



Daily Compliance Activity Report

Trade Date: 08/28/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COOKBIELER	028	ALV	B	10,000	37.74	23-Jul	30-Jul	400.00	4.0
DALTON GREINER	012	AMG	S	34,700	93.02	23-Jul	18-Aug	1,735.00	5.0
COUGAR TRADING	044	ARO	B	10,000	34.48	28-Apr	22-Aug	500.00	5.0
COUGAR TRADING	044	ARO	S	10,000	34.24	28-Apr	22-Aug	500.00	5.0
CR INTRINIC INVESTMENT	044	AVP	SS	100,000	43.33	31-Jul	31-Jul	5,000.00	5.0
BALYASNY ASSET MGMT	044	AXP	B	25,000	39.94	n/a	18-Aug	1,250.00	5.0
HIGHBRIDGE CAPITAL	038	BNI	B	5,500	106.07	n/a	n/a	275.00	5.0
LEDDY	035	BWA	SS	300	41.55	10-Jun	1-Aug	0.00	0.0
LEDDY	035	CAT	SS	300	71.75	n/a	18-Aug	0.00	0.0
NEUBERGER BERMAN	036	CLF	B	5,300	99.37	n/a	n/a	238.50	4.5
HIGHBRIDGE CAPITAL	038	CNI	B	27,000	52.30	n/a	n/a	1,350.00	5.0
PAKLER	029	DIG	B	30	89.65	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	DPL	B	13,200	24.91	n/a	n/a	594.00	4.5
PAKLER	029	DUG	S	83	33.05	n/a	n/a	0.00	0.0
HUNTER GLOBAL INVESTOR	067	ETH	SS	431	26.97	n/a	n/a	21.55	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	EV	B	30,000	35.70	21-Aug	21-Aug	1,500.00	5.0
WHEELER	008	EXH	B	2,000	47.47	28-Aug	28-Aug	0.00	0.0
BALYASNY ASSET MGMT	044	FII	B	75,000	33.97	14-Apr	19-Aug	3,750.00	5.0
J L F ASSET MGMT	039	GCO	B	59,600	35.76	n/a	10-Jan	2,384.00	4.0
BUCK CAPITAL MGMT	001	GES	B	60,000	37.00	n/a	n/a	2,400.00	4.0
RAMIUS CAP	040	GNTX	SS	326,000	15.97	24-Jun	28-Jul	16,300.00	5.0
TRELLUS PARTNERS LP	028	GNTX	SS	10,000	15.89	24-Jun	28-Jul	500.00	5.0
WYCKOFF	013	HSNI	S	100	14.65	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	JCP	B	80,000	38.55	n/a	28-Aug	3,200.00	4.0
J L F ASSET MGMT	039	JCP	B	50,000	38.92	n/a	28-Aug	2,000.00	4.0
CATTANI	041	JPM	S	300	38.80	8-May	15-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	KMB	B	50,000	61.92	28-Aug	28-Aug	2,000.00	4.0
COUGAR TRADING	044	KMB	B	15,000	61.78	28-Aug	28-Aug	750.00	5.0
BULL PATH CAPITAL	044	KSS	B	20,000	49.86	n/a	28-Aug	1,000.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	48.91	n/a	28-Aug	250.00	5.0
N STRATTON	014	LBY	B	200	9.45	n/a	n/a	0.00	0.0
COLE	N24	LBY	B	500	9.47	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	LLL	B	3,200	104.74	n/a	n/a	144.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATTANI	041	MER	S	500	26.94	24-Jun	15-Aug	0.00	0.0
COLUMBUS CIRCLE	036	MSFT	S	472,573	27.84	n/a	3-Apr	18,902.92	4.0
HIGHBRIDGE CAPITAL	038	NSC	B	4,500	73.27	n/a	n/a	225.00	5.0
OTC ERROR	099	NVDA	S	4,300	13.15	n/a	n/a	0.00	0.0
NOMURA CAPITAL	045	NYT	B	10,000	12.69	n/a	n/a	500.00	5.0
HUNTER GLOBAL INVESTOR	067	OIS	SS	23,000	55.38	n/a	n/a	1,150.00	5.0
SCOPUS FUND	041	PCL	S	25,000	50.70	n/a	11-Jul	1,250.00	5.0
COUGAR TRADING	044	PSUN	B	10,000	6.33	n/a	22-Aug	400.00	4.0
STG INVESTORS	041	QQQQ	S	100,000	47.01	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	15,000	75.14	n/a	28-Aug	600.00	4.0
J L F ASSET MGMT	039	RSH	B	75,000	18.87	n/a	n/a	3,000.00	4.0
COUGAR TRADING	044	SSO	S	10,000	62.19	n/a	n/a	500.00	5.0
CR INTRINIC INVESTMENT	044	STJ	SS	100,000	45.97	n/a	n/a	5,000.00	5.0
NEUBERGER BERMAN	036	SWN	B	6,700	39.45	20-Mar	20-Aug	301.50	4.5
LEDDY	035	TEN	SS	800	15.03	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LUCHANSKY1	036	TEXJJ	B	1	3.67	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	TGT	B	50,000	53.67	n/a	20-Aug	2,500.00	5.0
LEDDY	035	TIN	S	1,400	16.75	29-Apr	30-Jul	0.00	0.0
COUGAR TRADING	044	TWB	B	10,000	10.83	n/a	20-Aug	500.00	5.0
BALYASNY ASSET MGMT	044	WDR	B	35,000	31.84	15-Jul	18-Aug	1,750.00	5.0
STG INVESTORS	041	WY	S	5,000	56.68	n/a	6-Aug	200.00	4.0
KAICHER	064	XCO	B	1,000	26.70	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	S	20,000	8.20	27-Aug	27-Aug	800.00	4.0
TOTAL (Trade Date):								89,621.47	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	08/27	MKT MAKER (MKT MAKER)	099	AEO	B	1	14.55	6-Mar	27-Aug	0.00	0.0
AO,	08/27	NUMERIC (NUMISANVAL)	060	AG	S	600	61.36	n/a	30-Jul	18.00	3.0
AO,	08/27	NUMERIC (NUMICATVEB)	060	AG	S	500	61.36	n/a	30-Jul	15.00	3.0
AO,	08/27	NUMERIC (NUMICAT401)	060	AG	S	600	61.36	n/a	30-Jul	18.00	3.0
AO,	08/27	NUMERIC (NUMIDOZEN)	060	AG	S	2,800	61.36	n/a	30-Jul	84.00	3.0
AO,	08/27	NUMERIC (NUMIKSPAN)	060	AG	S	500	61.36	n/a	30-Jul	15.00	3.0
AO,	08/27	NUMERIC (NUMIKSPANM)	060	AG	S	100	61.36	n/a	30-Jul	3.00	3.0
AO,	08/27	NUMERIC (NUMIPACMED)	060	AG	S	200	61.36	n/a	30-Jul	6.00	3.0
AO,	08/27	NUMERIC (NUMIPACRET)	060	AG	S	2,700	61.36	n/a	30-Jul	81.00	3.0
AO,	08/27	NUMERIC (NUMIBOA)	060	AG	S	3,000	61.36	n/a	30-Jul	90.00	3.0
AO,	08/27	NUMERIC (NUMICATALP)	060	AG	S	600	61.36	n/a	30-Jul	18.00	3.0
AO,	08/27	NUMERIC (NUMISHELGR)	060	AG	S	3,900	61.36	n/a	30-Jul	117.00	3.0
AO,	08/27	NUMERIC (NUMITEA210)	060	AG	S	300	61.36	n/a	30-Jul	9.00	3.0
AO,	08/27	NUMERIC (NUMIGS)	060	AG	S	16,800	61.36	n/a	30-Jul	504.00	3.0
AO,	08/27	NUMERIC (NUMIMORGAN)	060	AG	S	12,900	61.36	n/a	30-Jul	387.00	3.0
AO,	08/27	NUMERIC (NUMICRGHTN)	060	AG	S	100	61.36	n/a	30-Jul	3.00	3.0
AO,	08/27	NUMERIC (NUMIDB)	060	AG	S	1,700	61.36	n/a	30-Jul	51.00	3.0
AO,	08/27	NUMERIC (NUMIPW)	060	AG	S	300	61.36	n/a	30-Jul	9.00	3.0
AO,	08/27	NUMERIC (NUMIBS)	060	AG	S	11,800	61.36	n/a	30-Jul	354.00	3.0
AO,	08/27	SAXON WOODS ADVISORS (SAXODYTRAN)	038	FNM	B	5,000	6.32	n/a	n/a	200.00	4.0
AO,	08/27	SAXON WOODS ADVISORS (SAXODYINNO)	038	FNM	B	5,000	6.32	n/a	n/a	200.00	4.0
AO,	08/27	OTC ERROR (OTC ERROR)	099	NVDA	B	100	13.24	n/a	n/a	0.00	0.0
AO,	08/27	OTC ERROR (OTC ERROR)	098	NVDA	B	4,200	13.23	n/a	n/a	0.00	0.0
AO,CXL	08/26	1798 CAPITAL MGMT (1798MS)	040	RL	S	10,000	72.45	n/a	28-Aug	500.00	5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CRT	08/26	1798 CAPITAL MGMT (1798GS)	040	RL	S	10,000	72.45	n/a 28-Aug	500.00 5.0
TOTAL (AO, CXL, CRT) :									2,182.00
TOTAL (Trade Date) :									89,621.47
TOTAL (AO, CXL, CRT) :									2,182.00
TOTAL (RUN DATE) / NFS :									91,803.47

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION