



## Daily Compliance Activity Report

Trade Date: 07/30/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRANITE CAPITAL	045	ABG	B	20,000	10.24	10-Jun	17-Jul	1,000.00	5.0
<b>BUCK</b>	<b>015</b>	<b>AB</b>	<b>B</b>	<b>1,500</b>	<b>52.99</b>	<b>15-Jul</b>	<b>24-Jul</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	ADS	B	51,879	65.28	n/a	n/a	2,075.16	4.0
COUGAR TRADING	044	AEO	S	10,000	14.06	6-Mar	16-Jul	500.00	5.0
HEALY CIRCLE	044	AMG	B	15,000	84.74	23-Jul	30-Jul	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>S</b>	<b>180,000</b>	<b>55.72</b>	<b>10-Jul</b>	<b>28-Jul</b>	<b>7,200.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>AN</b>	<b>SS</b>	<b>350,000</b>	<b>10.15</b>	<b>25-Jul</b>	<b>28-Jul</b>	<b>14,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	AN	SS	10,000	10.59	25-Jul	28-Jul	500.00	5.0
KACELA CAPITAL	034	APOL	B	18,000	63.46	n/a	n/a	720.00	4.0
MORRISON/TLB/BAYPOINT	040	BEAV	B	2,000	25.35	n/a	n/a	100.00	5.0
PHILADELPHIA FINANCIAL	033	BEN	S	15,000	100.77	15-Jul	24-Jul	600.00	4.0
CATAPULT	040	CMI	SS	16,330	74.21	n/a	23-May	816.50	5.0
<b>CROWLEY</b>	<b>061</b>	<b>CMU</b>	<b>S</b>	<b>7,200</b>	<b>4.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SANDLER O'NEILL ASSET	031	COF	SS	25,000	43.23	n/a	29-Jul	1,250.00	5.0
NEW JERSEY DIV OF INV.	220	CPB	B	80,000	36.91	n/a	n/a	3,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEHMAN PROPRIETARY TRAD	041	CSCO	S	100,000	21.98	n/a	22-Mar	4,000.00	4.0
MOORE CAPITAL	036	DELL	B	400,000	23.98	n/a	n/a	16,000.00	4.0
KACELA CAPITAL	034	DXD	S	10,000	62.24	n/a	n/a	400.00	4.0
<b>CROWLEY</b>	<b>061</b>	<b>EFT</b>	<b>B</b>	<b>1,400</b>	<b>13.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MOORE CAPITAL	036	EMC	SS	50,000	14.60	n/a	n/a	2,000.00	4.0
MOORE CAPITAL	036	ES	S	25,000	20.76	n/a	n/a	1,000.00	4.0
HUNTER GLOBAL INVESTOR	067	FDX	B	100,000	81.06	n/a	n/a	5,000.00	5.0
<b>BARR</b>	<b>096</b>	<b>FLDR</b>	<b>SS</b>	<b>4,000</b>	<b>6.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FL</b>	<b>B</b>	<b>100,000</b>	<b>14.66</b>	<b>2-Apr</b>	<b>9-Jul</b>	<b>4,000.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>F</b>	<b>SS</b>	<b>200,000</b>	<b>5.17</b>	<b>25-Jul</b>	<b>28-Jul</b>	<b>8,000.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>50,000</b>	<b>29.86</b>	<b>n/a</b>	<b>10-Jan</b>	<b>2,000.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>10,000</b>	<b>32.36</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
RBC CAPITAL MARKETS	034	GIL	B	10,000	25.04	30-Apr	18-Jul	400.00	4.0
MAIRS AND POWER INV	030	GLW	B	20,000	20.50	n/a	30-Jul	1,000.00	5.0
COUGAR TRADING	044	GPI	SS	10,000	19.91	10-Jun	30-Jul	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	HBI	B	135,000	22.90	n/a	n/a	5,400.00	4.0
N STRATTON	014	HBI	C	100	22.30	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	HPQ	S	50,000	44.87	n/a	n/a	2,000.00	4.0
PERFORMANCE PART LP #2	041	IP	S	10,000	24.20	16-Jul	16-Jul	500.00	5.0
SOROS	036	IWM	B	1,000,000	71.82	n/a	n/a	30,000.00	3.0
BUCK CAPITAL MGMT	001	JCG	S	145,000	28.96	n/a	30-May	5,800.00	4.0
LEHMAN PROPRIETARY TRAD	041	JCG	SS	50,000	28.87	n/a	30-May	2,000.00	4.0
BUCK CAPITAL MGMT	001	JNY	S	100,000	16.72	n/a	30-Jul	4,000.00	4.0
WHITE PINE CAPITAL	030	JPM	B	10,650	40.35	8-May	29-Jul	532.50	5.0
COUGAR TRADING	044	JWN	B	20,000	29.79	10-Jul	11-Jul	1,000.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	140,000	42.61	n/a	17-Jul	5,600.00	4.0
COUGAR TRADING	044	KSS	SS	20,000	42.65	n/a	17-Jul	1,000.00	5.0
CATTANI	041	LPX	B	500	8.33	7-Apr	11-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	LTD	B	100,000	17.00	n/a	30-Jul	4,000.00	4.0
HIGHBRIDGE CAPITAL	038	MCRS	SS	43,000	31.98	n/a	n/a	2,150.00	5.0
CROWLEY	061	MCR	B	4,000	8.20	n/a	n/a	0.00	0.0
WHEELER	008	MMMAO	S	60	2.90	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SANDLER O'NEILL ASSET	031	MS	B	75,000	38.00	n/a	14-Jul	3,750.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>MWATB</b>	<b>B</b>	<b>10</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	MW	S	20,000	19.56	n/a	n/a	1,000.00	5.0
KACELA CAPITAL	034	MZZ	S	10,000	56.62	n/a	n/a	400.00	4.0
NEUBERGER BERMAN	036	NRF	S	88,200	8.66	n/a	n/a	2,646.00	3.0
<b>CROWLEY</b>	<b>061</b>	<b>PRISX</b>	<b>S</b>	<b>965</b>	<b>13.77</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CR INTRINIC INVESTMENT	044	PRX	S	25,000	17.31	n/a	28-Jul	1,250.00	5.0
<b>BAYPOINT TRADING/XYLEM</b>	<b>091</b>	<b>PTV</b>	<b>B</b>	<b>310,779</b>	<b>24.41</b>	<b>n/a</b>	<b>n/a</b>	<b>15,538.95</b>	<b>5.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>S</b>	<b>20,000</b>	<b>35.31</b>	<b>5-May</b>	<b>18-Jul</b>	<b>800.00</b>	<b>4.0</b>
COUGAR TRADING	044	PZN	B	25,000	8.67	30-Jul	30-Jul	1,000.00	4.0
KACELA CAPITAL	034	QID	S	20,000	43.55	n/a	n/a	800.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>S</b>	<b>160,200</b>	<b>58.72</b>	<b>n/a</b>	<b>25-Jul</b>	<b>6,408.00</b>	<b>4.0</b>
RBC CAPITAL MARKETS	034	ROST	SS	10,000	38.01	n/a	n/a	400.00	4.0
COUGAR TRADING	044	SAH	SS	20,000	10.28	n/a	30-Jul	1,000.00	5.0
KACELA CAPITAL	034	SDS	S	10,000	66.90	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FRONTPOINT	044	SNI	SS	2,900	41.13	n/a	n/a	116.00	4.0
<b>WHEELER</b>	<b>008</b>	<b>SPNC</b>	<b>B</b>	<b>5,000</b>	<b>8.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BAYPOINT TRADING/XYLEM</b>	<b>091</b>	<b>SPP</b>	<b>SS</b>	<b>25,600</b>	<b>10.97</b>	<b>n/a</b>	<b>n/a</b>	<b>1,024.00</b>	<b>4.0</b>
KACELA CAPITAL	034	SSG	S	7,000	71.60	n/a	n/a	280.00	4.0
METROPOLITAN CAPITAL	044	TEL	B	51,700	33.09	n/a	n/a	2,585.00	5.0
BACKBAY	041	TIN	S	16,000	15.07	29-Apr	30-Jul	800.00	5.0
BMO NESBITT BURNS	041	TIN	SS	100,000	15.46	29-Apr	30-Jul	5,000.00	5.0
COUGAR TRADING	044	TIN	S	5,000	15.10	29-Apr	30-Jul	250.00	5.0
CSFB GLOBAL PROPRIETARY	041	TIN	S	50,000	15.41	29-Apr	30-Jul	2,500.00	5.0
SCOPUS FUND	041	TIN	S	95,000	15.29	29-Apr	30-Jul	4,750.00	5.0
SYSTEMATIC FINANCIAL MGT	035	TIN	B	185,050	15.30	29-Apr	30-Jul	6,939.36	3.8
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>B</b>	<b>110,000</b>	<b>34.57</b>	<b>n/a</b>	<b>11-Jul</b>	<b>4,400.00</b>	<b>4.0</b>
COUGAR TRADING	044	TJX	B	25,000	33.99	n/a	11-Jul	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>S</b>	<b>20,000</b>	<b>14.22</b>	<b>17-Apr</b>	<b>23-Jul</b>	<b>800.00</b>	<b>4.0</b>
CLOVIS CAPITAL	012	TXT	S	65,000	44.65	n/a	n/a	3,250.00	5.0
COLUMBUS CIRCLE	036	UNP	B	8,305	82.99	n/a	n/a	332.20	4.0
STERLING JOHNSTON	035	UNT	B	9,700	68.74	20-Mar	24-Jul	388.00	4.0
CAVU CAP ADV	028	VC	B	213,000	2.94	n/a	17-Jul	10,650.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA PARTNERS	028	VC	S	142,885	2.94	n/a	17-Jul	4,286.55	3.0
CATAPULT	040	WBC	SS	63,727	45.40	30-Apr	30-Jul	3,186.35	5.0
<b>KERN</b>	<b>050</b>	<b>WBTB</b>	<b>S</b>	<b>30</b>	<b>0.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MS PRINCIPAL STRATEGIES	041	WB	SS	15,016	17.16	n/a	n/a	750.80	5.0
MORRISON/TLB/BAYPOINT	040	WMT	B	4,000	58.38	30-Jun	11-Jul	200.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WRC</b>	<b>B</b>	<b>60,000</b>	<b>40.79</b>	<b>13-May</b>	<b>18-Jul</b>	<b>2,400.00</b>	<b>4.0</b>
<b>BAYPOINT TRADING/XYLEM</b>	<b>091</b>	<b>WY</b>	<b>S</b>	<b>130,000</b>	<b>50.40</b>	<b>7-Apr</b>	<b>11-Jul</b>	<b>6,500.00</b>	<b>5.0</b>
ROSEDALE CAPITAL	046	YHOO	B	15,000	20.03	n/a	n/a	750.00	5.0
<b>TOTAL (Trade Date):</b>								<b>240,175.37</b>	

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/21	CHARTER OAK (CHARFUND3)	033	AFFY	S	40	19.59	n/a	n/a	2.00 5.0
AO,	07/22	CHARTER OAK (CHARFUND3)	033	AFFY	S	381	19.82	n/a	n/a	19.05 5.0
AO,CXL	07/28	CARLSON CAPITAL (CARLDEUTSC)	031	BAC	B	59,400	30.16	n/a	29-Jul	2376.00 4.0
AO,CRT	07/28	CARLSON CAPITAL (CARLDEUTSC)	031	BAC	B	21,400	30.16	n/a	29-Jul	856.00 4.0
AO,	07/28	CARLSON CAPITAL (CARLMERRIL)	031	BAC	B	38,000	30.16	n/a	29-Jul	1520.00 4.0
AO,CRT	07/29	WHEELER (WHEELER)	008	CBIAG	S	21	4.50	n/a	n/a	0.00 0.0
AO,CRT	07/29	WHEELER (WHEELER)	008	CBIAG	S	19	4.50	n/a	n/a	0.00 0.0
AO,CXL	07/29	WHEELER (WHEELER)	008	CBIAG	S	21	4.50	n/a	n/a	0.00 0.0
AO,CXL	07/29	WHEELER (WHEELER)	008	CBIAG	S	19	4.50	n/a	n/a	0.00 0.0
AO,CRT	07/29	LEDDY (LEDDY)	035	GNTX	SS	200	15.61	24-Jun	28-Jul	0.00 0.0
AO,CRT	07/29	EMP ERROR (EMP ERROR)	099	GNTX	SS	200	15.75	24-Jun	28-Jul	0.00 0.0
AO,	07/29	EMP ERROR (EMP ERROR)	099	GNTX	SS	200	15.61	24-Jun	28-Jul	0.00 0.0
AO,CXL	07/29	LEDDY (LEDDY)	035	GNTX	SS	200	15.75	24-Jun	28-Jul	0.00 0.0
CRT	07/30	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	KSS	S	139,900	42.61	n/a	17-Jul	5596.00 4.0
AO,	07/21	CHARTER OAK (CHARFUND3)	033	MRT	S	175	5.78	n/a	n/a	8.75 5.0
AO,	07/22	CHARTER OAK (CHARFUND3)	033	MRT	S	879	5.77	n/a	n/a	43.95 5.0
AO,CRT	07/29	WHEELER (WHEELER)	008	QXWKX	S	21	2.30	n/a	n/a	0.00 0.0
AO,CRT	07/29	WHEELER (WHEELER)	008	QXWKX	S	11	2.30	n/a	n/a	0.00 0.0
AO,CRT	07/29	WHEELER (WHEELER)	008	QXWKX	S	68	2.30	n/a	n/a	0.00 0.0
AO,CXL	07/29	WHEELER (WHEELER)	008	QXWKX	S	21	2.30	n/a	n/a	0.00 0.0
AO,CXL	07/29	WHEELER (WHEELER)	008	QXWKX	S	11	2.30	n/a	n/a	0.00 0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO, CXL	07/29	WHEELER (WHEELER)	008	QXWKX	S	68	2.30	n/a	n/a	0.00	0.0
AO,	07/29	NOMURA CAPITAL (NOMUSTRATE)	045	SGLP	B	5,700	8.47	n/a	n/a	228.00	4.0
AO,	07/29	NOMURA CAPITAL (NOMUBATT2)	045	SGLP	B	6,300	8.47	n/a	n/a	252.00	4.0
AO,	07/29	NOMURA CAPITAL (NOMUBSMAN)	045	SGLP	B	8,000	8.47	n/a	n/a	320.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLC4680)	036	STJ	B	1,000	46.93	n/a	n/a	40.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR66)	036	STJ	B	80	46.93	n/a	n/a	3.20	4.0
AO,	07/29	COLUMBUS CIRCLE (COLC4700)	036	STJ	B	900	46.93	n/a	n/a	36.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR68)	036	STJ	B	50	46.93	n/a	n/a	2.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLC4051)	036	STJ	B	6,000	46.93	n/a	n/a	240.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCATLT02)	036	STJ	B	100	46.93	n/a	n/a	4.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLC1681)	036	STJ	B	1,400	46.93	n/a	n/a	56.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLC3940)	036	STJ	B	130	46.93	n/a	n/a	5.20	4.0
AO,	07/29	COLUMBUS CIRCLE (COLC6800)	036	STJ	B	300	46.93	n/a	n/a	12.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCLBR60)	036	STJ	B	80	46.93	n/a	n/a	3.20	4.0
AO,	07/29	COLUMBUS CIRCLE (COLC0700)	036	STJ	B	9,000	46.93	n/a	n/a	360.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR61)	036	STJ	B	60	46.93	n/a	n/a	2.40	4.0
AO,	07/29	COLUMBUS CIRCLE (COLC6630)	036	STJ	B	800	46.93	n/a	n/a	32.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCBRO062)	036	STJ	B	50	46.93	n/a	n/a	2.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLNC01)	036	STJ	B	750	46.93	n/a	n/a	30.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR59)	036	STJ	B	80	46.93	n/a	n/a	3.20	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLNC02)	036	STJ	B	330	46.93	n/a	n/a	13.20	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCL0058)	036	STJ	B	20	46.93	n/a	n/a	0.80	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR26)	036	STJ	B	110	46.93	n/a	n/a	4.40	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR56)	036	STJ	B	160	46.93	n/a	n/a	6.40	4.0
AO,	07/29	COLUMBUS CIRCLE (COLC6810)	036	STJ	B	150	46.93	n/a	n/a	6.00	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR57)	036	STJ	B	170	46.93	n/a	n/a	6.80	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR27)	036	STJ	B	80	46.93	n/a	n/a	3.20	4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB052)	036	STJ	B	42	46.93	n/a	n/a	1.68	4.0



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AO,	07/29	COLUMBUS CIRCLE (COLCCLBR28)	036	STJ	B	160	46.93	n/a	n/a	6.40 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB053)	036	STJ	B	52	46.93	n/a	n/a	2.08 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR29)	036	STJ	B	90	46.93	n/a	n/a	3.60 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB054)	036	STJ	B	70	46.93	n/a	n/a	2.80 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR31)	036	STJ	B	70	46.93	n/a	n/a	2.80 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB051)	036	STJ	B	66	46.93	n/a	n/a	2.64 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR24)	036	STJ	B	57	46.93	n/a	n/a	2.28 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB055)	036	STJ	B	50	46.93	n/a	n/a	2.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR25)	036	STJ	B	130	46.93	n/a	n/a	5.20 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCLB050)	036	STJ	B	53	46.93	n/a	n/a	2.12 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR30)	036	STJ	B	140	46.93	n/a	n/a	5.60 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLNC04)	036	STJ	B	2,200	46.93	n/a	n/a	88.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLC4026)	036	STJ	B	1,400	46.93	n/a	n/a	56.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB039)	036	STJ	B	90	46.93	n/a	n/a	3.60 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB040)	036	STJ	B	80	46.93	n/a	n/a	3.20 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB042)	036	STJ	B	50	46.93	n/a	n/a	2.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB043)	036	STJ	B	70	46.93	n/a	n/a	2.80 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB044)	036	STJ	B	70	46.93	n/a	n/a	2.80 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB045)	036	STJ	B	37	46.93	n/a	n/a	1.48 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB046)	036	STJ	B	37	46.93	n/a	n/a	1.48 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB047)	036	STJ	B	37	46.93	n/a	n/a	1.48 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB048)	036	STJ	B	37	46.93	n/a	n/a	1.48 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB049)	036	STJ	B	70	46.93	n/a	n/a	2.80 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB038)	036	STJ	B	70	46.93	n/a	n/a	2.80 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB037)	036	STJ	B	60	46.93	n/a	n/a	2.40 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLB035)	036	STJ	B	90	46.93	n/a	n/a	3.60 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR33)	036	STJ	B	46	46.93	n/a	n/a	1.84 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR34)	036	STJ	B	70	46.93	n/a	n/a	2.80 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR23)	036	STJ	B	80	46.93	n/a	n/a	3.20 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR22)	036	STJ	B	70	46.93	n/a	n/a	2.80 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR21)	036	STJ	B	110	46.93	n/a	n/a	4.40 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCALL01)	036	STJ	B	50	46.93	n/a	n/a	2.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCBKON14)	036	STJ	B	55	46.93	n/a	n/a	2.20 4.0
AO,	07/29	COLUMBUS CIRCLE (COLC4470)	036	STJ	B	130	46.93	n/a	n/a	5.20 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR20)	036	STJ	B	90	46.93	n/a	n/a	3.60 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR19)	036	STJ	B	140	46.93	n/a	n/a	5.60 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR18)	036	STJ	B	80	46.93	n/a	n/a	3.20 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR17)	036	STJ	B	90	46.93	n/a	n/a	3.60 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCBKON12)	036	STJ	B	26	46.93	n/a	n/a	1.04 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCBKON8)	036	STJ	B	36	46.93	n/a	n/a	1.44 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCBKON7)	036	STJ	B	36	46.93	n/a	n/a	1.44 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR14)	036	STJ	B	60	46.93	n/a	n/a	2.40 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR12)	036	STJ	B	100	46.93	n/a	n/a	4.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR11)	036	STJ	B	260	46.93	n/a	n/a	10.40 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR9)	036	STJ	B	50	46.93	n/a	n/a	2.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR8)	036	STJ	B	90	46.93	n/a	n/a	3.60 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR7)	036	STJ	B	150	46.93	n/a	n/a	6.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR5)	036	STJ	B	120	46.93	n/a	n/a	4.80 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCBKON2)	036	STJ	B	80	46.93	n/a	n/a	3.20 4.0
AO,	07/29	COLUMBUS CIRCLE (COLC4460)	036	STJ	B	12,000	46.93	n/a	n/a	480.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLC4430)	036	STJ	B	3,200	46.93	n/a	n/a	128.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLC2100)	036	STJ	B	20,000	46.93	n/a	n/a	800.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLC1540)	036	STJ	B	400	46.93	n/a	n/a	16.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR2)	036	STJ	B	350	46.93	n/a	n/a	14.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR1)	036	STJ	B	80	46.93	n/a	n/a	3.20 4.0
AO,	07/29	COLUMBUS CIRCLE (COLC3720)	036	STJ	B	200	46.93	n/a	n/a	8.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR63)	036	STJ	B	51	46.93	n/a	n/a	2.04 4.0
AO,	07/29	COLUMBUS CIRCLE (COLC4005)	036	STJ	B	14,900	46.93	n/a	n/a	596.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR64)	036	STJ	B	200	46.93	n/a	n/a	8.00 4.0
AO,	07/29	COLUMBUS CIRCLE (COLC5370)	036	STJ	B	4,800	46.93	n/a	n/a	192.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/29	COLUMBUS CIRCLE (COLCCLBR65)	036	STJ	B	60	46.93	n/a	n/a	2.40 4.0

<b>TOTAL (AO, CXL, CRT) :</b>	<b>9,887.27</b>
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TOTAL (Trade Date) :	240,175.37
TOTAL (AO, CXL, CRT) :	9,887.27

<b>TOTAL (RUN DATE) / NFS :</b>	<b>250,062.64</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION