



Daily Compliance Activity Report

Trade Date: 03/27/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRUSTCO/SUNTRUST	040	ABG	B	23,900	14.40	25-Jan	22-Feb	764.80	3.2
LEDDY	035	ABG	S	1,800	14.44	25-Jan	22-Feb	0.00	0.0
VENTANA CAPITAL	060	AKNS	B	10,000	6.69	n/a	n/a	400.00	4.0
AMATURO	018	AKNS	B	1,000	6.49	n/a	n/a	0.00	0.0
AMATURO	018	AKNS	S	1,000	7.60	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	AMAT	B	492,942	20.13	n/a	n/a	17,793.26	3.0
COUGAR TRADING	044	AN	SS	5,000	15.22	n/a	8-Feb	250.00	5.0
SINCLAIR	031	APCEM	S	40	4.00	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	ARGN	B	5,000	15.10	n/a	25-Feb	250.00	5.0
LEDDY	035	ARGN	B	1,600	15.76	n/a	25-Feb	0.00	0.0
ZOLIDIS	011	ARO	B	500	28.00	29-Nov	14-Mar	0.00	0.0
MOORE CAPITAL	036	ASML	B	100,000	23.88	n/a	n/a	4,000.00	4.0
SANDLER O'NEILL ASSET	031	BAC	B	50,000	38.95	13-Nov	17-Mar	2,500.00	5.0
MOORE CAPITAL	036	BBY	SS	100,000	42.36	n/a	27-Jul	4,000.00	4.0
PHILADELPHIA FINANCIAL	033	BEN	S	22,703	100.41	24-Mar	26-Mar	1,135.15	5.0
CENTURION PARTNERS	255	BK	S	25,000	42.45	19-Oct	10-Mar	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRONET	030	CALM	B	1,000	40.41	n/a	n/a	0.00	0.0
LUCHANSKY	036	CALM	B	100	40.43	n/a	n/a	0.00	0.0
LUCHANSKY	036	CALM	S	100	36.75	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	CCU	S	5,000	29.98	n/a	n/a	250.00	5.0
N STRATTON	014	CHIC	B	198	17.30	n/a	n/a	0.00	0.0
GRUSS CAPITAL	045	CKR	B	10,000	11.33	n/a	n/a	400.00	4.0
BUCK	015	CMA	C	700	36.43	n/a	n/a	0.00	0.0
OGORMAN	046	CMO	S	1,500	12.40	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	COH	SS	40,000	30.85	24-Oct	14-Mar	1,600.00	4.0
WHEELER	008	CPNID	S	219	2.20	n/a	n/a	0.00	0.0
GAULT	063	CPN	S	1,123	18.59	n/a	n/a	0.00	0.0
MERRILL ASSET	032	CRR	B	12,700	39.31	13-Feb	26-Mar	571.50	4.5
PRINCIPLE CAPITAL	040	DKS	SS	70,000	27.26	n/a	14-Mar	2,100.00	3.0
BUCK CAPITAL MGMT	001	DSW	S	20,000	13.87	26-Oct	20-Mar	800.00	4.0
NOMURA CAPITAL	045	DTG	B	3,600	14.20	n/a	n/a	180.00	5.0
OGORMAN	046	DXD	B	260	55.99	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STADIA CAPITAL	035	EL	B	25,000	46.10	4-Jan	4-Feb	1,000.00	4.0
GAULT	063	EXLP	B	1,500	29.70	n/a	n/a	0.00	0.0
N STRATTON	014	FBEI	B	290	12.00	n/a	n/a	0.00	0.0
N STRATTON	014	FBSI	B	300	16.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	10,000	40.05	n/a	n/a	400.00	4.0
TRIVIUM CAP MGMT	251	GLW	SS	50,000	24.40	n/a	27-Mar	2,000.00	4.0
BELMONT GLOBAL ADV	248	GMO	B	30,000	8.18	n/a	n/a	1,500.00	5.0
AMATURO	018	GMO	B	1,000	8.23	n/a	n/a	0.00	0.0
EFSTATHIOU	044	GMO	B	1,000	8.05	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	SS	10,000	20.35	n/a	7-Mar	500.00	5.0
BUCK	015	HD	SS	700	28.12	n/a	27-Jul	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	HON	B	25,000	55.16	n/a	25-Jan	1,000.00	4.0
FARALLON CAP MGMT	040	IAI	B	215,000	38.96	n/a	n/a	8,600.00	4.0
CROWLEY	061	ILA	B	5,000	3.21	n/a	n/a	0.00	0.0
BARR	096	IOM	S	7,000	3.56	n/a	n/a	0.00	0.0
GENERAL ELECTIC CO	033	IRM	B	112,365	25.21	n/a	n/a	2,247.30	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	ITT	SS	25,000	52.70	n/a	6-Feb	1,250.00	5.0
LEDDY	035	JADE	B	2,800	3.08	n/a	n/a	0.00	0.0
PAW PARTNERS	041	JAS	B	23,600	15.11	n/a	n/a	1,180.00	5.0
CENTURION GLOBAL MGMT LL	041	JBX	S	5,300	26.87	n/a	24-Apr	159.00	3.0
BUCK	015	JWN	SS	1,000	35.21	26-Feb	10-Mar	0.00	0.0
NORTHPOINT	030	KOG	B	63,384	1.77	n/a	n/a	1,901.52	3.0
BEAR STEARNS ASSET MGMT.	033	KR	B	150,000	25.01	n/a	n/a	6,000.00	4.0
GRUBER MACBAINE	033	KSS	B	20,000	45.57	4-Jan	7-Mar	800.00	4.0
TYEE/TYGH	035	KVA	S	300	25.71	n/a	n/a	12.00	4.0
MS PRINCIPAL STRATEGIES	041	LAZ	SS	13,300	38.06	n/a	n/a	665.00	5.0
EMP ERROR	099	LEH	B	1,000	40.32	19-Mar	19-Mar	0.00	0.0
EMP ERROR	099	LEH	S	1,000	40.00	19-Mar	19-Mar	0.00	0.0
SINCLAIR	031	LESJJ	B	10	4.80	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	LLY	SS	50,000	50.48	n/a	n/a	2,500.00	5.0
IRIDIAN ASSET MGMT.	036	LM DIA	B	46,940	22.54	n/a	n/a	2,347.00	5.0
ROYCE TRADING	216	MENT	B	25,000	9.06	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	MHS	S	259,389	43.86	n/a	n/a	10,375.56	4.0
FORD FDN.	032	MMM	S	15,000	78.43	n/a	24-Mar	750.00	5.0
ROYCE TRADING	216	MODT	B	10,000	0.26	n/a	n/a	300.00	3.0
GALLEON MGMT LP	033	MRK	B	10,000	45.29	n/a	n/a	500.00	5.0
SAXON WOODS ADVISORS	038	MRK	S	150,000	44.65	n/a	n/a	6,000.00	4.0
BUCK	015	MSFT	C	1,000	28.10	n/a	3-Apr	0.00	0.0
LEDDY	035	NEXC	B	800	3.47	n/a	n/a	0.00	0.0
HART	056	NEXC	B	295	3.34	n/a	n/a	0.00	0.0
COUGAR TRADING	044	NWY	B	20,000	5.98	n/a	20-Mar	1,000.00	5.0
BUCK CAPITAL MGMT	001	OXM	SS	10,000	23.06	n/a	n/a	400.00	4.0
BALYASNY ASSET MGMT	044	PAG	SS	37,500	19.80	6-Feb	20-Mar	1,875.00	5.0
COUGAR TRADING	044	PAG	SS	10,000	19.57	6-Feb	20-Mar	500.00	5.0
WOOSTER CAPITAL	035	PAG	SS	75,000	19.55	6-Feb	20-Mar	3,750.00	5.0
ROYCE TRADING	216	PENX	B	5,000	21.42	n/a	n/a	250.00	5.0
BUCK	015	PEP	B	800	71.44	n/a	n/a	0.00	0.0
TRUGENT CAPITAL	031	PKG	B	26,700	22.06	23-Jan	23-Jan	1,068.00	4.0
AMBER CAPITAL	046	PRM	S	84,617	7.00	n/a	n/a	3,384.68	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MS PRINCIPAL STRATEGIES	041	QMAR	S	78,100	22.54	n/a	n/a	3,905.00	5.0
PAKLER	029	QSYGC	S	14	0.60	n/a	n/a	0.00	0.0
LEDDY	035	RL	C	300	61.74	7-Feb	15-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	RSH	SS	10,000	16.99	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	5.17	n/a	n/a	800.00	4.0
OGORMAN	046	SDS	B	230	63.24	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	SGP	B	112,300	19.28	n/a	n/a	5,615.00	5.0
MERRILL ASSET	032	SGY	B	15,600	53.15	n/a	n/a	780.00	5.0
GAULT	063	SKF	S	100	114.07	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	S	20,000	20.14	n/a	13-Mar	800.00	4.0
CATTANI	041	SLB	S	100	86.83	n/a	n/a	0.00	0.0
ROYCE TRADING	216	SONE	S	5,000	7.41	n/a	n/a	250.00	5.0
KORMAN	003	SORL	S	699	5.35	n/a	n/a	0.00	0.0
BARR	096	SYNP	S	1,775	7.81	n/a	n/a	0.00	0.0
GRUBER MACBAINE	033	TGT	B	15,000	51.90	n/a	27-Jul	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	216	TQNT	S	10,000	5.20	n/a	n/a	500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	TUP	S	25,300	38.69	n/a	n/a	948.75	3.8
AMBER CAPITAL	046	UFS	B	129,700	6.47	n/a	n/a	5,188.00	4.0
FORD FDN.	032	UTX	S	25,000	69.86	n/a	n/a	1,250.00	5.0
RAINIER INV MGMT	035	WAB	S	37,300	36.60	n/a	n/a	1,865.00	5.0
GRANAHAN INV	039	WBC	B	1,000	45.89	n/a	7-Feb	40.00	4.0
ROYCE TRADING	216	WEDC	S	10,000	4.47	n/a	n/a	300.00	3.0
MILLENIUM PARTNERS	028	WIN	SS	40,000	11.91	n/a	n/a	2,000.00	5.0
JPMSI/TOM LEDDY	035	WSM	SS	30,000	23.73	n/a	n/a	1,500.00	5.0
MISSOURI VALLEY PART	046	WISLA	B	94,400	2.87	n/a	n/a	2,832.00	3.0
ROYCE TRADING	216	YRCW	S	10,000	13.55	n/a	n/a	500.00	5.0
ZOLIDIS	011	ZUMZ	SS	1,000	17.76	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								133,783.52	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/15	EMP ERROR (EMP ERROR)	099		B	20,000	82.88	n/a	n/a	0.00 0.0
AO,CXL	02/15	AMATURO (AMATURO)	018		B	20,000	82.88	n/a	n/a	0.00 0.0
AO,CRT	03/24	EMP ERROR (EMP ERROR)	099		S	20,000	82.00	n/a	n/a	0.00 0.0
AO,CXL	03/24	AMATURO (AMATURO)	018		S	20,000	82.00	n/a	n/a	0.00 0.0
CRT	03/27	AMATURO (AMATURO)	018	AKNS	B	100	6.47	n/a	n/a	0.00 0.0
CRT	03/27	AMATURO (AMATURO)	018	AKNS	S	1,000	7.60	n/a	n/a	0.00 0.0
AO,CRT	03/26	BELMONT GLOBAL ADV (BELMJEFFPB)	248	CDE	B	100,000	3.97	n/a	n/a	3000.00 3.0
AO,CXL	03/26	BELMONT GLOBAL ADV (BELMJEFFPB)	248	CDE	B	100,000	3.97	n/a	n/a	5000.00 5.0
AO,CRT	03/19	NOMURA CAPITAL (NOMUBPD)	045	DAL	S	3,436	10.66	n/a	n/a	171.80 5.0
AO,CXL	03/19	NOMURA CAPITAL (NOMUBATT2)	045	DAL	S	3,436	10.66	n/a	n/a	171.80 5.0
AO,CRT	03/20	NOMURA CAPITAL (NOMUBPD)	045	DAL	S	700	10.50	n/a	n/a	35.00 5.0
AO,CXL	03/20	NOMURA CAPITAL (NOMUBATT2)	045	DAL	S	700	10.50	n/a	n/a	35.00 5.0
AO,	03/26	NOMURA CAPITAL (NOMUSTRATE)	045	DTG	B	1,800	14.42	n/a	n/a	90.00 5.0
AO,	03/26	NOMURA CAPITAL (NOMUBATT2)	045	DTG	B	1,900	14.42	n/a	n/a	95.00 5.0
AO,	03/26	NOMURA CAPITAL (NOMUBSMAN)	045	DTG	B	3,950	14.42	n/a	n/a	197.50 5.0
AO,CRT	03/20	NOMURA CAPITAL (NOMUBPD)	045	FEMO	S	2,000	19.85	n/a	n/a	100.00 5.0
AO,CXL	03/20	NOMURA CAPITAL (NOMUBATT2)	045	FEMO	S	2,000	19.85	n/a	n/a	100.00 5.0
AO,CRT	03/04	AMATURO (AMATURO)	018	GMO	B	2,000	10.23	n/a	n/a	0.00 0.0
AO,CXL	03/04	AMATURO (AMATURO)	018	GMO	B	2,000	10.23	n/a	n/a	0.00 0.0
AO,CRT	03/07	AMATURO (AMATURO)	018	GMO	B	2,000	9.28	n/a	n/a	0.00 0.0
AO,CXL	03/07	AMATURO (AMATURO)	018	GMO	B	2,000	9.28	n/a	n/a	0.00 0.0
CRT	03/27	AMATURO (AMATURO)	018	GMO	B	30	8.23	n/a	n/a	0.00 0.0
AO,CRT	02/19	AMATURO (AMATURO)	018	GSI	B	3,000	7.50	n/a	n/a	0.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO, CXL	02/19	AMATURO (AMATURO)	018	GSI	B	3,000	7.50	n/a	n/a	0.00	0.0
AO, CRT	10/05	AMATURO (AMATURO)	018	GSI	B	1,000	16.90	n/a	n/a	0.00	0.0
AO, CXL	10/05	AMATURO (AMATURO)	018	GSI	B	1,000	16.90	n/a	n/a	0.00	0.0
AO, CXL	11/20	AMATURO (AMATURO)	018	GSI	B	4,000	9.25	n/a	n/a	0.00	0.0
AO, CRT	11/20	AMATURO (AMATURO)	018	GSI	B	4,000	9.25	n/a	n/a	0.00	0.0
AO, CRT	11/13	AMATURO (AMATURO)	018	GSI	S	1,000	12.00	n/a	n/a	0.00	0.0
AO, CXL	11/13	AMATURO (AMATURO)	018	GSI	S	1,000	12.00	n/a	n/a	0.00	0.0
AO, CRT	03/20	NOMURA CAPITAL (NOMUBPD)	045	HAYZ	S	10,000	3.01	n/a	n/a	300.00	3.0
AO, CXL	03/20	NOMURA CAPITAL (NOMUBATT2)	045	HAYZ	S	10,000	3.01	n/a	n/a	500.00	5.0
CRT	03/27	EMP ERROR (EMP ERROR)	099	LEH	B	1,000	40.32	19-Mar	19-Mar	0.00	0.0
AO, CXL	03/26	HOLLIS CAPITAL (HOLLBS)	033	LII	SS	3,000	36.86	n/a	n/a	120.00	4.0
AO, CRT	03/26	HOLLIS CAPITAL (HOLLBS)	033	LII	SS	3,000	36.86	n/a	n/a	150.00	5.0
AO, CRT	03/19	NOMURA CAPITAL (NOMUBPD)	045	NWA	S	5,000	10.57	n/a	n/a	250.00	5.0
AO, CXL	03/19	NOMURA CAPITAL (NOMUBATT2)	045	NWA	S	5,000	10.57	n/a	n/a	250.00	5.0
AO, CRT	03/20	NOMURA CAPITAL (NOMUBPD)	045	NWA	S	2,108	10.50	n/a	n/a	105.40	5.0
AO, CXL	03/20	NOMURA CAPITAL (NOMUBATT2)	045	NWA	S	2,108	10.50	n/a	n/a	105.40	5.0
AO, CXL	03/25	MS PRINCIPAL STRATEGIES (MSPSMS)	041	QMAR	S	83,688	22.08	n/a	n/a	4184.40	5.0
AO, CRT	03/25	MS PRINCIPAL STRATEGIES (MSPSMS)	041	QMAR	S	83,688	22.08	n/a	n/a	3347.52	4.0
TOTAL (AO, CXL, CRT) :										-2,624.38	

TOTAL (Trade Date):

133,783.52

TOTAL (AO, CXL, CRT):

-2,624.38

TOTAL (RUN DATE) / NFS:

131,159.14

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION