



Daily Compliance Activity Report

Trade Date: 03/10/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|--------------------------|------------|--------------|----------|---------------|--------------|---------------|---------------|-----------------|------------|
| COUGAR TRADING | 044 | AEO | S | 40,000 | 16.67 | 6-Mar | 7-Mar | 2,000.00 | 5.0 |
| METROPOLITAN CAPITAL | 044 | AEO | B | 28,200 | 16.58 | 6-Mar | 7-Mar | 1,410.00 | 5.0 |
| WEISS PECK | 040 | AEO | B | 24,200 | 17.04 | 6-Mar | 7-Mar | 1,210.00 | 5.0 |
| COUGAR TRADING | 044 | ANF | B | 5,000 | 71.12 | 10-Jan | 10-Mar | 250.00 | 5.0 |
| COUGAR TRADING | 044 | ANF | SS | 15,000 | 72.36 | 10-Jan | 10-Mar | 750.00 | 5.0 |
| BEAR STEARNS ASSET MGMT. | 033 | ANN | C | 30,000 | 23.00 | 11-Jan | 7-Mar | 1,200.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | ARO | B | 30,000 | 24.41 | 29-Nov | 10-Mar | 1,200.00 | 4.0 |
| COUGAR TRADING | 044 | ARO | S | 35,000 | 25.09 | 29-Nov | 10-Mar | 1,750.00 | 5.0 |
| BRIGHTPOINT CAP | 067 | AVP | SS | 25,000 | 36.96 | 4-Mar | 4-Mar | 1,000.00 | 4.0 |
| RAINIER INV MGMT | 035 | AXE | S | 42,100 | 65.19 | 29-Jan | 3-Mar | 2,105.00 | 5.0 |
| INDEPENDENCE INV | 039 | BCO | S | 15,000 | 65.36 | n/a | n/a | 600.00 | 4.0 |
| BALYASNY ASSET MGMT | 044 | BEN | B | 15,000 | 87.98 | n/a | 10-Mar | 750.00 | 5.0 |
| BALYASNY ASSET MGMT | 044 | BEN | S | 27,000 | 87.32 | n/a | 10-Mar | 1,350.00 | 5.0 |
| INDEPENDENCE INV | 039 | BNI | S | 3,600 | 88.33 | n/a | n/a | 144.00 | 4.0 |
| STERLING JOHNSTON | 035 | BONT | S | 22,700 | 5.46 | 4-Jan | 7-Mar | 908.00 | 4.0 |
| NATIONAL BK OF CAN | 031 | BSC | B | 5,000 | 61.96 | 21-Dec | 10-Mar | 200.00 | 4.0 |
| DSG CAPITAL | 225 | CALPINE CORP | S | 690,000 | 18.38 | n/a | n/a | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|------------------------|------|--------|-----|---------|--------|--------|----------|-------------|-----|
| OGORMAN | 046 | CCL | SS | 500 | 37.75 | n/a | 26-Jan | 0.00 | 0.0 |
| KORMAN | 003 | CFSG | S | 2,200 | 9.39 | n/a | n/a | 0.00 | 0.0 |
| KORMAN | 003 | CPN | S | 2,631 | 17.61 | n/a | n/a | 0.00 | 0.0 |
| SANDLER O'NEILL ASSET | 031 | C | SS | 22,500 | 20.96 | 16-Jan | 10-Mar | 1,125.00 | 5.0 |
| J L F ASSET MGMT | 039 | DFS | S | 60,517 | 13.59 | n/a | n/a | 2,420.68 | 4.0 |
| METROPOLITAN CAPITAL | 044 | DSW | B | 24,900 | 16.14 | 26-Oct | 8-Feb | 1,245.00 | 5.0 |
| MAGUIRE | 090 | DXD | B | 5,000 | 62.36 | n/a | n/a | 0.00 | 0.0 |
| BARR | 096 | DXD | B | 1,200 | 62.75 | n/a | n/a | 0.00 | 0.0 |
| BENCHMARK | 028 | EMC | S | 5,000 | 14.86 | n/a | n/a | 250.00 | 5.0 |
| HOOVER INV. MGMT | 033 | EME | S | 99,578 | 21.50 | n/a | n/a | 4,978.90 | 5.0 |
| FINANCIAL STOCK LP | 028 | FMER | B | 111,547 | 18.90 | n/a | n/a | 4,461.88 | 4.0 |
| N STRATTON | 014 | FNF | S | 200 | 15.82 | n/a | n/a | 0.00 | 0.0 |
| IRONBOUND CAPITAL | 012 | FOR | B | 8,145 | 23.70 | n/a | n/a | 407.25 | 5.0 |
| MAGUIRE | 039 | FXP | S | 100 | 103.70 | n/a | n/a | 0.00 | 0.0 |
| PHILADELPHIA FINANCIAL | 033 | GBL | SS | 2,600 | 51.77 | n/a | 6-Mar | 130.00 | 5.0 |
| M WEINTRAU | 024 | GLW | S | 1,000 | 23.20 | n/a | 7-Mar | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|-------------|----------|---------------|--------------|--------------|--------------|---------------|------------|
| HART | 056 | GMRE | S | 3 | 5.25 | n/a | n/a | 0.00 | 0.0 |
| HEALY CIRCLE | 044 | GM | SS | 25,000 | 21.91 | 3-Jan | 4-Mar | 1,250.00 | 5.0 |
| MCCARTAN | 028 | GM | C | 100 | 20.96 | 3-Jan | 4-Mar | 0.00 | 0.0 |
| INDEPENDENCE INV | 039 | GOOG | S | 5,700 | 426.28 | n/a | n/a | 228.00 | 4.0 |
| COUGAR TRADING | 044 | GPS | S | 5,000 | 19.45 | n/a | 7-Mar | 250.00 | 5.0 |
| COUGAR TRADING | 044 | GYMB | S | 5,000 | 37.02 | n/a | 10-Mar | 250.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | HBI | S | 10,000 | 26.34 | n/a | n/a | 400.00 | 4.0 |
| MAGUIRE | 039 | HDB | C | 100 | 94.77 | n/a | n/a | 0.00 | 0.0 |
| INDEPENDENCE INV | 039 | HEW | S | 43,700 | 38.26 | n/a | n/a | 1,748.00 | 4.0 |
| JP MORGAN VENTURES | 046 | HON | SS | 25,000 | 56.23 | n/a | 25-Jan | 1,250.00 | 5.0 |
| OPTIMUM INV ADV | 030 | HRS | B | 10,000 | 46.47 | 11-Jan | 10-Mar | 400.00 | 4.0 |
| INDEPENDENCE INV | 039 | HUM | S | 26,300 | 63.23 | n/a | n/a | 1,052.00 | 4.0 |
| PROSPECTOR PARTNERS LLC | 036 | IOSP | S | 5,000 | 20.50 | n/a | n/a | 200.00 | 4.0 |
| GEORGE WEISS | 034 | IP | SS | 5,000 | 30.72 | 8-Feb | 8-Feb | 200.00 | 4.0 |
| MAGUIRE | 090 | ITCD | S | 3,000 | 3.35 | n/a | n/a | 0.00 | 0.0 |
| BARR | 096 | ITCD | S | 5,000 | 3.42 | n/a | n/a | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|--------------|----------|---------------|--------------|---------------|---------------|-----------------|------------|
| MERRILL ASSET | 032 | ITG | B | 24,400 | 44.00 | n/a | n/a | 1,220.00 | 5.0 |
| COUGAR TRADING | 044 | IVZ | S | 5,000 | 21.38 | 10-Mar | 10-Mar | 250.00 | 5.0 |
| SANDLER O'NEILL ASSET | 031 | IVZ | B | 15,000 | 21.13 | 10-Mar | 10-Mar | 750.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | JCP | B | 50,000 | 41.44 | 4-Jan | 7-Mar | 2,000.00 | 4.0 |
| RAINIER INV MGMT | 035 | JLL | S | 25,000 | 72.24 | n/a | n/a | 1,250.00 | 5.0 |
| MCCARTAN | 028 | JWN | C | 100 | 32.84 | 26-Feb | 10-Mar | 0.00 | 0.0 |
| COUGAR TRADING | 044 | KSS | SS | 15,000 | 42.79 | 4-Jan | 7-Mar | 750.00 | 5.0 |
| SINCLAIR | 031 | LESJJ | B | 5 | 5.30 | n/a | n/a | 0.00 | 0.0 |
| GRANITE CAPITAL | 045 | LLTC | B | 10,000 | 29.42 | n/a | n/a | 500.00 | 5.0 |
| CAXTON PART | 040 | LTR | S | 59,321 | 40.89 | n/a | n/a | 2,076.24 | 3.5 |
| KERN | 050 | LYHCI | B | 20 | 2.10 | n/a | n/a | 0.00 | 0.0 |
| INDEPENDENCE INV | 039 | MA | S | 18,400 | 193.11 | n/a | n/a | 736.00 | 4.0 |
| NATIONAL BK OF CAN | 031 | MER | B | 4,000 | 43.02 | 18-Jan | 10-Mar | 160.00 | 4.0 |
| INDEPENDENCE INV | 039 | MON | S | 38,100 | 102.69 | n/a | 12-Feb | 1,524.00 | 4.0 |
| OGORMAN | 046 | MS | B | 300 | 38.52 | 20-Dec | 10-Mar | 0.00 | 0.0 |
| BAYPOINT/PRIMEMOVERS | 041 | NAVI | B | 8,700 | 2.65 | n/a | n/a | 261.00 | 3.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|---------------------|------------|--------------|----------|--------------|---------------|------------|------------|--------------|------------|
| INDEPENDENCE INV | 039 | NOK | S | 23,000 | 33.09 | n/a | n/a | 920.00 | 4.0 |
| INDEPENDENCE INV | 039 | OXY | S | 53,400 | 73.24 | n/a | n/a | 2,136.00 | 4.0 |
| WEISS PECK | 040 | PAG | B | 27,040 | 17.97 | 6-Feb | 20-Feb | 1,352.00 | 5.0 |
| INDEPENDENCE INV | 039 | PCL | S | 92,300 | 38.81 | 8-Jan | 30-Jan | 3,692.00 | 4.0 |
| BALYASNY ASSET MGMT | 044 | RGA | B | 25,000 | 52.51 | n/a | n/a | 1,250.00 | 5.0 |
| REINHART & MAHONEY | 031 | RGA | B | 26,390 | 52.64 | n/a | n/a | 1,319.50 | 5.0 |
| ROYAL CAPITAL | 039 | RGS | B | 64,500 | 24.53 | n/a | n/a | 3,225.00 | 5.0 |
| ADAGE CAPITAL MGMT | 030 | RL | SS | 50,000 | 56.87 | 7-Feb | 15-Feb | 2,000.00 | 4.0 |
| COUGAR TRADING | 044 | RL | B | 5,000 | 56.93 | 7-Feb | 15-Feb | 250.00 | 5.0 |
| BUCK | 015 | SPYPP | S | 7 | 1.76 | n/a | n/a | 0.00 | 0.0 |
| MAGUIRE | 039 | SRS | S | 100 | 123.50 | n/a | n/a | 0.00 | 0.0 |
| MAGUIRE | 090 | SRS | B | 2,000 | 125.17 | n/a | n/a | 0.00 | 0.0 |
| BARR | 096 | SRS | B | 300 | 124.50 | n/a | n/a | 0.00 | 0.0 |
| MERRILL ASSET | 032 | STC | B | 18,400 | 27.35 | n/a | n/a | 828.00 | 4.5 |
| N STRATTON | 014 | SY | B | 198 | 25.35 | n/a | n/a | 0.00 | 0.0 |
| OPTIMUM INV ADV | 030 | TAP | S | 8,500 | 51.25 | n/a | n/a | 340.00 | 4.0 |
| MAGUIRE | 039 | TTM | C | 300 | 16.32 | n/a | n/a | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|-------------------------|------|--------|-----|--------|-------|--------|----------|-------------|-----|
| RAMIUS CAP | 040 | UA | B | 38,900 | 33.05 | n/a | n/a | 1,945.00 | 5.0 |
| BULL PATH CAPITAL | 044 | URBN | SS | 15,000 | 29.63 | 29-Feb | 10-Mar | 750.00 | 5.0 |
| SINCLAIR | 031 | VHEAJ | B | 5 | 7.00 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | WWY | SS | 10,000 | 59.00 | n/a | n/a | 400.00 | 4.0 |
| CSFB GLOBAL PROPRIETARY | 041 | WY | B | 20,000 | 59.33 | 8-Jan | 11-Feb | 1,000.00 | 5.0 |
| GALLEON MGMT LP | 033 | XHB | SS | 20,000 | 18.57 | n/a | n/a | 1,000.00 | 5.0 |
| DAVIDSON TRUST CO | 028 | XLF | S | 4,500 | 24.43 | n/a | n/a | 225.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | ZLC | SS | 10,000 | 18.68 | n/a | n/a | 400.00 | 4.0 |
| COUGAR TRADING | 044 | ZUMZ | S | 30,000 | 13.47 | n/a | n/a | 1,500.00 | 5.0 |
| TOTAL (Trade Date): | | | | | | | | 75,083.45 | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------|-------|-----------------------------------|------|--------|-----|---------|--------|--------|----------|--------------|
| AO, | 03/07 | METROPOLITAN CAPITAL (METRHARTZ) | 044 | AEO | B | 3,500 | 17.15 | 6-Mar | 7-Mar | 175.00 5.0 |
| AO, | 03/07 | METROPOLITAN CAPITAL (METRDEUTSC) | 044 | AEO | B | 1,900 | 17.15 | 6-Mar | 7-Mar | 95.00 5.0 |
| AO, | 03/07 | METROPOLITAN CAPITAL (METRGS BUL) | 044 | AEO | B | 21,500 | 17.15 | 6-Mar | 7-Mar | 1075.00 5.0 |
| AO, | 03/07 | METROPOLITAN CAPITAL (METRSPEAR) | 044 | AEO | B | 1,100 | 17.15 | 6-Mar | 7-Mar | 55.00 5.0 |
| AO, | 03/07 | COUGAR TRADING (COUGPB MS) | 044 | ANF | SS | 10,000 | 74.06 | 10-Jan | 10-Mar | 500.00 5.0 |
| AO, | 03/07 | JP MORGAN VENTURES (JPMVGS) | 046 | ANF | SS | 12,000 | 73.21 | 10-Jan | 10-Mar | 600.00 5.0 |
| AO, | 03/07 | MORRISON & FRASIER (MORRBANC O) | 040 | ANF | S | 7,000 | 73.62 | 10-Jan | 10-Mar | 350.00 5.0 |
| AO, | 03/07 | KACELA CAPITAL (KACEGS) | 034 | BBBY | B | 12,000 | 27.22 | n/a | n/a | 480.00 4.0 |
| AO, | 03/07 | TRELLUS PARTNERS LP (TRELMMERR) | 028 | BIG | SS | 1,744 | 21.41 | 6-Mar | 10-Mar | 87.20 5.0 |
| AO, | 03/07 | TRELLUS PARTNERS LP (TRELGS) | 028 | BIG | SS | 1,744 | 21.41 | 6-Mar | 10-Mar | 87.20 5.0 |
| AO, | 03/07 | TRELLUS PARTNERS LP (TRELMAIN) | 028 | BIG | SS | 21,512 | 21.41 | 6-Mar | 10-Mar | 1075.60 5.0 |
| AO,CXL | 03/07 | COUGAR TRADING (COUGPB MS) | 044 | BLK | B | 5,000 | 187.33 | 21-Nov | 10-Mar | 250.00 5.0 |
| AO, | 03/07 | COUGAR TRADING (COUGPB MS) | 044 | BLK | S | 5,000 | 188.82 | 21-Nov | 10-Mar | 250.00 5.0 |
| AO, | 03/07 | COUGAR TRADING (COUGPB MS) | 044 | BLK | SS | 2,500 | 186.51 | 21-Nov | 10-Mar | 125.00 5.0 |
| AO, | 03/07 | COUGAR TRADING (COUGPB MS) | 044 | BLK | SS | 2,500 | 181.60 | 21-Nov | 10-Mar | 125.00 5.0 |
| AO, | 03/07 | SLS CAPITAL LLC (SLSCMSMAIN) | 224 | BYD | B | 100 | 18.67 | 28-Feb | 7-Mar | 4.00 4.0 |
| AO, | 03/07 | SLS CAPITAL LLC (SLSCMSMAIN) | 224 | BYD | S | 361,900 | 18.87 | 28-Feb | 7-Mar | 14476.00 4.0 |
| AO, | 03/07 | LOOMIS SALES/BOSTON (LOOMTBW001) | 036 | COH | SS | 68,712 | 28.71 | 24-Oct | 24-Jan | 2748.48 4.0 |
| AO, | 03/07 | LOOMIS SALES/BOSTON (LOOMTBW002) | 036 | COH | SS | 29,160 | 28.71 | 24-Oct | 24-Jan | 1166.40 4.0 |
| AO, | 03/07 | LOOMIS SALES/BOSTON (LOOMTBW003) | 036 | COH | SS | 88,529 | 28.71 | 24-Oct | 24-Jan | 3541.16 4.0 |
| AO, | 03/07 | LOOMIS SALES/BOSTON (LOOMTBW004) | 036 | COH | SS | 27,140 | 28.71 | 24-Oct | 24-Jan | 1085.60 4.0 |
| AO, | 03/07 | LOOMIS SALES/BOSTON (LOOMTBW100) | 036 | COH | SS | 6,621 | 28.71 | 24-Oct | 24-Jan | 264.84 4.0 |
| AO, | 03/07 | LOOMIS SALES/BOSTON (LOOMTBW200) | 036 | COH | SS | 4,838 | 28.71 | 24-Oct | 24-Jan | 193.52 4.0 |

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|---------------|--------------|---------------------------------------|------------|------------|----------|---------------|--------------|---------------|---------------|--------------------|
| AO, | 03/07 | METROPOLITAN CAPITAL (METRHARTZ) | 044 | DSW | B | 4,100 | 16.31 | 26-Oct | 8-Feb | 205.00 5.0 |
| AO, | 03/07 | METROPOLITAN CAPITAL (METRDEUTSC) | 044 | DSW | B | 2,000 | 16.31 | 26-Oct | 8-Feb | 100.00 5.0 |
| AO, | 03/07 | METROPOLITAN CAPITAL (METRGS BUL) | 044 | DSW | B | 24,200 | 16.31 | 26-Oct | 8-Feb | 1210.00 5.0 |
| AO, | 03/07 | METROPOLITAN CAPITAL (METRSPEAR) | 044 | DSW | B | 1,300 | 16.31 | 26-Oct | 8-Feb | 65.00 5.0 |
| AO, | 03/07 | BRENCOURT (BRENGS) | 028 | EL | SS | 25,000 | 43.76 | 4-Jan | 4-Feb | 1250.00 5.0 |
| AO, | 03/07 | PHILADELPHIA FINANCIAL (PHILMSCO/M) | 033 | EV | SS | 81,798 | 30.21 | 9-Jan | 10-Mar | 4089.90 5.0 |
| AO, | 03/07 | IRONBOUND CAPITAL (IROBGS) | 012 | FOR | B | 2,122 | 23.74 | n/a | n/a | 106.10 5.0 |
| AO, | 03/07 | PHILADELPHIA FINANCIAL (PHILMSCO/M) | 033 | GBL | SS | 10,400 | 54.42 | n/a | 6-Mar | 520.00 5.0 |
| AO, | 03/07 | BUCK CAPITAL MGMT (BUCKMSMAIN) | 001 | GIL | B | 10,000 | 34.71 | 22-Jan | 10-Mar | 400.00 4.0 |
| AO, | 03/07 | BAIRD INVESTMENT (BAIRJB473L) | 031 | GS | B | 375 | 162.93 | n/a | 10-Mar | 18.75 5.0 |
| AO, | 03/07 | BAIRD INVESTMENT (BAIRVB222-) | 031 | GS | B | 550 | 162.93 | n/a | 10-Mar | 27.50 5.0 |
| AO, | 03/07 | BAIRD INVESTMENT (BAIRBLCF/B) | 031 | GS | B | 800 | 162.93 | n/a | 10-Mar | 40.00 5.0 |
| AO, | 03/07 | BAIRD INVESTMENT (BAIRVB515-) | 031 | GS | B | 425 | 162.93 | n/a | 10-Mar | 21.25 5.0 |
| AO, | 03/07 | BUCK CAPITAL MGMT (BUCKMSMAIN) | 001 | JCG | B | 5,000 | 40.88 | 28-Nov | 21-Feb | 200.00 4.0 |
| AO,CXL | 03/07 | BUCK CAPITAL MGMT (BUCKMSMAIN) | 001 | JNY | B | 20,000 | 13.25 | n/a | 15-Feb | 1000.00 5.0 |
| AO, | 03/07 | BUCK CAPITAL MGMT (BUCKMSMAIN) | 001 | JNY | B | 20,000 | 13.25 | n/a | 15-Feb | 800.00 4.0 |
| AO, | 03/07 | WALKER SMITH (WALKUBS SE) | 031 | KND | S | 64,200 | 21.23 | n/a | n/a | 3210.00 5.0 |
| AO, | 03/07 | COUGAR TRADING (COUGPB MS) | 044 | KSS | B | 10,000 | 43.03 | 4-Jan | 7-Mar | 500.00 5.0 |
| AO, | 03/07 | COUGAR TRADING (COUGPB MS) | 044 | KSS | B | 10,000 | 42.91 | 4-Jan | 7-Mar | 500.00 5.0 |

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|-------------------------------|-------|--------------------------------|------|--------|-----|---------|-------|--------|----------|------------------|
| AO, | 03/07 | JP MORGAN VENTURES (JPMVGS) | 046 | MW | S | 20,000 | 21.29 | n/a | n/a | 1000.00 5.0 |
| AO, | 03/07 | J L F ASSET MGMT (JLFA\$0.04) | 039 | SBUX | SS | 131,300 | 17.06 | n/a | 24-Apr | 5252.00 4.0 |
| AO, | 03/07 | DENVER INST. ADV (DENV92) | 046 | TASR | B | 6,400 | 10.81 | n/a | n/a | 256.00 4.0 |
| AO, | 03/07 | DENVER INST. ADV (DENV100) | 046 | TASR | B | 122,886 | 10.81 | n/a | n/a | 4915.44 4.0 |
| AO, | 03/07 | WALKER SMITH (WALKUBS SE) | 031 | TIE | B | 25,000 | 17.96 | n/a | n/a | 1250.00 5.0 |
| AO, | 03/07 | KACELA CAPITAL (KACEGS) | 034 | TIF | S | 12,100 | 37.32 | 14-Jan | 8-Feb | 484.00 4.0 |
| AO,CXL | 03/07 | BUCK CAPITAL MGMT (BUCKMSMAIN) | 001 | TWB | S | 50,500 | 26.74 | 21-Feb | 21-Feb | 2020.00 4.0 |
| AO, | 03/07 | BUCK CAPITAL MGMT (BUCKMSMAIN) | 001 | TWB | S | 57,600 | 26.76 | 21-Feb | 21-Feb | 2304.00 4.0 |
| AO, | 03/07 | WASHINGTON ASSET (WASHCSFB) | 048 | WFC | B | 10,000 | 28.09 | n/a | n/a | 500.00 5.0 |
| AO,CXL | 03/07 | BAYPOINT/PRIMEMOVERS (BAYPSLK) | 041 | ZLC | SS | 5,000 | 18.92 | n/a | n/a | 250.00 5.0 |
| AO,CRT | 03/07 | BAYPOINT/PRIMEMOVERS (BAYPSLK) | 041 | ZLC | SS | 5,000 | 18.92 | n/a | n/a | 200.00 4.0 |
| TOTAL (AO, CXL, CRT) : | | | | | | | | | | 54,464.94 |

| | |
|--------------------------------|-------------------|
| TOTAL (Trade Date): | 75,083.45 |
| TOTAL (AO, CXL, CRT): | 54,464.94 |
| TOTAL (RUN DATE) / NFS: | 129,548.39 |

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION