



RR Commissions Summary [Brian Colburn]

Trade Date: 12/20/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	12/20	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
APEX CAPITAL MGMT.	033	2,000	6,076	17,080	59,622	0	0	0	6,076	17,080	59,622	0	0
ARCHON CAPITAL	033	0	0	7,362	12,507	0	0	1	0	7,362	12,508	0	0
ASCEND PARTNERS	033	0	21,592	47,295	110,440	0	0	0	21,592	47,295	110,440	73,854	150
CHARTER OAK	033	0	0	450	14,361	0	0	0	0	450	14,361	21,191	68
CLOVIS CAPITAL	033	0	450	6,700	9,200	0	0	0	450	6,700	9,200	17,250	53
COLUMBIA MGMT	033	0	0	0	0	0	7,410	34,939	0	7,410	34,939	0	0
CONIFER/FIRESTORM/BC	033	0	0	0	820	0	0	0	0	0	820	480	171
DAVIDSON INV ADV	033	288	288	1,560	22,834	0	0	0	288	1,560	22,834	0	0
FORWARD MGMT	033	2,000	3,347	12,649	15,349	0	0	0	3,347	12,649	15,349	5,467	281
FRIESS MGMT	033	0	1,416	1,416	20,020	0	0	0	1,416	1,416	20,020	16,612	121
GRUBER MACBAINE	033	0	0	1,600	1,600	0	0	0	0	1,600	1,600	0	0
HOLLIS CAPITAL	033	0	2,150	4,400	16,475	0	0	0	2,150	4,400	16,475	9,175	180
INTERVAL PARTNERS	033	0	9,678	32,106	45,184	0	0	0	9,678	32,106	45,184	4,665	969
LATEEF MGMT	033	0	636	6,434	27,770	0	0	0	636	6,434	27,770	0	0
MUTUAL SHARES/FRANKLYN	033	0	0	0	0	0	8,101	25,290	0	8,101	25,290	6,042	419
PARAGON INVESTMENT	033	0	0	0	0	0	0	12,001	0	0	12,001	0	0
PHILADELPHIA FINANCIAL	033	0	2,181	10,981	57,294	0	0	0	2,181	10,981	57,294	80,341	71
RAINIER INV MGMT	033	0	0	10,942	49,542	0	0	0	0	10,942	49,542	0	0
ROSENBERG CAPITAL MGMT	033	0	0	0	0	0	0	12,157	0	0	12,157	17,848	68
ROXBURY CAPITAL (HOOD RIVER CAP)	033	0	0	2,222	10,845	0	0	0	0	2,222	10,845	0	0
SASCO	033	0	0	8,400	28,510	0	0	0	0	8,400	28,510	23,090	123
SMEAD CAPITAL	033	0	0	0	0	0	2,000	6,000	0	2,000	6,000	0	0
SYMPHONY ASSET	033	0	3,392	16,526	37,633	0	0	0	3,392	16,526	37,633	23,863	158
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE	033	0	0	15,638	61,392	0	0	0	0	15,638	61,392	0	0
TYEE/TYGH	033	780	780	780	780	0	0	1	780	780	781	0	0
WAGONHOUND/NICOLAS	033	0	10,529	15,480	49,529	0	0	0	10,529	15,480	49,529	7,827	633
WASHINGTON CAPITAL MANAGEMENT	033	0	0	0	0	0	2,500	2,500	0	2,500	2,500	0	0
TOTALS:		5,068	62,515	220,021	651,705	0	20,011	92,889	62,515	240,032	744,595		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	12/20	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAPITAL RESEARCH GROUP	84	0	2,900	92,896	225,589	0	0	0	2,900	92,896	225,589	255,833	0
JMP ASSET MGMT	210	0	0	0	0	0	0	0	0	0	0	0	0
T. ROWE PRICE	243	0	17,000	54,964	161,937	0	0	0	17,000	54,964	161,937	122,167	0

WEXFORD CAPITAL	284	0	4,799	11,064	34,332	0	0	0	4,799	11,064	34,332	2,962	0
TOTALS:		0	24,699	158,925	421,858	0	0	0	24,699	158,925	421,858		

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