



Daily Compliance Activity Report

Trade Date: 03/28/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ABD	C	22,100	12.63	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	046	ABT	B	5,130	60.93	n/a	n/a	205.20	4.0
IMPALA ASSET MMT	040	ADM	S	283,891	31.32	n/a	28-Mar	11,355.64	4.0
BUCK CAPITAL MGMT		AEO	S	50,000	17.25	n/a	2-Dec	non-brg	4.0
MELLON/BANK OF NEW YORK	046	AMLN	S	14,650	22.91	n/a	n/a	586.00	4.0
DC CAPITAL	044	ATI	B	50,000	40.62	n/a	26-Mar	2,000.00	4.0
PATTEN & PATTEN	025	BBY	S	650	26.76	n/a	n/a	32.50	5.0
IMPALA ASSET MMT	040	BC	B	77,000	25.93	n/a	n/a	3,080.00	4.0
KACELA CAPITAL	034	BDC	SS	22,000	38.58	n/a	n/a	880.00	4.0
BUCK CAPITAL MGMT		BNNY	B	289	19.00	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		BNNY	B	355	19.00	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		BNNY	B	356	19.00	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		BNNY	S	289	31.11	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		BNNY	S	355	32.95	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		BNNY	S	356	32.95	n/a	n/a	non-brg	2.5
PATTEN & PATTEN	025	BP	B	220	44.61	n/a	n/a	11.00	5.0
BUCK CAPITAL MGMT		BWA	C	6,000	83.41	n/a	15-Feb	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		BWLD	C	15,000	92.05	n/a	n/a	non-brg 4.0
CAERUS GLOBAL	041	CAG	S	10,000	26.29	n/a	n/a	400.00 4.0
PATTEN & PATTEN	025	CAT	S	100	104.10	n/a	27-Jan	5.00 5.0
IMPALA ASSET MMT	040	CHRW	SS	17,800	64.96	n/a	n/a	890.00 5.0
MTB INV ADV	034	CHSI	B	3,075	63.27	n/a	n/a	99.94 3.3
RBC CAPITAL MARKETS	040	CLX	SS	10,000	68.45	n/a	20-Mar	400.00 4.0
MELLON/BANK OF NEW YORK	046	CMCSA	B	19,640	29.89	n/a	n/a	785.60 4.0
BNP PARIBAS SEC	040	COH	B	10,000	77.02	n/a	2-Mar	500.00 5.0
f JASON ERIC COHEN	FID	CRK	S	250	16.27	n/a	n/a	0.00 0.0
MTB INV ADV	034	CRL	B	5,150	36.19	n/a	n/a	167.38 3.3
ROTHSCHILD ASSET	040	CYT	B	700	60.10	n/a	n/a	28.00 4.0
B BUCK CAPITAL MGMT		DDS	SS	20,000	62.46	n/a	n/a	non-brg 4.0
B BUCK CAPITAL MGMT		DECK	B	8,000	63.92	n/a	n/a	non-brg 4.0
f JOHN MICHAEL ZOLIDIS	FID	DECK	S	100	63.81	n/a	n/a	0.00 0.0
BRONSON POINT	036	DFS	C	20,000	33.22	n/a	28-Mar	600.00 3.0
DELTEC ASSET MGMT.	040	DG	B	10,000	45.75	n/a	23-Mar	500.00 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	040	DG	B	30,000	45.81	n/a	23-Mar	1,200.00	4.0
CYRUS CAPITAL	025	DHI	B	25,000	15.91	n/a	n/a	1,000.00	4.0
PATTEN & PATTEN	025	DIS	B	250	43.75	n/a	n/a	12.50	5.0
B BUCK CAPITAL MGMT		DKS	S	10,000	47.92	n/a	7-Mar	non-brg	4.0
PATTEN & PATTEN	025	DKS	B	300	47.97	n/a	7-Mar	15.00	5.0
PATTEN & PATTEN	025	DMND	S	100	23.29	n/a	n/a	5.00	5.0
CHANNING CAPITAL	031	EMN	B	9,476	50.92	n/a	n/a	379.04	4.0
NEWLAND CAPITAL MGT	025	ES	S	25,000	5.01	n/a	n/a	750.00	3.0
IMPALA ASSET MMT	040	ETN	SS	25,786	49.04	n/a	23-Mar	1,289.30	5.0
PATTEN & PATTEN	025	ETN	B	100	48.76	n/a	23-Mar	5.00	5.0
B BUCK CAPITAL MGMT		FDO	SS	100,000	58.44	n/a	16-Mar	non-brg	4.0
RBC CAPITAL MARKETS	040	FDO	SS	30,000	58.73	n/a	16-Mar	1,200.00	4.0
WEINTRAUB CAPITAL MGT.	269	FDO	SS	50,000	58.66	n/a	16-Mar	2,000.00	4.0
IMPALA ASSET MMT	040	FDX	S	21,199	91.34	n/a	n/a	1,059.95	5.0
PATTEN & PATTEN	025	FLEX	S	1,000	7.30	n/a	n/a	50.00	5.0
IMPALA ASSET MMT	040	FLR	S	159,979	60.02	n/a	n/a	7,998.95	5.0
B BUCK CAPITAL MGMT		FOSL	SS	10,000	130.59	n/a	n/a	non-brg	4.0
HOPLITE MGMT	025	F	B	9,642	12.26	n/a	12-Mar	385.68	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IMPALA ASSET MMT	040	F	S	26,150	12.26	n/a	12-Mar	784.50	3.0
PATTEN & PATTEN	025	GLW	S	2,325	13.98	n/a	12-Mar	116.25	5.0
f KAREN F LEDDY	FID	GMCR	S	350	50.01	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	GPS	S	50,000	25.98	n/a	n/a	1,500.00	3.0
B BUCK CAPITAL MGMT		GS	B	4,000	125.54	n/a	26-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		GWV	C	2,300	214.48	n/a	23-Mar	non-brg	4.0
IMPALA ASSET MMT	040	HAL	S	115,172	32.29	n/a	n/a	4,606.88	4.0
IMPALA ASSET MMT	040	HES	SS	15,180	58.52	n/a	n/a	759.00	5.0
PATTEN & PATTEN	025	HON	S	200	60.59	n/a	23-Mar	10.00	5.0
IMPALA ASSET MMT	040	HP	S	11,535	53.81	n/a	n/a	576.75	5.0
ROTHSCHILD ASSET	040	JBL	B	2,660	25.23	n/a	n/a	106.40	4.0
KLEINHEINZ CAP PART	031	JCP	SS	30,000	36.14	n/a	27-Mar	1,500.00	5.0
PORTER-ORLIN	044	KBH	B	75,000	9.32	n/a	n/a	3,000.00	4.0
IMPALA ASSET MMT	040	KEX	S	900	66.08	n/a	n/a	45.00	5.0
THE JAY GOLDMAN	028	KSS	B	50,000	49.16	n/a	27-Mar	2,000.00	4.0
WEINTRAUB CAPITAL MGT.	269	KSS	B	100,000	49.18	n/a	27-Mar	4,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		LIZ	S	30,000	11.74	n/a	7-Oct	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	LVS	S	300	59.12	n/a	13-Jun	0.00	0.0
CYRUS CAPITAL	025	MAS	B	10,000	13.78	n/a	n/a	400.00	4.0
MATRIX ASSET ADV.	091	MET	B	1,300	37.54	n/a	n/a	52.00	4.0
f DAVID GEORGE BUCK	FID	MHS	S	10	2.40	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	297	MKL	B	2,586	438.81	n/a	n/a	103.44	4.0
CHANNING CAPITAL	031	MSFT	B	6,328	32.20	n/a	n/a	253.12	4.0
B BUCK CAPITAL MGMT		MS	B	25,000	20.19	n/a	26-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		MW	SS	30,000	39.10	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	MW	S	63,300	39.35	n/a	n/a	2,532.00	4.0
IMPALA ASSET MMT	040	NAT	B	74,500	15.52	n/a	n/a	2,980.00	4.0
f FRANCIS MICHAEL MCCA	FID	NKE	S	150	107.31	n/a	23-Mar	0.00	0.0
ST OF MICHIAN	047	NOV	S	158,100	77.56	n/a	n/a	4,743.00	3.0
KENNEDY CAPITAL	046	NP	S	9,645	30.09	n/a	21-Feb	385.80	4.0
PATTEN & PATTEN	025	NSC	B	100	65.94	n/a	n/a	5.00	5.0
IMPALA ASSET MMT	040	ODFL	S	16,875	47.60	n/a	n/a	843.75	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ST OF MICHIAN	047	ORCL	S	114,000	29.29	n/a	n/a	3,420.00	3.0
PATTEN & PATTEN	025	PG	S	400	67.02	n/a	20-Mar	20.00	5.0
B BUCK CAPITAL MGMT		PII	S	20,000	72.88	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	046	PPG	S	113,038	94.66	n/a	15-Mar	4,521.52	4.0
B BUCK CAPITAL MGMT		PVH	S	10,000	89.55	n/a	29-Feb	non-brg	4.0
CHANNING CAPITAL	031	PXP	B	10,741	42.84	n/a	n/a	429.64	4.0
f PATRICK O'GRADY	FID	REVV	B	5319	0.09	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	040	RIMM	B	150,346	13.63	n/a	n/a	4,510.38	3.0
f IAN L MILLER	FID	RTN	S	25	52.59	n/a	14-Feb	0.00	0.0
WHITE PINE CAPITAL	030	SGMS	S	2,000	11.60	n/a	n/a	100.00	5.0
NEWLAND CAPITAL MGT	025	SHAW	S	1,600	31.51	n/a	n/a	64.00	4.0
B BUCK CAPITAL MGMT		SHOO	S	10,000	43.16	n/a	n/a	non-brg	4.0
IMPALA ASSET MMT	040	SHOO	B	35,000	43.39	n/a	n/a	1,750.00	5.0
PATTEN & PATTEN	025	SLB	B	100	69.44	n/a	n/a	5.00	5.0
MELLON/BANK OF NEW YORK	046	STX	S	277,715	27.09	n/a	n/a	11,108.60	4.0
IMPALA ASSET MMT	040	SWFT	S	6,396	11.72	n/a	n/a	191.88	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OSTERWEIS CAPITAL	084	SWY	S	173,205	20.22	n/a	n/a	8,660.25	5.0
f JOHN MICHAEL ZOLIDIS	FID	TEA	B	300	20.05	n/a	n/a	0.00	0.0
MELLON/BANK OF NEW YORK	046	TECD	S	10,950	54.29	n/a	n/a	438.00	4.0
MTB INV ADV	034	TEN	S	9,850	36.72	n/a	n/a	320.13	3.3
IMPALA ASSET MMT	040	TK	B	43,800	34.19	n/a	n/a	1,752.00	4.0
f REUBEN D DVORETSKY	FID	TNK	S	370	5.70	n/a	n/a	0.00	0.0
IMPALA ASSET MMT	040	TPX	B	36,000	84.03	n/a	n/a	1,800.00	5.0
IMPALA ASSET MMT	040	TRN	S	35,000	32.83	n/a	n/a	1,400.00	4.0
IMPALA ASSET MMT	040	UPS	S	8,800	80.01	n/a	n/a	440.00	5.0
IMPALA ASSET MMT	040	URI	S	37,446	41.66	n/a	n/a	1,872.30	5.0
IMPALA ASSET MMT	040	URS	SS	117,000	42.88	n/a	n/a	5,850.00	5.0
B BUCK CAPITAL MGMT		VCRA	B	4,000	16.00	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		VCRA	S	4,000	24.00	n/a	n/a	non-brg	2.5
NEWLAND CAPITAL MGT	025	VLO	SS	25,000	27.24	n/a	n/a	1,000.00	4.0
IMPALA ASSET MMT	040	WFT	SS	101,430	15.15	n/a	n/a	5,071.50	5.0
MTB INV ADV	034	WNC	S	34,500	10.25	n/a	n/a	1,121.25	3.3

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MTB INV ADV	034	WPRT	S	8,325	42.02	n/a	n/a	270.56	3.3
PATTEN & PATTEN	025	WPX	S	250	18.52	n/a	n/a	12.50	5.0
FORTRESS INVESTMENT (US)	036	XLE	SS	16,000	71.09	n/a	n/a	640.00	4.0
B BUCK CAPITAL MGMT		XLF	SS	63,000	15.82	n/a	n/a	non-brg	2.0
IMPALA ASSET MMT	040	XLI	SS	62,000	37.17	n/a	n/a	2,480.00	4.0
f IAN L MILLER	FID	XLV	B	30	37.18	n/a	n/a	0.00	0.0
MOORE CAPITAL	041	XRT	SS	25,000	61.67	n/a	n/a	750.00	3.0
f DAVID GEORGE BUCK	FID		S	2000	25.52	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :							131,179.08		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	03/23	PROSPECTOR PARTNERS LLC	036	GFI	B	1,700	13.87	n/a	n/a	51.00	3.0
AO,CRT	03/23	PROSPECTOR PARTNERS LLC	036	GFI	B	1,700	13.87	n/a	n/a	51.00	3.0

TOTAL (AO, CXL, CRT) :	0.00
-------------------------------	-------------

TOTAL (Trade Date) :	131,179.08
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	131,179.08
--------------------------------	-------------------

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 28-Mar.
NONE

MRI Securities without Trade Activity on 28-Mar.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)