



Daily Compliance Activity Report

Trade Date: 03/02/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRIBECA	038	AEOS	B	7,600	30.08	4-Jan	1-Mar	304.00	4.0
T. ROWE PRICE	033	AMG	B	11,200	107.68	25-Jan	28-Feb	448.00	4.0
TRIBECA	038	AMZN	SS	4,400	38.08	n/a	n/a	176.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	35,000	75.92	2-Feb	22-Feb	1,400.00	4.0
LEDDY	035	ANN	S	500	35.19	5-Jan	16-Feb	0.00	0.0
LEDDY	035	APC	B	400	39.50	27-Feb	27-Feb	0.00	0.0
TRIBECA	038	APOL	B	5,700	46.61	n/a	n/a	228.00	4.0
TRIBECA	038	ARO	B	9,400	36.01	23-Oct	9-Feb	376.00	4.0
WYCKOFF	013	AVP	S	300	37.05	7-Feb	28-Feb	0.00	0.0
SCHEINER	037	AVZ	S	3,500	23.08	13-Feb	28-Feb	0.00	0.0
BUCK	015	BAC	S	650	50.18	11-Jan	28-Feb	0.00	0.0
COUGAR TRADING	044	BBW	B	10,000	26.59	8-Jan	21-Feb	500.00	5.0
SCHEINER	037	BKC	C	2,000	20.79	30-Jan	30-Jan	0.00	0.0
DAVIDSON KEMPNER ADVISOR	217	BMET	B	55,000	42.32	n/a	n/a	2,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	BONT	SS	10,000	48.18	9-Feb	9-Feb	500.00	5.0
TRIBECA/DOUG	040	BRO	B	10,000	27.60	n/a	n/a	400.00	4.0
SANDLER O'NEILL ASSET	031	BSC	B	2,900	147.97	15-Dec	28-Feb	145.00	5.0
TRIBECA	038	BUD	B	6,400	48.48	n/a	n/a	256.00	4.0
BUCK CAPITAL MGMT	001	BWS	B	10,000	50.06	n/a	n/a	400.00	4.0
BARR	096	CCC	S	2,000	6.60	n/a	n/a	0.00	0.0
HERMES	048	CCMP	S	12,000	31.85	n/a	2-Mar	600.00	5.0
MANNING & NAPIER	034	CCMP	S	25,000	31.86	n/a	2-Mar	1,000.00	4.0
HIGHFIELDS CAP MGMT	039	CCU	B	50,000	36.00	n/a	n/a	2,500.00	5.0
LEDDY	035	CC	B	500	17.89	20-Dec	23-Feb	0.00	0.0
SCHEINER	037	CC	S	1,500	18.24	20-Dec	23-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	CLE	S	250,500	31.83	3-Nov	23-Feb	10,020.00	4.0
DAVIDSON KEMPNER ADVISOR	217	CMX	B	70,000	60.88	n/a	n/a	3,500.00	5.0
CAPITAL GROWTH MGMT.	241	COH	B	30,000	47.76	23-Feb	23-Feb	1,500.00	5.0
TRIBECA	038	CPO	B	9,100	31.15	n/a	n/a	364.00	4.0
GALLEON MGMT LP	033	CSX	S	5,000	36.23	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON KEMPNER ADVISOR	217	CVS	SS	38,500	31.05	n/a	n/a	1,925.00	5.0
LEDDY	035	DIS	S	400	34.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	50.94	n/a	n/a	200.00	4.0
CIBC ADV.	060	EDS	S	15,000	27.61	n/a	n/a	600.00	4.0
CIBC ADV.	060	EK	S	10,000	23.77	n/a	n/a	400.00	4.0
DAVIDSON KEMPNER ADVISOR	217	ESRX	SS	14,000	73.69	n/a	n/a	700.00	5.0
WAGONHOUND	048	EWS	S	43,400	11.48	n/a	n/a	1,736.00	4.0
BUCK CAPITAL MGMT	001	GCO	B	5,000	38.72	n/a	10-Jan	200.00	4.0
CIBC ADV.	060	GENZ	S	15,000	60.89	n/a	n/a	600.00	4.0
BUCK CAPITAL MGMT	001	GES	B	5,000	80.02	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	GPS	B	20,000	18.52	n/a	2-Mar	800.00	4.0
MERRILL ASSET	032	GS	S	114,200	199.26	13-Dec	28-Feb	5,710.00	5.0
SCHEINER	037	GWW	S	650	76.87	26-Jan	26-Jan	0.00	0.0
PROSPECTOR PARTNERS LLC	036	HE	B	53,600	26.20	n/a	n/a	2,144.00	4.0
LUCHANSKY	036	HMA	B	500	10.28	22-Feb	22-Feb	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	IBN	B	700	37.79	n/a	n/a	0.00	0.0
WHEELER	008	INTC	B	15,000	19.48	n/a	n/a	0.00	0.0
WAGONHOUND	048	ISE	B	7,000	45.30	26-Oct	1-Mar	280.00	4.0
BUCK CAPITAL MGMT	001	JCG	B	5,000	35.93	25-Jan	25-Jan	200.00	4.0
COUGAR TRADING	044	JCG	S	15,000	35.85	25-Jan	25-Jan	750.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	79.32	23-Feb	23-Feb	400.00	4.0
EGS ASSOCIATES LP	091	JNY	B	3,000	31.97	n/a	15-Feb	180.00	6.0
CATAPULT	040	JPM	B	15,000	48.42	n/a	16-Feb	750.00	5.0
ROSENBERG	034	KCP	B	300	25.11	n/a	28-Feb	0.00	0.0
GALLEON MGMT LP	033	KNL	SS	10,000	23.49	n/a	n/a	500.00	5.0
J L F ASSET MGMT	039	LTD	SS	23,100	26.58	2-Mar	2-Mar	924.00	4.0
SCHEINER	037	LVL	S	3,200	6.41	n/a	12-Feb	0.00	0.0
WHEELER	008	MAG	B	10,000	4.85	n/a	n/a	0.00	0.0
LEDDY	035	MIR	B	263	37.25	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	MLHR	SS	5,000	37.56	n/a	n/a	250.00	5.0
SCHEINER	037	MLI	S	1,500	29.41	6-Feb	28-Feb	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SINCLAIR	031	MRK	S	200	44.25	n/a	n/a	0.00	0.0
GRISANTI BROWN & PARTNER	048	MU	B	20,000	12.02	n/a	n/a	1,000.00	5.0
CIBC ADV.	060	MYL	SS	100,000	19.84	n/a	28-Feb	4,000.00	4.0
BUCK CAPITAL MGMT	001	NLS	B	5,000	16.90	n/a	n/a	200.00	4.0
SCHEINER	037	OXM	S	1,000	48.50	n/a	n/a	0.00	0.0
S.A.C. CAPITAL MGMT.	044	PKG	B	25,000	24.83	27-Oct	24-Jan	1,250.00	5.0
COUGAR TRADING	044	PSUN	B	5,000	18.04	n/a	21-Feb	250.00	5.0
J L F ASSET MGMT	039	PSUN	SS	34,400	17.82	n/a	21-Feb	1,376.00	4.0
SCHEINER	037	QID	B	4,000	56.56	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	RSH	S	18,700	24.73	n/a	n/a	748.00	4.0
GLG PARTNERS	034	SBUX	B	75,000	30.06	n/a	1-Feb	3,750.00	5.0
BINDER	007	SBUX	C	200	30.08	n/a	1-Feb	0.00	0.0
DENVER INST. ADV	046	SCRX	B	85,300	22.52	20-Dec	27-Feb	4,265.00	5.0
GALLEON MGMT LP	033	SCS	SS	10,000	19.09	n/a	n/a	500.00	5.0
BINDER	007	SDS	B	100	60.55	n/a	n/a	0.00	0.0
LEDDY	035	SDS	B	600	61.36	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	SPY	B	14,000	140.35	n/a	n/a	700.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	SSI	B	20,000	21.41	26-Feb	26-Feb	800.00	4.0
ROSENBERG	034	TBL	B	300	26.71	15-Nov	9-Feb	0.00	0.0
SCHEINER	037	THC	S	2,000	6.38	n/a	27-Feb	0.00	0.0
BULL PATH CAPITAL	044	TROW	B	17,500	45.87	16-Oct	28-Feb	875.00	5.0
GRISANTI BROWN & PARTNER	048	UAUA	B	18,800	40.48	n/a	n/a	940.00	5.0
BALYASNY ASSET MGMT	044	WDR	B	30,000	23.54	12-Jan	28-Feb	1,500.00	5.0
EGS ASSOCIATES LP	091	WRNC	S	9,364	25.71	18-Jan	27-Feb	561.84	6.0
AMBER CAPITAL	046	ZLC	B	40,000	26.61	n/a	n/a	2,000.00	5.0
WYCKOFF	013	ZQK	S	200	13.86	9-Feb	1-Mar	0.00	0.0
TOTAL (Trade Date) :								71,781.84	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/01	LISTED ERR (LISTED ERR)	099	BBY	S	10,000	45.27	13-Dec	21-Feb	0.00 0.0
AO,CXL	03/01	CIBC ADV. (CIBCCIBC W)	060	BBY	S	50,000	45.27	13-Dec	21-Feb	2500.00 5.0
AO,CRT	03/01	CIBC ADV. (CIBCCIBC W)	060	BBY	S	50,000	45.27	13-Dec	21-Feb	2000.00 4.0
AO,CXL	02/27	SOMERSET ADV (SOMEMAINUB)	044	GLW	B	26,485	20.50	n/a	2-Mar	1324.25 5.0
AO,CRT	02/27	SOMERSET ADV (SOMEMAINUB)	044	GLW	B	26,485	20.50	n/a	2-Mar	1059.40 4.0
AO,CXL	03/01	MKT MAKER (MKT MAKER)	099	JCG	B	83	35.88	25-Jan	25-Jan	0.00 0.0
AO,CRT	03/01	MKT MAKER (MKT MAKER)	099	JCG	B	83	35.88	25-Jan	25-Jan	0.00 0.0
AO,CXL	03/01	MKT MAKER (MKT MAKER)	099	JCG	S	83	35.88	25-Jan	25-Jan	0.00 0.0
AO,CRT	03/01	MKT MAKER (MKT MAKER)	099	JCG	S	83	35.88	25-Jan	25-Jan	0.00 0.0
AO,CXL	03/01	STRAUSS ASSET MGMT (STRAMS)	040	TIN	B	10,000	59.19	26-Feb	26-Feb	500.00 5.0
AO,CRT	03/01	WILLIAM TRADING/DOUG R (WTDR)	040	TIN	B	10,000	59.19	26-Feb	26-Feb	400.00 4.0

TOTAL (AO, CXL, CRT) :**-864.85**

TOTAL (Trade Date):

71,781.84

TOTAL (AO, CXL, CRT):

-864.85

TOTAL (RUN DATE) / NFS:**70,916.99**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION