



RR Commissions Summary [Nicolas Pakler]

Trade Date: 08/27/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ALTIMETER CAPITAL MGMT.	029	0	1,500	1,500	12,822	0	0	0	1,500	1,500	12,822	0	0
ATLANTIC TRUST/STEINROE	029	0	0	0	0	0	0	13,000	0	0	13,000	36,000	36
BLUE RIDGE CAP	029	0	0	0	0	0	0	0	0	0	0	25,000	0
BRIGADE CAPITAL MGMT	029	2,130	7,380	13,372	67,343	0	0	0	7,380	13,372	67,343	123,550	55
COLUMBIA MGMT	029	0	0	0	0	0	0	18,500	0	0	18,500	0	0
DPB CAPITAL	029	0	500	500	4,000	0	0	0	500	500	4,000	0	0
EATON VANCE MGMT	029	0	0	0	22,407	0	0	0	0	0	22,407	85,718	26
FEDERATED INV/KAUFMAN	029	0	0	18,260	43,260	0	0	0	0	18,260	43,260	63,769	68
FIDELITY/NICK	029	0	0	0	0	0	1,647	1,647	0	1,647	1,647	0	0
FIRST NEW YORK NICK	029	0	0	0	0	0	0	0	0	0	0	2,500	0
FRONTIER	029	0	11,381	14,353	56,153	0	0	0	11,381	14,353	56,153	102,196	55
GLADE BROOK CAPITAL	029	0	0	0	0	0	14,924	23,661	0	14,924	23,661	4,645	509
GRANAHAN INV	029	0	0	0	0	0	0	0	0	0	0	7,953	0
GSA PROPHET/NICK	029	0	0	0	0	0	0	0	0	0	0	46,661	0
HARVEST MGMT (JMP)	029	0	2,040	2,640	15,148	0	0	0	2,040	2,640	15,148	31,712	48
LONG POND	029	0	0	0	0	0	0	0	0	0	0	29,026	0
MARCATO CAPITAL MGMT.	029	0	5,600	10,850	43,572	0	0	0	5,600	10,850	43,572	27,536	158
NOMURA CAPITAL	029	0	0	0	752	0	0	0	0	0	752	2,814	27
PAR CAPITAL	029	0	3,000	3,000	11,948	0	0	0	3,000	3,000	11,948	0	0
PENNANT CAP MGMT	029	0	900	900	2,900	0	0	0	900	900	2,900	32,400	9
RIVERFRONT INVESTMENT	029	0	0	0	0	0	0	0	0	0	0	29,000	0
SCOUT CAPITAL	029	0	0	0	0	0	0	10,000	0	0	10,000	40,000	25
SMITH MGMT/ALDEN GLOBAL	029	0	77,550	77,550	196,346	0	0	0	77,550	77,550	196,346	197,652	99
THE BOSTON COMPANY	029	0	0	0	0	12,335	12,335	34,608	12,335	12,335	34,608	70,696	49
THOMPSON SIEGAL&WALMSLEY	029	0	2,460	8,808	27,599	0	0	0	2,460	8,808	27,599	30,054	92
TOTALS:		2,130	112,311	151,733	504,250	12,335	28,906	101,416	124,646	180,639	605,665		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	08/27	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
GSA PROPHET	254	0	0	0	0	0	0	0	0	0	0	0	0
MANULIFE ASSET MGMT.	294	0	0	0	0	0	16,000	44,679	0	16,000	44,679	13,636	328
PUTNAM SHARED	268	0	0	0	0	0	0	36,178	0	0	36,178	67,752	53
PYRAMIS GLOBAL	231	0	0	0	0	6,776	6,776	11,909	6,776	6,776	11,909	0	0
TOTALS:		0	0	0	0	6,776	22,776	92,766	6,776	22,776	92,766		

