



# Daily Compliance Activity Report

Trade Date: 02/16/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EPOCH INV MGMT	030	AAPL	B	150	361.92	n/a	n/a	4.50	3.0
GALT INVESTMENT	041	ABG	S	30,000	19.05	n/a	31-Jan	1,200.00	4.0
EPOCH INV MGMT	030	ABT	B	200	46.57	n/a	n/a	6.00	3.0
ST OF MICHIAN	047	AEO	B	180,000	15.90	n/a	4-Feb	5,400.00	3.0
EPOCH INV MGMT	030	AET	B	300	37.96	n/a	n/a	9.00	3.0
EPOCH INV MGMT	030	AMP	B	350	64.13	n/a	n/a	10.50	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>ANF</b>	<b>S</b>	<b>23,000</b>	<b>57.26</b>	<b>n/a</b>	<b>4-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ANF</b>	<b>S</b>	<b>40,000</b>	<b>56.87</b>	<b>n/a</b>	<b>4-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ANN</b>	<b>S</b>	<b>20,000</b>	<b>24.05</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ANN</b>	<b>S</b>	<b>4,516</b>	<b>24.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
IMPALA ASSET MMT	012	ANR	SS	25,000	57.46	n/a	n/a	1,250.00	5.0
<b>f</b> JOSEPH CIRO AMATURO	<b>FID</b>	<b>APC</b>	<b>B</b>	<b>2000</b>	<b>77.91</b>	<b>n/a</b>	<b>1-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> CLIFFORD A LONGLEY	<b>FID</b>	<b>ARGN</b>	<b>B</b>	<b>1</b>	<b>11.05</b>	<b>n/a</b>	<b>27-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ASNA</b>	<b>S</b>	<b>40,000</b>	<b>30.88</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
IMPALA ASSET MMT	012	AWI	S	50,000	43.60	n/a	n/a	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLENIUM PARTNERS	028	AXL	B	40,000	14.60	n/a	9-Feb	1,600.00	4.0
EPOCH INV MGMT	030	AXP	B	200	46.50	n/a	16-Feb	6.00	3.0
EPOCH INV MGMT	030	BA	B	200	72.23	n/a	15-Feb	6.00	3.0
RBC CAPITAL MARKETS	040	BA	SS	5,000	72.52	n/a	15-Feb	200.00	4.0
BNP PARIBAS SEC	040	BBY	SS	65,000	32.97	n/a	n/a	3,250.00	5.0
SHEFFIELD ASSET MGMT	031	BBY	S	130,000	32.64	n/a	n/a	6,500.00	5.0
EPOCH INV MGMT	030	BEN	B	150	129.55	n/a	2-Mar	4.50	3.0
<b>f CLIFFORD A LONGLEY</b>	<b>FID</b>	<b>BHO</b>	<b>B</b>	<b>2</b>	<b>4.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BID</b>	<b>S</b>	<b>50,000</b>	<b>48.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>CAH</b>	<b>B</b>	<b>15</b>	<b>0.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IMPALA ASSET MMT	012	CISG	SS	150,000	17.77	n/a	n/a	6,000.00	4.0
EPOCH INV MGMT	030	CMCSK	B	700	23.46	n/a	n/a	21.00	3.0
GALT INVESTMENT	041	CPKI	B	25,000	17.88	n/a	14-Feb	1,000.00	4.0
EPOCH INV MGMT	030	CSC	B	150	47.69	n/a	n/a	4.50	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>CSTR</b>	<b>B</b>	<b>20,000</b>	<b>43.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>f CLIFFORD A LONGLEY</b>	<b>FID</b>	<b>CVC</b>	<b>B</b>	<b>1</b>	<b>37.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>1500</b>	<b>17.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f RAVI SADARANGANI</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>200</b>	<b>17.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ROHINI T SADARANGANI</b>	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>1000</b>	<b>17.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DAL</b>	<b>B</b>	<b>25,000</b>	<b>12.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>DAN</b>	<b>S</b>	<b>250</b>	<b>18.49</b>	<b>n/a</b>	<b>31-Jan</b>	<b>0.00</b>	<b>0.0</b>
MILLENIUM PARTNERS	028	DAN	S	40,000	18.36	n/a	31-Jan	1,600.00	4.0
SCOPUS FUND	041	DAN	S	30,000	18.49	n/a	31-Jan	1,200.00	4.0
EPOCH INV MGMT	030	DD	B	250	54.43	n/a	25-Jan	7.50	3.0
EPOCH INV MGMT	030	DELL	B	650	15.30	n/a	n/a	19.50	3.0
EPOCH INV MGMT	030	DELL	S	2,800	15.27	n/a	n/a	84.00	3.0
EPOCH INV MGMT	030	DHR	S	1,250	51.60	n/a	n/a	37.50	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>DLTR</b>	<b>C</b>	<b>100,000</b>	<b>54.69</b>	<b>n/a</b>	<b>21-Jan</b>	<b>non-brg</b>	<b>1.0</b>
COUGAR TRADING	044	DLTR	B	10,000	54.21	n/a	21-Jan	500.00	5.0
COUGAR TRADING	044	DLTR	SS	5,000	53.54	n/a	21-Jan	250.00	5.0
EPOCH INV MGMT	030	DVA	B	150	79.95	n/a	n/a	4.50	3.0
EPOCH INV MGMT	030	DVA	S	1,000	80.00	n/a	n/a	30.00	3.0
EPOCH INV MGMT	030	DVN	B	200	86.70	n/a	11-Jan	6.00	3.0
EPOCH INV MGMT	030	DVN	S	550	87.27	n/a	11-Jan	16.50	3.0
CAERUS GLOBAL	041	EAT	SS	40,943	23.91	n/a	26-Jan	1,637.72	4.0
EPOCH INV MGMT	030	ENDP	B	450	35.00	n/a	16-Feb	13.50	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	ERTS	B	300	19.57	n/a	n/a	9.00	3.0
EPOCH INV MGMT	030	ERTS	S	1,750	19.50	n/a	n/a	52.50	3.0
<b>f</b> SCOTT L BRUNNER	<b>FID</b>	<b>FAZ</b>	<b>B</b>	<b>10000</b>	<b>7.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> SCOTT L BRUNNER	<b>FID</b>	<b>FAZ</b>	<b>S</b>	<b>10000</b>	<b>7.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IMPALA ASSET MMT	012	FCX	B	50,000	55.21	n/a	n/a	2,500.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>FDO</b>	<b>C</b>	<b>50,000</b>	<b>53.53</b>	<b>16-Feb</b>	<b>16-Feb</b>	<b>non-brg</b>	<b>4.0</b>
LAGRANGE CAP ADV	048	FII	S	7,400	27.90	n/a	2-Mar	370.00	5.0
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>FOR</b>	<b>S</b>	<b>100</b>	<b>19.00</b>	<b>n/a</b>	<b>7-Feb</b>	<b>0.00</b>	<b>0.0</b>
CHILTON CAPITAL MGMT.	036	F	S	200,000	16.02	n/a	2-Feb	6,000.00	3.0
<b>f</b> KAREN F LEDDY	<b>FID</b>	<b>F</b>	<b>S</b>	<b>700</b>	<b>16.06</b>	<b>n/a</b>	<b>2-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GCO</b>	<b>S</b>	<b>10,000</b>	<b>40.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GIL</b>	<b>S</b>	<b>12,500</b>	<b>30.55</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GIL</b>	<b>S</b>	<b>22,500</b>	<b>30.58</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GIL</b>	<b>S</b>	<b>32,500</b>	<b>30.48</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GIL</b>	<b>S</b>	<b>42,500</b>	<b>30.53</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>GIL</b>	<b>S</b>	<b>5,000</b>	<b>30.48</b>	<b>n/a</b>	<b>9-Feb</b>	<b>non-brg</b>	<b>1.0</b>
EPOCH INV MGMT	030	GLW	B	650	22.34	n/a	11-Feb	19.50	3.0
EPOCH INV MGMT	030	GLW	S	2,650	22.45	n/a	11-Feb	79.50	3.0
<b>f</b> JONATHAN RICHARD HAR	<b>FID</b>	<b>GMCR</b>	<b>B</b>	<b>100</b>	<b>43.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

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f	KAREN F LEDDY	FID	GMCR	B	700	43.26	n/a	n/a	0.00	0.0
	WAGONHOUND/NIC	289	GS	S	9,851	168.77	n/a	14-Feb	394.04	4.0
B	BUCK CAPITAL MGMT		HBI	B	40,000	24.72	n/a	28-Jan	non-brg	4.0
B	BUCK CAPITAL MGMT		HBI	S	40,000	24.70	n/a	28-Jan	non-brg	4.0
	SURSUM CAPITAL	215	HIBB	B	24,600	32.36	n/a	n/a	738.00	3.0
f	JOHN MICHAEL ZOLIDIS	FID	HOTT	B	1000	5.61	n/a	n/a	0.00	0.0
	IMPALA ASSET MMT	012	ICON	B	100,000	20.51	n/a	n/a	4,000.00	4.0
	EPOCH INV MGMT	030	IGT	B	500	17.25	n/a	27-Jan	15.00	3.0
	EPOCH INV MGMT	030	IGT	S	2,100	17.23	n/a	27-Jan	63.00	3.0
	EPOCH INV MGMT	030	IR	B	150	47.82	n/a	10-Feb	4.50	3.0
B	BUCK CAPITAL MGMT		IVZ	S	40,000	27.00	n/a	2-Mar	non-brg	1.0
f	DAVID GEORGE BUCK	FID	IVZ	B	2000	27.36	n/a	2-Mar	0.00	0.0
f	DAVID GEORGE BUCK	FID	IVZ	B	300	27.29	n/a	2-Mar	0.00	0.0
B	BUCK CAPITAL MGMT		JOSB	S	20,000	46.72	n/a	n/a	non-brg	4.0
	DENVER INST. ADV	046	JOSB	S	52,950	46.66	n/a	n/a	2,118.00	4.0
	CAXTON PART	040	JPM	B	25,773	47.76	n/a	16-Feb	902.07	3.5
	SURSUM CAPITAL	215	JWN	S	10,000	46.73	n/a	7-Feb	300.00	3.0
	HOTCHKIS & WILEY	035	KEY	S	145,600	9.60	n/a	n/a	7,280.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>KWS</b>	<b>B</b>	<b>500</b>	<b>11.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	LEA	B	9,000	111.87	n/a	2-Feb	360.00	4.0
M&I FUNDS	047	LEA	B	2,515	109.11	n/a	2-Feb	100.60	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>LEN</b>	<b>S</b>	<b>20,000</b>	<b>21.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EPOCH INV MGMT	030	LH	B	200	88.30	n/a	n/a	6.00	3.0
EPOCH INV MGMT	030	LH	S	650	88.11	n/a	n/a	19.50	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LL</b>	<b>S</b>	<b>20,000</b>	<b>28.12</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>3.0</b>
SONTERRA CAP	041	LMT	SS	50,000	80.84	n/a	15-Feb	2,000.00	4.0
EPOCH INV MGMT	030	MET	B	400	47.26	n/a	n/a	12.00	3.0
EPOCH INV MGMT	030	MET	S	1,400	47.34	n/a	n/a	42.00	3.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MON</b>	<b>B</b>	<b>1000</b>	<b>73.06</b>	<b>n/a</b>	<b>7-Feb</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	MSFT	B	850	27.02	n/a	n/a	25.50	3.0
EPOCH INV MGMT	030	MSFT	S	3,650	27.01	n/a	n/a	109.50	3.0
WAGONHOUND/NIC	289	MS	B	50,010	30.66	n/a	14-Feb	2,000.40	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>31,800</b>	<b>222.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>22.2</b>
RIDGECREST INV MGMT LLC	028	MWV	B	10,000	30.32	n/a	26-Jan	500.00	5.0
CASTLE ARK	031	NFLX	S	47,770	239.07	n/a	n/a	2,388.50	5.0

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AVESTA CAP	229	NOK	SS	100,000	9.05	n/a	n/a	3,000.00	3.0
EPOCH INV MGMT	030	NOV	B	200	79.58	n/a	n/a	6.00	3.0
EPOCH INV MGMT	030	OKE	B	250	61.81	n/a	n/a	7.50	3.0
EPOCH INV MGMT	030	ORCL	B	450	32.74	n/a	n/a	13.50	3.0
PENNANT CAP MGMT	029	ORI	S	150,160	12.80	n/a	n/a	4,504.80	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>OXM</b>	<b>B</b>	<b>10,000</b>	<b>24.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PAG</b>	<b>S</b>	<b>50,000</b>	<b>21.85</b>	<b>n/a</b>	<b>15-Feb</b>	<b>non-brg</b>	<b>4.0</b>
LAGRANGE CAP ADV	048	PAG	B	10,000	21.20	n/a	15-Feb	500.00	5.0
BUTTE CAPITAL MGMT LLC	084	PCAR	B	10,000	52.92	n/a	2-Feb	500.00	5.0
CSFB GLOBAL PROPRIETARY	041	PCAR	B	60,000	53.21	n/a	2-Feb	3,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>B</b>	<b>10,000</b>	<b>29.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
HUNTER GLOBAL INVESTOR	028	PH	SS	136,431	91.16	n/a	21-Jan	6,821.55	5.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>B</b>	<b>10,000</b>	<b>60.39</b>	<b>n/a</b>	<b>1-Feb</b>	<b>300.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>B</b>	<b>16,500</b>	<b>60.33</b>	<b>n/a</b>	<b>1-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>RRR</b>	<b>S</b>	<b>1200</b>	<b>13.15</b>	<b>n/a</b>	<b>12-Jan</b>	<b>0.00</b>	<b>0.0</b>
LANE GATE PARTNERS LLC	012	RRR	B	4,200	13.23	n/a	12-Jan	126.00	3.0
MFP INVESTORS	085	RURL	B	5,500	13.99	n/a	n/a	275.00	5.0

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<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SBUX</b>	<b>B</b>	<b>200</b>	<b>33.62</b>	<b>n/a</b>	<b>27-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SBUX</b>	<b>B</b>	<b>500</b>	<b>33.61</b>	<b>n/a</b>	<b>27-Jan</b>	<b>0.00</b>	<b>0.0</b>
CAERUS GLOBAL	041	SHLD	S	12,500	88.70	n/a	n/a	500.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SKS</b>	<b>C</b>	<b>100,000</b>	<b>12.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f RAVI SADARANGANI</b>	<b>FID</b>	<b>SRI</b>	<b>B</b>	<b>1000</b>	<b>15.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LITESPEED	028	SSCC	S	55,000	39.91	n/a	7-Jan	1,650.00	3.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>STD</b>	<b>B</b>	<b>2000</b>	<b>12.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CLOVIS CAPITAL	012	SWY	B	75,000	22.08	n/a	n/a	2,250.00	3.0
FIRST NEW YORK NICK	029	S	S	10,000	4.47	n/a	n/a	500.00	5.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>TBT</b>	<b>B</b>	<b>1000</b>	<b>40.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>TEX</b>	<b>S</b>	<b>400</b>	<b>37.60</b>	<b>n/a</b>	<b>11-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TGT</b>	<b>B</b>	<b>20,000</b>	<b>53.79</b>	<b>n/a</b>	<b>4-Feb</b>	<b>600.00</b>	<b>3.0</b>
MERRILL ASSET/BLACKROCK	266	THFF	S	10,000	32.05	n/a	n/a	400.00	4.0
RBC CAPITAL MARKETS	040	TIE	S	20,000	20.46	n/a	n/a	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TOL</b>	<b>S</b>	<b>20,000</b>	<b>21.86</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
IMPALA ASSET MMT	012	TRN	B	40,000	31.48	n/a	n/a	1,600.00	4.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>TX</b>	<b>B</b>	<b>5000</b>	<b>36.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
1798 CAP/LOMBARD ASSET	040	UNP	S	10,000	97.96	n/a	n/a	500.00	5.0
LANE GATE PARTNERS LLC	012	URI	B	1,700	32.95	n/a	n/a	51.00	3.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>URZ</b>	<b>B</b>	<b>20000</b>	<b>5.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>VMC</b>	<b>B</b>	<b>100</b>	<b>5.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>VPG</b>	<b>B</b>	<b>600</b>	<b>16.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>V</b>	<b>B</b>	<b>1000</b>	<b>75.61</b>	<b>n/a</b>	<b>16-Feb</b>	<b>0.00</b>	<b>0.0</b>
FARALLON CAP MGMT	040	WFC	S	200,000	32.92	n/a	14-Feb	8,000.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WRC</b>	<b>S</b>	<b>26,000</b>	<b>53.97</b>	<b>n/a</b>	<b>20-Jan</b>	<b>780.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WRC</b>	<b>S</b>	<b>10,000</b>	<b>53.53</b>	<b>n/a</b>	<b>20-Jan</b>	<b>non-brg</b>	<b>4.0</b>
T. ROWE PRICE	033	WY	S	93,700	25.20	n/a	4-Feb	3,748.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ZVZZT</b>	<b>B</b>	<b>100</b>	<b>3.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ZVZZT</b>	<b>B</b>	<b>150</b>	<b>3.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.3</b>
<b>f JOEL GIFFORD TISS</b>	<b>FID</b>		<b>B</b>	<b>25000</b>	<b>5.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>							<b>107,821.18</b>		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									107,821.18
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									107,821.18

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO	02/15	BUCK CAPITAL MGMT	RLJAU	B	250,000	10.00	n/a	n/a	37500.00 15.0
CXL	02/15	BUCK CAPITAL MGMT.	ZVZZT	B	1,000	3.00	n/a	n/a	30.00
CRT	02/15	BUCK CAPITAL MGMT	ZVZZT	B	1,000	3.00	n/a	n/a	30.00 3.0
CXL	02/15	BUCK CAPITAL MGMT.	ZVZZT	B	500	3.00	n/a	n/a	15.00
CRT	02/15	BUCK CAPITAL MGMT	ZVZZT	B	500	3.00	n/a	n/a	10.00 2.0

MRI Securities with Trade Activity on 16-Feb.

FDO

MRI Securities without Trade Activity on 16-Feb.

HST

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 6%

YTD = 4%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)