



# Daily Compliance Activity Report

Trade Date: 12/18/2008

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CHRISTENSE	022	AAPL	S	150	89.86	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	AAP	B	20,000	33.36	n/a	n/a	800.00	4.0
MATRIX ASSET ADV.	036	AA	B	15,000	10.25	n/a	n/a	450.00	3.0
THE JAY GOLDMAN	034	AEO	S	70,000	10.17	n/a	12-Dec	2,800.00	4.0
THOMSON HORSTMAN BRYANT	040	AEO	B	33,000	10.31	n/a	12-Dec	1,650.00	5.0
SCOPUS FUND	041	ANF	B	5,000	23.49	7-Aug	12-Dec	250.00	5.0
⌘ BUCK CAPITAL MGMT		APP	S	100,000	2.47	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		APP	S	189,500	2.44	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		APP	S	25,000	2.45	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		APP	S	35,500	2.49	n/a	n/a	non-brg	2.0
THE JAY GOLDMAN	034	ARO	B	40,000	17.48	n/a	12-Dec	1,600.00	4.0
WALKER SMITH	031	ATPG	SS	25,300	7.65	n/a	n/a	1,012.00	4.0
MATLIN PATTERSON	046	AVB	B	55,300	64.78	n/a	n/a	1,659.00	3.0
⌘ BUCK CAPITAL MGMT		BARE	B	100,800	4.32	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		BARE	B	149,200	4.35	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BARE	B	50,000	4.38	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BBBY	C	100,000	26.30	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BBY	C	50,000	29.54	n/a	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		BHI	S	58,700	29.57	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BHQ+ND [BBBY]	SS	1,000	0.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BHQ+NX [BBBY]	SS	1,000	1.50	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	BKE	S	30,000	21.10	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	BKS	SS	50,000	14.56	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		BKS	SS	20,000	14.47	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BOBE	C	30,000	20.37	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BWLD	C	20,000	25.23	n/a	n/a	non-brg	4.0
MERRILL ASSET	032	BXS	S	24,200	21.59	n/a	n/a	1,089.00	4.5
M WEINTRAU	024	BZ	S	1,250	0.42	n/a	n/a	0.00	0.0
NORTHPOINT	030	CASY	B	3,900	23.66	n/a	n/a	156.00	4.0
ß BUCK CAPITAL MGMT		CEC	C	30,000	24.58	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CHRS	B	50,000	1.89	n/a	22-Mar	non-brg	2.0
BUCK CAPITAL MGMT	001	CMG	SS	20,000	63.47	23-Oct	23-Oct	800.00	4.0
ß BUCK CAPITAL MGMT		CPKI	C	50,000	10.56	30-Oct	7-Nov	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NORTHPOINT	030	CVD	S	8,900	42.36	n/a	n/a	356.00	4.0
THIRD COAST CAPITAL	035	DECK	S	6,000	71.76	n/a	n/a	300.00	5.0
⌘ BUCK CAPITAL MGMT		DLTR	S	100,000	43.14	n/a	12-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DRI	SS	50,000	23.85	2-Dec	18-Dec	non-brg	4.0
SUN AMERICA ASSET MGMT	030	ERTS	B	7,500	17.24	n/a	n/a	300.00	4.0
⌘ BUCK CAPITAL MGMT		EXBD	C	10,000	21.30	n/a	n/a	non-brg	4.0
TRELLUS PARTNERS LP	028	FDO	SS	15,000	26.10	5-Dec	12-Dec	750.00	5.0
NORTHPOINT	030	FLO	B	46,197	23.55	n/a	n/a	1,847.88	4.0
GRUSS CAPITAL	045	FOR	S	40,000	5.29	n/a	3-Oct	800.00	2.0
TYNDALL	091	FOR	B	25,500	4.92	n/a	3-Oct	765.00	3.0
⌘ BUCK CAPITAL MGMT		FOSL	B	30,000	15.38	10-Nov	12-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	B	32,267	15.34	10-Nov	12-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	S	100	15.39	10-Nov	12-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GCO	S	108,762	15.27	n/a	10-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GES	B	50,000	15.60	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	GES	B	2,500	15.35	n/a	n/a	125.00	5.0
M WEINTRAU	024	GFG	S	200	1.29	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GIIT	S	100,000	6.26	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		GIL	B	50,000	9.54	11-Dec	12-Dec	non-brg	1.0
COUGAR TRADING	044	GIL	B	5,000	9.47	11-Dec	12-Dec	250.00	5.0
EGS ASSOCIATES LP	091	GIL	B	4,500	9.65	11-Dec	12-Dec	270.00	6.0
⌘ BUCK CAPITAL MGMT		GSIC	B	20,000	9.50	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		HELE	C	20,000	17.23	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HEW	C	10,000	27.99	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HOTT	SS	30,000	8.01	n/a	12-Dec	non-brg	3.0
BUCK CAPITAL MGMT	001	HOT	B	40,000	17.92	n/a	n/a	1,600.00	4.0
⌘ BUCK CAPITAL MGMT		HSII	C	10,000	20.97	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		IBM+LQ[IBM]	B	100	0.65	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		IBM+LQ[IBM]	SS	100	0.65	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		ICON	S	100,000	9.10	n/a	n/a	non-brg	3.0
MERRILL ASSET	032	IPI	B	5,100	21.04	n/a	n/a	229.50	4.5
NORTHPOINT	030	IVC	B	10,400	15.06	n/a	n/a	416.00	4.0
MATRIX ASSET ADV.	036	JCI	B	10,000	17.43	11-Dec	11-Dec	300.00	3.0
⌘ BUCK CAPITAL MGMT		JNY	S	150,000	5.69	n/a	30-Oct	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		JOSB	C	32,982	26.38	n/a	n/a	non-brg	4.0
HEALY CIRCLE	044	JWN	B	15,000	13.69	14-Nov	12-Dec	600.00	4.0
SCOPUS FUND	041	JWN	B	10,000	13.84	14-Nov	12-Dec	500.00	5.0
BUCK CAPITAL MGMT	001	KCP	S	20,000	7.03	n/a	28-Sep	600.00	3.0
ß BUCK CAPITAL MGMT		KCP	S	100	7.29	n/a	28-Sep	non-brg	1.5
ß BUCK CAPITAL MGMT		KCP	S	13,000	6.91	n/a	28-Sep	non-brg	2.0
ß BUCK CAPITAL MGMT		KFY	C	10,000	11.25	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LL	B	143,900	10.92	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		LL	B	20,000	10.39	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LL	B	25,000	10.87	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		LL	B	30,000	10.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LL	B	31,100	10.75	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MAN	C	10,000	35.19	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	MAR	B	30,000	17.86	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		MC FP	C	1,800	69.40	n/a	n/a	non-brg	6.9
SUN AMERICA ASSET MGMT	030	MET	S	37,000	37.53	n/a	n/a	1,480.00	4.0
BUCK CAPITAL MGMT	001	MFB	S	500	10.40	n/a	n/a	20.00	4.0
ß BUCK CAPITAL MGMT		MFB	S	1,000	10.30	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		MFB	S	10,900	10.21	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		MFB	S	20,000	10.27	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		MWW	C	10,000	11.88	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	M	S	50,000	10.31	12-Aug	12-Dec	2,000.00	4.0
RBC CAPITAL MARKETS	034	M	B	25,000	10.15	12-Aug	12-Dec	1,000.00	4.0
GLICK	059	NEXC	S	900	0.08	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		NWY	B	25,000	2.08	n/a	12-Dec	non-brg	2.0
ß BUCK CAPITAL MGMT		NWY	B	25,000	2.11	n/a	12-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		PETM	C	50,000	17.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	S	30,500	23.57	21-Nov	12-Dec	non-brg	2.0
ß BUCK CAPITAL MGMT		PLCE	S	45,309	23.09	21-Nov	12-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		PLCE	S	46,501	22.66	21-Nov	12-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		PLCE	S	50,000	23.04	21-Nov	12-Dec	non-brg	1.5
ß BUCK CAPITAL MGMT		PRQ+PI [COST]	SS	1,000	2.61	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	PVH	B	30,000	19.14	18-Dec	18-Dec	1,200.00	4.0
ß BUCK CAPITAL MGMT		QUK+LL [DECK]	C	596	13.45	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		QUK+LM [DECK]	C	397	8.30	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		QUK+LN [DECK]	C	397	3.90	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		RECN	C	20,000	16.34	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		RHI	C	20,000	19.50	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RL	B	30,000	48.14	n/a	6-Nov	1,200.00	4.0
ß BUCK CAPITAL MGMT		SAFM	C	16,100	33.65	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	034	SD	B	20,000	6.11	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		SKX	S	25,000	11.93	n/a	13-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		SKX	S	25,000	11.96	n/a	13-Mar	non-brg	1.5
ß BUCK CAPITAL MGMT		SKX	S	3,700	11.82	n/a	13-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	S	71,300	11.94	n/a	13-Mar	non-brg	2.0
RBC CAPITAL MARKETS	034	SPY	B	10,000	91.29	n/a	n/a	400.00	4.0
RBC CAPITAL MARKETS	034	SPY	S	15,000	91.57	n/a	n/a	600.00	4.0
ß BUCK CAPITAL MGMT		SUMRW	S	2,500	0.03	n/a	n/a	non-brg	1.0
RBC CAPITAL MARKETS	034	SUN	B	10,000	40.34	n/a	n/a	400.00	4.0
RBC CAPITAL MARKETS	034	SUN	SS	20,000	41.98	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		SYX	C	89,400	24.45	n/a	24-Apr	non-brg	4.0
Õ Ted Wheeler		TENNECO	B	200	20.14	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		TGT+MU[TGT]	SS	2,000	0.58	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TJX	C	68,700	20.97	11-Aug	5-Dec	non-brg	4.0
SERENGETI MGMT	030	TMK	B	50,000	44.22	n/a	n/a	2,500.00	5.0
ß BUCK CAPITAL MGMT		TXRH	C	19,800	7.82	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MATRIX ASSET ADV.	036	TYC	B	5,000	21.15	12-Nov	18-Nov	200.00	4.0
BUCK CAPITAL MGMT	001	UA	SS	20,000	24.71	n/a	29-Oct	800.00	4.0
SUN AMERICA ASSET MGMT	030	UNP	S	18,000	47.83	n/a	n/a	720.00	4.0
RBC CAPITAL MARKETS	034	UPL	B	10,000	34.14	n/a	20-Nov	400.00	4.0
THOMSON HORSTMAN BRYANT	040	URBN	B	46,800	16.10	5-Nov	12-Dec	2,340.00	5.0
PIONEER MICHAEL NOLAN	067	WFR	B	10,100	14.55	n/a	n/a	303.00	3.0
MERRILL ASSET	032	WGO	B	7,000	4.64	n/a	n/a	315.00	4.5
ASCEND PARTNERS	033	WRC	S	15,000	20.18	7-Nov	7-Nov	750.00	5.0
SCOPUS FUND	041	WY	SS	30,000	37.51	3-Nov	3-Nov	1,500.00	5.0
RBC CAPITAL MARKETS	034	XOM	B	10,000	79.58	n/a	n/a	400.00	4.0
<b>TOTAL (Trade Date) :</b>								<b>46,803.38</b>	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/17	SCOPUS FUND	041	AEO	B	125,000	9.96	n/a	12-Dec	6250.00 5.0
AO,CRT	12/17	SCOPUS FUND	041	AEO	B	125,000	9.96	n/a	12-Dec	6250.00 5.0
AO,CRT	12/17	SCOPUS FUND	041	CLW	SS	20,000	15.51	n/a	n/a	1000.00 5.0
AO,CXL	12/17	SCOPUS FUND	041	CLW	SS	20,000	15.51	n/a	n/a	1000.00 5.0
AO,	12/17	LISTED ERR	099	CMP	B	36,000	60.01	n/a	n/a	0.00 0.0
AO,	12/17	LISTED ERR	099	CMP	S	36,000	60.01	n/a	n/a	0.00 0.0
AO,CRT	12/17	CARDINAL CAPITAL	044	CMP	S	33,500	60.01	n/a	n/a	1675.00 5.0
AO,CXL	12/17	CARDINAL CAPITAL	044	CMP	S	33,500	60.01	n/a	n/a	1620.00 4.9
AO,CXL	12/17	MARINER/TRICADIA	041	FDML	S	27,300	3.73	n/a	n/a	1365.00 5.0
AO,CRT	12/17	MARINER/TRICADIA	041	FDML	S	27,300	3.73	n/a	n/a	546.00 2.0
AO,	12/17	SUTTONBROOK CAPITAL	067	LVS	SS	100,000	7.49	n/a	n/a	4000.00 4.0
AO,	12/17	THOMSON HORSTMAN BRYANT	067	PNRA	S	20,000	55.36	4-Sep	11-Nov	1000.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>4,236.00</b>

TOTAL (Trade Date) :	46,803.38
TOTAL (AO, CXL, CRT) :	4,236.00

<b>TOTAL (RUN DATE) / NFS :</b>	<b>51,039.38</b>
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MRI Securities with Trade Activity on 18-Dec.

PVH

MRI Securities without Trade Activity on 18-Dec.

COH, MS

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 11%

YTD = 20%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**