



Daily Compliance Activity Report

Trade Date: 03/24/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AAP	S	15,000	35.77	n/a	n/a	750.00	5.0
WHITE PINE CAPITAL	030	ABT	B	2,100	55.63	n/a	22-Apr	105.00	5.0
IMPALA ASSET MMT	012	ABX	B	100,000	43.23	n/a	n/a	3,000.00	3.0
COMMISSUM CAPITAL	067	AB	B	5,000	66.04	24-Mar	24-Mar	250.00	5.0
COUGAR TRADING	044	AB	B	5,000	66.06	24-Mar	24-Mar	250.00	5.0
MATLIN PATTERSON	046	ACI	B	30,000	41.30	n/a	n/a	1,500.00	5.0
HIGHLINE ADV	044	ADM	B	83,100	41.14	2-Jan	24-Mar	2,477.06	3.3
SUTERA	160	AEO	S	1,000	19.01	6-Mar	13-Mar	0.00	0.0
LED DY	035	AER	B	400	18.91	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	AIG	B	2,200	46.18	n/a	n/a	110.00	5.0
J L F ASSET MGMT	039	AMG	B	21,900	93.05	9-Jan	24-Mar	876.00	4.0
COUGAR TRADING	044	ARO	S	15,000	28.28	29-Nov	14-Mar	750.00	5.0
GENERAL ELECTRIC CO	033	AXP	B	49,068	47.77	n/a	n/a	981.36	2.0
WHITE PINE CAPITAL	030	BBY	B	600	43.10	n/a	27-Jul	30.00	5.0
J L F ASSET MGMT	039	BEN	B	49,900	102.92	24-Mar	24-Mar	1,996.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUTERA	160	BIG	SS	1,000	23.29	6-Mar	10-Mar	0.00	0.0
J L F ASSET MGMT	039	BLK	B	14,700	214.15	21-Nov	24-Mar	588.00	4.0
WHITE PINE CAPITAL	030	BSX	B	9,700	12.85	n/a	22-Apr	485.00	5.0
T. ROWE PRICE	033	BTU	B	179,300	49.49	n/a	n/a	7,172.00	4.0
BUCK	015	BVDSZ	S	20	1.65	n/a	n/a	0.00	0.0
JPMSI/TOM LEDDY	035	BYI	B	25,000	36.62	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	CBK	B	20,000	10.12	n/a	7-Mar	800.00	4.0
BUCK CAPITAL MGMT	001	CCE	B	100,000	24.92	n/a	n/a	4,000.00	4.0
MERRILL ASSET	032	CFR	S	29,000	55.90	n/a	n/a	1,450.00	5.0
WHITE PINE CAPITAL	030	CIEN	B	1,200	31.85	n/a	22-Mar	60.00	5.0
SANDLER O'NEILL ASSET	031	CLMS	S	25,000	15.80	13-Feb	24-Mar	1,250.00	5.0
LEDDY	035	CLMS	C	700	16.45	13-Feb	24-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	CLX	B	30,000	56.59	n/a	27-Feb	1,200.00	4.0
COUGAR TRADING	044	COH	S	5,000	32.62	24-Oct	14-Mar	250.00	5.0
THOMAS WEISEL ASSET MGMT	035	COMV	B	3,630	12.72	n/a	n/a	108.90	3.0
ROYCE TRADING	216	CTGX	S	15,000	3.97	n/a	n/a	450.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Ted Wheeler		CTT	B	100	66.0000	n/a	n/a	0.00	0.0
NOMURA CAPITAL	045	CVC	S	1,250	21.65	n/a	4-Jan	62.50	5.0
SOCIETY GENERALE	040	CWTR	S	10,000	5.60	n/a	n/a	400.00	4.0
SANDLER O'NEILL ASSET	031	C	SS	25,000	23.79	16-Jan	17-Mar	1,250.00	5.0
CATTANI	041	C	B	500	23.98	16-Jan	17-Mar	0.00	0.0
MERRILL ASSET	032	DFT	B	27,200	17.12	n/a	n/a	1,224.00	4.5
MERRILL ASSET	032	DHI	SS	195,700	17.25	n/a	n/a	8,806.50	4.5
BULL PATH CAPITAL	044	DPZ	B	50,000	15.01	n/a	24-Apr	2,500.00	5.0
LUCHANSKY1	036	DUG	B	300	41.28	n/a	n/a	0.00	0.0
REICH AND TANG	067	ESI	B	15,000	51.63	n/a	n/a	750.00	5.0
WHITE PINE CAPITAL	030	FEIC	B	4,100	21.63	n/a	n/a	205.00	5.0
BUCK CAPITAL MGMT	001	FL	B	150,000	12.21	n/a	12-Mar	6,000.00	4.0
RHO CAPITAL MGMT	041	FSLR	S	250	211.21	n/a	n/a	10.00	4.0
J COHEN	N27	FXE	B	500	154.67	n/a	n/a	0.00	0.0
LEDDY	035	F	C	1,500	5.74	18-Mar	20-Mar	0.00	0.0
PHILADELPHIA FINANCIAL	033	GBL	B	2,450	54.41	n/a	24-Mar	122.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	GES	B	270,000	40.09	n/a	n/a	10,800.00	4.0
WHITE PINE CAPITAL	030	GE	B	5,900	37.37	n/a	n/a	295.00	5.0
PROSPECTOR PARTNERS LLC	036	GFI	B	10,000	14.52	n/a	n/a	400.00	4.0
CHARTER OAK	033	GIS	B	74,119	59.34	n/a	n/a	3,705.95	5.0
PAKLER	029	GMRX	B	3	4.15	n/a	n/a	0.00	0.0
LUCHANSKY1	036	GMRX	B	2	4.20	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	GPS	S	30,000	21.23	n/a	7-Mar	1,500.00	5.0
CENTURION GLOBAL MGMT LL	041	GPS	B	6,800	21.75	n/a	7-Mar	204.00	3.0
COUGAR TRADING	044	GPS	SS	5,000	21.31	n/a	7-Mar	250.00	5.0
ROYCE TRADING	216	GVHR	S	15,000	7.84	n/a	n/a	750.00	5.0
COUGAR TRADING	044	GYMB	S	5,000	44.00	n/a	13-Mar	250.00	5.0
STERLING JOHNSTON	035	GYMB	B	70,000	44.29	n/a	13-Mar	3,500.00	5.0
NOMURA CAPITAL	045	HAYZ	S	10,000	3.00	n/a	n/a	500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	HBI	B	100,000	30.09	n/a	n/a	3,750.00	3.8
U.S.TRUST COMPANY	012	HRS	B	108,900	48.74	11-Jan	24-Mar	3,267.00	3.0
WHITE PINE CAPITAL	030	HRS	B	2,700	48.95	11-Jan	24-Mar	135.00	5.0
ZOLIDIS	011	ICON	B	500	18.08	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	IFF	B	300	43.10	14-Dec	24-Mar	15.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	IVZ	S	80,000	24.72	10-Mar	24-Mar	3,200.00	4.0
SUNOVA CAPITAL	031	IVZ	B	50,000	25.19	10-Mar	24-Mar	2,500.00	5.0
IMPALA ASSET MMT	012	JBHT	S	100,000	32.77	n/a	n/a	3,000.00	3.0
PROSPECTOR PARTNERS LLC	036	JCI	B	24,900	35.00	23-Jan	23-Jan	996.00	4.0
DELTEC ASSET MGMT.	040	JCP	S	11,000	44.05	4-Jan	7-Mar	550.00	5.0
SIGMA CAPITAL ASSOCIATES	237	JCP	S	10,000	44.46	4-Jan	7-Mar	500.00	5.0
WHITE PINE CAPITAL	030	JCP	B	1,400	43.57	4-Jan	7-Mar	70.00	5.0
WHITE PINE CAPITAL	030	JNJ	B	1,200	64.90	n/a	22-Apr	60.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	50,000	37.05	26-Feb	10-Mar	2,000.00	4.0
DENVER INST. ADV	046	JWN	B	47,659	37.42	26-Feb	10-Mar	1,906.36	4.0
COUGAR TRADING	044	KSS	SS	5,000	46.57	4-Jan	7-Mar	250.00	5.0
WHITE PINE CAPITAL	030	KSS	B	1,700	46.24	4-Jan	7-Mar	85.00	5.0
SSI INVESTORS	048	LIZ	SS	64,910	19.96	n/a	17-Mar	2,596.40	4.0
GLG PARTNERS	034	LOW	S	25,000	24.11	n/a	27-Jul	1,250.00	5.0
BUCK CAPITAL MGMT	001	LTD	B	150,000	17.93	n/a	7-Mar	6,000.00	4.0
WHITE PINE CAPITAL	030	LTD	B	2,500	17.70	n/a	7-Mar	125.00	5.0
PAKLER	029	LULU	B	115	29.85	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	MAR	B	280,000	36.15	n/a	n/a	11,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COMMISSUM CAPITAL	067	MF	S	10,000	10.57	n/a	n/a	500.00	5.0
WHITE PINE CAPITAL	030	MSFT	B	6,500	29.17	n/a	3-Apr	325.00	5.0
BUCK	015	MSGJ	B	20	6.50	n/a	n/a	0.00	0.0
LEDDY	035	NEXC	B	3,800	3.20	n/a	n/a	0.00	0.0
CATTANI	041	NTRS	S	200	71.60	n/a	10-Mar	0.00	0.0
FIRST NEW YORK LEDDY	035	ODP	B	10,000	12.11	n/a	27-Jul	400.00	4.0
DAVIDSON TRUST CO	028	PAYX	S	5,225	33.91	n/a	n/a	261.25	5.0
BUCK CAPITAL MGMT	001	PBG	B	50,000	34.44	n/a	n/a	2,000.00	4.0
ASCEND PARTNERS	033	PLCE	B	110,000	24.90	24-Mar	24-Mar	5,500.00	5.0
IMPALA ASSET MMT	012	POT	S	50,000	150.56	n/a	n/a	1,500.00	3.0
JP MORGAN VENTURES	046	PVH	S	15,000	37.02	13-Mar	13-Mar	750.00	5.0
STERLING JOHNSTON	035	PVH	B	4,150	36.23	13-Mar	13-Mar	166.00	4.0
COUGAR TRADING	044	PZN	B	15,000	12.81	24-Mar	24-Mar	750.00	5.0
WHITE PINE CAPITAL	030	Q	B	11,800	5.04	n/a	n/a	590.00	5.0
BUCK CAPITAL MGMT	001	RL	B	20,000	63.72	7-Feb	15-Feb	800.00	4.0
COUGAR TRADING	044	RL	B	5,000	60.85	7-Feb	15-Feb	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	RL	SS	5,000	63.75	7-Feb	15-Feb	250.00	5.0
STERLING JOHNSTON	035	RL	B	12,400	63.87	7-Feb	15-Feb	496.00	4.0
ROYCE TRADING	216	RNWK	S	10,000	5.84	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	SDS	S	100,000	62.17	n/a	n/a	4,000.00	4.0
WHITE PINE CAPITAL	030	STJ	B	6,200	43.35	n/a	n/a	310.00	5.0
ARIENCE CAPITAL	036	STT	C	63,200	84.09	n/a	n/a	3,160.00	5.0
IMPALA ASSET MMT	012	TCK	S	50,000	38.13	n/a	n/a	1,500.00	3.0
WHITE PINE CAPITAL	030	TDSS	B	1,750	38.31	n/a	n/a	87.50	5.0
BUCK CAPITAL MGMT	001	TIF	B	30,000	43.24	14-Jan	17-Mar	1,200.00	4.0
BUCK CAPITAL MGMT	001	TIF	SS	50,000	42.76	14-Jan	17-Mar	2,000.00	4.0
LEDDY	035	TIN	B	800	13.53	19-Mar	19-Mar	0.00	0.0
1798	040	TJX	SS	5,000	34.45	n/a	10-Mar	250.00	5.0
J L F ASSET MGMT	039	TROW	B	68,000	52.75	9-Jan	24-Mar	2,720.00	4.0
ROYCE TRADING	216	TTMI	S	2,900	11.11	n/a	n/a	145.00	5.0
IMPALA ASSET MMT	012	TTM	B	50,000	16.59	n/a	n/a	1,500.00	3.0
WHITE PINE CAPITAL	030	TUNE	B	11,000	4.69	n/a	n/a	440.00	4.0
ZOLIDIS	011	TWM	S	300	82.16	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	TXN	B	300	29.25	n/a	n/a	15.00	5.0
BEAR STEARNS ASSET MGMT.	033	TXT	B	70,000	53.76	n/a	n/a	2,800.00	4.0
ZOLIDIS	011	UYG	B	1,000	34.07	n/a	n/a	0.00	0.0
SINCLAIR	031	VGNMD	B	10	5.50	n/a	n/a	0.00	0.0
PILOT ROCK	028	VIAB	B	180,000	39.62	n/a	n/a	7,200.00	4.0
AMATURO	018	VISTEON CORP	S	20,000	82.00	n/a	n/a	0.00	0.0
HIGHBRIDGE/MS OLDS	041	VRSN	SS	50,000	35.50	n/a	n/a	2,000.00	4.0
SINCLAIR	031	WFCSY	B	35	1.50	n/a	n/a	0.00	0.0
N STRATTON	014	WMAR	SS	300	9.26	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	WMT	SS	10,000	53.77	n/a	7-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	WWY	B	10,000	61.88	n/a	n/a	400.00	4.0
COUGAR TRADING	044	ZQK	S	55,000	10.36	5-Feb	7-Mar	2,750.00	5.0
TOTAL (Trade Date):								167,096.28	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/20	NOMURA CAPITAL (NOMUBPD)	045	CVC	S	1,000	21.26	n/a	4-Jan	50.00 5.0
AO,CRT	03/18	NOMU (NOMUBPD)	045	DAL	S	1,000	9.90	n/a	n/a	40.00 4.0
AO,CXL	03/18	NOMURA CAPITAL (NOMUBATT2)	045	DAL	S	1,000	9.90	n/a	n/a	40.00 4.0
AO,	03/20	J COHEN (J COHEN)	N27	FXE	S	500	155.00	n/a	n/a	0.00 0.0
AO,	03/20	NOMURA CAPITAL (NOMUBPD)	045	GRA	S	4,375	20.85	n/a	n/a	218.75 5.0
AO,	03/20	WELLS/STRONG LEDDY (WCAP151771)	035	RCMT	S	5,000	4.36	n/a	n/a	150.00 3.0
AO,	03/20	CATTANI (CATTANI)	041	RIMM	S	100	100.00	n/a	n/a	0.00 0.0
AO,CRT	03/20	GAULT (GAULT)	063	SKF	B	3,000	109.94	n/a	n/a	0.00 0.0
AO,CXL	03/20	GAULT (GAULT)	063	SKF	B	3,000	109.94	n/a	n/a	0.00 0.0
AO,CRT	03/20	GAULT (GAULT)	063	SKF	S	3,000	105.64	n/a	n/a	0.00 0.0
AO,CXL	03/20	GAULT (GAULT)	063	SKF	S	3,000	105.64	n/a	n/a	0.00 0.0
CRT	03/24	1798 (1798MS)	040	TJX	SS	5,000	34.45	n/a	10-Mar	250.00 5.0
TOTAL (AO, CXL, CRT) :										668.75

TOTAL (Trade Date):	167,096.28
TOTAL (AO, CXL, CRT):	668.75

TOTAL (RUN DATE) / NFS:

167,765.03

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION