



# Daily Compliance Activity Report

Trade Date: 03/16/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROBX	033	AAP	B	54,900	37.95	n/a	n/a	2,196.00	4.0
<b>BACKUS</b>	<b>005</b>	<b>ABG</b>	<b>S</b>	<b>1,000</b>	<b>27.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	AB	S	10,000	82.03	5-Mar	9-Mar	500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>AB</b>	<b>B</b>	<b>200</b>	<b>82.47</b>	<b>5-Mar</b>	<b>9-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>10,000</b>	<b>30.00</b>	<b>4-Jan</b>	<b>9-Mar</b>	<b>non-brg</b>	<b>5.0</b>
JP MORGAN VENTURES	046	AEO	SS	10,000	29.95	4-Jan	9-Mar	500.00	5.0
BALYASNY ASSET MGMT	044	AMG	SS	10,000	104.10	5-Mar	5-Mar	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>B</b>	<b>320,000</b>	<b>37.95</b>	<b>5-Jan</b>	<b>19-Mar</b>	<b>12,800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>B</b>	<b>900</b>	<b>37.15</b>	<b>5-Jan</b>	<b>19-Mar</b>	<b>non-brg</b>	<b>1.0</b>
BALYASNY ASSET MGMT	044	AVZ	S	20,000	22.97	5-Mar	15-Mar	1,000.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>AVZ</b>	<b>S</b>	<b>1,200</b>	<b>23.05</b>	<b>5-Mar</b>	<b>15-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBW</b>	<b>S</b>	<b>5,000</b>	<b>27.14</b>	<b>8-Jan</b>	<b>21-Feb</b>	<b>200.00</b>	<b>4.0</b>
S.A.C. CAPITAL MGMT.	044	BC	S	16,200	31.51	n/a	n/a	810.00	5.0
SUNOVA CAPITAL	031	BEN	SS	50,000	114.67	9-Nov	15-Mar	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BIG</b>	<b>SS</b>	<b>20,000</b>	<b>30.92</b>	<b>9-Mar</b>	<b>16-Mar</b>	<b>non-brg</b>	<b>5.0</b>
THE JAY GOLDMAN	034	BKE	B	3,100	34.53	n/a	n/a	124.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	BONT	SS	5,000	54.50	9-Mar	16-Mar	250.00	5.0
BACKUS	005	BONT	S	5,000	54.99	9-Mar	16-Mar	0.00	0.0
ZOLIDIS	011	BOOT	S	397	15.15	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BRNC	B	400,000	15.00	n/a	n/a	non-brg	4.0
WHEELER	008	BRNC	B	10,000	15.05	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	CAKE	B	10,000	27.48	7-Feb	7-Feb	500.00	5.0
DELTEC ASSET MGMT.	040	CC	B	17,500	17.65	20-Dec	23-Feb	875.00	5.0
Õ Glenn Redemann		CC	S	5	2.30	20-Dec	23-Feb	0.00	0.0
ß BUCK CAPITAL MGMT		CHCG	B	40,000	6.15	n/a	n/a	non-brg	3.0
CSFB GLOBAL PROPRIETARY	035	CLE	B	25,000	30.64	3-Nov	9-Mar	1,250.00	5.0
BUCK CAPITAL MGMT	001	COH	S	5,000	48.54	23-Feb	23-Feb	200.00	4.0
MORGAN LEWIS/MLH	046	COT	SS	20,000	14.39	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		DBRN	B	10,000	19.90	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DECK	S	5,000	69.08	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	54.92	n/a	n/a	400.00	4.0
SCOUT CAPITAL	044	DUK	S	100,000	19.68	n/a	n/a	4,000.00	4.0
DENVER INST. ADV	046	ENDP	S	275,000	28.47	23-Feb	16-Mar	13,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BELMONT GLOBAL ADV	248	ETE	B	14,000	35.68	n/a	n/a	700.00	5.0
BELMONT GLOBAL ADV	248	ETP	B	8,760	57.21	n/a	n/a	438.00	5.0
<b>BUCK</b>	<b>015</b>	<b>EVVV</b>	<b>B</b>	<b>1,200</b>	<b>19.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
U.S.TRUST COMPANY	012	FBR	S	30,800	5.50	n/a	n/a	924.00	3.0
<b>Ö Glenn Redemann</b>		<b>FCX</b>	<b>S</b>	<b>3</b>	<b>0.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	FII	S	30,000	36.20	n/a	6-Mar	1,500.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>B</b>	<b>25,000</b>	<b>39.25</b>	<b>n/a</b>	<b>10-Jan</b>	<b>non-brg</b>	<b>5.0</b>
MAGNETAR FINANCIAL	247	GM	SS	80,000	29.37	n/a	n/a	2,400.00	3.0
CAXTON PART	040	GT	S	149,469	28.42	n/a	n/a	5,978.76	4.0
<b>BUCK</b>	<b>015</b>	<b>GZQPY</b>	<b>S</b>	<b>7</b>	<b>0.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>S</b>	<b>10,000</b>	<b>19.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>WHEELER</b>	<b>008</b>	<b>IOM</b>	<b>S</b>	<b>5,400</b>	<b>3.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>ISEDJ</b>	<b>S</b>	<b>7</b>	<b>1.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ASCEND PARTNERS	033	ISE	B	10,000	46.46	26-Oct	13-Mar	500.00	5.0
<b>BACKUS</b>	<b>005</b>	<b>ISE</b>	<b>B</b>	<b>2,000</b>	<b>46.41</b>	<b>26-Oct</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>Ö Glenn Redemann</b>		<b>JBL</b>	<b>??</b>	<b>17</b>	<b>1.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	JNS	SS	40,000	20.21	5-Mar	9-Mar	2,000.00	5.0
ß BUCK CAPITAL MGMT		JOSB	B	80,000	32.15	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		KCP	B	50,000	25.70	n/a	28-Feb	non-brg	5.0
BUCK CAPITAL MGMT	001	KMX	B	30,000	50.65	n/a	10-Jan	1,200.00	4.0
ß BUCK CAPITAL MGMT		KWD	S	126,500	32.12	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		KWD	S	8,500	31.85	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		LAVA	B	10,000	10.15	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		LCC	S	3	8.60	n/a	n/a	0.00	0.0
SINCLAIR	031	LEH	S	200	71.14	n/a	14-Mar	0.00	0.0
BALYASNY ASSET MGMT	044	LM	SS	10,000	94.87	16-Mar	16-Mar	500.00	5.0
BENCHMARK	028	LM	SS	5,000	95.40	16-Mar	16-Mar	250.00	5.0
MS PRINCIPAL STRATEGIES	040	LM	SS	50,000	95.25	16-Mar	16-Mar	2,500.00	5.0
SSI INVESTORS	048	LM	SS	19,384	95.38	16-Mar	16-Mar	775.36	4.0
STADIA CAPITAL	035	LM	SS	20,000	95.09	16-Mar	16-Mar	800.00	4.0
SUNOVA CAPITAL	031	LM	SS	50,000	94.55	16-Mar	16-Mar	2,500.00	5.0
BUCK	015	LVS	S	500	86.38	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MDTL	B	3,500	16.49	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		MFS	S	900	10.58	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	MTZ	S	41,600	10.78	n/a	n/a	1,664.00	4.0
COLONIAL FUND LLC	036	MWV	B	25,000	29.50	8-Jan	1-Feb	1,250.00	5.0
LUCHANSKY4	036	MWV	B	300	29.32	8-Jan	1-Feb	0.00	0.0
Õ Glenn Redemann		NVDA	??	20	1.80	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		NWY	S	10,000	14.77	1-Dec	9-Mar	non-brg	0.4
Õ Glenn Redemann		NYX	S	2	8.70	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	PCU	B	30,000	67.76	n/a	n/a	1,500.00	5.0
BACKUS	005	PDC	B	6,000	12.36	n/a	n/a	0.00	0.0
CASTLE ARK	031	PETM	S	77,330	30.46	n/a	n/a	4,639.80	6.0
ROARING BLUE LION MGMT	012	PLCE	S	10,500	54.93	n/a	9-Mar	525.00	5.0
Õ Glenn Redemann		PLLL	??	20	1.15	n/a	n/a	0.00	0.0
EFSTATHIOU	044	PPCO	S	300	10.39	n/a	n/a	0.00	0.0
NEEDHAM CAP	067	PSUN	S	50,000	19.60	n/a	16-Mar	2,000.00	4.0
SINCLAIR	031	PTEN	B	400	21.57	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RTH	C	50,000	100.47	n/a	n/a	non-brg	1.0
DENVER INST. ADV	046	SCHW	S	50,280	17.99	n/a	n/a	2,514.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		SDXC	B	10,000	17.33	n/a	n/a	non-brg	5.0
GAULT	063	SEUCF	S	30	0.25	n/a	n/a	0.00	0.0
WHEELER	008	SPNC	B	200	10.40	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TJX	B	300,000	26.19	n/a	9-Mar	12,000.00	4.0
B BUCK CAPITAL MGMT		TJX	B	100,000	26.10	n/a	9-Mar	non-brg	5.0
BALYASNY ASSET MGMT	044	TROW	SS	30,000	46.22	n/a	5-Mar	1,500.00	5.0
GALLEON MGMT LP	033	USG	S	7,500	48.63	13-Mar	13-Mar	375.00	5.0
BALYASNY ASSET MGMT	044	WDR	B	15,000	22.49	5-Mar	5-Mar	750.00	5.0
CARLSON CAPITAL	031	WM	S	165,700	39.84	n/a	n/a	6,628.00	4.0
SINCLAIR	031	WOS	SS	400	24.00	n/a	n/a	0.00	0.0
SINCLAIR	031	XOHO	B	1,000	4.31	n/a	n/a	0.00	0.0
EFSTATHIOU	044	XOHO	B	1,000	4.33	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	S	20,000	11.43	9-Mar	13-Mar	800.00	4.0
TOTAL (Trade Date):								102,466.92	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/14	WAGONHOUND	048	GYMB	SS	7,500	38.20	4-Jan	14-Mar	300.00 4.0
AO,CXL	03/14	WAGONHOUND	048	GYMB	SS	7,500	38.20	4-Jan	14-Mar	375.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-75.00</b>

TOTAL (Trade Date) :	102,466.92
TOTAL (AO, CXL, CRT) :	-75.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>102,391.92</b>
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MRI Securities with Trade Activity on 16-Mar.

LM

MRI Securities without Trade Activity on 16-Mar.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 40%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION