



## Daily Compliance Activity Report

Trade Date: 03/02/2012

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ABX	B	128	47.70	n/a	n/a	5.12	4.0
BESSEMER INVESTMENT	085	ACE	B	107	72.07	n/a	n/a	4.28	4.0
BESSEMER INVESTMENT	085	ACN	B	110	59.36	n/a	n/a	4.40	4.0
BESSEMER INVESTMENT	085	AMT	S	230	62.99	n/a	n/a	9.20	4.0
<b>WEDGE CAPITAL</b>	<b>093</b>	<b>APA</b>	<b>S</b>	<b>9,065</b>	<b>107.85</b>	<b>n/a</b>	<b>1-Mar</b>	<b>226.69</b>	<b>2.5</b>
MILLRACE FUND LP	028	ARG	S	3,800	82.14	n/a	26-Jan	152.00	4.0
SURVEYOR CAPITAL	251	ARO	B	16,420	18.14	n/a	n/a	492.60	3.0
DC CAPITAL	044	ATI	B	25,000	44.30	n/a	29-Feb	1,000.00	4.0
NICOLAS APPLGATE	084	AWK	S	16,700	33.75	n/a	n/a	668.00	4.0
BASIX	033	AXL	SS	19,000	11.58	n/a	6-Feb	570.00	3.0
PILOT ADV	041	BHI	S	22,700	49.27	n/a	n/a	681.00	3.0
BNP PARIBAS SEC	040	BIG	B	25,000	42.35	n/a	16-Feb	1,250.00	5.0
SCOPUS FUND	041	BIG	B	20,000	42.93	n/a	16-Feb	800.00	4.0
BESSEMER INVESTMENT	085	CCL	B	65	30.52	n/a	n/a	2.60	4.0
BESSEMER INVESTMENT	085	CMCSA	B	349	29.26	n/a	n/a	13.96	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER CAPITAL	028	CNX	SS	140,000	34.56	n/a	n/a	7,000.00	5.0
BESSEMER INVESTMENT	085	COP	B	374	77.86	n/a	n/a	14.96	4.0
BESSEMER INVESTMENT	085	CTL	B	678	39.21	n/a	n/a	27.12	4.0
MTB INV ADV	034	CVGI	B	16,500	12.06	n/a	n/a	660.00	4.0
BESSEMER INVESTMENT	085	CVS	B	86	45.21	n/a	n/a	3.44	4.0
SENATOR INVESTMENT GROUP	036	DAL	S	100,000	9.93	n/a	n/a	3,000.00	3.0
MTB INV ADV	034	DAN	B	27,275	16.44	n/a	22-Feb	1,091.00	4.0
SONTERRA CAP	041	DG	S	40,000	42.88	n/a	27-Jan	1,600.00	4.0
BESSEMER INVESTMENT	085	DTE	B	34	54.25	n/a	n/a	1.36	4.0
BESSEMER INVESTMENT	085	EXC	B	426	38.92	n/a	n/a	17.04	4.0
BARON ASSET MGMT*	085	FAST	S	25,000	52.69	n/a	n/a	1,250.00	5.0
BESSEMER INVESTMENT	085	FCX	B	90	42.44	n/a	n/a	3.60	4.0
BESSEMER INVESTMENT	085	FE	B	112	44.31	n/a	n/a	4.48	4.0
FIRST NY SEC/CHRIS	041	FINL	B	10,000	23.81	n/a	16-Feb	500.00	5.0
RIDGECREST INV MGMT LLC	028	FINL	B	5,000	24.41	n/a	16-Feb	250.00	5.0
SCOPUS FUND	041	FINL	S	33,332	23.81	n/a	16-Feb	1,333.28	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SIMMONS VENTURES	041	FINL	B	5,000	24.00	n/a	16-Feb	200.00	4.0
ASCEND PARTNERS	033	FL	B	225,000	29.24	n/a	1-Mar	6,750.00	3.0
RBC CAPITAL MARKETS	040	FL	B	14,900	29.17	n/a	1-Mar	596.00	4.0
SIMMONS VENTURES	041	FL	B	10,000	29.49	n/a	1-Mar	400.00	4.0
BMO NESBITT BURNS	041	FOR	S	25,000	15.81	n/a	23-Feb	1,250.00	5.0
MUHLENKAMP FUND	046	F	B	285,000	12.81	n/a	2-Mar	14,250.00	5.0
BESSEMER INVESTMENT	085	GD	B	105	72.45	n/a	14-Feb	4.20	4.0
T. ROWE PRICE	033	GILD	B	63,800	47.02	n/a	n/a	2,552.00	4.0
BESSEMER INVESTMENT	085	HAL	S	290	36.39	n/a	n/a	11.60	4.0
<b>WEDGE CAPITAL</b>	<b>093</b>	<b>HOG</b>	<b>S</b>	<b>21,020</b>	<b>47.13</b>	<b>n/a</b>	<b>n/a</b>	<b>525.56</b>	<b>2.5</b>
BESSEMER INVESTMENT	085	IBM	B	33	197.49	n/a	n/a	1.32	4.0
SAGE ASSET MGMT	025	IP	S	16,400	35.45	n/a	2-Feb	820.00	5.0
TUDOR INVESTORS	028	IP	B	5,000	35.51	n/a	2-Feb	150.00	3.0
BESSEMER INVESTMENT	085	JNJ	B	445	64.62	n/a	n/a	17.80	4.0
DSG CAPITAL	030	JNY	B	10,400	10.10	n/a	9-Feb	312.00	3.0
THE JAY GOLDMAN	028	KSS	B	10,000	48.75	n/a	2-Mar	400.00	4.0
SANDLER O'NEILL ASSET	031	LAZ	S	40,000	30.44	n/a	16-Feb	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HOPLITE MGMT	025	LPX	B	19,000	8.06	n/a	7-Feb	570.00	3.0
VERITION	028	LVS	B	10,000	57.16	n/a	13-Jun	300.00	3.0
SURVEYOR CAPITAL	251	MAS	SS	55,272	11.68	n/a	n/a	1,658.16	3.0
BESSEMER INVESTMENT	085	MGA	B	223	49.21	n/a	n/a	8.92	4.0
BESSEMER INVESTMENT	085	MHP	B	112	46.32	n/a	n/a	4.48	4.0
BESSEMER INVESTMENT	085	MON	S	180	80.35	n/a	19-Jan	7.20	4.0
BESSEMER INVESTMENT	085	MRO	B	385	33.75	n/a	n/a	15.40	4.0
BESSEMER INVESTMENT	085	MSFT	B	833	32.32	n/a	n/a	33.32	4.0
ROSEDALE CAPITAL	046	MTOR	B	15,000	7.13	n/a	n/a	450.00	3.0
FARBER/WEISS PECK	085	MWV	B	28,500	30.84	n/a	26-Jan	1,140.00	4.0
MA CAPITAL MGMT/MELLON ADV	041	MWV	B	2,000	30.95	n/a	26-Jan	100.00	5.0
BESSEMER INVESTMENT	085	M	B	570	38.34	n/a	2-Mar	22.80	4.0
SIMMONS VENTURES	041	M	B	10,000	38.36	n/a	2-Mar	400.00	4.0
BESSEMER INVESTMENT	085	NEE	S	260	59.70	n/a	n/a	10.40	4.0
BESSEMER INVESTMENT	085	NEM	B	424	59.02	n/a	n/a	16.96	4.0
SURVEYOR CAPITAL	251	NWL	B	27,352	18.26	n/a	n/a	820.56	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PARKWEST	084	OSK	SS	50,000	22.89	n/a	n/a	2,000.00	4.0
MERRILL ASSET/BLACKROCK	297	PDC	S	2,400	10.25	n/a	n/a	96.00	4.0
BESSEMER INVESTMENT	085	PGN	S	215	53.05	n/a	n/a	8.60	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	30,000	29.89	n/a	22-Feb	1,500.00	5.0
BESSEMER INVESTMENT	085	P	S	301	13.55	n/a	n/a	12.04	4.0
ARMATURE CAPITAL	041	RKT	SS	21,693	73.69	n/a	26-Jan	867.72	4.0
ARMATURE CAPITAL	041	RKT	S	3,307	73.74	n/a	26-Jan	132.28	4.0
NCM CAPITAL MGMT	035	RVBD	S	39,575	29.00	n/a	n/a	1,583.00	4.0
SURVEYOR CAPITAL	251	SKS	SS	30,648	11.38	n/a	n/a	919.44	3.0
BAIRD INVESTMENT	047	SLB	S	2,625	77.31	n/a	n/a	131.25	5.0
IVORY CAPITAL	034	SPLS	B	695,463	15.44	n/a	n/a	3,477.32	0.5
SURVEYOR CAPITAL	251	SPLS	SS	52,493	15.36	n/a	n/a	1,574.79	3.0
IVORY CAPITAL	034	SPY	SS	677,500	137.25	n/a	n/a	3,387.50	0.5
PZENA INV ADV	044	STT	B	64,125	42.00	n/a	16-Feb	2,244.45	3.5
IRIDIAN ASSET MGMT.	036	TEL	S	57,380	36.73	n/a	n/a	2,869.00	5.0
BESSEMER INVESTMENT	085	TGT	B	339	56.52	n/a	1-Mar	13.56	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SONTERRA CAP	041	UAL	S	50,000	21.41	n/a	n/a	2,000.00	4.0
BESSEMER INVESTMENT	085	UNH	B	173	55.58	n/a	n/a	6.92	4.0
BESSEMER INVESTMENT	085	UN	B	70	33.06	n/a	n/a	2.80	4.0
MTB INV ADV	034	USG	B	20,575	14.64	n/a	7-Feb	823.00	4.0
SENATOR INVESTMENT GROUP	036	VALE	B	200,000	25.67	n/a	n/a	6,000.00	3.0
<b>KENNEDY CAPITAL</b>	<b>098</b>	<b>WEN</b>	<b>S</b>	<b>66,550</b>	<b>5.00</b>	<b>n/a</b>	<b>2-Mar</b>	<b>1,996.50</b>	<b>3.0</b>
TRUSTCO/SUNTRUST	040	WEN	S	94,389	4.99	n/a	2-Mar	3,303.67	3.5
ASCEND PARTNERS	033	WRC	B	68,600	58.40	n/a	29-Feb	2,058.00	3.0
BESSEMER INVESTMENT	085	WTR	S	2,074	22.12	n/a	n/a	82.96	4.0
BESSEMER INVESTMENT	085	WU	B	629	17.35	n/a	n/a	25.16	4.0
BESSEMER INVESTMENT	085	YUM	B	115	66.09	n/a	8-Feb	4.60	4.0
<b>TOTAL (Trade Date) :</b>								<b>95,555.37</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	03/02	SIMMONS VENTURES (SIMMJPM)	041	M	B	10,000	38.36	n/a	2-Mar	400.00 4.0
CRT	03/02	ROSEDALE CAPITAL (ROSEJPM)	046	MTOR	B	15,000	7.13	n/a	n/a	450.00 3.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>850.00</b>
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TOTAL (Trade Date) :	95,555.37
TOTAL (AO, CXL, CRT) :	850.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>96,405.37</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION