

Daily Compliance Activity Report Trade Date: 06/04/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
PATTEN & PATTEN	067	AB	В	3,550	63.21	9-Apr	2-Jun	177.50	5.0
PATTEN & PATTEN	067	ACL	S	475	157.63	29-Apr	3-Jun	23.75	5.0
BLUE HARBOR	036	ACM	В	6,150	31.50	n/a	n/a	307.50	5.0
TRIVIUM CAP MGMT	251	ADCT	В	50,000	15.73	n/a	n/a	2,000.00	4.0
PATTEN & PATTEN SATELITE/BOBBY	067 044	ADP ADP	S B	300 25,000	43.27 43.31	n/a n/a	n/a n/a	15.00 1,000.00	5.0 4.0
COUGAR TRADING	044	AEO	S	30,000	17.22	6-Mar	2-Jun	1,500.00	5.0
PATTEN & PATTEN	067	AGN	В	6,900	57.71	31-Jan	3-Jun	345.00	5.0
PATTEN & PATTEN	067	AIG	S	3,750	36.62	n/a	n/a	187.50	5.0
MAZAMA CAPITAL MGMT.	035	ALB	В	96,050	44.49	n/a	n/a	3,842.00	4.0
SUNOVA CAPITAL	031	AMG	В	10,000	101.24	7-Apr	2-Jun	500.00	5.0
CATAPULT	040	AMP	S	10,000	46.70	n/a	n/a	500.00	5.0
PATTEN & PATTEN	067	AMP	S	448	46.47	n/a	n/a	22.40	5.0
LISTED ERR	099	AMP	В	10,000	46.79	n/a	n/a	0.00	0.0
LISTED ERR	099	AMP	S	5,434	46.80	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ANF	В	15,000	68.31	10-Apr	2-Jun	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	;/Shr.
COUGAR TRADING	044	ANF	S	25,000	67.69	10-Apr	2-Jun	1,250.00	5.0
BUCK CAPITAL MGMT	015	ANN	SS	3,000	25.99	14-Mar	22-May	80.00	2.0
HARRIS ASSOCIATES	031	APA	S	20,000	131.71	7-May	4-Jun	600.00	3.0
PATTEN & PATTEN	067	APA	S	200	131.24	7-May	4-Jun	10.00	5.0
TRELLUS PARTNERS LP	028	ARGN	В	10,000	11.01	n/a	20-May	500.00	5.0
COUGAR TRADING	044	ARO	В	20,000	33.98	28-Apr	2-Jun	1,000.00	5.0
ZOLIDIS	011	ARO	В	500	33.71	28-Apr	2-Jun	0.00	0.0
WYCKOFF	013	ARO	S	300	34.20	28-Apr	2-Jun	0.00	0.0
TRIVIUM CAP MGMT	251	ARW	SS	50,000	31.58	n/a	n/a	2,000.00	4.0
LUCHANSKY1	036	ATDPF	В	10,000	0.17	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	ATVI	S	36,745	34.02	n/a	n/a	1,837.25	5.0
HEALY CIRCLE	044	AXP	S	35,000	45.29	n/a	n/a	1,750.00	5.0
PATTEN & PATTEN	067	BAC	S	600	32.41	n/a	15-May	30.00	5.0
SUNOVA CAPITAL	031	BLK	В	10,000	218.24	n/a	2-Jun	500.00	5.0
PATTEN & PATTEN	067	BMY	S	2,780	21.60	n/a	n/a	139.00	5.0
PATTEN & PATTEN	067	CAG	S	2,600	23.53	n/a	n/a	130.00	5.0
SCOPUS FUND	041	CAKE	S	27 , 884	19.01	n/a	24-Apr	1,394.20	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
KAICHER	064	CBI	S	500	45.98	n/a	n/a	0.00	0.0
HBK FUNDS MKT MAKER	031 099	CELG CELG	В В	3,100 49	61.40 61.40	n/a n/a	n/a n/a	155.00 0.00	5.0 0.0
LEDDY	035	CHCG	S	1,100	1.63	n/a	n/a	0.00	0.0
ZOLIDIS	011	CHS	SS	1,000	7.66	11-Apr	2-Jun	0.00	0.0
LEDDY	035	CPKI	С	500	13.67	n/a	9-May	0.00	0.0
PATTEN & PATTEN	067	DFS	S	100	15.80	n/a	n/a	5.00	5.0
PATTEN & PATTEN	067	DIS	S	950	33.51	n/a	n/a	47.50	5.0
PATTEN & PATTEN	067	DOV	S	500	53.44	n/a	n/a	25.00	5.0
JMP ASSET MGMT	210	DPS	S	7,500	23.90	n/a	n/a	375.00	5.0
YORK CAPITAL	036	DTV	S	125,000	28.68	n/a	4-Jan	5,000.00	4.0
COUGAR TRADING	044	EL	В	5,000	46.87	7-May	21-May	250.00	5.0
PRIMECAP MGMT	048	EMC	В	21,500	17.56	n/a	n/a	860.00	4.0
BLUE HARBOR	036	EME	В	6,000	28.90	n/a	n/a	300.00	5.0
HOOVER INV. MGMT	033	ENS	В	26,000	31.50	n/a	n/a	1,300.00	5.0
NEWLAND CAPITAL MGT	067	ETN	S	10,000	94.79	14-Apr	20-May	400.00	4.0

Account	RR #	Symbol	B/S	5	Shares	Price	MRI	Research	Comm.	\$/Shr.	
PATTEN & PATTEN	067	EWC		S	300	34.35	n/a	n/a	15.00	5.0	
PATTEN & PATTEN	067	EWH		S	250	19.02	n/a	n/a	12.50	5.0	
BUCK CAPITAL MGMT	001	FDO		SS	1,500	21.75	8-Jan	4-Jun	60.00	4.0	
PATTEN & PATTEN	067	FHN		S	200	10.02	n/a	n/a	10.00	5.0	
SATELITE/BOBBY	044	FL	В		25,000	14.69	2-Apr	23-May	1,000.00	4.0	
виск	015	FNM		SS	1,000	27.05	n/a	n/a	0.00	0.0	
SINCLAIR	031	FQGGB	В		60	0.80	n/a	n/a	0.00	0.0	
KAICHER	064	FTO		s	1,000	29.97	n/a	n/a	0.00	0.0	
LEVIN CAPITAL STRATEGIES	012	GES	В		10,000	43.36	n/a	n/a	400.00	4.0	
PATTEN & PATTEN	067	GE	В		300	30.55	n/a	n/a	15.00	5.0	
COUGAR TRADING	044	GIL		S	5,000	29.01	30-Apr	28-May	250.00	5.0	
YORK CAPITAL	036	GM	В		250,000	17.10	29-Apr	4-Jun	12,500.00	5.0	
PATTEN & PATTEN	067	GS		S	250	172.55	19-Mar	22-May	12.50	5.0	
BLUE HARBOR	036	GVA		S	35,300	36.60	n/a	n/a	1,765.00	5.0	
BALYASNY ASSET MGMT	044	GYMB	В		50,000	43.73	3-Jun	3-Jun	2,500.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CASTLEROCK	040	GYMB	S	40,000	43.11	3-Jun	3-Jun	1,600.00	4.0
COUGAR TRADING	044	GYMB	В	25,000	43.75	3-Jun	3-Jun	1,250.00	5.0
EGS ASSOCIATES LP	091	GYMB	S	s 6,000	44.23	3-Jun	3-Jun	360.00	6.0
BUCK CAPITAL MGMT	001	HBI	S	s 1,000	32.16	n/a	n/a	40.00	4.0
PATTEN & PATTEN	067	HCF	В	5,200	14.33	n/a	n/a	260.00	5.0
PATTEN & PATTEN	067	HD	S	2,700	27.03	n/a	27-Jul	135.00	5.0
BENCHMARK	028	HIL	S	5,000	15.90	n/a	n/a	250.00	5.0
STELLIAM INV ADV	040	HOV	S	50,000	7.87	n/a	n/a	1,500.00	3.0
PATTEN & PATTEN	067	HST	S	1,900	16.86	n/a	n/a	95.00	5.0
NEWLAND CAPITAL MGT	067	IBI	S	15,000	18.27	n/a	n/a	600.00	4.0
GAULT	063	ICON	S	1,900	12.82	n/a	n/a	0.00	0.0
SUTERA	160	ILA	S	6,000	3.82	n/a	n/a	0.00	0.0
DIAMONDBACK CAP MGMT	232	INTC	С	100,000	23.55	n/a	n/a	5,000.00	5.0
NOMURA CAPITAL	045	IPHS	S	4,800	28.96	n/a	n/a	240.00	5.0
LEDDY	035	IVZ	S	500	27.77	10-Apr	2-Jun	0.00	0.0
KAICHER	064	JASO	В	2,000	20.52	n/a	n/a	0.00	0.0
SCOPUS FUND	041	JBHT	В	15,000	34.97	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BUCK CAPITAL MGMT	001	JCP	В	50,000	39.46	n/a	2-Jun	2,000.00	4.0
THE JAY GOLDMAN	034	JCP	В	10,000	39.36	n/a	2-Jun	400.00	4.0
PATTEN & PATTEN	067	JNJ	S	500	66.50	n/a	22-Apr	25.00	5.0
STG INVESTORS	041	JNPR	SS	50,000	27.03	n/a	22-Mar	2,000.00	4.0
GRUBER MACBAINE	033	JPM	В	15,000	41.80	8-May	15-May	600.00	4.0
EPOCH INV MGMT	030	KMT	В	9,250	37.47	n/a	3-Jun	370.00	4.0
PATTEN & PATTEN	067	KO	В	2,350	56.91	n/a	n/a	117.50	5.0
BUCK	015	KSS	SS	1,000	45.39	n/a	2-Jun	0.00	0.0
PATTEN & PATTEN	067	LAZ	В	13,500	37.79	n/a	n/a	675.00	5.0
BUCK	015	LEH	С	700	32.28	1-Apr	22-May	0.00	0.0
LEDDY	035	LEH	В	1,300	32.86	1-Apr	22-May	0.00	0.0
HARRIS ASSOCIATES	031	LH	S	25,000	74.60	n/a	n/a	750.00	3.0
PATTEN & PATTEN	067	LLY	S	200	48.89	n/a	n/a	10.00	5.0
LBACCICIND	005	LM	В	2,000	54.06	7-May	2-Jun	0.00	0.0
LBACENTRP	005	LM	В	2,000	54.08	7-May	2-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	LTD	В	250,000	18.91	n/a	2-Jun	10,000.00	4.0
SINCLAIR	031	LYHAI	В	40	2.13	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
PATTEN & PATTEN	067	MAR	S	200	31.69	n/a	n/a	10.00	5.0
BLUE HARBOR	036	MAS	В	16,900	18.31	n/a	n/a	845.00	5.0
PATTEN & PATTEN	067	MBI	S	2,400	6.69	n/a	n/a	120.00	5.0
SATELITE/BOBBY	044	MCD	SS	5,000	58.43	n/a	2-Jun	200.00	4.0
PATTEN & PATTEN	067	MDT	S	200	51.61	n/a	22-Apr	10.00	5.0
LEDDY	035	MER	s	300	41.15	18-Jan	22-May	0.00	0.0
PRIMECAP MGMT	048	MF	В	2,000	13.86	n/a	n/a	80.00	4.0
PATTEN & PATTEN	067	MHP	S	1,000	41.61	n/a	n/a	50.00	5.0
PATTEN & PATTEN	067	MIC	В	100	32.94	n/a	n/a	5.00	5.0
PATTEN & PATTEN	067	MIC	S	3,400	32.85	n/a	n/a	170.00	5.0
BULL PATH CAPITAL	044	MPEL	В	25,000	11.11	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	MRK	В	300	38.11	n/a	n/a	15.00	5.0
REINHART & MAHONEY	031	MSM	S	8,180	52.99	n/a	n/a	409.00	5.0
PATTEN & PATTEN	067	MS	S	400	43.52	n/a	22-May	20.00	5.0
OGORMAN	046	MS	В	500	43.40	n/a	22-May	0.00	0.0
ROSEDALE CAPITAL	046	MYL	S	10,000	13.44	30-May	3-Jun	500.00	5.0

Account	RR #	Symbol	в/:	s	Shares	Price	MRI	Research	Comm.	Shr.
THE JAY GOLDMAN	034	М		S	15,000	22.46	7-Feb	2-Jun	600.00	4.0
ROARING BLUE LION MGMT	012	NLY		S	20,000	17.35	n/a	n/a	800.00	4.0
PERRY PARTNERS	041	NMX	В		5,100	81.79	n/a	n/a	153.00	3.0
PAW PARTNERS	041	NOVL	В		59,000	6.92	n/a	n/a	1,770.00	3.0
ROYCE TRADING	216	NR		S	25,000	7.70	n/a	n/a	1,250.00	5.0
PAW PARTNERS	041	NVDA	В		29 , 500	23.64	n/a	n/a	885.00	3.0
PRIMECAP MGMT	048	NVDA	В		11,000	23.97	n/a	n/a	440.00	4.0
PRIMECAP MGMT	048	NVS	В		1,000	52.43	n/a	n/a	40.00	4.0
PZENA INV ADV	044	ORCL		S	500,400	23.04	n/a	n/a	20,016.00	4.0
BLUE HARBOR	036	OSK	В		4,150	39.50	n/a	n/a	207.50	5.0
PATTEN & PATTEN	067	OSK	В		400	39.44	n/a	n/a	20.00	5.0
PATTEN & PATTEN	067	OSK		S	100	39.23	n/a	n/a	5.00	5.0
PATTEN & PATTEN	067	OXY		S	100	89.13	n/a	n/a	5.00	5.0
BMO NESBITT BURNS	041	PAG		SS	50,000	19.27	22-Apr	30-Apr	2,500.00	5.0
ROYCE TRADING	216	PAG	В		10,000	19.56	22-Apr	30-Apr	500.00	5.0
COHE & STEERS	091	PCL	В		106,400	46.38	29-Apr	29-Apr	4,256.00	4.0
PATTEN & PATTEN	067	PCX		S	300	120.41	n/a	n/a	15.00	5.0
	THE JAY GOLDMAN ROARING BLUE LION MGMT PERRY PARTNERS PAW PARTNERS ROYCE TRADING PAW PARTNERS PRIMECAP MGMT PRIMECAP MGMT PZENA INV ADV BLUE HARBOR PATTEN & PATTEN PATTEN & PATTEN PATTEN & PATTEN BMO NESBITT BURNS ROYCE TRADING COHE & STEERS	THE JAY GOLDMAN 034 ROARING BLUE LION MGMT 012 PERRY PARTNERS 041 PAW PARTNERS 041 ROYCE TRADING 216 PAW PARTNERS 041 PRIMECAP MGMT 048 PRIMECAP MGMT 048 PZENA INV ADV 044 BLUE HARBOR 036 PATTEN & PATTEN 067 PATTEN & PATTEN 067 PATTEN & PATTEN 067 BMO NESBITT BURNS 041 ROYCE TRADING 216 COHE & STEERS 091	THE JAY GOLDMAN 034 M ROARING BLUE LION MGMT 012 NLY PERRY PARTNERS 041 NMX PAW PARTNERS 041 NOVL ROYCE TRADING 216 NR PAW PARTNERS 041 NVDA PRIMECAP MGMT 048 NVDA PRIMECAP MGMT 048 NVS PZENA INV ADV 044 ORCL BLUE HARBOR 036 OSK PATTEN & PATTEN 067 OXY BMO NESBITT BURNS 041 PAG ROYCE TRADING 216 PAG COHE & STEERS 091 PCL	THE JAY GOLDMAN 034 M ROARING BLUE LION MGMT 012 NLY PERRY PARTNERS 041 NMX B PAW PARTNERS 041 NOVL B ROYCE TRADING 216 NR PAW PARTNERS 041 NVDA B PRIMECAP MGMT 048 NVDA B PRIMECAP MGMT 048 NVS B PZENA INV ADV 044 ORCL BLUE HARBOR 036 OSK B PATTEN & PATTEN 067 OSK B PATTEN & PATTEN 067 OSK B PATTEN & PATTEN 067 OXY BMO NESBITT BURNS 041 PAG ROYCE TRADING 216 PAG B COHE & STEERS 091 PCL B	THE JAY GOLDMAN ROARING BLUE LION MGMT PERRY PARTNERS 041 NMX B PAW PARTNERS 041 NOVL B ROYCE TRADING 216 NR S PAW PARTNERS 041 NVDA B PRIMECAP MGMT 048 NVDA B PZENA INV ADV 044 ORCL S BLUE HARBOR PATTEN & PATTEN 067 OSK B PATTEN & PATTEN 067 OSK B PATTEN & PATTEN 067 OSK S PATTEN & PATTEN 067 OXY S BMO NESBITT BURNS ROYCE TRADING 216 PAG B COHE & STEERS 091 PCL B	THE JAY GOLDMAN ROARING BLUE LION MGMT O12 NLY S 20,000 PERRY PARTNERS O41 NMX B 5,100 PAW PARTNERS O41 NOVL B 59,000 ROYCE TRADING 216 NR S 25,000 PAW PARTNERS O41 NVDA B 29,500 PRIMECAP MGMT O48 NVDA B 11,000 PRIMECAP MGMT O48 NVS B 1,000 PZENA INV ADV O44 ORCL S 500,400 BLUE HARBOR PATTEN & PATTEN O67 OSK B 400 PATTEN & PATTEN O67 OSK S 100 BMO NESBITT BURNS ROYCE TRADING O11 PAG SS 50,000 ROYCE TRADING O12 NLY S 20,000 S 59,000 S 400 S 500,400 BLOOR BLOOR BLOOR BLOOR BLOOR BMO NESBITT BURNS O41 PAG ROYCE TRADING O41 PAG B 106,400 COHE & STEERS O91 PCL B 106,400	THE JAY GOLDMAN 034 M S 15,000 22.46 ROARING BLUE LION MGMT 012 NLY S 20,000 17.35 PERRY PARTNERS 041 NMX B 5,100 81.79 PAW PARTNERS 041 NOVL B 59,000 6.92 ROYCE TRADING 216 NR S 25,000 7.70 PAW PARTNERS 041 NVDA B 29,500 23.64 PRIMECAP MGMT 048 NVDA B 11,000 23.97 PRIMECAP MGMT 048 NVS B 1,000 52.43 PZENA INV ADV 044 ORCL S 500,400 23.04 BLUE HARBOR 036 OSK B 4,150 39.50 PATTEN & PATTEN 067 OSK B 400 39.44 PATTEN & PATTEN 067 OSK B 100 39.23 PATTEN & PATTEN 067 OSK S 100 39.23 PATTEN & PATTEN 067 OSK S 100 39.23 BMO NESBITT BURNS 041 PAG SS 50,000 19.27 ROYCE TRADING 216 PAG B 106,400 46.38	THE JAY GOLDMAN 034 M S 15,000 22.46 7-Feb ROARING BLUE LION MGMT 012 NLY S 20,000 17.35 n/a PERRY PARTNERS 041 NMX B 5,100 81.79 n/a PAW PARTNERS 041 NOVL B 59,000 6.92 n/a ROYCE TRADING 216 NR S 25,000 7.70 n/a PAW PARTNERS 041 NVDA B 29,500 23.64 n/a PRIMECAP MGMT 048 NVDA B 11,000 23.97 n/a PRIMECAP MGMT 048 NVS B 1,000 52.43 n/a PZENA INV ADV 044 ORCL S 500,400 23.04 n/a BLUE HARBOR 036 OSK B 4,150 39.50 n/a PATTEN & PATTEN 067 OSK B 400 39.44 n/a PATTEN & PATTEN 067 OSK S 100 39.23 n/a PATTEN & PATTEN 067 OSK S 100 39.23 n/a BMO NESBITT BURNS 041 PAG SS 50,000 19.27 22-Apr ROYCE TRADING 216 PAG B 10,000 19.56 22-Apr ROYCE TRADING 216 PAG B 10,000 19.56 22-Apr	THE JAY GOLDMAN 034 M S 15,000 22.46 7-Feb 2-Jun ROARING BLUE LION MGMT 012 NLY S 20,000 17.35 n/a n/a PERRY PARTNERS 041 NMX B 5,100 81.79 n/a n/a PAW PARTNERS 041 NOVL B 59,000 6.92 n/a n/a ROYCE TRADING 216 NR S 25,000 7.70 n/a n/a PAW PARTNERS 041 NVDA B 29,500 23.64 n/a n/a PRIMECAP MGMT 048 NVDA B 11,000 23.97 n/a n/a PRIMECAP MGMT 048 NVS B 1,000 52.43 n/a n/a PERMA INV ADV 044 ORCL S 500,400 23.04 n/a n/a BLUE HARBOR 036 OSK B 4,150 39.50 n/a n/a PATTEN & PATTEN 067 OSK B 400 39.44 n/a n/a PATTEN & PATTEN 067 OSK B 100 39.23 n/a n/a PATTEN & PATTEN 067 OSK S 100 39.23 n/a n/a PATTEN & PATTEN 067 OSK S 100 89.13 n/a n/a PATTEN & PATTEN 067 OXY S 100 89.13 n/a n/a BMO NESBITT BURNS 041 PAG SS 50,000 19.27 22-Apr 30-Apr ROYCE TRADING 216 PAG B 10,000 19.56 22-Apr 30-Apr ROYCE TRADING 216 PAG B 10,000 19.56 22-Apr 30-Apr 29-Apr	THE JAY GOLDMAN 034 M S 15,000 22.46 7-Feb 2-Jun 600.00 ROARING BLUE LION MGMT 012 NLY S 20,000 17.35 N/a N/a 800.00 PERRY PARTNERS 041 NMX B 5,100 81.79 N/a N/a 153.00 RAW PARTNERS 041 NOVL B 59,000 6.92 N/a N/a 1,770.00 ROYCE TRADING 216 NR S 25,000 7.70 N/a N/a N/a 1,250.00 PAW PARTNERS 041 NVDA B 29,500 23.64 N/a N/a 1,250.00 PRIMECAP MGMT 048 NVDA B 11,000 23.97 N/a N/a N/a 440.00 PRIMECAP MGMT 048 NVS B 1,000 52.43 N/a N/a N/a 400.00 PZENA INV ADV 044 ORCL S 500,400 23.04 N/a N/a N/a 20,016.00 PATTEN & PATTEN 067 OSK B 400 39.50 N/a N/a N/a 2000 PATTEN & PATTEN 067 OSK B 100 39.23 N/a N/a N/a 5.00 PATTEN & PATTEN 067 OSK B 100 39.23 N/a N/a N/a 5.00 PATTEN & PATTEN 067 OSK S 100 39.23 N/a N/a N/a 5.00 PATTEN & PATTEN 067 OSK S 100 89.13 N/a N/a N/a 5.00 PATTEN 067 OSK S 100 89.13 N/a N/a N/a 5.00 PATTEN 067 OSK S 100 89.13 N/a N/a N/a 5.00 PATTEN 067 OSK S 100 89.13 N/a N/a N/a 5.00 PATTEN 067 OSK S 100 89.13 N/a

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BALYASNY ASSET MGMT	044	PG	В	50,000	65.72	n/a	29-May	2,500.00	5.0
MAZAMA CAPITAL MGMT.	035	PII	В	22,600	46.80	n/a	n/a	904.00	4.0
ELM RIDGE ADVISORS	044	PKG	S	17,421	25.70	7-Apr	22-Apr	696.84	4.0
CRAMER ROSENTHAL/SCOTT	012	PLCE	S	27,500	32.92	3-Jun	3-Jun	1,100.00	4.0
EGS ASSOCIATES LP	091	PLCE	SS	15,000	34.06	3-Jun	3-Jun	900.00	6.0
REINHART & MAHONEY	031	PRGS	В	10,280	30.45	n/a	n/a	514.00	5.0
BUCK CAPITAL MGMT	001	PVH	В	4,000	44.24	5-May	22-May	160.00	4.0
LEVIN CAPITAL STRATEGIES	012	PVH	В	20,000	43.91	5-May	22-May	800.00	4.0
PATTEN & PATTEN	067	Q	S	2,000	4.76	n/a	n/a	100.00	5.0
SAXON WOODS ADVISORS	038	RAI	В	50,000	55.10	n/a	n/a	2,000.00	4.0
COUGAR TRADING	044	RDEN	В	10,000	14.08	2-May	2-May	500.00	5.0
BLUE HARBOR	036	RRR	В	7,500	11.69	n/a	23-May	375.00	5.0
COHE & STEERS	091	RYN	В	50,000	47.17	23-Apr	23-Apr	2,000.00	4.0
ZOLIDIS	011	SHOE	SS	400	0.89	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	SLB	S	100	100.30	n/a	n/a	5.00	5.0
LEDDY	035	SLB	S	200	100.11	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	SNA	S	25,000	60.38	n/a	n/a	750.00	3.0

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Account	RR #	Symbol	В/	s	Shares	Price	MRI	Research	Comm. (Shr.	
BMO NESBITT BURNS	041	SSCC		S	50,000	6.68	7-Apr	23-Apr	2,500.00	5.0	
PATTEN & PATTEN	067	SWN		S	400	43.76	20-Mar	4-Jun	20.00	5.0	
PZENA INV ADV	044	TMK		S	22,400	62.46	n/a	n/a	896.00	4.0	
BECK MACK & OLIVER LLC	045	TMM	В		10,000	2.00	n/a	n/a	300.00	3.0	
BLUE HARBOR	036	TXI	В		2,800	73.19	n/a	n/a	140.00	5.0	
TRUST CO/COWEN/CALF.	040	UNP		S	89,300	79.34	n/a	n/a	4,465.00	5.0	
BEAR STEARNS ASSET MGMT.	033	URBN	В		40,000	31.48	15-May	15-May	1,600.00	4.0	
GOLD SACHS SPECIAL SIT	030	URBN		SS	150,000	31.36	15-May	15-May	7,500.00	5.0	
BLUE HARBOR	036	URS	В		4,850	47.07	n/a	n/a	242.50	5.0	
JP MORGAN VENTURES	046	VFC	В		10,000	74.22	n/a	n/a	500.00	5.0	
CLOVIS CAPITAL	012	VMC		SS	50,000	73.60	n/a	n/a	2,500.00	5.0	
PATTEN & PATTEN	067	V		S	2,480	86.93	n/a	n/a	124.00	5.0	
SATELITE/BOBBY	044	WMT		S	25,000	57.90	13-May	4-Jun	1,000.00	4.0	
PATTEN & PATTEN	067	XLU		S	200	41.00	n/a	n/a	10.00	5.0	
PERRY PARTNERS	041	YHOO	В		82,400	26.78	n/a	n/a	2,472.00	3.0	
SATELITE/BOBBY	044	ZQK	В		7,500	8.80	5-Feb	30-May	300.00	4.0	

TOTAL (Trade Date): 153,696.94

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
AO,	06/03	STARK ASSET MGMT (STRKCITI)	031	F	В	6,899	6.63	25-Apr	4-Jun	275.96	4.0
AO,CXL	06/03	MILLRACE FUND LP (MILRGS)	028	PLCE	S	16,100	32.64	3-Jun	3-Jun	805.00	5.0
AO,	06/03	MILLRACE FUND LP (MILRGS)	028	PLCE	S	16,100	32.64	3-Jun	3-Jun	644.00	4.0
TOTAL	(AO, (CXL, CRT):							:	114.96	

TOTAL (RUN DATE) / NFS:	153,811.90
TOTAL (AO, CXL, CRT):	114.96
TOTAL (Trade Date):	153,696.94

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION