



# Daily Compliance Activity Report

Trade Date: 05/11/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		AAPL	S	5,000	568.61	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ABG	S	75,000	26.25	n/a	27-Apr	non-brg	4.0
BESSEMER INVESTMENT	085	ACE	B	495	76.23	n/a	n/a	19.80	4.0
BESSEMER INVESTMENT	085	ACN	B	455	59.07	n/a	n/a	18.20	4.0
f PATRICK O'GRADY	FID	ALDA	S	650	2.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ANN	S	30,000	27.01	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	S	50,000	19.84	n/a	n/a	non-brg	4.0
SIMMONS VENTURES	041	AVP	B	5,000	20.35	n/a	2-May	200.00	4.0
WELLSPRING CAPITAL	093	AXE	B	10,000	67.89	n/a	26-Apr	400.00	4.0
f LBP ENTERPRISES INC	FID	AXP	S	1000	59.77	n/a	10-May	0.00	0.0
f LEE F BACKUS	FID	AXP	S	1000	59.78	n/a	10-May	0.00	0.0
B BUCK CAPITAL MGMT		BAC	B	140,000	7.51	n/a	7-May	non-brg	3.0
B BUCK CAPITAL MGMT		BBBY	B	100,000	71.64	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BBBY	B	100,000	71.64	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	BEE	S	1000	6.40	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>BONT</b>	<b>B</b>	<b>14,083</b>	<b>4.86</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
ROSEDALE CAPITAL	046	BSX	B	40,000	6.27	n/a	n/a	1,200.00	3.0
BESSEMER INVESTMENT	085	BTU	S	680	28.98	n/a	n/a	27.20	4.0
<b>f LBP ENTERPRISES INC</b>	<b>FID</b>	<b>BUD</b>	<b>S</b>	<b>1000</b>	<b>72.46</b>	<b>n/a</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
<b>f SNEJANA P GARFUNKEL</b>	<b>FID</b>	<b>BUD</b>	<b>S</b>	<b>500</b>	<b>72.45</b>	<b>n/a</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
NEWLAND CAPITAL MGT	025	CJES	S	20,000	19.63	n/a	n/a	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>S</b>	<b>20,000</b>	<b>68.53</b>	<b>n/a</b>	<b>9-May</b>	<b>non-brg</b>	<b>4.0</b>
BESSEMER INVESTMENT	085	COP	B	275	54.32	n/a	n/a	11.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CROX</b>	<b>B</b>	<b>100,000</b>	<b>17.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BESSEMER INVESTMENT	085	CTL	B	500	39.79	n/a	n/a	20.00	4.0
BESSEMER INVESTMENT	085	CVS	B	375	46.11	n/a	n/a	15.00	4.0
STATE TEACHERS RET SYS	047	CWH	B	3,300	18.73	n/a	n/a	165.00	5.0
NEUBERGER BERMAN	036	CYBX	B	8,627	39.08	n/a	n/a	345.08	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>C</b>	<b>B</b>	<b>34,200</b>	<b>29.31</b>	<b>n/a</b>	<b>7-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DDS</b>	<b>C</b>	<b>20,000</b>	<b>71.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>DECK</b>	<b>S</b>	<b>525</b>	<b>52.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KAREN F LEDDY	FID	DECK	S	400	52.83	n/a	n/a	0.00	0.0
f	CICILY S BACKUS	FID	DFZ	S	2000	12.90	n/a	n/a	0.00	0.0
f	LEE F BACKUS	FID	DFZ	S	2000	12.90	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	DIS	S	1,050	45.54	n/a	n/a	42.00	4.0
B	BUCK CAPITAL MGMT		DKS	S	36,500	48.30	n/a	10-May	non-brg	4.0
	BESSEMER INVESTMENT	085	DTE	B	195	56.57	n/a	n/a	7.80	4.0
	BESSEMER INVESTMENT	085	EXC	B	395	39.01	n/a	n/a	15.80	4.0
	BESSEMER INVESTMENT	085	FCX	B	130	35.12	n/a	n/a	5.20	4.0
	BESSEMER INVESTMENT	085	FE	B	470	47.85	n/a	n/a	18.80	4.0
B	BUCK CAPITAL MGMT		FINL	S	50,000	21.63	n/a	2-Apr	non-brg	4.0
	MACELLUM CAPITAL	086	FL	B	65,000	29.19	n/a	8-May	1,950.00	3.0
	PROSPECTOR PARTNERS LLC	036	FOR	B	10,000	15.66	n/a	11-May	400.00	4.0
B	BUCK CAPITAL MGMT		GCO	S	20,000	70.15	n/a	n/a	non-brg	4.0
	BESSEMER INVESTMENT	085	GD	B	140	67.22	n/a	26-Apr	5.60	4.0
	DELTEC ASSET MGMT.	040	GE	S	20,000	19.07	n/a	n/a	1,000.00	5.0
B	BUCK CAPITAL MGMT		GIL	B	50,000	25.89	n/a	4-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		GIL	B	50,000	25.89	n/a	4-May	non-brg	4.0
DAVID GEORGE BUCK	FID	GIS	S	3000	39.29	n/a	n/a	0.00	0.0
MATTHEW FRANCIS BUCK	FID	GIS	S	500	39.30	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	GOOG	S	46	611.29	n/a	n/a	1.84	4.0
BUCK CAPITAL MGMT		GPI	S	52,800	54.06	n/a	27-Apr	non-brg	4.0
BUCK CAPITAL MGMT		GS	B	10,000	102.36	n/a	7-May	non-brg	4.0
BESSEMER INVESTMENT	085	GWW	S	1,630	197.83	n/a	19-Apr	65.20	4.0
JONATHAN RICHARD HAR	FID	HAIN	B	90	55.20	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	HBI	S	50,000	26.68	n/a	20-Apr	2,500.00	5.0
KEVIN MICHAEL O'GORM	FID	HH	B	2300	0.61	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		HLF	S	106,250	45.91	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		HLF	S	50,000	45.91	n/a	n/a	non-brg	4.0
DAVID GEORGE BUCK	FID	HNT	B	1000	26.71	n/a	n/a	0.00	0.0
MATTHEW FRANCIS BUCK	FID	HNT	B	300	26.73	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		HOT	S	1,800	56.96	n/a	13-Jun	non-brg	4.0
BUCK CAPITAL MGMT		HOT	S	1,800	56.96	n/a	13-Jun	non-brg	4.0
BUCK CAPITAL MGMT		HOT	S	28,200	56.53	n/a	13-Jun	non-brg	4.0
BUCK CAPITAL MGMT		HOT	S	28,200	56.53	n/a	13-Jun	non-brg	4.0
SONTERRA CAP	041	HTZ	B	10,000	14.74	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	IBM	B	140	201.75	n/a	n/a	5.60	4.0
BESSEMER INVESTMENT	085	INTC	S	300	27.71	n/a	n/a	12.00	4.0
COLUMBUS CIRCLE	036	IPGP	S	3,264	47.33	n/a	n/a	130.56	4.0
ARSENAL ASSET MANAGEMENT	028	IP	SS	10,000	31.32	n/a	27-Apr	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>IRG</b>	<b>B</b>	<b>290</b>	<b>14.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IRG</b>	<b>B</b>	<b>710</b>	<b>14.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>IRG</b>	<b>S</b>	<b>1,000</b>	<b>17.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
BESSEMER INVESTMENT	085	JCI	S	280	31.88	n/a	27-Apr	11.20	4.0
WELLSPRING CAPITAL	093	JCI	B	20,000	32.47	n/a	27-Apr	800.00	4.0
BESSEMER INVESTMENT	085	JNJ	B	475	64.83	n/a	n/a	19.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JPM</b>	<b>B</b>	<b>27,000</b>	<b>37.19</b>	<b>n/a</b>	<b>11-May</b>	<b>non-brg</b>	<b>4.0</b>
PORTER-ORLIN	044	JPM	S	100,000	36.65	n/a	11-May	4,000.00	4.0
STATE TEACHERS RET SYS	047	JPM	B	50,000	37.41	n/a	11-May	2,500.00	5.0
WHITE PINE CAPITAL	030	JPM	S	28,725	37.72	n/a	11-May	1,436.25	5.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>KEM</b>	<b>B</b>	<b>10000</b>	<b>7.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PERSHING SQUARE	029	KFT	S	100,000	39.04	n/a	n/a	4,000.00	4.0
BASIX	033	KMT	SS	10,000	39.12	n/a	27-Apr	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>S</b>	<b>30,000</b>	<b>48.26</b>	<b>n/a</b>	<b>11-May</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		LIZ	SS	50,000	13.38	n/a	7-Oct	non-brg	4.0
B BUCK CAPITAL MGMT		LIZ	SS	50,000	13.38	n/a	7-Oct	non-brg	4.0
HARVEST MGMT (JMP)	029	LIZ	S	20,000	13.44	n/a	7-Oct	800.00	4.0
BESSEMER INVESTMENT	085	LOW	B	355	29.98	n/a	2-May	14.20	4.0
BESSEMER INVESTMENT	085	MA	S	389	425.96	n/a	2-May	15.56	4.0
BESSEMER INVESTMENT	085	MCK	S	1,910	90.45	n/a	n/a	76.40	4.0
B BUCK CAPITAL MGMT		MGM	S	50,000	11.66	n/a	13-Jun	non-brg	4.0
BESSEMER INVESTMENT	085	MHP	B	380	47.44	n/a	n/a	15.20	4.0
f JASON ERIC COHEN	FID	MINI	B	100	15.29	n/a	n/a	0.00	0.0
f JOHN FRANCIS MCERLEA	FID	MINI	B	300	15.27	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	MINI	B	1000	15.29	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	MORN	S	1,660	55.99	n/a	n/a	66.40	4.0
f DAVID GEORGE BUCK	FID	MRK	B	1000	38.07	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	MRK	B	200	38.07	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	MRO	B	630	26.54	n/a	n/a	25.20	4.0
BESSEMER INVESTMENT	085	MSFT	B	515	31.32	n/a	n/a	20.60	4.0
BESSEMER INVESTMENT	085	MSFT	S	660	31.32	n/a	n/a	26.40	4.0
B BUCK CAPITAL MGMT		MSMSBCM	C	1,664,776	108.94	n/a	n/a	non-brg	0.2

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		MSMSBCM	C	2,131,224	108.94	n/a	n/a	non-brg	0.2
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	1,664,776	108.94	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	2,131,224	108.94	n/a	n/a	non-brg	0.0
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	50,000	109.07	n/a	n/a	non-brg	8.7
ß	BUCK CAPITAL MGMT		MS	B	68,000	14.84	n/a	7-May	non-brg	4.0
	LANE GATE PARTNERS LLC	030	MWV	B	2,500	28.01	n/a	25-Apr	75.00	3.0
	SUTTON BROOK	086	MWV	B	80,000	28.02	n/a	25-Apr	2,400.00	3.0
f	RAVI SADARANGANI	FID	MWW	S	3000	9.00	n/a	n/a	0.00	0.0
	BESSEMER INVESTMENT	085	M	B	620	38.26	n/a	10-May	24.80	4.0
ß	BUCK CAPITAL MGMT		M	S	20,000	37.94	n/a	10-May	non-brg	4.0
	BESSEMER INVESTMENT	085	NEM	B	470	46.23	n/a	n/a	18.80	4.0
	BESSEMER INVESTMENT	085	NFLX	S	666	77.57	n/a	n/a	26.64	4.0
f	JONATHAN RICHARD HAR	FID	NFLX	S	35	74.27	n/a	n/a	0.00	0.0
	NOMURA CAPITAL	029	NTK	S	700	53.11	n/a	n/a	21.00	3.0
	BESSEMER INVESTMENT	085	NTRS	S	660	45.26	n/a	7-May	26.40	4.0
ß	BUCK CAPITAL MGMT		NUS	SS	50,000	42.59	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		NUS	SS	50,000	42.59	n/a	n/a	non-brg	4.0
	PRIMECAP MGMT	084	NVDA	S	9,000	13.37	n/a	n/a	360.00	4.0
	BESSEMER INVESTMENT	085	OSK	S	1,500	21.85	n/a	n/a	60.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		PAG	S	20,000	26.05	n/a	26-Apr	non-brg	4.0
BAIRD INVESTMENT	047	PCAR	S	21,905	39.98	n/a	25-Apr	1,095.25	5.0
KAM ADVISORS LLC	041	PCAR	C	44,300	39.89	n/a	25-Apr	1,329.00	3.0
BESSEMER INVESTMENT	085	PG	S	639	64.07	n/a	3-May	25.56	4.0
ARSENAL ASSET MANAGEMENT	028	PKG	B	10,000	28.01	n/a	18-Apr	300.00	3.0
CASTLE ARK	031	PRGO	S	35,497	102.26	n/a	9-May	1,774.85	5.0
ASCEND PARTNERS	033	PVH	S	10,000	81.51	n/a	9-May	300.00	3.0
<b>B</b> BUCK CAPITAL MGMT		PVH	S	10,000	80.49	n/a	9-May	non-brg	4.0
<b>B</b> BUCK CAPITAL MGMT		PVH	S	20,000	80.49	n/a	9-May	non-brg	4.0
BESSEMER INVESTMENT	085	QCOM	S	480	62.82	n/a	n/a	19.20	4.0
SONTERRA CAP	041	RKT	B	10,000	57.35	n/a	26-Apr	400.00	4.0
BESSEMER INVESTMENT	085	ROK	S	560	78.40	n/a	n/a	22.40	4.0
<b>B</b> BUCK CAPITAL MGMT		RUSHA	B	10,000	16.67	n/a	n/a	non-brg	4.0
<b>B</b> BUCK CAPITAL MGMT		R	B	10,000	45.69	n/a	n/a	non-brg	4.0
<b>f</b> JONATHAN RICHARD HAR	FID	SBUX	B	180	55.34	n/a	27-Apr	0.00	0.0
<b>B</b> BUCK CAPITAL MGMT		SCSS	B	40,000	28.34	n/a	n/a	non-brg	4.0
<b>B</b> BUCK CAPITAL MGMT		SCSS	B	40,000	28.34	n/a	n/a	non-brg	4.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MACELLUM CAPITAL	086	SCSS	SS	35,000	27.66	n/a	n/a	1,050.00	3.0
⌘ BUCK CAPITAL MGMT		SIG	S	25,000	46.64	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	10.37	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	10.37	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	SLB	S	2,334	69.18	n/a	n/a	93.36	4.0
EPOCH INV MGMT	030	SPTN	B	18,514	17.40	n/a	n/a	555.42	3.0
BESSEMER INVESTMENT	085	STT	S	180	43.94	n/a	7-May	7.20	4.0
BESSEMER INVESTMENT	085	STX	B	255	31.44	n/a	n/a	10.20	4.0
⌘ BUCK CAPITAL MGMT		TFM	SS	35,000	49.34	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	TGT	B	285	56.00	n/a	3-May	11.40	4.0
⌘ BUCK CAPITAL MGMT		TIF	SS	10,000	63.33	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIF	SS	10,000	63.36	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TJX	B	10,000	41.71	n/a	4-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TPX	B	50,000	52.40	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TPX	B	50,000	52.40	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TUMI	S	3,626	21.01	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TUMI	S	3,627	21.01	n/a	n/a	non-brg	4.0
1798 CAP/LOMBARD ASSET	040	TWI	B	35,000	26.72	n/a	n/a	1,750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	TXRH	B	98,000	18.55	n/a	n/a	3,920.00	4.0
HIGHFIELDS CAP MGMT	030	T	C	100,000	33.86	n/a	n/a	4,000.00	4.0
BESSEMER INVESTMENT	085	ULTA	S	2,000	88.10	n/a	n/a	80.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>VRA</b>	<b>B</b>	<b>120,000</b>	<b>23.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>VRA</b>	<b>B</b>	<b>40,000</b>	<b>23.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>VRA</b>	<b>B</b>	<b>315</b>	<b>23.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>WBC</b>	<b>S</b>	<b>250</b>	<b>57.25</b>	<b>n/a</b>	<b>26-Apr</b>	<b>0.00</b>	<b>0.0</b>
RBC CAPITAL MARKETS	040	WMT	SS	10,000	58.87	n/a	23-Apr	400.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>WRC</b>	<b>B</b>	<b>300</b>	<b>46.11</b>	<b>n/a</b>	<b>9-May</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	WU	B	905	17.33	n/a	n/a	36.20	4.0
BESSEMER INVESTMENT	085	WWW	S	1,340	43.06	n/a	n/a	53.60	4.0
BALYASNY ASSET MGMT	044	WY	B	30,000	20.16	n/a	4-May	1,500.00	5.0
<b>f IAN L MILLER</b>	<b>FID</b>	<b>XLF</b>	<b>B</b>	<b>30</b>	<b>14.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	ZMH	B	220	62.19	n/a	n/a	8.80	4.0
<b>f JOHN BARTMAN COLE</b>	<b>FID</b>		<b>B</b>	<b>155000</b>	<b>0.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOHN BARTMAN COLE</b>	<b>FID</b>		<b>S</b>	<b>1500</b>	<b>10.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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**TOTAL (Trade Date):**

**45,963.17**

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Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									45,963.17
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									45,963.17

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 11-May.  
NONE

MRI Securities without Trade Activity on 11-May.  
NP

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)