



Daily Compliance Activity Report

Trade Date: 02/29/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BRONSON POINT	036	AGCO	SS	21,000	51.61	n/a	23-Feb	630.00	3.0
f IAN L MILLER	FID	AGREX	B	23	42.98	n/a	n/a	0.00	0.0
f IAN L MILLER	FID	ALTFX	B	14	71.22	n/a	n/a	0.00	0.0
RIDGECREST INV MGMT LLC	028	ARO	B	10,000	18.90	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		ASNA	B	100,000	38.78	n/a	n/a	non-brg	4.0
DC CAPITAL	044	ATI	B	25,000	43.97	n/a	29-Feb	1,000.00	4.0
TUDOR INVESTORS	028	AWI	SS	2,000	51.49	n/a	n/a	60.00	3.0
B BUCK CAPITAL MGMT		BBBY	S	20,000	59.82	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	BBY	S	1000	25.62	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	BIG	S	50,000	44.54	n/a	16-Feb	2,500.00	5.0
B BUCK CAPITAL MGMT		BONT	B	12,700	4.79	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		BONT	B	25,300	4.79	n/a	n/a	non-brg	2.0
f IAN L MILLER	FID	CABDX	B	126	3.96	n/a	n/a	0.00	0.0
CATAPULT	040	CBT	B	10,000	40.66	n/a	3-Feb	400.00	4.0
BESSEMER INVESTMENT	085	CCL	B	405	30.30	n/a	n/a	16.20	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TUDOR INVESTORS	028	CF	SS	2,000	186.61	n/a	n/a	60.00	3.0
f WILLIAM J GAULT	FID	COG	B	1500	34.86	n/a	22-Feb	0.00	0.0
BESSEMER INVESTMENT	085	COP	B	380	77.11	n/a	n/a	15.20	4.0
MOORE CAPITAL	041	CROX	B	10,000	19.95	n/a	n/a	300.00	3.0
BESSEMER INVESTMENT	085	CVS	B	430	44.99	n/a	n/a	17.20	4.0
WATERFRONT CAP	028	DDR	B	50,000	14.23	n/a	n/a	2,000.00	4.0
B BUCK CAPITAL MGMT		DECK	B	10,000	75.00	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	B	6,700	75.04	n/a	n/a	non-brg	4.0
BRONSON POINT	036	DE	SS	11,904	83.07	n/a	23-Feb	357.12	3.0
B BUCK CAPITAL MGMT		DKS	B	10,000	44.84	n/a	17-Feb	non-brg	4.0
BRONSON POINT	036	EL	B	37,500	58.62	n/a	6-Feb	1,125.00	3.0
CATAPULT	040	EMN	S	10,000	54.37	n/a	n/a	400.00	4.0
SENATOR INVESTMENT GROUP	036	EMN	B	30,000	54.08	n/a	n/a	900.00	3.0
SHIKIAR ASSET	025	ERF	S	10,000	23.98	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		EXPR	S	10,000	23.89	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	FCX	B	355	43.77	n/a	n/a	14.20	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f EDWARD W WHEELER	FID	FOE	B	20000	6.20	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	FSAVX	S	131	38.05	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GIII	S	2,000	25.40	n/a	n/a	non-brg	0.8
B BUCK CAPITAL MGMT		GIII	S	54,650	25.14	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	B	20,100	24.96	n/a	9-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		GM	C	20,000	25.97	n/a	29-Feb	non-brg	4.0
OZ MGMT	040	GM	SS	50,000	26.08	n/a	29-Feb	1,500.00	3.0
B BUCK CAPITAL MGMT		GOOG	S	14,000	618.00	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		GPS	B	100,000	23.57	n/a	n/a	non-brg	4.0
PZENA INV ADV	044	GS	S	1,275	117.50	n/a	16-Feb	44.63	3.5
CAMBIAR CAP	046	HSC	B	82,200	22.45	n/a	31-Oct	3,288.00	4.0
CSFB GLOBAL PROPRIETARY	041	IP	B	50,000	35.51	n/a	2-Feb	2,500.00	5.0
NUVEEN - US BANCORP		030 IVR	B	93,775	17.19		n/a	n/a	4,219.88 4.5
f JASON ERIC COHEN	FID	IVV	S	65	137.54	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	JCI	B	5,000	33.00	n/a	27-Feb	150.00	3.0
B BUCK CAPITAL MGMT		JCP	C	13,000	39.79	n/a	27-Feb	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	NICOLAS EVAN PAKLER	FID	JCP	S	400	40.38	n/a	27-Feb	0.00	0.0
f	IAN L MILLER	FID	JNJ	B	50	64.88	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	JPM	B	1500	39.64	n/a	29-Feb	0.00	0.0
f	DAVID GEORGE BUCK	FID	JPM	B	300	39.64	n/a	29-Feb	0.00	0.0
	BASIX	033	KMT	B	10,000	46.21	n/a	14-Feb	300.00	3.0
	CYPRESS CAP MGMT LLC	040	KSS	B	8,575	49.83	n/a	24-Feb	343.00	4.0
f	STEFFANIE CARMELA CA	FID	LAD	B	100	23.86	n/a	n/a	0.00	0.0
	SANDLER O'NEILL ASSET	031	LAZ	S	20,000	30.49	n/a	16-Feb	1,000.00	5.0
	CYPRESS CAP MGMT LLC	040	LOW	S	5,127	28.39	n/a	28-Feb	205.08	4.0
	CSFB GLOBAL PROPRIETARY	041	LPX	B	36,737	8.25	n/a	7-Feb	1,836.85	5.0
	SCOPUS FUND	041	LPX	B	50,000	8.34	n/a	7-Feb	2,000.00	4.0
	BESSEMER INVESTMENT	085	MHP	B	500	46.42	n/a	n/a	20.00	4.0
	CAVOLEPH	085	MRVL	B	2,000	14.95	n/a	n/a	80.00	4.0
B	BUCK CAPITAL MGMT		MSMSBCM	C	1,179,600	107.23	n/a	n/a	non-brg	0.1
B	BUCK CAPITAL MGMT		MSMSBCM	C	1,707,425	107.23	n/a	n/a	non-brg	0.1
B	BUCK CAPITAL MGMT		MSMSBCM	SS	1,173,745	107.23	n/a	n/a	non-brg	0.0
B	BUCK CAPITAL MGMT		MSMSBCM	SS	1,698,951	107.23	n/a	n/a	non-brg	0.0
B	BUCK CAPITAL MGMT		MSMSRASH	C	276,459	113.57	n/a	n/a	non-brg	0.8

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		MSMSRASH	SS	252,969	113.57	n/a	n/a	non-brg	0.0
ROYCE TRADING	031	MTX	B	30,836	64.90	n/a	n/a	1,541.80	5.0
⚡ BUCK CAPITAL MGMT		MWV	B	66,200	30.30	n/a	26-Jan	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	M	S	1000	38.13	n/a	24-Feb	0.00	0.0
SIMMONS VENTURES	041	M	B	5,000	37.88	n/a	24-Feb	200.00	4.0
f ANTHONY SUTERA	FID	NLY	B	1000	16.59	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	OC	B	5,000	31.95	n/a	n/a	150.00	3.0
f WILLIAM J GAULT	FID	OVRL	B	10000	2.30	n/a	n/a	0.00	0.0
SCOUT/UMB	047	PCS	S	200,000	10.30	n/a	n/a	6,000.00	3.0
⚡ BUCK CAPITAL MGMT		PII	B	25,000	66.90	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		PII	B	45,000	66.31	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PSMT	S	5,000	64.50	n/a	n/a	non-brg	2.5
TUDOR INVESTORS	028	RBC	SS	5,000	67.54	n/a	n/a	150.00	3.0
f JASON ERIC COHEN	FID	RRR	S	360	22.32	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	RYN	B	1500	44.79	n/a	26-Jan	0.00	0.0
⚡ BUCK CAPITAL MGMT		R	B	10,000	52.84	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SODA	B	100,000	39.96	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOSEPH CIRO AMATURO	FID	SODA	B	1000	40.21	n/a	n/a	0.00	0.0
	TUDOR INVESTORS	028	SPW	B	2,000	73.70	n/a	n/a	60.00	3.0
	ROTHSCHILD ASSET	040	SYNA	B	11,520	37.97	n/a	n/a	460.80	4.0
	RAINIER INV MGMT	035	TDC	B	90,000	66.59	n/a	n/a	3,600.00	4.0
	THE JAY GOLDMAN	028	TGT	S	10,000	56.63	n/a	24-Feb	400.00	4.0
f	NICOLAS EVAN PAKLER	FID	TOL	B	700	23.42	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	UNH	B	395	55.97	n/a	n/a	15.80	4.0
	BESSEMER INVESTMENT	085	UN	B	360	33.50	n/a	n/a	14.40	4.0
f	JASON ERIC COHEN	FID	URI	B	90	42.18	n/a	n/a	0.00	0.0
	SCOPUS FUND	041	WCN	B	115,200	32.09	n/a	n/a	4,608.00	4.0
	DELTEC ASSET MGMT.	040	WFT	B	20,000	16.55	n/a	n/a	1,000.00	5.0
f	FRANCIS MICHAEL MCCA	FID	WMS	S	600	22.32	n/a	13-Jun	0.00	0.0
B	BUCK CAPITAL MGMT		WRC	C	60,000	59.15	29-Feb	29-Feb	non-brg	4.0
	SIMMONS VENTURES	041	WRC	B	5,000	58.34	29-Feb	29-Feb	200.00	4.0
f	STEFFANIE CARMELA CA	FID	XPO	S	250	16.58	n/a	n/a	0.00	0.0
	CYPRESS CAP MGMT LLC	040	Z	S	10,116	32.13	n/a	n/a	404.64	4.0

TOTAL (Trade Date):

47,087.80

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	02/27	CAVOLEPH	085	MRVL	B	2,250	15.35	n/a	n/a	90.00	0.0
AO,CXL	02/27	CAVOLEPH	085	MRVL	B	2,250	15.35	n/a	n/a	90.00	0.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	47,087.80
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	47,087.80
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	02/28	BUCK CAPITAL MGMT.	MSMSBCM	C	1,663,924	107.92	n/a	n/a	0.00
CRT	02/28	BUCK CAPITAL MGMT	MSMSBCM	C	1,663,924	107.92	n/a	n/a	0.00 0.0

MRI Securities with Trade Activity on 29-Feb.

WRC

MRI Securities without Trade Activity on 29-Feb.

PVH, DPZ

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)