



Daily Compliance Activity Report

Trade Date: 05/17/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	AAI	B	900	12.08	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	AEO	B	50,000	28.46	4-Jan	11-May	2,000.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	79.83	n/a	17-May	800.00	4.0
THE JAY GOLDMAN	034	ANF	B	10,000	79.77	n/a	17-May	400.00	4.0
⌘ BUCK CAPITAL MGMT		AVCI	C	5,000	8.53	n/a	n/a	non-brg	1.0
DENVER INST. ADV	048	AVZ	B	35,000	24.40	26-Apr	17-May	1,400.00	4.0
BRIGHTPOINT CAP	067	BIG	SS	10,000	32.52	9-Mar	11-May	500.00	5.0
THE JAY GOLDMAN	034	BJ	B	10,000	34.87	10-Apr	10-May	400.00	4.0
SAXON WOODS ADVISORS	038	BOL	S	13,000	66.62	n/a	n/a	650.00	5.0
⌘ BUCK CAPITAL MGMT		BONT	B	10,000	47.71	9-Mar	11-May	non-brg	5.0
WHITE PINE CAPITAL	030	BONT	S	200	49.56	9-Mar	11-May	10.00	5.0
⌘ BUCK CAPITAL MGMT		BSC	B	10,000	148.36	15-Dec	11-May	non-brg	5.0
PRIME LOGIC ADV	039	CENT	B	6,600	14.30	8-Feb	8-May	330.00	5.0
MASON CAPITAL	028	CFC	B	479,439	41.46	n/a	n/a	23,971.95	5.0
⌘ BUCK CAPITAL MGMT		CTRN	B	10,000	36.11	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		CWTR	B	50,000	19.56	n/a	n/a	non-brg	5.0
BARR	096	DAL	B	800	19.05	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		DBRN	B	30,000	20.98	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		DBRN	B	90,000	21.17	n/a	n/a	non-brg	5.0
MERRILL LYNCH STRATEGICI	034	DBRN	S	55,000	21.10	n/a	n/a	0.00	0.0
SUTERA	160	DNDN	S	3,000	6.18	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		DSW	B	10,000	36.11	7-May	11-May	non-brg	2.0
BUCK CAPITAL MGMT		DSW	B	60,000	36.00	7-May	11-May	non-brg	5.0
SYSTEMATIC FINANCIAL MGT	035	DSW	S	66,325	35.99	7-May	11-May	2,487.19	3.8
WEISS PECK	040	DSW	SS	3,600	35.94	7-May	11-May	108.00	3.0
CROWLEY	061	EOS	B	1,500	20.79	n/a	n/a	0.00	0.0
DAVIDSON TRUST CO	033	FDO	S	1,120	33.06	19-Apr	11-May	100.80	9.0
COUGAR TRADING	044	FL	B	10,000	21.72	n/a	11-May	500.00	5.0
BUCK CAPITAL MGMT		GCO	SS	40,000	49.12	n/a	10-Jan	non-brg	5.0
SYSTEMATIC FINANCIAL MGT	035	GCO	S	40,000	49.02	n/a	10-Jan	1,500.00	3.8
BUCK CAPITAL MGMT		GIII	B	2,100	18.25	n/a	n/a	non-brg	5.0
SAC TRADING HOWARD	038	GT	S	50,000	34.36	n/a	n/a	2,500.00	5.0
POLYGON INVESTMENT	034	HK	S	2,400	15.50	n/a	n/a	120.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ß BUCK CAPITAL MGMT		HLYS	B	10,000	30.55	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		INTC	B	25,000	22.31	n/a	n/a	non-brg	5.0
Õ Philip Franz		ITCD	B	2000	5.85	n/a	n/a	0.00	0.0
WYCKOFF	013	ITCD	B	500	5.80	n/a	n/a	0.00	0.0
NOLAN	067	ITCD	B	75	5.90	n/a	n/a	0.00	0.0
MAGUIRE	090	ITCD	B	5,000	5.80	n/a	n/a	0.00	0.0
KANTROWIT	N21	ITCD	B	250	5.85	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		JCP	B	100,000	79.01	20-Apr	17-May	non-brg	5.0
ß BUCK CAPITAL MGMT		JCP	B	60,000	80.27	20-Apr	17-May	non-brg	5.0
ß BUCK CAPITAL MGMT		KSS	B	125,000	73.71	n/a	16-May	non-brg	5.0
ß BUCK CAPITAL MGMT		KSS	B	25,000	73.56	n/a	16-May	non-brg	2.0
ROARING BLUE LION MGMT	012	KSS	B	6,640	74.01	n/a	16-May	332.00	5.0
Õ Philip Franz		LEH	S	75	73.39	n/a	11-May	0.00	0.0
GRUBER MACBAINE	033	LM	B	8,000	98.41	9-May	9-May	163.94	3.2
STERLING JOHNSTON	035	MCCC	B	100,000	8.94	28-Feb	9-May	4,000.00	4.0
Õ Glenn Redemann		MNST	B	7	0.15	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MNST	B	7	0.40	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MNST	SS	7	0.90	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MNST	SS	7	1.15	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MNT	S	2	4.10	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROTHSCHILD ASSET	040	MNT	S	1,220	41.22	n/a	n/a	54.90	4.5
⌘ BUCK CAPITAL MGMT		MVRX	C	15,000	198.27	n/a	n/a	non-brg	19.8
⌘ BUCK CAPITAL MGMT		MVRX	C	72,400	198.42	n/a	n/a	non-brg	19.8
Õ Glenn Redemann		NRTX	B	4	0.45	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		OEF	C	119,600	69.74	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	44.51	n/a	n/a	400.00	4.0
MERRILL LYNCH STRATEGICI	034	PLCE	B	10,000	51.27	12-Apr	11-May	500.00	5.0
⌘ BUCK CAPITAL MGMT		PSS	B	30,000	31.49	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		RTH	C	138,600	103.40	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		RTH	C	23,000	103.30	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	RVI	B	70,000	18.22	n/a	n/a	2,800.00	4.0
WEISS PECK	040	RVI	B	10,000	18.15	n/a	n/a	300.00	3.0
⌘ BUCK CAPITAL MGMT		S4RETL	C	155,200	454.31	n/a	n/a	non-brg	45.4
DENVER INST. ADV	046	SCRX	B	75,000	23.97	27-Apr	17-May	3,000.00	4.0
BUCK CAPITAL MGMT	001	SKX	B	50,000	30.06	n/a	13-Mar	2,000.00	4.0
COUGAR TRADING	044	SKX	B	5,000	30.49	n/a	13-Mar	250.00	5.0
⌘ BUCK CAPITAL MGMT		SPY	C	32,500	151.36	n/a	n/a	non-brg	2.0
BARR	096	SWIM	SS	2,500	12.07	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CROWLEY	061	TACT	B	1,000	6.30	n/a	n/a	0.00	0.0
KANTROWIT	N21	TFSM	S	100	11.74	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TGT	B	20,000	57.83	n/a	10-May	800.00	4.0
MISSOURI VALLEY PART	046	TJX	B	42,025	27.44	21-Mar	16-May	2,101.25	5.0
ß BUCK CAPITAL MGMT		TTGT	S	10,000	14.83	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	TWB	S	40,000	37.57	22-Feb	17-May	1,600.00	4.0
ROTHSCHILD ASSET	040	TWB	S	3,490	37.55	22-Feb	17-May	157.05	4.5
FRIESS MGMT	042	URBN	B	65,400	24.33	2-Apr	11-May	2,943.00	4.5
MKT MAKER	099	USTR	B	400	66.00	16-Feb	7-May	0.00	0.0
MKT MAKER	099	USTR	SS	500	66.75	16-Feb	7-May	0.00	0.0
TRELLUS PARTNERS LP	028	VC	B	50,000	8.00	n/a	n/a	2,500.00	5.0
ACT II PARTNERS	067	VG	B	100,000	3.07	9-Mar	10-May	4,000.00	4.0
HIGHBRIDGE CAPITAL	038	VMC	SS	8,000	114.75	n/a	n/a	400.00	5.0
Õ Glenn Redemann		VRTX	B	4	0.65	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	WRNC	S	7,000	32.78	9-May	9-May	420.00	6.0
FRIESS MGMT	042	WRNC	S	171,300	32.52	9-May	9-May	7,708.50	4.5
RAMIUS CAP	040	WY	B	16,000	79.77	7-May	7-May	800.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	WY	B	500	79.79	7-May	7-May	0.00	0.0
MKT MAKER	099	WY	S	500	79.81	7-May	7-May	0.00	0.0
KERN	050	XOHO	S	2,000	4.86	n/a	n/a	0.00	0.0
KAICHER	064	ZHNE	B	700	1.26	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	ZQK	S	517,400	12.50	9-Mar	17-Apr	25,870.00	5.0
TOTAL (Trade Date):							100,878.58		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	05/16	GALLEON MGMT LP	033	BSX	B	300,000	15.88	n/a	22-Apr	9000.00 3.0
AO,CRT	05/16	GALLEON MGMT LP	033	BSX	B	300,000	15.88	n/a	22-Apr	15000.00 5.0
AO,CRT	05/16	SIGMA CAPITAL ASSOCIATES	044	DBRN	S	200,000	20.40	n/a	n/a	10000.00 5.0
AO,CXL	05/16	SIGMA CAPITAL ASSOCIATES	044	DBRN	S	200,000	20.40	n/a	n/a	10000.00 5.0
AO,CRT	05/16	BROOKSIDE CAPITAL PART	067	DELL	SS	118,800	25.34	n/a	n/a	4752.00 4.0
AO,CXL	05/16	BROOKSIDE CAPITAL PART	067	DELL	SS	118,800	25.34	n/a	n/a	4752.00 4.0
AO,CRT	05/11	TOCQUEVILLE ASSET MGMT	048	DEPO	B	11,100	3.58	n/a	n/a	333.00 3.0
AO,CXL	05/11	TOCQUEVILLE ASSET MGMT	048	DEPO	B	11,100	3.58	n/a	n/a	555.00 5.0
AO,	05/16	WEISS PECK	040	HRS	B	18,000	49.02	2-May	2-May	900.00 5.0
AO,CRT	05/16	WEISS PECK	040	HRS	B	7,000	49.02	2-May	2-May	350.00 5.0
AO,CXL	05/16	WEISS PECK	040	HRS	B	25,000	49.02	2-May	2-May	1250.00 5.0
AO,	05/16	PRINCIPLE CAPITAL	040	LTD	B	94,600	25.65	16-May	16-May	2838.00 3.0
AO,	05/16	COHE & STEERS	091	PCL	S	150,000	40.04	n/a	24-Apr	7500.00 5.0
AO,CRT	05/16	WAGONHOUND	048	PVH	S	2,900	53.73	28-Mar	28-Mar	116.00 4.0
AO,CXL	05/16	WAGONHOUND	048	PVH	S	2,900	53.73	28-Mar	28-Mar	1.16 0.0
AO,CXL	05/15	SAB CAPITAL	034	TWB	B	45,000	38.12	22-Feb	17-May	2250.00 5.0
AO,CRT	05/15	SAB CAPITAL	034	TWB	B	45,000	38.12	22-Feb	17-May	2250.00 5.0
AO,CRT	05/16	SAB CAPITAL	034	TWB	B	17,500	37.99	22-Feb	17-May	875.00 5.0
AO,CXL	05/16	SAB CAPITAL	034	TWB	B	17,500	37.99	22-Feb	17-May	875.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO, CRT	05/15	MKT MAKER	099	USTR	SS	300	65.25	16-Feb 7-May	0.00 0.0
TOTAL (AO, CXL, CRT):									16,230.84

TOTAL (Trade Date):	100,878.58
TOTAL (AO, CXL, CRT):	16,230.84

TOTAL (RUN DATE) / NFS:	117,109.42
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MRI Securities with Trade Activity on 17-May.
NONE

MRI Securities without Trade Activity on 17-May.
FD

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 8%
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION