

Daily Compliance Activity Report Trade Date: 09/04/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

					10.		HULLIGI	116122 110	
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
ENTRUST CAPITAL	040	AEO	S	77,000	15.53	n/a	29-Aug	3,850.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	10,000	51.13	7-Aug	29-Aug	400.00	4.0
COUGAR TRADING	044	ANF	В	5,000	52.10	7-Aug	29-Aug	250.00	5.0
REINHART & MAHONEY	031	ANF	S	14,250	51.01	7-Aug	29-Aug	712.50	5.0
BUCK CAPITAL MGMT	001	AN	SS	68,800	12.15	25-Jul	28-Jul	2,752.00	4.0
MUHLENKAMP FUND	046	APC	S	310,600	58.00	n/a	26-Aug	15,530.00	5.0
LEDDY	035	ARGN	S	1,700	7.58	3-Sep	3-Sep	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	s	25,000	35.72	28-Apr	29-Aug	1,000.00	4.0
COUGAR TRADING	044	ARO	S	5,000	36.24	28-Apr	29-Aug	250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	40,000	35.57	28-Apr	29-Aug	2,000.00	5.0
HUNTER GLOBAL INVESTOR	067	AZN	S	100,000	46.40	n/a	n/a	5,000.00	5.0
LEDDY	035	BIG	SS	500	30.38	29- Ma y	3-Sep	0.00	0.0
MS PRINCIPAL STRATEGIES	041	BK	В	25 , 000	34.98	n/a	15-Aug	1,250.00	5.0
THIRD POINT MGMT	044	BYI	С	75 , 000	33.99	n/a	n/a	3,000.00	4.0
BUCK CAPITAL MGMT	001	CHS	В	30,000	6.41	8-Aug	29-Aug	1,200.00	4.0
MERRILL ASSET	032	CRR	S	10,600	54.17	29-Aug	3-Sep	530.00	5.0

Account	RR #	Symbol	B/S	}	Shares	Price	MRI	Research	Comm.	Shr.
WHITE PINE CAPITAL	030	CSCO	В		2,500	22.40	n/a	22-Mar	125.00	5.0
AMBER CAPITAL	046	CTL	В		140,400	39.37	n/a	n/a	5,616.00	4.0
BUCK CAPITAL MGMT	001	DAI		SS	10,000	58.87	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	DKS		S	10,000	22.18	22-Aug	22-Aug	400.00	4.0
HOLDEN CAPITAL MGMT	035	DRL	В		39,900	13.53	n/a	n/a	1,995.00	5.0
MAGUIRE	039	DSW		S	500	14.80	8-May	29-Aug	0.00	0.0
CATTANI	041	DVN	В		250	92.33	7-May	21-Aug	0.00	0.0
KORMAN	003	EL	С		1,000	51.50	15-Aug	4-Sep	0.00	0.0
HIGHBRIDGE CAPITAL	038	FISV	В		4,000	50.57	n/a	n/a	200.00	5.0
PILOT ADV	038	FL		S	54,500	17.51	11-Aug	22-Aug	2,180.00	4.0
HIGHBRIDGE CAPITAL	038	FTI	В		20,000	48.68	n/a	n/a	1,000.00	5.0
MAGUIRE	039	FXEUT		S	5	6.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO		S	3,000	37.48	n/a	10-Jan	120.00	4.0
COLUMBUS CIRCLE	036	GENZ		S	389,750	76.24	n/a	n/a	15,590.00	4.0
ZOLIDIS	011	GESIH		S	10	1.50	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
PAKLER	029	GESIH	S	8	1.60	n/a	n/a	0.00	0.0
HART	056	GESIH	S	10	1.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	S	10,000	39.34	n/a	n/a	400.00	4.0
REINHART & MAHONEY	031	GES	В	15 , 370	39.29	n/a	n/a	768.50	5.0
WYCKOFF	013	GES	S	100	39.04	n/a	n/a	0.00	0.0
GRONET	030	GES	S	500	40.34	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	GE	В	3,200	28.09	n/a	n/a	160.00	5.0
WILLIAM BLAIR	031	GILD	S	168,700	50.40	n/a	n/a	6,748.00	4.0
BUCK CAPITAL MGMT	001	GIL	В	40,000	23.10	14-Aug	14-Aug	1,600.00	4.0
COLUMBUS CIRCLE	036	GIS	В	30,409	67.24	n/a	n/a	1,216.36	4.0
EFSTATHIOU	044	GLWIW	В	40	0.25	n/a	n/a	0.00	0.0
MAIRS AND POWER INV	030	GLW	В	20,000	16.77	n/a	4-Sep	1,000.00	5.0
LBACCICIND	005	GLW	В	1,000	16.31	n/a	4-Sep	0.00	0.0
GLICK	059	GPS	S	400	19.44	n/a	29-Aug	0.00	0.0
MCCARTAN	028	GR	SS	100	48.92	5-Jun	24-Jul	0.00	0.0
COUGAR TRADING	044	GYMB	В	5,000	40.54	11-Jul	29-Aug	250.00	5.0
WHITE PINE CAPITAL	030	HRS	В	2,000	49.47	2-Jun	6-Aug	100.00	5.0
PILOT ADV	038	HSC	SS	12,500	48.85	23-Apr	29-Jul	500.00	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	\$/Shr.
õ	Raghav Nayar		HWD	В	110 1	8.2400	n/a	n/a	0.00	0.0
	LISTED ERR	099	IP	В	186	28.18	1-Aug	1-Aug	0.00	0.0
	COUGAR TRADING	044	JCG	SS	20,000	29.13	n/a	27-Aug	1,000.00	5.0
	COUGAR TRADING	044	JWN	В	5,000	32.59	10-Jul	29-Aug	250.00	5.0
	COUGAR TRADING	044	KSS	SS	20,000	51.47	n/a	29-Aug	1,000.00	5.0
	EGS ASSOCIATES LP	091	LIZ	В	4,500	17.10	n/a	28- A ug	270.00	6.0
	ROTHSCHILD ASSET	040	LVB	В	46,910	28.92	n/a	n/a	2,110.95	4.5
	KAICHER	064	MDR	В	2,000	28.29	n/a	n/a	0.00	0.0
	REINHART & MAHONEY	031	MHS	В	17 , 980	48.46	n/a	n/a	899.00	5.0
	EGS ASSOCIATES LP	091	м	В	3,000	21.03	12-Aug	29-Aug	180.00	6.0
	THE JAY GOLDMAN	034	М	В	10,000	20.97	12-Aug	29-Aug	400.00	4.0
	NEUBERGER BERMAN	036	NFX	В	26,510	39.52	n/a	n/a	1,192.95	4.5
	HUNTER GLOBAL INVESTOR	067	NVS	S	100,000	53.46	n/a	n/a	5,000.00	5.0
	LBACCICIND	005	PERF	S	2,000	21.53	n/a	n/a	0.00	0.0
	BMO NESBITT BURNS	041	PKG	В	25,000	25.40	22-Jul	22-Jul	1,250.00	5.0
	COUGAR TRADING	044	PSUN	В	10,000	6.46	n/a	29-Aug	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	
SIGMA CAPITAL ASSOCIATES	237	PVH	В	25,000	40.32	5-May	28-Aug	1,250.00	5.0	
CLOVIS CAPITAL	012	RL	SS	100,000	75.18	n/a	28-Aug	5,000.00	5.0	
KLEINHEINZ CAP PART	031	RL	S	150,000	73.61	n/a	28-Aug	7,500.00	5.0	
ZOLIDIS	MAR	SHOEQ	С	2,000	0.10	n/a	n/a	0.00	0.0	
CATTANI	041	SLB	s	250	89.72	n/a	n/a	0.00	0.0	
COUGAR TRADING	044	SSO	В	5,000	59.92	n/a	n/a	250.00	5.0	
COUGAR TRADING	044	SSO	S	5,000	57.55	n/a	n/a	250.00	5.0	
REINHART & MAHONEY	031	STJ	S	25,736	45.37	n/a	n/a	1,286.80	5.0	
BUCK CAPITAL MGMT	001	TGT	В	30,000	54.61	n/a	3-Sep	1,200.00	4.0	
LBACCICIND	005	TIN	s	2,000	17.47	29-Apr	30-Jul	0.00	0.0	
GLOBAL 56 LLC	060	TJX	В	3,000	34.41	11-Aug	29-Aug	120.00	4.0	
VENTANA CAPITAL	060	TJX	В	2,000	34.21	11-Aug	29-Aug	80.00	4.0	
MCCARTAN	028	TM	SS	100	88.26	n/a	n/a	0.00	0.0	
WHITE PINE CAPITAL	030	Т	В	4,600	32.24	n/a	4-Jan	230.00	5.0	
REINHART & MAHONEY	031	VAR	S	14,394	64.04	n/a	n/a	719.70	5.0	
LAGRANGE CAP ADV	048	VIP	В	10,000	22.53	n/a	n/a	500.00	5.0	
MS PRINCIPAL STRATEGIES	041	WFC	В	25,000	30.19	n/a	n/a	1,250.00	5.0	
	SIGMA CAPITAL ASSOCIATES CLOVIS CAPITAL KLEINHEINZ CAP PART ZOLIDIS CATTANI COUGAR TRADING COUGAR TRADING REINHART & MAHONEY BUCK CAPITAL MGMT LBACCICIND GLOBAL 56 LLC VENTANA CAPITAL MCCARTAN WHITE PINE CAPITAL REINHART & MAHONEY LAGRANGE CAP ADV	SIGMA CAPITAL ASSOCIATES 237 CLOVIS CAPITAL 012 KLEINHEINZ CAP PART 031 ZOLIDIS MAR CATTANI 041 COUGAR TRADING 044 COUGAR TRADING 044 REINHART & MAHONEY 031 BUCK CAPITAL MGMT 001 LBACCICIND 005 GLOBAL 56 LLC 060 VENTANA CAPITAL 060 MCCARTAN 028 WHITE PINE CAPITAL 030 REINHART & MAHONEY 031 LAGRANGE CAP ADV 048	SIGMA CAPITAL ASSOCIATES 237 PVH CLOVIS CAPITAL 012 RL KLEINHEINZ CAP PART 031 RL ZOLIDIS MAR SHOEQ CATTANI 041 SLB COUGAR TRADING 044 SSO COUGAR TRADING 044 SSO REINHART & MAHONEY 031 STJ BUCK CAPITAL MGMT 001 TGT LBACCICIND 005 TIN GLOBAL 56 LLC 060 TJX VENTANA CAPITAL 060 TJX WENTANA CAPITAL 060 TJX MCCARTAN 028 TM WHITE PINE CAPITAL 030 T REINHART & MAHONEY 031 VAR LAGRANGE CAP ADV 048 VIP	SIGMA CAPITAL ASSOCIATES 237 PVH B CLOVIS CAPITAL 012 RL SS KLEINHEINZ CAP PART 031 RL S ZOLIDIS MAR SHOEQ C CATTANI 041 SLB S COUGAR TRADING 044 SSO B COUGAR TRADING 044 SSO S REINHART & MAHONEY 031 STJ S BUCK CAPITAL MGMT 001 TGT B LBACCICIND 005 TIN S GLOBAL 56 LLC 060 TJX B S WHITE PINE CAPITAL 060 TJX B S WHITE PINE CAPITAL 030 T B REINHART & MAHONEY 031 VAR S LAGRANGE CAP ADV 048 VIP B	SIGMA CAPITAL ASSOCIATES 237 PVH B 25,000 CLOVIS CAPITAL KLEINHEINZ CAP PART 031 RL SS 100,000 KLEINHEINZ CAP PART 031 RL S 150,000 ZOLIDIS MAR SHOEQ C 2,000 CATTANI 041 SLB S 250 COUGAR TRADING 044 SSO B 5,000 COUGAR TRADING 044 SSO B 5,000 REINHART & MAHONEY 031 STJ S 25,736 BUCK CAPITAL MGMT 001 TGT B 30,000 LBACCICIND 005 TIN S 2,000 GLOBAL 56 LLC 060 TJX B 3,000 VENTANA CAPITAL 060 TJX B 2,000 MCCARTAN 028 TM SS 100 WHITE PINE CAPITAL 030 T B 4,600 REINHART & MAHONEY 031 VAR S 14,394 LAGRANGE CAP ADV 048 VIP B 10,000	SIGMA CAPITAL ASSOCIATES 237 PVH B 25,000 40.32 CLOVIS CAPITAL 012 RL SS 100,000 75.18 KLEINHEINZ CAP PART 031 RL S 150,000 73.61 ZOLIDIS MAR SHOEQ C 2,000 0.10 CATTANI 041 SLB S 250 89.72 COUGAR TRADING 044 SSO B 5,000 57.55 REINHART & MAHONEY 031 STJ S 25,736 45.37 BUCK CAPITAL MGMT 001 TGT B 30,000 54.61 LBACCICIND 005 TIN S 2,000 17.47 GLOBAL 56 LLC 060 TJX B 3,000 34.41 VENTANA CAPITAL 060 TJX B 2,000 34.21 MCCARTAN 028 TM SS 100 88.26 WHITE PINE CAPITAL 030 T B 4,600 32.24 REINHART & MAHONEY 031 VAR S 14,394 64.04 LAGRANGE CAP ADV 048 VIP B 10,000 22.53	SIGMA CAPITAL ASSOCIATES 237 PVH B 25,000 40.32 5-May CLOVIS CAPITAL 012 RL SS 100,000 75.18 n/a KLEINHEINZ CAP PART 031 RL S 150,000 73.61 n/a ZOLIDIS MAR SHOEQ C 2,000 0.10 n/a CATTANI 041 SLB S 250 89.72 n/a COUGAR TRADING 044 SSO B 5,000 59.92 n/a COUGAR TRADING 044 SSO S 5,000 57.55 n/a REINHART & MAHONEY 031 STJ S 25,736 45.37 n/a BUCK CAPITAL MGMT 001 TGT B 30,000 54.61 n/a LBACCICIND 005 TIN S 2,000 17.47 29-Apr GLOBAL 56 LLC 060 TJX B 3,000 34.41 11-Aug VENTANA CAPITAL 060 TJX B 2,000 34.21 11-Aug MCCARTAN 028 TM SS 100 88.26 n/a WHITE PINE CAPITAL 030 T B 4,600 32.24 n/a REINHART & MAHONEY 031 VAR S 14,394 64.04 n/a LBACRANGE CAP ADV 048 VIP B 10,000 22.53 n/a	SIGMA CAPITAL ASSOCIATES 237 PVH B 25,000 40.32 5-May 28-Aug CLOVIS CAPITAL 012 RL SS 100,000 75.18 n/a 28-Aug KLEINHEINZ CAP PART 031 RL S 150,000 73.61 n/a 28-Aug ZOLIDIS MAR SHOEQ C 2,000 0.10 n/a n/a n/a CATTANI 041 SLB S 250 89.72 n/a n/a n/a COUGAR TRADING 044 SSO B 5,000 57.55 n/a n/a n/a REINHART & MAHONEY 031 STJ S 25,736 45.37 n/a n/a n/a BUCK CAPITAL MGMT 001 TGT B 30,000 54.61 n/a 3-Sep LBACCICIND 005 TIN S 2,000 17.47 29-Apg 30-Jul GLOBAL 56 LLC 060 TJX B 3,000 34.41 11-Aug 29-Aug VENTANA CAPITAL 060 TJX B 2,000 34.21 11-Aug 29-Aug WENTANA CAPITAL 030 T B 4,600 32.24 n/a 4-Jan REINHART & MAHONEY 031 VAR S 14,394 64.04 n/a n/a LAGRANGE CAP ADV 048 VIP B 10,000 22.53 n/a n/a n/a	SIGMA CAPITAL ASSOCIATES 237 PVH B 25,000 40.32 5-May 28-Aug 1,250.00 CLOVIS CAPITAL 012 RL SS 100,000 75.18 n/a 28-Aug 7,500.00 KLEINHEINZ CAP PART 031 RL S 150,000 73.61 n/a 28-Aug 7,500.00 ZOLIDIS MAR SHOEQ C 2,000 0.10 n/a n/a 0.00 CATTANI 041 SLB S 250 89.72 n/a n/a 0.00 COUGAR TRADING 044 SSO B 5,000 59.92 n/a n/a 250.00 COUGAR TRADING 044 SSO S 5,000 57.55 n/a n/a 250.00 REINHART & MAHONEY 031 STJ S 25,736 45.37 n/a n/a 1,286.80 BUCK CAPITAL MGMT 001 TGT B 30,000 54.61 n/a 3-Sep 1,200.00 LBACCICIND 055 TIN S 2,000 17.47 29-Apr 30-Jul 0.00 GLOBAL 56 LLC 060 TJX B 3,000 34.41 11-Aug 29-Aug 120.00 VENTANA CAPITAL 060 TJX B 2,000 34.21 11-Aug 29-Aug 80.00 MCCARTAN 028 TM SS 100 88.26 n/a n/a 0.00 WHITE PINE CAPITAL 030 T B 4,600 32.24 n/a 4-Jan 230.00 REINHART & MAHONEY 031 VAR S 14,394 64.04 n/a n/a 719.70 LAGRANGE CAP ADV 048 VIP B 10,000 22.53 n/a n/a 6.40 500.00	SIGMA CAPITAL ASSOCIATES 237 PVH B 25,000 40.32 5-May 28-Aug 1,250.00 5.0 CLOVIS CAPITAL 012 RL SS 100,000 75.18 n/a 28-Aug 5,000.00 5.0 KLEINHEINZ CAP PART 031 RL S 150,000 73.61 n/a 28-Aug 7,500.00 5.0 ZOLIDIS MAR SHOEQ C 2,000 0.10 n/a n/a 0.00 0.0 CATTANI 041 SLB S 250 89.72 n/a n/a 0.00 0.0 COUGAR TRADING 044 SSO B 5,000 59.92 n/a n/a 250.00 5.0 COUGAR TRADING 044 SSO S 5,000 57.55 n/a n/a 250.00 5.0 REINHART & MAHONEY 031 STJ S 25,736 45.37 n/a n/a 1,286.80 5.0 BUCK CAPITAL MGMT 001 TGT B 30,000 54.61 n/a 3-Sep 1,200.00 4.0 LBACCICIND 055 TIN S 2,000 17.47 29-Apr 30-Jul 0.00 0.0 GLOBAL 56 LLC 060 TJX B 3,000 34.41 11-Aug 29-Aug 120.00 4.0 VENTANA CAPITAL 660 TJX B 2,000 34.21 11-Aug 29-Aug 80.00 4.0 WHITE PINE CAPITAL 030 T B 4,600 32.24 n/a 4-Jan 230.00 5.0 REINHART & MAHONEY 031 VAR S 14,394 64.04 n/a n/a n/a 719.70 5.0 LAGRANGE CAP ADV 048 VIP B 10,000 22.53 n/a n/a 500.00 5.0

Account	RR # Symbol B/S Shares Price		MRI	Research	Comm.¢/Shr	Comm.¢/Shr.			
N STRATTON	014	WHDAE	S	2	7.25	n/a	n/a	0.00 0.0	
ROTHSCHILD ASSET	040	WLT	S	12,087	74.54	n/a	n/a	543.93 4.5	
COUGAR TRADING	044	WMT	В	5,000	60.75	8-Aug	4-Sep	250.00 5.0	
BUCK CAPITAL MGMT	001	WRC	S	40,000	49.98	8-Aug	28-Aug	1,600.00 4.0	
COUGAR TRADING	044	ZQK	В	20,000	8.41	27-Aug	27-Aug	800.00 4.0	
SCOPUS FUND	041	ZQK	В	72,409	7.21	27-Aug	27-Aug	3,620.45 5.0	

TOTAL (Trade Date): 118,497.14

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	e MRI	Research	Comm.	¢/Shr.
AO,	09/03	HIGHFIELDS CAP MGMT (HIGFBSPB)	039	AA	В	150,000	29.58	n/a	n/a	6000.00	4.0
AO,	09/03	NOMURA CAPITAL (NOMUSTRATE)	045	AKS	SS	1,500	45.30	n/a	n/a	75.00	5.0
AO,	09/03	NOMURA CAPITAL (NOMUBATT2)	045	AKS	SS	1,625	45.30	n/a	n/a	81.25	5.0
AO,	09/03	NOMURA CAPITAL (NOMUBSMAIN)	045	AKS	SS	1,875	45.30	n/a	n/a	93.75	5.0
AO,	09/03	REINHART & MAHONEY (REINDOEJON)	031	CAG	S	75	19.81	n/a	n/a	3.75	5.0
AO,	09/03	REINHART & MAHONEY (REINDOEJES)	031	CAG	S	150	19.81	n/a	n/a	7.50	5.0
AO,	09/03	REINHART & MAHONEY (REINDOEJEN)	031	CAG	S	75	19.81	n/a	n/a	3.75	5.0
AO,	09/03	REINHART & MAHONEY (REINDOER76)	031	CAG	S	275	19.81	n/a	n/a	13.75	5.0
AO,	09/03	REINHART & MAHONEY (REINDOER73)	031	CAG	S	75	19.81	n/a	n/a	3.75	5.0
AO,	09/03	TRELLUS PARTNERS LP (TRELMERR)	028	GPI	SS	1,720	22.64	10-Jun	30-Jul	86.00	5.0
AO,	09/03	TRELLUS PARTNERS LP (TRELGS)	028	GPI	SS	1,720	22.64	10-Jun	30-Jul	86.00	5.0
AO,	09/03	TRELLUS PARTNERS LP (TRELMAIN)	028	GPI	SS	26,560	22.64	10-Jun	30-Jul	1328.00	5.0
AO,	09/02	MILLENIUM PARTNERS (MILPOMNIST)	028	IP	В	5,000	27.52	1-Aug	1-Aug	250.00	5.0
AO,	09/03	TRELLUS PARTNERS LP (TRELMERR)	028	JCI	SS	670	30.90	3-Sep	3-Sep	33.50	5.0
AO,	09/03	TRELLUS PARTNERS LP (TRELGS)	028	JCI	SS	670	30.90	3-Sep	3-Sep	33.50	5.0
AO,	09/03	TRELLUS PARTNERS LP (TRELMAIN)	028	JCI	SS	8,660	30.90	3-Sep	3-Sep	433.00	5.0
AO,CXL	09/03	SCOPUS FUND (SCOPBANC O)	041	PKG	В	12,456	26.79	22-Jul	22-Jul	622.80	5.0
AO, CRT	09/03	SCOPUS FUND (SCOPBANC O)	041	PKG	В	11,764	26.79	22-Jul	22-Jul	588.20	5.0
AO,CXL	09/03	SCOPUS FUND (SCOPUBS)	041	PKG	В	56,744	26.79	22-Jul	22-Jul	2837.20	5.0
AO, CRT	09/03	SCOPUS FUND (SCOPUBS)	041	PKG	В	57,436	26.79	22-Jul	22-Jul	2871.80	5.0
AO,CXL	09/03	COUGAR TRADING (COUGPB MS)	044	PSUN	S	10,000	6.92	n/a	29-Aug	500.00	5.0
AO, CRT	09/03	COUGAR TRADING (COUGPB MS)	044	PSUN	S	10,000	6.92	n/a	29-Aug	400.00	4.0
AO,CXL	09/03	SCOPUS FUND (SCOPBANC O)	041	SSCC	В	4,968	5.58	30-Jul	30-Jul	248.40	5.0

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO, CRT	09/03	SCOPUS FUND (SCOPBANC O)	041	SSCC	В	4,692	5.58	30-Jul	30-Jul	234.60	5.0
AO,CXL	09/03	SCOPUS FUND (SCOPUBS)	041	SSCC	В	22,632	5.58	30-Jul	30-Jul	1131.60	5.0
AO, CRT	09/03	SCOPUS FUND (SCOPUBS)	041	SSCC	В	22,908	5.58	30-Jul	30-Jul	1145.40	5.0
AO,	08/26	GOLDMAN SACHS ASSET MGMT (GSAM2691)	044	UTX	S	1,270	64.81	n/a	n/a	50.80	4.0
AO,CXL	08/26	GOLDMAN SACHS ASSET MGMT (GSAM2691)	044	UTX	S	1,270	64.81	n/a	n/a	50.80	4.0
TOTAL (AO, CXL, CRT): 8,432.50											

TOTAL (RUN DATE) / NFS:	126,929.64
TOTAL (AO, CXL, CRT):	8,432.50
TOTAL (Trade Date):	118,497.14

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION