



## Daily Compliance Activity Report

Trade Date: 02/09/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	ACL	B	100	130.84	8-Feb	9-Feb	5.00	5.0
J L F ASSET MGMT	039	ADCT	S	30,100	16.74	n/a	n/a	1,204.00	4.0
DIAMONDBACK CAP MGMT	232	ADI	S	25,000	33.34	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	AEE	S	200	53.99	n/a	n/a	10.00	5.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	118,500	7.07	n/a	n/a	4,740.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>ALVR</b>	<b>B</b>	<b>2,500</b>	<b>7.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	AMG	S	30,000	111.30	25-Jan	5-Feb	1,500.00	5.0
<b>NOLAN</b>	<b>067</b>	<b>AOS</b>	<b>B</b>	<b>20</b>	<b>38.85</b>	<b>21-Dec</b>	<b>8-Feb</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	APA	S	200	70.41	2-Feb	2-Feb	10.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>APC</b>	<b>S</b>	<b>100</b>	<b>41.56</b>	<b>n/a</b>	<b>22-Jan</b>	<b>0.00</b>	<b>0.0</b>
BANK OF NEW YORK	040	ARO	S	18,480	37.22	23-Oct	9-Feb	831.60	4.5
ROBERTSON STEVENS BC	033	ARO	S	6,000	38.00	23-Oct	9-Feb	240.00	4.0
THE JAY GOLDMAN	034	ARO	SS	32,000	37.95	23-Oct	9-Feb	1,280.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>ASD</b>	<b>B</b>	<b>100</b>	<b>51.90</b>	<b>1-Feb</b>	<b>1-Feb</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	ASML	SS	50,000	25.12	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	238	ATR	S	13,600	66.32	n/a	n/a	680.00	5.0
<b>BINDER</b>	<b>007</b>	<b>AUO</b>	<b>S</b>	<b>150</b>	<b>14.81</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAILFISH	037	AV	B	30,000	13.00	n/a	22-Mar	1,500.00	5.0
T. ROWE PRICE	033	BBY	S	150,000	51.68	13-Dec	10-Jan	6,000.00	4.0
BALYASNY ASSET MGMT	044	BEN	S	14,500	123.52	9-Nov	8-Feb	725.00	5.0
THIRD POINT MGMT	044	BIG	SS	4,600	26.77	16-Nov	9-Feb	184.00	4.0
BAIRD INVESTMENT	031	BJS	S	85,924	27.24	n/a	n/a	4,296.20	5.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>BOW</b>	<b>SS</b>	<b>24,400</b>	<b>27.01</b>	<b>27-Oct</b>	<b>7-Feb</b>	<b>1,220.00</b>	<b>5.0</b>
<b>WHEELER</b>	<b>008</b>	<b>BQI</b>	<b>B</b>	<b>10,000</b>	<b>4.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	040	BRL	B	1,200	53.41	26-Jan	9-Feb	360.00	30.0
GALLEON MGMT LP	033	CAG	B	20,000	25.63	n/a	n/a	1,000.00	5.0
DSM CAPITAL PARTNERS	032	CBS	SS	8,900	31.66	n/a	n/a	534.00	6.0
PATTEN & PATTEN	067	CBS	B	500	32.01	n/a	n/a	25.00	5.0
<b>SUTERA</b>	<b>160</b>	<b>CC</b>	<b>S</b>	<b>2,000</b>	<b>21.45</b>	<b>20-Dec</b>	<b>10-Jan</b>	<b>0.00</b>	<b>0.0</b>
CRAMER ROSENTHAL/SCOTT	012	CENTA	S	85,500	13.27	n/a	n/a	4,275.00	5.0
COUGAR TRADING	044	CHS	B	5,000	21.46	5-Jan	9-Feb	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	COH	S	20,000	46.86	24-Jan	24-Jan	800.00	4.0
MAZAMA CAPITAL MGMT.	035	CPX	S	27,100	19.50	n/a	n/a	1,084.00	4.0
PILOT ADV	038	CPX	B	57,600	19.62	n/a	n/a	2,304.00	4.0
DIAMONDBACK CAP MGMT	232	CTRP	SS	10,000	67.01	n/a	n/a	500.00	5.0
ZOLIDIS	011	CWTR	SS	200	18.75	n/a	n/a	0.00	0.0
LUCHANSKY	036	DCTH	B	1,000	3.84	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	DG	SS	52,300	16.56	n/a	9-Feb	2,092.00	4.0
BINDER	007	DHI	SS	200	28.00	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	DTV	B	50,000	25.15	22-Dec	9-Feb	2,500.00	5.0
LEEDS	002	EDGR	B	30,000	3.25	n/a	n/a	0.00	0.0
SCHEINER	037	ELY	S	1,000	15.12	n/a	n/a	0.00	0.0
COHE & STEERS	091	EOP	S	500,000	55.48	n/a	n/a	15,000.00	3.0
DIAMONDBACK CAP MGMT	232	ERIC	SS	25,000	36.57	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	EWC	S	1,200	25.65	n/a	n/a	60.00	5.0
RAMIUS CAP	040	FCX	C	19,143	54.16	n/a	n/a	957.15	5.0
BENCHMARK	028	FDO	SS	12,000	31.21	3-Nov	9-Feb	600.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	FDO	SS	100,000	31.58	3-Nov	9-Feb	4,000.00	4.0
COUGAR TRADING	044	FDO	SS	10,000	31.79	3-Nov	9-Feb	500.00	5.0
ELAZAR ADV	034	FDO	SS	10,995	31.17	3-Nov	9-Feb	549.75	5.0
MAZAMA CAPITAL MGMT.	035	FIX	S	5,500	13.03	n/a	n/a	220.00	4.0
BUCK CAPITAL MGMT	001	FL	S	30,000	22.93	n/a	9-Feb	1,200.00	4.0
LEDDY	035	GIL	B	400	52.00	n/a	n/a	0.00	0.0
DC CAPITAL	044	GLW	B	100,000	21.65	n/a	8-Feb	5,000.00	5.0
SOMERSET ADV	044	GLW	B	25,000	21.65	n/a	8-Feb	1,250.00	5.0
BINDER	007	GLW	S	200	21.75	n/a	8-Feb	0.00	0.0
KERN	050	GLW	S	800	21.78	n/a	8-Feb	0.00	0.0
SUTERA	160	GLW	S	2,000	21.81	n/a	8-Feb	0.00	0.0
MAZAMA CAPITAL MGMT.	035	GRP	B	30,500	41.99	n/a	n/a	1,220.00	4.0
BEAR STEARNS ASSET MGMT.	033	GS	SS	20,000	214.52	13-Dec	16-Jan	800.00	4.0
PATTEN & PATTEN	067	GS	S	100	214.51	13-Dec	16-Jan	5.00	5.0
SCHEINER	037	GS	S	400	212.14	13-Dec	16-Jan	0.00	0.0
MAZAMA CAPITAL MGMT.	035	HAR	B	18,000	101.53	n/a	n/a	720.00	4.0
PIVOT CAP	034	HAS	S	11,205	29.01	n/a	n/a	448.20	4.0
BUCK	015	HMA	S	1,000	20.00	18-Jan	6-Feb	0.00	0.0
DIAMONDBACK CAP MGMT	232	IBM	SS	10,000	98.55	n/a	n/a	500.00	5.0
BUCK	015	ICE	S	150	150.90	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CHARTER OAK	037	IDIX	B	8,100	9.00	n/a	n/a	405.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>IDIX</b>	<b>B</b>	<b>51</b>	<b>9.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	IDTI	S	25,000	16.38	n/a	n/a	1,250.00	5.0
<b>BUCK</b>	<b>015</b>	<b>ILE</b>	<b>B</b>	<b>5,000</b>	<b>3.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	INTC	SS	100,000	21.13	n/a	n/a	5,000.00	5.0
<b>SUTERA</b>	<b>160</b>	<b>ISE</b>	<b>S</b>	<b>1,000</b>	<b>46.41</b>	<b>26-Oct</b>	<b>6-Feb</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	JDSU	B	913	16.27	n/a	n/a	45.65	5.0
GALLEON MGMT LP	033	KFT	SS	20,000	33.29	n/a	n/a	1,000.00	5.0
STRONG/WELL HOWARD	038	KGC	B	477,200	13.22	n/a	n/a	19,088.00	4.0
DIAMONDBACK CAP MGMT	232	KLAC	S	10,000	49.65	n/a	n/a	500.00	5.0
MAZAMA CAPITAL MGMT.	035	KOG	S	63,700	4.50	n/a	n/a	1,911.00	3.0
GLG PARTNERS	034	KO	B	75,000	47.90	n/a	n/a	3,750.00	5.0
BEAR STEARNS ASSET MGMT.	033	KSS	SS	30,000	72.50	27-Dec	9-Feb	1,200.00	4.0
NEUBERGER BERMAN	238	KVA	S	14,100	26.00	n/a	n/a	705.00	5.0
CAPITAL GROWTH MGMT.	241	LEH	B	250,000	82.77	6-Dec	16-Jan	12,500.00	5.0
<b>SCHEINER</b>	<b>037</b>	<b>LEH</b>	<b>S</b>	<b>800</b>	<b>82.27</b>	<b>6-Dec</b>	<b>16-Jan</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BUCK</b>	<b>015</b>	<b>LESSO</b>	<b>S</b>	<b>10</b>	<b>2.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	LIZ	B	17,500	45.26	n/a	2-Feb	700.00	4.0
OPPENHEIMER MGMT.	036	LOW	S	80,000	33.53	n/a	16-Jan	3,200.00	4.0
<b>LUCHANSKY</b>	<b>036</b>	<b>MA</b>	<b>B</b>	<b>500</b>	<b>102.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>MA</b>	<b>S</b>	<b>500</b>	<b>103.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RAINIER INV MGMT	035	MDR	B	25,000	52.49	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	MDT	S	500	53.96	n/a	22-Apr	25.00	5.0
RAMIUS CAP	040	MIR	B	50,000	35.41	n/a	n/a	2,500.00	5.0
WHALE ROCK CAP	030	MLNX	S	1,000	20.65	n/a	n/a	40.00	4.0
<b>BUCK</b>	<b>015</b>	<b>MPEL</b>	<b>B</b>	<b>1,000</b>	<b>18.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	MS	S	100	82.44	20-Dec	16-Jan	5.00	5.0
MAZAMA CAPITAL MGMT.	035	MTH	S	40,000	42.73	n/a	n/a	1,600.00	4.0
MAZAMA CAPITAL MGMT.	035	MTZ	S	32,500	11.40	n/a	n/a	1,300.00	4.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>MWV</b>	<b>B</b>	<b>30,000</b>	<b>31.17</b>	<b>8-Jan</b>	<b>1-Feb</b>	<b>1,500.00</b>	<b>5.0</b>
PATTEN & PATTEN	067	NBIX	B	1,000	13.01	n/a	n/a	50.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>NEXC</b>	<b>B</b>	<b>900</b>	<b>9.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	NGS	S	18,800	14.15	n/a	n/a	752.00	4.0
<b>SUTERA</b>	<b>160</b>	<b>NLS</b>	<b>S</b>	<b>1,000</b>	<b>17.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	NVLS	SS	20,000	30.66	n/a	n/a	1,000.00	5.0
<b>MKT MAKER</b>	<b>099</b>	<b>NVLS</b>	<b>B</b>	<b>25,000</b>	<b>30.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MKT MAKER</b>	<b>099</b>	<b>NVLS</b>	<b>SS</b>	<b>25,000</b>	<b>30.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NWY</b>	<b>S</b>	<b>10,000</b>	<b>14.76</b>	<b>1-Dec</b>	<b>9-Feb</b>	<b>400.00</b>	<b>4.0</b>
J L F ASSET MGMT	039	ODP	SS	67,500	36.60	n/a	23-Jan	2,700.00	4.0
RAMIUS CAP	040	PACR	S	50,000	27.62	n/a	n/a	2,500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>PENN</b>	<b>B</b>	<b>1,000</b>	<b>43.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GENERAL ELECTIC CO	033	PEP	B	75,135	63.96	n/a	n/a	3,381.08	4.5
PATTEN & PATTEN	067	PHO	B	300	19.04	n/a	n/a	15.00	5.0
CAPITAL GROWTH MGMT.	241	PRU	B	65,000	92.08	n/a	n/a	3,250.00	5.0
COUGAR TRADING	044	PSUN	SS	10,000	19.51	n/a	9-Feb	500.00	5.0
DIAMONDBACK CAP MGMT	232	RACK	S	10,358	17.55	n/a	n/a	517.90	5.0
<b>BINDER</b>	<b>007</b>	<b>RDEN</b>	<b>S</b>	<b>200</b>	<b>21.49</b>	<b>5-Feb</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>10,000</b>	<b>83.25</b>	<b>2-Feb</b>	<b>8-Feb</b>	<b>400.00</b>	<b>4.0</b>

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<b>BINDER</b>	<b>007</b>	<b>RSH</b>	<b>S</b>	<b>200</b>	<b>22.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	238	RSTI	B	16,300	62.15	n/a	n/a	815.00	5.0
<b>BUCK</b>	<b>015</b>	<b>RTN</b>	<b>B</b>	<b>400</b>	<b>54.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	RVBD	S	15,000	28.85	n/a	n/a	750.00	5.0
JEFFERIES ASSET/PARAGON	012	RVI	SS	15,200	20.74	n/a	n/a	608.00	4.0
STERLING JOHNSTON	035	SBH	S	31,100	9.50	n/a	n/a	1,244.00	4.0
<b>BINDER</b>	<b>007</b>	<b>SDS</b>	<b>B</b>	<b>150</b>	<b>57.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>SE</b>	<b>S</b>	<b>500</b>	<b>26.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>SFD</b>	<b>B</b>	<b>5,600</b>	<b>27.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>S</b>	<b>5,000</b>	<b>34.65</b>	<b>n/a</b>	<b>12-Jan</b>	<b>200.00</b>	<b>4.0</b>
PATTEN & PATTEN	067	SLB	B	250	64.55	n/a	n/a	12.50	5.0
MANNING & NAPIER	034	SMF	S	46,210	19.35	n/a	n/a	1,848.40	4.0
MANNING & NAPIER	034	SMG	S	13,400	54.65	24-Jan	24-Jan	536.00	4.0
NEUBERGER BERMAN	238	SM	S	12,600	36.67	n/a	n/a	630.00	5.0
<b>KAICHER</b>	<b>064</b>	<b>SNCR</b>	<b>S</b>	<b>1,000</b>	<b>15.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>



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SIGMA CAPITAL ASSOCIATES	044	SNE	SS	25,000	49.09	n/a	n/a	1,250.00	5.0
DIAMONDBACK CAP MGMT	232	SONS	S	50,000	7.36	n/a	22-Mar	2,500.00	5.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>SSCC</b>	<b>B</b>	<b>100,000</b>	<b>11.57</b>	<b>26-Oct</b>	<b>25-Jan</b>	<b>4,000.00</b>	<b>4.0</b>
<b>BINDER</b>	<b>007</b>	<b>SWK</b>	<b>SS</b>	<b>100</b>	<b>57.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	SWN	B	400	38.53	n/a	22-Jan	20.00	5.0
S.A.C. CAPITAL MGMT.	044	THI	B	15,000	30.92	12-Dec	7-Feb	750.00	5.0
DIAMONDBACK CAP MGMT	232	TKLC	S	20,000	14.26	n/a	22-Mar	1,000.00	5.0
<b>BINDER</b>	<b>007</b>	<b>TOL</b>	<b>S</b>	<b>200</b>	<b>32.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RAMIUS CAP	040	TRB	B	25,000	31.00	n/a	n/a	1,250.00	5.0
BENCHMARK	028	TTEC	SS	10,000	32.66	n/a	n/a	500.00	5.0
DIAMONDBACK CAP MGMT	232	TXN	SS	100,000	30.94	n/a	n/a	5,000.00	5.0
PATTEN & PATTEN	067	T	S	200	37.18	26-Jan	8-Feb	10.00	5.0
<b>GAULT</b>	<b>063</b>	<b>UPL</b>	<b>S</b>	<b>500</b>	<b>52.11</b>	<b>n/a</b>	<b>8-Feb</b>	<b>0.00</b>	<b>0.0</b>
SAILFISH	037	UPS	SS	5,000	73.82	n/a	n/a	250.00	5.0
J L F ASSET MGMT	039	URI	S	81,386	27.82	n/a	n/a	3,255.44	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HERMES	048	VSTA	B	10,000	9.84	n/a	n/a	500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>VTIV</b>	<b>S</b>	<b>300</b>	<b>37.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAIRD INVESTMENT	031	WFT	B	33,125	40.38	n/a	n/a	1,656.25	5.0
COUGAR TRADING	044	WRNC	SS	10,000	27.18	18-Jan	18-Jan	500.00	5.0
COUGAR TRADING	044	WRNC	S	15,000	27.37	18-Jan	18-Jan	750.00	5.0
CHARTER OAK	037	ZQK	B	20,157	13.54	9-Feb	9-Feb	1,007.85	5.0
TRIBECA	038	ZQK	B	10,000	13.65	9-Feb	9-Feb	400.00	4.0
WEISS PECK	040	ZQK	S	79,970	13.95	9-Feb	9-Feb	3,998.50	5.0
<b>SCHEINER</b>	<b>037</b>	<b>ZQK</b>	<b>C</b>	<b>3,000</b>	<b>13.81</b>	<b>9-Feb</b>	<b>9-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date) :</b>								<b>188,362.47</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	02/08	FIRST PACIFIC ADV (FPAC29)	048	CC	B	3,800	21.73	20-Dec	10-Jan	190.00	5.0
AO,	02/08	FIRST PACIFIC ADV (FPAC38)	048	CC	B	1,900	21.73	20-Dec	10-Jan	95.00	5.0
AO,	02/08	FIRST PACIFIC ADV (FPAC10)	048	CC	B	1,800	21.73	20-Dec	10-Jan	90.00	5.0
AO,	02/08	FIRST PACIFIC ADV (FPAC79)	048	CC	B	2,000	21.73	20-Dec	10-Jan	100.00	5.0
AO,	02/08	FIRST PACIFIC ADV (FPAC91)	048	CC	B	190,500	21.73	20-Dec	10-Jan	9525.00	5.0
<b>AO,</b>	<b>02/08</b>	<b>MKT MAKER (MKT MAKER)</b>	<b>099</b>	<b>CENT</b>	<b>S</b>	<b>51</b>	<b>84.47</b>	<b>8-Feb</b>	<b>8-Feb</b>	<b>0.00</b>	<b>0.0</b>
AO,CXL	02/08	PALMYRA CAPITAL (PALMGSCO)	048	CENT	B	32,851	12.63	8-Feb	8-Feb	1642.55	5.0
AO,CRT	02/08	PALMYRA CAPITAL (PALMGSCO)	048	CENT	B	32,851	12.63	8-Feb	8-Feb	985.53	3.0
AO,CXL	02/08	GOLDEN TREE (GTRE2203GS)	036	FNM	B	11,610	56.21	n/a	n/a	2.81	0.0
AO,CRT	02/08	GOLDEN TREE (GTRE2203GS)	036	FNM	B	11,610	56.21	n/a	n/a	580.50	5.0
AO,CXL	02/07	THE JAY GOLDMAN (GOLDWOODMA)	034	NWY	B	7,500	14.77	1-Dec	9-Feb	0.00	0.0
AO,CRT	02/07	THE JAY GOLDMAN (GOLDWOODMA)	034	NWY	B	7,500	14.77	1-Dec	9-Feb	300.00	4.0
AO,CXL	02/07	THE JAY GOLDMAN (GOLDMASTER)	034	NWY	B	7,500	14.77	1-Dec	9-Feb	0.00	0.0
AO,CRT	02/07	THE JAY GOLDMAN (GOLDMASTER)	034	NWY	B	7,500	14.77	1-Dec	9-Feb	300.00	4.0
<b>AO,CRT</b>	<b>02/08</b>	<b>MKT MAKER (MKT MAKER)</b>	<b>099</b>	<b>PSUN</b>	<b>B</b>	<b>4</b>	<b>19.35</b>	<b>n/a</b>	<b>9-Feb</b>	<b>0.00</b>	<b>0.0</b>
CRT	02/09	COUGAR TRADING (COUGPB MS)	044	PSUN	SS	10,000	19.51	n/a	9-Feb	500.00	5.0
AO,CXL	02/08	NEUBERGER BERMAN (NBER9W16)	238	RSTI	B	10,774	63.02	n/a	n/a	0.00	0.0
AO,	02/08	NEUBERGER BERMAN (NBER9W16)	238	RSTI	B	10,774	63.02	n/a	n/a	538.70	5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>11,559.37</b>	

TOTAL (Trade Date) :

188,362.47

TOTAL (AO, CXL, CRT):

11,559.37

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**TOTAL (RUN DATE) / NFS:**

**199,921.84**

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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION