



Daily Compliance Activity Report

Trade Date: 06/10/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f ROBERT M DANIELS	FID	ABB	B	300	17.39	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	ABT	B	500	47.08	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	ALV	SS	4,600	49.50	n/a	28-Apr	230.00	5.0
SIGMA CAPITAL ASSOCIATES	041	ANF	B	25,000	35.50	n/a	4-Jun	875.00	3.5
BUCK CAPITAL MGMT	001	ANN	B	20,000	20.88	n/a	n/a	800.00	4.0
EXIS CAPITAL	044	ARO	B	10,000	29.14	n/a	4-Jun	500.00	5.0
f ROBERT M DANIELS	FID	BAC	B	300	15.25	n/a	21-May	0.00	0.0
B BUCK CAPITAL MGMT		BBY	SS	10,000	40.06	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BEBE	B	70,000	6.64	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		BHI	S	20,000	42.38	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BJ	SS	10,000	38.68	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BLK	B	8,600	156.47	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	BP	S	2000	31.55	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	BZ	B	2000	4.99	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	FRANCIS MICHAEL MCCA	FID	BZ	S	2000	5.04	n/a	n/a	0.00	0.0
	TRELLUS PARTNERS LP	028	BZ	B	50,000	5.03	n/a	n/a	1,500.00	3.0
	WEDBUSH/PACIFIC GROWTH EQTY LLC	084	BZ	B	40,000	5.18		n/a	1,600.00	4.0
ß	BUCK CAPITAL MGMT		CHS	B	30,000	11.15	n/a	5-Feb	non-brg	4.0
ß	BUCK CAPITAL MGMT		CROX	B	40,000	10.30	n/a	n/a	non-brg	2.0
	PATTEN & PATTEN	067	CVE	S	10,266	28.49	n/a	n/a	513.30	5.0
	SANDLER O'NEILL ASSET	031	C	B	50,000	3.91	n/a	7-Jun	1,000.00	2.0
ß	BUCK CAPITAL MGMT		DBRN	B	5,000	26.23	n/a	n/a	non-brg	0.8
ß	BUCK CAPITAL MGMT		DBRN	B	75,000	26.06	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		DHI	B	25,000	10.79	n/a	n/a	non-brg	4.0
	BAIRD INVESTMENT	047	DRC	B	13,125	33.83	n/a	n/a	656.25	5.0
ß	BUCK CAPITAL MGMT		DSW	C	50,000	26.23	n/a	25-May	non-brg	4.0
	PATTEN & PATTEN	067	ECA	B	10,566	33.48	n/a	n/a	528.30	5.0
f	FRANCIS MICHAEL MCCA	FID	FINL	B	250	14.44	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		FL	B	40,000	13.81	n/a	21-May	non-brg	4.0
f	MITCHELL JAMES SPEIS	FID	FSNXX	S	27000	1.00	n/a	n/a	0.00	0.0
	M&I FUNDS	047	GES	S	6,239	34.75	n/a	28-May	249.56	4.0

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⌘ BUCK CAPITAL MGMT		GIL	S	100,000	31.23	n/a	14-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	35,000	31.23	n/a	14-May	non-brg	0.8
f CHRISTOPHER ROBERT C	FID	GLW	B	200	17.73	n/a	9-Jun	0.00	0.0
⌘ BUCK CAPITAL MGMT		GYMB	B	10,000	43.88	n/a	10-Jun	non-brg	4.0
CONIFER/ISF	025	HAS	B	5,000	39.89	n/a	n/a	250.00	5.0
OZ MGMT	040	HD	C	75,000	32.71	n/a	19-May	2,250.00	3.0
⌘ BUCK CAPITAL MGMT		HTZ	B	30,000	10.15	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	IP	SS	25,000	22.80	n/a	29-Apr	1,250.00	5.0
RBC CAPITAL MARKETS	040	IP	S	25,000	22.80	n/a	29-Apr	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		JCP	SS	30,000	26.12	n/a	4-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JNS	S	131,099	10.29	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JNY	S	58,000	18.68	n/a	14-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JWN	B	20,000	37.58	n/a	4-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LEN	B	20,000	14.79	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	B	20,000	24.92	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MDC	B	10,000	28.34	n/a	n/a	non-brg	4.0

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BUCK CAPITAL MGMT		MFB	B	20,000	22.00	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		MW	SS	40,000	22.04	n/a	n/a	non-brg	4.0
PARTNER REINSURANCE	033	M	B	17,350	21.29	n/a	4-Jun	694.00	4.0
WEINTRAUB CAPITAL MGT.	040	NDN	B	53,300	13.87	n/a	27-May	2,132.00	4.0
BUCK CAPITAL MGMT		NWY	B	250,000	2.40	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		NWY	B	50,000	2.27	n/a	n/a	non-brg	2.0
DAVID GEORGE BUCK	FID	PBR	B	2000	38.21	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	PDLI	B	15,000	5.23	n/a	n/a	450.00	3.0
BUCK CAPITAL MGMT		PETM	C	20,000	32.43	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		PHM	B	20,000	9.33	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		PIR	B	50,000	7.44	n/a	n/a	non-brg	3.0
CHRISTOPHER ROBERT C	FID	PKG	S	200	21.38	n/a	20-Apr	0.00	0.0
DAVID GEORGE BUCK	FID	PNRA	B	1200	81.58	n/a	28-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	PSS	S	50,000	19.08	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		PSS	S	125,000	19.11	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		PSS	S	75,000	19.16	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	PSS	B	15,000	19.04	n/a	n/a	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		PVH	S	20,000	52.97	n/a	26-May	non-brg	4.0
EXIS CAPITAL	044	PVH	SS	10,000	53.57	n/a	26-May	500.00	5.0
B BUCK CAPITAL MGMT		ROST	SS	10,000	56.89	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		RYL	B	20,000	16.10	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	RYN	S	2000	44.52	n/a	13-May	0.00	0.0
CATAPULT	040	SCHW	B	20,000	15.87	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		SCVL	C	7,000	22.62	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SHOO	B	30,000	32.62	n/a	n/a	non-brg	2.5
WEISS PECK	040	SKX	C	4,500	38.65	n/a	n/a	225.00	5.0
f DAVID GEORGE BUCK	FID	SPG	B	15	1.60	n/a	n/a	0.00	0.0
LOCUS WOOD CAPITAL MGMT	044	STR	B	50,000	46.44	n/a	3-Jun	2,000.00	4.0
CATAPULT	040	STT	S	5,000	36.91	n/a	11-May	250.00	5.0
B BUCK CAPITAL MGMT		SWY	SS	10,000	20.87	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	TIN	S	25,000	20.23	n/a	21-Apr	1,250.00	5.0
NWQ INVESTMENT MGMT CO	048	TIN	S	16,350	20.27	n/a	21-Apr	654.00	4.0
B BUCK CAPITAL MGMT		TLB	B	15,000	11.86	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	TM	B	10,000	70.70	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		TOL	B	20,000	18.58	n/a	n/a	non-brg	4.0
ROTHSCHILD ASSET	040	TPX	S	21,190	32.02	n/a	n/a	847.60	4.0
ß BUCK CAPITAL MGMT		TRLG	SS	10,000	27.07	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		UAUA	S	10,000	22.12	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	UNG	B	12,000	7.95	n/a	n/a	360.00	3.0
f KAREN F LEDDY	FID	VXX	S	500	29.95	n/a	n/a	0.00	0.0
PARTNER REINSURANCE	033	WAG	S	8,150	29.77	n/a	n/a	326.00	4.0
ß BUCK CAPITAL MGMT		WNC	B	22,500	6.69	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		WNC	B	7,500	6.86	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		WRC	B	20,000	41.02	n/a	14-May	non-brg	4.0
ß BUCK CAPITAL MGMT		WRC	S	26,900	41.64	n/a	14-May	non-brg	4.0
SONTERRA CAP	041	XCO	S	25,000	18.28	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		XRT	C	150,000	39.24	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	C	53,000	39.48	n/a	n/a	non-brg	2.0
TOTAL (Trade Date):								28,141.01	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/07	PATTEN & PATTEN	067	FPL	B	75	49.26	n/a	n/a	3.75	5.0
AO,CRT	06/07	PATTEN & PATTEN	067	FPL	B	75	49.26	n/a	n/a	3.75	5.0
AO,	06/09	AVESTA CAP	229	JBL	SS	100,000	12.63	n/a	n/a	3000.00	3.0
TOTAL (AO, CXL, CRT) :										3,000.00	

TOTAL (Trade Date) :	28,141.01
TOTAL (AO, CXL, CRT) :	3,000.00

TOTAL (RUN DATE) / NFS:	31,141.01
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MRI Securities with Trade Activity on 10-Jun. NONE

MRI Securities without Trade Activity on 10-Jun. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 5% YTD = 8%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)