



Daily Compliance Activity Report

Trade Date: 02/29/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	ADBE	S	700	33.57	n/a	n/a	35.00	5.0
PATTEN & PATTEN	067	ADSK	S	600	30.96	n/a	n/a	30.00	5.0
COUGAR TRADING	044	AEO	B	10,000	22.05	5-Feb	29-Feb	500.00	5.0
SAGE ASSET MGMT	012	AGO	B	302,200	25.72	n/a	n/a	15,110.00	5.0
BALYASNY ASSET MGMT	044	AMG	B	10,000	94.05	9-Jan	29-Feb	500.00	5.0
TYEE/TYGH	035	AMG	S	77,005	95.09	9-Jan	29-Feb	3,080.20	4.0
SANDLER O'NEILL ASSET	031	BAC	B	2,100	40.54	13-Nov	21-Feb	105.00	5.0
⚡ BUCK CAPITAL MGMT		BBBY	SS	100,000	28.95	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	BDK	B	10,000	68.84	n/a	n/a	400.00	4.0
⚡ BUCK CAPITAL MGMT		BDK	C	10,000	69.82	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BIG	C	30,000	17.48	7-Jan	11-Feb	non-brg	4.0
HARTIRRL	056	BWA	B	25	43.11	14-Jan	8-Feb	0.00	0.0
BUCK	015	CAH	B	1,000	59.20	n/a	n/a	0.00	0.0
MFP INVESTORS	032	CBT	B	5,000	27.44	n/a	30-Jan	250.00	5.0
⚡ BUCK CAPITAL MGMT		CEC	C	10,000	27.21	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JPSI/TOM LEDDY	035	COH	SS	20,000	30.91	24-Oct	24-Jan	1,000.00	5.0
MAGUIRE	039	COH	SS	200	30.94	24-Oct	24-Jan	0.00	0.0
B BUCK CAPITAL MGMT		COLM	SS	30,000	42.27	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	B	10,000	111.39	n/a	n/a	non-brg	4.0
ZOLIDIS	011	DECK	B	200	118.72	n/a	n/a	0.00	0.0
PRINCIPLE CAPITAL	040	DKS	SS	33,200	28.10	n/a	25-Feb	996.00	3.0
PATTEN & PATTEN	067	DNN	S	4,500	9.17	n/a	n/a	225.00	5.0
GAULT	063	DUG	S	1,500	37.23	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		EL	C	100,000	42.60	4-Jan	4-Feb	non-brg	1.0
B BUCK CAPITAL MGMT		EL	C	20,000	42.55	4-Jan	4-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		EL	C	5,200	42.60	4-Jan	4-Feb	non-brg	1.0
B BUCK CAPITAL MGMT		EL	C	50,000	42.71	4-Jan	4-Feb	non-brg	4.0
B BUCK CAPITAL MGMT		EOG	C	5,000	118.52	n/a	n/a	non-brg	4.0
CENTURION GLOBAL MGMT LL	041	ERTS	B	7,800	46.17	n/a	n/a	234.00	3.0
PATTEN & PATTEN	067	EWZ	S	500	83.54	n/a	n/a	25.00	5.0
COLE	N24	FBR	B	200	2.60	n/a	n/a	0.00	0.0
SERENGETI MGMT	030	FHN	SS	100,000	17.10	n/a	n/a	5,000.00	5.0
BUCK	015	FITB	C	1,000	23.42	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		FOSL	B	30,000	31.78	13-Nov	20-Feb	non-brg	4.0
BUCK CAPITAL MGMT		GES	S	10,000	41.53	n/a	n/a	non-brg	4.0
PORTER-ORLIN-FELDMAN	044	GE	SS	100,000	33.37	n/a	n/a	5,000.00	5.0
PATTEN & PATTEN	067	GLW	S	2,000	23.24	n/a	11-Feb	100.00	5.0
COUGAR TRADING	044	GPS	B	5,000	20.13	n/a	29-Feb	250.00	5.0
BUCK	015	GPYOL	S	3	3.10	n/a	n/a	0.00	0.0
BUCK	015	GS	B	250	173.40	21-Sep	21-Feb	0.00	0.0
MAGUIRE	039	HDB	SS	100	111.10	n/a	n/a	0.00	0.0
EIDELMAN, FINGER & CO	046	ICTG	B	10,000	8.24	n/a	n/a	400.00	4.0
WHEELER	008	IMMC	B	10,000	1.01	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	IRIS	S	1,850	10.35	n/a	n/a	74.00	4.0
LEDDY	035	ITCD	S	2,000	3.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ITX SM	B	50,000	52.29	n/a	n/a	non-brg	10.5
BUCK CAPITAL MGMT		ITX SM	C	50,000	52.29	n/a	n/a	non-brg	10.5
BALYASNY ASSET MGMT	044	IVZ	B	30,000	25.81	20-Dec	29-Feb	1,500.00	5.0
PHILADELPHIA FINANCIAL	033	IVZ	B	40,000	25.81	20-Dec	29-Feb	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WILLIAMS TRAD/MANHASSET	041	IVZ	B	18,000	26.05	20-Dec	29-Feb	630.00	3.5
B BUCK CAPITAL MGMT		IWM	SS	51,500	69.10	n/a	n/a	non-brg	1.0
PORTER-ORLIN-FELDMAN	044	JAVA	S	100,000	16.89	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	47.51	4-Jan	29-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	JWN	SS	30,000	38.26	26-Feb	29-Feb	1,200.00	4.0
EPOCH INV MGMT	030	KMT	S	1,150	30.48	n/a	n/a	46.00	4.0
STANDARD PACIFIC CAPITAL	040	KO	B	207,800	58.60	n/a	n/a	10,390.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	30,000	45.15	4-Jan	29-Feb	1,200.00	4.0
COUGAR TRADING	044	KSS	B	10,000	45.58	4-Jan	29-Feb	500.00	5.0
EPOCH INV MGMT	030	LDR	S	350	47.89	n/a	n/a	14.00	4.0
BUCK	015	LEH	SS	800	52.95	n/a	27-Feb	0.00	0.0
GAULT	063	LESCK	B	50	1.55	n/a	n/a	0.00	0.0
SARAS CAPITAL	038	LTD	B	89,000	15.85	n/a	29-Feb	4,450.00	5.0
BUCK CAPITAL MGMT	001	LTM	B	10,000	29.31	n/a	n/a	400.00	4.0
EPOCH INV MGMT	030	MEOH	S	800	28.80	n/a	n/a	32.00	4.0
BUCK	015	MER	SS	500	50.71	18-Jan	21-Feb	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	MS	S	1,000	42.07	20-Dec	21-Feb	50.00	5.0
EPOCH INV MGMT	030	MVSN	S	1,950	15.56	n/a	n/a	78.00	4.0
BUCK CAPITAL MGMT	001	MW	SS	40,000	23.72	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	M	S	50,000	25.16	7-Feb	29-Feb	2,000.00	4.0
EPOCH INV MGMT	030	NLC	S	1,150	22.03	n/a	n/a	46.00	4.0
EPOCH INV MGMT	030	NRF	S	5,450	9.19	n/a	n/a	218.00	4.0
EPOCH INV MGMT	030	NSSC	S	4,100	5.22	n/a	n/a	164.00	4.0
⌘ BUCK CAPITAL MGMT		OIH	C	10,000	175.58	n/a	n/a	non-brg	1.0
EPOCH INV MGMT	030	PDX	S	450	66.27	n/a	n/a	18.00	4.0
ROYCE TRADING	216	PENX	B	10,000	21.99	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		PERY	S	10,000	19.81	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		PLCE	B	10,000	21.32	10-Jan	29-Feb	non-brg	1.0
COLE	N24	PNCL	B	200	11.27	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	PWAV	S	9,050	2.93	n/a	n/a	362.00	4.0
GAULT	063	QLD	B	500	69.44	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RL	B	40,000	63.25	7-Feb	15-Feb	1,600.00	4.0
STADIA CAPITAL	035	RL	B	40,000	62.86	7-Feb	15-Feb	1,600.00	4.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	6.90	n/a	n/a	800.00	4.0
EPOCH INV MGMT	030	SBNY	S	1,100	26.95	n/a	n/a	44.00	4.0
SUTERA	060	SDS	S	500	64.17	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKF	S	44,000	115.80	n/a	n/a	1,760.00	4.0
BUCK CAPITAL MGMT	001	SKX	S	20,000	21.75	n/a	13-Mar	800.00	4.0
MERRILL ASSET	032	STC	B	14,100	29.70	n/a	n/a	634.50	4.5
N STRATTON	014	TECUA	C	200	22.25	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	B	20,000	52.55	n/a	27-Jul	800.00	4.0
ß BUCK CAPITAL MGMT		TGT	C	35,661	53.73	n/a	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		TGT	C	4,939	54.17	n/a	27-Jul	non-brg	4.0
ROTHSCHILD ASSET	040	TNB	S	28,520	40.09	n/a	n/a	1,283.40	4.5
ß BUCK CAPITAL MGMT		TPX	B	20,000	17.44	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TPX	B	40,000	17.71	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		TPX	B	40,000	18.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TSCO	SS	10,000	38.66	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	TWB	S	12,200	29.63	21-Feb	21-Feb	488.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TWB	S	7,800	29.65	21-Feb	21-Feb	non-brg	4.0
PATTEN & PATTEN	067	TWTC	S	2,500	15.79	9-Nov	4-Jan	125.00	5.0
BUCK CAPITAL MGMT	001	UA	S	10,000	36.41	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		VCGH	S	5,000	10.44	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		VIRL	S	1,500	6.56	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WHR	C	10,000	84.45	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WHR	C	20,000	88.18	n/a	n/a	non-brg	4.0
BEAR STEARNS ASSET MGMT.	033	WMT	SS	41,800	49.58	n/a	19-Feb	1,672.00	4.0
ß BUCK CAPITAL MGMT		XLF	C	452,900	26.20	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		XLF	C	550,000	26.28	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		ZLC	SS	10,000	19.96	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	ZQK	B	25,000	9.39	5-Feb	29-Feb	1,250.00	5.0
ß BUCK CAPITAL MGMT		ZZ	SS	75,000	9.25	n/a	n/a	non-brg	4.0
TOTAL (Trade Date) :								79,769.10	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/28	TRIVIMUM CAP MGMT	251	AUXL	S	50,000	33.03	n/a	n/a	2000.00 4.0
AO,CRT	02/28	AMBER CAPITAL	046	BKUNA	SS	200,000	4.97	n/a	n/a	8000.00 4.0
AO,CXL	02/28	AMBER CAPITAL	046	BKUNA	SS	200,000	4.97	n/a	n/a	8000.00 4.0
AO,	02/28	ROYCE TRADING	216	BORL	S	175,000	2.15	n/a	3-Apr	5250.00 3.0
AO,CRT	02/28	COLONIAL FUND LLC	036	ETN	B	10,000	84.10	29-Feb	29-Feb	500.00 5.0
AO,CXL	02/28	COLONIAL FUND LLC	036	ETN	B	10,000	84.10	29-Feb	29-Feb	500.00 5.0
AO,	02/28	JENNISON	032	FNM	B	50,000	27.11	n/a	n/a	2000.00 4.0
AO,	02/28	US BANCORP	030	MTZ	B	40,000	8.77	n/a	n/a	2000.00 5.0
AO,CXL	02/28	BAYPOINT/PRIMEMOVERS	041	SPY	SS	300,000	137.55	n/a	n/a	15000.00 5.0
AO,	02/28	DOWNTOWN ASSOC	028	SPY	S	300,000	137.55	n/a	n/a	10500.00 3.5
TOTAL (AO, CXL, CRT) :										6,750.00
TOTAL (Trade Date):										79,769.10
TOTAL (AO, CXL, CRT):										6,750.00
TOTAL (RUN DATE) / NFS:										86,519.10

MRI Securities with Trade Activity on 29-Feb.
NONE

MRI Securities without Trade Activity on 29-Feb.
ETN, SWN, URBN

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 22%
YTD = 27%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION