



Daily Compliance Activity Report

Trade Date: 12/17/2008

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAP+XE [AAP]	C	7	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AAPL	S	1,900	89.53	n/a	n/a	non-brg	2.5
PATTEN & PATTEN	067	AAPL	S	150	89.09	n/a	n/a	7.50	5.0
BAIRD INVESTMENT	031	AAP	S	1,470	33.05	n/a	n/a	73.50	5.0
BUCK CAPITAL MGMT		AAP	C	500	33.20	n/a	n/a	non-brg	2.5
FORWARD MGMT	033	ABCO	B	4,500	22.54	n/a	n/a	225.00	5.0
BUCK CAPITAL MGMT		ACC	C	160	22.44	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		ADS GR	C	1,274	37.94	n/a	n/a	non-brg	3.8
BAIRD INVESTMENT	031	ADS	S	215	45.23	n/a	n/a	10.75	5.0
BUCK CAPITAL MGMT		AEO+LV [AEO]	C	20	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AEO+MU [AEO]	C	30	0.20	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AEO	B	100,000	10.11	n/a	12-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	50,000	10.12	n/a	12-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	50,000	10.24	n/a	12-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	S	5,950	10.07	n/a	12-Dec	non-brg	2.5
SCOPUS FUND	041	AEO	B	125,000	9.96	n/a	12-Dec	6,250.00	5.0
BUCK CAPITAL MGMT		AG	B	5,000	23.39	15-Dec	15-Dec	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	AG	B	10,000	24.69	15-Dec	15-Dec	500.00	5.0
Õ Steven Maguire		AG	B	5000	24.65	15-Dec	15-Dec	0.00	0.0
COUGAR TRADING	044	ALV	B	5,000	21.74	26-Nov	16-Dec	250.00	5.0
ß BUCK CAPITAL MGMT		ANF	C	3,900	22.24	7-Aug	12-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		ANF	S	2,650	22.20	7-Aug	12-Dec	non-brg	2.5
SCOPUS FUND	041	ANF	B	10,000	22.73	7-Aug	12-Dec	500.00	5.0
CRAMER ROSENTHAL/SCOTT	012	AOC	B	5,200	42.34	n/a	n/a	208.00	4.0
PATTEN & PATTEN	067	APC	B	675	39.72	n/a	20-Nov	33.75	5.0
ß BUCK CAPITAL MGMT		APP	S	135,900	2.61	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		APP	S	150,000	2.45	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		APP	S	3,300	2.45	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	ARG	S	230	37.43	15-Dec	15-Dec	11.50	5.0
ß BUCK CAPITAL MGMT		ARO+LC[ARO]	C	7	2.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ARO+LE[ARO]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ARO+LW[ARO]	C	27	0.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ARO+XW[ARO]	C	14	0.95	n/a	n/a	non-brg	100.0
BAIRD INVESTMENT	031	ATR	S	290	34.03	n/a	n/a	14.50	5.0
ß BUCK CAPITAL MGMT		AVP+MC[AVP]	C	7	0.20	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		AVP+XC[AVP]	C	7	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		AVP+XD[AVP]	C	7	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		AVP+XW[AVP]	C	7	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		AVP	C	2,000	22.70	3-Nov	9-Dec	non-brg 2.5
ß BUCK CAPITAL MGMT		AXP+XD[AXP]	C	7	0.95	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		AXP+XR[AXP]	C	7	2.95	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		AXP	C	2,000	19.93	n/a	17-Dec	non-brg 2.5
ß BUCK CAPITAL MGMT		BAC	S	483,300	14.73	15-Oct	12-Dec	non-brg 4.0
ß BUCK CAPITAL MGMT		BBBY	C	12,500	25.58	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		BBBY	C	200,000	25.27	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		BBY+XC[BBY]	C	7	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BBY+XR[BBY]	C	7	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BBY+XS[BBY]	C	6	0.55	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BBY+XU[BBY]	C	1	9.70	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BBY	C	3,300	28.40	n/a	27-Jul	non-brg 2.5
ß BUCK CAPITAL MGMT		BDK+XH[BDK]	C	8	0.20	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		BDK	C	800	43.77	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		BHI	B	60,000	31.49	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		BHQ+MC [BBBY]	C	17	0.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+MD [BBBY]	C	18	0.60	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+MT [BBBY]	C	35	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+MX [BBBY]	C	18	1.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+NT [BBBY]	C	7	0.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+XC [BBBY]	C	28	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+XD [BBBY]	C	36	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+XX [BBBY]	C	7	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BIG	C	600	15.58	5-Dec	12-Dec	non-brg 2.5
ß BUCK CAPITAL MGMT		BJ+XF [BJ]	C	6	0.10	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BJ+XH [BJ]	C	12	5.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BJ	C	1,900	35.46	n/a	27-Jul	non-brg 2.5
BAIRD INVESTMENT	031	BKC	S	275	20.26	27-Oct	3-Nov	13.75 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BKE+LD [BKE]	C	7	2.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKE+LE [BKE]	C	7	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	BKE	S	125,000	20.78	n/a	n/a	5,000.00	4.0
ß BUCK CAPITAL MGMT		BKE	S	100,000	20.83	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		BKE	S	8,250	20.66	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BKS+PB [BKS]	C	10	0.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKS+XC [BKS]	C	17	0.35	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKS+XW [BKS]	C	7	2.30	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BKS	C	4,600	15.56	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BOBE	C	1,400	19.50	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BQU+XC [BWLD]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BRE	C	130	32.22	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	BUCY	S	205	21.83	n/a	27-Oct	10.25	5.0
COUGAR TRADING	044	BWA	B	5,000	21.64	16-Dec	16-Dec	250.00	5.0
PORTER-ORLIN-FELDMAN	044	BWA	SS	75,000	20.91	16-Dec	16-Dec	2,250.00	3.0
ß BUCK CAPITAL MGMT		BWLD	C	2,004	24.22	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BYI+LC [BYI]	C	35	7.00	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BYI+LF [BYI]	C	7	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		BYI	S	3,600	22.41	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	CAM	S	230	21.19	n/a	n/a	11.50	5.0
BUCK CAPITAL MGMT		CAT	C	500	44.60	19-Sep	22-Oct	non-brg	2.5
BUCK CAPITAL MGMT		CBL+XW [CBL]	C	5	11.60	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		CBRL	C	900	20.19	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		CCL	C	2,362	23.07	n/a	26-Jan	non-brg	2.5
BUCK CAPITAL MGMT		CEC	C	1,600	24.10	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	CEPH	S	175	77.37	4-Sep	16-Dec	8.75	5.0
STANDARD PACIFIC CAPITAL	040	CE	S	180,000	12.74	n/a	n/a	9,000.00	5.0
BUCK CAPITAL MGMT		CHRS	B	225,000	1.87	n/a	22-Mar	non-brg	2.0
BUCK CAPITAL MGMT		CHRS	S	5,100	1.84	n/a	22-Mar	non-brg	2.5
BUCK CAPITAL MGMT		CHS	S	2,000	3.94	5-Dec	12-Dec	non-brg	2.5
BUCK CAPITAL MGMT		CJY+MF [CMG]	C	7	0.15	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		CJY+MG [CMG]	C	7	0.30	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		CJY+XG [CMG]	C	9	0.05	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		CJY+XH[CMG]	C	2	0.10	n/a	n/a	non-brg	100.0
SCOPUS FUND	041	CLW	SS	20,000	15.51	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		CLX+MK[CLX]	C	9	3.30	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		CLX	C	900	53.30	3-Nov	3-Nov	non-brg	2.5
MERRILL ASSET	032	CLX	S	7,600	53.40	3-Nov	3-Nov	342.00	4.5
PNC/MERCANTILE	028	CL	S	8,459	62.32	14-Oct	13-Nov	422.95	5.0
PATTEN & PATTEN	067	CMCSK	S	750	15.72	n/a	n/a	37.50	5.0
B BUCK CAPITAL MGMT		CMG	C	3,000	64.09	23-Oct	23-Oct	non-brg	2.5
COUGAR TRADING	044	CMG	B	2,000	61.98	23-Oct	23-Oct	100.00	5.0
CARDINAL CAPITAL	044	CMP	S	33,500	60.01	n/a	n/a	1,620.00	4.9
B BUCK CAPITAL MGMT		COF	C	1,000	29.40	n/a	17-Dec	non-brg	2.5
BAIRD INVESTMENT	031	COGT	B	155	14.09	n/a	n/a	7.75	5.0
B BUCK CAPITAL MGMT		COH+LD[COH]	C	14	1.80	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		COH+XT[COH]	C	7	0.05	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		COLM	C	2,200	36.30	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		COST	C	3,300	53.92	n/a	27-Jul	non-brg	2.5

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PATTEN & PATTEN	067	COST	S	450	54.05	n/a	27-Jul	22.50	5.0
⌘ BUCK CAPITAL MGMT		CPKI	C	700	10.12	30-Oct	7-Nov	non-brg	2.5
⌘ BUCK CAPITAL MGMT		CPT	C	200	30.79	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		CRI+XC[CRI]	C	7	0.10	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		CRI+XV[CRI]	C	14	0.06	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		CRI+XW[CRI]	C	14	0.20	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		CRI	C	3,200	19.49	n/a	n/a	non-brg	2.5
ROBX	302	CRI	S	115,000	19.41	n/a	n/a	4,600.00	4.0
⌘ BUCK CAPITAL MGMT		CSTR	C	400	17.69	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	CTXS	S	345	24.65	n/a	n/a	17.25	5.0
⌘ BUCK CAPITAL MGMT		CUZ	C	200	14.42	n/a	n/a	non-brg	2.5
MERRILL ASSET	032	CVA	S	2,500	22.09	n/a	n/a	112.50	4.5
⌘ BUCK CAPITAL MGMT		CVS	S	2,500	26.89	n/a	n/a	non-brg	2.5
PATTEN & PATTEN	067	CVS	S	700	27.09	n/a	n/a	35.00	5.0
PATTEN & PATTEN	067	CVX	S	350	78.46	n/a	n/a	17.50	5.0
⌘ BUCK CAPITAL MGMT		DECK	S	3,600	69.73	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		DEQ+XG[MIDD]	C	7	5.30	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		DIN+XC [DIN]	C	2	2.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		DIN	C	2,100	13.00	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		DIS+MW [DIS]	C	10	0.25	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		DIS+MX [DIS]	C	14	1.40	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		DIS+XW [DIS]	C	14	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		DIS	C	2,600	23.57	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		DIS	C	50,000	23.28	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DKS+LE [DKS]	C	13	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		DKS+LT [DKS]	C	6	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		DKS+XB [DKS]	C	10	0.05	n/a	n/a	non-brg	100.0
BAIRD INVESTMENT	031	DKS	S	520	14.25	7-Oct	12-Dec	26.00	5.0
BUCK CAPITAL MGMT		DKS	C	1,000	14.35	7-Oct	12-Dec	non-brg	2.5
BAIRD INVESTMENT	031	DLB	S	280	32.11	n/a	n/a	14.00	5.0
BUCK CAPITAL MGMT		DLTR	S	1,700	43.54	n/a	12-Dec	non-brg	2.5
BUCK CAPITAL MGMT		DOU GR	C	600	45.16	n/a	n/a	non-brg	4.5
BUCK CAPITAL MGMT		DQO+LH [DLTR]	C	21	3.10	n/a	n/a	non-brg	100.0

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ß BUCK CAPITAL MGMT		DRE+XD [DRE]	C	10	9.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		DRE	C	1,000	11.37	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		DSW+AW [DSW]	C	13	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		DSW	C	1,000	10.70	14-Nov	12-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		DV+MJ [DV]	C	13	1.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		DV	C	1,300	55.85	n/a	n/a	non-brg	2.5
PATTEN & PATTEN	067	D	B	300	34.71	n/a	n/a	15.00	5.0
BAIRD INVESTMENT	031	ECL	S	235	36.09	10-Nov	13-Nov	11.75	5.0
ß BUCK CAPITAL MGMT		EDU+XH [EDU]	C	3	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		EDU	C	1,100	53.96	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		EL+XF [EL]	C	6	0.75	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ELS	C	200	39.97	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		EL	C	700	30.39	n/a	29-Oct	non-brg	2.5
HUNTER GLOBAL INVESTOR	067	EQIX	B	30,000	52.68	n/a	n/a	1,200.00	4.0
ß BUCK CAPITAL MGMT		ESS	C	200	81.95	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⌘ BUCK CAPITAL MGMT		ETH	C	1,700	14.95	n/a	n/a	non-brg 2.5
BAIRD INVESTMENT	031	EV	S	290	20.78	26-Nov	17-Dec	14.50 5.0
PATTEN & PATTEN	067	EWH	B	300	11.02	n/a	n/a	15.00 5.0
PATTEN & PATTEN	067	EWZ	S	325	37.41	n/a	n/a	16.25 5.0
⌘ BUCK CAPITAL MGMT		EXBD	C	200	21.24	n/a	n/a	non-brg 2.5
PIONEER MICHAEL NOLAN	067	EXH	B	30,000	21.57	28-Aug	16-Dec	900.00 3.0
BAIRD INVESTMENT	031	EXPD	S	190	33.37	n/a	n/a	9.50 5.0
⌘ BUCK CAPITAL MGMT		EXR	C	200	9.83	n/a	n/a	non-brg 2.5
BAIRD INVESTMENT	031	FAST	S	220	34.13	n/a	n/a	11.00 5.0
⌘ BUCK CAPITAL MGMT		FBN	C	1,600	2.46	n/a	n/a	non-brg 2.5
MARINER/TRICADIA	041	FDML	S	27,300	3.73	n/a	n/a	1,365.00 5.0
⌘ BUCK CAPITAL MGMT		FDO+MD[FDO]	C	10	0.45	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		FDO+MX[FDO]	C	20	0.95	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		FDO+MX[FDO]	SS	2,000	0.90	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		FDO+XD[FDO]	C	17	0.05	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		FDO+XE[FDO]	C	7	0.30	n/a	n/a	non-brg 100.0

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BUCK CAPITAL MGMT		FDO+XX[FDO]	C	7	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FDO	C	8,800	26.14	5-Dec	12-Dec	non-brg	2.5
WASHINGTON ASSET	048	FDX	S	10,000	64.46	n/a	n/a	500.00	5.0
BAIRD INVESTMENT	031	FFIV	S	280	22.53	n/a	n/a	14.00	5.0
BAIRD INVESTMENT	031	FISV	S	270	36.01	n/a	n/a	13.50	5.0
BUCK CAPITAL MGMT		FL+LU[FL]	C	49	0.40	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	FL	S	50,000	7.52	7-Oct	12-Dec	1,500.00	3.0
BUCK CAPITAL MGMT		FL	S	5,100	7.96	7-Oct	12-Dec	non-brg	2.5
BUCK CAPITAL MGMT		FMQ+MW[WFMI]	C	20	0.16	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FMQ+XS[WFMI]	C	7	0.07	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FMQ+XV[WFMI]	C	41	0.02	n/a	n/a	non-brg	100.0
TYNDALL	091	FOR	B	62,800	5.06	n/a	3-Oct	1,884.00	3.0
BUCK CAPITAL MGMT		FOSL	B	20,000	14.35	10-Nov	12-Dec	non-brg	4.0
BUCK CAPITAL MGMT		FRT	C	300	67.02	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		FUA+LF[FOSL]	C	7	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		FUA+LG[FOSL]	C	33	0.05	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FUA+LH[FOSL]	C	20	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FUA+LI[FOSL]	C	19	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FUA+LW[FOSL]	C	7	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FUA+LX[FOSL]	C	27	0.05	n/a	n/a	non-brg	100.0
BAIRD INVESTMENT	031	FWLT	S	175	27.68	n/a	n/a	8.75	5.0
ß BUCK CAPITAL MGMT		GCO+LC[GCO]	C	17	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GCO+LD[GCO]	C	21	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GCO+LE[GCO]	C	7	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GCO+LF[GCO]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GCO+LH[GCO]	C	20	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GCO+LI[GCO]	C	7	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GCO	S	134,200	14.43	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		GCO	S	2,902	14.65	n/a	10-Jan	non-brg	2.5
ß BUCK CAPITAL MGMT		GEO IM	C	900	5.95	n/a	n/a	non-brg	0.6
ß BUCK CAPITAL MGMT		GES+LD[GES]	C	48	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES+LI[GES]	C	6	0.05	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		GES+LJ[GES]	C	34	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES+LK[GES]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES+LW[GES]	C	28	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES+OU[GES]	C	14	0.50	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES+OW[GES]	C	7	4.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES+XB[GES]	C	20	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES+XW[GES]	C	27	2.60	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES	S	16,500	15.45	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GIII	S	19,126	5.46	n/a	n/a	non-brg	2.5
EGS ASSOCIATES LP	091	GIL	B	3,000	10.08	11-Dec	12-Dec	180.00	6.0
ß BUCK CAPITAL MGMT		GMCR	C	900	34.87	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	GME	S	245	25.88	n/a	n/a	12.25	5.0
ß BUCK CAPITAL MGMT		GM	C	1,000	4.33	10-Nov	16-Dec	non-brg	2.5
BAIRD INVESTMENT	031	GPN	S	180	32.85	n/a	n/a	9.00	5.0
ß BUCK CAPITAL MGMT		GPS+XB[GPS]	C	7	0.05	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		GPS+XW[GPS]	C	35	4.00	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GPS	C	3,500	14.03	n/a	12-Dec	non-brg	2.5
BUCK CAPITAL MGMT		GQR+MV[GRMN]	C	10	0.15	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GQR+XW[GRMN]	C	10	0.15	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GRMN	C	2,300	19.90	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		GRMN	SS	50,000	19.52	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GSIC	S	5,900	9.26	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		GVK+LH[DECK]	S	4	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GVK+LI[DECK]	C	8	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GVK+LJ[DECK]	C	1	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GVK+LT[DECK]	C	2	0.05	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		GYMB	S	5,500	23.85	7-Nov	16-Dec	non-brg	2.5
ROTHSCHILD ASSET	040	GYMB	S	10,930	23.55	7-Nov	16-Dec	491.85	4.5
BAIRD INVESTMENT	031	HAIN	S	640	17.72	n/a	n/a	32.00	5.0
BUCK CAPITAL MGMT		HBI	S	4,000	12.67	n/a	n/a	non-brg	2.5
PATTEN & PATTEN	067	HCF	S	1,000	5.35	n/a	n/a	50.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		HD+XD [HD]	C	12	0.03	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HD	C	79,200	24.44	n/a	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		HD	C	800	24.73	n/a	27-Jul	non-brg	2.5
ß BUCK CAPITAL MGMT		HELE	C	30,000	16.75	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HELE	C	500	16.78	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	HEW	S	180	27.25	n/a	n/a	9.00	5.0
ß BUCK CAPITAL MGMT		HEW	C	300	27.29	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		HIBB	C	500	17.85	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		HIW	C	200	26.24	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		HME	C	200	41.80	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		HOG	C	500	16.94	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	HOLX	S	635	13.56	n/a	n/a	31.75	5.0
ß BUCK CAPITAL MGMT		HOME LN	C	1,300	3.48	n/a	n/a	non-brg	0.3
ß BUCK CAPITAL MGMT		HOT+XB [HOT]	C	7	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HOT+XV [HOT]	C	34	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		HOTT	C	2,100	8.15	n/a	12-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		HOT	C	4,600	18.02	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		HOT	C	50,000	17.06	n/a	n/a	non-brg 4.0
BAIRD INVESTMENT	031	HRS	S	250	36.82	30-Oct	9-Dec	12.50 5.0
BAIRD INVESTMENT	031	HSC	S	185	25.50	31-Oct	15-Dec	9.25 5.0
BUCK CAPITAL MGMT		HSII	C	300	21.26	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		HUO+MV[PFCB]	C	14	0.15	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HUO+XC[PFCB]	C	7	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HUO+XW[PFCB]	C	7	0.10	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HVT	C	600	8.72	n/a	n/a	non-brg 2.5
PATTEN & PATTEN	067	HYG	B	150	66.79	n/a	n/a	7.50 5.0
PATTEN & PATTEN	067	IAI	B	350	19.91	n/a	n/a	17.50 5.0
BUCK CAPITAL MGMT		ICON	S	100,000	8.95	n/a	n/a	non-brg 3.0
BUCK CAPITAL MGMT		ICON	S	11,700	9.03	n/a	n/a	non-brg 2.5
MERRILL ASSET	032	ICO	B	167,500	2.79	n/a	n/a	7,537.50 4.5
BUCK CAPITAL MGMT		IFF+XF[IFF]	C	21	0.88	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		IFF	C	2,100	29.85	30-Oct	3-Dec	non-brg 2.5
PATTEN & PATTEN	067	IGM	S	325	34.39	n/a	n/a	16.25 5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	ILF	B	430	27.11	n/a	n/a	21.50	5.0
BAIRD INVESTMENT	031	ILMN	S	125	23.24	n/a	n/a	6.25	5.0
BAIRD INVESTMENT	031	IRM	S	480	19.97	n/a	n/a	24.00	5.0
MERRILL ASSET	032	ITG	B	32,600	20.70	n/a	n/a	1,467.00	4.5
ß BUCK CAPITAL MGMT		ITX SM	C	800	44.40	n/a	n/a	non-brg	4.4
BALYASNY ASSET MGMT	044	IVZ	B	25,000	13.39	13-Nov	17-Dec	1,250.00	5.0
ß BUCK CAPITAL MGMT		IYR	C	600	38.04	n/a	n/a	non-brg	2.5
PATTEN & PATTEN	067	IYW	B	325	36.26	n/a	n/a	16.25	5.0
BAIRD INVESTMENT	031	JBHT	S	155	24.94	n/a	n/a	7.75	5.0
ß BUCK CAPITAL MGMT		JCG+XB [JCG]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCG+XC [JCG]	C	10	2.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCG+XR [JCG]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCG+XS [JCG]	C	7	0.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCG	C	100,000	13.67	n/a	12-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		JCG	C	2,300	13.26	n/a	12-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		JCG	S	11,700	13.28	n/a	12-Dec	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		JCP+MU[JCP]	C	14	0.50	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+MV[JCP]	C	14	1.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+MX[JCP]	C	10	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+XU[JCP]	C	76	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+XV[JCP]	C	14	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP+XX[JCP]	C	21	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JCP	C	14,400	21.17	17-Nov	12-Dec	non-brg	2.5
UBS OCONNOR	031	JEF	SS	40,000	12.77	n/a	n/a	1,200.00	3.0
ß BUCK CAPITAL MGMT		JNY+MC[JNY]	C	5	9.90	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	JNY	S	80,000	5.46	n/a	30-Oct	2,400.00	3.0
ß BUCK CAPITAL MGMT		JNY	C	600	5.60	n/a	30-Oct	non-brg	2.5
ß BUCK CAPITAL MGMT		JNY	S	10,700	5.63	n/a	30-Oct	non-brg	2.5
ß BUCK CAPITAL MGMT		JOSB	C	26,618	27.04	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		JOSB	C	500	27.07	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		JOYG	SS	25,000	24.76	4-Sep	4-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		JOYG	SS	35,000	24.85	4-Sep	4-Dec	non-brg	4.0
ß BUCK CAPITAL MGMT		JOZ+XV[HOG]	C	5	0.10	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	JPM	S	750	31.89	26-Sep	20-Nov	37.50	5.0
ß BUCK CAPITAL MGMT		JWN+XV[JWN]	C	53	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		JWN	C	4,700	13.67	14-Nov	12-Dec	non-brg	2.5
PATTEN & PATTEN	067	KBE	B	1,000	22.54	n/a	n/a	50.00	5.0
PATTEN & PATTEN	067	KBE	S	300	22.53	n/a	n/a	15.00	5.0
BUCK CAPITAL MGMT	001	KCP	S	20,000	7.66	n/a	28-Sep	600.00	3.0
ß BUCK CAPITAL MGMT		KCP	S	1,400	7.69	n/a	28-Sep	non-brg	2.5
ß BUCK CAPITAL MGMT		KCP	S	100	7.29	n/a	28-Sep	non-brg	1.0
ß BUCK CAPITAL MGMT		KFY	C	400	11.47	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		KGF LN	C	2,401	2.13	n/a	n/a	non-brg	0.2
ß BUCK CAPITAL MGMT		KMT	C	200	20.49	18-Sep	27-Oct	non-brg	2.5
ß BUCK CAPITAL MGMT		KO+XV[KO]	C	14	0.15	n/a	n/a	non-brg	100.0
PATTEN & PATTEN	067	KO	S	500	45.72	n/a	n/a	25.00	5.0
ß BUCK CAPITAL MGMT		KRE	C	1,400	28.22	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		KSS+LF[KSS]	C	7	7.00	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		KSS+LH[KSS]	C	7	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		KSS	S	2,100	37.54	n/a	12-Dec	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		KTQ+LM[SHLD]	C	3	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		KTQ+XM[SHLD]	S	3	24.50	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		KTQ+XN[SHLD]	C	6	29.90	n/a	n/a	non-brg	100.0
BAIRD INVESTMENT	031	LAMR	S	305	14.17	n/a	n/a	15.25	5.0
UBS OCONNOR	031	LAZ	B	20,000	28.84	n/a	n/a	600.00	3.0
ß BUCK CAPITAL MGMT		LEG+XC[LEG]	C	26	1.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LEG+XD[LEG]	C	12	6.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LEG+XV[LEG]	C	6	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LEG+XW[LEG]	C	20	3.60	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LEG	C	6,200	14.32	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		LIZ+AD[LIZ]	C	7	0.05	n/a	n/a	non-brg	100.0
BAIRD INVESTMENT	031	LKQX	S	670	10.16	n/a	n/a	33.50	5.0
ß BUCK CAPITAL MGMT		LL	B	25,000	10.62	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		LL	B	73,114	10.66	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		LL	S	500	10.63	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		LNCE	C	600	21.93	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		LOW+XC [LOW]	C	17	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		LOW+XD [LOW]	C	14	0.10	n/a	n/a	non-brg	100.0
SOROS	036	LOW	B	50,233	22.46	n/a	27-Jul	2,009.32	4.0
PATTEN & PATTEN	067	LQD	B	125	97.94	n/a	n/a	6.25	5.0
ß BUCK CAPITAL MGMT		LRY	C	200	23.40	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		LTD	S	1,800	9.08	18-Nov	12-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		LZB	C	200	2.65	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		M+AB [M]	C	7	0.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		M+AU [M]	C	13	2.00	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MAN	C	300	34.63	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MAR+MD [MAR]	C	5	3.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MAR+XS [MAR]	C	7	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MAR	C	1,200	17.95	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MAR	C	50,000	17.63	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MAS+MB [MAS]	C	5	0.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MAS+MC [MAS]	C	13	4.90	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		MAS	C	1,900	10.87	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		MC FP	C	200	67.68	n/a	n/a	non-brg 6.8
PNC/MERCANTILE	028	MCD	S	5,533	63.04	13-Oct	8-Dec	276.65 5.0
BAIRD INVESTMENT	031	MCHP	S	360	20.68	n/a	n/a	18.00 5.0
ß BUCK CAPITAL MGMT		MDTL	C	300	0.36	n/a	n/a	non-brg 2.5
HOLLIS CAPITAL	033	MDVN	B	5,000	15.94	n/a	n/a	250.00 5.0
ß BUCK CAPITAL MGMT		MFB	S	700	10.19	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		MGM	B	5,000	13.80	n/a	30-Oct	non-brg 4.0
ß BUCK CAPITAL MGMT		MGM	C	45,500	13.34	n/a	30-Oct	non-brg 1.0
Õ Steven Maguire		MGM	B	5000	13.85	n/a	30-Oct	0.00 0.0
ß BUCK CAPITAL MGMT		MHK	C	1,300	38.59	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		MIDD	C	700	30.78	6-Nov	11-Nov	non-brg 2.5
BAIRD INVESTMENT	031	MKC	S	250	30.45	n/a	n/a	12.50 5.0
PATTEN & PATTEN	067	MSFT	B	200	19.94	n/a	3-Apr	10.00 5.0
ALGR	035	MSFT	B	145,888	19.73	n/a	3-Apr	5,835.52 4.0
ß BUCK CAPITAL MGMT		MSSR	C	200	4.51	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		MW+MB [MW]	C	7	0.50	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		MW+MU [MW]	C	7	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MW+NU [MW]	C	20	0.35	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MW+XB [MW]	C	7	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MW+XC [MW]	C	7	1.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MWW	C	300	11.28	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MW	C	4,800	13.97	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT	001	M	S	50,000	8.42	12-Aug	12-Dec	1,500.00	3.0
ß BUCK CAPITAL MGMT		M	S	18,800	9.44	12-Aug	12-Dec	non-brg	2.5
RBC CAPITAL MARKETS	034	M	B	50,000	9.92	12-Aug	12-Dec	2,000.00	4.0
ß BUCK CAPITAL MGMT		NDN+XB [NDN]	C	7	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		NDN	C	1,996	11.32	31-Oct	12-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		NKE+XH [NKE]	C	17	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		NKE+XI [NKE]	C	24	0.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		NKE+XJ [NKE]	C	7	1.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		NKE	C	100,000	50.26	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		NKE	C	3,600	51.18	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		NKE	C	50,000	50.05	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		NKE	C	50,000	50.29	n/a	n/a	non-brg 4.0
	ROTHSCHILD ASSET	040	NRG	B	17,045	22.19	n/a	n/a	767.04 4.5
	BAIRD INVESTMENT	031	NTAP	S	320	14.14	n/a	n/a	16.00 5.0
	BUCK CAPITAL MGMT	001	NWY	B	75,000	2.10	n/a	12-Dec	2,250.00 3.0
ß	BUCK CAPITAL MGMT		NWY	S	2,100	2.09	n/a	12-Dec	non-brg 2.5
ß	BUCK CAPITAL MGMT		OFC	C	200	30.22	n/a	n/a	non-brg 2.5
ß	BUCK CAPITAL MGMT		OIH	C	59,700	80.44	n/a	n/a	non-brg 1.0
	BAIRD INVESTMENT	031	OII	S	175	27.20	n/a	n/a	8.75 5.0
ß	BUCK CAPITAL MGMT		OSTK	C	200	12.42	n/a	n/a	non-brg 2.5
ß	BUCK CAPITAL MGMT		OXM+LV[OXM]	C	2	0.05	n/a	n/a	non-brg 100.0
	BAIRD INVESTMENT	031	PAYX	S	145	26.38	n/a	n/a	7.25 5.0
	PATTEN & PATTEN	067	PEP	B	450	54.58	n/a	n/a	22.50 5.0
ß	BUCK CAPITAL MGMT		PERY	S	14,706	5.61	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		PERY	S	2,652	6.00	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		PERY	S	7,400	5.88	n/a	n/a	non-brg 2.5
	BAIRD INVESTMENT	031	PETM	S	530	18.04	n/a	n/a	26.50 5.0
ß	BUCK CAPITAL MGMT		PETM	C	100,000	18.26	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		PETM	C	2,700	17.92	n/a	n/a	non-brg 2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		PETM	C	50,000	17.91	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		PFCB	C	2,400	22.59	n/a	14-Nov	non-brg 2.5
PNC/MERCANTILE	028	PG	S	8,994	60.78	10-Oct	12-Dec	449.70 5.0
BUCK CAPITAL MGMT		PII	C	500	27.55	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		PKY	C	200	17.19	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		PLCE	S	16,683	22.81	21-Nov	12-Dec	non-brg 2.5
BUCK CAPITAL MGMT		PLQ+XD[SPLS]	C	7	1.60	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PLQ+XW[SPLS]	C	7	0.10	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PLQ+XX[SPLS]	C	13	4.20	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		POOL	C	500	16.76	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		PRQ+MI[COST]	C	9	0.70	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PRQ+XV[COST]	C	7	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PSB	C	200	48.31	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		PSS+LE[PSS]	C	7	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PSS+LX[PSS]	C	13	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		PSS	S	5,100	11.32	n/a	n/a	non-brg 2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	PTY	B	1,000	8.93	n/a	n/a	50.00	5.0
⌘ BUCK CAPITAL MGMT		PVH+AD[PVH]	C	7	1.40	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		PVH+LD[PVH]	C	14	0.30	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		PVH+LE[PVH]	C	14	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		PVH+LK[PVH]	C	19	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		PVH+LL[PVH]	C	20	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		PVH+LW[PVH]	C	10	1.90	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		PVH+LX[PVH]	C	27	0.05	n/a	n/a	non-brg	100.0
BAIRD INVESTMENT	031	PVH	S	195	19.68	18-Dec	20-Nov	9.75	5.0
⌘ BUCK CAPITAL MGMT		PVH	S	11,300	19.75	18-Dec	20-Nov	non-brg	2.5
⌘ BUCK CAPITAL MGMT		PZZA	C	1,300	16.79	n/a	24-Apr	non-brg	2.5
PATTEN & PATTEN	067	QCOM	B	275	35.28	n/a	n/a	13.75	5.0
⌘ BUCK CAPITAL MGMT		QGH+XE[SPTN]	C	7	1.40	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		QIA+LU[ICON]	C	21	1.50	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		QIA+LV[ICON]	C	34	0.10	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		QLN+LC[LULU]	C	10	0.05	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		QPT+MC[PETM]	C	10	0.45	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QPT+MX[PETM]	C	10	4.80	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QPT+XC[PETM]	C	14	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QTF+MG[TSCO]	C	20	0.85	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QTF+XF[TSCO]	C	7	0.10	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QTF+XG[TSCO]	C	7	0.10	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+LC[DECK]	C	1	0.10	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+LL[DECK]	C	4	7.90	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+LM[DECK]	C	3	3.70	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+LN[DECK]	C	3	1.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+LO[DECK]	C	7	0.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+LQ[DECK]	C	3	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QUK+LR[DECK]	C	3	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QXF+LV[FUQI]	C	6	0.10	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+AH[JOSB]	C	40	0.15	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ß BUCK CAPITAL MGMT		QZS+AI [JOSB]	C	20	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+MC [JOSB]	C	10	0.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+MW [JOSB]	C	33	0.35	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		QZS+MX [JOSB]	C	21	1.45	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		RCL	C	400	11.01	29-Oct	29-Oct	non-brg 2.5
ß BUCK CAPITAL MGMT		RCL	C	54,100	11.35	29-Oct	29-Oct	non-brg 4.0
ß BUCK CAPITAL MGMT		REC�	C	500	16.33	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		REQ+XE [ROST]	C	14	0.10	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		RHI	C	300	19.42	n/a	n/a	non-brg 2.5
ß BUCK CAPITAL MGMT		RIG	B	20,000	56.84	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		RIG	B	25,000	57.26	n/a	n/a	non-brg 4.0
Õ Steven Maguire		RIG	B	5000	55.06	n/a	n/a	0.00 0.0
ß BUCK CAPITAL MGMT		RL+ME [RL]	C	14	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		RL+MF [RL]	C	24	0.25	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		RL+MH [RL]	C	3	1.60	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		RL+MX [RL]	C	28	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		RL+XG [RL]	C	28	0.05	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		RL+XH [RL]	C	14	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL+XJ [RL]	C	14	4.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RL	C	13,500	47.41	n/a	6-Nov	non-brg	2.5
ß BUCK CAPITAL MGMT		RMS FP	C	300	151.18	n/a	n/a	non-brg	15.1
ß BUCK CAPITAL MGMT		RNT	S	2,900	26.09	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	ROP	S	230	42.01	n/a	n/a	11.50	5.0
ß BUCK CAPITAL MGMT		ROST	C	600	29.94	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		RRGB	C	400	16.99	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		RSH+MC [RSH]	C	26	3.30	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RSH	C	2,600	12.14	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		RT	C	200	1.54	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		SAFM	C	100	33.23	n/a	n/a	non-brg	2.5
PATTEN & PATTEN	067	SE	S	600	15.72	n/a	n/a	30.00	5.0
MERRILL ASSET	032	SF	S	13,500	45.27	n/a	n/a	607.50	4.5
ß BUCK CAPITAL MGMT		SHLD	C	300	40.87	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SHOO	C	1,600	21.96	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		SIG LN	C	2,099	8.65	n/a	n/a	non-brg	0.9
BAIRD INVESTMENT	031	SII	S	120	25.17	n/a	n/a	6.00	5.0
FORWARD MGMT	033	SIVB	B	3,400	28.14	n/a	n/a	170.00	5.0
ß BUCK CAPITAL MGMT		SKX+AD[SKX]	C	6	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+AE[SKX]	C	20	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+LB[SKX]	C	35	1.60	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+LV[SKX]	C	55	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX+XB[SKX]	C	55	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		SKX	S	11,200	11.71	n/a	13-Mar	non-brg	2.5
ß BUCK CAPITAL MGMT		SKX	S	123,400	11.72	n/a	13-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		SKX	S	14,900	11.59	n/a	13-Mar	non-brg	2.5
CATTANI	041	SLV	B	300	11.34	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SONC	C	900	11.81	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		SPG	C	400	56.60	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		SPLS	C	2,300	18.67	n/a	27-Jul	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		SPTN	C	1,300	24.15	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		SPTN	C	30,000	24.06	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	031	SRCL	S	170	54.48	n/a	n/a	8.50	5.0
⌘ BUCK CAPITAL MGMT		SSI	S	3,300	7.01	n/a	5-Dec	non-brg	2.5
PATTEN & PATTEN	067	STI	S	450	29.92	n/a	n/a	22.50	5.0
⌘ BUCK CAPITAL MGMT		SUI	C	200	12.92	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		SUMR	S	9,100	2.21	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	SWN	B	3,850	28.55	n/a	20-Nov	192.50	5.0
BAIRD INVESTMENT	031	SWN	S	270	27.97	n/a	20-Nov	13.50	5.0
⌘ BUCK CAPITAL MGMT		SYX+XD[SYX]	C	7	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		SYX	C	600	23.78	n/a	24-Apr	non-brg	2.5
⌘ BUCK CAPITAL MGMT		TCO	C	400	30.78	n/a	n/a	non-brg	2.5
ROTHSCHILD ASSET	040	TDW	B	11,968	41.73	n/a	n/a	538.58	4.5
KINGDON ASSET MGMT	041	TER	S	150,000	4.27	n/a	n/a	6,000.00	4.0
⌘ BUCK CAPITAL MGMT		TGT	C	2,900	35.96	17-Nov	12-Dec	non-brg	2.5
HUNTER GLOBAL INVESTOR	067	TGT	B	50,000	36.00	17-Nov	12-Dec	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		THI	C	3,000	27.43	n/a	24-Apr	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TIF+XC[TIF]	C	7	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TIF+XW[TIF]	C	7	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TIF	C	2,500	25.24	n/a	12-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		TJX+AH[TJX]	C	13	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TJX+LE[TJX]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TJX+MW[TJX]	C	7	0.55	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TJX	C	1,300	20.97	11-Aug	5-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		TJX	C	80,000	20.79	11-Aug	5-Dec	non-brg	4.0
PATTEN & PATTEN	067	TJX	B	600	21.02	11-Aug	5-Dec	30.00	5.0
ß BUCK CAPITAL MGMT		TLB+LB[TLB]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TPX+AS[TPX]	C	14	0.60	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TPX+LC[TPX]	C	7	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TPX+LV[TPX]	C	35	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TPX	S	4,400	6.66	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		TRLG	S	1,400	11.74	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	TRMB	S	260	21.18	n/a	n/a	13.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BAIRD INVESTMENT	031	TROW	S	115	33.39	19-Sep	17-Dec	5.75	5.0
SYSTEMATIC FINANCIAL MGT	035	TRV	S	181,700	43.93	n/a	n/a	6,359.50	3.5
ß BUCK CAPITAL MGMT		TSCO LN	C	2,700	5.14	n/a	n/a	non-brg	0.5
ß BUCK CAPITAL MGMT		TSCO	C	2,400	42.38	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		TUY+LF [PLCE]	C	21	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TUY+LG [PLCE]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TUY+LI [PLCE]	C	20	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TUY+LJ [PLCE]	C	19	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		TWB	S	2,900	4.76	n/a	12-Dec	non-brg	2.5
ß BUCK CAPITAL MGMT		TWTRQ	C	300	0.00	n/a	n/a	non-brg	2.5
PATTEN & PATTEN	067	TWX	B	1,000	10.50	n/a	n/a	50.00	5.0
ß BUCK CAPITAL MGMT		TXRH	C	200	7.84	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		UA	C	200	24.24	n/a	29-Oct	non-brg	2.5
ß BUCK CAPITAL MGMT		UNFI	C	1,200	16.32	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		URBN	S	3,800	15.72	5-Nov	12-Dec	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THOMSON HORSTMAN BRYANT	040	URBN	B	10,000	15.47	5-Nov	12-Dec	500.00	5.0
⌘ BUCK CAPITAL MGMT		URI	C	1,200	7.71	29-Oct	29-Oct	non-brg	2.5
⌘ BUCK CAPITAL MGMT		URQ+LE [URBN]	C	14	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		URQ+LH [URBN]	C	46	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		URQ+LI [URBN]	C	86	0.02	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		URY+LC [BARE]	C	26	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		UWV+XX [WYNN]	C	3	0.05	n/a	n/a	non-brg	100.0
FORWARD MGMT	033	VAR	B	3,000	36.00	n/a	n/a	150.00	5.0
⌘ BUCK CAPITAL MGMT		VNO	C	700	63.49	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	VSEA	S	330	20.07	n/a	n/a	16.50	5.0
BAIRD INVESTMENT	031	WAB	S	155	43.41	n/a	n/a	7.75	5.0
MATRIX ASSET ADV.	036	WAG	B	4,000	25.80	n/a	n/a	160.00	4.0
PATTEN & PATTEN	067	WAG	B	800	26.14	n/a	n/a	40.00	5.0
PATTEN & PATTEN	067	WFC	B	275	30.02	n/a	n/a	13.75	5.0
⌘ BUCK CAPITAL MGMT		WFMI	C	9,000	11.01	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		WMAR	C	600	5.02	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		WMS	C	900	27.02	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		WMT+AK [WMT]	C	14	3.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WMT+LA [WMT]	C	13	0.01	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WMT+LK [WMT]	C	41	1.27	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WMT+LL [WMT]	S	6	0.03	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WMT+LM [WMT]	C	6	0.01	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WMT+LX [WMT]	C	21	3.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WMT+LY [WMT]	C	14	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WMT	S	10,700	55.43	10-Oct	12-Dec	non-brg	2.5
PATTEN & PATTEN	067	WMT	B	225	55.23	10-Oct	12-Dec	11.25	5.0
BAIRD INVESTMENT	031	WOOF	S	435	17.85	n/a	n/a	21.75	5.0
ß BUCK CAPITAL MGMT		WRC+AL [WRC]	C	6	0.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WRC+AM [WRC]	C	13	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WRC+LD [WRC]	C	21	0.25	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WRC+LF [WRC]	C	20	0.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WRC+LG [WRC]	C	21	0.10	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		WRC+LX [WRC]	C	14	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WRC+XX [WRC]	C	20	4.45	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WRC	S	26,400	19.29	7-Nov	7-Nov	non-brg 2.5
RBC CAPITAL MARKETS	034	WRC	B	25,000	18.79	7-Nov	7-Nov	1,000.00 4.0
BUCK CAPITAL MGMT		WRI	C	500	20.78	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		WSM+XS [WSM]	C	61	0.20	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WSM	C	9,000	8.15	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		WWW+XX [WWW]	C	13	2.50	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		WWW	C	1,200	20.71	n/a	13-Mar	non-brg 2.5
BUCK CAPITAL MGMT		WWW	C	7,112	20.46	n/a	13-Mar	non-brg 4.0
BUCK CAPITAL MGMT		WYNN	C	1,200	46.01	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		XRT	C	31,100	20.20	n/a	n/a	non-brg 2.5
BUCK CAPITAL MGMT		XRY+XP [XRT]	C	34	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		XRY+XQ [XRT]	C	41	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		YUM+MX [YUM]	C	10	0.25	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		YUM	C	3,800	30.35	14-Aug	10-Dec	non-brg 2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BAIRD INVESTMENT	031	ZION	S	225	26.31	n/a	n/a	11.25	5.0
ß BUCK CAPITAL MGMT		ZJQ+LC[TRLG]	C	7	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ZJQ+LV[TRLG]	C	24	0.15	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ZJQ+LW[TRLG]	C	14	0.05	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ZLC	C	4,100	3.20	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		ZQK	S	6,500	1.63	3-Nov	3-Nov	non-brg	2.5
ß BUCK CAPITAL MGMT		ZVZZT	S	30	0.25	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		ZZQ+MX[PZZA]	C	6	6.30	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		ZZQ+XW[PZZA]	C	7	1.25	n/a	n/a	non-brg	100.0
TOTAL (Trade Date):								90,697.86	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/12	MARINER/TRICADIA	041	FDML	S	38,200	4.11	n/a	n/a	764.00 2.0
AO,CXL	12/12	MARINER/TRICADIA	041	FDML	S	38,200	4.11	n/a	n/a	1910.00 5.0
AO,CXL	12/15	MARINER/TRICADIA	041	FDML	S	8,300	4.17	n/a	n/a	415.00 5.0
AO,CRT	12/15	MARINER/TRICADIA	041	FDML	S	8,300	4.17	n/a	n/a	166.00 2.0
AO,CRT	12/16	MARINER/TRICADIA	041	FDML	S	3,500	3.60	n/a	n/a	70.00 2.0
AO,CXL	12/16	MARINER/TRICADIA	041	FDML	S	3,500	3.60	n/a	n/a	175.00 5.0
AO,	12/16	AELTUS/ING INVESTMENT MGT	215	HON	S	420,360	31.57	3-Oct	18-Nov	14712.60 3.5
AO,CRT	12/11	AELTUS/ING INVESTMENT MGT	215	URBN	S	141	15.15	5-Nov	12-Dec	4.94 3.5
AO,CXL	12/11	AELTUS/ING INVESTMENT MGT	215	URBN	S	141	15.15	5-Nov	12-Dec	4.94 3.5

TOTAL (AO, CXL, CRT) :**13,212.60**

TOTAL (Trade Date) :

90,697.86

TOTAL (AO, CXL, CRT) :

13,212.60

TOTAL (RUN DATE) / NFS:**103,910.46****MRI Securities with Trade Activity on 17-Dec.**
NONE

MRI Securities without Trade Activity on 17-Dec.

CIT

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 9%

YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades