

Daily Compliance Activity Report

Trade Date: 01/09/2009

Potential ANALYST TRADE Violations: 0 BCM Watch List ACTIVITY ALERT: 0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	INDEPENDENCE INV	039	AGN	S	11,700	40.11	5-Jan	9-Jan	468.00	4.0
f	DAVID GEORGE BUCK	FID	ALV	s	1500	22.91	26-Nov	16-Dec	0.00	0.0
f	KAREN F LEDDY	FID	ALV	S	800	22.97	26-Nov	16-Dec	0.00	0.0
	JENNISON	032	AMAT	В	732,100	10.46	n/a	n/a	27,087.70	3.7
ß	BUCK CAPITAL MGMT		ARO	S	50,000	20.11	n/a	9-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		BBY+OD[BBY]	SS	1,000	0.63	n/a	n/a	non-brg	100.0
	THE BOSTON COMPANY	401	BEBE	S	169,531	6.30	n/a	n/a	6,781.24	4.0
f	STEFFANIE CARMELA CA	FID	BGZ	s	30	58.40	n/a	n/a	0.00	0.0
	SCOPUS FUND	041	BIG	В	10,000	14.11	5-Dec	6-Jan	500.00	5.0
f	HARALAMBOS EFSTATHI	FID	ВЈ	s	300	32.35	n/a	27-Jul	0.00	0.0
f	EDWARD W WHEELER	FID	BQI	s	10000	1.21	n/a	n/a	0.00	0.0
	HEALY CIRCLE	044	BWA	SS	25,000	22.86	16-Dec	16-Dec	1,000.00	4.0
f	KAREN F LEDDY	FID	BWA	S	500	23.05	16-Dec	16-Dec	0.00	0.0
	MILLENIUM KOZLOW	028	BWA	SS	10,000	22.90	16-Dec	16-Dec	500.00	5.0
	FORD FDN.	032	СВ	S	35,000	46.33	n/a	n/a	1,750.00	5.0
	BUCK CAPITAL MGMT	001	CCL	SS	100,000	23.49	n/a	26-Jan	4,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
BUCK CAPITAL MGMT		COH+NA[COH]	SS	1,000	1.57	n/a	n/a	non-brg :	300.0
BUCK CAPITAL MGMT		СОН	SS	50,000	18.20	9-Jan	9-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	cvs	В	90,000	25.71	n/a	n/a	3,600.00	4.0
WILLIAM BLAIR	031	CYBS	В	71,300	11.15	n/a	n/a	2,852.00	4.0
INDEPENDENCE INV	039	DE	S	11,300	45.72	8-Dec	8-Dec	452.00	4.0
BUCK CAPITAL MGMT		DLTR	В	50,000	41.20	n/a	6-Jan	non-brg	4.0
DAVID GEORGE BUCK	FID	DNA	s	2000	86.32	n/a	n/a	0.00	0.0
DAVID GEORGE BUCK	FID	DWNCS	В	20	1.60	n/a	n/a	0.00	0.0
DAVID GEORGE BUCK	FID	DWNOO	s	20	2.36	n/a	n/a	0.00	0.0
CUMBERLAND	038	EXH	В	20,000	23.92	n/a	16-Dec	800.00	4.0
BRIGADE CAPITAL MGMT	029	FCH	В	50,000	2.12	n/a	n/a	1,500.00	3.0
BUCK CAPITAL MGMT		FDO+NY[FDO]	SS	2,000	1.58	n/a	n/a	non-brg	300.0
INDEPENDENCE INV	039	FLS	S	11,800	56.18	n/a	n/a	472.00	4.0
BUCK CAPITAL MGMT	001	FL	SS	157,600	8.12	7-0ct	12-Dec	4,728.00	3.0
BUCK CAPITAL MGMT BUCK CAPITAL MGMT		FL FL	ss ss	214,900 27,500	8.03 8.03	7-0ct 7-0ct	12-Dec 12-Dec	non-brg non-brg	3.0 2.5
	BUCK CAPITAL MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT WILLIAM BLAIR INDEPENDENCE INV BUCK CAPITAL MGMT DAVID GEORGE BUCK DAVID GEORGE BUCK CUMBERLAND BRIGADE CAPITAL MGMT BUCK CAPITAL MGMT INDEPENDENCE INV BUCK CAPITAL MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT	BUCK CAPITAL MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT O01 WILLIAM BLAIR 031 INDEPENDENCE INV 039 BUCK CAPITAL MGMT DAVID GEORGE BUCK FID DAVID GEORGE BUCK FID CUMBERLAND 038 BRIGADE CAPITAL MGMT INDEPENDENCE INV 039 BUCK CAPITAL MGMT 039 BUCK CAPITAL MGMT O01	BUCK CAPITAL MGMT COH BUCK CAPITAL MGMT O01 CVS WILLIAM BLAIR O31 CYBS INDEPENDENCE INV O39 DE BUCK CAPITAL MGMT DAVID GEORGE BUCK DAVID GEORGE BUCK DAVID GEORGE BUCK FID DWNCS CUMBERLAND O38 EXH BRIGADE CAPITAL MGMT DUTR FID DWNOO CUMBERLAND O38 EXH FID DHOO TOTAL MGMT DOWNOO CUMBERLAND O39 FCH BUCK CAPITAL MGMT FOO+NY[FDO] INDEPENDENCE INV O39 FLS BUCK CAPITAL MGMT O01 FL BUCK CAPITAL MGMT O01 FL BUCK CAPITAL MGMT FL	BUCK CAPITAL MGMT COH+NA[COH] SS BUCK CAPITAL MGMT COH SS BUCK CAPITAL MGMT O01 CVS B WILLIAM BLAIR 031 CYBS B INDEPENDENCE INV 039 DE S BUCK CAPITAL MGMT DLTR B DAVID GEORGE BUCK FID DNA S DAVID GEORGE BUCK FID DWNCS B CUMBERLAND 038 EXH B BUCK CAPITAL MGMT 029 FCH B BUCK CAPITAL MGMT DUNCS S S BUCK CAPITAL MGMT 039 FLS S BUCK CAPITAL MGMT O39 FLS S BUCK CAPITAL MGMT O39 FLS S BUCK CAPITAL MGMT O39 FLS S BUCK CAPITAL MGMT O01 FL SS BUCK CAPITAL MGMT O01 FL SS BUCK CAPITAL MGMT O01 FL SS SS S S S S S S S S S S	BUCK CAPITAL MGMT COH+NA[COH] SS 1,000 BUCK CAPITAL MGMT COH SS 50,000 BUCK CAPITAL MGMT O01 CVS B 90,000 WILLIAM BLAIR 031 CYBS B 71,300 INDEPENDENCE INV 039 DE S 11,300 BUCK CAPITAL MGMT DLTR B 50,000 DAVID GEORGE BUCK FID DNA S 2000 DAVID GEORGE BUCK FID DWNCS B 20 CUMBERLAND 038 EXH B 20,000 BRIGADE CAPITAL MGMT 029 FCH B 50,000 BUCK CAPITAL MGMT FDO+NY[FDO] SS 2,000 BUCK CAPITAL MGMT FDO+NY[FDO] SS 11,800 BUCK CAPITAL MGMT O01 FL SS 157,600 BUCK CAPITAL MGMT O01 FL SS 157,600 BUCK CAPITAL MGMT FL SS 157,600 BUCK CAPITAL MGMT FL SS 214,900	BUCK CAPITAL MGMT COH+NA[COH] SS 1,000 1.57 BUCK CAPITAL MGMT COH SS 50,000 18.20 BUCK CAPITAL MGMT O01 CVS B 90,000 25.71 WILLIAM BLAIR O31 CYBS B 71,300 11.15 INDEPENDENCE INV O39 DE S 11,300 45.72 BUCK CAPITAL MGMT DLTR B 50,000 41.20 DAVID GEORGE BUCK FID DNA S 2000 86.32 DAVID GEORGE BUCK FID DWNCS B 20 1.60 DAVID GEORGE BUCK FID DWNCO S 20 2.36 CUMBERLAND O38 EXH B 20,000 23.92 BRIGADE CAPITAL MGMT O29 FCH B 50,000 2.12 BUCK CAPITAL MGMT FDO+NY[FDO] SS 2,000 1.58 BUCK CAPITAL MGMT O39 FLS S 11,800 56.18 BUCK CAPITAL MGMT O01 FL SS 157,600 8.12 BUCK CAPITAL MGMT O01 FL SS 157,600 8.12 BUCK CAPITAL MGMT FL SS 214,900 8.03	BUCK CAPITAL MGMT COH SS 50,000 18.20 9-Jan BUCK CAPITAL MGMT COH SS 50,000 18.20 9-Jan BUCK CAPITAL MGMT OO1 CVS B 90,000 25.71 n/a WILLIAM BLAIR O31 CYES B 71,300 11.15 n/a INDEPENDENCE INV O39 DE S 11,300 45.72 8-Dec BUCK CAPITAL MGMT DLTR B 50,000 41.20 n/a DAVID GEORGE BUCK FID DNA S 2000 86.32 n/a DAVID GEORGE BUCK FID DWNCS B 20 1.60 n/a DAVID GEORGE BUCK FID DWNOO S 20 2.36 n/a CUMBERLAND O38 EXH B 20,000 23.92 n/a BUCK CAPITAL MGMT D29 FCH B 50,000 2.12 n/a BUCK CAPITAL MGMT FDO+NY[FDO] SS 2,000 1.58 n/a INDEPENDENCE INV O39 FLS S 11,800 56.18 n/a BUCK CAPITAL MGMT O01 FL SS 157,600 8.12 7-Oct BUCK CAPITAL MGMT O01 FL SS 157,600 8.12 7-Oct BUCK CAPITAL MGMT FD + ON THE COMPANY TO	BUCK CAPITAL MGMT COH+NA[COH] SS 1,000 1.57 n/a n/a BUCK CAPITAL MGMT COH SS 50,000 18.20 9-Jan 9-Jan 8-Jan BUCK CAPITAL MGMT OO1 CVS B 90,000 25.71 n/a n/a NILLIAM BLAIR O31 CYBS B 71,300 11.15 n/a n/a INDEPENDENCE INV O39 DE S 11,300 45.72 8-Dec 8-Dec BUCK CAPITAL MGMT DLTR B 50,000 41.20 n/a 6-Jan DAVID GEORGE BUCK FID DNA S 2000 86.32 n/a n/a DAVID GEORGE BUCK FID DWNCS B 20 1.60 n/a n/a DAVID GEORGE BUCK FID DWNCS B 20 2.36 n/a n/a CUMBERLAND O38 EXH B 20,000 23.92 n/a 16-Dec BRIGADE CAPITAL MGMT DO39 FCH B 50,000 2.12 n/a n/a INDEPENDENCE INV O39 FLS S 11,800 56.18 n/a n/a BUCK CAPITAL MGMT O01 FL SS 157,600 8.12 7-Oct 12-Dec BUCK CAPITAL MGMT O01 FL SS 157,600 8.12 7-Oct 12-Dec BUCK CAPITAL MGMT O01 FL SS 157,600 8.12 7-Oct 12-Dec BUCK CAPITAL MGMT O01 FL SS 214,900 8.03 7-Oct 12-Dec	BUCK CAPITAL MGMT COH SS 50,000 18.20 9-Jan 9-Jan non-brg 9-Jan BUCK CAPITAL MGMT COH SS 50,000 18.20 9-Jan 9-Jan non-brg 9-Jan BUCK CAPITAL MGMT OO1 CVS B 90,000 25.71 n/a n/a 3,600.00 00 00 00 00 00 00 00 00 00 00 00 0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/	Shr.
	PENNANT CAP MGMT	029	FNF	S	200,000	16.65	n/a	n/a	6,000.00	3.0
	ROARING BLUE LION MGMT	012	FNF	В	15,000	16.44	n/a	n/a	600.00	4.0
ß	BUCK CAPITAL MGMT		FOSL	S	28,992	15.15	10-Nov	12-Dec	non-brg	1.0
ß	BUCK CAPITAL MGMT		FOSL	S	3,951	15.15	10-Nov	12-Dec	non-brg	1.5
ß	BUCK CAPITAL MGMT		FOSL	S	9,131	15.15	10-Nov	12-Dec	non-brg	1.0
ß	BUCK CAPITAL MGMT		FRT	SS	50,000	58.03	n/a	n/a	non-brg	4.0
a	BUCK CAPITAL MGMT		GES	S	100,000	15.70	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		GM+OH [GM]	SS	2,991	1.60	n/a	n/a	non-brg 30	0.0
	INDEPENDENCE INV	039	GS	S	12,600	84.70	15-Sep	16-Dec	504.00	4.0
	COUGAR TRADING	044	HAR	SS	15,000	20.34	n/a	26-Nov	750.00	5.0
f	KAREN F LEDDY	FID	HAR	S	700	20.67	n/a	26-Nov	0.00	0.0
	KLEINHEINZ CAP PART	031	HRB	В	25,000	21.50	n/a	n/a	1,250.00	5.0
ß	BUCK CAPITAL MGMT		IYR	SS	200,000	35.19	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		JCG+BB[JCG]	SS	3,000	1.26	n/a	n/a	non-brg 30	0.0
ß	BUCK CAPITAL MGMT		JCG+CB[JCG]	SS	1,000	1.68	n/a	n/a	non-brg 30	0.0
	BUCK CAPITAL MGMT	001	JCG	S	45,000	10.04	n/a	9-Jan	1,800.00	4.0
ß	BUCK CAPITAL MGMT		JCG	S	100,000	9.96	n/a	9-Jan	non-brg	3.0
ß	BUCK CAPITAL MGMT		JCG	S	25,000	10.13	n/a	9-Jan	non-brg	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/S	Shr.
	BUCK CAPITAL MGMT	001	JCP	SS	50,000	21.17	9-Jan	9-Jan	2,000.00 4	1.0
ß	BUCK CAPITAL MGMT		JNY	S	50,000	5.29	n/a	30-Oct	non-brg 3	3.0
a	BUCK CAPITAL MGMT		KSS+BH[KSS]	SS	1,000	2.28	n/a	n/a	non-brg 300	0.0
a	BUCK CAPITAL MGMT		KSS+BI[KSS]	SS	1,000	0.72	n/a	n/a	non-brg 100	0.0
	BUCK CAPITAL MGMT	001	KSS	s	100,000	37.47	n/a	9-Jan	4,000.00 4	1.0
f	JOHN MICHAEL ZOLIDIS	FID	KSWS	В	1000	11.02	n/a	13-Mar	0.00	0.0
f	NEIL M STRATTON NEIL M STRATTON	FID FID	LRGIF	В	1000 1000	10.93 10.94	n/a n/a	n/a n/a		0.0
ß	BUCK CAPITAL MGMT		MAR	SS	100,000	19.83	n/a	n/a	non-brg 4	1.0
	HUNTER GLOBAL INVESTOR	067	MA	S	3,400	148.72	23-Dec	9-Jan	170.00 5	5.0
	COUGAR TRADING	044	MCD	В	2,000	60.37	13-0ct	8-Jan	100.00 5	5.0
f	EDWARD W WHEELER	FID	METPRA	s	10000	15.51	n/a	n/a	0.00	0.0
	DALTON GREINER	012	MLI	S	9,200	23.34	n/a	18-Nov	460.00 5	5.0
	SANDLER O'NEILL ASSET	031	MS	S	75,000	19.82	18-Dec	18-Dec	3,000.00 4	1.0
ß	BUCK CAPITAL MGMT		MW+NV [MW]	SS	1,000	1.05	n/a	n/a	non-brg 300	0.0
ß	BUCK CAPITAL MGMT		MW	SS	100,000	13.20	n/a	n/a	non-brg 2	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm	¢/Shr.
	BALYASNY ASSET MGMT	044	М	SS	30,000	10.63	12-Aug	9-Jan	1,500.00	5.0
	BUCK CAPITAL MGMT	001	M	S	200,000	10.33	12-Aug	9-Jan	8,000.00	4.0
f	FRANCIS MICHAEL MCCA	FID	NCI	В	100	14.03	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		NKE+BK[NKE]	SS	1,000	1.33	n/a	n/a	non-brg	300.0
	BALYASNY ASSET MGMT	044	PAG	SS	15,000	8.76	n/a	23-Dec	750.00	5.0
	TRELLUS PARTNERS LP	028	PAG	SS	400	9.30	n/a	23-Dec	12.00	3.0
	HUNTER GLOBAL INVESTOR	067	PJC	В	15,000	32.94	n/a	n/a	750.00	5.0
	BUCK CAPITAL MGMT	001	PVH	S	20,000	18.91	18-Dec	18-Dec	800.00	4.0
	COUGAR TRADING	044	PVH	В	7,500	18.51	18-Dec	18-Dec	375.00	5.0
ß	BUCK CAPITAL MGMT		QPT+PC[PETM]	SS	1,000	1.05	n/a	n/a	non-brg	300.0
a	BUCK CAPITAL MGMT		QUK+BO[DECK]	SS	300	5.95	n/a	n/a	non-brg	300.0
	HUNTER GLOBAL INVESTOR	067	RJF	В	28,000	17.91	n/a	n/a	1,120.00	4.0
ß	BUCK CAPITAL MGMT		RL+PG[RL]	SS	1,000	2.52	n/a	n/a	non-brg	300.0
	COUGAR TRADING	044	RL	В	5,000	41.81	n/a	6-Nov	250.00	5.0
	INDEPENDENCE INV	039	RL	S	10,800	43.04	n/a	6-Nov	432.00	4.0
f	HARALAMBOS EFSTATHI	FID	SDS	В	100	71.34	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	SDS	В	2200	71.09	n/a	n/a	0.00	0.0
	ENTRUST CAPITAL	040	SHW	S	64,000	60.37	n/a	27-0ct	3,200.00	5.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		SKF	s	26,600	116.43	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		SKX+BV[SKX]	SS	2,000	0.50	n/a	n/a	non-brg	100.0
a	BUCK CAPITAL MGMT		SLM	s	125,000	10.68	n/a	9-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		SPG	SS	100,000	48.89	n/a	n/a	non-brg	4.0
f	DAVID GEORGE BUCK	FID	SPG	В	1000	48.49	n/a	n/a	0.00	0.0
	CENTURION GLOBAL MGMT LL	041	SQNM	S	20,000	22.96	n/a	n/a	600.00	3.0
	CHARTER OAK	033	STJ	В	50,000	32.63	n/a	n/a	2,500.00	5.0
ß	BUCK CAPITAL MGMT		TCO	SS	50,000	23.50	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		TGT+NS[TGT]	SS	3,000	2.00	n/a	n/a	non-brg	300.0
ß	BUCK CAPITAL MGMT		TGT	SS	100,000	36.15	17-Nov	9-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		TGT	SS	100,000	36.55	17-Nov	9-Jan	non-brg	2.0
ß	BUCK CAPITAL MGMT		TIF+QC[TIF]	SS	1,000	1.10	n/a	n/a	non-brg	300.0
ß	BUCK CAPITAL MGMT		TIF	SS	100,000	22.75	n/a	12-Dec	non-brg	2.0
	PRINCIPLE CAPITAL	040	TIF	SS	35,200	22.94	n/a	12-Dec	1,056.00	3.0
	BUCK CAPITAL MGMT	001	VFC	SS	30,000	52.21	n/a	n/a	1,200.00	4.0
ß	BUCK CAPITAL MGMT		WMS	SS	50,000	26.39	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		WMT+BK[WMT]	SS	1,000	1.26	n/a	n/a	non-brg	300.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		WMT	В	70,000	51.93	10-Oct	8-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		WRC+BD [WRC]	SS	1,000	1.28	n/a	n/a	non-brg	300.0
	BUCK CAPITAL MGMT	001	WRC	S	20,000	18.81	7-Nov	7-Nov	800.00	4.0
	EGS ASSOCIATES LP	091	WRC	В	3,000	18.16	7-Nov	7-Nov	180.00	6.0
	BUCK CAPITAL MGMT	001	WRI	S	50,000	18.84	n/a	n/a	2,000.00	4.0
ß	BUCK CAPITAL MGMT		WYNN	SS	100,000	44.71	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		XRT	SS	650,000	21.01	n/a	n/a	non-brg	1.0

TOTAL (Trade Date): 102,649.94

Cancel/Corrects and As-Of Trades

omm.¢/Shr	Comm.	Research	MRI	Price	Shares	B/S	Symbol	RR #	Account	e	D	Туре
.00 5.0	1500.00	5-Dec	5-Dec	13.73	30,000	В	PKG	028	MILLENIUM PARTNERS	08	KL 0	AO,CXL
.00 5.0	1500.00	5-Dec	5-Dec	13.73	30,000	В	PKG	028	MILLENIUM PARTNERS	08	RT 0	AO,CRT
.00 4.0	400.00	n/a	n/a	8.90	10,000	В	WSM	210	JMP ASSET MGMT	06	RT 0	AO,CRT
.00 3.0	300.00	n/a	n/a	8.90	10,000	В	WSM	210	JMP ASSET MGMT	06	KL 0	AO,CXL
	300 100 .		n/a	8.90	10,000	В	WSM	210	JMP ASSET MGMT CXL, CRT):			TOTAL

TOTAL (AO, CXL, CRT):	100.00
TOTAL (RUN DATE) / NFS:	102,749.94

MRI Securities with Trade Activity on 9-Jan.

COH, JCP

MRI Securities without Trade Activity on 9-Jan.

ARG, EV, IVZ, JCI, JWN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 19%

YTD = 27%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)