



Daily Compliance Activity Report

Trade Date: 08/22/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AEO+IC	SS	1,000	0.46	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AEO	B	375,000	13.96	6-Mar	8-Aug	non-brg	4.0
COUGAR TRADING	044	AEO	B	10,000	13.97	6-Mar	8-Aug	500.00	5.0
BUCK	015	AET	B	500	43.44	n/a	n/a	0.00	0.0
TRUST CO/COWEN/CALF.	040	ALO	S	82,025	33.66	n/a	n/a	4,101.25	5.0
BUCK CAPITAL MGMT	001	ANF	S	20,000	51.33	7-Aug	15-Aug	800.00	4.0
COUGAR TRADING	044	ANF	B	5,000	51.72	7-Aug	15-Aug	250.00	5.0
COUGAR TRADING	044	ANF	S	5,000	51.03	7-Aug	15-Aug	250.00	5.0
PRINCIPLE CAPITAL	040	ANF	B	37,600	51.45	7-Aug	15-Aug	1,504.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	40,000	33.99	28-Apr	22-Aug	1,600.00	4.0
MTB INV ADV	034	ARO	B	8,800	33.33	28-Apr	22-Aug	440.00	5.0
BUCK	015	BAC	B	1,000	29.65	n/a	15-Aug	0.00	0.0
BUCK CAPITAL MGMT		BBBY	SS	40,000	30.08	n/a	n/a	non-brg	4.0
PRINCIPLE CAPITAL	040	BC	B	123,900	13.59	n/a	n/a	3,717.00	3.0
COUGAR TRADING	044	BKC	S	10,000	25.56	1-May	22-Aug	500.00	5.0
MTB INV ADV	034	BKC	S	41,500	25.57	1-May	22-Aug	2,075.00	5.0
BUCK CAPITAL MGMT		BKE	B	37,507	48.84	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BKE	B	50,000	48.81	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CBL+XW	SS	1,400	0.90	n/a	n/a	non-brg	100.0
HIGHFIELDS CAP MGMT	039	CDNS	B	200,000	7.95	n/a	n/a	8,000.00	4.0
BUCK CAPITAL MGMT	001	CHS	B	50,000	4.83	8-Aug	8-Aug	2,000.00	4.0
COUGAR TRADING	044	CMG	SS	2,000	71.78	24-Jul	24-Jul	100.00	5.0
BUCK CAPITAL MGMT		COLM	C	10,000	40.74	n/a	n/a	non-brg	4.0
CENTURION PARTNERS	255	CTXS	B	13,300	30.98	n/a	n/a	665.00	5.0
BUCK	015	CYPB	B	5,000	6.80	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		DDR+VE	SS	1,400	0.40	n/a	n/a	non-brg	100.0
CENTURION PARTNERS	255	DELL	B	75,000	25.46	n/a	n/a	3,750.00	5.0
BUCK CAPITAL MGMT		DRE+XD	SS	1,300	1.00	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		EBHI	B	20,000	6.20	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		EBHI	B	50,000	6.17	n/a	n/a	non-brg	2.5
AVESTA CAP	229	EFX	B	20,000	34.97	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	EL	B	10,000	50.43	15-Aug	15-Aug	400.00	4.0
UBS OCONNOR	031	EV	B	100,000	34.45	21-Aug	21-Aug	3,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		FL+KW	SS	5,000	0.81	n/a	n/a	non-brg	100.0
FULLER & THALER ASSET	033	FL	B	100,000	16.00	11-Aug	22-Aug	3,000.00	3.0
ROARING BLUE LION MGMT	012	FNM	SS	35,000	4.88	n/a	n/a	1,050.00	3.0
⌘ BUCK CAPITAL MGMT		FOSL	B	10,000	29.56	n/a	13-Aug	non-brg	4.0
TRELLUS PARTNERS LP	028	F	C	100,000	4.40	25-Jul	28-Jul	3,000.00	3.0
⌘ BUCK CAPITAL MGMT		GES	B	30,000	36.46	n/a	n/a	non-brg	4.0
WYCKOFF	013	GES	B	100	35.38	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GGP+VD	SS	1,100	0.90	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		GIGM	B	10,000	11.83	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT	001	GIL	B	40,000	22.76	14-Aug	14-Aug	1,600.00	4.0
⌘ BUCK CAPITAL MGMT		GIL	B	1,000	22.85	14-Aug	14-Aug	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GPS	SS	50,000	19.96	n/a	22-Aug	non-brg	4.0
BULL PATH CAPITAL	044	GPS	SS	50,000	19.92	n/a	22-Aug	2,500.00	5.0
JP MORGAN VENTURES	046	GPS	SS	15,000	19.98	n/a	22-Aug	750.00	5.0
⌘ BUCK CAPITAL MGMT		GQU+KH	SS	1,000	3.00	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		GQU+KI	SS	1,000	1.41	n/a	n/a	non-brg	300.0
KENNEDY CAPITAL	046	GT	S	74,845	19.66	n/a	n/a	2,993.80	4.0

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WYCKOFF	013	HSNI	B	100	15.96	n/a	n/a	0.00	0.0
LEDDY	035	ICON	S	800	13.38	n/a	n/a	0.00	0.0
BUCK	015	ISPH	S	4,000	4.33	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCG	B	15,000	26.05	n/a	20-Aug	750.00	5.0
COUGAR TRADING	044	JCG	S	30,000	25.71	n/a	20-Aug	1,500.00	5.0
WHITTIER CAP	048	JCG	B	1,625	25.78	n/a	20-Aug	65.00	4.0
Ⓐ BUCK CAPITAL MGMT		JCP	C	50,000	37.90	n/a	15-Aug	non-brg	4.0
CASTLEROCK	040	JEF	B	182,752	17.62	n/a	n/a	7,310.08	4.0
BUCK CAPITAL MGMT	001	JNY	SS	50,000	19.21	n/a	30-Jul	2,000.00	4.0
WYCKOFF	013	KCP	B	100	14.84	n/a	28-Sep	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		KIM+VF	SS	900	0.85	n/a	n/a	non-brg	100.0
CENTURION PARTNERS	255	LCC	B	50,000	7.70	n/a	n/a	1,500.00	3.0
NATIONAL BK OF CAN	031	LEH	B	75,000	14.52	20-Aug	20-Aug	3,000.00	4.0
Ⓐ BUCK CAPITAL MGMT		LIZ	B	100,000	15.23	n/a	14-Aug	non-brg	4.0
DISCIPLINED GROWTH INV.	030	LTD	S	8,300	20.41	19-Aug	21-Aug	415.00	5.0
TRUST CO/COWEN/CALF.	040	MBI	B	128,605	10.07	n/a	n/a	5,144.20	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITTIER CAP	048	MCD	S	655	63.63	9-Jun	8-Aug	26.20	4.0
BUCK	015	MCK	S	1,250	58.24	n/a	n/a	0.00	0.0
BUCK	015	MHS	B	1,500	48.07	n/a	n/a	0.00	0.0
BUCK	015	MRK	B	200	35.36	n/a	n/a	0.00	0.0
BUCK	015	MS	SS	500	38.46	15-Aug	15-Aug	0.00	0.0
AVESTA CAP	229	MTB	B	20,000	70.17	n/a	n/a	1,000.00	5.0
MTB INV ADV	034	MVL	B	15,050	33.60	n/a	n/a	752.50	5.0
ß BUCK CAPITAL MGMT		MVRX	SS	80,000	140.47	n/a	n/a	non-brg	14.0
BUCK CAPITAL MGMT	001	M	B	40,000	19.88	12-Aug	14-Aug	1,600.00	4.0
SYSTEMATIC FINANCIAL MGT	035	NKE	S	369,311	61.25	n/a	n/a	13,812.23	3.7
BUCK CAPITAL MGMT	001	NWY	SS	10,000	11.48	n/a	15-Aug	400.00	4.0
TRUST CO/COWEN/CALF.	040	NYB	B	46,450	15.57	n/a	n/a	1,858.00	4.0
ß BUCK CAPITAL MGMT		OIH	SS	10,000	185.87	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PEI+VW	SS	900	0.85	n/a	n/a	non-brg	100.0
MTB INV ADV	034	PFCB	B	23,400	25.98	n/a	6-Aug	1,170.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	PL	S	19,550	35.11	n/a	n/a	977.50	5.0
⌘ BUCK CAPITAL MGMT		PSUN	B	100,000	5.62	n/a	22-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSUN	B	300,000	5.40	n/a	22-Aug	non-brg	4.0
COUGAR TRADING	044	PSUN	SS	20,000	5.41	n/a	22-Aug	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		PVH+JH	SS	1,000	1.00	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	PVH	B	12,000	35.70	5-May	22-Aug	480.00	4.0
⌘ BUCK CAPITAL MGMT		QXF+LV	SS	1,000	0.65	n/a	n/a	non-brg	100.0
DANIELS	N25	QXM	B	500	5.28	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		QZS+MW	SS	1,000	2.55	n/a	n/a	non-brg	300.0
DISCIPLINED GROWTH INV.	030	RCL	S	4,800	26.12	23-Jul	23-Jul	240.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	20,000	73.57	n/a	7-Aug	800.00	4.0
IRIDIAN ASSET MGMT.	036	ROC	B	18,590	38.96	n/a	n/a	929.50	5.0
MTB INV ADV	034	ROST	S	21,300	38.98	n/a	n/a	1,065.00	5.0
⌘ BUCK CAPITAL MGMT		SKX	B	6,500	19.32	n/a	13-Mar	non-brg	2.0
⌘ BUCK CAPITAL MGMT		SKX	B	75,000	19.25	n/a	13-Mar	non-brg	1.0
COUGAR TRADING	044	SSO	B	5,000	62.04	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SSO	S	5,000	61.76	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET	032	STC	S	20,000	17.22	n/a	n/a	900.00	4.5
⌘ BUCK CAPITAL MGMT		SUMR	B	8,000	4.33	n/a	n/a	non-brg	1.0
T. ROWE PRICE	033	TEL	B	1,500	33.00	n/a	n/a	60.00	4.0
BUCK CAPITAL MGMT	001	TGT	B	20,000	52.04	n/a	20-Aug	800.00	4.0
DISCIPLINED GROWTH INV.	030	TJX	S	9,900	35.68	11-Aug	12-Aug	495.00	5.0
⌘ BUCK CAPITAL MGMT		ULTA	S	25,000	11.94	n/a	n/a	non-brg	4.0
MERRILL ASSET	032	UTI	S	50,000	16.99	n/a	n/a	2,250.00	4.5
MS PRINCIPAL STRATEGIES	041	WLK	SS	30,700	19.52	n/a	n/a	1,535.00	5.0
MTB INV ADV	034	WMS	B	12,850	31.90	n/a	n/a	642.50	5.0
BUCK CAPITAL MGMT	001	WRC	S	30,000	49.63	8-Aug	8-Aug	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		WSM+WC	SS	1,000	0.67	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	WWW	SS	30,000	26.01	n/a	13-Mar	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		WYNN	SS	5,000	92.37	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		XRT	SS	8,800	31.45	n/a	n/a	non-brg	1.0

TOTAL (Trade Date):

106,923.76

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	08/19	SENATOR INVESTMENT GROUP	067	EXC	B	7,500	73.84	n/a	n/a	300.00 4.0
AO,CRT	08/19	SENATOR INVESTMENT GROUP	067	EXC	B	7,500	73.84	n/a	n/a	75.00 1.0
AO,CRT	08/20	NICHOLAS ADVISORS	034	IACID	B	20,000	16.74	n/a	n/a	1000.00 5.0
AO,CXL	08/20	NICHOLAS ADVISORS	034	IACIV	B	20,000	0.00	n/a	n/a	1000.00 5.0
AO,CXL	08/21	REINHART & MAHONEY	031	PL	S	2,350	34.72	n/a	n/a	1.74 0.0
AO,CRT	08/21	REINHART & MAHONEY	031	PL	S	2,350	34.72	n/a	n/a	117.50 5.0
AO,CRT	08/21	COUGAR TRADING	044	PSUN	B	10,000	7.83	n/a	22-Aug	400.00 4.0
AO,CXL	08/21	COUGAR TRADING	044	PSUN	B	10,000	7.83	n/a	22-Aug	500.00 5.0
AO,	08/21	ROXBURY CAPITAL MGMT	035	PVH	S	130,050	34.85	5-May	22-Aug	5202.00 4.0
AO,CRT	08/21	IRONBOUND CAPITAL	012	TIN	S	27,202	16.35	29-Apr	30-Jul	544.04 2.0
AO,CXL	08/21	IRONBOUND CAPITAL	012	TIN	S	27,202	16.35	29-Apr	30-Jul	1088.08 4.0
TOTAL (AO, CXL, CRT) :										4,448.72

TOTAL (Trade Date) :	106,923.76
TOTAL (AO, CXL, CRT) :	4,448.72

TOTAL (RUN DATE) / NFS :	111,372.48
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MRI Securities with Trade Activity on 22-Aug.
NONE

MRI Securities without Trade Activity on 22-Aug.
DKS

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 14%
YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION