



# RR Commissions Summary [ Chris Cattani ]

Trade Date: 12/31/2012

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (41)	041	0	0	0	0	0	0	13,476	0	0	13,476	0	0
ARMATURE CAPITAL	041	1,000	5,000	20,400	95,192	0	0	0	5,000	20,400	95,192	91,184	104
BMO NESBITT BURNS	041	0	0	0	20,730	0	0	0	0	0	20,730	117,961	18
BRANT POINT CAPITAL	041	0	0	0	0	0	0	0	0	0	0	25,000	0
CADIAN CAPITAL	041	0	0	0	11,550	0	0	0	0	0	11,550	25,020	46
CAERUS GLOBAL	041	0	0	15,216	61,691	0	0	0	0	15,216	61,691	71,892	86
CENTURION PARTNERS	041	0	0	20,907	46,987	0	0	0	0	20,907	46,987	7,600	618
COBALT	041	0	0	27,140	103,803	0	0	0	0	27,140	103,803	165,966	63
COHE & STEERS	041	0	0	4,853	74,414	0	0	0	0	4,853	74,414	62,389	119
COLUMBIA MGMT	041	0	0	0	0	0	3,215	5,875	0	3,215	5,875	69,935	8
CORVEX CAPITAL	041	0	0	9,750	30,016	0	0	1,000	0	9,750	31,016	20,285	153
CR INTRINSIC/CHRIS C	041	0	0	3,651	3,826	0	0	0	0	3,651	3,826	0	0
CSFB GLOBAL PROPRIETARY	041	0	0	53,480	425,383	0	0	0	0	53,480	425,383	206,047	206
DECADE (ARETE) CHRIS C	041	0	0	7,963	7,963	0	0	0	0	7,963	7,963	0	0
ETON PARK CAPITAL	041	0	0	0	67,770	0	0	0	0	0	67,770	158,127	43
FIRST NY SEC/CHRIS	041	0	0	5,500	34,239	0	0	0	0	5,500	34,239	51,234	67
GALT INVESTMENT	041	0	0	5,950	19,472	0	0	0	0	5,950	19,472	43,662	45
GREENLIGHT CAPITAL	041	0	0	0	0	0	15,000	25,000	0	15,000	25,000	37,500	67
HALCYON LLC	041	0	0	9,750	26,157	0	0	0	0	9,750	26,157	25,478	103
HIGHBRIDGE CAP	041	0	1,275	48,279	122,562	0	0	0	1,275	48,279	122,562	117,979	104
JAT CAPITAL MANAGEMENT	041	0	0	12,000	28,450	0	0	46,962	0	12,000	75,412	1,521	4958
JET CAPITAL/CHRIS	041	0	0	13,866	59,192	0	0	0	0	13,866	59,192	44,704	132
KAM ADVISORS LLC	041	0	0	25,623	89,699	0	0	0	0	25,623	89,699	20,727	433
KINGDON ASSET MGMT	041	0	0	24,280	117,902	0	0	0	0	24,280	117,902	197,000	60
LEVIN CAPITAL STRATEGIES	041	0	0	23,428	85,638	0	0	0	0	23,428	85,638	74,266	115
LUXOR CAPITAL	041	0	0	0	0	0	6,250	18,750	0	6,250	18,750	0	0
MA CAPITAL MGMT/MELLON ADV	041	0	0	0	10,345	0	0	0	0	0	10,345	43,233	24
MARSHALL WACE	041	0	0	0	0	0	0	57,500	0	0	57,500	135,000	43
MOORE CAPITAL	041	0	0	0	63,167	0	0	0	0	0	63,167	34,267	184
OMEGA ADVISORS	041	0	0	63,635	113,355	0	0	0	0	63,635	113,355	40,500	280
P.SCHOENFELD ASSET MGMT LLC	041	0	0	6,000	12,773	0	0	0	0	6,000	12,773	0	0
PAULSON & CO INC	041	0	0	21,000	41,600	0	0	0	0	21,000	41,600	100,203	42
PILOT ADV	041	0	0	0	8,665	0	0	0	0	0	8,665	20,313	43
RATAN CAPITAL MGMT LP	041	0	0	318	318	0	0	0	0	318	318	0	0
SCHOTTENFELD ASSOC	041	0	0	0	3,450	0	0	0	0	0	3,450	14,526	24
SCOPUS FUND	041	0	262	89,494	387,102	0	0	0	262	89,494	387,102	363,194	107
SIGMA CAPITAL ASSOCIATES	041	0	0	56,753	56,753	0	0	0	0	56,753	56,753	171,631	33
SIMMONS VENTURES	041	0	400	12,051	53,268	0	0	0	400	12,051	53,268	15,185	351
SONTERRA CAP	041	0	0	0	51,045	0	0	0	0	0	51,045	244,750	21

TEMAGAMI CAPITAL	041	0	0	8,011	8,332	0	0	1,500	0	8,011	9,832	500	1966
THREE G	041	0	0	0	0	0	0	0	0	0	0	2,000	0
TIGER GLOBAL	041	0	0	0	0	0	0	48,500	0	0	48,500	46,500	104
TOURMALINE PARTNERS CHRIS	041	0	0	1,050	3,450	0	0	0	0	1,050	3,450	0	0
<b>TOTALS:</b>		<b>1,000</b>	<b>6,937</b>	<b>590,348</b>	<b>2,346,261</b>	<b>0</b>	<b>24,465</b>	<b>218,563</b>	<b>6,937</b>	<b>614,813</b>	<b>2,564,824</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (251)	251	0	0	0	0	0	0	-13,476	0	0	-13,476	0	0
CAISSE DEPOT PLACEMENT Q	295	0	0	12,444	56,594	0	0	0	0	12,444	56,594	48,565	0
GUGGENHEIM GLOBAL TRADING (GGT)	251	0	0	9,910	23,170	0	0	0	0	9,910	23,170	0	0
LONE PINE MGMT	257	0	0	0	0	0	0	7,500	0	0	7,500	28,000	27
LORD ABBETT & COMPANY	098	0	0	0	4,110	0	0	0	0	0	4,110	30,000	0
MOORE CAPITAL	257	0	0	0	0	0	0	0	0	0	0	34,267	184
SENATOR INVESTMENT GROUP	287	0	0	72,318	369,160	0	0	0	0	72,318	369,160	0	0
SURVEYOR CAPITAL	251	0	0	16,408	85,311	0	45,000	106,000	0	61,408	191,311	142,712	74
VELAZQUEZ/MILLENNIUM	282	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTALS:</b>		<b>0</b>	<b>0</b>	<b>111,079</b>	<b>538,346</b>	<b>0</b>	<b>45,000</b>	<b>100,024</b>	<b>0</b>	<b>156,079</b>	<b>638,369</b>		

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