



RR Commissions Summary [Nicolas Pakler]

Trade Date: 12/31/2012

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ATLANTIC TRUST/STEINROE	029	0	0	0	0	0	13,000	36,000	0	13,000	36,000	10,000	360
BLUE RIDGE CAP	029	0	0	0	0	25,000	25,000	25,000	25,000	25,000	25,000	0	0
BLUEMAR CAPITAL MANAGEMENT	029	0	0	0	390	0	0	0	0	0	390	309	126
BRIGADE CAPITAL MGMT	029	0	0	64,050	91,550	0	0	32,000	0	64,050	123,550	68,000	182
BROOKSIDE CAPITAL PART	029	0	0	0	10,092	0	0	0	0	0	10,092	12,000	84
BURL CAPITAL LLC	029	0	0	0	1,200	0	0	0	0	0	1,200	7,720	16
COLUMBIA MGMT	029	0	0	0	0	0	0	0	0	0	0	36,182	0
EATON VANCE MGMT	029	0	0	52,018	85,718	0	0	0	0	52,018	85,718	116,851	73
FEDERATED INV/KAUFMAN	029	0	0	19,184	63,769	0	0	0	0	19,184	63,769	154,000	41
FIRST NEW YORK NICK	029	0	0	500	2,500	0	0	0	0	500	2,500	19,000	13
FRONTIER	029	0	0	36,694	102,196	0	0	0	0	36,694	102,196	34,723	294
GLADE BROOK CAPITAL	029	0	0	0	0	4,645	4,645	4,645	4,645	4,645	4,645	0	0
GRANAHAN INV	029	0	0	0	7,953	0	0	0	0	0	7,953	5,652	141
GSA PROPHET/NICK	029	0	0	0	0	0	0	46,661	0	0	46,661	0	0
HARVEST MGMT (JMP)	029	1,800	2,288	15,388	34,000	0	0	0	2,288	15,388	34,000	33,090	103
LONG POND	029	0	0	11,426	29,026	0	0	0	0	11,426	29,026	34,310	85
MARCATO CAPITAL MGMT.	029	0	0	20,000	27,536	0	0	0	0	20,000	27,536	0	0
MASS FINANCIAL	029	0	0	0	0	0	0	0	0	0	0	4,395	0
MUTUAL OF AMERICA CAPITAL	029	0	0	0	1,416	0	0	0	0	0	1,416	9,978	14
NOMURA CAPITAL	029	0	0	0	2,814	0	0	0	0	0	2,814	14,263	20
PENNANT CAP MGMT	029	0	0	32,400	32,400	0	0	0	0	32,400	32,400	49,785	65
PERSHING SQUARE	029	0	0	0	47,840	0	0	0	0	0	47,840	30,517	157
RIVERFRONT INVESTMENT	029	0	0	0	0	0	0	29,000	0	0	29,000	5,000	580
SCOUT CAPITAL	029	0	0	0	0	20,000	20,000	40,000	20,000	20,000	40,000	40,000	100
SHUMWAY CAPITAL	029	0	0	0	0	0	0	0	0	0	0	94,289	0
SMITH MGMT/ALDEN GLOBAL	029	0	0	42,282	197,652	0	0	0	0	42,282	197,652	265,282	75
THE BOSTON COMPANY	029	0	0	0	0	3,277	19,354	70,696	3,277	19,354	70,696	63,405	111
THOMPSON SIEGAL&WALMSLEY	029	0	0	21,945	30,054	0	0	0	0	21,945	30,054	62,348	48
TOTALS:		1,800	2,288	315,888	768,106	52,922	81,999	284,002	55,210	397,887	1,052,107		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	12/31	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
MANULIFE ASSET MGMT.	294	0	0	0	0	0	0	0	0	0	0	0	0
PENNANT CAP MGMT	250	0	0	0	0	0	0	0	0	0	0	49,785	65
PUTNAM SHARED	268	0	0	0	0	0	0	67,752	0	0	67,752	33,894	200
PYRAMIS GLOBAL	231	0	0	0	0	0	0	0	0	0	0	9,083	0

TOTALS:	0	0	0	0	0	0	67,752	0	0	67,752
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Report created by Nicolas Pakler on 11/11/2013 at 09:08:32am from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 8.0; Windows NT 6.1; Trident/4.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E; Tablet PC 2.0)
