



Daily Compliance Activity Report

Trade Date: 03/09/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ISLE RIDGE PARTNERS	041	AEO	B	32,500	16.95	n/a	5-Mar	1,300.00	4.0
IMPALA ASSET MMT	012	AMR	B	20,000	9.08	n/a	n/a	400.00	2.0
BUCK CAPITAL MGMT	001	ANF	S	10,000	43.30	n/a	5-Mar	400.00	4.0
GLOBAL 56 LLC	060	ARM	S	2,500	12.51	n/a	n/a	125.00	5.0
HIGHBRIDGE CAP	041	BHI	B	100,000	50.07	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	COH	B	20,000	38.68	n/a	21-Jan	800.00	4.0
ARCHON CAPITAL	035	CROX	SS	60,000	7.47	n/a	n/a	1,800.00	3.0
HOTCHKIS & WILEY	035	CRS	S	32,000	33.20	n/a	n/a	1,600.00	5.0
EXIS CAPITAL	044	CSCO	B	25,000	26.08	n/a	n/a	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	041	DKS	B	25,000	26.04	n/a	9-Mar	875.00	3.5
AVESTA CAP	229	DOW	B	100,000	29.50	n/a	2-Feb	3,000.00	3.0
RBC CAPITAL MARKETS	040	DOW	S	20,000	29.19	n/a	2-Feb	800.00	4.0
BALYASNY ASSET MGMT	044	EAT	C	30,000	19.42	n/a	n/a	1,500.00	5.0
OSS CAPITAL/KEVIN DANN	067	ECA	SS	50,000	34.25	n/a	n/a	1,500.00	3.0
KLEINHEINZ CAP PART	031	EMC	B	150,000	18.66	n/a	n/a	7,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAP	041	EOG	SS	22,106	98.01	n/a	n/a	884.24	4.0
FIRST NEW YORK LEDDY	035	ETFC	B	10,000	1.69	n/a	n/a	600.00	6.0
1798 CAPITAL MGMT	040	FLR	B	33,000	45.19	n/a	n/a	1,650.00	5.0
ROARING BLUE LION MGMT	012	GCO	S	10,000	28.49	n/a	n/a	400.00	4.0
JP MORGAN VENTURES	046	GDP	B	15,000	19.17	n/a	n/a	750.00	5.0
MILLENIUM PARTNERS	028	GE	B	50,000	16.37	n/a	n/a	2,000.00	4.0
SACC-SCHWARTZ	044	GWW	B	50,000	107.43	n/a	26-Jan	1,750.00	3.5
STERLING JOHNSTON	035	GYMB	B	23,000	45.60	n/a	5-Mar	920.00	4.0
AVESTA CAP	229	IP	B	100,000	25.37	n/a	3-Feb	3,000.00	3.0
EXIS CAPITAL	044	JEF	B	5,000	25.30	n/a	n/a	250.00	5.0
EXIS CAPITAL	044	JNY	B	30,000	18.64	n/a	10-Feb	1,500.00	5.0
EPOCH INV MGMT	030	KBH	S	1,900	17.74	n/a	n/a	57.00	3.0
EPOCH INV MGMT	030	KMT	S	750	28.28	n/a	26-Feb	22.50	3.0
HUNTER GLOBAL INVESTOR	067	KO	SS	100,000	54.36	n/a	n/a	5,000.00	5.0
KARSCH CAPITAL	034	KR	S	297,780	22.40	n/a	n/a	8,933.40	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	LL	B	40,000	24.24	n/a	n/a	1,600.00	4.0
OSS CAPITAL/KEVIN DANN	067	LYV	B	125,000	14.21	n/a	n/a	3,750.00	3.0
SIGMA CAPITAL ASSOCIATES	041	MAT	S	50,000	22.55	n/a	n/a	1,750.00	3.5
EPOCH INV MGMT	030	MEOH	S	1,200	26.49	n/a	n/a	36.00	3.0
EPOCH INV MGMT	030	MGAM	S	3,350	4.46	n/a	n/a	100.50	3.0
HUNTER GLOBAL INVESTOR	067	MHS	B	75,000	63.21	n/a	n/a	3,750.00	5.0
DAVIDSON KEMPNER ADVISOR	040	MJN	S	10,000	50.12	n/a	n/a	500.00	5.0
THE JAY GOLDMAN	028	MXIM	SS	50,000	18.77	n/a	n/a	2,000.00	4.0
EXIS CAPITAL	044	M	B	10,000	20.84	n/a	5-Mar	500.00	5.0
EPOCH INV MGMT	030	NLC	S	1,000	23.89	n/a	n/a	30.00	3.0
COOPER CREEK PART	028	NP	SS	5,000	16.85	n/a	3-Mar	200.00	4.0
EPOCH INV MGMT	030	NST	S	750	34.98	n/a	n/a	22.50	3.0
KACELA CAPITAL	034	NWL	B	65,000	15.05	n/a	n/a	2,600.00	4.0
EPOCH INV MGMT	030	OKE	S	850	46.40	n/a	n/a	25.50	3.0
CAXTON PART	040	PCAR	B	213,984	39.56	n/a	1-Feb	7,489.46	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BASIX	033	PKG	S	5,000	24.36	n/a	26-Jan	150.00	3.0
EPOCH INV MGMT	030	PTP	S	950	37.26	n/a	n/a	28.50	3.0
ARMATURE CAPITAL	041	PTV	SS	25,000	24.68	n/a	n/a	1,000.00	4.0
1798 CAPITAL MGMT	040	PVA	B	20,000	25.06	n/a	n/a	1,000.00	5.0
1798 CAPITAL MGMT	040	PXP	B	25,000	32.94	n/a	n/a	1,250.00	5.0
OSS CAPITAL/KEVIN DANN	067	RGC	S	25,000	16.68	n/a	n/a	750.00	3.0
EPOCH INV MGMT	030	ROVI	S	700	35.92	n/a	n/a	21.00	3.0
EPOCH INV MGMT	030	SCI	S	2,950	8.56	n/a	n/a	88.50	3.0
EPOCH INV MGMT	030	SHFL	S	2,400	8.65	n/a	n/a	72.00	3.0
PROSPECTOR PARTNERS LLC	036	SJT	S	10,000	22.09	n/a	n/a	400.00	4.0
EXIS CAPITAL	044	SKS	B	25,000	7.62	n/a	n/a	1,250.00	5.0
COBALT	041	SLM	S	66,816	12.33	n/a	8-Mar	3,340.80	5.0
SCOPIA CAPITAL	048	SLM	B	100,000	12.22	n/a	8-Mar	4,000.00	4.0
SACC-SCHWARTZ	044	SPY	B	100,000	114.33	n/a	n/a	3,500.00	3.5
MILLENIUUM PARTNERS	028	STI	S	10,000	26.19	n/a	n/a	400.00	4.0
CAPITAL GROWTH MGMT.	241	STX	S	100,000	19.54	n/a	n/a	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	041	SWY	SS	75,000	24.70	n/a	n/a	2,625.00	3.5
MILLENIUM PARTNERS	028	TKR	S	10,000	27.41	n/a	n/a	400.00	4.0
OSS CAPITAL/KEVIN DANN	067	TWX	S	70,000	30.55	n/a	n/a	2,100.00	3.0
DAVIDSON KEMPNER ADVISOR	040	UFS	B	30,000	61.02	n/a	n/a	1,500.00	5.0
FARALLON CAP MGMT	040	WLP	S	92,672	62.00	n/a	n/a	3,706.88	4.0
DENVER INST. ADV	046	WRC	B	98,300	46.40	n/a	2-Mar	3,932.00	4.0
LEVEL GLOBAL SHARED ACCT	257	WRC	S	150,000	46.87	n/a	2-Mar	4,500.00	3.0
MERRILL ASSET/BLACKROCK	214	YUM	C	1,500	36.61	n/a	5-Feb	60.00	4.0
EXIS CAPITAL	044	ZQK	B	20,000	3.10	n/a	5-Mar	1,000.00	5.0
TOTAL (Trade Date):								119,995.78	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	03/05	TRUSTCO/SUNTRUST (TRUS9065)	040	AOS	B	84	47.61	n/a	8-Feb	3.28	3.9
AO,CXL	03/05	TRUSTCO/SUNTRUST (&TRUS1706)	040	AOS	B	84	47.61	n/a	8-Feb	3.28	3.9
AO,CXL	03/08	MILLRACE FUND LP (MILRGS)	028	ARGN	SS	2,500	11.13	n/a	11-Feb	75.00	3.0
AO,CRT	03/08	MILLRACE FUND LP (MILRGS)	028	ARGN	SS	2,500	11.13	n/a	11-Feb	100.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB25)	046	CBE	S	300	47.53	n/a	1-Mar	12.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB24)	046	CBE	S	150	47.53	n/a	1-Mar	6.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB21)	046	CBE	S	2,400	47.53	n/a	1-Mar	96.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB20)	046	CBE	S	3,300	47.53	n/a	1-Mar	132.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB76)	046	CBE	S	600	47.53	n/a	1-Mar	24.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB1)	046	CBE	S	2,000	47.53	n/a	1-Mar	80.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB10)	046	CBE	S	2,155	47.53	n/a	1-Mar	86.20	4.0
AO,	03/08	CAMBIAR CAP (CAMB400)	046	CBE	S	100	47.53	n/a	1-Mar	4.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB42)	046	CBE	S	33,000	47.53	n/a	1-Mar	1320.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB65)	046	CBE	S	2,800	47.53	n/a	1-Mar	112.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB43)	046	CBE	S	2,400	47.53	n/a	1-Mar	96.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB28)	046	CBE	S	150	47.53	n/a	1-Mar	6.00	4.0
AO,	03/08	CAMBIAR CAP (CAMB1114)	046	CBE	S	10	47.53	n/a	1-Mar	0.40	4.0
AO,	03/08	CAMBIAR CAP (CAMB1116)	046	CBE	S	20	47.53	n/a	1-Mar	0.80	4.0
AO,	03/08	CAMBIAR CAP (CAMB1110)	046	CBE	S	10	47.53	n/a	1-Mar	0.40	4.0
AO,	03/08	CAMBIAR CAP (CAMB1109)	046	CBE	S	10	47.53	n/a	1-Mar	0.40	4.0
AO,	03/08	CAMBIAR CAP (CAMB1115)	046	CBE	S	20	47.53	n/a	1-Mar	0.80	4.0
AO,	03/08	CAMBIAR CAP (CAMB1108)	046	CBE	S	20	47.53	n/a	1-Mar	0.80	4.0
AO,	03/08	CAMBIAR CAP (CAMB1107)	046	CBE	S	10	47.53	n/a	1-Mar	0.40	4.0
AO,	03/08	CAMBIAR CAP (CAMB1111)	046	CBE	S	10	47.53	n/a	1-Mar	0.40	4.0
AO,	03/08	CAMBIAR CAP (CAMB1117)	046	CBE	S	10	47.53	n/a	1-Mar	0.40	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/08	CAMBIAR CAP (CAMB1106)	046	CBE	S	20	47.53	n/a	1-Mar	0.80 4.0
AO,	03/08	CAMBIAR CAP (CAMB1122)	046	CBE	S	40	47.53	n/a	1-Mar	1.60 4.0
AO,	03/08	CAMBIAR CAP (CAMB1112)	046	CBE	S	50	47.53	n/a	1-Mar	2.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB1100)	046	CBE	S	30	47.53	n/a	1-Mar	1.20 4.0
AO,	03/08	CAMBIAR CAP (CAMB1099)	046	CBE	S	60	47.53	n/a	1-Mar	2.40 4.0
AO,	03/08	CAMBIAR CAP (CAMB1098)	046	CBE	S	60	47.53	n/a	1-Mar	2.40 4.0
AO,	03/08	CAMBIAR CAP (CAMB1097)	046	CBE	S	60	47.53	n/a	1-Mar	2.40 4.0
AO,	03/08	CAMBIAR CAP (CAMB1089)	046	CBE	S	30	47.53	n/a	1-Mar	1.20 4.0
AO,	03/08	CAMBIAR CAP (CAMB1090)	046	CBE	S	20	47.53	n/a	1-Mar	0.80 4.0
AO,	03/08	CAMBIAR CAP (CAMB1085)	046	CBE	S	100	47.53	n/a	1-Mar	4.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB1087)	046	CBE	S	200	47.53	n/a	1-Mar	8.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB1044)	046	CBE	S	5	47.53	n/a	1-Mar	0.20 4.0
AO,	03/08	CAMBIAR CAP (CAMB1025)	046	CBE	S	1,300	47.53	n/a	1-Mar	52.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB1020)	046	CBE	S	20	47.53	n/a	1-Mar	0.80 4.0
AO,	03/08	CAMBIAR CAP (CAMB1010)	046	CBE	S	650	47.53	n/a	1-Mar	26.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB736)	046	CBE	S	25	47.53	n/a	1-Mar	1.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB540)	046	CBE	S	1,600	47.53	n/a	1-Mar	64.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB413)	046	CBE	S	400	47.53	n/a	1-Mar	16.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB969)	046	CBE	S	50	47.53	n/a	1-Mar	2.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB972)	046	CBE	S	200	47.53	n/a	1-Mar	8.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB971)	046	CBE	S	50	47.53	n/a	1-Mar	2.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB970)	046	CBE	S	50	47.53	n/a	1-Mar	2.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB879)	046	CBE	S	300	47.53	n/a	1-Mar	12.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB883)	046	CBE	S	600	47.53	n/a	1-Mar	24.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB831)	046	CBE	S	275	47.53	n/a	1-Mar	11.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB832)	046	CBE	S	100	47.53	n/a	1-Mar	4.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB767)	046	CBE	S	15	47.53	n/a	1-Mar	0.60 4.0
AO,	03/08	CAMBIAR CAP (CAMB)	046	CBE	S	15	47.53	n/a	1-Mar	0.60 4.0
AO,	03/08	CAMBIAR CAP (CAMB804)	046	CBE	S	150	47.53	n/a	1-Mar	6.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB766)	046	CBE	S	150	47.53	n/a	1-Mar	6.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/08	CAMBIAR CAP (CAMB748)	046	CBE	S	13,700	47.53	n/a	1-Mar	548.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB710)	046	CBE	S	100	47.53	n/a	1-Mar	4.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB716)	046	CBE	S	90	47.53	n/a	1-Mar	3.60 4.0
AO,	03/08	CAMBIAR CAP (CAMB714)	046	CBE	S	300	47.53	n/a	1-Mar	12.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB535)	046	CBE	S	600	47.53	n/a	1-Mar	24.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB18)	046	CBE	S	120	47.53	n/a	1-Mar	4.80 4.0
AO,	03/08	CAMBIAR CAP (CAMB527)	046	CBE	S	20	47.53	n/a	1-Mar	0.80 4.0
AO,	03/08	CAMBIAR CAP (CAMB520)	046	CBE	S	500	47.53	n/a	1-Mar	20.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB525)	046	CBE	S	200	47.53	n/a	1-Mar	8.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB502)	046	CBE	S	200	47.53	n/a	1-Mar	8.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB526)	046	CBE	S	300	47.53	n/a	1-Mar	12.00 4.0
AO,	03/08	CAMBIAR CAP (CAMB26)	046	CBE	S	100	47.53	n/a	1-Mar	4.00 4.0
AO,	03/08	TRUSTCO/SUNTRUST (TRUS1113)	046	CBE	S	50	47.53	n/a	1-Mar	2.00 4.0
AO,CXL	03/05	OSS CAPITAL/KEVIN DANN (OSSCMS)	067	CCK	S	125,000	27.16	n/a	n/a	6250.00 5.0
AO,CRT	03/05	OSS CAPITAL/KEVIN DANN (OSSCMS)	067	CCK	S	125,000	27.16	n/a	n/a	3750.00 3.0
AO,	03/04	TRUSTCO/SUNTRUST (TRUS9065)	040	FL	S	300	14.00	n/a	4-Mar	11.70 3.9
AO,	03/04	TRUSTCO/SUNTRUST (TRUS9065)	040	FL	S	500	13.99	n/a	4-Mar	19.50 3.9
AO,	03/04	TRUSTCO/SUNTRUST (TRUS9065)	040	FL	S	198	13.71	n/a	4-Mar	7.72 3.9
AO,	03/04	TRUSTCO/SUNTRUST (TRUS9065)	040	FL	S	148	13.70	n/a	4-Mar	5.77 3.9
AO,CXL	03/04	TRUSTCO/SUNTRUST (&TRUS1706)	040	FL	S	300	14.00	n/a	4-Mar	11.70 3.9
AO,CXL	03/04	TRUSTCO/SUNTRUST (&TRUS1706)	040	FL	S	500	13.99	n/a	4-Mar	19.50 3.9
AO,CXL	03/04	TRUSTCO/SUNTRUST (&TRUS1706)	040	FL	S	198	13.71	n/a	4-Mar	7.72 3.9
AO,CXL	03/04	TRUSTCO/SUNTRUST (&TRUS1706)	040	FL	S	148	13.70	n/a	4-Mar	5.77 3.9
AO,CXL	03/04	GREEN ARROW DOUG (GADRJPM)	040	WERN	SS	5,000	22.03	n/a	n/a	200.00 4.0
AO,CRT	03/04	ISLE RIDGE PARTNERS (ISLECSFB)	040	WERN	SS	5,000	22.03	n/a	n/a	200.00 4.0
TOTAL (AO, CXL, CRT) :										420.20

TOTAL (Trade Date):	119,995.78
TOTAL (AO, CXL, CRT):	420.20

TOTAL (RUN DATE) / NFS:	120,415.98
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION