



# Daily Compliance Activity Report

Trade Date: 05/06/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>AAN</b>	<b>S</b>	<b>10,000</b>	<b>33.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>AAN</b>	<b>S</b>	<b>50,000</b>	<b>33.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FIRST NEW YORK LEDDY	035	AMD	B	20,000	4.25	n/a	n/a	1,200.00	6.0
<b>f</b> JASON ERIC COHEN	<b>FID</b>	<b>AMZN</b>	<b>S</b>	<b>15</b>	<b>80.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WAGONHOUND/NIC	048	ARG	S	4,597	42.89	23-Mar	6-May	183.88	4.0
PZENA INV ADV	044	ARM	S	2,700	2.45	n/a	n/a	27.00	1.0
<b>B</b> BUCK CAPITAL MGMT		<b>AVP</b>	<b>SS</b>	<b>70,000</b>	<b>23.78</b>	<b>25-Feb</b>	<b>6-May</b>	<b>non-brg</b>	<b>4.0</b>
DELTEC ASSET MGMT.	040	AVP	B	10,000	23.49	25-Feb	6-May	500.00	5.0
TROUBH PART	067	AXP	SS	25,000	27.55	n/a	6-May	1,250.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>BAC</b>	<b>S</b>	<b>110,000</b>	<b>11.83</b>	<b>2-Mar</b>	<b>6-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> HARALAMBOS EFSTATHI	<b>FID</b>	<b>BAC</b>	<b>S</b>	<b>700</b>	<b>12.57</b>	<b>2-Mar</b>	<b>6-May</b>	<b>0.00</b>	<b>0.0</b>
MAIRS AND POWER INV	030	BAX	B	16,000	48.60	n/a	22-Apr	800.00	5.0
JP MORGAN VENTURES	046	BBBY	B	20,000	29.40	n/a	n/a	1,000.00	5.0
<b>f</b> FRANCIS MICHAEL MCCA	<b>FID</b>	<b>BBY</b>	<b>B</b>	<b>100</b>	<b>39.72</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
RDK CAPITAL MGMT	034	BBY	B	12,500	39.60	n/a	27-Jul	500.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>BEBE</b>	<b>S</b>	<b>30,000</b>	<b>8.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET	032	BKC	B	5,100	18.42	16-Apr	29-Apr	229.50	4.5
<b>f PEARL LUCHANSKY</b>	<b>FID</b>	<b>BK</b>	<b>B</b>	<b>500</b>	<b>30.05</b>	<b>22-Apr</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
CAVU CAP ADV	028	BTU	B	7,500	33.89	n/a	n/a	375.00	5.0
MILLENIUM PARTNERS	028	BWA	SS	20,000	30.07	1-May	1-May	1,000.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>BYI</b>	<b>B</b>	<b>50,000</b>	<b>28.86</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f CICILY S BACKUS</b>	<b>FID</b>	<b>CHCG</b>	<b>B</b>	<b>10000</b>	<b>1.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CICILY S BACKUS</b>	<b>FID</b>	<b>CHCG</b>	<b>B</b>	<b>5000</b>	<b>1.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f LEE F BACKUS</b>	<b>FID</b>	<b>CHCG</b>	<b>B</b>	<b>5000</b>	<b>1.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>CHS</b>	<b>B</b>	<b>492</b>	<b>8.12</b>	<b>5-Dec</b>	<b>30-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>CIT</b>	<b>S</b>	<b>434,783</b>	<b>2.96</b>	<b>23-Apr</b>	<b>6-May</b>	<b>non-brg</b>	<b>2.0</b>
M&I FUNDS	256	CL	S	50,268	61.03	16-Mar	1-May	2,010.72	4.0
<b>ß BUCK CAPITAL MGMT</b>		<b>CMG</b>	<b>C</b>	<b>10,000</b>	<b>80.70</b>	<b>23-Apr</b>	<b>23-Apr</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>CMG</b>	<b>C</b>	<b>2,780</b>	<b>80.03</b>	<b>23-Apr</b>	<b>23-Apr</b>	<b>non-brg</b>	<b>1.0</b>
WAGONHOUND/NIC	048	CMI	B	904	34.14	6-May	6-May	36.16	4.0
DIAMONDBACK CAP MGMT	232	CNS	C	25,000	13.95	n/a	n/a	1,250.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>COF</b>	<b>S</b>	<b>66,890</b>	<b>21.84</b>	<b>n/a</b>	<b>6-May</b>	<b>non-brg</b>	<b>4.0</b>
SANDLER O'NEILL ASSET	031	COF	B	200,000	22.76	n/a	6-May	10,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	COH	S	100,000	25.59	9-Jan	22-Apr	4,000.00	4.0
JP MORGAN VENTURES	046	COST	SS	5,000	46.91	n/a	27-Jul	250.00	5.0
ß BUCK CAPITAL MGMT		CPKI	C	6,000	15.06	n/a	5-May	non-brg	1.0
RAINIER INV MGMT	035	CXO	B	105,710	28.62	n/a	n/a	4,228.40	4.0
f ANTHONY NG	FID	C	S	50	3.80	n/a	24-Apr	0.00	0.0
f MICHAEL MARRANO	FID	C	B	1000	3.51	n/a	24-Apr	0.00	0.0
BRANDWINE	028	DDS	B	142,600	8.15	n/a	n/a	5,704.00	4.0
ß BUCK CAPITAL MGMT		DECK	S	10,000	60.50	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		DECK	S	5,000	61.67	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DE	S	9,100	45.53	26-Jan	19-Feb	non-brg	1.0
Õ Steven Maguire		DE	S	9100	47.05	26-Jan	19-Feb	0.00	0.0
ß BUCK CAPITAL MGMT		DFS	S	115,000	9.81	n/a	6-May	non-brg	3.0
KEEFE BRUYETTE WOODS A	045	DFS	S	49,600	9.84	n/a	6-May	2,480.00	5.0
f IAN L MILLER	FID	DIG	B	25	28.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DOW	B	115,000	15.12	n/a	6-May	non-brg	4.0
ß BUCK CAPITAL MGMT		DOW	B	120,000	15.30	n/a	6-May	non-brg	1.0
NEUBERGER BERMAN	036	DPS	S	84,700	20.46	n/a	n/a	3,811.50	4.5
f DAVID GEORGE BUCK	FID	DRE	B	3000	9.91	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		DRI	C	10,000	37.59	19-Mar	19-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		DRYS	S	7,500	10.25	n/a	n/a	non-brg	1.0
Õ Steven Maguire		DRYS	S	7500	10.70	n/a	n/a	0.00	0.0
COOPER CREEK PART	028	DSW	SS	10,000	11.92	24-Mar	5-May	400.00	4.0
CASEY CAPITAL	067	DVN	B	5,000	60.93	6-May	6-May	250.00	5.0
f JOHN PATRICK DAVEY	FID	DXO	B	3000	3.19	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		EGLE	S	12,500	8.60	n/a	n/a	non-brg	1.0
Õ Steven Maguire		EGLE	S	12500	9.18	n/a	n/a	0.00	0.0
CAVU CAP ADV	028	EMR	B	8,613	36.19	n/a	n/a	430.65	5.0
PHILADELPHIA FINANCIAL	033	EVR	S	12,800	19.00	n/a	n/a	640.00	5.0
f KAREN F LEDDY	FID	EV	S	500	27.55	7-Apr	4-May	0.00	0.0
f ANN M GAULT	FID	FAZ	S	1000	5.81	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	FAZ	S	2000	5.79	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FDO	B	49,394	31.68	5-Mar	5-May	1,975.76	4.0
ß BUCK CAPITAL MGMT		FINL	S	30,000	8.11	n/a	28-Mar	non-brg	1.0
f JOHN MICHAEL ZOLIDIS	FID	FINL	B	1000	8.14	n/a	28-Mar	0.00	0.0
DELTEC ASSET MGMT.	040	FMC	B	8,000	46.64	n/a	n/a	400.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		FOSL	S	60,000	19.36	23-Jan	30-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GSIC	S	30,000	13.52	n/a	n/a	non-brg	2.5
f ALICE BEEBE LONGLEY	FID	HAP	B	400	27.34	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	HCN	S	18,500	33.82	n/a	n/a	832.50	4.5
f ALICE BEEBE LONGLEY	FID	HD	B	400	25.90	n/a	5-May	0.00	0.0
⚡ BUCK CAPITAL MGMT		HD	S	5,000	25.87	n/a	5-May	non-brg	1.0
Õ Steven Maguire		HD	S	5000	26.04	n/a	5-May	0.00	0.0
WHALE ROCK CAP	030	HOG	B	4,000	21.16	n/a	n/a	160.00	4.0
⚡ BUCK CAPITAL MGMT		IMAX	B	20,000	7.09	n/a	n/a	non-brg	3.0
MILLENIUM PARTNERS	028	IP	SS	25,000	13.98	n/a	1-May	1,250.00	5.0
SCOPUS FUND	041	IP	SS	50,000	13.99	n/a	1-May	2,500.00	5.0
⚡ BUCK CAPITAL MGMT		JBLU	B	100,000	5.99	n/a	n/a	non-brg	2.0
JP MORGAN VENTURES	046	JCP	SS	10,000	31.98	13-Apr	4-May	500.00	5.0
RDK CAPITAL MGMT	034	JCP	SS	10,000	31.51	13-Apr	4-May	400.00	4.0
⚡ BUCK CAPITAL MGMT		JNY	S	100,000	9.34	n/a	30-Apr	non-brg	3.0
MERRILL ASSET	032	JNY	B	59,300	9.14	n/a	30-Apr	2,668.50	4.5
WAGONHOUND/NIC	048	JOYG	B	875	30.50	26-Jan	5-Mar	35.00	4.0
DIAMONDBACK CAP MGMT	232	JPM	B	15,000	36.40	16-Jan	24-Apr	750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JWN	B	50,000	23.73	20-Feb	4-May	2,000.00	4.0
ß BUCK CAPITAL MGMT		JWN	C	100,000	24.57	20-Feb	4-May	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	C	150,000	23.82	20-Feb	4-May	non-brg	2.0
ß BUCK CAPITAL MGMT		JWN	C	188,300	23.78	20-Feb	4-May	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	C	250,000	23.90	20-Feb	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		KEG	S	1,000	5.32	n/a	3-Apr	non-brg	1.0
Õ Steven Maguire		KEG	S	1000	5.39	n/a	3-Apr	0.00	0.0
WAGONHOUND/NIC	048	KMT	B	1,500	21.56	n/a	27-Apr	60.00	4.0
f FRANCIS MICHAEL MCCA	FID	KSS	B	100	44.07	30-Mar	4-May	0.00	0.0
ß BUCK CAPITAL MGMT		LJJ+FB[LVS]	SS	1,700	1.80	n/a	n/a	non-brg	300.0
PEREGRINE	030	LM	S	138,390	19.24	5-May	5-May	5,535.60	4.0
ß BUCK CAPITAL MGMT		LOW	S	5,000	20.71	31-Mar	5-May	non-brg	1.0
Õ Steven Maguire		LOW	S	5000	20.85	31-Mar	5-May	0.00	0.0
BUCK CAPITAL MGMT	001	LTD	B	20,000	13.14	8-Jan	30-Jan	800.00	4.0
ß BUCK CAPITAL MGMT		LVS	S	10,000	10.91	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	150,000	10.11	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		LVS	S	500	10.22	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LVS	S	70,000	11.13	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	MACRB	B	20	0.50	n/a	n/a	0.00	0.0
CUMBERLAND	038	MCHP	SS	25,000	22.86	n/a	n/a	1,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAIRS AND POWER INV	030	MDT	B	10,000	31.90	n/a	22-Apr	500.00	5.0
f KAREN F LEDDY	FID	MGA	S	200	37.52	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MHK	SS	70,000	49.56	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MS	S	4,000	28.39	18-Dec	24-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		MS	S	41,842	27.41	18-Dec	24-Apr	non-brg	4.0
Õ Steven Maguire		MS	S	4000	28.51	18-Dec	24-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		MVRX	SS	180,000	127.20	n/a	n/a	non-brg	12.7
f FRANCIS MICHAEL MCCA	FID	MWA	S	1300	4.72	n/a	6-May	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	MWA	S	4000	4.71	n/a	6-May	0.00	0.0
f HARALAMBOS EFSTATHI	FID	MWA	S	2500	4.70	n/a	6-May	0.00	0.0
f JOSEPH CIRO AMATURO	FID	MWA	S	13210	4.71	n/a	6-May	0.00	0.0
f MICHAEL THOMAS NOLAN	FID	MWA	S	500	4.72	n/a	6-May	0.00	0.0
f NICOLAS EVAN PAKLER	FID	MWA	S	450	4.70	n/a	6-May	0.00	0.0
BUCK CAPITAL MGMT	001	NKE	S	60,000	54.58	n/a	n/a	2,400.00	4.0
DC CAPITAL	044	NWL	S	29,850	10.91	n/a	n/a	895.50	3.0
f RAGHAV NAYAR	FID	OCNF	B	150	1.62	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OMX	S	410,987	7.82	n/a	15-Apr	12,329.61	3.0
ß BUCK CAPITAL MGMT		OMX	S	94,100	8.10	n/a	15-Apr	non-brg	2.0
SCOPUS FUND	041	PAG	B	40,000	13.27	n/a	6-May	2,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	PG	B	10,000	50.51	12-Mar	4-May	500.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>PM</b>	<b>S</b>	<b>1000</b>	<b>39.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f NEIL M STRATTON</b>	<b>FID</b>	<b>PNWIF</b>	<b>B</b>	<b>380</b>	<b>1.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
KENNEDY CAPITAL	046	PSUN	B	190,325	4.17	6-Feb	6-Feb	3,806.50	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>S</b>	<b>50,000</b>	<b>28.59</b>	<b>20-Apr</b>	<b>20-Apr</b>	<b>non-brg</b>	<b>4.0</b>
JETSTREAM GLOBAL FUND LP	065	QCOR	S	43,000	4.39	n/a	n/a	1,720.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>RIG</b>	<b>S</b>	<b>25,700</b>	<b>75.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RIG</b>	<b>S</b>	<b>30,000</b>	<b>75.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
WOOSTER CAPITAL	035	RL	B	40,000	52.96	n/a	16-Apr	2,000.00	5.0
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>ROST</b>	<b>B</b>	<b>2000</b>	<b>37.74</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SCOPUS FUND	041	RYN	S	9,700	38.18	n/a	1-May	485.00	5.0
CIRCLE T PART	035	SBUX	SS	50,000	13.97	20-Apr	30-Apr	2,500.00	5.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>SBUX</b>	<b>B</b>	<b>500</b>	<b>14.27</b>	<b>20-Apr</b>	<b>30-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>SEF</b>	<b>S</b>	<b>150</b>	<b>58.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>SEF</b>	<b>S</b>	<b>200</b>	<b>58.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	SHLD	B	13,000	61.40	n/a	n/a	650.00	5.0
NEUBERGER BERMAN	036	SJM	S	8,200	40.37	n/a	n/a	369.00	4.5



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f</b> WILLIAM J GAULT	<b>FID</b>	<b>SKF</b>	<b>S</b>	<b>2000</b>	<b>45.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>SKX</b>	<b>S</b>	<b>74,381</b>	<b>11.46</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> DAVID GEORGE BUCK	<b>FID</b>	<b>SLBRJ</b>	<b>S</b>	<b>10</b>	<b>1.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> DAVID GEORGE BUCK	<b>FID</b>	<b>SLBTH</b>	<b>B</b>	<b>15</b>	<b>0.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BENCHMARK	028	SLE	B	12,500	8.85	n/a	n/a	625.00	5.0
DELTEC ASSET MGMT.	040	SLM	B	66,000	5.74	23-Apr	6-May	3,300.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>SONC</b>	<b>SS</b>	<b>30,000</b>	<b>10.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>SPLS</b>	<b>SS</b>	<b>30,000</b>	<b>20.13</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>
NOMURA CAPITAL	045	SUP	B	8,400	14.48	27-Feb	27-Feb	420.00	5.0
BAIRD INVESTMENT	256	SWN	S	15,725	41.71	27-Feb	28-Apr	786.25	5.0
CR INTRINIC INVESTMENT	044	SYK	B	20,000	40.39	n/a	n/a	1,000.00	5.0
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>TEVA</b>	<b>B</b>	<b>500</b>	<b>44.95</b>	<b>18-Feb</b>	<b>6-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>TGT</b>	<b>B</b>	<b>100,000</b>	<b>41.19</b>	<b>25-Feb</b>	<b>5-May</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	TGT	S	5,000	40.00	25-Feb	5-May	250.00	5.0
PILOT ROCK	028	TGT	SS	50,000	41.21	25-Feb	5-May	2,000.00	4.0
RDK CAPITAL MGMT	034	TGT	B	10,000	40.93	25-Feb	5-May	400.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>TIN</b>	<b>S</b>	<b>50,000</b>	<b>12.28</b>	<b>n/a</b>	<b>22-Apr</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ELM RIDGE ADVISORS	044	TIN	S	197,600	12.55	n/a	22-Apr	7,904.00	4.0
<b>f IAN L MILLER</b>	<b>FID</b>	<b>TIP</b>	<b>B</b>	<b>5</b>	<b>99.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>B</b>	<b>100,000</b>	<b>28.59</b>	<b>4-May</b>	<b>4-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>B</b>	<b>50,000</b>	<b>29.00</b>	<b>4-May</b>	<b>4-May</b>	<b>non-brg</b>	<b>2.5</b>
COBALT	041	TJX	B	100,000	28.46	4-May	4-May	5,000.00	5.0
WHALE ROCK CAP	030	TLEO	S	5,000	13.83	n/a	n/a	200.00	4.0
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>TTI</b>	<b>B</b>	<b>1000</b>	<b>8.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>TWI</b>	<b>B</b>	<b>1200</b>	<b>6.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHALE ROCK CAP	030	TXT	B	6,838	11.60	n/a	n/a	273.52	4.0
COUGAR TRADING	044	UA	SS	10,000	22.63	29-Apr	29-Apr	500.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>30,000</b>	<b>20.66</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
SYSTEMATIC FINANCIAL MGT	035	VFC	S	143,800	59.36	n/a	n/a	5,033.00	3.5
JP MORGAN VENTURES	046	WEN	B	40,000	4.96	n/a	24-Apr	1,200.00	3.0
<b>ß BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>B</b>	<b>100,000</b>	<b>29.27</b>	<b>20-Apr</b>	<b>20-Apr</b>	<b>non-brg</b>	<b>4.0</b>
WEINTRAUB CAPITAL MGT.	040	WRC	B	14,400	29.12	20-Apr	20-Apr	576.00	4.0
CAVU CAP ADV	028	WTS	SS	8,252	21.59	n/a	n/a	412.60	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>WTS</b>	<b>B</b>	<b>4,400</b>	<b>21.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>WTS</b>	<b>SS</b>	<b>4,400</b>	<b>21.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f CICYLY S BACKUS	FID	WU	S	3000	17.50	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WYNN	C	27,500	46.09	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		XRT	SS	178,700	28.01	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		XRT	SS	275,000	28.00	n/a	n/a	non-brg	2.0
NEUBERGER BERMAN	036	X	S	71,850	31.40	n/a	n/a	3,233.25	4.5
<b>TOTAL (Trade Date):</b>							<b>126,693.40</b>		

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/04	CUMBERLAND	038	ADS	B	12,000	41.31	n/a	n/a	480.00 4.0
AO,CXL	05/04	CUMBERLAND	038	ADS	B	12,000	41.31	n/a	n/a	360.00 3.0
<b>AO,CRT</b>	<b>05/05</b>	<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>B</b>	<b>30,000</b>	<b>27.80</b>	<b>4-Mar</b>	<b>5-May</b>	<b>1200.00 4.0</b>
<b>AO,CXL</b>	<b>05/05</b>	<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>B</b>	<b>30,000</b>	<b>27.80</b>	<b>4-Mar</b>	<b>5-May</b>	<b>1200.00 4.0</b>
AO,CXL	05/05	AVESTA CAP	229	CVS	B	50,000	33.09	n/a	n/a	2000.00 4.0
AO,CRT	05/05	AVESTA CAP	229	CVS	B	50,000	33.09	n/a	n/a	2000.00 4.0
AO,	05/05	PHILADELPHIA FINANCIAL	033	EVR	S	23,000	19.29	n/a	n/a	1150.00 5.0
AO,CRT	05/05	PIONEER OAKRIDGE	031	GOOG	S	225	399.53	n/a	n/a	6.75 3.0
AO,CXL	05/05	PIONEER OAKRIDGE	031	GOOG	S	225	399.53	n/a	n/a	0.00 0.0
AO,CRT	05/01	CUMBERLAND	038	LINTA	B	25,000	5.70	n/a	n/a	1000.00 4.0
AO,CXL	05/01	CUMBERLAND	038	LINTA	B	25,000	5.70	n/a	n/a	750.00 3.0
AO,CRT	05/05	FIRST NY SEC/CHRIS	041	LPX	B	10,000	4.94	3-Mar	6-May	500.00 5.0
AO,CXL	05/05	FIRST NY SEC/CHRIS	041	LPX	B	10,000	4.94	3-Mar	6-May	600.00 6.0
AO,CRT	05/04	SCOPUS FUND	041	PAG	S	105,325	13.47	n/a	6-May	5266.25 5.0
AO,CXL	05/04	SCOPUS FUND	041	PAG	S	105,325	13.47	n/a	6-May	5266.25 5.0
AO,CRT	05/05	CAVU CAP ADV	028	SPR	B	24,000	13.10	n/a	n/a	1200.00 5.0
AO,CXL	05/05	CAVU CAP ADV	028	SPR	B	24,000	13.10	n/a	n/a	1200.00 5.0
AO,CXL	05/05	AVESTA CAP	229	WMT	S	25,000	50.01	n/a	5-May	1000.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CRT	05/05	AVESTA CAP	229	WMT	S	25,000	50.01	n/a 5-May	1000.00 4.0
AO,CRT	05/05	DC CAPITAL	044	ZLC	B	50,000	4.04	n/a n/a	1500.00 3.0
AO,CXL	05/05	DC CAPITAL	044	ZLC	B	50,000	4.04	n/a n/a	2500.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>									<b>426.75</b>

TOTAL (Trade Date):	126,693.40
TOTAL (AO, CXL, CRT):	426.75

<b>TOTAL (RUN DATE) / NFS:</b>	<b>127,120.15</b>
--------------------------------	-------------------

MRI Securities with Trade Activity on 6-May.  
**CMI, DVN**

MRI Securities without Trade Activity on 6-May.  
**CEPH, HRS, PRX**

BRG comm. as a % of BCM Total Comm. paid.  
 T-Date = 14%  
 YTD = 22%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**