



Daily Compliance Activity Report

Trade Date: 02/15/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ST OF MICHIAN	047	AEO	B	90,000	15.83	n/a	4-Feb	2,700.00	3.0
OSKIE CAPITAL MGMT	224	AGO	B	10,000	15.42	n/a	n/a	500.00	5.0
ST OF MICHIAN	047	ALL	B	30,000	30.84	n/a	n/a	900.00	3.0
B BUCK CAPITAL MGMT		AMD	C	200,000	8.64	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		ARO	B	250,000	26.19	n/a	4-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		ASNA	S	20,000	30.38	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	ATK	B	10,000	71.77	n/a	n/a	400.00	4.0
MILLENIUM PARTNERS	028	AXL	B	30,000	14.49	n/a	9-Feb	1,200.00	4.0
RBC CAPITAL MARKETS	040	BA	SS	20,000	71.42	n/a	15-Feb	800.00	4.0
f CHRISTOPHER ROBERT C	FID	BEE	B	750	6.30	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BK	B	16,000	31.28	n/a	14-Feb	non-brg	1.0
Õ Nilsa Vazquez		BZH	B	1000	4.99	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CHCG	S	6600	0.20	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	CLF	S	15,000	92.05	n/a	n/a	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IMPALA ASSET MMT	012	CNX	S	193,700	46.48	n/a	n/a	9,685.00	5.0
MILLENIUUM PARTNERS	028	DAN	S	30,000	18.28	n/a	31-Jan	1,200.00	4.0
B BUCK CAPITAL MGMT		DECK	S	25,000	84.18	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	S	5,000	84.40	n/a	n/a	non-brg	2.5
CAVU CAP ADV	028	DECK	S	5,000	84.51	n/a	n/a	250.00	5.0
IMPALA ASSET MMT	012	DSW	S	50,000	39.57	n/a	11-Feb	2,500.00	5.0
f CLIFFORD A LONGLEY	FID	EGPT	B	2	18.89	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	EMN	S	40,000	92.45	n/a	n/a	2,000.00	5.0
GEORGE WEISS	034	FL	SS	21,000	18.39	n/a	22-Dec	840.00	4.0
f KAREN F LEDDY	FID	FOR	S	800	18.74	n/a	7-Feb	0.00	0.0
B BUCK CAPITAL MGMT		FOSL	S	22,500	78.58	n/a	n/a	non-brg	4.0
f MITCHELL JAMES SPEIS	FID	FSNXX	B	9920	1.00	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSTFX	B	833	10.56	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	GD	B	4,700	77.20	n/a	15-Feb	235.00	5.0
M&I FUNDS	047	GE	S	28,159	21.36	n/a	n/a	1,126.36	4.0
B BUCK CAPITAL MGMT		GIL	S	20,000	30.49	n/a	9-Feb	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	GIL	SS	25,000	30.51	n/a	9-Feb	1,000.00	4.0
RBC CAPITAL MARKETS	040	GMCR	B	25,000	44.63	n/a	n/a	1,000.00	4.0
DALTON GREINER	012	GPS	B	170,000	22.74	n/a	n/a	8,500.00	5.0
ACK ASSET	028	HBI	S	32,200	25.02	n/a	28-Jan	966.00	3.0
B BUCK CAPITAL MGMT		HBI	S	50,000	24.84	n/a	28-Jan	non-brg	4.0
KLEINHEINZ CAP PART	031	HBI	S	25,000	24.86	n/a	28-Jan	1,250.00	5.0
SCOPUS FUND	041	HD	B	25,000	37.59	n/a	13-Dec	1,000.00	4.0
RBC CAPITAL MARKETS	040	HRS	S	10,000	49.15	n/a	5-Jan	400.00	4.0
GREEN ARROW DOUG	040	HST	B	25,000	19.13	n/a	14-Feb	1,250.00	5.0
SENATOR INVESTMENT GROUP	036	HUN	B	250,000	18.84	n/a	n/a	7,500.00	3.0
f ANGELOS EFSTATHIOU	FID	IGD	B	1000	11.03	n/a	n/a	0.00	0.0
CATAPULT	040	IPI	B	10,000	38.31	n/a	n/a	400.00	4.0
PARAMETER CAPITAL MGMT LLC	044	IR	B	60,000	47.14	n/a	10-Feb	2,400.00	4.0
LAGRANGE CAP ADV	048	IVN	SS	10,000	28.17	n/a	n/a	500.00	5.0
SOUTH POINT CAP	044	IWM	B	50,000	82.28	n/a	n/a	2,500.00	5.0
f ANTHONY SUTERA	FID	JNY	S	2000	14.35	n/a	10-Feb	0.00	0.0
B BUCK CAPITAL MGMT		JOSB	S	10,000	45.42	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IMPALA ASSET MMT	012	KBH	B	10,900	14.40	n/a	n/a	327.00	3.0
HOLLIS CAPITAL	033	KBR	S	6,000	33.62	n/a	n/a	300.00	5.0
f CHRISTOPHER ROBERT C	FID	KMI	B	250	30.94	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	KO	B	167,380	63.00	n/a	14-Jan	6,695.20	4.0
AVESTA CAP	229	LEN	B	100,000	20.52	n/a	n/a	3,000.00	3.0
DISCIPLINED GROWTH INV.	030	LTD	S	7,450	32.54	n/a	n/a	372.50	5.0
MERRILL ASSET/BLACKROCK	266	MAC	S	3,900	49.52	n/a	n/a	156.00	4.0
OSKIE CAPITAL MGMT	224	MBI	B	15,000	11.90	n/a	n/a	750.00	5.0
CLOVIS CAPITAL	012	MMC	B	250,000	30.39	n/a	n/a	7,500.00	3.0
T. ROWE PRICE	033	MMM	B	130,800	91.99	n/a	11-Feb	5,232.00	4.0
B BUCK CAPITAL MGMT		MON	B	6,800	72.84	n/a	7-Feb	non-brg	1.0
f HARALAMBOS EFSTATHI	FID	MWA	B	2000	4.12	n/a	n/a	0.00	0.0
GREEN ARROW DOUG	040	M	B	10,000	23.70	n/a	7-Feb	500.00	5.0
f MARC PAUL LUCHANSKY	FID	NMBAX	B	57	8.73	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	012	OC	S	55,000	34.08	n/a	n/a	2,200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	OMC	SS	12,000	48.93	n/a	n/a	480.00	4.0
AVESTA CAP	229	ORCL	B	200,000	32.75	n/a	n/a	6,000.00	3.0
B BUCK CAPITAL MGMT		OXM	B	10,000	24.46	n/a	n/a	non-brg	4.0
GRANITE CAPITAL	028	PAG	S	16,579	20.32	n/a	15-Feb	828.95	5.0
f MARC PAUL LUCHANSKY	FID	PARRX	B	268	11.19	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PVH	B	7,100	61.05	n/a	1-Feb	213.00	3.0
GREEN ARROW DOUG	040	QQQQ	B	60,000	58.46	n/a	n/a	3,000.00	5.0
DISCIPLINED GROWTH INV.	030	RCL	S	5,400	47.33	n/a	n/a	270.00	5.0
CASTLEROCK	040	RIMM	SS	30,632	65.09	n/a	n/a	1,225.28	4.0
f EDWARD W WHEELER	FID	RLOG	S	11575	6.37	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	S	3,000	124.67	n/a	10-Feb	90.00	3.0
f ANTHONY SUTERA	FID	RRR	S	1000	12.96	n/a	12-Jan	0.00	0.0
f CHRISTOPHER ROBERT C	FID	RRR	S	200	13.11	n/a	12-Jan	0.00	0.0
MFP INVESTORS	085	RURL	B	8,500	13.97	n/a	n/a	425.00	5.0
MERRILL ASSET/BLACKROCK	266	SAFT	S	7,299	49.26	n/a	n/a	291.96	4.0
f JOSEPH CIRO AMATURO	FID	SLW	B	1300	36.04	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOSEPH CIRO AMATURO	FID	SLW	B	1700	36.07	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	SLW	B	2000	36.02	n/a	n/a	0.00	0.0
	IMPALA ASSET MMT	012	SMH	S	75,000	35.96	n/a	n/a	3,000.00	4.0
B	BUCK CAPITAL MGMT		SODA	S	5,000	44.52	n/a	n/a	non-brg	1.0
	GREEN ARROW DOUG	040	SPLS	B	15,000	22.04	n/a	n/a	750.00	5.0
	SOUTH POINT CAP	044	SPY	B	25,000	133.04	n/a	n/a	1,250.00	5.0
	LITESPEED	028	SSCC	S	55,000	39.55	n/a	7-Jan	1,650.00	3.0
B	BUCK CAPITAL MGMT		STT	B	11,000	45.86	n/a	14-Feb	non-brg	1.0
B	BUCK CAPITAL MGMT		SWN	B	13,500	36.95	n/a	11-Jan	non-brg	1.0
	FIRST NEW YORK LEDDY	035	S	S	20,000	4.49	n/a	n/a	800.00	4.0
	IMPALA ASSET MMT	012	TEX	S	5,000	36.94	n/a	11-Feb	200.00	4.0
	TRELLUS PARTNERS LP	028	TEX	B	20,000	36.83	n/a	11-Feb	1,000.00	5.0
	CAMBIAR CAP	046	TGT	B	50,000	54.01	n/a	4-Feb	2,000.00	4.0
	MERRILL ASSET/BLACKROCK	266	THFF	S	1,000	31.98	n/a	n/a	40.00	4.0
	COOPER CREEK PART	028	TIN	B	25,000	25.50	n/a	8-Feb	1,000.00	4.0
	IMPALA ASSET MMT	012	TIN	S	13,333	25.29	n/a	8-Feb	533.32	4.0
	DISCIPLINED GROWTH INV.	030	TJX	S	8,050	49.28	n/a	7-Feb	402.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		TOL	S	25,000	21.67	n/a	n/a	non-brg	4.0
IMPALA ASSET MMT	012	URI	S	70,000	32.28	n/a	n/a	2,800.00	4.0
OSKIE CAPITAL MGMT	224	WFC	B	10,000	33.67	n/a	14-Feb	500.00	5.0
BERMAN CAPITAL MGMT	046	WMT	S	30,000	54.96	n/a	27-Jan	900.00	3.0
BUCK CAPITAL MGMT	001	WRC	S	20,000	54.78	n/a	20-Jan	600.00	3.0
LEVEL GLOBAL SHARED ACCT	257	WSM	S	100,000	38.06	n/a	n/a	3,000.00	3.0
T. ROWE PRICE	033	WY	S	140,700	24.84	n/a	4-Feb	5,628.00	4.0
CAXTON PART	040	XOM	S	51,591	83.21	n/a	n/a	1,805.72	3.5
IRIDIAN ASSET MGMT.	036	YHOO	S	303,912	17.15	n/a	n/a	9,117.36	3.0
B BUCK CAPITAL MGMT		ZVZZT	B	1,000	3.00	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		ZVZZT	B	500	3.00	n/a	n/a	non-brg	3.0
TOTAL (Trade Date):								130,586.15	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/14	OWL CREEK INV	085	BP	B	558,300	46.11	n/a	n/a	16749.00 3.0
AO,	02/14	OWL CREEK INV	085	CVX	S	145,400	96.30	n/a	n/a	4362.00 3.0
AO,CRT	02/14	NEWLAND CAPITAL MGT	025	KOG	B	25,000	6.33	n/a	n/a	750.00 3.0
AO,CXL	02/14	NEWLAND CAPITAL MGT	025	KOG	B	25,000	6.33	n/a	n/a	1000.00 4.0
AO,CXL	02/14	NEWLAND CAPITAL MGT	025	OMN	B	15,000	7.06	n/a	n/a	600.00 4.0
AO,CRT	02/14	NEWLAND CAPITAL MGT	025	OMN	B	15,000	7.06	n/a	n/a	450.00 3.0
AO,	02/14	GRANITE CAPITAL	028	PAG	S	20,000	19.45	n/a	15-Feb	1000.00 5.0
AO,CXL	02/14	CATAPULT	040	URI	S	28,000	31.57	n/a	n/a	1120.00 4.0
AO,CRT	02/14	CATAPULT CAP/DEFRANCO	040	URI	S	28,000	31.57	n/a	n/a	1120.00 4.0

TOTAL (AO, CXL, CRT) :**21,711.00**

TOTAL (Trade Date) :**130,586.15****TOTAL (AO, CXL, CRT) :****21,711.00**

TOTAL (RUN DATE) / NFS:**152,297.15**

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 15-Feb.
NONE

MRI Securities without Trade Activity on 15-Feb.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 4%
YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)