



Daily Compliance Activity Report

Trade Date: 12/30/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		AEO	S	110,000	14.68	n/a	3-Dec	non-brg 4.0
BUCK CAPITAL MGMT		AEO	S	80,000	14.73	n/a	3-Dec	non-brg 2.5
BUCK CAPITAL MGMT		ANF	B	74,978	58.13	n/a	3-Dec	non-brg 1.0
BUCK CAPITAL MGMT		ANF	S	30,000	58.03	n/a	3-Dec	non-brg 4.0
BUCK CAPITAL MGMT		ANF	S	74,978	58.13	n/a	3-Dec	non-brg 1.0
PATTEN & PATTEN	025	APC	B	845	75.53	n/a	14-Dec	42.25 5.0
BUCK CAPITAL MGMT		BID	B	315,900	45.26	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		BID	S	315,900	45.26	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		BIG	B	310,200	30.48	n/a	7-Dec	non-brg 1.0
BUCK CAPITAL MGMT		BIG	S	310,200	30.48	n/a	7-Dec	non-brg 1.0
BUCK CAPITAL MGMT		BONT	B	128,996	12.39	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		BONT	S	128,996	12.39	n/a	n/a	non-brg 1.0
RBC CAPITAL MARKETS	040	CAKE	S	15,000	31.05	n/a	2-Dec	600.00 4.0
MOORE CAPITAL	028	CAR	SS	5,000	15.32	n/a	n/a	200.00 4.0
BUCK CAPITAL MGMT		CBK	B	30,000	6.34	n/a	n/a	non-brg 3.0
BALYASNY ASSET MGMT	044	CHS	S	30,000	12.00	n/a	5-Feb	1,500.00 5.0
BUCK CAPITAL MGMT		CSTR	B	30,000	56.53	n/a	n/a	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		DAL	B	25,000	12.46	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DAN	B	285,500	17.07	n/a	29-Oct	non-brg	1.0
⌘ BUCK CAPITAL MGMT		DAN	S	285,500	17.07	n/a	29-Oct	non-brg	1.0
⌘ BUCK CAPITAL MGMT		DBRN	B	959,780	26.95	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		DBRN	S	959,780	26.95	n/a	n/a	non-brg	1.0
LAGRANGE CAP ADV	048	DHX	SS	10,000	14.45	n/a	n/a	500.00	5.0
f REUBEN D DVORETSKY	FID	DIG	B	130	45.52	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GES	B	106,200	48.06	n/a	24-Nov	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GES	S	106,200	48.06	n/a	24-Nov	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HAL	B	137,000	41.00	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		HAL	S	137,000	41.00	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JACK	B	20,000	21.44	n/a	n/a	non-brg	4.0
SAC CHICAGO ANDREW	031	JCP	SS	10,000	32.44	n/a	30-Nov	350.00	3.5
⌘ BUCK CAPITAL MGMT		JOSB	B	379,450	40.81	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JOSB	S	379,450	40.81	n/a	n/a	non-brg	1.0
1798 CAP/LOMBARD ASSET	040	JOYG	B	5,000	87.38	n/a	16-Dec	250.00	5.0
f DAVID GEORGE BUCK	FID	JPM	B	1015	22.84	n/a	17-Dec	0.00	0.0
⌘ BUCK CAPITAL MGMT		JWN	B	301,400	42.22	n/a	30-Nov	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		JWN	S	301,400	42.22	n/a	30-Nov	non-brg	1.0
RBC CAPITAL MARKETS	040	KFT	B	50,000	31.49	n/a	n/a	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		KNX	B	52,100	19.27	n/a	n/a	non-brg	4.0
LEVIN CAPITAL STRATEGIES	012	LEA	B	300	96.75	n/a	29-Oct	9.00	3.0
⌘ BUCK CAPITAL MGMT		LTD	B	374,000	31.02	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LTD	S	374,000	31.02	n/a	n/a	non-brg	1.0
SCOPUS FUND	041	MSM	B	50,000	65.87	n/a	n/a	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		OXM	B	30,000	26.37	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PAG	B	296,200	17.33	n/a	25-Oct	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PAG	S	296,200	17.33	n/a	25-Oct	non-brg	1.0
LAGRANGE CAP ADV	048	PAG	S	900	17.49	n/a	25-Oct	45.00	5.0
⌘ BUCK CAPITAL MGMT		PCAR	B	22,900	57.42	n/a	23-Nov	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PCAR	S	22,900	57.42	n/a	23-Nov	non-brg	1.0
RBC CAPITAL MARKETS	040	PFCB	B	20,000	49.28	n/a	2-Dec	800.00	4.0
MAIRS AND POWER INV	030	PFE	S	40,000	17.50	n/a	n/a	2,000.00	5.0
LISTED ERR	099	PKG	B	10,000	25.98	n/a	15-Dec	0.00	0.0
LISTED ERR	099	PKG	S	10,000	25.90	n/a	15-Dec	0.00	0.0
⌘ BUCK CAPITAL MGMT		PSS	SS	20,000	20.96	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		ROST	SS	50,000	63.60	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SODA	B	30,000	31.68	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	SPLS	B	15,000	22.95	n/a	n/a	600.00	4.0
1798 CAP/LOMBARD ASSET	040	SWC	B	15,000	21.51	n/a	n/a	750.00	5.0
ß BUCK CAPITAL MGMT		SWFT	B	81,500	12.36	n/a	n/a	non-brg	4.0
METROPOLITAN CAPITAL	044	TEX	S	22,300	31.08	n/a	22-Oct	1,115.00	5.0
f JOHN BARTMAN COLE	FID	TMBCF	S	2500	4.09	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		UAL	B	45,000	23.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	B	225,590	36.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		URBN	S	225,590	36.38	n/a	n/a	non-brg	1.0
f JOHN BARTMAN COLE	FID	VRS	S	2500	3.39	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WERN	B	20,000	22.72	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WERN	B	20,000	22.72	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WERN	B	24,200	22.71	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WERN	B	24,200	22.71	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WRC	S	8,895	55.52	n/a	9-Nov	non-brg	4.0
BMO NESBITT BURNS	041	WY	S	12,961	18.96	n/a	29-Oct	1,296.10	10.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	WY	B	1,100	18.94	n/a	29-Oct	55.00	5.0
1798 CAP/LOMBARD ASSET	040	XLU	SS	20,000	31.35	n/a	n/a	1,000.00	5.0
TOTAL (Trade Date) :								15,112.35	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,	12/29	BUCK CAPITAL MGMT	001	M	S	9,900	25.26	n/a 30-Nov	297.00 3.0
AO,CRT	12/29	BUCK CAPITAL MGMT	001	M	S	100	25.26	n/a 30-Nov	3.00 3.0
AO,CXL	12/29	BUCK CAPITAL MGMT	001	M	S	10,000	25.26	n/a 30-Nov	300.00 3.0
TOTAL (AO, CXL, CRT):									0.00

TOTAL (Trade Date):	15,112.35
TOTAL (AO, CXL, CRT):	0.00

TOTAL (RUN DATE) / NFS:	15,112.35
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MRI Securities with Trade Activity on 30-Dec.
NONE

MRI Securities without Trade Activity on 30-Dec.
ASH

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 11%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)