



# Daily Compliance Activity Report

Trade Date: 12/21/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HARVEST MGMT	029	AAP	B	5,000	68.61	n/a	n/a	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>700</b>	<b>14.80</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	AEO	B	10,000	14.62	n/a	2-Dec	400.00	4.0
PATTEN & PATTEN	025	AML	B	250	16.31	n/a	n/a	12.50	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>S</b>	<b>600</b>	<b>48.54</b>	<b>n/a</b>	<b>17-Nov</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>APC</b>	<b>S</b>	<b>2,230</b>	<b>75.87</b>	<b>n/a</b>	<b>13-Dec</b>	<b>non-brg</b>	<b>4.0</b>
COOPER CREEK PART	028	ARCO	SS	15,000	19.83	21-Dec	21-Dec	600.00	4.0
RBC CAPITAL MARKETS	040	ARO	B	1,700	15.40	n/a	n/a	68.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ASNA</b>	<b>C</b>	<b>60,000</b>	<b>29.89</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AVY</b>	<b>C</b>	<b>1,170</b>	<b>27.99</b>	<b>n/a</b>	<b>14-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AVY</b>	<b>S</b>	<b>1,170</b>	<b>27.99</b>	<b>n/a</b>	<b>14-Dec</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	025	AVY	B	1,000	27.58	n/a	14-Dec	50.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AXP</b>	<b>S</b>	<b>759</b>	<b>47.38</b>	<b>n/a</b>	<b>20-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BAC</b>	<b>S</b>	<b>21,700</b>	<b>5.21</b>	<b>n/a</b>	<b>21-Dec</b>	<b>non-brg</b>	<b>3.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>BAC</b>	<b>B</b>	<b>10000</b>	<b>5.14</b>	<b>n/a</b>	<b>21-Dec</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	BA	B	100	73.23	n/a	29-Nov	5.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARVEST MGMT	029	BBBY	S	10,000	61.26	n/a	n/a	400.00	4.0
PATTEN & PATTEN	025	BDX	S	200	73.42	n/a	n/a	10.00	5.0
ß BUCK CAPITAL MGMT		BHI	S	500	48.71	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BODY	S	10,000	23.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BODY	S	2,150	23.85	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	BTO	B	600	13.44	n/a	n/a	30.00	5.0
ß BUCK CAPITAL MGMT		BWA	C	1,400	64.40	n/a	9-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		BWA	SS	20,000	63.36	n/a	9-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		BYD	S	8,456	6.67	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		BYI	C	1,100	37.66	n/a	n/a	non-brg	4.0
CASTLE ARK	031	CAT	B	84,400	90.18	n/a	21-Dec	4,220.00	5.0
ß BUCK CAPITAL MGMT		CCL	S	300	32.88	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	CNX	B	20,000	36.15	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		COF	S	4,200	41.79	n/a	15-Dec	non-brg	4.0
HARVEST MGMT	029	COH	B	5,000	59.87	n/a	26-Oct	200.00	4.0
PENNANT CAP MGMT	029	COL	S	140,000	55.01	n/a	5-Dec	4,200.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARVEST MGMT	029	COST	SS	5,000	83.92	n/a	n/a	200.00	4.0
RBC CAPITAL MARKETS	040	CPB	SS	10,000	33.31	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		CVX	C	1,200	105.38	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	CWTR	B	647	1.08	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		C	S	2,918	25.99	n/a	21-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		DAL	S	23,873	8.49	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		DAN	S	4,800	11.56	n/a	1-Dec	non-brg	4.0
COBALT	041	DAN	B	500,000	11.28	n/a	1-Dec	20,000.00	4.0
PATTEN & PATTEN	025	DBA	B	400	28.30	n/a	n/a	20.00	5.0
ß BUCK CAPITAL MGMT		DECK	SS	20,000	88.28	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DECK	SS	20,000	88.28	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DECK	S	45,200	88.64	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DFS	S	4,300	24.13	n/a	15-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		DHI	S	11,505	12.76	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	DHR	S	35,050	46.94	n/a	n/a	1,051.50	3.0
ß BUCK CAPITAL MGMT		DIA	C	846	120.71	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DKS	SS	200	36.34	n/a	16-Nov	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		DKS	SS	49,800	36.38	n/a	16-Nov	non-brg	4.0
ß	BUCK CAPITAL MGMT		DKS	S	30,498	36.36	n/a	16-Nov	non-brg	4.0
	PATTEN & PATTEN	025	DKS	B	500	36.40	n/a	16-Nov	25.00	5.0
ß	BUCK CAPITAL MGMT		DSW	S	45,854	43.39	n/a	11-Feb	non-brg	4.0
ß	BUCK CAPITAL MGMT		DSW	S	60,000	43.49	n/a	11-Feb	non-brg	4.0
ß	BUCK CAPITAL MGMT		DVN	S	1,160	62.40	n/a	13-Dec	non-brg	4.0
	SYSTEMATIC FINANCIAL MGT	035	EA	B	87,500	20.74	n/a	n/a	2,625.00	3.0
	HARVEST MGMT	029	ECL	S	2,500	55.93	n/a	12-Dec	100.00	4.0
f	FRANCIS MICHAEL MCCA	FID	EME	B	500	25.90	n/a	n/a	0.00	0.0
	SANDLER CAPITAL	028	EMR	SS	175,000	46.68	n/a	n/a	8,750.00	5.0
	TUDOR INVESTORS	028	EMR	B	5,000	47.15	n/a	n/a	150.00	3.0
	RBC CAPITAL MARKETS	040	ENR	B	7,200	73.75	n/a	7-Nov	288.00	4.0
	PATTEN & PATTEN	025	EP	S	3,500	25.57	n/a	n/a	175.00	5.0
ß	BUCK CAPITAL MGMT		ESV	S	3,270	48.05	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		ETH	C	45,400	23.12	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		FINL	S	5,880	20.67	n/a	19-Dec	non-brg	4.0
ß	BUCK CAPITAL MGMT		FITB	S	4,356	12.44	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		FL	S	5,130	23.27	n/a	18-Nov	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	040	FL	B	6,900	22.70	n/a	18-Nov	276.00	4.0
⌘ BUCK CAPITAL MGMT		FOR	S	2,030	15.58	n/a	3-Nov	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	SS	10,000	83.68	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	SS	30,000	82.01	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	SS	5,700	83.75	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	FOSL	SS	5,000	83.18	n/a	n/a	200.00	4.0
⌘ BUCK CAPITAL MGMT		FRAN	C	30,000	18.47	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FRED	C	47,800	14.28	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	7,700	18.81	n/a	2-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GM	C	150,000	19.97	n/a	2-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GM	C	7,400	20.41	n/a	2-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GS	S	112	91.63	n/a	21-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GWW	C	900	187.01	n/a	12-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HAL	S	3,100	33.70	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	HNZ	SS	5,000	53.72	n/a	n/a	200.00	4.0
LISTED ERR	099	HNZ	B	3,000	53.75	n/a	n/a	0.00	0.0
LISTED ERR	099	HNZ	S	3,000	53.68	n/a	n/a	0.00	0.0
HOPLITE MGMT	025	HPQ	SS	35,000	25.08	n/a	n/a	1,400.00	4.0
⌘ BUCK CAPITAL MGMT		HTZ	S	9,400	11.03	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CAP MGMT LLC	084	IFF	SS	13,000	52.14	n/a	12-Dec	650.00	5.0
⌘ BUCK CAPITAL MGMT		IJH	C	848	87.17	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	INTC	B	250	23.55	n/a	n/a	12.50	5.0
⌘ BUCK CAPITAL MGMT		IP	S	2,150	28.67	n/a	27-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IR	S	320	30.97	n/a	20-Oct	non-brg	4.0
RBC CAPITAL MARKETS	040	IWM	SS	13,000	73.23	n/a	n/a	520.00	4.0
⌘ BUCK CAPITAL MGMT		IWO	C	449	84.25	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		JBHT	C	2,100	44.69	n/a	n/a	non-brg	4.0
BASIX	033	JNY	S	74,900	9.54	n/a	27-Oct	2,247.00	3.0
⌘ BUCK CAPITAL MGMT		JPM	S	890	32.25	n/a	21-Dec	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	070	JWN	B	82,200	48.91	n/a	2-Dec	3,288.00	4.0
RBC CAPITAL MARKETS	040	JWN	B	25,000	49.25	n/a	2-Dec	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		KMT	C	600	36.09	n/a	28-Oct	non-brg	4.0
SONTERRA CAP	041	KMX	B	55,408	28.99	n/a	n/a	2,216.32	4.0
RBC CAPITAL MARKETS	040	KO	B	25,000	69.03	n/a	19-Oct	1,000.00	4.0
RBC CAPITAL MARKETS	040	KR	B	25,000	24.54	n/a	n/a	1,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RBC CAPITAL MARKETS	040	KSS	SS	20,000	49.18	n/a	2-Dec	800.00	4.0
⚡ BUCK CAPITAL MGMT		LEA	S	2,630	37.85	n/a	31-Oct	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LEN	S	6,281	20.01	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	LLY	B	600	41.35	n/a	n/a	30.00	5.0
BNP PARIBAS SEC	040	LPX	B	25,000	7.88	n/a	7-Nov	1,250.00	5.0
PATTEN & PATTEN	025	LQD	B	200	113.28	n/a	n/a	10.00	5.0
⚡ BUCK CAPITAL MGMT		LTD	S	750	39.26	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	LTD	B	25,000	39.13	n/a	n/a	1,000.00	4.0
LOCUS WOOD CAPITAL MGMT	044	MAC	S	20,000	50.22	n/a	n/a	800.00	4.0
⚡ BUCK CAPITAL MGMT		MDY	C	101	158.06	n/a	n/a	non-brg	2.0
HALCYON LLC	041	MMI	S	50,000	38.67	n/a	n/a	1,500.00	3.0
FIRST NY SEC/CHRIS	041	MSFT	B	25,000	25.65	n/a	n/a	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		MSMSBCM	C	3,980,694	95.50	n/a	n/a	non-brg	0.3
⚡ BUCK CAPITAL MGMT		MSMSBCM	SS	3,980,694	95.50	n/a	n/a	non-brg	0.0
⚡ BUCK CAPITAL MGMT		MSMSRASH	C	2,100	98.84	n/a	n/a	non-brg	7.9
⚡ BUCK CAPITAL MGMT		MS	S	800	14.88	n/a	21-Dec	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PZENA INV ADV	044	MTSC	S	900	40.04	n/a	n/a	31.50	3.5
PATTEN & PATTEN	025	MUNI	B	500	52.97	n/a	n/a	25.00	5.0
HARVEST MGMT	029	MW	B	10,000	32.57	n/a	n/a	400.00	4.0
THOMPSON SIEGAL&WALMSLEY	029	MYL	S	24,800	21.32	21-Dec	21-Dec	992.00	4.0
RBC CAPITAL MARKETS	040	M	B	10,000	31.96	n/a	2-Dec	400.00	4.0
PATTEN & PATTEN	025	NSC	B	100	70.36	n/a	n/a	5.00	5.0
FARALLON CAP MGMT	040	ORCL	B	250,000	25.39	n/a	n/a	10,000.00	4.0
ß BUCK CAPITAL MGMT		PCAR	S	1,880	37.15	n/a	21-Dec	non-brg	4.0
PATTEN & PATTEN	025	PCAR	S	150	36.69	n/a	21-Dec	7.50	5.0
PATTEN & PATTEN	025	PHO	S	4,000	16.54	n/a	n/a	200.00	5.0
ß BUCK CAPITAL MGMT		PH	C	1,200	75.77	n/a	19-Oct	non-brg	4.0
PATTEN & PATTEN	025	PIO	S	800	15.11	n/a	n/a	40.00	5.0
COLUMBUS CAP MGMT LLC	084	PPG	SS	9,000	81.41	n/a	14-Dec	450.00	5.0
ß BUCK CAPITAL MGMT		PVH	B	33,600	66.00	n/a	2-Dec	non-brg	0.0
ß BUCK CAPITAL MGMT		PVH	B	86,400	66.00	n/a	2-Dec	non-brg	0.0
ß BUCK CAPITAL MGMT		PVH	S	43,000	68.19	n/a	2-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		PVH	S	503	68.14	n/a	2-Dec	non-brg	4.0



	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
	MA CAPITAL MGMT/MELLON ADV041	PVH	B	3,000	66.52	n/a	2-Dec	150.00	5.0	
	RBC CAPITAL MARKETS	040	PVH	B	5,000	66.99	n/a	2-Dec	200.00	4.0
B	BUCK CAPITAL MGMT	RCL	S	7,320	24.47	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT	RIG	S	2,383	39.89	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT	RYL	S	5,896	15.68	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT	R	S	1,760	52.70	n/a	n/a	non-brg	4.0	
	HARVEST MGMT	029	SHW	B	5,000	87.25	n/a	14-Dec	200.00	4.0
B	BUCK CAPITAL MGMT	SIG	C	200	43.84	n/a	n/a	non-brg	4.0	
f	NICOLAS EVAN PAKLER	FID	SIMO	B	250	20.20	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT	SLB	S	990	67.55	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT	SLM	S	6,000	13.53	n/a	19-Dec	non-brg	4.0	
	NEWLAND CAPITAL MGT	025	SOA	S	50,000	16.55	n/a	n/a	2,000.00	4.0
	LOCUS WOOD CAPITAL MGMT	044	SPG	B	30,000	127.46	n/a	n/a	1,200.00	4.0
f	GAVIN N CADWALLADER	FID	SPR	B	100	20.35	n/a	11-Nov	0.00	0.0
	TROUBH PART	070	SPR	B	25,000	20.41	n/a	11-Nov	1,000.00	4.0
B	BUCK CAPITAL MGMT	SPY	C	789	124.09	n/a	n/a	non-brg	2.0	
	ROARING BLUE LION MGMT	031	SSI	S	17,223	14.37	n/a	n/a	688.92	4.0

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PATTEN & PATTEN	025	STI	S	400	16.50	n/a	n/a	20.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>STT</b>	<b>S</b>	<b>2,200</b>	<b>40.47</b>	<b>n/a</b>	<b>21-Dec</b>	<b>non-brg</b>	<b>4.0</b>
KACELA CAPITAL	034	STZ	B	60,000	20.23	n/a	n/a	2,400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SWN</b>	<b>S</b>	<b>4,580</b>	<b>32.61</b>	<b>n/a</b>	<b>20-Dec</b>	<b>non-brg</b>	<b>4.0</b>
FIRST NEW YORK LEDDY	035	S	S	20,000	2.36	n/a	n/a	800.00	4.0
LOCUS WOOD CAPITAL MGMT	044	TCO	S	15,000	62.18	n/a	n/a	600.00	4.0
BASSWOOD PARTNERS	267	TEVA	B	6,300	41.84	n/a	21-Dec	252.00	4.0
PATTEN & PATTEN	025	TEVA	B	700	41.65	n/a	21-Dec	35.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TIF</b>	<b>S</b>	<b>400</b>	<b>64.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CSFB GLOBAL PROPRIETARY	041	TIN	SS	30,000	31.58	n/a	19-Oct	1,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>S</b>	<b>870</b>	<b>63.46</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
PATTEN & PATTEN	025	TM	S	100	63.99	n/a	n/a	5.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TOL</b>	<b>S</b>	<b>2,450</b>	<b>20.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>UAL</b>	<b>S</b>	<b>6,000</b>	<b>20.27</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>UA</b>	<b>S</b>	<b>15,120</b>	<b>70.65</b>	<b>n/a</b>	<b>26-Oct</b>	<b>non-brg</b>	<b>4.0</b>
CSFB GLOBAL PROPRIETARY	041	UFS	B	10,000	79.58	n/a	n/a	500.00	5.0

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RBC CAPITAL MARKETS	040	URBN	B	10,000	26.92	n/a	n/a	400.00	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>VRA</b>	<b>B</b>	<b>260</b>	<b>30.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	025	VZ	B	150	38.95	n/a	n/a	7.50	5.0
PATTEN & PATTEN	025	V	S	100	99.71	n/a	20-Dec	5.00	5.0
RBC CAPITAL MARKETS	040	WMT	B	20,000	59.55	n/a	16-Nov	800.00	4.0
RBC CAPITAL MARKETS	040	WMT	S	10,000	59.28	n/a	16-Nov	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WNC</b>	<b>S</b>	<b>17,350</b>	<b>6.87</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
HAHN CAPITAL MANAGEMENT	084	WU	B	83,235	18.09	n/a	n/a	4,161.75	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WYNN</b>	<b>SS</b>	<b>30,000</b>	<b>105.42</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>
LOCUS WOOD CAPITAL MGMT	044	XLE	B	20,000	67.45	n/a	n/a	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>XLF</b>	<b>C</b>	<b>7,182</b>	<b>12.78</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>XPO</b>	<b>S</b>	<b>1250</b>	<b>11.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARY B MCCARTAN</b>	<b>FID</b>	<b>XPO</b>	<b>S</b>	<b>250</b>	<b>11.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>ZAGG</b>	<b>S</b>	<b>50</b>	<b>1.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ZUMZ</b>	<b>C</b>	<b>60,000</b>	<b>29.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

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**TOTAL (Trade Date):**

**96,505.99**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/20	HARVEST MGMT	029	LAVA	SS	10,000	7.16	n/a	n/a	300.00 3.0
AO,CXL	12/20	HARVEST MGMT	029	LAVA	SS	10,000	7.16	n/a	n/a	400.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-100.00</b>
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TOTAL (Trade Date) :	96,505.99
TOTAL (AO, CXL, CRT) :	-100.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>96,405.99</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 21-Dec.

ARCO, MYL

MRI Securities without Trade Activity on 21-Dec.

SLXP, NKE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 2%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)