



Daily Compliance Activity Report

Trade Date: 01/30/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		6046759C4	S	1,708,000	0.15	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		AAPL	B	10,000	134.18	n/a	n/a	non-brg	1.0
CATTANI	041	AAPL	B	100	131.88	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ABG	B	33,800	13.43	25-Jan	25-Jan	non-brg	1.0
BUCK CAPITAL MGMT		ABG	B	50,000	13.46	25-Jan	25-Jan	non-brg	4.0
GAULT	063	ABG	S	1,000	13.41	25-Jan	25-Jan	0.00	0.0
BALYASNY ASSET MGMT	044	ABX	SS	25,000	53.30	n/a	n/a	1,250.00	5.0
CAPITAL GROWTH MGMT.	241	ACI	B	30,000	42.89	n/a	n/a	1,500.00	5.0
STRAUSS ASSET MGMT	040	AERO	B	21,400	5.49	n/a	n/a	1,070.00	5.0
LEVIN CAPITAL STRATEGIES	012	BBY	SS	15,000	48.00	n/a	27-Jul	600.00	4.0
PHILADELPHIA FINANCIAL	033	BEN	S	14,292	101.17	25-Sep	28-Jan	714.60	5.0
BUCK CAPITAL MGMT		BONT	B	20,000	7.10	4-Jan	11-Jan	non-brg	2.5
BALYASNY ASSET MGMT	044	CBI	S	130	44.64	n/a	n/a	6.50	5.0
CENTURION GLOBAL MGMT LL	041	CCL	B	7,600	42.62	n/a	26-Jan	228.00	3.0
DENVER INST. ADV	046	CEPH	S	96,350	65.48	n/a	29-Jan	3,854.00	4.0
MKT MAKER	099	CLMS	S	200	22.27	29-Jan	29-Jan	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	CRS	B	10,000	63.42	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		CTRN	S	10,000	13.08	n/a	n/a	non-brg	4.0
NATIONAL BK OF CAN	031	C	B	70,000	29.05	16-Jan	22-Jan	2,800.00	4.0
NATIONAL BK OF CAN	031	C	SS	30,000	28.77	16-Jan	22-Jan	1,200.00	4.0
NATIONAL BK OF CAN	031	C	S	40,000	28.80	16-Jan	22-Jan	1,600.00	4.0
B BUCK CAPITAL MGMT		DAI	C	70,000	77.10	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DIA	C	90,000	126.39	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		DLTR	C	50,000	26.18	9-Nov	11-Jan	non-brg	4.0
LATEFF MGMT	040	DOW	B	1,000	38.14	n/a	29-Jan	250.00	25.0
SERENGETI MGMT	030	DRQ	B	70,000	50.57	n/a	n/a	2,800.00	4.0
DEMARTINI	065	EFT	S	2,000	15.40	n/a	n/a	0.00	0.0
GAULT	063	ETN	S	300	82.76	22-Jan	22-Jan	0.00	0.0
NEUBERGER BERMAN	036	EXR	S	122,200	15.10	n/a	n/a	5,499.00	4.5
REICH AND TANG	067	FCS	B	25,000	11.82	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		FDO	C	50,000	20.15	8-Jan	11-Jan	non-brg	4.0
B BUCK CAPITAL MGMT		FOR	S	1	22.42	n/a	n/a	non-brg	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRONBOUND CAPITAL	012	FOR	B	8,500	22.48	n/a	n/a	425.00	5.0
B BUCK CAPITAL MGMT		FOSL	B	60,000	33.13	13-Nov	29-Jan	non-brg	4.0
SUNOVA CAPITAL	031	FRE	B	60,000	29.26	n/a	n/a	3,000.00	5.0
SINCLAIR	031	FRI	S	100	0.40	n/a	n/a	0.00	0.0
SINCLAIR	031	FRL	S	50	2.25	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	GBL	B	2,800	56.90	n/a	28-Jan	140.00	5.0
LATEFF MGMT	040	GE	B	1,000	35.11	n/a	n/a	250.00	25.0
B BUCK CAPITAL MGMT		GFG	S	1	13.54	n/a	n/a	non-brg	0.0
DELTEC ASSET MGMT.	040	GLW	S	5,000	24.37	n/a	28-Jan	250.00	5.0
N STRATTON	014	GM	SS	100	27.65	3-Jan	29-Jan	0.00	0.0
SINCLAIR	031	GRCN	B	25	0.80	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		HOG	C	30,000	39.21	n/a	n/a	non-brg	4.0
BEAR STEARNS ASSET MGMT.	033	HON	C	73,900	58.24	n/a	25-Jan	2,956.00	4.0
MERRILL LYNCH STRATEGICI	034	HPC	B	20,000	17.43	n/a	n/a	800.00	4.0
BALYASNY ASSET MGMT	044	HRS	B	43,200	49.35	11-Jan	30-Jan	2,160.00	5.0
WESTLANE CAPITAL	044	HRS	B	7,500	49.03	11-Jan	30-Jan	375.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HART	056	ICON	S	168	20.05	n/a	n/a	0.00	0.0
DEMARTINI	065	ICON	S	500	19.71	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	IHP	S	27,500	48.80	n/a	n/a	1,237.50	4.5
GRISANTTI BROWN & PARTNER	048	INTC	C	23,500	21.02	n/a	n/a	1,175.00	5.0
CALIMAR CAPITAL MANAGE	048	IWM	S	10,000	70.16	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		JAH	S	10,000	22.03	n/a	n/a	non-brg	4.0
SAC TRADING HOWARD	038	JCG	SS	10,000	41.80	28-Nov	18-Jan	500.00	5.0
GAULT	063	JCI	S	300	34.73	23-Jan	23-Jan	0.00	0.0
BALYASNY ASSET MGMT	044	JCP	S	50,000	44.62	4-Jan	11-Jan	2,500.00	5.0
COUGAR TRADING	044	JCP	B	10,000	45.57	4-Jan	11-Jan	500.00	5.0
COUGAR TRADING	044	JCP	S	5,000	45.36	4-Jan	11-Jan	250.00	5.0
MORGENS WATERFALL	040	JLL	SS	10,000	77.13	n/a	n/a	300.00	3.0
MORGENS WATERFALL	040	JNS	SS	50,000	26.92	9-Jan	28-Jan	1,500.00	3.0
BUCK CAPITAL MGMT	001	JNY	B	100,000	16.48	n/a	30-Jan	4,000.00	4.0
MATRIX ASSET ADV.	036	JPM	S	11,900	47.12	17-Jan	22-Jan	476.00	4.0
THE JAY GOLDMAN	034	JWN	B	20,000	36.68	20-Nov	29-Jan	800.00	4.0
CAPITAL GROWTH MGMT.	241	KR	S	112,000	25.43	n/a	n/a	5,600.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	KSS	SS	30,000	43.49	4-Jan	11-Jan	1,200.00	4.0
RAINIER INV MGMT	035	LAZ	B	18,500	37.39	n/a	n/a	925.00	5.0
Ⓕ BUCK CAPITAL MGMT		LBTYA	C	1	39.50	n/a	n/a	non-brg	4.0
ROBX	302	LTD	S	100,000	18.16	n/a	11-Jan	4,000.00	4.0
Ⓕ BUCK CAPITAL MGMT		LTM	B	10,000	41.44	n/a	n/a	non-brg	4.0
CALIMAR CAPITAL MANAGE	048	MO	S	4,000	76.79	n/a	n/a	160.00	4.0
NEUBERGER BERMAN	036	MPG	S	40,000	27.25	n/a	n/a	1,800.00	4.5
WHEELER	008	MYE	B	3,500	11.02	n/a	n/a	0.00	0.0
MAGUIRE	090	MZZ	B	5,000	63.68	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	M	S	50,000	26.55	4-Jan	29-Jan	2,500.00	5.0
BEAR STEARNS ASSET MGMT.	033	M	B	50,000	26.46	4-Jan	29-Jan	2,000.00	4.0
BUCK CAPITAL MGMT	001	M	B	70,000	27.14	4-Jan	29-Jan	2,800.00	4.0
Ⓕ BUCK CAPITAL MGMT		M	B	30,000	27.34	4-Jan	29-Jan	non-brg	4.0
GRISANTI BROWN & PARTNER	048	M	S	24,600	26.56	4-Jan	29-Jan	1,230.00	5.0
LEVIN CAPITAL STRATEGIES	012	M	S	20,000	26.37	4-Jan	29-Jan	800.00	4.0
THE JAY GOLDMAN	034	M	S	10,000	26.51	4-Jan	29-Jan	400.00	4.0
Ⓕ BUCK CAPITAL MGMT		NEXC	B	20,000	4.18	n/a	n/a	non-brg	2.5
Ⓕ BUCK CAPITAL MGMT		NEXC	B	20,000	4.20	n/a	n/a	non-brg	3.0
KINGDON ASSET MGMT	041	NTAP	S	200,000	23.41	n/a	n/a	10,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	NYF	B	800	103.55	n/a	n/a	0.00	0.0
CHARTER OAK	033	OI	B	96,100	43.68	n/a	n/a	4,805.00	5.0
MERRILL ASSET	032	ONB	S	54,200	16.53	n/a	n/a	2,439.00	4.5
KAICHER	064	ONNN	S	500	6.72	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PAG	B	25,000	17.31	n/a	17-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		PAG	B	75,000	17.33	n/a	17-Jan	non-brg	1.0
GAULT	063	PAG	S	1,000	17.28	n/a	17-Jan	0.00	0.0
DEMARTINI	065	PCL	S	500	41.65	8-Jan	30-Jan	0.00	0.0
PILOT ADV	038	PKG	B	25,000	24.49	23-Jan	23-Jan	1,000.00	4.0
BUCK CAPITAL MGMT	001	RL	S	60,000	60.94	14-Jan	14-Jan	2,400.00	4.0
PRINCIPLE CAPITAL	040	RTH	B	50,000	92.63	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		SDS	S	85,000	61.32	n/a	n/a	non-brg	1.0
STADIA CAPITAL	035	SHLD	B	15,000	107.57	n/a	n/a	600.00	4.0
GAULT	063	SKF	B	500	98.31	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	500	101.99	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKT	C	20,000	37.48	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	19.32	n/a	13-Mar	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		SPG	C	20,000	88.20	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SPY	C	81,900	138.12	n/a	n/a	non-brg	2.0
CALIMAR CAPITAL MANAGE	048	SPY	S	10,000	135.97	n/a	n/a	400.00	4.0
GALLEON MGMT LP	033	SPY	S	25,000	137.99	n/a	n/a	1,250.00	5.0
SCOPUS FUND	041	SSCC	B	23,100	9.37	8-Jan	30-Jan	1,155.00	5.0
PALMYRA CAPITAL	048	SYMC	S	65,000	17.07	n/a	3-Apr	1,950.00	3.0
BUCK CAPITAL MGMT		TBL	S	199,800	16.07	n/a	13-Mar	non-brg	4.0
BUCK CAPITAL MGMT		TBL	S	200	16.15	n/a	13-Mar	non-brg	1.0
LATEFF MGMT	040	TCB	B	1,500	19.72	n/a	n/a	255.00	17.0
N STRATTON	014	TECUA	SS	200	23.45	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	TGT	B	300,000	52.89	n/a	27-Jul	12,000.00	4.0
BUCK CAPITAL MGMT		TGT	SS	10,000	53.42	n/a	27-Jul	non-brg	4.0
TPG-AXON	040	TGT	SS	400,000	52.42	n/a	27-Jul	20,000.00	5.0
LISTED ERR	099	TGT	B	900	52.34	n/a	27-Jul	0.00	0.0
LISTED ERR	099	TGT	S	900	52.39	n/a	27-Jul	0.00	0.0
HARVEST MGMT	039	TIF	SS	50,000	38.38	14-Jan	17-Jan	2,500.00	5.0
BUCK CAPITAL MGMT	001	TIN	B	25,000	18.54	8-Jan	23-Jan	1,000.00	4.0
BUCK CAPITAL MGMT		TIN	B	25,000	18.59	8-Jan	23-Jan	non-brg	4.0
FORD FDN.	032	TROW	S	49,007	53.03	9-Jan	29-Jan	2,450.35	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	TUP	S	38,000	35.51	n/a	n/a	1,425.00	3.8
MAGUIRE	090	TWM	B	4,000	82.68	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	UPS	C	91,300	72.25	n/a	n/a	4,565.00	5.0
B BUCK CAPITAL MGMT		URBN	S	20,000	28.47	3-Jan	29-Jan	non-brg	4.0
GAULT	063	UYG	B	1,000	38.83	n/a	n/a	0.00	0.0
GAULT	063	UYG	S	1,000	39.95	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		VCGH	S	10,000	11.39	n/a	n/a	non-brg	4.0
PRINCIPLE CAPITAL	040	VFC	SS	50,000	76.33	n/a	n/a	2,000.00	4.0
B BUCK CAPITAL MGMT		VNO	C	20,000	90.75	n/a	n/a	non-brg	4.0
NEUBERGER BERMAN	036	VTR	S	10,000	43.02	n/a	n/a	450.00	4.5
SUNOVA CAPITAL	031	WB	S	25,000	37.01	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		WFMI	SS	10,000	38.72	n/a	n/a	non-brg	4.0
NEUBERGER BERMAN	036	WRI	B	83,400	32.72	n/a	n/a	3,753.00	4.5
BENCHMARK	028	WSM	SS	15,000	25.08	n/a	n/a	750.00	5.0
BEAR STEARNS ASSET MGMT.	033	WSO	C	34,700	33.00	n/a	n/a	1,388.00	4.0
BALYASNY ASSET MGMT	044	WWW	B	10,100	24.41	n/a	13-Mar	505.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DEMARTINI	065	XRT	S	500	33.10	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	X	S	35,000	102.50	n/a	n/a	5.12	0.0
8 BUCK CAPITAL MGMT		YUM	SS	40,000	35.38	n/a	24-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	ZQK	B	20,000	8.89	23-Jan	23-Jan	800.00	4.0
TOTAL (Trade Date):							155,927.07		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	01/29	BALYASNY ASSET MGMT	044	CHS	B	40,000	9.43	31-Jan	11-Jan	1600.00 4.0
AO,CXL	01/29	BALYASNY ASSET MGMT	044	CHS	B	40,000	9.43	31-Jan	11-Jan	2000.00 5.0
AO,CRT	01/29	AMATURO	018	GSI	B	1,000	8.67	n/a	n/a	0.00 0.0
AO,CXL	01/29	AMATURO	018	GSI	B	1,000	8.67	n/a	n/a	0.00 0.0
AO,CXL	01/29	&ROS	046	MSFT	B	25,000	32.50	n/a	3-Apr	1250.00 5.0
AO,CRT	01/29	ROSEDALE CAPITAL	046	MSFT	B	25,000	32.50	n/a	3-Apr	1250.00 5.0
AO,CRT	01/29	FIRST NEW YORK LEDDY	035	WRNC	B	7,500	34.70	14-Jan	29-Jan	300.00 4.0
AO,CXL	01/29	FIRST NEW YORK LEDDY	035	WRNC	B	7,500	34.70	14-Jan	29-Jan	450.00 6.0
AO,CXL	01/29	FARALLON CAP MGMT	040	XHB	B	300,000	21.48	n/a	n/a	12000.00 4.0
AO,CRT	01/29	FARALLON CAP MGMT	040	XHB	B	300,000	21.48	n/a	n/a	9000.00 3.0

TOTAL (AO, CXL, CRT) :**-3,550.00**

TOTAL (Trade Date):

155,927.07

TOTAL (AO, CXL, CRT):

-3,550.00

TOTAL (RUN DATE) / NFS:**152,377.07**

MRI Securities with Trade Activity on 30-Jan.
NONE

MRI Securities without Trade Activity on 30-Jan.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 9%
YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION