



## Daily Compliance Activity Report

Trade Date: 04/03/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MAGUIRE</b>	<b>090</b>	<b>AAI</b>	<b>S</b>	<b>4,150</b>	<b>6.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IMPALA ASSET MMT	012	AEM	B	57,200	66.86	n/a	n/a	1,716.00	3.0
ENTRUST CAPITAL	040	AEO	S	100,000	18.66	6-Mar	13-Mar	5,000.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	76.30	10-Jan	10-Mar	250.00	5.0
COUGAR TRADING	044	ARO	SS	25,000	27.60	29-Nov	14-Mar	1,250.00	5.0
DIAMONDBACK CAP MGMT	232	BAC	S	25,000	40.05	13-Nov	3-Apr	1,250.00	5.0
IMPALA ASSET MMT	012	BG	B	50,000	90.52	n/a	n/a	1,500.00	3.0
CROWN ADVISORS	045	BIG	SS	2,500	22.87	6-Mar	3-Apr	125.00	5.0
SUN AMERICA ASSET MGMT	030	CAL	B	25,000	20.40	n/a	n/a	1,000.00	4.0
MILLENIUM PARTNERS	028	CCI	B	20,000	36.39	n/a	n/a	1,000.00	5.0
KENNEDY CAPITAL	046	CHKP	S	34,055	22.57	n/a	3-Apr	1,362.20	4.0
PEREGRINE	030	CHS	B	263,700	7.05	31-Jan	31-Mar	13,185.00	5.0
SCOPUS FUND	041	CHTT	B	40,000	63.29	11-Jan	3-Apr	2,000.00	5.0
SANDLER O'NEILL ASSET	031	CLMS	B	2,000	17.27	13-Feb	2-Apr	100.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AELTUS/ING INVESTMENT MGT	044	CMC	S	12,700	31.55	n/a	n/a	508.00	4.0
CAXTON PART	040	CNO	C	23,900	11.40	n/a	n/a	836.50	3.5
<b>NOLAN</b>	<b>067</b>	<b>COV</b>	<b>S</b>	<b>5</b>	<b>45.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
1798 CAPITAL MGMT	040	CPKI	B	14,900	13.98	n/a	n/a	745.00	5.0
MERRILL ASSET	032	CRR	B	700	41.23	13-Feb	1-Apr	31.50	4.5
<b>N STRATTON</b>	<b>014</b>	<b>CSCO</b>	<b>S</b>	<b>100</b>	<b>24.35</b>	<b>n/a</b>	<b>22-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>N STRATTON</b>	<b>014</b>	<b>CVLL</b>	<b>B</b>	<b>99</b>	<b>11.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>EFSTATHIOU</b>	<b>044</b>	<b>DUG</b>	<b>B</b>	<b>300</b>	<b>36.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>NOLAN</b>	<b>067</b>	<b>DUG</b>	<b>B</b>	<b>30</b>	<b>35.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>DUG</b>	<b>S</b>	<b>1,500</b>	<b>35.56</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>DXD</b>	<b>S</b>	<b>2,000</b>	<b>53.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AAD CAPITAL	048	EL	SS	40,000	47.60	4-Jan	1-Apr	1,600.00	4.0
AELTUS/ING INVESTMENT MGT	044	ETN	S	20,540	79.93	28-Mar	28-Mar	821.60	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FDO</b>	<b>B</b>	<b>70,000</b>	<b>21.01</b>	<b>8-Jan</b>	<b>1-Apr</b>	<b>2,800.00</b>	<b>4.0</b>
CAXTON PART	040	GILD	S	43,282	51.78	n/a	n/a	1,514.88	3.5
<b>WHEELER</b>	<b>008</b>	<b>GLDD</b>	<b>B</b>	<b>20,000</b>	<b>5.27</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GPS</b>	<b>SS</b>	<b>50,000</b>	<b>19.74</b>	<b>n/a</b>	<b>7-Mar</b>	<b>2,000.00</b>	<b>4.0</b>
DIAMONDBACK CAP MGMT	232	GPS	SS	38,100	19.80	n/a	7-Mar	1,905.00	5.0
LAGRANGE CAP ADV	048	HYC	S	20,000	4.65	n/a	n/a	1,000.00	5.0
PRINCIPLE CAPITAL	040	IACI	S	28,500	21.59	n/a	n/a	855.00	3.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>IR</b>	<b>B</b>	<b>300</b>	<b>45.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>NOLAN</b>	<b>067</b>	<b>IR</b>	<b>S</b>	<b>20</b>	<b>45.86</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAXTON PART	040	IVZ	B	60,000	26.31	10-Mar	2-Apr	2,100.03	3.5
PROSPECTOR PARTNERS LLC	036	IVZ	B	28,900	25.96	10-Mar	2-Apr	1,156.00	4.0
SUNOVA CAPITAL	031	IVZ	S	14,000	25.64	10-Mar	2-Apr	700.00	5.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	56,950	25.64	10-Mar	2-Apr	2,135.62	3.8
COUGAR TRADING	044	JCG	B	10,000	45.65	28-Nov	12-Mar	500.00	5.0
<b>WYCKOFF</b>	<b>013</b>	<b>JCP</b>	<b>B</b>	<b>200</b>	<b>40.12</b>	<b>4-Jan</b>	<b>31-Mar</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	JNS	B	80,000	25.74	24-Mar	3-Apr	4,000.00	5.0
IMPALA ASSET MMT	012	KMT	B	126,548	32.21	n/a	n/a	3,796.44	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KMX</b>	<b>SS</b>	<b>10,000</b>	<b>20.38</b>	<b>n/a</b>	<b>10-Jan</b>	<b>400.00</b>	<b>4.0</b>
GLG PARTNERS	034	KO	S	50,000	60.45	n/a	n/a	2,500.00	5.0
<b>WYCKOFF</b>	<b>013</b>	<b>KSS</b>	<b>B</b>	<b>200</b>	<b>44.58</b>	<b>4-Jan</b>	<b>31-Mar</b>	<b>0.00</b>	<b>0.0</b>
KENNEDY CAPITAL	046	KSU	S	21,115	40.53	n/a	n/a	844.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LUCHANSKY1	036	LPX	B	500	10.99	n/a	6-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	LTM	S	20,000	33.22	n/a	n/a	800.00	4.0
N STRATTON	014	LTRE	S	150	15.55	n/a	n/a	0.00	0.0
BUCK	015	LYHOG	B	30	1.51	n/a	n/a	0.00	0.0
N STRATTON	014	MCF	S	100	70.00	n/a	n/a	0.00	0.0
Õ Michael Marrano		MSFT	S	200	28.8400	n/a	3-Apr	0.00	0.0
WHEELER	008	MTRX	B	10,000	16.83	n/a	n/a	0.00	0.0
BRUNNER	012	MTRX	B	1,000	16.67	n/a	n/a	0.00	0.0
MAGUIRE	090	MZZ	S	1,000	58.46	n/a	n/a	0.00	0.0
AELTUS/ING INVESTMENT MGT	044	NRG	B	20,600	38.91	n/a	n/a	824.00	4.0
SUNOVA CAPITAL	031	NTRS	B	20,000	71.21	n/a	3-Apr	1,000.00	5.0
Õ Michael Marrano		NYX	B	250	66.6300	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	PAG	SS	25,000	20.61	6-Feb	20-Mar	1,250.00	5.0
CAXTON PART	040	RGA	S	35,000	56.77	n/a	n/a	1,225.01	3.5
BUCK CAPITAL MGMT	001	RL	SS	20,000	60.87	7-Feb	15-Feb	800.00	4.0
SIRIS	013	RL	B	300	60.76	7-Feb	15-Feb	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>RYN</b>	<b>B</b>	<b>35,000</b>	<b>45.32</b>	<b>8-Jan</b>	<b>25-Jan</b>	<b>1,750.00</b>	<b>5.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKX</b>	<b>S</b>	<b>30,000</b>	<b>21.12</b>	<b>n/a</b>	<b>13-Mar</b>	<b>1,200.00</b>	<b>4.0</b>
CATAPULT	040	SMG	SS	30,000	34.95	11-Feb	20-Mar	1,200.00	4.0
<b>MAGUIRE</b>	<b>090</b>	<b>SRS</b>	<b>S</b>	<b>2,000</b>	<b>86.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	STZ	B	58,400	19.58	n/a	n/a	1,752.00	3.0
IMPALA ASSET MMT	012	SU	SS	40,000	100.17	n/a	n/a	1,200.00	3.0
<b>NOLAN</b>	<b>067</b>	<b>TEL</b>	<b>S</b>	<b>5</b>	<b>34.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CLOVIS CAPITAL	012	TJX	SS	25,000	33.89	n/a	10-Mar	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>SS</b>	<b>15,000</b>	<b>13.87</b>	<b>2-Apr</b>	<b>2-Apr</b>	<b>600.00</b>	<b>4.0</b>
PRINCIPLE CAPITAL	040	TPX	B	239,200	12.19	n/a	n/a	7,176.00	3.0
<b>MAGUIRE</b>	<b>090</b>	<b>TRMA</b>	<b>C</b>	<b>200</b>	<b>41.08</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IMPALA ASSET MMT	012	TRN	S	144,200	27.12	n/a	n/a	4,326.00	3.0
<b>MAGUIRE</b>	<b>090</b>	<b>TWM</b>	<b>S</b>	<b>2,000</b>	<b>76.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Michael Marrano</b>		<b>TWX</b>	<b>S</b>	<b>400</b>	<b>14.4000</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MILLENIUUM PARTNERS	028	T	S	50,000	38.94	n/a	4-Jan	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IMPALA ASSET MMT	012	UAUA	B	82,738	21.64	n/a	n/a	2,482.14	3.0
CASTLE ARK	031	UPL	S	88,700	76.41	n/a	1-Apr	5,322.00	6.0
SYSTEMATIC FINANCIAL MGT	035	VFC	B	67,975	78.78	n/a	n/a	2,549.07	3.8
GRANAHAN INV	039	WBC	B	33,600	45.50	28-Mar	28-Mar	1,344.00	4.0
CATAPULT	040	WDR	SS	4,000	33.80	21-Nov	2-Apr	200.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>WHT</b>	<b>S</b>	<b>4,100</b>	<b>2.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRIVIUM CAP MGMT	251	WSM	C	100,000	26.33	n/a	n/a	4,000.00	4.0
CAXTON PART	040	ZNT	S	20,000	38.20	n/a	n/a	700.04	3.5
<b>TOTAL (Trade Date) :</b>								<b>107,938.63</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRT	04/03	SUNOVA CAPITAL (SUNOMORGAN)	031	JNS	B	80,000	25.74	24-Mar	3-Apr	4000.00	5.0
AO,	04/02	ROTHSCHILD ASSET (ROTH100200)	040	M	B	2,660	25.29	7-Feb	31-Mar	119.70	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH100170)	040	M	B	840	25.29	7-Feb	31-Mar	37.80	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH100150)	040	M	B	910	25.29	7-Feb	31-Mar	40.95	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH100120)	040	M	B	260	25.29	7-Feb	31-Mar	11.70	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH100100)	040	M	B	160	25.29	7-Feb	31-Mar	7.20	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH316)	040	M	B	1,540	25.29	7-Feb	31-Mar	69.30	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH100160)	040	M	B	1,150	25.29	7-Feb	31-Mar	51.75	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH100110)	040	M	B	1,140	25.29	7-Feb	31-Mar	51.30	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH357)	040	M	B	3,750	25.29	7-Feb	31-Mar	168.75	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH100180)	040	M	B	36,860	25.29	7-Feb	31-Mar	1658.70	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH000328)	040	M	B	1,430	25.29	7-Feb	31-Mar	64.35	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH000384)	040	M	B	1,370	25.29	7-Feb	31-Mar	61.65	4.5
AO,	04/02	ROTHSCHILD ASSET (ROTH384)	040	M	B	3,940	25.29	7-Feb	31-Mar	177.30	4.5
AO,CXL	03/27	ROYCE TRADING (ROYC28)	216	MODT	B	10,000	0.26	n/a	n/a	300.00	3.0
AO,CRT	03/27	ROYCE TRADING (ROYC28)	216	MODT	B	10,000	0.26	n/a	n/a	100.00	1.0
AO,	04/02	MORGAN BANK /JPM (JPMB162852)	012	NAVZ	S	400	62.35	n/a	n/a	12.00	3.0
AO,CXL	04/02	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RVI	S	17,198	4.92	n/a	n/a	687.92	4.0
AO,CRT	04/02	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RVI	S	17,198	4.92	n/a	n/a	515.94	3.0
AO,CXL	04/02	GALT INVESTMENT (GALTGS)	041	SCSS	S	11,326	3.93	n/a	n/a	453.04	4.0
AO,CRT	04/02	GALT INVESTMENT (GALTGS)	041	SCSS	S	11,326	3.93	n/a	n/a	283.15	2.5
AO,CXL	04/02	ROBX (ROBX190)	302	TJX	B	15,000	34.70	n/a	10-Mar	0.00	0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	04/02	GRANAHAN INV (GRINOKLAHO)	039	WBC	B	800	45.85	28-Mar	28-Mar	32.00 4.0
AO,	04/02	GRANAHAN INV (GRINNATION)	039	WBC	B	3,350	45.85	28-Mar	28-Mar	134.00 4.0
AO,	04/02	GRANAHAN INV (GRINSYRACU)	039	WBC	B	650	45.85	28-Mar	28-Mar	26.00 4.0
AO,	04/02	GRANAHAN INV (GRINPRIAC)	039	WBC	B	15,200	45.85	28-Mar	28-Mar	608.00 4.0
AO,	04/02	GRANAHAN INV (GRINBATCH)	039	WBC	B	750	45.85	28-Mar	28-Mar	30.00 4.0
AO,	04/02	GRANAHAN INV (GRINNORTH)	039	WBC	B	500	45.85	28-Mar	28-Mar	20.00 4.0
AO,	04/02	GRANAHAN INV (GRINRIVER)	039	WBC	B	300	45.85	28-Mar	28-Mar	12.00 4.0
AO,	04/02	GRANAHAN INV (GRINLUXO)	039	WBC	B	600	45.85	28-Mar	28-Mar	24.00 4.0
AO,	04/02	GRANAHAN INV (GRINCOMMON)	039	WBC	B	400	45.85	28-Mar	28-Mar	16.00 4.0
AO,	04/02	GRANAHAN INV (GRINSCLHS)	039	WBC	B	750	45.85	28-Mar	28-Mar	30.00 4.0
AO,	04/02	GRANAHAN INV (GRINSCHSCP)	039	WBC	B	300	45.85	28-Mar	28-Mar	12.00 4.0
AO,	04/02	GRANAHAN INV (GRINRCBGRA)	039	WBC	B	650	45.85	28-Mar	28-Mar	26.00 4.0
AO,	04/02	GRANAHAN INV (GRINRCB)	039	WBC	B	2,050	45.85	28-Mar	28-Mar	82.00 4.0
AO,	04/02	GRANAHAN INV (GRINPCUNI)	039	WBC	B	800	45.85	28-Mar	28-Mar	32.00 4.0
AO,	04/02	GRANAHAN INV (GRINPCMAS)	039	WBC	B	1,150	45.85	28-Mar	28-Mar	46.00 4.0
AO,	04/02	GRANAHAN INV (GRINVVIF)	039	WBC	B	13,150	45.85	28-Mar	28-Mar	526.00 4.0
AO,	04/02	GRANAHAN INV (GRINEXPLOR)	039	WBC	B	96,500	45.85	28-Mar	28-Mar	3860.00 4.0
AO,	04/02	GRANAHAN INV (GRINARMY)	039	WBC	B	200	45.85	28-Mar	28-Mar	8.00 4.0
AO,	04/02	MORGAN BANK /JPM (JPMB162852)	012	WCC	B	400	37.46	n/a	n/a	12.00 3.0
AO,	04/02	JPMSI/TOM LEDDY (JPMLSTKS)	035	WRNC	B	19,000	42.72	3-Apr	3-Apr	950.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>12,476.58</b>

TOTAL (Trade Date):

107,938.63



TOTAL (AO, CXL, CRT):

12,476.58

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**TOTAL (RUN DATE) / NFS:**

**120,415.21**

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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION