



Daily Compliance Activity Report

Trade Date: 02/23/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	AAPL	S	60	512.65	n/a	n/a	2.40	4.0
BESSEMER INVESTMENT	085	ACE	S	140	73.45	n/a	n/a	5.60	4.0
WINSLOW CAPITAL	030	ALTR	B	200	39.00	n/a	n/a	6.00	3.0
WINSLOW CAPITAL	030	AMTD	B	600	17.68	n/a	n/a	18.00	3.0
WINSLOW CAPITAL	030	AMZN	B	100	179.42	n/a	n/a	3.00	3.0
TRUSTCO/SUNTRUST	040	ARMH	S	118,526	27.05	n/a	n/a	4,148.44	3.5
VERITION	028	ARO	S	15,000	17.36	n/a	n/a	450.00	3.0
ARSENAL ASSET MANAGEMENT	028	ASH	SS	5,000	63.05	n/a	22-Feb	200.00	4.0
RAINIER INV MGMT	035	BBBY	B	81,940	60.53	n/a	n/a	3,277.60	4.0
WINSLOW CAPITAL	030	BIDU	B	200	132.29	n/a	n/a	6.00	3.0
WINSLOW CAPITAL	030	BIIB	B	100	117.16	n/a	n/a	3.00	3.0
BESSEMER INVESTMENT	085	CA	S	770	26.99	n/a	n/a	30.80	4.0
BESSEMER INVESTMENT	085	CCL	B	3,595	30.16	n/a	n/a	143.80	4.0
WINSLOW CAPITAL	030	CELG	B	200	75.12	n/a	n/a	6.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WINSLOW CAPITAL	030	CERN	B	100	73.36	n/a	n/a	3.00	3.0
STANDARD PACIFIC CAPITAL	084	CE	B	127,900	48.46	n/a	20-May	6,395.00	5.0
WINSLOW CAPITAL	030	CHRW	B	100	67.36	n/a	n/a	3.00	3.0
BESSEMER INVESTMENT	085	COP	B	4,096	74.62	n/a	n/a	163.84	4.0
WINSLOW CAPITAL	030	COST	B	100	84.31	n/a	n/a	3.00	3.0
WINSLOW CAPITAL	030	CTSH	B	500	70.22	n/a	n/a	15.00	3.0
WINSLOW CAPITAL	030	CTXS	B	200	73.48	n/a	n/a	6.00	3.0
BESSEMER INVESTMENT	085	CVS	B	3,689	43.85	n/a	n/a	147.56	4.0
BESSEMER INVESTMENT	085	CVX	S	617	107.96	n/a	n/a	24.68	4.0
PATTEN & PATTEN	025	CVX	S	50	108.32	n/a	n/a	2.50	5.0
KLEINHEINZ CAP PART	031	DDS	B	172,500	56.83	n/a	n/a	8,625.00	5.0
BESSEMER INVESTMENT	085	DIS	S	328	41.44	n/a	n/a	13.12	4.0
BALYASNY ASSET MGMT	044	DKS	SS	10,000	45.42	n/a	17-Feb	500.00	5.0
BESSEMER INVESTMENT	085	DTE	B	492	54.39	n/a	n/a	19.68	4.0
BALYASNY ASSET MGMT	044	EAT	B	20,000	27.00	n/a	25-Jan	1,000.00	5.0
BESSEMER INVESTMENT	085	ECL	S	360	62.06	n/a	19-Jan	14.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ED	S	525	57.77	n/a	n/a	21.00	4.0
SCOPUS FUND	041	EL	S	35,050	56.76	n/a	6-Feb	1,402.00	4.0
WINSLOW CAPITAL	030	ESRX	B	500	52.76	n/a	n/a	15.00	3.0
PATTEN & PATTEN	025	EWA	B	200	23.66	n/a	n/a	10.00	5.0
BESSEMER INVESTMENT	085	FCX	B	3,667	44.02	n/a	n/a	146.68	4.0
SCOPUS FUND	041	FINL	B	10,000	23.76	n/a	16-Feb	400.00	4.0
BALLAST CAP	040	FWLT	B	14,400	24.40	n/a	n/a	720.00	5.0
BESSEMER INVESTMENT	085	GD	B	2,232	70.39	n/a	14-Feb	89.28	4.0
BESSEMER INVESTMENT	085	GE	S	1,040	19.29	n/a	n/a	41.60	4.0
OZ MGMT	040	GM	C	26,000	26.90	n/a	17-Feb	780.00	3.0
BALYASNY ASSET MGMT	044	HTZ	S	10,000	14.32	n/a	n/a	500.00	5.0
PATTEN & PATTEN	025	IAU	B	350	17.41	n/a	n/a	17.50	5.0
BESSEMER INVESTMENT	085	IBM	B	431	197.33	n/a	n/a	17.24	4.0
PATTEN & PATTEN	025	IJH	B	25	98.24	n/a	n/a	1.25	5.0
BESSEMER INVESTMENT	085	INTC	S	927	26.72	n/a	n/a	37.08	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	INTU	B	200	58.95	n/a	n/a	6.00	3.0
BESSEMER INVESTMENT	085	IP	S	555	33.14	n/a	2-Feb	22.20	4.0
NORTHWESTERN MUTUAL LIFE	047	ITW	S	296,239	56.34	n/a	1-Feb	11,849.56	4.0
BESSEMER INVESTMENT	085	JCI	S	325	33.43	n/a	13-Feb	13.00	4.0
BESSEMER INVESTMENT	085	JNJ	B	3,808	64.99	n/a	n/a	152.32	4.0
BESSEMER INVESTMENT	085	JPM	S	358	38.13	n/a	16-Feb	14.32	4.0
BESSEMER INVESTMENT	085	KFT	S	250	37.98	n/a	n/a	10.00	4.0
PATTEN & PATTEN	025	KRE	B	150	27.11	n/a	n/a	7.50	5.0
BALYASNY ASSET MGMT	044	KSS	C	20,000	49.73	n/a	3-Feb	1,000.00	5.0
OZ MGMT	040	KSS	SS	60,000	49.83	n/a	3-Feb	1,800.00	3.0
BNP PARIBAS SEC	040	LTD	SS	100,000	45.76	n/a	n/a	5,000.00	5.0
BESSEMER INVESTMENT	085	MGA	B	2,496	45.18	n/a	n/a	99.84	4.0
PATTEN & PATTEN	025	MRK	S	75	38.34	n/a	n/a	3.75	5.0
BESSEMER INVESTMENT	085	MSFT	S	445	31.36	n/a	n/a	17.80	4.0
WINSLOW CAPITAL	030	MSFT	B	600	31.40	n/a	n/a	18.00	3.0
ROYCE TRADING	031	MTX	B	9,748	66.13	n/a	n/a	487.40	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	MUNI	B	100	53.85	n/a	n/a	5.00	5.0
SIMMONS VENTURES	041	M	B	5,000	37.20	n/a	22-Feb	200.00	4.0
BESSEMER INVESTMENT	085	NOV	S	165	86.17	n/a	n/a	6.60	4.0
WINSLOW CAPITAL	030	NTAP	B	400	43.21	n/a	n/a	12.00	3.0
PATTEN & PATTEN	025	NVS	S	125	57.38	n/a	n/a	6.25	5.0
BASIX	033	OC	SS	14,000	31.50	n/a	n/a	420.00	3.0
WINSLOW CAPITAL	030	ORCL	B	900	28.68	n/a	n/a	27.00	3.0
WINSLOW CAPITAL	030	ORLY	B	100	85.59	n/a	n/a	3.00	3.0
BESSEMER INVESTMENT	085	OXY	S	65	103.69	n/a	n/a	2.60	4.0
MATRIX ASSET ADV.	091	PEP	B	2,800	62.88	n/a	n/a	112.00	4.0
BESSEMER INVESTMENT	085	PFE	S	815	21.05	n/a	n/a	32.60	4.0
BESSEMER INVESTMENT	085	PGC	S	500	12.08	n/a	n/a	20.00	4.0
BESSEMER INVESTMENT	085	PG	S	256	65.29	n/a	16-Feb	10.24	4.0
ARSENAL ASSET MANAGEMENT	028	PPG	SS	10,000	92.24	n/a	19-Jan	400.00	4.0
BESSEMER INVESTMENT	085	QCOM	S	145	63.40	n/a	n/a	5.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WINSLOW CAPITAL	030	QCOM	B	700	63.44	n/a	n/a	21.00	3.0
EMPYREAN CAPITAL	084	RKT	B	10,000	67.93	n/a	26-Jan	500.00	5.0
CHART WELL ADV	028	ROST	B	78,575	53.08	n/a	3-Feb	3,143.00	4.0
TRUSTCO/SUNTRUST	040	RSH	S	174,827	7.27	n/a	n/a	6,118.95	3.5
GLOBAL 56 LLC	060	SANW	B	5,000	5.63	n/a	n/a	200.00	4.0
WINSLOW CAPITAL	030	SBUX	B	400	48.35	n/a	27-Jan	12.00	3.0
WINSLOW CAPITAL	030	SHPGY	B	100	104.66	n/a	21-Feb	3.00	3.0
M&I FUNDS	047	SLM	B	38,950	16.25	n/a	14-Feb	1,558.00	4.0
BESSEMER INVESTMENT	085	TGT	B	3,050	54.21	n/a	8-Feb	122.00	4.0
HOLLIS CAPITAL	033	UNFI	SS	4,500	45.82	n/a	n/a	225.00	5.0
BESSEMER INVESTMENT	085	UNH	B	3,328	54.96	n/a	n/a	133.12	4.0
WINSLOW CAPITAL	030	VRSN	B	400	37.61	n/a	n/a	12.00	3.0
TOTAL (Trade Date) :								63,247.90	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									63,247.90
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									63,247.90

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION