



# Daily Compliance Activity Report

Trade Date: 06/16/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GREEN ARROW DOUG	040	AA	B	50,000	11.47	n/a	n/a	2,500.00	5.0
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>ACCP</b>	<b>B</b>	<b>5000</b>	<b>2.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	ANN	SS	15,000	21.20	n/a	n/a	750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>S</b>	<b>40,000</b>	<b>21.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>APC</b>	<b>B</b>	<b>300</b>	<b>43.45</b>	<b>n/a</b>	<b>3-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>S</b>	<b>30,000</b>	<b>30.12</b>	<b>n/a</b>	<b>4-Jun</b>	<b>1,200.00</b>	<b>4.0</b>
EXIS CAPITAL	044	ARO	B	5,000	30.20	n/a	4-Jun	250.00	5.0
LAGRANGE CAP ADV	048	AXL	S	60,000	9.75	n/a	3-May	3,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>SS</b>	<b>15,000</b>	<b>45.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BEBE</b>	<b>B</b>	<b>20,000</b>	<b>6.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BJ</b>	<b>SS</b>	<b>20,000</b>	<b>38.80</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
S.A.C. CAP/ORENSTEIN	044	CE	B	50,000	28.71	n/a	n/a	1,750.00	3.5
BALYASNY ASSET MGMT	044	CHS	SS	50,000	11.61	n/a	5-Feb	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>S</b>	<b>20,000</b>	<b>43.68</b>	<b>n/a</b>	<b>14-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COST</b>	<b>SS</b>	<b>40,000</b>	<b>58.52</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		CRI	S	30,000	31.53	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CROX	S	50,000	11.72	n/a	n/a	non-brg	4.0
MARINER/TRICADIA	041	CXW	S	15,000	21.12	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT		DDS	SS	10,000	27.38	n/a	n/a	non-brg	4.0
JONATHAN RICHARD HAR	FID	DVN	B	100	69.27	n/a	3-Jun	0.00	0.0
BALYASNY ASSET MGMT	044	EAT	C	25,000	16.70	n/a	n/a	1,250.00	5.0
CHRISTOPHER ROBERT C	FID	FINL	B	300	16.80	n/a	n/a	0.00	0.0
PARTNER REINSURANCE	033	FL	B	32,750	14.61	n/a	16-Jun	1,310.00	4.0
BUCK CAPITAL MGMT		FOSL	S	20,000	39.99	n/a	n/a	non-brg	4.0
ROYCE TRADING	012	FSS	S	500	6.56	n/a	n/a	25.00	5.0
BUCK CAPITAL MGMT		GES	B	15,000	35.18	n/a	28-May	non-brg	0.8
HOPLITE MGMT	025	GE	C	890,000	16.00	n/a	n/a	35,600.00	4.0
ROYCE TRADING	012	GF	S	1,000	11.62	n/a	n/a	50.00	5.0
BUCK CAPITAL MGMT		GIL	S	60,000	31.44	n/a	14-May	non-brg	4.0
BUCK CAPITAL MGMT		GPS	B	10,000	21.79	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	012	GY	B	1,500	5.04	n/a	n/a	75.00	5.0
ROYCE TRADING	012	HAR	S	500	33.69	n/a	25-May	25.00	5.0
BALYASNY ASSET MGMT	044	HBI	C	30,000	28.64	n/a	14-May	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		HBI	S	40,000	28.77	n/a	14-May	non-brg	4.0
GLG PARTNERS	034	HD	S	75,000	32.01	n/a	19-May	2,250.00	3.0
f KEVIN MICHAEL O'GORM	FID	HH	B	4617	0.66	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		HOT	SS	20,000	49.88	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		HTZ	S	100,000	10.68	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HTZ	S	165,000	10.60	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HTZ	S	50,000	10.59	n/a	n/a	non-brg	0.8
⌘ BUCK CAPITAL MGMT		HTZ	S	85,000	10.59	n/a	n/a	non-brg	2.5
BENNETT LAWRENCE	028	IMAX	S	13,900	15.80	n/a	n/a	556.00	4.0
HARBORWATCH/DIAMBACK	232	ITW	SS	20,000	46.53	n/a	30-Apr	1,000.00	5.0
BUCK CAPITAL MGMT	001	JCG	S	30,000	44.00	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	26.98	n/a	4-Jun	800.00	4.0
EXIS CAPITAL	044	JNY	B	5,000	19.60	n/a	14-May	250.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	B	20,000	61.01	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KMX	SS	20,000	21.59	n/a	n/a	800.00	4.0
EXIS CAPITAL	044	KSS	B	5,000	53.10	n/a	4-Jun	250.00	5.0
ROYCE TRADING	012	KSU	S	200	40.16	n/a	n/a	10.00	5.0
BUCK CAPITAL MGMT	001	LEG	SS	20,000	22.85	n/a	n/a	800.00	4.0
THOMPSON SIEGAL&WALMSLEY	029	LHO	B	4,800	23.98	n/a	n/a	192.00	4.0
ASCEND PARTNERS	033	LOW	S	90,000	23.01	n/a	17-May	4,500.00	5.0
BALYASNY ASSET MGMT	044	LTD	B	80,000	25.72	n/a	n/a	4,000.00	5.0
TRELLUS PARTNERS LP	028	LVS	SS	20,000	26.90	n/a	15-Jun	1,000.00	5.0
ROYCE TRADING	012	MAG	B	2,000	1.46	n/a	n/a	60.00	3.0
THE JAY GOLDMAN	028	MAR	S	5,000	35.40	n/a	n/a	200.00	4.0
SNYDER CAPITAL	033	MAT	B	35,635	22.52	n/a	n/a	1,425.40	4.0
BROADARCH CAP/OLD NEWC	070	MGM	S	12,500	12.27	n/a	15-Jun	500.00	4.0
ROYCE TRADING	012	MIG	S	2,500	8.86	n/a	n/a	125.00	5.0
B BUCK CAPITAL MGMT		MSMSRAWW	SS	180,000	93.43	n/a	n/a	non-brg	9.3
ASCEND PARTNERS	033	MWW	SS	24,121	13.74	n/a	n/a	1,206.05	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	MYL	B	5,000	17.63	n/a	16-Jun	500.00	10.0
ASCEND PARTNERS	033	M	B	150,000	21.89	n/a	4-Jun	7,500.00	5.0
EXIS CAPITAL	044	M	B	20,000	21.71	n/a	4-Jun	1,000.00	5.0
KACELA CAPITAL	034	NOV	S	25,000	38.11	n/a	n/a	1,000.00	4.0
ROYCE TRADING	012	ONB	B	10,000	11.28	n/a	n/a	500.00	5.0
SONTERRA CAP	041	PDS	B	54,615	7.67	n/a	n/a	2,184.60	4.0
⌘ BUCK CAPITAL MGMT		PETM	SS	20,000	32.72	n/a	n/a	non-brg	4.0
ROYCE TRADING	012	PIR	S	500	8.00	n/a	n/a	25.00	5.0
ROYCE TRADING	012	PMC	B	5,000	14.71	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		PSS	S	80,000	19.38	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		QKLS	S	12,600	5.24	n/a	n/a	non-brg	3.0
ROYCE TRADING	012	REX	S	2,500	18.81	n/a	n/a	125.00	5.0
SOROS	036	SAI	SS	50,000	17.98	n/a	n/a	2,000.00	4.0
SOROS	036	SGY	S	6,500	14.55	n/a	n/a	260.00	4.0
⌘ BUCK CAPITAL MGMT		SHW	SS	10,000	77.00	n/a	24-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SIG	S	20,000	32.12	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	9.15	n/a	n/a	non-brg 3.0
⌘ BUCK CAPITAL MGMT		SKS	SS	50,000	9.19	n/a	n/a	non-brg 2.5
ROYCE TRADING	012	SOA	S	5,000	15.28	n/a	n/a	250.00 5.0
PECONIC PARTNERS	036	SPY	SS	400,000	111.75	n/a	n/a	20,000.00 5.0
⌘ BUCK CAPITAL MGMT		TGT	S	20,000	54.01	n/a	4-Jun	non-brg 4.0
ROYCE TRADING	012	TIN	S	500	22.79	n/a	21-Apr	25.00 5.0
LEVEL GLOBAL SHARED ACCT	257	TPX	B	100,000	34.68	n/a	n/a	3,000.00 3.0
BALYASNY ASSET MGMT	044	TRLG	SS	25,000	27.01	n/a	n/a	1,250.00 5.0
⌘ BUCK CAPITAL MGMT		UAUA	S	10,000	24.13	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		UA	C	25,000	36.89	n/a	28-Apr	non-brg 4.0
EXIS CAPITAL	044	UA	B	10,000	35.96	n/a	28-Apr	500.00 5.0
⌘ BUCK CAPITAL MGMT		URBN	S	10,000	36.94	n/a	n/a	non-brg 4.0
SIGMA CAPITAL ASSOCIATES	041	URBN	SS	25,000	36.95	n/a	n/a	875.00 3.5
⌘ BUCK CAPITAL MGMT		VFC	S	80,000	78.97	n/a	14-May	non-brg 4.0
⌘ BUCK CAPITAL MGMT		WHR	C	15,000	101.59	n/a	n/a	non-brg 2.5
EXIS CAPITAL	044	WRC	B	5,000	42.90	n/a	14-May	250.00 5.0
⌘ BUCK CAPITAL MGMT		XRT	SS	17,400	40.40	n/a	n/a	non-brg 0.8

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**TOTAL (Trade Date):**

**115,154.05**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/15	STANDARD PACIFIC CAPITAL	040	BYI	SS	20,000	40.66	n/a	n/a	1000.00 5.0
AO,CXL	06/15	STANDARD PACIFIC CAPITAL	040	BYI	SS	20,000	40.66	n/a	n/a	800.00 4.0
AO,CRT	06/15	FIRST NY SEC/CHRIS	041	C	B	25,000	3.97	n/a	15-Jun	750.00 3.0
AO,CXL	06/15	FIRST NY SEC/CHRIS	041	C	B	25,000	3.97	n/a	15-Jun	0.00 0.0
AO,CRT	06/15	MARINER/TRICADIA	041	IWM	B	8,982	66.32	n/a	n/a	449.10 5.0
AO,CXL	06/15	MARINER/TRICADIA	041	IWM	B	10,000	66.32	n/a	n/a	500.00 5.0
AO,	06/15	MARINER/TRICADIA	041	IWM	B	1,018	66.32	n/a	n/a	50.90 5.0
AO,CXL	06/15	PAW PARTNERS	045	NUAN	S	10,000	17.25	n/a	n/a	500.00 5.0
AO,CRT	06/15	PAW PARTNERS	045	NUAN	S	10,000	17.25	n/a	n/a	500.00 5.0

**TOTAL (AO, CXL, CRT) :****950.00**

TOTAL (Trade Date):

115,154.05

TOTAL (AO, CXL, CRT):

950.00

**TOTAL (RUN DATE) / NFS:****116,104.05**

MRI Securities with Trade Activity on 16-Jun.  
NONE



MRI Securities without Trade Activity on 16-Jun.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 7%  
YTD = 8%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**