

Daily Compliance Activity Report Trade Date: 09/25/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
BALYASNY ASSET MGMT	044	ABG	В	20,000	19.39	18-Jun	27-Jul	1,000.00	5.0
AMBER CAPITAL	046	AKS	S	75,000	42.58	n/a	n/a	3,000.00	4.0
LISTED ERR	099	AKS	В	25,000	42.67	n/a	n/a	0.00	0.0
LISTED ERR	099	AKS	S	25,000	42.54	n/a	n/a	0.00	0.0
CATTANI	041	АМХ	s	100	63.88	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ANF	В	10,000	76.49	23-Aug	7-Sep	500.00	5.0
HAYGROUND COE	039	ANF	В	50,000	77.43	23-Aug	7-Sep	2,500.00	5.0
THE JAY GOLDMAN	034	ANF	В	20,000	76.60	23-Aug	7-Sep	800.00	4.0
WAGONHOUND	048	ANN	SS	12,350	31.76	6-Aug	7-Sep	494.00	4.0
MERRILL ASSET	032	AOI	S	77,500	6.94	n/a	n/a	3,487.50	4.5
BALYASNY ASSET MGMT	044	AXL	В	4,900	23.19	n/a	n/a	245.00	5.0
CHART WELL ADV	243	AXL	С	2,000	23.42	n/a	n/a	60.00	3.0
CHART WELL ADV	243	AXL	SS	2,000	24.02	n/a	n/a	60.00	3.0
STARK ASSET MGMT	031	AXL	В	3,400	23.09	n/a	n/a	136.00	4.0
DIAMONDBACK CAP MGMT	232	BAC	S	25,000	50.05	20-Apr	20-Sep	1,250.00	5.0
FORD FDN.	032	ВА	S	55,000	103.82	n/a	n/a	2,750.00	5.0
J L F ASSET MGMT	039	BBBY	В	49,800	33.29	n/a	n/a	1,992.00	4.0
SSI INVESTORS	048	BEN	S	30,125	126.91	25-Sep	25-Sep	1,205.00	4.0

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Account	RR #	Symbol	В/	s	Shares	Price	MRI	Research	Comm.	\$/Shr.	
UBS OCONNOR	031	BEN		SS	25,000	126.38	25-Sep	25-Sep	750.00	3.0	
VISIUM/BALY HOWARD	038	ВМҮ	В		50,000	28.51	n/a	n/a	2,500.00	5.0	
LEDDY	035	BONT		s	1,900	23.75	3-Aug	7-Sep	0.00	0.0	
LEDDY	035	CHCG	В		4,000	4.14	n/a	n/a	0.00	0.0	
VARDON PARTNERS	035	CHS		SS	25,000	14.03	30-Aug	7-Sep	1,250.00	5.0	
WAGONHOUND	048	CHS		SS	17,660	14.60	30-Aug	7-Sep	706.40	4.0	
RHO CAPITAL MGMT	041	CHTP	В		100	6.05	n/a	n/a	5.00	5.0	
MKT MAKER	099	CHUX	В		59	14.84	n/a	n/a	0.00	0.0	
MKT MAKER	099	DBRN		S	9	17.28	n/a	n/a	0.00	0.0	
BUCK CAPITAL MGMT	001	DDS		SS	10,000	20.97	n/a	n/a	400.00	4.0	
DIAMONDBACK CAP MGMT	232	DFS		SS	25,000	21.50	n/a	n/a	1,250.00	5.0	
GOLDMAN SACHS ASSET MGMT	044	DFS	В		62,976	21.65	n/a	n/a	1,259.52	2.0	
REINHART & MAHONEY	031	DNR		S	13,200	44.26	n/a	n/a	660.00	5.0	
NEUBERGER BERMAN	036	DV	В		45 , 300	36.35	n/a	n/a	2,265.00	5.0	
SANDLER O'NEILL ASSET	031	EV	В		3,300	38.98	23-May	25-Sep	165.00	5.0	
BALYASNY ASSET MGMT	044	FDO	В		20,000	26.10	9-Aug	21-Sep	1,000.00	5.0	
LEVIN CAPITAL STRATEGIES	012	F		S	25,000	8.32	n/a	13-Sep	500.00	2.0	
	UBS OCONNOR VISIUM/BALY HOWARD LEDDY LEDDY VARDON PARTNERS WAGONHOUND RHO CAPITAL MGMT MKT MAKER MKT MAKER BUCK CAPITAL MGMT DIAMONDBACK CAP MGMT GOLDMAN SACHS ASSET MGMT REINHART & MAHONEY NEUBERGER BERMAN SANDLER O'NEILL ASSET BALYASNY ASSET MGMT	UBS OCONNOR 031 VISIUM/BALY HOWARD 038 LEDDY 035 LEDDY 035 VARDON PARTNERS 035 WAGONHOUND 048 RHO CAPITAL MGMT 041 MKT MAKER 099 MKT MAKER 099 BUCK CAPITAL MGMT 232 GOLDMAN SACHS ASSET MGMT 044 REINHART & MAHONEY 031 NEUBERGER BERMAN 036 SANDLER O'NEILL ASSET 031 BALYASNY ASSET MGMT 044	UBS OCONNOR 031 BEN VISIUM/BALY HOWARD 038 BMY LEDDY 035 BONT LEDDY 035 CHCG VARDON PARTNERS 035 CHS WAGONHOUND 048 CHS RHO CAPITAL MGMT 041 CHTP MKT MAKER 099 CHUX MKT MAKER 099 DBRN BUCK CAPITAL MGMT 001 DDS DIAMONDBACK CAP MGMT 232 DFS GOLDMAN SACHS ASSET MGMT 044 DFS REINHART & MAHONEY 031 DNR NEUBERGER BERMAN 036 DV SANDLER O'NEILL ASSET 031 EV BALYASNY ASSET MGMT 044 FDO	UBS OCONNOR 031 BEN VISIUM/BALY HOWARD 038 BMY B LEDDY 035 BONT LEDDY 035 CHCG B VARDON PARTNERS 035 CHS WAGONHOUND 048 CHS RHO CAPITAL MGMT 041 CHTP B MKT MAKER 099 CHUX B MKT MAKER 099 DBRN BUCK CAPITAL MGMT 001 DDS DIAMONDBACK CAP MGMT 044 DFS B REINHART & MAHONEY 031 DNR NEUBERGER BERMAN 036 DV B SANDLER O'NEILL ASSET 031 EV B BALYASNY ASSET MGMT 044 FDO B	UBS OCONNOR 031 BEN SS VISIUM/BALY HOWARD 038 BMY B LEDDY 035 BONT S LEDDY 035 CHCG B VARDON PARTNERS 035 CHS SS WAGONHOUND 048 CHS SS RHO CAPITAL MGMT 041 CHTP B MKT MAKER 099 CHUX B MKT MAKER 099 DBRN S BUCK CAPITAL MGMT 001 DDS SS BUCK CAPITAL MGMT 004 DFS S GOLDMAN SACHS ASSET MGMT 044 DFS B REINHART & MAHONEY 031 DNR S NEUBERGER BERMAN 036 DV B SANDLER O'NEILL ASSET 031 EV B BALYASNY ASSET MGMT 044 FDO B	UBS OCONNOR 031 BEN SS 25,000 VISIUM/BALY HOWARD 038 BMY B 50,000 LEDDY 035 BONT S 1,900 LEDDY 035 CHCG B 4,000 VARDON PARTNERS 035 CHS SS 25,000 WAGONHOUND 048 CHS SS 17,660 RHO CAPITAL MGMT 041 CHTP B 100 MKT MAKER 099 CHUX B 59 BUCK CAPITAL MGMT 001 DDS SS 10,000 DIAMONDBACK CAP MGMT 232 DFS SS 25,000 GOLDMAN SACHS ASSET MGMT 044 DFS B 62,976 REINHART & MAHONEY 031 DNR S 13,200 NEUBERGER BERMAN 036 DV B 45,300 SANDLER O'NEILL ASSET 031 EV B 3,300 BALYASNY ASSET MGMT 044 FDO B 20,000	UBS OCONNOR 031 BEN SS 25,000 126.38 VISIUM/BALY HOWARD 038 BMY B 50,000 28.51 LEDDY 035 BONT S 1,900 23.75 LEDDY 035 CHCG B 4,000 4.14 VARDON PARTNERS 035 CHS SS 25,000 14.03 WAGONHOUND 048 CHS SS 17,660 14.60 RHO CAPITAL MGMT 041 CHTP B 100 6.05 MKT MAKER 099 DBRN S 9 17.28 BUCK CAPITAL MGMT 001 DDS SS 10,000 20.97 DIAMONDBACK CAP MGMT 232 DFS SS 25,000 21.50 GOLDMAN SACHS ASSET MGMT 044 DFS B 62,976 21.65 REINHART & MAHONEY 031 DNR S 13,200 44.26 NEUBERGER BERMAN 036 DV B 45,300 <td< td=""><td>UBS OCONNOR 031 BEN SS 25,000 126.38 25-Sep VISIUM/BALY HOWARD 038 BMY B 50,000 28.51 n/a LEDDY 035 BONT S 1,900 23.75 3-Aug LEDDY 035 CHCG B 4,000 4.14 n/a VARDON PARTNERS 035 CHS SS 25,000 14.03 30-Aug WAGONHOUND 048 CHS SS 17,660 14.60 30-Aug RHO CAPITAL MGMT 041 CHTP B 100 6.05 n/a MKT MAKER 099 CHUX B 59 14.84 n/a BUCK CAPITAL MGMT 001 DDS SS 10,000 20.97 n/a DIAMONDBACK CAP MGMT 232 DFS SS 25,000 21.50 n/a GOLDMAN SACHS ASSET MGMT 044 DFS B 62,976 21.65 n/a NEUBERGER BERMAN 036</td><td>UBS OCONNOR 031 BEN SS 25,000 126.38 25-Sep 25-Sep VISIUM/BALY HOWARD 038 BMY B 50,000 28.51 n/a n/a n/a LEDDY 035 BONT S 1,900 23.75 3-Aug 7-Sep LEDDY 035 CHCG B 4,000 4.14 n/a n/a n/a VARDON PARTNERS 035 CHCG B 5 25,000 14.03 30-Aug 7-Sep WAGONHOUND 048 CHS SS 17,660 14.60 30-Aug 7-Sep RHO CAPITAL MGMT 041 CHTP B 100 6.05 n/a n/a n/a MKT MAKER 099 CHUX B 59 14.84 n/a n/a n/a MKT MAKER 099 DBRN S 9 17.28 n/a n/a n/a BUCK CAPITAL MGMT 001 DDS SS 10,000 20.97 n/a n/a n/a DIAMONDBACK CAP MGMT 044 DFS B 62,976 21.65 n/a n/a n/a REINHART & MAHONEY 031 DNR S 13,200 44.26 n/a n/a n/a SANDLER O'NEILL ASSET 031 EV B 3,300 38.98 23-May 25-Sep BALYASNY ASSET MGMT 044 FDO B 20,000 26.10 9-Aug 21-Sep</td><td>UBS OCONNOR 031 BEN SS 25,000 126.38 25-Sep 25-Sep 750.00 VISIUM/BALY HOWARD 038 BMY B 50,000 28.51 n/a n/a 2,500.00 LEDDY 035 BONT S 1,900 23.75 3-Aug 7-Sep 0.00 LEDDY 035 CHCG B 4,000 4.14 n/a n/a 0.00 VARDON FARTNERS 035 CHS SS 25,000 14.03 30-Aug 7-Sep 1,250.00 MAGONHOUND 048 CHS SS 17,660 14.60 30-Aug 7-Sep 706.40 RHO CAPITAL MGMT 041 CHTP B 100 6.05 n/a n/a 5.00 MKT MAKER 099 DBRN S 9 17.28 n/a n/a 0.00 BUCK CAPITAL MGMT 001 DDS SS 10,000 20.97 n/a n/a 1,250.00 GOL</td><td>UBS OCONNOR 031 BEN SS 25,000 126.38 25-Sep 25-Sep 750.00 3.0 VISIUM/BALY HOWARD 038 BMY B 50,000 28.51 n/a n/a 2,500.00 5.0 LEDDY 035 BONT S 1,900 23.75 3-Aug 7-Sep 0.00 0.0 VARDON PARTNERS 035 CHS SS 25,000 14.03 30-Aug 7-Sep 1,250.00 5.0 WAGONHOUND 048 CHS SS 17,660 14.60 30-Aug 7-Sep 706.40 4.0 RHO CAPITAL MCMT 041 CHTP B 100 6.05 n/a n/a 5.00 5.0 MKT MARER 099 CHUX B 59 17.28 n/a n/a 0.00 0.0 BUCK CAPITAL MGMT 001 DDS SS 10,000 20.97 n/a n/a 1,250.00 5.0 GOLDMAN SACHS ASSET MGMT 044<!--</td--></td></td<>	UBS OCONNOR 031 BEN SS 25,000 126.38 25-Sep VISIUM/BALY HOWARD 038 BMY B 50,000 28.51 n/a LEDDY 035 BONT S 1,900 23.75 3-Aug LEDDY 035 CHCG B 4,000 4.14 n/a VARDON PARTNERS 035 CHS SS 25,000 14.03 30-Aug WAGONHOUND 048 CHS SS 17,660 14.60 30-Aug RHO CAPITAL MGMT 041 CHTP B 100 6.05 n/a MKT MAKER 099 CHUX B 59 14.84 n/a BUCK CAPITAL MGMT 001 DDS SS 10,000 20.97 n/a DIAMONDBACK CAP MGMT 232 DFS SS 25,000 21.50 n/a GOLDMAN SACHS ASSET MGMT 044 DFS B 62,976 21.65 n/a NEUBERGER BERMAN 036	UBS OCONNOR 031 BEN SS 25,000 126.38 25-Sep 25-Sep VISIUM/BALY HOWARD 038 BMY B 50,000 28.51 n/a n/a n/a LEDDY 035 BONT S 1,900 23.75 3-Aug 7-Sep LEDDY 035 CHCG B 4,000 4.14 n/a n/a n/a VARDON PARTNERS 035 CHCG B 5 25,000 14.03 30-Aug 7-Sep WAGONHOUND 048 CHS SS 17,660 14.60 30-Aug 7-Sep RHO CAPITAL MGMT 041 CHTP B 100 6.05 n/a n/a n/a MKT MAKER 099 CHUX B 59 14.84 n/a n/a n/a MKT MAKER 099 DBRN S 9 17.28 n/a n/a n/a BUCK CAPITAL MGMT 001 DDS SS 10,000 20.97 n/a n/a n/a DIAMONDBACK CAP MGMT 044 DFS B 62,976 21.65 n/a n/a n/a REINHART & MAHONEY 031 DNR S 13,200 44.26 n/a n/a n/a SANDLER O'NEILL ASSET 031 EV B 3,300 38.98 23-May 25-Sep BALYASNY ASSET MGMT 044 FDO B 20,000 26.10 9-Aug 21-Sep	UBS OCONNOR 031 BEN SS 25,000 126.38 25-Sep 25-Sep 750.00 VISIUM/BALY HOWARD 038 BMY B 50,000 28.51 n/a n/a 2,500.00 LEDDY 035 BONT S 1,900 23.75 3-Aug 7-Sep 0.00 LEDDY 035 CHCG B 4,000 4.14 n/a n/a 0.00 VARDON FARTNERS 035 CHS SS 25,000 14.03 30-Aug 7-Sep 1,250.00 MAGONHOUND 048 CHS SS 17,660 14.60 30-Aug 7-Sep 706.40 RHO CAPITAL MGMT 041 CHTP B 100 6.05 n/a n/a 5.00 MKT MAKER 099 DBRN S 9 17.28 n/a n/a 0.00 BUCK CAPITAL MGMT 001 DDS SS 10,000 20.97 n/a n/a 1,250.00 GOL	UBS OCONNOR 031 BEN SS 25,000 126.38 25-Sep 25-Sep 750.00 3.0 VISIUM/BALY HOWARD 038 BMY B 50,000 28.51 n/a n/a 2,500.00 5.0 LEDDY 035 BONT S 1,900 23.75 3-Aug 7-Sep 0.00 0.0 VARDON PARTNERS 035 CHS SS 25,000 14.03 30-Aug 7-Sep 1,250.00 5.0 WAGONHOUND 048 CHS SS 17,660 14.60 30-Aug 7-Sep 706.40 4.0 RHO CAPITAL MCMT 041 CHTP B 100 6.05 n/a n/a 5.00 5.0 MKT MARER 099 CHUX B 59 17.28 n/a n/a 0.00 0.0 BUCK CAPITAL MGMT 001 DDS SS 10,000 20.97 n/a n/a 1,250.00 5.0 GOLDMAN SACHS ASSET MGMT 044 </td

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
STARK ASSET MGMT	031	F	В	75 , 000	8.32	n/a	13-Sep	3,000.00	4.0
TDC AVON	040	GE	В	1,025,000	41 22	- /-	- /-	E1 2E0 00	F 0
TPG-AXON	040	GE	В	1,025,000	41.23	n/a	n/a	51,250.00	5.0
STARK ASSET MGMT	031	GM	В	10,000	34.65	4-May	13-Sep	400.00	4.0
REINHART & MAHONEY	031	HOLX	В	15,710	58.19	n/a	n/a	785.50	5.0
U.S.TRUST COMPANY	012	HRS	В	55,000	57.17	8-Aug	8-Aug	2,475.00	4.5
BUCK	015	HUM	s	500	67.93	n/a	n/a	0.00	0.0
CAMPADATE.	0.40		2	F 000	07.00	0.7	05.0	050.00	F 0
CATAPULT CLOVIS CAPITAL	040 012	IVZ IVZ	S B	5,000 225,000	27.20 27.08	8-Aug 8-Aug	25-Sep 25-Sep	250.00 11,250.00	5.0 5.0
SAVANNAH-BALTIMORE	012	IVZ	В	81,700	27.00	8-Aug	25-sep 25-sep	4,085.00	5.0
WAGONHOUND	048	IVZ	Б S	55,365	26.90	8-Aug	25-Sep 25-Sep	2,214.60	4.0
WAGONHOUND	040	ΙVΔ	۵	33,363	20.90	o-Aug	20-sep	2,214.00	4.0
TRELLUS PARTNERS LP	028	JCI	С	10,000	113.37	19-Jul	19-Jul	500.00	5.0
RIVER RUN MGMT	031	JNY	В	35,000	20.51	n/a	13-Sep	1,750.00	5.0
LISTED ERR	099	JNY	В	5,000	20.53	n/a	13-Sep	0.00	0.0
LISTED ERR	099	JNY	s	5,000	20.50	n/a	13-Sep	0.00	0.0
DEEPHAVEN	031	JWN	В	10,000	48.34	12-Jul	7-Sep	500.00	5.0
DSG CAPITAL	225	KEGS	В	30,000	16.99	23-Jul	25-Sep	1,500.00	5.0
STARK ASSET MGMT	031	LEA	S	20,200	31.55	n/a	n/a	808.00	4.0
BRANDWINE	028	LIZ	S	15,900	34.31	n/a	14-Sep	715.50	4.5

Account		RR #	Symbol	B/S	}	Shares	Price	MRI	Research	Comm.	\$/Shr.	
GOLDMAN SACHS AS	SSET MGMT	044	LOW	В		61,002	29.16	n/a	27-Jul	1,220.04	2.0	
DISIPLINED GROW	TH INV.	030	LVLT		S	13,600	4.55	6-Sep	21-Sep	408.00	3.0	
MS PRINCIPAL ST	RATEGIES	041	MAR	В		50,000	43.31	n/a	n/a	2,500.00	5.0	
KERN		050	MHGC	В		500	21.22	n/a	n/a	0.00	0.0	
REINHART & MAHON	1EY	031	MIL		S	6,110	75.88	n/a	n/a	305.50	5.0	
TRUST CO/COWEN/	CALF.	040	MOT	В		686,455	18.15	n/a	n/a	27,458.20	4.0	
BUCK CAPITAL MG	4T	001	MW		SS	25,000	49.34	n/a	n/a	1,000.00	4.0	
SAC TRADING HOW	ARD	038	MW	В		5,000	48.18	n/a	n/a	250.00	5.0	
GLG PARTNERS		034	М	В		50,000	31.68	24-Sep	24-Sep	2,500.00	5.0	
WOOSTER CAPITAL		035	М	В		100,000	31.54	24-Sep	24-Sep	5,000.00	5.0	
RIVER RUN MGMT		031	NAVZ		SS	25,000	60.00	n/a	n/a	1,250.00	5.0	
BARR		096	NGI	В		9,975	4.90	n/a	n/a	0.00	0.0	
JP MORGAN VENTUI	RES	046	NTY	В		10,000	40.60	n/a	n/a	500.00	5.0	
CRYSTAL ROCK CAI	P MGMT	031	PAYX		S	35,103	43.49	n/a	n/a	1,755.15	5.0	
BUCK		015	PNRA	С		600	40.98	n/a	n/a	0.00	0.0	
EGS ASSOCIATES 1	LP	091	PVH	В		600	51.90	13-Aug	29-Aug	36.00	6.0	

Account	RR #	Symbol	в/:	S	Shares	Price	MRI	Research	Comm.	Shr.
PERRY PARTNERS	041	RAD		S	300,000	4.97	n/a	n/a	9,000.00	3.0
BUCK CAPITAL MGMT	001	RL		s	10,000	74.26	9-Aug	29-Aug	400.00	4.0
CUMBERLAND	038	RRGB	В		15,000	43.14	n/a	n/a	600.00	4.0
MKT MAKER	099	SAPE		S	135	6.89	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	TEN	В		11,500	31.04	n/a	n/a	575.00	5.0
CATAPULT	040	TROW		SS	5,000	53.66	n/a	25-Sep	250.00	5.0
SANDLER O'NEILL ASSET	031	TROW		S	1,300	53.84	n/a	25-Sep	65.00	5.0
SENTINAL CAPITAL	067	Т	В		5,000	42.38	21-Sep	25-Sep	250.00	5.0
BAYPOINT/PRIMEMOVERS	041	UEIC		S	3,700	32.08	n/a	n/a	185.00	5.0
MKT MAKER	099	ULTI		S	20	33.05	n/a	n/a	0.00	0.0
COUGAR TRADING	044	URBN	В		10,000	21.25	31-Jul	10-Aug	500.00	5.0
REINHART & MAHONEY	031	VCLK	В		20,170	23.68	n/a	n/a	1,008.50	5.0
STARK ASSET MGMT	031	VC	В		35 , 000	5.13	n/a	n/a	1,400.00	4.0
MERRILL ASSET	032	WBS		S	15 , 700	42.85	n/a	n/a	785.00	5.0
SANDLER O'NEILL ASSET	031	WDR		S	15,800	26.56	13-Jul	25-Sep	790.00	5.0
SCOPUS FUND	041	WNC		SS	27,100	11.95	n/a	n/a	1,355.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
SUTTONBROOK CAPITAL	044	WY	В	18,400	70.57	21-Sep	21-Sep	736.00 4.0
DOUBLE V/PBX	044	YHOO	S	8,800	26.38	n/a	n/a	440.00 5.0
REINHART & MAHONEY	031	ZBRA	S	18,140	35.53	n/a	n/a	907.00 5.0

TOTAL (Trade Date): 177,103.41

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	09/24	CHART WELL ADV (CHATZEKEHO)	243	AXL	С	20,000	24.14	n/a	n/a	600.00	3.0
AO,	09/24	CHART WELL ADV (CHATZEKEHO)	243	AXL	SS	20,000	24.60	n/a	n/a	600.00	3.0
AO,	09/24	LISTED ERR (LISTED ERR)	099	BEBE	В	302	15.34	n/a	n/a	0.00	0.0
CRT	09/25	UBS OCONNOR (UBSOCSFBCO)	031	BEN	SS	14,825	126.38	25-Sep	25-Sep	444.75	3.0
AO,CXL	09/24	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	BSC	SS	17 , 500	115.60	4-Sep	21-Sep	875.00	5.0
AO,CRT	09/24	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	BSC	SS	17 , 500	115.60	4-Sep	21-Sep	1050.00	6.0
AO,CXL	00/24	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	CFC	SS	100,000	19.18	n/a	n/2	5000.00	5.0
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AO, CRI	09/24	MERRILL LYNCH STRATEGICI (MLSIMERRIL)	034	CFC	SS	100,000	19.18	n/a	n/a	4000.00	4.0
AO,CXL	09/24	METROPOLITAN CAPITAL (METRDEUTSC)	044	DSW	В	2,000	27.91	5-Sep	5-Sep	80.00	4.0
AO, CRT	09/24	METROPOLITAN CAPITAL (METRDEUTSC)	044	DSW	В	2,000	27.91	5-Sep	5-Sep	100.00	5.0
AO,CXL	09/24	METROPOLITAN CAPITAL (METRGS BUL)	044	DSW	В	27 , 800	27.91	5-Sep	5-Sep	1112.00	4.0
AO, CRT	09/24	METROPOLITAN CAPITAL (METRGS BUL)	044	DSW	В	27,800	27.91	5-Sep	5-Sep	1390.00	5.0
AO,CXL	09/24	METROPOLITAN CAPITAL (METRSPEAR)	044	DSW	В	1,200	27.91	5-Sep	5-Sep	48.00	4.0
AO, CRT	09/24	METROPOLITAN CAPITAL (METRSPEAR)	044	DSW	В	1,200	27.91	5-Sep	5-Sep	60.00	5.0
AO,	09/24	TRELLUS PARTNERS LP (TRELGS)	028	JCI	В	1,517	114.88	19-Jul	19-Jul	75.85	5.0
AO,	09/24	TRELLUS PARTNERS LP (TRELMAIN)	028	JCI	В	8,483	114.88	19-Jul	19-Jul	424.15	5.0
								,			
AO,CXL	09/21		036	JNJ	S	700	65.45		22-Apr	35.00	5.0
AO,CRT	09/21	MATRIX ASSET ADV. (MATXVALUE)	036	JNJ	S	700	65.45	n/a	22-Apr	28.00	4.0
CRT	09/25	RIVER RUN MGMT (RIVEGSPB)	031	NAVZ	SS	25,000	60.00	n/a	n/a	1250.00	5.0
CIVI	09/23	MINDA MONI (MINEGOLD)	0.01	IVA V A	23	23,000	00.00	11/ d	11/ d	1230.00	J. U
CRT	09/25	CATAPULT (CATAMSPB)	040	TROW	SS	5,000	53.66	n/a	25-Sep	250.00	5.0

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Researd	h Comm.¢/Shr.
•		MERRILL LYNCH STRATEGICI (MLSIMERRIL) MERRILL LYNCH STRATEGICI (MLSIMERRIL)			В	.,	77.60 77.60		1275.00 5.0 1530.00 6.0
TOTAL (AO, CXL, CRT): 3,377.75									

TOTAL (RUN DATE) / NFS:	180,481.16
TOTAL (AO, CXL, CRT):	3,377.75
TOTAL (Trade Date):	177,103.41

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION