



## Daily Compliance Activity Report

Trade Date: 06/30/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AB	S	15,000	55.11	9-Apr	23-Jun	750.00	5.0
PATTEN & PATTEN	067	ADM	B	1,000	33.71	29-Apr	23-Jun	50.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>AEO</b>	<b>B</b>	<b>400</b>	<b>13.86</b>	<b>6-Mar</b>	<b>6-Jun</b>	<b>0.00</b>	<b>0.0</b>
DAVIS SELECT ADV	040	AES	B	2,700	18.80	n/a	n/a	81.00	3.0
SAC TRADING HOWARD	038	AMZN	B	5,000	74.00	n/a	n/a	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANF</b>	<b>B</b>	<b>20,000</b>	<b>64.68</b>	<b>10-Apr</b>	<b>6-Jun</b>	<b>800.00</b>	<b>4.0</b>
<b>KORMAN</b>	<b>003</b>	<b>ANN</b>	<b>SS</b>	<b>2,000</b>	<b>24.25</b>	<b>14-Mar</b>	<b>22-May</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ARO	B	10,000	31.37	28-Apr	6-Jun	500.00	5.0
COUGAR TRADING	044	ARO	SS	20,000	32.07	28-Apr	6-Jun	1,000.00	5.0
FRONTPOINT CHRIS C	041	A	B	14,300	35.68	n/a	n/a	572.00	4.0
PATTEN & PATTEN	067	BAC	S	600	24.32	n/a	16-Jun	30.00	5.0
CLOVIS CAPITAL	012	BBBY	SS	100,000	28.67	n/a	n/a	5,000.00	5.0
PATTEN & PATTEN	067	BBY	B	100	40.04	n/a	27-Jul	5.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>BIG</b>	<b>SS</b>	<b>10,000</b>	<b>31.57</b>	<b>29-May</b>	<b>5-Jun</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	BKC	S	5,000	26.86	1-May	2-May	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	BWA	SS	5,000	44.50	10-Jun	10-Jun	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CBK</b>	<b>B</b>	<b>10,000</b>	<b>6.82</b>	<b>n/a</b>	<b>27-Jun</b>	<b>400.00</b>	<b>4.0</b>
BECK MACK & OLIVER LLC	045	CBT	S	21,400	24.54	n/a	23-Jun	1,070.00	5.0
MFP INVESTORS	032	CBT	B	7,500	24.65	n/a	23-Jun	375.00	5.0
<b>BUCK</b>	<b>015</b>	<b>CHTT</b>	<b>C</b>	<b>1,500</b>	<b>66.65</b>	<b>2-Jun</b>	<b>24-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>CHUX</b>	<b>SS</b>	<b>4,000</b>	<b>10.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BULL PATH CAPITAL	044	CLX	S	20,000	52.30	n/a	24-Jun	1,000.00	5.0
<b>BUCK</b>	<b>015</b>	<b>CMA</b>	<b>SS</b>	<b>1,000</b>	<b>25.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>COF</b>	<b>SS</b>	<b>1,000</b>	<b>38.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	CPKI	B	10,000	11.40	n/a	9-May	500.00	5.0
MERRILL ASSET	032	CRR	S	10,800	58.97	5-Jun	18-Jun	540.00	5.0
CHILTON CAPITAL MGMT.	036	C	SS	2,000,000	16.74	19-Jun	19-Jun	80,000.00	4.0
<b>OGORMAN</b>	<b>046</b>	<b>C</b>	<b>S</b>	<b>1,000</b>	<b>16.71</b>	<b>19-Jun</b>	<b>19-Jun</b>	<b>0.00</b>	<b>0.0</b>
PATTEN & PATTEN	067	DE	B	40	71.92	n/a	23-May	2.00	5.0
SLS CAPITAL LLC	224	DIS	SS	202,400	31.33	n/a	n/a	8,096.00	4.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	6,800	32.70	n/a	5-Jun	272.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GENERAL ELECTIC CO	033	DOV	S	20,000	48.72	n/a	n/a	400.00	2.0
ENTRUST CAPITAL	040	DPS	B	165,900	21.06	n/a	n/a	8,295.00	5.0
<b>BUCK</b>	<b>015</b>	<b>DVN</b>	<b>S</b>	<b>700</b>	<b>119.15</b>	<b>7-May</b>	<b>18-Jun</b>	<b>0.00</b>	<b>0.0</b>
CLOVIS CAPITAL	012	DV	B	50,000	54.74	n/a	n/a	2,500.00	5.0
PATTEN & PATTEN	067	EPP	S	100	136.80	n/a	n/a	5.00	5.0
PATTEN & PATTEN	067	EWZ	B	200	90.18	n/a	n/a	10.00	5.0
THOMAS WEISEL ASSET MGMT	035	EXLS	B	1,750	14.26	n/a	n/a	52.50	3.0
<b>BAYPOINT TRADING/XYLEM</b>	<b>091</b>	<b>EXP</b>	<b>B</b>	<b>45,000</b>	<b>25.51</b>	<b>n/a</b>	<b>n/a</b>	<b>2,250.00</b>	<b>5.0</b>
POST ROAD CAP	045	FDO	B	30,000	20.07	30-Jun	30-Jun	900.00	3.0
JP MORGAN VENTURES	046	FL	B	25,000	12.56	2-Apr	23-May	1,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GCO</b>	<b>B</b>	<b>20,000</b>	<b>31.06</b>	<b>n/a</b>	<b>10-Jan</b>	<b>800.00</b>	<b>4.0</b>
JPMSI/TOM LEDDY	035	GCO	S	10,000	31.13	n/a	10-Jan	500.00	5.0
NOMURA CAPITAL	045	GETIL	B	100	22.00	n/a	n/a	5.00	5.0
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>GIL</b>	<b>B</b>	<b>3,500</b>	<b>25.52</b>	<b>30-Apr</b>	<b>27-Jun</b>	<b>210.00</b>	<b>6.0</b>
HEALY CIRCLE	044	GT	B	15,000	17.66	n/a	n/a	750.00	5.0
CLOVIS CAPITAL	012	HAS	SS	85,000	35.62	n/a	n/a	4,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>CROWLEY</b>	<b>061</b>	<b>HCGI</b>	<b>S</b>	<b>5,000</b>	<b>0.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAC TRADING HOWARD	038	HIBB	B	25,000	21.48	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	IAT	B	100	26.65	n/a	n/a	5.00	5.0
<b>WYCKOFF</b>	<b>013</b>	<b>JADE</b>	<b>S</b>	<b>1,500</b>	<b>2.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>JADE</b>	<b>S</b>	<b>260</b>	<b>2.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	JCG	B	5,000	31.98	n/a	30-May	250.00	5.0
COUGAR TRADING	044	JCG	SS	10,000	32.14	n/a	30-May	500.00	5.0
FRIESS MGMT	033	JCG	B	293,600	32.49	n/a	30-May	11,744.00	4.0
JP MORGAN VENTURES	046	JOSB	S	25,000	27.26	n/a	n/a	1,250.00	5.0
COUGAR TRADING	044	JWN	B	5,000	31.19	16-May	6-Jun	250.00	5.0
COUGAR TRADING	044	JWN	SS	5,000	30.83	16-May	6-Jun	250.00	5.0
WOOSTER CAPITAL	035	JWN	B	50,000	31.23	16-May	6-Jun	2,500.00	5.0
COUGAR TRADING	044	KSS	B	5,000	40.36	n/a	6-Jun	250.00	5.0
COUGAR TRADING	044	KSS	SS	5,000	40.58	n/a	6-Jun	250.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>KSS</b>	<b>B</b>	<b>200</b>	<b>40.28</b>	<b>n/a</b>	<b>6-Jun</b>	<b>0.00</b>	<b>0.0</b>
FRONTPOINT CHRIS C	041	LAMR	SS	3,400	36.71	n/a	n/a	136.00	4.0
<b>HART</b>	<b>056</b>	<b>LEH</b>	<b>S</b>	<b>34</b>	<b>20.13</b>	<b>9-Jun</b>	<b>17-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LL</b>	<b>B</b>	<b>40,000</b>	<b>13.27</b>	<b>n/a</b>	<b>n/a</b>	<b>1,600.00</b>	<b>4.0</b>
ROYCE TRADING	216	LNK	S	15,000	18.00	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BAYPOINT TRADING/XYLEM	091	LPX	B	75,000	8.67	7-Apr	7-May	3,750.00	5.0
BUCK	015	LVS	SS	1,000	47.95	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	MBI	S	100	3.77	n/a	n/a	5.00	5.0
BUCK CAPITAL MGMT	001	MCD	B	50,000	57.30	9-Jun	9-Jun	2,000.00	4.0
BARR	096	MENT	B	1,200	15.92	n/a	n/a	0.00	0.0
BARR	096	MER	SS	400	32.36	24-Jun	24-Jun	0.00	0.0
NOMURA CAPITAL	045	MGI	B	50,000	0.91	n/a	n/a	1,000.00	2.0
PATTEN & PATTEN	067	MMM	S	200	68.91	n/a	25-Jun	10.00	5.0
FRONTPOINT CHRIS C	041	MSM	B	3,600	44.03	n/a	n/a	144.00	4.0
HUNTER GLOBAL INVESTOR	067	MSM	B	100,000	44.77	n/a	n/a	5,000.00	5.0
BUCK	015	MS	C	2,000	36.30	n/a	19-Jun	0.00	0.0
LUCHANSKY1	036	MTWHG	B	5	1.75	n/a	n/a	0.00	0.0
MCCARTAN	028	MTW	B	200	32.77	n/a	23-May	0.00	0.0
LEDDY	035	MTW	B	300	32.76	n/a	23-May	0.00	0.0
MS PRINCIPAL STRATEGIES	041	NAV	SS	25,000	64.15	n/a	n/a	1,250.00	5.0
WHITE PINE CAPITAL	030	NWY	S	4,450	9.47	n/a	22-May	222.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MATRIX ASSET ADV.	036	OMC	B	4,500	44.44	n/a	n/a	180.00	4.0
FRONTPOINT CHRIS C	041	OSK	S	21,600	20.41	n/a	n/a	864.00	4.0
<b>BARR</b>	<b>096</b>	<b>PAAS</b>	<b>B</b>	<b>400</b>	<b>34.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BAYPOINT TRADING/XYLEM</b>	<b>091</b>	<b>PCL</b>	<b>B</b>	<b>20,000</b>	<b>43.14</b>	<b>29-Apr</b>	<b>29-Apr</b>	<b>1,000.00</b>	<b>5.0</b>
FRONTPOINT CHRIS C	041	PNC	SS	2,000	57.90	n/a	n/a	80.00	4.0
WAGONHOUND/NIC	048	PNRA	B	3,069	47.00	n/a	30-Jun	122.76	4.0
US BANCORP	030	PPL	S	47,526	52.61	n/a	n/a	2,376.30	5.0
MS PRINCIPAL STRATEGIES	041	RDC	B	110,000	46.94	n/a	n/a	5,500.00	5.0
STERLING JOHNSTON	035	RDEN	B	20,000	15.25	10-Jun	24-Jun	800.00	4.0
ROYCE TRADING	216	SFY	S	5,000	65.40	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SKS	B	5,000	11.18	n/a	n/a	250.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>SKS</b>	<b>SS</b>	<b>5,000</b>	<b>11.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>SLB</b>	<b>B</b>	<b>200</b>	<b>107.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	SLGN	B	7,150	51.07	n/a	n/a	286.00	4.0
<b>BARR</b>	<b>096</b>	<b>SLV</b>	<b>B</b>	<b>100</b>	<b>172.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MKT MAKER</b>	<b>099</b>	<b>SSCC</b>	<b>S</b>	<b>200</b>	<b>4.01</b>	<b>7-Apr</b>	<b>26-Jun</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLE ARK	031	SWN	S	101,270	46.84	20-Mar	18-Jun	6,076.20	6.0
THE BOSTON COMPANY	401	SWN	B	20,630	47.71	20-Mar	18-Jun	825.20	4.0
CHILTON CAPITAL MGMT.	036	SWY	S	110,200	28.55	n/a	n/a	4,408.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>TEX</b>	<b>B</b>	<b>200</b>	<b>51.84</b>	<b>n/a</b>	<b>3-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>THI</b>	<b>SS</b>	<b>40,000</b>	<b>28.82</b>	<b>n/a</b>	<b>24-Apr</b>	<b>1,600.00</b>	<b>4.0</b>
RHO CAPITAL MGMT	041	TLT	B	700	92.61	n/a	n/a	28.00	4.0
<b>LEDDY</b>	<b>035</b>	<b>TROW</b>	<b>C</b>	<b>500</b>	<b>56.37</b>	<b>n/a</b>	<b>23-Jun</b>	<b>0.00</b>	<b>0.0</b>
EPOCH INV MGMT	030	TUP	S	13,700	33.12	n/a	n/a	548.00	4.0
PATTEN & PATTEN	067	TXN	S	200	28.23	n/a	n/a	10.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>UA</b>	<b>B</b>	<b>200</b>	<b>25.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	UNT	S	33,380	82.39	20-Mar	18-Jun	1,669.00	5.0
COUGAR TRADING	044	URBN	SS	5,000	31.26	15-May	15-May	250.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>URBN</b>	<b>SS</b>	<b>7,000</b>	<b>31.71</b>	<b>15-May</b>	<b>15-May</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	VZ	C	50,000	34.99	n/a	4-Jan	2,500.00	5.0
ADALY INV MGMT	067	WBC	S	8,800	46.56	30-Apr	11-Jun	440.00	5.0
HUNTER GLOBAL INVESTOR	067	WDR	B	100,000	35.42	22-Apr	23-Jun	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	WSM	SS	50,000	20.08	n/a	n/a	2,000.00	4.0
DANIELS	N25	WYN	B	200	18.17	n/a	n/a	0.00	0.0
SCOPUS FUND	041	WY	B	23,300	51.10	7-Apr	27-May	1,165.00	5.0
OGORMAN	046	XOHO	S	10,000	0.40	n/a	n/a	0.00	0.0
US BANCORP	030	XOM	S	26,934	87.56	n/a	n/a	1,346.70	5.0
<b>TOTAL (Trade Date):</b>							<b>198,312.16</b>		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/27	RHO CAPITAL MGMT (RHOCBSDRAK)	041	ENER	S	1,300	74.27	n/a	n/a	39.00 3.0
AO,CRT	06/27	RHO CAPITAL MGMT (RHOCBSDRAK)	041	ENER	S	1,300	74.27	n/a	n/a	52.00 4.0
AO,	06/27	NOMURA CAPITAL (NOMUNCRAM)	045	GETIL	B	519	20.61	n/a	n/a	25.95 5.0
CRT	06/30	COUGAR TRADING (COUGPB MS)	044	JCG	B	5,000	31.98	n/a	30-May	250.00 5.0
AO,CXL	06/27	RHO CAPITAL MGMT (RHOCBSDRAK)	041	MRVL	S	2,700	17.24	n/a	n/a	81.00 3.0
AO,CRT	06/27	RHO CAPITAL MGMT (RHOCBSDRAK)	041	MRVL	S	2,700	17.24	n/a	n/a	108.00 4.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>315.95</b>

TOTAL (Trade Date) :	198,312.16
TOTAL (AO, CXL, CRT) :	315.95

<b>TOTAL (RUN DATE) / NFS :</b>	<b>198,628.11</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION