



Daily Compliance Activity Report

Trade Date: 06/27/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COHE & STEERS	041	AMT	S	13,400	68.58	n/a	n/a	536.00	4.0
MACELLUM CAPITAL	086	ARO	S	17,738	16.10	n/a	n/a	532.14	3.0
BALYASNY ASSET MGMT	044	AXL	S	50,000	9.80	n/a	7-Jun	2,000.00	4.0
SCOPUS FUND	041	BBY	B	50,000	19.61	n/a	n/a	2,000.00	4.0
NEWLAND CAPITAL MGT	025	BECN	SS	10,000	24.10	n/a	n/a	400.00	4.0
OHIO PERS	047	CAT	S	13,000	82.12	n/a	13-Jun	390.00	3.0
PIONEER OAKRIDGE	031	CHD	B	16,000	54.29	n/a	8-May	480.00	3.0
NICOLAS APPLGATE	084	COHR	S	5,000	39.80	n/a	n/a	200.00	4.0
GEOSPHERE CAPITAL MGMT	084	CPN	B	50,000	16.30	n/a	n/a	1,500.00	3.0
TALPION LLC	040	CRI	S	4,000	52.10	n/a	n/a	160.00	4.0
TALPION LLC	040	DG	S	5,000	53.76	n/a	27-Jun	200.00	4.0
ST OF MICHIAN	047	DHI	S	40,000	17.29	n/a	n/a	1,200.00	3.0
PIONEER OAKRIDGE	031	DLTR	S	31,700	53.52	n/a	22-Jun	951.00	3.0
RBC CAPITAL MARKETS	040	DRI	SS	5,710	49.55	n/a	25-Jun	228.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PEAK INV LLC	084	F	S	138,600	9.97	n/a	4-Jun	5,544.00	4.0
FIRST NY SEC/CHRIS	041	HD	B	10,000	51.59	n/a	7-Jun	300.00	3.0
JET CAPITAL/CHRIS	041	HNR	B	100,000	8.74	n/a	n/a	3,000.00	3.0
CAMBIAR CAP	046	HSP	S	43,075	33.69	n/a	25-Jun	1,723.00	4.0
1351	046	HSP	S	50	33.69	n/a	25-Jun	2.00	4.0
FORTRESS INVESTMENT (US)	036	IP	S	51,000	28.15	n/a	27-Apr	2,040.00	4.0
THE JAY GOLDMAN	028	IP	SS	35,000	28.11	n/a	27-Apr	1,400.00	4.0
MACELLUM CAPITAL	086	JWN	S	6,000	47.17	n/a	8-Jun	180.00	3.0
RBC CAPITAL MARKETS	040	JWN	B	10,000	47.25	n/a	8-Jun	400.00	4.0
THE JAY GOLDMAN	028	MLM	B	5,000	73.54	n/a	n/a	200.00	4.0
THE JAY GOLDMAN	028	MLM	S	5,000	74.60	n/a	n/a	200.00	4.0
HOLLIS CAPITAL	033	M	B	5,000	32.99	n/a	1-Jun	250.00	5.0
METROPOLITAN CAPITAL	044	M	B	9,507	33.04	n/a	1-Jun	475.35	5.0
COOPER CREEK PART	028	PAG	B	4,000	20.83	n/a	26-Apr	160.00	4.0
PHILADELPHIA FINANCIAL	033	PAG	C	77,000	21.00	n/a	26-Apr	3,080.00	4.0
VISIUM/BALY BOBBY	044	PWR	B	20,000	22.87	n/a	n/a	1,000.00	5.0
RBC CAPITAL MARKETS	040	RCL	B	10,000	24.65	n/a	n/a	400.00	4.0
THE JAY GOLDMAN	028	RKT	B	20,000	52.16	n/a	26-Apr	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TALPION LLC	040	RL	S	2,000	137.41	n/a	23-May	80.00	4.0
WAGONHOUND/NICOLAS	033	ROST	B	11,691	63.73	n/a	1-Jun	467.64	4.0
ST OF MICHIAN	047	SCHW	B	70,000	12.40	n/a	n/a	2,100.00	3.0
MELLON/BANK OF NEW YORK	046	SWK	S	43,300	60.92	n/a	n/a	1,732.00	4.0
RIDGECREST INV MGMT LLC	028	UNT	B	5,000	34.25	n/a	27-Jun	200.00	4.0
MACELLUM CAPITAL	086	URBN	S	20,390	26.70	n/a	n/a	611.70	3.0
THE JAY GOLDMAN	028	VMC	B	7,500	35.88	n/a	n/a	300.00	4.0
THE JAY GOLDMAN	028	VMC	S	7,500	36.77	n/a	n/a	300.00	4.0
CATAPULT/KGLT	040	WMB	B	25,000	28.17	n/a	n/a	1,000.00	4.0
TOTAL (Trade Date):								38,723.23	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/26	VISIUM/BALY BOBBY (VISBMSBOB)	044	FAST	SS	20,000	38.44	n/a	n/a	800.00 4.0
AO,	06/26	VISIUM/BALY BOBBY (VISBMSBOB)	044	FAST	SS	20,000	38.44	n/a	n/a	1000.00 5.0
AO,CXL	06/26	PARKWEST (PARWGSBULK)	084	MIC	B	10,000	32.18	n/a	n/a	300.00 3.0
AO,CRT	06/26	PARKWEST (PARWGSBULK)	084	MIC	B	10,000	32.18	n/a	n/a	400.00 4.0
AO,CXL	06/26	VISIUM/BALY BOBBY (VISBMSBOB)	044	TEX	B	30,000	15.89	n/a	13-Jun	1200.00 4.0
AO,	06/26	VISIUM/BALY BOBBY (VISBMSBOB)	044	TEX	B	30,000	15.89	n/a	13-Jun	1500.00 5.0

TOTAL (AO, CXL, CRT) :**600.00**

TOTAL (Trade Date) :

38,723.23

TOTAL (AO, CXL, CRT) :

600.00

TOTAL (RUN DATE) / NFS:**39,323.23**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION