

Daily Compliance Activity Report Trade Date: 06/08/2009

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. | \$/Shr. |
|---------------------|---|---|--|--|--|---|--|--|--|
| BUCK CAPITAL MGMT | | AAPL | В | 5,000 | 141.79 | n/a | n/a | non-brg | 1.0 |
| James Mitchell | | АВ | В | 500 | 20.42 | 5–Jun | 5–Jun | 0.00 | 0.0 |
| PRIMECAP MGMT | 048 | AES | В | 5,000 | 10.21 | n/a | n/a | 250.00 | 5.0 |
| CATAPULT | 040 | AMTD | S | 10,000 | 19.12 | n/a | n/a | 500.00 | 5.0 |
| HOOVER INV. MGMT | 033 | ANF | S | 59,500 | 27.17 | 3-Jun | 5-Jun | 2,380.00 | 4.0 |
| BUCK CAPITAL MGMT | 001 | AVP | SS | 30,000 | 26.69 | 2-Jun | 2-Jun | 1,200.00 | 4.0 |
| OVERLAND PARK CAP | 041 | AVP | В | 15,000 | 26.51 | 2-Jun | 2-Jun | 600.00 | 4.0 |
| SCOPUS FUND | 041 | AXL | В | 25,000 | 2.98 | n/a | n/a | 1,250.00 | 5.0 |
| PRIMECAP MGMT | 048 | BBBY | В | 2,000 | 29.13 | n/a | n/a | 100.00 | 5.0 |
| PRINCIPLE CAPITAL | 040 | BECN | В | 32,000 | 16.34 | n/a | n/a | 960.00 | 3.0 |
| HARALAMBOS EFSTATHI | FID | BFRM | s | 2000 | 2.01 | n/a | n/a | 0.00 | 0.0 |
| JOEL GIFFORD TISS | FID | BRKB | В | 25 | 2906.49 | n/a | n/a | 0.00 | 0.0 |
| BUCK CAPITAL MGMT | | BWA | С | 8,000 | 33.57 | 1-May | 1-May | non-brg | 4.0 |
| SCOPUS FUND | 041 | BWA | В | 50,000 | 34.08 | 1-May | 1-May | 2,500.00 | 5.0 |
| JP MORGAN VENTURES | 046 | CAB | S | 15,000 | 12.84 | n/a | n/a | 750.00 | 5.0 |
| | BUCK CAPITAL MGMT James Mitchell PRIMECAP MGMT CATAPULT HOOVER INV. MGMT BUCK CAPITAL MGMT OVERLAND PARK CAP SCOPUS FUND PRIMECAP MGMT PRINCIPLE CAPITAL HARALAMBOS EFSTATHI JOEL GIFFORD TISS BUCK CAPITAL MGMT SCOPUS FUND | BUCK CAPITAL MGMT James Mitchell PRIMECAP MGMT 048 CATAPULT 040 HOOVER INV. MGMT 033 BUCK CAPITAL MGMT 001 OVERLAND PARK CAP 041 SCOPUS FUND 041 PRIMECAP MGMT 048 PRINCIPLE CAPITAL 040 HARALAMBOS EFSTATHI FID JOEL GIFFORD TISS FID BUCK CAPITAL MGMT SCOPUS FUND 041 | BUCK CAPITAL MGMT James Mitchell PRIMECAP MGMT 048 AES CATAPULT 040 AMTD HOOVER INV. MGMT 033 ANF BUCK CAPITAL MGMT OVERLAND PARK CAP SCOPUS FUND PRIMECAP MGMT 041 AXL PRIMECAP MGMT 048 BBBY PRINCIPLE CAPITAL 040 BECN HARALAMBOS EFSTATHI JOEL GIFFORD TISS FID BRKB BUCK CAPITAL MGMT SCOPUS FUND 041 BWA | BUCK CAPITAL MGMT AAPL B James Mitchell AB B PRIMECAP MGMT 048 AES B CATAPULT 040 AMTD S HOOVER INV. MGMT 033 ANF S BUCK CAPITAL MGMT OVERLAND PARK CAP 041 AVP B SCOPUS FUND 041 AXL B PRIMECAP MGMT 048 BBBY B PRINCIPLE CAPITAL 040 BECN B HARALAMBOS EFSTATHI FID BFRM S JOEL GIFFORD TISS FID BRKB B BUCK CAPITAL MGMT BWA C SCOPUS FUND 041 BWA B | BUCK CAPITAL MGMT AAPL B 5,000 James Mitchell AB B 500 PRIMECAP MGMT 048 AES B 5,000 CATAPULT 040 AMTD S 10,000 HOOVER INV. MGMT 033 ANF S 59,500 BUCK CAPITAL MGMT 001 AVP SS 30,000 OVERLAND PARK CAP 041 AVP B 15,000 SCOPUS FUND 041 AXL B 25,000 PRIMECAP MGMT 048 BBBY B 2,000 PRINCIPLE CAPITAL 040 BECN B 32,000 HARALAMBOS EFSTATHI FID BFRM S 2000 JOEL GIFFORD TISS FID BRKB B 25 BUCK CAPITAL MGMT BWA C 8,000 SCOPUS FUND 041 BWA B 50,000 | BUCK CAPITAL MGMT AB B 5,000 141.79 James Mitchell AB B 500 20.42 PRIMECAP MGMT 048 AES B 5,000 10.21 CATAPULT 040 AMTD S 10,000 19.12 HOOVER INV. MGMT 033 ANF S 59,500 27.17 BUCK CAPITAL MGMT 001 AVP SS 30,000 26.69 OVERLAND PARK CAP 041 AVP B 15,000 26.51 SCOPUS FUND 041 AXL B 25,000 2.98 PRIMECAP MGMT 048 BBBY B 2,000 29.13 PRINCIPLE CAPITAL 040 BECN B 32,000 16.34 HARALAMBOS EFSTATHI FID BFRM S 2000 2.01 JOEL GIFFORD TISS FID BRKB B C 8,000 33.57 SCOPUS FUND 041 BWA B 50,000 34.08 | BUCK CAPITAL MGMT AB B 5,000 141.79 n/a James Mitchell AB B 5,000 20.42 5-Jun PRIMECAP MGMT 048 AES B 5,000 10.21 n/a CATAPULT 040 AMTD S 10,000 19.12 n/a HOOVER INV. MGMT 033 ANF S 59,500 27.17 3-Jun BUCK CAPITAL MGMT 001 AVP SS 30,000 26.69 2-Jun OVERLAND PARK CAP 041 AVP B 15,000 26.51 2-Jun SCOPUS FUND 041 AXL B 25,000 2.98 n/a PRIMECAP MGMT 048 BBBY B 2,000 29.13 n/a PRINCIPLE CAPITAL 040 BECN B 32,000 16.34 n/a HARALAMBOS EFSTATHI FID BFRM S 2000 2.01 n/a BUCK CAPITAL MGMT BWA C 8,000 33.57 1-May SCOPUS FUND 041 BWA B 50,000 34.08 1-May | BUCK CAPITAL MGMT AAPL B 5,000 141.79 n/a n/a 1AB B 500 20.42 5-Jun 5-Jun PRIMECAP MGMT 048 AES B 5,000 10.21 n/a n/a n/a CATAPULT 040 AMTD S 10,000 19.12 n/a n/a HOOVER INV. MGMT 033 ANF S 59,500 27.17 3-Jun 5-Jun BUCK CAPITAL MGMT 001 AVP SS 30,000 26.69 2-Jun 2-Jun OVERLAND PARK CAP 041 AVP B 15,000 26.51 2-Jun 2-Jun SCOPUS FUND 041 AXL B 25,000 29.13 n/a n/a PRINCIPLE CAPITAL 040 BECN B 32,000 16.34 n/a n/a HARALAMBOS EFSTATHI FID BFM S 2000 2.01 n/a n/a BUCK CAPITAL MGMT 5040 BECN B 32,000 16.34 n/a n/a N/a BUCK CAPITAL MGMT 5040 BECN B 32,000 16.34 n/a n/a N/a BUCK CAPITAL MGMT 5040 BECN B 32,000 16.34 n/a n/a 1-May 1-May SCOPUS FUND 041 BWA C 8,000 33.57 1-May 1-May 1-May 1-May | BUCK CAPITAL MGMT ABB B 5,000 141.79 N/a N/a Non-brg James Mitchell AB B 500 20.42 5-Jun 5-Jun 0.00 PRIMECAP MGMT 048 AES B 5,000 10.21 N/a N/a 250.00 CATAPULT 040 AMTD S 10,000 19.12 N/a N/a 500.00 HOOVER INV. MGMT 033 ANF S 59,500 27.17 3-Jun 5-Jun 2,380.00 BUCK CAPITAL MGMT 001 AVP SS 30,000 26.69 2-Jun 2-Jun 600.00 SCOPUS FUND 041 AXL B 25,000 2.98 N/a N/a 1,250.00 PRIMECAP MGMT 048 BBBY B 2,000 2.98 N/a N/a 1,250.00 PRINCIPLE CAPITAL 040 BECN B 32,000 16.34 N/a N/a 040 040 DOLL GIFFORD TISS FID BRKB B 25 2906.49 N/a N/a N/a 0.00 BUCK CAPITAL MGMT BWA C 8,000 33.57 1-May 1-May 1-May 1-May 2,500.00 BUCK CAPITAL MGMT BWA B 50,000 34.08 1-May 1-May 1-May 2,500.00 |

| | Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. | Shr. |
|---|--------------------------|-----|--------|-----|--------|-------|--------|----------|----------|------|
| | JP MORGAN VENTURES | 046 | CAKE | SS | 20,000 | 17.12 | 29-May | 29-May | 1,000.00 | 5.0 |
| ß | BUCK CAPITAL MGMT | | CCI | В | 10,000 | 22.51 | n/a | n/a | non-brg | 4.0 |
| f | JONATHAN RICHARD HAR | FID | CHCG | В | 1000 | 1.22 | n/a | n/a | 0.00 | 0.0 |
| В | BUCK CAPITAL MGMT | | CMG | С | 20,000 | 83.33 | 14-May | 14-May | non-brg | 4.0 |
| ß | BUCK CAPITAL MGMT | | CMG | C | 5,000 | 82.85 | 14-May | 14-May | non-brg | 4.0 |
| | DIAMONDBACK CAP MGMT | 232 | CNS | С | 10,000 | 16.64 | n/a | n/a | 500.00 | 5.0 |
| | DIAMONDBACK CAP MGMT | 232 | CNS | SS | 10,000 | 16.76 | n/a | n/a | 500.00 | 5.0 |
| ß | BUCK CAPITAL MGMT | | COF | В | 20,000 | 23.41 | 15-May | 5–Jun | non-brg | 1.0 |
| | BALYASNY ASSET MGMT | 044 | CP | С | 15,100 | 42.49 | n/a | n/a | 755.00 | 5.0 |
| ß | BUCK CAPITAL MGMT | | DBD | В | 10,000 | 26.38 | n/a | 5-May | non-brg | 4.0 |
| | PRIMECAP MGMT | 048 | DIS | В | 2,000 | 24.89 | n/a | n/a | 100.00 | 5.0 |
| | AELTUS/ING IVESTMENT MGT | 215 | DOV | В | 27,394 | 35.15 | n/a | n/a | 958.80 | 3.5 |
| | TRELLUS PARTNERS LP | 028 | DRI | В | 20,000 | 34.20 | 19-Mar | 8-Jun | 1,000.00 | 5.0 |
| | JP MORGAN VENTURES | 046 | DSW | В | 20,000 | 11.72 | 28-May | 28-May | 1,000.00 | 5.0 |
| f | CHRISTOPHER ARTHUR K | FID | DXO | s | 8000 | 4.50 | n/a | n/a | 0.00 | 0.0 |
| f | JOHN CAREY MAGUIRE | FID | DXO | s | 1500 | 4.46 | n/a | n/a | 0.00 | 0.0 |
| | OVERLAND PARK CAP | 041 | EL | SS | 12,000 | 33.35 | 5-May | 5-May | 480.00 | 4.0 |

| | Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. | Shr. | |
|---|--------------------------|-----|--------|-----|-----------------|-------|--------|----------|----------|------|--|
| | PRIMECAP MGMT | 048 | ERIC | В | 5,000 | 8.84 | n/a | n/a | 200.00 | 4.0 | |
| ß | BUCK CAPITAL MGMT | | FOR | В | 10,900 | 11.70 | 12-Feb | 8-May | non-brg | 4.0 | |
| ß | BUCK CAPITAL MGMT | | FOR | В | 15,000 | 11.78 | 12-Feb | 8-May | non-brg | 1.5 | |
| а | BUCK CAPITAL MGMT | | FRED | SS | 20,000 | 13.51 | n/a | 27-Mar | non-brg | 4.0 | |
| f | JOHN CAREY MAGUIRE | FID | FXCIL | S | 10 | 2.70 | n/a | n/a | 0.00 | 0.0 | |
| а | BUCK CAPITAL MGMT | | GE | SS | 100,000 | 13.47 | n/a | n/a | non-brg | 4.0 | |
| | STANDARD PACIFIC CAPITAL | 040 | GIL | SS | 75 , 000 | 15.24 | n/a | 14-May | 3,750.00 | 5.0 | |
| ß | BUCK CAPITAL MGMT | | GNTX | С | 6,000 | 12.99 | 30-Jan | 23-Apr | non-brg | 4.0 | |
| ß | BUCK CAPITAL MGMT | | GPS | S | 30,000 | 16.44 | n/a | 30-Jan | non-brg | 4.0 | |
| | WAGONHOUND/NIC | 048 | GYMB | S | 7 , 165 | 36.97 | 21-May | 5-Jun | 286.60 | 4.0 | |
| ß | BUCK CAPITAL MGMT | | HBI | S | 100,000 | 17.56 | n/a | n/a | non-brg | 2.5 | |
| | BUCK CAPITAL MGMT | 001 | HGG | В | 20,000 | 14.85 | n/a | n/a | 800.00 | 4.0 | |
| | SUN AMERICA ASSET MGMT | 030 | ICLR | S | 19,500 | 20.96 | n/a | n/a | 780.00 | 4.0 | |
| ß | BUCK CAPITAL MGMT | | IDXX | SS | 50,000 | 44.40 | n/a | 22-Apr | non-brg | 2.5 | |
| | TYEE/TYGH | 035 | IVZ | В | 66 , 800 | 16.99 | 5-Jun | 5-Jun | 2,672.00 | 4.0 | |
| | PRIMECAP MGMT | 048 | JBLU | В | 10,200 | 4.24 | n/a | n/a | 306.00 | 3.0 | |

| | Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. | ¢/Shr. |
|---|----------------------|-----|--------|-----|---------|-------|--------|----------|----------|--------|
| f | ROBERT M DANIELS | FID | KEY | В | 750 | 5.49 | n/a | n/a | 0.00 | 0.0 |
| | BALYASNY ASSET MGMT | 044 | KMT | С | 11,800 | 20.45 | n/a | 27-Apr | 590.00 | 5.0 |
| | JP MORGAN VENTURES | 046 | KSS | В | 23,400 | 46.28 | 8-May | 5-Jun | 1,170.00 | 5.0 |
| | PRIMECAP MGMT | 048 | LLY | В | 3,000 | 33.81 | n/a | n/a | 150.00 | 5.0 |
| | BUCK CAPITAL MGMT | 001 | LL | В | 10,000 | 15.08 | n/a | n/a | 400.00 | 4.0 |
| ß | BUCK CAPITAL MGMT | | MCD | S | 225,000 | 58.39 | n/a | 8-Jun | non-brg | 1.0 |
| ß | BUCK CAPITAL MGMT | | MCD | S | 75,000 | 58.43 | n/a | 8-Jun | non-brg | 4.0 |
| | COUGAR TRADING | 044 | MCD | SS | 700 | 58.35 | n/a | 8-Jun | 35.00 | 5.0 |
| | PRIMECAP MGMT | 048 | MMC | В | 1,000 | 19.71 | n/a | n/a | 50.00 | 5.0 |
| f | IAN L MILLER | FID | МММ | В | 15 | 59.65 | 4-Jun | 4-Jun | 0.00 | 0.0 |
| | PRIMECAP MGMT | 048 | MON | В | 2,000 | 82.48 | n/a | 27-May | 100.00 | 5.0 |
| | SAXON WOODS ADVISORS | 038 | MO | В | 300,000 | 17.06 | n/a | n/a | 9,000.00 | 3.0 |
| | PRIMECAP MGMT | 048 | MSFT | В | 5,000 | 21.69 | n/a | 3-Apr | 250.00 | 5.0 |
| ß | BUCK CAPITAL MGMT | | MS | S | 1,000 | 31.22 | 11-May | 2-Jun | non-brg | 1.0 |
| | DIAMONDBACK CAP MGMT | 232 | MS | SS | 10,000 | 30.99 | 11-May | 2-Jun | 500.00 | 5.0 |
| õ | Steven Maguire | | MS | S | 1000 | 31.20 | 11-May | 2-Jun | 0.00 | 0.0 |
| ß | BUCK CAPITAL MGMT | | NP | В | 6,807 | 9.20 | 12-May | 12-May | non-brg | 2.5 |

| | Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. | \$/Shr. |
|---|---------------------|-----|--------|-----|--------|-------|--------|----------|----------|---------|
| | BALYASNY ASSET MGMT | 044 | NSC | В | 5,000 | 40.77 | n/a | n/a | 250.00 | 5.0 |
| | PRIMECAP MGMT | 048 | NVS | В | 1,000 | 39.65 | n/a | n/a | 50.00 | 5.0 |
| f | MARK MEGALLI | FID | PBY | В | 1500 | 8.40 | n/a | n/a | 0.00 | 0.0 |
| ß | BUCK CAPITAL MGMT | | PERY | s | 20,000 | 8.97 | n/a | n/a | non-brg | 1.0 |
| | PRIMECAP MGMT | 048 | PGR | В | 3,000 | 16.59 | n/a | n/a | 150.00 | 5.0 |
| ß | BUCK CAPITAL MGMT | | PKG | В | 20,000 | 16.71 | 8-Apr | 21-Apr | non-brg | 4.0 |
| ß | BUCK CAPITAL MGMT | | PLCE | В | 30,000 | 30.54 | 19-Mar | 5-Jun | non-brg | 4.0 |
| ß | BUCK CAPITAL MGMT | | PSS | В | 30,000 | 14.14 | n/a | n/a | non-brg | 4.0 |
| | CATAPULT | 040 | RF | S | 25,000 | 4.06 | n/a | n/a | 1,000.00 | 4.0 |
| | CUMBERLAND | 038 | RGR | SS | 5,900 | 11.79 | n/a | n/a | 236.00 | 4.0 |
| | PILOT ADV | 038 | ROK | SS | 15,000 | 32.72 | n/a | n/a | 600.00 | 4.0 |
| f | HARALAMBOS EFSTATHI | FID | SDS | s | 200 | 54.39 | n/a | n/a | 0.00 | 0.0 |
| В | BUCK CAPITAL MGMT | | SGMS | SS | 30,000 | 18.60 | n/a | n/a | non-brg | 4.0 |
| | BUCK CAPITAL MGMT | 001 | SKX | S | 28,600 | 9.65 | n/a | 13-Mar | 858.00 | 3.0 |
| ß | BUCK CAPITAL MGMT | | SKX | S | 1,100 | 9.68 | n/a | 13-Mar | non-brg | 1.0 |
| ß | BUCK CAPITAL MGMT | | SKX | s | 3,600 | 9.68 | n/a | 13-Mar | non-brg | 1.5 |

| | Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm. | Shr. |
|---|-------------------------|-----|--------|-----|---------|-------|--------|----------|----------|------|
| a | BUCK CAPITAL MGMT | | SLM | S | 200,000 | 7.95 | 23-Apr | 3-Jun | non-brg | 3.0 |
| | TROUBH PART | 067 | SPY | В | 50,000 | 93.43 | n/a | n/a | 2,500.00 | 5.0 |
| ß | BUCK CAPITAL MGMT | | TIN | s | 25,000 | 13.87 | 5-Jun | 5-Jun | non-brg | 4.0 |
| ß | BUCK CAPITAL MGMT | | TLB | В | 50,000 | 4.95 | n/a | 30-Jan | non-brg | 2.0 |
| | ROSEDALE CAPITAL | 046 | Т | S | 10,000 | 24.40 | n/a | 4-Jan | 500.00 | 5.0 |
| | BUCK CAPITAL MGMT | 001 | UA | SS | 50,000 | 24.04 | 29-Apr | 29-Apr | 2,000.00 | 4.0 |
| | BALYASNY ASSET MGMT | 044 | UNP | С | 12,100 | 53.75 | n/a | n/a | 605.00 | 5.0 |
| | PRIMECAP MGMT | 048 | UPS | В | 2,000 | 50.50 | n/a | n/a | 100.00 | 5.0 |
| | BARCLEYS CAP (OLD LEHM) | 041 | URBN | В | 50,000 | 20.93 | n/a | 30-Jan | 2,000.00 | 4.0 |
| | JP MORGAN VENTURES | 046 | URBN | SS | 10,000 | 20.89 | n/a | 30-Jan | 500.00 | 5.0 |
| | AVESTA CAP | 229 | WAG | В | 50,000 | 30.92 | n/a | n/a | 2,000.00 | 4.0 |
| ß | BUCK CAPITAL MGMT | | WFMI | С | 75,000 | 21.01 | n/a | n/a | non-brg | 4.0 |
| ß | BUCK CAPITAL MGMT | | WRC | S | 30,000 | 35.02 | 13-May | 13-May | non-brg | 4.0 |
| | COUGAR TRADING | 044 | WRC | В | 2,500 | 34.78 | 13-May | 13-May | 125.00 | 5.0 |
| f | CHRISTOPHER ARTHUR K | FID | WWE | S | 1000 | 13.97 | n/a | n/a | 0.00 | 0.0 |
| | PRIMECAP MGMT | 048 | WY | В | 1,000 | 33.62 | 9-Feb | 6-May | 50.00 | 5.0 |

| Account | RR# | Symbol | B/S | Shares | Price | MRI | Research | Comm.¢, | /Shr. |
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| AELTUS/ING IVESTMENT MGT | 215 | XCO | S | 23,900 | 13.91 | n/a | n/a | 836.50 | 3.5 |
| BUCK CAPITAL MGMT | | XRAY | SS | 50,000 | 28.92 | n/a | n/a | non-brg | 4.0 |
| OTAL (Trade Date): | | | | | | | | 52,183.90 | |

Cancel/Corrects and As-Of Trades

| Туре | Date | Account | RR # | Symbol | B/S | Shares | Price | MRI | Research | Comm. | ¢/Shr |
|------------------|----------------|------------------------|------------|--------|--------|----------------|--------------|------------|------------|--------|------------|
| A O, | 06/05 | LISTED ERR | 099 | AYE | S | 400 | 25.87 | n/a | n/a | 0.00 | 0.0 |
| AO, | 06/05 | DIAMONDBACK CAP MGMT | 232 | BLK | В | 2,000 | 164.17 | 4-Mar | 5-Jun | 100.00 | 5.0 |
| AO,CXL AO,CRT | 06/05 06/05 | | 029 029 | Q Q | S S | 40,000 | 4.17 4.17 | n/a n/a | n/a n/a | | 5.0 5.0 |
| AO, | 06/05 | NWQ INVESTMENT MGMT CO | 048 | RDEN | В | 6 , 600 | 7.22 | 11-May | 11-May | 198.00 | 3.0 |
| AO, CRT | 06/05 | LISTED ERR | 099 | SSW | s | 300 | 6.87 | n/a | n/a | 0.00 | 0.0 |

| TOTAL (RUN DATE) / NFS: | 52,481.90 |
|-------------------------|-----------|
| TOTAL (AO, CXL, CRT): | 298.00 |
| TOTAL (Trade Date): | 52,183.90 |

MRI Securities with Trade Activity on 8-Jun. NONE

MRI Securities without Trade Activity on 8-Jun.

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 12%

YTD = 20%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)