



Daily Compliance Activity Report

Trade Date: 02/13/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATTANI	041	AAPL	S	100	129.00	n/a	n/a	0.00	0.0
DSM CAPITAL PARTNERS	032	ADSK	B	1,595	38.63	n/a	n/a	74.43	2.5
BALYASNY ASSET MGMT	044	AMTD	S	50,000	18.07	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	ARGN	S	3,200	19.42	n/a	13-Feb	160.00	5.0
DENVER INST. ADV	046	ARO	S	35,150	28.03	29-Nov	8-Feb	1,406.00	4.0
LUCHANSKY2	036	AVP	SS	800	40.39	5-Feb	5-Feb	0.00	0.0
CATTANI	041	AVP	SS	185	40.40	5-Feb	5-Feb	0.00	0.0
PHILADELPHIA FINANCIAL	033	BEN	B	10,796	96.95	25-Sep	11-Feb	539.80	5.0
STRAUSS ASSET MGMT	040	CBEY	B	7,500	27.15	n/a	n/a	300.00	4.0
DSM CAPITAL PARTNERS	032	CELG	B	3,910	56.93	n/a	n/a	182.85	2.5
SOUTH POINT CAP	067	CF	B	100,000	113.51	n/a	n/a	5,000.00	5.0
GOLDMAN SACHS ASSET MGMT	044	CHK	B	132,046	41.45	n/a	n/a	2,640.92	2.0
COUGAR TRADING	044	CLMS	B	10,000	21.24	13-Feb	13-Feb	500.00	5.0
ZOLIDIS	011	CMG	B	100	109.86	n/a	24-Apr	0.00	0.0
MERRILL ASSET	032	CNB	B	32,300	13.77	n/a	n/a	1,453.50	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HEALY CIRCLE	044	COF	SS	10,000	48.03	n/a	n/a	500.00	5.0
RAINIER INV MGMT	035	COL	B	50,000	61.86	n/a	n/a	2,500.00	5.0
CROWLEY	061	CRMMX	S	390	27.85	n/a	n/a	0.00	0.0
T. ROWE PRICE	033	CVS	S	75,000	40.62	n/a	n/a	3,000.00	4.0
CHARTER OAK	033	DE	B	48,050	85.42	n/a	n/a	2,402.50	5.0
KENNEDY CAPITAL	046	DFG	S	56,355	29.15	n/a	n/a	2,254.20	4.0
US BANCORP	030	DFG	B	10,000	29.18	n/a	n/a	500.00	5.0
GAULT	063	DUG	B	500	41.71	n/a	n/a	0.00	0.0
WHEELER	008	DWSN	S	600	55.35	n/a	n/a	0.00	0.0
GAULT	063	DXD	B	1,000	55.61	n/a	n/a	0.00	0.0
KERN	050	EFT	S	1,000	13.85	n/a	n/a	0.00	0.0
CROWLEY	061	EFT	S	2,347	13.85	n/a	n/a	0.00	0.0
MAGUIRE	090	EFT	S	28,900	13.85	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	FII	SS	30,000	42.28	n/a	13-Feb	1,500.00	5.0
RHO CAPITAL MGMT	041	FSLR	S	100	213.78	n/a	n/a	4.00	4.0
COLE	N24	FSLR	B	10	211.32	n/a	n/a	0.00	0.0
LEDDY	035	F	SS	1,500	6.54	n/a	4-Feb	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GES	B	10,000	40.67	n/a	n/a	400.00	4.0
SAXON WOODS ADVISORS	038	GE	S	100,000	34.86	n/a	n/a	4,000.00	4.0
COLE	N24	GFG	S	100	15.80	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	GM	B	10,000	25.78	3-Jan	13-Feb	500.00	5.0
DIAMONDBACK CAP MGMT	232	GM	SS	30,000	26.15	3-Jan	13-Feb	1,500.00	5.0
WOOSTER CAPITAL	035	GM	SS	25,000	26.34	3-Jan	13-Feb	1,250.00	5.0
Õ Alice Longley		GS	B	150177.8500		21-Sep	22-Jan	0.00	0.0
CROWLEY	061	GXP	B	1,000	27.07	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	IGT	SS	38,500	46.10	n/a	18-Jan	1,925.00	5.0
LEDDY	035	ITCD	S	2,800	3.53	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JAH	S	20,000	23.56	n/a	n/a	800.00	4.0
EGS ASSOCIATES LP	091	JCG	SS	3,500	44.02	28-Nov	4-Feb	210.00	6.0
BUCK CAPITAL MGMT	001	JCP	S	390,000	47.71	4-Jan	8-Feb	15,600.00	4.0
ACK ASSET	028	JEF	S	13,000	18.52	n/a	n/a	520.00	4.0
COUGAR TRADING	044	KSS	SS	20,000	46.35	4-Jan	8-Feb	1,000.00	5.0
COUGAR TRADING	044	KSS	S	25,000	46.56	4-Jan	8-Feb	1,250.00	5.0
FIRST NY SEC/CHRIS	041	KSS	SS	10,000	46.51	4-Jan	8-Feb	600.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL LYNCH STRATEGICI	034	KSS	B	25,000	46.48	4-Jan	8-Feb	1,250.00	5.0
CAXTON PART	040	LINTA	C	50,000	16.07	n/a	n/a	1,750.01	3.5
DSG CAPITAL	225	LIZ	SS	10,000	22.18	n/a	28-Jan	500.00	5.0
TPG-AXON	040	LMT	S	150,000	108.09	n/a	n/a	7,500.00	5.0
BUCK CAPITAL MGMT	001	LTM	B	50,000	41.02	n/a	n/a	2,000.00	4.0
GENERAL ELECTIC CO	033	MRK	B	18,914	47.33	n/a	n/a	756.56	4.0
BEAR STEARNS ASSET MGMT.	033	M	B	100,000	24.50	7-Feb	8-Feb	4,000.00	4.0
BUCK CAPITAL MGMT	001	M	S	400,000	24.53	7-Feb	8-Feb	16,000.00	4.0
PATTEN & PATTEN	067	NCC	S	1,000	16.69	n/a	n/a	50.00	5.0
LUCHANSKY	036	NIHD	B	300	43.22	n/a	n/a	0.00	0.0
COUGAR TRADING	044	OXM	SS	10,000	21.66	n/a	n/a	500.00	5.0
SUN AMERICA ASSET MGMT	030	PG	B	15,000	67.16	11-Dec	1-Feb	600.00	4.0
LUCHANSKY2	036	PG	B	500	67.02	11-Dec	1-Feb	0.00	0.0
CATTANI	041	PG	B	110	67.05	11-Dec	1-Feb	0.00	0.0
DSG CAPITAL	225	PSUN	B	10,000	11.28	n/a	8-Feb	500.00	5.0
DSG CAPITAL	225	PSUN	S	10,000	11.60	n/a	8-Feb	500.00	5.0
COUGAR TRADING	044	PVH	SS	20,000	41.79	5-Feb	5-Feb	1,000.00	5.0
LEDDY	035	PZN	SS	700	11.69	12-Feb	12-Feb	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NEW YORK LEDDY	035	Q	B	25,000	5.41	n/a	n/a	1,500.00	6.0
COLE	N24	REXI	S	40	12.66	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	SS	20,000	67.38	7-Feb	7-Feb	800.00	4.0
COUGAR TRADING	044	RL	SS	5,000	65.98	7-Feb	7-Feb	250.00	5.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	7.00	n/a	n/a	800.00	4.0
SUTERA	060	SDS	C	500	62.30	n/a	n/a	0.00	0.0
GAULT	063	SKF	B	1,500	108.47	n/a	n/a	0.00	0.0
DSM CAPITAL PARTNERS	032	SLB	B	2,250	83.19	n/a	n/a	106.16	2.5
WHEELER	008	SPNC	B	3,000	12.45	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	SRP	B	26,305	14.32	n/a	n/a	1,052.20	4.0
N STRATTON	014	TECUA	SS	200	25.09	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TIF	SS	50,000	39.83	14-Jan	8-Feb	2,000.00	4.0
GOLDMAN SACHS ASSET MGMT	044	TMO	S	60,600	56.66	n/a	n/a	909.00	1.5
CENTURION GLOBAL MGMT LL	041	TTWO	S	12,000	16.45	n/a	n/a	360.00	3.0
CROWLEY	061	TT	S	1,000	44.57	17-Dec	29-Jan	0.00	0.0
SINCLAIR	031	VCFA	S	1	0.55	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BENCHMARK	028	WTW	B	5,000	46.16	n/a	n/a	250.00	5.0
N STRATTON	014	WUHAH	B	2	12.50	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	WY	B	200	63.09	8-Jan	11-Feb	10.00	5.0
GALLEON MGMT LP	033	XLE	C	25,000	71.82	n/a	n/a	1,250.00	5.0
GALLEON MGMT LP	033	YHOO	S	5,000	29.99	n/a	n/a	250.00	5.0
TOTAL (Trade Date):							103,417.13		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/12	GENERAL ELECTIC CO (GEICZW4Y)	033	DIS	B	320	32.16	n/a	n/a	9.60 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZW7Y)	033	DIS	B	22	32.16	n/a	n/a	0.66 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZW3Y)	033	DIS	B	90	32.16	n/a	n/a	2.70 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICGE30)	033	DIS	B	8,544	32.16	n/a	n/a	256.32 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICGEI3)	033	DIS	B	1,391	32.16	n/a	n/a	41.73 3.0
AO,	02/12	GENERAL ELECTIC CO (GEIC8XA5)	033	DIS	B	21	32.16	n/a	n/a	0.63 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZW80)	033	DIS	B	104	32.16	n/a	n/a	3.12 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICGH16)	033	DIS	B	567	32.16	n/a	n/a	17.01 3.0
AO,	02/12	GENERAL ELECTIC CO (GEIC8X3Y)	033	DIS	B	143	32.16	n/a	n/a	4.29 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZW4A)	033	DIS	B	124	32.16	n/a	n/a	3.72 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZW34)	033	DIS	B	370	32.16	n/a	n/a	11.10 3.0
AO,	02/12	GENERAL ELECTIC CO (GEIC8X5G)	033	DIS	B	419	32.16	n/a	n/a	12.57 3.0
AO,	02/12	GENERAL ELECTIC CO (GEIC8Y4P)	033	DIS	B	1,463	32.16	n/a	n/a	43.89 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZW35)	033	DIS	B	835	32.16	n/a	n/a	25.05 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZW79)	033	DIS	B	183	32.16	n/a	n/a	5.49 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZW60)	033	DIS	B	596	32.16	n/a	n/a	17.88 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZQ50)	033	DIS	B	6,380	32.16	n/a	n/a	191.40 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZQ7D)	033	DIS	B	192	32.16	n/a	n/a	5.76 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZQ92)	033	DIS	B	206	32.16	n/a	n/a	6.18 3.0
AO,	02/12	GENERAL ELECTIC CO (GEICZW59)	033	DIS	B	121	32.16	n/a	n/a	3.63 3.0
AO,CRT	02/12	WHEELER (WHEELER)	008	DWSN	S	400	55.22	n/a	n/a	0.00 0.0
AO,CXL	02/12	WHEELER (WHEELER)	008	DWSN	S	400	55.22	n/a	n/a	0.00 0.0
CRT	02/13	BUCK CAPITAL MGMT (BUCKMSAGCR)	001	M	S	100,000	24.50	7-Feb	8-Feb	4000.00 4.0
AO,CRT	02/08	BARROW HANLEY MEWHINNEY (BARR)	096	SYNP	B	2,000	4.34	n/a	n/a	0.00 0.0
AO,CXL	02/08	BARROW HANLEY MEWHINNEY (BARR)	096	SYNP	B	2,000	4.34	n/a	n/a	0.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT) :								4,662.73	
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TOTAL (Trade Date) :								103,417.13	
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TOTAL (AO, CXL, CRT) :								4,662.73	
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TOTAL (RUN DATE) / NFS :								108,079.86	
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION