



Daily Compliance Activity Report

Trade Date: 06/14/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|--------------------------|------------|-------------|----------|---------------|--------------|---------------|---------------|-----------------|------------|
| REINHART & MAHONEY | 031 | ADSK | B | 14,470 | 44.81 | n/a | n/a | 723.50 | 5.0 |
| BUCK CAPITAL MGMT | 001 | AEO | B | 40,000 | 26.42 | n/a | 12-Jun | 1,600.00 | 4.0 |
| WEISS PECK | 040 | AHO | S | 14,900 | 13.00 | n/a | n/a | 596.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | ANN | B | 10,000 | 37.30 | 25-May | 8-Jun | 400.00 | 4.0 |
| WHITE PINE CAPITAL | 030 | APA | S | 200 | 85.40 | n/a | 13-Jun | 10.00 | 5.0 |
| WASHINGTON ASSET | 048 | BBY | S | 10,000 | 47.86 | 1-May | 11-May | 500.00 | 5.0 |
| BINDER | 007 | BDK | C | 100 | 88.70 | n/a | n/a | 0.00 | 0.0 |
| ELM RIDGE ADVISORS | 044 | BOW | SS | 50,000 | 25.10 | n/a | 14-Jun | 2,000.00 | 4.0 |
| KANTROWIT | N21 | BRNC | B | 25 | 16.96 | n/a | n/a | 0.00 | 0.0 |
| DAVIDSON KEMPNER ADVISOR | 217 | CELG | B | 100,000 | 59.55 | n/a | n/a | 5,000.00 | 5.0 |
| GOLDMAN SACHS ASSET MGMT | 044 | CLWR | B | 55,000 | 23.90 | n/a | n/a | 825.00 | 1.5 |
| KANTROWIT | N21 | CMG | S | 25 | 85.00 | 24-Apr | 24-Apr | 0.00 | 0.0 |
| BINDER | 007 | CXP | B | 2,300 | 14.00 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | DDS | S | 90,000 | 33.82 | n/a | n/a | 3,600.00 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|-------------|----------|---------------|--------------|------------|------------|---------------|------------|
| STADIA CAPITAL | 035 | DDS | S | 19,000 | 33.67 | n/a | n/a | 760.00 | 4.0 |
| STRATIX ASSEST MGMT | 035 | DDS | B | 15,000 | 33.95 | n/a | n/a | 750.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | DKS | B | 5,000 | 54.54 | n/a | n/a | 200.00 | 4.0 |
| REINHART & MAHONEY | 031 | DLM | S | 70,440 | 11.51 | n/a | n/a | 3,522.00 | 5.0 |
| Õ Glenn Redemann | | DSL | B | 3 | 0.8700 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | DSL | B | 3 | 1.1200 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | DSL | SS | 3 | 1.9300 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | DSL | SS | 3 | 2.1100 | n/a | n/a | 0.00 | 0.0 |
| BULL PATH CAPITAL | 044 | DTV | B | 35,000 | 23.05 | n/a | 14-Jun | 1,750.00 | 5.0 |
| BUCK CAPITAL MGMT | 001 | EDA | S | 10,000 | 12.85 | n/a | n/a | 400.00 | 4.0 |
| BARR | 096 | EMKR | B | 6,200 | 4.78 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | EQR | B | 2 | 0.8300 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | EQR | B | 2 | 0.8700 | n/a | n/a | 0.00 | 0.0 |
| HIGHBRIDGE CAPITAL | 038 | ETM | SS | 34,900 | 25.25 | n/a | n/a | 1,745.00 | 5.0 |
| SANDLER CAPITAL | 038 | ETN | B | 50,000 | 94.29 | 24-May | 24-May | 3,000.00 | 6.0 |
| Õ Glenn Redemann | | FFIV | ?? | 7 | 0.0500 | n/a | n/a | 0.00 | 0.0 |
| Õ Glenn Redemann | | FFIV | ?? | 7 | 0.1500 | n/a | n/a | 0.00 | 0.0 |
| HUNTER GLOBAL INVESTOR | 067 | FII | SS | 38,700 | 38.83 | n/a | 23-May | 1,935.00 | 5.0 |
| MAZAMA CAPITAL MGMT. | 035 | FII | B | 87,900 | 38.80 | n/a | 23-May | 3,516.00 | 4.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|--------------|-----------|--------------|--------------|---------------|--------------|-------------|------------|
| GOLDMAN SACHS ASSET MGMT | 044 | FO | B | 60,433 | 79.65 | n/a | n/a | 906.50 | 1.5 |
| LEDDY | 035 | GM | SS | 800 | 32.95 | 4-May | 4-May | 0.00 | 0.0 |
| CAPITAL GROWTH MGMT. | 241 | GSF | B | 100,000 | 70.60 | n/a | n/a | 5,000.00 | 5.0 |
| KORMAN | 003 | HBISF | S | 50 | 2.95 | n/a | n/a | 0.00 | 0.0 |
| SINCLAIR | 031 | HC | S | 1,000 | 25.94 | 14-Mar | 4-Jun | 0.00 | 0.0 |
| US BANCORP | 030 | HRS | S | 63,973 | 52.54 | 12-Jun | 12-Jun | 3,198.65 | 5.0 |
| HUNTER GLOBAL INVESTOR | 067 | ICE | S | 77,600 | 155.20 | n/a | n/a | 3,880.00 | 5.0 |
| MCCARTAN | 028 | ITCD | S | 1,000 | 5.60 | n/a | n/a | 0.00 | 0.0 |
| LEDDY | 035 | ITCD | B | 2,000 | 5.42 | n/a | n/a | 0.00 | 0.0 |
| CASEY CAPITAL | 067 | IVZ | B | 10,000 | 23.97 | 14-Jun | 14-Jun | 500.00 | 5.0 |
| J L A ASSET MGMT/HOWARD | 038 | IVZ | B | 83,800 | 24.04 | 14-Jun | 14-Jun | 3,352.00 | 4.0 |
| PHILADELPHIA FINANCIAL | 033 | IVZ | B | 30,167 | 23.94 | 14-Jun | 14-Jun | 1,508.35 | 5.0 |
| WEINTRAUB CAPITAL MGT. | 040 | IVZ | B | 75,000 | 23.99 | 14-Jun | 14-Jun | 3,750.00 | 5.0 |
| LEDDY | 035 | JADE | B | 1,000 | 11.45 | n/a | n/a | 0.00 | 0.0 |
| SYSTEMATIC FINANCIAL MGT | 035 | JBX | B | 11,000 | 74.70 | 24-Apr | 24-Apr | 412.50 | 3.8 |
| COLONIAL FUND LLC | 036 | JCP | B | 25,000 | 75.95 | 20-Apr | 8-Jun | 1,250.00 | 5.0 |
| COUGAR TRADING | 044 | JCP | S | 5,000 | 76.61 | 20-Apr | 8-Jun | 250.00 | 5.0 |
| SAILFISH | 035 | JNY | S | 50,000 | 29.05 | n/a | 3-May | 2,500.00 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------------|-------------|----------|------------|---------------|------------|------------|-------------|------------|
| RAMIUS CAP | 040 | KEX | B | 45,000 | 38.55 | n/a | n/a | 2,250.00 | 5.0 |
| VAN KAMPEN ADV | 031 | KFT | S | 450,000 | 35.34 | n/a | n/a | 18,000.00 | 4.0 |
| CAPITAL GROWTH MGMT. | 241 | KR | B | 56,100 | 30.52 | n/a | n/a | 2,805.00 | 5.0 |
| LEVIN CAPITAL STRATEGIES | 012 | KSS | SS | 2,500 | 72.53 | 14-Jun | 14-Jun | 100.00 | 4.0 |
| VICTORIA INVESTORS LP | 060 | KSS | B | 5,000 | 72.22 | 14-Jun | 14-Jun | 250.00 | 5.0 |
| THIRD AVE MGMT | 030 | LAVA | S | 117,900 | 14.78 | n/a | n/a | 4,716.00 | 4.0 |
| MKT MAKER | 099 | LAVA | S | 7 | 14.78 | n/a | n/a | 0.00 | 0.0 |
| KAICHER | 064 | LMEC | B | 300 | 1.62 | n/a | n/a | 0.00 | 0.0 |
| TRUST CO/COWEN/CALF. | 040 | LSI | B | 451,800 | 8.22 | n/a | n/a | 18,072.00 | 4.0 |
| GENERAL ELECTIC CO | 033 | LUV | S | 35,000 | 14.66 | n/a | n/a | 700.00 | 2.0 |
| GOLDMAN SACHS ASSET MGMT | 044 | LUV | B | 115,000 | 14.67 | n/a | n/a | 2,300.00 | 2.0 |
| WHITE PINE CAPITAL | 030 | MAS | S | 1,400 | 29.04 | n/a | n/a | 70.00 | 5.0 |
| WHITE PINE CAPITAL | 030 | MMR | S | 360 | 14.90 | n/a | n/a | 18.00 | 5.0 |
| SANDLER O'NEILL ASSET | 031 | MS | S | 15,000 | 88.73 | 22-Mar | 14-Jun | 750.00 | 5.0 |
| CAPITAL GROWTH MGMT. | 241 | MTW | B | 49,100 | 79.10 | n/a | n/a | 2,455.00 | 5.0 |
| Ö Glenn Redemann | | MYGN | B | 3 | 0.2700 | n/a | n/a | 0.00 | 0.0 |
| Ö Glenn Redemann | | MYGN | B | 3 | 0.4100 | n/a | n/a | 0.00 | 0.0 |

| | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|---|----------------------|------|--------|-----|--------|--------|--------|----------|-------------|-----|
| Õ | Glenn Redemann | | MYGN | SS | 3 | 1.0900 | n/a | n/a | 0.00 | 0.0 |
| Õ | Glenn Redemann | | MYGN | SS | 3 | 1.4400 | n/a | n/a | 0.00 | 0.0 |
| | COUGAR TRADING | 044 | M | B | 5,000 | 39.47 | 17-May | 8-Jun | 250.00 | 5.0 |
| | COUGAR TRADING | 044 | M | SS | 10,000 | 39.35 | 17-May | 8-Jun | 500.00 | 5.0 |
| | MAZAMA CAPITAL MGMT. | 035 | NGS | S | 48,100 | 19.08 | n/a | n/a | 1,924.00 | 4.0 |
| | SCOPUS FUND | 039 | NP | B | 25,000 | 41.75 | 14-Jun | 14-Jun | 1,250.00 | 5.0 |
| | BINDER | 007 | NWL | C | 80 | 29.23 | n/a | n/a | 0.00 | 0.0 |
| | BUCK CAPITAL MGMT | 001 | OXM | S | 10,000 | 44.36 | n/a | n/a | 400.00 | 4.0 |
| | SINCLAIR | 031 | PFE | S | 200 | 26.63 | n/a | 31-Mar | 0.00 | 0.0 |
| Õ | Glenn Redemann | | PLCE | ?? | 5 | 0.0600 | 12-Apr | 8-Jun | 0.00 | 0.0 |
| Õ | Glenn Redemann | | PLCE | ?? | 5 | 0.1700 | 12-Apr | 8-Jun | 0.00 | 0.0 |
| Õ | Glenn Redemann | | PMI | B | 3 | 0.5700 | n/a | n/a | 0.00 | 0.0 |
| Õ | Glenn Redemann | | PMI | B | 3 | 0.7800 | n/a | n/a | 0.00 | 0.0 |
| | COUGAR TRADING | 044 | PSUN | B | 10,000 | 20.63 | n/a | 8-Jun | 500.00 | 5.0 |
| | BINDER | 007 | QID | S | 175 | 46.49 | n/a | n/a | 0.00 | 0.0 |
| | FIRST NEW YORK LEDDY | 035 | Q | B | 5,000 | 9.48 | n/a | n/a | 300.00 | 6.0 |
| | SINCLAIR | 031 | RF | B | 300 | 34.15 | n/a | n/a | 0.00 | 0.0 |
| Õ | Glenn Redemann | | RTI | B | 3 | 0.5900 | n/a | n/a | 0.00 | 0.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. | |
|--------------------------|------|--------|-----|---------|--------|--------|----------|-------------|-----|
| Glenn Redemann | | RTI | B | 3 | 0.9600 | n/a | n/a | 0.00 | 0.0 |
| Glenn Redemann | | RTI | SS | 3 | 1.2100 | n/a | n/a | 0.00 | 0.0 |
| Glenn Redemann | | RTI | SS | 3 | 2.0900 | n/a | n/a | 0.00 | 0.0 |
| SANDLER O'NEILL ASSET | 031 | RWR | B | 20,300 | 86.15 | n/a | n/a | 1,015.00 | 5.0 |
| HIGHBRIDGE CAPITAL | 038 | RYN | S | 72,600 | 44.23 | n/a | 25-Apr | 3,630.00 | 5.0 |
| Glenn Redemann | | SCHN | B | 3 | 0.5400 | n/a | n/a | 0.00 | 0.0 |
| Glenn Redemann | | SCHN | B | 3 | 0.5600 | n/a | n/a | 0.00 | 0.0 |
| Glenn Redemann | | SCHN | SS | 3 | 1.4500 | n/a | n/a | 0.00 | 0.0 |
| Glenn Redemann | | SCHN | SS | 3 | 1.5000 | n/a | n/a | 0.00 | 0.0 |
| DEMARTINI | 065 | SMGGI | S | 50 | 1.15 | n/a | n/a | 0.00 | 0.0 |
| KANTROWIT | N21 | SSI | S | 50 | 20.58 | 25-May | 12-Jun | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | 001 | TWB | B | 20,000 | 41.40 | 23-May | 23-May | 800.00 | 4.0 |
| FIRST NEW YORK LEDDY | 035 | TWX | B | 20,000 | 20.83 | n/a | n/a | 1,200.00 | 6.0 |
| DAVIDSON TRUST CO | 033 | TXN | B | 1,100 | 36.59 | n/a | n/a | 99.00 | 9.0 |
| CSFB GLOBAL PROPRIETARY | 035 | TYC | B | 100,000 | 34.15 | 8-May | 1-Jun | 5,000.00 | 5.0 |
| GOLDMAN SACHS ASSET MGMT | 044 | UFS | B | 55,000 | 11.04 | n/a | n/a | 2,200.00 | 4.0 |
| BINDER | 007 | VAL | C | 200 | 27.99 | n/a | n/a | 0.00 | 0.0 |
| RAINIER INV MGMT | 035 | WAB | B | 88,650 | 37.94 | n/a | n/a | 4,432.50 | 5.0 |

| Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. ¢/Shr. | |
|----------------------------|------------|-------------|----------|--------------|-------------|------------|-------------------|--------------|------------|
| FORCE CAPITAL MGMT | 030 | WINN | S | 25,000 | 30.29 | n/a | n/a | 1,250.00 | 5.0 |
| STRATIX ASSEST MGMT | 035 | WMT | SS | 25,000 | 49.31 | 4-Jun | 7-Jun | 1,250.00 | 5.0 |
| KAICHER | 064 | WWAG | B | 1,000 | 0.83 | n/a | n/a | 0.00 | 0.0 |
| LEDDY | 035 | XOHO | S | 6,500 | 4.45 | n/a | n/a | 0.00 | 0.0 |
| BEAR STEARNS ASSET MGMT. | 033 | YUM | C | 39,600 | 67.64 | 24-Apr | 24-Apr | 1,584.00 | 4.0 |
| TOTAL (Trade Date): | | | | | | | 139,411.00 | | |

Cancel/Corrects and As-Of Trades

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------|-------|---------------------------------------|------|--------|-----|---------|--------|-------|----------|-------------|
| AO,CRT | 06/13 | SOLARIS INV MGMT (SOLA505) | 036 | COP | B | 800 | 77.69 | n/a | n/a | 40.00 5.0 |
| AO,CXL | 06/13 | SOLARIS INV MGMT (SOLA5) | 036 | COP | B | 6,400 | 77.69 | n/a | n/a | 320.00 5.0 |
| AO,CRT | 06/13 | SOLARIS INV MGMT (SOLA15) | 036 | COP | B | 600 | 77.69 | n/a | n/a | 30.00 5.0 |
| AO,CRT | 06/13 | SOLARIS INV MGMT (SOLA1) | 036 | COP | B | 6,400 | 77.69 | n/a | n/a | 320.00 5.0 |
| AO,CXL | 06/13 | SOLARIS INV MGMT (&SOLA15) | 036 | COP | B | 600 | 77.69 | n/a | n/a | 30.00 5.0 |
| AO,CXL | 06/13 | SOLARIS INV MGMT (&SOLA505) | 036 | COP | B | 800 | 77.69 | n/a | n/a | 40.00 5.0 |
| AO,CRT | 06/13 | SOLARIS INV MGMT (SOLA15) | 036 | CVX | S | 600 | 81.14 | n/a | n/a | 30.00 5.0 |
| AO, | 06/13 | SOLARIS INV MGMT (SOLA77) | 036 | CVX | S | 100 | 81.14 | n/a | n/a | 5.00 5.0 |
| AO,CRT | 06/13 | SOLARIS INV MGMT (SOLA1) | 036 | CVX | S | 6,800 | 81.14 | n/a | n/a | 340.00 5.0 |
| AO, | 06/13 | SOLARIS INV MGMT (SOLA16) | 036 | CVX | S | 2,800 | 81.14 | n/a | n/a | 140.00 5.0 |
| AO,CXL | 06/13 | SOLARIS INV MGMT (&SOLA15) | 036 | CVX | S | 600 | 81.14 | n/a | n/a | 30.00 5.0 |
| AO,CXL | 06/13 | SOLARIS INV MGMT (SOLA5) | 036 | CVX | S | 6,800 | 81.14 | n/a | n/a | 340.00 5.0 |
| AO, | 06/13 | WEISS PECK (PECKMS) | 040 | DSW | B | 8,800 | 34.96 | 7-May | 7-Jun | 264.00 3.0 |
| AO,CXL | 06/13 | WEISS PECK (PECKARBBS) | 040 | DSW | B | 8,800 | 34.96 | 7-May | 7-Jun | 440.00 5.0 |
| AO,CXL | 06/13 | TRELLUS PARTNERS LP (TRELMAIN) | 028 | F | B | 144,600 | 8.47 | n/a | 14-Jun | 7230.00 5.0 |
| AO,CRT | 06/13 | TRELLUS PARTNERS LP (TRELMAIN) | 028 | F | B | 144,600 | 8.47 | n/a | 14-Jun | 4338.00 3.0 |
| CRT | 06/14 | FIRST NEW YORK LEDDY (FNYS) | 035 | Q | B | 5,000 | 9.48 | n/a | n/a | 300.00 6.0 |
| AO, | 06/13 | WEISS PECK (PECKMS) | 040 | RVI | SS | 20,000 | 16.70 | n/a | n/a | 600.00 3.0 |
| AO,CXL | 06/13 | WEISS PECK (PECKARBBS) | 040 | RVI | SS | 20,000 | 16.70 | n/a | n/a | 600.00 3.0 |
| CRT | 06/14 | FIRST NEW YORK LEDDY (FNYS) | 035 | TWX | B | 20,000 | 20.83 | n/a | n/a | 1200.00 6.0 |
| AO,CXL | 06/13 | MERRILL LYNCH STRATEGICI (MLSIMERRIL) | 034 | WHR | SS | 15,000 | 110.48 | n/a | n/a | 750.00 5.0 |

| Type | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢/Shr. |
|--------|-------|---------------------------------------|------|--------|-----|--------|--------|-----|----------|-------------|
| AO,CRT | 06/13 | MERRILL LYNCH STRATEGICI (MLSIMERRIL) | 034 | WHR | SS | 15,000 | 110.48 | n/a | n/a | 900.00 6.0 |

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|-------------------------------|--|--|--|--|--|--|--|--|------------------|--|
| TOTAL (AO, CXL, CRT) : | | | | | | | | | -1,273.00 | |
|-------------------------------|--|--|--|--|--|--|--|--|------------------|--|

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|------------------------|--|--|--|--|--|--|--|--|------------|--|
| TOTAL (Trade Date) : | | | | | | | | | 139,411.00 | |
| TOTAL (AO, CXL, CRT) : | | | | | | | | | -1,273.00 | |

| | | | | | | | | | | |
|---------------------------------|--|--|--|--|--|--|--|--|-------------------|--|
| TOTAL (RUN DATE) / NFS : | | | | | | | | | 138,138.00 | |
|---------------------------------|--|--|--|--|--|--|--|--|-------------------|--|

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION