



# Daily Compliance Activity Report

Trade Date: 05/24/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MKT MAKER</b>	<b>099</b>	<b>ACXM</b>	<b>S</b>	<b>4</b>	<b>27.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MAZAMA CAPITAL MGMT.	035	AIQ	S	30,000	8.30	n/a	n/a	1,200.00	4.0
SPRINGBOC	039	AIV	B	36,000	51.73	n/a	n/a	1,800.00	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>AIV</b>	<b>B</b>	<b>900</b>	<b>51.73</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ALGT</b>	<b>B</b>	<b>15,000</b>	<b>31.99</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
AMBER CAPITAL	046	AL	B	120,000	84.62	n/a	n/a	4,800.00	4.0
MAZAMA CAPITAL MGMT.	035	AMG	S	3,700	127.24	25-Apr	23-May	148.00	4.0
SUNOVA CAPITAL	031	AMG	B	25,000	127.75	25-Apr	23-May	1,250.00	5.0
APEX CAPITAL MGMT.	091	AMZN	B	50,000	69.60	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>S</b>	<b>30,000</b>	<b>82.69</b>	<b>n/a</b>	<b>24-May</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	ANN	SS	5,000	38.76	25-May	21-May	250.00	5.0
VISIUM/BALY HOWARD	038	ANN	S	13,300	38.75	25-May	21-May	665.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>S</b>	<b>10,000</b>	<b>46.22</b>	<b>n/a</b>	<b>21-May</b>	<b>non-brg</b>	<b>5.0</b>
THE JAY GOLDMAN	034	ARO	B	65,000	47.29	n/a	21-May	2,600.00	4.0
THOMSON HORSTMAN BRYANT	040	ARO	S	4,500	46.94	n/a	21-May	225.00	5.0
<b>BINDER</b>	<b>007</b>	<b>ASH</b>	<b>S</b>	<b>100</b>	<b>59.51</b>	<b>25-Apr</b>	<b>25-Apr</b>	<b>0.00</b>	<b>0.0</b>
MAZAMA CAPITAL MGMT.	035	ATW	S	11,800	64.49	n/a	n/a	472.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AVCI	C	30,000	8.23	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	BBY	S	10,000	46.65	1-May	11-May	400.00	4.0
BUCK CAPITAL MGMT		BDK	C	30,000	93.46	n/a	n/a	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	BGS	S	11,300	13.55	n/a	n/a	452.00	4.0
FORD FDN.	032	BIIB	B	145,000	48.15	n/a	n/a	7,250.00	5.0
ADAGE CAPITAL MGMT	030	BJ	S	60,100	37.22	10-Apr	23-May	2,404.00	4.0
OPTIMUM INV ADV	030	BMET	S	50,000	43.60	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		BONT	B	65,000	46.06	9-Mar	21-May	non-brg	5.0
DELTEC ASSET MGMT.	040	BONT	B	23,500	44.99	9-Mar	21-May	1,175.00	5.0
STERLING JOHNSTON	035	BONT	S	50,000	44.74	9-Mar	21-May	2,500.00	5.0
WOOSTER CAPITAL	035	BONT	B	20,000	44.54	9-Mar	21-May	1,000.00	5.0
WHEELER	008	BQI	B	40,000	3.09	n/a	n/a	0.00	0.0
WHEELER	008	BRNC	S	6,278	16.79	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	BWS	B	196,900	32.17	n/a	n/a	7,383.75	3.8
GAULT	063	CCUR	S	10,000	1.56	n/a	n/a	0.00	0.0
VARDON PARTNERS	035	CC	SS	40,000	15.85	1-May	1-May	2,000.00	5.0
BRIGHTPOINT CAP	067	CHS	S	10,000	24.81	27-Mar	22-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	CNS	S	3,200	52.27	n/a	n/a	128.00	4.0
EGS ASSOCIATES LP	091	COH	S	5,000	48.82	23-Feb	25-Apr	300.00	6.0
VARDON PARTNERS	035	COH	SS	5,000	49.13	23-Feb	25-Apr	250.00	5.0
MAZAMA CAPITAL MGMT.	035	CPX	S	25,000	26.15	n/a	n/a	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CWTR</b>	<b>B</b>	<b>5,000</b>	<b>20.49</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
MERRILL LYNCH STRATEGICI	034	CYT	S	17,800	59.30	n/a	n/a	890.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>DDS</b>	<b>S</b>	<b>40,000</b>	<b>36.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
WHALE ROCK CAP	030	DDS	S	100,000	35.95	n/a	n/a	4,000.00	4.0
<b>MKT MAKER</b>	<b>099</b>	<b>DEPO</b>	<b>S</b>	<b>58</b>	<b>4.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>DURA OPER CORPB</b>		<b>25,000</b>	<b>50.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GRONET</b>	<b>030</b>	<b>DURA OPER CORPB</b>		<b>100,000</b>	<b>50.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY1</b>	<b>036</b>	<b>DURA OPER CORPB</b>		<b>10,000</b>	<b>50.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>DURA OPER CORPB</b>		<b>100,000</b>	<b>11.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>DURA OPER CORP</b>	<b>S</b>	<b>100,000</b>	<b>50.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>PAKLER</b>	<b>N22</b>	<b>DURA OPER CORPB</b>		<b>5,000</b>	<b>50.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	036	DW	B	20,000	33.56	n/a	n/a	1,000.00	5.0
MAZAMA CAPITAL MGMT.	035	ENG	S	10,900	10.38	n/a	n/a	436.00	4.0
MAZAMA CAPITAL MGMT.	035	EVR	S	17,700	29.65	n/a	n/a	708.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FDO</b>	<b>SS</b>	<b>10,000</b>	<b>33.31</b>	<b>19-Apr</b>	<b>11-May</b>	<b>non-brg</b>	<b>5.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		FD	C	40,000	38.30	17-May	17-May	non-brg 5.0
BUCK CAPITAL MGMT	001	FL	S	40,000	21.07	24-May	24-May	1,600.00 4.0
MAZAMA CAPITAL MGMT.	035	FMR	S	5,000	19.10	n/a	n/a	200.00 4.0
Glenn Redemann		FWLT	B	7	0.54	n/a	n/a	0.00 0.0
Glenn Redemann		FWLT	B	7	0.69	n/a	n/a	0.00 0.0
Glenn Redemann		FWLT	SS	7	1.15	n/a	n/a	0.00 0.0
Glenn Redemann		FWLT	SS	7	1.53	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		GCO	S	127,100	51.57	n/a	10-Jan	non-brg 5.0
MAZAMA CAPITAL MGMT.	035	GHL	S	5,000	68.71	n/a	n/a	200.00 4.0
BUCK CAPITAL MGMT		GIII	B	5,000	18.58	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT	001	GIL	S	10,000	68.62	n/a	3-May	400.00 4.0
MCCARTAN	028	GIL	S	100	68.21	n/a	3-May	0.00 0.0
PAKLER	N22	GIL	S	39	68.16	n/a	3-May	0.00 0.0
AVESTA CAP	229	GME	S	25,000	35.55	n/a	n/a	1,250.00 5.0
DEMARTINI	065	GR	S	500	58.69	26-Apr	26-Apr	0.00 0.0
BUCK CAPITAL MGMT		GYMB	S	105,000	42.04	4-Jan	24-May	non-brg 5.0
MAZAMA CAPITAL MGMT.	035	HF	S	11,100	15.97	n/a	n/a	444.00 4.0
BUCK CAPITAL MGMT		HLYS	B	5,000	30.95	n/a	n/a	non-brg 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>DEMARTINI</b>	<b>065</b>	<b>HMA</b>	<b>S</b>	<b>2,000</b>	<b>10.52</b>	<b>5-Mar</b>	<b>25-Apr</b>	<b>0.00</b>	<b>0.0</b>
MAZAMA CAPITAL MGMT.	035	HPY	S	16,700	25.06	n/a	n/a	668.00	4.0
MILLENIUM PARTNERS	028	HSC	B	10,000	50.27	23-Apr	23-Apr	500.00	5.0
SUN AMERICA ASSET MGMT	030	IBM	S	65,700	104.71	n/a	n/a	3,285.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>S</b>	<b>10,000</b>	<b>21.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
FARALLON CAP MGMT	040	ID	B	79,200	19.61	n/a	n/a	3,168.00	4.0
WHITE PINE CAPITAL	030	IFF	S	200	50.84	3-May	3-May	10.00	5.0
OPTIMUM INV ADV	030	IM	B	8,000	19.80	n/a	n/a	320.00	4.0
CSFB GLOBAL PROPRIETARY	035	IP	SS	50,000	39.01	4-May	4-May	2,500.00	5.0
UBS OCONNOR	031	IP	SS	140,000	38.75	4-May	4-May	4,200.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>IRF</b>	<b>SS</b>	<b>10,000</b>	<b>34.89</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
MERRILL LYNCH STRATEGICI	034	JNY	SS	5,000	30.25	n/a	3-May	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>KMX</b>	<b>S</b>	<b>10,000</b>	<b>22.77</b>	<b>n/a</b>	<b>10-Jan</b>	<b>non-brg</b>	<b>5.0</b>
AVESTA CAP	229	KR	S	50,000	29.28	n/a	n/a	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>S</b>	<b>10,000</b>	<b>73.77</b>	<b>n/a</b>	<b>18-May</b>	<b>400.00</b>	<b>4.0</b>
DELTEC ASSET MGMT.	040	LOW	S	10,000	32.02	n/a	21-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	LTD	SS	30,000	26.52	16-May	24-May	1,200.00	4.0
GALLEON MGMT LP	033	LTR	SS	10,000	50.60	n/a	n/a	500.00	5.0
AVESTA CAP	229	MCD	B	25,000	51.24	24-Apr	24-Apr	1,250.00	5.0
BARR	096	MCO	C	450	71.66	n/a	n/a	0.00	0.0
BARR	096	MCO	SS	250	71.15	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MSM	B	10,000	51.32	n/a	n/a	non-brg	5.0
CSFB GLOBAL PROPRIETARY	035	MWV	S	18,000	34.05	3-May	3-May	900.00	5.0
MAZAMA CAPITAL MGMT.	035	NGS	S	10,000	16.30	n/a	n/a	400.00	4.0
VARDON PARTNERS	035	NKE	SS	5,000	55.11	n/a	n/a	250.00	5.0
STERLING JOHNSTON	035	NWY	S	66,950	12.00	25-May	11-May	3,347.50	5.0
WHITE PINE CAPITAL	030	OLN	S	175	20.02	n/a	22-May	8.75	5.0
BINDER	007	OMX	SS	100	44.34	n/a	15-Apr	0.00	0.0
GAULT	063	OPBL	S	2,000	0.44	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	44.42	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		PERY	C	8,000	30.89	n/a	n/a	non-brg	1.0
WHITE PINE CAPITAL	030	PERY	S	200	32.24	n/a	n/a	10.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LOOMIS SALES/BOSTON	036	PG	S	229,281	63.10	15-Dec	4-May	9,171.24	4.0
MAZAMA CAPITAL MGMT.	035	PJC	S	9,400	64.31	n/a	n/a	376.00	4.0
THE JAY GOLDMAN	034	PKG	B	10,000	25.62	n/a	18-Apr	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>S</b>	<b>30,000</b>	<b>55.04</b>	<b>12-Apr</b>	<b>23-May</b>	<b>non-brg</b>	<b>5.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PSS</b>	<b>B</b>	<b>20,000</b>	<b>34.32</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
WHITE PINE CAPITAL	030	PTIX	S	525	4.97	n/a	n/a	26.25	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>10,000</b>	<b>94.26</b>	<b>2-Feb</b>	<b>24-May</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	RL	SS	15,000	93.85	2-Feb	24-May	750.00	5.0
FARALLON CAP MGMT	040	RSH	B	150,000	32.42	n/a	n/a	6,000.00	4.0
<b>Õ Glenn Redemann</b>		<b>RVBD</b>	<b>B</b>	<b>6</b>	<b>0.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>RVBD</b>	<b>B</b>	<b>6</b>	<b>1.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>RVBD</b>	<b>SS</b>	<b>6</b>	<b>0.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Glenn Redemann</b>		<b>RVBD</b>	<b>SS</b>	<b>6</b>	<b>0.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SHOO</b>	<b>S</b>	<b>30,000</b>	<b>33.08</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
COUGAR TRADING	044	SKX	B	5,000	33.06	n/a	13-Mar	250.00	5.0
<b>ROSENBERG</b>	<b>034</b>	<b>SLB</b>	<b>S</b>	<b>1,000</b>	<b>80.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FARALLON CAP MGMT	040	SLM	B	100,000	55.98	n/a	n/a	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MISSOURI VALLEY PART	046	SMRT	S	65,000	13.18	n/a	n/a	3,250.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>SPNC</b>	<b>B</b>	<b>4,800</b>	<b>9.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SSI</b>	<b>B</b>	<b>10,000</b>	<b>19.41</b>	<b>11-May</b>	<b>21-May</b>	<b>400.00</b>	<b>4.0</b>
GENERAL ELECTIC CO	033	STT	B	49,522	66.85	n/a	n/a	2,228.51	4.5
VARDON PARTNERS	035	TGT	B	16,000	59.19	n/a	24-May	800.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TJX</b>	<b>S</b>	<b>50,000</b>	<b>27.68</b>	<b>21-Mar</b>	<b>16-May</b>	<b>non-brg</b>	<b>5.0</b>
GRISANTI BROWN & PARTNER	048	TRA	S	14,500	18.16	n/a	n/a	725.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TSCO</b>	<b>B</b>	<b>10,000</b>	<b>50.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TWB</b>	<b>S</b>	<b>30,000</b>	<b>40.52</b>	<b>23-May</b>	<b>23-May</b>	<b>non-brg</b>	<b>5.0</b>
US BANCORP	030	TWB	B	30,574	40.22	23-May	23-May	1,528.70	5.0
IRON HORSE CAPITAL MGMT	038	UCO	B	10,000	70.57	10-May	22-May	500.00	5.0
BRENCOURT	028	UNH	S	22,000	54.30	n/a	n/a	1,100.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>5,000</b>	<b>24.86</b>	<b>2-Apr</b>	<b>11-May</b>	<b>non-brg</b>	<b>5.0</b>
<b>MKT MAKER</b>	<b>099</b>	<b>USTR</b>	<b>B</b>	<b>400</b>	<b>65.70</b>	<b>23-May</b>	<b>23-May</b>	<b>0.00</b>	<b>0.0</b>
LOOMIS SALES/BOSTON	036	VCI	B	53,800	17.64	n/a	n/a	2,152.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WHR</b>	<b>C</b>	<b>42,500</b>	<b>113.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>



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FARALLON CAP MGMT	040	WLP	S	67,000	84.87	n/a	n/a	2,680.00	4.0
5 BUCK CAPITAL MGMT		WSO	B	10,000	58.62	n/a	n/a	non-brg	5.0
<b>TOTAL (Trade Date):</b>							<b>119,835.70</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/23	CHILTON CAPITAL MGMT.	036	ANF	B	110,073	81.43	n/a	24-May	4402.92 4.0
AO,	05/23	APEX CAPITAL MGMT.	091	EBAY	B	33,300	33.45	n/a	n/a	1665.00 5.0
AO,CRT	05/23	APEX CAPITAL MGMT.	091	EBAY	B	166,700	33.45	n/a	n/a	8335.00 5.0
AO,CXL	05/23	APEX CAPITAL MGMT.	091	EBAY	B	200,000	33.45	n/a	n/a	10000.00 5.0
AO,CRT	05/21	KACELA CAPITAL	034	LOW	B	10,000	31.63	n/a	21-May	400.00 4.0
AO,CXL	05/21	KACELA CAPITAL	034	LOW	B	10,000	31.63	n/a	21-May	500.00 5.0
AO,CRT	05/23	S.A.C. CAPITAL MGMT.	044	PKG	S	25,000	25.55	n/a	18-Apr	1250.00 5.0
AO,CXL	05/23	S.A.C. CAPITAL MGMT.	044	PKG	S	25,000	25.55	n/a	18-Apr	1.28 0.0

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**TOTAL (AO, CXL, CRT) :** **5,551.64**

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**TOTAL (Trade Date) :** **119,835.70****TOTAL (AO, CXL, CRT) :** **5,551.64**

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**TOTAL (RUN DATE) / NFS:** **125,387.34**

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MRI Securities with Trade Activity on 24-May.

FL

MRI Securities without Trade Activity on 24-May.

ETN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 15%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION