



Daily Compliance Activity Report

Trade Date: 05/11/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SAB CAPITAL	034	ACM	S	25,000	21.55	n/a	n/a	1,250.00	5.0
CIRCLE T PART	035	ADBE	B	50,000	40.93	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	AEO	B	100,000	29.02	4-Jan	11-May	4,000.00	4.0
DAVIDSON KEMPNER ADVISOR	217	AMP	B	50,000	60.64	n/a	n/a	2,500.00	5.0
Ⓑ BUCK CAPITAL MGMT		ANF	C	50,000	80.54	2-Feb	11-May	non-brg	5.0
EFSTATHIOU	044	ANF	C	100	80.06	2-Feb	11-May	0.00	0.0
JACOB	068	ANF	C	100	80.06	2-Feb	11-May	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	ANN	SS	30,000	36.69	5-Jan	8-May	1,200.00	4.0
COUGAR TRADING	044	ANN	SS	10,000	36.59	5-Jan	8-May	500.00	5.0
GLG PARTNERS	034	ANN	B	48,900	36.68	5-Jan	8-May	2,445.00	5.0
Õ Glenn Redemann		ASEI	B	8	0.41	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ASEI	B	8	0.46	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ASEI	SS	8	1.10	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ASEI	SS	8	1.34	n/a	n/a	0.00	0.0
Ⓑ BUCK CAPITAL MGMT		AVCI	C	10,000	8.47	n/a	n/a	non-brg	0.4
Ⓑ BUCK CAPITAL MGMT		BBY	S	20,000	46.61	1-May	11-May	non-brg	5.0
EMP ERROR	099	BDSBW	S	5,000	4.18	n/a	n/a	0.00	0.0
LUCHANSKY1	036	BDSB	B	500	10.40	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EMP ERROR	099	BDSB	S	1,100	10.35	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		BID	S	10,000	50.14	n/a	n/a	non-brg	5.0
PRIME LOGIC ADV	039	BIG	SS	10,000	33.72	9-Mar	11-May	500.00	5.0
DSG CAPITAL	236	BLK	B	500	150.00	11-May	11-May	25.00	5.0
PHILADELPHIA FINANCIAL	031	BLK	B	20,500	149.84	11-May	11-May	1,025.00	5.0
KANTROWIT	N21	BRNC	B	125	16.12	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	CAKE	B	35,800	27.83	24-Apr	24-Apr	1,790.00	5.0
⌘ BUCK CAPITAL MGMT		CAVM	S	11,500	17.65	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CBI	S	48,400	39.15	n/a	n/a	non-brg	5.0
COUGAR TRADING	044	CHS	SS	5,000	25.03	27-Mar	11-May	250.00	5.0
⌘ BUCK CAPITAL MGMT		CMG	S	7,500	82.09	24-Apr	24-Apr	non-brg	5.0
BUCK CAPITAL MGMT	001	COH	S	10,000	47.78	23-Feb	25-Apr	400.00	4.0
⌘ BUCK CAPITAL MGMT		CRI	B	10,000	24.98	n/a	n/a	non-brg	5.0
GRISANTI BROWN & PARTNER	048	CSX	C	8,800	45.41	n/a	n/a	440.00	5.0
⌘ BUCK CAPITAL MGMT		CTRN	S	10,000	37.25	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		DBRN	B	50,000	20.81	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TOCQUEVILLE ASSET MGMT	048	DEPO	B	11,100	3.58	n/a	n/a	555.00	5.0
MKT MAKER	099	DEPO	B	58	3.58	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	DE	S	13,200	119.12	n/a	n/a	660.00	5.0
LISTED ERR	099	DE	B	2,000	118.97	n/a	n/a	0.00	0.0
LISTED ERR	099	DE	S	2,000	119.12	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DKS	B	30,000	51.91	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DKS	B	30,000	52.79	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	DSW	B	40,000	37.98	7-May	11-May	1,600.00	4.0
U.S.TRUST COMPANY	012	DVN	B	55,000	74.68	3-May	3-May	2,475.00	4.5
SUTERA	160	EDA	S	1,000	11.37	n/a	n/a	0.00	0.0
BUCK	015	EVVV	S	3,000	17.01	n/a	n/a	0.00	0.0
ARDSLEY PARTNERS	038	FCEL	B	150,000	6.65	n/a	n/a	7,500.00	5.0
CAPITAL GROWTH MGMT.	241	FCX	S	30,000	72.78	n/a	n/a	1,500.00	5.0
ß BUCK CAPITAL MGMT		FDO	SS	200,000	32.77	19-Apr	11-May	non-brg	5.0
BUCK CAPITAL MGMT	001	FD	S	388,324	41.61	26-Jan	11-May	15,532.96	4.0
ß BUCK CAPITAL MGMT		FD	SS	50,000	41.40	26-Jan	11-May	non-brg	5.0
ß BUCK CAPITAL MGMT		FD	SS	50,000	41.73	26-Jan	11-May	non-brg	5.0
BUCK	015	FD	C	500	41.27	26-Jan	11-May	0.00	0.0
ß BUCK CAPITAL MGMT		FINL	C	10,000	12.97	n/a	7-May	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
⌘ BUCK CAPITAL MGMT		FL	S	40,000	21.50	n/a	11-May	non-brg 5.0
COUGAR TRADING	044	FL	B	10,000	21.50	n/a	11-May	500.00 5.0
GLG PARTNERS	034	FL	B	50,000	21.53	n/a	11-May	2,500.00 5.0
Õ Henry Ngan		FL	S	840	21.49	n/a	11-May	0.00 0.0
PIVOT CAP	034	FL	S	18,400	21.51	n/a	11-May	920.00 5.0
SSI INVESTORS	048	FL	B	58,900	21.50	n/a	11-May	2,356.00 4.0
TRELLUS PARTNERS LP	028	FL	B	75,000	21.50	n/a	11-May	3,750.00 5.0
HIGHBRIDGE CAPITAL	038	FRP	SS	8,700	18.00	n/a	n/a	435.00 5.0
BUCK CAPITAL MGMT	001	GCO	SS	90,000	49.85	n/a	10-Jan	3,600.00 4.0
BUCK CAPITAL MGMT	001	GES	B	5,000	41.67	n/a	n/a	200.00 4.0
⌘ BUCK CAPITAL MGMT		GIII	B	1,000	17.65	n/a	n/a	non-brg 5.0
BRIGHTPOINT CAP	067	GNTX	SS	10,000	18.70	n/a	24-Apr	500.00 5.0
TRELLUS PARTNERS LP	028	GPI	B	10,500	39.79	27-Apr	2-May	525.00 5.0
WD PARTNERS ILP	067	GPI	B	5,000	39.75	27-Apr	2-May	250.00 5.0
⌘ BUCK CAPITAL MGMT		GYMB	B	2,400	38.43	4-Jan	11-May	non-brg 1.0
⌘ BUCK CAPITAL MGMT		GYMB	B	20,000	38.40	4-Jan	11-May	non-brg 2.0
⌘ BUCK CAPITAL MGMT		GYMB	B	50,000	38.46	4-Jan	11-May	non-brg 1.0
⌘ BUCK CAPITAL MGMT		GYMB	B	77,600	38.48	4-Jan	11-May	non-brg 5.0
BUCK CAPITAL MGMT	001	HBI	SS	10,000	25.80	n/a	n/a	400.00 4.0
DSG CAPITAL	236	HBI	SS	5,000	25.61	n/a	n/a	250.00 5.0
STANDARD PACIFIC CAPITAL	040	HD	B	182,000	38.77	n/a	1-Mar	7,280.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		HIBB	C	50,000	28.10	n/a	n/a	non-brg	5.0
WAGONHOUND	048	HSC	B	3,600	52.30	23-Apr	23-Apr	144.00	4.0
MERRILL LYNCH STRATEGICI	034	HUN	B	50,000	20.28	n/a	n/a	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		ICON	S	20,000	20.07	n/a	n/a	non-brg	5.0
OPTIMUM INV ADV	030	IPG	B	51,000	11.70	n/a	n/a	2,040.00	4.0
BUCK	015	IWM	S	500	81.98	n/a	n/a	0.00	0.0
BUCK	015	IWN	B	500	83.03	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		JADE	B	100,000	11.57	n/a	n/a	non-brg	5.0
KALINOWSKI	070	JBXEN	B	100	2.45	n/a	n/a	0.00	0.0
KALINOWSKI	070	JBXFN	B	50	3.69	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		JCP	B	10,000	76.09	20-Apr	11-May	non-brg	5.0
COUGAR TRADING	044	JCP	S	5,000	75.54	20-Apr	11-May	250.00	5.0
⚡ BUCK CAPITAL MGMT		JOSB	B	5,000	38.98	n/a	n/a	non-brg	5.0
BEAR STEARNS ASSET MGMT.	033	KSS	C	60,000	72.29	n/a	10-May	2,400.00	4.0
COUGAR TRADING	044	KSS	B	5,000	72.20	n/a	10-May	250.00	5.0
⚡ BUCK CAPITAL MGMT		LIZ	SS	10,000	34.05	n/a	2-May	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRUBER MACBAINE	033	LM	B	15,000	100.18	9-May	9-May	600.00	4.0
POST ROAD CAP	068	LTD	B	10,000	27.66	2-Mar	11-May	400.00	4.0
WD PARTNERS ILP	067	LTD	B	7,500	27.61	2-Mar	11-May	375.00	5.0
CAPITAL GROWTH MGMT.	241	LVS	S	45,000	79.74	n/a	n/a	1,950.00	4.5
MERRILL LYNCH STRATEGICI	034	LYO	S	30,000	38.00	n/a	11-May	1,200.00	4.0
STERLING JOHNSTON	035	MCCC	B	50,000	8.68	28-Feb	9-May	2,000.00	4.0
DALTON GREINER	012	MLI	B	25,000	34.67	6-Feb	24-Apr	1,250.00	5.0
GALLEON MGMT LP	033	MMM	C	20,000	85.63	2-May	2-May	1,000.00	5.0
AMATURO	018	MPXL	B	1,000	16.60	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		MSMSBMVR	SS	216,000	200.38	n/a	n/a	non-brg	20.1
BUCK CAPITAL MGMT		MVRX	C	16,000	199.29	n/a	n/a	non-brg	5.0
CSFB GLOBAL PROPRIETARY	035	MWV	B	90,000	32.06	3-May	3-May	4,500.00	5.0
SUTERA	160	NEXC	S	2,000	11.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		NKE	C	100,000	52.96	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		NKE	C	176,600	52.98	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		NKE	C	50,000	52.94	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		NKE	C	50,000	52.94	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		OEF	C	250,000	69.05	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	45.98	n/a	n/a	400.00 4.0
CAPITAL GROWTH MGMT.	241	PCP	S	15,400	109.64	n/a	n/a	770.00 5.0
BUCK CAPITAL MGMT		PERY	C	5,000	33.59	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT		PERY	C	5,000	33.65	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		PLCE	S	30,000	51.21	12-Apr	11-May	non-brg 5.0
SATELLITE ASSET MGMT	012	PLCE	B	10,000	51.40	12-Apr	11-May	400.00 4.0
BUCK CAPITAL MGMT		PSS	S	30,000	31.81	n/a	n/a	non-brg 5.0
COUGAR TRADING	044	PSUN	SS	5,000	20.16	n/a	11-May	250.00 5.0
FIRST NEW YORK LEDDY	035	PSUN	B	15,000	20.13	n/a	11-May	750.00 5.0
JP MORGAN VENTURES	046	PSUN	C	25,000	19.99	n/a	11-May	1,250.00 5.0
MERRILL LYNCH STRATEGICI	034	PX	SS	10,000	66.17	n/a	25-Apr	400.00 4.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	91.47	2-Feb	13-Apr	400.00 4.0
MERRILL LYNCH STRATEGICI	034	ROH	SS	20,000	52.72	12-Feb	23-Apr	800.00 4.0
BUCK CAPITAL MGMT		RTH	C	26,000	103.70	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		RTH	SS	290,800	103.76	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		RVI	B	10,000	19.59	n/a	n/a	non-brg 5.0
CAPITAL GROWTH MGMT.	241	SII	S	29,000	52.33	n/a	n/a	1,450.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SKX	B	60,000	30.79	n/a	13-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		SKX	B	70,000	30.95	n/a	13-Mar	non-brg	5.0
SAB CAPITAL	034	SLH	S	50,000	16.37	n/a	n/a	2,500.00	5.0
ß BUCK CAPITAL MGMT		SRR	S	10,000	15.00	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SRR	S	20,000	15.00	n/a	n/a	non-brg	2.0
TRIVIUM CAP MGMT	028	TGT	B	25,000	58.14	n/a	10-May	1,000.00	4.0
BUCK	015	TIN	S	1,000	61.97	26-Apr	26-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		TJX	S	25,000	28.08	21-Mar	11-May	non-brg	2.0
ß BUCK CAPITAL MGMT		TJX	S	50,000	28.18	21-Mar	11-May	non-brg	5.0
ß BUCK CAPITAL MGMT		TJX	S	95,000	28.10	21-Mar	11-May	non-brg	5.0
MERRILL LYNCH STRATEGICI	034	TRA	SS	25,000	18.44	n/a	n/a	1,000.00	4.0
ß BUCK CAPITAL MGMT		TSCO	S	20,000	51.30	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	TWB	S	10,000	38.50	22-Feb	8-May	400.00	4.0
CAPITAL GROWTH MGMT.	241	TXT	S	4,800	101.66	n/a	n/a	240.00	5.0
KORMAN	003	UENRU	S	50	0.90	n/a	n/a	0.00	0.0
KORMAN	003	UENUU	S	50	1.55	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		VLCM	C	13,000	41.47	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAPITAL	038	WFR	S	75,000	61.08	n/a	n/a	3,750.00	5.0
CBLU	040	WSM	S	9,565	34.37	n/a	n/a	478.25	5.0
8 BUCK CAPITAL MGMT		ZQK	S	20,000	12.85	9-Mar	17-Apr	non-brg	5.0
TOTAL (Trade Date):							108,211.21		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/10	SAB CAPITAL	034	ACM	S	50,000	21.41	n/a	n/a	2500.00 5.0
AO,CRT	05/10	SAB CAPITAL	034	ACM	S	50,000	21.41	n/a	n/a	2500.00 5.0
AO,CXL	05/10	SAB CAPITAL	034	ACM	SS	225,000	21.68	n/a	n/a	11250.00 5.0
AO,CRT	05/10	SAB CAPITAL	034	ACM	SS	225,000	21.68	n/a	n/a	11250.00 5.0
AO,	05/10	DAVIDSON KEMPNER ADVISOR	217	AMP	B	100,000	60.76	n/a	n/a	4000.00 4.0
AO,	05/10	PILOT ADV	038	AZO	SS	10,000	134.10	n/a	n/a	400.00 4.0
AO,	05/10	PILOT ADV	038	BBBY	SS	25,000	40.83	n/a	n/a	1000.00 4.0
AO,CXL	05/10	LUCHANSKY1	036	BDSB	B	500	10.23	n/a	n/a	0.00 0.0
AO,CRT	05/10	EMP ERROR	099	BDSB	B	1,100	10.21	n/a	n/a	0.00 0.0
AO,CXL	05/10	MCCARTAN	028	BDSB	B	600	10.18	n/a	n/a	0.00 0.0
AO,CXL	05/10	GRONET	030	BDSBW	B	5,000	4.16	n/a	n/a	0.00 0.0
AO,CRT	05/10	EMP ERROR	099	BDSBW	B	5,000	4.16	n/a	n/a	0.00 0.0
AO,	05/10	PILOT ADV	038	FL	S	25,000	23.41	n/a	11-May	1000.00 4.0
AO,CXL	05/10	BARROW HANLEY MEWHINNEY	096	NGI	S	4,000	5.00	n/a	n/a	0.00 0.0
AO,CRT	05/10	BARROW HANLEY MEWHINNEY	096	NGI	S	4,000	5.00	n/a	n/a	0.00 0.0
AO,CRT	05/10	MATRIX ASSET ADV.	036	TYC	B	1,400	32.29	8-May	8-May	56.00 4.0
AO,CXL	05/10	MATRIX ASSET ADV.	036	TYC	B	1,400	32.29	8-May	8-May	56.00 4.0
TOTAL (AO, CXL, CRT) :										6,400.00

TOTAL (Trade Date):	108,211.21
TOTAL (AO, CXL, CRT):	6,400.00

TOTAL (RUN DATE) / NFS:	114,611.21
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MRI Securities with Trade Activity on 11-May.

BLK

MRI Securities without Trade Activity on 11-May.

NWY, SSI, STR

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 16%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION