

Daily Compliance Activity Report Trade Date: 07/28/2009

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

										
	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	S/Shr.
f	DAVID GEORGE BUCK	FID	ABT	В	1000	45.52	n/a	22-Apr	0.00	0.0
f	JONATHAN RICHARD HAR	FID	ALRC	В	410	2.60	n/a	n/a	0.00	0.0
	BALYASNY ASSET MGMT	044	AMG	S	15,600	62.86	5-Jun	27-Jul	780.00	5.0
	ROYCE TRADING	216	AOS	В	18,000	39.22	17-Jul	17-Jul	900.00	5.0
	BUCK CAPITAL MGMT	001	ARO	В	10,000	36.25	n/a	10-Jul	400.00	4.0
	COUGAR TRADING	044	ARO	В	5,000	35.88	n/a	10-Jul	250.00	5.0
f	JOSEPH CIRO AMATURO	FID	AVMHJ	В	10	2.50	n/a	n/a	0.00	0.0
	MILLENNIUM-ANDEW	031	AXE	В	15,000	32.94	28-Jul	28-Jul	1,200.00	8.0
f	MARC PAUL LUCHANSKY	FID	AXL	В	1000	1.38	n/a	9-Jul	0.00	0.0
	GREEN ARROW CAPITAL	040	AXP	SS	15,000	27.67	n/a	28-Jul	750.00	5.0
	DIAMONDBACK CAP MGMT	232	BAC	S	50,000	13.25	11-May	20-Jul	2,500.00	5.0
	OZ MGMT	040	BAC	В	250 , 000	13.22	11-May	20-Jul	7,500.00	3.0
ß	BUCK CAPITAL MGMT		ввву	С	60,000	34.54	n/a	n/a	non-brg	4.0
	BUCK CAPITAL MGMT	001	вву	SS	30,000	37.21	n/a	27-Jul	1,200.00	4.0
ß	BUCK CAPITAL MGMT		BHI	В	10,000	39.80	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
	GREEN ARROW CAPITAL	040	BKC	S	20,000	16.92	16-Apr	10-Jul	1,000.00	5.0
ß	BUCK CAPITAL MGMT		BKE	S	50,000	30.05	n/a	n/a	non-brg	4.0
	EPOCH INV MGMT	030	BTU	В	1,750	33.70	n/a	n/a	70.00	4.0
	COOPER CREEK PART	028	BWA	В	10,000	35.51	10-Jun	16-Jul	400.00	4.0
	PZENA INV ADV	044	САН	S	900	33.00	n/a	n/a	31.50	3.5
ß	BUCK CAPITAL MGMT		CAM	В	10,000	30.27	n/a	n/a	non-brg	4.0
f	JOHN CAREY MAGUIRE	FID	CAT	S	200	42.14	n/a	22-Jul	0.00	0.0
	PZENA INV ADV	044	CA	S	2,500	20.70	n/a	n/a	87.50	3.5
f	ROBERT M DANIELS	FID	CBI	s	200	13.69	n/a	n/a	0.00	0.0
	EPOCH INV MGMT	030	CL	В	1,550	75.41	8-Jun	8-Jun	62.00	4.0
	PZENA INV ADV	044	COF	S	850	29.37	15-May	28-Jul	29.75	3.5
	BUCK CAPITAL MGMT	001	СОН	S	40,000	27.25	n/a	16-Jul	1,600.00	4.0
	EPOCH INV MGMT	030	COP	В	2,800	44.70	n/a	n/a	112.00	4.0
	EGS ASSOCIATES LP	091	CPKI	SS	3,500	16.60	29-May	15-Jul	210.00	6.0
	BUCK CAPITAL MGMT	001	CRI	В	160,000	26.82	n/a	n/a	6,400.00	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		CRI	В	10,000	26.92	n/a	n/a	non-brg	2.0
	PZENA INV ADV	044	CRL	S	750	31.94	n/a	n/a	26.25	3.5
	RAINIER INV MGMT	035	CXO	S	35,840	30.16	n/a	n/a	1,792.00	5.0
f	JOSEPH CIRO AMATURO	FID	С	s	4000	2.91	n/a	20-Jul	0.00	0.0
ß	BUCK CAPITAL MGMT		DAL	В	25,000	6.30	n/a	n/a	non-brg	1.0
	SLS CAPITAL LLC	224	DAL	В	102,500	6.44	n/a	n/a	3,075.00	3.0
	EPOCH INV MGMT	030	DD	В	4,900	30.12	n/a	21-Jul	196.00	4.0
	KINGDON ASSET MGMT	041	DECK	В	25 , 000	67.61	n/a	n/a	1,000.00	4.0
	PZENA INV ADV	044	DELL	S	1,675	13.83	n/a	n/a	58.63	3.5
	EPOCH INV MGMT	030	DE	В	2,350	41.93	n/a	17-Jun	94.00	4.0
ß	BUCK CAPITAL MGMT		DSW	SS	50,000	12.76	28-Jul	28-Jul	non-brg	2.0
	COOPER CREEK PART	028	DSW	SS	10,000	12.98	28-Jul	28-Jul	400.00	4.0
ß	BUCK CAPITAL MGMT		FOSL	S	10,000	25.02	30-Jan	30-Jan	non-brg	2.5
ß	BUCK CAPITAL MGMT		FOSL	s	20,000	25.16	30-Jan	30-Jan	non-brg	4.0
f	MANNY I KORMAN	FID	FSIXX	S	129000	1.00	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	GCO	S	96,800	20.33	n/a	10-Jan	3,872.00	4.0
	BUCK CAPITAL MGMT	001	GES	s	20,000	28.70	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
COOPER CREEK PART	028	GPI	SS	10,000	27.31	n/a	29-Apr	400.00	4.0
SIGMA CAPITAL ASSOCIATES	041	GPS	S	100,000	16.07	30-Jan	30-Jan	4,000.00	4.0
PZENA INV ADV	044	HD	S	875	25.25	12-May	20-May	30.63	3.5
RK CAPTIAL MGMT	045	HEI	SS	5,000	38.46	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT		HLF	В	10,000	32.50	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		HLF	В	15,000	32.46	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		HLF	В	25,000	32.51	n/a	n/a	non-brg	1.5
BUCK CAPITAL MGMT		HLF	В	25,000	32.59	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		HLF	В	75,000	32.37	n/a	n/a	non-brg	4.0
RAGHAV NAYAR	FID	HWD	В	100	5.01	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ICON	S	10,000	17.18	n/a	n/a	non-brg	4.0
BMO NESBITT BURNS	041	IP	SS	25,000	18.38	21-Jul	21-Jul	1,250.00	5.0
CAXTON PART	040	IP	S	115,908	18.28	21-Jul	21-Jul	4,056.80	3.5
JASON ERIC COHEN	FID	JBHT	В	65	27.84	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	В	10,000	28.22	30-Jan	30-Jan	400.00	4.0
DAVID GEORGE BUCK	FID	JCP	В	2000	28.75	13-Apr	10-Jul	0.00	0.0
PZENA INV ADV	044	JCP	S	1,800	28.86	13-Apr	10-Jul	63.00	3.5

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
	KENNEDY CAPITAL	046	KPPC	В	100,000	5.26	n/a	n/a	3,000.00	3.0	
	MERRILL ASSET/BLACKROCK	214	LF	В	40,000	2.48	n/a	n/a	1,800.00	4.5	
	FIRST NEW YORK LEDDY	035	LVLT	В	20,000	1.65	n/a	4-Jan	1,000.00	5.0	
	GREEN ARROW CAPITAL	040	LVS	S	30,000	10.82	n/a	14-Jul	1,500.00	5.0	
	SAC TRADING/BILLY	044	MA	S	10,000	186.97	n/a	28-Jul	400.00	4.0	
ß	BUCK CAPITAL MGMT		MDR	В	15,000	19.74	n/a	n/a	non-brg	4.0	
	HOLLIS CAPITAL	033	MRK	S	5,000	30.02	n/a	n/a	250.00	5.0	
ß	BUCK CAPITAL MGMT		MVRX	С	530,000	140.07	n/a	n/a	non-brg	14.0	
A	BUCK CAPITAL MGMT		MVRX	С	8,000	140.42	n/a	n/a	non-brg	14.0	
	CATAPULT	040	MYL	В	70,000	13.21	n/a	27-Jul	3,500.00	5.0	
	BUCK CAPITAL MGMT	001	NTY	В	80,000	35.60	n/a	n/a	3,200.00	4.0	
	APEX CAPITAL MGMT.	091	NVDA	S	100,000	12.93	n/a	n/a	4,000.00	4.0	
	MOORE CAPITAL	036	NWL	В	65,000	12.15	n/a	n/a	2,600.00	4.0	
a	BUCK CAPITAL MGMT		NWY	В	20,000	3.47	30-Jan	30-Jan	non-brg	2.0	
	BALYASNY ASSET MGMT	044	OMX	В	20,000	7.26	n/a	15-Apr	800.00	4.0	
	MARINER/TRICADIA	041	OUTD	В	800	7.03	n/a	n/a	40.00	5.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
MARINER/TRICADIA	041	OUTD	S	800	7.10	n/a	n/a	40.00	5.0
D			_	1000	16.07	,	00 - 1	0.00	
DAVID GEORGE BUCK	FID	PBCT	В	1000	16.07	n/a	28-Jul	0.00	0.0
MATTHEW FRANCIS BUCK	FID	PBCT	В	400	16.08	n/a	28-Jul	0.00	0.0
MERRILL ASSET/BLACKROCK	214	PLCE	В	5,300	29.71	19-Mar	10-Jul	238.50	4.5
JOSEPH CIRO AMATURO	FID	PQ	В	10000	3.36	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PSS	S	30,000	15.61	n/a	n/a	1,200.00	4.0
BAIRD INVESTMENT	256	PVH	S	17,425	34.94	28-Jul	28-Jul	871.25	5.0
THE JAY GOLDMAN	034	DVH	99		34 66	28Tii1	28Tii1	400 00	4.0
THE ONE GOLDINA	031	1 11	88	10,000	31.00	20 041	20 041	100.00	1.0
FIRST NEW YORK NICK	029	Q	В	30,000	4.06	n/a	n/a	1,500.00	5.0
GREEN ARROW CAPITAL	040	RCL	S	15,000	15.79	22-Apr	24-Apr	750.00	5.0
JASON ERIC COHEN	FID	RIG	s	19	80.15	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	S	10,000	60.89	28-Jul	28-Jul	400.00	4.0
BUCK CAPITAL MGMT		SBUX	С	20,000	17.34	20-Apr	21-Jul	non-brg	4.0
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BUCK CAPITAL MGMT		SII	В	20,000	24.99	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	SKX	S	60,000	13.62	n/a	13-Mar	2,400.00	4.0
BUCK CAPITAL MGMT		SLB	В	7,500	54.04	n/a	n/a	non-brg	4.0
JOSEPH CIRO AMATURO	FID	SLW	В	2000	8.85	n/a	n/a	0.00	0.0
	DAVID GEORGE BUCK MATTHEW FRANCIS BUCK MERRILL ASSET/BLACKROCK JOSEPH CIRO AMATURO BUCK CAPITAL MGMT BAIRD INVESTMENT THE JAY GOLDMAN FIRST NEW YORK NICK GREEN ARROW CAPITAL JASON ERIC COHEN BUCK CAPITAL MGMT BUCK CAPITAL MGMT	MARINER/TRICADIA 041 DAVID GEORGE BUCK FID MATTHEW FRANCIS BUCK FID MERRILL ASSET/BLACKROCK 214 JOSEPH CIRO AMATURO FID BUCK CAPITAL MGMT 001 BAIRD INVESTMENT 256 THE JAY GOLDMAN 034 FIRST NEW YORK NICK 029 GREEN ARROW CAPITAL 040 JASON ERIC COHEN FID BUCK CAPITAL MGMT 001 BUCK CAPITAL MGMT 001	MARINER/TRICADIA 041 OUTD DAVID GEORGE BUCK FID PBCT MATTHEW FRANCIS BUCK FID PBCT MERRILL ASSET/BLACKROCK 214 PLCE JOSEPH CIRO AMATURO FID PQ BUCK CAPITAL MGMT 001 PSS BAIRD INVESTMENT 256 PVH THE JAY GOLDMAN 034 PVH FIRST NEW YORK NICK 029 Q GREEN ARROW CAPITAL 040 RCL JASON ERIC COHEN FID RIG BUCK CAPITAL MGMT 001 RL BUCK CAPITAL MGMT SBUX BUCK CAPITAL MGMT SII BUCK CAPITAL MGMT SII BUCK CAPITAL MGMT 51B BUCK CAPITAL MGMT 51B BUCK CAPITAL MGMT 51B BUCK CAPITAL MGMT 51B	MARINER/TRICADIA 041 OUTD S DAVID GEORGE BUCK FID PBCT B MATTHEW FRANCIS BUCK FID PBCT B MERRILL ASSET/BLACKROCK 214 PLCE B JOSEPH CIRO AMATURO FID PQ B BUCK CAPITAL MGMT 001 PSS S BAIRD INVESTMENT 256 PVH S THE JAY GOLDMAN 034 PVH SS FIRST NEW YORK NICK 029 Q B GREEN ARROW CAPITAL 040 RCL S JASON ERIC COHEN FID RIG S BUCK CAPITAL MGMT 001 RL S BUCK CAPITAL MGMT SBUX C BUCK CAPITAL MGMT SII B BUCK CAPITAL MGMT 51 SKX S BUCK CAPITAL MGMT 001 SKX S BUCK CAPITAL MGMT 001 SKX S	MARRINER/TRICADIA 041 OUTD S 800 DAVID GEORGE BUCK FID PBCT B 1000 MATTHEW FRANCIS BUCK FID PBCT B 400 MERRILL ASSET/BLACKROCK 214 PLCE B 5,300 JOSEPH CIRO AMATURO FID PQ B 10000 BUCK CAPITAL MGMT 001 PSS S 30,000 BAIRD INVESTMENT 256 PVH S 17,425 THE JAY GOLDMAN 034 PVH SS 10,000 FIRST NEW YORK NICK 029 Q B 30,000 GREEN ARROW CAPITAL 040 RCL S 15,000 JASON ERIC COHEN FID RIG S 19 BUCK CAPITAL MGMT 001 RL S 10,000 BUCK CAPITAL MGMT SII B 20,000 BUCK CAPITAL MGMT 001 SKX S 60,000 BUCK CAPITAL MGMT SLB B 7,500 <td>MARINER/TRICADIA 041 OUTD S 800 7.10 DAVID GEORGE BUCK FID PBCT B 1000 16.07 MATTHEW FRANCIS BUCK FID PBCT B 400 16.08 MERRILL ASSET/BLACKROCK 214 PLCE B 5,300 29.71 JOSEPH CIRO AMATURO FID PQ B 10000 3.36 BUCK CAPITAL MGMT 001 PSS S 30,000 15.61 BAIRD INVESTMENT 256 PVH S 17,425 34.94 THE JAY GOLDMAN 034 PVH SS 10,000 34.66 FIRST NEW YORK NICK 029 Q B 30,000 4.06 GREEN ARROW CAPITAL 040 RCL S 15,000 15.79 JASON ERIC COHEN FID RIG S 10,000 60.89 BUCK CAPITAL MGMT SBUX C 20,000 17.34 BUCK CAPITAL MGMT SIB 20,000 24.99</td> <td>MARINER/TRICADIA 041 OUTD S 800 7.10 n/a DAVID GEORGE BUCK FID PBCT B 1000 16.07 n/a MATTHEW FRANCIS BUCK FID PBCT B 400 16.08 n/a MERRILL ASSET/BLACKROCK 214 PLCE B 5,300 29.71 19-Mar JOSEPH CIRO AMATURO FID PQ B 10000 3.36 n/a BUCK CAPITAL MGMT 001 PSS S 30,000 15.61 n/a BAIRD INVESTMENT 256 PVH S 17,425 34.94 28-Jul THE JAY GOLDMAN 034 PVH SS 10,000 34.66 28-Jul FIRST NEW YORK NICK 029 Q B 30,000 4.06 n/a GREEN ARROW CAPITAL 040 RCL S 15,000 15.79 22-Apr JASON ERIC COHEN FID RIG S 10,000 60.89 28-Jul</td> <td>MARINER/TRICADIA 041 OUTD S 800 7.10 n/a n/a DAVID GEORGE BUCK FID PBCT B 1000 16.07 n/a 28-Jul MATTHEW FRANCIS BUCK FID PBCT B 400 16.08 n/a 28-Jul MERRILL ASSET/BLACKROCK 214 FLCE B 5,300 29.71 19-Mar 10-Jul JOSEPH CIRO AMATURO FID PQ B 10000 3.36 n/a n/a BUCK CAPITAL MGMT 001 PSS S 30,000 15.61 n/a n/a BAIRD INVESTMENT 256 FVH S 17,425 34.94 28-Jul 28-Jul THE JAY GOLDMAN 034 FVH SS 10,000 34.66 28-Jul 28-Jul FIRST NEW YORK NICK 029 Q B 30,000 4.06 n/a n/a GREEN ARROW CAPITAL 040 RCL S 15,000 15.79 22-Apr 24-Apr JASON ERIC COHEN FID RIG S 19 80.15 n/a n/a BUCK CAPITAL MGMT 001 RL S 10,000 60.89 28-Jul 28-Jul BUCK CAPITAL MGMT SBUX C 20,000 17.34 20-Apr 21-Jul BUCK CAPITAL MGMT SII B 20,000 24.99 n/a n/a BUCK CAPITAL MGMT 001 SKX S 60,000 13.62 n/a 13-Mar BUCK CAPITAL MGMT 001 SKX S 60,000 13.62 n/a 13-Mar</td> <td>MARINER/TRICADIA 041 OUTD S 800 7.10 n/a n/a 40.00 DAVID GEORGE BUCK FID PBCT B 1000 16.07 n/a 28-Jul 0.00 MATTHEW FRANCIS BUCK FID PBCT B 400 16.08 n/a 28-Jul 0.00 MERRILL ASSET/BLACKROCK 214 FLCE B 5,300 29.71 19-Mar 10-Jul 238.50 JOSEPH CIRO AMATURO FID PQ B 10000 3.36 n/a n/a n/a 0.00 BUCK CAPITAL MGMT 001 PSS S 30,000 15.61 n/a n/a 1,200.00 BAIRD INVESTMENT 256 FVH S 17,425 34.94 28-Jul 28-Jul 871.25 THE JAY GOLDMAN 034 FVH SS 10,000 34.66 28-Jul 28-Jul 400.00 FIRST NEW YORK NICK 029 Q B 30,000 4.06 n/a n/a 1,500.00 GREEN ARROW CAPITAL 040 RCL S 15,000 15.79 22-Apr 24-Apr 750.00 JASON ERIC COHEN FID RIG S 19 80.15 n/a n/a 0.00 BUCK CAPITAL MGMT 001 RL S 10,000 60.89 28-Jul 28-Jul 400.00 BUCK CAPITAL MGMT SBUX C 20,000 17.34 20-Apr 21-Jul non-brg BUCK CAPITAL MGMT SII B 20,000 24.99 n/a n/a n/a non-brg BUCK CAPITAL MGMT 01 SKX S 60,000 13.62 n/a 13-Mar 2,400.00 BUCK CAPITAL MGMT SIB B 7,500 54.04 n/a n/a non-brg</td>	MARINER/TRICADIA 041 OUTD S 800 7.10 DAVID GEORGE BUCK FID PBCT B 1000 16.07 MATTHEW FRANCIS BUCK FID PBCT B 400 16.08 MERRILL ASSET/BLACKROCK 214 PLCE B 5,300 29.71 JOSEPH CIRO AMATURO FID PQ B 10000 3.36 BUCK CAPITAL MGMT 001 PSS S 30,000 15.61 BAIRD INVESTMENT 256 PVH S 17,425 34.94 THE JAY GOLDMAN 034 PVH SS 10,000 34.66 FIRST NEW YORK NICK 029 Q B 30,000 4.06 GREEN ARROW CAPITAL 040 RCL S 15,000 15.79 JASON ERIC COHEN FID RIG S 10,000 60.89 BUCK CAPITAL MGMT SBUX C 20,000 17.34 BUCK CAPITAL MGMT SIB 20,000 24.99	MARINER/TRICADIA 041 OUTD S 800 7.10 n/a DAVID GEORGE BUCK FID PBCT B 1000 16.07 n/a MATTHEW FRANCIS BUCK FID PBCT B 400 16.08 n/a MERRILL ASSET/BLACKROCK 214 PLCE B 5,300 29.71 19-Mar JOSEPH CIRO AMATURO FID PQ B 10000 3.36 n/a BUCK CAPITAL MGMT 001 PSS S 30,000 15.61 n/a BAIRD INVESTMENT 256 PVH S 17,425 34.94 28-Jul THE JAY GOLDMAN 034 PVH SS 10,000 34.66 28-Jul FIRST NEW YORK NICK 029 Q B 30,000 4.06 n/a GREEN ARROW CAPITAL 040 RCL S 15,000 15.79 22-Apr JASON ERIC COHEN FID RIG S 10,000 60.89 28-Jul	MARINER/TRICADIA 041 OUTD S 800 7.10 n/a n/a DAVID GEORGE BUCK FID PBCT B 1000 16.07 n/a 28-Jul MATTHEW FRANCIS BUCK FID PBCT B 400 16.08 n/a 28-Jul MERRILL ASSET/BLACKROCK 214 FLCE B 5,300 29.71 19-Mar 10-Jul JOSEPH CIRO AMATURO FID PQ B 10000 3.36 n/a n/a BUCK CAPITAL MGMT 001 PSS S 30,000 15.61 n/a n/a BAIRD INVESTMENT 256 FVH S 17,425 34.94 28-Jul 28-Jul THE JAY GOLDMAN 034 FVH SS 10,000 34.66 28-Jul 28-Jul FIRST NEW YORK NICK 029 Q B 30,000 4.06 n/a n/a GREEN ARROW CAPITAL 040 RCL S 15,000 15.79 22-Apr 24-Apr JASON ERIC COHEN FID RIG S 19 80.15 n/a n/a BUCK CAPITAL MGMT 001 RL S 10,000 60.89 28-Jul 28-Jul BUCK CAPITAL MGMT SBUX C 20,000 17.34 20-Apr 21-Jul BUCK CAPITAL MGMT SII B 20,000 24.99 n/a n/a BUCK CAPITAL MGMT 001 SKX S 60,000 13.62 n/a 13-Mar BUCK CAPITAL MGMT 001 SKX S 60,000 13.62 n/a 13-Mar	MARINER/TRICADIA 041 OUTD S 800 7.10 n/a n/a 40.00 DAVID GEORGE BUCK FID PBCT B 1000 16.07 n/a 28-Jul 0.00 MATTHEW FRANCIS BUCK FID PBCT B 400 16.08 n/a 28-Jul 0.00 MERRILL ASSET/BLACKROCK 214 FLCE B 5,300 29.71 19-Mar 10-Jul 238.50 JOSEPH CIRO AMATURO FID PQ B 10000 3.36 n/a n/a n/a 0.00 BUCK CAPITAL MGMT 001 PSS S 30,000 15.61 n/a n/a 1,200.00 BAIRD INVESTMENT 256 FVH S 17,425 34.94 28-Jul 28-Jul 871.25 THE JAY GOLDMAN 034 FVH SS 10,000 34.66 28-Jul 28-Jul 400.00 FIRST NEW YORK NICK 029 Q B 30,000 4.06 n/a n/a 1,500.00 GREEN ARROW CAPITAL 040 RCL S 15,000 15.79 22-Apr 24-Apr 750.00 JASON ERIC COHEN FID RIG S 19 80.15 n/a n/a 0.00 BUCK CAPITAL MGMT 001 RL S 10,000 60.89 28-Jul 28-Jul 400.00 BUCK CAPITAL MGMT SBUX C 20,000 17.34 20-Apr 21-Jul non-brg BUCK CAPITAL MGMT SII B 20,000 24.99 n/a n/a n/a non-brg BUCK CAPITAL MGMT 01 SKX S 60,000 13.62 n/a 13-Mar 2,400.00 BUCK CAPITAL MGMT SIB B 7,500 54.04 n/a n/a non-brg

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	MERRILL ASSET/BLACKROCK	214	SMBL	В	50,000	5.81	n/a	n/a	2,250.00	4.5
f	DAVID GEORGE BUCK	FID	STI	s	1000	17.33	n/a	n/a	0.00	0.0
	MERRILL ASSET/BLACKROCK	214	TEX	В	7,000	14.26	n/a	24-Jul	315.00	4.5
	BMO NESBITT BURNS	041	TIN	SS	25,000	15.71	23-Jul	23-Jul	1,250.00	5.0
	BUCK CAPITAL MGMT	001	TLB	В	30,000	5.27	30-Jan	30-Jan	900.00	3.0
ß	BUCK CAPITAL MGMT		URBN	В	20,000	22.44	30-Jan	30-Jan	non-brg	4.0
	MAIRS AND POWER INV	030	VAL	S	20,000	24.42	n/a	n/a	1,000.00	5.0
f	MATTHEW FRANCIS BUCK	FID	VNO	В	150	50.53	n/a	n/a	0.00	0.0
	KACELA CAPITAL	034	V	S	10,000	67.62	n/a	28-Jul	400.00	4.0
ß	BUCK CAPITAL MGMT		WBC	S	40,000	19.44	n/a	27-Apr	non-brg	4.0
f	DAVID GEORGE BUCK MATTHEW FRANCIS BUCK	FID FID	WLP	B B	1000 200	53.62 53.48	n/a n/a	n/a n/a	0.00	0.0
	JP MORGAN VENTURES	046	WMT	S	30,000	48.84	11-May	6-Jul	1,500.00	5.0
	WEISS PECK	040	WYNN	SS	5,800	46.43	n/a	14-Jul	290.00	5.0
	BMO NESBITT BURNS	041	WY	SS	25,000	34.63	n/a	20-Jul	1,250.00	5.0
ß	BUCK CAPITAL MGMT		XRT	SS	2,300,000	29.74	n/a	n/a	non-brg	1.0

TOTAL (Trade Date): 88,403.81

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	07/27	MILLENIUM PARTNERS	028	FNF	S	40,000	13.52	n/a	n/a	1600.00	4.0
AO, CRT	07/27	MILLENIUM PARTNERS	028	FNF	S	40,000	13.52	n/a	n/a	1600.00	4.0
AO,CXL	07/27	REINHART & MAHONEY	031	JPM	В	15	38.12	n/a	20-Jul	0.75	5.0
AO, CRT	07/27	REINHART & MAHONEY	031	JPM	В	15	38.12	n/a	20-Jul	0.75	5.0
AO,	07/27	HIGHFIELDS CAP MGMT	039	MGM	S	125,000	7.85	n/a	8-Jul	5000.00	4.0

TOTAL	(AO, CXL, CRT):	5,000.00
	(Trade Date):	88,403.81 5,000.00

MRI Securities with Trade Activity on 28-Jul.

AXE, DSW, PVH, RL

MRI Securities without Trade Activity on 28-Jul.

ACV, ECL, KSS, TJX, WRC

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 14% YTD = 20%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)