



# Daily Compliance Activity Report

Trade Date: 04/27/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BREEDEN CAP MGMT	036	ABD	B	118,200	23.99	n/a	n/a	5,910.00	5.0
SEGAL BRYANT HAMMILL	030	ADBE	B	150	42.87	n/a	n/a	7.50	5.0
TYEE/TYGH	035	AMG	S	2,200	119.00	25-Apr	25-Apr	88.00	4.0
COLUMBIA PARTNERS	028	ANF	SS	11,014	83.25	2-Feb	25-Apr	440.56	4.0
PHILADELPHIA FINANCIAL	031	AVZ	B	50,301	23.61	26-Apr	26-Apr	2,515.05	5.0
SAILFISH	035	BBT	SS	10,000	41.84	n/a	n/a	500.00	5.0
SEGAL BRYANT HAMMILL	030	BER	B	400	32.77	n/a	n/a	20.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BID</b>	<b>S</b>	<b>5,000</b>	<b>51.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>S</b>	<b>15,000</b>	<b>52.10</b>	<b>9-Mar</b>	<b>26-Apr</b>	<b>non-brg</b>	<b>5.0</b>
XYLEM GLOBAL	091	BOW	B	76,500	23.04	n/a	27-Apr	3,825.00	5.0
CAMBIAR CAP	046	BRL	B	12,600	48.44	1-Mar	26-Apr	504.00	4.0
<b>OGORMAN</b>	<b>146</b>	<b>BSC</b>	<b>S</b>	<b>200</b>	<b>156.52</b>	<b>15-Dec</b>	<b>16-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CBK</b>	<b>S</b>	<b>10,000</b>	<b>18.00</b>	<b>30-Mar</b>	<b>25-Apr</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CBK</b>	<b>S</b>	<b>100,000</b>	<b>17.87</b>	<b>30-Mar</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CBK</b>	<b>S</b>	<b>80,200</b>	<b>17.94</b>	<b>30-Mar</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>5.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CDNS	B	40,000	22.84	n/a	n/a	non-brg	5.0
FIRST NEW YORK LEDDY	035	CHIC	S	12,000	29.09	n/a	n/a	600.00	5.0
SEGAL BRYANT HAMMILL	030	CMCSA	B	200	26.93	5-Apr	26-Apr	10.00	5.0
KORMAN	003	CSCA	B	3,000	6.15	n/a	n/a	0.00	0.0
SEGAL BRYANT HAMMILL	030	CSCO	B	200	26.99	n/a	22-Mar	10.00	5.0
MATTHES CAPITAL MGMT	033	CSV	S	10,000	8.16	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		CTRN	B	15,000	39.95	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CWTR	B	20,000	21.31	n/a	n/a	non-brg	5.0
OGORMAN	146	DHBT	S	1,000	5.60	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DKS	B	15,000	57.30	n/a	n/a	600.00	4.0
Õ Glenn Redemann		DVF	S	491	20.39	n/a	n/a	0.00	0.0
SEGAL BRYANT HAMMILL	030	EFA	B	200	79.47	n/a	n/a	10.00	5.0
SIGMA CAPITAL ASSOCIATES	044	FL	SS	83,000	23.72	n/a	19-Mar	4,150.00	5.0
KORMAN	003	FSIN	B	1,400	10.30	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	SS	37,000	50.80	n/a	10-Jan	1,480.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GCO	S	56,900	50.57	n/a	10-Jan	2,276.00	4.0
ß BUCK CAPITAL MGMT		GCO	S	1,100	50.78	n/a	10-Jan	non-brg	5.0
BUCK CAPITAL MGMT	001	GES	B	20,000	40.84	n/a	n/a	800.00	4.0
TRUST CO/COWEN/CALF.	040	GE	B	774,960	36.74	n/a	n/a	30,998.40	4.0
ß BUCK CAPITAL MGMT		GIL	B	15,000	63.74	n/a	2-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		GIL	B	5,000	63.79	n/a	2-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		GPI	B	30,000	41.96	27-Apr	27-Apr	non-brg	5.0
OGORMAN	146	GXQOW	B	20	0.35	n/a	n/a	0.00	0.0
OGORMAN	146	HCGI	S	2,000	0.70	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	HPQ	S	216,725	42.31	n/a	n/a	8,669.00	4.0
Õ Glenn Redemann		IPS	B	8	1.22	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IPS	B	8	1.27	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IPS	SS	8	2.11	n/a	n/a	0.00	0.0
Õ Glenn Redemann		IPS	SS	8	2.18	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		JOSB	S	30,000	39.30	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		JSN	S	540	18.55	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	044	JWN	S	10,000	56.24	27-Feb	25-Apr	500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	KSS	B	11,000	76.61	27-Dec	12-Apr	550.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>LAMR</b>	<b>B</b>	<b>40,000</b>	<b>60.69</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LAVA</b>	<b>S</b>	<b>60,000</b>	<b>13.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
JP MORGAN VENTURES	046	LIZ	C	11,200	44.76	n/a	28-Feb	560.00	5.0
WHITE PINE CAPITAL	030	LUV	S	2,100	14.41	n/a	n/a	105.00	5.0
ADAGE CAPITAL MGMT	030	LYO	B	97,800	32.11	n/a	26-Apr	3,912.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>MIR</b>	<b>S</b>	<b>500</b>	<b>44.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	MMR	S	200	13.37	n/a	n/a	10.00	5.0
COLUMBIA PARTNERS	028	MNC	SS	34,595	14.94	n/a	n/a	1,383.80	4.0
SIGMA CAPITAL ASSOCIATES	044	NKE	SS	25,000	53.79	n/a	n/a	1,250.00	5.0
GRISANTI BROWN & PARTNER	048	PCAR	C	4,500	85.99	n/a	n/a	225.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>S</b>	<b>20,000</b>	<b>52.51</b>	<b>12-Apr</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>5.0</b>
SIGMA CAPITAL ASSOCIATES	044	PLCE	S	7,000	52.48	12-Apr	13-Apr	350.00	5.0
SIGMA CAPITAL ASSOCIATES	044	PSS	SS	15,000	33.28	n/a	n/a	750.00	5.0
COUGAR TRADING	044	PSUN	B	5,000	21.19	n/a	13-Apr	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>B</b>	<b>5,000</b>	<b>57.14</b>	<b>28-Mar</b>	<b>28-Mar</b>	<b>200.00</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	044	RL	B	18,000	94.71	2-Feb	13-Apr	900.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	044	SHOO	SS	10,000	30.97	n/a	n/a	500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	SKS	B	15,000	21.26	n/a	n/a	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>B</b>	<b>10,000</b>	<b>33.00</b>	<b>n/a</b>	<b>13-Mar</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>B</b>	<b>20,000</b>	<b>33.10</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>5.0</b>
<b>KAICHER</b>	<b>064</b>	<b>SNCR</b>	<b>B</b>	<b>1,000</b>	<b>22.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KAICHER</b>	<b>064</b>	<b>SNCR</b>	<b>S</b>	<b>500</b>	<b>22.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>SORL</b>	<b>B</b>	<b>3,000</b>	<b>8.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAILFISH	035	SPY	B	5,000	149.01	n/a	n/a	250.00	5.0
XYLEM GLOBAL	091	TIN	S	25,000	60.18	26-Apr	26-Apr	1,250.00	5.0
GOLD SACHS SPECIAL SIT	030	TSAI	B	33,000	32.25	n/a	n/a	1,650.00	5.0
GENERAL ELECTIC CO	033	TXN	S	45,000	34.77	n/a	n/a	2,025.00	4.5
SEGAL BRYANT HAMMILL	030	TXN	B	500	34.85	n/a	n/a	25.00	5.0
XYLEM GLOBAL	091	UFS	SS	49,900	9.99	n/a	n/a	1,996.00	4.0
XYLEM GLOBAL	091	USG	SS	50,000	46.54	25-Apr	25-Apr	2,500.00	5.0
BALYASNY ASSET MGMT	044	VMED	B	2,500	25.46	n/a	n/a	125.00	5.0
JP MORGAN VENTURES	046	WRNC	B	10,000	28.95	18-Jan	21-Mar	500.00	5.0
JP MORGAN VENTURES	046	WSM	C	14,300	35.23	n/a	n/a	715.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ACT II PARTNERS	067	XOHO	B	50,000	5.18	n/a	n/a	2,500.00	5.0
WHITE PINE CAPITAL	030	XOM	S	100	80.57	n/a	n/a	5.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>YTEC</b>	<b>B</b>	<b>5,000</b>	<b>8.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RAINIER INV MGMT	035	ZMH	B	51,010	92.28	n/a	n/a	2,550.50	5.0
<b>TOTAL (Trade Date):</b>								<b>92,900.81</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/26	GRUSS CAPITAL	040	BAM	B	5,000	57.19	n/a	n/a	200.00 4.0
AO,CXL	04/26	GRUSS CAPITAL	040	BAM	B	5,000	57.19	n/a	n/a	250.00 5.0
AO,CXL	04/26	FARALLON CAP MGMT	040	BE	B	200,000	7.56	n/a	n/a	6000.00 3.0
AO,CRT	04/26	FARALLON CAP MGMT	040	BE	B	200,000	7.56	n/a	n/a	4000.00 2.0
AO,	04/26	RAMIUS CAP	040	LTD	B	147,500	28.88	2-Mar	27-Apr	7375.00 5.0
AO,CRT	04/26	GRUSS CAPITAL	040	PBY	B	15,000	19.25	n/a	n/a	600.00 4.0
AO,CXL	04/26	GRUSS CAPITAL	040	PBY	B	15,000	19.25	n/a	n/a	750.00 5.0
AO,CRT	04/26	SUTTONBROOK CAPITAL	044	WY	S	75,000	81.24	15-Dec	18-Apr	3000.00 4.0
AO,CXL	04/26	SUTTONBROOK CAPITAL	044	WY	S	75,000	81.24	15-Dec	18-Apr	3750.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>4,425.00</b>

TOTAL (Trade Date) :	92,900.81
TOTAL (AO, CXL, CRT) :	4,425.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>97,325.81</b>
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MRI Securities with Trade Activity on 27-Apr. <b>GPI</b>
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MRI Securities without Trade Activity on 27-Apr.

SCRX

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 20%

YTD = 17%



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION