

Daily Compliance Activity Report Trade Date: 05/22/2012

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
ANN BETTENDORF FREEM	FID	AAPL	s	5	569.02	n/a	n/a	0.00	0.0
MACELLUM CAPITAL	086	ABG	В	20,000	26.34	n/a	27-Apr	600.00	3.0
BESSEMER INVESTMENT	085	ACE	В	440	72.93	n/a	n/a	17.60	4.0
BESSEMER INVESTMENT	085	ACN	В	590	59.06	n/a	n/a	23.60	4.0
ADAGE CAPITAL MGMT	030	AEO	В	95,418	19.95	n/a	2-Dec	2,862.54	3.0
BUCK CAPITAL MGMT		AEO	В	20,000	19.95	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	В	30,000	19.90	n/a	2-Dec	non-brg	4.0
WILLIAM J GAULT	FID	AMCC	В	3815	5.22	n/a	n/a	0.00	0.0
DAVID GEORGE BUCK	FID	AMRN	В	13	0.70	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ANN	В	20,000	27.03	n/a	n/a	non-brg	4.0
BARBARA S LEDDY	FID	ARCO	В	400	13.26	n/a	7-May	0.00	0.0
THOMAS JOHN LEDDY	FID	ARCO	В	500	13.19	n/a	7-May	0.00	0.0
THOMAS MACTAGGART LE	FID	ARCO	В	400	13.16	n/a	7-May	0.00	0.0
BUCK CAPITAL MGMT		ASNA	s	25,000	19.14	n/a	n/a	non-brg	4.0
MACELLUM CAPITAL	086	ASNA	В	20,000	19.21	n/a	n/a	600.00	3.0
BUCK CAPITAL MGMT		вні	SS	10,000	41.21	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BHI	SS	25,000	41.59	n/a	n/a	non-brg	1.0
	ANN BETTENDORF FREEM MACELLUM CAPITAL BESSEMER INVESTMENT BESSEMER INVESTMENT ADAGE CAPITAL MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT WILLIAM J GAULT DAVID GEORGE BUCK BUCK CAPITAL MGMT BARBARA S LEDDY THOMAS JOHN LEDDY THOMAS MACTAGGART LE BUCK CAPITAL MGMT MACELLUM CAPITAL BUCK CAPITAL MGMT	ANN BETTENDORF FREEM MACELLUM CAPITAL BESSEMER INVESTMENT BESSEMER INVESTMENT ADAGE CAPITAL MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT WILLIAM J GAULT DAVID GEORGE BUCK BUCK CAPITAL MGMT BARBARA S LEDDY THOMAS JOHN LEDDY THOMAS MACTAGGART LE BUCK CAPITAL MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT BARBARA S LEDDY THOMAS MACTAGGART LE BUCK CAPITAL MGMT MACELLUM CAPITAL 086	ANN BETTENDORF FREEM FID AAPL MACELLUM CAPITAL 086 ABG BESSEMER INVESTMENT 085 ACE BESSEMER INVESTMENT 030 AEO ADAGE CAPITAL MGMT AEO BUCK CAPITAL MGMT AEO WILLIAM J GAULT FID AMCC DAVID GEORGE BUCK FID AMRN BUCK CAPITAL MGMT ANN BARBARA S LEDDY FID ARCO THOMAS JOHN LEDDY FID ARCO THOMAS MACTAGGART LE FID ARCO BUCK CAPITAL MGMT ASNA MACELLUM CAPITAL 086 ASNA BUCK CAPITAL MGMT BHI	ANN BETTENDORF FREEM FID AAPL S MACELLUM CAPITAL 086 ABG B BESSEMER INVESTMENT 085 ACE B BESSEMER INVESTMENT 085 ACN B ADAGE CAPITAL MGMT AEO B BUCK CAPITAL MGMT AEO B WILLIAM J GAULT FID AMCC B DAVID GEORGE BUCK FID AMRN B BUCK CAPITAL MGMT ANN B BARBARA S LEDDY FID ARCO B THOMAS JOHN LEDDY FID ARCO B THOMAS MACTAGGART LE FID ARCO B BUCK CAPITAL MGMT ASNA S BUCK CAPITAL MGMT ASNA S BUCK CAPITAL MGMT ASNA B BUCK CAPITAL MGMT ASNA B	ANN BETTENDORF FREEM FID AAPL S MACELLUM CAPITAL 086 ABG B 20,000 BESSEMER INVESTMENT 085 ACE B 440 BESSEMER INVESTMENT 085 ACN B 590 ADAGE CAPITAL MGMT 030 AEO B 95,418 BUCK CAPITAL MGMT AEO B 20,000 BUCK CAPITAL MGMT AEO B 30,000 WILLIAM J GAULT FID AMCC B 3815 DAVID GEORGE BUCK FID AMRN B 13 BUCK CAPITAL MGMT ANN B 20,000 BARBARA S LEDDY FID ARCO B 400 THOMAS JOHN LEDDY FID ARCO B 500 THOMAS MACTAGGART LE FID ARCO B 400 BUCK CAPITAL MGMT ASNA S 25,000 BUCK CAPITAL MGMT ASNA B 20,000 BUCK CAPITAL MGMT ASNA B 20,000	MACELLUM CAPITAL 086 ABG B 20,000 26.34 BESSEMER INVESTMENT 085 ACE B 440 72.93 BESSEMER INVESTMENT 085 ACN B 590 59.06 ADAGE CAPITAL MGMT AEO B 20,000 19.95 BUCK CAPITAL MGMT AEO B 30,000 19.90 WILLIAM J GAULT FID AMCC B 3815 5.22 DAVID GEORGE BUCK FID AMRN B 13 0.70 BUCK CAPITAL MGMT ANN B 20,000 27.03 BARBARA S LEDDY FID ARCO B 500 13.19 THOMAS MACTAGGART LE FID ARCO B 400 13.26 THOMAS JOHN LEDDY FID ARCO B 500 13.19 THOMAS MACTAGGART LE FID ARCO B 400 13.16 BUCK CAPITAL MGMT ASNA S 25,000 19.21 BUCK CAPITAL MGMT ASNA B 20,000 19.21 BUCK CAPITAL MGMT ASNA B 20,000 19.21	ANN BETTENDORF FREEM FID AAPL S 5 569.02	ANN BETTENDORF FREEM FID AAPL S 5 569.02 n/a n/a MACELLUM CAPITAL 086 ABG B 20,000 26.34 n/a 27-Apr BESSEMER INVESTMENT 085 ACE B 440 72.93 n/a n/a BESSEMER INVESTMENT 085 ACN B 590 59.06 n/a n/a ADAGE CAPITAL MGMT 030 AEO B 95,418 19.95 n/a 2-Dec BUCK CAPITAL MGMT AEO B 20,000 19.95 n/a 2-Dec BUCK CAPITAL MGMT AEO B 30,000 19.90 n/a 2-Dec WILLIAM J GAULT FID AMCC B 3815 5.22 n/a n/a BUCK CAPITAL MGMT ANN B 13 0.70 n/a n/a BUCK CAPITAL MGMT ANN B 20,000 27.03 n/a n/a BUCK CAPITAL MGMT ANN B 13.26 n/a 7-May THOMAS JOHN LEDDY FID ARCO B 400 13.26 n/a 7-May THOMAS JOHN LEDDY FID ARCO B 500 13.19 n/a 7-May THOMAS MACTAGGART LE FID ARCO B 400 13.16 n/a 7-May THOMAS MACTAGGART LE FID ARCO B 400 13.16 n/a 7-May BUCK CAPITAL MGMT ASNA S 25,000 19.14 n/a n/a MACELLUM CAPITAL MGMT ASNA S 25,000 19.21 n/a n/a BUCK CAPITAL MGMT ASNA B 20,000 19.21 n/a n/a BUCK CAPITAL MGMT ASNA B 20,000 19.21 n/a n/a	ANN BETTENDORF FREEM FID AAPL S 5 569.02

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
	WATERFRONT CAP	028	CAR	SS	100,000	14.62	n/a	n/a	4,000.00	4.0
	NEUBERGER BERMAN	036	CHS	S	122,000	15.12	n/a	5-Feb	4,880.00	4.0
	TUDOR INVESTORS	028	CMI	SS	2,000	100.96	n/a	2-May	60.00	3.0
	BESSEMER INVESTMENT	085	COP	В	395	51.88	n/a	n/a	15.80	4.0
	FIRST NY SEC/CHRIS	041	CRI	SS	10,000	51.08	n/a	n/a	300.00	3.0
	BESSEMER INVESTMENT	085	CTL	В	640	38.76	n/a	n/a	25.60	4.0
	NWQ INVESTMENT MGMT CO	084	CVG	S	20,000	13.45	n/a	n/a	700.00	3.5
	BESSEMER INVESTMENT	085	CVS	В	455	45.14	n/a	n/a	18.20	4.0
f	CICILY S BACKUS	FID	DFZ	s	3000	13.57	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	DTE	В	220	56.23	n/a	n/a	8.80	4.0
f	KAREN F LEDDY	FID	ESSX	s	1700	3.37	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	EXC	В	585	37.21	n/a	n/a	23.40	4.0
	BESSEMER INVESTMENT	085	FCX	В	340	32.32	n/a	n/a	13.60	4.0
	NEUBERGER BERMAN	036	FEIC	В	12,900	44.39	n/a	n/a	516.00	4.0
	BESSEMER INVESTMENT	085	FE	В	610	47.85	n/a	n/a	24.40	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
	ASCEND PARTNERS	033	FINL	В	25 , 000	22.13	n/a	2-Apr	1,250.00	5.0	
ß	BUCK CAPITAL MGMT		FL	В	30,000	30.89	n/a	18-May	non-brg	4.0	
ß	BUCK CAPITAL MGMT		FL	В	50,000	31.11	n/a	18-May	non-brg	4.0	
f	CHRISTOPHER ROBERT C	FID	FSUTX	S	56	55.03	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		GCO	В	50,000	70.83	n/a	n/a	non-brg	4.0	
ß	BUCK CAPITAL MGMT		GCO	В	50,000	70.84	n/a	n/a	non-brg	4.0	
a	BUCK CAPITAL MGMT		GIL	В	30,000	24.93	n/a	4-May	non-brg	2.5	
	ADAGE CAPITAL MGMT	030	GPS	В	300,000	26.83	n/a	n/a	9,000.00	3.0	
	NEUBERGER BERMAN	036	HITT	В	15,000	49.99	n/a	n/a	600.00	4.0	
	HALCYON LLC	041	HP	В	10,000	47.59	n/a	n/a	300.00	3.0	
f	BARBARA S LEDDY	FID	ICON	s	200	15.48	n/a	n/a	0.00	0.0	
f	KAREN F LEDDY	FID	ICON	S	600	15.50	n/a	n/a	0.00	0.0	
f	THOMAS JOHN LEDDY	FID	ICON	S	450	15.48	n/a	n/a	0.00	0.0	
f	THOMAS MACTAGGART LE	FID	ICON	s	400	15.40	n/a	n/a	0.00	0.0	
	DELTEC ASSET MGMT.	040	JCI	S	13,000	30.53	n/a	27-Apr	650.00	5.0	
	BESSEMER INVESTMENT	085	JNJ	В	590	63.62	n/a	n/a	23.60	4.0	
ß	BUCK CAPITAL MGMT		JNY	В	50,000	9.43	n/a	26-Apr	non-brg	3.0	
	HALCYON LLC	041	JPM	В	20,000	34.45	n/a	18-May	600.00	3.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
f	MANNY I KORMAN	FID	JPM	В	10000	33.04	n/a	18-May	0.00	0.0
	STANDARD PACIFIC CAPITAL	084	KEY	В	500,000	7.55	n/a	n/a	20,000.00	4.0
	SUN AMERICA ASSET MGMT	030	KORS	В	14,396	41.50	n/a	n/a	575.84	4.0
	BESSEMER INVESTMENT	085	LOW	В	1,135	25.91	n/a	22-May	45.40	4.0
	BRONSON POINT	036	LVS	С	25,000	47.25	n/a	13-Jun	750.00	3.0
	MERRILL ASSET/BLACKROCK	297	MA	В	11,544	412.41	n/a	17-May	461.76	4.0
	OHIO PERS	047	MET	В	102,426	30.90	n/a	n/a	3,072.78	3.0
	BESSEMER INVESTMENT	085	MHP	В	490	45.28	n/a	n/a	19.60	4.0
f	JASON ERIC COHEN	FID	MINI	В	100	13.65	n/a	n/a	0.00	0.0
f	JOHN FRANCIS MCERLEA	FID	MINI	В	300	13.64	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	MRO	В	820	24.52	n/a	n/a	32.80	4.0
	BESSEMER INVESTMENT	085	MSFT	S	250	29.72	n/a	n/a	10.00	4.0
	PERIMETER	273	MTZ	S	128,104	16.19	n/a	n/a	3,843.12	3.0
	TUDOR INVESTORS	028	MWV	В	5,000	27.52	n/a	25-Apr	150.00	3.0
	BESSEMER INVESTMENT	085	М	В	750	36.53	n/a	10-May	30.00	4.0
	BESSEMER INVESTMENT	085	NEM	В	665	47.25	n/a	n/a	26.60	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
f	JOHN MICHAEL ZOLIDIS	FID	NFLX	s	50	67.97	n/a	n/a	0.00	0.0
	HALCYON LLC	041	NRF	В	10,000	5.27	n/a	n/a	300.00	3.0
ß	BUCK CAPITAL MGMT		NWY	В	25,000	3.30	n/a	n/a	non-brg	2.0
f	MANNY I KORMAN	FID	NYV	В	3368	16.15	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		PII	S	10,000	76.65	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		PII	S	40,000	76.60	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		PVH	В	20,000	77.59	n/a	9-May	non-brg	4.0
ß	BUCK CAPITAL MGMT		PVH	В	20,000	77.76	n/a	9-May	non-brg	4.0
	RAINIER INV MGMT	035	RJF	В	108,630	33.70	n/a	n/a	4,345.20	4.0
ß	BUCK CAPITAL MGMT		RL	С	10,000	151.91	n/a	9-May	non-brg	4.0
f	LEE F BACKUS	FID	RNDY	s	3000	10.79	n/a	n/a	0.00	0.0
	MACELLUM CAPITAL	086	RUE	S	35,000	27.95	n/a	n/a	1,050.00	3.0
	LISTED ERR	097	RUE	S	5,000	27.82	n/a	n/a	0.00	0.0
	LISTED ERR	099	RUE	В	5,000	28.17	n/a	n/a	0.00	0.0
	CYRUS CAPITAL	025	SEE	S	50,000	16.06	n/a	2-Jun	1,500.00	3.0
f	MANNY I KORMAN	FID	SLMAP	В	5000	43.07	n/a	n/a	0.00	0.0
f	JASON ERIC COHEN	FID	SN	s	100	23.74	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
	FIRST NY SEC/CHRIS	041	SPLS	SS	10,000	13.40	n/a	n/a	300.00	3.0
	PERRY PARTNERS	085	SPY	В	50,000	132.47	n/a	n/a	1,500.00	3.0
	BESSEMER INVESTMENT	085	STX	В	375	26.31	n/a	n/a	15.00	4.0
f	ANN BETTENDORF FREEM	FID	SWN	S	100	29.63	n/a	9-May	0.00	0.0
f	DAVID GEORGE BUCK	FID	SXCI	S	10	1.80	n/a	n/a	0.00	0.0
	NEUBERGER BERMAN	036	SYNT	В	13,500	58.33	n/a	n/a	540.00	4.0
	COLUMBUS CIRCLE	036	TNGO	В	2,361	21.49	n/a	n/a	94.44	4.0
	TRUSTCO/SUNTRUST (CEREDEX	()	273 T		s 125,	000 33.65	n	/a n/a	4,375.	00 3.5
f	ALANA J A MADIGAN-SI	FID	UNG	S	150	19.42	n/a	n/a	0.00	0.0
	TUDOR INVESTORS	028	UNP	SS	2,000	113.02	n/a	n/a	60.00	3.0
	ADAGE CAPITAL MGMT	030	URBN	S	300,000	28.18	n/a	n/a	9,000.00	3.0
	HALCYON LLC	041	USO	В	20,000	34.43	n/a	n/a	600.00	3.0
	EPOCH INV MGMT	030	VC	S	530	41.84	n/a	n/a	15.90	3.0
f	ANN BETTENDORF FREEM	FID	VMW	S	25	101.90	n/a	n/a	0.00	0.0
	SENATOR INVESTMENT GROUP	036	WSM	S	160,000	35.87	n/a	n/a	4,800.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BESSEMER INVESTMENT	085	WU	В	1,370	17.00	n/a	n/a	54.80	4.0
BALYASNY ASSET MGMT	044	WY	В	50,000	19.58	n/a	4-May	2,500.00	5.0
VISIUM/BALY BOBBY	044	XLB	SS	20,000	33.65	n/a	n/a	1,000.00	5.0
BESSEMER INVESTMENT	085	ZMH	В	375	60.28	n/a	n/a	15.00	4.0
SIMMONS VENTURES	041	ZQK	В	5,000	2.74	n/a	10-May	150.00	3.0
BUCK CAPITAL MGMT		ZVZZT	В	1,000	1.00	n/a	n/a	non-brg	0.0

Туре	Date Account	RR # Symbol B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL	(AO, CXL, CRT):					0.00
	(marada Daha)				00.0	46.20
	(Trade Date): (AO, CXL, CRT):				88,3	0.00
TOTAL	(RUN DATE) / NFS:				88,3	46.38

BCM Cancel/Corrects and As-Of Trades

Туре	Date	Account	Symbol	B/S	Shares Price		MRI Research		Comm.¢/Shr.	
AO	05/21	BUCK CAPITAL MGMT	URBN	S	20,000	26.71	n/a	n/a	200.00	1.0

MRI Securities with Trade Activity on 22-May. NONE

MRI Securities without Trade Activity on 22-May.

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)