



Daily Compliance Activity Report

Trade Date: 06/17/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	5,000	13.87	20-Apr	5-Jun	250.00	5.0
PRINCIPLE CAPITAL	040	ALTR	SS	100,000	16.41	n/a	n/a	3,000.00	3.0
B BUCK CAPITAL MGMT		APP	S	15,000	3.42	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	ARO	B	10,000	34.06	n/a	5-Jun	400.00	4.0
PILOT ROCK	028	ARO	SS	50,000	34.63	n/a	5-Jun	2,000.00	4.0
BUCK CAPITAL MGMT	001	AVP	SS	10,000	25.49	2-Jun	2-Jun	400.00	4.0
B BUCK CAPITAL MGMT		AXL	B	20,000	3.00	10-Jun	10-Jun	non-brg	1.0
B BUCK CAPITAL MGMT		AXL	B	50,000	3.13	10-Jun	10-Jun	non-brg	3.0
B BUCK CAPITAL MGMT		AXL	B	50,000	3.24	10-Jun	10-Jun	non-brg	3.0
David Keidan		AXL	B	20000	2.99	10-Jun	10-Jun	0.00	0.0
f JOHN PATRICK DAVEY	FID	AXL	B	4000	2.98	10-Jun	10-Jun	0.00	0.0
NEWCASTLE FUNDS	255	AXL	B	20,000	3.31	10-Jun	10-Jun	800.00	4.0
FORD FDN.	032	BAC	S	105,000	12.47	11-May	17-Jun	5,250.00	5.0
ROSEDALE CAPITAL	046	BAC	B	10,000	12.51	11-May	17-Jun	500.00	5.0
f MANNY I KORMAN	FID	BBY	B	6000	35.67	n/a	27-Jul	0.00	0.0
PRINCIPLE CAPITAL	040	BECN	B	36,500	13.99	n/a	n/a	1,095.00	3.0
BALYASNY ASSET MGMT	044	BEN	S	15,000	72.05	5-Jun	17-Jun	750.00	5.0
BENCHMARK	028	BIG	SS	5,000	20.90	28-May	9-Jun	250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f NEIL M STRATTON	FID	BYOWI	S	4	0.82	n/a	n/a	0.00	0.0
TROUBH PART	067	CAH	B	25,000	30.67	n/a	n/a	1,250.00	5.0
NORTHPOINT	030	CEDC	S	4,470	27.16	n/a	n/a	178.80	4.0
DIAMONDBACK CAP MGMT	232	CE	B	20,000	21.79	n/a	n/a	1,000.00	5.0
PATTEN & PATTEN	067	CGW	B	16,305	15.25	n/a	n/a	815.25	5.0
ß BUCK CAPITAL MGMT		CIT	B	20,000	2.70	23-Apr	17-Jun	non-brg	2.0
Õ David Keidan		CIT	B	20000	2.69	23-Apr	17-Jun	0.00	0.0
f STRATTON PAR TNERSHI	FID	CLCT	B	485	4.70	n/a	n/a	0.00	0.0
US BANCORP	030	CL	B	89,623	69.67	8-Jun	8-Jun	4,481.15	5.0
f EDWARD W WHEELER	FID	CMCO	B	5000	12.05	n/a	n/a	0.00	0.0
NEWCASTLE FUNDS	255	CNW	B	10,000	31.00	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		COF	B	10,000	21.93	15-May	17-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		COF	B	10,000	21.94	15-May	17-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		COF	S	10,000	21.93	15-May	17-Jun	non-brg	5.0
Õ David Keidan		COF	B	10000	21.93	15-May	17-Jun	0.00	0.0
ADAGE CAPITAL MGMT	030	COH	B	250,000	25.86	n/a	22-Apr	10,000.00	4.0
SUN AMERICA ASSET MGMT	030	COP	B	20,000	42.34	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		COST	SS	10,000	46.91	n/a	27-Jul	non-brg	4.0
ARCHON CAPITAL	035	CVA	B	87,700	15.99	n/a	n/a	2,631.00	3.0
f ABRAM M CHAPPELL	FID	CVGI	B	1500	1.18	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	CVGI	S	20000	1.15	n/a	n/a	0.00	0.0
IVORY CAPITAL	034	CVS	B	220,920	31.10	n/a	n/a	11,046.00	5.0
⚡ BUCK CAPITAL MGMT		CWTR	B	10,000	5.62	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		C	B	20,000	3.09	n/a	17-Jun	non-brg	1.0
Õ David Keidan		C	B	20000	3.10	n/a	17-Jun	0.00	0.0
f JOSEPH CIRO AMATURO	FID	DAL	S	5000	6.02	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		DECK	S	10,000	67.90	n/a	n/a	non-brg	2.0
NEUBERGER BERMAN	036	DFT	B	80,200	9.17	n/a	n/a	2,406.00	3.0
f NEIL M STRATTON	FID	DKR	B	300	17.25	n/a	n/a	0.00	0.0
GREEN ARROW CAPITAL	040	DKS	B	35,000	16.22	27-Apr	20-May	1,750.00	5.0
f JOSEPH CIRO AMATURO	FID	DPTR	S	20000	1.96	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	ED	S	1000	36.97	n/a	n/a	0.00	0.0
f MICHAEL THOMAS NOLAN	FID	EGO	B	240	8.59	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	EWG	S	1,500	18.43	n/a	n/a	75.00	5.0
PATTEN & PATTEN	067	EWJ	S	2,400	9.42	n/a	n/a	120.00	5.0
PATTEN & PATTEN	067	FHN	B	1,000	12.31	n/a	n/a	50.00	5.0
EPOCH INV MGMT	030	FII	B	72,000	25.81	24-Apr	17-Jun	2,880.00	4.0
HIGHBRIDGE CAPITAL	038	FISV	B	5,000	46.27	n/a	n/a	200.00	4.0
ß BUCK CAPITAL MGMT		FL	SS	100,000	10.67	27-Apr	22-May	non-brg	4.0
ß BUCK CAPITAL MGMT		FOSL	S	10,000	22.76	n/a	30-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		FOSL	S	50,000	22.00	n/a	30-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		FOSL	S	70,000	22.53	n/a	30-Jan	non-brg	2.5
ß BUCK CAPITAL MGMT		FUA+FC[FOSL]	C	500	7.00	n/a	n/a	non-brg	300.0
f JOHN MICHAEL ZOLIDIS	FID	F	B	1000	5.43	n/a	3-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		GIII	S	2,600	11.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIL	B	10,000	15.72	n/a	15-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	B	5,000	15.80	n/a	15-Jun	non-brg	4.0
Õ David Keidan		GIL	B	5000	15.80	n/a	15-Jun	0.00	0.0
PILOT ADV	038	GIL	B	37,000	15.72	n/a	15-Jun	1,480.00	4.0
SUN AMERICA ASSET MGMT	030	GME	B	17,800	23.17	n/a	n/a	712.00	4.0
f MARC PAUL LUCHANSKY	FID	GS	S	100	139.90	11-May	17-Jun	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HUNTER GLOBAL INVESTOR	067	HAL	S	25,200	22.03	n/a	n/a	1,260.00	5.0
B BUCK CAPITAL MGMT		HBI	S	25,000	15.68	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		ICON	S	20,000	14.32	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		IGT	B	10,000	15.62	n/a	27-Apr	non-brg	1.0
f JOSEPH CIRO AMATURO	FID	INTC	S	5000	15.96	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	IP	B	25,000	13.50	n/a	1-May	1,250.00	5.0
MILLENIUM PARTNERS	028	IP	B	20,000	13.93	n/a	1-May	1,000.00	5.0
NEWLAND CAPITAL MGT	067	IP	B	25,000	13.94	n/a	1-May	1,000.00	4.0
OZ MGMT	040	IP	B	61,538	13.62	n/a	1-May	1,846.14	3.0
SCOPUS FUND	041	IP	B	49,600	13.81	n/a	1-May	2,480.00	5.0
TRELLUS PARTNERS LP	028	IP	B	50,000	13.79	n/a	1-May	2,500.00	5.0
NEUBERGER BERMAN	036	ISLE	B	53,600	11.71	n/a	26-Jan	2,412.00	4.5
f JOSEPH CIRO AMATURO	FID	JBLU	S	10000	4.03	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JCG	B	20,000	24.26	n/a	30-Jan	non-brg	4.0
KACELA CAPITAL	034	JCP	B	28,000	26.77	13-Apr	5-Jun	1,120.00	4.0
B BUCK CAPITAL MGMT		JOSB	B	10,000	32.45	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	JWN	S	13,515	19.09	20-Feb	5-Jun	675.75	5.0
SUFFOLK CAPITAL MGMT	040	KFT	S	350,000	25.05	n/a	n/a	14,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOSEPH CIRO AMATURO	FID	LCC	S	10000	2.58	n/a	n/a	0.00	0.0
DSM CAPITAL PARTNERS	032	MCD	S	7,550	57.92	n/a	11-Jun	377.50	5.0
f STRATTON PARTNERSHIP	FID	MOVE	S	500	2.12	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MVRX	SS	60,000	125.36	n/a	n/a	non-brg	12.5
f ROBERT M DANIELS	FID	MWA	S	750	4.30	n/a	14-May	0.00	0.0
SASCO	038	NCX	S	66,000	5.92	n/a	n/a	2,640.00	4.0
MKT MAKER	099	NCX	S	8	5.92	n/a	n/a	0.00	0.0
f ROBERT M DANIELS	FID	NP	S	200	9.30	12-May	12-May	0.00	0.0
BALYASNY ASSET MGMT	044	NTRS	S	15,000	51.66	22-Apr	17-Jun	750.00	5.0
CIRCLE T/SES PART	035	PAG	S	30,000	15.54	n/a	6-May	1,200.00	4.0
HIGHBRIDGE CAPITAL	038	PAYX	B	13,000	27.01	n/a	n/a	520.00	4.0
AELTUS/ING INVESTMENT MGT	215	PCAR	S	40,080	31.17	25-Mar	4-May	1,402.80	3.5
RAMIUS CAP	040	PCH	S	15,400	24.74	n/a	19-May	616.00	4.0
B BUCK CAPITAL MGMT		PERY	S	2,500	8.02	n/a	n/a	non-brg	1.0
DSM CAPITAL PARTNERS	032	PG	S	25,500	50.19	5-Jun	5-Jun	1,275.00	5.0
PATTEN & PATTEN	067	PHO	B	14,105	14.53	n/a	n/a	705.25	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	PKG	B	2,100	14.38	8-Apr	21-Apr	105.00	5.0
NEUBERGER BERMAN	036	PLD	S	59,100	8.23	n/a	n/a	1,773.00	3.0
B BUCK CAPITAL MGMT		PVH+FE[PVH]	C	598	4.00	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		PVH	S	107,000	28.82	20-Apr	21-May	non-brg	2.0
B BUCK CAPITAL MGMT		PVH	S	11,515	28.57	20-Apr	21-May	non-brg	2.5
B BUCK CAPITAL MGMT		QUK+FL[DECK]	C	100	8.00	n/a	n/a	non-brg	300.0
f JOSEPH CIRO AMATURO	FID	RAD	S	15465	1.37	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	B	10,000	51.69	n/a	28-May	400.00	4.0
REINHART & MAHONEY	031	RSG	S	27,955	22.63	n/a	n/a	1,397.75	5.0
APEX CAPITAL MGMT.	091	SBUX	SS	100,000	13.90	20-Apr	30-Apr	4,000.00	4.0
SANDELL ADV	040	SFD	B	31,217	10.41	n/a	n/a	1,248.68	4.0
JMP ASSET MGMT	210	SKS	B	20,000	3.96	n/a	n/a	800.00	4.0
US BANCORP	030	SLB	S	104,726	56.04	n/a	n/a	5,236.30	5.0
f JOSEPH CIRO AMATURO	FID	SLV	S	20000	13.84	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	SLW	S	10000	8.52	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	SMG	S	10,000	35.49	10-Jun	17-Jun	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ANTHONY NG	FID	SPNG	B	2500	0.14	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	TBT	S	2000	53.33	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TEX	B	10,000	12.85	13-Feb	12-Jun	non-brg	2.5
Õ David Keidan		TEX	B	1000	12.85	13-Feb	12-Jun	0.00	0.0
CR INTRINIC INVESTMENT	044	TIF	SS	25,000	25.43	n/a	30-Jan	1,000.00	4.0
f JOHN MICHAEL ZOLIDIS	FID	TIF	B	500	25.75	n/a	30-Jan	0.00	0.0
f ABRAM M CHAPPELL	FID	TITN	B	200	12.14	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	TITN	B	400	12.13	n/a	n/a	0.00	0.0
COUGAR TRADING	044	TJX	S	5,000	30.85	4-May	5-Jun	250.00	5.0
M&I FUNDS	256	TJX	S	12,073	30.84	4-May	5-Jun	482.92	4.0
B BUCK CAPITAL MGMT		TLB	B	50,000	5.23	n/a	30-Jan	non-brg	3.0
f FRANCIS MICHAEL MCCA	FID	TWI	B	300	8.47	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	TYC	S	20,000	26.70	1-May	1-May	1,000.00	5.0
BUCK CAPITAL MGMT	001	UA	SS	10,000	21.81	29-Apr	29-Apr	400.00	4.0
COUGAR TRADING	044	UA	B	5,000	21.95	29-Apr	29-Apr	250.00	5.0
BARCLEYS CAP (OLD LEHM)	041	URBN	B	25,000	21.03	n/a	30-Jan	1,000.00	4.0
B BUCK CAPITAL MGMT		URQ+FW[URBN]	C	990	3.55	n/a	n/a	non-brg	300.0
HUNTER GLOBAL INVESTOR	067	USB	SS	30,000	18.04	n/a	n/a	1,200.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JONATHAN RICHARD HAR	FID	UYG	B	130	3.73	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	VMMXX	S	34972	1.00	n/a	n/a	0.00	0.0
IVORY CAPITAL	034	WAG	SS	165,300	31.01	n/a	n/a	8,265.00	5.0
B BUCK CAPITAL MGMT		WFT	B	20,000	20.19	n/a	n/a	non-brg	4.0
REINHART & MAHONEY	031	WFT	S	11,150	20.19	n/a	n/a	557.50	5.0
BUCK CAPITAL MGMT	001	WRC	S	20,000	33.09	13-May	13-May	800.00	4.0
CASEY CAPITAL	067	WRC	B	10,000	33.06	13-May	13-May	500.00	5.0
CR INTRINIC INVESTMENT	044	WRC	SS	25,000	33.34	13-May	13-May	1,000.00	4.0
CR INTRINIC INVESTMENT	044	XLE	B	50,000	50.08	n/a	n/a	2,000.00	4.0
NEWCASTLE FUNDS	255	X	B	35,000	36.29	n/a	n/a	1,400.00	4.0
JMP ASSET MGMT	210	ZZRT	B	23,200	2.05	n/a	n/a	464.00	2.0
TOTAL (Trade Date):								132,030.79	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/16	DSM CAPITAL PARTNERS	032	CHD	B	105	51.27	27-Apr	14-May	5.25 5.0
AO,CXL	06/16	DSM CAPITAL PARTNERS	032	CHD	B	105	51.27	27-Apr	14-May	5.25 5.0
AO,CXL	06/16	MKT MAKER	099	DKS	S	2,096	16.32	27-Apr	20-May	0.00 0.0
AO,	06/16	MKT MAKER	099	ISLE	B	1,800	11.21	n/a	26-Jan	0.00 0.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date) :	132,030.79
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	132,030.79
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MRI Securities with Trade Activity on 17-Jun.
NONE

MRI Securities without Trade Activity on 17-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 6%
YTD = 20%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)