



Daily Compliance Activity Report

Trade Date: 10/24/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	238	ACV	S	7,900	49.06	n/a	n/a	395.00	5.0
LEVIN CAPITAL STRATEGIES	012	AEOS	B	15,000	46.69	23-Oct	23-Oct	450.00	3.0
ROTHSCHILD ASSET	040	AIT	S	27,300	28.78	n/a	n/a	1,228.50	4.5
SUNOVA CAPITAL	031	AMG	B	60,000	94.85	13-Oct	23-Oct	3,000.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ANF	S	22,300	78.26	31-Aug	6-Oct	892.00	4.0
COUGAR TRADING	044	ARO	S	5,000	28.59	23-Oct	23-Oct	250.00	5.0
JP MORGAN VENTURES	046	ARO	S	17,500	28.90	23-Oct	23-Oct	875.00	5.0
THE JAY GOLDMAN	034	ARO	B	15,000	28.64	23-Oct	23-Oct	600.00	4.0
NEUBERGER BERMAN	238	ATK	S	10,000	80.33	n/a	n/a	500.00	5.0
TRUST CO/COWEN/CALF.	040	AVP	B	45,000	30.17	n/a	17-Oct	2,250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	AVZ	B	72,150	23.64	19-Oct	23-Oct	2,886.00	4.0
SINCLAIR	031	BLDP	B	500	6.47	n/a	n/a	0.00	0.0
CENTURION PARTNERS	244	BONT	B	5,000	38.23	23-Oct	23-Oct	250.00	5.0
EOS PARTNERS	040	BUCY	B	3,600	44.64	n/a	n/a	180.00	5.0
BUCK CAPITAL MGMT	001	BWS	B	53,100	38.60	n/a	n/a	2,124.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROSENBERG	034	CAL	S	500	35.71	n/a	n/a	0.00	0.0
LEDDY	035	CAT	B	250	60.80	n/a	n/a	0.00	0.0
SINCLAIR	031	CNET	B	300	8.24	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	059	COH	S	3,000	38.61	n/a	19-Oct	180.00	6.0
KENNEDY CAPITAL	046	COH	S	10,400	38.85	n/a	19-Oct	416.00	4.0
EMP ERROR	099	COST	B	200	52.62	n/a	13-Oct	0.00	0.0
NEW JERSEY DIV OF INV.	220	DIS	B	168,000	31.29	n/a	n/a	8,400.00	5.0
SOMERSET ADV	044	DISCA	S	28,051	14.75	n/a	n/a	1,402.55	5.0
BUCK CAPITAL MGMT	001	DSW	B	30,000	34.25	n/a	n/a	1,200.00	4.0
HIGHBRIDGE CAPITAL	038	DV	B	10,000	22.72	n/a	n/a	500.00	5.0
WHEELER	008	DWSN	S	7,724	30.26	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	EDU	B	57,500	23.99	n/a	n/a	2,300.00	4.0
HIGHBRIDGE CAPITAL	038	EFX	S	20,000	36.85	n/a	n/a	1,000.00	5.0
IRON HORSE CAPITAL MGMT	038	EL	B	20,000	39.87	20-Sep	24-Oct	1,000.00	5.0
COLONIAL FUND LLC	036	ETH	B	25,000	34.97	n/a	n/a	1,250.00	5.0
MAZAMA CAPITAL MGMT.	035	EVR	B	3,700	37.05	n/a	n/a	148.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY2	036	FD	S	100	44.78	23-Oct	23-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	FD	S	100,000	44.58	23-Oct	23-Oct	4,000.00	4.0
MAZAMA CAPITAL MGMT.	035	FMR	B	30,000	19.60	n/a	n/a	1,200.00	4.0
LUCHANSKY2	GLW	GLW	B	300	22.82	n/a	3-Oct	0.00	0.0
LEDDY	035	GLW	B	700	22.95	n/a	3-Oct	0.00	0.0
GAULT	063	GLWKE	B	50	0.40	n/a	n/a	0.00	0.0
DEMARTINI	065	GLWKE	B	20	0.45	n/a	n/a	0.00	0.0
SINCLAIR	031	GNSS	B	500	10.94	n/a	n/a	0.00	0.0
LUCHANSKY2	036	HC	B	300	19.54	27-Jul	23-Oct	0.00	0.0
DSG CAPITAL	225	HMA	B	10,000	19.96	23-Jun	24-Oct	500.00	5.0
DSG CAPITAL	225	HMA	S	10,000	20.13	23-Jun	24-Oct	500.00	5.0
NEUBERGER BERMAN	238	HP	S	28,000	24.44	n/a	n/a	1,400.00	5.0
CAPITAL GROWTH MGMT.	241	HPQ	S	100,000	39.60	n/a	n/a	5,000.00	5.0
XYLEM GLOBAL	024	IP	S	20,000	33.78	n/a	17-Oct	1,000.00	5.0
SINCLAIR	031	JBLU	S	500	11.60	n/a	n/a	0.00	0.0
LATEFF MGMT	040	JNY	S	1,500	33.12	n/a	19-Oct	330.00	22.0
CENTURION PARTNERS	244	JOSB	B	20,000	30.35	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	039	LEH	S	100	77.68	n/a	12-Oct	0.00	0.0
IRON HORSE CAPITAL MGMT	038	LVL	B	75,000	5.51	17-Oct	24-Oct	2,250.00	3.0
CENTURION PARTNERS	244	MCD	B	35,000	42.40	12-Oct	19-Oct	1,750.00	5.0
KENNEDY CAPITAL	046	MDP	B	33,150	52.64	n/a	n/a	1,326.00	4.0
WELLS/STRONG LEDDY	035	MIG	S	7,600	12.03	n/a	n/a	304.00	4.0
GALLEON MGMT LP	033	MMC	B	40,000	29.07	n/a	n/a	2,000.00	5.0
NEUBERGER BERMAN	238	MNT	S	5,000	48.00	n/a	n/a	250.00	5.0
JENNISON	032	MSFT	B	1,300,000	28.33	n/a	3-Apr	54,600.00	4.2
MERRILL ASSET	032	MVL	S	12,000	26.05	n/a	n/a	600.00	5.0
BUCK CAPITAL MGMT	001	NWY	B	120,000	13.07	n/a	5-Oct	4,800.00	4.0
WYPER CAPITAL	044	OCR	S	30,000	44.37	27-Jul	6-Oct	1,200.00	4.0
CSFB GLOBAL PROPRIETARY	035	OI	S	18,000	16.29	n/a	n/a	900.00	5.0
FARBER/WEISS PECK	012	OSI	B	61,000	33.93	12-Sep	4-Oct	3,050.00	5.0
BUCK CAPITAL MGMT	001	OXM	S	5,000	53.61	n/a	n/a	200.00	4.0
LISTED ERR	099	PCU	B	3,700	52.40	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	PCU	S	3,700	52.41	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	PCU	S	20,000	52.35	n/a	n/a	1,000.00	5.0
THE JAY GOLDMAN	034	PKG	B	12,500	22.00	10-Oct	18-Oct	500.00	4.0
SINCLAIR	031	PLT	B	500	18.35	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	238	RBA	S	12,900	52.91	n/a	n/a	645.00	5.0
ROARING BLUE LION MGMT	012	RL	S	2,000	70.00	23-Oct	23-Oct	100.00	5.0
BUCK CAPITAL MGMT	001	RVI	B	10,000	16.84	n/a	n/a	400.00	4.0
HIGHBRIDGE CAPITAL	038	RYN	S	35,000	39.99	n/a	17-Oct	1,750.00	5.0
MERRILL ASSET	032	SKT	S	9,900	37.10	n/a	n/a	495.00	5.0
INTEGRITY CAPITAL MGMT	039	SKX	S	10,000	26.58	n/a	23-Oct	400.00	4.0
THE JAY GOLDMAN	034	SSCC	S	25,000	10.77	10-Oct	17-Oct	1,000.00	4.0
APEX CAPITAL MGMT.	002	SWY	B	100,000	28.51	n/a	n/a	5,000.00	5.0
STADIA CAPITAL	035	SYX	B	145,000	33.99	12-Sep	4-Oct	5,800.00	4.0
FRANKLIN FUND OF CALIFOR	033	T	B	4,500	34.75	20-Sep	24-Oct	225.00	5.0
FRANKLIN FUNDS N J	035	T	B	150,000	34.75	20-Sep	24-Oct	7,500.00	5.0
TRIBECA/DOUG	040	TCBI	B	7,890	19.90	n/a	n/a	315.60	4.0
CENTURION PARTNERS	244	TGT	B	5,000	59.58	n/a	6-Oct	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRUBER MACBAINE	033	THI	B	25,000	27.34	n/a	22-Aug	1,000.00	4.0
CLOVIS CAPITAL	012	TIF	B	100,000	36.51	n/a	6-Oct	5,000.00	5.0
CENTURION PARTNERS	244	TLB	B	20,000	29.30	2-Oct	18-Oct	1,000.00	5.0
FRIESS MGMT	042	TLB	B	118,900	29.34	2-Oct	18-Oct	5,350.50	4.5
XYLEM GLOBAL	024	TWP	S	6,000	25.30	n/a	n/a	300.00	5.0
OGORMAN	146	TWPWE	B	2	1.25	n/a	n/a	0.00	0.0
COUGAR TRADING	044	URBN	B	5,000	18.41	5-Oct	23-Oct	250.00	5.0
BUCK	015	VLRX	S	2,000	6.58	n/a	n/a	0.00	0.0
SENTINAL CAPITAL	067	VZ	B	13,000	38.04	19-Sep	24-Oct	650.00	5.0
LISTED ERR	099	WDR	S	1,300	24.09	13-Oct	24-Oct	0.00	0.0
BALYASNY ASSET MGMT	044	WDR	B	13,500	24.39	13-Oct	24-Oct	675.00	5.0
BULL PATH CAPITAL	044	WDR	B	25,000	24.67	13-Oct	24-Oct	1,250.00	5.0
PHILADELPHIA FINANCIAL	031	WDR	B	4,800	24.19	13-Oct	24-Oct	240.00	5.0
SANDLER CAPITAL	038	WDR	S	288,200	24.53	13-Oct	24-Oct	17,292.00	6.0
SANDLER O'NEILL ASSET	031	WDR	B	40,000	24.47	13-Oct	24-Oct	2,000.00	5.0
SUNOVA CAPITAL	031	WDR	B	4,500	24.19	13-Oct	24-Oct	225.00	5.0
HIGHBRIDGE CAPITAL	038	WIN	B	11,000	13.76	n/a	n/a	550.00	5.0
TOTAL:								183,000.15	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,CRT	MKT MAKER (MKT MAKER)	099	BONT	B	100	37.49	23-Oct	23-Oct	0.00	0.0
AO,CRT	EMP ERROR (EMP ERROR)	099	COST	S	200	53.80	n/a	13-Oct	0.00	0.0
AO,CXL	JACOB (JACOB)	068	COST	S	200	53.80	n/a	13-Oct	0.00	0.0
AO,	TRIBECA/DOUG (TRIDMS)	040	TCBI	B	7,110	19.78	n/a	n/a	284.40	4.0
AO,	PHILADELPHIA FINANCIAL (PHILMSCO/M)	031	WPL	S	95,377	13.48	20-Oct	23-Oct	4,768.85	5.0
AO,CXL	PHILADELPHIA FINANCIAL (PHILMSCO/M)	031	XPL	S	95,377	13.48	n/a	n/a	4,768.85	5.0
AO,CXL	COUGAR TRADING (COUGPB MS)	044	ZQK	S	5,000	13.92	8-Sep	8-Sep	250.00	5.0
AO,	COUGAR TRADING (COUGPB MS)	044	ZQK	S	10,000	13.88	8-Sep	8-Sep	500.00	5.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.