



Daily Compliance Activity Report

Trade Date: 04/20/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL 10 C630	SS	50	39.80	n/a	n/a	non-brg 300.0	
TUDOR INVESTORS	028	AAWW	B	5,000	45.38	n/a	n/a	150.00	3.0
BUCK CAPITAL MGMT		ACAT	S	10,000	46.56	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ACAT	S	13,900	46.54	n/a	n/a	non-brg	4.0
ROTHSCHILD ASSET	040	ACC	S	17,648	44.90	n/a	n/a	705.92	4.0
BUCK CAPITAL MGMT		AEO	S	60,000	17.63	n/a	2-Dec	non-brg	4.0
DAVID GEORGE BUCK	FID	AMRN	S	2530	7.32	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ANN	S	30,000	28.69	n/a	n/a	non-brg	4.0
PECONIC PARTNERS	036	ARCO	B	83,822	18.97	n/a	13-Apr	4,191.10	5.0
BUCK CAPITAL MGMT		ARO	S	130,000	21.73	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ARO	S	130,000	21.75	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ASNA	S	10,000	21.24	n/a	n/a	non-brg	4.0
TRELLUS PARTNERS LP	028	AXL	B	50,000	10.59	n/a	18-Apr	1,500.00	3.0
BUCK CAPITAL MGMT		BBBY	S	10,000	68.89	n/a	n/a	non-brg	4.0
ASCEND PARTNERS	033	BEAV	S	40,000	44.99	n/a	13-Apr	1,200.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	025	BHP	B	100	73.52	n/a	n/a	5.00	5.0
⚡ BUCK CAPITAL MGMT		BLOX	S	3,000	21.55	n/a	n/a	non-brg	2.5
MELLON/BANK OF NEW YORK	046	BMY	B	181,789	34.20	n/a	n/a	7,271.56	4.0
⚡ BUCK CAPITAL MGMT		BODY	S	10,000	27.56	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BODY	S	300	27.76	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BONT	B	20,000	6.45	n/a	n/a	non-brg	2.5
CATAPULT CAP/DEFRANCO	040	BWC	B	23,435	23.48	n/a	n/a	937.40	4.0
PRIMECAP MGMT	084	BWC	S	15,143	23.48	n/a	n/a	605.72	4.0
CATAPULT CAP/DEFRANCO	040	CMC	S	60,202	14.31	n/a	n/a	2,408.08	4.0
f ALEXANDRA ELISE ZOLI	FID	CMG	B	25	423.81	n/a	20-Apr	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	CMG	B	20	421.88	n/a	20-Apr	0.00	0.0
⚡ BUCK CAPITAL MGMT		COH	S	20,000	75.66	n/a	19-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		COH	S	20,000	75.66	n/a	19-Apr	non-brg	4.0
SCOPUS FUND	041	COH	B	27,100	75.46	n/a	19-Apr	1,084.00	4.0
TUDOR INVESTORS	028	COL	B	10,000	55.46	n/a	20-Apr	300.00	3.0
⚡ BUCK CAPITAL MGMT		COST	B	20,000	87.96	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CROX	S	50,000	22.19	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	CVGI	B	200	9.89	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	DD	B	600	52.67	n/a	19-Apr	30.00	5.0
NEW JERSEY DIV OF INV.	030	DHR	B	98,000	53.30	n/a	n/a	3,920.00	4.0
⌘ BUCK CAPITAL MGMT		DKS	S	10,000	50.60	n/a	9-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		DKS	S	30,000	50.56	n/a	9-Apr	non-brg	4.0
PATTEN & PATTEN	025	DOW	S	1,100	35.33	n/a	21-Mar	55.00	5.0
f GAJANTHAN BALAKANES	FID	EDAC	B	30	13.10	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FL	S	20,000	31.08	n/a	7-Mar	non-brg	2.5
⌘ BUCK CAPITAL MGMT		FL	S	40,000	31.01	n/a	7-Mar	non-brg	4.0
OZ MGMT	040	F	B	75,000	11.51	n/a	18-Apr	2,250.00	3.0
MERRILL ASSET/BLACKROCK	297	GDP	B	6,300	15.50	n/a	n/a	252.00	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	20,000	27.99	n/a	19-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	S	30,000	27.80	n/a	19-Apr	non-brg	4.0
f KEVIN MICHAEL O'GORM	FID	GLW	S	2000	13.29	n/a	11-Apr	0.00	0.0
OZ MGMT	040	GM	C	52,329	23.92	n/a	18-Apr	1,569.87	3.0
⌘ BUCK CAPITAL MGMT		GNC	S	10,000	35.73	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GNC	S	10,000	35.75	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	GSK	S	20	0.95	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TUDOR INVESTORS	028	GWW	B	2,000	218.72	n/a	19-Apr	60.00	3.0
ß BUCK CAPITAL MGMT		HBI	B	100,000	29.48	n/a	20-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		HBI	B	18,000	28.20	n/a	20-Apr	non-brg	2.5
ß BUCK CAPITAL MGMT		HBI	B	250,000	29.20	n/a	20-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		HBI	S	33,000	30.15	n/a	20-Apr	non-brg	4.0
CAMBIAR CAP	046	HBI	S	25,000	29.79	n/a	20-Apr	1,000.00	4.0
f MANNY I KORMAN	FID	IP	B	3000	33.27	n/a	16-Apr	0.00	0.0
FEDERATED INV/KAUFMAN	029	JCI	B	100,000	32.50	n/a	17-Apr	5,000.00	5.0
f MICHAEL ALEXANDER SM	FID	JCI	B	20	2.35	n/a	17-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		JNY	S	100,000	12.92	n/a	19-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		JNY	S	70,000	12.96	n/a	19-Apr	non-brg	4.0
HIGHBRIDGE CAP	041	JOY	S	7,000	75.02	n/a	18-Apr	210.00	3.0
RBC CAPITAL MARKETS	040	KFT	B	20,000	38.46	n/a	n/a	800.00	4.0
MILLRACE FUND LP	028	KSS	B	3,000	50.51	n/a	19-Apr	120.00	4.0
ß BUCK CAPITAL MGMT		LIZ	S	40,000	13.04	n/a	7-Oct	non-brg	4.0
ß BUCK CAPITAL MGMT		LIZ	S	50,000	13.03	n/a	7-Oct	non-brg	4.0
RBC CAPITAL MARKETS	040	LOW	S	20,000	31.90	n/a	28-Feb	800.00	4.0
ß BUCK CAPITAL MGMT		LVS	S	30,000	58.22	n/a	13-Jun	non-brg	4.0
NEW JERSEY DIV OF INV.	030	MAT	B	100,000	32.20	n/a	n/a	4,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KAM ADVISORS LLC	041	MGA	SS	91,400	46.08	n/a	n/a	2,742.00	3.0
⚡ BUCK CAPITAL MGMT		MSMSRASH	C	194,791	115.48	n/a	n/a	non-brg	3.5
f JONATHAN RICHARD HAR	FID	MTW	S	200	14.66	n/a	18-Apr	0.00	0.0
f KAREN F LEDDY	FID	MTW	S	1000	14.67	n/a	18-Apr	0.00	0.0
f MICHAEL ALEXANDER SM	FID	NAV	B	50	0.90	n/a	18-Apr	0.00	0.0
TUDOR INVESTORS	028	NAV	B	15,000	35.34	n/a	18-Apr	450.00	3.0
PATTEN & PATTEN	025	NSC	B	100	69.20	n/a	n/a	5.00	5.0
⚡ BUCK CAPITAL MGMT		NWY	B	27,492	3.96	n/a	n/a	non-brg	2.0
f GAVIN N CADWALLADER	FID	PAG	B	100	26.99	n/a	18-Apr	0.00	0.0
TUDOR INVESTORS	028	PCAR	SS	10,000	42.31	n/a	18-Apr	300.00	3.0
f MANNY I KORMAN	FID	PFE	B	3000	22.70	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		PII	S	20,000	79.84	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PNRA	S	20,000	150.70	n/a	13-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PSMT	S	10,000	80.28	n/a	n/a	non-brg	2.5
CATAPULT	040	RCL	B	27,500	28.51	n/a	n/a	1,100.00	4.0
HIGHBRIDGE CAP	041	ROK	S	10,000	79.46	n/a	n/a	300.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOHN MICHAEL ZOLIDIS	FID	SBUX	B	100	59.74	n/a	13-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		SCSS	B	30,000	32.03	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SFER IM	S	10,000	20.19	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		SFER IM	S	10,000	20.19	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		SHOO	S	10,000	42.88	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHOO	S	20,000	42.98	n/a	n/a	non-brg	4.0
CAERUS GLOBAL	041	SHW	B	12,500	118.35	n/a	19-Apr	500.00	4.0
SENATOR INVESTMENT GROUP	036	SHW	B	25,000	117.58	n/a	19-Apr	750.00	3.0
ß BUCK CAPITAL MGMT		SIG	S	40,000	47.53	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	SIMO	S	1000	21.49	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKS	S	100,000	10.70	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKS	S	28,800	10.80	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKS	S	71,200	10.66	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKUL	S	10,000	15.94	n/a	n/a	non-brg	4.0
NEW JERSEY DIV OF INV.	030	SPW	B	52,000	75.53	n/a	n/a	2,080.00	4.0
CATAPULT CAP/DEFRANCO	040	TDG	B	7,566	122.01	n/a	n/a	302.64	4.0
TUDOR INVESTORS	028	TEX	SS	5,000	24.71	n/a	18-Apr	150.00	3.0
BNP PARIBAS SEC	040	TFM	SS	5,000	53.86	n/a	n/a	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TJX	S	20,000	41.16	n/a	19-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		TPX	B	30,000	66.72	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TPX	B	40,000	66.53	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TPX	B	40,000	66.53	n/a	n/a	non-brg	4.0
NEW JERSEY DIV OF INV.	030	TWX	S	100,000	36.47	n/a	n/a	4,000.00	4.0
M&I FUNDS	047	TYC	S	6,629	55.25	n/a	28-Mar	265.16	4.0
NEW JERSEY DIV OF INV.	030	UNH	S	170,000	59.38	n/a	n/a	6,800.00	4.0
TUDOR INVESTORS	028	URI	S	5,000	45.78	n/a	17-Apr	150.00	3.0
CATAPULT CAP/DEFRANCO	040	UTX	B	8,403	81.38	n/a	n/a	336.12	4.0
f ALEXANDRA ELISE ZOLI	FID	WFM	B	50	85.15	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	WNC	B	225	8.83	n/a	n/a	0.00	0.0
SENATOR INVESTMENT GROUP	036	WSM	B	59,848	38.36	n/a	n/a	1,795.44	3.0
TUDOR INVESTORS	028	WSO	S	5,000	71.66	n/a	n/a	150.00	3.0
TOTAL (Trade Date):								62,852.01	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/19	LAKEWOOD CAPITAL MGMT	085	ANF	S	10,000	48.38	n/a	16-Feb	300.00 3.0
AO,CXL	04/19	LAKEWOOD CAPITAL MGMT	085	ANF	S	10,000	48.38	n/a	16-Feb	300.00 3.0
AO,CRT	04/19	PERRY PARTNERS	085	SPY	B	50,000	138.45	n/a	n/a	1500.00 3.0
AO,CXL	04/19	PERRY PARTNERS	085	SPY	B	50,000	138.45	n/a	n/a	2500.00 5.0
AO,CRT	04/19	PERRY PARTNERS	085	XLP	B	80,000	34.04	n/a	n/a	2400.00 3.0
AO,CXL	04/19	PERRY PARTNERS	085	XLP	B	80,000	34.04	n/a	n/a	4000.00 5.0
TOTAL (AO, CXL, CRT) :										-2,600.00
TOTAL (Trade Date) :										62,852.01
TOTAL (AO, CXL, CRT) :										-2,600.00
TOTAL (RUN DATE) / NFS :										60,252.01

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO	04/19	BUCK CAPITAL MGMT	BLOX	B	3,000	16.00	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 20-Apr.
NONE

MRI Securities without Trade Activity on 20-Apr.
COF

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)