



RR Commissions Summary [Brian Colburn]

Trade Date: 10/22/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
APEX CAPITAL MGMT.	033	0	11,004	11,004	53,546	0	0	0	11,004	11,004	53,546	0	0
ARCHON CAPITAL	033	0	5,544	5,544	10,689	0	0	1	5,544	5,544	10,690	0	0
ASCEND PARTNERS	033	1,650	12,810	12,810	75,955	0	0	0	12,810	12,810	75,955	73,854	103
CHARTER OAK	033	0	250	250	14,161	0	0	0	250	250	14,161	21,191	67
CLOVIS CAPITAL	033	0	3,750	3,750	6,250	0	0	0	3,750	3,750	6,250	17,250	36
COLUMBIA MGMT	033	0	0	0	0	0	0	27,529	0	0	27,529	0	0
CONIFER/FIRESTORM/BC	033	0	0	0	820	0	0	0	0	0	820	480	171
DAVIDSON INV ADV	033	0	0	0	21,274	0	0	0	0	0	21,274	0	0
FORWARD MGMT	033	0	0	0	2,700	0	0	0	0	0	2,700	5,467	49
FRIESS MGMT	033	0	0	0	18,603	0	0	0	0	0	18,603	16,612	112
GRUBER MACBAINE	033	0	1,600	1,600	1,600	0	0	0	1,600	1,600	1,600	0	0
HOLLIS CAPITAL	033	0	750	750	12,825	0	0	0	750	750	12,825	9,175	140
INTERVAL PARTNERS	033	0	11,505	11,505	24,583	0	0	0	11,505	11,505	24,583	4,665	527
LATEEF MGMT	033	294	3,000	3,000	24,336	0	0	0	3,000	3,000	24,336	0	0
MORTAR ROCK	033	0	0	0	0	0	0	0	0	0	0	4,500	0
MUTUAL SHARES/FRANKLYN	033	0	0	0	0	8,101	8,101	25,290	8,101	8,101	25,290	6,042	419
PARAGON INVESTMENT	033	0	0	0	0	0	0	12,001	0	0	12,001	0	0
PHILADELPHIA FINANCIAL	033	0	4,000	4,000	50,312	0	0	0	4,000	4,000	50,312	80,341	63
RAINIER INV MGMT	033	0	10,942	10,942	49,542	0	0	0	10,942	10,942	49,542	0	0
ROSENBERG CAPITAL MGMT	033	0	0	0	0	0	0	12,157	0	0	12,157	17,848	68
ROXBURY CAPITAL (HOOD RIVER CAP)	033	0	2,222	2,222	10,845	0	0	0	2,222	2,222	10,845	0	0
SASCO	033	0	8,400	8,400	28,510	0	0	0	8,400	8,400	28,510	23,090	123
SMEAD CAPITAL	033	0	0	0	0	0	0	4,000	0	0	4,000	0	0
SYMPHONY ASSET	033	0	8,925	8,925	30,033	0	0	0	8,925	8,925	30,033	23,863	126
T. ROWE PRICE	033	0	0	0	0	0	0	0	0	0	0	122,167	0
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE	033	0	4,599	4,599	50,353	0	0	0	4,599	4,599	50,353	0	0
TYEE/TYGH	033	0	0	0	0	0	0	1	0	0	1	0	0
WAGONHOUND/NICOLAS	033	0	4,950	4,950	39,000	0	0	0	4,950	4,950	39,000	7,827	498
WASHINGTON CAPITAL MANAGEMENT	033	0	0	0	0	2,500	2,500	2,500	2,500	2,500	2,500	0	0
TOTALS:		1,944	94,251	94,251	525,935	10,601	10,601	83,479	104,853	104,853	609,415		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAPITAL RESEARCH GROUP	84	0	3,500	3,500	136,193	0	0	0	3,500	3,500	136,193	255,833	0
JMP ASSET MGMT	210	0	0	0	0	0	0	0	0	0	0	0	0

T. ROWE PRICE	243	0	24,910	24,910	131,882	0	0	0	24,910	24,910	131,882	122,167	0
WEXFORD CAPITAL	284	0	150	150	23,418	0	0	0	150	150	23,418	2,962	0
TOTALS:		0	28,560	28,560	291,494	0	0	0	28,560	28,560	291,494		

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