



Daily Compliance Activity Report

Trade Date: 02/18/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	AB	S	610	13.98	2-Feb	10-Feb	30.50	5.0
THE BOSTON COMPANY	401	ACV	S	69,780	22.40	n/a	n/a	2,791.20	4.0
BENNETT LAWRENCE	045	AIPC	B	8,700	31.39	n/a	n/a	391.50	4.5
JAT CAPITAL MANAGEMENT	041	AKAM	SS	25,000	16.86	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT	001	ALV	SS	30,000	17.69	30-Jan	4-Feb	1,200.00	4.0
COUGAR TRADING	044	ALV	SS	5,000	17.92	30-Jan	4-Feb	250.00	5.0
TRUSTCO/SUNTRUST	040	ALV	S	47,400	18.00	30-Jan	4-Feb	1,516.80	3.2
B BUCK CAPITAL MGMT		AMT	S	35,300	26.46	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	AXP	B	2500	13.80	n/a	18-Feb	0.00	0.0
SUFFOLK CAPITAL MGMT	040	BAC	S	269,300	4.53	16-Jan	17-Feb	10,772.00	4.0
WELLS/STRONG LEDDY	035	BEBE	S	2,100	5.54	n/a	n/a	63.00	3.0
PHILADELPHIA FINANCIAL	033	BK	S	15,000	24.02	21-Jan	17-Feb	600.00	4.0
SANDLER O'NEILL ASSET	031	BK	B	50,000	23.64	21-Jan	17-Feb	2,500.00	5.0
B BUCK CAPITAL MGMT		BWA+SR[???	SS	1,000	0.85	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	BWA	SS	30,000	19.75	27-Jan	13-Feb	1,200.00	4.0
COUGAR TRADING	044	BWA	SS	5,000	19.77	27-Jan	13-Feb	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MANNY I KORMAN	FID	BWA	S	3000	19.67	27-Jan	13-Feb	0.00	0.0
B BUCK CAPITAL MGMT		BWLD	C	45,692	29.83	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		CCI	S	32,000	16.32	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CCL+SR[???	SS	1,000	0.80	n/a	n/a	non-brg	100.0
HIGHBRIDGE CAPITAL	038	CFL	B	61,000	21.94	n/a	n/a	3,050.00	5.0
AMBER CAPITAL	046	CX	B	212,000	6.96	n/a	n/a	8,480.00	4.0
PATTEN & PATTEN	067	DD	B	500	21.19	n/a	27-Jan	25.00	5.0
B BUCK CAPITAL MGMT		DECK	B	15,000	53.56	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	DGP	B	500	22.48	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DIN	C	12,500	6.48	n/a	n/a	non-brg	2.5
CRAMER ROSENTHAL/SCOTT	012	DLTR	B	90,600	34.25	10-Feb	10-Feb	2,718.00	3.0
LISTED ERR	099	DNR	S	25,000	12.61	n/a	n/a	0.00	0.0
f ANN M GAULT	FID	DXO	B	3000	1.76	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	DXO	B	5000	1.76	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	DXO	B	5000	1.76	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	EMR	B	300	29.56	n/a	n/a	15.00	5.0
BELL	091	EV	SS	100,000	18.04	9-Jan	18-Feb	5,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MANNY I KORMAN	FID	FDO	S	3000	26.38	7-Jan	4-Feb	0.00	0.0
MANNING & NAPIER	034	FII	B	147,442	20.68	13-Nov	10-Feb	4,423.26	3.0
UBS OCONNOR	031	FII	S	100,000	20.81	13-Nov	10-Feb	3,000.00	3.0
B BUCK CAPITAL MGMT		FL	C	200,000	7.53	7-Oct	13-Feb	non-brg	3.0
COUGAR TRADING	044	FL	B	10,000	7.48	7-Oct	13-Feb	400.00	4.0
B BUCK CAPITAL MGMT		FOSL	B	200,000	13.32	23-Jan	30-Jan	non-brg	4.0
PATTEN & PATTEN	067	GE	S	1,945	10.86	n/a	n/a	97.25	5.0
B BUCK CAPITAL MGMT		GIII	B	50,873	3.71	n/a	n/a	non-brg	1.0
TRELLUS PARTNERS LP	028	GPI	B	50,000	8.24	n/a	18-Feb	2,500.00	5.0
TRUSTCO/SUNTRUST	040	GPI	S	34,500	8.48	n/a	18-Feb	1,104.00	3.2
f DAVID GEORGE BUCK	FID	GSDD	B	14	1.41	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	GS	S	600	83.60	15-Sep	17-Feb	0.00	0.0
JAT CAPITAL MANAGEMENT	041	HBI	SS	45,100	7.07	n/a	n/a	1,804.00	4.0
AVESTA CAP	229	IBM	B	25,000	91.51	n/a	n/a	1,250.00	5.0
BELL	091	IVZ	B	75,000	12.69	9-Jan	10-Feb	3,750.00	5.0
B BUCK CAPITAL MGMT		JACK	C	30,000	20.32	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		JCG	S	50,000	10.91	n/a	30-Jan	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JCP	B	50,000	15.40	6-Feb	6-Feb	2,000.00	4.0
COUGAR TRADING	044	JCP	SS	5,000	14.83	6-Feb	6-Feb	250.00	5.0
AVESTA CAP	229	KSS	S	25,000	35.84	n/a	6-Feb	1,250.00	5.0
WAGONHOUND/NIC	048	LAZ	S	7,262	28.48	n/a	n/a	290.48	4.0
f DAVID GEORGE BUCK	FID	MAC	B	1000	10.79	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MCD+OJ[???	SS	1,000	0.72	n/a	n/a	non-brg	100.0
BRENCOURT	028	MGA	SS	25,000	28.03	n/a	n/a	1,250.00	5.0
f DAVID GEORGE BUCK	FID	MHS	B	1200	45.99	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	MIL	B	74,300	56.99	n/a	n/a	3,343.50	4.5
PZENA INV ADV	044	MRK	S	96,950	28.70	n/a	n/a	3,393.30	3.5
CUMBERLAND	038	MS	SS	35,000	20.05	18-Dec	17-Feb	1,400.00	4.0
BUCK CAPITAL MGMT	001	MW	B	100,000	10.72	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	M	B	100,000	8.00	n/a	6-Feb	3,000.00	3.0
SANDLER O'NEILL ASSET	031	NTRS	SS	20,000	56.00	14-Jan	17-Feb	1,000.00	5.0
WELLS/STRONG LEDDY	035	NWY	S	7,400	2.15	n/a	30-Jan	148.00	2.0
TRELLUS PARTNERS LP	028	PAG	C	50,000	5.63	n/a	18-Feb	1,500.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		PERY	B	50,000	5.12	n/a	n/a	non-brg	2.5
MANNY I KORMAN	FID	PETM	S	3000	19.41	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		REQ+QX[???	SS	1,000	0.55	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	RL	SS	30,000	35.22	n/a	5-Feb	1,200.00	4.0
COUGAR TRADING	044	ROST	B	5,000	30.52	n/a	n/a	250.00	5.0
MANNY I KORMAN	FID	ROST	S	3000	30.29	n/a	n/a	0.00	0.0
AVESTA CAP	229	RYL	S	25,000	16.31	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT		SBAC	S	54,600	17.88	n/a	n/a	non-brg	4.0
NOMURA CAPITAL	045	SGLP	S	4,400	3.13	n/a	n/a	132.00	3.0
GRANITE CAPITAL	045	SHPGY	S	15,000	42.82	15-Oct	18-Feb	750.00	5.0
PATTEN & PATTEN	067	SHY	B	600	84.19	n/a	n/a	30.00	5.0
BUCK CAPITAL MGMT		SKX	B	100,000	6.99	n/a	13-Mar	non-brg	3.0
AVESTA CAP	229	SWY	B	25,000	20.02	n/a	n/a	1,250.00	5.0
ELM RIDGE ADVISORS	044	TIN	B	267,929	4.48	5-Dec	28-Jan	8,037.87	3.0
MARC PAUL LUCHANSKY	FID	TPGI	S	1000	1.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		TRLG	B	17,400	10.40	n/a	n/a	non-brg	1.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		TRLG	B	75,000	10.43	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	S	50,000	15.79	n/a	30-Jan	non-brg	4.0
f MANNY I KORMAN	FID	WAG	S	3000	25.45	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WMS+SV[???	SS	1,000	0.95	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	WRC	S	50,000	21.59	7-Nov	27-Jan	2,000.00	4.0
f MANNY I KORMAN	FID	WSM	S	3000	8.95	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							97,906.66		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/17	EQ15	034	FII	B	1,250	20.61	13-Nov	10-Feb	37.50 3.0
AO,	02/17	MANNING & NAPIER	034	FII	B	408,850	20.61	13-Nov	10-Feb	12265.50 3.0
AO,CRT	02/17	COOPER CREEK PART	028	GT	B	20,000	6.10	n/a	n/a	600.00 3.0
AO,CXL	02/17	COOPER CREEK PART	028	GT	B	20,000	6.10	n/a	n/a	1000.00 5.0
AO,CRT	02/17	HIGHBRIDGE/MS OLDS	041	HAS	B	30,000	23.50	n/a	n/a	1200.00 4.0
AO,CXL	02/17	HIGHBRIDGE/MS OLDS	041	HAS	B	30,000	23.50	n/a	n/a	1500.00 5.0
AO,CXL	02/13	BUCK CAPITAL MGMT	001	SKX	B	239,400	7.33	n/a	13-Mar	9576.00 4.0
AO,CRT	02/13	BUCK CAPITAL MGMT	001	SKX	B	239,400	7.33	n/a	13-Mar	7182.00 3.0

TOTAL (AO, CXL, CRT) :	9,209.00
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TOTAL (Trade Date) :	97,906.66
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TOTAL (AO, CXL, CRT) :	9,209.00
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TOTAL (RUN DATE) / NFS:	107,115.66
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MRI Securities with Trade Activity on 18-Feb. NONE

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MRI Securities without Trade Activity on 18-Feb.

TEVA

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 27%

YTD = 25%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)