



Daily Compliance Activity Report

Trade Date: 10/17/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ABT	S	600	52.63	n/a	n/a	24.00	4.0
BESSEMER INVESTMENT	085	ACWX	B	5,300	37.69	n/a	n/a	212.00	4.0
NEW JERSEY DIV OF INV.	030	AIV	B	20,000	22.78	n/a	n/a	800.00	4.0
PILOT ADV	041	ANN	SS	10,000	26.05	n/a	n/a	300.00	3.0
NORTHWESTERN MUTUAL LIFE	047	ARG	B	77,600	67.90	n/a	20-Sep	3,104.00	4.0
B BUCK CAPITAL MGMT		ASNA 12 C30	SS	2,000	1.20	n/a	n/a	non-brg	300.0
f JASON ERIC COHEN	FID	AVY	S	250	26.91	n/a	6-Sep	0.00	0.0
BESSEMER INVESTMENT	085	BDX	S	1,000	74.00	n/a	n/a	40.00	4.0
B BUCK CAPITAL MGMT		BID	S	50,000	31.25	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BID	S	95,700	31.25	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	BSFT	S	1,052	38.35	n/a	n/a	42.08	4.0
NEW JERSEY DIV OF INV.	030	BXP	S	5,000	90.79	n/a	n/a	200.00	4.0
BESSEMER INVESTMENT	085	CAT	S	100	84.91	n/a	14-Sep	4.00	4.0
MACQUARIE INVESTMENT MGMT.	040	CI	B	10,000	44.43	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	COP	S	700	68.74	n/a	n/a	28.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		CROX 12 C29	SS	1,000	1.75	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		CROX	B	20,000	27.16	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CSTR	S	54,625	51.68	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		C	S	2,147	28.13	n/a	7-Oct	non-brg	4.0
⚡ BUCK CAPITAL MGMT		DAL	S	10,900	8.54	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		DAL	S	138,000	8.50	n/a	n/a	non-brg	3.0
⚡ BUCK CAPITAL MGMT		DAN	S	3,200	12.93	n/a	29-Jul	non-brg	4.0
HIGHBRIDGE CAP	041	DECK	S	5,000	106.00	n/a	n/a	150.00	3.0
⚡ BUCK CAPITAL MGMT		DHI	S	4,800	9.60	n/a	n/a	non-brg	3.0
BESSEMER INVESTMENT	085	DIS	S	3,200	33.29	n/a	n/a	128.00	4.0
LATEFF MGMT	035	DRE	B	3,000	10.27	n/a	n/a	180.00	6.0
HALCYON LLC	041	EP	B	47,360	24.20	n/a	n/a	1,420.80	3.0
SENATOR INVESTMENT GROUP	036	EQT	B	30,000	63.51	n/a	n/a	900.00	3.0
⚡ BUCK CAPITAL MGMT		ERTS	S	30,000	24.21	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		EXPR 1 C25	SS	1,000	1.15	n/a	n/a	non-brg	300.0
f MANNY I KORMAN	FID	FAZ	B	5000	55.30	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	FCX	S	27,000	35.23	n/a	n/a	1,080.00	4.0
⌘ BUCK CAPITAL MGMT		FINL	S	4,750	20.70	n/a	23-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FL	S	5,880	21.08	n/a	19-Aug	non-brg	4.0
JP MORGAN VENTURES	046	FOSL	SS	12,000	93.45	n/a	n/a	480.00	4.0
MACQUARIE INVESTMENT MGMT.	040	FRX	S	10,000	32.23	n/a	17-Oct	0.00	0.0
OZ MGMT	040	F	S	300,000	11.42	n/a	4-Oct	9,000.00	3.0
KENNEDY CAPITAL	046	GCA	B	13,975	2.80	n/a	n/a	279.50	2.0
⌘ BUCK CAPITAL MGMT		GES	S	25,000	31.49	n/a	25-Aug	non-brg	4.0
BESSEMER INVESTMENT	085	GE	S	140	16.50	n/a	n/a	5.60	4.0
⌘ BUCK CAPITAL MGMT		GMCR	B	10,000	81.16	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GMCR	B	10,000	81.16	n/a	n/a	non-brg	4.0
SIMMONS VENTURES	041	GPS	B	5,000	17.54	n/a	n/a	200.00	4.0
⌘ BUCK CAPITAL MGMT		HMB SS	SS	200,000	31.03	n/a	n/a	non-brg	4.7
⌘ BUCK CAPITAL MGMT		HMB SS	SS	377,063	31.05	n/a	n/a	non-brg	0.0
LATEFF MGMT	035	IBM	S	250	189.09	n/a	n/a	60.00	24.0
⌘ BUCK CAPITAL MGMT		JCP	C	110,000	30.11	n/a	13-Oct	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		JCP	C	50,000	30.11	n/a	13-Oct	non-brg	4.0
BESSEMER INVESTMENT	085	JNJ	S	800	64.01	n/a	n/a	32.00	4.0
CAERUS GLOBAL	041	JNY	B	39,000	10.81	n/a	28-Jul	1,560.00	4.0
COBALT	041	JOYG	B	100,000	78.47	n/a	14-Sep	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		JPM	S	1,306	31.15	n/a	13-Oct	non-brg	4.0
MACQUARIE INVESTMENT MGMT.	040	JPM	SS	10,000	31.25	n/a	13-Oct	0.00	0.0
CATAPULT	040	KMR	SS	25,000	64.82	n/a	n/a	1,000.00	4.0
RBC CAPITAL MARKETS	040	KSS	B	5,000	50.40	n/a	7-Oct	200.00	4.0
⌘ BUCK CAPITAL MGMT		LEA	S	2,160	47.93	n/a	5-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LEN	S	3,900	14.83	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	LMT	S	700	75.97	n/a	17-Oct	28.00	4.0
LISTED ERR	099	LMT	B	700	75.97	n/a	17-Oct	0.00	0.0
LISTED ERR	099	LMT	SS	700	75.88	n/a	17-Oct	0.00	0.0
HIGHBRIDGE CAP	041	LOW	B	47,000	21.11	n/a	17-Oct	1,410.00	3.0
⌘ BUCK CAPITAL MGMT		LTD	S	70,000	42.29	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LVS 12 C48	SS	1,000	2.49	n/a	n/a	non-brg	300.0
BESSEMER INVESTMENT	085	MA	S	142	340.84	n/a	13-Oct	5.68	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LATEFF MGMT	035	MMM	B	500	76.89	n/a	7-Sep	65.00	13.0
⌘ BUCK CAPITAL MGMT		MSMSBCD	C	491,310	91.36	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCD	SS	480,075	91.36	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	C	5,114,817	91.31	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	4,997,855	91.36	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		M	S	2,000	29.04	n/a	7-Oct	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PCAR	S	1,300	38.65	n/a	14-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PERY	S	17,126	21.38	n/a	n/a	non-brg	2.5
HIGHBRIDGE CAP	041	PETM	S	11,000	45.67	n/a	n/a	330.00	3.0
BESSEMER INVESTMENT	085	PFE	S	100	18.93	n/a	n/a	4.00	4.0
⌘ BUCK CAPITAL MGMT		PII	S	30,000	59.76	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	PKG	B	100,000	24.70	n/a	17-Oct	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		PLCE	S	45,000	45.55	n/a	18-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PLCE	S	45,000	45.55	n/a	18-Aug	non-brg	4.0
BESSEMER INVESTMENT	085	RGP	S	1,300	20.99	n/a	n/a	52.00	4.0
COOKBIELER	028	RKT	B	40,000	52.89	n/a	17-Oct	1,600.00	4.0
⌘ BUCK CAPITAL MGMT		RYL	S	3,800	11.06	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		SFLY	S	20,000	44.97	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		SHOO	B	10,000	32.97	n/a	n/a	non-brg	4.0
MANNY I KORMAN	FID	SIJ	B	3000	51.76	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SLM	S	4,000	13.17	n/a	6-Oct	non-brg	4.0
MERCHANTS GATE CAP LP	036	SPY	C	113,000	120.64	n/a	n/a	4,520.00	4.0
BUCK CAPITAL MGMT		STT	S	1,700	34.70	n/a	7-Oct	non-brg	4.0
BUCK CAPITAL MGMT		TIF	B	20,000	72.55	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		TOL	S	2,100	15.23	n/a	n/a	non-brg	4.0
MERRILL ASSET/BLACKROCK	297	UPL	B	5,400	29.23	n/a	12-Oct	216.00	4.0
BUCK CAPITAL MGMT		URBN	SS	30,000	23.22	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		VSI	B	8,000	36.94	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		V	S	600	92.20	n/a	13-Oct	non-brg	4.0
BUCK CAPITAL MGMT		WBC	S	929	42.00	n/a	11-Oct	non-brg	4.0
T. ROWE PRICE	033	WY	B	214,800	16.49	n/a	17-Oct	8,592.00	4.0
FORTRESS INVESTMENT (US)	036	XLI	SS	16,000	31.34	n/a	n/a	640.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RBC CAPITAL MARKETS	040	YUM	B	5,000	51.09	n/a	5-Oct	200.00	4.0
TOTAL (Trade Date):								47,092.66	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	10/14	CAERUS GLOBAL	041	JNY	B	37,400	10.67	n/a	28-Jul	1496.00 4.0
AO,CXL	10/14	CAERUS GLOBAL	041	JNY	B	37,400	10.67	n/a	28-Jul	1496.00 4.0
AO,CRT	10/14	EGS ASSOCIATES LP	091	JNY	B	3,000	10.49	n/a	28-Jul	180.00 6.0
AO,CXL	10/14	EGS ASSOCIATES LP	091	JNY	B	3,000	10.49	n/a	28-Jul	120.00 4.0
TOTAL (AO, CXL, CRT) :										60.00
TOTAL (Trade Date) :										47,092.66
TOTAL (AO, CXL, CRT) :										60.00
TOTAL (RUN DATE) / NFS:										47,152.66

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	10/14	BUCK CAPITAL MGMT.	CSTR	S	15,000	53.45	n/a	n/a	600.00
CRT	10/14	BUCK CAPITAL MGMT	CSTR	S	15,000	53.48	n/a	n/a	600.00 4.0

MRI Securities with Trade Activity on 17-Oct.
NONE

MRI Securities without Trade Activity on 17-Oct.
HRS

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 2%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)