



## Daily Compliance Activity Report

Trade Date: 03/18/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>LEDDY</b>	<b>035</b>	<b>ABG</b>	<b>B</b>	<b>800</b>	<b>13.55</b>	<b>25-Jan</b>	<b>22-Feb</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	ACF	B	50,100	11.31	n/a	n/a	2,004.00	4.0
IRIDIAN ASSET MGMT.	036	AMGN	S	58,850	41.76	n/a	26-Jan	2,801.80	4.7
GRISANTI BROWN & PARTNER	048	AMR	S	50,100	8.70	n/a	n/a	2,505.00	5.0
WELLS/STRONG LEDDY	035	AMSWA	S	3,500	5.68	n/a	n/a	105.00	3.0
COUGAR TRADING	044	ANF	B	5,000	75.95	10-Jan	10-Mar	250.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	74.64	10-Jan	10-Mar	250.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>ANF</b>	<b>S</b>	<b>200</b>	<b>75.68</b>	<b>10-Jan</b>	<b>10-Mar</b>	<b>0.00</b>	<b>0.0</b>
CHARTER OAK	033	AN	B	169,129	14.16	n/a	8-Feb	8,456.45	5.0
GRISANTI BROWN & PARTNER	048	APC	S	13,800	63.05	6-Feb	25-Feb	690.00	5.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>APVCE</b>	<b>S</b>	<b>5</b>	<b>5.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>40,000</b>	<b>26.05</b>	<b>29-Nov</b>	<b>14-Mar</b>	<b>non-brg</b>	<b>4.0</b>
CAXTON PART	040	BEN	B	7,500	93.72	n/a	13-Mar	262.51	3.5
CENTURION GLOBAL MGMT LL	041	BJ	S	20,000	34.68	n/a	27-Jul	600.00	3.0
JP MORGAN VENTURES	046	BJ	C	20,000	34.51	n/a	27-Jul	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>2,351</b>	<b>5.12</b>	<b>4-Jan</b>	<b>12-Mar</b>	<b>non-brg</b>	<b>1.0</b>

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
8	BUCK CAPITAL MGMT		BONT	S	56,200	5.08	4-Jan	12-Mar	non-brg	4.0
	MCCARTAN	028	BWA	B	100	41.99	14-Jan	8-Feb	0.00	0.0
	ROYCE TRADING	216	CAB	B	5,000	13.98	n/a	n/a	250.00	5.0
	THOMAS WEISEL ASSET MGMT	035	CCOI	B	1,500	18.04	n/a	n/a	45.00	3.0
	WELLS/STRONG LEDDY	035	CHRS	B	210,450	5.30	n/a	22-Mar	6,313.50	3.0
	RAMIUS CAP	040	CHTT	C	20,000	70.08	11-Jan	7-Mar	1,000.00	5.0
	STONERIDGE INV PART	028	CLMS	B	5,790	15.73	13-Feb	13-Mar	289.50	5.0
	LEDDY	035	CLMS	SS	700	15.94	13-Feb	13-Mar	0.00	0.0
	WELLS/STRONG LEDDY	035	CMCO	S	2,200	28.49	n/a	n/a	88.00	4.0
	PHILADELPHIA FINANCIAL	033	CME	B	3,937	468.90	n/a	18-Mar	196.85	5.0
	WELLS/STRONG LEDDY	035	CMN	S	2,500	10.90	n/a	n/a	100.00	4.0
	EMP ERROR	099	CMO	S	380	9.98	n/a	n/a	0.00	0.0
	WAGONHOUND	048	COF	B	3,755	49.15	n/a	n/a	150.20	4.0
	BUCK CAPITAL MGMT	001	COH	SS	10,000	27.89	24-Oct	14-Mar	400.00	4.0
	PERRY PARTNERS	041	COH	S	100,000	28.30	24-Oct	14-Mar	5,000.00	5.0
	BLUE HARBOR	036	CPS	S	65,700	48.26	n/a	n/a	1,971.00	3.0
8	BUCK CAPITAL MGMT		CROX	S	412,700	17.87	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PILOT ADV	038	CR	B	3,800	39.73	n/a	n/a	152.00	4.0
ROYCE TRADING	216	CSH	B	10,000	33.94	n/a	n/a	500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>CSR</b>	<b>S</b>	<b>1,200</b>	<b>13.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	CY	B	10,000	19.20	n/a	n/a	500.00	5.0
<b>EMP ERROR</b>	<b>099</b>	<b>C</b>	<b>B</b>	<b>175</b>	<b>19.84</b>	<b>16-Jan</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
NOMURA CAPITAL	045	DAL	S	1,000	9.90	n/a	n/a	40.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DIA</b>	<b>C</b>	<b>174,700</b>	<b>123.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DLTR</b>	<b>SS</b>	<b>30,000</b>	<b>27.29</b>	<b>9-Nov</b>	<b>7-Mar</b>	<b>non-brg</b>	<b>4.0</b>
IRIDIAN ASSET MGMT.	036	DRC	B	13,640	29.18	n/a	n/a	682.00	5.0
MS PRINCIPAL STRATEGIES	041	DRYS	B	5,600	58.63	n/a	n/a	280.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>DUG</b>	<b>S</b>	<b>1,500</b>	<b>39.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>DXD</b>	<b>S</b>	<b>1,000</b>	<b>58.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>FIG</b>	<b>B</b>	<b>1,200</b>	<b>10.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAGNETAR FINANCIAL	247	FIS	S	100,000	36.96	n/a	n/a	4,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FITB</b>	<b>SS</b>	<b>250,000</b>	<b>21.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	FNM	SS	3,500	26.62	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		FOSL	B	20,000	30.93	13-Nov	10-Mar	non-brg	4.0
MAGUIRE	090	FXP	S	1,000	108.00	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	GBL	B	9,519	50.35	n/a	18-Mar	475.95	5.0
BUCK CAPITAL MGMT	001	GES	S	180,000	35.01	n/a	n/a	7,200.00	4.0
MCCARTAN	028	GES	B	200	35.45	n/a	n/a	0.00	0.0
DAWSON	075	GILD	B	100	47.26	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	B	30,000	35.20	22-Jan	10-Mar	1,200.00	4.0
DELTEC ASSET MGMT.	040	GLW	S	5,000	24.05	n/a	7-Mar	250.00	5.0
SARAS CAPITAL	038	GLW	B	45,000	23.84	n/a	7-Mar	2,250.00	5.0
PAKLER	029	GMRX	B	7	5.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GM	B	163,700	18.83	3-Jan	13-Mar	6,548.00	4.0
Ⓐ BUCK CAPITAL MGMT		GM	C	55,000	18.80	3-Jan	13-Mar	non-brg	1.0
WAGONHOUND	048	GM	B	30,750	18.76	3-Jan	13-Mar	1,230.00	4.0
BARR	096	GM	SS	1,000	18.97	3-Jan	13-Mar	0.00	0.0
COUGAR TRADING	044	GPS	SS	25,000	20.17	n/a	7-Mar	1,250.00	5.0
DENVER INST. ADV	046	GPS	B	79,960	20.44	n/a	7-Mar	3,198.40	4.0
BUCK	015	GPYOL	B	3	1.15	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	GRP	S	31,190	48.48	n/a	n/a	1,559.50	5.0
<b>BUCK</b>	<b>015</b>	<b>GSSI</b>	<b>S</b>	<b>10</b>	<b>8.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GS</b>	<b>S</b>	<b>27,900</b>	<b>170.49</b>	<b>n/a</b>	<b>17-Mar</b>	<b>non-brg</b>	<b>1.0</b>
CASTLE ARK	031	GS	B	106,420	168.00	n/a	17-Mar	6,385.20	6.0
SANDLER O'NEILL ASSET	031	GS	S	20,000	165.36	n/a	17-Mar	1,000.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>GS</b>	<b>S</b>	<b>100</b>	<b>173.75</b>	<b>n/a</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GWW</b>	<b>B</b>	<b>5,000</b>	<b>75.61</b>	<b>25-Jan</b>	<b>25-Jan</b>	<b>200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GWW</b>	<b>C</b>	<b>15,000</b>	<b>75.14</b>	<b>25-Jan</b>	<b>25-Jan</b>	<b>non-brg</b>	<b>1.0</b>
JP MORGAN VENTURES	046	GYMB	S	15,000	39.57	n/a	13-Mar	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HON</b>	<b>C</b>	<b>60,000</b>	<b>56.05</b>	<b>n/a</b>	<b>25-Jan</b>	<b>non-brg</b>	<b>4.0</b>
ROYCE TRADING	216	HYC	B	10,000	3.98	n/a	n/a	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>B</b>	<b>130,000</b>	<b>16.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
WHITE PINE CAPITAL	030	INTC	S	9,000	21.39	n/a	n/a	450.00	5.0
SARAS CAPITAL	038	IP	SS	50,000	28.35	8-Feb	8-Feb	2,500.00	5.0
SANDLER O'NEILL ASSET	031	IVZ	S	5,000	22.00	10-Mar	13-Mar	250.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>IVZ</b>	<b>B</b>	<b>200</b>	<b>22.13</b>	<b>10-Mar</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>IVZ</b>	<b>B</b>	<b>500</b>	<b>22.15</b>	<b>10-Mar</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>KAICHER</b>	<b>064</b>	<b>JASO</b>	<b>B</b>	<b>1,000</b>	<b>15.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		JCG	B	200,000	43.50	28-Nov	12-Mar	non-brg 5.0
BUCK CAPITAL MGMT		JCG	S	25,000	43.70	28-Nov	12-Mar	non-brg 4.0
BUCK CAPITAL MGMT		JCG	S	3,300	44.34	28-Nov	12-Mar	non-brg 1.0
BUCK CAPITAL MGMT		JCG	S	32,200	44.17	28-Nov	12-Mar	non-brg 4.0
BUCK CAPITAL MGMT		JCG	S	64,500	44.19	28-Nov	12-Mar	non-brg 1.0
BUCK CAPITAL MGMT		JCG	S	75,000	44.10	28-Nov	12-Mar	non-brg 2.0
COUGAR TRADING	044	JCG	B	10,000	43.49	28-Nov	12-Mar	500.00 5.0
BUCK CAPITAL MGMT		JCP	C	50,000	39.48	4-Jan	7-Mar	non-brg 4.0
SONAR CAP MGMT	039	JNPR	B	80,000	25.40	n/a	22-Mar	3,200.00 4.0
BUCK CAPITAL MGMT		JPM	S	70,000	42.15	17-Jan	17-Mar	non-brg 4.0
MATLIN PATTERSON	046	JRCC	B	15,000	15.39	n/a	n/a	450.00 3.0
COUGAR TRADING	044	JWN	B	5,000	33.57	26-Feb	10-Mar	250.00 5.0
BUCK CAPITAL MGMT		KMX	C	87,500	19.08	n/a	10-Jan	non-brg 4.0
PBXK	048	KMX	SS	25,000	19.91	n/a	10-Jan	1,250.00 5.0
COUGAR TRADING	044	KSS	B	15,000	41.48	4-Jan	7-Mar	750.00 5.0
COUGAR TRADING	044	KSS	SS	10,000	42.33	4-Jan	7-Mar	500.00 5.0
BUCK CAPITAL MGMT		LAMR	B	40,000	34.15	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		LEH	S	105,000	43.04	19-Mar	17-Mar	non-brg 4.0
CENTURION PARTNERS	255	LEH	S	100,000	43.61	19-Mar	17-Mar	5,000.00 5.0
NATIONAL BK OF CAN	031	LEH	S	9,000	37.21	19-Mar	17-Mar	360.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY3	036	LEH	S	400	45.72	19-Mar	17-Mar	0.00	0.0
PAKLER	029	LESJJ	S	10	7.20	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		LIZ	C	40,000	17.43	n/a	17-Mar	non-brg	4.0
MCCARTAN	028	LPX	B	400	9.19	30-Oct	6-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	LTD	B	400,000	16.49	n/a	7-Mar	16,000.00	4.0
Ⓐ BUCK CAPITAL MGMT		MER	S	30,000	45.36	18-Jan	17-Mar	non-brg	4.0
NATIONAL BK OF CAN	031	MER	S	4,000	43.20	18-Jan	17-Mar	160.00	4.0
WHITE PINE CAPITAL	030	MER	S	1,500	45.38	18-Jan	17-Mar	75.00	5.0
CAXTON PART	040	MET	B	33,156	58.56	n/a	n/a	1,160.46	3.5
TRIVIUM CAP MGMT	251	MFLX	SS	15,000	23.63	n/a	n/a	600.00	4.0
WHITE PINE CAPITAL	030	MOT	S	5,000	9.59	n/a	n/a	250.00	5.0
ROTHSCHILD ASSET	040	MRO	S	39,980	47.33	n/a	n/a	1,799.10	4.5
OGORMAN	046	MSCH	B	5	3.40	n/a	n/a	0.00	0.0
HART	056	MSJJ	B	10	2.80	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		MS	S	115,000	41.86	20-Dec	17-Mar	non-brg	4.0
CENTURION PARTNERS	255	MS	B	25,000	41.24	20-Dec	17-Mar	1,250.00	5.0
UBS OCONNOR	031	MS	SS	200,000	41.04	20-Dec	17-Mar	6,000.00	3.0
ROYCE TRADING	216	MTZ	B	10,000	7.97	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	MZZ	S	1,000	67.95	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		M	B	50,000	22.65	7-Feb	7-Mar	non-brg	4.0
ROTHSCHILD ASSET	040	NKE	S	3,480	60.43	n/a	n/a	156.60	4.5
ROTHSCHILD ASSET	040	PDX	S	10,740	65.15	n/a	n/a	483.30	4.5
⌘ BUCK CAPITAL MGMT		PERY	S	6,465	21.51	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PLCE	B	40,000	20.27	10-Jan	18-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PNRA	C	20,000	41.94	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	PSS	S	25,000	12.36	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	PSUN	SS	10,000	12.12	n/a	14-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	40,000	34.34	13-Mar	13-Mar	1,600.00	4.0
MS PRINCIPAL STRATEGIES	041	QMAR	S	17,500	21.51	n/a	n/a	875.00	5.0
⌘ BUCK CAPITAL MGMT		RCL	B	20,000	30.97	n/a	31-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	57.80	7-Feb	15-Feb	400.00	4.0
COUGAR TRADING	044	RL	B	10,000	58.11	7-Feb	15-Feb	500.00	5.0
COUGAR TRADING	044	RL	SS	5,000	57.57	7-Feb	15-Feb	250.00	5.0
⌘ BUCK CAPITAL MGMT		RTH	C	250,000	89.18	n/a	n/a	non-brg	1.5



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⌘ BUCK CAPITAL MGMT		RTH	C	250,000	90.15	n/a	n/a	non-brg	2.0
SARAS CAPITAL	038	SAP	B	15,000	49.27	n/a	n/a	750.00	5.0
SUTERA	160	SDS	C	500	65.12	n/a	n/a	0.00	0.0
PAKLER	029	SKF	S	21	120.55	n/a	n/a	0.00	0.0
GAULT	063	SKF	B	2,000	122.54	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	1,200	125.16	n/a	n/a	0.00	0.0
BUCK	015	SPYPP	B	7	1.15	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SPY	C	150,000	133.15	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		SPY	C	75,000	131.61	n/a	n/a	non-brg	1.0
MAGUIRE	090	SRS	S	1,000	109.94	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SWY	B	20,000	28.87	n/a	n/a	800.00	4.0
⌘ BUCK CAPITAL MGMT		SYNP	S	10,000	5.14	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	TGT	B	50,000	50.99	n/a	27-Jul	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		TIN	B	50,000	12.24	6-Feb	7-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIN	B	50,000	12.55	6-Feb	7-Feb	non-brg	4.0
HIGHBRIDGE/MS OLDS	041	TIN	B	62,000	11.99	6-Feb	7-Feb	3,100.00	5.0
LEDDY	035	TIN	B	900	12.44	6-Feb	7-Feb	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	TJX	SS	25,000	32.36	n/a	10-Mar	1,250.00	5.0
RHO CAPITAL MGMT	041	TLT	SS	150	95.42	n/a	n/a	6.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TPX	S	10,000	10.94	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		TPX	S	190,000	10.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TPX	S	50,000	10.54	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TRLG	B	30,000	18.30	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TSCO	SS	10,000	38.99	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TWB	S	84,900	24.80	17-Mar	17-Mar	non-brg	4.0
COUGAR TRADING	044	TWB	S	10,000	24.56	17-Mar	17-Mar	500.00	5.0
ZOLIDIS	011	TWM	B	300	86.95	n/a	n/a	0.00	0.0
MAGUIRE	090	TWM	S	1,000	90.36	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	URBN	SS	26,500	30.16	29-Feb	10-Mar	1,325.00	5.0
RHO CAPITAL MGMT	041	USO	B	240	85.51	n/a	n/a	9.60	4.0
WHEELER	008	UYG	S	3,000	28.38	n/a	n/a	0.00	0.0
PRYLUCKI	P02	UYG	S	250	30.07	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	B	50,000	65.97	n/a	n/a	2,000.00	4.0
JP MORGAN VENTURES	046	WINN	B	7,200	16.88	n/a	n/a	360.00	5.0
SLS CAPITAL LLC	224	WOR	SS	72,600	17.12	n/a	n/a	2,904.00	4.0
HEALY CIRCLE	044	WY	S	10,000	63.95	8-Jan	11-Feb	500.00	5.0
FARALLON CAP MGMT	040	XHB	B	250,000	20.36	n/a	n/a	7,500.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		XRT	SS	700,000	30.65	n/a	n/a	non-brg	1.5
BUCK CAPITAL MGMT		ZQK	B	30,000	9.64	5-Feb	7-Mar	non-brg	4.0
COUGAR TRADING	044	ZUMZ	S	5,000	16.38	n/a	n/a	250.00	5.0
ZOLIDIS	011	ZUMZ	SS	500	16.27	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							147,203.92		

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/17	U.S.TRUST COMPANY	012	AIG	S	30,448	39.66	n/a	n/a	913.44 3.0
AO,	03/14	LISTED ERR	099	BSC	SS	10,000	53.00	17-Mar	17-Mar	0.00 0.0
AO,CRT	03/14	LISTED ERR	099	BSC	SS	10,000	51.77	17-Mar	17-Mar	0.00 0.0
AO,CXL	03/14	NATIONAL BK OF CAN	031	BSC	SS	10,000	51.77	17-Mar	17-Mar	400.00 4.0
AO,	03/14	NATIONAL BK OF CAN	031	BSC	SS	10,000	53.00	17-Mar	17-Mar	400.00 4.0
AO,CRT	03/17	BLUE HARBOR	036	CPS	S	60,800	48.15	n/a	n/a	1824.00 3.0
AO,CXL	03/17	BLUE HARBOR	036	CPS	S	60,800	48.15	n/a	n/a	3040.00 5.0
AO,	03/17	U.S.TRUST COMPANY	012	GWV	S	24,650	74.04	25-Jan	25-Jan	1232.50 5.0
AO,	03/17	PALMYRA CAPITAL	048	HOG	C	30,696	34.65	n/a	n/a	1534.80 5.0
AO,CRT	03/17	FARALLON CAP MGMT	040	IAI	B	100,000	35.29	n/a	n/a	3000.00 3.0
AO,CXL	03/17	FARALLON CAP MGMT	040	IAI	B	100,000	35.29	n/a	n/a	4000.00 4.0
AO,CRT	03/11	VENTANA CAPITAL	060	IVZ	S	7,500	22.13	10-Mar	13-Mar	225.00 3.0
AO,CXL	03/11	VENTANA CAPITAL	060	IVZ	S	7,500	22.13	10-Mar	13-Mar	300.00 4.0
AO,	03/17	CAMBIAR CAP	046	M	S	490,170	21.34	7-Feb	7-Mar	19606.80 4.0
AO,CXL	03/17	PRYLUCKI	P02	MS	B	75	35.56	20-Dec	17-Mar	0.00 0.0
AO,CRT	03/17	PRYLUCKI	P02	MS	B	75	35.56	20-Dec	17-Mar	0.00 0.0
AO,CXL	03/17	PROSPECTOR PARTNERS LLC	036	NEM	B	19,500	54.38	n/a	n/a	780.00 4.0
AO,CRT	03/17	PROSPECTOR PARTNERS LLC	036	NEM	B	19,500	54.38	n/a	n/a	975.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/17	PRYLUCKI	P02	UYG	B	250	25.35	n/a	n/a	0.00 0.0
AO,CRT	03/17	PRYLUCKI	P02	UYG	B	250	25.35	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT):									21,191.54	

TOTAL (Trade Date):	147,203.92
TOTAL (AO, CXL, CRT):	21,191.54

TOTAL (RUN DATE) / NFS:	168,395.46
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MRI Securities with Trade Activity on 18-Mar.  
NONE

MRI Securities without Trade Activity on 18-Mar.  
F

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 22%  
YTD = 26%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**