



Daily Compliance Activity Report

Trade Date: 08/13/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	30,000	13.68	6-Mar	8-Aug	1,500.00	5.0
COUGAR TRADING	044	AEO	S	20,000	13.53	6-Mar	8-Aug	1,000.00	5.0
IRIDIAN ASSET MGMT.	036	ALV	S	25,000	41.02	23-Jul	30-Jul	1,250.00	5.0
NOMURA CAPITAL	045	ALY	B	3,600	14.73	n/a	n/a	180.00	5.0
TYEE/TYGH	035	AMG	B	90,800	88.62	23-Jul	5-Aug	3,632.00	4.0
BALYASNY ASSET MGMT	044	ANF	B	10,000	51.19	7-Aug	8-Aug	500.00	5.0
BUCK CAPITAL MGMT	001	ANN	SS	30,000	25.08	n/a	11-Jul	1,200.00	4.0
BUCK CAPITAL MGMT	001	AN	SS	40,000	11.51	25-Jul	28-Jul	1,600.00	4.0
MTB INV ADV	034	ARO	S	17,000	33.62	28-Apr	8-Aug	850.00	5.0
BUCK CAPITAL MGMT	001	BBY	SS	20,000	45.44	n/a	27-Jul	800.00	4.0
MTB INV ADV	034	BIG	S	13,300	33.35	29-May	8-Aug	665.00	5.0
ZOLIDIS	011	BKRS	S	794	2.65	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	BOH	B	8,620	54.00	n/a	n/a	431.00	5.0
WEISS PECK	040	BWS	S	15,300	17.24	n/a	n/a	765.00	5.0
MTB INV ADV	034	BYI	S	16,950	32.89	n/a	n/a	847.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD POINT MGMT	044	CAKE	C	70,000	16.71	n/a	24-Apr	2,800.00	4.0
SIGMA CAPITAL ASSOCIATES	237	CEC	B	50,000	36.51	n/a	n/a	2,500.00	5.0
LEDDY	035	CHK	B	350	45.17	n/a	n/a	0.00	0.0
CATTANI	041	CHK	S	300	47.40	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	CLMS	SS	2,400	21.27	13-Aug	13-Aug	120.00	5.0
PERRY PARTNERS	041	CPS	S	100	49.00	n/a	n/a	3.00	3.0
MS PRINCIPAL STRATEGIES	041	CVBF	B	37,683	11.05	n/a	n/a	1,884.15	5.0
CATTANI	041	C	B	500	18.15	19-Jun	31-Jul	0.00	0.0
PERRY PARTNERS	041	DBD	S	2,000	38.92	12-Aug	12-Aug	60.00	3.0
ZOLIDIS	011	DECK	S	100	122.25	n/a	n/a	0.00	0.0
CASTLE ARK	031	DE	S	156,850	62.10	n/a	23-May	9,411.00	6.0
BUCK CAPITAL MGMT	001	DKS	S	10,000	18.36	7-Jul	9-Jul	400.00	4.0
BALYASNY ASSET MGMT	044	FDO	B	50,000	23.91	23-Jul	8-Aug	2,500.00	5.0
JP MORGAN VENTURES	046	FL	S	30,000	14.40	11-Aug	11-Aug	1,500.00	5.0
COUGAR TRADING	044	FOSL	SS	5,000	30.88	n/a	13-Aug	250.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	40,000	30.26	n/a	10-Jan	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GES	B	20,000	35.86	n/a	n/a	800.00	4.0
VENTANA CAPITAL	060	GIL	B	7,500	24.53	30-Apr	18-Jul	375.00	5.0
WEISS PECK	040	GIL	B	56,600	25.28	30-Apr	18-Jul	2,830.00	5.0
J COHEN	N27	GLW	B	50	20.88	n/a	30-Jul	0.00	0.0
LEDDY	035	GOOG	S	30	499.55	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GPI	SS	20,000	23.05	10-Jun	30-Jul	1,000.00	5.0
PAULSON & CO INC	041	GS	SS	100,000	164.89	12-Aug	12-Aug	3,000.00	3.0
BUCK CAPITAL MGMT	001	HBI	S	180,000	23.33	n/a	n/a	7,200.00	4.0
STELLIAM INV ADV	040	HOV	B	50,000	6.92	n/a	n/a	1,500.00	3.0
COUGAR TRADING	044	JCG	B	5,000	28.10	n/a	30-May	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	27.61	n/a	30-May	250.00	5.0
BUCK CAPITAL MGMT	001	JCP	SS	50,000	35.51	n/a	8-Aug	2,000.00	4.0
CASEY CAPITAL	067	JNY	SS	9,000	17.94	n/a	30-Jul	450.00	5.0
WEISS PECK	040	JNY	C	20,900	17.80	n/a	30-Jul	1,045.00	5.0
COUGAR TRADING	044	JWN	S	5,500	29.64	10-Jul	8-Aug	275.00	5.0
WOOSTER CAPITAL	035	JWN	B	50,000	29.75	10-Jul	8-Aug	2,500.00	5.0
MCCARTAN	028	KMT	SS	200	33.27	n/a	3-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	KSS	B	10,000	48.14	n/a	8-Aug	500.00	5.0
BMO NESBITT BURNS	041	KSS	B	25,000	47.27	n/a	8-Aug	1,250.00	5.0
BMO NESBITT BURNS	041	KSS	SS	25,000	48.04	n/a	8-Aug	1,250.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	25,000	47.74	n/a	8-Aug	1,000.00	4.0
CASEY CAPITAL	067	KSS	SS	5,000	47.91	n/a	8-Aug	250.00	5.0
COUGAR TRADING	044	KSS	B	10,000	47.18	n/a	8-Aug	500.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	47.40	n/a	8-Aug	250.00	5.0
GLG PARTNERS	034	KSS	B	25,000	47.48	n/a	8-Aug	1,250.00	5.0
BUCK CAPITAL MGMT	001	LOW	SS	20,000	22.71	n/a	27-Jul	800.00	4.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	50,000	9.62	n/a	4-Aug	2,500.00	5.0
COUGAR TRADING	044	LTD	B	5,000	18.12	n/a	8-Aug	250.00	5.0
STELLIAM INV ADV	040	LUV	B	25,000	14.66	n/a	n/a	1,250.00	5.0
MTB INV ADV	034	MVL	S	22,800	32.98	n/a	n/a	1,140.00	5.0
BALYASNY ASSET MGMT	044	M	B	25,000	19.69	12-Aug	12-Aug	1,250.00	5.0
BMO NESBITT BURNS	041	M	SS	25,000	19.96	12-Aug	12-Aug	1,250.00	5.0
BUCK CAPITAL MGMT	001	M	S	100,000	19.56	12-Aug	12-Aug	4,000.00	4.0
COUGAR TRADING	044	M	B	10,000	19.86	12-Aug	12-Aug	500.00	5.0
WAGONHOUND/NIC	048	M	C	15,768	19.94	12-Aug	12-Aug	630.72	4.0
NOMURA CAPITAL	045	NYT	B	4,300	13.15	n/a	n/a	215.00	5.0
MATRIX ASSET ADV.	036	OMC	B	5,500	43.68	n/a	n/a	220.00	4.0
MTB INV ADV	034	PNRA	S	10,100	53.25	n/a	6-Aug	505.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LITESPEED	028	PPC	B	200,000	15.83	n/a	n/a	6,000.00	3.0
MERRILL ASSET	032	PRU	S	35,700	71.66	n/a	n/a	1,785.00	5.0
N STRATTON	014	PRXI	S	500	4.50	n/a	n/a	0.00	0.0
ARIS	041	PSD	B	50,000	27.18	n/a	n/a	1,500.00	3.0
BUCK CAPITAL MGMT	001	PVH	S	85,000	38.36	5-May	18-Jul	3,400.00	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	S	17,700	38.18	5-May	18-Jul	663.75	3.8
THOMSON HORSTMAN BRYANT	040	PVH	B	23,300	38.48	5-May	18-Jul	1,165.00	5.0
DURANGO INVESTMENTS	031	QLGC	S	10,000	19.42	n/a	n/a	400.00	4.0
N STRATTON	014	RAH	S	130	60.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	SS	30,000	68.76	n/a	7-Aug	1,200.00	4.0
COUGAR TRADING	044	RL	SS	5,000	69.07	n/a	7-Aug	250.00	5.0
BUCK CAPITAL MGMT	001	RSH	SS	30,000	17.36	n/a	n/a	1,200.00	4.0
LAGRANGE CAP ADV	048	SAPE	S	46,000	8.77	n/a	n/a	2,300.00	5.0
ZOLIDIS	011	SHOO	SS	500	25.74	n/a	n/a	0.00	0.0
MCCARTAN	028	SHOO	SS	100	25.71	n/a	n/a	0.00	0.0
WHEELER	008	SPNC	S	10,000	9.51	n/a	n/a	0.00	0.0
STELLIAM INV ADV	040	SSCC	B	15,000	5.07	30-Jul	30-Jul	450.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	SSO	S	5,000	61.46	n/a	n/a	250.00	5.0
CATTANI	041	SU	B	300	51.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SYU	SS	30,000	31.28	n/a	24-Apr	1,200.00	4.0
LEDDY	035	TEX	S	200	47.82	24-Jul	12-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	SS	20,000	48.10	n/a	8-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	TJX	S	80,000	35.37	11-Aug	12-Aug	3,200.00	4.0
WEISS PECK	040	TJX	C	11,600	35.47	11-Aug	12-Aug	580.00	5.0
COUGAR TRADING	044	TWB	B	30,000	7.99	17-Mar	13-Aug	1,500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TWB	B	50,000	8.16	17-Mar	13-Aug	2,500.00	5.0
MERRILL ASSET	032	UTI	S	32,600	16.59	n/a	n/a	1,467.00	4.5
TRELLUS PARTNERS LP	028	VC	S	150,000	3.30	n/a	31-Jul	4,500.00	3.0
LEDDY	035	WLT	S	150	84.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	S	160,000	48.93	8-Aug	8-Aug	6,400.00	4.0
BUCK CAPITAL MGMT	001	WWW	SS	60,000	26.54	n/a	13-Mar	2,400.00	4.0
CSFB GLOBAL PROPRIETARY	041	WY	B	35,000	52.10	n/a	6-Aug	1,750.00	5.0
S.A.C. CAPITAL MGMT.	044	XLE	B	50,000	73.25	n/a	n/a	2,500.00	5.0

TOTAL (Trade Date):

130,245.12

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	08/12	DURANGO INVESTMENTS (DURACREDIT)	031	LNCR	S	10,000	33.60	n/a	22-Jul	400.00	4.0
AO,CXL	08/12	LITESPEED (LITEUBS)	028	PPC	B	150,000	17.06	n/a	n/a	7500.00	5.0
AO,CRT	08/12	LITESPEED (LITEUBS)	028	PPC	B	150,000	17.06	n/a	n/a	4500.00	3.0
AO,CXL	08/12	COUGAR TRADING (COUGPB MS)	044	PSUN	B	10,000	8.00	n/a	8-Aug	400.00	4.0
AO,CRT	08/12	COUGAR TRADING (COUGPB MS)	044	PSUN	B	10,000	8.00	n/a	8-Aug	400.00	4.0
AO,CXL	08/08	MKT MAKER (MKT MAKER)	099	PVH	S	1,695	39.39	5-May	18-Jul	0.00	0.0
AO,CRT	08/08	MKT MAKER (MKT MAKER)	099	PVH	S	1,695	39.39	5-May	18-Jul	0.00	0.0
AO,	08/12	DURANGO INVESTMENTS (DURACREDIT)	031	QLGC	S	8,000	19.30	n/a	n/a	320.00	4.0
AO,CRT	08/12	ZOLIDIS (ZOLIDIS)	011	QUKIH	S	6	3.10	n/a	n/a	0.00	0.0
AO,CXL	08/12	ZOLIDIS (ZOLIDIS)	011	QUKIH	S	6	3.10	n/a	n/a	0.00	0.0
AO,CXL	08/11	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RVI	B	50,000	4.80	n/a	n/a	2000.00	4.0
AO,CRT	08/11	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RVI	B	50,000	4.80	n/a	n/a	1500.00	3.0
AO,CXL	08/12	STG INVESTORS (STGIGOLDMA)	041	WY	B	200	51.98	n/a	6-Aug	10.00	5.0
AO,CRT	08/12	STG INVESTORS (STGIGOLDMA)	041	WY	B	200	51.98	n/a	6-Aug	8.00	4.0
AO,CXL	08/12	STG INVESTORS (STGIBANC O)	041	WY	B	4,100	51.98	n/a	6-Aug	205.00	5.0
AO,CRT	08/12	STG INVESTORS (STGIBANC O)	041	WY	B	4,100	51.98	n/a	6-Aug	164.00	4.0
AO,CXL	08/12	STG INVESTORS (STGIMAIN)	041	WY	B	5,700	51.98	n/a	6-Aug	285.00	5.0
AO,CRT	08/12	STG INVESTORS (STGIMAIN)	041	WY	B	5,700	51.98	n/a	6-Aug	228.00	4.0
TOTAL (AO, CXL, CRT) :										-2,880.00	

TOTAL (Trade Date):	130,245.12
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TOTAL (AO, CXL, CRT):	-2,880.00
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TOTAL (RUN DATE) / NFS:	127,365.12
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION