



Daily Compliance Activity Report

Trade Date: 02/13/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|----------------------------|------------|--------------|-----------|----------------|---------------|---------------|---------------|----------------|------------|
| CATTANI | 041 | AAPL | S | 100 | 129.00 | n/a | n/a | 0.00 | 0.0 |
| DSM CAPITAL PARTNERS | 032 | ADSK | B | 1,595 | 38.63 | n/a | n/a | 74.43 | 2.5 |
| BALYASNY ASSET MGMT | 044 | AMTD | S | 50,000 | 18.07 | n/a | n/a | 2,500.00 | 5.0 |
| B BUCK CAPITAL MGMT | | ANF | S | 75,000 | 78.93 | 10-Jan | 12-Feb | non-brg | 4.0 |
| COUGAR TRADING | 044 | ARGN | S | 3,200 | 19.42 | n/a | 13-Feb | 160.00 | 5.0 |
| B BUCK CAPITAL MGMT | | ARO | S | 100,000 | 27.45 | 29-Nov | 8-Feb | non-brg | 4.0 |
| DENVER INST. ADV | 046 | ARO | S | 35,150 | 28.03 | 29-Nov | 8-Feb | 1,406.00 | 4.0 |
| LUCHANSKY2 | 036 | AVP | SS | 800 | 40.39 | 5-Feb | 5-Feb | 0.00 | 0.0 |
| CATTANI | 041 | AVP | SS | 185 | 40.40 | 5-Feb | 5-Feb | 0.00 | 0.0 |
| PHILADELPHIA FINANCIAL | 033 | BEN | B | 10,796 | 96.95 | 25-Sep | 11-Feb | 539.80 | 5.0 |
| STRAUSS ASSET MGMT | 040 | CBEY | B | 7,500 | 27.15 | n/a | n/a | 300.00 | 4.0 |
| DSM CAPITAL PARTNERS | 032 | CELG | B | 3,910 | 56.93 | n/a | n/a | 182.85 | 2.5 |
| SOUTH POINT CAP | 067 | CF | B | 100,000 | 113.51 | n/a | n/a | 5,000.00 | 5.0 |
| GOLDMAN SACHS ASSET MGMT | 044 | CHK | B | 132,046 | 41.45 | n/a | n/a | 2,640.92 | 2.0 |
| B BUCK CAPITAL MGMT | | CKNTF | C | 2,579 | 0.84 | n/a | n/a | non-brg | 1.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|---------------------|------|--------|-----|--------|--------|--------|----------|-------------|-----|
| ⌘ BUCK CAPITAL MGMT | | CKNTF | C | 2,842 | 0.90 | n/a | n/a | non-brg | 1.0 |
| COUGAR TRADING | 044 | CLMS | B | 10,000 | 21.24 | 13-Feb | 13-Feb | 500.00 | 5.0 |
| ZOLIDIS | 011 | CMG | B | 100 | 109.86 | n/a | 24-Apr | 0.00 | 0.0 |
| MERRILL ASSET | 032 | CNB | B | 32,300 | 13.77 | n/a | n/a | 1,453.50 | 4.5 |
| HEALY CIRCLE | 044 | COF | SS | 10,000 | 48.03 | n/a | n/a | 500.00 | 5.0 |
| RAINIER INV MGMT | 035 | COL | B | 50,000 | 61.86 | n/a | n/a | 2,500.00 | 5.0 |
| CROWLEY | 061 | CRMMX | S | 390 | 27.85 | n/a | n/a | 0.00 | 0.0 |
| ⌘ BUCK CAPITAL MGMT | | CROX | B | 10,000 | 33.13 | n/a | n/a | non-brg | 4.0 |
| T. ROWE PRICE | 033 | CVS | S | 75,000 | 40.62 | n/a | n/a | 3,000.00 | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | DECK | B | 20,000 | 119.37 | n/a | n/a | non-brg | 2.5 |
| CHARTER OAK | 033 | DE | B | 48,050 | 85.42 | n/a | n/a | 2,402.50 | 5.0 |
| KENNEDY CAPITAL | 046 | DFG | S | 56,355 | 29.15 | n/a | n/a | 2,254.20 | 4.0 |
| US BANCORP | 030 | DFG | B | 10,000 | 29.18 | n/a | n/a | 500.00 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | DSW | S | 30,000 | 19.55 | 26-Oct | 8-Feb | non-brg | 2.0 |
| ⌘ BUCK CAPITAL MGMT | | DSW | S | 40,000 | 19.79 | 26-Oct | 8-Feb | non-brg | 4.0 |
| GAULT | 063 | DUG | B | 500 | 41.71 | n/a | n/a | 0.00 | 0.0 |
| WHEELER | 008 | DWSN | S | 600 | 55.35 | n/a | n/a | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------|------|--------|-----|---------|--------|--------|----------|-------------|-----|
| GAULT | 063 | DXD | B | 1,000 | 55.61 | n/a | n/a | 0.00 | 0.0 |
| KERN | 050 | EFT | S | 1,000 | 13.85 | n/a | n/a | 0.00 | 0.0 |
| CROWLEY | 061 | EFT | S | 2,347 | 13.85 | n/a | n/a | 0.00 | 0.0 |
| MAGUIRE | 090 | EFT | S | 28,900 | 13.85 | n/a | n/a | 0.00 | 0.0 |
| BALYASNY ASSET MGMT | 044 | FII | SS | 30,000 | 42.28 | n/a | 13-Feb | 1,500.00 | 5.0 |
| RHO CAPITAL MGMT | 041 | FSLR | S | 100 | 213.78 | n/a | n/a | 4.00 | 4.0 |
| COLE | N24 | FSLR | B | 10 | 211.32 | n/a | n/a | 0.00 | 0.0 |
| LEDDY | 035 | F | SS | 1,500 | 6.54 | n/a | 4-Feb | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | GES | B | 10,000 | 40.67 | n/a | n/a | 400.00 | 4.0 |
| SAXON WOODS ADVISORS | 038 | GE | S | 100,000 | 34.86 | n/a | n/a | 4,000.00 | 4.0 |
| COLE | N24 | GFG | S | 100 | 15.80 | n/a | n/a | 0.00 | 0.0 |
| BALYASNY ASSET MGMT | 044 | GM | B | 10,000 | 25.78 | 3-Jan | 13-Feb | 500.00 | 5.0 |
| DIAMONDBACK CAP MGMT | 232 | GM | SS | 30,000 | 26.15 | 3-Jan | 13-Feb | 1,500.00 | 5.0 |
| WOOSTER CAPITAL | 035 | GM | SS | 25,000 | 26.34 | 3-Jan | 13-Feb | 1,250.00 | 5.0 |
| Õ Alice Longley | | GS | B | 150 | 177.85 | 21-Sep | 22-Jan | 0.00 | 0.0 |
| CROWLEY | 061 | GXP | B | 1,000 | 27.07 | n/a | n/a | 0.00 | 0.0 |
| ß BUCK CAPITAL MGMT | | GYMB | S | 100,000 | 39.78 | n/a | 8-Feb | non-brg | 4.0 |
| BULL PATH CAPITAL | 044 | IGT | SS | 38,500 | 46.10 | n/a | 18-Jan | 1,925.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------|--------|-----|---------|--------|--------|----------|-------------|-----|
| LEDDY | 035 | ITCD | S | 2,800 | 3.53 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | JAH | S | 20,000 | 23.56 | n/a | n/a | 800.00 | 4.0 |
| EGS ASSOCIATES LP | 091 | JCG | SS | 3,500 | 44.02 | 28-Nov | 4-Feb | 210.00 | 6.0 |
| BUCK CAPITAL MGMT | 001 | JCP | S | 390,000 | 47.71 | 4-Jan | 8-Feb | 15,600.00 | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | JCP | S | 100,000 | 48.34 | 4-Jan | 8-Feb | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | JCP | S | 50,000 | 48.12 | 4-Jan | 8-Feb | non-brg | 1.0 |
| ACK ASSET | 028 | JEF | S | 13,000 | 18.52 | n/a | n/a | 520.00 | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | JOSB | B | 20,000 | 23.88 | n/a | n/a | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | KSS | S | 50,000 | 46.59 | 4-Jan | 8-Feb | non-brg | 4.0 |
| ⌘ BUCK CAPITAL MGMT | | KSS | S | 50,000 | 47.32 | 4-Jan | 8-Feb | non-brg | 4.0 |
| COUGAR TRADING | 044 | KSS | SS | 20,000 | 46.35 | 4-Jan | 8-Feb | 1,000.00 | 5.0 |
| COUGAR TRADING | 044 | KSS | S | 25,000 | 46.56 | 4-Jan | 8-Feb | 1,250.00 | 5.0 |
| FIRST NY SEC/CHRIS | 041 | KSS | SS | 10,000 | 46.51 | 4-Jan | 8-Feb | 600.00 | 6.0 |
| MERRILL LYNCH STRATEGICI | 034 | KSS | B | 25,000 | 46.48 | 4-Jan | 8-Feb | 1,250.00 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | LAMR | B | 50,000 | 42.81 | n/a | n/a | non-brg | 4.0 |
| CAXTON PART | 040 | LINTA | C | 50,000 | 16.07 | n/a | n/a | 1,750.01 | 3.5 |
| DSG CAPITAL | 225 | LIZ | SS | 10,000 | 22.18 | n/a | 28-Jan | 500.00 | 5.0 |
| TPG-AXON | 040 | LMT | S | 150,000 | 108.09 | n/a | n/a | 7,500.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | LTM | B | 50,000 | 41.02 | n/a | n/a | 2,000.00 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------------|------------|-------------|-----------|----------------|---------------|---------------|---------------|------------------|-------------|
| GENERAL ELECTIC CO | 033 | MRK | B | 18,914 | 47.33 | n/a | n/a | 756.56 | 4.0 |
| B BUCK CAPITAL MGMT | | MVRX | SS | 180,000 | 149.50 | n/a | n/a | non-brg | 15.0 |
| BEAR STEARNS ASSET MGMT. | 033 | M | B | 100,000 | 24.50 | 7-Feb | 8-Feb | 4,000.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | M | S | 400,000 | 24.53 | 7-Feb | 8-Feb | 16,000.00 | 4.0 |
| B BUCK CAPITAL MGMT | | M | S | 100,000 | 24.50 | 7-Feb | 8-Feb | non-brg | 4.0 |
| B BUCK CAPITAL MGMT | | M | S | 100,000 | 24.51 | 7-Feb | 8-Feb | non-brg | 1.0 |
| PATTEN & PATTEN | 067 | NCC | S | 1,000 | 16.69 | n/a | n/a | 50.00 | 5.0 |
| B BUCK CAPITAL MGMT | | NEXC | B | 40,000 | 4.19 | n/a | n/a | non-brg | 2.5 |
| LUCHANSKY | 036 | NIHD | B | 300 | 43.22 | n/a | n/a | 0.00 | 0.0 |
| COUGAR TRADING | 044 | OXM | SS | 10,000 | 21.66 | n/a | n/a | 500.00 | 5.0 |
| SUN AMERICA ASSET MGMT | 030 | PG | B | 15,000 | 67.16 | 11-Dec | 1-Feb | 600.00 | 4.0 |
| LUCHANSKY2 | 036 | PG | B | 500 | 67.02 | 11-Dec | 1-Feb | 0.00 | 0.0 |
| CATTANI | 041 | PG | B | 110 | 67.05 | 11-Dec | 1-Feb | 0.00 | 0.0 |
| DSG CAPITAL | 225 | PSUN | B | 10,000 | 11.28 | n/a | 8-Feb | 500.00 | 5.0 |
| DSG CAPITAL | 225 | PSUN | S | 10,000 | 11.60 | n/a | 8-Feb | 500.00 | 5.0 |
| B BUCK CAPITAL MGMT | | PVH | S | 50,000 | 42.14 | 5-Feb | 5-Feb | non-brg | 4.0 |
| COUGAR TRADING | 044 | PVH | SS | 20,000 | 41.79 | 5-Feb | 5-Feb | 1,000.00 | 5.0 |
| LEDDY | 035 | PZN | SS | 700 | 11.69 | 12-Feb | 12-Feb | 0.00 | 0.0 |
| FIRST NEW YORK LEDDY | 035 | Q | B | 25,000 | 5.41 | n/a | n/a | 1,500.00 | 6.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------|--------|-----|--------|--------|--------|----------|-------------|-----|
| COLE | N24 | REXI | S | 40 | 12.66 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | RL | SS | 20,000 | 67.38 | 7-Feb | 7-Feb | 800.00 | 4.0 |
| BUCK CAPITAL MGMT | | RL | SS | 20,000 | 66.63 | 7-Feb | 7-Feb | non-brg | 4.0 |
| COUGAR TRADING | 044 | RL | SS | 5,000 | 65.98 | 7-Feb | 7-Feb | 250.00 | 5.0 |
| BUCK CAPITAL MGMT | | RTH | SS | 18,000 | 93.67 | n/a | n/a | non-brg | 1.0 |
| BUCK CAPITAL MGMT | 001 | RVI | S | 20,000 | 7.00 | n/a | n/a | 800.00 | 4.0 |
| SUTERA | 060 | SDS | C | 500 | 62.30 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | | SKF | B | 88,400 | 109.22 | n/a | n/a | non-brg | 2.0 |
| GAULT | 063 | SKF | B | 1,500 | 108.47 | n/a | n/a | 0.00 | 0.0 |
| DSM CAPITAL PARTNERS | 032 | SLB | B | 2,250 | 83.19 | n/a | n/a | 106.16 | 2.5 |
| WHEELER | 008 | SPNC | B | 3,000 | 12.45 | n/a | n/a | 0.00 | 0.0 |
| KENNEDY CAPITAL | 046 | SRP | B | 26,305 | 14.32 | n/a | n/a | 1,052.20 | 4.0 |
| N STRATTON | 014 | TECUA | SS | 200 | 25.09 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | TIF | SS | 50,000 | 39.83 | 14-Jan | 8-Feb | 2,000.00 | 4.0 |
| BUCK CAPITAL MGMT | | TJX | S | 20,000 | 30.86 | n/a | 8-Feb | non-brg | 4.0 |
| GOLDMAN SACHS ASSET MGMT | 044 | TMO | S | 60,600 | 56.66 | n/a | n/a | 909.00 | 1.5 |
| BUCK CAPITAL MGMT | | TOL | C | 40,000 | 21.94 | n/a | n/a | non-brg | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------|--------|-----|---------|-------|--------|----------|-------------|-----|
| BUCK CAPITAL MGMT | | TPX | B | 40,000 | 17.73 | n/a | n/a | non-brg | 4.0 |
| BUCK CAPITAL MGMT | | TRLG | B | 60,000 | 19.93 | n/a | n/a | non-brg | 4.0 |
| CENTURION GLOBAL MGMT LL | 041 | TTWO | S | 12,000 | 16.45 | n/a | n/a | 360.00 | 3.0 |
| CROWLEY | 061 | TT | S | 1,000 | 44.57 | 17-Dec | 29-Jan | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | | TWB | S | 20,000 | 31.79 | 9-Jan | 12-Feb | non-brg | 4.0 |
| BUCK CAPITAL MGMT | | URBN | S | 300,000 | 29.25 | 3-Jan | 8-Feb | non-brg | 4.0 |
| SINCLAIR | 031 | VCFA | S | 1 | 0.55 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | | VIRL | S | 5,000 | 6.70 | n/a | n/a | non-brg | 4.0 |
| BUCK CAPITAL MGMT | | WRNC | B | 10,000 | 36.03 | 14-Jan | 29-Jan | non-brg | 1.0 |
| BUCK CAPITAL MGMT | | WRNC | B | 20,000 | 35.65 | 14-Jan | 29-Jan | non-brg | 4.0 |
| BENCHMARK | 028 | WTW | B | 5,000 | 46.16 | n/a | n/a | 250.00 | 5.0 |
| N STRATTON | 014 | WUHAH | B | 2 | 12.50 | n/a | n/a | 0.00 | 0.0 |
| PATTEN & PATTEN | 067 | WY | B | 200 | 63.09 | 8-Jan | 11-Feb | 10.00 | 5.0 |
| GALLEON MGMT LP | 033 | XLE | C | 25,000 | 71.82 | n/a | n/a | 1,250.00 | 5.0 |
| BUCK CAPITAL MGMT | | XTO | C | 10,000 | 55.00 | n/a | n/a | non-brg | 1.0 |
| GALLEON MGMT LP | 033 | YHOO | S | 5,000 | 29.99 | n/a | n/a | 250.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|---------------------|------|--------|-----|--------|-------|-------|------------|--------------|-----|
| Ⓐ BUCK CAPITAL MGMT | | ZQK | B | 2,200 | 9.60 | 5-Feb | 5-Feb | non-brg | 4.0 |
| Ⓐ BUCK CAPITAL MGMT | | ZQK | B | 97,800 | 9.67 | 5-Feb | 5-Feb | non-brg | 4.0 |
| TOTAL (Trade Date): | | | | | | | 103,417.13 | | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------|-------|-------------------------|------|--------|-----|--------|-------|-----|----------|-------------|
| AO, | 02/12 | GENERAL ELECTIC CO | 033 | DIS | B | 22,091 | 32.16 | n/a | n/a | 662.73 3.0 |
| AO,CXL | 02/12 | WHEELER | 008 | DWSN | S | 400 | 55.22 | n/a | n/a | 0.00 0.0 |
| AO,CRT | 02/12 | WHEELER | 008 | DWSN | S | 400 | 55.22 | n/a | n/a | 0.00 0.0 |
| AO,CXL | 02/08 | BARROW HANLEY MEWHINNEY | 096 | SYNP | B | 2,000 | 4.34 | n/a | n/a | 0.00 0.0 |
| AO,CRT | 02/08 | BARROW HANLEY MEWHINNEY | 096 | SYNP | B | 2,000 | 4.34 | n/a | n/a | 0.00 0.0 |

TOTAL (AO, CXL, CRT) :**662.73**

TOTAL (Trade Date) :**103,417.13****TOTAL (AO, CXL, CRT) :****662.73**

TOTAL (RUN DATE) / NFS:**104,079.86**

MRI Securities with Trade Activity on 13-Feb.

CLMS

MRI Securities without Trade Activity on 13-Feb.

CRR

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 28%

YTD = 27%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION