



Daily Compliance Activity Report

Trade Date: 03/10/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAPITAL	038	ABX	B	72,000	26.53	n/a	n/a	3,600.00	5.0
f BARBARA S LEDDY	FID	AB	B	200	10.95	20-Feb	9-Mar	0.00	0.0
f KAREN F LEDDY	FID	AB	B	600	10.94	20-Feb	9-Mar	0.00	0.0
B BUCK CAPITAL MGMT		ACC	C	3,000	17.28	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	AEO	B	20,000	9.03	n/a	6-Mar	600.00	3.0
POST ROAD CAP	045	ALV	B	2,100	13.29	30-Jan	4-Feb	63.00	3.0
B BUCK CAPITAL MGMT		AMB	C	7,700	11.78	n/a	n/a	non-brg	4.0
EVERCORE WEALTH MGMT	012	AMX	S	6,700	25.50	n/a	n/a	335.00	5.0
COUGAR TRADING	044	AN	B	5,000	10.80	30-Jan	30-Jan	250.00	5.0
f ANDREW SINCLAIR	FID	APCEI	S	950	0.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ARE	C	10,000	36.04	n/a	n/a	non-brg	4.0
1798 CAPITAL MGMT	040	ARO	B	5,000	24.10	n/a	6-Mar	250.00	5.0
B BUCK CAPITAL MGMT		AVB	C	5,000	48.16	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	AVP	B	60,000	15.79	25-Feb	25-Feb	2,400.00	4.0
EPOCH INV MGMT	030	BA	B	16,200	31.76	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	BA	S	16,200	32.57	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BBY	C	160,000	27.40	n/a	27-Jul	non-brg	4.0
BALYASNY ASSET MGMT	044	BEN	C	10,000	41.76	10-Mar	10-Mar	500.00	5.0
BELLMAN WALTERSS CAP	091	BEN	B	25,000	41.88	10-Mar	10-Mar	1,250.00	5.0
f JONATHAN RICHARD HAR	FID	BGZ	B	5	99.96	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	B	1000	101.91	n/a	n/a	0.00	0.0
f STEFFANIE CARMELA CA	FID	BGZ	B	30	99.51	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	BID	B	40,000	7.29	n/a	n/a	2,000.00	5.0
RBC CAPITAL MARKETS	034	BJ	SS	10,000	29.68	n/a	27-Jul	400.00	4.0
BUCK CAPITAL MGMT	001	BKC	B	50,000	22.18	6-Feb	3-Mar	2,000.00	4.0
EVERCORE WEALTH MGMT	012	BK	S	3,000	20.33	21-Jan	26-Feb	150.00	5.0
SANDLER O'NEILL ASSET	031	BLK	S	3,800	99.90	4-Mar	9-Mar	190.00	5.0
B BUCK CAPITAL MGMT		BMR	C	5,700	7.53	n/a	n/a	non-brg	3.0
B BUCK CAPITAL MGMT		BRE	C	3,500	20.99	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	BSX	B	74,200	6.63	n/a	22-Apr	0.00	0.0
EPOCH INV MGMT	030	BSX	S	74,200	6.53	n/a	22-Apr	0.00	0.0
POST ROAD CAP	045	BWA	B	5,800	15.78	27-Jan	13-Feb	174.00	3.0
B BUCK CAPITAL MGMT		BXP	C	16,000	36.17	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CAB	SS	20,000	8.04	n/a	n/a	600.00	3.0
ß BUCK CAPITAL MGMT		CAKE	C	30,000	8.01	n/a	13-Feb	non-brg	3.0
ß BUCK CAPITAL MGMT		CCL	C	60,000	18.36	n/a	26-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		COH+EC[???	SS	1,000	0.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		COH+EC[???	SS	1,000	0.87	n/a	n/a	non-brg	100.0
RBC CAPITAL MARKETS	034	COST	SS	5,000	39.49	n/a	27-Jul	200.00	4.0
ß BUCK CAPITAL MGMT		CPKI	C	10,000	10.30	30-Oct	13-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		CPT	C	3,000	20.11	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CUZ	C	4,000	7.07	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	DAI	SS	20,000	26.10	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		DAL	B	184,300	4.49	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		DIS	C	50,000	16.29	n/a	n/a	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	1,700	41.25	10-Feb	6-Mar	68.00	4.0
ROTHSCHILD ASSET	040	DLTR	S	610	41.28	10-Feb	6-Mar	27.45	4.5
ß BUCK CAPITAL MGMT		DRE	C	3,800	5.20	n/a	n/a	non-brg	3.0
BMO NESBITT BURNS	041	DSW	B	26,200	7.00	14-Nov	13-Feb	1,310.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROTHSCHILD ASSET	040	DTV	S	1,340	19.79	n/a	4-Jan	60.30	4.5
f JONATHAN RICHARD HAR	FID	DXO	S	420	2.60	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		EAT	C	50,000	10.76	n/a	24-Apr	non-brg	4.0
EVERCORE WEALTH MGMT	012	EEP	B	400	26.70	n/a	n/a	20.00	5.0
B BUCK CAPITAL MGMT		EGP	C	6,700	22.44	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ELS	C	5,300	33.52	n/a	n/a	non-brg	4.0
EVERCORE WEALTH MGMT	012	EPD	B	1,800	18.89	n/a	n/a	90.00	5.0
B BUCK CAPITAL MGMT		EQR	C	8,000	19.17	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ESS	C	4,100	61.17	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		EXR	C	4,600	5.47	n/a	n/a	non-brg	3.0
f STRATTON PAR TNERSHI	FID	FBN	B	125	0.92	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	FCX	S	770	34.06	n/a	n/a	34.65	4.5
1798 CAPITAL MGMT	040	FDO	S	45,000	31.26	5-Mar	6-Mar	2,250.00	5.0
RBC CAPITAL MARKETS	034	FDO	SS	10,000	31.43	5-Mar	6-Mar	400.00	4.0
AVES	229	FDO	S	25,000	31.27	5-Mar	6-Mar	1,250.00	5.0
EATON VANCE MGMT	039	FDX	S	101,850	35.96	n/a	n/a	3,055.50	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROTHSCHILD ASSET	040	FIS	S	1,440	16.08	n/a	n/a	64.80	4.5
⌘ BUCK CAPITAL MGMT		FOSL	B	20,000	12.05	23-Jan	30-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	B	30,000	12.45	23-Jan	30-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FRT	C	25,000	43.89	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GCO	S	20,000	13.68	n/a	10-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GES	S	150,000	14.19	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	GE	B	200,000	8.36	n/a	n/a	6,000.00	3.0
⌘ BUCK CAPITAL MGMT		GIII	B	5,000	3.44	n/a	n/a	non-brg	3.0
CAXTON PART	040	GIS	B	12,462	50.20	n/a	n/a	436.20	3.5
⌘ BUCK CAPITAL MGMT		GNTX	SS	20,000	7.81	30-Jan	30-Jan	non-brg	3.0
EVERCORE WEALTH MGMT	012	GOOG	B	85	307.21	n/a	n/a	4.25	5.0
UBS OCONNOR	031	GPS	S	200,000	10.42	n/a	30-Jan	6,000.00	3.0
⌘ BUCK CAPITAL MGMT		GQU+EX[???	SS	1,000	0.51	n/a	n/a	non-brg	100.0
EVERCORE WEALTH MGMT	012	GR	B	4,700	32.39	4-Feb	4-Feb	235.00	5.0
⌘ BUCK CAPITAL MGMT		GSIC	B	50,000	10.28	n/a	n/a	non-brg	2.5
EVERCORE WEALTH MGMT	012	GS	B	50	84.04	15-Sep	26-Feb	2.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
UBS OCONNOR	031	GS	B	44,000	79.90	15-Sep	26-Feb	1,320.00	3.0
COUGAR TRADING	044	HAR	B	5,000	10.50	5-Feb	5-Feb	250.00	5.0
ROTHSCHILD ASSET	040	HAS	S	1,000	22.27	n/a	n/a	45.00	4.5
CADIAN CAPITAL	067	HIBB	B	40,000	14.29	n/a	n/a	1,600.00	4.0
SIGMA CAPITAL ASSOCIATES	237	HIBB	SS	25,000	14.56	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		HIW	C	3,500	18.45	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HME	C	2,000	28.39	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HOG	C	50,000	9.20	n/a	n/a	non-brg	3.0
ROTHSCHILD ASSET	040	IBM	S	520	84.97	n/a	n/a	23.40	4.5
POST ROAD CAP	045	IGN	B	7,500	14.67	n/a	n/a	225.00	3.0
T. ROWE PRICE	033	ITW	S	75,300	27.57	30-Jan	17-Feb	3,012.00	4.0
UBS OCONNOR	031	IVZ	B	50,000	10.76	10-Mar	10-Mar	1,500.00	3.0
POST ROAD CAP	045	IWR	B	4,200	47.95	n/a	n/a	126.00	3.0
⌘ BUCK CAPITAL MGMT		IYR	C	70,000	24.11	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		JCG	S	100,000	9.65	n/a	30-Jan	non-brg	3.0
⌘ BUCK CAPITAL MGMT		JCG	S	200,000	9.61	n/a	30-Jan	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JCP	C	120,000	15.00	6-Feb	6-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EVERCORE WEALTH MGMT	012	JPM	B	5,900	19.19	16-Jan	27-Feb	295.00	5.0
B BUCK CAPITAL MGMT		KIM	C	11,500	8.51	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	KMT	SS	10,000	14.28	n/a	30-Jan	400.00	4.0
KARSCH CAPITAL	034	KMX	SS	75,000	10.32	n/a	10-Jan	2,250.00	3.0
SUN AMERICA ASSET MGMT	030	KSS	S	15,100	36.91	n/a	6-Mar	604.00	4.0
B BUCK CAPITAL MGMT		LEN	S	30,000	7.52	n/a	n/a	non-brg	3.0
BMO NESBITT BURNS	041	LM	B	25,400	11.16	29-Jan	9-Mar	1,270.00	5.0
GREEN ARROW CAPITAL	034	LM	B	25,000	11.95	29-Jan	9-Mar	1,250.00	5.0
B BUCK CAPITAL MGMT		LRY	C	3,400	18.76	n/a	n/a	non-brg	4.0
EVERCORE WEALTH MGMT	012	MA	B	120	147.99	23-Dec	13-Feb	6.00	5.0
1798 CAPITAL MGMT	040	MCD	SS	20,000	52.56	13-Oct	9-Mar	1,000.00	5.0
NOMURA CAPITAL	045	MIR	S	20,431	10.00	n/a	n/a	817.24	4.0
EVERCORE WEALTH MGMT	012	MON	B	450	77.63	n/a	22-Jan	22.50	5.0
ROTHSCHILD ASSET	040	MRO	B	61,740	22.52	n/a	n/a	2,778.30	4.5
EVERCORE WEALTH MGMT	012	MSFT	B	2,400	16.40	n/a	3-Apr	120.00	5.0
SANDLER O'NEILL ASSET	031	MS	SS	100,000	19.81	18-Dec	26-Feb	5,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	MW	SS	46,700	10.74	n/a	n/a	1,868.00	4.0
ß BUCK CAPITAL MGMT		MW	SS	70,000	10.78	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	M	B	20,000	7.33	n/a	6-Mar	600.00	3.0
COUGAR TRADING	044	M	B	5,000	7.58	n/a	6-Mar	200.00	4.0
BMO NESBITT BURNS	041	NCX	B	20,000	5.61	n/a	n/a	600.00	3.0
BUCK CAPITAL MGMT	001	NKE	B	20,000	40.53	n/a	n/a	800.00	4.0
EVERCORE WEALTH MGMT	012	NOK	S	13,000	9.68	n/a	n/a	650.00	5.0
PROSPECTOR PARTNERS LLC	036	NP	B	20,000	5.48	10-Mar	10-Mar	800.00	4.0
ß BUCK CAPITAL MGMT		OFC	C	6,500	23.44	n/a	n/a	non-brg	4.0
f NEIL M STRATTON	FID	OPTI	S	1000	1.77	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	034	ORLY	SS	10,000	33.31	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		O	C	3,800	16.22	n/a	n/a	non-brg	4.0
ARTISAN PARTNERS LP	304	PCAR	B	80,000	22.10	20-Nov	2-Feb	4,000.00	5.0
CHILTON CAPITAL MGMT.	036	PCX	S	150,000	3.15	n/a	n/a	4,500.00	3.0
ß BUCK CAPITAL MGMT		PETM	C	50,000	17.58	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PKY	C	4,000	10.06	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		PLCE	B	10,000	21.22	6-Jan	6-Mar	non-brg	4.0
BUCK CAPITAL MGMT		PLCE	B	40,000	21.39	6-Jan	6-Mar	non-brg	2.0
BUCK CAPITAL MGMT		PLCE	B	40,000	21.50	6-Jan	6-Mar	non-brg	2.5
BUCK CAPITAL MGMT		PSB	C	6,800	31.87	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		PSS	S	200,000	9.45	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	PVH	B	59,300	15.89	22-Jan	22-Jan	2,372.00	4.0
COUGAR TRADING	044	PVH	B	5,000	15.97	22-Jan	22-Jan	250.00	5.0
KAREN F LEDDY	FID	PXD	S	400	13.95	5-Nov	3-Feb	0.00	0.0
BUCK CAPITAL MGMT		QAA+GT[???	SS	500	5.90	n/a	n/a	non-brg	300.0
WAGONHOUND/NIC	048	QQQQ	B	50,000	27.17	n/a	n/a	1,500.00	3.0
BUCK CAPITAL MGMT		QUK+FJ[???	SS	300	3.75	n/a	n/a	non-brg	300.0
FIRST NEW YORK NICK	029	Q	S	20,000	3.00	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT		REG	C	10,200	26.21	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	RJF	B	117,600	13.56	n/a	n/a	4,116.00	3.5
BUCK CAPITAL MGMT		RL	C	50,000	34.14	n/a	5-Feb	non-brg	4.0
BUCK CAPITAL MGMT		RL	SS	10,000	34.32	n/a	5-Feb	non-brg	4.0
BUCK CAPITAL MGMT		ROST	SS	20,000	31.05	n/a	n/a	non-brg	4.0

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⚡ BUCK CAPITAL MGMT		RRGB	C	10,000	13.49	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SBAC	S	40,000	22.94	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	SKDCF	S	60	11.17	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	SKF	B	28000	199.87	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	SKS	B	25,000	1.95	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	30,000	5.65	n/a	13-Mar	900.00	3.0
SCOPUS FUND	041	SKX	B	10,000	5.45	n/a	13-Mar	500.00	5.0
EPOCH INV MGMT	030	SLGN	B	17,700	47.39	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	SLGN	S	17,700	47.08	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SLG	C	16,800	11.76	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SLG	SS	20,000	11.18	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SONC	C	50,000	6.57	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		SPG	C	20,000	31.56	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SPLS	SS	50,000	15.52	n/a	27-Jul	non-brg	4.0
MATRIX ASSET ADV.	036	SPLS	S	5,000	15.69	n/a	27-Jul	150.00	3.0
KARSCH CAPITAL	034	SPY	C	31,000	70.86	n/a	n/a	620.00	2.0
⚡ BUCK CAPITAL MGMT		SSS	C	3,800	18.95	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SUI	C	9,400	9.00	n/a	n/a	non-brg	3.0
ANDREW SINCLAIR	FID	SZCRR	B	100	5.20	n/a	n/a	0.00	0.0
ANDREW SINCLAIR	FID	TBTFX	S	75	3.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		TCO	C	21,000	15.94	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		THI	C	40,000	23.62	n/a	24-Apr	non-brg	4.0
BUCK CAPITAL MGMT		TIF+TB[???	SS	1,000	0.60	n/a	n/a	non-brg	100.0
MASTERS CAPITAL MANAGE	091	TIN	B	100,000	2.93	5-Dec	28-Jan	3,000.00	3.0
THE JAY GOLDMAN	034	TIN	S	10,000	3.00	5-Dec	28-Jan	300.00	3.0
ADAGE CAPITAL MGMT	030	TJX	B	600,000	23.00	n/a	6-Mar	24,000.00	4.0
BALYASNY ASSET MGMT	044	TJX	SS	90,000	22.93	n/a	6-Mar	4,500.00	5.0
THIRD COAST CAPITAL	035	TRLG	B	10,000	8.08	n/a	n/a	500.00	5.0
JONATHAN RICHARD HAR	FID	TZA	B	5	97.00	n/a	n/a	0.00	0.0
NICOLAS EVAN PAKLER	FID	TZA	S	62	98.15	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		UAUA	B	200,000	4.24	n/a	n/a	non-brg	2.0
MTB INV ADV	034	UA	S	47,950	13.78	n/a	13-Feb	1,947.50	4.7
BUCK CAPITAL MGMT		UDR	C	283	8.20	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		UNFI	C	20,000	14.94	n/a	n/a	non-brg	4.0

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⌘ BUCK CAPITAL MGMT		URQ+FD[???	SS	1,000	0.82	n/a	n/a	non-brg	100.0
EVERCORE WEALTH MGMT	012	UTX	B	200	40.66	n/a	n/a	10.00	5.0
⌘ BUCK CAPITAL MGMT		VNO	C	36,700	34.80	n/a	n/a	non-brg	4.0
EVERCORE WEALTH MGMT	012	VOD	S	218	16.52	n/a	n/a	10.90	5.0
1798 CAPITAL MGMT	040	WAG	B	5,000	22.73	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		WEN	C	50,000	4.03	n/a	24-Apr	non-brg	3.0
⌘ BUCK CAPITAL MGMT		WINN	C	20,000	8.73	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		WMS	C	50,000	17.68	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	WMT	B	30,000	47.94	10-Oct	6-Mar	1,200.00	4.0
CAXTON PART	040	WMT	S	28,047	48.16	10-Oct	6-Mar	981.65	3.5
SOROS	040	WMT	B	300,000	48.22	10-Oct	6-Mar	12,000.00	4.0
⌘ BUCK CAPITAL MGMT		WRC	B	19,000	17.31	27-Feb	27-Feb	non-brg	2.0
⌘ BUCK CAPITAL MGMT		WRC	B	25,000	18.15	27-Feb	27-Feb	non-brg	1.0
⌘ BUCK CAPITAL MGMT		WRC	B	260,000	18.43	27-Feb	27-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WRC	B	28,305	18.13	27-Feb	27-Feb	non-brg	1.0
⌘ BUCK CAPITAL MGMT		WRC	B	34,795	18.15	27-Feb	27-Feb	non-brg	1.0
⌘ BUCK CAPITAL MGMT		WRC	B	40,000	18.21	27-Feb	27-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WRC	B	50,000	17.63	27-Feb	27-Feb	non-brg	2.5
⌘ BUCK CAPITAL MGMT		WRC	B	50,000	18.13	27-Feb	27-Feb	non-brg	1.5
⌘ BUCK CAPITAL MGMT		WRI	C	32,300	9.91	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUN AMERICA ASSET MGMT	030	WTI	S	100,000	5.45	n/a	n/a	4,000.00	4.0
Ⓕ BUCK CAPITAL MGMT		WYN	B	50,000	3.75	n/a	n/a	non-brg	2.0
Ⓕ BUCK CAPITAL MGMT		XRT	C	200,000	19.71	n/a	n/a	non-brg	1.0
Ⓕ BUCK CAPITAL MGMT		YUM	C	191,200	24.47	n/a	5-Feb	non-brg	4.0
TOTAL (Trade Date):								142,011.14	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/09	MAIRS AND POWER INV	030	BAX	S	20,000	51.63	n/a	22-Apr	1000.00 5.0
AO,CXL	03/09	MAIRS AND POWER INV	030	BAX	S	20,000	51.63	n/a	22-Apr	1000.00 5.0
AO,	03/09	NEWCASTLE FUNDS	033	KR	S	100,000	19.51	n/a	n/a	4000.00 4.0
TOTAL (AO, CXL, CRT) :										4,000.00

TOTAL (Trade Date) :	142,011.14
TOTAL (AO, CXL, CRT) :	4,000.00

TOTAL (RUN DATE) / NFS:	146,011.14
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MRI Securities with Trade Activity on 10-Mar. BEN, IVZ, NP
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MRI Securities without Trade Activity on 10-Mar. NONE
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 11% YTD = 24%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)