



# Daily Compliance Activity Report

Trade Date: 07/01/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		ABFS	B	25,000	24.42	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	ANR	B	200	45.49	n/a	n/a	0.00	0.0
SEVEN LOCKS CAPITAL	025	AOS	B	500	42.49	n/a	6-Jun	15.00	3.0
SAXON WOODS ADVISORS	091	APD	S	4,000	96.38	n/a	20-Jun	120.00	3.0
f MATTHEW FRANCIS BUCK	FID	ASH	B	300	65.82	n/a	1-Jun	0.00	0.0
B BUCK CAPITAL MGMT		AXE	B	15,000	65.85	n/a	22-Jun	non-brg	2.5
WAGONHOUND/NICOLAS	033	AXE	B	6,924	65.90	n/a	22-Jun	276.96	4.0
B BUCK CAPITAL MGMT		BBBY	S	20,000	58.63	n/a	n/a	non-brg	4.0
PLATTE RIVER CAPITAL	240	BUD	S	12,300	58.05	n/a	29-Jun	492.00	4.0
SAXON WOODS ADVISORS	091	BWA	B	7,000	80.90	n/a	29-Apr	210.00	3.0
B BUCK CAPITAL MGMT		BWLD	SS	20,000	66.61	n/a	n/a	non-brg	2.5
f JASON ERIC COHEN	FID	CGI	B	5	2.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CNW	B	10,000	39.67	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	COH	S	30,000	63.98	n/a	3-Jun	900.00	3.0
f DAVID GEORGE BUCK	FID	CONG	B	2000	50.09	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MATTHEW FRANCIS BUCK	FID	CONG	B	300	50.07	n/a	n/a	0.00	0.0
f	MARK DEVEREAUX KAICH	FID	CRMBW	B	4000	2.50	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		CSTR	S	20,000	54.84	n/a	n/a	non-brg	4.0
f	MARC PAUL LUCHANSKY	FID	CVGI	B	1000	14.38	n/a	n/a	0.00	0.0
	SEVEN LOCKS CAPITAL	025	DAN	B	900	18.27	n/a	25-May	27.00	3.0
f	JONATHAN RICHARD HAR	FID	ETAK	B	2000	3.32	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		FITB	B	40,000	12.96	n/a	n/a	non-brg	4.0
	CIRCLE T/SES PART	033	F	S	25,000	14.00	n/a	30-Jun	1,000.00	4.0
	MILLENNIUM-ICS BOBBY	044	F	S	10,000	13.98	n/a	30-Jun	300.00	3.0
	OZ MGMT	040	F	B	51,665	13.92	n/a	30-Jun	1,549.95	3.0
	OZ MGMT	040	F	SS	250,000	14.00	n/a	30-Jun	7,500.00	3.0
	OZ MGMT	040	F	S	300,000	14.03	n/a	30-Jun	9,000.00	3.0
	TRELLUS PARTNERS LP	028	F	S	100,000	14.04	n/a	30-Jun	5,000.00	5.0
	WAGONHOUND/NICOLAS	033	F	S	50,683	14.03	n/a	30-Jun	2,027.32	4.0
B	BUCK CAPITAL MGMT		GCO	S	80,000	52.64	n/a	n/a	non-brg	4.0
f	KEVIN MICHAEL O'GORM	FID	GCO	S	1000	52.69	n/a	n/a	0.00	0.0
	BALYASNY ASSET MGMT	044	GM	SS	50,000	30.37	n/a	30-Jun	2,500.00	5.0
B	BUCK CAPITAL MGMT		GM	SS	40,000	30.29	n/a	30-Jun	non-brg	4.0
	MILLENNIUM-ICS BOBBY	044	GM	S	10,000	30.38	n/a	30-Jun	300.00	3.0
	ARMATURE CAPITAL	041	GT	B	50,000	17.23	n/a	n/a	2,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>HBI</b>	<b>S</b>	<b>200</b>	<b>29.25</b>	<b>n/a</b>	<b>21-Apr</b>	<b>0.00</b>	<b>0.0</b>
RIDGECREST INV MGMT LLC	028	HOG	B	5,000	41.75	n/a	n/a	250.00	5.0
RIDGECREST INV MGMT LLC	028	HOT	B	5,000	57.76	n/a	13-Jun	250.00	5.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>HWCC</b>	<b>S</b>	<b>1300</b>	<b>15.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>IMMCQ</b>	<b>S</b>	<b>22115</b>	<b>0.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MACQUARIE INVESTMENT MGMT. 040	JCP		SS	5,000	35.04	n/a	30-Jun	0.00	0.0
NUVEEN - US BANCORP		030 JOYG	B	40,592	95.94		n/a 3-Jun	1,826.66	4.5
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>JPM</b>	<b>B</b>	<b>200</b>	<b>41.54</b>	<b>n/a</b>	<b>1-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>S</b>	<b>100,000</b>	<b>47.32</b>	<b>n/a</b>	<b>1-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LTM</b>	<b>SS</b>	<b>20,000</b>	<b>39.73</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LVS</b>	<b>S</b>	<b>3,100</b>	<b>42.74</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LVS</b>	<b>S</b>	<b>50,750</b>	<b>42.67</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>
CHESAPEAKE PARTNERS	028	LYV	S	50,000	11.68	n/a	n/a	1,500.00	3.0
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>MCD</b>	<b>S</b>	<b>300</b>	<b>85.20</b>	<b>n/a</b>	<b>8-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>MCP</b>	<b>B</b>	<b>75</b>	<b>59.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CHESAPEAKE PARTNERS	028	MF	S	48,000	7.85	n/a	n/a	1,440.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>MGM</b>	<b>S</b>	<b>300</b>	<b>13.61</b>	<b>n/a</b>	<b>13-Jun</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	012	MLI	B	3,000	38.17	n/a	27-Apr	150.00	5.0
SONTERRA CAP	041	MMI	SS	50,000	22.70	n/a	n/a	2,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MNRO</b>	<b>S</b>	<b>50,000</b>	<b>37.48</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>C</b>	<b>6,475,069</b>	<b>102.69</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>SS</b>	<b>6,475,069</b>	<b>102.69</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
SANDLER O'NEILL ASSET	031	MS	S	50,000	23.68	1-Jul	1-Jul	2,500.00	5.0
CHESAPEAKE PARTNERS	028	NDAQ	S	20,000	25.76	n/a	n/a	600.00	3.0
SONTERRA CAP	041	OSK	B	400,000	32.94	n/a	n/a	16,000.00	4.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>OZM</b>	<b>B</b>	<b>2000</b>	<b>14.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MATTHEW FRANCIS BUCK</b>	<b>FID</b>	<b>PG</b>	<b>B</b>	<b>200</b>	<b>64.11</b>	<b>n/a</b>	<b>20-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PHM</b>	<b>B</b>	<b>50,000</b>	<b>7.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
ROYCE TRADING	012	PLCE	B	25,500	44.99	n/a	8-Jun	1,275.00	5.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>POWL</b>	<b>B</b>	<b>100</b>	<b>0.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>POWL</b>	<b>S</b>	<b>2000</b>	<b>37.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SMITH MGMT LLC	029	PSS	B	300,000	15.06	n/a	n/a	9,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		PVH	S	18,100	65.22	n/a	2-Jun	non-brg	4.0
BUCK CAPITAL MGMT		PVH	S	46,091	65.22	n/a	2-Jun	non-brg	4.0
GAJANTHAN BALAKANES	FID	PVSW	B	76	6.60	n/a	n/a	0.00	0.0
SCOPUS FUND	041	RKT	SS	5,000	66.49	n/a	n/a	200.00	4.0
KAREN F LEDDY	FID	ROHI	S	1500	4.62	n/a	n/a	0.00	0.0
EDWARD W WHEELER	FID	RRD	S	10000	19.87	n/a	n/a	0.00	0.0
COHE & STEERS	041	RYN	B	54,432	66.45	n/a	3-Jun	2,177.28	4.0
BUCK CAPITAL MGMT		SIX	S	25,073	37.62	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		SIX	S	43,500	37.48	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		SIX	S	50,027	37.58	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		SIX	S	81,400	37.47	n/a	n/a	non-brg	0.8
REUBEN D DVORETSKY	FID	SKF	B	21	59.23	n/a	n/a	0.00	0.0
CHESAPEAKE PARTNERS	028	SKS	S	20,000	11.33	n/a	n/a	600.00	3.0
JASON ERIC COHEN	FID	SPY	B	58	132.75	n/a	n/a	0.00	0.0
CHRISTOPHER ROBERT C	FID	TIN	B	100	30.33	n/a	21-Jun	0.00	0.0
BUCK CAPITAL MGMT		TJX	B	30,000	52.76	n/a	30-Jun	non-brg	4.0
SONTERRA CAP	041	TRN	SS	25,000	35.70	n/a	n/a	1,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>TZA</b>	<b>B</b>	<b>5000</b>	<b>33.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT	029	URI	B	23,500	26.59	n/a	n/a	940.00	4.0
BNP PARIBAS SEC	040	USG	B	20,000	14.57	n/a	20-Apr	0.00	0.0
ELM RIDGE ADVISORS	044	USG	SS	136,800	14.57	n/a	20-Apr	5,472.00	4.0
<b>f GAJANTHAN BALAKANES</b>	<b>FID</b>	<b>VXX</b>	<b>B</b>	<b>49</b>	<b>20.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WNC</b>	<b>B</b>	<b>50,000</b>	<b>9.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
CYPRESS CAP MGMT LLC	040	WRC	B	9,200	52.77	n/a	6-May	368.00	4.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>XIDE</b>	<b>B</b>	<b>20000</b>	<b>7.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>XIDE</b>	<b>B</b>	<b>20000</b>	<b>7.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC CRIB	044	XLI	B	25,000	37.90	n/a	n/a	875.00	3.5
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>ZAGG</b>	<b>S</b>	<b>4000</b>	<b>12.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date) :</b>								<b>81,642.17</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CXL	06/29	STRONG/WELLS CAP ANN F	047	V	B	34,751	86.23	n/a 30-Jun 1390.04	4.0
<b>TOTAL (AO, CXL, CRT) :</b>									<b>-1,390.04</b>

TOTAL (Trade Date):	81,642.17
TOTAL (AO, CXL, CRT):	-1,390.04

<b>TOTAL (RUN DATE) / NFS:</b>	<b>80,252.13</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 1-Jul.

MS

MRI Securities without Trade Activity on 1-Jul.

GS, NKE, FDO, BAC

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 1%

YTD = 3%



**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**