



## RR Commissions Summary [ Chris Cattani ]

Trade Date: 09/24/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (41)	041	0	0	0	0	0	0	0	0	0	0	13,476	0
ARMATURE CAPITAL	041	0	0	0	30,800	5,961	15,427	21,802	5,961	15,427	52,602	90,192	58
BLACK CAPITAL(CALAMOS ASSET)	041	0	0	0	0	0	0	5,000	0	0	5,000	0	0
BRANT POINT CAPITAL	041	0	0	0	0	0	0	10,000	0	0	10,000	10,000	100
BTIG/QUENTEC	041	0	0	0	3,360	0	0	0	0	0	3,360	0	0
CADIAN CAPITAL	041	3,000	15,273	39,729	39,729	0	0	0	15,273	39,729	39,729	11,550	344
CAERUS GLOBAL	041	600	4,472	15,091	44,154	0	0	0	4,472	15,091	44,154	61,691	72
CALA	041	0	44,932	44,932	44,932	0	0	0	44,932	44,932	44,932	0	0
CENTURION PARTNERS	041	0	0	16,797	34,037	0	0	0	0	16,797	34,037	46,987	72
COBALT	041	0	12,000	16,000	59,308	0	0	0	12,000	16,000	59,308	103,803	57
COHE & STEERS	041	0	8,409	8,595	84,317	0	0	0	8,409	8,595	84,317	74,414	113
COLUMBIA MGMT	041	0	0	0	0	0	0	15,569	0	0	15,569	5,875	265
CORVEX CAPITAL	041	0	0	0	21,792	0	0	0	0	0	21,792	31,016	70
CR INTRINSIC/CHRIS C	041	0	0	7,980	32,607	0	0	0	0	7,980	32,607	3,826	852
CSFB GLOBAL PROPRIETARY	041	0	8,915	44,065	292,768	0	0	0	8,915	44,065	292,768	425,383	69
DECADE CAPITAL (ARETE INV) CHRIS C	041	0	0	0	10,410	397	13,592	23,801	397	13,592	34,211	7,963	430
DW INVESTMENT MGMT.	041	0	8,000	8,750	8,750	0	0	0	8,000	8,750	8,750	0	0
ETON PARK CAPITAL	041	0	0	0	0	50,000	50,000	50,000	50,000	50,000	50,000	67,770	74
FIRST NY SEC/CHRIS	041	1,000	5,550	9,600	31,587	0	0	0	5,550	9,600	31,587	34,239	92
GALT INVESTMENT	041	0	2,300	7,100	24,847	0	0	0	2,300	7,100	24,847	19,472	128
GREENLIGHT CAPITAL	041	0	0	18,750	18,750	0	0	25,000	0	18,750	43,750	25,000	175
HALCYON LLC	041	0	4,000	7,000	41,680	0	0	0	4,000	7,000	41,680	26,157	159
HEIGHTS CAPITAL	041	0	0	0	0	7,877	7,877	7,877	7,877	7,877	7,877	0	0
HIGHBRIDGE CAP	041	0	11,774	11,774	48,038	0	0	0	11,774	11,774	48,038	121,287	40
IONIC CAPITAL MANAGEMENT	041	0	6,595	13,468	20,124	0	0	0	6,595	13,468	20,124	0	0
JAT CAPITAL MANAGEMENT	041	0	0	0	0	0	0	0	0	0	0	75,412	0
JET CAPITAL/CHRIS	041	0	1,500	7,150	46,256	0	0	0	1,500	7,150	46,256	59,192	78
KAM ADVISORS LLC	041	0	12,876	26,684	93,002	0	0	0	12,876	26,684	93,002	89,699	104
KINGDON ASSET MGMT	041	0	2,600	11,200	41,400	0	0	0	2,600	11,200	41,400	117,902	35
LEVIN CAPITAL STRATEGIES	041	450	6,098	17,854	82,064	0	0	0	6,098	17,854	82,064	85,638	96
LUXOR CAPITAL	041	0	0	0	0	0	6,250	18,750	0	6,250	18,750	18,750	100
MARSHALL WACE	041	0	0	0	0	2,800	15,600	30,600	2,800	15,600	30,600	57,500	53
MILLENIUM CATTANI	041	0	0	0	10,220	0	0	0	0	0	10,220	0	0
MOORE CAPITAL	041	0	0	0	12,190	0	0	0	0	0	12,190	63,167	19
OMEGA ADVISORS	041	0	0	18,800	70,520	0	0	0	0	18,800	70,520	113,355	62
P.SCHOENFELD ASSET MGMT LLC	041	0	0	4,000	21,560	0	0	0	0	4,000	21,560	12,773	169
PAULSON & CO INC	041	0	0	7,318	27,209	0	0	0	0	7,318	27,209	41,600	65
RATAN CAPITAL MGMT LP	041	0	0	0	1,800	0	0	0	0	0	1,800	318	566
SCHOTTENFELD ASSOC	041	0	1,305	2,205	5,955	0	0	0	1,305	2,205	5,955	3,450	173

SCOGGIN LLC	041	0	9,200	21,160	29,987	0	0	0	9,200	21,160	29,987	0	0
SCOPUS FUND	041	0	14,530	114,789	314,828	0	0	0	14,530	114,789	314,828	386,840	81
SIGMA CAPITAL ASSOCIATES	041	0	13,505	39,005	142,689	0	0	0	13,505	39,005	142,689	56,753	251
SIMMONS VENTURES	041	0	0	1,153	1,553	0	0	0	0	1,153	1,553	52,868	3
TEMAGAMI CAPITAL	041	0	0	0	536	0	0	0	0	0	536	9,832	5
THREE G	041	0	0	7,612	23,625	0	0	0	0	7,612	23,625	0	0
TIGER	041	0	0	0	0	0	25,000	40,000	0	25,000	40,000	0	0
TIGER GLOBAL	041	0	0	0	0	0	0	0	0	0	0	48,500	0
TOURBILLION CAPITAL	041	0	0	0	0	0	0	10,000	0	0	10,000	0	0
TOURMALINE PARTNERS CHRIS	041	0	0	0	450	0	0	0	0	0	450	3,450	13
VELAZQUEZ/MILLENNIUM	041	0	0	0	1,600	3,131	19,905	40,264	3,131	19,905	41,864	0	0
<b>TOTALS:</b>		<b>5,050</b>	<b>193,836</b>	<b>548,561</b>	<b>1,819,435</b>	<b>70,166</b>	<b>153,651</b>	<b>298,663</b>	<b>264,002</b>	<b>702,211</b>	<b>2,118,098</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	09/24	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
ADJUSTMENT (251)	251	0	0	0	0	0	0	0	0	0	0	-13,476	0
CAISSE DEPOT PLACEMENT Q	295	0	300	300	18,297	0	0	0	300	300	18,297	12,444	0
GUGGENHEIM GLOBAL TRADING (GGT)	251	0	8,103	27,531	77,315	0	0	0	8,103	27,531	77,315	23,170	0
LONE PINE MGMT	257	0	0	0	0	0	0	32,000	0	0	32,000	7,500	427
LORD ABBETT & COMPANY	098	0	0	0	0	0	0	0	0	0	0	0	0
MOORE CAPITAL	257	0	4,346	43,796	52,848	0	0	0	4,346	43,796	52,848	63,167	19
SENATOR INVESTMENT GROUP	287	0	12,000	77,272	355,451	0	0	0	12,000	77,272	355,451	0	0
SURVEYOR CAPITAL	251	0	240	7,821	21,340	0	70,000	130,000	240	77,821	151,340	191,311	68
VELAZQUEZ/MILLENNIUM	282	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTALS:</b>		<b>0</b>	<b>24,989</b>	<b>156,720</b>	<b>525,251</b>	<b>0</b>	<b>70,000</b>	<b>162,000</b>	<b>24,989</b>	<b>226,720</b>	<b>687,251</b>		

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