

Daily Compliance Activity Report Trade Date: 12/08/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
BUCK CAPITAL MGMT	001	AAP	В	100,000	32.39	n/a	n/a	4,000.00	4.0
AMBER CAPITAL	046	AA	SS	200,000	9.44	n/a	n/a	8,000.00	4.0
BALYASNY ASSET MGMT	044	AB	S	10,000	20.87	10-Sep	2-Dec	500.00	5.0
PIONEER MICHAEL NOLAN	067	AEM	В	35 , 900	31.81	n/a	n/a	1,077.00	3.0
LISTED ERR	099	AIG	В	3,000	1.93	n/a	n/a	0.00	0.0
LISTED ERR	099	AIG	S	3,000	1.93	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	AMG	S	20,000	33.05	13-Nov	2-Dec	1,000.00	5.0
COUGAR TRADING	044	AN	В	5,000	10.08	7-Nov	7-Nov	250.00	5.0
PRINCIPLE CAPITAL	040	AVP	В	86,300	21.43	3-Nov	3-Nov	2,589.00	3.0
CATAPULT	040	AZO	SS	1,200	117.55	n/a	n/a	60.00	5.0
WELLS/STRONG LEDDY	035	BEBE	В	70,200	6.69	n/a	n/a	2,106.00	3.0
AMATURO	018	BGU	В	1,000	36.17	n/a	n/a	0.00	0.0
SACR	044	BR	В	43,000	12.61	n/a	30-Jan	2,150.00	5.0
COUGAR TRADING	044	BWA	В	5,000	24.74	26-Nov	26-Nov	250.00	5.0
BEAR STEARNS ASSET MGMT.	033	CHS	В	60,000	3.20	5-Dec	5-Dec	1,800.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	Shr.	
COUGAR TRADING	044	CMG	В	1,300	57.91	23-Oct	23-0ct	65.00	5.0	
ROSEDALE CAPITAL	046	CMI	S	10,000	24.74	14-Nov	14-Nov	600.00	6.0	
PRINCIPLE CAPITAL	040	СОН	В	46,400	20.95	8-0ct	22-0ct	1,392.00	3.0	
BUCK CAPITAL MGMT	001	cvs	В	150,000	28.08	n/a	n/a	6,000.00	4.0	
LAGRANGE CAP ADV	048	DIG	S	10,000	28.30	n/a	n/a	500.00	5.0	
LAGRANGE CAP ADV	048	DRYS	S	10,000	6.96	n/a	n/a	500.00	5.0	
ROTHSCHILD ASSET	040	EMS	В	5 , 700	30.41	n/a	n/a	256.67	4.5	
PORTER-ORLIN-FELDMAN	044	EQT	S	75 , 000	30.19	n/a	n/a	2,250.00	3.0	
SCOPUS FUND	041	FDO	В	13,900	25.67	5-Dec	5-Dec	695.00	5.0	
BUCK CAPITAL MGMT	001	GCO	s	50,000	16.04	n/a	10-Jan	2,000.00	4.0	
BUCK CAPITAL MGMT	001	GES	В	50,000	15.40	n/a	n/a	2,000.00	4.0	
BARROW HANLEY MEWHINNEY	031	GE	В	2,000,000	18.79	n/a	n/a	80,000.00	4.0	
WAGONHOUND/NIC	048	GIL	В	3,808	14.17	25-Nov	25-Nov	152.32	4.0	
FORWARD MGMT	033	GLD	В	240	76.31	n/a	n/a	12.00	5.0	
CRAMER ROSENTHAL/SCOTT	012	GPS	S	100,000	14.11	n/a	5-Dec	4,000.00	4.0	
	COUGAR TRADING ROSEDALE CAPITAL PRINCIPLE CAPITAL BUCK CAPITAL MGMT LAGRANGE CAP ADV LAGRANGE CAP ADV ROTHSCHILD ASSET PORTER-ORLIN-FELDMAN SCOPUS FUND BUCK CAPITAL MGMT BUCK CAPITAL MGMT BARROW HANLEY MEWHINNEY WAGONHOUND/NIC FORWARD MGMT	COUGAR TRADING 044 ROSEDALE CAPITAL 046 PRINCIPLE CAPITAL 040 BUCK CAPITAL MGMT 001 LAGRANGE CAP ADV 048 ROTHSCHILD ASSET 040 PORTER-ORLIN-FELDMAN 044 SCOPUS FUND 041 BUCK CAPITAL MGMT 001 BUCK CAPITAL MGMT 001 BARROW HANLEY MEWHINNEY 031 WAGONHOUND/NIC 048 FORWARD MGMT 033	COUGAR TRADING 044 CMG ROSEDALE CAPITAL 046 CMI PRINCIPLE CAPITAL 040 COH BUCK CAPITAL MGMT 001 CVS LAGRANGE CAP ADV 048 DIG LAGRANGE CAP ADV 048 DRYS ROTHSCHILD ASSET 040 EMS PORTER-ORLIN-FELDMAN 044 EQT SCOPUS FUND 041 FDO BUCK CAPITAL MGMT 001 GCO BUCK CAPITAL MGMT 001 GES BARROW HANLEY MEWHINNEY 031 GE WAGONHOUND/NIC 048 GIL FORWARD MGMT 033 GLD	COUGAR TRADING 044 CMG B ROSEDALE CAPITAL 046 CMI S PRINCIPLE CAPITAL 040 COH B BUCK CAPITAL MGMT 001 CVS B LAGRANGE CAP ADV 048 DIG S LAGRANGE CAP ADV 048 DRYS S ROTHSCHILD ASSET 040 EMS B PORTER-ORLIN-FELDMAN 044 EQT S SCOPUS FUND 041 FDO B BUCK CAPITAL MGMT 001 GCO S BUCK CAPITAL MGMT 001 GCO S BUCK CAPITAL MGMT 001 GES B WAGONHOUND/NIC 048 GIL B FORWARD MGMT 033 GLD B	COUGAR TRADING 044 CMG B 1,300 ROSEDALE CAPITAL 046 CMI S 10,000 PRINCIPLE CAPITAL 040 COH B 46,400 BUCK CAPITAL MGMT 001 CVS B 150,000 LAGRANGE CAP ADV 048 DIG S 10,000 LAGRANGE CAP ADV 048 DRYS S 10,000 ROTHSCHILD ASSET 040 EMS B 5,700 PORTER-ORLIN-FELDMAN 044 EQT S 75,000 SCOPUS FUND 041 FDO B 13,900 BUCK CAPITAL MGMT 001 GCO S 50,000 BUCK CAPITAL MGMT 001 GES B 50,000 BARROW HANLEY MEWHINNEY 031 GE B 2,000,000 WAGONHOUND/NIC 048 GIL B 3,808 FORWARD MGMT 033 GLD B 240	COUGAR TRADING 044 CMG B 1,300 57.91 ROSEDALE CAPITAL 046 CMI S 10,000 24.74 PRINCIPLE CAPITAL 040 COH B 46,400 20.95 BUCK CAPITAL MGMT 001 CVS B 150,000 28.08 LAGRANGE CAP ADV 048 DIG S 10,000 28.30 LAGRANGE CAP ADV 048 DRYS S 10,000 6.96 ROTHSCHILD ASSET 040 EMS B 5,700 30.41 PORTER-ORLIN-FELDMAN 044 EQT S 75,000 30.19 SCOPUS FUND 041 FDO B 13,900 25.67 BUCK CAPITAL MGMT 001 GCO S 50,000 16.04 BUCK CAPITAL MGMT 001 GES B 50,000 15.40 BARROW HANLEY MEWHINNEY 031 GE B 2,000,000 18.79 WAGONHOUND/NIC 048 GIL B 3,808 14.17 FORWARD MGMT 033 GLD B 240 76.31	COUGAR TRADING 044 CMG B 1,300 57.91 23-Oct ROSEDALE CAPITAL 046 CMI S 10,000 24.74 14-Nov PRINCIPLE CAPITAL 040 COH B 46,400 20.95 8-Oct BUCK CAPITAL MGMT 001 CVS B 150,000 28.08 n/a LAGRANGE CAP ADV 048 DRYS S 10,000 28.30 n/a ROTHSCHILD ASSET 040 EMS B 5,700 30.41 n/a SCOPUS FUND 041 FDO B 13,900 25.67 5-Dec BUCK CAPITAL MGMT 001 GCO S 50,000 16.04 n/a BUCK CAPITAL MGMT 001 GCO S 50,000 16.04 n/a BUCK CAPITAL MGMT 001 GES B 50,000 15.40 n/a MAGONHOUND/NIC 048 GIL B 3,808 14.17 25-Nov FORWARD MGMT 033 GLD B 240 76.31 n/a	COUGAR TRADING 044 CMG B 1,300 57.91 23-Oct 23-Oct ROSEDALE CAPITAL 046 CMI S 10,000 24.74 14-Nov 14-Nov PRINCIPLE CAPITAL 040 COH B 46,400 20.95 8-Oct 22-Oct BUCK CAPITAL MGMT 001 CVS B 150,000 28.08 n/a n/a LAGRANGE CAP ADV 048 DIG S 10,000 28.30 n/a n/a n/a ROTHSCHILD ASSET 040 EMS B 5,700 30.41 n/a n/a PORTER-ORLIN-FELDMAN 044 EQT S 75,000 30.19 n/a n/a SCOPUS FUND 041 FDO B 13,900 25.67 5-Dec 5-Dec BUCK CAPITAL MGMT 001 GCO S 50,000 16.04 n/a 10-Jan BUCK CAPITAL MGMT 001 GES B 50,000 15.40 n/a n/a n/a MAGONHOUND/NIC 048 GIL B 3,808 14.17 25-Nov 25-Nov FORWARD MGMT 033 GLD B 240 76.31 n/a n/a	COUGAR TRADING 044 CMG B 1,300 57.91 23-Oct 23-Oct 65.00 ROSEDALE CAPITAL 046 CMI S 10,000 24.74 14-Nov 14-Nov 600.00 PRINCIPLE CAPITAL 040 COH B 46,400 20.95 8-Oct 22-Oct 1,392.00 BUCK CAPITAL MGMT 001 CVS B 150,000 28.08 n/a n/a 6,000.00 LAGRANGE CAP ADV 048 DTG S 10,000 28.30 n/a n/a 500.00 ROTHSCHILD ASSET 040 EMS B 5,700 30.41 n/a n/a 256.67 PORTER-ORLIN-FELDMAN 044 EQT S 75,000 30.41 n/a n/a 2,250.00 SCOPUS FUND 041 FDO B 13,900 25.67 5-Dec 695.00 BUCK CAPITAL MGMT 001 GCO S 50,000 15.40 n/a 10-Jan 2,000.00 BUCK CAPITAL MGMT 001 GES B 50,000 15.40 n/a n/a 2,000.00 BUCK CAPITAL MGMT 001 GE B 2,000,000 18.79 n/a n/a 2,000.00 MAGONHOUND/NIC 048 CIL B 3,808 14.17 25-Nov 25-Nov 152.32 FORWARD MGMT 033 GLD B 240 76.31 n/a n/a 12.00	COUGAR TRADING 044 CMG B 1,300 57.91 23-Oct 23-Oct 65.00 5.0 ROSEDALE CAPITAL 046 CMI S 10,000 24.74 14-Nov 14-Nov 600.00 6.0 PRINCIPLE CAPITAL 040 COH B 46,400 20.95 8-Oct 22-Oct 1,392.00 3.0 BUCK CAPITAL MGMT 001 CVS B 150,000 28.08 n/a n/a 6,000.00 5.0 LAGRANGE CAP ADV 048 DIG S 10,000 6.96 n/a n/a 500.00 5.0 ROTHSCHILD ASSET 040 EMS B 5,700 30.41 n/a n/a 2,250.00 3.0 SCOPUS FUND 041 FDO B 13,900 25.67 5-Dec 5-Dec 695.00 5.0 BUCK CAPITAL MGMT 001 GES B 50,000 16.04 n/a 10-Jan 2,000.00 4.0 BUCK CAPITAL MGMT 031 GE B 5,000 15.40 n/a n/a 2,000.00 4.0 BARROW HANLEY MEMHINNEY 031 GE B 3,808 14.17 25-Nov 25-Nov 152.32 4.0 FORWARD MGMT 033 GLD B 240 76.31 n/a n/a 10.4 12.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
FORWARD MGMT	033	GSS	В	20,000	0.49	n/a	n/a	400.00	2.0	
BUCK CAPITAL MGMT	001	HAS	В	20,000	28.09	n/a	n/a	800.00	4.0	
BUCK CAPITAL MGMT	001	HBI	В	50,000	14.12	n/a	n/a	2,000.00	4.0	
HEALY CIRCLE	044	HD	S	30,000	24.66	n/a	27-Jul	1,200.00	4.0	
BENNETT LAWRENCE	045	HPQ	В	29,300	34.53	n/a	n/a	1,318.50	4.5	
PORTER-ORLIN-FELDMAN	044	HUN	В	100,000	6.25	n/a	n/a	3,000.00	3.0	
FORWARD MGMT	033	IAG	В	3,000	4.18	n/a	n/a	150.00	5.0	
SEMINOLE CAP	036	IBM	В	150,000	84.73	n/a	n/a	7,500.00	5.0	
BALYASNY ASSET MGMT	044	IVZ	SS	30,000	12.80	13-Nov	2-Dec	1,500.00	5.0	
KARSCH CAPITAL	034	IWM	В	83,200	48.44	n/a	n/a	2,496.00	3.0	
BUCK CAPITAL MGMT	001	JCG	В	40,000	13.38	n/a	2-Dec	1,600.00	4.0	
COUGAR TRADING	044	JCG	S	5,000	13.48	n/a	2-Dec	250.00	5.0	
BENNETT LAWRENCE	045	JCP	С	10,300	20.98	17-Nov	5-Dec	463.50	4.5	
COUGAR TRADING	044	JCP	В	5,000	22.53	17-Nov	5-Dec	250.00	5.0	
CASTLE ARK	031	KSS	В	79 , 270	37.93	n/a	5-Dec	4,756.20	6.0	
PIONEER MICHAEL NOLAN	067	LLY	S	89,400	34.87	n/a	n/a	2,682.00	3.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	Shr.
TRUST CO/COWEN/CALF.	040	LPX	S	524,919	1.72	5-Nov	5-Nov	10,498.38	2.0
CASTLE ARK	031	MCD	SS	550	61.35	13-0ct	8-Dec	33.00	6.0
MAGNETAR FINANCIAL	031	MDT	В	50,000	32.02	n/a	22-Apr	2,000.00	4.0
CATAPULT	040	NDSN	SS	3,700	31.93	n/a	n/a	185.00	5.0
SANDLER O'NEILL ASSET	031	NTRS	S	13,500	51.38	9-0ct	17-Nov	675.00	5.0
WHITE PINE CAPITAL	030	OME	S	4,500	4.02	n/a	n/a	180.00	4.0
PIONEER MICHAEL NOLAN	067	PETS	S	10,000	16.43	n/a	n/a	300.00	3.0
MILLRACE FUND LP	028	PFCB	SS	5,000	20.78	n/a	14-Nov	200.00	4.0
AMATURO	018	PRU	С	2,000	32.01	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PSS	В	50,000	11.01	n/a	n/a	2,000.00	4.0
KARSCH CAPITAL	034	QQQQ	В	136,600	30.36	n/a	n/a	4,098.00	3.0
SCOPUS FUND	041	RL	В	5,000	49.40	n/a	6-Nov	250.00	5.0
SCOPUS FUND	041	ROC	В	5,000	8.43	n/a	n/a	250.00	5.0
LAGRANGE CAP ADV	048	SGEN	В	10,000	8.05	n/a	n/a	500.00	5.0
KIRR MARBACH	030	SOA	В	20,000	5.24	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
KARSCH CAPITAL	034	SPY	В	88 , 700	92.14	n/a	n/a	2,661.00	3.0
BUCK CAPITAL MGMT	001	SYY	В	36,300	22.65	n/a	24-Apr	1,452.00	4.0
BUCK CAPITAL MGMT	001	TGT	SS	75,000	36.55	17-Nov	5-Dec	3,000.00	4.0
COUGAR TRADING	044	TIF	В	5,000	25.27	n/a	2-Dec	250.00	5.0
COUGAR TRADING	044	TWB	S	10,000	4.67	n/a	2-Dec	350.00	3.5
OPPENHEIMER MGMT.	036	Т	В	461,900	29.73	n/a	4-Jan	18,476.00	4.0
SCOPUS FUND	041	VFC	SS	5,000	55.90	n/a	n/a	250.00	5.0
ARAGON GLOBAL	041	VIV	В	54,700	14.62	n/a	n/a	2,735.00	5.0
BARABOO GROWTH	031	VMC	В	3,000	71.61	n/a	n/a	150.00	5.0
DIAMONDBACK CAP MGMT	232	WFC	S	20,000	30.37	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	WMT	В	100,000	58.66	10-0ct	5-Dec	4,000.00	4.0
BUCK CAPITAL MGMT	001	ZQK	s	200,000	1.29	3-Nov	3- Nov	4,000.00	2.0

TOTAL (Trade Date): 215,064.57

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
AO, CXL	12/05	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	CHS	В	200,000	3.19	5-Dec	5-Dec	8000.00	4.0
AO, CRT	12/05	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	CHS	В	200,000	3.19	5-Dec	5-Dec	6000.00	3.0
AO,CXL	12/05	COUGAR TRADING (COUGPB MS)	044	CHS	В	10,000	2.54	5-Dec	5-Dec	300.00	3.0
AO, CRT	12/05	COUGAR TRADING (COUGPB MS)	044	CHS	В	10,000	2.54	5-Dec	5-Dec	200.00	2.0
AO,CXL	12/01	PERRY PARTNERS (PERRGOLDMA)	041	CY	S	100,000	3.57	n/a	n/a	3000.00	3.0
AO,CRT	12/01	PERRY PARTNERS (PERRGOLDMA)	041	CY	S	100,000	3.57	n/a	n/a	5000.00	5.0
AO,	12/05	LISTED ERR (LISTED ERR)	097	EMC	В	400	10.24	n/a	n/a	0.00	0.0
O,CXL	12/05	MTB INV ADV (MTBISMSLEE)	034	TWTC	В	1,150	7.32	n/a	4-Jan	57.50	5.0
O, CRT	12/05	MTB INV ADV (MTBISMSLEE)	034	TWTC	В	1,150	7.32	n/a	4-Jan	46.00	4.0
	10/05	MTB INV ADV (MTBISMCAP)	034	TWTC	В	60,000	7.32	n/a	4-Jan	3000.00	5.0
AO, CXL	12/05	,									

OTAL (RUN DATE) / NFS:	214,353.07
OTAL (AO, CXL, CRT):	-711.50
OTAL (Trade Date):	215,064.57

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION