



Daily Compliance Activity Report

Trade Date: 03/12/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	ABG	S	5,800	14.10	25-Jan	22-Feb	232.00	4.0
THIRD POINT MGMT	044	AEO	B	200,000	18.08	6-Mar	7-Mar	8,000.00	4.0
FORD FDN.	032	AET	B	14,500	41.01	n/a	n/a	725.00	5.0
HIGHLINE ADV	044	AMGN	B	200,000	45.27	n/a	26-Jan	10,000.00	5.0
SUNOVA CAPITAL	031	AMG	B	25,000	90.34	9-Jan	12-Mar	1,250.00	5.0
WHITE PINE CAPITAL	030	ANN	B	600	23.67	11-Jan	7-Mar	30.00	5.0
GEORGE WEISS	034	ARA	SS	3,600	67.81	n/a	n/a	144.00	4.0
PROSPECTOR PARTNERS LLC	036	BEN	B	22,500	94.25	n/a	11-Mar	900.00	4.0
BENCHMARK	028	BG	SS	2,500	94.43	n/a	n/a	125.00	5.0
⌘ BUCK CAPITAL MGMT		BJ	SS	20,000	35.33	n/a	27-Jul	non-brg	4.0
SATELLITE ASSET MGMT	012	BJ	B	5,000	34.95	n/a	27-Jul	200.00	4.0
SIGMA CAPITAL ASSOCIATES	237	BJ	B	58,400	34.93	n/a	27-Jul	2,920.00	5.0
⌘ BUCK CAPITAL MGMT		BONT	S	2,294	5.39	4-Jan	12-Mar	non-brg	1.0
⌘ BUCK CAPITAL MGMT		BONT	S	40,000	5.56	4-Jan	12-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BONT	S	40,000	5.67	4-Jan	12-Mar	non-brg	2.0
⌘ BUCK CAPITAL MGMT		BONT	S	6,700	5.38	4-Jan	12-Mar	non-brg	1.0
DAVIDSON TRUST CO	028	CBH	S	2,995	36.75	n/a	n/a	179.70	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SATELLITE ASSET MGMT	012	CCL	B	25,000	39.06	n/a	26-Jan	1,000.00	4.0
US BANCORP	030	CI	S	57,144	39.67	n/a	n/a	2,857.20	5.0
SATELLITE ASSET MGMT	012	CLX	B	10,000	57.00	n/a	27-Feb	400.00	4.0
Ø James Mitchell		CMO	B	1600	12.25	n/a	n/a	0.00	0.0
GRONET	030	CMO	B	3,000	12.25	n/a	n/a	0.00	0.0
LUCHANSKY2	036	CMO	B	500	12.21	n/a	n/a	0.00	0.0
OGORMAN	046	CMO	B	1,500	12.25	n/a	n/a	0.00	0.0
BUCK	015	COF	SS	1,500	49.75	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	COH	C	50,000	28.42	24-Oct	24-Jan	2,500.00	5.0
GLG PARTNERS	034	COST	S	25,000	63.42	n/a	27-Jul	1,250.00	5.0
WHITE PINE CAPITAL	030	CYBE	B	1,175	10.57	n/a	n/a	58.75	5.0
ß BUCK CAPITAL MGMT		DIA	C	45,000	123.10	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DKS	B	10,000	26.41	n/a	25-Feb	non-brg	4.0
BRUNNER	012	DUG	B	500	38.45	n/a	n/a	0.00	0.0
DENVER INST. ADV	046	ENDP	B	125,000	24.74	17-Dec	12-Mar	5,000.00	4.0
ß BUCK CAPITAL MGMT		FOSL	B	10,000	31.99	13-Nov	10-Mar	non-brg	2.0
BUCK	015	FXB	S	300	203.03	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	F	C	200,000	5.82	n/a	4-Mar	6,000.00	3.0
PHILADELPHIA FINANCIAL	033	GBL	SS	6,610	53.38	n/a	6-Mar	330.50	5.0
BUCK CAPITAL MGMT	001	GES	S	10,000	37.33	n/a	n/a	400.00	4.0
⚡ BUCK CAPITAL MGMT		GIL	B	20,000	35.53	22-Jan	10-Mar	non-brg	4.0
VISIUM/BALY HOWARD	038	GYMB	S	10,000	38.60	n/a	10-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	HOG	B	10,000	37.05	n/a	n/a	400.00	4.0
CATTANI	041	HRS	S	300	48.75	11-Jan	10-Mar	0.00	0.0
⚡ BUCK CAPITAL MGMT		ICON	B	300,000	18.69	n/a	n/a	non-brg	2.0
PHILADELPHIA FINANCIAL	033	IVZ	B	67,000	22.94	10-Mar	11-Mar	3,350.00	5.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	72,625	23.32	10-Mar	11-Mar	2,723.44	3.8
⚡ BUCK CAPITAL MGMT		IWM	C	80,000	67.95	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	45.07	28-Nov	12-Mar	400.00	4.0
COUGAR TRADING	044	JCG	S	4,500	45.00	28-Nov	12-Mar	225.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	70,000	40.78	4-Jan	7-Mar	2,800.00	4.0
DELTEC ASSET MGMT.	040	JCP	B	11,000	40.79	4-Jan	7-Mar	550.00	5.0
ARIENCE CAPITAL	036	JNS	C	171,200	23.62	9-Jan	6-Mar	8,560.00	5.0
BUCK CAPITAL MGMT	001	JNY	S	10,000	13.45	n/a	15-Feb	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SANDLER O'NEILL ASSET	031	JPM	B	20,000	39.21	17-Jan	10-Mar	1,000.00	5.0
COUGAR TRADING	044	KSS	SS	10,000	42.93	4-Jan	7-Mar	500.00	5.0
SATELLITE ASSET MGMT	012	KSS	B	5,000	43.14	4-Jan	7-Mar	200.00	4.0
B BUCK CAPITAL MGMT		LIZ	C	20,000	16.96	n/a	15-Feb	non-brg	4.0
SATELLITE ASSET MGMT	012	LOW	B	10,000	22.09	n/a	27-Jul	400.00	4.0
SIGMA CAPITAL ASSOCIATES	237	LOW	B	85,000	22.51	n/a	27-Jul	4,250.00	5.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	50,000	9.50	30-Oct	6-Feb	2,500.00	5.0
BUCK CAPITAL MGMT	001	LTM	B	60,000	28.24	n/a	n/a	2,400.00	4.0
SATELLITE ASSET MGMT	012	LVS	B	1,500	79.19	n/a	n/a	60.00	4.0
SATELLITE ASSET MGMT	012	MAR	B	5,000	33.59	n/a	n/a	200.00	4.0
B BUCK CAPITAL MGMT		MDTL	SS	10,000	9.55	n/a	n/a	non-brg	4.0
SATELLITE ASSET MGMT	012	MHK	B	5,000	68.43	n/a	n/a	200.00	4.0
TRIVIUM CAP MGMT	251	MU	C	50,000	6.64	n/a	n/a	2,000.00	4.0
THE JAY GOLDMAN	034	M	SS	16,700	23.99	7-Feb	7-Mar	668.00	4.0
SATELLITE ASSET MGMT	012	NKE	B	11,000	58.90	n/a	n/a	440.00	4.0
ARIENCE CAPITAL	036	NLC	B	48,600	21.65	n/a	n/a	2,430.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ James Mitchell		OPY	S	500	44.49	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PAG	S	5,100	18.74	6-Feb	20-Feb	204.00	4.0
GEORGE WEISS	034	PCH	B	14,600	40.80	8-Jan	6-Feb	584.00	4.0
GEORGE WEISS	034	PCL	S	5,000	40.17	8-Jan	30-Jan	200.00	4.0
ß BUCK CAPITAL MGMT		PLCE	B	20,000	20.40	10-Jan	7-Mar	non-brg	4.0
REINHART & MAHONEY	031	PL	B	21,580	39.22	n/a	n/a	1,079.00	5.0
DAVIDSON TRUST CO	028	PNC	S	1,384	63.36	n/a	n/a	83.04	6.0
BUCK CAPITAL MGMT	001	PVH	B	20,000	32.78	5-Feb	10-Mar	800.00	4.0
WHITE PINE CAPITAL	030	RADN	B	1,300	9.18	n/a	n/a	65.00	5.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	5.73	n/a	n/a	800.00	4.0
Õ Alice Longley		SDS	S	600	65.10	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKF	B	91,600	119.47	n/a	n/a	non-brg	2.0
MAGUIRE	039	SKF	S	100	118.76	n/a	n/a	0.00	0.0
GAULT	063	SKF	B	1,000	115.24	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	1,000	116.46	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	S	5,000	20.88	n/a	13-Mar	200.00	4.0
ROSEDALE CAPITAL	046	SNDK	S	20,000	22.59	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		SPY	C	45,000	133.62	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		SYNP	S	200	5.20	n/a	n/a	non-brg	1.0
CENTURION GLOBAL MGMT LL	041	SY	B	17,000	25.90	n/a	n/a	510.00	3.0
N STRATTON	014	TECUA	C	200	24.65	n/a	n/a	0.00	0.0
COUGAR TRADING	044	TIN	B	15,000	12.52	6-Feb	7-Feb	750.00	5.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	40,000	12.41	6-Feb	7-Feb	2,000.00	5.0
GEORGE WEISS	034	TIN	B	20,000	12.45	6-Feb	7-Feb	800.00	4.0
XYLEM GLOBAL	091	TIN	B	80,000	12.52	6-Feb	7-Feb	3,200.00	4.0
CAXTON PART	040	TMK	B	40,000	59.23	n/a	n/a	1,400.01	3.5
WHITE PINE CAPITAL	030	TMTA	B	2,075	13.70	n/a	n/a	103.75	5.0
B BUCK CAPITAL MGMT		TRLG	B	10,000	17.59	n/a	n/a	non-brg	4.0
SATELLITE ASSET MGMT	012	TSN	B	20,000	16.48	n/a	n/a	800.00	4.0
ZOLIDIS	011	TWM	B	300	88.06	n/a	n/a	0.00	0.0
CAXTON PART	040	UB	S	20,000	47.06	n/a	n/a	700.03	3.5
WHITE PINE CAPITAL	030	UNT	B	525	55.65	14-Jan	26-Feb	26.25	5.0
B BUCK CAPITAL MGMT		URBN	S	5,000	30.76	29-Feb	10-Mar	non-brg	4.0
LEHMAN PROPRIETARY TRAD	041	VMC	B	30,000	66.98	n/a	n/a	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET	032	WHQ	B	3,800	64.61	n/a	n/a	190.00	5.0
B BUCK CAPITAL MGMT		WRNC	S	10,000	36.29	14-Jan	10-Mar	non-brg	4.0
MATRIX ASSET ADV.	036	WU	S	14,000	21.90	n/a	n/a	560.00	4.0
CSFB GLOBAL PROPRIETARY	041	WY	B	10,000	63.38	8-Jan	11-Feb	500.00	5.0
DSG CAPITAL	225	WY	B	5,000	62.62	8-Jan	11-Feb	250.00	5.0
FIRST NY SEC/CHRIS	041	WY	S	10,000	62.74	8-Jan	11-Feb	600.00	6.0
GALLEON MGMT LP	033	WY	B	50,000	63.13	8-Jan	11-Feb	2,500.00	5.0
HEALY CIRCLE	044	WY	B	10,000	63.25	8-Jan	11-Feb	500.00	5.0
JCK PARTNERS	067	WY	B	47,500	63.20	8-Jan	11-Feb	2,375.00	5.0
SCOPUS FUND	041	WY	B	21,200	63.08	8-Jan	11-Feb	1,060.00	5.0
TOTAL (Trade Date) :								106,698.67	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/11	COUGAR TRADING	044	M	B	10,000	24.44	7-Feb	7-Mar	500.00 5.0
AO,CXL	03/11	REINHART & MAHONEY	031	M	B	10,000	24.44	7-Feb	7-Mar	500.00 5.0
AO,	03/11	GEORGE WEISS	034	SSCC	SS	20,000	7.66	8-Jan	30-Jan	600.00 3.0
AO,CRT	03/11	PILOT ADV	038	WMT	B	25,000	49.83	n/a	7-Mar	1000.00 4.0
AO,CXL	03/11	PILOT ADV	038	WMT	B	25,000	49.83	n/a	7-Mar	1250.00 5.0

TOTAL (AO, CXL, CRT) :	350.00
-------------------------------	---------------

TOTAL (Trade Date) :	106,698.67
TOTAL (AO, CXL, CRT) :	350.00

TOTAL (RUN DATE) / NFS:	107,048.67
--------------------------------	-------------------

MRI Securities with Trade Activity on 12-Mar. NONE

MRI Securities without Trade Activity on 12-Mar. NONE
--

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 34%

YTD = 26%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION