



Daily Compliance Activity Report

Trade Date: 02/28/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRIVIUM CAP MGMT	251	ACOR	S	5,900	21.34	n/a	n/a	236.00	4.0
ROYCE TRADING	216	AEL	S	2,500	9.89	n/a	n/a	125.00	5.0
BUCK CAPITAL MGMT	001	AEO	S	40,000	22.91	5-Feb	8-Feb	1,600.00	4.0
MS PRINCIPAL STRATEGIES	041	AF	B	18,546	27.00	n/a	n/a	927.30	5.0
SUNOVA CAPITAL	031	AMG	SS	20,000	98.14	9-Jan	28-Feb	1,000.00	5.0
BUCK CAPITAL MGMT	001	ANF	S	30,000	80.56	10-Jan	15-Feb	1,200.00	4.0
COUGAR TRADING	044	ANF	SS	5,000	80.32	10-Jan	15-Feb	250.00	5.0
BEAR STEARNS ASSET MGMT.	033	ANN	SS	20,000	25.61	11-Jan	8-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	40,000	28.15	29-Nov	8-Feb	1,600.00	4.0
PILOT ROCK	028	AXP	SS	50,000	45.13	n/a	n/a	2,000.00	4.0
BALYASNY ASSET MGMT	044	BEN	B	15,000	100.61	25-Sep	21-Feb	750.00	5.0
WEINTRAUB CAPITAL MGT.	040	BIG	SS	50,000	17.87	7-Jan	11-Feb	2,500.00	5.0
AMBER CAPITAL	046	BKUNA	SS	200,000	4.97	n/a	n/a	8,000.00	4.0
ROYCE TRADING	216	BNE	B	5,000	13.44	n/a	n/a	250.00	5.0
CR INTRINIC INVESTMENT	044	BRL	B	32,000	47.48	28-Feb	28-Feb	1,600.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALLEON MGMT LP	033	BUD	B	10,000	48.18	n/a	n/a	500.00	5.0
ROYCE TRADING	216	BWS	B	5,000	15.45	n/a	n/a	250.00	5.0
ROYCE TRADING	216	CIR	S	5,000	47.30	n/a	n/a	250.00	5.0
ROYCE TRADING	216	CSK	B	10,000	3.77	n/a	n/a	300.00	3.0
ROYCE TRADING	216	CTB	S	25,000	20.35	n/a	n/a	1,250.00	5.0
ROYCE TRADING	216	CY	B	10,000	22.40	n/a	n/a	500.00	5.0
MATRIX ASSET ADV.	036	C	S	52,000	25.13	16-Jan	21-Feb	2,080.00	4.0
BUCK CAPITAL MGMT	001	DAI	SS	10,000	86.10	n/a	n/a	400.00	4.0
ROYCE TRADING	216	DCO	S	10,000	28.17	n/a	n/a	500.00	5.0
ROYCE TRADING	216	DDS	B	10,000	15.39	n/a	n/a	500.00	5.0
MERRILL ASSET	032	DSL	B	18,100	28.94	n/a	n/a	814.50	4.5
CLOVIS CAPITAL	012	DTV	S	500,000	26.11	15-Nov	4-Jan	25,000.00	5.0
DSG CAPITAL	225	ENDP	B	20,000	27.04	17-Dec	26-Feb	1,000.00	5.0
GAULT	063	EOG	C	500	124.00	n/a	n/a	0.00	0.0
GAULT	063	EOG	SS	500	127.62	n/a	n/a	0.00	0.0
ROYCE TRADING	216	EPAY	S	2,500	13.43	n/a	n/a	125.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLONIAL FUND LLC	036	ETN	B	10,000	84.10	22-Jan	5-Feb	500.00	5.0
S.A.C. CAPITAL MGMT.	044	ETN	B	25,000	83.67	22-Jan	5-Feb	1,250.00	5.0
ROYCE TRADING	216	FOH	B	400	3.20	n/a	n/a	12.00	3.0
GRUSS CAPITAL	045	FOR	B	5,000	24.51	n/a	n/a	200.00	4.0
JPMSI/TOM LEDDY	035	FOSL	B	10,000	33.09	13-Nov	20-Feb	500.00	5.0
COLE	N24	FRE	S	100	25.74	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	S	70,000	42.45	n/a	n/a	2,800.00	4.0
PROSPECTOR PARTNERS LLC	036	GFI	B	20,000	14.31	n/a	n/a	800.00	4.0
COUGAR TRADING	044	GPS	S	5,000	19.47	n/a	8-Feb	250.00	5.0
ROYCE TRADING	216	GRB	S	2,831	8.98	n/a	n/a	141.55	5.0
COUGAR TRADING	044	GYMB	B	10,000	41.01	n/a	8-Feb	500.00	5.0
HEALY CIRCLE	044	HEW	B	10,000	39.96	n/a	n/a	500.00	5.0
BRIC	029	IAR	B	100,000	5.78	n/a	n/a	3,000.00	3.0
XYLEM GLOBAL	091	IP	SS	42,500	32.55	8-Feb	8-Feb	2,125.00	5.0
WILLIAMS TRAD/MANHASSET	041	IVZ	B	62,000	27.00	20-Dec	21-Feb	2,170.00	3.5
HOOVER INV. MGMT	033	JBX	B	14,500	27.46	n/a	24-Apr	725.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRIGHTPOINT CAP	067	JCG	C	50,000	40.91	28-Nov	21-Feb	2,000.00	4.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	40.20	28-Nov	21-Feb	400.00	4.0
COUGAR TRADING	044	JCG	SS	5,000	41.36	28-Nov	21-Feb	250.00	5.0
ROYCE TRADING	216	JNY	B	10,000	14.55	n/a	15-Feb	500.00	5.0
COUGAR TRADING	044	KSS	B	10,000	45.20	4-Jan	21-Feb	500.00	5.0
NATIONAL BK OF CAN	031	LEH	B	25,000	55.23	n/a	27-Feb	1,250.00	5.0
DSG CAPITAL	225	LIZ	B	5,000	18.64	n/a	15-Feb	250.00	5.0
ROYCE TRADING	216	LPX	B	35,000	11.30	30-Oct	6-Feb	1,750.00	5.0
LAGRANGE CAP ADV	048	LTD	B	10,000	16.03	n/a	8-Feb	500.00	5.0
SAXON WOODS ADVISORS	038	LTD	S	100,000	16.06	n/a	8-Feb	5,000.00	5.0
BUCK CAPITAL MGMT	001	LTM	B	10,000	30.14	n/a	n/a	400.00	4.0
KARSCH CAPITAL	034	MER	SS	40,000	52.10	18-Jan	21-Feb	1,200.00	3.0
PORTER-ORLIN-FELDMAN	044	MER	B	50,000	52.61	18-Jan	21-Feb	2,500.00	5.0
LED DY	035	MON	C	150	118.67	n/a	12-Feb	0.00	0.0
DIAMONDBACK CAP MGMT	232	MO	B	50,000	73.73	n/a	n/a	2,500.00	5.0
ROYCE TRADING	216	MTZ	B	25,000	8.63	n/a	n/a	1,250.00	5.0
PRINCIPLE CAPITAL	040	M	S	100,000	25.72	7-Feb	27-Feb	3,000.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LAGRANGE CAP ADV	048	NIHD	B	10,000	39.80	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	NTRS	S	25,000	69.81	n/a	21-Feb	1,250.00	5.0
ROYCE TRADING	216	NWK	B	25,000	5.94	n/a	n/a	1,250.00	5.0
DIAMONDBACK CAP MGMT	232	NYB	SS	25,000	17.03	n/a	n/a	1,250.00	5.0
ROYCE TRADING	216	PAG	B	10,000	18.85	6-Feb	20-Feb	500.00	5.0
GALLEON MGMT LP	033	PBW	C	30,000	21.53	n/a	n/a	1,500.00	5.0
TROUBH PART	067	PHH	B	3,500	20.35	n/a	n/a	175.00	5.0
SYSTEMATIC FINANCIAL MGT	035	PKD	B	50,200	7.01	n/a	n/a	1,882.50	3.8
ROYCE TRADING	216	PKE	B	5,000	24.06	n/a	n/a	250.00	5.0
GAULT	063	RHD	B	5,000	10.70	n/a	n/a	0.00	0.0
GAULT	063	RHD	S	5,000	11.10	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	RL	B	15,000	65.52	7-Feb	15-Feb	600.00	4.0
HOOVER INV. MGMT	033	RRGB	B	9,700	33.60	n/a	n/a	485.00	5.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	6.94	n/a	n/a	800.00	4.0
KORMAN	003	SEED	S	10,458	6.85	n/a	n/a	0.00	0.0
ROYCE TRADING	216	SPA	S	300	4.81	n/a	n/a	9.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BAYPOINT/PRIMEMOVERS	041	SPY	SS	300,000	137.55	n/a	n/a	15,000.00	5.0
MERRILL ASSET	032	STC	B	14,200	29.68	n/a	n/a	639.00	4.5
BAIRD INVESTMENT	031	SWN	S	2,750	67.45	14-Jan	14-Feb	137.50	5.0
BUCK CAPITAL MGMT	001	TJX	S	50,000	32.74	n/a	21-Feb	2,000.00	4.0
COUGAR TRADING	044	TJX	S	5,000	32.79	n/a	21-Feb	250.00	5.0
PROVIDENT INV COUNSEL	048	TOMO	S	26,550	13.77	n/a	n/a	796.50	3.0
BUCK CAPITAL MGMT	001	TWB	S	30,000	29.77	21-Feb	21-Feb	1,200.00	4.0
COUGAR TRADING	044	TWB	B	5,000	29.92	21-Feb	21-Feb	250.00	5.0
FIRST NY SEC/CHRIS	041	TWB	SS	5,000	29.72	21-Feb	21-Feb	300.00	6.0
HARVEST MGMT	039	TWB	S	40,000	30.00	21-Feb	21-Feb	2,000.00	5.0
SAC TRADING HOWARD	038	TWB	B	7,000	29.58	21-Feb	21-Feb	350.00	5.0
HOOVER INV. MGMT	033	TWI	B	36,400	33.59	n/a	n/a	1,820.00	5.0
EATON VANCE MGMT	039	TWX	S	250,000	16.01	n/a	n/a	7,500.00	3.0
PROSPECTOR PARTNERS LLC	036	UFS	B	50,000	6.54	n/a	n/a	2,000.00	4.0
CASTLE ARK	031	UPL	S	155,130	79.93	4-Jan	20-Feb	9,307.80	6.0
BUCK CAPITAL MGMT	001	WHR	B	20,000	89.79	n/a	n/a	800.00	4.0
ROYCE TRADING	216	WLT	S	5,000	55.99	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	ZLC	SS	10,000	20.07	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	ZZ	SS	75,000	9.73	n/a	n/a	3,000.00	4.0
TOTAL (Trade Date):							153,483.65		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/27	&MIL (&MILPCLOSE)	028	LM	B	50,000	71.02	17-Dec	28-Feb	2500.00 5.0
AO,CRT	02/27	MILLENIUM PARTNERS (MILPMELANI)	028	LM	B	50,000	71.02	17-Dec	28-Feb	2500.00 5.0
AO,CXL	02/27	NEWLAND CAPITAL MGT (NEWL-GS)	067	MTZ	S	10,000	8.21	n/a	n/a	500.00 5.0
AO,CRT	02/27	NEWLAND CAPITAL MGT (NEWL-GS)	067	MTZ	S	10,000	8.21	n/a	n/a	400.00 4.0
AO,CRT	02/27	BUCK CAPITAL MGMT (BUCK)	015	WBCG	S	22	1.00	n/a	n/a	0.00 0.0
AO,CXL	02/27	BUCK CAPITAL MGMT (BUCK)	015	WBCG	S	22	1.00	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										-100.00
TOTAL (Trade Date) :										153,483.65
TOTAL (AO, CXL, CRT) :										-100.00
TOTAL (RUN DATE) / NFS:										153,383.65

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION