



Daily Compliance Activity Report

Trade Date: 08/09/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	5,000	126.20	n/a	n/a	non-brg	1.0
WHALE ROCK CAP	030	AAPL	S	70,000	126.66	n/a	n/a	2,800.00	4.0
ADAGE CAPITAL MGMT	030	AEO	B	100,000	22.76	10-Jul	6-Aug	4,000.00	4.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	23.32	10-Jul	6-Aug	2,000.00	4.0
BUCK CAPITAL MGMT		AEO	B	100,000	22.51	10-Jul	6-Aug	non-brg	5.0
BUCK CAPITAL MGMT		AEO	B	100,000	22.74	10-Jul	6-Aug	non-brg	5.0
BUCK CAPITAL MGMT		AEO	B	100,000	22.83	10-Jul	6-Aug	non-brg	5.0
BUCK CAPITAL MGMT		AEO	B	154,300	23.32	10-Jul	6-Aug	non-brg	5.0
BUCK CAPITAL MGMT		AEO	B	245,700	23.31	10-Jul	6-Aug	non-brg	2.0
BUCK CAPITAL MGMT		AEO	B	50,000	23.46	10-Jul	6-Aug	non-brg	5.0
COUGAR TRADING	044	AEO	B	10,000	23.04	10-Jul	6-Aug	500.00	5.0
COUGAR TRADING	044	AEO	S	10,000	22.80	10-Jul	6-Aug	500.00	5.0
FRIESS MGMT	042	AEO	B	512,200	22.90	10-Jul	6-Aug	23,049.00	4.5
OWL CREEK INV	032	ALU	S	4,045,000	11.52	n/a	n/a	101,125.01	2.5
INDEPENDENCE INV	039	AL	S	30,150	95.65	n/a	n/a	1,206.00	4.0
BUCK CAPITAL MGMT		AMG	B	1,000	108.86	25-Jul	8-Aug	non-brg	5.0
BUCK CAPITAL MGMT		AMG	B	1,000	112.03	25-Jul	8-Aug	non-brg	5.0
CRAMER ROSENTHAL/SCOTT	012	AMG	B	116,700	112.86	25-Jul	8-Aug	4,668.00	4.0
BUCK CAPITAL MGMT		AMT	SS	78,700	40.75	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		AMT	S	2,300	40.75	n/a	n/a	non-brg	5.0
ADAGE CAPITAL MGMT	030	ANF	B	100,000	75.45	12-Jul	9-Aug	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	ANF	B	5,000	74.50	12-Jul	9-Aug	250.00	5.0
STADIA CAPITAL	035	AN	SS	25,000	21.06	n/a	27-Jul	1,000.00	4.0
BUCK CAPITAL MGMT	001	ARO	B	555,100	33.46	n/a	6-Aug	22,204.00	4.0
⌘ BUCK CAPITAL MGMT		ARO	C	10,000	33.63	n/a	6-Aug	non-brg	1.0
⌘ BUCK CAPITAL MGMT		ARO	C	345,400	33.76	n/a	6-Aug	non-brg	4.0
COUGAR TRADING	044	ARO	B	20,000	34.38	n/a	6-Aug	1,000.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	B	10,000	33.47	n/a	6-Aug	375.00	3.8
⌘ BUCK CAPITAL MGMT		AVCI	C	40,000	7.40	n/a	n/a	non-brg	5.0
HUNTER GLOBAL INVESTOR	067	BA	B	20,000	98.88	n/a	n/a	1,000.00	5.0
WHITE PINE CAPITAL	030	BIG	C	500	26.74	9-Mar	7-Aug	25.00	5.0
⌘ BUCK CAPITAL MGMT		BLK	B	1,000	155.03	18-Jul	8-Aug	non-brg	5.0
PHILADELPHIA FINANCIAL	033	BLK	B	42,750	159.81	18-Jul	8-Aug	2,137.50	5.0
INDEPENDENCE INV	039	BNI	S	36,500	82.47	n/a	n/a	1,460.00	4.0
⌘ BUCK CAPITAL MGMT		BONT	B	50,000	22.26	3-Aug	6-Aug	non-brg	5.0
J L F ASSET MGMT	039	BONT	B	69,100	22.23	3-Aug	6-Aug	2,764.00	4.0
KAICHER	064	BQI	S	5,000	4.90	n/a	n/a	0.00	0.0
BARR	096	BQI	S	800	4.95	n/a	n/a	0.00	0.0
SINCLAIR	031	BSCAG	B	6	7.40	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		BSC	B	1,000	117.31	n/a	6-Aug	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	BWS	B	20,000	19.61	n/a	n/a	800.00	4.0
ARIENCE CAPITAL	036	BYD	B	76,571	40.10	2-Aug	2-Aug	3,828.55	5.0
ß BUCK CAPITAL MGMT		CACH	S	40,000	17.94	n/a	n/a	non-brg	5.0
WEINTRAUB CAPITAL MGT.	040	CACH	S	7,100	17.69	n/a	n/a	355.00	5.0
ß BUCK CAPITAL MGMT		CAKE	S	20,000	27.82	24-Apr	24-Apr	non-brg	5.0
JP MORGAN VENTURES	046	CAKE	S	25,000	27.83	24-Apr	24-Apr	1,250.00	5.0
ß BUCK CAPITAL MGMT		CBK	SS	34,600	16.94	7-Jun	6-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		CCI	SS	74,400	38.85	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CCI	S	2,600	38.85	n/a	n/a	non-brg	5.0
MAGUIRE	090	CCOI	S	8,788	27.57	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CDNS	S	30,000	20.16	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		CG	B	2	0.68	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CG	B	2	1.52	n/a	n/a	0.00	0.0
INDEPENDENCE INV	039	CG	S	23,000	74.02	n/a	n/a	920.00	4.0
MILLENIUM PARTNERS	028	CHAP	B	20,000	84.29	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		CHIC	S	123,400	16.11	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CHIC	S	200,000	16.53	n/a	n/a	non-brg	5.0
HIGHFIELDS CAP MGMT	039	CHK	B	200,000	33.89	n/a	n/a	10,000.00	5.0

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BUCK CAPITAL MGMT	001	CHS	B	10,000	19.52	10-Aug	6-Aug	400.00	4.0
BUCK CAPITAL MGMT		CHS	C	20,000	19.17	10-Aug	6-Aug	non-brg	5.0
COUGAR TRADING	044	CHS	B	5,000	19.72	10-Aug	6-Aug	250.00	5.0
BUCK CAPITAL MGMT		CMRG	SS	100,000	12.14	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	COH	B	35,900	45.61	n/a	1-Aug	1,436.00	4.0
AVESTA CAP	229	COST	S	25,000	64.12	n/a	27-Jul	1,250.00	5.0
BUCK CAPITAL MGMT		CRI	SS	20,000	21.39	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		CROX	S	10,000	52.91	n/a	n/a	non-brg	5.0
BAIRD INVESTMENT	031	CRR	B	21,654	49.81	n/a	26-Jul	1,082.70	5.0
THIRD COAST CAPITAL	035	CSH	S	35,000	31.30	n/a	n/a	1,750.00	5.0
BUCK CAPITAL MGMT		CTRN	B	60,000	27.50	n/a	n/a	non-brg	5.0
BEAR STEARNS ASSET MGMT.	033	CWTR	S	33,300	21.39	n/a	n/a	1,332.00	4.0
BUCK CAPITAL MGMT		DBD	SS	58,900	52.06	2-Aug	2-Aug	non-brg	5.0
BUCK CAPITAL MGMT		DBD	S	1,100	52.06	2-Aug	2-Aug	non-brg	5.0
BUCK CAPITAL MGMT		DBRN	B	20,000	16.30	n/a	n/a	non-brg	5.0
APEX CAPITAL MGMT.	091	DDS	B	50,000	23.24	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	DDS	B	10,000	22.97	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	DKS	SS	10,000	60.97	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	DKS	S	40,000	61.10	n/a	n/a	1,600.00	4.0
ROTHSCHILD ASSET	040	DST	S	19,680	80.33	n/a	n/a	885.60	4.5
MAZAMA CAPITAL MGMT.	035	DVR	S	85,800	14.94	n/a	n/a	3,432.00	4.0
LOOMIS SALES/BOSTON	036	DW	B	40,000	34.94	n/a	n/a	1,600.00	4.0
OGORMAN	146	DXD	S	300	49.77	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		EGLT	SS	5,000	16.01	n/a	n/a	non-brg	5.0
WHALE ROCK CAP	030	EMC	S	538,337	17.97	n/a	n/a	21,533.48	4.0
HIGHBRIDGE CAPITAL	038	EMN	SS	24,000	65.77	n/a	n/a	1,200.00	5.0
THIRD COAST CAPITAL	035	EXBD	SS	12,000	69.87	n/a	n/a	600.00	5.0
AVESTA CAP	229	FDO	B	10,000	26.95	9-Aug	9-Aug	500.00	5.0
STADIA CAPITAL	035	FDO	SS	120,000	26.82	9-Aug	9-Aug	4,800.00	4.0
WEINTRAUB CAPITAL MGT.	040	FDO	B	26,300	27.00	9-Aug	9-Aug	1,315.00	5.0
ROTHSCHILD ASSET	040	FIF	B	710	31.40	n/a	n/a	31.95	4.5
B BUCK CAPITAL MGMT		FLDR	SS	15,000	6.39	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		FOSL	B	140,000	27.59	16-May	9-Aug	non-brg	5.0
B BUCK CAPITAL MGMT		GIII	B	20,000	14.44	n/a	n/a	non-brg	2.0

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⌘ BUCK CAPITAL MGMT		GIII	B	20,000	14.60	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		GPI	S	61,200	36.40	27-Apr	1-Aug	non-brg	5.0
KANTROWITZ	N21	GPI	S	30	36.60	27-Apr	1-Aug	0.00	0.0
⌘ BUCK CAPITAL MGMT		GPS	C	250,000	16.05	n/a	6-Aug	non-brg	5.0
MORGENS WATERFALL	040	GPS	B	225,000	16.27	n/a	6-Aug	6,750.00	3.0
⌘ BUCK CAPITAL MGMT		GS	B	1,000	182.67	15-Jun	9-Aug	non-brg	5.0
⌘ BUCK CAPITAL MGMT		GS	B	1,000	187.65	15-Jun	9-Aug	non-brg	5.0
HUNTER GLOBAL INVESTOR	067	GS	S	51,600	185.65	15-Jun	9-Aug	2,580.00	5.0
⌘ BUCK CAPITAL MGMT		GYMB	B	100,000	38.68	n/a	6-Aug	non-brg	5.0
LOOMIS SALES/BOSTON	036	HAS	C	279,900	26.38	n/a	n/a	11,196.00	4.0
HARVEST MGMT	039	HBI	SS	16,700	29.23	n/a	n/a	835.00	5.0
DIAMONDBACK CAP MGMT	232	HCBK	S	100,000	13.64	n/a	n/a	5,000.00	5.0
COLUMBIA PARTNERS	028	HC	B	11,700	23.87	14-Mar	8-Aug	351.00	3.0
SARAS CAPITAL	038	HD	B	146,000	35.78	n/a	27-Jul	7,300.00	5.0
⌘ BUCK CAPITAL MGMT		HLYS	S	10,000	10.68	n/a	n/a	non-brg	5.0
LEDDY	035	HLYS	B	1,000	10.89	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		HSTX	S	10,000	20.16	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		HSTX	S	40,000	20.14	n/a	n/a	non-brg	5.0
HUNTER GLOBAL INVESTOR	067	ICE	S	30,000	162.12	n/a	n/a	1,500.00	5.0

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⌘ BUCK CAPITAL MGMT		ICON	S	10,000	23.52	n/a	n/a	non-brg	5.0
MAGUIRE	090	ISE	S	5,000	65.73	30-Apr	8-Aug	0.00	0.0
LUCHANSKY	036	ITCD	S	500	8.75	n/a	n/a	0.00	0.0
MAGUIRE	090	ITCD	S	20,000	8.25	n/a	n/a	0.00	0.0
BARR	096	ITCD	S	5,000	8.25	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		IVZ	B	5,000	24.74	8-Aug	9-Aug	non-brg	5.0
COUGAR TRADING	044	IVZ	B	1,700	24.40	8-Aug	9-Aug	85.00	5.0
COUGAR TRADING	044	IVZ	S	1,700	25.25	8-Aug	9-Aug	85.00	5.0
U.S.TRUST COMPANY	012	IVZ	B	60,000	25.28	8-Aug	9-Aug	2,700.00	4.5
⌘ BUCK CAPITAL MGMT		IWM	SS	175,000	77.90	n/a	n/a	non-brg	1.0
GALLEON MGMT LP	033	IWM	C	20,000	78.23	n/a	n/a	1,000.00	5.0
HARVEST MGMT	039	JCG	SS	10,000	51.37	22-Jun	6-Aug	500.00	5.0
⌘ BUCK CAPITAL MGMT		JCP	B	40,000	66.27	21-Jun	6-Aug	non-brg	5.0
COUGAR TRADING	044	JCP	B	5,000	67.50	21-Jun	6-Aug	250.00	5.0
COUGAR TRADING	044	JCP	S	5,000	66.39	21-Jun	6-Aug	250.00	5.0
J L F ASSET MGMT	039	JCP	B	55,600	65.74	21-Jun	6-Aug	2,224.00	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	200,000	30.31	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		JOSB	B	25,000	27.79	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		JOSB	B	65,000	30.55	n/a	n/a	non-brg	5.0
NATIONAL BK OF CAN	031	JPM	B	40,000	44.73	n/a	19-Jul	1,600.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	60,000	59.93	14-Jun	27-Jul	2,400.00	4.0

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ß BUCK CAPITAL MGMT		LAMR	SS	20,000	56.12	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LAMR	SS	55,000	55.86	n/a	n/a	non-brg	5.0
REICH AND TANG	067	LDL	B	200,000	9.18	n/a	n/a	4,000.00	2.0
STRAUSS ASSET MGMT	040	LEAP	B	7,500	57.97	n/a	n/a	375.00	5.0
ß BUCK CAPITAL MGMT		LEH	B	1,000	60.42	12-Jun	2-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		LEH	B	1,000	61.21	12-Jun	2-Aug	non-brg	5.0
APEX CAPITAL MGMT.	091	LIZ	SS	40,000	35.51	n/a	6-Aug	2,000.00	5.0
ß BUCK CAPITAL MGMT		LIZ	SS	20,000	36.38	n/a	6-Aug	non-brg	5.0
J L F ASSET MGMT	039	LIZ	SS	102,000	34.87	n/a	6-Aug	4,080.00	4.0
MAGUIRE	090	LNC	S	5,000	56.66	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LTD	C	30,000	23.95	16-May	6-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		LTM	S	10,000	62.86	n/a	n/a	non-brg	5.0
PROSPECTOR PARTNERS LLC	036	LUK	S	53,300	46.74	n/a	n/a	2,665.00	5.0
KORMAN	003	LVQIK	S	30	2.00	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	MCCC	B	13,000	6.85	7-Aug	8-Aug	390.00	3.0
ß BUCK CAPITAL MGMT		MDTL	SS	35,000	10.82	n/a	n/a	non-brg	5.0
BARR	096	MENT	S	3,000	11.99	n/a	n/a	0.00	0.0

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ß	BUCK CAPITAL MGMT		MER	B	1,000	75.00	18-Jul	2-Aug	non-brg 5.0
	LEDDY	035	MER	B	700	75.99	18-Jul	2-Aug	0.00 0.0
	NEUBERGER BERMAN	036	MET	B	35,000	62.92	n/a	n/a	1,750.00 5.0
	DALTON GREINER	012	MLI	B	28,485	33.71	24-Jul	24-Jul	1,424.25 5.0
	BARR	096	MLNX	S	1,000	19.58	n/a	n/a	0.00 0.0
ß	BUCK CAPITAL MGMT		MOS	C	10,000	37.51	n/a	n/a	non-brg 5.0
ß	BUCK CAPITAL MGMT		MRU+TV	S	100	1.91	n/a	n/a	non-brg 300.0
Õ	Ted Wheeler		MTRX	B	10000	17.00	n/a	n/a	0.00 0.0
	BRUNNER	012	MTRX	B	500	17.05	n/a	n/a	0.00 0.0
	MAGUIRE	090	MZZ	B	15,000	57.21	n/a	n/a	0.00 0.0
	MAGUIRE	090	NGI	S	5,800	4.88	n/a	n/a	0.00 0.0
	MERRILL ASSET	032	NOC	S	34,600	75.00	n/a	n/a	1,557.00 4.5
	DSG CAPITAL	225	NPO	B	3,000	32.92	n/a	n/a	150.00 5.0
Õ	Ted Wheeler		NPO	B	2500	32.87	n/a	n/a	0.00 0.0
	BUCK CAPITAL MGMT	001	NTY	B	15,000	35.26	n/a	n/a	600.00 4.0
	BALYASNY ASSET MGMT	044	NWY	S	15,000	7.73	25-May	6-Aug	750.00 5.0
ß	BUCK CAPITAL MGMT		NWY	S	200,000	8.49	25-May	6-Aug	non-brg 5.0

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ß BUCK CAPITAL MGMT		NWY	S	300,000	7.72	25-May	6-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		NWY	S	56,800	8.35	25-May	6-Aug	non-brg	1.0
MAGUIRE	090	ORB	S	10,000	20.39	n/a	n/a	0.00	0.0
BARR	096	ORB	S	1,000	20.46	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	B	35,000	36.45	n/a	n/a	1,400.00	4.0
ß BUCK CAPITAL MGMT		PAG	S	100,000	21.85	n/a	1-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		PAG	S	124,600	22.04	n/a	1-Aug	non-brg	1.0
ß BUCK CAPITAL MGMT		PAG	S	16,800	22.56	n/a	1-Aug	non-brg	2.0
ß BUCK CAPITAL MGMT		PAG	S	43,200	22.30	n/a	1-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		PAG	S	7,832	21.82	n/a	1-Aug	non-brg	1.0
LEDDY	035	PCH	B	1,000	43.07	27-Jul	27-Jul	0.00	0.0
LEDDY	035	PCL	S	400	43.79	10-Jul	24-Jul	0.00	0.0
BARR	096	PDFS	SS	1,500	11.66	n/a	n/a	0.00	0.0
BARR	096	PDFS	S	4,939	11.90	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PERY	B	20,012	27.19	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PERY	B	30,000	27.41	n/a	n/a	non-brg	5.0
JP MORGAN VENTURES	046	PFCB	C	21,100	36.65	24-Apr	24-Apr	1,055.00	5.0
PLATTE RIVER CAPITAL	240	PFE	S	149,350	24.41	n/a	31-Mar	5,974.00	4.0
WHEELER	008	PFE	S	8,000	24.41	n/a	31-Mar	0.00	0.0
HIGHBRIDGE CAPITAL	038	PPG	SS	13,000	73.01	n/a	23-Jul	650.00	5.0

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BUCK CAPITAL MGMT		PSUN	S	372,000	15.25	n/a	6-Aug	non-brg 2.0
BUCK CAPITAL MGMT		PSUN	S	383,000	15.60	n/a	6-Aug	non-brg 5.0
COUGAR TRADING	044	PSUN	B	25,000	15.35	n/a	6-Aug	1,250.00 5.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	51.52	31-May	6-Aug	400.00 4.0
MILTON PARTNERS	060	PYX	B	75,000	18.00	n/a	4-May	1,500.00 2.0
FIRST NEW YORK LEDDY	035	Q	S	5,000	8.16	n/a	n/a	300.00 6.0
CUMBERLAND	038	RESP	B	75,000	46.15	n/a	n/a	3,000.00 4.0
BUCK CAPITAL MGMT		RL	B	20,000	79.14	9-Aug	9-Aug	non-brg 5.0
COUGAR TRADING	044	RL	B	30,000	78.63	9-Aug	9-Aug	1,500.00 5.0
COUGAR TRADING	044	RL	S	10,000	76.97	9-Aug	9-Aug	500.00 5.0
INDEPENDENCE INV	039	RL	S	44,550	78.94	9-Aug	9-Aug	1,782.00 4.0
STADIA CAPITAL	035	RL	S	40,000	77.56	9-Aug	9-Aug	1,600.00 4.0
HIGHBRIDGE CAPITAL	038	ROH	SS	20,000	57.49	n/a	24-Jul	0.00 0.0
BUCK CAPITAL MGMT		RTH	C	4,900	101.23	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		SBAC	SS	42,500	33.12	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT		SBAC	S	1,500	33.12	n/a	n/a	non-brg 5.0
OGORMAN	146	SDS	B	1,000	54.33	n/a	n/a	0.00 0.0
OGORMAN	146	SDS	S	800	54.69	n/a	n/a	0.00 0.0
APEX CAPITAL MGMT.	091	SGMS	S	25,000	38.24	n/a	n/a	1,250.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	091	SKX	SS	5,000	22.04	n/a	13-Mar	300.00	6.0
SYSTEMATIC FINANCIAL MGT	035	SNA	B	20,125	49.00	n/a	n/a	754.68	3.8
KAICHER	064	SNCR	B	1,000	34.51	n/a	n/a	0.00	0.0
BARR	096	SNPS	B	5,000	23.55	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SPY	SS	150,000	146.93	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SPY	SS	50,000	147.59	n/a	n/a	non-brg	1.0
GALLEON MGMT LP	033	SPY	C	20,000	147.79	n/a	n/a	1,000.00	5.0
IRONBOUND CAPITAL	012	SSCC	B	100,000	10.00	4-May	9-Aug	5,000.00	5.0
COUGAR TRADING	044	SSI	B	5,000	17.96	3-Aug	6-Aug	250.00	5.0
ROXBURY CAPITAL MGMT	035	SSI	B	220,000	17.94	3-Aug	6-Aug	8,800.00	4.0
WHITE PINE CAPITAL	030	SUF	B	1,500	4.60	n/a	n/a	60.00	4.0
PRINCIPLE CAPITAL	040	SVVS	B	45,300	37.36	n/a	n/a	1,359.00	3.0
HUNTER GLOBAL INVESTOR	067	SWY	B	96,500	31.23	n/a	n/a	4,825.00	5.0
SARAS CAPITAL	038	TGT	S	80,000	63.06	n/a	27-Jul	4,000.00	5.0
B BUCK CAPITAL MGMT		THC	S	100,000	4.97	n/a	8-Aug	non-brg	3.0
B BUCK CAPITAL MGMT		THC	S	210,350	4.89	n/a	8-Aug	non-brg	3.0
ASCEND PARTNERS	033	TJX	B	35,000	27.49	13-Jul	6-Aug	1,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	TJX	B	10,000	27.78	13-Jul	6-Aug	400.00	4.0
COUGAR TRADING	044	TJX	B	5,000	28.30	13-Jul	6-Aug	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	TJX	SS	15,000	27.59	13-Jul	6-Aug	600.00	4.0
EGS ASSOCIATES LP	091	TLB	SS	3,000	24.78	n/a	6-Aug	180.00	6.0
BALYASNY ASSET MGMT	044	TPX	SS	10,000	30.61	n/a	n/a	500.00	5.0
PRINCIPLE CAPITAL	040	TUP	B	47,000	26.93	n/a	n/a	1,410.00	3.0
⚡ BUCK CAPITAL MGMT		TWTC	SS	98,200	20.77	4-May	9-Aug	non-brg	5.0
⚡ BUCK CAPITAL MGMT		TWTC	S	1,800	20.77	4-May	9-Aug	non-brg	5.0
MILTON PARTNERS	060	TW	S	11,700	21.98	n/a	n/a	351.00	3.0
MATRIX ASSET ADV.	036	TYC	B	42,650	44.06	6-Aug	7-Aug	1,706.00	4.0
COLUMBIA PARTNERS	028	UCO	B	9,000	73.22	10-May	8-Aug	270.00	3.0
MKT MAKER	099	URBN	B	10,300	23.97	31-Jul	7-Aug	0.00	0.0
SSI INVESTORS	048	VZ	B	44,800	43.15	31-Jul	9-Aug	1,792.00	4.0
REINHART & MAHONEY	031	WFR	S	14,740	54.51	n/a	n/a	737.00	5.0
INDEPENDENCE INV	039	WHR	S	38,400	96.74	n/a	n/a	1,536.00	4.0
MAGUIRE	090	WHT	S	400	3.00	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		WRNC	B	10,000	33.32	18-Jul	8-Aug	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PRINCIPLE CAPITAL	040	WSO	B	35,100	51.33	n/a	n/a	1,404.00	4.0
XYLEM GLOBAL	091	WY	B	50,000	68.28	13-Jul	6-Aug	2,500.00	5.0
GALLEON MGMT LP	033	XHB	C	20,000	27.53	n/a	n/a	1,000.00	5.0
GALLEON MGMT LP	033	XLE	C	15,000	68.00	n/a	n/a	750.00	5.0
⚡ BUCK CAPITAL MGMT		XLF	C	33,900	33.82	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	ZLC	B	30,000	24.92	n/a	n/a	1,200.00	4.0
BRIGHTPOINT CAP	067	ZQK	S	50,000	15.07	9-Mar	27-Jun	2,500.00	5.0
BUCK CAPITAL MGMT	001	ZQK	S	270,000	15.02	9-Mar	27-Jun	10,800.00	4.0
⚡ BUCK CAPITAL MGMT		ZUMZ	S	40,000	45.18	n/a	n/a	non-brg	5.0
TOTAL (Trade Date):								389,108.72	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO, CRT	08/08	MKT MAKER	099	CHRS	B	100	8.00	n/a	22-Mar	0.00 0.0
AO, CXL	08/08	J L F ASSET MGMT	039	DELL	B	100,000	27.84	n/a	n/a	4000.00 4.0
AO, CRT	08/08	BALYASNY ASSET MGMT	044	ESPD	B	75,000	7.38	n/a	n/a	3000.00 4.0
AO, CXL	08/08	BALYASNY ASSET MGMT	044	ESPD	B	75,000	7.38	n/a	n/a	3750.00 5.0
AO, CRT	08/07	BRUNNER	012	EXPD	S	500	49.72	n/a	n/a	0.00 0.0
AO, CXL	08/07	BRUNNER	012	EXPD	S	500	49.72	n/a	n/a	0.00 0.0
AO,	08/08	KORMAN	003	JADE	B	2,000	10.00	n/a	n/a	0.00 0.0
AO, CRT	08/08	THIRD POINT MGMT	044	JNS	C	282,500	30.96	27-Jul	8-Aug	11300.00 4.0
AO, CXL	08/08	THIRD POINT MGMT	044	JNS	C	282,500	30.96	27-Jul	8-Aug	11300.00 4.0
AO, CRT	08/08	TRELLUS PARTNERS LP	028	MCCC	B	100,000	7.78	7-Aug	8-Aug	3000.00 3.0
AO, CXL	08/08	TRELLUS PARTNERS LP	028	MCCC	B	100,000	7.78	7-Aug	8-Aug	5000.00 5.0
AO, CXL	08/08	MKT MAKER	099	SLGN	B	13	53.73	n/a	n/a	0.65 5.0
AO, CRT	08/08	MKT MAKER	099	SLGN	B	13	53.73	n/a	n/a	0.00 0.0
AO, CRT	08/08	MKT MAKER	099	SSCC	B	100	10.00	4-May	9-Aug	0.00 0.0
AO,	08/08	THIRD POINT MGMT	044	TROW	C	150,000	56.43	n/a	8-Aug	4500.00 3.0
AO, CXL	08/06	REICH AND TANG	067	TYC	B	150	47.94	6-Aug	7-Aug	7.50 5.0
AO, CRT	08/08	MKT MAKER	099	URBN	B	5,000	24.05	31-Jul	7-Aug	0.00 0.0

TOTAL (AO, CXL, CRT):	-2,258.15
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TOTAL (Trade Date):	389,108.72
TOTAL (AO, CXL, CRT):	-2,258.15

TOTAL (RUN DATE) / NFS:	386,850.57
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MRI Securities with Trade Activity on 9-Aug. FDO, RL

MRI Securities without Trade Activity on 9-Aug. AB

BRG comm. as a % of BCM Total Comm. paid. T-Date = 16% YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION