



Daily Compliance Activity Report

Trade Date: 02/24/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DC CAPITAL	044	AA	B	100,000	6.32	n/a	n/a	3,000.00	3.0
BABSON/OFI/TRINITY	039	AEO	B	600	9.48	n/a	6-Feb	24.00	4.0
B BUCK CAPITAL MGMT		AEO	B	40,000	9.56	n/a	6-Feb	non-brg	3.0
B BUCK CAPITAL MGMT		AEO	B	60,000	9.88	n/a	6-Feb	non-brg	3.0
BMO NESBITT BURNS	041	ALV	B	25,000	16.11	30-Jan	4-Feb	1,250.00	5.0
BUCK CAPITAL MGMT	001	ALV	SS	50,000	15.56	30-Jan	4-Feb	2,000.00	4.0
BELLMAN WALTERSS CAP	091	AMP	B	30,000	16.58	n/a	n/a	1,500.00	5.0
CASTLE ARK	031	AMZN	B	72,240	65.67	n/a	n/a	4,334.40	6.0
1798 CAPITAL MGMT	040	ANF	B	15,000	22.40	n/a	6-Feb	750.00	5.0
BALYASNY ASSET MGMT	044	ANN	SS	20,000	6.43	n/a	30-Jan	800.00	4.0
BMO NESBITT BURNS	041	AN	B	49,458	10.12	30-Jan	30-Jan	2,472.90	5.0
ROTHSCHILD ASSET	098	AOC	S	14,830	39.44	n/a	n/a	667.35	4.5
1798 CAPITAL MGMT	040	ARO	B	35,000	22.99	n/a	6-Feb	1,750.00	5.0
BALYASNY ASSET MGMT	044	ARO	S	15,000	23.48	n/a	6-Feb	750.00	5.0
THE BOSTON COMPANY	401	ARO	B	32,000	23.05	n/a	6-Feb	1,280.00	4.0
f NEIL M STRATTON	FID	ASUR	B	745	0.15	n/a	n/a	0.00	0.0
f STRATTON PAR TNERSHI	FID	ASUR	B	745	0.15	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		ATVI	B	50,000	9.72	n/a	n/a	non-brg	3.0
STELLIAM INV ADV	040	ATVI	SS	25,000	9.93	n/a	n/a	750.00	3.0
WHITE PINE CAPITAL	030	BEBE	S	5,800	5.71	n/a	n/a	290.00	5.0
f JONATHAN RICHARD HAR	FID	BGZ	B	21	84.31	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BKC	C	110,300	20.28	6-Feb	6-Feb	non-brg	4.0
RBC CAPITAL MARKETS	034	BKC	B	10,000	20.34	6-Feb	6-Feb	400.00	4.0
JAT CAPITAL MANAGEMENT	041	BRCM	S	107,700	15.87	n/a	n/a	4,308.00	4.0
CATAPULT	040	BUCY	B	50,000	13.23	n/a	24-Feb	2,500.00	5.0
B BUCK CAPITAL MGMT		BWA	SS	60,000	17.66	27-Jan	13-Feb	non-brg	4.0
TRELLUS PARTNERS LP	028	BWA	SS	5,000	17.38	27-Jan	13-Feb	250.00	5.0
1798 CAPITAL MGMT	040	BYI	C	10,000	20.04	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		CAB	SS	100,000	7.83	n/a	n/a	non-brg	3.0
MERRILL ASSET	032	CBI	S	21,000	7.44	n/a	n/a	945.00	4.5
B BUCK CAPITAL MGMT		CIT	B	200,000	1.84	22-Jan	24-Feb	non-brg	2.0
OPPENHEIMER MGMT.	231	CIT	B	5,000	1.92	22-Jan	24-Feb	200.00	4.0
BUCK CAPITAL MGMT	001	CLX	B	21,900	48.69	10-Feb	23-Feb	876.00	4.0
B BUCK CAPITAL MGMT		CMG	SS	10,000	56.37	23-Oct	12-Feb	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CMG	SS	17,700	56.37	23-Oct	12-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		CMN	B	4,000	14.08	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		COH+EC[???	SS	1,000	1.20	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	COH	B	200,000	13.99	9-Jan	22-Jan	8,000.00	4.0
ß BUCK CAPITAL MGMT		COH	B	100,000	13.40	9-Jan	22-Jan	non-brg	2.0
AVESTA CAP	229	CVS	S	100,000	26.72	n/a	n/a	5,000.00	5.0
CENTURION PARTNERS	041	DAI	B	20,000	23.36	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		DECK	B	20,000	55.85	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	DIS	B	50,000	17.51	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		DLTR	B	50,000	35.79	10-Feb	10-Feb	non-brg	4.0
f STRATTON PAR TNERSHI	FID	DMRC	S	150	9.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DRI	SS	56,100	28.17	19-Dec	26-Jan	2,244.00	4.0
ROTHSCHILD ASSET	040	EPR	S	38,930	15.79	n/a	n/a	1,751.85	4.5
UBS OCONNOR	031	EV	C	26,610	15.52	9-Jan	20-Feb	798.30	3.0
ADALY INV MGMT	067	EWZ	S	12,600	32.28	n/a	n/a	630.00	5.0
CUMBERLAND	038	EXH	B	10,000	18.92	27-Jan	3-Feb	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	FDO	B	130,000	27.34	7-Jan	4-Feb	5,200.00	4.0
HOOVER INV. MGMT	033	FDS	B	5,000	38.88	n/a	n/a	200.00	4.0
f KAREN F LEDDY	FID	FIG	B	3000	1.49	n/a	n/a	0.00	0.0
HOOVER INV. MGMT	033	FII	B	13,900	20.47	13-Nov	20-Feb	556.00	4.0
1798 CAPITAL MGMT	040	FLO	SS	15,000	22.36	n/a	n/a	750.00	5.0
COUGAR TRADING	044	FL	S	10,000	7.71	7-Oct	13-Feb	400.00	4.0
NUMERIC	060	FL	S	52,700	7.89	7-Oct	13-Feb	1,581.00	3.0
ß BUCK CAPITAL MGMT		FOSL	B	20,000	12.93	23-Jan	30-Jan	non-brg	4.0
DC CAPITAL	044	GE	B	50,000	9.18	n/a	n/a	1,500.00	3.0
WHITE PINE CAPITAL	030	GGG	S	1,500	17.96	n/a	n/a	75.00	5.0
ß BUCK CAPITAL MGMT		GIII	B	13,400	3.44	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		GIII	B	20,000	3.59	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		GIII	B	26,600	3.55	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIL+DU[???	SS	2,000	0.60	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	GIL	S	35,000	6.75	11-Dec	12-Feb	1,050.00	3.0
WHITE PINE CAPITAL	030	GIL	S	3,000	7.13	11-Dec	12-Feb	150.00	5.0
WHITE PINE CAPITAL	030	GIS	S	1,000	55.43	n/a	n/a	50.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MATLIN PATTERSON	046	GST	B	65,900	0.50	n/a	n/a	659.00	1.0
BMO NESBITT BURNS	041	HAR	B	33,800	10.40	5-Feb	5-Feb	1,690.00	5.0
BENNETT LAWRENCE	045	HIG	SS	55,850	6.35	n/a	n/a	2,513.25	4.5
WHITE PINE CAPITAL	030	HON	S	1,100	29.02	n/a	30-Jan	55.00	5.0
WHITE PINE CAPITAL	030	HRL	S	1,800	31.97	n/a	n/a	90.00	5.0
f KAREN F LEDDY	FID	ICON	B	1500	8.21	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	IFF	S	750	26.68	21-Jan	5-Feb	37.50	5.0
BABSON/OFI/TRINITY	039	IGT	B	1,300	9.35	n/a	23-Jan	52.00	4.0
DC CAPITAL	044	IP	B	100,000	6.47	22-Sep	29-Jan	3,000.00	3.0
MILLENIUM PARTNERS	028	IP	SS	15,000	6.04	22-Sep	29-Jan	750.00	5.0
SONTERRA CAP	041	IP	SS	50,000	6.08	22-Sep	29-Jan	1,500.00	3.0
SANDLER O'NEILL ASSET	031	IVZ	B	20,000	11.23	9-Jan	20-Feb	1,000.00	5.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	50,000	11.31	9-Jan	20-Feb	1,750.00	3.5
CENTURION GLOBAL MGMT LL	041	JCG	B	9,900	10.85	n/a	30-Jan	297.00	3.0
CAXTON PART	040	JPM	B	22,352	20.30	16-Jan	24-Feb	782.34	3.5
TRELLUS PARTNERS LP	028	JWN	SS	15,000	13.04	20-Feb	24-Feb	750.00	5.0
B BUCK CAPITAL MGMT		KMT	SS	20,000	16.90	18-Sep	30-Jan	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KSS	B	30,000	35.48	n/a	6-Feb	1,200.00	4.0
WHITE PINE CAPITAL	030	KSS	S	2,650	35.63	n/a	6-Feb	132.50	5.0
MERRILL ASSET	032	LEN	S	211,000	7.61	n/a	n/a	9,495.00	4.5
FORD FDN.	032	LLY	S	70,000	32.69	n/a	n/a	3,500.00	5.0
BABSON/OFI/TRINITY	039	LL	B	3,600	8.86	n/a	n/a	144.00	4.0
BUCK CAPITAL MGMT	001	LVS	B	20,000	2.59	n/a	n/a	400.00	2.0
ß BUCK CAPITAL MGMT		MC FP	C	15,000	58.28	n/a	n/a	non-brg	2.9
ß BUCK CAPITAL MGMT		MCD	B	58,200	54.55	13-Oct	9-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		MCD	C	80,000	54.51	13-Oct	9-Feb	non-brg	4.0
CAXTON PART	040	MCO	S	23,336	17.91	n/a	n/a	816.76	3.5
f EDWARD W WHEELER	FID	METPRA	S	12800	12.70	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	METPRB	S	15000	15.95	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MGM	B	5,000	4.49	n/a	29-Jan	non-brg	2.5
BARROW HANLEY MEWHINNEY	031	MJN	B	69,500	27.53	n/a	n/a	2,780.00	4.0
f MANNY I KORMAN	FID	MKXOC	S	50	0.56	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	MKXOP	S	50	0.73	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f STEFFANIE CARMELA CA	FID	MPEL	S	58	2.89	n/a	n/a	0.00	0.0
FORD FDN.	032	MRK	S	45,000	27.80	n/a	n/a	2,250.00	5.0
PZENA INV ADV	044	MSFT	S	88,300	17.14	n/a	3-Apr	3,090.50	3.5
MKT MAKER	099	MSFT	S	1	16.72	n/a	3-Apr	0.00	0.0
B BUCK CAPITAL MGMT		MSM	B	53,500	31.56	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MVL	SS	100,000	27.67	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		MW	C	30,000	10.55	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	M	B	50,000	7.71	n/a	6-Feb	1,500.00	3.0
NEWCASTLE FUNDS	033	M	SS	38,700	7.93	n/a	6-Feb	1,548.00	4.0
HOOVER INV. MGMT	033	NITE	B	18,200	18.03	n/a	n/a	728.00	4.0
BUCK CAPITAL MGMT	001	NKE	B	20,000	42.41	n/a	n/a	800.00	4.0
PROSPECTOR PARTNERS LLC	036	NP	B	15,000	5.51	16-Dec	20-Jan	600.00	4.0
WHITE PINE CAPITAL	030	NWY	S	20,200	2.32	n/a	30-Jan	404.00	2.0
GRANITE CAPITAL	045	OCN	S	50,000	9.10	n/a	n/a	2,500.00	5.0
OPPENHEIMER MGMT.	231	OMX	S	10,000	3.93	n/a	15-Apr	200.00	2.0
HOOVER INV. MGMT	033	ORLY	B	4,800	32.75	n/a	n/a	192.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	PEP	S	2,850	51.38	n/a	n/a	142.50	5.0
B BUCK CAPITAL MGMT		PERY	B	25,000	5.02	n/a	n/a	non-brg	2.0
BENCHMARK	028	PETM	B	10,000	19.07	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		PFCB	C	3,400	18.91	8-Jan	12-Feb	non-brg	1.0
BELLMAN WALTERSS CAP	091	PFQ	SS	50,000	9.61	n/a	n/a	2,500.00	5.0
NEUBERGER BERMAN	036	PLD	S	110,000	6.38	n/a	n/a	3,300.00	3.0
T. ROWE PRICE	033	PM	B	51,200	34.78	n/a	n/a	2,048.00	4.0
B BUCK CAPITAL MGMT		POOL	C	30,000	13.56	n/a	n/a	non-brg	4.0
BULL PATH CAPITAL	044	QQQQ	S	150,000	28.66	n/a	n/a	3,000.00	2.0
ROTHSCHILD ASSET	040	Q	B	130,120	3.36	n/a	n/a	5,855.40	4.5
BUCK CAPITAL MGMT	001	RL	SS	20,000	34.70	n/a	5-Feb	800.00	4.0
JP MORGAN VENTURES	046	RL	B	25,000	34.93	n/a	5-Feb	1,250.00	5.0
COUGAR TRADING	044	ROST	S	5,000	30.32	n/a	n/a	250.00	5.0
HOOVER INV. MGMT	033	ROST	B	7,000	30.37	n/a	n/a	280.00	4.0
f MARC PAUL LUCHANSKY	FID	SDS	S	1000	94.84	n/a	n/a	0.00	0.0
f NEIL M STRATTON	FID	SFL	B	1000	8.89	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	SGP	S	1,950	17.99	n/a	n/a	97.50	5.0
COUGAR TRADING	044	SHW	B	10,000	45.50	24-Feb	24-Feb	500.00	5.0
COUGAR TRADING	044	SHW	S	5,000	44.33	24-Feb	24-Feb	250.00	5.0
f WILLIAM J GAULT	FID	SKF	B	6000	164.87	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	40,000	7.09	n/a	13-Mar	1,600.00	4.0
B BUCK CAPITAL MGMT		SKX	B	20,000	7.10	n/a	13-Mar	non-brg	3.0
DAVIDSON KEMPNER ADVISOR	040	SLM	B	72,122	7.63	22-Jan	19-Feb	3,606.10	5.0
B BUCK CAPITAL MGMT		SPD LN	SS	42,574	0.80	n/a	n/a	non-brg	0.0
DECADE CAPITAL PARTNERS	040	STZ	SS	14,500	13.72	n/a	n/a	435.00	3.0
TRELLUS PARTNERS LP	028	SUP	C	3,000	8.59	23-Dec	12-Jan	90.00	3.0
1798 CAPITAL MGMT	040	SWY	C	50,000	20.38	n/a	n/a	2,500.00	5.0
HOOVER INV. MGMT	033	TAP	B	17,600	36.06	n/a	n/a	704.00	4.0
B BUCK CAPITAL MGMT		TGT	C	1,900	27.85	25-Feb	20-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		TGT	S	1,900	27.89	25-Feb	20-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		THI	C	30,000	24.24	n/a	24-Apr	non-brg	4.0
BMO NESBITT BURNS	041	TIN	SS	50,000	4.97	5-Dec	28-Jan	2,500.00	5.0
B BUCK CAPITAL MGMT		TIN	B	100,000	4.23	5-Dec	28-Jan	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ELM RIDGE ADVISORS	044	TIN	B	172,100	4.24	5-Dec	28-Jan	5,163.00	3.0
MILLENIUM PARTNERS	028	TIN	B	15,000	4.24	5-Dec	28-Jan	750.00	5.0
THE JAY GOLDMAN	034	TJX	B	25,000	21.09	n/a	6-Feb	1,000.00	4.0
SENATOR INVESTMENT GROUP	036	TRA	S	30,000	24.88	n/a	n/a	1,200.00	4.0
f KAREN F LEDDY	FID	TROW	B	500	22.98	n/a	20-Feb	0.00	0.0
S.A.C.HABER/BOBBY	044	TROW	B	38,500	22.88	n/a	20-Feb	1,925.00	5.0
B BUCK CAPITAL MGMT		TXRH	C	30,000	7.99	n/a	n/a	non-brg	3.0
DIAMONDBACK CAP MGMT	232	TYC	S	35,000	20.93	12-Nov	3-Feb	1,750.00	5.0
f JONATHAN RICHARD HAR	FID	TZA	B	24	73.00	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	TZA	B	30	74.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UNFI	C	20,000	15.05	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URI	C	50,000	4.57	29-Oct	29-Oct	non-brg	1.0
SANDELL ADV	040	UYG	S	250,000	2.39	n/a	n/a	7,500.00	3.0
DAVIDSON KEMPNER ADVISOR	040	VAL	SS	20,000	17.80	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	VFC	B	50,000	51.80	n/a	n/a	2,000.00	4.0
1798 CAPITAL MGMT	040	WAG	S	5,000	24.14	n/a	n/a	250.00	5.0
HIGHBRIDGE/MS OLDS	041	WFC	B	50,000	12.98	n/a	n/a	2,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALT INVESTMENT	041	WRC	B	20,000	20.74	7-Nov	27-Jan	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		WSO	B	25,000	32.97	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WSO	B	5,000	33.01	n/a	n/a	non-brg	1.0
LISTED ERR	099	XOM	S	920	69.96	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		XRT	C	175,000	19.69	n/a	n/a	non-brg	1.0
CENTURION GLOBAL MGMT LL	041	XRX	B	11,600	5.65	n/a	n/a	348.00	3.0
BUCK CAPITAL MGMT	001	YUM	B	30,000	28.09	n/a	5-Feb	1,200.00	4.0
POST ROAD CAP	045	YUM	S	18,260	28.00	n/a	5-Feb	547.80	3.0
COUGAR TRADING	044	ZQK	S	10,000	1.44	19-Dec	27-Jan	200.00	2.0
TOTAL (Trade Date) :							172,182.95		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/23	LISTED ERR	099	AXP	S	400	12.25	n/a	24-Feb	0.00 0.0
AO,CXL	02/23	LISTED ERR	099	AXP	SS	400	12.31	n/a	24-Feb	0.00 0.0
AO,	02/23	LISTED ERR	099	AXP	SS	400	12.25	n/a	24-Feb	0.00 0.0
AO,CXL	02/20	VIKING GLOBAL	030	FII	SS	206,700	19.64	13-Nov	20-Feb	10335.00 5.0
AO,CRT	02/20	VIKING GLOBAL	030	FII	SS	206,700	19.64	13-Nov	20-Feb	10335.00 5.0
AO,CXL	02/23	PERRY PARTNERS	041	NOK	SS	195,000	10.32	n/a	n/a	9750.00 5.0
AO,CRT	02/23	PERRY PARTNERS	041	NOK	SS	195,000	10.32	n/a	n/a	7800.00 4.0
AO,CXL	02/23	CUMBERLAND	038	TBT	B	10,000	46.02	n/a	n/a	400.00 4.0
AO,CRT	02/23	CUMBERLAND	038	TBT	B	10,000	46.02	n/a	n/a	300.00 3.0
AO,CRT	02/20	LISTED ERR	040	XOM	B	920	71.40	n/a	n/a	0.00 0.0
AO,CXL	02/20	ROTHSCHILD ASSET	040	XOM	B	920	71.40	n/a	n/a	41.40 4.5

TOTAL (AO, CXL, CRT) :**-2,091.40**

TOTAL (Trade Date) :

172,182.95

TOTAL (AO, CXL, CRT) :

-2,091.40

TOTAL (RUN DATE) / NFS:**170,091.55**

MRI Securities with Trade Activity on 24-Feb.

SHW

MRI Securities without Trade Activity on 24-Feb.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 36%

YTD = 26%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)