



Daily Compliance Activity Report

Trade Date: 04/13/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	517	119.69	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		AAP	SS	77	40.91	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		AEO+QU[AEO]	SS	3	0.01	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		AEO	B	4,778	13.87	8-Apr	13-Apr	non-brg	2.0
SIGMA CAPITAL ASSOCIATES	041	AEO	B	50,000	14.04	8-Apr	13-Apr	2,500.00	5.0
PRINCIPLE CAPITAL	040	AET	B	41,500	27.14	n/a	n/a	1,245.00	3.0
BRIAN W CRAGO	FID	AIG	B	1500	1.41	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ALV+RV[ALV]	SS	5	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		ALV	SS	2,018	22.80	12-Mar	12-Mar	non-brg	2.0
BUCK CAPITAL MGMT		AMB	SS	21	18.12	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		AN+PU[AN]	SS	5	0.01	n/a	n/a	non-brg	100.0
BALYASNY ASSET MGMT	044	ANF	C	25,000	24.27	n/a	6-Feb	1,250.00	5.0
BUCK CAPITAL MGMT		ANF	SS	1,339	24.05	n/a	6-Feb	non-brg	2.0
BUCK CAPITAL MGMT		AN	SS	1,448	15.90	30-Jan	30-Jan	non-brg	2.0
GLENHILL CAPITAL	212	APC	B	50,000	42.23	3-Feb	3-Apr	2,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	APC	B	33,900	43.12	3-Feb	3-Apr	1,695.00	5.0
⚡ BUCK CAPITAL MGMT		APP	B	2,125	3.84	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		APV+GH[AAPL]	SS	300	4.30	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		ARE	C	19	38.42	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		AVB	SS	35	54.68	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		AVP+PD[AVP]	SS	3	0.10	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		AVP+PW[AVP]	SS	3	0.01	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		AVP+SC[AVP]	SS	3	0.45	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		AVP	SS	224	21.63	25-Feb	30-Mar	non-brg	2.0
⚡ JOHN CAREY MAGUIRE	FID	AXE	S	100	34.47	30-Mar	30-Mar	0.00	0.0
⚡ BUCK CAPITAL MGMT		AZO	SS	193	158.85	n/a	n/a	non-brg	2.0
TROUBH PART	067	BAC	B	25,000	11.00	2-Mar	13-Apr	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		BBBY	SS	3,372	31.28	n/a	n/a	non-brg	2.0
LOCUS WOOD CAPITAL MGMT	044	BBBY	B	15,000	31.56	n/a	n/a	600.00	4.0
⚡ BUCK CAPITAL MGMT		BBY+RT[BBY]	SS	5	0.10	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		BBY	B	80	40.33	n/a	27-Jul	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		BDK	SS	998	33.19	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		BEBE	B	842	7.50	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		BHQ+PW[BBBY]	SS	3	0.01	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+QD[BBBY]	SS	5	0.05	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+QW[BBBY]	SS	14	0.01	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+TR[BBBY]	SS	5	2.80	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+TS[BBBY]	SS	8	1.75	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BHQ+TX[BBBY]	SS	5	0.95	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BJ+RF[BJ]	SS	3	1.60	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BKC	B	281	23.17	6-Feb	3-Apr	non-brg 2.0
ß BUCK CAPITAL MGMT		BKS+PB[BKS]	SS	49	0.01	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BKS+PC[BKS]	SS	3	0.01	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BKS+PV[BKS]	SS	5	0.01	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BKS+SV[BKS]	SS	3	0.15	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		BKS+SW[BKS]	SS	4	0.80	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		BKS	SS	2,319	22.83	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		BWA+SC[BWA]	SS	4	0.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BWA+SR[BWA]	SS	7	0.35	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BWA+SS[BWA]	SS	4	1.10	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		BWA	SS	3,272	24.59	12-Mar	19-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		BXP	SS	482	43.58	n/a	n/a	non-brg	2.0
f JOHN CAREY MAGUIRE	FID	BYD	S	600	6.84	28-Jan	28-Feb	0.00	0.0
ß BUCK CAPITAL MGMT		CAB	SS	941	10.38	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CACH	B	814	3.05	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CAT	SS	962	32.27	n/a	13-Apr	non-brg	2.0
f JOSEPH CIRO AMATURO	FID	CAT	S	1000	32.37	n/a	13-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		CBRL	SS	388	32.98	n/a	n/a	non-brg	2.0
f JASON ERIC COHEN	FID	CCI	S	36	24.62	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CCL+PC[CCL]	SS	5	0.01	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CCL+PR[CCL]	SS	3	0.01	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CCL+SR[CCL]	SS	3	0.20	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CCL	SS	502	25.49	n/a	26-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		CECO	SS	439	19.88	n/a	n/a	non-brg	2.0
f KAREN F LEDDY	FID	CHCGE	B	5000	1.06	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CHRS	B	4,367	2.10	n/a	22-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		CHS	B	1,920	6.78	5-Dec	30-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		CIT	S	200,000	4.17	22-Jan	8-Apr	non-brg	3.0
ß BUCK CAPITAL MGMT		CJY+RH[CMG]	SS	14	0.50	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CMG+QK[CMG]	SS	3	1.00	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CMG	SS	1,428	73.51	23-Oct	3-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		COCO	SS	439	15.71	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		COH+EC[COH]	SS	22	3.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		COH+ED[COH]	SS	3	0.75	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT	001	COH	B	20,000	18.48	9-Jan	3-Apr	800.00	4.0
ß BUCK CAPITAL MGMT		COH	B	8,420	18.27	9-Jan	3-Apr	non-brg	2.0
ß BUCK CAPITAL MGMT		COLM	SS	528	32.96	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		COST	SS	693	46.70	n/a	27-Jul	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CTAS	SS	232	25.45	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CUY+SC [CECO]	SS	1,000	0.77	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CVS+EY [CVS]	SS	3	2.90	n/a	n/a	non-brg	100.0
AVESTA CAP	229	CVS	S	100,000	29.70	n/a	n/a	4,000.00	4.0
ß BUCK CAPITAL MGMT		CVS	B	553	29.79	n/a	n/a	non-brg	2.0
LOCUS WOOD CAPITAL MGMT	044	CVS	B	10,000	30.11	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		CWTR	B	1,240	2.93	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		CXJ+QX [CAT]	SS	4	0.32	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		CXJ+TN [CAT]	SS	1,000	1.00	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		DAI	SS	1,139	32.29	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		DECK	B	2,140	63.60	n/a	n/a	non-brg	2.0
f MARK ANTHONY GRONET	FID	DOW	B	1000	10.85	n/a	2-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		DQO+EW [DLTR]	SS	3	0.70	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		DRI	SS	82	37.13	19-Mar	19-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		DSW	SS	1,495	11.14	24-Mar	25-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		DV+QI [DV]	SS	4	5.40	n/a	n/a	non-brg	100.0

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⚡ BUCK CAPITAL MGMT		DV	C	762	41.13	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		EAT	SS	1,379	18.35	n/a	24-Apr	non-brg	2.0
⚡ BUCK CAPITAL MGMT		EDU+PG[EDU]	C	10	0.05	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		EDU+SH[EDU]	SS	1,000	1.85	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		EDU	SS	487	53.80	n/a	n/a	non-brg	2.0
f JOHN CAREY MAGUIRE	FID	EFT	S	500	8.45	n/a	n/a	0.00	0.0
f ROBERT F CROWLEY JR	FID	EFT	B	928	8.46	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		EGP	SS	35	31.93	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		ELS	SS	46	45.12	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		EQR	C	17	22.56	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		ESS	SS	7	69.74	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		ETH+QB[ETH]	SS	3	0.20	n/a	n/a	non-brg	100.0
f SCOTT L BRUNNER	FID	FAS	B	2500	9.36	n/a	n/a	0.00	0.0
WAGONHOUND/NIC	048	FAS	B	50,000	9.91	n/a	n/a	1,500.00	3.0
f SCOTT L BRUNNER	FID	FAZ	B	2000	9.86	n/a	n/a	0.00	0.0
f SCOTT L BRUNNER	FID	FAZ	S	6500	9.51	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FBN	SS	951	2.11	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	FCX	B	2,100	45.42	n/a	n/a	84.00	4.0
⌘ BUCK CAPITAL MGMT		FDO+PD[FDO]	SS	5	0.01	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FDO+PE[FDO]	SS	29	0.01	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FDO+PW[FDO]	C	19	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FDO+PY[FDO]	SS	6	0.01	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FDO+QF[FDO]	SS	5	0.50	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FDO	SS	1,310	34.11	5-Mar	8-Apr	non-brg	2.0
⌘ BUCK CAPITAL MGMT		FINL	B	1,062	7.34	n/a	28-Mar	non-brg	2.0
CUMBERLAND	038	FLEX	S	50,000	3.44	n/a	n/a	1,500.00	3.0
f KAREN F LEDDY	FID	FMCN	B	700	7.12	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FMQ+QV[WFMI]	SS	5	0.01	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FMQ+QW[WFMI]	SS	3	0.01	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FMQ+TI[WFMI]	SS	4	0.67	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FMQ+TU[WFMI]	SS	9	1.12	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		FMQ+TY[WFMI]	SS	3	0.35	n/a	n/a	non-brg	100.0

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ß BUCK CAPITAL MGMT		FOSL	B	5,785	18.20	23-Jan	30-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		FO	SS	876	34.57	n/a	n/a	non-brg	2.0
f ROBERT F CROWLEY JR	FID	FRB	B	2500	8.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FRT	SS	129	56.63	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		FUA+FC[FOSL]	SS	7	3.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FUA+FD[FOSL]	SS	5	1.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		FUA+IE[FOSL]	SS	1,000	0.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GCI	SS	160	4.20	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		GCO	B	2,824	21.88	n/a	10-Jan	non-brg	2.0
ß BUCK CAPITAL MGMT		GES+DD[GES]	SS	5	2.95	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	GES	B	60,000	23.03	n/a	n/a	2,400.00	4.0
ß BUCK CAPITAL MGMT		GES	B	2,640	23.01	n/a	n/a	non-brg	2.0
f JOSEPH CIRO AMATURO	FID	GE	S	2000	12.17	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GIL+DU[GIL]	SS	5	2.95	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GIL+EB[GIL]	SS	4	1.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GIL+FB[GIL]	SS	13	1.40	n/a	n/a	non-brg	100.0

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BUCK CAPITAL MGMT		GIL	B	2,306	10.55	11-Dec	12-Feb	non-brg 2.0
BUCK CAPITAL MGMT		GMCR	SS	260	52.28	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		GM	SS	436	1.72	19-Feb	2-Apr	non-brg 2.0
BUCK CAPITAL MGMT		GNTX	SS	522	11.35	30-Jan	12-Mar	non-brg 2.0
BUCK CAPITAL MGMT		GPS+EC [GPS]	SS	8	0.95	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		GPS	B	2,688	14.90	n/a	30-Jan	non-brg 2.0
BUCK CAPITAL MGMT		GQU+DD [GYMB]	SS	3	7.60	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		GQU+EW [GYMB]	SS	3	10.20	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		GQU+EX [GYMB]	SS	3	5.60	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		GQU+HE [GYMB]	SS	6	5.30	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		GQU+HF [GYMB]	SS	5	2.65	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		GQU+HG [GYMB]	SS	1,000	1.37	n/a	n/a	non-brg 300.0
BUCK CAPITAL MGMT		GSIC	B	2,844	14.21	n/a	n/a	non-brg 2.0
SUFFOLK CAPITAL MGMT	040	GS	B	74,100	128.47	n/a	13-Apr	2,964.00 4.0
BUCK CAPITAL MGMT		GYMB	B	5,287	27.56	9-Apr	13-Apr	non-brg 2.0

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BUCK CAPITAL MGMT		HBI+JD[HBI]	SS	1,000	0.87	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HBI	B	1,236	12.67	n/a	n/a	non-brg 2.0
MERRILL ASSET	032	HBI	S	40,000	12.89	n/a	n/a	1,800.00 4.5
BUCK CAPITAL MGMT		HD+QC[HD]	SS	3	0.02	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HD+QW[HD]	SS	3	0.06	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HD+TJ[HD]	SS	4	0.85	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HD	SS	789	25.75	n/a	25-Feb	non-brg 2.0
BUCK CAPITAL MGMT		HK	B	50,000	21.39	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		HME	C	41	36.10	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		HOG	SS	848	18.09	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		HOT+QB[HOT]	SS	3	0.15	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HOTT	SS	1,727	11.80	n/a	30-Jan	non-brg 2.0
BUCK CAPITAL MGMT		HUO+PC[PFCB]	C	7	0.05	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HUO+PV[PFCB]	SS	3	0.01	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		HUO+SV[PFCB]	SS	3	0.10	n/a	n/a	non-brg 100.0
BUCK CAPITAL MGMT		ICON	S	27,246	10.50	n/a	n/a	non-brg 2.0

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⌘ BUCK CAPITAL MGMT		IFF	SS	107	32.38	21-Jan	3-Apr	non-brg	2.0
⌘ BUCK CAPITAL MGMT		INFO	SS	1,568	0.03	n/a	n/a	non-brg	2.0
MOORE CAPITAL	036	INTC	B	75,000	15.78	n/a	n/a	3,000.00	4.0
SOUTH POINT CAP	067	IYH	S	15,300	49.58	n/a	n/a	765.00	5.0
⌘ BUCK CAPITAL MGMT		JCG+RR[JCG]	SS	2	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JCG	SS	685	16.24	n/a	30-Jan	non-brg	2.0
⌘ BUCK CAPITAL MGMT		JCP+QB[JCP]	C	29	0.05	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JCP+QX[JCP]	SS	13	0.01	n/a	n/a	non-brg	100.0
THE JAY GOLDMAN	034	JCP	S	40,000	26.04	13-Apr	13-Apr	1,600.00	4.0
⌘ BUCK CAPITAL MGMT		JNY+EA[JNY]	SS	21	1.35	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JNY+HU[JNY]	SS	18	0.85	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JNY	B	7,198	6.12	n/a	11-Feb	non-brg	2.0
⌘ BUCK CAPITAL MGMT		JOSB	B	2,457	36.82	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		JWN+SV[JWN]	SS	10	0.50	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JWN	SS	3,113	22.13	20-Feb	13-Apr	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
THE JAY GOLDMAN	034	JWN	B	20,000	21.41	20-Feb	13-Apr	800.00 4.0
⌘ BUCK CAPITAL MGMT		KMT	SS	325	20.51	n/a	30-Jan	non-brg 2.0
⌘ BUCK CAPITAL MGMT		KRC	SS	286	19.56	n/a	n/a	non-brg 2.0
⌘ BUCK CAPITAL MGMT		KSS+DH[KSS]	SS	6	4.10	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		KSS+GI[KSS]	SS	3	4.10	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		KSS	B	816	43.97	30-Mar	13-Apr	non-brg 2.0
ROYAL CAPITAL	039	KSS	B	32,500	44.23	30-Mar	13-Apr	1,625.00 5.0
JP MORGAN VENTURES	046	LEAP	B	7,100	32.76	n/a	n/a	355.00 5.0
⌘ BUCK CAPITAL MGMT		LIZ	B	318	4.43	n/a	5-Mar	non-brg 2.0
⌘ BUCK CAPITAL MGMT		LJJ+IU[LVS]	SS	1,000	1.10	n/a	n/a	non-brg 300.0
PZENA INV ADV	044	LLL	B	6,225	70.06	n/a	n/a	217.88 3.5
⌘ BUCK CAPITAL MGMT		LL	B	2,323	13.25	n/a	n/a	non-brg 2.0
COLUMBIA PARTNERS	028	LMT	S	25,565	73.41	n/a	n/a	1,022.60 4.0
⌘ BUCK CAPITAL MGMT		LOW+SC[LOW]	SS	4	0.55	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		LOW	SS	331	20.15	31-Mar	31-Mar	non-brg 2.0
⌘ BUCK CAPITAL MGMT		LRY	C	76	22.14	n/a	n/a	non-brg 2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		LTD+EB[LTD]	SS	5	0.85	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		LTD+HB[LTD]	SS	10	1.55	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		LTD	B	2,394	9.96	8-Jan	30-Jan	non-brg	2.0
BUCK CAPITAL MGMT		LVS	B	3,979	5.04	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		M+EB[M]	SS	9	2.85	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		MAR+PS[MAR]	SS	3	0.01	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		MAR	SS	47	19.19	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		MCD	B	470	56.07	n/a	13-Apr	non-brg	2.0
CIRCLE T PART	035	MCD	SS	25,000	56.14	n/a	13-Apr	1,250.00	5.0
BUCK CAPITAL MGMT		MDTL	SS	58	0.45	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		MHK+QX[MHK]	SS	11	0.60	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		MHK	SS	1,058	31.61	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		MPEL	B	2,202	4.83	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		MPEL	B	24,200	4.90	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		MPEL	B	35,800	4.81	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		MPEL	B	40,000	4.87	n/a	n/a	non-brg	2.0
SANDLER O'NEILL ASSET	031	MS	S	25,000	26.92	18-Dec	13-Apr	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		MVL+PX [MVL]	SS	5	0.01	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		MVL	SS	348	28.10	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		MVRX	SS	2,500	114.15	n/a	n/a	non-brg	11.4
BUCK CAPITAL MGMT		MW+QB [MW]	SS	3	0.02	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		MW+QC [MW]	SS	4	0.50	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		MW+QU [MW]	SS	5	0.01	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		MW+QV [MW]	SS	4	0.10	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		MW	SS	1,840	18.34	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		M	B	3,176	12.21	n/a	13-Apr	non-brg	2.0
THE JAY GOLDMAN	034	M	B	50,000	11.72	n/a	13-Apr	2,000.00	4.0
BUCK CAPITAL MGMT		NKE+DJ [NKE]	SS	6	2.90	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		NKE+GK [NKE]	SS	3	3.60	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT		NKE	B	1,248	52.29	n/a	n/a	non-brg	2.0
DARUMA ASSET MGMT INC	034	NP	S	42,000	3.90	13-Mar	13-Mar	2,100.00	5.0
CR INTRINIC INVESTMENT	044	NTY	B	6,500	18.49	n/a	n/a	260.00	4.0
BUCK CAPITAL MGMT		NWY	S	815	4.19	n/a	30-Jan	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
BUCK CAPITAL MGMT		OFC	SS	15	29.24	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		OMX	B	1,839	5.02	n/a	15-Apr	non-brg 2.0
BUCK CAPITAL MGMT		ORLY	SS	77	37.12	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		OSTK	SS	148	10.43	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		O	C	83	21.55	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		PDCO	SS	193	19.60	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		PDCO	SS	30,000	19.61	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		PENN	B	448	27.22	n/a	n/a	non-brg 2.0
KACELA CAPITAL	034	PEP	S	20,000	51.50	n/a	n/a	800.00 4.0
BUCK CAPITAL MGMT		PERY	B	3,613	4.53	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		PFCB	SS	723	26.98	8-Jan	13-Apr	non-brg 2.0
SUFFOLK CAPITAL MGMT	040	PG	B	40,000	48.24	12-Mar	20-Mar	1,600.00 4.0
TRELLUS PARTNERS LP	028	PH	SS	5,000	37.52	n/a	5-Mar	250.00 5.0
BUCK CAPITAL MGMT		PII	SS	737	26.65	n/a	n/a	non-brg 2.0
BUCK CAPITAL MGMT		PLCE	B	2,854	24.36	19-Mar	13-Apr	non-brg 2.0
BUCK CAPITAL MGMT		PRQ+PI [COST]	SS	50	0.34	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		PRQ+SA[COST]	SS	3	0.30	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PRQ+SD[COST]	SS	4	0.80	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PRQ+SH[COST]	SS	4	1.30	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PSB	C	42	44.15	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		PSS+FB[PSS]	SS	6	1.75	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PSS+IC[PSS]	SS	1,000	0.95	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PSS+IC[PSS]	SS	3	0.95	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PSS	B	3,595	10.53	n/a	n/a	non-brg 2.0
MERRILL ASSET	032	PSS	B	38,511	10.48	n/a	n/a	1,733.00 4.5
ß BUCK CAPITAL MGMT		PSUN	B	2,049	1.65	6-Feb	6-Feb	non-brg 2.0
ß BUCK CAPITAL MGMT		PVH+DC[PVH]	SS	6	10.40	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PVH+DW[PVH]	SS	16	8.20	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PVH+FD[PVH]	SS	3	6.40	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PVH+FE[PVH]	SS	5	3.00	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PVH+FW[PVH]	SS	6	8.30	n/a	n/a	non-brg 100.0
ß BUCK CAPITAL MGMT		PVH	B	3,683	25.69	27-Mar	27-Mar	non-brg 2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		QAA+GT[AAPL]	SS	2	24.40	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QIA+DU[ICON]	SS	7	2.80	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QIA+EB[ICON]	SS	8	1.20	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		QIA+EU[ICON]	SS	3	2.90	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		RCL	S	50,000	10.96	26-Mar	26-Mar	non-brg	4.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	51.49	n/a	5-Feb	400.00	4.0
JP MORGAN VENTURES	046	RL	B	15,000	50.55	n/a	5-Feb	750.00	5.0
f JASON ERIC COHEN	FID	SBAC	S	25	26.34	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SLM	S	25,000	6.33	27-Feb	8-Apr	750.00	3.0
SERENGETI MGMT	030	SPN	B	50,000	14.55	n/a	n/a	2,500.00	5.0
SOUTH POINT CAP	067	SPY	B	8,900	85.49	n/a	n/a	445.00	5.0
f MICHAEL THOMAS NOLAN	FID	SWN	B	100	31.13	27-Feb	3-Apr	0.00	0.0
f ANDREW SINCLAIR	FID	SWVUL	B	65	2.01	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		TAST	B	24,000	4.39	n/a	n/a	non-brg	1.0
SIGMA CAPITAL ASSOCIATES	041	TIF	S	50,000	23.62	n/a	30-Jan	2,500.00	5.0
MOORE CAPITAL	036	TIN	B	50,200	6.17	5-Dec	8-Apr	2,008.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓕ BUCK CAPITAL MGMT		UCS+TV[COCO]	SS	1,000	1.16	n/a	n/a	non-brg 300.0	
f JASON ERIC COHEN	FID	USO	S	45	29.96	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	WBAAQ	S	50	4.17	n/a	n/a	0.00	0.0
TROUBH PART	067	WFC	B	25,000	19.65	n/a	n/a	1,250.00	5.0
Ⓕ BUCK CAPITAL MGMT		WMAR	C	15,300	5.29	n/a	n/a	non-brg 1.0	
Ⓕ BUCK CAPITAL MGMT		ZQK	S	116,807	1.30	12-Mar	12-Mar	non-brg 2.0	
TOTAL (Trade Date):								57,719.48	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/09	DSG CAPITAL	225	AKS	S	3,500	9.44	n/a	n/a	175.00 5.0
AO,CRT	04/09	DSG CAPITAL	225	AKS	S	3,500	9.44	n/a	n/a	175.00 5.0
AO,CXL	04/08	AVESTA CAP	229	CHK	B	100,000	19.39	n/a	n/a	4000.00 4.0
AO,CRT	04/08	AVESTA CAP	229	CHK	B	100,000	19.39	n/a	n/a	4000.00 4.0
AO,	04/09	AELTUS/ING INVESTMENT MGT	215	HD	B	76,400	25.84	n/a	25-Feb	2674.00 3.5
AO,	04/09	AELTUS/ING INVESTMENT MGT	215	UTX	B	64,600	47.23	n/a	n/a	2261.00 3.5
AO,CRT	04/09	PRINCIPLE CAPITAL	040	WHR	B	49,300	34.92	n/a	n/a	1479.00 3.0
AO,CXL	04/09	PRINCIPLE CAPITAL	040	WHR	B	49,300	34.92	n/a	n/a	1972.00 4.0

TOTAL (AO, CXL, CRT) :**4,442.00**

TOTAL (Trade Date):

57,719.48

TOTAL (AO, CXL, CRT):

4,442.00

TOTAL (RUN DATE) / NFS:**62,161.48****MRI Securities with Trade Activity on 13-Apr.****JCP**

MRI Securities without Trade Activity on 13-Apr.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 10%
YTD = 23%

<u>Legend:</u>
Õ: Employee Trades in Outside Accounts
ß: Non-BRG BCM Trades
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
BCM Watch List Trades
f: Employee Trades (Fidelity)