

Daily Compliance Activity Report Trade Date: 08/08/2011

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

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	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
f	ANN BETTENDORF FREEM	FID	AAPL	S	25	366.26	n/a	n/a	0.00	0.0
Õ	Abram Chappel		AAPL	В	14	360.07	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	AIS	S	20000	1.94	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	ALSK	s	20000	6.75	n/a	n/a	0.00	0.0
	SCOPUS FUND	041	AN	SS	25,000	32.85	n/a	28-Jul	1,000.00	4.0
	ROSEDALE CAPITAL	046	APA	S	10,000	102.32	n/a	15-Jul	500.00	5.0
	ROTHSCHILD ASSET	040	ASH	S	20,159	52.07	n/a	3-Aug	806.36	4.0
f	JOSEPH CIRO AMATURO	FID	AUMN	s	4670	12.26	n/a	n/a	0.00	0.0
	MACQUARIE INVESTMENT MGMT	. 040	AVP	В	5,000	21.70	n/a	29-Jul	0.00	0.0
	TRELLUS PARTNERS LP	028	AXL	С	50,000	8.85	n/a	1-Aug	1,500.00	3.0
	SANDLER O'NEILL ASSET	031	AXP	SS	50,000	45.89	n/a	5-Aug	2,500.00	5.0
f	HOWARD B TURETSKY	FID	BAC	В	7000	7.50	n/a	19-Jul	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	BAC	S	10000	7.32	n/a	19-Jul	0.00	0.0
f	REUBEN D DVORETSKY	FID	BBEP	В	90	16.11	n/a	n/a	0.00	0.0
f	NICOLAS EVAN PAKLER	FID	BEE	S	550	4.82	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	SAC ADV/LEE/PECK	O	44 BEXP	В	100,00	00 23.78	n/	a n/a	3,500.0	00 3.5
f	HARALAMBOS EFSTATHI	FID	BGU	S	200	56.08	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	BGZ	S	3000	49.55	n/a	n/a	0.00	0.0
f	NICOLAS EVAN PAKLER	FID	BJRI	S	160	42.04	n/a	n/a	0.00	0.0
	AVESTA CAP	229	BRCM	В	50,000	32.36	n/a	n/a	1,500.00	3.0
f	CHRISTOPHER ROBERT C	FID	BWA	В	100	64.86	n/a	29-Jul	0.00	0.0
	TUDOR INVESTORS	028	CBE	S	5 , 000	46.88	n/a	26-Apr	150.00	3.0
f	MARC PAUL LUCHANSKY	FID	CIGX	S	1000	2.72	n/a	n/a	0.00	0.0
f	NICOLAS EVAN PAKLER	FID	CMI	S	65	85.22	n/a	27-Jul	0.00	0.0
f	DAVID GEORGE BUCK	FID	COP	В	10	9.95	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	COP	s	10	4.90	n/a	n/a	0.00	0.0
	ROYAL CAPITAL MGMT	070	СРВ	SS	325 , 000	31.63	n/a	n/a	16,250.00	5.0
	BALYASNY ASSET MGMT	044	CROX	С	15,000	24.61	n/a	n/a	750.00	5.0
f	JOHN BARTMAN COLE	FID	CVGI	В	1500	6.87	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	CVGI	S	11600	7.46	n/a	n/a	0.00	0.0
f	NICOLAS EVAN PAKLER	FID	CVGI	s	1575	7.61	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	cvs	s	3000	33.13	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
DAVID GEORGE BUCK	FID	С	В	3000	29.13	n/a	18-Jul	0.00	0.0
DAVID GEORGE BUCK	FID	С	S	3000	31.59	n/a	18-Jul	0.00	0.0
HOWARD B TURETSKY	FID	С	В	2000	31.60	n/a	18-Jul	0.00	0.0
JASON ERIC COHEN	FID	DAN	В	262	11.51	n/a	29-Jul	0.00	0.0
TRUST CO OF THE WEST/NY	040	DAN	В	22,400	11.55	n/a	29-Jul	896.00	4.0
TRUST CO/COWEN/CALF.	040	DAN	В	302,455	11.55	n/a	29-Jul	12,098.20	4.0
JOSEPH CIRO AMATURO	FID	DF	s	5000	8.24	n/a	n/a	0.00	0.0
				•		•	_		2.5
DALTON GREINER	012	DG	С	16,030	30.65	n/a	4-Aug	801.50	5.0
CAERUS GLOBAL	041	EAT	В	25 , 000	21.21	n/a	5-Aug	1,000.00	4.0
WARRY E LERRY		TG0	2	2000	17 00	- 1-	1-	0.00	0.0
KAKEN F LEDDI	FID	EGO	5	3000	17.92	n/a	n/a	0.00	0.0
NICOLAS EVAN PAKLER	CIT	ЕТАК	S	1700	2 70	n/a	n/a	0 00	0.0
NIOOMIO TVIII TIINIMI			J	2.00	2	, a	, a	0.00	0.0
SCOTT L BRUNNER	FID	FAS	В	1000	15.24	n/a	n/a	0.00	0.0
JOSEPH CIRO AMATURO	FID	FTR	S	10000	6.41	n/a	n/a	0.00	0.0
JOSEPH CIRO AMATURO	FID	FTR	s	10000	6.44	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	F	В	20,000	10.16	n/a	3-Aug	600.00	3.0
KEVIN MICHAEL O'GORM	FID	GCO	В	1000	46.62	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		GES	В	10,000	32.46	n/a	18-Jul	non-brg	4.0
	DAVID GEORGE BUCK DAVID GEORGE BUCK HOWARD B TURETSKY JASON ERIC COHEN TRUST CO OF THE WEST/NY TRUST CO/COWEN/CALF. JOSEPH CIRO AMATURO BUCK CAPITAL MGMT DALTON GREINER CAERUS GLOBAL KAREN F LEDDY NICOLAS EVAN PAKLER SCOTT L BRUNNER JOSEPH CIRO AMATURO JOSEPH CIRO AMATURO LEVIN CAPITAL STRATEGIES KEVIN MICHAEL O'GORM	DAVID GEORGE BUCK FID DAVID GEORGE BUCK FID HOWARD B TURETSKY FID TRUST CO OF THE WEST/NY 040 TRUST CO/COWEN/CALF. 040 JOSEPH CIRO AMATURO FID BUCK CAPITAL MGMT DALTON GREINER 012 CAERUS GLOBAL 041 KAREN F LEDDY FID NICOLAS EVAN PAKLER FID SCOTT L BRUNNER FID JOSEPH CIRO AMATURO FID JOSEPH CIRO AMATURO FID LEVIN CAPITAL STRATEGIES 012 KEVIN MICHAEL O'GORM FID	DAVID GEORGE BUCK FID C DAVID GEORGE BUCK FID C HOWARD B TURETSKY FID C JASON ERIC COHEN FID DAN TRUST CO OF THE WEST/NY 040 DAN TRUST CO/COWEN/CALF. 040 DAN JOSEPH CIRO AMATURO FID DF BUCK CAPITAL MGMT DG CAERUS GLOBAL 041 EAT KAREN F LEDDY FID EGO NICOLAS EVAN PAKLER FID FAS JOSEPH CIRO AMATURO FID FTR JOSEPH CIRO AMATURO FID FTR JOSEPH CIRO AMATURO FID FTR LEVIN CAPITAL STRATEGIES 012 F KEVIN MICHAEL O'GORM FID GCO	DAVID GEORGE BUCK FID C S DAVID GEORGE BUCK FID C S HOWARD B TURETSKY FID C B JASON ERIC COHEN FID DAN B TRUST CO OF THE WEST/NY 040 DAN B TRUST CO/COWEN/CALF. 040 DAN B JOSEPH CIRO AMATURO FID DF S BUCK CAPITAL MGMT DG C CAERUS GLOBAL 041 EAT B KAREN F LEDDY FID EGO S NICOLAS EVAN PAKLER FID ETAK S SCOTT L BRUNNER FID FAS B JOSEPH CIRO AMATURO FID FTR S JOSEPH CIRO AMATURO FID FTR S LEVIN CAPITAL STRATEGIES 012 F B KEVIN MICHAEL O'GORM FID GCO B	DAVID GEORGE BUCK FID C S 3000 DAVID GEORGE BUCK FID C S 3000 HOWARD B TURETSKY FID C B 2000 JASON ERIC COHEN FID DAN B 22,400 TRUST CO OF THE WEST/NY 040 DAN B 22,400 TRUST CO/COWEN/CALF. 040 DAN B 302,455 JOSEPH CIRO AMATURO FID DF S 5000 BUCK CAPITAL MGMT DG C 30,000 DALTON GREINER 012 DG C 16,030 CAERUS GLOBAL 041 EAT B 25,000 KAREN F LEDDY FID EGO S 3000 NICOLAS EVAN PAKLER FID ETAK S 1700 SCOTT L BRUNNER FID FAS B 1000 JOSEPH CIRO AMATURO FID FTR S 10000 JOSEPH CIRO AMATURO FID FTR S 10000 LEVIN CAPITAL STRATEGIES 012 F B 20,000 KEVIN MICHAEL O'GORM FID GCO B 1000	DAVID GEORGE BUCK FID C	DAVID GEORGE BUCK FID C B 3000 29.13 n/a DAVID GEORGE BUCK FID C S 3000 31.59 n/a HOWARD B TURETSKY FID C B 2000 31.60 n/a JASON ERIC COHEN FID DAN B 262 11.51 n/a TRUST CO OF THE WEST/NY 040 DAN B 22,400 11.55 n/a TRUST CO/COWEN/CALF. 040 DAN B 302,455 11.55 n/a JOSEPH CIRO AMATURO FID DF S 5000 8.24 n/a BUCK CAPITAL MGMT DG C 30,000 30.58 n/a CAERUS GLOBAL 041 EAT B 25,000 21.21 n/a KAREN F LEDDY FID EGO S 3000 17.92 n/a NICOLAS EVAN PAKLER FID ETAK S 1000 6.41 n/a JOSEPH CIRO AMATURO FID FTR S 10000 6.41 n/a JOSEPH CIRO AMATURO FID FTR S 10000 6.44 n/a LEVIN CAPITAL STRATEGIES 012 F B 20,000 10.16 n/a KEVIN MICHAEL O'GORM FID GCO B 1000 46.62 n/a	DAVID GEORGE BUCK FID C B 3000 29.13 n/a 18-Jul DAVID GEORGE BUCK FID C S 3000 31.59 n/a 18-Jul HOWARD B TURETSKY FID C B 2000 31.60 n/a 18-Jul HOWARD B TURETSKY FID DAN B 2000 31.60 n/a 18-Jul TRUST CO OF THE WEST/NY 040 DAN B 22,400 11.55 n/a 29-Jul TRUST CO/COWEN/CALF. 040 DAN B 302,455 11.55 n/a 29-Jul TRUST CO/COWEN/CALF. 040 DAN B 302,455 11.55 n/a 29-Jul DALTON GREINER 012 DG C 30,000 30.58 n/a 4-Aug DALTON GREINER 012 DG C 16,030 30.65 n/a 4-Aug CAERUS GLOBAL 041 EAT B 25,000 21.21 n/a 5-Aug KAREN F LEDDY FID EGO S 3000 17.92 n/a n/a NA NICOLAS EVAN PAKLER FID ETAK S 1700 2.70 n/a n/a SCOTT L BRUNNER FID FAS B 1000 15.24 n/a n/a JOSEPH CIRO AMATURO FID FTR S 10000 6.41 n/a n/a JOSEPH CIRO AMATURO FID FTR S 10000 6.41 n/a n/a LEVIN CAPITAL STRATEGIES 012 F B 20,000 10.16 n/a 3-Aug KEVIN MICHAEL O'GORM FID GCO B 1000 46.62 n/a n/a	DAVID GEORGE BUCK FID C B 3000 29.13 n/a 18-Jul 0.00 DAVID GEORGE BUCK FID C S 3000 31.59 n/a 18-Jul 0.00 HOWARD B TURETSKY FID C B 2000 31.60 n/a 18-Jul 0.00 HOWARD B TURETSKY FID DAN B 262 11.51 n/a 29-Jul 0.00 TRUST CO OF THE WEST/NY 040 DAN B 22,400 11.55 n/a 29-Jul 896.00 TRUST CO/COWEN/CALF. 040 DAN B 302,455 11.55 n/a 29-Jul 12,098.20 DECEMBER OF THE WEST/NY DAY DAY DAY DAY DAY DAY DAY DAY DAY DA

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а	BUCK CAPITAL MGMT		GIL	S	50,000	25.53	n/a	5-Aug	non-brg	4.0
f	CHRISTOPHER ARTHUR K	FID	GLW	В	500	13.60	n/a	3-Aug	0.00	0.0
f	MARK ADAM WEINTRAUB	FID	GLW	В	400	13.62	n/a	3-Aug	0.00	0.0
ß	BUCK CAPITAL MGMT		GNC	s	20,000	22.37	n/a	n/a	non-brg	4.0
a	BUCK CAPITAL MGMT		GPS	С	50,000	16.48	n/a	n/a	non-brg	4.0
a	BUCK CAPITAL MGMT		HBI	S	40,000	26.70	n/a	21-Jul	non-brg	4.0
	GALT INVESTMENT	041	HGG	S	25,000	10.02	n/a	n/a	750.00	3.0
f	KEVIN MICHAEL O'GORM	FID	нн	S	450	0.80	n/a	n/a	0.00	0.0
f	KAREN F LEDDY	FID	HL	S	2000	7.02	n/a	n/a	0.00	0.0
	APEX CAPITAL MGMT.	261	HON	С	24,500	46.43	n/a	2-Aug	980.00	4.0
f	KEVIN MICHAEL O'GORM	FID	HOTT	В	3000	6.85	n/a	n/a	0.00	0.0
f	EDWARD W WHEELER	FID	HWCC	В	5000	12.10	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		IP	В	40,000	23.72	n/a	4-Aug	non-brg	4.0
	DELTEC ASSET MGMT.	040	IR	В	9,000	30.25	n/a	21-Jul	450.00	5.0
f	JASON ERIC COHEN	FID	IVV	В	78	114.36	n/a	n/a	0.00	0.0
	NORTHWESTERN MUTUAL LIFE	047	IWM	В	287,500	67.63	n/a	n/a	5,750.00	2.0

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	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
ß	BUCK CAPITAL MGMT		ЈВНТ	С	30,000	39.95	n/a	n/a	non-brg	4.0	
f	MARC PAUL LUCHANSKY	FID	JCI	S	500	31.50	n/a	8-Aug	0.00	0.0	
	BASIX	033	JNY	В	34,000	9.56	n/a	28-Jul	1,020.00	3.0	
	SUN AMERICA ASSET MGMT	030	JNY	S	51,400	10.48	n/a	28-Jul	2,056.00	4.0	
ß	BUCK CAPITAL MGMT		JWN	С	30,000	42.18	n/a	5-Aug	non-brg	4.0	
f	EDWARD W WHEELER	FID	KEM	В	5000	8.88	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	KEY	S	300	6.69	n/a	n/a	0.00	0.0	
	MERRILL ASSET/BLACKROCK	297	KMT	В	4,900	31.48	n/a	29-Jul	196.00	4.0	
	TUDOR INVESTORS	028	KMT	S	5,000	32.27	n/a	29-Jul	150.00	3.0	
ß	BUCK CAPITAL MGMT		KR	SS	20,000	23.07	n/a	n/a	non-brg	4.0	
	IRIDIAN ASSET MGMT.	036	LEAP	S	135,800	6.00	n/a	n/a	6,790.00	5.0	
	BASIX	033	LEA	В	7,000	41.06	n/a	5-Aug	210.00	3.0	
	MILLRACE FUND LP	028	LEA	В	15,300	41.71	n/a	5-Aug	612.00	4.0	
f	MARC PAUL LUCHANSKY	FID	LEN	S	1000	14.83	n/a	n/a	0.00	0.0	
f	DAEIL CHA	FID	LNKD	S	3	81.50	n/a	n/a	0.00	0.0	
	DC CAPITAL	044	LPX	В	25,000	6.26	n/a	5-Aug	1,000.00	4.0	
	PRIMECAP MGMT	084	LTD	В	20,000	33.15	n/a	n/a	800.00	4.0	

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ß	BUCK CAPITAL MGMT		LTM	С	30,000	36.24	n/a	n/a	non-brg	4.0
В	BUCK CAPITAL MGMT		LULU	С	30,000	48.75	n/a	10-Jun	non-brg	4.0
ß	BUCK CAPITAL MGMT		LULU	С	30,000	48.75	n/a	10-Jun	non-brg	4.0
ß	BUCK CAPITAL MGMT		LULU	С	30,000	50.24	n/a	10-Jun	non-brg	4.0
ß	BUCK CAPITAL MGMT		LULU	С	30,000	50.24	n/a	10-Jun	non-brg	4.0
	CASTLEROCK	040	LVS	В	50,000	39.13	n/a	13-Jun	2,000.00	4.0
	NORTHWESTERN MUTUAL LIFE	047	MDY	В	303,300	144.89	n/a	n/a	6,066.00	2.0
f	EDWARD W WHEELER	FID	МММ	В	1000	80.11	n/a	3-Aug	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	MOTR	s	7000	4.52	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	190,000	83.47	n/a	n/a	non-brg	6.7
	BASIX	033	MTW	В	20,000	9.07	n/a	28-Jul	600.00	3.0
f	JOHN BARTMAN COLE	FID	MTW	В	600	9.94	n/a	28-Jul	0.00	0.0
	BALYASNY ASSET MGMT	044	М	S	30,000	25.68	n/a	5-Aug	1,500.00	5.0
	LEVIN CAPITAL STRATEGIES	012	М	В	529,002	24.02	n/a	5-Aug	15,870.06	3.0
ß	BUCK CAPITAL MGMT		NBD CN	S	100,000	9.76	n/a	n/a	non-brg	3.0
f	JOHN BARTMAN COLE	FID	NBRXF	s	1500	9.96	n/a	n/a	0.00	0.0
	PNC CAPITAL ADV	028	NRG	S	47,725	20.58	n/a	n/a	1,909.00	4.0
ß	BUCK CAPITAL MGMT		NWY	S	20,000	4.37	n/a	n/a	non-brg	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	;/Shr.
	SAC ADV/LEE/PECK	0	44 OAS	SS	148,00	0 21.82	n,	′a n/a	5,180.0	00 3.5
f	JOSEPH CIRO AMATURO	FID	PBCT	S	2000	11.30	n/a	21-Jul	0.00	0.0
f	EDWARD W WHEELER	FID	PCAR	В	5000	38.88	n/a	27-Jul	0.00	0.0
	TUDOR INVESTORS	028	РН	В	8,000	66.67	n/a	3-Aug	240.00	3.0
	SCOPUS FUND	041	PKG	В	50,000	22.67	n/a	4-Aug	2,000.00	4.0
	SIGMA CAPITAL ASSOCIATES	041	PSS	SS	50,000	10.00	n/a	n/a	1,750.00	3.5
	SIGMA CAPITAL ASSOCIATES	041	PVH	SS	50,000	61.93	n/a	18-Jul	1,750.00	3.5
f	JOSEPH CIRO AMATURO	FID	PVX	S	5000	7.26	n/a	n/a	0.00	0.0
	TUDOR INVESTORS	028	RBN	S	3,000	41.86	n/a	n/a	90.00	3.0
	TUDOR INVESTORS	028	RKT	S	7,000	51.38	n/a	4-Aug	210.00	3.0
f	CHRISTOPHER ARTHUR K	FID	RRR	В	500	7.92	n/a	22-Jul	0.00	0.0
f	EDWARD W WHEELER	FID	RUSHA	В	2966	15.39	n/a	n/a	0.00	0.0
	ELM RIDGE ADVISORS	044	RYN	В	10,000	56.43	n/a	1-Aug	400.00	4.0
f	EDWARD W WHEELER	FID	scsc	В	2600	31.37	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	SGI	s	10000	12.05	n/a	n/a	0.00	0.0

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f	JOSEPH CIRO AMATURO	FID	SODA	S	1000	66.34	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	SPG	В	700	105.53	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	SPY	s	1000	116.80	n/a	n/a	0.00	0.0
	NORTHWESTERN MUTUAL LIFE	047	SPY	В	178,900	114.75	n/a	n/a	3,578.00	2.0
	FIRST NEW YORK LEDDY	035	S	В	20,000	3.34	n/a	n/a	800.00	4.0
	DELTEC ASSET MGMT.	040	TEL	S	8,000	28.24	n/a	n/a	400.00	5.0
	MERRILL ASSET/BLACKROCK	297	TEX	В	16,700	15.99	n/a	22-Jul	668.00	4.0
	TUDOR INVESTORS	028	TEX	В	5,000	17.04	n/a	22-Jul	150.00	3.0
f	BARBARA S LEDDY	FID	TFM	s	550	34.12	n/a	n/a	0.00	0.0
	TUDOR INVESTORS	028	TKR	S	10,000	35.28	n/a	n/a	300.00	3.0
а	BUCK CAPITAL MGMT		TPX	s	10,000	59.06	n/a	n/a	non-brg	2.0
f	JOSEPH CIRO AMATURO	FID	TZA	s	3000	56.16	n/a	n/a	0.00	0.0
f	HOWARD B TURETSKY	FID	T	В	2000	28.46	n/a	n/a	0.00	0.0
f	NICOLAS EVAN PAKLER	FID	ULTA	s	140	52.46	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		VECO	SS	20,000	34.74	n/a	n/a	non-brg	2.5
	TUDOR INVESTORS	028	VMC	В	5,000	32.99	n/a	n/a	150.00	3.0

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f	DAVID GEORGE BUCK	FID	VNO	s	1000	77.63	n/a	n/a	0.00	0.0
	BARROW HANLEY MEWHINNEY	098	VZ	S	50,700	33.95	n/a	n/a	1,774.50	3.5
	ROTHSCHILD ASSET	040	WBS	В	4 , 560	17.31	n/a	n/a	182.40	4.0
f	MARC PAUL LUCHANSKY	FID	WCRX	S	500	17.31	8-Aug	8-Aug	0.00	0.0
	APEX CAPITAL MGMT.	261	WFC	S	166,900	23.05	n/a	20-Jul	6,676.00	4.0
	BARROW HANLEY MEWHINNEY	031	WFC	В	335,800	24.67	n/a	20-Jul	11,753.00	3.5
	RAINIER INV MGMT	035	WFT	В	108,630	16.79	n/a	n/a	4,345.20	4.0
	SUN AMERICA ASSET MGMT	030	WINN	В	26,800	7.62	n/a	n/a	1,072.00	4.0
f	JOSEPH CIRO AMATURO	FID	WIN	s	5000	11.11	n/a	n/a	0.00	0.0
	MACQUARIE INVESTMENT MGMT	. 040	WMT	В	5,000	50.31	n/a	18-May	0.00	0.0
f	ABRAM M CHAPPELL	FID	WNC	В	600	5.00	n/a	n/a	0.00	0.0
	EPOCH INV MGMT	030	WRC	В	22,950	49.03	n/a	2-Aug	688.50	3.0
f	EDWARD W WHEELER	FID	XIDE	В	5000	4.73	n/a	n/a	0.00	0.0
f	CHRISTOPHER ARTHUR K	FID	XPO	S	2500	2.85	n/a	n/a	0.00	0.0
f	NICOLAS EVAN PAKLER	FID	XPO	S	1150	2.82	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		XRT	SS	5,000	44.86	n/a	n/a	non-brg	2.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
f	HOWARD B TURETSKY	FID		В	100000	97.44	n/a	n/a	0.00 0.0
то	TAL (Trade Date):							136,71	8.72

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CRT	08/05	DELTEC ASSET MGMT.	040	RRR	В	25,000	9.48	n/a	22-Jul	1000.00	4.0
AO,CXL	08/05	DELTEC ASSET MGMT.	040	RRR	В	25,000	9.48	n/a	22-Jul	1250.00	5.0
AO,	08/05	FARALLON CAP MGMT	040	SCHW	В	74,100	13.70	n/a	n/a	2223.00	3.0
AO,CRT	08/05	FARALLON CAP MGMT	040	SCHW	В	900	13.70	n/a	n/a	27.00	3.0
AO,CXL	08/05	FARALLON CAP MGMT	040	SCHW	В	75,000	13.70	n/a	n/a	2250.00	3.0

TOTAL (RUN DATE) / NFS:	136,468.72
TOTAL (AO, CXL, CRT):	-250.00
TOTAL (Trade Date):	136,718.72

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
-160	2400	110004110	07001	2,5	Dilazeo		inte iteocaron	COMMITTY OFFE

MRI Securities with Trade Activity on 8-Aug. WCRX

MRI Securities without Trade Activity on 8-Aug. PG, SUP

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 3%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)