



Daily Compliance Activity Report

Trade Date: 07/23/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f COLE THOMAS KAICHER	FID	AAPL	B	11	257.25	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	AB	B	550	26.70	n/a	n/a	0.00	0.0
WAGONHOUND/NIC	289	AEIS	S	114	17.14	n/a	n/a	4.56	4.0
EXIS CAPITAL	044	AEO	B	10,000	12.78	n/a	9-Jul	500.00	5.0
WAGONHOUND/NIC	289	AIN	S	122	17.95	n/a	n/a	4.88	4.0
BMO NESBITT BURNS	041	ALV	S	3,500	54.74	n/a	23-Jul	175.00	5.0
LEVIN CAPITAL STRATEGIES	012	ALV	B	10,000	55.01	n/a	23-Jul	300.00	3.0
f CHRISTOPHER ROBERT C	FID	AMG	S	100	65.52	n/a	2-Mar	0.00	0.0
BENCHMARK	025	AMZN	B	2,500	112.29	n/a	n/a	100.00	4.0
B BUCK CAPITAL MGMT		AMZN	C	10,000	109.82	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ANN	S	10,000	17.54	n/a	n/a	non-brg	0.8
PHILADELPHIA FINANCIAL	033	AN	C	25,000	22.78	23-Jul	23-Jul	1,000.00	4.0
COPIA CAPITAL	031	APA	SS	31,100	92.46	n/a	21-Jul	1,088.50	3.5
SOROS	036	APA	S	50,000	89.32	n/a	21-Jul	2,000.00	4.0
YORK CAPITAL	036	APA	S	128,571	91.04	n/a	21-Jul	38.57	0.0
MADISON ST PARTNERS LLC	046	APC	B	25,000	48.90	n/a	19-Jul	1,246.32	4.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ISLE RIDGE PARTNERS	041	ARM	B	800	15.00	n/a	n/a	32.00	4.0
BALYASNY ASSET MGMT	044	ARO	B	25,000	30.44	n/a	9-Jul	1,250.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	50,000	30.71	n/a	9-Jul	2,000.00	4.0
BUCK CAPITAL MGMT		ARO	S	10,000	30.84	n/a	9-Jul	non-brg	0.8
EXIS CAPITAL	044	ARO	SS	10,000	30.67	n/a	9-Jul	500.00	5.0
WAGONHOUND/NIC	289	ARUN	S	137	17.43	n/a	n/a	5.48	4.0
BUCK CAPITAL MGMT		AVP	B	20,000	28.88	n/a	12-Jul	non-brg	4.0
BUCK CAPITAL MGMT		AVY	S	27,000	37.00	n/a	23-Jul	non-brg	0.8
S.A.C. HEALTHCARE BOBBY	044	AZN	SS	20,000	48.50	n/a	n/a	700.00	3.5
JOEL GIFFORD TISS	FID	BEC	B	1500	46.00	n/a	n/a	0.00	0.0
JOEL GIFFORD TISS	FID	BEC	S	1500	47.54	n/a	n/a	0.00	0.0
CATAPULT	040	BEZ	SS	1,904	37.74	n/a	n/a	95.20	5.0
BUCK CAPITAL MGMT		BJ	C	18,500	45.91	n/a	n/a	non-brg	0.8
BUCK CAPITAL MGMT		BJ	C	31,500	45.69	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BJ	C	40,000	46.18	n/a	n/a	non-brg	4.0
GREEN ARROW DOUG	040	BLL	B	10,000	56.26	n/a	n/a	500.00	5.0
CATAPULT	040	BMS	B	5,346	29.69	n/a	n/a	267.30	5.0
BUCK CAPITAL MGMT		BONT	B	20,000	8.39	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EGS ASSOCIATES LP	091	BONT	B	2,237	8.69	n/a	n/a	134.22	6.0
GLOBAL 56 LLC	060	BONT	B	2,629	8.80	n/a	n/a	105.16	4.0
CATAPULT	040	BTU	SS	787	45.21	n/a	n/a	39.35	5.0
WEDBUSH/PACIFIC GROWTH EQTY LLC	084	BUCY	SS	10,000	59.81		n/a 30-Apr	500.00	5.0
BASIX	033	BWA	S	10,000	43.03	n/a	23-Jul	300.00	3.0
BMO NESBITT BURNS	041	BWA	B	4,500	41.97	n/a	23-Jul	225.00	5.0
ISLE RIDGE PARTNERS	041	BWA	B	10,000	42.75	n/a	23-Jul	400.00	4.0
TRELLUS PARTNERS LP	028	BWA	B	400	41.87	n/a	23-Jul	20.00	5.0
B BUCK CAPITAL MGMT		BWLD	B	30,000	41.82	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CAKE	C	50,000	24.61	23-Jul	23-Jul	non-brg	4.0
CATAPULT	040	CBE	SS	1,244	45.39	n/a	22-Jul	62.20	5.0
FRONTIER	048	CBI	B	103,390	20.69	n/a	n/a	4,135.60	4.0
f COLE THOMAS KAICHER	FID	CGI	S	150	15.20	n/a	n/a	0.00	0.0
CATAPULT	040	CHK	S	2,374	21.46	n/a	n/a	118.70	5.0
SOROS	036	CHK	SS	300,000	21.40	n/a	n/a	12,000.00	4.0
f NICOLAS EVAN PAKLER	FID	CHRS	B	1040	4.07	n/a	n/a	0.00	0.0
f COLE THOMAS KAICHER	FID	CJR	S	18	19.37	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	CL	S	500	83.39	n/a	18-May	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
1798 CAP/LOMBARD ASSET	040	CMI	S	3,000	76.72	n/a	1-Jul	150.00	5.0
B BUCK CAPITAL MGMT		CNW	S	10,000	33.52	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		COF	B	25,100	39.92	n/a	23-Jul	non-brg	4.0
OZ MGMT	040	COF	C	6,900	39.97	n/a	23-Jul	207.00	3.0
B BUCK CAPITAL MGMT		COST	C	70,000	55.43	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		COST	C	9,400	55.93	n/a	n/a	non-brg	4.0
CATAPULT	040	CRK	S	1,531	26.16	n/a	n/a	76.55	5.0
TRUST CO/COWEN/CALF.	040	CRM	S	18,667	99.09	n/a	n/a	933.35	5.0
CATAPULT	040	CRR	SS	1,025	78.36	n/a	19-Jul	51.25	5.0
CATAPULT	040	CTB	B	3,600	21.95	n/a	n/a	180.00	5.0
MTB INV ADV	034	CTB	B	27,500	22.53	n/a	n/a	1,375.00	5.0
OZ MGMT	040	CTCM	S	230,444	17.74	n/a	n/a	6,913.32	3.0
f JONATHAN RICHARD HAR	FID	CVGI	B	500	10.17	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	CYT	B	6,500	50.83	n/a	n/a	325.00	5.0
f JONATHAN RICHARD HAR	FID	C	B	2000	4.04	n/a	19-Jul	0.00	0.0
CATAPULT	040	DAN	B	5,757	11.41	n/a	23-Jul	287.85	5.0
B BUCK CAPITAL MGMT		DBRN	B	30,000	23.83	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		DECK	B	15,000	48.45	n/a	n/a	non-brg	4.0
f NICOLAS EVAN PAKLER	FID	DEER	B	400	7.81	n/a	n/a	0.00	0.0
MISSION POINT	048	DHR	SS	5,000	37.70	n/a	n/a	250.00	5.0
CATAPULT	040	DOV	B	2,673	47.04	n/a	n/a	133.65	5.0
OZ MGMT	040	DOW	C	50,000	26.77	n/a	21-May	1,500.00	3.0
WAGONHOUND/NIC	289	DXCM	S	163	11.40	n/a	n/a	6.52	4.0
CATAPULT	040	ECA	SS	1,520	31.04	n/a	n/a	76.00	5.0
f DAVID GEORGE BUCK	FID	EFA	B	1500	51.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	EL	B	10,000	62.64	n/a	7-Jun	400.00	4.0
B BUCK CAPITAL MGMT		EL	C	10,000	61.53	n/a	7-Jun	non-brg	4.0
CATAPULT	040	EQT	S	2,634	37.47	n/a	n/a	131.70	5.0
MISSION POINT	048	ETN	B	6,000	75.43	n/a	21-Jul	300.00	5.0
BUCK CAPITAL MGMT	001	FDO	SS	20,000	39.35	n/a	7-Jul	800.00	4.0
B BUCK CAPITAL MGMT		FINL	B	5,200	14.65	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		FINL	B	64,800	14.78	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		FL	S	20,000	14.10	n/a	16-Jun	non-brg	0.8

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND/NIC	289	FNSR	S	181	16.90	n/a	n/a	7.24	4.0
BALYASNY ASSET MGMT	044	F	S	40,000	12.67	n/a	23-Jul	2,000.00	5.0
Ⓑ BUCK CAPITAL MGMT		F	S	75,000	12.66	n/a	23-Jul	non-brg	0.8
OZ MGMT	040	F	B	100,000	12.72	n/a	23-Jul	3,000.00	3.0
SF SENTRY	085	F	S	20,000	12.50	n/a	23-Jul	1,000.00	5.0
SLS CAPITAL LLC	224	F	B	43,500	12.54	n/a	23-Jul	1,740.00	4.0
TRELLUS PARTNERS LP	028	F	B	70,000	12.48	n/a	23-Jul	3,500.00	5.0
OZ MGMT	040	GA	C	33,100	7.06	n/a	n/a	993.00	3.0
Ⓑ BUCK CAPITAL MGMT		GES	S	10,000	37.53	n/a	19-Jul	non-brg	0.8
f CHRISTOPHER ROBERT C	FID	GES	B	200	37.43	n/a	19-Jul	0.00	0.0
Ⓑ BUCK CAPITAL MGMT		GIL	S	10,000	30.19	n/a	19-Jul	non-brg	4.0
f MANNY I KORMAN	FID	GLW	B	5000	18.05	n/a	22-Jul	0.00	0.0
SCOPUS FUND	041	GNTX	SS	100,000	19.99	n/a	23-Jul	5,000.00	5.0
BUCK CAPITAL MGMT	001	GPS	S	30,000	18.25	n/a	n/a	1,200.00	4.0
Ⓑ BUCK CAPITAL MGMT		GYMB	S	10,000	44.69	n/a	9-Jul	non-brg	4.0
EXIS CAPITAL	044	GYMB	SS	5,000	44.26	n/a	9-Jul	250.00	5.0
Ⓑ BUCK CAPITAL MGMT		HBI	B	10,000	24.36	n/a	22-Jul	non-brg	4.0
Ⓑ BUCK CAPITAL MGMT		HBI	B	10,000	24.39	n/a	22-Jul	non-brg	2.0
MISSION POINT	048	HON	B	3,000	43.16	n/a	31-Mar	150.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		HOT	SS	20,000	47.35	n/a	n/a	non-brg	4.0
ISLE RIDGE PARTNERS	041	IP	B	7,600	24.74	n/a	19-Jul	304.00	4.0
CATAPULT	040	ITW	SS	1,399	42.71	n/a	21-Jul	69.95	5.0
DIAMONDBACK CAP MGMT	232	ITW	B	40,000	43.25	n/a	21-Jul	2,000.00	5.0
GREEN ARROW DOUG	040	IWM	SS	5,000	63.15	n/a	n/a	250.00	5.0
OZ MGMT	040	IWM	C	57,000	63.48	n/a	n/a	1,710.00	3.0
⌘ BUCK CAPITAL MGMT		JAH	S	5,000	29.98	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	JCG	B	20,000	36.22	n/a	n/a	800.00	4.0
MISSION POINT	048	JCI	B	4,000	28.48	n/a	23-Jul	200.00	5.0
⌘ BUCK CAPITAL MGMT		JCP	C	13,300	24.93	n/a	9-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JCP	C	250,000	24.95	n/a	9-Jul	non-brg	4.0
ISLE RIDGE PARTNERS	041	JNY	B	10,000	16.02	n/a	19-Jul	400.00	4.0
⌘ BUCK CAPITAL MGMT		JWN	S	10,000	34.03	n/a	9-Jul	non-brg	0.8
⌘ BUCK CAPITAL MGMT		KNX	S	10,000	21.90	n/a	n/a	non-brg	4.0
ISLE RIDGE PARTNERS	041	KNX	SS	10,000	21.78	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	10,000	48.45	n/a	9-Jul	non-brg	4.0
SONTERRA CAP	041	LCC	S	50,000	10.19	n/a	n/a	2,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	LEA	S	5,400	73.02	n/a	23-Jul	162.00	3.0
THIRD COAST CAPITAL	035	LEA	B	5,000	74.25	n/a	23-Jul	250.00	5.0
BUCK CAPITAL MGMT	001	LEG	B	40,000	21.22	n/a	n/a	1,600.00	4.0
WAGONHOUND/NIC	289	LOGM	S	109	28.13	n/a	n/a	4.36	4.0
PARTNER REINSURANCE	033	LOW	S	8,800	20.77	n/a	17-May	352.00	4.0
BMO NESBITT BURNS	041	LPX	S	12,000	7.95	n/a	19-Jul	960.00	8.0
CATAPULT	040	LULU	B	1,911	40.51	n/a	n/a	95.55	5.0
B BUCK CAPITAL MGMT		LVS	SS	50,000	24.64	n/a	7-Jul	non-brg	0.8
B BUCK CAPITAL MGMT		LVS	SS	50,000	25.40	n/a	7-Jul	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	MCD	S	18,800	69.35	n/a	23-Jul	752.00	4.0
OZ MGMT	040	MCD	B	40,000	70.87	n/a	23-Jul	1,200.00	3.0
EVERCORE WEALTH MGMT	012	MCK	B	8,950	65.54	n/a	n/a	447.50	5.0
CATAPULT	040	MOLX	B	5,950	19.54	n/a	n/a	297.50	5.0
f CHRISTOPHER ROBERT C	FID	MON	B	100	58.37	n/a	1-Jul	0.00	0.0
f DAVID GEORGE BUCK	FID	MSFT	S	3000	25.62	n/a	n/a	0.00	0.0
CATAPULT	040	MTW	SS	5,684	10.10	n/a	30-Apr	284.20	5.0
DELTEC ASSET MGMT.	040	MTW	B	16,333	10.02	n/a	30-Apr	653.32	4.0

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EXIS CAPITAL	044	M	B	35,000	19.24	n/a	9-Jul	1,750.00	5.0
f KAREN F LEDDY	FID	NBRXF	B	1500	12.03	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		NFLX	SS	15,000	108.03	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		NTY	S	10,000	54.07	n/a	n/a	non-brg	4.0
f NICOLAS EVAN PAKLER	FID	ORLY	B	90	48.37	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PAG	B	50,000	13.31	n/a	23-Jul	non-brg	4.0
WAGONHOUND/NIC	289	PAG	B	7,500	12.97	n/a	23-Jul	300.00	4.0
CATAPULT	040	PCAR	B	2,574	45.74	n/a	23-Jun	128.70	5.0
B BUCK CAPITAL MGMT		PFCB	SS	20,000	43.14	n/a	8-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		PLCE	S	10,000	46.03	n/a	3-Jun	non-brg	0.8
BUCK CAPITAL MGMT	001	PSS	S	30,000	16.04	n/a	n/a	1,200.00	4.0
ELM RIDGE ADVISORS	044	PTV	SS	145,500	31.00	n/a	n/a	5,820.00	4.0
BUCK CAPITAL MGMT	001	PVH	S	30,000	50.69	n/a	19-Jul	1,200.00	4.0
CATAPULT	040	PWR	SS	2,741	21.83	n/a	n/a	137.05	5.0
f DAVID GEORGE BUCK	FID	RYN	B	1500	48.60	n/a	19-Jul	0.00	0.0
CATAPULT	040	SEE	B	7,821	22.36	n/a	n/a	391.05	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WAGONHOUND/NIC	289	SHFL	S	262	8.69	n/a	n/a	7.86	3.0
WAGONHOUND/NIC	289	SHOO	S	88	35.40	n/a	n/a	3.52	4.0
FIRST NEW YORK NICK	029	SIRI	S	10,000	0.98	n/a	n/a	500.00	5.0
WAGONHOUND/NIC	289	SIRO	S	103	31.24	n/a	n/a	4.12	4.0
B BUCK CAPITAL MGMT		SKX	B	40,000	34.03	n/a	n/a	non-brg	4.0
COPIA CAPITAL	031	SLB	SS	52,800	58.75	n/a	n/a	1,848.00	3.5
ISLE RIDGE PARTNERS	041	SNA	B	1,100	44.41	n/a	n/a	44.00	4.0
GREEN ARROW DOUG	040	SOA	B	20,000	15.00	n/a	n/a	1,000.00	5.0
f DAVID GEORGE BUCK	FID	SPG	S	1500	87.11	n/a	n/a	0.00	0.0
SF SENTRY	085	SSCC	S	5,000	21.58	n/a	19-Jul	250.00	5.0
LAKV	031	SSI	B	22,400	11.21	n/a	n/a	896.00	4.0
FIRST NY SEC/CHRIS	041	STX	B	15,000	12.92	n/a	n/a	750.00	5.0
f CHARLES MAXWELL KAIC	FID	TA	S	500	2.31	n/a	n/a	0.00	0.0
BASIX	033	TEN	S	23,000	24.49	n/a	n/a	690.00	3.0
MELLON/BANK OF NEW YORK	046	TEVA	S	447,451	50.03	n/a	23-Jul	22,372.55	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	TEX	B	3,160	20.31	n/a	23-Jul	158.00	5.0
BMO NESBITT BURNS	041	TIN	S	45,000	22.43	n/a	19-Jul	2,250.00	5.0
SCOPUS FUND	041	TIN	SS	100,000	22.37	n/a	19-Jul	5,000.00	5.0
B BUCK CAPITAL MGMT		TJX	S	10,000	42.81	n/a	9-Jul	non-brg	0.8
EVERCORE WEALTH MGMT	012	TJX	B	5,750	42.85	n/a	9-Jul	287.50	5.0
1798 CAP/LOMBARD ASSET	040	TKR	B	28,000	30.53	n/a	n/a	1,400.00	5.0
EXIS CAPITAL	044	TLB	B	5,000	10.93	n/a	n/a	250.00	5.0
f CHARLES MAXWELL KAIC	FID	TM	S	7	70.97	n/a	n/a	0.00	0.0
CATAPULT	040	TYC	S	4,464	36.37	n/a	3-Jun	223.20	5.0
OZ MGMT	040	UBSI	C	58,668	24.92	n/a	n/a	1,760.04	3.0
f CHRISTOPHER ROBERT C	FID	UFS	S	100	53.20	n/a	n/a	0.00	0.0
CATAPULT	040	UPL	SS	2,075	44.19	n/a	19-Jul	103.75	5.0
CATAPULT	040	URI	B	4,343	12.50	n/a	n/a	217.15	5.0
GREEN ARROW DOUG	040	VCI	B	60,000	30.88	n/a	n/a	3,000.00	5.0
BUCK CAPITAL MGMT	001	VFC	SS	10,000	79.94	n/a	23-Jul	400.00	4.0
SOROS	036	VLO	B	100,000	17.02	n/a	n/a	4,000.00	4.0

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WAGONHOUND/NIC	289	VOCS	S	91	14.77	n/a	n/a	3.64	4.0
OZ MGMT	040	VZ	SS	114,536	27.80	n/a	n/a	3,436.08	3.0
B BUCK CAPITAL MGMT		WBC	S	10,000	38.31	n/a	25-Jun	non-brg	4.0
WEDBUSH/PACIFIC GROWTH EQTY LLC084		WBC	B	10,500	38.09		n/a 25-Jun	525.00	5.0
B BUCK CAPITAL MGMT		WERN	S	10,000	24.06	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WFMI	SS	10,000	37.73	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		WFMI	SS	10,000	38.72	n/a	n/a	non-brg	0.8
GREEN ARROW DOUG	040	WINN	B	25,000	9.82	n/a	n/a	1,250.00	5.0
f CHRISTOPHER ROBERT C	FID	WNC	S	500	7.61	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	WRC	SS	5,000	41.37	n/a	19-Jul	200.00	4.0
B BUCK CAPITAL MGMT		WRC	S	25,000	42.07	n/a	19-Jul	non-brg	4.0
CATAPULT	040	XLI	SS	5,044	29.62	n/a	n/a	252.20	5.0
GREEN ARROW DOUG	040	XLY	SS	10,000	30.99	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		XRT	SS	125,000	38.23	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		XRT	SS	89,000	37.69	n/a	n/a	non-brg	2.0
EVERCORE WEALTH MGMT	012	YUM	B	12,650	41.54	n/a	14-Jul	632.50	5.0

TOTAL (Trade Date):

143,119.76

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/22	COLUMBUS CIRCLE	036	MLNX	S	13,954	17.76	n/a	n/a	548.41 3.2
TOTAL (AO, CXL, CRT) :										548.41

TOTAL (Trade Date):	143,119.76
TOTAL (AO, CXL, CRT):	548.41

TOTAL (RUN DATE) / NFS: 143,668.17

MRI Securities with Trade Activity on 23-Jul.
AN, CAKE

MRI Securities without Trade Activity on 23-Jul.
ASH

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 17%
YTD = 10%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)