



Daily Compliance Activity Report

Trade Date: 06/17/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		\$\$EURUSD	B	21,800	1.43	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		\$\$GBPUSD	B	2,194	1.62	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		1913 HK	B	100,000	39.90	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		AAPL	S	300	322.60	n/a	n/a	non-brg	4.0
SUN AMERICA ASSET MGMT	030	ACN	S	900	54.39	n/a	n/a	36.00	4.0
MANNY I KORMAN	FID	ADM	B	6000	30.10	n/a	17-Jun	0.00	0.0
BUCK CAPITAL MGMT		AEO	C	400	12.60	n/a	26-May	non-brg	4.0
HARRIER HAWK MGMT	028	AHL	B	24,000	25.68	n/a	n/a	960.00	4.0
BALLAST CAP	040	AH	SS	5,500	23.11	n/a	n/a	275.00	5.0
BUCK CAPITAL MGMT		ANF	S	1,200	65.19	n/a	19-May	non-brg	4.0
BUCK CAPITAL MGMT		ANN	B	20,000	26.46	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ANN	S	2,954	26.46	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ARCO	S	1,781	20.51	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ARCO	S	25,000	20.50	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ARO	C	700	17.69	n/a	20-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUN AMERICA ASSET MGMT	030	ARUN	B	900	23.95	n/a	n/a	36.00	4.0
MERRILL ASSET/BLACKROCK	297	BAC	S	330,600	10.59	n/a	16-Jun	13,224.00	4.0
ß BUCK CAPITAL MGMT		BBBY	S	30,000	52.73	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BBBY	S	300	53.00	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BBY	S	1,200	30.86	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BBY	S	293,800	30.89	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BID	S	1,300	38.49	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BODY	S	1,527	20.50	n/a	n/a	non-brg	4.0
JMP ASSET MGMT	210	BODY	B	500	20.25	n/a	n/a	25.00	5.0
ß BUCK CAPITAL MGMT		BONT	S	2,500	8.27	n/a	n/a	non-brg	3.0
f FRANCIS MICHAEL MCCA	FID	BWA	B	200	72.33	n/a	29-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		BWLD	C	200	59.93	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		BWLD	SS	10,000	58.80	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BWLD	SS	10,000	59.53	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CBK	C	500	5.42	n/a	n/a	non-brg	3.0
BALLAST CAP	040	CHRW	B	4,500	76.94	n/a	n/a	225.00	5.0
BALLAST CAP	040	CHS	B	9,400	14.39	n/a	5-Feb	470.00	5.0
ß BUCK CAPITAL MGMT		CHS	S	300	14.24	n/a	5-Feb	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		CHS	S	75,740	14.31	n/a	5-Feb	non-brg	4.0
MANNY I KORMAN	FID	CMI	B	2000	94.29	n/a	27-Apr	0.00	0.0
BUCK CAPITAL MGMT		COH	S	1,164	59.85	n/a	3-Jun	non-brg	4.0
BUCK CAPITAL MGMT		COLM	C	100	60.55	n/a	n/a	non-brg	4.0
TALPION LLC	040	COST	B	10,000	79.86	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		CROX	S	1,200	23.60	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	CSCO	S	20,100	14.96	n/a	n/a	804.00	4.0
BUCK CAPITAL MGMT		CSTR	S	1,400	47.73	n/a	n/a	non-brg	4.0
SUN AMERICA ASSET MGMT	030	CTSH	B	700	66.56	n/a	n/a	28.00	4.0
BUCK CAPITAL MGMT		CVS	S	600	37.58	n/a	n/a	non-brg	4.0
EDWARD W WHEELER	FID	C	S	2500	38.14	n/a	16-Jun	0.00	0.0
BUCK CAPITAL MGMT		DDS	S	2,200	50.09	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DDS	S	25,000	50.14	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DECK	S	200	79.47	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DECK	S	23,708	79.62	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		DG	C	600	33.32	n/a	2-Jun	non-brg	4.0
BUCK CAPITAL MGMT		DLTR	S	100	63.13	n/a	20-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DSW	S	1,200	46.97	n/a	11-Feb	non-brg	4.0
PATTEN & PATTEN	025	DVY	S	2,310	52.00	n/a	n/a	115.50	5.0
SUN AMERICA ASSET MGMT	030	EBAY	B	1,800	28.86	n/a	n/a	72.00	4.0
ß BUCK CAPITAL MGMT		ETH	C	200	20.39	n/a	n/a	non-brg	4.0
HUNTER GLOBAL INVESTOR	028	ETN	B	52,500	47.20	n/a	16-Jun	2,625.00	5.0
f MANNY I KORMAN	FID	ETN	B	4000	47.38	n/a	16-Jun	0.00	0.0
f EDWARD W WHEELER	FID	EXH	B	5000	20.09	n/a	11-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		EXPR	S	500	20.74	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FINL	S	3,600	22.61	n/a	10-May	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	S	20,000	22.73	n/a	2-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	S	3,100	22.76	n/a	2-Jun	non-brg	4.0
COLUMBIA PARTNERS	028	FN	S	69,298	18.99	n/a	n/a	2,771.92	4.0
LISTED ERR	099	FN	B	1,000	19.39	n/a	n/a	0.00	0.0
LISTED ERR	099	FN	S	1,000	18.99	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FOSL	S	1,000	106.91	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FOSL	S	15,000	107.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FRED	C	200	14.15	n/a	n/a	non-brg	4.0
f MITCHELL JAMES SPEIS	FID	FSNXX	S	6000	1.00	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		GCO	S	1,400	44.52	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GCO	S	30,000	44.70	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	S	1,200	40.85	n/a	26-May	non-brg	4.0
ß BUCK CAPITAL MGMT		GIII	S	3,056	34.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GIII	S	300	34.02	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GIII	S	9,700	34.04	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GIL	S	2,400	32.63	n/a	12-May	non-brg	4.0
ß BUCK CAPITAL MGMT		GIL	S	20,000	32.72	n/a	12-May	non-brg	4.0
ß BUCK CAPITAL MGMT		GMCR	S	600	79.90	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GM	C	1,000	28.85	n/a	2-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		GM	C	50,000	28.78	n/a	2-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		GNC	S	992	20.07	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GPS	C	300	17.91	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HBI	S	15,000	27.37	n/a	21-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		HBI	S	3,300	27.40	n/a	21-Apr	non-brg	4.0
PATTEN & PATTEN	025	HDV	B	2,345	51.76	n/a	n/a	117.25	5.0
THE JAY GOLDMAN	028	HOT	B	5,000	52.23	n/a	13-Jun	200.00	4.0
ß BUCK CAPITAL MGMT		HTZ	S	1,216	15.43	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
M&I FUNDS	047	HXL	B	56,950	19.95	n/a	27-Apr	2,278.00	4.0
f BARRY JONAS	FID	HYG	S	100	88.81	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		IBOC	C	200	16.39	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		IBOC	C	27,993	16.25	n/a	n/a	non-brg	4.0
TOCQUEVILLE	035	INSU	B	81,800	19.26	n/a	n/a	4,090.00	5.0
HOPLITE MGMT	025	INTC	C	166,000	21.25	n/a	n/a	6,640.00	4.0
ß BUCK CAPITAL MGMT		IP	B	37,500	26.67	n/a	28-Apr	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	IP	B	25,000	26.58	n/a	28-Apr	1,250.00	5.0
WOOSTER CAPITAL	035	IP	S	50,000	26.91	n/a	28-Apr	2,500.00	5.0
ENTRUST CAPITAL	040	IR	B	18,700	44.28	n/a	19-May	935.00	5.0
f MANNY I KORMAN	FID	IR	B	4000	43.88	n/a	19-May	0.00	0.0
ß BUCK CAPITAL MGMT		IWM	C	600	78.94	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		IWO	C	111,700	89.32	n/a	n/a	non-brg	2.0
WEISS PECK	040	JBLU	S	35,000	5.92	n/a	n/a	1,400.00	4.0
f MANNY I KORMAN	FID	JCI	B	7000	37.12	n/a	10-Jun	0.00	0.0
SANDLER CAPITAL	028	JCI	C	60,000	37.18	n/a	10-Jun	3,000.00	5.0
ß BUCK CAPITAL MGMT		JOSB	S	500	47.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	S	1,100	43.93	n/a	16-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RAINIER INV MGMT	035	KEG	B	96,580	16.29	n/a	16-Jun	3,863.20	4.0
⌘ BUCK CAPITAL MGMT		KR	C	600	24.01	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	2,200	5.71	n/a	7-Oct	non-brg	3.0
⌘ BUCK CAPITAL MGMT		LTD	S	2,700	35.90	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LTM	C	100	35.90	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LVS	S	1,100	38.36	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MAR	C	300	33.47	n/a	13-Jun	non-brg	4.0
WEISS PECK	040	MBND	S	41,400	3.38	n/a	n/a	1,242.00	3.0
⌘ BUCK CAPITAL MGMT		MGM	S	500	12.18	n/a	13-Jun	non-brg	4.0
TALPION LLC	040	MJN	B	5,000	64.98	n/a	n/a	200.00	4.0
ROYCE TRADING	012	MLI	B	6,900	35.75	n/a	27-Apr	345.00	5.0
⌘ BUCK CAPITAL MGMT		MNRO	S	1,300	31.96	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MNRO	S	38,400	32.04	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		MNRO	S	46,600	32.02	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	C	350,000	96.65	n/a	n/a	non-brg	7.7
f ANTHONY SUTERA	FID	MU	B	2000	7.93	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SONTERRA CAP	041	MWV	S	24,900	31.36	n/a	27-Apr	996.00	4.0
⌘ BUCK CAPITAL MGMT		M	S	4,300	27.30	n/a	3-Jun	non-brg	4.0
RIDGECREST INV MGMT LLC	028	M	S	5,000	27.17	n/a	3-Jun	250.00	5.0
f MANNY I KORMAN	FID	NAV	B	2000	53.18	n/a	8-Jun	0.00	0.0
SUN AMERICA ASSET MGMT	030	NTAP	B	400	50.45	n/a	n/a	16.00	4.0
⌘ BUCK CAPITAL MGMT		NWY	S	1,700	4.43	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		NWY	S	25,000	4.33	n/a	n/a	non-brg	2.0
SUN AMERICA ASSET MGMT	030	PAY	B	1,200	40.07	n/a	n/a	48.00	4.0
BALLAST CAP	040	PCAR	B	5,700	47.06	n/a	20-Apr	285.00	5.0
⌘ BUCK CAPITAL MGMT		PERY	S	900	24.52	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PLCE	S	20,000	44.94	n/a	8-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PLCE	S	900	45.07	n/a	8-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PNRA	SS	20,000	118.00	n/a	26-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	1,900	62.19	n/a	2-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PZZA	C	100	32.74	n/a	n/a	non-brg	4.0
TALPION LLC	040	RCII	S	5,000	28.83	n/a	n/a	200.00	4.0
⌘ BUCK CAPITAL MGMT		RCL	S	500	34.80	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		RGC	S	1,000	12.58	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	RIMM	B	2000	28.98	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RLJAU	S	1,001	9.98	n/a	n/a	non-brg	3.0
TALPION LLC	040	RL	B	5,000	124.13	n/a	26-May	200.00	4.0
ß BUCK CAPITAL MGMT		RTH	C	1,600	106.03	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		SAH	S	300	12.36	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SAH	S	54,700	12.34	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SCS	S	1,200	9.88	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		SFLY	S	700	50.03	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHLD	C	300	74.50	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHOO	S	500	34.93	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SHOO	S	53,575	34.79	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		SIG	S	1,700	41.61	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SIG	S	30,000	41.54	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SKS	C	200	10.51	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SODA	S	1,396	53.10	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		SODA	S	15,000	53.51	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JMP ASSET MGMT	210	SONE	B	10,000	7.36	n/a	n/a	400.00	4.0
BERMAN CAPITAL MGMT	046	SPY	B	30,000	127.21	n/a	n/a	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		SPY	C	34,514	127.87	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		SSI	C	100	15.00	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SUMR	S	4,000	8.03	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		SVU	C	157,100	8.71	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		SVU	C	400	8.75	n/a	n/a	non-brg	3.0
TALPION LLC	040	SWKS	C	10,000	23.35	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		SWY	C	1,200	22.69	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TFM	B	30,000	34.77	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TFM	S	89	34.05	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIF	S	200	73.56	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TIN	B	85,400	27.92	n/a	7-Jun	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	40,000	27.51	n/a	7-Jun	2,000.00	5.0
LIONEYE CAPITAL	040	TIN	B	10,000	27.96	n/a	7-Jun	500.00	5.0
⌘ BUCK CAPITAL MGMT		TJX	S	800	50.24	n/a	3-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TPX	S	100	60.16	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TSCO	B	20,000	61.86	n/a	n/a	non-brg	4.0

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⚡ BUCK CAPITAL MGMT		TSCO	B	20,000	61.86	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		TSCO	S	300	61.31	n/a	n/a	non-brg	4.0
TALPION LLC	040	TUP	B	5,000	62.62	n/a	n/a	200.00	4.0
⚡ BUCK CAPITAL MGMT		TWMC	C	100	1.95	n/a	n/a	non-brg	0.5
⚡ BUCK CAPITAL MGMT		TWMC	C	28,800	1.98	n/a	n/a	non-brg	0.5
SUN AMERICA ASSET MGMT	030	V	S	1,000	75.23	n/a	8-Jun	40.00	4.0
⚡ MANNY I KORMAN	FID	WBC	B	4000	62.55	n/a	29-Apr	0.00	0.0
PEAK INV LLC	084	WFCWS	S	1,100	9.30	n/a	n/a	44.00	4.0
⚡ BARRY JONAS	FID	WMS	S	250	29.89	n/a	13-Jun	0.00	0.0
⚡ BUCK CAPITAL MGMT		WRC	S	800	50.80	n/a	6-May	non-brg	4.0
CAERUS GLOBAL	041	WRC	S	10,000	50.75	n/a	6-May	400.00	4.0
RIDGECREST INV MGMT LLC	028	WSH	S	5,000	40.11	n/a	n/a	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	WSM	S	61,000	34.86	n/a	n/a	1,830.00	3.0
BALLAST CAP	040	XIDE	S	70,500	6.82	n/a	n/a	1,410.00	2.0
RIDGECREST INV MGMT LLC	028	XLB	SS	10,000	36.61	n/a	n/a	500.00	5.0
RIDGECREST INV MGMT LLC	028	XME	SS	5,000	62.69	n/a	n/a	250.00	5.0
S.A.C. CAP/ORENSTEIN	044	XOM	B	100,000	79.17	n/a	n/a	3,500.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		XRT	C	33,100	50.72	n/a	n/a	non-brg 2.0
TOTAL (Trade Date):								65,346.87

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/16	STRONG/WELLS CAP ANN F	047	AMT	B	67,208	50.21	n/a	n/a	1344.16 2.0
TOTAL (AO, CXL, CRT) :										1,344.16

TOTAL (Trade Date):	65,346.87
TOTAL (AO, CXL, CRT):	1,344.16

TOTAL (RUN DATE) / NFS:	66,691.03
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 17-Jun.
NONE

MRI Securities without Trade Activity on 17-Jun.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 3%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)