



Daily Compliance Activity Report

Trade Date: 07/02/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		AAPL	B	3,200	589.52	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		AAPL	S	100	590.59	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		ABG	B	200	24.18	n/a	27-Apr	non-brg 2.0
BUCK CAPITAL MGMT		ABG	S	3,200	24.10	n/a	27-Apr	non-brg 2.0
BUCK CAPITAL MGMT		AEO	B	200	20.10	n/a	2-Dec	non-brg 2.0
BUCK CAPITAL MGMT		AEO	B	30,000	19.88	n/a	2-Dec	non-brg 4.0
BUCK CAPITAL MGMT		AEO	B	35,900	20.24	n/a	2-Dec	non-brg 2.0
BUCK CAPITAL MGMT		AEO	S	1,500	20.22	n/a	2-Dec	non-brg 2.0
BUCK CAPITAL MGMT		AEO	S	6,900	20.10	n/a	2-Dec	non-brg 2.0
ROYCE TRADING	031	AEO	S	12,600	20.13	n/a	2-Dec	630.00 5.0
FRONTIER	029	ALTR	B	464,870	33.86	n/a	n/a	18,594.80 4.0
BUCK CAPITAL MGMT		ALV	B	100	54.61	n/a	20-Jun	non-brg 2.0
BUCK CAPITAL MGMT		ALV	S	1,300	54.65	n/a	20-Jun	non-brg 2.0
BUCK CAPITAL MGMT		ANN	B	9,400	25.60	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		ANN	S	400	25.67	n/a	n/a	non-brg 2.0
WEINTRAUB CAPITAL MGT.	269	AN	B	50,000	36.38	n/a	26-Apr	2,000.00 4.0
BUCK CAPITAL MGMT		APC	B	200	65.33	n/a	2-Jul	non-brg 2.0
BUCK CAPITAL MGMT		APC	S	5,100	65.31	n/a	2-Jul	non-brg 2.0
BUCK CAPITAL MGMT		ASNA	B	24,200	18.61	n/a	n/a	non-brg 2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß	BUCK CAPITAL MGMT		ASNA	S	1,000	18.63	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		AXL	B	100	10.29	n/a	7-Jun	non-brg	2.0
ß	BUCK CAPITAL MGMT		AXL	S	3,900	10.24	n/a	7-Jun	non-brg	2.0
ß	BUCK CAPITAL MGMT		AXP	B	100	58.73	n/a	28-Jun	non-brg	2.0
ß	BUCK CAPITAL MGMT		AXP	S	800	58.74	n/a	28-Jun	non-brg	2.0
ß	BUCK CAPITAL MGMT		BAC	B	2,100	8.07	n/a	2-Jul	non-brg	2.0
ß	BUCK CAPITAL MGMT		BAC	S	36,000	8.06	n/a	2-Jul	non-brg	2.0
ß	BUCK CAPITAL MGMT		BC IM	B	7,100	13.95	n/a	n/a	non-brg	2.1
ß	BUCK CAPITAL MGMT		BC IM	S	3,900	13.93	n/a	n/a	non-brg	2.1
ß	BUCK CAPITAL MGMT		BHI	C	3,700	40.76	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BID	C	4,500	33.61	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BID	SS	500	33.64	n/a	n/a	non-brg	2.0
f	ALEXANDRA ELISE ZOLI	FID	BKF	S	165	35.81	n/a	n/a	0.00	0.0
f	JOHN MICHAEL ZOLIDIS	FID	BKF	S	85	35.80	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		BODY	B	25,600	9.17	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BODY	S	1,100	9.06	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BONT	B	35,800	8.31	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BONT	B	600	8.21	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BONT	S	4,200	8.23	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BONT	S	50,000	8.29	n/a	n/a	non-brg	3.0
ß	BUCK CAPITAL MGMT		BONT	S	50,000	8.29	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
UNITED STATES PENSION	085	BR	S	50,000	21.37	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		BYD	B	2,100	7.19	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		BYD	S	34,600	7.13	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CAR	B	1,100	15.01	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CAR	S	15,400	14.95	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CELG	S	2,600	64.31	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CLH	C	2,500	56.57	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CMG	B	1,700	381.26	n/a	20-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		CMG	S	200	381.19	n/a	20-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		CNC	S	2,300	30.35	n/a	n/a	non-brg	2.0
WCG MANAGEMENT	044	CNW	SS	15,000	35.23	n/a	n/a	450.00	3.0
ß BUCK CAPITAL MGMT		COF	B	200	54.26	n/a	28-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		COF	S	5,500	54.14	n/a	28-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		COH	C	400	59.18	n/a	9-May	non-brg	2.0
ß BUCK CAPITAL MGMT		COH	SS	7,100	58.95	n/a	9-May	non-brg	2.0
ß BUCK CAPITAL MGMT		COST	B	2,500	95.38	n/a	n/a	non-brg	2.0
FRONTIER	029	CRK	S	45,520	15.72	n/a	n/a	1,820.80	4.0
ß BUCK CAPITAL MGMT		CROX	B	27,000	15.96	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CROX	S	1,200	15.97	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CROX	S	100	15.97	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		C	B	700	27.33	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		C	S	10,100	27.22	n/a	2-Jul	non-brg	2.0
SANDLER O'NEILL ASSET	028	C	B	10,500	27.10	n/a	2-Jul	525.00	5.0
ß BUCK CAPITAL MGMT		DAL	B	1,400	11.03	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DAL	S	24,700	11.04	n/a	n/a	non-brg	2.0
f EDWARD W WHEELER	FID	DAN	B	183	0.45	n/a	26-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		DDS	SS	40,000	63.34	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DFS	B	400	34.58	n/a	28-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		DFS	S	7,000	34.58	n/a	28-Jun	non-brg	2.0
f CICILY S BACKUS	FID	DFZ	S	1000	13.38	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DG	B	11,400	54.87	n/a	27-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		DG	S	500	54.86	n/a	27-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		DHI	B	700	18.27	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DHI	C	3,200	18.28	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DHI	SS	400	18.28	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DHI	S	13,600	18.28	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DIA	C	11,200	127.99	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DIA	SS	500	128.02	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DO	C	2,600	59.20	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DO	SS	400	59.22	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DPZ	C	300	30.86	n/a	2-May	non-brg	2.0
ß BUCK CAPITAL MGMT		DPZ	SS	6,700	30.71	n/a	2-May	non-brg	2.0
ß BUCK CAPITAL MGMT		DSW	B	8,100	54.76	n/a	11-Feb	non-brg	2.0
ß BUCK CAPITAL MGMT		DSW	S	300	54.85	n/a	11-Feb	non-brg	2.0
ß BUCK CAPITAL MGMT		DVN	S	2,900	57.30	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		ESV	B	300	47.04	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		ESV	S	5,100	46.61	n/a	n/a	non-brg	2.0
VERITION	028	FAST	SS	10,000	39.07	n/a	n/a	300.00	3.0
ß BUCK CAPITAL MGMT		FBHS	B	400	22.78	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		FBHS	S	6,500	22.83	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		FDO	B	200	67.82	n/a	29-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FDO	S	400	67.87	n/a	29-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FINL	B	25,800	20.78	n/a	29-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FINL	B	600	20.34	n/a	29-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FINL	S	1,100	20.83	n/a	29-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FINL	S	14,300	20.28	n/a	29-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FL	B	100,000	30.75	n/a	18-May	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	B	40,500	30.67	n/a	18-May	non-brg	2.0
ß BUCK CAPITAL MGMT		FL	B	500	30.68	n/a	18-May	non-brg	2.0
ß BUCK CAPITAL MGMT		FL	S	1,700	30.78	n/a	18-May	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FL	S	9,400	30.69	n/a	18-May	non-brg	2.0
ß BUCK CAPITAL MGMT		FOR	B	700	12.67	n/a	11-May	non-brg	2.0
ß BUCK CAPITAL MGMT		FOR	S	16,300	12.38	n/a	11-May	non-brg	2.0
ß BUCK CAPITAL MGMT		FOSL	B	1,000	76.81	n/a	n/a	non-brg	2.0
f CHRISTOPHER ROBERT C	FID	FSAVX	B	138	32.68	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	FSHOX	B	94	42.33	n/a	n/a	0.00	0.0
OZ MGMT	040	F	C	20,054	9.40	n/a	2-Jul	601.62	3.0
ß BUCK CAPITAL MGMT		GCO	B	24,200	59.79	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GCO	S	1,000	59.88	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GCO	S	2,200	59.30	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GIII	B	37,700	24.29	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GIII	S	1,600	24.07	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GIII	S	2,300	24.24	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GIL	B	58,700	27.22	n/a	12-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		GIL	B	600	26.95	n/a	12-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		GIL	S	100	27.03	n/a	12-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	S	2,600	27.23	n/a	12-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		GIL	S	29,900	26.99	n/a	12-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	S	40,000	26.93	n/a	12-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	S	7,400	26.78	n/a	12-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		GM	B	200	19.48	n/a	2-Jul	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		GM	S	3,800	19.43	n/a	2-Jul	non-brg	2.0
OZ MGMT	040	GM	C	69,024	19.47	n/a	2-Jul	2,070.72	3.0
ß BUCK CAPITAL MGMT		GNC	B	100	40.28	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GNC	B	3,700	40.28	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GNC	S	200	40.39	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GPS 1 P15	SS	100	0.78	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GPS	B	14,200	27.53	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GPS	B	300	27.53	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GPS	S	1,700	27.52	n/a	n/a	non-brg	2.0
NEUBERGER BERMAN	036	GRC	B	3,300	29.73	n/a	n/a	132.00	4.0
ß BUCK CAPITAL MGMT		GS	B	300	96.06	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		GS	S	1,300	95.85	n/a	2-Jul	non-brg	2.0
WCG MANAGEMENT	044	GT	S	60,000	11.37	n/a	n/a	1,800.00	3.0
ß BUCK CAPITAL MGMT		HAIN	B	10,000	54.95	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HAIN	B	8,800	55.05	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HAIN	S	300	55.08	n/a	n/a	non-brg	2.0
f JONATHAN RICHARD HAR	FID	HAIN	S	56	54.95	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		HAL	B	200	28.63	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HAL	S	6,600	28.65	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HAS	C	300	34.19	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		HAS	SS	100	34.22	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		HAS	SS	3,500	34.23	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		HBI	B	1,100	28.01	n/a	25-May	non-brg 2.0
ß BUCK CAPITAL MGMT		HBI	B	300	27.96	n/a	25-May	non-brg 2.0
ß BUCK CAPITAL MGMT		HBI	B	59,300	27.94	n/a	25-May	non-brg 2.0
ß BUCK CAPITAL MGMT		HBI	S	6,000	27.91	n/a	25-May	non-brg 2.0
ß BUCK CAPITAL MGMT		HBI	S	7,100	27.89	n/a	25-May	non-brg 2.0
ß BUCK CAPITAL MGMT		HIBB	C	100	57.98	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		HIBB	SS	200	58.00	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		HLF	B	1,400	48.74	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		HLF	S	100	48.83	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		HOS	C	2,700	38.57	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		HOS	SS	200	38.61	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		HOT	B	4,100	53.48	n/a	13-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		HOT	S	100	53.68	n/a	13-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		HTZ	B	1,200	12.67	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		HTZ	S	23,900	12.65	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		IJH	C	6,000	94.20	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		IJH	SS	100	94.21	n/a	n/a	non-brg 2.0
PERRY PARTNERS	085	IPG	SS	20,000	10.93	n/a	n/a	1,000.00 5.0
ß BUCK CAPITAL MGMT		IP	B	600	28.56	n/a	2-Jul	non-brg 2.0
ß BUCK CAPITAL MGMT		IP	S	8,800	28.55	n/a	2-Jul	non-brg 2.0

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BUCK CAPITAL MGMT		IR	B	200	42.09	n/a	20-Jun	non-brg	2.0
BUCK CAPITAL MGMT		IR	S	2,700	42.10	n/a	20-Jun	non-brg	2.0
WCG MANAGEMENT	044	IR	B	12,000	42.19	n/a	20-Jun	360.00	3.0
CONIFER	025	IVV	B	2,300	136.81	n/a	n/a	92.00	4.0
BUCK CAPITAL MGMT		IWM	C	200	79.81	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		IWM	SS	4,500	79.89	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		IWO	C	29,200	91.46	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		IWO	SS	1,800	91.49	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		JCP	C	300	23.11	n/a	2-Jul	non-brg	2.0
BUCK CAPITAL MGMT		JCP	SS	100,000	22.80	n/a	2-Jul	non-brg	0.8
BUCK CAPITAL MGMT		JCP	SS	6,600	22.97	n/a	2-Jul	non-brg	2.0
BUCK CAPITAL MGMT		JCP	SS	65,000	22.80	n/a	2-Jul	non-brg	0.8
LOCUST WOOD CAPITAL MGMT	044	JCP	S	50,000	22.95	n/a	2-Jul	2,000.00	4.0
BUCK CAPITAL MGMT		JNY	B	37,500	9.82	n/a	26-Apr	non-brg	2.0
BUCK CAPITAL MGMT		JNY	B	50,000	9.95	n/a	26-Apr	non-brg	3.0
BUCK CAPITAL MGMT		JNY	S	1,600	9.72	n/a	26-Apr	non-brg	2.0
BUCK CAPITAL MGMT		JNY	S	100	9.72	n/a	26-Apr	non-brg	2.0
BUCK CAPITAL MGMT		JPCMSBCM	C	1,400	107.46	n/a	n/a	non-brg	8.6
BUCK CAPITAL MGMT		JPM	B	400	35.85	n/a	2-Jul	non-brg	2.0
BUCK CAPITAL MGMT		JPM	S	4,800	35.85	n/a	2-Jul	non-brg	2.0
BUCK CAPITAL MGMT		JWN	B	110,000	50.19	n/a	28-Jun	non-brg	4.0

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ß BUCK CAPITAL MGMT		JWN	B	3,400	50.10	n/a	28-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		JWN	B	95,000	50.25	n/a	28-Jun	non-brg 4.0
ß BUCK CAPITAL MGMT		JWN	S	100	49.99	n/a	28-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		KORS	B	14,400	42.84	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		KORS	S	700	42.91	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		KR	C	300	22.94	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		KR	SS	6,800	22.93	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		KSS	B	100	45.26	n/a	28-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		KSS	S	2,600	45.18	n/a	28-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		LEN	B	400	30.35	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		LEN	C	500	30.35	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		LEN	SS	800	30.38	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		LEN	S	6,400	30.38	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		LLY	B	100	43.02	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		LLY	S	3,900	43.02	n/a	n/a	non-brg 2.0
BESSEMER INVESTMENT	085	LNCR	S	4,666	41.33	n/a	n/a	186.64 4.0
ß BUCK CAPITAL MGMT		LPNT	S	1,000	40.79	n/a	n/a	non-brg 2.0
COLUMBUS CIRCLE	036	LQDT	B	1,176	46.69	n/a	n/a	47.04 4.0
NEUBERGER BERMAN	036	MANH	B	13,700	45.42	n/a	n/a	548.00 4.0
ß BUCK CAPITAL MGMT		MCD	C	300	88.29	n/a	8-Jun	non-brg 2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		MCD	SS	20,000	87.92	n/a	8-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		MCD	SS	20,000	88.10	n/a	8-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		MCD	SS	9,100	88.28	n/a	8-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		MDY	C	2,500	171.53	n/a	n/a	non-brg	2.0
f EDWARD W WHEELER	FID	MET	B	150	0.15	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MFRM	B	100	30.33	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		MFRM	B	6,000	30.33	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		MFRM	S	300	30.34	n/a	n/a	non-brg	2.0
BRONSON POINT	036	MNST	SS	10,000	73.37	n/a	n/a	300.00	3.0
ß BUCK CAPITAL MGMT		MSMSBCM	C	50,000	107.84	n/a	n/a	non-brg	8.6
ß BUCK CAPITAL MGMT		MSMSBCM	SS	50,000	107.40	n/a	n/a	non-brg	8.6
ß BUCK CAPITAL MGMT		MSMSBCM	SS	66,300	107.55	n/a	n/a	non-brg	8.6
ß BUCK CAPITAL MGMT		MS	B	500	14.62	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		MS	S	11,400	14.60	n/a	2-Jul	non-brg	2.0
WCG MANAGEMENT	044	MTW	S	40,000	11.26	n/a	13-Jun	1,200.00	3.0
ß BUCK CAPITAL MGMT		MYL	C	1,000	21.68	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		M	B	37,700	34.51	n/a	28-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		M	B	400	34.01	n/a	28-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		M	S	1,600	34.48	n/a	28-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		M	S	50,000	33.99	n/a	28-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		M	S	6,900	33.93	n/a	28-Jun	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		NWY	B	34,900	3.54	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		NWY	B	700	3.52	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		NWY	S	3,600	3.50	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		PAG	B	300	21.48	n/a	26-Apr	non-brg 2.0
ß BUCK CAPITAL MGMT		PAG	S	3,700	21.44	n/a	26-Apr	non-brg 2.0
ß BUCK CAPITAL MGMT		PFE	B	100	22.93	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		PFE	S	3,900	22.93	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		PG	C	100	61.10	n/a	20-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		PG	SS	1,300	61.03	n/a	20-Jun	non-brg 2.0
BRONSON POINT	036	PH	C	5,000	75.81	n/a	13-Jun	150.00 3.0
ß BUCK CAPITAL MGMT		PLCE	B	7,900	49.88	n/a	5-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		PLCE	S	2,000	50.06	n/a	5-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		PLCE	S	400	49.84	n/a	5-Jun	non-brg 2.0
ß BUCK CAPITAL MGMT		PP FP	SS	300	143.71	n/a	n/a	non-brg 21.6
ß BUCK CAPITAL MGMT		PSMT	B	5,800	67.38	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		PSMT	S	300	67.42	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		PVH	B	12,800	78.06	n/a	25-May	non-brg 2.0
ß BUCK CAPITAL MGMT		PVH	B	20,000	78.36	n/a	25-May	non-brg 4.0
ß BUCK CAPITAL MGMT		PVH	B	20,000	78.45	n/a	25-May	non-brg 4.0
ß BUCK CAPITAL MGMT		PVH	S	3,500	78.43	n/a	25-May	non-brg 2.0
ß BUCK CAPITAL MGMT		PVH	S	600	78.13	n/a	25-May	non-brg 2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		RGC	B	200	13.90	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RGC	B	8,400	13.90	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RGC	S	1,000	13.86	n/a	n/a	non-brg	2.0
NEWLAND CAPITAL MGT	025	RKT	S	10,000	54.10	n/a	2-Jul	400.00	4.0
ß BUCK CAPITAL MGMT		RLJAU	B	100	10.33	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RLJAU	B	8,900	10.31	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RLJAU	S	1,000	10.12	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RLJA	B	10,600	9.93	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RLJA	B	200	9.92	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RLJA	S	1,300	9.89	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RL	C	400	140.19	n/a	23-May	non-brg	2.0
ß BUCK CAPITAL MGMT		RL	SS	10,000	139.36	n/a	23-May	non-brg	4.0
ß BUCK CAPITAL MGMT		RL	SS	8,100	140.29	n/a	23-May	non-brg	2.0
ß BUCK CAPITAL MGMT		RUSHA	B	500	16.16	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RUSHA	S	4,200	16.11	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RYL	B	200	25.36	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RYL	S	7,400	25.30	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		R	B	100	35.31	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		R	S	6,100	35.06	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SBH	B	6,700	25.91	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SBH	S	300	25.91	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f EDWARD W WHEELER	FID	SCSC	S	150	1.05	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SCSS	B	300	20.61	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SCSS	B	40,400	20.76	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SCSS	S	1,700	20.91	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SCSS	S	4,300	20.61	n/a	n/a	non-brg	2.0
f JONATHAN RICHARD HAR	FID	SDS	S	860	15.66	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SHOO	B	10,000	31.64	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		SHOO	B	2,100	31.87	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SHOO	S	100	31.87	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SIG	B	12,500	44.91	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SIG	S	2,400	44.76	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SIG	S	600	44.64	n/a	n/a	non-brg	2.0
f JONATHAN RICHARD HAR	FID	SIMO	S	295	14.14	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKS	C	1,900	10.71	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SKS	SS	20,000	10.99	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKS	SS	44,400	10.64	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SKUL	B	13,200	14.61	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SKUL	S	50,000	14.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKUL	S	500	14.36	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SLB	B	100	65.02	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SLB	S	2,600	65.10	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SLM	B	1,400	15.86	n/a	18-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		SLM	S	22,400	15.83	n/a	18-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		SLXP	C	2,200	55.29	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		SLXP	SS	300	55.34	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		SPY	C	1,200	135.84	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SPY	C	100	136.48	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SPY	SS	2,600	136.61	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SSI	B	7,300	18.33	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SSI	S	200	18.32	n/a	n/a	non-brg	2.0
f JONATHAN RICHARD HAR	FID	SSI	S	266	18.78	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		STT	B	200	44.42	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		STT	S	7,500	44.33	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		SUMR	S	400	3.23	n/a	n/a	non-brg	1.0
PROSPECTOR PARTNERS LLC	036	SVU	S	10,000	5.22	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		SWN	B	600	31.64	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		SWN	S	10,700	31.64	n/a	2-Jul	non-brg	2.0
ß BUCK CAPITAL MGMT		SWY	C	1,200	18.08	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SWY	SS	10,800	18.04	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SWY	SS	200	18.08	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SYU	C	400	29.61	n/a	n/a	non-brg	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß	BUCK CAPITAL MGMT		SYX	SS	10,300	29.64	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		TDG	C	1,300	135.65	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		TEVA	B	200	39.40	n/a	2-Jul	non-brg	2.0
ß	BUCK CAPITAL MGMT		TEVA	B	25,000	39.53	n/a	2-Jul	non-brg	4.0
ß	BUCK CAPITAL MGMT		TEVA	S	800	39.41	n/a	2-Jul	non-brg	2.0
ß	BUCK CAPITAL MGMT		TEX	B	100	17.25	n/a	13-Jun	non-brg	2.0
ß	BUCK CAPITAL MGMT		TEX	S	4,400	17.22	n/a	13-Jun	non-brg	2.0
ß	BUCK CAPITAL MGMT		TIF	C	700	52.75	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		TIF	SS	14,300	52.60	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		TJX	B	20,000	43.38	n/a	28-Jun	non-brg	2.0
ß	BUCK CAPITAL MGMT		TJX	B	300	43.18	n/a	28-Jun	non-brg	2.0
ß	BUCK CAPITAL MGMT		TJX	S	3,500	43.20	n/a	28-Jun	non-brg	2.0
ß	BUCK CAPITAL MGMT		TJX	S	800	43.37	n/a	28-Jun	non-brg	2.0
f	JASON ERIC COHEN	FID	TOL	S	120	29.40	n/a	n/a	0.00	0.0
f	JASON ERIC COHEN	FID	TOL	S	120	29.40	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		TRLG	C	100	28.99	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		TRLG	C	100	29.00	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		TRLG	SS	1,700	29.01	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		TXRH	SS	2,400	18.28	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		TXRH	SS	20,000	18.17	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		ULTA	B	7,300	92.14	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ULTA	S	300	92.21	n/a	n/a	non-brg	2.0
MERRILL ASSET/BLACKROCK	297	UNH	S	384,400	56.93	n/a	n/a	15,376.00	4.0
BUCK CAPITAL MGMT		URBN	C	800	27.56	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		URBN	SS	17,200	27.44	n/a	n/a	non-brg	2.0
SCOPUS FUND	041	URBN	S	60,000	27.49	n/a	n/a	2,400.00	4.0
DELTEC ASSET MGMT.	040	URI	B	10,000	34.05	n/a	17-Apr	500.00	5.0
EDWARD W WHEELER	FID	VE	S	25000	12.63	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		VFC	B	100	132.91	n/a	27-Apr	non-brg	2.0
BUCK CAPITAL MGMT		VFC	B	7,900	133.05	n/a	27-Apr	non-brg	2.0
BUCK CAPITAL MGMT		VFC	S	200	132.98	n/a	27-Apr	non-brg	2.0
BUCK CAPITAL MGMT		VFC	S	300	133.12	n/a	27-Apr	non-brg	2.0
WCG MANAGEMENT	044	VMI	B	2,500	121.77	n/a	n/a	75.00	3.0
JONATHAN RICHARD HAR	FID	VRA	B	300	19.80	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		V	B	200	124.87	n/a	30-May	non-brg	2.0
BUCK CAPITAL MGMT		V	S	2,200	124.83	n/a	30-May	non-brg	2.0
WEINTRAUB CAPITAL MGT.	269	WCRX	B	25,000	17.91	n/a	2-Jul	1,000.00	4.0
BUCK CAPITAL MGMT		WNC	B	1,700	6.52	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		WNC	S	39,600	6.41	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		WRC	C	200	42.67	n/a	9-May	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		WRC	SS	3,400	42.67	n/a	9-May	non-brg	2.0
ß BUCK CAPITAL MGMT		WSM	C	200	35.17	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		WSM	SS	10,000	35.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WSM	SS	30,000	35.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WSM	SS	5,900	35.22	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		WY	B	600	22.09	n/a	4-May	non-brg	2.0
ß BUCK CAPITAL MGMT		WY	S	9,000	22.09	n/a	4-May	non-brg	2.0
ß BUCK CAPITAL MGMT		XLF	C	53,800	14.63	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XLF	SS	3,200	14.62	n/a	n/a	non-brg	2.0
FORTRESS INVESTMENT (US)	036	XLI	B	30,000	35.29	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		XRT	C	17,600	59.11	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	SS	800	59.13	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		YUM	SS	1,000	64.34	n/a	20-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		YUM	SS	20,000	63.51	n/a	20-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		YUM	SS	20,000	63.61	n/a	20-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		ZUMZ	B	3,400	39.38	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		ZUMZ	S	100	39.63	n/a	n/a	non-brg	2.0
TOTAL (Trade Date):								58,159.62	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/29	PERRY PARTNERS	085	BAC	S	50,000	8.01	n/a	2-Jul	1500.00 3.0
AO,CXL	06/29	PERRY PARTNERS	085	BAC	S	50,000	8.01	n/a	2-Jul	2500.00 5.0
AO,CRT	06/29	ROSEDALE CAPITAL	046	F	S	30,000	9.93	n/a	2-Jul	900.00 3.0
AO,CXL	06/29	ROSEDALE CAPITAL	046	F	S	30,000	9.93	n/a	2-Jul	1200.00 4.0
TOTAL (AO, CXL, CRT) :										-1,300.00

TOTAL (Trade Date) :	58,159.62
TOTAL (AO, CXL, CRT) :	-1,300.00

TOTAL (RUN DATE) / NFS :	56,859.62
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 2-Jul.
NONE

MRI Securities without Trade Activity on 2-Jul.
BUD

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)