



Daily Compliance Activity Report

Trade Date: 02/22/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		210 HK	B	1,000,000	0.81	n/a	n/a	non-brg	0.1
B BUCK CAPITAL MGMT		494 HK	B	300,000	4.62	n/a	n/a	non-brg	0.7
B BUCK CAPITAL MGMT		ABFS	B	20,000	25.55	n/a	n/a	non-brg	2.5
f MANNY I KORMAN	FID	ALL	B	3000	31.23	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	AMG	S	7,500	70.00	n/a	22-Feb	375.00	5.0
BALYASNY ASSET MGMT	044	AMR	S	10,200	9.04	n/a	n/a	408.00	4.0
CATAPULT	040	AMTD	B	27,500	17.68	n/a	n/a	1,375.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	50,000	35.60	n/a	17-Feb	2,000.00	4.0
B BUCK CAPITAL MGMT		ARO	S	30,000	35.44	n/a	5-Feb	non-brg	4.0
CAMBIAR CAP	046	ART	B	19,410	23.66	n/a	17-Feb	776.40	4.0
f JASON ERIC COHEN	FID	AVY	B	5	1.73	n/a	1-Feb	0.00	0.0
f JASON ERIC COHEN	FID	AVY	S	4	2.28	n/a	1-Feb	0.00	0.0
f JOHN PATRICK DAVEY	FID	AXL	S	10000	9.33	n/a	8-Feb	0.00	0.0
f ALEX JOSEPH DEFILIPP	FID	BIG	S	100	31.31	n/a	10-Feb	0.00	0.0
f JILL ROSENBERG	FID	BIG	S	100	31.30	n/a	10-Feb	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	VICTORIA JEAN DEFILI	FID	BIG	S	100	31.30	n/a	10-Feb	0.00	0.0
	SUN AMERICA ASSET MGMT	030	BKS	B	8,484	21.75	n/a	n/a	339.36	4.0
	CATAPULT	040	BK	B	75,000	29.06	n/a	13-Feb	3,750.00	5.0
B	BUCK CAPITAL MGMT		BPW	B	50,000	10.46	n/a	n/a	non-brg	4.0
	11:11 CAPITAL CORP	046	BSX	S	75,000	7.86	n/a	n/a	3,750.00	5.0
	BALYASNY ASSET MGMT	044	BWA	S	10,000	38.38	n/a	12-Feb	500.00	5.0
f	JOHN MICHAEL ZOLIDIS	FID	BWS	S	600	13.00	n/a	n/a	0.00	0.0
	BNP PARIBAS SEC	040	CAKE	B	5,000	23.82	n/a	12-Feb	200.00	4.0
B	BUCK CAPITAL MGMT		CAR	B	60,000	11.03	n/a	n/a	non-brg	4.0
	MTB INV ADV	034	CKEC	B	25,300	8.57	n/a	n/a	759.00	3.0
	MACKLOWE ASSET MGMT	041	CL	B	100,000	82.13	n/a	22-Feb	4,000.00	4.0
f	MANNY I KORMAN	FID	CMCSA	B	5000	16.27	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		CNW	B	10,000	31.23	n/a	n/a	non-brg	2.5
	BAIRD INVESTMENT	047	COG	B	15,375	41.77	n/a	21-Jan	768.75	5.0
B	BUCK CAPITAL MGMT		CRI	S	30,000	28.48	n/a	n/a	non-brg	4.0
f	KAREN F LEDDY	FID	CRK	B	400	36.96	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOHN PATRICK DAVEY	FID	DAN	B	8500	10.86	n/a	6-Jan	0.00	0.0
f VICTORIA FINNEGAN DA	FID	DAN	B	100	10.85	n/a	6-Jan	0.00	0.0
B BUCK CAPITAL MGMT		DBRN	S	20,000	24.83	n/a	n/a	non-brg	4.0
CHART WELL ADV	028	DFS	B	99,895	13.86	n/a	22-Feb	3,995.80	4.0
HIGHBRIDGE CAP	041	DLTR	SS	997	50.13	n/a	16-Feb	39.88	4.0
LEVIN CAPITAL STRATEGIES	012	DLTR	S	15,000	50.08	n/a	16-Feb	450.00	3.0
LISTED ERR	099	DLTR	B	1,211	50.14	n/a	16-Feb	0.00	0.0
LISTED ERR	099	DLTR	S	1,211	50.15	n/a	16-Feb	0.00	0.0
f DAVID GEORGE BUCK	FID	DNDN	S	1000	33.01	n/a	n/a	0.00	0.0
CATAPULT	040	EV	B	5,000	30.23	n/a	18-Feb	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	FLR	S	120,000	46.49	n/a	n/a	3,600.00	3.0
LEVIN CAPITAL STRATEGIES	012	F	B	30,000	11.34	n/a	3-Feb	1,200.00	4.0
BUCK CAPITAL MGMT	001	GCO	B	10,000	23.17	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		GIL	S	35,300	24.32	n/a	10-Feb	non-brg	4.0
EXIS CAPITAL	044	GIL	SS	20,000	24.18	n/a	10-Feb	1,000.00	5.0
KACELA CAPITAL	034	GIS	S	10,000	72.07	n/a	n/a	400.00	4.0
f MATTHEW FRANCIS BUCK	FID	GIS	B	200	72.41	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	GLD	B	75,000	108.91	n/a	n/a	3,750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	GPS	SS	10,000	19.76	n/a	n/a	500.00	5.0
ASCEND PARTNERS	033	HD	S	75,000	30.34	n/a	11-Feb	3,750.00	5.0
f KEVIN MICHAEL O'GORM	FID	HH	B	10800	0.89	n/a	n/a	0.00	0.0
CASTLEROCK	040	HOG	SS	64,238	24.33	n/a	n/a	2,569.52	4.0
KACELA CAPITAL	034	HSY	S	20,000	39.74	n/a	n/a	800.00	4.0
SACM	044	HUM	S	5,091	47.91	n/a	n/a	178.19	3.5
B BUCK CAPITAL MGMT		IMAX	SS	10,000	13.33	n/a	n/a	non-brg	0.8
CSFB GLOBAL PROPRIETARY	041	IP	S	18,000	23.81	n/a	3-Feb	900.00	5.0
DIAMONDBACK CAP MGMT	232	IP	B	10,000	23.73	n/a	3-Feb	500.00	5.0
DIAMONDBACK CAP MGMT	232	IVZ	B	50,000	19.66	n/a	12-Feb	2,500.00	5.0
B BUCK CAPITAL MGMT		JBHT	B	6,000	33.53	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	JNY	B	20,000	17.07	n/a	10-Feb	800.00	4.0
B BUCK CAPITAL MGMT		JNY	B	20,000	17.03	n/a	10-Feb	non-brg	0.8
JP MORGAN VENTURES	046	JOYG	B	5,000	50.29	n/a	22-Feb	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	JWN	SS	15,000	36.15	n/a	22-Feb	600.00	4.0
B BUCK CAPITAL MGMT		KNX	B	15,000	19.25	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B	BUCK CAPITAL MGMT		KNX	B	30,000	19.37	n/a	n/a	non-brg 4.0
	SACC-SCHWARTZ	044	LECO	B	25,000	49.13	n/a	n/a	875.00 3.5
B	BUCK CAPITAL MGMT		LL	B	20,000	23.27	n/a	n/a	non-brg 4.0
f	JOHN MICHAEL ZOLIDIS	FID	LMI	S	500	30.15	n/a	n/a	0.00 0.0
f	DAVID GEORGE BUCK	FID	LNCR	B	1000	41.25	n/a	n/a	0.00 0.0
	AVESTA CAP	229	LOW	B	200,000	23.22	n/a	22-Feb	6,000.00 3.0
	SAXON WOODS ADVISORS	242	LOW	S	10,000	23.05	n/a	22-Feb	400.00 4.0
	FIRST NEW YORK LEDDY	035	LVLTT	S	10,000	1.55	n/a	n/a	600.00 6.0
f	CHRISTOPHER ROBERT C	FID	LVS	S	500	16.66	n/a	18-Feb	0.00 0.0
f	DAVID GEORGE BUCK	FID	LVS	B	2000	16.72	n/a	18-Feb	0.00 0.0
f	MATTHEW FRANCIS BUCK	FID	LVS	B	600	16.55	n/a	18-Feb	0.00 0.0
	SUN AMERICA ASSET MGMT	030	MHP	B	6,524	35.30	n/a	n/a	260.96 4.0
	EXIS CAPITAL	044	M	B	10,000	18.66	n/a	22-Feb	500.00 5.0
	HIGHBRIDGE CAP	041	M	S	200	18.60	n/a	22-Feb	8.00 4.0
	METROPOLITAN CAPITAL	044	M	S	30,000	18.61	n/a	22-Feb	1,500.00 5.0
f	JOHN CAREY MAGUIRE	FID	PAG	S	500	14.84	n/a	22-Feb	0.00 0.0
	LAGRANGE CAP ADV	048	PAG	B	20,000	14.90	n/a	22-Feb	1,000.00 5.0
	LEVIN CAPITAL STRATEGIES	012	PBR	C	25,000	42.86	n/a	n/a	1,000.00 4.0
	MACKLOWE ASSET MGMT	041	PG	S	140,000	63.42	n/a	22-Feb	5,600.00 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	PKG	S	84,000	22.51	n/a	26-Jan	4,200.00	5.0
SCOPUS FUND	041	PKG	S	46,100	22.26	n/a	26-Jan	2,305.00	5.0
SNYDER CAPITAL	038	PRXL	S	76,575	21.03	n/a	n/a	3,063.00	4.0
f JASON ERIC COHEN	FID	PST	S	500	52.14	n/a	n/a	0.00	0.0
f JOHN CAREY MAGUIRE	FID	RIMM	S	200	69.55	n/a	n/a	0.00	0.0
CAXTON PART	040	RKT	B	1,100	41.45	n/a	n/a	38.52	3.5
PARTNER REINSURANCE	033	RSH	B	42,600	20.57	n/a	n/a	1,704.00	4.0
SIGMA CAPITAL ASSOCIATES	041	RT	B	175,000	8.20	n/a	n/a	6,125.00	3.5
CATAPULT	040	SCHW	S	25,000	18.85	n/a	n/a	1,250.00	5.0
CATAPULT	040	SD	B	25,000	8.51	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		SHOO	B	30,000	42.03	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	047	SII	S	31,680	39.98	n/a	n/a	1,584.00	5.0
B BUCK CAPITAL MGMT		SLB	B	20,000	60.27	n/a	n/a	non-brg	0.8
f JASON ERIC COHEN	FID	SPF	S	460	4.27	n/a	n/a	0.00	0.0
ARCHON CAPITAL	035	SPWRA	S	89,000	19.80	n/a	n/a	2,670.00	3.0
CATAPULT	040	STT	SS	9,700	46.72	n/a	13-Feb	485.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	STT	S	65,300	46.93	n/a	13-Feb	3,265.00	5.0
SCOPUS FUND	041	TIN	S	16,500	19.04	n/a	9-Feb	825.00	5.0
WAGONHOUND/NIC	048	TIN	S	42,325	18.44	n/a	9-Feb	1,693.00	4.0
SACM	044	TMO	S	66,700	47.82	n/a	n/a	2,334.50	3.5
B BUCK CAPITAL MGMT		TOL	B	20,000	19.50	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TSCO	SS	10,000	53.63	n/a	n/a	non-brg	0.8
SACM	044	UNH	B	67,674	33.04	n/a	n/a	2,368.59	3.5
SUN AMERICA ASSET MGMT	030	URI	B	60,000	7.42	n/a	n/a	2,400.00	4.0
PZENA INV ADV	044	VLO	B	102,250	17.78	n/a	n/a	3,578.88	3.5
SANDLER O'NEILL ASSET	031	WDR	S	10,000	33.50	n/a	18-Feb	500.00	5.0
f ROBERT M DANIELS	FID	WEN	S	900	4.80	n/a	19-Jan	0.00	0.0
B BUCK CAPITAL MGMT		WERN	B	20,000	21.41	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WERN	B	30,000	21.33	n/a	n/a	non-brg	4.0
CATAPULT	040	WFC	B	2,500	28.12	n/a	21-Jan	125.00	5.0
f DAVID GEORGE BUCK	FID	WLP	S	1500	58.81	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	XLI	B	20,000	28.95	n/a	n/a	600.00	3.0
AVESTA CAP	229	X	S	50,000	53.85	n/a	n/a	1,500.00	3.0

TOTAL (Trade Date):

109,043.35

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/16	SAB CAPITAL	034	GGWPQ	B	121,500	12.01	n/a	n/a	4860.00 4.0
AO,CXL	02/16	SAB CAPITAL	034	GGWPQ	B	121,500	12.01	n/a	n/a	6075.00 5.0

TOTAL (AO, CXL, CRT) :	-1,215.00
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TOTAL (Trade Date) :	109,043.35
TOTAL (AO, CXL, CRT) :	-1,215.00

TOTAL (RUN DATE) / NFS:	107,828.35
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MRI Securities with Trade Activity on 22-Feb. NONE

MRI Securities without Trade Activity on 22-Feb. SHPGY, PRGO, EL, CHD

BRG comm. as a % of BCM Total Comm. paid. T-Date = 14% YTD = 16%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)