



Daily Compliance Activity Report

Trade Date: 06/08/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		AEO	B	25,000	26.01	n/a	8-Jun	non-brg 5.0
FORD FDN.	032	AET	S	22,700	51.87	n/a	n/a	1,135.00 5.0
BALYASNY ASSET MGMT	044	AMG	S	10,000	124.65	25-Apr	7-Jun	500.00 5.0
BUCK CAPITAL MGMT		ANF	C	10,000	78.30	n/a	8-Jun	non-brg 1.0
BUCK CAPITAL MGMT		ANF	C	130,000	78.19	n/a	8-Jun	non-brg 5.0
COUGAR TRADING	044	ANF	B	5,000	78.15	n/a	8-Jun	250.00 5.0
BUCK CAPITAL MGMT		ANN	C	80,000	36.70	25-May	8-Jun	non-brg 5.0
SASCO	038	ASD	B	12,700	57.98	31-May	31-May	635.00 5.0
UNITED STATES PENSION	032	ASN	S	47,400	60.67	n/a	n/a	2,370.00 5.0
DSM CAPITAL PARTNERS	032	BCR	B	7,550	83.79	n/a	22-Apr	453.00 6.0
BUCK	015	BFB	S	250	69.62	n/a	n/a	0.00 0.0
MAZAMA CAPITAL MGMT.	035	BGS	B	30,000	13.70	n/a	n/a	1,200.00 4.0
PRIME LOGIC ADV	039	BIG	SS	10,000	30.35	9-Mar	7-Jun	500.00 5.0
BUCK CAPITAL MGMT		BONT	B	10,000	46.97	25-May	8-Jun	non-brg 5.0
LEDDY	035	BONT	B	300	47.07	25-May	8-Jun	0.00 0.0
BACKUS	005	BTU	B	1,000	51.06	n/a	n/a	0.00 0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	CAKE	S	500	26.62	24-Apr	24-Apr	0.00	0.0
Õ Glenn Redemann		CAM	B	4	0.76	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CAM	B	4	0.81	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CAM	SS	4	1.81	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CAM	SS	4	1.86	n/a	n/a	0.00	0.0
SCHK	046	CAO	S	50,000	18.98	n/a	n/a	2,500.00	5.0
ß BUCK CAPITAL MGMT		CENTA	S	138,600	12.10	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		CENTA	S	25,000	12.12	n/a	n/a	non-brg	0.4
ß BUCK CAPITAL MGMT		CHCG	B	25,000	7.07	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CHCG	B	8,000	6.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CHIC	B	16,000	28.41	n/a	n/a	non-brg	5.0
CAPITAL GROWTH MGMT.	241	CNX	B	50,000	45.44	n/a	n/a	2,500.00	5.0
DSM CAPITAL PARTNERS	032	CSCO	B	26,900	26.25	n/a	22-Mar	1,614.00	6.0
SEAROCK CAPITAL MANAGE	012	CVS	B	50,000	37.60	n/a	n/a	2,500.00	5.0
BUCK	015	CYTC	B	1,250	41.58	21-May	21-May	0.00	0.0
ADAGE CAPITAL MGMT	030	DDS	B	56,400	34.86	n/a	n/a	2,256.00	4.0
SCHK	046	DDS	S	12,500	35.02	n/a	n/a	625.00	5.0
ß BUCK CAPITAL MGMT		DIA	SS	122,400	132.86	n/a	n/a	non-brg	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TROUBH PART	067	DPHIQ	B	50,000	2.60	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	5,000	35.65	7-May	7-Jun	200.00	4.0
Õ Doug Rogers		DURA	B	29	65.00	n/a	n/a	0.00	0.0
Õ Doug Rogers		DURA	B	40	65.00	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	EFA	B	1,000	79.51	n/a	n/a	50.00	5.0
SENECA CAPITAL LP NY	028	EMC	S	104,000	16.46	n/a	n/a	5,200.00	5.0
BACKUS	005	EVST	S	1,000	27.65	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	EZPW	S	30,000	14.05	n/a	n/a	1,500.00	5.0
COUGAR TRADING	044	FDO	B	10,000	33.46	19-Apr	7-Jun	500.00	5.0
THIRD COAST CAPITAL	035	FDO	B	35,000	34.11	19-Apr	7-Jun	1,750.00	5.0
COUGAR TRADING	044	FL	S	5,000	21.16	24-May	24-May	250.00	5.0
B BUCK CAPITAL MGMT		FTEK	SS	20,000	27.63	n/a	n/a	non-brg	5.0
MORGAN LEWIS/MLH	046	F	SS	25,000	8.14	n/a	27-Apr	1,250.00	5.0
BUCK CAPITAL MGMT	001	GCO	SS	20,000	50.90	n/a	10-Jan	800.00	4.0
B BUCK CAPITAL MGMT		GIII	B	5,000	18.11	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	GPI	B	10,000	40.47	27-Apr	2-May	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	HBI	SS	1,000	26.98	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		HLYS	B	5,000	29.71	n/a	n/a	non-brg	5.0
BUCK	015	HOLX	SS	260	56.02	n/a	n/a	0.00	0.0
MHR CAPITAL MGMT	067	HPOL	B	14,000	5.31	n/a	n/a	560.00	4.0
BACKUS	005	ICON	S	6,000	22.08	n/a	n/a	0.00	0.0
EFSTATHIOU	044	IVZ	B	200	22.79	26-Apr	8-Jun	0.00	0.0
ADAGE CAPITAL MGMT	030	JCP	SS	70,000	77.77	20-Apr	8-Jun	2,800.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	5,000	78.30	20-Apr	8-Jun	200.00	4.0
COUGAR TRADING	044	JCP	S	5,000	77.31	20-Apr	8-Jun	250.00	5.0
AVESTA CAP	229	KR	S	25,000	29.46	n/a	n/a	1,250.00	5.0
GENERAL ELECTIC CO	033	KR	S	86,079	29.54	n/a	n/a	1,721.58	2.0
BUCK CAPITAL MGMT	001	KSS	B	70,000	72.33	n/a	7-Jun	2,800.00	4.0
US BANCORP	030	KVA	B	12,000	27.56	n/a	n/a	600.00	5.0
DENVER INST. ADV	046	LAZ	S	23,950	50.00	n/a	n/a	958.00	4.0
BUCK	015	LEH	B	125	73.61	n/a	30-May	0.00	0.0
GAULT	063	LESFO	B	30	0.99	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		LLNW	S	1,000	23.00	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JL ADVISORS	028	LLNW	S	2,500	22.63	n/a	n/a	93.75	3.8
RIVER RUN MGMT	031	MCCC	B	18,000	9.52	4-Jun	5-Jun	720.00	4.0
DSM CAPITAL PARTNERS	032	MCO	B	5,550	68.07	n/a	n/a	333.00	6.0
Ⓑ BUCK CAPITAL MGMT		MDTL	C	60,000	14.81	n/a	n/a	non-brg	5.0
Õ Doug Rogers		MIRANT	S	410	45.16	n/a	n/a	0.00	0.0
Õ Doug Rogers		MIRANT	S	573	45.16	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	MS	S	67,000	86.88	22-Mar	30-May	2,750.00	4.3
ROBX	033	MWA	B	25,000	16.01	n/a	n/a	500.00	2.0
LEDDY	035	MZZ	B	1,200	51.97	n/a	n/a	0.00	0.0
IVORY CAPITAL	034	NOK	S	200,000	28.09	n/a	n/a	10,000.00	5.0
CAXTON PART	040	ONNN	SS	100,000	10.72	n/a	n/a	4,000.00	4.0
WHITE PINE CAPITAL	030	PERY	B	250	31.27	n/a	n/a	12.50	5.0
COUGAR TRADING	044	PSUN	B	10,000	20.84	n/a	8-Jun	500.00	5.0
WHITE PINE CAPITAL	030	RCKY	B	400	15.58	n/a	n/a	20.00	5.0
KENNEDY CAPITAL	046	RDEN	B	1,300	23.00	4-May	4-May	52.00	4.0
Ⓑ BUCK CAPITAL MGMT		RVI	S	52,700	18.62	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	RVI	B	225	18.67	n/a	n/a	11.25	5.0
BARR	096	SCOX	B	3,000	1.45	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SHOO	S	44,300	31.51	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		SHOO	S	7,400	31.85	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	30.97	n/a	13-Mar	400.00	4.0
WHITE PINE CAPITAL	030	SM	B	300	37.16	n/a	n/a	15.00	5.0
BACKUS	005	SNEN	B	10,000	2.29	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SPY	SS	103,500	149.80	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	SPY	B	1,000	150.72	n/a	n/a	50.00	5.0
PEREGRINE	030	SSI	B	50,000	20.02	25-May	8-Jun	2,500.00	5.0
WHITE PINE CAPITAL	030	STFC	B	200	31.20	n/a	n/a	10.00	5.0
WHITE PINE CAPITAL	030	STKL	B	600	11.23	n/a	n/a	30.00	5.0
WHITE PINE CAPITAL	030	STSA	B	450	29.99	n/a	n/a	22.50	5.0
DSM CAPITAL PARTNERS	032	STT	B	10,050	66.19	n/a	n/a	603.00	6.0
PALMYRA CAPITAL	048	S	S	24,600	21.95	n/a	n/a	1,230.00	5.0
LISTED ERR	099	S	B	24,600	21.95	n/a	n/a	0.00	0.0
LISTED ERR	099	S	S	24,600	21.93	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	TCB	B	450	27.82	n/a	n/a	22.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD COAST CAPITAL	035	TIF	B	12,000	49.16	1-Jun	1-Jun	600.00	5.0
CASEY CAPITAL	067	TKC	B	5,000	15.23	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		TRLG	B	10,000	18.78	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		TRLG	B	150,000	18.65	n/a	n/a	non-brg	5.0
DSM CAPITAL PARTNERS	032	TROW	B	12,750	49.60	n/a	23-May	765.00	6.0
VAN KAMPEN ADV	031	TXN	B	450,000	35.23	n/a	n/a	18,000.00	4.0
NOLAN	067	TYC	B	20	33.56	8-May	1-Jun	0.00	0.0
WHITE PINE CAPITAL	030	UNT	B	100	61.70	n/a	7-Jun	5.00	5.0
DSG CAPITAL	225	WCRX	B	5,000	16.67	n/a	5-Jun	250.00	5.0
MAZAMA CAPITAL MGMT.	035	WDR	B	79,100	25.00	5-Mar	23-May	3,164.00	4.0
WHITE PINE CAPITAL	030	WDR	B	225	25.11	5-Mar	23-May	11.25	5.0
DSM CAPITAL PARTNERS	032	WFT	B	13,550	52.67	n/a	n/a	813.00	6.0
MAZAMA CAPITAL MGMT.	035	WNG	S	53,475	80.22	n/a	n/a	2,139.00	4.0
MAZAMA CAPITAL MGMT.	035	WXS	B	50,800	33.44	n/a	n/a	2,032.00	4.0
BUCK CAPITAL MGMT	001	ZQK	S	40,000	13.95	9-Mar	5-Jun	1,600.00	4.0
DELTEC ASSET MGMT.	040	ZQK	S	10,000	14.28	9-Mar	5-Jun	500.00	5.0

TOTAL (Trade Date):

98,582.33

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/07	STADIA CAPITAL	035	ANF	SS	50,000	78.74	n/a	8-Jun	2000.00 4.0
AO,CXL	06/07	COUGAR TRADING	044	ARO	S	5,000	44.20	n/a	8-Jun	250.00 5.0
AO,CRT	06/07	SYSTEMATIC FINANCIAL MGT	035	ARO	S	400	43.85	n/a	8-Jun	15.00 3.8
AO,CXL	06/07	SYSTEMATIC FINANCIAL MGT	035	ARO	S	400	43.85	n/a	8-Jun	15.00 3.8
AO,CXL	06/06	WEISS PECK	040	BOW	B	18,400	24.05	n/a	27-Apr	736.00 4.0
AO,CRT	06/06	WEISS PECK	040	BOW	B	18,400	24.05	n/a	27-Apr	552.00 3.0
AO,CRT	06/07	ALLEN & CO	032	DIS	S	71,250	34.13	n/a	n/a	2850.00 4.0
AO,	06/07	LEVIN CAPITAL STRATEGIES	012	EMC	S	10,000	16.53	n/a	n/a	300.00 3.0
AO,	06/07	STADIA CAPITAL	035	RL	S	15,000	95.18	2-Feb	31-May	600.00 4.0
AO,CRT	06/07	PALMYRA CAPITAL	048	S	S	21,277	22.16	n/a	n/a	1063.85 5.0
AO,CXL	06/07	PALMYRA CAPITAL	048	S	S	21,277	22.16	n/a	n/a	1063.85 5.0
AO,CRT	06/07	PILOT ADV	038	UAG	S	1,100	21.25	n/a	9-May	44.00 4.0
AO,CXL	06/07	PILOT ADV	038	UAG	S	1,100	21.25	n/a	9-May	44.00 4.0
AO,	06/07	PILOT ADV	038	UAG	S	44,300	21.25	n/a	9-May	1772.00 4.0
TOTAL (AO, CXL, CRT) :										7,088.00

TOTAL (Trade Date) :

98,582.33

TOTAL (AO, CXL, CRT):

7,088.00

TOTAL (RUN DATE) / NFS:

105,670.33

MRI Securities with Trade Activity on 8-Jun.
NONE

MRI Securities without Trade Activity on 8-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 13%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION