

Daily Compliance Activity Report Trade Date: 03/20/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
MATRIX ASSET ADV.	036	ADI	В	2,300	27.65	n/a	n/a	92.00	4.0
LEDDY	035	AER	В	600	18.17	n/a	n/a	0.00	0.0
LEDDY	035	AN	SS	1,200	15.75	n/a	8-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	APP	В	10,000	8.82	n/a	n/a	400.00	4.0
TRELLUS PARTNERS LP	028	ARGN	В	10,000	15.30	n/a	25-Feb	500.00	5.0
CENTURION GLOBAL MGMT LL	041	AUO	В	24,000	18.50	n/a	n/a	720.00	3.0
BASIX	033	BGC	S	21,700	53.12	n/a	n/a	868.00	4.0
BUCK CAPITAL MGMT	001	ВЈ	В	30,000	35.45	n/a	27-Jul	1,200.00	4.0
WHEELER	800	ВМҮ	В	5,000	21.58	n/a	n/a	0.00	0.0
SCOPUS FUND	041	BWA	В	30,000	43.02	14-Jan	8-Feb	1,500.00	5.0
TRELLUS PARTNERS LP	028	CASY	С	50,000	22.53	n/a	n/a	2,500.00	5.0
MFP INVESTORS	032	CBT	В	100	26.25	n/a	30-Jan	5.00	5.0
LEDDY	035	CHCG	В	7,000	1.39	n/a	n/a	0.00	0.0
WELLS/STRONG LEDDY	035	CHRS	В	131,200	4.90	n/a	22-Mar	3,936.00	3.0

Account	RR #	Symbol	B/S		Shares	Price	MRI	Research	Comm.	\$/Shr.	-
SANDLER O'NEILL ASSET	031	CLMS	В		25,000	15.03	13-Feb	13-Mar	1,250.00	5.0	
HOOVER INV. MGMT	033	CLR	Š	S	53,600	26.48	n/a	n/a	2,680.00	5.0	
COUGAR TRADING	044	СОН	В		5,000	28.40	24-Oct	14-Mar	250.00	5.0	
POST ROAD CAP	045	CVS	Č	S	20,000	39.65	n/a	n/a	600.00	3.0	
NOMURA CAPITAL	045	DAL	Č	S	700	10.50	n/a	n/a	35.00	5.0	
BUCK CAPITAL MGMT	001	DDS	\$	SS	10,000	18.25	n/a	n/a	400.00	4.0	
WEISS PECK	040	DSW	В		10,830	17.17	26-0ct	20-Mar	541.50	5.0	
GRISANTI BROWN & PARTNER	048	DYN	Š	S	44,100	7.62	n/a	n/a	1,764.00	4.0	
NOMURA CAPITAL	045	FEMO	Š	S	2,000	19.85	n/a	n/a	100.00	5.0	
BARR	096	FMCN	С		600	33.75	n/a	n/a	0.00	0.0	
J COHEN	N27	FXEOY	\$	S	1	0.50	n/a	n/a	0.00	0.0	
MAGUIRE	090	FXP	\$	S	1,000	112.64	n/a	n/a	0.00	0.0	
BUCK CAPITAL MGMT	001	GES	В		70,000	34.61	n/a	n/a	2,800.00	4.0	
SIGMA CAPITAL ASSOCIATES	237	GES	,	SS	100,000	34.64	n/a	n/a	5,000.00	5.0	
COUGAR TRADING	044	GYMB	S	S	5,000	41.33	n/a	13-Mar	250.00	5.0	
LEDDY	035	HAL		S	400	35.25	n/a	n/a	0.00	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	Shr.
NOMURA CAPITAL	045	HAYZ	S	10,000	3.01	n/a	n/a	500.00	5.0
AMBER CAPITAL	046	HBAN	SS	175,000	10.74	n/a	n/a	7,000.00	4.0
MAGUIRE	090	HDB	С	1,000	93.90	n/a	n/a	0.00	0.0
N STRATTON	014	IYR	SS	100	66.78	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCG	В	5,000	41.97	28-Nov	12-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	кмх	SS	10,000	20.12	n/a	10-Jan	400.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	19.46	n/a	17-Mar	400.00	4.0
LUCHANSKY1	036	LLL	С	200	106.22	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	ME	S	55,000	25.93	n/a	n/a	2,750.00	5.0
HART	056	MSJJ	S	10	4.80	n/a	n/a	0.00	0.0
WHEELER	800	MTRX	В	4,600	16.80	n/a	n/a	0.00	0.0
ZOLIDIS	011	NFLX	S	200	36.09	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	NTAP	В	100,000	19.48	n/a	n/a	4,000.00	4.0
NOMURA CAPITAL	045	NWA	S	2,108	10.50	n/a	n/a	105.40	5.0
WELLS/STRONG LEDDY	035	NWY	S	1,500	5.69	n/a	20-Mar	45.00	3.0

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Account	RR #	Symbol	в/:	S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
LAGRANGE CAP ADV	048	NYT		S	50,000	19.50	n/a	n/a	2,500.00	5.0	
BUCK CAPITAL MGMT	001	ОХМ		SS	5,000	23.00	n/a	n/a	200.00	4.0	
LEDDY	035	PBR	В		100	94.44	n/a	n/a	0.00	0.0	
WEISS PECK	040	PSS	В		31,030	12.31	n/a	n/a	1,551.50	5.0	
COUGAR TRADING	044	PSUN		SS	5,000	12.75	n/a	14-Mar	250.00	5.0	
CATAPULT	040	PVH		SS	7,000	34.79	13-Mar	13-Mar	280.00	4.0	
JP MORGAN VENTURES	046	PVH		S	25,000	34.64	13-Mar	13-Mar	1,250.00	5.0	
HIGHLINE ADV	044	QCOM	В		190,000	37.74	n/a	n/a	9,500.00	5.0	
MS PRINCIPAL STRATEGIES	041	QMAR		S	88 , 975	21.38	n/a	n/a	4,448.75	5.0	
BUCK CAPITAL MGMT	001	RCL	В		10,000	32.08	n/a	31-Jan	400.00	4.0	
PRINCIPLE CAPITAL	040	RCL	В		149,500	34.06	n/a	31-Jan	4,485.00	3.0	
BUCK CAPITAL MGMT	001	RL		SS	10,000	59.70	7-Feb	15-Feb	400.00	4.0	
LEDDY	035	RL		SS	300	59.97	7-Feb	15-Feb	0.00	0.0	
MILLENIUM PARTNERS	028	ROST		S	20,000	30.75	n/a	n/a	1,000.00	5.0	
ZOLIDIS	011	SCVL		SS	500	14.00	n/a	n/a	0.00	0.0	
ZOLIDIS	011	SDS	В		300	65.30	n/a	n/a	0.00	0.0	
HOOVER INV. MGMT	033	SD		S	40,300	36.85	n/a	n/a	2,015.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	Shr.
GAULT	063	SKF	В	3,000	109.94	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	3,000	105.64	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	SLM	В	15,000	15.25	n/a	n/a	750.00	5.0
CENTURION GLOBAL MGMT LL	041	SLX	S	2,100	80.35	n/a	n/a	63.00	3.0
AMBER CAPITAL	046	TCB	SS	125,000	19.71	n/a	n/a	5,000.00	4.0
JP MORGAN VENTURES	046	TJX	SS	10,000	33.86	n/a	10-Mar	500.00	5.0
MILLENIUM PARTNERS	028	TJX	В	15,000	33.89	n/a	10-Mar	750.00	5.0
LAGRANGE CAP ADV	048	TWX	В	20,000	14.18	n/a	n/a	1,000.00	5.0
BARR	096	ULBI	С	1,200	11.69	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	UNFI	С	15,000	16.05	n/a	n/a	750.00	5.0
PROSPECTOR PARTNERS LLC	036	UNH	В	18,000	35.05	n/a	n/a	720.00	4.0
BUCK CAPITAL MGMT	001	VFC	В	10,000	78.03	n/a	n/a	400.00	4.0
HIGHLINE ADV	044	WM	В	28,700	10.23	n/a	n/a	1,435.00	5.0
BENCHMARK	028	XLE	В	2,500	71.19	n/a	n/a	125.00	5.0
ZOLIDIS	011	ZUMZ	SS	500	17.17	n/a	n/a	0.00	0.0
	GAULT GAULT LAGRANGE CAP ADV CENTURION GLOBAL MGMT LL AMBER CAPITAL JP MORGAN VENTURES MILLENIUM PARTNERS LAGRANGE CAP ADV BARR GALLEON MGMT LP PROSPECTOR PARTNERS LLC BUCK CAPITAL MGMT HIGHLINE ADV BENCHMARK	GAULT 063 GAULT 063 LAGRANGE CAP ADV 048 CENTURION GLOBAL MGMT LL 041 AMBER CAPITAL 046 JP MORGAN VENTURES 046 MILLENIUM PARTNERS 028 LAGRANGE CAP ADV 048 BARR 096 GALLEON MGMT LP 033 PROSPECTOR PARTNERS LLC 036 BUCK CAPITAL MGMT 001 HIGHLINE ADV 044 BENCHMARK 028	GAULT GAULT O63 SKF CAULT O63 SKF LAGRANGE CAP ADV O48 SLM CENTURION GLOBAL MGMT LL O41 SLX AMBER CAPITAL O46 TCB JP MORGAN VENTURES MILLENIUM PARTNERS O28 TJX LAGRANGE CAP ADV O48 TWX BARR O96 ULBI GALLEON MGMT LP O33 UNFI PROSPECTOR PARTNERS LLC O36 UNH BUCK CAPITAL MGMT O01 VFC HIGHLINE ADV O44 WM BENCHMARK O28 XLE	GAULT GAULT O63 SKF S LAGRANGE CAP ADV O48 SLM B CENTURION GLOBAL MGMT LL O41 SLX S AMBER CAPITAL O46 TCB SS JP MORGAN VENTURES MILLENIUM PARTNERS O28 TJX B LAGRANGE CAP ADV O48 TWX B BARR O96 ULBI C GALLEON MGMT LP O33 UNFI C PROSPECTOR PARTNERS LLC O36 UNH B BUCK CAPITAL MGMT O01 VFC B HIGHLINE ADV O44 WM B BENCHMARK O28 XLE B	GAULT 063 SKF B 3,000 CAULT 063 SKF S 3,000 LAGRANGE CAP ADV 048 SLM B 15,000 CENTURION GLOBAL MGMT LL 041 SLX S 2,100 AMBER CAPITAL 046 TCB SS 125,000 JP MORGAN VENTURES 046 TJX SS 10,000 MILLENIUM PARTNERS 028 TJX B 15,000 LAGRANGE CAP ADV 048 TWX B 20,000 BARR 096 ULBI C 1,200 GALLEON MGMT LP 033 UNFI C 15,000 PROSPECTOR PARTNERS LLC 036 UNH B 18,000 BUCK CAPITAL MGMT 001 VFC B 10,000 HIGHLINE ADV 044 WM B 28,700 BENCHMARK 028 XLE B 2,500	GAULT 063 SKF B 3,000 109.94 GAULT 063 SKF S 3,000 105.64 LAGRANGE CAP ADV 048 SLM B 15,000 15.25 CENTURION GLOBAL MGMT LL 041 SLX S 2,100 80.35 AMBER CAPITAL 046 TCB SS 125,000 19.71 JP MORGAN VENTURES 046 TJX SS 10,000 33.86 MILLENIUM PARTNERS 028 TJX B 15,000 33.89 LAGRANGE CAP ADV 048 TWX B 20,000 14.18 BARR 096 ULBI C 1,200 11.69 GALLEON MGMT LP 033 UNFI C 15,000 16.05 PROSPECTOR PARTNERS LLC 036 UNH B 18,000 35.05 BUCK CAPITAL MGMT 001 VFC B 10,000 78.03 HIGHLINE ADV 044 WM B 28,700 10.23 BENCHMARK 028 XLE B 2,500 71.19	GAULT 063 SKF B 3,000 109.94 n/a cault 063 SKF S 3,000 105.64 n/a LAGRANGE CAP ADV 048 SLM B 15,000 15.25 n/a CENTURION GLOBAL MGMT LL 041 SLX S 2,100 80.35 n/a AMBER CAPITAL 046 TCB SS 125,000 19.71 n/a JP MORGAN VENTURES 046 TJX SS 10,000 33.86 n/a MILLENIUM PARTNERS 028 TJX B 15,000 33.89 n/a LAGRANGE CAP ADV 048 TWX B 20,000 14.18 n/a BARR 096 ULBI C 1,200 11.69 n/a GALLEON MGMT LP 033 UNFI C 15,000 35.05 n/a PROSPECTOR PARTNERS LLC 036 UNH B 18,000 35.05 n/a BUCK CAPITAL MGMT 001 VFC B 10,000 78.03 n/a HIGHLINE ADV 044 WM B 28,700 10.23 n/a BENCHMARK 028 XLE B 2,500 71.19 n/a	GAULT GAULT O63 SKF S 3,000 109.94 LAGRANGE CAP ADV 048 SLM B 15,000 15.25 N/a N/a CENTURION GLOBAL MGMT LL 041 SLX S 2,100 80.35 N/a N/a AMBER CAPITAL 046 TCB SS 125,000 19.71 N/a N/a JP MORGAN VENTURES 046 TJX SS 10,000 33.86 N/a 10-Mar MILLENIUM PARTNERS 028 TJX B 15,000 33.89 N/a 10-Mar LAGRANGE CAP ADV 048 TWX B 20,000 14.18 N/a BARR 096 ULBI C 1,200 11.69 N/a N/a GALLEON MGMT LP 033 UNFI C 15,000 16.05 N/a N/a PROSPECTOR PARTNERS LLC 036 UNH B 18,000 35.05 N/a N/a BUCK CAPITAL MGMT 001 VFC B 10,000 78.03 N/a N/a BUCK CAPITAL MGMT 004 WM B 28,700 10.23 N/a N/a BENCHMARK 028 XLE B 2,500 71.19 N/a N/a	GAULT 063 SKF S 3,000 109.94 n/a n/a 0.00 CAULT 063 SKF S 3,000 105.64 n/a n/a 0.00

TOTAL (Trade Date): 82,615.15

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CRT	03/20	SIGMA CAPITAL ASSOCIATES (SIGMGS	S-USE) 237	GES	SS	100,000	34.64	n/a	n/a	5000.00	5.0
AO,	03/19	ROYCE TRADING (ROYC59)	216	RNWK	S	25 , 000	5.74	n/a	n/a	1250.00	5.0
AO,	03/19	ROYCE TRADING (ROYC142)	216	TQNT	S	1,300	5.17	n/a	n/a	65.00	5.0
AO,	03/19	ROYCE TRADING (ROYC59)	216	TQNT	S	6,500	5.17	n/a	n/a	325.00	5.0
AO,	03/19	ROYCE TRADING (ROYC33)	216	TQNT	S	2,200	5.17	n/a	n/a	110.00	5.0
AO,	03/19	ROYCE TRADING (ROYC59)	216	TTMI	S	10,000	11.06	n/a	n/a	500.00	5.0
AO,	03/19	ROYCE TRADING (ROYC59)	216	WRNC	S	4,000	36.34	14-Jan	10-Mar	200.00	5.0
AO,	03/19	ROYCE TRADING (ROYC33)	216	WRNC	S	1,000	36.34	14-Jan	10-Mar	50.00	5.0

TOTAL (RUN DATE) / NFS:	90,115.15
TOTAL (AO, CXL, CRT):	7,500.00
TOTAL (Trade Date):	82,615.15

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION