



Daily Compliance Activity Report

Trade Date: 06/06/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GLG PARTNERS	034	AAP	S	13,287	73.28	n/a	n/a	398.61	3.0
B BUCK CAPITAL MGMT		AAWW	C	30,000	44.27	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		AAWW	C	60,000	44.27	n/a	n/a	non-brg	4.0
NEWLAND CAPITAL MGT	025	ACCO	S	1,685	9.55	n/a	n/a	50.55	3.0
ROTHSCHILD ASSET	040	ACC	S	15,573	43.79	n/a	n/a	622.92	4.0
OMEGA ADVISORS	041	AIG	B	40,000	29.14	n/a	n/a	1,600.00	4.0
f MANNY I KORMAN	FID	ANF	B	10000	32.76	n/a	17-May	0.00	0.0
EPOCH INV MGMT	030	ARCO	B	81,750	13.78	n/a	6-Jun	2,452.50	3.0
B BUCK CAPITAL MGMT		ARO	S	177,400	17.64	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	S	227,392	17.64	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ASNA	B	30,000	18.75	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	AVY	B	10000	27.90	n/a	23-May	0.00	0.0
f MANNY I KORMAN	FID	AXP	B	10000	54.61	n/a	1-Jun	0.00	0.0
B BUCK CAPITAL MGMT		BAC	S	130,000	7.58	n/a	5-Jun	non-brg	3.0
CAPITAL GROWTH MGMT.	241	BAC	B	1,000,000	7.61	n/a	5-Jun	20,000.00	2.0
f MANNY I KORMAN	FID	BAC	B	20000	7.20	n/a	5-Jun	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	BAC	SS	100,000	7.65	n/a	5-Jun	3,000.00	3.0
f ANTHONY SUTERA	FID	BALT	B	1000	3.43	n/a	n/a	0.00	0.0
MERRILL ASSET/BLACKROCK	297	BBCN	S	60,746	10.16	n/a	n/a	2,429.84	4.0
B BUCK CAPITAL MGMT		BHI	SS	13,000	40.97	n/a	n/a	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	BWA	B	100	66.69	n/a	27-Apr	0.00	0.0
B BUCK CAPITAL MGMT		CELG	B	10,000	65.09	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAP	041	CF	B	589	169.86	n/a	n/a	17.67	3.0
B BUCK CAPITAL MGMT		CLH	SS	8,500	59.28	n/a	n/a	non-brg	4.0
CAPITAL GROWTH MGMT.	241	CLR	B	30,000	72.22	n/a	n/a	1,500.00	5.0
f MANNY I KORMAN	FID	CMI	B	4000	93.73	n/a	2-May	0.00	0.0
B BUCK CAPITAL MGMT		COH	S	33,979	64.05	n/a	9-May	non-brg	4.0
f MANNY I KORMAN	FID	COH	B	5000	64.37	n/a	9-May	0.00	0.0
MERRILL ASSET/BLACKROCK	297	COLB	S	30,400	17.87	n/a	n/a	1,216.00	4.0
BALLAST CAP	040	CONN	S	19,300	15.13	n/a	n/a	965.00	5.0
RBC CAPITAL MARKETS	040	COST	SS	12,000	87.70	n/a	n/a	480.00	4.0
B BUCK CAPITAL MGMT		CROX	B	15,000	16.56	n/a	n/a	non-brg	0.8

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		CROX	B	185,000	16.75	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	CSCO	B	5000	16.66	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		C	S	37,000	26.84	n/a	5-Jun	non-brg	1.0
f MANNY I KORMAN	FID	DD	B	10000	48.00	n/a	31-May	0.00	0.0
⚡ BUCK CAPITAL MGMT		DG	B	2,500	46.75	n/a	5-Jun	non-brg	5.0
⚡ BUCK CAPITAL MGMT		DG	B	2,500	46.75	n/a	5-Jun	non-brg	5.0
f DAVID GEORGE BUCK	FID	DIS	B	2000	45.50	n/a	n/a	0.00	0.0
CATAPULT CAP/DEFRANCO	040	DLTR	B	9,560	103.96	n/a	18-May	382.40	4.0
TROUBH PART	070	DTV	B	15,000	44.40	n/a	n/a	750.00	5.0
f DAVID GEORGE BUCK	FID	EEM	S	300	37.92	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	EMC	B	7000	23.64	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	EME	S	500	27.04	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	EOG	B	2,131	94.03	n/a	n/a	63.93	3.0
T. ROWE PRICE	033	FDX	S	419,580	86.25	n/a	n/a	12,587.40	3.0
⚡ BUCK CAPITAL MGMT		FOSL	B	20,000	73.50	n/a	n/a	non-brg	4.0
ARCHON CAPITAL	035	FRAN	B	50,000	23.70	n/a	n/a	1,500.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		GCO	S	10,000	65.98	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GCO	S	30,000	65.97	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GCO	S	30,000	65.97	n/a	n/a	non-brg	4.0
NWQ INVESTMENT MGMT CO	084	GOLF	S	82,283	6.04	n/a	n/a	2,468.49	3.0
⚡ BUCK CAPITAL MGMT		HAL	S	51,100	29.00	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	HBI	B	10000	27.98	n/a	25-May	0.00	0.0
f DAVID GEORGE BUCK	FID	HNT	B	1000	26.07	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	INTC	B	10000	25.59	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		JIVE	B	10,000	16.53	n/a	n/a	non-brg	4.0
SIMMONS VENTURES	041	JNY	B	10,000	9.90	n/a	26-Apr	400.00	4.0
⚡ BUCK CAPITAL MGMT		JPM	S	30,400	32.94	n/a	5-Jun	non-brg	4.0
f MANNY I KORMAN	FID	JPM	B	10000	32.62	n/a	5-Jun	0.00	0.0
SANDLER O'NEILL ASSET	031	JPM	SS	35,000	32.69	n/a	5-Jun	1,750.00	5.0
BALLAST CAP	040	KBR	B	8,400	25.28	n/a	n/a	420.00	5.0
WATERFRONT CAP	028	KR	SS	117,600	21.91	n/a	n/a	4,704.00	4.0
AVENUE CAPITAL MGMT	070	LEA	S	92,020	38.57	n/a	4-May	3,680.80	4.0
⚡ BUCK CAPITAL MGMT		MA	S	2,500	418.13	n/a	6-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ELM RIDGE ADVISORS	044	MBI	S	120,000	9.33	n/a	n/a	4,800.00	4.0
f FRANCIS MICHAEL MCCA	FID	MLI	B	200	42.51	n/a	24-Apr	0.00	0.0
f MANNY I KORMAN	FID	MSFT	B	10000	28.90	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	MS	B	15000	13.19	n/a	5-Jun	0.00	0.0
SEVEN LOCKS CAPITAL	025	MWV	S	87,650	27.15	n/a	25-Apr	2,629.50	3.0
CATAPULT CAP/DEFRANCO	040	M	S	8,314	37.32	n/a	1-Jun	332.56	4.0
SCOPUS FUND	041	M	SS	57,491	37.27	n/a	1-Jun	2,299.64	4.0
f BRIAN PATRICK O'GORM	FID	NEYYF	S	100	0.93	n/a	n/a	0.00	0.0
COPIA CAPITAL	031	PCAR	SS	30,700	37.65	n/a	25-Apr	1,074.51	3.5
f FRANCIS MICHAEL MCCA	FID	PH	B	200	79.69	n/a	25-Apr	0.00	0.0
f DAVID GEORGE BUCK	FID	PRWCX	S	3380	21.62	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	PXD	B	1,001	93.22	n/a	9-May	30.03	3.0
B BUCK CAPITAL MGMT		RKT	S	27,200	52.00	n/a	26-Apr	non-brg	4.0
COHE & STEERS	041	RSE	SS	25,623	12.83	n/a	n/a	768.69	3.0
f DAVID GEORGE BUCK	FID	SLE	B	2500	20.56	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SLE	B	500	20.54	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MATTHEW FRANCIS BUCK	FID	SLE	B	750	20.54	n/a	n/a	0.00	0.0
	NWQ INVESTMENT MGMT CO	084	SMSC	S	3,523	36.62	n/a	n/a	105.69	3.0
f	DAVID GEORGE BUCK	FID	SWN	S	300	28.12	n/a	25-May	0.00	0.0
B	BUCK CAPITAL MGMT		TDG	SS	2,000	125.69	n/a	n/a	non-brg	4.0
	CATAPULT CAP/DEFRANCO	040	TGT	B	11,121	57.48	n/a	31-May	444.84	4.0
	THE JAY GOLDMAN	028	TGT	B	10,000	57.60	n/a	31-May	400.00	4.0
B	BUCK CAPITAL MGMT		TPX	S	100,000	22.59	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		TPX	S	106,999	24.24	n/a	n/a	non-brg	4.0
	COPIA CAPITAL	031	TRW	B	31,242	38.68	n/a	n/a	1,093.47	3.5
	LATEFF MGMT	035	T	S	1,250	34.35	n/a	n/a	137.50	11.3
B	BUCK CAPITAL MGMT		URBN	SS	150,000	27.47	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		VFC	B	10,000	139.01	n/a	27-Apr	non-brg	4.0
f	DAVID GEORGE BUCK	FID	V	B	50	116.04	n/a	30-May	0.00	0.0
	CAPITAL RESEARCH GROUP		296 XEC	S	66,790	52.08		n/a	n/a	2,337.65 3.5
	KAM ADVISORS LLC	041	XLE	C	113,552	64.20	n/a	n/a	3,406.56	3.0
	SENATOR INVESTMENT GROUP	036	XLI	B	32,000	34.01	n/a	n/a	960.00	3.0

TOTAL (Trade Date):

84,260.75

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/05	CHARTER OAK	033	ATI	B	25,000	30.21	n/a	6-Jun	1250.00 5.0
AO,	06/05	CATAPULT	040	DRQ	B	13,080	59.63	n/a	n/a	523.20 4.0
AO,CRT	06/05	WINSLOW CAPITAL	030	PRGO	S	100	104.40	n/a	4-Jun	3.00 3.0
AO,CXL	06/05	WINSLOW CAPITAL	030	PRGO	S	100	104.04	n/a	4-Jun	3.00 3.0
AO,CRT	06/05	FARALLON CAP MGMT	040	SWY	B	98,200	18.58	n/a	n/a	2946.00 3.0
AO,CXL	06/05	FARALLON CAP MGMT	040	SWY	B	98,200	18.58	n/a	n/a	3928.00 4.0
TOTAL (AO, CXL, CRT) :										791.20

TOTAL (Trade Date) :	84,260.75
TOTAL (AO, CXL, CRT) :	791.20

TOTAL (RUN DATE) / NFS :	85,051.95
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 6-Jun.
NONE

MRI Securities without Trade Activity on 6-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)