



## Daily Compliance Activity Report

Trade Date: 04/04/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALLEON MGMT LP	033	AFCE	S	20,000	8.79	n/a	n/a	1,000.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	76.72	10-Jan	10-Mar	250.00	5.0
STADIA CAPITAL	035	ANF	SS	15,000	76.98	10-Jan	10-Mar	600.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>APNS</b>	<b>S</b>	<b>20,000</b>	<b>0.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ARO	SS	10,000	27.08	29-Nov	14-Mar	500.00	5.0
J L F ASSET MGMT	039	ARO	B	45,300	27.38	29-Nov	14-Mar	1,812.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BBY</b>	<b>B</b>	<b>20,000</b>	<b>43.06</b>	<b>n/a</b>	<b>27-Jul</b>	<b>800.00</b>	<b>4.0</b>
PRINCIPLE CAPITAL	040	CCL	B	26,500	41.77	n/a	26-Jan	1,060.00	4.0
NORTHPOINT	030	CCOI	B	39,100	21.15	n/a	n/a	1,564.00	4.0
SCOPUS FUND	041	CHTT	S	23,642	66.04	11-Jan	3-Apr	1,182.10	5.0
ROTHSCHILD ASSET	040	CIR	B	14,090	46.82	n/a	n/a	634.05	4.5
<b>ZOLIDIS</b>	<b>011</b>	<b>CMGB</b>	<b>B</b>	<b>200</b>	<b>102.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>CMG</b>	<b>S</b>	<b>200</b>	<b>117.59</b>	<b>n/a</b>	<b>24-Apr</b>	<b>0.00</b>	<b>0.0</b>
COLONIAL FUND LLC	036	COH	S	45,000	33.76	4-Apr	4-Apr	2,250.00	5.0
COUGAR TRADING	044	COH	S	10,000	33.42	4-Apr	4-Apr	500.00	5.0
FIRST NY SEC/CHRIS	041	COH	S	10,000	32.96	4-Apr	4-Apr	600.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	COH	B	10,176	33.58	4-Apr	4-Apr	508.80	5.0
SCOPUS FUND	041	COH	B	46,790	32.78	4-Apr	4-Apr	2,339.50	5.0
SCOPUS FUND	041	COH	S	60,000	33.18	4-Apr	4-Apr	3,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	COH	S	30,000	33.63	4-Apr	4-Apr	1,500.00	5.0
BALYASNY ASSET MGMT	044	COL	SS	25,000	62.65	n/a	n/a	1,250.00	5.0
LEVIN CAPITAL STRATEGIES	012	CRM	C	22,240	65.33	n/a	n/a	889.60	4.0
FIRST NY SEC/CHRIS	041	CROX	S	10,000	17.55	n/a	n/a	600.00	6.0
MTB INV ADV	034	CVG	B	32,450	15.56	n/a	n/a	1,622.50	5.0
NEUBERGER BERMAN	036	CVH	B	25,100	40.43	n/a	n/a	1,129.50	4.5
BALYASNY ASSET MGMT	044	CWST	B	25,000	11.03	n/a	n/a	1,250.00	5.0
ROYCE TRADING	216	DDS	B	1,000	20.39	n/a	n/a	50.00	5.0
FIRST NEW YORK LEDDY	035	DELL	B	4,000	19.63	n/a	n/a	200.00	5.0
BARON ASSET MGMT*	012	DSW	S	15,000	13.16	28-Mar	28-Mar	600.00	4.0
WHEELER	008	DUG	B	4,000	35.33	n/a	n/a	0.00	0.0
GAULT	063	DUG	B	1,000	35.01	n/a	n/a	0.00	0.0
GAULT	063	DXD	S	1,000	53.71	n/a	n/a	0.00	0.0
GAULT	063	EBHI	B	2,000	4.35	n/a	n/a	0.00	0.0
KAICHER	064	EBHI	B	5,000	4.54	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	ETN	S	10,000	81.98	28-Mar	28-Mar	400.00	4.0
<b>N STRATTON</b>	<b>014</b>	<b>FBTX</b>	<b>C</b>	<b>300</b>	<b>2.65</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	FDO	B	5,000	20.55	8-Jan	4-Apr	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FL</b>	<b>B</b>	<b>20,000</b>	<b>12.22</b>	<b>2-Apr</b>	<b>2-Apr</b>	<b>800.00</b>	<b>4.0</b>
<b>PAKLER</b>	<b>029</b>	<b>FSLR</b>	<b>B</b>	<b>12</b>	<b>255.44</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>20,000</b>	<b>41.74</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
HEALY CIRCLE	044	GM	SS	10,000	20.69	3-Jan	2-Apr	500.00	5.0
COUGAR TRADING	044	GPS	B	5,000	19.07	n/a	7-Mar	250.00	5.0
<b>BUCK</b>	<b>015</b>	<b>GSSI</b>	<b>B</b>	<b>10</b>	<b>5.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	GYMB	S	5,000	43.53	2-Apr	2-Apr	250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	HAS	B	40,000	30.66	n/a	n/a	2,000.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>HAYN</b>	<b>B</b>	<b>2,000</b>	<b>55.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CROWLEY</b>	<b>061</b>	<b>HCGI</b>	<b>S</b>	<b>2,500</b>	<b>0.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WATER STREET CAPITAL	038	IMB	B	32,000	4.82	n/a	n/a	960.00	3.0
CAXTON PART	040	IVZ	B	20,000	25.68	10-Mar	4-Apr	700.00	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FIRST NY SEC/CHRIS	041	JAKK	S	10,000	29.45	n/a	n/a	600.00	6.0
COUGAR TRADING	044	JCG	S	15,000	46.47	28-Nov	12-Mar	750.00	5.0
CAXTON PART	040	JNS	B	25,000	26.10	24-Mar	4-Apr	875.01	3.5
J.W. SELIGMAN	041	JNS	B	18,200	26.03	24-Mar	4-Apr	728.00	4.0
<b>CATTANI</b>	<b>041</b>	<b>JNS</b>	<b>B</b>	<b>300</b>	<b>25.81</b>	<b>24-Mar</b>	<b>4-Apr</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	LIZ	B	10,000	19.37	n/a	17-Mar	500.00	5.0
WAGONHOUND	048	LPX	B	19,300	10.98	n/a	6-Feb	772.00	4.0
<b>BUCK</b>	<b>015</b>	<b>LYHQQ</b>	<b>S</b>	<b>30</b>	<b>1.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>LYHVD</b>	<b>S</b>	<b>40</b>	<b>1.29</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	LZB	B	5,000	8.44	n/a	n/a	250.00	5.0
FIRST NEW YORK LEDDY	035	MPEL	B	4,000	12.91	n/a	n/a	200.00	5.0
BECK MACK & OLIVER LLC	045	MRK	B	9,300	39.63	n/a	n/a	465.00	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>MRK</b>	<b>B</b>	<b>1,300</b>	<b>39.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>MRK</b>	<b>S</b>	<b>1,300</b>	<b>39.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CENTURION GLOBAL MGMT LL	041	MRVL	B	22,300	11.66	n/a	n/a	669.00	3.0
BALYASNY ASSET MGMT	044	MSM	B	33,298	43.17	n/a	n/a	1,664.90	5.0
ROYCE TRADING	216	NPO	S	5,000	33.79	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	NVDA	S	225,793	19.05	n/a	n/a	9,031.72	4.0
NEW JERSEY DIV OF INV.	220	NWSA	S	200,000	19.28	n/a	n/a	8,000.00	4.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>PCH</b>	<b>S</b>	<b>37,000</b>	<b>42.69</b>	<b>8-Jan</b>	<b>6-Feb</b>	<b>1,850.00</b>	<b>5.0</b>
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>PCL</b>	<b>S</b>	<b>40,000</b>	<b>41.43</b>	<b>8-Jan</b>	<b>30-Jan</b>	<b>2,000.00</b>	<b>5.0</b>
CUMBERLAND	038	PETM	S	46,702	21.49	n/a	n/a	1,868.08	4.0
LEVIN CAPITAL STRATEGIES	012	PKG	B	15,000	22.59	23-Jan	23-Jan	600.00	4.0
LEVIN CAPITAL STRATEGIES	012	PNR	C	7,000	33.59	n/a	n/a	280.00	4.0
KNOTT PARTNERS	044	PRX	S	42,791	17.40	n/a	1-Apr	2,139.55	5.0
AMBER CAPITAL	046	Q	SS	500,000	4.67	n/a	n/a	10,000.00	2.0
FIRST NEW YORK LEDDY	035	Q	B	170,000	4.63	n/a	n/a	10,200.00	6.0
COUGAR TRADING	044	RL	B	5,000	60.28	7-Feb	15-Feb	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RVI</b>	<b>S</b>	<b>10,000</b>	<b>4.65</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>RYN</b>	<b>B</b>	<b>25,000</b>	<b>45.07</b>	<b>8-Jan</b>	<b>25-Jan</b>	<b>1,250.00</b>	<b>5.0</b>
AMBER CAPITAL	046	SGR	B	15,000	56.66	n/a	n/a	600.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>S</b>	<b>20,000</b>	<b>20.09</b>	<b>n/a</b>	<b>13-Mar</b>	<b>800.00</b>	<b>4.0</b>
CASTLE ARK	031	UPL	S	126,810	77.44	n/a	1-Apr	7,608.60	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	VUL	S	10	5.10	n/a	n/a	0.00	0.0
BUCK	015	V	S	1,000	64.51	n/a	n/a	0.00	0.0
GRANAHAN INV	039	WBC	B	9,400	45.94	28-Mar	28-Mar	376.00	4.0
AMBER CAPITAL	046	WIN	SS	200,000	12.06	n/a	n/a	8,000.00	4.0
SIGMA CAPITAL ASSOCIATES	237	WMT	S	15,000	54.67	n/a	7-Mar	750.00	5.0
STADIA CAPITAL	035	WMT	B	12,500	54.62	n/a	7-Mar	500.00	4.0
XYLEM GLOBAL	091	WY	B	14,000	65.96	8-Jan	11-Feb	700.00	5.0
BECK MACK & OLIVER LLC	045	XOM	S	1,900	89.34	n/a	n/a	95.00	5.0
COUGAR TRADING	044	ZQK	S	20,000	10.20	5-Feb	7-Mar	1,000.00	5.0
NOMURA CAPITAL	045	ZZ	B	20,000	7.41	n/a	n/a	800.00	4.0
<b>TOTAL (Trade Date):</b>							<b>111,424.91</b>		

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/02	ADAGE CAPITAL MGMT (ADAG-GS)	030	ANN	S	22,900	25.31	14-Mar	14-Mar	1145.00 5.0
AO,CRT	04/02	ADAGE CAPITAL MGMT (ADAG-GS)	030	ANN	S	22,900	25.31	14-Mar	14-Mar	916.00 4.0
AO,	04/03	JCK PARTNERS (JCKPMILPML)	067	BIG	SS	12,172	22.60	6-Mar	3-Apr	486.88 4.0
AO,	04/03	JCK PARTNERS (JCKPGOLDMA)	067	BIG	SS	37,828	22.60	6-Mar	3-Apr	1513.12 4.0
AO,CXL	04/02	NOMURA CAPITAL (NOMUBPD)	045	HAYZ	S	6,500	2.82	n/a	n/a	260.00 4.0
AO,CRT	04/02	NOMURA CAPITAL (NOMUBPD)	045	HAYZ	S	6,500	2.82	n/a	n/a	195.00 3.0
AO,	04/03	JENNISON (JENN856)	032	QCOM	B	8,400	42.06	n/a	n/a	336.00 4.0
AO,	04/03	JENNISON (JENN0857)	032	QCOM	B	5,800	42.06	n/a	n/a	232.00 4.0
AO,	04/03	JENNISON (JENN844)	032	QCOM	B	12,800	42.06	n/a	n/a	512.00 4.0
AO,	04/03	JENNISON (JENN835)	032	QCOM	B	6,800	42.06	n/a	n/a	272.00 4.0
AO,	04/03	JENNISON (JENN837)	032	QCOM	B	2,900	42.06	n/a	n/a	116.00 4.0
AO,	04/03	JENNISON (JENN825)	032	QCOM	B	23,700	42.06	n/a	n/a	948.00 4.0
AO,	04/03	JENNISON (JENN797)	032	QCOM	B	21,400	42.06	n/a	n/a	856.00 4.0
AO,	04/03	JENNISON (JENN795)	032	QCOM	B	33,000	42.06	n/a	n/a	1320.00 4.0
AO,	04/03	JENNISON (JENN580)	032	QCOM	B	200	42.06	n/a	n/a	8.00 4.0
AO,	04/03	JENNISON (JENN134)	032	QCOM	B	2,700	42.06	n/a	n/a	108.00 4.0
AO,	04/03	JENNISON (JENN244)	032	QCOM	B	2,200	42.06	n/a	n/a	88.00 4.0
AO,	04/03	JENNISON (JENN80)	032	QCOM	B	5,900	42.06	n/a	n/a	236.00 4.0
AO,	04/03	JENNISON (JENN662)	032	QCOM	B	13,600	42.06	n/a	n/a	544.00 4.0
AO,	04/03	JENNISON (JENN538)	032	QCOM	B	6,400	42.06	n/a	n/a	256.00 4.0
AO,	04/03	JENNISON (JENN495)	032	QCOM	B	11,000	42.06	n/a	n/a	440.00 4.0
AO,	04/03	JENNISON (JENN14)	032	QCOM	B	10,200	42.06	n/a	n/a	408.00 4.0
AO,	04/03	JENNISON (JENN691)	032	QCOM	B	7,500	42.06	n/a	n/a	300.00 4.0
AO,	04/03	JENNISON (JENN584)	032	QCOM	B	2,200	42.06	n/a	n/a	88.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	04/03	JENNISON (JENN579)	032	QCOM	B	3,500	42.06	n/a	n/a	140.00	4.0
AO,	04/03	JENNISON (JENN452)	032	QCOM	B	7,600	42.06	n/a	n/a	304.00	4.0
AO,	04/03	JENNISON (JENN463)	032	QCOM	B	3,700	42.06	n/a	n/a	148.00	4.0
AO,	04/03	JENNISON (JENN439)	032	QCOM	B	500	42.06	n/a	n/a	20.00	4.0
AO,	04/03	JENNISON (JENN438)	032	QCOM	B	3,600	42.06	n/a	n/a	144.00	4.0
AO,	04/03	JENNISON (JENN162)	032	QCOM	B	14,400	42.06	n/a	n/a	576.00	4.0
AO,	04/03	JENNISON (JENN372)	032	QCOM	B	2,200	42.06	n/a	n/a	88.00	4.0
<b>AO,CRT</b>	<b>03/11</b>	<b>GAULT (GAULT)</b>	<b>063</b>	<b>SKF</b>	<b>B</b>	<b>2,000</b>	<b>125.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>AO,CXL</b>	<b>03/11</b>	<b>GAULT (GAULT)</b>	<b>063</b>	<b>SKF</b>	<b>B</b>	<b>2,000</b>	<b>125.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>AO,CRT</b>	<b>03/11</b>	<b>GAULT (GAULT)</b>	<b>063</b>	<b>SKF</b>	<b>S</b>	<b>2,000</b>	<b>124.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>AO,CXL</b>	<b>03/11</b>	<b>GAULT (GAULT)</b>	<b>063</b>	<b>SKF</b>	<b>S</b>	<b>2,000</b>	<b>124.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AO,CXL	04/03	IMPALA ASSET MMT (IMPACITI)	012	UAUA	B	82,738	21.64	n/a	n/a	2482.14	3.0
AO,CRT	04/03	IMPALA ASSET MMT (IMPAGOLDMA)	012	UAUA	B	82,738	21.64	n/a	n/a	2482.14	3.0
AO,CRT	04/02	CR INTRINIC INVESTMENT (CRINUBS)	044	WIRE	SS	50,000	18.64	n/a	n/a	2500.00	5.0
AO,CXL	04/02	CR INTRINIC INVESTMENT (CRINGSPB)	044	WIRE	SS	50,000	18.64	n/a	n/a	2500.00	5.0
AO,	04/03	JCK PARTNERS (JCKPMILPML)	067	ZLC	SS	12,172	19.03	n/a	n/a	486.88	4.0
AO,	04/03	JCK PARTNERS (JCKPGOLDMA)	067	ZLC	SS	37,828	19.03	n/a	n/a	1513.12	4.0

<b>TOTAL (AO, CXL, CRT) :</b>	<b>12,194.00</b>
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TOTAL (Trade Date):	111,424.91
TOTAL (AO, CXL, CRT):	12,194.00



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TOTAL (RUN DATE) / NFS:

123,618.91

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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION