

Daily Compliance Activity Report Trade Date: 05/14/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 1

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	S/Shr.
GENERAL MOTORS INV CO	040	ABG	В	7,600	26.98	n/a	25-Apr	304.00	4.0
BUCK CAPITAL MGMT	001	AEO	В	70,000	28.69	4-Jan	11-May	2,800.00	4.0
J L F ASSET MGMT	039	AEO	SS	117,900	28.52	4-Jan	11-May	4,716.00	4.0
DAVIDSON KEMPNER ADVISOR	217	AMP	В	50,000	60.90	n/a	n/a	2,500.00	5.0
HARVEST MGMT	039	BBW	S	2,800	29.51	19-Mar	11-May	140.00	5.0
MCCARTAN	028	BDSBW	В	1,400	4.55	n/a	n/a	0.00	0.0
GENERAL MOTORS INV CO	040	BIO	В	1,100	71.22	n/a	n/a	32.00	2.0
KORMAN	003	BRLC	В	20,000	6.40	n/a	n/a	0.00	0.0
KORMAN	003	CBAK	S	5,000	3.30	n/a	n/a	0.00	0.0
ZOLIDIS	011	CBK	S	1,000	16.47	30-Mar	11-May	0.00	0.0
GENERAL MOTORS INV CO	040	CBR	В	11,000	8.54	n/a	n/a	440.00	4.0
REINHART & MAHONEY	031	CDNS	S	46,315	22.16	n/a	n/a	2,315.75	5.0
COUGAR TRADING	044	CHS	SS	5,000	24.99	27-Mar	11-May	250.00	5.0
GENERAL MOTORS INV CO	040	CMGI	В	41,500	2.48	n/a	n/a	1,660.00	4.0
BUCK CAPITAL MGMT	001	СОН	s	20,000	47.51	23-Feb	25-Apr	800.00	4.0

Account	RR #	Symbol	в/:	S	Shares	Price	MRI	Research	Comm.	\$/Shr.	-
BUCK CAPITAL MGMT	001	CRI	В		10,000	24.95	n/a	n/a	400.00	4.0	
LEDDY	035	CRI		S	600	25.03	n/a	n/a	0.00	0.0	
GALLEON MGMT LP	033	CWTR	В		50,000	19.43	n/a	n/a	2,500.00	5.0	
TOCQUEVILLE ASSET MGMT	048	DEPO	В		5,000	3.98	n/a	n/a	150.00	3.0	
ZOLIDIS	011	DFZ		S	1,000	10.60	n/a	n/a	0.00	0.0	
BUCK CAPITAL MGMT	001	DSW	В		65,000	37.28	7-May	11-May	2,600.00	4.0	
ENTRUST CAPITAL	040	ELOS	В		80,000	25.58	n/a	n/a	4,000.00	5.0	
J L F ASSET MGMT	039	FD		SS	119,800	41.25	26-Jan	14-May	4,792.00	4.0	
KACELA CAPITAL	034	FD		SS	10,000	41.05	26-Jan	14-May	400.00	4.0	
EGS ASSOCIATES LP	091	FL		s	7,500	21.50	n/a	11-May	450.00	6.0	
HIGHBRIDGE CAPITAL	038	FRP		SS	25,000	18.01	n/a	n/a	1,250.00	5.0	
LEVIN CAPITAL STRATEGIES	012	F		SS	20,000	8.68	n/a	27-Apr	600.00	3.0	
TRELLUS PARTNERS LP	028	F		SS	51,800	8.78	n/a	27-Apr	1,554.00	3.0	
BUCK CAPITAL MGMT	001	GCO		SS	10,000	49.23	n/a	10-Jan	400.00	4.0	
GENERAL MOTORS INV CO	040	GGL	В		2,300	19.12	n/a	n/a	92.00	4.0	
BULL PATH CAPITAL	044	GS		S	11,500	226.65	13-Dec	11-May	575.00	5.0	
CSFB GLOBAL PROPRIETARY	035	IP		SS	63,600	38.89	4-May	4-May	3,180.00	5.0	
	BUCK CAPITAL MGMT LEDDY GALLEON MGMT LP TOCQUEVILLE ASSET MGMT ZOLIDIS BUCK CAPITAL MGMT ENTRUST CAPITAL J L F ASSET MGMT KACELA CAPITAL EGS ASSOCIATES LP HIGHBRIDGE CAPITAL LEVIN CAPITAL STRATEGIES TRELLUS PARTNERS LP BUCK CAPITAL MGMT GENERAL MOTORS INV CO BULL PATH CAPITAL	BUCK CAPITAL MGMT LEDDY 035 GALLEON MGMT LP 033 TOCQUEVILLE ASSET MGMT 048 ZOLIDIS BUCK CAPITAL MGMT 001 ENTRUST CAPITAL 040 J L F ASSET MGMT KACELA CAPITAL 034 EGS ASSOCIATES LP 091 HIGHBRIDGE CAPITAL 038 LEVIN CAPITAL STRATEGIES 7028 BUCK CAPITAL MGMT 001 GENERAL MOTORS INV CO 040 BULL PATH CAPITAL 044	BUCK CAPITAL MGMT LEDDY GALLEON MGMT LP O33 CWTR TOCQUEVILLE ASSET MGMT DFZ BUCK CAPITAL MGMT O01 DSW ENTRUST CAPITAL J L F ASSET MGMT KACELA CAPITAL HIGHBRIDGE CAPITAL LEVIN CAPITAL STRATEGIES LEVIN CAPITAL MGMT DSW ENCR CAPITAL MGMT O39 FD CACELA CAPITAL O34 FD HIGHBRIDGE CAPITAL D38 FRP LEVIN CAPITAL STRATEGIES TRELLUS PARTNERS LP D28 F BUCK CAPITAL MGMT O01 GCO GENERAL MOTORS INV CO O40 GGL BULL PATH CAPITAL O44 GS	BUCK CAPITAL MGMT 1P 001 CRI B CALLEON MGMT LP 033 CWTR B TOCQUEVILLE ASSET MGMT 048 DEPO B ZOLIDIS 011 DFZ BUCK CAPITAL MGMT 001 DSW B ENTRUST CAPITAL 040 ELOS B J L F ASSET MGMT 039 FD KACELA CAPITAL 034 FD EGS ASSOCIATES LP 091 FL HIGHBRIDGE CAPITAL 038 FRP LEVIN CAPITAL STRATEGIES 012 F TRELLUS PARTNERS LP 028 F BUCK CAPITAL MGMT 001 GCO GENERAL MOTORS INV CO 040 GGL B BULL PATH CAPITAL 044 GS	BUCK CAPITAL MGMT LEDDY 035 CRI S GALLEON MGMT LP 033 CWTR B TOCQUEVILLE ASSET MGMT 048 DEPO B ZOLIDIS 011 DFZ S BUCK CAPITAL MGMT 001 DSW B ENTRUST CAPITAL 040 ELOS B J L F ASSET MGMT 039 FD SS KACELA CAPITAL 034 FD SS EGS ASSOCIATES LP 091 FL S HIGHBRIDGE CAPITAL 038 FRP SS LEVIN CAPITAL STRATEGIES 012 F SS TRELLUS PARTNERS LP 028 F SS BUCK CAPITAL MGMT 001 GCO SS BUCK CAPITAL MGMT 001 GCO SS BULL PATH CAPITAL 044 GS S	BUCK CAPITAL MGMT 001 CRI B 10,000 24.955 n/a n/a n/a 400.00 leddy 035 CRI S 600 25.03 n/a n/a n/a 0.00 CALLEDDY 035 CRI S 600 25.03 n/a n/a n/a 0.00 CALLEDDY 035 CRI S 600 25.03 n/a n/a n/a 0.00 CALLEDDY 038 CWIR B 50,000 19.43 n/a n/a 2,500.00 TOCQUEVILLE ASSET MGMT 048 DEPO B 5,000 3.98 n/a n/a 150.00 CALLEDDY 038 DEPO B 5,000 3.98 n/a n/a 0.00 CALLEDDY 039 DEPO B 65,000 37.28 T-May 11-May 2,600.00 CALLEDDY 039 DEPO B 8 80,000 25.58 n/a n/a n/a 4,000.00 CALLEDDY 039 FD SS 119,800 41.25 26-Jan 14-May 4,792.00 KACELA CAPITAL 034 FD SS 10,000 41.05 26-Jan 14-May 400.00 CALLEDDY 039 FD SS 7,500 21.50 n/a 11-May 450.00 CALLEDDY 039 FD SS 25,000 18.01 n/a n/a 1,250.00 CALLEDDY 039 FD SS 51,800 8.68 n/a 27-Apr 600.00 TRELLUS PARTNERS LP 028 F SS 51,800 8.78 n/a 27-Apr 1,554.00 CALLEDDY PARTNERS LP 028 F SS 51,800 8.78 n/a 27-Apr 1,554.00 CALLEDDY PARTNERS LP 028 F SS 51,800 8.78 n/a 27-Apr 1,554.00 CALLEDDY 040 CALLEDD	BUCK CAPITAL MGMT				

Account	RR #	Symbol	B/S	}	Shares	Price	MRI	Research	Comm.	¢/Shr.
CSFB GLOBAL PROPRIETARY	035	IP		S	400	38.84	4-May	4-May	20.00	5.0
IRONBOUND CAPITAL	012	IP		SS	10,000	38.97	4-May	4-May	500.00	5.0
GENERAL MOTORS INV CO	040	JBX		S	1,300	74.18	24-Apr	24-Apr	52.00	4.0
BUCK CAPITAL MGMT	001	JCP	В		20,000	76.20	20-Apr	14-May	800.00	4.0
COUGAR TRADING	044	JCP		S	5,000	76.08	20-Apr	14-May	250.00	5.0
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CAPITAL GROWTH MGMT.	241	JEC	В		22,000	53.97	n/a	n/a	1,100.00	5.0
TRIVIUM CAP MGMT	028	JNY	С		127,100	28.73	n/a	3-May	5,084.00	4.0
MAGUIRE	090	JRCC	В		5,000	11.01	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	LOW	В		15,000	31.24	n/a	26-Feb	600.00	4.0
MAGUIRE	090	MEE	В		2,000	27.98	n/a	n/a	0.00	0.0
LEDDY	035	MER		S	200	92.64	20-Apr	11-May	0.00	0.0
DALTON GREINER	012	MLI	В		11,200	34.86	6-Feb	24-Apr	560.00	5.0
CAXTON PART	040	MMM	В		50,001	84.98	2-May	2-May	2,000.04	4.0
GENERAL MOTORS INV CO	040	MM	В		1,300	51.11	n/a	n/a	52.00	4.0
CSFB GLOBAL PROPRIETARY	035	MWV	В		60,000	32.35	3-May	3-May	3,000.00	5.0
FIRST NEW YORK LEDDY	035	MWV	В		7,000	32.28	3-May	3-May	420.00	6.0
BARROW HANLEY MEWHINNEY	031	NOK		S	51,700	26.06	n/a	n/a	2,068.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	Shr.	
REINHART & MAHONEY	031	NSR	В	34,700	27.96	n/a	n/a	1,735.00	5.0	
J L F ASSET MGMT	039	OMX	SS	154,700	43.28	n/a	15-Apr	6,188.00	4.0	
GENERAL MOTORS INV CO	040	PCR	В	1,500	50.90	n/a	n/a	60.00	4.0	
BALYASNY ASSET MGMT	044	PPG	В	7,500	74.55	n/a	19-Apr	375.00	5.0	
CAXTON PART	040	PRU	S	71,758	101.76	n/a	n/a	2,870.32	4.0	
BUCK CAPITAL MGMT	001	PSS	s	10,000	32.03	n/a	n/a	400.00	4.0	
STERLING JOHNSTON	035	PVH	В	2,000	54.35	28-Mar	28-Mar	100.00	5.0	
GENERAL MOTORS INV CO	040	SAH	S	6,900	29.23	n/a	2-May	276.00	4.0	
GENERAL MOTORS INV CO	040	SAI	В	2,700	18.09	n/a	n/a	108.00	4.0	
GENERAL MOTORS INV CO	040	STE	S	2,700	27.77	n/a	22-Apr	108.00	4.0	
MAIRS AND POWER INV	030	SVU	S	20,000	46.81	n/a	n/a	1,200.00	6.0	
BARR	096	SWIM	В	8,943	13.20	n/a	n/a	0.00	0.0	
TRIVIUM CAP MGMT	028	SWK	SS	50,000	59.96	n/a	n/a	2,000.00	4.0	
J L F ASSET MGMT	039	TGT	SS	78,000	57.99	n/a	10-May	3,120.00	4.0	
KAICHER	064	TRMM	В	500	2.62	n/a	n/a	0.00	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	:/Shr.
SSI INVESTORS	048	Т	В	34,700	40.00	25-Apr	10-May	1,388.00	4.0
CSFB GLOBAL PROPRIETARY	035	UFS	В	20,000	9.74	n/a	n/a	1,000.00	5.0
GALLEON MGMT LP	033	WFR	S	10,000	60.30	n/a	n/a	500.00	5.0
HIGHBRIDGE CAPITAL	038	WFR	S	25,000	60.98	n/a	n/a	1,250.00	5.0
GENERAL MOTORS INV CO	040	WNG	S	1,100	68.21	n/a	n/a	44.00	4.0
BUCK CAPITAL MGMT	001	ZQK	S	10,000	12.80	9-Mar	17-Apr	400.00	4.0

TOTAL (Trade Date): 78,529.11

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	05/11	ARDSLEY PARTNERS (ARDS-I)	038	FCEL	В	33,050	6.65	n/a	n/a	1322.00	4.0
AO,CXL	05/11	ARDSLEY PARTNERS (ARDSBULK)	038	FCEL	В	150,000	6.65	n/a	n/a	7500.00	5.0
AO,CRT	05/11	ARDSLEY PARTNERS (ARDSBULK)	038	FCEL	В	116,950	6.65	n/a	n/a	4678.00	4.0
AO,	05/10	MILLENIUM PARTNERS (MILPSTACEY)	028	GE	SS	20,000	37.01	n/a	n/a	1000.00	5.0
AO,	05/11	CARLYLE BLUE WAVE PR MG (CBLUMS)	040	HLT	S	10,992	34.16	n/a	n/a	549.60	5.0
AO,CXL	05/11	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	PX	SS	10,000	66.17	n/a	25-Apr	400.00	4.0
AO, CRT	05/11	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	PX	SS	10,000	66.17	n/a	25-Apr	600.00	6.0
AO,CXL	05/11	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	ROH	SS	20,000	52.72	12-Feb	23-Apr	800.00	4.0
AO, CRT	05/11	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	ROH	SS	20,000	52.72	12-Feb	23-Apr	1000.00	5.0
	05/11	HIGHBRIDGE CAPITAL (HIGBNEW)	038	WFR	S	25,000	61.08	n/a	n/a	1250.00	5.0

TOTAL (Trade Date): TOTAL (AO, CXL, CRT):	78,529.11 -800.40
TOTAL (RUN DATE) / NFS:	77,728.71

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION