

## **Daily Compliance Activity Report** Trade Date: 11/29/2010

## POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	S/Shr.
CASTLE ARK	031	ADI	S	317,890	35.53	n/a	n/a	15,894.50	5.0
BMO NESBITT BURNS	041	AMAG	S	4,000	16.06	n/a	n/a	280.00	7.0
BERMAN CAPITAL MGMT	046	AMR	S	20,000	8.45	n/a	n/a	600.00	3.0
BNP PARIBAS SEC	040	ASH	SS	10,000	50.52	n/a	8-Nov	500.00	5.0
ARMATURE CAPITAL	041	AXL	SS	45,000	10.76	n/a	1-Nov	1,800.00	4.0
CATAPULT	040	AXP	S	3,000	42.30	n/a	24-Nov	120.00	4.0
BUCK CAPITAL MGMT	001	BID	В	10,000	41.09	n/a	n/a	400.00	4.0
M&I FUNDS	047	BIG	В	44,061	30.57	n/a	23-Nov	1,762.44	4.0
NEUBERGER BERMAN	036	BRKL	В	16,324	9.98	n/a	n/a	652.96	4.0
NOMURA CAPITAL	029	CHMT	S	14,091	14.33	n/a	n/a	422.73	3.0
BERMAN CAPITAL MGMT	046	CHS	В	3,000	12.09	n/a	5-Feb	90.00	3.0
CATAPULT CAP/DEFRANCO	040	COT	В	49,500	8.20	n/a	n/a	1,980.00	4.0
ROSEDALE CAPITAL	046	COT	S	20,000	8.26	n/a	n/a	800.00	4.0
AVESTA CAP	229	CREE	S	50,000	64.90	n/a	n/a	1,500.00	3.0
MERRILL ASSET/BLACKROCK	266	CRR	S	2,900	96.01	n/a	16-Nov	116.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr
	242	54501	2,5	Silaros	11100		1105041011		, 0
BNP PARIBAS SEC	040	CYT	S	10,000	48.72	n/a	n/a	500.00	5.0
COLUMBIA PARTNERS	028	DCI	В	52,221	54.28	n/a	n/a	2,088.84	4.0
GREEN ARROW DOUG	040	DF	В	25,000	7.35	n/a	n/a	1,250.00	5.0
STRONG/MARK GRONET	030	DLM	S	28,800	18.84	n/a	n/a	1,152.00	4.0
ARMATURE CAPITAL	041	DUK	SS	70,000	17.50	n/a	n/a	2,800.00	4.0
LEVEL GLOBAL SHARED ACCT	257	EAT	С	200,000	20.10	n/a	n/a	6,000.00	3.0
IRIDIAN ASSET MGMT.	036	EXH	В	67,070	22.95	n/a	22-Oct	3,353.50	5.0
NEUBERGER BERMAN	036	FFIN	В	3,900	48.54	n/a	n/a	156.00	4.0
COOKBIELER	028	FLO	В	85,494	25.95	n/a	n/a	3,419.76	4.0
BUTTE CAPITAL MGMT LLC	084	FL	В	10,000	19.00	n/a	19-Nov	500.00	5.0
WEDBUSH/PACIFIC GROWTH EQ	TY LLC08	4 FL	В	12,	500 19.06		n/a 19-Nov	625	5.00 5.0
BERMAN CAPITAL MGMT	046	GES	В	8,000	48.51	n/a	24-Nov	240.00	3.0
LEVEL GLOBAL SHARED ACCT	257	GES	S	150,000	48.81	n/a	24-Nov	4,500.00	3.0
IRIDIAN ASSET MGMT.	036	INTC	С	100,390	21.29	n/a	n/a	3,011.70	3.0
BUCK CAPITAL MGMT	001	JNY	В	101,800	13.50	n/a	28-0ct	4,072.00	4.0
SURSUM CAPITAL	215	JNY	В	25,000	13.50	n/a	28-Oct	750.00	3.0
BERMAN CAPITAL MGMT	046	JPM	S	5,000	37.68	n/a	18-Nov	150.00	3.0

Account		RR #	Symbol	B/S	Shares	Price	MR	I Resea	rch Comm	.¢/Shr.
PLATTE RI	VER CAPITAL	240	KR	В	42,800	22.89	n/	a n	/a 1,712.00	4.0
SENVEST P	PART	085	LVS	S	10,000	50.31	n/	a 11-N	ov 500.00	5.0
BNP PARIE	BAS SEC	040	LYB	S	10,000	29.28	n/	a n	/a 500.00	5.0
MATRIX AS	SET ADV.	091	MET	В	3,500	37.56	n/	a n	/a 140.00	4.0
BUCK CAPI	TAL MGMT	001	MW	В	30,000	28.20	n/	a n	/a 1,200.00	4.0
AVESTA CA		229 <b>001</b>	М <b>м</b>	S <b>B</b>	100,000 <b>30,000</b>	25.42 <b>25.41</b>	n/ <b>n/</b>		•	
ARMATURE	CAPITAL	041	NU	В	30,000	31.15	n/	a n	/a 1,200.00	4.0
BARROW HA	NLEY MEWHINNEY	031	PFE	В	218,900	16.37	n/	a n	/a 7,661.50	3.5
LEVEL GLC	BAL SHARED ACCT	257	PNRA	S	20,000	98.77	n/	a 27-0	ct 600.00	3.0
FIRST NEW	YORK NICK	029	Q	S	20,000	6.97	n/	a n	/a 1,000.00	5.0
SURSUM CA	APITAL	215	RL	В	20,000	107.67	n/	a 11-N	ov 600.00	3.0
MERRILL A	ASSET/BLACKROCK	266	SKT	S	20,300	48.00	n/	a n	/a 812.00	4.0
ROTHSCHIL	D ASSET	040	SMG	В	11,150	50.31	n/	a 5-N	ov 446.00	4.0
SURSUM CA	APITAL	215	TLB	В	25,000	11.07	n/	a n	/a 750.00	3.0
STRONG/WE	LLS CAP ANN F	047	TRW	S	90,700	47.09	n/	a n	/a 3,628.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. (	:/Shr.
MERRILL ASSET/BLACKROCK	266	TTES	S	4,800	35.34	n/a	n/a	192.00	4.0
SURSUM CAPITAL	215	VFC	SS	10,000	82.04	n/a	22-Oct	300.00	3.0
TYEE/TYGH LISTED ERR	035 <b>099</b>	WRC	В <b>S</b>	47,971 <b>180</b>	52.61 <b>53.00</b>	n/a <b>n/a</b>	9-Nov <b>9-Nov</b>	1,918.84 0.00	4.0 <b>0.0</b>
SURSUM CAPITAL	215	WSM	В	20,000	33.52	n/a	n/a	600.00	3.0
MATRIX ASSET ADV.	091	WU	В	3,000	17.71	n/a	n/a	90.00	3.0

TOTAL (Trade Date): 89,537.77

## Cancel/Corrects and As-Of Trades

AO, CXL 11/24 CAVU CAP ADV (CAVUJPM) 028 PH S 5,000 82.01 n/a 20-0ct 250.00 AO, 11/24 CAVU CAP ADV (CAVUJPM) 028 PH S 962 82.01 n/a 20-0ct 48.10 AO, 11/24 CAVU CAP ADV (CAVUGREDIS) 028 PH S 962 82.01 n/a 20-0ct 48.10 AO, 11/26 JMP ASSET MGMT (JMPABOABA) 210 RDN B 10,000 7.16 n/a n/a 500.00 AO, CXI 11/26 JMP ASSET MGMT (JMPABOABA) 210 RDN B 10,000 7.16 n/a n/a 400.00 AO, CXI 11/26 JMP ASSET MGMT (JMPABOABA) 210 RDN B 10,000 7.16 n/a n/a 400.00 AO, CXI 11/26 JMP ASSET MGMT (JMPABOABA) 210 RDN B 10,000 7.16 n/a n/a 9-Nov 0.00 AO, 11/26 TYEE/TYGH (TYEE11) 035 WRC B 180 54.01 n/a 9-Nov 5.00 AO, 11/26 TYEE/TYGH (TYEE31) 035 WRC B 180 54.01 n/a 9-Nov 3.40 AO, 11/26 TYEE/TYGH (TYEE42) 035 WRC B 180 54.01 n/a 9-Nov 7.20 AO, 11/26 TYEE/TYGH (TYEE49) 035 WRC B 180 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 31 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 31 54.01 n/a 9-Nov 1.24 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 16 578 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 16 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 16 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE35) 035 WRC B 16 55 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE35) 035 WRC B 16 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE35) 035 WRC B 16 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE35) 035 WRC B 16 52 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 16 52 54.01 n/a 9-Nov 46.68 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 16 52 54.01 n/a 9-Nov 46.68 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.48 AO, 11/2	¢/Shr.	Comm.	Research	MRI	Price	Shares	B/S	Symbol	RR #	Account	Date	Type
AO, 11/24 CAVU CAP ADV (CAVUJPM) 028 PH S 962 82.01 n/a 20-Oct 48.10 AO, 11/24 CAVU CAP ADV (CAVUCREDIS) 028 PH S 4,038 82.01 n/a 20-Oct 201.90 AO, CXL 11/26 JMP ASSET MGMT (JMPABOABA) 210 RDN B 10,000 7.16 n/a n/a 500.00 AO, CRT 11/26 JMP ASSET MGMT (JMPABOABA) 210 RDN B 10,000 7.16 n/a n/a 400.00 AO, 11/26 LISTED ERR (LISTED ERR) 035 WRC B 180 54.01 n/a 9-Nov 5.00 AO, 11/26 TYEE/TYGH (TYEE11) 035 WRC B 125 54.01 n/a 9-Nov 5.00 AO, 11/26 TYEE/TYGH (TYEE12) 035 WRC B 85 54.01 n/a 9-Nov 3.40 AO, 11/26 TYEE/TYGH (TYEE12) 035 WRC B 180 54.01 n/a 9-Nov 7.20 AO, 11/26 TYEE/TYGH (TYEE49) 035 WRC B 270 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE10) 035 WRC B 31 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE10) 035 WRC B 31 54.01 n/a 9-Nov 1.22 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 116 54.01 n/a 9-Nov 1.22 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 16 54.01 n/a 9-Nov 2.3.12 AO, 11/26 TYEE/TYGH (TYEE9) 035 WRC B 16 54.01 n/a 9-Nov 2.3.12 AO, 11/26 TYEE/TYGH (TYEE3) 035 WRC B 578 54.01 n/a 9-Nov 2.3.12 AO, 11/26 TYEE/TYGH (TYEE3) 035 WRC B 58 54.01 n/a 9-Nov 2.3.12 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 58 54.01 n/a 9-Nov 3.5.2 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 88 54.01 n/a 9-Nov 3.5.2 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 88 54.01 n/a 9-Nov 4.66 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 88 54.01 n/a 9-Nov 3.5.2 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 9,394 54.01 n/a 9-Nov 3.5.2 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 3.5.2 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 3.5.2	3.0	240.00	24-Nov	n/a	48.51	8,000	В	GES	046	BERMAN CAPITAL MGMT (BERMMS)	11/29	CRT
AO, 11/24 CAVU CAP ADV (CAVUCREDIS)  028 PH S 4,038 82.01 n/a 20-Oct 201.90  AO,CXL 11/26 JMP ASSET MGMT (JMPABOABA)  AO, CRT 11/26 JMP ASSET MGMT (JMPABOABA)  210 RDN B 10,000 7.16 n/a n/a 500.00  AO,CRT 11/26 LISTED ERR (LISTED ERR)  AO, 11/26 TYEE/TYGH (TYEE11)  305 WRC B 180 54.01 n/a 9-Nov 5.00  AO, 11/26 TYEE/TYGH (TYEE31)  305 WRC B 85 54.01 n/a 9-Nov 3.40  AO, 11/26 TYEE/TYGH (TYEE12)  305 WRC B 180 54.01 n/a 9-Nov 7.20  AO, 11/26 TYEE/TYGH (TYEE12)  305 WRC B 180 54.01 n/a 9-Nov 10.80  AO, 11/26 TYEE/TYGH (TYEE49)  305 WRC B 270 54.01 n/a 9-Nov 10.80  AO, 11/26 TYEE/TYGH (TYEE49)  305 WRC B 31 54.01 n/a 9-Nov 10.80  AO, 11/26 TYEE/TYGH (TYEE39)  305 WRC B 31 54.01 n/a 9-Nov 1.24  AO, 11/26 TYEE/TYGH (TYEE39)  305 WRC B 31 54.01 n/a 9-Nov 1.24  AO, 11/26 TYEE/TYGH (TYEE39)  305 WRC B 31 54.01 n/a 9-Nov 23.12  AO, 11/26 TYEE/TYGH (TYEE39)  305 WRC B 578 54.01 n/a 9-Nov 4.64  AO, 11/26 TYEE/TYGH (TYEE3)  AO, 11/26 TYEE/TYGH (TYEE3)  305 WRC B 415 54.01 n/a 9-Nov 23.12  AO, 11/26 TYEE/TYGH (TYEE3)  AO, 11/26 TYEE/TYGH (TYEE3)  305 WRC B 1,622 54.01 n/a 9-Nov 64.86  AO, 11/26 TYEE/TYGH (TYEE43)  305 WRC B 88 54.01 n/a 9-Nov 375.76  AO, 11/26 TYEE/TYGH (TYEE43)  305 WRC B 9,394 54.01 n/a 9-Nov 375.76  AO, 11/26 TYEE/TYGH (TYEE44)  305 WRC B 9,394 54.01 n/a 9-Nov 375.76  AO, 11/26 TYEE/TYGH (TYEE44)  305 WRC B 9,394 54.01 n/a 9-Nov 375.76  AO, 11/26 TYEE/TYGH (TYEE44)  305 WRC B 9,394 54.01 n/a 9-Nov 375.76  AO, 11/26 TYEE/TYGH (TYEE44)  305 WRC B 9,394 54.01 n/a 9-Nov 375.76	5.0	250.00	20-Oct	n/a	82.01	5,000	S	РН	028	CAVU CAP ADV (CAVUJPM)	11/24	AO,CXL
AO, CXL 11/26 JMP ASSET MGMT (JMPABOABA) 210 RDN B 10,000 7.16 n/a n/a 500.00 AO, CRT 11/26 JMP ASSET MGMT (JMPABOABA) 210 RDN B 10,000 7.16 n/a n/a 400.00 AO, CRT 11/26 LISTED ERR (LISTED ERR) 035 WRC B 180 54.01 n/a 9-Nov 5.00 AO, 11/26 TYEE/TYGH (TYEE11) 035 WRC B 125 54.01 n/a 9-Nov 5.00 AO, 11/26 TYEE/TYGH (TYEE31) 035 WRC B 85 54.01 n/a 9-Nov 3.40 AO, 11/26 TYEE/TYGH (TYEE12) 035 WRC B 180 54.01 n/a 9-Nov 7.20 AO, 11/26 TYEE/TYGH (TYEE49) 035 WRC B 180 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE10) 035 WRC B 270 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 31 54.01 n/a 9-Nov 1.24 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE9) 035 WRC B 578 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 578 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE35) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 116 54.01 n/a 9-Nov 64.86 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 1,622 54.01 n/a 9-Nov 64.86 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 88 54.01 n/a 9-Nov 3.52 AO, 11/26 TYEE/TYGH (TYEE41) 035 WRC B 9,394 54.01 n/a 9-Nov 3.75.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 3.75.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 3.75.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 3.75.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 3.75.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394	5.0	48.10	20-Oct	n/a	82.01	962	S	PH	028	CAVU CAP ADV (CAVUJPM)	11/24	AO,
AO, CRT 11/26 JMP ASSET MGMT (JMPABOABA) 210 RDN B 10,000 7.16 n/a n/a 400.00 AO, 11/26 LISTED ERR (LISTED ERR) 035 WRC B 180 54.01 n/a 9-Nov 5.00 AO, 11/26 TYEE/TYGH (TYEE11) 035 WRC B 125 54.01 n/a 9-Nov 5.00 AO, 11/26 TYEE/TYGH (TYEE12) 035 WRC B 180 54.01 n/a 9-Nov 7.20 AO, 11/26 TYEE/TYGH (TYEE49) 035 WRC B 180 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE10) 035 WRC B 270 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 31 54.01 n/a 9-Nov 1.24 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE9) 035 WRC B 116 54.01 n/a 9-Nov 23.12 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 116 54.01 n/a 9-Nov 23.12 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 16.60 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 1,622 54.01 n/a 9-Nov 64.86 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 1,622 54.01 n/a 9-Nov 64.86 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 1,622 54.01 n/a 9-Nov 64.86 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 112 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 112 54.01 n/a 9-Nov 44.46 AO, 11/26 TYEE/TYGH (TYEE44)	5.0	201.90	20-0ct	n/a	82.01	4,038	S	PH	028	CAVU CAP ADV (CAVUCREDIS)	11/24	AO,
AO, 11/26 LISTED ERR (LISTED ERR) 035 WRC B 180 54.01 n/a 9-Nov 0.00 AO, 11/26 TYEE/TYGH (TYEE11) 035 WRC B 125 54.01 n/a 9-Nov 3.40 AO, 11/26 TYEE/TYGH (TYEE12) 035 WRC B 180 54.01 n/a 9-Nov 7.20 AO, 11/26 TYEE/TYGH (TYEE49) 035 WRC B 270 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE10) 035 WRC B 31 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 31 54.01 n/a 9-Nov 1.24 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE9) 035 WRC B 578 54.01 n/a 9-Nov 23.12 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 116 54.01 n/a 9-Nov 16.60 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 1,622 54.01 n/a 9-Nov 16.60 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 8 58 54.01 n/a 9-Nov 3.52 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44)	5.0	500.00	n/a	n/a	7.16	10,000	В	RDN	210	JMP ASSET MGMT (JMPABOABA)	11/26	AO,CXL
AO, 11/26 TYEE/TYGH (TYEE11) 035 WRC B 125 54.01 n/a 9-Nov 5.00 AO, 11/26 TYEE/TYGH (TYEE31) 035 WRC B 85 54.01 n/a 9-Nov 3.40 AO, 11/26 TYEE/TYGH (TYEE12) 035 WRC B 180 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE49) 035 WRC B 270 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 31 54.01 n/a 9-Nov 1.24 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE9) 035 WRC B 578 54.01 n/a 9-Nov 23.12 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 415 54.01 n/a 9-Nov 16.60 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44)	4.0	400.00	n/a	n/a	7.16	10,000	В	RDN	210	JMP ASSET MGMT (JMPABOABA)	11/26	AO,CRT
AO, 11/26 TYEE/TYGH (TYEE31) 035 WRC B 85 54.01 n/a 9-Nov 3.40 AO, 11/26 TYEE/TYGH (TYEE12) 035 WRC B 180 54.01 n/a 9-Nov 7.20 AO, 11/26 TYEE/TYGH (TYEE49) 035 WRC B 270 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE10) 035 WRC B 31 54.01 n/a 9-Nov 1.24 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE9) 035 WRC B 578 54.01 n/a 9-Nov 23.12 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 415 54.01 n/a 9-Nov 16.60 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 8 578 54.01 n/a 9-Nov 3.52 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE141)	0.0	0.00	9-Nov	n/a	54.01	180	В	WRC	035	LISTED ERR (LISTED ERR)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE12) 035 WRC B 180 54.01 n/a 9-Nov 7.20 AO, 11/26 TYEE/TYGH (TYEE49) 035 WRC B 270 54.01 n/a 9-Nov 10.80 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 31 54.01 n/a 9-Nov 1.24 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE9) 035 WRC B 578 54.01 n/a 9-Nov 23.12 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 415 54.01 n/a 9-Nov 16.60 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 8 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 8 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 9,394 54.01 n/a 9-Nov 4.48 AO, 11/26 TYEE/TYGH (TYEE44)	4.0	5.00	9-Nov	n/a	54.01	125	В	WRC	035	TYEE/TYGH (TYEE11)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE49)  AO, 11/26 TYEE/TYGH (TYEE10)  AO, 11/26 TYEE/TYGH (TYEE39)  AO, 11/26 TYEE/TYGH (TYEE39)  AO, 11/26 TYEE/TYGH (TYEE39)  AO, 11/26 TYEE/TYGH (TYEE9)  AO, 11/26 TYEE/TYGH (TYEE40)  AO, 11/26 TYEE/TYGH (TYEE40)  AO, 11/26 TYEE/TYGH (TYEE40)  AO, 11/26 TYEE/TYGH (TYEE135)  AO, 11/26 TYEE/TYGH (TYEE135)  AO, 11/26 TYEE/TYGH (TYEE43)  AO, 11/26 TYEE/TYGH (TYEE43)  AO, 11/26 TYEE/TYGH (TYEE44)  AO, 11/26 TYEE/TYGH (TYEE141)  AO, 11/26 TYEE/TYGH (TYEE44)  AO, 11/26 TYEE/TYGH (TYEE44)  B 112 54.01 n/a 9-Nov 3.75.76  AO, 11/26 TYEE/TYGH (TYEE44)  B 112 54.01 n/a 9-Nov 4.48	4.0	3.40	9-Nov	n/a	54.01	85	В	WRC	035	TYEE/TYGH (TYEE31)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE10) 035 WRC B 31 54.01 n/a 9-Nov 1.24 AO, 11/26 TYEE/TYGH (TYEE39) 035 WRC B 116 54.01 n/a 9-Nov 4.64 AO, 11/26 TYEE/TYGH (TYEE9) 035 WRC B 578 54.01 n/a 9-Nov 23.12 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 415 54.01 n/a 9-Nov 16.60 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 88 54.01 n/a 9-Nov 3.52 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 112 54.01 n/a 9-Nov 4.48	4.0	7.20	9-Nov	n/a	54.01	180	В	WRC	035	TYEE/TYGH (TYEE12)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE39)  O35 WRC B  116 54.01 n/a 9-Nov 4.64  AO, 11/26 TYEE/TYGH (TYEE9)  O35 WRC B  578 54.01 n/a 9-Nov 23.12  AO, 11/26 TYEE/TYGH (TYEE40)  O35 WRC B  415 54.01 n/a 9-Nov 16.60  AO, 11/26 TYEE/TYGH (TYEE135)  O35 WRC B  1,622 54.01 n/a 9-Nov 64.88  AO, 11/26 TYEE/TYGH (TYEE43)  O35 WRC B  88 54.01 n/a 9-Nov 3.52  AO, 11/26 TYEE/TYGH (TYEE141)  O35 WRC B  9,394 54.01 n/a 9-Nov 375.76  AO, 11/26 TYEE/TYGH (TYEE44)  O35 WRC B  112 54.01 n/a 9-Nov 4.48	4.0	10.80	9-Nov	n/a	54.01	270	В	WRC	035	TYEE/TYGH (TYEE49)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE9) 035 WRC B 578 54.01 n/a 9-Nov 23.12 AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 415 54.01 n/a 9-Nov 16.60 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 88 54.01 n/a 9-Nov 3.52 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 112 54.01 n/a 9-Nov 4.48	4.0	1.24	9-Nov	n/a	54.01	31	В	WRC	035	TYEE/TYGH (TYEE10)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE40) 035 WRC B 415 54.01 n/a 9-Nov 16.60 AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 88 54.01 n/a 9-Nov 3.52 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 112 54.01 n/a 9-Nov 4.48	4.0	4.64	9-Nov	n/a	54.01	116	В	WRC	035	TYEE/TYGH (TYEE39)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE135) 035 WRC B 1,622 54.01 n/a 9-Nov 64.88 AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 88 54.01 n/a 9-Nov 3.52 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 112 54.01 n/a 9-Nov 4.48	4.0	23.12	9-Nov	n/a	54.01	578	В	WRC	035	TYEE/TYGH (TYEE9)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE43) 035 WRC B 88 54.01 n/a 9-Nov 3.52 AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 112 54.01 n/a 9-Nov 4.48	4.0	16.60	9-Nov	n/a	54.01	415	В	WRC	035	TYEE/TYGH (TYEE40)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE141) 035 WRC B 9,394 54.01 n/a 9-Nov 375.76 AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 112 54.01 n/a 9-Nov 4.48	4.0	64.88	9-Nov	n/a	54.01	1,622	В	WRC	035	TYEE/TYGH (TYEE135)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE44) 035 WRC B 112 54.01 n/a 9-Nov 4.48	4.0	3.52	9-Nov	n/a	54.01	88	В	WRC	035	TYEE/TYGH (TYEE43)	11/26	AO,
	4.0	375.76	9-Nov	n/a	54.01	9,394	В	WRC	035	TYEE/TYGH (TYEE141)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE1) 035 WRC B 1,319 54.01 n/a 9-Nov 52.76	4.0	4.48	9-Nov	n/a	54.01	112	В	WRC	035	TYEE/TYGH (TYEE44)	11/26	AO,
	4.0	52.76	9-Nov	n/a	54.01	1,319	В	WRC	035	TYEE/TYGH (TYEE1)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE45) 035 WRC B 57 54.01 n/a 9-Nov 2.28	4.0	2.28	9-Nov	n/a	54.01	57	В	WRC	035	TYEE/TYGH (TYEE45)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE137) 035 WRC B 788 54.01 n/a 9-Nov 31.52	4.0	31.52	9-Nov	n/a	54.01	788	В	WRC	035	TYEE/TYGH (TYEE137)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE142) 035 WRC B 4,496 54.01 n/a 9-Nov 179.84	4.0	179.84	9-Nov	n/a	54.01	4,496	В	WRC	035	TYEE/TYGH (TYEE142)	11/26	AO,
AO, 11/26 TYEE/TYGH (TYEE36) 035 WRC B 70 54.01 n/a 9-Nov 2.80	4.0	2.80	9-Nov	n/a	54.01	70	В	WRC	035	TYEE/TYGH (TYEE36)	11/26	AO,

Туре	Date	Account		RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	11/26	TYEE/TYGH	(TYEE38)	035	WRC	В	92	54.01	n/a	9-Nov	3.68	4.0
AO,	11/26	TYEE/TYGH	(TYEE)	035	WRC	В	276	54.01	n/a	9-Nov	11.04	4.0
AO,	11/26	TYEE/TYGH	(TYEE35)	035	WRC	В	253	54.01	n/a	9-Nov	10.12	4.0
AO,	11/26	TYEE/TYGH	(TYEE34)	035	WRC	В	515	54.01	n/a	9-Nov	20.60	4.0
AO,	11/26	TYEE/TYGH	(TYEE32)	035	WRC	В	136	54.01	n/a	9-Nov	5.44	4.0
AO,	11/26	TYEE/TYGH	(TYEE33)	035	WRC	В	335	54.01	n/a	9-Nov	13.40	4.0
AO,	11/26	TYEE/TYGH	(TYEE42)	035	WRC	В	111	54.01	n/a	9-Nov	4.44	4.0
AO,	11/26	TYEE/TYGH	(TYEE2)	035	WRC	В	89	54.01	n/a	9-Nov	3.56	4.0
AO,	11/26	TYEE/TYGH	(TYEE13)	035	WRC	В	168	54.01	n/a	9-Nov	6.72	4.0
AO,	11/26	TYEE/TYGH	(TYEE50)	035	WRC	В	185	54.01	n/a	9-Nov	7.40	4.0
AO,	11/26	TYEE/TYGH	(TYEE14)	035	WRC	В	274	54.01	n/a	9-Nov	10.96	4.0
AO,	11/26	TYEE/TYGH	(TYEE62)	035	WRC	В	288	54.01	n/a	9-Nov	11.52	4.0
AO,	11/26	TYEE/TYGH	(TYEE15)	035	WRC	В	1,541	54.01	n/a	9-Nov	61.64	4.0
AO,	11/26	TYEE/TYGH	(TYEE63)	035	WRC	В	221	54.01	n/a	9-Nov	8.84	4.0
AO,	11/26	TYEE/TYGH	(TYEE16)	035	WRC	В	4,017	54.01	n/a	9-Nov	160.68	4.0
AO,	11/26	TYEE/TYGH	(TYEE17)	035	WRC	В	1,807	54.01	n/a	9-Nov	72.28	4.0
AO,	11/26	TYEE/TYGH	(TYEE61)	035	WRC	В	349	54.01	n/a	9-Nov	13.96	4.0
AO,	11/26	TYEE/TYGH	(TYEE19)	035	WRC	В	346	54.01	n/a	9-Nov	13.84	4.0
AO,	11/26	TYEE/TYGH	(TYEE60)	035	WRC	В	144	54.01	n/a	9-Nov	5.76	4.0
AO,	11/26	TYEE/TYGH	(TYEE20)	035	WRC	В	626	54.01	n/a	9-Nov	25.04	4.0
AO,	11/26	TYEE/TYGH	(TYEE58)	035	WRC	В	599	54.01	n/a	9-Nov	23.96	4.0
AO,	11/26	TYEE/TYGH	(TYEE22)	035	WRC	В	634	54.01	n/a	9-Nov	25.36	4.0
AO,	11/26	TYEE/TYGH	(TYEE59)	035	WRC	В	1,764	54.01	n/a	9-Nov	70.56	4.0
AO,	11/26	TYEE/TYGH	(TYEE25)	035	WRC	В	297	54.01	n/a	9-Nov	11.88	4.0
AO,	11/26	TYEE/TYGH	(TYEE53)	035	WRC	В	610	54.01	n/a	9-Nov	24.40	4.0
AO,	11/26	TYEE/TYGH	(TYEE26)	035	WRC	В	87	54.01	n/a	9-Nov	3.48	4.0
AO,	11/26	TYEE/TYGH	(TYEE54)	035	WRC	В	232	54.01	n/a	9-Nov	9.28	4.0
AO,	11/26	TYEE/TYGH	(TYEE27)	035	WRC	В	1,161	54.01	n/a	9-Nov	46.44	4.0
AO,	11/26	TYEE/TYGH	(TYEE55)	035	WRC	В	1,658	54.01	n/a	9-Nov	66.32	4.0
AO,	11/26	TYEE/TYGH	(TYEE3)	035	WRC	В	347	54.01	n/a	9-Nov	13.88	4.0
- ,												

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI :	Research	Comm.	\$/Shr.
AO,	11/26	TYEE/TYGH (TYEE56)	035	WRC	В	1,699	54.01	n/a	9-Nov	67.96	4.0
AO,	11/26	TYEE/TYGH (TYEE4)	035	WRC	В	5,520	54.01	n/a	9-Nov	220.80	4.0
AO,	11/26	TYEE/TYGH (TYEE57)	035	WRC	В	306	54.01	n/a	9-Nov	12.24	4.0
AO,	11/26	TYEE/TYGH (TYEE6)	035	WRC	В	139	54.01	n/a	9-Nov	5.56	4.0
AO,	11/26	TYEE/TYGH (TYEE51)	035	WRC	В	68	54.01	n/a	9-Nov	2.72	4.0
AO,	11/26	TYEE/TYGH (TYEE7)	035	WRC	В	286	54.01	n/a	9-Nov	11.44	4.0
AO,	11/26	TYEE/TYGH (TYEE52)	035	WRC	В	102	54.01	n/a	9-Nov	4.08	4.0

TOTAL (AO, CXL, CRT): 2,021.12

TOTAL (Trade Date): 89,537.77
TOTAL (AO, CXL, CRT): 2,021.12

TOTAL (RUN DATE) / NFS: 91,558.89

## Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION