



## Daily Compliance Activity Report

Trade Date: 01/14/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>09776NAB8</b>	<b>S</b>	<b>4,500,000</b>	<b>67.87</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
GENERAL MOTORS INV CO	040	AAPL	B	1,500	177.18	n/a	n/a	60.00	4.0
<b>PAKLER</b>	<b>N22</b>	<b>AAPL</b>	<b>B</b>	<b>19</b>	<b>177.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GENERAL MOTORS INV CO	040	AIG	B	10,000	58.74	n/a	n/a	400.00	4.0
<b>ZOLIDIS</b>	<b>011</b>	<b>AMZN</b>	<b>SS</b>	<b>100</b>	<b>79.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>OGORMAN</b>	<b>200</b>	<b>AMZN</b>	<b>SS</b>	<b>200</b>	<b>78.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>120,000</b>	<b>71.25</b>	<b>10-Jan</b>	<b>11-Jan</b>	<b>4,800.00</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	SS	5,000	70.95	10-Jan	11-Jan	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>APP</b>	<b>S</b>	<b>10,900</b>	<b>12.56</b>	<b>n/a</b>	<b>n/a</b>	<b>436.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>APP</b>	<b>S</b>	<b>54,629</b>	<b>12.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>30,000</b>	<b>23.60</b>	<b>29-Nov</b>	<b>11-Jan</b>	<b>1,200.00</b>	<b>4.0</b>
J L F ASSET MGMT	039	ARO	B	103,000	23.60	29-Nov	11-Jan	4,120.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>AVCI</b>	<b>C</b>	<b>20,000</b>	<b>6.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BEAR STEARNS ASSET MGMT.	033	AVP	B	40,000	38.57	11-Dec	8-Jan	1,600.00	4.0
GENERAL ELECTIC CO	033	BAC	S	105,841	38.54	13-Nov	14-Jan	2,116.82	2.0
GENERAL ELECTIC CO	033	BBBY	B	36,000	26.66	n/a	n/a	720.00	2.0
MS PRINCIPAL STRATEGIES	041	BBT	B	10,463	27.97	n/a	n/a	523.15	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OSS CAPITAL/KEVIN DANN	067	BIG	B	195,000	13.11	7-Jan	11-Jan	5,850.00	3.0
PAKLER	N22	CMG	S	27	115.43	n/a	24-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	COH	S	100,000	25.82	24-Oct	14-Jan	4,000.00	4.0
ß BUCK CAPITAL MGMT		COLM	SS	20,000	38.32	n/a	n/a	non-brg	4.0
LUCHANSKY1	036	COST	SS	300	64.30	27-Jul	27-Jul	0.00	0.0
LEDDY	035	CSR	S	500	19.38	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CTRN	S	10,000	12.66	n/a	n/a	non-brg	4.0
MCCARTAN	028	ENS	S	100	26.70	n/a	n/a	0.00	0.0
GAULT	063	ETNBQ	S	10	6.50	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	FFIV	S	44,755	22.24	n/a	n/a	2,237.75	5.0
IRONBOUND CAPITAL	012	FOR	B	50,400	18.70	n/a	n/a	2,016.00	4.0
MERRILL LYNCH STRATEGICI	034	FOSL	S	15,000	35.62	13-Nov	13-Nov	600.00	4.0
T. ROWE PRICE	033	FO	B	71,300	68.08	n/a	n/a	2,852.00	4.0
OGORMAN	046	GBKVF	S	1,500	0.20	n/a	n/a	0.00	0.0
GEORGE WEISS	034	GFG	B	10,000	11.74	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAXTON PART	040	GG	B	132,800	38.87	n/a	n/a	4,648.01	3.5
⌘ BUCK CAPITAL MGMT		GIII	B	3,500	11.98	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GIL	S	10,000	38.83	5-Nov	11-Dec	400.00	4.0
BUCK CAPITAL MGMT	001	GM	SS	20,000	23.96	3-Jan	14-Jan	800.00	4.0
⌘ BUCK CAPITAL MGMT		GPS	C	25,000	17.43	n/a	11-Jan	non-brg	4.0
SAGE ASSET MGMT	012	HBAN	B	120,000	12.30	n/a	n/a	6,000.00	5.0
CAPITAL GROWTH MGMT.	241	HES	S	100,000	91.80	n/a	n/a	5,000.00	5.0
SEMINOLE CAP	036	HON	SS	65,000	57.29	9-Oct	19-Oct	3,250.00	5.0
⌘ BUCK CAPITAL MGMT		ICON	B	60,000	17.08	n/a	n/a	non-brg	4.0
GENERAL MOTORS INV CO	040	INTU	B	10,000	30.46	n/a	n/a	400.00	4.0
COUGAR TRADING	044	JCG	SS	5,000	41.80	28-Nov	30-Nov	250.00	5.0
ARIENCE CAPITAL	036	JNS	SS	94,400	28.27	9-Jan	9-Jan	4,720.00	5.0
SARAS CAPITAL	038	JNS	B	90,000	28.12	9-Jan	9-Jan	4,500.00	5.0
GENERAL ELECTIC CO	033	JPM	B	63,000	41.12	19-Oct	14-Jan	1,260.00	2.0
GENERAL MOTORS INV CO	040	JPM	B	15,000	41.24	19-Oct	14-Jan	600.00	4.0
MS PRINCIPAL STRATEGIES	041	KND	B	20,000	23.36	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KEEFE BRUYETTE WOODS A	031	LM	SS	5,000	72.31	17-Dec	11-Jan	250.00	5.0
GENERAL ELECTIC CO	033	LOW	B	40,000	20.50	27-Jul	27-Jul	800.00	2.0
<b>OGORMAN</b>	<b>046</b>	<b>MA</b>	<b>SS</b>	<b>100</b>	<b>178.59</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
REINHART & MAHONEY	031	MCHP	B	27,570	29.59	n/a	n/a	1,378.50	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>MCO</b>	<b>SS</b>	<b>20,000</b>	<b>36.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>MDTL</b>	<b>SS</b>	<b>15,000</b>	<b>9.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
THE JAY GOLDMAN	034	M	S	30,000	21.64	4-Jan	11-Jan	1,200.00	4.0
MATLIN PATTERSON	046	NOOF	B	15,000	4.99	n/a	n/a	750.00	5.0
GALLEON MGMT LP	033	NWL	S	20,000	23.28	n/a	n/a	1,000.00	5.0
ASCEND PARTNERS	033	PAG	B	30,000	15.05	n/a	14-Jan	1,500.00	5.0
GENERAL MOTORS INV CO	040	PDCO	S	20,000	33.47	n/a	n/a	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PERY</b>	<b>B</b>	<b>20,000</b>	<b>14.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PETM</b>	<b>SS</b>	<b>20,000</b>	<b>21.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PFCB</b>	<b>C</b>	<b>80,000</b>	<b>24.83</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>PFGIM</b>	<b>S</b>	<b>9,599</b>	<b>2.52</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>5.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	PPDI	S	29,280	48.03	n/a	n/a	1,464.00	5.0
WEISS PECK	040	PSS	B	78,290	15.23	n/a	n/a	3,914.50	5.0
BASIX	033	RL	SS	28,000	52.47	14-Jan	14-Jan	1,120.00	4.0
GALLEON MGMT LP	033	RTH	C	10,000	87.17	n/a	n/a	500.00	5.0
REINHART & MAHONEY	031	RVBD	B	24,400	23.14	n/a	n/a	1,220.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>SBUX</b>	<b>SS</b>	<b>20,000</b>	<b>19.72</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>4.0</b>
CASTLE ARK	031	SGP	SS	2,300	26.23	n/a	n/a	138.00	6.0
<b>B BUCK CAPITAL MGMT</b>		<b>SHLD</b>	<b>SS</b>	<b>50,000</b>	<b>88.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
SOCIETY GENERALE	040	SHLD	SS	10,000	89.65	n/a	n/a	400.00	4.0
GRUSS CAPITAL	045	SHOO	B	5,000	15.06	n/a	n/a	200.00	4.0
SERENGETI MGMT	030	SHW	B	50,000	55.34	n/a	23-Oct	2,500.00	5.0
BASIX	033	SKS	B	73,000	15.49	n/a	n/a	2,920.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SKS</b>	<b>S</b>	<b>25,000</b>	<b>15.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SKS</b>	<b>S</b>	<b>75,000</b>	<b>15.19</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>LEDDY</b>	<b>035</b>	<b>SNEN</b>	<b>S</b>	<b>3,500</b>	<b>4.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY1</b>	<b>036</b>	<b>SNVNF</b>	<b>S</b>	<b>1,000</b>	<b>3.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GALLEON MGMT LP	033	SPY	C	20,000	141.03	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FARALLON CAP MGMT	040	SVU	SS	110,000	27.05	n/a	n/a	4,400.00	4.0
PAUL	041	SY	B	100,000	25.77	n/a	n/a	3,000.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TGT</b>	<b>SS</b>	<b>50,000</b>	<b>50.32</b>	<b>27-Jul</b>	<b>27-Jul</b>	<b>2,000.00</b>	<b>4.0</b>
GENERAL ELECTIC CO	033	TGT	S	40,000	49.88	27-Jul	27-Jul	800.00	2.0
<b>B BUCK CAPITAL MGMT</b>		<b>TRLG</b>	<b>S</b>	<b>10,000</b>	<b>17.52</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>TWM</b>	<b>B</b>	<b>400</b>	<b>81.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>UA</b>	<b>S</b>	<b>800</b>	<b>45.14</b>	<b>n/a</b>	<b>n/a</b>	<b>32.00</b>	<b>4.0</b>
KARSCH CAPITAL	034	UA	B	50,000	44.30	n/a	n/a	1,500.00	3.0
GENERAL MOTORS INV CO	040	UNH	B	5,000	55.50	n/a	n/a	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>20,000</b>	<b>24.97</b>	<b>3-Jan</b>	<b>11-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>VCGH</b>	<b>S</b>	<b>10,000</b>	<b>10.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FIRST NY SEC/CHRIS	041	VC	B	75,000	3.39	n/a	14-Jan	2,250.00	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>VFC</b>	<b>B</b>	<b>20,000</b>	<b>69.20</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>
MERRILL ASSET	032	VQ	S	14,100	17.67	n/a	n/a	634.50	4.5
BASIX	033	WRNC	B	45,000	32.63	14-Jan	14-Jan	1,800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WRNC</b>	<b>B</b>	<b>100,000</b>	<b>32.73</b>	<b>14-Jan</b>	<b>14-Jan</b>	<b>non-brg</b>	<b>4.0</b>
PRINCIPLE CAPITAL	040	WSM	S	200,000	21.98	n/a	n/a	6,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PRIME CAPITAL	048	ZQK	B	5,000	7.75	n/a	18-Dec	200.00	4.0
<b>TOTAL (Trade Date):</b>							<b>113,681.23</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	01/09	IRONBOUND CAPITAL	012	FOR	B	50,000	18.21	n/a	n/a	2000.00 4.0
AO,CXL	01/09	IRONBOUND CAPITAL	012	FOR	B	50,000	18.21	n/a	n/a	2500.00 5.0
AO,CXL	01/11	KINGD POINT PARTNERS	067	JNPR	B	200,000	26.90	n/a	22-Mar	10000.00 5.0
AO,	01/11	KINGDON ASSET MGMT	041	JNPR	C	200,000	26.90	n/a	22-Mar	10000.00 5.0
AO,	01/11	SSI INVESTORS	048	MHS	S	2,430	102.05	n/a	n/a	97.20 4.0
AO,CXL	01/09	PROSPECTOR PARTNERS LLC	036	NP	B	700	25.93	8-Jan	8-Jan	28.00 4.0
AO,CRT	01/09	PROSPECTOR PARTNERS LLC	036	NP	B	700	25.93	8-Jan	8-Jan	28.00 4.0
AO,CRT	01/11	BALYASNY ASSET MGMT	044	TLB	B	15,000	7.01	n/a	7-Jan	600.00 4.0
AO,CXL	01/11	BALYASNY ASSET MGMT	044	TLB	B	15,000	7.01	n/a	7-Jan	750.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-552.80</b>

TOTAL (Trade Date) :	113,681.23
TOTAL (AO, CXL, CRT) :	-552.80

<b>TOTAL (RUN DATE) / NFS:</b>	<b>113,128.43</b>
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MRI Securities with Trade Activity on 14-Jan. RL, WRNC
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MRI Securities without Trade Activity on 14-Jan.  
APA, APC, BWA, DBD, DVN, HAR, PXD, STR, SWN, TIF, UNT

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 39%  
YTD = 34%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**