



Daily Compliance Activity Report

Trade Date: 01/27/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ACC	C	4,600	21.26	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AMB	C	12,000	18.70	n/a	n/a	non-brg	4.0
ADAGE CAPITAL MGMT	030	ANF	B	100,000	18.98	n/a	9-Jan	4,000.00	4.0
THE BOSTON COMPANY	401	ARO	B	85,361	21.10	n/a	9-Jan	3,414.44	4.0
POIN	028	ASH	S	12,400	9.52	n/a	27-Jan	620.00	5.0
LISTED ERR	099	ASH	S	100	9.52	n/a	27-Jan	0.00	0.0
BUCK CAPITAL MGMT		AVB	C	8,000	54.22	n/a	n/a	non-brg	4.0
NEWLAND CAPITAL MGT	067	AXE	B	10,000	26.51	22-Dec	22-Dec	400.00	4.0
JOHN PATRICK DAVEY	FID	BAC	B	3000	6.47	16-Jan	16-Jan	0.00	0.0
1798 CAPITAL MGMT	040	BBBY	SS	5,000	24.17	n/a	n/a	250.00	5.0
MILLENIUM PARTNERS	028	BBBY	SS	70,000	24.55	n/a	n/a	3,500.00	5.0
NEUBERGER BERMAN	036	BDN	B	68,800	6.36	n/a	n/a	3,096.00	4.5
COUGAR TRADING	044	BIG	S	10,000	13.96	5-Dec	6-Jan	500.00	5.0
DAVIS SELECT ADV	040	BK	B	939,000	24.12	21-Jan	21-Jan	28,170.00	3.0
BUCK CAPITAL MGMT		BMR	C	12,000	11.54	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		BRE	C	5,500	26.07	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	BXP	B	17,000	43.76	n/a	n/a	850.00	5.0
KLEINHEINZ CAP PART	031	CHL	B	75,000	45.94	n/a	n/a	3,750.00	5.0
PHILADELPHIA FINANCIAL	033	CME	B	8,637	169.47	n/a	23-Jan	345.48	4.0
f KEVIN MICHAEL O'GORM	FID	CPRE	B	1000	9.69	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CPT	C	4,500	27.56	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CUZ	C	6,400	10.74	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	CUZ	B	20,000	10.69	n/a	n/a	800.00	4.0
HUNTER GLOBAL INVESTOR	067	DDR	B	40,000	4.67	n/a	n/a	1,200.00	3.0
B BUCK CAPITAL MGMT		DIN	C	10,000	8.21	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		DRE	C	6,000	10.75	n/a	n/a	non-brg	4.0
EVERCORE WEALTH MGMT	012	EEP	B	500	32.09	n/a	n/a	25.00	5.0
B BUCK CAPITAL MGMT		EGP	C	1,000	31.71	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ELS	C	8,200	37.27	n/a	n/a	non-brg	4.0
HIGHBRIDGE/MS OLDS	041	EMR	SS	40,000	33.60	n/a	n/a	2,000.00	5.0
EVERCORE WEALTH MGMT	012	EPD	B	700	22.89	n/a	n/a	35.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		ESS	C	6,400	66.94	n/a	n/a	non-brg	4.0
HOLDEN CAPITAL MGMT	035	EV	SS	30,000	18.42	9-Jan	27-Jan	1,500.00	5.0
⚡ BUCK CAPITAL MGMT		EXR	C	7,100	8.69	n/a	n/a	non-brg	3.0
HOLDEN CAPITAL MGMT	035	FDO	SS	50,000	27.50	7-Jan	7-Jan	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		FOSL	B	30,000	12.27	23-Jan	23-Jan	non-brg	4.0
MILLENIUM PARTNERS	028	FO	B	17,000	37.66	n/a	n/a	850.00	5.0
⚡ BUCK CAPITAL MGMT		FRT	C	45,000	50.60	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GNTX	SS	20,000	8.64	23-Dec	27-Jan	non-brg	3.0
COUGAR TRADING	044	GNTX	SS	15,000	8.53	23-Dec	27-Jan	600.00	4.0
HOLDEN CAPITAL MGMT	035	HAR	SS	7,000	17.27	n/a	26-Nov	350.00	5.0
MILLENIUM PARTNERS	028	HD	B	15,000	22.21	n/a	27-Jul	750.00	5.0
UBS OCONNOR	031	HD	B	150,000	22.24	n/a	27-Jul	4,500.00	3.0
⚡ BUCK CAPITAL MGMT		HIW	C	5,000	23.79	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HME	C	9,300	36.25	n/a	n/a	non-brg	2.5
WYPER CAPITAL	044	HPQ	S	30,000	35.48	n/a	n/a	1,200.00	4.0
⚡ JOEL GIFFORD TISS	FID	INTC	S	1100	13.80	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		IP	SS	25,000	10.95	22-Sep	20-Jan	non-brg	4.0
BUCK CAPITAL MGMT		IYR	C	140,000	32.38	n/a	n/a	non-brg	1.0
JOSEPH CIRO AMATURO	FID	JCI	S	3000	13.04	26-Jan	26-Jan	0.00	0.0
BUCK CAPITAL MGMT		JNY+EA[JNY]	SS	2,000	0.32	n/a	n/a	non-brg	100.0
SIGMA CAPITAL ASSOCIATES	237	JOSB	SS	25,000	28.55	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT		KCP	B	400	6.58	n/a	28-Sep	non-brg	1.5
BUCK CAPITAL MGMT		KCP	B	7,900	6.70	n/a	28-Sep	non-brg	3.0
BUCK CAPITAL MGMT		KIM	C	18,000	16.01	n/a	n/a	non-brg	2.5
1798 CAPITAL MGMT	040	KR	SS	5,000	24.55	n/a	n/a	250.00	5.0
HUNTER GLOBAL INVESTOR	067	LHO	B	44,300	9.85	n/a	n/a	1,329.00	3.0
BUCK CAPITAL MGMT		LL	B	20,000	9.65	n/a	n/a	non-brg	3.0
BACKBAY	041	LPX	B	1,500	2.00	5-Nov	23-Jan	45.00	3.0
BUCK CAPITAL MGMT		LRY	C	5,300	20.37	n/a	n/a	non-brg	2.5
PZENA INV ADV	044	MAT	B	18,500	15.14	n/a	n/a	647.51	3.5
BRENCOURT	028	MGA	B	10,000	29.83	n/a	n/a	500.00	5.0
TRELLUS PARTNERS LP	028	MGA	SS	10,000	29.85	n/a	n/a	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KLEINHEINZ CAP PART	031	MS	B	50,000	19.49	18-Dec	14-Jan	2,500.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	MWO	S	500	14.05	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	MWO	S	1000	14.50	n/a	n/a	0.00	0.0
EVERCORE WEALTH MGMT	012	NE	B	600	26.99	n/a	n/a	30.00	5.0
f ALEXANDRA ELISE ZOLI	FID	NFLX	S	200	34.33	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		OFC	C	10,000	27.64	n/a	n/a	non-brg	4.0
1798 CAPITAL MGMT	040	ORLY	SS	5,000	29.82	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		O	C	6,000	19.65	n/a	n/a	non-brg	2.5
ELM RIDGE ADVISORS	044	PKG	S	65,602	15.04	5-Dec	21-Jan	2,624.08	4.0
B BUCK CAPITAL MGMT		PKY	C	6,200	16.56	n/a	n/a	non-brg	2.5
HUNTER GLOBAL INVESTOR	067	PSA	B	15,000	64.58	n/a	n/a	750.00	5.0
B BUCK CAPITAL MGMT		PSB	C	4,000	43.40	n/a	n/a	non-brg	2.5
f HARALAMBOS EFSTATHI	FID	PWR	B	300	21.89	n/a	n/a	0.00	0.0
GRANITE CAPITAL	045	PXD	S	17,900	15.53	5-Nov	20-Nov	895.00	5.0
CENTURION GLOBAL MGMT LL	041	QCOM	S	15,900	35.70	n/a	n/a	477.00	3.0
B BUCK CAPITAL MGMT		REG	C	16,000	38.59	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MATLIN PATTERSON	028	RSH	S	25,000	11.95	n/a	n/a	1,250.00	5.0
1798 CAPITAL MGMT	040	SHW	B	5,000	48.69	n/a	22-Jan	250.00	5.0
EVERCORE WEALTH MGMT	012	SLB	B	350	41.10	n/a	n/a	17.50	5.0
⚡ BUCK CAPITAL MGMT		SLG	C	15,000	15.78	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SLG	C	15,000	16.55	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SPG	C	37,000	44.73	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		SSS	C	6,000	26.38	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		SUI	C	5,300	13.13	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		TCO	C	48,700	20.81	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		TIN	S	125,000	4.57	5-Dec	20-Jan	non-brg	2.0
⚡ BUCK CAPITAL MGMT		TIN	S	25,000	4.68	5-Dec	20-Jan	non-brg	1.5
COUGAR TRADING	044	TIN	S	15,000	4.61	5-Dec	20-Jan	600.00	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	S	22,100	4.55	5-Dec	20-Jan	1,105.00	5.0
ELM RIDGE ADVISORS	044	TIN	B	3,300	4.40	5-Dec	20-Jan	99.00	3.0
NEWLAND CAPITAL MGT	067	TIN	S	105,000	4.77	5-Dec	20-Jan	3,150.00	3.0
COUGAR TRADING	044	UA	SS	5,000	19.00	n/a	15-Jan	250.00	5.0
⚡ BUCK CAPITAL MGMT		VNO	C	28,500	52.44	n/a	n/a	non-brg	2.5
HUNTER GLOBAL INVESTOR	067	VNO	B	16,000	51.49	n/a	n/a	800.00	5.0
1798 CAPITAL MGMT	040	VPRT	SS	5,000	16.11	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JOEL GIFFORD TISS	FID	VZ	B	5000	29.50	n/a	4-Jan	0.00	0.0
UBS OCONNOR	031	WDR	SS	50,000	13.74	n/a	27-Jan	1,500.00	3.0
1798 CAPITAL MGMT	040	WMT	SS	5,000	48.95	10-Oct	8-Jan	250.00	5.0
1798 CAPITAL MGMT	040	WRC	B	5,000	21.76	7-Nov	27-Jan	250.00	5.0
NEUBERGER BERMAN	036	WRE	S	17,300	24.02	n/a	n/a	778.50	4.5
B BUCK CAPITAL MGMT		WRI	C	50,400	17.20	n/a	n/a	non-brg	2.5
MILLENIUUM PARTNERS	028	YUM	SS	10,000	29.01	n/a	8-Jan	500.00	5.0
TOTAL (Trade Date) :								88,303.51	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	01/26	BUCK CAPITAL MGMT	001	EAT	SS	1,200	11.37	n/a	24-Apr	48.00 4.0
AO,CXL	01/26	BUCK CAPITAL MGMT	001	EAT	SS	11,200	11.37	n/a	24-Apr	448.00 4.0
AO,	01/26	MKT MAKER	099	IPAR	B	21	5.80	n/a	n/a	0.00 0.0
AO,CXL	01/26	&MIL	028	JWN	SS	16,540	13.37	9-Jan	9-Jan	827.00 5.0
AO,CRT	01/26	MILLENIU PARTNERS	028	JWN	SS	16,540	13.37	9-Jan	9-Jan	827.00 5.0
AO,CXL	01/26	&MIL	028	LTD	SS	17,830	8.60	8-Jan	9-Jan	891.50 5.0
AO,CRT	01/26	MILLENIU PARTNERS	028	LTD	SS	17,830	8.60	8-Jan	9-Jan	891.50 5.0
AO,CXL	01/21	JP MORGAN VENTURES	046	PCX	B	25,000	5.74	n/a	n/a	750.00 3.0
AO,CRT	01/21	JP MORGAN VENTURES	046	PCX	B	25,000	5.74	n/a	n/a	1250.00 5.0
TOTAL (AO, CXL, CRT) :										100.00

TOTAL (Trade Date) :	88,303.51
TOTAL (AO, CXL, CRT) :	100.00

TOTAL (RUN DATE) / NFS:	88,403.51
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MRI Securities with Trade Activity on 27-Jan. NONE

MRI Securities without Trade Activity on 27-Jan.

AVY, BWA, EXH, KMB, RCL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)