



# Daily Compliance Activity Report

Trade Date: 06/24/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SWISS RE FINANCIAL SER	035	ABG	S	30,000	13.02	10-Jun	10-Jun	1,200.00	4.0
<b>BARR</b>	<b>096</b>	<b>ABX</b>	<b>B</b>	<b>300</b>	<b>41.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>AB</b>	<b>S</b>	<b>1,500</b>	<b>58.74</b>	<b>9-Apr</b>	<b>23-Jun</b>	<b>0.00</b>	<b>0.0</b>
CHART WELL ADV	028	ADS	B	39,105	58.21	n/a	n/a	1,564.20	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>16,000</b>	<b>15.55</b>	<b>6-Mar</b>	<b>6-Jun</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	AEO	B	12,000	15.83	6-Mar	6-Jun	600.00	5.0
<b>SINCUTMA</b>	<b>031</b>	<b>AG</b>	<b>B</b>	<b>45</b>	<b>52.30</b>	<b>n/a</b>	<b>23-May</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>AIG</b>	<b>SS</b>	<b>300</b>	<b>30.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	AMG	SS	20,000	94.87	7-Apr	23-Jun	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>B</b>	<b>12,500</b>	<b>65.89</b>	<b>10-Apr</b>	<b>6-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>S</b>	<b>2,000</b>	<b>65.55</b>	<b>10-Apr</b>	<b>6-Jun</b>	<b>non-brg</b>	<b>4.0</b>
FRONTPOINT	044	ANF	B	47,800	66.24	10-Apr	6-Jun	1,912.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>SS</b>	<b>30,000</b>	<b>24.39</b>	<b>14-Mar</b>	<b>22-May</b>	<b>non-brg</b>	<b>4.0</b>
SOUTH POINT CAP	067	ANR	B	50,000	94.41	n/a	n/a	2,500.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>APCKT</b>	<b>B</b>	<b>40</b>	<b>1.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>APP</b>	<b>B</b>	<b>50,000</b>	<b>6.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		ARO	B	37,500	30.99	28-Apr	6-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ARO	S	10,000	30.61	28-Apr	6-Jun	non-brg	4.0
LATEFF MGMT	035	ASBC	B	1,500	21.03	n/a	n/a	225.00	15.0
AMBER CAPITAL	046	BAC	SS	100,000	26.72	n/a	16-Jun	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		BARE	B	25,000	20.20	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BBBY	SS	180,000	27.94	n/a	n/a	non-brg	4.0
FRONTPOINT	044	BBBY	B	8,800	28.55	n/a	n/a	352.00	4.0
WEISS PECK	040	BBBY	C	18,900	27.79	n/a	n/a	945.00	5.0
⌘ BUCK CAPITAL MGMT		BBY	SS	50,000	40.87	n/a	27-Jul	non-brg	4.0
BUCK	015	BBY	SS	1,000	41.10	n/a	27-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		BJ+XH	SS	1,000	4.62	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		BJ	SS	100,000	38.52	n/a	27-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BJ	SS	50,000	38.91	n/a	27-Jul	non-brg	4.0
SWISS RE FINANCIAL SER	035	BJ	SS	20,000	38.54	n/a	27-Jul	800.00	4.0
LATEFF MGMT	035	BRL	B	1,250	42.85	24-Jun	24-Jun	300.00	24.0
TRELLUS PARTNERS LP	028	BWA	SS	1,900	47.55	10-Jun	10-Jun	95.00	5.0
BUCK CAPITAL MGMT	001	BYI	B	16,200	37.57	n/a	n/a	648.00	4.0
⌘ BUCK CAPITAL MGMT		BYI	B	7,800	36.69	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BYI	S	7,900	36.56	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	CAL	SS	1,500	11.90	n/a	n/a	0.00	0.0
BARR	096	CAMD	B	3,000	3.18	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	CAO	S	35,000	10.82	n/a	n/a	1,750.00	5.0
ß BUCK CAPITAL MGMT		CBL	SS	10,000	24.29	n/a	n/a	non-brg	4.0
1798 CAPITAL MGMT	040	CBRL	B	5,000	25.57	n/a	n/a	250.00	5.0
PROSPECTOR PARTNERS LLC	036	CCE	B	25,000	17.57	n/a	n/a	1,000.00	4.0
FIRST NEW YORK LEDDY	035	CCL	B	10,000	34.69	n/a	26-Jan	400.00	4.0
KORMAN	003	CHCG	S	15,800	1.21	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CHUX	SS	70,300	10.00	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CMG	SS	11,400	89.70	n/a	24-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	COH	B	25,000	29.43	4-Apr	23-Apr	1,000.00	4.0
MATTHES CAPITAL MGMT	033	COH	B	75,000	29.06	4-Apr	23-Apr	3,000.00	4.0
ß BUCK CAPITAL MGMT		DDR	SS	10,000	35.51	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DECK	S	2,000	139.19	n/a	n/a	non-brg	4.0
THIRD COAST CAPITAL	035	DECK	SS	5,000	139.44	n/a	n/a	250.00	5.0
GRUSS CAPITAL	045	DEI	B	10,000	22.31	n/a	n/a	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		DKS	B	15,000	19.32	n/a	23-May	non-brg	4.0
BUCK CAPITAL MGMT		DRE	SS	10,000	22.01	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DRI	C	32,000	31.53	n/a	12-Jun	non-brg	4.0
BUCK CAPITAL MGMT		DSW	S	19,700	12.99	8-May	30-May	non-brg	4.0
1798 CAPITAL MGMT	040	EL	B	5,000	45.88	7-May	24-Jun	250.00	5.0
UBS OCONNOR	031	EV	SS	25,000	42.73	n/a	23-Jun	750.00	3.0
BUCK CAPITAL MGMT		FDO	SS	100,000	18.66	8-Jan	5-Jun	non-brg	4.0
MATTHES CAPITAL MGMT	033	FDO	B	50,000	18.67	8-Jan	5-Jun	2,000.00	4.0
BUCK CAPITAL MGMT		FL	S	5,000	12.83	2-Apr	23-May	non-brg	4.0
BUCK CAPITAL MGMT		FOSL	B	10,000	29.78	n/a	14-May	non-brg	4.0
BUCK CAPITAL MGMT	001	GCO	B	40,000	29.49	n/a	10-Jan	1,600.00	4.0
BAYPOINT TRADING/XYLEM	091	GEF	B	20,000	60.99	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT		GES	S	25,000	38.34	n/a	n/a	non-brg	4.0
NOMURA CAPITAL	045	GETIL	B	200	21.71	n/a	n/a	10.00	5.0
BUCK CAPITAL MGMT		GGP	SS	10,000	36.38	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GIII	B	13,100	12.86	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		GIII	B	5,000	12.96	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GIL	B	10,000	25.99	30-Apr	28-May	non-brg	4.0
BUCK CAPITAL MGMT		GIL	S	5,000	25.51	30-Apr	28-May	non-brg	4.0
BUCK CAPITAL MGMT		GM+XS	SS	800	3.00	n/a	n/a	non-brg	300.0
PAKLER	029	GMUB	B	11	1.07	n/a	n/a	0.00	0.0
BENCHMARK	028	GM	B	10,000	13.44	24-Jun	24-Jun	500.00	5.0
BUCK CAPITAL MGMT		GM	SS	30,000	12.83	24-Jun	24-Jun	non-brg	4.0
TRELLUS PARTNERS LP	028	GPI	SS	1,200	21.40	10-Jun	10-Jun	60.00	5.0
BUCK CAPITAL MGMT		GRT	SS	10,000	12.29	n/a	n/a	non-brg	4.0
1798 CAPITAL MGMT	040	HANS	SS	5,000	32.39	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT		HAS	SS	50,000	36.82	n/a	n/a	non-brg	4.0
N STRATTON	014	HIBB	C	400	20.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ICON	S	5,000	12.54	n/a	n/a	non-brg	4.0
SOROS	036	INTC	SS	1,100,000	22.58	n/a	n/a	44,000.00	4.0
BUCK CAPITAL MGMT	001	JAH	B	20,000	19.04	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		JCG	B	25,000	32.76	n/a	30-May	non-brg	4.0
BUCK CAPITAL MGMT		JCG	S	5,000	32.07	n/a	30-May	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRIESS MGMT	033	JCG	B	223,600	32.65	n/a	30-May	8,944.00	4.0
HOOVER INV. MGMT	033	JCG	S	166,500	32.30	n/a	30-May	8,325.00	5.0
ß BUCK CAPITAL MGMT		JCP+TD	SS	1,000	1.06	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		JCP	SS	200,000	36.56	n/a	6-Jun	non-brg	4.0
BAIRD INVESTMENT	031	KNX	S	9,686	18.03	n/a	n/a	484.30	5.0
ß BUCK CAPITAL MGMT		KSS	B	12,500	41.20	n/a	6-Jun	non-brg	4.0
COUGAR TRADING	044	KSS	B	5,000	40.86	n/a	6-Jun	250.00	5.0
ß BUCK CAPITAL MGMT		LEG	SS	50,000	17.68	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LEG	SS	50,000	17.89	n/a	n/a	non-brg	4.0
KORMAN	003	LEH	S	8,500	23.37	9-Jun	17-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		LIZ	SS	50,000	15.37	n/a	14-May	non-brg	4.0
BUCK	015	LPX	C	2,000	9.45	7-Apr	7-May	0.00	0.0
J L F ASSET MGMT	039	LTD	SS	58,600	17.72	n/a	6-Jun	2,344.00	4.0
ß BUCK CAPITAL MGMT		LTM	S	10,000	31.19	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		LTM	S	40,000	31.59	n/a	n/a	non-brg	4.0
BUCK	015	LVS	C	1,000	53.85	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MCD	B	48,000	57.28	9-Jun	9-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		MCD	S	239,800	56.45	9-Jun	9-Jun	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>MCD</b>	<b>S</b>	<b>4,000</b>	<b>56.50</b>	<b>9-Jun</b>	<b>9-Jun</b>	<b>non-brg</b>	<b>4.0</b>
FORD FDN.	032	MER	S	82,704	35.17	24-Jun	24-Jun	4,135.20	5.0
NATIONAL BK OF CAN	031	MER	S	21,000	34.03	24-Jun	24-Jun	840.00	4.0
SLS CAPITAL LLC	224	MER	SS	90,400	34.38	24-Jun	24-Jun	3,616.00	4.0
<b>BUCK</b>	<b>015</b>	<b>MER</b>	<b>SS</b>	<b>1,500</b>	<b>35.52</b>	<b>24-Jun</b>	<b>24-Jun</b>	<b>0.00</b>	<b>0.0</b>
CAXTON PART	040	MET	S	71,608	55.73	n/a	n/a	2,506.29	3.5
NOMURA CAPITAL	045	MGI	B	50,000	1.11	n/a	n/a	750.00	1.5
<b>OGORMAN</b>	<b>046</b>	<b>MGM</b>	<b>SS</b>	<b>500</b>	<b>36.94</b>	<b>n/a</b>	<b>7-May</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	MRK	B	500	36.96	n/a	n/a	175.00	35.0
NATIONAL BK OF CAN	031	MS	B	10,000	38.02	n/a	19-Jun	400.00	4.0
SUNOVA CAPITAL	031	MTB	B	10,000	73.04	n/a	n/a	500.00	5.0
MILLENIU KOSLOW	028	MTW	B	20,000	37.40	n/a	23-May	1,000.00	5.0
PENA	029	MV	B	70,000	22.28	n/a	n/a	2,800.00	4.0
PROSPECTOR PARTNERS LLC	036	MWV	B	20,000	23.80	7-Apr	13-May	800.00	4.0
CR INTRINIC INVESTMENT	044	MYL	SS	25,000	12.05	30-May	24-Jun	1,250.00	5.0
GRISANTI BROWN & PARTNER	048	M	C	18,800	19.43	7-Feb	2-Jun	940.00	5.0
J L F ASSET MGMT	039	M	SS	59,000	19.23	7-Feb	2-Jun	2,360.00	4.0
JP MORGAN VENTURES	046	M	C	45,000	19.69	7-Feb	2-Jun	2,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SWISS RE FINANCIAL SER	035	M	S	40,000	19.29	7-Feb	2-Jun	1,600.00	4.0
CASTLE ARK	031	NBR	S	141,120	49.37	n/a	n/a	8,467.20	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>NP</b>	<b>B</b>	<b>15,000</b>	<b>17.57</b>	<b>7-Apr</b>	<b>19-May</b>	<b>non-brg</b>	<b>4.0</b>
SWISS RE FINANCIAL SER	035	NT	SS	25,000	8.66	n/a	22-Mar	1,000.00	4.0
COLONIAL FUND LLC	036	NVDA	S	25,000	20.01	n/a	n/a	1,250.00	5.0
SAC TRADING HOWARD	038	ORLY	B	28,000	23.79	n/a	n/a	1,400.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>OXM</b>	<b>SS</b>	<b>20,000</b>	<b>20.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
GENERAL ELECTIC CO	033	PBG	B	21,423	29.07	n/a	n/a	428.46	2.0
PECONIC PARTNERS	036	PLCE	S	127,179	36.27	23-Jun	23-Jun	5,087.16	4.0
<b>OGORMAN</b>	<b>046</b>	<b>PNK</b>	<b>SS</b>	<b>1,000</b>	<b>11.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PNRA</b>	<b>C</b>	<b>60,000</b>	<b>46.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>B</b>	<b>25,000</b>	<b>39.03</b>	<b>5-May</b>	<b>22-May</b>	<b>non-brg</b>	<b>4.0</b>
CENTURION GLOBAL MGMT LL	041	QID	S	17,200	42.19	n/a	n/a	516.00	3.0
FIRST NEW YORK NICK	029	Q	S	15,000	3.91	n/a	n/a	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>B</b>	<b>10,000</b>	<b>64.85</b>	<b>7-Feb</b>	<b>29-May</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SPLS</b>	<b>SS</b>	<b>30,000</b>	<b>23.64</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>



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FRONTPOINT CHRIS C	041	SPY	SS	7,600	130.64	n/a	n/a	304.00	4.0
LISTED ERR	099	SPY	B	4,100	130.58	n/a	n/a	0.00	0.0
LISTED ERR	099	SPY	SS	4,100	130.46	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SSCC	B	100,000	4.73	7-Apr	23-Apr	non-brg	3.0
FIRST NY SEC/CHRIS	041	SSCC	B	50,000	4.65	7-Apr	23-Apr	2,000.00	4.0
MKT MAKER	099	SSCC	B	100	4.66	7-Apr	23-Apr	0.00	0.0
J COHEN	N27	SSO	S	16	64.23	n/a	n/a	0.00	0.0
GRISANTI BROWN & PARTNER	048	TEX	B	10,500	61.12	n/a	3-Jun	525.00	5.0
⌘ BUCK CAPITAL MGMT		TGT	SS	100,000	48.13	n/a	5-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TGT	SS	20,000	49.26	n/a	5-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		THI	SS	34,000	29.66	n/a	24-Apr	non-brg	4.0
KORMAN	003	TIF	SS	2,000	42.74	n/a	2-Jun	0.00	0.0
CAXTON PART	040	TIN	S	100,000	11.95	29-Apr	29-Apr	3,500.01	3.5
⌘ BUCK CAPITAL MGMT		TJX	S	2,000	31.65	n/a	6-Jun	non-brg	4.0
THIRD COAST CAPITAL	035	TLB	S	50,000	11.82	17-Apr	11-Jun	2,500.00	5.0
ROTHSCHILD ASSET	040	TUP	S	66,360	35.98	n/a	n/a	2,986.20	4.5
MATTHES CAPITAL MGMT	033	TXRH	B	11,200	9.00	n/a	n/a	448.00	4.0
⌘ BUCK CAPITAL MGMT		ULTA	B	30,000	11.89	n/a	n/a	non-brg	2.5

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TRUST CO/COWEN/CALF.	040	UNP	S	40,025	75.17	n/a	n/a	2,001.25	5.0
⚡ BUCK CAPITAL MGMT		URBN	S	62,500	32.16	15-May	15-May	non-brg	4.0
N STRATTON	014	URI	B	99	21.25	n/a	12-Jun	0.00	0.0
SINCLAIR	031	VGNMR	B	100	1.04	n/a	n/a	0.00	0.0
SINCLAIR	031	VGNMS	B	30	2.97	n/a	n/a	0.00	0.0
J COHEN	N27	VLO	B	32	42.51	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	B	20,000	63.29	n/a	n/a	800.00	4.0
SLS CAPITAL LLC	224	WB	SS	195,700	17.02	n/a	n/a	7,828.00	4.0
SUNOVA CAPITAL	031	WDR	S	10,000	35.45	22-Apr	23-Jun	500.00	5.0
DIAMONDBACK CAP MGMT	232	WFC	B	25,000	25.21	n/a	n/a	1,250.00	5.0
THIRD POINT MGMT	044	WFC	SS	250,000	25.26	n/a	n/a	10,000.00	4.0
⚡ BUCK CAPITAL MGMT		WFMI	SS	120,000	25.34	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WRC	B	12,500	45.78	13-May	13-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WRC	S	2,000	44.76	13-May	13-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WRI	SS	10,000	30.58	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WSM	SS	20,000	21.61	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MATTHES CAPITAL MGMT	033	WYNN	B	15,000	84.81	n/a	n/a	600.00	4.0
⌘ BUCK CAPITAL MGMT		XRT	SS	200,000	29.71	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		XRT	SS	200,000	29.84	n/a	n/a	non-brg	2.0
FRONTPOINT CHRIS C	041	X	B	2,900	189.30	n/a	n/a	116.00	4.0
BUCK CAPITAL MGMT	001	ZLC	SS	35,000	19.55	n/a	n/a	1,400.00	4.0
<b>TOTAL (Trade Date):</b>							<b>177,638.27</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/23	CENTURION PARTNERS	255	CAR	SS	10,000	10.32	n/a	n/a	500.00 5.0
AO,CXL	06/23	COLONIAL FUND LLC	036	GM	SS	50,000	13.18	24-Jun	24-Jun	2500.00 5.0
AO,CRT	06/23	COLONIAL FUND LLC	036	GM	SS	50,000	13.18	24-Jun	24-Jun	2500.00 5.0
AO,CXL	06/23	DIAMONDBACK CAP MGMT	232	JAVA	SS	75,000	11.29	n/a	n/a	3750.00 5.0
AO,CRT	06/23	DIAMONDBACK CAP MGMT	232	JAVA	SS	100,000	11.30	n/a	n/a	5000.00 5.0
AO,CRT	06/19	PROSPECTOR PARTNERS LLC	036	NCR	B	5,400	26.61	n/a	n/a	216.00 4.0
AO,CXL	06/19	PROSPECTOR PARTNERS LLC	036	NCR	B	5,400	26.61	n/a	n/a	270.00 5.0
AO,CXL	06/16	LISTED ERR	099	PTV	B	4,800	23.80	n/a	n/a	0.00 0.0
AO,CRT	06/16	LISTED ERR	099	PTV	B	4,800	23.87	n/a	n/a	0.00 0.0
AO,CRT	06/16	LISTED ERR	099	PTV	S	4,800	23.88	n/a	n/a	0.00 0.0
AO,CXL	06/16	LISTED ERR	099	PTV	S	4,800	23.88	n/a	n/a	0.00 0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>1,696.00</b>
TOTAL (Trade Date) :										177,638.27
TOTAL (AO, CXL, CRT) :										1,696.00
<b>TOTAL (RUN DATE) / NFS :</b>										<b>179,334.27</b>

MRI Securities with Trade Activity on 24-Jun.

BRL, GM, MER

MRI Securities without Trade Activity on 24-Jun.

ARGN, CEPH, CL, GNTX, PG

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 5%

YTD = 16%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION