



Daily Compliance Activity Report

Trade Date: 08/16/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AEO	S	20,000	21.00	n/a	2-Dec	non-brg	4.0
SCOUT/UMB	047	ALTR	B	48,516	36.85	n/a	n/a	1,455.48	0.0
BUCK CAPITAL MGMT		ASNA	S	30,000	18.52	n/a	n/a	non-brg	4.0
BASSWOOD PARTNERS	267	AYI	SS	4,900	62.46	n/a	n/a	196.00	0.0
ANTHONY SUTERA	FID	BALT	B	1000	3.16	n/a	n/a	0.00	0.0
BASSWOOD PARTNERS	267	BECN	SS	11,200	26.42	n/a	n/a	448.00	0.0
BUCK CAPITAL MGMT		BID	SS	10,000	32.34	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BID	SS	10,000	33.11	n/a	n/a	non-brg	4.0
BARBARA S LEDDY	FID	BONT	B	1850	7.04	n/a	n/a	0.00	0.0
JONATHAN RICHARD HAR	FID	BONT	B	1035	7.37	n/a	n/a	0.00	0.0
KAREN F LEDDY	FID	BONT	B	2300	7.07	n/a	n/a	0.00	0.0
SEAN F LEDDY	FID	BONT	B	300	7.20	n/a	n/a	0.00	0.0
THOMAS MACTAGGART LE	FID	BONT	B	600	7.05	n/a	n/a	0.00	0.0
WILLIAM WEINBERG	FID	BTH	B	3000	46.01	n/a	n/a	0.00	0.0
SCOPUS FUND	041	BWA	S	40,000	71.47	n/a	27-Jul	1,600.00	4.0
BUCK CAPITAL MGMT		BYI	SS	10,000	43.84	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BYI	SS	10,000	43.84	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	BYI	SS	12,830	43.84	n/a	n/a	513.20	0.0
ROYCE TRADING	031	B	B	3,000	23.97	n/a	n/a	150.00	0.0
OHIO PERS	047	CBE	S	24,391	73.79	n/a	15-Aug	731.73	0.0
BALYASNY ASSET MGMT	044	CBST	S	7,500	43.14	n/a	n/a	375.00	0.0
JETSTREAM GLOBAL FUND LP	065	CSCO	S	85,000	18.68	n/a	n/a	4,250.00	5.0
BASSWOOD PARTNERS	267	CTAS	SS	2,100	41.36	n/a	n/a	84.00	0.0
SENATOR INVESTMENT GROUP	036	DAL	B	100,000	9.12	n/a	n/a	3,000.00	3.0
⚡ BUCK CAPITAL MGMT		DG	B	20,000	50.98	n/a	27-Jun	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DG	B	20,000	51.00	n/a	27-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DG	B	20,000	51.17	n/a	27-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DG	B	20,000	51.17	n/a	27-Jun	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DLTR	B	22,000	47.14	n/a	16-Aug	non-brg	1.0
⚡ BUCK CAPITAL MGMT		DLTR	B	50,000	47.58	n/a	16-Aug	non-brg	4.0
GLG PARTNERS	034	DLTR	B	33,013	49.50	n/a	16-Aug	990.39	0.0
RIVERPARK CAPITAL	025	DLTR	B	3,000	47.49	n/a	16-Aug	149.25	4.0
NCM CAPITAL MGMT	035	DPS	S	4,275	44.91	n/a	n/a	171.00	0.0
ROYCE TRADING	031	DVR	B	10,000	1.79	n/a	n/a	300.00	0.0
ROYCE TRADING	031	ELY	B	24,000	6.06	n/a	n/a	1,200.00	0.0
f MARC PAUL LUCHANSKY	FID	FAGIX	B	54	9.23	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	FAUDX	B	188	10.08	n/a	n/a	0.00	0.0
BRONSON POINT	036	FB	B	100,000	20.05	n/a	n/a	3,000.00	0.0
f MARC PAUL LUCHANSKY	FID	FCSAX	B	550	12.01	n/a	n/a	0.00	0.0
KAM ADVISORS LLC	041	FCX	B	65,000	35.35	n/a	n/a	1,950.00	0.0
B BUCK CAPITAL MGMT		FDO	B	8,000	63.61	n/a	19-Jul	non-brg	1.0
LEVIN CAPITAL STRATEGIES	041	FDO	B	30,300	64.65	n/a	19-Jul	909.00	0.0
f MARC PAUL LUCHANSKY	FID	FILEX	B	1114	8.35	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FINL	S	20,000	22.93	n/a	29-Jun	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	FKRCX	B	20	30.19	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FL	S	20,000	34.65	n/a	8-Aug	non-brg	4.0
PAW PARTNERS	025	FL	S	7,500	34.67	n/a	8-Aug	375.00	5.0
f MARC PAUL LUCHANSKY	FID	FNSXX	B	1800	1.00	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	FOR	B	10,000	12.29	n/a	15-Aug	400.00	4.0
f MARC PAUL LUCHANSKY	FID	FPCIX	B	313	10.87	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FPIOX	B	203	9.85	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSAMX	B	164	9.16	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	FSCFX	B	179	11.20	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSGFX	B	474	12.86	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FSHBX	B	152	8.57	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FTBFX	B	170	11.17	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FUSOX	B	242	10.35	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	FVSAX	B	399	14.55	n/a	n/a	0.00	0.0
OZ MGMT	040	F	B	100,000	9.64	n/a	2-Aug	3,000.00	0.0
B BUCK CAPITAL MGMT		GIII	B	10,000	26.88	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIII	B	10,000	26.88	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	S	20,000	32.06	n/a	3-Aug	non-brg	4.0
IRIDIAN ASSET MGMT.	036	GLW	S	213,580	11.62	n/a	27-Jul	10,679.00	0.0
PROSPECTOR PARTNERS LLC	036	GLW	B	10,000	11.66	n/a	27-Jul	400.00	4.0
B BUCK CAPITAL MGMT		GME	SS	30,000	16.70	n/a	n/a	non-brg	4.0
CYPRESS CAP MGMT LLC	040	GME	B	36,855	17.15	n/a	n/a	1,474.20	4.0
B BUCK CAPITAL MGMT		HAS	SS	10,000	38.04	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HAS	SS	10,000	38.04	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HBI	S	20,000	32.46	n/a	1-Aug	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	HBI	B	10,000	32.49	n/a	1-Aug	400.00	0.0
BRONSON POINT	036	HOG	B	50,000	42.03	n/a	n/a	1,500.00	0.0
RBC CAPITAL MARKETS	040	HOG	SS	4,000	42.38	n/a	n/a	160.00	4.0
ARSENAL ASSET MANAGEMENT	028	HUBB	B	5,000	81.48	n/a	n/a	200.00	0.0
CSFB GLOBAL PROPRIETARY	041	IP	B	50,000	34.71	n/a	27-Jul	2,500.00	5.0
DC CAPITAL	044	IP	S	45,000	35.10	n/a	27-Jul	1,800.00	4.0
OHIO PERS	047	IR	B	92,000	45.80	n/a	20-Jul	2,760.00	0.0
⌘ BUCK CAPITAL MGMT		JCP	SS	30,000	23.95	n/a	13-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JWN	S	40,000	56.52	n/a	10-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JWN	S	60,000	56.50	n/a	10-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	B	20,000	51.34	n/a	10-Aug	non-brg	4.0
OHIO PERS	047	LII	S	53,494	46.12	n/a	n/a	1,604.82	0.0
CASTLEROCK	040	LOW	SS	5,000	27.46	n/a	10-Aug	200.00	4.0
CSFB GLOBAL PROPRIETARY	041	LPX	SS	10,000	12.98	n/a	31-Jul	500.00	5.0
⌘ BUCK CAPITAL MGMT		LTD	S	20,000	48.63	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	S	20,000	48.63	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	S	30,000	48.48	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTD	S	30,000	48.48	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LULU	C	20,000	60.69	n/a	7-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		LULU	C	20,000	60.69	n/a	7-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LULU	C	30,000	60.63	n/a	7-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LULU	C	30,000	60.63	n/a	7-Jun	non-brg	4.0
ROYCE TRADING	031	LZB	S	3,000	12.79	n/a	n/a	150.00	0.0
NEUBERGER BERMAN	036	MATW	S	5,721	30.06	n/a	n/a	228.84	0.0
CENTURION PARTNERS	041	MSFT	B	20,000	30.64	n/a	n/a	800.00	4.0
ROYCE TRADING	031	MTH	S	1,000	36.50	n/a	n/a	50.00	0.0
RBC CAPITAL MARKETS	040	MTN	SS	6,000	49.93	n/a	n/a	240.00	4.0
BALYASNY ASSET MGMT	044	MYGN	C	5,000	24.13	n/a	n/a	250.00	0.0
BALYASNY ASSET MGMT	044	M	S	10,000	38.58	n/a	9-Aug	500.00	0.0
NEUBERGER BERMAN	036	NLSN	B	10,000	28.73	n/a	n/a	400.00	0.0
CORVEX CAPITAL	041	NLY	S	125,000	16.99	n/a	n/a	3,750.00	0.0
⌘ BUCK CAPITAL MGMT		NUAN	SS	10,000	23.50	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		NUAN	SS	10,000	23.50	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PCLN	SS	1,000	587.76	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PCLN	SS	1,800	587.03	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	PFE	S	20,000	24.04	n/a	n/a	1,000.00	0.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	25,000	32.18	n/a	20-Jul	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
SCOPUS FUND	041	PLCE	B	22,050	55.92	16-Aug	16-Aug	882.00	4.0	
THE JAY GOLDMAN	028	PLCE	SS	10,000	55.23	16-Aug	16-Aug	400.00	4.0	
f MARC PAUL LUCHANSKY	FID	PSFAX	B	81	9.84	n/a	n/a	0.00	0.0	
f MARC PAUL LUCHANSKY	FID	PTRAX	B	123	11.35	n/a	n/a	0.00	0.0	
CSFB GLOBAL PROPRIETARY	041	RKT	B	25,000	65.55	n/a	26-Jul	1,250.00	5.0	
ROYCE TRADING	031	RTEC	B	1,000	9.65	n/a	n/a	50.00	0.0	
RBC CAPITAL MARKETS	040	SAFM	B	4,000	39.73	n/a	n/a	160.00	4.0	
GUGGENHEIM GLOBAL TRADING (GGT)	251	SHAW	B	12,500	41.77		n/a	n/a	250.00	2.0
BRONSON POINT	036	SJM	B	40,000	79.07	n/a	n/a	1,200.00	0.0	
B BUCK CAPITAL MGMT		SKS	SS	100,000	11.80	n/a	n/a	non-brg	4.0	
B BUCK CAPITAL MGMT		SKS	SS	200,000	11.80	n/a	n/a	non-brg	4.0	
f MARC PAUL LUCHANSKY	FID	TPINX	B	45	13.20	n/a	n/a	0.00	0.0	
ROYCE TRADING	031	TWGP	B	5,000	18.59	n/a	n/a	250.00	0.0	
FORTRESS INVESTMENT (US)	036	TYC	B	19,000	57.98	n/a	3-Aug	380.00	0.0	
RBC CAPITAL MARKETS	040	ULTA	SS	3,000	89.06	n/a	n/a	120.00	4.0	
BALYASNY ASSET MGMT	044	URBN	S	15,000	31.26	n/a	n/a	750.00	0.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		URBN	C	20,000	31.27	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		URBN	C	30,000	31.06	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		VCI	SS	10,000	24.27	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		VCI	SS	10,000	24.27	n/a	n/a	non-brg	4.0
	NCM CAPITAL MGMT	035	WFR	S	232,515	2.70	n/a	n/a	9,300.60	0.0
	KAM ADVISORS LLC	041	X	SS	50,000	22.77	n/a	n/a	1,500.00	0.0
f	JOHN M HICKEY	FID	YMI	S	5000	1.97	n/a	n/a	0.00	0.0
TOTAL (Trade Date):									74,537.51	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	08/15	NEUBERGER BERMAN	036	AIG	B	52,000	33.96	n/a	n/a	2080.00 0.0
AO,CXL	08/15	NEUBERGER BERMAN	036	AIG	B	52,000	33.96	n/a	n/a	2080.00 0.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	74,537.51
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	74,537.51
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 16-Aug.

PLCE

MRI Securities without Trade Activity on 16-Aug.

MON

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)