



# Daily Compliance Activity Report

Trade Date: 05/02/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>LBACENTRP</b>	<b>005</b>	<b>AAPL</b>	<b>S</b>	<b>300</b>	<b>180.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	AEO	B	15,000	18.94	6-Mar	2-May	750.00	5.0
ROTHSCHILD ASSET	040	AMG	S	8,550	107.13	7-Apr	2-May	384.75	4.5
<b>CATTANI</b>	<b>041</b>	<b>AMG</b>	<b>B</b>	<b>100</b>	<b>106.60</b>	<b>7-Apr</b>	<b>2-May</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ANF	B	10,000	75.82	10-Apr	2-May	500.00	5.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>SS</b>	<b>50,000</b>	<b>25.74</b>	<b>14-Mar</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	ANN	B	10,000	26.21	14-Mar	2-May	500.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>APSG</b>	<b>S</b>	<b>15,000</b>	<b>13.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>S</b>	<b>50,000</b>	<b>32.39</b>	<b>28-Apr</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
DSG CAPITAL	225	ASH	B	4,000	53.96	30-Apr	30-Apr	200.00	5.0
<b>GAULT</b>	<b>063</b>	<b>ASH</b>	<b>B</b>	<b>800</b>	<b>53.96</b>	<b>30-Apr</b>	<b>30-Apr</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	AWI	B	46,000	33.36	n/a	n/a	1,380.00	3.0
<b>LBACMELISA</b>	<b>005</b>	<b>AXE</b>	<b>B</b>	<b>1,000</b>	<b>61.04</b>	<b>28-Mar</b>	<b>22-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>LBACIRA</b>	<b>005</b>	<b>AXE</b>	<b>B</b>	<b>1,000</b>	<b>61.15</b>	<b>28-Mar</b>	<b>22-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>LBACCICIRA</b>	<b>005</b>	<b>AXE</b>	<b>B</b>	<b>1,000</b>	<b>61.22</b>	<b>28-Mar</b>	<b>22-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>LBACENTRP</b>	<b>005</b>	<b>AXE</b>	<b>B</b>	<b>1,000</b>	<b>61.27</b>	<b>28-Mar</b>	<b>22-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>LBACCICIND</b>	<b>005</b>	<b>AXE</b>	<b>B</b>	<b>2,000</b>	<b>61.17</b>	<b>28-Mar</b>	<b>22-Apr</b>	<b>0.00</b>	<b>0.0</b>
UBS OCONNOR	031	BAC	B	75,000	39.53	n/a	22-Apr	2,250.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	BA	SS	18,700	85.36	n/a	n/a	748.00	4.0
WAGONHOUND	048	BLK	S	3,550	215.05	n/a	2-May	142.00	4.0
⌘ BUCK CAPITAL MGMT		BWA	S	2,480	53.00	14-Jan	21-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BWA	S	30,000	51.68	14-Jan	21-Apr	non-brg	4.0
FIRST NEW YORK LEDDY	035	BWA	SS	9,500	51.61	14-Jan	21-Apr	570.00	6.0
LUCHANSKY	036	BWA	S	200	50.88	14-Jan	21-Apr	0.00	0.0
OGORMAN	046	BWA	S	600	50.49	14-Jan	21-Apr	0.00	0.0
EMP ERROR	099	BWA	B	200	51.54	14-Jan	21-Apr	0.00	0.0
EMP ERROR	099	BWA	S	200	51.86	14-Jan	21-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		CAB	SS	20,000	14.97	n/a	n/a	non-brg	4.0
SATELLITE ASSET MGMT	012	CAKE	B	15,000	22.92	n/a	24-Apr	600.00	4.0
JP MORGAN VENTURES	046	CCE	B	30,000	22.71	n/a	n/a	1,500.00	5.0
SATELLITE ASSET MGMT	012	CCL	SS	15,000	41.99	n/a	26-Jan	600.00	4.0
FIRST NEW YORK NICK	029	CCU	B	5,000	31.08	n/a	n/a	250.00	5.0
GENERAL MOTORS INV CO	040	CLF	S	1,000	156.14	n/a	n/a	40.00	4.0
SATELLITE ASSET MGMT	012	CLX	B	7,000	57.02	n/a	2-May	280.00	4.0
SATELLITE ASSET MGMT	012	CL	SS	10,000	72.65	n/a	1-May	400.00	4.0
BAIRD INVESTMENT	031	CMG	B	2,965	99.33	n/a	24-Apr	148.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HEALY CIRCLE	044	COH	SS	10,000	36.40	4-Apr	23-Apr	500.00	5.0
GRANAHAN INV	039	CRR	S	50,000	45.43	13-Feb	1-May	2,000.00	4.0
STRAUSS ASSET MGMT	040	CSR	S	15,000	21.33	n/a	n/a	600.00	4.0
IVORY CAPITAL	034	CTX	B	250,000	22.29	n/a	n/a	7,500.00	3.0
HUNTER GLOBAL INVESTOR	067	CVS	S	75,000	41.40	n/a	n/a	3,750.00	5.0
DIAMONDBACK CAP MGMT	232	C	S	25,000	26.45	16-Jan	30-Apr	1,250.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>DAI</b>	<b>C</b>	<b>300</b>	<b>77.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DIAMONDBACK CAP MGMT	232	DFS	C	25,000	19.46	n/a	n/a	1,250.00	5.0
MORRISON&FRASIER/BUTLER	040	DNR	B	5,000	29.80	n/a	n/a	250.00	5.0
EGS ASSOCIATES LP	091	DRI	B	4,500	36.49	n/a	15-Apr	270.00	6.0
SUN AMERICA ASSET MGMT	030	DSW	S	29,700	15.22	28-Mar	2-May	1,188.00	4.0
COUGAR TRADING	044	EAT	B	5,000	23.56	n/a	24-Apr	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>EL</b>	<b>SS</b>	<b>30,000</b>	<b>44.65</b>	<b>4-Jan</b>	<b>1-Apr</b>	<b>non-brg</b>	<b>4.0</b>
GENERAL MOTORS INV CO	040	EOG	S	3,000	127.67	n/a	n/a	120.00	4.0
<b>MILLER</b>	<b>025</b>	<b>EWZ</b>	<b>S</b>	<b>25</b>	<b>93.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		FL+HC	SS	3,000	0.50	n/a	n/a	non-brg	100.0
PAKLER	029	FSLR	S	8	271.33	n/a	n/a	0.00	0.0
NORTHPOINT	030	GES	B	16,620	39.30	n/a	n/a	664.80	4.0
BUCK CAPITAL MGMT	001	GIL	B	190,000	25.52	30-Apr	30-Apr	7,600.00	4.0
COUGAR TRADING	044	GIL	B	5,000	26.06	30-Apr	30-Apr	250.00	5.0
LBACCHRIS	005	GIL	B	1,000	25.77	30-Apr	30-Apr	0.00	0.0
LBACIRA	005	GIL	B	2,000	25.81	30-Apr	30-Apr	0.00	0.0
LBACMELISA	005	GIL	B	2,000	25.81	30-Apr	30-Apr	0.00	0.0
LBACCICIRA	005	GIL	B	2,000	25.83	30-Apr	30-Apr	0.00	0.0
LBACENTRP	005	GIL	B	3,000	25.84	30-Apr	30-Apr	0.00	0.0
LBACCICIND	005	GIL	B	3,000	25.86	30-Apr	30-Apr	0.00	0.0
MILLER	025	GLW	S	25	27.11	n/a	29-Apr	0.00	0.0
EFSTATHIOU	044	GMO	B	1,000	8.49	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	GM	B	200,000	22.94	29-Apr	2-May	8,000.00	4.0
BALYASNY ASSET MGMT	044	GPS	SS	20,000	18.70	n/a	2-May	1,000.00	5.0
COUGAR TRADING	044	GPS	B	20,000	18.60	n/a	2-May	1,000.00	5.0
BUCK	015	GPYQQ	B	4	1.20	n/a	n/a	0.00	0.0
BUCK	015	GPYVN	S	6	8.05	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GSIC	S	201,200	13.64	n/a	n/a	non-brg	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GSIC	S	50,000	13.76	n/a	n/a	non-brg	4.0
IVORY CAPITAL	034	GT	B	100,000	27.10	n/a	n/a	3,000.00	3.0
⌘ BUCK CAPITAL MGMT		GYMB	S	10,000	43.17	2-Apr	2-May	non-brg	4.0
ZOLIDIS	011	HD	B	1,000	30.25	n/a	27-Jul	0.00	0.0
CATTANI	041	HD	B	250	30.01	n/a	27-Jul	0.00	0.0
SATELLITE ASSET MGMT	012	HEW	B	15,000	41.27	n/a	n/a	600.00	4.0
GENERAL ELECTIC CO	033	HOLX	B	19,999	22.90	n/a	n/a	399.98	2.0
ARIENCE CAPITAL	036	HOT	B	24,000	54.81	n/a	n/a	1,200.00	5.0
BUCK	015	HOT	B	1,000	54.52	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	HPQ	S	150,000	48.06	n/a	n/a	6,000.00	4.0
EFSTATHIOU	044	ICON	S	500	15.47	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	IMA	B	50,000	36.80	n/a	n/a	2,500.00	5.0
BEAR STEARNS ASSET MGMT.	033	ITT	SS	18,700	64.28	n/a	25-Apr	748.00	4.0
WAGONHOUND	048	IVZ	B	40,290	27.69	10-Apr	2-May	1,611.60	4.0
⌘ BUCK CAPITAL MGMT		JAH	S	50,000	21.96	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JCG	S	40,000	49.28	n/a	12-Mar	non-brg	4.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	44.78	4-Jan	2-May	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PAKLER	029	JGQFA	S	16	0.40	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		JNY	S	300	17.14	n/a	1-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JOSB	B	20,000	25.88	n/a	n/a	non-brg	4.0
OGORMAN	046	JOSB	B	1,000	25.70	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	JPM	S	50,000	48.43	17-Jan	16-Apr	2,500.00	5.0
BUCK CAPITAL MGMT	001	JWN	SS	20,000	36.40	31-Mar	2-May	800.00	4.0
⌘ BUCK CAPITAL MGMT		JWN	SS	30,000	36.19	31-Mar	2-May	non-brg	4.0
CUMBERLAND	038	JWN	SS	45,000	36.36	31-Mar	2-May	1,800.00	4.0
BEAR STEARNS ASSET MGMT.	033	KSS	S	215,000	49.79	4-Jan	2-May	8,600.00	4.0
⌘ BUCK CAPITAL MGMT		KSS	B	100,000	49.75	4-Jan	2-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	50,000	49.64	4-Jan	2-May	non-brg	4.0
COUGAR TRADING	044	KSS	SS	10,000	49.52	4-Jan	2-May	500.00	5.0
BARR	096	LAVA	C	1,500	6.75	n/a	n/a	0.00	0.0
SNYDER CAPITAL	038	LDSH	B	92,100	30.58	n/a	n/a	3,684.00	4.0
OTC ERROR	099	LDSH	B	1,908	30.57	n/a	n/a	0.00	0.0
BUCK	015	LEH	SS	1,000	46.97	1-Apr	14-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		LIZ	SS	10,000	18.94	n/a	17-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LJQ+FH	SS	1,000	2.65	n/a	n/a	non-brg	300.0
SIGMA CAPITAL ASSOCIATES	237	LLY	B	4,800	49.49	n/a	n/a	240.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
<b>B BUCK CAPITAL MGMT</b>		<b>LL</b>	<b>B</b>	<b>113,435</b>	<b>12.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
WAGONHOUND	048	LM	B	6,160	64.37	24-Mar	2-May	308.00 5.0
WAGONHOUND	048	LPX	B	3,440	11.67	7-Apr	7-Apr	137.60 4.0
<b>PAKLER</b>	<b>029</b>	<b>LULU</b>	<b>S</b>	<b>80</b>	<b>31.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>BUCK</b>	<b>015</b>	<b>LVS</b>	<b>S</b>	<b>350</b>	<b>73.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
SATELLITE ASSET MGMT	012	MAR	S	10,000	36.33	n/a	n/a	400.00 4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MCD</b>	<b>C</b>	<b>50,000</b>	<b>61.10</b>	<b>n/a</b>	<b>23-Apr</b>	<b>non-brg 4.0</b>
PROSPECTOR PARTNERS LLC	036	MDP	B	10,000	33.90	n/a	n/a	400.00 4.0
<b>WHEELER</b>	<b>008</b>	<b>MENT</b>	<b>S</b>	<b>10,000</b>	<b>9.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
RAINIER INV MGMT	035	ME	S	55,125	27.21	n/a	n/a	2,756.25 5.0
<b>LBACCICIND</b>	<b>005</b>	<b>MIR</b>	<b>S</b>	<b>2,000</b>	<b>40.96</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
DAVIDSON KEMPNER ADVISOR	040	MNST	SS	35,000	26.29	n/a	n/a	1,750.00 5.0
SANDLER O'NEILL ASSET	031	MS	B	20,000	50.07	20-Dec	14-Apr	1,000.00 5.0
<b>LEDY</b>	<b>035</b>	<b>MTRX</b>	<b>S</b>	<b>700</b>	<b>20.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>M</b>	<b>S</b>	<b>20,000</b>	<b>26.63</b>	<b>7-Feb</b>	<b>2-May</b>	<b>non-brg 4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	M	B	20,000	26.90	7-Feb	2-May	1,000.00	5.0
LBACCHRIS	005	M	S	1,000	26.29	7-Feb	2-May	0.00	0.0
LBACCICIRA	005	M	S	1,000	26.29	7-Feb	2-May	0.00	0.0
LBACIRA	005	M	S	1,000	26.29	7-Feb	2-May	0.00	0.0
LBACCICIND	005	M	S	2,000	26.22	7-Feb	2-May	0.00	0.0
LBACCICIND	005	NFC	B	3,000	14.80	n/a	n/a	0.00	0.0
LBACCICIND	005	NGK	B	2,000	14.54	n/a	n/a	0.00	0.0
WHEELER	008	NLCIE	S	100	1.80	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	OSK	B	150	39.12	n/a	n/a	7.50	5.0
PATTEN & PATTEN	067	PBE	S	500	17.97	n/a	n/a	25.00	5.0
IVORY CAPITAL	034	PCL	S	75,000	42.06	29-Apr	29-Apr	2,250.00	3.0
GENERAL MOTORS INV CO	040	PGR	S	37,000	18.71	n/a	n/a	1,480.00	4.0
AVESTA CAP	229	PG	SS	100,000	66.52	n/a	30-Apr	5,000.00	5.0
ROSEDALE CAPITAL	046	PHG	B	20,000	37.94	n/a	n/a	1,000.00	5.0
ASCEND PARTNERS	033	PLCE	B	9,100	26.70	2-May	2-May	455.00	5.0
Ⓐ BUCK CAPITAL MGMT		PLCE	B	50,000	26.49	2-May	2-May	non-brg	2.0
Ⓐ BUCK CAPITAL MGMT		PLCE	B	50,000	26.55	2-May	2-May	non-brg	4.0
FIRST NY SEC/CHRIS	041	PLCE	B	5,000	26.42	2-May	2-May	300.00	6.0
METROPOLITAN CAPITAL	044	PLCE	S	52,000	27.27	2-May	2-May	2,600.00	5.0
PECONIC PARTNERS	036	PLCE	B	150,000	26.93	2-May	2-May	7,500.00	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
VISIUM/BALY HOWARD	038	PLCE	S	25,000	26.47	2-May	2-May	1,250.00	5.0
PATTEN & PATTEN	067	Q	B	800	5.37	n/a	n/a	40.00	5.0
SATELLITE ASSET MGMT	012	RCL	B	5,000	32.01	25-Apr	25-Apr	200.00	4.0
BEAR STEARNS ASSET MGMT.	033	RDSA	C	65,600	79.92	n/a	n/a	2,624.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>RL</b>	<b>SS</b>	<b>10,000</b>	<b>64.48</b>	<b>7-Feb</b>	<b>15-Feb</b>	<b>non-brg</b>	<b>4.0</b>
VISIUM/BALY HOWARD	038	RL	SS	10,000	63.39	7-Feb	15-Feb	500.00	5.0
PRINCIPLE CAPITAL	040	SFLY	B	78,400	13.83	n/a	n/a	2,352.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>SKF</b>	<b>B</b>	<b>50,000</b>	<b>91.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>PAKLER</b>	<b>029</b>	<b>SKF</b>	<b>S</b>	<b>17</b>	<b>90.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKS+RV</b>	<b>SS</b>	<b>1,300</b>	<b>0.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKS</b>	<b>SS</b>	<b>30,000</b>	<b>13.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	237	SKX	SS	25,000	23.99	n/a	13-Mar	1,250.00	5.0
STRAUSS ASSET MGMT	040	SMSC	B	1,500	29.35	n/a	n/a	60.00	4.0
<b>BARR</b>	<b>096</b>	<b>SYNP</b>	<b>S</b>	<b>600</b>	<b>7.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	THI	B	15,000	32.33	n/a	24-Apr	750.00	5.0
IRONBOUND CAPITAL	012	TIN	B	102,778	12.00	29-Apr	29-Apr	3,083.34	3.0
<b>LBACCICIND</b>	<b>005</b>	<b>TIN</b>	<b>B</b>	<b>3,000</b>	<b>12.10</b>	<b>29-Apr</b>	<b>29-Apr</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SATELLITE ASSET MGMT	012	TRA	S	5,000	39.12	n/a	n/a	200.00	4.0
⚡ BUCK CAPITAL MGMT		TUY+FF	SS	1,000	0.90	n/a	n/a	non-brg	100.0
COUGAR TRADING	044	TWB	B	20,000	19.52	17-Mar	2-May	1,000.00	5.0
ZOLIDIS	011	TWM	B	300	71.39	n/a	n/a	0.00	0.0
BUCK	015	VRV	B	10	1.15	n/a	n/a	0.00	0.0
PAKLER	029	WBC	S	6	47.79	30-Apr	30-Apr	0.00	0.0
TRIVIUM CAP MGMT	251	WSM	B	50,000	27.34	n/a	n/a	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		XRT	SS	332,600	33.57	n/a	n/a	non-brg	2.0
PROSPECTOR PARTNERS LLC	036	XRX	B	25,000	14.39	n/a	n/a	1,000.00	4.0
<b>TOTAL (Trade Date) :</b>							<b>128,348.07</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/01	IMPALA ASSET MMT	012	BRT	B	100,000	42.65	n/a	n/a	3000.00 3.0
AO,	05/01	IMPALA ASSET MMT	012	ERJ	B	100,000	42.65	n/a	n/a	3000.00 3.0
AO,CRT	05/01	IMPALA ASSET MMT	012	HBI	B	125,000	35.82	n/a	n/a	3750.00 3.0
AO,CXL	05/01	IMPALA ASSET MMT	012	HBI	B	125,000	38.82	n/a	n/a	3750.00 3.0
AO,CRT	04/29	BRIGADE CAPITAL MGMT	029	IWM	SS	100,000	71.31	n/a	n/a	3000.00 3.0
AO,CXL	04/29	BRIGADE CAPITAL MGMT	029	IWM	SS	100,000	71.31	n/a	n/a	5000.00 5.0
AO,	05/01	OTC ERROR	099	LDSH	S	1,908	30.35	n/a	n/a	0.00 0.0
AO,CRT	05/01	WILLIAMS TRAD/MANHASSET	041	LM	B	16,500	62.14	24-Mar	2-May	577.50 3.5
AO,CXL	05/01	WILLIAMS TRAD/MANHASSET	041	LM	B	16,500	62.14	24-Mar	2-May	825.00 5.0
AO,CRT	05/01	MORRISON&FRASIER/BUTLER	040	MSFT	B	5,000	29.17	n/a	3-Apr	250.00 5.0
AO,	05/01	AAD CAPITAL	048	TIN	B	26,075	11.49	29-Apr	29-Apr	782.25 3.0
AO,CRT	05/01	WILLOW CREEK	033	TRMP	SS	40,000	2.87	n/a	n/a	800.00 2.0
AO,CXL	05/01	WILLOW CREEK	033	TRMP	SS	40,000	2.87	n/a	n/a	1600.00 4.0
AO,CRT	05/01	MORRISON&FRASIER/BUTLER	040	WG	S	4,000	35.18	n/a	n/a	200.00 5.0
AO,CXL	05/01	MORRISON&FRASIER/BUTLER	040	WG	S	4,000	35.18	n/a	n/a	200.00 5.0
AO,CRT	05/01	MORRISON&FRASIER/BUTLER	040	WMT	B	3,000	58.40	n/a	2-May	150.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-1,865.25</b>

---

TOTAL (Trade Date):	128,348.07
TOTAL (AO, CXL, CRT):	-1,865.25

---

<b>TOTAL (RUN DATE) / NFS:</b>	<b>126,482.82</b>
--------------------------------	-------------------

---

MRI Securities with Trade Activity on 2-May. <b>PLCE</b>
---

MRI Securities without Trade Activity on 2-May. <b>RDEN</b>
--

BRG comm. as a % of BCM Total Comm. paid. T-Date = 15% YTD = 20%
--

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**