



## RR Commissions Summary [ Michael Smith ]

Trade Date: 10/11/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	10/11	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
DEPRINCE, RACE & ZOLLO	093	0	3,800	3,800	14,870	0	0	0	3,800	3,800	14,870	0	0
EAGLE ASSET MGMT. (FLORIDA)	093	0	728	728	728	0	0	26,900	728	728	27,628	46,222	60
FIDELITY/M. SMITH (FLORIDA)	093	0	0	0	0	0	0	15,282	0	0	15,282	5,963	256
GATOR CAPITAL MGMT LLC	093	0	313	313	1,043	0	0	0	313	313	1,043	0	0
OTTER CREEK MGMT	093	0	0	0	7,928	0	0	0	0	0	7,928	8,313	95
RBC GLOBAL ASSET MGMT	093	0	0	0	0	0	0	15,000	0	0	15,000	15,000	100
REAL ESTATE MGMT SERVICES GROUP	093	0	0	0	1,000	0	0	0	0	0	1,000	0	0
RETIREMENT SYSTEMS OF ALABAMA	093	0	0	0	0	0	0	16,500	0	0	16,500	0	0
SPARTAN	093	0	131	131	3,725	0	0	0	131	131	3,725	7,062	53
STERLING CAPITAL MGMT.	093	0	4,707	4,707	7,857	0	0	0	4,707	4,707	7,857	0	0
WEDGE CAPITAL	093	0	0	0	0	2,000	2,000	8,001	2,000	2,000	8,001	2,977	269
WELLSPRING CAPITAL	093	0	0	0	14,484	0	0	0	0	0	14,484	10,434	139
<b>TOTALS:</b>		<b>0</b>	<b>9,679</b>	<b>9,679</b>	<b>51,635</b>	<b>2,000</b>	<b>2,000</b>	<b>81,683</b>	<b>11,679</b>	<b>11,679</b>	<b>133,318</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	10/11	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
FIR TREE CAPITAL	270	0	0	0	0	0	0	9,000	0	0	9,000	6,500	138
GOLDMAN SACHS ASSET MGMT	098	0	0	0	0	0	0	0	0	0	0	0	0
MANNING & NAPIER	035	0	0	0	0	32,500	32,500	97,500	32,500	32,500	97,500	108,750	90
MANULIFE ASSET MGMT.	294	0	0	0	0	0	0	44,679	0	0	44,679	16,200	276
PERIMETER	273	0	1,771	1,771	5,568	0	0	0	1,771	1,771	5,568	55,952	0
TRUSTCO/SUNTRUST (CEREDEX)	273	0	0	0	35,813	12,784	12,784	39,779	12,784	12,784	75,592	147,889	27
<b>TOTALS:</b>		<b>0</b>	<b>1,771</b>	<b>1,771</b>	<b>41,381</b>	<b>45,284</b>	<b>45,284</b>	<b>190,958</b>	<b>47,055</b>	<b>47,055</b>	<b>232,339</b>		

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