

Daily Compliance Activity Report Trade Date: 05/07/2012

POTENTIAL ANALYST TRADE VIOLATIONS: 0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢,	Shr.
õ	May Tang		AAPL	В	3556	2.7000	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	ACE	В	410	75.93	n/a	n/a	16.40	4.0
	BESSEMER INVESTMENT	085	ACE	S	460	75.88	n/a	n/a	18.40	4.0
	COLUMBUS CIRCLE	036	ACIW	В	1,510	39.44	n/a	n/a	60.40	4.0
	BESSEMER INVESTMENT	085	ACN	В	430	60.75	n/a	n/a	17.20	4.0
	COOKBIELER	028	AVP	S	6,200	20.00	n/a	2-May	248.00	4.0
	COOKBIELER	028	AXP	S	1,000	60.06	n/a	7-May	40.00	4.0
	COOKBIELER	028	BAC	S	12,100	7.92	n/a	7-May	484.00	4.0
	COOKBIELER	028	BAX	S	2,800	54.77	n/a	n/a	112.00	4.0
	COOKBIELER	028	ВВҮ	S	6 , 300	20.80	n/a	n/a	252.00	4.0
	COOKBIELER	028	BDX	S	2,100	76.66	n/a	n/a	84.00	4.0
	TUDOR INVESTORS	028	BKE	В	25,000	42.57	n/a	n/a	750.00	3.0
	COOKBIELER	028	BLL	S	2,000	40.74	n/a	n/a	80.00	4.0
	COOKBIELER	028	САН	S	3,400	42.92	n/a	n/a	136.00	4.0
	COOKBIELER	028	CCE	S	7,100	29.06	n/a	n/a	284.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
COOKBIELER	028	CCL	S	4,000	31.89	n/a	n/a	160.00	4.0
WILLIAMS TRADING/FOX POIN	Т025	CECO	SS	112,500	6.80	n/a	n/a	4,500.00	4.0
SENATOR INVESTMENT GROUP	036	CMA	SS	90,000	31.87	n/a	n/a	2,700.00	3.0
BESSEMER INVESTMENT	085	COP	В	265	52.53	n/a	n/a	10.60	4.0
CATAPULT	040	СРВ	В	20,000	34.10	n/a	n/a	800.00	4.0
BESSEMER INVESTMENT	085	CTL	В	440	38.24	n/a	n/a	17.60	4.0
BESSEMER INVESTMENT	085	CVS	В	350	45.37	n/a	n/a	14.00	4.0
COOKBIELER	028	CVX	S	1,500	103.02	n/a	n/a	60.00	4.0
NEUBERGER BERMAN	036	CYBX	В	25,300	38.44	n/a	n/a	1,012.00	4.0
COOKBIELER	028	DGX	S	3,600	57.07	n/a	n/a	144.00	4.0
BESSEMER INVESTMENT	085	EXC	В	415	38.16	n/a	n/a	16.60	4.0
BESSEMER INVESTMENT	085	FCX	В	225	35.90	n/a	n/a	9.00	4.0
SENATOR INVESTMENT GROUP	036	FDO	В	25 , 000	68.25	n/a	7-May	750.00	3.0
BESSEMER INVESTMENT	085	FE	В	430	46.92	n/a	n/a	17.20	4.0
COOKBIELER	028	FISV	S	2,300	67.31	n/a	n/a	92.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
COOKBIELER	028	FLEX	S	25,600	6.65	n/a	n/a	1,024.00	4.0	
RBC CAPITAL MARKETS	040	FL	В	5,000	30.23	n/a	7-Mar	200.00	4.0	
OZ MGMT	040	F	С	72,397	10.64	n/a	2-May	2,171.91	3.0	
OZ MGMT	040	GM	В	100,000	22.31	n/a	4-May	3,000.00	3.0	
RBC CAPITAL MARKETS	040	GNC	В	3,800	37.64	n/a	n/a	152.00	4.0	
COOKBIELER	028	HENOY	S	100	72.20	n/a	n/a	4.00	4.0	
RBC CAPITAL MARKETS	040	НОТ	SS	10,000	57.05	n/a	13-Jun	400.00	4.0	
BASIX	033	HSC	S	36,000	19.68	n/a	4-May	1,080.00	3.0	
COOKBIELER	028	ITW	S	4,100	56.74	n/a	25-Apr	164.00	4.0	
BESSEMER INVESTMENT	085	JNJ	В	240	64.71	n/a	n/a	9.60	4.0	
COOKBIELER	028	JNJ	S	3,900	64.79	n/a	n/a	156.00	4.0	
SIMMONS VENTURES	041	JNY	В	7,500	10.16	n/a	26-Apr	300.00	4.0	
COOKBIELER	028	JPM	S	6,200	41.83	n/a	7-May	248.00	4.0	
COOKBIELER	028	KSS	S	4,600	50.31	n/a	4-May	184.00	4.0	
WEINTRAUB CAPITAL MGT.	269	KSS	S	100,000	50.30	n/a	4-May	4,000.00	4.0	
BESSEMER INVESTMENT	085	LOW	В	775	31.02	n/a	2-May	31.00	4.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
WEINTRAUB CAPITAL MGT.	269	LOW	SS	50,000	30.97	n/a	2-May	2,000.00	4.0
SAGE ASSET MGMT	025	LPX	SS	119,800	9.40	n/a	7-May	5,990.00	5.0
COOKBIELER	028	LRCX	S	5,800	40.34	n/a	n/a	232.00	4.0
RBC CAPITAL MARKETS	040	MAR	В	1,405	39.00	n/a	13-Jun	56.20	4.0
COOKBIELER	028	MCD	S	2,000	95.55	n/a	7-May	80.00	4.0
BESSEMER INVESTMENT	085	МНР	В	355	48.59	n/a	n/a	14.20	4.0
COOKBIELER	028	MMM	S	2,200	88.10	n/a	1-May	88.00	4.0
COOKBIELER	028	MOLXA	S	5 , 700	21.72	n/a	n/a	228.00	4.0
BESSEMER INVESTMENT	085	MRO	В	895	26.66	n/a	n/a	35.80	4.0
BESSEMER INVESTMENT	085	MSFT	В	1,360	30.74	n/a	n/a	54.40	4.0
BESSEMER INVESTMENT	085	MSFT	S	230	30.69	n/a	n/a	9.20	4.0
COOKBIELER	028	MSFT	S	2,800	30.76	n/a	n/a	112.00	4.0
BESSEMER INVESTMENT	085	M	В	575	40.79	n/a	4-May	23.00	4.0
KLEINHEINZ CAP PART	031	М	S	25 , 000	40.58	n/a	4-May	1,250.00	5.0
BESSEMER INVESTMENT	085	NEM	В	470	45.69	n/a	n/a	18.80	4.0
RBC CAPITAL MARKETS	040	NKE	SS	10,000	111.74	n/a	23-Mar	400.00	4.0
PROSPECTOR PARTNERS LLC	036	NP	S	10,000	27.83	n/a	16-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
COOKBIELER	028	NVR	S	100	801.70	n/a	n/a	4.00	4.0
SANDLER CAPITAL	028	OII	S	70,000	48.06	n/a	n/a	3,500.00	5.0
COOKBIELER	028	OMC	S	6,100	51.45	n/a	n/a	244.00	4.0
COLUMBUS CIRCLE	036	PLXS	В	1,167	31.15	n/a	n/a	46.68	4.0
COOKBIELER	028	PM	S	1,600	88.36	n/a	n/a	64.00	4.0
COOKBIELER	028	PNC	S	2,500	65.87	n/a	n/a	100.00	4.0
RBC CAPITAL MARKETS	040	PVH	В	4,300	84.80	n/a	19-Apr	172.00	4.0
COOKBIELER	028	RKT	S	2,100	58.44	n/a	26-Apr	84.00	4.0
COOKBIELER	028	RSG	S	6,200	27.01	n/a	n/a	248.00	4.0
COOKBIELER	028	SCHW	S	11,700	13.50	n/a	n/a	468.00	4.0
COOKBIELER	028	STT	S	6,600	45.15	n/a	7-May	264.00	4.0
BESSEMER INVESTMENT	085	STX	В	1,390	30.51	n/a	n/a	55.60	4.0
KACELA CAPITAL	034	SWK	В	22,000	72.44	n/a	n/a	880.00	4.0
COOKBIELER	028	TEL	S	4,600	34.18	n/a	n/a	184.00	4.0
BESSEMER INVESTMENT	085	TGT	В	395	56.03	n/a	3-May	15.80	4.0
	COOKBIELER SANDLER CAPITAL COOKBIELER COLUMBUS CIRCLE COOKBIELER COOKBIELER RBC CAPITAL MARKETS COOKBIELER COOKBIELER	COOKBIELER 028 SANDLER CAPITAL 028 COOKBIELER 028 COLUMBUS CIRCLE 036 COOKBIELER 028 RBC CAPITAL MARKETS 040 COOKBIELER 028 COOKBIELER 028	COOKBIELER 028 NVR SANDLER CAPITAL 028 OII COOKBIELER 028 OMC COLUMBUS CIRCLE 036 PLXS COOKBIELER 028 PM COOKBIELER 028 PNC RBC CAPITAL MARKETS 040 PVH COOKBIELER 028 RKT COOKBIELER 028 RSG COOKBIELER 028 SCHW COOKBIELER 028 STT BESSEMER INVESTMENT 085 STX KACELA CAPITAL 034 SWK COOKBIELER 028 TEL	COOKBIELER SANDLER CAPITAL COOKBIELER C	COOKBIELER 028 NVR S 100 SANDLER CAPITAL 028 OII S 70,000 COOKBIELER 028 OMC S 6,100 COUMBUS CIRCLE 036 PLXS B 1,167 COOKBIELER 028 PM S 1,600 COOKBIELER 028 PNC S 2,500 RBC CAPITAL MARKETS 040 PVH B 4,300 COOKBIELER 028 RKT S 2,100 COOKBIELER 028 RSG S 6,200 COOKBIELER 028 SCHW S 11,700 COOKBIELER 028 STT S 6,600 BESSEMER INVESTMENT 085 STX B 1,390 KACELA CAPITAL 034 SWK B 22,000 COOKBIELER 028 TEL S 4,600	COOKBIELER 028 NVR S 100 801.70 SANDLER CAPITAL 028 OII S 70,000 48.06 COOKBIELER 028 OMC S 6,100 51.45 COLUMBUS CIRCLE 036 PLXS B 1,167 31.15 COOKBIELER 028 PM S 1,600 88.36 COOKBIELER 028 PM S 2,500 65.87 RBC CAPITAL MARKETS 040 PVH B 4,300 84.80 COOKBIELER 028 RKT S 2,100 58.44 COOKBIELER 028 RSG S 6,200 27.01 COOKBIELER 028 SCHW S 11,700 13.50 COOKBIELER 028 STT S 6,600 45.15 BESSEMER INVESTMENT 085 STX B 1,390 30.51 KACELA CAPITAL 034 SWK B 22,000 72.44 COOKBIELER 028 TEL S 4,600 34.18	COOKBIELER 028 NVR S 100 801.70 n/a SANDLER CAPITAL 02B OII S 70,000 48.06 n/a COOKBIELER 028 OMC S 6,100 51.45 n/a COLUMBUS CIRCLE 036 PLXS B 1,167 31.15 n/a COOKBIELER 028 PM S 1,600 88.36 n/a COOKBIELER 028 PM S 2,500 65.87 n/a RBC CAPITAL MARKETS 040 PVH B 4,300 84.80 n/a COOKBIELER 028 RKT S 2,100 58.44 n/a COOKBIELER 028 RSG S 6,200 27.01 n/a COOKBIELER 028 SCHW S 11,700 13.50 n/a COOKBIELER 028 STT S 6,600 45.15 n/a BESSEMER INVESTMENT 085 STX B 1,390 30.51 n/a KACELA CAPITAL 034 SWK B 22,000 72.44 n/a COOKBIELER 028 TEL S 4,600 34.18 n/a	COOKBIELER 028 NVR S 100 801.70 n/a n/a SANDLER CAPITAL 028 0II S 70,000 48.06 n/a n/a COOKBIELER 028 0MC S 6,100 51.45 n/a n/a COOKBIELER 036 PLXS B 1,167 31.15 n/a n/a COOKBIELER 028 PM S 1,600 88.36 n/a n/a COOKBIELER 028 PM S 2,500 65.87 n/a n/a RBC CAPITAL MARKETS 040 PVH B 4,300 84.80 n/a 19-Apr COOKBIELER 028 RKT S 2,100 58.44 n/a 26-Apr COOKBIELER 028 RSG S 6,200 27.01 n/a n/a COOKBIELER 028 SCHW S 11,700 13.50 n/a n/a COOKBIELER 028 STT S 6,600 45.15 n/a 7-May BESSEMER INVESTMENT 085 STX B 1,390 30.51 n/a n/a KACELA CAPITAL 034 SWK B 22,000 72.44 n/a n/a COOKBIELER 028 TEL S 4,600 34.18 n/a n/a	COCKBIELER 028 NVR S 100 801.70 n/a n/a 4.00 SANDLER CAPITAL 028 OII S 70,000 48.06 n/a n/a 3,500.00 COCKBIELER 028 CMC S 6,100 51.45 n/a n/a 244.00 COLUMBUS CIRCLE 036 PLXS B 1,167 31.15 n/a n/a 46.68 COCKBIELER 028 PM S 1,600 88.36 n/a n/a 64.00 COCKBIELER 028 PM S 2,500 65.87 n/a n/a 100.00 RBC CAPITAL MARKETS 040 FVH B 4,300 84.80 n/a 19-Apr 172.00 COCKBIELER 028 RKT S 2,100 58.44 n/a 26-Apr 84.00 COCKBIELER 028 RSG S 6,200 27.01 n/a n/a 248.00 COCKBIELER 028 STT S 6,600 45.15 n/a 7-May 264.00 BESSEMER INVESTMENT 085 STX B 1,390 30.51 n/a n/a 55.60 KACELA CAPITAL 034 SWK B 22,000 72.44 n/a n/a 880.00 COCKBIELER 028 TEL S 4,600 34.18 n/a 1.04 184.00

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
PROSPECTOR PARTNERS LLC	036	TR	S	5,000	22.45	n/a	n/a	200.00	4.0
RBC CAPITAL MARKETS	040	TUP	SS	5,000	59.32	n/a	n/a	200.00	4.0
RBC CAPITAL MARKETS	040	ULTA	SS	5,000	86.22	n/a	n/a	200.00	4.0
RBC CAPITAL MARKETS	040	VFC	SS	2,200	148.46	n/a	27-Apr	88.00	4.0
DE SHAW	070	VHC	SS	23,200	31.57	n/a	n/a	696.00	3.0
COOKBIELER	028	VOD	S	8,000	27.94	n/a	n/a	320.00	4.0
CAMBIAR CAP	046	WRC	В	49,870	47.80	n/a	4-May	1,994.80	4.0
COOKBIELER	028	WSH	S	3,200	36.18	n/a	n/a	128.00	4.0
BESSEMER INVESTMENT	085	WU	В	1,475	17.76	n/a	n/a	59.00	4.0
COOKBIELER	028	WU	S	12,100	17.68	n/a	n/a	484.00	4.0
SENATOR INVESTMENT GROUP	036	WWW	В	200,000	43.49	n/a	n/a	6,000.00	3.0
BALYASNY ASSET MGMT	044	WY	В	50,000	20.36	n/a	4-May	2,500.00	5.0
COOKBIELER	028	MOX	S	3,100	84.32	n/a	n/a	124.00	4.0
BESSEMER INVESTMENT	085	ZMH	В	1,225	63.59	n/a	n/a	49.00	4.0

TOTAL (Trade Date): 56,374.39

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CRT	05/07	SIMMONS VENTURES (SIMMJPM)	041	JNY	В	7,500	10.16	n/a	26-Apr	300.00	4.0
CRT	05/07	SAGE ASSET MGMT (SAGEJEFF)	025	LPX	SS	119,800	9.40	n/a	7-May	5990.00	5.0
AO,CXL	05/04	SCOPUS FUND (SCOPCS)	041	PVH	В	45,000	87.90	n/a	19-Apr	1800.00	4.0
AO, CRT	05/04	SCOPUS FUND (SCOPCS)	041	PVH	В	44,500	87.90	n/a	19-Apr	1780.00	4.0
AO,CXL	05/04	SCOPUS FUND (SCOPGS)	041	PVH	В	5,000	87.90	n/a	19-Apr	200.00	4.0
AO, CRT	05/04	SCOPUS FUND (SCOPGS)	041	PVH	В	5,500	87.90	n/a	19-Apr	220.00	4.0
CRT	05/07	RBC CAPITAL MARKETS (RBCCMAIN)	040	TUP	SS	5,000	59.32	n/a	n/a	200.00	4.0
TOTAL	(AO,	CXL, CRT):							6,	490.00	

TOTAL (RUN DATE) / NFS:	62,864.39
TOTAL (AO, CXL, CRT):	6,490.00
TOTAL (Trade Date):	56,374.39

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION