



Daily Compliance Activity Report

Trade Date: 07/06/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NICHOLAS ADVISORS	034	AAPL	S	2,000	131.74	n/a	n/a	100.00	5.0
CAPITAL GROWTH MGMT.	241	ACF	S	200,000	26.47	n/a	n/a	10,000.00	5.0
NICHOLAS ADVISORS	034	ACN	S	4,000	42.84	n/a	n/a	200.00	5.0
B BUCK CAPITAL MGMT		AEO	B	50,000	26.17	n/a	6-Jul	non-brg	5.0
B BUCK CAPITAL MGMT		AEO	B	50,000	26.42	n/a	6-Jul	non-brg	5.0
COUGAR TRADING	044	AEO	B	20,000	26.49	n/a	6-Jul	1,000.00	5.0
LIGHTHOUSE FIN/QUAD	046	AKS	S	10,000	38.81	n/a	n/a	500.00	5.0
BARR	096	ALSC	B	7,500	5.59	n/a	n/a	0.00	0.0
BARR	096	AMCC	S	4,000	2.77	n/a	n/a	0.00	0.0
SARAS CAPITAL	038	AN	SS	31,000	22.48	n/a	27-Apr	1,550.00	5.0
B BUCK CAPITAL MGMT		ASD	B	10,000	60.77	31-May	25-Jun	non-brg	5.0
MERRILL ASSET	032	ASF	B	18,600	34.61	n/a	n/a	837.00	4.5
IRON HORSE CAPITAL MGMT	038	AYI	B	5,000	62.69	n/a	n/a	250.00	5.0
PIVOT CAP	034	BJ	B	23,300	37.12	10-Apr	7-Jun	1,165.00	5.0
WHITTIER CAP	048	BONT	S	6,360	38.07	6-Jul	6-Jul	254.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
METROPOLITAN CAPITAL	044	BWS	B	32,400	25.09	n/a	n/a	1,620.00	5.0
⚡ BUCK CAPITAL MGMT		CACH	B	20,000	14.58	n/a	n/a	non-brg	5.0
COUGAR TRADING	044	CHCG	S	25,000	7.32	n/a	n/a	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		CHS	SS	30,000	24.73	31-May	6-Jul	non-brg	5.0
⚡ BUCK CAPITAL MGMT		COH	B	100,000	48.16	23-Feb	25-Apr	non-brg	5.0
NICHOLAS ADVISORS	034	CVS	S	5,100	36.61	n/a	n/a	255.00	5.0
THE BOSTON COMPANY	214	CVS	S	54,500	36.78	n/a	n/a	2,725.00	5.0
BUCK	015	DIS	B	500	34.50	n/a	n/a	0.00	0.0
GRANAHAH INV	039	DLTR	S	163,800	43.82	30-May	15-Jun	6,552.00	4.0
BUCK CAPITAL MGMT	001	DSW	B	20,000	35.22	7-May	7-Jun	800.00	4.0
IVORY CAPITAL	034	EBAY	B	200,000	32.89	n/a	n/a	10,000.00	5.0
LUCHANSKY1	036	FACE	B	1,000	15.56	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FLDR	SS	10,000	8.11	n/a	n/a	non-brg	4.0
NICHOLAS ADVISORS	034	FLR	S	2,200	114.45	n/a	n/a	110.00	5.0
DAVIS SELECT ADV	040	GGP	S	700,000	54.04	n/a	n/a	21,000.00	3.0
FRIESS MGMT	042	GME	B	195,000	41.40	n/a	n/a	8,775.00	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	HET	B	700	85.60	1-Mar	9-May	0.00	0.0
MERRILL ASSET	032	HHS	B	42,600	26.32	n/a	n/a	1,917.00	4.5
PROSPECTOR PARTNERS LLC	036	IOSP	S	5,000	61.26	n/a	n/a	200.00	4.0
PROSPECTOR PARTNERS LLC	036	IVZ	B	14,300	26.51	14-Jun	25-Jun	572.00	4.0
⌘ BUCK CAPITAL MGMT		JOSB	S	50,000	42.53	n/a	n/a	non-brg	5.0
COUGAR TRADING	044	KSS	B	5,000	70.99	14-Jun	14-Jun	250.00	5.0
BULL PATH CAPITAL	044	LVS	B	25,000	80.64	n/a	n/a	1,250.00	5.0
PILOT ADV	038	M	S	20,000	42.39	6-Jul	6-Jul	800.00	4.0
BARR	096	NGI	S	4,600	4.98	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		NLS	S	150,000	12.00	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		NLS	S	50,000	11.97	n/a	n/a	non-brg	5.0
BUCK	015	ONXX	S	1,000	27.47	n/a	n/a	0.00	0.0
MERRILL ASSET	032	OXY	B	25,900	59.72	n/a	n/a	1,295.00	5.0
⌘ BUCK CAPITAL MGMT		PERY	B	12,000	33.32	n/a	n/a	non-brg	1.0
BUCK	015	PFE	B	500	25.87	n/a	31-Mar	0.00	0.0
⌘ BUCK CAPITAL MGMT		PSUN	S	30,000	22.78	n/a	6-Jul	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AMATURO	018	QRR	S	900	12.63	n/a	n/a	0.00	0.0
PILOT ADV	038	RGC	S	70,000	21.85	n/a	n/a	2,800.00	4.0
IRON HORSE CAPITAL MGMT	038	RL	B	5,000	102.17	21-Jun	27-Jun	250.00	5.0
KORMAN	003	SORL	B	4,322	7.70	n/a	n/a	0.00	0.0
OGORMAN	146	TGTSU	B	5	1.25	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TGT	C	20,000	66.53	n/a	7-Jun	non-brg	5.0
STADIA CAPITAL	035	TGT	B	25,000	67.26	n/a	7-Jun	1,000.00	4.0
COUGAR TRADING	044	TIF	B	5,000	55.47	1-Jun	13-Jun	250.00	5.0
BUCK	015	TRB	S	1,000	30.79	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	TUP	B	30,000	29.35	n/a	n/a	1,350.00	4.5
BARR	096	TWM	S	400	60.53	n/a	n/a	0.00	0.0
COUGAR TRADING	044	URBN	SS	10,000	23.64	2-Apr	11-May	500.00	5.0
MSD CAPITAL	036	USTR	S	18,700	69.00	23-May	23-May	935.00	5.0
MKT MAKER	099	USTR	S	13	69.00	23-May	23-May	0.00	0.0
OSS CAPITAL/KEVIN DANN	067	VMED	S	100,000	29.25	n/a	n/a	1.46	0.0
BELMONT GLOBAL ADV	248	WLK	B	35,000	27.97	n/a	n/a	1,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SEAROCK CAPITAL MANAGE	012	WMT	S	100,000	48.39	4-Jun	7-Jun	4,000.00	4.0
8 BUCK CAPITAL MGMT		WRNC	S	20,000	39.85	20-Jun	20-Jun	non-brg	5.0
TOTAL (Trade Date):								88,063.86	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/05	ROTHSCHILD ASSET	040	BJ	B	43,790	36.52	10-Apr	7-Jun	1970.55 4.5
AO,	07/05	COUGAR TRADING	044	CHCG	S	5,000	7.59	n/a	n/a	250.00 5.0
AO,CRT	07/05	AMBER CAPITAL	046	TIF	B	105,000	54.92	1-Jun	13-Jun	4200.00 4.0
AO,CXL	07/05	AMBER CAPITAL	046	TIF	B	105,000	54.92	1-Jun	13-Jun	5250.00 5.0
AO,	07/05	ROTHSCHILD ASSET	040	TUP	B	19,140	29.28	n/a	n/a	861.30 4.5
AO,	07/05	MKT MAKER	099	USTR	B	96	69.00	23-May	23-May	0.00 0.0
TOTAL (AO, CXL, CRT) :										2,031.85

TOTAL (Trade Date):	88,063.86
TOTAL (AO, CXL, CRT):	2,031.85

TOTAL (RUN DATE) / NFS:	90,095.71
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MRI Securities with Trade Activity on 6-Jul. BONT, M
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MRI Securities without Trade Activity on 6-Jul. TEVA
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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION