



Daily Compliance Activity Report

Trade Date: 05/08/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AB	B	15,000	90.71	25-Apr	1-May	750.00	5.0
RH CAPITAL ASSOCIATES	060	ACXM	S	19,000	24.57	n/a	n/a	950.00	5.0
SEGAL BRYANT HAMMILL	030	ADBE	S	300	41.31	n/a	n/a	15.00	5.0
BUCK CAPITAL MGMT	001	AEO	S	120,000	27.90	4-Jan	8-May	4,800.00	4.0
BUCK CAPITAL MGMT		AEO	SS	111,834	27.84	4-Jan	8-May	non-brg	5.0
BUCK CAPITAL MGMT		AEO	S	30,000	27.95	4-Jan	8-May	non-brg	5.0
J L F ASSET MGMT	039	AEO	SS	57,100	27.81	4-Jan	8-May	2,284.00	4.0
LIGHTHOUSE FIN/QUAD	046	AMD	B	5,000	13.03	n/a	n/a	250.00	5.0
SUNOVA CAPITAL	031	AMG	S	10,000	121.51	25-Apr	1-May	500.00	5.0
BUCK CAPITAL MGMT		ANF	SS	50,000	80.07	2-Feb	8-May	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	AOS	B	32,300	39.28	18-Apr	18-Apr	1,292.00	4.0
BUCK CAPITAL MGMT	001	ARO	SS	70,000	40.34	n/a	8-May	2,800.00	4.0
ROARING BLUE LION MGMT	012	ARO	SS	2,000	40.26	n/a	8-May	100.00	5.0
Glenn Redemann		ATVI	S	1000	20.15	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	AVA	S	16,950	24.02	n/a	n/a	762.75	4.5
BUCK CAPITAL MGMT		BID	S	50,000	52.03	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRIGHTPOINT CAP	067	BIG	SS	10,000	32.78	9-Mar	12-Apr	500.00	5.0
ß BUCK CAPITAL MGMT		BONT	S	45,000	48.60	9-Mar	8-May	non-brg	5.0
ß BUCK CAPITAL MGMT		BRNC	B	50,000	16.75	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		BRNC	B	75,000	17.00	n/a	n/a	non-brg	5.0
WHEELER	008	BRNC	B	5,000	17.19	n/a	n/a	0.00	0.0
GAULT	063	BRNC	B	1,000	16.78	n/a	n/a	0.00	0.0
JACOB	068	BRNC	B	1,200	16.85	n/a	n/a	0.00	0.0
COUGAR TRADING	044	CBK	S	5,000	16.74	30-Mar	8-May	250.00	5.0
WHITE PINE CAPITAL	030	CBK	B	675	16.81	30-Mar	8-May	33.75	5.0
GEORGE WEISS	034	CBRL	SS	20,000	46.18	n/a	n/a	600.00	3.0
DELTEC ASSET MGMT.	040	CC	B	17,000	16.08	1-May	1-May	850.00	5.0
KERN	050	CC	S	250	16.21	1-May	1-May	0.00	0.0
SUTERA	160	CC	S	1,000	16.01	1-May	1-May	0.00	0.0
BRIGHTPOINT CAP	067	CHS	S	20,000	25.83	27-Mar	8-May	1,000.00	5.0
ß BUCK CAPITAL MGMT		CHS	SS	10,000	25.80	27-Mar	8-May	non-brg	5.0
Õ Glenn Redemann		CNX	B	2	0.97	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CNX	B	2	1.23	n/a	n/a	0.00	0.0
AVESTA CAP	229	CVS	S	25,000	36.89	n/a	n/a	1,250.00	5.0
SAGE ASSET MGMT	012	DOW	B	80,200	45.40	n/a	30-Apr	4,010.00	5.0
BUCK CAPITAL MGMT	001	DSW	S	40,000	38.03	7-May	7-May	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAXTON PART	040	FDC	B	18,900	32.51	n/a	n/a	756.00	4.0
⌘ BUCK CAPITAL MGMT		FD	SS	100,000	43.53	26-Jan	8-May	non-brg	5.0
⌘ BUCK CAPITAL MGMT		FD	SS	100,000	43.54	26-Jan	8-May	non-brg	5.0
⌘ BUCK CAPITAL MGMT		FD	SS	110,000	43.45	26-Jan	8-May	non-brg	5.0
⌘ BUCK CAPITAL MGMT		FD	SS	40,000	43.70	26-Jan	8-May	non-brg	5.0
⌘ BUCK CAPITAL MGMT		FD	S	50,000	43.47	26-Jan	8-May	non-brg	5.0
COUGAR TRADING	044	FD	B	5,000	43.50	26-Jan	8-May	250.00	5.0
EGS ASSOCIATES LP	091	FOSL	B	3,000	30.19	7-May	7-May	180.00	6.0
⌘ BUCK CAPITAL MGMT		GCO	SS	10,000	50.61	n/a	10-Jan	non-brg	5.0
BUCK CAPITAL MGMT	001	GES	B	70,000	39.12	n/a	n/a	2,800.00	4.0
TRUST CO/COWEN/CALF.	040	GE	B	576,090	37.07	n/a	n/a	28,804.50	5.0
SEGAL BRYANT HAMMILL	030	GG	S	200	24.58	n/a	n/a	10.00	5.0
⌘ BUCK CAPITAL MGMT		GIII	B	230	17.50	n/a	n/a	non-brg	0.4
⌘ BUCK CAPITAL MGMT		GIII	B	25,000	17.65	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		GIL	B	20,000	67.92	n/a	3-May	non-brg	5.0
CASTLE ARK	031	GIL	B	11,670	68.10	n/a	3-May	700.20	6.0
COLONIAL FUND LLC	036	GIL	B	10,000	68.29	n/a	3-May	500.00	5.0
TPG-AXON	040	GM	B	166,000	29.89	4-May	4-May	8,300.00	5.0
⌘ BUCK CAPITAL MGMT		GPI	B	10,000	40.25	27-Apr	2-May	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		GPI	B	10,000	40.66	27-Apr	2-May	non-brg	5.0
ß BUCK CAPITAL MGMT		GPS	S	50,000	18.26	n/a	8-May	non-brg	5.0
BENCHMARK	028	GYMB	SS	10,000	37.83	4-Jan	8-May	500.00	5.0
KORMAN	003	HBISF	B	50	3.50	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		HBI	SS	26,200	26.29	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		HLYS	B	10,000	31.32	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		HP	B	10,000	31.87	n/a	n/a	non-brg	5.0
WAGONHOUND	048	ISE	S	13,600	67.00	30-Apr	30-Apr	544.00	4.0
SIGMA CAPITAL ASSOCIATES	044	JBL	B	25,000	24.03	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	40,000	78.51	20-Apr	8-May	1,600.00	4.0
WHITE PINE CAPITAL	030	JCS	B	1,350	11.40	n/a	n/a	67.50	5.0
BUCK CAPITAL MGMT	001	KSS	SS	10,000	73.55	27-Dec	8-May	400.00	4.0
J L F ASSET MGMT	039	KSS	SS	92,400	73.59	27-Dec	8-May	3,696.00	4.0
ROARING BLUE LION MGMT	012	KSS	SS	1,100	73.59	27-Dec	8-May	55.00	5.0
ß BUCK CAPITAL MGMT		LAMR	B	20,000	60.78	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		LIZ	SS	20,000	35.03	n/a	2-May	non-brg	5.0
J L F ASSET MGMT	039	LTD	SS	73,900	27.71	2-Mar	8-May	2,956.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WD PARTNERS ILP	067	LTD	B	20,000	27.74	2-Mar	8-May	1,000.00	5.0
STERLING JOHNSTON	035	MCCC	B	40,000	8.51	28-Feb	8-May	1,600.00	4.0
AMATURO	018	MPEL	B	500	16.80	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MSM	B	5,000	48.57	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		MVRX	C	18,000	199.24	n/a	n/a	non-brg	19.9
BARR	096	NGI	S	500	4.95	n/a	n/a	0.00	0.0
ZIFF BROS	038	NIHD	S	37,200	77.65	n/a	n/a	1,860.00	5.0
KERN	050	NLS	S	250	13.45	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		PETM	SS	10,000	34.26	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		PLCE	SS	20,000	52.98	12-Apr	8-May	non-brg	5.0
⌘ BUCK CAPITAL MGMT		PLCE	S	100,000	53.00	12-Apr	8-May	non-brg	5.0
COUGAR TRADING	044	PSUN	B	10,000	20.08	n/a	8-May	500.00	5.0
GRUSS CAPITAL	040	PSUN	S	5,000	20.18	n/a	8-May	200.00	4.0
BUCK CAPITAL MGMT	001	PVH	B	20,000	53.70	28-Mar	28-Mar	800.00	4.0
⌘ BUCK CAPITAL MGMT		PVH	B	50,000	53.61	28-Mar	28-Mar	non-brg	5.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	161,675	53.64	28-Mar	28-Mar	6,062.82	3.8
WHITE PINE CAPITAL	030	RCKY	B	1,725	13.96	n/a	n/a	86.25	5.0
KORMAN	003	RLF	S	3,000	24.79	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	RL	SS	10,000	91.62	2-Feb	13-Apr	400.00	4.0
ß BUCK CAPITAL MGMT		ROST	SS	10,000	33.00	n/a	n/a	non-brg	5.0
KORMAN	003	RPF	B	3,000	24.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RTH	C	29,000	103.95	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		RVI	S	50,000	20.12	n/a	n/a	non-brg	5.0
WHITE PINE CAPITAL	030	RWC	B	1,750	4.28	n/a	n/a	70.00	4.0
WHITE PINE CAPITAL	030	SBN	B	6,325	2.00	n/a	n/a	126.50	2.0
WHITE PINE CAPITAL	030	SCSS	B	700	18.05	n/a	n/a	35.00	5.0
Õ Glenn Redemann		SEPR	B	8	0.76	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SEPR	B	8	0.77	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SEPR	SS	8	1.74	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SEPR	SS	8	1.74	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SKX	B	20,000	31.48	n/a	13-Mar	non-brg	5.0
ß BUCK CAPITAL MGMT		SKX	B	30,000	31.46	n/a	13-Mar	non-brg	5.0
ß BUCK CAPITAL MGMT		SMRT	SS	5,000	14.80	n/a	n/a	non-brg	5.0
COUGAR TRADING	044	SMRT	B	5,000	14.83	n/a	n/a	250.00	5.0
WHITE PINE CAPITAL	030	SRDX	B	750	39.07	n/a	n/a	37.50	5.0
BARR	096	SWIM	SS	2,000	12.85	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ZIFF BROS	038	SYMC	S	296,000	19.49	n/a	3-Apr	14,800.00	5.0
WHITE PINE CAPITAL	030	TCBI	B	1,225	22.48	n/a	n/a	61.25	5.0
WHITE PINE CAPITAL	030	TCB	B	900	27.96	n/a	n/a	45.00	5.0
SUTERA	160	TMM	B	2,500	3.03	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TRLG	B	50,000	16.96	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	TROW	B	10,000	49.76	n/a	1-May	500.00	5.0
B BUCK CAPITAL MGMT		TWB	S	100,000	38.14	22-Feb	8-May	non-brg	4.0
COUGAR TRADING	044	TWB	B	200	38.00	22-Feb	8-May	10.00	5.0
STERLING JOHNSTON	035	TWTC	S	13,500	18.58	4-May	4-May	675.00	5.0
ROTHSCHILD ASSET	040	TXI	S	18,960	81.15	n/a	n/a	853.20	4.5
B BUCK CAPITAL MGMT		UAG	B	10,000	20.87	n/a	7-May	non-brg	5.0
EGS ASSOCIATES LP	091	UAG	B	3,000	20.86	n/a	7-May	180.00	6.0
ZIFF BROS	038	UTHR	S	28,700	60.00	n/a	n/a	1,435.00	5.0
MERRILL ASSET	032	WBS	S	54,100	44.71	n/a	n/a	2,705.00	5.0
BALYASNY ASSET MGMT	044	WB	B	50,000	56.40	n/a	n/a	2,500.00	5.0
ROTHSCHILD ASSET	040	WCG	S	5,990	89.51	n/a	n/a	269.55	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	WDR	B	425	24.51	5-Mar	1-May	21.25	5.0
ß BUCK CAPITAL MGMT		WSO	B	10,000	56.11	n/a	n/a	non-brg	5.0
SARAS CAPITAL	038	WU	S	40,000	21.32	n/a	n/a	2,000.00	5.0
ZIFF BROS	038	WU	S	157,000	21.36	n/a	n/a	7,850.00	5.0
ZIFF BROS	038	XMSR	S	139,670	11.20	n/a	n/a	6,983.50	5.0
ACT II PARTNERS	067	XOHO	B	50,000	5.03	n/a	n/a	2,000.00	4.0
RIVER RUN MGMT	031	XOHO	B	50,000	5.04	n/a	n/a	1,500.00	3.0
BRIGHTPOINT CAP	067	XRT	SS	15,000	42.76	n/a	n/a	750.00	5.0
ß BUCK CAPITAL MGMT		ZUMZ	SS	25,000	41.22	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		ZUMZ	S	30,000	41.15	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		ZUMZ	S	40,000	41.23	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		ZUMZ	S	80,000	41.18	n/a	n/a	non-brg	5.0
TOTAL (Trade Date):							136,133.52		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/07	PRINCIPLE CAPITAL	040	FDO	SS	100,000	32.28	19-Apr	19-Apr	3000.00 3.0
AO,CXL	05/07	PRINCIPLE CAPITAL	040	FDO	SS	100,000	32.28	19-Apr	19-Apr	4000.00 4.0
AO,	05/07	CHILTON CAPITAL MGMT.	036	HD	S	300,000	38.81	n/a	1-Mar	12000.00 4.0
AO,CRT	05/04	APEX CAPITAL MGMT.	091	LTD	B	166,500	28.24	2-Mar	8-May	8325.00 5.0
AO,CXL	05/04	APEX CAPITAL MGMT.	091	LTD	B	166,500	28.24	2-Mar	8-May	8325.00 5.0
AO,CXL	05/01	LISTED ERR	099	SCHW	B	3	19.07	n/a	n/a	0.00 0.0
AO,CRT	05/01	CSFB GLOBAL PROPRIETARY	035	SCHW	B	3	19.07	n/a	n/a	0.15 5.0
AO,CXL	05/07	SATELLITE ASSET MGMT	012	USTR	B	1,500	63.25	16-Feb	7-May	60.00 4.0
AO,CRT	05/07	SATELLITE ASSET MGMT	012	USTR	B	15,000	63.25	16-Feb	7-May	600.00 4.0
TOTAL (AO, CXL, CRT) :										11,540.15

TOTAL (Trade Date) :	136,133.52
TOTAL (AO, CXL, CRT) :	11,540.15

TOTAL (RUN DATE) / NFS :	147,673.67
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MRI Securities with Trade Activity on 8-May. NONE
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MRI Securities without Trade Activity on 8-May.

BEN, TYC

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 15%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION