



# Daily Compliance Activity Report

Trade Date: 04/19/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DAVIDSON TRUST CO	033	ABT	S	1,000	57.28	n/a	22-Apr	90.00	9.0
LEHMAN PROPRIETARY TRAD	039	ACF	B	20,000	25.72	n/a	n/a	800.00	4.0
LEHMAN PROPRIETARY TRAD	039	ACLI	SS	25,000	31.80	n/a	n/a	1,000.00	4.0
DAVIDSON TRUST CO	033	ADP	S	1,000	44.56	n/a	n/a	90.00	9.0
Ö Glenn Redemann		AMAG	??	8	0.05	n/a	n/a	0.00	0.0
Ö Glenn Redemann		AMAG	??	8	0.10	n/a	n/a	0.00	0.0
MORGAN STANLEY PRINC 2	040	AMGN	S	50,000	64.01	n/a	26-Jan	2,000.00	4.0
GENERAL MOTORS INV CO	040	AMSG	B	1,900	25.08	n/a	n/a	76.00	4.0
BUCK CAPITAL MGMT	001	ANF	SS	30,000	80.43	2-Feb	13-Apr	1,200.00	4.0
ß BUCK CAPITAL MGMT		ANN	S	10,000	37.88	5-Jan	13-Apr	non-brg	5.0
LUCID PARTNERS	067	AOS	B	6,000	37.94	18-Apr	18-Apr	300.00	5.0
TRIBECA	038	APC	B	100,000	45.55	27-Feb	12-Mar	4,000.00	4.0
THOMSON HORSTMAN BRYANT	040	ARO	S	34,500	42.48	n/a	13-Apr	1,725.00	5.0
MORGAN STANLEY PRINC 2	040	ASD	B	50,000	53.59	16-Feb	19-Apr	2,000.00	4.0
ß BUCK CAPITAL MGMT		AVCI	SS	66,300	9.51	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	BBI	SS	800	6.38	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BBW	S	20,000	26.15	19-Mar	19-Mar	800.00	4.0
MISSOURI VALLEY PART	046	BBW	S	41,075	26.25	19-Mar	19-Mar	2,053.75	5.0
SANDLER O'NEILL ASSET	031	BEN	B	15,000	128.15	10-Apr	19-Apr	750.00	5.0
⌘ BUCK CAPITAL MGMT		BID	S	10,000	49.44	n/a	n/a	non-brg	5.0
LUCHANSKY2	036	BIG	SS	200	32.19	9-Mar	12-Apr	0.00	0.0
ZOLIDIS	011	BKRS	B	1,000	9.15	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	BLK	SS	55,000	154.78	n/a	19-Apr	2,750.00	5.0
TRELLUS PARTNERS LP	028	BTU	S	100,000	45.75	n/a	n/a	5,000.00	5.0
⌘ BUCK CAPITAL MGMT		BWS	C	70,000	28.29	n/a	n/a	non-brg	5.0
LEHMAN PROPRIETARY TRAD	039	CBB	B	190,300	5.14	n/a	n/a	7,612.00	4.0
⌘ BUCK CAPITAL MGMT		CBK	S	40,000	17.88	30-Mar	13-Apr	non-brg	5.0
GAULT	063	CCUR	B	730	1.43	n/a	n/a	0.00	0.0
KORMAN	003	CEUC	B	3,000	6.26	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CHCG	B	25,000	5.82	n/a	n/a	non-brg	4.0
FIRST NEW YORK LEDDY	035	CHIC	B	20,000	30.02	n/a	n/a	1,200.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND	048	CLMS	B	10,000	23.64	11-Apr	19-Apr	400.00	4.0
EOS PARTNERS	040	CME	S	1,000	560.00	n/a	16-Apr	50.00	5.0
GRONET	030	CMG	B	300	65.35	16-Feb	16-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	COH	SS	30,000	52.10	23-Feb	23-Feb	1,200.00	4.0
GENERAL MOTORS INV CO	040	CPF	B	1,400	35.23	n/a	n/a	56.00	4.0
MAZAMA CAPITAL MGMT.	035	CPX	S	77,800	22.42	n/a	n/a	3,112.00	4.0
Õ Glenn Redemann		CRS	B	7	0.53	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRS	B	7	0.84	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRS	SS	7	1.21	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRS	SS	7	1.61	n/a	n/a	0.00	0.0
AMATURO	018	DAWUW	B	30	3.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DBRN	B	5,000	20.15	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DKS	B	5,000	56.63	n/a	n/a	non-brg	5.0
IRIDIAN ASSET MGMT.	036	DVN	B	8,780	72.11	9-Feb	5-Apr	439.00	5.0
ß BUCK CAPITAL MGMT		EGLT	C	10,000	17.04	n/a	n/a	non-brg	5.0
CATAPULT	040	ETFC	B	10,000	21.03	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		FD+DI	C	1,000	0.24	n/a	n/a	non-brg	100.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	FD	SS	10,000	44.59	26-Jan	13-Apr	400.00	4.0
BUCK CAPITAL MGMT	001	GES	B	120,000	37.79	n/a	n/a	4,800.00	4.0
⌘ BUCK CAPITAL MGMT		GIII	B	2,000	18.71	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GIII	B	2,000	18.95	n/a	n/a	non-brg	5.0
IRIDIAN ASSET MGMT.	036	HAL	B	43,440	32.10	n/a	n/a	2,172.00	5.0
GALLEON MGMT LP	033	HLF	S	10,000	39.29	n/a	n/a	500.00	5.0
LEHMAN PROPRIETARY TRAD	039	HOG	B	30,000	63.63	n/a	n/a	1,200.00	4.0
GENERAL MOTORS INV CO	040	HRZ	B	1,600	33.17	n/a	n/a	64.00	4.0
OPTIMUM INV ADV	030	HTV	B	9,000	27.21	n/a	n/a	360.00	4.0
REICH AND TANG	067	IMN	B	183,350	36.87	n/a	n/a	8,832.50	4.4
BARR	096	ITCD	B	4,000	4.25	n/a	n/a	0.00	0.0
CATAPULT	040	JNC	S	10,000	50.60	n/a	10-Apr	500.00	5.0
SUN AMERICA ASSET MGMT	030	JNJ	B	38,000	64.87	n/a	22-Apr	1,900.00	5.0
SUNOVA CAPITAL	031	JNS	SS	75,000	24.78	18-Apr	19-Apr	3,750.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	S	30,000	37.95	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		KCP	S	27,600	26.77	n/a	28-Feb	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	091	LAD	SS	10,000	27.97	n/a	13-Apr	600.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>LIZ</b>	<b>SS</b>	<b>10,000</b>	<b>43.98</b>	<b>n/a</b>	<b>28-Feb</b>	<b>non-brg</b>	<b>5.0</b>
DAVIDSON TRUST CO	033	LTD	B	2,500	26.71	2-Mar	13-Apr	150.00	6.0
PERSHING SQUARE	028	MBI	SS	11,100	68.25	n/a	n/a	444.00	4.0
<b>MAGUIRE</b>	<b>090</b>	<b>MDR</b>	<b>B</b>	<b>5,000</b>	<b>52.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>MI</b>	<b>S</b>	<b>5,000</b>	<b>47.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAPITAL GROWTH MGMT.	241	MOS	B	100,000	29.71	n/a	n/a	5,000.00	5.0
DAVIDSON TRUST CO	033	MSFT	S	1,784	28.64	n/a	3-Apr	160.56	9.0
CAPITAL GROWTH MGMT.	241	MS	B	50,000	82.85	22-Mar	16-Apr	2,500.00	5.0
CAPITAL GROWTH MGMT.	241	MT	B	70,000	53.37	n/a	n/a	3,500.00	5.0
NOMURA CAPITAL	040	MWA	S	2,800	14.33	n/a	n/a	112.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>MW</b>	<b>B</b>	<b>20,000</b>	<b>43.90</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
<b>BARR</b>	<b>096</b>	<b>NGI</b>	<b>S</b>	<b>3,000</b>	<b>4.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NOMURA CAPITAL	040	NRG	B	500	78.70	n/a	n/a	20.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NWY</b>	<b>S</b>	<b>52,000</b>	<b>14.08</b>	<b>23-Mar</b>	<b>13-Apr</b>	<b>2,080.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	ORB	B	10,000	19.10	n/a	n/a	0.00	0.0
BARR	096	ORB	B	500	19.12	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	30,000	47.33	n/a	n/a	1,200.00	4.0
GENERAL MOTORS INV CO	040	PCR	B	1,400	40.25	n/a	n/a	56.00	4.0
⚡ BUCK CAPITAL MGMT		PCS	S	5,000	25.10	n/a	n/a	non-brg	5.0
VISIUM/BALY HOWARD	038	PSS	SS	20,000	33.61	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	PTT	B	20,000	8.78	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	PVH	B	30,000	55.87	28-Mar	28-Mar	1,200.00	4.0
A.I.M. ADVISORS INC	222	RL	S	19,820	96.68	2-Feb	13-Apr	792.80	4.0
ZOLIDIS	011	RTH	SS	100	103.74	n/a	n/a	0.00	0.0
KORMAN	003	SEED	B	3,000	9.05	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SHOO	B	10,000	31.21	n/a	n/a	non-brg	5.0
TRIVIUM CAP MGMT	028	SHOO	B	18,964	31.17	n/a	n/a	758.56	4.0
NOMURA CAPITAL	040	SMG	B	5,500	45.50	20-Feb	19-Apr	220.00	4.0
KAICHER	064	SNCR	B	1,000	17.79	n/a	n/a	0.00	0.0
GLG PARTNERS	034	TGT	S	50,000	61.11	n/a	12-Apr	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUTTONBROOK CAPITAL	044	TGT	B	75,000	61.37	n/a	12-Apr	3,000.00	4.0
WAGONHOUND	048	TJX	B	30,000	28.72	21-Mar	13-Apr	1,200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TLB</b>	<b>S</b>	<b>60,000</b>	<b>24.21</b>	<b>10-Jan</b>	<b>8-Mar</b>	<b>non-brg</b>	<b>5.0</b>
COLUMBUS CIRCLE	036	TWX	S	98,100	20.71	n/a	n/a	3,924.00	4.0
PIVOT CAP	034	TWX	S	23,000	20.75	n/a	n/a	1,150.00	5.0
PILOT ADV	038	UAG	B	25,000	21.71	n/a	13-Apr	1,000.00	4.0
<b>NOLAN</b>	<b>067</b>	<b>UAUA</b>	<b>B</b>	<b>12</b>	<b>43.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CROWLEY</b>	<b>061</b>	<b>WHT</b>	<b>B</b>	<b>4,000</b>	<b>2.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUTTONBROOK CAPITAL	044	WMT	B	75,000	48.27	n/a	12-Apr	3,000.00	4.0
VENTANA CAPITAL	060	WMT	S	10,000	48.28	n/a	12-Apr	400.00	4.0
DAVIDSON TRUST CO	033	XOM	S	1,000	77.60	n/a	n/a	90.00	9.0
<b>KORMAN</b>	<b>003</b>	<b>YTEC</b>	<b>B</b>	<b>4,000</b>	<b>8.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KAICHER</b>	<b>064</b>	<b>ZHNE</b>	<b>B</b>	<b>300</b>	<b>1.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date) :</b>								<b>101,340.17</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/18	SOMERSET ADV	044	CMI	B	11,100	74.77	n/a	n/a	555.00 5.0
AO,	04/18	GENERAL MOTORS INV CO	040	LSS	S	1,000	66.26	n/a	n/a	40.00 4.0
AO,CXL	04/18	PERSHING SQUARE	028	MBI	SS	81,400	68.20	n/a	n/a	4070.00 5.0
AO,CRT	04/18	PERSHING SQUARE	028	MBI	SS	81,400	68.20	n/a	n/a	3256.00 4.0
AO,CXL	04/18	LISTED ERR	099	MI	S	5,000	47.54	n/a	n/a	0.00 0.0
AO,CRT	04/18	LISTED ERR	099	MI	S	5,000	47.54	n/a	n/a	0.00 0.0
AO,CRT	04/18	NOMURA CAPITAL	040	MOS	B	3,800	30.44	n/a	n/a	152.00 4.0
AO,CXL	04/18	NOMURA CAPITAL	040	MOS	B	8,300	30.44	n/a	n/a	332.00 4.0
AO,	04/18	NOMURA CAPITAL	040	MOS	B	4,500	30.44	n/a	n/a	180.00 4.0
AO,CRT	04/18	MKT MAKER	099	SHOO	B	100	31.12	n/a	n/a	0.00 0.0

**TOTAL (AO, CXL, CRT) :****-219.00**

TOTAL (Trade Date) :

101,340.17

TOTAL (AO, CXL, CRT) :

-219.00

**TOTAL (RUN DATE) / NFS:****101,121.17**



MRI Securities with Trade Activity on 19-Apr.  
NONE

MRI Securities without Trade Activity on 19-Apr.  
DLTR, FDO

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 45%  
YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION