



# Daily Compliance Activity Report

Trade Date: 07/02/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ADS GR	SS	30,000	37.56	n/a	n/a	non-brg	1.9
BUCK CAPITAL MGMT	001	AEO	B	60,000	13.75	25-Jun	25-Jun	2,400.00	4.0
BUCK CAPITAL MGMT		AEO	B	35,000	13.69	25-Jun	25-Jun	non-brg	4.0
BUCK CAPITAL MGMT		ARO	B	10,000	34.04	n/a	5-Jun	non-brg	1.0
BUCK CAPITAL MGMT		ARO	B	15,000	33.98	n/a	5-Jun	non-brg	4.0
BUCK CAPITAL MGMT	001	AVP	SS	20,000	25.88	2-Jun	2-Jun	800.00	4.0
EDWARD W WHEELER	FID	AVY	B	2000	25.47	28-Apr	28-Apr	0.00	0.0
BUCK CAPITAL MGMT		AXL	B	150,000	3.25	10-Jun	10-Jun	non-brg	3.0
CENTURION PARTNERS	041	AXL	B	10,000	3.18	10-Jun	10-Jun	300.00	3.0
COUGAR TRADING	044	AXL	B	25,000	3.23	10-Jun	10-Jun	750.00	3.0
BUCK CAPITAL MGMT	001	BBY	B	10,000	32.37	n/a	27-Jul	400.00	4.0
BUCK CAPITAL MGMT		BBY	B	15,000	32.33	n/a	27-Jul	non-brg	1.0
STRATTON PAR TNERSHI	FID	BKXV	S	1	1.40	n/a	n/a	0.00	0.0
NEIL M STRATTON	FID	CASH	B	200	19.99	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		CCL	SS	20,000	25.35	n/a	26-Jan	non-brg	4.0
BUCK CAPITAL MGMT		CIT	B	100,000	2.00	23-Apr	1-Jul	non-brg	1.0
BUCK CAPITAL MGMT		CIT	B	100,000	2.02	23-Apr	1-Jul	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	David Keidan		CIT	B	100000	2.01	23-Apr	1-Jul	0.00	0.0
Õ	David Keidan		CIT	B	100000	2.01	23-Apr	1-Jul	0.00	0.0
	BUCK CAPITAL MGMT	001	CLX	SS	10,000	56.04	15-Jun	15-Jun	400.00	4.0
ß	BUCK CAPITAL MGMT		CMG	SS	10,000	80.65	14-May	11-Jun	non-brg	4.0
	BUCK CAPITAL MGMT	001	COH	B	30,000	26.01	n/a	22-Apr	1,200.00	4.0
ß	BUCK CAPITAL MGMT		COST	SS	20,000	45.15	n/a	27-Jul	non-brg	4.0
	RAIL-SPLITTER	031	CPKI	B	56,500	13.50	29-May	1-Jul	2,825.00	5.0
ß	BUCK CAPITAL MGMT		CRM	SS	30,000	38.05	n/a	n/a	non-brg	4.0
	JP MORGAN VENTURES	046	CWTR	S	30,000	6.35	n/a	n/a	900.00	3.0
	STRAUSS ASSET MGMT	040	CYBS	S	10,000	14.53	n/a	n/a	400.00	4.0
	CIRCLE T/SES PART	035	DAI	B	5,000	35.31	n/a	n/a	200.00	4.0
ß	BUCK CAPITAL MGMT		DAL	B	25,000	5.93	n/a	n/a	non-brg	3.0
	SIGMA CAPITAL ASSOCIATES	041	EAT	B	25,000	17.17	n/a	24-Apr	1,000.00	4.0
ß	BUCK CAPITAL MGMT		EL	SS	20,000	32.51	5-May	5-May	non-brg	4.0
	COLUMBIA PARTNERS	028	EPR	B	2,576	20.25	n/a	n/a	103.04	4.0
	FRONTPOINT/COPIA-ANDREW	031	ESV	B	34,800	34.00	n/a	n/a	1,218.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		FL	SS	40,000	10.16	27-Apr	26-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		FOR	B	31,400	11.64	29-Jun	29-Jun	non-brg	1.0
f MANNY I KORMAN	FID	FSIXX	S	273955	1.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GCO	B	27,000	18.18	n/a	10-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		GES	B	20,000	24.98	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		GRMN	SS	20,000	23.58	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GYMB	S	50,000	34.01	21-May	5-Jun	non-brg	4.0
HUNTER GLOBAL INVESTOR	067	GYMB	B	37,500	34.29	21-May	5-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		HGG	B	10,000	15.32	n/a	n/a	non-brg	1.0
f DAVID GEORGE BUCK	FID	HIW	B	2500	21.12	n/a	n/a	0.00	0.0
TRUST CO OF THE WEST/NY	040	HK	B	104,260	20.75	n/a	n/a	4,170.40	4.0
TRUST CO/COWEN/CALF.	040	HK	B	45,800	20.75	n/a	n/a	1,832.00	4.0
ß BUCK CAPITAL MGMT		HOG	SS	20,000	15.45	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		HOG	SS	20,000	15.81	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	HOT	SS	20,000	21.03	n/a	n/a	800.00	4.0
f STRATTON PAR TNERSHI	FID	HTZXA	S	3	0.60	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	IDC	S	14,240	23.17	n/a	n/a	640.80	4.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		IGT	B	10,000	15.02	n/a	27-Apr	non-brg 1.0
B BUCK CAPITAL MGMT		IGT	B	10,000	15.04	n/a	27-Apr	non-brg 1.0
B BUCK CAPITAL MGMT		IMAX	S	20,000	8.12	n/a	n/a	non-brg 3.0
BALYASNY ASSET MGMT	044	JCG	B	25,000	25.83	n/a	30-Jan	1,250.00 5.0
BUCK CAPITAL MGMT	001	JCG	B	20,000	25.55	n/a	30-Jan	800.00 4.0
DIAMONDBACK CAP MGMT	232	JCG	SS	25,000	25.32	n/a	30-Jan	1,250.00 5.0
T. ROWE PRICE	033	JNPR	B	1,343,300	23.63	n/a	22-Mar	40,299.00 3.0
B BUCK CAPITAL MGMT		JOSB	B	10,000	32.85	n/a	n/a	non-brg 4.0
f JOHN MICHAEL ZOLIDIS	FID	JPM	B	90	33.16	n/a	30-Jun	0.00 0.0
BUCK CAPITAL MGMT	001	JWN	SS	20,000	19.02	20-Feb	5-Jun	800.00 4.0
f ROBERT M DANIELS	FID	KEY	B	155	5.20	n/a	n/a	0.00 0.0
f ROBERT M DANIELS	FID	KEY	B	350	5.21	n/a	n/a	0.00 0.0
f JOHN MICHAEL ZOLIDIS	FID	KMXJC	B	20	1.30	n/a	n/a	0.00 0.0
DIAMONDBACK CAP MGMT	232	KO	B	35,000	49.37	n/a	n/a	1,750.00 5.0
B BUCK CAPITAL MGMT		KSS	B	12,000	41.70	8-May	5-Jun	non-brg 4.0
NEWCASTLE FUNDS	255	KSS	SS	23,500	42.08	8-May	5-Jun	940.00 4.0
TRUST CO/COWEN/CALF.	040	K	B	19,390	46.95	n/a	n/a	969.50 5.0
B BUCK CAPITAL MGMT		LM	B	25,000	23.90	25-Jun	2-Jul	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
T. ROWE PRICE	033	LOW	B	572,100	18.72	12-May	18-May	17,163.00	3.0
BUCK CAPITAL MGMT	001	MAR	SS	20,000	20.57	n/a	n/a	800.00	4.0
Ⓐ BUCK CAPITAL MGMT		MNRO	B	10,000	24.63	n/a	n/a	non-brg	1.0
UBS OCONNOR	031	MS	B	35,000	27.40	11-May	1-Jul	1,050.00	3.0
TRUST CO/COWEN/CALF.	040	MU	B	64,940	5.03	n/a	n/a	2,597.60	4.0
BUCK CAPITAL MGMT	001	NKE	SS	20,000	51.72	n/a	n/a	800.00	4.0
PENNANT CAP MGMT	029	NSM	SS	300,000	12.40	n/a	n/a	9,000.00	3.0
SENATOR INVESTMENT GROUP	036	OLN	B	66,800	11.67	n/a	20-Jun	2,672.00	4.0
Ⓐ BUCK CAPITAL MGMT		PERY	S	14,485	7.04	n/a	n/a	non-brg	1.0
MILLENIUM PARTNERS	028	PH	SS	25,000	42.00	n/a	19-Jun	1,250.00	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	50,000	16.59	8-Apr	23-Jun	2,500.00	5.0
Ⓐ BUCK CAPITAL MGMT		PLCE	S	21,000	25.98	19-Mar	5-Jun	non-brg	1.0
SUN AMERICA ASSET MGMT	030	PRGO	S	2,000	27.47	n/a	1-Jul	80.00	4.0
BUCK CAPITAL MGMT	001	PSS	B	35,000	13.88	n/a	n/a	1,400.00	4.0
CATAPULT	040	PTV	B	30,000	21.28	n/a	n/a	1,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	PVH	B	20,000	27.95	20-Apr	21-May	800.00	4.0
f ANDREW SINCLAIR	FID	QQQIJ	B	65	1.60	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		RL	B	9,700	51.64	25-Jun	25-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		SBUX	SS	50,000	13.50	20-Apr	30-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		SBUX	SS	50,000	13.72	20-Apr	30-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		SKS	B	70,000	4.60	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	SKX	S	32,100	9.54	n/a	13-Mar	963.00	3.0
f EDWARD W WHEELER	FID	SPNC	S	5200	5.10	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	SPY	B	1000	90.31	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	SZCSI	S	140	0.81	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	TBT	B	50	50.70	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	TBT	B	500	50.85	n/a	n/a	0.00	0.0
f KEVIN MICHAEL O'GORM	FID	TBT	B	500	50.87	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		THI	SS	10,000	24.56	n/a	24-Apr	non-brg	4.0
BMO NESBITT BURNS	041	TIN	B	25,000	12.98	5-Jun	5-Jun	1,250.00	5.0
CATAPULT	040	TIN	B	30,000	12.96	5-Jun	5-Jun	1,500.00	5.0
FIRST NY SEC/CHRIS	041	TIN	B	25,000	12.82	5-Jun	5-Jun	1,250.00	5.0
SCHOTTENFELD ASSOC	041	TIN	B	30,000	13.05	5-Jun	5-Jun	900.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	TIN	B	25,000	13.04	5-Jun	5-Jun	1,250.00	5.0
CIRCLE T/SES PART	035	TM	B	7,500	73.84	n/a	n/a	300.00	4.0
CIRCLE T/SES PART	035	TM	SS	5,000	73.89	n/a	n/a	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>130,000</b>	<b>19.92</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WFT</b>	<b>B</b>	<b>10,000</b>	<b>18.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	WRC	B	5,000	33.00	13-May	13-May	250.00	5.0
JP MORGAN VENTURES	046	WRC	SS	20,000	32.21	13-May	13-May	1,000.00	5.0
CATAPULT	040	YHOO	B	110,000	15.03	n/a	n/a	5,500.00	5.0
<b>TOTAL (Trade Date):</b>								<b>124,373.34</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/01	MELLON/BANK OF NEW YORK	046	AIG	S	10,000	17.77	n/a	n/a	500.00 5.0
AO,CRT	07/01	PHILADELPHIA FINANCIAL	033	BLK	B	27,500	174.66	12-Jun	2-Jul	1300.00 4.5
AO,CRT	07/01	METROPOLITAN CAPITAL	044	BWA	SS	12,600	34.87	10-Jun	10-Jun	630.00 5.0
AO,CXL	07/01	METROPOLITAN CAPITAL	044	BWA	SS	12,600	34.87	10-Jun	10-Jun	0.00 0.0
AO,CXL	07/01	HOLDEN CAPITAL MGMT	035	MPEL	SS	100,000	4.59	23-Jun	23-Jun	5000.00 5.0
AO,CRT	07/01	HOLDEN CAPITAL MGMT	035	MPEL	SS	100,000	4.59	23-Jun	23-Jun	5000.00 5.0
AO,CXL	06/30	BALYASNY ASSET MGMT	044	PLCE	B	75,000	26.42	19-Mar	5-Jun	3750.00 5.0
AO,	06/30	BALYASNY ASSET MGMT	044	PLCE	B	75,000	26.42	19-Mar	5-Jun	3750.00 5.0
AO,	07/01	WHITE PINE CAPITAL	030	TLT	SS	250	93.65	n/a	n/a	12.50 5.0

**TOTAL (AO, CXL, CRT) :****2,442.50**

TOTAL (Trade Date):

124,373.34

TOTAL (AO, CXL, CRT):

2,442.50

**TOTAL (RUN DATE) / NFS:****126,815.84**



MRI Securities with Trade Activity on 2-Jul.  
NONE

MRI Securities without Trade Activity on 2-Jul.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 26%  
YTD = 20%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**