



Daily Compliance Activity Report

Trade Date: 02/07/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	AAI	B	2,000	8.85	n/a	n/a	0.00	0.0
BACKUS	005	AAPL	B	2,000	121.10	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ABG	B	20,000	14.09	25-Jan	1-Feb	800.00	4.0
STRAUSS ASSET MGMT	040	AERO	B	4,200	5.50	n/a	n/a	210.00	5.0
AELTUS/ING IVESTMENT MGT	044	AIG	S	150,000	51.80	n/a	n/a	6,000.00	4.0
CENTURION GLOBAL MGMT LL	041	ANF	S	6,200	77.81	10-Jan	4-Feb	186.00	3.0
COUGAR TRADING	044	ANF	B	5,000	77.79	10-Jan	4-Feb	250.00	5.0
COUGAR TRADING	044	ANF	SS	10,000	77.32	10-Jan	4-Feb	500.00	5.0
JP MORGAN VENTURES	046	ANF	C	10,000	77.37	10-Jan	4-Feb	500.00	5.0
MERRILL ASSET	032	ANN	S	177,500	25.35	11-Jan	7-Feb	7,987.50	4.5
BALYASNY ASSET MGMT	044	AN	SS	20,000	14.68	n/a	25-Jan	1,000.00	5.0
BALYASNY ASSET MGMT	044	ARO	B	20,000	26.72	29-Nov	4-Feb	1,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	ARO	S	15,000	25.96	29-Nov	4-Feb	600.00	4.0
CAPITAL GROWTH MGMT.	241	ATW	S	40,000	82.64	n/a	n/a	2,000.00	5.0
PAW PARTNERS	041	AVT	B	12,000	33.46	n/a	n/a	600.00	5.0
AMBER CAPITAL	046	BHI	B	165,000	64.16	n/a	n/a	6,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	BIIB	B	600	61.76	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	BKE	S	20,000	41.13	n/a	n/a	750.00	3.8
TRUSTCO/SUNTRUST	040	BONT	S	40,156	6.95	4-Jan	4-Feb	1,204.68	3.0
BALYASNY ASSET MGMT	044	BWA	B	5,000	45.42	14-Jan	18-Jan	250.00	5.0
COUGAR TRADING	044	BWA	B	15,000	45.01	14-Jan	18-Jan	750.00	5.0
TRELLUS PARTNERS LP	028	BWA	B	50,000	45.41	14-Jan	18-Jan	2,500.00	5.0
WAGONHOUND	048	BWA	B	7,750	45.77	14-Jan	18-Jan	310.00	4.0
N STRATTON	014	CADA	B	100	40.50	n/a	n/a	0.00	0.0
SOCIETY GENERALE	040	CAKE	S	5,000	20.01	n/a	24-Apr	200.00	4.0
BUCK	015	CELG	SS	800	57.55	n/a	n/a	0.00	0.0
GRISANTTI BROWN & PARTNER	048	CMCSA	S	21,500	17.42	26-Oct	4-Jan	1,075.00	5.0
NATIONAL BK OF CAN	031	CME	S	2,000	519.23	n/a	6-Feb	80.00	4.0
LISTED ERR	099	CME	B	2,000	518.02	n/a	6-Feb	0.00	0.0
LISTED ERR	099	CME	S	2,000	518.43	n/a	6-Feb	0.00	0.0
MERRILL ASSET	032	CNB	B	63,900	14.06	n/a	n/a	2,875.50	4.5
CASTLEROCK	040	COH	SS	48,800	30.46	24-Oct	24-Jan	1,952.00	4.0
BUCK	015	CPN	S	1,000	16.51	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CROX	B	65,000	31.95	n/a	n/a	2,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TROUBH PART	067	CTSH	SS	20,000	27.32	n/a	n/a	1,000.00	5.0
CAPITAL GROWTH MGMT.	241	C	B	15,200	26.83	16-Jan	22-Jan	760.00	5.0
BUCK CAPITAL MGMT	001	DDS	SS	20,000	19.23	n/a	n/a	800.00	4.0
CUMBERLAND	038	DRI	B	15,000	26.72	n/a	24-Apr	600.00	4.0
BUCK CAPITAL MGMT	001	DSW	S	20,000	19.44	26-Oct	4-Feb	800.00	4.0
OGORMAN	046	DUG	B	200	46.75	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	ERJ	S	11,900	41.99	n/a	n/a	535.50	4.5
BUCK CAPITAL MGMT	001	ETH	SS	10,000	29.25	n/a	n/a	400.00	4.0
NEUBERGER BERMAN	036	ETN	S	8,500	80.73	22-Jan	5-Feb	382.50	4.5
NEUBERGER BERMAN	036	ETR	B	900	105.80	n/a	n/a	40.50	4.5
ASCEND PARTNERS	033	FDO	SS	60,000	20.12	8-Jan	5-Feb	3,000.00	5.0
NATIONAL BK OF CAN	031	FNM	B	16,000	32.20	n/a	n/a	640.00	4.0
REINHART & MAHONEY	031	FSP	S	20,000	13.46	n/a	n/a	1,000.00	5.0
JACOB	068	FXP	B	100	99.16	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	GE	B	25,000	34.23	n/a	n/a	1,500.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DELTEC ASSET MGMT.	040	GLW	B	15,000	22.64	n/a	28-Jan	750.00	5.0
COUGAR TRADING	044	GPS	S	5,000	19.51	n/a	4-Feb	250.00	5.0
LEDDY	035	HAL	B	400	33.55	n/a	n/a	0.00	0.0
YORK CAPITAL	036	HES	S	391,303	83.57	n/a	n/a	19,565.15	5.0
COUGAR TRADING	044	HOTT	S	5,000	5.61	n/a	4-Feb	250.00	5.0
LEDDY	035	ITCD	S	1,000	3.50	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	IVZ	B	26,300	26.30	20-Dec	5-Feb	1,315.00	5.0
BEAR STEARNS ASSET MGMT.	033	JCP	S	147,100	48.19	4-Jan	4-Feb	5,884.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	400,000	47.70	4-Jan	4-Feb	16,000.00	4.0
METROPOLITAN CAPITAL	044	JCP	S	20,525	47.62	4-Jan	4-Feb	1,026.25	5.0
BUCK CAPITAL MGMT	001	JNY	B	20,000	15.93	n/a	30-Jan	800.00	4.0
SANDLER O'NEILL ASSET	031	JPM	B	25,000	43.98	17-Jan	22-Jan	1,250.00	5.0
COLUMBIA PARTNERS	028	JWN	C	28,870	37.01	20-Nov	4-Feb	1,154.80	4.0
HUNTER GLOBAL INVESTOR	067	JWN	SS	50,000	37.79	20-Nov	4-Feb	2,500.00	5.0
JP MORGAN VENTURES	046	JWN	SS	10,000	36.35	20-Nov	4-Feb	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	JWN	C	20,000	37.68	20-Nov	4-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	KMX	SS	75,000	20.12	n/a	10-Jan	3,000.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	500,000	45.22	4-Jan	4-Feb	20,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	KSS	B	10,000	45.62	4-Jan	4-Feb	500.00	5.0
METROPOLITAN CAPITAL	044	KSS	S	16,500	45.17	4-Jan	4-Feb	825.00	5.0
NATIONAL BK OF CAN	031	LEH	B	15,000	60.28	n/a	22-Jan	600.00	4.0
NATIONAL BK OF CAN	031	LEH	SS	15,000	61.03	n/a	22-Jan	600.00	4.0
APEX CAPITAL MGMT.	091	LIZ	B	50,000	20.87	n/a	28-Jan	2,500.00	5.0
JP MORGAN VENTURES	046	LTD	SS	10,000	18.11	n/a	4-Feb	500.00	5.0
BARR	096	LUV	B	520	12.30	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	MA	B	17,500	203.94	n/a	n/a	875.00	5.0
BUCK CAPITAL MGMT	001	MCD	SS	20,000	54.42	n/a	24-Apr	800.00	4.0
GALLEON MGMT LP	033	MCD	SS	50,000	53.74	n/a	24-Apr	2,500.00	5.0
NATIONAL BK OF CAN	031	MER	SS	15,000	53.11	18-Jan	22-Jan	600.00	4.0
NEUBERGER BERMAN	036	MIR	S	11,000	36.43	n/a	n/a	495.00	4.5
NATIONAL BK OF CAN	031	MS	B	17,000	44.86	20-Dec	22-Jan	680.00	4.0
COUGAR TRADING	044	M	SS	15,000	24.16	7-Feb	7-Feb	750.00	5.0
ASCEND PARTNERS	033	NEM	C	50,000	50.25	n/a	n/a	2,500.00	5.0
NEUBERGER BERMAN	036	NE	S	18,600	43.96	n/a	n/a	837.00	4.5
WHEELER	008	NLC	B	5,000	18.70	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STG INVESTORS	041	NOK	B	100,000	34.80	n/a	n/a	5,000.00	5.0
GAULT	063	OESX	B	3,000	8.75	n/a	n/a	0.00	0.0
BUCK	015	ONXX	S	700	44.63	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	PBY	B	10,000	11.87	n/a	n/a	400.00	4.0
BUCK	015	PHRM	B	1,500	69.55	n/a	n/a	0.00	0.0
COUGAR TRADING	044	PSUN	S	10,000	10.96	n/a	4-Feb	500.00	5.0
SAC TRADING HOWARD	038	PVH	B	20,000	40.91	5-Feb	5-Feb	1,000.00	5.0
GRISANTI BROWN & PARTNER	048	QQQQ	C	29,900	43.30	n/a	n/a	1,495.00	5.0
KORMAN	003	QSUNM	B	30	7.63	n/a	n/a	0.00	0.0
COUGAR TRADING	044	RL	SS	5,000	62.94	7-Feb	7-Feb	250.00	5.0
GALLEON MGMT LP	033	RL	SS	10,000	62.55	7-Feb	7-Feb	500.00	5.0
HARVEST MGMT	039	RL	SS	20,000	62.87	7-Feb	7-Feb	1,000.00	5.0
HUNTER GLOBAL INVESTOR	067	RL	SS	50,000	63.03	7-Feb	7-Feb	2,500.00	5.0
MARK ASSETMGMT (SR)	040	RL	S	10,000	62.43	7-Feb	7-Feb	400.00	4.0
GALLEON MGMT LP	033	RTH	C	10,000	90.50	n/a	n/a	500.00	5.0
ASCEND PARTNERS	033	SAH	S	25,100	19.42	25-Jan	25-Jan	1,255.00	5.0
ACT II PARTNERS	067	SBAC	SS	30,000	28.21	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GAULT	063	SKF	B	1,000	105.57	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	1,000	105.84	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	SKS	B	28,000	16.51	n/a	n/a	1,400.00	5.0
BACKUS	005	SNCR	B	4,000	19.10	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	SPY	C	30,000	132.37	n/a	n/a	900.00	3.0
BUCK	015	SPY	SS	400	132.65	n/a	n/a	0.00	0.0
TRUSTCO/SUNTRUST	040	SSI	S	82,800	13.51	4-Jan	4-Feb	2,484.00	3.0
MERRILL LYNCH STRATEGICI	034	TBL	S	35,000	16.12	n/a	13-Mar	1,400.00	4.0
BUCK CAPITAL MGMT	001	TGT	SS	100,000	54.18	n/a	27-Jul	4,000.00	4.0
PRINCIPLE CAPITAL	040	TPX	S	84,200	17.45	n/a	n/a	2,526.00	3.0
COUGAR TRADING	044	TWB	S	5,000	32.02	9-Jan	9-Jan	250.00	5.0
BUCK CAPITAL MGMT	001	UA	B	20,000	40.17	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	UA	S	10,000	42.33	n/a	n/a	400.00	4.0
COUGAR TRADING	044	URBN	SS	5,000	27.45	3-Jan	4-Feb	250.00	5.0
TYEE/TYGH	035	WBC	B	81,070	43.50	n/a	7-Feb	3,242.80	4.0
EMP ERROR	099	WBC	B	1,000	43.50	n/a	7-Feb	0.00	0.0
EMP ERROR	099	WBC	S	1,000	43.45	n/a	7-Feb	0.00	0.0
BUCK	015	WB	B	500	34.62	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	WFT	S	277,000	60.28	n/a	n/a	11,080.00	4.0
APEX CAPITAL MGMT.	091	WMT	B	300,000	48.85	n/a	5-Feb	15,000.00	5.0
NATIONAL BK OF CAN	031	WM	SS	28,000	18.21	n/a	n/a	1,120.00	4.0
BUCK CAPITAL MGMT	001	ZQK	B	100,000	8.72	5-Feb	5-Feb	4,000.00	4.0
PEREGRINE	030	ZQK	B	224,000	8.85	5-Feb	5-Feb	11,200.00	5.0
PAW PARTNERS	041	ZZ	SS	36,000	10.41	n/a	n/a	1,800.00	5.0
TOTAL (Trade Date):								222,999.18	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	01/31	ZOLIDIS (ZOLIDIS)	011	ANF	B	150	79.62	10-Jan	4-Feb	0.00	0.0
AO,CXL	01/31	ZOLIDIS (ZOLIDIS)	011	ANF	B	150	79.62	10-Jan	4-Feb	0.00	0.0
AO,CRT	01/31	ZOLIDIS (ZOLIDIS)	011	ARO	B	500	27.72	29-Nov	4-Feb	0.00	0.0
AO,CXL	01/31	ZOLIDIS (ZOLIDIS)	011	ARO	B	500	27.72	29-Nov	4-Feb	0.00	0.0
AO,CXL	02/06	METROPOLITAN CAPITAL (METRSPEAR)	044	BWS	B	700	15.28	n/a	n/a	28.00	4.0
AO,CRT	02/06	METROPOLITAN CAPITAL (METRSPEAR)	044	BWS	B	700	15.28	n/a	n/a	35.00	5.0
CRT	02/07	TROUBH PART (TROUGOLD)	067	CTSH	SS	20,000	27.32	n/a	n/a	1000.00	5.0
AO,CRT	01/31	ZOLIDIS (ZOLIDIS)	011	DECK	B	200	120.38	n/a	n/a	0.00	0.0
AO,CXL	01/31	ZOLIDIS (ZOLIDIS)	011	DECK	B	200	120.38	n/a	n/a	0.00	0.0
CRT	02/07	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JCP	B	399,500	47.70	4-Jan	4-Feb	15980.00	4.0
CRT	02/07	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	KSS	B	499,400	45.22	4-Jan	4-Feb	19976.00	4.0
CRT	02/07	COUGAR TRADING (COUGPB MS)	044	M	SS	15,000	24.16	7-Feb	7-Feb	750.00	5.0
CRT	02/07	ACT II PARTNERS (ACT2-GOLDM)	067	SBAC	SS	30,000	28.21	n/a	n/a	1500.00	5.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	100	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	200	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	100	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	100	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	100	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	EMP ERROR (EMP ERROR)	099	WBC	S	400	43.45	n/a	7-Feb	0.00	0.0
CRT	02/07	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	ZQK	B	99,500	8.72	5-Feb	5-Feb	3980.00	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									43,193.00
TOTAL (Trade Date) :									222,999.18
TOTAL (AO, CXL, CRT) :									43,193.00
TOTAL (RUN DATE) / NFS :									266,192.18

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION