



Daily Compliance Activity Report

Trade Date: 02/14/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MARC PAUL LUCHANSKY	FID	AEGFX	B	167	41.89	n/a	n/a	0.00	0.0
MELLON/BANK OF NEW YORK	046	AFL	S	17,800	56.68	n/a	n/a	712.00	4.0
NEW JERSEY DIV OF INV.	030	AOL	S	30,000	21.93	n/a	n/a	1,200.00	4.0
B BUCK CAPITAL MGMT		ARO	B	100,000	25.94	n/a	4-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	B	100,000	25.98	n/a	4-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	B	100,000	26.00	n/a	4-Feb	non-brg	1.0
B BUCK CAPITAL MGMT		ARO	B	150,000	25.72	n/a	4-Feb	non-brg	4.0
f ABRAM M CHAPPELL	FID	AXL	S	140	14.73	n/a	9-Feb	0.00	0.0
RWC PARTNERS LTD	067	BHI	B	89,429	67.87	n/a	n/a	2,682.87	3.0
MERRILL ASSET/BLACKROCK	266	BPFH	S	8,100	7.44	n/a	n/a	324.00	4.0
RBC CAPITAL MARKETS	040	BUD	B	10,000	54.57	n/a	25-Jan	400.00	4.0
f CHRISTOPHER ROBERT C	FID	BUFHX	B	43	11.53	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	BWA	S	200	5.41	n/a	11-Feb	0.00	0.0
f DAVID GEORGE BUCK	FID	CAT	S	500	103.29	n/a	28-Jan	0.00	0.0
CATAPULT CAP/DEFRANCO	040	CLC	S	26,982	43.94	n/a	n/a	1,079.28	4.0
MELLON/BANK OF NEW YORK	046	CSCO	S	5,000	18.80	n/a	n/a	200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
WEDBUSH/PACIFIC GROWTH EQTY LLC084		CVGI	B	30,000	17.13		n/a	n/a	1,500.00	5.0
JMP ASSET MGMT	210	DAR	B	10,000	15.01	n/a	n/a	500.00	5.0	
f MARC PAUL LUCHANSKY	FID	DD	S	200	54.24	n/a	25-Jan	0.00	0.0	
B BUCK CAPITAL MGMT		DG	C	100,000	26.92	n/a	21-Jan	non-brg	4.0	
B BUCK CAPITAL MGMT		DLTR	C	20,000	50.38	n/a	21-Jan	non-brg	4.0	
LEVEL GLOBAL SHARED ACCT	257	DLTR	S	100,000	50.27	n/a	21-Jan	3,000.00	3.0	
NEW JERSEY DIV OF INV.	030	DVN	S	110,000	87.91	n/a	11-Jan	4,400.00	4.0	
f MARC PAUL LUCHANSKY	FID	EAEMX	B	358	15.38	n/a	n/a	0.00	0.0	
MELLON/BANK OF NEW YORK	046	EEM	B	7,000	45.61	n/a	n/a	280.00	4.0	
f KAREN F LEDDY	FID	EGO	B	900	16.45	n/a	n/a	0.00	0.0	
f MANNY I KORMAN	FID	ENDP	S	50	1.10	n/a	14-Feb	0.00	0.0	
WAGONHOUND/NIC	289	EWS	S	75,000	13.31	n/a	n/a	2,250.00	3.0	
f SCOTT L BRUNNER	FID	FAS	S	2000	33.64	n/a	n/a	0.00	0.0	
f MARC PAUL LUCHANSKY	FID	FCTTX	B	4382	10.04	n/a	n/a	0.00	0.0	
f MARC PAUL LUCHANSKY	FID	FHIGX	B	3297	12.07	n/a	n/a	0.00	0.0	
f MARC PAUL LUCHANSKY	FID	FICNX	S	2659	11.09	n/a	n/a	0.00	0.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	FINPX	S	248	11.45	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FINSX	B	259	21.22	n/a	n/a	0.00	0.0
RIDGECREST INV MGMT LLC	028	FWS	S	30,000	7.43	n/a	n/a	1,500.00	5.0
f MARC PAUL LUCHANSKY	FID	FXCTX	S	2679	10.26	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FXI	S	120	41.99	n/a	n/a	0.00	0.0
f ABRAM M CHAPPELL	FID	F	S	155	16.11	n/a	2-Feb	0.00	0.0
CHILTON CAPITAL MGMT.	036	F	S	300,000	16.27	n/a	2-Feb	9,000.00	3.0
HALCYON LLC	041	GGP	S	100,000	15.32	n/a	n/a	3,000.00	3.0
B BUCK CAPITAL MGMT		GIL	S	10,000	30.63	n/a	9-Feb	non-brg	4.0
FIRST NY SEC/CHRIS	041	GPS	B	15,000	21.40	n/a	n/a	750.00	5.0
f MARC PAUL LUCHANSKY	FID	GSMCX	B	78	38.34	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	GS	B	10	2.40	n/a	14-Feb	0.00	0.0
f DAVID GEORGE BUCK	FID	GS	S	10	7.70	n/a	14-Feb	0.00	0.0
B BUCK CAPITAL MGMT		HBI	S	20,000	25.55	n/a	28-Jan	non-brg	4.0
f KAREN F LEDDY	FID	HL	B	1200	9.84	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	HL	S	1000	9.90	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	HL	S	1000	9.90	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	040	HRS	S	10,000	49.35	n/a	5-Jan	400.00	4.0
f MARC PAUL LUCHANSKY	FID	IGLAX	B	336	16.67	n/a	n/a	0.00	0.0
CLOVIS CAPITAL	012	JNJ	SS	75,000	60.68	n/a	n/a	2,250.00	3.0
B BUCK CAPITAL MGMT		JOSB	S	20,000	45.58	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	046	JPM	B	18,233	46.67	n/a	14-Feb	729.32	4.0
f MARC PAUL LUCHANSKY	FID	JVIAX	S	198	21.82	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	KEY	S	200	9.47	n/a	n/a	0.00	0.0
NEWLAND CAPITAL MGT	025	KOG	B	25,000	6.33	n/a	n/a	1,000.00	4.0
f CHRISTOPHER ROBERT C	FID	KS	B	300	17.34	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	LEA	S	5,001	112.02	n/a	2-Feb	150.03	3.0
AVESTA CAP	229	LVS	SS	100,000	47.04	n/a	10-Feb	3,000.00	3.0
f DAVID GEORGE BUCK	FID	MCD	S	2020	39.45	n/a	8-Feb	0.00	0.0
B BUCK CAPITAL MGMT		MDC	B	25,000	26.44	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	MERC	S	500	11.96	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	MGM	B	25,000	14.94	n/a	14-Feb	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAVU CAP ADV	028	MGM	B	25,000	15.15	n/a	14-Feb	1,250.00	5.0
Õ Abram Chappel		MIPS	B	340	14.87	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	MON	S	2000	74.97	n/a	7-Feb	0.00	0.0
LATEFF MGMT	035	MRO	S	1,750	48.73	n/a	n/a	350.00	20.0
RWC PARTNERS LTD	067	MRO	B	131,489	49.12	n/a	n/a	3,944.67	3.0
PERRY PARTNERS	085	MWV	B	32,427	29.95	n/a	26-Jan	1,621.35	5.0
BNP PARIBAS SEC	040	MW	B	10,000	27.53	n/a	n/a	500.00	5.0
ADAGE CAPITAL MGMT	030	M	B	403,700	24.23	n/a	7-Feb	12,111.00	3.0
ASCEND PARTNERS	033	M	B	80,000	24.01	n/a	7-Feb	4,000.00	5.0
SOROS	036	NCS	S	27,911	13.50	n/a	n/a	1,116.44	4.0
f MARC PAUL LUCHANSKY	FID	NMBAX	B	1778	8.72	n/a	n/a	0.00	0.0
NEWLAND CAPITAL MGT	025	NOA	S	35,000	12.00	n/a	n/a	1,400.00	4.0
CASTLEROCK	040	NVDA	B	45,000	24.27	n/a	n/a	1,800.00	4.0
GLENHILL CAPITAL	036	ODP	B	250,000	6.09	n/a	n/a	10,000.00	4.0
NEWLAND CAPITAL MGT	025	OMN	B	15,000	7.06	n/a	n/a	600.00	4.0
f MARC PAUL LUCHANSKY	FID	PAFDX	B	239	25.09	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PAIBX	S	385	9.80	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	PATAX	S	2950	9.38	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PCAR	S	100	52.21	n/a	2-Feb	0.00	0.0
WEDBUSH/PACIFIC GROWTH EQTY LLC084 PCAR			B	10,000	52.83		n/a 2-Feb	500.00	5.0
f MARC PAUL LUCHANSKY	FID	PCRRX	B	434	9.22	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PEEAX	B	103	29.03	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	PEP	B	1000	63.41	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PHYAX	S	460	9.48	n/a	n/a	0.00	0.0
BUTTE CAPITAL MGMT LLC	084	PLCE	B	6,300	45.99	14-Feb	14-Feb	315.00	5.0
CIRCLE T/SES PART	035	PLCE	S	5,000	44.15	14-Feb	14-Feb	0.00	0.0
COLONIAL FUND LLC	036	PLCE	S	20,000	45.56	14-Feb	14-Feb	1,000.00	5.0
f DAVID GEORGE BUCK	FID	PNRA	S	10	3.40	n/a	11-Feb	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PRFSX	S	1510	5.51	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	61.60	n/a	1-Feb	300.00	3.0
MELLON/BANK OF NEW YORK	046	PXP	S	15,150	38.30	n/a	n/a	606.00	4.0
US BANCORP	030	QCOM	B	63,065	58.47	n/a	n/a	2,837.93	4.5
CASTLEROCK	040	RIMM	SS	49,940	65.92	n/a	n/a	1,997.60	4.0
RBC CAPITAL MARKETS	040	ROST	B	10,000	70.82	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f CHRISTOPHER ROBERT C	FID	RRR	S	200	12.98	n/a	12-Jan	0.00	0.0
MERRILL ASSET/BLACKROCK	266	SAFT	S	4,701	49.56	n/a	n/a	188.04	4.0
BARROW HANLEY MEWHINNEY	031	SEE	B	99,700	28.48	n/a	n/a	3,489.50	3.5
f JOHN MICHAEL ZOLIDIS	FID	SHOO	B	300	42.69	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	SLM	B	550	1.13	n/a	14-Feb	0.00	0.0
f MANNY I KORMAN	FID	SLM	S	550	0.39	n/a	14-Feb	0.00	0.0
f JOSEPH CIRO AMATURO	FID	SLW	B	2500	35.01	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SODA	S	10,000	45.00	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SODA	S	5,000	45.02	n/a	n/a	non-brg	1.0
LITESPEED	028	SSCC	S	70,000	39.36	n/a	7-Jan	2,100.00	3.0
NEW JERSEY DIV OF INV.	030	STT	S	50,000	46.68	n/a	14-Feb	2,000.00	4.0
B BUCK CAPITAL MGMT		SUMR	B	13,617	7.09	n/a	n/a	non-brg	2.5
f MARC PAUL LUCHANSKY	FID	SWN	S	200	37.02	n/a	11-Jan	0.00	0.0
FIRST NEW YORK LEDDY	035	S	S	20,000	4.50	n/a	n/a	800.00	4.0
FIRST NEW YORK NICK	029	S	B	20,000	4.55	n/a	n/a	1,000.00	5.0
ROSEDALE CAPITAL	046	S	B	50,000	4.52	n/a	n/a	1,500.00	3.0
MERRILL ASSET/BLACKROCK	266	THFF	S	1,450	32.16	n/a	n/a	58.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	TPINX	B	339	13.58	n/a	n/a	0.00	0.0
SANDLER CAPITAL	028	TPX	S	81,800	47.66	n/a	n/a	4,090.00	5.0
SURSUM CAPITAL	215	TRLG	SS	20,000	21.38	n/a	n/a	600.00	3.0
MELLON/BANK OF NEW YORK	046	TSM	B	4,500	12.92	n/a	n/a	180.00	4.0
LISTED ERR	099	TTI	B	278	12.19	n/a	n/a	0.00	0.0
CATAPULT	040	URI	S	28,000	31.57	n/a	n/a	1,120.00	4.0
f EDWARD W WHEELER	FID	VHC	B	100	0.20	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	VHC	S	10000	13.51	n/a	n/a	0.00	0.0
f ANGELOS EFSTATHIOU	FID	V	B	70	76.00	n/a	3-Feb	0.00	0.0
f HARALAMBOS EFSTATHI	FID	V	B	200	75.97	n/a	3-Feb	0.00	0.0
f DAVID GEORGE BUCK	FID	WBC	B	1000	64.83	n/a	11-Feb	0.00	0.0
f MARC PAUL LUCHANSKY	FID	WBC	S	210	62.79	n/a	11-Feb	0.00	0.0
OSKIE CAPITAL MGMT	224	WCRX	S	5,000	23.51	n/a	14-Feb	250.00	5.0
SCOPUS FUND	041	WSM	SS	50,000	38.20	n/a	n/a	2,000.00	4.0
f ANTHONY SUTERA	FID	XPO	B	1000	2.32	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID		S	100000	106.42	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

107,583.03

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/11	SCOPIA CAPITAL	048	CHK	B	41,943	30.72	n/a	n/a	1677.72 4.0
AO,	02/11	SCOPIA CAPITAL	048	CHK	C	41,943	30.72	n/a	n/a	1677.72 4.0
AO,	02/11	1798 CAP/LOMBARD ASSET	040	HAL	B	20,000	44.29	n/a	n/a	1000.00 5.0
AO,	02/11	SCOPIA CAPITAL	048	HK	B	25,684	19.98	n/a	n/a	1027.36 4.0
AO,CXL	02/11	SCOPIA CAPITAL	048	HK	B	25,684	19.98	n/a	n/a	1027.36 4.0
AO,CXL	02/11	SAC CHICAGO ANDREW	031	JNY	B	62,500	13.81	n/a	10-Feb	2187.50 3.5
AO,CRT	02/11	SAC CHICAGO ANDREW	031	JNY	B	62,500	13.81	n/a	10-Feb	2187.50 3.5
AO,CXL	02/11	BUCK CAPITAL MGMT	001	WRC	S	9,000	55.66	n/a	20-Jan	270.00 3.0
AO,CRT	02/11	BUCK CAPITAL MGMT	001	WRC	S	9,000	55.66	n/a	20-Jan	270.00 3.0
TOTAL (AO, CXL, CRT) :										1,000.00
TOTAL (Trade Date) :										107,583.03
TOTAL (AO, CXL, CRT) :										1,000.00
TOTAL (RUN DATE) / NFS :										108,583.03

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	02/10	BUCK CAPITAL MGMT.	KEY	S	571,580	9.39	n/a	n/a	34294.80
CRT	02/10	BUCK CAPITAL MGMT	KEY	S	571,580	9.39	n/a	n/a	17147.40 3.0
CXL	02/10	BUCK CAPITAL MGMT.	RF	S	500,200	7.72	n/a	n/a	30012.00
CRT	02/10	BUCK CAPITAL MGMT	RF	S	500,200	7.72	n/a	n/a	15006.00 3.0

MRI Securities with Trade Activity on 14-Feb.

PLCE

MRI Securities without Trade Activity on 14-Feb.

NAV

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 1%

YTD = 4%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)