



Daily Compliance Activity Report

Trade Date: 02/10/2011

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NCM CAPITAL MGMT	035	ACI	B	9,400	33.00	n/a	n/a	376.00	4.0
BUCK CAPITAL MGMT	001	ARO	B	30,000	25.43	n/a	4-Feb	900.00	3.0
OSKIE CAPITAL MGMT	224	AXL	S	10,000	14.16	n/a	9-Feb	500.00	5.0
GREEN ARROW DOUG	040	BBBY	SS	21,000	49.71	n/a	n/a	840.00	4.0
SAC TRADIN/BINDER	044	BYD	B	19,547	12.06	n/a	n/a	684.15	3.5
Õ Nilsa Vazquez		BZH	B	1000	5.2500	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	266	CLI	B	92,700	34.80	n/a	n/a	3,708.00	4.0
PROSPECTOR PARTNERS LLC	036	CPN	S	10,000	14.20	n/a	n/a	400.00	4.0
GREEN ARROW DOUG	040	CROX	B	26,000	17.81	n/a	n/a	1,040.00	4.0
Õ May Tang		CSCO	B	300	19.1700	n/a	n/a	0.00	0.0
NCM CAPITAL MGMT	035	CTL	S	18,300	44.53	n/a	n/a	732.00	4.0
SANDLER O'NEILL ASSET	031	C	B	98,100	4.80	n/a	18-Jan	1,962.00	2.0
BUCK CAPITAL MGMT	001	FDO	SS	100,000	43.79	n/a	2-Feb	3,000.00	3.0
UNITED STATES PENSION	085	GE	S	515,000	21.10	n/a	n/a	20,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GLOBAL 56 LLC	060	GLUU	B	5,000	3.65	n/a	n/a	150.00	3.0
STANDARD PACIFIC CAPITAL	040	GM	S	80,000	36.23	n/a	2-Feb	4,000.00	5.0
KLEINHEINZ CAP PART	031	HBI	S	100,000	25.88	n/a	28-Jan	5,000.00	5.0
SAC TRADIN/BINDER	044	HBI	B	50,000	25.94	n/a	28-Jan	1,750.00	3.5
NCM CAPITAL MGMT	035	LEAP	B	51,580	13.68	n/a	n/a	2,063.20	4.0
TRUSTCO/SUNTRUST	040	MAS	S	54,025	14.50	n/a	n/a	1,890.88	3.5
RBC CAPITAL MARKETS	040	MGM	B	50,000	15.49	n/a	10-Feb	2,000.00	4.0
HARRIER HAWK MGMT	028	MMI	SS	22,500	31.47	n/a	n/a	900.00	4.0
SOROS	036	NCS	S	5,989	13.52	n/a	n/a	239.56	4.0
NCM CAPITAL MGMT	035	NFX	B	1,900	70.94	n/a	n/a	76.00	4.0
BUTTE CAPITAL MGMT LLC	084	PCAR	B	5,000	51.47	n/a	2-Feb	250.00	5.0
BALLAST CAP	040	PGR	S	11,000	20.03	n/a	n/a	550.00	5.0
GREEN ARROW DOUG	040	PIR	S	34,000	10.17	n/a	n/a	1,360.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	S	78,000	61.55	n/a	1-Feb	2,340.00	3.0
GREEN ARROW DOUG	040	SBUX	SS	28,000	33.15	n/a	27-Jan	1,120.00	4.0
CATAPULT	040	SINA	B	16,000	93.72	n/a	n/a	640.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SAC CHICAGO ANDREW	031	SYX	B	200,000	28.07	n/a	n/a	7,000.00	3.5
SOROS	036	UPS	C	75,000	74.77	n/a	n/a	3,000.00	4.0
THE JAY GOLDMAN	028	WMT	S	150,000	55.49	n/a	27-Jan	6,000.00	4.0
TRELLUS PARTNERS LP	028	WY	SS	15,000	24.71	n/a	4-Feb	750.00	5.0
TOTAL (Trade Date) :								75,821.79	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	02/07	LISTED ERR (LISTED ERR)	099	RCL	SS	904	45.70	n/a	n/a	0.00	0.0
AO,CRT	02/07	LISTED ERR (LISTED ERR)	099	RCL	SS	904	45.70	n/a	n/a	0.00	0.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	75,821.79
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	75,821.79
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION