



Daily Compliance Activity Report

Trade Date: 05/04/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		AAPL 10 C550 C		2	60.60	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		AAPL 10 C550 SS		2	60.60	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		AAPL 10 C630 C		3	26.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		AAPL 10 C630 SS		3	26.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		AAPL	B	200	570.48	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		AAPL	S	200	570.32	n/a	n/a	non-brg	1.0
f JONATHAN RICHARD HAR	FID	AAPL	B	8	569.25	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		ABG	B	400	27.53	n/a	27-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		ABG	S	400	27.50	n/a	27-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		AEO	B	1,600	20.11	n/a	2-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		AEO	B	400	20.30	n/a	2-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		AEO	S	1,800	20.10	n/a	2-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		AEO	S	400	20.29	n/a	2-Dec	non-brg	1.0
ß BUCK CAPITAL MGMT		ANN	B	300	27.81	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ANN	S	300	27.79	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		APC	B	8,600	68.86	n/a	1-May	non-brg	1.0
ß BUCK CAPITAL MGMT		APC	S	8,600	68.84	n/a	1-May	non-brg	1.0
BALTHAZAR CAPITAL PARTNERS LTD085	ARCO		B	25,000	16.03	n/a	4-May	1,500.00	6.0
BALTHAZAR CAPITAL PARTNERS LTD085	ARCO		S	25,000	15.71	n/a	4-May	1,500.00	6.0
ß BUCK CAPITAL MGMT		ARCO	B	200	15.85	n/a	4-May	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		ARCO	B	30,000	16.12	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	B	30,000	16.12	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	B	400	16.02	n/a	4-May	non-brg 1.0
ß BUCK CAPITAL MGMT		ARCO	B	50,000	16.12	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	100,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	100,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	100,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	100,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	109,400	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	109,400	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	109,400	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	109,400	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	130,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	130,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	130,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	130,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	15,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	15,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	15,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	15,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	20,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	20,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	20,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	20,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	200	15.83	n/a	4-May	non-brg 1.0
ß BUCK CAPITAL MGMT		ARCO	S	25,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	25,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	25,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	25,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	25,000	14.13	n/a	4-May	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		ARCO	S	25,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	25,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	25,000	14.13	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	35,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	35,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	35,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	35,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	400	16.01	n/a	4-May	non-brg 1.0
ß BUCK CAPITAL MGMT		ARCO	S	45,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	45,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	45,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	45,000	14.10	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	60,500	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	60,500	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	60,500	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	60,500	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	70,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	70,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	70,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	70,000	13.82	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	85,700	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	85,700	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	85,700	14.25	n/a	4-May	non-brg 4.0
ß BUCK CAPITAL MGMT		ARCO	S	85,700	14.25	n/a	4-May	non-brg 4.0
COUGAR TRADING	044	ARCO	S	15,000	14.96	n/a	4-May	750.00 5.0
TRELLUS PARTNERS LP	028	ARCO	B	40,000	15.40	n/a	4-May	1,200.00 3.0
MILLRACE FUND LP	028	ARG	S	7,594	91.94	n/a	3-May	303.76 4.0
ß BUCK CAPITAL MGMT		ARO	B	200	21.34	n/a	n/a	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ARO	S	140,000	21.38	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ARO	S	200	21.33	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ARO	S	25,000	21.78	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		ARO	S	50,000	21.63	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	ASNA	B	20,000	21.59	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT		ASNA	B	900	21.88	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ASNA	S	900	21.87	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BAC	B	100	7.74	n/a	3-May	non-brg	1.0
BUCK CAPITAL MGMT		BAC	S	100	7.73	n/a	3-May	non-brg	1.0
SANDLER O'NEILL ASSET	031	BAC	B	25,000	7.77	n/a	3-May	750.00	3.0
PRIMECAP MGMT	084	BA	B	10,000	75.80	n/a	27-Apr	400.00	4.0
PATTEN & PATTEN	025	BBY	S	550	21.02	n/a	n/a	27.50	5.0
BUCK CAPITAL MGMT		BC IM	B	5,800	14.85	n/a	n/a	non-brg	0.4
BUCK CAPITAL MGMT		BC IM	S	5,800	14.85	n/a	n/a	non-brg	0.4
HOPLITE MGMT	025	BKE	C	4,758	43.60	n/a	n/a	190.32	4.0
BUCK CAPITAL MGMT		BODY	B	10,000	16.49	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BODY	B	10,000	16.49	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BODY	B	20,000	16.49	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BODY	B	200	16.02	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BODY	S	200	16.01	n/a	n/a	non-brg	1.0
SIMMONS VENTURES	041	BODY	B	10,000	15.93	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		BONT	B	1,000	5.80	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		BONT	S	1,000	5.79	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		BYD	B	18,400	7.30	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		BYD	S	18,400	7.30	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CAR	B	15,800	15.64	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CAR	S	15,800	15.64	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CAR	S	50,000	15.76	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		CCL	B	3,300	32.16	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CCL	S	20,000	32.17	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		CCL	S	3,300	32.15	n/a	n/a	non-brg	1.0
	ARSENAL ASSET MANAGEMENT	028	CE	SS	5,000	46.34	n/a	20-May	150.00	3.0
ß	BUCK CAPITAL MGMT		CHRS	B	1,400	7.32	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CHRS	S	1,400	7.31	n/a	n/a	non-brg	1.0
f	RAVI SADARANGANI	FID	CHS	S	100	15.38	n/a	5-Feb	0.00	0.0
Õ	Ravi Sadarangani		CHS	S	100	15.38	n/a	5-Feb	0.00	0.0
ß	BUCK CAPITAL MGMT		CMG	B	10,000	407.93	n/a	20-Apr	non-brg	2.5
ß	BUCK CAPITAL MGMT		COF	B	3,600	54.00	n/a	3-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		COF	S	3,600	53.99	n/a	3-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		COH	B	400	73.94	n/a	25-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		COH	S	400	73.92	n/a	25-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		CROX	B	1,000	18.72	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		CROX	B	800	18.73	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CROX	S	1,000	18.71	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		CROX	S	30,000	18.73	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CROX	S	800	18.72	n/a	n/a	non-brg	1.0
TUDOR INVESTORS	028	CROX	B	25,000	18.87	n/a	n/a	750.00	3.0
RAVI SADARANGANI	FID	CVGI	S	1000	10.65	n/a	n/a	0.00	0.0
RAVI SADARANGANI	FID	CVGI	S	1050	10.65	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	CYBX	B	46,189	37.83	n/a	n/a	1,847.56	4.0
BUCK CAPITAL MGMT		C	B	6,900	31.73	n/a	3-May	non-brg	1.0
BUCK CAPITAL MGMT		C	S	6,900	31.73	n/a	3-May	non-brg	1.0
BUCK CAPITAL MGMT		DAL	B	35,200	11.05	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		DAL	S	35,200	11.05	n/a	n/a	non-brg	1.0
HIGHBRIDGE CAP	041	DAL	S	32,000	10.97	n/a	n/a	960.00	3.0
PLATTE RIVER CAPITAL	240	DAL	B	60,000	10.98	n/a	n/a	2,400.00	4.0
BUCK CAPITAL MGMT		DFS	B	4,300	33.44	n/a	3-May	non-brg	1.0
BUCK CAPITAL MGMT		DFS	S	4,300	33.43	n/a	3-May	non-brg	1.0
BUCK CAPITAL MGMT		DHI	B	15,500	16.95	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		DHI	S	15,500	16.94	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		DIA	C	12,400	130.25	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		DIA	SS	12,400	130.25	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	DIS	S	720	43.13	n/a	n/a	28.80	4.0
BUCK CAPITAL MGMT		DKS	B	100	50.75	n/a	9-Apr	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DKS	S	100	50.72	n/a	9-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		DRI	C	700	50.96	n/a	13-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		DRI	SS	700	50.95	n/a	13-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		DVN	B	5,500	64.39	n/a	1-May	non-brg	1.0
ß BUCK CAPITAL MGMT		DVN	S	5,500	64.39	n/a	1-May	non-brg	1.0
KLEINHEINZ CAP PART	031	DVN	S	50,000	65.11	n/a	1-May	2,500.00	5.0
BESSEMER INVESTMENT	085	ECL	S	450	63.61	n/a	1-May	18.00	4.0
PATTEN & PATTEN	025	EFG	B	200	56.59	n/a	n/a	10.00	5.0
f PATRICK O'GRADY	FID	EMMS	B	550	1.43	n/a	n/a	0.00	0.0
OSKIE CAPITAL MGMT	224	ESRX	B	20,000	54.64	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		ESV	B	4,400	51.72	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ESV	S	4,400	51.72	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ETH	C	100	22.55	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ETH	SS	100	22.49	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	EXC	S	867	38.70	n/a	n/a	34.68	4.0
ß BUCK CAPITAL MGMT		FBHS	B	1,500	23.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		FBHS	S	1,500	23.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		FDO	B	300	68.82	n/a	3-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		FDO	S	300	68.80	n/a	3-Apr	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAP	041	FDX	B	5,500	88.95	n/a	n/a	165.00	3.0
ß BUCK CAPITAL MGMT		FINL	B	1,100	23.15	n/a	2-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		FINL	B	8,100	22.83	n/a	2-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		FINL	S	1,100	23.14	n/a	2-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		FINL	S	8,100	22.83	n/a	2-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		FL	B	1,000	31.42	n/a	7-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		FL	B	11,700	31.06	n/a	7-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		FL	S	1,000	31.41	n/a	7-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		FL	S	11,700	31.06	n/a	7-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		FOR	B	20,500	15.32	n/a	16-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		FOR	S	20,500	15.32	n/a	16-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	C	100	133.29	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	SS	10,000	129.03	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FOSL	SS	100	133.29	n/a	n/a	non-brg	1.0
f MARK MEGALLI	FID	FURX	S	800	13.84	n/a	n/a	0.00	0.0
BRONSON POINT	036	F	S	250,000	10.69	n/a	2-May	7,500.00	3.0
OZ MGMT	040	F	B	310,095	10.77	n/a	2-May	9,302.85	3.0
ß BUCK CAPITAL MGMT		GCO	B	800	76.26	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GCO	S	800	76.05	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIII	B	1,200	26.28	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIII	B	2,100	26.12	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		GIII	B	7,100	26.26	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GIII	S	1,200	26.27	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GIII	S	2,100	26.11	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GIL	B	200	26.54	n/a	4-May	non-brg 1.0
ß BUCK CAPITAL MGMT		GIL	B	900	26.33	n/a	4-May	non-brg 1.0
ß BUCK CAPITAL MGMT		GIL	S	200	26.54	n/a	4-May	non-brg 1.0
ß BUCK CAPITAL MGMT		GIL	S	900	26.33	n/a	4-May	non-brg 1.0
ß BUCK CAPITAL MGMT		GMCR	B	200	27.51	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GMCR	S	200	27.51	n/a	n/a	non-brg 1.0
OZ MGMT	040	GM	B	150,000	21.98	n/a	4-May	4,500.00 3.0
ß BUCK CAPITAL MGMT		GNC	B	200	38.69	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GNC	S	200	38.67	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GPI	B	200	56.15	n/a	27-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		GPI	S	200	56.06	n/a	27-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		GPS 1 P15	C	21	0.77	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		GPS 1 P15	SS	21	0.77	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		GPS	B	600	28.67	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		GPS	S	600	28.66	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		HAL	B	7,000	32.66	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		HAL	S	7,000	32.66	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		HBI	B	2,100	27.26	n/a	20-Apr	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		HBI	B	400	27.54	n/a	20-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		HBI	S	2,100	27.26	n/a	20-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		HBI	S	400	27.54	n/a	20-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		HLF	B	100	47.82	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HLF	B	200	48.30	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HLF	S	100	47.82	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HLF	S	200	48.30	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HMB SS	C	3,500	33.02	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		HMB SS	SS	3,500	33.02	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		HOT	B	100	58.55	n/a	13-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		HOT	S	100	58.54	n/a	13-Jun	non-brg	1.0
ARSENAL ASSET MANAGEMENT	028	HTZ	B	25,000	15.48	n/a	n/a	750.00	3.0
ß BUCK CAPITAL MGMT		HTZ	B	27,237	15.53	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HTZ	S	100,000	15.44	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HTZ	S	27,237	15.53	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		IGT	B	1,700	15.20	n/a	13-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		IGT	S	1,700	15.21	n/a	13-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		IJH	C	15,700	96.27	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		IJH	SS	15,700	96.27	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	IP	S	700	32.19	n/a	27-Apr	28.00	4.0
ß BUCK CAPITAL MGMT		IP	B	6,700	32.11	n/a	27-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		IP	S	6,700	32.10	n/a	27-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		IWO	C	27,600	90.92	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		IWO	SS	27,600	90.92	n/a	n/a	non-brg 1.0
	PATTEN & PATTEN	025	IXN	B	200	67.27	n/a	n/a	10.00 5.0
	PATTEN & PATTEN	025	IYG	B	150	53.86	n/a	n/a	7.50 5.0
	BESSEMER INVESTMENT	085	JCI	S	400	31.79	n/a	27-Apr	16.00 4.0
f	ALEX JOSEPH DEFILIPP	FID	JCP	B	100	33.95	n/a	19-Apr	0.00 0.0
ß	BUCK CAPITAL MGMT		JCP	C	1,000	34.06	n/a	19-Apr	non-brg 1.0
ß	BUCK CAPITAL MGMT		JCP	SS	1,000	34.03	n/a	19-Apr	non-brg 1.0
f	JILL ROSENBERG	FID	JCP	B	100	33.95	n/a	19-Apr	0.00 0.0
f	VICTORIA JEAN DEFILI	FID	JCP	B	100	33.95	n/a	19-Apr	0.00 0.0
ß	BUCK CAPITAL MGMT		JNY	B	600	10.39	n/a	26-Apr	non-brg 1.0
ß	BUCK CAPITAL MGMT		JNY	S	100,000	10.16	n/a	26-Apr	non-brg 4.0
ß	BUCK CAPITAL MGMT		JNY	S	600	10.38	n/a	26-Apr	non-brg 1.0
	BESSEMER INVESTMENT	085	JPM	S	450	41.99	n/a	3-May	18.00 4.0
ß	BUCK CAPITAL MGMT		JPM	B	6,100	41.91	n/a	3-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		JPM	S	6,100	41.91	n/a	3-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		KBH	B	100	8.26	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		KBH	S	100	8.26	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		KORS	B	40,000	44.75	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		KR	C	1,500	23.26	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		KR	SS	1,500	23.25	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		KSS	B	100	50.58	n/a	4-May	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		KSS	B	900	50.45	n/a	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		KSS	S	100	50.57	n/a	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		KSS	S	900	50.44	n/a	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		LAD	B	300	26.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LAD	S	300	26.34	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LEN	B	10,100	28.37	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LEN	S	10,100	28.37	n/a	n/a	non-brg	1.0
MERRILL ASSET/BLACKROCK	297	LF	S	108,169	10.54	n/a	n/a	4,326.76	4.0
BESSEMER INVESTMENT	085	LOW	B	370	31.39	n/a	2-May	14.80	4.0
ß BUCK CAPITAL MGMT		LPX	B	1,100	8.94	n/a	16-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		LPX	S	1,100	8.93	n/a	16-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		LVS	B	200	54.86	n/a	13-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		LVS	S	200	54.84	n/a	13-Jun	non-brg	1.0
VERITION	028	LVS	B	5,000	54.56	n/a	13-Jun	150.00	3.0
ß BUCK CAPITAL MGMT		MC FP	B	308	164.97	n/a	n/a	non-brg	4.1
ß BUCK CAPITAL MGMT		MC FP	S	308	164.97	n/a	n/a	non-brg	4.1
ß BUCK CAPITAL MGMT		MDY	C	11,400	175.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MDY	SS	11,400	175.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MFRM	B	200	40.32	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MFRM	S	200	40.27	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MGM	B	2,100	12.51	n/a	13-Jun	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		MGM	S	2,100	12.50	n/a	13-Jun	non-brg	1.0
JASON ERIC COHEN	FID	MINI	B	100	16.76	n/a	n/a	0.00	0.0
WILLIAM J GAULT	FID	MINI	B	1000	17.00	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	MSFT	S	480	31.28	n/a	n/a	19.20	4.0
BUCK CAPITAL MGMT		MSMSBCM	C	4,400	110.33	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		MSMSBCM	SS	4,400	110.33	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		MSMSRASH	SS	15,000	114.41	n/a	n/a	non-brg	9.2
BUCK CAPITAL MGMT		MS	B	100	16.04	n/a	3-May	non-brg	1.0
BUCK CAPITAL MGMT		MS	S	100	16.03	n/a	3-May	non-brg	1.0
MTB INV ADV	034	MTW	S	101,873	12.87	n/a	18-Apr	3,310.87	3.3
ARSENAL ASSET MANAGEMENT	028	MWV	B	5,000	28.15	n/a	25-Apr	150.00	3.0
BUCK CAPITAL MGMT		MWV	B	200	28.22	n/a	25-Apr	non-brg	1.0
BUCK CAPITAL MGMT		MWV	S	200	28.22	n/a	25-Apr	non-brg	1.0
BUCK CAPITAL MGMT		M	B	200	41.13	n/a	4-May	non-brg	1.0
BUCK CAPITAL MGMT		M	B	8,400	40.94	n/a	4-May	non-brg	1.0
BUCK CAPITAL MGMT		M	S	200	41.11	n/a	4-May	non-brg	1.0
BUCK CAPITAL MGMT		M	S	8,400	40.93	n/a	4-May	non-brg	1.0
BESSEMER INVESTMENT	085	NEM	B	360	46.17	n/a	n/a	14.40	4.0
BUCK CAPITAL MGMT		NKE	B	100	112.20	n/a	23-Mar	non-brg	1.0
BUCK CAPITAL MGMT		NKE	B	100	113.07	n/a	23-Mar	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		NKE	S	100	112.19	n/a	23-Mar	non-brg 1.0
BUCK CAPITAL MGMT		NKE	S	100	113.05	n/a	23-Mar	non-brg 1.0
BUCK CAPITAL MGMT		NWY	B	1,000	3.89	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		NWY	S	1,000	3.87	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		PAG	B	1,000	26.69	n/a	26-Apr	non-brg 1.0
BUCK CAPITAL MGMT		PAG	S	1,000	26.68	n/a	26-Apr	non-brg 1.0
BUCK CAPITAL MGMT		PCAR	B	1,600	40.87	n/a	25-Apr	non-brg 1.0
BUCK CAPITAL MGMT		PCAR	S	1,600	40.86	n/a	25-Apr	non-brg 1.0
MERRILL ASSET/BLACKROCK	297	PCS	B	300,000	6.72	n/a	n/a	12,000.00 4.0
BESSEMER INVESTMENT	085	PFE	S	1,000	22.35	n/a	n/a	40.00 4.0
PATTEN & PATTEN	025	PHO	S	900	18.37	n/a	n/a	45.00 5.0
BUCK CAPITAL MGMT		PII	B	20,000	81.50	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		PII	B	500	81.73	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		PII	S	500	81.69	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		PLCE	B	1,300	46.97	n/a	7-Mar	non-brg 1.0
BUCK CAPITAL MGMT		PLCE	B	300	47.54	n/a	7-Mar	non-brg 1.0
BUCK CAPITAL MGMT		PLCE	S	1,300	46.97	n/a	7-Mar	non-brg 1.0
BUCK CAPITAL MGMT		PLCE	S	300	47.52	n/a	7-Mar	non-brg 1.0
COLUMBUS CIRCLE	036	PLXS	B	2,784	31.03	n/a	n/a	111.36 4.0
OSKIE CAPITAL MGMT	224	PPO	B	40,000	38.13	n/a	n/a	2,000.00 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		PSMT	B	200	83.54	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		PSMT	S	10,000	81.92	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		PSMT	S	10,000	81.92	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		PSMT	S	200	83.54	n/a	n/a	non-brg 1.0
BESSEMER INVESTMENT	085	PSX	S	412	30.92	n/a	n/a	16.48 4.0
ß BUCK CAPITAL MGMT		PVH	B	2,500	87.31	n/a	19-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		PVH	B	500	88.14	n/a	19-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		PVH	S	2,500	87.30	n/a	19-Apr	non-brg 1.0
ß BUCK CAPITAL MGMT		PVH	S	20,000	88.11	n/a	19-Apr	non-brg 4.0
ß BUCK CAPITAL MGMT		PVH	S	500	88.11	n/a	19-Apr	non-brg 1.0
SCOPUS FUND	041	PVH	B	50,000	87.90	n/a	19-Apr	2,000.00 4.0
SOROS	274	QQQ	SS	1,000,000	65.62	n/a	n/a	30,000.00 3.0
ß BUCK CAPITAL MGMT		RCL	B	10,000	26.99	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		RCL	B	300	27.07	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		RCL	S	10,000	26.99	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		RCL	S	100,000	26.89	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		RCL	S	150,000	26.89	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		RCL	S	300	27.04	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		RCL	S	76,900	26.94	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		RGC	B	1,300	14.08	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		RGC	S	1,300	14.08	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		RLJAU	B	8,600	10.38	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		RLJAU	S	8,600	10.38	n/a	n/a	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f PATRICK O'GRADY	FID	ROIAK	S	1500	1.07	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	297	RSE	B	4,900	13.44	n/a	n/a	196.00	4.0
PATTEN & PATTEN	025	RSG	B	400	27.03	n/a	n/a	20.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	RUE	S	150	30.03	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		RYL	B	10,000	22.62	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		RYL	S	10,000	22.62	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		R	B	5,000	47.27	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		R	S	5,000	47.27	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SAH	B	300	16.07	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SAH	S	300	16.07	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SCSS	B	1,200	30.48	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SCSS	B	400	30.16	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SCSS	S	1,200	30.45	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SCSS	S	400	30.15	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SD	S	20,000	7.12	n/a	n/a	non-brg	3.0
BNP PARIBAS SEC	040	SEE	B	25,000	17.76	n/a	2-Jun	1,250.00	5.0
PRIMECAP MGMT	084	SGEN	B	2,500	19.24	n/a	n/a	100.00	4.0
HIGHBRIDGE CAP	041	SHW	B	1,300	121.33	n/a	19-Apr	39.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SIG	B	800	47.60	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SIG	B	800	48.20	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SIG	S	800	47.60	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SIG	S	800	48.20	n/a	n/a	non-brg	1.0
ELM RIDGE ADVISORS	044	SKS	C	50,000	10.85	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		SKUL	B	10,000	13.85	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKUL	B	10,000	13.96	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKUL	B	200	13.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKUL	S	200	13.78	n/a	n/a	non-brg	1.0
f JOHN MICHAEL ZOLIDIS	FID	SKX	S	200	18.18	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SLB	B	4,500	69.95	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SLB	S	4,500	69.95	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SLM	B	25,000	14.00	n/a	18-Apr	non-brg	1.0
ß BUCK CAPITAL MGMT		SLM	S	25,000	14.00	n/a	18-Apr	non-brg	1.0
M&I FUNDS	047	SPLS	B	40,165	14.99	n/a	n/a	1,606.60	4.0
HIGHBRIDGE CAP	041	SPN	S	20,000	25.04	n/a	n/a	600.00	3.0
ß BUCK CAPITAL MGMT		SPY	C	700	137.34	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SPY	SS	700	137.33	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		STT	B	8,400	45.00	n/a	3-May	non-brg	1.0
ß BUCK CAPITAL MGMT		STT	S	8,400	45.00	n/a	3-May	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		SUMR	B	5,300	4.92	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SUMR	S	5,300	4.92	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SWN	B	7,800	28.75	n/a	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		SWN	S	7,800	28.74	n/a	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		SWY	C	1,900	19.79	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SWY	SS	1,900	19.78	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SYX	C	700	28.26	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SYX	SS	700	28.25	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TFM	C	100	51.16	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TFM	SS	100	51.14	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TIF	C	100	67.02	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TIF	SS	100	66.94	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	B	7,900	41.93	4-May	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	B	900	42.37	4-May	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	S	7,900	41.92	4-May	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	S	900	42.36	4-May	4-May	non-brg	1.0
ARSENAL ASSET MANAGEMENT	028	TKR	B	5,000	52.47	n/a	n/a	150.00	3.0
ß BUCK CAPITAL MGMT		TLYS	B	10,698	15.50	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TLYS	B	10,698	15.50	n/a	n/a	non-brg	0.0
ß BUCK CAPITAL MGMT		TLYS	B	135,000	18.39	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TLYS	B	135,000	18.39	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		TLYS	B	25,000	18.09	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		TLYS	B	40,000	18.23	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		TLYS	B	65,000	18.18	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		TLYS	B	8,604	15.50	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		TOL	B	2,900	26.05	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		TOL	S	2,900	26.05	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		TPX	B	400	57.15	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		TPX	B	400	57.87	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		TPX	S	400	57.13	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		TPX	S	400	57.88	n/a	n/a	non-brg 1.0
TALPION LLC	040	TPX	C	2,600	56.59	n/a	n/a	104.00 4.0
ß BUCK CAPITAL MGMT		TUMI	B	700	23.51	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		TUMI	S	700	23.50	n/a	n/a	non-brg 1.0
TUDOR INVESTORS	028	TYC	B	5,000	55.17	n/a	28-Mar	150.00 3.0
WHITE PINE CAPITAL	030	T	S	33,500	32.93	n/a	n/a	1,675.00 5.0
ß BUCK CAPITAL MGMT		UAL	B	2,200	22.24	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		UAL	S	2,200	22.23	n/a	n/a	non-brg 1.0
HIGHBRIDGE CAP	041	UAL	B	51,000	22.19	n/a	n/a	1,530.00 3.0
ß BUCK CAPITAL MGMT		ULTA	B	10,000	88.25	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		ULTA	B	10,000	88.25	n/a	n/a	non-brg 4.0
LONG OAR GLOBAL INV	040	URS	SS	52,186	39.64	n/a	n/a	2,087.44 4.0
ß BUCK CAPITAL MGMT		VRA	B	200	25.69	n/a	n/a	non-brg 1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		VRA	S	200	25.68	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		V	B	2,900	118.33	n/a	2-May	non-brg	1.0
ß BUCK CAPITAL MGMT		V	S	2,900	118.31	n/a	2-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WNC	B	28,000	7.97	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WNC	S	28,000	7.97	n/a	n/a	non-brg	1.0
f JONATHAN RICHARD HAR	FID	WNC	B	332	7.91	n/a	n/a	0.00	0.0
f RAVI SADARANGANI	FID	WNC	S	100	7.97	n/a	n/a	0.00	0.0
Õ Ravi Sadarangani		WNC	S	100	7.97	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WRC	B	100	48.10	4-May	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	B	200	48.02	4-May	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	S	100	48.13	4-May	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WRC	S	200	48.01	4-May	4-May	non-brg	1.0
SIMMONS VENTURES	041	WRC	B	5,000	48.00	4-May	4-May	200.00	4.0
ß BUCK CAPITAL MGMT		WWW	B	100	43.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WWW	S	100	43.41	n/a	n/a	non-brg	1.0
BNP PARIBAS SEC	040	WY	B	20,000	20.09	4-May	4-May	1,000.00	5.0
ß BUCK CAPITAL MGMT		WY	B	600	19.95	4-May	4-May	non-brg	1.0
ß BUCK CAPITAL MGMT		WY	S	600	19.94	4-May	4-May	non-brg	1.0
CATAPULT	040	WY	B	10,000	20.03	4-May	4-May	400.00	4.0
CSFB GLOBAL PROPRIETARY	041	WY	B	50,000	20.00	4-May	4-May	2,500.00	5.0
DEVENNY CAP LLC	044	WY	B	30,000	20.15	4-May	4-May	900.00	3.0
BESSEMER INVESTMENT	085	XEL	S	1,336	27.09	n/a	n/a	53.44	4.0
ß BUCK CAPITAL MGMT		XLF	C	73,100	15.07	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		XLF	SS	73,100	15.07	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		XRT	C	28,921	61.01	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		XRT	SS	130,000	60.69	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		XRT	SS	130,000	60.69	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		ZUMZ	B	30,000	38.10	n/a	n/a	non-brg 4.0
TOTAL (Trade Date):									110,778.32

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									110,778.32
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									110,778.32
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 4-May.

TJX, WRC, WY

MRI Securities without Trade Activity on 4-May.

ROST

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)