



# Daily Compliance Activity Report

Trade Date: 12/31/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	AAPL	B	4,500	321.60	n/a	n/a	135.00	3.0
⌘ BUCK CAPITAL MGMT		AEO	S	39,000	14.51	n/a	3-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	4,300	14.52	n/a	3-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ANF	S	1,600	58.11	n/a	3-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BAC	B	131,900	13.34	n/a	17-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BAC	S	131,900	13.34	n/a	17-Dec	non-brg	1.0
WINSLOW CAPITAL	030	BIDU	B	10,900	97.53	n/a	n/a	327.00	3.0
⌘ BUCK CAPITAL MGMT		BIG	S	3,900	30.52	n/a	7-Dec	non-brg	4.0
WHITE PINE CAPITAL	030	BIG	B	24,650	30.52	n/a	7-Dec	1,232.50	5.0
TALPION LLC	040	BMC	S	20,000	47.09	n/a	n/a	600.00	3.0
⌘ BUCK CAPITAL MGMT		BODY	S	4,100	14.46	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CBK	B	60,000	6.16	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		COF	B	35,500	42.66	n/a	30-Dec	non-brg	1.0
⌘ BUCK CAPITAL MGMT		COF	S	35,500	42.66	n/a	30-Dec	non-brg	1.0
WINSLOW CAPITAL	030	COST	B	11,400	72.34	n/a	n/a	342.00	3.0
⌘ BUCK CAPITAL MGMT		CRI	SS	20,000	29.62	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		CSTR	B	135,150	56.20	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CSTR	S	135,150	56.20	n/a	n/a	non-brg	1.0
WINSLOW CAPITAL	030	CTXS	B	20,300	68.18	n/a	n/a	609.00	3.0
BARBARA S LEDDY	FID	CVGI	B	300	16.31	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		CVGI	S	12,000	16.16	n/a	n/a	non-brg	4.0
SEAN F LEDDY	FID	CVGI	B	200	16.32	n/a	n/a	0.00	0.0
THOMAS JOHN LEDDY	FID	CVGI	B	400	16.33	n/a	n/a	0.00	0.0
IAN L MILLER	FID	C	B	300	4.74	n/a	17-Dec	0.00	0.0
BUCK CAPITAL MGMT		DAN	S	10,500	17.12	n/a	29-Oct	non-brg	4.0
BUCK CAPITAL MGMT	001	DDS	B	20,000	38.13	n/a	n/a	600.00	3.0
BUCK CAPITAL MGMT		DDS	S	2,900	38.18	n/a	n/a	non-brg	4.0
THOMAS WEISEL ASSET MGMT	035	DHI	B	7,697	12.03	n/a	n/a	230.91	3.0
RBC CAPITAL MARKETS	040	EAT	B	15,000	21.02	n/a	n/a	600.00	4.0
THOMAS WEISEL ASSET MGMT	035	ERES	B	5,576	7.49	n/a	n/a	167.28	3.0
BUCK CAPITAL MGMT		FINL	S	5,400	17.37	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FL	B	216,860	19.74	n/a	22-Dec	non-brg	1.0
BUCK CAPITAL MGMT		FL	B	30,300	19.62	n/a	22-Dec	non-brg	1.0
BUCK CAPITAL MGMT		FL	S	216,860	19.74	n/a	22-Dec	non-brg	1.0
BUCK CAPITAL MGMT		FL	S	30,300	19.62	n/a	22-Dec	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		FOSL	B	32,900	70.68	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		FOSL	S	32,900	70.68	n/a	n/a	non-brg	1.0
f MITCHELL JAMES SPEIS	FID	FSNXX	B	26875	1.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GCO	B	15,000	37.42	n/a	n/a	non-brg	4.0
f IAN L MILLER	FID	GDX	B	25	61.16	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GIII	B	61,687	39.30	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GIII	S	61,687	35.30	n/a	n/a	non-brg	1.0
WINSLOW CAPITAL	030	GMCR	B	21,300	33.07	n/a	n/a	639.00	3.0
LAGRANGE CAP ADV	048	GNTX	SS	600	29.78	n/a	25-Oct	30.00	5.0
COLUMBUS CAP MGMT LLC	084	HLIT	S	25,000	8.53	n/a	n/a	1,000.00	4.0
B BUCK CAPITAL MGMT		HTZ	B	40,800	14.60	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		HTZ	S	40,800	14.60	n/a	n/a	non-brg	1.0
THOMAS WEISEL ASSET MGMT	035	HXL	B	7,263	18.10	n/a	14-Dec	217.89	3.0
SCOPUS FUND	041	ICO	B	10,800	7.89	n/a	n/a	432.00	4.0
B BUCK CAPITAL MGMT		IMAX	B	200,000	32.67	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		IMAX	B	209,000	29.75	n/a	n/a	non-brg	4.0
WINSLOW CAPITAL	030	INTU	B	16,700	49.40	n/a	n/a	501.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		JACK	B	30,000	21.42	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		JNY	B	818,200	15.58	n/a	28-Oct	non-brg	1.0
ß BUCK CAPITAL MGMT		JNY	S	818,200	15.58	n/a	28-Oct	non-brg	1.0
f IAN L MILLER	FID	JPM	B	25	42.25	n/a	17-Dec	0.00	0.0
ß BUCK CAPITAL MGMT		JWN	B	36,500	42.29	n/a	30-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		JWN	S	36,500	42.29	n/a	30-Nov	non-brg	1.0
THOMAS WEISEL ASSET MGMT	035	KEG	B	8,750	13.00	n/a	15-Dec	262.50	3.0
ß BUCK CAPITAL MGMT		KKR	B	151,100	14.22	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		KKR	S	151,100	14.22	n/a	n/a	non-brg	1.0
f JOHN GRASSANO	FID	KKR	B	125	14.15	n/a	n/a	0.00	0.0
SCOPUS FUND	041	KNX	B	1,400	19.07	n/a	n/a	56.00	4.0
ß BUCK CAPITAL MGMT		KSS	B	56,400	54.02	n/a	30-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		KSS	S	3,300	54.18	n/a	30-Nov	non-brg	4.0
ß BUCK CAPITAL MGMT		KSS	S	56,400	54.02	n/a	30-Nov	non-brg	1.0
f ALICE BEEBE LONGLEY	FID	KXI	B	300	62.38	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LEN	B	97,000	18.74	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LEN	S	97,000	18.74	n/a	n/a	non-brg	1.0
THOMAS WEISEL ASSET MGMT	035	MHGC	B	13,419	9.00	n/a	n/a	402.57	3.0
ß BUCK CAPITAL MGMT		MON	B	10,600	69.36	n/a	17-Dec	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		MON	S	10,600	69.36	n/a	17-Dec	non-brg	1.0
	THOMAS WEISEL ASSET MGMT	035	MSSR	B	8,835	9.07	n/a	n/a	265.05	3.0
	THOMAS WEISEL ASSET MGMT	035	MXWL	B	7,325	19.03	n/a	n/a	219.75	3.0
f	IAN L MILLER	FID	NBRXF	B	100	14.78	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		NDN	S	2,000	16.09	n/a	8-Nov	non-brg	4.0
	WINSLOW CAPITAL	030	NFLX	B	2,500	177.77	n/a	n/a	75.00	3.0
	THOMAS WEISEL ASSET MGMT	035	OMCL	B	8,235	14.64	n/a	n/a	247.05	3.0
ß	BUCK CAPITAL MGMT		OXM	B	20,000	26.04	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		PCAR	S	3,400	57.22	n/a	23-Nov	non-brg	4.0
	WINSLOW CAPITAL	030	PCLN	B	5,700	402.72	n/a	n/a	171.00	3.0
	RBC CAPITAL MARKETS	040	PFCB	B	10,000	48.83	n/a	2-Dec	400.00	4.0
f	MARC PAUL LUCHANSKY	FID	PGR	B	1000	19.89	n/a	n/a	0.00	0.0
	THOMAS WEISEL ASSET MGMT	035	PIR	B	8,656	10.54	n/a	n/a	259.68	3.0
f	EDWARD W WHEELER	FID	POWL	B	5000	33.15	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	PSS	SS	30,000	21.09	n/a	n/a	900.00	3.0
ß	BUCK CAPITAL MGMT		PVH	B	72,600	63.58	n/a	3-Dec	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		PVH	S	72,600	63.58	n/a	3-Dec	non-brg	1.0
BERMAN CAPITAL MGMT	046	QQQQ	S	10,000	54.34	n/a	n/a	500.00	5.0
⚡ BUCK CAPITAL MGMT		ROST	SS	10,000	63.38	n/a	n/a	non-brg	4.0
BERMAN CAPITAL MGMT	046	RTH	B	11,000	106.61	n/a	n/a	550.00	5.0
⚡ BUCK CAPITAL MGMT		SKX	S	3,800	19.89	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SODA	B	50,000	31.56	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		STI	B	52,600	29.54	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		STI	S	52,600	29.54	n/a	n/a	non-brg	1.0
f JASON ERIC COHEN	FID	TBT	B	200	37.01	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	TBT	S	200	37.03	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		TEX	B	56,100	31.17	n/a	22-Oct	non-brg	1.0
⚡ BUCK CAPITAL MGMT		TEX	S	56,100	31.17	n/a	22-Oct	non-brg	1.0
f JONATHAN RICHARD HAR	FID	TEX	B	80	31.15	n/a	22-Oct	0.00	0.0
f IAN L MILLER	FID	TIP	B	10	107.10	n/a	n/a	0.00	0.0
SCOPUS FUND	041	TRW	B	30,000	52.80	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	VFC	SS	10,000	86.45	n/a	22-Oct	300.00	3.0
⚡ BUCK CAPITAL MGMT		WNC	B	55,900	11.88	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		WNC	S	10,000	11.93	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		WNC	S	55,900	11.88	n/a	n/a	non-brg	1.0
THOMAS WEISEL ASSET MGMT	035	WNR	B	7,727	10.65	n/a	n/a	231.81	3.0
⌘ BUCK CAPITAL MGMT		WRC	B	19,000	55.49	n/a	9-Nov	non-brg	1.0
⌘ BUCK CAPITAL MGMT		WRC	S	19,000	55.49	n/a	9-Nov	non-brg	1.0
SCOPUS FUND	041	WSO	B	10,000	63.58	n/a	n/a	400.00	4.0
<b>TOTAL (Trade Date) :</b>							<b>14,103.99</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/30	MOORE CAPITAL	028	CAR	SS	5,000	15.32	n/a	n/a	200.00 4.0
AO,CRT	12/30	MOORE CAPITAL	028	CAR	SS	5,000	15.32	n/a	n/a	200.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
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TOTAL (Trade Date) :	14,103.99
TOTAL (AO, CXL, CRT) :	0.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>14,103.99</b>
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MRI Securities with Trade Activity on 31-Dec. NONE
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MRI Securities without Trade Activity on 31-Dec. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 3% YTD = 11%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**