



Daily Compliance Activity Report

Trade Date: 10/29/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
TOTAL (Trade Date):								0.00

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/26	STANDARD PACIFIC CAPITAL	084	DV	B	175,324	26.01	n/a	n/a	8766.20 5.0
AO,CXL	10/26	STANDARD PACIFIC CAPITAL	084	DV	B	175,324	26.01	n/a	n/a	0.00 0.0
AO,CRT	10/26	OSKIE CAPITAL MGMT	224	GM	B	35,000	23.62	n/a	18-Oct	1750.00 5.0
AO,CXL	10/26	OSKIE CAPITAL MGMT	224	GM	B	30,000	23.62	n/a	18-Oct	1500.00 5.0
TOTAL (AO, CXL, CRT) :										9,016.20

TOTAL (Trade Date) :	0.00
TOTAL (AO, CXL, CRT) :	9,016.20

TOTAL (RUN DATE) / NFS :	9,016.20
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 29-Oct.
NONE

MRI Securities without Trade Activity on 29-Oct.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)