



Daily Compliance Activity Report

Trade Date: 06/18/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MORRISON & FRASIER	040	AEM	B	68,500	37.00	n/a	n/a	2,740.00	4.0
SUN AMERICA ASSET MGMT	030	AEO	B	60,200	26.57	n/a	12-Jun	3,010.00	5.0
LISTED ERR	099	AEO	B	500	26.57	n/a	12-Jun	0.00	0.0
LISTED ERR	099	AEO	S	500	26.72	n/a	12-Jun	0.00	0.0
SEMINOLE CAP	036	AET	S	100,000	50.68	n/a	n/a	5,000.00	5.0
ROBX	302	ANF	B	7,000	77.85	n/a	8-Jun	280.00	4.0
SAXON WOODS ADVISORS	038	BGG	S	15,000	31.77	n/a	n/a	750.00	5.0
PILOT ADV	038	BLL	S	20,000	54.56	n/a	n/a	800.00	4.0
ARIENCE CAPITAL	036	BYD	B	36,640	51.76	n/a	27-Apr	1,832.00	5.0
GALLEON MGMT LP	033	CAG	B	10,000	25.78	n/a	n/a	500.00	5.0
SAILFISH	035	CCL	B	35,000	49.84	n/a	26-Jan	1,750.00	5.0
ACT II PARTNERS	067	CCOI	B	10,000	26.99	n/a	n/a	400.00	4.0
LEDDY	035	CHBD	S	3,500	0.85	n/a	n/a	0.00	0.0
KORMAN	003	CLUB	S	3,300	20.50	n/a	n/a	0.00	0.0
MORGAN LEWIS/MLH	046	CMCSA	B	10,000	27.96	5-Apr	14-Jun	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	COH	B	5,000	48.48	23-Feb	25-Apr	200.00	4.0
~ Glenn Redemann		COH	B	3	0.9700	23-Feb	25-Apr	0.00	0.0
~ Glenn Redemann		COH	B	3	1.0300	23-Feb	25-Apr	0.00	0.0
TRELLUS PARTNERS LP	028	COH	B	30,000	48.16	23-Feb	25-Apr	1,500.00	5.0
BELMONT GLOBAL ADV	248	DEEP	B	20,000	18.86	n/a	n/a	1,000.00	5.0
~ Glenn Redemann		DRYS	B	3	0.1800	n/a	n/a	0.00	0.0
~ Glenn Redemann		DRYS	B	3	0.3100	n/a	n/a	0.00	0.0
~ Glenn Redemann		DRYS	SS	3	0.9900	n/a	n/a	0.00	0.0
~ Glenn Redemann		DRYS	SS	3	1.1600	n/a	n/a	0.00	0.0
~ Glenn Redemann		EXP	B	3	0.1000	n/a	n/a	0.00	0.0
~ Glenn Redemann		EXP	B	3	0.3800	n/a	n/a	0.00	0.0
~ Glenn Redemann		EXP	SS	3	0.6900	n/a	n/a	0.00	0.0
~ Glenn Redemann		EXP	SS	3	1.2900	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	FTO	S	15,000	44.00	n/a	n/a	750.00	5.0
WHITE PINE CAPITAL	030	GE	B	4,000	38.01	n/a	n/a	200.00	5.0
COUGAR TRADING	044	GIL	S	5,000	37.08	1-Jun	1-Jun	250.00	5.0
BUCK	015	GS	B	300	226.33	15-Jun	15-Jun	0.00	0.0
~ Glenn Redemann		GYMB	B	3	0.4400	4-Jan	4-Jun	0.00	0.0
~ Glenn Redemann		GYMB	B	3	0.5300	4-Jan	4-Jun	0.00	0.0
~ Glenn Redemann		GYMB	SS	3	1.4400	4-Jan	4-Jun	0.00	0.0
~ Glenn Redemann		GYMB	SS	3	1.5800	4-Jan	4-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PROSPECTOR PARTNERS LLC	036	IOSP	S	19,000	61.06	n/a	n/a	760.00	4.0
JP MORGAN VENTURES	046	JCP	B	5,000	75.12	20-Apr	8-Jun	250.00	5.0
MAZAMA CAPITAL MGMT.	035	JNC	S	11,000	54.00	30-Apr	30-May	440.00	4.0
SLS CAPITAL LLC	224	KFT	B	400,700	35.37	n/a	n/a	20,035.00	5.0
VAN KAMPEN ADV	031	KFT	S	91,600	35.40	n/a	n/a	3,664.00	4.0
MERRILL LYNCH STRATEGICI	034	KMX	S	10,000	23.29	n/a	10-Jan	500.00	5.0
STRONG/WELL HOWARD	038	KSE	S	84,600	41.86	n/a	n/a	3,384.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	15,000	71.17	14-Jun	14-Jun	600.00	4.0
SAILFISH	035	LOW	B	20,000	31.66	n/a	21-May	1,000.00	5.0
LISTED ERR	099	LTD	B	22	26.34	16-May	8-Jun	0.00	0.0
BUCK	015	MERHR	B	10	3.60	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	MIR	S	10,000	45.64	n/a	n/a	400.00	4.0
LUCHANSKY1	036	MIR	S	600	45.66	n/a	n/a	0.00	0.0
ADAGE CAPITAL MGMT	030	M	S	73,300	38.64	17-May	8-Jun	2,932.00	4.0
KACELA CAPITAL	034	NFLX	SS	15,000	20.04	n/a	n/a	750.00	5.0
LEDDY	035	NWY	S	1,200	11.55	25-May	25-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAPITAL	038	PCU	B	4,000	93.85	n/a	n/a	200.00	5.0
LATEFF MGMT	040	PG	B	2,500	61.82	n/a	4-Jun	600.00	24.0
COUGAR TRADING	044	PSUN	B	5,000	22.69	n/a	8-Jun	250.00	5.0
STERLING JOHNSTON	035	PSUN	B	105,000	22.37	n/a	8-Jun	5,250.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	96.65	2-Feb	31-May	400.00	4.0
KORMAN	003	RPF	S	400	22.96	n/a	n/a	0.00	0.0
BARR	096	SCMR	B	2,500	4.12	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SRDX	B	3	0.2600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SRDX	B	3	0.2600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SRDX	SS	3	1.0400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SRDX	SS	3	1.1300	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	SRP	S	10,000	17.84	n/a	n/a	400.00	4.0
CSFB GLOBAL PROPRIETARY	035	TELWI	B	25,000	0.00	n/a	n/a	1,250.00	5.0
SAILFISH	035	TGT	B	25,000	64.02	n/a	7-Jun	1,250.00	5.0
GRUBER MACBAINE	033	TROW	B	10,000	52.94	n/a	23-May	400.00	4.0
BUCK CAPITAL MGMT	001	TWB	B	25,000	42.32	23-May	23-May	1,000.00	4.0
JP MORGAN VENTURES	046	URBN	C	15,000	25.34	2-Apr	11-May	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLRACE FUND LP	033	URBN	S	15,000	25.22	2-Apr	11-May	600.00	4.0
MSD CAPITAL	036	USTR	S	500	68.02	23-May	23-May	25.00	5.0
MERRILL ASSET	032	VAL	B	70,600	28.72	n/a	n/a	3,177.00	4.5
RAINIER INV MGMT	035	WAB	B	15,000	38.28	n/a	n/a	750.00	5.0
KENNEDY CAPITAL	046	WRNC	S	42,400	37.03	9-May	9-May	1,696.00	4.0
MKT MAKER	046	WRNC	S	45	37.03	9-May	9-May	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	YUM	C	49,800	67.30	24-Apr	24-Apr	1,992.00	4.0
TOTAL (Trade Date) :								76,167.00	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/15	COLUMBIA PARTNERS (COLP000MER)	028	AGN	S	73	118.14	14-Mar	18-Jun	2.92 4.0
AO,	06/15	WEISS PECK (PECKMS)	040	AHO	S	19,000	13.13	n/a	n/a	950.00 5.0
AO,CXL	06/15	WEISS PECK (PECKARBBS)	040	AHO	S	25,000	13.13	n/a	n/a	1250.00 5.0
AO,CRT	06/15	WEISS PECK (PECKARBBS)	040	AHO	S	6,000	13.13	n/a	n/a	300.00 5.0
AO,CRT	06/15	LISTED ERR (LISTED ERR)	099	LTD	S	22	26.74	16-May	8-Jun	0.00 0.0
AO,	06/15	SCOPUS FUND (SCOPUBS)	039	SHW	S	10,850	68.59	n/a	17-May	542.50 5.0
AO,CRT	06/15	UBS OCONNOR (UBSOCSFBCO)	031	UAG	S	15,565	21.31	n/a	9-May	466.95 3.0
AO,	06/15	UBS OCONNOR (UBSOLEHCHA)	031	UAG	S	6,335	21.31	n/a	9-May	190.05 3.0
AO,CXL	06/15	UBS OCONNOR (UBSOCSFBCO)	031	UAG	S	21,900	21.31	n/a	9-May	657.00 3.0
AO,CXL	06/15	MKT MAKER (MKT MAKER)	046	WRNC	S	88	37.00	9-May	9-May	3.52 4.0
AO,CRT	06/15	MKT MAKER (MKT MAKER)	046	WRNC	S	88	37.00	9-May	9-May	0.00 0.0

TOTAL (AO, CXL, CRT) :**541.90**

TOTAL (Trade Date) :

76,167.00

TOTAL (AO, CXL, CRT) :

541.90

TOTAL (RUN DATE) / NFS:**76,708.90**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION