

Daily Compliance Activity Report Trade Date: 06/29/2012

POTENTIAL ANALYST TRADE VIOLATIONS: 0

TOTENTE AUXELOI					TRUBL VIOLATIONS: 0			
RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
028	AGCO	В	10,000	45.59	n/a	13-Jun	400.00	4.0
040	AKS	SS	108,582	6.06	n/a	n/a	2,171.64	2.0
035	ALV	S	25 , 000	54.17	n/a	20-Jun	1,250.00	5.0
085	BAC	S	50,000	8.01	n/a	20-Jun	2,500.00	5.0
036	BA	В	10,000	74.14	n/a	29-Jun	400.00	4.0
036	ВА	В	14,555	73.41	n/a	29-Jun	436.65	3.0
033	CAR	SS	49,264	15.09	n/a	n/a	1,970.56	4.0
035	CSCO	S	225	17.06	n/a	n/a	31.50	14.0
031	CXO	S	11,300	82.85	n/a	n/a	339.00	3.0
029	С	S	500,000	27.22	n/a	20-Jun	20,000.00	4.0
028	DAN	В	20,000	12.78	n/a	26-Apr	800.00	4.0
028	DAN	SS	20,000	12.63	n/a	26-Apr	800.00	4.0
047	DLTR	S	49,200	53.40	n/a	22-Jun	1,968.00	4.0
040	DNKN	В	10,000	34.03	n/a	n/a	400.00	4.0
099	DNKN	В	500	34.00	n/a	n/a	0.00	0.0
028	EWG	S	5,000	19.75	n/a	n/a	200.00	4.0
	028 040 035 085 036 036 033 035 031 029 028 028 047 040 099	028 AGCO 040 AKS 035 ALV 085 BAC 036 BA 036 BA 037 CAR 037 CSCO 031 CXO 029 C 028 DAN 028 DAN 028 DAN 047 DLTR 040 DNKN 099 DNKN	028 AGCO B 040 AKS SS 035 ALV S 085 BAC S 036 BA B 033 CAR SS 035 CSCO S 031 CXO S 029 C S 028 DAN B 028 DAN SS 047 DLTR S 040 DNKN B 099 DNKN B	028 AGCO B 10,000 040 AKS SS 108,582 035 ALV S 25,000 085 BAC S 50,000 036 BA B 10,000 036 BA B 14,555 033 CAR SS 49,264 035 CSCO S 225 031 CXO S 11,300 029 C S 500,000 028 DAN B 20,000 028 DAN B 20,000 047 DLTR S 49,200 040 DNKN B 10,000 099 DNKN B 500	RR # Symbol B/S Shares Price 028 AGCO B 10,000 45.59 040 AKS SS 108,582 6.06 035 ALV S 25,000 54.17 085 BAC S 50,000 8.01 036 BA B 10,000 74.14 036 BA B 14,555 73.41 033 CAR SS 49,264 15.09 035 CSCO S 225 17.06 031 CXO S 11,300 82.85 029 C S 500,000 27.22 028 DAN B 20,000 12.78 028 DAN S 20,000 12.63 047 DLTR S 49,200 53.40 040 DNKN B 10,000 34.03 099 DNKN B 500 34.00	RR # Symbol B/S Shares Price MRI 028 AGCO B 10,000 45.59 n/a 040 AKS SS 108,582 6.06 n/a 035 ALV S 25,000 54.17 n/a 085 BAC S 50,000 8.01 n/a 036 BA B 10,000 74.14 n/a 036 BA B 14,555 73.41 n/a 033 CAR SS 49,264 15.09 n/a 035 CSCO S 225 17.06 n/a 031 CXO S 11,300 82.85 n/a 029 C S 500,000 27.22 n/a 028 DAN B 20,000 12.78 n/a 047 DLTR S 49,200 53.40 n/a 040 DNKN B 10,000 34.03 <td>RR # Symbol B/S Shares Price MRI Research 028 AGCO B 10,000 45.59 n/a 13-Jun 040 AKS SS 108,582 6.06 n/a n/a 035 ALV S 25,000 54.17 n/a 20-Jun 085 BAC S 50,000 8.01 n/a 20-Jun 036 BA B 10,000 74.14 n/a 29-Jun 036 BA B 14,555 73.41 n/a 29-Jun 033 CAR SS 49,264 15.09 n/a n/a 035 CSCO S 225 17.06 n/a n/a 031 CXO S 11,300 82.85 n/a n/a 029 C S 500,000 27.22 n/a 20-Jun 028 DAN SS 20,000 12.78 n/a 26-Apr<!--</td--><td>RR # Symbol B/S Shares Price MRI Research Comm. 028 AGCO B 10,000 45.59 n/a 13-Jun 400.00 040 AKS SS 108,582 6.06 n/a n/a 2,171.64 035 ALV S 25,000 54.17 n/a 20-Jun 1,250.00 085 BAC S 50,000 8.01 n/a 20-Jun 2,500.00 036 BA B 10,000 74.14 n/a 29-Jun 400.00 036 BA B 14,555 73.41 n/a 29-Jun 436.65 033 CAR SS 49,264 15.09 n/a n/a 1,970.56 035 CSCO S 225 17.06 n/a n/a 339.00 029 C S 500,000 27.22 n/a 20-Jun 20,000.00 028 DAN S</td></td>	RR # Symbol B/S Shares Price MRI Research 028 AGCO B 10,000 45.59 n/a 13-Jun 040 AKS SS 108,582 6.06 n/a n/a 035 ALV S 25,000 54.17 n/a 20-Jun 085 BAC S 50,000 8.01 n/a 20-Jun 036 BA B 10,000 74.14 n/a 29-Jun 036 BA B 14,555 73.41 n/a 29-Jun 033 CAR SS 49,264 15.09 n/a n/a 035 CSCO S 225 17.06 n/a n/a 031 CXO S 11,300 82.85 n/a n/a 029 C S 500,000 27.22 n/a 20-Jun 028 DAN SS 20,000 12.78 n/a 26-Apr </td <td>RR # Symbol B/S Shares Price MRI Research Comm. 028 AGCO B 10,000 45.59 n/a 13-Jun 400.00 040 AKS SS 108,582 6.06 n/a n/a 2,171.64 035 ALV S 25,000 54.17 n/a 20-Jun 1,250.00 085 BAC S 50,000 8.01 n/a 20-Jun 2,500.00 036 BA B 10,000 74.14 n/a 29-Jun 400.00 036 BA B 14,555 73.41 n/a 29-Jun 436.65 033 CAR SS 49,264 15.09 n/a n/a 1,970.56 035 CSCO S 225 17.06 n/a n/a 339.00 029 C S 500,000 27.22 n/a 20-Jun 20,000.00 028 DAN S</td>	RR # Symbol B/S Shares Price MRI Research Comm. 028 AGCO B 10,000 45.59 n/a 13-Jun 400.00 040 AKS SS 108,582 6.06 n/a n/a 2,171.64 035 ALV S 25,000 54.17 n/a 20-Jun 1,250.00 085 BAC S 50,000 8.01 n/a 20-Jun 2,500.00 036 BA B 10,000 74.14 n/a 29-Jun 400.00 036 BA B 14,555 73.41 n/a 29-Jun 436.65 033 CAR SS 49,264 15.09 n/a n/a 1,970.56 035 CSCO S 225 17.06 n/a n/a 339.00 029 C S 500,000 27.22 n/a 20-Jun 20,000.00 028 DAN S

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
RIDGECREST INV MGMT LLC	028	EWQ	S	5,000	19.54	n/a	n/a	200.00	4.0	
OZ MGMT	040	F	В	400,000	9.73	n/a	29-Jun	12,000.00	3.0	
ROSEDALE CAPITAL	046	F	S	30,000	9.93	n/a	29-Jun	1,200.00	4.0	
FIRST NY SEC/CHRIS	041	GE	SS	25 , 000	20.62	n/a	n/a	1,250.00	5.0	
LATEEF MGMT	035	GE	S	250	20.75	n/a	n/a	35.00	14.0	
BALYASNY ASSET MGMT	044	GM	S	44,800	19.70	n/a	7-Jun	2,240.00	5.0	
LEVIN CAPITAL STRATEGIES	041	GM	SS	20,000	19.56	n/a	7-Jun	600.00	3.0	
OZ MGMT	040	GM	S	75 , 000	19.43	n/a	7-Jun	2,250.00	3.0	
KINGDON ASSET MGMT	041	IP	В	100,000	28.87	n/a	27-Apr	4,000.00	4.0	
KINGDON ASSET MGMT	041	JWN	В	20,000	48.84	n/a	28-Jun	800.00	4.0	
KINGDON ASSET MGMT	041	KFT	S	100,000	38.03	n/a	n/a	4,000.00	4.0	
MERRILL ASSET/BLACKROCK	297	LAZ	S	61,300	25.66	n/a	20-Jun	2,452.00	4.0	
MARCATO CAPITAL MGMT.	029	LEA	В	100,000	37.71	n/a	4-May	3,000.00	3.0	
WOOSTER CAPITAL	035	LEA	В	25 , 000	37.55	n/a	4-May	1,250.00	5.0	
ACK ASSET	028	LULU	SS	26,000	59.31	n/a	7-Jun	780.00	3.0	
SCOPUS FUND	041	MGA	В	12,600	39.39	n/a	n/a	504.00	4.0	
ROYCE TRADING	031	MLI	S	4,500	42.13	n/a	14-Jun	225.00	5.0	
FIRST NY SEC/CHRIS	041	М	SS	10,000	33.91	n/a	28-Jun	500.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
THE JAY GOLDMAN	028	M	SS	100,000	34.24	n/a	28-Jun	4,000.00	4.0
LISTED ERR	099	M	В	500	33.95	n/a	28-Jun	0.00	0.0
LISTED ERR	099	M	SS	500	34.06	n/a	28-Jun	0.00	0.0
RAINIER INV MGMT	035	ORLY	В	13,470	83.05	n/a	n/a	538.80	4.0
CONIFER	084	PAG	S	34,000	21.26	n/a	26-Apr	1,700.00	5.0
TRELLUS PARTNERS LP	028	PAG	В	5 , 000	21.33	n/a	26-Apr	150.00	3.0
FORTRESS INVESTMENT (US)	036	PCP	В	5,000	165.10	n/a	18-May	200.00	4.0
METROPOLITAN CAPITAL	044	PLCE	S	8,000	49.21	n/a	5-Jun	400.00	5.0
WAGONHOUND/NICOLAS	033	ROST	В	389	61.98	n/a	28-Jun	15.56	4.0
PALESTRA	040	SIRI	В	93,000	1.84	n/a	n/a	2,790.00	3.0
RIDGECREST INV MGMT LLC	028	SSO SYY	S	5 , 000	54.18	n/a n/a	n/a n/a	200.00	4.0
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THE JAY GOLDMAN	028	TEN	В	10,000	26.79	n/a	n/a	400.00	4.0
THE JAY GOLDMAN	028	TEN	SS	10,000	26.35	n/a	n/a	400.00	4.0
THE JAY GOLDMAN RIDGECREST INV MGMT LLC	028	TWI	S	12,409 5,000	24.40	n/a n/a	n/a n/a	496.36	4.0
NEWLAND CAPITAL MGT	025	WY	S	25,400	22.30	n/a	4-May	1,016.00	4.0
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TOTAL (Trade Date): 83,495.07

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI R	esearch	Comm.	\$/Shr.
AO,CXL	06/27	MACELLUM CAPITAL (MACEMS)	086	ARO	S	2,690	16.10	n/a	n/a	80.70	3.0
AO,CRT	06/27	MACELLUM CAPITAL (MACEBTIG)	086	ARO	S	2,690	16.10	n/a	n/a	80.70	3.0
CRT	06/29	THE JAY GOLDMAN (GOLDGSBULK)	028	TWI	S	12,409	24.40	n/a	n/a	496.36	4.0
TOTAL	(AO, (CXL, CRT):							,	496.36	

TOTAL (RUN DATE) / NFS:	83,991.43
TOTAL (AO, CXL, CRT):	496.36
TOTAL (Trade Date):	83,495.07

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION