



# Daily Compliance Activity Report

Trade Date: 01/02/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AEO	B	30,000	9.66	n/a	12-Dec	non-brg	3.0
MERRILL ASSET	032	AG	B	25,700	24.27	15-Dec	19-Dec	1,156.50	4.5
WHITE PINE CAPITAL	030	AG	B	5,150	24.67	15-Dec	19-Dec	257.50	5.0
COUGAR TRADING	044	AN	B	5,000	10.62	7-Nov	23-Dec	250.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	APP	B	1000	2.08	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	ARB	B	19,000	13.75	n/a	n/a	950.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	ARO	B	1000	17.40	n/a	12-Dec	0.00	0.0
BUCK CAPITAL MGMT		BARE	B	70,000	5.45	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		CEC	C	50,000	24.94	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		COST	SS	10,000	52.90	n/a	27-Jul	non-brg	4.0
BUCK CAPITAL MGMT		DIS	C	41,500	23.21	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	DKS	B	80,000	14.73	7-Oct	12-Dec	3,200.00	4.0
MERRILL ASSET	032	DW	B	13,500	12.18	n/a	n/a	607.50	4.5
f KEVIN MICHAEL O'GORM	FID	DXD	S	100	52.65	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	DXO	B	1000	2.90	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		FOSL	B	100,000	16.92	10-Nov	12-Dec	non-brg	4.0
ß	BUCK CAPITAL MGMT		FOSL	B	30,000	17.12	10-Nov	12-Dec	non-brg	1.0
f	MITCHELL JAMES SPEIS	FID	FSNXX	B	44500	1.00	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	GIL	B	10,000	12.28	11-Dec	12-Dec	400.00	4.0
ß	BUCK CAPITAL MGMT		HIBB	C	10,600	16.11	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		HIBB	C	39,400	15.81	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		HOT	C	60,000	20.23	n/a	n/a	non-brg	4.0
f	MARC PAUL LUCHANSKY	FID	IR	B	177	18.69	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	JCP	B	50,000	19.75	17-Nov	12-Dec	2,000.00	4.0
	LAGRANGE CAP ADV	048	JNS	S	70,000	8.79	25-Jul	22-Dec	3,500.00	5.0
ß	BUCK CAPITAL MGMT		JWN	C	160,000	13.94	14-Nov	12-Dec	non-brg	4.0
ß	BUCK CAPITAL MGMT		KSS	B	200,000	37.01	n/a	12-Dec	non-brg	4.0
f	KEVIN MICHAEL O'GORM	FID	LIZ	B	1000	2.94	n/a	12-Nov	0.00	0.0
ß	BUCK CAPITAL MGMT		MAR	C	20,000	19.92	n/a	n/a	non-brg	4.0
f	JOHN MICHAEL ZOLIDIS	FID	MOV	S	1000	9.49	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		MSSR	C	10,000	4.52	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		M	B	200,000	10.80	12-Aug	12-Dec	non-brg 4.0
BUCK CAPITAL MGMT		NKE	B	50,000	52.21	n/a	n/a	non-brg 4.0
TRELLUS PARTNERS LP	028	PAG	SS	20,000	8.20	n/a	23-Dec	600.00 3.0
BUCK CAPITAL MGMT		PETM	C	80,000	18.70	n/a	n/a	non-brg 4.0
MAIRS AND POWER INV	030	PFE	S	20,000	18.00	n/a	31-Mar	1,000.00 5.0
BULL PATH CAPITAL	044	PTV	S	19,600	24.62	n/a	n/a	980.00 5.0
BUCK CAPITAL MGMT		PZZA	C	10,000	18.70	n/a	24-Apr	non-brg 2.0
BUCK CAPITAL MGMT		PZZA	C	40,000	18.94	n/a	24-Apr	non-brg 4.0
KEVIN MICHAEL O'GORM	FID	SDS	S	200	69.73	n/a	n/a	0.00 0.0
JONATHAN RICHARD HAR	FID	SPHIX	B	824	6.07	n/a	n/a	0.00 0.0
KEVIN MICHAEL O'GORM	FID	TBT	B	50	37.70	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		UNFI	C	10,000	18.17	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		UNFI	C	20,000	18.05	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		UNFI	C	30,000	18.15	n/a	n/a	non-brg 4.0
WHALE ROCK CAP	030	WYNN	B	43,000	46.48	n/a	n/a	1,720.00 4.0
BUCK CAPITAL MGMT		XRT	C	1,100,000	21.15	n/a	n/a	non-brg 2.0
BULL PATH CAPITAL	044	YUM	B	32,400	31.58	14-Aug	10-Dec	1,620.00 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		ZLC	C	70,000	4.14	n/a	n/a	non-brg 3.0
TOTAL (Trade Date):								18,241.50

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/31	DISIPLINED GROWTH INV.	030	LF	B	13,600	3.48	n/a	n/a	272.00 2.0
AO,	12/31	DISIPLINED GROWTH INV.	030	LF	B	13,600	3.48	n/a	n/a	408.00 3.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>136.00</b>
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TOTAL (Trade Date) :	18,241.50
TOTAL (AO, CXL, CRT) :	136.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>18,377.50</b>
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MRI Securities with Trade Activity on 2-Jan. NONE
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MRI Securities without Trade Activity on 2-Jan. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 7% YTD = 7%
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**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**

**f: Employee Trades (Fidelity)**