



# Daily Compliance Activity Report

Trade Date: 04/01/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	AAI	S	3,350	6.78	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AMG	B	10,000	94.37	9-Jan	1-Apr	non-brg	4.0
COLUMBUS CIRCLE	036	AMX	B	459,928	65.85	n/a	n/a	18,397.12	4.0
B BUCK CAPITAL MGMT		ANF+EQ	SS	1,000	1.50	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	ANF	B	3,000	74.31	10-Jan	10-Mar	150.00	5.0
ADAGE CAPITAL MGMT	030	ANN	S	52,100	25.12	14-Mar	14-Mar	2,084.00	4.0
LISTED ERR	099	ANN	B	6,200	24.95	14-Mar	14-Mar	0.00	0.0
LISTED ERR	099	ANN	SS	6,200	24.93	14-Mar	14-Mar	0.00	0.0
MAGUIRE	090	ANR	B	5,000	41.15	n/a	n/a	0.00	0.0
LEDDY	035	ARGN	S	600	15.80	n/a	25-Feb	0.00	0.0
B BUCK CAPITAL MGMT		ARO+EF	SS	2,000	1.40	n/a	n/a	non-brg	300.0
CATAPULT	040	ARO	B	30,000	28.45	29-Nov	14-Mar	1,200.00	4.0
COUGAR TRADING	044	ARO	S	20,000	28.14	29-Nov	14-Mar	1,000.00	5.0
WHALE ROCK CAP	030	ASCA	B	18,619	18.92	n/a	22-Feb	744.76	4.0
MERRILL ASSET	032	ASF	B	13,400	24.09	n/a	n/a	603.00	4.5
B BUCK CAPITAL MGMT		BBBY	SS	20,000	31.11	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
1798 CAPITAL MGMT	040	BBY	B	20,000	42.23	n/a	27-Jul	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		BONT	S	40,200	5.60	4-Jan	31-Mar	non-brg	2.5
ZOLIDIS	011	BSC	S	39	10.76	17-Mar	17-Mar	0.00	0.0
MAGUIRE	090	BSC	S	1,750	10.87	17-Mar	17-Mar	0.00	0.0
SCOPUS FUND	041	BWA	B	15,000	45.05	14-Jan	8-Feb	750.00	5.0
METROPOLITAN CAPITAL	044	BWS	S	28,100	16.01	n/a	n/a	1,405.00	5.0
THIRD COAST CAPITAL	035	CAO	B	31,000	11.63	n/a	n/a	1,550.00	5.0
GALLEON MGMT LP	033	CHD	S	10,000	55.43	2-Apr	11-Mar	500.00	5.0
MADIGAN	031	CMO	B	1,060	12.05	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		COH	SS	20,000	32.11	24-Oct	14-Mar	non-brg	4.0
COUGAR TRADING	044	COH	S	15,000	31.54	24-Oct	14-Mar	750.00	5.0
⚡ BUCK CAPITAL MGMT		COLM	SS	10,000	44.93	n/a	n/a	non-brg	4.0
MAGUIRE	090	COV	S	500	44.58	n/a	n/a	0.00	0.0
1798 CAPITAL MGMT	040	CPKI	SS	10,000	13.50	n/a	n/a	500.00	5.0
RHO CAPITAL MGMT	041	CPST	S	20,000	2.40	n/a	n/a	600.00	3.0
1798 CAPITAL MGMT	040	CROX	SS	30,000	17.44	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	CRR	B	10,300	39.75	13-Feb	1-Apr	463.50	4.5
MADIGAN	031	C	S	175	23.36	16-Jan	17-Mar	0.00	0.0
CATTANI	041	C	S	300	23.60	16-Jan	17-Mar	0.00	0.0
SINCUTMA	031	DGP	S	140	21.02	n/a	n/a	0.00	0.0
MADIGAN	031	DGP	S	420	20.76	n/a	n/a	0.00	0.0
BULL PATH CAPITAL	044	DPZ	S	45,000	13.84	n/a	24-Apr	2,250.00	5.0
GAULT	063	DUG	B	1,000	37.29	n/a	n/a	0.00	0.0
GAULT	063	DXD	B	1,000	53.31	n/a	n/a	0.00	0.0
J COHEN	N27	DXD	B	27	53.42	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		EAT	SS	20,000	19.45	n/a	24-Apr	non-brg	4.0
AAD CAPITAL	048	EL	SS	100,000	46.65	4-Jan	1-Apr	4,000.00	4.0
BUCK CAPITAL MGMT	001	ETN	B	10,000	79.81	28-Mar	28-Mar	400.00	4.0
SUNOVA CAPITAL	031	EV	B	40,000	32.05	9-Jan	1-Apr	2,000.00	5.0
BUCK CAPITAL MGMT	001	FDO	B	120,000	20.55	8-Jan	1-Apr	4,800.00	4.0
LEDDY	035	FIG	B	1,000	12.85	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FLDR	C	10,000	6.11	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT	001	FL	B	75,000	12.07	2-Apr	12-Mar	3,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHEELER	008	FOR	S	5,066	24.56	n/a	n/a	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		FOSL	B	30,000	31.96	13-Nov	10-Mar	non-brg	4.0
THIRD COAST CAPITAL	035	FRED	B	11,000	10.62	27-Mar	27-Mar	550.00	5.0
WHEELER	008	GFG	S	10,000	11.17	n/a	n/a	0.00	0.0
BARR	096	GLD	B	300	87.45	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	GLW	S	10,000	24.55	n/a	27-Mar	500.00	5.0
LISTED ERR	099	GLW	B	10,000	24.49	n/a	27-Mar	0.00	0.0
LISTED ERR	099	GLW	S	10,000	24.49	n/a	27-Mar	0.00	0.0
HEALY CIRCLE	044	GM	SS	10,000	19.91	3-Jan	31-Mar	500.00	5.0
WOOSTER CAPITAL	035	GM	SS	100,000	19.77	3-Jan	31-Mar	5,000.00	5.0
GOLD SACHS SPECIAL SIT	030	GNTX	SS	300,000	17.99	25-Jan	29-Jan	15,000.00	5.0
Ⓕ BUCK CAPITAL MGMT		GPS	SS	20,000	20.34	n/a	7-Mar	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		GQU+EI	SS	1,000	2.21	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	GYMB	S	15,000	41.68	2-Apr	13-Mar	750.00	5.0
LEDDY	035	HSC	S	250	57.27	28-Mar	28-Mar	0.00	0.0
ZOLIDIS	011	ICON	S	1,000	18.50	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	IVZ	S	26,287	25.27	10-Mar	1-Apr	1,314.35	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		JAH	B	30,000	22.38	n/a	n/a	non-brg	4.0
STADIA CAPITAL	035	JBX	B	13,100	28.36	n/a	24-Apr	524.00	4.0
⌘ BUCK CAPITAL MGMT		JCG+EK	SS	1,000	0.70	n/a	n/a	non-brg	100.0
COUGAR TRADING	044	JCG	S	10,000	47.09	28-Nov	12-Mar	500.00	5.0
⌘ BUCK CAPITAL MGMT		JNS	B	20,000	24.23	24-Mar	1-Apr	non-brg	4.0
MILLENIUUM PARTNERS	028	JNS	B	24,400	24.03	24-Mar	1-Apr	1,220.00	5.0
SUNOVA CAPITAL	031	JNS	B	125,000	24.66	24-Mar	1-Apr	6,250.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	B	20,000	20.80	n/a	n/a	non-brg	4.0
CHAPPELL	073	JSVAX	B	108	18.57	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KMX	B	120,000	19.29	n/a	10-Jan	4,800.00	4.0
WASHINGTON ASSET	048	KMX	SS	8,000	19.20	n/a	10-Jan	400.00	5.0
BEAR STEARNS ASSET MGMT.	033	KSS	B	142,000	45.07	4-Jan	31-Mar	5,680.00	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	30,000	45.69	4-Jan	31-Mar	non-brg	4.0
SOCIETY GENERALE	040	KSS	S	5,000	44.02	4-Jan	31-Mar	200.00	4.0
⌘ BUCK CAPITAL MGMT		LAMR	B	20,000	36.88	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LEH	B	20,000	40.10	1-Apr	1-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LIZ	SS	20,000	19.37	n/a	17-Mar	non-brg	4.0
MILLENIUUM PARTNERS	028	LM	B	67,200	57.39	24-Mar	1-Apr	3,360.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	LTD	B	40,000	17.70	n/a	7-Mar	1,600.00	4.0
BUCK CAPITAL MGMT	001	LVS	SS	20,000	77.30	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		MCD	C	30,000	56.61	n/a	24-Apr	non-brg	4.0
HART	056	MERSA	S	5	2.31	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MER	B	10,000	42.18	18-Jan	17-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		MSMSBOIH	C	10,000	98.00	n/a	n/a	non-brg	9.8
ß BUCK CAPITAL MGMT		MSM	B	20,000	43.01	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		MS	B	10,000	47.59	20-Dec	20-Mar	non-brg	1.0
IVORY CAPITAL	034	MU	B	300,000	6.00	n/a	n/a	7,500.00	2.5
ß BUCK CAPITAL MGMT		MVRX	SS	75,000	149.18	n/a	n/a	non-brg	14.9
SYSTEMATIC FINANCIAL MGT	035	NKE	S	121,000	69.09	n/a	n/a	4,537.50	3.8
CENTURION GLOBAL MGMT LL	041	NOK	B	7,300	33.42	n/a	n/a	219.00	3.0
JP MORGAN VENTURES	046	ODP	B	15,000	11.43	n/a	27-Jul	750.00	5.0
CAXTON PART	040	ORCL	B	150,000	19.86	n/a	n/a	5,250.00	3.5
BUCK CAPITAL MGMT	001	OXM	SS	10,000	24.63	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	OXM	B	3,800	24.90	n/a	n/a	190.00	5.0
COUGAR TRADING	044	PAG	B	30,000	19.55	6-Feb	20-Mar	1,500.00	5.0
SCOPUS FUND	041	PAG	B	40,000	19.31	6-Feb	20-Mar	2,000.00	5.0
TRELLUS PARTNERS LP	028	PAG	C	108,300	19.64	6-Feb	20-Mar	5,415.00	5.0
WILLOW CREEK	033	PAG	B	40,000	19.30	6-Feb	20-Mar	1,600.00	4.0
SOMERSET ADV	044	PEI	S	18,700	24.85	n/a	n/a	748.00	4.0
1798 CAPITAL MGMT	040	PLCE	S	15,000	25.05	24-Mar	24-Mar	750.00	5.0
TOCQUEVILLE ASSET MGMT	048	PRFT	B	73,700	8.16	n/a	n/a	3,685.00	5.0
THOMSON HORSTMAN BRYANT	040	PVH	B	65,000	39.58	13-Mar	26-Mar	3,250.00	5.0
⌘ BUCK CAPITAL MGMT		QDC+GI	SS	500	2.90	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	RCL	B	20,000	33.91	n/a	31-Jan	800.00	4.0
⌘ BUCK CAPITAL MGMT		RL+SJ	SS	500	2.13	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		RMS FP	SS	20,000	124.47	n/a	n/a	non-brg	24.9
MATRIX ASSET ADV.	036	ROST	S	15,000	31.02	n/a	n/a	600.00	4.0
XYLEM GLOBAL	091	RYN	S	50,000	44.23	8-Jan	25-Jan	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		SBUX	SS	20,000	18.44	n/a	24-Apr	non-brg	4.0
SUTERA	160	SDS	B	1,000	59.69	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SDXC	S	215,253	10.70	n/a	n/a	non-brg	4.0
BARR	096	SLV	B	150	163.97	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		SOAP	C	9,970	7.30	n/a	n/a	non-brg	1.0
LEDDY	035	SSCC	S	1,100	7.82	8-Jan	30-Jan	0.00	0.0
GAULT	063	SSO	S	500	70.83	n/a	n/a	0.00	0.0
CATTANI	041	SWN	S	200	33.60	20-Mar	1-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	SWY	B	50,000	29.82	n/a	n/a	2,000.00	4.0
NATIONAL BK OF CAN	031	TCB	SS	50,000	18.45	n/a	n/a	2,000.00	4.0
MAGUIRE	090	TEL	S	500	34.35	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		THI	SS	20,000	34.65	n/a	24-Apr	non-brg	4.0
ß BUCK CAPITAL MGMT		TIN	B	20,000	13.01	19-Mar	19-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		TLB+TB	SS	1,000	1.95	n/a	n/a	non-brg	300.0
PRINCIPLE CAPITAL	040	TPX	S	20,300	11.83	n/a	n/a	609.00	3.0
ß BUCK CAPITAL MGMT		TRLG	B	10,000	19.02	n/a	n/a	non-brg	4.0
NOMURA CAPITAL	045	TRMP	S	20,590	3.65	n/a	n/a	617.70	3.0



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		URBN	B	30,000	32.50	29-Feb	10-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		VC	B	100,000	3.91	n/a	15-Feb	non-brg	3.0
SINCLAIR	031	VGNMD	B	25	5.40	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		VIRL	S	3,350	6.03	n/a	n/a	non-brg	4.0
GRANAHAN INV	039	WBC	B	11,900	45.99	28-Mar	28-Mar	476.00	4.0
RAMIUS CAP	040	WDR	SS	42,500	33.58	21-Nov	1-Apr	2,125.00	5.0
⌘ BUCK CAPITAL MGMT		WFMI	SS	10,000	34.42	n/a	n/a	non-brg	4.0
MAGUIRE	090	WHT	S	5,000	2.72	n/a	n/a	0.00	0.0
AVESTA CAP	229	WMT	B	25,000	53.94	n/a	7-Mar	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		WSM	SS	20,000	25.63	n/a	n/a	non-brg	4.0
NATIONAL BK OF CAN	031	XLF	S	40,000	25.89	n/a	n/a	1,600.00	4.0
NOMURA CAPITAL	045	XOHO	S	1,100	1.29	n/a	n/a	22.00	2.0
COUGAR TRADING	044	ZQK	S	10,000	10.30	5-Feb	7-Mar	500.00	5.0
TRIVIUM CAP MGMT	251	ZQK	S	50,000	10.29	5-Feb	7-Mar	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		ZUMZ	SS	30,000	16.45	n/a	n/a	non-brg	4.0

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**TOTAL (Trade Date):**

**153,489.93**

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**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/31	MORGAN BANK /JPM	012	GE	S	10,949	36.71	n/a	n/a	328.47 3.0
AO,	03/31	KENSICO CAPITAL	041	JPM	B	250,000	43.65	17-Jan	25-Mar	12500.00 5.0
AO,	03/31	SOMERSET ADV	044	PEI	S	4,300	24.92	n/a	n/a	172.00 4.0
AO,	03/31	TOCQUEVILLE ASSET MGMT	048	PRFT	B	100,000	8.05	n/a	n/a	5000.00 5.0
AO,	03/31	NOMURA CAPITAL	045	TRMP	S	5,316	3.65	n/a	n/a	159.48 3.0
AO,	03/31	NOMURA CAPITAL	045	XOHO	S	1,000	1.29	n/a	n/a	0.00 0.0
AO,	03/31	NOMURA CAPITAL	045	XOHOL	S	5,000	0.04	n/a	n/a	0.00 0.0
AO,	03/31	NOMURA CAPITAL	045	XOHOW	S	17,725	0.10	n/a	n/a	0.00 0.0

**TOTAL (AO, CXL, CRT) :****18,159.95**

TOTAL (Trade Date) :

153,489.93

TOTAL (AO, CXL, CRT) :

18,159.95

**TOTAL (RUN DATE) / NFS:****171,649.88**

MRI Securities with Trade Activity on 1-Apr.

LEH

MRI Securities without Trade Activity on 1-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 21%

YTD = 24%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**