



Daily Compliance Activity Report

Trade Date: 07/11/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		AAPL	S	10,000	173.34	n/a	n/a	non-brg 4.0
APEX CAPITAL MGMT.	091	ADP	SS	50,000	41.34	n/a	n/a	2,500.00 5.0
BUCK CAPITAL MGMT	001	AEO	B	100,000	12.23	6-Mar	11-Jul	4,000.00 4.0
BUCK CAPITAL MGMT		AEO	S	15,200	12.09	6-Mar	11-Jul	non-brg 4.0
COUGAR TRADING	044	AEO	S	40,000	11.97	6-Mar	11-Jul	2,000.00 5.0
DURANGO INVESTMENTS	031	AEO	B	50,000	12.11	6-Mar	11-Jul	2,000.00 4.0
SANDELL ADV	040	AEP	SS	50,000	40.25	n/a	n/a	2,500.00 5.0
KARPNANCY	023	AGN	B	100	51.57	n/a	11-Jul	0.00 0.0
KARPNANCY	023	AG	B	100	48.17	n/a	23-May	0.00 0.0
BUCK CAPITAL MGMT		AMG	S	19,900	79.36	9-Jul	10-Jul	non-brg 4.0
BUCK CAPITAL MGMT		AMG	S	42,500	78.35	9-Jul	10-Jul	non-brg 4.0
NICOLAS APPELATE	048	AMG	S	30,100	80.03	9-Jul	10-Jul	1,204.00 4.0
BUCK CAPITAL MGMT		AMT	S	62,500	40.11	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		AMT	S	7,800	40.14	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		ANF+KL	SS	2,000	3.13	n/a	n/a	non-brg 300.0
BUCK CAPITAL MGMT	001	ANF	B	60,000	52.91	10-Jul	11-Jul	2,400.00 4.0
BUCK CAPITAL MGMT		ANF	S	8,600	52.31	10-Jul	11-Jul	non-brg 4.0
SINCLAIR	031	APCKQ	B	20	3.00	n/a	n/a	0.00 0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
⌘ BUCK CAPITAL MGMT		APC	S	5,800	69.48	20-Mar	9-Jul	non-brg 4.0
BUCK CAPITAL MGMT	001	ARO	S	50,000	29.67	28-Apr	11-Jul	2,000.00 4.0
COUGAR TRADING	044	ARO	B	5,000	29.44	28-Apr	11-Jul	250.00 5.0
⌘ BUCK CAPITAL MGMT		AXE	S	15,000	57.67	28-Mar	22-Apr	non-brg 4.0
⌘ BUCK CAPITAL MGMT		AXE	S	3,500	57.95	28-Mar	22-Apr	non-brg 4.0
ROTHSCHILD ASSET	040	BAX	B	26,300	65.54	n/a	22-Apr	1,183.50 4.5
FRONTPOINT CHRIS C	041	BBT	SS	6,500	21.69	n/a	n/a	260.00 4.0
AVESTA CAP	229	BBY	S	50,000	37.12	n/a	27-Jul	2,500.00 5.0
⌘ BUCK CAPITAL MGMT		BIG	SS	30,000	29.37	29-May	11-Jul	non-brg 4.0
⌘ BUCK CAPITAL MGMT		BJ	SS	50,000	39.52	n/a	27-Jul	non-brg 4.0
CASTLE ARK	031	BUCY	S	1,500	63.98	4-Jun	4-Jun	90.00 6.0
⌘ BUCK CAPITAL MGMT		BWLD	SS	20,000	25.27	n/a	n/a	non-brg 2.5
MCCARTAN	028	BX	S	500	16.09	n/a	n/a	0.00 0.0
⌘ BUCK CAPITAL MGMT		BYI	S	35,000	26.13	n/a	n/a	non-brg 4.0
FRONTPOINT CHRIS C	041	CAT	SS	2,000	67.35	n/a	23-May	80.00 4.0
LEDDY	035	CAT	SS	300	69.62	n/a	23-May	0.00 0.0
⌘ BUCK CAPITAL MGMT		CBL	SS	10,000	20.71	n/a	n/a	non-brg 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		CCI	S	10,300	35.61	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CCI	S	60,000	35.45	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	CEC	SS	20,000	25.87	n/a	n/a	800.00	4.0
COLUMBIA PARTNERS	028	CHK	SS	42,887	63.24	n/a	n/a	1,715.48	4.0
MKT MAKER	099	CLMS	B	100	15.00	13-Feb	10-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	CLX	SS	50,000	52.05	n/a	24-Jun	2,000.00	4.0
CENTURION PARTNERS	255	COH	B	60,000	24.31	4-Apr	9-Jul	3,000.00	5.0
COUGAR TRADING	044	COH	B	10,000	24.24	4-Apr	9-Jul	500.00	5.0
COUGAR TRADING	044	COH	S	25,000	24.62	4-Apr	9-Jul	1,250.00	5.0
STADIA CAPITAL	035	COH	B	50,000	24.80	4-Apr	9-Jul	2,000.00	4.0
KARPNANCY	023	COH	B	100	24.50	4-Apr	9-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	CPN	S	15,000	19.47	n/a	n/a	600.00	4.0
MERRILL ASSET	032	CRR	S	8,900	52.49	5-Jun	9-Jul	445.00	5.0
⚡ BUCK CAPITAL MGMT		CTRN	S	60,000	19.87	n/a	n/a	non-brg	4.0
THIRD COAST CAPITAL	035	CTSH	S	20,000	28.20	n/a	n/a	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		CVS	B	30,000	38.38	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	DBRN	S	7,100	12.92	n/a	n/a	284.00	4.0
FRONTPOINT CHRIS C	041	DCI	SS	3,000	41.71	n/a	n/a	120.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PRYLUCKI	092	DDM	B	100	57.48	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		DDR	SS	10,000	30.35	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DECK	S	10,000	119.12	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		DIN	C	58,000	28.11	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		DLR	C	10,000	40.14	n/a	n/a	non-brg	1.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	3,500	34.00	n/a	11-Jul	140.00	4.0
ROXBURY CAPITAL MGMT	035	DLTR	S	61,200	33.73	n/a	11-Jul	2,448.00	4.0
⌘ BUCK CAPITAL MGMT		DRE	SS	10,000	22.02	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	DRI	SS	20,000	28.99	n/a	26-Jun	800.00	4.0
KARPNANCY	023	DSW	B	200	10.99	8-May	9-Jul	0.00	0.0
SANDELL ADV	040	DTE	SS	75,000	43.85	n/a	n/a	3,750.00	5.0
SANDELL ADV	040	D	SS	25,000	46.99	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		EAT+VC	SS	1,000	1.06	n/a	n/a	non-brg	100.0
NORMCHRIS	N09	EFT	B	34	14.02	n/a	n/a	0.00	0.0
CHIARMONTE	N10	EFT	B	25	14.02	n/a	n/a	0.00	0.0
ROLON	N11	EFT	B	105	14.02	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		EQIX	C	20,000	86.49	n/a	n/a	non-brg	2.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ETN	S	26,000	80.52	18-Jun	9-Jul	non-brg	4.0
BUCK CAPITAL MGMT		ETN	S	3,400	80.39	18-Jun	9-Jul	non-brg	4.0
WAGONHOUND/NIC	048	EV	SS	19,909	34.04	10-Jul	10-Jul	796.36	4.0
BUCK CAPITAL MGMT		EXH	S	18,800	67.26	n/a	9-Jul	non-brg	4.0
BUCK CAPITAL MGMT		FDO	SS	30,000	21.87	30-Jun	11-Jul	non-brg	4.0
MERRILL ASSET	032	FHN	B	44,200	6.49	n/a	n/a	1,768.00	4.0
BUCK CAPITAL MGMT	001	FL	S	50,000	12.09	2-Apr	9-Jul	2,000.00	4.0
OGORMAN	046	FNM	B	1,000	10.54	n/a	n/a	0.00	0.0
KAICHER	064	FNM	B	2,000	8.67	n/a	n/a	0.00	0.0
KAICHER	064	FNM	S	2,000	9.74	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		FOR	S	14,100	18.37	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FOSL	B	50,000	23.28	n/a	9-Jul	non-brg	4.0
COUGAR TRADING	044	FOSL	B	10,000	23.16	n/a	9-Jul	500.00	5.0
MENCKE	055	FRE	B	30	4.25	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		FRT	SS	10,000	66.37	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FRX	S	50,000	35.32	15-Apr	11-Jul	non-brg	4.0
BUCK CAPITAL MGMT		FRX	S	7,600	35.30	15-Apr	11-Jul	non-brg	4.0
BUCK CAPITAL MGMT		GCO	S	50,000	28.39	n/a	10-Jan	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GES	B	50,000	31.41	n/a	n/a	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		GES	S	5,900	30.89	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GGP	SS	10,000	30.74	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIGM	B	30,000	11.91	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	B	10,000	22.68	30-Apr	9-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	104,300	22.46	30-Apr	9-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	75,000	22.38	30-Apr	9-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GLW	S	125,000	20.14	n/a	9-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GLW	S	44,400	20.12	n/a	9-Jul	non-brg	4.0
LEDDY	035	GNTX	SS	500	14.26	24-Jun	24-Jun	0.00	0.0
SLS CAPITAL LLC	224	GPS	B	374,600	15.22	n/a	11-Jul	14,984.00	4.0
⌘ BUCK CAPITAL MGMT		GRT	SS	10,000	10.03	n/a	n/a	non-brg	4.0
KARPNANCY	023	GR	B	100	42.80	5-Jun	5-Jun	0.00	0.0
CASTLE ARK	031	GS	S	400	162.05	19-Mar	11-Jul	24.00	6.0
SANDLER O'NEILL ASSET	031	GS	B	50,000	162.43	19-Mar	11-Jul	2,500.00	5.0
COUGAR TRADING	044	GYMB	B	20,000	33.92	11-Jul	11-Jul	1,000.00	5.0
HARVEST MGMT	039	HOTT	B	50,900	5.46	n/a	11-Jul	1,527.00	3.0
CASTLE ARK	031	HPQ	S	1,200	41.40	n/a	n/a	72.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		ICON	B	20,000	11.38	n/a	n/a	non-brg 2.5
CENTURION GLOBAL MGMT LL	041	INTC	B	21,000	20.91	n/a	n/a	630.00 3.0
CSFB GLOBAL PROPRIETARY	041	IP	B	10,000	22.08	n/a	11-Jul	500.00 5.0
FRONTPOINT CHRIS C	041	ITW	SS	2,700	45.56	20-May	23-May	108.00 4.0
BUCK CAPITAL MGMT		IVZ	S	165,800	21.37	10-Jul	10-Jul	non-brg 4.0
BUCK CAPITAL MGMT		IVZ	S	185,000	21.22	10-Jul	10-Jul	non-brg 4.0
SUNOVA CAPITAL	031	IVZ	S	50,000	21.66	10-Jul	10-Jul	2,500.00 5.0
OGORMAN	046	IVZ	S	300	21.70	10-Jul	10-Jul	0.00 0.0
BUCK CAPITAL MGMT	001	JAH	S	250,000	17.30	n/a	n/a	10,000.00 4.0
BUCK CAPITAL MGMT		JCG	S	6,100	28.14	n/a	30-May	non-brg 4.0
COUGAR TRADING	044	JCG	B	20,000	28.10	n/a	30-May	1,000.00 5.0
BUCK CAPITAL MGMT		JNS	S	12,300	23.31	9-Jul	10-Jul	non-brg 4.0
BUCK CAPITAL MGMT		JNS	S	50,000	23.15	9-Jul	10-Jul	non-brg 4.0
EPOCH INV MGMT	030	JNS	B	61,450	23.42	9-Jul	10-Jul	2,458.00 4.0
BUCK CAPITAL MGMT		JOSB	B	10,000	22.74	n/a	n/a	non-brg 4.0
JP MORGAN VENTURES	046	JWN	SS	20,000	27.75	10-Jul	11-Jul	1,000.00 5.0
KARPNANCY	023	JWN	B	100	27.40	10-Jul	11-Jul	0.00 0.0
BLUE HARBOR	036	KEG	S	11,000	18.31	n/a	9-Jul	440.00 4.0
BUCK CAPITAL MGMT		KEG	S	165,200	17.84	n/a	9-Jul	non-brg 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		KIM	SS	10,000	32.45	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	KMB	SS	100,000	59.24	n/a	n/a	4,000.00 4.0
APEX CAPITAL MGMT.	091	KO	B	68,200	50.34	n/a	n/a	3,410.00 5.0
COUGAR TRADING	044	KSS	B	10,000	38.62	n/a	11-Jul	500.00 5.0
COUGAR TRADING	044	KSS	S	10,000	38.66	n/a	11-Jul	500.00 5.0
J.W. SELIGMAN	041	KSS	S	119,400	38.27	n/a	11-Jul	4,776.00 4.0
BUCK CAPITAL MGMT		LAMR	S	5,700	32.89	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		LBTYA	S	86,251	29.71	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		LBTYK	S	11,700	28.54	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		LBTYK	S	143,150	28.09	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	LEG	SS	20,000	15.00	n/a	n/a	800.00 4.0
BUCK CAPITAL MGMT		LEH	S	48,400	13.90	9-Jun	17-Jun	non-brg 4.0
BUCK CAPITAL MGMT		LEH	S	75,000	13.69	9-Jun	17-Jun	non-brg 4.0
NATIONAL BK OF CAN	031	LEH	S	80,000	13.74	9-Jun	17-Jun	3,200.00 4.0
NOMURA CAPITAL	045	LEH	SS	20,000	13.74	9-Jun	17-Jun	1,000.00 5.0
BUCK CAPITAL MGMT		LM	S	114,600	32.97	1-Jul	10-Jul	non-brg 4.0
BUCK CAPITAL MGMT		LM	S	28,800	32.98	1-Jul	10-Jul	non-brg 4.0
SINCLAIR	031	LYHAX	B	25	2.00	n/a	n/a	0.00 0.0
TRUST CO/COWEN/CALF.	040	MBI	S	177,900	3.74	n/a	n/a	5,337.00 3.0

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ß BUCK CAPITAL MGMT		MCD	B	30,000	57.71	9-Jun	9-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		MCD	S	5,100	57.53	9-Jun	9-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		MER	S	5,400	27.32	24-Jun	24-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		MER	S	50,000	26.78	24-Jun	24-Jun	non-brg	4.0
MERRILL ASSET	032	MGA	S	294,900	53.31	n/a	n/a	13,270.50	4.5
ß BUCK CAPITAL MGMT		MIR	S	30,000	35.91	n/a	n/a	non-brg	4.0
MERRILL ASSET	032	MNT	S	35,000	26.35	n/a	n/a	1,575.00	4.5
WENTWORTH HAUSER VIOLICH	033	MRO	B	340,015	45.99	n/a	n/a	13,600.60	4.0
CHIARMONTE	N10	MSFT	S	15	24.92	n/a	3-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		MSM	S	75,000	44.57	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MSM	S	8,600	44.78	n/a	n/a	non-brg	4.0
FRONTPOINT CHRIS C	041	MSM	SS	2,600	44.53	n/a	n/a	104.00	4.0
ß BUCK CAPITAL MGMT		MS	S	33,100	33.15	n/a	19-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		MS	S	50,000	32.53	n/a	19-Jun	non-brg	4.0
SINCLAIR	031	MWATB	B	80	2.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		M	S	4,300	14.76	n/a	2-Jul	non-brg	4.0
COUGAR TRADING	044	M	B	30,000	15.08	n/a	2-Jul	1,500.00	5.0
LAGRANGE CAP ADV	048	M	B	30,000	14.58	n/a	2-Jul	1,500.00	5.0
SINCLAIR	031	NBRLI	S	25	6.10	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SINCLAIR	031	NBRLJ	B	75	4.20	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		PCAR	S	80,000	40.20	n/a	3-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PEI	SS	10,000	21.02	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PERY	S	10,000	20.26	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		PERY	S	20,000	20.42	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		PFCB	SS	20,000	22.29	n/a	24-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	PG	SS	50,000	63.58	24-Jun	24-Jun	2,000.00	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	35,000	22.30	7-Apr	11-Jul	1,750.00	5.0
TRIVIUM CAP MGMT	251	PLCM	SS	25,000	23.80	n/a	22-Mar	1,000.00	4.0
FRONTPOINT CHRIS C	041	PNC	SS	2,500	55.68	n/a	n/a	100.00	4.0
⌘ BUCK CAPITAL MGMT		PSUN	B	20,000	7.55	n/a	11-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSUN	S	10,800	7.41	n/a	11-Jul	non-brg	4.0
COUGAR TRADING	044	PSUN	B	10,000	7.58	n/a	11-Jul	500.00	5.0
COUGAR TRADING	044	PSUN	S	50,000	7.37	n/a	11-Jul	2,500.00	5.0
OGORMAN	046	PSUN	S	2,000	7.45	n/a	11-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		PVH	S	16,600	32.11	5-May	9-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	50,000	31.83	5-May	9-Jul	non-brg	4.0
KARPNANCY	023	QQQQ	B	200	44.64	n/a	n/a	0.00	0.0

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ZOLIDIS	011	QUKHE	B	3	4.80	n/a	n/a	0.00	0.0
ZOLIDIS	011	QUKHF	B	3	3.90	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RCL	S	120,000	19.60	25-Apr	25-Apr	4,800.00	4.0
BUCK CAPITAL MGMT		RCL	S	7,500	19.62	25-Apr	25-Apr	non-brg	4.0
COUGAR TRADING	044	RDEN	S	50,000	14.69	10-Jun	24-Jun	2,500.00	5.0
BUCK CAPITAL MGMT		RL	S	1,500	57.89	7-Feb	29-May	non-brg	4.0
COUGAR TRADING	044	RL	S	15,000	58.15	7-Feb	29-May	750.00	5.0
THIRD COAST CAPITAL	035	RL	SS	12,000	58.07	7-Feb	29-May	600.00	5.0
BUCK CAPITAL MGMT		SBAC	S	100,000	33.99	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	SDS	B	10,000	71.67	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT		SHPGY	S	50,000	46.84	21-May	11-Jul	non-brg	4.0
BUCK CAPITAL MGMT		SHPGY	S	7,500	47.18	21-May	11-Jul	non-brg	4.0
KARPNANCY	023	SIAL	B	100	54.46	7-Apr	23-Jun	0.00	0.0
BUCK CAPITAL MGMT		SKT	SS	10,000	36.60	n/a	n/a	non-brg	4.0
TROUBH PART	067	SLM	S	25,000	15.04	n/a	n/a	1,250.00	5.0
DEMARTINI	065	SNCR	S	1,000	9.91	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	SPW	S	17,652	121.71	n/a	n/a	794.34	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KARPNANCY	023	SPY	B	100	123.70	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SQX+VJ	SS	1,000	0.87	n/a	n/a	non-brg	100.0
CSFB GLOBAL PROPRIETARY	041	SSCC	B	20,000	4.24	7-Apr	11-Jul	1,000.00	5.0
MERRILL ASSET	032	STC	S	43,500	20.52	n/a	n/a	1,957.50	4.5
BUCK CAPITAL MGMT	001	SYI	SS	20,000	28.17	n/a	24-Apr	800.00	4.0
SAXON WOODS ADVISORS	038	TAP	B	75,000	55.15	n/a	n/a	3,000.00	4.0
⌘ BUCK CAPITAL MGMT		TCO	SS	10,000	45.75	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		THI	SS	20,000	27.86	n/a	24-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIF	SS	20,000	36.40	n/a	2-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIN	S	37,600	11.29	29-Apr	11-Jul	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	12,000	11.04	29-Apr	11-Jul	600.00	5.0
⌘ BUCK CAPITAL MGMT		TJX	S	2,600	31.58	n/a	11-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TJX	S	50,000	31.09	n/a	11-Jul	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	237	TJX	B	50,000	31.56	n/a	11-Jul	2,500.00	5.0
THIRD COAST CAPITAL	035	TJX	B	30,000	31.41	n/a	11-Jul	1,500.00	5.0
KARPNANCY	023	TLB	B	200	10.79	17-Apr	9-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		TPX	B	50,000	7.50	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		TRLG	S	40,000	24.45	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		TWTC	S	150,000	14.93	n/a	4-Jan	non-brg 4.0
BUCK CAPITAL MGMT		TWTC	S	27,800	15.00	n/a	4-Jan	non-brg 4.0
BUCK CAPITAL MGMT		UA	S	95,800	24.92	n/a	n/a	non-brg 4.0
MCCARTAN	028	UA	S	200	25.29	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		URBN	S	11,700	29.01	15-May	9-Jul	non-brg 4.0
BUCK CAPITAL MGMT		URBN	S	50,000	28.78	15-May	9-Jul	non-brg 4.0
BUCK CAPITAL MGMT		URBN	S	70,000	28.82	15-May	9-Jul	non-brg 4.0
COUGAR TRADING	044	URBN	B	10,000	28.87	15-May	9-Jul	500.00 5.0
BUCK CAPITAL MGMT	001	VFC	S	110,600	70.42	n/a	n/a	4,424.00 4.0
SIGMA CAPITAL ASSOCIATES	237	VFC	SS	25,000	70.29	n/a	n/a	1,250.00 5.0
PERRY PARTNERS	041	WAG	B	155,000	32.53	n/a	n/a	7,750.00 5.0
BUCK CAPITAL MGMT		WBC	S	4,600	44.38	30-Apr	11-Jun	non-brg 4.0
BUCK CAPITAL MGMT		WBC	S	95,000	43.79	30-Apr	11-Jun	non-brg 4.0
SUNOVA CAPITAL	031	WDR	S	5,000	30.75	22-Apr	10-Jul	250.00 5.0
BUCK CAPITAL MGMT		WFMI	SS	100,000	20.86	n/a	n/a	non-brg 4.0
N STRATTON	014	WFSL	SS	200	15.10	n/a	n/a	0.00 0.0
CASTLE ARK	031	WFT	S	2,000	40.80	n/a	n/a	120.00 6.0
OGORMAN	046	WMT	SS	500	56.05	30-Jun	11-Jul	0.00 0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	WRC	B	40,000	38.59	13-May	9-Jul	1,600.00	4.0
ß BUCK CAPITAL MGMT		WRC	S	14,500	38.85	13-May	9-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		WRI	SS	10,000	28.75	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WSM+WC	SS	1,000	1.13	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		WSM	SS	100,000	18.06	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WSO	S	12,700	42.15	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WYNN	SS	10,000	78.01	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WYNN	SS	60,000	75.08	n/a	n/a	non-brg	4.0
FRONTPOINT CHRIS C	041	WYNN	SS	1,700	73.54	n/a	n/a	68.00	4.0
KAICHER	064	XCO	S	1,000	36.38	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ZLC	SS	20,000	17.15	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):							192,314.28		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/10	NOMURA CAPITAL	045	NYT	SS	12,000	13.70	n/a	n/a	600.00 5.0
AO,	07/10	OSS CAPITAL/KEVIN DANN	067	PLD	S	250,000	49.59	n/a	n/a	7500.00 3.0
AO,CXL	07/10	OSS CAPITAL/KEVIN DANN	067	PLD	S	200,000	47.59	n/a	n/a	6000.00 3.0
AO,CRT	07/10	SATELITE/BOBBY	044	RTH	SS	10,000	86.98	n/a	n/a	300.00 3.0
AO,CXL	07/10	SATELITE/BOBBY	044	RTH	SS	10,000	86.98	n/a	n/a	400.00 4.0
AO,CRT	07/08	PALMYRA CAPITAL	048	SKS	S	146,000	9.63	n/a	n/a	4380.00 3.0
AO,CXL	07/08	PALMYRA CAPITAL	048	SKS	S	146,000	9.63	n/a	n/a	7300.00 5.0
AO,	07/10	SAXON WOODS ADVISORS	038	WB	B	10,000	13.30	n/a	n/a	400.00 4.0
AO,CRT	07/10	SATELITE/BOBBY	044	XRT	SS	20,000	28.31	n/a	n/a	600.00 3.0
AO,CXL	07/10	SATELITE/BOBBY	044	XRT	SS	20,000	28.31	n/a	n/a	800.00 4.0

TOTAL (AO, CXL, CRT) :**-720.00**

TOTAL (Trade Date) :

192,314.28

TOTAL (AO, CXL, CRT) :

-720.00

TOTAL (RUN DATE) / NFS:**191,594.28**

MRI Securities with Trade Activity on 11-Jul.

GYMB

MRI Securities without Trade Activity on 11-Jul.

CHS, NDN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 20%

YTD = 16%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION