



Daily Compliance Activity Report

Trade Date: 01/24/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JENNISON	070	ALTR	B	542,929	39.81	n/a	n/a	20,088.41	3.7
T. ROWE PRICE	033	ALXN	B	32,300	74.01	n/a	n/a	1,292.00	4.0
EDGEWOOD	085	AMT	S	10,819	61.90	n/a	n/a	540.95	5.0
BALYASNY ASSET MGMT	044	ANN	C	25,000	23.99	n/a	n/a	1,250.00	5.0
SENATOR INVESTMENT GROUP	036	AOS	S	32,800	41.17	n/a	21-Oct	984.00	3.0
MTB INV ADV	034	AT	S	9,205	14.70	n/a	n/a	460.25	5.0
B BUCK CAPITAL MGMT		AXE	S	25,000	67.29	n/a	19-Jan	non-brg	4.0
BALYASNY ASSET MGMT	044	BMS	B	15,000	30.01	n/a	n/a	750.00	5.0
SURVEYOR CAPITAL	251	BMV	SS	75,000	32.31	n/a	n/a	2,250.00	3.0
B BUCK CAPITAL MGMT		BODY	S	10,000	24.56	n/a	n/a	non-brg	2.5
MERRILL ASSET/BLACKROCK	297	BOH	S	29,420	46.13	n/a	n/a	1,176.80	4.0
B BUCK CAPITAL MGMT		BONT	B	50,000	3.66	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		BWLD	SS	20,000	62.89	n/a	n/a	non-brg	4.0
f JOHN M HICKEY	FID	CAMAX	B	2189	11.42	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON INV ADV	035	CCL	B	58,580	31.81	n/a	n/a	2,343.20	4.0
WOODWAY FINANCIAL	031	CHK	S	600	22.32	n/a	n/a	36.00	6.0
⚡ BUCK CAPITAL MGMT		CMA	S	6,000	29.32	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CMG	S	1,709	358.06	n/a	6-Jan	non-brg	2.5
⚡ BUCK CAPITAL MGMT		CMG	S	1,710	358.47	n/a	6-Jan	non-brg	2.5
⚡ BUCK CAPITAL MGMT		COH	S	20,000	68.13	n/a	23-Jan	non-brg	4.0
EDGEWOOD	085	COH	S	11,087	67.65	n/a	23-Jan	554.35	5.0
M&I FUNDS	047	COH	S	5,419	68.83	n/a	23-Jan	216.76	4.0
MTB INV ADV	034	CTB	B	5,175	15.57	n/a	n/a	258.75	5.0
⚡ JONATHAN RICHARD HAR	FID	CVGI	B	235	10.68	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		DAL	S	50,000	9.39	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		DECK	B	12,700	79.46	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DECK	B	40,000	79.39	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DECK	B	40,000	79.39	n/a	n/a	non-brg	4.0
⚡ JONATHAN RICHARD HAR	FID	DECK	B	80	79.53	n/a	n/a	0.00	0.0
⚡ JOHN M HICKEY	FID	FAIRX	B	964	25.93	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	FDO	SS	5,000	56.12	n/a	9-Jan	200.00	4.0
⚡ BUCK CAPITAL MGMT		FINL	B	65,000	20.78	n/a	20-Jan	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	031	FINL	B	5,000	20.68	n/a	20-Jan	250.00	5.0
⚡ BUCK CAPITAL MGMT		FL	S	30,000	25.94	n/a	9-Jan	non-brg	4.0
ROARING BLUE LION MGMT	031	FL	S	9,800	26.09	n/a	9-Jan	392.00	4.0
f JOHN M HICKEY	FID	FNMI	B	1880	15.96	n/a	n/a	0.00	0.0
f JOHN M HICKEY	FID	FSCR	B	705	21.28	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		GIL	S	30,000	22.14	n/a	23-Jan	non-brg	4.0
f KAREN F LEDDY	FID	GMCR	B	200	49.57	n/a	n/a	0.00	0.0
DEVENNY CAP LLC	044	GM	SS	10,000	24.76	n/a	19-Jan	300.00	3.0
RBC CAPITAL MARKETS	040	HAS	SS	5,000	34.19	n/a	n/a	200.00	4.0
⚡ BUCK CAPITAL MGMT		HBAN	S	30,000	5.82	n/a	n/a	non-brg	3.0
EDGEWOOD	085	ILMN	S	16,753	37.48	n/a	n/a	837.65	5.0
BALYASNY ASSET MGMT	044	IP	SS	30,000	32.24	n/a	23-Jan	1,500.00	5.0
⚡ BUCK CAPITAL MGMT		IP	S	50,000	32.24	n/a	23-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		IR	S	50,000	35.20	n/a	20-Oct	non-brg	4.0
MERCHANTS GATE CAP LP	036	IWM	C	28,700	78.47	n/a	n/a	1,148.00	4.0
⚡ BUCK CAPITAL MGMT		JCP	SS	57,900	34.52	n/a	23-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		JWN	SS	30,000	49.33	n/a	9-Jan	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		KBH	S	5,000	9.25	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		KEY	S	100,700	8.20	n/a	n/a	non-brg	3.0
TOCQUEVILLE	035	KIM	S	58,000	18.31	n/a	n/a	2,900.00	5.0
f JASON ERIC COHEN	FID	KNX	S	180	16.19	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		LEN	S	40,000	22.32	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LIZ	S	50,000	9.30	n/a	7-Oct	non-brg	3.0
⚡ BUCK CAPITAL MGMT		LIZ	S	50,000	9.30	n/a	7-Oct	non-brg	3.0
DEVENNY CAP LLC	044	LKQX	SS	3,900	32.59	n/a	n/a	117.00	3.0
⚡ BUCK CAPITAL MGMT		LULU	SS	30,000	61.93	n/a	10-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	S	5,922	99.17	n/a	24-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	S	5,922	99.17	n/a	24-Jan	non-brg	4.0
STRONG/MARK GRONET	030	MDRX	B	200,000	18.55	n/a	n/a	8,000.00	4.0
f JOHN M HICKEY	FID	MFOCX	B	1349	18.53	n/a	n/a	0.00	0.0
ROYCE TRADING	031	MLI	B	4,770	42.17	n/a	26-Oct	238.50	5.0
⚡ BUCK CAPITAL MGMT		MNST	S	10,000	105.29	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		MNST	S	10,000	105.30	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		MPXL	S	30,000	11.07	n/a	13-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		MPEL	S	40,000	11.05	n/a	13-Jun	non-brg	4.0
f IAN L MILLER	FID	MSFT	S	60	29.43	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MSMSBCM	SS	40,000	99.50	n/a	n/a	non-brg	8.0
ß BUCK CAPITAL MGMT		MSMSRASH	SS	40,000	101.78	n/a	n/a	non-brg	8.1
ß BUCK CAPITAL MGMT		MTH	S	5,000	26.14	n/a	n/a	non-brg	4.0
ROYCE TRADING	031	MTX	B	6,139	64.22	n/a	n/a	306.95	5.0
DEVENNY CAP LLC	044	NAV	S	5,000	42.83	n/a	10-Jan	150.00	3.0
DAVIDSON INV ADV	035	NKE	S	16,810	101.79	n/a	9-Jan	672.40	4.0
ß BUCK CAPITAL MGMT		NUS	S	15,000	48.07	n/a	n/a	non-brg	4.0
f JOHN M HICKEY	FID	OAKIX	B	1400	17.86	n/a	n/a	0.00	0.0
EDGEWOOD	085	ORCL	S	22,568	28.56	n/a	n/a	1,128.40	5.0
Õ Nilsa Vazquez		PBR	??	300	31.29	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PCAR	S	10,000	44.94	n/a	19-Jan	non-brg	4.0
COLUMBUS CAP MGMT LLC	084	PG	SS	21,000	64.36	n/a	24-Jan	1,050.00	5.0
ß BUCK CAPITAL MGMT		PH	C	20,000	81.40	n/a	23-Jan	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	SS	27,512	28.00	n/a	24-Jan	1,375.60	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	PKG	S	35,188	27.65	n/a	24-Jan	1,759.40	5.0
THE JAY GOLDMAN	028	PLCE	B	50,000	50.88	n/a	18-Jan	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		PSS	B	100,000	15.76	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PSS	B	100,000	15.76	n/a	n/a	non-brg	4.0
BNP PARIBAS SEC	040	PVH	S	15,000	77.93	n/a	23-Jan	750.00	5.0
EDGEWOOD	085	PWR	S	26,847	20.80	n/a	n/a	1,342.35	5.0
LISTED ERR	099	PWR	B	360	20.80	n/a	n/a	0.00	0.0
EDGEWOOD	085	QCOM	S	18,837	58.20	n/a	n/a	941.85	5.0
⚡ BUCK CAPITAL MGMT		RIG	S	25,000	45.45	n/a	n/a	non-brg	4.0
f JOHN BARTMAN COLE	FID	RIMM	B	500	14.96	n/a	n/a	0.00	0.0
DEVENNY CAP LLC	044	RKT	S	8,500	66.64	n/a	23-Jan	255.00	3.0
f RAVI SADARANGANI	FID	RKT	B	300	67.18	n/a	23-Jan	0.00	0.0
⚡ BUCK CAPITAL MGMT		RL	SS	10,000	150.75	n/a	23-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		RL	SS	10,000	151.46	n/a	23-Jan	non-brg	4.0
f JOHN M HICKEY	FID	RNDLX	B	2788	10.76	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		RUSHA	S	10,000	21.40	n/a	n/a	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	RYN	S	15,000	46.12	n/a	23-Jan	750.00	5.0
NEWLAND CAPITAL MGT	025	SEE	S	25,000	19.91	n/a	2-Jun	1,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		SKT	S	50,000	29.17	n/a	n/a	non-brg	2.5
ROARING BLUE LION MGMT	031	SSI	S	12,300	15.36	n/a	n/a	492.00	4.0
⚡ BUCK CAPITAL MGMT		STI	S	50,000	21.00	n/a	n/a	non-brg	4.0
⚡ JASON ERIC COHEN	FID	SWFT	B	210	9.48	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		TJX	S	30,000	66.68	n/a	9-Jan	non-brg	4.0
FARALLON CAP MGMT	040	TPX	SS	400	61.28	n/a	n/a	16.00	4.0
NICOLAS APPLGATE	084	TXT	S	21,200	21.66	n/a	n/a	424.00	2.0
⚡ BUCK CAPITAL MGMT		ULTA	S	10,000	76.96	n/a	n/a	non-brg	4.0
EDGEWOOD	085	VIAB	B	17,000	47.67	n/a	n/a	850.00	5.0
EDGEWOOD	085	VOD	B	15,000	27.49	n/a	n/a	750.00	5.0
ROYCE TRADING	031	VSH	S	20,000	12.04	n/a	n/a	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		WAG	C	50,000	34.03	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WAG	SS	50,000	33.61	n/a	n/a	non-brg	2.5
⚡ BUCK CAPITAL MGMT		WBS	S	10,000	21.04	n/a	n/a	non-brg	4.0
M&I FUNDS	047	WHR	S	8,899	54.12	n/a	n/a	355.96	4.0
YORK CAPITAL	036	WMB	S	100,000	29.02	n/a	n/a	3,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		WNC	S	25,000	8.88	n/a	n/a	non-brg	3.0
BNP PARIBAS SEC	040	WRC	S	20,280	55.41	n/a	23-Jan	1,014.00	5.0
SONTERRA CAP	041	WSM	B	19,404	34.68	n/a	n/a	776.16	4.0
ß BUCK CAPITAL MGMT		WYNN	C	10,150	118.52	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		WYNN	C	10,150	118.52	n/a	13-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		WYNN	C	49,700	118.52	n/a	13-Jun	non-brg	4.0
f JONATHAN RICHARD HAR	FID	XPO	S	735	11.57	n/a	n/a	0.00	0.0
f JOHN M HICKEY	FID	YACKX	B	1374	18.20	n/a	n/a	0.00	0.0
MILLENIUM SUB A/C NEW VERNON085	Z		S	10,000	27.55	n/a	n/a	400.00	4.0
TOTAL (Trade Date):							70,844.69		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									70,844.69
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									70,844.69
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 24-Jan.
NONE

MRI Securities without Trade Activity on 24-Jan.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)