



## Daily Compliance Activity Report

Trade Date: 06/17/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUNOVA CAPITAL	031	AMG	B	10,000	103.26	7-Apr	2-Jun	500.00	5.0
MERRILL LYNCH STRATEGICI	034	AMZN	B	10,000	83.90	n/a	n/a	600.00	6.0
MERRILL LYNCH STRATEGICI	034	AMZN	SS	10,000	83.60	n/a	n/a	600.00	6.0
ARIENCE CAPITAL	036	ANF	SS	18,300	69.45	10-Apr	6-Jun	915.00	5.0
COUGAR TRADING	044	ANF	S	10,000	69.60	10-Apr	6-Jun	500.00	5.0
TRELLUS PARTNERS LP	028	ARGN	B	10,000	9.91	n/a	9-Jun	300.00	3.0
<b>PRYLUCKI</b>	<b>092</b>	<b>ARGN</b>	<b>B</b>	<b>200</b>	<b>9.92</b>	<b>n/a</b>	<b>9-Jun</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	ARO	S	20,000	34.10	28-Apr	6-Jun	1,000.00	5.0
BULL PATH CAPITAL	044	ARO	B	52,500	34.26	28-Apr	6-Jun	2,625.00	5.0
GALLEON MGMT LP	033	ARO	C	10,000	34.10	28-Apr	6-Jun	500.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>AXP</b>	<b>B</b>	<b>50</b>	<b>43.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FRONTPOINT CHRIS C	041	BBT	SS	9,700	26.82	n/a	n/a	388.00	4.0
PHILADELPHIA FINANCIAL	033	BEN	S	6,339	106.58	24-Mar	9-Jun	253.56	4.0
SUNOVA CAPITAL	031	BEN	B	5,000	106.29	24-Mar	9-Jun	250.00	5.0
HIGHBRIDGE CAPITAL	038	BNI	B	4,000	103.59	n/a	n/a	200.00	5.0
TRELLUS PARTNERS LP	028	BWA	SS	13,300	49.78	10-Jun	10-Jun	665.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>BWA</b>	<b>SS</b>	<b>400</b>	<b>49.72</b>	<b>10-Jun</b>	<b>10-Jun</b>	<b>0.00</b>	<b>0.0</b>
TROUBH PART	067	CAT	B	9,900	81.01	n/a	23-May	495.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DIAMONDBACK CAP MGMT	232	CBK	S	26,400	9.80	n/a	10-Apr	1,320.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>CHCG</b>	<b>S</b>	<b>1,000</b>	<b>1.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CLOVIS CAPITAL	012	CL	SS	200,000	72.71	n/a	17-Jun	10,000.00	5.0
CRAMER ROSENTHAL/SCOTT	012	COH	B	499,800	33.45	4-Apr	23-Apr	19,992.00	4.0
BAIRD INVESTMENT	031	CRR	S	3,075	57.15	5-Jun	16-Jun	153.75	5.0
SANDLER O'NEILL ASSET	031	C	SS	50,000	20.86	16-Jan	16-Jun	2,500.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>DECK</b>	<b>S</b>	<b>200</b>	<b>135.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	DRI	B	5,000	32.48	n/a	12-Jun	250.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>FBSI</b>	<b>SS</b>	<b>500</b>	<b>13.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CATTANI</b>	<b>041</b>	<b>FOSL</b>	<b>S</b>	<b>200</b>	<b>32.80</b>	<b>n/a</b>	<b>14-May</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>F</b>	<b>C</b>	<b>1,000</b>	<b>6.59</b>	<b>25-Apr</b>	<b>9-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>F</b>	<b>SS</b>	<b>1,800</b>	<b>6.72</b>	<b>25-Apr</b>	<b>9-Jun</b>	<b>0.00</b>	<b>0.0</b>
FRONTPOINT CHRIS C	041	GLW	B	9,200	27.11	n/a	10-Jun	368.00	4.0
FRONTPOINT CHRIS C	041	GS	SS	2,000	183.85	19-Mar	17-Jun	80.00	4.0
ROXBURY CAPITAL MGMT	035	GYMB	B	67,900	44.26	3-Jun	6-Jun	2,716.00	4.0
<b>KAICHER</b>	<b>064</b>	<b>HK</b>	<b>S</b>	<b>1,000</b>	<b>39.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NOMURA CAPITAL	045	IESC	S	1,284	17.27	n/a	n/a	64.20	5.0
BAIRD INVESTMENT	031	IO	S	9,350	17.28	n/a	n/a	467.50	5.0
WYPER CAPITAL	044	IVZ	B	88,000	26.90	10-Apr	17-Jun	3,520.00	4.0
<b>KAICHER</b>	<b>064</b>	<b>JASO</b>	<b>S</b>	<b>2,000</b>	<b>20.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	237	JDSU	SS	35,000	12.57	n/a	n/a	1,750.00	5.0
SUNOVA CAPITAL	031	JNS	B	25,000	31.13	25-Apr	2-Jun	1,250.00	5.0
DIAMONDBACK CAP MGMT	232	JNY	B	20,000	15.89	n/a	1-May	1,000.00	5.0
COUGAR TRADING	044	JWN	B	10,000	35.23	16-May	6-Jun	500.00	5.0
COUGAR TRADING	044	KSS	S	15,000	44.51	n/a	6-Jun	750.00	5.0
FRONTPOINT CHRIS C	041	LAMR	SS	3,700	35.96	n/a	n/a	148.00	4.0
<b>PRYLUCKI</b>	<b>092</b>	<b>MS</b>	<b>B</b>	<b>100</b>	<b>40.45</b>	<b>n/a</b>	<b>16-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>M</b>	<b>SS</b>	<b>5,000</b>	<b>22.24</b>	<b>7-Feb</b>	<b>2-Jun</b>	<b>300.00</b>	<b>6.0</b>
BAYPOINT/PRIMEMOVERS	041	NAVI	S	5,700	4.71	n/a	n/a	171.00	3.0
HIGHBRIDGE CAPITAL	038	NSC	B	7,000	62.90	n/a	n/a	350.00	5.0
PAW PARTNERS	041	NVDA	B	11,800	21.23	n/a	n/a	590.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>PRYLUCKI</b>	<b>092</b>	<b>ORA</b>	<b>S</b>	<b>60</b>	<b>56.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	PAG	B	10,000	17.26	10-Jun	10-Jun	500.00	5.0
TRELLUS PARTNERS LP	028	PH	SS	10,000	77.86	n/a	23-May	500.00	5.0
FRONTPOINT CHRIS C	041	PNC	SS	4,300	58.54	n/a	n/a	172.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>10,000</b>	<b>66.27</b>	<b>7-Feb</b>	<b>29-May</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	RL	B	5,000	66.17	7-Feb	29-May	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	RL	B	15,000	66.10	7-Feb	29-May	600.00	4.0
COUGAR TRADING	044	SDS	B	10,000	59.30	n/a	n/a	500.00	5.0
COUGAR TRADING	044	SDS	SS	10,000	59.86	n/a	n/a	500.00	5.0
SCOPUS FUND	041	TEN	SS	25,000	19.78	n/a	n/a	1,250.00	5.0
JMP ASSET MGMT	210	TITN	S	2,000	31.93	n/a	n/a	100.00	5.0
COUGAR TRADING	044	TWB	B	20,000	18.03	17-Mar	22-May	1,000.00	5.0
JCK PARTNERS	067	TXT	B	15,000	53.08	n/a	n/a	600.00	4.0
GREENLIGHT CAPITAL	041	URBN	SS	250,000	34.03	15-May	15-May	12,500.00	5.0
ROXBURY CAPITAL MGMT	035	WWW	B	35,000	27.97	n/a	13-Mar	1,400.00	4.0
WHALE ROCK CAP	030	WYNN	B	122,000	95.15	n/a	n/a	4,880.00	4.0
<b>CATTANI</b>	<b>041</b>	<b>WYNN</b>	<b>B</b>	<b>50</b>	<b>95.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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**TOTAL (Trade Date):**

**83,184.01**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/16	NICOLAS APPEGATE (APPL468)	048	AW	S	37,800	14.16	n/a	n/a	1134.00 3.0
AO,	06/16	NICOLAS APPEGATE (APPL238)	048	AW	S	8,900	14.16	n/a	n/a	267.00 3.0
AO,	06/16	NICOLAS APPEGATE (APPL826)	048	AW	S	30,300	14.16	n/a	n/a	909.00 3.0
AO,	06/16	NICOLAS APPEGATE (APPL983)	048	AW	S	9,000	14.16	n/a	n/a	270.00 3.0
AO,	06/16	NICOLAS APPEGATE (APPL677)	048	AW	S	14,000	14.16	n/a	n/a	420.00 3.0
AO,CXL	06/05	THIRD POINT MGMT (THIRCITI)	044	DPS	S	95,000	25.76	n/a	n/a	3800.00 4.0
AO,	06/05	THIRD POINT MGMT (THIRCITI)	044	DPS	S	95,000	25.76	n/a	n/a	2850.00 3.0
AO,CXL	06/05	THIRD POINT MGMT (THIRUBS)	044	DPS	S	5,000	25.76	n/a	n/a	200.00 4.0
AO,	06/05	THIRD POINT MGMT (THIRUBS)	044	DPS	S	5,000	25.76	n/a	n/a	150.00 3.0
AO,	06/13	HIGHBRIDGE/MS OLDS (HIBCLEHMAN)	041	ERTS	B	19,029	46.64	n/a	n/a	761.16 4.0
AO,CXL	06/13	HIGHBRIDGE/MS OLDS (HIBCMAIN)	041	ERTS	B	32,600	46.64	n/a	n/a	1304.00 4.0
AO,CRT	06/13	HIGHBRIDGE/MS OLDS (HIBCMAIN)	041	ERTS	B	13,571	46.64	n/a	n/a	542.84 4.0
AO,CXL	06/16	CARLYLE BLUE WAVE PR MG (CBLUMSUSE)	224	GE	B	10,000	28.63	n/a	n/a	500.00 5.0
AO,CRT	06/16	CARLYLE BLUE WAVE PR MG (CBLUCITI)	224	GE	B	10,000	28.63	n/a	n/a	500.00 5.0
AO,CXL	06/16	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	HANS	S	25,000	37.58	n/a	n/a	0.00 0.0
AO,CRT	06/16	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	HANS	S	25,000	37.58	n/a	n/a	1000.00 4.0
AO,	06/16	NOMURA CAPITAL (NOMUNOCBO2)	045	IESC	S	11,000	17.57	n/a	n/a	550.00 5.0
AO,	06/09	COOKBIELER (COOK20557)	028	ORI	B	17,900	14.70	n/a	n/a	716.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>4,266.00</b>

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TOTAL (Trade Date):	83,184.01
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TOTAL (AO, CXL, CRT):	4,266.00
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>87,450.01</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION