



Daily Compliance Activity Report

Trade Date: 03/04/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	AAI	S	2,000	6.99	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	ABG	B	3,500	14.35	25-Jan	22-Feb	210.00	6.0
COUGAR TRADING	044	AEO	S	15,000	20.82	5-Feb	4-Mar	750.00	5.0
JP MORGAN VENTURES	046	AEO	S	30,000	20.98	5-Feb	4-Mar	1,500.00	5.0
REINHART & MAHONEY	031	AIG	B	150	45.22	n/a	n/a	4.50	3.0
TROUBH PART	067	AIG	S	25,000	45.80	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	ANF	B	5,000	78.39	10-Jan	29-Feb	250.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	77.06	10-Jan	29-Feb	250.00	5.0
ZOLIDIS	011	ANF	B	200	77.49	10-Jan	29-Feb	0.00	0.0
LEDDY	035	APP	S	1,000	12.21	n/a	n/a	0.00	0.0
ZOLIDIS	011	ARO	B	500	27.34	29-Nov	29-Feb	0.00	0.0
REINHART & MAHONEY	031	AVP	S	160	38.14	4-Mar	4-Mar	4.80	3.0
CHILTON CAPITAL MGMT.	036	AYR	SS	7,965	19.32	n/a	n/a	318.60	4.0
MAIRS AND POWER INV	030	BAX	S	30,000	58.77	n/a	22-Apr	1,800.00	6.0
REINHART & MAHONEY	031	BEAV	B	21,790	34.87	n/a	n/a	1,089.50	5.0
PEAK MACAYA CAP MGMT	044	BGG	SS	21,500	17.70	n/a	n/a	1,075.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE/MS OLDS	041	BHP	B	27,547	70.99	n/a	n/a	1,377.35	5.0
OSS CAPITAL/KEVIN DANN	067	BIG	B	175,000	17.08	7-Jan	4-Mar	5,250.00	3.0
RAMIUS CAP	040	BIG	SS	23,000	16.97	7-Jan	4-Mar	1,150.00	5.0
J L F ASSET MGMT	039	BJ	B	128,100	32.99	n/a	27-Jul	5,124.00	4.0
PEAK MACAYA CAP MGMT	044	BKUNA	B	13,100	4.55	n/a	n/a	655.00	5.0
SUTERA	060	BQI	S	5,000	3.95	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	BRL	B	21,930	49.48	28-Feb	4-Mar	1,096.50	5.0
VISIUM/DIV OF BALY BOBBY	044	BRL	SS	25,000	49.70	28-Feb	4-Mar	1,250.00	5.0
UBS OCONNOR	031	BSC	B	25,000	75.61	21-Dec	21-Feb	750.00	3.0
PEAK MACAYA CAP MGMT	044	CACC	B	1,490	14.53	n/a	n/a	7,450.00	500.0
MFP INVESTORS	032	CBT	B	900	26.90	n/a	30-Jan	45.00	5.0
REINHART & MAHONEY	031	CE	S	100	39.65	n/a	n/a	3.00	3.0
REINHART & MAHONEY	031	CGW	B	375	23.44	n/a	n/a	11.25	3.0
HIGHBRIDGE/MS OLDS	041	CHRW	B	56,957	50.98	n/a	n/a	2,847.85	5.0
BALYASNY ASSET MGMT	044	CHS	B	40,000	9.35	31-Jan	29-Feb	2,000.00	5.0
BUCK	015	COF	C	1,000	45.35	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	COHR	S	6,000	28.36	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	COH	SS	50,000	29.87	24-Oct	24-Jan	2,000.00	4.0
COLONIAL FUND LLC	036	COH	SS	25,000	29.93	24-Oct	24-Jan	1,250.00	5.0
COUGAR TRADING	044	COH	SS	20,000	30.11	24-Oct	24-Jan	1,000.00	5.0
LEVIN CAPITAL STRATEGIES	012	COH	SS	15,000	30.03	24-Oct	24-Jan	600.00	4.0
TRELLUS PARTNERS LP	028	COH	SS	100,000	29.98	24-Oct	24-Jan	5,000.00	5.0
PEAK MACAYA CAP MGMT	044	CONN	B	3,900	13.00	n/a	n/a	195.00	5.0
REINHART & MAHONEY	031	CSCO	B	280	24.23	n/a	22-Mar	8.40	3.0
REINHART & MAHONEY	031	CVS	B	400	40.83	n/a	n/a	12.00	3.0
DECADE CAPITAL PARTNERS	040	CYN	B	10,000	49.96	n/a	n/a	500.00	5.0
SEMINOLE CAP	036	DAL	B	200,000	13.25	n/a	n/a	8,000.00	4.0
BARR	096	DAL	S	2,000	12.78	n/a	n/a	0.00	0.0
ROYAL CAPITAL	039	DCP	B	18,400	15.99	n/a	n/a	920.00	5.0
ZOLIDIS	011	DECK	B	100	103.25	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	DFS	B	30,000	14.68	n/a	n/a	1,200.00	4.0
PEAK MACAYA CAP MGMT	044	DKS	B	7,800	27.00	n/a	25-Feb	390.00	5.0
REINHART & MAHONEY	031	DNB	S	70	84.84	n/a	n/a	2.10	3.0
BULL PATH CAPITAL	044	DSW	S	15,000	17.00	26-Oct	8-Feb	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
METROPOLITAN CAPITAL	044	DSW	B	40,100	17.00	26-Oct	8-Feb	2,005.00	5.0
WHEELER	008	DUG	S	3,000	39.56	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	EDU	S	60	60.76	n/a	n/a	1.80	3.0
SUTERA	060	ETFC	B	2,500	3.96	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	EYE	B	2,000	21.59	n/a	n/a	100.00	5.0
HIGHBRIDGE/MS OLDS	041	FCX	B	50,773	98.00	n/a	n/a	2,538.65	5.0
PEAK MACAYA CAP MGMT	044	FIS	S	9,830	40.52	n/a	n/a	491.50	5.0
REINHART & MAHONEY	031	FMC	S	100	56.72	n/a	n/a	3.00	3.0
IRONBOUND CAPITAL	012	FOR	B	3,865	24.00	n/a	n/a	193.25	5.0
LEDDY	035	FOR	S	466	24.40	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	FSP	B	400	12.47	n/a	n/a	12.00	3.0
BARR	096	GFI	B	800	15.05	n/a	n/a	0.00	0.0
RAMIUS CAP	040	GGG	SS	16,900	34.70	n/a	n/a	845.00	5.0
DELTEC ASSET MGMT.	040	GLW	B	5,000	22.97	n/a	11-Feb	250.00	5.0
AMATURO	018	GMO	B	2,000	10.23	n/a	n/a	0.00	0.0
PEAK MACAYA CAP MGMT	044	GOOG	S	500	440.20	n/a	n/a	25.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	GPS	S	10,000	20.21	n/a	29-Feb	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	GPS	SS	20,000	20.32	n/a	29-Feb	1,000.00	5.0
PEAK MACAYA CAP MGMT	044	GSOL	B	9,324	10.77	n/a	n/a	466.20	5.0
REINHART & MAHONEY	031	HANS	S	185	41.11	n/a	n/a	5.55	3.0
CHILTON CAPITAL MGMT.	036	HCBK	SS	9,697	15.88	n/a	n/a	387.88	4.0
T. ROWE PRICE	033	HD	B	253,700	26.88	n/a	27-Jul	7,611.00	3.0
TRPA	033	HD	B	84,400	26.88	n/a	27-Jul	2,532.00	3.0
N STRATTON	014	HEMGF	B	500	3.35	n/a	n/a	0.00	0.0
PEAK MACAYA CAP MGMT	044	HGG	B	8,850	10.47	n/a	n/a	442.50	5.0
ARIENCE CAPITAL	036	HOT	B	13,700	49.96	n/a	n/a	685.00	5.0
U.S.TRUST COMPANY	012	HRS	B	50,000	47.60	11-Jan	29-Feb	1,500.00	3.0
MAGUIRE	090	IBN	C	3,000	46.53	n/a	n/a	0.00	0.0
PEAK MACAYA CAP MGMT	044	ICE	S	3,000	133.83	n/a	n/a	150.00	5.0
REINHART & MAHONEY	031	ICLR	S	150	67.79	n/a	n/a	4.50	3.0
PEAK MACAYA CAP MGMT	044	IMB	B	17,000	5.10	n/a	n/a	850.00	5.0
WHEELER	008	IMMC	B	26,662	0.36	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	INTC	B	300	19.60	n/a	n/a	9.00	3.0
REINHART & MAHONEY	031	IP	S	150	31.88	8-Feb	8-Feb	4.50	3.0
REINHART & MAHONEY	031	ISIL	S	240	22.80	n/a	n/a	7.20	3.0
PHILADELPHIA FINANCIAL	033	IVZ	B	21,762	23.80	20-Dec	29-Feb	1,088.10	5.0
BENCHMARK	028	IYR	SS	7,500	62.54	n/a	n/a	375.00	5.0
REINHART & MAHONEY	031	JAVA	B	300	16.20	n/a	n/a	9.00	3.0
SANDLER O'NEILL ASSET	031	JPM	B	40,000	38.64	17-Jan	28-Feb	2,000.00	5.0
HEALY CIRCLE	044	JWN	SS	10,000	37.18	26-Feb	29-Feb	500.00	5.0
REINHART & MAHONEY	031	JWN	S	130	37.59	26-Feb	29-Feb	3.90	3.0
HAHN CAPITAL	033	KEG	B	75,000	12.90	14-Feb	4-Mar	3,750.00	5.0
ROARING BLUE LION MGMT	012	KFN	B	300	13.70	n/a	n/a	12.00	4.0
REINHART & MAHONEY	031	KMT	S	170	30.00	n/a	n/a	5.10	3.0
COUGAR TRADING	044	KSS	S	30,000	44.82	4-Jan	29-Feb	1,500.00	5.0
SLS CAPITAL LLC	224	KSS	B	167,700	44.93	4-Jan	29-Feb	6,708.00	4.0
REINHART & MAHONEY	031	LLL	S	30	105.26	n/a	n/a	0.90	3.0
REINHART & MAHONEY	031	LRCX	S	80	39.10	n/a	n/a	2.40	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	MANT	S	210	43.92	n/a	n/a	6.30	3.0
REINHART & MAHONEY	031	MCRS	S	200	31.84	n/a	n/a	6.00	3.0
REINHART & MAHONEY	031	MDT	B	350	49.15	n/a	22-Apr	10.50	3.0
UBS OCONNOR	031	MER	SS	105,000	48.28	18-Jan	21-Feb	3,150.00	3.0
BUCK	015	MER	C	500	49.72	18-Jan	21-Feb	0.00	0.0
REINHART & MAHONEY	031	MSFT	B	500	27.06	n/a	3-Apr	15.00	3.0
TROUBH PART	067	MSFT	S	10,000	27.51	n/a	3-Apr	500.00	5.0
DENVER INST. ADV	046	NFX	B	123,200	54.31	n/a	n/a	4,928.00	4.0
LISTED ERR	099	NFX	S	2	55.30	n/a	n/a	0.00	0.0
PEAK MACAYA CAP MGMT	044	NMX	S	4,000	97.16	n/a	n/a	200.00	5.0
PROSPECTOR PARTNERS LLC	036	NP	B	10,000	25.09	8-Jan	23-Jan	400.00	4.0
REINHART & MAHONEY	031	NSR	S	290	25.55	n/a	n/a	8.70	3.0
CATTANI	041	NTRS	B	100	68.66	n/a	21-Feb	0.00	0.0
PEAK MACAYA CAP MGMT	044	NVDA	B	5,600	20.58	n/a	n/a	280.00	5.0
SEMINOLE CAP	036	NWA	B	200,000	12.93	n/a	n/a	8,000.00	4.0
DECADE CAPITAL PARTNERS	040	NWSB	SS	10,000	26.76	n/a	n/a	500.00	5.0
MATRIX ASSET ADV.	036	OMC	B	15,500	44.25	n/a	n/a	620.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FIRST NY SEC/CHRIS	041	OMX	B	5,000	21.07	n/a	15-Apr	300.00	6.0
REINHART & MAHONEY	031	ORLY	S	345	27.36	n/a	n/a	10.35	3.0
REINHART & MAHONEY	031	PCP	S	35	104.68	n/a	n/a	1.05	3.0
REINHART & MAHONEY	031	PFE	B	625	22.19	n/a	31-Mar	18.75	3.0
PEAK MACAYA CAP MGMT	044	PGTI	B	22,958	3.12	n/a	n/a	1,147.90	5.0
COUGAR TRADING	044	PSUN	B	65,000	11.69	n/a	29-Feb	3,250.00	5.0
WHEELER	008	QFSII	B	10	2.60	n/a	n/a	0.00	0.0
WHEELER	008	QFSII	S	30	2.60	n/a	n/a	0.00	0.0
WHEELER	008	QXWHD	S	50	3.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	RL	SS	5,000	61.44	7-Feb	15-Feb	250.00	5.0
STADIA CAPITAL	035	RL	B	45,000	62.39	7-Feb	15-Feb	1,800.00	4.0
REINHART & MAHONEY	031	ROC	S	220	30.33	n/a	n/a	6.60	3.0
REINHART & MAHONEY	031	ROP	S	60	55.78	n/a	n/a	1.80	3.0
REINHART & MAHONEY	031	RVBD	S	320	17.50	n/a	n/a	9.60	3.0
ZOLIDIS	011	SDS	S	700	66.57	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	SII	S	60	61.40	n/a	n/a	1.80	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	SKF	S	44,400	125.10	n/a	n/a	1,776.00	4.0
AMBER CAPITAL	046	SNV	SS	100,000	11.44	n/a	n/a	4,000.00	4.0
BUCK	015	STI	C	500	56.15	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	STJ	S	260	43.27	n/a	n/a	7.80	3.0
CATTANI	041	SWN	B	300	65.86	29-Feb	29-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	SWY	B	100,000	28.58	n/a	n/a	4,000.00	4.0
NCM CAPITAL MGMT	035	TDSS	S	10,000	40.13	n/a	n/a	400.00	4.0
COUGAR TRADING	044	TJX	S	10,000	32.83	n/a	29-Feb	500.00	5.0
REINHART & MAHONEY	031	TMO	B	110	54.68	n/a	n/a	3.30	3.0
REINHART & MAHONEY	031	TTEK	B	500	18.47	n/a	n/a	15.00	3.0
BUCK CAPITAL MGMT	001	TWB	S	70,000	28.94	21-Feb	21-Feb	2,800.00	4.0
COUGAR TRADING	044	TWB	B	5,000	29.44	21-Feb	21-Feb	250.00	5.0
REINHART & MAHONEY	031	TWX	B	350	15.28	n/a	n/a	10.50	3.0
REINHART & MAHONEY	031	TXRH	S	520	9.25	n/a	n/a	15.60	3.0
REINHART & MAHONEY	031	T	B	200	34.58	n/a	4-Jan	6.00	3.0
VISIUM/BALY HOWARD	038	UA	SS	10,000	36.43	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARR	096	ULBI	SS	1,200	12.95	n/a	n/a	0.00	0.0
GRANITE CAPITAL	045	URBN	S	18,500	28.69	29-Feb	29-Feb	925.00	5.0
DECADE CAPITAL PARTNERS	040	USB	B	20,000	31.49	n/a	n/a	1,000.00	5.0
LISTED ERR	099	USB	B	5,000	31.49	n/a	n/a	0.00	0.0
LISTED ERR	099	USB	S	5,000	31.49	n/a	n/a	0.00	0.0
SINCLAIR	031	VC	S	2,500	3.63	n/a	15-Feb	0.00	0.0
SINCLAIR	031	VHEAN	S	20	2.35	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	VWO	B	100	95.98	n/a	n/a	3.00	3.0
JP MORGAN VENTURES	046	WAG	B	25,000	36.30	n/a	n/a	1,250.00	5.0
RAMIUS CAP	040	WDR	SS	15,000	30.47	21-Nov	29-Feb	750.00	5.0
REINHART & MAHONEY	031	WEC	B	140	44.12	n/a	n/a	4.20	3.0
REINHART & MAHONEY	031	WFR	S	30	76.37	n/a	n/a	0.90	3.0
CROWLEY	061	WHT	S	3,000	2.85	n/a	n/a	0.00	0.0
STRAUSS ASSET MGMT	040	XMSR	S	25,000	11.19	n/a	n/a	1,250.00	5.0
GALLEON MGMT LP	033	XRT	S	25,000	32.26	n/a	n/a	1,250.00	5.0
J L F ASSET MGMT	039	ZZ	B	24,900	8.36	n/a	n/a	996.00	4.0

TOTAL (Trade Date):

145,050.43

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/03	JENNISON (JENN8129)	032	AAPL	B	2,000	122.49	n/a	n/a	80.00 4.0
AO,	03/03	JENNISON (JENN8115)	032	AAPL	B	1,500	122.49	n/a	n/a	60.00 4.0
AO,	03/03	JENNISON (JENN8121)	032	AAPL	B	2,200	122.49	n/a	n/a	88.00 4.0
AO,	03/03	JENNISON (JENN620)	032	AAPL	B	6,300	122.49	n/a	n/a	252.00 4.0
AO,	03/03	JENNISON (JENN591)	032	AAPL	B	21,200	122.49	n/a	n/a	848.00 4.0
AO,	03/03	JENNISON (JENN690)	032	AAPL	B	1,300	122.49	n/a	n/a	52.00 4.0
AO,	03/03	JENNISON (JENN813)	032	AAPL	B	7,100	122.49	n/a	n/a	284.00 4.0
CRT	03/04	HIGHBRIDGE/MS OLDS (HIBCMAIN)	041	BHP	B	27,547	70.99	n/a	n/a	1377.35 5.0
CRT	03/04	LEVIN CAPITAL STRATEGIES (LEVSMS)	012	COH	SS	15,000	30.03	24-Oct	24-Jan	600.00 4.0
AO,	03/03	JENNISON (JENN8129)	032	CSCO	S	13,700	24.30	n/a	22-Mar	548.00 4.0
AO,	03/03	JENNISON (JENN8115)	032	CSCO	S	10,400	24.30	n/a	22-Mar	416.00 4.0
AO,	03/03	JENNISON (JENN8121)	032	CSCO	S	14,700	24.30	n/a	22-Mar	588.00 4.0
AO,	03/03	JENNISON (JENN620)	032	CSCO	S	32,100	24.30	n/a	22-Mar	1284.00 4.0
AO,	03/03	JENNISON (JENN591)	032	CSCO	S	149,100	24.30	n/a	22-Mar	5964.00 4.0
AO,	03/03	JENNISON (JENN690)	032	CSCO	S	5,000	24.30	n/a	22-Mar	200.00 4.0
AO,	03/03	JENNISON (JENN813)	032	CSCO	S	31,500	24.30	n/a	22-Mar	1260.00 4.0
AO,	03/03	DAVIDSON TRUST CO (DAVTOMNI)	028	KSS	B	4,850	44.46	4-Jan	29-Feb	242.50 5.0
AO,	03/03	DISCIPLINED GROWTH INV. (DISI190BOW)	030	LVL	B	14,200	2.25	24-Oct	4-Jan	426.00 3.0
TOTAL (AO, CXL, CRT) :										14,569.85

TOTAL (Trade Date):

145,050.43

TOTAL (AO, CXL, CRT):

14,569.85

TOTAL (RUN DATE) / NFS:

159,620.28

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION