



Daily Compliance Activity Report

Trade Date: 02/19/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLENIUUM PARTNERS	028	AEO	S	5,000	16.94	n/a	5-Feb	200.00	4.0
LEVIN CAPITAL STRATEGIES	012	ALV	B	10,000	44.08	n/a	1-Feb	400.00	4.0
WADDELL AND REED	047	AMAT	S	130,300	12.46	n/a	n/a	3,909.00	3.0
JP MORGAN VENTURES	046	AOL	B	10,000	25.16	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	BIG	S	20,000	31.24	n/a	10-Feb	1,000.00	5.0
BROADARCH CAP/OLD NEWC	070	BWA	S	3,600	37.10	n/a	12-Feb	144.00	4.0
MTB INV ADV	034	CKEC	B	16,175	8.20	n/a	n/a	485.25	3.0
EXIS CAPITAL	044	COH	B	5,000	36.29	n/a	21-Jan	250.00	5.0
MILLENIUUM PARTNERS	028	DBRN	B	10,000	24.95	n/a	n/a	400.00	4.0
DAVIDSON INV ADV	035	DFS	S	92,580	13.59	n/a	19-Feb	3,703.20	4.0
HARVEST MGMT	039	ENR	SS	10,000	56.08	n/a	26-Jan	500.00	5.0
MTB INV ADV	034	FOSL	S	10,375	36.61	n/a	n/a	518.75	5.0
LEVIN CAPITAL STRATEGIES	012	F	B	50,000	11.35	n/a	3-Feb	2,000.00	4.0
S.A.C. HEALTHCARE BOBBY	044	GENZ	SS	10,000	55.75	n/a	n/a	350.00	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPIA CAPITAL	048	GEO	B	64,700	18.98	n/a	n/a	2,588.00	4.0
S.A.C. CAP/ORENSTEIN	044	GE	SS	100,000	16.09	n/a	n/a	3,500.00	3.5
MTB INV ADV	034	GIII	S	20,993	20.03	n/a	n/a	1,049.65	5.0
STANDARD PACIFIC CAPITAL	040	GPS	SS	155,000	19.81	n/a	n/a	7,750.00	5.0
CASTLEROCK	040	IOC	S	44,027	67.88	n/a	n/a	1,761.08	4.0
BMO NESBITT BURNS	041	IP	SS	50,000	23.99	n/a	3-Feb	2,500.00	5.0
DIAMONDBACK CAP MGMT	232	IVZ	B	75,000	19.59	n/a	12-Feb	3,750.00	5.0
MILLENIUM PARTNERS	028	JCG	B	20,000	40.89	n/a	n/a	800.00	4.0
RBC CAPITAL MARKETS	040	JCP	S	5,000	27.78	n/a	5-Feb	200.00	4.0
BUCK CAPITAL MGMT	001	JNY	B	50,000	16.91	n/a	10-Feb	2,000.00	4.0
EXIS CAPITAL	044	JWN	B	20,000	35.43	n/a	5-Feb	1,000.00	5.0
HIGHBRIDGE CAP	041	KSS	B	11,947	51.61	n/a	5-Feb	477.88	4.0
BROADARCH CAP/OLD NEWC	070	LVS	B	1,200	16.35	n/a	18-Feb	48.00	4.0
APEX CAPITAL MGMT.	261	MMM	SS	50,000	80.77	n/a	18-Feb	2,000.00	4.0
ROYCE TRADING	216	NR	S	5,000	5.03	n/a	n/a	150.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	NWL	S	50,000	13.77	n/a	n/a	2,500.00	5.0
SCOPUS FUND	041	PKG	S	6,001	22.80	n/a	26-Jan	300.05	5.0
CAXTON PART	040	RKT	B	27,479	40.47	n/a	n/a	961.78	3.5
HARBORWATCH/DIAMBACK	232	ROK	SS	35,000	54.23	n/a	n/a	1,750.00	5.0
BALYASNY ASSET MGMT	044	ROST	C	100,000	46.98	n/a	n/a	5,000.00	5.0
S.A.C. CAP/ORENSTEIN	044	SMH	B	150,000	26.73	n/a	n/a	5,250.00	3.5
BRIGADE CAPITAL MGMT	029	SVU	B	25,000	15.61	n/a	n/a	750.00	3.0
BMO NESBITT BURNS	041	TIN	SS	50,000	19.03	n/a	9-Feb	2,500.00	5.0
SCOPUS FUND	041	TIN	S	20,000	19.03	n/a	9-Feb	1,000.00	5.0
WAGONHOUND/NIC	048	TIN	B	12,831	19.27	n/a	9-Feb	513.24	4.0
ROTHSCHILD ASSET	040	TMP	S	900	36.10	n/a	n/a	36.00	4.0
COUGAR TRADING	044	TM	SS	5,000	72.93	n/a	n/a	250.00	5.0
MILLENIUM PARTNERS	028	WFMI	SS	15,500	33.92	n/a	n/a	620.00	4.0
RBC CAPITAL MARKETS	040	WMT	B	10,000	53.39	n/a	18-Feb	400.00	4.0
RBC CAPITAL MARKETS	040	WYNN	SS	5,000	62.59	n/a	9-Feb	200.00	4.0
SENATOR INVESTMENT GROUP	036	XOP	B	50,000	42.30	n/a	n/a	1,500.00	3.0

TOTAL (Trade Date):

67,465.88

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	02/18	WAGONHOUND/NIC (WAGONIC)	048	BRKA	S	8114,116.13		n/a	n/a	0.32 4.0
AO,	02/18	WAGONHOUND/NIC (WAGONIC)	048	BRKB	S	6,400	76.32	n/a	n/a	256.00 4.0
AO,CXL	02/16	SAB CAPITAL (SABCMORGAN)	034	GGWPQ	B	121,500	12.01	n/a	n/a	6075.00 5.0
AO,CRT	02/16	SAB CAPITAL (SABCGOLDMA)	034	GGWPQ	B	121,500	12.01	n/a	n/a	6075.00 5.0

TOTAL (AO, CXL, CRT) :**256.32**

TOTAL (Trade Date) :

67,465.88

TOTAL (AO, CXL, CRT) :

256.32

TOTAL (RUN DATE) / NFS:**67,722.20**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION