



Daily Compliance Activity Report

Trade Date: 12/09/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KARP	023	AAPL	S	100	98.42	n/a	n/a	0.00	0.0
HIGHBRIDGE/MS OLDS	041	AA	SS	150,000	9.74	n/a	n/a	4,500.00	3.0
IMPALA ASSET MMT	012	AEO	B	200,000	10.19	n/a	5-Dec	6,000.00	3.0
HUNTER GLOBAL INVESTOR	067	AG	B	220,000	22.78	31-Oct	31-Oct	11,000.00	5.0
BEAR STEARNS ASSET MGMT.	033	BBY	SS	30,300	24.60	n/a	27-Jul	1,212.00	4.0
IMPALA ASSET MMT	012	BRCM	SS	300,000	16.94	n/a	n/a	9,000.00	3.0
PIIONEER MICHAEL NOLAN	067	CECO	S	10,000	17.49	n/a	n/a	300.00	3.0
IMPALA ASSET MMT	012	CHRW	S	132,376	50.15	n/a	n/a	3,971.28	3.0
HIGHBRIDGE/MS OLDS	041	CMI	B	25,100	24.94	14-Nov	14-Nov	753.00	3.0
STRAUSS ASSET MGMT	040	CYBS	B	15,000	10.71	n/a	n/a	600.00	4.0
TROUBH PART	067	C	S	50,000	8.95	15-Sep	24-Nov	2,500.00	5.0
DIAMONDBACK CAP MGMT	232	DELL	B	125,000	11.90	n/a	n/a	6,250.00	5.0
BASIX	033	DKS	S	45,000	14.89	7-Oct	20-Nov	1,350.00	3.0
COUGAR TRADING	044	DSW	SS	10,000	11.45	14-Nov	25-Nov	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
VISIUM/BALY HOWARD	038	DSW	SS	5,000	10.56	14-Nov	25-Nov	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	FDP	B	35,000	24.02	n/a	n/a	1,312.50	3.8
SERENGETI MGMT	030	FDS	SS	25,000	39.13	n/a	n/a	1,250.00	5.0
IMPALA ASSET MMT	012	FDX	S	280,230	65.87	n/a	n/a	8,406.90	3.0
STRAUSS ASSET MGMT	040	FSLR	B	5,000	117.68	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	GCO	S	100,000	16.61	n/a	10-Jan	4,000.00	4.0
BUCK CAPITAL MGMT	001	GES	B	30,000	15.80	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	HBI	B	70,000	15.01	n/a	n/a	2,800.00	4.0
IMPALA ASSET MMT	012	HOG	S	200,000	17.00	n/a	n/a	6,000.00	3.0
IMPALA ASSET MMT	012	HOT	SS	80,000	16.27	n/a	n/a	2,400.00	3.0
BASIX	033	HRS	B	15,000	35.90	30-Oct	9-Dec	450.00	3.0
TROUBH PART	067	HRS	S	40,000	36.56	30-Oct	9-Dec	2,000.00	5.0
IMPALA ASSET MMT	012	JBHT	B	100,000	24.90	n/a	n/a	3,000.00	3.0
SIGMA CAPITAL ASSOCIATES	237	JBX	B	25,000	19.69	n/a	24-Apr	1,250.00	5.0
AELTUS/ING INVESTMENT MGT	215	JPM	S	37,715	34.65	26-Sep	20-Nov	1,320.12	3.5
IMPALA ASSET MMT	012	KEX	SS	80,000	25.16	n/a	n/a	2,400.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KO	B	50,000	45.28	n/a	n/a	2,000.00	4.0
IMPALA ASSET MMT	012	LCC	S	504,439	7.03	n/a	n/a	5,044.39	1.0
HIGHBRIDGE CAPITAL	038	LPX	B	1,000,000	1.67	5-Nov	5-Nov	20,000.00	2.0
TRUST CO/COWEN/CALF.	040	LPX	S	1,420,000	1.67	5-Nov	5-Nov	28,400.00	2.0
IMPALA ASSET MMT	012	LSTR	SS	63,300	33.67	n/a	n/a	1,899.00	3.0
IMPALA ASSET MMT	012	LUV	SS	252,466	8.28	n/a	n/a	2,524.66	1.0
BUCK CAPITAL MGMT	001	MAR	B	50,000	17.09	n/a	n/a	2,000.00	4.0
SYSTEMATIC FINANCIAL MGT	035	MCD	S	210,000	60.03	13-Oct	8-Dec	7,875.00	3.8
WHITE PINE CAPITAL	030	MI	B	1,000	12.76	n/a	n/a	50.00	5.0
IMPALA ASSET MMT	012	MRVL	B	1,000,000	6.43	n/a	n/a	10,000.00	1.0
BUCK CAPITAL MGMT	001	M	B	70,000	8.95	12-Aug	5-Dec	2,100.00	3.0
COUGAR TRADING	044	M	B	10,000	8.74	12-Aug	5-Dec	400.00	4.0
SENATOR INVESTMENT GROUP	036	NKE	SS	15,000	54.89	n/a	n/a	600.00	4.0
IMPALA ASSET MMT	012	NSC	B	50,000	47.49	n/a	n/a	1,500.00	3.0
IMPALA ASSET MMT	012	ODFL	SS	61,300	22.97	n/a	n/a	1,839.00	3.0
HBK FUNDS	031	ONXX	SS	27,633	31.29	n/a	n/a	1,381.65	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IMPALA ASSET MMT	012	PCL	S	100,000	35.95	n/a	15-Oct	3,000.00	3.0
BUCK CAPITAL MGMT	001	PSS	B	20,000	10.05	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	PVH	B	173,417	20.48	7-Nov	20-Nov	6,936.68	4.0
STRAUSS ASSET MGMT	040	SCOR	S	15,000	10.77	n/a	n/a	600.00	4.0
HIGHBRIDGE/MS OLDS	041	SEIC	B	5,000	14.88	n/a	n/a	150.00	3.0
CR INTRINIC INVESTMENT	044	SGP	B	28,000	16.29	n/a	n/a	1,400.00	5.0
RBC CAPITAL MARKETS	034	SMH	B	150,000	17.11	n/a	n/a	6,000.00	4.0
IMPALA ASSET MMT	012	SSRI	B	100,000	9.06	n/a	n/a	1,000.00	1.0
WHITE PINE CAPITAL	030	TCB	B	1,000	14.04	n/a	n/a	50.00	5.0
MUHLENKAMP FUND	046	TEX	B	100,000	16.76	23-Oct	23-Oct	5,000.00	5.0
PORTER-ORLIN-FELDMAN	044	TFSL	S	31,700	12.50	n/a	n/a	951.00	3.0
HUNTER GLOBAL INVESTOR	067	TGT	B	75,000	39.35	17-Nov	5-Dec	3,750.00	5.0
TRELLUS PARTNERS LP	028	TGT	C	30,000	38.00	17-Nov	5-Dec	1,500.00	5.0
TRELLUS PARTNERS LP	028	TGT	SS	30,000	39.24	17-Nov	5-Dec	1,500.00	5.0
CLOVIS CAPITAL	012	TIF	S	75,000	23.69	n/a	2-Dec	3,750.00	5.0
IMPALA ASSET MMT	012	TK	S	77,200	15.98	n/a	n/a	2,316.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IMPALA ASSET MMT	012	TRN	S	135,600	14.50	n/a	n/a	4,068.00	3.0
OPPENHEIMER MGMT.	036	T	B	538,100	29.70	n/a	4-Jan	21,524.00	4.0
IMPALA ASSET MMT	012	UAUA	S	330,320	10.71	n/a	n/a	9,909.60	3.0
IMPALA ASSET MMT	012	UPS	S	238,263	54.45	n/a	n/a	7,147.89	3.0
STRAUSS ASSET MGMT	040	VOCS	SS	10,000	20.62	n/a	n/a	400.00	4.0
CUMBERLAND	038	VSH	S	100,000	4.14	n/a	n/a	3,000.00	3.0
HIGHBRIDGE/MS OLDS	041	WBC	B	25,000	17.04	18-Nov	2-Dec	750.00	3.0
IMPALA ASSET MMT	012	WERN	SS	110,843	17.35	n/a	n/a	3,325.29	3.0
STRAUSS ASSET MGMT	040	WFT	S	25,000	10.70	n/a	n/a	1,000.00	4.0
IMPALA ASSET MMT	012	WY	S	50,000	37.01	3-Nov	3-Nov	1,500.00	3.0
IMPALA ASSET MMT	012	XTO	B	50,000	35.62	n/a	n/a	1,500.00	3.0
IMPALA ASSET MMT	012	XTO	S	70,000	35.91	n/a	n/a	2,100.00	3.0
IMPALA ASSET MMT	012	YRCW	S	556,194	4.65	n/a	n/a	5,561.94	1.0
TOTAL (Trade Date) :								274,279.90	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/08	MAGNETAR FINANCIAL (MAGNGSCO)	031	MDT	B	47,500	32.02	n/a	22-Apr	1425.00 3.0
AO,CXL	12/08	MAGNETAR FINANCIAL (MAGNCSFB)	031	MDT	B	2,500	32.02	n/a	22-Apr	100.00 4.0
AO,CXL	12/08	MAGNETAR FINANCIAL (MAGNCSFB)	031	MDT	B	47,500	32.02	n/a	22-Apr	1900.00 4.0
AO,CRT	12/08	MAGNETAR FINANCIAL (MAGNCSFB)	031	MDT	B	2,500	32.02	n/a	22-Apr	75.00 3.0
AO,	12/08	SENATOR INVESTMENT GROUP (SENAGS)	036	NKE	SS	10,000	56.02	n/a	n/a	400.00 4.0

TOTAL (AO, CXL, CRT) :	-100.00
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TOTAL (Trade Date) :	274,279.90
TOTAL (AO, CXL, CRT) :	-100.00

TOTAL (RUN DATE) / NFS:	274,179.90
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION