



Daily Compliance Activity Report

Trade Date: 08/09/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAI	B	17,600	4.69	n/a	n/a	non-brg	3.0
Õ Ravi Sadarangani		AAPL	S	100	261.85	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	AEMGX	S	70	17.68	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	AGN	S	400	64.84	n/a	9-Aug	20.00	5.0
f MICHAEL MARRANO	FID	AIIEK	S	216	24.96	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	ALSRX	S	50	23.11	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	ARM	S	10000	15.84	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	ARO	B	30,000	24.98	n/a	6-Aug	1,500.00	5.0
B BUCK CAPITAL MGMT		ATVI	B	6,200	11.02	n/a	n/a	non-brg	4.0
f MICHAEL MARRANO	FID	AVPAX	S	43	16.51	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AVY	B	4,400	36.46	n/a	29-Jul	non-brg	4.0
f ANTHONY SUTERA	FID	AXL	S	1000	10.33	n/a	4-Aug	0.00	0.0
B BUCK CAPITAL MGMT		AXL	S	25,000	10.38	n/a	4-Aug	non-brg	4.0
MILLRACE FUND LP	028	AXL	S	30,300	10.25	n/a	4-Aug	1,212.00	4.0
B BUCK CAPITAL MGMT		BAC	B	10,100	13.88	n/a	19-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	BAC	S	14,260	13.79	n/a	19-Jul	713.00	5.0
⚡ BUCK CAPITAL MGMT		BHI	B	10,000	41.67	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BK	B	15,400	25.67	n/a	21-Jul	non-brg	4.0
CASTLE ARK	031	BWA	B	70,000	47.53	n/a	2-Aug	3,500.00	5.0
⚡ BUCK CAPITAL MGMT		BWS	B	10,492	14.56	n/a	n/a	non-brg	4.0
⚡ FRANCIS PATRICK GALL	FID	BZWS	S	5500	0.65	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	BZ	S	5,000	6.64	n/a	n/a	250.00	5.0
⚡ BUCK CAPITAL MGMT		CAR	B	12,300	10.82	n/a	n/a	non-brg	4.0
CHARTER OAK	033	CHD	B	21,400	62.78	n/a	6-Aug	1,070.00	5.0
⚡ BUCK CAPITAL MGMT		CNW	B	9,800	30.47	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		COF	B	11,500	41.29	n/a	4-Aug	non-brg	4.0
⚡ CHRISTOPHER ROBERT C	FID	COF	B	100	40.81	n/a	4-Aug	0.00	0.0
TROUBH PART	067	COH	B	20,000	39.18	n/a	4-Aug	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		CROX	S	50,000	13.79	n/a	n/a	non-brg	4.0
⚡ MICHAEL MARRANO	FID	CRSOX	S	200	8.34	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	CSX	B	4,290	53.75	n/a	n/a	214.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		CTRN	C	20,000	29.94	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		CTRN	C	5,000	30.02	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		C	B	43,500	4.09	n/a	19-Jul	non-brg	3.0
f MICHAEL MARRANO	FID	C	S	1000	4.09	n/a	19-Jul	0.00	0.0
f KAREN F LEDDY	FID	DAN	B	1500	12.62	n/a	2-Aug	0.00	0.0
B BUCK CAPITAL MGMT		DBRN	B	16,663	24.18	n/a	n/a	non-brg	4.0
FARALLON CAP MGMT	040	DDS	SS	70,000	22.50	n/a	n/a	2,800.00	4.0
LEVIN CAPITAL STRATEGIES	012	DVN	B	15,000	65.07	n/a	19-Jul	450.00	3.0
f CHRISTOPHER ROBERT C	FID	FBR	S	200	17.06	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	FL	B	900	13.59	n/a	29-Jul	0.00	0.0
f MICHAEL MARRANO	FID	FMIHX	S	421	14.41	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOR	B	22,200	15.28	n/a	5-Aug	non-brg	4.0
B BUCK CAPITAL MGMT		FOSL	B	30,000	42.53	n/a	n/a	non-brg	4.0
f MICHAEL MARRANO	FID	FSTFX	S	100	10.75	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	FTFMX	S	420	13.15	n/a	n/a	0.00	0.0
OZ MGMT	040	F	B	75,000	13.04	n/a	4-Aug	2,250.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GCO	B	20,000	26.96	n/a	n/a	800.00	4.0
LEVEL GLOBAL SHARED ACCT	257	GES	S	50,000	38.99	n/a	19-Jul	1,500.00	3.0
f CHRISTOPHER ROBERT C	FID	GIL	S	150	31.42	n/a	19-Jul	0.00	0.0
SUFFOLK CAPITAL MGMT	040	GIS	S	366,000	33.67	n/a	n/a	14,640.00	4.0
SAC CHICAGO ANDREW	031	HD	B	25,000	28.72	n/a	20-Jul	875.00	3.5
DAVIDSON KEMPNER ADVISOR	040	HPQ	B	50,000	42.88	n/a	n/a	2,500.00	5.0
SONTERRA CAP	041	HUN	B	55,358	10.15	n/a	n/a	2,214.32	4.0
IRIDIAN ASSET MGMT.	036	IP	B	19,500	24.02	n/a	28-Jul	975.00	5.0
PATTEN & PATTEN	067	ITT	S	800	46.27	n/a	30-Apr	40.00	5.0
B BUCK CAPITAL MGMT		IWM	C	867,666	65.70	n/a	n/a	non-brg	1.0
PZENA INV ADV	044	JCP	B	5,325	21.60	n/a	6-Aug	186.38	3.5
f MICHAEL MARRANO	FID	JMCVX	S	188	20.31	n/a	n/a	0.00	0.0
NEUSTRADA CAP LLC	085	JOE	SS	37,400	26.76	n/a	n/a	1,122.00	3.0
EXIS CAPITAL	044	KSS	SS	5,000	48.59	n/a	6-Aug	250.00	5.0
B BUCK CAPITAL MGMT		LEG	C	20,000	21.46	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BNP PARIBAS SEC	040	MCD	S	5,000	72.98	n/a	9-Aug	200.00	4.0
f MICHAEL MARRANO	FID	MCGFX	S	85	21.73	n/a	n/a	0.00	0.0
CIRCLE T/SES PART	035	MGM	B	50,000	10.84	n/a	4-Aug	2,000.00	4.0
f MICHAEL MARRANO	FID	MIQBX	S	200	12.59	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	MRSAX	S	240	13.80	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MSMSRAW2	SS	456,200	106.84	n/a	n/a	non-brg	10.7
BASIX	033	M	B	48,000	19.76	n/a	6-Aug	1,440.00	3.0
1798 CAP/LOMBARD ASSET	040	OC	C	29,375	28.61	n/a	n/a	1,468.75	5.0
f MICHAEL MARRANO	FID	PAHIX	S	170	6.61	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	PAREX	S	80	16.43	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PCAR	B	10,000	45.84	n/a	28-Jul	non-brg	4.0
JP MORGAN VENTURES	046	PG	B	10,000	60.10	n/a	4-Aug	500.00	5.0
B BUCK CAPITAL MGMT		PLCE	B	50,000	42.57	n/a	29-Jul	non-brg	4.0
EXIS CAPITAL	044	PVH	B	5,000	53.55	n/a	19-Jul	250.00	5.0
f DAVID GEORGE BUCK	FID	RAH	B	1000	56.23	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	ROST	SS	10,000	51.41	n/a	n/a	500.00	5.0
EMERSON CAPITAL MGMT	048	RYL	S	15,000	17.54	n/a	n/a	750.00	5.0
f DAVID GEORGE BUCK	FID	RYN	B	800	48.70	n/a	9-Aug	0.00	0.0
f KAREN F LEDDY	FID	SAH	B	700	9.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SKX	B	20,000	30.37	n/a	n/a	non-brg	4.0
SENATOR INVESTMENT GROUP	036	SLM	S	50,000	12.22	n/a	4-Aug	1,500.00	3.0
f KAREN F LEDDY	FID	SPWRA	S	700	13.30	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	SPY	SS	7,400	112.65	n/a	n/a	370.00	5.0
DAVIDSON KEMPNER ADVISOR	040	SPY	SS	12,487	112.65	n/a	n/a	624.35	5.0
B BUCK CAPITAL MGMT		SWN	B	5,000	37.61	n/a	6-Aug	non-brg	4.0
f MARK DEVEREAUX KAICH	FID	TA	S	1200	3.35	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	040	TDC	B	25,000	31.74	n/a	n/a	1,250.00	5.0
f MICHAEL MARRANO	FID	TEBIX	S	200	11.60	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	TGT	S	2000	53.28	n/a	6-Aug	0.00	0.0
TROUBH PART	067	TIF	B	10,000	44.29	n/a	n/a	500.00	5.0
GEORGE WEISS	034	TIN	C	1,500	19.10	n/a	27-Jul	60.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MICHAEL MARRANO	FID	TPINX	S	90	13.43	n/a	n/a	0.00	0.0
DAVIDSON KEMPNER ADVISOR	040	TXI	S	30,000	33.52	n/a	n/a	1,500.00	5.0
MATRIX ASSET ADV.	036	TYC	S	5,000	37.86	n/a	29-Jul	200.00	4.0
f MICHAEL MARRANO	FID	VSEAX	S	55	29.70	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	V	B	100	73.73	n/a	2-Aug	0.00	0.0
B BUCK CAPITAL MGMT		WBC	S	10,000	38.86	n/a	28-Jul	non-brg	4.0
f KAREN F LEDDY	FID	WBC	S	700	39.02	n/a	28-Jul	0.00	0.0
f MICHAEL MARRANO	FID	WBG SX	S	320	9.71	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WERN	B	15,500	22.52	n/a	n/a	non-brg	4.0
IRIDIAN ASSET MGMT.	036	WFC	S	7,110	27.57	n/a	22-Jul	355.50	5.0
B BUCK CAPITAL MGMT		WMS	B	10,000	37.98	n/a	n/a	non-brg	4.0
LEVEL GLOBAL SHARED ACCT	257	WRC	S	50,000	45.25	n/a	6-Aug	1,500.00	3.0
B BUCK CAPITAL MGMT		XRT	SS	89,600	38.74	n/a	n/a	non-brg	1.0
TOTAL (Trade Date):								55,050.80	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/06	FRONTIER	048	JBL	B	153,230	13.34	n/a	n/a	4596.90 3.0
AO,CXL	08/06	BANK OF AMERICA/MERRILL	259	OC	B	5,000	27.84	n/a	n/a	250.00 5.0
AO,CRT	08/06	BANK OF AMERICA/MERRILL	259	OC	B	5,000	27.84	n/a	n/a	200.00 4.0
AO,	08/06	COLUMBUS CIRCLE	036	TMO	S	60,000	45.34	n/a	n/a	2400.00 4.0
TOTAL (AO, CXL, CRT) :										6,946.90

TOTAL (Trade Date) :	55,050.80
TOTAL (AO, CXL, CRT) :	6,946.90

TOTAL (RUN DATE) / NFS:	61,997.70
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MRI Securities with Trade Activity on 9-Aug. NONE
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MRI Securities without Trade Activity on 9-Aug. WCRX

BRG comm. as a % of BCM Total Comm. paid. T-Date = 1% YTD = 10%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)