



Daily Compliance Activity Report

Trade Date: 12/19/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	AAI	S	5,000	7.83	n/a	n/a	0.00	0.0
BARR	096	AAI	S	2,600	7.91	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	ADM	S	5,000	41.54	17-Dec	17-Dec	250.00	5.0
HIGHBRIDGE CAPITAL	038	ADP	SS	26,000	44.71	n/a	n/a	1,300.00	5.0
MAGUIRE	090	AMR	S	4,000	15.42	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	ANN	B	10,600	27.40	6-Aug	7-Dec	530.00	5.0
KERN	050	AXE	B	200	61.09	9-Oct	28-Nov	0.00	0.0
BUCK CAPITAL MGMT		BONT	S	43,000	11.02	9-Nov	7-Dec	non-brg	4.0
BUCK CAPITAL MGMT		BWLD	SS	10,000	26.88	n/a	n/a	non-brg	4.0
MTB INV ADV	034	CACH	S	53,830	10.87	n/a	n/a	2,691.50	5.0
ARIENCE CAPITAL	036	CBS	SS	126,000	25.85	n/a	n/a	6,300.00	5.0
BUCK	015	CELG	SS	400	47.84	n/a	n/a	0.00	0.0
WHEELER	008	CFCPRA	S	900	11.86	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		CHCG	S	35,000	2.91	n/a	n/a	non-brg	3.0
BUCK	015	CMA	SS	1,200	42.07	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAGE ASSET MGMT	012	CMC	B	35,000	29.61	n/a	n/a	1,750.00	5.0
BUCK CAPITAL MGMT	001	COH	B	20,000	30.13	24-Oct	24-Oct	800.00	4.0
MATTHES CAPITAL MGMT	033	COH	SS	10,000	31.00	24-Oct	24-Oct	500.00	5.0
SENTINAL CAPITAL	067	COH	S	13,700	29.83	24-Oct	24-Oct	685.00	5.0
B BUCK CAPITAL MGMT		COST	SS	40,000	67.95	n/a	27-Jul	non-brg	4.0
DSG CAPITAL	225	CPNLQ	B	50,000	0.30	29-Jun	29-Jun	2,500.00	5.0
MERRILL ASSET	032	CRR	B	8,800	37.06	25-Oct	30-Nov	396.00	4.5
B BUCK CAPITAL MGMT		CTRN	S	20,000	14.74	n/a	n/a	non-brg	4.0
SENTINAL CAPITAL	067	CY	B	14,300	35.40	n/a	n/a	715.00	5.0
SAGE ASSET MGMT	012	DE	B	43,900	83.79	n/a	n/a	2,195.00	5.0
BARR	096	DFS	SS	800	15.99	n/a	n/a	0.00	0.0
WHEELER	008	DGTC	S	10,000	2.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DRI	B	30,000	29.56	n/a	24-Apr	1,200.00	4.0
LEDDY	035	EFR	B	800	15.44	n/a	n/a	0.00	0.0
LEDDY	035	EFT	S	200	15.86	n/a	n/a	0.00	0.0
KERN	050	EFT	B	1,000	15.95	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		EL	SS	50,000	43.03	25-Oct	17-Dec	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	EL	SS	3,700	43.86	25-Oct	17-Dec	185.00	5.0
IRON HORSE CAPITAL MGMT	038	EXH	S	7,500	76.20	n/a	28-Nov	375.00	5.0
WHEELER	008	EXTR	S	2,457	3.51	n/a	22-Mar	0.00	0.0
REINHART & MAHONEY	031	FSP	S	3,000	15.19	n/a	n/a	150.00	5.0
NEEDHAM CAP	067	GES	B	5,000	41.68	n/a	n/a	200.00	4.0
LISTED ERR	099	GES	B	4,100	41.89	n/a	n/a	0.00	0.0
LISTED ERR	099	GES	S	4,100	42.01	n/a	n/a	0.00	0.0
U.S.TRUST COMPANY	012	GLW	B	37,800	23.50	n/a	6-Dec	1,701.00	4.5
MAGNETAR FINANCIAL	247	GMT	B	30,000	36.96	n/a	n/a	600.00	2.0
B BUCK CAPITAL MGMT		HSTX	S	7,500	16.54	n/a	n/a	non-brg	4.0
EIDELMAN,FINGER & CO	046	INTC	S	4,000	26.18	n/a	n/a	200.00	5.0
GEORGE WEISS	034	IP	SS	10,000	32.19	n/a	5-Nov	400.00	4.0
COMMISSUM CAPITAL	067	IVZ	B	15,000	29.45	20-Dec	19-Dec	750.00	5.0
REINHART & MAHONEY	031	IVZ	S	45,180	29.30	20-Dec	19-Dec	2,259.00	5.0
SAB CAPITAL	034	IWM	B	67,500	75.27	n/a	n/a	3,375.00	5.0
BUCK CAPITAL MGMT	001	JAH	B	20,000	24.92	n/a	n/a	800.00	4.0
MATTHES CAPITAL MGMT	033	JCG	SS	50,000	47.41	28-Nov	30-Nov	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BACKUS	005	JCP	S	3,000	43.47	15-Nov	7-Dec	0.00	0.0
MAGUIRE	090	JCP	S	2,000	43.78	15-Nov	7-Dec	0.00	0.0
⌘ BUCK CAPITAL MGMT		JNY	C	11,323	16.32	n/a	1-Nov	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JNY	C	30,000	16.42	n/a	1-Nov	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JWN	S	90,000	35.13	20-Nov	7-Dec	non-brg	4.0
BUCK CAPITAL MGMT	001	KMX	SS	10,000	20.13	n/a	10-Jan	400.00	4.0
MAGUIRE	090	KOG	S	5,000	1.63	n/a	n/a	0.00	0.0
COUGAR TRADING	044	KSS	B	10,000	46.02	9-Nov	7-Dec	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	KSS	B	15,000	45.62	9-Nov	7-Dec	600.00	4.0
AMBER CAPITAL	046	LEAP	S	180,000	39.11	n/a	n/a	7,200.00	4.0
BULL PATH CAPITAL	044	LTD	B	50,000	18.55	n/a	7-Dec	2,500.00	5.0
BARR	096	MZZ	S	200	56.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	M	B	20,000	26.68	9-Nov	7-Dec	1,000.00	5.0
STELLIAM INV ADV	040	M	B	15,000	26.72	9-Nov	7-Dec	750.00	5.0
MAGUIRE	090	M	S	4,000	26.61	9-Nov	7-Dec	0.00	0.0
BACKUS	005	NLS	S	3,000	6.21	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		OIH	C	25,000	179.80	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	PAG	S	40,000	18.31	n/a	12-Dec	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		PAG	S	20,000	18.51	n/a	12-Dec	non-brg	2.0
⌘ BUCK CAPITAL MGMT		PAG	S	22,300	18.30	n/a	12-Dec	non-brg	1.0
FRONTPOINT	044	PBR	B	91,000	105.26	n/a	n/a	3,185.00	3.5
HIGHBRIDGE CAPITAL	038	PCL	B	30,000	45.01	n/a	23-Oct	1,500.00	5.0
BUCK	015	PHRM	B	1,000	61.60	n/a	n/a	0.00	0.0
COUGAR TRADING	044	PSUN	B	10,000	14.46	n/a	7-Dec	500.00	5.0
⌘ BUCK CAPITAL MGMT		PTT	S	5,000	12.02	n/a	n/a	non-brg	1.0
WASHINGTON ASSET	048	QCOM	S	8,000	38.00	n/a	n/a	400.00	5.0
COUGAR TRADING	044	RL	B	10,000	63.23	8-Nov	8-Nov	500.00	5.0
⌘ BUCK CAPITAL MGMT		RVI	B	200,000	5.02	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		S4RETL	SS	65,000	363.38	n/a	n/a	non-brg	36.3
BUCK	015	SBUX	B	1,000	20.04	n/a	24-Apr	0.00	0.0
MKT MAKER	099	SBUX	B	100	20.00	n/a	24-Apr	0.00	0.0
CATAPULT	040	SCHW	S	5,000	24.20	n/a	n/a	250.00	5.0
US BANCORP	030	SKX	B	32,144	19.47	n/a	13-Mar	1,607.20	5.0
HEALY CIRCLE	044	SLM	S	50,000	27.94	n/a	n/a	2,500.00	5.0
BREEDEN CAP MGMT	036	SPY	B	100,000	145.05	n/a	n/a	4,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	SSE	S	10,000	7.52	n/a	n/a	0.00	0.0
SEMINOLE CAP	036	STI	B	120,000	64.01	n/a	n/a	6,000.00	5.0
BARR	096	SYNP	B	3,200	4.95	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	TAP	S	5,000	50.65	n/a	n/a	250.00	5.0
STRATTON	N21	TECUA	C	200	22.00	n/a	n/a	0.00	0.0
GEORGE WEISS	034	TIN	B	10,000	30.75	11-Dec	11-Dec	400.00	4.0
IRONBOUND CAPITAL	012	TIN	B	10,000	30.75	11-Dec	11-Dec	500.00	5.0
BACKUS	005	TIN	B	1,000	30.68	11-Dec	11-Dec	0.00	0.0
WHEELER	008	TIN	B	6,000	30.78	11-Dec	11-Dec	0.00	0.0
B BUCK CAPITAL MGMT		TSCO	SS	10,000	38.01	n/a	n/a	non-brg	1.0
PAKLER	N22	TT	S	19	45.56	17-Dec	17-Dec	0.00	0.0
GALLEON MGMT LP	033	TUP	S	5,000	33.03	n/a	n/a	250.00	5.0
MAGNETAR FINANCIAL	247	UFS	S	130,000	7.58	n/a	n/a	3,900.00	3.0
B BUCK CAPITAL MGMT		URBN	S	20,000	26.59	9-Nov	7-Dec	non-brg	4.0
BUCK	015	USB	B	2,000	31.89	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	SS	10,000	81.01	n/a	n/a	400.00	4.0
BUCK	015	WFMI	SS	800	41.02	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAB CAPITAL	034	WG	S	125,000	35.56	n/a	n/a	6,250.00	5.0
BUCK	015	WMUTP	S	75	935.50	n/a	n/a	0.00	0.0
ROBX	302	WU	B	85,000	23.41	n/a	n/a	3,400.00	4.0
LEVIN CAPITAL STRATEGIES	012	WYN	S	94,381	24.45	n/a	n/a	2,831.43	3.0
SEMINOLE CAP	036	XLF	S	120,000	29.07	n/a	n/a	6,000.00	5.0
BUCK CAPITAL MGMT	001	YUM	SS	50,000	38.01	n/a	24-Apr	2,000.00	4.0
COUGAR TRADING	044	ZQK	B	5,000	8.91	n/a	18-Dec	250.00	5.0
B BUCK CAPITAL MGMT		ZUMZ	S	20,800	22.87	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):								96,731.13	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	12/18	MARK ASSETMGMT (SR)	040	CFC	B	50,000	9.26	n/a	n/a	2500.00 5.0
AO,CRT	12/18	MARK/JETCAP	030	CFC	B	50,000	9.26	n/a	n/a	2500.00 5.0
AO,	12/18	BAIRD INVESTMENT	031	HON	B	65	60.77	9-Oct	19-Oct	3.25 5.0
AO,CXL	12/18	CAPITAL GROWTH MGMT.	241	MHS	B	10,000	99.04	n/a	n/a	500.00 5.0
AO,CRT	12/18	CAPITAL GROWTH MGMT.	241	MHS	B	10,000	99.04	n/a	n/a	500.00 5.0
AO,CXL	12/18	SERENGETI MGMT	030	PRU	B	25,000	92.91	n/a	n/a	1000.00 4.0
AO,CRT	12/18	SERENGETI MGMT	030	PRU	B	25,000	92.91	n/a	n/a	1250.00 5.0
AO,CXL	12/18	MARK ASSETMGMT (SR)	040	QQQQ	SS	240,000	49.28	n/a	n/a	7200.00 3.0
AO,CRT	12/18	MARK/JETCAP	030	QQQQ	SS	240,000	49.28	n/a	n/a	7200.00 3.0
AO,CRT	12/18	BUCK CAPITAL MGMT	001	RVI	B	100,000	4.94	n/a	n/a	3000.00 3.0
AO,CXL	12/18	BUCK CAPITAL MGMT	001	RVI	B	100,000	4.94	n/a	n/a	4000.00 4.0
AO,CXL	12/18	&MIL	028	STI	S	50,000	64.06	n/a	n/a	2500.00 5.0
AO,CRT	12/18	MILLENIUM PARTNERS	028	STI	S	50,000	64.06	n/a	n/a	2500.00 5.0
AO,CRT	12/17	DIAMONDBACK CAP MGMT	232	T	S	50,000	40.75	21-Sep	12-Dec	2500.00 5.0
AO,CXL	12/17	DIAMONDBACK CAP MGMT	232	T	S	50,000	40.75	21-Sep	12-Dec	2500.00 5.0
AO,CRT	12/18	SONAR CAP MGMT	039	WDC	SS	80,000	29.01	n/a	n/a	4000.00 5.0
AO,CXL	12/18	SONAR CAP MGMT	039	WDC	SS	80,000	29.01	n/a	n/a	0.00 0.0
AO,CRT	12/18	SERENGETI MGMT	030	WY	B	50,000	70.71	1-Nov	1-Nov	2500.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CXL	12/18	SERENGETI MGMT	030	WY	B	50,000	70.71	1-Nov 1-Nov	2000.00 4.0

TOTAL (AO, CXL, CRT): 3,753.25

TOTAL (Trade Date): 96,731.13

TOTAL (AO, CXL, CRT): 3,753.25

TOTAL (RUN DATE) / NFS: 100,484.38

MRI Securities with Trade Activity on 19-Dec.
NONE

MRI Securities without Trade Activity on 19-Dec.
BBW, PZN

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 13%
YTD = 21%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION