



Daily Compliance Activity Report

Trade Date: 08/02/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ May Tang		AAPL	S	35	461.74	n/a	n/a	0.00	0.0
Õ May Tang		AAPL	S	65	461.61	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	ACE	B	565	91.77	n/a	n/a	22.60	0.0
BESSEMER INVESTMENT	085	ACN	B	390	74.42	n/a	n/a	15.60	0.0
BRONSON POINT	036	ADM	S	25,000	36.96	n/a	n/a	750.00	0.0
f MARC PAUL LUCHANSKY	FID	AEMSX	S	420	14.46	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	AET	B	690	63.45	n/a	n/a	27.60	0.0
BESSEMER INVESTMENT	085	AIG	B	695	48.32	n/a	n/a	27.80	0.0
STRONG/MARK GRONET	030	ALK	S	8,000	63.10	n/a	n/a	320.00	0.0
ß BUCK CAPITAL MGMT		ARO	B	20,000	14.83	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		ARO	B	33,700	14.94	n/a	n/a	non-brg	4.0
BRONSON POINT	036	ATI	B	25,000	27.70	n/a	11-Jun	750.00	0.0
BESSEMER INVESTMENT	085	AVGO	B	1,100	37.39	n/a	n/a	44.00	0.0
BESSEMER INVESTMENT	085	AWK	B	1,050	42.92	n/a	n/a	42.00	0.0
ß BUCK CAPITAL MGMT		AXL	S	20,000	20.53	n/a	19-Jun	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		AXL	S	30,000	20.94	n/a	19-Jun	non-brg	4.0
	RIDGECREST INV MGMT LLC	028	AXL	S	28,000	20.69	n/a	19-Jun	1,120.00	0.0
	ROSEDALE CAPITAL	046	AXL	S	5,000	21.01	n/a	19-Jun	200.00	0.0
	SCOPUS FUND	041	AXL	B	23,797	20.49	n/a	19-Jun	951.88	0.0
	PATTEN & PATTEN	025	AXP	B	120	75.62	n/a	19-Jun	6.00	0.0
f	DAVID GEORGE BUCK	FID	CFN	B	30	0.96	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	CFN	S	30	1.14	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	COH	B	995	53.40	n/a	30-May	39.80	0.0
	BESSEMER INVESTMENT	085	COP	B	710	67.00	n/a	n/a	28.40	0.0
	TUDOR INVESTORS	028	CSX	SS	5,000	25.99	n/a	n/a	150.00	0.0
	BESSEMER INVESTMENT	085	CTL	B	980	36.07	n/a	n/a	39.20	0.0
	BESSEMER INVESTMENT	085	CVE	S	600	29.50	n/a	n/a	24.00	0.0
	PATTEN & PATTEN	025	CVE	S	250	29.66	n/a	n/a	12.50	0.0
	BESSEMER INVESTMENT	085	CVS	B	505	62.10	n/a	n/a	20.20	0.0
	BESSEMER INVESTMENT	085	C	B	700	52.96	n/a	17-Jun	28.00	0.0
	GUGGENHEIM GLOBAL TRADING (GGT)251	DELL		B	50,000	13.61	n/a	n/a	1,500.00	0.0
	PROVIDENCE EQUITY CAP. MKTS.040	DELL		S	40,000	13.62	n/a	n/a	1,200.00	0.0
	BESSEMER INVESTMENT	085	DG	B	630	55.50	n/a	4-Jun	25.20	0.0
	CASTLEROCK	040	EAT	SS	2,000	43.40	n/a	13-May	80.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	ECA	S	600	17.45	n/a	n/a	24.00	0.0
PATTEN & PATTEN	025	ECA	S	150	17.47	n/a	n/a	7.50	0.0
BESSEMER INVESTMENT	085	EPD	S	800	62.13	n/a	n/a	32.00	0.0
B BUCK CAPITAL MGMT		ETN	B	7,500	66.19	n/a	12-Jun	non-brg	1.0
f MARK DEVEREAUX KAICH	FID	FBN	B	1000	2.26	n/a	n/a	0.00	0.0
PAULSON & CO INC	041	FDO	B	90,000	70.00	n/a	4-Jun	2,700.00	0.0
f MARC PAUL LUCHANSKY	FID	FEMKX	B	212	22.74	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	FE	B	1000	38.06	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FHGX	S	1685	12.71	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FICNX	S	1885	11.35	n/a	n/a	0.00	0.0
HAWKEYE CAPITAL	087	FINL	S	45,000	22.64	n/a	19-Jun	1,350.00	0.0
KENNEDY CAPITAL	046	FINL	B	39,180	22.64	n/a	19-Jun	1,567.20	0.0
f MARK DEVEREAUX KAICH	FID	FNMA	S	1500	1.54	n/a	n/a	0.00	0.0
SCOPUS FUND	041	FOE	B	31,750	6.98	n/a	n/a	1,270.00	0.0
B BUCK CAPITAL MGMT		FOR	S	2,200	21.98	n/a	9-May	non-brg	2.0
B BUCK CAPITAL MGMT		FOR	S	27,000	21.93	n/a	9-May	non-brg	1.0
S.A.C. CAPITAL	044	FOSL	B	40,000	114.26	n/a	n/a	1,200.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
f	JOHN FRANCIS MCERLEA	FID	GLD	S	5	3.14	n/a	n/a	0.00	0.0	
f	JONATHAN RICHARD HAR	FID	GM	S	8	5.10	n/a	19-Jun	0.00	0.0	
	BESSEMER INVESTMENT	085	HCA	S	600	39.02	n/a	n/a	24.00	0.0	
f	JOSEPH CIRO AMATURO	FID	HT	B	2500	5.60	n/a	n/a	0.00	0.0	
	BESSEMER INVESTMENT	085	IBM	B	250	194.16	n/a	n/a	10.00	0.0	
f	YANAI A FRANK	FID	INTC	B	120	0.09	n/a	n/a	0.00	0.0	
	BESSEMER INVESTMENT	085	ITW	B	350	74.29	n/a	n/a	14.00	0.0	
	S.A.C. CAPITAL	044	ITW	B	10,000	74.31	n/a	n/a	300.00	0.0	
	MARCATO CAPITAL MGMT.	029	IWM	S	60,000	105.06	n/a	n/a	2,400.00	0.0	
f	MARC PAUL LUCHANSKY	FID	JAENX	S	47	78.86	n/a	n/a	0.00	0.0	
	S.A.C. CAPITAL	044	JCI	B	15,000	41.23	n/a	10-Jun	450.00	0.0	
	PARKWEST	084	JCP	B	25,000	14.21	n/a	18-Jun	1,000.00	0.0	
	BESSEMER INVESTMENT	085	KMX	S	500	49.01	n/a	n/a	20.00	0.0	
	GUGGENHEIM GLOBAL TRADING (GGT)	251	LAMR	B	1,788	44.15		n/a	n/a	53.64	0.0
f	AMY O ROGERS	FID	LGND	B	7	44.70	n/a	n/a	0.00	0.0	
f	CAROL ANNE LACHENAU	FID	LGND	B	16	44.69	n/a	n/a	0.00	0.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f CAROL ANNE LACHENAU	FID	LGND	B	18	44.56	n/a	n/a	0.00	0.0
f CHRISTINA G ROGERS T	FID	LGND	B	12	45.25	n/a	n/a	0.00	0.0
f DOUGLAS R ROGERS	FID	LGND	B	14	44.48	n/a	n/a	0.00	0.0
f DOUGLAS R ROGERS	FID	LGND	B	17	44.62	n/a	n/a	0.00	0.0
f TR U/W OF CH RISTINA	FID	LGND	B	18	44.70	n/a	n/a	0.00	0.0
PRIMECAP MGMT	084	LLY	S	3,000	53.80	n/a	n/a	120.00	0.0
f MARC PAUL LUCHANSKY	FID	LSGBX	B	499	16.45	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	LTD	B	645	58.28	n/a	n/a	25.80	0.0
f MARC PAUL LUCHANSKY	FID	MDNLX	S	641	10.37	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	MOO	S	100	50.20	n/a	n/a	5.00	0.0
BESSEMER INVESTMENT	085	MRO	B	945	37.43	n/a	n/a	37.80	0.0
BESSEMER INVESTMENT	085	MSFT	B	1,875	31.79	n/a	n/a	75.00	0.0
PATTEN & PATTEN	025	MSFT	S	200	31.77	n/a	n/a	10.00	0.0
PROSPECTOR PARTNERS LLC	036	MUR	S	5,000	71.47	n/a	n/a	200.00	0.0
BESSEMER INVESTMENT	085	M	B	1,405	49.44	n/a	18-Jun	56.20	0.0
BESSEMER INVESTMENT	085	NLSN	B	1,495	33.74	n/a	n/a	59.80	0.0
f MARC PAUL LUCHANSKY	FID	NMBAX	B	2623	8.90	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	NYX	S	1,289	42.47	n/a	n/a	51.56	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	OIBYX	S	451	6.13	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	PCAR	S	100	56.93	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	PFE	B	2,645	29.30	n/a	n/a	105.80	0.0
FIFTH THIRD BANK/FREEMAN	047	PMF	S	15,000	12.38	n/a	n/a	600.00	0.0
BESSEMER INVESTMENT	085	PVR	S	400	25.86	n/a	n/a	16.00	0.0
BESSEMER INVESTMENT	085	QCOM	B	790	66.17	n/a	n/a	31.60	0.0
f MARC PAUL LUCHANSKY	FID	SCMBX	B	754	8.85	n/a	n/a	0.00	0.0
FRONTIER	029	SD	B	310,760	5.64	n/a	n/a	9,322.80	0.0
B BUCK CAPITAL MGMT		SFM	S	3,052	39.85	n/a	n/a	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	SGGH	B	2000	1.19	n/a	n/a	0.00	0.0
THOMPSON SIEGAL&WALMSLEY	029	SLXP	S	1,400	75.67	n/a	17-Jun	42.00	0.0
COLUMBUS CIRCLE	036	SREV	B	57,300	12.09	n/a	n/a	2,292.00	0.0
PATTEN & PATTEN	025	TBT	B	100	76.41	n/a	n/a	5.00	0.0
PROSPECTOR PARTNERS LLC	036	TE	S	10,000	17.60	n/a	n/a	400.00	0.0
BESSEMER INVESTMENT	085	TGT	B	645	71.44	n/a	23-May	25.80	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
GUGGENHEIM GLOBAL TRADING	(GGT)251	TIVO	S	70,000	11.02		n/a	n/a	2,100.00	0.0
BESSEMER INVESTMENT	085	TMO	B	740	91.83	n/a	n/a		29.60	0.0
f MARC PAUL LUCHANSKY	FID	TPINX	S	322	12.94	n/a	n/a		0.00	0.0
BESSEMER INVESTMENT	085	UAL	S	600	34.94	n/a	18-Jun		24.00	0.0
B BUCK CAPITAL MGMT		UCP	B	18,400	14.04	n/a	n/a		non-brg	1.0
B BUCK CAPITAL MGMT		UCP	B	5,000	14.05	n/a	n/a		non-brg	2.0
B BUCK CAPITAL MGMT		UCP	B	5,000	14.06	n/a	n/a		non-brg	2.0
BESSEMER INVESTMENT	085	VIAB	B	805	79.29	n/a	n/a		32.20	0.0
PATTEN & PATTEN	025	VNQ	B	150	69.21	n/a	n/a		7.50	0.0
BESSEMER INVESTMENT	085	WAG	B	1,005	50.94	n/a	n/a		40.20	0.0
HOLLIS CAPITAL	033	WFT	B	10,000	14.40	n/a	n/a		500.00	0.0
f DAVID GEORGE BUCK	FID	WMGI	S	2000	27.28	n/a	n/a		0.00	0.0
f DAVID GEORGE BUCK	FID	WMGI	S	500	27.30	n/a	n/a		0.00	0.0
f MATTHEW FRANCIS BUCK	FID	WMGI	S	300	27.30	n/a	n/a		0.00	0.0
SIGMA CAPITAL ASSOCIATES	041	WMT	B	25,000	78.61	n/a	16-May		750.00	0.0
f MARC PAUL LUCHANSKY	FID	XLFX	B	481	20.79	n/a	n/a		0.00	0.0

TOTAL (Trade Date):

36,812.78

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/31	MAGNETAR FINANCIAL	031	IWM	B	48,000	103.98	n/a	n/a	1440.00 0.0
AO,	07/31	MAGNETAR FINANCIAL	031	IWM	B	48,000	103.98	n/a	n/a	1440.00 0.0
AO,CRT	08/01	HARVEST MGMT (JMP)	029	JCP	SS	20,000	14.87	n/a	18-Jun	800.00 0.0
AO,CXL	08/01	HARVEST MGMT (JMP)	029	JCP	SS	20,000	14.87	n/a	18-Jun	1000.00 0.0
AO,CRT	08/01	MAPLE ROW	006	MAS	S	9,500	21.06	n/a	n/a	285.00 0.0
AO,CXL	08/01	MAPLE ROW	006	MAS	S	9,500	21.06	n/a	n/a	475.00 0.0
AO,CRT	08/01	ROARING BLUE LION MGMT	031	QCOM	B	51,000	64.97	n/a	n/a	2380.00 0.0
AO,CXL	08/01	ROARING BLUE LION MGMT	031	QCOM	B	51,000	64.97	n/a	n/a	2180.00 0.0
AO,CXL	08/01	ENTRUST CAPITAL-N/C TO MSF CAP	040	SNY	B	26,441	50.91	n/a	n/a	1322.05 0.0
AO,	08/01	ENTRUST CAPITAL-N/C TO MSF CAP	040	SNY	B	26,441	50.91	n/a	n/a	1322.05 0.0

TOTAL (AO, CXL, CRT) :**-190.00**

TOTAL (Trade Date):

36,812.78

TOTAL (AO, CXL, CRT):

-190.00

TOTAL (RUN DATE) / NFS:**36,622.78**

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	08/01	BUCK CAPITAL MGMT.	ZVZZT	S	1,000	21.00	n/a	n/a	3000.00
CRT	08/01	BUCK CAPITAL MGMT	ZVZZT	S	1,000	21.00	n/a	n/a	2000.00 200.0
CXL	08/01	BUCK CAPITAL MGMT.	ZXZZT	B	2,000	15.00	n/a	n/a	6000.00
CRT	08/01	BUCK CAPITAL MGMT	ZXZZT	B	2,200	15.00	n/a	n/a	6600.00 300.0

MRI Securities with Trade Activity on 2-Aug.
NONE

MRI Securities without Trade Activity on 2-Aug.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)