

Daily Compliance Activity Report Trade Date: 12/28/2006

POTENTIAL ANALYST TRADE VIOLATIONS: 0

						-	OTENTIALE	MINILIGI	TRUDE VIC	LITTO IND . U
Accoun	nt	RR #	Symbol	B/S	Shar	es Price	e MRI	Research	Comm.	¢/Shr.
BINDER	3	007	ACMR		SS 30	0 21.89	n/a	n/a	0.00	0.0
LATEFF	F MGMT	040	ADM	В	2,50	0 32.53	n/a	n/a	450.00	18.0
IVORY	CAPITAL	034	AMR	В	305 , 30	0 30.68	n/a	n/a	15,265.00	5.0
BUCK C	CAPITAL MGMT	001	ANF	В	30,00	0 70.61	3-Nov	1-Dec	1,200.00	4.0
CAPITA	AL GROWTH MGMT.	241	АРН	В	8 , 50	0 63.09	n/a	n/a	425.00	5.0
BINDER		007	AUO		S 40	0 13.69	n/a	n/a	0.00	0.0
PATTEN	N & PATTEN	067	ВВН		S 10	0 182.54	n/a	n/a	5.00	5.0
BINDER		007	BDK	С	10	0 78.76	n/a	n/a	0.00	0.0
EFSTAT	THIOU	044	вк		s 9	1 39.84	5-Dec	12-Dec	0.00	0.0
XYLEM	GLOBAL	024	BOW		SS 25,00	0 22.57	27-Oct	14-Dec	1,250.00	5.0
PATTEN	N & PATTEN	067	CBT		S 20	0 43.58	2-Nov	2-Nov	10.00	5.0
FRIESS	S MGMT	042	CHK	В	75 , 00	0 29.34	n/a	n/a	3,375.00	4.5
APEX C	CAPITAL MGMT.	002	CPWM	В	26,50	0 9.99	n/a	n/a	1,060.00	4.0
BUCK C	CAPITAL MGMT	001	CRI	В	20,00	0 25.55	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	_
PATTEN & PATTEN	067	CVS	S	500	31.16	n/a	n/a	25.00	5.0	
FRIESS MGMT	042	DIS	S	168,100	34.65	n/a	n/a	7,564.50	4.5	
PROSPECTOR PARTNERS LLC	036	DR	SS	6,100	23.20	n/a	n/a	305.00	5.0	
STRAUSS ASSET MGMT	040	EMU	В	7,500	8.20	n/a	n/a	225.00	3.0	
PORTER-ORLIN-FELDMAN	044	ESRX	S	6,000	72.27	n/a	n/a	300.00	5.0	
CAPITAL GROWTH MGMT.	241	FDX	S	55,000	107.60	n/a	n/a	2,750.00	5.0	
PORTER-ORLIN-FELDMAN	044	FIS	S	30,000	40.03	n/a	n/a	1,500.00	5.0	
BARR	096	FLT	S	6,000	1.10	n/a	n/a	0.00	0.0	
MKT MAKER	099	FOSL	SS	83	23.05	15-Nov	15-Nov	0.00	0.0	
						20				
FRIESS MGMT	042	FTI	В	47,200	62.43	n/a	n/a	2,124.00	4.5	
PORTER-ORLIN-FELDMAN	044	GENZ	S	25,200	61.65	n/a	n/a	1,260.00	5.0	
BONANZA PARTNERS	031	GIII	SS	30,300	19.09	n/a	n/a	0.00	0.0	
PORTER-ORLIN-FELDMAN	044	GILD	S	5,000	65.26	n/a	n/a	250.00	5.0	
PATTEN & PATTEN	067	GLW	S	200	18.76	n/a	20-Dec	10.00	5.0	
EFSTATHIOU	044	GLW	В	200	18.92	n/a	20-Dec	0.00	0.0	
FRIESS MGMT	042	GRP	В	96 , 800	40.43	n/a	n/a	4,356.00	4.5	
				•				*		

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	S/Shr.
APEX CAPITAL MGMT.	002	GYMB	В	35,800	38.37	1-Dec	1-Dec	1,790.00	5.0
GASNER	025	нвр	В	2,000	5.22	n/a	n/a	0.00	0.0
LEDDY	035	HLYS	S	1,600	32.70	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	HST	S	500	24.31	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	IBB	S	500	78.09	n/a	n/a	25.00	5.0
MAGUIRE	090	IRIX	S	7,300	9.21	n/a	n/a	0.00	0.0
RIVER RUN MGMT	031	ITCD	В	5,000	2.57	n/a	n/a	150.00	3.0
BUCK CAPITAL MGMT	001	JNY	SS	6,200	33.50	n/a	26-Oct	248.00	4.0
BINDER	007	JWN	SS	100	48.26	11-Dec	11-Dec	0.00	0.0
BUCK CAPITAL MGMT	001	KSS	S	17,200	69.39	27-Dec	27-Dec	688.00	4.0
CUMBERLAND	038	KSS	SS	10,000	68.73	27-Dec	27-Dec	400.00	4.0
APEX CAPITAL MGMT.	002	LCC	В	50,000	55.13	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	43.45	n/a	27-Oct	400.00	4.0
PATTEN & PATTEN	067	LOW	S	400	31.13	n/a	21-Nov	20.00	5.0
BUCK CAPITAL MGMT	001	LTM	В	10,000	49.33	n/a	n/a	400.00	4.0
CIRCLE T PART	035	MCD	В	25 , 000	44.25	12-0ct	12-Dec	1,000.00	4.0

Account	RR #	Symbol	в/s	3	Shares	Price	MRI	Research	Comm. (Shr.
PATTEN & PATTEN	067	MDT		S	500	52.83	n/a	22-Apr	25.00	5.0
PATTEN & PATTEN	067	NEM	В		1,400	45.42	n/a	n/a	70.00	5.0
APEX CAPITAL MGMT.	002	NEW	В		20,000	31.82	n/a	n/a	1,000.00	5.0
FRIESS MGMT	042	ORCL	В		248,000	17.17	n/a	n/a	11,160.00	4.5
LEDDY	035	PFE	В		1,000	25.95	n/a	31-Mar	0.00	0.0
PATTEN & PATTEN	067	РНО	В		1,600	18.52	n/a	n/a	80.00	5.0
ASCEND PARTNERS	033	PVH		S	19,800	50.87	22-Nov	22-Nov	792.00	4.0
EFSTATHIOU	044	RCKY		S	300	15.98	n/a	n/a	0.00	0.0
FRIESS MGMT	042	ROST	В		99,100	29.75	n/a	n/a	4,459.50	4.5
FRIESS MGMT	042	SKX	В		64,100	33.33	n/a	7-Dec	2,884.50	4.5
PATTEN & PATTEN	067	SLB	В		1,000	63.26	n/a	n/a	50.00	5.0
CROWLEY	061	SOLUQ		s	10,000	0.67	n/a	n/a	0.00	0.0
CHILTON CAPITAL MGMT.	036	SWY		S	100,000	35.06	n/a	n/a	1.40	0.0
APEX CAPITAL MGMT.	002	TEX		SS	50,000	65.46	n/a	n/a	2,500.00	5.0
STERLING JOHNSTON	035	TOMO		S	20,000	15.41	n/a	n/a	1,000.00	5.0
	PATTEN & PATTEN PATTEN & PATTEN APEX CAPITAL MGMT. FRIESS MGMT LEDDY PATTEN & PATTEN ASCEND PARTNERS EFSTATHIOU FRIESS MGMT FRIESS MGMT PATTEN & PATTEN CROWLEY CHILTON CAPITAL MGMT. APEX CAPITAL MGMT.	PATTEN & PATTEN 067 PATTEN & PATTEN 067 APEX CAPITAL MGMT. 002 FRIESS MGMT 042 LEDDY 035 PATTEN & PATTEN 067 ASCEND PARTNERS 033 EFSTATHIOU 044 FRIESS MGMT 042 FRIESS MGMT 042 PATTEN & PATTEN 067 CROWLEY 061 CHILTON CAPITAL MGMT. 036 APEX CAPITAL MGMT. 002	PATTEN & PATTEN 067 MDT PATTEN & PATTEN 067 NEM APEX CAPITAL MGMT. 002 NEW FRIESS MGMT 042 ORCL LEDDY 035 PFE PATTEN & PATTEN 067 PHO ASCEND PARTNERS 033 PVH EFSTATHIOU 044 RCKY FRIESS MGMT 042 ROST FRIESS MGMT 042 SKX PATTEN & PATTEN 067 SLB CROWLEY 061 SOLUQ CHILTON CAPITAL MGMT. 036 SWY APEX CAPITAL MGMT. 002 TEX	PATTEN & PATTEN 067 MDT PATTEN & PATTEN 067 NEM B APEX CAPITAL MGMT. 002 NEW B FRIESS MGMT 042 ORCL B LEDDY 035 PFE B PATTEN & PATTEN 067 PHO B ASCEND PARTNERS 033 PVH EFSTATHIOU 044 RCKY FRIESS MGMT 042 ROST B FRIESS MGMT 042 SKX B PATTEN & PATTEN 067 SLB B CROWLEY 061 SOLUQ CHILTON CAPITAL MGMT. 036 SWY APEX CAPITAL MGMT. 002 TEX	PATTEN & PATTEN 067 MDT S PATTEN & PATTEN 067 NEM B APEX CAPITAL MGMT. 002 NEW B FRIESS MGMT 042 ORCL B LEDDY 035 PFE B PATTEN & PATTEN 067 PHO B ASCEND PARTNERS 033 PVH S EFSTATHIOU 044 RCKY S FRIESS MGMT 042 ROST B FRIESS MGMT 042 SKX B PATTEN & PATTEN 067 SLB B CROWLEY 061 SOLUQ S CHILTON CAPITAL MGMT. 036 SWY S APEX CAPITAL MGMT. 002 TEX SS	PATTEN & PATTEN 067 MDT S 500 PATTEN & PATTEN 067 NEM B 1,400 APEX CAPITAL MGMT. 002 NEW B 20,000 FRIESS MGMT 042 ORCL B 248,000 LEDDY 035 PFE B 1,000 PATTEN & PATTEN 067 PHO B 1,600 ASCEND PARTNERS 033 PVH S 19,800 EFSTATHIOU 044 RCKY S 300 FRIESS MGMT 042 ROST B 99,100 FRIESS MGMT 042 SKX B 64,100 PATTEN & PATTEN 067 SLB B 1,000 CROWLEY 061 SOLUQ S 10,000 CHILTON CAPITAL MGMT. 036 SWY S 100,000 APEX CAPITAL MGMT. 002 TEX SS 50,000	PATTEN & PATTEN 067 MDT S 500 52.83 PATTEN & PATTEN 067 NEM B 1,400 45.42 APEX CAPITAL MGMT. 002 NEW B 20,000 31.82 FRIESS MGMT 042 ORCL B 248,000 17.17 LEDDY 035 PFE B 1,000 25.95 PATTEN & PATTEN 067 PHO B 1,600 18.52 ASCEND PARTNERS 033 PVH S 19,800 50.87 EFSTATHIOU 044 RCKY S 300 15.98 FRIESS MGMT 042 ROST B 99,100 29.75 FRIESS MGMT 042 SKX B 64,100 33.33 PATTEN & PATTEN 067 SLB B 1,000 63.26 CROWLEY 061 SOLUQ S 10,000 0.67 CHILTON CAPITAL MGMT. 036 SWY S 100,000 35.06 APEX CAPITAL MGMT. 002 TEX SS 50,000 65.46	PATTEN & PATTEN 067 MDT S 500 52.83 n/a PATTEN & PATTEN 067 NEM B 1,400 45.42 n/a APEX CAPITAL MGMT. 002 NEW B 20,000 31.82 n/a FRIESS MGMT 042 ORCL B 248,000 17.17 n/a LEDDY 035 PFE B 1,000 25.95 n/a PATTEN & PATTEN 067 PHO B 1,600 18.52 n/a ASCEND PARTNERS 033 PVH S 19,800 50.87 22-Nov EFSTATHIOU 044 RCKY S 300 15.98 n/a FRIESS MGMT 042 ROST B 99,100 29.75 n/a PATTEN & PATTEN 067 SLB B 1,000 63.26 n/a CROWLEY 061 SOLUQ S 10,000 63.26 n/a CHILTON CAPITAL MGMT. 036 SWY S 100,000 35.06 n/a APEX CAPITAL MGMT. 002 TEX SS 50,000 65.46 n/a	PATTEN 6 PATTEN 067 MDT S 500 52.83	PATTEN & PATTEN 067 MDT S 500 52.83 n/a 22-Apr 25.00 PATTEN & PATTEN 067 NEM B 1,400 45.42 n/a n/a 70.00 APEX CAPITAL MGMT. 002 NEW B 20,000 31.82 n/a n/a 1,000.00 FRIESS MGMT 042 ORCL B 248,000 17.17 n/a n/a 11,160.00 LEDDY 035 PFE B 1,000 25.95 n/a 31-Max 0.00 PATTEN & PATTEN 067 PHO B 1,600 18.52 n/a n/a 80.00 EFSTATHOU 044 RCKY S 19,800 50.87 22-Nov 22-Nov 792.00 EFSTATHOU 044 RCKY S 300 15.98 n/a n/a 0.00 FRIESS MGMT 042 ROST B 99,100 29.75 n/a n/a 1,405.50 FRIESS MGMT 042 SKX B 64,100 33.33 n/a 7-Dec 2,884.50 PATTEN & PATTEN 067 SLB B 1,000 63.26 n/a n/a 50.00 CROWLEY 061 SOLUQ S 100,000 35.06 n/a n/a 0.00 CHILTON CAPITAL MGMT. 036 SWY S 100,000 35.06 n/a n/a 2,500.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
PATTEN & PATTEN	067	WAG	В	325	46.08	n/a	n/a	16.25 5.0
LUCHANSKY	036	WCI	В	90	18.83	n/a	n/a	0.00 0.0
PATTEN & PATTEN	067	WFR	В	150	39.59	n/a	n/a	7.50 5.0
BINDER	007	WLV	В	500	1.25	n/a	n/a	0.00 0.0
UBS OCONNOR	031	WMT	В	50,000	46.07	5-0ct	1-Dec	2,500.00 5.0
PATTEN & PATTEN	067	WYE	В	600	50.97	n/a	31-Mar	30.00 5.0
XYLEM GLOBAL	024	WY	В	25,000	71.23	15-Dec	15-Dec	1,250.00 5.0

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
AO,	12/27	WEISS PECK (PECKOPPVAL)	040	AEOS	В	19,400	31.25	15-Nov	5-Dec	970.00	5.0
AO,	12/27	UBS OCONNOR (UBSOWARBUR)	031	CC	В	20,000	19.74	20-Dec	20-Dec	1000.00	5.0
AO, CXL	12/27	MKT MAKER (MKT MAKER)	099	DR	s	200	23.49	n/a	n/a	0.00	0.0
AO, CRT	12/27	MKT MAKER (MKT MAKER)	099	DR	S	200	23.49	n/a	n/a	0.00	0.0
AO, CRT	12/27	MKT MAKER (MKT MAKER)	099	DR	SS	39	23.30	n/a	n/a	0.00	0.0
AO, CRT	12/27	MKT MAKER (MKT MAKER)	097	HLYS	S	900	31.59	n/a	n/a	0.00	0.0
AO,CXL	12/27	TRIBECA (TRIBMS4CEN)	038	NCC	SS	10,000	37.25	n/a	n/a	500.00	5.0
AO,	12/27	TRIBECA (TRIBMS4CEN)	038	NCC	SS	10,000	37.25	n/a	n/a	400.00	4.0
AO,CXL	12/27	CIRCLE T PART (CIRCMAIN)	035	ODP	SS	20,000	38.92	n/a	1-Dec	1000.00	5.0
AO, CRT	12/27	CIRCLE T PART (CIRCMAIN)	035	ODP	SS	20,000	38.92	n/a	1-Dec	800.00	4.0
AO,CXL	12/27	SEAROCK CAPITAL MANAGE (SEARMS)	012	VFC	SS	40,100	82.25	n/a	n/a	0.00	0.0
AO,	12/27	SEAROCK CAPITAL MANAGE (SEARMS)	012	VFC	SS	40,100	82.25	n/a	n/a	1604.00	4.0

TOTAL (Trade Date):	79,981.65
TOTAL (AO, CXL, CRT):	3,274.00

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION