



# Daily Compliance Activity Report

Trade Date: 06/05/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	500	189.48	n/a	n/a	non-brg	1.0
BLUE HARBOR	036	ACM	B	8,300	32.09	n/a	n/a	415.00	5.0
BUCK CAPITAL MGMT		AEO	B	20,000	17.84	6-Mar	2-Jun	non-brg	1.0
BUCK CAPITAL MGMT		AEO	B	220,000	17.54	6-Mar	2-Jun	non-brg	4.0
SUNOVA CAPITAL	031	AMG	B	10,000	102.84	7-Apr	2-Jun	500.00	5.0
LISTED ERR	099	AMP	S	4,766	46.81	n/a	n/a	0.00	0.0
BLACKSTONE KAILIX ASS.	048	ANF	SS	100,000	70.44	10-Apr	2-Jun	5,000.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	25,000	70.99	10-Apr	2-Jun	1,000.00	4.0
COUGAR TRADING	044	ANF	B	15,000	70.58	10-Apr	2-Jun	750.00	5.0
BUCK CAPITAL MGMT		ANN	SS	2,000	26.56	14-Mar	22-May	non-brg	1.0
KORMAN	003	ANN	SS	5,000	26.62	14-Mar	22-May	0.00	0.0
BUCK	015	ANN	C	2,000	26.30	14-Mar	22-May	0.00	0.0
GEORGE WEISS	034	APA	C	5,000	134.67	7-May	4-Jun	200.00	4.0
GEORGE WEISS	034	APC	C	10,000	77.26	20-Mar	4-Jun	400.00	4.0
BUCK CAPITAL MGMT		ARGN	B	20,000	11.89	n/a	20-May	non-brg	1.0
BUCK CAPITAL MGMT		ARO+GG	SS	2,000	1.06	n/a	n/a	non-brg	150.0
BUCK CAPITAL MGMT		ARO	S	100,000	33.08	28-Apr	2-Jun	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	ARO	SS	25,000	32.89	28-Apr	2-Jun	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BARE</b>	<b>B</b>	<b>108,050</b>	<b>21.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BARE</b>	<b>B</b>	<b>53,950</b>	<b>21.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	034	BBY	SS	5,000	47.54	n/a	27-Jul	200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BJ</b>	<b>B</b>	<b>20,000</b>	<b>40.66</b>	<b>n/a</b>	<b>27-Jul</b>	<b>800.00</b>	<b>4.0</b>
EPOCH INV MGMT	030	BMV	B	1,400	21.56	n/a	n/a	56.00	4.0
EPOCH INV MGMT	030	BSX	B	2,050	13.54	n/a	22-Apr	82.00	4.0
YORK CAPITAL	036	BUD	B	500,000	57.60	n/a	n/a	25,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BWA</b>	<b>SS</b>	<b>25,000</b>	<b>50.56</b>	<b>5-May</b>	<b>5-May</b>	<b>non-brg</b>	<b>4.0</b>
ROYCE TRADING	216	CAB	B	10,000	13.65	n/a	n/a	500.00	5.0
REINHART & MAHONEY	031	CAG	B	8,525	23.94	n/a	n/a	426.25	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>CELG</b>	<b>S</b>	<b>49</b>	<b>63.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	CHTT	B	100,000	61.13	2-Jun	2-Jun	4,000.00	4.0
REINHART & MAHONEY	031	CIEN	S	29,530	29.02	n/a	22-Mar	1,476.50	5.0
<b>KAICHER</b>	<b>064</b>	<b>CLR</b>	<b>S</b>	<b>500</b>	<b>68.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	CMCSK	B	2,800	22.28	n/a	n/a	112.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	COH	B	5,000	36.56	4-Apr	23-Apr	250.00	5.0
SOROS	036	COP	B	83,000	92.32	n/a	n/a	3,320.00	4.0
COUGAR TRADING	044	CPKI	SS	10,000	14.08	n/a	9-May	500.00	5.0
RHO CAPITAL MGMT	041	CSIQ	S	2,200	40.14	n/a	n/a	88.00	4.0
HUNTER GLOBAL INVESTOR	067	CSX	B	45,500	66.54	n/a	n/a	1,820.00	4.0
BANK OF NEW YORK	046	CTRN	B	18,460	24.29	n/a	n/a	738.40	4.0
MAIRS AND POWER INV	030	DCI	S	20,000	51.59	n/a	n/a	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>1,000</b>	<b>134.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
AMBER CAPITAL	046	DFS	SS	300,000	16.69	n/a	n/a	12,000.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>DFS</b>	<b>B</b>	<b>51,544</b>	<b>16.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>DFS</b>	<b>S</b>	<b>51,544</b>	<b>16.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CASTLE ARK	031	DKS	S	98,180	23.51	n/a	23-May	5,890.80	6.0
THIRD POINT MGMT	044	DPS	S	100,000	25.76	n/a	n/a	4,000.00	4.0
WYPER CAPITAL	044	DPS	B	282,400	25.68	n/a	n/a	11,296.00	4.0
AAD CAPITAL	048	DSW	S	50,000	14.60	8-May	30-May	1,500.00	3.0
PRIMECAP MGMT	048	EMC	B	10,000	17.48	n/a	n/a	400.00	4.0
BLUE HARBOR	036	EME	B	8,100	29.63	n/a	n/a	405.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	FNM	C	1,000	26.81	n/a	n/a	0.00	0.0
ZOLIDIS	011	FRED	SS	500	13.20	27-Mar	27-Mar	0.00	0.0
⌘ BUCK CAPITAL MGMT		GES	B	2,000	44.82	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GIII	B	10,000	16.67	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		GIL	B	10,000	29.58	30-Apr	28-May	non-brg	4.0
PRYLUCKI	092	GIL	S	125	29.48	30-Apr	28-May	0.00	0.0
⌘ BUCK CAPITAL MGMT		GM	SS	20,000	16.85	29-Apr	5-Jun	non-brg	4.0
HEALY CIRCLE	044	GM	SS	10,000	17.06	29-Apr	5-Jun	500.00	5.0
PILOT ROCK	028	GM	SS	93,800	17.00	29-Apr	5-Jun	3,752.00	4.0
BLUE HARBOR	036	GVA	S	27,554	36.69	n/a	n/a	1,377.70	5.0
MILLENIUUM PARTNERS	028	GYMB	B	1,600	45.07	3-Jun	3-Jun	80.00	5.0
N STRATTON	014	HEMGF	B	200	4.40	n/a	n/a	0.00	0.0
KAICHER	064	HK	S	1,000	33.75	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	IGT	B	1,250	35.24	n/a	18-Apr	50.00	4.0
MERRILL ASSET	032	ITG	B	20,400	40.72	n/a	n/a	1,020.00	5.0
REINHART & MAHONEY	031	JBX	B	23,310	24.48	n/a	24-Apr	1,165.50	5.0
⌘ BUCK CAPITAL MGMT		JCG	B	2,000	36.47	n/a	30-May	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	034	JCP	SS	7,500	40.32	n/a	2-Jun	300.00	4.0
<b>BUCK</b>	<b>015</b>	<b>JJG</b>	<b>S</b>	<b>1,000</b>	<b>65.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	JNS	B	25,000	29.95	25-Apr	2-Jun	1,250.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>B</b>	<b>50,000</b>	<b>16.85</b>	<b>n/a</b>	<b>1-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>20,000</b>	<b>32.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>25,000</b>	<b>30.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>39,500</b>	<b>29.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>55,000</b>	<b>30.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>55,000</b>	<b>30.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>65,000</b>	<b>32.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
MTB INV ADV	034	JOSB	B	17,200	31.45	n/a	n/a	860.00	5.0
COUGAR TRADING	044	JWN	SS	15,000	35.48	16-May	2-Jun	750.00	5.0
MTB INV ADV	034	JWN	B	31,100	36.01	16-May	2-Jun	1,555.00	5.0
RBC CAPITAL MARKETS	034	JWN	S	15,000	35.73	16-May	2-Jun	600.00	4.0
RBC CAPITAL MARKETS	034	KSS	S	5,000	46.20	n/a	2-Jun	200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>SS</b>	<b>10,000</b>	<b>17.20</b>	<b>n/a</b>	<b>14-May</b>	<b>400.00</b>	<b>4.0</b>
EPOCH INV MGMT	030	LM DIA	B	1,050	26.52	n/a	n/a	42.00	4.0
COUGAR TRADING	044	LTD	S	5,000	18.82	n/a	2-Jun	250.00	5.0
BLUE HARBOR	036	MAS	B	23,600	17.93	n/a	n/a	1,180.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	MCD	SS	15,000	57.94	n/a	2-Jun	750.00	5.0
SANDLER O'NEILL ASSET	031	MER	SS	25,000	40.62	18-Jan	22-May	1,250.00	5.0
EPOCH INV MGMT	030	MSFT	B	2,450	27.75	n/a	3-Apr	98.00	4.0
<b>DEMARTINI</b>	<b>065</b>	<b>MSO</b>	<b>S</b>	<b>800</b>	<b>8.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>OGORMAN</b>	<b>046</b>	<b>MS</b>	<b>B</b>	<b>500</b>	<b>44.20</b>	<b>n/a</b>	<b>22-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>20,000</b>	<b>146.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>14.7</b>
RBC CAPITAL MARKETS	034	M	S	5,000	22.71	7-Feb	2-Jun	200.00	4.0
HARVEST MGMT	039	NKE	B	50,000	69.84	n/a	n/a	2,500.00	5.0
<b>KAICHER</b>	<b>064</b>	<b>NOG</b>	<b>S</b>	<b>2,000</b>	<b>12.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Ted Wheeler</b>		<b>NPO</b>	<b>S</b>	<b>3000</b>	<b>40.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Ted Wheeler</b>		<b>NPO</b>	<b>S</b>	<b>896</b>	<b>40.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WANGER FUNDS/GREENWICH	031	NR	S	22,100	8.12	n/a	n/a	1,105.00	5.0
JP MORGAN VENTURES	046	NTRI	S	20,000	19.58	n/a	n/a	1,000.00	5.0
<b>DEMARTINI</b>	<b>065</b>	<b>NWY</b>	<b>S</b>	<b>5,000</b>	<b>8.68</b>	<b>n/a</b>	<b>22-May</b>	<b>0.00</b>	<b>0.0</b>
BLUE HARBOR	036	OSK	B	5,500	40.59	n/a	n/a	275.00	5.0
BANK OF NEW YORK	046	OTTR	B	11,540	38.44	n/a	n/a	461.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	PAG	SS	42,500	19.42	22-Apr	30-Apr	2,125.00	5.0
CHILTON CAPITAL MGMT.	036	PBCT	S	40,000	16.73	n/a	n/a	1,600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>S</b>	<b>10,000</b>	<b>25.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
MTB INV ADV	034	PII	B	11,300	49.12	n/a	n/a	565.00	5.0
ELM RIDGE ADVISORS	044	PKG	S	60,000	25.73	7-Apr	22-Apr	2,400.00	4.0
JP MORGAN VENTURES	046	PLCE	S	25,000	36.00	3-Jun	3-Jun	1,250.00	5.0
RBC CAPITAL MARKETS	034	PLCE	S	5,000	36.50	3-Jun	3-Jun	200.00	4.0
EPOCH INV MGMT	030	PM	B	10,200	52.14	n/a	n/a	408.00	4.0
MTB INV ADV	034	PSS	B	22,500	12.89	n/a	n/a	1,125.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PSUN</b>	<b>B</b>	<b>116,200</b>	<b>9.14</b>	<b>n/a</b>	<b>2-Jun</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PSUN</b>	<b>B</b>	<b>300,000</b>	<b>9.50</b>	<b>n/a</b>	<b>2-Jun</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PSUN</b>	<b>B</b>	<b>433,800</b>	<b>9.35</b>	<b>n/a</b>	<b>2-Jun</b>	<b>non-brg</b>	<b>4.0</b>
EPOCH INV MGMT	030	RE	B	1,050	87.33	n/a	n/a	42.00	4.0
RBC CAPITAL MARKETS	034	ROST	S	5,000	36.95	n/a	n/a	200.00	4.0
BLUE HARBOR	036	RRR	B	10,500	11.12	n/a	23-May	525.00	5.0
ROYCE TRADING	216	RSC	B	5,000	14.89	n/a	n/a	250.00	5.0
COHE & STEERS	091	RYN	B	50,000	47.13	23-Apr	23-Apr	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	SFD	S	22,005	28.43	n/a	n/a	1,100.25	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>SHOE</b>	<b>SS</b>	<b>100</b>	<b>0.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SSI</b>	<b>B</b>	<b>45,000</b>	<b>14.33</b>	<b>n/a</b>	<b>2-Jun</b>	<b>1,800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SSI</b>	<b>C</b>	<b>15,000</b>	<b>14.40</b>	<b>n/a</b>	<b>2-Jun</b>	<b>non-brg</b>	<b>1.0</b>
MTB INV ADV	034	SSI	B	43,500	14.40	n/a	2-Jun	2,175.00	5.0
EPOCH INV MGMT	030	SUG	B	1,400	26.95	n/a	n/a	56.00	4.0
RBC CAPITAL MARKETS	034	TGT	SS	10,000	53.72	n/a	5-Jun	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TPX</b>	<b>B</b>	<b>10,000</b>	<b>10.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
KEEFE BRUYETTE WOODS A	031	TROW	SS	3,700	60.69	n/a	2-Jun	185.00	5.0
<b>MAGUIRE</b>	<b>039</b>	<b>TUJFF</b>	<b>S</b>	<b>10</b>	<b>3.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	TWB	S	10,000	19.23	17-Mar	22-May	500.00	5.0
REICH AND TANG	067	TWP	S	74,000	13.41	n/a	n/a	3,700.00	5.0
BLUE HARBOR	036	TXI	B	3,900	74.19	n/a	n/a	195.00	5.0
CASTLEROCK	040	TXN	SS	193,100	31.87	n/a	n/a	7,724.00	4.0
PRIMECAP MGMT	048	TXN	B	10,000	31.73	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>UA</b>	<b>B</b>	<b>50,000</b>	<b>35.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BLUE HARBOR	036	URS	B	6,500	48.42	n/a	n/a	325.00	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		USO	SS	16,500	103.47	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		VFC	B	2,000	75.03	n/a	n/a	non-brg	1.0
SOROS	036	VZ	B	287,600	39.12	4-Jan	4-Jan	11,504.00	4.0
WESLEY CAPITAL	246	WB	B	100,000	21.28	n/a	n/a	4,000.00	4.0
CATAPULT	040	WFC	B	5,000	26.99	n/a	n/a	250.00	5.0
EPOCH INV MGMT	030	WMI	B	1,100	37.99	n/a	n/a	44.00	4.0
⚡ BUCK CAPITAL MGMT		WMT	B	120,000	59.74	13-May	5-Jun	non-brg	4.0
RBC CAPITAL MARKETS	034	WMT	B	5,000	59.80	13-May	5-Jun	200.00	4.0
RBC CAPITAL MARKETS	034	WMT	S	2,500	59.20	13-May	5-Jun	100.00	4.0
BUCK CAPITAL MGMT	001	WRC	B	20,000	47.88	13-May	13-May	800.00	4.0
EPOCH INV MGMT	030	WU	B	1,250	23.48	n/a	n/a	50.00	4.0
KAICHER	064	XCO	S	500	28.80	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	XOM	B	206,500	87.21	n/a	n/a	10,325.00	5.0
⚡ BUCK CAPITAL MGMT		XRT	SS	15,100	33.24	n/a	n/a	non-brg	1.0
PRYLUCKI	092	XRT	S	125	33.46	n/a	n/a	0.00	0.0
PERRY PARTNERS	041	YHOO	B	117,600	26.24	n/a	n/a	3,528.00	3.0
COUGAR TRADING	044	ZQK	S	40,000	9.02	5-Feb	30-May	2,000.00	5.0

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**TOTAL (Trade Date):**

**172,399.00**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	06/04	LISTED ERR	099	AMP	B	200	46.79	n/a	n/a	0.00	0.0
AO,	06/04	TRIVIUM CAP MGMT	251	ARW	SS	50,000	31.58	n/a	n/a	2000.00	4.0
AO,CXL	06/04	TRIVIUM CAP MGMT	251	ARW	SS	50,000	31.58	n/a	n/a	2000.00	4.0
AO,	06/04	NWQ INVESTMENT MGMT CO	048	ESL	S	22,800	59.65	n/a	n/a	912.00	4.0
AO,CRT	06/04	PATTEN & PATTEN	067	EWC	S	300	34.35	n/a	n/a	15.00	5.0
AO,CXL	06/04	PATTEN & PATTEN	067	EWC	S	300	34.35	n/a	n/a	15.00	5.0
AO,	06/04	INDEPENDENCE INV	039	GILD	B	233,270	56.35	n/a	n/a	9330.80	4.0
AO,CRT	06/04	STELLIAM INV ADV	040	HOV	S	50,000	7.87	n/a	n/a	1500.00	3.0
AO,CXL	06/04	STELLIAM INV ADV	040	HOV	S	50,000	7.87	n/a	n/a	1500.00	3.0
AO,CXL	06/04	LEDDY	035	LEH	B	500	31.85	1-Apr	22-May	0.00	0.0
AO,CRT	06/04	LEDDY	035	LEH	B	500	31.85	1-Apr	22-May	0.00	0.0
AO,	06/04	ROYCE TRADING	216	NR	S	25,000	7.70	n/a	n/a	1250.00	5.0
AO,CXL	06/04	ROYCE TRADING	216	NR	S	25,000	7.70	n/a	n/a	1250.00	5.0
AO,CRT	06/04	PAW PARTNERS	041	NVDA	B	29,500	23.64	n/a	n/a	1475.00	5.0
AO,CXL	06/04	PAW PARTNERS	041	NVDA	B	29,500	23.64	n/a	n/a	885.00	3.0
AO,	06/04	REINHART & MAHONEY	031	PRGS	B	1,600	30.45	n/a	n/a	80.00	5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>10,912.80</b>	

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TOTAL (Trade Date):	172,399.00
TOTAL (AO, CXL, CRT):	10,912.80

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>183,311.80</b>
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MRI Securities with Trade Activity on 5-Jun. NONE
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MRI Securities without Trade Activity on 5-Jun. CRR, GR
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 7% YTD = 18%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**