



# Daily Compliance Activity Report

Trade Date: 12/17/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>ADPT</b>	<b>B</b>	<b>25000</b>	<b>3.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SHAREVEST CAPITAL MGMT.	038	AEO	B	36,300	16.46	n/a	4-Dec	1,452.00	4.0
DAVIDSON KEMPNER ADVISOR	040	AET	B	21,300	32.85	n/a	n/a	1,065.00	5.0
COOKBIELER	028	ALV	S	151,900	43.29	n/a	14-Dec	6,076.00	4.0
PLATTE RIVER CAPITAL	240	AMR	B	48,000	7.70	n/a	n/a	1,920.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>S</b>	<b>10,000</b>	<b>35.45</b>	<b>n/a</b>	<b>4-Dec</b>	<b>400.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>B</b>	<b>50,000</b>	<b>15.49</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
RWC PARTNERS LTD	067	AN	B	153,600	18.39	n/a	30-Oct	4,608.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>APC</b>	<b>B</b>	<b>10,000</b>	<b>62.05</b>	<b>n/a</b>	<b>17-Dec</b>	<b>non-brg</b>	<b>4.0</b>
EPOCH INV MGMT	030	APC	B	350,000	62.13	n/a	17-Dec	10,500.00	3.0
EXIS CAPITAL	044	ARO	B	10,000	32.07	n/a	4-Dec	500.00	5.0
REINHART & MAHONEY	031	ATI	B	5,000	39.55	n/a	n/a	250.00	5.0
REINHART & MAHONEY	031	AXE	B	3,500	47.43	n/a	23-Nov	175.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BEE</b>	<b>B</b>	<b>2000</b>	<b>2.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DALTON GREINER	012	BEN	C	63,517	104.19	n/a	15-Dec	3,175.85	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
UBS OCONNOR	031	BEN	C	60,000	104.27	n/a	15-Dec	1,800.00	3.0
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>BGZ</b>	<b>S</b>	<b>200</b>	<b>17.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BHI</b>	<b>B</b>	<b>10,000</b>	<b>40.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BHI</b>	<b>B</b>	<b>15,000</b>	<b>41.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ARCHON CAPITAL	035	BPI	SS	5,000	15.24	n/a	n/a	150.00	3.0
JP MORGAN VENTURES	046	BUCY	B	10,000	52.43	n/a	26-Oct	500.00	5.0
SCOPUS FUND	041	BWA	SS	17,300	32.67	n/a	9-Nov	865.00	5.0
MADISON ST PARTNERS LLC	046	BYD	S	59,955	8.39	n/a	28-Oct	2,398.20	4.0
NUMERIC	060	CAB	S	58,100	14.13	n/a	n/a	1,743.00	3.0
ROYCE TRADING	216	CAB	S	5,500	14.13	n/a	n/a	275.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CACH</b>	<b>S</b>	<b>15,000</b>	<b>4.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
TOKIO MARINE ASSET MGMT	045	CERN	S	5,000	77.39	n/a	n/a	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CHA</b>	<b>C</b>	<b>5,000</b>	<b>41.31</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MADISON ST PARTNERS LLC	046	CKR	S	11,332	8.30	n/a	n/a	453.28	4.0
<b>f JOEL GIFFORD TISS</b>	<b>FID</b>	<b>CNW</b>	<b>S</b>	<b>4011</b>	<b>35.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BENCHMARK	025	COF	S	2,500	38.57	n/a	16-Dec	125.00	5.0
HUTCHIN HILL CAPITAL LP	233	COST	SS	15,000	58.49	n/a	n/a	450.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	CRI	B	20,000	24.22	n/a	n/a	1,000.00	5.0
HARBORWATCH/DIAMBACK	232	C	S	250,000	3.19	n/a	17-Dec	12,500.00	5.0
HUNTER GLOBAL INVESTOR	067	C	S	1,000,000	3.20	n/a	17-Dec	10,000.00	1.0
PLATTE RIVER CAPITAL	240	DBD	S	10,000	27.10	n/a	23-Nov	400.00	4.0
PLATTE RIVER CAPITAL	240	DE	S	10,190	54.06	n/a	30-Nov	407.60	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DHI</b>	<b>B</b>	<b>10,000</b>	<b>10.21</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HARBORWATCH/DIAMBACK	232	DHR	S	10,000	74.65	n/a	n/a	500.00	5.0
FRONTPOINT/COPIA-ANDREW	031	DNR	S	7,000	14.16	n/a	n/a	245.00	3.5
NEUBERGER BERMAN	036	DNR	B	100,000	14.34	n/a	n/a	4,500.00	4.5
STADIA CAPITAL	035	DNR	S	104,100	14.16	n/a	n/a	3,643.50	3.5
SCOPUS FUND	041	DPS	B	12,900	27.97	n/a	n/a	645.00	5.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>DRWI</b>	<b>S</b>	<b>150</b>	<b>10.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GCORE MANAGEMENT	041	ERTS	SS	10,000	16.59	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>EXH</b>	<b>B</b>	<b>20,000</b>	<b>20.87</b>	<b>n/a</b>	<b>17-Dec</b>	<b>non-brg</b>	<b>4.0</b>
CUMBERLAND	239	EXH	B	5,000	20.51	n/a	17-Dec	200.00	4.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>FAS</b>	<b>B</b>	<b>1000</b>	<b>69.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JENNIFER CORWIN GLIC</b>	<b>FID</b>	<b>FDGFX</b>	<b>S</b>	<b>264</b>	<b>23.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>FDP</b>	<b>B</b>	<b>200</b>	<b>20.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>FDP</b>	<b>B</b>	<b>250</b>	<b>20.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
UBS OCONNOR	031	FII	SS	37,700	25.75	n/a	15-Dec	1,131.00	3.0
UBS OCONNOR	031	FL	B	200,000	10.04	n/a	17-Dec	6,000.00	3.0
WEISS PECK	040	FL	B	2,800	10.05	n/a	17-Dec	140.00	5.0
<b>f JENNIFER CORWIN GLIC</b>	<b>FID</b>	<b>FSLCX</b>	<b>S</b>	<b>419</b>	<b>15.37</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SOUTH POINT CAP	067	FSLR	B	25,000	136.15	n/a	n/a	1,250.00	5.0
<b>f MITCHELL JAMES SPEIS</b>	<b>FID</b>	<b>FSNXX</b>	<b>S</b>	<b>9000</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBIA PARTNERS	028	FUL	C	30,000	22.16	n/a	n/a	1,200.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FVSAX</b>	<b>B</b>	<b>99</b>	<b>12.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TROUBH PART	067	GE	B	50,000	15.88	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>10,000</b>	<b>22.80</b>	<b>n/a</b>	<b>11-Dec</b>	<b>non-brg</b>	<b>4.0</b>
COLUMBIA PARTNERS	028	GIL	C	30,000	22.99	n/a	11-Dec	1,200.00	4.0
<b>f JOHN PATRICK DAVEY</b>	<b>FID</b>	<b>GLW</b>	<b>S</b>	<b>1000</b>	<b>18.63</b>	<b>n/a</b>	<b>9-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GS</b>	<b>B</b>	<b>3,000</b>	<b>161.40</b>	<b>n/a</b>	<b>14-Dec</b>	<b>non-brg</b>	<b>0.8</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>10,000</b>	<b>42.09</b>	<b>n/a</b>	<b>4-Dec</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	GYMB	B	5,000	42.09	n/a	4-Dec	250.00	5.0

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SCOPUS FUND	041	HBI	B	5,000	25.43	n/a	n/a	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HGG</b>	<b>S</b>	<b>10,000</b>	<b>21.92</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HK</b>	<b>B</b>	<b>20,000</b>	<b>24.18</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FORD FDN.	032	HON	B	25,000	39.88	n/a	16-Dec	1,000.00	4.0
TOKIO MARINE ASSET MGMT	045	HPQ	S	89,968	50.62	n/a	n/a	3,598.72	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>S</b>	<b>30,000</b>	<b>12.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SANDLER CAPITAL	226	IFF	S	75,000	40.88	n/a	23-Nov	4,500.00	6.0
IVORY CAPITAL	034	ILMN	SS	65,900	27.33	n/a	n/a	3,295.00	5.0
BMO NESBITT BURNS	041	IP	B	25,000	26.14	n/a	28-Oct	1,250.00	5.0
CSFB GLOBAL PROPRIETARY	041	IP	B	120,000	26.24	n/a	28-Oct	6,000.00	5.0
SCHOTTENFELD ASSOC	041	IP	B	30,000	26.42	n/a	28-Oct	900.00	3.0
SCOPUS FUND	041	IP	B	42,500	26.18	n/a	28-Oct	2,125.00	5.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>IRE</b>	<b>S</b>	<b>1000</b>	<b>7.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CUMBERLAND	239	IWM	B	10,000	60.47	n/a	n/a	400.00	4.0
EXIS CAPITAL	044	JCG	B	5,000	45.75	n/a	n/a	250.00	5.0
JP MORGAN VENTURES	046	JOYG	B	15,000	52.89	n/a	8-Dec	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JWN</b>	<b>S</b>	<b>30,000</b>	<b>35.33</b>	<b>n/a</b>	<b>4-Dec</b>	<b>1,200.00</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SHAREVEST CAPITAL MGMT.	038	KMX	B	17,000	21.75	n/a	n/a	680.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	15,000	53.43	n/a	4-Dec	600.00	4.0
⚡ BUCK CAPITAL MGMT		LEN	B	10,000	12.36	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LL	S	20,000	27.43	n/a	n/a	non-brg	2.5
MADISON ST PARTNERS LLC	046	LM	S	24,844	28.56	n/a	15-Dec	1,242.20	5.0
REINHART & MAHONEY	031	LPS	B	5,000	42.15	n/a	n/a	250.00	5.0
REINHART & MAHONEY	031	LUV	B	18,000	11.29	n/a	n/a	900.00	5.0
FRONTPOINT/COPIA-ANDREW	031	ME	SS	60,000	11.80	n/a	n/a	2,100.00	3.5
WHITE PINE CAPITAL	030	MIDD	B	9,600	44.89	n/a	11-Nov	480.00	5.0
⚡ DAVID GEORGE BUCK	FID	MO	B	3000	19.56	n/a	n/a	0.00	0.0
CAERUS GLOBAL	041	MPEL	S	11,878	3.62	n/a	15-Dec	475.12	4.0
AVESTA CAP	229	MSFT	B	100,000	29.69	n/a	n/a	4,000.00	4.0
REINHART & MAHONEY	031	MSM	B	3,000	46.69	n/a	n/a	150.00	5.0
⚡ BUCK CAPITAL MGMT		MS	B	17,500	29.11	n/a	14-Dec	non-brg	4.0
MADISON ST PARTNERS LLC	046	NLY	S	98,121	18.61	n/a	n/a	4,906.05	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT/COPIA-ANDREW	031	NR	B	3,700	4.09	n/a	n/a	111.00	3.0
STADIA CAPITAL	035	NR	B	57,498	4.09	n/a	n/a	1,724.94	3.0
REINHART & MAHONEY	031	OMC	B	5,500	37.78	n/a	n/a	275.00	5.0
SHEFFIELD ASSET MGMT	031	OMC	B	30,000	37.73	n/a	n/a	1,500.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>PAVLX</b>	<b>S</b>	<b>118</b>	<b>20.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
REINHART & MAHONEY	031	PDCO	B	7,000	27.18	n/a	n/a	350.00	5.0
REINHART & MAHONEY	031	PETM	B	6,000	27.09	n/a	n/a	300.00	5.0
MAIRS AND POWER INV	030	PFG	B	20,000	23.74	n/a	n/a	1,000.00	5.0
<b>f NEIL M STRATTON</b>	<b>FID</b>	<b>PGPDQ</b>	<b>B</b>	<b>400</b>	<b>6.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ALEXANDRA ELISE ZOLI</b>	<b>FID</b>	<b>PIR</b>	<b>B</b>	<b>1000</b>	<b>5.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAERUS GLOBAL	041	PIR	S	25,000	5.51	n/a	n/a	1,000.00	4.0
REINHART & MAHONEY	031	PKI	B	10,500	20.31	n/a	n/a	525.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>S</b>	<b>10,000</b>	<b>30.94</b>	<b>n/a</b>	<b>11-Dec</b>	<b>non-brg</b>	<b>4.0</b>
WAGONHOUND/NIC	048	PLCE	B	588	30.81	n/a	11-Dec	23.52	4.0
REINHART & MAHONEY	031	PLL	B	5,000	34.32	n/a	n/a	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PSS</b>	<b>S</b>	<b>10,000</b>	<b>22.24</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>S</b>	<b>10,000</b>	<b>39.84</b>	<b>n/a</b>	<b>20-Nov</b>	<b>400.00</b>	<b>4.0</b>

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EXIS CAPITAL	044	PVH	SS	5,000	39.92	n/a	20-Nov	250.00	5.0
MADISON ST PARTNERS LLC	046	QLTI	B	10,000	4.70	n/a	n/a	400.00	4.0
WAGONHOUND/NIC	048	SHOO	B	447	40.62	n/a	n/a	17.88	4.0
⚡ BUCK CAPITAL MGMT		SII	B	25,000	27.25	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	S	20,000	28.36	n/a	n/a	800.00	4.0
⚡ BUCK CAPITAL MGMT		SLB	B	25,000	63.20	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	SPLS	S	3,000	24.57	n/a	n/a	390.00	13.0
IVORY CAPITAL	034	SPR	SS	23,200	19.47	n/a	n/a	1,160.00	5.0
⚡ ABRAM M CHAPPELL	FID	SRI	B	120	8.22	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SWN	B	15,000	45.49	n/a	17-Dec	non-brg	4.0
JMP ASSET MGMT	210	TICC	S	11,600	5.63	n/a	n/a	464.00	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	120,000	21.38	n/a	22-Oct	6,000.00	5.0
SCOPUS FUND	041	TIN	B	35,800	21.58	n/a	22-Oct	1,790.00	5.0
⚡ BUCK CAPITAL MGMT		TLB	B	20,000	8.36	n/a	n/a	non-brg	3.0
MADISON ST PARTNERS LLC	046	TRLG	S	20,510	18.49	n/a	n/a	1,025.50	5.0
⚡ BUCK CAPITAL MGMT		TWTC	S	25,000	16.51	n/a	n/a	non-brg	4.0



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PLATTE RIVER CAPITAL	240	T	B	15,000	27.31	n/a	n/a	600.00	4.0
CASTLE ARK	031	UNH	B	281,850	31.79	n/a	n/a	16,911.00	6.0
DAVIDSON KEMPNER ADVISOR	040	UNH	B	21,900	31.93	n/a	n/a	1,095.00	5.0
RBC CAPITAL MARKETS	040	VALE	B	25,000	27.56	n/a	n/a	1,000.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WFC</b>	<b>B</b>	<b>2000</b>	<b>26.14</b>	<b>n/a</b>	<b>15-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WFT</b>	<b>B</b>	<b>25,000</b>	<b>16.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PARTNER REINSURANCE	033	WGO	S	29,500	13.23	n/a	n/a	1,180.00	4.0
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>WH</b>	<b>B</b>	<b>500</b>	<b>3.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>WLP</b>	<b>B</b>	<b>2000</b>	<b>58.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DAVIDSON KEMPNER ADVISOR	040	WLP	B	11,900	59.05	n/a	n/a	595.00	5.0
HUTCHIN HILL CAPITAL LP	233	WMT	SS	10,000	52.96	n/a	1-Dec	300.00	3.0
PRINCIPLE CAPITAL	040	WMT	S	60,000	52.83	n/a	1-Dec	2,400.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WRC</b>	<b>S</b>	<b>30,000</b>	<b>42.76</b>	<b>n/a</b>	<b>5-Nov</b>	<b>1,200.00</b>	<b>4.0</b>
DENVER INST. ADV	046	WRC	B	43,700	42.75	n/a	5-Nov	1,748.00	4.0
EXIS CAPITAL	044	WRC	B	15,000	42.91	n/a	5-Nov	750.00	5.0
SCOPUS FUND	041	WY	B	10,000	43.66	n/a	15-Dec	500.00	5.0
CATAPULT	040	YHOO	B	25,000	15.86	n/a	n/a	1,000.00	4.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>ZQK</b>	<b>B</b>	<b>2000</b>	<b>1.70</b>	<b>n/a</b>	<b>8-Dec</b>	<b>0.00</b>	<b>0.0</b>

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**TOTAL (Trade Date):**

**180,031.36**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/16	SOROS	036	BBY	B	72,000	41.77	n/a	n/a	2880.00 4.0
AO,CRT	12/16	RWC PARTNERS LTD	067	DAN	B	94,000	9.40	n/a	n/a	2820.00 3.0
AO,CXL	12/16	RWC PARTNERS LTD	067	DAN	B	94,000	9.40	n/a	n/a	2820.00 3.0
AO,	12/16	FRIESS MGMT	033	DELL	B	34,900	13.68	n/a	n/a	1396.00 4.0
AO,	12/16	FRIESS MGMT	033	HON	B	10,600	40.92	n/a	16-Dec	424.00 4.0
AO,	12/16	SYSTEMATIC FINANCIAL MGT	035	LLY	S	523,200	35.87	n/a	n/a	18312.00 3.5
AO,CRT	12/11	ARCHON CAPITAL	035	SIG	SS	10,000	25.02	n/a	n/a	400.00 4.0
AO,CXL	12/11	ARCHON PARTNERS	032	SIG	SS	10,000	25.02	n/a	n/a	300.00 3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>23,112.00</b>

TOTAL (Trade Date) :	180,031.36
TOTAL (AO, CXL, CRT) :	23,112.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>203,143.36</b>
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MRI Securities with Trade Activity on 17-Dec. NONE
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MRI Securities without Trade Activity on 17-Dec.

AMG

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 34%

YTD = 19%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)