



# Daily Compliance Activity Report

Trade Date: 07/03/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	B	10,000	25.64	n/a	12-Jun	400.00	4.0
YORK CAPITAL	036	BHP	B	100,000	61.81	n/a	n/a	5,000.00	5.0
ROTHSCHILD ASSET	040	BJ	B	8,400	36.41	10-Apr	7-Jun	378.00	4.5
TRI SUN CAPITAL	012	BTU	SS	2,000	49.02	n/a	n/a	80.00	4.0
BUCK CAPITAL MGMT		CACH	B	10,000	13.10	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		CACH	B	11,800	13.02	n/a	n/a	non-brg	1.5
BUCK CAPITAL MGMT		CACH	B	178,200	13.09	n/a	n/a	non-brg	5.0
GRANAHAN INV	039	CENT	S	20,000	12.16	8-Feb	3-Jul	800.00	4.0
BUCK CAPITAL MGMT		CHIC	B	10,000	25.34	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CMRG	S	22,000	10.26	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	COH	B	5,000	47.79	23-Feb	25-Apr	200.00	4.0
BUCK CAPITAL MGMT	001	DDS	SS	10,000	36.47	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		DFS	S	4,100	27.11	n/a	n/a	non-brg	1.0
GRANAHAN INV	039	DLTR	S	10,300	43.56	30-May	15-Jun	412.00	4.0
MKT MAKER	039	DLTR	S	41	43.56	30-May	15-Jun	1.64	4.0
BUCK CAPITAL MGMT		DSW	B	20,000	34.65	7-May	7-Jun	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PRINCIPLE CAPITAL	040	FDO	SS	24,400	33.71	19-Apr	29-Jun	732.00	3.0
WHITE PINE CAPITAL	030	GGG	B	1,775	40.64	n/a	n/a	88.75	5.0
TRI SUN CAPITAL	012	GGL	S	3,000	21.78	n/a	n/a	120.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>B</b>	<b>6,126</b>	<b>16.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GIL</b>	<b>B</b>	<b>5,000</b>	<b>33.59</b>	<b>21-Jun</b>	<b>21-Jun</b>	<b>200.00</b>	<b>4.0</b>
FRIESS MGMT	042	GME	B	194,800	40.37	n/a	n/a	8,766.00	4.5
LATEFF MGMT	040	GPI	B	5,000	40.66	27-Apr	2-Jul	750.00	15.0
WESLEY CAPITAL	246	HD	B	59,000	39.15	n/a	20-Jun	2,360.00	4.0
SANDLER O'NEILL ASSET	031	IAI	SS	25,000	55.65	n/a	n/a	1,250.00	5.0
WHITE PINE CAPITAL	030	IRIS	B	2,500	16.49	n/a	n/a	125.00	5.0
ROARING BLUE LION MGMT	012	IVZ	S	7,600	26.90	14-Jun	25-Jun	380.00	5.0
ROARING BLUE LION MGMT	012	JNS	SS	3,400	30.05	7-Jun	25-Jun	170.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>10,000</b>	<b>69.34</b>	<b>14-Jun</b>	<b>14-Jun</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	KSS	SS	15,000	69.97	14-Jun	14-Jun	750.00	5.0
CAMBIAR CAP	046	LTD	S	264,200	28.48	16-May	25-Jun	10,568.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>LTM</b>	<b>B</b>	<b>10,000</b>	<b>53.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	NLS	S	20,000	12.07	n/a	n/a	800.00	4.0
⌘ BUCK CAPITAL MGMT		NLS	S	100,000	11.89	n/a	n/a	non-brg	4.0
BUCK	015	NMXUD	S	10	5.90	n/a	n/a	0.00	0.0
BUCK	015	NMXUF	S	20	10.81	n/a	n/a	0.00	0.0
BUCK	015	NMXUH	B	20	18.28	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	45.46	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		PAG	B	20,000	21.48	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		PERY	B	6,807	33.16	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PSUN	S	30,000	22.19	n/a	8-Jun	non-brg	5.0
HIGHBRIDGE CAPITAL	038	SHOR	S	150,000	12.34	n/a	n/a	7,500.00	5.0
LISTED ERR	099	SHOR	B	3,200	12.10	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	040	SKYW	B	13,500	23.99	n/a	n/a	675.00	5.0
MKT MAKER	099	SKYW	B	59	23.99	n/a	n/a	0.00	0.0
NICOLAS APPELEGATE	048	SMOD	S	13,500	13.09	n/a	n/a	405.00	3.0
BARR	096	SYNP	B	100	6.98	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	TGT	SS	240,000	63.38	n/a	7-Jun	9,600.00	4.0
⌘ BUCK CAPITAL MGMT		URBN	B	10,000	23.40	2-Apr	11-May	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	WAG	B	22,900	43.69	n/a	n/a	1,145.00	5.0
WESLEY CAPITAL	246	WMT	B	64,000	48.26	4-Jun	7-Jun	2,560.00	4.0
XYLEM GLOBAL	091	WY	S	12,000	80.91	7-May	14-Jun	600.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>YUM</b>	<b>C</b>	<b>40,000</b>	<b>33.81</b>	<b>24-Apr</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>5.0</b>
<b>TOTAL (Trade Date):</b>								<b>58,016.39</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	07/02	CAVU CAP ADV	028	BBY	SS	500	47.01	1-May	27-Jun	15.00	3.0
AO,	07/02	GOLDMAN SACHS ASSET MGMT	044	HNT	S	60,000	53.41	n/a	n/a	900.00	1.5
AO,CRT	07/02	DSG CAPITAL	225	PAG	B	15,000	21.42	n/a	n/a	750.00	5.0
AO,CXL	07/02	SYSTEMATIC FINANCIAL MGT	035	PAG	B	15,000	21.42	n/a	n/a	750.00	5.0
AO,CRT	06/14	CSFB GLOBAL PROPRIETARY	035	TEL	B	50,000	38.76	n/a	n/a	2500.00	5.0
AO,CRT	06/15	CSFB GLOBAL PROPRIETARY	035	TEL	B	25,000	38.91	n/a	n/a	1250.00	5.0
AO,CRT	06/18	CSFB GLOBAL PROPRIETARY	035	TEL	B	25,000	38.16	n/a	n/a	1250.00	5.0
AO,CXL	06/14	CSFB GLOBAL PROPRIETARY	035	TELWI	B	50,000	0.00	n/a	n/a	2500.00	5.0
AO,CXL	06/15	CSFB GLOBAL PROPRIETARY	035	TELWI	B	25,000	0.00	n/a	n/a	1250.00	5.0
AO,CXL	06/18	CSFB GLOBAL PROPRIETARY	035	TELWI	B	25,000	0.00	n/a	n/a	1250.00	5.0
AO,	07/02	RIVER RUN MGMT	031	TRMP	SS	50,000	10.47	n/a	n/a	2500.00	5.0
AO,CXL	07/02	UBS O'CONNOR/TOM LEDDY	035	WB	B	60,000	51.95	n/a	n/a	1800.00	3.0
AO,	07/02	UBS OCONNOR	031	WB	B	17,357	51.95	n/a	n/a	520.71	3.0
AO,CRT	07/02	UBS OCONNOR	031	WB	B	42,643	51.95	n/a	n/a	1279.29	3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>3,415.00</b>	

TOTAL (Trade Date):	58,016.39
TOTAL (AO, CXL, CRT):	3,415.00

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TOTAL (RUN DATE) / NFS:

61,431.39

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MRI Securities with Trade Activity on 3-Jul.  
NONE

MRI Securities without Trade Activity on 3-Jul.  
HRS

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 12%  
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION