



Daily Compliance Activity Report

Trade Date: 06/12/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KEEFE BRUYETTE WOODS A	031	AB	S	6,200	90.06	11-Jun	11-Jun	310.00	5.0
DSM CAPITAL PARTNERS	032	ADP	B	13,400	48.45	n/a	n/a	804.00	6.0
BUCK CAPITAL MGMT	001	AEO	B	150,000	26.10	n/a	12-Jun	6,000.00	4.0
HARVEST MGMT	039	AEO	B	45,000	26.15	n/a	12-Jun	2,250.00	5.0
LISTED ERR	099	AEO	B	5,000	25.98	n/a	12-Jun	0.00	0.0
LISTED ERR	099	AEO	SS	5,000	25.89	n/a	12-Jun	0.00	0.0
MERRILL ASSET	032	AMG	B	79,500	126.64	25-Apr	7-Jun	3,975.00	5.0
LEVIN CAPITAL STRATEGIES	012	ARO	SS	5,000	43.46	n/a	8-Jun	200.00	4.0
SASCO	038	ASD	B	55,900	57.70	31-May	31-May	2,795.00	5.0
GOLDMAN SACHS ASSET MGMT	044	ATVI	B	210,000	18.56	n/a	n/a	3,150.00	1.5
WHITE PINE CAPITAL	030	BDSB	B	350	10.00	n/a	n/a	17.50	5.0
BUCK CAPITAL MGMT	001	BID	S	10,000	45.60	n/a	n/a	400.00	4.0
FARALLON CAP MGMT	040	BIG	SS	165,000	30.07	9-Mar	7-Jun	6,600.00	4.0
WHITE PINE CAPITAL	030	CBK	B	200	18.36	7-Jun	8-Jun	10.00	5.0
GAULT	063	CCUR	S	10,000	1.81	n/a	n/a	0.00	0.0
DEMARTINI	065	CCUR	S	5,000	1.82	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	CC	S	800	16.83	1-May	1-May	0.00	0.0
HIGHBRIDGE CAPITAL	038	CMLS	SS	140,000	9.34	n/a	n/a	7,000.00	5.0
SAILFISH	035	CMZ	B	9,700	11.66	n/a	n/a	485.00	5.0
BUCK CAPITAL MGMT	001	COH	B	5,000	47.54	23-Feb	25-Apr	200.00	4.0
MAZAMA CAPITAL MGMT.	035	CPX	S	51,000	26.97	n/a	n/a	2,040.00	4.0
WHEELER	008	CRAY	B	10,000	7.16	n/a	n/a	0.00	0.0
PAKLER	N22	CROX	B	31	92.31	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	CYBI	B	625	6.67	n/a	n/a	31.25	5.0
SAILFISH	035	C	S	20,000	53.23	n/a	11-May	1,000.00	5.0
HIGHFIELDS CAP MGMT	039	DF	B	100,000	31.02	n/a	n/a	5,000.00	5.0
HIGHBRIDGE CAPITAL	038	DIS	SS	50,000	33.88	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	52.62	n/a	n/a	200.00	4.0
WHITE PINE CAPITAL	030	DTLK	B	900	6.64	n/a	n/a	45.00	5.0
FARALLON CAP MGMT	040	FDX	B	40,000	107.23	n/a	n/a	1,600.00	4.0
BUCK CAPITAL MGMT	001	GCO	S	10,000	48.93	n/a	10-Jan	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DSM CAPITAL PARTNERS	032	GENZ	B	16,500	65.64	n/a	n/a	990.00	6.0
PAKLER	N22	GIL	S	80	34.68	1-Jun	1-Jun	0.00	0.0
SANDLER CAPITAL	038	GRP	B	200,000	54.41	n/a	n/a	10,000.00	5.0
MHR CAPITAL MGMT	067	HPOL	B	16,900	5.39	n/a	n/a	676.00	4.0
SUN AMERICA ASSET MGMT	030	IDA	S	87,000	31.48	n/a	n/a	4,350.00	5.0
RAINIER INV MGMT	035	IO	B	55,000	15.70	n/a	n/a	2,750.00	5.0
PROSPECTOR PARTNERS LLC	036	IP	B	10,000	37.50	4-May	4-May	500.00	5.0
SUTTONBROOK CAPITAL	044	IP	B	100,000	37.06	4-May	4-May	4,000.00	4.0
DSM CAPITAL PARTNERS	032	ISRG	B	1,550	138.19	n/a	n/a	93.00	6.0
KERN	050	ITCD	S	500	5.71	n/a	n/a	0.00	0.0
BARR	096	ITCD	S	500	5.71	n/a	n/a	0.00	0.0
LEDDY	035	IVZ	S	900	23.27	26-Apr	11-Jun	0.00	0.0
GRUSS CAPITAL	040	IWM	SS	5,000	81.85	n/a	n/a	200.00	4.0
WALKER SMITH	031	IWM	SS	110,000	82.06	n/a	n/a	5,500.00	5.0
PIVOT CAP	034	JAS	S	17,745	30.53	n/a	n/a	887.25	5.0
BUCK CAPITAL MGMT	001	JCP	B	5,000	76.85	20-Apr	8-Jun	200.00	4.0
THE JAY GOLDMAN	034	JCP	SS	10,000	76.71	20-Apr	8-Jun	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	JCS	B	400	10.32	n/a	n/a	20.00	5.0
BUCK CAPITAL MGMT	001	KSS	B	5,000	70.79	n/a	7-Jun	200.00	4.0
GAULT	063	LESFO	S	20	2.65	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	MER	S	63,200	88.96	20-Apr	30-May	3,160.00	5.0
SANDLER O'NEILL ASSET	031	MS	B	18,300	88.89	22-Mar	30-May	915.00	5.0
BUCK CAPITAL MGMT	001	M	S	15,000	38.90	17-May	8-Jun	600.00	4.0
GAULT	063	NEXC	S	1,000	12.25	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	NGS	S	46,500	19.14	n/a	n/a	1,860.00	4.0
BUCK CAPITAL MGMT	001	OXM	S	20,000	43.71	n/a	n/a	800.00	4.0
MAZAMA CAPITAL MGMT.	035	PJC	B	13,500	61.21	n/a	n/a	540.00	4.0
WHEELER	008	PMD	B	2,000	19.20	n/a	n/a	0.00	0.0
STANDARD PACIFIC CAPITAL	040	PSS	B	60,000	32.51	n/a	n/a	3,000.00	5.0
WHEELER	008	QXWHW	B	100	8.00	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	RDEN	B	6,000	22.96	4-May	4-May	240.00	4.0
STADIA CAPITAL	035	RL	S	10,000	94.71	2-Feb	31-May	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RVI	S	100,000	17.25	n/a	n/a	4,000.00	4.0
PRIME LOGIC ADV	039	SBUX	B	30,000	27.73	24-Apr	24-Apr	1,500.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	15,000	30.05	n/a	13-Mar	600.00	4.0
COUGAR TRADING	044	SKX	S	5,000	30.13	n/a	13-Mar	250.00	5.0
GALLEON MGMT LP	033	SPY	SS	15,000	150.50	n/a	n/a	750.00	5.0
KARSCH CAPITAL	034	SPY	SS	105,000	150.51	n/a	n/a	3,150.00	3.0
PEREGRINE	030	SSI	B	100,000	20.09	25-May	12-Jun	5,000.00	5.0
DSM CAPITAL PARTNERS	032	SYK	B	8,700	64.66	n/a	n/a	522.00	6.0
BUCK CAPITAL MGMT	001	TGT	S	20,000	62.67	n/a	7-Jun	800.00	4.0
COUGAR TRADING	044	TIF	SS	5,000	49.16	1-Jun	1-Jun	250.00	5.0
MAGUIRE	039	TTM	B	500	16.07	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	URS	SS	2,000	48.45	n/a	n/a	100.00	5.0
APEX CAPITAL MGMT.	091	VRSN	B	10,600	28.99	n/a	n/a	530.00	5.0
MAZAMA CAPITAL MGMT.	035	WDR	B	34,500	25.24	5-Mar	23-May	1,380.00	4.0
MORGAN STANLEY ASSET MGT	031	WFT	B	19,000	53.38	n/a	n/a	760.00	4.0

TOTAL (Trade Date):

108,886.00

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/11	LISTED ERR (LISTED ERR)	099	ASD	S	25,000	58.19	31-May	31-May	0.00	0.0
AO,CRT	06/11	MKT MAKER (MKT MAKER)	099	WFMI	B	300	39.11	n/a	n/a	0.00	0.0
TOTAL (AO, CXL, CRT) :										0.00	

TOTAL (Trade Date) :	108,886.00
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS :	108,886.00
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION