



Daily Compliance Activity Report

Trade Date: 12/31/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	AAPL	B	4,500	321.60	n/a	n/a	135.00	3.0
WINSLOW CAPITAL	030	BIDU	B	10,900	97.53	n/a	n/a	327.00	3.0
WHITE PINE CAPITAL	030	BIG	B	24,650	30.52	n/a	7-Dec	1,232.50	5.0
TALPION LLC	040	BMC	S	20,000	47.09	n/a	n/a	600.00	3.0
WINSLOW CAPITAL	030	COST	B	11,400	72.34	n/a	n/a	342.00	3.0
WINSLOW CAPITAL	030	CTXS	B	20,300	68.18	n/a	n/a	609.00	3.0
BUCK CAPITAL MGMT	001	DDS	B	20,000	38.13	n/a	n/a	600.00	3.0
THOMAS WEISEL ASSET MGMT	035	DHI	B	7,697	12.03	n/a	n/a	230.91	3.0
RBC CAPITAL MARKETS	040	EAT	B	15,000	21.02	n/a	3-Jan	600.00	4.0
THOMAS WEISEL ASSET MGMT	035	ERES	B	5,576	7.49	n/a	n/a	167.28	3.0
WINSLOW CAPITAL	030	GMCR	B	21,300	33.07	n/a	n/a	639.00	3.0
LAGRANGE CAP ADV	048	GNTX	SS	600	29.78	n/a	25-Oct	30.00	5.0
COLUMBUS CAP MGMT LLC	084	HLIT	S	25,000	8.53	n/a	n/a	1,000.00	4.0
THOMAS WEISEL ASSET MGMT	035	HXL	B	7,263	18.10	n/a	14-Dec	217.89	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	ICO	B	10,800	7.89	n/a	n/a	432.00	4.0
WINSLOW CAPITAL	030	INTU	B	16,700	49.40	n/a	n/a	501.00	3.0
THOMAS WEISEL ASSET MGMT	035	KEG	B	8,750	13.00	n/a	15-Dec	262.50	3.0
SCOPUS FUND	041	KNX	B	1,400	19.07	n/a	n/a	56.00	4.0
THOMAS WEISEL ASSET MGMT	035	MHGC	B	13,419	9.00	n/a	n/a	402.57	3.0
THOMAS WEISEL ASSET MGMT	035	MSSR	B	8,835	9.07	n/a	n/a	265.05	3.0
THOMAS WEISEL ASSET MGMT	035	MXWL	B	7,325	19.03	n/a	n/a	219.75	3.0
WINSLOW CAPITAL	030	NFLX	B	2,500	177.77	n/a	n/a	75.00	3.0
THOMAS WEISEL ASSET MGMT	035	OMCL	B	8,235	14.64	n/a	n/a	247.05	3.0
WINSLOW CAPITAL	030	PCLN	B	5,700	402.72	n/a	n/a	171.00	3.0
RBC CAPITAL MARKETS	040	PFCB	B	10,000	48.83	n/a	2-Dec	400.00	4.0
THOMAS WEISEL ASSET MGMT	035	PIR	B	8,656	10.54	n/a	n/a	259.68	3.0
BUCK CAPITAL MGMT	001	PSS	SS	30,000	21.09	n/a	n/a	900.00	3.0
BERMAN CAPITAL MGMT	046	QQQQ	S	10,000	54.34	n/a	n/a	500.00	5.0
BERMAN CAPITAL MGMT	046	RTH	B	11,000	106.61	n/a	n/a	550.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	TRW	B	30,000	52.80	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	VFC	SS	10,000	86.45	n/a	22-Oct	300.00	3.0
THOMAS WEISEL ASSET MGMT	035	WNR	B	7,727	10.65	n/a	n/a	231.81	3.0
SCOPUS FUND	041	WSO	B	10,000	63.58	n/a	n/a	400.00	4.0
TOTAL (Trade Date):								14,103.99	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/30	MOORE CAPITAL (MOORUBS)	028	CAR	SS	5,000	15.32	n/a	n/a	200.00 4.0
AO,CXL	12/30	MOORE CAPITAL (MOORMS)	028	CAR	SS	5,000	15.32	n/a	n/a	200.00 4.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	14,103.99
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	14,103.99
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION