



RR Commissions Summary [Mark Gronet (030)]

Trade Date: 12/18/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	12/18	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADAGE CAPITAL MGMT	030	0	0	15,126	180,808	120,000	120,000	120,000	120,000	135,126	300,808	223,150	135
BADGE CAPITAL	030	35	102	606	1,143	0	0	0	102	606	1,143	0	0
BERYLSON CAPITAL	030	0	0	4,349	38,824	0	0	0	0	4,349	38,824	0	0
CORNERSTONE	030	0	1,737	9,563	106,209	0	0	0	1,737	9,563	106,209	62,312	170
DISCIPLINED GROWTH INV.	030	0	1,980	2,325	11,050	0	0	0	1,980	2,325	11,050	24,148	46
DSG CAPITAL	030	0	0	141	2,469	0	0	0	0	141	2,469	8,057	31
EPOCH INV MGMT	030	4,756	16,334	40,498	137,522	0	0	0	16,334	40,498	137,522	126,518	109
FOUNDRY PARTNERS LLC	030	927	4,601	6,479	6,479	0	0	0	4,601	6,479	6,479	0	0
GOLD SACHS SPECIAL SIT	030	0	0	1,250	33,441	0	0	0	0	1,250	33,441	44,770	75
HIGHFIELDS CAP MGMT	030	0	0	0	57,752	0	0	0	0	0	57,752	53,227	109
MAIRS AND POWER INV	030	0	0	4,000	22,450	0	0	0	0	4,000	22,450	9,250	243
NEW JERSEY DIV OF INV.	030	0	0	0	25,380	0	0	40,000	0	0	65,380	147,942	44
FAIRPOINTE - OPTIMUM INV ADV	030	0	0	0	0	0	0	27,000	0	0	27,000	30,000	90
PEREGRINE	030	0	0	0	19,896	0	0	0	0	0	19,896	0	0
SAC CAPITAL/M GRONET	030	0	9,600	9,600	15,600	0	0	0	9,600	9,600	15,600	0	0
STRONG/MARK GRONET	030	0	441	17,012	103,260	0	0	0	441	17,012	103,260	60,056	172
SERENGETI MGMT	030	0	0	0	0	0	0	20,000	0	0	20,000	25,000	80
THIRD AVE MGMT	030	0	0	0	0	0	0	1,150	0	0	1,150	11,358	10
NUVEEN - US BANCORP	030	0	6,642	6,642	17,238	0	0	0	6,642	6,642	17,238	18,063	95
VIKING GLOBAL	030	0	0	0	0	0	11,875	49,875	0	11,875	49,875	23,750	210
VINIK ASSET MGMT.	030	0	0	0	0	0	0	160,000	0	0	160,000	300,000	53
WHALE ROCK CAP	030	0	0	0	3,858	0	0	0	0	0	3,858	0	0
WINSLOW CAPITAL	030	0	4,501	17,679	110,644	0	0	0	4,501	17,679	110,644	53,254	208
WHITE PINE CAPITAL	030	0	4,960	12,660	26,003	0	0	0	4,960	12,660	26,003	8,881	293
COLUMBIA MGMT	030	0	0	0	0	0	6,840	29,086	0	6,840	29,086	26,770	109
FIDELITY MANAGEMENT	030	0	0	0	0	0	31,518	121,770	0	31,518	121,770	125,294	97
TOTALS:		5,718	50,897	147,930	920,025	120,000	170,233	568,881	170,897	318,162	1,488,906		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	12/18	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
PUTNAM SHARED		0	0	0	0	0	0	60,265	0	0	60,265	0	0
SUN AMERICA ASSET MGMT		0	0	0	0	0	0	0	0	0	0	30,279	0
WELLINGTON MGMT.	035	0	0	0	0	127,000	127,000	260,000	127,000	127,000	260,000	0	0
WELLS CAPITAL MGT.		0	0	0	0	0	0	0	0	0	0	0	0
TOTALS:		0	0	0	0	127,000	127,000	320,265	127,000	127,000	320,265		

