



# Daily Compliance Activity Report

Trade Date: 05/05/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FORWARD MGMT	033	AAPL	B	110	184.19	n/a	n/a	5.50	5.0
<b>J COHEN</b>	<b>N27</b>	<b>AAPL</b>	<b>S</b>	<b>10</b>	<b>184.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	237	ABT	S	50,000	52.88	n/a	22-Apr	2,500.00	5.0
FORWARD MGMT	033	ABX	S	450	38.81	n/a	n/a	22.50	5.0
FORWARD MGMT	033	ACL	S	150	159.43	29-Apr	30-Apr	7.50	5.0
FORWARD MGMT	033	AEO	B	300	18.46	6-Mar	2-May	15.00	5.0
MERRILL ASSET	032	AEO	S	12,900	18.37	6-Mar	2-May	580.50	4.5
JP MORGAN VENTURES	046	AG	B	50,000	55.73	n/a	n/a	2,500.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>AIDO</b>	<b>B</b>	<b>2,250</b>	<b>0.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROTHSCHILD ASSET	040	AMG	S	17,500	106.65	7-Apr	2-May	787.50	4.5
SUNOVA CAPITAL	031	AMG	S	10,000	104.99	7-Apr	2-May	500.00	5.0
COUGAR TRADING	044	ANF	SS	5,000	72.80	10-Apr	2-May	250.00	5.0
COUGAR TRADING	044	ANN	S	10,000	25.15	14-Mar	2-May	500.00	5.0
ROTHSCHILD ASSET	040	ANR	S	42,170	55.46	n/a	n/a	1,897.65	4.5
FORWARD MGMT	033	APA	S	200	133.45	20-Mar	1-May	10.00	5.0
FORWARD MGMT	033	APOL	B	350	51.28	n/a	n/a	17.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRELLUS PARTNERS LP	028	ARGN	B	20,000	11.82	n/a	1-May	1,000.00	5.0
WANGER FUNDS/GREENWICH	031	ARGN	B	11,900	11.70	n/a	1-May	595.00	5.0
<b>LEDDY</b>	<b>135</b>	<b>ARGN</b>	<b>B</b>	<b>2,000</b>	<b>12.75</b>	<b>n/a</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
<b>SUTERA</b>	<b>160</b>	<b>ASTI</b>	<b>B</b>	<b>1,000</b>	<b>14.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RAMIUS CAP	040	AVB	S	67,400	104.05	n/a	n/a	3,370.00	5.0
<b>BUCK</b>	<b>015</b>	<b>BACPRL</b>	<b>B</b>	<b>251,071.00</b>		<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BEAR STEARNS ASSET MGMT.	033	BBY	S	100,000	44.52	n/a	27-Jul	4,000.00	4.0
SUNOVA CAPITAL	031	BEN	S	10,000	100.60	24-Mar	2-May	500.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>BGS</b>	<b>S</b>	<b>2,000</b>	<b>9.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PERRY PARTNERS	041	BJ	B	31,000	38.60	n/a	27-Jul	1,550.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>BKC</b>	<b>S</b>	<b>400</b>	<b>28.33</b>	<b>1-May</b>	<b>2-May</b>	<b>0.00</b>	<b>0.0</b>
SUNOVA CAPITAL	031	BLK	S	30,000	215.95	n/a	2-May	1,500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	BMV	S	100,000	23.20	n/a	n/a	5,000.00	5.0
FORWARD MGMT	033	BWA	B	400	51.16	5-May	5-May	20.00	5.0
<b>MAGUIRE</b>	<b>039</b>	<b>BWA</b>	<b>S</b>	<b>200</b>	<b>51.17</b>	<b>5-May</b>	<b>5-May</b>	<b>0.00</b>	<b>0.0</b>
<b>MILLER</b>	<b>025</b>	<b>BX</b>	<b>B</b>	<b>100</b>	<b>19.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MILLER</b>	<b>025</b>	<b>BX</b>	<b>S</b>	<b>50</b>	<b>19.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CATAPULT	040	CNI	S	24,000	53.98	n/a	n/a	1,200.00	5.0
DE SHAW	040	CSCO	B	100,000	26.28	n/a	22-Mar	4,000.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>DAI</b>	<b>C</b>	<b>100</b>	<b>78.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GREENLIGHT CAPITAL	041	DFS	S	14,400	19.04	n/a	n/a	720.00	5.0
DIAMONDBACK CAP MGMT	232	DIS	C	100,000	33.33	n/a	n/a	5,000.00	5.0
MERRILL ASSET	032	DRI	S	10,100	36.73	n/a	15-Apr	454.50	4.5
FORWARD MGMT	033	ECA	S	175	80.92	n/a	n/a	8.75	5.0
BLUE HARBOR	036	EFX	S	61,200	38.78	n/a	n/a	3,060.00	5.0
BRIGADE CAPITAL MGMT	029	EL	SS	135,000	45.00	4-Jan	1-Apr	4,050.00	3.0
<b>OGORMAN</b>	<b>046</b>	<b>ENDP</b>	<b>S</b>	<b>400</b>	<b>24.01</b>	<b>n/a</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
Õ <b>Manny Korman</b>		<b>ETJ</b>	<b>B</b>	<b>1000</b>	<b>18.8500</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>FELE</b>	<b>S</b>	<b>200</b>	<b>39.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AVESTA CAP	229	FNM	S	25,000	28.60	n/a	n/a	1,250.00	5.0
FORWARD MGMT	033	FRP	S	8	9.21	n/a	n/a	0.40	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>50,000</b>	<b>38.65</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>PRYLUCKI</b>	<b>092</b>	<b>GIL</b>	<b>B</b>	<b>125</b>	<b>25.99</b>	<b>30-Apr</b>	<b>30-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>MILLER</b>	<b>025</b>	<b>GLD</b>	<b>SS</b>	<b>25</b>	<b>85.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FORWARD MGMT	033	GLW	B	700	27.06	n/a	29-Apr	35.00	5.0
WEINTRAUB CAPITAL MGT.	040	GM	SS	50,000	22.40	29-Apr	2-May	2,000.00	4.0
WOOSTER CAPITAL	035	GM	B	200,000	22.37	29-Apr	2-May	10,000.00	5.0
COUGAR TRADING	044	GPS	SS	10,000	18.46	n/a	2-May	500.00	5.0
<b>N STRATTON</b>	<b>014</b>	<b>HEMGF</b>	<b>B</b>	<b>300</b>	<b>4.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CENTURION PARTNERS	255	HPQ	S	25,000	48.28	n/a	n/a	1,250.00	5.0
FORWARD MGMT	033	IBM	B	120	122.55	n/a	n/a	6.00	5.0
<b>LEDDY</b>	<b>135</b>	<b>ICON</b>	<b>B</b>	<b>1,200</b>	<b>14.55</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FORWARD MGMT	033	IGT	S	450	34.74	n/a	18-Apr	22.50	5.0
SIGMA CAPITAL ASSOCIATES	237	IMA	B	25,000	36.56	n/a	n/a	1,250.00	5.0
PHILADELPHIA FINANCIAL	033	IVZ	S	27,114	27.69	10-Apr	2-May	1,355.70	5.0
<b>KORMAN</b>	<b>003</b>	<b>JASO</b>	<b>B</b>	<b>3,000</b>	<b>21.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FORWARD MGMT	033	JCI	B	225	34.78	23-Jan	16-Apr	11.25	5.0
SUNOVA CAPITAL	031	JNS	S	25,000	29.60	25-Apr	2-May	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	JWN	SS	75,000	35.38	31-Mar	2-May	3,000.00	4.0
CATAPULT	040	KBR	B	26,116	31.70	n/a	n/a	1,305.80	5.0
COUGAR TRADING	044	KSS	B	25,000	49.37	4-Jan	2-May	1,250.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	49.58	4-Jan	2-May	250.00	5.0
CENTURION PARTNERS	255	LCC	B	100,000	8.56	n/a	n/a	5,000.00	5.0
<b>BUCK</b>	<b>015</b>	<b>LEH</b>	<b>C</b>	<b>1,000</b>	<b>46.29</b>	<b>1-Apr</b>	<b>14-Apr</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	LM	B	1,850	63.60	24-Mar	2-May	74.00	4.0
WILLIAMS TRAD/MANHASSET	041	LM	B	10,000	62.66	24-Mar	2-May	350.00	3.5
1798 CAPITAL MGMT	040	LTD	S	10,000	17.76	n/a	2-May	500.00	5.0
COUGAR TRADING	044	LTD	SS	10,000	17.95	n/a	2-May	500.00	5.0
DISIPLINED GROWTH INV.	030	LVLTT	B	8,300	3.09	n/a	4-Jan	249.00	3.0
FORWARD MGMT	033	MBT	S	200	74.29	n/a	n/a	10.00	5.0
<b>LBACCICIND</b>	<b>005</b>	<b>MEE</b>	<b>S</b>	<b>1,000</b>	<b>56.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RAINIER INV MGMT	035	ME	S	32,500	27.46	n/a	n/a	1,625.00	5.0
FORWARD MGMT	033	MNST	B	750	25.82	n/a	n/a	37.50	5.0
FORWARD MGMT	033	MRK	S	350	39.15	n/a	n/a	17.50	5.0
SIGMA CAPITAL ASSOCIATES	237	MRK	S	15,000	39.16	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PERRY PARTNERS	041	M	S	50,000	25.57	7-Feb	2-May	2,500.00	5.0
BULL PATH CAPITAL	044	OI	B	42,500	53.83	n/a	n/a	2,125.00	5.0
<b>PRYLUCKI</b>	<b>092</b>	<b>ORA</b>	<b>B</b>	<b>60</b>	<b>50.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRIVIUM CAP MGMT	251	ORCL	SS	50,000	21.56	n/a	n/a	2,000.00	4.0
<b>LBACCICIND</b>	<b>005</b>	<b>PCX</b>	<b>S</b>	<b>200</b>	<b>71.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MCCARTAN</b>	<b>028</b>	<b>PDRT</b>	<b>S</b>	<b>1,000</b>	<b>2.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	PFCB	SS	25,000	31.42	n/a	24-Apr	1,250.00	5.0
PECONIC PARTNERS	036	PLCE	B	50,000	26.50	2-May	2-May	2,500.00	5.0
<b>Õ Manny Korman</b>		<b>PMF</b>	<b>S</b>	<b>2000</b>	<b>16.4500</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FORWARD MGMT	033	POT	S	200	191.32	n/a	n/a	10.00	5.0
NEUBERGER BERMAN	036	PSA	S	35,400	93.08	n/a	n/a	1,593.00	4.5
COUGAR TRADING	044	PSUN	B	10,000	12.72	n/a	2-May	500.00	5.0
MERRILL ASSET	032	PVH	S	6,800	43.41	5-May	5-May	306.00	4.5
<b>MCCARTAN</b>	<b>028</b>	<b>PZN</b>	<b>S</b>	<b>200</b>	<b>12.92</b>	<b>24-Mar</b>	<b>2-May</b>	<b>0.00</b>	<b>0.0</b>
FORWARD MGMT	033	QCOM	B	450	43.70	n/a	n/a	22.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JETSTREAM GLOBAL FUND LP	065	QCOM	B	307,400	43.84	n/a	n/a	15,370.00	5.0
FORWARD MGMT	033	SAP	S	350	50.30	n/a	n/a	17.50	5.0
FORWARD MGMT	033	SBUX	B	500	16.30	n/a	24-Apr	25.00	5.0
<b>BUCK</b>	<b>015</b>	<b>SFBQC</b>	<b>B</b>	<b>7</b>	<b>0.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>SFBSB</b>	<b>S</b>	<b>7</b>	<b>2.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FORWARD MGMT	033	SHPGY	B	350	53.37	22-Feb	30-Apr	17.50	5.0
CRAMER ROSENTHAL/SCOTT	012	SKS	C	25,500	13.27	n/a	n/a	1,020.00	4.0
BLUE HARBOR	036	SONC	B	47,586	22.25	n/a	n/a	2,379.30	5.0
IRIDIAN ASSET MGMT.	036	SSCC	S	114,160	5.62	7-Apr	23-Apr	5,708.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>SWN</b>	<b>C</b>	<b>400</b>	<b>42.25</b>	<b>20-Mar</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Manny Korman</b>		<b>TEOS</b>	<b>B</b>	<b>1000</b>	<b>17.9200</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	237	TEVA	B	100,000	47.07	10-Jan	1-May	5,000.00	5.0
IRONBOUND CAPITAL	012	TIN	B	99,422	11.99	29-Apr	29-Apr	2,982.66	3.0
NWQ INVESTMENT MGMT CO	048	TIN	B	180,200	11.90	29-Apr	29-Apr	7,208.00	4.0
LEVIN CAPITAL STRATEGIES	012	TJX	C	20,000	31.50	n/a	2-May	800.00	4.0
IRIDIAN ASSET MGMT.	036	TK	B	10,190	45.47	n/a	n/a	509.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEDDY	135	TLB	B	1,100	7.77	17-Apr	2-May	0.00	0.0
GAULT	063	TMA	S	7,000	1.10	n/a	n/a	0.00	0.0
RAMIUS CAP	040	TROW	C	33,000	61.62	9-Jan	2-May	1,650.00	5.0
FORWARD MGMT	033	TSO	S	450	25.28	n/a	n/a	22.50	5.0
COUGAR TRADING	044	TWB	S	20,000	18.59	17-Mar	2-May	1,000.00	5.0
GAULT	063	UNT	SS	1,000	65.09	20-Mar	1-May	0.00	0.0
OGORMAN	046	UPL	C	200	85.80	n/a	1-May	0.00	0.0
PECONIC PARTNERS	036	URBN	B	50,000	33.17	3-Apr	5-May	2,500.00	5.0
ELM RIDGE ADVISORS	044	USG	S	30,300	37.36	23-Apr	23-Apr	1,212.00	4.0
MILLER	025	UUP	B	50	22.81	n/a	n/a	0.00	0.0
OGORMAN	046	VC	S	100	4.38	n/a	1-May	0.00	0.0
FORWARD MGMT	033	VLO	S	300	48.89	n/a	n/a	15.00	5.0
PERRY PARTNERS	041	VR	B	7,000	21.69	n/a	n/a	350.00	5.0
SUNOVA CAPITAL	031	WDR	S	25,000	35.58	22-Apr	2-May	1,250.00	5.0
FORWARD MGMT	033	WFR	S	300	63.09	n/a	n/a	15.00	5.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FORWARD MGMT	033	WLP	B	400	52.02	n/a	n/a	20.00	5.0
SIGMA CAPITAL ASSOCIATES	237	WWW	B	2,100	29.35	n/a	13-Mar	105.00	5.0
FORWARD MGMT	033	WYE	B	430	45.48	n/a	31-Mar	21.50	5.0
KAICHER	064	XCO	B	2,000	23.20	n/a	n/a	0.00	0.0
OGORMAN	046	XTO	C	200	63.66	n/a	n/a	0.00	0.0
Õ Jason Cohen		YHOO	B	4	25.0000	n/a	n/a	0.00	0.0
Õ Jason Cohen		YHOO	B	4	25.0000	n/a	n/a	0.00	0.0
BUCK	015	YHQEF	B	20	0.12	n/a	n/a	0.00	0.0
BUCK	015	YHQJF	S	20	1.21	n/a	n/a	0.00	0.0
KORMAN	003	ZOLT	B	5,000	22.50	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							143,476.51		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/02	IMPALA ASSET MMT (IMPACITI)	012	CTX	B	250,000	22.29	n/a	n/a	7500.00 3.0
AO,CXL	05/02	IVORY CAPITAL (IVORCITI)	034	CTX	B	250,000	22.29	n/a	n/a	7500.00 3.0
AO,CXL	05/02	SUN AMERICA ASSET MGMT (SUNAJUMF)	030	DSW	S	4,800	15.22	28-Mar	2-May	192.00 4.0
AO,CXL	05/02	SUN AMERICA ASSET MGMT (SUNAJUNF)	030	DSW	S	8,000	15.22	28-Mar	2-May	320.00 4.0
AO,CRT	05/02	SUN AMERICA ASSET MGMT (SUNAJUNH)	030	DSW	S	8,000	15.22	28-Mar	2-May	320.00 4.0
AO,CRT	05/02	SUN AMERICA ASSET MGMT (SUNAJUMH)	030	DSW	S	4,800	15.22	28-Mar	2-May	192.00 4.0
AO,CRT	05/02	AMBER CAPITAL (AMBRGS)	046	GM	B	200,000	22.94	29-Apr	2-May	8000.00 4.0
AO,CXL	05/02	AMBER CAPITAL (AMBRLEH)	046	GM	B	200,000	22.94	29-Apr	2-May	8000.00 4.0
AO,	05/02	IMPALA ASSET MMT (IMPAGOLDMA)	012	GT	B	100,000	27.16	n/a	n/a	3000.00 3.0
AO,CXL	05/02	IVORY CAPITAL (IVORGSPB)	034	GT	B	100,000	27.10	n/a	n/a	3000.00 3.0
AO,	05/02	NICOLAS APPLGATE (APPL861)	048	JNY	B	700	17.20	n/a	1-May	28.00 4.0
AO,	05/02	NICOLAS APPLGATE (APPL66)	048	JNY	B	3,400	17.20	n/a	1-May	136.00 4.0
AO,	05/02	NICOLAS APPLGATE (APPL953)	048	JNY	B	2,300	17.20	n/a	1-May	92.00 4.0
AO,	05/02	NICOLAS APPLGATE (APPL470)	048	JNY	B	1,200	17.20	n/a	1-May	36.00 3.0
AO,	05/02	NICOLAS APPLGATE (APPL19)	048	JNY	B	10,600	17.20	n/a	1-May	424.00 4.0
AO,	05/02	NICOLAS APPLGATE (APPL72)	048	JNY	B	5,500	17.20	n/a	1-May	220.00 4.0
AO,	05/02	NICOLAS APPLGATE (APPL830)	048	JNY	B	1,000	17.20	n/a	1-May	40.00 4.0
AO,	05/02	NICOLAS APPLGATE (APPL8)	048	JNY	B	2,600	17.20	n/a	1-May	104.00 4.0
AO,	05/02	REINHART & MAHONEY (REINCS64SC)	031	LGTY	S	711	7.54	n/a	n/a	35.55 5.0
AO,	05/02	REINHART & MAHONEY (REINSETTSL)	031	LGTY	S	173	7.54	n/a	n/a	8.65 5.0
AO,	05/02	REINHART & MAHONEY (REINNGLSM)	031	LGTY	S	579	7.54	n/a	n/a	28.95 5.0
AO,	05/02	REINHART & MAHONEY (REINWAYNES)	031	LGTY	S	945	7.54	n/a	n/a	47.25 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/02	REINHART & MAHONEY (REINHENGJT)	031	LGTY	S	315	7.54	n/a	n/a	15.75 5.0
AO,	05/02	REINHART & MAHONEY (REINDBSE)	031	LGTY	S	1,625	7.54	n/a	n/a	81.25 5.0
AO,	05/02	IMPALA ASSET MMT (IMPACITI)	012	PCL	S	75,000	42.06	29-Apr	29-Apr	2250.00 3.0
AO,CXL	05/02	IVORY CAPITAL (IVORCITI)	034	PCL	S	75,000	42.06	29-Apr	29-Apr	2250.00 3.0
AO,	05/02	GENERAL ELECTIC CO (GEICZQ10)	033	TGT	B	3,006	54.39	n/a	27-Jul	120.24 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICGEI3)	033	TGT	B	5,868	54.39	n/a	27-Jul	234.72 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZQ90)	033	TGT	B	602	54.39	n/a	27-Jul	24.08 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICGEM1)	033	TGT	B	602	54.39	n/a	27-Jul	24.08 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZW3Q)	033	TGT	B	2,907	54.39	n/a	27-Jul	116.28 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZW4Q)	033	TGT	B	13,838	54.39	n/a	27-Jul	553.52 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZW7Q)	033	TGT	B	832	54.39	n/a	27-Jul	33.28 4.0
AO,	05/02	GENERAL ELECTIC CO (GEIC8XA9)	033	TGT	B	1,424	54.39	n/a	27-Jul	56.96 4.0
AO,	05/02	GENERAL ELECTIC CO (GEIC8XA5)	033	TGT	B	112	54.39	n/a	27-Jul	4.48 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZW80)	033	TGT	B	517	54.39	n/a	27-Jul	20.68 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICGH16)	033	TGT	B	2,709	54.39	n/a	27-Jul	108.36 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICGE29)	033	TGT	B	45,146	54.39	n/a	27-Jul	1805.84 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZW35)	033	TGT	B	4,412	54.39	n/a	27-Jul	176.48 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZW60)	033	TGT	B	3,150	54.39	n/a	27-Jul	126.00 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZQ50)	033	TGT	B	33,712	54.39	n/a	27-Jul	1348.48 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZQ7D)	033	TGT	B	1,013	54.39	n/a	27-Jul	40.52 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZQ92)	033	TGT	B	1,088	54.39	n/a	27-Jul	43.52 4.0
AO,	05/02	GENERAL ELECTIC CO (GEICZW59)	033	TGT	B	641	54.39	n/a	27-Jul	25.64 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>6,160.56</b>

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TOTAL (Trade Date):	143,476.51
TOTAL (AO, CXL, CRT):	6,160.56

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>149,637.07</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION