

Daily Compliance Activity Report Trade Date: 02/24/2012

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
BESSEMER INVESTMENT	085	ABX	В	906	49.50	n/a	n/a	36.24	4.0
BESSEMER INVESTMENT	085	ACE	В	773	73.74	n/a	n/a	30.92	4.0
BESSEMER INVESTMENT	085	ACN	В	911	59.56	n/a	n/a	36.44	4.0
CATAPULT	040	BAS	В	25,000	20.79	n/a	n/a	1,000.00	4.0
BNP PARIBAS SEC	040	BIG	В	50,000	43.96	n/a	16-Feb	2,500.00	5.0
TALPION LLC	040	BRKA	В	511	19,949.00	n/a	n/a	0.20	4.0
ACK ASSET	028	CAT	В	9,112	116.88	n/a	27-Jan	273.36	3.0
SCOPUS FUND	041	CCE	В	25 , 000	28.65	n/a	n/a	1,000.00	4.0
BESSEMER INVESTMENT	085	CCL	В	565	30.15	n/a	n/a	22.60	4.0
STRONG/MARK GRONET	030	CIM	S	9,900	3.03	n/a	n/a	297.00	3.0
BESSEMER INVESTMENT	085	COP	В	552	75.22	n/a	n/a	22.08	4.0
CAERUS GLOBAL	041	CROX	В	25,000	18.58	n/a	n/a	1,000.00	4.0
NORTHWESTERN MUTUAL LIFE	047	CTL	S	100,400	39.84	n/a	n/a	4,016.00	4.0
BESSEMER INVESTMENT	085	CVS	В	690	44.12	n/a	n/a	27.60	4.0

Account	RR #	Symbol	Symbol B/S		Price	Price MRI		n Comm.¢/S	
BALYASNY ASSET MGMT	044	DKS	С	15,000	44.80	n/a	17-Feb	750.00	5.0
TUDOR INVESTORS	028	DPZ	SS	75,000	33.21	n/a	23-Feb	2,250.00	3.0
IRIDIAN ASSET MGMT.	036	DRC	S	4,020	54.04	n/a	n/a	201.00	5.0
BESSEMER INVESTMENT	085	DTE	В	317	54.80	n/a	n/a	12.68	4.0
VERITION	028	EA	В	25,000	17.30	n/a	n/a	750.00	3.0
BESSEMER INVESTMENT	085	EXC	В	837	39.13	n/a	n/a	33.48	4.0
BESSEMER INVESTMENT	085	FCX	В	515	43.99	n/a	n/a	20.60	4.0
MOORE CAPITAL	041	FL	В	5,000	28.50	n/a	16-Feb	150.00	3.0
BESSEMER INVESTMENT	085	GD	В	291	71.09	n/a	14-Feb	11.64	4.0
VERITION	028	GPS	SS	20,000	22.70	n/a	n/a	600.00	3.0
SIMMONS VENTURES	041	HWD	В	5,000	13.50	n/a	n/a	200.00	4.0
BESSEMER INVESTMENT	085	IBM	В	281	197.28	n/a	n/a	11.24	4.0
PZENA INV ADV	044	IM	S	1 , 525	19.63	n/a	n/a	53.38	3.5
BALLAST CAP	040	IP	В	700	33.22	n/a	2-Feb	35.00	5.0
BALLAST CAP	040	IP	SS	12,100	33.06	n/a	2-Feb	605.00	5.0
SAGE ASSET MGMT	025	IP	S	17,300	33.13	n/a	2-Feb	865.00	5.0

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MOORE CAPITAL	041	ITB	S	20,000	13.65	n/a	n/a	600.00	3.0
PZENA INV ADV	044	IVZ	S	325	24.95	n/a	2-Mar	11.38	3.5
BESSEMER INVESTMENT	085	JNJ	В	759	64.53	n/a	n/a	30.36	4.0
RBC CAPITAL MARKETS	040	KORS	В	5,000	41.45	n/a	n/a	200.00	4.0
PZENA INV ADV	044	LLL	S	475	70.56	n/a	n/a	16.63	3.5
MOORE CAPITAL	041	LOW	S	17,500	27.00	n/a	8-Feb	525.00	3.0
BESSEMER INVESTMENT	085	MGA	В	457	46.37	n/a	n/a	18.28	4.0
RBC CAPITAL MARKETS	040	MHK	S	16,900	63.40	n/a	n/a	676.00	4.0
BESSEMER INVESTMENT	085	MHP	В	722	46.05	n/a	n/a	28.88	4.0
ROYCE TRADING	031	MTX	В	7,000	66.42	n/a	n/a	350.00	5.0
ARMATURE CAPITAL	041	MWV	SS	25,000	30.57	n/a	26-Jan	1,000.00	4.0
SIMMONS VENTURES	041	М	В	5,000	37.00	n/a	24-Feb	200.00	4.0
FIRST NEW YORK LEDDY	035	NOK	S	20,000	5.83	n/a	n/a	800.00	4.0
TUDOR INVESTORS	028	NSC	В	3,000	70.09	n/a	n/a	90.00	3.0
BALLAST CAP	040	RKT	В	500	68.44	n/a	26-Jan	25.00	5.0
	MOORE CAPITAL PZENA INV ADV BESSEMER INVESTMENT RBC CAPITAL MARKETS PZENA INV ADV MOORE CAPITAL BESSEMER INVESTMENT RBC CAPITAL MARKETS BESSEMER INVESTMENT ROYCE TRADING ARMATURE CAPITAL SIMMONS VENTURES FIRST NEW YORK LEDDY TUDOR INVESTORS	MOORE CAPITAL 041 PZENA INV ADV 044 BESSEMER INVESTMENT 085 RBC CAPITAL MARKETS 040 PZENA INV ADV 044 MOORE CAPITAL 041 BESSEMER INVESTMENT 085 RBC CAPITAL MARKETS 040 BESSEMER INVESTMENT 085 ROYCE TRADING 031 ARMATURE CAPITAL 041 SIMMONS VENTURES 041 FIRST NEW YORK LEDDY 035 TUDOR INVESTORS 028	MOORE CAPITAL PZENA INV ADV BESSEMER INVESTMENT RBC CAPITAL MARKETS PZENA INV ADV MOORE CAPITAL MOORE CAPITAL MOORE CAPITAL MOORE CAPITAL BESSEMER INVESTMENT RBC CAPITAL MARKETS 040 MHK BESSEMER INVESTMENT 085 MGA RBC CAPITAL MARKETS 040 MHK BESSEMER INVESTMENT 085 MHP ROYCE TRADING 031 MTX ARMATURE CAPITAL 041 MWV SIMMONS VENTURES 041 M FIRST NEW YORK LEDDY 035 NOK TUDOR INVESTORS 028 NSC	MOORE CAPITAL PZENA INV ADV 044 IVZ S BESSEMER INVESTMENT 085 JNJ B RBC CAPITAL MARKETS 040 KORS B PZENA INV ADV 044 LLL S MOORE CAPITAL 041 LOW S BESSEMER INVESTMENT 085 MGA B RBC CAPITAL MARKETS 040 MHK S BESSEMER INVESTMENT 085 MGA B ROYCE TRADING 031 MTX B ARMATURE CAPITAL 041 MWV SS SIMMONS VENTURES 041 M B FIRST NEW YORK LEDDY 035 NOK S TUDOR INVESTORS 028 NSC B S S S S S S S S S S S S	MOORE CAPITAL 041 ITB S 20,000 PZENA INV ADV 044 IVZ S 325 BESSEMER INVESTMENT 085 JNJ B 759 RBC CAPITAL MARKETS 040 KORS B 5,000 PZENA INV ADV 044 LLL S 475 MOORE CAPITAL 041 LOW S 17,500 BESSEMER INVESTMENT 085 MGA B 457 RBC CAPITAL MARKETS 040 MHK S 16,900 BESSEMER INVESTMENT 085 MHP B 7,000 ROYCE TRADING 031 MTX B 7,000 ARMATURE CAPITAL 041 MWV SS 25,000 SIMMONS VENTURES 041 M B 5,000 FIRST NEW YORK LEDDY 035 NOK S 20,000 TUDOR INVESTORS 028 NSC B 3,000	MOORE CAPITAL 041 ITB S 20,000 13.65 PZENA INV ADV 044 IVZ S 325 24.95 BESSEMER INVESTMENT 085 JNJ B 759 64.53 REC CAPITAL MARKETS 040 KORS B 5,000 41.45 PZENA INV ADV 044 LLL S 475 70.56 MOORE CAPITAL 041 LOW S 17,500 27.00 BESSEMER INVESTMENT 085 MGA B 457 46.37 REC CAPITAL MARKETS 040 MHK S 16,900 63.40 BESSEMER INVESTMENT 085 MHP B 722 46.05 ROYCE TRADING 031 MTX B 7,000 66.42 ARMATURE CAPITAL 041 MWV SS 25,000 30.57 SIMMONS VENTURES 041 M B 5,000 37.00 FIRST NEW YORK LEDDY 035 NOK S 20,000 5.83 TUDOR INVESTORS 028 NSC B 3,000 70.09	MOORE CAPITAL 041 ITB S 20,000 13.65 n/a PZENA INV ADV 044 IVZ S 325 24.95 n/a BESSEMER INVESTMENT 085 JNJ B 759 64.53 n/a RBC CAPITAL MARKETS 040 KORS B 5,000 41.45 n/a PZENA INV ADV 044 LLL S 475 70.56 n/a MOORE CAPITAL 041 LOW S 17,500 27.00 n/a BESSEMER INVESTMENT 085 MGA B 457 46.37 n/a RBC CAPITAL MARKETS 040 MHK S 16,900 63.40 n/a BESSEMER INVESTMENT 085 MHP B 722 46.05 n/a ROYCE TRADING 031 MTX B 7,000 66.42 n/a ARMATURE CAPITAL 041 MWV SS 25,000 30.57 n/a SIMMONS VENTURES 041 M B 5,000 37.00 n/a FIRST NEW YORK LEDDY 035 NOK S 20,000 5.83 n/a TUDOR INVESTORS 028 NSC B 3,000 70.09 n/a	MOORE CAPITAL 041 ITB S 20,000 13.65 n/a n/a PZENA INV ADV 044 IVZ S 325 24.95 n/a 2-Mar BESSEMER INVESTMENT 085 JNJ B 759 64.53 n/a n/a RBC CAPITAL MARKETS 040 KORS B 5,000 41.45 n/a n/a MOORE CAPITAL 041 LOW S 17,500 27.00 n/a 8-Feb BESSEMER INVESTMENT 085 MGA B 457 46.37 n/a n/a RBC CAPITAL MARKETS 040 MHK S 16,900 63.40 n/a n/a RBC CAPITAL MARKETS 040 MHK S 16,900 63.40 n/a n/a RBC CAPITAL MARKETS 040 MHK S 16,900 63.40 n/a n/a ROYCE TRADING 031 MTX B 7,000 66.42 n/a n/a	MOORE CAPITAL 041 ITB S 20,000 13.65

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BALLAST CAP	040	RKT	SS	6,000	68.19	n/a	26-Jan	300.00	5.0
EMPYREAN CAPITAL	084	RKT	В	25,000	68.37	n/a	26-Jan	1,250.00	5.0
TALPION LLC	040	RKT	SS	9,000	68.13	n/a	26-Jan	360.00	4.0
RBC CAPITAL MARKETS	040	ROST	В	5,000	52.72	n/a	24-Feb	200.00	4.0
MATRIX ASSET ADV.	091	STJ	s	2,000	43.33	n/a	n/a	80.00	4.0
CENTURION PARTNERS	041	TGT	В	15,000	55.18	n/a	24-Feb	600.00	4.0
MA CAPITAL MGMT/MELLON AD	V041	TGT	В	3,000	55.23	n/a	24-Feb	150.00	5.0
BALYASNY ASSET MGMT	044	UFS	С	10,000	95.05	n/a	n/a	500.00	5.0
BESSEMER INVESTMENT	085	UNH	В	613	55.08	n/a	n/a	24.52	4.0
BESSEMER INVESTMENT	085	UN	В	470	33.43	n/a	n/a	18.80	4.0
CATAPULT	040	USG	SS	10,000	13.51	n/a	7-Feb	400.00	4.0
STANDARD PACIFIC CAPITAL	084	VALE	В	125,000	26.00	n/a	n/a	6,250.00	5.0
PILOT ADV	041	WFT	В	50,000	16.69	n/a	n/a	1,500.00	3.0
RBC CAPITAL MARKETS	040	WRC	SS	15,000	58.39	n/a	3-Feb	600.00	4.0
BESSEMER INVESTMENT	085	YUM	В	789	65.64	n/a	8-Feb	31.56	4.0

TOTAL (Trade Date): 33,647.87

Туре	Date Account	RR # Symbol B/S	Shares Price	MRI Research Comm.¢/Shr.
TOTAL	(AO, CXL, CRT):			0.00
	(Trade Date): (AO, CXL, CRT):			33,647.87 0.00
TOTAL	(RUN DATE) / NFS:			33,647.87

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION