



Daily Compliance Activity Report

Trade Date: 02/29/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRONSON POINT	036	AGCO	SS	21,000	51.61	n/a	23-Feb	630.00	3.0
RIDGECREST INV MGMT LLC	028	ARO	B	10,000	18.90	n/a	n/a	500.00	5.0
DC CAPITAL	044	ATI	B	25,000	43.97	n/a	29-Feb	1,000.00	4.0
TUDOR INVESTORS	028	AWI	SS	2,000	51.49	n/a	n/a	60.00	3.0
KLEINHEINZ CAP PART	031	BIG	S	50,000	44.54	n/a	16-Feb	2,500.00	5.0
CATAPULT	040	CBT	B	10,000	40.66	n/a	3-Feb	400.00	4.0
BESSEMER INVESTMENT	085	CCL	B	405	30.30	n/a	n/a	16.20	4.0
TUDOR INVESTORS	028	CF	SS	2,000	186.61	n/a	n/a	60.00	3.0
BESSEMER INVESTMENT	085	COP	B	380	77.11	n/a	n/a	15.20	4.0
MOORE CAPITAL	041	CROX	B	10,000	19.95	n/a	n/a	300.00	3.0
BESSEMER INVESTMENT	085	CVS	B	430	44.99	n/a	n/a	17.20	4.0
WATERFRONT CAP	028	DDR	B	50,000	14.23	n/a	n/a	2,000.00	4.0
BRONSON POINT	036	DE	SS	11,904	83.07	n/a	23-Feb	357.12	3.0
BRONSON POINT	036	EL	B	37,500	58.62	n/a	6-Feb	1,125.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	EMN	S	10,000	54.37	n/a	n/a	400.00	4.0
SENATOR INVESTMENT GROUP	036	EMN	B	30,000	54.08	n/a	n/a	900.00	3.0
SHIKIAR ASSET	025	ERF	S	10,000	23.98	n/a	n/a	500.00	5.0
BESSEMER INVESTMENT	085	FCX	B	355	43.77	n/a	n/a	14.20	4.0
OZ MGMT	040	GM	SS	50,000	26.08	n/a	29-Feb	1,500.00	3.0
PZENA INV ADV	044	GS	S	1,275	117.50	n/a	16-Feb	44.63	3.5
CAMBIAR CAP	046	HSC	B	82,200	22.45	n/a	31-Oct	3,288.00	4.0
CSFB GLOBAL PROPRIETARY	041	IP	B	50,000	35.51	n/a	2-Feb	2,500.00	5.0
NUVEEN - US BANCORP		030	IVR	B	93,775	17.19	n/a	n/a	4,219.88 4.5
TUDOR INVESTORS	028	JCI	B	5,000	33.00	n/a	27-Feb	150.00	3.0
BASIX	033	KMT	B	10,000	46.21	n/a	14-Feb	300.00	3.0
CYPRESS CAP MGMT LLC	040	KSS	B	8,575	49.83	n/a	24-Feb	343.00	4.0
SANDLER O'NEILL ASSET	031	LAZ	S	20,000	30.49	n/a	16-Feb	1,000.00	5.0
CYPRESS CAP MGMT LLC	040	LOW	S	5,127	28.39	n/a	28-Feb	205.08	4.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	36,737	8.25	n/a	7-Feb	1,836.85	5.0
SCOPUS FUND	041	LPX	B	50,000	8.34	n/a	7-Feb	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	MHP	B	500	46.42	n/a	n/a	20.00	4.0
CAVOLEPH	085	MRVL	B	2,000	14.95	n/a	n/a	80.00	4.0
ROYCE TRADING	031	MTX	B	30,836	64.90	n/a	n/a	1,541.80	5.0
SIMMONS VENTURES	041	M	B	5,000	37.88	n/a	24-Feb	200.00	4.0
TUDOR INVESTORS	028	OC	B	5,000	31.95	n/a	n/a	150.00	3.0
SCOUT/UMB	047	PCS	S	200,000	10.30	n/a	n/a	6,000.00	3.0
TUDOR INVESTORS	028	RBC	SS	5,000	67.54	n/a	n/a	150.00	3.0
TUDOR INVESTORS	028	SPW	B	2,000	73.70	n/a	n/a	60.00	3.0
ROTHSCHILD ASSET	040	SYNA	B	11,520	37.97	n/a	n/a	460.80	4.0
RAINIER INV MGMT	035	TDC	B	90,000	66.59	n/a	n/a	3,600.00	4.0
THE JAY GOLDMAN	028	TGT	S	10,000	56.63	n/a	24-Feb	400.00	4.0
BESSEMER INVESTMENT	085	UNH	B	395	55.97	n/a	n/a	15.80	4.0
BESSEMER INVESTMENT	085	UN	B	360	33.50	n/a	n/a	14.40	4.0
SCOPUS FUND	041	WCN	B	115,200	32.09	n/a	n/a	4,608.00	4.0
DELTEC ASSET MGMT.	040	WFT	B	20,000	16.55	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIMMONS VENTURES	041	WRC	B	5,000	58.34	n/a	29-Feb	200.00	4.0
CYPRESS CAP MGMT LLC	040	Z	S	10,116	32.13	n/a	n/a	404.64	4.0
TOTAL (Trade Date):								47,087.80	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	02/27	CAVOLEPH (CAVOFLIP)	085	MRVL	B	2,250	15.35	n/a	n/a	90.00	0.0
AO,CRT	02/27	CAVOLEPH (CAVOFLIP)	085	MRVL	B	2,250	15.35	n/a	n/a	90.00	0.0

TOTAL (AO, CXL, CRT) :	0.00
-------------------------------	-------------

TOTAL (Trade Date) :	47,087.80
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	47,087.80
--------------------------------	------------------

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION