



# Daily Compliance Activity Report

Trade Date: 06/27/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		\$\$EURUSD	C	445,246	1.25	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		\$\$GBPUSD	C	40,827	1.56	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		589 HK	S	100,000	1.09	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		589 HK	S	100,000	1.09	n/a	n/a	non-brg	0.0
ANN BETTENDORF FREEM	FID	AAPL	S	5	572.69	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ABG	S	9,400	22.37	n/a	27-Apr	non-brg	4.0
BUCK CAPITAL MGMT		AEO	B	20,000	18.86	n/a	2-Dec	non-brg	1.0
COHE & STEERS	041	AMT	S	13,400	68.58	n/a	n/a	536.00	4.0
MACELLUM CAPITAL	086	ARO	S	17,738	16.10	n/a	n/a	532.14	3.0
BALYASNY ASSET MGMT	044	AXL	S	50,000	9.80	n/a	7-Jun	2,000.00	4.0
SCOPUS FUND	041	BBY	B	50,000	19.61	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT		BC IM	S	20,000	14.44	n/a	n/a	non-brg	2.2
NEWLAND CAPITAL MGT	025	BECN	SS	10,000	24.10	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		BID	SS	7,000	31.46	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		BID	SS	8,000	31.35	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OHIO PERS	047	CAT	S	13,000	82.12	n/a	13-Jun	390.00	3.0
PIONEER OAKRIDGE	031	CHD	B	16,000	54.29	n/a	8-May	480.00	3.0
NICOLAS APPEGATE	084	COHR	S	5,000	39.80	n/a	n/a	200.00	4.0
<b>f JILL ROSENBERG</b>	<b>FID</b>	<b>COV</b>	<b>S</b>	<b>100</b>	<b>52.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GEOSPHERE CAPITAL MGMT	084	CPN	B	50,000	16.30	n/a	n/a	1,500.00	3.0
TALPION LLC	040	CRI	S	4,000	52.10	n/a	n/a	160.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>CROX</b>	<b>B</b>	<b>20,000</b>	<b>15.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>CROX</b>	<b>S</b>	<b>500</b>	<b>15.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DG</b>	<b>S</b>	<b>50,000</b>	<b>53.41</b>	<b>27-Jun</b>	<b>27-Jun</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DG</b>	<b>S</b>	<b>50,000</b>	<b>54.41</b>	<b>27-Jun</b>	<b>27-Jun</b>	<b>non-brg</b>	<b>4.0</b>
TALPION LLC	040	DG	S	5,000	53.76	27-Jun	27-Jun	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DHI</b>	<b>SS</b>	<b>87,000</b>	<b>17.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ST OF MICHIAN	047	DHI	S	40,000	17.29	n/a	n/a	1,200.00	3.0
<b>f ANN BETTENDORF FREEM</b>	<b>FID</b>	<b>DIS</b>	<b>S</b>	<b>50</b>	<b>47.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PIONEER OAKRIDGE	031	DLTR	S	31,700	53.52	n/a	22-Jun	951.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>DPZ</b>	<b>SS</b>	<b>100,000</b>	<b>29.82</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DPZ</b>	<b>SS</b>	<b>100,000</b>	<b>29.82</b>	<b>n/a</b>	<b>2-May</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	DRI	SS	5,710	49.55	n/a	25-Jun	228.40	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		DSW	S	30,000	53.57	n/a	11-Feb	non-brg	4.0
MARC PAUL LUCHANSKY	FID	FB	B	50	32.21	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		FDO	SS	25,000	68.89	n/a	22-Jun	non-brg	4.0
BUCK CAPITAL MGMT		FDO	SS	45,000	68.40	n/a	22-Jun	non-brg	4.0
BUCK CAPITAL MGMT		FDO	S	469	70.75	n/a	22-Jun	non-brg	1.0
BUCK CAPITAL MGMT		FDO	S	74,509	69.29	n/a	22-Jun	non-brg	4.0
BUCK CAPITAL MGMT		FINL	B	30,000	18.72	n/a	22-Jun	non-brg	4.0
BUCK CAPITAL MGMT		FOSL	B	10,000	72.52	n/a	n/a	non-brg	4.0
PEAK INV LLC	084	F	S	138,600	9.97	n/a	4-Jun	5,544.00	4.0
BUCK CAPITAL MGMT		GCO	B	30,000	57.64	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GCO	B	9,000	57.35	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GIII	B	23,000	22.16	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GIII	B	3,600	22.10	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		GIII	B	6,400	22.09	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		GM	B	25,000	19.87	n/a	7-Jun	non-brg	0.8
BUCK CAPITAL MGMT		GPI	S	2,300	44.31	n/a	27-Apr	non-brg	4.0
FIRST NY SEC/CHRIS	041	HD	B	10,000	51.59	n/a	7-Jun	300.00	3.0
JET CAPITAL/CHRIS	041	HNR	B	100,000	8.74	n/a	n/a	3,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		HOS	SS	28,000	34.82	n/a	n/a	non-brg	4.0
CAMBIAR CAP	046	HSP	S	43,075	33.69	n/a	25-Jun	1,723.00	4.0
1351	046	HSP	S	50	33.69	n/a	25-Jun	2.00	4.0
FORTRESS INVESTMENT (US)	036	IP	S	51,000	28.15	n/a	27-Apr	2,040.00	4.0
THE JAY GOLDMAN	028	IP	SS	35,000	28.11	n/a	27-Apr	1,400.00	4.0
MACELLUM CAPITAL	086	JWN	S	6,000	47.17	n/a	8-Jun	180.00	3.0
RBC CAPITAL MARKETS	040	JWN	B	10,000	47.25	n/a	8-Jun	400.00	4.0
⌘ BUCK CAPITAL MGMT		KORS	B	10,000	43.05	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	20,000	43.29	n/a	1-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LAD	S	7,400	22.60	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LPNT	S	20,000	38.45	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTM	C	16,550	44.73	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTM	C	26,550	44.74	n/a	n/a	non-brg	4.0
THE JAY GOLDMAN	028	MLM	B	5,000	73.54	n/a	n/a	200.00	4.0
THE JAY GOLDMAN	028	MLM	S	5,000	74.60	n/a	n/a	200.00	4.0
HOLLIS CAPITAL	033	M	B	5,000	32.99	n/a	1-Jun	250.00	5.0
METROPOLITAN CAPITAL	044	M	B	9,507	33.04	n/a	1-Jun	475.35	5.0
⌘ BUCK CAPITAL MGMT		PAG	S	15,000	21.00	n/a	26-Apr	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COOPER CREEK PART	028	PAG	B	4,000	20.83	n/a	26-Apr	160.00	4.0
PHILADELPHIA FINANCIAL	033	PAG	C	77,000	21.00	n/a	26-Apr	3,080.00	4.0
VISIUM/BALY BOBBY	044	PWR	B	20,000	22.87	n/a	n/a	1,000.00	5.0
RBC CAPITAL MARKETS	040	RCL	B	10,000	24.65	n/a	n/a	400.00	4.0
THE JAY GOLDMAN	028	RKT	B	20,000	52.16	n/a	26-Apr	800.00	4.0
ß BUCK CAPITAL MGMT		RL	SS	10,000	139.19	n/a	23-May	non-brg	4.0
TALPION LLC	040	RL	S	2,000	137.41	n/a	23-May	80.00	4.0
WAGONHOUND/NICOLAS	033	ROST	B	11,691	63.73	n/a	1-Jun	467.64	4.0
ß BUCK CAPITAL MGMT		SAH	S	15,000	13.37	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SAND SS	SS	40,000	11.86	n/a	n/a	non-brg	1.8
ß BUCK CAPITAL MGMT		SBH	B	100,000	26.14	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SBH	B	100,000	26.14	n/a	n/a	non-brg	4.0
ST OF MICHIAN	047	SCHW	B	70,000	12.40	n/a	n/a	2,100.00	3.0
ß BUCK CAPITAL MGMT		SHOO	S	10,000	33.97	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHOO	S	4,035	33.97	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SIG	S	20,000	41.48	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	SIMO	S	360	13.85	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	SIMO	S	500	13.74	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MELLON/BANK OF NEW YORK	046	SWK	S	43,300	60.92	n/a	n/a	1,732.00	4.0
<b>f ANN BETTENDORF FREEM</b>	<b>FID</b>	<b>SWN</b>	<b>S</b>	<b>50</b>	<b>30.87</b>	<b>n/a</b>	<b>27-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TIF</b>	<b>SS</b>	<b>130,000</b>	<b>50.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TIF</b>	<b>SS</b>	<b>90,000</b>	<b>50.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TOL</b>	<b>S</b>	<b>70,315</b>	<b>27.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f JILL ROSENBERG</b>	<b>FID</b>	<b>TYC</b>	<b>S</b>	<b>100</b>	<b>51.57</b>	<b>n/a</b>	<b>14-Jun</b>	<b>0.00</b>	<b>0.0</b>
RIDGECREST INV MGMT LLC	028	UNT	B	5,000	34.25	n/a	27-Jun	200.00	4.0
MACELLUM CAPITAL	086	URBN	S	20,390	26.70	n/a	n/a	611.70	3.0
THE JAY GOLDMAN	028	VMC	B	7,500	35.88	n/a	n/a	300.00	4.0
THE JAY GOLDMAN	028	VMC	S	7,500	36.77	n/a	n/a	300.00	4.0
CATAPULT/KGLT	040	WMB	B	25,000	28.17	n/a	n/a	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WSM</b>	<b>SS</b>	<b>20,000</b>	<b>32.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>TOTAL (Trade Date):</b>								<b>38,723.23</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/26	VISIUM/BALY BOBBY	044	FAST	SS	20,000	38.44	n/a	n/a	1000.00 5.0
AO,CXL	06/26	VISIUM/BALY BOBBY	044	FAST	SS	20,000	38.44	n/a	n/a	800.00 4.0
AO,CRT	06/26	PARKWEST	084	MIC	B	10,000	32.18	n/a	n/a	400.00 4.0
AO,CXL	06/26	PARKWEST	084	MIC	B	10,000	32.18	n/a	n/a	300.00 3.0
AO,CXL	06/26	VISIUM/BALY BOBBY	044	TEX	B	30,000	15.89	n/a	13-Jun	1200.00 4.0
AO,	06/26	VISIUM/BALY BOBBY	044	TEX	B	30,000	15.89	n/a	13-Jun	1500.00 5.0

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**TOTAL (AO, CXL, CRT) :****600.00**

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TOTAL (Trade Date) :

38,723.23

TOTAL (AO, CXL, CRT) :

600.00

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**TOTAL (RUN DATE) / NFS:****39,323.23**

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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 27-Jun.

DG

MRI Securities without Trade Activity on 27-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%



**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**