



Daily Compliance Activity Report

Trade Date: 03/16/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROBX	033	AAP	B	54,900	37.95	n/a	n/a	2,196.00	4.0
BACKUS	005	ABG	S	1,000	27.90	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	AB	S	10,000	82.03	5-Mar	9-Mar	500.00	5.0
LEDDY	035	AB	B	200	82.47	5-Mar	9-Mar	0.00	0.0
JP MORGAN VENTURES	046	AEO	SS	10,000	29.95	4-Jan	9-Mar	500.00	5.0
BALYASNY ASSET MGMT	044	AMG	SS	10,000	104.10	5-Mar	5-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	ANN	B	320,000	37.95	5-Jan	13-Mar	12,800.00	4.0
BALYASNY ASSET MGMT	044	AVZ	S	20,000	22.97	5-Mar	15-Mar	1,000.00	5.0
LEDDY	035	AVZ	S	1,200	23.05	5-Mar	15-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	BBW	S	5,000	27.14	8-Jan	21-Feb	200.00	4.0
S.A.C. CAPITAL MGMT.	044	BC	S	16,200	31.51	n/a	n/a	810.00	5.0
SUNOVA CAPITAL	031	BEN	SS	50,000	114.67	9-Nov	15-Mar	2,500.00	5.0
THE JAY GOLDMAN	034	BKE	B	3,100	34.53	n/a	n/a	124.00	4.0
COUGAR TRADING	044	BONT	SS	5,000	54.50	9-Mar	16-Mar	250.00	5.0
BACKUS	005	BONT	S	5,000	54.99	9-Mar	16-Mar	0.00	0.0
ZOLIDIS	011	BOOT	S	397	15.15	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	BRNC	B	10,000	15.05	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	CAKE	B	10,000	27.48	7-Feb	7-Feb	500.00	5.0
DELTEC ASSET MGMT.	040	CC	B	17,500	17.65	20-Dec	23-Feb	875.00	5.0
Glenn Redemann		CC	S	5	2.3000	20-Dec	23-Feb	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	CLE	B	25,000	30.64	3-Nov	9-Mar	1,250.00	5.0
BUCK CAPITAL MGMT	001	COH	S	5,000	48.54	23-Feb	23-Feb	200.00	4.0
MORGAN LEWIS/MLH	046	COT	SS	20,000	14.39	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	54.92	n/a	n/a	400.00	4.0
SCOUT CAPITAL	044	DUK	S	100,000	19.68	n/a	n/a	4,000.00	4.0
DENVER INST. ADV	046	ENDP	S	275,000	28.47	23-Feb	16-Mar	13,750.00	5.0
BELMONT GLOBAL ADV	248	ETE	B	14,000	35.68	n/a	n/a	700.00	5.0
BELMONT GLOBAL ADV	248	ETP	B	8,760	57.21	n/a	n/a	438.00	5.0
BUCK	015	EVVV	B	1,200	19.16	n/a	n/a	0.00	0.0
U.S.TRUST COMPANY	012	FBR	S	30,800	5.50	n/a	n/a	924.00	3.0
Glenn Redemann		FCX	S	3	0.5500	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	FII	S	30,000	36.20	n/a	6-Mar	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGNETAR FINANCIAL	247	GM	SS	80,000	29.37	n/a	n/a	2,400.00	3.0
CAXTON PART	040	GT	S	149,469	28.42	n/a	n/a	5,978.76	4.0
BUCK	015	GZQPY	S	7	0.95	n/a	n/a	0.00	0.0
WHEELER	008	IOM	S	5,400	3.66	n/a	n/a	0.00	0.0
BUCK	015	ISEDJ	S	7	1.10	n/a	n/a	0.00	0.0
ASCEND PARTNERS	033	ISE	B	10,000	46.46	26-Oct	13-Mar	500.00	5.0
BACKUS	005	ISE	B	2,000	46.41	26-Oct	13-Mar	0.00	0.0
Õ Glenn Redemann		JBL	??	17	1.1500	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	JNS	SS	40,000	20.21	5-Mar	9-Mar	2,000.00	5.0
BUCK CAPITAL MGMT	001	KMX	B	30,000	50.65	n/a	10-Jan	1,200.00	4.0
Õ Glenn Redemann		LCC	S	3	8.6000	n/a	n/a	0.00	0.0
SINCLAIR	031	LEH	S	200	71.14	n/a	14-Mar	0.00	0.0
BALYASNY ASSET MGMT	044	LM	SS	10,000	94.87	16-Mar	16-Mar	500.00	5.0
BENCHMARK	028	LM	SS	5,000	95.40	16-Mar	16-Mar	250.00	5.0
MS PRINCIPAL STRATEGIES	040	LM	SS	50,000	95.25	16-Mar	16-Mar	2,500.00	5.0
SSI INVESTORS	048	LM	SS	19,384	95.38	16-Mar	16-Mar	775.36	4.0
STADIA CAPITAL	035	LM	SS	20,000	95.09	16-Mar	16-Mar	800.00	4.0
SUNOVA CAPITAL	031	LM	SS	50,000	94.55	16-Mar	16-Mar	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	LVS	S	500	86.38	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MFS	S	900	10.5800	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	MTZ	S	41,600	10.78	n/a	n/a	1,664.00	4.0
COLONIAL FUND LLC	036	MWV	B	25,000	29.50	8-Jan	1-Feb	1,250.00	5.0
LUCHANSKY4	036	MWV	B	300	29.32	8-Jan	1-Feb	0.00	0.0
Õ Glenn Redemann		NVDA	??	20	1.8000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NYX	S	2	8.7000	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	PCU	B	30,000	67.76	n/a	n/a	1,500.00	5.0
BACKUS	005	PDC	B	6,000	12.36	n/a	n/a	0.00	0.0
CASTLE ARK	031	PETM	S	77,330	30.46	n/a	n/a	4,639.80	6.0
ROARING BLUE LION MGMT	012	PLCE	S	10,500	54.93	n/a	9-Mar	525.00	5.0
Õ Glenn Redemann		PLLL	??	20	1.1500	n/a	n/a	0.00	0.0
EFSTATHIOU	044	PPCO	S	300	10.39	n/a	n/a	0.00	0.0
NEEDHAM CAP	067	PSUN	S	50,000	19.60	n/a	16-Mar	2,000.00	4.0
SINCLAIR	031	PTEN	B	400	21.57	n/a	n/a	0.00	0.0
DENVER INST. ADV	046	SCHW	S	50,280	17.99	n/a	n/a	2,514.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	SEUCF	S	30	0.25	n/a	n/a	0.00	0.0
WHEELER	008	SPNC	B	200	10.40	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TJX	B	300,000	26.19	n/a	9-Mar	12,000.00	4.0
BALYASNY ASSET MGMT	044	TROW	SS	30,000	46.22	n/a	5-Mar	1,500.00	5.0
GALLEON MGMT LP	033	USG	S	7,500	48.63	13-Mar	13-Mar	375.00	5.0
BALYASNY ASSET MGMT	044	WDR	B	15,000	22.49	5-Mar	5-Mar	750.00	5.0
CARLSON CAPITAL	031	WM	S	165,700	39.84	n/a	n/a	6,628.00	4.0
SINCLAIR	031	WOS	SS	400	24.00	n/a	n/a	0.00	0.0
SINCLAIR	031	XOHO	B	1,000	4.31	n/a	n/a	0.00	0.0
EFSTATHIOU	044	XOHO	B	1,000	4.33	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	S	20,000	11.43	9-Mar	13-Mar	800.00	4.0
TOTAL (Trade Date):								102,466.92	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/14	WAGONHOUND (WAGO)	048	GYMB	SS	7,500	38.20	4-Jan	14-Mar	375.00 5.0
AO,CRT	03/14	WAGONHOUND (WAGO)	048	GYMB	SS	7,500	38.20	4-Jan	14-Mar	300.00 4.0

TOTAL (AO, CXL, CRT) :	-75.00
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TOTAL (Trade Date) :	102,466.92
TOTAL (AO, CXL, CRT) :	-75.00

TOTAL (RUN DATE) / NFS:	102,391.92
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION