



Daily Compliance Activity Report

Trade Date: 03/22/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	AAP	B	65,600	39.00	n/a	n/a	2,624.00	4.0
GALLEON MGMT LP	033	AMGN	S	20,000	60.45	n/a	26-Jan	1,000.00	5.0
GALLEON MGMT LP	033	AMG	SS	8,000	109.48	5-Mar	22-Mar	400.00	5.0
COUGAR TRADING	044	ANN	SS	5,000	38.72	5-Jan	19-Mar	250.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	30,000	40.62	23-Oct	16-Mar	1,200.00	4.0
DAVIDSON TRUST CO	033	BAC	B	1,000	51.71	11-Jan	13-Mar	50.00	5.0
BUCK CAPITAL MGMT	001	BBW	S	5,000	27.05	19-Mar	19-Mar	200.00	4.0
BALYASNY ASSET MGMT	044	BEN	S	15,000	120.80	9-Nov	22-Mar	750.00	5.0
SUNOVA CAPITAL	031	BEN	B	20,000	122.10	9-Nov	22-Mar	1,000.00	5.0
B BUCK CAPITAL MGMT		BONT	S	1,000	56.73	9-Mar	16-Mar	non-brg	2.0
B BUCK CAPITAL MGMT		BONT	S	52,000	56.82	9-Mar	16-Mar	non-brg	5.0
B BUCK CAPITAL MGMT		BONT	S	8,000	57.00	9-Mar	16-Mar	non-brg	5.0
WHITE PINE CAPITAL	030	BONT	S	24,500	56.74	9-Mar	16-Mar	1,225.00	5.0
DELTEC ASSET MGMT.	040	CACH	B	9,000	18.60	n/a	n/a	450.00	5.0
SLS CAPITAL LLC	224	CLMS	SS	42,500	22.59	12-Mar	22-Mar	1.13	0.0
REINHART & MAHONEY	031	CNB	S	16,545	25.63	n/a	n/a	827.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		CRM	B	3	0.62	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CRM	B	3	1.03	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	CVC	B	68,700	30.66	12-Jan	28-Feb	2,748.00	4.0
ß BUCK CAPITAL MGMT		CWTR	B	10,000	18.41	n/a	n/a	non-brg	0.4
ß BUCK CAPITAL MGMT		DBRN	B	30,000	20.46	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	57.00	n/a	n/a	200.00	4.0
WYCKOFF	013	DLIA	B	300	9.40	n/a	n/a	0.00	0.0
LEDDY	035	DLIA	B	777	9.37	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		EGLT	C	4,966	16.69	n/a	n/a	non-brg	5.0
REICH AND TANG	037	FL	B	3,000	23.17	n/a	19-Mar	150.00	5.0
ANTEUS ASSOC.BAEL	065	FNF	S	76	24.05	n/a	n/a	4.56	6.0
SCHEINER	037	F	S	4,000	8.04	n/a	n/a	0.00	0.0
EMP ERROR	099	GCO	S	500	43.10	n/a	10-Jan	0.00	0.0
Õ Philip Franz		GLD	B	200	65.78	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GLUU	S	8,000	11.84	n/a	n/a	non-brg	5.0
BAIRD INVESTMENT	031	HSC	B	5,295	89.38	28-Feb	16-Mar	264.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHITE PINE CAPITAL	030	IFF	S	19,650	47.63	30-Jan	22-Mar	982.50	5.0
REINHART & MAHONEY	031	IRF	S	19,450	40.01	n/a	n/a	972.50	5.0
⚡ BUCK CAPITAL MGMT		JADE	B	30,000	9.57	n/a	n/a	non-brg	5.0
WYCKOFF	013	JADE	B	200	10.20	n/a	n/a	0.00	0.0
LEDDY	035	JADE	B	3,000	9.96	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	JNJ	B	40,000	60.98	n/a	22-Apr	2,000.00	5.0
PATTEN & PATTEN	067	JPM	S	200	48.70	n/a	13-Mar	10.00	5.0
Õ Glenn Redemann		KCI	B	2	0.87	n/a	n/a	0.00	0.0
Õ Glenn Redemann		KCI	B	2	0.88	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KCP	B	20,000	26.88	n/a	28-Feb	800.00	4.0
⚡ BUCK CAPITAL MGMT		LAVA	B	30,000	10.10	n/a	n/a	non-brg	5.0
GRANITE CAPITAL	067	LCAPA	S	8,000	109.89	n/a	n/a	400.00	5.0
EGS ASSOCIATES LP	091	LTD	B	3,500	26.25	2-Mar	9-Mar	210.00	6.0
PATTEN & PATTEN	067	MAR	S	50	49.81	n/a	n/a	2.50	5.0
PATTEN & PATTEN	067	MDT	S	150	49.66	n/a	22-Apr	7.50	5.0
PRIME CAPITAL	048	MDT	B	20,000	49.65	n/a	22-Apr	1,000.00	5.0
BINDER	007	MOT	S	800	17.87	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLONIAL FUND LLC	036	MWV	B	25,000	30.54	20-Mar	20-Mar	1,250.00	5.0
COUGAR TRADING	044	NEXC	B	5,000	10.51	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	NLS	B	10,000	17.16	n/a	n/a	400.00	4.0
FIRST NEW YORK LEDDY	035	NWY	B	10,000	15.05	1-Dec	21-Mar	500.00	5.0
KENNEDY CAPITAL	046	NWY	S	98,250	15.14	1-Dec	21-Mar	3,930.00	4.0
REINHART & MAHONEY	031	PCP	S	4,230	103.00	n/a	n/a	211.50	5.0
PATTEN & PATTEN	067	PEP	S	50	64.05	n/a	n/a	2.50	5.0
B BUCK CAPITAL MGMT		PLCE	B	10,000	56.55	n/a	21-Mar	non-brg	5.0
B BUCK CAPITAL MGMT		PLCE	B	10,000	56.92	n/a	21-Mar	non-brg	5.0
MERRILL LYNCH STRATEGICI	034	PLCE	B	5,000	56.63	n/a	21-Mar	250.00	5.0
AMBER CAPITAL	046	PPG	B	50,000	71.95	n/a	2-Mar	2,000.00	4.0
KNOTT PARTNERS	044	PRX	B	25,000	24.23	30-Jan	19-Mar	1,000.00	4.0
JP MORGAN VENTURES	046	PSUN	SS	20,000	20.32	n/a	16-Mar	1,000.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	110,000	57.31	18-Jan	22-Mar	4,400.00	4.0
B BUCK CAPITAL MGMT		PVH	S	100	57.24	18-Jan	22-Mar	non-brg	2.0
B BUCK CAPITAL MGMT		PVH	S	100	57.41	18-Jan	22-Mar	non-brg	2.0
LEDDY	035	QID	B	1,200	52.19	n/a	n/a	0.00	0.0
GULF STREAM EQUITY MGMT	049	QQQQ	SS	5,000	44.23	n/a	n/a	300.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STADIA CAPITAL	035	RL	B	28,000	87.06	2-Feb	16-Feb	1,120.00	4.0
BINDER	007	SBUX	SS	150	31.81	n/a	1-Feb	0.00	0.0
CAMBIAR CAP	046	SCRX	B	23,300	23.38	20-Dec	19-Mar	932.00	4.0
LEDDY	035	SDS	B	1,000	57.53	n/a	n/a	0.00	0.0
CATAPULT	040	SNV	S	5,000	32.98	n/a	n/a	250.00	5.0
Õ Glenn Redemann		SPWR	B	7	0.15	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPWR	B	7	0.22	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPWR	S	7	0.71	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPWR	S	7	1.26	n/a	n/a	0.00	0.0
SCHEINER	037	SSI	B	2,300	23.63	26-Feb	14-Mar	0.00	0.0
B BUCK CAPITAL MGMT		TJX	B	100,000	26.86	21-Mar	21-Mar	non-brg	5.0
DAVIDSON KEMPNER ADVISOR	217	TWC	S	30,000	38.24	n/a	n/a	1,500.00	5.0
ACT II PARTNERS	067	VG	B	100,000	4.03	9-Mar	9-Mar	4,000.00	4.0
PATTEN & PATTEN	067	VMC	S	25	120.22	n/a	n/a	1.25	5.0
LUCHANSKY4	036	VSL	B	200	19.82	n/a	n/a	0.00	0.0
CAXTON PART	040	WWY	B	25,000	52.10	n/a	n/a	1,000.00	4.0
PATTEN & PATTEN	067	WYE	S	75	50.96	n/a	31-Mar	3.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RAMIUS CAP	040	WY	B	50,000	78.41	15-Dec	20-Mar	2,500.00	5.0
8 BUCK CAPITAL MGMT		ZUMZ	S	10,000	41.69	n/a	n/a	non-brg	5.0
TOTAL (Trade Date):								46,530.69	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/23	PLATTE RIVER CAPITAL	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CRT	02/23	PLATTE RIVER CAPITAL	035	AGIX	B	2,500	11.46	n/a	n/a	100.00 4.0
AO,CXL	02/15	LEEDS	002	ARTG	B	30,000	2.05	n/a	n/a	0.00 0.0
AO,CRT	02/15	LEEDS	002	ARTG	B	30,000	2.05	n/a	n/a	0.00 0.0
AO,CRT	03/21	CAPITAL GROWTH MGMT.	241	BNI	S	20,000	80.57	n/a	n/a	800.00 4.0
AO,CRT	03/08	EMP ERROR	099	GCO	B	500	37.49	n/a	10-Jan	0.00 0.0
AO,CXL	03/08	ZOLIDIS	011	GCO	B	500	37.49	n/a	10-Jan	0.00 0.0
AO,CRT	03/21	HARVEST MGMT	039	IP	SS	9,615	35.96	20-Mar	20-Mar	480.75 5.0
AO,CXL	03/21	HARVEST MGMT	039	IP	SS	10,685	35.96	20-Mar	20-Mar	534.25 5.0
AO,	03/21	RIVER RUN MGMT	031	ITCD	B	18,500	3.16	n/a	n/a	555.00 3.0
TOTAL (AO, CXL, CRT) :										1,301.50
TOTAL (Trade Date) :										46,530.69
TOTAL (AO, CXL, CRT) :										1,301.50
TOTAL (RUN DATE) / NFS:										47,832.19

MRI Securities with Trade Activity on 22-Mar.
NONE

MRI Securities without Trade Activity on 22-Mar.
MS

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 33%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION