



# Daily Compliance Activity Report

Trade Date: 08/27/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAI	B	25,000	4.48	n/a	n/a	non-brg	3.0
US BANCORP	030	ADTN	S	11,560	30.45	n/a	n/a	578.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	70,000	13.41	n/a	27-Aug	2,800.00	4.0
DAVID GEORGE BUCK	FID	AMAG	S	2000	28.06	n/a	n/a	0.00	0.0
KAREN F LEDDY	FID	ANN	S	600	15.58	n/a	n/a	0.00	0.0
SONTERRA CAP	041	AOL	B	19,100	22.35	n/a	n/a	764.00	4.0
BUCK CAPITAL MGMT		ARO	B	32,000	22.75	n/a	27-Aug	non-brg	0.8
BUCK CAPITAL MGMT		ARO	B	80,000	22.76	n/a	27-Aug	non-brg	0.8
EXIS CAPITAL	044	ARO	SS	5,000	22.45	n/a	27-Aug	250.00	5.0
BUCK CAPITAL MGMT		BBBY	B	10,000	36.29	n/a	n/a	non-brg	4.0
WILLIAM WEINBERG	FID	BDE	B	4300	6.13	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BEBE	S	20,000	6.00	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		BONT	S	30,000	6.49	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT	001	COH	B	70,000	36.41	n/a	4-Aug	2,800.00	4.0
KAREN F LEDDY	FID	CPN	S	1000	12.49	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		CROX	S	30,000	12.20	n/a	n/a	non-brg	4.0
ROSEDALE CAPITAL	046	CSCO	B	20,000	20.41	n/a	n/a	1,000.00	5.0
f THOMAS JOHN LEDDY	FID	CVGI	B	475	9.33	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DBRN	B	20,000	21.28	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DG	C	30,054	28.36	n/a	8-Jun	non-brg	4.0
HIGHBRIDGE CAP	041	DVN	SS	8,221	61.03	n/a	11-Aug	328.84	4.0
HIGHBRIDGE CAP	041	EOG	B	5,244	89.30	n/a	n/a	209.76	4.0
HIGHBRIDGE CAP	041	FCX	B	3,523	71.09	n/a	n/a	140.92	4.0
BUCK CAPITAL MGMT	001	FDO	B	20,000	43.35	n/a	27-Aug	800.00	4.0
SOUTH POINT CAP	067	FISV	S	40,000	50.84	n/a	n/a	2,000.00	5.0
B BUCK CAPITAL MGMT		FL	B	50,000	12.25	n/a	20-Aug	non-brg	2.0
B BUCK CAPITAL MGMT		FOSL	B	10,000	45.99	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAP	041	FST	B	1,453	26.51	n/a	n/a	58.12	4.0
B BUCK CAPITAL MGMT		GES	B	60,000	33.53	n/a	26-Aug	non-brg	4.0
EXIS CAPITAL	044	GES	SS	10,000	33.62	n/a	26-Aug	500.00	5.0
CATAPULT	040	GS	S	2,000	139.40	n/a	20-Aug	100.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JMP ASSET MGMT	210	GYMB	SS	8,500	38.46	n/a	27-Aug	425.00	5.0
HIGHBRIDGE CAP	041	HAL	B	35,562	29.24	n/a	n/a	1,422.48	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>B</b>	<b>10,000</b>	<b>24.39</b>	<b>n/a</b>	<b>11-Aug</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	HK	B	2,923	14.92	n/a	n/a	116.92	4.0
M&I FUNDS	047	IGT	B	30,000	15.13	n/a	28-Jul	1,200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>IP</b>	<b>B</b>	<b>50,000</b>	<b>20.03</b>	<b>n/a</b>	<b>26-Aug</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	IYT	B	13,217	75.82	n/a	n/a	528.68	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>30,000</b>	<b>31.58</b>	<b>n/a</b>	<b>n/a</b>	<b>1,200.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>B</b>	<b>212,000</b>	<b>31.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	JCG	SS	10,000	31.04	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>B</b>	<b>40,000</b>	<b>29.94</b>	<b>n/a</b>	<b>27-Aug</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	JWN	SS	5,000	30.15	n/a	27-Aug	250.00	5.0
HIGHBRIDGE CAP	041	KWK	B	2,154	11.78	n/a	n/a	86.16	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>LAD</b>	<b>S</b>	<b>1200</b>	<b>7.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
T. ROWE PRICE	033	LPX	B	28,747	6.50	n/a	26-Aug	574.94	2.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>MGA</b>	<b>B</b>	<b>350</b>	<b>76.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BANK OF AMERICA/MERRILL	259	MJN	S	10,000	51.42	n/a	n/a	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAP	041	MMM	SS	12,384	80.91	n/a	22-Jul	495.36	4.0
HIGHBRIDGE CAP	041	MOO	B	11,422	43.95	n/a	n/a	456.88	4.0
CATAPULT	040	MS	S	5,000	24.78	n/a	20-Aug	250.00	5.0
BUCK CAPITAL MGMT	001	M	S	30,000	19.69	n/a	27-Aug	1,200.00	4.0
SCOUT/UMB	047	M	B	95,800	19.55	n/a	27-Aug	2,874.00	3.0
US BANCORP	030	OSK	S	16,258	25.69	n/a	n/a	812.90	5.0
B BUCK CAPITAL MGMT		PFCB	SS	20,000	44.19	n/a	28-Jul	non-brg	4.0
JET CAPITAL/CHRIS	041	PFE	S	200,000	15.82	n/a	n/a	6,000.00	3.0
B BUCK CAPITAL MGMT		PHM	B	25,000	8.03	n/a	n/a	non-brg	3.0
f CHRISTOPHER ROBERT C	FID	PKG	S	200	22.80	n/a	26-Aug	0.00	0.0
B BUCK CAPITAL MGMT		PLCE	B	10,000	44.34	n/a	19-Aug	non-brg	4.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	47.15	n/a	19-Jul	400.00	4.0
f BERNA GABRIELLE BARS	FID	RIMM	B	5	8.40	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	RIMM	SS	150,000	46.02	n/a	n/a	6,000.00	4.0
BUCK CAPITAL MGMT	001	RL	B	10,000	77.20	n/a	5-Aug	400.00	4.0
COHE & STEERS	041	RYN	S	61,048	47.00	n/a	26-Aug	2,441.92	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	SKX	B	10,000	26.19	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		SNE	SS	100,000	28.66	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	SPWRA	S	700	10.92	n/a	n/a	0.00	0.0
GEORGE WEISS	034	SSCC	B	75,000	16.74	n/a	26-Aug	2,250.00	3.0
f THOMAS JOHN LEDDY	FID	SUP	B	500	14.70	n/a	16-Aug	0.00	0.0
WEISS PECK	040	SWN	S	6,100	32.66	n/a	6-Aug	305.00	5.0
f JASON ERIC COHEN	FID	TBT	B	5	0.75	n/a	n/a	0.00	0.0
BNP PARIBAS SEC	040	TIF	B	5,001	40.06	n/a	n/a	200.04	4.0
ß BUCK CAPITAL MGMT		TIN	B	50,000	16.21	n/a	26-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		TIN	B	75,000	16.01	n/a	26-Aug	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	50,000	16.22	n/a	26-Aug	2,500.00	5.0
ß BUCK CAPITAL MGMT		URBN	B	40,000	31.23	n/a	n/a	non-brg	4.0
f BERNA GABRIELLE BARS	FID	VRML	S	350	6.30	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		VTG	S	1,000	1.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		VTG	S	1,900	1.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		VTG	S	2,600	1.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		VTG	S	23,265	1.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		VTG	S	50,000	1.29	n/a	n/a	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		VTG	S	800	1.38	n/a	n/a	non-brg	1.0
	MERRILL ASSET/BLACKROCK	266	WCC	S	32,100	33.16	n/a	n/a	1,284.00	4.0
B	BUCK CAPITAL MGMT		WFT	B	50,000	15.10	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		WSM	SS	10,000	26.76	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		WYNN	SS	20,000	81.66	n/a	12-Aug	non-brg	4.0
B	BUCK CAPITAL MGMT		XRT	SS	500,000	36.30	n/a	n/a	non-brg	2.0
	GCORE MANAGEMENT	041	XRX	S	25,000	8.56	n/a	n/a	1,250.00	5.0
f	KAREN F LEDDY	FID	ZLC	S	3500	1.56	n/a	n/a	0.00	0.0
TOTAL (Trade Date):									48,653.92	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT):									0.00

TOTAL (Trade Date):	48,653.92
TOTAL (AO, CXL, CRT):	0.00

TOTAL (RUN DATE) / NFS:	48,653.92
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MRI Securities with Trade Activity on 27-Aug.  
NONE

MRI Securities without Trade Activity on 27-Aug.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 17%  
YTD = 11%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**