



## RR Commissions Summary [ Brian Colburn ]

Trade Date: 09/16/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	09/16	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
APEX CAPITAL MGMT.	033	2,668	2,668	18,298	42,542	0	0	0	2,668	18,298	42,542	0	0
ARCHON CAPITAL	033	0	0	5,145	5,145	0	0	1	0	5,145	5,146	0	0
ASCEND PARTNERS	033	0	2,851	11,149	57,903	0	0	0	2,851	11,149	57,903	73,854	78
CHARTER OAK	033	0	0	6,400	13,911	0	0	0	0	6,400	13,911	21,191	66
CLOVIS CAPITAL	033	0	0	1,500	2,500	0	0	0	0	1,500	2,500	17,250	14
COLUMBIA MGMT	033	0	0	0	0	0	0	27,529	0	0	27,529	0	0
CONIFER/FIRESTORM/BC	033	0	0	0	820	0	0	0	0	0	820	480	171
DAVIDSON INV ADV	033	0	0	0	21,274	0	0	0	0	0	21,274	0	0
FORWARD MGMT	033	0	0	600	2,700	0	0	0	0	600	2,700	5,467	49
FRIESS MGMT	033	0	0	18,603	18,603	0	0	0	0	18,603	18,603	16,612	112
HOLLIS CAPITAL	033	0	750	3,700	12,075	0	0	0	750	3,700	12,075	9,175	132
INTERVAL PARTNERS	033	300	1,800	8,458	10,978	0	0	0	1,800	8,458	10,978	4,665	235
LATEEF MGMT	033	242	2,061	6,453	20,583	0	0	0	2,061	6,453	20,583	0	0
MUTUAL SHARES/FRANKLYN	033	0	0	0	0	0	0	17,189	0	0	17,189	6,042	284
PARAGON INVESTMENT	033	0	0	0	0	0	0	12,001	0	0	12,001	0	0
PHILADELPHIA FINANCIAL	033	0	0	6,000	46,312	0	0	0	0	6,000	46,312	80,341	58
RAINIER INV MGMT	033	0	0	5,544	38,600	0	0	0	0	5,544	38,600	0	0
ROSENBERG CAPITAL MGMT	033	0	0	0	0	0	3,387	12,157	0	3,387	12,157	17,848	68
ROXBURY CAPITAL (HOOD RIVER CAP)	033	0	0	3,889	8,623	0	0	0	0	3,889	8,623	0	0
SASCO	033	0	0	12,450	20,110	0	0	0	0	12,450	20,110	23,090	87
SMEAD CAPITAL	033	0	0	0	0	0	2,000	4,000	0	2,000	4,000	0	0
SYMPHONY ASSET	033	0	0	2,480	21,108	0	0	0	0	2,480	21,108	23,863	88
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE	033	0	4,472	12,696	42,732	0	0	0	4,472	12,696	42,732	0	0
TYEE/TYGH	033	0	0	0	0	0	0	1	0	0	1	0	0
WAGONHOUND/NICOLAS	033	0	2,396	4,377	33,828	0	0	0	2,396	4,377	33,828	7,827	432
<b>TOTALS:</b>		<b>3,210</b>	<b>16,997</b>	<b>127,743</b>	<b>420,346</b>	<b>0</b>	<b>5,387</b>	<b>72,878</b>	<b>16,997</b>	<b>133,130</b>	<b>493,224</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	09/16	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
A.I.M. ADVISORS INC	222	0	0	0	0	0	0	0	0	0	0	0	0
CAPITAL RESEARCH GROUP	84	0	8,750	14,356	132,693	0	0	0	8,750	14,356	132,693	255,833	0
JMP ASSET MGMT	210	0	0	0	0	0	0	0	0	0	0	0	0
T. ROWE PRICE	243	0	5,920	30,326	106,972	0	0	0	5,920	30,326	106,972	122,167	0
WEXFORD CAPITAL	284	0	159	159	23,268	0	0	0	159	159	23,268	2,962	0
<b>TOTALS:</b>		<b>0</b>	<b>14,829</b>	<b>44,840</b>	<b>262,934</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>14,829</b>	<b>44,840</b>	<b>262,934</b>		

