



Daily Compliance Activity Report

Trade Date: 12/12/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f WILLIAM J GAULT	FID	AMCC	B	5000	6.71	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ANF	B	80,000	49.19	n/a	17-Nov	non-brg	4.0
B BUCK CAPITAL MGMT		BKS	B	25,000	15.93	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		BKS	B	75,000	15.99	n/a	n/a	non-brg	4.0
NORTHWESTERN MUTUAL LIFE	047	CAM	S	212,500	49.94	n/a	n/a	8,500.00	4.0
CHESAPEAKE PARTNERS	028	CMC	B	6,600	14.00	n/a	n/a	198.00	3.0
ACK ASSET	028	CSX	SS	70,000	20.71	n/a	n/a	2,100.00	3.0
f IAN L MILLER	FID	C	S	50	27.12	n/a	7-Dec	0.00	0.0
B BUCK CAPITAL MGMT		DECK	B	30,000	101.51	n/a	n/a	non-brg	4.0
SURVEYOR CAPITAL	251	DE	SS	3,000	76.17	n/a	23-Nov	90.00	3.0
SANDLER O'NEILL ASSET	031	DFS	B	25,000	23.98	n/a	8-Dec	1,250.00	5.0
f MARC PAUL LUCHANSKY	FID	EFA	B	197	49.48	n/a	n/a	0.00	0.0
NOKOMIS PARTNERS	031	EXTR	B	39,200	2.91	n/a	n/a	784.00	2.0
f MARC PAUL LUCHANSKY	FID	EXWAX	S	1342	7.15	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FMAGX	S	49	62.65	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f IAN L MILLER	FID	FSS	S	125	4.13	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GIL	B	40,000	19.03	n/a	2-Dec	non-brg	4.0
f EDWARD W WHEELER	FID	GLW	B	10000	13.36	n/a	12-Dec	0.00	0.0
PARKWEST	084	GME	S	50,000	24.64	n/a	n/a	2,000.00	4.0
OZ MGMT	040	GM	C	29,141	20.64	n/a	2-Dec	874.23	3.0
B BUCK CAPITAL MGMT		HBI	B	50,000	22.96	n/a	30-Nov	non-brg	4.0
ROXBURY CAPITAL MGMT	035	HBI	S	50,540	22.92	n/a	30-Nov	1,516.20	3.0
SCOPUS FUND	041	HD	S	140,000	39.61	n/a	8-Dec	5,600.00	4.0
SIMMONS VENTURES	041	HWD	B	20,000	10.57	n/a	n/a	800.00	4.0
VISIUM/BALY BOBBY	044	IP	SS	10,000	27.62	n/a	27-Oct	500.00	5.0
PZENA INV ADV	044	JCP	S	60,400	33.08	n/a	2-Dec	2,114.03	3.5
B BUCK CAPITAL MGMT		MSMSBCM	C	4,030,694	95.23	n/a	n/a	non-brg	0.2
B BUCK CAPITAL MGMT		MSMSBCM	C	50,000	95.57	n/a	n/a	non-brg	7.6
B BUCK CAPITAL MGMT		MSMSBCM	SS	4,030,694	95.23	n/a	n/a	non-brg	0.0
f IAN L MILLER	FID	MWA	S	200	2.13	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	M	S	1000	32.49	n/a	2-Dec	0.00	0.0
SURVEYOR CAPITAL	251	NAV	B	7,000	37.52	n/a	14-Sep	210.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f IAN L MILLER	FID	PCAR	S	20	38.00	n/a	26-Oct	0.00	0.0
LONG POND	029	PCL	B	70,000	35.22	n/a	25-Oct	3,500.00	5.0
TALPION LLC	040	PRISB	S	5,520	4.92	n/a	n/a	110.40	2.0
LISTED ERR	099	PRISB	B	5,520	4.92	n/a	n/a	0.00	0.0
LISTED ERR	099	PRISB	S	5,520	4.92	n/a	n/a	0.00	0.0
TALPION LLC	040	PRIS	C	5,520	4.42	n/a	n/a	110.40	2.0
RAINIER INV MGMT	035	RJF	S	48,600	29.71	n/a	n/a	1,944.00	4.0
B BUCK CAPITAL MGMT		RL	B	10,000	144.27	n/a	11-Nov	non-brg	4.0
RAINIER INV MGMT	035	ROG	S	11,050	39.64	n/a	n/a	442.00	4.0
f JONATHAN RICHARD HAR	FID	SGI	S	200	14.21	n/a	n/a	0.00	0.0
ETON PARK CAPITAL	041	SPY	B	436,046	123.60	n/a	n/a	13,081.38	3.0
f IAN L MILLER	FID	SRI	S	75	8.09	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	S	B	20,000	2.40	n/a	n/a	800.00	4.0
ROSEDALE CAPITAL	046	UNG	S	50,000	7.16	n/a	n/a	1,500.00	3.0
B BUCK CAPITAL MGMT		URBN	B	150,000	26.27	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	B	150,000	26.27	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	B	3,900	27.68	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TALPION LLC	040	VCI	C	9,000	20.72	n/a	n/a	360.00	4.0
KLEINHEINZ CAP PART	031	WFM	SS	20,000	68.00	n/a	n/a	1,000.00	5.0
MILLENNIUM PARTNERS ICSUS	041	WFT	S	305,000	13.98	n/a	n/a	12,200.00	4.0
LONG POND	029	WY	S	215,000	16.59	n/a	28-Oct	10,750.00	5.0
TOTAL (Trade Date) :								72,334.64	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/09	COLUMBUS CIRCLE	036	PANL	B	2,100	41.09	n/a	n/a	84.00 4.0
TOTAL (AO, CXL, CRT) :										84.00

TOTAL (Trade Date):	72,334.64
TOTAL (AO, CXL, CRT):	84.00
TOTAL (RUN DATE) / NFS:	72,418.64

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	12/09	BUCK CAPITAL MGMT.	MSMSRASH	SS	542,000	101.23	n/a	n/a	43894.67
CRT	12/09	BUCK CAPITAL MGMT	MSMSRASH	SS	542,000	101.22	n/a	n/a	43890.32 8.1

MRI Securities with Trade Activity on 12-Dec.
NONE

MRI Securities without Trade Activity on 12-Dec.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 2%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)