



# Daily Compliance Activity Report

Trade Date: 04/14/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		ABG	B	100,000	6.06	n/a	17-Mar	non-brg 3.0
BUCK CAPITAL MGMT		ABI BB	SS	481	27.89	n/a	n/a	non-brg 1.4
BUCK CAPITAL MGMT		ADS GR	C	803	36.35	n/a	n/a	non-brg 1.8
BUCK CAPITAL MGMT	001	AEO	B	20,000	13.60	8-Apr	13-Apr	800.00 4.0
BRIAN W CRAGO	FID	AIG	S	1500	1.51	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		AN+SB[AN]	SS	2,000	0.45	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		AN+SV[AN]	SS	2,000	1.05	n/a	n/a	non-brg 300.0
SYSTEMATIC FINANCIAL MGT	035	ANF	S	39,350	22.61	n/a	6-Feb	1,377.25 3.5
WOOSTER CAPITAL	035	AN	SS	50,000	15.77	30-Jan	30-Jan	2,500.00 5.0
MACKLOWE ASSET MGMT	041	ARO	B	15,000	30.17	n/a	13-Apr	750.00 5.0
PENNANT CAP MGMT	029	ARO	B	270,000	29.99	n/a	13-Apr	8,100.00 3.0
PZENA INV ADV	044	AVP	B	56,275	20.88	25-Feb	30-Mar	1,969.64 3.5
MTB INV ADV	034	AWP	B	11,500	3.42	n/a	n/a	345.00 3.0
TRUST CO/COWEN/CALF.	040	AXP	B	69,140	19.02	n/a	14-Apr	2,765.60 4.0
SYSTEMATIC FINANCIAL MGT	035	BBY	B	66,400	39.63	n/a	27-Jul	2,324.02 3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	BJ	C	20,000	31.11	n/a	27-Jul	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CACH</b>	<b>B</b>	<b>20,000</b>	<b>2.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
DIAMONDBACK CAP MGMT	232	CCK	B	25,000	22.03	n/a	n/a	1,250.00	5.0
ROSEDALE CAPITAL	046	CIT	S	25,000	4.30	22-Jan	8-Apr	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>B</b>	<b>30,000</b>	<b>18.48</b>	<b>9-Jan</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>C</b>	<b>S</b>	<b>7,500</b>	<b>4.06</b>	<b>n/a</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>S</b>	<b>10,000</b>	<b>62.57</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>DGP</b>	<b>S</b>	<b>263</b>	<b>18.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MTB INV ADV	034	EFA	B	860	40.60	n/a	n/a	25.80	3.0
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>FAS</b>	<b>B</b>	<b>4000</b>	<b>9.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f SCOTT L BRUNNER</b>	<b>FID</b>	<b>FAS</b>	<b>S</b>	<b>6500</b>	<b>8.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FO</b>	<b>SS</b>	<b>20,000</b>	<b>33.52</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
PHILADELPHIA FINANCIAL	033	GBL	C	10,659	39.43	n/a	7-Apr	426.36	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>GBL</b>	<b>SS</b>	<b>1</b>	<b>39.50</b>	<b>n/a</b>	<b>7-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>20,000</b>	<b>22.15</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
WEISS PECK	040	GIL	S	167,530	10.79	11-Dec	14-Apr	8,376.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f ROBERT M DANIELS</b>	<b>FID</b>	<b>GSI</b>	<b>B</b>	<b>500</b>	<b>4.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GWW</b>	<b>SS</b>	<b>20,000</b>	<b>85.16</b>	<b>n/a</b>	<b>14-Apr</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>15,000</b>	<b>29.04</b>	<b>9-Apr</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>25,000</b>	<b>29.06</b>	<b>9-Apr</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>1.5</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>26,000</b>	<b>28.87</b>	<b>9-Apr</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>50,000</b>	<b>28.82</b>	<b>9-Apr</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>60,000</b>	<b>28.77</b>	<b>9-Apr</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>4.0</b>
OMEGA ADVISORS	041	HTS	S	60,000	24.22	n/a	n/a	1,800.00	3.0
MTB INV ADV	034	IGR	B	10,600	3.78	n/a	n/a	318.00	3.0
SOUTH POINT CAP	067	IYH	SS	29,400	49.45	n/a	n/a	1,470.00	5.0
BALYASNY ASSET MGMT	044	JCG	C	30,000	15.91	n/a	30-Jan	1,500.00	5.0
RBC CAPITAL MARKETS	034	JCP	S	20,000	25.62	13-Apr	13-Apr	800.00	4.0
TRUST CO/COWEN/CALF.	040	JLL	B	9,900	28.92	n/a	n/a	495.00	5.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>JNK</b>	<b>B</b>	<b>200</b>	<b>30.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f RAGHAV NAYAR</b>	<b>FID</b>	<b>JOEZ</b>	<b>B</b>	<b>1000</b>	<b>0.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>20,000</b>	<b>36.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
GRANITE CAPITAL	045	JPM	S	10,000	32.53	16-Jan	13-Apr	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THE JAY GOLDMAN	034	JWN	B	20,000	21.25	20-Feb	13-Apr	800.00	4.0
RBC CAPITAL MARKETS	034	KSS	SS	10,000	43.54	30-Mar	13-Apr	400.00	4.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>LVS</b>	<b>B</b>	<b>10000</b>	<b>5.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MC FP</b>	<b>SS</b>	<b>655</b>	<b>69.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.5</b>
1798 CAPITAL MGMT	040	MCD	C	15,000	54.91	n/a	13-Apr	750.00	5.0
TRELLUS PARTNERS LP	028	MGA	SS	10,000	34.18	n/a	n/a	500.00	5.0
CHILTON CAPITAL MGMT.	036	MRVL	S	100,000	10.34	n/a	n/a	3,000.00	3.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>MWO</b>	<b>S</b>	<b>3000</b>	<b>14.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WOOSTER CAPITAL	035	M	SS	100,000	12.10	n/a	13-Apr	5,000.00	5.0
OMEGA ADVISORS	041	NLY	S	130,600	13.98	n/a	n/a	3,918.00	3.0
CR INTRINIC INVESTMENT	044	NTY	B	1,200	17.60	n/a	n/a	60.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PAG</b>	<b>B</b>	<b>20,000</b>	<b>10.94</b>	<b>n/a</b>	<b>18-Feb</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PAG</b>	<b>B</b>	<b>30,000</b>	<b>10.95</b>	<b>n/a</b>	<b>18-Feb</b>	<b>non-brg</b>	<b>4.0</b>
PERMIAN/BAYPIONT	028	PAG	B	50,000	10.93	n/a	18-Feb	2,000.00	4.0
TRELLUS PARTNERS LP	028	PCAR	SS	15,000	31.04	25-Mar	25-Mar	750.00	5.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>PENX</b>	<b>S</b>	<b>1800</b>	<b>5.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	PKG	SS	10,000	13.21	8-Apr	8-Apr	500.00	5.0
SCOPUS FUND	041	PKG	SS	45,000	13.32	8-Apr	8-Apr	2,250.00	5.0
WAGONHOUND/NIC	048	PLCE	C	16,323	24.26	19-Mar	13-Apr	652.92	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PP FP</b>	<b>SS</b>	<b>271</b>	<b>76.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.8</b>
MILLRACE FUND LP	028	PRX	B	10,000	11.28	14-Apr	14-Apr	300.00	3.0
MERRILL ASSET	032	PSS	B	1,489	10.50	n/a	n/a	67.01	4.5
ASCEND PARTNERS	033	PVH	B	20,000	25.34	27-Mar	27-Mar	1,000.00	5.0
IRONBOUND CAPITAL	012	PX	SS	82,700	68.41	30-Mar	30-Mar	4,135.00	5.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>RBSPRL</b>	<b>S</b>	<b>400</b>	<b>5.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>RCL</b>	<b>S</b>	<b>30,000</b>	<b>11.50</b>	<b>26-Mar</b>	<b>26-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>20,000</b>	<b>50.16</b>	<b>n/a</b>	<b>5-Feb</b>	<b>800.00</b>	<b>4.0</b>
BALYASNY ASSET MGMT	044	ROST	B	20,000	39.14	n/a	n/a	1,000.00	5.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SDS</b>	<b>B</b>	<b>2000</b>	<b>68.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKX+GB[SKX]</b>	<b>SS</b>	<b>2,000</b>	<b>0.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
SIGMA CAPITAL ASSOCIATES	041	SPLS	SS	25,000	20.15	n/a	27-Jul	1,250.00	5.0
SOUTH POINT CAP	067	SPY	B	17,000	84.72	n/a	n/a	850.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>TAST</b>	<b>B</b>	<b>26,000</b>	<b>4.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
OMEGA ADVISORS	041	TBT	S	20,000	44.40	n/a	n/a	600.00	3.0
SIGMA CAPITAL ASSOCIATES	041	TIF	S	50,000	23.53	n/a	30-Jan	2,500.00	5.0
BMO NESBITT BURNS	041	TIN	SS	30,000	6.20	5-Dec	8-Apr	1,500.00	5.0
COUGAR TRADING	044	TJX	B	5,000	27.19	n/a	13-Apr	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TLB</b>	<b>S</b>	<b>70,000</b>	<b>3.59</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>2.0</b>
CRAMER ROSENTHAL/SCOTT	012	TOT	S	7,600	47.94	n/a	n/a	304.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>70,000</b>	<b>27.30</b>	<b>27-Mar</b>	<b>27-Mar</b>	<b>non-brg</b>	<b>4.0</b>
PRINCIPLE CAPITAL	040	WSO	S	100,600	38.50	n/a	n/a	3,018.00	3.0
<b>f ANDREW SINCLAIR</b>	<b>FID</b>	<b>YPCAO</b>	<b>S</b>	<b>84</b>	<b>1.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RDK CAPITAL MGMT	034	YUM	B	25,000	29.86	n/a	3-Apr	1,000.00	4.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>ZQNPO</b>	<b>B</b>	<b>4</b>	<b>0.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>								<b>80,898.10</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/13	AELTUS/ING INVESTMENT MGT	215	FLS	S	49,246	63.06	n/a	n/a	1477.38 3.0
AO,	04/13	REINHART & MAHONEY	031	LDSH	S	5,170	8.45	n/a	n/a	258.50 5.0
AO,	04/13	AELTUS/ING INVESTMENT MGT	215	SCHW	B	209,190	17.02	n/a	n/a	6275.70 3.0
AO,	04/13	AELTUS/ING INVESTMENT MGT	215	USB	B	194,650	18.00	n/a	n/a	5839.50 3.0
AO,	04/13	AELTUS/ING INVESTMENT MGT	215	VLO	S	180,630	20.65	n/a	n/a	5418.90 3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>19,269.98</b>

TOTAL (Trade Date) :	80,898.10
TOTAL (AO, CXL, CRT) :	19,269.98

<b>TOTAL (RUN DATE) / NFS :</b>	<b>100,168.08</b>
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MRI Securities with Trade Activity on 14-Apr.

PRX

MRI Securities without Trade Activity on 14-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 10%

YTD = 23%



**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)