



Daily Compliance Activity Report

Trade Date: 03/15/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROBX	302	AAP	B	22,000	37.94	n/a	n/a	660.00	3.0
BALYASNY ASSET MGMT	044	AB	B	10,000	84.00	5-Mar	9-Mar	500.00	5.0
PATTEN & PATTEN	067	AB	B	250	83.65	5-Mar	9-Mar	12.50	5.0
GOLD SACHS SPECIAL SIT	037	ACPW	SS	50,000	1.87	n/a	n/a	2,500.00	5.0
GOLD SACHS SPECIAL SIT	037	ADM	SS	35,000	33.64	n/a	n/a	1,750.00	5.0
CIBC ADV.	060	ALO	SS	20,000	24.18	n/a	n/a	800.00	4.0
BALYASNY ASSET MGMT	044	AMG	B	10,000	107.51	5-Mar	5-Mar	500.00	5.0
SUNOVA CAPITAL	031	AMG	B	20,000	107.40	5-Mar	5-Mar	1,000.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	5,000	73.11	2-Feb	9-Mar	200.00	4.0
THE JAY GOLDMAN	034	ARO	SS	20,000	38.81	23-Oct	16-Mar	800.00	4.0
MAZAMA CAPITAL MGMT.	035	ATW	S	41,200	51.37	n/a	n/a	1,648.00	4.0
B BUCK CAPITAL MGMT		AVCI	C	35,000	9.51	n/a	n/a	non-brg	4.0
GOLD SACHS SPECIAL SIT	037	AVR	SS	60,000	14.91	n/a	n/a	3,000.00	5.0
B BUCK CAPITAL MGMT		BBND	S	2,000	16.10	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	BBW	S	10,000	26.97	8-Jan	21-Feb	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	BEN	B	10,000	117.26	9-Nov	15-Mar	500.00	5.0
DENVER INST. ADV	046	BEN	S	7,690	117.01	9-Nov	15-Mar	384.50	5.0
AAD CAPITAL	048	BIG	SS	60,000	30.98	9-Mar	12-Mar	2,400.00	4.0
B BUCK CAPITAL MGMT		BONT	S	50,000	56.46	9-Mar	9-Mar	non-brg	5.0
CENTURION PARTNERS	233	BONT	B	5,000	54.71	9-Mar	9-Mar	250.00	5.0
COUGAR TRADING	044	BONT	SS	10,000	54.04	9-Mar	9-Mar	500.00	5.0
ZOLIDIS	011	BOOT	S	103	15.05	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	BRCD	B	33,290	9.80	n/a	n/a	1,664.50	5.0
AELTUS/ING IVESTMENT MGT	237	BRCM	B	112,400	34.21	n/a	n/a	4,496.00	4.0
B BUCK CAPITAL MGMT		BRNC	B	20,000	15.38	n/a	n/a	non-brg	4.0
BEAR STEARNS ASSET MGMT.	033	CAKE	S	40,700	27.78	7-Feb	7-Feb	1,628.00	4.0
REINHART & MAHONEY	031	CAKE	B	8,460	27.75	7-Feb	7-Feb	423.00	5.0
VISIUM/BALY HOWARD	038	CBK	SS	15,000	17.77	11-Dec	9-Mar	750.00	5.0
RAMIUS CAP	040	CBS	S	100,000	30.19	n/a	n/a	5,000.00	5.0
SUTERA	160	CC	B	1,000	17.82	20-Dec	23-Feb	0.00	0.0
LEDDY	035	CFC	B	300	35.43	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CHCG	B	17,200	6.27	n/a	n/a	non-brg	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
⌘ BUCK CAPITAL MGMT		CHRS	SS	100,000	11.96	n/a	16-Feb	non-brg 5.0
GOLD SACHS SPECIAL SIT	037	CIG	SS	25,000	47.10	n/a	n/a	1,250.00 5.0
BANK OF NEW YORK	040	CIT	B	10,000	52.35	n/a	n/a	450.00 4.5
BUCK CAPITAL MGMT	001	CLE	B	50,000	30.90	3-Nov	9-Mar	2,000.00 4.0
GOLD SACHS SPECIAL SIT	037	CLRK	SS	20,000	17.47	n/a	n/a	1,000.00 5.0
AELTUS/ING INVESTMENT MGT	237	CLX	S	95,000	62.17	5-Dec	2-Feb	3,800.00 4.0
SUN AMERICA ASSET MGMT	030	CMX	B	29,700	61.44	n/a	n/a	1,485.00 5.0
BUCK CAPITAL MGMT	001	CRI	S	20,000	24.64	n/a	n/a	800.00 4.0
⌘ BUCK CAPITAL MGMT		CRI	S	10,000	24.72	n/a	n/a	non-brg 2.0
⌘ BUCK CAPITAL MGMT		CRI	S	50,000	24.66	n/a	n/a	non-brg 5.0
SUN AMERICA ASSET MGMT	030	CVH	S	25,400	55.08	n/a	n/a	1,270.00 5.0
SANDELL ADV	040	CVS	SS	750,000	32.83	n/a	n/a	37,500.00 5.0
SENECA CAPITAL LP NY	028	C	B	84,000	49.65	11-Jan	13-Mar	4,200.00 5.0
⌘ BUCK CAPITAL MGMT		DBRN	B	20,000	19.82	n/a	n/a	non-brg 5.0
⌘ BUCK CAPITAL MGMT		DKS	B	25,000	55.67	n/a	n/a	non-brg 5.0
IRON HORSE CAPITAL MGMT	038	DLIA	B	6,000	9.35	n/a	n/a	300.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CHILTON CAPITAL MGMT.	036	DSL	B	20,000	64.21	n/a	n/a	800.00	4.0
GOLD SACHS SPECIAL SIT	037	ELON	SS	45,000	9.50	n/a	n/a	2,250.00	5.0
GOLD SACHS SPECIAL SIT	037	ENS	SS	20,000	16.59	n/a	n/a	1,000.00	5.0
LEDDY	035	EVST	B	700	17.75	n/a	n/a	0.00	0.0
BARON ASSET MGMT*	012	EV	B	16,500	34.29	2-Nov	5-Mar	825.00	5.0
B BUCK CAPITAL MGMT		EV	S	20,000	34.30	2-Nov	5-Mar	non-brg	2.0
B BUCK CAPITAL MGMT		EV	S	22,500	34.33	2-Nov	5-Mar	non-brg	5.0
U.S.TRUST COMPANY	012	FBR	S	330,000	5.25	n/a	n/a	9,900.00	3.0
DEMARTINI	065	FBR	B	2,000	5.11	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FL	B	20,000	21.95	n/a	14-Mar	non-brg	5.0
GOLD SACHS SPECIAL SIT	037	FPL	SS	20,000	59.12	n/a	n/a	1,000.00	5.0
GOLD SACHS SPECIAL SIT	037	FSYS	SS	25,000	18.40	n/a	n/a	1,250.00	5.0
GOLD SACHS SPECIAL SIT	037	FTEK	SS	40,000	26.42	n/a	n/a	2,000.00	5.0
CARDINAL CAPITAL	044	GCA	B	10,600	14.96	n/a	n/a	530.00	5.0
BARR	096	GM	SS	175	29.46	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GRMN	C	10,000	53.75	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		HLYS	B	50,000	28.45	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		HP	B	20,000	28.48	n/a	n/a	non-brg	5.0
FINANCIAL STOCK LP	028	HRB	B	208,040	20.77	n/a	n/a	8,321.60	4.0
GOLD SACHS SPECIAL SIT	037	HW	SS	55,000	21.89	n/a	n/a	2,750.00	5.0
⌘ BUCK CAPITAL MGMT		IKAN	B	10,000	8.30	n/a	n/a	non-brg	4.0
ASCEND PARTNERS	033	ISE	B	45,000	47.10	26-Oct	13-Mar	2,250.00	5.0
⌘ BUCK CAPITAL MGMT		JADE	B	50,000	9.15	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	JCG	B	5,000	38.30	14-Mar	14-Mar	200.00	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	35,000	31.94	n/a	n/a	non-brg	5.0
BANK OF NEW YORK	040	JWN	B	10,000	51.26	27-Feb	9-Mar	450.00	4.5
SINCLAIR	031	KEGS	S	500	16.60	n/a	22-Feb	0.00	0.0
⌘ BUCK CAPITAL MGMT		KWD	B	60,000	31.65	n/a	n/a	non-brg	5.0
BALYASNY ASSET MGMT	044	LEH	SS	5,000	73.50	n/a	14-Mar	250.00	5.0
GAULT	063	LESCO	S	130	0.20	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LIZ	C	20,000	42.76	n/a	28-Feb	non-brg	5.0
STADIA CAPITAL	035	LTD	S	22,000	25.63	2-Mar	9-Mar	880.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	MER	B	200	79.89	19-Jan	13-Mar	0.00	0.0
MAZAMA CAPITAL MGMT.	035	MTZ	S	84,400	10.72	n/a	n/a	3,376.00	4.0
ROYCE TRADING	216	MTZ	B	5,000	10.72	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		NEXC	B	40,000	9.62	n/a	n/a	non-brg	5.0
DIAMONDBACK CAP MGMT	232	NOK	B	50,000	21.58	n/a	n/a	2,500.00	5.0
GOLD SACHS SPECIAL SIT	037	NWEC	SS	20,000	35.75	n/a	n/a	1,000.00	5.0
GOLD SACHS SPECIAL SIT	037	ORA	SS	25,000	39.17	n/a	n/a	1,250.00	5.0
MAGUIRE	090	PDC	B	5,000	12.45	n/a	n/a	0.00	0.0
AELTUS/ING IVESTMENT MGT	237	PEP	S	202,655	63.18	n/a	n/a	8,106.20	4.0
PATTEN & PATTEN	067	PG	B	325	61.84	15-Dec	13-Mar	16.25	5.0
ROARING BLUE LION MGMT	012	PLCE	S	5,700	54.76	n/a	9-Mar	285.00	5.0
WHALE ROCK CAP	030	RTH	B	30,100	99.71	n/a	n/a	1,204.00	4.0
CARDINAL CAPITAL	044	RX	B	57,700	28.23	n/a	n/a	2,885.00	5.0
LEDDY	035	SDS	S	600	61.06	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SDXC	B	20,000	17.79	n/a	n/a	non-brg	5.0
SCUDDER HEDGE	034	SLM	B	25,000	41.55	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY4	036	SMG	B	100	41.05	20-Feb	15-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	B	30,000	59.54	n/a	8-Mar	1,200.00	4.0
J L F ASSET MGMT	039	TGT	B	38,400	59.83	n/a	8-Mar	1,536.00	4.0
BALYASNY ASSET MGMT	044	TROW	B	10,000	47.04	n/a	5-Mar	500.00	5.0
GALLEON MGMT LP	033	TSN	B	5,000	18.26	n/a	n/a	250.00	5.0
AELTUS/ING IVESTMENT MGT	237	UAUA	S	81,700	38.83	n/a	n/a	3,268.00	4.0
GOLD SACHS SPECIAL SIT	037	VICR	SS	35,000	9.40	n/a	n/a	1,750.00	5.0
B BUCK CAPITAL MGMT		WTSLA	B	30,000	5.81	n/a	n/a	non-brg	4.0
U.S.TRUST COMPANY	012	WYE	S	141,000	48.31	n/a	31-Mar	6,345.01	4.5
RAMIUS CAP	040	WY	B	20,000	80.38	15-Dec	9-Feb	1,000.00	5.0
XYLEM GLOBAL	091	WY	S	20,000	80.38	15-Dec	9-Feb	1,000.00	5.0
DAVIDSON TRUST CO	033	ZBRA	S	1,900	37.61	n/a	n/a	114.00	6.0
BUCK CAPITAL MGMT	001	ZQK	S	40,000	11.39	9-Mar	13-Mar	1,600.00	4.0
SPRINGBOC	039	ZUMZ	B	55,100	39.94	n/a	n/a	2,755.00	5.0
TOTAL (Trade Date):								163,668.56	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	03/12	BUCK CAPITAL MGMT	001	BIG	SS	10,000	29.66	9-Mar	12-Mar	400.00	4.0
AO,CXL	12/19	EMP ERROR	099	CHS	S	1,000	21.50	5-Jan	9-Mar	0.00	0.0
AO,CRT	12/19	EMP ERROR	099	CHS	S	1,000	21.50	5-Jan	9-Mar	0.00	0.0
AO,CRT	03/14	SYSTEMATIC FINANCIAL MGT	035	CKR	S	14,400	18.78	n/a	n/a	540.00	3.8
AO,CXL	03/14	SYSTEMATIC FINANCIAL MGT	035	CKR	S	14,400	18.78	n/a	n/a	540.00	3.8
AO,	03/14	WAGONHOUND	048	GYMB	SS	7,500	38.20	4-Jan	14-Mar	375.00	5.0
AO,CXL	03/14	HAYGROUND COE	039	MCD	SS	16,550	43.40	n/a	6-Feb	0.00	0.0
AO,CRT	03/14	HAYGROUND COE	039	MCD	SS	16,550	43.40	n/a	6-Feb	827.50	5.0
AO,	03/14	PILOT ADV	038	USG	SS	25,000	47.78	13-Mar	13-Mar	1000.00	4.0
AO,CXL	03/14	PILOT ADV	038	USG	SS	25,000	47.78	13-Mar	13-Mar	1000.00	4.0

TOTAL (AO, CXL, CRT) :**802.50**

TOTAL (Trade Date) :**163,668.56****TOTAL (AO, CXL, CRT) :****802.50**

TOTAL (RUN DATE) / NFS:**164,471.06**

MRI Securities with Trade Activity on 15-Mar.
NONE

MRI Securities without Trade Activity on 15-Mar.
VZ

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 16%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION