



Daily Compliance Activity Report

Trade Date: 06/25/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BRENCOURT	028	ADI	S	25,000	37.65	n/a	n/a	1,250.00	5.0
LOOMIS SALES/BOSTON	036	ADM	C	64,650	33.00	n/a	22-Jun	2,586.00	4.0
ROARING BLUE LION MGMT	012	AEO	B	11,000	26.34	n/a	12-Jun	550.00	5.0
MERRILL ASSET	032	ALJ	B	20,100	44.80	n/a	n/a	1,005.00	5.0
Õ Glenn Redemann		ALXN	B	3	0.2100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ALXN	B	3	0.2300	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ALXN	SS	3	0.7300	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ALXN	SS	3	0.8400	n/a	n/a	0.00	0.0
ROSEDALE CAPITAL	046	AMAT	B	30,000	19.81	n/a	n/a	1,500.00	5.0
SUNOVA CAPITAL	031	AMG	B	15,000	126.99	25-Apr	25-Jun	750.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	73.21	n/a	8-Jun	800.00	4.0
Õ Glenn Redemann		ANF	B	3	0.3900	n/a	8-Jun	0.00	0.0
Õ Glenn Redemann		ANF	B	3	0.4600	n/a	8-Jun	0.00	0.0
Õ Glenn Redemann		ANF	SS	3	1.0100	n/a	8-Jun	0.00	0.0
Õ Glenn Redemann		ANF	SS	3	1.4900	n/a	8-Jun	0.00	0.0
WD PARTNERS ILP	067	ASD	B	10,000	58.68	31-May	25-Jun	500.00	5.0
ROBX	302	ATML	B	199,100	5.71	n/a	n/a	5,973.00	3.0
ROSB	302	ATML	B	900	5.71	n/a	n/a	27.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NWQ INVESTMENT MGMT CO	048	BAC	B	17,000	48.75	20-Apr	14-Jun	680.00	4.0
LEDDY	035	BDSB	S	1,000	9.90	n/a	n/a	0.00	0.0
WHEELER	008	BQI	S	40,000	2.52	n/a	n/a	0.00	0.0
LATEFF MGMT	040	BRL	B	2,500	51.20	9-May	25-Jun	575.00	23.0
MAGUIRE	039	BSCAO	B	10	2.70	n/a	n/a	0.00	0.0
PIVOT CAP	034	BWS	S	21,000	24.31	n/a	n/a	1,050.00	5.0
PAKLER	N22	BX	B	105	34.53	n/a	n/a	0.00	0.0
WHEELER	008	CCC	S	5,000	11.26	n/a	n/a	0.00	0.0
SAGE ASSET MGMT	012	CHK	S	208,600	35.63	n/a	n/a	10,430.00	5.0
KORMAN	003	CLUB	S	2,000	18.50	n/a	n/a	0.00	0.0
SUFFOLK CAPITAL MGMT	040	CL	B	170,000	65.62	25-Apr	25-Apr	6,800.00	4.0
BUCK CAPITAL MGMT	001	COH	B	10,000	46.70	23-Feb	25-Apr	400.00	4.0
Õ Glenn Redemann		CREE	B	3	0.5500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CREE	B	3	0.6500	n/a	n/a	0.00	0.0
PAKLER	N22	CROX	S	79	45.67	n/a	n/a	0.00	0.0
MATTHES CAPITAL MGMT	033	CSV	S	6,000	8.02	n/a	n/a	240.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NOLAN	067	DPHIQ	S	250	2.69	n/a	n/a	0.00	0.0
ENTRUST CAPITAL	040	DPZ	B	112,900	18.28	24-Apr	24-Apr	5,645.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	70,000	34.91	7-May	7-Jun	2,800.00	4.0
LAPIDES ASSET MGMT	034	FINL	S	16,500	9.81	n/a	19-Jun	660.00	4.0
SSI INVESTORS	048	FL	S	50,000	22.05	24-May	21-Jun	2,000.00	4.0
MAZAMA CAPITAL MGMT.	035	FMR	B	31,000	20.34	n/a	n/a	1,240.00	4.0
BECK MACK & OLIVER LLC	068	GE	S	1,000	38.49	n/a	n/a	50.00	5.0
WHEELER	008	GHM	S	4,000	26.75	n/a	n/a	0.00	0.0
SEAROCK CAPITAL MANAGE	012	GPS	S	100,000	19.13	n/a	8-Jun	4,000.00	4.0
CAMBIAR CAP	046	HD	B	23,070	39.68	n/a	20-Jun	922.80	4.0
CLOVIS CAPITAL	012	HRB	S	200,000	22.39	n/a	n/a	10,000.00	5.0
CLOVIS CAPITAL	012	IWM	B	200,000	83.30	n/a	n/a	6,000.00	3.0
COUGAR TRADING	044	JCG	S	5,000	51.50	22-Jun	22-Jun	250.00	5.0
ASCEND PARTNERS	033	JCP	B	19,200	72.57	21-Jun	21-Jun	960.00	5.0
CUMBERLAND	038	LOW	SS	50,000	31.19	n/a	21-May	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		LUFK	B	3	0.4100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LUFK	B	3	0.6800	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LUFK	SS	3	1.1800	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LUFK	SS	3	1.6600	n/a	n/a	0.00	0.0
SENTINAL CAPITAL	067	MER	S	5,000	83.71	20-Apr	14-Jun	250.00	5.0
CAXTON PART	040	MET	B	50,000	64.59	n/a	n/a	2,000.00	4.0
NWQ INVESTMENT MGMT CO	048	MOT	B	67,000	17.77	n/a	n/a	2,680.00	4.0
NWQ INVESTMENT MGMT CO	048	MO	B	15,000	68.73	n/a	n/a	600.00	4.0
WHEELER	008	MTRX	S	5,000	24.80	n/a	n/a	0.00	0.0
KORMAN	003	MWRK	S	3,000	30.79	n/a	n/a	0.00	0.0
TRIBECA/DOUG	040	NAL	B	1,800	14.94	n/a	n/a	72.00	4.0
MORGAN LEWIS/MLH	046	NBR	B	5,000	34.46	n/a	n/a	250.00	5.0
DARUMA ASSET MGMT INC	034	NDN	B	100,000	12.53	16-Jan	18-Jun	5,000.00	5.0
THE BOSTON COMPANY	214	NE	B	11,200	97.61	n/a	n/a	560.00	5.0
CAXTON PART	040	NRG	S	50,000	42.50	n/a	n/a	2,000.00	4.0
SSI INVESTORS	048	NSR	B	34,639	27.85	n/a	n/a	1,385.56	4.0
MAZAMA CAPITAL MGMT.	035	ORA	B	33,600	35.21	n/a	n/a	1,344.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CAPITAL GROWTH MGMT.	241	PCU	S	20,000	93.73	n/a	n/a	1,000.00 5.0
REINHART & MAHONEY	031	PKI	S	31,560	25.88	n/a	n/a	1,578.00 5.0
BECK MACK & OLIVER LLC	068	PNW	S	2,000	41.08	n/a	n/a	100.00 5.0
Õ Glenn Redemann		PRU	B	2	0.8800	n/a	n/a	0.00 0.0
Õ Glenn Redemann		PRU	B	2	1.3700	n/a	n/a	0.00 0.0
MORGAN LEWIS/MLH	046	PTEN	B	5,000	27.44	n/a	n/a	250.00 5.0
WHEELER	008	RAE	B	10,800	2.37	n/a	n/a	0.00 0.0
THE BOSTON COMPANY	214	RIG	B	10,200	108.26	n/a	n/a	510.00 5.0
Õ Manny Korman		RLF	S	1000	21.5700	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT	001	RL	B	15,000	97.19	21-Jun	21-Jun	600.00 4.0
STADIA CAPITAL	035	RL	S	5,000	97.38	21-Jun	21-Jun	200.00 4.0
THE BOSTON COMPANY	214	ROST	S	39,200	31.02	n/a	n/a	1,960.00 5.0
KORMAN	003	RPF	S	1,500	21.45	n/a	n/a	0.00 0.0
Õ Manny Korman		RPI	S	1916	21.7100	n/a	n/a	0.00 0.0
KORMAN	003	RQI	S	2,900	20.24	n/a	n/a	0.00 0.0
APEX CAPITAL MGMT.	091	SBUX	B	100,000	25.74	24-Apr	24-Apr	5,000.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRIESS MGMT	042	SKS	B	130,300	21.32	n/a	n/a	5,863.50	4.5
BUCK CAPITAL MGMT	001	SKX	B	5,000	29.60	n/a	13-Mar	200.00	4.0
THE BOSTON COMPANY	214	SLB	B	39,900	87.80	n/a	n/a	1,995.00	5.0
Õ Manny Korman		SRO	S	2000	17.5200	n/a	n/a	0.00	0.0
NWQ INVESTMENT MGMT CO	048	S	B	15,000	22.00	n/a	n/a	600.00	4.0
GLG PARTNERS	034	TGT	B	25,000	63.72	n/a	7-Jun	1,250.00	5.0
ADAGE CAPITAL MGMT	030	TJX	B	100,000	27.03	21-Mar	8-Jun	4,000.00	4.0
ASCEND PARTNERS	033	TJX	B	35,775	26.87	21-Mar	8-Jun	1,788.75	5.0
PIVOT CAP	034	TJX	S	26,000	27.11	21-Mar	8-Jun	1,300.00	5.0
Õ Glenn Redemann		TS	B	3	0.4100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		TS	B	3	0.7300	n/a	n/a	0.00	0.0
OWL CREEK INV	032	TV	B	415,000	27.18	n/a	n/a	16,600.00	4.0
Õ Glenn Redemann		USG	B	3	0.6500	25-Apr	14-Jun	0.00	0.0
Õ Glenn Redemann		USG	B	3	0.7500	25-Apr	14-Jun	0.00	0.0
MSD CAPITAL	036	USTR	S	1,600	68.05	23-May	23-May	80.00	5.0
PILOT ADV	038	USTR	B	10,000	67.86	23-May	23-May	400.00	4.0
SUN AMERICA ASSET MGMT	030	UTX	S	124,900	71.04	n/a	n/a	6,245.00	5.0
SANDLER O'NEILL ASSET	031	WDR	B	10,000	26.21	5-Mar	25-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	XOHO	B	150,000	4.35	n/a	n/a	6,000.00	4.0
SIGMA CAPITAL ASSOCIATES	044	XOHO	S	100,000	4.35	n/a	n/a	2,000.00	2.0
TOTAL (Trade Date) :								147,805.61	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/21	NEUBERGER BERMAN (NBER9W16)	036	EFII	S	7,000	29.08	n/a	n/a	266.00 3.8
AO,	06/21	NEUBERGER BERMAN (NBER9W16)	036	EFII	S	7,000	29.08	n/a	n/a	2.80 0.0
CRT	06/25	SIGMA CAPITAL ASSOCIATES (SIGMGS-USE)	044	XOHO	S	100,000	4.35	n/a	n/a	2000.00 2.0

TOTAL (AO, CXL, CRT) :	1,736.80
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TOTAL (Trade Date) :	147,805.61
TOTAL (AO, CXL, CRT) :	1,736.80

TOTAL (RUN DATE) / NFS :	149,542.41
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION