



# Daily Compliance Activity Report

Trade Date: 09/24/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
<b>B BUCK CAPITAL MGMT</b>		<b>AAPL</b>	<b>S</b>	<b>500</b>	<b>127.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg 4.0</b>
ROTHSCHILD ASSET	040	ABM	S	6,100	21.73	n/a	n/a	274.50 4.5
<b>LUCHANSKY</b>	<b>036</b>	<b>ADM</b>	<b>S</b>	<b>100</b>	<b>23.80</b>	<b>18-Aug</b>	<b>18-Sep</b>	<b>0.00 0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>2,000</b>	<b>15.24</b>	<b>n/a</b>	<b>5-Sep</b>	<b>non-brg 4.0</b>
COUGAR TRADING	044	AEO	B	10,000	15.18	n/a	5-Sep	500.00 5.0
REINHART & MAHONEY	031	AFG	B	3,500	28.36	n/a	n/a	175.00 5.0
GRANITE CAPITAL	045	AIG	S	55,100	5.18	n/a	n/a	2,755.00 5.0
TRUSTCO/SUNTRUST	040	ALV	B	42,200	36.40	23-Jul	30-Jul	1,350.40 3.2
PRINCIPLE CAPITAL	040	AMZN	B	17,800	72.18	n/a	n/a	712.00 4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>S</b>	<b>1,900</b>	<b>39.32</b>	<b>7-Aug</b>	<b>17-Sep</b>	<b>76.00 4.0</b>
COUGAR TRADING	044	ANF	S	5,000	39.80	7-Aug	17-Sep	250.00 5.0
BRENCOURT	028	AN	SS	25,000	12.89	25-Jul	28-Jul	750.00 3.0
PNC/MERCANTILE	028	APD	S	19,888	73.52	n/a	23-Sep	994.40 5.0
<b>LISTED ERR</b>	<b>099</b>	<b>APD</b>	<b>B</b>	<b>8</b>	<b>73.52</b>	<b>n/a</b>	<b>23-Sep</b>	<b>0.00 0.0</b>
<b>CROWLEY</b>	<b>061</b>	<b>APNS</b>	<b>S</b>	<b>15,000</b>	<b>0.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>15,700</b>	<b>30.49</b>	<b>28-Apr</b>	<b>5-Sep</b>	<b>628.00 4.0</b>

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘	BUCK CAPITAL MGMT		ARO	S	56,200	30.01	28-Apr	5-Sep	non-brg	4.0
	COUGAR TRADING	044	ARO	B	10,000	30.43	28-Apr	5-Sep	500.00	5.0
	COUGAR TRADING	044	ARO	SS	10,000	30.52	28-Apr	5-Sep	500.00	5.0
	REINHART & MAHONEY	031	AXE	B	1,500	60.44	n/a	17-Sep	75.00	5.0
	SATELITE/BOBBY	044	BBBY	S	10,000	30.88	n/a	n/a	400.00	4.0
	JMP ASSET MGMT	210	BBY	B	2,300	37.74	n/a	27-Jul	115.00	5.0
	JENNISON	032	BRCM	B	59,200	19.33	n/a	n/a	2,190.40	3.7
	PORTER-ORLIN-FELDMAN	044	BRE	B	25,000	47.08	n/a	n/a	1,250.00	5.0
	BRENCOURT	028	BWA	B	25,000	34.28	10-Jun	1-Aug	750.00	3.0
	OGORMAN	046	BZ	B	5,000	1.85	n/a	n/a	0.00	0.0
	COLE	077	BZ	B	500	1.85	n/a	n/a	0.00	0.0
⌘	BUCK CAPITAL MGMT		CAKE	SS	50,000	15.23	n/a	5-Sep	non-brg	4.0
⌘	BUCK CAPITAL MGMT		CBRL	SS	50,000	26.58	n/a	n/a	non-brg	4.0
	COUGAR TRADING	044	CBT	SS	10,000	31.47	n/a	24-Sep	500.00	5.0
	KLEINHEINZ CAP PART	031	CB	B	100,000	52.79	n/a	n/a	5,000.00	5.0
⌘	BUCK CAPITAL MGMT		CHS	S	120,000	5.97	8-Aug	24-Sep	non-brg	4.0
	JMP ASSET MGMT	210	CNH	B	1,700	27.59	n/a	n/a	85.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	COH	S	30,000	26.61	30-Jul	28-Aug	1,200.00	4.0
ß BUCK CAPITAL MGMT		COLM	C	10,000	42.42	n/a	n/a	non-brg	4.0
KORMAN	003	CPRE	B	2,000	13.00	n/a	n/a	0.00	0.0
ROYAL CAPITAL	039	CREE	B	222,052	26.55	n/a	n/a	11,102.60	5.0
PORTER-ORLIN-FELDMAN	044	CSGP	B	20,000	49.90	n/a	n/a	1,000.00	5.0
GRANITE CAPITAL	045	CVS	S	25,000	32.25	n/a	n/a	1,250.00	5.0
ß BUCK CAPITAL MGMT		CWTR	S	17,000	5.80	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CWTR	S	20,000	5.72	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CWTR	S	26,000	5.72	n/a	n/a	non-brg	4.0
J L F ASSET MGMT	039	CX	B	55,486	18.27	n/a	n/a	2,219.44	4.0
SATELITE/BOBBY	044	DAL	S	50,000	8.13	n/a	n/a	2,000.00	4.0
ZOLIDIS	011	DECK	B	200	104.83	n/a	n/a	0.00	0.0
SINCLAIR	031	DIAWA	B	15	3.75	n/a	n/a	0.00	0.0
AMATURO	018	DIAWB	B	30	4.65	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	DIS	B	150,000	32.31	n/a	n/a	7,500.00	5.0
SATELITE/BOBBY	044	DRI	B	10,000	28.30	26-Aug	18-Sep	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRIVIUM CAP MGMT	251	DTSI	SS	4,900	30.14	n/a	n/a	196.00	4.0
SATELITE/BOBBY	044	EAT	B	10,000	17.73	n/a	24-Apr	400.00	4.0
PROSPECTOR PARTNERS LLC	036	EE	B	10,000	20.52	n/a	n/a	400.00	4.0
<b>HART</b>	<b>056</b>	<b>EPG</b>	<b>S</b>	<b>1,500</b>	<b>2.64</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MS PRINCIPAL STRATEGIES	041	ERJ	B	1,300	29.75	n/a	n/a	65.00	5.0
JENNISON	032	ERTS	B	20,900	39.78	n/a	n/a	773.30	3.7
SATELITE/BOBBY	044	ERTS	B	9,500	39.13	n/a	n/a	380.00	4.0
DAVIDSON KEMPNER ADVISOR	040	FCX	B	50,000	68.34	n/a	n/a	2,500.00	5.0
EATON VANCE MGMT	041	FE	B	75,000	65.76	n/a	n/a	2,250.00	3.0
<b>ß BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>S</b>	<b>2,500</b>	<b>26.46</b>	<b>n/a</b>	<b>17-Sep</b>	<b>non-brg</b>	<b>4.0</b>
SATELITE/BOBBY	044	FOSL	B	25,000	26.72	n/a	17-Sep	1,000.00	4.0
<b>ß BUCK CAPITAL MGMT</b>		<b>FUQI</b>	<b>S</b>	<b>70,000</b>	<b>8.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GES+KH</b>	<b>SS</b>	<b>1,000</b>	<b>1.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GES+KH</b>	<b>SS</b>	<b>2,000</b>	<b>2.36</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>S</b>	<b>22,200</b>	<b>37.84</b>	<b>n/a</b>	<b>n/a</b>	<b>888.00</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>S</b>	<b>2,500</b>	<b>37.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>S</b>	<b>65,600</b>	<b>36.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SATELITE/BOBBY	044	GET	SS	25,000	30.47	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		GIL	B	10,000	23.54	8-Sep	8-Sep	non-brg 1.0
BUCK CAPITAL MGMT		GIL	S	6,000	23.43	8-Sep	8-Sep	non-brg 4.0
COUGAR TRADING	044	GIL	S	10,000	23.57	8-Sep	8-Sep	500.00 5.0
JP MORGAN VENTURES	046	GIL	S	20,000	23.73	8-Sep	8-Sep	1,000.00 5.0
ROXBURY CAPITAL MGMT	035	GIL	B	143,000	23.76	8-Sep	8-Sep	5,720.00 4.0
SATELITE/BOBBY	044	GME	B	10,000	34.35	n/a	n/a	400.00 4.0
BUCK CAPITAL MGMT		GS	B	1,000	123.00	15-Sep	24-Sep	non-brg 0.0
BUCK CAPITAL MGMT		GS	S	1,000	126.04	15-Sep	24-Sep	non-brg 1.0
J L F ASSET MGMT	039	GU	B	66,700	6.39	n/a	n/a	2,668.00 4.0
REINHART & MAHONEY	031	HOLX	B	5,000	19.62	n/a	n/a	250.00 5.0
BUCK CAPITAL MGMT		HOTT	S	20,000	6.24	n/a	17-Sep	non-brg 4.0
BAIRD INVESTMENT	031	HSC	S	7,175	39.87	24-Sep	24-Sep	358.75 5.0
KAICHER	064	JASO	B	2,000	14.33	n/a	n/a	0.00 0.0
KAICHER	064	JASO	S	2,000	13.71	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		JCG	S	1,000	29.97	n/a	17-Sep	non-brg 4.0
BUCK CAPITAL MGMT		JCG	S	20,000	27.84	n/a	17-Sep	non-brg 4.0
BUCK CAPITAL MGMT		JCG	S	20,000	29.19	n/a	17-Sep	non-brg 1.0
BUCK CAPITAL MGMT		JCG	S	60,000	28.89	n/a	17-Sep	non-brg 1.0
BUCK CAPITAL MGMT		JCP	SS	150,000	36.04	n/a	5-Sep	non-brg 4.0
BUCK CAPITAL MGMT		JOSB	S	2,000	37.12	n/a	n/a	non-brg 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		JOSB	S	30,000	31.67	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JWN+WE	SS	1,500	0.97	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	JWN	SS	28,000	29.77	10-Jul	17-Sep	1,120.00	4.0
BMO NESBITT BURNS	041	KMT	B	4,800	30.82	18-Sep	18-Sep	240.00	5.0
REINHART & MAHONEY	031	KMT	S	31,554	30.64	18-Sep	18-Sep	1,577.70	5.0
SIGMA CAPITAL ASSOCIATES	237	KO	B	20,000	50.03	n/a	n/a	1,000.00	5.0
COUGAR TRADING	044	KSS	B	20,000	47.20	n/a	5-Sep	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		LOW	C	50,000	23.47	n/a	27-Jul	non-brg	4.0
REINHART & MAHONEY	031	LPS	B	81,100	30.06	n/a	n/a	4,055.00	5.0
BMO NESBITT BURNS	041	LPX	B	50,000	9.88	n/a	11-Sep	2,500.00	5.0
GRANITE CAPITAL	045	LULU	B	10,000	23.11	n/a	n/a	500.00	5.0
WHEELER	008	LZ	B	2,000	46.00	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	MAN	B	2,000	44.44	n/a	n/a	100.00	5.0
SATELITE/BOBBY	044	MAR	SS	25,000	25.59	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		MBFG IM	S	3,727	21.30	n/a	n/a	non-brg	4.3
COBALT	041	MER	B	100,000	26.49	24-Jun	19-Sep	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SATELITE/BOBBY	044	MKC	B	20,000	38.57	n/a	n/a	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MSSR</b>	<b>SS</b>	<b>15,000</b>	<b>9.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>WHEELER</b>	<b>008</b>	<b>MWG</b>	<b>S</b>	<b>10,050</b>	<b>13.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	MWV	B	30,000	24.88	n/a	11-Sep	1,200.00	4.0
COUGAR TRADING	044	M	B	5,000	17.93	12-Aug	29-Aug	250.00	5.0
JP MORGAN VENTURES	046	NTY	S	49,000	29.10	n/a	n/a	2,450.00	5.0
PORTER-ORLIN-FELDMAN	044	NUE	B	50,000	45.16	n/a	n/a	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>NWY</b>	<b>B</b>	<b>40,000</b>	<b>9.79</b>	<b>n/a</b>	<b>17-Sep</b>	<b>1,600.00</b>	<b>4.0</b>
PORTER-ORLIN-FELDMAN	044	OFC	B	25,000	38.86	n/a	n/a	1,250.00	5.0
COLUMBIA PARTNERS	028	PDCO	SS	26,609	30.27	n/a	n/a	1,064.36	4.0
TRUSTCO/SUNTRUST	040	PKG	B	46,100	23.16	11-Sep	22-Sep	1,475.20	3.2
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>S</b>	<b>10,000</b>	<b>35.44</b>	<b>21-Aug</b>	<b>5-Sep</b>	<b>non-brg</b>	<b>4.0</b>
HOTCHKIS & WILEY	035	PM	B	537,900	49.86	n/a	n/a	26,895.00	5.0
COUGAR TRADING	044	PSUN	B	10,000	6.61	n/a	5-Sep	400.00	4.0
MS PRINCIPAL STRATEGIES	041	PTNR	B	10,412	19.33	n/a	n/a	520.60	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		PVH+JH	SS	2,000	1.22	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		PVH	B	50,000	38.03	n/a	28-Aug	non-brg	2.0
BUCK CAPITAL MGMT		PVH	S	2,000	38.05	n/a	28-Aug	non-brg	4.0
SATELITE/BOBBY	044	PZZA	B	6,000	28.06	n/a	24-Apr	240.00	4.0
ZOLIDIS	011	QUKJF	B	6	0.60	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		QZS+MF	C	1,000	8.48	n/a	n/a	non-brg	300.0
J.W. SELIGMAN	041	RIMM	B	50,000	97.09	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		RRGB	SS	50,000	27.51	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		RTH	B	225,000	89.22	n/a	n/a	non-brg	2.0
PORTER-ORLIN-FELDMAN	044	R	B	30,000	61.30	n/a	n/a	1,500.00	5.0
REINHART & MAHONEY	031	SEE	B	5,000	22.92	n/a	n/a	250.00	5.0
BAIRD INVESTMENT	031	SII	S	4,720	64.58	n/a	n/a	236.00	5.0
TRUST CO/COWEN/CALF.	040	SLE	B	250,000	13.01	n/a	n/a	10,000.00	4.0
BUCK CAPITAL MGMT		SONC	SS	50,000	15.24	n/a	n/a	non-brg	2.5
N STRATTON	014	SPRO	B	50	3.55	n/a	n/a	0.00	0.0
LUCHANSKY	036	SPY	B	100	118.21	n/a	n/a	0.00	0.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY1	036	SPY	B	100	118.59	n/a	n/a	0.00	0.0
KNOTT PARTNERS	044	SSI	B	23,900	14.47	n/a	5-Sep	956.00	4.0
⌘ BUCK CAPITAL MGMT		TEN	SS	6,600	13.16	n/a	n/a	non-brg	1.0
SCOPUS FUND	041	TEN	B	44,800	13.89	n/a	n/a	2,240.00	5.0
COUGAR TRADING	044	TIF	B	100	36.50	n/a	17-Sep	5.00	5.0
BMO NESBITT BURNS	041	TIN	B	25,000	15.95	11-Sep	22-Sep	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		TJX	B	75,000	31.71	11-Aug	5-Sep	non-brg	4.0
COUGAR TRADING	044	TJX	SS	10,000	31.60	11-Aug	5-Sep	500.00	5.0
⌘ BUCK CAPITAL MGMT		TPX	S	1,500	13.87	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TRLG	S	1,000	28.16	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TRLG	S	10,000	28.75	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	TR	B	10,000	28.93	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		TUY+KH	SS	1,000	1.40	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		TXRH	SS	25,000	8.80	n/a	n/a	non-brg	4.0
AMATURO	016	UQM	S	15,000	2.67	n/a	n/a	0.00	0.0
S CAST	016	UQM	S	775	2.72	n/a	n/a	0.00	0.0
HART	056	UQM	S	1,770	2.70	n/a	n/a	0.00	0.0
CROWLEY	061	UQM	S	6,100	2.69	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		URBN	S	20,000	34.17	14-Aug	17-Sep	non-brg	4.0

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⌘ BUCK CAPITAL MGMT		URBN	S	3,000	34.40	14-Aug	17-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		URBN	S	50,000	35.16	14-Aug	17-Sep	non-brg	4.0
KAICHER	064	VMW	S	1,000	29.01	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	S	20,000	45.76	8-Aug	28-Aug	800.00	4.0
⌘ BUCK CAPITAL MGMT		WRC	S	2,000	45.53	8-Aug	28-Aug	non-brg	4.0
SATELITE/BOBBY	044	WISLA	B	15,000	3.60	n/a	n/a	600.00	4.0
FORD FDN.	032	WYE	B	55,000	36.97	n/a	31-Mar	2,750.00	5.0
COUGAR TRADING	044	YUM	B	2,000	34.72	14-Aug	19-Sep	100.00	5.0
⌘ BUCK CAPITAL MGMT		ZLC+WD	SS	1,000	1.53	n/a	n/a	non-brg	300.0
<b>TOTAL (Trade Date):</b>							<b>149,576.65</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	09/19	ADAGE CAPITAL MGMT	030	AEO	B	462,100	16.85	n/a	5-Sep	18484.00 4.0
AO,CXL	09/19	ADAGE CAPITAL MGMT	030	AEO	B	462,100	16.85	n/a	5-Sep	18484.00 4.0
AO,	09/23	NOMURA CAPITAL	045	CLUB	B	8,100	7.47	n/a	n/a	324.00 4.0
AO,CXL	09/23	NOMURA CAPITAL	045	CLUB	B	8,100	7.47	n/a	n/a	324.00 4.0
AO,CRT	09/23	PILOT ADV	038	FDO	SS	500	26.70	23-Jul	5-Sep	20.00 4.0
AO,CXL	09/23	PILOT ADV	038	FDO	SS	500	26.70	23-Jul	5-Sep	0.00 0.0
AO,CXL	09/17	FORWARD MGMT	033	GNW	B	2,000	10.04	n/a	n/a	100.00 5.0
AO,CRT	09/17	FORWARD MGMT	033	GNW	B	2,000	10.04	n/a	n/a	100.00 5.0
AO,CRT	09/18	JMP ASSET MGMT	210	SNIC	S	7,800	4.81	n/a	n/a	234.00 3.0
AO,CXL	09/18	JMP ASSET MGMT	210	SNIC	S	7,800	4.81	n/a	n/a	390.00 5.0
AO,CXL	09/17	FORWARD MGMT	033	STZ	S	2,400	21.53	n/a	n/a	120.00 5.0
AO,CRT	09/17	FORWARD MGMT	033	STZ	S	2,400	21.53	n/a	n/a	120.00 5.0
AO,CRT	09/23	SANDLER O'NEILL ASSET	031	WDR	S	20,000	25.15	15-Jul	19-Sep	1000.00 5.0
AO,CXL	09/23	SANDLER O'NEILL ASSET	031	WDR	S	20,000	25.15	15-Jul	19-Sep	600.00 3.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>264.00</b>

TOTAL (Trade Date):	149,576.65
TOTAL (AO, CXL, CRT):	264.00

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TOTAL (RUN DATE) / NFS:

149,840.65

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MRI Securities with Trade Activity on 24-Sep.

HSC

MRI Securities without Trade Activity on 24-Sep.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 8%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION