

Daily Compliance Activity Report Trade Date: 03/28/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
TRUSTCO/SUNTRUST	040	ABG	В	30,300	13.81	25-Jan	22-Feb	969.60	3.2
THIRD COAST CAPITAL	035	ACN	SS	15 , 000	36.53	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	ANF	В	80,000	73.25	10-Jan	10-Mar	3,200.00	4.0
COUGAR TRADING	044	ANF	В	20,000	72.66	10-Jan	10-Mar	1,000.00	5.0
COUGAR TRADING	044	AN	SS	10,000	15.21	n/a	8-Feb	500.00	5.0
COLUMBUS CIRCLE	036	APOL	S	60,000	42.03	n/a	n/a	2,084.32	3.0
PRINCIPLE CAPITAL	040	APOL	SS	55,200	41.90	n/a	n/a	2,208.00	4.0
TRELLUS PARTNERS LP	028	ARGN	В	10,000	14.70	n/a	25-Feb	500.00	5.0
LEDDY	035	ARGN	В	1,000	14.45	n/a	25-Feb	0.00	0.0
MAGUIRE	039	AXE	В	100	63.72	28-Mar	28-Mar	0.00	0.0
PRINCIPLE CAPITAL	040	BID	S	39,800	28.24	n/a	n/a	1,194.00	3.0
GLICK	059	BSC	S	200	10.77	17-Mar	17-Mar	0.00	0.0
BUCK	015	BVDSZ	В	20	1.35	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	CBS	SS	130,000	22.04	n/a	n/a	3,900.00	3.0
WHEELER	800	CHP	В	10,000	5.00	n/a	n/a	0.00	0.0
LEDDY	035	CLMS	С	500	15.92	13-Feb	24-Mar	0.00	0.0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	
COUGAR TRADING	044	СОН	В	5,000	29.73	24-Oct	14-Mar	250.00	5.0	
BUCK	015	COP	В	500	75.77	n/a	n/a	0.00	0.0	
MERRILL ASSET	032	CRR	В	16,700	39.06	13-Feb	26-Mar	751.50	4.5	
STRAUSS ASSET MGMT	040	CSGP	В	5,000	42.89	n/a	n/a	200.00	4.0	
ARIENCE CAPITAL	036	DKS	SS	60,800	26.32	n/a	14-Mar	3,040.00	5.0	
METROPOLITAN CAPITAL	044	DSW	В	51,300	13.64	28-Mar	28-Mar	2,052.00	4.0	
BUCK	015	DVNQT	s	5	4.40	n/a	n/a	0.00	0.0	
MILLENIUM PARTNERS	028	EBAY	В	20,000	30.69	n/a	n/a	1,000.00	5.0	
BUCK CAPITAL MGMT	001	FL	В	100,000	11.30	n/a	12-Mar	4,000.00	4.0	
MAGUIRE	039	FXEUT	В	10	2.90	n/a	n/a	0.00	0.0	
BENCHMARK	028	GM	SS	5.000	18.68	3-Jan	20-Mar	250.00	5.0	
COLONIAL FUND LLC	036	GM	SS		18.97	3-Jan	20-Mar	1,250.00	5.0	
ACK ASSET	028	GNTX	SS	12,000	17.16	25-Jan	29-Jan	500.00	4.5	
COUGAR TRADING	044	GPS	SS	s 15,000	19.63	n/a	7-Mar	750.00	5.0	
BUCK	015	HD	SS	5 500	27.79	n/a	27-Jul	0.00	0.0	
CROWN ADVISORS	045	IFLO	В	2,000	14.02	n/a	n/a	100.00	5.0	
	BUCK MERRILL ASSET STRAUSS ASSET MGMT ARIENCE CAPITAL METROPOLITAN CAPITAL BUCK MILLENIUM PARTNERS BUCK CAPITAL MGMT MAGUIRE BENCHMARK COLONIAL FUND LLC ACK ASSET COUGAR TRADING BUCK	BUCK O15 MERRILL ASSET 032 STRAUSS ASSET MGMT 040 ARIENCE CAPITAL 036 METROPOLITAN CAPITAL 044 BUCK 015 MILLENIUM PARTNERS 028 BUCK CAPITAL MGMT 001 MAGUIRE 039 BENCHMARK 028 COLONIAL FUND LLC 036 ACK ASSET 028 COUGAR TRADING 044 BUCK 015	COUGAR TRADING 044 COH BUCK 015 COP MERRILL ASSET 032 CRR STRAUSS ASSET MGMT 040 CSGP ARIENCE CAPITAL 036 DKS METROPOLITAN CAPITAL 044 DSW BUCK 015 DVNQT MILLENIUM PARTNERS 028 EBAY BUCK CAPITAL MGMT 001 FL MAGUIRE 039 FXEUT BENCHMARK 028 GM COLONIAL FUND LLC 036 GM ACK ASSET 028 GNTX COUGAR TRADING 044 GPS BUCK 015 HD	BUCK BUCK O15 COP B MERRILL ASSET O32 CRR B STRAUSS ASSET MGMT O40 CSGP B ARIENCE CAPITAL O36 DKS SS METROPOLITAN CAPITAL O44 DSW B BUCK O15 DVNQT S MILLENIUM PARTNERS O28 EBAY B BUCK CAPITAL MGMT O01 FL B BUCK CAPITAL MGMT O01 FL B BENCHMARK COLONIAL FUND LLC O36 GM SS ACK ASSET O28 GNTX SS BUCK O15 HD SS BUCK B BUCK O15 B SS BUCK B SS SS SS SS SS SS SS SS SS	COUGAR TRADING 044 COH B 5,000 BUCK 015 COP B 500 MERRILL ASSET 032 CRR B 16,700 STRAUSS ASSET MGMT 040 CSGP B 5,000 ARIENCE CAPITAL 036 DKS SS 60,800 METROPOLITAN CAPITAL 044 DSW B 51,300 BUCK 015 DVNQT S 5 MILLENIUM PARTNERS 028 EBAY B 20,000 BUCK CAPITAL MGMT 001 FL B 100,000 MAGUIRE 039 FXEUT B 10 BENCHMARK 028 GM SS 5,000 ACK ASSET 028 GNTX SS 12,000 ACK ASSET 028 GNTX SS 15,000 BUCK 015 HD SS 500	BUCK	COUGAR TRADING 044 COH B 5,000 29.73 24-Oct BUCK 015 COP B 500 75.77 n/a MERRILL ASSET 032 CRR B 16,700 39.06 13-Feb STRAUSS ASSET MGMT 040 CSGP B 5,000 42.89 n/a ARIENCE CAPITAL 036 DKS SS 60,800 26.32 n/a METROPOLITAN CAPITAL 044 DSW B 51,300 13.64 28-Mar BUCK 015 DVNQT S 5 4.40 n/a MILLENIUM PARTNERS 028 EBAY B 20,000 30.69 n/a BUCK CAPITAL MGMT 001 FL B 100,000 11.30 n/a BUCK CAPITAL MGMT 001 FL B 100,000 11.30 n/a BUCK CAPITAL MGMT 001 FL B 100,000 11.30 n/a BUCK CAPITAL MGMT 02	COUGAR TRADING 044 COH B 5,000 29.73 24-Oct 14-Mar BUCK 015 COP B 500 75.77 n/a n/a MERRILL ASSET 032 CRR B 16,700 39.06 13-Feb 26-Mar STRAUSS ASSET MGMT 040 CSGP B 5,000 42.89 n/a n/a ARIENCE CAPITAL 036 DKS SS 60,800 26.32 n/a 14-Mar METROPOLITAN CAPITAL 044 DSW B 51,300 13.64 28-Mar 28-Mar BUCK 015 DVNQT S 5 4.40 n/a n/a MILLENIUM PARTNERS 028 EBAY B 100,000 30.69 n/a n/a BUCK CAPITAL MGMT 001 FL B 100,000 11.30 n/a 12-Mar MAGUIRE 039 FXEUT B 10 2.90 n/a n/a BENCHMA	COUGAR TRADING 044 COH B 5,000 29.73 24-oct 14-Mar 250.00 BUCK 015 COP B 500 75.77 n/a n/a 0.00 MERRILL ASSET 032 CRR B 16,700 39.06 13-Feb 26-Mar 751.50 STRAUSS ASSET MGMT 040 CSGP B 5,000 42.89 n/a n/a 200.00 ARIENCE CAPITAL 036 DKS SS 60,800 26.32 n/a 14-Mar 3,040.00 METROPOLITAN CAPITAL 044 DSN B 51,300 13.64 28-Mar 28-Mar 2,052.00 BUCK 015 DVNQT S 5 4.40 n/a n/a 1,000.00 BUCK CAPITAL MGMT 001 FL B 100,000 11.30 n/a n/a 1,000.00 BENCHMARK 028 CM SS 5,000 18.68 3-Jan 20-Mar 250.00 <tr< td=""><td>COUGAR TRADING 044 COH B 5,000 29.73 24-Oct 14-Mar 250.00 5.0 BUCK 015 COP B 500 75.77 n/a n/a 0.00 0.0 MERRILL ASSET 032 CRR B 16,700 39.06 13-Feb 26-Mar 751.50 4.5 STRAUSS ASSET MGMT 040 CSGP B 5,000 42.89 n/a n/a 200.00 4.0 ARTENCE CAPITAL 036 DKS SS 60,800 26.32 n/a 14-Mar 3,040.00 5.0 BUCK 015 DVNQT S S 4.40 n/a n/a 0.00 0.0 BUCK CAPITAL MGMT 011 FL B 100,000 30.69 n/a n/a 1,000.00 5.0 BUCK CAPITAL MGMT 011 FL B 100,000 11.30 n/a 12-Mar 4,000.00 4.0 BENCHMARK 028 CM<!--</td--></td></tr<>	COUGAR TRADING 044 COH B 5,000 29.73 24-Oct 14-Mar 250.00 5.0 BUCK 015 COP B 500 75.77 n/a n/a 0.00 0.0 MERRILL ASSET 032 CRR B 16,700 39.06 13-Feb 26-Mar 751.50 4.5 STRAUSS ASSET MGMT 040 CSGP B 5,000 42.89 n/a n/a 200.00 4.0 ARTENCE CAPITAL 036 DKS SS 60,800 26.32 n/a 14-Mar 3,040.00 5.0 BUCK 015 DVNQT S S 4.40 n/a n/a 0.00 0.0 BUCK CAPITAL MGMT 011 FL B 100,000 30.69 n/a n/a 1,000.00 5.0 BUCK CAPITAL MGMT 011 FL B 100,000 11.30 n/a 12-Mar 4,000.00 4.0 BENCHMARK 028 CM </td

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
STRAUSS ASSET MGMT	040	IWO	S	5,000	72.87	n/a	n/a	200.00	4.0
N STRATTON	014	IYR	С	100	64.80	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCP	S	SS 10,000	37.00	4-Jan	7-Mar	500.00	5.0
WOOSTER CAPITAL	035	JCP	S	75,000	35.90	4-Jan	7-Mar	3,750.00	5.0
BUCK	015	JWN	С	1,000	33.14	26-Feb	10-Mar	0.00	0.0
BENNETT LAWRENCE	045	KSS	В	10,300	42.88	4-Jan	7-Mar	463.50	4.5
COUGAR TRADING	044	KSS	В	5,000	42.26	4-Jan	7-Mar	250.00	5.0
COUGAR TRADING	044	KSS	S	SS 10,000	42.00	4-Jan	7-Mar	500.00	5.0
THIRD COAST CAPITAL	035	KSS	В	5,000	42.71	4-Jan	7-Mar	250.00	5.0
TYEE/TYGH	035	KVA	S	50,000	25.25	n/a	n/a	2,000.00	4.0
GENERAL ELECTIC CO	033	LCAPA	S	20,247	15.85	n/a	n/a	404.94	2.0
LUCHANSKY3	036	LEH	В	300	37.91	19-Mar	19-Mar	0.00	0.0
COUGAR TRADING	044	LM	В	15,000	55.31	24-Mar	28-Mar	750.00	5.0
COUGAR TRADING	044	LM	S	15,000	54.51	24-Mar	28-Mar	750.00	5.0
BUCK	015	LYHPD	В	30	0.80	n/a	n/a	0.00	0.0
виск	015	LYHPF	S	30	2.16	n/a	n/a	0.00	0.0
HIGHBRIDGE/MS OLDS	041	MDR	S	40,011	55.56	n/a	n/a	1,600.44	4.0
PAKLER	029	MERSA	В	5	3.85	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
HART	056	MERSA	В	5	3.90	n/a	n/a	0.00	0.0	
NATIONAL BK OF CAN	031	MER	В	20,000	40.40	18-Jan	17-Mar	800.00	4.0	
NATIONAL BK OF CAN	031	MER	SS	20,000	40.34	18-Jan	17-Mar	800.00	4.0	
MATRIX ASSET ADV.	036	MNST	В	18,200	24.50	n/a	n/a	728.00	4.0	
BUCK CAPITAL MGMT	001	M	S	70,000	22.60	7-Feb	7-Mar	2,800.00	4.0	
GLOBAL 56 LLC	060	NLC	В	2,500	21.35	n/a	n/a	100.00	4.0	
PERRY PARTNERS	041	NVT	S	25,000	70.33	n/a	n/a	750.00	3.0	
COUGAR TRADING	044	NWY	В	10,000	5.49	n/a	20-Mar	500.00	5.0	
COUGAR TRADING	044	PAG	SS	5,000	19.43	6-Feb	20-Mar	250.00	5.0	
HOLLIS CAPITAL	033	PCL	S	6,000	40.50	8-Jan	30-Jan	300.00	5.0	
COUGAR TRADING	044	PSUN	SS	5,000	12.35	n/a	14-Mar	250.00	5.0	
MERRILL ASSET	032	RL	S	12,000	57.88	7-Feb	15-Feb	540.00	4.5	
TRELLUS PARTNERS LP	028	RL	SS	5,000	59.00	7-Feb	15-Feb	250.00	5.0	
WAGONHOUND	048	RL	В	1,800	57.86	7-Feb	15-Feb	72.00	4.0	
WAGONHOUND	048	RL	SS	1,800	59.00	7-Feb	15-Feb	72.00	4.0	
ZOLIDIS	011	SHOE	SS	975	1.25	n/a	n/a	0.00	0.0	
GAULT	063	SKF	S	700	119.19	n/a	n/a	0.00	0.0	
BARR	096	SYNP	S	11,840	7.82	n/a	n/a	0.00	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
BUCK CAPITAL MGMT	001	VFC	В	20,000	76.36	n/a	n/a	800.00	4.0
GRANAHAN INV	039	WBC	В	7,800	46.00	28-Mar	28-Mar	312.00	4.0
DAVIDSON TRUST CO	028	WFC	В	1,500	29.97	n/a	n/a	135.00	9.0
DIAMONDBACK CAP MGMT	232	YHOO	В	50,000	28.52	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	ZQK	В	15,000	9.91	5-Feb	7-Mar	750.00	5.0
ZOLIDIS	011	ZUMZ	SS	500	15.41	n/a	n/a	0.00	0.0

TOTAL (Trade Date): 54,577.30

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI 1	Research	Comm.	¢/Shr.
AO,CXL	03/27	COLUMBUS CIRCLE (COLC5050)	036	AMAT	В	43,994	20.13	n/a	n/a	879.88	2.0
AO, CRT	03/27	COLUMBUS CIRCLE (COLC5050)	036	AMAT	В	43,994	20.13	n/a	n/a	1759.76	4.0
AO,CXL	03/27	COLUMBUS CIRCLE (COLC5060)	036	AMAT	В	29,330	20.13	n/a	n/a	586.60	2.0
AO, CRT	03/27	COLUMBUS CIRCLE (COLC5060)	036	AMAT	В	29,330	20.13	n/a	n/a	1173.20	4.0
AO,CXL	03/27	COLUMBUS CIRCLE (COLC1380)	036	AMAT	В	22,897	20.13	n/a	n/a	457.94	2.0
AO, CRT	03/27	COLUMBUS CIRCLE (COLC1380)	036	AMAT	В	22 , 897	20.13	n/a	n/a	915.88	4.0
AO,CXL	03/27	FARALLON CAP MGMT (FARABULK F)	040	IAI	В	215,000	38.96	n/a	n/a	8600.00	4.0
AO, CRT	03/27	FARALLON CAP MGMT (FARABULK F)	040	IAI	В	215,000	38.96	n/a	n/a	6450.00	3.0
TOTAL	(AO,	CXL, CRT):							-	225.58	

TOTAL (RUN DATE) / NFS:	54,351.72
TOTAL (AO, CXL, CRT):	-225.58
TOTAL (Trade Date):	54,577.30

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION