



Daily Compliance Activity Report

Trade Date: 08/21/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EIDELMAN, FINGER & CO	046	ACTI	S	6,000	4.94	n/a	n/a	300.00	5.0
CAPITAL GROWTH MGMT.	241	AMD	B	100,000	23.45	n/a	n/a	5,000.00	5.0
SAC TRADING HOWARD	038	ANF	B	5,000	62.72	6-Apr	12-Aug	250.00	5.0
LISTED ERR	099	ANN	S	400	39.22	6-Jul	14-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	ANN	B	15,000	38.71	6-Jul	14-Aug	600.00	4.0
COUGAR TRADING	044	ARO	B	20,000	25.19	6-Jul	17-Aug	1,000.00	5.0
BARROW HANLEY MEWHINNEY	096	AVZA	S	1,700	4.05	n/a	n/a	0.00	0.0
PEREGRINE	030	AXS	S	30,000	31.36	n/a	n/a	1,200.00	4.0
FIRST INV MGMT CORP	036	B	B	2,400	16.80	n/a	n/a	120.00	5.0
BUCK CAPITAL MGMT	001	BBY	S	10,000	48.01	13-Jun	26-Jun	1.92	0.0
BUCK CAPITAL MGMT	001	BID	S	40,000	31.02	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	BIG	S	25,000	18.29	16-Aug	21-Aug	1,000.00	4.0
BUCK CAPITAL MGMT	001	CC	S	100,000	25.20	12-Apr	19-Jun	4,000.00	4.0
GRANAHAN INV	039	CENT	S	8,100	41.82	3-May	3-Aug	405.00	5.0
DELTEC ASSET MGMT.	040	CHS	B	10,000	24.20	23-Jun	14-Aug	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CL	S	15,000	59.27	25-Jul	25-Jul	600.00	4.0
PEREGRINE	030	CLB	S	9,500	74.50	7-Aug	7-Aug	380.00	4.0
BUCK CAPITAL MGMT	001	COH	S	20,000	30.74	n/a	2-Aug	800.00	4.0
JANA CAPITAL	044	COH	B	50,000	30.64	n/a	2-Aug	2,000.00	4.0
MKT MAKER	099	COST	B	400	51.51	n/a	3-Aug	0.00	0.0
S.A.C. CAPITAL MGMT.	044	COST	S	15,400	51.66	n/a	3-Aug	770.00	5.0
BARROW HANLEY MEWHINNEY	096	EMKR	B	1,000	7.25	n/a	n/a	0.00	0.0
COUGAR TRADING	044	FD	B	10,000	37.10	12-Jun	10-Aug	500.00	5.0
IRON HORSE CAPITAL MGMT	038	FL	B	25,000	24.33	n/a	18-Aug	1,250.00	5.0
COUGAR TRADING	044	GPS	B	10,000	16.86	31-Jul	14-Aug	500.00	5.0
TRELLUS PARTNERS LP	028	GYMB	S	31,300	32.55	10-Aug	16-Aug	1,565.00	5.0
IRON HORSE CAPITAL MGMT	038	IDC	B	7,500	19.03	n/a	n/a	375.00	5.0
CAPITAL GROWTH MGMT.	241	IMO	B	40,000	38.65	n/a	n/a	2,000.00	5.0
CUMBERLAND	038	KR	S	100,000	23.31	n/a	n/a	4,000.00	4.0
TPG-AXON	040	MSFT	S	300,000	25.60	2-Apr	2-Apr	15,000.00	5.0
ROTHSCHILD ASSET	040	MYL	S	71,700	20.66	n/a	20-Aug	3,226.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	NDAQ	B	10,000	28.52	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	ODP	S	10,000	38.97	26-Jun	28-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	OMX	S	10,000	43.26	n/a	15-Apr	400.00	4.0
EFSTATHIOU	044	OPY	S	200	30.05	n/a	n/a	0.00	0.0
FIRST INV MGMT CORP	036	PSO	S	1,100	14.55	n/a	n/a	55.00	5.0
VISIUM/BALY HOWARD	038	PSUN	B	25,000	13.94	3-Aug	11-Aug	1,250.00	5.0
JP MORGAN VENTURES	046	RDEN	B	34,932	15.04	18-Aug	18-Aug	1,746.60	5.0
COUGAR TRADING	044	RL	S	10,000	58.98	16-Jun	9-Aug	500.00	5.0
EGS ASSOCIATES LP	059	SKX	B	3,000	22.48	n/a	2-Aug	180.00	6.0
MORGENS WATERFALL	040	SWK	S	30,000	47.11	n/a	n/a	1,500.00	5.0
GALLEON MGMT LP	033	TDW	B	22,000	50.29	n/a	n/a	1,100.00	5.0
LUCHANSKY1	036	TSBK	B	200	37.27	n/a	n/a	0.00	0.0
GOLDMAN SACHS ASSET MGMT	044	VZ	B	116,599	34.54	31-Jul	7-Aug	2,331.98	2.0
BALYASNY ASSET MGMT	044	WB	B	20,000	55.14	n/a	n/a	1,000.00	5.0
COUGAR TRADING	044	WRNC	B	10,000	19.03	9-Aug	14-Aug	500.00	5.0

TOTAL :

60,407.00

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,	BUCK CAPITAL MGMT (BUCK)	015	AB	S	1,000	65.00	9-Aug	18-Aug	0.00	0.0
AO,	SCHRODER (SCAB715900)	032	ACLS	S	1,100	5.93	n/a	n/a	22.00	2.0
AO,	SCHRODER (SCAB722240)	032	ACLS	S	26,700	5.93	n/a	n/a	534.00	2.0
AO,	SCHRODER (SCAB723810)	032	ACLS	S	12,200	5.93	n/a	n/a	244.00	2.0
AO,	SCHRODER (SCAB729900)	032	ACLS	S	12,200	5.93	n/a	n/a	244.00	2.0
AO,	SCHRODER (SCAB224155)	032	ACLS	S	100	5.93	n/a	n/a	2.00	2.0
AO,	SCHRODER (SCAB833270)	032	ACLS	S	50,800	5.93	n/a	n/a	1,016.00	2.0
AO,	SCHRODER (SCAB584290)	032	ACLS	S	2,300	5.93	n/a	n/a	46.00	2.0
AO,	SCHRODER (SCAB726070)	032	ACLS	S	600	5.93	n/a	n/a	12.00	2.0
AO,	SCHRODER (SCAB722241)	032	ACLS	S	1,400	5.93	n/a	n/a	28.00	2.0
AO,	SCHRODER (SCAB726010)	032	ACLS	S	42,600	5.93	n/a	n/a	852.00	2.0
AO,	SONAR CAP MGMT (SONAMORGAN)	037	AMD	S	50,000	22.73	n/a	n/a	2,500.00	5.0
AO,CXL	JANA CAPITAL (JANAABN AM)	044	ANDW	S	79,400	8.82	n/a	n/a	3,970.00	5.0
AO,	JANA CAPITAL (JANAABN AM)	044	ANDW	S	79,400	8.92	n/a	n/a	3,176.00	4.0
AO,CRT	LISTED ERR (LISTED ERR)	099	ANN	B	400	40.30	6-Jul	14-Aug	0.00	0.0
AO,	DIAMONDBACK CAP MGMT (DIAMMORGAN)	232	BJS	S	20,000	36.02	n/a	n/a	1,000.00	5.0
AO,CXL	IRONBOUND CAPITAL (IROBGs)	012	BOW	S	54,900	22.60	13-Jul	13-Jul	0.00	0.0
AO,	IRONBOUND CAPITAL (IROBGs)	012	BOW	S	54,900	22.60	13-Jul	13-Jul	2,745.00	5.0
AO,	MAZAMA CAPITAL MGMT. (MAZAUMSCFU)	035	GHL	B	200	56.04	n/a	n/a	8.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAILLINO)	035	GHL	B	300	56.04	n/a	n/a	12.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZACALEND)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	MAZAMA CAPITAL MGMT. (MAZASHRINE)	035	GHL	B	200	56.04	n/a	n/a	8.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZADIASMC)	035	GHL	B	200	56.04	n/a	n/a	8.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZASIMT)	035	GHL	B	200	56.04	n/a	n/a	8.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZACNF)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZASIIISM)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZASJCERA)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAWAMU)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZASIIIT)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZASIMTSM)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAOPERSM)	035	GHL	B	300	56.04	n/a	n/a	12.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAREGENT)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAXCEL)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAURS)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZACHP)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZASAST)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZADEKALB)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZASTANCE)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAROCKDB)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAVISION)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZANWAIRD)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAAHSD)	035	GHL	B	200	56.04	n/a	n/a	8.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZASFERS)	035	GHL	B	300	56.04	n/a	n/a	12.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAMASSPR)	035	GHL	B	300	56.04	n/a	n/a	12.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAROCKDC)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAGMILLS)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZALACERA)	035	GHL	B	200	56.04	n/a	n/a	8.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAAHRT)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAMNAPP)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAILTRSS)	035	GHL	B	100	56.04	n/a	n/a	4.00 4.0
AO,	MAZAMA CAPITAL MGMT. (MAZALAMCO)	035	GHL	B	200	56.04	n/a	n/a	8.00 4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	MAZAMA CAPITAL MGMT. (MAZANWAIRD)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAILTRSP)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZACHPRT)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZANORTEI)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZABAYER)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZANYPP F)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZADEERE)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZADESERE)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZAPPSCGF)	035	GHL	B	200	56.04	n/a	n/a	8.00	4.0
AO,	MAZAMA CAPITAL MGMT. (MAZASBCERA)	035	GHL	B	100	56.04	n/a	n/a	4.00	4.0
AO,	DIAMONDBACK CAP MGMT (DIAMMORGAN)	232	GPS	B	50,000	16.66	31-Jul	14-Aug	2,500.00	5.0
AO,	DIAMONDBACK CAP MGMT (DIAMMORGAN)	232	HES	B	20,000	48.42	n/a	n/a	1,000.00	5.0
AO,	SIGMA CAPITAL ASSOCIATES (SIGMGOLDMA)	034	NSM	S	25,000	23.38	n/a	n/a	1,250.00	5.0
AO,	SOLARIS INV MGMT (SOLA26)	036	OI	S	200	13.87	n/a	n/a	12.00	6.0
AO,CXL	SOLARIS INV MGMT (SOLA26)	036	OI	S	100	13.87	n/a	n/a	6.00	6.0
AO,	DIAMONDBACK CAP MGMT (DIAMMORGAN)	232	SCT	B	25,000	7.49	n/a	n/a	1,250.00	5.0
AO,	DIAMONDBACK CAP MGMT (DIAMMORGAN)	232	SLB	B	30,000	63.62	n/a	n/a	1,500.00	5.0
AO,	WILLOW CREEK (WILLPEQU)	033	WCI	S	5,000	15.11	n/a	n/a	225.00	4.5
AO,	SIGMA CAPITAL ASSOCIATES (SIGMGOLDMA)	034	XLNX	S	25,000	22.59	n/a	n/a	1,250.00	5.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.