



Daily Compliance Activity Report

Trade Date: 06/02/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f BARRY JONAS	FID	AGYS	B	2000	7.32	n/a	n/a	0.00	0.0
MTB INV ADV	034	ALTR	S	6,900	47.26	n/a	n/a	345.00	5.0
f HARALAMBOS EFSTATHI	FID	ALU	S	2000	5.53	n/a	n/a	0.00	0.0
ARMATURE CAPITAL	041	ALV	B	40,000	73.76	n/a	21-Apr	1,600.00	4.0
f BARBARA S LEDDY	FID	ARCO	B	400	21.93	n/a	n/a	0.00	0.0
f HARALAMBOS EFSTATHI	FID	ARCO	B	400	22.23	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	ARCO	B	698	21.86	n/a	n/a	0.00	0.0
HARVEST MGMT	029	BBBY	S	10,000	52.57	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		BBY	B	20,000	31.42	n/a	n/a	non-brg	4.0
f ALANA J A MADIGAN-SI	FID	BGZ	S	500	35.20	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	BODY	S	600	22.58	n/a	n/a	0.00	0.0
MTB INV ADV	034	CASY	S	27,600	39.63	n/a	n/a	1,380.00	5.0
f KAREN F LEDDY	FID	CHRS	S	2500	4.34	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	CMG	B	30	287.00	n/a	21-Apr	0.00	0.0
B BUCK CAPITAL MGMT		CRI	C	136,521	30.25	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f HARALAMBOS EFSTATHI	FID	CRMBW	B	3500	2.28	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	CRMBW	B	1000	2.25	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CRMBW	B	1500	2.25	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	CRMBW	B	3500	2.28	n/a	n/a	0.00	0.0
f BARBARA S LEDDY	FID	CRMB	B	500	12.95	n/a	n/a	0.00	0.0
f HARALAMBOS EFSTATHI	FID	CRMB	B	500	12.95	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CROX	S	40,000	22.04	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	CW	B	10,000	32.83	n/a	n/a	400.00	4.0
ROSEDALE CAPITAL	046	C	B	10,000	39.18	n/a	2-Jun	500.00	5.0
CAPITAL GROWTH MGMT.	241	DAL	S	700,000	9.75	n/a	n/a	21,000.00	3.0
ß BUCK CAPITAL MGMT		DDS	B	20,000	54.69	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DDS	B	6,300	55.44	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DECK	S	10,000	87.87	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DPZ	S	10,000	24.19	n/a	6-May	non-brg	4.0
IRIDIAN ASSET MGMT.	036	DRC	S	72,960	51.83	n/a	n/a	3,648.00	5.0
ß BUCK CAPITAL MGMT		DSW	S	20,000	48.58	n/a	11-Feb	non-brg	4.0
ETON PARK CAPITAL	041	EWV	B	291,000	61.07	n/a	n/a	8,730.00	3.0
f JOEL GIFFORD TISS	FID	FCX	S	2000	50.25	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		FINL	S	30,000	21.89	n/a	10-May	non-brg	4.0
ROYCE TRADING	012	FINL	B	17,500	21.82	n/a	10-May	875.00	5.0
HARVEST MGMT	029	FL	B	25,000	23.91	n/a	2-Jun	1,000.00	4.0
BASCOM HILL PARTNERS LLC	033	FWLT	B	6,000	33.84	n/a	n/a	180.00	3.0
⚡ BUCK CAPITAL MGMT		GCO	S	40,000	43.35	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GES	S	20,000	44.05	n/a	26-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GIII	S	10,000	40.87	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GIII	S	11,497	40.04	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		GIL	S	20,000	36.58	n/a	12-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GMCR	S	15,000	80.30	n/a	n/a	non-brg	2.5
OZ MGMT	040	GM	C	150,000	29.98	n/a	2-Jun	4,500.00	3.0
BALYASNY ASSET MGMT	044	GPS	SS	25,000	18.54	n/a	n/a	1,250.00	5.0
MTB INV ADV	034	HLF	S	5,020	55.71	n/a	n/a	11.00	2.5
f HARALAMBOS EFSTATHI	FID	HOV	S	2000	2.50	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	HZO	B	1500	8.04	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		IWM	C	2,800	82.20	n/a	n/a	non-brg	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		IWM	C	3,000	82.20	n/a	n/a	non-brg	2.0
f	DAVID GEORGE BUCK	FID	JNJ	B	10	0.35	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	JNJ	S	1500	66.57	n/a	n/a	0.00	0.0
f	ANTHONY SUTERA	FID	JNY	B	1000	11.32	n/a	28-Apr	0.00	0.0
B	BUCK CAPITAL MGMT		JOSB	B	29,600	50.79	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		JOSB	B	40,000	49.59	n/a	n/a	non-brg	4.0
	MADISON ST PARTNERS LLC	046	JOYG	SS	20,000	90.35	n/a	3-Mar	1,000.00	5.0
	JP MORGAN VENTURES	046	KR	B	10,000	23.92	n/a	n/a	400.00	4.0
	THE JAY GOLDMAN	028	KSS	B	150,000	51.33	n/a	27-May	6,000.00	4.0
	MTB INV ADV	034	LNCE	S	42,675	21.00	n/a	n/a	2,133.75	5.0
	KLEINHEINZ CAP PART	031	LOW	SS	25,000	23.37	n/a	16-May	1,250.00	5.0
f	HARALAMBOS EFSTATHI	FID	LPX	B	1000	8.02	n/a	19-May	0.00	0.0
	BASCOM HILL PARTNERS LLC	033	LTD	SS	5,000	37.98	n/a	n/a	150.00	3.0
	RIDGECREST INV MGMT LLC	028	LTD	SS	10,000	37.14	n/a	n/a	500.00	5.0
	SCOPUS FUND	041	LTD	B	10,000	37.50	n/a	n/a	400.00	4.0
f	DAVID GEORGE BUCK	FID	MCD	B	500	80.94	n/a	9-May	0.00	0.0
B	BUCK CAPITAL MGMT		MNRO	S	30,000	31.44	n/a	n/a	non-brg	4.0
f	MARK DEVEREAUX KAICH	FID	MSO	S	1500	5.15	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	MS	B	3000	23.08	n/a	2-Jun	0.00	0.0
f EDWARD W WHEELER	FID	MWA	B	20000	4.04	n/a	n/a	0.00	0.0
f HARALAMBOS EFSTATHI	FID	MWA	B	1000	3.99	n/a	n/a	0.00	0.0
f WILLIAM J GAULT	FID	MWA	B	5000	4.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		M	S	50,000	28.12	n/a	27-May	non-brg	4.0
SCOPUS FUND	041	M	S	50,000	27.77	n/a	27-May	2,000.00	4.0
TALKOT CAPITAL LLC	084	NAV	B	5,000	63.73	n/a	10-Mar	250.00	5.0
RWC PARTNERS LTD	085	NOV	B	13,898	72.33	n/a	n/a	416.94	3.0
LONG POND	029	PCH	B	43,000	33.21	n/a	27-Apr	2,150.00	5.0
ELM RIDGE ADVISORS	044	PCL	B	102,900	39.77	n/a	27-Apr	4,116.00	4.0
B BUCK CAPITAL MGMT		PLCE	S	10,000	47.42	n/a	20-May	non-brg	4.0
ROARING BLUE LION MGMT	012	PLCE	B	2,800	47.44	n/a	20-May	112.00	4.0
THE JAY GOLDMAN	028	PLCE	B	10,000	47.20	n/a	20-May	400.00	4.0
THIRD COAST CAPITAL	035	PLCE	S	15,000	47.21	n/a	20-May	750.00	5.0
f DAVID GEORGE BUCK	FID	PNRA	B	800	121.11	n/a	26-Apr	0.00	0.0
SCOPUS FUND	041	POT	B	25,000	55.45	n/a	n/a	1,000.00	4.0
BNP PARIBAS SEC	040	ROST	SS	20,000	80.51	n/a	n/a	1,000.00	5.0
B BUCK CAPITAL MGMT		RTH	SS	2,200	107.33	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		RTH	SS	2,300	107.33	n/a	n/a	non-brg	2.0
f DAVID GEORGE BUCK	FID	SBUX	B	20	0.83	n/a	28-Apr	0.00	0.0
f DAVID GEORGE BUCK	FID	SBUX	S	20	1.73	n/a	28-Apr	0.00	0.0
B BUCK CAPITAL MGMT		SHOO	S	20,000	35.80	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID	STE	B	5000	35.34	n/a	n/a	0.00	0.0
FIRST NEW YORK LEDDY	035	S	S	20,000	5.93	n/a	n/a	800.00	4.0
JP MORGAN VENTURES	046	TGT	B	10,000	48.26	n/a	2-Jun	400.00	4.0
BNP PARIBAS SEC	040	TJX	B	40,000	51.52	n/a	27-May	2,000.00	5.0
MTB INV ADV	034	TJX	S	5,420	51.43	n/a	27-May	271.00	5.0
SIGMA CAPITAL ASSOCIATES	041	TJX	B	25,000	51.28	n/a	27-May	875.00	3.5
f JONATHAN RICHARD HAR	FID	TZA	B	650	36.18	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	TZA	B	5000	36.21	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UAL	B	30,000	23.27	n/a	n/a	non-brg	1.0
f EDWARD W WHEELER	FID	UTSI	S	50000	1.91	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	VC	S	10,000	59.87	n/a	n/a	300.00	3.0
f JASON ERIC COHEN	FID	WLK	S	80	54.10	n/a	n/a	0.00	0.0
SCOPUS FUND	041	WMT	SS	22,705	53.39	n/a	18-May	908.20	4.0
BNP PARIBAS SEC	040	WRC	B	40,000	51.45	n/a	6-May	2,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARVEST MGMT	029	XRT	B	10,000	52.13	n/a	n/a	400.00	4.0
f JOSEPH CIRO AMATURO	FID	ZAGG	S	5000	11.20	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	ZINC	B	2000	12.20	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	ZLC	S	1900	6.24	n/a	n/a	0.00	0.0
f ANTHONY SUTERA	FID		B	25000	87.10	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							77,801.89		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/27	LIONEYE CAPITAL	040	BIG	B	600	32.00	n/a	27-May	30.00 5.0
AO,CXL	05/27	LIONEYE CAPITAL	040	BIG	B	600	32.00	n/a	27-May	24.00 4.0
AO,	06/01	FARALLON CAP MGMT	040	BTU	B	74,300	60.81	n/a	n/a	2972.00 4.0
AO,CXL	06/01	FARALLON CAP MGMT	040	BTU	B	74,300	60.81	n/a	n/a	2972.00 4.0
AO,CRT	06/01	SAC TRADING TOM LEDDY	035	M	B	25,500	28.26	n/a	27-May	892.50 3.5
AO,CXL	06/01	SIGMA CAPITAL ASSOCIATES	041	M	B	25,500	28.26	n/a	27-May	892.50 3.5
AO,CXL	06/01	LISTED ERR	099	WRC	B	500	53.64	n/a	6-May	0.00 0.0
TOTAL (AO, CXL, CRT) :										6.00

TOTAL (Trade Date) :	77,801.89
TOTAL (AO, CXL, CRT) :	6.00

TOTAL (RUN DATE) / NFS :	77,807.89
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 2-Jun.
NONE

MRI Securities without Trade Activity on 2-Jun.
PVH

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)