



Daily Compliance Activity Report

Trade Date: 04/27/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	B	5,000	124.52	n/a	n/a	non-brg	1.0
COLUMBUS CIRCLE	036	AIQ	S	9,423	7.72	n/a	n/a	376.92	4.0
f MARC PAUL LUCHANSKY	FID	AMR	S	2000	4.54	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AMT	S	25,000	32.61	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	ANN	C	30,000	6.57	n/a	30-Jan	1,200.00	4.0
BUCK CAPITAL MGMT	001	ARO	SS	50,000	33.11	n/a	13-Apr	2,000.00	4.0
FORD FDN.	032	AXP	B	60,000	24.71	n/a	24-Apr	3,000.00	5.0
FORD FDN.	032	BEN	S	25,000	61.13	7-Apr	21-Apr	1,250.00	5.0
NEWCASTLE FUNDS	033	BWA	S	25,000	28.34	12-Mar	20-Apr	1,000.00	4.0
B BUCK CAPITAL MGMT		BYD	S	50,000	7.64	28-Jan	28-Feb	non-brg	2.5
f JOHN CAREY MAGUIRE	FID	BYD	S	900	7.47	28-Jan	28-Feb	0.00	0.0
Ö Steven Maguire		BYD	S	10000	8.00	28-Jan	28-Feb	0.00	0.0
Ö Steven Maguire		BYD	S	15000	8.00	28-Jan	28-Feb	0.00	0.0
Ö Steven Maguire		BYD	S	25000	8.00	28-Jan	28-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	CAB	B	60,000	11.95	n/a	n/a	2,400.00	4.0
B BUCK CAPITAL MGMT		CAB	C	40,000	11.93	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		CBRL	C	40,000	33.24	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		CCI	S	50,000	23.13	n/a	n/a	non-brg	4.0
STERLING JOHNSTON	035	CENT	B	50,000	9.26	5-Feb	5-Feb	2,000.00	4.0
CATAPULT	040	CHD	B	37,500	53.66	27-Apr	27-Apr	1,500.00	4.0
NEWCASTLE FUNDS	033	CHD	S	60,700	53.62	27-Apr	27-Apr	2,428.00	4.0
BUCK CAPITAL MGMT	001	CHS	B	20,000	7.16	5-Dec	30-Jan	600.00	3.0
ß BUCK CAPITAL MGMT		CIT	B	100,000	2.46	23-Apr	24-Apr	non-brg	2.0
MILLENIUUM PARTNERS	028	CMCSA	B	54,170	13.68	n/a	4-Jan	2,166.80	4.0
MILLENIUUM PARTNERS	028	CMCSA	S	4,170	13.75	n/a	4-Jan	166.80	4.0
ß BUCK CAPITAL MGMT		CMG	C	10,000	81.92	23-Apr	23-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		CMG	C	30,000	82.97	23-Apr	23-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		COLM	SS	30,000	32.22	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		COLM	SS	50,000	31.29	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	CRI	B	20,000	22.71	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		CWTR	B	20,000	2.96	n/a	n/a	non-brg	3.0
f ANTHONY NG	FID	C	B	50	3.09	n/a	24-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		DAL	S	6,450	7.18	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DAL	S	93,550	6.77	n/a	n/a	non-brg	3.0
SANDLER O'NEILL ASSET	031	DFS	B	50,000	8.37	n/a	24-Apr	1,500.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DHI	S	5,000	12.98	n/a	n/a	non-brg	1.0
Õ Steven Maguire		DHI	S	11000	12.97	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	DKS	C	30,000	18.74	27-Apr	27-Apr	1,500.00	5.0
BUCK CAPITAL MGMT	001	DPZ	B	15,000	8.89	n/a	24-Apr	450.00	3.0
ß BUCK CAPITAL MGMT		DPZ	B	15,000	8.90	n/a	24-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		DRI	C	15,000	38.38	19-Mar	19-Mar	non-brg	1.0
f JASON ERIC COHEN	FID	DRYS	S	100	6.54	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		EAT	C	20,000	17.81	n/a	24-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		EAT	C	40,000	18.35	n/a	24-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	ESI	SS	30,000	99.90	n/a	n/a	1,200.00	4.0
f JOHN MICHAEL DEMARTI	FID	ETN	S	200	43.02	21-Apr	21-Apr	0.00	0.0
PHILADELPHIA FINANCIAL	033	EV	SS	79,162	25.74	7-Apr	20-Apr	3,166.48	4.0
NOMURA CAPITAL	045	FDML	S	5,500	11.00	n/a	n/a	275.00	5.0
ß BUCK CAPITAL MGMT		FDO+RF[FDO]	SS	1,000	0.85	n/a	n/a	non-brg	100.0
f DAVID GEORGE BUCK	FID	FHUJD	S	45	1.30	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FO+RF[FO]	SS	1,000	1.05	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		FO	C	100,000	36.48	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MITCHELL JAMES SPEIS	FID	FSNXX	S	30000	1.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	B	10,000	21.35	n/a	10-Jan	400.00	4.0
B BUCK CAPITAL MGMT		GES	B	40,000	24.37	n/a	n/a	non-brg	4.0
TROUBH PART	067	GE	SS	35,000	12.07	n/a	n/a	1,750.00	5.0
f JASON ERIC COHEN	FID	GLW	S	80	15.88	27-Mar	27-Apr	0.00	0.0
f JOHN MICHAEL DEMARTI	FID	GLW	S	2000	16.03	27-Mar	27-Apr	0.00	0.0
f ROBERT M DANIELS	FID	GSI	S	500	5.50	n/a	n/a	0.00	0.0
GRUBER MACBAINE	033	GS	S	5,000	123.87	n/a	24-Apr	200.00	4.0
B BUCK CAPITAL MGMT		HK	S	100,000	23.11	n/a	n/a	non-brg	4.0
MAIRS AND POWER INV	030	HON	B	20,000	30.84	n/a	24-Apr	1,000.00	5.0
B BUCK CAPITAL MGMT		HOT	SS	11,100	19.07	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		IMAX	B	70,000	6.17	n/a	n/a	non-brg	2.5
KENNEDY CAPITAL	046	IPG	B	321,230	5.55	n/a	n/a	9,636.90	3.0
B BUCK CAPITAL MGMT		JBLU	S	100,000	4.96	n/a	n/a	non-brg	1.0
f DAVID GEORGE BUCK	FID	JPMLJ	S	10	1.66	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	JWN	B	25,000	21.86	20-Feb	13-Apr	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⌘ BUCK CAPITAL MGMT		LEN	S	40,000	10.41	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	LTD	B	50,000	11.01	8-Jan	30-Jan	2,000.00 4.0
⌘ BUCK CAPITAL MGMT		LVS	S	100,000	7.04	n/a	n/a	non-brg 3.0
⌘ BUCK CAPITAL MGMT		LVS	S	168,847	6.88	n/a	n/a	non-brg 3.0
⌘ BUCK CAPITAL MGMT		LVS	S	31,153	7.00	n/a	n/a	non-brg 1.0
⌘ BUCK CAPITAL MGMT		MDTL	C	5,000	0.32	n/a	n/a	non-brg 1.0
⌘ BUCK CAPITAL MGMT		MHK+RH [MHK]	SS	1,000	2.60	n/a	n/a	non-brg 300.0
⌘ BUCK CAPITAL MGMT		MHK+RV [MHK]	SS	1,000	1.35	n/a	n/a	non-brg 300.0
CATAPULT	040	MMM	S	55,000	56.97	24-Apr	24-Apr	2,750.00 5.0
LISTED ERR	099	MMM	B	55,000	56.97	24-Apr	24-Apr	0.00 0.0
LISTED ERR	099	MMM	SS	55,000	56.97	24-Apr	24-Apr	0.00 0.0
⌘ BUCK CAPITAL MGMT		MVRX	SS	180,000	120.40	n/a	n/a	non-brg 12.0
⌘ BUCK CAPITAL MGMT		MW+TV [MW]	SS	1,000	1.00	n/a	n/a	non-brg 300.0
COUGAR TRADING	044	M	B	10,000	12.99	n/a	13-Apr	500.00 5.0
TROUBH PART	067	M	SS	50,000	12.85	n/a	13-Apr	2,500.00 5.0
⌘ BUCK CAPITAL MGMT		OMX	B	50,000	5.95	n/a	15-Apr	non-brg 3.0
⌘ BUCK CAPITAL MGMT		ORLY	C	50,077	38.34	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		OTP HB	SS	100,000	11.43	n/a	n/a	non-brg 3.4

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	PAQEG	S	3	2.60	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PENN	S	30,000	31.30	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PKG	C	20,000	16.25	8-Apr	21-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		PNK	S	17,500	11.59	n/a	n/a	non-brg	1.0
Õ Steven Maguire		PNK	S	10000	11.65	n/a	n/a	0.00	0.0
Õ Steven Maguire		PNK	S	7500	11.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		QUK+FL[DECK]	SS	350	4.20	n/a	n/a	non-brg	300.0
f STEFFANIE CARMELA CA	FID	RAD	B	200	0.98	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	RTH	SS	75,000	78.97	n/a	n/a	3,750.00	5.0
ß BUCK CAPITAL MGMT		RYL	S	51,700	24.12	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SBAC	S	50,000	25.03	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	SDS	B	600	66.17	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SLM	B	100,000	4.50	23-Apr	23-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		SLM	B	100,000	4.50	23-Apr	23-Apr	non-brg	2.0
COUGAR TRADING	044	SMG	B	10,800	38.62	5-Feb	15-Apr	540.00	5.0
COUGAR TRADING	044	SMG	S	7,500	37.49	5-Feb	15-Apr	375.00	5.0
f JASON ERIC COHEN	FID	SNCR	S	70	13.92	n/a	n/a	0.00	0.0

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TROUBH PART	067	STT	SS	10,000	36.54	n/a	n/a	500.00	5.0
KAYN	048	SYNT	S	23,200	26.77	n/a	n/a	928.00	4.0
SIGMA CAPITAL ASSOCIATES	041	TGT	B	25,000	39.54	25-Feb	22-Apr	1,250.00	5.0
COUGAR TRADING	044	TJX	S	5,000	26.70	n/a	13-Apr	250.00	5.0
ß BUCK CAPITAL MGMT		TQQ+RF [COLM]	SS	1,000	1.55	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		TUP	S	50,000	24.03	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		UAUA	S	19,360	5.86	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		UAUA	S	80,640	5.46	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	VFC	SS	30,000	66.81	n/a	n/a	1,200.00	4.0
SYSTEMATIC FINANCIAL MGT	035	VFC	S	194,200	66.95	n/a	n/a	6,797.03	3.5
BALYASNY ASSET MGMT	044	WBC	B	15,000	15.87	5-Feb	27-Apr	750.00	5.0
f JASON ERIC COHEN	FID	WFC	S	40	20.66	n/a	n/a	0.00	0.0
COUGAR TRADING	044	WRC	B	5,000	27.50	20-Apr	20-Apr	250.00	5.0
BUCK CAPITAL MGMT	001	WSM	B	50,000	14.03	n/a	n/a	2,000.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	WSM	B	500	13.55	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WYNN	SS	20,000	37.66	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WYNN	SS	40,000	37.75	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	XLP	SS	92,000	21.63	n/a	n/a	3,680.00	4.0
TOTAL (Trade Date):								73,236.93	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/24	HIGHBRIDGE CAPITAL	038	LPX	S	350,000	4.11	3-Mar	20-Apr	7000.00 2.0
AO,CXL	04/24	HIGHBRIDGE/MS OLDS	041	LPX	S	350,000	4.11	3-Mar	20-Apr	17500.00 5.0
AO,CRT	04/22	JMP ASSET MGMT	210	NFLX	S	3,200	46.80	n/a	n/a	160.00 5.0
AO,CXL	04/22	JMP ASSET MGMT	210	NFLX	S	3,200	48.80	n/a	n/a	160.00 5.0
TOTAL (AO, CXL, CRT) :										-10,500.00

TOTAL (Trade Date) :	73,236.93
TOTAL (AO, CXL, CRT) :	-10,500.00

TOTAL (RUN DATE) / NFS:	62,736.93
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MRI Securities with Trade Activity on 27-Apr.
CHD, DKS

MRI Securities without Trade Activity on 27-Apr.
FL, GYMB

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 12%
YTD = 22%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)