

Daily Compliance Activity Report Trade Date: 12/07/2006

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
PATTEN & PATTEN	067	AA	S	500	30.85	n/a	n/a	25.00	5.0
1837 PARTNERS/RMB CAP	030	AG	В	33,500	33.66	n/a	n/a	1,675.00	5.0
BECK MACK & OLIVER LLC	044	AIG	S	400	70.40	n/a	n/a	20.00	5.0
PATTEN & PATTEN	067	AMAT	S	300	18.39	n/a	n/a	15.00	5.0
SUNOVA CAPITAL	031	AMG	В	10,000	104.18	25-Oct	28-Nov	500.00	5.0
HOOVER INV. MGMT	033	AOB	В	60,000	11.82	n/a	n/a	3,000.00	5.0
DELAWARE MANAGEMENT	243	ARRS	S	46,100	12.12	n/a	n/a	1,844.00	4.0
MAGUIRE	090	AVGN	S	10,000	6.00	n/a	n/a	0.00	0.0
BECK MACK & OLIVER LLC	044	AXP	S	200	59.81	n/a	n/a	10.00	5.0
BECK MACK & OLIVER LLC	044	BAX	S	1,000	44.83	n/a	22-Apr	50.00	5.0
SAILFISH	037	BBT	В	10,000	43.74	n/a	n/a	400.00	4.0
PATTEN & PATTEN	067	BDH	S	100	16.21	n/a	n/a	5.00	5.0
CAPITAL WORKS	048	BEAS	S	210,000	12.85	n/a	3-Apr	8,400.00	4.0
SANDLER O'NEILL ASSET	031	BEN	S	5,500	113.27	9-Nov	28-Nov	275.00	5.0

Account	RR #	Symbol	B/S	3	Shares	Price	MRI	Research	Comm.	Shr.	
BECK MACK & OLIVER LLC PATTEN & PATTEN	044	BP BP		S S	50 56	68.35 68.37	n/a n/a	n/a n/a	2.50	5.0 5.0	
BUCK	015	BYD		S	800	46.45	n/a	27-0ct	0.00	0.0	
BECK MACK & OLIVER LLC	044	САН		S	200	66.50	n/a	n/a	10.00	5.0	
MKT MAKER	099	CBRL		s	300	44.84	n/a	n/a	0.00	0.0	
CARDINAL CAPITAL	044	CHE	В		18,300	37.03	n/a	n/a	915.00	5.0	
MKT MAKER	099	CHIC		s	300	31.60	n/a	n/a	0.00	0.0	
CAPITAL GROWTH MGMT.	241	CLB	В		20,000	89.96	6-Dec	6-Dec	1,000.00	5.0	
BARROW HANLEY MEWHINNEY	031	CMCSA	В		86,100	42.07	27-Jul	29-Nov	3,444.00	4.0	
PATTEN & PATTEN	067	CVS	В		100	30.00	n/a	n/a	5.00	5.0	
PATTEN & PATTEN	067	DG		S	500	15.33	21-Aug	1-Dec	25.00	5.0	
LOOMIS SALES/BOSTON	036	DJ	С		70,075	36.36	n/a	n/a	3,503.75	5.0	
PATTEN & PATTEN	067	DOW		S	250	40.08	n/a	26-Oct	12.50	5.0	
SECOND CURVE	031	DRL		SS	50,000	3.38	n/a	n/a	2,500.00	5.0	
PATTEN & PATTEN	067	EP		S	600	14.80	n/a	n/a	30.00	5.0	
MAGNETAR FINANCIAL	247	EVC		S	27,000	7.59	n/a	n/a	1,080.00	4.0	

Account	RR #	Symbol	в/	s	Shares	Price	MRI	Research	Comm.	Shr.	
PATTEN & PATTEN	067	EWJ	В		3,000	14.16	n/a	n/a	150.00	5.0	
WALKER SMITH	031	GCO		S	54,500	41.30	n/a	10-Jan	2,725.00	5.0	
BECK MACK & OLIVER LLC	044	GE		S	642	35.29	n/a	n/a	32.10	5.0	
PATTEN & PATTEN	067	GLW	В		75	20.86	n/a	7-Dec	3.75	5.0	
COUGAR TRADING	044	GPS	В		5,000	19.59	n/a	1-Dec	250.00	5.0	
STANDARD PACIFIC CAPITAL	040	GPS	В		746,200	19.96	n/a	1-Dec	37,310.00	5.0	
PILOT ROCK	028	GYMB		SS	31,200	39.57	1-Dec	1-Dec	1,248.00	4.0	
MKT MAKER	099	GYMB		SS	43	39.57	1-Dec	1-Dec	0.00	0.0	
OGORMAN	146	GYMB	С		100	39.87	1-Dec	1-Dec	0.00	0.0	
PATTEN & PATTEN	067	HBI	В		100	23.88	n/a	n/a	5.00	5.0	
BECK MACK & OLIVER LLC	044	HCC		S	800	30.12	n/a	n/a	40.00	5.0	
INDEPENDENCE INV	039	HPQ		S	91,000	40.03	n/a	n/a	3,640.00	4.0	
SCUDDER HEDGE	034	HP	В		25,000	27.20	n/a	n/a	1,000.00	4.0	
PATTEN & PATTEN	067	HST		S	400	24.85	n/a	n/a	20.00	5.0	
BULL PATH CAPITAL	044	HUM	В		25 , 000	55.60	n/a	n/a	1,250.00	5.0	
GALLEON MGMT LP	033	IN		S	25 , 000	23.60	n/a	n/a	1,250.00	5.0	
COMMERCE BANK	046	IR		S	12,200	40.11	n/a	n/a	610.00	5.0	

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Account	RR #	Symbol	B/	s	Shares	Price	MRI	Research	Comm. (Shr.	
SAILFISH	037	IYR		SS	5,000	85.67	n/a	n/a	200.00	4.0	
FINANCIAL STOCK LP	028	JNS		S	100,000	20.58	1-Dec	1-Dec	4,000.00	4.0	
SAILFISH	037	JPM	В		15,000	47.08	n/a	13-Nov	600.00	4.0	
MAGNETAR FINANCIAL	247	KSS		SS	10,000	71.10	10-Nov	1-Dec	400.00	4.0	
PATTEN & PATTEN	067	LEH	В		150	76.49	6-Dec	6-Dec	7.50	5.0	
FORD FDN.	032	LLY	В		90,000	53.98	n/a	n/a	4,500.00	5.0	
LEVIN CAPITAL STRATEGIES	012	LPX		SS	15,000	21.96	14-Sep	25-0ct	600.00	4.0	
SAILFISH	037	LPX		SS	50,000	21.95	14-Sep	25-Oct	2,000.00	4.0	
XYLEM GLOBAL	024	LPX		SS	25,000	22.25	14-Sep	25-Oct	1,250.00	5.0	
BLUE HARBOR	036	LSI	В		500,000	9.19	n/a	n/a	15,000.00	3.0	
BUCK CAPITAL MGMT	001	LTD	В		30,000	31.24	1-Dec	1-Dec	1,200.00	4.0	
SIGMA CAPITAL ASSOCIATES	034	LVLT	В		150,000	5.80	18-Oct	1-Dec	5,250.00	3.5	
BUCK	015	LVSAS	В		5	5.54	n/a	n/a	0.00	0.0	
BUCK	015	LVSCT		s	5	6.40	n/a	n/a	0.00	0.0	
BECK MACK & OLIVER LLC	044	MASB		S	450	32.66	n/a	n/a	22.50	5.0	
PATTEN & PATTEN	067	MDT		S	300	53.53	n/a	22-Apr	15.00	5.0	

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	
PATTEN & PATTEN	067	MOT	В	200	21.48	n/a	n/a	10.00	5.0	
BELMONT GLOBAL ADV	248	MTRX	S	23,000	16.14	n/a	n/a	1,150.00	5.0	
PATTEN & PATTEN	067	NEM	В	1,000	47.20	n/a	n/a	50.00	5.0	
PATTEN & PATTEN	067	NOK	S	500	20.42	n/a	n/a	25.00	5.0	
BUCK CAPITAL MGMT	001	NWY	S	20,000	13.21	1-Dec	1-Dec	800.00	4.0	
JETSTREAM GLOBAL FUND LP	065	ORCL	В	95 , 200	17.54	n/a	n/a	4,760.00	5.0	
PATTEN & PATTEN	067	PBE	В	1,200	18.72	n/a	n/a	60.00	5.0	
GENERAL ELECTIC CO	033	PG	В	62 , 487	64.00	1-Nov	5-Dec	2,811.95	4.5	
PATTEN & PATTEN	067	РНО	В	500	18.62	n/a	n/a	25.00	5.0	
SAC TRADING HOWARD	038	PSUN	В	30,000	20.36	3-Aug	1-Dec	1,500.00	5.0	
BECK MACK & OLIVER LLC	044	RA	S	400	46.04	n/a	n/a	20.00	5.0	
ZOLIDIS	011	RCKY	S	300	14.78	n/a	n/a	0.00	0.0	
DISIPLINED GROWTH INV.	030	RCL	В	4,900	41.36	n/a	1-Nov	245.00	5.0	
BECK MACK & OLIVER LLC	044	RDSA	S	210	71.33	n/a	n/a	10.50	5.0	
PILOT ROCK	028	RIMM	S	29,600	130.41	n/a	n/a	1,184.00	4.0	
	PATTEN & PATTEN BELMONT GLOBAL ADV PATTEN & PATTEN PATTEN & PATTEN BUCK CAPITAL MGMT JETSTREAM GLOBAL FUND LP PATTEN & PATTEN GENERAL ELECTIC CO PATTEN & PATTEN SAC TRADING HOWARD BECK MACK & OLIVER LLC ZOLIDIS DISIPLINED GROWTH INV. BECK MACK & OLIVER LLC	PATTEN & PATTEN 067 BELMONT GLOBAL ADV 248 PATTEN & PATTEN 067 PATTEN & PATTEN 067 BUCK CAPITAL MGMT 001 JETSTREAM GLOBAL FUND LP 065 PATTEN & PATTEN 067 GENERAL ELECTIC CO 033 PATTEN & PATTEN 067 SAC TRADING HOWARD 038 BECK MACK & OLIVER LLC 044 ZOLIDIS 011 DISIPLINED GROWTH INV. 030 BECK MACK & OLIVER LLC 044	PATTEN & PATTEN 067 MOT BELMONT GLOBAL ADV 248 MTRX PATTEN & PATTEN 067 NEM PATTEN & PATTEN 067 NOK BUCK CAPITAL MGMT 001 NWY JETSTREAM GLOBAL FUND LP 065 ORCL PATTEN & PATTEN 067 PBE GENERAL ELECTIC CO 033 PG PATTEN & PATTEN 067 PHO SAC TRADING HOWARD 038 PSUN BECK MACK & OLIVER LLC 044 RA ZOLIDIS 011 RCKY DISIPLINED GROWTH INV. 030 RCL BECK MACK & OLIVER LLC 044 RDSA	PATTEN & PATTEN 067 MOT B BELMONT GLOBAL ADV 248 MTRX S PATTEN & PATTEN 067 NEM B PATTEN & PATTEN 067 NOK S BUCK CAPITAL MGMT 001 NWY S JETSTREAM GLOBAL FUND LP 065 ORCL B PATTEN & PATTEN 067 PBE B GENERAL ELECTIC CO 033 PG B PATTEN & PATTEN 067 PHO B SAC TRADING HOWARD 038 PSUN B BECK MACK & OLIVER LLC 044 RA S ZOLIDIS 011 RCKY S DISIPLINED GROWTH INV. 030 RCL B BECK MACK & OLIVER LLC 044 RDSA S	PATTEN & PATTEN 067 MOT B 200 BELMONT GLOBAL ADV 248 MTRX S 23,000 PATTEN & PATTEN 067 NEM B 1,000 PATTEN & PATTEN 067 NOK S 500 BUCK CAPITAL MGMT 001 NWY S 20,000 JETSTREAM GLOBAL FUND LP 065 ORCL B 95,200 PATTEN & PATTEN 067 PBE B 1,200 GENERAL ELECTIC CO 033 PG B 62,487 PATTEN & PATTEN 067 PHO B 500 SAC TRADING HOWARD 038 PSUN B 30,000 BECK MACK & OLIVER LLC 044 RA S 400 ZOLIDIS 011 RCKY S 300 DISIPLINED GROWTH INV. 030 RCL B 4,900 BECK MACK & OLIVER LLC 044 RDSA S 210	PATTEN & PATTEN 067 MOT B 200 21.48 BELMONT GLOBAL ADV 248 MTRX S 23,000 16.14 PATTEN & PATTEN 067 NEM B 1,000 47.20 PATTEN & PATTEN 067 NOK S 500 20.42 BUCK CAPITAL MGMT 001 NWY S 20,000 13.21 JETSTREAM GLOBAL FUND LP 065 ORCL B 95,200 17.54 PATTEN & PATTEN 067 PBE B 1,200 18.72 GENERAL ELECTIC CO 033 PG B 62,487 64.00 PATTEN & PATTEN 067 PHO B 500 18.62 SAC TRADING HOWARD 038 PSUN B 30,000 20.36 BECK MACK & OLIVER LLC 044 RA S 400 46.04 ZOLIDIS 011 RCKY S 300 14.78 DISIPLINED GROWTH INV. 030 RCL B 4,900 41.36 BECK MACK & OLIVER LLC 044 RDSA S 210 71.33	PATTEN & PATTEN 067 MOT B 200 21.48 n/a BELMONT GLOBAL ADV 248 MTRX S 23,000 16.14 n/a PATTEN & PATTEN 067 NEM B 1,000 47.20 n/a PATTEN & PATTEN 067 NOK S 500 20.42 n/a BUCK CAPITAL MGMT 001 NWY S 20,000 13.21 1-Dec JETSTREAM GLOBAL FUND LP 065 ORCL B 95,200 17.54 n/a PATTEN & PATTEN 067 PBE B 1,200 18.72 n/a GENERAL ELECTIC CO 033 PG B 62,487 64.00 1-Nov PATTEN & PATTEN 067 PHO B 500 18.62 n/a SAC TRADING HOWARD 038 PSUN B 30,000 20.36 3-Aug BECK MACK & OLIVER LLC 044 RA S 400 46.04 n/a ZOLIDIS 011 RCKY S 300 14.78 n/a BISIPLINED GROWTH INV. 030 RCL B 4,900 41.36 n/a BECK MACK & OLIVER LLC 044 RDSA S 210 71.33 n/a	PATTEN & PATTEN	PATTEN & PATTEN	PATTEN & PATTEN 067 MOT B 200 21.48 n/a n/a 10.00 5.0 BELMONT GLOBAL ADV 248 MTRX S 23,000 16.14 n/a n/a 1,150.00 5.0 PATTEN & PATTEN 067 NEM B 1,000 47.20 n/a n/a 50.00 5.0 PATTEN & PATTEN 067 NOK S 500 20.42 n/a n/a 25.00 5.0 BUCK CAPITAL MEMT 01 NWY S 20,000 13.21 1-Dec 1-Dec 800.00 4.0 JETSTREAM GLOBAL FUND LP 065 ORCL B 95,200 17.54 n/a n/a 4,760.00 5.0 PATTEN & PATTEN 067 PBE B 1,200 18.72 n/a n/a 60.00 5.0 GENERAL ELECTIC CO 033 PG B 62,487 64.00 1-Nov 5-Dec 2,811.95 4.5 PATTEN & PATTEN 067 PHO B 500 18.62 n/a n/a 25.00 5.0 BECK MACK & OLIVER LLC 044 RA S 400 46.04 n/a n/a n/a 20.00 5.0 EBECK MACK & OLIVER LLC 044 RB S 44,900 41.36 n/a n/a 1-Nov 245.00 5.0 BECK MACK & OLIVER LLC 044 RDSA S 20.00 14.78 n/a n/a 1-Nov 245.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
SCOPUS FUND	039	RKT	S	10,000	26.42	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	RL	ss	5,000	82.92	7-Dec	7-Dec	200.00	4.0
SAXON WOODS ADVISORS	038	SBH	В	15,000	10.18	n/a	n/a	750.00	5.0
MFP INVESTORS	032	SKS	S	10,000	17.60	n/a	n/a	500.00	5.0
PATTEN & PATTEN	067	SLB	В	585	66.87	n/a	n/a	29.25	5.0
PATTEN & PATTEN	067	SNE	S	150	39.96	n/a	n/a	7.50	5.0
IRONBOUND CAPITAL	012	SSCC	SS	235,000	11.06	26-Oct	27-Oct	11,750.00	5.0
PATTEN & PATTEN	067	SSP	В	150	50.06	n/a	n/a	7.50	5.0
PATTEN & PATTEN	067	SWN	S	400	40.41	7-Aug	22-Nov	20.00	5.0
SAILFISH	037	TIF	SS	10,000	39.16	n/a	30-Nov	400.00	4.0
IRONBOUND CAPITAL	012	TIN	В	200,000	42.72	n/a	27-Oct	10,000.00	5.0
ANTEUS ASSOC.BAEL	065	TWB	S	16	43.25	5-Sep	16-Nov	0.80	5.0
ZOLIDIS	011	UARM	С	100	51.60	n/a	n/a	0.00	0.0
OGORMAN	146	UBS	s	150	60.53	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	WYE	В	300	50.20	n/a	31-Mar	15.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
XYLEM GLOBAL	024	WY	В	10,000	66.49	21-Sep	27-Oct	500.00 5.0	
AJB CAPITAL	067	ХОНО	S	9,500	4.20	n/a	n/a	380.00 4.0	
BUCK CAPITAL MGMT	001	ZQK	В	40,000	15.14	8-Sep	7-Dec	1,600.00 4.0	

TOTAL (Trade Date): 152,639.90

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	11/30	LISTED ERR (LISTED ERR)	099		S	33	2.59	n/a	n/a	0.00	0.0
AO,	11/30	LISTED ERR (LISTED ERR)	099		S	33	2.59	n/a	n/a	0.00	0.0
AO,	11/30	LISTED ERR (LISTED ERR)	099		S	33	2.59	n/a	n/a	0.00	0.0
AO,	11/30	LISTED ERR (LISTED ERR)	099		S	33	2.59	n/a	n/a	0.00	0.0
AO, CRT	12/06	ZOLIDIS (ZOLIDIS)	011	BZH	В	100	48.02	n/a	n/a	0.00	0.0
AO, CXL	12/06	ZOLIDIS (ZOLIDIS)	011	BZH	В	100	48.02	n/a	n/a	0.00	0.0
AO, CRT	12/06	MKT MAKER (MKT MAKER)	099	CBRL	В	300	42.79	n/a	n/a	0.00	0.0
AO,CXL	12/01	HIGHBRIDGE CAPITAL (HIGBCITI)	038	GCI	В	70,263	59.62	n/a	n/a	3513.15	5.0
AO,	12/01	HIGHBRIDGE CAPITAL (HIGBMAIN)	038	GCI	В	70,263	59.62	n/a	n/a	3513.15	5.0
AO, CRT	12/06	ZOLIDIS (ZOLIDIS)	011	HOV	В	130	38.33	n/a	n/a	0.00	0.0
AO, CXL	12/06	ZOLIDIS (ZOLIDIS)	011	HOV	В	130	38.33	n/a	n/a	0.00	0.0
AO,	12/06	DAVIDSON KEMPNER ADVISOR (DAVICSFBPB)	217	IP	SS	75 , 000	33.80	n/a	3-Nov	3750.00	5.0
AO, CRT	12/06	ZOLIDIS (ZOLIDIS)	011	квн	В	100	53.40	n/a	n/a	0.00	0.0
AO, CXL	12/06	ZOLIDIS (ZOLIDIS)	011	KBH	В	100	53.40	n/a	n/a	0.00	0.0
AO,CXL	11/30	ANTEUS ASSOC.BAEL (ANTAFRBNTR)	065	LU	S	33	2.59	n/a	22-Mar	1.65	5.0
AO,CXL	11/30	ANTEUS ASSOC.BAEL (ANTASARBTR)	065	LU	S	33	2.59	n/a	22-Mar	1.65	5.0
AO,CXL	11/30	ANTEUS ASSOC.BAEL (ANTAJBEITR)	065	LU	S	33	2.59	n/a	22-Mar	1.65	5.0
AO,CXL	11/30	ANTEUS ASSOC.BAEL (ANTAFWBETR)	065	LU	S	33	2.59	n/a	22-Mar	1.65	5.0
AO,CXL	12/06	ANTEUS ASSOC.BAEL (ANTAREG)	065	QCOM	S	345	38.85	n/a	n/a	0.00	0.0
AO,	12/06	ANTEUS ASSOC.BAEL (ANTAREG)	065	QCOM	S	345	38.85	n/a	n/a	0.00	0.0

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI R	esearch	Comm.	\$/Shr.
AO, CRT	12/01	ZOLIDIS (ZOLIDIS)	011	SCSS	В	600	17.67	n/a	n/a	0.00	0.0
AO, CXL	12/01	ZOLIDIS (ZOLIDIS)	011	SCSS	В	600	17.67	n/a	n/a	0.00	0.0
AO, CRT	12/06	MKT MAKER (MKT MAKER)	099	svvs	S	155	32.62	n/a	n/a	0.00	0.0
AO, CRT	12/06	ZOLIDIS (ZOLIDIS)	011	TOL	В	150	33.28	n/a	n/a	0.00	0.0
AO, CXL	12/06	ZOLIDIS (ZOLIDIS)	011	TOL	В	150	33.28	n/a	n/a	0.00	0.0
TOTAL	(AO, (CXL, CRT):							3,7	43.40	

TOTAL (RUN DATE) / NFS:	156,383.30
TOTAL (AO, CXL, CRT):	3,743.40
TOTAL (Trade Date):	152,639.90

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION