



# Daily Compliance Activity Report

Trade Date: 02/27/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SENATOR INVESTMENT GROUP	036	ABC	B	40,000	37.72	n/a	n/a	1,200.00	3.0
TRELLUS PARTNERS LP	028	ABG	B	11,473	25.61	n/a	15-Feb	344.19	3.0
BESSEMER INVESTMENT	085	ACE	S	1,450	72.56	n/a	n/a	58.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>70,000</b>	<b>14.23</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>S</b>	<b>50,000</b>	<b>59.47</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
DELTEC ASSET MGMT.	040	BHI	B	7,500	50.59	n/a	n/a	375.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BODY</b>	<b>S</b>	<b>10,000</b>	<b>29.12</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NORTHWESTERN MUTUAL LIFE	047	BRCM	B	77,450	36.63	n/a	n/a	3,098.00	4.0
TUDOR INVESTORS	028	CF	B	2,000	182.24	n/a	n/a	60.00	3.0
M&I FUNDS	047	CHRW	S	3,770	67.16	n/a	n/a	150.80	4.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>CRK</b>	<b>S</b>	<b>750</b>	<b>15.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ARCHON CAPITAL	035	CRM	S	10,000	142.86	n/a	n/a	400.00	4.0
CAERUS GLOBAL	041	CROX	S	7,400	20.00	n/a	n/a	296.00	4.0
ARCHON CAPITAL	035	CRR	S	15,000	92.41	n/a	8-Feb	600.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STELLIAM INV ADV	034	DAL	B	100,000	9.61	n/a	n/a	5,000.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>DB</b>	<b>S</b>	<b>2000</b>	<b>45.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>10,000</b>	<b>76.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>10,000</b>	<b>76.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>7,000</b>	<b>76.45</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	DG	S	20,000	41.57	n/a	27-Jan	800.00	4.0
BESSEMER INVESTMENT	085	DIS	S	950	41.61	n/a	n/a	38.00	4.0
CORNERSTONE	030	DKS	S	137,997	44.98	n/a	17-Feb	4,139.91	3.0
IRIDIAN ASSET MGMT.	036	DRC	S	14,080	52.72	n/a	n/a	704.00	5.0
BESSEMER INVESTMENT	085	DTE	B	1,403	54.39	n/a	n/a	56.12	4.0
M&I FUNDS	047	EL	B	3,419	57.64	n/a	6-Feb	136.76	4.0
PRIMECAP MGMT	084	EXH	S	9,164	14.50	n/a	24-Feb	366.56	4.0
<b>ß BUCK CAPITAL MGMT</b>		<b>EXPR</b>	<b>S</b>	<b>30,000</b>	<b>23.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SMITH MGMT LLC	029	FL	S	100,000	28.73	n/a	16-Feb	3,000.00	3.0
<b>f JILL ROSENBERG</b>	<b>FID</b>	<b>FNSXX</b>	<b>S</b>	<b>511</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FNSXX</b>	<b>S</b>	<b>397</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FSENX</b>	<b>S</b>	<b>149</b>	<b>56.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>FSPHX</b>	<b>S</b>	<b>33</b>	<b>133.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	GD	B	1,683	72.31	n/a	14-Feb	67.32	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>17,500</b>	<b>25.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>17,500</b>	<b>25.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>155,000</b>	<b>25.01</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>155,000</b>	<b>25.01</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GOOG</b>	<b>B</b>	<b>4,000</b>	<b>608.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GOOG</b>	<b>B</b>	<b>5,000</b>	<b>609.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>HOTT</b>	<b>S</b>	<b>1000</b>	<b>8.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BESSEMER INVESTMENT	085	IBM	B	1,608	196.56	n/a	n/a	64.32	4.0
BESSEMER INVESTMENT	085	IP	S	1,540	33.43	n/a	2-Feb	61.60	4.0
DC CAPITAL	044	IP	S	50,000	34.04	n/a	2-Feb	2,000.00	4.0
FORTRESS INVESTMENT (US)	036	ITT	B	42,500	23.31	n/a	n/a	1,700.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>C</b>	<b>50,000</b>	<b>41.22</b>	<b>n/a</b>	<b>27-Feb</b>	<b>non-brg</b>	<b>4.0</b>
SANDLER O'NEILL ASSET	031	LAZ	S	9,200	30.50	n/a	16-Feb	460.00	5.0
CAVOLEPH	085	MRVL	B	2,250	15.35	n/a	n/a	0.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>C</b>	<b>1,186,000</b>	<b>107.24</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		MSMSBCM	C	1,668,056	107.24	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	C	100,000	107.24	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	1,184,000	107.24	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	1,663,924	107.24	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	100,000	107.24	n/a	n/a	non-brg	0.0
ROYCE TRADING	031	MTX	B	9,800	65.62	n/a	n/a	490.00	5.0
BESSEMER INVESTMENT	085	MU	S	925	8.44	n/a	n/a	37.00	4.0
RIDGECREST INV MGMT LLC	028	MWV	B	10,000	30.78	n/a	26-Jan	500.00	5.0
⌘ BUCK CAPITAL MGMT		M	S	20,000	36.81	n/a	24-Feb	non-brg	4.0
LATEFF MGMT	035	PCH	B	6,000	30.97	n/a	31-Jan	480.00	8.0
BESSEMER INVESTMENT	085	PFE	S	3,300	20.98	n/a	n/a	132.00	4.0
f DAVID GEORGE BUCK	FID	PG	S	10	0.78	n/a	24-Feb	0.00	0.0
⌘ BUCK CAPITAL MGMT		PSMT	S	10,000	66.79	n/a	n/a	non-brg	4.0
IRIDIAN ASSET MGMT.	036	ROC	S	6,050	52.64	n/a	n/a	302.50	5.0
GEORGE WEISS	034	ROST	S	26,000	53.08	n/a	24-Feb	780.00	3.0
RBC CAPITAL MARKETS	040	ROST	B	10,000	52.97	n/a	24-Feb	400.00	4.0
VERITION	028	SBUX	SS	15,000	48.25	n/a	27-Jan	450.00	3.0
⌘ BUCK CAPITAL MGMT		SHOO	S	20,000	42.87	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TALPION LLC	040	SKX	B	10,000	12.61	n/a	n/a	400.00	4.0
TUDOR INVESTORS	028	SPW	B	3,000	74.30	n/a	n/a	90.00	3.0
<b>f CHRISTOPHER ARTHUR K</b>	<b>FID</b>	<b>SWN</b>	<b>S</b>	<b>600</b>	<b>35.25</b>	<b>n/a</b>	<b>6-Jan</b>	<b>0.00</b>	<b>0.0</b>
STELLIAM INV ADV	034	UAL	B	10,000	20.00	n/a	n/a	0.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>50,000</b>	<b>29.72</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>50,000</b>	<b>29.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>VOLC</b>	<b>B</b>	<b>500</b>	<b>28.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROTHSCHILD ASSET	040	WMT	S	8,029	58.43	n/a	22-Feb	321.16	4.0
CATAPULT	040	XCO	SS	25,000	7.19	n/a	n/a	1,000.00	4.0
<b>TOTAL (Trade Date):</b>								<b>30,559.24</b>	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									30,559.24
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									30,559.24
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	02/24	BUCK CAPITAL MGMT.	GOOG	S	9,000	610.00	n/a	n/a	180.00
CRT	02/24	BUCK CAPITAL MGMT	GOOG	S	9,000	610.00	n/a	n/a	180.00 2.0

MRI Securities with Trade Activity on 27-Feb.  
NONE

MRI Securities without Trade Activity on 27-Feb.  
PLCE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**