



Daily Compliance Activity Report

Trade Date: 05/30/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|--------------------------|------|--------|-----|---------|-------|--------|----------|--------------|-----|
| COUGAR TRADING | 044 | AEO | S | 10,000 | 26.91 | 4-Jan | 23-May | 500.00 | 5.0 |
| GRUBER MACBAINE | 033 | AEO | B | 10,000 | 26.90 | 4-Jan | 23-May | 400.00 | 4.0 |
| BUCK | 015 | AMQVZ | S | 10 | 1.90 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | ANF | SS | 110,000 | 81.32 | n/a | 24-May | 4,400.00 | 4.0 |
| DAVIDSON KEMPNER ADVISOR | 217 | AQNT | B | 100,000 | 63.76 | n/a | n/a | 5,000.00 | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | BBY | S | 25,000 | 46.62 | 1-May | 11-May | non-brg | 0.4 |
| ⌘ BUCK CAPITAL MGMT | | BBY | S | 50,000 | 46.70 | 1-May | 11-May | non-brg | 5.0 |
| ⌘ BUCK CAPITAL MGMT | | BBY | S | 50,000 | 46.73 | 1-May | 11-May | non-brg | 0.4 |
| GENERAL MOTORS INV CO | 040 | BDY | S | 2,800 | 22.44 | n/a | n/a | 112.00 | 4.0 |
| BUCK | 015 | BFB | S | 800 | 67.98 | n/a | n/a | 0.00 | 0.0 |
| THIRD COAST CAPITAL | 035 | BIG | B | 12,500 | 34.90 | 9-Mar | 29-May | 625.00 | 5.0 |
| LISTED ERR | 099 | BIG | B | 12,500 | 34.90 | 9-Mar | 29-May | 0.00 | 0.0 |
| LISTED ERR | 099 | BIG | S | 12,500 | 34.74 | 9-Mar | 29-May | 0.00 | 0.0 |
| Õ Glenn Redemann | | BNI | B | 8 | 0.56 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | BNI | B | 8 | 0.99 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | BNI | SS | 8 | 1.34 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | BNI | SS | 8 | 2.31 | n/a | n/a | 0.00 | 0.0 |
| ⌘ BUCK CAPITAL MGMT | | BONT | B | 10,000 | 46.49 | 25-May | 25-May | non-brg | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------------|------------|-------------|----------|---------------|---------------|---------------|---------------|----------------|------------|
| COUGAR TRADING | 044 | BONT | B | 5,000 | 45.87 | 25-May | 25-May | 250.00 | 5.0 |
| MERRILL LYNCH STRATEGICI | 034 | BOW | B | 30,000 | 20.72 | n/a | 27-Apr | 1,200.00 | 4.0 |
| B BUCK CAPITAL MGMT | | BSC | B | 5,000 | 149.83 | 15-Dec | 30-May | non-brg | 5.0 |
| PIVOT CAP | 034 | BWS | B | 10,260 | 28.45 | n/a | n/a | 513.00 | 5.0 |
| EFSTATHIOU | 044 | CALL | S | 1,000 | 3.57 | n/a | n/a | 0.00 | 0.0 |
| BARR | 096 | CCOI | S | 1,100 | 27.89 | n/a | n/a | 0.00 | 0.0 |
| B BUCK CAPITAL MGMT | | CHIC | B | 70,000 | 27.49 | n/a | n/a | non-brg | 5.0 |
| BRIGHTPOINT CAP | 067 | CHS | S | 20,000 | 25.48 | 27-Mar | 22-May | 1,000.00 | 5.0 |
| COUGAR TRADING | 044 | CHS | B | 10,000 | 25.66 | 27-Mar | 22-May | 500.00 | 5.0 |
| LAPIDES ASSET MGMT | 034 | CKP | B | 8,300 | 25.26 | n/a | n/a | 332.00 | 4.0 |
| SAILFISH | 035 | CMA | SS | 10,000 | 63.03 | n/a | n/a | 500.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | COH | B | 5,000 | 50.04 | 23-Feb | 25-Apr | 200.00 | 4.0 |
| COUGAR TRADING | 044 | COH | B | 5,000 | 49.48 | 23-Feb | 25-Apr | 250.00 | 5.0 |
| COUGAR TRADING | 044 | COH | S | 10,000 | 51.14 | 23-Feb | 25-Apr | 500.00 | 5.0 |
| WD PARTNERS ILP | 067 | COST | SS | 10,000 | 56.42 | n/a | 30-May | 500.00 | 5.0 |
| MERRILL ASSET | 032 | CRA | S | 21,500 | 13.57 | n/a | n/a | 967.50 | 4.5 |
| LEDDY | 035 | CRI | S | 700 | 26.11 | n/a | n/a | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------------|------------|-------------|-----------|---------------|--------------|---------------|---------------|----------------|------------|
| ZOLIDIS | 011 | CWTR | SS | 400 | 24.55 | n/a | n/a | 0.00 | 0.0 |
| MERRILL ASSET | 032 | CW | S | 20,200 | 43.91 | n/a | n/a | 909.00 | 4.5 |
| LAPIDES ASSET MGMT | 034 | DBD | B | 8,500 | 49.12 | 3-Apr | 25-Apr | 340.00 | 4.0 |
| BUCK | 015 | DDR | S | 600 | 62.06 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | DIA | B | 1 | 0.85 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | DIA | B | 2 | 0.45 | n/a | n/a | 0.00 | 0.0 |
| JP MORGAN VENTURES | 046 | DIA | SS | 50,000 | 136.15 | n/a | n/a | 1,500.00 | 3.0 |
| NEUBERGER BERMAN | 036 | DRS | S | 65,800 | 49.62 | n/a | n/a | 3,290.00 | 5.0 |
| ß BUCK CAPITAL MGMT | | DSW | B | 20,000 | 37.54 | 7-May | 11-May | non-brg | 5.0 |
| MAZAMA CAPITAL MGMT. | 035 | EVR | B | 40,000 | 31.55 | n/a | n/a | 1,600.00 | 4.0 |
| ß BUCK CAPITAL MGMT | | FD | C | 40,000 | 39.10 | 17-May | 17-May | non-brg | 5.0 |
| PRINCIPLE CAPITAL | 040 | FD | B | 61,200 | 39.03 | 17-May | 17-May | 1,836.00 | 3.0 |
| HIGHBRIDGE CAPITAL | 038 | FRP | SS | 43,000 | 18.00 | n/a | n/a | 2,150.00 | 5.0 |
| ß BUCK CAPITAL MGMT | | GIII | B | 5,000 | 18.64 | n/a | n/a | non-brg | 5.0 |
| LEDDY | 035 | GIL | B | 400 | 34.20 | n/a | 3-May | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | GPI | B | 20,000 | 42.20 | 27-Apr | 2-May | 800.00 | 4.0 |
| ß BUCK CAPITAL MGMT | | GPI | B | 11,800 | 41.52 | 27-Apr | 2-May | non-brg | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|----------------------------|------------|-------------|-----------|---------------|--------------|--------------|---------------|----------------|------------|
| CIRCLE T PART | 035 | GPI | B | 10,000 | 42.31 | 27-Apr | 2-May | 400.00 | 4.0 |
| B BUCK CAPITAL MGMT | | GYMB | B | 10,000 | 43.33 | 4-Jan | 24-May | non-brg | 5.0 |
| SAILFISH | 035 | HAL | SS | 5,000 | 36.13 | n/a | n/a | 250.00 | 5.0 |
| FAGS | 250 | HC | B | 99,900 | 23.89 | 14-Mar | 22-May | 4,995.00 | 5.0 |
| B BUCK CAPITAL MGMT | | HLYS | B | 10,000 | 31.20 | n/a | n/a | non-brg | 5.0 |
| BENCHMARK | 028 | HW | SS | 10,000 | 19.46 | n/a | n/a | 500.00 | 5.0 |
| SANDLER CAPITAL | 038 | HXL | B | 40,000 | 22.80 | n/a | n/a | 2,400.00 | 6.0 |
| B BUCK CAPITAL MGMT | | IRF | SS | 10,000 | 34.13 | n/a | n/a | non-brg | 5.0 |
| KORMAN | 003 | JASO | B | 6,000 | 21.70 | n/a | n/a | 0.00 | 0.0 |
| COUGAR TRADING | 044 | JCG | B | 5,000 | 41.19 | 14-Mar | 22-May | 250.00 | 5.0 |
| B BUCK CAPITAL MGMT | | JOSB | S | 20,000 | 39.95 | n/a | n/a | non-brg | 5.0 |
| DSG CAPITAL | 225 | KEGS | B | 4,000 | 18.74 | n/a | 23-May | 200.00 | 5.0 |
| STADIA CAPITAL | 035 | KSS | C | 75,000 | 74.88 | n/a | 18-May | 3,000.00 | 4.0 |
| GRUSS CAPITAL | 040 | LNK | S | 5,000 | 28.48 | n/a | n/a | 250.00 | 5.0 |
| CHIARMONTE | N10 | MSFT | S | 30 | 30.63 | n/a | 3-Apr | 0.00 | 0.0 |
| LEDDY | 035 | MSI | S | 200 | 2.17 | n/a | n/a | 0.00 | 0.0 |

| | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. |
|---|--------------------------|------|--------|-----|---------|--------|--------|----------|---------------|
| B | BUCK CAPITAL MGMT | | MSM | B | 10,000 | 51.82 | n/a | n/a | non-brg 5.0 |
| | CAPITAL GROWTH MGMT. | 241 | MT | S | 500,000 | 57.98 | n/a | n/a | 20,000.00 4.0 |
| | AMBER CAPITAL | 046 | NEM | SS | 500,000 | 39.44 | n/a | n/a | 20,000.00 4.0 |
| | MERRILL LYNCH STRATEGICI | 034 | NEU | B | 10,100 | 46.75 | n/a | n/a | 505.00 5.0 |
| Ö | Glenn Redemann | | NFX | B | 2 | 0.98 | n/a | n/a | 0.00 0.0 |
| Ö | Glenn Redemann | | NFX | B | 2 | 1.27 | n/a | n/a | 0.00 0.0 |
| | ZOLIDIS | 011 | NLS | B | 1,000 | 13.12 | n/a | n/a | 0.00 0.0 |
| | ENDEAVOUR CAPITAL | 035 | NMX | S | 18,000 | 127.29 | n/a | n/a | 900.00 5.0 |
| | APEX CAPITAL MGMT. | 091 | NOK | B | 60,000 | 27.02 | n/a | n/a | 3,000.00 5.0 |
| | FARALLON CAP MGMT | 040 | OMX | B | 78,600 | 42.65 | n/a | 15-Apr | 3,144.00 4.0 |
| | DEMARTINI | 065 | OPBL | S | 2,000 | 0.44 | n/a | n/a | 0.00 0.0 |
| | KERN | 050 | PCU | B | 100 | 85.87 | n/a | n/a | 0.00 0.0 |
| | BUCK | 015 | PEP | B | 400 | 68.67 | n/a | n/a | 0.00 0.0 |
| | HOOVER INV. MGMT | 033 | PKG | B | 30,000 | 25.65 | n/a | 18-Apr | 1,500.00 5.0 |
| | GRUSS CAPITAL | 040 | PLD | B | 5,000 | 64.85 | n/a | n/a | 200.00 4.0 |
| | BRIGHTPOINT CAP | 067 | PVH | B | 32,400 | 59.75 | 28-Mar | 24-May | 1,620.00 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|------------------------|------|--------|-----|--------|--------|--------|----------|-------------|-----|
| Õ Glenn Redemann | | QQQQ | B | 1 | 0.25 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | QQQQ | B | 1 | 0.37 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | QQQQ | B | 2 | 0.05 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | QQQQ | B | 2 | 0.15 | n/a | n/a | 0.00 | 0.0 |
| LAPIDES ASSET MGMT | 034 | RGS | B | 7,000 | 39.70 | n/a | n/a | 280.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | RL | SS | 50,000 | 94.82 | 2-Feb | 24-May | 2,000.00 | 4.0 |
| ß BUCK CAPITAL MGMT | | RL | S | 30,000 | 91.22 | 2-Feb | 24-May | non-brg | 1.0 |
| COUGAR TRADING | 044 | RL | B | 5,000 | 90.96 | 2-Feb | 24-May | 250.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | SKX | B | 5,000 | 31.89 | n/a | 13-Mar | 200.00 | 4.0 |
| COUGAR TRADING | 044 | SKX | B | 5,000 | 31.87 | n/a | 13-Mar | 250.00 | 5.0 |
| ROARING BLUE LION MGMT | 012 | SMRT | S | 21,350 | 12.49 | n/a | n/a | 1,067.50 | 5.0 |
| GALLEON MGMT LP | 033 | SPY | C | 15,000 | 153.24 | n/a | n/a | 750.00 | 5.0 |
| Õ Glenn Redemann | | SPY | B | 1 | 1.00 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | SPY | B | 2 | 0.40 | n/a | n/a | 0.00 | 0.0 |
| ARIENCE CAPITAL | 036 | STX | B | 29,565 | 20.72 | n/a | n/a | 1,478.25 | 5.0 |
| MERRILL ASSET | 032 | TGI | S | 10,700 | 65.36 | n/a | n/a | 481.50 | 4.5 |
| COUGAR TRADING | 044 | TIF | SS | 10,000 | 51.26 | 27-Mar | 26-Apr | 500.00 | 5.0 |
| THIRD COAST CAPITAL | 035 | TIF | S | 7,000 | 52.19 | 27-Mar | 26-Apr | 350.00 | 5.0 |
| PIVOT CAP | 034 | TJX | B | 13,030 | 27.65 | 21-Mar | 16-May | 651.50 | 5.0 |
| PZENA INV ADV | 044 | TJX | B | 62,225 | 27.54 | 21-Mar | 16-May | 2,489.00 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. |
|----------------------|------|--------|-----|---------|-------|--------|----------|--------------|
| ⌘ BUCK CAPITAL MGMT | | TRLG | B | 165,000 | 17.57 | n/a | n/a | non-brg 5.0 |
| ⌘ BUCK CAPITAL MGMT | | TRLG | B | 20,000 | 16.68 | n/a | n/a | non-brg 5.0 |
| ⌘ BUCK CAPITAL MGMT | | TRLG | B | 65,000 | 17.27 | n/a | n/a | non-brg 5.0 |
| ⌘ BUCK CAPITAL MGMT | | TRLG | B | 95,000 | 17.42 | n/a | n/a | non-brg 5.0 |
| COUGAR TRADING | 044 | TWB | B | 5,000 | 41.50 | 23-May | 23-May | 250.00 5.0 |
| LAPIDES ASSET MGMT | 034 | TWP | B | 7,000 | 21.82 | n/a | n/a | 280.00 4.0 |
| BUCK CAPITAL MGMT | 001 | UAG | B | 40,000 | 22.09 | n/a | 9-May | 1,600.00 4.0 |
| ⌘ BUCK CAPITAL MGMT | | UAG | B | 30,000 | 21.92 | n/a | 9-May | non-brg 5.0 |
| ROTHSCHILD ASSET | 040 | UPFC | S | 7,910 | 15.43 | n/a | n/a | 355.95 4.5 |
| ⌘ BUCK CAPITAL MGMT | | URBN | B | 75,000 | 25.84 | 2-Apr | 11-May | non-brg 5.0 |
| JP MORGAN VENTURES | 046 | URBN | C | 9,000 | 26.24 | 2-Apr | 11-May | 450.00 5.0 |
| ROXBURY CAPITAL MGMT | 035 | USTR | S | 128,377 | 66.05 | 23-May | 23-May | 5,135.08 4.0 |
| KERN | 050 | VOII | S | 10,000 | 0.12 | n/a | n/a | 0.00 0.0 |
| DEMARTINI | 065 | VOII | S | 50,000 | 0.12 | n/a | n/a | 0.00 0.0 |
| APEX CAPITAL MGMT. | 091 | VRSN | B | 15,000 | 29.07 | n/a | n/a | 750.00 5.0 |
| JP MORGAN VENTURES | 046 | WMT | B | 32,000 | 46.82 | n/a | 16-May | 1,600.00 5.0 |
| ROTHSCHILD ASSET | 040 | WNG | S | 15,190 | 84.60 | n/a | n/a | 683.55 4.5 |
| ⌘ BUCK CAPITAL MGMT | | WSO | B | 5,000 | 59.97 | n/a | n/a | non-brg 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|---------------------|------|--------|-----|--------|-------|-------|----------|--------------|-----|
| BUCK CAPITAL MGMT | 001 | ZQK | S | 10,000 | 13.75 | 9-Mar | 17-Apr | 400.00 | 4.0 |
| DELTEC ASSET MGMT. | 040 | ZQK | S | 26,800 | 13.66 | 9-Mar | 17-Apr | 1,072.00 | 4.0 |
| TOTAL (Trade Date): | | | | | | | | 116,612.83 | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------|-------|-------------|------|--------|-----|---------|-------|-------|----------|-------------|
| AO,CRT | 05/24 | UBS OCONNOR | 031 | IP | S | 100,520 | 38.67 | 4-May | 4-May | 3015.60 3.0 |
| AO,CXL | 05/24 | UBS OCONNOR | 031 | IP | S | 100,520 | 38.67 | 4-May | 4-May | 3015.60 3.0 |

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|-------------------------------|-------------|
| TOTAL (AO, CXL, CRT) : | 0.00 |
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|------------------------|------------|
| TOTAL (Trade Date) : | 116,612.83 |
| TOTAL (AO, CXL, CRT) : | 0.00 |

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|--------------------------------|-------------------|
| TOTAL (RUN DATE) / NFS: | 116,612.83 |
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| MRI Securities with Trade Activity on 30-May. NONE |
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| MRI Securities without Trade Activity on 30-May. DLTR |
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| BRG comm. as a % of BCM Total Comm. paid. T-Date = 21% YTD = 17% |
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION