



Daily Compliance Activity Report

Trade Date: 11/10/2008

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AAP	SS	10,000	27.54	n/a	n/a	400.00	4.0
THE BOSTON COMPANY	401	ACV	S	16,094	22.07	n/a	n/a	643.76	4.0
RAIL-SPLITTER	031	AMG	S	20,900	41.37	23-Oct	5-Nov	1,045.00	5.0
KORMAN	003	AMZN	SS	2,000	47.65	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	S	20,000	24.63	7-Aug	7-Nov	800.00	4.0
BUCK CAPITAL MGMT		AN	C	11,400	6.20	7-Nov	7-Nov	non-brg	1.0
BUCK CAPITAL MGMT		AN	C	11,600	6.16	7-Nov	7-Nov	non-brg	3.0
BUCK CAPITAL MGMT		AN	C	33,000	6.18	7-Nov	7-Nov	non-brg	1.0
BUCK CAPITAL MGMT	001	ARO	S	30,000	17.64	n/a	10-Nov	1,200.00	4.0
OPPENHEIMER MGMT.	036	ARO	B	144,700	17.61	n/a	10-Nov	5,788.00	4.0
PRINCIPLE CAPITAL	040	AVP	SS	109,000	25.09	3-Nov	3-Nov	3,270.00	3.0
BEAR STEARNS ASSET MGMT.	033	AYI	SS	28,400	32.47	n/a	n/a	1,136.00	4.0
BUCK CAPITAL MGMT		BBY	C	50,000	26.93	n/a	27-Jul	non-brg	4.0
THE BOSTON COMPANY	401	BEBE	S	62,775	6.64	n/a	n/a	2,511.00	4.0
BUCK CAPITAL MGMT	001	BKE	S	20,000	20.99	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		BQU+WS[???	SS	3,384	1.01	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BQU+XG[BWLD]	C	2,260	12.70	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		BQU+XH[BWLD]	C	995	16.70	n/a	n/a	non-brg	300.0
MILLRACE FUND LP	028	BWA	B	13,300	21.55	n/a	30-Oct	532.00	4.0
COLE	077	BZ	S	2,000	0.68	n/a	n/a	0.00	0.0
BARABOO GROWTH	031	CCC	C	8,250	15.49	n/a	n/a	412.50	5.0
BUCK CAPITAL MGMT	001	CCL	SS	50,000	21.24	n/a	26-Jan	2,000.00	4.0
BUCK CAPITAL MGMT		CJY+XG[CMG]	SS	1,000	1.47	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		COH	S	110,000	17.28	8-Oct	22-Oct	non-brg	4.0
CUMBERLAND	038	CPTS	B	10,000	14.92	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	CRI	SS	20,000	21.22	n/a	n/a	800.00	4.0
AVESTA CAP	229	CVS	S	25,000	30.52	n/a	n/a	1,250.00	5.0
SERENGETI MGMT	030	CYN	SS	100,000	45.23	n/a	n/a	5,000.00	5.0
NOMURA CAPITAL	045	DAN	S	28,900	1.50	n/a	n/a	578.00	2.0
ZOLIDIS	011	DECK	S	100	75.21	n/a	n/a	0.00	0.0
BAYPOINT TRADING/XYLEM	040	DEL	B	5,000	45.96	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	DKS	SS	20,000	14.21	7-Oct	7-Oct	800.00	4.0
US BANCORP	030	DKS	S	22,286	14.20	7-Oct	7-Oct	1,114.30	5.0
IRIDIAN ASSET MGMT.	036	DNR	S	30,300	11.87	n/a	n/a	1,515.00	5.0
B BUCK CAPITAL MGMT		DQO+LH[DLTR]	SS	2,000	1.90	n/a	n/a	non-brg	300.0
TRELLUS PARTNERS LP	028	EV	SS	50,000	20.89	21-Aug	5-Nov	2,500.00	5.0
B BUCK CAPITAL MGMT		FDO	SS	20,000	26.41	23-Jul	7-Nov	non-brg	4.0
GRUSS CAPITAL	045	FOR	B	120,800	6.36	n/a	3-Oct	3,624.00	3.0
WAGONHOUND/NIC	048	FOSL	S	18,052	14.74	10-Nov	10-Nov	722.08	4.0
OGORMAN	046	FOSL	SS	300	14.70	10-Nov	10-Nov	0.00	0.0
PILOT ADV	038	FRED	B	20,000	10.48	n/a	27-Mar	800.00	4.0
GAULT	063	FXP	B	500	68.50	n/a	n/a	0.00	0.0
GAULT	063	FXP	S	400	74.00	n/a	n/a	0.00	0.0
COUGAR TRADING	044	F	B	50,000	2.02	10-Nov	10-Nov	1,000.00	2.0
PHILADELPHIA FINANCIAL	033	GBL	B	16,098	31.66	19-Sep	10-Nov	643.92	4.0
B BUCK CAPITAL MGMT		GES+LW[???	SS	2,000	1.36	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		GES+LW[???	SS	2,000	1.42	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	GES	S	70,000	16.32	n/a	n/a	2,800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	GSKD	B	10	0.13	n/a	n/a	0.00	0.0
BUCK	015	GSLT	S	14	2.53	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GS	B	3,000	70.24	15-Sep	5-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		HELE	SS	20,000	16.42	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HIBB	SS	10,000	14.75	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HOTT	B	20,839	6.06	n/a	7-Nov	non-brg	1.0
N STRATTON	014	HPC	B	500	19.15	n/a	n/a	0.00	0.0
BENCHMARK	028	HTGC	S	5,000	7.15	n/a	n/a	200.00	4.0
ß BUCK CAPITAL MGMT		JCP+WD[JCP]	C	2,232	12.60	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		JCP+WG[JCP]	C	2,689	15.10	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		JCP+WV[JCP]	C	1,000	0.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+XU[JCP]	SS	5,000	0.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+XV[JCP]	C	1,000	1.55	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	JCP	SS	50,000	21.32	10-Nov	10-Nov	2,000.00	4.0
THE JAY GOLDMAN	034	JCP	B	40,000	19.75	10-Nov	10-Nov	1,600.00	4.0
ß BUCK CAPITAL MGMT		JWN+WC[JWN]	C	505	1.65	n/a	n/a	non-brg	300.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		JWN+WE [JWN]	C	6,251	10.88	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		JWN+XV [JWN]	SS	7,756	1.21	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	JWN	S	10,000	14.03	6-Nov	7-Nov	500.00	5.0
BUCK CAPITAL MGMT		KO	C	100,000	47.11	n/a	n/a	non-brg	4.0
THE JAY GOLDMAN	034	KSS	SS	25,000	33.11	n/a	10-Nov	1,000.00	4.0
COUGAR TRADING	044	LM	S	10,000	16.77	29-Oct	5-Nov	500.00	5.0
BUCK CAPITAL MGMT		LNCE	SS	20,500	19.11	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LNCE	SS	4,863	19.06	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		LOW	SS	10,000	19.38	n/a	27-Jul	non-brg	4.0
GRANAHAN INV	039	LTD	B	36,900	9.92	9-Oct	7-Nov	1,107.00	3.0
BUCK CAPITAL MGMT		MFB	S	20,000	9.22	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		MIDD	C	11,759	37.44	6-Nov	6-Nov	non-brg	2.5
BUCK CAPITAL MGMT		MIDD	C	20,000	36.47	6-Nov	6-Nov	non-brg	4.0
BUCK CAPITAL MGMT		MIDD	C	25,000	35.86	6-Nov	6-Nov	non-brg	2.0
BARABOO GROWTH	031	MO	C	6,550	18.26	n/a	n/a	327.50	5.0
CATAPULT	040	MO	SS	14,000	18.23	n/a	n/a	700.00	5.0
BAYPOINT TRADING/XYLEM	091	NCS	B	6,000	18.31	n/a	n/a	300.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	NDN	SS	20,000	12.27	31-Oct	7-Nov	800.00	4.0
⌘ BUCK CAPITAL MGMT		NKE+XH[NKE]	SS	1,000	1.58	n/a	n/a	non-brg	300.0
AVESTA CAP	229	NKE	B	10,000	47.68	n/a	n/a	500.00	5.0
KORMAN	003	NKE	SS	3,000	49.84	n/a	n/a	0.00	0.0
PNC/MERCANTILE	028	NST	B	30,610	32.16	n/a	n/a	1,530.50	5.0
⌘ BUCK CAPITAL MGMT		PERY	B	5,000	6.83	n/a	n/a	non-brg	2.5
MILLRACE FUND LP	028	PFCB	SS	500	19.30	n/a	23-Oct	20.00	4.0
⌘ BUCK CAPITAL MGMT		QUK+LO[DECK]	SS	300	5.40	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		QUY+WV[ZUMZ]	C	1,000	4.10	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		QUY+WV[ZUMZ]	C	744	4.54	n/a	n/a	non-brg	300.0
STERLING JOHNSTON	035	RDEN	S	8,004	15.06	7-Nov	7-Nov	320.16	4.0
LUCHANSKY1	036	RIMM	S	200	46.71	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		RL	SS	20,000	42.34	n/a	6-Nov	non-brg	2.5
COUGAR TRADING	044	RL	B	5,000	42.60	n/a	6-Nov	250.00	5.0
⌘ BUCK CAPITAL MGMT		RTH	C	130,000	74.64	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RVI	S	10,000	1.60	n/a	n/a	non-brg	1.0
CATAPULT	040	SAI	SS	16,000	18.20	n/a	n/a	800.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		SGMS	SS	20,000	16.18	n/a	n/a	non-brg	4.0
ZOLIDIS	011	SHOO	SS	1,000	21.29	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SIG LN	SS	20,000	12.58	n/a	n/a	non-brg	2.5
WHEELER	008	SPNC	B	10,073	2.05	n/a	n/a	0.00	0.0
BAYPOINT TRADING/XYLEM	091	SPP	B	15,000	5.81	n/a	n/a	600.00	4.0
LUCHANSKY1	036	SSO	B	1,000	30.62	n/a	n/a	0.00	0.0
LUCHANSKY1	036	SSO	S	1,000	30.19	n/a	n/a	0.00	0.0
COUGAR TRADING	044	SUP	SS	5,000	11.59	10-Nov	10-Nov	250.00	5.0
SINCLAIR	031	SWGOL	B	40	8.09	n/a	n/a	0.00	0.0
CATAPULT	040	TJX	SS	15,000	23.63	11-Aug	10-Nov	750.00	5.0
BUCK CAPITAL MGMT	001	TLB	S	100,000	5.56	7-Aug	7-Nov	3,000.00	3.0
ß BUCK CAPITAL MGMT		TLB	S	10,000	5.37	7-Aug	7-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		TLB	S	50,000	5.87	7-Aug	7-Nov	non-brg	2.0
WEISS PECK	040	UA	SS	8,100	24.20	n/a	29-Oct	405.00	5.0
ß BUCK CAPITAL MGMT		UNFI	SS	10,000	20.88	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		UNFI	SS	40,000	20.93	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		URBN	B	30,000	15.98	5-Nov	7-Nov	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		URBN	B	50,000	16.63	5-Nov	7-Nov	non-brg	4.0
COUGAR TRADING	044	URBN	B	5,000	15.87	5-Nov	7-Nov	250.00	5.0
BUCK CAPITAL MGMT		URQ+KW[URBN]	SS	1,000	0.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		URQ+KW[URBN]	SS	2,000	0.85	n/a	n/a	non-brg	100.0
ZOLIDIS	011	UYG	S	1,000	8.29	n/a	n/a	0.00	0.0
CATAPULT	040	VAL	SS	8,000	19.62	n/a	n/a	400.00	5.0
MATRIX ASSET ADV.	036	WAG	B	17,000	23.90	n/a	n/a	680.00	4.0
WHITE PINE CAPITAL	030	WDR	S	600	11.76	15-Jul	5-Nov	30.00	5.0
COUGAR TRADING	044	WRC	B	15,000	15.84	7-Nov	7-Nov	750.00	5.0
BUCK CAPITAL MGMT	001	WRI	S	50,000	18.08	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		WSM+WB[WSM]	C	550	2.30	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		WSM+WC[WSM]	C	6,325	7.32	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		WSM+WD[WSM]	C	4,054	12.09	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		WSM+XS[WSM]	SS	6,000	0.75	n/a	n/a	non-brg	100.0
BAYPOINT TRADING/XYLEM	091	WY	B	10,000	32.61	3-Nov	3-Nov	500.00	5.0
BUCK CAPITAL MGMT		XRT	C	450,000	21.23	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		YUM	SS	20,000	26.66	14-Aug	20-Oct	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		ZQK	B	3,800	1.82	3-Nov	3-Nov	non-brg 1.0
BUCK CAPITAL MGMT		ZUMZ	S	19,600	8.35	n/a	n/a	non-brg 3.0
TOTAL (Trade Date):								66,405.72

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	11/06	CAVU CAP ADV	028	ERTS	B	23,750	22.31	n/a	n/a	1187.50 5.0
AO,CXL	11/06	CAVU CAP ADV	028	ERTS	B	23,750	22.31	n/a	n/a	1187.50 5.0
AO,CRT	11/07	PHILADELPHIA FINANCIAL	033	FII	S	25,000	22.28	6-Oct	7-Nov	1000.00 4.0
AO,CXL	11/07	PHILADELPHIA FINANCIAL	033	FII	S	25,000	22.28	6-Oct	7-Nov	1250.00 5.0
AO,CRT	11/07	MFP INVESTORS	032	FOR	B	2,100	5.88	n/a	3-Oct	84.00 4.0
AO,CXL	11/07	MFP INVESTORS	032	FOR	B	2,100	5.88	n/a	3-Oct	0.00 0.0
AO,CXL	11/07	BRUNNER	012	FXP	B	1,000	85.73	n/a	n/a	0.00 0.0
AO,CRT	11/07	BRUNNER	012	FXP	B	1,000	85.73	n/a	n/a	0.00 0.0
AO,CXL	11/06	BRUNNER	012	FXP	S	750	101.45	n/a	n/a	0.00 0.0
AO,CRT	11/06	BRUNNER	012	FXP	S	750	101.45	n/a	n/a	0.00 0.0
AO,CRT	11/07	BUCK CAPITAL MGMT	001	TLB	S	209,900	5.67	7-Aug	7-Nov	6297.00 3.0
AO,CXL	11/07	BUCK CAPITAL MGMT	001	TLB	S	209,900	5.67	7-Aug	7-Nov	8396.00 4.0
TOTAL (AO, CXL, CRT) :										-2,265.00
TOTAL (Trade Date):										66,405.72
TOTAL (AO, CXL, CRT):										-2,265.00
TOTAL (RUN DATE) / NFS:										64,140.72

MRI Securities with Trade Activity on 10-Nov.

FOSL, F, JCP, SUP

MRI Securities without Trade Activity on 10-Nov.

ECL, GM

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 8%

YTD = 19%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades