



Daily Compliance Activity Report

Trade Date: 03/26/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	AB	S	800	65.02	24-Mar	24-Mar	0.00	0.0
ROTHSCHILD ASSET	040	ACV	B	5,030	27.09	n/a	n/a	226.35	4.5
COLUMBUS CIRCLE	036	AMAT	B	307,383	20.10	n/a	n/a	12,295.32	4.0
BUCK CAPITAL MGMT	001	AMG	B	13,000	91.77	9-Jan	24-Mar	520.00	4.0
COUGAR TRADING	044	ANF	SS	5,000	78.11	10-Jan	10-Mar	250.00	5.0
SCOPUS FUND	041	AN	SS	34,600	15.33	n/a	8-Feb	1,730.00	5.0
BUCK CAPITAL MGMT		BHI	B	20,000	68.40	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BONT	S	1,900	5.92	4-Jan	12-Mar	non-brg	1.0
BUCK CAPITAL MGMT		BONT	S	25,000	5.88	4-Jan	12-Mar	non-brg	1.0
BUCK CAPITAL MGMT		BRL	B	1,600	48.32	28-Feb	25-Mar	non-brg	1.0
CHARTER OAK	033	CAT	SS	15,000	77.07	n/a	n/a	750.00	5.0
BELMONT GLOBAL ADV	248	CDE	B	100,000	3.97	n/a	n/a	5,000.00	5.0
BUCK	015	CMA	SS	700	37.24	n/a	n/a	0.00	0.0
SANDELL ADV	040	CNL	B	30,200	22.18	n/a	n/a	1,510.00	5.0
BUCK CAPITAL MGMT		COLM	SS	10,000	44.46	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE/MS OLDS	041	COP	SS	66,003	75.85	n/a	n/a	2,640.12	4.0
DECADE CAPITAL PARTNERS	040	CPHL	B	12,500	10.18	n/a	n/a	625.00	5.0
TRELLUS PARTNERS LP	028	CPKI	S	74,086	13.38	n/a	n/a	3,704.30	5.0
IRIDIAN ASSET MGMT.	036	CSCO	S	68,590	24.92	n/a	22-Mar	3,429.50	5.0
HIGHBRIDGE/MS OLDS	041	CVX	SS	30,000	84.79	n/a	n/a	1,200.00	4.0
CATTANI	041	C	B	200	22.26	16-Jan	17-Mar	0.00	0.0
B BUCK CAPITAL MGMT		DAI	SS	10,000	85.61	n/a	n/a	non-brg	4.0
MERRILL ASSET	032	DSL	B	18,200	20.33	n/a	n/a	819.00	4.5
TRELLUS PARTNERS LP	028	DSL	S	45,000	20.27	n/a	n/a	2,250.00	5.0
N STRATTON	014	DSNY	B	5,000	0.56	n/a	n/a	0.00	0.0
LUCHANSKY1	036	DUG	S	300	38.69	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		EAT	SS	50,000	19.14	n/a	24-Apr	non-brg	4.0
TROUBH PART	067	ESI	B	10,000	47.00	n/a	n/a	500.00	5.0
TROUBH PART	067	ESI	S	10,000	46.64	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		ETN	B	20,000	82.11	29-Feb	29-Feb	non-brg	4.0
SANDLER O'NEILL ASSET	031	EV	B	24,600	32.02	9-Jan	24-Mar	1,230.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		EXH	B	800	61.73	n/a	26-Mar	non-brg	1.0
SYSTEMATIC FINANCIAL MGT	035	FCX	B	103,300	95.56	n/a	n/a	3,873.76	3.8
NOMURA CAPITAL	045	FEMO	S	2,145	20.00	n/a	n/a	107.25	5.0
DECADE CAPITAL PARTNERS	040	GBCI	SS	20,000	19.77	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	GES	B	10,000	40.17	n/a	n/a	400.00	4.0
MAIRS AND POWER INV	030	GIS	S	20,000	59.13	n/a	n/a	1,200.00	6.0
⚡ BUCK CAPITAL MGMT		GLW	B	4,200	24.05	n/a	24-Mar	non-brg	1.0
WAGONHOUND	048	GLW	B	5,290	24.02	n/a	24-Mar	211.60	4.0
BUCK CAPITAL MGMT	001	GPS	SS	50,000	20.63	n/a	7-Mar	2,000.00	4.0
COUGAR TRADING	044	GYMB	B	15,000	43.32	n/a	13-Mar	750.00	5.0
CATTANI	041	HRS	S	100	49.02	11-Jan	24-Mar	0.00	0.0
⚡ BUCK CAPITAL MGMT		ICON	B	20,000	18.55	n/a	n/a	non-brg	1.0
MCCARTAN	028	ICON	B	200	18.62	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		IJR	C	7,500	61.29	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		IJS	SS	13,200	66.77	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		IVZ	B	3,300	24.56	10-Mar	24-Mar	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MCCARTAN	028	IVZ	S	200	24.40	10-Mar	24-Mar	0.00	0.0
⌘ BUCK CAPITAL MGMT		IWO	SS	6,000	74.24	n/a	n/a	non-brg	1.0
FORD FDN.	032	JNJ	S	20,000	64.58	n/a	22-Apr	1,000.00	5.0
COUGAR TRADING	044	JWN	B	5,000	35.34	26-Feb	10-Mar	250.00	5.0
⌘ BUCK CAPITAL MGMT		KEG	B	9,600	12.95	14-Feb	26-Mar	non-brg	4.0
COUGAR TRADING	044	KSS	SS	5,000	44.96	4-Jan	7-Mar	250.00	5.0
CR INTRINIC INVESTMENT	044	KVA	B	50,000	25.30	n/a	n/a	2,500.00	5.0
TYEE/TYGH	035	KVA	S	150,000	25.41	n/a	n/a	6,000.00	4.0
MS PRINCIPAL STRATEGIES	041	LAZ	SS	5,500	38.51	n/a	n/a	275.00	5.0
⌘ BUCK CAPITAL MGMT		LBTYA	B	2,000	35.42	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LBTYK	B	1,400	33.70	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LEH	B	22,300	42.54	19-Mar	19-Mar	non-brg	4.0
SANDLER O'NEILL ASSET	031	LEH	S	50,000	42.74	19-Mar	19-Mar	2,500.00	5.0
HOLLIS CAPITAL	033	LII	SS	3,000	36.86	n/a	n/a	120.00	4.0
SSI INVESTORS	048	LIZ	SS	68,700	19.42	n/a	17-Mar	2,748.00	4.0
⌘ BUCK CAPITAL MGMT		LM	B	20,000	57.60	24-Mar	24-Mar	non-brg	4.0
BUCK CAPITAL MGMT	001	LTD	B	20,000	17.07	n/a	7-Mar	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		LVS	SS	50,000	79.87	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		LVS	SS	50,000	80.70	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MDY	SS	3,600	143.13	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		MER	B	20,000	44.81	18-Jan	17-Mar	non-brg	4.0
BUCK	015	MSGI	S	20	1.10	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MVRX	C	32,500	147.65	n/a	n/a	non-brg	14.8
MERRILL ASSET	032	ONB	S	29,100	18.53	n/a	n/a	1,309.50	4.5
⌘ BUCK CAPITAL MGMT		PAG	S	14,900	19.35	6-Feb	20-Mar	non-brg	4.0
SCOPUS FUND	041	PAG	SS	33,280	19.38	6-Feb	20-Mar	1,664.00	5.0
TRELLUS PARTNERS LP	028	PAG	SS	75,000	19.86	6-Feb	20-Mar	3,750.00	5.0
⌘ BUCK CAPITAL MGMT		PCAR	B	10,000	46.06	n/a	n/a	non-brg	1.0
WHEELER	008	PENX	B	5,000	21.70	n/a	n/a	0.00	0.0
MERRILL ASSET	032	PVH	B	27,100	38.26	13-Mar	26-Mar	1,219.50	4.5
MS PRINCIPAL STRATEGIES	041	QMAR	S	21,900	22.16	n/a	n/a	1,095.00	5.0
COUGAR TRADING	044	RL	SS	5,000	61.46	7-Feb	15-Feb	250.00	5.0
STG INVESTORS	041	SAP	SS	30,000	51.10	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		SGMS	B	100,000	21.72	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	SPWR	S	15,000	71.68	n/a	n/a	450.00	3.0
MERRILL ASSET	032	STC	B	7,200	31.14	n/a	n/a	324.00	4.5
⌘ BUCK CAPITAL MGMT		TIF	SS	40,000	43.75	14-Jan	25-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TJX+EG	SS	2,000	1.37	n/a	n/a	non-brg	300.0
SINCLAIR	031	VCFA	S	64	0.25	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		VIRL	S	200	6.10	n/a	n/a	non-brg	2.0
BUCK	015	V	S	500	61.93	n/a	n/a	0.00	0.0
TROUBH PART	067	WLP	S	10,000	45.35	n/a	n/a	500.00	5.0
GALLEON MGMT LP	033	WRNC	B	5,000	39.66	14-Jan	10-Mar	250.00	5.0
⌘ BUCK CAPITAL MGMT		WSM	SS	30,000	24.84	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WSO	S	5,000	43.44	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WYNN	SS	10,000	108.08	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		WYNN	SS	50,000	106.07	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		XRT	SS	145,000	32.91	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	ZZ	SS	50,000	8.09	n/a	n/a	2,000.00	4.0

TOTAL (Trade Date):

79,177.20

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/25	IMPALA ASSET MMT	012	ACI	S	200,000	43.25	n/a	n/a	6000.00 3.0
AO,CXL	03/25	&CRO	045	AN	SS	3,000	16.02	n/a	8-Feb	150.00 5.0
AO,CRT	03/25	CROWN ADVISORS	045	AN	SS	3,000	16.02	n/a	8-Feb	150.00 5.0
AO,	03/14	LISTED ERR	099	BSC	B	10,000	53.00	17-Mar	17-Mar	0.00 0.0
AO,CXL	03/14	LISTED ERR	099	BSC	SS	10,000	53.00	17-Mar	17-Mar	0.00 0.0
AO,	03/25	IMPALA ASSET MMT	012	DNR	B	50,000	29.09	n/a	n/a	1500.00 3.0
AO,CXL	03/25	IMPALA ASSET MMT	012	PNR	B	50,000	29.09	n/a	n/a	1500.00 3.0
AO,CRT	03/25	MS PRINCIPAL STRATEGIES	041	QMAR	S	83,688	22.08	n/a	n/a	4184.40 5.0
AO,CXL	03/25	MS PRINCIPAL STRATEGIES	041	QMAR	S	83,688	22.08	n/a	n/a	3347.52 4.0
AO,	03/25	TRELLUS PARTNERS LP	028	WB	SS	30,000	30.00	n/a	n/a	1500.00 5.0
TOTAL (AO, CXL, CRT) :										8,336.88
TOTAL (Trade Date):										79,177.20
TOTAL (AO, CXL, CRT):										8,336.88
TOTAL (RUN DATE) / NFS:										87,514.08

MRI Securities with Trade Activity on 26-Mar.

NONE

MRI Securities without Trade Activity on 26-Mar.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 15%

YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION