



## Daily Compliance Activity Report

Trade Date: 04/06/2009

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROYCE TRADING	216	AAI	S	10,000	5.07	n/a	n/a	500.00	5.0
RDK CAPITAL MGMT	034	AMZN	B	15,000	77.47	n/a	n/a	600.00	4.0
WOOSTER CAPITAL	035	AN	SS	40,000	15.38	30-Jan	30-Jan	2,000.00	5.0
WEISS PECK	040	ARO	SS	4,200	27.69	n/a	3-Apr	210.00	5.0
NUMERIC	060	ASH	S	55,800	13.09	n/a	11-Mar	1,674.00	3.0
DIAMONDBACK CAP MGMT	232	AXP	C	50,000	14.89	n/a	1-Apr	2,500.00	5.0
MILLENIUUM PARTNERS	028	BBY	B	6,030	38.99	n/a	27-Jul	241.20	4.0
ROYCE TRADING	216	BCO	S	6,300	29.31	n/a	n/a	315.00	5.0
DC CAPITAL	044	BC	B	50,000	3.96	n/a	n/a	1,000.00	2.0
ROYCE TRADING	216	BHE	S	5,000	12.28	n/a	n/a	250.00	5.0
MILLENIUUM PARTNERS	028	BIG	B	14,260	21.75	4-Mar	6-Apr	570.40	4.0
MILLENIUUM PARTNERS	028	BKC	B	7,780	23.91	6-Feb	3-Apr	311.20	4.0
S.A.C. HEALTHCARE BOBBY	044	BMJ	SS	25,000	20.56	n/a	n/a	1,000.00	4.0
ROYCE TRADING	216	BNE	B	10,000	3.39	n/a	n/a	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	BWLD	B	6,625	37.16	n/a	n/a	331.25	5.0
MTB INV ADV	034	BYI	B	10,700	22.28	n/a	n/a	535.00	5.0
ROYCE TRADING	216	CAB	S	5,000	10.22	n/a	n/a	250.00	5.0
MTB INV ADV	034	CAKE	B	8,575	12.61	n/a	3-Apr	428.75	5.0
MILLENIUM PARTNERS	028	CCE	B	20,600	13.99	n/a	n/a	824.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>86,600</b>	<b>17.70</b>	<b>9-Jan</b>	<b>3-Apr</b>	<b>3,464.00</b>	<b>4.0</b>
ROYCE TRADING	216	CTS	B	5,000	4.15	n/a	n/a	150.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CVS</b>	<b>S</b>	<b>30,000</b>	<b>28.29</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
PRINCIPLE CAPITAL	040	CVS	B	35,000	28.90	n/a	n/a	1,050.00	3.0
MERRILL ASSET	032	FDO	B	12,000	33.46	5-Mar	6-Apr	540.00	4.5
MTB INV ADV	034	FINL	B	15,775	7.40	n/a	28-Mar	788.75	5.0
ROYCE TRADING	216	FNF	S	5,000	19.77	n/a	n/a	250.00	5.0
TROUBH PART	067	GD	S	25,000	44.95	n/a	n/a	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>S</b>	<b>100,000</b>	<b>21.44</b>	<b>n/a</b>	<b>n/a</b>	<b>4,000.00</b>	<b>4.0</b>
ROYCE TRADING	216	GFF	S	10,000	8.48	n/a	n/a	500.00	5.0
ASCEND PARTNERS	033	GIL	B	30,000	8.77	11-Dec	12-Feb	900.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEISS PECK	040	GIL	S	59,920	8.86	11-Dec	12-Feb	2,396.80	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GPS</b>	<b>S</b>	<b>150,000</b>	<b>14.45</b>	<b>n/a</b>	<b>30-Jan</b>	<b>6,000.00</b>	<b>4.0</b>
MTB INV ADV	034	GYMB	B	5,000	22.38	5-Mar	3-Apr	250.00	5.0
ROYCE TRADING	216	GY	S	10,000	2.47	n/a	n/a	300.00	3.0
PZENA INV ADV	044	HD	S	9,425	24.75	n/a	25-Feb	329.89	3.5
STG INVESTORS	041	HD	SS	55,000	24.77	n/a	25-Feb	2,200.00	4.0
MTB INV ADV	034	HIBB	B	12,800	19.42	n/a	n/a	640.00	5.0
KLEINHEINZ CAP PART	031	HPQ	SS	200,000	33.21	n/a	n/a	10,000.00	5.0
BROOKSIDE CAPITAL PART	067	INTC	B	200,000	15.56	n/a	n/a	8,000.00	4.0
BALYASNY ASSET MGMT	044	JCP	B	20,000	21.85	30-Mar	3-Apr	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JWN</b>	<b>SS</b>	<b>50,000</b>	<b>18.60</b>	<b>20-Feb</b>	<b>3-Apr</b>	<b>2,000.00</b>	<b>4.0</b>
PZENA INV ADV	044	KFT	B	61,350	22.84	n/a	n/a	2,147.29	3.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KMX</b>	<b>SS</b>	<b>20,000</b>	<b>11.76</b>	<b>n/a</b>	<b>10-Jan</b>	<b>800.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KRC</b>	<b>S</b>	<b>50,000</b>	<b>17.87</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	KSS	B	5,000	44.99	30-Mar	3-Apr	250.00	5.0
S.A.C. HEALTHCARE BOBBY	044	LLY	B	50,000	32.63	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLRACE FUND LP	028	MHP	S	4,123	24.05	n/a	n/a	123.69	3.0
AVESTA CAP	229	MSFT	B	100,000	18.45	n/a	3-Apr	4,000.00	4.0
BUCK CAPITAL MGMT	001	M	S	150,000	9.93	n/a	3-Apr	4,500.00	3.0
MTB INV ADV	034	PBY	B	90,675	5.10	n/a	n/a	4,533.75	5.0
S.A.C. HEALTHCARE BOBBY	044	PFE	B	25,000	13.61	n/a	31-Mar	1,000.00	4.0
MERRILL ASSET	032	PLCE	B	17,500	22.70	19-Mar	3-Apr	787.50	4.5
WEISS PECK	040	PLCE	SS	5,000	23.14	19-Mar	3-Apr	250.00	5.0
FIRST NEW YORK LEDDY	035	Q	S	10,000	3.84	n/a	n/a	600.00	6.0
MTB INV ADV	034	SSI	B	42,625	10.61	n/a	3-Apr	2,131.25	5.0
SIGMA CAPITAL ASSOCIATES	041	TIF	S	14,600	22.85	n/a	30-Jan	730.00	5.0
CAXTON PART	040	TIN	S	25,000	5.61	5-Dec	20-Mar	875.01	3.5
ROYCE TRADING	216	TRN	S	5,000	10.77	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	WMT	S	20,000	53.51	n/a	6-Apr	800.00	4.0
BUCK CAPITAL MGMT	001	WRC	S	120,000	25.56	27-Mar	27-Mar	4,800.00	4.0
TOTAL (Trade Date):								93,628.73	

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/03	BUCK CAPITAL MGMT (BUCKJPMBS)	001	CHS	B	180,900	6.41	5-Dec	30-Jan	5427.00 3.0
AO,CXL	04/03	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	CHS	B	200,000	6.41	5-Dec	30-Jan	8000.00 4.0
AO,	04/03	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	CHS	B	19,100	6.41	5-Dec	30-Jan	573.00 3.0
AO,CRT	04/03	BUCK CAPITAL MGMT (BUCKJPMBS)	001	DRI	B	9,300	35.93	19-Mar	19-Mar	372.00 4.0
AO,CXL	04/03	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	DRI	B	9,300	35.93	19-Mar	19-Mar	372.00 4.0
AO,CXL	04/03	SENVEST PART (SENVBARCLE)	045	DSW	SS	7,500	10.82	24-Mar	25-Mar	375.00 5.0
AO,CRT	04/03	SENVEST PART (SENVBARCLE)	045	DSW	SS	5,000	10.82	24-Mar	25-Mar	250.00 5.0
AO,CXL	04/03	SENVEST PART (SENVGS)	045	DSW	SS	7,500	10.82	24-Mar	25-Mar	375.00 5.0
AO,CRT	04/03	SENVEST PART (SENVGS)	045	DSW	SS	10,000	10.82	24-Mar	25-Mar	500.00 5.0
AO,CRT	04/03	PHILADELPHIA FINANCIAL (PHILMSCOM)	033	EVR	S	20,000	16.60	n/a	n/a	800.00 4.0
AO,CXL	03/30	NATIONAL BK OF CAN (NBCAMAIN)	031	JNS	SS	70,000	6.26	2-Mar	2-Apr	2800.00 4.0
AO,CRT	03/30	NATIONAL BK OF CAN (NBCAMAIN)	031	JNS	SS	70,000	6.26	2-Mar	2-Apr	2100.00 3.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3083)	098	ORCL	B	120	19.27	n/a	n/a	4.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2358)	098	ORCL	B	6,500	19.27	n/a	n/a	260.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2200)	098	ORCL	B	240	19.27	n/a	n/a	9.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1715)	098	ORCL	B	1,380	19.27	n/a	n/a	55.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM787)	098	ORCL	B	48	19.27	n/a	n/a	1.92 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1082)	098	ORCL	B	740	19.27	n/a	n/a	29.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1685)	098	ORCL	B	1,240	19.27	n/a	n/a	49.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1562)	098	ORCL	B	490	19.27	n/a	n/a	19.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1558)	098	ORCL	B	1,040	19.27	n/a	n/a	41.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1245)	098	ORCL	B	1,020	19.27	n/a	n/a	40.80 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM800117)	098	ORCL	B	1,100	19.27	n/a	n/a	44.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2420)	098	ORCL	B	3,890	19.27	n/a	n/a	155.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2760)	098	ORCL	B	430	19.27	n/a	n/a	17.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2058)	098	ORCL	B	9,960	19.27	n/a	n/a	398.40 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM704559)	098	ORCL	B	1,700	19.27	n/a	n/a	68.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM700495)	098	ORCL	B	1,300	19.27	n/a	n/a	52.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM704037)	098	ORCL	B	11,480	19.27	n/a	n/a	459.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM704207)	098	ORCL	B	1,020	19.27	n/a	n/a	40.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM704249)	098	ORCL	B	8,000	19.27	n/a	n/a	320.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM704187)	098	ORCL	B	801	19.27	n/a	n/a	32.04 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2192)	098	ORCL	B	1,720	19.27	n/a	n/a	68.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2502)	098	ORCL	B	7,660	19.27	n/a	n/a	306.40 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2974)	098	ORCL	B	110	19.27	n/a	n/a	4.40 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3945)	098	ORCL	B	258	19.27	n/a	n/a	10.32 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3975)	098	ORCL	B	2,090	19.27	n/a	n/a	83.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3375)	098	ORCL	B	10,800	19.27	n/a	n/a	432.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3393)	098	ORCL	B	570	19.27	n/a	n/a	22.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3452)	098	ORCL	B	1,490	19.27	n/a	n/a	59.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3482)	098	ORCL	B	2,890	19.27	n/a	n/a	115.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3563)	098	ORCL	B	5,350	19.27	n/a	n/a	214.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3597)	098	ORCL	B	271	19.27	n/a	n/a	10.84 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3677)	098	ORCL	B	24,260	19.27	n/a	n/a	970.40 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3796)	098	ORCL	B	14,380	19.27	n/a	n/a	575.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3797)	098	ORCL	B	540	19.27	n/a	n/a	21.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM)	098	ORCL	B	269	19.27	n/a	n/a	10.76 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3810)	098	ORCL	B	2,090	19.27	n/a	n/a	83.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3857)	098	ORCL	B	26,010	19.27	n/a	n/a	1040.40 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3858)	098	ORCL	B	830	19.27	n/a	n/a	33.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3050)	098	ORCL	B	26,916	19.27	n/a	n/a	1076.64 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2561)	098	ORCL	B	6,000	19.27	n/a	n/a	240.00 4.0

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AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1663)	098	ORCL	B	930	19.27	n/a	n/a	37.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1664)	098	ORCL	B	532	19.27	n/a	n/a	21.28 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM657780)	098	ORCL	B	3,180	19.27	n/a	n/a	127.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2352)	098	ORCL	B	1,200	19.27	n/a	n/a	48.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM704570)	098	ORCL	B	6,530	19.27	n/a	n/a	261.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2421)	098	ORCL	B	12,430	19.27	n/a	n/a	497.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM704074)	098	ORCL	B	250	19.27	n/a	n/a	10.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1698)	098	ORCL	B	890	19.27	n/a	n/a	35.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM936)	098	ORCL	B	200	19.27	n/a	n/a	8.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3081)	098	ORCL	B	153	19.27	n/a	n/a	6.12 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM673)	098	ORCL	B	6,330	19.27	n/a	n/a	253.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1556)	098	ORCL	B	1,220	19.27	n/a	n/a	48.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM746)	098	ORCL	B	1,760	19.27	n/a	n/a	70.40 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1557)	098	ORCL	B	1,890	19.27	n/a	n/a	75.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM258710)	098	ORCL	B	5,030	19.27	n/a	n/a	201.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3183)	098	ORCL	B	9,080	19.27	n/a	n/a	363.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3313)	098	ORCL	B	470	19.27	n/a	n/a	18.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM945)	098	ORCL	B	242,500	19.27	n/a	n/a	9700.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2077)	098	ORCL	B	7,790	19.27	n/a	n/a	311.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM8)	098	ORCL	B	257	19.27	n/a	n/a	10.28 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM698)	098	ORCL	B	570	19.27	n/a	n/a	22.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM8)	098	ORCL	B	134	19.27	n/a	n/a	5.36 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3014)	098	ORCL	B	620	19.27	n/a	n/a	24.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1)	098	ORCL	B	18,855	19.27	n/a	n/a	754.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM786628)	098	ORCL	B	2,450	19.27	n/a	n/a	98.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1)	098	ORCL	B	12,015	19.27	n/a	n/a	480.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2162)	098	ORCL	B	2,580	19.27	n/a	n/a	103.20 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2546)	098	ORCL	B	24,700	19.27	n/a	n/a	988.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2770)	098	ORCL	B	270	19.27	n/a	n/a	10.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2001)	098	ORCL	B	43,340	19.27	n/a	n/a	1733.60 4.0

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AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1759)	098	ORCL	B	7,590	19.27	n/a	n/a	303.60 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM137040)	098	ORCL	B	17,600	19.27	n/a	n/a	704.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM389650)	098	ORCL	B	510	19.27	n/a	n/a	20.40 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM877)	098	ORCL	B	132	19.27	n/a	n/a	5.28 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2771)	098	ORCL	B	220	19.27	n/a	n/a	8.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3258)	098	ORCL	B	1,300	19.27	n/a	n/a	52.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM1484)	098	ORCL	B	86	19.27	n/a	n/a	3.44 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM3108)	098	ORCL	B	700	19.27	n/a	n/a	28.00 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2053)	098	ORCL	B	6,020	19.27	n/a	n/a	240.80 4.0
AO,	04/03	GOLDMAN SACHS ASSET MGMT (GSAM2203)	098	ORCL	B	130	19.27	n/a	n/a	5.20 4.0
AO,CXL	04/03	SACC-SCHWARTZ (SAC1SCHWAR)	044	PKG	S	150,000	13.70	5-Dec	20-Mar	7500.00 5.0
AO,CRT	04/03	SACC-SCHWARTZ (SAC1SCHWAR)	044	PKG	S	150,000	13.70	5-Dec	20-Mar	6000.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>21,777.88</b>
TOTAL (Trade Date) :										93,628.73
TOTAL (AO, CXL, CRT) :										21,777.88
<b>TOTAL (RUN DATE) / NFS:</b>										<b>115,406.61</b>



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION