



Daily Compliance Activity Report

Trade Date: 05/07/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	AMP	B	7,500	48.62	n/a	n/a	375.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	60,000	72.51	10-Apr	2-May	2,400.00	4.0
COUGAR TRADING	044	ANF	B	5,000	72.93	10-Apr	2-May	250.00	5.0
BUCK CAPITAL MGMT	001	ANN	SS	10,000	25.26	14-Mar	2-May	400.00	4.0
DSG CAPITAL	225	ARGN	B	10,000	12.10	n/a	1-May	500.00	5.0
FIRST NY SEC/CHRIS	041	ARGN	B	25,000	12.28	n/a	1-May	1,250.00	5.0
COUGAR TRADING	044	ARO	B	10,000	32.15	28-Apr	2-May	500.00	5.0
ZOLIDIS	011	ARO	S	750	32.24	28-Apr	2-May	0.00	0.0
EPOCH INV MGMT	030	BA	S	400	85.31	n/a	n/a	16.00	4.0
J L F ASSET MGMT	039	BJ	S	57,600	38.83	n/a	27-Jul	2,304.00	4.0
BALYASNY ASSET MGMT	044	BWA	SS	7,500	53.40	5-May	5-May	375.00	5.0
N STRATTON	014	CENTA	B	500	3.97	n/a	n/a	0.00	0.0
MORRISON&FRASIER/BUTLER	040	CENT	B	20,000	4.47	n/a	8-Feb	600.00	3.0
CUMBERLAND	038	CHRD	B	50,000	4.84	n/a	n/a	2,000.00	4.0
JP MORGAN VENTURES	046	COCO	SS	15,000	11.38	n/a	n/a	750.00	5.0
COUGAR TRADING	044	COH	SS	30,000	35.24	4-Apr	23-Apr	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	DD	S	10,000	49.58	n/a	22-Apr	400.00	4.0
BANK OF NEW YORK	046	DFT	B	5,900	18.50	n/a	n/a	236.00	4.0
LEVIN CAPITAL STRATEGIES	012	DOW	C	12,000	40.83	n/a	24-Apr	480.00	4.0
COUGAR TRADING	044	DSW	S	20,000	14.93	28-Mar	2-May	1,000.00	5.0
EPOCH INV MGMT	030	DVA	B	1,510	51.69	n/a	n/a	60.40	4.0
JP MORGAN VENTURES	046	ERIC	SS	10,000	24.73	n/a	n/a	500.00	5.0
PATTEN & PATTEN	067	EWG	S	1,350	33.02	n/a	n/a	67.50	5.0
MILLER	025	EWZ	B	50	93.27	n/a	n/a	0.00	0.0
DSG CAPITAL	225	FOR	B	3,000	25.05	n/a	n/a	150.00	5.0
COUGAR TRADING	044	FOSL	S	5,000	36.34	13-Nov	7-May	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	GES	S	10,000	41.04	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	GIL	B	50,000	28.33	30-Apr	30-Apr	2,000.00	4.0
EMP ERROR	099	GIL	S	300	28.02	30-Apr	30-Apr	0.00	0.0
MILLER	025	GLW	B	100	26.39	n/a	7-May	0.00	0.0
WEINTRAUB CAPITAL MGT.	040	GM	SS	50,000	22.02	29-Apr	2-May	2,000.00	4.0
BALYASNY ASSET MGMT	044	GNTX	SS	60,000	18.99	7-Apr	23-Apr	3,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	GPS	SS	10,000	18.58	n/a	2-May	500.00	5.0
BUCK	015	GPYFS	S	2	8.65	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GYMB	S	8,900	43.51	2-Apr	2-May	445.00	5.0
MCCARTAN	028	HAR	C	200	42.51	n/a	7-May	0.00	0.0
BAIRD INVESTMENT	031	HON	B	2,025	59.88	18-Apr	18-Apr	65.00	2.5
EPOCH INV MGMT	030	IFF	S	700	42.80	1-May	1-May	28.00	4.0
BUCK CAPITAL MGMT	001	JCG	S	10,000	48.26	n/a	12-Mar	400.00	4.0
COUGAR TRADING	044	JCG	S	5,000	48.85	n/a	12-Mar	250.00	5.0
SINCLAIR	031	JGQFA	S	100	0.30	n/a	n/a	0.00	0.0
PERRY PARTNERS	041	JNS	B	200,000	29.00	25-Apr	2-May	10,000.00	5.0
SLS CAPITAL LLC	224	JNS	B	121,500	29.29	25-Apr	2-May	4,860.00	4.0
BANK OF NEW YORK	046	JOSB	B	7,390	25.84	n/a	n/a	295.60	4.0
MILLENIUM PARTNERS	028	JWN	SS	5,000	36.04	31-Mar	2-May	250.00	5.0
DSG CAPITAL	225	KEG	B	15,000	14.51	14-Feb	6-May	750.00	5.0
NATIONAL BK OF CAN	031	LEH	B	30,000	46.16	1-Apr	14-Apr	1,200.00	4.0
NATIONAL BK OF CAN	031	LEH	S	30,000	45.96	1-Apr	14-Apr	1,200.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	18.92	n/a	7-May	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYSTEMATIC FINANCIAL MGT	035	LIZ	B	56,700	18.84	n/a	7-May	2,126.25	3.8
MCCARTAN	028	LMIA	S	300	22.00	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	LPX	B	31,000	11.40	7-Apr	7-May	1,550.00	5.0
BUCK CAPITAL MGMT	001	LTD	SS	10,000	18.04	n/a	2-May	400.00	4.0
COUGAR TRADING	044	LTD	B	10,000	17.65	n/a	2-May	500.00	5.0
BUCK	015	MER	C	1,000	48.66	18-Jan	17-Apr	0.00	0.0
PATTEN & PATTEN	067	MIC	S	3,870	26.99	n/a	n/a	193.50	5.0
COUGAR TRADING	044	M	SS	25,000	25.19	7-Feb	2-May	1,250.00	5.0
JP MORGAN VENTURES	046	M	C	25,000	24.78	7-Feb	2-May	1,250.00	5.0
CLOVIS CAPITAL	012	NYX	B	205,000	75.56	n/a	n/a	10,250.00	5.0
CAPITAL GROWTH MGMT.	241	OXY	S	78,000	87.64	n/a	n/a	3,900.00	5.0
ELM RIDGE ADVISORS	044	PKG	S	82,600	23.06	7-Apr	22-Apr	3,304.00	4.0
FIRST NY SEC/CHRIS	041	PLCE	B	10,000	27.52	2-May	2-May	600.00	6.0
PECONIC PARTNERS	036	PLCE	B	88,900	27.63	2-May	2-May	4,445.00	5.0
STRONG/MARK GRONET	030	PSS	B	80,820	10.37	n/a	n/a	3,232.80	4.0
COUGAR TRADING	044	PSUN	SS	10,000	12.68	n/a	2-May	500.00	5.0
LISTED ERR	099	PTV	B	109	24.14	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	PVH	S	11,700	43.84	5-May	5-May	526.50	4.5
KORMAN	003	QJPQX	S	50	1.50	n/a	n/a	0.00	0.0
FIRST NEW YORK NICK	029	Q	S	5,000	4.87	n/a	n/a	250.00	5.0
J L F ASSET MGMT	039	RL	SS	125,400	60.82	7-Feb	6-May	5,016.00	4.0
KACELA CAPITAL	034	SAP	S	25,000	49.38	n/a	n/a	1,000.00	4.0
BLUE HARBOR	036	SONC	B	46,000	22.07	n/a	n/a	2,300.00	5.0
RAINIER INV MGMT	035	SPN	B	19,800	50.57	n/a	n/a	990.00	5.0
CATAPULT	040	SSI	B	25,000	14.01	4-Jan	2-May	1,000.00	4.0
EFSTATHIOU	044	SWN	S	200	44.36	20-Mar	1-May	0.00	0.0
SOMERSET ADV	044	TCHC	B	10,127	11.95	n/a	n/a	405.08	4.0
LEHMAN PROPRIETARY TRAD	041	TEVA	S	15,000	45.74	10-Jan	6-May	600.00	4.0
SANDLER O'NEILL ASSET	031	TROW	B	10,000	60.57	9-Jan	2-May	500.00	5.0
EFSTATHIOU	044	TTWO	B	200	26.40	n/a	n/a	0.00	0.0
GAULT	063	UNT	C	2,000	69.27	20-Mar	1-May	0.00	0.0
GAULT	063	UNT	SS	1,000	71.55	20-Mar	1-May	0.00	0.0
NORTHPOINT	030	VAR	B	47,350	46.50	n/a	n/a	1,894.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SINCLAIR	031	VCFA	S	200	0.20	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	VCLK	B	21,600	18.99	n/a	n/a	648.00	3.0
MCCARTAN	028	WBC	S	366	48.32	30-Apr	30-Apr	0.00	0.0
COUGAR TRADING	044	WDR	S	15,000	34.37	22-Apr	2-May	750.00	5.0
MILLENIUM PARTNERS	028	WY	B	10,000	64.41	7-Apr	7-Apr	500.00	5.0
PRYLUCKI	092	XRT	B	125	32.95	n/a	n/a	0.00	0.0
ZOLIDIS	011	ZUMZ	SS	2,000	20.57	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :							94,288.63		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	05/06	LEDDY (LEDDY)	035	GIL	B	300	27.92	30-Apr	30-Apr	0.00	0.0
AO,CRT	05/06	EMP ERROR (EMP ERROR)	099	GIL	B	300	27.92	30-Apr	30-Apr	0.00	0.0
AO,CXL	05/06	WATER STREET CAPITAL (WATEMSPB)	038	GM	SS	90,400	22.07	29-Apr	2-May	4520.00	5.0
AO,	05/06	WATER STREET CAPITAL (WATEMSPB)	038	GM	SS	4,000	22.07	29-Apr	2-May	200.00	5.0
AO,CXL	05/06	WATER STREET CAPITAL (WATECITI)	038	GM	SS	9,600	22.07	29-Apr	2-May	480.00	5.0
AO,	05/06	WATER STREET CAPITAL (WATECITI)	038	GM	SS	96,000	22.07	29-Apr	2-May	4800.00	5.0
AO,	05/06	LISTED ERR (LISTED ERR)	099	PTV	S	109	23.51	n/a	n/a	0.00	0.0
AO,CXL	05/06	XYLEM GLOBAL (XYLMM S PB)	091	PTV	S	176,551	23.51	n/a	n/a	8827.55	5.0
AO,CRT	05/06	XYLEM GLOBAL (XYLMM S PB)	091	PTV	S	176,442	23.51	n/a	n/a	8822.10	5.0
AO,CXL	05/06	ROTHSCHILD ASSET (ROTH3842)	040	STT	B	600	74.48	n/a	n/a	27.00	4.5
AO,	05/06	ROTHSCHILD ASSET (ROTH384)	040	STT	B	600	74.48	n/a	n/a	27.00	4.5
AO,CXL	05/06	IRONBOUND CAPITAL (IROBGS)	012	TIN	B	9,800	11.65	29-Apr	29-Apr	490.00	5.0
AO,CRT	05/06	IRONBOUND CAPITAL (IROBGS)	012	TIN	B	9,800	11.65	29-Apr	29-Apr	294.00	3.0
TOTAL (AO, CXL, CRT) :										-201.45	
TOTAL (Trade Date):										94,288.63	
TOTAL (AO, CXL, CRT):										-201.45	
TOTAL (RUN DATE) / NFS:										94,087.18	

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION