



# Daily Compliance Activity Report

Trade Date: 04/24/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	S	5,800	30.50	4-Jan	13-Apr	232.00	4.0
BUCK CAPITAL MGMT		AEO	S	10,000	29.73	4-Jan	13-Apr	non-brg	5.0
CHARTER OAK	033	AFFY	B	2,100	33.64	n/a	n/a	105.00	5.0
MKT MAKER	099	AFFY	B	6	33.64	n/a	n/a	0.00	0.0
CATAPULT	040	AGE	S	10,000	72.83	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	AGIL	S	5,000	7.10	n/a	n/a	250.00	5.0
AMATURO	018	AMRN	S	15,000	0.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ANN	S	10,000	37.74	5-Jan	13-Apr	non-brg	5.0
COUGAR TRADING	044	ANN	SS	5,000	38.15	5-Jan	13-Apr	250.00	5.0
BUCK CAPITAL MGMT		ASD	S	6,000	56.94	19-Apr	19-Apr	non-brg	5.0
SYSTEMATIC FINANCIAL MGT	035	AVZ	S	150,000	23.08	5-Mar	23-Apr	5,625.00	3.8
BUCK CAPITAL MGMT		AXE	S	3,000	72.15	24-Apr	24-Apr	non-brg	5.0
WAGONHOUND	048	AXE	S	9,700	70.82	24-Apr	24-Apr	388.00	4.0
BEAR STEARNS ASSET MGMT.	033	BA	S	95,900	93.69	n/a	n/a	3,836.00	4.0
BUCK CAPITAL MGMT	001	BBW	S	10,000	26.78	19-Mar	19-Mar	400.00	4.0
BUCK CAPITAL MGMT	001	BBY	S	3,000	48.62	13-Dec	5-Apr	120.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	BELM	S	5,000	7.10	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	BEN	B	20,000	129.00	10-Apr	23-Apr	1,000.00	5.0
BALYASNY ASSET MGMT	044	BE	B	10,000	7.40	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		BHI	S	2,500	72.38	n/a	n/a	non-brg	5.0
SUNOVA CAPITAL	031	BLK	B	36,800	151.99	n/a	23-Apr	5.00	0.0
⌘ BUCK CAPITAL MGMT		BONT	S	10,000	52.80	9-Mar	13-Apr	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CBI	S	5,100	33.72	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CBK	S	20,000	17.91	30-Mar	13-Apr	non-brg	5.0
ZOLIDIS	011	CBK	S	1,000	18.00	30-Mar	13-Apr	0.00	0.0
MCCARTAN	028	CBK	S	500	17.96	30-Mar	13-Apr	0.00	0.0
BALYASNY ASSET MGMT	044	CCI	B	2,500	34.77	n/a	n/a	125.00	5.0
IRIDIAN ASSET MGMT.	036	CEG	S	8,640	92.69	n/a	n/a	432.00	5.0
LEDDY	035	CFC	S	300	36.32	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	CHD	S	29,900	51.23	7-Feb	7-Feb	1,495.00	5.0
⌘ BUCK CAPITAL MGMT		CHS	S	2,300	26.38	27-Mar	13-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		CHS	S	30,000	25.93	27-Mar	13-Apr	non-brg	5.0
LOOMIS SALES/BOSTON	036	CHS	B	45,000	25.96	27-Mar	13-Apr	1,800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SLS CAPITAL LLC	224	CLMS	B	15,900	23.66	11-Apr	23-Apr	795.00	5.0
WAGONHOUND	048	CLMS	B	10,000	23.37	11-Apr	23-Apr	400.00	4.0
CASTLEROCK	040	CMI	B	21,200	88.03	n/a	n/a	848.00	4.0
BUCK CAPITAL MGMT	001	COH	B	70,000	51.23	23-Feb	20-Apr	2,800.00	4.0
WAGONHOUND	048	COST	S	11,900	54.15	n/a	12-Apr	476.00	4.0
PAKLER	N22	CPNLQ	S	763	3.63	n/a	8-Mar	0.00	0.0
LEDDY	035	CRI	S	400	25.21	n/a	n/a	0.00	0.0
PAKLER	N22	CROX	B	52	52.90	n/a	n/a	0.00	0.0
ZOLIDIS	011	CWTR	SS	500	21.58	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CYH	S	8,600	35.32	19-Mar	24-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		DBD	S	14,800	50.03	3-Apr	3-Apr	non-brg	5.0
GRUSS CAPITAL	040	DEL	B	5,000	50.16	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	57.03	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		DVN	S	4,000	73.04	9-Feb	5-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		EGLT	C	30,000	17.76	n/a	n/a	non-brg	1.0
GRISANTI BROWN & PARTNER	048	EMC	B	21,800	15.46	n/a	n/a	1,090.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ESV	S	3,100	54.30	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		FD	SS	20,000	44.29	26-Jan	13-Apr	non-brg	5.0
BUCK CAPITAL MGMT		FD	S	7,000	44.60	26-Jan	13-Apr	non-brg	1.0
BALYASNY ASSET MGMT	044	FII	S	60,000	38.69	n/a	23-Apr	3,000.00	5.0
BUCK CAPITAL MGMT	001	FL	S	3,000	23.87	n/a	19-Mar	120.00	4.0
BUCK CAPITAL MGMT		GCO	S	10,000	50.82	n/a	10-Jan	non-brg	5.0
BUCK CAPITAL MGMT		GES	B	20,000	41.10	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		GIL	B	50,000	63.54	n/a	2-Apr	non-brg	5.0
BUCK CAPITAL MGMT	001	GM	SS	10,000	30.60	n/a	5-Apr	400.00	4.0
COUGAR TRADING	044	GNTX	SS	5,000	18.46	n/a	24-Apr	250.00	5.0
BUCK CAPITAL MGMT		GSF	S	5,000	62.69	n/a	n/a	non-brg	5.0
SLS CAPITAL LLC	224	GS	SS	58,800	221.30	13-Dec	16-Apr	2,940.00	5.0
BUCK CAPITAL MGMT		GTRC	S	1,000	47.49	n/a	n/a	non-brg	1.0
COUGAR TRADING	044	GYMB	SS	5,000	38.38	4-Jan	13-Apr	250.00	5.0
MATTHES CAPITAL MGMT	033	HBI	B	5,000	28.26	n/a	n/a	200.00	4.0
LOOMIS SALES/BOSTON	036	HD	B	452,927	39.29	n/a	1-Mar	18,117.08	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BLUE HARBOR	036	HIBB	B	100,000	29.54	n/a	n/a	5,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HMA</b>	<b>S</b>	<b>10,400</b>	<b>10.89</b>	<b>5-Mar</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>5.0</b>
<b>MKT MAKER</b>	<b>099</b>	<b>HOT</b>	<b>B</b>	<b>3,300</b>	<b>68.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	HRB	B	5,000	22.47	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	INPC	B	2,500	9.77	n/a	n/a	125.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>INTC</b>	<b>S</b>	<b>10,000</b>	<b>21.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>B</b>	<b>10,000</b>	<b>81.65</b>	<b>20-Apr</b>	<b>20-Apr</b>	<b>non-brg</b>	<b>5.0</b>
LOOMIS SALES/BOSTON	036	JCP	B	1,200	81.50	20-Apr	20-Apr	48.00	4.0
<b>NOLAN</b>	<b>067</b>	<b>JDSU</b>	<b>B</b>	<b>40</b>	<b>15.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	JNC	S	6,400	50.88	n/a	23-Apr	320.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>30,000</b>	<b>39.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KCP</b>	<b>S</b>	<b>13,600</b>	<b>26.04</b>	<b>n/a</b>	<b>28-Feb</b>	<b>non-brg</b>	<b>5.0</b>
MAGNETAR FINANCIAL	247	KELYA	B	25,000	29.54	n/a	n/a	1,000.00	4.0
ROTHSCHILD ASSET	040	KEY	S	23,040	36.28	n/a	n/a	1,036.80	4.5
<b>MAGUIRE</b>	<b>090</b>	<b>KOG</b>	<b>B</b>	<b>5,000</b>	<b>4.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>KOG</b>	<b>B</b>	<b>5,000</b>	<b>4.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		LAVA	S	10,000	12.15	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		LBTYA	S	4,000	35.02	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		LBTYK	S	4,000	33.01	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		LINTA	S	8,775	24.66	n/a	n/a	non-brg	5.0
WOOSTER CAPITAL	035	LM	SS	50,000	97.16	10-Apr	23-Apr	2,500.00	5.0
BUCK CAPITAL MGMT		LNCR	S	68,717	39.17	21-Dec	24-Apr	non-brg	5.0
JACOB	068	LNCR	S	400	39.02	21-Dec	24-Apr	0.00	0.0
BUCK CAPITAL MGMT		LPNT	S	15,000	38.01	n/a	7-Feb	non-brg	5.0
BUCK CAPITAL MGMT		LTD	S	2,000	28.67	2-Mar	13-Apr	non-brg	1.0
BUCK CAPITAL MGMT		MCD	S	7,067	48.47	24-Apr	24-Apr	non-brg	5.0
DAVIDSON KEMPNER ADVISOR	217	MEDI	B	200,000	56.72	n/a	n/a	8,000.00	4.0
BUCK CAPITAL MGMT		MIPS	S	37,200	9.03	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		MIR	S	5,000	45.52	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		MSMSBMVR	SS	532,800	203.36	n/a	n/a	non-brg	6.1
BUCK CAPITAL MGMT		MSM	S	3,900	49.00	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		MVRX	C	532,800	203.59	n/a	n/a	non-brg	6.1

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		MVRX	SS	10,000	202.31	n/a	n/a	non-brg 20.3
	WASHINGTON ASSET	048	MWA	S	15,000	14.35	n/a	n/a	750.00 5.0
ß	BUCK CAPITAL MGMT		MWV	S	6,500	32.20	20-Mar	18-Apr	non-brg 5.0
	BUCK CAPITAL MGMT	001	NDN	S	12,100	14.59	16-Jan	12-Apr	484.00 4.0
	BALYASNY ASSET MGMT	044	NSR	B	5,000	29.10	n/a	n/a	250.00 5.0
	BARR	096	NXG	B	5,000	3.70	n/a	n/a	0.00 0.0
	MERRILL ASSET	032	OHI	B	6,400	17.90	n/a	n/a	288.00 4.5
	MERRILL LYNCH STRATEGICI	034	OI	B	16,500	26.24	n/a	n/a	825.00 5.0
	GRUSS CAPITAL	040	PCL	B	5,000	40.33	n/a	24-Apr	200.00 4.0
	LEDDY	035	PCL	S	1,000	40.25	n/a	24-Apr	0.00 0.0
	JACOB	068	PCL	S	400	40.24	n/a	24-Apr	0.00 0.0
	AMATURO	018	PFE	S	1,000	26.23	n/a	31-Mar	0.00 0.0
	XYLEM GLOBAL	091	PKG	B	85,700	24.59	n/a	18-Apr	4,285.00 5.0
ß	BUCK CAPITAL MGMT		PLCE	B	10,000	51.22	12-Apr	13-Apr	non-brg 5.0
ß	BUCK CAPITAL MGMT		PLCE	S	2,000	51.47	12-Apr	13-Apr	non-brg 5.0
	COUGAR TRADING	044	PLCE	S	5,000	51.07	12-Apr	13-Apr	250.00 5.0
	SATELLITE ASSET MGMT	012	PLCE	B	30,000	51.06	12-Apr	13-Apr	1,500.00 5.0
	BELMONT GLOBAL ADV	248	POL	B	7,600	6.27	n/a	n/a	380.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		PSUN	C	40,000	21.36	n/a	13-Apr	non-brg	5.0
BUCK CAPITAL MGMT	001	PVH	B	15,000	57.34	28-Mar	28-Mar	600.00	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	2,000	57.70	28-Mar	28-Mar	non-brg	1.0
FRIESS MGMT	042	PVH	B	62,300	57.74	28-Mar	28-Mar	2,802.90	4.5
⌘ BUCK CAPITAL MGMT		RIG	S	3,000	83.33	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	RL	S	10,000	96.57	2-Feb	13-Apr	400.00	4.0
NEUBERGER BERMAN	036	ROL	B	16,600	23.11	n/a	n/a	830.00	5.0
SNYDER CAPITAL	038	RRD	S	71,700	41.93	n/a	n/a	2,868.00	4.0
SYND	038	RRD	S	500	41.93	n/a	n/a	20.00	4.0
⌘ BUCK CAPITAL MGMT		RTH	SS	20,000	103.67	n/a	n/a	non-brg	2.0
NEUBERGER BERMAN	036	SFY	S	39,200	41.16	n/a	n/a	1,960.00	5.0
⌘ BUCK CAPITAL MGMT		SKX	B	10,000	35.24	n/a	13-Mar	non-brg	5.0
BEAR STEARNS ASSET MGMT.	033	SLB	C	25,000	74.07	n/a	n/a	1,000.00	4.0
COUGAR TRADING	044	SMRT	SS	10,000	17.04	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		SWN	S	9,000	42.73	1-Mar	6-Mar	non-brg	5.0
CATAPULT	040	TCB	B	15,000	25.87	n/a	n/a	750.00	5.0
⌘ BUCK CAPITAL MGMT		TGT	B	40,000	60.00	n/a	24-Apr	non-brg	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SATELLITE ASSET MGMT	012	TGT	B	20,000	59.99	n/a	24-Apr	800.00	4.0
LISTED ERR	099	TGT	B	20,000	59.94	n/a	24-Apr	800.00	4.0
LISTED ERR	099	TGT	S	20,000	59.93	n/a	24-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		TJX	S	3,000	28.46	21-Mar	13-Apr	non-brg	1.0
BUCK CAPITAL MGMT	001	TLB	S	10,000	24.19	10-Jan	8-Mar	400.00	4.0
⌘ BUCK CAPITAL MGMT		TLB	S	20,000	24.10	10-Jan	8-Mar	non-brg	5.0
BALYASNY ASSET MGMT	044	TSM	B	50,000	10.79	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		TWB	S	1,900	38.51	22-Feb	22-Feb	non-brg	1.0
BARR	096	TWM	S	400	63.63	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		TYC	S	14,000	32.16	n/a	6-Feb	non-brg	5.0
SAVANNAH-BALTIMORE	012	T	SS	80,000	39.34	26-Jan	24-Apr	4,000.00	5.0
BUCK CAPITAL MGMT	001	UAG	B	10,000	20.96	n/a	13-Apr	400.00	4.0
⌘ BUCK CAPITAL MGMT		URBN	B	10,000	24.92	2-Apr	2-Apr	non-brg	5.0
⌘ BUCK CAPITAL MGMT		URBN	S	8,000	25.12	2-Apr	2-Apr	non-brg	1.0
FRIESS MGMT	042	URBN	B	391,400	24.82	2-Apr	2-Apr	17,613.00	4.5
COLONIAL FUND LLC	036	USG	SS	25,000	46.96	25-Apr	18-Apr	1,250.00	5.0
FRONTPOINT	044	VLO	B	50,000	68.46	n/a	n/a	2,500.00	5.0
GLG PARTNERS	034	WEN	SS	50,000	32.12	24-Apr	24-Apr	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		WHR	SS	30,000	100.94	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		WRNC	S	3,000	28.09	18-Jan	21-Mar	non-brg	1.0
XYLEM GLOBAL	091	WY	B	10,000	76.47	15-Dec	18-Apr	500.00	5.0
KORMAN	003	YTEC	B	2,000	8.53	n/a	n/a	0.00	0.0
CATAPULT	040	ZION	SS	5,000	82.06	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	ZQK	S	15,000	13.31	9-Mar	17-Apr	600.00	4.0
TOTAL (Trade Date):							122,934.78		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	04/19	REICH AND TANG	067	IMN	B	700	36.87	n/a	n/a	0.00	0.0
AO,	04/20	LISTED ERR	099	SYX	B	3,200	33.59	24-Apr	24-Apr	0.00	0.0
AO,CRT	04/20	MKT MAKER	099	SYX	B	50	33.59	24-Apr	24-Apr	0.00	0.0
AO,	04/20	LISTED ERR	099	SYX	S	3,200	33.59	24-Apr	24-Apr	0.00	0.0
AO,CXL	04/23	NCM CAPITAL MGMT	035	WAG	B	7,700	45.93	n/a	n/a	385.00	5.0
AO,CRT	04/23	NCM CAPITAL MGMT	035	WAG	B	7,700	45.93	n/a	n/a	385.00	5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>0.00</b>	

TOTAL (Trade Date):	122,934.78
TOTAL (AO, CXL, CRT):	0.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>122,934.78</b>
--------------------------------	-------------------

MRI Securities with Trade Activity on 24-Apr. AXE, MCD, WEN
----------------------------------------------------------------

MRI Securities without Trade Activity on 24-Apr. BKC, CAKE, CMG, DPZ, DRI, EAT, JBX, OSI, PFCB, PZZA, SBUX, SYX, THI, YUM
------------------------------------------------------------------------------------------------------------------------------

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 7%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION