



# Daily Compliance Activity Report

Trade Date: 07/06/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PARAMETER CAPITAL MGMT LLC044	ABFS		S	25,000	26.30	n/a	n/a	1,000.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID AEC</b>		<b>B</b>	<b>2000</b>	<b>16.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID ARE</b>		<b>B</b>	<b>500</b>	<b>80.84</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028 ARG		B	3,000	69.85	n/a	24-Jun	90.00	3.0
SCOPUS FUND	041 ARO		SS	75,000	17.48	n/a	20-May	3,000.00	4.0
TUDOR INVESTORS	028 AWI		S	5,000	47.88	n/a	n/a	150.00	3.0
MILLENNIUM-ICS BOBBY	044 AXL		SS	10,000	11.27	n/a	25-May	300.00	3.0
TRUSTCO/SUNTRUST	040 AXL		B	29,900	11.18	n/a	25-May	1,046.51	3.5
IRIDIAN ASSET MGMT.	036 BAC		S	100,000	10.70	n/a	1-Jul	3,000.00	3.0
AVESTA CAP	229 BBY		S	50,000	59.15	n/a	n/a	1,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>	<b>CBK</b>		<b>C</b>	<b>40,000</b>	<b>6.61</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
PARAMETER CAPITAL MGMT LLC044	CNW		S	25,000	41.15	n/a	n/a	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>	<b>COH</b>		<b>S</b>	<b>25,000</b>	<b>66.61</b>	<b>n/a</b>	<b>3-Jun</b>	<b>non-brg</b>	<b>4.0</b>
BALLAST CAP	040 COT		B	10,000	8.32	n/a	n/a	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>	<b>CROX</b>		<b>S</b>	<b>40,000</b>	<b>27.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
<b>B BUCK CAPITAL MGMT</b>		<b>CSTR</b>	<b>S</b>	<b>20,000</b>	<b>56.12</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
DELTEC ASSET MGMT.	040	DE	B	5,000	85.07	n/a	19-May	250.00 5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>EEM</b>	<b>B</b>	<b>1500</b>	<b>47.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ESV</b>	<b>B</b>	<b>25,000</b>	<b>53.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
NEW JERSEY DIV OF INV.	030	EVC	B	20,000	1.98	n/a	n/a	800.00 4.0
NOKOMIS PARTNERS	031	EXTR	B	8,900	3.20	n/a	n/a	178.00 2.0
TRUST CO/COWEN/CALF.	040	FL	S	22,500	23.36	n/a	2-Jun	900.00 4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>S</b>	<b>20,000</b>	<b>53.43</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>S</b>	<b>20,000</b>	<b>43.34</b>	<b>n/a</b>	<b>26-May</b>	<b>non-brg 4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>30,000</b>	<b>35.08</b>	<b>n/a</b>	<b>12-May</b>	<b>non-brg 4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GM</b>	<b>C</b>	<b>30,000</b>	<b>31.48</b>	<b>n/a</b>	<b>5-Jul</b>	<b>non-brg 4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GM</b>	<b>C</b>	<b>50,000</b>	<b>31.48</b>	<b>n/a</b>	<b>5-Jul</b>	<b>non-brg 4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GM</b>	<b>SS</b>	<b>32,000</b>	<b>31.25</b>	<b>n/a</b>	<b>5-Jul</b>	<b>non-brg 1.0</b>
FIRST NY SEC/CHRIS	041	GM	SS	40,000	31.46	n/a	5-Jul	2,000.00 5.0
MILLENNIUM-ICS BOBBY	044	GM	S	5,000	31.45	n/a	5-Jul	150.00 3.0
OZ MGMT	040	GM	C	50,000	31.59	n/a	5-Jul	1,500.00 3.0
TROUBH PART	070	GM	S	17,600	31.10	n/a	5-Jul	880.00 5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>HBC</b>	<b>S</b>	<b>1500</b>	<b>49.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>HL</b>	<b>B</b>	<b>1200</b>	<b>7.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028	HUBB	B	2,000	65.59	n/a	n/a	60.00	3.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>HWCC</b>	<b>S</b>	<b>300</b>	<b>16.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	IBM	S	500	177.58	n/a	n/a	125.00	25.0
NEWLAND CAPITAL MGT	025	ITW	S	35,000	57.96	n/a	27-Apr	1,400.00	4.0
PARAMETER CAPITAL MGMT LLC	044	ITW	B	20,000	57.68	n/a	27-Apr	800.00	4.0
SAC CHICAGO ANDREW	031	JCP	B	25,000	34.25	n/a	30-Jun	875.00	3.5
SENATOR INVESTMENT GROUP	036	JCP	SS	50,000	34.10	n/a	30-Jun	1,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>KOS</b>	<b>S</b>	<b>20,000</b>	<b>17.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KOS</b>	<b>S</b>	<b>33,400</b>	<b>17.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
MA CAPITAL MGMT/MELLON ADV	041	KSS	B	3,000	52.06	n/a	30-Jun	150.00	5.0
SIGMA CAPITAL ASSOCIATES	041	KSS	B	50,000	51.74	n/a	30-Jun	1,750.00	3.5
SANDLER O'NEILL ASSET	031	LAZ	B	14,400	36.43	n/a	1-Jul	720.00	5.0
ROTHSCHILD ASSET	040	LEA	B	2,660	52.38	n/a	2-May	106.40	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>LIZ</b>	<b>S</b>	<b>100,000</b>	<b>5.12</b>	<b>n/a</b>	<b>7-Oct</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LIZ</b>	<b>S</b>	<b>100,000</b>	<b>5.12</b>	<b>n/a</b>	<b>7-Oct</b>	<b>non-brg</b>	<b>3.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>LNCR</b>	<b>S</b>	<b>3000</b>	<b>30.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC TRADING TOM LEDDY	035	LTD	SS	20,000	39.10	n/a	n/a	700.00	3.5

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		LTM	SS	20,000	39.66	n/a	n/a	non-brg	4.0
	CHESAPEAKE PARTNERS	028	LYV	S	25,000	11.51	n/a	n/a	750.00	3.0
f	CHRISTOPHER ROBERT C	FID	MGM	B	200	13.86	n/a	13-Jun	0.00	0.0
	PIONEER PATH	028	MGM	S	75,000	13.81	n/a	13-Jun	2,250.00	3.0
	PARAMETER CAPITAL MGMT LLC	044	MMM	B	10,000	96.88	n/a	17-May	400.00	4.0
B	BUCK CAPITAL MGMT		MNRO	S	25,000	37.38	n/a	n/a	non-brg	4.0
	TUDOR INVESTORS	028	MWV	B	2,000	33.50	n/a	27-Apr	60.00	3.0
	CYRUS CAPITAL	025	M	B	12,000	28.90	n/a	30-Jun	360.00	3.0
	JP MORGAN VENTURES	046	M	B	10,000	29.11	n/a	30-Jun	400.00	4.0
	KLEINHEINZ CAP PART	031	M	S	80,000	29.13	n/a	30-Jun	4,000.00	5.0
	THE JAY GOLDMAN	028	M	B	25,000	28.90	n/a	30-Jun	1,000.00	4.0
	PARAMETER CAPITAL MGMT LLC	044	NAV	SS	35,000	56.78	n/a	8-Jun	1,400.00	4.0
f	DAVID GEORGE BUCK	FID	NNP	B	2000	14.66	n/a	n/a	0.00	0.0
	TUDOR INVESTORS	028	OC	B	13,000	37.77	n/a	n/a	390.00	3.0
	ROTHSCHILD ASSET	040	PII	S	4,750	117.96	n/a	n/a	190.00	4.0
	MERRILL ASSET/BLACKROCK	297	PJC	S	30,000	28.07	n/a	n/a	1,200.00	4.0
f	CHRISTOPHER ROBERT C	FID	PKG	S	200	28.68	n/a	21-Jun	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KENNEDY CAPITAL	046	PLCE	B	24,030	45.49	n/a	8-Jun	961.20	4.0
TUDOR INVESTORS	028	PPG	SS	3,000	92.92	n/a	19-May	90.00	3.0
MERRILL ASSET/BLACKROCK	297	PRE	S	19,000	68.54	n/a	n/a	760.00	4.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>PVH</b>	<b>S</b>	<b>125</b>	<b>69.24</b>	<b>n/a</b>	<b>2-Jun</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	030	RGC	B	100,000	11.82	n/a	n/a	4,000.00	4.0
PARAMETER CAPITAL MGMT LLC044		ROK	SS	30,000	87.81	n/a	n/a	1,200.00	4.0
DELTEC ASSET MGMT.	040	RRR	B	20,000	12.08	n/a	26-Apr	1,000.00	5.0
CYRUS CAPITAL	025	SAFM	SS	6,000	47.10	n/a	n/a	180.00	3.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>SCSC</b>	<b>S</b>	<b>5000</b>	<b>38.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SFER IM</b>	<b>S</b>	<b>20,000</b>	<b>14.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.2</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>SGI</b>	<b>B</b>	<b>800</b>	<b>17.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PARAMETER CAPITAL MGMT LLC044		SHW	SS	15,000	85.48	n/a	27-May	600.00	4.0
DELTEC ASSET MGMT.	040	SLB	S	15,000	88.93	n/a	n/a	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>SODA</b>	<b>S</b>	<b>15,000</b>	<b>75.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SODA</b>	<b>S</b>	<b>15,000</b>	<b>75.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
LEVIN CAPITAL STRATEGIES	012	SPY	SS	80,000	133.68	n/a	n/a	2,400.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK LEDDY	035	S	B	20,000	5.49	n/a	n/a	800.00	4.0
GREEN ARROW DOUG	040	TGT	B	11,800	48.25	n/a	6-Jul	590.00	5.0
MACQUARIE INVESTMENT MGMT.	040	TGT	S	5,000	48.31	n/a	6-Jul	0.00	0.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>TZA</b>	<b>B</b>	<b>775</b>	<b>32.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HUNTER GLOBAL INVESTOR	028	T	SS	160,400	31.15	n/a	n/a	8,020.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>UNH</b>	<b>B</b>	<b>1200</b>	<b>53.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028	UNP	SS	2,000	106.14	n/a	n/a	60.00	3.0
SIGMA CAPITAL ASSOCIATES	041	URBN	B	25,000	30.21	n/a	n/a	875.00	3.5
ROTHSCHILD ASSET	040	URS	B	4,460	44.96	n/a	n/a	178.40	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>VECO</b>	<b>SS</b>	<b>20,000</b>	<b>45.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HUNTER GLOBAL INVESTOR	028	VZ	SS	134,400	37.19	n/a	n/a	6,720.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>V</b>	<b>B</b>	<b>20</b>	<b>1.83</b>	<b>n/a</b>	<b>6-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>V</b>	<b>S</b>	<b>20</b>	<b>2.93</b>	<b>n/a</b>	<b>6-Jul</b>	<b>0.00</b>	<b>0.0</b>
DELTEC ASSET MGMT.	040	WFT	B	10,000	18.95	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>WMS</b>	<b>SS</b>	<b>1,900</b>	<b>29.67</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>
CR INTRINIC INVESTMENT	044	WMT	SS	30,000	53.61	n/a	18-May	1,050.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		WRC	S	50,000	52.32	n/a	6-May	non-brg	4.0
f EDWARD W WHEELER	FID	XIDE	B	5000	7.60	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	X	B	3,000	46.00	n/a	n/a	90.00	3.0
TOTAL (Trade Date):							69,455.51		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/29	AMBER CAPITAL	046	DCOM	B	31,261	14.40	n/a	n/a	1250.44 4.0
AO,CXL	06/29	AMBER CAPITAL	046	DCOM	B	31,261	14.40	n/a	n/a	1250.44 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>0.00</b>
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TOTAL (Trade Date) :	69,455.51
TOTAL (AO, CXL, CRT) :	0.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>69,455.51</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 6-Jul.  
NONE

MRI Securities without Trade Activity on 6-Jul.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 3%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**