



Daily Compliance Activity Report

Trade Date: 08/15/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Õ Glenn Redemann		AA	B	300	42.50	n/a	n/a	0.00	0.0
Õ Glenn Redemann		AA	S	300	37.50	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	ABG	S	3,000	21.11	18-Jun	27-Jul	180.00	6.0
CUMBERLAND	038	ACL	B	55,000	134.01	26-Jul	15-Aug	2,200.00	4.0
BUCK CAPITAL MGMT	001	AEO	B	30,000	22.34	10-Jul	15-Aug	1,200.00	4.0
CRAMER ROSENTHAL/SCOTT	012	AEO	B	30,700	22.33	10-Jul	15-Aug	1,228.00	4.0
FRIESS MGMT	042	AEO	B	146,400	22.37	10-Jul	15-Aug	6,588.00	4.5
BARR	096	AMD	SS	1,600	12.47	n/a	n/a	0.00	0.0
MAGUIRE	090	AMR	B	1,000	21.36	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		AMT	B	2,300	38.06	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		ANF	S	50,000	73.47	12-Jul	10-Aug	non-brg	5.0
BALYASNY ASSET MGMT	044	ARO	SS	10,000	32.61	n/a	15-Aug	500.00	5.0
ß BUCK CAPITAL MGMT		ARO	SS	70,000	32.97	n/a	15-Aug	non-brg	5.0
SYSTEMATIC FINANCIAL MGT	035	BID	B	12,300	43.73	n/a	n/a	461.25	3.8
ß BUCK CAPITAL MGMT		BJ	SS	100	30.01	10-Apr	27-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		BJ	SS	200	29.48	10-Apr	27-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		BJ	SS	49,800	29.13	10-Apr	27-Jul	non-brg	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		BJ	SS	50,000	29.01	10-Apr	27-Jul	non-brg	5.0
ß	BUCK CAPITAL MGMT		BJ	SS	99,900	29.97	10-Apr	27-Jul	non-brg	4.0
	THE JAY GOLDMAN	034	BJ	B	50,000	29.92	10-Apr	27-Jul	2,000.00	4.0
ß	BUCK CAPITAL MGMT		BONT	B	30,000	20.19	3-Aug	15-Aug	non-brg	5.0
	LEDDY	035	BONT	B	1,000	20.47	3-Aug	15-Aug	0.00	0.0
ß	BUCK CAPITAL MGMT		CACH	S	25,000	15.58	n/a	n/a	non-brg	5.0
	MAGUIRE	090	CAL	B	1,000	27.00	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		CCI	B	2,600	35.63	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		CDNS	S	20,000	20.68	n/a	n/a	non-brg	5.0
	WHEELER	008	CFCPRA	B	5,000	14.60	n/a	n/a	0.00	0.0
	WHEELER	008	CFCPRB	B	5,000	14.50	n/a	n/a	0.00	0.0
	RAINIER INV MGMT	035	CHD	B	55,000	46.11	n/a	8-Aug	2,750.00	5.0
	BALYASNY ASSET MGMT	044	CHS	SS	10,000	17.08	10-Aug	10-Aug	500.00	5.0
	BUCK CAPITAL MGMT	001	CHS	B	10,000	17.38	10-Aug	10-Aug	400.00	4.0
	STANDARD PACIFIC CAPITAL	040	CHS	SS	100,000	17.50	10-Aug	10-Aug	5,000.00	5.0
Õ	Glenn Redemann		CMC	B	2	0.66	n/a	n/a	0.00	0.0
Õ	Glenn Redemann		CMC	B	2	1.12	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	COH	B	15,000	44.14	n/a	1-Aug	600.00	4.0
	CAVU CAP ADV	028	COH	B	15,000	43.75	n/a	1-Aug	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD COAST CAPITAL	035	CONN	SS	8,400	23.58	n/a	n/a	420.00	5.0
Õ Ted Wheeler		CPNLQ	B	100	0.00	n/a	29-Jun	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CPWM	C	100,000	5.13	n/a	n/a	3,000.00	3.0
MAGUIRE	090	DAL	B	1,000	16.03	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	DECK	SS	10,000	87.83	n/a	n/a	500.00	5.0
GALLEON MGMT LP	033	DIA	SS	10,000	129.67	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	DKS	S	10,000	52.91	n/a	n/a	400.00	4.0
BARON ASSET MGMT*	012	DSW	B	76,300	31.53	2-Aug	10-Aug	3,815.00	5.0
LISTED ERR	099	DSW	S	30,000	31.71	2-Aug	10-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		ELY	SS	10,000	17.16	n/a	n/a	non-brg	5.0
BENCHMARK	028	EXPO	S	5,000	24.24	n/a	n/a	250.00	5.0
LUCHANSKY1	036	FACE	S	500	9.27	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	FII	B	5,900	32.28	n/a	10-Aug	295.00	5.0
WAGONHOUND	048	FII	SS	9,390	31.53	n/a	10-Aug	375.60	4.0
ß BUCK CAPITAL MGMT		FL	S	10,000	15.92	24-May	2-Aug	non-brg	2.0
ß BUCK CAPITAL MGMT		FL	S	100,000	15.79	24-May	2-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		FL	S	150,000	15.52	24-May	2-Aug	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FL	S	50,000	15.57	24-May	2-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		FL	S	90,000	15.59	24-May	2-Aug	non-brg	5.0
Õ Glenn Redemann		FTO	B	3	0.36	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FTO	B	3	0.59	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FTO	SS	3	1.49	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FTO	SS	3	1.56	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	S	10,000	48.10	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		GIII	B	1,862	14.16	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIL	B	40,000	31.20	21-Jun	2-Aug	non-brg	5.0
ß BUCK CAPITAL MGMT		HLYS	S	130,077	9.18	n/a	n/a	non-brg	4.0
LISTED ERR	099	HON	S	800	56.59	19-Jul	19-Jul	0.00	0.0
WHEELER	008	HSTX	S	2,500	19.83	n/a	n/a	0.00	0.0
CROWLEY	000	ICBMX	S	600	12.85	n/a	n/a	0.00	0.0
IRONWOOD CAPITAL	067	ICOC	B	34,300	10.13	n/a	n/a	1,372.00	4.0
ß BUCK CAPITAL MGMT		ICON	S	20,000	20.04	n/a	n/a	non-brg	5.0
CUMBERLAND	038	ISIS	S	15,384	11.60	n/a	n/a	615.36	4.0
OTC ERROR	099	JADE	S	2,500	6.46	n/a	n/a	0.00	0.0
EATON VANCE MGMT	039	JCP	S	82,100	62.95	21-Jun	14-Aug	3,284.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	JWN	S	15,000	46.81	12-Jul	10-Aug	600.00	4.0
CAVU CAP ADV	028	JWN	S	2,400	46.62	12-Jul	10-Aug	120.00	5.0
WOOSTER CAPITAL	035	JWN	B	25,000	45.94	12-Jul	10-Aug	1,250.00	5.0
LISTED ERR	099	JWN	B	400	46.68	12-Jul	10-Aug	0.00	0.0
PAKLER	N22	KNOT	B	217	21.26	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	LGF	S	20,000	9.17	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	25,000	33.11	n/a	6-Aug	1,000.00	4.0
STADIA CAPITAL	035	LIZ	SS	25,000	33.15	n/a	6-Aug	1,000.00	4.0
TRUST CO/COWEN/CALF.	040	LSI	B	700,000	6.39	n/a	n/a	28,000.00	4.0
SLS CAPITAL LLC	224	LTD	S	103,400	22.05	16-May	10-Aug	4,136.00	4.0
Õ Glenn Redemann		LUFK	B	300	60.00	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LUFK	SS	3	6.60	n/a	n/a	0.00	0.0
Õ Glenn Redemann		LUFK	S	300	53.07	n/a	n/a	0.00	0.0
LEDDY	035	LULU	B	300	32.62	n/a	n/a	0.00	0.0
TPG-AXON	040	LYO	C	121,000	44.52	14-May	14-May	6,050.00	5.0
LEDDY	035	MER	B	300	71.00	18-Jul	2-Aug	0.00	0.0
BALYASNY ASSET MGMT	044	MGM	S	15,000	71.06	n/a	3-Aug	750.00	5.0
SLS CAPITAL LLC	224	MOT	S	300,000	16.38	n/a	n/a	12,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		MRU+TV	S	75	2.50	n/a	n/a	non-brg 300.0	
MAGUIRE	090	MZZ	B	7,500	59.38	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		M	B	70,000	31.51	13-Jul	14-Aug	non-brg	5.0
COUGAR TRADING	044	M	B	30,000	31.51	13-Jul	14-Aug	1,500.00	5.0
PALMYRA CAPITAL	048	M	S	130,000	31.42	13-Jul	14-Aug	6,500.00	5.0
PRINCIPLE CAPITAL	040	M	B	58,000	31.47	13-Jul	14-Aug	1,740.00	3.0
WHEELER	008	NLCLE	S	100	2.00	n/a	n/a	0.00	0.0
IRONWOOD CAPITAL	067	NLS	B	71,000	9.30	n/a	n/a	2,840.00	4.0
LUCHANSKY4	036	NTRZ	S	1,000	1.85	n/a	n/a	0.00	0.0
LUCHANSKY	036	NTRZ	S	1,600	1.81	n/a	n/a	0.00	0.0
LUCHANSKY1	036	NTRZ	S	500	2.18	n/a	n/a	0.00	0.0
WAGONHOUND	048	NVT	B	2,540	60.46	n/a	n/a	101.60	4.0
BUCK CAPITAL MGMT		OIH	C	10,000	165.27	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	OXM	S	35,000	37.55	n/a	n/a	1,400.00	4.0
BUCK CAPITAL MGMT		PAG	S	1,100	21.32	n/a	1-Aug	non-brg	5.0
NEUBERGER BERMAN	036	PEP	B	33,725	68.07	n/a	n/a	1,686.25	5.0
BEAR STEARNS ASSET MGMT.	033	PIR	C	336,300	6.32	n/a	n/a	10,089.00	3.0
APEX CAPITAL MGMT.	091	PRFT	B	10,000	21.98	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MKT MAKER	099	PSUN	B	1,000	13.87	n/a	15-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	PVH	B	20,000	49.43	13-Aug	13-Aug	800.00	4.0
COUGAR TRADING	044	RL	B	10,000	77.78	9-Aug	9-Aug	500.00	5.0
APEX CAPITAL MGMT.	091	ROST	S	98,600	26.07	n/a	n/a	4,930.00	5.0
APEX CAPITAL MGMT.	091	RSH	B	65,000	22.42	n/a	n/a	3,250.00	5.0
B BUCK CAPITAL MGMT		SBAC	B	2,100	30.40	n/a	n/a	non-brg	1.0
HEALY CIRCLE	044	SKS	SS	25,000	18.05	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	30,000	18.51	n/a	13-Mar	1,200.00	4.0
SARAS CAPITAL	038	SKX	S	100,000	18.84	n/a	13-Mar	5,000.00	5.0
SANDLER CAPITAL	038	SNE	SS	110,000	47.13	n/a	n/a	6,600.00	6.0
BARR	096	SNPS	S	1,000	24.99	n/a	n/a	0.00	0.0
BARR	096	STI	SS	300	76.45	n/a	n/a	0.00	0.0
BARON ASSET MGMT*	012	TIF	B	25,000	43.74	1-Jun	13-Jun	1,250.00	5.0
COUGAR TRADING	044	TJX	S	25,000	28.48	13-Jul	15-Aug	1,250.00	5.0
BARR	096	TLT	B	500	86.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TRLG	B	20,000	17.24	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BULL PATH CAPITAL	044	TROW	B	40,000	49.46	n/a	10-Aug	2,000.00	5.0
ß BUCK CAPITAL MGMT		TSCO	C	10,000	46.16	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	TWB	B	5,000	36.85	2-Jul	6-Aug	200.00	4.0
ß BUCK CAPITAL MGMT		TWTC	B	2,800	19.37	4-May	9-Aug	non-brg	1.0
MAGUIRE	090	UAUA	B	1,000	38.43	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	UNS	S	24,310	30.18	n/a	n/a	1,093.95	4.5
ß BUCK CAPITAL MGMT		URBN	B	10,000	20.94	31-Jul	10-Aug	non-brg	5.0
Õ Glenn Redemann		URBN	B	7	0.32	31-Jul	10-Aug	0.00	0.0
Õ Glenn Redemann		URBN	B	7	0.37	31-Jul	10-Aug	0.00	0.0
Õ Glenn Redemann		URBN	SS	7	0.94	31-Jul	10-Aug	0.00	0.0
Õ Glenn Redemann		URBN	SS	7	1.03	31-Jul	10-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		VFC	SS	10,000	84.23	n/a	n/a	non-brg	5.0
MERRILL ASSET	032	VQ	B	24,800	15.83	n/a	n/a	1,116.00	4.5
IVORY CAPITAL	034	VRSN	B	100,000	29.33	n/a	n/a	5,000.00	5.0
NEWL	067	WBC	B	10,000	47.13	n/a	14-Aug	400.00	4.0
Õ Glenn Redemann		WB	B	2	1.22	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WB	B	2	1.76	n/a	n/a	0.00	0.0
PLATTE RIVER CAPITAL	240	WMT	S	17,100	43.25	4-Jun	27-Jul	684.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	WY	B	300	64.58	13-Jul	6-Aug	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	S	50,000	13.43	9-Mar	27-Jun	2,000.00	4.0
COUGAR TRADING	044	ZQK	S	5,000	13.42	9-Mar	27-Jun	250.00	5.0
B BUCK CAPITAL MGMT		ZUMZ	S	30,000	40.32	n/a	n/a	non-brg	5.0
TOTAL (Trade Date):							160,621.01		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	08/14	MKT MAKER	031	ICOC	B	70	10.29	n/a	n/a	2.80	4.0
AO,CRT	08/14	MKT MAKER	031	ICOC	B	70	10.29	n/a	n/a	0.00	0.0
AO,CRT	08/14	OTC ERROR	099	JADE	B	2,500	7.10	n/a	n/a	0.00	0.0
AO,CXL	08/14	LEDDY	035	JADE	B	2,500	7.10	n/a	n/a	0.00	0.0
AO,	08/14	GENERAL ELECTIC CO	033	MCHP	B	26,000	38.19	n/a	n/a	1170.04	4.5
AO,CXL	08/13	BALYASNY ASSET MGMT	044	NWY	S	35,000	7.55	25-May	10-Aug	1750.00	5.0
AO,CRT	08/13	BALYASNY ASSET MGMT	044	NWY	S	35,000	7.55	25-May	10-Aug	1400.00	4.0
AO,CRT	08/14	MKT MAKER	099	PSUN	S	2,500	14.02	n/a	15-Aug	0.00	0.0
AO,	08/14	MKT MAKER	099	SCHW	S	300	18.01	n/a	n/a	0.00	0.0
AO,	08/14	BUCK CAPITAL MGMT	001	ZQK	S	100	12.94	9-Mar	27-Jun	4.00	4.0
AO,CRT	08/14	BUCK CAPITAL MGMT	001	ZQK	S	29,900	12.94	9-Mar	27-Jun	1196.00	4.0
AO,CXL	08/14	BUCK CAPITAL MGMT	001	ZQK	S	30,000	12.94	9-Mar	27-Jun	1200.00	4.0
TOTAL (AO, CXL, CRT) :										817.24	

TOTAL (Trade Date) :	160,621.01
TOTAL (AO, CXL, CRT) :	817.24

TOTAL (RUN DATE) / NFS:

161,438.25

MRI Securities with Trade Activity on 15-Aug.
NONE

MRI Securities without Trade Activity on 15-Aug.
CLB, FOSL, STR

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 16%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION