



Daily Compliance Activity Report

Trade Date: 12/12/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WYCKOFF	013	ABG	B	500	15.89	31-Oct	4-Dec	0.00	0.0
MORGAN LEWIS/MLH	046	ABR	S	20,000	17.90	n/a	n/a	1,000.00	5.0
APEX CAPITAL MGMT.	091	AEO	S	185,000	21.71	9-Nov	7-Dec	9,250.00	5.0
BUCK CAPITAL MGMT	001	AEO	S	20,000	22.14	9-Nov	7-Dec	800.00	4.0
ROSEDALE CAPITAL	046	AEO	B	10,000	21.99	9-Nov	7-Dec	500.00	5.0
CHART WELL ADV	243	AGN	S	23,275	66.01	9-Oct	11-Dec	1,047.39	4.5
PATTEN & PATTEN	067	AIG	S	450	57.36	n/a	n/a	22.50	5.0
DURANGO INVESTMENTS	031	AIMC	S	1,000	17.01	n/a	n/a	40.00	4.0
BUCK CAPITAL MGMT	001	ANF	S	10,000	82.03	2-Oct	7-Dec	400.00	4.0
COUGAR TRADING	044	ANF	SS	5,000	82.56	2-Oct	7-Dec	250.00	5.0
BUCK CAPITAL MGMT	001	ANN	B	25,000	29.90	6-Aug	7-Dec	1,000.00	4.0
FRIESS MGMT	042	ARG	S	21,430	52.51	5-Oct	25-Oct	964.35	4.5
BUCK CAPITAL MGMT	001	ARO	B	24,000	26.38	29-Nov	7-Dec	960.00	4.0
COUGAR TRADING	044	ARO	S	5,000	26.00	29-Nov	7-Dec	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	S	69,800	26.47	29-Nov	7-Dec	2,617.50	3.8
BALYASNY ASSET MGMT	044	BBY	S	25,000	52.00	n/a	27-Jul	1,250.00	5.0
CARDINAL CAPITAL	044	BE	S	97,100	2.86	n/a	n/a	2,913.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FRIESS MGMT	042	BHE	B	120,900	17.78	n/a	n/a	5,440.50	4.5
CARLSON CAPITAL	031	BK	S	62,000	48.36	19-Oct	20-Nov	3,100.00	5.0
ROYCE TRADING	216	BNE	S	5,000	17.91	n/a	n/a	250.00	5.0
CENTURION PARTNERS	255	BONT	S	10,000	13.09	9-Nov	7-Dec	500.00	5.0
MKT MAKER	099	BONT	B	500	14.25	9-Nov	7-Dec	0.00	0.0
PATTEN & PATTEN	067	BTO	S	600	6.20	n/a	n/a	30.00	5.0
TROUBH PART	067	CFC	B	10,000	10.62	n/a	n/a	500.00	5.0
ASCEND PARTNERS	033	CFSG	B	10,000	16.07	n/a	n/a	500.00	5.0
BRIGHTPOINT CAP	067	CHS	B	170,000	10.71	5-Dec	7-Dec	6,800.00	4.0
BEAR STEARNS ASSET MGMT.	033	COH	C	212,800	35.06	24-Oct	24-Oct	8,512.00	4.0
BUCK CAPITAL MGMT	001	COH	SS	80,000	35.74	24-Oct	24-Oct	3,200.00	4.0
MKT MAKER	099	COWN	B	200	10.16	n/a	n/a	0.00	0.0
FRIESS MGMT	042	CPWR	B	478,300	8.92	n/a	n/a	16,740.50	3.5
FRIESS MGMT	042	CRL	B	112,700	63.47	n/a	n/a	5,071.50	4.5
ASCEND PARTNERS	033	CROX	S	50,000	42.08	n/a	n/a	2,500.00	5.0
ROTHSCHILD ASSET	040	CR	B	43,000	44.94	n/a	n/a	1,935.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CROWLEY	061	CXE	B	3,000	5.46	n/a	n/a	0.00	0.0
FRIESS MGMT	042	CYH	B	385,200	35.72	10-Oct	3-Dec	17,334.00	4.5
KAICHER	064	C	B	1,000	30.86	5-Nov	12-Dec	0.00	0.0
SOCIETY GENERALE	040	DAL	S	11,000	16.40	n/a	n/a	440.00	4.0
ROYCE TRADING	216	DCO	B	1,000	35.70	n/a	n/a	50.00	5.0
PATTEN & PATTEN	067	DELL	S	700	23.91	n/a	n/a	35.00	5.0
STRATTON	N21	ETFC	S	200	4.05	n/a	n/a	0.00	0.0
FRIESS MGMT	042	FMC	B	28,200	52.82	n/a	n/a	1,269.00	4.5
MAZAMA CAPITAL MGMT.	035	FMR	S	4,500	22.32	n/a	n/a	180.00	4.0
SINCLAIR	031	FMU	S	100	0.70	n/a	n/a	0.00	0.0
STRATTON	N21	FRED	SS	200	9.75	6-Dec	7-Dec	0.00	0.0
PHILADELPHIA FINANCIAL	033	GBL	SS	4,500	60.76	n/a	26-Nov	225.00	5.0
BUCK CAPITAL MGMT	001	GES	B	55,000	43.49	n/a	n/a	2,200.00	4.0
MAGNETAR FINANCIAL	247	GM	B	35,000	27.96	n/a	4-Dec	1,050.00	3.0
PECONIC PARTNERS	036	GM	SS	200,000	27.35	n/a	4-Dec	10,000.00	5.0
BUCK CAPITAL MGMT	001	GPS	B	25,000	21.03	n/a	7-Dec	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FRIESS MGMT	042	GTI	S	242,000	18.54	n/a	n/a	10,890.00	4.5
ROYCE TRADING	216	GY	B	1,200	11.70	n/a	n/a	60.00	5.0
KENNEDY CAPITAL	046	HES	S	23,710	80.06	n/a	n/a	948.40	4.0
AMBER CAPITAL	046	HSY	SS	250,000	38.73	n/a	n/a	10,000.00	4.0
TRIVIUM CAP MGMT	251	IAR	C	75,000	17.34	n/a	n/a	3,000.00	4.0
WHEELER	008	IMMC	S	11,036	0.91	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	INTC	B	64,570	27.50	n/a	n/a	3,228.50	5.0
WHEELER	008	IOM	B	10,400	3.67	n/a	n/a	0.00	0.0
BARR	096	IOM	B	4,350	3.68	n/a	n/a	0.00	0.0
CROWLEY	061	ISLN	S	1,000	5.70	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	60,350	27.98	21-Nov	10-Dec	2,263.13	3.8
JS ASSET MGMT	028	IWD	S	800	82.61	n/a	n/a	32.00	4.0
DOWNTOWN ASSOC	033	IWM	SS	200,000	78.34	n/a	n/a	7,000.00	3.5
PATTEN & PATTEN	067	IXN	B	250	66.25	n/a	n/a	12.50	5.0
BUCK CAPITAL MGMT	001	JAH	B	50,000	26.74	n/a	n/a	2,000.00	4.0
APEX CAPITAL MGMT.	091	JCP	S	105,000	45.59	15-Nov	7-Dec	5,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PILOT ADV	038	JCP	S	35,900	45.51	15-Nov	7-Dec	1,436.00	4.0
FORD FDN.	032	JPM	B	65,000	46.57	19-Oct	20-Nov	3,250.00	5.0
APEX CAPITAL MGMT.	091	JWN	S	300,000	37.42	20-Nov	7-Dec	15,000.00	5.0
BUCK CAPITAL MGMT	001	JWN	S	60,000	37.53	20-Nov	7-Dec	2,400.00	4.0
COUGAR TRADING	044	JWN	B	5,000	39.40	20-Nov	7-Dec	250.00	5.0
CROWLEY	061	KEG	S	1,000	13.64	29-Oct	10-Dec	0.00	0.0
MORGAN LEWIS/MLH	046	KFN	S	20,000	14.38	n/a	n/a	1,000.00	5.0
ASCEND PARTNERS	033	KR	S	50,000	26.08	n/a	n/a	2,500.00	5.0
APEX CAPITAL MGMT.	091	KSS	S	200,000	49.16	9-Nov	7-Dec	10,000.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	40,000	49.08	9-Nov	7-Dec	1,600.00	4.0
COUGAR TRADING	044	KSS	SS	5,000	50.12	9-Nov	7-Dec	250.00	5.0
BUCK	015	LEH	SS	500	61.12	n/a	11-Dec	0.00	0.0
STRATTON	N21	LEH	SS	100	60.00	n/a	11-Dec	0.00	0.0
SINCLAIR	031	LES DM	B	5	4.80	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	LOW	S	1,000	23.11	n/a	27-Jul	50.00	5.0
BUCK CAPITAL MGMT	001	LTD	SS	20,000	20.26	n/a	7-Dec	800.00	4.0
STADIA CAPITAL	035	LTD	SS	25,800	19.87	n/a	7-Dec	1,032.00	4.0
BENCHMARK	028	LYTS	SS	7,500	18.71	n/a	n/a	375.00	5.0
HIGHBRIDGE CAPITAL	038	MAR	B	15,000	36.58	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MS PRINCIPAL STRATEGIES	041	MAR	B	50,000	36.41	n/a	n/a	2,500.00	5.0
ZOLIDIS	011	MAT	SS	400	20.33	n/a	n/a	0.00	0.0
BUCK	015	MBI	SS	700	32.62	n/a	n/a	0.00	0.0
AVESTA CAP	229	MCD	S	50,000	62.87	n/a	24-Apr	2,500.00	5.0
COVEY CAPITAL	045	MCF	B	4,000	48.82	n/a	n/a	160.00	4.0
ROYCE TRADING	216	MDC	B	2,500	37.30	n/a	n/a	125.00	5.0
ROYCE TRADING	216	MTZ	S	5,000	10.42	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	M	S	100,000	29.52	9-Nov	7-Dec	4,000.00	4.0
WYCKOFF	013	NILE	B	100	70.24	n/a	n/a	0.00	0.0
DISCIPLINED GROWTH INV.	030	NLS	S	20,000	6.16	n/a	n/a	1,000.00	5.0
GALLEON MGMT LP	033	NOK	S	10,000	40.15	n/a	n/a	500.00	5.0
JP MORGAN VENTURES	046	PLCE	S	15,000	28.96	3-Dec	7-Dec	750.00	5.0
BASIX	033	PSS	B	21,000	17.91	n/a	n/a	840.00	4.0
WYCKOFF	013	QSGI	B	10,000	0.28	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	S	20,000	67.14	8-Nov	8-Nov	800.00	4.0
CASTLE ARK	031	RRC	B	142,280	47.35	n/a	n/a	8,536.80	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	RVI	S	21,100	6.64	n/a	n/a	1,055.00	5.0
ZOLIDIS	011	SHOE	SS	2,400	1.15	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKS	B	30,000	19.86	n/a	n/a	1,200.00	4.0
JS ASSET MGMT	028	SPC	S	17,800	5.41	9-Nov	9-Nov	534.00	3.0
STRATTON	N21	TECUA	SS	200	26.15	n/a	n/a	0.00	0.0
ASCEND PARTNERS	033	TGT	C	50,000	52.99	n/a	27-Jul	2,500.00	5.0
BUCK CAPITAL MGMT	001	TGT	S	30,000	53.01	n/a	27-Jul	1,200.00	4.0
RAMIUS CAP	040	TIBX	S	50,000	7.43	n/a	3-Apr	2,500.00	5.0
IRONBOUND CAPITAL	012	TIN	B	525,000	32.45	11-Dec	11-Dec	26,250.00	5.0
MATLIN PATTERSON	046	TIN	B	10,000	32.18	11-Dec	11-Dec	300.00	3.0
BUCK CAPITAL MGMT	001	TJX	B	15,000	30.64	21-Sep	7-Dec	600.00	4.0
COVEY CAPITAL	045	TTC	B	3,500	57.34	n/a	n/a	140.00	4.0
ROTHSCHILD ASSET	040	TUP	S	7,100	34.00	n/a	n/a	319.50	4.5
GOLDEN TREE	036	TWX	S	500,000	17.09	n/a	n/a	25,000.00	5.0
IRIDIAN ASSET MGMT.	036	TXN	B	23,860	33.51	n/a	n/a	1,193.00	5.0
BUCK CAPITAL MGMT	001	UA	B	40,000	49.08	n/a	n/a	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	URBN	S	125,100	28.52	9-Nov	7-Dec	5,004.00	4.0
GRUSS CAPITAL	045	WBC	B	5,000	49.07	n/a	12-Dec	200.00	4.0
ROYCE TRADING	216	WLT	S	5,000	37.10	n/a	n/a	250.00	5.0
MATRIX ASSET ADV.	036	WMT	B	10,500	48.68	20-Nov	7-Dec	420.00	4.0
BUCK	015	WMUTP	B	751,055.00		n/a	n/a	0.00	0.0
BUCK	015	WM	SS	1,000	17.12	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	WWY	SS	126,900	62.44	n/a	n/a	5,076.00	4.0
SCOGGIN CAP	044	XIN	S	75,000	14.63	n/a	n/a	3,750.00	5.0
COUGAR TRADING	044	ZQK	B	5,000	10.70	n/a	11-Dec	250.00	5.0
TOTAL (Trade Date) :								298,808.07	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRT	12/12	MKT MAKER (MKT MAKER)	099	BONT	B	77	14.25	9-Nov	7-Dec	0.00	0.0
CRT	12/12	MKT MAKER (MKT MAKER)	099	BONT	B	150	14.25	9-Nov	7-Dec	0.00	0.0
CRT	12/12	MKT MAKER (MKT MAKER)	099	BONT	B	100	14.25	9-Nov	7-Dec	0.00	0.0
CRT	12/12	MKT MAKER (MKT MAKER)	099	BONT	B	100	14.22	9-Nov	7-Dec	0.00	0.0
CRT	12/12	MKT MAKER (MKT MAKER)	099	BONT	B	73	14.22	9-Nov	7-Dec	0.00	0.0
AO,CXL	12/11	BENCHMARK (BENCBS)	028	CCIX	S	5,000	9.92	n/a	n/a	0.00	0.0
AO,CRT	12/11	BENCHMARK (BENCBS)	028	CCIX	S	5,000	9.92	n/a	n/a	250.00	5.0
AO,CRT	12/11	BRIGHTPOINT CAP (BRIGMS)	067	CHS	B	155,000	10.88	5-Dec	7-Dec	6200.00	4.0
AO,CXL	12/11	BRIGHTPOINT CAP (BRIGMAIN)	067	CHS	B	155,000	10.88	5-Dec	7-Dec	6200.00	4.0
AO,CXL	12/11	H PARTNERS MGMT LLC (HPARGSPB)	031	CMCSK	B	251,945	18.05	n/a	n/a	12597.25	5.0
AO,CRT	12/11	H PARTNERS MGMT LLC (HPARGSPB)	031	CMCSK	B	251,945	18.05	n/a	n/a	10077.80	4.0
CRT	12/12	MKT MAKER (MKT MAKER)	099	COWN	B	200	10.16	n/a	n/a	0.00	0.0
CRT	12/12	AMBER CAPITAL (AMBRLEH)	046	HSY	SS	250,000	38.73	n/a	n/a	10000.00	4.0
AO,	12/11	SARAS CAPITAL (SARSMS)	038	JNS	SS	30,000	33.83	21-Nov	26-Nov	1500.00	5.0
AO,	12/06	NCM CAPITAL MGMT (NCMCTPLO)	035	LLL	B	1,100	112.75	n/a	n/a	55.00	5.0
AO,CXL	12/06	NCM CAPITAL MGMT (NCMC105145)	035	LLL	B	1,100	112.75	n/a	n/a	55.00	5.0
CRT	12/12	BENCHMARK (BENCBS)	028	LYTS	SS	7,500	18.71	n/a	n/a	375.00	5.0
CRT	12/12	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	M	S	99,900	29.52	9-Nov	7-Dec	3996.00	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	12/12	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RL	S	19,900	67.14	8-Nov	8-Nov	796.00 4.0
AO,CRT	12/11	MKT MAKER (MKT MAKER)	099	SCSS	S	75	10.20	n/a	n/a	0.00 0.0
AO,	12/11	GARDNER LEWIS ASSET MGMT (GLAMARMSTR)	028	T	B	35,800	39.90	21-Sep	12-Dec	1790.00 5.0
AO,	12/11	GARDNER LEWIS ASSET MGMT (GLAMCLARIA)	028	T	B	35,400	39.90	21-Sep	12-Dec	1770.00 5.0
AO,	12/11	GARDNER LEWIS ASSET MGMT (GLAMITW)	028	T	B	40,800	39.90	21-Sep	12-Dec	2040.00 5.0
CRT	12/12	AMBER CAPITAL (AMBRGS)	046	WWY	SS	126,900	62.44	n/a	n/a	5076.00 4.0
TOTAL (AO, CXL, CRT) :										25,073.55
TOTAL (Trade Date):										298,808.07
TOTAL (AO, CXL, CRT):										25,073.55
TOTAL (RUN DATE) / NFS:										323,881.62

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION