



# Daily Compliance Activity Report

Trade Date: 05/09/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>MAGUIRE</b>	<b>090</b>	<b>AAI</b>	<b>B</b>	<b>5,000</b>	<b>11.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAXON WOODS ADVISORS	038	AAPL	B	10,000	106.55	n/a	n/a	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AEO</b>	<b>B</b>	<b>71,834</b>	<b>28.72</b>	<b>4-Jan</b>	<b>8-May</b>	<b>2,873.36</b>	<b>4.0</b>
ß <b>BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>20,000</b>	<b>29.09</b>	<b>4-Jan</b>	<b>8-May</b>	<b>non-brg</b>	<b>2.0</b>
ß <b>BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>35,000</b>	<b>29.18</b>	<b>4-Jan</b>	<b>8-May</b>	<b>non-brg</b>	<b>5.0</b>
ß <b>BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>95,000</b>	<b>29.21</b>	<b>4-Jan</b>	<b>8-May</b>	<b>non-brg</b>	<b>5.0</b>
ß <b>BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>C</b>	<b>20,000</b>	<b>28.83</b>	<b>4-Jan</b>	<b>8-May</b>	<b>non-brg</b>	<b>2.0</b>
ß <b>BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>C</b>	<b>20,000</b>	<b>28.83</b>	<b>4-Jan</b>	<b>8-May</b>	<b>non-brg</b>	<b>2.0</b>
SANDELL ADV	040	AL	B	189,000	78.31	n/a	n/a	9,450.00	5.0
PHILADELPHIA FINANCIAL	031	AMG	B	37,350	123.59	25-Apr	1-May	1,867.50	5.0
ROXBURY CAPITAL MGMT	035	AMG	S	29,700	124.05	25-Apr	1-May	1,188.00	4.0
HUNTER GLOBAL INVESTOR	067	ANF	B	100,000	81.45	2-Feb	8-May	5,000.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>ANN</b>	<b>C</b>	<b>100</b>	<b>36.92</b>	<b>5-Jan</b>	<b>8-May</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>ANR</b>	<b>B</b>	<b>5,000</b>	<b>16.92</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PZENA INV ADV	044	AOC	S	88,750	41.40	n/a	n/a	3,550.00	4.0
COUGAR TRADING	044	ARO	S	5,000	42.18	n/a	8-May	250.00	5.0
SAC TRADING HOWARD	038	ARO	SS	15,000	41.71	n/a	8-May	750.00	5.0
THE JAY GOLDMAN	034	ARO	B	30,000	41.65	n/a	8-May	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	034	ARO	SS	10,000	42.10	n/a	8-May	400.00	4.0
<b>KERN</b>	<b>050</b>	<b>ASD</b>	<b>B</b>	<b>100</b>	<b>56.75</b>	<b>19-Apr</b>	<b>7-May</b>	<b>0.00</b>	<b>0.0</b>
DEEPHAVEN	031	AVZ	B	10,000	24.81	26-Apr	9-May	500.00	5.0
<b>KANTROWIT</b>	<b>N21</b>	<b>AVZ</b>	<b>B</b>	<b>150</b>	<b>24.83</b>	<b>26-Apr</b>	<b>9-May</b>	<b>0.00</b>	<b>0.0</b>
<b>KANTROWIT</b>	<b>N21</b>	<b>BBY</b>	<b>B</b>	<b>50</b>	<b>46.84</b>	<b>1-May</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
BELMONT GLOBAL ADV	248	BDSB	B	20,000	10.01	n/a	n/a	1,000.00	5.0
<b>WYCKOFF</b>	<b>013</b>	<b>BEBE</b>	<b>B</b>	<b>300</b>	<b>18.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KANTROWIT</b>	<b>N21</b>	<b>BKRS</b>	<b>B</b>	<b>200</b>	<b>8.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	BLK	B	300	146.21	n/a	9-May	15.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BRNC</b>	<b>B</b>	<b>20,000</b>	<b>16.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>CAL</b>	<b>B</b>	<b>2,500</b>	<b>39.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>CBK</b>	<b>B</b>	<b>1,000</b>	<b>16.74</b>	<b>30-Mar</b>	<b>8-May</b>	<b>0.00</b>	<b>0.0</b>
<b>OGORMAN</b>	<b>146</b>	<b>CBK</b>	<b>B</b>	<b>1,000</b>	<b>16.72</b>	<b>30-Mar</b>	<b>8-May</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>CC</b>	<b>S</b>	<b>500</b>	<b>16.33</b>	<b>1-May</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
CIBC ADV.	060	CHK	SS	100,000	34.53	n/a	n/a	4,000.00	4.0
BRIGHTPOINT CAP	067	CHS	S	10,000	26.51	27-Mar	8-May	500.00	5.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>CMCSK</b>	<b>S</b>	<b>150</b>	<b>26.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	CMG	B	200	77.55	24-Apr	24-Apr	0.00	0.0
KENNEDY CAPITAL	046	CNO	B	23,895	17.73	n/a	n/a	955.80	4.0
BEAR STEARNS ASSET MGMT.	033	COST	B	25,000	55.05	n/a	8-May	1,000.00	4.0
8 BUCK CAPITAL MGMT		COST	SS	50,000	54.86	n/a	8-May	non-brg	5.0
BUCK CAPITAL MGMT	001	CRI	B	20,000	25.64	n/a	n/a	800.00	4.0
SUN AMERICA ASSET MGMT	030	CSCO	B	64,500	26.80	n/a	22-Mar	3,225.00	5.0
AVESTA CAP	229	CVS	S	25,000	37.51	n/a	n/a	1,250.00	5.0
FIRST NEW YORK LEDDY	035	CVS	B	10,000	37.12	n/a	n/a	600.00	6.0
LOCUS WOOD CAPITAL MGMT	044	CVS	B	100,000	37.20	n/a	n/a	4,000.00	4.0
MAGUIRE	090	DAL	B	5,000	20.01	n/a	n/a	0.00	0.0
LUCHANSKY	036	DNDN	B	1,000	7.10	n/a	n/a	0.00	0.0
LUCHANSKY4	036	DNDN	B	1,000	7.61	n/a	n/a	0.00	0.0
LUCHANSKY4	036	DNDN	S	1,000	7.33	n/a	n/a	0.00	0.0
LUCHANSKY	036	DNDN	S	1,000	7.43	n/a	n/a	0.00	0.0
SUTERA	160	DNDN	B	1,000	6.88	n/a	n/a	0.00	0.0
8 BUCK CAPITAL MGMT		DSW	S	45,000	38.17	7-May	7-May	non-brg	5.0
LOCUS WOOD CAPITAL MGMT	044	DSW	B	21,500	38.24	7-May	7-May	860.00	4.0
GOLDMAN SACHS ASSET MGMT	044	EFD	S	100,000	32.07	n/a	n/a	3,200.00	3.0
KANTROWIT	N21	EL	B	42	47.81	18-Apr	3-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	ETH	SS	10,000	35.26	n/a	n/a	400.00	4.0
SAILFISH	035	FDO	SS	25,000	32.24	19-Apr	9-May	1,250.00	5.0
COUGAR TRADING	044	FD	SS	5,000	43.69	26-Jan	8-May	250.00	5.0
SAC TRADING HOWARD	038	FD	SS	40,000	43.70	26-Jan	8-May	2,000.00	5.0
ZOLIDIS	011	FHHI	B	6,000	0.17	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FINL	SS	1,450	13.82	n/a	7-May	non-brg	0.4
ß BUCK CAPITAL MGMT		FINL	SS	157,350	13.73	n/a	7-May	non-brg	5.0
ß BUCK CAPITAL MGMT		FINL	SS	2,900	13.81	n/a	7-May	non-brg	2.0
ß BUCK CAPITAL MGMT		FINL	SS	38,300	13.77	n/a	7-May	non-brg	2.0
COUGAR TRADING	044	FL	S	5,000	23.70	n/a	7-May	250.00	5.0
CROWLEY	061	FRO	S	200	39.22	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	FRP	SS	1,700	18.00	n/a	n/a	85.00	5.0
BUCK CAPITAL MGMT	001	GCO	SS	50,000	50.75	n/a	10-Jan	2,000.00	4.0
BUCK CAPITAL MGMT	001	GCO	S	50,000	50.82	n/a	10-Jan	2,000.00	4.0
LUCHANSKY	036	GES	B	200	41.69	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GIII	B	15,800	17.71	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GIII	B	197,700	18.00	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GIII	B	37,320	18.17	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIII	B	50,000	17.70	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CASTLE ARK	031	GIL	B	20,000	68.17	n/a	3-May	1,200.00	6.0
UBS OCONNOR	031	GNTX	SS	40,000	18.74	n/a	24-Apr	1,200.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>S</b>	<b>50,000</b>	<b>18.38</b>	<b>n/a</b>	<b>8-May</b>	<b>non-brg</b>	<b>5.0</b>
<b>KORMAN</b>	<b>003</b>	<b>HBIVF</b>	<b>B</b>	<b>50</b>	<b>4.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>EMP ERROR</b>	<b>099</b>	<b>HBIVF</b>	<b>B</b>	<b>25</b>	<b>4.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>EMP ERROR</b>	<b>099</b>	<b>HBIVF</b>	<b>S</b>	<b>25</b>	<b>4.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>SS</b>	<b>50,000</b>	<b>25.89</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
WHALE ROCK CAP	030	HBI	SS	200,000	25.44	n/a	n/a	8,000.00	4.0
ANDERSON HOAGLAND & CO	046	HD	S	9,100	39.00	n/a	1-Mar	455.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HIBB</b>	<b>SS</b>	<b>50,000</b>	<b>28.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HLYS</b>	<b>B</b>	<b>10,000</b>	<b>31.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>HLYS</b>	<b>B</b>	<b>300</b>	<b>31.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>KANTROWIT</b>	<b>N21</b>	<b>HLYS</b>	<b>B</b>	<b>63</b>	<b>31.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	IFF	B	500	51.60	3-May	3-May	25.00	5.0
MISSOURI VALLEY PART	046	ISE	S	32,660	65.59	30-Apr	30-Apr	1,633.00	5.0
<b>MAGUIRE</b>	<b>039</b>	<b>ISE</b>	<b>S</b>	<b>100</b>	<b>64.60</b>	<b>30-Apr</b>	<b>30-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>B</b>	<b>110,000</b>	<b>78.66</b>	<b>20-Apr</b>	<b>8-May</b>	<b>non-brg</b>	<b>5.0</b>
HUNTER GLOBAL INVESTOR	067	JWN	B	76,000	55.67	27-Feb	8-May	3,800.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAC TRADING HOWARD	038	JWN	SS	20,000	55.73	27-Feb	8-May	1,000.00	5.0
FINANCIAL STOCK LP	028	KEY	B	22,206	36.59	n/a	n/a	888.24	4.0
AVESTA CAP	229	KR	S	25,000	29.09	n/a	n/a	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KSS</b>	<b>B</b>	<b>170,000</b>	<b>73.01</b>	<b>n/a</b>	<b>8-May</b>	<b>6,800.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>B</b>	<b>100,000</b>	<b>73.14</b>	<b>n/a</b>	<b>8-May</b>	<b>non-brg</b>	<b>5.0</b>
THE JAY GOLDMAN	034	KSS	B	5,000	73.00	n/a	8-May	200.00	4.0
BULL PATH CAPITAL	044	LAZ	S	25,000	52.17	n/a	n/a	1,250.00	5.0
SANDLER O'NEILL ASSET	031	MER	S	20,000	91.24	20-Apr	20-Apr	1,000.00	5.0
THIRD POINT MGMT	044	MHK	B	150,000	96.60	n/a	n/a	6,000.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>MIR</b>	<b>S</b>	<b>500</b>	<b>45.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NWQ INVESTMENT MGMT CO	048	MOT	B	100,000	17.97	n/a	n/a	4,000.00	4.0
<b>KANTROWIT</b>	<b>N21</b>	<b>MOT</b>	<b>B</b>	<b>100</b>	<b>17.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FINANCIAL STOCK LP	028	NCC	B	25,500	36.51	n/a	n/a	1,020.00	4.0
<b>HOFFMAN</b>	<b>014</b>	<b>NG</b>	<b>B</b>	<b>1,000</b>	<b>14.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>NKE</b>	<b>SS</b>	<b>276,600</b>	<b>53.89</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>KERN</b>	<b>050</b>	<b>NLS</b>	<b>S</b>	<b>250</b>	<b>13.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	NYNY	B	2,325	8.04	n/a	n/a	116.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		OIH	SS	40,000	158.63	n/a	n/a	non-brg	1.0
WHITE PINE CAPITAL	030	OLN	B	1,075	18.28	n/a	27-Apr	53.75	5.0
ROTHSCHILD ASSET	040	OMX	S	37,870	44.22	n/a	15-Apr	1,704.15	4.5
BINDER	007	OMX	SS	100	43.97	n/a	15-Apr	0.00	0.0
LISTED ERR	099	OMX	B	8	44.37	n/a	15-Apr	0.00	0.0
LISTED ERR	099	OMX	S	8	44.22	n/a	15-Apr	0.00	0.0
ZOLIDIS	011	OPMR	S	1,000	8.70	n/a	n/a	0.00	0.0
GASNER	025	OPY	S	100	41.93	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	46.93	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		PERY	B	6,000	34.11	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		PLCE	SS	10,000	53.33	12-Apr	8-May	non-brg	0.4
ß BUCK CAPITAL MGMT		PLCE	SS	10,000	53.37	12-Apr	8-May	non-brg	0.4
ß BUCK CAPITAL MGMT		PLCE	SS	15,000	52.92	12-Apr	8-May	non-brg	5.0
ß BUCK CAPITAL MGMT		PLCE	SS	5,000	53.05	12-Apr	8-May	non-brg	0.4
CAXTON PART	040	PRU	S	120,451	101.14	n/a	n/a	4,818.04	4.0
BUCK CAPITAL MGMT	001	PSS	B	50,000	32.15	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		PSS	B	50,000	32.23	n/a	n/a	non-brg	0.4
ß BUCK CAPITAL MGMT		PSS	S	20,000	32.24	n/a	n/a	non-brg	5.0
WHITE PINE CAPITAL	030	PTIX	B	2,825	5.08	n/a	n/a	141.25	5.0

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BUCK CAPITAL MGMT	001	PTT	B	10,000	8.70	n/a	n/a	400.00	4.0
Ⓕ BUCK CAPITAL MGMT		PVH	B	10,000	54.53	28-Mar	28-Mar	non-brg	0.4
SENTINAL CAPITAL	067	PX	B	2,000	66.40	n/a	25-Apr	100.00	5.0
HUNTER GLOBAL INVESTOR	067	QQQQ	B	205,800	46.56	n/a	n/a	6,174.00	3.0
FINANCIAL STOCK LP	028	RF	B	31,500	35.78	n/a	n/a	1,260.00	4.0
Ⓕ BUCK CAPITAL MGMT		RICK	B	5,000	9.25	n/a	n/a	non-brg	1.0
STADIA CAPITAL	035	RL	B	8,000	93.04	2-Feb	13-Apr	320.00	4.0
Ⓕ BUCK CAPITAL MGMT		ROST	SS	30,000	33.81	n/a	n/a	non-brg	5.0
WAGONHOUND	048	RTH	B	12,800	104.45	n/a	n/a	512.00	4.0
NWQ INVESTMENT MGMT CO	048	RTN	S	10,000	54.70	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	SKX	B	20,000	31.79	n/a	13-Mar	800.00	4.0
KERN	050	SKYE	B	1,000	4.87	n/a	n/a	0.00	0.0
KERN	050	SMG	B	100	46.55	20-Feb	2-May	0.00	0.0
COUGAR TRADING	044	SMRT	B	10,000	15.00	n/a	n/a	500.00	5.0
KORMAN	003	SNEN	B	5,000	2.41	n/a	n/a	0.00	0.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>SRR</b>	<b>B</b>	<b>30,000</b>	<b>14.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
TRELLUS PARTNERS LP	028	SSI	B	7,900	20.65	26-Feb	8-May	395.00	5.0
<b>KANTROWIT</b>	<b>N21</b>	<b>SSI</b>	<b>B</b>	<b>100</b>	<b>20.66</b>	<b>26-Feb</b>	<b>8-May</b>	<b>0.00</b>	<b>0.0</b>
<b>KANTROWIT</b>	<b>N21</b>	<b>TFSM</b>	<b>B</b>	<b>100</b>	<b>11.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WHITE PINE CAPITAL	030	TUNE	B	3,850	4.57	n/a	n/a	154.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TWB</b>	<b>SS</b>	<b>20,000</b>	<b>38.74</b>	<b>22-Feb</b>	<b>8-May</b>	<b>non-brg</b>	<b>5.0</b>
CRAMER ROSENTHAL/SCOTT	012	TWB	B	11,600	38.82	22-Feb	8-May	580.00	5.0
<b>WANG</b>	<b>192</b>	<b>TWB</b>	<b>B</b>	<b>75</b>	<b>38.60</b>	<b>22-Feb</b>	<b>8-May</b>	<b>0.00</b>	<b>0.0</b>
TRUST CO/COWEN/CALF.	040	TWX	B	182,540	21.75	n/a	n/a	7,301.60	4.0
<b>MAGUIRE</b>	<b>039</b>	<b>UAUA</b>	<b>B</b>	<b>100</b>	<b>36.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EGS ASSOCIATES LP	091	WRNC	B	3,500	32.49	9-May	9-May	210.00	6.0
SUN AMERICA ASSET MGMT	030	XOM	S	120,800	80.82	n/a	n/a	6,040.00	5.0
HUNTER GLOBAL INVESTOR	067	YHOO	S	7,500	30.33	n/a	n/a	300.00	4.0
STADIA CAPITAL	035	ZQK	S	25,000	12.98	9-Mar	17-Apr	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ZUMZ</b>	<b>C</b>	<b>65</b>	<b>39.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ZUMZ</b>	<b>SS</b>	<b>40,000</b>	<b>41.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>

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**TOTAL (Trade Date):**

**132,570.94**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/08	ZIFF BROS	038	DCEL	S	87,800	9.67	n/a	n/a	4390.00 5.0
AO,	05/08	VAN KAMPEN ADV	031	ETR	B	50,000	117.52	n/a	n/a	2000.00 4.0
AO,	05/08	ZIFF BROS	038	RIMM	S	85,760	140.61	n/a	n/a	4288.00 5.0
AO,	05/08	CRAMER ROSENTHAL/SCOTT	012	TWB	B	169,200	38.25	22-Feb	8-May	8460.00 5.0
AO,	05/08	GEORGE WEISS	034	TWB	C	9,193	38.37	22-Feb	8-May	367.72 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>19,505.72</b>
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TOTAL (Trade Date) :	132,570.94
TOTAL (AO, CXL, CRT) :	19,505.72

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>152,076.66</b>
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MRI Securities with Trade Activity on 9-May.

WRNC

MRI Securities without Trade Activity on 9-May.

BRL, CHD, CLX, LM

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 20%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION