



Daily Compliance Activity Report

Trade Date: 04/09/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AEO	S	20,000	13.69	8-Apr	8-Apr	1,000.00	5.0
REINHART & MAHONEY	031	AFG	B	1,000	17.85	n/a	n/a	50.00	5.0
DSG CAPITAL	225	AKS	S	3,500	9.44	n/a	n/a	175.00	5.0
B BUCK CAPITAL MGMT		AMB	C	1,960	16.74	n/a	n/a	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	AMR	B	1000	4.54	n/a	n/a	0.00	0.0
THE JAY GOLDMAN	034	ANF	B	25,000	23.39	n/a	6-Feb	1,000.00	4.0
SCOPUS FUND	041	ANN	S	55,000	6.35	n/a	30-Jan	2,750.00	5.0
CASTLE ARK	031	APC	B	85,210	42.82	3-Feb	3-Apr	5,112.60	6.0
B BUCK CAPITAL MGMT		APP	B	50,000	3.75	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		ARE	C	2,538	36.05	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	ARO	B	169,187	29.08	n/a	3-Apr	6,767.48	4.0
REINHART & MAHONEY	031	ATVI	B	500	10.69	n/a	n/a	25.00	5.0
B BUCK CAPITAL MGMT		AVB	C	1,317	50.69	n/a	n/a	non-brg	1.0
AAD CAPITAL	048	BAC	S	25,000	9.15	2-Mar	8-Apr	500.00	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f KEVIN MICHAEL O'GORM	FID	BAC	S	1000	9.65	2-Mar	8-Apr	0.00	0.0
f RAGHAV NAYAR	FID	BGZ	S	17	50.33	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BHQ+TR[BBBY]	SS	2,000	2.80	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		BHQ+TS[BBBY]	SS	3,000	1.80	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		BJ	C	88,781	32.50	n/a	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		BKC	B	50,000	23.83	6-Feb	3-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		BKC	B	75,000	23.79	6-Feb	3-Apr	non-brg	4.0
SANDLER O'NEILL ASSET	031	BK	S	50,000	29.81	21-Jan	8-Apr	2,500.00	5.0
TRELLUS PARTNERS LP	028	BWA	SS	7,306	25.63	12-Mar	19-Mar	365.30	5.0
ß BUCK CAPITAL MGMT		CACH	B	80,000	3.11	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CAT	SS	100,000	32.42	n/a	27-Jan	non-brg	4.0
SLS CAPITAL LLC	224	CAT	SS	27,600	30.73	n/a	27-Jan	1,104.00	4.0
f JOHN CAREY MAGUIRE	FID	CIT	B	1500	3.31	22-Jan	8-Apr	0.00	0.0
DECADE CAPITAL PARTNERS	040	CNW	SS	49,000	20.55	n/a	n/a	2,450.00	5.0
KEEFE BRUYETTE WOODS A	045	COF	SS	50,200	16.52	n/a	8-Apr	2,510.00	5.0
SANDLER O'NEILL ASSET	031	COF	S	75,000	17.26	n/a	8-Apr	3,750.00	5.0
ß BUCK CAPITAL MGMT		COST	C	150,000	46.57	n/a	27-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	CVS	B	300	30.11	n/a	n/a	15.00	5.0
⚡ BUCK CAPITAL MGMT		CWTR	B	50,000	3.02	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		DECK	B	10,000	63.50	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DIN	C	6,300	15.61	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DPZ	B	350,000	7.18	n/a	24-Apr	non-brg	3.0
f JOHN CAREY MAGUIRE	FID	DPZ	B	800	7.05	n/a	24-Apr	0.00	0.0
SUN AMERICA ASSET MGMT	030	DVN	B	50,000	48.34	5-Feb	3-Apr	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		EGP	C	1,682	31.08	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		ELS	C	1,348	44.37	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		EQR	C	2,032	20.34	n/a	n/a	non-brg	1.0
REINHART & MAHONEY	031	EQT	B	500	33.50	n/a	n/a	25.00	5.0
⚡ BUCK CAPITAL MGMT		ESS	C	1,059	65.94	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	ETH	B	70,904	13.97	n/a	n/a	2,836.16	4.0
⚡ BUCK CAPITAL MGMT		ETH	C	85,000	14.14	n/a	n/a	non-brg	4.0
DECADE CAPITAL PARTNERS	040	EXPD	B	48,000	31.67	n/a	n/a	2,400.00	5.0
NORTHPOINT	030	FAST	S	11,770	38.32	n/a	n/a	470.80	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	SCOTT L BRUNNER	FID	FAZ	B	2000	14.17	n/a	n/a	0.00	0.0
	REINHART & MAHONEY	031	FISV	B	1,000	36.26	n/a	n/a	50.00	5.0
B	BUCK CAPITAL MGMT		FRT	C	6,222	52.18	n/a	n/a	non-brg	1.0
	SCOPUS FUND	041	FWRD	B	7,000	17.63	n/a	n/a	350.00	5.0
f	JOHN CAREY MAGUIRE	FID	F	S	700	4.23	n/a	7-Apr	0.00	0.0
	BUCK CAPITAL MGMT	001	GCO	B	68,683	21.26	n/a	10-Jan	2,747.32	4.0
B	BUCK CAPITAL MGMT		GCO	B	20,000	20.99	n/a	10-Jan	non-brg	1.0
f	JAMES DAWSON	FID	GILD	B	100	47.11	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	GIL	S	50,000	9.50	11-Dec	12-Feb	1,500.00	3.0
	EVERCORE WEALTH MGMT	012	GLD	B	1,800	86.56	n/a	n/a	90.00	5.0
	THIRD COAST CAPITAL	035	GME	B	5,000	31.56	n/a	n/a	250.00	5.0
	BUCK CAPITAL MGMT	001	GPS	B	50,000	14.57	n/a	30-Jan	2,000.00	4.0
	CAXTON PART	040	GT	B	25,000	8.19	n/a	n/a	875.00	3.5
B	BUCK CAPITAL MGMT		GYMB	B	20,000	25.38	9-Apr	9-Apr	non-brg	4.0
	THOMSON HORSTMAN BRYANT	040	GYMB	S	43,300	26.91	9-Apr	9-Apr	2,165.00	5.0
	KLEINHEINZ CAP PART	031	HBC	B	50,000	34.36	n/a	n/a	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
11:11 CAPITAL CORP	046	HLS	B	12,400	8.82	n/a	n/a	620.00	5.0
B BUCK CAPITAL MGMT		HME	C	491	33.75	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		HOG	SS	200,000	17.14	n/a	n/a	non-brg	4.0
THIRD COAST CAPITAL	035	HOTT	SS	20,000	11.14	n/a	30-Jan	1,000.00	5.0
KLEINHEINZ CAP PART	031	HRB	S	50,000	15.27	n/a	n/a	2,500.00	5.0
B BUCK CAPITAL MGMT		HVT	C	43,600	10.56	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	HYP	S	36638	2.47	n/a	n/a	0.00	0.0
NEWCASTLE FUNDS	033	IBM	C	17,400	101.39	n/a	n/a	696.00	4.0
REINHART & MAHONEY	031	IBM	B	200	102.11	n/a	n/a	10.00	5.0
NEWCASTLE FUNDS	033	ITT	B	100,000	39.85	n/a	5-Feb	4,000.00	4.0
SOUTH POINT CAP	067	IYH	SS	43,300	49.28	n/a	n/a	2,165.00	5.0
B BUCK CAPITAL MGMT		IYR	C	410,000	28.51	n/a	n/a	non-brg	1.0
f KEVIN MICHAEL O'GORM	FID	JJC	B	400	28.92	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JNY+HU [JNY]	SS	2,000	0.70	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		JOSB	B	50,000	37.02	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	JWN	SS	20,000	21.09	20-Feb	3-Apr	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		KCP	S	15,000	7.12	n/a	28-Sep	non-brg	1.0
⚡ BUCK CAPITAL MGMT		KMX	C	15,200	11.89	n/a	10-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		KMX	C	74,800	11.89	n/a	10-Jan	non-brg	2.0
⚡ BUCK CAPITAL MGMT		KRC	C	5,000	18.11	n/a	n/a	non-brg	1.0
COUGAR TRADING	044	KSS	S	5,000	45.25	30-Mar	3-Apr	250.00	5.0
⚡ JOHN MICHAEL ZOLIDIS	FID	LMI	B	1000	20.57	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		LRV	C	867	20.59	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	LTD	B	50,000	10.24	8-Jan	30-Jan	1,500.00	3.0
EGS ASSOCIATES LP	091	LTD	SS	4,000	10.48	8-Jan	30-Jan	240.00	6.0
NEWCASTLE FUNDS	033	LTM	S	100,000	15.91	n/a	n/a	4,000.00	4.0
⚡ BUCK CAPITAL MGMT		LVS	B	900,000	4.34	n/a	n/a	non-brg	2.0
REINHART & MAHONEY	031	MAN	S	25,760	34.85	n/a	n/a	1,288.00	5.0
⚡ BUCK CAPITAL MGMT		MCD	B	15,000	56.58	n/a	3-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	B	150,000	56.76	n/a	3-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	B	30,800	56.79	n/a	3-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	B	50,000	56.58	n/a	3-Apr	non-brg	4.0
NEWCASTLE FUNDS	033	MCD	S	20,000	56.62	n/a	3-Apr	800.00	4.0
⚡ DAVID GEORGE BUCK	FID	MHS	B	1300	42.61	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	MMC	B	525	19.91	n/a	n/a	26.25	5.0
f DAVID GEORGE BUCK	FID	MONPP	B	10	0.95	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	MO	B	1000	16.11	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MPXL	B	100,000	4.82	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		MPXL	B	200,000	4.66	n/a	n/a	non-brg	2.0
f KEVIN MICHAEL O'GORM	FID	MRT	B	5000	2.99	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	MSFT	B	500	19.60	n/a	3-Apr	25.00	5.0
SUN AMERICA ASSET MGMT	030	MSFT	S	50,000	19.43	n/a	3-Apr	2,000.00	4.0
FEDERATED INV/KAUFMAN	029	MU	S	200,000	4.55	n/a	n/a	11,000.00	5.5
f EDWARD W WHEELER	FID	MWA	B	20000	3.94	n/a	4-Feb	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	MWA	B	2500	3.76	n/a	4-Feb	0.00	0.0
f JOSEPH CIRO AMATURO	FID	MWA	B	8210	3.79	n/a	4-Feb	0.00	0.0
f MICHAEL THOMAS NOLAN	FID	MWA	B	500	3.74	n/a	4-Feb	0.00	0.0
f MARC PAUL LUCHANSKY	FID	MWO	B	500	14.33	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		M	B	50,000	11.00	n/a	3-Apr	non-brg	2.0
B BUCK CAPITAL MGMT		M	B	50,000	11.00	n/a	3-Apr	non-brg	2.0
COUGAR TRADING	044	M	B	15,000	11.31	n/a	3-Apr	750.00	5.0
EGS ASSOCIATES LP	091	M	SS	4,000	11.74	n/a	3-Apr	240.00	6.0
REINHART & MAHONEY	031	NBL	S	7,200	60.59	n/a	n/a	360.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		NKE	B	30,000	51.52	n/a	n/a	non-brg	4.0
RDK CAPITAL MGMT	034	NKE	SS	5,000	53.06	n/a	n/a	200.00	4.0
REINHART & MAHONEY	031	NU	B	1,000	21.46	n/a	n/a	50.00	5.0
B BUCK CAPITAL MGMT		OFC	C	1,674	27.44	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	OMX	B	100,000	3.97	n/a	15-Apr	2,000.00	2.0
B BUCK CAPITAL MGMT		O	C	984	20.86	n/a	n/a	non-brg	1.0
TRELLUS PARTNERS LP	028	PCAR	SS	5,000	31.56	25-Mar	25-Mar	250.00	5.0
B BUCK CAPITAL MGMT		PENN	B	30,000	27.28	n/a	n/a	non-brg	4.0
REINHART & MAHONEY	031	PG	B	270	49.21	12-Mar	20-Mar	13.50	5.0
TRELLUS PARTNERS LP	028	PH	SS	10,000	39.06	n/a	5-Mar	500.00	5.0
B BUCK CAPITAL MGMT		PLCE	B	50,000	23.72	19-Mar	9-Apr	non-brg	4.0
CUMBERLAND	038	PLCE	B	15,000	23.74	19-Mar	9-Apr	600.00	4.0
GALT INVESTMENT	041	PLCE	B	25,000	23.74	19-Mar	9-Apr	1,250.00	5.0
THIRD COAST CAPITAL	035	PLCE	B	9,000	23.85	19-Mar	9-Apr	450.00	5.0
f MARC PAUL LUCHANSKY	FID	POT	B	100	85.05	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	PPDI	B	500	23.75	n/a	n/a	25.00	5.0
f DAVID GEORGE BUCK	FID	PRU	B	2500	25.47	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		PSB	C	1,690	42.80	n/a	n/a	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	QQQQ	B	1000	32.86	n/a	n/a	0.00	0.0
f ROBERT M DANIELS	FID	QXM	S	700	2.55	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		REG	C	2,602	33.30	n/a	n/a	non-brg	1.0
REINHART & MAHONEY	031	RGA	B	500	34.15	n/a	n/a	25.00	5.0
BUCK CAPITAL MGMT	001	RL	B	10,000	47.91	n/a	5-Feb	400.00	4.0
REINHART & MAHONEY	031	RSG	B	775	19.41	n/a	n/a	38.75	5.0
f MARC PAUL LUCHANSKY	FID	SHW	B	300	53.25	31-Mar	31-Mar	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SIAL	B	500	40.50	11-Feb	24-Mar	0.00	0.0
f WILLIAM J GAULT	FID	SKF	B	8000	76.76	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	SKF	S	8000	76.79	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SPG	C	4,246	39.00	n/a	n/a	non-brg	1.0
STRAUSS ASSET MGMT	040	SPWRA	B	3,500	25.36	n/a	n/a	140.00	4.0
SOUTH POINT CAP	067	SPY	B	25,000	85.12	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		TAST	B	44,000	4.12	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		TAST	B	6,000	4.03	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	TEVA	B	180	46.48	18-Feb	7-Apr	9.00	5.0
TRELLUS PARTNERS LP	028	TIN	S	100,000	5.92	5-Dec	8-Apr	3,000.00	3.0
BUCK CAPITAL MGMT	001	TJX	B	250,000	27.42	n/a	3-Apr	5,000.00	2.0
DECADE CAPITAL PARTNERS	040	TRN	SS	44,000	11.28	n/a	n/a	1,320.00	3.0
f JONATHAN RICHARD HAR	FID	TZA	S	83	37.26	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UHO+TB[HOTT]	SS	1,000	1.65	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		URBN	B	20,000	16.84	n/a	30-Jan	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	041	URBN	B	50,000	16.95	n/a	30-Jan	2,500.00	5.0
REINHART & MAHONEY	031	WEC	B	440	40.50	n/a	n/a	22.00	5.0
SCOPUS FUND	041	WERN	SS	25,000	16.28	n/a	n/a	1,250.00	5.0
f DAVID GEORGE BUCK	FID	WFC	B	2000	17.78	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	WFC	S	1000	18.42	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	WFT	B	37,800	12.77	n/a	n/a	1,890.00	5.0
PRINCIPLE CAPITAL	040	WHR	B	49,300	34.92	n/a	n/a	1,972.00	4.0
B BUCK CAPITAL MGMT		WMAR	C	21,100	5.51	n/a	n/a	non-brg	3.0
COLUMBUS CIRCLE	036	WMT	S	755,000	50.44	n/a	9-Apr	30,200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		WRI	C	8,276	13.03	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		WSM	C	750,000	12.08	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		XRT	C	600,000	25.12	n/a	n/a	non-brg 1.0
NEWCASTLE FUNDS	033	YUM	S	20,000	30.21	n/a	3-Apr	800.00 4.0
BUCK CAPITAL MGMT		ZQK	S	150,000	1.28	12-Mar	12-Mar	non-brg 2.0
BUCK CAPITAL MGMT		ZQK	S	150,000	1.31	12-Mar	12-Mar	non-brg 1.0
TOTAL (Trade Date):							139,759.16	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/08	SCOPUS FUND	041	MW	B	29,000	17.22	n/a	n/a	1450.00 5.0
AO,CXL	04/08	SCOPUS FUND	041	MW	B	29,000	17.22	n/a	n/a	1450.00 5.0
AO,CRT	04/08	CUMBERLAND	038	SPY	SS	5,000	82.07	n/a	n/a	150.00 3.0
AO,CXL	04/08	CUMBERLAND	038	SPY	SS	5,000	82.07	n/a	n/a	200.00 4.0
TOTAL (AO, CXL, CRT) :										-50.00

TOTAL (Trade Date) :	139,759.16
TOTAL (AO, CXL, CRT) :	-50.00

TOTAL (RUN DATE) / NFS:	139,709.16
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MRI Securities with Trade Activity on 9-Apr.

GYMB

MRI Securities without Trade Activity on 9-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 14%

YTD = 23%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)