



Daily Compliance Activity Report

Trade Date: 09/21/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ABG	B	10,000	21.56	18-Jun	27-Jul	non-brg	2.0
BUCK CAPITAL MGMT		ABG	B	70,000	21.32	18-Jun	27-Jul	non-brg	4.0
Glenn Redemann		ADSK	S	2	6.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		AEO	B	20,000	25.81	10-Jul	7-Sep	non-brg	4.0
AMBER CAPITAL	046	AKS	S	89,400	43.33	n/a	n/a	3,576.00	4.0
SSI INVESTORS	048	AMGN	B	9,855	55.86	n/a	26-Jan	394.20	4.0
COUGAR TRADING	044	ARO	B	5,000	20.24	n/a	7-Sep	250.00	5.0
OPTIMUM INV ADV	030	BEC	B	12,000	72.81	n/a	n/a	480.00	4.0
BUCK CAPITAL MGMT		BHI	S	10,000	90.55	n/a	n/a	non-brg	4.0
JP MORGAN VENTURES	046	BIG	SS	20,000	31.23	n/a	7-Sep	1,000.00	5.0
CRAMER ROSENTHAL/SCOTT	012	BMS	SS	1,900	30.09	n/a	n/a	76.00	4.0
XYLEM GLOBAL	091	BOW	B	91,000	15.09	n/a	21-Sep	4,550.00	5.0
BUCK CAPITAL MGMT	001	BWS	B	40,000	21.69	n/a	n/a	1,600.00	4.0
WAGONHOUND	048	CAB	B	3,880	25.10	n/a	n/a	155.20	4.0
BUCK CAPITAL MGMT		CACH	S	5,000	17.92	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Glenn Redemann		CBK	B	8	5.32	7-Jun	7-Sep	0.00	0.0
Õ Glenn Redemann		CBK	S	8	2.81	7-Jun	7-Sep	0.00	0.0
Õ Glenn Redemann		CCJ	S	3	4.40	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CELG	S	2	5.10	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CHS	B	50,000	14.65	30-Aug	7-Sep	non-brg	4.0
ZOLIDIS	011	CHS	C	500	14.71	30-Aug	7-Sep	0.00	0.0
MILLENIUM PARTNERS	028	CMCSA	SS	23,200	23.92	21-Sep	21-Sep	1,160.00	5.0
Õ Glenn Redemann		CMC	S	2	0.30	n/a	n/a	0.00	0.0
LUCHANSKY4	036	CMG	B	100	113.59	n/a	24-Apr	0.00	0.0
GRONET	030	CMOS	S	1,000	3.22	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	CNS	S	25,000	35.40	n/a	n/a	1,000.00	4.0
Õ Glenn Redemann		COGN	B	3	0.25	n/a	n/a	0.00	0.0
GRONET	030	COV	S	200	42.79	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	CRI	SS	10,000	20.32	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		CRI	SS	5,000	20.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CRI	SS	55,000	20.23	n/a	n/a	non-brg	4.0
Õ Glenn Redemann		CSX	B	3	4.61	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Glenn Redemann		CSX	S	3	0.04	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		CTRN	B	40,000	23.50	n/a	n/a	non-brg	4.0
Glenn Redemann		CTRP	B	3	0.03	n/a	n/a	0.00	0.0
Glenn Redemann		CTRP	B	3	6.52	n/a	n/a	0.00	0.0
Glenn Redemann		CTRP	S	3	0.02	n/a	n/a	0.00	0.0
Glenn Redemann		CTRP	S	3	1.48	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		CWTR	S	100,000	11.95	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CWTR	S	50,000	12.06	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CWTR	S	50,000	12.14	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	C	SS	25,000	47.32	n/a	20-Sep	1,250.00	5.0
WEISS PECK	040	DBRN	B	35,000	17.45	n/a	n/a	1,750.00	5.0
IRIDIAN ASSET MGMT.	036	DCEL	S	242,010	12.71	n/a	n/a	12,100.50	5.0
Glenn Redemann		DELL	S	5	0.30	n/a	n/a	0.00	0.0
Glenn Redemann		DE	B	4	22.11	n/a	n/a	0.00	0.0
Glenn Redemann		DE	S	4	17.05	n/a	n/a	0.00	0.0
METROPOLITAN CAPITAL	044	DSW	B	37,200	28.14	5-Sep	5-Sep	1,860.00	5.0
WASHINGTON ASSET	048	DTV	B	15,000	24.85	21-Sep	21-Sep	750.00	5.0
BUCK	015	DVN	B	500	85.07	19-Sep	19-Sep	0.00	0.0
BUCK CAPITAL MGMT		EGLT	SS	10,000	13.30	n/a	n/a	non-brg	2.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GALLEON MGMT LP	033	EL	S	10,000	42.69	16-Aug	16-Aug	500.00	5.0
BUCK	015	EMS	B	1,000	26.36	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	ESI	S	18,250	116.98	n/a	n/a	821.25	4.5
SERENGETI MGMT	030	ETFC	SS	25,000	13.81	n/a	n/a	1,000.00	4.0
OPTIMUM INV ADV	030	EV	B	15,000	39.93	23-May	19-Sep	600.00	4.0
B BUCK CAPITAL MGMT		FLDR	SS	10,000	4.71	n/a	n/a	non-brg	3.0
REINHART & MAHONEY	031	FRX	B	12,545	37.66	30-Jul	21-Sep	627.25	5.0
Õ Glenn Redemann		FTO	B	3	5.64	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FTO	S	3	0.63	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FWLT	B	4	0.03	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FWLT	B	4	21.01	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FWLT	S	4	0.04	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FWLT	S	4	15.97	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	10,000	48.01	n/a	n/a	400.00	4.0
AVESTA CAP	229	GE	S	25,000	41.35	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		GIL	B	35,100	36.49	19-Sep	19-Sep	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	B	53,000	38.29	19-Sep	19-Sep	non-brg	4.0
LEDDY	035	GM	SS	1,200	34.92	4-May	13-Sep	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		GSF	S	10,000	77.07	n/a	n/a	non-brg	4.0
Õ Glenn Redemann		GSF	S	1	7.40	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GWV	S	4	2.05	16-Jul	16-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		GYMB	B	10,000	38.50	10-Aug	7-Sep	non-brg	4.0
ß BUCK CAPITAL MGMT		GYMB	B	20,000	38.43	10-Aug	7-Sep	non-brg	2.5
BRENCOURT	028	HD	B	25,000	34.54	n/a	27-Jul	1,250.00	5.0
WTRA	045	HK	B	9,000	16.87	n/a	n/a	270.00	3.0
LEDDY	035	HLYS	S	600	8.33	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HNT	S	3	0.15	n/a	n/a	0.00	0.0
Õ Glenn Redemann		HOLX	S	2	0.20	n/a	n/a	0.00	0.0
MILLENIU PARTNERS	028	HSC	B	5,000	57.34	26-Jul	26-Jul	250.00	5.0
Õ Glenn Redemann		IMO	S	2	4.70	n/a	n/a	0.00	0.0
MATRIX ASSET ADV.	036	INTC	S	5,000	25.90	n/a	n/a	200.00	4.0
CROWLEY	061	ISLN	B	1,000	8.10	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	46.02	22-Jun	6-Sep	400.00	4.0
TRELLUS PARTNERS LP	028	JCI	SS	10,000	117.31	19-Jul	19-Jul	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JCP	B	50,000	66.90	21-Jun	7-Sep	2,000.00	4.0
ß BUCK CAPITAL MGMT		JCP	B	100,000	66.98	21-Jun	7-Sep	non-brg	4.0
BUCK	015	KBH	SS	1,000	27.55	n/a	n/a	0.00	0.0
LEDDY	035	KEGS	B	600	16.55	23-Jul	20-Sep	0.00	0.0
Õ Glenn Redemann		KSS	B	4	0.70	14-Jun	27-Jul	0.00	0.0
BUCK	015	KSS	B	1,000	60.00	14-Jun	27-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		LAMR	B	20,000	50.66	n/a	n/a	non-brg	4.0
MILLENIUUM PARTNERS	028	LEAP	B	10,000	79.60	n/a	n/a	500.00	5.0
BUCK	015	LESAO	B	20	1.25	n/a	n/a	0.00	0.0
DOUBLE V/PBX	044	LINTA	B	10,000	18.11	n/a	n/a	500.00	5.0
BRANDWINE	028	LIZ	S	25,400	35.06	n/a	14-Sep	1,143.00	4.5
OPTIMUM INV ADV	030	LNCR	B	12,000	36.69	17-Sep	17-Sep	480.00	4.0
REINHART & MAHONEY	031	LNT	B	18,065	38.13	n/a	n/a	903.25	5.0
Õ Glenn Redemann		LVS	B	3	52.70	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LVS	S	3	47.65	n/a	n/a	0.00	0.0
CHARTER OAK	033	MAS	B	100,107	24.00	n/a	n/a	5,005.35	5.0
ß BUCK CAPITAL MGMT		MDTL	SS	10,000	11.06	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		MGPI	S	7	2.35	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MOS	C	20,000	49.89	n/a	n/a	non-brg	4.0
REALBUTO	N10	MSFT	S	75	28.69	n/a	3-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		MVRX	C	28,900	172.22	n/a	n/a	non-brg	17.2
BUCK CAPITAL MGMT	001	M	B	220,000	32.85	13-Jul	7-Sep	8,800.00	4.0
GALLEON MGMT LP	033	NITE	S	26,691	12.64	n/a	n/a	1,334.55	5.0
Õ Glenn Redemann		NMX	B	4	4.10	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	NTY	S	15,000	41.62	n/a	n/a	750.00	5.0
JP MORGAN VENTURES	046	NTY	B	10,000	41.84	n/a	n/a	500.00	5.0
BUCK	015	NVS	B	1,000	54.99	n/a	n/a	0.00	0.0
MERRILL ASSET	032	ONB	S	36,000	17.00	n/a	n/a	1,620.00	4.5
ß BUCK CAPITAL MGMT		PAG	B	10,000	21.14	n/a	1-Aug	non-brg	2.0
ß BUCK CAPITAL MGMT		PAG	B	29,900	21.09	n/a	1-Aug	non-brg	4.0
SCOPUS FUND	041	PCH	S	22,900	44.97	21-Sep	21-Sep	1,145.00	5.0
Õ Glenn Redemann		PCP	B	2	1.15	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PH	S	1	15.00	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ö Glenn Redemann		PJC	B	4	5.89	n/a	n/a	0.00	0.0
Ö Glenn Redemann		PJC	S	4	0.84	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PLCE	SS	10,000	25.15	n/a	7-Sep	non-brg	4.0
WEISS PECK	040	PLCE	S	50,000	25.02	n/a	7-Sep	2,500.00	5.0
BUCK	015	PNRA	SS	600	43.46	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PSS	B	180,000	21.76	n/a	n/a	non-brg	4.0
KORMAN	003	QJPLJ	S	50	4.20	n/a	n/a	0.00	0.0
WTRA	045	QMAR	B	7,000	18.54	n/a	n/a	210.00	3.0
BALYASNY ASSET MGMT	044	RCL	B	25,000	39.32	27-Jul	27-Jul	1,250.00	5.0
ß BUCK CAPITAL MGMT		RIG	S	7,500	113.96	n/a	n/a	non-brg	4.0
WHEELER	008	RLOG	B	4,000	5.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	RL	B	5,000	79.77	9-Aug	29-Aug	250.00	5.0
ROSEDALE CAPITAL	046	ROST	S	10,000	26.40	n/a	n/a	500.00	5.0
WTRA	045	RRI	B	10,000	25.97	n/a	n/a	300.00	3.0
BALYASNY ASSET MGMT	044	RSH	SS	10,000	22.31	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		RSH	SS	20,000	22.10	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RTH	SS	74,100	102.22	n/a	n/a	non-brg	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	RVI	S	1,700	11.04	n/a	n/a	0.00	0.0
METROPOLITAN CAPITAL	044	SHOO	B	85,200	18.52	n/a	n/a	4,260.00	5.0
Õ Glenn Redemann		SID	B	3	4.10	n/a	n/a	0.00	0.0
PROVIDENT INV COUNSEL	048	SINA	B	25,400	49.48	n/a	n/a	762.00	3.0
BALYASNY ASSET MGMT	044	SKS	B	15,000	16.40	n/a	n/a	750.00	5.0
KAICHER	064	SNCR	B	1,000	39.67	n/a	n/a	0.00	0.0
KAICHER	064	SNCR	S	1,000	42.31	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	STX	B	26,800	25.35	n/a	n/a	1,340.00	5.0
ARIENCE CAPITAL	036	TEN	B	21,300	32.14	n/a	n/a	1,065.00	5.0
MEISENBACH CAP MGMT	041	TIF	B	13,000	51.96	31-Aug	31-Aug	390.00	3.0
ß BUCK CAPITAL MGMT		TJX	B	100,000	30.06	21-Sep	21-Sep	non-brg	4.0
GOLDMAN SACHS ASSET MGMT	044	TMO	S	158,501	57.62	n/a	n/a	2,377.52	1.5
ß BUCK CAPITAL MGMT		TWB	B	125,000	32.00	23-Aug	14-Sep	non-brg	4.0
ß BUCK CAPITAL MGMT		TWB	B	25,000	31.78	23-Aug	14-Sep	non-brg	2.0
GRISANTI BROWN & PARTNER	048	TWTC	B	35,500	22.73	4-May	21-Sep	1,775.00	5.0
Õ Glenn Redemann		TYC	S	3	1.75	6-Aug	7-Aug	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SENTINAL CAPITAL	067	T	B	5,000	42.57	21-Sep	21-Sep	250.00	5.0
KORMAN	003	UENUU	B	50	3.20	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UFPI	S	5	0.97	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UIC	B	3	0.10	n/a	n/a	0.00	0.0
Õ Glenn Redemann		URBN	B	7	0.15	31-Jul	10-Aug	0.00	0.0
SENTINAL CAPITAL	067	VZ	B	2,000	44.40	21-Sep	21-Sep	100.00	5.0
WEISS PECK	040	WFC	SS	15,750	36.37	n/a	n/a	787.50	5.0
LEDDY	035	WY	S	400	72.11	21-Sep	21-Sep	0.00	0.0
Õ Glenn Redemann		YHOO	S	7	0.80	n/a	n/a	0.00	0.0
TROUBH PART	067	YHOO	S	25,000	26.00	n/a	n/a	1,250.00	5.0
TOTAL (Trade Date):								85,818.57	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	09/20	DURANGO INVESTMENTS	031	AMD	B	40,500	13.11	n/a	n/a	1620.00 4.0
AO,CXL	09/20	DURANGO INVESTMENTS	031	AMD	B	40,500	13.21	n/a	n/a	1620.00 4.0
AO,CRT	09/20	BAYPOINT/PRIMEMOVERS	041	ELY	B	12,000	16.00	n/a	n/a	480.00 4.0
AO,CXL	09/20	BAYPOINT/PRIMEMOVERS	041	ELY	B	12,000	16.00	n/a	n/a	600.00 5.0
AO,CRT	09/19	BRIGHTPOINT CAP	067	GES	B	20,000	50.86	n/a	n/a	800.00 4.0
AO,CXL	09/19	BRIGHTPOINT CAP	067	GES	B	20,000	50.86	n/a	n/a	1000.00 5.0
AO,CRT	09/19	BRIGHTPOINT CAP	067	JCG	B	20,000	48.26	22-Jun	6-Sep	800.00 4.0
AO,CXL	09/19	BRIGHTPOINT CAP	067	JCG	B	20,000	48.26	22-Jun	6-Sep	1000.00 5.0
AO,CRT	09/20	DKR CAPITAL	048	KEGS	B	10,800	16.10	23-Jul	20-Sep	540.00 5.0
AO,CRT	09/20	HIGHBRIDGE CAPITAL	038	UFS	B	143,400	8.28	n/a	n/a	4302.00 3.0
AO,CXL	09/20	HIGHBRIDGE CAPITAL	038	UFS	B	143,400	8.28	n/a	n/a	7170.00 5.0
TOTAL (AO, CXL, CRT) :										-2,848.00
TOTAL (Trade Date):										85,818.57
TOTAL (AO, CXL, CRT):										-2,848.00
TOTAL (RUN DATE) / NFS:										82,970.57

MRI Securities with Trade Activity on 21-Sep.

CMCSA, DTV, PCH, TJX, T, VZ, WY

MRI Securities without Trade Activity on 21-Sep.

CVC, GS, LPX, MCCC, NP, TIN, TWC

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 19%

YTD = 16%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION