



# Daily Compliance Activity Report

Trade Date: 03/09/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KAM ADVISORS LLC	041	ABC	C	55,200	37.88	n/a	n/a	1,656.00	3.0
BESSEMER INVESTMENT	085	ACE	B	530	71.61	n/a	n/a	21.20	4.0
BESSEMER INVESTMENT	085	ACN	B	580	61.07	n/a	n/a	23.20	4.0
BASIX	033	AGCO	SS	14,000	49.12	n/a	23-Feb	420.00	3.0
BESSEMER INVESTMENT	085	AGU	S	500	83.25	n/a	n/a	20.00	4.0
<b>f DANIEL JORDAN COHEN</b>	<b>FID</b>	<b>ARR</b>	<b>B</b>	<b>362</b>	<b>6.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>ARR</b>	<b>B</b>	<b>435</b>	<b>6.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
STANDARD PACIFIC CAPITAL	084	ATVI	B	200,000	12.06	n/a	n/a	10,000.00	5.0
BESSEMER INVESTMENT	085	BAC	S	365	8.06	n/a	5-Mar	14.60	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>S</b>	<b>30,000</b>	<b>62.46</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BODY</b>	<b>B</b>	<b>20,000</b>	<b>26.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SIMMONS VENTURES	041	BONT	SS	9,000	8.13	n/a	n/a	360.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>BYD</b>	<b>B</b>	<b>30,000</b>	<b>7.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CCL</b>	<b>B</b>	<b>10,000</b>	<b>30.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CCL</b>	<b>B</b>	<b>20,000</b>	<b>30.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	CMCSA	B	795	29.82	n/a	n/a	31.80	4.0
BASIX	033	CNH	SS	17,000	40.41	n/a	n/a	510.00	3.0
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>COF</b>	<b>B</b>	<b>100</b>	<b>49.99</b>	<b>n/a</b>	<b>8-Mar</b>	<b>0.00</b>	<b>0.0</b>
ROSEDALE CAPITAL	046	CRIS	B	25,000	4.60	n/a	n/a	750.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>S</b>	<b>10,000</b>	<b>69.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>DECK</b>	<b>B</b>	<b>200</b>	<b>68.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	ETN	S	265	49.13	n/a	27-Feb	10.60	4.0
BESSEMER INVESTMENT	085	EXC	B	585	38.72	n/a	n/a	23.40	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FBHS</b>	<b>B</b>	<b>55,000</b>	<b>20.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BESSEMER INVESTMENT	085	FCX	B	365	38.82	n/a	n/a	14.60	4.0
BESSEMER INVESTMENT	085	FE	B	680	44.57	n/a	n/a	27.20	4.0
SCOPUS FUND	041	FL	S	50,000	30.23	n/a	7-Mar	2,000.00	4.0
BESSEMER INVESTMENT	085	GD	B	205	72.06	n/a	14-Feb	8.20	4.0
FARBER/WEISS PECK	085	GES	S	24,500	35.86	n/a	23-Jan	980.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>40,000</b>	<b>26.85</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
BESSEMER INVESTMENT	085	GIS	S	390	38.67	n/a	n/a	15.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>GMCR</b>	<b>B</b>	<b>150</b>	<b>54.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>HEK</b>	<b>B</b>	<b>10000</b>	<b>4.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f STEFFANIE CARMELA CA</b>	<b>FID</b>	<b>HLF</b>	<b>B</b>	<b>30</b>	<b>67.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL ALEXANDER SM</b>	<b>FID</b>	<b>HSC</b>	<b>B</b>	<b>20</b>	<b>2.20</b>	<b>n/a</b>	<b>7-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>f MICHAEL ALEXANDER SM</b>	<b>FID</b>	<b>HSC</b>	<b>B</b>	<b>20</b>	<b>2.20</b>	<b>n/a</b>	<b>7-Mar</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	IBM	B	190	200.90	n/a	n/a	7.60	4.0
BESSEMER INVESTMENT	085	INTC	S	320	27.08	n/a	n/a	12.80	4.0
MA CAPITAL MGMT/MELLON ADV041		IP	B	3,000	35.21	n/a	2-Feb	150.00	5.0
BESSEMER INVESTMENT	085	JNJ	B	590	64.74	n/a	n/a	23.60	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>B</b>	<b>145,000</b>	<b>10.80</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JNY</b>	<b>B</b>	<b>90,000</b>	<b>10.80</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
BESSEMER INVESTMENT	085	JPM	S	325	41.09	n/a	5-Mar	13.00	4.0
BESSEMER INVESTMENT	085	KIM	S	360	18.19	n/a	n/a	14.40	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>KORS</b>	<b>S</b>	<b>10,000</b>	<b>49.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BESSEMER INVESTMENT	085	K	S	370	52.44	n/a	n/a	14.80	4.0
SANDLER O'NEILL ASSET	031	LAZ	SS	25,000	30.36	n/a	5-Mar	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	LOW	B	560	29.84	n/a	28-Feb	22.40	4.0
BESSEMER INVESTMENT	085	LOW	S	385	29.81	n/a	28-Feb	15.40	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>LVS</b>	<b>S</b>	<b>20,000</b>	<b>54.82</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LVS</b>	<b>S</b>	<b>20,000</b>	<b>54.82</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>
BESSEMER INVESTMENT	085	MET	S	400	38.44	n/a	n/a	16.00	4.0
BESSEMER INVESTMENT	085	MGA	B	430	47.76	n/a	n/a	17.20	4.0
BRONSON POINT	036	MGA	SS	20,000	47.57	n/a	n/a	600.00	3.0
BESSEMER INVESTMENT	085	MHP	B	480	46.61	n/a	n/a	19.20	4.0
BESSEMER INVESTMENT	085	MMM	S	275	86.83	n/a	10-Feb	11.00	4.0
BESSEMER INVESTMENT	085	MRK	S	250	37.62	n/a	n/a	10.00	4.0
BESSEMER INVESTMENT	085	M	B	720	39.81	n/a	2-Mar	28.80	4.0
BESSEMER INVESTMENT	085	NEM	B	655	56.88	n/a	n/a	26.20	4.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>NFLX</b>	<b>S</b>	<b>50</b>	<b>109.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	NXPI	S	250	25.58	n/a	n/a	10.00	4.0
MERRILL ASSET/BLACKROCK	297	PDC	S	29,000	9.62	n/a	n/a	1,160.00	4.0
BESSEMER INVESTMENT	085	PEP	S	300	63.21	n/a	n/a	12.00	4.0
SCOPUS FUND	041	PETM	S	200,000	58.55	n/a	n/a	8,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>PLCE</b>	<b>S</b>	<b>38,300</b>	<b>51.14</b>	<b>n/a</b>	<b>7-Mar</b>	<b>non-brg</b>	<b>4.0</b>
TUDOR INVESTORS	028	PNR	SS	3,000	38.69	n/a	n/a	90.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>RCL</b>	<b>B</b>	<b>35,000</b>	<b>27.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>R</b>	<b>B</b>	<b>10,000</b>	<b>53.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BAIRD INVESTMENT	047	SBUX	B	5,200	51.51	9-Mar	9-Mar	260.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>SCSS</b>	<b>B</b>	<b>50,000</b>	<b>31.89</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BALLAST CAP	040	SCS	B	15,000	8.80	n/a	n/a	450.00	3.0
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>SODA</b>	<b>B</b>	<b>1000</b>	<b>34.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IRIDIAN ASSET MGMT.	036	STX	B	60,430	28.43	n/a	n/a	3,021.50	5.0
VERITION	028	TTWO	B	10,000	15.66	n/a	n/a	300.00	3.0
M&I FUNDS	047	UNT	B	26,625	47.32	n/a	1-Mar	1,065.00	4.0
MOORE CAPITAL	041	URBN	C	19,500	29.49	n/a	n/a	585.00	3.0
SCOPUS FUND	041	URS	S	78,916	43.37	n/a	n/a	3,156.64	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>VRA</b>	<b>B</b>	<b>25,000</b>	<b>36.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>VRA</b>	<b>B</b>	<b>25,000</b>	<b>36.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CASTLE ARK	031	WAB	B	42,210	75.22	n/a	n/a	2,110.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COBALT	041	WBC	S	53,700	59.67	n/a	17-Feb	2,148.00	4.0
TRUSTCO/SUNTRUST (CEREDEX)	040	WEN	S	43,897	4.80	n/a	2-Mar	1,536.45	3.5
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>1000</b>	<b>9.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	WU	B	1,380	17.71	n/a	n/a	55.20	4.0
SCHOTTENFELD ASSOC	041	WY	B	25,000	21.38	n/a	3-Feb	750.00	3.0
T. ROWE PRICE	033	WY	B	209,200	21.58	n/a	3-Feb	8,368.00	4.0
MFP INVESTORS	085	XYL	S	12,325	27.29	n/a	n/a	493.00	4.0
BESSEMER INVESTMENT	085	YUM	B	55	67.34	n/a	8-Feb	2.20	4.0
<b>TOTAL (Trade Date):</b>								<b>52,712.29</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	03/08	COLUMBUS CIRCLE	036	APEI	S	1,330	38.94	n/a	n/a	53.20	4.0
AO,CXL	03/08	BESSEMER INVESTMENT	085	GD	B	10	71.72	n/a	14-Feb	0.00	0.0
AO,CRT	03/08	BESSEMER INVESTMENT	085	GD	B	10	71.72	n/a	14-Feb	0.00	0.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>53.20</b>
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TOTAL (Trade Date) :	52,712.29
TOTAL (AO, CXL, CRT) :	53.20

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>52,765.49</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO	03/08	BUCK CAPITAL MGMT	GMCR	S	20,000	51.05	n/a	n/a	200.00 1.0

MRI Securities with Trade Activity on 9-Mar.

SBUX

MRI Securities without Trade Activity on 9-Mar.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%



**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**