



# Daily Compliance Activity Report

Trade Date: 07/28/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f</b> <b>CICILY S BACKUS</b>	<b>FID</b>	<b>ABG</b>	<b>S</b>	<b>2000</b>	<b>21.54</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
BNP PARIBAS SEC	040	AGCO	B	10,000	48.23	n/a	27-Apr	500.00	5.0
CATAPULT	040	AGCO	SS	4,508	47.84	n/a	27-Apr	180.32	4.0
MACQUARIE INVESTMENT MGMT.	040	AME	S	7,500	43.54	n/a	n/a	0.00	0.0
CATAPULT	040	AOS	S	4,400	42.23	n/a	19-Jul	176.00	4.0
CATAPULT	040	ARII	S	6,531	23.34	n/a	n/a	261.24	4.0
PZENA INV ADV	044	ARO	B	575	17.51	n/a	20-May	20.13	3.5
CATAPULT	040	ASH	S	2,540	63.26	n/a	28-Jul	101.60	4.0
IMPALA ASSET MMT	012	AWAY	S	31,700	40.49	n/a	n/a	1,585.00	5.0
CATAPULT	040	AWI	S	7,707	40.39	n/a	n/a	308.28	4.0
TUDOR INVESTORS	028	AXL	B	20,000	10.75	n/a	14-Jul	600.00	3.0
PIONEER PATH	028	BAC	SS	102,266	9.79	n/a	19-Jul	3,067.98	3.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>B</b>	<b>20,000</b>	<b>58.48</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CATAPULT	040	BC	S	10,400	22.56	n/a	n/a	416.00	4.0
CATAPULT	040	BECN	S	14,040	21.33	n/a	n/a	561.60	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	BID	B	10,000	42.84	n/a	n/a	300.00	3.0
⌘ BUCK CAPITAL MGMT		BID	B	10,000	43.29	n/a	n/a	non-brg	4.0
CENTURION PARTNERS	041	BP	B	20,000	45.07	n/a	n/a	800.00	4.0
⌘ BUCK CAPITAL MGMT		BWLD	SS	40,000	64.23	n/a	n/a	non-brg	4.0
TUDOR INVESTORS	028	CBE	B	3,000	54.86	n/a	26-Apr	90.00	3.0
CATAPULT	040	CBT	S	7,600	40.02	n/a	27-Jul	304.00	4.0
⌘ BUCK CAPITAL MGMT		CHEF	B	100,000	15.00	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		CHEF	B	155,000	17.15	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CHEF	B	25,000	16.56	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CHEF	S	30,100	17.52	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		CHEF	S	61	17.55	n/a	n/a	non-brg	1.0
CATAPULT	040	CMI	S	2,306	108.14	n/a	27-Jul	92.24	4.0
Ⓕ JONATHAN RICHARD HAR	FID	CRMBW	S	5000	2.20	n/a	n/a	0.00	0.0
Ⓕ JOHN BARTMAN COLE	FID	CX	B	1000	6.82	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		DAN	S	113,872	17.41	n/a	14-Jul	non-brg	4.0
TUDOR INVESTORS	028	DAN	S	20,000	16.45	n/a	14-Jul	600.00	3.0
⌘ BUCK CAPITAL MGMT		DNKN	S	30,000	27.86	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	ENR	S	350	80.71	n/a	28-Jul	70.00	20.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ARCHON CAPITAL	035	EQIX	S	10,000	105.69	n/a	n/a	300.00	3.0
TUDOR INVESTORS	028	ETN	B	3,000	49.07	n/a	25-Jul	90.00	3.0
TUDOR INVESTORS	028	EXPD	SS	3,000	48.15	n/a	n/a	90.00	3.0
ASCEND PARTNERS	033	FL	B	75,000	22.01	n/a	2-Jun	3,750.00	5.0
SAC ADV/LEE/PECK	044	FTI	B	25,000	46.32	n/a	n/a	875.00	3.5
NOKOMIS PARTNERS	031	FXI	SS	15,000	42.42	n/a	n/a	450.00	3.0
LATEFF MGMT	035	F	B	500	12.42	n/a	27-Jul	50.00	10.0
SASCO	033	GEN	S	91,000	3.92	n/a	n/a	2,730.00	3.0
LATEFF MGMT	035	GE	B	1,000	18.17	n/a	n/a	100.00	10.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>B</b>	<b>15,000</b>	<b>30.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>B</b>	<b>35,000</b>	<b>30.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SIGMA CAPITAL ASSOCIATES	041	GIL	SS	25,000	32.72	n/a	18-Jul	875.00	3.5
MERCHANTS GATE CAP LP	036	GLT	SS	25,000	15.07	n/a	n/a	1,000.00	4.0
TUDOR INVESTORS	028	GMT	SS	3,000	39.22	n/a	n/a	90.00	3.0
MILLENNIUM-ICS BOBBY	044	GPI	B	5,000	48.08	n/a	27-Jul	150.00	3.0
PZENA INV ADV	044	GS	S	2,325	136.69	n/a	19-Jul	81.38	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TUDOR INVESTORS	028	GT	B	13,800	17.07	n/a	n/a	414.00	3.0
PARAMETER CAPITAL MGMT LLC	044	GWW	B	9,406	150.96	n/a	19-Jul	376.24	4.0
SAC ADV/LEE/PECK	044	HAL	SS	20,000	55.48	n/a	n/a	700.00	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>HANS</b>	<b>B</b>	<b>6,500</b>	<b>75.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
TUDOR INVESTORS	028	HSC	B	3,000	28.31	n/a	28-Apr	90.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>IGT</b>	<b>S</b>	<b>150,000</b>	<b>18.89</b>	<b>n/a</b>	<b>13-Jun</b>	<b>non-brg</b>	<b>4.0</b>
MA CAPITAL MGMT/MELLON ADV	041	IP	SS	5,000	31.07	n/a	28-Jul	250.00	5.0
OZ MGMT	040	IP	S	125,000	30.76	n/a	28-Jul	3,750.00	3.0
TUDOR INVESTORS	028	IP	SS	5,000	31.15	n/a	28-Jul	150.00	3.0
<b>f JOEL GIFFORD TISS</b>	<b>FID</b>	<b>IR</b>	<b>B</b>	<b>1000</b>	<b>37.89</b>	<b>n/a</b>	<b>21-Jul</b>	<b>0.00</b>	<b>0.0</b>
MERRILL ASSET/BLACKROCK	297	IVC	B	2,200	30.72	n/a	n/a	88.00	4.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>IWM</b>	<b>B</b>	<b>100</b>	<b>80.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAERUS GLOBAL	041	JAH	B	38,500	30.90	n/a	n/a	1,540.00	4.0
SCOPUS FUND	041	JCP	SS	25,000	31.19	n/a	28-Jul	1,000.00	4.0
TUDOR INVESTORS	028	JCP	SS	25,000	31.76	n/a	28-Jul	750.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>SS</b>	<b>25,000</b>	<b>50.24</b>	<b>n/a</b>	<b>28-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>SS</b>	<b>30,000</b>	<b>50.46</b>	<b>n/a</b>	<b>28-Jul</b>	<b>non-brg</b>	<b>4.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		JWN	SS	50,000	50.43	n/a	28-Jul	non-brg	4.0
f	MARC PAUL LUCHANSKY	FID	KMT	B	1000	41.01	n/a	29-Apr	0.00	0.0
	TUDOR INVESTORS	028	KMT	S	10,000	41.75	n/a	29-Apr	300.00	3.0
	SAC CHICAGO ANDREW	031	KR	B	100,000	24.88	n/a	n/a	3,500.00	3.5
	SIGMA CAPITAL ASSOCIATES	041	KSS	SS	25,000	55.10	n/a	28-Jul	875.00	3.5
	NEUBERGER BERMAN	036	LDR	S	4,670	57.30	n/a	n/a	186.80	4.0
	PRIMECAP MGMT	084	LIFE	B	7,000	46.37	n/a	n/a	280.00	4.0
	THE JAY GOLDMAN	028	LTD	B	40,000	37.85	n/a	n/a	1,600.00	4.0
	NEUBERGER BERMAN	036	LXU	B	20,900	40.42	n/a	n/a	836.00	4.0
	YORK CAPITAL	036	MAS	S	250,000	10.85	n/a	n/a	7,500.00	3.0
	KENNEDY CAPITAL	046	MED	B	25,000	20.00	n/a	n/a	1,000.00	4.0
	PZENA INV ADV	044	MSFT	S	10,978	27.61	n/a	n/a	384.24	3.5
B	BUCK CAPITAL MGMT		MSMSBCD	C	792,797	97.36	n/a	n/a	non-brg	0.3
B	BUCK CAPITAL MGMT		MSMSBCD	SS	792,797	97.36	n/a	n/a	non-brg	0.0
B	BUCK CAPITAL MGMT		MSMSBCM	C	6,424,731	97.31	n/a	n/a	non-brg	0.3
B	BUCK CAPITAL MGMT		MSMSBCM	SS	6,424,731	97.31	n/a	n/a	non-brg	0.0
	BASIX	033	MTW	B	39,000	13.87	28-Jul	28-Jul	1,560.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PRIMECAP MGMT	084	MUR	S	15,752	63.25	n/a	n/a	630.08	4.0
TUDOR INVESTORS	028	MWV	B	2,000	31.40	n/a	27-Jul	60.00	3.0
PILOT ADV	041	M	S	25,000	29.17	n/a	28-Jul	750.00	3.0
THE JAY GOLDMAN	028	M	S	40,000	29.55	n/a	28-Jul	1,600.00	4.0
TUDOR INVESTORS	028	NUE	B	5,000	39.47	n/a	n/a	150.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>NVDA</b>	<b>SS</b>	<b>100,000</b>	<b>13.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
TUDOR INVESTORS	028	OC	S	5,000	35.99	n/a	n/a	150.00	3.0
TUDOR INVESTORS	028	ODFL	S	5,000	37.11	n/a	n/a	150.00	3.0
ROSEDALE CAPITAL	046	OSK	S	20,000	25.42	n/a	n/a	1,000.00	5.0
TUDOR INVESTORS	028	OSK	S	5,000	25.00	n/a	n/a	150.00	3.0
<b>f ABRAM M CHAPPELL</b>	<b>FID</b>	<b>PACR</b>	<b>S</b>	<b>750</b>	<b>5.18</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028	PCL	B	5,000	38.65	n/a	27-Jul	150.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>B</b>	<b>10,000</b>	<b>23.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PLCE</b>	<b>B</b>	<b>50,000</b>	<b>48.13</b>	<b>n/a</b>	<b>8-Jun</b>	<b>non-brg</b>	<b>4.0</b>
PZENA INV ADV	044	PNC	S	4,366	54.91	n/a	n/a	152.82	3.5
CAERUS GLOBAL	041	PNRA	B	2,500	115.80	n/a	28-Jul	100.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PZENA INV ADV	044	PPG	S	4,250	86.10	n/a	28-Jul	148.75	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>RCL</b>	<b>B</b>	<b>10,000</b>	<b>31.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BAIRD INVESTMENT	047	RDC	B	8,300	39.52	n/a	n/a	415.00	5.0
MA CAPITAL MGMT/MELLON ADV	041	RKT	B	2,500	62.68	n/a	27-Jul	125.00	5.0
TRELLUS PARTNERS LP	028	RKT	B	30,000	62.55	n/a	27-Jul	1,500.00	5.0
TUDOR INVESTORS	028	RKT	B	2,000	62.01	n/a	27-Jul	60.00	3.0
STRONG/WELLS CAP ANN F	047	RLD	S	40,000	18.43	n/a	n/a	1,600.00	4.0
COHE & STEERS	041	RYN	B	98,151	64.61	n/a	19-Jul	3,926.04	4.0
PZENA INV ADV	044	SHW	S	3,300	78.29	n/a	28-Jul	115.51	3.5
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SIAL</b>	<b>B</b>	<b>1000</b>	<b>68.30</b>	<b>n/a</b>	<b>26-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SIG</b>	<b>B</b>	<b>20,000</b>	<b>43.46</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RIDGECREST INV MGMT LLC	028	SKX	B	20,000	17.05	n/a	n/a	1,000.00	5.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>SLM</b>	<b>S</b>	<b>750</b>	<b>1.28</b>	<b>n/a</b>	<b>21-Jul</b>	<b>0.00</b>	<b>0.0</b>
AVESTA CAP	229	SNDK	S	50,000	41.88	n/a	n/a	1,500.00	3.0
PZENA INV ADV	044	SPLS	S	8,200	15.96	n/a	n/a	287.01	3.5
PZENA INV ADV	044	STT	S	5,425	42.01	n/a	19-Jul	189.88	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PZENA INV ADV	044	TAP	S	8,575	45.43	n/a	n/a	300.13	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>TEA</b>	<b>B</b>	<b>100,000</b>	<b>27.98</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TEA</b>	<b>B</b>	<b>140,000</b>	<b>28.86</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
PZENA INV ADV	044	TEL	S	6,875	35.36	n/a	n/a	240.63	3.5
TUDOR INVESTORS	028	TEX	SS	10,000	22.40	n/a	22-Jul	300.00	3.0
<b>f JOHN BARTMAN COLE</b>	<b>FID</b>	<b>TMBCF</b>	<b>S</b>	<b>2000</b>	<b>4.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028	TRN	B	3,000	31.61	n/a	n/a	90.00	3.0
YORK CAPITAL	036	TYC	S	150,000	45.33	n/a	28-Apr	4,500.00	3.0
LATEFF MGMT	035	UAL	B	1,000	17.17	n/a	n/a	100.00	10.0
PZENA INV ADV	044	UBS	S	22,225	16.35	n/a	n/a	777.88	3.5
COBALT	041	UFS	B	100,000	83.76	n/a	n/a	4,000.00	4.0
IMPALA ASSET MMT	012	UFS	S	50,000	83.19	n/a	n/a	2,500.00	5.0
TUDOR INVESTORS	028	UNP	B	11,000	103.80	n/a	n/a	330.00	3.0
TUDOR INVESTORS	028	UPS	B	3,000	69.78	n/a	n/a	90.00	3.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>USO</b>	<b>B</b>	<b>20</b>	<b>0.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>VECO</b>	<b>SS</b>	<b>10,000</b>	<b>40.46</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAIRD INVESTMENT	047	WLL	B	6,925	56.95	n/a	n/a	346.25	5.0
NEUBERGER BERMAN	036	WLL	S	186,900	54.76	n/a	n/a	7,476.00	4.0
⌘ BUCK CAPITAL MGMT		WMS	C	1,900	28.88	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WSM	SS	100,000	37.39	n/a	n/a	non-brg	4.0
PZENA INV ADV	044	XOM	S	5,508	81.86	n/a	n/a	192.78	3.5
TUDOR INVESTORS	028	X	S	5,000	40.78	n/a	n/a	150.00	3.0
PZENA INV ADV	044	ZMH	S	200	60.61	n/a	n/a	7.00	3.5
<b>TOTAL (Trade Date):</b>								<b>85,106.81</b>	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									85,106.81
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									85,106.81

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO	07/27	BUCK CAPITAL MGMT	TEA	B	10,000	17.00	n/a	n/a	0.00	0.0
AO	07/27	BUCK CAPITAL MGMT	TEA	B	2,000	17.00	n/a	n/a	0.00	0.0
AO	07/27	BUCK CAPITAL MGMT	TEA	B	500	17.00	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 28-Jul.

MTW

MRI Securities without Trade Activity on 28-Jul.

PFCB, DD, ARG

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 3%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)