



Daily Compliance Activity Report

Trade Date: 04/07/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	S	250,000	12.08	n/a	3-Apr	10,000.00	4.0
JENNISON	032	AMAT	B	764,700	10.72	n/a	n/a	28,293.90	3.7
BUCK CAPITAL MGMT	001	ANF	SS	50,000	25.33	n/a	6-Feb	2,000.00	4.0
BUCK CAPITAL MGMT		APP	B	96,000	3.39	n/a	n/a	non-brg	2.0
REINHART & MAHONEY	031	ATI	S	1,900	24.83	n/a	n/a	95.00	5.0
BUCK CAPITAL MGMT		AVP	C	125,000	20.63	25-Feb	30-Mar	non-brg	4.0
BUCK CAPITAL MGMT	001	AZO	B	20,000	156.09	n/a	n/a	800.00	4.0
FRONTPOINT/COPIA-ANDREW	031	BBG	S	28,800	24.06	n/a	n/a	1,008.00	3.5
BENCHMARK	028	BEZ	SS	10,000	16.73	n/a	n/a	500.00	5.0
WAGONHOUND/NIC	048	BGU	S	25,000	24.86	n/a	n/a	750.00	3.0
RAGHAV NAYAR	FID	BGZ	B	17	57.43	n/a	n/a	0.00	0.0
ROYCE TRADING	216	BNE	B	10,000	3.15	n/a	n/a	300.00	3.0
BUCK CAPITAL MGMT		BWA+SC [BWA]	SS	1,000	0.86	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		BWA+SS [BWA]	SS	1,000	1.37	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	BWA	SS	6,000	24.72	12-Mar	19-Mar	300.00	5.0
ß BUCK CAPITAL MGMT		CAB	SS	2,600	10.04	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		CAB	SS	47,400	9.92	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		CAT	SS	100,000	29.88	n/a	27-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		CAT	SS	150,000	29.90	n/a	27-Jan	non-brg	4.0
CAXTON PART	040	CAT	SS	20,000	29.50	n/a	27-Jan	700.01	3.5
DSG CAPITAL	225	CAT	SS	5,000	30.01	n/a	27-Jan	250.00	5.0
ß BUCK CAPITAL MGMT		CBRL	SS	20,000	30.99	n/a	n/a	non-brg	4.0
f CICYLY S BACKUS	FID	CHCGE	B	30000	0.92	n/a	n/a	0.00	0.0
f ROBERT F CROWLEY JR	FID	CMU	B	2500	3.35	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		COH	S	120,000	17.00	9-Jan	3-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		COST	SS	50,000	46.62	n/a	27-Jul	non-brg	4.0
f ROBERT F CROWLEY JR	FID	CXE	B	2500	3.50	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CXJ+QX[CAT]	SS	1,000	0.68	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		DLTR	C	50,000	43.35	10-Feb	6-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		DRI	SS	20,000	35.36	19-Mar	19-Mar	non-brg	4.0
BUCK CAPITAL MGMT	001	EAT	SS	58,000	17.20	n/a	24-Apr	2,320.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
INDEPENDENCE INV	039	EIX	S	105,000	28.58	n/a	29-Jun	4,200.00	4.0
HOLLIS CAPITAL	033	EL	SS	5,000	25.01	1-Apr	1-Apr	250.00	5.0
DIAMONDBACK CAP MGMT	232	EMC	SS	750,000	12.14	n/a	n/a	37,500.00	5.0
f MARC PAUL LUCHANSKY	FID	FDGRX	B	19	48.34	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	FII	S	2,000	22.24	30-Mar	7-Apr	100.00	5.0
REINHART & MAHONEY	031	FMC	S	800	46.28	n/a	n/a	40.00	5.0
ß BUCK CAPITAL MGMT		FMQ+TI [WFMI]	SS	1,000	0.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FO	SS	60,000	31.89	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	FRESX	S	90	10.39	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT	001	GCO	B	20,000	19.64	n/a	10-Jan	800.00	4.0
ß BUCK CAPITAL MGMT		GCO	B	20,000	19.36	n/a	10-Jan	non-brg	1.0
ß BUCK CAPITAL MGMT		GES	S	30,000	20.56	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL+EB [GIL]	SS	1,000	0.35	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GIL	S	200,000	8.66	11-Dec	12-Feb	non-brg	3.0
ß BUCK CAPITAL MGMT		GIL	S	350,000	8.60	11-Dec	12-Feb	non-brg	3.0
ß BUCK CAPITAL MGMT		GIL	S	50,000	8.42	11-Dec	12-Feb	non-brg	1.5
ß BUCK CAPITAL MGMT		GPS+EC [GPS]	SS	2,000	0.62	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PAW PARTNERS	041	GRMN	SS	20,000	21.27	n/a	n/a	1,000.00	5.0
REINHART & MAHONEY	031	HCC	S	1,500	26.11	n/a	n/a	75.00	5.0
CASTLE ARK	031	INTC	S	2,500	15.67	n/a	n/a	150.00	6.0
CASTLE ARK	031	JCI	B	61,700	14.93	13-Mar	27-Mar	3,702.00	6.0
B BUCK CAPITAL MGMT		JOSB	B	40,000	28.56	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	JWN	SS	150,000	17.31	20-Feb	3-Apr	6,000.00	4.0
BMO NESBITT BURNS	041	LPX	S	50,000	2.67	3-Mar	4-Mar	2,500.00	5.0
f ROBERT F CROWLEY JR	FID	MCR	B	3000	7.33	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	MFOCX	S	91	10.95	n/a	n/a	0.00	0.0
BRENCOURT	028	MGA	SS	25,000	32.36	n/a	n/a	1,250.00	5.0
TRELLUS PARTNERS LP	028	MGA	SS	10,000	32.34	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		MHK+QX[MHK]	SS	2,000	0.65	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		MHK	SS	15,000	30.96	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		MHK	SS	85,000	31.68	n/a	n/a	non-brg	2.0
CASTLE ARK	031	MON	S	6,800	78.20	n/a	2-Apr	408.00	6.0
CASTLE ARK	031	MSFT	B	38,200	19.01	n/a	3-Apr	2,292.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HOLDEN CAPITAL MGMT	035	MS	SS	45,000	22.60	18-Dec	6-Apr	2,250.00	5.0
⚡ BUCK CAPITAL MGMT		MW+QC [MW]	SS	1,000	1.15	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		M	S	250,000	9.65	n/a	3-Apr	non-brg	3.0
MTB INV ADV	034	M	S	26,150	9.66	n/a	3-Apr	1,046.00	4.0
BUCK CAPITAL MGMT	001	NKE	S	20,000	50.00	n/a	n/a	800.00	4.0
CASTLE ARK	031	NKE	B	700	50.13	n/a	n/a	42.00	6.0
SANDLER O'NEILL ASSET	031	NTRS	B	50,000	57.27	14-Jan	23-Mar	2,500.00	5.0
CASTLE ARK	031	NUVA	B	200	29.82	n/a	n/a	12.00	6.0
⚡ BUCK CAPITAL MGMT		OMX	B	123,400	3.19	n/a	15-Apr	non-brg	1.0
⚡ BUCK CAPITAL MGMT		OMX	B	130,000	3.18	n/a	15-Apr	non-brg	1.0
⚡ BUCK CAPITAL MGMT		OMX	B	70,000	3.22	n/a	15-Apr	non-brg	1.0
⚡ BUCK CAPITAL MGMT		OMX	B	77,000	3.18	n/a	15-Apr	non-brg	2.0
RESURGENCE ASSET MGMT	070	PH	SS	7,500	36.40	n/a	5-Mar	375.00	5.0
WAGONHOUND/NIC	048	PLCE	SS	16,323	22.08	19-Mar	3-Apr	652.92	4.0
WEINTRAUB CAPITAL MGT.	040	PLCE	B	50,000	22.71	19-Mar	3-Apr	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		PRQ+SD [COST]	SS	1,000	1.16	n/a	n/a	non-brg	150.0
⚡ BUCK CAPITAL MGMT		PRQ+SH [COST]	SS	1,000	1.70	n/a	n/a	non-brg	150.0
f MARC PAUL LUCHANSKY	FID	PTRAX	B	62	10.12	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CASTLE ARK	031	QCOM	B	500	39.99	n/a	n/a	30.00	6.0
Ⓕ BUCK CAPITAL MGMT		QIA+EB[ICON]	SS	1,000	0.77	n/a	n/a	non-brg	100.0
Ⓕ BUCK CAPITAL MGMT		RL	C	10,000	43.35	n/a	5-Feb	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		RMS FP	SS	10,000	114.18	n/a	n/a	non-brg	5.7
Ⓕ BUCK CAPITAL MGMT		ROST	C	150,000	36.68	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		ROST	C	43,500	36.99	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		ROST	C	6,500	37.05	n/a	n/a	non-brg	1.0
SIGMA CAPITAL ASSOCIATES	041	ROST	B	50,000	36.77	n/a	n/a	2,500.00	5.0
CUMBERLAND	038	RYL	B	10,000	15.82	n/a	n/a	400.00	4.0
Ⓕ MARC PAUL LUCHANSKY	FID	SDS	B	1000	73.75	n/a	n/a	0.00	0.0
Ⓕ WILLIAM J GAULT	FID	SKF	B	2000	86.49	n/a	n/a	0.00	0.0
Ⓕ WILLIAM J GAULT	FID	SKF	S	2000	88.31	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		SKX+GU[SKX]	SS	4,000	1.02	n/a	n/a	non-brg	100.0
Ⓕ BUCK CAPITAL MGMT		SKX	B	200,000	7.20	n/a	13-Mar	non-brg	3.0
CASTLE ARK	031	SLB	S	200	43.40	n/a	n/a	12.00	6.0
CASTLE ARK	031	SPLS	B	16,100	18.79	n/a	27-Jul	966.00	6.0
Ⓕ ROBERT F CROWLEY JR	FID	SPRXX	S	50000	1.00	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLE ARK	031	STJ	B	200	34.74	n/a	n/a	12.00	6.0
f CICY S BACKUS	FID	SWN	B	1000	30.50	27-Feb	3-Apr	0.00	0.0
PAW PARTNERS	041	SYMC	B	10,000	16.19	n/a	3-Apr	500.00	5.0
S.A.C. HEALTHCARE BOBBY	044	TEVA	S	25,000	45.20	18-Feb	7-Apr	1,000.00	4.0
SIGMA CAPITAL ASSOCIATES	041	TIF	S	8,100	22.07	n/a	30-Jan	405.00	5.0
BMO NESBITT BURNS	041	TIN	SS	30,000	5.10	5-Dec	20-Mar	1,500.00	5.0
CAXTON PART	040	TIN	S	25,000	5.05	5-Dec	20-Mar	875.00	3.5
JP MORGAN VENTURES	046	URBN	S	25,000	15.39	n/a	30-Jan	1,250.00	5.0
ROYCE TRADING	216	URI	B	10,000	4.16	29-Oct	29-Oct	300.00	3.0
f RAGHAV NAYAR	FID	UTRM	S	870	0.75	n/a	n/a	0.00	0.0
AVESTA CAP	229	WMT	B	25,000	52.83	n/a	6-Apr	1,000.00	4.0
B BUCK CAPITAL MGMT		WRC	S	19,930	24.73	27-Mar	27-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		WRC	S	2,170	24.14	27-Mar	27-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		XRT	C	150,000	23.77	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		ZQK	S	250,900	1.16	12-Mar	12-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		ZQK	S	80,000	1.17	12-Mar	12-Mar	non-brg	2.0
B BUCK CAPITAL MGMT		ZWR+QD [ANF]	SS	2,000	0.75	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		ZWR+TC[ANF]	SS	1,000	0.80	n/a	n/a	non-brg 100.0
TOTAL (Trade Date):							128,511.83	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/06	MILLRACE FUND LP	028	MHP	S	4,123	24.05	n/a	n/a	123.69 3.0
AO,CRT	04/06	MILLRACE FUND LP	028	MHP	S	4,123	24.05	n/a	n/a	164.92 4.0
AO,	04/06	DARUMA ASSET MGMT INC	034	NP	S	50,000	3.55	13-Mar	13-Mar	2500.00 5.0
AO,CRT	04/06	MTB INV ADV	034	PBY	B	90,675	5.10	n/a	n/a	2720.25 3.0
AO,CXL	04/06	MTB INV ADV	034	PBY	B	90,675	5.10	n/a	n/a	4533.75 5.0
AO,CRT	04/02	LISTED ERR	034	PCAR	S	50	27.84	25-Mar	25-Mar	0.00 0.0
AO,CXL	04/02	LISTED ERR	034	PCAR	S	50	27.84	25-Mar	25-Mar	1.50 3.0
TOTAL (AO, CXL, CRT) :										726.23

TOTAL (Trade Date):	128,511.83
TOTAL (AO, CXL, CRT):	726.23

TOTAL (RUN DATE) / NFS:	129,238.06
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MRI Securities with Trade Activity on 7-Apr. NONE
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MRI Securities without Trade Activity on 7-Apr. BEN, EV, IVZ, JNS

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 17%

YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)