



Daily Compliance Activity Report

Trade Date: 08/27/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	B	36,000	14.48	6-Mar	27-Aug	1,440.00	4.0
HEALY CIRCLE	044	AFL	B	15,000	54.54	n/a	n/a	750.00	5.0
COOKBIELER	028	ALV	B	12,250	38.20	23-Jul	30-Jul	490.00	4.0
BUCK	015	ALV	C	1,000	38.13	23-Jul	30-Jul	0.00	0.0
COUGAR TRADING	044	AN	B	5,000	11.66	25-Jul	28-Jul	250.00	5.0
BUCK CAPITAL MGMT		APP	B	20,000	8.89	n/a	n/a	non-brg	4.0
BUCK	015	ARM	SS	1,500	15.31	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ARO	B	10,000	33.89	28-Apr	22-Aug	500.00	5.0
DECADE CAPITAL PARTNERS	040	BANR	SS	40,000	10.12	n/a	n/a	2,000.00	5.0
KORMAN	003	BBBY	SS	3,000	30.39	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	BNI	B	11,000	104.87	n/a	n/a	550.00	5.0
ZOLIDIS	011	BWS	C	1,500	15.03	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	CCE	B	20,000	16.93	n/a	n/a	800.00	4.0
ROTHSCHILD ASSET	040	CKP	S	32,910	21.53	n/a	n/a	1,480.95	4.5
BUCK CAPITAL MGMT		COLM	C	1,800	39.46	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		COLM	C	8,200	40.23	n/a	n/a	non-brg	4.0
MATRIX ASSET ADV.	036	COV	S	22,700	55.14	n/a	n/a	908.00	4.0
PROSPECTOR PARTNERS LLC	036	CPN	B	10,000	18.29	n/a	n/a	400.00	4.0
CATAPULT	040	C	SS	5,000	18.14	19-Jun	15-Aug	250.00	5.0
PROSPECTOR PARTNERS LLC	036	DD	B	10,000	44.17	n/a	22-Jul	400.00	4.0
JPMSI/TOM LEDDY	035	DSW	S	15,000	15.18	8-May	8-Aug	750.00	5.0
S CAST	016	EPG	B	100	3.30	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FL+JW	SS	1,000	0.52	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FL+KW	SS	1,000	0.85	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FOSL	B	22,000	29.45	n/a	13-Aug	non-brg	4.0
WEISS PECK	040	FRED	C	28,700	14.00	n/a	27-Mar	1,435.00	5.0
BUCK CAPITAL MGMT	001	GES	B	25,000	36.36	n/a	n/a	1,000.00	4.0
LEDDY	035	GIL	B	500	22.33	14-Aug	14-Aug	0.00	0.0
LEDDY	035	GOOG	B	40	469.75	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GPI	SS	10,000	21.81	10-Jun	30-Jul	500.00	5.0
⌘ BUCK CAPITAL MGMT		GPS	C	50,000	19.24	n/a	22-Aug	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	GPS	B	20,000	19.20	n/a	22-Aug	1,000.00	5.0
KAICHER	064	HK	S	1,000	36.56	n/a	n/a	0.00	0.0
EFSTATHIOU	044	HRS	B	200	52.20	2-Jun	6-Aug	0.00	0.0
COUGAR TRADING	044	JCG	B	5,000	24.00	n/a	27-Aug	250.00	5.0
THE JAY GOLDMAN	034	JCP	S	25,000	37.57	n/a	15-Aug	1,000.00	4.0
KORMAN	003	JNY	SS	2,000	19.02	n/a	30-Jul	0.00	0.0
THE JAY GOLDMAN	034	KSS	B	20,000	48.11	n/a	15-Aug	800.00	4.0
BUCK	015	KSS	SS	1,200	48.40	n/a	15-Aug	0.00	0.0
B BUCK CAPITAL MGMT		LL	B	24,600	13.00	n/a	n/a	non-brg	2.5
KAICHER	064	MS	B	2,000	39.15	15-Aug	15-Aug	0.00	0.0
KAICHER	064	NOG	B	3,000	7.75	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	NSC	B	25,900	71.56	n/a	n/a	1,295.00	5.0
IRIDIAN ASSET MGMT.	036	NVDA	B	204,950	13.23	n/a	n/a	10,247.50	5.0
KORMAN	003	NWY	SS	2,000	11.28	n/a	15-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	B	10,000	22.33	n/a	n/a	400.00	4.0
KERN	050	PBR	B	200	53.38	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	PEP	B	700	69.10	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PERY	S	10,000	16.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PERY	S	13,000	16.61	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PERY	S	40,000	16.54	n/a	n/a	non-brg	1.0
DECADE CAPITAL PARTNERS	040	PFG	B	15,000	42.98	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	PVH	S	22,000	36.63	5-May	22-Aug	880.00	4.0
BUCK	015	RAH	B	1,000	60.05	n/a	n/a	0.00	0.0
S CAST	016	RMIX	S	55	4.48	n/a	n/a	0.00	0.0
IRIDIAN ASSET MGMT.	036	ROC	B	71,420	37.85	n/a	n/a	3,571.00	5.0
TROUBH PART	067	SLB	B	10,000	98.36	n/a	n/a	500.00	5.0
ROSEDALE CAPITAL	046	SLM	B	10,000	15.63	27-Aug[i]	27-Aug	500.00	5.0
TRUGENT CAPITAL	031	SLM	B	75,000	15.56	27-Aug[i]	27-Aug	3,000.00	4.0
CARDINAL CAPITAL	044	SSI	S	25,000	16.00	n/a	22-Aug	1,250.00	5.0
COUGAR TRADING	044	SSO	B	10,000	61.04	n/a	n/a	500.00	5.0
COUGAR TRADING	044	SSO	S	10,000	61.31	n/a	n/a	500.00	5.0
HOTCHKIS & WILEY	035	SWY	B	900,000	25.86	n/a	n/a	45,000.00	5.0
CATAPULT	040	TCB	SS	5,000	14.64	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GLG PARTNERS	034	TIF	B	75,000	39.77	n/a	27-Aug	3,750.00	5.0
KERN	050	TIN	S	1,000	16.50	29-Apr	30-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		TPX+LV	SS	2,300	1.30	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		TPX	B	230,000	10.30	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		TRLG	B	70,000	25.99	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ULTA	S	50,000	11.36	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WRC	S	45,000	50.29	8-Aug	8-Aug	non-brg	4.0
KORMAN	003	WWW	SS	3,000	25.70	n/a	13-Mar	0.00	0.0
KAICHER	064	XCO	S	1,000	27.78	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	S	20,000	8.58	27-Aug	27-Aug	800.00	4.0
TOTAL (Trade Date):								90,147.45	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/26	VISIUM/BALY HOWARD	038	CHS	B	50,000	5.22	8-Aug	26-Aug	2000.00 4.0
AO,CXL	08/26	VISIUM/BALY HOWARD	038	CHS	B	50,000	5.22	8-Aug	26-Aug	2500.00 5.0
AO,CXL	08/26	CARDINAL CAPITAL	044	SSI	S	10,100	16.00	n/a	22-Aug	505.00 5.0
AO,CRT	08/26	CARDINAL CAPITAL	044	SSI	S	10,100	16.00	n/a	22-Aug	505.00 5.0
TOTAL (AO, CXL, CRT) :										-500.00

TOTAL (Trade Date) :	90,147.45
TOTAL (AO, CXL, CRT) :	-500.00

TOTAL (RUN DATE) / NFS:	89,647.45
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MRI Securities with Trade Activity on 27-Aug.
SLM, ZQK

MRI Securities without Trade Activity on 27-Aug.
CIT

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 12%
YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION