



## RR Commissions Summary [ Kevin O'Gorman ]

Trade Date: 08/27/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AMBER CAPITAL	046	0	0	0	17,148	0	0	0	0	0	17,148	51,197	33
CAMBIAR CAP	046	5,712	33,556	33,556	117,746	0	0	0	33,556	33,556	117,746	129,983	91
DARUMA ASSET MGMT INC	046	0	0	0	4,528	0	0	0	0	0	4,528	963	470
DENVER INST. ADV	046	0	0	6,653	23,200	0	0	0	0	6,653	23,200	64,358	36
GARTMORE/HENDERSON - LONDON	046	0	0	0	0	0	0	0	0	0	0	51,254	0
HENDERSON GLOBAL INVESTORS	046	0	0	0	0	0	0	5,154	0	0	5,154	0	0
JANUS CAPITAL CORP	046	0	0	0	0	0	95,000	160,000	0	95,000	160,000	41,000	390
JP MORGAN VENTURES	046	0	0	0	0	0	0	0	0	0	0	17,892	0
KENNEDY CAPITAL	046	0	15,562	23,574	44,489	0	0	0	15,562	23,574	44,489	46,063	97
MADISON ST PARTNERS LLC	046	0	2,500	4,250	13,250	0	0	0	2,500	4,250	13,250	3,719	356
MARSICO CAPITAL	046	0	2,567	2,567	12,567	0	0	1,875	2,567	2,567	14,442	3,530	409
MELLON/BANK OF NEW YORK	046	0	0	9,990	98,479	0	0	0	0	9,990	98,479	206,470	48
MUHLINKAMP FUND	046	0	0	0	25,000	0	0	0	0	0	25,000	19,250	130
NEWTON MANAGEMENT	046	0	0	0	0	0	0	6,085	0	0	6,085	4,880	125
NOMURA GLOBAL ASSET MGMT	046	0	0	0	0	0	0	6,400	0	0	6,400	0	0
NOMURA INTERNATIONAL PLC	046	0	0	0	0	0	0	0	0	0	0	7,960	0
PLATTE RIVER CAPITAL	046	0	0	254	20,465	0	0	0	0	254	20,465	0	0
RK CAPITAL MGMT	046	0	0	0	2,290	0	0	0	0	0	2,290	4,750	48
ROSEDALE CAPITAL	046	400	4,550	7,850	54,042	0	0	0	4,550	7,850	54,042	81,694	66
TOCQUEVILLE	046	0	1,250	1,250	24,440	0	0	0	1,250	1,250	24,440	0	0
ZIFF BROS	046	0	0	0	0	0	0	15,000	0	0	15,000	50,000	30
<b>TOTALS:</b>		<b>6,112</b>	<b>59,985</b>	<b>89,943</b>	<b>457,644</b>	<b>0</b>	<b>95,000</b>	<b>194,515</b>	<b>59,985</b>	<b>184,943</b>	<b>652,158</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
KENSAI MANAGEMENT	286	0	0	0	0	0	0	0	0	0	0	0	0
MANNING & NAPIER	035	0	0	0	0	0	32,500	65,000	0	32,500	65,000	108,750	60
<b>TOTALS:</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>32,500</b>	<b>65,000</b>	<b>0</b>	<b>32,500</b>	<b>65,000</b>		