



Daily Compliance Activity Report

Trade Date: 03/26/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		\$\$EURUSD	C	297,378	1.29	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		AAP	C	950	80.89	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	ABT	B	150	34.02	n/a	n/a	7.50	5.0
BAIRD INVESTMENT	047	ACN	B	50	74.61	n/a	n/a	2.50	5.0
BUCK CAPITAL MGMT		AEO	S	16,500	18.24	n/a	2-Dec	non-brg	4.0
CENTURION PARTNERS	041	AGNC	B	5,000	32.14	n/a	n/a	200.00	4.0
BAIRD INVESTMENT	047	AGN	B	50	111.95	n/a	25-Mar	2.50	5.0
BAIRD INVESTMENT	047	AKAM	B	50	34.79	n/a	n/a	2.50	5.0
CENTURION PARTNERS	041	ALK	S	25,000	62.50	n/a	n/a	1,000.00	4.0
BAIRD INVESTMENT	047	AMT	B	50	76.60	n/a	n/a	2.50	5.0
BUCK CAPITAL MGMT		ANF	S	13,050	45.66	n/a	n/a	non-brg	4.0
RAINIER INV MGMT	033	ANGI	B	36,900	19.90	n/a	n/a	1,476.00	0.0
BUCK CAPITAL MGMT		ANN	C	1,850	29.16	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ARO	C	4,400	13.47	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KAM ADVISORS LLC	041	AXL	S	168,300	12.87	n/a	25-Mar	5,049.00	0.0
ß BUCK CAPITAL MGMT		AZO	C	300	391.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BID	C	1,750	37.28	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BLMN	S	3,550	17.94	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BONT	S	7,450	12.86	n/a	13-Mar	non-brg	4.0
f MANNY I KORMAN	FID	BRCD	S	5000	5.74	n/a	n/a	0.00	0.0
ROSEDALE CAPITAL	046	BRCD	S	50,000	5.74	n/a	n/a	1,500.00	3.0
f JOSEPH CIRO AMATURO	FID	BSJF	S	3000	26.81	n/a	n/a	0.00	0.0
IVORY CAPITAL	084	BSX	S	94,100	7.41	n/a	n/a	3,764.00	0.0
ß BUCK CAPITAL MGMT		CAR	S	9,300	26.97	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	CAT	B	50	87.13	n/a	11-Jul	2.50	5.0
BAIRD INVESTMENT	047	CERN	B	50	93.21	n/a	n/a	2.50	5.0
BAIRD INVESTMENT	047	CHD	B	100	63.49	n/a	18-Mar	5.00	5.0
FINDLAY PARK PART	085	CHD	S	84,500	63.64	n/a	18-Mar	8,065.77	0.0
ß BUCK CAPITAL MGMT		CHS	C	4,200	16.96	n/a	5-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		CMG	C	450	318.46	n/a	6-Feb	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		COH	C	4,800	49.22	n/a	27-Feb	non-brg	4.0
BAIRD INVESTMENT	047	CRM	B	50	172.78	n/a	n/a	2.50	5.0
BUCK CAPITAL MGMT		CROX	S	100,000	14.91	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CROX	S	2,500	14.84	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		CROX	S	25,400	14.89	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CROX	S	97,500	14.82	n/a	n/a	non-brg	4.0
ROYCE TRADING	031	CROX	B	75,000	14.92	n/a	n/a	3,750.00	0.0
BAIRD INVESTMENT	047	CTSH	B	100	75.14	n/a	n/a	5.00	5.0
BAIRD INVESTMENT	047	CTXS	B	50	70.30	n/a	n/a	2.50	5.0
BUCK CAPITAL MGMT		DDS	C	700	79.12	n/a	n/a	non-brg	4.0
DAVIDSON KEMPNER ADVISOR	040	DELL	C	114,396	14.55	n/a	n/a	3,431.88	0.0
SANDLER O'NEILL ASSET	031	DFS	S	10,000	45.10	n/a	21-Mar	500.00	5.0
BAIRD INVESTMENT	047	DG	B	50	51.71	n/a	26-Mar	2.50	5.0
BUCK CAPITAL MGMT		DG	B	100,000	51.75	n/a	26-Mar	non-brg	4.0
BAIRD INVESTMENT	047	DHR	B	100	61.52	n/a	n/a	5.00	5.0
FRED ALGER MGMT	070	DISCA	S	25,000	79.09	n/a	n/a	900.00	0.0
BAIRD INVESTMENT	047	DKS	B	150	47.09	n/a	26-Mar	7.50	5.0
BUCK CAPITAL MGMT		DKS	S	4,883	47.21	n/a	26-Mar	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SHEFFIELD ASSET MGMT	031	DLTR	S	110,100	48.05	n/a	1-Mar	4,404.00	4.0
f JOSEPH CIRO AMATURO	FID	DMND	S	103	17.17	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	DMND	S	2000	17.07	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	047	DTV	B	100	56.11	n/a	n/a	5.00	5.0
BAIRD INVESTMENT	047	EBAY	B	100	51.69	n/a	n/a	5.00	5.0
B BUCK CAPITAL MGMT		EL	S	2,100	63.47	n/a	1-Mar	non-brg	4.0
BAIRD INVESTMENT	047	EMC	B	300	23.79	n/a	26-Feb	15.00	5.0
LATEEF MGMT	033	ENR	S	350	98.00	n/a	28-Feb	77.00	22.0
BAIRD INVESTMENT	047	ETN	B	100	61.62	n/a	14-Mar	5.00	5.0
BAIRD INVESTMENT	047	EW	B	50	81.92	n/a	n/a	2.50	5.0
f JOSEPH CIRO AMATURO	FID	EXC	S	1079	33.74	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	EXC	S	3000	33.75	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	EXPD	B	7000	35.67	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		EXPR	S	9,850	17.48	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	FAST	B	100	50.29	n/a	n/a	5.00	5.0
BAIRD INVESTMENT	047	FB	B	50	25.34	n/a	n/a	2.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KEYGROUP/KGLT	040	FCX	B	25,000	32.75	n/a	n/a	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		FDO	C	1,500	60.28	n/a	4-Jan	non-brg	4.0
SUFFOLK CAPITAL MGMT	040	FIVE	S	22,369	40.72	n/a	1-Mar	894.76	0.0
BAIRD INVESTMENT	047	FLR	B	50	64.95	n/a	n/a	2.50	5.0
⚡ BUCK CAPITAL MGMT		FL	S	23,672	33.22	n/a	26-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FNP	S	9,600	19.17	n/a	n/a	non-brg	4.0
BRIGADE CAPITAL MGMT	029	FNSR	B	50,000	13.41	n/a	n/a	1,500.00	0.0
WAGONHOUND/NICOLAS	033	FOR	B	50,000	22.29	26-Mar	26-Mar	2,000.00	0.0
⚡ BUCK CAPITAL MGMT		FOSL	S	5,800	95.27	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FRAN	S	13,900	30.10	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	FSAVX	S	141	42.19	n/a	n/a	0.00	0.0
f CHRISTOPHER ROBERT C	FID	FSCHX	S	9	124.71	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	047	FTNT	B	200	22.83	n/a	n/a	10.00	5.0
f CHRISTOPHER ROBERT C	FID	FTQGX	S	334	16.57	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		GCO	C	650	59.05	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		GES	C	2,700	25.10	n/a	21-Mar	non-brg	4.0
BAIRD INVESTMENT	047	GILD	B	150	45.80	n/a	n/a	7.50	5.0
⚡ BUCK CAPITAL MGMT		GIL	S	11,700	39.26	n/a	14-Mar	non-brg	4.0
TROUBH PART	070	GM	B	25,000	28.11	n/a	25-Mar	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		GNC	S	9,499	38.87	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GPS 1 P15	C	46	0.11	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		GPS	S	5,500	35.13	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GRMN	C	1,800	33.21	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HAS	C	2,103	43.62	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HBI	S	10,000	44.09	n/a	1-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HBI	S	9,400	44.07	n/a	1-Mar	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	HEK	S	10000	4.28	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		HIBB	C	650	55.06	n/a	n/a	non-brg	4.0
CENTURION PARTNERS	041	HIG	S	20,000	25.89	n/a	n/a	800.00	4.0
⚡ BUCK CAPITAL MGMT		HOG	C	1,600	52.88	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	HTR	S	1500	25.28	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		HTZ	S	13,350	21.42	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	HT	S	4000	5.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ICON	C	1,400	25.65	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	IGD	S	2900	9.25	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	IGD	S	3000	9.25	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	IGD	S	459	9.25	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	047	ILMN	B	50	53.59	n/a	n/a	2.50	5.0
BAIRD INVESTMENT	047	INTC	B	300	21.52	n/a	n/a	15.00	5.0
f SERGEI BARANOVSKY	FID	INTC	B	465	0.01	n/a	n/a	0.00	0.0
f YANAI A FRANK	FID	INTC	B	100	0.05	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	047	JBHT	B	50	73.54	n/a	n/a	2.50	5.0
SANDLER CAPITAL	028	JBHT	S	200,000	73.40	n/a	n/a	8,000.00	0.0
B BUCK CAPITAL MGMT		JNY	C	11,150	12.82	n/a	1-Mar	non-brg	4.0
ADAGE CAPITAL MGMT	030	JWN	B	3,269	53.74	n/a	6-Mar	98.07	3.0
B BUCK CAPITAL MGMT		JWN	C	3,050	53.96	n/a	6-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		KMX	C	1,900	40.71	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		KORS	S	10,700	54.97	n/a	12-Mar	non-brg	4.0
f MARK ANTHONY GRONET	FID	KORS	B	300	55.25	n/a	12-Mar	0.00	0.0
BAIRD INVESTMENT	047	KO	B	250	40.37	n/a	28-Feb	12.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LATEEF MGMT	033	KR	S	2,150	32.87	n/a	n/a	233.00	11.6
⌘ BUCK CAPITAL MGMT		KSS	C	4,750	46.37	n/a	6-Mar	non-brg	4.0
SCOPUS FUND	041	KSS	SS	25,000	46.40	n/a	6-Mar	1,000.00	4.0
STRONG/MARK GRONET	030	LCC	S	100,000	16.54	n/a	26-Mar	4,000.00	0.0
MILLRACE FUND LP	028	LEA	S	5,000	54.81	n/a	22-Mar	200.00	4.0
⌘ BUCK CAPITAL MGMT		LEG	C	2,902	32.97	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LOW	C	850	38.10	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	B	40,000	44.08	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	S	5,073	44.09	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	LTD	S	15,200	44.48	n/a	n/a	608.00	4.0
⌘ BUCK CAPITAL MGMT		LULU	C	800	63.07	n/a	26-Mar	non-brg	4.0
BAIRD INVESTMENT	047	LVS	B	50	55.28	n/a	13-Jun	2.50	5.0
⌘ BUCK CAPITAL MGMT		LVS	S	7,150	55.91	n/a	13-Jun	non-brg	4.0
FRED ALGER MGMT	070	LVS	B	17,900	55.46	n/a	13-Jun	644.40	0.0
⌘ BUCK CAPITAL MGMT		M 4 C40	C	17	2.36	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		MAR	C	2,200	40.86	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MAT	C	2,400	43.60	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MCD	C	1,625	98.47	n/a	25-Mar	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
f	MARK MEGALLI	FID	MHGC	S	10000	5.91	n/a	n/a	0.00	0.0	
	EPOCH INV MGMT	030	MLHR	B	22,500	27.41	n/a	n/a	675.00	0.0	
	CASTLE ARK	031	MON	B	105,320	103.12	n/a	n/a	5,266.00	0.0	
	BAIRD INVESTMENT	047	MSFT	B	400	28.28	n/a	n/a	20.00	5.0	
	CSFB GLOBAL PROPRIETARY	041	MWV	B	24,000	36.00	n/a	26-Mar	1,200.00	5.0	
B	BUCK CAPITAL MGMT		MW	C	1,300	33.45	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT		M	S	11,350	41.66	n/a	7-Mar	non-brg	4.0	
	GUGGENHEIM GLOBAL TRADING (GGT)	251	NDZ	B	10,000	6.55		n/a	n/a	200.00	2.0
B	BUCK CAPITAL MGMT		NILE	C	700	34.28	n/a	n/a	non-brg	4.0	
	BAIRD INVESTMENT	047	NKE	B	100	58.99	n/a	26-Mar	5.00	5.0	
B	BUCK CAPITAL MGMT		NKE	C	1,750	59.34	n/a	26-Mar	non-brg	4.0	
B	BUCK CAPITAL MGMT		NKE	C	10,000	59.41	n/a	26-Mar	non-brg	4.0	
	NWQ INVESTMENT MGMT CO	084	NP	B	2,400	30.75	n/a	22-Feb	84.00	0.0	
	GUGGENHEIM GLOBAL TRADING (GGT)	251	NRG	B	15,000	25.80		n/a	n/a	450.00	3.0
	BAIRD INVESTMENT	047	OII	B	50	65.24	n/a	n/a	2.50	5.0	
	BAIRD INVESTMENT	047	ORCL	B	150	31.56	n/a	n/a	7.50	5.0	

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PRIMECAP MGMT	084	ORCL	S	50,000	31.67	n/a	n/a	2,000.00	0.0
BAIRD INVESTMENT	047	ORLY	B	100	103.00	n/a	n/a	5.00	5.0
⌘ BUCK CAPITAL MGMT		PCLN	C	187	695.16	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	PCP	B	50	190.94	n/a	11-Mar	2.50	5.0
⌘ BUCK CAPITAL MGMT		PETM	C	1,350	62.25	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PII	S	5,950	90.56	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PIR	C	2,050	22.86	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PLCE	C	1,150	44.96	26-Mar	26-Mar	non-brg	4.0
COUGAR TRADING	044	PLCE	B	5,000	44.91	26-Mar	26-Mar	250.00	5.0
⌘ BUCK CAPITAL MGMT		PVH 6 C125	C	9	2.33	n/a	n/a	non-brg	300.0
ASCEND PARTNERS	033	PVH	S	20,000	113.91	n/a	1-Mar	1,000.00	0.0
BAIRD INVESTMENT	047	PVH	B	50	113.43	n/a	1-Mar	2.50	5.0
⌘ BUCK CAPITAL MGMT		PVH	S	3,300	113.58	n/a	1-Mar	non-brg	4.0
BAIRD INVESTMENT	047	PX	B	50	112.37	n/a	n/a	2.50	5.0
BAIRD INVESTMENT	047	QCOM	B	100	66.29	n/a	n/a	5.00	5.0
⌘ BUCK CAPITAL MGMT		RCII	C	2,450	36.99	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		RLJE	S	12,000	4.48	n/a	n/a	non-brg	2.0

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⚡ BUCK CAPITAL MGMT		RL	S	1,550	167.06	n/a	21-Feb	non-brg	4.0
BAIRD INVESTMENT	047	ROK	B	50	84.83	n/a	n/a	2.50	5.0
⚡ BUCK CAPITAL MGMT		RSH	S	8,800	3.40	n/a	n/a	non-brg	2.0
WAGONHOUND/NICOLAS	033	SAVE	S	29,371	25.65	n/a	n/a	1,174.84	0.0
BAIRD INVESTMENT	047	SBUX	B	150	56.79	n/a	25-Mar	7.50	5.0
⚡ BUCK CAPITAL MGMT		SCSS	S	50,000	18.97	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SCSS	S	7,300	18.98	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SHOO 4 C50	C	9	0.07	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		SHOO	S	6,651	43.22	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SIG	C	1,400	62.11	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SKS 5 P10	C	131	0.11	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		SKS	C	13,050	11.66	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	SLB	B	50	74.00	n/a	n/a	2.50	5.0
⚡ BUCK CAPITAL MGMT		SONC	C	900	12.74	n/a	n/a	non-brg	4.0
FIRST NY SEC/CHRIS	041	SONC	SS	10,000	12.53	n/a	n/a	500.00	5.0
⚡ JOSEPH CIRO AMATURO	FID	SPY	S	1000	155.73	n/a	n/a	0.00	0.0

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BAIRD INVESTMENT	047	SRCL	B	100	104.55	n/a	n/a	5.00	5.0
B BUCK CAPITAL MGMT		SSI	S	5,100	25.97	n/a	13-Mar	non-brg	4.0
BAIRD INVESTMENT	047	STT	B	50	59.56	n/a	21-Mar	2.50	5.0
FINDLAY PARK PART	085	STT	B	73,000	59.88	n/a	21-Mar	6,556.86	0.0
DAVIDSON KEMPNER ADVISOR	040	STZ	B	2,900	47.30	n/a	28-Feb	87.00	0.0
B BUCK CAPITAL MGMT		SWY	C	5,790	26.04	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SYU	C	5,750	35.14	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	TBT	S	1250	66.75	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	047	TDC	B	50	56.94	n/a	n/a	2.50	5.0
B BUCK CAPITAL MGMT		TFM	C	1,050	42.79	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TGT	C	2,350	68.36	n/a	13-Mar	non-brg	4.0
S.A.C. CAPITAL	044	TGT	SS	20,000	68.14	n/a	13-Mar	600.00	3.0
B BUCK CAPITAL MGMT		THI	C	500	53.00	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	TIF	B	50	68.01	n/a	n/a	2.50	5.0
B BUCK CAPITAL MGMT		TIF	C	4,550	68.20	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TJX	S	3,500	46.84	n/a	7-Mar	non-brg	4.0
COHE & STEERS	041	TOL	B	12,000	35.22	n/a	n/a	480.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BAIRD INVESTMENT	047	TROW	B	50	74.29	n/a	n/a	2.50	5.0
BAIRD INVESTMENT	047	TSCO	B	50	101.39	n/a	n/a	2.50	5.0
⚡ BUCK CAPITAL MGMT		TSCO	S	1,100	101.44	n/a	n/a	non-brg	4.0
M&I FUNDS	047	TWI	B	6,900	21.19	n/a	n/a	276.00	4.0
⚡ BUCK CAPITAL MGMT		TXRH	C	850	19.91	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		ULTA	S	6,250	78.36	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	URBN	B	50	39.07	n/a	n/a	2.50	5.0
⚡ BUCK CAPITAL MGMT		URBN	S	10,399	39.07	n/a	n/a	non-brg	4.0
⚡ JONATHAN RICHARD HAR	FID	URBN	B	28	1.20	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		VSI	C	700	48.99	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	047	WFM	B	100	86.69	n/a	n/a	5.00	5.0
⚡ BUCK CAPITAL MGMT		WFM	C	1,200	86.73	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WMT	C	5,603	74.75	n/a	22-Feb	non-brg	4.0
ROYCE TRADING	031	WOR	S	25,000	30.56	n/a	n/a	1,250.00	0.0
⚡ BUCK CAPITAL MGMT		WSM	C	1,550	50.85	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WWW	C	2,600	44.82	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WYNN	C	950	123.89	n/a	13-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ASCEND PARTNERS	033	XLI	SS	101,900	41.45	n/a	n/a	3,057.00	0.0
SUFFOLK CAPITAL MGMT	040	YUM	B	211,400	70.87	n/a	25-Mar	8,456.00	0.0
LISTED ERR	099	YUM	B	6,357	70.84	n/a	25-Mar	0.00	0.0
LISTED ERR	099	YUM	S	6,357	70.82	n/a	25-Mar	0.00	0.0
B BUCK CAPITAL MGMT		ZUMZ	C	850	23.08	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID		S	50000	85.40	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								90,146.08	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									90,146.08
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									90,146.08

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	03/25	BUCK CAPITAL MGMT.	ITX SM	S	2,825	130.05	n/a	n/a	0.00
CRT	03/25	BUCK CAPITAL MGMT	ITX SM	S	2,825	130.05	n/a	n/a	0.00 0.0

MRI Securities with Trade Activity on 26-Mar.

FOR, PLCE

MRI Securities without Trade Activity on 26-Mar.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)