TYGH 035 KVA MS PRINCIPAL STRATEGIES 041 LAZ SANDLER O'NEILL ASSET 031 LEH HOLLIS CAPITAL 033 LII SSI INVESTORS 048 LIZ BUCK CAPITAL MGMT 001 LTD BUCK 015 MSGL	COUGAR TRADING 044 GYMB B CATTANI 041 HRS MCCARTAN 028 IVZ FORD FDN. 032 JNJ COUGAR TRADING 044 JWN B COUGAR TRADING 044 KSS CR INTRINIC INVESTMENT 044 KVA B TYEE/TYGH 035 KVA MS PRINCIPAL STRATEGIES 041 LAZ SANDLER O'NEILL ASSET 031 LEH HOLLIS CAPITAL 033 LII SSI INVESTORS 048 LIZ BUCK CAPITAL MGMT 001 LTD B BUCK 015 MSGL	COUGAR TRADING CATTANI 041 HRS S MCCARTAN 028 ICON B MCCARTAN 028 IVZ S FORD FDN. 032 JNJ S COUGAR TRADING 044 JWN B COUGAR TRADING 044 KSS SS CR INTRINIC INVESTMENT TYEE/TYGH 035 KVA S MS PRINCIPAL STRATEGIES 041 LAZ SS SANDLER O'NEILL ASSET 031 LEH S SSI INVESTORS 048 LIZ SS BUCK CAPITAL MGMT 001 LTD B BUCK S S S S S S BUCK CATTANI O44 CVA B CVA S S S S S S BUCK CAPITAL MGMT O01 LTD B S S S S S BUCK CATTANI O44 CVA B CVA S S S S S S S S MS PRINCIPAL STRATEGIES O41 LAZ S S S S S BUCK CAPITAL MGMT O01 LTD B S S S BUCK BUCK O15 MSGL S S S S S S S S S S S MSGL S S S S S S S S S MSGL S S S S S S S BUCK BUCK O15 MSGL S S S S S S S S S S S S S	COUGAR TRADING 044 GYMB B 15,000 CATTANI 041 HRS S 100 MCCARTAN 028 ICON B 200 MCCARTAN 028 IVZ S 200 FORD FDN. 032 JNJ S 20,000 COUGAR TRADING 044 JWN B 5,000 COUGAR TRADING 044 KSS SS 5,000 CR INTRINIC INVESTMENT 044 KVA B 50,000 TYEE/TYGH 035 KVA S 150,000 MS PRINCIPAL STRATEGIES 041 LAZ SS 5,500 SANDLER O'NEILL ASSET 031 LEH S 50,000 HOLLIS CAPITAL 033 LII SS 3,000 SSI INVESTORS 048 LIZ SS 68,700 BUCK CAPITAL MGMT 001 LTD B 20,000 BUCK CAPITAL MGMT 001 LTD B 20,000	COUGAR TRADING 044 GYMB B 15,000 43.32 CATTANI 041 HRS S 100 49.02 MCCARTAN 028 IVZ S 200 24.40 FORD FDN. 032 JNJ S 20,000 64.58 COUGAR TRADING 044 JWN B 5,000 35.34 COUGAR TRADING 044 KSS SS 5,000 44.96 CR INTRINIC INVESTMENT 044 KVA B 50,000 25.30 TYEE/TYGH 035 KVA S 150,000 25.41 MS PRINCIPAL STRATEGIES 041 LAZ SS 5,500 38.51 SANDLER O'NEILL ASSET 031 LEH S 50,000 42.74 HOLLIS CAPITAL 033 LII SS 3,000 36.86 SSI INVESTORS 048 LIZ SS 68,700 19.42 BUCK CAPITAL MGMT 001 LTD B 20,000 17.07 BUCK 015 MSGL S 20 1.10	COUGAR TRADING 044 GYMB B 15,000 43.32 n/a CATTANI 041 HRS S 100 49.02 11-Jan MCCARTAN 028 ICON B 200 18.62 n/a MCCARTAN 028 IVZ S 200 24.40 10-Mar FORD FDN. 032 JNJ S 20,000 64.58 n/a COUGAR TRADING 044 JWN B 5,000 35.34 26-Feb COUGAR TRADING 044 KSS SS 5,000 44.96 4-Jan CR INTRINIC INVESTMENT 044 KVA B 50,000 25.30 n/a TYEE/TYGH 035 KVA S 150,000 25.41 n/a MS PRINCIPAL STRATEGIES 041 LAZ SS 5,500 38.51 n/a SANDLER O'NEILL ASSET 031 LEH S 50,000 42.74 19-Mar HOLLIS CAPITAL 033 LII SS 3,000 36.86 n/a SSI INVESTORS 048 LIZ SS 68,700 19.42 n/a BUCK CAPITAL MGMT 001 LTD B 20,000 17.07 n/a BUCK CAPITAL MGMT 015 MSGL S 20 1.10 n/a	COUGAR TRADING 044 GYME B 15,000 43.32 n/a 13-Mar CATTANI 041 HRS S 100 49.02 11-Jan 24-Mar MCCARTAN 028 ICON B 200 18.62 n/a n/a MCCARTAN 028 IVZ S 20,000 64.58 n/a 22-Apr FORD FDN. 032 JNJ S 20,000 64.58 n/a 22-Apr COUGAR TRADING 044 JWN B 5,000 35.34 26-Feb 10-Mar COUGAR TRADING 044 KSS SS 5,000 44.96 4-Jan 7-Mar CR INTRINIC INVESTMENT 742 NVA	COUGAR TRADING 044 6YMB B 15,000 43.32 n/a 13-Mar 750.00 CATTANI 041 HRS S 100 49.02 11-Jan 24-Mar 0.00 MCCARTAN 028 ICON B 200 18.62 n/a n/a 0.00 MCCARTAN 028 IVE S 200 24.40 10-Mar 24-Mar 0.00 FORD FDN. 032 JNJ S 20,000 64.58 n/a 22-Apr 1,000.00 COUGAR TRADING 044 JWN B 5,000 35.34 26-Feb 10-Mar 250.00 COUGAR TRADING 044 KSS SS 5,000 44.96 4-Jan 7-Mar 250.00 CR INTRINIC INVESTMENT 044 KVA B 50,000 25.41 n/a n/a 6,000.00 MS PRINCIPAL STRATEGIES 041 LAZ SS 5,000 38.51 n/a n/a 0.00 SANDLER O'NEILL ASSET 031 LEH S 50,000 25.41 n/a n/a 275.00 SANDLER O'NEILL ASSET 031 LEH S 50,000 42.74 19-Mar 19-Mar 2,500.00 HOLLIS CAPITAL 033 LII SS 68,700 19.42 n/a 17-Mar 2,748.00 BUCK CAPITAL MGMT 01 LTD B 20,000 17.07 n/a 7-Mar 800.00 BUCK CAPITAL MGMT 015 MSGL S 20 1.10 n/a n/a 7-Mar 800.00	COUGAR TRADING 044 GYMB B 15,000 43.32 n/a 13-Mar 750.00 5.0 CATTANI 041 HRS S 100 49.02 11-Jan 24-Mar 0.00 0.0 MCCARTAN 028 ICON B 200 18.62 n/a n/a 0.00 0.0 MCCARTAN 028 IVZ S 200 24.40 10-Mar 24-Mar 0.00 0.0 FORD FDN. 032 JNJ S 20,000 64.58 n/a 22-Apr 1,000.00 5.0 COUGAR TRADING 044 JNN B 5,000 35.34 26-Feb 10-Mar 250.00 5.0 COUGAR TRADING 044 KVS S SS 5,000 44.96 4-Jan 7-Mar 250.00 5.0 CR INTRINIC INVESTMENT 044 KVS B 50,000 25.41 n/a n/a 2.500.00 4.0 TYPE/TYCH 035 KVA S 150,000 25.41 n/a n/a 275.00 5.0 SANDLER O'NEILL ASSET 031 LEH S 50,000 42.74 19-Mar 19-Mar 2,500.00 5.0 ENCLY ONE PRINCIPAL STRATEGIES 041 LAZ SS 68,700 42.74 19-Mar 19-Mar 2,500.00 5.0 ENCLY ONE PRINCIPAL ASSET 031 LEH S 50,000 36.86 n/a n/a 12.000 4.0 ENCLY ONE PRINCIPAL MART 048 LIZ SS 68,700 19.42 n/a 17-Mar 2,748.00 4.0 ENCLY ONE PRINCIPAL MART 049 LIZ SS 68,700 19.42 n/a 17-Mar 2,748.00 4.0 ENCLY ONE PRINCIPAL MART 049 LIZ SS 68,700 19.42 n/a 7-Mar 800.00 4.0 ENCLY CAPITAL MART 041 LTD B 20,000 17.07 n/a 7-Mar 800.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
SCOPUS FUND	041	PAG	SS	33,280	19.38	6-Feb	20-Mar	1,664.00	5.0
TRELLUS PARTNERS LP	028	PAG	SS	75,000	19.86	6-Feb	20-Mar	3,750.00	5.0
WHEELER	800	PENX	В	5,000	21.70	n/a	n/a	0.00	0.0
MERRILL ASSET	032	PVH	В	27,100	38.26	13-Mar	26-Mar	1,219.50	4.5
MS PRINCIPAL STRATEGIES	041	QMAR	S	21,900	22.16	n/a	n/a	1,095.00	5.0
COUGAR TRADING	044	RL	SS	5,000	61.46	7-Feb	15-Feb	250.00	5.0
STG INVESTORS	041	SAP	SS	30,000	51.10	n/a	n/a	1,200.00	4.0
LEVIN CAPITAL STRATEGIES	012	SPWR	S	15,000	71.68	n/a	n/a	450.00	3.0
MERRILL ASSET	032	STC	В	7,200	31.14	n/a	n/a	324.00	4.5
SINCLAIR	031	VCFA	s	64	0.25	n/a	n/a	0.00	0.0
BUCK	015	v	s	500	61.93	n/a	n/a	0.00	0.0
TROUBH PART	067	WLP	S	10,000	45.35	n/a	n/a	500.00	5.0
GALLEON MGMT LP	033	WRNC	В	5,000	39.66	14-Jan	10-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	ZZ	SS	50,000	8.09	n/a	n/a	2,000.00	4.0

TOTAL (Trade Date): 79,177.20

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
AO,	03/25	IMPALA ASSET MMT (IMPACITI)	012	ACI	S	200,000	43.25	n/a	n/a	6000.00	3.0
AO,CXL	03/25	&CRO (&CRON-UBS)	045	AN	SS	3,000	16.02	n/a	8-Feb	150.00	5.0
AO, CRT	03/25	CROWN ADVISORS (CRONMERLBS)	045	AN	SS	3,000	16.02	n/a	8-Feb	150.00	5.0
10,	03/14	LISTED ERR (LISTED ERR)	099	BSC	В	10,000	53.00	17-Mar	17-Mar	0.00	0.0
AO, CXL	03/14	LISTED ERR (LISTED ERR)	099	BSC	SS	10,000	53.00	17-Mar	17-Mar	0.00	0.0
AO,	03/25	IMPALA ASSET MMT (IMPACITI)	012	DNR	В	50,000	29.09	n/a	n/a	1500.00	3.0
CRT	03/26	CR INTRINIC INVESTMENT (CRINGSPB)	044	KVA	В	50,000	25.30	n/a	n/a	2500.00	5.0
O,CXL	03/25	IMPALA ASSET MMT (IMPACITI)	012	PNR	В	50,000	29.09	n/a	n/a	1500.00	3.0
AO,CXL	03/25	MS PRINCIPAL STRATEGIES (MSPSMS)	041	QMAR	S	83,688	22.08	n/a	n/a	3347.52	4.0
AO, CRT	03/25	MS PRINCIPAL STRATEGIES (MSPSMS)	041	QMAR	S	83,688	22.08	n/a	n/a	4184.40	5.0
CRT	03/26	STG INVESTORS (STGIGOLDMA)	041	SAP	SS	600	51.10	n/a	n/a	24.00	4.0
AO,	03/25	TRELLUS PARTNERS LP (TRELMERR)	028	WB	SS	1,436	30.00	n/a	n/a	71.80	5.0
AO,	03/25	TRELLUS PARTNERS LP (TRELGS)	028	WB	SS	1,436	30.00	n/a	n/a	71.80	5.0
AO,	03/25	TRELLUS PARTNERS LP (TRELMAIN)	028	WB	SS	27,128	30.00	n/a	n/a	1356.40	5.0

TOTAL (AO, CXL, CRT): 10,860.88

TOTAL (RUN DATE) / NFS:	90,038.08
TOTAL (AO, CXL, CRT):	10,860.88
TOTAL (Trade Date):	79,177.20

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION