



Daily Compliance Activity Report

Trade Date: 09/02/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARROW HANLEY MEWHINNEY	031	AAP	S	39,700	44.25	n/a	n/a	1,588.00	4.0
HIGHBRIDGE/MS OLDS	041	ABFS	SS	50,145	35.22	n/a	n/a	2,005.80	4.0
SINCLAIR	031	ADWJJ	S	14	0.15	n/a	n/a	0.00	0.0
COUGAR TRADING	044	AEO	B	5,000	15.67	n/a	29-Aug	250.00	5.0
COUGAR TRADING	044	AEO	S	10,000	16.00	n/a	29-Aug	500.00	5.0
METROPOLITAN CAPITAL	044	AEO	S	26,300	15.64	n/a	29-Aug	1,315.00	5.0
TRUST CO/COWEN/CALF.	040	ALO	S	15,555	35.57	n/a	n/a	777.75	5.0
BUCK CAPITAL MGMT	001	ANF	S	20,000	55.15	7-Aug	29-Aug	800.00	4.0
COUGAR TRADING	044	ANF	S	7,500	55.24	7-Aug	29-Aug	375.00	5.0
STRONG/MARK GRONET	030	ANH	S	12,500	6.50	n/a	n/a	375.00	3.0
RAMIUS CAP	040	AN	SS	100,000	12.06	25-Jul	28-Jul	5,000.00	5.0
BARROW HANLEY MEWHINNEY	031	AVY	S	32,400	49.16	n/a	22-Aug	1,296.00	4.0
BUCK	015	BACKH	S	20	0.63	n/a	n/a	0.00	0.0
UBS OCONNOR	031	BAC	C	100,000	32.74	n/a	15-Aug	3,000.00	3.0
BUCK CAPITAL MGMT	001	BBY	B	10,000	46.33	n/a	27-Jul	400.00	4.0
COUGAR TRADING	044	BKC	S	5,000	25.31	1-May	22-Aug	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	BLK	SS	2,100	223.67	n/a	18-Aug	105.00	5.0
HIGHBRIDGE/MS OLDS	041	BNI	B	10,324	107.46	n/a	n/a	516.20	5.0
LEDDY	035	CAT	C	300	71.33	n/a	18-Aug	0.00	0.0
LEDDY	035	CHCG	S	6,000	1.65	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	CHK	SS	2,300	46.01	n/a	n/a	92.00	4.0
CATTANI	041	CHK	B	400	45.28	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	CHS	B	30,000	5.93	8-Aug	29-Aug	900.00	3.0
COUGAR TRADING	044	CMG	B	7,500	74.16	24-Jul	24-Jul	375.00	5.0
COUGAR TRADING	044	CMG	SS	2,500	73.08	24-Jul	24-Jul	125.00	5.0
HIGHBRIDGE CAPITAL	038	CNI	B	45,200	53.53	n/a	n/a	2,260.00	5.0
BUCK CAPITAL MGMT	001	CRI	B	60,000	18.84	n/a	n/a	2,400.00	4.0
HIGHBRIDGE/MS OLDS	041	CVC	S	24,400	32.00	n/a	4-Jan	976.00	4.0
DSG CAPITAL	225	C	S	10,000	19.65	19-Jun	15-Aug	500.00	5.0
TROUBH PART	067	C	S	35,000	19.56	19-Jun	15-Aug	1,750.00	5.0
ENTRUST CAPITAL	040	DE	S	38,000	69.41	14-Aug	14-Aug	1,900.00	5.0
BUCK CAPITAL MGMT	001	DKS	B	10,000	23.89	22-Aug	22-Aug	400.00	4.0
DALTON GREINER	012	DLTR	S	22,750	39.55	n/a	28-Aug	1,137.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BARROW HANLEY MEWHINNEY	031	FDO	S	50,000	25.40	23-Jul	8-Aug	2,000.00	4.0
TROUBH PART	067	FNM	S	50,000	7.14	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	GCO	B	20,000	37.14	n/a	10-Jan	800.00	4.0
BUCK CAPITAL MGMT	001	GES	B	10,000	39.00	n/a	n/a	400.00	4.0
JPMSI/TOM LEDDY	035	GES	B	10,000	37.05	n/a	n/a	500.00	5.0
GRONET	030	GES	S	500	39.05	n/a	n/a	0.00	0.0
JPMSI/TOM LEDDY	035	GIL	B	4,000	24.47	14-Aug	14-Aug	200.00	5.0
SINCLAIR	031	GMUB	B	100	0.30	n/a	n/a	0.00	0.0
RAMIUS CAP	040	GNTX	SS	100,000	16.44	24-Jun	28-Jul	5,000.00	5.0
TRELLUS PARTNERS LP	028	GNTX	SS	10,000	16.49	24-Jun	28-Jul	500.00	5.0
TRELLUS PARTNERS LP	028	GPI	SS	3,600	22.86	10-Jun	30-Jul	180.00	5.0
THE JAY GOLDMAN	034	GPS	B	100,000	19.61	n/a	29-Aug	4,000.00	4.0
COUGAR TRADING	044	GYMB	S	5,000	40.51	11-Jul	29-Aug	250.00	5.0
STERLING JOHNSTON	035	GYMB	B	56,000	40.30	11-Jul	29-Aug	2,240.00	4.0
BARROW HANLEY MEWHINNEY	031	HBI	S	42,000	24.38	n/a	n/a	1,680.00	4.0
SIGMA CAPITAL ASSOCIATES	237	HD	B	40,000	28.55	n/a	27-Jul	2,000.00	5.0
WOOSTER CAPITAL	035	ICON	S	25,000	13.55	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	IP	B	25,000	27.54	1-Aug	1-Aug	1,250.00	5.0
MILLENIUM PARTNERS	028	IP	B	5,000	27.52	1-Aug	1-Aug	250.00	5.0
COUGAR TRADING	044	JCG	B	10,000	27.98	n/a	27-Aug	500.00	5.0
COUGAR TRADING	044	JCG	S	25,000	28.00	n/a	27-Aug	1,250.00	5.0
BUCK CAPITAL MGMT	001	JNY	SS	10,000	20.73	n/a	30-Jul	400.00	4.0
SINCLAIR	031	JQYIN	B	7	1.80	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JWN	B	10,000	31.98	10-Jul	29-Aug	500.00	5.0
COUGAR TRADING	044	JWN	S	15,000	31.93	10-Jul	29-Aug	750.00	5.0
WEINTRAUB CAPITAL MGT.	040	JWN	SS	50,000	31.71	10-Jul	29-Aug	2,000.00	4.0
BUCK CAPITAL MGMT	001	KSS	S	12,500	50.58	n/a	29-Aug	500.00	4.0
BULL PATH CAPITAL	044	KSS	SS	20,000	50.37	n/a	29-Aug	1,000.00	5.0
COUGAR TRADING	044	KSS	S	10,000	50.78	n/a	29-Aug	500.00	5.0
COUGAR TRADING	098	KSS	B	35,000	50.83	n/a	29-Aug	1,750.00	5.0
WEINTRAUB CAPITAL MGT.	040	KSS	B	50,000	51.09	n/a	29-Aug	2,000.00	4.0
BUCK CAPITAL MGMT	001	LIZ	B	70,000	16.91	n/a	28-Aug	2,800.00	4.0
NATIONAL BK OF CAN	031	LNC	B	5,150	52.35	n/a	n/a	206.00	4.0
SIGMA CAPITAL ASSOCIATES	237	LOW	S	20,000	26.30	n/a	27-Jul	1,000.00	5.0
BMO NESBITT BURNS	041	LPX	B	25,000	10.12	n/a	4-Aug	1,250.00	5.0
COUGAR TRADING	044	LTD	S	20,000	21.25	19-Aug	29-Aug	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	LYHVB	S	30	0.71	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	MCHP	SS	100,102	32.37	n/a	n/a	5,005.10	5.0
COUGAR TRADING	044	M	SS	10,000	21.85	12-Aug	29-Aug	500.00	5.0
SINCLAIR	031	NBRLH	S	40	1.15	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	NSC	B	9,449	72.51	n/a	n/a	472.45	5.0
ADALY INV MGMT	067	NWL	S	25,000	19.07	n/a	n/a	1,000.00	4.0
BUCK CAPITAL MGMT	001	OXM	B	20,000	24.32	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	PSS	B	55,000	15.26	n/a	n/a	2,200.00	4.0
LEVIN CAPITAL STRATEGIES	012	PVH	S	25,000	39.78	5-May	28-Aug	1,000.00	4.0
BUCK	015	RAH	S	1,000	62.04	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	78.15	n/a	28-Aug	400.00	4.0
DSG CAPITAL	225	SLM	B	16,000	16.80	n/a	27-Aug	800.00	5.0
COUGAR TRADING	044	SSO	B	10,000	60.90	n/a	n/a	500.00	5.0
COUGAR TRADING	044	SSO	S	10,000	62.89	n/a	n/a	500.00	5.0
MERRILL ASSET	032	STC	S	6,700	18.67	n/a	n/a	301.50	4.5
GOLD SACHS SPECIAL SIT	030	TEN	SS	126,700	15.01	n/a	n/a	6,335.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	TGT	B	10,000	55.03	n/a	20-Aug	400.00	4.0
STADIA CAPITAL	035	TGT	B	21,500	54.84	n/a	20-Aug	860.00	4.0
GOLD SACHS SPECIAL SIT	030	TRW	SS	13,400	20.20	n/a	n/a	670.00	5.0
PRINCIPLE CAPITAL	040	TUP	SS	38,500	34.66	n/a	n/a	1,155.00	3.0
BUCK CAPITAL MGMT	001	TWB	B	20,000	10.82	n/a	20-Aug	800.00	4.0
COUGAR TRADING	044	TWB	S	5,000	10.90	n/a	20-Aug	250.00	5.0
NOLAN	067	TWTC	S	150	15.85	n/a	4-Jan	0.00	0.0
NEUBERGER BERMAN	036	WLT	B	29,700	82.58	n/a	n/a	1,336.50	4.5
BUCK CAPITAL MGMT	001	WRC	S	10,000	52.40	8-Aug	28-Aug	400.00	4.0
MS PRINCIPAL STRATEGIES	041	X	B	26,000	122.82	n/a	n/a	1,300.00	5.0
COUGAR TRADING	044	ZQK	B	5,000	7.46	27-Aug	27-Aug	200.00	4.0
TOTAL (Trade Date) :								100,960.80	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	08/29	PAW PARTNERS (PAWPMORGAN)	041	CAJ	B	14,400	44.91	n/a	n/a	576.00 4.0
AO,CRT	08/29	PAW PARTNERS (PAWPMORGAN)	041	CAJ	B	14,400	44.91	n/a	n/a	720.00 5.0
AO,CXL	08/29	LISTED ERR (LISTED ERR)	099	IP	B	15,000	27.16	1-Aug	1-Aug	0.00 0.0
AO,CRT	08/29	LISTED ERR (LISTED ERR)	099	IP	S	15,000	27.16	1-Aug	1-Aug	0.00 0.0
AO,CRT	08/29	FIRST NY SEC/CHRIS (FNYCHRIS)	041	IP	B	15,000	27.16	1-Aug	1-Aug	750.00 5.0
AO,CXL	08/29	FIRST NY SEC/CHRIS (FNYCHRIS)	041	IP	S	15,000	27.16	1-Aug	1-Aug	750.00 5.0
CRT	09/02	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	PSS	B	55,000	15.26	n/a	n/a	2200.00 4.0
CRT	09/02	COUGAR TRADING (COUGPB MS)	044	SSO	B	10,000	60.90	n/a	n/a	500.00 5.0
AO,	08/29	FRONTPOINT/COPIA-ANDREW (FPASCOPIGS)	031	SWSI	SS	12,800	33.12	n/a	n/a	512.00 4.0
TOTAL (AO, CXL, CRT) :										3,356.00
TOTAL (Trade Date) :										100,960.80
TOTAL (AO, CXL, CRT) :										3,356.00
TOTAL (RUN DATE) / NFS :										104,316.80

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION