



Daily Compliance Activity Report

Trade Date: 06/19/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WASHINGTON ASSET	048	ABG	SS	10,000	13.75	10-Jun	10-Jun	500.00	5.0
HUNTER GLOBAL INVESTOR	067	ACE	B	34,400	58.41	n/a	n/a	1,720.00	5.0
ZOLIDIS	011	AEO	B	600	16.57	6-Mar	6-Jun	0.00	0.0
CATTANI	041	AEO	B	300	16.58	6-Mar	6-Jun	0.00	0.0
TRELLUS PARTNERS LP	028	AG	SS	10,000	57.01	n/a	23-May	500.00	5.0
COOKBIELER	028	ALV	B	17,835	51.49	17-Apr	9-Jun	713.40	4.0
CATTANI	041	AMX	C	300	53.46	n/a	n/a	0.00	0.0
LEDDY	035	ARGN	B	1,300	9.44	n/a	9-Jun	0.00	0.0
CAXTON PART	040	AXP	S	121,710	41.58	n/a	n/a	4,259.85	3.5
BUCK	015	BAC	C	1,500	27.53	n/a	16-Jun	0.00	0.0
SUNOVA CAPITAL	031	BEN	S	40,000	101.53	24-Mar	9-Jun	2,000.00	5.0
TRUST CO/COWEN/CALF.	040	BE	S	1,599,856	0.75	n/a	n/a	15,998.56	1.0
CAXTON PART	040	BRCM	B	100,000	26.54	n/a	n/a	3,500.00	3.5
BUCK	015	BUDUK	B	15	1.20	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	BWA	SS	10,000	50.80	10-Jun	10-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	BWA	SS	500	49.87	10-Jun	10-Jun	0.00	0.0
BENCHMARK	028	BZP	SS	7,500	26.32	n/a	n/a	375.00	5.0
FRONTPOINT CHRIS C	041	CAT	SS	3,200	79.48	n/a	23-May	128.00	4.0
JMP ASSET MGMT	210	CHIC	SS	3,000	18.15	n/a	n/a	150.00	5.0
ENTRUST CAPITAL	040	CKR	S	116,700	12.24	n/a	n/a	5,835.00	5.0
BUCK	015	CMA	C	1,200	27.95	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	CMCSK	SS	379,000	20.13	n/a	n/a	18,950.00	5.0
BAIRD INVESTMENT	031	CMG	S	3,100	92.70	n/a	24-Apr	155.00	5.0
POST ROAD CAP	045	CPKI	SS	20,000	13.29	n/a	9-May	600.00	3.0
DIAMONDBACK CAP MGMT	232	CSCO	SS	200,000	24.96	n/a	22-Mar	10,000.00	5.0
BRANDWINE	028	CTR	S	55,250	16.10	n/a	n/a	2,210.00	4.0
WASHINGTON ASSET	048	CVH	S	10,000	31.31	n/a	n/a	500.00	5.0
GRUSS CAPITAL	045	DEI	B	11,000	22.82	n/a	n/a	330.00	3.0
ENTRUST CAPITAL	040	DPZ	B	13,200	12.20	n/a	24-Apr	660.00	5.0
CATAPULT	040	EMN	SS	45,000	73.79	n/a	n/a	2,250.00	5.0
EPOCH INV MGMT	030	ENDP	S	3,400	24.23	n/a	19-Jun	136.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DIAMONDBACK CAP MGMT	232	ERIC	SS	100,000	11.77	n/a	n/a	5,000.00	5.0
EPOCH INV MGMT	030	FIC	S	3,950	23.20	n/a	n/a	158.00	4.0
GRUSS CAPITAL	045	FOR	B	6,000	23.56	n/a	n/a	180.00	3.0
CATAPULT	040	GET	SS	2,700	24.40	n/a	n/a	108.00	4.0
CATAPULT	040	GIL	S	53,000	26.76	30-Apr	28-May	2,120.00	4.0
COUGAR TRADING	044	GPS	B	10,000	17.50	n/a	6-Jun	500.00	5.0
UBS OCONNOR	031	GS	S	45,000	182.37	19-Mar	17-Jun	1,350.00	3.0
CUMBERLAND	038	HTLD	B	25,000	14.96	n/a	n/a	1,000.00	4.0
POST ROAD CAP	045	ICFI	B	30,000	17.20	n/a	n/a	900.00	3.0
OTC ERROR	099	IMMR	B	3,900	7.41	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	IRIS	S	2,300	16.24	n/a	n/a	92.00	4.0
SUNOVA CAPITAL	031	IVZ	S	75,000	26.07	10-Apr	17-Jun	3,750.00	5.0
BUCK CAPITAL MGMT	001	JAH	B	40,000	20.61	n/a	n/a	1,600.00	4.0
COUGAR TRADING	044	JCG	B	5,000	33.72	n/a	30-May	250.00	5.0
FRIESS MGMT	033	JCG	B	210,700	34.10	n/a	30-May	8,428.00	4.0
JP MORGAN VENTURES	046	JNY	B	30,000	15.32	n/a	1-May	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KORMAN	003	JPAFL	B	90	3.40	n/a	n/a	0.00	0.0
JMP ASSET MGMT	210	JRJC	B	1,000	18.32	n/a	n/a	50.00	5.0
WEISS PECK	040	KMX	SS	11,700	16.14	n/a	10-Jan	585.00	5.0
FIRST NY SEC/CHRIS	041	KR	B	25,000	26.51	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	KSS	SS	10,000	43.88	n/a	6-Jun	500.00	5.0
WOOSTER CAPITAL	035	KSS	B	50,000	44.68	n/a	6-Jun	2,500.00	5.0
EPOCH INV MGMT	030	LDR	S	1,750	59.75	n/a	n/a	70.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	16.09	n/a	14-May	400.00	4.0
PROVIDENT INV COUNSEL	048	LKQX	S	137,200	16.99	n/a	n/a	4,116.00	3.0
FRONTPOINT	044	MCRS	B	6,600	31.22	n/a	n/a	264.00	4.0
EPOCH INV MGMT	030	MEOH	S	4,700	31.00	n/a	n/a	188.00	4.0
BUCK	015	MER	SS	2,000	37.50	18-Jan	16-Jun	0.00	0.0
BUCK	015	MHS	B	500	44.32	n/a	n/a	0.00	0.0
FRONTPOINT CHRIS C	041	MI	SS	6,400	17.05	n/a	n/a	256.00	4.0
EPOCH INV MGMT	030	MVSN	S	10,600	15.79	n/a	n/a	424.00	4.0
BRANDWINE	028	MWV	B	47,600	25.15	7-Apr	13-May	1,904.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STG INVESTORS	041	M	B	65,000	21.68	7-Feb	2-Jun	2,600.00	4.0
TRIVIUM CAP MGMT	251	M	B	50,000	20.99	7-Feb	2-Jun	2,000.00	4.0
PROSPECTOR PARTNERS LLC	036	NCR	B	16,300	26.61	n/a	n/a	706.00	4.3
EPOCH INV MGMT	030	NLC	S	5,600	23.19	n/a	n/a	224.00	4.0
CASTLE ARK	031	NOK	S	407,940	24.52	n/a	n/a	24,476.40	6.0
DIAMONDBACK CAP MGMT	232	ORCL	B	100,000	22.55	n/a	n/a	5,000.00	5.0
GEOSPHERE CAPITAL MGMT	044	PBRA	B	100,000	55.04	n/a	n/a	3,000.00	3.0
FRONTPOINT CHRIS C	041	PNC	SS	4,400	57.03	n/a	n/a	176.00	4.0
WALKER SMITH	031	PPC	SS	62,700	14.92	n/a	n/a	3,135.00	5.0
COLUMBIA PARTNERS	028	PRKR	S	14,300	11.13	n/a	n/a	572.00	4.0
DIAMONDBACK CAP MGMT	232	QCOM	S	50,000	48.95	n/a	n/a	2,500.00	5.0
CUMBERLAND	038	QQQQ	B	100,000	48.14	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	RL	B	25,000	65.89	7-Feb	29-May	1,000.00	4.0
COUGAR TRADING	044	RL	B	35,000	65.01	7-Feb	29-May	1,750.00	5.0
GEOSPHERE CAPITAL MGMT	044	RRC	SS	100,000	63.42	n/a	n/a	3,000.00	3.0
COUGAR TRADING	044	SDS	B	10,000	61.83	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	SLBTS	S	10	2.50	n/a	n/a	0.00	0.0
FRONTPOINT CHRIS C	041	SPY	B	5,600	134.42	n/a	n/a	224.00	4.0
CATAPULT	040	SSCC	S	140,929	5.76	7-Apr	23-Apr	7,046.45	5.0
BARR	096	STI	SS	1,000	34.08	n/a	n/a	0.00	0.0
BRANDWINE	028	SWK	B	15,200	46.43	n/a	n/a	608.00	4.0
JP MORGAN VENTURES	046	SYI	S	25,000	29.27	n/a	24-Apr	1,250.00	5.0
BUCK CAPITAL MGMT	001	TGT	SS	10,000	51.64	n/a	5-Jun	400.00	4.0
WOOSTER CAPITAL	035	TGT	B	50,000	51.91	n/a	5-Jun	2,500.00	5.0
CATAPULT	040	TIN	B	132,978	13.42	29-Apr	29-Apr	6,648.90	5.0
PERRY PARTNERS	041	TTWO	B	5,500	26.28	n/a	n/a	165.00	3.0
COUGAR TRADING	044	TWB	B	10,000	18.01	17-Mar	22-May	500.00	5.0
N STRATTON	014	TWIN	S	100	23.00	n/a	n/a	0.00	0.0
PALMYRA CAPITAL	048	TXI	SS	500	69.68	n/a	n/a	25.00	5.0
COUGAR TRADING	044	URBN	SS	40,000	32.99	15-May	15-May	2,000.00	5.0
LEDDY	035	VETS	S	900	4.25	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	VFC	S	10,000	71.89	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FRONTPOINT CHRIS C	041	VMI	SS	1,200	106.02	n/a	n/a	48.00	4.0
BUCK CAPITAL MGMT	001	WMT	B	10,000	57.77	13-May	10-Jun	400.00	4.0
CATTANI	041	WY	B	200	55.63	7-Apr	27-May	0.00	0.0
TOTAL (Trade Date):							188,368.56		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	06/18	PATTEN & PATTEN (PATTFSG BA)	067	ADBE	B	700	41.27	n/a	n/a	0.00	0.0
AO,CXL	06/18	PATTEN & PATTEN (PATTFSG BA)	067	ADBE	S	700	41.31	n/a	n/a	0.00	0.0
AO,CXL	06/18	PATTEN & PATTEN (PATTFSG BA)	067	ADBE	S	50	41.27	n/a	n/a	2.50	5.0
AO,CRT	06/18	PATTEN & PATTEN (PATTSUNTRU)	067	ADBE	S	50	41.27	n/a	n/a	2.50	5.0
AO,	06/18	TRELLUS PARTNERS LP (TRELMMERR)	028	ARGN	B	884	9.41	n/a	9-Jun	26.52	3.0
AO,	06/18	TRELLUS PARTNERS LP (TRELGS)	028	ARGN	B	884	9.41	n/a	9-Jun	26.52	3.0
AO,	06/18	TRELLUS PARTNERS LP (TRELMAIN)	028	ARGN	B	18,232	9.41	n/a	9-Jun	546.96	3.0
AO,CXL	06/18	NEWLAND CAPITAL MGT (NEWLGS)	067	ETN	B	1,700	94.15	18-Jun	18-Jun	85.00	5.0
AO,CRT	06/18	NEWLAND CAPITAL MGT (NEWLGS)	067	ETN	B	1,700	94.15	18-Jun	18-Jun	68.00	4.0
AO,	06/18	OTC ERROR (OTC ERROR)	099	IMMR	S	3,900	7.41	n/a	n/a	0.00	0.0
AO,	06/18	J.W. SELIGMAN (JWSESSFC)	041	M	B	194,400	21.42	7-Feb	2-Jun	7776.00	4.0
AO,	06/18	J.W. SELIGMAN (JWSESSAC)	041	M	B	5,600	21.42	7-Feb	2-Jun	224.00	4.0

TOTAL (AO, CXL, CRT) :**8,583.00**

TOTAL (Trade Date):

188,368.56

TOTAL (AO, CXL, CRT):

8,583.00

TOTAL (RUN DATE) / NFS:**196,951.56**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION