



## Daily Compliance Activity Report

Trade Date: 12/29/2010

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT	001	AEO	S	150,000	14.50	n/a	3-Dec	4,500.00 3.0
LAGRANGE CAP ADV	048	ALV	SS	5,000	79.00	n/a	27-Oct	250.00 5.0
STRONG/MARK GRONET	030	AUY	S	10,000	12.79	n/a	n/a	400.00 4.0
BRANDWINE	028	BBY	S	101,700	34.38	n/a	n/a	4,068.00 4.0
BUCK CAPITAL MGMT	001	BID	S	10,000	45.25	n/a	n/a	300.00 3.0
LOMBARDIA CAP MGMT	084	CONN	S	88,368	4.70	n/a	n/a	4,191.23 5.0
CRAMER ROSENTHAL/SCOTT	012	ENS	B	1,600	32.35	n/a	n/a	64.00 4.0
ARCHON CAPITAL	035	EXTR	B	125,000	3.20	n/a	n/a	3,750.00 3.0
CRAMER ROSENTHAL/SCOTT	012	FCF	B	9,200	7.30	n/a	n/a	368.00 4.0
CRAMER ROSENTHAL/SCOTT	012	FPO	B	3,500	17.14	n/a	n/a	140.00 4.0
PZENA INV ADV	044	FRX	B	23,023	32.10	n/a	27-Dec	805.83 3.5
CRAMER ROSENTHAL/SCOTT	012	GEF	B	1,400	63.20	n/a	n/a	56.00 4.0
BUCK CAPITAL MGMT	001	GES	S	20,000	47.07	n/a	24-Nov	600.00 3.0
CRAMER ROSENTHAL/SCOTT	012	GPI	B	2,600	41.42	n/a	27-Oct	104.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LATEFF MGMT	035	HPQ	S	750	42.58	n/a	n/a	210.00	28.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HTZ</b>	<b>S</b>	<b>20,000</b>	<b>14.22</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>3.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JNY</b>	<b>S</b>	<b>30,000</b>	<b>15.52</b>	<b>n/a</b>	<b>28-Oct</b>	<b>900.00</b>	<b>3.0</b>
TRUSTCO/SUNTRUST	040	JNY	B	13,100	15.50	n/a	28-Oct	458.50	3.5
SIGMA CAPITAL ASSOCIATES	041	KR	B	25,000	21.91	n/a	n/a	875.00	3.5
LEVIN CAPITAL STRATEGIES	012	LEA	B	6,200	96.75	n/a	29-Oct	186.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>S</b>	<b>20,000</b>	<b>30.85</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>3.0</b>
SCOPUS FUND	041	MTW	S	9,500	12.90	n/a	1-Nov	380.00	4.0
<b>MATRIX ASSET ADV.</b>	<b>091</b>	<b>MWW</b>	<b>S</b>	<b>3,900</b>	<b>23.75</b>	<b>n/a</b>	<b>n/a</b>	<b>156.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>M</b>	<b>S</b>	<b>10,000</b>	<b>25.26</b>	<b>n/a</b>	<b>30-Nov</b>	<b>300.00</b>	<b>3.0</b>
STRONG/MARK GRONET	030	NLY	S	8,800	17.88	n/a	n/a	352.00	4.0
<b>MASTERS CAPITAL MANAGE</b>	<b>091</b>	<b>PFE</b>	<b>S</b>	<b>50,000</b>	<b>17.64</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
WEINTRAUB CAPITAL MGT.	040	PKG	B	125,000	25.86	n/a	15-Dec	5,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>20,000</b>	<b>111.71</b>	<b>n/a</b>	<b>11-Nov</b>	<b>600.00</b>	<b>3.0</b>
STRONG/MARK GRONET	030	RNWK	S	10,695	4.19	n/a	n/a	320.85	3.0
WEINTRAUB CAPITAL MGT.	040	SSCC	S	86,500	25.43	n/a	15-Dec	3,460.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
1798 CAP/LOMBARD ASSET	040	SWC	B	20,000	21.54	n/a	n/a	1,000.00	5.0
STRONG/MARK GRONET	030	TBBK	S	3,005	10.02	n/a	n/a	120.20	4.0
SCOPUS FUND	041	TSN	S	100,000	17.53	n/a	n/a	4,000.00	4.0
SCOPUS FUND	041	WAB	SS	20,000	52.70	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	WRC	S	10,000	54.78	n/a	9-Nov	300.00	3.0
SIGMA CAPITAL ASSOCIATES	041	ZUMZ	SS	10,000	28.27	n/a	n/a	350.00	3.5
<b>TOTAL (Trade Date) :</b>								<b>42,565.61</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	12/29	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	AEO	S	150,000	14.50	n/a	3-Dec	4500.00 3.0
CRT	12/29	LATEFF MGMT (LATE5379)	035	HPQ	S	750	42.58	n/a	n/a	210.00 28.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>4,710.00</b>

TOTAL (Trade Date):	42,565.61
TOTAL (AO, CXL, CRT):	4,710.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>47,275.61</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION