



# Daily Compliance Activity Report

Trade Date: 05/02/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	ACXM	B	5,093	22.76	n/a	n/a	203.72	4.0
PATTEN & PATTEN	067	AEE	S	1,200	53.86	n/a	n/a	60.00	5.0
PATTEN & PATTEN	067	AEP	S	500	50.63	n/a	n/a	25.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>AFFY</b>	<b>S</b>	<b>6</b>	<b>38.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Ö Glenn Redemann</b>		<b>AGD</b>	<b>S</b>	<b>409</b>	<b>24.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BULL PATH CAPITAL	044	AGP	B	25,000	27.51	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	ALU	S	216	13.37	n/a	n/a	10.80	5.0
BALYASNY ASSET MGMT	044	AMG	B	6,900	119.90	25-Apr	1-May	345.00	5.0
SUNOVA CAPITAL	031	AMG	B	15,000	121.98	25-Apr	1-May	750.00	5.0
SUNOVA CAPITAL	031	AMG	S	20,000	123.17	25-Apr	1-May	1,000.00	5.0
U.S.TRUST COMPANY	012	AVZ	B	54,450	23.80	26-Apr	1-May	2,450.25	4.5
PATTEN & PATTEN	067	AW	S	1,480	13.86	n/a	n/a	74.00	5.0
ASCEND PARTNERS	033	AXP	B	53,800	62.36	n/a	n/a	2,690.00	5.0
<b>ß BUCK CAPITAL MGMT</b>		<b>BBW</b>	<b>B</b>	<b>100,000</b>	<b>28.93</b>	<b>19-Mar</b>	<b>1-May</b>	<b>non-brg</b>	<b>5.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>BBW</b>	<b>B</b>	<b>20,000</b>	<b>28.89</b>	<b>19-Mar</b>	<b>1-May</b>	<b>non-brg</b>	<b>5.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>BBW</b>	<b>B</b>	<b>40,000</b>	<b>28.95</b>	<b>19-Mar</b>	<b>1-May</b>	<b>non-brg</b>	<b>2.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GLG PARTNERS	034	BBY	S	25,000	46.51	1-May	1-May	1,250.00	5.0
BELMONT GLOBAL ADV	248	BDSB	B	80,000	9.99	n/a	n/a	4,000.00	5.0
SANDLER O'NEILL ASSET	031	BEN	S	10,000	133.11	26-Apr	1-May	500.00	5.0
WHITE PINE CAPITAL	030	BIG	SS	1,000	31.97	9-Mar	12-Apr	50.00	5.0
COUGAR TRADING	044	BONT	SS	10,000	48.25	9-Mar	26-Apr	500.00	5.0
VISIUM/BALY HOWARD	038	BONT	SS	10,000	48.55	9-Mar	26-Apr	500.00	5.0
PATTEN & PATTEN	067	BP	S	100	67.92	n/a	n/a	5.00	5.0
PATTEN & PATTEN	067	BTU	S	250	49.27	n/a	n/a	12.50	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CBK</b>	<b>S</b>	<b>89,800</b>	<b>17.44</b>	<b>30-Mar</b>	<b>25-Apr</b>	<b>3,592.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CBK</b>	<b>S</b>	<b>10,000</b>	<b>17.46</b>	<b>30-Mar</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CBK</b>	<b>S</b>	<b>100,000</b>	<b>17.52</b>	<b>30-Mar</b>	<b>25-Apr</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	CBK	B	5,000	17.37	30-Mar	25-Apr	250.00	5.0
FIRST PACIFIC ADV	048	CC	B	5,200	16.47	1-May	1-May	260.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CDNS</b>	<b>B</b>	<b>20,000</b>	<b>22.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
MAIRS AND POWER INV	030	CEN	S	20,000	34.43	n/a	n/a	1,200.00	6.0
PATTEN & PATTEN	067	CFC	B	700	37.46	n/a	n/a	35.00	5.0
SENTINAL CAPITAL	067	CIT	S	5,000	60.17	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CMG/B	S	8,706	70.64	n/a	n/a	non-brg	5.0
KALINOWSKI	070	CMGEM	S	20	8.61	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CMG	S	10,000	78.10	24-Apr	24-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		CMG	S	47,000	74.68	24-Apr	24-Apr	non-brg	5.0
ZOLIDIS	011	CMG	S	200	78.66	24-Apr	24-Apr	0.00	0.0
GRONET	030	CMG	S	300	76.72	24-Apr	24-Apr	0.00	0.0
PAKLER	N22	CMG	S	44	74.51	24-Apr	24-Apr	0.00	0.0
LEDDY	035	CRI	S	500	26.50	n/a	n/a	0.00	0.0
PAKLER	N22	CROX	B	57	56.57	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	CSD	B	1,750	28.80	n/a	n/a	87.50	5.0
RAMIUS CAP	040	CVC	B	100,000	35.38	2-May	2-May	5,000.00	5.0
ß BUCK CAPITAL MGMT		CWTR	B	30,000	20.80	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DDS	B	120,000	34.94	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DKS	B	10,000	55.74	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DKS	B	10,000	55.76	n/a	n/a	non-brg	5.0
NOLAN	067	DPHIQ	B	250	2.64	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	DPZ	B	47,200	31.85	24-Apr	24-Apr	2,360.00	5.0
PATTEN & PATTEN	067	D	S	550	91.99	n/a	n/a	27.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	EAT	C	30,000	32.21	24-Apr	24-Apr	1,500.00	5.0
Õ Glenn Redemann		EFT	S	520	19.33	n/a	n/a	0.00	0.0
GRUSS CAPITAL	040	EGP	S	7,500	50.27	n/a	n/a	300.00	4.0
PATTEN & PATTEN	067	EWJ	B	800	14.37	n/a	n/a	40.00	5.0
Õ Glenn Redemann		FED	B	2	1.14	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FED	B	2	1.41	n/a	n/a	0.00	0.0
COUGAR TRADING	044	FL	S	5,000	23.90	n/a	19-Mar	250.00	5.0
PATTEN & PATTEN	067	FPL	S	300	64.54	n/a	n/a	15.00	5.0
MORGAN LEWIS/MLH	046	F	SS	10,000	8.18	n/a	27-Apr	500.00	5.0
BUCK CAPITAL MGMT	001	GCO	SS	40,000	50.73	n/a	10-Jan	1,600.00	4.0
SYSTEMATIC FINANCIAL MGT	035	GCO	S	70,900	50.75	n/a	10-Jan	2,658.75	3.8
KORMAN	003	GIL	B	1,000	65.22	n/a	30-Apr	0.00	0.0
PATTEN & PATTEN	067	HC	B	2,300	22.72	14-Mar	2-May	115.00	5.0
PATTEN & PATTEN	067	HON	B	500	55.70	20-Apr	20-Apr	25.00	5.0
ß BUCK CAPITAL MGMT		HP	B	30,000	31.70	n/a	n/a	non-brg	5.0
LUCHANSKY1	036	HRS	B	100	47.81	2-May	2-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		HSTX	B	130,000	15.40	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		HSTX	B	20,000	15.34	n/a	n/a	non-brg	2.0
CARDINAL CAPITAL	044	IHP	B	23,580	60.21	n/a	n/a	1,179.00	5.0
PATTEN & PATTEN	067	IJR	B	1,000	71.02	n/a	n/a	50.00	5.0
JENNISON	032	INTC	B	262,000	22.00	n/a	n/a	10,480.00	4.0
LISTED ERR	099	INTC	B	1,000	21.98	n/a	n/a	0.00	0.0
LISTED ERR	099	INTC	S	1,000	21.95	n/a	n/a	0.00	0.0
XYLEM GLOBAL	091	IP	B	25,000	38.46	20-Mar	18-Apr	1,250.00	5.0
PATTEN & PATTEN	067	ITT	B	1,000	65.73	30-Apr	30-Apr	50.00	5.0
⌘ BUCK CAPITAL MGMT		IWM	SS	69,500	82.18	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		IWO	SS	68,000	83.94	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	80.22	20-Apr	20-Apr	400.00	4.0
BALYASNY ASSET MGMT	044	JNC	B	26,300	53.08	30-Apr	1-May	1,315.00	5.0
JENNISON	032	JNPR	B	813,000	22.97	n/a	22-Mar	32,520.00	4.0
⌘ BUCK CAPITAL MGMT		JNY	SS	30,000	31.66	n/a	30-Apr	non-brg	5.0
MERRILL ASSET	032	JNY	C	89,600	31.03	n/a	30-Apr	4,032.00	4.5
⌘ BUCK CAPITAL MGMT		JOSB	S	30,000	38.85	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MCCARTAN</b>	<b>028</b>	<b>KCP</b>	<b>S</b>	<b>300</b>	<b>24.07</b>	<b>n/a</b>	<b>2-May</b>	<b>0.00</b>	<b>0.0</b>
DAVIDSON KEMPNER ADVISOR	217	KND	B	50,000	33.08	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>LAMR</b>	<b>B</b>	<b>20,000</b>	<b>60.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
THIRD COAST CAPITAL	035	LIZ	B	10,000	36.33	n/a	2-May	500.00	5.0
ASCEND PARTNERS	033	LM	C	10,000	100.77	10-Apr	1-May	500.00	5.0
XYLEM GLOBAL	091	LPX	B	200,000	19.95	20-Mar	26-Apr	10,000.00	5.0
PATTEN & PATTEN	067	MAV	B	500	15.97	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	MDT	S	1,700	54.05	n/a	22-Apr	85.00	5.0
<b>Ö Glenn Redemann</b>		<b>MFV</b>	<b>S</b>	<b>895</b>	<b>11.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	MGU	B	1,400	32.54	n/a	n/a	70.00	5.0
PATTEN & PATTEN	067	MHI	B	500	15.16	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	MIC	B	1,700	43.15	n/a	n/a	85.00	5.0
<b>KAICHER</b>	<b>064</b>	<b>MOVE</b>	<b>B</b>	<b>300</b>	<b>4.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MWV</b>	<b>B</b>	<b>5,000</b>	<b>32.25</b>	<b>3-May</b>	<b>18-Apr</b>	<b>non-brg</b>	<b>5.0</b>
GALLEON MGMT LP	033	MWV	B	25,000	32.25	3-May	18-Apr	1,250.00	5.0
MILLENIUM PARTNERS	028	MWV	B	10,000	32.42	3-May	18-Apr	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PILOT ADV	038	MWV	B	30,000	33.72	3-May	18-Apr	1,200.00	4.0
UBS O'CONNOR/TOM LEDDY	035	MWV	S	25,000	32.07	3-May	18-Apr	1,250.00	5.0
WAGONHOUND	048	MWV	B	15,000	32.22	3-May	18-Apr	600.00	4.0
WAGONHOUND	048	MWV	S	15,000	32.53	3-May	18-Apr	600.00	4.0
WASHINGTON ASSET	048	MWV	S	5,000	33.45	3-May	18-Apr	250.00	5.0
ZANDER CAPITAL MGMT	035	MWV	S	10,000	33.62	3-May	18-Apr	400.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>MZZ</b>	<b>B</b>	<b>1,200</b>	<b>52.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>NCV</b>	<b>S</b>	<b>610</b>	<b>16.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>NETL</b>	<b>B</b>	<b>9</b>	<b>0.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>NETL</b>	<b>B</b>	<b>9</b>	<b>0.67</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>NETL</b>	<b>SS</b>	<b>9</b>	<b>1.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>NETL</b>	<b>SS</b>	<b>9</b>	<b>1.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAPITAL GROWTH MGMT.	241	NPO	B	500	38.06	n/a	n/a	0.00	0.0
<b>LEDDY</b>	<b>035</b>	<b>NPO</b>	<b>B</b>	<b>1,000</b>	<b>38.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>OXM</b>	<b>S</b>	<b>10,000</b>	<b>45.96</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
MHR CAPITAL MGMT	067	PDE	B	400,000	33.05	n/a	n/a	16,000.00	4.0
PATTEN & PATTEN	067	PG	B	400	62.62	15-Dec	30-Mar	20.00	5.0
PATTEN & PATTEN	067	PHO	B	700	19.42	n/a	n/a	35.00	5.0
MAZAMA CAPITAL MGMT.	035	PJC	B	33,100	65.02	n/a	n/a	1,324.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>S</b>	<b>50,000</b>	<b>54.99</b>	<b>12-Apr</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>2.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PVH	B	10,000	53.35	28-Mar	28-Mar	non-brg	5.0
ß BUCK CAPITAL MGMT		PVH	B	5,000	53.35	28-Mar	28-Mar	non-brg	5.0
ß BUCK CAPITAL MGMT		PVH	B	95,000	54.02	28-Mar	28-Mar	non-brg	5.0
LEDDY	035	QID	S	1,000	47.96	n/a	n/a	0.00	0.0
WHALE ROCK CAP	030	QQQQ	B	109,000	46.22	n/a	n/a	4,360.00	4.0
PATTEN & PATTEN	067	Q	B	397	9.39	n/a	n/a	19.85	5.0
PATTEN & PATTEN	067	RF	S	100	34.92	n/a	n/a	5.00	5.0
BUCK CAPITAL MGMT	001	RL	B	5,000	91.09	2-Feb	13-Apr	200.00	4.0
GENERAL ELECTIC CO	033	RMD	B	21,500	43.11	n/a	n/a	967.51	4.5
ß BUCK CAPITAL MGMT		SHOO	B	15,000	31.50	n/a	n/a	non-brg	5.0
MKT MAKER	099	SHOO	S	100	31.31	n/a	n/a	0.00	0.0
MKT MAKER	099	SHPGY	B	100	68.92	26-Apr	30-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	70,000	32.48	n/a	13-Mar	2,800.00	4.0
ß BUCK CAPITAL MGMT		SMRT	SS	20,000	16.42	n/a	n/a	non-brg	5.0
KORMAN	003	SNEN	B	11,500	2.61	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	SRZ	S	100	39.97	n/a	n/a	5.00	5.0
BUCK CAPITAL MGMT	001	SSI	B	10,000	21.52	26-Feb	13-Apr	400.00	4.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	SYX	S	50	32.85	24-Apr	24-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	B	10,000	59.03	n/a	24-Apr	400.00	4.0
KALINOWSKI	070	THIEF	B	50	2.10	n/a	n/a	0.00	0.0
KALINOWSKI	070	THIGG	B	100	0.35	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TLB	S	40,000	23.17	10-Jan	26-Apr	1,600.00	4.0
PATTEN & PATTEN	067	TTH	S	400	38.46	n/a	n/a	20.00	5.0
FORTRESS CAPITAL	040	TTWO	B	10,000	19.55	n/a	n/a	500.00	5.0
DELTEC ASSET MGMT.	040	TWB	S	15,000	39.21	22-Feb	26-Apr	750.00	5.0
STRONG/WELL HOWARD	038	TWC	B	132,500	37.00	n/a	n/a	5,300.00	4.0
PATTEN & PATTEN	067	TWTC	B	1,650	20.55	8-Feb	2-May	82.50	5.0
PATTEN & PATTEN	067	T	S	370	38.86	25-Apr	2-May	18.50	5.0
UBS OCONNOR	031	UAG	B	55,000	20.71	n/a	13-Apr	1,650.00	3.0
THIRD COAST CAPITAL	035	UA	B	40,000	46.36	n/a	n/a	2,000.00	5.0
FRIESS MGMT	042	URBN	B	196,500	25.59	2-Apr	26-Apr	8,842.50	4.5
COLONIAL FUND LLC	036	USG	SS	25,000	48.81	25-Apr	25-Apr	1,250.00	5.0

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SATELLITE ASSET MGMT	012	USTR	SS	20,000	60.40	16-Feb	16-Feb	800.00	4.0
UBS OCONNOR	031	VC	SS	73,000	8.79	n/a	n/a	2,190.00	3.0
PATTEN & PATTEN	067	VOD	S	743	28.93	n/a	n/a	37.15	5.0
HAYGROUND COE	039	WFMI	SS	50,000	45.89	n/a	n/a	2,500.00	5.0
B BUCK CAPITAL MGMT		WRNC	B	25,000	28.72	n/a	21-Mar	non-brg	2.0
B BUCK CAPITAL MGMT		WRNC	B	75,000	28.45	n/a	21-Mar	non-brg	5.0
CSFB GLOBAL PROPRIETARY	035	WU	S	800	21.00	n/a	n/a	40.00	5.0
MATRIX ASSET ADV.	036	WU	B	44,500	21.00	n/a	n/a	1,780.00	4.0
PATTEN & PATTEN	067	WWY	S	250	59.06	n/a	n/a	12.50	5.0
EATON VANCE MGMT	039	XTO	B	50,000	55.39	n/a	n/a	2,000.00	4.0
KORMAN	003	YTEC	B	3,000	8.75	n/a	n/a	0.00	0.0
KALINOWSKI	070	YZZAF	B	20	4.00	n/a	n/a	0.00	0.0
KALINOWSKI	070	ZMQAG	B	30	3.50	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							165,167.53		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/01	STADIA CAPITAL	035	OMX	C	50,000	48.58	n/a	15-Apr	2000.00 4.0
AO,	05/01	MHR CAPITAL MGMT	067	PDE	B	100,000	33.27	n/a	n/a	4000.00 4.0
AO,CRT	05/01	NCM CAPITAL MGMT	035	PTI	S	1,600	26.17	n/a	n/a	80.00 5.0
AO,CXL	05/01	NCM CAPITAL MGMT	035	PTI	S	1,600	26.17	n/a	n/a	80.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>6,000.00</b>

TOTAL (Trade Date) :	165,167.53
TOTAL (AO, CXL, CRT) :	6,000.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>171,167.53</b>
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MRI Securities with Trade Activity on 2-May.  
**CVC, HRS**

MRI Securities without Trade Activity on 2-May.  
**AVP, CEPH, MMM, TEVA, WPI**

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 18%  
YTD = 17%



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION