

## **Daily Compliance Activity Report** Trade Date: 07/22/2009

## **POTENTIAL ANALYST TRADE VIOLATIONS: 0**

					101		MALLIOI	Heibl vio	LITTIONS:
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CATAPULT	040	AAN	В	75,000	27.93	n/a	n/a	3,000.00	4.0
EPOCH INV MGMT	030	AAPL	S	2,800	157.44	n/a	n/a	112.00	4.0
CATAPULT	040	AIPC	В	10,000	29.46	n/a	n/a	400.00	4.0
GREEN ARROW CAPITAL	034	AKS	SS	25,000	18.57	n/a	n/a	1,250.00	5.0
ROTHSCHILD ASSET	040	AKS	В	27 <b>,</b> 689	18.87	n/a	n/a	1,246.01	4.5
BUCK CAPITAL MGMT	001	ANF	В	50,000	26.77	3-Jun	10-Jul	2,000.00	4.0
BUCK CAPITAL MGMT	001	ARO	s	10,000	38.34	n/a	10-Jul	400.00	4.0
SCOPIA CAPITAL	048	AWK	В	30,000	18.86	n/a	n/a	1,200.00	4.0
LAGRANGE CAP ADV	048	AXL	В	30,000	1.32	n/a	9-Jul	900.00	3.0
BUCK CAPITAL MGMT	001	BIG	В	20,000	21.84	28-May	6-Jul	800.00	4.0
ACK ASSET	028	CAKE	S	21,000	18.67	29-May	21-Jul	630.00	3.0
NEWCASTLE FUNDS	255	CAKE	SS	50,000	18.14	29-May	21-Jul	2,000.00	4.0
ARAGON GLOBAL	041	CAT	В	2,400	38.56	n/a	22-Jul	36.00	1.5
SONTERRA CAP	041	CAT	В	10,000	38.63	n/a	22-Jul	400.00	4.0
COUGAR TRADING	044	СОН	S	2,500	28.55	n/a	16-Jul	125.00	5.0
M&I FUNDS	256	СОН	S	6,954	28.57	n/a	16-Jul	278.16	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
EPOCH INV MGMT	030	COL	S	4,200	41.98	n/a	n/a	168.00	4.0
CHART WELL ADV	028	CRR	В	31,275	38.87	1-May	1-Jul	1,251.00	4.0
GREEN ARROW CAPITAL	034	EAT	S	25,000	16.98	n/a	24-Apr	1,250.00	5.0
BUCK CAPITAL MGMT	001	FDO	В	77,501	30.79	n/a	8-Jul	3,100.04	4.0
ARMATURE CAPITAL	041	F	В	50,000	6.41	n/a	16-Jul	2,000.00	4.0
BRENCOURT	028	F	В	50,000	6.31	n/a	16-Jul	1,500.00	3.0
BUCK CAPITAL MGMT	001	GME	В	40,000	23.33	n/a	n/a	1,600.00	4.0
BALYASNY ASSET MGMT	044	GNTX	S	20,000	14.42	10-Jun	16-Jul	1,000.00	5.0
BUCK CAPITAL MGMT	001	IGT	SS	100,000	17.15	n/a	27-Apr	4,000.00	4.0
CSFB GLOBAL PROPRIETARY	041	IP	В	135,000	17.67	21-Jul	21-Jul	6,750.00	5.0
MATLIN PATTERSON	046	JWN	В	100,000	24.08	20-Feb	10-Jul	3,000.00	3.0
PILOT ROCK	028	JWN	В	50,000	24.27	20-Feb	10-Jul	2,000.00	4.0
STELLIAM INV ADV	034	KEY	S	25,000	4.48	n/a	n/a	750.00	3.0
BUCK CAPITAL MGMT	001	LVS	S	60,000	10.02	n/a	14-Jul	2,400.00	4.0
CIRCLE T/SES PART	035	LVS	S	25,000	10.37	n/a	14-Jul	1,000.00	4.0
STADIA CAPITAL	035	MEE	S	60,100	21.51	n/a	n/a	2,404.00	4.0
STRONG/MARK GRONET	030	MNI	S	50,000	1.04	n/a	n/a	500.00	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
APEX CAPITAL MGMT.	091	NVDA	В	30,000	12.00	n/a	n/a	1,200.00	4.0
SIGMA CAPITAL ASSOCIATES	041	OI	S	15,000	30.63	n/a	n/a	600.00	4.0
EPOCH INV MGMT	030	PCAR	S	4,350	33.27	25-Mar	26-Jun	174.00	4.0
PROSPECTOR PARTNERS LLC	036	PCZ	S	30,000	41.93	n/a	n/a	1,200.00	4.0
CATAPULT	040	PFE	В	50,000	16.00	n/a	31-Mar	2,500.00	5.0
EPOCH INV MGMT	030	PM	S	3,750	44.00	n/a	n/a	150.00	4.0
BALYASNY ASSET MGMT	044	PTV	S	25,000	24.69	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	PVH	s	20,000	33.07	20-Apr	21-May	800.00	4.0
SIGMA CAPITAL ASSOCIATES	041	SBUX	S	25,000	17.30	20-Apr	21-Jul	1,000.00	4.0
CATAPULT	040	SCHL	В	10,000	19.50	n/a	n/a	400.00	4.0
REICH AND TANG	067	SEH	S	15,000	11.21	n/a	n/a	750.00	5.0
CAXTON PART	040	SMG	S	6,683	39.70	10-Jun	9-Jul	233.92	3.5
GCORE MANAGEMENT	252	SMH	В	50,000	24.30	n/a	n/a	2,500.00	5.0
STADIA CAPITAL	035	SNDK	В	13,400	18.99	n/a	n/a	536.00	4.0
EPOCH INV MGMT	030	SUG	S	2,300	19.05	n/a	n/a	92.00	4.0
	APEX CAPITAL MGMT.  SIGMA CAPITAL ASSOCIATES  EPOCH INV MGMT  PROSPECTOR PARTNERS LLC  CATAPULT  EPOCH INV MGMT  BALYASNY ASSET MGMT  BUCK CAPITAL MGMT  SIGMA CAPITAL ASSOCIATES  CATAPULT  REICH AND TANG  CAXTON PART  GCORE MANAGEMENT  STADIA CAPITAL	APEX CAPITAL MGMT.  SIGMA CAPITAL ASSOCIATES 041  EPOCH INV MGMT 030  PROSPECTOR PARTNERS LLC 036  CATAPULT 040  EPOCH INV MGMT 030  BALYASNY ASSET MGMT 044  BUCK CAPITAL MGMT 001  SIGMA CAPITAL ASSOCIATES 041  CATAPULT 040  REICH AND TANG 067  CAXTON PART 040  GCORE MANAGEMENT 252  STADIA CAPITAL 035	APEX CAPITAL MGMT.  SIGMA CAPITAL ASSOCIATES 041 OI  EPOCH INV MGMT 030 PCAR  PROSPECTOR PARTNERS LLC 036 PCZ  CATAPULT 040 PFE  EPOCH INV MGMT 030 PM  BALYASNY ASSET MGMT 044 PTV  BUCK CAPITAL MGMT 001 PVH  SIGMA CAPITAL ASSOCIATES 041 SBUX  CATAPULT 040 SCHL  REICH AND TANG 067 SEH  CAXTON PART 040 SMG  GCORE MANAGEMENT 252 SMH  STADIA CAPITAL 035 SNDK	APEX CAPITAL MGMT.  SIGMA CAPITAL ASSOCIATES 041 OI S EPOCH INV MGMT 030 PCAR S PROSPECTOR PARTNERS LLC 036 PCZ S CATAPULT 040 PFE B EPOCH INV MGMT 030 PM S BALYASNY ASSET MGMT 044 PTV S BUCK CAPITAL MGMT 001 PVH S SIGMA CAPITAL ASSOCIATES 041 SBUX S CATAPULT 040 SCHL B REICH AND TANG 067 SEH S CAXTON PART 040 SMG S GCORE MANAGEMENT 252 SMH B STADIA CAPITAL 035 SNDK B	APEX CAPITAL MGMT.  091 NVDA B 30,000  SIGMA CAPITAL ASSOCIATES 041 OI S 15,000  EPOCH INV MGMT 030 PCAR S 4,350  PROSPECTOR PARTNERS LLC 036 PCZ S 30,000  CATAPULT 040 PFE B 50,000  EPOCH INV MGMT 030 PM S 3,750  BALYASNY ASSET MGMT 044 PTV S 25,000  BUCK CAPITAL MGMT 001 PVH S 20,000  SIGMA CAPITAL ASSOCIATES 041 SBUX S 25,000  CATAPULT 040 SCHL B 10,000  REICH AND TANG 067 SEH S 15,000  CAXTON PART 040 SMG S 6,683  GCORE MANAGEMENT 252 SMH B 50,000  STADIA CAPITAL 035 SNDK B 13,400	APEX CAPITAL MGMT.  091 NVDA B 30,000 12.00  SIGMA CAPITAL ASSOCIATES 041 OI S 15,000 30.63  EPOCH INV MGMT 030 PCAR S 4,350 33.27  PROSPECTOR PARTNERS LLC 036 PCZ S 30,000 41.93  CATAPULT 040 PFE B 50,000 16.00  EPOCH INV MGMT 030 PM S 3,750 44.00  BALYASNY ASSET MGMT 044 PTV S 25,000 24.69  BUCK CAPITAL MGMT 001 PVH S 20,000 33.07  SIGMA CAPITAL ASSOCIATES 041 SBUX S 25,000 17.30  CATAPULT 040 SCHL B 10,000 19.50  REICH AND TANG 067 SEH S 15,000 11.21  CAXTON PART 040 SMG S 6,683 39.70  GCORE MANAGEMENT 252 SMH B 50,000 24.30  STADIA CAPITAL 035 SNDK B 13,400 18.99	APEX CAPITAL MGMT. 091 NVDA B 30,000 12.00 n/a  SIGMA CAPITAL ASSOCIATES 041 OI S 15,000 30.63 n/a  EPOCH INV MGMT 030 PCAR S 4,350 33.27 25-Mar  PROSPECTOR PARTNERS LLC 036 PCZ S 30,000 41.93 n/a  CATAPULT 040 PFE B 50,000 16.00 n/a  EPOCH INV MGMT 030 PM S 3,750 44.00 n/a  BALYASNY ASSET MGMT 044 PTV S 25,000 24.69 n/a  BUCK CAPITAL MGMT 001 PVH S 20,000 33.07 20-Apr  SIGMA CAPITAL ASSOCIATES 041 SBUX S 25,000 17.30 20-Apr  CATAPULT 040 SCHL B 10,000 19.50 n/a  REICH AND TANG 067 SEH S 15,000 11.21 n/a  CAXTON PART 040 SMG S 6,683 39.70 10-Jun  GCORE MANAGEMENT 252 SMH B 50,000 24.30 n/a  STADIA CAPITAL 035 SNDK B 13,400 18.99 n/a	APEX CAPITAL MGMT.  091 NVDA B 30,000 12.00 n/a n/a  SIGMA CAPITAL ASSOCIATES 041 0I S 15,000 30.63 n/a n/a  EPOCH INV MGMT 030 PCAR S 4,350 33.27 25-Mar 26-Jun  PROSPECTOR PARTNERS LLC 036 PC2 S 30,000 41.93 n/a n/a  CATAPULT 040 PFE B 50,000 16.00 n/a 31-Mar  EPOCH INV MGMT 030 PM S 3,750 44.00 n/a n/a  BALYASNY ASSET MGMT 044 PTV S 25,000 24.69 n/a n/a  BUCK CAPITAL MGMT 001 PVH S 20,000 33.07 20-Apr 21-May  SIGMA CAPITAL ASSOCIATES 041 SBUX S 25,000 17.30 20-Apr 21-Jul  CATAPULT 040 SCHL B 10,000 19.50 n/a n/a  REICH AND TANG 067 SEH S 15,000 11.21 n/a n/a  CAXTON PART 040 SMG S 6,683 39.70 10-Jun 9-Jul  GCORE MANAGEMENT 252 SMH B 50,000 24.30 n/a n/a  STADIA CAPITAL 035 SNDK B 13,400 18.99 n/a n/a	APEX CAPITAL MGMT.  091 NVDA B 30,000 12.00 n/a n/a 1,200.00  SIGMA CAPITAL ASSOCIATES 041 0I S 15,000 30.63 n/a n/a 600.00  EPOCH INV MGMT 030 PCAR S 4,350 33.27 25-Mar 26-Jun 174.00  PROSPECTOR PARINERS LLC 036 PCZ S 30,000 41.93 n/a n/a 1,200.00  CATAPULT 040 PFE B 50,000 16.00 n/a 31-Mar 2,500.00  EPOCH INV MGMT 030 PM S 3,750 44.00 n/a n/a 150.00  BALLYASNY ASSET MGMT 044 PTV S 25,000 24.69 n/a n/a n/a 1,250.00  BUCK CAPITAL MGMT 01 PVH S 20,000 33.07 20-Apr 21-Jul 1,000.00  SIGMA CAPITAL ASSOCIATES 041 SBUX S 25,000 17.30 20-Apr 21-Jul 1,000.00  CATAPULT 040 SCHL B 10,000 19.50 n/a n/a 400.00  REICH AND TANG 067 SEH S 15,000 11.21 n/a n/a 750.00  CAXTON PART 040 SMC S 6,683 39.70 10-Jun 9-Jul 233.92  GCORE MANAGEMENT 252 SMH B 50,000 24.30 n/a n/a 536.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
FRIESS MGMT	033	SYMC	В	606,800	16.84	n/a	3-Apr	24,272.00	4.0
BASIX	033	TIN	В	25,000	15.02	21-Jul	21-Jul	750.00	3.0
MILLENIUM PARTNERS	028	TIN	В	20,000	14.92	21-Jul	21-Jul	1,000.00	5.0
RBC CAPITAL MARKETS	040	TIN	В	15,000	14.94	21-Jul	21-Jul	600.00	4.0
SENATOR INVESTMENT GROUP	036	TIN	S	20,000	14.97	21-Jul	21-Jul	600.00	3.0
STADIA CAPITAL	035	TIN	В	71,900	15.02	21-Jul	21-Jul	2,876.00	4.0
RBC CAPITAL MARKETS	040	VMC	В	5,000	43.14	n/a	n/a	200.00	4.0
STRONG/MARK GRONET	030	VSH	S	20,000	7.18	n/a	n/a	600.00	3.0
EPOCH INV MGMT	030	VTR	S	1,500	31.81	n/a	n/a	60.00	4.0
SCHOTTENFELD ASSOC	041	WFC	В	25,000	23.75	n/a	n/a	750.00	3.0
EPOCH INV MGMT	030	WFR	S	1,600	20.54	n/a	n/a	64.00	4.0
BUCK CAPITAL MGMT	001	WHR	В	51,600	53.60	n/a	n/a	1,600.00	2.7
BUCK CAPITAL MGMT	001	WHR	SS	11,600	53.63	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	s	10,000	35.83	13-May	13-May	400.00	4.0
SCOPIA CAPITAL	048	WTR	SS	25,000	17.52	n/a	n/a	1,000.00	4.0

TOTAL (Trade Date): 97,608.13

## Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO, CXL	07/21	BUCK CAPITAL MGMT (BUCKGILAGX)	001	ANF	В	300	26.27	3-Jun	10-Jul	12.00	4.0
AO, CRT	07/21	BUCK CAPITAL MGMT (BUCKGIL)	001	ANF	В	300	26.27	3-Jun	10-Jul	12.00	4.0
7.0	07/21	CTDONG /MADY CDONET (COMCCT2210)	020	7. 1.11.1	C	1 5 5	7 63	2/2	2/2	4 65	3 0
AO,	07/21 07/21	STRONG/MARK GRONET (SCMGST2319) STRONG/MARK GRONET (SCMGST3911)	030 030	ANH ANH	S S	155 140	7.63 7.63	n/a n/a	n/a n/a	4.65 4.20	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST13911) STRONG/MARK GRONET (SCMGST1863)	030	ANH			7.63		n/a	54.00	3.0
AO,					S	1,800 435	7.63	n/a		13.05	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST252)	030	ANH	S		7.63	n/a	n/a	37.20	
AO,	07/21	STRONG/MARK GRONET (SCMGST748)	030	ANH	S	1,240		n/a	n/a		3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST879)	030	ANH	S	910	7.63	n/a	n/a	27.30	3.0
AO,	07/21	STRONG/MARK GRONET (SCMG20501)	030	ANH	S	705	7.63	n/a	n/a	21.15	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST154)	030	ANH	S	4,025	7.63	n/a	n/a	120.75	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST323)	030	ANH	S	725	7.63	n/a	n/a	21.75	3.0
AO,	07/21	STRONG/MARK GRONET (SCMG829101)	030	ANH	S	1,130	7.63	n/a	n/a	33.90	3.0
AO,	07/21	STRONG/MARK GRONET (SCMG701331)	030	ANH	S	240	7.63	n/a	n/a	7.20	3.0
AO,	07/21	STRONG/MARK GRONET (SCMG203063)	030	ANH	S	185	7.63	n/a	n/a	5.55	3.0
AO,	07/21	STRONG/MARK GRONET (SCMG200722)	030	ANH	S	1,355	7.63	n/a	n/a	40.65	3.0
AO,	07/21	STRONG/MARK GRONET (SCMG202363)	030	ANH	S	1,105	7.63	n/a	n/a	33.15	3.0
AO,	07/21	STRONG/MARK GRONET (SCMG187746)	030	ANH	S	730	7.63	n/a	n/a	21.90	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST1758)	030	ANH	S	645	7.63	n/a	n/a	19.35	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST870)	030	ANH	S	185	7.63	n/a	n/a	5.55	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST589)	030	ANH	S	480	7.63	n/a	n/a	14.40	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST588)	030	ANH	S	145	7.63	n/a	n/a	4.35	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST569)	030	ANH	S	270	7.63	n/a	n/a	8.10	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST574)	030	ANH	S	1,805	7.63	n/a	n/a	54.15	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST821)	030	ANH	S	655	7.63	n/a	n/a	19.65	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST364)	030	ANH	S	335	7.63	n/a	n/a	10.05	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST368)	030	ANH	S	70	7.63	n/a	n/a	2.10	3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	07/21	STRONG/MARK GRONET (SCMGST268)	030	ANH	S	2,345	7.63	n/a	n/a	70.35	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST554)	030	ANH	S	205	7.63	n/a	n/a	6.15	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST555)	030	ANH	S	195	7.63	n/a	n/a	5.85	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST606)	030	ANH	S	420	7.63	n/a	n/a	12.60	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST161)	030	ANH	S	160	7.63	n/a	n/a	4.80	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST9919)	030	ANH	S	6,800	7.63	n/a	n/a	204.00	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST545)	030	ANH	S	270	7.63	n/a	n/a	8.10	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST503)	030	ANH	S	195	7.63	n/a	n/a	5.85	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST13)	030	ANH	S	410	7.63	n/a	n/a	12.30	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST11)	030	ANH	S	145	7.63	n/a	n/a	4.35	3.0
AO,	07/21	STRONG/MARK GRONET (SCMGST9966)	030	ANH	S	655	7.63	n/a	n/a	19.65	3.0
AO, CXL	07/21	LISTED ERR (LISTED ERR)	099	ESL	S	200	28.08	n/a	n/a	0.00	0.0
AO,	07/21	OZ MGMT (OZFFNFS)	040	GE	SS	557,085	11.40	n/a	n/a	16712.55	3.0
AO,	07/21	OZ MGMT (OZFFNFS)	040	GE	SS	84,633	11.40	n/a	n/a	2538.99	3.0
AO,	07/21	OZ MGMT (OZFFMS)	040	GE	SS	18,471	11.40	n/a	n/a	554.13	3.0
AO,	07/21	OZ MGMT (OZFFMS)	040	GE	SS	13,216	11.40	n/a	n/a	396.48	3.0
AO,	07/21	OZ MGMT (OZFFMS)	040	GE	SS	26,595	11.40	n/a	n/a	797.85	3.0
AO,CXL	07/21	BNP PARIBAS SEC (BNPPMAIN)	040	IP	В	25,000	17.62	21-Jul	21-Jul	1000.00	4.0
AO, CRT	07/21	BNP PARIBAS SEC (BNPPMAIN)	040	IP	В	25,000	17.62	21-Jul	21-Jul	1250.00	5.0
AO, CRT	07/21	MILLENIUM PARTNERS (MILPCREDSU)	028	IP	S	15,000	17.53	21-Jul	21-Jul	750.00	5.0
AO,CXL	07/21	MILLENIUM PARTNERS (MILPUSE)	028	IP	S	15,000	17.53	21-Jul	21-Jul	750.00	5.0
AO,CXL	07/21	KARSCH CAPITAL (KARSKBL)	034	SGP	В	1,615	26.51	n/a	n/a	48.45	3.0
AO,	07/21	KARSCH CAPITAL (KARSKBL)	034	SGP	В	1,615	26.51	n/a	n/a	48.45	3.0
AO,CXL	07/21	KARSCH CAPITAL (KARSMORGAN)	034	SGP	В	370	26.51	n/a	n/a	11.10	3.0
AO,	07/21	KARSCH CAPITAL (KARSMORGAN)	034	SGP	В	370	26.51	n/a	n/a	11.10	3.0
AO,CXL	07/21	KARSCH CAPITAL (KARSGOLDMA)	034	SGP	В	108,890	26.51	n/a	n/a	3266.70	3.0

21 KARSCH CAPITAL (KARSGOLDMA) 034 SGP B 108,890 26.51 n/a n/a 3266.70 3.0  21 BUCK CAPITAL MGMT (BUCKJPMBS) 001 WWW SS 9,100 22.83 n/a 13-Mar 364.00 4.0  21 BUCK CAPITAL MGMT (BUCKMSMAIN) 001 WWW SS 9,100 22.83 n/a 13-Mar 364.00 4.0  CXL, CRT): 22,188.10	Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
21 BUCK CAPITAL MGMT (BUCKMSMAIN) 001 WWW SS 9,100 22.83 n/a 13-Mar 364.00 4.0	AO,	07/21	KARSCH CAPITAL (KARSGOLDMA)	034	SGP	В	108,890	26.51	n/a	n/a	3266.70	3.0
	AO, CRT	07/21	BUCK CAPITAL MGMT (BUCKJPMBS)	001	www	SS	9,100	22.83	n/a	13-Mar	364.00	4.0
, CXL, CRT): 22,188.10	AO, CXL	07/21	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	www	SS	9,100	22.83	n/a	13-Mar	364.00	4.0
			, ,							•		
	TOTAL	(AO, (	CXL, CRT):							22,	188.10	_

TOTAL (Trade Date):

TOTAL (AO, CXL, CRT):

TOTAL (RUN DATE) / NFS:

97,608.13

22,188.10

119,796.23

## Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION