



Daily Compliance Activity Report

Trade Date: 06/17/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ABG	S	50,000	13.04	n/a	4-May	non-brg	4.0
DAVID GEORGE BUCK	FID	ABT	S	2500	48.31	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ADM	S	212,120	27.45	n/a	10-May	non-brg	4.0
BUCK CAPITAL MGMT		ADM	S	55,000	27.49	n/a	10-May	non-brg	0.8
SOROS	036	ALJ	SS	2,879	6.74	n/a	n/a	86.37	3.0
JASON ERIC COHEN	FID	AMT	S	35	44.82	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		AN	SS	100,000	20.84	n/a	23-Apr	non-brg	4.0
BUCK CAPITAL MGMT		AN	S	100,000	20.84	n/a	23-Apr	non-brg	4.0
BUCK CAPITAL MGMT		AN	S	105,000	20.84	n/a	23-Apr	non-brg	4.0
BUCK CAPITAL MGMT		APC	S	46,400	42.31	n/a	3-Jun	non-brg	4.0
EDWARD W WHEELER	FID	APC	S	2000	41.65	n/a	3-Jun	0.00	0.0
ROYCE TRADING	012	ARM	S	5,000	15.51	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT		ARO	S	54,800	29.73	n/a	4-Jun	non-brg	4.0
BUCK CAPITAL MGMT		AVP	S	121,500	28.29	n/a	28-May	non-brg	4.0
LAGRANGE CAP ADV	048	AXL	B	30,000	9.19	n/a	3-May	1,500.00	5.0
SLS CAPITAL LLC	224	AXL	B	53,500	9.30	n/a	3-May	1,605.00	3.0
BUCK CAPITAL MGMT	001	BAC	S	11,900	15.88	n/a	15-Jun	476.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HUNTER GLOBAL INVESTOR	067	BBBY	S	116,400	43.45	n/a	n/a	4,656.00	4.0
B BUCK CAPITAL MGMT		BEBE	B	40,000	6.61	n/a	n/a	non-brg	2.5
f JONATHAN RICHARD HAR	FID	BEE	B	250	4.55	n/a	n/a	0.00	0.0
PILOT ADV	242	BEN	SS	8,500	92.57	n/a	2-Mar	340.00	4.0
BUCK CAPITAL MGMT	001	BJ	SS	10,000	39.93	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		BJ	SS	40,000	39.31	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BLK	S	26,100	159.44	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	BWA	B	1000	40.98	n/a	30-Apr	0.00	0.0
f MARC PAUL LUCHANSKY	FID	BWA	S	1000	40.55	n/a	30-Apr	0.00	0.0
ROYCE TRADING	012	BWS	S	5,000	17.70	n/a	n/a	250.00	5.0
COOPER CREEK PART	028	CCL	B	10,000	36.14	n/a	n/a	400.00	4.0
CATAPULT	040	CMA	B	5,000	38.02	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		COH	S	30,000	43.05	n/a	14-May	non-brg	4.0
f DAVID GEORGE BUCK	FID	COP	S	400	54.78	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		COST	SS	50,000	58.39	n/a	n/a	non-brg	4.0
THE JAY GOLDMAN	028	COST	B	15,000	58.57	n/a	n/a	600.00	4.0
THE JAY GOLDMAN	028	COST	SS	10,000	58.21	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		CROX	S	70,000	11.60	n/a	n/a	non-brg	4.0
ROYCE TRADING	012	CR	S	5,000	33.85	n/a	n/a	250.00	5.0
EXIS CAPITAL	044	CTSH	S	20,000	53.92	n/a	n/a	1,000.00	5.0
ROYCE TRADING	012	CTS	S	5,500	10.49	n/a	n/a	275.00	5.0
f ABRAM M CHAPPELL	FID	CVGI	S	250	11.82	n/a	n/a	0.00	0.0
PILOT ADV	242	CYN	SS	8,000	56.50	n/a	n/a	320.00	4.0
B BUCK CAPITAL MGMT		DAL	S	25,000	14.16	n/a	n/a	non-brg	4.0
SIGMA CAP BOBBY	044	DAN	S	100,000	11.32	n/a	30-Apr	3,500.00	3.5
B BUCK CAPITAL MGMT		DECK	SS	15,000	161.00	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		EXH	S	133,976	27.40	n/a	3-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		EXH	S	23,100	27.53	n/a	3-Jun	non-brg	2.0
B BUCK CAPITAL MGMT		EXPE	SS	30,000	21.20	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	FAF	B	10,000	13.39	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		FL	S	200,000	14.40	n/a	16-Jun	non-brg	4.0
f MANNY I KORMAN	FID	FNKXX	S	255000	1.00	n/a	n/a	0.00	0.0
ROYCE TRADING	012	FOE	S	5,000	8.49	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
⌘ BUCK CAPITAL MGMT		FOSL	S	30,000	39.88	n/a	n/a	non-brg 4.0
ROYCE TRADING	012	FUL	S	500	21.96	n/a	n/a	25.00 5.0
RBC CAPITAL MARKETS	040	F	SS	50,000	11.44	n/a	3-Jun	2,000.00 4.0
EXIS CAPITAL	044	GDX	B	10,000	52.93	n/a	n/a	500.00 5.0
EXIS CAPITAL	044	GES	B	25,000	34.29	n/a	28-May	1,250.00 5.0
ROYCE TRADING	012	GFF	S	5,000	12.05	n/a	n/a	250.00 5.0
BUCK CAPITAL MGMT	001	GIL	S	50,000	31.76	n/a	14-May	2,000.00 4.0
⌘ BUCK CAPITAL MGMT		GIL	S	44,000	31.86	n/a	14-May	non-brg 4.0
⌘ BUCK CAPITAL MGMT		GIL	S	56,000	31.63	n/a	14-May	non-brg 0.8
ROYCE TRADING	012	GPI	S	5,000	28.38	n/a	28-Apr	250.00 5.0
⌘ BUCK CAPITAL MGMT		GS	S	19,342	137.16	n/a	11-Jun	non-brg 4.0
⌘ BUCK CAPITAL MGMT		HAS	SS	70,000	42.74	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		HBI	S	20,000	28.62	n/a	14-May	non-brg 4.0
⌘ BUCK CAPITAL MGMT		HD	SS	20,000	31.58	n/a	19-May	non-brg 4.0
PILOT ADV	242	HD	SS	9,500	31.50	n/a	19-May	380.00 4.0
f KEVIN MICHAEL O'GORM	FID	HH	B	20000	0.70	n/a	n/a	0.00 0.0
⌘ BUCK CAPITAL MGMT		HK	S	100,000	21.46	n/a	n/a	non-brg 0.8

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß	BUCK CAPITAL MGMT		HK	S	193,847	21.37	n/a	n/a	non-brg 4.0
	THE JAY GOLDMAN	028	HOT	S	5,000	48.87	n/a	n/a	200.00 4.0
	ROYCE TRADING	012	HYC	S	500	4.78	n/a	n/a	15.00 3.0
ß	BUCK CAPITAL MGMT		JBLU	S	10,000	6.38	n/a	n/a	non-brg 3.0
ß	BUCK CAPITAL MGMT		JCG	S	30,000	43.72	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		JCP	SS	40,000	26.61	n/a	4-Jun	non-brg 4.0
	BUCK CAPITAL MGMT	001	JNY	B	10,000	19.55	n/a	14-May	400.00 4.0
ß	BUCK CAPITAL MGMT		JOSB	B	15,000	59.34	n/a	n/a	non-brg 4.0
	BUCK CAPITAL MGMT	001	KMX	SS	30,000	20.87	n/a	n/a	1,200.00 4.0
	THE JAY GOLDMAN	028	KSS	B	10,000	52.32	n/a	4-Jun	400.00 4.0
	PILOT ADV	242	LOW	SS	13,000	22.52	n/a	17-May	520.00 4.0
	FIRST NEW YORK LEDDY	035	LVLIT	S	25,000	1.25	n/a	n/a	1,000.00 4.0
	THE JAY GOLDMAN	028	LVS	SS	10,000	26.53	n/a	15-Jun	400.00 4.0
ß	BUCK CAPITAL MGMT		MON	S	73,800	50.25	n/a	28-May	non-brg 4.0
f	KEVIN MICHAEL O'GORM	FID	MRT	B	4900	5.88	n/a	n/a	0.00 0.0
ß	BUCK CAPITAL MGMT		MS	S	132,280	25.51	n/a	11-Jun	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		MTN	SS	30,000	40.02	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	M	B	10,000	21.44	n/a	4-Jun	500.00	5.0
MTB INV ADV	034	NFLX	S	3,325	125.62	n/a	n/a	166.25	5.0
B BUCK CAPITAL MGMT		PAG	S	100,000	12.82	n/a	3-May	non-brg	4.0
CIRCLE T/SES PART	035	PAG	S	25,000	12.90	n/a	3-May	1,000.00	4.0
B BUCK CAPITAL MGMT		PIR	S	200,000	8.20	n/a	n/a	non-brg	3.0
CAVU CAP ADV	028	PIR	S	85,000	8.10	n/a	n/a	4,250.00	5.0
B BUCK CAPITAL MGMT		PSS	S	100,000	19.34	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PSS	S	70,000	19.29	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	PVH	B	10	4.96	n/a	26-May	0.00	0.0
f DAVID GEORGE BUCK	FID	PVH	S	10	7.55	n/a	26-May	0.00	0.0
B BUCK CAPITAL MGMT		QKLS	S	3,000	5.22	n/a	n/a	non-brg	3.0
SONTERRA CAP	041	RIG	S	65,000	49.02	n/a	n/a	2,600.00	4.0
CASTLEROCK	040	RRD	SS	77,786	17.97	n/a	n/a	3,111.44	4.0
WHITE PINE CAPITAL	030	RTIX	B	66,975	3.24	n/a	n/a	2,009.25	3.0
CATAPULT	040	SCHW	S	25,000	15.32	n/a	n/a	1,000.00	4.0
SOROS	036	SGY	S	2,500	14.50	n/a	n/a	100.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		SIG	S	20,000	31.24	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKS	SS	50,000	9.01	n/a	n/a	2,000.00	4.0
ROYCE TRADING	012	SOA	S	12,500	15.37	n/a	n/a	625.00	5.0
B BUCK CAPITAL MGMT		STT	S	99,400	37.51	n/a	11-Jun	non-brg	4.0
SENATOR INVESTMENT GROUP	036	SUN	SS	100,000	34.39	n/a	n/a	3,000.00	3.0
BUCK CAPITAL MGMT	001	SWY	SS	20,000	21.58	n/a	n/a	800.00	4.0
f DAVID GEORGE BUCK	FID	TGT	B	1000	53.78	n/a	4-Jun	0.00	0.0
f DAVID GEORGE BUCK	FID	TGT	B	300	53.80	n/a	4-Jun	0.00	0.0
B BUCK CAPITAL MGMT		TIF	SS	20,000	43.46	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TLB	B	30,000	12.75	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	TM	B	5,000	72.75	n/a	n/a	250.00	5.0
f JASON ERIC COHEN	FID	TOL	B	120	18.02	n/a	n/a	0.00	0.0
MTB INV ADV	034	TPX	S	7,250	34.99	n/a	n/a	362.50	5.0
EXIS CAPITAL	044	UA	B	10,000	37.19	n/a	28-Apr	500.00	5.0
B BUCK CAPITAL MGMT		URBN	S	50,000	36.25	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	VNO	S	1000	79.33	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JONATHAN RICHARD HAR	FID	WBC	B	50	32.45	n/a	27-May	0.00	0.0
SIGMA CAPITAL ASSOCIATES	041	WMT	B	25,000	51.21	n/a	19-May	875.00	3.5
BROADARCH CAP/OLD NEWC	070	WYNN	B	3,500	85.60	n/a	15-Jun	140.00	4.0
COBALT	041	X	SS	50,000	45.07	n/a	n/a	2,500.00	5.0
TOTAL (Trade Date) :							53,787.81		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/16	GREEN ARROW DOUG	040	AA	B	50,000	11.47	n/a	n/a	2500.00 5.0
AO,CRT	06/16	MILLENIUUM PARTNERS	028	AA	B	50,000	11.47	n/a	n/a	2000.00 4.0
AO,CRT	06/16	SONTERRA CAP	041	PDS	B	54,615	7.67	n/a	n/a	1638.45 3.0
AO,CXL	06/16	SONTERRA CAP	041	PDS	B	54,615	7.67	n/a	n/a	2184.60 4.0
TOTAL (AO, CXL, CRT) :										-1,046.15

TOTAL (Trade Date) :	53,787.81
TOTAL (AO, CXL, CRT) :	-1,046.15

TOTAL (RUN DATE) / NFS:	52,741.66
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MRI Securities with Trade Activity on 17-Jun. NONE

MRI Securities without Trade Activity on 17-Jun. SWN

BRG comm. as a % of BCM Total Comm. paid. T-Date = 6% YTD = 8%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)