



Daily Compliance Activity Report

Trade Date: 06/16/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
REINHART & MAHONEY	031	ABT	B	180	44.84	n/a	22-Apr	9.00	5.0
REINHART & MAHONEY	031	ACS	B	190	45.41	n/a	n/a	9.50	5.0
BUCK CAPITAL MGMT	001	AEO	S	500,000	13.69	20-Apr	5-Jun	20,000.00	4.0
COUGAR TRADING	044	AEO	B	1,700	13.40	20-Apr	5-Jun	85.00	5.0
SANDELL ADV	040	AES	SS	25,000	10.31	n/a	n/a	1,000.00	4.0
REINHART & MAHONEY	031	AFG	B	300	21.78	n/a	n/a	15.00	5.0
LAGRANGE CAP ADV	048	ALGT	B	10,000	36.43	n/a	n/a	500.00	5.0
REINHART & MAHONEY	031	AMGN	B	100	51.37	n/a	26-Jan	5.00	5.0
JP MORGAN VENTURES	046	AMZN	B	15,000	82.30	n/a	n/a	750.00	5.0
B BUCK CAPITAL MGMT		ANF	B	10,000	25.67	3-Jun	5-Jun	non-brg	4.0
REINHART & MAHONEY	031	APOL	B	70	63.75	n/a	n/a	3.50	5.0
B BUCK CAPITAL MGMT		APP	S	15,000	3.55	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		APP	S	20,000	3.65	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	ARO	B	164,885	34.64	n/a	5-Jun	6,595.40	4.0
B BUCK CAPITAL MGMT		ARO	B	100,000	34.80	n/a	5-Jun	non-brg	1.5
B BUCK CAPITAL MGMT		ARO	B	116,915	34.72	n/a	5-Jun	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		ARO	B	33,200	34.77	n/a	5-Jun	non-brg	2.0
	DIAMONDBACK CAP MGMT	232	ARO	B	50,000	34.10	n/a	5-Jun	2,500.00	5.0
	CAVU CAP ADV	028	ATMI	S	29,300	15.56	n/a	n/a	1,465.00	5.0
f	JAMES H HLAVACEK II	FID	ATN	B	50	20.92	n/a	n/a	0.00	0.0
	REINHART & MAHONEY	031	ATVI	B	300	12.36	n/a	n/a	15.00	5.0
ß	BUCK CAPITAL MGMT		AVP	SS	20,000	25.45	2-Jun	2-Jun	non-brg	4.0
	KLEINHEINZ CAP PART	031	AVP	S	50,000	25.70	2-Jun	2-Jun	2,500.00	5.0
	FIRST NEW YORK LEDDY	035	AXL	B	10,000	3.39	10-Jun	10-Jun	600.00	6.0
	TRELLUS PARTNERS LP	028	AXL	B	30,000	3.54	10-Jun	10-Jun	900.00	3.0
	BUCK CAPITAL MGMT	001	BBY	B	110,000	36.79	n/a	27-Jul	4,400.00	4.0
ß	BUCK CAPITAL MGMT		BBY	B	10,000	36.20	n/a	27-Jul	non-brg	4.0
ß	BUCK CAPITAL MGMT		BBY	B	110,000	37.47	n/a	27-Jul	non-brg	1.0
	NEUBERGER BERMAN	036	BBY	B	77,900	36.46	n/a	27-Jul	3,505.50	4.5
	SAC TRADING HOWARD	038	BBY	SS	35,000	35.91	n/a	27-Jul	1,400.00	4.0
	REINHART & MAHONEY	031	BRKB	B	32,912.00		n/a	n/a	0.15	5.0
	DSM CAPITAL PARTNERS	032	CHD	B	5,055	51.27	27-Apr	14-May	252.75	5.0
ß	BUCK CAPITAL MGMT		CLX	SS	20,000	55.69	15-Jun	15-Jun	non-brg	4.0
	JP MORGAN VENTURES	046	CLX	SS	15,000	55.69	15-Jun	15-Jun	750.00	5.0
f	DAVID GEORGE BUCK	FID	CMA	S	2000	21.32	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		COH	S	20,000	26.47	n/a	22-Apr	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		COST	SS	20,000	45.97	n/a	27-Jul	non-brg	4.0
REINHART & MAHONEY	031	CSCO	B	125	19.18	n/a	22-Mar	6.25	5.0
B BUCK CAPITAL MGMT		CTRN	SS	10,000	24.45	n/a	n/a	non-brg	2.5
f ALEXANDER A SACCO	FID	CVGI	B	600	1.27	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	CVS	B	250	30.06	n/a	n/a	12.50	5.0
B BUCK CAPITAL MGMT		DECK	S	104,600	68.73	n/a	n/a	non-brg	2.0
SANDLER O'NEILL ASSET	031	DFS	S	16,639	9.30	n/a	16-Jun	499.17	3.0
f NEIL M STRATTON	FID	DKR	B	300	18.00	n/a	n/a	0.00	0.0
MKT MAKER	099	DKS	S	2,096	16.32	27-Apr	20-May	0.00	0.0
NEUBERGER BERMAN	036	DLLR	B	17,711	14.07	n/a	n/a	797.00	4.5
B BUCK CAPITAL MGMT		DLTR	S	130,000	41.85	8-May	9-Jun	non-brg	1.0
B BUCK CAPITAL MGMT		DPZ	B	22,600	7.85	n/a	24-Apr	non-brg	1.5
B BUCK CAPITAL MGMT		DPZ	B	77,400	7.82	n/a	24-Apr	non-brg	2.5
STADIA CAPITAL	035	ERTS	B	11,900	21.71	n/a	n/a	476.00	4.0
RBC CAPITAL MARKETS	040	FDO	B	10,000	28.43	5-Mar	9-Jun	400.00	4.0
REINHART & MAHONEY	031	FISV	B	200	45.99	n/a	n/a	10.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARK DEVEREAUX KAICH	FID	FLTS	S	325	0.02	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FOSL	S	60,000	22.50	n/a	30-Jan	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	FO	SS	10,600	35.82	n/a	n/a	424.00	4.0
B BUCK CAPITAL MGMT		FUA+FC[FOSL]	C	1,491	8.20	n/a	n/a	non-brg	300.0
REINHART & MAHONEY	031	GE	B	300	12.85	n/a	n/a	15.00	5.0
B BUCK CAPITAL MGMT		GIII	S	2,400	11.12	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		GIL	B	10,000	16.22	n/a	15-Jun	non-brg	4.0
REINHART & MAHONEY	031	GLD	B	100	91.75	n/a	n/a	5.00	5.0
SCOPUS FUND	041	GPI	S	31,855	21.93	n/a	29-Apr	1,592.75	5.0
B BUCK CAPITAL MGMT		GYMB	B	10,000	33.97	21-May	5-Jun	non-brg	4.0
DSG CAPITAL	225	HAR	B	7,500	20.49	10-Jun	16-Jun	375.00	5.0
B BUCK CAPITAL MGMT		HBI	S	25,000	16.54	n/a	n/a	non-brg	2.5
REINHART & MAHONEY	031	HCC	B	200	24.17	n/a	n/a	10.00	5.0
B BUCK CAPITAL MGMT		HGG	B	20,000	14.69	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	HIW	S	2500	21.67	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JMP ASSET MGMT	210	HOTT	B	14,000	7.10	n/a	30-Jan	560.00	4.0
B BUCK CAPITAL MGMT		HOT	SS	20,000	22.55	n/a	n/a	non-brg	4.0
REINHART & MAHONEY	031	HPQ	B	150	36.95	n/a	n/a	7.50	5.0
REINHART & MAHONEY	031	IBM	B	100	107.65	n/a	n/a	5.00	5.0
B BUCK CAPITAL MGMT		IGT	B	20,000	15.53	n/a	27-Apr	non-brg	1.0
RBC CAPITAL MARKETS	040	IGT	B	15,000	16.04	n/a	27-Apr	600.00	4.0
LISTED ERR	099	IGT	B	15,000	16.00	n/a	27-Apr	0.00	0.0
LISTED ERR	099	IGT	SS	15,000	16.00	n/a	27-Apr	0.00	0.0
B BUCK CAPITAL MGMT		IMAX	S	30,000	7.21	n/a	n/a	non-brg	2.5
REINHART & MAHONEY	031	INTC	B	200	15.95	n/a	n/a	10.00	5.0
SCOPUS FUND	041	IP	B	10,000	14.56	n/a	1-May	500.00	5.0
REINHART & MAHONEY	031	IRM	B	150	27.33	n/a	n/a	7.50	5.0
NEUBERGER BERMAN	036	ISLE	B	119,600	11.52	n/a	26-Jan	5,382.00	4.5
MKT MAKER	099	ISLE	S	1,800	11.21	n/a	26-Jan	0.00	0.0
M&I FUNDS	256	IVZ	S	40,000	17.63	5-Jun	15-Jun	1,600.00	4.0
REINHART & MAHONEY	031	IVZ	B	225	17.45	5-Jun	15-Jun	11.25	5.0
UBS OCONNOR	031	IVZ	S	120,000	17.65	5-Jun	15-Jun	3,600.00	3.0
REINHART & MAHONEY	031	IWC	B	125	32.50	n/a	n/a	6.25	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	JCG	B	10,000	24.09	n/a	30-Jan	400.00	4.0
REINHART & MAHONEY	031	JNJ	B	275	54.88	n/a	22-Apr	13.75	5.0
BUCK CAPITAL MGMT	001	JNY	S	20,000	11.25	n/a	30-Apr	800.00	4.0
BUCK CAPITAL MGMT		JNY	S	30,000	11.05	n/a	30-Apr	non-brg	4.0
BUCK CAPITAL MGMT		JOSB	B	10,000	32.89	n/a	n/a	non-brg	4.0
DAVID GEORGE BUCK	FID	JPM	B	500	33.68	16-Jan	12-Jun	0.00	0.0
REINHART & MAHONEY	031	JPM	B	125	33.65	16-Jan	12-Jun	6.25	5.0
BUCK CAPITAL MGMT	001	KSS	B	5,000	44.13	8-May	5-Jun	200.00	4.0
RBC CAPITAL MARKETS	040	KSS	B	10,000	43.76	8-May	5-Jun	400.00	4.0
KEEFE BRUYETTE WOODS A	045	LAZ	SS	4,209	27.91	n/a	12-Jun	210.45	5.0
RBC CAPITAL MARKETS	040	LOW	SS	10,000	19.17	12-May	18-May	400.00	4.0
BUCK CAPITAL MGMT	001	MAR	SS	20,000	22.40	n/a	n/a	800.00	4.0
RBC CAPITAL MARKETS	040	MAR	SS	15,000	22.56	n/a	n/a	600.00	4.0
LISTED ERR	099	MAR	B	4,100	22.65	n/a	n/a	0.00	0.0
LISTED ERR	099	MAR	S	4,100	22.53	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	MCD	B	10,000	57.29	n/a	11-Jun	400.00	4.0
REINHART & MAHONEY	031	MCD	B	75	57.34	n/a	11-Jun	3.75	5.0
REINHART & MAHONEY	031	MDT	B	125	32.54	n/a	22-Apr	6.25	5.0
ROTHSCHILD ASSET	040	MLI	B	19,470	21.04	n/a	22-Apr	876.15	4.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	MSFT	B	600	23.51	n/a	3-Apr	30.00	5.0
STADIA CAPITAL	035	MSFT	S	47,200	23.85	n/a	3-Apr	1,888.00	4.0
B BUCK CAPITAL MGMT		MVRX	SS	30,000	125.51	n/a	n/a	non-brg	12.6
LISTED ERR	099	M	B	10,000	11.27	n/a	5-Jun	0.00	0.0
REINHART & MAHONEY	031	NTRS	B	100	53.11	22-Apr	12-Jun	5.00	5.0
REINHART & MAHONEY	031	NU	B	400	21.75	n/a	n/a	20.00	5.0
DALTON GREINER	012	ODC	S	19,770	14.21	n/a	n/a	988.50	5.0
DLAT	012	ODC	S	230	14.21	n/a	n/a	11.50	5.0
REINHART & MAHONEY	031	PEP	B	100	52.47	n/a	n/a	5.00	5.0
B BUCK CAPITAL MGMT		PERY	S	7,500	8.66	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		PFCB	SS	50,000	31.06	29-May	12-Jun	non-brg	4.0
REINHART & MAHONEY	031	PG	B	100	50.18	5-Jun	5-Jun	5.00	5.0
B BUCK CAPITAL MGMT		PLCE	B	10,000	28.39	19-Mar	5-Jun	non-brg	4.0
REINHART & MAHONEY	031	PPDI	B	150	21.86	n/a	n/a	7.50	5.0
B BUCK CAPITAL MGMT		PSS	B	30,000	13.42	n/a	n/a	non-brg	4.0
f KEVIN MICHAEL O'GORM	FID	PSUN	S	1000	3.30	6-Feb	6-Feb	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KEVIN MICHAEL O'GORM	FID	PSUN	S	2000	3.30	6-Feb	6-Feb	0.00	0.0
B	BUCK CAPITAL MGMT		PVH+FD[PVH]	C	870	11.07	n/a	n/a	non-brg	300.0
B	BUCK CAPITAL MGMT		PVH+FE[PVH]	C	1,391	5.00	n/a	n/a	non-brg	300.0
B	BUCK CAPITAL MGMT		PVH+FW[PVH]	C	1,977	13.30	n/a	n/a	non-brg	300.0
B	BUCK CAPITAL MGMT		PVH	S	100,000	29.66	20-Apr	21-May	non-brg	2.5
B	BUCK CAPITAL MGMT		PVH	S	50,000	29.60	20-Apr	21-May	non-brg	2.0
B	BUCK CAPITAL MGMT		QUK+FJ[DECK]	C	1,046	18.74	n/a	n/a	non-brg	300.0
f	STEFFANIE CARMELA CA	FID	RAD	B	410	1.47	n/a	n/a	0.00	0.0
	REINHART & MAHONEY	031	RGA	B	355	34.19	n/a	n/a	17.75	5.0
	RAINIER INV MGMT	035	RJF	S	75,000	17.03	n/a	n/a	3,750.00	5.0
	SCOPUS FUND	041	RYN	B	10,000	36.59	n/a	1-May	500.00	5.0
	SANDELL ADV	040	SFD	B	18,783	10.64	n/a	n/a	751.32	4.0
B	BUCK CAPITAL MGMT		SGMS	SS	30,000	18.03	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		SHOO	SS	10,000	27.23	n/a	n/a	non-brg	4.0
	REINHART & MAHONEY	031	SHPGY	B	185	40.21	20-Feb	16-Jun	9.25	5.0
B	BUCK CAPITAL MGMT		SKX	S	1,700	9.68	n/a	13-Mar	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	040	SPLS	B	10,000	20.41	n/a	27-Jul	400.00	4.0
f DAVID GEORGE BUCK	FID	STI	B	5000	15.93	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	STT	B	142,080	45.97	n/a	n/a	5,683.20	4.0
f ANDREW SINCLAIR	FID	SWGGS	B	100	0.89	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	TBT	S	1000	54.32	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	TBT	S	900	54.39	n/a	n/a	0.00	0.0
SCOPUS FUND	041	TEN	S	60,000	10.41	n/a	n/a	3,000.00	5.0
REINHART & MAHONEY	031	TEVA	B	100	47.92	18-Feb	16-Jun	5.00	5.0
BUCK CAPITAL MGMT	001	TGT	B	10,000	38.69	25-Feb	9-Jun	400.00	4.0
REINHART & MAHONEY	031	TMO	B	110	40.28	n/a	n/a	5.50	5.0
TRELLUS PARTNERS LP	028	TWI	B	60,300	8.70	n/a	n/a	3,015.00	5.0
ENTRUST CAPITAL	040	TXRH	B	137,983	10.50	n/a	n/a	6,899.15	5.0
REINHART & MAHONEY	031	TYC	B	150	26.57	1-May	1-May	7.50	5.0
SACC-SCHWARTZ	044	VALE	B	50,000	19.57	n/a	n/a	2,000.00	4.0
REINHART & MAHONEY	031	WMT	B	125	48.33	11-May	9-Jun	6.25	5.0
B BUCK CAPITAL MGMT		WRC	S	20,000	33.33	13-May	13-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RBC CAPITAL MARKETS	040	WYNN	SS	10,000	36.34	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	YUM	SS	100,000	33.77	n/a	11-Jun	4,000.00	4.0
TOTAL (Trade Date):							103,099.99		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/12	LISTED ERR	099	M	S	10,000	11.99	n/a	5-Jun	0.00 0.0
AO,CXL	06/15	SCOPUS FUND	041	PKG	B	20,000	15.79	8-Apr	21-Apr	1000.00 5.0
AO,CRT	06/15	SCOPUS FUND	041	PKG	B	20,000	15.79	8-Apr	21-Apr	1000.00 5.0
TOTAL (AO, CXL, CRT) :										0.00

TOTAL (Trade Date) :	103,099.99
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	103,099.99
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MRI Securities with Trade Activity on 16-Jun.
NONE

MRI Securities without Trade Activity on 16-Jun.
AOS

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 40%
YTD = 21%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)