

Daily Compliance Activity Report Trade Date: 03/15/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
ROBX	302	AAP	В	22,000	37.94	n/a	n/a	660.00	3.0
BALYASNY ASSET MGMT	044	AB	В	10,000	84.00	5-Mar	9-Mar	500.00	5.0
PATTEN & PATTEN	067	AB	В	250	83.65	5-Mar	9-Mar	12.50	5.0
GOLD SACHS SPECIAL SIT	037	ACPW	SS	50,000	1.87	n/a	n/a	2,500.00	5.0
GOLD SACHS SPECIAL SIT	037	ADM	SS	35,000	33.64	n/a	n/a	1,750.00	5.0
CIBC ADV.	060	ALO	SS	20,000	24.18	n/a	n/a	800.00	4.0
BALYASNY ASSET MGMT	044	AMG	В	10,000	107.51	5-Mar	5-Mar	500.00	5.0
SUNOVA CAPITAL	031	AMG	В	20,000	107.40	5-Mar	5-Mar	1,000.00	5.0
BUCK CAPITAL MGMT	001	ANF	В	5,000	73.11	2-Feb	9-Mar	200.00	4.0
THE JAY GOLDMAN	034	ARO	SS	20,000	38.81	23-Oct	12-Mar	800.00	4.0
MAZAMA CAPITAL MGMT.	035	ATW	S	41,200	51.37	n/a	n/a	1,648.00	4.0
GOLD SACHS SPECIAL SIT	037	AVR	SS	60,000	14.91	n/a	n/a	3,000.00	5.0
BUCK CAPITAL MGMT	001	BBW	S	10,000	26.97	8-Jan	21-Feb	400.00	4.0
BALYASNY ASSET MGMT	044	BEN	В	10,000	117.26	9-Nov	15-Mar	500.00	5.0
DENVER INST. ADV	046	BEN	S	7,690	117.01	9-Nov	15-Mar	384.50	5.0
AAD CAPITAL	048	BIG	SS	60,000	30.98	9-Mar	12-Mar	2,400.00	4.0

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Account	RR #	Symbol	в/:	s	Shares	Price	MRI	Research	Comm.	Shr.	
CENTURION PARTNERS	233	BONT	В		5,000	54.71	9-Mar	9-Mar	250.00	5.0	
COUGAR TRADING	044	BONT		SS	10,000	54.04	9-Mar	9-Mar	500.00	5.0	
ZOLIDIS	011	BOOT		s	103	15.05	n/a	n/a	0.00	0.0	
REINHART & MAHONEY	031	BRCD	В		33,290	9.80	n/a	n/a	1,664.50	5.0	
AELTUS/ING IVESTMENT MGT	237	BRCM	В		112,400	34.21	n/a	n/a	4,496.00	4.0	
BEAR STEARNS ASSET MGMT.	033	CAKE		S	40,700	27.78	7-Feb	7-Feb	1,628.00	4.0	
REINHART & MAHONEY	031	CAKE	В		8,460	27.75	7-Feb	7-Feb	423.00	5.0	
VISIUM/BALY HOWARD	038	СВК		SS	15,000	17.77	11-Dec	9-Mar	750.00	5.0	
RAMIUS CAP	040	CBS		S	100,000	30.19	n/a	n/a	5,000.00	5.0	
SUTERA	160	CC	В		1,000	17.82	20-Dec	23-Feb	0.00	0.0	
LEDDY	035	CFC	В		300	35.43	n/a	n/a	0.00	0.0	
GOLD SACHS SPECIAL SIT	037	CIG		SS	25,000	47.10	n/a	n/a	1,250.00	5.0	
BANK OF NEW YORK	040	CIT	В		10,000	52.35	n/a	n/a	450.00	4.5	
BUCK CAPITAL MGMT	001	CLE	В		50,000	30.90	3-Nov	9-Mar	2,000.00	4.0	
GOLD SACHS SPECIAL SIT	037	CLRK		SS	20,000	17.47	n/a	n/a	1,000.00	5.0	
AELTUS/ING IVESTMENT MGT	237	CLX		S	95,000	62.17	5-Dec	2-Feb	3,800.00	4.0	

Account	RR #	Symbol	в/	s	Shares	Price	MRI	Research	Comm.	\$/Shr.	
SUN AMERICA ASSET MGMT	030	CMX	В		29 , 700	61.44	n/a	n/a	1,485.00	5.0	
BUCK CAPITAL MGMT	001	CRI		s	20,000	24.64	n/a	n/a	800.00	4.0	
SUN AMERICA ASSET MGMT	030	CVH		S	25,400	55.08	n/a	n/a	1,270.00	5.0	
SANDELL ADV	040	CVS		SS	750 , 000	32.83	n/a	n/a	37,500.00	5.0	
SENECA CAPITAL LP NY	028	С	В		84,000	49.65	11-Jan	13-Mar	4,200.00	5.0	
IRON HORSE CAPITAL MGMT	038	DLIA	В		6,000	9.35	n/a	n/a	300.00	5.0	
CHILTON CAPITAL MGMT.	036	DSL	В		20,000	64.21	n/a	n/a	800.00	4.0	
GOLD SACHS SPECIAL SIT	037	ELON		SS	45,000	9.50	n/a	n/a	2,250.00	5.0	
GOLD SACHS SPECIAL SIT	037	ENS		SS	20,000	16.59	n/a	n/a	1,000.00	5.0	
LEDDY	035	EVST	В		700	17.75	n/a	n/a	0.00	0.0	
BARON ASSET MGMT*	012	EV	В		16,500	34.29	2-Nov	5-Mar	825.00	5.0	
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DEMARTINI	065	FBR	В		2,000	5.11	n/a	n/a	0.00	0.0	
GOLD SACHS SPECIAL SIT	037	FPL		SS	20,000	59.12	n/a	n/a	1,000.00	5.0	
GOLD SACHS SPECIAL SIT	037	FSYS		SS	25,000	18.40	n/a	n/a	1,250.00	5.0	
GOLD SACHS SPECIAL SIT	037	FTEK		SS	40,000	26.42	n/a	n/a	2,000.00	5.0	
	SUN AMERICA ASSET MGMT BUCK CAPITAL MGMT SUN AMERICA ASSET MGMT SANDELL ADV SENECA CAPITAL LP NY IRON HORSE CAPITAL MGMT CHILTON CAPITAL MGMT. GOLD SACHS SPECIAL SIT GOLD SACHS SPECIAL SIT LEDDY BARON ASSET MGMT* U.S.TRUST COMPANY DEMARTINI GOLD SACHS SPECIAL SIT GOLD SACHS SPECIAL SIT	BUCK CAPITAL MGMT 001 SUN AMERICA ASSET MGMT 030 SANDELL ADV 040 SENECA CAPITAL LP NY 028 IRON HORSE CAPITAL MGMT 038 CHILTON CAPITAL MGMT. 036 GOLD SACHS SPECIAL SIT 037 LEDDY 035 BARON ASSET MGMT* 012 U.S.TRUST COMPANY 012 DEMARTINI 065 GOLD SACHS SPECIAL SIT 037 GOLD SACHS SPECIAL SIT 037	SUN AMERICA ASSET MGMT 030 CMX BUCK CAPITAL MGMT 001 CRI SUN AMERICA ASSET MGMT 030 CVH SANDELL ADV 040 CVS SENECA CAPITAL LP NY 028 C IRON HORSE CAPITAL MGMT 038 DLIA CHILTON CAPITAL MGMT. 036 DSL GOLD SACHS SPECIAL SIT 037 ENS LEDDY 035 EVST BARON ASSET MGMT* 012 EV U.S.TRUST COMPANY 012 FBR DEMARTINI 065 FBR GOLD SACHS SPECIAL SIT 037 FPL GOLD SACHS SPECIAL SIT 037 FPL	BUCK CAPITAL MGMT 001 CRI SUN AMERICA ASSET MGMT 0001 CVH SANDELL ADV 040 CVS SENECA CAPITAL LP NY 028 C B IRON HORSE CAPITAL MGMT 038 DLIA B CHILTON CAPITAL MGMT. 036 DSL B GOLD SACHS SPECIAL SIT 037 ELON GOLD SACHS SPECIAL SIT 037 ENS LEDDY 035 EVST B BARON ASSET MGMT* 012 EV B U.S.TRUST COMPANY 012 FBR B GOLD SACHS SPECIAL SIT 037 FPL GOLD SACHS SPECIAL SIT 037 FPL GOLD SACHS SPECIAL SIT 037 FPL GOLD SACHS SPECIAL SIT 037 FSYS	BUCK CAPITAL MGMT SUN AMERICA ASSET MGMT O01 CRI S SUN AMERICA ASSET MGMT O30 CVH S SANDELL ADV O40 CVS SS SENECA CAPITAL LP NY O28 C B IRON HORSE CAPITAL MGMT O36 DSL B CHILTON CAPITAL MGMT O37 ELON SS GOLD SACHS SPECIAL SIT O37 ENS SS LEDDY O35 EVST B U.S.TRUST COMPANY DEMARTINI O65 FBR B GOLD SACHS SPECIAL SIT O37 FPL SS GOLD SACHS SPECIAL SIT O37 FPL SS GOLD SACHS SPECIAL SIT O37 FPL SS SS GOLD SACHS SPECIAL SIT O37 FPL SS SS SS SS SS SS SS SS SS	BUCK CAPITAL MGMT O01 CRI S 20,000 SUN AMERICA ASSET MGMT O02 SUN AMERICA ASSET MGMT O040 CVS SS 750,000 SENECA CAPITAL LP NY O28 C B 84,000 IRON HORSE CAPITAL MGMT O36 DSL B 20,000 GOLD SACHS SPECIAL SIT O37 ELON SS 25,400 CHILTON CAPITAL MGMT O38 DLIA B 6,000 CHILTON CHILTON CAPITAL MGMT O36 DSL B 20,000 LEDDY O35 EVST B 700 BARON ASSET MGMT* O12 EV B 16,500 U.S.TRUST COMPANY DEMARTINI O65 FBR B 2,000 GOLD SACHS SPECIAL SIT O37 FPL SS 20,000 GOLD SACHS SPECIAL SIT O37 FPL SS 20,000 GOLD SACHS SPECIAL SIT O37 FPL SS 20,000 GOLD SACHS SPECIAL SIT O37 FPL SS SS 25,000	BUCK CAPITAL MGMT 030 CMX B 29,700 61.44 BUCK CAPITAL MGMT 001 CRI S 20,000 24.64 SUN AMERICA ASSET MGMT 030 CVH S 25,400 55.08 SANDELL ADV 040 CVS SS 750,000 32.83 SENECA CAPITAL LP NY 028 C B 84,000 49.65 IRON HORSE CAPITAL MGMT 038 DLIA B 6,000 9.35 CHILTON CAPITAL MGMT. 036 DSL B 20,000 64.21 GOLD SACHS SPECIAL SIT 037 ELON SS 45,000 9.50 GOLD SACHS SPECIAL SIT 037 ENS SS 20,000 16.59 LEDDY 035 EVST B 700 17.75 BARON ASSET MGMT* 012 EV B 16,500 34.29 U.S.TRUST COMPANY 012 FBR S 330,000 5.25 DEMARTINI 065 FBR B 2,000 59.12 GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12 GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12	SUN AMERICA ASSET MGMT 030 CMX B 29,700 61.44 n/a BUCK CAPITAL MGMT 001 CRI S 20,000 24.64 n/a SUN AMERICA ASSET MGMT 030 CVH S 25,400 55.08 n/a SANDELL ADV 040 CVS SS 750,000 32.83 n/a SENECA CAPITAL LP NY 028 C B 84,000 49.65 11-Jan IRON HORSE CAPITAL MGMT 038 DLIA B 6,000 9.35 n/a CHILTON CAPITAL MGMT. 036 DSL B 20,000 64.21 n/a GOLD SACHS SPECIAL SIT 037 ENS SS 20,000 16.59 n/a BARON ASSET MGMT* 012 EV B 16,500 34.29 2-Nov U.S.TRUST COMPANY 012 FBR S 3330,000 5.25 n/a GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12 n/a GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12 n/a GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12 n/a GOLD SACHS SPECIAL SIT 037 FPL SS 25,000 18.40 n/a	SUN AMERICA ASSET MGMT 030 CMX B 29,700 61.44 n/a n/a BUCK CAPITAL MGMT 001 CRI S 20,000 24.64 n/a n/a SUN AMERICA ASSET MGMT 030 CVH S 25,400 55.08 n/a n/a SUN AMERICA ASSET MGMT 030 CVH S 25,400 55.08 n/a n/a SANDELL ADV 040 CVS SS 750,000 32.83 n/a n/a SENECA CAPITAL LP NY 028 C B 84,000 49.65 11-Jan 13-Mar IRON HORSE CAPITAL MGMT 038 DLIA B 6,000 9.35 n/a n/a CHILTON CAPITAL MGMT. 036 DSL B 20,000 64.21 n/a n/a GOLD SACHS SPECIAL SIT 037 ELON SS 45,000 9.50 n/a n/a GOLD SACHS SPECIAL SIT 037 ENS SS 20,000 16.59 n/a n/a LEDDY 035 EVST B 700 17.75 n/a n/a BARON ASSET MGMT* 012 EV B 16,500 34.29 2-Nov 5-Mar U.S. TRUST COMPANY 012 FER S 330,000 5.25 n/a n/a DEMARTINI 065 FBR B 2,000 5.11 n/a n/a GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12 n/a n/a GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12 n/a n/a GOLD SACHS SPECIAL SIT 037 FPL SS 25,000 18.40 n/a n/a	SUN AMERICA ASSET MGMT 030 CMX B 29,700 61.44 n/a n/a 1,485.00 BUCK CAPITAL MGMT 001 CRI S 20,000 24.64 n/a n/a 800.00 SUN AMERICA ASSET MGMT 030 CVH S 25,400 55.08 n/a n/a 1,270.00 SANDELL ADV 040 CVS SS 750,000 32.83 n/a n/a n/a 37,500.00 SENECA CAPITAL LP NY 028 C B 84,000 49.65 11-Jan 13-Mar 4,200.00 IRON HORSE CAPITAL MCMT 038 DLIA B 6,000 9.35 n/a n/a n/a 300.00 CHILTON CAPITAL MGMT. 036 DSL B 20,000 64.21 n/a n/a 800.00 GOLD SACHS SPECIAL SIT 037 ELON SS 45,000 9.50 n/a n/a 2,250.00 GOLD SACHS SPECIAL SIT 037 ENS SS 20,000 16.59 n/a n/a 1,000.00 LEDDY 035 EVST B 700 17.75 n/a n/a 0.00 BARON ASSET MGMT* 012 EV B 16,500 34.29 2-Nov 5-Mar 825.00 U.S.TRUST COMPANY 012 FBR S 330,000 5.25 n/a n/a n/a 0.00 COLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12 n/a n/a 1,000.00 GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12 n/a n/a 1,000.00 GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 59.12 n/a n/a 1,000.00	SUN AMERICA ASSET MGMT 030 CMX B 29,700 61.44 n/a n/a 1,485.00 5.0 BUCK CAPITAL MGMT 001 CRI S 20,000 24.64 n/a n/a 800.00 4.0 SUN AMERICA ASSET MGMT 030 CVH S 25,400 55.08 n/a n/a 1,270.00 5.0 SANDELL ADV 040 CVS SS 750,000 32.83 n/a n/a 37,500.00 5.0 SENECA CAPITAL LP NY 028 C B 84,000 49.65 11-Jan 13-Mar 4,200.00 5.0 IRON HORSE CAPITAL MGMT 038 DLIA B 6,000 9.35 n/a n/a 300.00 5.0 CHILTON CAPITAL MGMT 036 DSL B 20,000 64.21 n/a n/a 800.00 4.0 GOLD SACHS SPECIAL SIT 037 ENS SS 45,000 9.50 n/a n/a 1,000.00 5.0 LEDDY 035 EVST B 700 17.75 n/a n/a 1,000.00 5.0 BARON ASSET MGMT* 012 EV B 16,500 34.29 2-Nov 5-Mar 825.00 5.0 U.S. TRUST COMPANY 012 FBR S 330,000 5.25 n/a n/a n/a 9,900.00 3.0 DEMARTINI 065 FBR B 20,000 5.11 n/a n/a n/a 0.00 0.0 GOLD SACHS SPECIAL SIT 037 FPL SS 20,000 5.12 n/a n/a 1,250.00 5.0 COLD SACHS SPECIAL SIT 037 FPL SS 20,000 5.12 n/a n/a 1,250.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	;/Shr.
CARDINAL CAPITAL	044	GCA	В	10,600	14.96	n/a	n/a	530.00	5.0
BARR	096	GM	SS	175	29.46	n/a	n/a	0.00	0.0
FINANCIAL STOCK LP	028	HRB	В	208,040	20.77	n/a	n/a	8,321.60	4.0
GOLD SACHS SPECIAL SIT	037	HW	SS	55,000	21.89	n/a	n/a	2,750.00	5.0
ASCEND PARTNERS	033	ISE	В	45,000	47.10	26-Oct	13-Mar	2,250.00	5.0
BUCK CAPITAL MGMT	001	JCG	В	5,000	38.30	14-Mar	14-Mar	200.00	4.0
BANK OF NEW YORK	040	JWN	В	10,000	51.26	27-Feb	9-Mar	450.00	4.5
SINCLAIR	031	KEGS	S	500	16.60	n/a	22-Feb	0.00	0.0
BALYASNY ASSET MGMT	044	LEH	SS	5,000	73.50	n/a	14-Mar	250.00	5.0
GAULT	063	LESCO	S	130	0.20	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	LTD	S	22,000	25.63	2-Mar	9-Mar	880.00	4.0
LEDDY	035	MER	В	200	79.89	19-Jan	13-Mar	0.00	0.0
MAZAMA CAPITAL MGMT.	035	MTZ	S	84,400	10.72	n/a	n/a	3,376.00	4.0
ROYCE TRADING	216	MTZ	В	5,000	10.72	n/a	n/a	250.00	5.0
DIAMONDBACK CAP MGMT	232	NOK	В	50,000	21.58	n/a	n/a	2,500.00	5.0
GOLD SACHS SPECIAL SIT	037	NWEC	SS	20,000	35.75	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	Shr.	
GOLD SACHS SPECIAL SIT	037	ORA	SS	25,000	39.17	n/a	n/a	1,250.00	5.0	
MAGUIRE	090	PDC	В	5,000	12.45	n/a	n/a	0.00	0.0	
AELTUS/ING IVESTMENT MGT	237	PEP	S	202,655	63.18	n/a	n/a	8,106.20	4.0	
PATTEN & PATTEN	067	PG	В	325	61.84	15-Dec	13-Mar	16.25	5.0	
ROARING BLUE LION MGMT	012	PLCE	S	5 , 700	54.76	n/a	9-Mar	285.00	5.0	
WHALE ROCK CAP	030	RTH	В	30,100	99.71	n/a	n/a	1,204.00	4.0	
CARDINAL CAPITAL	044	RX	В	57,700	28.23	n/a	n/a	2,885.00	5.0	
LEDDY	035	SDS	s	600	61.06	n/a	n/a	0.00	0.0	
SCUDDER HEDGE	034	SLM	В	25 , 000	41.55	n/a	n/a	1,000.00	4.0	
LUCHANSKY4	036	SMG	В	100	41.05	20-Feb	15-Mar	0.00	0.0	
BUCK CAPITAL MGMT	001	TGT	В	30,000	59.54	n/a	8-Mar	1,200.00	4.0	
J L F ASSET MGMT	039	TGT	В	38,400	59.83	n/a	8-Mar	1,536.00	4.0	
BALYASNY ASSET MGMT	044	TROW	В	10,000	47.04	n/a	5-Mar	500.00	5.0	
GALLEON MGMT LP	033	TSN	В	5,000	18.26	n/a	n/a	250.00	5.0	
AELTUS/ING IVESTMENT MGT	237	UAUA	S	81,700	38.83	n/a	n/a	3,268.00	4.0	
GOLD SACHS SPECIAL SIT	037	VICR	SS	35,000	9.40	n/a	n/a	1,750.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
U.S.TRUST COMPANY	012	WYE	S	141,000	48.31	n/a	31-Mar	6,345.01 4.5
RAMIUS CAP XYLEM GLOBAL	040 091	WY WY	В S	20,000	80.38 80.38	15-Dec	9-Feb	1,000.00 5.0 1,000.00 5.0
DAVIDSON TRUST CO	033	ZBRA	S	1,900	37.61	n/a	n/a	114.00 6.0
BUCK CAPITAL MGMT	001	ZQK	s	40,000	11.39	9-Mar	13-Mar	1,600.00 4.0
SPRINGBOC	039	ZUMZ	В	55,100	39.94	n/a	n/a	2,755.00 5.0

TOTAL (Trade Date): 163,668.56

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
AO, CXL	03/12	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	BIG	SS	10,000	29.66	9-Mar	12-Mar	400.00	4.0
AO, CRT	12/19	EMP ERROR (EMP ERROR)	099	CHS	S	1,000	21.50	5-Jan	9-Mar	0.00	0.0
AO, CXL	12/19	EMP ERROR (EMP ERROR)	099	CHS	S	1,000	21.50	5-Jan	9-Mar	0.00	0.0
AO,	03/14	SYSTEMATIC FINANCIAL MGT (SYST9)	035	CKR	S	14,400	18.78	n/a	n/a	540.00	3.8
AO,CXL	03/14	SYSTEMATIC FINANCIAL MGT (&SYST9)	035	CKR	S	14,400	18.78	n/a	n/a	540.00	3.8
AO,	03/14	WAGONHOUND (WAGO)	048	GYMB	SS	7,500	38.20	4-Jan	14-Mar	375.00	5.0
AO,CXL	03/14	HAYGROUND COE (HAYGFUND)	039	MCD	SS	16,550	43.40	n/a	6-Feb	0.00	0.0
AO,CRT	03/14	HAYGROUND COE (HAYGFUND)	039	MCD	SS	16,550	43.40	n/a	6-Feb	827.50	5.0
AO,CXL	03/14	PILOT ADV (PILOUBS)	038	USG	SS	25,000	47.78	13-Mar	13-Mar	1000.00	4.0
AO,	03/14	PILOT ADV (PILOUBS)	038	USG	SS	300	47.78	13-Mar	13-Mar	12.00	4.0
AO,	03/14	PILOT ADV (PILOPARADI)	038	USG	SS	16,200	47.78	13-Mar	13-Mar	648.00	4.0
AO,	03/14	PILOT ADV (PILOPARTBS)	038	USG	SS	8 , 500	47.78	13-Mar	13-Mar	340.00	4.0

TOTAL	(AO, CXI	L, CRT	. •	802.50
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TOTAL (RUN DATE) / NFS:	164,471.06
IOTAL (AO, CXL, CRT):	802.50
TOTAL (Trade Date):	163,668.56

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION