



Daily Compliance Activity Report

Trade Date: 03/20/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ABG	B	7,000	14.50	25-Jan	22-Feb	non-brg	1.0
MATRIX ASSET ADV.	036	ADI	B	2,300	27.65	n/a	n/a	92.00	4.0
LEDDY	035	AER	B	600	18.17	n/a	n/a	0.00	0.0
LEDDY	035	AN	SS	1,200	15.75	n/a	8-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	APP	B	10,000	8.82	n/a	n/a	400.00	4.0
TRELLUS PARTNERS LP	028	ARGN	B	10,000	15.30	n/a	25-Feb	500.00	5.0
CENTURION GLOBAL MGMT LL	041	AUO	B	24,000	18.50	n/a	n/a	720.00	3.0
BUCK CAPITAL MGMT		AXE	B	50,000	61.12	29-Jan	3-Mar	non-brg	4.0
BUCK CAPITAL MGMT		BBBY	SS	144,900	31.07	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BBY	SS	40,000	42.01	n/a	27-Jul	non-brg	4.0
BASIX	033	BGC	S	21,700	53.12	n/a	n/a	868.00	4.0
BUCK CAPITAL MGMT	001	BJ	B	30,000	35.45	n/a	27-Jul	1,200.00	4.0
WHEELER	008	BMY	B	5,000	21.58	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BONT	S	139,300	5.48	4-Jan	12-Mar	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		BONT	S	18,230	5.60	4-Jan	12-Mar	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BWA	B	70,000	42.83	14-Jan	8-Feb	non-brg	4.0
SCOPUS FUND	041	BWA	B	30,000	43.02	14-Jan	8-Feb	1,500.00	5.0
TRELLUS PARTNERS LP	028	CASY	C	50,000	22.53	n/a	n/a	2,500.00	5.0
MFP INVESTORS	032	CBT	B	100	26.25	n/a	30-Jan	5.00	5.0
LEDDY	035	CHCG	B	7,000	1.39	n/a	n/a	0.00	0.0
WELLS/STRONG LEDDY	035	CHRS	B	131,200	4.90	n/a	22-Mar	3,936.00	3.0
SANDLER O'NEILL ASSET	031	CLMS	B	25,000	15.03	13-Feb	13-Mar	1,250.00	5.0
HOOVER INV. MGMT	033	CLR	S	53,600	26.48	n/a	n/a	2,680.00	5.0
⌘ BUCK CAPITAL MGMT		COH	C	60,000	29.21	24-Oct	14-Mar	non-brg	4.0
COUGAR TRADING	044	COH	B	5,000	28.40	24-Oct	14-Mar	250.00	5.0
POST ROAD CAP	045	CVS	S	20,000	39.65	n/a	n/a	600.00	3.0
NOMURA CAPITAL	045	DAL	S	700	10.50	n/a	n/a	35.00	5.0
BUCK CAPITAL MGMT	001	DDS	SS	10,000	18.25	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		DIA	SS	36,600	123.27	n/a	n/a	non-brg	2.0
WEISS PECK	040	DSW	B	10,830	17.17	26-Oct	20-Mar	541.50	5.0
GRISANTI BROWN & PARTNER	048	DYN	S	44,100	7.62	n/a	n/a	1,764.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NOMURA CAPITAL	045	FEMO	S	2,000	19.85	n/a	n/a	100.00	5.0
ß BUCK CAPITAL MGMT		FITB	SS	10,000	22.50	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		FL	B	100,000	11.32	n/a	12-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	B	100,000	11.40	n/a	12-Mar	non-brg	4.0
BARR	096	FMCN	C	600	33.75	n/a	n/a	0.00	0.0
J COHEN	N27	FXEOY	S	1	0.50	n/a	n/a	0.00	0.0
MAGUIRE	090	FXP	S	1,000	112.64	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	70,000	34.61	n/a	n/a	2,800.00	4.0
ß BUCK CAPITAL MGMT		GES	B	269,032	35.92	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	B	30,000	34.97	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GES	B	70,000	34.61	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	B	968	34.52	n/a	n/a	non-brg	1.0
SIGMA CAPITAL ASSOCIATES	237	GES	SS	100,000	34.64	n/a	n/a	5,000.00	5.0
ß BUCK CAPITAL MGMT		GSIC	B	25,000	12.74	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		GSIC	B	25,000	13.02	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	GYMB	S	5,000	41.33	n/a	13-Mar	250.00	5.0
LEDDY	035	HAL	S	400	35.25	n/a	n/a	0.00	0.0
NOMURA CAPITAL	045	HAYZ	S	10,000	3.01	n/a	n/a	500.00	5.0
AMBER CAPITAL	046	HBAN	SS	175,000	10.74	n/a	n/a	7,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	HDB	C	1,000	93.90	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		HON	C	102,200	54.56	n/a	25-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ICON	B	150,000	16.96	n/a	n/a	non-brg	4.0
N STRATTON	014	IYR	SS	100	66.78	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCG	B	5,000	41.97	28-Nov	12-Mar	250.00	5.0
⌘ BUCK CAPITAL MGMT		JNS	B	139,700	24.02	9-Jan	6-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JNS	B	50,000	24.12	9-Jan	6-Mar	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JPM	S	65,500	44.28	17-Jan	17-Mar	non-brg	4.0
BUCK CAPITAL MGMT	001	KMX	SS	10,000	20.12	n/a	10-Jan	400.00	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	19.46	n/a	17-Mar	400.00	4.0
LUCHANSKY1	036	LLL	C	200	106.22	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LTD	B	150,000	16.86	n/a	7-Mar	non-brg	4.0
RAINIER INV MGMT	035	ME	S	55,000	25.93	n/a	n/a	2,750.00	5.0
HART	056	MSJJ	S	10	4.80	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MSMSBOIH	C	10,000	91.24	n/a	n/a	non-brg	9.1
⌘ BUCK CAPITAL MGMT		MSM	B	25,000	40.67	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		MS	S	30,000	49.08	20-Dec	20-Mar	non-brg	4.0
WHEELER	008	MTRX	B	4,600	16.80	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		M	B	50,000	24.81	7-Feb	7-Mar	non-brg	4.0
ZOLIDIS	011	NFLX	S	200	36.09	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	NTAP	B	100,000	19.48	n/a	n/a	4,000.00	4.0
NOMURA CAPITAL	045	NWA	S	2,108	10.50	n/a	n/a	105.40	5.0
WELLS/STRONG LEDDY	035	NWY	S	1,500	5.69	n/a	20-Mar	45.00	3.0
LAGRANGE CAP ADV	048	NYT	S	50,000	19.50	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	OXM	SS	5,000	23.00	n/a	n/a	200.00	4.0
LEDDY	035	PBR	B	100	94.44	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		PCAR	B	30,000	46.78	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PERY	S	10,800	22.28	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PERY	S	20,000	22.50	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PERY	S	39,200	22.31	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		PLCE	B	200,000	22.00	10-Jan	18-Mar	non-brg	1.0
WEISS PECK	040	PSS	B	31,030	12.31	n/a	n/a	1,551.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PSUN	SS	5,000	12.75	n/a	14-Mar	250.00	5.0
CATAPULT	040	PVH	SS	7,000	34.79	13-Mar	13-Mar	280.00	4.0
JP MORGAN VENTURES	046	PVH	S	25,000	34.64	13-Mar	13-Mar	1,250.00	5.0
HIGHLINE ADV	044	QCOM	B	190,000	37.74	n/a	n/a	9,500.00	5.0
MS PRINCIPAL STRATEGIES	041	QMAR	S	88,975	21.38	n/a	n/a	4,448.75	5.0
BUCK CAPITAL MGMT	001	RCL	B	10,000	32.08	n/a	31-Jan	400.00	4.0
PRINCIPLE CAPITAL	040	RCL	B	149,500	34.06	n/a	31-Jan	4,485.00	3.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	59.70	7-Feb	15-Feb	400.00	4.0
LEDDY	035	RL	SS	300	59.97	7-Feb	15-Feb	0.00	0.0
MILLENIUM PARTNERS	028	ROST	S	20,000	30.75	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		RTH	SS	48,500	93.05	n/a	n/a	non-brg	2.0
ZOLIDIS	011	SCVL	SS	500	14.00	n/a	n/a	0.00	0.0
ZOLIDIS	011	SDS	B	300	65.30	n/a	n/a	0.00	0.0
HOOVER INV. MGMT	033	SD	S	40,300	36.85	n/a	n/a	2,015.00	5.0
GAULT	063	SKF	B	3,000	109.94	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	3,000	105.64	n/a	n/a	0.00	0.0
LAGRANGE CAP ADV	048	SLM	B	15,000	15.25	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CENTURION GLOBAL MGMT LL	041	SLX	S	2,100	80.35	n/a	n/a	63.00	3.0
⌘ BUCK CAPITAL MGMT		SWN+DM	C	660	1.40	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		SYNP	S	10,000	5.25	n/a	n/a	non-brg	4.0
AMBER CAPITAL	046	TCB	SS	125,000	19.71	n/a	n/a	5,000.00	4.0
⌘ BUCK CAPITAL MGMT		TIN	B	80,000	12.51	19-Mar	19-Mar	non-brg	4.0
JP MORGAN VENTURES	046	TJX	SS	10,000	33.86	n/a	10-Mar	500.00	5.0
MILLENIUM PARTNERS	028	TJX	B	15,000	33.89	n/a	10-Mar	750.00	5.0
⌘ BUCK CAPITAL MGMT		TPX	S	400,000	12.31	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TRLG	B	10,000	18.24	n/a	n/a	non-brg	4.0
LAGRANGE CAP ADV	048	TWX	B	20,000	14.18	n/a	n/a	1,000.00	5.0
BARR	096	ULBI	C	1,200	11.69	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		ULTA	S	12,000	12.59	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		ULTA	S	15,000	12.65	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		ULTA	S	22,100	12.45	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ULTA	S	64,900	12.62	n/a	n/a	non-brg	4.0
GALLEON MGMT LP	033	UNFI	C	15,000	16.05	n/a	n/a	750.00	5.0
PROSPECTOR PARTNERS LLC	036	UNH	B	18,000	35.05	n/a	n/a	720.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		VC	B	200,000	3.58	n/a	15-Feb	non-brg 3.0
BUCK CAPITAL MGMT	001	VFC	B	10,000	78.03	n/a	n/a	400.00 4.0
BUCK CAPITAL MGMT		VIRL	S	25,000	6.00	n/a	n/a	non-brg 4.0
HIGHLINE ADV	044	WM	B	28,700	10.23	n/a	n/a	1,435.00 5.0
BENCHMARK	028	XLE	B	2,500	71.19	n/a	n/a	125.00 5.0
BUCK CAPITAL MGMT		XLF	SS	171,400	26.23	n/a	n/a	non-brg 2.0
ZOLIDIS	011	ZUMZ	SS	500	17.17	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		ZZ	SS	20,000	7.41	n/a	n/a	non-brg 4.0
TOTAL (Trade Date):							82,615.15	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/19	ROYCE TRADING	216	RNWK	S	25,000	5.74	n/a	n/a	1250.00 5.0
AO,	03/19	ROYCE TRADING	216	TQNT	S	10,000	5.17	n/a	n/a	500.00 5.0
AO,	03/19	ROYCE TRADING	216	TTMI	S	10,000	11.06	n/a	n/a	500.00 5.0
AO,	03/19	ROYCE TRADING	216	WRNC	S	5,000	36.34	14-Jan	10-Mar	250.00 5.0

TOTAL (AO, CXL, CRT) :	2,500.00
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TOTAL (Trade Date) :	82,615.15
TOTAL (AO, CXL, CRT) :	2,500.00

TOTAL (RUN DATE) / NFS:	85,115.15
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MRI Securities with Trade Activity on 20-Mar. NONE

MRI Securities without Trade Activity on 20-Mar. APA, APC, DVN, PXD, SWN, UNT
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%
YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION