

Daily Compliance Activity Report Trade Date: 01/11/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

						10			TIEIDE VIO	
	Account	RR #	Symbol	B/S	Shares	s Price	MRI	Research	Comm.	\$/Shr.
	EFSTATHIOU	044	AAPL	В	100	172.70	n/a	n/a	0.00	0.0
	COUGAR TRADING	044	AB	S	15,000	71.05	11-Jan	11-Jan	750.00	5.0
	STONERIDGE INV PART	028	AB	S	9,055	69.30	11-Jan	11-Jan	452.75	5.0
	HARVEST MGMT	039	ACN	SS	150,000	32.86	n/a	n/a	7,500.00	5.0
	BUCK CAPITAL MGMT	001	AEO	S	20,000	18.49	11-Jan	11-Jan	800.00	4.0
	J L F ASSET MGMT	039	AEO	SS	156,800	17.76	11-Jan	11-Jan	6,272.00	4.0
	CRAMER ROSENTHAL/SCOTT	012	AMG	В	25,300	101.89	9-Jan	11-Jan	1,012.00	4.0
	COUGAR TRADING	044	ANN	В	5,000	18.82	11-Jan	11-Jan	250.00	5.0
	CHARTER OAK	033	AN	В	75,000	13.13	n/a	25-Oct	3,750.00	5.0
ß	BUCK CAPITAL MGMT		APP	s	125,000	12.40	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		APP	S	37,200	12.47	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		APP	S	75,000	12.40	n/a	n/a	non-brg	2.0
	LEDDY	035	APP	S	1,700	12.33	n/a	n/a	0.00	0.0
	EFSTATHIOU	044	APP	В	500	12.33	n/a	n/a	0.00	0.0
	COUGAR TRADING	044	ARO	В	5,000	25.34	29-Nov	11-Jan	250.00	5.0
	BUCK CAPITAL MGMT	001	BBY	SS	75,000	45.47	27-Jul	27-Jul	3,000.00	4.0
ß	BUCK CAPITAL MGMT		BBY	SS	25,000	46.13	27-Jul	27-Jul	non-brg	1.0
	RAMIUS CAP	040	BIG	SS	110,000	12.68	7-Jan	11-Jan	5,500.00	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	BARR	096	CAMD	В	10,000	4.15	n/a	n/a	0.00	0.0
	BARR	096	CFC	С	850	6.59	n/a	n/a	0.00	0.0
	GALLEON MGMT LP	033	COF	SS	10,000	41.00	n/a	n/a	500.00	5.0
	BUCK	015	COF	С	1,500	40.84	n/a	n/a	0.00	0.0
	BALYASNY ASSET MGMT	044	СОН	В	20,000	26.80	24-Oct	24-0ct	1,000.00	5.0
	BUCK CAPITAL MGMT	001	СОН	В	10,000	26.74	24-Oct	24-Oct	400.00	4.0
ß	BUCK CAPITAL MGMT		COLM	SS	30,000	39.17	n/a	n/a	non-brg	4.0
õ	Philip Franz		CPNGJ	В	30	0.00	n/a	n/a	0.00	0.0
	TRUST CO/COWEN/CALF.	040	CSX	S	93,400	42.86	n/a	n/a	4,670.00	5.0
ß	BUCK CAPITAL MGMT		CTRN	S	20,000	13.43	n/a	n/a	non-brg	4.0
	CARLSON CAPITAL	031	С	SS	104,300	28.82	5-Nov	8-Jan	4,172.00	4.0
	GAULT	063	С	В	1,000	28.83	5-Nov	8-Jan	0.00	0.0
	GAULT	063	С	S	500	28.71	5-Nov	8-Jan	0.00	0.0
	MKT MAKER	099	DLTR	S	300	22.10	9-Nov	11-Jan	0.00	0.0
ß	BUCK CAPITAL MGMT		ETH	SS	10,000	23.64	n/a	n/a	non-brg	4.0
	STONERIDGE INV PART	028	FII	В	14,730	42.25	n/a	9-Jan	736.50	5.0
ß	BUCK CAPITAL MGMT		FOR	В	10,000	19.64	n/a	n/a	non-brg	1.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	GEORGE WEISS	034	FOR	В	10,000	20.25	n/a	n/a	400.00	4.0
	BUCK CAPITAL MGMT	001	GES	S	20,000	35.75	n/a	n/a	800.00	4.0
	GEORGE WEISS	034	GFG	В	10,000	12.27	n/a	n/a	400.00	4.0
a	BUCK CAPITAL MGMT		GIL	S	20,000	39.93	5-Nov	11-Dec	non-brg	4.0
a	BUCK CAPITAL MGMT		GM	SS	20,000	22.96	3-Jan	4-Jan	non-brg	4.0
õ	Philip Franz		GS	S	100	198.00	21-Sep	19-Dec	0.00	0.0
ß	BUCK CAPITAL MGMT		GYMB	В	50,000	31.85	10-Aug	11-Jan	non-brg	4.0
	COUGAR TRADING	044	GYMB	В	5,000	31.33	10-Aug	11-Jan	250.00	5.0
	CARLSON CAPITAL	031	НВС	В	37 , 500	79.83	n/a	n/a	1,500.00	4.0
	LEDDY	035	HCGI	В	1,050	0.75	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		HOG	SS	20,000	40.01	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		HON	SS	40,000	57.48	9-0ct	19-Oct	non-brg	4.0
	STRAUSS ASSET MGMT	040	ININ	S	17,600	13.70	n/a	n/a	880.00	5.0
	CROWLEY	061	ISLN	S	1,500	4.87	n/a	n/a	0.00	0.0
õ	Philip Franz		IVZ	S	500	27.42	20-Dec	11-Jan	0.00	0.0
	BRIGHTPOINT CAP	067	JCG	SS	25 , 000	40.86	28-Nov	30-Nov	1,000.00	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	^/Chm	
	COUGAR TRADING	044	JCG	В/З В	5,000	42.11	28-Nov	30-Nov	250.00	5.0	
	KINGD POINT PARTNERS	067	JNPR	В	200,000	26.90	n/a	22-Mar	10,000.00	5.0	
	ARIENCE CAPITAL	036	JNS	SS	46,500	28.78	9-Jan	9-Jan	2,325.00	5.0	
	GAULT	063	JPM	В	500	41.12	19-0ct	8-Jan	0.00	0.0	
	GLG PARTNERS	034	JWN	В	50,000	32.32	20-Nov	11-Jan	2,500.00	5.0	
	OGORMAN	046	KEG	S	1,000	13.00	29-Oct	10-Dec	0.00	0.0	
	CAXTON PART	040	KO	S	57 , 186	63.72	n/a	n/a	2,001.53	3.5	
	COUGAR TRADING	044	KSS	В	20,000	38.65	4-Jan	11-Jan	1,000.00	5.0	
ß	BUCK CAPITAL MGMT		LAMR	S	30,000	43.11	n/a	n/a	non-brg	4.0	
ß	BUCK CAPITAL MGMT		LEG	SS	10,000	16.02	n/a	n/a	non-brg	4.0	
	GAULT	063	LEH	В	500	58.58	n/a	19-Dec	0.00	0.0	
	THIRD COAST CAPITAL	035	LIZ	SS	5,000	17.63	n/a	31-0ct	250.00	5.0	
	KEEFE BRUYETTE WOODS A	031	LM	SS	4,800	72.17	17-Dec	11-Jan	240.00	5.0	
	MS PRINCIPAL STRATEGIES	041	LTD	S	100,000	15.01	n/a	11-Jan	5,000.00	5.0	
	BUCK	015	LTR	S	1,000	48.13	n/a	n/a	0.00	0.0	
	MS PRINCIPAL STRATEGIES	041	MBFI	В	13,446	29.10	n/a	n/a	672.30	5.0	

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		MCD	SS	15,000	56.61	n/a	24-Apr	non-brg	1.0
ß	BUCK CAPITAL MGMT		MCD	SS	185,000	55.61	n/a	24-Apr	non-brg	4.0
	GAULT	063	MER	В	500	54.08	11-Jan	11-Jan	0.00	0.0
	SSI INVESTORS	048	MHS	S	9,270	102.05	n/a	n/a	370.80	4.0
	TRIVIUM CAP MGMT	251	MLNX	SS	15,000	16.39	n/a	n/a	600.00	4.0
	MERRILL ASSET	032	MTG	В	40,300	18.47	n/a	n/a	1,813.50	4.5
	BUCK CAPITAL MGMT	001	MW	s	70,000	17.93	n/a	n/a	2,800.00	4.0
ß	BUCK CAPITAL MGMT		MW	S	80,000	17.68	n/a	n/a	non-brg	4.0
	BUCK CAPITAL MGMT	001	M	В	10,000	21.16	4-Jan	11-Jan	400.00	4.0
	COUGAR TRADING	044	M	В	25,000	21.20	4-Jan	11-Jan	1,250.00	5.0
	LISTED ERR	099	M	В	56	22.32	4-Jan	11-Jan	0.00	0.0
	MCERLEAN	N16	M	В	500	21.63	4-Jan	11-Jan	0.00	0.0
	PROSPECTOR PARTNERS LLC	036	NP	В	10,000	26.41	8-Jan	8-Jan	400.00	4.0
	BUCK	015	ONXX	s	800	53.79	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		PETM	SS	10,000	21.47	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		PFCB	С	50,000	24.28	n/a	24-Apr	non-brg	4.0
	LEDDY	035	PFE	s	600	24.09	n/a	31-Mar	0.00	0.0
ß	BUCK CAPITAL MGMT		PFGIM	S	37,501	2.51	n/a	n/a	non-brg	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
В	BUCK CAPITAL MGMT		PLCE	S	20,000	16.90	10-Jan	11-Jan	non-brg	4.0
	COUGAR TRADING	044	PLCE	В	25,000	16.95	10-Jan	11-Jan	1,250.00	5.0
	EPOCH INV MGMT	030	PZN	S	100,000	10.09	11-Jan	11-Jan	4,000.00	4.0
	SUNOVA CAPITAL	031	PZN	SS	10,000	10.47	11-Jan	11-Jan	500.00	5.0
	KORMAN	003	QJPAO	S	50	1.95	n/a	n/a	0.00	0.0
В	BUCK CAPITAL MGMT		RICK	S	16,400	25.41	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		RIG	SS	10,000	136.91	n/a	n/a	non-brg	4.0
	THIRD COAST CAPITAL	035	RL	SS	10,000	56.62	8-Nov	8-Nov	500.00	5.0
	EMP ERROR	099	RL	C	400	56.04	8-Nov	8-Nov	0.00	0.0
	EMP ERROR	099	RL	SS	400	55.90	8-Nov	8-Nov	0.00	0.0
a	BUCK CAPITAL MGMT		RTH	С	33,000	86.94	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		SBUX	SS	20,000	19.83	n/a	24-Apr	non-brg	4.0
	BUCK CAPITAL MGMT	001	SKS	S	130,000	16.45	n/a	n/a	5,200.00	4.0
	BARR	096	SYNP	В	3,100	5.71	n/a	n/a	0.00	0.0
	SIGMA CAPITAL ASSOCIATES	237	TGT	В	50,000	50.50	27-Jul	27-Jul	2,500.00	5.0
	GEORGE WEISS	034	TIN	В	10,000	17.32	8-Jan	8-Jan	400.00	4.0
	SSI INVESTORS	048	TJX	В	42,910	28.54	21-Sep	11-Jan	1,716.40	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.	
	BALYASNY ASSET MGMT	044	TLB	В	15,000	7.01	n/a	7-Jan	750.00	5.0	
ß	BUCK CAPITAL MGMT		TRLG	S	10,000	17.03	n/a	n/a	non-brg	4.0	
В	BUCK CAPITAL MGMT		TSCO	SS	20,000	30.82	n/a	n/a	non-brg	4.0	
	BUCK CAPITAL MGMT	001	TWB	S	20,000	28.31	9-Jan	9-Jan	800.00	4.0	
	COUGAR TRADING	044	TWB	В	10,000	28.69	9-Jan	9-Jan	500.00	5.0	
	BUCK CAPITAL MGMT	001	U A	S	10,000	42.78	n/a	n/a	400.00	4.0	
ß	BUCK CAPITAL MGMT		URBN	S	50,000	25.85	3-Jan	11-Jan	non-brg	4.0	
	DAVIDSON TRUST CO	028	USB	S	7,850	30.28	n/a	n/a	392.50	5.0	
	SINCLAIR	031	VCFA	В	100	0.30	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		VCGH	S	20,000	11.47	n/a	n/a	non-brg	2.5	
	LEDDY	035	vc	В	2,800	3.27	n/a	13-Dec	0.00	0.0	
	EFSTATHIOU	044	VC	В	1,000	3.30	n/a	13-Dec	0.00	0.0	
	BUCK CAPITAL MGMT	001	VFC	S	20,000	70.69	n/a	n/a	800.00	4.0	
	SERENGETI MGMT	030	VMC	В	25,000	68.42	n/a	n/a	1,250.00	5.0	
	CHARTER OAK	033	VR	S	32,089	26.11	n/a	n/a	1,604.45	5.0	
	OGORMAN	046	WAHCU	В	400	32.50	n/a	n/a	0.00	0.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BUCK	015	WDR	SS	1,000	32.44	21-Nov	9-Jan	0.00	0.0
ß BUCK CAPITAL MGMT		WRNC	В	15,000	31.50	6-Nov	12-Nov	non-brg	4.0
COUGAR TRADING	044	WRNC	В	10,000	31.27	6-Nov	12-Nov	500.00	5.0
MKT MAKER	099	WRNC	В	500	31.27	6-Nov	12-Nov	0.00	0.0
ß BUCK CAPITAL MGMT		WSM	SS	20,000	22.45	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	XRX	В	10,000	14.38	n/a	n/a	400.00	4.0
COUGAR TRADING	044	ZQK	В	30,000	7.68	n/a	18-Dec	1,500.00	5.0
ß BUCK CAPITAL MGMT		ZUMZ	S	20,000	17.07	n/a	n/a	non-brg	4.0

TOTAL (Trade Date):

103,081.73

Cancel/Corrects	and	As-Of	Trades
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Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
AO, CRT	01/07	SINCLAIR	031	CFU	В	30	0.47	n/a	n/a	0.00	0.0
AO, CXL	01/07	SINCLAIR	031	CFU	В	30	0.47	n/a	n/a	0.00	0.0
AO, CXL	01/03	BARROW HANLEY MEWHINNEY	096	CPNLQ	SS	80,000	0.36	n/a	29-Jun	0.00	0.0
AO, CRT	01/03	BARROW HANLEY MEWHINNEY	096	CPNLQ	SS	80,000	0.36	n/a	29-Jun	0.00	0.0
AO, CXL	01/10	LISTED ERR	099	CSX	В	200	43.55	n/a	n/a	0.00	0.0
AO, CRT	01/09	GEORGE WEISS	034	EWBC	SS	5,000	20.95	n/a	n/a	150.00	3.0
O,CXL	01/09	GEORGE WEISS	034	EWBC	SS	5,000	20.95	n/a	n/a	150.00	3.0
O, CRT	01/04	SINCLAIR	031	VCFA	В	65	0.40	n/a	n/a	0.00	0.0
O, CXL	01/04	SINCLAIR	031	VCFA	В	65	0.40	n/a	n/a	0.00	0.0
AO,CXL	01/10	STONERIDGE INV PART	028	WDR	В	90	32.80	21-Nov	9-Jan	0.00	0.0
AO, CRT	01/10	STONERIDGE INV PART	028	WDR	В	90	32.80	21-Nov	9-Jan	0.00	0.0

TOTAL (AO, CA	ii, CRI).	0.00	

TOTAL (RUN DATE) / NFS:	103,081.73
TOTAL (AO, CXL, CRT):	0.00
TOTAL (Trade Date):	103,081.73

MRI Securities with Trade Activity on 11-Jan.

AB, AEO, ANN, MER, PZN

MRI Securities without Trade Activity on 11-Jan. CHTT, HRS

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 29%

YTD = 34%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION