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Daily Compliance Activity Report Trade Date: 12/27/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	s Price	MRI	Research	Comm.	¢/Shr.
XYLEM GLOBAL	091	АВН	В	50,000	21.78	n/a	21-Sep	2,500.00	5.0
GOLDMAN SACHS ASSET MGMT	044	ABT	S	65,000	57.07	n/a	22-Apr	975.00	1.5
ROYCE TRADING	216	AEO	В	55,000	20.62	9-Nov	7-Dec	2,750.00	5.0
CAXTON PART	040	AGN	В	20,000	65.02	9-0ct	27-Dec	700.00	3.5
CAXTON PART	040	AMR	S	75,000	14.92	n/a	n/a	2,625.01	3.5
BARR	096	AMR	S	400	14.96	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	S	70,000	81.32	2-0ct	7-Dec	2,800.00	4.0
COUGAR TRADING	044	ANF	SS	5,000	81.25	2-Oct	7-Dec	250.00	5.0
CAPITAL GROWTH MGMT.	241	APD	В	57,000	102.33	n/a	24-Oct	2,850.00	5.0
WHITE PINE CAPITAL	030	APOG	S	700	18.25	n/a	n/a	35.00	5.0
BULL PATH CAPITAL	044	ARO	S	25,000	27.21	29-Nov	7-Dec	1,250.00	5.0
TROOB CAPITAL	067	BBI	S	25,000	4.00	n/a	n/a	750.00	3.0
BUCK CAPITAL MGMT		BONT	s	138,600	10.11	9-Nov	7-Dec	non-brg	4.0
MKT MAKER	099	BONT	В	100	10.00	9-Nov	7-Dec	0.00	0.0
OPPENHEIMER MGMT.	036	CAG	S	51,900	24.02	n/a	n/a	2,076.00	4.0
BARR	096	CAL	s	450	22.95	n/a	n/a	0.00	0.0
	XYLEM GLOBAL GOLDMAN SACHS ASSET MGMT ROYCE TRADING CAXTON PART CAXTON PART BARR BUCK CAPITAL MGMT COUGAR TRADING CAPITAL GROWTH MGMT. WHITE PINE CAPITAL BULL PATH CAPITAL TROOB CAPITAL BUCK CAPITAL MGMT MKT MAKER OPPENHEIMER MGMT.	ACCOUNT XYLEM GLOBAL GOLDMAN SACHS ASSET MGMT ROYCE TRADING CAXTON PART CAXTON PART BARR O96 BUCK CAPITAL MGMT COUGAR TRADING CAPITAL GROWTH MGMT. WHITE PINE CAPITAL WHITE PINE CAPITAL TROOB CAPITAL BUCK CAPITAL MGMT O44 TROOB CAPITAL O67 BUCK CAPITAL MGMT MKT MAKER O99 OPPENHEIMER MGMT. 036	ACCOUNT XYLEM GLOBAL GOLDMAN SACHS ASSET MGMT ROYCE TRADING CAXTON PART CAXTON PART BARR BUCK CAPITAL MGMT COUGAR TRADING CAPITAL GROWTH MGMT. WHITE PINE CAPITAL BULL PATH CAPITAL BUCK CAPITAL MGMT TROOB CAPITAL MGMT DO10 APOG BULL PATH CAPITAL BUCK CAPITAL MGMT TROOB CAPITAL BUCK CAPITAL MGMT TROOB CAPITAL BONT MKT MAKER O99 BONT OPPENHEIMER MGMT. O36 CAG	AccountRR #SymbolB/SXYLEM GLOBAL091ABHBGOLDMAN SACHS ASSET MGMT044ABTSROYCE TRADING216AEOBCAXTON PART040AGNBCAXTON PART040AMRSBARR096AMRSBUCK CAPITAL MGMT001ANFSCOUGAR TRADING044ANFSSCAPITAL GROWTH MGMT241APDBWHITE PINE CAPITAL030APOGSBULL PATH CAPITAL044AROSTROOB CAPITAL067BBISBUCK CAPITAL MGMTBONTSMKT MAKER099BONTBOPPENHEIMER MGMT036CAGS	Account RR # Symbol B/S Shares XYLEM GLOBAL 091 ABH B 50,000 GOLDMAN SACHS ASSET MGMT 044 ABT S 65,000 ROYCE TRADING 216 AEO B 55,000 CAXTON PART 040 AGN B 20,000 CAXTON PART 040 AMR S 75,000 BUCK CAPITAL MGMT 001 ANF S 70,000 COUGAR TRADING 044 ANF SS 5,000 WHITE PINE CAPITAL 030 APOG S 700 BULL PATH CAPITAL 044 ARO S 25,000 TROOB CAPITAL 067 BBI S 138,600 MKT MAKER 099 BONT B 100 OPPENHEIMER MGMT 036 CAG S 51,900	Account RR # Symbol B/S Shares Price XYLEM GLOBAL 091 ABH B 50,000 21.78 GOLDMAN SACHS ASSET MGMT 044 ABT S 65,000 57.07 ROYCE TRADING 216 AEO B 55,000 20.62 CAXTON PART 040 AGN B 20,000 65.02 CAXTON PART 040 AMR S 75,000 14.92 BARR 096 AMR S 400 14.96 BUCK CAPITAL MGMT 001 ANF S 70,000 81.32 CAPITAL GROWTH MGMT. 241 APD B 57,000 102.33 WHITE PINE CAPITAL 030 APOG S 700 18.25 BULL PATH CAPITAL 044 ARO S 25,000 27.21 TROOB CAPITAL 067 BBI S 138,600 10.11 MKT MAKER 099 BONT B 100 10.	Account RR # Symbol B/S Shares Price MRI XYLEM GLOBAL 091 ABH B 50,000 21.78 n/a GOLDMAN SACHS ASSET MGMT 044 ABT S 65,000 57.07 n/a ROYCE TRADING 216 AEO B 55,000 20.62 9-Nov CAXTON PART 040 AGN B 20,000 65.02 9-Oct CAXTON PART 040 AMR S 75,000 14.92 n/a BUCK CAPITAL MGMT 096 AMR S 70,000 81.32 2-Oct COUGAR TRADING 044 ANF SS 5,000 81.25 2-Oct CAPITAL GROWTH MGMT. 241 APD B 57,000 102.33 n/a WHITE PINE CAPITAL 044 ARO S 25,000 27.21 29-Nov TROOB CAPITAL 047 BBI S 138,600 10.11 9-Nov MKT MAKER	Account RR # Symbol B/S Shares Price MRI Research XYLEM GLOBAL 091 ABH B 50,000 21.78 n/a 21-sep GOLDMAN SACHS ASSET MGMT 044 ABT S 65,000 57.07 n/a 22-Apr ROYCE TRADING 216 AEO B 55,000 20.62 9-Nov 7-Dec CAXTON PART 040 AGN B 20,000 65.02 9-Oct 27-Dec CAXTON PART 040 AMR S 75,000 14.92 n/a n/a n/a BBAR 096 AMR S 70,000 81.32 2-Oct 7-Dec COUGAR TRADING 044 ANF SS 5,000 81.25 2-Oct 7-Dec CAPITAL GROWTH MGMT 241 APD B 57,000 102.33 n/a 24-Oct WHITE FINE CAPITAL 044 ARO S 25,000 27.21 29-Nov <t< td=""><td>Account RR # Symbol B/S Shares Price MRI Research Comm. XYLEM GLOBAL 091 ABH B 50,000 21.78 n/a 21-Sep 2,500.00 GOLDMAN SACHS ASSET MGMT 044 ABT S 65,000 57.07 n/a 22-Apr 975.00 ROYCE TRADING 216 AEO B 55,000 20.62 9-Nov 7-Dec 2,750.00 CAXTON PART 040 AGN B 20,000 65.02 9-Oct 27-Dec 700.00 CAXTON PART 040 AMR S 75,000 14.92 n/a n/a 2,625.01 BARR 096 AMR S 400 14.96 n/a n/a 0.00 BUCK CAPITAL MGMT 001 ANF S 70,000 81.32 2-Oct 7-Dec 250.00 CAPITAL GROWTH MGMT. 241 APD B 57,000 102.33 n/a 24-Oct 7-Dec 250.00 WHITE PINE CAPITAL 030 APOG S 700 18.25 n/a n/a 35.00 BULL PATH CAPITAL 044 ARO S 25,000 27.21 29-Nov 7-Dec 1,250.00 BUCK CAPITAL MGMT 044 ARO S 25,000 4.00 n/a n/a 750.00 BUCK CAPITAL MGMT 067 BBI S 25,000 4.00 n/a n/a 750.00 BUCK CAPITAL MGMT 067 BBI S 138,600 10.11 9-Nov 7-Dec non-brg MKT MAKER 099 BONT B 100 10.00 9-Nov 7-Dec 0.00 OPPENHEIMER MGMT. 036 CAG S 51,900 24.02 n/a n/a 2,076.00</td></t<>	Account RR # Symbol B/S Shares Price MRI Research Comm. XYLEM GLOBAL 091 ABH B 50,000 21.78 n/a 21-Sep 2,500.00 GOLDMAN SACHS ASSET MGMT 044 ABT S 65,000 57.07 n/a 22-Apr 975.00 ROYCE TRADING 216 AEO B 55,000 20.62 9-Nov 7-Dec 2,750.00 CAXTON PART 040 AGN B 20,000 65.02 9-Oct 27-Dec 700.00 CAXTON PART 040 AMR S 75,000 14.92 n/a n/a 2,625.01 BARR 096 AMR S 400 14.96 n/a n/a 0.00 BUCK CAPITAL MGMT 001 ANF S 70,000 81.32 2-Oct 7-Dec 250.00 CAPITAL GROWTH MGMT. 241 APD B 57,000 102.33 n/a 24-Oct 7-Dec 250.00 WHITE PINE CAPITAL 030 APOG S 700 18.25 n/a n/a 35.00 BULL PATH CAPITAL 044 ARO S 25,000 27.21 29-Nov 7-Dec 1,250.00 BUCK CAPITAL MGMT 044 ARO S 25,000 4.00 n/a n/a 750.00 BUCK CAPITAL MGMT 067 BBI S 25,000 4.00 n/a n/a 750.00 BUCK CAPITAL MGMT 067 BBI S 138,600 10.11 9-Nov 7-Dec non-brg MKT MAKER 099 BONT B 100 10.00 9-Nov 7-Dec 0.00 OPPENHEIMER MGMT. 036 CAG S 51,900 24.02 n/a n/a 2,076.00

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	LEDDY	035	CHCG	S	2,000	3.13	n/a	n/a	0.00	0.0
	WHITE PINE CAPITAL	030	CMGI	S	1,000	13.52	n/a	n/a	50.00	5.0
	BARR	096	COF	SS	500	47.41	n/a	n/a	0.00	0.0
õ	Doug Rogers		CPN	В	23	114.08	n/a	n/a	0.00	0.0
	BAIRD INVESTMENT	031	CRR	В	3 , 450	36.99	25-0ct	30-Nov	172.50	5.0
	PILOT ROCK	028	CSC	SS	30,000	49.81	n/a	n/a	1,200.00	4.0
ß	BUCK CAPITAL MGMT		CTRN	S	25,100	16.06	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		CTSH	SS	10,000	34.48	n/a	n/a	non-brg	4.0
	CATAPULT	040	С	S	5,000	30.00	5-Nov	19-Dec	250.00	5.0
	KAICHER	064	С	S	2,500	29.61	5-Nov	19-Dec	0.00	0.0
ß	BUCK CAPITAL MGMT		DBRN	S	50,000	13.25	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		DOUGR	SS	10,000	57.53	n/a	n/a	non-brg	11.5
ß	BUCK CAPITAL MGMT		DSGILN	SS	50,000	2.03	n/a	n/a	non-brg	0.3
	PILOT ROCK	028	EBAY	SS	25,000	34.05	n/a	n/a	1,250.00	5.0
	BUCK	015	EFA	В	400	78.82	n/a	n/a	0.00	0.0
	PROSPECTOR PARTNERS LLC	036	EIG	В	10,000	17.24	n/a	n/a	400.00	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	:/Shr.	
	HIGHBRIDGE CAPITAL	038	FCL	В	19,000	52.35	n/a	n/a	950.00	5.0	
	ROYCE TRADING	216	FOSL	S	25,000	43.27	13-Nov	13-Nov	1,250.00	5.0	
	CROWLEY	061	FSIIX	S	309	47.17	n/a	n/a	0.00	0.0	
	BARR	096	FXP	S	500	73.75	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		GEOIM	SS	10,000	20.42	n/a	n/a	non-brg	4.1	
	PROSPECTOR PARTNERS LLC	036	GFI	В	10,000	14.55	n/a	n/a	400.00	4.0	
	BUCK CAPITAL MGMT	001	GM	SS	30,000	26.16	n/a	4-Dec	1,200.00	4.0	
ß	BUCK CAPITAL MGMT		GYMB	S	70,000	30.13	10-Aug	7-Dec	non-brg	4.0	
	TROUBH PART	067	HAL	S	100,000	38.06	n/a	n/a	5,000.00	5.0	
	LEDDY	035	HCGI	В	100	0.75	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		HOMELN	SS	50,000	6.54	n/a	n/a	non-brg	1.0	
ß	BUCK CAPITAL MGMT		HSTX	S	29,905	18.04	n/a	n/a	non-brg	1.0	
	HIGHBRIDGE CAPITAL	038	HST	В	5,000	17.29	n/a	n/a	250.00	5.0	
	MAGUIRE	090	ICO	S	30,000	5.39	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		IJJ	SS	28,500	80.86	n/a	n/a	non-brg	2.0	

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	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
	WHEELER	800	IMMC	s	50,353	0.85	n/a	n/a	0.00	0.0	
	WHEELER	008	IOM	S	40,000	3.29	n/a	n/a	0.00	0.0	
	BARR	096	IOM	S	8,000	3.33	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		ITXSM	SS	10,000	61.48	n/a	n/a	non-brg	12.3	
ß	BUCK CAPITAL MGMT		IWM	SS	66,300	77.76	n/a	n/a	non-brg	2.0	
	WHITE PINE CAPITAL	030	IWM	С	3,000	78.35	n/a	n/a	150.00	5.0	
ß	BUCK CAPITAL MGMT		IWO	С	60,400	85.43	n/a	n/a	non-brg	2.0	
	WHITE PINE CAPITAL	030	IWO	С	3,000	85.80	n/a	n/a	150.00	5.0	
	BUCK CAPITAL MGMT	001	JAH	В	10,000	23.30	n/a	n/a	400.00	4.0	
	FORD FDN.	032	JCI	В	50,000	36.48	6-Nov	6-Nov	2,500.00	5.0	
	MAGUIRE	090	JCP	s	1,500	43.48	15-Nov	7-Dec	0.00	0.0	
	CAPITAL GROWTH MGMT.	241	JNJ	В	30,000	67.40	n/a	22-Apr	1,200.00	4.0	
	BUCK CAPITAL MGMT	001	KMX	SS	20,000	19.27	n/a	10-Jan	800.00	4.0	
a	BUCK CAPITAL MGMT		KSS	S	50,000	44.91	9-Nov	7-Dec	non-brg	4.0	
	BUCK	015	LEH	С	1,000	64.88	n/a	19-Dec	0.00	0.0	
	BUCK CAPITAL MGMT	001	LTM	S	10,000	50.11	n/a	n/a	400.00	4.0	
	HIGHBRIDGE CAPITAL	038	MAR	В	3,000	33.99	n/a	n/a	150.00	5.0	

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.
	KORMAN	003	MBI	В	2,000	22.75	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		MDY	С	14,600	157.80	n/a	n/a	non-brg	2.0
	UNITED STATES PENSION	032	MHS	S	1,500	102.07	n/a	n/a	75.00	5.0
	MORGAN LEWIS/MLH	046	MSFT	S	50,000	36.23	n/a	3-Apr	2,500.00	5.0
	EGS ASSOCIATES LP	091	М	S	3,000	25.25	9-Nov	7-Dec	180.00	6.0
	MAGUIRE	090	M	S	2,500	25.28	9-Nov	7-Dec	0.00	0.0
	PROSPECTOR PARTNERS LLC	036	NEM	В	10,000	48.80	n/a	n/a	400.00	4.0
ß	BUCK CAPITAL MGMT		OIH	С	9,100	191.24	n/a	n/a	non-brg	2.0
	STELLIAM INV ADV	040	OXY	SS	10,000	78.06	n/a	n/a	500.00	5.0
ß	BUCK CAPITAL MGMT		PAG	s	10,000	17.88	n/a	12-Dec	non-brg	1.0
ß	BUCK CAPITAL MGMT		PAG	S	35,914	17.91	n/a	12-Dec	non-brg	4.0
	HIGHBRIDGE CAPITAL	038	PCL	В	26,000	47.04	n/a	23-Oct	1,300.00	5.0
	HIGHBRIDGE CAPITAL	038	PCX	В	2,998	41.84	n/a	n/a	149.90	5.0
	BUCK	015	PEP	S	600	77.12	n/a	n/a	0.00	0.0
	PROSPECTOR PARTNERS LLC	036	PFE	В	10,000	23.06	n/a	31-Mar	400.00	4.0
ß	BUCK CAPITAL MGMT		PLCE	S	162,900	26.43	3-Dec	7-Dec	non-brg	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		PLCE	S	37,100	26.28	3-Dec	7-Dec	non-brg	2.0
	WHEELER	008	PMD	s	5,700	15.33	n/a	n/a	0.00	0.0
	WHITE PINE CAPITAL	030	PRKR	S	1,500	14.77	n/a	n/a	75.00	5.0
	CAXTON PART	040	PRU	В	10,000	93.44	n/a	n/a	350.02	3.5
	BUCK CAPITAL MGMT	001	PSS	S	70,000	17.24	n/a	n/a	2,800.00	4.0
ß	BUCK CAPITAL MGMT		PSS	S	120,000	17.25	n/a	n/a	non-brg	2.5
	EGS ASSOCIATES LP	091	PVH	S	5 , 500	37.72	5-Dec	21-Dec	330.00	6.0
	BUCK CAPITAL MGMT	001	RL	S	20,000	61.59	8-Nov	8-Nov	800.00	4.0
ß	BUCK CAPITAL MGMT		RSTO	s	17,300	6.51	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RSTO	S	75,000	6.62	n/a	n/a	non-brg	4.0
	WHITE PINE CAPITAL	030	SCSS	S	7 , 775	7.40	n/a	n/a	388.75	5.0
ß	BUCK CAPITAL MGMT		SIGLN	SS	50,000	1.44	n/a	n/a	non-brg	0.2
	TROOB CAPITAL	067	SIX	S	50,300	2.23	n/a	n/a	1,509.00	3.0
	BUCK CAPITAL MGMT	001	SKS	S	150,000	20.72	n/a	n/a	6,000.00	4.0
	WHEELER	008	SWNCI	В	30	12.50	n/a	n/a	0.00	0.0
	WHEELER	800	SWN	S	3,000	56.25	15-Nov	20-Dec	0.00	0.0
	BARR	096	SYNP	В	4,229	5.52	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
	SYSTEMATIC FINANCIAL MGT	035	TAP	В	37,425	52.44	n/a	n/a	1,403.43 3.8
ß	BUCK CAPITAL MGMT		URBN	S	50,000	27.53	9-Nov	7-Dec	non-brg 2.5
ß	BUCK CAPITAL MGMT		URBN	S	70,000	27.08	9-Nov	7-Dec	non-brg 4.0
ß	BUCK CAPITAL MGMT		XLE	SS	21,750	80.01	n/a	n/a	non-brg 2.0
ß	BUCK CAPITAL MGMT		ZUMZ	S	20,000	26.72	n/a	n/a	non-brg 4.0

TOTAL (Trade Date): 56,844.61

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
AO, CRT	12/26	TROOB CAPITAL	067	BBI	S	25,000	4.11	n/a	n/a	750.00	3.0
AO,CXL	12/26	TROOB CAPITAL	067	BBI	S	25,000	4.11	n/a	n/a	750.00	3.0
AO,	12/26	UNITED STATES PENSION	032	MHS	S	38,131	103.13	n/a	n/a	1906.55	5.0
TOTAL	(AO, (CXL, CRT):							1,	906.55	

TOTAL (Trade Date): TOTAL (AO, CXL, CRT):	56,844.61 1,906.55
TOTAL (RUN DATE) / NFS:	58,751.16

MRI Securities with Trade Activity on 27-Dec. NONE

MRI Securities without Trade Activity on 27-Dec. NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 27%

YTD = 21%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION