



# Daily Compliance Activity Report

Trade Date: 10/05/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BRUN</b>	<b>012</b>	<b>ABXA</b>	<b>S</b>	<b>400</b>	<b>5.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	AEOS	S	20,000	45.24	26-Sep	6-Oct	1,000.00	5.0
TRUST CO/COWEN/CALF.	040	AEP	B	99,971	37.22	n/a	n/a	4,998.55	5.0
DIAMONDBACK CAP MGMT	232	AG	S	40,000	26.24	n/a	n/a	2,000.00	5.0
NWQ INVESTMENT MGMT CO	048	AIN	B	4,000	31.87	n/a	n/a	160.00	4.0
BUCK CAPITAL MGMT	001	ANF	S	60,000	73.22	31-Aug	6-Oct	2,400.00	4.0
COUGAR TRADING	044	ANF	S	5,000	74.20	31-Aug	6-Oct	250.00	5.0
JP MORGAN VENTURES	046	ANF	B	6,700	73.90	31-Aug	6-Oct	335.00	5.0
SUN AMERICA ASSET MGMT	030	ANF	S	18,100	73.00	31-Aug	6-Oct	905.00	5.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>APC</b>	<b>B</b>	<b>100</b>	<b>42.18</b>	<b>31-Aug</b>	<b>18-Sep</b>	<b>0.00</b>	<b>0.0</b>
LESTER BROS CAPITAL LLC	032	APPB	S	6,000	22.33	n/a	n/a	300.00	5.0
BUCK CAPITAL MGMT	001	ARO	B	30,000	29.32	6-Jul	6-Oct	1,200.00	4.0
FIRST NEW YORK LEDDY	035	ARO	B	10,000	28.90	6-Jul	6-Oct	600.00	6.0
<b>KORMAN</b>	<b>003</b>	<b>ARRS</b>	<b>S</b>	<b>10,000</b>	<b>11.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DC CAPITAL	044	AXL	B	24,200	17.00	n/a	n/a	1,210.00	5.0
ROBERTSON STEVENS BC	033	BBW	S	13,700	22.55	n/a	n/a	548.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BULL PATH CAPITAL	044	BEBE	S	35,000	24.45	n/a	n/a	1,750.00	5.0
CAPITAL GROWTH MGMT.	241	BEN	B	2,000	106.90	11-Sep	25-Sep	100.00	5.0
<b>CROWLEY</b>	<b>061</b>	<b>BGRFX</b>	<b>S</b>	<b>441</b>	<b>48.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	BID	B	52,400	33.98	n/a	n/a	2,096.00	4.0
JP MORGAN VENTURES	046	BJ	S	17,600	28.09	n/a	6-Oct	880.00	5.0
RBC CAPITAL MARKETS	034	BJ	B	20,000	28.23	n/a	6-Oct	1,000.00	5.0
<b>LISTED ERR</b>	<b>099</b>	<b>BK</b>	<b>B</b>	<b>1,100</b>	<b>35.68</b>	<b>n/a</b>	<b>12-Sep</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>BK</b>	<b>S</b>	<b>1,100</b>	<b>35.73</b>	<b>n/a</b>	<b>12-Sep</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAPITAL	038	BNI	B	5,000	76.93	n/a	n/a	250.00	5.0
<b>VARDON PARTNERS</b>	<b>035</b>	<b>BONT</b>	<b>S</b>	<b>50,000</b>	<b>32.09</b>	<b>5-Oct</b>	<b>6-Oct</b>	<b>2,500.00</b>	<b>5.0</b>
<b>WHALE ROCK CAP</b>	<b>030</b>	<b>BONT</b>	<b>B</b>	<b>36,000</b>	<b>32.14</b>	<b>5-Oct</b>	<b>6-Oct</b>	<b>1,440.00</b>	<b>4.0</b>
TUDOR INVESTORS	030	BTU	B	160,000	37.63	n/a	n/a	8,000.00	5.0
ARIENCE CAPITAL	036	CAKE	S	67,415	27.87	28-Jun	4-Oct	3,370.75	5.0
BUCK CAPITAL MGMT	001	CBK	S	10,000	30.47	18-Sep	2-Oct	400.00	4.0
BRIGHTPOINT CAP	067	CHS	B	2,000	22.89	23-Aug	6-Oct	100.00	5.0
BUCK CAPITAL MGMT	001	CLE	B	34,200	27.06	20-Sep	6-Oct	1,368.00	4.0
LINCOLN FUNDS	031	CMG	B	2,000	49.75	n/a	11-Sep	80.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAPITAL GROWTH MGMT.	241	CMI	B	210,000	127.31	n/a	n/a	10,500.00	5.0
J L F ASSET MGMT	039	COH	B	49,200	35.90	n/a	25-Sep	1,968.00	4.0
<b>SINCLAIR</b>	<b>031</b>	<b>CYCL</b>	<b>S</b>	<b>600</b>	<b>5.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GOLD SACHS SPECIAL SIT	037	DDS	B	200,000	30.82	n/a	n/a	10,000.00	5.0
DIAMONDBACK CAP MGMT	232	DHR	B	40,000	68.59	n/a	n/a	2,000.00	5.0
STADIA CAPITAL	035	DJ	S	35,000	33.74	n/a	n/a	1,400.00	4.0
NWQ INVESTMENT MGMT CO	048	DLM	B	13,500	10.54	n/a	n/a	540.00	4.0
DIAMONDBACK CAP MGMT	232	DOW	B	50,000	38.56	n/a	13-Sep	2,500.00	5.0
MERRILL ASSET	032	DRH	S	26,000	16.79	n/a	n/a	1,300.00	5.0
THIRD COAST CAPITAL	035	EXBD	B	10,000	91.85	n/a	n/a	500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>FBR</b>	<b>S</b>	<b>950</b>	<b>8.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>FDO</b>	<b>B</b>	<b>23,800</b>	<b>29.70</b>	<b>n/a</b>	<b>6-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>FDO</b>	<b>S</b>	<b>23,800</b>	<b>29.65</b>	<b>n/a</b>	<b>6-Oct</b>	<b>0.00</b>	<b>0.0</b>
NEW JERSEY DIV OF INV.	220	FDX	B	135,000	112.61	n/a	n/a	6,750.00	5.0
EGS ASSOCIATES LP	059	FOSL	B	3,000	21.02	12-Aug	14-Sep	180.00	6.0
COUGAR TRADING	044	FRED	B	15,000	12.64	21-Aug	6-Oct	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD COAST CAPITAL	035	FRED	S	25,000	12.57	21-Aug	6-Oct	1,250.00	5.0
BUCK CAPITAL MGMT	001	GES	S	50,000	53.80	n/a	n/a	2,000.00	4.0
NWQ INVESTMENT MGMT CO	048	GFF	B	6,200	24.41	n/a	n/a	248.00	4.0
NWQ INVESTMENT MGMT CO	048	GLT	B	4,700	13.94	n/a	n/a	188.00	4.0
SAC DOUG RODGERS	040	GS	B	50,000	175.74	17-May	13-Sep	2,500.00	5.0
GALLEON MGMT LP	033	GSS	B	75,000	2.60	n/a	n/a	2,250.00	3.0
ZANDER CAPITAL MGMT	035	HD	B	1,000	37.24	n/a	16-Aug	40.00	4.0
J L F ASSET MGMT	039	HIBB	B	29,100	27.01	n/a	n/a	1,164.00	4.0
MAZAMA CAPITAL MGMT.	035	HPY	B	35,900	26.98	n/a	n/a	1,436.00	4.0
<b>MAGUIRE</b>	<b>090</b>	<b>IOM</b>	<b>B</b>	<b>20,000</b>	<b>3.16</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>IOM</b>	<b>B</b>	<b>2,500</b>	<b>3.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	IR	B	53,900	39.64	n/a	n/a	2,156.00	4.0
CASTLEROCK	040	IRF	S	47,900	33.89	n/a	n/a	1,916.00	4.0
DIAMONDBACK CAP MGMT	232	ITT	S	25,000	52.74	2-Oct	2-Oct	1,250.00	5.0
DIAMONDBACK CAP MGMT	232	ITW	S	25,000	47.48	n/a	n/a	1,250.00	5.0
<b>SYSTEMATIC FINANCIAL MGT</b>	<b>035</b>	<b>JCP</b>	<b>B</b>	<b>45,400</b>	<b>70.42</b>	<b>5-Oct</b>	<b>6-Oct</b>	<b>1,816.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MISSOURI VALLEY PART	046	JOSB	B	40,000	30.11	n/a	n/a	2,000.00	5.0
BENNETT LAWRENCE	044	JOYG	B	3,450	38.67	n/a	n/a	172.50	5.0
<b>CUMBERLAND</b>	<b>038</b>	<b>JWN</b>	<b>S</b>	<b>150,000</b>	<b>44.81</b>	<b>5-Oct</b>	<b>6-Oct</b>	<b>6,000.00</b>	<b>4.0</b>
<b>DIAMONDBACK CAP MGMT</b>	<b>232</b>	<b>JWN</b>	<b>B</b>	<b>10,000</b>	<b>44.90</b>	<b>5-Oct</b>	<b>6-Oct</b>	<b>500.00</b>	<b>5.0</b>
NWQ INVESTMENT MGMT CO	048	KAI	B	3,600	25.51	n/a	n/a	144.00	4.0
JP MORGAN VENTURES	046	KSS	B	7,300	67.68	2-Oct	6-Oct	365.00	5.0
BUCK CAPITAL MGMT	001	KWD	S	10,000	29.84	n/a	n/a	400.00	4.0
GALLEON MGMT LP	033	LAD	S	40,000	25.00	n/a	n/a	2,000.00	5.0
MAZAMA CAPITAL MGMT.	035	LAZ	B	15,550	41.63	n/a	n/a	622.00	4.0
HIGHBRIDGE CAPITAL	038	LFB	S	16,800	20.60	n/a	14-Sep	840.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>LM</b>	<b>S</b>	<b>100</b>	<b>102.10</b>	<b>28-Jul</b>	<b>25-Sep</b>	<b>0.00</b>	<b>0.0</b>
CAPITAL GROWTH MGMT.	241	LM	B	15,000	103.52	28-Jul	25-Sep	750.00	5.0
GALLEON MGMT LP	033	LRCX	S	10,000	46.48	n/a	n/a	500.00	5.0
<b>BULL PATH CAPITAL</b>	<b>044</b>	<b>LTD</b>	<b>S</b>	<b>10,000</b>	<b>28.10</b>	<b>5-Oct</b>	<b>6-Oct</b>	<b>500.00</b>	<b>5.0</b>
<b>CENTURION PARTNERS</b>	<b>244</b>	<b>LTD</b>	<b>B</b>	<b>25,000</b>	<b>27.82</b>	<b>5-Oct</b>	<b>6-Oct</b>	<b>1,250.00</b>	<b>5.0</b>
LESTER BROS CAPITAL LLC	032	MEK	S	5,000	11.61	n/a	n/a	250.00	5.0
JENNISON	032	MSFT	B	878,700	27.96	n/a	3-Apr	36,905.40	4.2

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
S.A.C. CAPITAL MGMT.	044	MYL	B	25,000	20.74	n/a	6-Oct	1,250.00	5.0
GALLEON MGMT LP	033	NDAQ	B	20,000	31.81	n/a	n/a	1,000.00	5.0
HIGHBRIDGE CAPITAL	038	NSC	B	6,000	46.54	n/a	n/a	300.00	5.0
TUDOR INVESTORS	030	NT	S	1,000,000	2.17	n/a	22-Mar	30,000.00	3.0
BENNETT LAWRENCE	044	NUE	B	5,050	51.48	n/a	n/a	252.50	5.0
LEDDY	035	NWY	B	1,000	13.18	n/a	5-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	NWY	B	40,000	13.16	n/a	5-Oct	1,600.00	4.0
COUGAR TRADING	044	NWY	S	10,000	12.91	n/a	5-Oct	500.00	5.0
J L F ASSET MGMT	039	ODP	B	6,300	40.94	26-Jun	31-Aug	252.00	4.0
BUCK CAPITAL MGMT	001	OXM	S	20,000	47.87	n/a	n/a	800.00	4.0
HOFFMAN	014	PCGPRD	B	2,000	21.30	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	PCU	S	60,000	45.14	n/a	n/a	3,000.00	5.0
HARVEST MGMT	039	PLCE	B	10,000	66.43	1-May	6-Oct	500.00	5.0
THIRD COAST CAPITAL	035	PLCE	B	15,000	65.64	1-May	6-Oct	750.00	5.0
ZOLIDIS	011	PSUN	S	350	16.54	3-Aug	6-Oct	0.00	0.0
COUGAR TRADING	044	RL	B	5,000	66.43	18-Sep	19-Sep	250.00	5.0
PLATTE RIVER CAPITAL	035	S	B	27,500	17.70	n/a	n/a	1,100.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	SBL	S	30,000	14.96	19-Sep	2-Oct	0.00	0.0
BRUN	012	SBL	S	300	14.95	19-Sep	2-Oct	0.00	0.0
KERN	050	SBUX	S	100	37.89	6-Oct	6-Oct	0.00	0.0
THIRD COAST CAPITAL	035	SKS	B	25,000	18.15	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	50,000	25.52	n/a	7-Aug	2,500.00	5.0
NWQ INVESTMENT MGMT CO	048	SSCC	B	13,400	11.27	15-May	14-Sep	402.00	3.0
COUGAR TRADING	044	SSI	S	5,000	29.74	n/a	n/a	250.00	5.0
LATEFF MGMT	040	TIN	B	4,250	39.76	n/a	14-Sep	722.50	17.0
BUCK CAPITAL MGMT	001	TLB	B	20,000	27.24	n/a	17-Aug	800.00	4.0
SANDLER CAPITAL	038	TLB	B	40,000	27.70	n/a	17-Aug	2,400.00	6.0
DIAMONDBACK CAP MGMT	232	TRAD	S	25,000	15.33	n/a	n/a	1,250.00	5.0
MAZAMA CAPITAL MGMT.	035	TWB	S	49,300	38.32	5-Sep	3-Oct	1,972.00	4.0
INDEPENDENCE INV	039	TXU	S	40,900	63.32	n/a	n/a	1,636.00	4.0
SENTINAL CAPITAL	067	VZ	B	21,000	37.34	19-Sep	4-Oct	1,050.00	5.0
ADAGE CAPITAL MGMT	030	WAG	S	343,200	43.47	n/a	n/a	13,728.00	4.0
BEAR STEARNS ASSET MGMT.	033	WAG	B	50,000	43.00	n/a	n/a	2,000.00	4.0
SOROS	036	WEN	B	88,000	33.89	25-Jul	27-Jul	4,400.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STADIA CAPITAL	035	WHR	S	44,000	85.42	n/a	n/a	1,760.00	4.0
BELAY CAPITAL	039	WMT	S	20,000	48.64	5-Oct	6-Oct	800.00	4.0
COUGAR TRADING	044	WMT	S	5,000	48.78	5-Oct	6-Oct	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	WMT	S	5,500	48.74	5-Oct	6-Oct	220.00	4.0
PLATTE RIVER CAPITAL	035	WMT	S	18,500	48.47	5-Oct	6-Oct	740.00	4.0
SOROS	036	WMT	S	200,000	49.17	5-Oct	6-Oct	10,000.00	5.0
THIRD COAST CAPITAL	035	WMT	S	35,000	49.05	5-Oct	6-Oct	1,750.00	5.0
COUGAR TRADING	044	WRNC	B	5,000	19.29	5-Sep	15-Sep	250.00	5.0
DSG CAPITAL	225	WRNC	B	12,500	19.25	5-Sep	15-Sep	625.00	5.0
FRIESS MGMT	042	WRNC	S	136,500	19.28	5-Sep	15-Sep	6,142.50	4.5
HIGHBRIDGE CAPITAL	038	WY	S	105,000	61.19	20-Sep	21-Sep	5,250.00	5.0
PILOT ADV	038	WY	B	45,000	61.49	20-Sep	21-Sep	1,800.00	4.0
CAPITAL GROWTH MGMT.	241	WYE	S	15,000	50.85	n/a	31-Mar	750.00	5.0
DSG CAPITAL	225	ZQK	B	30,000	12.57	8-Sep	8-Sep	1,500.00	5.0
THE JAY GOLDMAN	034	ZQK	B	2,500	12.51	8-Sep	8-Sep	100.00	4.0
TRIVIUM CAP MGMT	028	ZQK	S	50,000	12.58	8-Sep	8-Sep	2,000.00	4.0
<b>TOTAL:</b>								<b>260,144.70</b>	



**Cancel/Corrects and As-Of Trades**

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	TRUST CO/COWEN/CALF. (TRCO4150)	040	AEP	B	15	37.44	n/a	n/a	0.75	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4387)	040	AEP	B	50	37.44	n/a	n/a	2.50	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4496)	040	AEP	B	300	37.44	n/a	n/a	15.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4565)	040	AEP	B	2,000	37.44	n/a	n/a	100.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3944)	040	AEP	B	200	37.44	n/a	n/a	10.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4236)	040	AEP	B	300	37.44	n/a	n/a	15.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4467)	040	AEP	B	1,100	37.44	n/a	n/a	55.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4577)	040	AEP	B	100	37.44	n/a	n/a	5.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3903)	040	AEP	B	200	37.44	n/a	n/a	10.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4026)	040	AEP	B	800	37.44	n/a	n/a	40.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4378)	040	AEP	B	100	37.44	n/a	n/a	5.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4510)	040	AEP	B	1,000	37.44	n/a	n/a	50.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3943)	040	AEP	B	500	37.44	n/a	n/a	25.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4225)	040	AEP	B	700	37.44	n/a	n/a	35.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4473)	040	AEP	B	200	37.44	n/a	n/a	10.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4574)	040	AEP	B	200	37.44	n/a	n/a	10.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4524)	040	AEP	B	100	37.44	n/a	n/a	5.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3901)	040	AEP	B	62,100	37.44	n/a	n/a	3,105.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4010)	040	AEP	B	500	37.44	n/a	n/a	25.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4204)	040	AEP	B	20	37.44	n/a	n/a	1.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4366)	040	AEP	B	200	37.44	n/a	n/a	10.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4509)	040	AEP	B	800	37.44	n/a	n/a	40.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4573)	040	AEP	B	100	37.44	n/a	n/a	5.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3942)	040	AEP	B	200	37.44	n/a	n/a	10.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4004)	040	AEP	B	10	37.44	n/a	n/a	0.50	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4476)	040	AEP	B	600	37.44	n/a	n/a	30.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4547)	040	AEP	B	100	37.44	n/a	n/a	5.00	5.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,	TRUST CO/COWEN/CALF. (TRCO4444)	040	AEP	B	200	37.44	n/a	n/a	10.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3941)	040	AEP	B	100	37.44	n/a	n/a	5.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3948)	040	AEP	B	10	37.44	n/a	n/a	0.50	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4039)	040	AEP	B	300	37.44	n/a	n/a	15.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4358)	040	AEP	B	400	37.44	n/a	n/a	20.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4433)	040	AEP	B	2,700	37.44	n/a	n/a	135.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4567)	040	AEP	B	300	37.44	n/a	n/a	15.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4587)	040	AEP	B	50	37.44	n/a	n/a	2.50	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3947)	040	AEP	B	50	37.44	n/a	n/a	2.50	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3991)	040	AEP	B	15	37.44	n/a	n/a	0.75	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4312)	040	AEP	B	100	37.44	n/a	n/a	5.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4382)	040	AEP	B	129	37.44	n/a	n/a	6.45	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO4454)	040	AEP	B	100	37.44	n/a	n/a	5.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3910)	040	AEP	B	3,600	37.44	n/a	n/a	180.00	5.0
AO,	TRUST CO/COWEN/CALF. (TRCO3990)	040	AEP	B	20	37.44	n/a	n/a	1.00	5.0
AO,	CLOVER CAPITAL (CLORMAINUB)	031	AVZ	B	34,800	22.26	6-Sep	25-Sep	1,740.00	5.0
AO,	CLOVER CAPITAL (CLORCARP)	031	AVZ	B	20,200	22.26	6-Sep	25-Sep	1,010.00	5.0
AO,CXL	ROARING BLUE LION MGMT (ROARMAIN U)	012	AVZ	S	5,840	22.00	6-Sep	25-Sep	233.60	4.0
AO,	ROARING BLUE LION MGMT (ROARMAIN U)	012	AVZ	S	5,840	22.00	6-Sep	25-Sep	292.00	5.0
AO,CXL	ROARING BLUE LION MGMT (ROARMAIN U)	012	AVZ	S	6,620	22.11	6-Sep	25-Sep	264.80	4.0
AO,	ROARING BLUE LION MGMT (ROARMAIN U)	012	AVZ	S	6,620	22.11	6-Sep	25-Sep	331.00	5.0
AO,CXL	BRIGHTPOINT CAP (BRIGMAIN)	067	CHS	B	17,500	22.13	23-Aug	6-Oct	700.00	4.0
AO,	BRIGHTPOINT CAP (BRIGMAIN)	067	CHS	B	17,500	22.13	23-Aug	6-Oct	875.00	5.0
AO,CXL	ROARING BLUE LION MGMT (ROARMAIN U)	012	CLE	B	4,100	28.97	20-Sep	6-Oct	164.00	4.0
AO,	ROARING BLUE LION MGMT (ROARMAIN U)	012	CLE	B	4,100	28.97	20-Sep	6-Oct	205.00	5.0
AO,CRT	SEQUENCE CAPITAL (SEQUGOLDMA)	028	COLM	S	2,756	53.46	n/a	n/a	110.24	4.0
AO,CXL	SEQUENCE CAPITAL (SEQUGOLDMA)	028	COLM	S	2,756	53.46	n/a	n/a	110.24	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,	THE JAY GOLDMAN (GOLDWOODMA)	034	DDS	B	10,000	32.87	n/a	n/a	400.00	4.0
AO,	THE JAY GOLDMAN (GOLDMASTER)	034	DDS	B	10,000	32.87	n/a	n/a	400.00	4.0
<b>AO,</b>	<b>MKT MAKER (MKT MAKER)</b>	<b>099</b>	<b>ENDP</b>	<b>S</b>	<b>1,512</b>	<b>32.29</b>	<b>19-Apr</b>	<b>6-Oct</b>	<b>0.00</b>	<b>0.0</b>
AO,	MANNING & NAPIER (MANNCD0347)	034	FDC	S	4,775	22.97	n/a	n/a	191.00	4.0
AO,	MANNING & NAPIER (MANNGR3710)	034	FDC	S	675	22.97	n/a	n/a	27.00	4.0
AO,	MANNING & NAPIER (MANNMJ6126)	034	FDC	S	1,525	22.97	n/a	n/a	61.00	4.0
AO,	MANNING & NAPIER (MANNEQ0422)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNEQ0623)	034	FDC	S	360	22.97	n/a	n/a	14.40	4.0
AO,	MANNING & NAPIER (MANNEQ4492)	034	FDC	S	275	22.97	n/a	n/a	11.00	4.0
AO,	MANNING & NAPIER (MANNEQ6120)	034	FDC	S	1,525	22.97	n/a	n/a	61.00	4.0
AO,	MANNING & NAPIER (MANNCD0330)	034	FDC	S	625	22.97	n/a	n/a	25.00	4.0
AO,	MANNING & NAPIER (MANNCD0339)	034	FDC	S	825	22.97	n/a	n/a	33.00	4.0
AO,	MANNING & NAPIER (MANNCD5791)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNGR3323)	034	FDC	S	1,335	22.97	n/a	n/a	53.40	4.0
AO,	MANNING & NAPIER (MANNCD0328)	034	FDC	S	750	22.97	n/a	n/a	30.00	4.0
AO,	MANNING & NAPIER (MANNEQ0290)	034	FDC	S	435	22.97	n/a	n/a	17.40	4.0
AO,	MANNING & NAPIER (MANNMJ3865)	034	FDC	S	475	22.97	n/a	n/a	19.00	4.0
AO,	MANNING & NAPIER (MANNGR3262)	034	FDC	S	1,575	22.97	n/a	n/a	63.00	4.0
AO,	MANNING & NAPIER (MANNGR2449)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNCD0466)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNEQ7362)	034	FDC	S	11,975	22.97	n/a	n/a	479.00	4.0
AO,	MANNING & NAPIER (MANNEQ2066)	034	FDC	S	425	22.97	n/a	n/a	17.00	4.0
AO,	MANNING & NAPIER (MANNEQ0101)	034	FDC	S	175	22.97	n/a	n/a	7.00	4.0
AO,	MANNING & NAPIER (MANNEQ6160)	034	FDC	S	950	22.97	n/a	n/a	38.00	4.0
AO,	MANNING & NAPIER (MANNMJ8835)	034	FDC	S	405	22.97	n/a	n/a	16.20	4.0
AO,	MANNING & NAPIER (MANNCD2123)	034	FDC	S	1,560	22.97	n/a	n/a	62.40	4.0
AO,	MANNING & NAPIER (MANNGR6176)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNMJ5137)	034	FDC	S	95	22.97	n/a	n/a	3.80	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	MANNING & NAPIER (MANNEQ0115)	034	FDC	S	10,395	22.97	n/a	n/a	415.80	4.0
AO,	MANNING & NAPIER (MANNGR6357)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNGR0287)	034	FDC	S	300	22.97	n/a	n/a	12.00	4.0
AO,	MANNING & NAPIER (MANNCD1998)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNEQ7471)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNEQ8995)	034	FDC	S	4,600	22.97	n/a	n/a	184.00	4.0
AO,	MANNING & NAPIER (MANNGR4226)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNCD2295)	034	FDC	S	170	22.97	n/a	n/a	6.80	4.0
AO,	MANNING & NAPIER (MANNJS0027)	034	FDC	S	160	22.97	n/a	n/a	6.40	4.0
AO,	MANNING & NAPIER (MANNGR4227)	034	FDC	S	620	22.97	n/a	n/a	24.80	4.0
AO,	MANNING & NAPIER (MANNGR0059)	034	FDC	S	80	22.97	n/a	n/a	3.20	4.0
AO,	MANNING & NAPIER (MANNEQ6320)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNEQ2437)	034	FDC	S	70	22.97	n/a	n/a	2.80	4.0
AO,	MANNING & NAPIER (MANNJS5000)	034	FDC	S	165	22.97	n/a	n/a	6.60	4.0
AO,	MANNING & NAPIER (MANNCD7401)	034	FDC	S	175	22.97	n/a	n/a	7.00	4.0
AO,	MANNING & NAPIER (MANNCD6489)	034	FDC	S	375	22.97	n/a	n/a	15.00	4.0
AO,	MANNING & NAPIER (MANNCD4062)	034	FDC	S	40	22.97	n/a	n/a	1.60	4.0
AO,	MANNING & NAPIER (MANNCD6662)	034	FDC	S	600	22.97	n/a	n/a	24.00	4.0
AO,	MANNING & NAPIER (MANNCD0469)	034	FDC	S	120	22.97	n/a	n/a	4.80	4.0
AO,	MANNING & NAPIER (MANNMJ4542)	034	FDC	S	195	22.97	n/a	n/a	7.80	4.0
AO,	MANNING & NAPIER (MANNCD9310)	034	FDC	S	275	22.97	n/a	n/a	11.00	4.0
AO,	MANNING & NAPIER (MANNGR4670)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNGR0023)	034	FDC	S	2,510	22.97	n/a	n/a	100.40	4.0
AO,	MANNING & NAPIER (MANNEQ6196)	034	FDC	S	300	22.97	n/a	n/a	12.00	4.0
AO,	MANNING & NAPIER (MANNEQ0164)	034	FDC	S	190	22.97	n/a	n/a	7.60	4.0
AO,	MANNING & NAPIER (MANNGR7380)	034	FDC	S	175	22.97	n/a	n/a	7.00	4.0
AO,	MANNING & NAPIER (MANNCD9638)	034	FDC	S	290	22.97	n/a	n/a	11.60	4.0
AO,	MANNING & NAPIER (MANNGR0801)	034	FDC	S	225	22.97	n/a	n/a	9.00	4.0
AO,	MANNING & NAPIER (MANNMJ0544)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNCD0353)	034	FDC	S	1,060	22.97	n/a	n/a	42.40	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	MANNING & NAPIER (MANNMJ0037)	034	FDC	S	300	22.97	n/a	n/a	12.00	4.0
AO,	MANNING & NAPIER (MANNEQ0614)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNGR1643)	034	FDC	S	200	22.97	n/a	n/a	8.00	4.0
AO,	MANNING & NAPIER (MANNMJ6124)	034	FDC	S	20,025	22.97	n/a	n/a	801.00	4.0
AO,	MANNING & NAPIER (MANNCD0348)	034	FDC	S	1,225	22.97	n/a	n/a	49.00	4.0
AO,	MANNING & NAPIER (MANNEQ0622)	034	FDC	S	1,165	22.97	n/a	n/a	46.60	4.0
AO,	MANNING & NAPIER (MANNEQ4489)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNGR4695)	034	FDC	S	4,800	22.97	n/a	n/a	192.00	4.0
AO,	MANNING & NAPIER (MANNGR0288)	034	FDC	S	225	22.97	n/a	n/a	9.00	4.0
AO,	MANNING & NAPIER (MANNCD0338)	034	FDC	S	725	22.97	n/a	n/a	29.00	4.0
AO,	MANNING & NAPIER (MANNMJ5109)	034	FDC	S	1,625	22.97	n/a	n/a	65.00	4.0
AO,	MANNING & NAPIER (MANNEQ4170)	034	FDC	S	525	22.97	n/a	n/a	21.00	4.0
AO,	MANNING & NAPIER (MANNcd2656)	034	FDC	S	4,500	22.97	n/a	n/a	180.00	4.0
AO,	MANNING & NAPIER (MANNCD8100)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNMJ2190)	034	FDC	S	200	22.97	n/a	n/a	8.00	4.0
AO,	MANNING & NAPIER (MANNGR1790)	034	FDC	S	375	22.97	n/a	n/a	15.00	4.0
AO,	MANNING & NAPIER (MANNEQ6155)	034	FDC	S	600	22.97	n/a	n/a	24.00	4.0
AO,	MANNING & NAPIER (MANNCD0357)	034	FDC	S	485	22.97	n/a	n/a	19.40	4.0
AO,	MANNING & NAPIER (MANNEQ6154)	034	FDC	S	2,175	22.97	n/a	n/a	87.00	4.0
AO,	MANNING & NAPIER (MANNCD6386)	034	FDC	S	145	22.97	n/a	n/a	5.80	4.0
AO,	MANNING & NAPIER (MANNMJ1882)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNEQ6148)	034	FDC	S	135	22.97	n/a	n/a	5.40	4.0
AO,	MANNING & NAPIER (MANNMJ6570)	034	FDC	S	120	22.97	n/a	n/a	4.80	4.0
AO,	MANNING & NAPIER (MANNCD1921)	034	FDC	S	275	22.97	n/a	n/a	11.00	4.0
AO,	MANNING & NAPIER (MANNGR6093)	034	FDC	S	1,015	22.97	n/a	n/a	40.60	4.0
AO,	MANNING & NAPIER (MANNMJ5180)	034	FDC	S	250	22.97	n/a	n/a	10.00	4.0
AO,	MANNING & NAPIER (MANNEQ0116)	034	FDC	S	12,995	22.97	n/a	n/a	519.80	4.0
AO,	MANNING & NAPIER (MANNGR6373)	034	FDC	S	350	22.97	n/a	n/a	14.00	4.0
AO,	MANNING & NAPIER (MANNGR3123)	034	FDC	S	2,750	22.97	n/a	n/a	110.00	4.0
AO,	MANNING & NAPIER (MANNMJ0023)	034	FDC	S	475	22.97	n/a	n/a	19.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	MANNING & NAPIER (MANNGR4723)	034	FDC	S	26,925	22.97	n/a	n/a	1,077.00 4.0
AO,	MANNING & NAPIER (MANNEQ8458)	034	FDC	S	50	22.97	n/a	n/a	2.00 4.0
AO,	MANNING & NAPIER (MANNGR4454)	034	FDC	S	80	22.97	n/a	n/a	3.20 4.0
AO,	MANNING & NAPIER (MANNEQ7866)	034	FDC	S	400	22.97	n/a	n/a	16.00 4.0
AO,	MANNING & NAPIER (MANNCD5007)	034	FDC	S	560	22.97	n/a	n/a	22.40 4.0
AO,	MANNING & NAPIER (MANNJS0069)	034	FDC	S	1,450	22.97	n/a	n/a	58.00 4.0
AO,	MANNING & NAPIER (MANNGR4253)	034	FDC	S	6,475	22.97	n/a	n/a	259.00 4.0
AO,	MANNING & NAPIER (MANNGR0095)	034	FDC	S	2,530	22.97	n/a	n/a	101.20 4.0
AO,	MANNING & NAPIER (MANNEQ6620)	034	FDC	S	50	22.97	n/a	n/a	2.00 4.0
AO,	MANNING & NAPIER (MANNEQ3620)	034	FDC	S	315	22.97	n/a	n/a	12.60 4.0
AO,	MANNING & NAPIER (MANNMJ0044)	034	FDC	S	55	22.97	n/a	n/a	2.20 4.0
AO,	MANNING & NAPIER (MANNCD8940)	034	FDC	S	1,325	22.97	n/a	n/a	53.00 4.0
AO,	MANNING & NAPIER (MANNGR9242)	034	FDC	S	655	22.97	n/a	n/a	26.20 4.0
AO,	MANNING & NAPIER (MANNCD4633)	034	FDC	S	700	22.97	n/a	n/a	28.00 4.0
AO,	MANNING & NAPIER (MANNGR8756)	034	FDC	S	525	22.97	n/a	n/a	21.00 4.0
AO,	MANNING & NAPIER (MANNCD0699)	034	FDC	S	25	22.97	n/a	n/a	1.00 4.0
AO,	MANNING & NAPIER (MANNGR7535)	034	FDC	S	380	22.97	n/a	n/a	15.20 4.0
AO,	MANNING & NAPIER (MANNCD8675)	034	FDC	S	70	22.97	n/a	n/a	2.80 4.0
AO,	MANNING & NAPIER (MANNGR5354)	034	FDC	S	335	22.97	n/a	n/a	13.40 4.0
AO,	MANNING & NAPIER (MANNGR0024)	034	FDC	S	3,125	22.97	n/a	n/a	125.00 4.0
AO,	MANNING & NAPIER (MANNCD1948)	034	FDC	S	175	22.97	n/a	n/a	7.00 4.0
AO,	MANNING & NAPIER (MANNEQ6550)	034	FDC	S	315	22.97	n/a	n/a	12.60 4.0
AO,	MANNING & NAPIER (MANNGR4381)	034	FDC	S	250	22.97	n/a	n/a	10.00 4.0
AO,	MANNING & NAPIER (MANNCD9905)	034	FDC	S	100	22.97	n/a	n/a	4.00 4.0
AO,	MANNING & NAPIER (MANNEQ5008)	034	FDC	S	5,825	22.97	n/a	n/a	233.00 4.0
AO,	MANNING & NAPIER (MANNMJ2296)	034	FDC	S	175	22.97	n/a	n/a	7.00 4.0
AO,	MANNING & NAPIER (MANNGR5001)	034	FDC	S	120	22.97	n/a	n/a	4.80 4.0
AO,	MANNING & NAPIER (MANNMJ0049)	034	FDC	S	500	22.97	n/a	n/a	20.00 4.0
AO,	MANNING & NAPIER (MANNEQ6150)	034	FDC	S	415	22.97	n/a	n/a	16.60 4.0
AO,	MANNING & NAPIER (MANNEQ5535)	034	FDC	S	225	22.97	n/a	n/a	9.00 4.0

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AO,	MANNING & NAPIER (MANNMJ5629)	034	FDC	S	200	22.97	n/a	n/a	8.00	4.0
AO,	MANNING & NAPIER (MANNEQ5026)	034	FDC	S	550	22.97	n/a	n/a	22.00	4.0
AO,	MANNING & NAPIER (MANNEQ0378)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNCD1295)	034	FDC	S	925	22.97	n/a	n/a	37.00	4.0
AO,	MANNING & NAPIER (MANNGR4606)	034	FDC	S	275	22.97	n/a	n/a	11.00	4.0
AO,	MANNING & NAPIER (MANNMJ4762)	034	FDC	S	575	22.97	n/a	n/a	23.00	4.0
AO,	MANNING & NAPIER (MANNCD0337)	034	FDC	S	1,330	22.97	n/a	n/a	53.20	4.0
AO,	MANNING & NAPIER (MANNGR1641)	034	FDC	S	1,800	22.97	n/a	n/a	72.00	4.0
AO,	MANNING & NAPIER (MANNEQ0124)	034	FDC	S	95	22.97	n/a	n/a	3.80	4.0
AO,	MANNING & NAPIER (MANNGR8301)	034	FDC	S	200	22.97	n/a	n/a	8.00	4.0
AO,	MANNING & NAPIER (MANNCD7845)	034	FDC	S	375	22.97	n/a	n/a	15.00	4.0
AO,	MANNING & NAPIER (MANNEQ8200)	034	FDC	S	315	22.97	n/a	n/a	12.60	4.0
AO,	MANNING & NAPIER (MANNGR8270)	034	FDC	S	930	22.97	n/a	n/a	37.20	4.0
AO,	MANNING & NAPIER (MANNEQ6149)	034	FDC	S	600	22.97	n/a	n/a	24.00	4.0
AO,	MANNING & NAPIER (MANNCD4212)	034	FDC	S	23,175	22.97	n/a	n/a	927.00	4.0
AO,	MANNING & NAPIER (MANNEQ6195)	034	FDC	S	250	22.97	n/a	n/a	10.00	4.0
AO,	MANNING & NAPIER (MANNMJ4916)	034	FDC	S	1,400	22.97	n/a	n/a	56.00	4.0
AO,	MANNING & NAPIER (MANNMJ1877)	034	FDC	S	12,975	22.97	n/a	n/a	519.00	4.0
AO,	MANNING & NAPIER (MANNEQ6144)	034	FDC	S	510	22.97	n/a	n/a	20.40	4.0
AO,	MANNING & NAPIER (MANNMJ3831)	034	FDC	S	260	22.97	n/a	n/a	10.40	4.0
AO,	MANNING & NAPIER (MANNCD1908)	034	FDC	S	2,025	22.97	n/a	n/a	81.00	4.0
AO,	MANNING & NAPIER (MANNGR4215)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNMJ7258)	034	FDC	S	1,035	22.97	n/a	n/a	41.40	4.0
AO,	MANNING & NAPIER (MANNEQ0611)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNGR6635)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNGR4021)	034	FDC	S	1,585	22.97	n/a	n/a	63.40	4.0
AO,	MANNING & NAPIER (MANNMJ2411)	034	FDC	S	2,925	22.97	n/a	n/a	117.00	4.0
AO,	MANNING & NAPIER (MANNGR5269)	034	FDC	S	29,575	22.97	n/a	n/a	1,183.00	4.0
AO,	MANNING & NAPIER (MANNMJ0040)	034	FDC	S	480	22.97	n/a	n/a	19.20	4.0
AO,	MANNING & NAPIER (MANNEQ6198)	034	FDC	S	325	22.97	n/a	n/a	13.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	MANNING & NAPIER (MANNEQ8459)	034	FDC	S	95	22.97	n/a	n/a	3.80	4.0
AO,	MANNING & NAPIER (MANNMJ2885)	034	FDC	S	750	22.97	n/a	n/a	30.00	4.0
AO,	MANNING & NAPIER (MANNJS0072)	034	FDC	S	240	22.97	n/a	n/a	9.60	4.0
AO,	MANNING & NAPIER (MANNGR4254)	034	FDC	S	3,350	22.97	n/a	n/a	134.00	4.0
AO,	MANNING & NAPIER (MANNGR0289)	034	FDC	S	240	22.97	n/a	n/a	9.60	4.0
AO,	MANNING & NAPIER (MANNEQ6621)	034	FDC	S	2,025	22.97	n/a	n/a	81.00	4.0
AO,	MANNING & NAPIER (MANNEQ3750)	034	FDC	S	1,340	22.97	n/a	n/a	53.60	4.0
AO,	MANNING & NAPIER (MANNMJ0045)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNCD9109)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNGR9640)	034	FDC	S	975	22.97	n/a	n/a	39.00	4.0
AO,	MANNING & NAPIER (MANNCD5032)	034	FDC	S	20	22.97	n/a	n/a	0.80	4.0
AO,	MANNING & NAPIER (MANNMJ7272)	034	FDC	S	350	22.97	n/a	n/a	14.00	4.0
AO,	MANNING & NAPIER (MANNCD0943)	034	FDC	S	220	22.97	n/a	n/a	8.80	4.0
AO,	MANNING & NAPIER (MANNCD1935)	034	FDC	S	550	22.97	n/a	n/a	22.00	4.0
AO,	MANNING & NAPIER (MANNGR6257)	034	FDC	S	250	22.97	n/a	n/a	10.00	4.0
AO,	MANNING & NAPIER (MANNMJ8572)	034	FDC	S	450	22.97	n/a	n/a	18.00	4.0
AO,	MANNING & NAPIER (MANNEQ4405)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNMJ7495)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNJS1501)	034	FDC	S	1,860	22.97	n/a	n/a	74.40	4.0
AO,	MANNING & NAPIER (MANNGR4552)	034	FDC	S	80	22.97	n/a	n/a	3.20	4.0
AO,	MANNING & NAPIER (MANNCD9906)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNCD4067)	034	FDC	S	40	22.97	n/a	n/a	1.60	4.0
AO,	MANNING & NAPIER (MANNMJ3135)	034	FDC	S	250	22.97	n/a	n/a	10.00	4.0
AO,	MANNING & NAPIER (MANNGR5375)	034	FDC	S	705	22.97	n/a	n/a	28.20	4.0
AO,	MANNING & NAPIER (MANNMJ0103)	034	FDC	S	250	22.97	n/a	n/a	10.00	4.0
AO,	MANNING & NAPIER (MANNCD6355)	034	FDC	S	220	22.97	n/a	n/a	8.80	4.0
AO,	MANNING & NAPIER (MANNEQ4491)	034	FDC	S	750	22.97	n/a	n/a	30.00	4.0
AO,	MANNING & NAPIER (MANNMJ2886)	034	FDC	S	2,600	22.97	n/a	n/a	104.00	4.0
AO,	MANNING & NAPIER (MANNEQ5025)	034	FDC	S	300	22.97	n/a	n/a	12.00	4.0
AO,	MANNING & NAPIER (MANNCD0941)	034	FDC	S	1,025	22.97	n/a	n/a	41.00	4.0



Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	MANNING & NAPIER (MANNCD1101)	034	FDC	S	220	22.97	n/a	n/a	8.80	4.0
AO,	MANNING & NAPIER (MANNGR4605)	034	FDC	S	300	22.97	n/a	n/a	12.00	4.0
AO,	MANNING & NAPIER (MANNMJ4765)	034	FDC	S	525	22.97	n/a	n/a	21.00	4.0
AO,	MANNING & NAPIER (MANNCD0336)	034	FDC	S	1,025	22.97	n/a	n/a	41.00	4.0
AO,	MANNING & NAPIER (MANNMJ5116)	034	FDC	S	200	22.97	n/a	n/a	8.00	4.0
AO,	MANNING & NAPIER (MANNCD1490)	034	FDC	S	2,550	22.97	n/a	n/a	102.00	4.0
AO,	MANNING & NAPIER (MANNMJ7580)	034	FDC	S	325	22.97	n/a	n/a	13.00	4.0
AO,	MANNING & NAPIER (MANNCD7128)	034	FDC	S	2,325	22.97	n/a	n/a	93.00	4.0
AO,	MANNING & NAPIER (MANNEQ6500)	034	FDC	S	335	22.97	n/a	n/a	13.40	4.0
AO,	MANNING & NAPIER (MANNGR7441)	034	FDC	S	120	22.97	n/a	n/a	4.80	4.0
AO,	MANNING & NAPIER (MANNGR9194)	034	FDC	S	775	22.97	n/a	n/a	31.00	4.0
AO,	MANNING & NAPIER (MANNMJ8571)	034	FDC	S	2,925	22.97	n/a	n/a	117.00	4.0
AO,	MANNING & NAPIER (MANNEQ6152)	034	FDC	S	2,900	22.97	n/a	n/a	116.00	4.0
AO,	MANNING & NAPIER (MANNMJ4915)	034	FDC	S	3,345	22.97	n/a	n/a	133.80	4.0
AO,	MANNING & NAPIER (MANNGR4722)	034	FDC	S	400	22.97	n/a	n/a	16.00	4.0
AO,	MANNING & NAPIER (MANNEQ6147)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNEQ7618)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNCD1121)	034	FDC	S	1,200	22.97	n/a	n/a	48.00	4.0
AO,	MANNING & NAPIER (MANNGR2447)	034	FDC	S	265	22.97	n/a	n/a	10.60	4.0
AO,	MANNING & NAPIER (MANNMJ7305)	034	FDC	S	545	22.97	n/a	n/a	21.80	4.0
AO,	MANNING & NAPIER (MANNMJ3526)	034	FDC	S	175	22.97	n/a	n/a	7.00	4.0
AO,	MANNING & NAPIER (MANNGR7175)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNGR4022)	034	FDC	S	550	22.97	n/a	n/a	22.00	4.0
AO,	MANNING & NAPIER (MANNMJ2412)	034	FDC	S	1,200	22.97	n/a	n/a	48.00	4.0
AO,	MANNING & NAPIER (MANNGR6057)	034	FDC	S	275	22.97	n/a	n/a	11.00	4.0
AO,	MANNING & NAPIER (MANNCD5790)	034	FDC	S	45	22.97	n/a	n/a	1.80	4.0
AO,	MANNING & NAPIER (MANNEQ8356)	034	FDC	S	145	22.97	n/a	n/a	5.80	4.0
AO,	MANNING & NAPIER (MANNEQ4177)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNGR4255)	034	FDC	S	2,525	22.97	n/a	n/a	101.00	4.0
AO,	MANNING & NAPIER (MANNGR1620)	034	FDC	S	900	22.97	n/a	n/a	36.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	MANNING & NAPIER (MANNEQ7470)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNEQ5538)	034	FDC	S	120	22.97	n/a	n/a	4.80	4.0
AO,	MANNING & NAPIER (MANNCD0255)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNEQ0125)	034	FDC	S	250	22.97	n/a	n/a	10.00	4.0
AO,	MANNING & NAPIER (MANNCD2328)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNCD5034)	034	FDC	S	20	22.97	n/a	n/a	0.80	4.0
AO,	MANNING & NAPIER (MANNMJ7283)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNCD1010)	034	FDC	S	1,600	22.97	n/a	n/a	64.00	4.0
AO,	MANNING & NAPIER (MANNMJ2292)	034	FDC	S	160	22.97	n/a	n/a	6.40	4.0
AO,	MANNING & NAPIER (MANNCD8550)	034	FDC	S	175	22.97	n/a	n/a	7.00	4.0
AO,	MANNING & NAPIER (MANNEQ0405)	034	FDC	S	8,175	22.97	n/a	n/a	327.00	4.0
AO,	MANNING & NAPIER (MANNGR0028)	034	FDC	S	95	22.97	n/a	n/a	3.80	4.0
AO,	MANNING & NAPIER (MANNMJ7287)	034	FDC	S	275	22.97	n/a	n/a	11.00	4.0
AO,	MANNING & NAPIER (MANNMJ2293)	034	FDC	S	70	22.97	n/a	n/a	2.80	4.0
AO,	MANNING & NAPIER (MANNGR2450)	034	FDC	S	260	22.97	n/a	n/a	10.40	4.0
AO,	MANNING & NAPIER (MANNCD0073)	034	FDC	S	2,325	22.97	n/a	n/a	93.00	4.0
AO,	MANNING & NAPIER (MANNCD0098)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNMJ3405)	034	FDC	S	250	22.97	n/a	n/a	10.00	4.0
AO,	MANNING & NAPIER (MANNGR6064)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNMJ0137)	034	FDC	S	140	22.97	n/a	n/a	5.60	4.0
AO,	MANNING & NAPIER (MANNJS1009)	034	FDC	S	120	22.97	n/a	n/a	4.80	4.0
AO,	MANNING & NAPIER (MANNEQ1593)	034	FDC	S	190	22.97	n/a	n/a	7.60	4.0
AO,	MANNING & NAPIER (MANNEQ4490)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNMJ2672)	034	FDC	S	1,325	22.97	n/a	n/a	53.00	4.0
AO,	MANNING & NAPIER (MANNMU2020)	034	FDC	S	49,400	22.97	n/a	n/a	1,976.00	4.0
AO,	MANNING & NAPIER (MANNGR4130)	034	FDC	S	335	22.97	n/a	n/a	13.40	4.0
AO,	MANNING & NAPIER (MANNCD1092)	034	FDC	S	2,650	22.97	n/a	n/a	106.00	4.0
AO,	MANNING & NAPIER (MANNMJ6050)	034	FDC	S	500	22.97	n/a	n/a	20.00	4.0
AO,	MANNING & NAPIER (MANNCD5473)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNCD0334)	034	FDC	S	425	22.97	n/a	n/a	17.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	MANNING & NAPIER (MANNMJ5112)	034	FDC	S	1,050	22.97	n/a	n/a	42.00 4.0
AO,	MANNING & NAPIER (MANNCD1113)	034	FDC	S	340	22.97	n/a	n/a	13.60 4.0
AO,	MANNING & NAPIER (MANNMJ0536)	034	FDC	S	200	22.97	n/a	n/a	8.00 4.0
AO,	MANNING & NAPIER (MANNCD5470)	034	FDC	S	425	22.97	n/a	n/a	17.00 4.0
AO,	MANNING & NAPIER (MANNEQ3760)	034	FDC	S	1,250	22.97	n/a	n/a	50.00 4.0
AO,	MANNING & NAPIER (MANNGR6647)	034	FDC	S	3,115	22.97	n/a	n/a	124.60 4.0
AO,	MANNING & NAPIER (MANNGR6022)	034	FDC	S	3,220	22.97	n/a	n/a	128.80 4.0
AO,	MANNING & NAPIER (MANNMJ8570)	034	FDC	S	4,275	22.97	n/a	n/a	171.00 4.0
AO,	MANNING & NAPIER (MANNGR1118)	034	FDC	S	150	22.97	n/a	n/a	6.00 4.0
AO,	MANNING & NAPIER (MANNMJ3863)	034	FDC	S	100	22.97	n/a	n/a	4.00 4.0
AO,	MANNING & NAPIER (MANNGR4721)	034	FDC	S	620	22.97	n/a	n/a	24.80 4.0
AO,	MANNING & NAPIER (MANNEQ6142)	034	FDC	S	150	22.97	n/a	n/a	6.00 4.0
AO,	MANNING & NAPIER (MANNEQ6181)	034	FDC	S	170	22.97	n/a	n/a	6.80 4.0
AO,	MANNING & NAPIER (MANNCD1084)	034	FDC	S	725	22.97	n/a	n/a	29.00 4.0
AO,	MANNING & NAPIER (MANNGR2446)	034	FDC	S	220	22.97	n/a	n/a	8.80 4.0
AO,	MANNING & NAPIER (MANNMJ7511)	034	FDC	S	335	22.97	n/a	n/a	13.40 4.0
AO,	MANNING & NAPIER (MANNMJ4067)	034	FDC	S	425	22.97	n/a	n/a	17.00 4.0
AO,	MANNING & NAPIER (MANNCD0710)	034	FDC	S	5,775	22.97	n/a	n/a	231.00 4.0
AO,	MANNING & NAPIER (MANNGR4698)	034	FDC	S	17,295	22.97	n/a	n/a	691.80 4.0
AO,	MANNING & NAPIER (MANNGR4724)	034	FDC	S	250	22.97	n/a	n/a	10.00 4.0
AO,	MANNING & NAPIER (MANNGR8726)	034	FDC	S	1,550	22.97	n/a	n/a	62.00 4.0
AO,	MANNING & NAPIER (MANNCD5797)	034	FDC	S	200	22.97	n/a	n/a	8.00 4.0
AO,	MANNING & NAPIER (MANN313241)	034	FDC	S	1,600	22.97	n/a	n/a	64.00 4.0
AO,	MANNING & NAPIER (MANNGR9856)	034	FDC	S	2,925	22.97	n/a	n/a	117.00 4.0
AO,	MANNING & NAPIER (MANNGR4274)	034	FDC	S	175	22.97	n/a	n/a	7.00 4.0
AO,	MANNING & NAPIER (MANNGR2030)	034	FDC	S	2,155	22.97	n/a	n/a	86.20 4.0
AO,	MANNING & NAPIER (MANNEQ8400)	034	FDC	S	75	22.97	n/a	n/a	3.00 4.0
AO,	MANNING & NAPIER (MANNEQ6159)	034	FDC	S	25	22.97	n/a	n/a	1.00 4.0
AO,	MANNING & NAPIER (MANNCD0037)	034	FDC	S	265	22.97	n/a	n/a	10.60 4.0
AO,	MANNING & NAPIER (MANNEQ0425)	034	FDC	S	1,125	22.97	n/a	n/a	45.00 4.0

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AO,	MANNING & NAPIER (MANNEQ4118)	034	FDC	S	120	22.97	n/a	n/a	4.80	4.0
AO,	MANNING & NAPIER (MANNCD6391)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNMJ8485)	034	FDC	S	275	22.97	n/a	n/a	11.00	4.0
AO,	MANNING & NAPIER (MANNCD1744)	034	FDC	S	200	22.97	n/a	n/a	8.00	4.0
AO,	MANNING & NAPIER (MANNMJ7261)	034	FDC	S	375	22.97	n/a	n/a	15.00	4.0
AO,	MANNING & NAPIER (MANNEQ2445)	034	FDC	S	625	22.97	n/a	n/a	25.00	4.0
AO,	MANNING & NAPIER (MANNEQ0971)	034	FDC	S	250	22.97	n/a	n/a	10.00	4.0
AO,	MANNING & NAPIER (MANNGR1008)	034	FDC	S	95	22.97	n/a	n/a	3.80	4.0
AO,	MANNING & NAPIER (MANNGR0014)	034	FDC	S	725	22.97	n/a	n/a	29.00	4.0
AO,	MANNING & NAPIER (MANNJS0040)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNGR9235)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNCD1081)	034	FDC	S	7,825	22.97	n/a	n/a	313.00	4.0
AO,	MANNING & NAPIER (MANNGR9862)	034	FDC	S	175	22.97	n/a	n/a	7.00	4.0
AO,	MANNING & NAPIER (MANNMJ5142)	034	FDC	S	2,320	22.97	n/a	n/a	92.80	4.0
AO,	MANNING & NAPIER (MANNGR6165)	034	FDC	S	1,200	22.97	n/a	n/a	48.00	4.0
AO,	MANNING & NAPIER (MANNMJ0142)	034	FDC	S	60	22.97	n/a	n/a	2.40	4.0
AO,	MANNING & NAPIER (MANNJ21011)	034	FDC	S	180	22.97	n/a	n/a	7.20	4.0
AO,	MANNING & NAPIER (MANNCD1734)	034	FDC	S	510	22.97	n/a	n/a	20.40	4.0
AO,	MANNING & NAPIER (MANNEQ1348)	034	FDC	S	70	22.97	n/a	n/a	2.80	4.0
AO,	MANNING & NAPIER (MANNGR5580)	034	FDC	S	200	22.97	n/a	n/a	8.00	4.0
AO,	MANNING & NAPIER (MANNMU2000)	034	FDC	S	27,870	22.97	n/a	n/a	1,114.80	4.0
AO,	MANNING & NAPIER (MANNCD0344)	034	FDC	S	5,260	22.97	n/a	n/a	210.40	4.0
AO,	MANNING & NAPIER (MANNCD0061)	034	FDC	S	550	22.97	n/a	n/a	22.00	4.0
AO,	MANNING & NAPIER (MANNGR6170)	034	FDC	S	825	22.97	n/a	n/a	33.00	4.0
AO,	MANNING & NAPIER (MANNCD5455)	034	FDC	S	350	22.97	n/a	n/a	14.00	4.0
AO,	MANNING & NAPIER (MANNCD0333)	034	FDC	S	425	22.97	n/a	n/a	17.00	4.0
AO,	MANNING & NAPIER (MANNMJ5117)	034	FDC	S	250	22.97	n/a	n/a	10.00	4.0
AO,	MANNING & NAPIER (MANNCD0705)	034	FDC	S	315	22.97	n/a	n/a	12.60	4.0
AO,	MANNING & NAPIER (MANNMJ0449)	034	FDC	S	325	22.97	n/a	n/a	13.00	4.0
AO,	MANNING & NAPIER (MANNCD2233)	034	FDC	S	1,425	22.97	n/a	n/a	57.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	MANNING & NAPIER (MANNEQ3240)	034	FDC	S	625	22.97	n/a	n/a	25.00	4.0
AO,	MANNING & NAPIER (MANNGR6379)	034	FDC	S	215	22.97	n/a	n/a	8.60	4.0
AO,	MANNING & NAPIER (MANNGR5599)	034	FDC	S	340	22.97	n/a	n/a	13.60	4.0
AO,	MANNING & NAPIER (MANNMJ5632)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNGR1116)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNMJ3862)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNEQ7547)	034	FDC	S	195	22.97	n/a	n/a	7.80	4.0
AO,	MANNING & NAPIER (MANNEQ0389)	034	FDC	S	225	22.97	n/a	n/a	9.00	4.0
AO,	MANNING & NAPIER (MANNEQ6172)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNCD0017)	034	FDC	S	390	22.97	n/a	n/a	15.60	4.0
AO,	MANNING & NAPIER (MANNCD8516)	034	FDC	S	95	22.97	n/a	n/a	3.80	4.0
AO,	MANNING & NAPIER (MANNMJ8110)	034	FDC	S	375	22.97	n/a	n/a	15.00	4.0
AO,	MANNING & NAPIER (MANNMJ4591)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNCD1303)	034	FDC	S	275	22.97	n/a	n/a	11.00	4.0
AO,	MANNING & NAPIER (MANNGR4689)	034	FDC	S	1,000	22.97	n/a	n/a	40.00	4.0
AO,	MANNING & NAPIER (MANNEQ3450)	034	FDC	S	375	22.97	n/a	n/a	15.00	4.0
AO,	MANNING & NAPIER (MANNCD1469)	034	FDC	S	225	22.97	n/a	n/a	9.00	4.0
AO,	MANNING & NAPIER (MANNCD5801)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNEQ8295)	034	FDC	S	875	22.97	n/a	n/a	35.00	4.0
AO,	MANNING & NAPIER (MANNEQ6197)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNGR4348)	034	FDC	S	1,080	22.97	n/a	n/a	43.20	4.0
AO,	MANNING & NAPIER (MANNGR3320)	034	FDC	S	525	22.97	n/a	n/a	21.00	4.0
AO,	MANNING & NAPIER (MANNGR0037)	034	FDC	S	530	22.97	n/a	n/a	21.20	4.0
AO,	MANNING & NAPIER (MANNEQ6199)	034	FDC	S	145	22.97	n/a	n/a	5.80	4.0
AO,	MANNING & NAPIER (MANNMJ1025)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNEQ0612)	034	FDC	S	75	22.97	n/a	n/a	3.00	4.0
AO,	MANNING & NAPIER (MANNCD2657)	034	FDC	S	1,325	22.97	n/a	n/a	53.00	4.0
AO,	MANNING & NAPIER (MANN)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNGR4878)	034	FDC	S	175	22.97	n/a	n/a	7.00	4.0
AO,	MANNING & NAPIER (MANNCD4038)	034	FDC	S	80	22.97	n/a	n/a	3.20	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	MANNING & NAPIER (MANNCD2316)	034	FDC	S	250	22.97	n/a	n/a	10.00 4.0
AO,	MANNING & NAPIER (MANNGR6398)	034	FDC	S	240	22.97	n/a	n/a	9.60 4.0
AO,	MANNING & NAPIER (MANNEQ0970)	034	FDC	S	475	22.97	n/a	n/a	19.00 4.0
AO,	MANNING & NAPIER (MANNEQ1313)	034	FDC	S	655	22.97	n/a	n/a	26.20 4.0
AO,	MANNING & NAPIER (MANNMJ8616)	034	FDC	S	325	22.97	n/a	n/a	13.00 4.0
AO,	MANNING & NAPIER (MANNMJ1063)	034	FDC	S	200	22.97	n/a	n/a	8.00 4.0
AO,	MANNING & NAPIER (MANNCD1952)	034	FDC	S	100	22.97	n/a	n/a	4.00 4.0
AO,	MANNING & NAPIER (MANNCD1120)	034	FDC	S	1,400	22.97	n/a	n/a	56.00 4.0
AO,	MANNING & NAPIER (MANNCD0094)	034	FDC	S	175	22.97	n/a	n/a	7.00 4.0
AO,	MANNING & NAPIER (MANNJS8002)	034	FDC	S	195	22.97	n/a	n/a	7.80 4.0
AO,	MANNING & NAPIER (MANNGR6230)	034	FDC	S	3,575	22.97	n/a	n/a	143.00 4.0
AO,	MANNING & NAPIER (MANNMJ0284)	034	FDC	S	650	22.97	n/a	n/a	26.00 4.0
AO,	MANNING & NAPIER (MANNJS8000)	034	FDC	S	125	22.97	n/a	n/a	5.00 4.0
AO,	MANNING & NAPIER (MANNCD9108)	034	FDC	S	290	22.97	n/a	n/a	11.60 4.0
AO,	MANNING & NAPIER (MANNCD6390)	034	FDC	S	510	22.97	n/a	n/a	20.40 4.0
AO,	MANNING & NAPIER (MANNGR5293)	034	FDC	S	1,975	22.97	n/a	n/a	79.00 4.0
AO,	MANNING & NAPIER (MANNMJ7512)	034	FDC	S	150	22.97	n/a	n/a	6.00 4.0
AO,	MANNING & NAPIER (MANNMJ5255)	034	FDC	S	500	22.97	n/a	n/a	20.00 4.0
AO,	MANNING & NAPIER (MANNCD0059)	034	FDC	S	1,200	22.97	n/a	n/a	48.00 4.0
AO,	MANNING & NAPIER (MANNMJ7268)	034	FDC	S	125	22.97	n/a	n/a	5.00 4.0
AO,	MANNING & NAPIER (MANNCD5450)	034	FDC	S	630	22.97	n/a	n/a	25.20 4.0
AO,	MANNING & NAPIER (MANNCD0332)	034	FDC	S	425	22.97	n/a	n/a	17.00 4.0
AO,	MANNING & NAPIER (MANNMJ5111)	034	FDC	S	1,350	22.97	n/a	n/a	54.00 4.0
AO,	MANNING & NAPIER (MANNEQ6281)	034	FDC	S	1,265	22.97	n/a	n/a	50.60 4.0
AO,	MANNING & NAPIER (MANNGR5745)	034	FDC	S	75	22.97	n/a	n/a	3.00 4.0
AO,	MANNING & NAPIER (MANNCD1797)	034	FDC	S	1,575	22.97	n/a	n/a	63.00 4.0
AO,	MANNING & NAPIER (MANNEQ1233)	034	FDC	S	900	22.97	n/a	n/a	36.00 4.0
AO,	MANNING & NAPIER (MANNMJ5555)	034	FDC	S	100	22.97	n/a	n/a	4.00 4.0
AO,	MANNING & NAPIER (MANNGR4262)	034	FDC	S	775	22.97	n/a	n/a	31.00 4.0
AO,	MANNING & NAPIER (MANNMJ5631)	034	FDC	S	375	22.97	n/a	n/a	15.00 4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	MANNING & NAPIER (MANNGR1115)	034	FDC	S	75	22.97	n/a	n/a	3.00 4.0
AO,	MANNING & NAPIER (MANNMJ3861)	034	FDC	S	125	22.97	n/a	n/a	5.00 4.0
AO,	MANNING & NAPIER (MANNEQ7546)	034	FDC	S	145	22.97	n/a	n/a	5.80 4.0
AO,	MANNING & NAPIER (MANNEQ0122)	034	FDC	S	225	22.97	n/a	n/a	9.00 4.0
AO,	MANNING & NAPIER (MANNEQ6171)	034	FDC	S	125	22.97	n/a	n/a	5.00 4.0
AO,	MANNING & NAPIER (MANNCD0016)	034	FDC	S	225	22.97	n/a	n/a	9.00 4.0
AO,	MANNING & NAPIER (MANNCD6620)	034	FDC	S	200	22.97	n/a	n/a	8.00 4.0
AO,	MANNING & NAPIER (MANNCD0064)	034	FDC	S	275	22.97	n/a	n/a	11.00 4.0
AO,	MANNING & NAPIER (MANNMJ5135)	034	FDC	S	725	22.97	n/a	n/a	29.00 4.0
AO,	MANNING & NAPIER (MANNCD7653)	034	FDC	S	50	22.97	n/a	n/a	2.00 4.0
AO,	MANNING & NAPIER (MANNGR4877)	034	FDC	S	75	22.97	n/a	n/a	3.00 4.0
AO,	MANNING & NAPIER (MANNEQ6201)	034	FDC	S	250	22.97	n/a	n/a	10.00 4.0
AO,	MANNING & NAPIER (MANNCD1478)	034	FDC	S	200	22.97	n/a	n/a	8.00 4.0
AO,	MANNING & NAPIER (MANNCD8512)	034	FDC	S	265	22.97	n/a	n/a	10.60 4.0
AO,	MANNING & NAPIER (MANNGR4835)	034	FDC	S	70	22.97	n/a	n/a	2.80 4.0
AO,	MANNING & NAPIER (MANNGR1890)	034	FDC	S	650	22.97	n/a	n/a	26.00 4.0
AO,	MANNING & NAPIER (MANNGR4727)	034	FDC	S	100	22.97	n/a	n/a	4.00 4.0
AO,	MANNING & NAPIER (MANNGR3380)	034	FDC	S	275	22.97	n/a	n/a	11.00 4.0
AO,	MANNING & NAPIER (MANNGR0038)	034	FDC	S	75	22.97	n/a	n/a	3.00 4.0
AO,	MANNING & NAPIER (MANNEQ6202)	034	FDC	S	145	22.97	n/a	n/a	5.80 4.0
AO,	MANNING & NAPIER (MANNEQ0972)	034	FDC	S	175	22.97	n/a	n/a	7.00 4.0
AO,	MANNING & NAPIER (MANNGR9241)	034	FDC	S	1,140	22.97	n/a	n/a	45.60 4.0
AO,	MANNING & NAPIER (MANNCD6661)	034	FDC	S	175	22.97	n/a	n/a	7.00 4.0
AO,	MANNING & NAPIER (MANNMJ3528)	034	FDC	S	8,150	22.97	n/a	n/a	326.00 4.0
AO,	MANNING & NAPIER (MANNCD4041)	034	FDC	S	80	22.97	n/a	n/a	3.20 4.0
AO,	MANNING & NAPIER (MANNCD2317)	034	FDC	S	425	22.97	n/a	n/a	17.00 4.0
AO,	MANNING & NAPIER (MANNCD0355)	034	FDC	S	1,160	22.97	n/a	n/a	46.40 4.0
AO,	MANNING & NAPIER (MANNGR7326)	034	FDC	S	525	22.97	n/a	n/a	21.00 4.0
AO,	MANNING & NAPIER (MANNCD9721)	034	FDC	S	75	22.97	n/a	n/a	3.00 4.0
AO,	MANNING & NAPIER (MANNEQ1306)	034	FDC	S	75	22.97	n/a	n/a	3.00 4.0

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AO,	MANNING & NAPIER (MANNCD9720)	034	FDC	S	100	22.97	n/a	n/a	4.00 4.0
AO,	MANNING & NAPIER (MANNGR4888)	034	FDC	S	5,175	22.97	n/a	n/a	207.00 4.0
AO,	MANNING & NAPIER (MANNMJ1890)	034	FDC	S	1,800	22.97	n/a	n/a	72.00 4.0
AO,	MANNING & NAPIER (MANNEQ0476)	034	FDC	S	100	22.97	n/a	n/a	4.00 4.0
AO,	MANNING & NAPIER (MANNCD0092)	034	FDC	S	365	22.97	n/a	n/a	14.60 4.0
AO,	MANNING & NAPIER (MANNGR4174)	034	FDC	S	1,975	22.97	n/a	n/a	79.00 4.0
AO,	MANNING & NAPIER (MANNGR6399)	034	FDC	S	50	22.97	n/a	n/a	2.00 4.0
AO,	MANNING & NAPIER (MANNMJ0541)	034	FDC	S	325	22.97	n/a	n/a	13.00 4.0
AO,	MANNING & NAPIER (MANNJS8001)	034	FDC	S	125	22.97	n/a	n/a	5.00 4.0
AO,	MANNING & NAPIER (MANNCD4500)	034	FDC	S	120	22.97	n/a	n/a	4.80 4.0
AO,	MANNING & NAPIER (MANNGR3711)	034	FDC	S	675	22.97	n/a	n/a	27.00 4.0
AO,	MANNING & NAPIER (MANNMJ6129)	034	FDC	S	550	22.97	n/a	n/a	22.00 4.0
AO,	MANNING & NAPIER (MANNCD0345)	034	FDC	S	1,850	22.97	n/a	n/a	74.00 4.0
AO,	MANNING & NAPIER (MANNEQ7472)	034	FDC	S	100	22.97	n/a	n/a	4.00 4.0
AO,	MANNING & NAPIER (MANNEQ4815)	034	FDC	S	275	22.97	n/a	n/a	11.00 4.0
AO,	MANNING & NAPIER (MANNEQ6121)	034	FDC	S	650	22.97	n/a	n/a	26.00 4.0
AO,	MANNING & NAPIER (MANNCD0331)	034	FDC	S	425	22.97	n/a	n/a	17.00 4.0
AO,	MANNING & NAPIER (MANNCD0349)	034	FDC	S	7,525	22.97	n/a	n/a	301.00 4.0
AO,	MANNING & NAPIER (MANNCD5460)	034	FDC	S	375	22.97	n/a	n/a	15.00 4.0
AO,	MANNING & NAPIER (MANNGR4383)	034	FDC	S	13,650	22.97	n/a	n/a	546.00 4.0
AO,	MANNING & NAPIER (MANNCD1323)	034	FDC	S	2,350	22.97	n/a	n/a	94.00 4.0
AO,	MANNING & NAPIER (MANNEQ0437)	034	FDC	S	70	22.97	n/a	n/a	2.80 4.0
AO,	MANNING & NAPIER (MANNMJ4149)	034	FDC	S	100	22.97	n/a	n/a	4.00 4.0
AO,	MANNING & NAPIER (MANNGR3277)	034	FDC	S	315	22.97	n/a	n/a	12.60 4.0
AO,	MANNING & NAPIER (MANNMJ5630)	034	FDC	S	675	22.97	n/a	n/a	27.00 4.0
AO,	MANNING & NAPIER (MANNGR4186)	034	FDC	S	6,700	22.97	n/a	n/a	268.00 4.0
AO,	MANNING & NAPIER (MANNGR9050)	034	FDC	S	3,850	22.97	n/a	n/a	154.00 4.0
AO,	MANNING & NAPIER (MANNEQ6456)	034	FDC	S	145	22.97	n/a	n/a	5.80 4.0
AO,	MANNING & NAPIER (MANNEQ0119)	034	FDC	S	95	22.97	n/a	n/a	3.80 4.0
AO,	MANNING & NAPIER (MANNEQ6168)	034	FDC	S	200	22.97	n/a	n/a	8.00 4.0



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AO,	MANNING & NAPIER (MANNMJ8836)	034	FDC	S	625	22.97	n/a	n/a	25.00	4.0
AO,	MANNING & NAPIER (MANNCD4997)	034	FDC	S	170	22.97	n/a	n/a	6.80	4.0
AO,	MANNING & NAPIER (MANNCD0018)	034	FDC	S	385	22.97	n/a	n/a	15.40	4.0
AO,	MANNING & NAPIER (MANNMJ5136)	034	FDC	S	2,350	22.97	n/a	n/a	94.00	4.0
AO,	MANNING & NAPIER (MANNCD7654)	034	FDC	S	160	22.97	n/a	n/a	6.40	4.0
AO,	MANNING & NAPIER (MANNGR5220)	034	FDC	S	8,400	22.97	n/a	n/a	336.00	4.0
AO,	MANNING & NAPIER (MANN6455)	034	FDC	S	70	22.97	n/a	n/a	2.80	4.0
AO,	MANNING & NAPIER (MANNCD1990)	034	FDC	S	2,500	22.97	n/a	n/a	100.00	4.0
AO,	MANNING & NAPIER (MANNCD8515)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNEQ8735)	034	FDC	S	7,835	22.97	n/a	n/a	313.40	4.0
AO,	MANNING & NAPIER (MANNGR1812)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNCD3441)	034	FDC	S	3,375	22.97	n/a	n/a	135.00	4.0
AO,	MANNING & NAPIER (MANNGR4755)	034	FDC	S	170	22.97	n/a	n/a	6.80	4.0
AO,	MANNING & NAPIER (MANNGR4214)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNGR0058)	034	FDC	S	300	22.97	n/a	n/a	12.00	4.0
AO,	MANNING & NAPIER (MANNEQ6203)	034	FDC	S	140	22.97	n/a	n/a	5.60	4.0
AO,	MANNING & NAPIER (MANNEQ1649)	034	FDC	S	225	22.97	n/a	n/a	9.00	4.0
AO,	MANNING & NAPIER (MANNGR8785)	034	FDC	S	475	22.97	n/a	n/a	19.00	4.0
AO,	MANNING & NAPIER (MANNCD7400)	034	FDC	S	175	22.97	n/a	n/a	7.00	4.0
AO,	MANNING & NAPIER (MANNMJ7540)	034	FDC	S	700	22.97	n/a	n/a	28.00	4.0
AO,	MANNING & NAPIER (MANNCD4044)	034	FDC	S	60	22.97	n/a	n/a	2.40	4.0
AO,	MANNING & NAPIER (MANNCD6625)	034	FDC	S	365	22.97	n/a	n/a	14.60	4.0
AO,	MANNING & NAPIER (MANNCD0392)	034	FDC	S	140	22.97	n/a	n/a	5.60	4.0
AO,	MANNING & NAPIER (MANNGR7515)	034	FDC	S	175	22.97	n/a	n/a	7.00	4.0
AO,	MANNING & NAPIER (MANNEQ0974)	034	FDC	S	50	22.97	n/a	n/a	2.00	4.0
AO,	MANNING & NAPIER (MANNEQ1307)	034	FDC	S	100	22.97	n/a	n/a	4.00	4.0
AO,	MANNING & NAPIER (MANNEQ1648)	034	FDC	S	125	22.97	n/a	n/a	5.00	4.0
AO,	MANNING & NAPIER (MANNEQ5568)	034	FDC	S	300	22.97	n/a	n/a	12.00	4.0
AO,	MANNING & NAPIER (MANNGR4332)	034	FDC	S	95	22.97	n/a	n/a	3.80	4.0
AO,	MANNING & NAPIER (MANNGR4780)	034	FDC	S	85	22.97	n/a	n/a	3.40	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,	MANNING & NAPIER (MANNMJ8940)	034	FDC	S	70	22.97	n/a	n/a	2.80	4.0
AO,	MANNING & NAPIER (MANNGR4520)	034	FDC	S	225	22.97	n/a	n/a	9.00	4.0
AO,	MANNING & NAPIER (MANNMJ0542)	034	FDC	S	425	22.97	n/a	n/a	17.00	4.0
AO,	MANNING & NAPIER (MANNCD0099)	034	FDC	S	150	22.97	n/a	n/a	6.00	4.0
AO,	MANNING & NAPIER (MANNJS9998)	034	FDC	S	425	22.97	n/a	n/a	17.00	4.0
AO,	IRON HORSE CAPITAL MGMT (IHCMMAIN)	038	FL	S	45,000	24.96	n/a	18-Aug	2,250.00	5.0
<b>AO,</b>	<b>MKT MAKER (MKT MAKER)</b>	<b>099</b>	<b>HOTT</b>	<b>B</b>	<b>100</b>	<b>11.74</b>	<b>n/a</b>	<b>6-Oct</b>	<b>0.00</b>	<b>0.0</b>
AO,CXL	J L F ASSET MGMT (JLFAMAIN)	039	HOTT	B	100,500	11.74	n/a	6-Oct	5,025.00	5.0
AO,	J L F ASSET MGMT (JLFAMAIN)	039	HOTT	B	100,500	11.74	n/a	6-Oct	4,020.00	4.0
<b>AO,CRT</b>	<b>SEQUENCE CAPITAL (SEQUGOLDMA)</b>	<b>028</b>	<b>NWY</b>	<b>B</b>	<b>5,174</b>	<b>13.53</b>	<b>n/a</b>	<b>5-Oct</b>	<b>206.96</b>	<b>4.0</b>
<b>AO,CXL</b>	<b>SEQUENCE CAPITAL (SEQUGOLDMA)</b>	<b>028</b>	<b>NWY</b>	<b>B</b>	<b>5,174</b>	<b>13.53</b>	<b>n/a</b>	<b>5-Oct</b>	<b>206.96</b>	<b>4.0</b>
AO,	THE JAY GOLDMAN (GOLDWOODMA)	034	PCH	S	15,000	37.27	n/a	14-Sep	600.00	4.0
AO,CXL	THE JAY GOLDMAN (GOLDMASTER)	034	PCH	S	30,000	37.27	n/a	14-Sep	1,500.00	5.0
AO,	THE JAY GOLDMAN (GOLDMASTER)	034	PCH	S	15,000	37.27	n/a	14-Sep	600.00	4.0
AO,	UBS OCONNOR (UBSOCREDIT)	031	PD	B	15,000	78.65	n/a	n/a	750.00	5.0
AO,CXL	UBS OCONNOR (UBSOGOLDMA)	031	PD	B	15,000	78.65	n/a	n/a	750.00	5.0
AO,	ROARING BLUE LION MGMT (ROARMAIN U)	012	PLCE	S	3,200	65.68	1-May	6-Oct	160.00	5.0
AO,CXL	ROARING BLUE LION MGMT (ROARMAIN U)	012	PLCE	S	3,200	65.68	1-May	6-Oct	128.00	4.0
<b>AO,</b>	<b>LISTED ERR (LISTED ERR)</b>	<b>099</b>	<b>PMI</b>	<b>B</b>	<b>200</b>	<b>44.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>AO,</b>	<b>LISTED ERR (LISTED ERR)</b>	<b>099</b>	<b>PMI</b>	<b>S</b>	<b>200</b>	<b>44.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AO,CXL	TRIBECA (TRIBMS MAI)	038	PMI	S	400	44.01	n/a	n/a	16.00	4.0
AO,	TRIBECA (TRIBMS MAI)	038	PMI	S	200	44.01	n/a	n/a	8.00	4.0
AO,	THE JAY GOLDMAN (GOLDMASTER)	034	RYN	B	15,000	38.14	n/a	3-Oct	600.00	4.0
AO,	THE JAY GOLDMAN (GOLDWOODMA)	034	RYN	B	15,000	38.14	n/a	3-Oct	600.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	THE JAY GOLDMAN (GOLDMASTER)	034	RYN	B	30,000	38.14	n/a	3-Oct	1,500.00	5.0
AO,CXL	FORCE CAPITAL MGMT (FORCGSPB)	030	SIZ	B	50,000	15.08	n/a	n/a	1,500.00	3.0
AO,CRT	FORCE CAPITAL MGMT (FORCGSPB)	030	SIZ	S	50,000	15.08	n/a	n/a	1,500.00	3.0
AO,	CLOVER CAPITAL (CLORCARP)	031	TEVA	B	13,200	34.14	7-Aug	6-Oct	660.00	5.0
AO,	CLOVER CAPITAL (CLORMAINUB)	031	TEVA	B	22,700	34.14	7-Aug	6-Oct	1,135.00	5.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2001)	098	WMT	B	8,680	48.83	5-Oct	6-Oct	347.20	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM570125)	098	WMT	B	210	48.83	5-Oct	6-Oct	8.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2023)	098	WMT	B	3,680	48.83	5-Oct	6-Oct	147.20	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2140)	098	WMT	B	7,050	48.83	5-Oct	6-Oct	282.00	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3410)	098	WMT	B	11,800	48.83	5-Oct	6-Oct	472.00	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM657780)	098	WMT	B	840	48.83	5-Oct	6-Oct	33.60	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM16)	098	WMT	B	17	48.83	5-Oct	6-Oct	0.68	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2420)	098	WMT	B	860	48.83	5-Oct	6-Oct	34.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM787)	098	WMT	B	11	48.83	5-Oct	6-Oct	0.44	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM389650)	098	WMT	B	180	48.83	5-Oct	6-Oct	7.20	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2652)	098	WMT	B	230	48.83	5-Oct	6-Oct	9.20	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM847882)	098	WMT	B	1,660	48.83	5-Oct	6-Oct	66.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1849)	098	WMT	B	800	48.83	5-Oct	6-Oct	32.00	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3449)	098	WMT	B	1,080	48.83	5-Oct	6-Oct	43.20	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3279)	098	WMT	B	100	48.83	5-Oct	6-Oct	4.00	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3011)	098	WMT	B	4,410	48.83	5-Oct	6-Oct	176.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2904)	098	WMT	B	1,300	48.83	5-Oct	6-Oct	52.00	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2693)	098	WMT	B	46	48.83	5-Oct	6-Oct	1.84	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2483)	098	WMT	B	500	48.83	5-Oct	6-Oct	20.00	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2432)	098	WMT	B	2,460	48.83	5-Oct	6-Oct	98.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM137040)	098	WMT	B	6,900	48.83	5-Oct	6-Oct	276.00	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM286610)	098	WMT	B	310	48.83	5-Oct	6-Oct	12.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM772)	098	WMT	B	4,050	48.83	5-Oct	6-Oct	162.00	4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	GOLDMAN SACHS ASSET MGMT (GSAM321347)	098	WMT	B	5,300	48.83	5-Oct	6-Oct	212.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2394)	098	WMT	B	5,760	48.83	5-Oct	6-Oct	230.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM698)	098	WMT	B	130	48.83	5-Oct	6-Oct	5.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1622)	098	WMT	B	60	48.83	5-Oct	6-Oct	2.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2612)	098	WMT	B	3,160	48.83	5-Oct	6-Oct	126.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2203)	098	WMT	B	30	48.83	5-Oct	6-Oct	1.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2200)	098	WMT	B	50	48.83	5-Oct	6-Oct	2.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2433)	098	WMT	B	210	48.83	5-Oct	6-Oct	8.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1259)	098	WMT	B	920	48.83	5-Oct	6-Oct	36.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM)	098	WMT	B	64	48.83	5-Oct	6-Oct	2.56 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3451)	098	WMT	B	150	48.83	5-Oct	6-Oct	6.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3280)	098	WMT	B	160	48.83	5-Oct	6-Oct	6.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3015)	098	WMT	B	4,510	48.83	5-Oct	6-Oct	180.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2922)	098	WMT	B	45	48.83	5-Oct	6-Oct	1.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2694)	098	WMT	B	27	48.83	5-Oct	6-Oct	1.08 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2484)	098	WMT	B	190	48.83	5-Oct	6-Oct	7.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2221)	098	WMT	B	1,460	48.83	5-Oct	6-Oct	58.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2267)	098	WMT	B	20,860	48.83	5-Oct	6-Oct	834.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2520)	098	WMT	B	370	48.83	5-Oct	6-Oct	14.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2414)	098	WMT	B	6,520	48.83	5-Oct	6-Oct	260.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2690)	098	WMT	B	4,260	48.83	5-Oct	6-Oct	170.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM746)	098	WMT	B	430	48.83	5-Oct	6-Oct	17.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2770)	098	WMT	B	120	48.83	5-Oct	6-Oct	4.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2760)	098	WMT	B	130	48.83	5-Oct	6-Oct	5.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1484)	098	WMT	B	18	48.83	5-Oct	6-Oct	0.72 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2162)	098	WMT	B	580	48.83	5-Oct	6-Oct	23.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2192)	098	WMT	B	390	48.83	5-Oct	6-Oct	15.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM800054)	098	WMT	B	6,300	48.83	5-Oct	6-Oct	252.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM)	098	WMT	B	67	48.83	5-Oct	6-Oct	2.68 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3462)	098	WMT	B	3,430	48.83	5-Oct	6-Oct	137.20 4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3281)	098	WMT	B	100	48.83	5-Oct	6-Oct	4.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3109)	098	WMT	B	300	48.83	5-Oct	6-Oct	12.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2923)	098	WMT	B	47	48.83	5-Oct	6-Oct	1.88 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2695)	098	WMT	B	51	48.83	5-Oct	6-Oct	2.04 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2603)	098	WMT	B	200	48.83	5-Oct	6-Oct	8.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2446)	098	WMT	B	300	48.83	5-Oct	6-Oct	12.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1)	098	WMT	B	5,750	48.83	5-Oct	6-Oct	230.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2247)	098	WMT	B	1,970	48.83	5-Oct	6-Oct	78.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1668)	098	WMT	B	1,400	48.83	5-Oct	6-Oct	56.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2917)	098	WMT	B	9,960	48.83	5-Oct	6-Oct	398.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM777)	098	WMT	B	340	48.83	5-Oct	6-Oct	13.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3050)	098	WMT	B	4,400	48.83	5-Oct	6-Oct	176.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM800117)	098	WMT	B	300	48.83	5-Oct	6-Oct	12.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1347)	098	WMT	B	500	48.83	5-Oct	6-Oct	20.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2375)	098	WMT	B	4,740	48.83	5-Oct	6-Oct	189.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1715)	098	WMT	B	320	48.83	5-Oct	6-Oct	12.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3313)	098	WMT	B	100	48.83	5-Oct	6-Oct	4.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1856)	098	WMT	B	70	48.83	5-Oct	6-Oct	2.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3558)	098	WMT	B	120	48.83	5-Oct	6-Oct	4.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3344)	098	WMT	B	80	48.83	5-Oct	6-Oct	3.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3110)	098	WMT	B	580	48.83	5-Oct	6-Oct	23.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2924)	098	WMT	B	37	48.83	5-Oct	6-Oct	1.48 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2697)	098	WMT	B	52	48.83	5-Oct	6-Oct	2.08 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2604)	098	WMT	B	2,040	48.83	5-Oct	6-Oct	81.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2199)	098	WMT	B	150	48.83	5-Oct	6-Oct	6.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2268)	098	WMT	B	8,010	48.83	5-Oct	6-Oct	320.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1)	098	WMT	B	4,136	48.83	5-Oct	6-Oct	165.44 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3079)	098	WMT	B	18,440	48.83	5-Oct	6-Oct	737.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM917)	098	WMT	B	3,300	48.83	5-Oct	6-Oct	132.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2557)	098	WMT	B	10,240	48.83	5-Oct	6-Oct	409.60 4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
AO,	GOLDMAN SACHS ASSET MGMT (GSAM786628)	098	WMT	B	670	48.83	5-Oct	6-Oct	26.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2771)	098	WMT	B	90	48.83	5-Oct	6-Oct	3.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1562)	098	WMT	B	100	48.83	5-Oct	6-Oct	4.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1558)	098	WMT	B	230	48.83	5-Oct	6-Oct	9.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM258710)	098	WMT	B	1,130	48.83	5-Oct	6-Oct	45.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2042)	098	WMT	B	2,820	48.83	5-Oct	6-Oct	112.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3083)	098	WMT	B	23	48.83	5-Oct	6-Oct	0.92 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1912)	098	WMT	B	630	48.83	5-Oct	6-Oct	25.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3574)	098	WMT	B	65	48.83	5-Oct	6-Oct	2.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3364)	098	WMT	B	770	48.83	5-Oct	6-Oct	30.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3111)	098	WMT	B	320	48.83	5-Oct	6-Oct	12.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2925)	098	WMT	B	36	48.83	5-Oct	6-Oct	1.44 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2721)	098	WMT	B	540	48.83	5-Oct	6-Oct	21.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2605)	098	WMT	B	270	48.83	5-Oct	6-Oct	10.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2198)	098	WMT	B	1,430	48.83	5-Oct	6-Oct	57.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2291)	098	WMT	B	430	48.83	5-Oct	6-Oct	17.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM954)	098	WMT	B	310	48.83	5-Oct	6-Oct	12.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM777670)	098	WMT	B	1,660	48.83	5-Oct	6-Oct	66.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1759)	098	WMT	B	2,010	48.83	5-Oct	6-Oct	80.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM8)	098	WMT	B	130	48.83	5-Oct	6-Oct	5.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM877)	098	WMT	B	30	48.83	5-Oct	6-Oct	1.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3258)	098	WMT	B	300	48.83	5-Oct	6-Oct	12.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM100006)	098	WMT	B	3,100	48.83	5-Oct	6-Oct	124.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2372)	098	WMT	B	1,210	48.83	5-Oct	6-Oct	48.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2161)	098	WMT	B	160	48.83	5-Oct	6-Oct	6.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1866)	098	WMT	B	950	48.83	5-Oct	6-Oct	38.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2358)	098	WMT	B	1,700	48.83	5-Oct	6-Oct	68.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2015)	098	WMT	B	2,000	48.83	5-Oct	6-Oct	80.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3575)	098	WMT	B	60	48.83	5-Oct	6-Oct	2.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3370)	098	WMT	B	2,920	48.83	5-Oct	6-Oct	116.80 4.0

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AO,	GOLDMAN SACHS ASSET MGMT (GSAM3170)	098	WMT	B	90	48.83	5-Oct	6-Oct	3.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2926)	098	WMT	B	42	48.83	5-Oct	6-Oct	1.68 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2776)	098	WMT	B	2,140	48.83	5-Oct	6-Oct	85.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2647)	098	WMT	B	630	48.83	5-Oct	6-Oct	25.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2473)	098	WMT	B	270	48.83	5-Oct	6-Oct	10.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2351)	098	WMT	B	570	48.83	5-Oct	6-Oct	22.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2546)	098	WMT	B	8,900	48.83	5-Oct	6-Oct	356.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM516200)	098	WMT	B	830	48.83	5-Oct	6-Oct	33.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2255)	098	WMT	B	2,000	48.83	5-Oct	6-Oct	80.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM8)	098	WMT	B	26	48.83	5-Oct	6-Oct	1.04 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM936)	098	WMT	B	130	48.83	5-Oct	6-Oct	5.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1245)	098	WMT	B	230	48.83	5-Oct	6-Oct	9.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1082)	098	WMT	B	170	48.83	5-Oct	6-Oct	6.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2412)	098	WMT	B	1,500	48.83	5-Oct	6-Oct	60.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2077)	098	WMT	B	2,190	48.83	5-Oct	6-Oct	87.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1685)	098	WMT	B	210	48.83	5-Oct	6-Oct	8.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3014)	098	WMT	B	180	48.83	5-Oct	6-Oct	7.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM800076)	098	WMT	B	560	48.83	5-Oct	6-Oct	22.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3576)	098	WMT	B	65	48.83	5-Oct	6-Oct	2.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3409)	098	WMT	B	730	48.83	5-Oct	6-Oct	29.20 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3182)	098	WMT	B	770	48.83	5-Oct	6-Oct	30.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2927)	098	WMT	B	42	48.83	5-Oct	6-Oct	1.68 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2777)	098	WMT	B	700	48.83	5-Oct	6-Oct	28.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2650)	098	WMT	B	900	48.83	5-Oct	6-Oct	36.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2089)	098	WMT	B	760	48.83	5-Oct	6-Oct	30.40 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM)	098	WMT	B	370	48.83	5-Oct	6-Oct	14.80 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2502)	098	WMT	B	2,490	48.83	5-Oct	6-Oct	99.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM516250)	098	WMT	B	4,840	48.83	5-Oct	6-Oct	193.60 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2058)	098	WMT	B	5,400	48.83	5-Oct	6-Oct	216.00 4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3140)	098	WMT	B	16,243	48.83	5-Oct	6-Oct	649.72 4.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2700)	098	WMT	B	580	48.83	5-Oct	6-Oct	23.20	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM364867)	098	WMT	B	340	48.83	5-Oct	6-Oct	13.60	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2974)	098	WMT	B	30	48.83	5-Oct	6-Oct	1.20	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1446)	098	WMT	B	480	48.83	5-Oct	6-Oct	19.20	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2053)	098	WMT	B	1,360	48.83	5-Oct	6-Oct	54.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1800)	098	WMT	B	1,770	48.83	5-Oct	6-Oct	70.80	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2374)	098	WMT	B	9,560	48.83	5-Oct	6-Oct	382.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1099)	098	WMT	B	1,490	48.83	5-Oct	6-Oct	59.60	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM1761)	098	WMT	B	1,910	48.83	5-Oct	6-Oct	76.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3439)	098	WMT	B	920	48.83	5-Oct	6-Oct	36.80	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3275)	098	WMT	B	150	48.83	5-Oct	6-Oct	6.00	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM3003)	098	WMT	B	420	48.83	5-Oct	6-Oct	16.80	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2877)	098	WMT	B	1,360	48.83	5-Oct	6-Oct	54.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2680)	098	WMT	B	1,610	48.83	5-Oct	6-Oct	64.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2088)	098	WMT	B	460	48.83	5-Oct	6-Oct	18.40	4.0
AO,	GOLDMAN SACHS ASSET MGMT (GSAM2239)	098	WMT	B	1,980	48.83	5-Oct	6-Oct	79.20	4.0
AO,CXL	J L F ASSET MGMT (JLFAMAIN)	039	ZQK	B	98,500	12.65	8-Sep	8-Sep	4,925.00	5.0
AO,	J L F ASSET MGMT (JLFAMAIN)	039	ZQK	B	98,500	12.65	8-Sep	8-Sep	3,940.00	4.0
AO,	WEISS PECK (PECKSEIUUP)	040	ZQK	B	2,180	12.53	8-Sep	8-Sep	109.00	5.0
AO,	WEISS PECK (PECKLODHI)	040	ZQK	B	4,300	12.53	8-Sep	8-Sep	215.00	5.0
AO,	WEISS PECK (PECKL175)	040	ZQK	B	310	12.53	8-Sep	8-Sep	15.50	5.0
AO,	WEISS PECK (PECKKKPHOL)	040	ZQK	B	2,600	12.53	8-Sep	8-Sep	130.00	5.0
AO,	WEISS PECK (PECKAMSTEAM)	040	ZQK	B	770	12.53	8-Sep	8-Sep	38.50	5.0
AO,	WEISS PECK (PECKOPPVAL)	040	ZQK	B	30,500	12.53	8-Sep	8-Sep	1,525.00	5.0
AO,	WEISS PECK (PECKLO132)	040	ZQK	B	930	12.53	8-Sep	8-Sep	46.50	5.0
AO,	WEISS PECK (PECKL248)	040	ZQK	B	260	12.53	8-Sep	8-Sep	13.00	5.0
AO,	WEISS PECK (PECKTUDOR)	040	ZQK	B	21,200	12.53	8-Sep	8-Sep	1,060.00	5.0
AO,	WEISS PECK (PECKAIPSC)	040	ZQK	B	1,020	12.53	8-Sep	8-Sep	51.00	5.0
AO,	WEISS PECK (PECKOMNIBU)	040	ZQK	B	800	12.53	8-Sep	8-Sep	40.00	5.0
AO,	WEISS PECK (PECKCHEMSM)	040	ZQK	B	840	12.53	8-Sep	8-Sep	42.00	5.0



Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,	WEISS PECK (PECKSHOP52)	040	ZQK	B	540	12.53	8-Sep	8-Sep	27.00	5.0

**Legend:**

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.