



Daily Compliance Activity Report

Trade Date: 07/21/2006

Potential ANALYST TRADE Violations: 0

Watch List **ACTIVITY ALERT: 1**

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ACMR	S	110,000	16.48	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		ACMR	S	50,000	15.89	n/a	n/a	non-brg	2.0
PLATTE RIVER CAPITAL	035	AMD	B	7,500	18.76	n/a	n/a	300.00	4.0
J L F ASSET MGMT	039	ANF	B	28,400	51.78	6-Apr	4-Sep	1,136.00	4.0
BENNETT LAWRENCE	044	APH	B	200	52.98	n/a	n/a	10.00	5.0
BUCK CAPITAL MGMT	001	ARO	B	5,000	27.73	7-Jul	4-Sep	200.00	4.0
&BUCK	015	BAY	B	500	47.35	n/a	n/a	0.00	0.0
&ZOLIDIS	011	BBI	SS	600	4.59	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BEBE	B	10,000	15.24	n/a	n/a	non-brg	5.0
PLATTE RIVER CAPITAL	035	BIIB	S	1,000	41.24	n/a	n/a	40.00	4.0
BUCK CAPITAL MGMT	001	BLI	SS	20,000	15.74	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		BMHC	C	10,000	21.66	n/a	n/a	non-brg	1.5
J L F ASSET MGMT	039	BOBE	SS	14,100	26.98	n/a	n/a	564.00	4.0
TRAFELET & COMP.	033	BONT	B	92,500	23.09	n/a	6-Feb	4,625.00	5.0
&LEDDY	035	BONT	S	1,000	23.21	n/a	6-Feb	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
&SINCLAIR	031	BRCM	S	400	24.09	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CACH	S	10,000	16.72	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CBE	C	20,000	84.27	20-Apr	27-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		CBRL	SS	20,000	32.55	n/a	n/a	non-brg	5.0
CAPITAL GROWTH MGMT.	241	CLB	B	20,000	56.38	27-Apr	28-Aug	1,000.00	5.0
&BUCK	015	CMA	S	500	54.93	n/a	n/a	0.00	0.0
&OGORMAN	146	CMG	C	200	48.90	9-May	23-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	CRI	B	5,000	25.64	n/a	n/a	200.00	4.0
ß BUCK CAPITAL MGMT		CWTR	B	30,000	20.31	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		C	S	1,661	46.92	n/a	17-Aug	non-brg	2.0
ß BUCK CAPITAL MGMT		C	S	20,000	47.14	n/a	17-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		DBRN	SS	10,000	22.26	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	DBRN	SS	108,200	21.96	n/a	n/a	4,328.00	4.0
ß BUCK CAPITAL MGMT		DECK	C	30,000	36.11	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DLTR	SS	10,000	25.00	21-Feb	26-Aug	non-brg	5.0
FULLER & THALER ASSET	040	DPZ	S	46,800	22.10	20-Jul	24-Apr	1,404.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FULLER & THALER ASSET	040	DPZ	S	53,200	22.10	20-Jul	24-Apr	1,596.00	3.0
SATELLITE ASSET MGMT	012	DPZ	B	50,000	22.00	20-Jul	24-Apr	2,000.00	4.0
BENNETT LAWRENCE	044	DSW	B	350	33.56	n/a	26-Aug	17.50	5.0
PRINCIPLE CAPITAL	040	FAST	SS	228,100	33.50	n/a	n/a	9,124.00	4.0
BUCK CAPITAL MGMT	001	FDO	SS	20,000	22.05	n/a	4-Sep	800.00	4.0
ß BUCK CAPITAL MGMT		FD	S	150,000	33.84	n/a	n/a	non-brg	5.0
&BUCK	015	FD	C	500	33.39	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FNLY	S	16,000	6.93	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		FRED	SS	10,000	11.55	2-Mar	27-Mar	non-brg	1.5
REINHART & MAHONEY	031	FSP	B	5,825	18.71	n/a	n/a	291.25	5.0
BUCK CAPITAL MGMT	001	GCO	B	30,000	28.64	n/a	10-Jan	1,200.00	4.0
CHARTER OAK	037	GHDX	B	17,000	11.15	n/a	n/a	850.00	5.0
ß BUCK CAPITAL MGMT		GIII	B	20,000	10.73	n/a	n/a	non-brg	5.0
&LEDDY	035	GLW	S	300	22.03	21-Mar	28-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		GTRC	B	10,000	41.00	n/a	n/a	non-brg	5.0
HOFFMAN	014	HCGI	B	200	0.55	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	HD	SS	71,000	33.45	n/a	2-Sep	2,840.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
&GAULT	063	HRS	B	1,000	38.33	2-May	13-Aug	0.00	0.0
&HOFFMAN	014	ILA	S	8,000	4.39	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ISIL	C	35,000	20.66	n/a	n/a	non-brg	5.0
BENNETT LAWRENCE	044	ISRG	B	100	117.56	n/a	n/a	5.00	5.0
BENNETT LAWRENCE	044	ITRI	B	200	52.70	n/a	n/a	10.00	5.0
&KARP	023	IWM	B	300	67.09	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	JBLU	B	30,000	11.25	n/a	n/a	1,500.00	5.0
ß BUCK CAPITAL MGMT		JCG	B	18,100	25.38	n/a	30-Jan	non-brg	5.0
&BUCK	015	JNJ	S	400	61.72	n/a	22-Apr	0.00	0.0
J L F ASSET MGMT	039	JOSB	S	11,400	25.09	n/a	n/a	456.00	4.0
ß BUCK CAPITAL MGMT		KNXA	C	10,000	26.43	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	KWD	SS	5,000	25.29	n/a	n/a	200.00	4.0
J L F ASSET MGMT	039	LDG	SS	49,100	40.19	n/a	n/a	1,964.00	4.0
FIRST INV MGMT CO	036	LIZ	B	5,800	33.81	n/a	13-Aug	290.00	5.0
FIRST INV MGMT CO	036	LMT	S	6,900	76.20	n/a	n/a	345.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		LRCX	SS	60,000	38.12	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	LTD	SS	137,200	24.01	16-May	30-Jan	5,488.00	4.0
BENNETT LAWRENCE	044	LTM	B	250	42.45	n/a	n/a	12.50	5.0
⌘ BUCK CAPITAL MGMT		LVS	C	10,000	65.35	n/a	26-Aug	non-brg	5.0
J L F ASSET MGMT	039	MLM	SS	103,600	76.61	n/a	n/a	4,144.00	4.0
&KORMAN	003	MMMSO	B	50	4.90	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MNRO	S	20,000	30.80	n/a	n/a	non-brg	5.0
BENNETT LAWRENCE	044	NIHD	B	200	52.58	n/a	n/a	10.00	5.0
⌘ BUCK CAPITAL MGMT		NTES	SS	25,000	17.05	n/a	n/a	non-brg	5.0
&LEDDY	035	ODP	S	600	35.75	27-Jun	27-Jul	0.00	0.0
&BUCK	015	OSI	C	400	30.86	n/a	24-Apr	0.00	0.0
BENNETT LAWRENCE	044	PCP	B	200	54.00	n/a	n/a	10.00	5.0
⌘ BUCK CAPITAL MGMT		PDFS	B	10,000	9.60	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		PDFS	B	20,000	10.41	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		PERY	S	7,000	24.89	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PLCE	B	5,000	52.54	1-May	4-Sep	non-brg	1.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	PLCE	S	21,899	52.78	1-May	4-Sep	875.96	4.0
NEUBERGER BERMAN	239	PNR	S	44,700	30.28	n/a	n/a	2,235.00	5.0
RH CAPITAL ASSOCIATES	060	PRGS	B	12,308	20.80	n/a	n/a	615.40	5.0
BUCK CAPITAL MGMT	001	PVH	B	5,000	32.97	9-Jun	8-Sep	200.00	4.0
EGS ASSOCIATES LP	005	PVH	B	3,000	33.31	9-Jun	8-Sep	180.00	6.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	2,500	33.35	9-Jun	8-Sep	100.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	44,500	33.35	9-Jun	8-Sep	1,780.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	5,500	33.35	9-Jun	8-Sep	220.00	4.0
J L F ASSET MGMT	039	RARE	SS	31,600	26.08	n/a	n/a	1,264.00	4.0
BENNETT LAWRENCE	044	RESP	B	300	36.04	n/a	n/a	15.00	5.0
BENNETT LAWRENCE	044	RHI	B	350	32.53	n/a	n/a	17.50	5.0
BUCK CAPITAL MGMT	001	RVI	S	30,000	16.71	n/a	n/a	1,200.00	4.0
PLATTE RIVER CAPITAL	035	SEPR	B	1,000	48.04	n/a	n/a	40.00	4.0
MKT MAKER	099	SHLD	B	57	137.75	n/a	n/a	0.00	0.0
&ZOLIDIS	011	SHOE	SS	200	6.81	n/a	n/a	0.00	0.0
FIRST INV MGMT CO	036	SHW	B	9,700	47.45	30-Mar	7-Aug	485.00	5.0
J L F ASSET MGMT	039	SONC	B	49,520	19.29	n/a	n/a	1,980.80	4.0
PRINCIPLE CAPITAL	040	STP	SS	54,800	23.22	n/a	n/a	2,192.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J L F ASSET MGMT	039	TIE	SS	158,200	24.90	n/a	n/a	6,328.00	4.0
J L F ASSET MGMT	039	TIF	SS	112,400	30.41	n/a	30-Jan	4,496.00	4.0
ARTISAN PARTNERS LP	031	TKLC	S	125,200	9.91	22-Mar	22-Mar	5,008.00	4.0
J L F ASSET MGMT	039	TXRH	SS	22,900	11.04	n/a	n/a	916.00	4.0
BENNETT LAWRENCE	044	VMSI	B	250	46.09	n/a	n/a	12.50	5.0
B BUCK CAPITAL MGMT		WFR	C	10,000	27.50	n/a	n/a	non-brg	5.0
J L F ASSET MGMT	039	WOLF	S	2,000	12.01	n/a	n/a	80.00	4.0
B BUCK CAPITAL MGMT		WRNC	B	20,000	17.22	n/a	n/a	non-brg	5.0
PLATTE RIVER CAPITAL	035	WYE	B	1,500	45.33	n/a	31-Mar	60.00	4.0
B BUCK CAPITAL MGMT		WYNN	C	10,000	66.95	n/a	26-Aug	non-brg	1.5
GALLEON MGMT LP	033	WY	S	20,000	55.15	16-Jun	3-Aug	1,000.00	5.0
XYLEM GLOBAL	024	WY	S	50,000	55.30	16-Jun	3-Aug	2,500.00	5.0
SUN AMERICA ASSET MGMT	030	XOM	B	3,600	64.54	n/a	n/a	180.00	5.0
SUN AMERICA ASSET MGMT	030	XOM	B	46,800	64.54	n/a	n/a	2,340.00	5.0
BUCK CAPITAL MGMT	001	ZQK	B	10,000	12.74	n/a	4-Sep	400.00	4.0

TOTAL (Trade Date):

84,481.41

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/18	RIDGECRST PARTNERS	035	ABFS	C	5,100	47.00	n/a	n/a	255.00 5.0
AO,CXL	07/18	RIDGECRST PARTNERS	035	ABFS	C	5,100	47.00	n/a	n/a	255.00 5.0
AO,	07/20	PERRY PARTNERS	035	ANF	S	100,000	52.18	6-Apr	4-Sep	4000.00 4.0
AO,CRT	07/20	PLATTE RIVER CAPITAL	035	AVP	B	5,000	31.76	1-May	3-Aug	250.00 5.0
AO,CXL	07/20	PLATTE RIVER CAPITAL	035	AVP	B	5,000	31.76	1-May	3-Aug	0.00 0.0
AO,CRT	07/18	RIDGECRST PARTNERS	035	BONT	B	6,700	21.89	n/a	6-Feb	335.00 5.0
AO,CXL	07/18	RIDGECRST PARTNERS	035	BONT	B	6,700	21.89	n/a	6-Feb	335.00 5.0
AO,	07/20	GUARDIAN LIFE	035	DOW	B	69,300	37.17	13-Mar	30-Jul	2772.00 4.0
AO,	07/20	J L F ASSET MGMT	039	GAIA	B	2,800	13.17	n/a	n/a	112.00 4.0
AO,	07/20	J L F ASSET MGMT	039	GIL	S	500	41.65	n/a	14-Aug	20.00 4.0
AO,	07/20	MELLON INV	046	JSDA	B	54,350	8.98	n/a	n/a	2717.50 5.0
AO,CXL	07/20	J L F ASSET MGMT	039	KSS	S	35,650	54.68	1-May	4-Sep	1426.00 4.0
AO,CRT	07/20	J L F ASSET MGMT	039	KSS	S	35,550	54.68	1-May	4-Sep	1422.00 4.0
AO,CRT	07/18	RIDGECRST PARTNERS	035	KSS	C	3,200	54.18	1-May	4-Sep	160.00 5.0
AO,CXL	07/18	RIDGECRST PARTNERS	035	KSS	C	3,200	54.18	1-May	4-Sep	160.00 5.0
AO,	07/20	JENNISON	032	MSFT	B	1,000,000	22.93	3-Apr	3-Apr	42000.00 4.2
AO,	07/20	J L F ASSET MGMT	039	PLCE	S	1,400	53.73	1-May	4-Sep	56.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/20	J L F ASSET MGMT	039	RL	S	8,700	49.02	16-Jun	6-Aug	348.00 4.0
AO,CXL	07/20	WOOSTER CAPITAL	035	RL	B	25,000	49.36	16-Jun	6-Aug	1250.00 5.0
AO,CRT	07/20	MKT MAKER	099	SONC	SS	400	19.22	n/a	n/a	0.00 0.0
AO,	07/20	J L F ASSET MGMT	039	TBL	S	17,000	25.79	24-Jul	13-Mar	680.00 4.0
AO,	07/20	DAVID J GREEN & CO	040	UTEK	B	400	12.75	n/a	n/a	21.00 5.5
AO,	07/20	DAVID J GREEN & CO	040	UTEK	B	9,600	12.75	n/a	n/a	511.00 5.5
AO,CRT	07/20	RIDGECRST PARTNERS	035	WAB	SS	1,700	34.01	n/a	n/a	85.00 5.0
AO,CXL	07/20	RIDGECRST PARTNERS	035	WAB	SS	1,700	34.01	n/a	n/a	85.00 5.0
AO,	07/20	J L F ASSET MGMT	039	WOLF	B	1,900	12.13	n/a	n/a	76.00 4.0
TOTAL (AO, CXL, CRT) :										52,309.50

TOTAL (Trade Date):	84,481.41
TOTAL (AO, CXL, CRT):	52,309.50

TOTAL (RUN DATE) / NFS:	136,790.91
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MRI Securities with Trade Activity on 21-Jul. NONE

MRI Securities without Trade Activity on 21-Jul.

URBN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 12%

YTD = 24%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)