



Daily Compliance Activity Report

Trade Date: 07/01/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CHRISTENSE	022	AAPL	B	100	172.40	n/a	n/a	0.00	0.0
COUGAR TRADING	044	AEO	S	10,000	13.03	6-Mar	6-Jun	500.00	5.0
DAVIS SELECT ADV	040	AES	B	20,000	18.98	n/a	n/a	600.00	3.0
BUCK CAPITAL MGMT	001	AMG	S	30,000	88.24	7-Apr	23-Jun	1,200.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	25,000	62.11	10-Apr	6-Jun	1,000.00	4.0
COUGAR TRADING	044	ANF	S	5,000	61.89	10-Apr	6-Jun	250.00	5.0
BMO NESBITT BURNS	041	AN	B	50,000	9.80	23-Jun	23-Jun	2,500.00	5.0
BUCK CAPITAL MGMT	001	AN	B	500,000	9.93	23-Jun	23-Jun	20,000.00	4.0
FIRST NY SEC/CHRIS	041	AN	B	125,000	9.90	23-Jun	23-Jun	5,000.00	4.0
VENTANA CAPITAL	060	AN	S	10,000	9.94	23-Jun	23-Jun	300.00	3.0
LEDDY	035	AN	C	4,900	9.97	23-Jun	23-Jun	0.00	0.0
REINHART & MAHONEY	031	APA	S	2,320	140.37	7-May	18-Jun	116.00	5.0
TRELLUS PARTNERS LP	028	ARGN	B	10,000	6.76	24-Jun	24-Jun	300.00	3.0
WAGONHOUND/NIC	048	ARG	B	13,239	58.21	30-Jun	30-Jun	529.56	4.0
BUCK CAPITAL MGMT	001	ARO	B	270,000	31.45	28-Apr	6-Jun	10,800.00	4.0
COUGAR TRADING	044	ARO	SS	5,000	31.01	28-Apr	6-Jun	250.00	5.0
WAGONHOUND/NIC	048	ARO	B	4,539	31.52	28-Apr	6-Jun	181.56	4.0
GAULT	063	ASH	B	800	47.61	30-Apr	23-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GAULT	063	ASTI	B	1,500	9.78	n/a	n/a	0.00	0.0
STRONG/MARK GRONET	038	AUY	S	15,145	16.24	n/a	n/a	605.80	4.0
JP MORGAN VENTURES	046	AVNR	B	1,000	0.99	n/a	n/a	20.00	2.0
GALLEON MGMT LP	033	AXP	SS	30,000	39.63	n/a	n/a	1,500.00	5.0
BUCK	015	BAC	C	2,500	23.73	n/a	16-Jun	0.00	0.0
JP MORGAN VENTURES	046	BBBY	C	15,000	28.74	n/a	n/a	750.00	5.0
LEVIN CAPITAL STRATEGIES	012	BBBY	C	20,000	28.54	n/a	n/a	600.00	3.0
KACELA CAPITAL	034	BBY	B	40,000	39.45	n/a	27-Jul	1,600.00	4.0
KORMAN	003	BBY	SS	3,000	39.03	n/a	27-Jul	0.00	0.0
ROTHSCHILD ASSET	040	BEN	B	7,270	92.36	24-Mar	23-Jun	327.15	4.5
BUCK CAPITAL MGMT	001	BIG	SS	30,000	31.19	29-May	5-Jun	1,200.00	4.0
KORMAN	003	BIG	SS	3,000	31.56	29-May	5-Jun	0.00	0.0
LEDDY	035	BIG	C	700	31.45	29-May	5-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	BJS	SS	30,000	31.46	n/a	n/a	1,200.00	4.0
SYSTEMATIC FINANCIAL MGT	035	BKE	B	15,000	44.33	n/a	n/a	562.50	3.8
BMO NESBITT BURNS	041	BWA	B	25,000	44.33	10-Jun	10-Jun	1,250.00	5.0
LEDDY	035	BWA	C	700	43.75	10-Jun	10-Jun	0.00	0.0
ROTHSCHILD ASSET	040	CE	S	6,400	45.25	n/a	n/a	288.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	CHIC	SS	25,000	17.37	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	CHS	S	30,000	5.21	11-Apr	6-Jun	1,500.00	5.0
BUCK	015	COF	C	1,000	38.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	COH	S	30,000	28.64	4-Apr	23-Apr	1,200.00	4.0
STADIA CAPITAL	035	COH	SS	40,000	28.69	4-Apr	23-Apr	1,600.00	4.0
BEAR STEARNS ASSET MGMT.	033	COST	S	50,100	72.10	n/a	27-Jul	2,004.00	4.0
COUGAR TRADING	044	CPKI	B	5,000	11.21	n/a	9-May	250.00	5.0
MERRILL ASSET	032	CRR	S	2,500	57.90	5-Jun	18-Jun	125.00	5.0
REINHART & MAHONEY	031	CVD	B	11,020	84.52	n/a	n/a	551.00	5.0
CLOVIS CAPITAL	012	CYT	SS	175,000	53.11	n/a	n/a	8,750.00	5.0
BAYPOINT CRIT/B COLBURN	033	C	B	100,000	17.10	19-Jun	19-Jun	5,000.00	5.0
ZOLIDIS	011	DECK	B	200	134.78	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	DELL	B	10,800	22.13	n/a	n/a	432.00	4.0
FRONTPOINT CHRIS C	041	DIS	SS	4,100	30.51	n/a	n/a	164.00	4.0
CHART WELL ADV	098	DLTR	S	31,295	32.83	n/a	5-Jun	1,251.80	4.0
CLOVIS CAPITAL	012	DLTR	SS	50,000	31.96	n/a	5-Jun	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	EDU	B	9,880	54.45	n/a	n/a	494.00	5.0
MS PRINCIPAL STRATEGIES	041	ERJ	SS	28,400	26.25	n/a	n/a	1,420.00	5.0
PRINCIPLE CAPITAL	040	EV	SS	80,000	39.13	n/a	23-Jun	2,400.00	3.0
WEISS PECK	040	EV	SS	4,500	39.43	n/a	23-Jun	225.00	5.0
BUCK CAPITAL MGMT	001	FBN	SS	50,000	13.15	n/a	n/a	2,000.00	4.0
CAXTON PART	040	FITB	S	25,000	10.61	n/a	n/a	875.01	3.5
JPMSI/TOM LEDDY	035	FO	B	15,000	54.54	n/a	n/a	750.00	5.0
LEDDY	035	F	C	1,800	4.84	23-Jun	30-Jun	0.00	0.0
WILLOW CREEK	033	GBCI	SS	35,000	15.86	n/a	n/a	1,400.00	4.0
BUCK CAPITAL MGMT	001	GCO	B	30,000	29.90	n/a	10-Jan	1,200.00	4.0
MATLIN PATTERSON	046	GE	SS	100,000	26.51	n/a	n/a	5,000.00	5.0
BARON ASSET MGMT*	012	GIL	S	55,000	25.76	30-Apr	27-Jun	2,750.00	5.0
CASTLE ARK	031	GIL	B	99,920	25.69	30-Apr	27-Jun	5,995.20	6.0
MAIRS AND POWER INV	030	GIS	S	10,000	60.63	n/a	n/a	500.00	5.0
GAULT	063	GMO	B	2,000	7.49	n/a	n/a	0.00	0.0
COLONIAL FUND LLC	036	GM	SS	25,000	11.81	24-Jun	1-Jul	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HEALY CIRCLE	044	GM	SS	9,500	12.41	24-Jun	1-Jul	475.00	5.0
WAGONHOUND/NIC	048	GM	SS	59,056	11.84	24-Jun	1-Jul	2,362.24	4.0
SCOPUS FUND	041	GPI	B	15,000	20.22	10-Jun	10-Jun	750.00	5.0
COUGAR TRADING	044	GYMB	B	5,000	40.06	3-Jun	6-Jun	250.00	5.0
COUGAR TRADING	044	GYMB	S	5,000	39.98	3-Jun	6-Jun	250.00	5.0
KARSCH CAPITAL	034	HOG	C	115,000	36.86	n/a	n/a	3,450.00	3.0
GAULT	063	HRS	B	500	49.34	2-Jun	2-Jun	0.00	0.0
ROYCE TRADING	216	HYC	B	10,000	4.39	n/a	n/a	300.00	3.0
KORMAN	003	JCG	SS	3,000	32.45	n/a	30-May	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	S	20,000	13.53	n/a	1-May	800.00	4.0
ROYCE TRADING	216	JNY	B	5,000	13.46	n/a	1-May	250.00	5.0
BUCK	015	JPM	SS	1,500	33.88	8-May	16-Jun	0.00	0.0
COUGAR TRADING	044	JWN	B	35,000	29.85	16-May	6-Jun	1,750.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	380,000	39.88	n/a	6-Jun	15,200.00	4.0
COUGAR TRADING	044	KSS	B	20,000	40.82	n/a	6-Jun	1,000.00	5.0
MARK ASSETMGMT (SR)	040	KSS	SS	80,000	39.06	n/a	6-Jun	3,200.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	20,000	14.00	n/a	14-May	800.00	4.0
BUCK CAPITAL MGMT	001	LOW	SS	200,000	20.24	n/a	27-Jul	8,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KACELA CAPITAL	034	LTD	B	35,000	16.98	n/a	6-Jun	1,400.00	4.0
BUCK CAPITAL MGMT	001	LTM	S	10,000	27.46	n/a	n/a	400.00	4.0
BUCK	015	LVS	C	1,000	43.69	n/a	n/a	0.00	0.0
KARSCH CAPITAL	034	MAR	C	87,000	25.69	n/a	n/a	2,610.00	3.0
CHARTER OAK	033	MET	B	24,034	52.38	n/a	n/a	1,201.70	5.0
FRONTPOINT CHRIS C	041	MSM	SS	8,300	44.79	n/a	n/a	332.00	4.0
FRONTPOINT CHRIS C	044	MSM	B	5,900	42.56	n/a	n/a	236.00	4.0
LEDDY	035	MTW	B	200	31.52	n/a	23-May	0.00	0.0
STRONG/MARK GRONET	038	NBL	S	18,900	101.13	n/a	n/a	756.00	4.0
STRONG/MARK GRONET	038	NFX	S	30,700	65.96	n/a	n/a	1,228.00	4.0
BMO NESBITT BURNS	041	PAG	B	25,000	14.90	10-Jun	10-Jun	1,250.00	5.0
SCOPUS FUND	041	PAG	B	15,000	14.92	10-Jun	10-Jun	750.00	5.0
TRELLUS PARTNERS LP	028	PAG	C	15,700	14.74	10-Jun	10-Jun	785.00	5.0
PECONIC PARTNERS	036	PLCE	S	50,000	34.84	23-Jun	23-Jun	2,500.00	5.0
ROARING BLUE LION MGMT	012	PRAA	S	4,650	36.97	n/a	n/a	186.00	4.0
LEVIN CAPITAL STRATEGIES	012	PVH	B	37,910	36.92	5-May	22-May	1,516.40	4.0
KORMAN	003	PZZA	SS	3,000	26.48	n/a	24-Apr	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK LEDDY	035	Q	B	10,000	3.87	n/a	n/a	600.00	6.0
STERLING JOHNSTON	035	RDEN	B	5,000	14.94	10-Jun	24-Jun	200.00	4.0
CAXTON PART	040	RIO	B	50,000	34.22	n/a	n/a	1,750.01	3.5
BUCK CAPITAL MGMT	001	RL	B	10,000	62.45	7-Feb	29-May	400.00	4.0
MERRILL LYNCH STRATEGICI	034	ROST	SS	100,000	35.79	n/a	n/a	5,000.00	5.0
CUMBERLAND	038	RRGB	B	15,000	26.92	n/a	n/a	600.00	4.0
BUCK	015	SDBSA	S	5	2.40	n/a	n/a	0.00	0.0
BUCK	015	SFBSB	B	7	5.95	n/a	n/a	0.00	0.0
COUGAR TRADING	044	SKS	B	25,000	10.52	n/a	n/a	1,250.00	5.0
KORMAN	003	SKS	SS	3,000	10.55	n/a	n/a	0.00	0.0
BUCK	015	SLBTS	B	10	1.64	n/a	n/a	0.00	0.0
MARK ASSETMGMT (SR)	040	SPY	C	120,000	127.61	n/a	n/a	3,600.00	3.0
AVESTA CAP	229	SWY	SS	50,000	28.42	n/a	n/a	2,500.00	5.0
MS PRINCIPAL STRATEGIES	041	TD	B	4,100	62.99	n/a	n/a	205.00	5.0
BMO NESBITT BURNS	041	TEN	B	25,000	14.11	n/a	n/a	1,250.00	5.0
SCOPUS FUND	041	TEN	B	39,800	13.99	n/a	n/a	1,990.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	TGT	SS	190,000	46.30	n/a	5-Jun	7,600.00	4.0
MARK ASSETMGMT (SR)	040	TGT	SS	80,000	45.98	n/a	5-Jun	3,200.00	4.0
BUCK CAPITAL MGMT	001	TIF	SS	10,000	39.90	n/a	2-Jun	400.00	4.0
KORMAN	003	TIF	SS	3,000	39.89	n/a	2-Jun	0.00	0.0
ROYCE TRADING	216	TKR	B	10,000	30.16	n/a	n/a	500.00	5.0
LEDDY	035	TROW	C	500	54.05	n/a	23-Jun	0.00	0.0
COUGAR TRADING	044	TWB	S	10,000	15.83	17-Mar	22-May	500.00	5.0
BEAR STEARNS ASSET MGMT.	033	URBN	S	50,000	32.32	15-May	15-May	2,000.00	4.0
COUGAR TRADING	044	URBN	B	10,000	32.03	15-May	15-May	500.00	5.0
COUGAR TRADING	044	URBN	SS	5,000	31.89	15-May	15-May	250.00	5.0
SINCUTMA	031	VC	S	700	2.53	n/a	9-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	S	20,000	72.30	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	WSM	SS	40,000	19.39	n/a	n/a	1,600.00	4.0
KERN	050	XLFX	S	500	19.78	n/a	n/a	0.00	0.0
MCERLEAN	062	XLFX	B	1,000	20.27	n/a	n/a	0.00	0.0
FRONTPOINT CHRIS C	041	XRAY	SS	7,000	36.74	n/a	n/a	280.00	4.0
COUGAR TRADING	044	ZQK	S	10,000	9.83	5-Feb	6-Jun	500.00	5.0
WEINTRAUB CAPITAL MGT.	040	ZQK	S	100,000	9.85	5-Feb	6-Jun	3,000.00	3.0

TOTAL (Trade Date):

200,730.93

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CRT	07/01	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	AMG	S	29,800	88.24	7-Apr	23-Jun	1192.00	4.0
AO,	06/30	MATTHES CAPITAL MGMT (MATTMSPB)	033	FDO	B	18,343	19.84	30-Jun	30-Jun	733.72	4.0
AO,	06/30	MATTHES CAPITAL MGMT (MATTGSPB)	033	FDO	B	31,657	19.84	30-Jun	30-Jun	1266.28	4.0
AO,	06/30	REINHART & MAHONEY (REINWIGLTR)	031	GME	B	50	41.38	n/a	n/a	2.50	5.0
AO,	06/30	REINHART & MAHONEY (REINSETMID)	031	GME	B	150	41.38	n/a	n/a	7.50	5.0
AO,	06/30	REINHART & MAHONEY (REINNGLMID)	031	GME	B	450	41.38	n/a	n/a	22.50	5.0
AO,	06/30	REINHART & MAHONEY (REINFOODDI)	031	GME	B	2,350	41.38	n/a	n/a	117.50	5.0
AO,	06/30	REINHART & MAHONEY (REINSMTR2)	031	GME	B	50	41.38	n/a	n/a	2.50	5.0
AO,	06/30	REINHART & MAHONEY (REINSOFMA4)	031	GME	B	150	41.38	n/a	n/a	7.50	5.0
AO,	06/30	REINHART & MAHONEY (REINSOFRE5)	031	GME	B	150	41.38	n/a	n/a	7.50	5.0
AO,	06/30	REINHART & MAHONEY (REINWIGANN)	031	GME	B	100	41.38	n/a	n/a	5.00	5.0
AO,	06/30	REINHART & MAHONEY (REINSORT90)	031	GME	B	50	41.38	n/a	n/a	2.50	5.0
AO,	06/30	REINHART & MAHONEY (REINAMHMFL)	031	GME	B	1,500	41.38	n/a	n/a	75.00	5.0
AO,	06/30	REINHART & MAHONEY (REINMILWCO)	031	GME	B	3,050	41.38	n/a	n/a	152.50	5.0
AO,	06/30	REINHART & MAHONEY (REINKANTJM)	031	GME	B	550	41.38	n/a	n/a	27.50	5.0
AO,	06/30	REINHART & MAHONEY (REINAMERHO)	031	GME	B	1,400	41.38	n/a	n/a	70.00	5.0
AO,	06/30	REINHART & MAHONEY (REINWILLMD)	031	GME	B	150	41.38	n/a	n/a	7.50	5.0
AO,	06/30	REINHART & MAHONEY (REINSTRATT)	031	GME	B	700	41.38	n/a	n/a	35.00	5.0
AO,	06/30	REINHART & MAHONEY (REINMKETRA)	031	GME	B	3,450	41.38	n/a	n/a	172.50	5.0
AO,	06/30	REINHART & MAHONEY (REINCST64M)	031	GME	B	900	41.38	n/a	n/a	45.00	5.0
AO,	06/30	REINHART & MAHONEY (REINGILBER)	031	GME	B	100	41.38	n/a	n/a	5.00	5.0
AO,	06/30	BAYPOINT TRADING/XYLEM (BAYXXYLEM)	091	IP	SS	100,000	23.33	8-Feb	26-Jun	5000.00	5.0
AO,CRT	06/25	SUTTONBROOK CAPITAL (SUTTMS04)	067	NBR	S	100,000	49.15	n/a	n/a	4000.00	4.0
AO,CXL	06/25	SUTTONBROOK CAPITAL (SUTTFB04)	067	NBR	S	100,000	49.15	n/a	n/a	4000.00	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/30	BAYPOINT TRADING/XYLEM (BAYXXYLEM)	091	PTV	SS	50,000	21.28	n/a	n/a	2500.00 5.0
AO,CRT	06/30	MKT MAKER (MKT MAKER)	099	SSCC	B	100	4.00	7-Apr	26-Jun	0.00 0.0
CRT	07/01	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	TGT	SS	190,000	46.30	n/a	5-Jun	7600.00 4.0
TOTAL (AO, CXL, CRT) :										19,057.00

TOTAL (Trade Date):	200,730.93
TOTAL (AO, CXL, CRT):	19,057.00

TOTAL (RUN DATE) / NFS:	219,787.93
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION