



Daily Compliance Activity Report

Trade Date: 01/26/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRUST CO/COWEN/CALF.	040	AA	S	300,000	8.38	n/a	n/a	12,000.00	4.0
AVESTA CAP	229	ABX	S	50,000	38.84	n/a	n/a	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		AEO+CB[AEO]	SS	1,000	0.90	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	AEO	S	50,000	9.35	n/a	9-Jan	1,500.00	3.0
⚡ BUCK CAPITAL MGMT		BBY	C	100,000	28.68	n/a	27-Jul	non-brg	4.0
ROBX	302	BKC	B	8,810	22.31	27-Oct	8-Jan	352.40	4.0
DAVIS SELECT ADV	040	BK	B	400,000	23.93	21-Jan	21-Jan	12,000.00	3.0
SCOPUS FUND	041	BWA	B	20,000	17.80	27-Jan	12-Jan	1,000.00	5.0
⚡ HARALAMBOS EFSTATHI	FID	CENT	S	1500	6.15	18-Nov	1-Dec	0.00	0.0
⚡ BUCK CAPITAL MGMT		CWTR	B	20,000	2.54	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		DECK	B	20,000	56.78	n/a	n/a	non-brg	4.0
AVESTA CAP	229	DE	S	25,000	35.40	26-Jan	26-Jan	1,250.00	5.0
SASCO	038	DLM	S	17,900	7.15	n/a	n/a	716.00	4.0
⚡ BUCK CAPITAL MGMT		DSW+OB[DSW]	SS	1,000	1.00	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		DSW+OU [DSW]	SS	1,000	0.25	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		DV+NJ [DV]	SS	1,000	1.10	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	EAT	SS	11,200	11.37	n/a	24-Apr	448.00	4.0
BUCK CAPITAL MGMT	001	EAT	S	18,800	11.37	n/a	24-Apr	752.00	4.0
BREEDEN CAP MGMT	080	EBAY	B	11,600	11.64	n/a	n/a	580.00	5.0
MARC PAUL LUCHANSKY	FID	EFT	B	500	8.77	n/a	n/a	0.00	0.0
MATLIN PATTERSON	046	EMN	S	10,900	28.59	n/a	n/a	327.00	3.0
KEVIN MICHAEL O'GORM	FID	EV	B	100	18.79	9-Jan	21-Jan	0.00	0.0
BUCK CAPITAL MGMT	001	FDO	SS	20,000	27.14	7-Jan	7-Jan	800.00	4.0
SCOPUS FUND	041	FDO	B	2,500	27.19	7-Jan	7-Jan	125.00	5.0
BUCK CAPITAL MGMT		FOSL	B	14,418	12.30	23-Jan	23-Jan	non-brg	1.5
BUCK CAPITAL MGMT		FOSL	B	35,582	12.42	23-Jan	23-Jan	non-brg	2.5
NEUBERGER BERMAN	036	FRT	B	11,000	50.71	n/a	n/a	495.00	4.5
BUCK CAPITAL MGMT		GIL	B	30,000	11.14	11-Dec	12-Dec	non-brg	4.0
NEUBERGER BERMAN	036	HCN	B	21,200	38.65	n/a	n/a	954.00	4.5
BUCK CAPITAL MGMT		HOTT	SS	20,000	8.46	n/a	9-Jan	non-brg	2.5
BUCK CAPITAL MGMT		IP	C	25,000	11.25	22-Sep	20-Jan	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B	BUCK CAPITAL MGMT		JOSB	B	20,000	27.86	n/a	n/a	non-brg 4.0
	BALYASNY ASSET MGMT	044	JPM	SS	30,000	24.99	16-Jan	16-Jan	1,500.00 5.0
	&MIL	028	JWN	SS	16,540	13.37	9-Jan	9-Jan	827.00 5.0
f	HARALAMBOS EFSTATHI	FID	LPS	S	600	28.80	n/a	n/a	0.00 0.0
	BACKBAY	041	LPX	B	50,000	2.08	5-Nov	23-Jan	1,500.00 3.0
	SASCO	038	LTD	S	11,100	8.66	8-Jan	9-Jan	444.00 4.0
	&MIL	028	LTD	SS	17,830	8.60	8-Jan	9-Jan	891.50 5.0
	SASCO	038	MAS	S	19,000	8.91	n/a	n/a	760.00 4.0
	GREEN ARROW CAPITAL	034	MET	S	25,000	28.00	n/a	n/a	1,250.00 5.0
f	DAVID GEORGE BUCK	FID	MHS	S	1000	43.63	n/a	n/a	0.00 0.0
f	DAVID GEORGE BUCK	FID	MONNO	S	15	2.10	n/a	n/a	0.00 0.0
B	BUCK CAPITAL MGMT		NDN	C	40,000	8.62	31-Oct	9-Jan	non-brg 3.0
	CENTURION GLOBAL MGMT LL	041	NOK	B	14,100	11.89	n/a	n/a	423.00 3.0
	BUCK CAPITAL MGMT	001	NWY	B	20,000	1.88	n/a	9-Jan	400.00 2.0
	PERMIAN/BAYPIONT	028	PAG	SS	78,000	7.67	n/a	23-Dec	2,340.00 3.0
f	JOSEPH CIRO AMATURO	FID	PFE	B	5000	16.45	n/a	31-Mar	0.00 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SAXON WOODS ADVISORS	038	PHM	S	150,000	11.19	n/a	n/a	6,000.00	4.0
B BUCK CAPITAL MGMT		REQ+QE [ROST]	SS	1,000	1.15	n/a	n/a	non-brg	300.0
SASCO	038	RSG	S	7,900	25.98	n/a	n/a	316.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	SEF	B	100	97.66	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	SEF	B	200	96.93	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SEP	B	1200	20.60	n/a	n/a	0.00	0.0
SASCO	038	SE	S	15,100	14.98	n/a	n/a	604.00	4.0
B BUCK CAPITAL MGMT		SHOO	C	10,000	19.21	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SHOO	C	10,751	19.04	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SHOO	C	100	19.20	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SHOO	C	4,785	19.25	n/a	n/a	non-brg	1.5
BLUE HARBOR	036	SHW	B	53,000	47.92	n/a	22-Jan	2,650.00	5.0
NEUBERGER BERMAN	036	SKT	B	12,700	31.69	n/a	n/a	571.50	4.5
MORRISON/TLB/BAYPOINT	040	SLB	S	10,000	41.92	n/a	n/a	300.00	3.0
SASCO	038	SLE	S	13,000	10.14	n/a	n/a	520.00	4.0
BLUE HARBOR	036	SMG	B	40,000	34.32	12-Nov	18-Nov	2,000.00	5.0
f CHRISTOPHER ARTHUR K	FID	SWN	S	1000	33.07	19-Dec	19-Dec	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORRISON/TLB/BAYPOINT	040	SWN	S	15,000	32.00	19-Dec	19-Dec	450.00	3.0
f JOHN MICHAEL ZOLIDIS	FID	TIF	S	500	21.85	n/a	23-Jan	0.00	0.0
COUGAR TRADING	044	TIN	S	10,000	4.37	5-Dec	20-Jan	300.00	3.0
ELM RIDGE ADVISORS	044	TIN	B	70,000	4.46	5-Dec	20-Jan	2,100.00	3.0
PORTER-ORLIN-FELDMAN	044	TXN	B	200,000	15.04	n/a	n/a	10,000.00	5.0
EATON VANCE MGMT	039	USB	S	610,279	13.46	n/a	n/a	18,308.37	3.0
JP MORGAN VENTURES	046	USO	B	76,900	32.68	n/a	n/a	3,845.00	5.0
JP MORGAN VENTURES	046	UYG	S	100,000	3.23	n/a	n/a	5,000.00	5.0
GREEN ARROW CAPITAL	034	WL	SS	20,000	15.19	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		WRC	S	50,000	20.75	7-Nov	7-Nov	non-brg	4.0
B BUCK CAPITAL MGMT		WYNN	C	100,000	36.40	n/a	n/a	non-brg	1.0
PRINCIPLE CAPITAL	040	YUM	SS	46,600	28.63	n/a	8-Jan	1,398.00	3.0
BALYASNY ASSET MGMT	044	ZION	B	15,000	12.12	n/a	n/a	750.00	5.0
B BUCK CAPITAL MGMT	001	ZQK	B	200,000	2.11	19-Dec	19-Dec	4,000.00	2.0
B BUCK CAPITAL MGMT		ZQK	B	100,000	2.40	19-Dec	19-Dec	non-brg	1.5
TOTAL (Trade Date):							106,247.77		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	01/22	CAVU CAP ADV	028	AAP	B	11,151	32.26	n/a	n/a	557.55 5.0
AO,CRT	01/22	CAVU CAP ADV	028	AAP	B	11,151	32.26	n/a	n/a	557.55 5.0
AO,CRT	01/23	BUCK CAPITAL MGMT	001	JNY	B	100,000	3.71	n/a	23-Jan	2000.00 2.0
AO,CXL	01/23	BUCK CAPITAL MGMT	001	JNY	B	100,000	3.71	n/a	23-Jan	3000.00 3.0
AO,	01/23	PROSPECTOR PARTNERS LLC	036	NFLX	SS	8,500	30.81	n/a	n/a	340.00 4.0
AO,CXL	01/23	PROSPECTOR PARTNERS LLC	036	NFLX	SS	8,500	30.81	n/a	n/a	340.00 4.0
AO,CXL	01/23	PROSPECTOR PARTNERS LLC	036	ZBRA	S	32,700	17.34	n/a	n/a	1308.00 4.0
AO,CRT	01/23	PROSPECTOR PARTNERS LLC	036	ZBRA	S	32,700	17.34	n/a	n/a	1308.00 4.0

TOTAL (AO, CXL, CRT) :	-1,000.00
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TOTAL (Trade Date) :	106,247.77
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TOTAL (AO, CXL, CRT) :	-1,000.00
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TOTAL (RUN DATE) / NFS:	105,247.77
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MRI Securities with Trade Activity on 26-Jan.

DE

MRI Securities without Trade Activity on 26-Jan.
ETN, JCI, JOYG

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 23%
YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)