



Daily Compliance Activity Report

Trade Date: 06/11/2013

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STATE OF WISCONSIN	088	BMJ	B	100,000	47.54	n/a	n/a	4,000.00	0.0
COOPER CREEK PART	028	BONT	S	10,000	21.31	n/a	24-May	400.00	0.0
COLUMBUS CIRCLE	036	CHS	S	21,075	17.21	n/a	5-Feb	843.00	0.0
TUDOR INVESTORS	028	CMI	SS	5,000	117.75	n/a	n/a	150.00	0.0
CHARTERBRIDGE CAPITAL	025	CROX	SS	18,112	16.53	n/a	n/a	543.36	0.0
DAVIDSON KEMPNER ADVISOR	040	CST	C	10,000	31.85	n/a	n/a	300.00	0.0
COHE & STEERS	041	DLR	S	250,000	61.43	n/a	n/a	10,000.00	0.0
MERRILL ASSET/BLACKROCK	085	FCFS	S	15,613	55.79	n/a	n/a	624.52	0.0
SCOPUS FUND	041	FWLT	S	25,000	23.05	n/a	n/a	1,000.00	0.0
WOOSTER CAPITAL	044	F	SS	50,000	15.58	n/a	11-Jun	2,000.00	0.0
SOUTH POINT CAP	044	GM	B	300,000	34.35	n/a	11-Jun	15,000.00	0.0
TUDOR INVESTORS	028	IR	B	10,000	57.00	n/a	9-May	300.00	0.0
TUDOR INVESTORS	028	ITW	SS	5,000	70.05	n/a	n/a	150.00	0.0
Ö Jack Chernus		KORS	S	10	1.5800	n/a	30-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
HERRING CREEK CAPITAL MGMT LLC040		LAMR	C	7,200	42.65		n/a	n/a	216.00	0.0
TUDOR INVESTORS	028	LEN	B	10,000	37.27		n/a	n/a	300.00	0.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	50,000	16.24		n/a	7-Jun	2,500.00	0.0
TUDOR INVESTORS	028	MAS	B	10,000	19.87		n/a	n/a	300.00	0.0
HERRING CREEK CAPITAL MGMT LLC040		MJN	C	8,700	81.75		n/a	n/a	261.00	0.0
TUDOR INVESTORS	028	PH	SS	5,000	97.70		n/a	n/a	150.00	0.0
SANDLER O'NEILL ASSET	031	PJC	B	44,700	33.74		n/a	n/a	2,235.00	0.0
TUDOR INVESTORS	028	PNR	B	5,000	58.80		n/a	n/a	150.00	0.0
DELTEC ASSET MGMT.	040	PVH	B	3,951	111.29		n/a	22-Apr	197.55	0.0
MERRILL ASSET/BLACKROCK	085	PVTB	S	7,000	19.42		n/a	n/a	280.00	0.0
STANDARD PACIFIC CAPITAL	084	SINA	S	88,747	58.05		n/a	n/a	4,437.35	0.0
NOKOMIS PARTNERS	031	SM	S	13,096	62.50		n/a	n/a	392.88	0.0
TUDOR INVESTORS	028	ST	B	5,000	35.50		n/a	n/a	150.00	0.0
MERRILL ASSET/BLACKROCK	085	TRV	B	4,000	82.70		n/a	n/a	160.00	0.0
CLEARLINE CAPITAL LLC	040	TSCO	SS	9,000	114.53		n/a	n/a	270.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TUDOR INVESTORS	028	TXT	B	5,000	26.95	n/a	n/a	150.00	0.0
CYRUS CAPITAL	025	UAL	S	50,000	32.40	n/a	11-Jun	1,500.00	0.0
SIGMA CAPITAL ASSOCIATES	041	URBN	B	25,000	42.38	n/a	n/a	750.00	0.0
TOTAL (Trade Date):								49,710.66	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/10	TUDOR INVESTORS (TUDRJPM PB)	028	MAS	S	20,000	20.29	n/a	n/a	6.00 0.0
AO,	06/10	TUDOR INVESTORS (TUDRJPM PB)	028	MAS	S	20,000	20.29	n/a	n/a	600.00 0.0
AO,CXL	06/10	FIRST NEW YORK (FNYS)	086	NOK	B	20,000	3.58	n/a	n/a	1000.00 0.0
AO,	06/10	FIRST NEW YORK (FNYS)	086	NOK	B	20,000	3.58	n/a	n/a	800.00 0.0
AO,CXL	06/10	TUDOR INVESTORS (TUDRJPM PB)	028	PH	SS	5,000	98.46	n/a	n/a	1.50 0.0
AO,	06/10	TUDOR INVESTORS (TUDRJPM PB)	028	PH	SS	5,000	98.46	n/a	n/a	150.00 0.0
AO,CXL	06/10	TUDOR INVESTORS (TUDRJPM PB)	028	XHB	B	15,000	30.32	n/a	n/a	4.50 0.0
AO,	06/10	TUDOR INVESTORS (TUDRJPM PB)	028	XHB	B	15,000	30.32	n/a	n/a	450.00 0.0
TOTAL (AO, CXL, CRT) :										988.00

TOTAL (Trade Date):	49,710.66
TOTAL (AO, CXL, CRT):	988.00

TOTAL (RUN DATE) / NFS:	50,698.66
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION