



# Daily Compliance Activity Report

Trade Date: 01/16/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	10,000	81.96	n/a	n/a	non-brg	4.0
RAINIER INV MGMT	035	ADS	B	5,900	41.83	n/a	n/a	295.00	5.0
COUGAR TRADING	044	AEO	SS	10,000	10.18	n/a	9-Jan	500.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	20,000	20.46	7-Aug	9-Jan	800.00	4.0
BUCK CAPITAL MGMT		AVP	SS	30,000	20.55	3-Nov	9-Dec	non-brg	4.0
BULL PATH CAPITAL	044	BAC	S	32,500	8.83	16-Jan	16-Jan	1,625.00	5.0
KAREN F LEDDY	FID	BAC	B	1000	7.05	16-Jan	16-Jan	0.00	0.0
BUCK CAPITAL MGMT		BARE	S	100,000	4.97	n/a	n/a	non-brg	1.5
BUCK CAPITAL MGMT		BARE	S	100,000	5.00	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BARE	S	113,500	4.98	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BARE	S	50,000	4.97	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BARE	S	50,000	4.99	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BBY	SS	100,000	27.67	n/a	27-Jul	non-brg	4.0
FRANCIS MICHAEL MCCA	FID	BBY	S	100	29.57	n/a	27-Jul	0.00	0.0
JONATHAN RICHARD HAR	FID	BGZ	S	30	68.61	n/a	n/a	0.00	0.0
JOSEPH CIRO AMATURO	FID	BGZ	B	500	65.33	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BHQ+QD[BBBY]	SS	1,000	1.35	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		BHQ+QW[BBBY]	SS	1,000	0.82	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		BKE	S	20,000	20.10	n/a	n/a	non-brg 4.0
MILLRACE FUND LP	028	BWA	B	10,600	18.68	16-Dec	12-Jan	424.00 4.0
BUCK CAPITAL MGMT		BWLD	C	34,504	23.83	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		CCL+PC[CCL]	SS	2,000	0.85	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		CCL	SS	100,000	20.90	n/a	26-Jan	non-brg 2.0
KAREN F LEDDY	FID	CIT	B	2500	3.03	17-Dec	9-Jan	0.00 0.0
BUCK CAPITAL MGMT		CJY+OG[CMG]	SS	1,000	1.15	n/a	n/a	non-brg 300.0
MANNY I KORMAN	FID	COFME	B	30	5.18	n/a	n/a	0.00 0.0
MANNY I KORMAN	FID	COFNX	S	30	2.45	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT	001	CRI	B	20,000	17.44	n/a	n/a	800.00 4.0
BUCK CAPITAL MGMT		C	S	5,000	3.76	15-Sep	14-Jan	non-brg 1.0
BUCK CAPITAL MGMT		DIA	C	80,900	83.10	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		DIA	SS	43,000	81.43	n/a	n/a	non-brg 2.0
MANNY I KORMAN	FID	DKSMR	B	40	0.50	n/a	n/a	0.00 0.0
KEVIN MICHAEL O'GORM	FID	DXO	B	4000	2.83	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		EAT	SS	70,000	9.29	n/a	24-Apr	non-brg 3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRUST CO/COWEN/CALF.	040	EL	B	136,000	25.58	20-Jan	29-Oct	6,800.00	5.0
CAVU CAP ADV	028	ETN	SS	12,500	48.11	7-Jan	7-Jan	625.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>EV</b>	<b>S</b>	<b>1000</b>	<b>18.39</b>	<b>9-Jan</b>	<b>16-Jan</b>	<b>0.00</b>	<b>0.0</b>
CUMBERLAND	038	EXH	B	11,900	22.74	n/a	16-Dec	476.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FDO+NY[FDO]</b>	<b>SS</b>	<b>1,000</b>	<b>1.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
FIRST NY SEC/CHRIS	041	FMCN	B	10,000	7.98	n/a	n/a	600.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>B</b>	<b>26,000</b>	<b>13.22</b>	<b>10-Nov</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>B</b>	<b>50,000</b>	<b>13.18</b>	<b>10-Nov</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>FOSL</b>	<b>B</b>	<b>300</b>	<b>13.05</b>	<b>10-Nov</b>	<b>12-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>FXP</b>	<b>B</b>	<b>500</b>	<b>43.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>80,000</b>	<b>16.48</b>	<b>n/a</b>	<b>n/a</b>	<b>3,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>B</b>	<b>19,400</b>	<b>5.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>B</b>	<b>2,800</b>	<b>4.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>100,000</b>	<b>12.06</b>	<b>11-Dec</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>275,000</b>	<b>11.98</b>	<b>11-Dec</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>75,000</b>	<b>12.01</b>	<b>11-Dec</b>	<b>12-Dec</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	GIL	S	10,000	12.21	11-Dec	12-Dec	500.00	5.0
BALYASNY ASSET MGMT	044	HAR	SS	10,000	19.19	n/a	26-Nov	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COOPER CREEK PART	028	HAR	SS	5,000	18.30	n/a	26-Nov	200.00	4.0
HOLDEN CAPITAL MGMT	035	HAR	SS	58,000	18.78	n/a	26-Nov	2,900.00	5.0
SCOPUS FUND	041	HAR	SS	59,400	18.44	n/a	26-Nov	2,970.00	5.0
HIGHBRIDGE/MS OLDS	041	HAS	SS	50,000	26.77	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HOTT</b>	<b>SS</b>	<b>20,000</b>	<b>9.15</b>	<b>n/a</b>	<b>9-Jan</b>	<b>non-brg</b>	<b>3.0</b>
POST ROAD CAP	045	ICFI	S	20,000	24.47	n/a	n/a	600.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>IYR</b>	<b>SS</b>	<b>310,000</b>	<b>32.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
SOROS	036	IYR	SS	200,000	33.57	n/a	n/a	6,000.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCG+CB[JCG]</b>	<b>SS</b>	<b>3,000</b>	<b>1.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>100,000</b>	<b>9.74</b>	<b>n/a</b>	<b>9-Jan</b>	<b>3,000.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>B</b>	<b>100,000</b>	<b>9.60</b>	<b>n/a</b>	<b>9-Jan</b>	<b>non-brg</b>	<b>2.0</b>
COUGAR TRADING	044	JCI	B	15,000	15.84	9-Jan	9-Jan	750.00	5.0
TRELLUS PARTNERS LP	028	JCI	SS	40,000	15.73	9-Jan	9-Jan	2,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>SS</b>	<b>50,000</b>	<b>18.80</b>	<b>9-Jan</b>	<b>9-Jan</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	JCP	S	17,500	19.06	9-Jan	9-Jan	875.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>40,000</b>	<b>29.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
HARVEST MGMT	039	JWN	SS	25,000	12.98	9-Jan	9-Jan	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>KCP</b>	<b>B</b>	<b>5,819</b>	<b>6.61</b>	<b>n/a</b>	<b>28-Sep</b>	<b>non-brg</b>	<b>1.0</b>
THE BOSTON COMPANY	401	KCP	B	13,602	6.59	n/a	28-Sep	544.08	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KSS	S	50,000	37.52	n/a	9-Jan	2,000.00	4.0
f MANNY I KORMAN	FID	MARNC	S	75	0.80	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	MGA	S	1000	29.46	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	MHSPH	S	20	2.80	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	MHS	S	1500	43.22	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MS	S	1,500	15.75	18-Dec	14-Jan	non-brg	1.0
f MANNY I KORMAN	FID	NDNMB	B	68	0.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	NDN	B	50,000	9.65	31-Oct	9-Jan	1,500.00	3.0
SIGMA CAPITAL ASSOCIATES	237	NVDA	S	25,000	7.88	n/a	n/a	1,250.00	5.0
CENTURION GLOBAL MGMT LL	041	NVLS	B	16,700	13.89	n/a	n/a	501.00	3.0
FIRST NY SEC/CHRIS	041	NWSA	B	50,000	7.94	n/a	n/a	2,500.00	5.0
B BUCK CAPITAL MGMT		PFCB	SS	20,000	18.54	8-Jan	8-Jan	non-brg	4.0
S.A.C. CAP/ORENSTEIN	044	PHM	S	100,000	10.91	n/a	n/a	5,000.00	5.0
B BUCK CAPITAL MGMT		PLCE	S	50,000	19.94	6-Jan	9-Jan	non-brg	4.0
COUGAR TRADING	044	PLCE	B	5,000	19.79	6-Jan	9-Jan	250.00	5.0
SERENGETI MGMT	030	PLCE	B	65,000	20.34	6-Jan	9-Jan	3,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	PVH	B	140,000	18.80	18-Dec	18-Dec	5,600.00	4.0
CATAPULT	040	PXD	SS	31,200	16.00	5-Nov	20-Nov	1,560.00	5.0
Ⓕ BUCK CAPITAL MGMT		QUK+BL[DECK]	SS	200	4.44	n/a	n/a	non-brg	300.0
Ⓕ BUCK CAPITAL MGMT		QZS+DG[JOSB]	SS	1,000	2.00	n/a	n/a	non-brg	300.0
Ⓕ BUCK CAPITAL MGMT		QZS+DH[JOSB]	SS	1,000	0.90	n/a	n/a	non-brg	100.0
STERLING JOHNSTON	035	RDEN	S	45,600	6.95	20-Jan	7-Nov	1,824.00	4.0
BUCK CAPITAL MGMT	001	RL	B	120,000	40.98	n/a	6-Nov	4,800.00	4.0
COUGAR TRADING	044	ROST	S	9,472	30.79	n/a	n/a	473.60	5.0
POST ROAD CAP	045	SAI	S	4,400	19.45	n/a	n/a	132.00	3.0
f JOHN MICHAEL ZOLIDIS	FID	SDS	S	50	77.16	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	SDS	S	55	77.78	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SDS	B	1000	81.37	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		SHOO	C	28,764	19.22	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	SHOO	S	1000	19.30	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		SKF	S	1,200	153.02	n/a	n/a	non-brg	1.0
f JOHN MICHAEL ZOLIDIS	FID	SKF	S	100	139.34	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	SKF	S	300	138.78	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SPG	S	1500	44.36	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SPY	C	78,600	85.67	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		SPY	SS	42,000	83.57	n/a	n/a	non-brg	2.0
WILLIAM J GAULT	FID	SRS	B	1500	62.10	n/a	n/a	0.00	0.0
DAVID GEORGE BUCK	FID	STI	B	1500	20.25	n/a	n/a	0.00	0.0
WASHINGTON ASSET	048	SWN	B	10,000	28.54	19-Dec	19-Dec	500.00	5.0
KEVIN MICHAEL O'GORM	FID	TBT	B	200	39.53	n/a	n/a	0.00	0.0
KEVIN MICHAEL O'GORM	FID	TBT	B	400	39.38	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	TEL	B	12,800	15.92	n/a	n/a	512.00	4.0
BMO NESBITT BURNS	041	TIN	S	50,000	3.75	5-Dec	5-Dec	2,500.00	5.0
BUCK CAPITAL MGMT		TSCO	SS	27,000	33.09	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		TWB	S	20,000	3.49	n/a	6-Jan	non-brg	2.0
BUCK CAPITAL MGMT		TWB	S	25,680	3.00	n/a	6-Jan	non-brg	1.0
HIGHBRIDGE/MS OLDS	041	TWC	SS	75,000	18.97	n/a	4-Jan	3,750.00	5.0
JONATHAN RICHARD HAR	FID	TZA	S	32	57.34	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		URBN	B	20,000	16.33	5-Nov	9-Jan	non-brg	4.0
BUCK CAPITAL MGMT		URQ+CD [URBN]	SS	2,000	0.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		URQ+CW [URBN]	SS	2,000	1.55	n/a	n/a	non-brg	300.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		URQ+FX[URBN]	SS	2,000	1.15	n/a	n/a	non-brg 300.0	
	NATIONAL BK OF CAN	031	USB	S	20,000	17.86	n/a	n/a	800.00	4.0
f	WILLIAM J GAULT	FID	UYG	B	30000	3.66	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		WMT+BK[WMT]	SS	2,000	0.90	n/a	n/a	non-brg 100.0	
	PNC/MERCANTILE	028	WMT	S	124,592	51.48	10-Oct	8-Jan	6,229.60	5.0
B	BUCK CAPITAL MGMT		WRC+DE[WRC]	SS	1,000	1.27	n/a	n/a	non-brg 300.0	
B	BUCK CAPITAL MGMT		WYNN	C	100,000	36.57	n/a	n/a	non-brg 1.0	
	WASHINGTON ASSET	048	XLE	SS	6,000	46.44	n/a	n/a	300.00	5.0
B	BUCK CAPITAL MGMT		XRT	SS	100,000	19.98	n/a	n/a	non-brg 2.0	
B	BUCK CAPITAL MGMT		XRT	SS	425,000	20.34	n/a	n/a	non-brg 1.0	
TOTAL (Trade Date):									85,966.28	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	01/14	TRUSTCO/SUNTRUST	040	ALV	B	18,200	19.82	26-Nov	16-Dec	637.00 3.5
AO,CRT	01/14	TRUSTCO/SUNTRUST	040	ALV	B	18,200	19.82	26-Nov	16-Dec	582.40 3.2
AO,	01/15	OZ MGMT	040	BAC	B	800,000	8.82	16-Jan	16-Jan	24000.00 3.0
AO,	01/15	ROTHSCHILD ASSET	040	BAC	S	83,300	8.35	16-Jan	16-Jan	3748.50 4.5
AO,CRT	01/15	GREENLIGHT CAPITAL	041	CPN	B	153,100	7.46	n/a	n/a	6124.00 4.0
AO,CXL	01/15	GREENLIGHT CAPITAL	041	CPN	B	153,100	7.46	n/a	n/a	6124.00 4.0
AO,	01/15	OZ MGMT	040	GT	S	116,699	6.19	n/a	n/a	3500.97 3.0
AO,	01/15	COLUMBUS CIRCLE	036	MS	B	142,000	16.43	18-Dec	14-Jan	5680.00 4.0
AO,CXL	01/15	CAVU CAP ADV	028	UNP	S	1,375	41.23	n/a	n/a	68.75 5.0
AO,CRT	01/15	CAVU CAP ADV	028	UNP	S	1,375	41.23	n/a	n/a	68.75 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>36,874.87</b>
TOTAL (Trade Date) :										85,966.28
TOTAL (AO, CXL, CRT) :										36,874.87
<b>TOTAL (RUN DATE) / NFS:</b>										<b>122,841.15</b>

MRI Securities with Trade Activity on 16-Jan.

BAC

MRI Securities without Trade Activity on 16-Jan.

JPM

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 17%

YTD = 22%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)