



Daily Compliance Activity Report

Trade Date: 03/06/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KORMAN	003	ABK	S	3,000	7.64	n/a	n/a	0.00	0.0
COUGAR TRADING	044	AEO	B	60,000	18.98	6-Mar	6-Mar	3,000.00	5.0
ROARING BLUE LION MGMT	012	AEO	S	25,000	17.76	6-Mar	6-Mar	1,000.00	4.0
THIRD POINT MGMT	044	AEO	B	265,000	18.65	6-Mar	6-Mar	10,600.00	4.0
WASHINGTON ASSET	048	AEO	B	10,000	18.94	6-Mar	6-Mar	500.00	5.0
COUGAR TRADING	044	BLK	B	7,500	185.12	21-Nov	6-Mar	375.00	5.0
COUGAR TRADING	044	BLK	SS	15,000	192.53	21-Nov	6-Mar	750.00	5.0
TRIVIUM CAP MGMT	251	BRCD	C	25,000	7.54	n/a	n/a	1,000.00	4.0
COUGAR TRADING	044	BWA	S	10,000	42.16	14-Jan	8-Feb	500.00	5.0
BUCK CAPITAL MGMT	001	CAB	SS	20,000	14.28	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	CBK	B	40,000	9.02	n/a	29-Feb	1,600.00	4.0
MERRILL ASSET	032	CFR	S	45,200	49.82	n/a	n/a	2,120.00	4.7
ZOLIDIS	011	CHS	C	2,000	7.81	31-Jan	6-Mar	0.00	0.0
OGORMAN	046	CHS	C	1,500	7.76	31-Jan	6-Mar	0.00	0.0
GAULT	063	CMO	B	5,000	12.38	n/a	n/a	0.00	0.0
GAULT	063	CMO	S	5,000	12.63	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	COH	C	23,700	29.40	24-Oct	24-Jan	948.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	COH	C	30,000	29.49	24-Oct	24-Jan	1,500.00	5.0
BUCK CAPITAL MGMT	001	DAI	SS	20,000	82.86	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	DDS	SS	10,000	16.61	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	EL	B	20,000	43.64	4-Jan	4-Feb	800.00	4.0
TRELLUS PARTNERS LP	028	EL	SS	32,700	43.72	4-Jan	4-Feb	1,635.00	5.0
EIDELMAN,FINGER & CO	045	EMC	S	2,000	15.17	n/a	n/a	100.00	5.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	34.81	22-Jan	31-Jan	400.00	4.0
JPMSI/TOM LEDDY	035	GIL	S	25,000	35.00	22-Jan	31-Jan	1,250.00	5.0
COUGAR TRADING	044	GPS	B	10,000	19.58	n/a	29-Feb	500.00	5.0
GALLEON MGMT LP	033	GPS	B	25,000	19.64	n/a	29-Feb	1,250.00	5.0
MS PRINCIPAL STRATEGIES	041	GS	SS	30,000	159.34	n/a	21-Feb	1,500.00	5.0
KENNEDY CAPITAL	046	GT	B	11,365	26.64	n/a	n/a	454.60	4.0
COUGAR TRADING	044	GYMB	B	5,000	40.60	n/a	29-Feb	250.00	5.0
BUCK CAPITAL MGMT	001	HON	SS	10,000	57.83	n/a	25-Jan	400.00	4.0
ARIENCE CAPITAL	036	HOT	B	13,700	49.76	n/a	n/a	685.00	5.0
BRIGHTPOINT CAP	067	HRS	C	25,000	47.90	11-Jan	6-Mar	1,000.00	4.0
OPTIMUM INV ADV	030	HRS	B	32,000	47.77	11-Jan	6-Mar	1,280.00	4.0
EMP ERROR	099	HRS	B	400	49.23	11-Jan	6-Mar	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EMP ERROR	099	HRS	S	400	49.42	11-Jan	6-Mar	0.00	0.0
COUGAR TRADING	044	JCG	B	5,000	42.26	28-Nov	21-Feb	250.00	5.0
COUGAR TRADING	044	JCG	S	5,500	43.04	28-Nov	21-Feb	275.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	50,000	43.06	4-Jan	29-Feb	2,000.00	4.0
COUGAR TRADING	044	JCP	S	10,000	43.47	4-Jan	29-Feb	500.00	5.0
STADIA CAPITAL	035	JCP	B	50,000	43.90	4-Jan	29-Feb	2,000.00	4.0
SANDLER O'NEILL ASSET	031	JPM	B	20,000	37.78	17-Jan	28-Feb	1,000.00	5.0
COUGAR TRADING	044	JWN	B	30,000	35.23	26-Feb	29-Feb	1,500.00	5.0
GALLEON MGMT LP	033	JWN	C	15,000	34.83	26-Feb	29-Feb	750.00	5.0
BLUE HARBOR	036	KFN	B	25,000	11.06	n/a	n/a	1,000.00	4.0
COUGAR TRADING	044	KSS	B	30,000	44.12	4-Jan	29-Feb	1,500.00	5.0
COUGAR TRADING	044	KSS	SS	25,000	43.27	4-Jan	29-Feb	1,250.00	5.0
GAULT	063	LESCK	B	100	0.35	n/a	n/a	0.00	0.0
N STRATTON	014	LFG	S	100	35.00	n/a	n/a	0.00	0.0
LUCHANSKY1	036	LH	C	200	79.36	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	B	10,000	17.17	n/a	15-Feb	400.00	4.0
OPTIMUM INV ADV	030	LNCR	B	5,000	30.96	12-Feb	12-Feb	200.00	4.0
OPTIMUM INV ADV	030	LXK	B	7,500	32.14	n/a	n/a	300.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ARIENCE CAPITAL	036	MCD	SS	25,300	53.44	n/a	24-Apr	1,265.00	5.0
IVORY CAPITAL	034	MOT	B	300,000	10.02	n/a	n/a	15,000.00	5.0
BUCK	015	MS	SS	1,000	40.59	20-Dec	21-Feb	0.00	0.0
LISTED ERR	099	MS	S	7,981	39.80	20-Dec	21-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	M	S	30,000	23.80	7-Feb	29-Feb	1,200.00	4.0
BAYPOINT/PRIMEMOVERS	041	NAVI	B	21,300	2.72	n/a	n/a	639.00	3.0
MORGAN BANK /JPM	012	ORB	B	22,960	23.53	n/a	n/a	688.80	3.0
METROPOLITAN CAPITAL	044	PLCE	B	17,200	20.48	10-Jan	29-Feb	860.00	5.0
CROWLEY	061	PNM	B	500	11.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	B	25,000	58.87	7-Feb	15-Feb	1,000.00	4.0
J L F ASSET MGMT	039	SBUX	SS	599,000	17.61	n/a	24-Apr	23,960.00	4.0
J L F ASSET MGMT	039	SCHW	SS	25,000	19.29	n/a	n/a	1,000.00	4.0
ZOLIDIS	011	SDS	B	300	65.36	n/a	n/a	0.00	0.0
MERRILL ASSET	032	SD	B	37,900	38.63	n/a	n/a	1,705.50	4.5
BUCK CAPITAL MGMT	001	SKX	S	10,000	20.37	n/a	13-Mar	400.00	4.0
CATTANI	041	SLB	S	200	89.35	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	STC	B	12,300	28.14	n/a	n/a	553.50	4.5
N STRATTON	014	TECUA	SS	400	23.77	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TPX	B	10,000	15.88	n/a	n/a	400.00	4.0
ZOLIDIS	011	TUES	C	1,000	4.98	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TWB	S	54,000	28.35	21-Feb	21-Feb	2,160.00	4.0
COUGAR TRADING	044	TWB	B	5,000	28.71	21-Feb	21-Feb	250.00	5.0
CENTURION GLOBAL MGMT LL	041	TZIX	S	8,200	18.62	n/a	n/a	246.00	3.0
BUCK CAPITAL MGMT	001	UA	S	15,000	36.11	n/a	n/a	600.00	4.0
AMATURO	018	UNAOB	B	10	1.15	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	URBN	SS	7,500	29.61	29-Feb	6-Mar	375.00	5.0
GAULT	063	UYG	B	1,000	28.73	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	SS	30,000	67.60	n/a	n/a	1,200.00	4.0
KEEFE BRUYETTE WOODS A	031	WDR	SS	14,200	30.19	21-Nov	6-Mar	710.00	5.0
BUCK	015	WFC	SS	1,200	27.95	n/a	n/a	0.00	0.0
XYLEM GLOBAL	091	WY	S	5,000	62.01	8-Jan	11-Feb	250.00	5.0
ZOLIDIS	011	ZUMZ	SS	1,000	15.33	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

104,785.40

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/05	TRELLUS PARTNERS LP (TRELMMERR)	028	COH	SS	1,488	30.85	24-Oct	24-Jan	74.40 5.0
AO,	03/05	TRELLUS PARTNERS LP (TRELGS)	028	COH	SS	1,488	30.85	24-Oct	24-Jan	74.40 5.0
AO,	03/05	TRELLUS PARTNERS LP (TRELMAIN)	028	COH	SS	17,024	30.85	24-Oct	24-Jan	851.20 5.0
AO,CXL	03/05	RHO CAPITAL MGMT (RHOCBSPB)	041	CPST	S	17,000	2.04	n/a	n/a	510.00 3.0
AO,CRT	03/05	RHO CAPITAL MGMT (RHOCBSPB)	041	CPST	S	17,000	2.04	n/a	n/a	340.00 2.0
AO,CXL	03/05	CATTANI (CATTANI)	041	HRS	B	400	47.70	11-Jan	6-Mar	0.00 0.0
AO,CRT	03/05	CATTANI (CATTANI)	041	HRS	B	400	47.70	11-Jan	6-Mar	0.00 0.0
CRT	03/06	EMP ERROR (EMP ERROR)	099	HRS	S	400	49.42	11-Jan	6-Mar	0.00 0.0
AO,CXL	03/05	LISTED ERR (LISTED ERR)	028	MS	B	8,100	41.47	20-Dec	21-Feb	364.50 4.5
AO,	03/05	LISTED ERR (LISTED ERR)	028	MS	B	8,100	41.47	20-Dec	21-Feb	0.00 0.0
AO,CRT	03/04	WHEELER (WHEELER)	008	QFSII	B	10	2.60	n/a	n/a	0.00 0.0
AO,CXL	03/04	WHEELER (WHEELER)	008	QFSII	B	10	2.60	n/a	n/a	0.00 0.0
AO,CRT	03/04	WHEELER (WHEELER)	008	QFSII	S	30	2.60	n/a	n/a	0.00 0.0
AO,CXL	03/04	WHEELER (WHEELER)	008	QFSII	S	30	2.60	n/a	n/a	0.00 0.0
AO,CRT	03/04	WHEELER (WHEELER)	008	QXWHD	S	50	3.50	n/a	n/a	0.00 0.0
AO,CXL	03/04	WHEELER (WHEELER)	008	QXWHD	S	50	3.50	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										465.50

TOTAL (Trade Date):	104,785.40
TOTAL (AO, CXL, CRT):	465.50

TOTAL (RUN DATE) / NFS:

105,250.90

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION