



# Daily Compliance Activity Report

Trade Date: 07/17/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	ACXM	S	250,000	26.40	n/a	n/a	10,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>2,000</b>	<b>27.40</b>	<b>10-Jul</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>1.0</b>
NEUBERGER BERMAN	036	AIZ	B	80,000	52.63	n/a	n/a	4,000.00	5.0
<b>BARR</b>	<b>096</b>	<b>ALSC</b>	<b>B</b>	<b>7,000</b>	<b>5.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JENNISON	032	ALTR	B	297,700	24.92	n/a	n/a	11,908.00	4.0
SUNOVA CAPITAL	031	AMG	S	10,000	131.22	25-Apr	16-Jul	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>15,000</b>	<b>73.43</b>	<b>12-Jul</b>	<b>13-Jul</b>	<b>600.00</b>	<b>4.0</b>
CASEY CAPITAL	067	AOS	B	5,000	43.90	18-Apr	18-Apr	250.00	5.0
<b>LUCHANSKY</b>	<b>036</b>	<b>AOS</b>	<b>S</b>	<b>200</b>	<b>44.00</b>	<b>18-Apr</b>	<b>18-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>039</b>	<b>ASDHM</b>	<b>S</b>	<b>10</b>	<b>1.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EMP ERROR	N28	ASD	S	14	63.60	31-May	13-Jul	0.00	0.0
<b>KANTROWIT</b>	<b>N28</b>	<b>ASD</b>	<b>S</b>	<b>16</b>	<b>63.60</b>	<b>31-May</b>	<b>13-Jul</b>	<b>0.00</b>	<b>0.0</b>
DSG CAPITAL	225	AXE	B	2,000	81.41	24-Apr	16-May	100.00	5.0
MILLENIUM PARTNERS	028	BBIB	B	45,000	4.00	n/a	n/a	1,350.00	3.0
MILLENIUM PARTNERS	028	BBI	B	5,000	4.39	n/a	n/a	150.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		BBY	S	1,750	46.94	1-May	27-Jun	non-brg	5.0
SUNOVA CAPITAL	031	BEN	S	25,000	142.99	10-Jul	16-Jul	1,250.00	5.0
Õ Glenn Redemann		BG	B	3	0.67	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BG	B	3	0.70	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BG	SS	3	1.48	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BG	SS	3	1.74	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BID	S	10,000	51.97	n/a	n/a	400.00	4.0
CRAMER ROSENTHAL/SCOTT	012	BLL	S	13,700	53.48	n/a	n/a	548.00	4.0
CROWLEY	061	BNJ	B	1,600	17.20	n/a	n/a	0.00	0.0
XYLEM GLOBAL	091	BOW	B	75,000	24.28	n/a	14-Jun	3,750.00	5.0
CHARTER OAK	033	CAG	B	96,580	26.96	n/a	n/a	4,829.00	5.0
KORMAN	003	CHCG	B	5,000	7.26	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		CHIC	S	5,000	23.76	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		CMRG	S	5,000	11.51	n/a	n/a	non-brg	5.0
CROWLEY	061	CMU	B	14,900	5.70	n/a	n/a	0.00	0.0
COUGAR TRADING	044	COH	S	5,000	49.02	23-Feb	25-Apr	250.00	5.0
MAPL	068	CRM	SS	100,000	44.09	n/a	n/a	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	CWTR	B	65,000	21.72	n/a	n/a	2,600.00	4.0
<b>SUTERA</b>	<b>160</b>	<b>CXTI</b>	<b>B</b>	<b>2,000</b>	<b>7.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SATELLITE ASSET MGMT	012	DB	SS	7,000	147.64	n/a	n/a	280.00	4.0
REINHART & MAHONEY	031	DDMX	B	6,300	26.00	n/a	n/a	315.00	5.0
MILLENIUM PARTNERS	028	DDS	B	25,000	37.24	n/a	n/a	1,250.00	5.0
<b>CROWLEY</b>	<b>061</b>	<b>EFT</b>	<b>B</b>	<b>1,000</b>	<b>18.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAZAMA CAPITAL MGMT.	035	ENG	S	65,300	12.32	n/a	n/a	2,612.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FL</b>	<b>B</b>	<b>80,000</b>	<b>21.23</b>	<b>24-May</b>	<b>21-Jun</b>	<b>3,200.00</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>B</b>	<b>150,000</b>	<b>21.21</b>	<b>24-May</b>	<b>21-Jun</b>	<b>non-brg</b>	<b>5.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>B</b>	<b>20,000</b>	<b>21.17</b>	<b>24-May</b>	<b>21-Jun</b>	<b>non-brg</b>	<b>2.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>S</b>	<b>5,000</b>	<b>51.44</b>	<b>n/a</b>	<b>n/a</b>	<b>200.00</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>SS</b>	<b>100,000</b>	<b>18.80</b>	<b>n/a</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
<b>BUCK</b>	<b>015</b>	<b>GPYHF</b>	<b>S</b>	<b>5</b>	<b>2.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>INSP</b>	<b>??</b>	<b>4</b>	<b>0.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>INSP</b>	<b>??</b>	<b>4</b>	<b>0.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>ITCD</b>	<b>B</b>	<b>1,000</b>	<b>8.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>5,000</b>	<b>55.13</b>	<b>22-Jun</b>	<b>22-Jun</b>	<b>200.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SANDLER O'NEILL ASSET	031	JNS	SS	20,000	31.67	16-Jul	16-Jul	1,000.00	5.0
CAXTON PART	040	KLAC	C	50,000	58.57	n/a	n/a	2,000.00	4.0
MAZAMA CAPITAL MGMT.	035	KOG	B	309,100	4.69	n/a	n/a	9,273.00	3.0
AVESTA CAP	229	KO	B	25,000	53.31	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		KSS	B	10,000	66.87	14-Jun	12-Jul	non-brg	5.0
⌘ BUCK CAPITAL MGMT		KSS	B	5,000	67.05	14-Jun	12-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		KV/A	B	20,000	28.53	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LAMR	B	5,000	61.58	n/a	n/a	non-brg	5.0
DSG CAPITAL	225	LEH	B	1,000	73.10	12-Jun	13-Jul	50.00	5.0
WAGONHOUND	048	LEH	S	9,160	73.16	12-Jun	13-Jul	366.40	4.0
ROSEDALE CAPITAL	046	LEN	S	15,000	34.57	n/a	n/a	750.00	5.0
⌘ BUCK CAPITAL MGMT		LIZ	SS	60,000	37.02	n/a	12-Jul	non-brg	5.0
GALLEON MGMT LP	033	LOW	SS	10,000	29.80	n/a	21-May	500.00	5.0
GENERAL ELECTIC CO	033	LUV	S	129,914	15.49	n/a	n/a	5,846.17	4.5
KERN	050	MERGQ	S	20	2.20	n/a	n/a	0.00	0.0
GAULT	063	MERGQ	S	100	1.85	n/a	n/a	0.00	0.0
KERN	050	MERHR	S	13	1.90	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SATELLITE ASSET MGMT	012	MI	B	15,000	46.91	n/a	n/a	600.00	4.0
Õ Glenn Redemann		MRO	B	4	0.88	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MRO	B	4	1.16	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MRO	SS	4	1.82	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MRO	SS	4	2.52	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MRU+TV	S	250	0.64	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		NDN	S	6,000	14.06	n/a	11-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		NEXC	S	10,000	10.55	n/a	n/a	non-brg	5.0
KENNEDY CAPITAL	046	NHWK	B	25,000	18.58	n/a	n/a	1,000.00	4.0
WHEELER	008	NLC	B	5,000	25.61	n/a	n/a	0.00	0.0
ZOLIDIS	011	NLS	S	500	10.01	n/a	n/a	0.00	0.0
SUTERA	160	NLS	S	3,000	10.00	n/a	n/a	0.00	0.0
BUCK	015	NMXUD	B	10	2.65	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	OIH	SS	12,500	176.30	n/a	n/a	625.00	5.0
KNOTT PARTNERS	044	PRX	B	10,000	25.95	21-May	16-Jul	400.00	4.0
RAINIER INV MGMT	035	PVH	B	80,650	56.24	31-May	31-May	4,032.50	5.0
JENNISON	032	QCOM	S	15,400	44.94	n/a	n/a	616.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	RCKY	S	2,125	17.26	n/a	n/a	106.25	5.0
DIAMONDBACK CAP MGMT	232	RF	S	100,000	33.79	n/a	n/a	5,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>S</b>	<b>10,000</b>	<b>100.50</b>	<b>21-Jun</b>	<b>27-Jun</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RL</b>	<b>S</b>	<b>3,000</b>	<b>100.05</b>	<b>21-Jun</b>	<b>27-Jun</b>	<b>non-brg</b>	<b>5.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>SCSS</b>	<b>S</b>	<b>300</b>	<b>17.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FRIESS MGMT	042	SKS	B	119,400	20.94	n/a	n/a	5,373.00	4.5
VENTANA CAPITAL	060	SKS	SS	10,000	20.96	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SKX</b>	<b>S</b>	<b>83,100</b>	<b>28.80</b>	<b>n/a</b>	<b>13-Mar</b>	<b>non-brg</b>	<b>5.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>SKX</b>	<b>S</b>	<b>200</b>	<b>29.34</b>	<b>n/a</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>WHEELER</b>	<b>008</b>	<b>SSE</b>	<b>B</b>	<b>7,690</b>	<b>7.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SSI</b>	<b>S</b>	<b>5,000</b>	<b>20.81</b>	<b>25-May</b>	<b>13-Jul</b>	<b>non-brg</b>	<b>5.0</b>
<b>KERN</b>	<b>050</b>	<b>SUPG</b>	<b>B</b>	<b>1,000</b>	<b>5.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAIRS AND POWER INV	030	SVU	S	20,000	47.55	n/a	n/a	1,200.00	6.0
<b>WHEELER</b>	<b>008</b>	<b>TBAC</b>	<b>S</b>	<b>3,723</b>	<b>13.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
UBS OCONNOR	031	TEN	SS	25,000	35.87	n/a	n/a	750.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>TGT</b>	<b>C</b>	<b>20,000</b>	<b>68.73</b>	<b>n/a</b>	<b>12-Jul</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	TJX	S	15,000	29.72	13-Jul	13-Jul	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		TRLG	S	10,000	22.04	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	TWB	B	30,000	44.12	2-Jul	2-Jul	1,200.00	4.0
COUGAR TRADING	044	TWB	S	5,000	44.58	2-Jul	2-Jul	250.00	5.0
Õ Glenn Redemann		UIC	B	3	0.73	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UIC	B	3	0.83	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UIC	SS	3	1.52	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UIC	SS	3	1.99	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		URBN	B	1,000	22.95	2-Apr	16-Jul	non-brg	1.0
COUGAR TRADING	044	URBN	B	5,000	22.30	2-Apr	16-Jul	250.00	5.0
MSD CAPITAL	036	USTR	S	1,200	71.00	23-May	23-May	60.00	5.0
ß BUCK CAPITAL MGMT		WBC-W	B	25,000	56.66	n/a	n/a	non-brg	5.0
CSFB GLOBAL PROPRIETARY	035	WBCWI	B	50,000	0.00	n/a	n/a	2,500.00	5.0
DSG CAPITAL	225	WBCWI	B	9,667	0.00	n/a	n/a	483.35	5.0
BULL PATH CAPITAL	044	WDR	S	27,500	29.05	13-Jul	16-Jul	1,375.00	5.0
SANDLER O'NEILL ASSET	031	WDR	S	56,700	28.92	13-Jul	16-Jul	2,835.00	5.0
ZOLIDIS	011	WMT	S	100	48.48	4-Jun	12-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		WRNC	S	3,000	40.76	20-Jun	20-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		WRNC	S	40,000	40.77	20-Jun	20-Jun	non-brg	5.0
JP MORGAN VENTURES	046	WRNC	S	25,000	40.87	20-Jun	20-Jun	1,250.00	5.0
GALLEON MGMT LP	033	XHB	SS	25,000	30.34	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COMMISSUM CAPITAL	067	XLF	SS	25,000	36.71	n/a	n/a	1,250.00	5.0
8 BUCK CAPITAL MGMT		ZQK	S	5,000	13.88	9-Mar	27-Jun	non-brg	5.0
TOTAL (Trade Date):								110,533.67	



Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/16	DAVIDSON TRUST CO	033	APPB	S	55	24.85	n/a	n/a	2.75 5.0
AO,CXL	07/16	DAVIDSON TRUST CO	033	APPB	S	55	24.85	n/a	n/a	1.24 0.0
AO,	07/16	KANTROWIT	N28	ASD	B	16	61.16	31-May	13-Jul	0.00 0.0
AO,CXL	07/16	KANTROWIT	N21	ASD	B	30	61.16	31-May	13-Jul	0.00 0.0
AO,	07/16	EMP ERROR	N28	ASD	B	14	61.16	31-May	13-Jul	0.00 0.0
TOTAL (AO, CXL, CRT) :										1.51

TOTAL (Trade Date) :	110,533.67
TOTAL (AO, CXL, CRT) :	1.51

TOTAL (RUN DATE) / NFS:	110,535.18
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MRI Securities with Trade Activity on 17-Jul.  
NONE

MRI Securities without Trade Activity on 17-Jul.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 18%  
YTD = 17%



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION