



Daily Compliance Activity Report

Trade Date: 08/07/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	AAPL	B	200	166.33	n/a	n/a	8.00	4.0
WINSLOW CAPITAL	030	ADBE	B	300	33.14	n/a	n/a	12.00	4.0
B BUCK CAPITAL MGMT		ADM	B	38,000	28.74	1-Jun	4-Aug	non-brg	1.0
BUCK CAPITAL MGMT	001	AEO	B	125,000	15.49	25-Jun	7-Aug	5,000.00	4.0
B BUCK CAPITAL MGMT		AEO	B	30,000	15.44	25-Jun	7-Aug	non-brg	2.0
B BUCK CAPITAL MGMT		AEO	B	45,000	15.55	25-Jun	7-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		AGCO	S	10,000	33.43	29-Jun	29-Jul	non-brg	1.0
f JOSEPH CIRO AMATURO	FID	AIG	S	1000	25.10	n/a	n/a	0.00	0.0
f ROBERT M DANIELS	FID	ALU	S	750	3.34	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ANF	S	40,000	32.10	3-Jun	7-Aug	non-brg	4.0
HOLLIS CAPITAL	033	AN	B	5,000	19.98	3-Aug	5-Aug	250.00	5.0
f KAREN F LEDDY	FID	ARGN	S	1500	8.47	n/a	6-Aug	0.00	0.0
B BUCK CAPITAL MGMT		ARG	B	40,400	45.19	6-Aug	6-Aug	non-brg	1.0
BUCK CAPITAL MGMT	001	ARO	B	50,000	36.30	n/a	7-Aug	2,000.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	13,300	37.50	n/a	7-Aug	532.00	4.0
SNYDER CAPITAL	038	ARP	B	58,800	9.83	n/a	n/a	2,352.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	AVP	B	50,000	32.30	3-Aug	3-Aug	2,000.00	4.0
ß BUCK CAPITAL MGMT		AVY	B	127,300	27.46	28-Apr	31-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		AXE	B	55,200	34.61	28-Jul	28-Jul	non-brg	1.0
CIRCLE T/SES PART	035	AXL	B	50,000	3.34	n/a	6-Aug	2,000.00	4.0
MILLRACE FUND LP	028	AXL	B	140,000	3.26	n/a	6-Aug	4,200.00	3.0
TROUBH PART	067	AXL	S	25,000	3.30	n/a	6-Aug	1,250.00	5.0
WOOSTER CAPITAL	035	AXL	B	100,000	3.33	n/a	6-Aug	5,000.00	5.0
BUCK CAPITAL MGMT	001	BBY	B	150,000	38.53	n/a	27-Jul	6,000.00	4.0
ß BUCK CAPITAL MGMT		BHI	B	10,000	37.43	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	BIG	B	2,900	24.46	28-May	6-Aug	116.00	4.0
ß BUCK CAPITAL MGMT		BIG	B	17,100	24.44	28-May	6-Aug	non-brg	4.0
RAINIER INV MGMT	035	BLUD	S	222,750	17.22	n/a	n/a	8,910.00	4.0
MELLON/BANK OF NEW YORK	046	BRCD	B	392,045	8.19	n/a	n/a	19,602.25	5.0
ß BUCK CAPITAL MGMT		BYD	B	50,000	9.74	n/a	7-Aug	non-brg	1.0
f NEIL M STRATTON	FID	BYONJ	S	10	0.45	n/a	n/a	0.00	0.0
f STRATTON PAR TNERSHI	FID	BYONJ	S	5	0.45	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CAL	B	100,000	11.17	n/a	n/a	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	CAT	S	100	47.99	n/a	5-Aug	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	CHRW	B	100	54.72	n/a	n/a	4.00	4.0
TROUBH PART	067	CMCSA	B	60,000	15.11	n/a	4-Jan	3,000.00	5.0
B BUCK CAPITAL MGMT		CMG	C	19,421	93.24	23-Jul	23-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		COF	S	10,000	34.14	10-Aug	5-Aug	non-brg	1.0
COLUMBUS CIRCLE	036	COF	B	2,500	33.06	10-Aug	5-Aug	100.00	4.0
f CICYLY S BACKUS	FID	COG	B	1000	35.77	n/a	3-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	COH	S	30,000	30.09	n/a	29-Jul	1,200.00	4.0
B BUCK CAPITAL MGMT		COST	C	130,000	49.39	n/a	27-Jul	non-brg	4.0
WINSLOW CAPITAL	030	CSCO	B	1,000	22.49	n/a	22-Mar	40.00	4.0
SLS CAPITAL LLC	224	CSX	B	51,300	44.30	n/a	n/a	2,052.00	4.0
WINSLOW CAPITAL	030	CTSH	B	900	34.19	n/a	n/a	36.00	4.0
f EDWARD W WHEELER	FID	CVGI	S	9880	4.26	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	CVGI	B	1000	4.33	n/a	n/a	0.00	0.0
NOMURA CAPITAL	045	C	S	200,000	4.15	n/a	20-Jul	4,000.00	2.0
SANDLER O'NEILL ASSET	031	C	B	250,000	4.04	n/a	20-Jul	7,500.00	3.0
BUCK CAPITAL MGMT	001	DAI	B	63,400	47.72	n/a	n/a	2,536.00	4.0
B BUCK CAPITAL MGMT		DAI	C	6,600	47.67	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DECK	B	200	67.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DECK	B	4,800	67.04	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		DHI	S	30,000	13.47	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DIA	C	88,500	93.47	n/a	n/a	non-brg	1.0
EATON VANCE MGMT	039	DIS	B	296,992	26.72	n/a	n/a	8,909.76	3.0
BUCK CAPITAL MGMT	001	EAT	B	32,824	15.32	n/a	24-Apr	1,312.96	4.0
ß BUCK CAPITAL MGMT		ECL	B	35,000	42.17	28-Jul	28-Jul	non-brg	1.0
BUCK CAPITAL MGMT	001	EL	SS	10,000	37.27	5-May	5-May	400.00	4.0
WINSLOW CAPITAL	030	EQIX	B	200	82.00	n/a	n/a	8.00	4.0
ß BUCK CAPITAL MGMT		EXH	B	50,000	17.83	27-May	3-Aug	non-brg	1.0
ß BUCK CAPITAL MGMT		EXH	B	50,000	18.43	27-May	3-Aug	non-brg	4.0
WINSLOW CAPITAL	030	EXPD	B	200	32.56	n/a	n/a	8.00	4.0
WINSLOW CAPITAL	030	FAST	B	300	38.36	n/a	n/a	12.00	4.0
f MARC PAUL LUCHANSKY	FID	FILEX	B	144	7.54	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FLEX	B	2000	5.56	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FL	B	200,000	11.59	27-Apr	3-Aug	8,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		FOSL	S	20,000	26.96	n/a	30-Jan	non-brg	4.0
B BUCK CAPITAL MGMT		F	B	200,000	8.27	3-Aug	5-Aug	non-brg	1.0
DSG CAPITAL	225	F	B	7,500	8.15	3-Aug	5-Aug	262.50	3.5
BUCK CAPITAL MGMT	001	GES	S	30,000	29.54	n/a	n/a	1,200.00	4.0
f NEIL M STRATTON	FID	GGWPQ	S	300	2.50	n/a	n/a	0.00	0.0
f STRATTON PAR TNERSHI	FID	GGWPQ	S	200	2.50	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	GILD	B	400	46.12	n/a	n/a	16.00	4.0
BUCK CAPITAL MGMT	001	GIL	B	30,000	18.12	25-Jun	25-Jun	1,200.00	4.0
GREEN ARROW CAPITAL	040	GLDD	B	10,000	6.40	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		GME	B	40,000	24.83	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GME	S	240,000	25.06	n/a	n/a	non-brg	1.0
WINSLOW CAPITAL	030	GOOG	B	100	457.93	n/a	n/a	4.00	4.0
B BUCK CAPITAL MGMT		GRMN	C	50,000	31.98	n/a	n/a	non-brg	4.0
f STEFFANIE CARMELA CA	FID	GSI	B	70	5.63	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	HBI	B	70,000	21.55	n/a	n/a	2,800.00	4.0
BUCK CAPITAL MGMT	001	HD	B	100,000	27.02	10-Aug	20-May	4,000.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	HH	B	10000	0.49	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		HK	B	25,000	22.74	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HLF	S	50,700	32.19	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		HLF	S	79,300	32.07	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	HOT	SS	50,000	29.45	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		HOT	SS	20,000	29.68	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		IGT	C	100,000	19.94	n/a	27-Jul	non-brg	4.0
WINSLOW CAPITAL	030	ILMN	B	300	36.50	n/a	n/a	12.00	4.0
BUCK CAPITAL MGMT		IMAX	S	20,000	9.54	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		IVZ	B	50,000	20.00	6-Aug	7-Aug	non-brg	1.0
DSG CAPITAL	225	IVZ	B	2,500	20.05	6-Aug	7-Aug	100.00	4.0
CUMBERLAND	038	IWM	SS	25,000	56.97	n/a	n/a	750.00	3.0
BUCK CAPITAL MGMT		JBHT	B	15,000	29.91	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		JBHT	B	65,000	29.52	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		JCG	B	10,000	30.20	n/a	30-Jan	non-brg	1.0
BUCK CAPITAL MGMT		JCP	B	20,000	32.16	13-Apr	7-Aug	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	041	JCP	S	25,000	33.54	13-Apr	7-Aug	1,000.00	4.0
WINSLOW CAPITAL	030	JNPR	B	400	25.64	n/a	22-Mar	16.00	4.0
BUCK CAPITAL MGMT		JNS	B	100,000	14.33	16-Jul	7-Aug	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ROBERT M DANIELS	FID	JNS	B	300	14.23	16-Jul	7-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		JOSB	B	13,900	38.99	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		JOSB	B	30,000	39.70	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		JOSB	B	56,100	38.66	n/a	n/a	non-brg	2.5
THE BOSTON COMPANY	039	KCP	B	100	9.00	n/a	28-Sep	4.00	4.0
ß BUCK CAPITAL MGMT		KMT	B	9,700	21.59	30-Jul	30-Jul	non-brg	3.0
ß BUCK CAPITAL MGMT		KSS+HK[KSS]	SS	500	1.11	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		LEN	S	30,000	13.41	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	LL	S	20,000	20.35	n/a	n/a	800.00	4.0
f STRATTON PAR TNERSHI	FID	LNET	S	500	5.75	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LPX	S	20,000	6.48	n/a	5-Aug	non-brg	1.0
f DAVID GEORGE BUCK	FID	LVS	B	2000	12.35	6-Aug	6-Aug	0.00	0.0
f JOSEPH CIRO AMATURO	FID	LVS	B	5000	12.11	6-Aug	6-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	MAR	SS	50,000	25.18	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		MAR	SS	20,000	25.31	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	MHK	B	31,708	51.42	n/a	n/a	1,268.32	4.0
f DAVID GEORGE BUCK	FID	MONVP	S	15	3.80	n/a	n/a	0.00	0.0
MELLON/BANK OF NEW YORK	046	MRVL	S	24,350	13.65	n/a	n/a	1,217.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	MRVL	B	600	13.53	n/a	n/a	24.00	4.0
f JOSEPH CIRO AMATURO	FID	MSFT	S	1000	23.65	n/a	3-Apr	0.00	0.0
f JOSEPH CIRO AMATURO	FID	MSFT	S	1000	23.67	n/a	3-Apr	0.00	0.0
WINSLOW CAPITAL	030	MSFT	B	500	23.66	n/a	3-Apr	20.00	4.0
B BUCK CAPITAL MGMT		MVRX	C	101,000	149.24	n/a	n/a	non-brg	14.9
BUCK CAPITAL MGMT	001	M	B	50,000	15.15	n/a	7-Aug	2,000.00	4.0
BUCK CAPITAL MGMT	001	NTY	B	20,000	36.42	n/a	n/a	800.00	4.0
MELLON/BANK OF NEW YORK	046	ORCL	S	70,770	21.46	n/a	n/a	3,538.50	5.0
NEUBERGER BERMAN	036	ORCL	S	662,015	21.45	n/a	n/a	29,790.68	4.5
WINSLOW CAPITAL	030	ORCL	B	900	21.45	n/a	n/a	36.00	4.0
WINSLOW CAPITAL	030	ORLY	B	100	38.98	n/a	n/a	4.00	4.0
B BUCK CAPITAL MGMT		OXM	C	10,000	14.31	n/a	n/a	non-brg	1.0
WINSLOW CAPITAL	030	PCLN	B	100	131.10	n/a	n/a	4.00	4.0
LISTED ERR	099	PEP	B	200	57.81	n/a	n/a	0.00	0.0
LISTED ERR	099	PEP	S	400	57.78	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID	PNDMF	S	1700	1.34	n/a	n/a	0.00	0.0
MELLON/BANK OF NEW YORK	046	QCOM	S	32,660	46.33	n/a	n/a	1,633.00	5.0
WINSLOW CAPITAL	030	QCOM	B	700	46.10	n/a	n/a	28.00	4.0
WINSLOW CAPITAL	030	RIMM	B	100	77.98	n/a	n/a	4.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		RYL	S	10,000	24.01	n/a	n/a	non-brg	4.0
WINSLOW CAPITAL	030	SCHW	B	1,100	19.60	n/a	n/a	44.00	4.0
KLEINHEINZ CAP PART	031	SD	B	75,000	9.87	n/a	n/a	3,750.00	5.0
f DAVID GEORGE BUCK	FID	SGP	B	2000	26.82	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	SGP	B	250	26.81	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SII	B	10,000	25.70	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT B	FID	SII	B	1000	26.22	n/a	n/a	0.00	0.0
f MELISSA LEE BACKUS	FID	SII	B	1000	26.22	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	S	20,000	14.80	n/a	13-Mar	800.00	4.0
f DAVID GEORGE BUCK	FID	SPGJN	S	20	3.74	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SPGVL	B	20	3.14	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SPLS	SS	20,000	22.18	n/a	27-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		SPLS	SS	20,000	22.95	n/a	27-Jul	non-brg	1.0
B BUCK CAPITAL MGMT		SPY	C	82,400	100.84	n/a	n/a	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	SPY	B	100	101.84	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SUMR	B	50,000	3.29	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SWN	B	20,000	39.68	n/a	3-Aug	non-brg	1.0
B BUCK CAPITAL MGMT		SWN	B	25,000	40.09	n/a	3-Aug	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f ANDREW SINCLAIR	FID	SZCUJ	B	50	0.42	n/a	n/a	0.00	0.0
WINSLOW CAPITAL	030	TEVA	B	400	51.93	29-Jul	4-Aug	16.00	4.0
B BUCK CAPITAL MGMT		TEX	S	20,000	16.96	n/a	24-Jul	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	TEX	B	300	16.75	n/a	24-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	B	50,000	42.24	6-Aug	6-Aug	2,000.00	4.0
B BUCK CAPITAL MGMT		TIN	S	10,000	16.97	23-Jul	23-Jul	non-brg	1.0
f JOSEPH CIRO AMATURO	FID	TIN	B	1000	16.65	23-Jul	23-Jul	0.00	0.0
f JOSEPH CIRO AMATURO	FID	TIN	S	1000	16.37	23-Jul	23-Jul	0.00	0.0
AVESTA CAP	229	TJX	S	25,000	35.44	28-Jul	7-Aug	1,000.00	4.0
BUCK CAPITAL MGMT	001	TJX	B	20,000	35.06	28-Jul	7-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	TLB	SS	30,000	6.44	n/a	30-Jan	900.00	3.0
B BUCK CAPITAL MGMT		TWTC	B	75,000	10.27	n/a	4-Jan	non-brg	1.0
ROSEDALE CAPITAL	046	UNH	S	10,000	26.41	n/a	n/a	500.00	5.0
f DAVID GEORGE BUCK	FID	VNO	B	1500	59.65	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WBC	B	40,000	19.56	5-Aug	5-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		WBC	B	5,000	19.60	5-Aug	5-Aug	non-brg	1.0
B BUCK CAPITAL MGMT		WFMI	C	20,000	28.18	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WRC+IH[WRC]	SS	1,000	2.03	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MARC PAUL LUCHANSKY	FID	WRC	B	500	39.25	28-Jul	28-Jul	0.00	0.0
HIGHBRIDGE CAPITAL	038	WTR	B	54,000	17.63	n/a	n/a	2,160.00	4.0
BUCK CAPITAL MGMT	001	WWW	B	60,000	24.13	n/a	13-Mar	2,400.00	4.0
ß BUCK CAPITAL MGMT		XLF	SS	260,000	14.52	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XLY	C	300,000	26.08	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRT	C	12,500	32.15	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XRT	SS	115,000	32.34	n/a	n/a	non-brg	1.0
f DAVID GEORGE BUCK	FID	YUM	B	2000	36.57	n/a	15-Jul	0.00	0.0
f JOHN CAREY MAGUIRE	FID	YUM	S	200	36.15	n/a	15-Jul	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	YUM	B	200	36.62	n/a	15-Jul	0.00	0.0
TOTAL (Trade Date):							169,753.47		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/06	L.R.BURTSCHY & CO	045	AXL	B	100,000	3.89	n/a	6-Aug	6000.00 6.0
AO,CXL	08/06	L.R.BURTSCHY & CO	045	AXL	B	100,000	3.89	n/a	6-Aug	5000.00 5.0
AO,CXL	08/06	DALTON GREINER	012	FII	B	1,100	26.37	3-Aug	7-Aug	55.00 5.0
AO,CRT	08/06	DALTON GREINER	012	FII	B	1,100	26.37	3-Aug	7-Aug	55.00 5.0
AO,	08/06	M&I FUNDS	047	GPS	B	11,583	17.85	n/a	30-Jan	463.32 4.0
AO,CXL	08/05	L.R.BURTSCHY & CO	045	MCD	B	20,000	55.04	n/a	5-Aug	1000.00 5.0
AO,CRT	08/05	L.R.BURTSCHY & CO	045	MCD	B	20,000	55.04	n/a	5-Aug	1200.00 6.0
AO,	08/06	LISTED ERR	099	PEP	B	200	57.90	n/a	n/a	0.00 0.0
AO,CRT	08/06	DECADE CAPITAL PARTNERS	040	PEP	B	24,000	57.90	n/a	n/a	960.00 4.0
AO,CXL	08/06	DECADE CAPITAL PARTNERS	040	PEP	B	24,200	57.90	n/a	n/a	968.00 4.0
AO,	08/06	THIRD POINT MGMT	044	YHOO	B	200,000	14.76	n/a	n/a	6000.00 3.0
TOTAL (AO, CXL, CRT) :										7,655.32
TOTAL (Trade Date):										169,753.47
TOTAL (AO, CXL, CRT):										7,655.32
TOTAL (RUN DATE) / NFS:										177,408.79

MRI Securities with Trade Activity on 7-Aug.
NONE

MRI Securities without Trade Activity on 7-Aug.
CMI, CPKI, JPM, KSS, LPNT, MMM

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 39%
YTD = 21%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)