



Daily Compliance Activity Report

Trade Date: 05/30/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JONATHAN RICHARD HAR	FID	AAPL	B	5	569.96	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	AAPL	B	700	573.57	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	APC	S	200	62.95	n/a	15-May	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	APP	S	11000	0.82	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	APP	S	6000	0.82	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	APP	S	1000	0.83	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ARO	B	27,000	18.65	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ARO	B	30,000	18.75	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ARO	B	60,000	18.67	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BBBY	S	20,000	72.97	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BC IM	S	20,000	13.42	n/a	n/a	non-brg	2.0
NCM CAPITAL MGMT	035	BG	B	68,879	60.06	n/a	n/a	2,755.16	4.0
MACELLUM CAPITAL	086	BKE	SS	10,000	42.59	n/a	n/a	300.00	3.0
NEUBERGER BERMAN	036	CCE	S	9,795	27.76	n/a	n/a	391.80	4.0
TUDOR INVESTORS	028	CF	SS	2,000	172.08	n/a	n/a	60.00	3.0
NCM CAPITAL MGMT	035	CLX	B	7,475	69.02	n/a	23-May	299.00	4.0
ß BUCK CAPITAL MGMT		COH	S	20,000	68.11	n/a	9-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		COH	S	40,000	68.59	n/a	9-May	non-brg	4.0
NUVEEN - US BANCORP		030 CVLT	B	26,967	47.35		n/a	n/a	1,213.53 4.5
B BUCK CAPITAL MGMT		C	B	19,200	26.02	n/a	24-May	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	C	S	500	26.49	n/a	24-May	0.00	0.0
FORTRESS INVESTMENT (US)	036	DAL	B	90,000	11.92	n/a	n/a	2,700.00	3.0
B BUCK CAPITAL MGMT		DDS	B	10,000	68.22	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DG	B	100,000	47.91	n/a	23-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		DKS	B	30,000	46.48	n/a	15-May	non-brg	4.0
T. ROWE PRICE	033	DLTR	B	26,300	102.70	n/a	18-May	1,052.00	4.0
NCM CAPITAL MGMT	035	DPS	B	17,717	41.00	n/a	n/a	708.68	4.0
f MARC PAUL LUCHANSKY	FID	DPZ	S	500	30.54	n/a	2-May	0.00	0.0
f MICHAEL MARRANO	FID	EAEMX	B	28	12.83	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	EMN	S	100	47.74	n/a	n/a	0.00	0.0
NEWLAND CAPITAL MGT	025	FCEL	S	25,000	1.21	n/a	n/a	750.00	3.0
f MICHAEL MARRANO	FID	FDIVX	B	70	25.58	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	FEIC	B	17,000	45.76	n/a	n/a	680.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	031	FINL	B	26,000	21.30	n/a	2-Apr	1,300.00	5.0
SURVEYOR CAPITAL	251	FINL	B	208,579	21.40	n/a	2-Apr	6,257.37	3.0
f MICHAEL MARRANO	FID	FNYTX	S	92	12.08	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	FSTFX	B	54	10.87	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GCO	B	7,500	68.53	n/a	n/a	non-brg	4.0
SANDLER CAPITAL	028	GDI	SS	130,000	55.66	n/a	n/a	6,500.00	5.0
f MARC PAUL LUCHANSKY	FID	GES	S	100	26.52	n/a	23-May	0.00	0.0
B BUCK CAPITAL MGMT		GIL	B	10,000	24.56	n/a	4-May	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	B	10,000	24.57	n/a	4-May	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	B	20,600	24.31	n/a	4-May	non-brg	4.0
PARKWEST	084	GME	S	60,000	20.77	n/a	n/a	2,400.00	4.0
MACELLUM CAPITAL	086	GPI	B	16,000	51.58	n/a	27-Apr	480.00	3.0
SURVEYOR CAPITAL	251	GPS	B	31,989	26.96	n/a	n/a	959.67	3.0
B BUCK CAPITAL MGMT		GS	B	5,300	94.75	n/a	24-May	non-brg	4.0
B BUCK CAPITAL MGMT		HAS	SS	50,000	35.21	n/a	n/a	non-brg	4.0
CONIFER/ISF	025	INTC	B	13,000	26.13	n/a	n/a	520.00	4.0
f MICHAEL MARRANO	FID	IWD	B	5	65.63	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f DAVID GEORGE BUCK	FID	IWF	S	2000	62.12	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JNY	B	100,000	9.82	n/a	26-Apr	non-brg	3.0
B BUCK CAPITAL MGMT		JNY	B	100,000	9.83	n/a	26-Apr	non-brg	3.0
SIMMONS VENTURES	041	JNY	B	10,000	9.70	n/a	26-Apr	300.00	3.0
f JOHN MICHAEL ZOLIDIS	FID	JPM	S	90	33.06	n/a	24-May	0.00	0.0
NEUBERGER BERMAN	036	KFT	B	7,500	38.44	n/a	n/a	300.00	4.0
HARVEST MGMT (JMP)	029	KMX	SS	10,000	27.79	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		KORS	B	10,000	40.31	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	KSS	B	35,000	48.86	n/a	25-May	1,750.00	5.0
ROSEDALE CAPITAL	046	KSS	B	10,000	48.48	n/a	25-May	500.00	5.0
SUN AMERICA ASSET MGMT	030	KSS	S	141,386	49.03	n/a	25-May	5,655.44	4.0
MACELLUM CAPITAL	086	LAD	S	17,000	23.98	n/a	n/a	510.00	3.0
f ALEXANDRA ELISE ZOLI	FID	LFUGY	S	1000	3.79	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	MET	S	40	1.09	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	MNST	B	26,541	73.22	n/a	n/a	1,061.64	4.0
ROYCE TRADING	031	MOV	S	8,200	28.54	n/a	n/a	410.00	5.0
B BUCK CAPITAL MGMT		M	B	20,000	37.96	n/a	25-May	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		M	B	30,000	38.11	n/a	25-May	non-brg 4.0
	MACELLUM CAPITAL	086	M	B	13,000	38.37	n/a	25-May	390.00 3.0
	FIRST NEW YORK LEDDY	035	NOK	S	20,000	2.75	n/a	n/a	800.00 4.0
	LISTED ERR	099	NUC	B	500	16.48	n/a	n/a	0.00 0.0
	LISTED ERR	099	NUC	S	500	16.48	n/a	n/a	0.00 0.0
	NCM CAPITAL MGMT	035	NUS	B	13,488	43.42	n/a	n/a	539.52 4.0
ß	BUCK CAPITAL MGMT		NWY	B	9,500	3.52	n/a	n/a	non-brg 2.0
f	MANNY I KORMAN	FID	NYV	B	2917	16.09	n/a	n/a	0.00 0.0
	CATAPULT CAP/DEFRANCO	040	PFE	B	80,800	22.08	n/a	n/a	3,232.00 4.0
	NCM CAPITAL MGMT	035	PG	B	14,000	62.44	n/a	29-May	560.00 4.0
ß	BUCK CAPITAL MGMT		PVH	S	20,000	83.33	n/a	25-May	non-brg 4.0
ß	BUCK CAPITAL MGMT		PVH	S	30,000	83.15	n/a	25-May	non-brg 4.0
	LEVIN CAPITAL STRATEGIES	041	PVH	S	10,100	83.11	n/a	25-May	303.00 3.0
	SCOPUS FUND	041	PVH	S	25,000	83.04	n/a	25-May	1,000.00 4.0
	NCM CAPITAL MGMT	035	RAH	B	13,200	64.93	n/a	n/a	528.00 4.0
	RAINIER INV MGMT	035	RJF	B	7,000	33.96	n/a	n/a	280.00 4.0
f	JOHN MICHAEL ZOLIDIS	FID	SBUX	B	100	54.76	n/a	27-Apr	0.00 0.0
ß	BUCK CAPITAL MGMT		SCSS	B	18,000	27.58	n/a	n/a	non-brg 1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		SCSS	B	20,000	27.36	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SHOO	B	20,000	40.30	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SIG	B	10,000	43.64	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SKS	SS	50,000	10.05	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SKS	SS	50,000	10.05	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SSI	B	30,000	16.70	n/a	n/a	non-brg	4.0
f	ALEXANDRA ELISE ZOLI	FID	TEA	B	300	13.89	n/a	n/a	0.00	0.0
f	JOHN MICHAEL ZOLIDIS	FID	TEA	B	300	14.24	n/a	n/a	0.00	0.0
f	JOHN MICHAEL ZOLIDIS	FID	TEA	B	350	13.86	n/a	n/a	0.00	0.0
f	JOHN MICHAEL ZOLIDIS	FID	TEA	B	500	14.04	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	TEVA	S	400	38.46	n/a	30-May	0.00	0.0
ß	BUCK CAPITAL MGMT		TEX	B	55,000	17.56	n/a	24-May	non-brg	4.0
f	JONATHAN RICHARD HAR	FID	TEX	B	160	17.99	n/a	24-May	0.00	0.0
ß	BUCK CAPITAL MGMT		TFM	SS	20,000	54.89	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		TFM	SS	20,000	54.89	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		TLYS	S	40,125	16.27	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		TLYS	S	40,125	16.27	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		TLYS	S	70,000	16.00	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		TLYS	S	95,000	16.02	n/a	n/a	non-brg	4.0
f	MICHAEL MARRANO	FID	TPINX	S	118	12.37	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f EDWARD W WHEELER	FID	TWI	B	16	2.10	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ULTA	S	20,000	88.77	n/a	n/a	non-brg	4.0
ACK ASSET	028	UPS	SS	42,000	74.67	n/a	n/a	1,260.00	3.0
B BUCK CAPITAL MGMT		URBN	SS	80,000	28.17	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	VE	B	5000	11.55	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	WCRX	B	5000	19.56	n/a	29-May	0.00	0.0
THE JAY GOLDMAN	028	WEN	B	25,000	4.52	n/a	9-May	1,000.00	4.0
HARVEST MGMT (JMP)	029	WMT	B	10,000	65.54	n/a	18-May	400.00	4.0
B BUCK CAPITAL MGMT		WNC	B	35,000	6.99	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		WYNN	B	20,000	105.38	n/a	13-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		WYNN	B	50,000	105.02	n/a	13-Jun	non-brg	4.0
TUDOR INVESTORS	028	ZUMZ	B	25,000	34.83	n/a	n/a	750.00	3.0
f DOUGLAS ROGERS	FID		B	30000	97.85	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID		B	100000	0.09	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID		S	1000	11.41	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								51,256.81	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									51,256.81
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									51,256.81

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 30-May.
NONE

MRI Securities without Trade Activity on 30-May.
ATI, MON

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)