



RR Commissions Summary [Ian Miller]

Trade Date: 10/22/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BTIG/KDI ADV	025	0	0	0	0	0	0	25,000	0	0	25,000	50,000	50
CHARTERBRIDGE CAPITAL	025	0	82	82	21,689	0	0	0	82	82	21,689	43,000	50
CONIFER	025	0	0	0	960	0	0	0	0	0	960	6,928	14
CONIFER/ISF MANAGEMENT	025	0	0	0	0	0	0	7,000	0	0	7,000	13,840	51
CYRUS CAPITAL	025	0	7,500	7,500	120,773	0	0	0	7,500	7,500	120,773	36,516	331
EMINENCE CAPITAL	025	0	0	0	0	0	0	35,000	0	0	35,000	80,000	44
EVERCORE WEALTH MGMT	025	0	3,315	3,315	3,315	0	0	0	3,315	3,315	3,315	0	0
FRANKLIN ADVISORY SVC	025	0	0	0	0	0	0	17,525	0	0	17,525	20,000	88
G WEISS /IAN	025	0	0	0	0	0	0	0	0	0	0	5,040	0
GABELLI FUNDS	025	0	2,304	2,304	12,090	0	0	0	2,304	2,304	12,090	0	0
HAWK RIDGE	025	0	0	0	360	0	0	0	0	0	360	0	0
HOPLITE MGMT	025	0	4,000	4,000	37,909	0	0	0	4,000	4,000	37,909	59,717	63
KOLVEN CAPITAL	025	0	180	180	785	0	0	0	180	180	785	30	2618
KORTRIGHT CAPITAL PARTNERS	025	450	5,152	5,152	13,051	0	0	0	5,152	5,152	13,051	0	0
MASTERS CAPITAL MANAGE	025	0	8,000	8,000	61,097	0	0	0	8,000	8,000	61,097	48,495	126
NEWLAND CAPITAL MGT	025	0	4,450	4,450	26,338	0	0	0	4,450	4,450	26,338	68,617	38
PALISADE CAPITAL MANAGEMENT LLC	025	1,600	1,600	1,600	18,542	0	0	0	1,600	1,600	18,542	0	0
PATTEN & PATTEN	025	0	136	136	49,349	0	0	0	136	136	49,349	56,434	87
PAW PARTNERS	025	0	0	0	2,500	0	0	0	0	0	2,500	2,875	87
RIVERPARK CAPITAL	025	0	0	0	11,366	0	0	0	0	0	11,366	9,371	121
SAGE ASSET MGMT	025	0	585	585	24,065	0	0	0	585	585	24,065	31,923	75
SCOPIA CAPITAL	025	0	2,643	2,643	24,708	0	0	0	2,643	2,643	24,708	0	0
SEVEN LOCKS CAPITAL	025	0	690	690	9,460	0	0	0	690	690	9,460	11,607	81
SONICA CAPITAL LLC	025	0	0	0	9,870	0	0	0	0	0	9,870	4,539	217
SOUTH SHORE CAPITAL	025	0	0	0	0	0	0	500	0	0	500	850	59
TOTALS:		2,050	40,637	40,637	448,227	0	0	85,025	40,637	40,637	533,252		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	0	5,519	5,519	109,545	0	0	0	5,519	5,519	109,545	103,730	0
FIR TREE CAPITAL	270	0	0	0	0	0	0	9,000	0	0	9,000	6,500	138
GEORGE WEISS	278	0	4,230	4,230	35,676	0	0	80,000	4,230	4,230	115,676	0	0
TOTALS:		0	9,749	9,749	145,221	0	0	89,000	9,749	9,749	234,221		

