



Daily Compliance Activity Report

Trade Date: 06/28/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAIRD INVESTMENT	047	AAPL	S	516	394.30	n/a	n/a	25.80	0.0
BESSEMER INVESTMENT	085	ACE	B	330	89.91	n/a	n/a	13.20	0.0
BESSEMER INVESTMENT	085	ACN	B	220	71.83	n/a	n/a	8.80	0.0
BUCK CAPITAL MGMT		AEO	B	3,100	18.30	n/a	2-Dec	non-brg	1.0
BUCK CAPITAL MGMT		AEO	S	3,100	18.30	n/a	2-Dec	non-brg	1.0
KINGDON ASSET MGMT	041	AEO	B	25,000	18.19	n/a	2-Dec	1,000.00	0.0
BESSEMER INVESTMENT	085	AET	B	20	64.02	n/a	n/a	0.80	0.0
FOCUSED INVESTORS	084	AET	B	3,200	63.54	n/a	n/a	128.00	0.0
BAIRD INVESTMENT	047	AGN	S	4,625	85.28	n/a	17-Jun	231.25	0.0
BESSEMER INVESTMENT	085	AIG	B	420	44.88	n/a	n/a	16.80	0.0
BUCK CAPITAL MGMT		ANF	B	1,900	45.38	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ANF	B	9,300	45.49	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ANF	S	1,800	45.39	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		ANF	S	9,300	45.49	n/a	n/a	non-brg	1.0
INTERVAL PARTNERS	033	ANN	B	10,000	33.17	n/a	n/a	300.00	0.0
BUCK CAPITAL MGMT		APC	B	1,500	86.56	n/a	13-Jun	non-brg	1.0
BUCK CAPITAL MGMT		APC	S	1,600	86.55	n/a	13-Jun	non-brg	1.0
BESSEMER INVESTMENT	085	APD	B	105	92.09	n/a	n/a	4.20	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
INTERVAL PARTNERS	033	ARO	B	25,000	13.76	n/a	n/a	750.00	0.0
BESSEMER INVESTMENT	085	AVGO	B	595	37.49	n/a	n/a	23.80	0.0
BESSEMER INVESTMENT	085	AWK	B	555	41.62	n/a	n/a	22.20	0.0
⌘ BUCK CAPITAL MGMT		AXL	B	12,200	18.92	n/a	19-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		AXL	S	13,900	18.92	n/a	19-Jun	non-brg	1.0
ROSEDALE CAPITAL	046	AXL	S	5,000	19.11	n/a	19-Jun	250.00	0.0
⌘ BUCK CAPITAL MGMT		AXP	B	1,700	75.11	n/a	19-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		AXP	S	1,700	75.11	n/a	19-Jun	non-brg	1.0
FOCUSED INVESTORS	084	AXP	B	6,900	74.71	n/a	19-Jun	276.00	0.0
⌘ BUCK CAPITAL MGMT		BAC	B	14,000	12.96	n/a	17-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BAC	S	16,700	12.96	n/a	17-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BBBY	C	1,200	71.36	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BBBY	C	1,400	71.24	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BBBY	SS	1,200	71.36	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BBBY	SS	1,700	71.24	n/a	n/a	non-brg	1.0
f KEVIN MICHAEL O'GORM	FID	BBRY	S	10	1.39	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		BBY	B	15,400	27.78	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BBY	S	15,400	27.78	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BCC	B	3,100	25.47	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BCC	S	3,200	25.47	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FOCUSED INVESTORS	084	BDX	B	2,200	98.85	n/a	n/a	88.00	0.0
ß BUCK CAPITAL MGMT		BHI	B	3,300	46.46	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BHI	S	4,100	46.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BID	C	3,000	38.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BID	C	3,500	38.27	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BID	SS	2,700	38.30	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BID	SS	3,000	38.44	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BIG	C	300	31.52	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BIG	C	4,600	31.49	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BIG	SS	4,600	31.49	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BIG	SS	700	31.51	n/a	n/a	non-brg	1.0
MERRILL ASSET/BLACKROCK	085	BMR	B	55,365	20.23	n/a	n/a	2,214.60	0.0
ß BUCK CAPITAL MGMT		BONT	B	900	18.44	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		BONT	S	900	18.44	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		BYD 7 P11	B	2,353	0.40	n/a	n/a	non-brg	100.0
RIVERLOFT CAPITAL	044	BYD	S	30,000	11.30	n/a	n/a	900.00	0.0
ß BUCK CAPITAL MGMT		CAR	B	12,700	29.36	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CAR	B	7,200	29.32	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CAR	S	12,700	29.36	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CAR	S	8,600	29.31	n/a	n/a	non-brg	1.0
THREE G	041	CBS	S	59,426	49.24	n/a	n/a	1,782.78	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		CFR VX	C	1,200	88.96	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		CFR VX	SS	1,200	88.70	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		CHS	C	900	17.02	n/a	5-Feb	non-brg 1.0
ß BUCK CAPITAL MGMT		CHS	SS	900	17.01	n/a	5-Feb	non-brg 1.0
ß BUCK CAPITAL MGMT		CLX	C	3,400	83.72	n/a	17-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		CLX	SS	3,400	83.72	n/a	17-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		CNC	B	2,800	52.30	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		CNC	S	3,700	52.30	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		COF	B	2,900	62.77	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		COF	S	3,100	62.78	n/a	19-Jun	non-brg 1.0
BESSEMER INVESTMENT	085	COH	B	355	57.68	n/a	30-May	14.20 0.0
ß BUCK CAPITAL MGMT		COH	C	4,200	57.26	n/a	30-May	non-brg 1.0
ß BUCK CAPITAL MGMT		COH	SS	4,200	57.26	n/a	30-May	non-brg 1.0
ß BUCK CAPITAL MGMT		COLM	C	1,400	62.41	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		COLM	SS	1,400	62.41	n/a	n/a	non-brg 1.0
BESSEMER INVESTMENT	085	COP	B	305	60.71	n/a	n/a	12.20 0.0
ß BUCK CAPITAL MGMT		CPB	C	3,400	45.10	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		CPB	SS	3,400	45.11	n/a	n/a	non-brg 1.0
BESSEMER INVESTMENT	085	CTL	B	395	35.61	n/a	n/a	15.80 0.0
BESSEMER INVESTMENT	085	CVS	B	365	57.67	n/a	n/a	14.60 0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
	FOCUSED INVESTORS	084	CVS	B	6,300	56.81	n/a	n/a	252.00	0.0	
	BESSEMER INVESTMENT	085	C	B	430	48.08	n/a	17-Jun	17.20	0.0	
B	BUCK CAPITAL MGMT		C	B	2,200	48.22	n/a	17-Jun	non-brg	1.0	
B	BUCK CAPITAL MGMT		C	S	2,500	48.21	n/a	17-Jun	non-brg	1.0	
	KINGDON ASSET MGMT	041	C	S	25,000	48.22	n/a	17-Jun	1,000.00	0.0	
B	BUCK CAPITAL MGMT		DAL	B	5,100	18.68	n/a	18-Jun	non-brg	1.0	
B	BUCK CAPITAL MGMT		DAL	S	6,500	18.67	n/a	18-Jun	non-brg	1.0	
	BRONSON POINT	036	DAN	S	50,000	19.58	n/a	19-Jun	1,500.00	0.0	
B	BUCK CAPITAL MGMT		DECK	B	3,700	49.98	n/a	n/a	non-brg	1.0	
B	BUCK CAPITAL MGMT		DECK	B	600	49.74	n/a	n/a	non-brg	1.0	
B	BUCK CAPITAL MGMT		DECK	S	3,700	49.94	n/a	n/a	non-brg	1.0	
B	BUCK CAPITAL MGMT		DECK	S	30,100	50.47	n/a	n/a	non-brg	0.8	
B	BUCK CAPITAL MGMT		DECK	S	900	49.73	n/a	n/a	non-brg	1.0	
	GUGGENHEIM GLOBAL TRADING (GGT)	251	DELL	B	50,000	13.36		n/a	n/a	1,500.00	0.0
	FOCUSED INVESTORS	084	DEO	B	9,200	114.57	n/a	n/a	368.00	0.0	
B	BUCK CAPITAL MGMT		DFS	B	3,200	47.56	n/a	19-Jun	non-brg	1.0	
B	BUCK CAPITAL MGMT		DFS	S	4,100	47.57	n/a	19-Jun	non-brg	1.0	
B	BUCK CAPITAL MGMT		DGX	B	8,400	60.22	n/a	n/a	non-brg	4.0	
B	BUCK CAPITAL MGMT		DGX	B	900	61.16	n/a	n/a	non-brg	1.0	
B	BUCK CAPITAL MGMT		DGX	S	700	61.16	n/a	n/a	non-brg	1.0	
	BESSEMER INVESTMENT	085	DG	B	760	51.07	n/a	4-Jun	30.40	0.0	

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ß BUCK CAPITAL MGMT		DG	B	2,300	51.19	n/a	4-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		DG	S	2,400	51.19	n/a	4-Jun	non-brg 1.0
f DAVID GEORGE BUCK	FID	DG	S	2000	51.04	n/a	4-Jun	0.00 0.0
THREE G	041	DG	S	16,072	51.19	n/a	4-Jun	482.16 0.0
ß BUCK CAPITAL MGMT		DHI	B	1,400	21.58	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		DHI	S	1,900	21.58	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		DIA	C	4,700	149.57	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		DIA	SS	3,900	149.56	n/a	n/a	non-brg 1.0
f DAVID GEORGE BUCK	FID	DIS	B	1200	63.86	n/a	n/a	0.00 0.0
BALYASNY ASSET MGMT	044	DKS	C	25,000	50.11	n/a	19-Jun	1,250.00 0.0
ß BUCK CAPITAL MGMT		DKS	B	1,003	49.94	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		DKS	B	1,400	50.20	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		DKS	B	8,900	50.11	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		DKS	S	1,300	50.20	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		DKS	S	8,900	50.11	n/a	19-Jun	non-brg 1.0
f RAVI SADARANGANI	FID	DRL	B	5000	0.85	n/a	n/a	0.00 0.0
ß BUCK CAPITAL MGMT		DSW	C	1,500	73.97	n/a	11-Feb	non-brg 1.0
ß BUCK CAPITAL MGMT		DSW	SS	1,500	73.97	n/a	11-Feb	non-brg 1.0
BESSEMER INVESTMENT	085	DTE	B	190	67.09	n/a	n/a	7.60 0.0
ß BUCK CAPITAL MGMT		DVN	B	2,300	51.90	n/a	13-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		DVN	B	9,700	51.99	n/a	13-Jun	non-brg 4.0
ß BUCK CAPITAL MGMT		DVN	S	2,700	51.91	n/a	13-Jun	non-brg 1.0

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ß BUCK CAPITAL MGMT		EBAY	B	3,700	52.04	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		EBAY	S	3,700	52.04	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		EL	B	3,500	66.02	n/a	17-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		EL	B	300	66.10	n/a	17-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		EL	S	3,500	66.02	n/a	17-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		EL	S	600	66.11	n/a	17-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		ETN	B	1,600	65.56	n/a	12-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		ETN	S	1,800	65.56	n/a	12-Jun	non-brg	1.0
f DAVID GEORGE BUCK	FID	EWG	S	2000	24.74	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	EWG	S	400	24.74	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	EWG	S	600	24.75	n/a	n/a	0.00	0.0
MADISON ST PARTNERS LLC	046	EWZ	S	35,000	43.70	n/a	n/a	1,750.00	0.0
ß BUCK CAPITAL MGMT		EXPE	C	2,400	60.22	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		EXPE	SS	1,900	60.22	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		EXPR	B	7,500	21.13	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		EXPR	S	7,500	21.13	n/a	n/a	non-brg	1.0
GALT INVESTMENT	041	EXPR	S	10,000	21.05	n/a	n/a	500.00	0.0
f JASON ERIC COHEN	FID	FBN	B	500	3.96	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FDO	C	4,300	61.76	n/a	4-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		FDO	SS	4,300	61.76	n/a	4-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		FINL	B	6,400	21.95	n/a	19-Jun	non-brg	1.0

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ß BUCK CAPITAL MGMT		FINL	B	6,400	22.01	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		FINL	S	30,000	22.14	n/a	19-Jun	non-brg 4.0
ß BUCK CAPITAL MGMT		FINL	S	6,400	21.95	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		FINL	S	7,800	22.01	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		FL	B	2,900	34.99	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		FL	B	23,000	35.14	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		FL	S	23,000	35.14	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		FL	S	3,900	35.01	n/a	19-Jun	non-brg 1.0
ß BUCK CAPITAL MGMT		FL	S	40,000	35.00	n/a	19-Jun	non-brg 4.0
IRIDIAN ASSET MGMT.	036	FMC	B	46,390	61.61	n/a	8-Dec	2,319.50 0.0
IRMA	036	FMC	B	300	61.61	n/a	8-Dec	15.00 0.0
ß BUCK CAPITAL MGMT		FNP	B	2,300	22.43	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FNP	B	27,200	22.44	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FNP	S	2,200	22.44	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FNP	S	27,200	22.44	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FOR	B	2,300	20.18	n/a	9-May	non-brg 1.0
ß BUCK CAPITAL MGMT		FOR	S	3,000	20.16	n/a	9-May	non-brg 1.0
ß BUCK CAPITAL MGMT		FOSL	B	7,800	103.89	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FOSL	S	7,800	103.89	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FRAN	B	36,300	27.14	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FRAN	B	5,100	27.16	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FRAN	S	36,300	27.14	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FRAN	S	6,500	27.18	n/a	n/a	non-brg 1.0
ß BUCK CAPITAL MGMT		FWM	B	20,100	23.84	n/a	n/a	non-brg 1.0

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ß	BUCK CAPITAL MGMT		FWM	S	20,100	23.84	n/a	n/a	non-brg 1.0
	BESSEMER INVESTMENT	085	F	S	5,000	15.61	n/a	19-Jun	200.00 0.0
ß	BUCK CAPITAL MGMT		F	C	5,900	15.62	n/a	19-Jun	non-brg 1.0
ß	BUCK CAPITAL MGMT		F	SS	4,500	15.62	n/a	19-Jun	non-brg 1.0
ß	BUCK CAPITAL MGMT		GES	C	3,300	31.10	n/a	31-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		GES	C	600	31.08	n/a	31-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		GES	SS	3,300	31.10	n/a	31-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		GES	SS	700	31.13	n/a	31-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		GIII	B	14,200	48.37	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		GIII	B	2,400	48.30	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		GIII	S	14,200	48.37	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		GIII	S	800	48.30	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		GIL	B	11,700	40.23	n/a	3-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		GIL	S	11,700	40.23	n/a	3-May	non-brg 1.0
ß	BUCK CAPITAL MGMT		GIS	C	2,700	48.68	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		GIS	SS	2,700	48.68	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		GM	B	6,400	33.26	n/a	19-Jun	non-brg 1.0
ß	BUCK CAPITAL MGMT		GM	S	7,700	33.25	n/a	19-Jun	non-brg 1.0
ß	BUCK CAPITAL MGMT		GNC	B	18,100	44.92	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		GNC	S	18,100	44.92	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		GPS	B	6,800	42.10	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		GPS	S	6,800	42.09	n/a	n/a	non-brg 1.0

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FOCUSED INVESTORS	084	GS	B	2,000	151.78	n/a	6-May	80.00	0.0
ß BUCK CAPITAL MGMT		HAL	B	3,100	41.76	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HAL	S	3,800	41.76	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HBI	B	9,700	51.76	n/a	3-May	non-brg	1.0
ß BUCK CAPITAL MGMT		HBI	S	9,700	51.76	n/a	3-May	non-brg	1.0
ß BUCK CAPITAL MGMT		HCA	B	14,000	35.86	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HCA	B	2,700	35.70	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HCA	S	2,900	35.60	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HDS	B	3,100	18.49	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HDS	B	3,500	18.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HDS	S	20,000	18.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HDS	S	3,100	18.49	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HIBB	C	1,700	55.95	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HIBB	SS	1,700	55.95	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HTZ	B	19,900	24.66	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HTZ	B	8,700	24.64	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HTZ	S	10,200	24.64	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		HTZ	S	19,900	24.66	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	IBM	B	175	191.53	n/a	n/a	7.00	0.0
FOCUSED INVESTORS	084	IBM	B	2,100	190.43	n/a	n/a	84.00	0.0
ß BUCK CAPITAL MGMT		IJH	C	2,700	116.11	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		IJH	SS	2,500	116.11	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	INTC	S	400	24.13	n/a	n/a	16.00	0.0
BUCK CAPITAL MGMT		IP	B	400	43.86	n/a	17-Jun	non-brg	1.0
BUCK CAPITAL MGMT		IP	S	800	43.87	n/a	17-Jun	non-brg	1.0
BESSEMER INVESTMENT	085	ITW	B	240	69.37	n/a	n/a	9.60	0.0
BUCK CAPITAL MGMT		IWM	C	12,800	97.67	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		IWM	SS	11,100	97.66	n/a	n/a	non-brg	1.0
BTG PACTUAL	040	JBLU	S	25,000	6.51	n/a	18-Jun	750.00	0.0
BUCK CAPITAL MGMT		JBLU	B	23,700	6.30	n/a	18-Jun	non-brg	1.0
BUCK CAPITAL MGMT		JBLU	S	25,800	6.29	n/a	18-Jun	non-brg	1.0
CENTURION PARTNERS	041	JBLU	B	40,000	6.28	n/a	18-Jun	1,600.00	0.0
BALYASNY ASSET MGMT	044	JCP	S	50,000	17.06	n/a	18-Jun	2,500.00	0.0
BUCK CAPITAL MGMT		JCP	B	10,800	17.18	n/a	18-Jun	non-brg	1.0
BUCK CAPITAL MGMT		JCP	S	10,800	17.18	n/a	18-Jun	non-brg	1.0
KINGDON ASSET MGMT	041	JCP	S	30,000	16.89	n/a	18-Jun	1,200.00	0.0
BUCK CAPITAL MGMT		JIVE	B	9,800	17.94	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		JIVE	S	11,600	17.93	n/a	n/a	non-brg	1.0
FOCUSED INVESTORS	084	JNJ	B	4,600	86.12	n/a	n/a	184.00	0.0
BUCK CAPITAL MGMT		JOY	B	2,600	49.14	n/a	11-Jul	non-brg	1.0
BUCK CAPITAL MGMT		JOY	S	2,700	49.13	n/a	11-Jul	non-brg	1.0
BUCK CAPITAL MGMT		JPM	B	2,400	52.94	n/a	17-Jun	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		JPM	S	2,400	52.94	n/a	17-Jun	non-brg	1.0
FOCUSED INVESTORS	084	JPM	B	6,300	52.85	n/a	17-Jun	252.00	0.0
ß BUCK CAPITAL MGMT		JWN	B	2,200	59.80	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		JWN	S	2,200	59.80	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		KEG	B	12,200	5.99	n/a	13-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		KEG	S	12,700	5.98	n/a	13-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		KMT	B	4,800	39.51	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		KMT	S	5,100	39.51	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		KORS	B	15,700	61.04	n/a	30-May	non-brg	1.0
ß BUCK CAPITAL MGMT		KORS	B	2,500	61.27	n/a	30-May	non-brg	1.0
ß BUCK CAPITAL MGMT		KORS	S	15,700	61.04	n/a	30-May	non-brg	1.0
ß BUCK CAPITAL MGMT		KORS	S	2,400	61.27	n/a	30-May	non-brg	1.0
FOCUSED INVESTORS	084	KO	B	9,900	40.27	n/a	17-Jun	396.00	0.0
ß BUCK CAPITAL MGMT		KSS	B	1,500	51.07	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		KSS	S	1,500	51.07	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		K	C	2,700	64.81	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		K	SS	2,700	64.82	n/a	n/a	non-brg	1.0
f RAVI SADARANGANI	FID	LEAP	S	2000	6.66	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		LEA	B	1,500	60.64	n/a	19-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		LEA	S	1,900	60.60	n/a	19-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		LEG	C	1,600	31.16	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		LEG	C	9,900	31.14	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		LEG	SS	1,000	31.16	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		LEG	SS	9,900	31.14	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		LEN	B	700	36.52	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		LEN	S	600	36.53	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		LOW	C	3,100	41.12	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		LOW	SS	3,100	41.11	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		LPX	B	6,700	15.16	n/a	19-Jun	non-brg 1.0
BUCK CAPITAL MGMT		LPX	S	6,700	15.16	n/a	19-Jun	non-brg 1.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	100,000	14.96	n/a	19-Jun	5,000.00 0.0
PATRIOT STRATEGY PARTNERS	036	LPX	B	10,000	15.21	n/a	19-Jun	400.00 0.0
SCOPUS FUND	041	LPX	B	15,000	15.18	n/a	19-Jun	600.00 0.0
BUCK CAPITAL MGMT		LVS	B	13,000	52.94	n/a	13-Jun	non-brg 1.0
BUCK CAPITAL MGMT		LVS	S	13,000	52.94	n/a	13-Jun	non-brg 1.0
FOCUSED INVESTORS	084	MCD	B	5,200	99.55	n/a	17-Jun	208.00 0.0
BUCK CAPITAL MGMT		MDY	C	1,400	211.86	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		MDY	SS	1,000	211.92	n/a	n/a	non-brg 1.0
FOCUSED INVESTORS	084	MMM	B	2,200	109.71	n/a	n/a	88.00 0.0
BUCK CAPITAL MGMT		MOH	B	4,100	37.48	n/a	n/a	non-brg 1.0
BUCK CAPITAL MGMT		MOH	S	4,700	37.47	n/a	n/a	non-brg 1.0
BESSEMER INVESTMENT	085	MRO	B	675	35.03	n/a	n/a	27.00 0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	MSFT	B	935	34.75	n/a	n/a	37.40	0.0
FOCUSED INVESTORS	084	MSFT	B	14,100	34.51	n/a	n/a	564.00	0.0
ß BUCK CAPITAL MGMT		MS	B	7,300	24.62	n/a	6-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		MS	S	8,400	24.61	n/a	6-Jun	non-brg	1.0
FOCUSED INVESTORS	084	MS	B	7,700	24.51	n/a	6-Jun	308.00	0.0
ß BUCK CAPITAL MGMT		MTOR	B	12,000	7.14	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MTOR	S	100,000	7.07	n/a	n/a	non-brg	0.8
ß BUCK CAPITAL MGMT		MTOR	S	15,200	7.15	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MWV	B	5,100	34.32	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		MWV	S	6,300	34.31	n/a	18-Jun	non-brg	1.0
f MATTHEW FRANCIS BUCK	FID	MYN	S	1005	13.53	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	M	B	595	48.38	n/a	18-Jun	23.80	0.0
ß BUCK CAPITAL MGMT		M	B	15,300	48.38	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		M	B	3,000	48.30	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		M	S	15,300	48.38	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		M	S	3,800	48.29	n/a	18-Jun	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	NATDF	B	500	8.52	n/a	n/a	0.00	0.0
f MARK ANTHONY GRONET	FID	NATDF	B	5000	8.49	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		NAV	B	1,800	27.85	n/a	11-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		NAV	S	2,200	27.85	n/a	11-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		NCLH	B	24,700	30.32	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		NCLH	B	4,200	30.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		NCLH	S	24,700	30.32	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		NCLH	S	4,500	30.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		NDLS	S	355	32.00	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		NDLS	S	645	36.38	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		NKE	C	15,000	61.50	n/a	19-Jun	non-brg	2.5
ß BUCK CAPITAL MGMT		NKE	C	35,000	61.09	n/a	19-Jun	non-brg	4.0
FOCUSED INVESTORS	084	NKE	B	4,200	60.42	n/a	19-Jun	168.00	0.0
BESSEMER INVESTMENT	085	NLSN	B	680	33.84	n/a	n/a	27.20	0.0
f MATTHEW FRANCIS BUCK	FID	NNP	B	900	14.69	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		NTRS	C	500	58.23	n/a	6-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		NTRS	SS	600	58.22	n/a	6-Jun	non-brg	1.0
MERRILL ASSET/BLACKROCK	085	NWBI	B	92,000	13.50	n/a	n/a	3,680.00	0.0
THREE G	041	NWSA	S	88,712	32.64	n/a	n/a	2,661.36	0.0
BESSEMER INVESTMENT	085	ORCL	S	300	30.61	n/a	n/a	12.00	0.0
ß BUCK CAPITAL MGMT		OXM	C	1,200	61.83	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		OXM	SS	1,200	61.77	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PCLN	C	400	825.72	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PCLN	SS	300	825.82	n/a	n/a	non-brg	1.0
FOCUSED INVESTORS	084	PEP	B	6,300	82.06	n/a	n/a	252.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PETM	C	2,900	66.77	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PETM	SS	2,300	66.76	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	PFE	B	1,170	28.21	n/a	n/a	46.80	0.0
FOCUSED INVESTORS	084	PFE	B	8,400	28.17	n/a	n/a	336.00	0.0
FOCUSED INVESTORS	084	PG	B	3,100	77.39	n/a	17-Jun	124.00	0.0
ß BUCK CAPITAL MGMT		PII	B	11,400	95.75	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PII	B	400	95.55	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PII	S	100	95.54	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PII	S	11,400	95.75	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PSMT	C	1,200	88.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PSMT	SS	1,200	88.50	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		PVH	B	1,100	126.02	n/a	14-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		PVH	B	6,400	126.36	n/a	14-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		PVH	S	1,500	126.02	n/a	14-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		PVH	S	6,400	126.36	n/a	14-Jun	non-brg	1.0
BESSEMER INVESTMENT	085	QCOM	B	475	61.38	n/a	n/a	19.00	0.0
BESSEMER INVESTMENT	085	QCOM	S	35	61.51	n/a	n/a	1.40	0.0
PRIMECAP MGMT	084	QGEN	B	5,000	19.65	n/a	n/a	200.00	0.0
ß BUCK CAPITAL MGMT		RAD	B	34,400	2.78	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RAD	S	37,100	2.78	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		RDN	B	13,000	11.84	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß	BUCK CAPITAL MGMT		RDN	S	15,300	11.84	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RLGY	B	2,700	48.68	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RLGY	B	900	48.83	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RLGY	S	1,000	48.83	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RLGY	S	2,700	48.68	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RLJEW	B	18,900	0.18	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RLJEW	S	18,900	0.18	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RLJE	B	15,800	4.70	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RLJE	S	15,800	4.70	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RL	B	1,600	173.91	n/a	24-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		RL	B	300	173.21	n/a	24-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		RL	S	1,600	173.91	n/a	24-May	non-brg	1.0
ß	BUCK CAPITAL MGMT		RSH	B	14,800	3.14	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		RSH	S	14,800	3.14	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		R	B	2,300	61.34	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		R	S	2,500	61.33	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		SCSS	B	1,300	25.16	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		SCSS	B	20,700	25.14	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		SCSS	S	2,000	25.16	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		SCSS	S	20,700	25.14	n/a	n/a	non-brg	1.0
	GALT INVESTMENT	041	SCSS	S	30,000	25.34	n/a	n/a	1,500.00	0.0
ß	BUCK CAPITAL MGMT		SHOO	B	2,200	48.28	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SHOO	S	2,200	48.28	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKS	C	4,400	13.84	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKS	SS	4,400	13.84	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	SLB	S	30	71.99	n/a	n/a	1.20	0.0
ß BUCK CAPITAL MGMT		SLB	B	600	72.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SLB	S	1,000	72.00	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SLM	B	3,500	22.80	n/a	14-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		SLM	S	4,100	22.79	n/a	14-Jun	non-brg	1.0
f DAVID GEORGE BUCK	FID	SPG	S	10	1.67	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SPN	B	5,000	26.02	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SPN	S	6,000	26.02	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SPY	C	200	161.20	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SPY	SS	400	161.20	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SSI	B	10,900	23.82	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		SSI	B	4,600	23.74	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		SSI	S	10,900	23.82	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		SSI	S	5,200	23.74	n/a	18-Jun	non-brg	1.0
SCOPUS FUND	041	SSI	B	25,000	23.71	n/a	18-Jun	1,000.00	0.0
f JASON ERIC COHEN	FID	STLY	B	625	4.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		STT	B	3,100	65.30	n/a	17-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		STT	S	4,000	65.29	n/a	17-Jun	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		SWN	B	4,800	36.04	n/a	13-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		SWN	S	5,700	36.05	n/a	13-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		SWY	C	900	23.92	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SWY	SS	900	23.91	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SYX	C	9,400	34.36	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SYX	SS	9,400	34.36	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TDG	C	600	158.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TDG	SS	300	158.34	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TEVA	B	2,400	39.28	n/a	17-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		TEVA	S	2,900	39.28	n/a	17-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		TEX	B	1,000	26.56	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TEX	S	900	26.56	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TFM	C	1,100	49.91	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		TFM	SS	1,100	49.91	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	TGT	B	350	68.83	n/a	23-May	14.00	0.0
ß BUCK CAPITAL MGMT		TGT	C	1,500	69.14	n/a	23-May	non-brg	1.0
ß BUCK CAPITAL MGMT		TGT	SS	1,500	69.14	n/a	23-May	non-brg	1.0
FOCUSED INVESTORS	084	TGT	B	6,100	69.15	n/a	23-May	244.00	0.0
ß BUCK CAPITAL MGMT		TJX	B	3,800	50.46	n/a	18-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		TJX	S	3,800	50.46	n/a	18-Jun	non-brg	1.0
BESSEMER INVESTMENT	085	TMO	B	335	85.12	n/a	n/a	13.40	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DW INVESTMENT MGMT.	041	TMUS	S	25,000	24.80	n/a	n/a	750.00	0.0
⌘ BUCK CAPITAL MGMT		TSCO	B	3,400	117.83	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		TSCO	S	3,400	117.83	n/a	n/a	non-brg	1.0
P.SCHOENFELD ASSET MGMT LLC	041	TWTC	B	50,000	28.29	n/a	n/a	1,500.00	0.0
MARSICO CAPITAL	046	UA	SS	9,200	59.15	n/a	19-Jun	368.00	0.0
SCOPUS FUND	041	UA	SS	800	59.15	n/a	19-Jun	32.00	0.0
ARDSLEY PARTNERS	280	UGL	B	10,000	43.94	n/a	n/a	500.00	0.0
⌘ BUCK CAPITAL MGMT		ULTA	B	2,500	99.84	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		ULTA	S	2,500	99.84	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	UNH	B	445	65.93	n/a	n/a	17.80	0.0
FOCUSED INVESTORS	084	UNH	B	3,600	65.65	n/a	n/a	144.00	0.0
⌘ BUCK CAPITAL MGMT		URBN	B	24,800	40.48	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		URBN	B	3,800	40.38	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		URBN	S	24,800	40.48	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		URBN	S	4,600	40.37	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		URI	B	2,700	50.46	n/a	17-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		URI	S	3,700	50.46	n/a	17-Apr	non-brg	1.0
MARCATO CAPITAL MGMT.	029	URI	B	175,000	50.25	n/a	17-Apr	7,000.00	0.0
BESSEMER INVESTMENT	085	VIAB	B	485	68.42	n/a	n/a	19.40	0.0
f DAVID GEORGE BUCK	FID	VIA	B	1000	68.86	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	VIA	B	150	68.86	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	VIA	B	200	68.90	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		VMC	B	2,400	49.76	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		VMC	S	2,600	49.75	n/a	n/a	non-brg	1.0
f DAVID GEORGE BUCK	FID	VNO	S	25	0.26	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		V	B	500	183.20	n/a	11-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		V	S	1,000	183.19	n/a	11-Jun	non-brg	1.0
BESSEMER INVESTMENT	085	WAG	B	610	44.54	n/a	n/a	24.40	0.0
ß BUCK CAPITAL MGMT		WFC	C	700	41.56	n/a	6-Jun	non-brg	1.0
ß BUCK CAPITAL MGMT		WFC	SS	1,000	41.56	n/a	6-Jun	non-brg	1.0
FOCUSED INVESTORS	084	WFC	B	7,500	41.44	n/a	6-Jun	300.00	0.0
ß BUCK CAPITAL MGMT		WFM	C	2,800	51.94	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WFM	SS	2,800	51.94	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WGO	B	3,800	20.95	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		WGO	S	3,800	20.95	n/a	n/a	non-brg	1.0
FOCUSED INVESTORS	084	WLP	B	3,800	81.50	n/a	n/a	152.00	0.0
FOCUSED INVESTORS	084	WMT	B	8,200	75.49	n/a	16-May	328.00	0.0
KINGDON ASSET MGMT	041	WMT	B	25,000	75.49	n/a	16-May	1,000.00	0.0
f JONATHAN RICHARD HAR	FID	WTSI	B	1000	4.70	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WWW	C	2,500	54.32	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		WWW	SS	2,500	54.32	n/a	n/a	non-brg	1.0
CSFB GLOBAL PROPRIETARY	041	WY	B	25,000	28.15	n/a	19-Jun	1,250.00	0.0
FEDERATED INV/KAUFMAN	029	WY	B	250,000	28.30	n/a	19-Jun	12,500.00	0.0
SCHOTTENFELD ASSOC	041	WY	B	10,000	28.10	n/a	19-Jun	300.00	0.0
⌘ BUCK CAPITAL MGMT		XPO	B	3,500	18.02	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		XPO	S	3,900	18.02	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	ZMH	B	465	75.23	n/a	n/a	18.60	0.0
FOCUSED INVESTORS	084	ZMH	B	2,300	75.00	n/a	n/a	92.00	0.0
⌘ BUCK CAPITAL MGMT		ZTS	B	16,000	30.75	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):								70,216.25	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/26	PEAK INV/OAKMONT/CYPRESS	084	FAF	S	153,000	21.37	n/a	n/a	6120.00 0.0
AO,CXL	06/26	PEAK INV/OAKMONT/CYPRESS	084	FAF	S	153,000	21.37	n/a	n/a	6120.00 0.0
AO,CRT	06/27	FIRST NEW YORK	086	NOK	B	20,000	3.85	n/a	n/a	800.00 0.0
AO,CXL	06/27	FIRST NEW YORK	086	NOK	B	20,000	3.85	n/a	n/a	1000.00 0.0
TOTAL (AO, CXL, CRT) :										-200.00

TOTAL (Trade Date) :	70,216.25
TOTAL (AO, CXL, CRT) :	-200.00

TOTAL (RUN DATE) / NFS :	70,016.25
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO	06/27	BUCK CAPITAL MGMT	NDLS	B	1,000	18.00	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 28-Jun.
NONE

MRI Securities without Trade Activity on 28-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)