



Daily Compliance Activity Report

Trade Date: 06/13/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	AAP	B	287,500	39.22	n/a	n/a	11,500.00	4.0
BUCK	015	ABV	B	700	69.55	n/a	n/a	0.00	0.0
SINCLAIR	031	ADWJJ	B	7	9.60	n/a	n/a	0.00	0.0
LEDDY	035	AER	B	1,000	12.87	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	ALKS	B	10,000	11.79	n/a	n/a	400.00	4.0
MERRILL LYNCH STRATEGICI	034	AMZN	SS	5,000	79.65	n/a	n/a	300.00	6.0
COUGAR TRADING	044	ANF	S	10,000	68.07	10-Apr	6-Jun	500.00	5.0
J L F ASSET MGMT	039	ANF	B	30,000	68.96	10-Apr	6-Jun	1,200.00	4.0
SINCLAIR	031	APAFY	S	20	2.14	n/a	n/a	0.00	0.0
MORRISON&FRASIER/BUTLER	040	ARD	B	8,000	50.09	n/a	n/a	400.00	5.0
LEDDY	035	ARGN	B	1,400	10.30	n/a	9-Jun	0.00	0.0
COUGAR TRADING	044	ARO	SS	5,000	31.29	28-Apr	6-Jun	250.00	5.0
BULL PATH CAPITAL	044	BARE	B	55,000	20.63	n/a	n/a	2,750.00	5.0
PILOT ROCK	028	BBY	SS	100,000	45.64	n/a	27-Jul	4,000.00	4.0
HIGHBRIDGE CAPITAL	038	BNI	B	11,000	104.12	n/a	n/a	550.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PERRY PARTNERS	041	BUD	B	119,300	61.08	n/a	n/a	3,579.00	3.0
TROUBH PART	067	CAT	B	5,100	81.00	n/a	23-May	255.00	5.0
LEDDY	035	CHCG	S	4,000	1.43	n/a	n/a	0.00	0.0
KORMAN	003	CHCG	S	1,000	1.42	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	COH	B	18,100	33.16	4-Apr	23-Apr	724.00	4.0
MERRILL LYNCH STRATEGICI	034	COH	B	10,000	33.35	4-Apr	23-Apr	400.00	4.0
SERENGETI MGMT	030	C	SS	200,000	20.07	16-Jan	15-May	10,000.00	5.0
PRINCIPLE CAPITAL	040	DKS	B	57,700	22.25	n/a	23-May	1,731.00	3.0
COUGAR TRADING	044	DRI	B	5,000	32.89	n/a	12-Jun	250.00	5.0
HIGHBRIDGE/MS OLDS	041	ERTS	B	32,600	46.64	n/a	n/a	1,304.00	4.0
BARR	096	FNM	SS	1,000	24.03	n/a	n/a	0.00	0.0
BARR	096	FRE	SS	2,000	22.04	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	B	50,000	27.48	n/a	10-Jan	2,000.00	4.0
CLOVIS CAPITAL	012	GES	B	50,000	41.04	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	28.23	30-Apr	28-May	400.00	4.0
BARR	096	GLD	S	300	85.48	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DSG CAPITAL	225	GM	SS	15,000	15.83	12-Jun	12-Jun	750.00	5.0
MILLENIUUM PARTNERS	028	GM	B	75,000	15.87	12-Jun	12-Jun	3,750.00	5.0
STRONG/MARK GRONET	030	GTI	S	25,000	25.13	n/a	n/a	1,000.00	4.0
J L F ASSET MGMT	039	HIBB	B	50,200	21.08	n/a	n/a	2,008.00	4.0
MATTHES CAPITAL MGMT	033	HIBB	SS	50,000	21.12	n/a	n/a	742.00	2.0
LEDDY	035	ICON	B	1,200	13.49	n/a	n/a	0.00	0.0
CLOVIS CAPITAL	012	IT	B	50,000	21.50	n/a	n/a	2,500.00	5.0
FRONTPOINT CHRIS C	041	IWM	B	12,200	72.80	n/a	n/a	488.00	4.0
BUCK CAPITAL MGMT	001	JCG	B	50,000	34.33	n/a	30-May	2,000.00	4.0
COUGAR TRADING	044	JCG	SS	5,000	34.64	n/a	30-May	250.00	5.0
MERRILL LYNCH STRATEGICI	034	JCP	SS	10,000	39.57	n/a	6-Jun	400.00	4.0
JMP ASSET MGMT	210	JRJC	B	1,000	17.49	n/a	n/a	50.00	5.0
LEDDY	035	LEH	B	400	24.46	9-Jun	9-Jun	0.00	0.0
BARR	096	LEN	SS	1,200	15.39	n/a	n/a	0.00	0.0
HIGHBRIDGE/MS OLDS	041	MHP	SS	10,019	43.51	n/a	n/a	400.76	4.0
BUCK	015	MHS	B	1,300	49.11	n/a	n/a	0.00	0.0
PORTER-ORLIN-FELDMAN	044	MS	SS	100,000	39.81	n/a	6-Jun	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CENTURION GLOBAL MGMT LL	041	NDN	B	18,500	7.41	27-Feb	12-Jun	555.00	3.0
DARUMA ASSET MGMT INC	034	NP	B	20,000	20.11	7-Apr	19-May	1,000.00	5.0
HIGHBRIDGE CAPITAL	038	NSC	B	20,000	63.09	n/a	n/a	1,000.00	5.0
J L F ASSET MGMT	039	OMX	B	26,100	17.70	n/a	15-Apr	1,044.00	4.0
MERRILL ASSET	032	ONB	S	93,590	15.24	n/a	n/a	4,211.56	4.5
LEDDY	035	PBR	S	200	68.42	n/a	n/a	0.00	0.0
SUFFOLK CAPITAL MGMT	040	PCP	B	100,000	104.34	n/a	n/a	4,000.00	4.0
BEAR STEARNS ASSET MGMT.	033	PETM	B	45,000	22.57	n/a	n/a	1,800.00	4.0
HIGHBRIDGE/MS OLDS	041	PH	SS	12,400	78.88	n/a	23-May	496.00	4.0
BUCK	015	PJC	SS	1,000	38.03	n/a	n/a	0.00	0.0
COLONIAL FUND LLC	036	PLCE	S	20,000	36.60	3-Jun	6-Jun	1,000.00	5.0
J L F ASSET MGMT	039	PLCE	B	41,500	36.73	3-Jun	6-Jun	1,660.00	4.0
J L F ASSET MGMT	039	PSUN	B	52,600	9.87	n/a	6-Jun	2,104.00	4.0
BUCK	015	RF	S	2,000	12.82	n/a	n/a	0.00	0.0
BUCK	015	RHHBY	S	200	85.35	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	B	87,500	66.45	7-Feb	29-May	3,500.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GALT INVESTMENT	041	SCSS	B	100,000	2.35	n/a	n/a	2,500.00	2.5
COUGAR TRADING	044	SDS	SS	10,000	60.34	n/a	n/a	500.00	5.0
JMP ASSET MGMT	210	SFLY	SS	1,000	14.20	n/a	n/a	50.00	5.0
ZOLIDIS	011	SHOE	SS	8,500	0.52	n/a	n/a	0.00	0.0
KACELA CAPITAL	034	SKF	S	10,000	128.62	n/a	n/a	400.00	4.0
ZOLIDIS	011	SKX	SS	1,000	20.57	n/a	13-Mar	0.00	0.0
JMP ASSET MGMT	210	SONC	S	8,000	18.04	n/a	n/a	400.00	5.0
OTC ERROR	099	SPLS	B	96	25.12	n/a	27-Jul	0.00	0.0
BUCK	015	SPY	B	100	135.55	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	SSCC	B	100,000	5.68	7-Apr	23-Apr	5,000.00	5.0
FIRST NY SEC/CHRIS	041	SSCC	B	125,000	5.71	7-Apr	23-Apr	5,000.00	4.0
SCOPUS FUND	041	SSCC	B	96,900	5.65	7-Apr	23-Apr	4,845.00	5.0
NORTHPOINT	030	STU	B	4,900	109.04	n/a	n/a	196.00	4.0
BUCK	015	TGT	S	300	53.23	n/a	5-Jun	0.00	0.0
BMO NESBITT BURNS	041	TIN	B	50,000	12.34	29-Apr	29-Apr	2,500.00	5.0
LEDDY	035	UQM	S	4,000	2.36	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	URBN	SS	15,000	33.18	15-May	15-May	750.00	5.0
BUCK CAPITAL MGMT	001	VFC	B	10,000	71.01	n/a	n/a	400.00	4.0
SERENGETI MGMT	030	WB	SS	50,000	17.91	n/a	n/a	2,500.00	5.0
MERRILL LYNCH STRATEGICI	034	WMT	B	50,000	59.44	13-May	10-Jun	2,500.00	5.0
BUCK	015	WYNN	SS	300	90.48	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							114,243.32		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/12	FRONTPOINT CHRIS C (FPCCMS)	041	IR	B	12,700	39.14	n/a	n/a	635.00 5.0
AO,	06/12	FRONTPOINT CHRIS C (FPCCMS)	041	IR	B	12,700	39.14	n/a	n/a	508.00 4.0
AO,CXL	06/12	FRONTPOINT CHRIS C (FPCCMS)	041	IWM	SS	7,200	71.74	n/a	n/a	360.00 5.0
AO,	06/12	FRONTPOINT CHRIS C (FPCCMS)	041	IWM	SS	7,200	71.74	n/a	n/a	288.00 4.0
AO,	06/04	PATTEN & PATTEN (PATTFSG BA)	067	MHP	S	400	41.61	n/a	n/a	20.00 5.0
CRT	06/13	CENTURION GLOBAL MGMT LL (CGLOMSCO)	041	NDN	B	18,500	7.41	27-Feb	12-Jun	555.00 3.0
AO,	06/11	TRELLUS PARTNERS LP (TRELMAIN)	028	PH	SS	22,800	75.34	n/a	23-May	1140.00 5.0
CRT	06/13	COUGAR TRADING (COUGPB MS)	044	SDS	SS	10,000	60.34	n/a	n/a	500.00 5.0
AO,	06/12	OTC ERROR (OTC ERROR)	099	SPLS	S	96	24.94	n/a	27-Jul	0.00 0.0
AO,CXL	06/12	FRONTPOINT CHRIS C (FPCCMS)	041	YHOO	B	5,200	23.65	n/a	n/a	260.00 5.0
AO,	06/12	FRONTPOINT CHRIS C (FPCCMS)	041	YHOO	B	5,200	23.65	n/a	n/a	208.00 4.0

TOTAL (AO, CXL, CRT) :**1,964.00**

TOTAL (Trade Date):

114,243.32

TOTAL (AO, CXL, CRT):

1,964.00

TOTAL (RUN DATE) / NFS:**116,207.32**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION