



Daily Compliance Activity Report

Trade Date: 05/02/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LBACENTRP	005	AAPL	S	300	180.44	n/a	n/a	0.00	0.0
COUGAR TRADING	044	AEO	B	15,000	18.94	6-Mar	2-May	750.00	5.0
ROTHSCHILD ASSET	040	AMG	S	8,550	107.13	7-Apr	2-May	384.75	4.5
CATTANI	041	AMG	B	100	106.60	7-Apr	2-May	0.00	0.0
COUGAR TRADING	044	ANF	B	10,000	75.82	10-Apr	2-May	500.00	5.0
COUGAR TRADING	044	ANN	B	10,000	26.21	14-Mar	2-May	500.00	5.0
WHEELER	008	APSG	S	15,000	13.16	n/a	n/a	0.00	0.0
DSG CAPITAL	225	ASH	B	4,000	53.96	30-Apr	30-Apr	200.00	5.0
GAULT	063	ASH	B	800	53.96	30-Apr	30-Apr	0.00	0.0
PRINCIPLE CAPITAL	040	AWI	B	46,000	33.36	n/a	n/a	1,380.00	3.0
LBACMELISA	005	AXE	B	1,000	61.04	28-Mar	22-Apr	0.00	0.0
LBACIRA	005	AXE	B	1,000	61.15	28-Mar	22-Apr	0.00	0.0
LBACCICIRA	005	AXE	B	1,000	61.22	28-Mar	22-Apr	0.00	0.0
LBACENTRP	005	AXE	B	1,000	61.27	28-Mar	22-Apr	0.00	0.0
LBACCICIND	005	AXE	B	2,000	61.17	28-Mar	22-Apr	0.00	0.0
UBS OCONNOR	031	BAC	B	75,000	39.53	n/a	22-Apr	2,250.00	3.0
BEAR STEARNS ASSET MGMT.	033	BA	SS	18,700	85.36	n/a	n/a	748.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND	048	BLK	S	3,550	215.05	n/a	2-May	142.00	4.0
FIRST NEW YORK LEDDY	035	BWA	SS	9,500	51.61	14-Jan	21-Apr	570.00	6.0
LUCHANSKY	036	BWA	S	200	50.88	14-Jan	21-Apr	0.00	0.0
OGORMAN	046	BWA	S	600	50.49	14-Jan	21-Apr	0.00	0.0
EMP ERROR	099	BWA	B	200	51.54	14-Jan	21-Apr	0.00	0.0
EMP ERROR	099	BWA	S	200	51.86	14-Jan	21-Apr	0.00	0.0
SATELLITE ASSET MGMT	012	CAKE	B	15,000	22.92	n/a	24-Apr	600.00	4.0
JP MORGAN VENTURES	046	CCE	B	30,000	22.71	n/a	n/a	1,500.00	5.0
SATELLITE ASSET MGMT	012	CCL	SS	15,000	41.99	n/a	26-Jan	600.00	4.0
FIRST NEW YORK NICK	029	CCU	B	5,000	31.08	n/a	n/a	250.00	5.0
GENERAL MOTORS INV CO	040	CLF	S	1,000	156.14	n/a	n/a	40.00	4.0
SATELLITE ASSET MGMT	012	CLX	B	7,000	57.02	n/a	2-May	280.00	4.0
SATELLITE ASSET MGMT	012	CL	SS	10,000	72.65	n/a	1-May	400.00	4.0
BAIRD INVESTMENT	031	CMG	B	2,965	99.33	n/a	24-Apr	148.25	5.0
HEALY CIRCLE	044	COH	SS	10,000	36.40	4-Apr	23-Apr	500.00	5.0
GRANAHAN INV	039	CRR	S	50,000	45.43	13-Feb	1-May	2,000.00	4.0
STRAUSS ASSET MGMT	040	CSR	S	15,000	21.33	n/a	n/a	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IVORY CAPITAL	034	CTX	B	250,000	22.29	n/a	n/a	7,500.00	3.0
HUNTER GLOBAL INVESTOR	067	CVS	S	75,000	41.40	n/a	n/a	3,750.00	5.0
DIAMONDBACK CAP MGMT	232	C	S	25,000	26.45	16-Jan	30-Apr	1,250.00	5.0
LEDDY	035	DAI	C	300	77.70	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	DFS	C	25,000	19.46	n/a	n/a	1,250.00	5.0
MORRISON&FRASIER/BUTLER	040	DNR	B	5,000	29.80	n/a	n/a	250.00	5.0
EGS ASSOCIATES LP	091	DRI	B	4,500	36.49	n/a	15-Apr	270.00	6.0
SUN AMERICA ASSET MGMT	030	DSW	S	29,700	15.22	28-Mar	2-May	1,188.00	4.0
COUGAR TRADING	044	EAT	B	5,000	23.56	n/a	24-Apr	250.00	5.0
GENERAL MOTORS INV CO	040	EOG	S	3,000	127.67	n/a	n/a	120.00	4.0
MILLER	025	EWZ	S	25	93.94	n/a	n/a	0.00	0.0
PAKLER	029	FSLR	S	8	271.33	n/a	n/a	0.00	0.0
NORTHPOINT	030	GES	B	16,620	39.30	n/a	n/a	664.80	4.0
BUCK CAPITAL MGMT	001	GIL	B	190,000	25.52	30-Apr	30-Apr	7,600.00	4.0
COUGAR TRADING	044	GIL	B	5,000	26.06	30-Apr	30-Apr	250.00	5.0
LBACCHRIS	005	GIL	B	1,000	25.77	30-Apr	30-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LBACIRA	005	GIL	B	2,000	25.81	30-Apr	30-Apr	0.00	0.0
LBACMELISA	005	GIL	B	2,000	25.81	30-Apr	30-Apr	0.00	0.0
LBACCICIRA	005	GIL	B	2,000	25.83	30-Apr	30-Apr	0.00	0.0
LBACENTRP	005	GIL	B	3,000	25.84	30-Apr	30-Apr	0.00	0.0
LBACCICIND	005	GIL	B	3,000	25.86	30-Apr	30-Apr	0.00	0.0
MILLER	025	GLW	S	25	27.11	n/a	29-Apr	0.00	0.0
EFSTATHIOU	044	GMO	B	1,000	8.49	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	GM	B	200,000	22.94	29-Apr	2-May	8,000.00	4.0
BALYASNY ASSET MGMT	044	GPS	SS	20,000	18.70	n/a	2-May	1,000.00	5.0
COUGAR TRADING	044	GPS	B	20,000	18.60	n/a	2-May	1,000.00	5.0
BUCK	015	GPYQQ	B	4	1.20	n/a	n/a	0.00	0.0
BUCK	015	GPYVN	S	6	8.05	n/a	n/a	0.00	0.0
IVORY CAPITAL	034	GT	B	100,000	27.10	n/a	n/a	3,000.00	3.0
ZOLIDIS	011	HD	B	1,000	30.25	n/a	27-Jul	0.00	0.0
CATTANI	041	HD	B	250	30.01	n/a	27-Jul	0.00	0.0
SATELLITE ASSET MGMT	012	HEW	B	15,000	41.27	n/a	n/a	600.00	4.0
GENERAL ELECTIC CO	033	HOLX	B	19,999	22.90	n/a	n/a	399.98	2.0
ARIENCE CAPITAL	036	HOT	B	24,000	54.81	n/a	n/a	1,200.00	5.0
BUCK	015	HOT	B	1,000	54.52	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	HPQ	S	150,000	48.06	n/a	n/a	6,000.00	4.0
EFSTATHIOU	044	ICON	S	500	15.47	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	IMA	B	50,000	36.80	n/a	n/a	2,500.00	5.0
BEAR STEARNS ASSET MGMT.	033	ITT	SS	18,700	64.28	n/a	25-Apr	748.00	4.0
WAGONHOUND	048	IVZ	B	40,290	27.69	10-Apr	2-May	1,611.60	4.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	44.78	4-Jan	2-May	800.00	4.0
PAKLER	029	JGQFA	S	16	0.40	n/a	n/a	0.00	0.0
OGORMAN	046	JOSB	B	1,000	25.70	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	JPM	S	50,000	48.43	17-Jan	16-Apr	2,500.00	5.0
BUCK CAPITAL MGMT	001	JWN	SS	20,000	36.40	31-Mar	2-May	800.00	4.0
CUMBERLAND	038	JWN	SS	45,000	36.36	31-Mar	2-May	1,800.00	4.0
BEAR STEARNS ASSET MGMT.	033	KSS	S	215,000	49.79	4-Jan	2-May	8,600.00	4.0
COUGAR TRADING	044	KSS	SS	10,000	49.52	4-Jan	2-May	500.00	5.0
BARR	096	LAVA	C	1,500	6.75	n/a	n/a	0.00	0.0
SNYDER CAPITAL	038	LDSH	B	92,100	30.58	n/a	n/a	3,684.00	4.0
OTC ERROR	099	LDSH	B	1,908	30.57	n/a	n/a	0.00	0.0
BUCK	015	LEH	SS	1,000	46.97	1-Apr	14-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	LLY	B	4,800	49.49	n/a	n/a	240.00	5.0
WAGONHOUND	048	LM	B	6,160	64.37	24-Mar	2-May	308.00	5.0
WAGONHOUND	048	LPX	B	3,440	11.67	7-Apr	7-Apr	137.60	4.0
PAKLER	029	LULU	S	80	31.56	n/a	n/a	0.00	0.0
BUCK	015	LVS	S	350	73.85	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	MAR	S	10,000	36.33	n/a	n/a	400.00	4.0
PROSPECTOR PARTNERS LLC	036	MDP	B	10,000	33.90	n/a	n/a	400.00	4.0
WHEELER	008	MENT	S	10,000	9.96	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	ME	S	55,125	27.21	n/a	n/a	2,756.25	5.0
LBACCICIND	005	MIR	S	2,000	40.96	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	040	MNST	SS	35,000	26.29	n/a	n/a	1,750.00	5.0
SANDLER O'NEILL ASSET	031	MS	B	20,000	50.07	20-Dec	14-Apr	1,000.00	5.0
LEDDY	035	MTRX	S	700	20.23	n/a	n/a	0.00	0.0
COUGAR TRADING	044	M	B	20,000	26.90	7-Feb	2-May	1,000.00	5.0
LBACCHRIS	005	M	S	1,000	26.29	7-Feb	2-May	0.00	0.0
LBACCICIRA	005	M	S	1,000	26.29	7-Feb	2-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LBACIRA	005	M	S	1,000	26.29	7-Feb	2-May	0.00	0.0
LBACCICIND	005	M	S	2,000	26.22	7-Feb	2-May	0.00	0.0
LBACCICIND	005	NFC	B	3,000	14.80	n/a	n/a	0.00	0.0
LBACCICIND	005	NGK	B	2,000	14.54	n/a	n/a	0.00	0.0
WHEELER	008	NLCIE	S	100	1.80	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	OSK	B	150	39.12	n/a	n/a	7.50	5.0
PATTEN & PATTEN	067	PBE	S	500	17.97	n/a	n/a	25.00	5.0
IVORY CAPITAL	034	PCL	S	75,000	42.06	29-Apr	29-Apr	2,250.00	3.0
GENERAL MOTORS INV CO	040	PGR	S	37,000	18.71	n/a	n/a	1,480.00	4.0
AVESTA CAP	229	PG	SS	100,000	66.52	n/a	30-Apr	5,000.00	5.0
ROSEDALE CAPITAL	046	PHG	B	20,000	37.94	n/a	n/a	1,000.00	5.0
ASCEND PARTNERS	033	PLCE	B	9,100	26.70	2-May	2-May	455.00	5.0
FIRST NY SEC/CHRIS	041	PLCE	B	5,000	26.42	2-May	2-May	300.00	6.0
METROPOLITAN CAPITAL	044	PLCE	S	52,000	27.27	2-May	2-May	2,600.00	5.0
PECONIC PARTNERS	036	PLCE	B	150,000	26.93	2-May	2-May	7,500.00	5.0
VISIUM/BALY HOWARD	038	PLCE	S	25,000	26.47	2-May	2-May	1,250.00	5.0
PATTEN & PATTEN	067	Q	B	800	5.37	n/a	n/a	40.00	5.0
SATELLITE ASSET MGMT	012	RCL	B	5,000	32.01	25-Apr	25-Apr	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	RDSA	C	65,600	79.92	n/a	n/a	2,624.00	4.0
VISIUM/BALY HOWARD	038	RL	SS	10,000	63.39	7-Feb	15-Feb	500.00	5.0
PRINCIPLE CAPITAL	040	SFLY	B	78,400	13.83	n/a	n/a	2,352.00	3.0
PAKLER	029	SKF	S	17	90.88	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	SKX	SS	25,000	23.99	n/a	13-Mar	1,250.00	5.0
STRAUSS ASSET MGMT	040	SMSC	B	1,500	29.35	n/a	n/a	60.00	4.0
BARR	096	SYNP	S	600	7.97	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	THI	B	15,000	32.33	n/a	24-Apr	750.00	5.0
IRONBOUND CAPITAL	012	TIN	B	102,778	12.00	29-Apr	29-Apr	3,083.34	3.0
LBACCICIND	005	TIN	B	3,000	12.10	29-Apr	29-Apr	0.00	0.0
SATELLITE ASSET MGMT	012	TRA	S	5,000	39.12	n/a	n/a	200.00	4.0
COUGAR TRADING	044	TWB	B	20,000	19.52	17-Mar	2-May	1,000.00	5.0
ZOLIDIS	011	TWM	B	300	71.39	n/a	n/a	0.00	0.0
BUCK	015	VRV	B	10	1.15	n/a	n/a	0.00	0.0
PAKLER	029	WBC	S	6	47.79	30-Apr	30-Apr	0.00	0.0
TRIVIUM CAP MGMT	251	WSM	B	50,000	27.34	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PROSPECTOR PARTNERS LLC	036	XRX	B	25,000	14.39	n/a	n/a	1,000.00	4.0
TOTAL (Trade Date):								128,348.07	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/01	IMPALA ASSET MMT (IMPACITI)	012	BRT	B	100,000	42.65	n/a	n/a	3000.00 3.0
CRT	05/02	EMP ERROR (EMP ERROR)	099	BWA	B	200	51.54	14-Jan	21-Apr	0.00 0.0
CRT	05/02	EMP ERROR (EMP ERROR)	099	BWA	S	200	51.86	14-Jan	21-Apr	0.00 0.0
CRT	05/02	STRAUSS ASSET MGMT (STRAJEFUSE)	040	CSR	S	15,000	21.33	n/a	n/a	600.00 4.0
AO,	05/01	IMPALA ASSET MMT (IMPACITI)	012	ERJ	B	100,000	42.65	n/a	n/a	3000.00 3.0
AO,CXL	05/01	IMPALA ASSET MMT (IMPACITI)	012	HBI	B	125,000	38.82	n/a	n/a	3750.00 3.0
AO,	05/01	IMPALA ASSET MMT (IMPACITI)	012	HBI	B	125,000	35.82	n/a	n/a	3750.00 3.0
AO,CXL	04/29	BRIGADE CAPITAL MGMT (BRICGS)	029	IWM	SS	100,000	71.31	n/a	n/a	5000.00 5.0
AO,CRT	04/29	BRIGADE CAPITAL MGMT (BRICGS)	029	IWM	SS	100,000	71.31	n/a	n/a	3000.00 3.0
CRT	05/02	CUMBERLAND (CUMBMS04)	038	JWN	SS	45,000	36.36	31-Mar	2-May	1800.00 4.0
AO,	05/01	OTC ERROR (OTC ERROR)	099	LDSH	S	1,908	30.35	n/a	n/a	0.00 0.0
AO,CXL	05/01	WILLIAMS TRAD/MANHASSET (WTCCMANHA)	041	LM	B	16,500	62.14	24-Mar	2-May	825.00 5.0
AO,CRT	05/01	WILLIAMS TRAD/MANHASSET (WTCCMANHA)	041	LM	B	16,500	62.14	24-Mar	2-May	577.50 3.5
AO,CRT	05/01	MORRISON&FRASIER/BUTLER (MORRTLBS)	040	MSFT	B	5,000	29.17	n/a	3-Apr	250.00 5.0
CRT	05/02	VISIUM/BALY HOWARD (VISHMS)	038	PLCE	S	25,000	26.47	2-May	2-May	1250.00 5.0
AO,	05/01	AAD CAPITAL (AADCGSCO)	048	TIN	B	700	11.49	29-Apr	29-Apr	21.00 3.0
AO,	05/01	AAD CAPITAL (AADCMSPB)	048	TIN	B	500	11.49	29-Apr	29-Apr	15.00 3.0
AO,	05/01	AAD CAPITAL (AADCMSPB)	048	TIN	B	4,600	11.49	29-Apr	29-Apr	138.00 3.0
AO,	05/01	AAD CAPITAL (AADCMSPB)	048	TIN	B	2,200	11.49	29-Apr	29-Apr	66.00 3.0
AO,	05/01	AAD CAPITAL (AADCMSPB)	048	TIN	B	18,075	11.49	29-Apr	29-Apr	542.25 3.0
AO,CXL	05/01	WILLOW CREEK (WILLMAIN)	033	TRMP	SS	40,000	2.87	n/a	n/a	1600.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/01	WILLOW CREEK (WILLMAIN)	033	TRMP	SS	40,000	2.87	n/a	n/a	800.00 2.0
AO,CRT	05/01	MORRISON&FRASIER/BUTLER (MORRTLBS)	040	WG	S	4,000	35.18	n/a	n/a	200.00 5.0
AO,CXL	05/01	MORRISON&FRASIER/BUTLER (MORRCONTLB)	040	WG	S	4,000	35.18	n/a	n/a	200.00 5.0
AO,CRT	05/01	MORRISON&FRASIER/BUTLER (MORRTLBS)	040	WMT	B	3,000	58.40	n/a	2-May	150.00 5.0
TOTAL (AO, CXL, CRT) :										1,784.75

TOTAL (Trade Date):	128,348.07
TOTAL (AO, CXL, CRT):	1,784.75
TOTAL (RUN DATE) / NFS:	130,132.82

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION