



Daily Compliance Activity Report

Trade Date: 02/20/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Ö Glenn Redemann		AGD	B	432	23.13	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	B	30,000	82.56	2-Feb	16-Feb	1,200.00	4.0
LUCID PARTNERS	067	AOS	B	10,000	39.69	21-Dec	8-Feb	500.00	5.0
B BUCK CAPITAL MGMT		ARO	S	40,000	39.05	23-Oct	9-Feb	non-brg	5.0
J L F ASSET MGMT	039	ARO	S	39,000	38.47	23-Oct	9-Feb	1,560.00	4.0
J L F ASSET MGMT	039	AVP	S	80,600	38.94	7-Feb	7-Feb	3,224.00	4.0
MISSOURI VALLEY PART	046	BBW	S	38,700	27.41	8-Jan	21-Feb	1,935.00	5.0
THIRD COAST CAPITAL	035	BBW	S	25,000	26.79	8-Jan	21-Feb	1,250.00	5.0
Ö Glenn Redemann		BBY	S	179	50.52	13-Dec	10-Jan	0.00	0.0
WHITE PINE CAPITAL	030	BBY	B	400	50.72	13-Dec	10-Jan	20.00	5.0
ZOLIDIS	011	BOOT	S	500	15.25	n/a	n/a	0.00	0.0
BELMONT GLOBAL ADV	248	CBK	B	10,000	20.22	11-Dec	16-Feb	500.00	5.0
Ö Glenn Redemann		CC	S	439	21.25	20-Dec	10-Jan	0.00	0.0
WHITE PINE CAPITAL	030	CERN	B	200	53.20	n/a	n/a	10.00	5.0
GAULT	063	CHSCE	B	50	0.25	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	CL	B	400	68.93	31-Jan	31-Jan	20.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	COF	B	200	82.27	n/a	n/a	10.00	5.0
BUCK CAPITAL MGMT	001	COH	S	10,000	50.81	24-Jan	24-Jan	400.00	4.0
CENTURION PARTNERS	249	COH	B	25,000	50.86	24-Jan	24-Jan	1,250.00	5.0
WHITE PINE CAPITAL	030	CRI	B	400	22.88	n/a	n/a	20.00	5.0
ZOLIDIS	011	CROX	SS	50	55.26	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DBRN	B	20,000	21.29	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		DELL	B	406	24.57	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	DHI	S	5,000	28.61	n/a	n/a	250.00	5.0
ROTHSCHILD ASSET	040	DJO	S	10,390	40.65	n/a	n/a	467.55	4.5
B BUCK CAPITAL MGMT		DLTR	B	100,000	34.63	8-Feb	8-Feb	non-brg	5.0
Õ Glenn Redemann		EFT	B	520	19.20	n/a	n/a	0.00	0.0
LEDDY	035	EFT	B	800	19.22	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ENZ	B	1400	15.18	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ETF	B	520	19.15	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ETF	S	520	19.10	n/a	n/a	0.00	0.0
DENVER INST. ADV	046	EV	S	100,000	36.13	2-Nov	20-Feb	5,000.00	5.0
WD PARTNERS ILP	067	EV	B	5,000	36.25	2-Nov	20-Feb	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	216	FBP	B	25,000	11.62	n/a	n/a	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		FDO	SS	55,500	30.91	3-Nov	9-Feb	non-brg	5.0
JP MORGAN VENTURES	046	FDO	SS	48,600	30.99	3-Nov	9-Feb	2,430.00	5.0
BUCK CAPITAL MGMT	001	FD	B	10,000	44.55	26-Jan	21-Feb	400.00	4.0
CENTURION PARTNERS	249	FD	B	15,000	44.56	26-Jan	21-Feb	750.00	5.0
GAULT	063	FUACE	S	70	1.95	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	S	10,000	86.25	n/a	n/a	400.00	4.0
SENTINAL CAPITAL	067	GE	S	10,000	36.00	n/a	n/a	500.00	5.0
WHITE PINE CAPITAL	030	GIS	B	300	57.97	n/a	n/a	15.00	5.0
WHITE PINE CAPITAL	030	GLBL	B	500	13.29	n/a	n/a	25.00	5.0
⌘ BUCK CAPITAL MGMT		GLW	S	10,100	21.73	n/a	16-Feb	non-brg	5.0
⌘ BUCK CAPITAL MGMT		GYMB	S	40,000	39.35	4-Jan	9-Feb	non-brg	5.0
GASNER	025	HBP	SS	1,000	5.70	n/a	n/a	0.00	0.0
GAULT	063	HC	B	1,000	22.05	n/a	15-Feb	0.00	0.0
WHITE PINE CAPITAL	030	HON	B	500	48.13	n/a	26-Jan	25.00	5.0
WHITE PINE CAPITAL	030	HRL	B	1,000	37.88	n/a	n/a	50.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	HRS	B	500	50.80	31-Jan	31-Jan	25.00	5.0
ROYCE TRADING	216	HZO	B	20,000	22.90	n/a	n/a	1,000.00	5.0
BALYASNY ASSET MGMT	044	IFF	SS	10,000	48.66	30-Jan	5-Feb	500.00	5.0
WHITE PINE CAPITAL	030	IFF	B	800	49.04	30-Jan	5-Feb	40.00	5.0
⚡ BUCK CAPITAL MGMT		IJJ	C	2,000	85.35	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		IJR	C	2,400	69.55	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		IJS	C	2,100	79.24	n/a	n/a	non-brg	2.0
LEDDY	035	IP	SS	400	37.55	n/a	2-Feb	0.00	0.0
SUTERA	160	ISE	B	1,000	46.80	26-Oct	16-Feb	0.00	0.0
⚡ BUCK CAPITAL MGMT		IWM	C	2,000	81.98	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		IWO	C	2,000	83.18	n/a	n/a	non-brg	2.0
Õ Glenn Redemann		JCG	B	2	1.27	25-Jan	25-Jan	0.00	0.0
Õ Glenn Redemann		JCG	B	2	1.57	25-Jan	25-Jan	0.00	0.0
SENTINAL CAPITAL	067	JCI	B	5,000	98.34	16-Feb	16-Feb	250.00	5.0
CENTURION PARTNERS	249	JCP	B	20,000	86.57	10-Nov	16-Feb	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		JOSB	B	10,000	31.59	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	KOG	S	4,000	4.50	n/a	n/a	0.00	0.0
NICHOLAS ADVISORS	034	KRE	B	2,450	50.92	n/a	n/a	122.50	5.0
B BUCK CAPITAL MGMT		LAVA	B	10,000	10.35	n/a	n/a	non-brg	5.0
WHITE PINE CAPITAL	030	LLY	B	700	54.97	n/a	n/a	35.00	5.0
BUCK CAPITAL MGMT	001	LTD	S	50,000	29.38	5-Jan	16-Feb	2,000.00	4.0
STADIA CAPITAL	035	LTD	B	110,000	29.64	5-Jan	16-Feb	4,400.00	4.0
CENTURION PARTNERS	249	LTR	S	10,000	43.97	n/a	n/a	500.00	5.0
Õ Glenn Redemann		MATK	B	4	0.95	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MATK	B	4	1.15	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	MDT	B	500	54.54	n/a	22-Apr	25.00	5.0
B BUCK CAPITAL MGMT		MDY	C	1,100	157.61	n/a	n/a	non-brg	2.0
Õ Glenn Redemann		MFV	B	900	11.13	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	MHS	B	300	61.56	n/a	n/a	15.00	5.0
WHITE PINE CAPITAL	030	MSFT	B	1,200	28.80	n/a	3-Apr	60.00	5.0
WHITE PINE CAPITAL	030	MWV	B	300	31.93	8-Jan	1-Feb	15.00	5.0
BUCK CAPITAL MGMT	001	NWY	S	10,000	15.85	1-Dec	16-Feb	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	035	OXM	S	125	50.10	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	PEP	B	700	64.58	n/a	n/a	35.00	5.0
BEAR STEARNS ASSET MGMT.	033	PFCB	B	20,100	44.66	n/a	20-Feb	804.00	4.0
WHITE PINE CAPITAL	030	PFE	B	1,400	26.32	n/a	31-Mar	70.00	5.0
DAVIDSON KEMPNER ADVISOR	217	QQQQ	SS	225,500	44.82	n/a	n/a	11,275.00	5.0
Õ Glenn Redemann		RHT	B	3	0.82	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RHT	B	3	1.48	n/a	n/a	0.00	0.0
NICHOLAS ADVISORS	034	RKH	S	2,400	166.89	n/a	n/a	120.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	15,000	88.22	2-Feb	16-Feb	600.00	4.0
BUCK CAPITAL MGMT	001	RL	S	5,000	88.19	2-Feb	16-Feb	200.00	4.0
B BUCK CAPITAL MGMT		RL	S	3,000	88.40	2-Feb	16-Feb	non-brg	5.0
MAGUIRE	039	RTH	C	100	106.30	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	SAH	S	15,400	31.31	n/a	n/a	616.00	4.0
ROYCE TRADING	216	SAH	B	25,000	31.25	n/a	n/a	1,250.00	5.0
THIRD COAST CAPITAL	035	SAPE	B	15,000	6.54	n/a	n/a	750.00	5.0
B BUCK CAPITAL MGMT		SHPGY	S	3,800	66.72	20-Feb	20-Feb	non-brg	5.0
IRIDIAN ASSET MGMT.	036	SHPGY	S	7,100	66.29	20-Feb	20-Feb	355.00	5.0
WHITE PINE CAPITAL	030	SRDX	B	400	37.68	n/a	n/a	20.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	STA	B	1,000	53.34	n/a	n/a	50.00	5.0
WHITE PINE CAPITAL	030	S	B	600	19.10	n/a	n/a	30.00	5.0
WHEELER	008	TBAC	S	400	13.50	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	TCB	B	400	27.16	n/a	n/a	20.00	5.0
PENN CAPITAL MGMT	033	THC	B	20,750	7.57	n/a	15-Feb	830.00	4.0
⌘ BUCK CAPITAL MGMT		TRI	S	15,100	49.38	22-Jan	6-Feb	non-brg	5.0
⌘ BUCK CAPITAL MGMT		TRLG	S	25,000	18.26	n/a	n/a	non-brg	5.0
MANNING & NAPIER	034	TSCO	B	21,880	49.36	n/a	n/a	875.20	4.0
J L F ASSET MGMT	039	TTC	B	15,400	55.85	n/a	n/a	616.00	4.0
WHITE PINE CAPITAL	030	TUNE	B	3,000	4.72	n/a	n/a	120.00	4.0
⌘ BUCK CAPITAL MGMT		TWB	S	20,000	34.99	16-Feb	16-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TWB	S	30,000	35.17	16-Feb	16-Feb	non-brg	5.0
THE JAY GOLDMAN	034	TWB	B	20,000	34.85	16-Feb	16-Feb	800.00	4.0
WOOSTER CAPITAL	035	TWB	B	15,000	34.81	16-Feb	16-Feb	750.00	5.0
⌘ BUCK CAPITAL MGMT		TWTC	S	10,200	23.38	8-Feb	12-Feb	non-brg	5.0
⌘ BUCK CAPITAL MGMT		UCO	S	3,100	67.52	n/a	5-Feb	non-brg	5.0
GAULT	063	UNTCJ	B	25	1.00	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
Ö	Alice Longley		VMED	B	500	27.48	n/a	n/a	0.00 0.0
	WHITE PINE CAPITAL	030	VZ	B	400	38.26	22-Dec	12-Feb	20.00 5.0
ß	BUCK CAPITAL MGMT		WMT	SS	10,000	49.90	n/a	21-Feb	non-brg 5.0
	COUGAR TRADING	044	WMT	B	5,000	49.92	n/a	21-Feb	250.00 5.0
	SEAROCK CAPITAL MANAGE	012	WMT	B	100,000	50.16	n/a	21-Feb	4,000.00 4.0
	WHITE PINE CAPITAL	030	XOM	B	1,000	74.93	n/a	n/a	50.00 5.0
	CHILTON CAPITAL MGMT.	036	XRX	S	200,000	18.07	n/a	n/a	8,000.00 4.0
TOTAL (Trade Date):									66,605.25

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/13	PLATTE RIVER CAPITAL	035	HD	B	1,500	41.44	n/a	21-Feb	60.00 4.0
AO,CRT	02/13	PLATTE RIVER CAPITAL	035	HD	B	1,500	41.44	n/a	21-Feb	60.00 4.0
AO,	02/16	LISTED ERR	099	NWY	B	1,000	16.01	1-Dec	16-Feb	0.00 0.0
AO,CRT	02/16	STERLING JOHNSTON	035	NWY	B	175,000	16.00	1-Dec	16-Feb	8750.00 5.0
AO,CXL	02/16	STERLING JOHNSTON	035	NWY	B	175,000	16.01	1-Dec	16-Feb	8750.00 5.0
AO,CXL	02/16	J L F ASSET MGMT	039	URBN	S	35,000	26.24	7-Dec	9-Feb	1400.00 4.0
AO,	02/16	J L F ASSET MGMT	039	URBN	S	35,000	26.24	7-Dec	9-Feb	1400.00 4.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date) :	66,605.25
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	66,605.25
--------------------------------	------------------

MRI Securities with Trade Activity on 20-Feb. SHPGY

MRI Securities without Trade Activity on 20-Feb. SMG, TIN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 22%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION