



Daily Compliance Activity Report

Trade Date: 06/15/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	ABH	SS	10,000	22.27	n/a	n/a	400.00	4.0
SUN AMERICA ASSET MGMT	030	ACN	S	2,600	55.57	n/a	n/a	104.00	4.0
PAW PARTNERS	025	ALTR	SS	3,000	43.12	n/a	n/a	150.00	5.0
LISTED ERR	099	ALTR	B	5,000	43.08	n/a	n/a	0.00	0.0
LISTED ERR	099	ALTR	SS	2,000	43.12	n/a	n/a	0.00	0.0
LISTED ERR	099	ALTR	S	3,000	43.07	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		ANN	B	50,000	26.94	n/a	n/a	non-brg	4.0
DAVIDSON KEMPNER ADVISOR	040	ANR	S	30,000	45.12	n/a	n/a	1,500.00	5.0
⚡ BUCK CAPITAL MGMT		APC	B	1,200	71.20	n/a	3-May	non-brg	2.5
⚡ BUCK CAPITAL MGMT		ARCO	S	1,000	20.40	n/a	n/a	non-brg	4.0
f JOHN FRANCIS MCERLEA	FID	ARCO	B	500	20.39	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	ARCO	B	500	20.72	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	ARE	B	19,325	76.12	n/a	n/a	773.00	4.0
SUN AMERICA ASSET MGMT	030	ARUN	B	800	26.34	n/a	n/a	32.00	4.0
PAW PARTNERS	025	ATML	SS	10,000	13.35	n/a	n/a	500.00	5.0
LISTED ERR	099	ATR	B	1,500	50.62	n/a	n/a	0.00	0.0
LISTED ERR	099	ATR	SS	1,500	50.65	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AVY	B	3,000	36.49	n/a	6-Jun	non-brg	2.5
EDWARD W WHEELER	FID	AVY	B	2000	36.45	n/a	6-Jun	0.00	0.0
BUCK CAPITAL MGMT		AXP	B	800	47.45	n/a	15-Jun	non-brg	2.5
BUCK CAPITAL MGMT		BAC	B	6,700	10.51	n/a	2-Jun	non-brg	2.5
PIONEER PATH	028	BAC	B	23,877	10.49	n/a	2-Jun	716.31	3.0
BUCK CAPITAL MGMT		BBBY	S	20,000	52.84	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	BBBY	S	9,000	53.76	n/a	n/a	360.00	4.0
BUCK CAPITAL MGMT		BBY	S	80,000	30.64	n/a	n/a	non-brg	4.0
HAHN CAPITAL MANAGEMENT	084	BCO	S	3,870	27.40	n/a	n/a	193.50	5.0
PAW PARTNERS	025	BCSI	S	3,898	22.04	n/a	n/a	194.90	5.0
HAHN CAPITAL MANAGEMENT	084	BGC	B	28,565	37.79	n/a	n/a	1,428.25	5.0
CAPITAL GROWTH MGMT.	241	BHI	S	6,500	69.91	n/a	n/a	325.00	5.0
SUN AMERICA ASSET MGMT	030	BIDU	B	400	120.18	n/a	n/a	16.00	4.0
BUCK CAPITAL MGMT		BK	B	3,700	26.13	n/a	2-Jun	non-brg	2.5
BUCK CAPITAL MGMT		BODY	B	3,500	20.09	n/a	n/a	non-brg	2.5
DELTEC ASSET MGMT.	040	BONT	B	10,000	8.48	n/a	n/a	500.00	5.0
FRANCIS MICHAEL MCCA	FID	BWA	S	200	70.22	n/a	29-Apr	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		BWLD	SS	10,000	59.31	n/a	n/a	non-brg 4.0
f STEFFANIE CARMELA CA	FID	CLNE	B	350	12.79	n/a	n/a	0.00 0.0
B BUCK CAPITAL MGMT		COF	B	3,800	47.89	n/a	15-Jun	non-brg 2.5
B BUCK CAPITAL MGMT		COH	B	10,000	58.85	n/a	3-Jun	non-brg 4.0
SENATOR INVESTMENT GROUP	036	COL	S	150,000	59.10	n/a	3-Jun	4,500.00 3.0
f BRIAN W CRAGO	FID	CSCO	S	100	14.92	n/a	n/a	0.00 0.0
SUN AMERICA ASSET MGMT	030	CTSH	B	900	67.99	n/a	n/a	36.00 4.0
GOLD SACHS SPECIAL SIT	030	CVGI	B	36,628	13.91	n/a	n/a	1,831.40 5.0
f EDWARD W WHEELER	FID	CXS	S	10000	10.32	n/a	n/a	0.00 0.0
SUN AMERICA ASSET MGMT	030	CY	B	1,700	20.40	n/a	n/a	68.00 4.0
B BUCK CAPITAL MGMT		C	B	3,200	37.94	n/a	14-Jun	non-brg 2.5
IVORY CAPITAL	034	C	B	100,000	38.01	n/a	14-Jun	5,000.00 5.0
PIONEER PATH	028	C	B	19,894	37.79	n/a	14-Jun	596.82 3.0
SANDLER O'NEILL ASSET	031	C	B	5,000	38.68	n/a	14-Jun	100.00 2.0
B BUCK CAPITAL MGMT		DAL	B	14,200	9.35	n/a	n/a	non-brg 2.5
B BUCK CAPITAL MGMT		DDS	B	1,000	49.52	n/a	n/a	non-brg 2.5
B BUCK CAPITAL MGMT		DHI	B	12,600	10.92	n/a	n/a	non-brg 2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		DVN	B	2,500	76.88	n/a	16-May	non-brg 2.5
f KAREN F LEDDY	FID	EGO	B	900	14.02	n/a	n/a	0.00 0.0
AMBER CAPITAL	046	ENR	B	9,000	69.67	n/a	14-Jun	360.00 4.0
DAVIDSON KEMPNER ADVISOR	040	EP	B	50,000	19.38	n/a	n/a	2,500.00 5.0
RIDGECREST INV MGMT LLC	028	ERY	B	10,000	16.59	n/a	n/a	500.00 5.0
ASCEND PARTNERS	033	ETN	B	100,000	46.53	n/a	17-May	5,000.00 5.0
f EDWARD W WHEELER	FID	EXH	B	3000	19.87	n/a	11-Jan	0.00 0.0
CAPITAL GROWTH MGMT.	241	FCX	S	6,000	48.38	n/a	n/a	300.00 5.0
f KAREN F LEDDY	FID	FIG	B	2200	4.76	n/a	n/a	0.00 0.0
ROYCE TRADING	012	FINL	B	2,500	21.91	n/a	10-May	125.00 5.0
NCM CAPITAL MGMT	035	FITB	S	42,375	12.29	n/a	n/a	1,695.00 4.0
B BUCK CAPITAL MGMT		FOR	B	8,300	16.00	n/a	5-May	non-brg 2.5
MERRILL ASSET/BLACKROCK	297	FOR	B	40,300	15.98	n/a	5-May	1,612.00 4.0
NCM CAPITAL MGMT	035	FULT	S	51,550	10.43	n/a	n/a	2,062.00 4.0
OZ MGMT	040	F	C	70,865	13.12	n/a	2-Jun	2,125.95 3.0
PECONIC PARTNERS	036	F	C	100,000	13.30	n/a	2-Jun	5,000.00 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		GES	B	2,400	40.75	n/a	26-May	non-brg	2.5
MACQUARIE INVESTMENT MGMT.	040	GIS	B	10,000	38.12	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	GLBL	B	2,600	5.45	n/a	n/a	104.00	4.0
B BUCK CAPITAL MGMT		GLW	B	12,000	17.98	n/a	20-May	non-brg	2.5
f CHRISTOPHER ROBERT C	FID	GMCR	S	50	80.73	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	GMCR	S	250	80.05	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	GM	B	10,000	28.95	n/a	2-Jun	300.00	3.0
B BUCK CAPITAL MGMT		HBI	B	10,000	27.12	n/a	21-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		HBI	B	4,500	27.25	n/a	21-Apr	non-brg	2.5
DAVIDSON KEMPNER ADVISOR	040	HII	C	14,700	36.20	n/a	n/a	735.00	5.0
ROTHSCHILD ASSET	040	HME	S	12,605	60.10	n/a	n/a	504.20	4.0
AMBER CAPITAL	046	HOG	B	49,000	35.86	n/a	n/a	1,960.00	4.0
MATRIX ASSET ADV.	091	HRS	B	2,000	45.20	n/a	4-May	80.00	4.0
f JOSEPH CIRO AMATURO	FID	IGD	B	5000	10.87	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		IP	B	4,000	27.03	n/a	28-Apr	non-brg	2.5
CSFB GLOBAL PROPRIETARY	041	IP	B	75,000	26.95	n/a	28-Apr	3,750.00	5.0
PIONEER PATH	028	IWB	SS	7,900	71.54	n/a	n/a	237.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		JPM	B	3,300	40.65	n/a	2-Jun	non-brg	2.5
B BUCK CAPITAL MGMT		KNX	B	11,300	16.36	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		LEN	B	7,400	17.00	n/a	n/a	non-brg	2.5
f DAVID GEORGE BUCK	FID	LNCR	B	1000	29.40	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	LNC	S	10,025	26.40	n/a	n/a	401.00	4.0
f CHRISTOPHER ROBERT C	FID	LVS	S	200	39.93	n/a	13-Jun	0.00	0.0
ROTHSCHILD ASSET	040	MAA	S	6,100	66.21	n/a	n/a	244.00	4.0
NCM CAPITAL MGMT	035	MAC	B	9,000	49.73	n/a	n/a	360.00	4.0
B BUCK CAPITAL MGMT		MDC	B	4,500	24.31	n/a	n/a	non-brg	2.5
f EDWARD W WHEELER	FID	MDT	B	10000	38.61	n/a	n/a	0.00	0.0
ROYCE TRADING	012	MLI	B	60,000	35.49	n/a	27-Apr	3,000.00	5.0
BRONSON POINT	036	MMM	B	5,000	91.92	n/a	17-May	150.00	3.0
B BUCK CAPITAL MGMT		MS	B	14,900	22.25	n/a	2-Jun	non-brg	2.5
SONTERRA CAP	041	MWV	S	24,024	32.08	n/a	27-Apr	960.96	4.0
SAC CHICAGO ANDREW	031	M	B	146,900	27.36	n/a	3-Jun	5,141.50	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	NEU	SS	3,000	156.31	n/a	n/a	120.00	4.0
PAW PARTNERS	025	OTEX	SS	3,000	59.31	n/a	n/a	150.00	5.0
Ⓕ BUCK CAPITAL MGMT		PLCE	B	3,000	44.74	n/a	8-Jun	non-brg	2.5
Ⓕ BUCK CAPITAL MGMT		PVH	B	2,000	61.79	n/a	2-Jun	non-brg	2.5
Ⓕ BUCK CAPITAL MGMT		P	S	5,000	20.35	n/a	n/a	non-brg	2.5
Ⓕ BUCK CAPITAL MGMT		RCL	B	30,000	33.63	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		RCL	B	30,000	33.83	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		RCL	B	30,000	33.83	n/a	n/a	non-brg	4.0
Ⓕ DAVID GEORGE BUCK	FID	REGN	S	1000	59.96	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	REN	B	1,400	16.93	n/a	n/a	56.00	4.0
BRONSON POINT	036	RLD	SS	10,000	23.81	n/a	n/a	300.00	3.0
Ⓕ EDWARD W WHEELER	FID	RUSHA	B	1900	17.63	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		RYL	B	4,900	15.92	n/a	n/a	non-brg	2.5
Ⓕ BUCK CAPITAL MGMT		SAH	S	20,000	12.01	n/a	n/a	non-brg	4.0
PAW PARTNERS	025	SAPE	S	10,000	14.42	n/a	n/a	500.00	5.0
Ⓕ JOSEPH CIRO AMATURO	FID	SLW	B	5000	30.94	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		STI	B	2,300	25.34	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		STT	B	2,000	42.65	n/a	2-Jun	non-brg	2.5
B BUCK CAPITAL MGMT		SWFT	B	10,500	12.92	n/a	n/a	non-brg	2.5
SUN AMERICA ASSET MGMT	030	SWFT	B	2,000	13.00	n/a	n/a	80.00	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	S	40,000	29.22	n/a	7-Jun	2,000.00	5.0
TRELLUS PARTNERS LP	028	TIN	B	30,000	28.66	n/a	7-Jun	1,500.00	5.0
B BUCK CAPITAL MGMT		TJX	B	10,000	49.94	n/a	3-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		TJX	B	2,500	49.59	n/a	3-Jun	non-brg	2.5
B BUCK CAPITAL MGMT		TOL	B	16,700	19.75	n/a	n/a	non-brg	2.5
SUN AMERICA ASSET MGMT	030	TPLM	B	3,500	6.50	n/a	n/a	140.00	4.0
f JOSEPH CIRO AMATURO	FID	TZA	S	3000	41.15	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	TZA	S	5000	41.04	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	UNH	S	6,100	49.34	n/a	n/a	305.00	5.0
f KAREN F LEDDY	FID	URRE	S	7000	1.53	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	URZ	S	2500	2.89	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	VE	S	15000	27.66	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		V	B	2,700	74.97	n/a	8-Jun	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BNP PARIBAS SEC	040	WRC	B	40,000	48.21	n/a	6-May	2,000.00	5.0
B BUCK CAPITAL MGMT		WRC	S	10,000	47.74	n/a	6-May	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	XLB	B	30,000	37.67	n/a	n/a	1,500.00	5.0
RIDGECREST INV MGMT LLC	028	XME	SS	15,000	64.55	n/a	n/a	750.00	5.0
f ANTHONY SUTERA	FID	XPO	S	5000	3.04	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	ZLC	B	1400	5.26	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								67,933.79	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/14	ROSEDALE CAPITAL	046	F	S	20,000	13.53	n/a	2-Jun	800.00 4.0
AO,CXL	06/14	ROSEDALE CAPITAL	046	F	S	20,000	13.53	n/a	2-Jun	1000.00 5.0
AO,CXL	06/14	CHESAPEAKE PARTNERS	028	GRM	B	50,960	26.34	n/a	n/a	1528.80 3.0
AO,	06/14	CHESAPEAKE PARTNERS	028	GRM	S	50,960	26.34	n/a	n/a	1528.80 3.0
TOTAL (AO, CXL, CRT) :										-200.00

TOTAL (Trade Date) :	67,933.79
TOTAL (AO, CXL, CRT) :	-200.00

TOTAL (RUN DATE) / NFS :	67,733.79
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CXL	06/13	BUCK CAPITAL MGMT.	NBD CN	B	13,400	11.78	n/a	n/a	536.00	
CRT	06/13	BUCK CAPITAL MGMT	NBD CN	B	13,400	11.78	n/a	n/a	536.00	4.0
AO	06/14	BUCK CAPITAL MGMT	P	B	5,000	16.00	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 15-Jun.
NONE

MRI Securities without Trade Activity on 15-Jun.
DPZ

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 3%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)