



Daily Compliance Activity Report

Trade Date: 05/02/2013

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BRONSON POINT	036	ASH	B	25,000	85.56	n/a	n/a	750.00	0.0
ROSEDALE CAPITAL	046	AXL	S	20,000	13.66	n/a	2-May	800.00	0.0
TALO	006	AXL	B	25,000	13.75	n/a	2-May	1,250.00	0.0
HIGHFIELDS CAP MGMT	030	CAG	SS	200,000	35.09	n/a	n/a	8,000.00	0.0
CORNERSTONE	030	CSX	S	126,373	24.37	n/a	n/a	3,791.19	0.0
SCOPUS FUND	041	DG	B	25,000	52.42	n/a	26-Mar	1,000.00	0.0
CR INTRINIC INVESTMENT	044	EMR	B	10,000	55.58	n/a	n/a	300.00	0.0
PAR CAPITAL	268	EXPE	B	52,900	56.69	n/a	n/a	2,116.00	0.0
J. GOLDMAN	028	F	SS	225,000	13.43	n/a	2-May	11,250.00	0.0
CAERUS GLOBAL	041	GM	S	32,500	31.36	n/a	2-May	1,300.00	0.0
LEVIN CAPITAL STRATEGIES	041	GM	B	10,000	31.36	n/a	2-May	300.00	0.0
CAMBIAR CAP	046	HBI	S	164,118	49.40	n/a	24-Apr	6,564.72	0.0
KLEINHEINZ CAP PART	031	HOS	B	25,000	48.48	n/a	n/a	1,250.00	0.0
CSFB GLOBAL PROPRIETARY	041	IP	B	33,459	43.59	n/a	2-May	1,672.95	0.0
EPOCH INV MGMT	030	IP	B	359,820	44.56	n/a	2-May	10,794.60	0.0
NOMURA/ANGEL LANE PRINCIPAL	040	IP	B	50,000	43.94	n/a	2-May	1,500.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NY SEC/CHRIS	041	LCC	B	19,000	16.85	n/a	24-Apr	950.00	0.0
VERITION	028	LCC	B	10,000	16.84	n/a	24-Apr	300.00	0.0
BALYASNY ASSET MGMT	044	LULU	SS	25,000	76.49	n/a	1-May	1,250.00	0.0
BRONSON POINT	036	MAT	C	25,000	45.03	n/a	n/a	750.00	0.0
ELM RIDGE ADVISORS	044	MBI	B	17,811	8.99	n/a	n/a	712.44	0.0
CORVEX CAPITAL	041	MWV	B	100,000	33.95	n/a	30-Apr	3,000.00	0.0
PRIMECAP MGMT	084	NUAN	B	50,000	19.00	n/a	n/a	2,000.00	0.0
CR INTRINSIC/CHRIS C	041	PAYX	B	10,000	36.80	n/a	n/a	300.00	0.0
BALYASNY ASSET MGMT	044	PMT	B	25,000	25.11	n/a	n/a	1,250.00	0.0
CRAMER ROSENTHAL	070	PVH	B	31,550	114.21	n/a	22-Apr	1,262.00	0.0
SANDLER O'NEILL ASSET	031	RF	B	50,000	8.45	n/a	n/a	1,500.00	0.0
DPB CAPITAL	029	SIRI	S	5,000	3.32	n/a	n/a	250.00	0.0
JETSTREAM GLOBAL FUND LP	065	TSO	S	30,000	52.08	n/a	n/a	1,500.00	0.0
ROYCE TRADING	031	UNT	B	10,000	41.65	n/a	2-May	500.00	0.0
NEUBERGER BERMAN	036	UN	S	15,429	42.35	n/a	n/a	617.16	0.0
SCOPUS FUND	041	VLO	B	2,300	36.54	n/a	n/a	92.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MADISON ST PARTNERS LLC	046	VOYA	B	75,000	19.77	n/a	n/a	3,750.00	0.0
FRONTIER	029	WBMD	B	25,000	24.14	n/a	n/a	1,000.00	0.0
JET CAPITAL/CHRIS	041	XLU	SS	50,000	40.86	n/a	n/a	1,500.00	0.0
RIDGECREST INV MGMT LLC	028	XOP	B	15,000	56.81	n/a	n/a	600.00	0.0
TOTAL (Trade Date) :								75,723.06	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSASIC)	036	ABT	B	1,200	37.02	n/a	n/a	49.20 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSASIC)	036	ABT	B	1,200	37.02	n/a	n/a	48.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSWMI)	036	ABT	B	2,700	37.02	n/a	n/a	108.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSWMI)	036	ABT	B	2,700	37.02	n/a	n/a	108.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSSWARTH)	036	ABT	B	200	37.02	n/a	n/a	8.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSSWARTH)	036	ABT	B	200	37.02	n/a	n/a	8.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSMASHOR)	036	ABT	B	300	37.02	n/a	n/a	12.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSMASHOR)	036	ABT	B	300	37.02	n/a	n/a	12.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSWHITNE)	036	ABT	B	1,000	37.02	n/a	n/a	40.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSWHITNE)	036	ABT	B	1,000	37.02	n/a	n/a	40.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSPCAP)	036	ABT	B	300	37.02	n/a	n/a	9.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSPCAP)	036	ABT	B	300	37.02	n/a	n/a	12.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSMERR)	036	ABT	B	700	37.02	n/a	n/a	21.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSMERR)	036	ABT	B	700	37.02	n/a	n/a	28.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSS93)	036	ABT	B	2,300	37.02	n/a	n/a	69.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSS93)	036	ABT	B	2,300	37.02	n/a	n/a	92.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSPENSIO)	036	ABT	B	500	37.02	n/a	n/a	15.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSPENSIO)	036	ABT	B	500	37.02	n/a	n/a	20.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSFULLYM)	036	ABT	B	300	37.02	n/a	n/a	9.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSFULLYM)	036	ABT	B	300	37.02	n/a	n/a	12.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSEQUITY)	036	ABT	B	500	37.02	n/a	n/a	15.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSEQUITY)	036	ABT	B	500	37.02	n/a	n/a	20.00 0.0
AO,CXL	05/01	HOPLITE MGMT (HOPLMS)	025	ADSK	S	40,962	39.35	n/a	n/a	1638.48 0.0
AO,	05/01	HOPLITE MGMT (HOPLMS)	025	ADSK	S	40,962	39.35	n/a	n/a	1638.48 0.0
AO,CXL	05/01	LEVIN CAPITAL STRATEGIES (LEVSEDNJPL)	041	ADT	B	2,403	41.41	n/a	n/a	72.09 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/01	LEVIN CAPITAL STRATEGIES (LEVSEDNJPL)	041	ADT	B	2,403	41.41	n/a	n/a	72.09	0.0
AO,CXL	05/01	LEVIN CAPITAL STRATEGIES (LEVSGS)	041	ADT	B	19,397	41.41	n/a	n/a	581.91	0.0
AO,	05/01	LEVIN CAPITAL STRATEGIES (LEVSGS)	041	ADT	B	19,397	41.41	n/a	n/a	581.91	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	AET	B	200	58.02	n/a	n/a	8.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	AET	B	200	58.02	n/a	n/a	8.00	0.0
AO,CXL	05/01	PAULSON & CO INC (PAULBS)	041	AGN	C	72,129	99.55	n/a	2-May	2163.87	0.0
AO,	05/01	PAULSON & CO INC (PAULBS)	041	AGN	C	72,129	99.55	n/a	2-May	2163.87	0.0
AO,CXL	05/01	PAULSON & CO INC (PAULDEUTSH)	041	AGN	C	1,386	99.55	n/a	2-May	41.58	0.0
AO,	05/01	PAULSON & CO INC (PAULDEUTSH)	041	AGN	C	1,386	99.55	n/a	2-May	41.58	0.0
AO,CXL	05/01	ROSEDALE CAPITAL (ROSEJPM)	046	AMTD	B	15,000	19.35	n/a	n/a	600.00	0.0
AO,	05/01	ROSEDALE CAPITAL (ROSEJPM)	046	AMTD	B	15,000	19.35	n/a	n/a	600.00	0.0
AO,CXL	05/01	STELLIAM INV ADV (STLLGSBULK)	086	ARRAY	B	49,000	4.30	n/a	n/a	980.00	0.0
AO,	05/01	STELLIAM INV ADV (STLLGSBULK)	086	ARRAY	B	49,000	4.30	n/a	n/a	980.00	0.0
AO,	05/01	GEORGE WEISS (WEISCITIPB)	278	AXL	S	10,000	13.48	n/a	2-May	400.00	0.0
AO,CXL	05/01	GEORGE WEISS (WEISUBS)	278	AXL	S	10,000	13.48	n/a	2-May	400.00	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	AXP	B	600	68.75	n/a	2-May	24.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	AXP	B	600	68.75	n/a	2-May	24.00	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	BDX	B	200	94.81	n/a	n/a	8.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	BDX	B	200	94.81	n/a	n/a	8.00	0.0
AO,CXL	05/01	CAPITAL GROWTH MGMT. (CAPG4845)	241	BEE	S	200,000	8.03	n/a	n/a	6000.00	0.0
AO,	05/01	CAPITAL GROWTH MGMT. (CAPG4845)	241	BEE	S	200,000	8.03	n/a	n/a	6000.00	0.0
AO,CXL	05/01	SAGE ASSET MGMT (SAGEJEFF)	025	BZ	B	35,000	7.63	n/a	n/a	1750.00	0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/01	SAGE ASSET MGMT (SAGEJEFF)	025	BZ	B	35,000	7.63	n/a	n/a	1750.00 0.0
AO,CXL	05/01	STELLIAM INV ADV (STLLCSFB)	086	CAH	S	2,300	44.28	n/a	n/a	92.00 0.0
AO,	05/01	STELLIAM INV ADV (STLLCSFB)	086	CAH	S	2,300	44.28	n/a	n/a	92.00 0.0
AO,CXL	05/01	STELLIAM INV ADV (STLLMSEXEC)	086	CAH	S	17,700	44.28	n/a	n/a	708.00 0.0
AO,	05/01	STELLIAM INV ADV (STLLMSEXEC)	086	CAH	S	17,700	44.28	n/a	n/a	708.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSPOPP)	036	CGI	B	8,500	16.45	n/a	n/a	255.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSPOPP)	036	CGI	B	8,500	16.45	n/a	n/a	340.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSMORGAN)	036	CGI	B	5,600	16.45	n/a	n/a	168.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSMORGAN)	036	CGI	B	5,600	16.45	n/a	n/a	224.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	CVS	B	500	58.85	n/a	n/a	20.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	CVS	B	500	58.85	n/a	n/a	20.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSASIC)	036	CW	B	1,400	32.63	n/a	n/a	56.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSASIC)	036	CW	B	1,400	32.63	n/a	n/a	56.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSWMI)	036	CW	B	2,500	32.63	n/a	n/a	100.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSWMI)	036	CW	B	2,500	32.63	n/a	n/a	100.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSSWARTH)	036	CW	B	300	32.63	n/a	n/a	12.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSSWARTH)	036	CW	B	300	32.63	n/a	n/a	12.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSMASHOR)	036	CW	B	300	32.63	n/a	n/a	12.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSMASHOR)	036	CW	B	300	32.63	n/a	n/a	12.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSWHITNE)	036	CW	B	1,200	32.63	n/a	n/a	48.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSWHITNE)	036	CW	B	1,200	32.63	n/a	n/a	48.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSPCAP)	036	CW	B	400	32.63	n/a	n/a	16.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSPCAP)	036	CW	B	400	32.63	n/a	n/a	16.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSMERR)	036	CW	B	700	32.63	n/a	n/a	28.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSMERR)	036	CW	B	700	32.63	n/a	n/a	28.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSS93)	036	CW	B	1,800	32.63	n/a	n/a	72.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSS93)	036	CW	B	1,800	32.63	n/a	n/a	72.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSPENSIO)	036	CW	B	500	32.63	n/a	n/a	20.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSPENSIO)	036	CW	B	500	32.63	n/a	n/a	20.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSFULLYM)	036	CW	B	300	32.63	n/a	n/a	12.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSFULLYM)	036	CW	B	300	32.63	n/a	n/a	12.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSEQUITY)	036	CW	B	600	32.63	n/a	n/a	24.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSEQUITY)	036	CW	B	600	32.63	n/a	n/a	24.00 0.0
AO,CXL	05/01	OSKIE CAPITAL MGMT (OSKIGSPB)	224	CWH	B	40,000	22.10	n/a	n/a	2000.00 0.0
AO,	05/01	OSKIE CAPITAL MGMT (OSKIGSPB)	224	CWH	B	40,000	22.10	n/a	n/a	2000.00 0.0
AO,CXL	05/01	CAPITAL GROWTH MGMT. (CAPG4892)	241	DAL	B	50,000	16.81	n/a	24-Apr	2000.00 0.0
AO,	05/01	CAPITAL GROWTH MGMT. (CAPG4892)	241	DAL	B	50,000	16.81	n/a	24-Apr	2000.00 0.0
AO,CXL	05/01	LATEEF MGMT (LATE595)	033	DAL	S	250	16.89	n/a	24-Apr	30.00 0.0
AO,	05/01	LATEEF MGMT (LATE595)	033	DAL	S	250	16.89	n/a	24-Apr	30.00 0.0
AO,CXL	05/01	LATEEF MGMT (LATE5201)	033	DAL	S	600	16.89	n/a	24-Apr	60.00 0.0
AO,	05/01	LATEEF MGMT (LATE5201)	033	DAL	S	600	16.89	n/a	24-Apr	60.00 0.0
AO,CXL	05/01	SIGMA CAP BOBBY (SIBEML)	044	DRI	B	25,000	51.58	n/a	15-Apr	750.00 0.0
AO,	05/01	SIGMA CAP BOBBY (SIBEML)	044	DRI	B	25,000	51.58	n/a	15-Apr	750.00 0.0
AO,CXL	05/01	CR INTRINIC INVESTMENT (CRINJPM)	044	FDX	SS	10,000	92.38	n/a	n/a	350.00 0.0
AO,CRT	05/01	CR INTRINIC INVESTMENT (CRINJPM)	044	FDX	SS	10,000	92.38	n/a	n/a	300.00 3.0
AO,CXL	05/01	CR INTRINIC INVESTMENT (CRINJPM)	044	FL	S	10,000	34.73	n/a	1-May	350.00 0.0
AO,CRT	05/01	CR INTRINIC INVESTMENT (CRINJPM)	044	FL	S	10,000	34.73	n/a	1-May	300.00 3.0
AO,CXL	05/01	MACELLUM CAPITAL (MACEDB)	086	FL	B	58,448	34.77	n/a	1-May	1753.44 0.0
AO,	05/01	MACELLUM CAPITAL (MACEDB)	086	FL	B	58,448	34.77	n/a	1-May	0.00 0.0
AO,CXL	05/01	MACELLUM CAPITAL (MACEBTIG)	086	FL	B	1,552	34.77	n/a	1-May	46.56 0.0
AO,	05/01	MACELLUM CAPITAL (MACEBTIG)	086	FL	B	1,552	34.77	n/a	1-May	0.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	05/01	CAERUS GLOBAL (CAERUNION)	041	GM	B	591	31.01	n/a	2-May	23.64	0.0
AO,	05/01	CAERUS GLOBAL (CAERUNION)	041	GM	B	591	31.01	n/a	2-May	0.00	0.0
AO,CXL	05/01	CAERUS GLOBAL (CAERGSMAN)	041	GM	B	29,409	31.01	n/a	2-May	1176.36	0.0
AO,	05/01	CAERUS GLOBAL (CAERGSMAN)	041	GM	B	29,409	31.01	n/a	2-May	0.00	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	GS	B	200	142.85	n/a	16-Apr	8.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	GS	B	200	142.85	n/a	16-Apr	8.00	0.0
AO,CXL	05/01	CR INTRINSIC/CHRIS C (CRICCITI)	041	GTLS	SS	25,000	83.54	n/a	n/a	875.00	0.0
AO,CRT	05/01	CR INTRINSIC/CHRIS C (CRICCITI)	041	GTLS	SS	25,000	83.54	n/a	n/a	750.00	0.0
AO,CXL	05/01	CAMBIAR CAP (CAMB412)	046	HBI	S	18,400	49.60	n/a	24-Apr	736.00	0.0
AO,	05/01	CAMBIAR CAP (CAMB412)	046	HBI	S	18,400	49.60	n/a	24-Apr	736.00	0.0
AO,CXL	05/01	LOMAS CAPITAL (LOMAJPM)	040	HD	B	1,536	73.33	n/a	n/a	46.08	0.0
AO,	05/01	LOMAS CAPITAL (LOMAJPM)	040	HD	B	1,536	73.33	n/a	n/a	0.00	0.0
AO,CXL	05/01	LOMAS CAPITAL (LOMACSFB)	040	HD	B	20,564	73.33	n/a	n/a	616.92	0.0
AO,	05/01	LOMAS CAPITAL (LOMACSFB)	040	HD	B	20,564	73.33	n/a	n/a	0.00	0.0
AO,CXL	05/01	PAULSON & CO INC (PAULBS)	041	HNZ	S	99,289	72.44	n/a	n/a	2978.67	0.0
AO,	05/01	PAULSON & CO INC (PAULBS)	041	HNZ	S	99,289	72.44	n/a	n/a	2978.67	0.0
AO,CXL	05/01	PAULSON & CO INC (PAULDEUTSH)	041	HNZ	S	515	72.44	n/a	n/a	15.45	0.0
AO,	05/01	PAULSON & CO INC (PAULDEUTSH)	041	HNZ	S	515	72.44	n/a	n/a	15.45	0.0
AO,CXL	05/01	PAULSON & CO INC (PAULGS)	041	HNZ	S	196	72.44	n/a	n/a	5.88	0.0
AO,	05/01	PAULSON & CO INC (PAULGS)	041	HNZ	S	196	72.44	n/a	n/a	5.88	0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSMERR)	036	HSP	B	700	32.43	n/a	2-May	28.00	0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSMERR)	036	HSP	B	700	32.43	n/a	2-May	0.00	0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSS93)	036	HSP	B	3,000	32.43	n/a	2-May	120.00	0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSS93)	036	HSP	B	3,000	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSPENSIO)	036	HSP	B	600	32.43	n/a	2-May	24.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSPENSIO)	036	HSP	B	600	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSFULLYM)	036	HSP	B	300	32.43	n/a	2-May	12.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSFULLYM)	036	HSP	B	300	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSEQUITY)	036	HSP	B	400	32.43	n/a	2-May	16.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSEQUITY)	036	HSP	B	400	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSASIC)	036	HSP	B	2,200	32.43	n/a	2-May	88.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSASIC)	036	HSP	B	2,200	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSWMI)	036	HSP	B	400	32.43	n/a	2-May	16.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSWMI)	036	HSP	B	400	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSSWARTH)	036	HSP	B	600	32.43	n/a	2-May	24.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSSWARTH)	036	HSP	B	600	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSMHOR)	036	HSP	B	300	32.43	n/a	2-May	12.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSMHOR)	036	HSP	B	300	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSS94)	036	HSP	B	600	32.43	n/a	2-May	24.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSS94)	036	HSP	B	600	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSWHITNE)	036	HSP	B	600	32.43	n/a	2-May	24.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSWHITNE)	036	HSP	B	600	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSPCAP)	036	HSP	B	300	32.43	n/a	2-May	12.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSPCAP)	036	HSP	B	300	32.43	n/a	2-May	0.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	IBM	B	200	200.79	n/a	n/a	8.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	IBM	B	200	200.79	n/a	n/a	8.00 0.0
AO,CXL	05/01	MERRILL ASSET/BLACKROCK (MERRBTCBVA)	297	JLL	B	200	100.03	n/a	n/a	8.00 0.0
AO,	05/01	MERRILL ASSET/BLACKROCK (MERRBTCBVA)	297	JLL	B	200	100.03	n/a	n/a	8.00 0.0
AO,CXL	05/01	MERRILL ASSET/BLACKROCK (MERR100)	297	JLL	B	3,200	100.03	n/a	n/a	128.00 0.0
AO,	05/01	MERRILL ASSET/BLACKROCK (MERR100)	297	JLL	B	3,200	100.03	n/a	n/a	128.00 0.0
AO,CXL	05/01	MERRILL ASSET/BLACKROCK (MERR5100)	297	JLL	B	2,000	100.03	n/a	n/a	80.00 0.0

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AO,	05/01	MERRILL ASSET/BLACKROCK (MERR5100)	297	JLL	B	2,000	100.03	n/a	n/a	80.00 0.0
AO,CXL	05/01	MERRILL ASSET/BLACKROCK (MERR29)	297	JLL	B	300	100.03	n/a	n/a	12.00 0.0
AO,	05/01	MERRILL ASSET/BLACKROCK (MERR29)	297	JLL	B	300	100.03	n/a	n/a	12.00 0.0
AO,CXL	05/01	MERRILL ASSET/BLACKROCK (MERR1000)	297	JLL	B	900	100.03	n/a	n/a	36.00 0.0
AO,	05/01	MERRILL ASSET/BLACKROCK (MERR1000)	297	JLL	B	900	100.03	n/a	n/a	36.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	JNJ	B	400	84.94	n/a	n/a	16.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	JNJ	B	400	84.94	n/a	n/a	16.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	JPM	B	500	48.03	n/a	15-Apr	20.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	JPM	B	500	48.03	n/a	15-Apr	20.00 0.0
AO,CXL	05/01	GATOR CAPITAL MGMT LLC (GATOINTB)	093	KKR	B	3,475	20.83	n/a	n/a	104.25 0.0
AO,	05/01	GATOR CAPITAL MGMT LLC (GATOINTB)	093	KKR	B	3,475	20.83	n/a	n/a	0.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	KO	B	800	42.36	n/a	1-May	32.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	KO	B	800	42.36	n/a	1-May	32.00 0.0
AO,CXL	05/01	CYRUS CAPITAL (CYRUGS)	025	LPX	SS	20,000	17.70	n/a	1-May	600.00 0.0
AO,CRT	05/01	CYRUS CAPITAL (CYRUGS)	025	LPX	SS	20,000	17.70	n/a	1-May	600.00 3.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	MCD	B	400	102.12	n/a	22-Apr	16.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	MCD	B	400	102.12	n/a	22-Apr	16.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSWTMAME)	036	MMC	B	8,700	37.90	n/a	n/a	261.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSWTMAME)	036	MMC	B	8,700	37.90	n/a	n/a	0.00 0.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC (PROSDELOS)	036	MMC	B	1,300	37.90	n/a	n/a	39.00 0.0
AO,	05/01	PROSPECTOR PARTNERS LLC (PROSDELOS)	036	MMC	B	1,300	37.90	n/a	n/a	0.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	MMM	B	200	104.66	n/a	n/a	8.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	MMM	B	200	104.66	n/a	n/a	8.00	0.0
AO,	05/01	GATOR CAPITAL MGMT LLC (GATOINTB)	093	MS	B	2,360	21.70	n/a	18-Apr	0.00	0.0
AO,CXL	05/01	GATOR CAPITAL MGMT LLC (GATOINTB)	093	MS	B	2,360	21.70	n/a	18-Apr	70.80	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	MSFT	B	1,100	32.71	n/a	n/a	44.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	MSFT	B	1,100	32.71	n/a	n/a	44.00	0.0
AO,CXL	05/01	CSFB GLOBAL PROPRIETARY (CSFBGLOBA)	041	MWV	B	15,000	33.97	n/a	30-Apr	750.00	0.0
AO,	05/01	CSFB GLOBAL PROPRIETARY (CSFBGLOBA)	041	MWV	B	15,000	33.97	n/a	30-Apr	0.00	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	NKE	B	300	64.04	n/a	1-May	12.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	NKE	B	300	64.04	n/a	1-May	12.00	0.0
AO,CXL	05/01	BRIGADE CAPITAL MGMT (BRICGS)	029	ORIG	B	30,000	16.23	n/a	n/a	900.00	0.0
AO,	05/01	BRIGADE CAPITAL MGMT (BRICGS)	029	ORIG	B	30,000	16.23	n/a	n/a	900.00	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	PEP	B	500	82.57	n/a	n/a	20.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	PEP	B	500	82.57	n/a	n/a	20.00	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	PFE	B	700	29.29	n/a	n/a	28.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	PFE	B	700	29.29	n/a	n/a	28.00	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	PG	B	300	77.40	n/a	2-May	12.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	PG	B	300	77.40	n/a	2-May	12.00	0.0
AO,CXL	05/01	CAPITAL GROWTH MGMT. (CAPG4845)	241	PHM	B	50,000	20.77	n/a	n/a	2500.00	0.0
AO,	05/01	CAPITAL GROWTH MGMT. (CAPG4845)	241	PHM	B	50,000	20.77	n/a	n/a	0.00	0.0
AO,CXL	05/01	PRIMECAP MGMT (PRIMVCFD)	084	SCHW	B	2,800	16.26	n/a	n/a	112.00	0.0
AO,	05/01	PRIMECAP MGMT (PRIMVCFD)	084	SCHW	B	2,800	16.26	n/a	n/a	112.00	0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/01	PRIMECAP MGMT (PRIMVCORE)	084	SCHW	B	600	16.26	n/a	n/a	24.00 0.0
AO,	05/01	PRIMECAP MGMT (PRIMVCORE)	084	SCHW	B	600	16.26	n/a	n/a	24.00 0.0
AO,CXL	05/01	PRIMECAP MGMT (PRIMVUSP)	084	SCHW	B	300	16.26	n/a	n/a	12.00 0.0
AO,	05/01	PRIMECAP MGMT (PRIMVUSP)	084	SCHW	B	300	16.26	n/a	n/a	12.00 0.0
AO,CXL	05/01	PRIMECAP MGMT (PRIMVFUN)	084	SCHW	B	6,300	16.26	n/a	n/a	252.00 0.0
AO,	05/01	PRIMECAP MGMT (PRIMVFUN)	084	SCHW	B	6,300	16.26	n/a	n/a	252.00 0.0
AO,CXL	04/30	TIEDEMANN/TIG ADVISORS LLC (TIEDGSPIKE)006	SEE	S	20,000	22.02	n/a	2-Jun	600.00 0.0	
AO,	04/30	TIEDEMANN/TIG ADVISORS LLC (TIEDGSPIKE)006	SEE	S	17,700	22.02	n/a	2-Jun	531.00 0.0	
AO,	04/30	TIEDEMANN/TIG ADVISORS LLC (TIEDBNY) 006	SEE	S	2,300	22.02	n/a	2-Jun	69.00 0.0	
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB2765)	070	SJI	B	50	61.39	n/a	n/a	2.00 0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB2765)	070	SJI	B	50	61.39	n/a	n/a	2.00 0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB3490)	070	SJI	B	300	61.39	n/a	n/a	12.00 0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB3490)	070	SJI	B	300	61.39	n/a	n/a	12.00 0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB3279)	070	SJI	B	500	61.39	n/a	n/a	20.00 0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB3279)	070	SJI	B	500	61.39	n/a	n/a	20.00 0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB3144)	070	SJI	B	200	61.39	n/a	n/a	8.00 0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB3144)	070	SJI	B	200	61.39	n/a	n/a	8.00 0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB2766)	070	SJI	B	25	61.39	n/a	n/a	1.00 0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB2766)	070	SJI	B	25	61.39	n/a	n/a	1.00 0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB3068)	070	SJI	B	300	61.39	n/a	n/a	12.00 0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB3068)	070	SJI	B	300	61.39	n/a	n/a	12.00 0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB2432)	070	SJI	B	400	61.39	n/a	n/a	16.00 0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB2432)	070	SJI	B	400	61.39	n/a	n/a	16.00 0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB3180)	070	SJI	B	1,100	61.39	n/a	n/a	44.00 0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB3180)	070	SJI	B	1,100	61.39	n/a	n/a	44.00 0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB3083)	070	SJI	B	100	61.39	n/a	n/a	4.00 0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB3083)	070	SJI	B	100	61.39	n/a	n/a	4.00 0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB2987)	070	SJI	B	100	61.39	n/a	n/a	4.00 0.0

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AO,	05/01	CRAMER ROSENTHAL (CRSB2987)	070	SJI	B	100	61.39	n/a	n/a	4.00	0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB2454)	070	SJI	B	200	61.39	n/a	n/a	8.00	0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB2454)	070	SJI	B	200	61.39	n/a	n/a	8.00	0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB800000)	070	SJI	B	7,353	61.39	n/a	n/a	294.12	0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB800000)	070	SJI	B	7,353	61.39	n/a	n/a	294.12	0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB2700)	070	SJI	B	200	61.39	n/a	n/a	8.00	0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB2700)	070	SJI	B	200	61.39	n/a	n/a	8.00	0.0
AO,CXL	05/01	CRAMER ROSENTHAL (CRSB2625)	070	SJI	B	100	61.39	n/a	n/a	4.00	0.0
AO,	05/01	CRAMER ROSENTHAL (CRSB2625)	070	SJI	B	100	61.39	n/a	n/a	4.00	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCBABSMI)	030	SSD	B	2,645	28.04	n/a	n/a	79.35	0.0
AO,	05/01	EPOCH INV MGMT (EPOCBABSMI)	030	SSD	B	2,645	28.04	n/a	n/a	79.35	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCCABLISM)	030	SSD	B	1,975	28.04	n/a	n/a	59.25	0.0
AO,	05/01	EPOCH INV MGMT (EPOCCABLISM)	030	SSD	B	1,975	28.04	n/a	n/a	59.25	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCINGSCF)	030	SSD	B	1,672	28.04	n/a	n/a	50.16	0.0
AO,	05/01	EPOCH INV MGMT (EPOCINGSCF)	030	SSD	B	1,672	28.04	n/a	n/a	50.16	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCMSSMCP)	030	SSD	B	12,119	28.04	n/a	n/a	363.57	0.0
AO,	05/01	EPOCH INV MGMT (EPOCMSSMCP)	030	SSD	B	12,119	28.04	n/a	n/a	363.57	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCMSDGPO)	030	SSD	B	7,879	28.04	n/a	n/a	236.37	0.0
AO,	05/01	EPOCH INV MGMT (EPOCMSDGPO)	030	SSD	B	7,879	28.04	n/a	n/a	236.37	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCFAIRFA)	030	SSD	B	1,166	28.04	n/a	n/a	34.98	0.0
AO,	05/01	EPOCH INV MGMT (EPOCFAIRFA)	030	SSD	B	1,166	28.04	n/a	n/a	34.98	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCCIUSSC)	030	SSD	B	2,427	28.04	n/a	n/a	72.81	0.0
AO,	05/01	EPOCH INV MGMT (EPOCCIUSSC)	030	SSD	B	2,427	28.04	n/a	n/a	72.81	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCGVITSC)	030	SSD	B	3,959	28.04	n/a	n/a	118.77	0.0
AO,	05/01	EPOCH INV MGMT (EPOCGVITSC)	030	SSD	B	3,959	28.04	n/a	n/a	118.77	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCCISCPL)	030	SSD	B	2,295	28.04	n/a	n/a	68.85	0.0
AO,	05/01	EPOCH INV MGMT (EPOCCISCPL)	030	SSD	B	2,295	28.04	n/a	n/a	68.85	0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCCALLAN)	030	SSD	B	964	28.04	n/a	n/a	28.92	0.0
AO,	05/01	EPOCH INV MGMT (EPOCCALLAN)	030	SSD	B	964	28.04	n/a	n/a	28.92	0.0

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AO,CXL	05/01	EPOCH INV MGMT (EPOCCIAMSC)	030	SSD	B	1,608	28.04	n/a	n/a	48.24 0.0
AO,	05/01	EPOCH INV MGMT (EPOCCIAMSC)	030	SSD	B	1,608	28.04	n/a	n/a	48.24 0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCCIAMSC)	030	SSD	B	6,153	28.04	n/a	n/a	184.59 0.0
AO,	05/01	EPOCH INV MGMT (EPOCCIAMSC)	030	SSD	B	6,153	28.04	n/a	n/a	184.59 0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCCIGLOB)	030	SSD	B	2,667	28.04	n/a	n/a	80.01 0.0
AO,	05/01	EPOCH INV MGMT (EPOCCIGLOB)	030	SSD	B	2,667	28.04	n/a	n/a	80.01 0.0
AO,CXL	05/01	EPOCH INV MGMT (EPOCCIGLOS)	030	SSD	B	301	28.04	n/a	n/a	9.03 0.0
AO,	05/01	EPOCH INV MGMT (EPOCCIGLOS)	030	SSD	B	301	28.04	n/a	n/a	9.03 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	TGT	B	400	70.65	n/a	18-Apr	16.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	TGT	B	400	70.65	n/a	18-Apr	16.00 0.0
AO,CXL	05/01	COHE & STEERS (COHEGRLSFL)	041	TPH	B	1,273	18.29	n/a	n/a	38.19 0.0
AO,	05/01	COHE & STEERS (COHEGRLSFL)	041	TPH	B	1,273	18.29	n/a	n/a	38.19 0.0
AO,CXL	05/01	COHE & STEERS (COHEGRELS)	041	TPH	B	2,019	18.29	n/a	n/a	60.57 0.0
AO,	05/01	COHE & STEERS (COHEGRELS)	041	TPH	B	2,019	18.29	n/a	n/a	60.57 0.0
AO,CXL	05/01	COHE & STEERS (COHEGRELSO)	041	TPH	B	3,708	18.29	n/a	n/a	111.24 0.0
AO,	05/01	COHE & STEERS (COHEGRELSO)	041	TPH	B	3,708	18.29	n/a	n/a	111.24 0.0
AO,CXL	05/01	PIMCO (PIMCSS)	084	UAL	S	110,000	31.78	n/a	26-Apr	2200.00 0.0
AO,	05/01	PIMCO (PIMCSS)	084	UAL	S	110,000	31.78	n/a	26-Apr	0.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	UNH	B	300	59.60	n/a	n/a	12.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	UNH	B	300	59.60	n/a	n/a	12.00 0.0
AO,CXL	05/01	SAGE ASSET MGMT (SAGEJEFF)	025	VLO	S	6,900	38.84	n/a	n/a	345.00 0.0
AO,	05/01	SAGE ASSET MGMT (SAGEJEFF)	025	VLO	S	6,900	38.84	n/a	n/a	0.00 0.0
AO,	05/01	FRONTIER (FRON143)	029	WBMD	B	5,100	23.73	n/a	n/a	204.00 0.0
AO,CXL	05/01	FRONTIER (FRON1336)	029	WBMD	B	1,800	23.73	n/a	n/a	72.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/01	FRONTIER (FRON1336)	029	WBMD	B	1,800	23.73	n/a	n/a	72.00 0.0
AO,CXL	05/01	FRONTIER (FRON932)	029	WBMD	B	120	23.73	n/a	n/a	4.80 0.0
AO,	05/01	FRONTIER (FRON932)	029	WBMD	B	120	23.73	n/a	n/a	4.80 0.0
AO,CXL	05/01	FRONTIER (FRON1486)	029	WBMD	B	2,700	23.73	n/a	n/a	108.00 0.0
AO,	05/01	FRONTIER (FRON1486)	029	WBMD	B	2,700	23.73	n/a	n/a	108.00 0.0
AO,CXL	05/01	FRONTIER (FRON113)	029	WBMD	B	8,100	23.73	n/a	n/a	324.00 0.0
AO,	05/01	FRONTIER (FRON113)	029	WBMD	B	8,100	23.73	n/a	n/a	324.00 0.0
AO,CXL	05/01	FRONTIER (FRON1561)	029	WBMD	B	600	23.73	n/a	n/a	24.00 0.0
AO,	05/01	FRONTIER (FRON1561)	029	WBMD	B	600	23.73	n/a	n/a	24.00 0.0
AO,CXL	05/01	FRONTIER (FRON111)	029	WBMD	B	170	23.73	n/a	n/a	6.80 0.0
AO,	05/01	FRONTIER (FRON111)	029	WBMD	B	170	23.73	n/a	n/a	6.80 0.0
AO,CXL	05/01	FRONTIER (FRON110)	029	WBMD	B	300	23.73	n/a	n/a	12.00 0.0
AO,	05/01	FRONTIER (FRON110)	029	WBMD	B	300	23.73	n/a	n/a	12.00 0.0
AO,CXL	05/01	FRONTIER (FRON1507)	029	WBMD	B	2,000	23.73	n/a	n/a	80.00 0.0
AO,	05/01	FRONTIER (FRON1507)	029	WBMD	B	2,000	23.73	n/a	n/a	80.00 0.0
AO,CXL	05/01	FRONTIER (FRON109)	029	WBMD	B	4,600	23.73	n/a	n/a	184.00 0.0
AO,	05/01	FRONTIER (FRON109)	029	WBMD	B	4,600	23.73	n/a	n/a	184.00 0.0
AO,CXL	05/01	FRONTIER (FRON1399)	029	WBMD	B	1,400	23.73	n/a	n/a	56.00 0.0
AO,	05/01	FRONTIER (FRON1399)	029	WBMD	B	1,400	23.73	n/a	n/a	56.00 0.0
AO,CXL	05/01	FRONTIER (FRON107)	029	WBMD	B	170	23.73	n/a	n/a	6.80 0.0
AO,	05/01	FRONTIER (FRON107)	029	WBMD	B	170	23.73	n/a	n/a	6.80 0.0
AO,CXL	05/01	FRONTIER (FRON86)	029	WBMD	B	1,500	23.73	n/a	n/a	60.00 0.0
AO,	05/01	FRONTIER (FRON86)	029	WBMD	B	1,500	23.73	n/a	n/a	60.00 0.0
AO,CXL	05/01	FRONTIER (FRON1998)	029	WBMD	B	270	23.73	n/a	n/a	10.80 0.0
AO,	05/01	FRONTIER (FRON1998)	029	WBMD	B	270	23.73	n/a	n/a	10.80 0.0
AO,CXL	05/01	FRONTIER (FRON84)	029	WBMD	B	200	23.73	n/a	n/a	8.00 0.0
AO,	05/01	FRONTIER (FRON84)	029	WBMD	B	200	23.73	n/a	n/a	8.00 0.0
AO,CXL	05/01	FRONTIER (FRON112)	029	WBMD	B	9,770	23.73	n/a	n/a	390.80 0.0
AO,	05/01	FRONTIER (FRON112)	029	WBMD	B	9,770	23.73	n/a	n/a	390.80 0.0
AO,CXL	05/01	FRONTIER (FRON1656)	029	WBMD	B	1,600	23.73	n/a	n/a	64.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/01	FRONTIER (FRON1656)	029	WBMD	B	1,600	23.73	n/a	n/a	64.00 0.0
AO,CXL	05/01	FRONTIER (FRON1949)	029	WBMD	B	600	23.73	n/a	n/a	24.00 0.0
AO,	05/01	FRONTIER (FRON1949)	029	WBMD	B	600	23.73	n/a	n/a	24.00 0.0
AO,CXL	05/01	FRONTIER (FRON74)	029	WBMD	B	1,600	23.73	n/a	n/a	64.00 0.0
AO,	05/01	FRONTIER (FRON74)	029	WBMD	B	1,600	23.73	n/a	n/a	64.00 0.0
AO,CXL	05/01	FRONTIER (FRON1951)	029	WBMD	B	300	23.73	n/a	n/a	12.00 0.0
AO,	05/01	FRONTIER (FRON1951)	029	WBMD	B	300	23.73	n/a	n/a	12.00 0.0
AO,CXL	05/01	FRONTIER (FRON1952)	029	WBMD	B	2,100	23.73	n/a	n/a	84.00 0.0
AO,	05/01	FRONTIER (FRON1952)	029	WBMD	B	2,100	23.73	n/a	n/a	84.00 0.0
AO,CXL	05/01	FRONTIER (FRON1939)	029	WBMD	B	1,400	23.73	n/a	n/a	56.00 0.0
AO,	05/01	FRONTIER (FRON1939)	029	WBMD	B	1,400	23.73	n/a	n/a	56.00 0.0
AO,CXL	05/01	FRONTIER (FRON1938)	029	WBMD	B	700	23.73	n/a	n/a	28.00 0.0
AO,	05/01	FRONTIER (FRON1938)	029	WBMD	B	700	23.73	n/a	n/a	28.00 0.0
AO,CXL	05/01	FRONTIER (FRON1937)	029	WBMD	B	1,000	23.73	n/a	n/a	40.00 0.0
AO,	05/01	FRONTIER (FRON1937)	029	WBMD	B	1,000	23.73	n/a	n/a	40.00 0.0
AO,CXL	05/01	FRONTIER (FRON526)	029	WBMD	B	1,900	23.73	n/a	n/a	76.00 0.0
AO,	05/01	FRONTIER (FRON526)	029	WBMD	B	1,900	23.73	n/a	n/a	76.00 0.0
AO,CXL	05/01	FRONTIER (FRON143)	029	WBMD	B	5,100	23.73	n/a	n/a	204.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	WFC	B	600	37.84	n/a	15-Apr	24.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	WFC	B	600	37.84	n/a	15-Apr	24.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	WLP	B	300	72.63	n/a	n/a	12.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	WLP	B	300	72.63	n/a	n/a	12.00 0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	WMT	B	700	78.36	n/a	22-Feb	28.00 0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	WMT	B	700	78.36	n/a	22-Feb	28.00 0.0
AO,	04/29	TIEDEMANN/TIG ADVISORS LLC (TIEDBNY)	006	WTSL	S	1,000	3.27	n/a	n/a	30.00 0.0
AO,CXL	04/29	TIEDEMANN/TIG ADVISORS LLC (TIEDGSPIKE)	006	WTSL	S	10,000	3.27	n/a	n/a	300.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	04/29	TIEDEMANN/TIG ADVISORS LLC (TIEDGSPIKE)	006	WTSL	S	9,000	3.27	n/a	n/a	270.00	0.0
AO,	04/26	YORK CAPITAL (YORKUSBAN)	036	YHOO	B	500	25.04	n/a	n/a	15.00	0.0
AO,CXL	04/26	YORK CAPITAL (YORKUBS)	036	YHOO	B	500	25.04	n/a	n/a	15.00	0.0
AO,CXL	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	ZMH	B	200	76.10	n/a	n/a	8.00	0.0
AO,	05/01	FOCUSED INVESTORS (FOCIRCTS)	084	ZMH	B	200	76.10	n/a	n/a	8.00	0.0

TOTAL (AO, CXL, CRT) : **-10,372.25**

TOTAL (Trade Date) : 75,723.06
TOTAL (AO, CXL, CRT) : -10,372.25

TOTAL (RUN DATE) / NFS: **65,350.81**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION