



Daily Compliance Activity Report

Trade Date: 03/08/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ABX	B	740	46.00	n/a	n/a	29.60	4.0
B BUCK CAPITAL MGMT		ACAT	B	10,000	40.30	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		ACAT	B	40,000	40.18	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	ACE	B	565	71.61	n/a	n/a	22.60	4.0
BESSEMER INVESTMENT	085	ACN	B	740	60.16	n/a	n/a	29.60	4.0
BESSEMER INVESTMENT	085	ACWI	S	1,550	46.30	n/a	n/a	62.00	4.0
BESSEMER INVESTMENT	085	AEM	S	442	36.16	n/a	n/a	17.68	4.0
f JOHN MICHAEL DEMARTI	FID	AIS	B	5000	2.93	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	ANF	B	10,000	49.61	n/a	16-Feb	400.00	4.0
BESSEMER INVESTMENT	085	ANH	S	11,305	6.57	n/a	n/a	452.20	4.0
EPOCH INV MGMT	030	ARCO	B	100,000	19.81	n/a	6-Mar	3,000.00	3.0
MOORE CAPITAL	041	ARO	C	20,475	19.00	n/a	n/a	614.25	3.0
BALYASNY ASSET MGMT	044	ASNA	B	15,000	41.90	n/a	n/a	750.00	5.0
f JOHN BARTMAN COLE	FID	BAC	S	200	8.05	n/a	5-Mar	0.00	0.0
RBC CAPITAL MARKETS	040	BBBY	SS	10,000	62.28	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		BKS	S	50,000	13.22	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BKS	S	50,000	13.22	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BODY	S	3,150	28.99	n/a	n/a	non-brg	4.0
SIMMONS VENTURES	041	BONT	SS	15,000	8.21	n/a	n/a	600.00	4.0
CAPITAL GROWTH MGMT.	241	BXP	S	20,000	101.96	n/a	n/a	1,000.00	5.0
TUDOR INVESTORS	028	CAT	B	2,000	110.26	n/a	27-Jan	60.00	3.0
TUDOR INVESTORS	028	CAT	SS	2,000	109.60	n/a	27-Jan	60.00	3.0
STATE TEACHERS RET SYS	047	CBG	S	50,000	18.17	n/a	n/a	2,500.00	5.0
CATAPULT	040	CBSH	B	9,316	38.37	n/a	n/a	372.64	4.0
BESSEMER INVESTMENT	085	CCL	B	490	30.86	n/a	n/a	19.60	4.0
f MARC PAUL LUCHANSKY	FID	CLNE	B	50	21.22	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	CMCSA	B	1,300	29.64	n/a	n/a	52.00	4.0
BESSEMER INVESTMENT	085	CMCSA	S	100	29.53	n/a	n/a	4.00	4.0
BESSEMER INVESTMENT	085	CNK	S	860	21.65	n/a	n/a	34.40	4.0
⚡ BUCK CAPITAL MGMT		COH	B	40,000	76.11	n/a	2-Mar	non-brg	4.0
BESSEMER INVESTMENT	085	COP	B	430	77.50	n/a	n/a	17.20	4.0
SONTERRA CAP	041	CSX	B	10,000	20.17	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	CTL	B	615	38.78	n/a	n/a	24.60	4.0
BESSEMER INVESTMENT	085	CVE	S	390	38.31	n/a	n/a	15.60	4.0
BESSEMER INVESTMENT	085	CVS	B	515	45.24	n/a	n/a	20.60	4.0
SURVEYOR CAPITAL	251	DAN	B	12,500	15.72	n/a	22-Feb	375.00	3.0
B BUCK CAPITAL MGMT		DECK	S	10,000	69.58	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	DECK	B	15	66.00	n/a	n/a	0.00	0.0
COLUMBUS CAP MGMT LLC	084	DE	B	16,100	80.31	n/a	23-Feb	805.00	5.0
TUDOR INVESTORS	028	DSW	B	15,000	55.02	n/a	11-Feb	450.00	3.0
BESSEMER INVESTMENT	085	DTE	B	230	54.56	n/a	n/a	9.20	4.0
CATAPULT	040	ETFC	S	5,000	9.70	n/a	n/a	200.00	4.0
BESSEMER INVESTMENT	085	EXC	B	660	38.49	n/a	n/a	26.40	4.0
BESSEMER INVESTMENT	085	FCX	B	400	39.44	n/a	n/a	16.00	4.0
RBC CAPITAL MARKETS	040	FDO	SS	10,000	56.33	n/a	9-Jan	400.00	4.0
BESSEMER INVESTMENT	085	FE	B	790	44.45	n/a	n/a	31.60	4.0
CATAPULT	040	FHN	B	18,500	9.66	n/a	n/a	740.00	4.0
CATAPULT	040	FHN	S	12,060	9.57	n/a	n/a	482.40	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	FRC	B	7,000	30.10	n/a	n/a	280.00	4.0
f MITCHELL JAMES SPEIS	FID	FSNXX	S	20000	1.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GDOT	SS	20,000	29.38	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	GD	B	230	71.83	n/a	14-Feb	8.80	2.0
BESSEMER INVESTMENT	085	GG	S	430	47.70	n/a	n/a	17.20	4.0
B BUCK CAPITAL MGMT		GIII	S	20,000	23.76	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		GIII	S	20,000	23.77	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		GIII	S	7,351	24.25	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIII	S	7,352	24.25	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	B	10,000	26.29	n/a	9-Feb	non-brg	4.0
DSG CAPITAL	030	GLW	B	20,000	13.22	n/a	8-Mar	600.00	3.0
GALT INVESTMENT	041	HGG	S	15,000	11.63	n/a	n/a	750.00	5.0
ROTHSCHILD ASSET	040	HMN	B	9,212	17.20	n/a	n/a	368.48	4.0
RIDGECREST INV MGMT LLC	028	HSC	B	15,000	21.65	n/a	7-Mar	750.00	5.0
BESSEMER INVESTMENT	085	HTS	S	910	28.51	n/a	n/a	36.40	4.0
BESSEMER INVESTMENT	085	IBM	B	220	199.27	n/a	n/a	8.80	4.0
CSFB GLOBAL PROPRIETARY	041	IP	S	50,000	35.36	n/a	2-Feb	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LATEFF MGMT	035	IP	S	4,750	35.41	n/a	2-Feb	612.25	15.4
MA CAPITAL MGMT/MELLON ADV	041	IP	S	4,000	35.43	n/a	2-Feb	200.00	5.0
SCOPUS FUND	041	IP	B	20,000	35.12	n/a	2-Feb	800.00	4.0
RIDGECREST INV MGMT LLC	028	JCI	B	5,000	31.51	n/a	8-Mar	250.00	5.0
KLEINHEINZ CAP PART	031	JCP	B	50,000	39.47	n/a	27-Feb	2,500.00	5.0
BESSEMER INVESTMENT	085	JNJ	B	615	64.93	n/a	n/a	24.60	4.0
B BUCK CAPITAL MGMT		JNY	B	110,000	10.38	n/a	9-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		JNY	B	190,000	10.38	n/a	9-Feb	non-brg	4.0
TUDOR INVESTORS	028	JOY	B	2,000	79.99	n/a	1-Mar	60.00	3.0
TUDOR INVESTORS	028	JOY	S	2,000	80.75	n/a	1-Mar	60.00	3.0
RBC CAPITAL MARKETS	040	KO	SS	10,000	69.39	n/a	23-Feb	400.00	4.0
ADAGE CAPITAL MGMT	030	KSS	B	70,000	48.74	n/a	2-Mar	2,100.00	3.0
CAERUS GLOBAL	041	KSS	B	20,000	49.17	n/a	2-Mar	800.00	4.0
VERITION	028	KSS	B	7,500	48.65	n/a	2-Mar	225.00	3.0
BESSEMER INVESTMENT	085	LOW	B	3,555	29.22	n/a	28-Feb	142.20	4.0
RBC CAPITAL MARKETS	040	LTD	B	10,000	46.27	n/a	n/a	400.00	4.0
BESSEMER INVESTMENT	085	MFA	S	8,743	7.33	n/a	n/a	349.72	4.0
CRAMER ROSENTHAL	070	MFB	C	17,500	22.05	n/a	n/a	700.00	4.0
BESSEMER INVESTMENT	085	MGA	B	380	46.86	n/a	n/a	15.20	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	MHP	B	585	46.19	n/a	n/a	23.40	4.0
BESSEMER INVESTMENT	085	MRO	B	925	33.26	n/a	n/a	37.00	4.0
f JOHN BARTMAN COLE	FID	MSHL	B	3800	1.07	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MSMSBCM	SS	40,000	108.26	n/a	n/a	non-brg	8.7
f JOHN BARTMAN COLE	FID	MSTR	S	50	129.70	n/a	n/a	0.00	0.0
CATAPULT	040	MTB	SS	200	80.37	n/a	n/a	8.00	4.0
f EDWARD W WHEELER	FID	MWA	S	5000	3.45	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MW	C	100,000	39.52	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MW	C	150,000	39.50	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	M	B	945	38.97	n/a	2-Mar	37.80	4.0
BESSEMER INVESTMENT	085	NEM	B	820	57.00	n/a	n/a	32.80	4.0
FIRST NEW YORK LEDDY	035	NOK	B	20,000	5.09	n/a	n/a	800.00	4.0
TUDOR INVESTORS	028	OC	S	5,000	32.91	n/a	n/a	150.00	3.0
BESSEMER INVESTMENT	085	OKS	S	900	57.01	n/a	n/a	36.00	4.0
MERRILL ASSET/BLACKROCK	297	PDC	S	23,200	9.29	n/a	n/a	928.00	4.0
BESSEMER INVESTMENT	085	PEP	S	50	62.95	n/a	n/a	2.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MITCHELL JAMES SPEIS	FID	PNI	B	1000	12.00	n/a	n/a	0.00	0.0
f COLE THOMAS KAICHER	FID	PPLB	B	5800	0.39	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	PVR	S	1,479	24.21	n/a	n/a	59.16	4.0
CATAPULT	040	RF	B	6,277	5.80	n/a	n/a	251.08	4.0
RBC CAPITAL MARKETS	040	SBH	B	15,000	24.92	n/a	n/a	600.00	4.0
B BUCK CAPITAL MGMT		SCSS	B	100,000	30.87	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SKUL	B	20,000	14.30	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		SKUL	B	200,000	14.32	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SKUL	B	80,000	14.32	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	SLB	S	120	76.15	n/a	n/a	4.80	4.0
CATAPULT	040	TCB	B	19,000	10.61	n/a	n/a	760.00	4.0
BESSEMER INVESTMENT	085	TGT	B	720	57.23	n/a	1-Mar	28.80	4.0
VERITION	028	TTWO	B	7,500	15.46	n/a	n/a	225.00	3.0
BESSEMER INVESTMENT	085	UAL	S	950	19.93	n/a	n/a	38.00	4.0
CAPITAL GROWTH MGMT.	241	UAL	S	150,000	19.60	n/a	n/a	6,000.00	4.0
B BUCK CAPITAL MGMT		ULTA	S	10,000	88.04	n/a	n/a	non-brg	2.5
BESSEMER INVESTMENT	085	UNH	B	500	55.80	n/a	n/a	20.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
M&I FUNDS	047	UNT	B	2,300	45.75	n/a	1-Mar	92.00	4.0
BESSEMER INVESTMENT	085	UN	B	360	33.52	n/a	n/a	14.40	4.0
f JONATHAN RICHARD HAR	FID	VRA	B	95	34.55	n/a	n/a	0.00	0.0
COBALT	041	WBC	S	60,000	58.80	n/a	17-Feb	2,400.00	4.0
SCOPUS FUND	041	WRC	S	67,614	56.95	n/a	29-Feb	2,704.56	4.0
B BUCK CAPITAL MGMT		WSM	S	34,613	36.40	n/a	n/a	non-brg	4.0
TUDOR INVESTORS	028	WSO	B	3,000	70.50	n/a	n/a	90.00	3.0
BESSEMER INVESTMENT	085	WU	B	1,700	17.47	n/a	n/a	68.00	4.0
BNP PARIBAS SEC	040	WY	B	20,000	20.99	n/a	3-Feb	1,000.00	5.0
MA CAPITAL MGMT/MELLON ADV	041	WY	B	5,000	20.90	n/a	3-Feb	250.00	5.0
f JOHN BARTMAN COLE	FID	XPO	S	150	16.07	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								46,113.62	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/07	HARVEST MGMT (JMP)	029	LPX	B	50,000	7.77	n/a	7-Feb	2000.00 4.0
AO,CRT	03/07	HARVEY PARTNERS	086	LPX	B	50,000	7.77	n/a	7-Feb	2000.00 4.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	46,113.62
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	46,113.62
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 8-Mar.
NONE

MRI Securities without Trade Activity on 8-Mar.
SHPGY

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)