



# Daily Compliance Activity Report

Trade Date: 09/05/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	AAFJI	B	15	0.85	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		AAPL	S	700	160.38	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	50,000	15.30	n/a	5-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		AEO	S	6,800	15.45	n/a	5-Sep	non-brg	4.0
ROTHSCHILD ASSET	040	ALL	S	28,724	44.24	n/a	n/a	1,292.58	4.5
⌘ BUCK CAPITAL MGMT		ANF	S	20,000	48.19	7-Aug	5-Sep	non-brg	4.0
COUGAR TRADING	044	ANF	S	5,000	48.78	7-Aug	5-Sep	250.00	5.0
PRINCIPLE CAPITAL	040	ANF	SS	24,200	48.63	7-Aug	5-Sep	968.00	4.0
⌘ BUCK CAPITAL MGMT		AN	SS	100,000	11.78	25-Jul	28-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		APP	B	40,000	9.47	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		APP	S	5,800	9.34	n/a	n/a	non-brg	4.0
BUCK	015	ARM	C	1,500	14.88	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		ARO	S	2,700	35.02	28-Apr	5-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ARO	S	25,000	34.75	28-Apr	5-Sep	non-brg	4.0
COUGAR TRADING	044	ARO	B	5,000	35.48	28-Apr	5-Sep	250.00	5.0
⌘ BUCK CAPITAL MGMT		AVB	SS	35,000	97.84	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BARE	S	100,000	11.69	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CLOVIS CAPITAL	012	BBBY	SS	150,000	30.86	n/a	n/a	7,500.00	5.0
LEDDY	035	BIG	C	500	30.09	29-May	5-Sep	0.00	0.0
LEDDY	035	BIG	SS	500	30.97	29-May	5-Sep	0.00	0.0
MAGUIRE	039	BKLH	B	15	1.75	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		BRE	SS	50,000	47.52	n/a	n/a	non-brg	4.0
JPMSI/TOM LEDDY	035	BUCY	SS	4,000	49.73	5-Sep	5-Sep	200.00	5.0
⌘ BUCK CAPITAL MGMT		BWLD	SS	20,000	36.21	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BXP	SS	30,000	99.80	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CBL	SS	20,000	21.07	n/a	n/a	non-brg	4.0
PRINCIPLE CAPITAL	040	CCK	B	50,200	25.00	n/a	n/a	1,506.00	3.0
CATTANI	041	CHK	B	300	44.27	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CHS	S	12,800	6.29	8-Aug	5-Sep	non-brg	4.0
COUGAR TRADING	044	CMG	B	2,000	68.80	24-Jul	24-Jul	100.00	5.0
⌘ BUCK CAPITAL MGMT		COH	B	20,000	28.37	30-Jul	28-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CPT	SS	50,000	47.14	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		CUZ	SS	50,000	23.73	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CVS	S	203,300	36.00	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		CWTR	S	1,900	7.69	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DAI+VJ	SS	1,000	0.60	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		DAI	SS	20,000	57.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DDR	SS	20,000	34.23	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DE+JN	C	726	1.05	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		DECK	B	5,000	110.19	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DECK	S	1,600	110.38	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DRE	SS	20,000	25.16	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		DSW	S	6,800	15.17	8-May	29-Aug	non-brg	4.0
CATTANI	041	DVN	S	250	95.10	7-May	21-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		EBHI	B	400,000	6.57	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		EBHI	S	500	6.68	n/a	n/a	non-brg	4.0
APEX CAPITAL MGMT.	091	EL	C	7,600	51.45	15-Aug	4-Sep	380.00	5.0
HART	056	EPG	B	1,000	2.64	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FCE/A	SS	17,000	28.96	n/a	n/a	non-brg	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FCE/A	SS	29,900	29.25	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		FCE/A	SS	3,100	28.98	n/a	n/a	non-brg	4.0
HIGHBRIDGE CAPITAL	038	FISV	B	10,000	50.04	n/a	n/a	500.00	5.0
ß BUCK CAPITAL MGMT		FL	B	20,000	16.77	11-Aug	22-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		FL	S	5,100	16.50	11-Aug	22-Aug	non-brg	4.0
ß BUCK CAPITAL MGMT		FOSL	S	8,900	29.21	n/a	13-Aug	non-brg	4.0
BUCK	015	FREJU	S	40	0.45	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FRT	SS	30,000	74.00	n/a	n/a	non-brg	4.0
MAGUIRE	039	FXEUT	S	5	7.30	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	B	35,000	35.76	n/a	10-Jan	1,400.00	4.0
ß BUCK CAPITAL MGMT		GCO	S	5,900	35.62	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		GES+LJ	SS	1,000	1.10	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		GES	S	6,900	38.53	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GGP	SS	30,000	25.15	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GIGM	S	1,300	10.67	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GIH	S	10,000	17.83	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GIL	B	60,000	23.05	14-Aug	14-Aug	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>15,600</b>	<b>23.00</b>	<b>14-Aug</b>	<b>14-Aug</b>	<b>non-brg</b>	<b>4.0</b>
EGS ASSOCIATES LP	091	GIL	B	2,100	22.99	14-Aug	14-Aug	126.00	6.0
LATEFF MGMT	035	GIS	S	1,500	67.44	n/a	n/a	420.00	28.0
<b>Õ Jason Cohen</b>		<b>GLWAW</b>	<b>B</b>	<b>5</b>	<b>1.19</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Jason Cohen</b>		<b>GLW</b>	<b>B</b>	<b>5</b>	<b>2.00</b>	<b>n/a</b>	<b>4-Sep</b>	<b>0.00</b>	<b>0.0</b>
MAIRS AND POWER INV	030	GLW	B	20,000	16.17	n/a	4-Sep	1,000.00	5.0
PRINCIPLE CAPITAL	040	GME	S	55,500	43.19	n/a	n/a	2,220.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GRT</b>	<b>SS</b>	<b>20,000</b>	<b>10.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>S</b>	<b>4,800</b>	<b>38.21</b>	<b>11-Jul</b>	<b>5-Sep</b>	<b>non-brg</b>	<b>4.0</b>
SUN AMERICA ASSET MGMT	030	HGT	S	15,591	28.17	n/a	n/a	623.64	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>HSTX</b>	<b>B</b>	<b>10,000</b>	<b>8.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ICON</b>	<b>S</b>	<b>9,000</b>	<b>13.08</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BACKBAY	041	IP	B	12,500	27.31	1-Aug	1-Aug	625.00	5.0
BACKBAY	041	IP	S	5,000	28.45	1-Aug	1-Aug	250.00	5.0
BALYASNY ASSET MGMT	044	IP	B	50,000	27.35	1-Aug	1-Aug	2,500.00	5.0
BMO NESBITT BURNS	041	IP	SS	100,000	28.15	1-Aug	1-Aug	5,000.00	5.0
HEALY CIRCLE	044	IVZ	SS	35,000	24.12	4-Aug	3-Sep	1,750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IYR</b>	<b>SS</b>	<b>50,000</b>	<b>62.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		JCG	S	5,200	28.90	n/a	27-Aug	non-brg	4.0
COUGAR TRADING	044	JCG	SS	10,000	28.68	n/a	27-Aug	500.00	5.0
BUCK CAPITAL MGMT		JNY	SS	50,000	20.32	n/a	30-Jul	non-brg	4.0
KORMAN	003	JNY	SS	2,000	20.41	n/a	30-Jul	0.00	0.0
BUCK CAPITAL MGMT		JOSB	B	10,000	29.13	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT		JOSB	B	30,000	28.66	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		JOSB	S	6,600	28.02	n/a	n/a	non-brg	4.0
KENNEDY CAPITAL	046	JOSB	B	20,000	28.04	n/a	n/a	800.00	4.0
PERRY PARTNERS	041	KBH	SS	150,000	19.98	n/a	n/a	7,500.00	5.0
BUCK CAPITAL MGMT		KIM	SS	30,000	36.94	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		KMT	SS	50,000	33.38	n/a	2-Sep	non-brg	4.0
BUCK CAPITAL MGMT		KMX	SS	50,000	15.19	n/a	10-Jan	non-brg	4.0
BUCK CAPITAL MGMT		KSS	S	1,400	49.84	n/a	5-Sep	non-brg	4.0
COUGAR TRADING	044	KSS	B	5,000	50.50	n/a	5-Sep	250.00	5.0
COUGAR TRADING	044	KSS	SS	10,000	49.93	n/a	5-Sep	500.00	5.0
SLS CAPITAL LLC	224	KSS	B	56,300	50.53	n/a	5-Sep	2,252.00	4.0
BUCK CAPITAL MGMT	001	LIZ	B	20,000	16.91	n/a	28-Aug	800.00	4.0
BUCK CAPITAL MGMT		LIZ	S	3,000	16.67	n/a	28-Aug	non-brg	4.0
BUCK CAPITAL MGMT		LL	B	20,000	13.90	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LL	S	2,300	13.51	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		M+JT	SS	1,000	0.76	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		MAC	SS	50,000	63.60	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MCD	B	20,000	60.42	9-Jun	5-Sep	non-brg	1.0
ß BUCK CAPITAL MGMT		MCD	B	40,000	60.01	9-Jun	5-Sep	non-brg	4.0
GLG PARTNERS	034	MCD	B	37,500	59.98	9-Jun	5-Sep	1,875.00	5.0
WASHINGTON ASSET	048	MDR	S	20,000	30.14	n/a	n/a	1,000.00	5.0
BUCK	015	MER	SS	1,500	25.65	24-Jun	15-Aug	0.00	0.0
ß BUCK CAPITAL MGMT		MSMSBMV2	C	2,500	98.21	n/a	n/a	non-brg	9.8
ß BUCK CAPITAL MGMT		MSMSBOIH	C	7,500	92.10	n/a	n/a	non-brg	9.2
ß BUCK CAPITAL MGMT		MVRX	C	13,000	145.38	n/a	n/a	non-brg	14.5
Õ Bob Efstathiou		MZTH	B	1	157.86	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		M	S	5,900	20.20	12-Aug	29-Aug	non-brg	4.0
COUGAR TRADING	044	M	B	10,000	20.34	12-Aug	29-Aug	500.00	5.0
GRISANTI BROWN & PARTNER	048	M	S	15,700	20.14	12-Aug	29-Aug	785.00	5.0
ß BUCK CAPITAL MGMT		NAQ/U	S	1,400	9.71	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	NDN	B	75,000	9.10	11-Jul	5-Sep	3,750.00	5.0
ß BUCK CAPITAL MGMT		NEXC	S	8,600	0.32	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		OIH	C	20,000	167.63	n/a	n/a	non-brg	1.0
SCOPUS FUND	041	PCL	B	25,000	47.76	n/a	11-Jul	1,250.00	5.0
LEDDY	035	PCL	B	500	47.72	n/a	11-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		PEI	SS	30,000	20.39	n/a	n/a	non-brg	4.0
BACKBAY	041	PKG	B	34,600	25.22	22-Jul	22-Jul	1,730.00	5.0
MILLENIUM PARTNERS	028	PKG	B	10,000	25.80	22-Jul	22-Jul	500.00	5.0
⌘ BUCK CAPITAL MGMT		PLCE	S	10,000	40.34	21-Aug	5-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PLCE	S	2,100	40.44	21-Aug	5-Sep	non-brg	4.0
SUN AMERICA ASSET MGMT	030	PLLL	S	36,685	10.79	n/a	n/a	1,467.40	4.0
BUCK CAPITAL MGMT	001	PSS	B	30,000	17.79	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		PSUN	S	1,900	6.33	n/a	5-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	2,600	38.72	5-May	28-Aug	non-brg	4.0
APEX CAPITAL MGMT.	091	QLGC	SS	100,000	18.01	n/a	n/a	5,000.00	5.0
DANIELS	N25	QXM	B	150	4.57	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		QYS+IG	C	1,500	0.25	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		RL+MK	SS	500	1.60	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		RL+MK	SS	50	1.55	n/a	n/a	non-brg	300.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BULL PATH CAPITAL	044	ROST	B	76,500	39.59	n/a	n/a	3,825.00	5.0
WAGONHOUND/NIC	048	ROST	B	496	39.23	n/a	n/a	19.84	4.0
⌘ BUCK CAPITAL MGMT		RVI	S	3,500	4.70	n/a	n/a	non-brg	3.0
BUCK	015	RYN	B	800	43.56	n/a	24-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		S4RETL	C	2,500	350.41	n/a	n/a	non-brg	35.0
⌘ BUCK CAPITAL MGMT		S6RETL	C	4,000	188.29	n/a	n/a	non-brg	18.8
ZOLIDIS	011	SDS	B	100	72.00	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SKX	S	5,900	18.99	n/a	13-Mar	non-brg	4.0
BACKBAY	041	SSCC	B	25,000	5.96	30-Jul	30-Jul	1,250.00	5.0
BACKBAY	041	SSCC	S	12,500	6.60	30-Jul	30-Jul	625.00	5.0
BMO NESBITT BURNS	041	SSCC	B	50,000	6.02	30-Jul	30-Jul	2,500.00	5.0
BMO NESBITT BURNS	041	SSCC	SS	50,000	6.50	30-Jul	30-Jul	2,500.00	5.0
SCOPUS FUND	041	SSCC	B	155,000	6.11	30-Jul	30-Jul	7,750.00	5.0
SLS CAPITAL LLC	224	SSCC	B	226,300	6.56	30-Jul	30-Jul	6,789.00	3.0
SUN AMERICA ASSET MGMT	030	SSCC	B	300,000	6.42	30-Jul	30-Jul	12,000.00	4.0
COUGAR TRADING	044	SSO	B	5,000	56.61	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SSO	S	5,000	55.90	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		SUMR	S	11,200	4.51	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		TCO	SS	30,000	47.99	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	TIN	B	50,000	17.51	29-Apr	30-Jul	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		TJX	S	1,900	33.98	11-Aug	5-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TPX	S	2,300	10.54	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TRLG	S	2,800	25.33	n/a	n/a	non-brg	4.0
TRUST CO/COWEN/CALF.	040	TSO	B	44,560	17.48	n/a	n/a	1,782.40	4.0
⌘ BUCK CAPITAL MGMT		TWB	S	4,100	10.58	n/a	20-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		UDR	SS	50,000	23.72	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		ULTA	S	20,000	11.86	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		URBN	S	25,000	35.10	14-Aug	14-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		URBN	S	4,300	35.58	14-Aug	14-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WMT	S	2,000	59.60	8-Aug	5-Sep	non-brg	4.0
COUGAR TRADING	044	WMT	B	10,000	59.99	8-Aug	5-Sep	500.00	5.0
⌘ BUCK CAPITAL MGMT		WRC	S	2,600	49.09	8-Aug	28-Aug	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WRC	S	83,850	49.13	8-Aug	28-Aug	non-brg	4.0
COUGAR TRADING	044	WRC	B	5,000	49.07	8-Aug	28-Aug	250.00	5.0
⌘ BUCK CAPITAL MGMT		WRI	SS	30,000	32.65	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	WY	B	25,000	53.55	n/a	6-Aug	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
8 BUCK CAPITAL MGMT		ZQK	B	128,589	8.16	27-Aug	5-Sep	non-brg 4.0
TOTAL (Trade Date):								104,561.86

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	09/04	COLUMBUS CIRCLE	036	GIS	B	159,494	67.27	n/a	n/a	6379.76 4.0
AO,	09/02	HIGHBRIDGE/MS OLDS	041	MCHP	S	80,743	32.37	n/a	n/a	3229.72 4.0
AO,CXL	09/02	HIGHBRIDGE/MS OLDS	041	MCHP	SS	100,102	32.37	n/a	n/a	5005.10 5.0
AO,CRT	09/02	HIGHBRIDGE/MS OLDS	041	MCHP	SS	19,359	32.37	n/a	n/a	774.36 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>5,378.74</b>

TOTAL (Trade Date) :	104,561.86
TOTAL (AO, CXL, CRT) :	5,378.74

<b>TOTAL (RUN DATE) / NFS :</b>	<b>109,940.60</b>
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MRI Securities with Trade Activity on 5-Sep.

BUCY

MRI Securities without Trade Activity on 5-Sep.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 18%



**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**