



Daily Compliance Activity Report

Trade Date: 12/29/2006

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FRIESS MGMT	042	AGR	S	490,200	19.39	n/a	n/a	22,059.00	4.5
SUTERA	160	ARO	SS	1,000	31.53	23-Oct	1-Dec	0.00	0.0
STADIA CAPITAL	035	AVZ	B	2,300	24.73	29-Dec	29-Dec	92.00	4.0
SUNOVA CAPITAL	031	AVZ	B	200,000	24.67	29-Dec	29-Dec	10,000.00	5.0
APEX CAPITAL MGMT.	002	BLUD	SS	40,000	29.77	n/a	n/a	2,000.00	5.0
LEDDY	035	BONT	B	500	34.55	23-Oct	1-Dec	0.00	0.0
ROARING BLUE LION MGMT	012	CC	B	8,300	18.96	20-Dec	20-Dec	332.00	4.0
FRIESS MGMT	042	CHK	B	117,700	29.04	n/a	n/a	5,296.50	4.5
BUCK CAPITAL MGMT	001	CRI	B	10,000	25.68	n/a	n/a	400.00	4.0
LEDDY	035	CRI	B	500	25.68	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	034	DKS	SS	10,000	49.14	n/a	n/a	400.00	4.0
IRIDIAN ASSET MGMT.	036	DRC	B	13,000	24.49	n/a	n/a	650.00	5.0
BARR	096	FLT	S	5,000	1.13	n/a	n/a	0.00	0.0
FRIESS MGMT	042	FTI	B	49,500	61.61	n/a	n/a	2,227.50	4.5
BUCK CAPITAL MGMT	001	GCO	B	10,000	37.37	n/a	10-Jan	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BONANZA PARTNERS	031	GIII	SS	5,000	19.15	n/a	n/a	250.00	5.0
LEDDY	035	GLW	S	500	18.78	n/a	20-Dec	0.00	0.0
LISTED ERR	099	GLW	S	5	18.68	n/a	20-Dec	0.00	0.0
FRIESS MGMT	042	GRP	B	25,000	39.85	n/a	n/a	1,125.00	4.5
COUGAR TRADING	044	GYMB	B	5,000	38.84	1-Dec	3-Jan	250.00	5.0
FARBER/WEISS PECK	012	GYMB	B	25,000	38.76	1-Dec	3-Jan	1,250.00	5.0
TRELLUS PARTNERS LP	028	GYMB	SS	50,000	38.30	1-Dec	3-Jan	2,500.00	5.0
GASNER	025	HBP	B	150	5.23	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	HLS	B	11,600	22.67	n/a	n/a	580.00	5.0
MKT MAKER	099	HLYS	B	900	31.55	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	HRH	B	16,200	42.33	n/a	n/a	810.00	5.0
SEAROCK CAPITAL MANAGE	012	ICON	B	100,000	19.35	n/a	n/a	3,000.00	3.0
IRIDIAN ASSET MGMT.	036	ID	B	57,700	15.14	n/a	n/a	2,885.00	5.0
RIVER RUN MGMT	031	ITCD	B	8,600	2.56	n/a	n/a	258.00	3.0
EFSTATHIOU	044	JMBA	S	300	10.04	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	SS	3,800	33.56	n/a	26-Oct	152.00	4.0
SONAR CAP MGMT	037	JWN	B	100,000	49.11	11-Dec	11-Dec	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BINDER	007	JWN	C	100	49.32	11-Dec	11-Dec	0.00	0.0
CUMBERLAND	038	KSS	B	10,000	68.93	27-Dec	27-Dec	400.00	4.0
SEAROCK CAPITAL MANAGE	012	KSS	B	31,200	69.22	27-Dec	27-Dec	1,248.00	4.0
BUCK CAPITAL MGMT	001	LTD	S	375,000	29.15	1-Dec	1-Dec	15,000.00	4.0
PROSPECTOR PARTNERS LLC	036	MDY	SS	6,600	146.59	n/a	n/a	330.00	5.0
WHEELER	008	MTRX	S	5,000	15.99	n/a	n/a	0.00	0.0
LEDDY	035	NEXC	B	1,200	7.08	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	NWY	B	15,000	13.28	1-Dec	1-Dec	600.00	4.0
UBS OCONNOR	031	ODP	SS	65,000	38.50	n/a	1-Dec	3,250.00	5.0
FRIESS MGMT	042	ORCL	B	70,400	17.14	n/a	n/a	3,168.00	4.5
WHEELER	008	QXWBV	B	240	3.90	n/a	n/a	0.00	0.0
GABELLI FUNDS	037	RDA	B	50,000	16.75	n/a	n/a	2,500.00	5.0
KAICHER	064	RGBL	S	1,000	0.92	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	S	19,500	78.31	7-Dec	7-Dec	780.00	4.0
FRIESS MGMT	042	ROST	B	30,900	29.48	n/a	n/a	1,390.50	4.5
FRIESS MGMT	042	SKX	B	100,000	33.39	n/a	7-Dec	4,500.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	002	SNDK	B	10,000	42.88	n/a	n/a	500.00	5.0
SUTERA	160	STXN	S	5,000	5.00	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	TK	B	10,300	43.74	n/a	n/a	515.00	5.0
STERLING JOHNSTON	035	TOMO	B	60,000	15.61	n/a	n/a	3,000.00	5.0
LOCUS WOOD CAPITAL MGMT	044	TWX	S	50,000	21.84	n/a	n/a	2,000.00	4.0
ZOLIDIS	011	UA	C	250	51.25	n/a	n/a	0.00	0.0
SAXON WOODS ADVISORS	038	WB	S	10,510	57.10	n/a	n/a	525.50	5.0
Õ Glenn Redemann		WGO	B	2	0.73	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WGO	B	3	0.47	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	031	WLP	B	48,700	78.72	n/a	n/a	1,948.00	4.0
LEDDY	035	WLV	B	1,100	1.19	n/a	n/a	0.00	0.0
EFSTATHIOU	044	WMTAW	B	20	0.34	n/a	n/a	0.00	0.0
LOCUS WOOD CAPITAL MGMT	044	WMT	B	50,000	46.37	5-Oct	29-Dec	2,000.00	4.0
TOTAL (Trade Date) :								105,572.00	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/28	APEX CAPITAL MGMT.	002	CPWM	B	26,500	9.99	n/a	n/a	1060.00 4.0
AO,CRT	12/28	APEX CAPITAL MGMT.	002	CPWM	B	26,500	9.99	n/a	n/a	1325.00 5.0
AO,CXL	12/28	STRAUSS ASSET MGMT	040	EMU	B	7,500	8.20	n/a	n/a	225.00 3.0
AO,CRT	12/28	STRAUSS ASSET MGMT	040	EMU	B	7,500	8.20	n/a	n/a	300.00 4.0
AO,CRT	12/28	MKT MAKER	031	GIII	SS	81	19.09	n/a	n/a	0.00 0.0
AO,CXL	12/28	BONANZA PARTNERS	031	GIII	SS	30,300	19.09	n/a	n/a	0.00 0.0
AO,CRT	12/28	BONANZA PARTNERS	031	GIII	SS	30,300	19.09	n/a	n/a	1515.00 5.0
AO,CRT	12/28	CHILTON CAPITAL MGMT.	036	SWY	S	100,000	35.06	n/a	n/a	0.04 0.0
AO,CXL	12/28	CHILTON CAPITAL MGMT.	036	SWY	S	100,000	35.06	n/a	n/a	1.40 0.0

TOTAL (AO, CXL, CRT) :**1,853.64**

TOTAL (Trade Date):

105,572.00

TOTAL (AO, CXL, CRT):

1,853.64

TOTAL (RUN DATE) / NFS:**107,425.64**

MRI Securities with Trade Activity on 29-Dec.

AVZ

MRI Securities without Trade Activity on 29-Dec.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 23%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION