



Daily Compliance Activity Report

Trade Date: 06/03/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f JOHN MICHAEL ZOLIDIS	FID	AMZN	B	50	190.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ANN	B	20,000	26.62	n/a	n/a	non-brg	4.0
f MARY CATHERI MADIGA	FID	APC	B	100	76.77	n/a	3-May	0.00	0.0
TRELLUS PARTNERS LP	028	AXL	SS	25,000	10.66	n/a	25-May	1,250.00	5.0
PATTEN & PATTEN	025	BAC	S	3,750	11.40	n/a	2-Jun	187.50	5.0
PATTEN & PATTEN	025	BK	S	400	26.98	n/a	2-Jun	20.00	5.0
MTB INV ADV	034	BODY	S	4,200	22.01	n/a	n/a	210.00	5.0
PZENA INV ADV	044	BP	B	400	44.59	n/a	n/a	14.00	3.5
B BUCK CAPITAL MGMT		BYD	B	25,000	8.94	n/a	n/a	non-brg	3.0
f JASON ERIC COHEN	FID	CGI	B	150	12.91	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	CRMBW	B	2500	2.28	n/a	n/a	0.00	0.0
f NICOLAS EVAN PAKLER	FID	CRMB	B	175	12.70	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	CYBX	S	2,526	28.44	n/a	n/a	101.04	4.0
B BUCK CAPITAL MGMT		DECK	S	10,000	85.15	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		DPZ	S	30,000	24.10	n/a	6-May	non-brg	4.0
PATTEN & PATTEN	025	DVY	S	1,000	52.45	n/a	n/a	50.00	5.0
PATTEN & PATTEN	025	D	B	150	47.17	n/a	n/a	7.50	5.0
f BERNA GABRIELLE BARS	FID	EAT	S	400	24.48	n/a	29-Apr	0.00	0.0
PATTEN & PATTEN	025	EPP	S	100	47.69	n/a	n/a	5.00	5.0
PATTEN & PATTEN	025	FCX	B	100	50.21	n/a	n/a	5.00	5.0
ROYCE TRADING	012	FINL	B	4,995	21.66	n/a	10-May	249.75	5.0
B BUCK CAPITAL MGMT		FITB	B	20,000	12.27	n/a	n/a	non-brg	4.0
f NICOLAS EVAN PAKLER	FID	F	S	560	14.07	n/a	2-Jun	0.00	0.0
PATTEN & PATTEN	025	F	S	750	14.14	n/a	2-Jun	37.50	5.0
WALL STREET CAPITAL	084	F	S	10,000	14.12	n/a	2-Jun	450.00	4.5
B BUCK CAPITAL MGMT		GIII	S	17,213	39.19	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GIII	S	3,533	39.04	n/a	n/a	non-brg	2.5
B BUCK CAPITAL MGMT		GIII	S	7,271	39.56	n/a	n/a	non-brg	0.7
B BUCK CAPITAL MGMT		GIL	S	20,000	36.32	n/a	12-May	non-brg	4.0
OZ MGMT	040	GM	C	75,000	29.24	n/a	2-Jun	2,250.00	3.0
OZ MGMT	040	GM	SS	75,000	29.11	n/a	2-Jun	2,250.00	3.0
KLEINHEINZ CAP PART	031	HBI	S	50,000	28.42	n/a	21-Apr	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	HDV	B	1,000	52.21	n/a	n/a	50.00	5.0
PATTEN & PATTEN	025	HON	B	100	57.67	n/a	3-Jun	5.00	5.0
f BERNABARBARIE	FID	HOT	S	100	58.46	n/a	28-Apr	0.00	0.0
f BERNABARBARIE	FID	HST	S	400	16.93	n/a	28-Apr	0.00	0.0
PATTEN & PATTEN	025	IBB	B	50	107.79	n/a	n/a	2.50	5.0
CATAPULT	040	IP	SS	15,000	29.69	n/a	28-Apr	600.00	4.0
MTB INV ADV	034	JCI	S	55,835	37.71	n/a	26-Apr	2,791.75	5.0
SIGMA CAPITAL ASSOCIATES	041	JCP	SS	25,000	32.47	n/a	3-Jun	875.00	3.5
PATTEN & PATTEN	025	JNJ	B	500	65.95	n/a	n/a	25.00	5.0
B BUCK CAPITAL MGMT		KEG	S	53,500	17.60	n/a	3-May	non-brg	4.0
B BUCK CAPITAL MGMT		KSS	S	50,000	50.97	n/a	3-Jun	non-brg	4.0
f KAREN F LEDDY	FID	LEA	S	200	49.75	n/a	2-May	0.00	0.0
MTB INV ADV	034	LNCE	S	46,875	20.79	n/a	n/a	2,343.75	5.0
RWC PARTNERS LTD	085	LOW	S	19,396	23.45	n/a	16-May	581.88	3.0
SCOPUS FUND	041	LOW	S	20,000	23.41	n/a	16-May	800.00	4.0
f ANTHONY SUTERA	FID	LUV	B	1000	11.50	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f BERNA GABRIELLE BARS	FID	MAR	S	150	36.46	n/a	21-Apr	0.00	0.0
B BUCK CAPITAL MGMT		MSMSBCM	SS	170,000	97.64	n/a	n/a	non-brg	7.8
TUDOR INVESTORS	028	MTW	B	10,000	17.12	n/a	3-May	300.00	3.0
Õ Doug Rogers		MWA	S	1065	3.93	n/a	n/a	0.00	0.0
f MARY CATHERI MADIGA	FID	MWA	B	2000	3.86	n/a	n/a	0.00	0.0
f CHRISTOPHER ARTHUR K	FID	NGK	B	1000	14.05	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	NLC	S	225	27.54	n/a	n/a	11.25	5.0
f JOSEPH CIRO AMATURO	FID	NLY	B	4000	18.25	n/a	n/a	0.00	0.0
f MARK DEVEREAUX KAICH	FID	NQ	B	2000	5.89	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	NUAN	B	750	20.80	n/a	n/a	37.50	5.0
TUDOR INVESTORS	028	NUE	SS	5,000	40.73	n/a	n/a	150.00	3.0
CASTLE ARK	031	PAY	B	181,600	45.70	n/a	n/a	9,080.00	5.0
B BUCK CAPITAL MGMT		PCAR	B	10,000	46.79	n/a	20-Apr	non-brg	4.0
PATTEN & PATTEN	025	PCAR	B	50	47.68	n/a	20-Apr	2.50	5.0
WEINTRAUB CAPITAL MGT.	040	PCH	B	100,000	34.62	3-Jun	3-Jun	4,000.00	4.0
PATTEN & PATTEN	025	PEP	B	200	68.90	n/a	n/a	10.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		PERY	S	25,000	27.88	n/a	n/a	non-brg	2.5
f ANTHONY SUTERA	FID	PFCB	B	1000	38.55	n/a	29-Apr	0.00	0.0
COOPER CREEK PART	028	PKG	SS	10,000	27.38	n/a	19-Apr	400.00	4.0
B BUCK CAPITAL MGMT		PLCE	S	30,000	47.19	n/a	20-May	non-brg	4.0
B BUCK CAPITAL MGMT		PNK	B	20,000	13.83	n/a	n/a	non-brg	4.0
MERRILL ASSET/BLACKROCK	297	PRE	S	24,600	73.72	n/a	n/a	984.00	4.0
PATTEN & PATTEN	025	PRU	B	150	61.21	n/a	n/a	7.50	5.0
PATTEN & PATTEN	025	PWR	S	200	19.25	n/a	n/a	10.00	5.0
f KAREN F LEDDY	FID	ROHI	S	100	3.87	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	ROST	B	10,000	79.22	n/a	n/a	500.00	5.0
f EDWARD W WHEELER	FID	RUSHA	B	9865	18.14	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SAH	S	30,000	12.57	n/a	n/a	non-brg	2.5
f JOHN MICHAEL ZOLIDIS	FID	SKX	B	20	0.10	n/a	n/a	0.00	0.0
f ALANA J A MADIGAN-SI	FID	SOXL	B	350	50.87	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SSI	SS	20,000	15.75	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	SWN	B	100	42.45	n/a	29-Apr	5.00	5.0
f BARRY JONAS	FID	TACT	S	500	11.32	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	TDS	B	10,000	31.98	n/a	n/a	400.00	4.0
PATTEN & PATTEN	025	TEVA	S	510	50.65	n/a	3-Jun	25.50	5.0
B BUCK CAPITAL MGMT		TEX	B	10,000	26.75	n/a	25-Apr	non-brg	4.0
TUDOR INVESTORS	028	TEX	SS	5,000	27.24	n/a	25-Apr	150.00	3.0
MERRILL ASSET/BLACKROCK	297	TIBX	S	27,300	26.72	n/a	n/a	1,092.00	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	20,000	21.77	n/a	19-May	1,000.00	5.0
TUDOR INVESTORS	028	TKR	B	5,000	50.02	n/a	n/a	150.00	3.0
f KAREN F LEDDY	FID	TLB	S	500	4.60	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	TRN	B	5,000	32.18	n/a	n/a	150.00	3.0
PATTEN & PATTEN	025	TSCO	B	50	60.91	n/a	n/a	2.50	5.0
PATTEN & PATTEN	025	UTX	B	50	83.29	n/a	n/a	2.50	5.0
PATTEN & PATTEN	025	V	B	25	79.68	n/a	11-May	1.25	5.0
PATTEN & PATTEN	025	WFR	S	500	9.68	n/a	n/a	25.00	5.0
SAC TRADING TOM LEDDY	035	WMT	SS	25,000	53.57	n/a	18-May	875.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		WRC	B	10,000	49.95	n/a	6-May	non-brg	4.0
TUDOR INVESTORS	028	WY	B	10,000	20.88	n/a	3-Jun	300.00	3.0
WEINTRAUB CAPITAL MGT.	040	WY	B	150,000	20.83	n/a	3-Jun	6,000.00	4.0
PATTEN & PATTEN	025	XBI	B	100	73.63	n/a	n/a	5.00	5.0
B BUCK CAPITAL MGMT		XRT	SS	10,000	51.50	n/a	n/a	non-brg	2.0
TUDOR INVESTORS	028	X	B	5,000	43.66	n/a	n/a	150.00	3.0
f EDWARD W WHEELER	FID	ZINC	B	4567	12.03	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								43,488.67	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	06/02	IRIDIAN ASSET MGMT.	036	DRC	S	5,810	51.83	n/a	n/a	290.50	5.0
AO,CXL	06/02	IRIDIAN ASSET MGMT.	036	DRC	S	5,810	51.83	n/a	n/a	290.50	5.0
AO,CRT	06/02	MTB INV ADV	034	HLF	S	4,800	55.71	n/a	n/a	240.00	5.0
AO,CXL	06/02	MTB INV ADV	034	HLF	S	4,800	55.71	n/a	n/a	0.00	0.0
AO,	06/02	NOMURA CAPITAL	029	TXI	SS	3,894	40.94	n/a	n/a	116.82	3.0
AO,CRT	06/02	HARVEST MGMT	029	XRT	B	10,000	52.13	n/a	n/a	300.00	3.0
AO,CXL	06/02	HARVEST MGMT	029	XRT	B	10,000	52.13	n/a	n/a	400.00	4.0
TOTAL (AO, CXL, CRT) :										256.82	

TOTAL (Trade Date):	43,488.67
TOTAL (AO, CXL, CRT):	256.82

TOTAL (RUN DATE) / NFS:	43,745.49
--------------------------------	------------------

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 3-Jun.

PCH

MRI Securities without Trade Activity on 3-Jun.

COH

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)