



RR Commissions Summary [Ian Miller]

Trade Date: 01/28/2014

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	01/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BOURGEON CAPITAL	025	0	0	0	0	0	0	0	0	0	0	1,200	0
CHARTERBRIDGE CAPITAL	025	0	6,708	6,708	6,708	0	0	0	6,708	6,708	6,708	39,879	17
CONIFER	025	0	0	0	0	0	0	0	0	0	0	1,890	0
CONIFER/ISF MANAGEMENT	025	0	0	0	0	2,500	2,500	2,500	2,500	2,500	2,500	12,000	21
CYRUS CAPITAL	025	0	0	0	0	0	0	0	0	0	0	120,773	0
EMINENCE CAPITAL	025	0	0	0	0	0	0	0	0	0	0	50,000	0
EVERCORE WEALTH MGMT	025	0	0	0	0	0	0	0	0	0	0	3,315	0
FOX POINT CAPITAL MNGNT	025	0	0	0	0	0	0	0	0	0	0	4,000	0
FRANKLIN ADVISORY SERVIC	025	0	0	0	0	0	0	0	0	0	0	25,025	0
GAMCO ASSET MNGMT	025	0	11,069	11,069	11,069	0	0	0	11,069	11,069	11,069	12,840	86
HAWK RIDGE	025	0	4,350	4,350	4,350	0	0	0	4,350	4,350	4,350	3,141	139
HOPLITE MGMT	025	0	2,000	2,000	2,000	0	0	0	2,000	2,000	2,000	43,909	5
KDI CAPITAL PARTNERS	025	0	0	0	0	2,500	2,500	2,500	2,500	2,500	2,500	37,500	7
KOLVEN CAPITAL	025	30	306	306	306	0	0	0	306	306	306	1,100	28
KORTRIGHT CAPITAL PARTNERS	025	1,548	4,029	4,029	4,029	0	0	0	4,029	4,029	4,029	34,092	12
MASTERS CAPITAL MANAGE	025	0	4,000	4,000	4,000	0	0	0	4,000	4,000	4,000	75,797	5
NEWLAND CAPITAL MGT	025	400	2,000	2,000	2,000	0	0	0	2,000	2,000	2,000	36,234	6
PALISADE CAPITAL MANAGEMENT LLC	025	1,632	4,000	4,000	4,000	0	0	0	4,000	4,000	4,000	33,348	12
PATTEN & PATTEN	025	0	75	75	75	0	0	0	75	75	75	59,569	0
PAW PARTNERS	025	0	0	0	0	0	0	0	0	0	0	2,500	0
RIVERPARK CAPITAL	025	0	3,700	3,700	3,700	0	0	0	3,700	3,700	3,700	15,100	25
SAGE ASSET MGMT	025	0	2,033	2,033	2,033	0	0	0	2,033	2,033	2,033	27,738	7
SCOPIA CAPITAL	025	0	0	0	0	0	0	0	0	0	0	30,028	0
SEVEN LOCKS CAPITAL	025	0	0	0	0	0	0	0	0	0	0	15,411	0
SONICA CAPITAL LLC	025	0	465	465	465	0	0	0	465	465	465	11,070	4
WELCH CAPITAL	025	0	1,500	1,500	1,500	0	0	0	1,500	1,500	1,500	1,834	82
TOTALS:		3,610	46,234	46,234	46,234	5,000	5,000	5,000	51,234	51,234	51,234		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	01/28	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	0	3,977	3,977	3,977	0	0	0	3,977	3,977	3,977	125,477	0
FIR TREE CAPITAL		0	0	0	0	0	0	0	0	0	0	9,000	0
GEORGE WEISS	278	0	750	750	750	12,000	12,000	12,000	12,750	12,750	12,750	138,202	9
TOTALS:		0	4,727	4,727	4,727	12,000	12,000	12,000	16,727	16,727	16,727		

