



Daily Compliance Activity Report

Trade Date: 06/21/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	035	AB	S	200	87.73	15-Jun	20-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	AEO	B	10,000	26.66	n/a	12-Jun	400.00	4.0
COUGAR TRADING	044	AEO	B	15,000	26.45	n/a	12-Jun	750.00	5.0
BUCK	015	AMQVZ	B	10	1.15	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ANF	B	25,000	76.56	n/a	8-Jun	non-brg	5.0
COUGAR TRADING	044	ANN	B	15,000	36.92	25-May	8-Jun	750.00	5.0
BUCK	015	AYR	S	1,000	40.07	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	BGC	S	20,000	75.83	n/a	n/a	1,000.00	5.0
SUNOVA CAPITAL	031	BLK	SS	20,000	155.25	25-May	20-Jun	1,000.00	5.0
BEAR STEARNS ASSET MGMT.	033	BNI	SS	9,700	85.50	n/a	n/a	388.00	4.0
WHITE PINE CAPITAL	030	BONT	S	600	44.33	25-May	8-Jun	30.00	5.0
LEDDY	035	BONT	S	1,300	44.90	25-May	8-Jun	0.00	0.0
BUCK CAPITAL MGMT		BSC	B	5,000	141.26	n/a	21-Jun	non-brg	5.0
BULL PATH CAPITAL	044	BSC	B	62,500	145.93	n/a	21-Jun	3,125.00	5.0
ARIENCE CAPITAL	036	BYD	B	25,075	50.26	n/a	27-Apr	1,253.75	5.0
TRIBECA/DOUG	040	CAG	B	25,000	25.78	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CAKE	B	40,000	24.89	24-Apr	24-Apr	non-brg	5.0
MAGUIRE	090	CALPINE CORPB		100,000	129.63	n/a	n/a	0.00	0.0
BARR	096	CALPINE CORPB		25,000	129.63	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CBI	B	3	0.17	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CBI	B	3	0.31	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CBI	SS	3	0.90	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CBI	SS	3	1.23	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CCI	B	10,000	35.05	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	COH	B	10,000	47.66	23-Feb	25-Apr	400.00	4.0
COUGAR TRADING	044	COH	S	5,000	47.40	23-Feb	25-Apr	250.00	5.0
OGORMAN	146	CPNLQ	B	2,500	3.30	n/a	13-Jun	0.00	0.0
Õ Doug Rogers		CPN	B	200	116.50	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CPWM	SS	10,000	8.20	n/a	n/a	400.00	4.0
BACKUS	005	CSCT	B	3,000	14.20	n/a	n/a	0.00	0.0
MATTHES CAPITAL MGMT	033	CSV	S	500	8.33	n/a	n/a	25.00	5.0
BEAR STEARNS ASSET MGMT.	033	CSX	SS	9,700	43.96	n/a	n/a	388.00	4.0
FRIESS MGMT	042	CVS	B	183,200	36.99	n/a	n/a	8,244.00	4.5
BUCK	015	CYTC	B	1,000	42.63	21-May	21-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLENIUM PARTNERS	028	DG	B	25,000	21.75	21-Jun	21-Jun	1,250.00	5.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	55.67	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	DSW	B	20,000	34.71	7-May	7-Jun	800.00	4.0
Õ Glenn Redemann		ECA	B	2	0.83	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ECA	B	2	1.42	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	ELY	B	20,000	18.43	n/a	n/a	750.00	3.8
Õ Glenn Redemann		ESI	B	1	1.46	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ESI	B	1	2.59	n/a	n/a	0.00	0.0
WHEELER	008	EXTR	B	15,000	3.79	n/a	22-Mar	0.00	0.0
ß BUCK CAPITAL MGMT		FINL	C	216,760	10.04	n/a	19-Jun	non-brg	5.0
SSI INVESTORS	048	FL	B	37,128	20.97	24-May	21-Jun	1,485.12	4.0
WHITE PINE CAPITAL	030	GGG	S	700	40.46	n/a	n/a	35.00	5.0
ß BUCK CAPITAL MGMT		GIII	B	15,000	16.41	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIII	B	25,000	16.51	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIII	B	31,500	16.55	n/a	n/a	non-brg	1.0
CASTLE ARK	031	GIL	B	56,600	35.45	21-Jun	21-Jun	3,396.00	6.0
FRIESS MGMT	042	GME	B	229,900	39.97	n/a	n/a	10,345.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		GPI	B	20,000	41.35	27-Apr	2-May	non-brg	5.0
ZOLIDIS	011	HLYS	B	200	27.59	n/a	n/a	0.00	0.0
KANTROWIT	N21	HLYS	B	60	27.24	n/a	n/a	0.00	0.0
BUCK	015	HOLX	SS	780	56.08	n/a	n/a	0.00	0.0
AVESTA CAP	229	HON	S	25,000	56.35	31-May	31-May	1,250.00	5.0
SPRINGBOC	039	IESC	SS	29,100	31.50	n/a	n/a	1,455.00	5.0
B BUCK CAPITAL MGMT		IRF	C	30,000	35.95	n/a	n/a	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	IT	B	33,500	25.00	n/a	n/a	1,340.00	4.0
TRELLUS PARTNERS LP	028	JCP	B	20,000	73.76	21-Jun	21-Jun	1,000.00	5.0
ADAGE CAPITAL MGMT	030	KMX	SS	100,000	26.33	n/a	10-Jan	4,000.00	4.0
B BUCK CAPITAL MGMT		KSS	B	15,000	69.95	14-Jun	14-Jun	non-brg	5.0
THE JAY GOLDMAN	034	KSS	S	20,000	70.62	14-Jun	14-Jun	800.00	4.0
ROTHSCHILD ASSET	040	LLY	S	43,880	56.79	n/a	n/a	1,974.60	4.5
DISIPLINED GROWTH INV.	030	LTD	S	3,200	27.00	16-May	8-Jun	160.00	5.0
B BUCK CAPITAL MGMT		LTM	B	40,000	54.71	n/a	n/a	non-brg	5.0
DISIPLINED GROWTH INV.	030	LVL	S	11,900	5.55	30-Apr	12-Jun	595.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SENTINAL CAPITAL	067	MAR	S	6,400	45.43	n/a	n/a	320.00	5.0
BUCK	015	MERHR	S	10	2.20	n/a	n/a	0.00	0.0
KERN	050	MERHR	B	20	2.30	n/a	n/a	0.00	0.0
BUCK	015	MERTP	S	15	1.55	n/a	n/a	0.00	0.0
WELLINGTON MGMT.	035	MPW	S	6,600	13.36	n/a	n/a	264.00	4.0
MAZAMA CAPITAL MGMT.	035	MSO	B	40,000	17.32	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	M	S	80,000	38.39	17-May	8-Jun	3,200.00	4.0
B BUCK CAPITAL MGMT		M	S	50,000	38.21	17-May	8-Jun	non-brg	2.0
COUGAR TRADING	044	M	B	15,000	38.84	17-May	8-Jun	750.00	5.0
TRIBECA/DOUG	040	NAL	B	93,800	14.93	n/a	n/a	3,752.00	4.0
DARUMA ASSET MGMT INC	034	NDN	B	100,000	12.43	16-Jan	18-Jun	5,000.00	5.0
WHITE PINE CAPITAL	030	NOK	S	1,300	27.93	n/a	n/a	65.00	5.0
MILLENIUM PARTNERS	028	OO	B	10,000	28.50	n/a	n/a	500.00	5.0
Õ Glenn Redemann		OXY	B	2	0.70	n/a	n/a	0.00	0.0
Õ Glenn Redemann		OXY	B	2	1.00	n/a	n/a	0.00	0.0
ADAGE CAPITAL MGMT	030	PIR	B	100,000	8.32	n/a	n/a	4,000.00	4.0
WELLS/STRONG LEDDY	035	PIR	S	118,100	8.27	n/a	n/a	4,724.00	4.0
BUCK	015	PNRA	SS	700	46.35	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	PRU	S	1,400	99.05	n/a	n/a	70.00	5.0
⚡ BUCK CAPITAL MGMT		PVH	C	14,900	58.43	31-May	31-May	non-brg	5.0
Õ Glenn Redemann		RCL	B	2	0.68	n/a	2-May	0.00	0.0
Õ Glenn Redemann		RCL	B	2	1.17	n/a	2-May	0.00	0.0
NICOLAS APPLGATE	048	RIMG	B	2,300	33.67	n/a	n/a	92.00	4.0
COUGAR TRADING	044	RL	B	5,000	98.81	21-Jun	21-Jun	250.00	5.0
FRIESS MGMT	042	SKS	B	249,300	21.27	n/a	n/a	11,218.50	4.5
COUGAR TRADING	044	SKX	B	5,000	30.96	n/a	13-Mar	250.00	5.0
ACT II PARTNERS	067	S	SS	50,000	22.01	n/a	n/a	2,000.00	4.0
COUGAR TRADING	044	TIF	B	10,000	50.25	1-Jun	13-Jun	500.00	5.0
DISCIPLINED GROWTH INV.	030	TJX	S	3,800	27.52	21-Mar	8-Jun	190.00	5.0
RIVER RUN MGMT	031	TRMP	SS	30,000	14.47	n/a	n/a	1,500.00	5.0
⚡ BUCK CAPITAL MGMT		TSCO	B	5,000	52.44	n/a	n/a	non-brg	5.0
BACKUS	005	TYC	S	4,000	32.80	19-Jun	19-Jun	0.00	0.0
SARAS CAPITAL	038	UAG	SS	10,000	22.03	n/a	9-May	500.00	5.0
⚡ BUCK CAPITAL MGMT		URBN	B	70,000	23.97	2-Apr	11-May	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	URBN	B	15,000	23.94	2-Apr	11-May	750.00	5.0
FRIESS MGMT	042	URBN	C	473,900	23.92	2-Apr	11-May	21,325.50	4.5
JP MORGAN VENTURES	046	URBN	C	14,100	23.90	2-Apr	11-May	705.00	5.0
DAVIDSON TRUST CO	033	WFC	B	2,150	35.76	n/a	n/a	107.50	5.0
B BUCK CAPITAL MGMT		WSO	B	10,000	56.61	n/a	n/a	non-brg	5.0
WELLINGTON MGMT.	035	WTSLA	S	10,800	6.04	n/a	n/a	432.00	4.0
WELLINGTON MGMT.	035	XIDE	S	22,461	8.94	n/a	n/a	898.44	4.0
Ö Glenn Redemann		XOM	B	2	0.78	n/a	n/a	0.00	0.0
Ö Glenn Redemann		XOM	B	2	1.22	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :								115,203.91	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/20	SIGMA CAPITAL ASSOCIATES	044	ALTR	SS	25,000	22.62	n/a	n/a	1250.00 5.0
AO,CRT	06/20	SIGMA CAPITAL ASSOCIATES	044	ALTR	SS	25,000	22.62	n/a	n/a	1250.00 5.0
AO,	06/20	NEUBERGER BERMAN	036	CENT	S	18,000	12.75	8-Feb	7-Jun	720.00 4.0
AO,	06/20	NEUBERGER BERMAN	036	HCSG	B	7,000	28.00	n/a	n/a	280.00 4.0
TOTAL (AO, CXL, CRT) :										1,000.00

TOTAL (Trade Date) :	115,203.91
TOTAL (AO, CXL, CRT) :	1,000.00

TOTAL (RUN DATE) / NFS:	116,203.91
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MRI Securities with Trade Activity on 21-Jun.
DG, GIL, JCP, RL

MRI Securities without Trade Activity on 21-Jun.
MS

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 15%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION