



Daily Compliance Activity Report

Trade Date: 03/31/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DAVIDSON TRUST CO	028	ABT	S	1,400	55.12	n/a	22-Apr	126.00	9.0
METROPOLITAN CAPITAL	044	AEO	S	18,000	17.32	6-Mar	13-Mar	900.00	5.0
DAVIDSON TRUST CO	028	AIG	S	1,200	43.81	n/a	n/a	108.00	9.0
UBS OCONNOR	031	AMG	S	50,000	90.73	9-Jan	24-Mar	1,500.00	3.0
COUGAR TRADING	044	ANF	SS	5,000	72.79	10-Jan	10-Mar	250.00	5.0
JP MORGAN VENTURES	046	ANF	C	15,000	73.28	10-Jan	10-Mar	750.00	5.0
ADAGE CAPITAL MGMT	030	ANN	S	250,000	23.71	14-Mar	14-Mar	10,000.00	4.0
COUGAR TRADING	044	AN	SS	10,000	14.69	n/a	8-Feb	500.00	5.0
WAGONHOUND	048	AXE	B	1,340	63.35	28-Mar	28-Mar	53.60	4.0
DAVIDSON TRUST CO	028	BAC	S	1,100	38.97	13-Nov	17-Mar	99.00	9.0
PORTER-ORLIN-FELDMAN	044	BIG	SS	50,000	22.48	6-Mar	10-Mar	2,500.00	5.0
BARR	096	BVSN	B	5,000	1.18	n/a	n/a	0.00	0.0
METROPOLITAN CAPITAL	044	BWS	S	27,100	14.92	n/a	n/a	1,355.00	5.0
MILLENIUM PARTNERS	028	CBS	SS	35,000	22.15	n/a	n/a	1,750.00	5.0
SAXON WOODS ADVISORS	038	CNS	S	35,000	26.47	n/a	n/a	1,400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GAULT	063	CPN	S	1,424	18.37	n/a	n/a	0.00	0.0
MERRILL ASSET	032	CRR	B	18,000	39.61	13-Feb	26-Mar	810.00	4.5
AVESTA CAP	229	C	B	100,000	21.37	16-Jan	17-Mar	5,000.00	5.0
METROPOLITAN CAPITAL	044	DSW	B	15,000	12.93	28-Mar	28-Mar	750.00	5.0
WEINTRAUB CAPITAL MGT.	040	DSW	B	16,100	12.88	28-Mar	28-Mar	644.00	4.0
SIGMA CAPITAL ASSOCIATES	237	EAT	B	92,800	18.62	n/a	24-Apr	4,640.00	5.0
MORGAN BANK /JPM	012	ESS	S	269	111.48	n/a	n/a	8.07	3.0
BEAR STEARNS ASSET MGMT.	033	FCX	SS	9,400	97.37	n/a	n/a	376.00	4.0
BUCK CAPITAL MGMT	001	GES	S	11,900	39.20	n/a	n/a	476.00	4.0
GRISANTI BROWN & PARTNER	048	GT	B	17,200	25.94	n/a	n/a	860.00	5.0
DAVIDSON TRUST CO	028	HD	S	3,000	27.94	n/a	27-Jul	150.00	5.0
HART	056	ICON	B	120	17.20	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	IVZ	S	3,800	23.92	10-Mar	24-Mar	190.00	5.0
GRISANTI BROWN & PARTNER	048	IWM	S	17,400	68.75	n/a	n/a	870.00	5.0
EGS ASSOCIATES LP	091	JCP	SS	4,500	37.51	4-Jan	31-Mar	270.00	6.0
METROPOLITAN CAPITAL	044	JCP	B	23,800	37.58	4-Jan	31-Mar	1,190.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON TRUST CO	028	JNJ	S	1,000	64.78	n/a	22-Apr	90.00	9.0
ROYCE TRADING	216	KKD	B	4,300	2.95	n/a	n/a	129.00	3.0
DAVIDSON TRUST CO	028	KSS	S	1,500	43.20	4-Jan	31-Mar	135.00	9.0
SSI INVESTORS	048	KSS	SS	34,900	42.25	4-Jan	31-Mar	1,396.00	4.0
ROYCE TRADING	216	KWR	S	10,000	30.96	n/a	n/a	500.00	5.0
SANDLER O'NEILL ASSET	031	LM	S	5,700	55.96	24-Mar	31-Mar	285.00	5.0
BUCK CAPITAL MGMT	001	LTD	B	30,000	16.87	n/a	7-Mar	1,200.00	4.0
BUCK CAPITAL MGMT	001	LVS	SS	30,000	73.49	n/a	n/a	1,200.00	4.0
MORGAN BANK /JPM	012	MON	S	200	114.95	n/a	25-Mar	6.00	3.0
BUCK CAPITAL MGMT	001	M	S	130,000	22.66	7-Feb	31-Mar	5,200.00	4.0
ROYCE TRADING	216	NCS	B	3,400	23.26	n/a	n/a	170.00	5.0
SATELLITE ASSET MGMT	012	NKE	SS	5,000	66.79	n/a	n/a	200.00	4.0
DAVIDSON TRUST CO	028	NVS	S	1,200	51.31	n/a	n/a	108.00	9.0
BARR	096	OESX	SS	2,000	9.71	n/a	n/a	0.00	0.0
COUGAR TRADING	044	OXM	B	6,200	22.64	n/a	n/a	310.00	5.0
COUGAR TRADING	044	PAG	SS	10,000	19.30	6-Feb	20-Mar	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	PAG	SS	33,300	19.55	6-Feb	20-Mar	1,665.00	5.0
GEORGE WEISS	034	PCH	B	6,000	41.55	8-Jan	6-Feb	240.00	4.0
GEORGE WEISS	034	PCL	S	6,000	41.14	8-Jan	30-Jan	240.00	4.0
ROYCE TRADING	216	PSS	B	10,000	11.80	n/a	n/a	500.00	5.0
ROYCE TRADING	216	SEH	B	25,000	8.33	n/a	n/a	1,250.00	5.0
CATAPULT	040	SIVB	SS	5,000	43.46	n/a	n/a	250.00	5.0
ROYCE TRADING	216	SKS	B	2,300	11.85	n/a	n/a	115.00	5.0
ROYCE TRADING	216	SOA	B	20,000	14.09	n/a	n/a	1,000.00	5.0
ROYCE TRADING	216	SPA	S	10,000	4.07	n/a	n/a	300.00	3.0
MORGAN BANK /JPM	012	SPY	S	920	131.20	n/a	n/a	27.60	3.0
WAGONHOUND	048	TJX	S	8,960	32.91	n/a	10-Mar	358.40	4.0
PRINCIPLE CAPITAL	040	TPX	S	514,700	11.02	n/a	n/a	15,441.00	3.0
SATELLITE ASSET MGMT	012	TRLG	S	7,500	18.61	n/a	n/a	300.00	4.0
SATELLITE ASSET MGMT	012	TSN	S	30,000	15.99	n/a	n/a	1,200.00	4.0
BARR	096	TSRA	SS	1,000	19.81	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	TWB	SS	25,000	24.79	17-Mar	17-Mar	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DAVIDSON TRUST CO	028	TXN	S	1,300	28.36	n/a	n/a	117.00	9.0
DAVIDSON TRUST CO	028	USB	S	2,000	32.83	n/a	n/a	120.00	6.0
VISIUM/BALY HOWARD	038	USTR	SS	15,000	47.45	n/a	27-Jul	750.00	5.0
FIRST NY SEC/CHRIS	041	VC	SS	25,000	3.72	n/a	15-Feb	750.00	3.0
ROYCE TRADING	216	VSH	B	10,000	8.98	n/a	n/a	500.00	5.0
GRANAHAN INV	039	WBC	B	91,200	45.82	28-Mar	28-Mar	3,648.00	4.0
ROYCE TRADING	216	WLT	S	5,000	62.09	n/a	n/a	250.00	5.0
COLUMBUS CIRCLE	036	WMT	B	67,000	52.58	n/a	7-Mar	2,680.00	4.0
DAVIDSON TRUST CO	028	WMT	S	2,000	52.99	n/a	7-Mar	120.00	6.0
NOMURA CAPITAL	045	XOHOZ	S	14,748	0.04	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								82,026.67	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	03/28	COLUMBUS CIRCLE (COLC4420)	036	APOL	S	15,784	42.03	n/a	n/a	315.68	2.0
AO,	03/28	COLUMBUS CIRCLE (COLC4420)	036	APOL	S	15,784	42.03	n/a	n/a	631.36	4.0
AO,CXL	03/28	METROPOLITAN CAPITAL (METRDEUTSC)	044	DSW	B	5,800	13.64	28-Mar	28-Mar	232.00	4.0
AO,CRT	03/28	METROPOLITAN CAPITAL (METRDEUTSC)	044	DSW	B	5,800	13.64	28-Mar	28-Mar	290.00	5.0
AO,CXL	03/28	METROPOLITAN CAPITAL (METRGS BUL)	044	DSW	B	42,100	13.64	28-Mar	28-Mar	1684.00	4.0
AO,CRT	03/28	METROPOLITAN CAPITAL (METRGS BUL)	044	DSW	B	42,100	13.64	28-Mar	28-Mar	2105.00	5.0
AO,CXL	03/28	METROPOLITAN CAPITAL (METRSPEAR)	044	DSW	B	3,400	13.64	28-Mar	28-Mar	136.00	4.0
AO,CRT	03/28	METROPOLITAN CAPITAL (METRSPEAR)	044	DSW	B	3,400	13.64	28-Mar	28-Mar	170.00	5.0
AO,CRT	03/28	ACK ASSET (ACKAUBS)	028	GNTX	SS	2,000	17.16	25-Jan	29-Jan	80.00	4.0
AO,CXL	03/28	ACK ASSET (ACKAGS)	028	GNTX	SS	2,000	17.16	25-Jan	29-Jan	100.00	5.0

TOTAL (AO, CXL, CRT) :	808.68
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TOTAL (Trade Date) :	82,026.67
TOTAL (AO, CXL, CRT) :	808.68

TOTAL (RUN DATE) / NFS :	82,835.35
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION