RR Commissions Summary [Jack Chernus]

Trade Date: 11/27/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	11/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AH LISANTI	006	0	0	1,501	3,196	0	0	0	0	1,501	3,196	0	0
BARON ASSET MGMT*	006	0	328	5,140	8,651	0	0	0	328	5,140	8,651	0	0
BROADARCH CAP/OLD NEWC	006	0	0	1,760	7,507	0	0	0	0	1,760	7,507	0	0
CLEARLINE CAPITAL LLC	006	0	0	882	2,043	0	0	0	0	882	2,043	0	0
CSL ASSOCIATES	006	0	0	1,787	1,787	0	0	0	0	1,787	1,787	0	0
CUMBERLAND	006	0	0	0	3,510	0	0	0	0	0	3,510	0	0
DECADE CAPITAL PARTNERS	006	0	0	0	0	0	1,447	8,138	0	1,447	8,138	0	0
FARBER	006	0	0	1,300	1,300	0	0	0	0	1,300	1,300	0	0
FIRST INV MGMT CO	006	0	0	1,740	1,740	0	0	0	0	1,740	1,740	0	0
GENERAL ELECTIC CO	006	0	0	0	0	0	2,025	2,025	0	2,025	2,025	0	0
GREEN ARROW JOHN	006	0	0	0	0	0	0	4,224	0	0	4,224	0	0
MAPLE ROW	006	0	0	3,792	11,408	0	0	0	0	3,792	11,408	0	0
OPPENHEIMER MGMT.	006	0	0	0	0	33,441	33,441	33,441	33,441	33,441	33,441	0	0
SLS CAPITAL LLC	006	0	0	2,137	21,395	0	0	0	0	2,137	21,395	0	0
TALON ASSET MGMT.	006	0	0	300	15,135	0	0	0	0	300	15,135	0	0
THIRD AVE MGMT	006	0	0	0	0	0	0	2,000	0	0	2,000	0	0
TIEDEMANN/TIG ADVISORS LLC	006	0	0	1,930	8,178	0	0	0	0	1,930	8,178	0	0
TIME SQUARE CAP MGMT	006	0	0	0	0	0	3,000	7,000	0	3,000	7,000	0	0
TPG-AXON	006	0	0	0	0	10,000	10,000	20,000	10,000	10,000	20,000	0	0
ZWEIG FUNDS	006	0	0	16,000	28,750	0	0	0	0	16,000	28,750	0	0
TOTALS:		0	328	38,268	114,600	43,441	49,913	76,828	43,769	88,181	191,428		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	11/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
CATAPULT - JC	283	0	0	0	0	0	23,333	55,326	0	23,333	55,326	0	0
FRED ALGER MGMT	300	0	1,144	64,499	158,959	0	0	0	1,144	64,499	158,959	0	0
SUN AMERICA ASSET MGMT	030	0	0	0	0	0	0	0	0	0	0	0	0
TOTALS:		0	1,144	64,499	158,959	0	23,333	55,326	1,144	87,832	214,285		