



## RR Commissions Summary [ Michael Smith ]

Trade Date: 10/22/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
DEPRINCE, RACE & ZOLLO	093	1,250	5,050	5,050	16,120	0	0	0	5,050	5,050	16,120	0	0
EAGLE ASSET MGMT. (FLORIDA)	093	0	728	728	728	0	0	26,900	728	728	27,628	46,222	60
FIDELITY/M. SMITH (FLORIDA)	093	0	0	0	0	0	0	15,282	0	0	15,282	5,963	256
GATOR CAPITAL MGMT LLC	093	0	313	313	1,043	0	0	0	313	313	1,043	0	0
OTTER CREEK MGMT	093	0	0	0	7,928	0	0	0	0	0	7,928	8,313	95
RBC GLOBAL ASSET MGMT	093	0	0	0	0	0	0	15,000	0	0	15,000	15,000	100
REAL ESTATE MGMT SERVICES GROUP	093	0	0	0	1,000	0	0	0	0	0	1,000	0	0
RETIREMENT SYSTEMS OF ALABAMA	093	0	0	0	0	0	0	16,500	0	0	16,500	0	0
SPARTAN	093	0	401	401	3,995	0	0	0	401	401	3,995	7,062	57
STERLING CAPITAL MGMT.	093	0	4,707	4,707	7,857	0	0	0	4,707	4,707	7,857	0	0
WEDGE CAPITAL	093	0	0	0	0	2,000	2,000	8,001	2,000	2,000	8,001	2,977	269
WELLSPRING CAPITAL	093	0	1,800	1,800	16,284	0	0	0	1,800	1,800	16,284	10,434	156
<b>TOTALS:</b>		<b>1,250</b>	<b>12,999</b>	<b>12,999</b>	<b>54,955</b>	<b>2,000</b>	<b>2,000</b>	<b>81,683</b>	<b>14,999</b>	<b>14,999</b>	<b>136,638</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
FIR TREE CAPITAL	270	0	0	0	0	0	0	9,000	0	0	9,000	6,500	138
GOLDMAN SACHS ASSET MGMT	098	0	0	0	0	0	0	0	0	0	0	0	0
MANNING & NAPIER	035	0	0	0	0	32,500	32,500	97,500	32,500	32,500	97,500	108,750	90
MANULIFE ASSET MGMT.	294	0	0	0	0	27,379	27,379	72,058	27,379	27,379	72,058	16,200	445
PERIMETER	273	0	1,771	1,771	5,568	0	0	0	1,771	1,771	5,568	55,952	0
TRUSTCO/SUNTRUST (CEREDEX)	273	0	0	0	35,813	24,276	24,276	51,271	24,276	24,276	87,084	147,889	35
<b>TOTALS:</b>		<b>0</b>	<b>1,771</b>	<b>1,771</b>	<b>41,381</b>	<b>84,155</b>	<b>84,155</b>	<b>229,829</b>	<b>85,926</b>	<b>85,926</b>	<b>271,210</b>		

Report created by Michael Smith on 10/23/2013 at 10:55:22am from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 8.0; Windows NT 6.1; Trident/4.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E; Tablet PC 2.0)