



Daily Compliance Activity Report

Trade Date: 06/17/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		AEO+HW	SS	1,000	0.91	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AEO	S	50,000	16.68	6-Mar	6-Jun	non-brg	4.0
SUNOVA CAPITAL	031	AMG	B	10,000	103.26	7-Apr	2-Jun	500.00	5.0
MERRILL LYNCH STRATEGICI	034	AMZN	B	10,000	83.90	n/a	n/a	600.00	6.0
MERRILL LYNCH STRATEGICI	034	AMZN	SS	10,000	83.60	n/a	n/a	600.00	6.0
BUCK CAPITAL MGMT		ANF+HP	SS	1,000	0.91	n/a	n/a	non-brg	100.0
ARIENCE CAPITAL	036	ANF	SS	18,300	69.45	10-Apr	6-Jun	915.00	5.0
BUCK CAPITAL MGMT		ANF	B	12,500	70.67	10-Apr	6-Jun	non-brg	4.0
COUGAR TRADING	044	ANF	S	10,000	69.60	10-Apr	6-Jun	500.00	5.0
BUCK CAPITAL MGMT		ANN+UX	SS	1,200	1.15	n/a	n/a	non-brg	300.0
TRELLUS PARTNERS LP	028	ARGN	B	10,000	9.91	n/a	9-Jun	300.00	3.0
PRYLUCKI	092	ARGN	B	200	9.92	n/a	9-Jun	0.00	0.0
BALYASNY ASSET MGMT	044	ARO	S	20,000	34.10	28-Apr	6-Jun	1,000.00	5.0
BUCK CAPITAL MGMT		ARO	S	10,000	34.11	28-Apr	6-Jun	non-brg	4.0
BULL PATH CAPITAL	044	ARO	B	52,500	34.26	28-Apr	6-Jun	2,625.00	5.0
GALLEON MGMT LP	033	ARO	C	10,000	34.10	28-Apr	6-Jun	500.00	5.0
N STRATTON	014	AXP	B	50	43.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BBBY	SS	30,000	29.42	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT CHRIS C	041	BBT	SS	9,700	26.82	n/a	n/a	388.00	4.0
PHILADELPHIA FINANCIAL	033	BEN	S	6,339	106.58	24-Mar	9-Jun	253.56	4.0
SUNOVA CAPITAL	031	BEN	B	5,000	106.29	24-Mar	9-Jun	250.00	5.0
B BUCK CAPITAL MGMT		BHQ+WE	SS	1,000	1.22	n/a	n/a	non-brg	300.0
HIGHBRIDGE CAPITAL	038	BNI	B	4,000	103.59	n/a	n/a	200.00	5.0
B BUCK CAPITAL MGMT		BPOP	SS	50,000	8.41	n/a	n/a	non-brg	4.0
TRELLUS PARTNERS LP	028	BWA	SS	13,300	49.78	10-Jun	10-Jun	665.00	5.0
LEDDY	035	BWA	SS	400	49.72	10-Jun	10-Jun	0.00	0.0
TROUBH PART	067	CAT	B	9,900	81.01	n/a	23-May	495.00	5.0
DIAMONDBACK CAP MGMT	232	CBK	S	26,400	9.80	n/a	10-Apr	1,320.00	5.0
KORMAN	003	CHCG	S	1,000	1.43	n/a	n/a	0.00	0.0
CLOVIS CAPITAL	012	CL	SS	200,000	72.71	n/a	17-Jun	10,000.00	5.0
CRAMER ROSENTHAL/SCOTT	012	COH	B	499,800	33.45	4-Apr	23-Apr	19,992.00	4.0
B BUCK CAPITAL MGMT		CPKI	C	25,000	13.62	n/a	9-May	non-brg	1.0
B BUCK CAPITAL MGMT		CPKI	C	25,000	13.63	n/a	9-May	non-brg	4.0
BAIRD INVESTMENT	031	CRR	S	3,075	57.15	5-Jun	16-Jun	153.75	5.0
SANDLER O'NEILL ASSET	031	C	SS	50,000	20.86	16-Jan	16-Jun	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		DAI	C	50,000	70.41	n/a	n/a	non-brg	4.0
ZOLIDIS	011	DECK	S	200	135.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	DRI	B	5,000	32.48	n/a	12-Jun	250.00	5.0
N STRATTON	014	FBSI	SS	500	13.90	n/a	n/a	0.00	0.0
CATTANI	041	FOSL	S	200	32.80	n/a	14-May	0.00	0.0
B BUCK CAPITAL MGMT		FUA+LI	SS	1,000	0.85	n/a	n/a	non-brg	100.0
ZOLIDIS	011	F	C	1,000	6.59	25-Apr	9-Jun	0.00	0.0
LEDDY	035	F	SS	1,800	6.72	25-Apr	9-Jun	0.00	0.0
B BUCK CAPITAL MGMT		GIII	B	10,000	14.39	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		GIL	B	10,000	28.79	30-Apr	28-May	non-brg	4.0
FRONTPOINT CHRIS C	041	GLW	B	9,200	27.11	n/a	10-Jun	368.00	4.0
B BUCK CAPITAL MGMT		GM+XS	SS	1,000	1.46	n/a	n/a	non-brg	300.0
FRONTPOINT CHRIS C	041	GS	SS	2,000	183.85	19-Mar	17-Jun	80.00	4.0
ROXBURY CAPITAL MGMT	035	GYMB	B	67,900	44.26	3-Jun	6-Jun	2,716.00	4.0
KAICHER	064	HK	S	1,000	39.83	n/a	n/a	0.00	0.0
NOMURA CAPITAL	045	IESC	S	1,284	17.27	n/a	n/a	64.20	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BAIRD INVESTMENT	031	IO	S	9,350	17.28	n/a	n/a	467.50	5.0
WYPER CAPITAL	044	IVZ	B	88,000	26.90	10-Apr	17-Jun	3,520.00	4.0
KAICHER	064	JASO	S	2,000	20.93	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	JDSU	SS	35,000	12.57	n/a	n/a	1,750.00	5.0
SUNOVA CAPITAL	031	JNS	B	25,000	31.13	25-Apr	2-Jun	1,250.00	5.0
DIAMONDBACK CAP MGMT	232	JNY	B	20,000	15.89	n/a	1-May	1,000.00	5.0
COUGAR TRADING	044	JWN	B	10,000	35.23	16-May	6-Jun	500.00	5.0
COUGAR TRADING	044	KSS	S	15,000	44.51	n/a	6-Jun	750.00	5.0
FRONTPOINT CHRIS C	041	LAMR	SS	3,700	35.96	n/a	n/a	148.00	4.0
B BUCK CAPITAL MGMT		LIZ	SS	20,000	16.43	n/a	14-May	non-brg	4.0
PRYLUCKI	092	MS	B	100	40.45	n/a	16-Jun	0.00	0.0
EGS ASSOCIATES LP	091	M	SS	5,000	22.24	7-Feb	2-Jun	300.00	6.0
BAYPOINT/PRIMEMOVERS	041	NAVI	S	5,700	4.71	n/a	n/a	171.00	3.0
HIGHBRIDGE CAPITAL	038	NSC	B	7,000	62.90	n/a	n/a	350.00	5.0
PAW PARTNERS	041	NVDA	B	11,800	21.23	n/a	n/a	590.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PRYLUCKI	092	ORA	S	60	56.72	n/a	n/a	0.00	0.0
ROYCE TRADING	216	PAG	B	10,000	17.26	10-Jun	10-Jun	500.00	5.0
TRELLUS PARTNERS LP	028	PH	SS	10,000	77.86	n/a	23-May	500.00	5.0
FRONTPOINT CHRIS C	041	PNC	SS	4,300	58.54	n/a	n/a	172.00	4.0
BUCK CAPITAL MGMT	001	RL	B	10,000	66.27	7-Feb	29-May	400.00	4.0
COUGAR TRADING	044	RL	B	5,000	66.17	7-Feb	29-May	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	RL	B	15,000	66.10	7-Feb	29-May	600.00	4.0
COUGAR TRADING	044	SDS	B	10,000	59.30	n/a	n/a	500.00	5.0
COUGAR TRADING	044	SDS	SS	10,000	59.86	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		SSCC	B	100,000	5.74	7-Apr	23-Apr	non-brg	4.0
SCOPUS FUND	041	TEN	SS	25,000	19.78	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		TIF+TI	SS	2,000	1.95	n/a	n/a	non-brg	300.0
JMP ASSET MGMT	210	TITN	S	2,000	31.93	n/a	n/a	100.00	5.0
B BUCK CAPITAL MGMT		TPX	B	10,000	9.15	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	TWB	B	20,000	18.03	17-Mar	22-May	1,000.00	5.0
JCK PARTNERS	067	TXT	B	15,000	53.08	n/a	n/a	600.00	4.0
GREENLIGHT CAPITAL	041	URBN	SS	250,000	34.03	15-May	15-May	12,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		URQ+LI	SS	1,000	1.02	n/a	n/a	non-brg 300.0	
ROXBURY CAPITAL MGMT	035	WWW	B	35,000	27.97	n/a	13-Mar	1,400.00	4.0
WHALE ROCK CAP	030	WYNN	B	122,000	95.15	n/a	n/a	4,880.00	4.0
CATTANI	041	WYNN	B	50	95.10	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								83,184.01	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/16	NICOLAS APPEGATE	048	AW	S	100,000	14.16	n/a	n/a	3000.00 3.0
AO,CXL	06/05	THIRD POINT MGMT	044	DPS	S	100,000	25.76	n/a	n/a	4000.00 4.0
AO,CRT	06/05	THIRD POINT MGMT	044	DPS	S	100,000	25.76	n/a	n/a	3000.00 3.0
AO,CRT	06/13	HIGHBRIDGE/MS OLDS	041	ERTS	B	13,571	46.64	n/a	n/a	542.84 4.0
AO,CXL	06/13	HIGHBRIDGE/MS OLDS	041	ERTS	B	32,600	46.64	n/a	n/a	1304.00 4.0
AO,	06/13	HIGHBRIDGE/MS OLDS	041	ERTS	B	19,029	46.64	n/a	n/a	761.16 4.0
AO,CXL	06/16	CARLYLE BLUE WAVE PR MG	224	GE	B	10,000	28.63	n/a	n/a	500.00 5.0
AO,CRT	06/16	CARLYLE BLUE WAVE PR MG	224	GE	B	10,000	28.63	n/a	n/a	500.00 5.0
AO,CRT	06/16	MERRILL LYNCH STRATEGICI	034	HANS	S	25,000	37.58	n/a	n/a	1000.00 4.0
AO,CXL	06/16	MERRILL LYNCH STRATEGICI	034	HANS	S	25,000	37.58	n/a	n/a	0.00 0.0
AO,	06/16	NOMURA CAPITAL	045	IESC	S	11,000	17.57	n/a	n/a	550.00 5.0
AO,	06/09	COOKBIELER	028	ORI	B	17,900	14.70	n/a	n/a	716.00 4.0
TOTAL (AO, CXL, CRT) :										4,266.00

TOTAL (Trade Date):	83,184.01
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TOTAL (RUN DATE) / NFS:

87,450.01

MRI Securities with Trade Activity on 17-Jun.
NONE

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NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 1%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION