



Daily Compliance Activity Report

Trade Date: 03/26/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CSBD	070	ACI	S	100,000	23.20	n/a	n/a	4,000.00	4.0
B BUCK CAPITAL MGMT		ADM	B	35,500	28.21	n/a	26-Mar	non-brg	4.0
PZENA INV ADV	044	AJG	S	11,150	25.09	n/a	n/a	390.25	3.5
f MARC PAUL LUCHANSKY	FID	AOL	S	1000	25.48	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	ATML	S	10000	4.93	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AVP	B	30,200	33.31	26-Mar	26-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		AVP	B	50,000	33.21	26-Mar	26-Mar	non-brg	4.0
M&I FUNDS	047	BIG	S	6,440	37.53	n/a	4-Mar	257.60	4.0
f KAREN F LEDDY	FID	BKRS	B	1700	2.58	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BLK	B	4,500	224.17	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	BONT	B	800	12.55	n/a	n/a	40.00	5.0
f KAREN F LEDDY	FID	BONT	B	1200	12.67	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	BONT	SS	5,000	12.70	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		BWIN AV	B	15,000	62.02	n/a	n/a	non-brg	9.3
NEWLAND CAPITAL MGT	067	BW	B	10,000	21.73	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		BYI	S	70,000	39.18	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KENNEDY CAPITAL	046	CBK	B	10,500	7.98	n/a	n/a	315.00	3.0
f MARK DEVEREAUX KAICH	FID	CGI	S	1000	13.91	n/a	n/a	0.00	0.0
ASCEND PARTNERS	033	COH	B	24,180	40.10	n/a	21-Jan	1,209.00	5.0
f CHRISTOPHER ARTHUR K	FID	C	B	5000	4.31	n/a	26-Mar	0.00	0.0
PIONEER MICHAEL NOLAN	067	DAL	S	32,400	14.39	n/a	n/a	972.00	3.0
B BUCK CAPITAL MGMT		FDO	SS	20,000	36.88	n/a	4-Mar	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	FINL	S	1000	16.75	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FL	S	100,000	15.11	26-Mar	26-Mar	non-brg	4.0
HOLLIS CAPITAL	033	FL	B	10,000	15.27	26-Mar	26-Mar	500.00	5.0
WAGONHOUND/NIC	048	FL	B	39,227	15.05	26-Mar	26-Mar	1,569.08	4.0
f JOHN MICHAEL ZOLIDIS	FID	FRED	S	750	12.49	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	F	SS	50,000	13.76	n/a	3-Mar	2,000.00	4.0
MILLENIUM PARTNERS	028	F	SS	25,000	13.81	n/a	3-Mar	1,000.00	4.0
OZ MGMT	040	F	C	116,268	13.82	n/a	3-Mar	3,488.04	3.0
TROUBH PART	067	F	B	75,000	13.96	n/a	3-Mar	3,750.00	5.0
B BUCK CAPITAL MGMT		GCO	S	15,900	30.11	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GCO	S	17,300	30.18	n/a	n/a	non-brg	2.0
MILLENIUM PARTNERS	028	GE	B	25,000	18.36	n/a	n/a	1,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GIII	S	85,000	27.31	n/a	n/a	non-brg	4.0
MTB INV ADV	034	GIII	B	23,000	27.61	n/a	n/a	1,150.00	5.0
B BUCK CAPITAL MGMT		GIL	B	10,000	26.08	n/a	22-Mar	non-brg	4.0
f DAVID GEORGE BUCK	FID	GRT	B	3000	5.43	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	HAR	SS	1,000	46.63	n/a	9-Feb	50.00	5.0
EXIS CAPITAL	044	HGG	B	40,000	26.02	n/a	n/a	2,000.00	5.0
f RAGHAV NAYAR	FID	HWD	B	410	9.73	n/a	n/a	0.00	0.0
ASCEND PARTNERS	033	IP	B	72,697	25.05	n/a	26-Mar	3,634.85	5.0
WESLEY CAPITAL	029	IYR	SS	25,000	50.00	n/a	n/a	1,000.00	4.0
ADAGE CAPITAL MGMT	030	JCP	B	150,000	33.12	n/a	5-Mar	4,500.00	3.0
B BUCK CAPITAL MGMT		JNY	B	30,000	18.75	n/a	10-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		JOSB	S	10,000	51.88	n/a	n/a	non-brg	2.5
UBS OCONNOR	031	KMB	B	80,000	62.72	n/a	3-Mar	2,400.00	3.0
MELLON/BANK OF NEW YORK	046	KNX	B	85,100	20.18	n/a	n/a	4,255.00	5.0
UBS OCONNOR	031	KO	B	90,000	54.81	n/a	18-Mar	2,700.00	3.0
B BUCK CAPITAL MGMT		LEA	B	12,500	80.72	n/a	25-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OZ MGMT	040	LEA	B	13,701	81.00	n/a	25-Mar	411.03	3.0
MILLENIUM PARTNERS	028	LPX	B	15,000	8.01	n/a	26-Mar	600.00	4.0
SCOPUS FUND	041	LPX	B	120,000	8.13	n/a	26-Mar	6,000.00	5.0
B BUCK CAPITAL MGMT		LTD	B	30,000	25.56	n/a	n/a	non-brg	4.0
MTB INV ADV	034	MFB	B	10,000	21.70	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		MON	B	14,100	71.50	n/a	26-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		MVRX	C	45,000	198.28	n/a	n/a	non-brg	19.8
B BUCK CAPITAL MGMT		MW	SS	20,000	24.27	n/a	n/a	non-brg	4.0
LAGRANGE CAP ADV	048	PAG	B	30,000	15.13	n/a	23-Mar	1,500.00	5.0
LAGRANGE CAP ADV	048	PALM	B	10,000	3.80	n/a	n/a	500.00	5.0
OZ MGMT	040	PSSI	B	41,268	23.39	n/a	n/a	1,238.04	3.0
B BUCK CAPITAL MGMT	001	PSS	B	60,000	22.41	n/a	n/a	2,400.00	4.0
B BUCK CAPITAL MGMT		PSS	B	45,100	22.20	n/a	n/a	non-brg	4.0
EXIS CAPITAL	044	PSUN	SS	20,000	5.33	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		PVH	B	10,000	55.97	n/a	23-Mar	non-brg	4.0
f DAVID GEORGE BUCK	FID	PVH	S	1010	50.35	n/a	23-Mar	0.00	0.0
MELLON/BANK OF NEW YORK	046	RA	B	13,800	11.44	n/a	n/a	690.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		SAH	B	30,000	12.22	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SIG	B	30,000	29.50	n/a	n/a	non-brg	4.0
LAGRANGE CAP ADV	048	SKX	SS	10,000	37.25	n/a	n/a	500.00	5.0
OSS CAPITAL/KEVIN DANN	067	STEI	B	37,001	5.98	n/a	n/a	1,110.03	3.0
⚡ BUCK CAPITAL MGMT		SUMR	S	19,500	6.25	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		SUMR	S	40,000	6.21	n/a	n/a	non-brg	0.8
⚡ MARK DEVEREAUX KAICH	FID	TBL	B	500	21.33	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	THO	B	80,600	31.28	n/a	n/a	3,224.00	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	20,000	20.52	n/a	26-Mar	1,000.00	5.0
CSBD	070	TIN	B	22,000	20.62	n/a	26-Mar	880.00	4.0
⚡ BUCK CAPITAL MGMT		UA	SS	20,000	30.41	n/a	29-Jan	non-brg	4.0
COHE & STEERS	041	WY	S	1,313	44.40	n/a	26-Mar	52.52	4.0
WESLEY CAPITAL	029	WY	S	15,000	44.01	n/a	26-Mar	600.00	4.0
⚡ BUCK CAPITAL MGMT		XRT	C	450,000	41.69	n/a	n/a	non-brg	2.0
⚡ EDWARD W WHEELER	FID	ZINC	B	5000	11.80	n/a	n/a	0.00	0.0
⚡ EDWARD W WHEELER	FID	ZINC	B	5000	11.85	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

65,036.44

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/25	THOMPSON SIEGAL&WALMSLEY	029	CMI	S	27,500	62.48	n/a	17-Mar	825.00 3.0
AO,CRT	03/25	AVENUE CAPITAL MGMT	070	DAN	S	100,000	13.20	n/a	25-Feb	3000.00 3.0
AO,CXL	03/25	AVENUE CAPITAL MGMT	070	DAN	S	100,000	13.20	n/a	25-Feb	4000.00 4.0
AO,	03/25	COLUMBUS CIRCLE	036	FCX	S	3,800	80.06	n/a	n/a	152.00 4.0
AO,CXL	03/25	COLONIAL FUND LLC	036	JCI	B	25,000	33.39	n/a	26-Mar	1250.00 5.0
AO,CRT	03/25	COLONIAL FUND LLC	036	JCI	B	25,000	33.39	n/a	26-Mar	1250.00 5.0
AO,	03/25	THOMPSON SIEGAL&WALMSLEY	029	SATS	S	8,953	19.83	n/a	n/a	179.06 2.0
AO,CRT	03/23	PROSPECTOR PARTNERS LLC	036	SJT	S	4,500	20.41	n/a	n/a	180.00 4.0
AO,CXL	03/23	PROSPECTOR PARTNERS LLC	036	SJT	S	4,500	20.41	n/a	n/a	180.00 4.0
TOTAL (AO, CXL, CRT) :										156.06

TOTAL (Trade Date):	65,036.44
TOTAL (AO, CXL, CRT):	156.06

TOTAL (RUN DATE) / NFS:	65,192.50
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MRI Securities with Trade Activity on 26-Mar.

AVP, FL

MRI Securities without Trade Activity on 26-Mar.

PCP

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 5%

YTD = 15%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)