



Daily Compliance Activity Report

Trade Date: 04/14/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AEO	B	30,000	16.16	6-Mar	11-Apr	1,500.00	5.0
DECADE CAPITAL PARTNERS	040	AER	S	100	18.00	n/a	n/a	5.00	5.0
B BUCK CAPITAL MGMT		ANF	B	30,000	70.38	10-Apr	11-Apr	non-brg	4.0
BALYASNY ASSET MGMT	044	ARGN	B	10,000	12.83	n/a	8-Apr	500.00	5.0
LEDDY	035	ARGN	B	600	12.76	n/a	8-Apr	0.00	0.0
MERRILL ASSET	032	BC	B	26,000	15.95	n/a	n/a	1,170.00	4.5
COLUMBIA PARTNERS	028	BID	B	294	24.48	n/a	n/a	11.76	4.0
COLUMBIA PARTNERS	028	BID	B	62,375	24.48	n/a	n/a	2,495.00	4.0
B BUCK CAPITAL MGMT		BJ	C	10,000	36.15	n/a	27-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		BRL	S	25,000	51.10	28-Feb	11-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		BYI	B	125,000	33.16	n/a	n/a	non-brg	4.0
BUCK	015	CAH	S	1,000	50.95	n/a	n/a	0.00	0.0
THE BOSTON COMPANY	401	CBE	B	17,000	41.63	24-Jan	28-Mar	680.00	4.0
ARIENCE CAPITAL	036	CBS	SS	211,000	21.08	n/a	n/a	10,550.00	5.0
WHEELER	008	CHP	S	6,600	5.65	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COMMISSUM CAPITAL	067	CLMS	SS	10,000	15.94	13-Feb	14-Apr	500.00	5.0
BUCK	015	CMA	C	800	33.26	n/a	n/a	0.00	0.0
BUCK	015	COF	SS	700	46.81	n/a	n/a	0.00	0.0
BARR	096	COF	SS	600	47.41	n/a	n/a	0.00	0.0
MERRILL ASSET	032	CRR	B	7,400	43.80	13-Feb	1-Apr	370.00	5.0
ß BUCK CAPITAL MGMT		CSTR	B	20,000	30.07	n/a	n/a	non-brg	4.0
MILLER	025	C	B	100	22.27	16-Jan	14-Apr	0.00	0.0
MORRISON&FRASIER/BUTLER	040	DRYS	S	15,000	66.58	n/a	n/a	750.00	5.0
ß BUCK CAPITAL MGMT		DSW	S	3,700	11.53	28-Mar	28-Mar	non-brg	1.0
ß BUCK CAPITAL MGMT		DSW	S	426,000	11.50	28-Mar	28-Mar	non-brg	2.0
ß BUCK CAPITAL MGMT		DSW	S	51,988	11.51	28-Mar	28-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		DSW	S	75,000	11.68	28-Mar	28-Mar	non-brg	4.0
RHO CAPITAL MGMT	041	EEM	S	400	138.56	n/a	n/a	16.00	4.0
CATAPULT	040	EMN	SS	21,100	68.69	n/a	n/a	1,055.00	5.0
ß BUCK CAPITAL MGMT		ETH+TE	SS	1,000	2.46	n/a	n/a	non-brg	300.0
RHO CAPITAL MGMT	041	EWI	S	1,100	18.15	n/a	n/a	44.00	4.0
ß BUCK CAPITAL MGMT		FDO+SW	SS	1,200	1.20	n/a	n/a	non-brg	300.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MCCARTAN	028	FELE	B	200	33.29	n/a	n/a	0.00	0.0
LUCHANISKY	036	FELE	B	200	33.07	n/a	n/a	0.00	0.0
BARR	096	FLDR	SS	4,692	6.78	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GES	B	20,000	38.72	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	GNTX	B	5,000	16.37	7-Apr	7-Apr	250.00	5.0
COUGAR TRADING	044	GYMB	B	10,000	39.68	2-Apr	11-Apr	500.00	5.0
B BUCK CAPITAL MGMT		HAS+SY	C	500	1.00	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		HAS	C	50,000	30.19	n/a	n/a	non-brg	4.0
DECADE CAPITAL PARTNERS	040	HTH	B	25,000	10.38	n/a	n/a	1,250.00	5.0
BUCK	015	HUM	B	1,000	42.40	n/a	n/a	0.00	0.0
S.A.C. CAPITAL MGMT.	044	HUN	B	50,000	22.15	n/a	n/a	2,500.00	5.0
DECADE CAPITAL PARTNERS	040	IBOC	B	20,000	21.71	n/a	n/a	1,000.00	5.0
COUGAR TRADING	044	JCG	B	10,000	42.28	28-Nov	12-Mar	500.00	5.0
J.W. SELIGMAN	041	JNJ	S	47,500	66.04	n/a	22-Apr	1,900.00	4.0
BUCK CAPITAL MGMT	001	JNY	S	250,000	13.14	n/a	15-Feb	10,000.00	4.0
COUGAR TRADING	044	KSS	B	5,000	43.34	4-Jan	11-Apr	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		LEG	SS	50,000	14.53	n/a	n/a	non-brg	4.0
BARR	096	LEN	SS	1,000	17.60	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		LIZ+SC	SS	1,000	0.80	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		LIZ	SS	10,000	17.31	n/a	17-Mar	non-brg	4.0
WAGONHOUND	048	LPX	B	4,630	9.83	7-Apr	7-Apr	138.90	3.0
UBS OCONNOR	031	MER	C	70,000	42.70	18-Jan	14-Apr	2,100.00	3.0
BUCK	015	MER	SS	800	42.84	18-Jan	14-Apr	0.00	0.0
FIRST NY SEC/CHRIS	041	MOT	S	20,000	8.99	n/a	n/a	1,200.00	6.0
COUGAR TRADING	044	M	SS	15,000	22.99	7-Feb	11-Apr	750.00	5.0
SANDLER O'NEILL ASSET	031	NTRS	B	15,000	66.13	n/a	14-Apr	750.00	5.0
MAGUIRE	039	PDC	S	300	17.19	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		PETM	SS	10,000	21.87	n/a	n/a	non-brg	4.0
LATEFF MGMT	035	PKG	B	10,000	21.15	7-Apr	7-Apr	1,000.00	10.0
NWQ INVESTMENT MGMT CO	048	PKG	B	65,100	21.05	7-Apr	7-Apr	2,604.00	4.0
HIGHBRIDGE CAPITAL	038	PPG	B	10,000	62.94	n/a	26-Feb	500.00	5.0
PERRY PARTNERS	041	PRU	B	20,000	76.05	n/a	n/a	600.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		RICK	B	10,000	17.06	n/a	n/a	non-brg	2.5
CATAPULT	040	ROH	SS	27,900	56.01	n/a	28-Jan	1,395.00	5.0
HIGHBRIDGE CAPITAL	038	ROH	B	12,000	56.12	n/a	28-Jan	600.00	5.0
⌘ BUCK CAPITAL MGMT		RSH	C	98,900	15.57	n/a	n/a	non-brg	4.0
KNOTT PARTNERS	044	RVI	S	150,000	3.98	n/a	n/a	3,000.00	2.0
DECADE CAPITAL PARTNERS	040	SAF	SS	10,000	44.85	n/a	n/a	500.00	5.0
GREENLIGHT CAPITAL	041	SAI	S	49,100	18.69	n/a	n/a	2,455.00	5.0
CATAPULT	040	SCHW	B	25,000	18.38	n/a	n/a	1,250.00	5.0
PAKLER	029	SKF	B	17	116.48	n/a	n/a	0.00	0.0
GAULT	063	SPNG	S	500,000	0.03	n/a	n/a	0.00	0.0
MERRILL ASSET	032	STC	B	20,700	28.92	n/a	n/a	931.50	4.5
MCCARTAN	028	TLB	S	200	12.29	2-Apr	2-Apr	0.00	0.0
PRINCIPLE CAPITAL	040	TPX	S	313,900	10.86	n/a	n/a	9,417.00	3.0
⌘ BUCK CAPITAL MGMT		TQQ+SG	SS	900	1.20	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		TRLG	B	4,496	18.01	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TRLG	B	5,504	17.89	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	TTWO	S	143,327	25.34	n/a	n/a	6,449.72	4.5
CUMBERLAND	038	UNM	B	20,000	22.49	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		URBN	B	30,000	31.05	3-Apr	9-Apr	non-brg	4.0
KERN	050	USBPF	B	30	0.50	n/a	n/a	0.00	0.0
MERRILL ASSET	032	VQ	B	30,000	12.77	n/a	n/a	1,350.00	4.5
KERN	050	WBQT	S	20	2.80	n/a	n/a	0.00	0.0
CHILTON CAPITAL MGMT.	036	WEN	SS	13,900	22.53	n/a	24-Apr	556.00	4.0
DIAMONDBACK CAP MGMT	232	WFC	C	100,000	27.40	n/a	n/a	5,000.00	5.0
SOUTH POINT CAP	067	WFC	B	100,000	27.34	n/a	n/a	5,000.00	5.0
BARR	096	WIND	B	2,520	8.17	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								86,143.88	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	04/11	WHEELER	008	COVJI	S	30	3.80	n/a	n/a	0.00	0.0
AO,CXL	04/11	WHEELER	008	COVJI	S	30	3.80	n/a	n/a	0.00	0.0
AO,CXL	04/10	NORTHPOINT	030	EMKR	B	67,905	7.40	n/a	n/a	2037.15	3.0
AO,CRT	04/10	NORTHPOINT	030	EMKR	B	67,905	7.40	n/a	n/a	2037.15	3.0
AO,CXL	04/09	WAGONHOUND	048	OCN	B	69,000	4.05	n/a	n/a	2760.00	4.0
AO,CRT	04/09	WAGONHOUND	048	OCN	B	69,000	4.05	n/a	n/a	2070.00	3.0
AO,CXL	04/11	IMPALA ASSET MMT	012	PII	S	225,000	41.08	n/a	n/a	6750.00	3.0
AO,CRT	04/11	IMPALA ASSET MMT	012	PII	S	225,000	41.68	n/a	n/a	6750.00	3.0
AO,	04/11	NWQ INVESTMENT MGMT CO	048	PKG	B	528,900	21.01	7-Apr	7-Apr	21156.00	4.0
AO,	04/11	NWQ INVESTMENT MGMT CO	000	PKG	B	5,100	21.01	7-Apr	7-Apr	204.00	4.0
AO,	04/11	NWQ INVESTMENT MGMT CO	046	PKG	B	600	21.01	7-Apr	7-Apr	24.00	4.0
AO,CXL	04/11	IMPALA ASSET MMT	012	UAUA	S	244,187	23.28	n/a	n/a	7325.61	3.0
AO,CRT	04/11	IMPALA ASSET MMT	012	UAUA	S	244,187	23.28	n/a	n/a	7325.61	3.0
TOTAL (AO, CXL, CRT) :										20,694.00	

TOTAL (Trade Date):	86,143.88
TOTAL (AO, CXL, CRT):	20,694.00

TOTAL (RUN DATE) / NFS:

106,837.88

MRI Securities with Trade Activity on 14-Apr.
NONE

MRI Securities without Trade Activity on 14-Apr.
ENR, ETN, FII, GWW

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 18%
YTD = 22%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION