



Daily Compliance Activity Report

Trade Date: 04/04/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JETSTREAM GLOBAL FUND LP	065	ABG	B	22,000	28.24	n/a	3-Apr	1,100.00	5.0
MELLON/BANK OF NEW YORK	046	APD	S	7,025	90.61	n/a	23-Mar	281.00	4.0
f MICHAEL C PERILLO	FID	ARCO	B	80	18.21	n/a	6-Mar	0.00	0.0
FINDLAY PARK PART	085	ARG	S	38,000	89.06	n/a	23-Mar	5,076.60	0.0
DC CAPITAL	044	ATI	B	50,000	39.80	n/a	26-Mar	2,000.00	4.0
B BUCK CAPITAL MGMT		BBBY	S	10,000	66.34	n/a	n/a	non-brg	4.0
CATAPULT	040	BBBY	B	24,033	66.21	n/a	n/a	961.32	4.0
PATTEN & PATTEN	025	BBY	S	750	22.91	n/a	n/a	37.50	5.0
TRELLUS PARTNERS LP	028	COH	B	8,000	74.48	n/a	2-Mar	240.00	3.0
LATEFF MGMT	035	CTB	B	9,750	15.40	n/a	n/a	834.00	9.4
f FRANCIS MICHAEL MCCA	FID	DD	S	200	52.71	n/a	23-Mar	0.00	0.0
B BUCK CAPITAL MGMT		DLTR	S	10,000	94.22	n/a	13-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		DPZ	SS	50,000	36.24	n/a	20-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		DPZ	SS	50,000	36.24	n/a	20-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		DRI	SS	50,000	50.13	n/a	26-Mar	non-brg	4.0

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BUCK CAPITAL MGMT		DRI	SS	50,000	50.13	n/a	26-Mar	non-brg	4.0
BUCK CAPITAL MGMT		EAT	SS	50,000	27.35	n/a	25-Jan	non-brg	4.0
BUCK CAPITAL MGMT		EAT	SS	50,000	27.36	n/a	25-Jan	non-brg	4.0
FRANCIS MICHAEL MCCA	FID	EME	B	200	27.19	n/a	n/a	0.00	0.0
MELLON/BANK OF NEW YORK	046	FCX	B	16,101	37.65	n/a	n/a	644.04	4.0
BUCK CAPITAL MGMT		FINL	B	10,000	21.34	n/a	2-Apr	non-brg	4.0
SIMMONS VENTURES	041	FINL	B	5,000	21.50	n/a	2-Apr	200.00	4.0
FINDLAY PARK PART	085	FLIR	B	76,000	24.71	n/a	n/a	2,817.27	0.0
CSFB GLOBAL PROPRIETARY	041	FOR	B	2,099	14.99	n/a	23-Feb	104.95	5.0
MARK MEGALLI	FID	FURX	S	1000	22.30	n/a	n/a	0.00	0.0
DAVID GEORGE BUCK	FID	GIS	B	1000	39.67	n/a	n/a	0.00	0.0
HARALAMBOS EFSTATHI	FID	GMXR	B	3000	1.60	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	GPI	B	31,600	57.67	n/a	3-Apr	1,264.00	4.0
BUCK CAPITAL MGMT		GPS	B	100,000	26.37	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GPS	B	100,000	26.49	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GPS	B	70,000	26.41	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GPS	C	27,500	26.41	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		GPS	SS	27,500	26.37	n/a	n/a	non-brg	0.0
EDWARD W WHEELER	FID	GR	S	20000	125.14	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		GS	B	4,200	120.10	n/a	4-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JBHT	C	30,000	55.84	n/a	n/a	non-brg	4.0
FIRST NY SEC/CHRIS	041	JWN	SS	10,000	55.22	n/a	30-Mar	300.00	3.0
PERRY PARTNERS	085	KGC	B	50,000	9.34	n/a	n/a	1,500.00	3.0
HOPLITE MGMT	025	KR	SS	25,000	23.77	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	30,000	52.03	n/a	30-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LAD	B	15,000	26.31	n/a	n/a	non-brg	4.0
LAGRANGE CAP ADV	085	LEAP	B	10,000	8.50	n/a	n/a	500.00	5.0
BRONSON POINT	036	LEG	SS	25,000	23.02	n/a	n/a	750.00	3.0
⌘ BUCK CAPITAL MGMT		LIZ	S	100,000	12.97	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	100,000	12.97	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	C	1,180,883	110.36	n/a	n/a	non-brg	0.6
⌘ BUCK CAPITAL MGMT		MSMSBCM	C	1,739,845	110.36	n/a	n/a	non-brg	0.6
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	1,180,883	110.36	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	1,739,845	110.36	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	100,000	110.28	n/a	n/a	non-brg	8.8
⌘ BUCK CAPITAL MGMT		MS	B	26,800	18.70	n/a	4-Apr	non-brg	4.0
f DAVID GEORGE BUCK	FID	MYN	B	2300	13.96	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	040	M	B	15,000	40.49	n/a	30-Mar	600.00	4.0
THE JAY GOLDMAN	028	M	B	50,000	40.61	n/a	30-Mar	2,000.00	4.0
CATAPULT	040	NDAQ	S	5,000	25.35	n/a	n/a	200.00	4.0
f ANTHONY SUTERA	FID	NLY	B	1000	15.81	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	NOK	S	20,000	5.09	n/a	n/a	800.00	4.0
f MATTHEW FRANCIS BUCK	FID	NSRGY	B	200	61.64	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PAG	B	30,000	25.94	n/a	3-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		PAG	B	30,000	25.94	n/a	3-Apr	non-brg	4.0
TRELLUS PARTNERS LP	028	PAG	B	2,000	25.59	n/a	3-Apr	60.00	3.0
COLUMBUS CIRCLE	036	PAY	S	11,734	50.33	n/a	n/a	469.36	4.0
TRELLUS PARTNERS LP	028	PFCB	B	13,100	39.92	n/a	2-Apr	393.00	3.0
B BUCK CAPITAL MGMT		PII	B	15,000	72.34	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		PII	B	25,000	72.26	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PSMT	B	10,000	71.22	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PSS	S	50,000	19.20	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PSS	S	50,000	19.22	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PVH	S	15,000	90.77	n/a	29-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		PVH	S	5,000	91.17	n/a	29-Mar	non-brg	0.8

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	RDSB	S	1500	70.14	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	RDSB	S	200	70.14	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		R	B	10,000	53.63	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SAH	B	20,000	18.50	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHOO	S	10,000	42.91	n/a	n/a	non-brg	4.0
FIRST NY SEC/CHRIS	041	SPY	B	25,000	139.57	n/a	n/a	750.00	3.0
f FRANCIS MICHAEL MCCA	FID	SRI	B	400	9.58	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	085	SWFT	B	10,000	11.02	n/a	n/a	500.00	5.0
KAM ADVISORS LLC	041	TEX	B	47,100	22.60	n/a	16-Feb	1,413.00	3.0
BRONSON POINT	036	TIF	B	10,000	67.99	n/a	n/a	300.00	3.0
ß BUCK CAPITAL MGMT		TPX	S	20,000	83.67	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	TSCO	B	300	92.61	n/a	n/a	15.00	5.0
BRANDWINE	028	TXN	B	65,300	32.13	n/a	n/a	2,612.00	4.0
ß BUCK CAPITAL MGMT		TXRH	SS	30,000	16.37	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TXRH	SS	30,000	16.37	n/a	n/a	non-brg	4.0
LEVIN CAPITAL STRATEGIES	041	VC	S	5,000	51.43	n/a	n/a	150.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JONATHAN RICHARD HAR	FID	VRA	B	60	31.16	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	WNC	S	15,000	9.80	n/a	n/a	600.00	4.0
CATAPULT	040	WSM	B	29,000	37.57	n/a	n/a	1,160.00	4.0
LEVIN CAPITAL STRATEGIES	041	XOM	C	13,215	84.85	n/a	n/a	396.45	3.0
f MARC PAUL LUCHANSKY	FID	ZNGA	B	500	12.41	n/a	n/a	0.00	0.0
f ANTHONY SUTERA	FID		B	25000	99.23	n/a	n/a	0.00	0.0
f JOHN BARTMAN COLE	FID		S	1500	11.30	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								32,029.49	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/03	GLENHILL CAPITAL	036	HNR	B	44,441	6.57	n/a	n/a	1777.64 4.0
AO,CXL	04/03	GLENHILL CAPITAL	036	HNR	B	44,441	6.57	n/a	n/a	2222.05 5.0

TOTAL (AO, CXL, CRT) :	-444.41
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TOTAL (Trade Date) :	32,029.49
TOTAL (AO, CXL, CRT) :	-444.41

TOTAL (RUN DATE) / NFS:	31,585.08
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 4-Apr.
NONE

MRI Securities without Trade Activity on 4-Apr.
PKG, IP, MON

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)