



# Daily Compliance Activity Report

Trade Date: 04/03/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>ABFS</b>	<b>B</b>	<b>10000</b>	<b>11.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SCOPUS FUND	041	ABG	S	20,100	35.20	n/a	20-Feb	804.00	0.0
HIGHBRIDGE CAP	041	ADT	SS	8,381	45.25	n/a	n/a	251.43	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>30,000</b>	<b>18.76</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
PORTER-ORLIN/ NC TO AMICI CAPITAL	044	AGO	S	50,000	19.43		n/a	n/a	2,000.00 4.0
HIGHBRIDGE CAP	041	ALV	B	3,123	69.23	n/a	19-Mar	93.69	3.0
PRIMECAP MGMT	084	AMGN	S	5,000	105.50	n/a	n/a	200.00	0.0
MACELLUM CAPITAL	086	ANN	SS	73,000	28.91	n/a	n/a	2,190.00	3.0
SIGMA CAPITAL ASSOCIATES	041	ANN	SS	25,000	28.85	n/a	n/a	750.00	3.0
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>ARCI</b>	<b>B</b>	<b>10000</b>	<b>1.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>BA</b>	<b>S</b>	<b>23,000</b>	<b>84.60</b>	<b>n/a</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
OSKIE CAPITAL MGMT	224	BBRY	B	35,000	15.16	n/a	n/a	1,750.00	5.0
S.A.C. CAPITAL	044	BBY	S	50,000	21.50	n/a	n/a	1,500.00	3.0
CR INTRINSIC/CHRIS C	041	BEAV	B	15,000	58.26	n/a	11-Mar	450.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>BIG</b>	<b>SS</b>	<b>50,000</b>	<b>34.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	CHD	S	2,600	64.17	n/a	1-Apr	104.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>CHS</b>	<b>C</b>	<b>30,000</b>	<b>17.03</b>	<b>n/a</b>	<b>5-Feb</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>C</b>	<b>50,000</b>	<b>50.38</b>	<b>n/a</b>	<b>27-Feb</b>	<b>non-brg</b>	<b>4.0</b>
CAMBIAR CAP	046	CRS	B	73,510	45.73	n/a	11-Mar	2,940.40	0.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>CWEI</b>	<b>S</b>	<b>2000</b>	<b>43.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DAL</b>	<b>B</b>	<b>20,000</b>	<b>14.54</b>	<b>n/a</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
PAR CAPITAL	268	DAL	B	50,000	14.53	n/a	3-Apr	2,000.00	0.0
STELLIAM INV ADV	086	DAL	B	55,000	14.49	n/a	3-Apr	2,200.00	0.0
BRONSON POINT	036	DAN	B	50,000	16.89	n/a	19-Mar	1,500.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>DKS</b>	<b>S</b>	<b>80,000</b>	<b>46.80</b>	<b>n/a</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
NOMURA/ANGEL LANE PRINCIPAL	040	DKS	SS	51,600	46.38	n/a	3-Apr	1,548.00	3.0
<b>f WILLIAM J GAULT</b>	<b>FID</b>	<b>DROP</b>	<b>B</b>	<b>71000</b>	<b>0.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>DXPE</b>	<b>B</b>	<b>30</b>	<b>18.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LEVIN CAPITAL STRATEGIES	041	ETN	B	209,869	59.16	n/a	3-Apr	6,296.07	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>EXPR</b>	<b>S</b>	<b>30,000</b>	<b>17.33</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROARING BLUE LION MGMT	031	EXPR	B	12,130	17.39	n/a	n/a	485.20	4.0
BNP PARIBAS SEC	040	FB	S	50,000	26.14	n/a	n/a	1,500.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f KAREN LYNNE FERENZO</b>	<b>FID</b>	<b>FDRXX</b>	<b>B</b>	<b>25504</b>	<b>1.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROSEDALE CAPITAL	046	FITB	S	25,000	15.91	n/a	n/a	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FL</b>	<b>S</b>	<b>50,000</b>	<b>33.65</b>	<b>n/a</b>	<b>3-Apr</b>	<b>non-brg</b>	<b>4.0</b>
ROARING BLUE LION MGMT	031	FL	B	5,650	33.26	n/a	3-Apr	226.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FNP</b>	<b>S</b>	<b>35,000</b>	<b>19.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FNP</b>	<b>S</b>	<b>65,000</b>	<b>19.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NWQ INVESTMENT MGMT CO	084	FOR	S	726	21.75	n/a	26-Mar	25.41	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>B</b>	<b>20,000</b>	<b>91.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
HIGHBRIDGE CAP	041	FTI	S	11,685	52.99	n/a	n/a	350.55	3.0
DENVER INST. ADV	046	FULT	S	100,900	11.36	n/a	n/a	4,036.00	0.0
PARAMETER CAPITAL MGMT LLC	044	FULT	B	70,000	11.37	n/a	n/a	2,100.00	3.0
CASTLEROCK	040	F	SS	100,000	12.82	n/a	3-Apr	4,000.00	4.0
M&I FUNDS	047	GES	B	4,271	24.99	n/a	21-Mar	170.84	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>2,625</b>	<b>37.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>25,000</b>	<b>37.81</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>50,000</b>	<b>37.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>50,000</b>	<b>37.66</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIII</b>	<b>S</b>	<b>7,500</b>	<b>37.59</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>S</b>	<b>40,000</b>	<b>40.13</b>	<b>n/a</b>	<b>14-Mar</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>GLW</b>	<b>S</b>	<b>10000</b>	<b>13.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAGE ASSET MGMT	025	GM	B	19,000	27.81	n/a	3-Apr	950.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>S</b>	<b>60,000</b>	<b>45.06</b>	<b>n/a</b>	<b>1-Mar</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HCA</b>	<b>S</b>	<b>25,000</b>	<b>38.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HCA</b>	<b>S</b>	<b>50,000</b>	<b>37.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HCA</b>	<b>S</b>	<b>92,985</b>	<b>38.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f YANAI A FRANK</b>	<b>FID</b>	<b>HEES</b>	<b>B</b>	<b>600</b>	<b>17.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IRIDIAN ASSET MGMT.	036	HPQ	B	163,060	21.98	n/a	n/a	8,153.00	0.0
LIONEYE CAPITAL	040	HTSI	B	15,000	39.85	n/a	n/a	750.00	5.0
HIGHBRIDGE CAP	041	ITB	SS	22,000	22.70	n/a	n/a	440.00	2.0
BTG PACTUAL	040	JBLU	B	15,000	6.35	n/a	3-Apr	450.00	3.0
STELLIAM INV ADV	086	JBLU	B	50,000	6.22	n/a	3-Apr	1,000.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOY</b>	<b>B</b>	<b>17,500</b>	<b>55.96</b>	<b>n/a</b>	<b>11-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>KMX</b>	<b>C</b>	<b>103,200</b>	<b>41.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RIDGECREST INV MGMT LLC	028	LCC	B	6,000	15.63	n/a	3-Apr	180.00	0.0
BRONSON POINT	036	LEA	B	25,000	54.53	n/a	3-Apr	750.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>LEN 5 C43</b>	<b>C</b>	<b>500</b>	<b>0.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		LPNT	S	100,000	46.82	n/a	n/a	non-brg	4.0
DC CAPITAL	044	LPX	B	50,000	19.80	n/a	3-Apr	2,000.00	4.0
B BUCK CAPITAL MGMT		MDC	C	60,000	34.51	n/a	n/a	non-brg	1.0
f EDWARD W WHEELER	FID	MET	S	2000	37.82	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MOH	B	65,000	31.37	n/a	n/a	non-brg	4.0
CASTLE ARK	031	MON	B	85,050	104.85	n/a	n/a	3,402.00	0.0
HIGHBRIDGE CAP	041	MOS	B	8,942	58.46	n/a	n/a	268.26	3.0
HIGHBRIDGE CAP	041	MTW	B	11,000	19.15	n/a	11-Jul	330.00	3.0
ROYCE TRADING	031	MUX	S	100,000	2.59	n/a	n/a	1,000.00	0.0
B BUCK CAPITAL MGMT		MWV	B	64,900	36.17	n/a	26-Mar	non-brg	4.0
DELTEC ASSET MGMT.	040	M	S	12,500	42.17	n/a	7-Mar	625.00	5.0
INVESCO	031	M	S	177,700	42.22	n/a	7-Mar	7,108.00	0.0
PROVIDENCE EQUITY CAPITAL MARKETS LLC	040	NIHD	B	130,100	4.72		n/a	n/a	2,602.00 2.0
FIRST NEW YORK	086	NOK	B	20,000	3.33	n/a	n/a	800.00	4.0
HIGHBRIDGE CAP	041	OII	S	5,623	64.86	n/a	n/a	168.69	3.0
B BUCK CAPITAL MGMT		OXM	C	7,591	53.52	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LOMAS CAPITAL	040	PCAR	B	116,500	49.82	n/a	n/a	3,495.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>PII</b>	<b>B</b>	<b>20,000</b>	<b>90.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROARING BLUE LION MGMT	031	PLCE	B	7,710	45.92	n/a	26-Mar	308.40	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>PVH</b>	<b>S</b>	<b>10,000</b>	<b>105.52</b>	<b>n/a</b>	<b>1-Apr</b>	<b>non-brg</b>	<b>4.0</b>
MERRILL ASSET/BLACKROCK	297	RE	S	8,300	127.45	n/a	n/a	332.00	0.0
<b>f YANAI A FRANK</b>	<b>FID</b>	<b>RNF</b>	<b>B</b>	<b>325</b>	<b>32.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAP	041	ROC	S	3,250	61.08	n/a	n/a	97.50	3.0
FIRST NY SEC/CHRIS	041	SBH	SS	10,000	29.26	n/a	n/a	300.00	3.0
BTG PACTUAL	040	SBUX	S	34,000	58.75	3-Apr	3-Apr	1,020.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>SCSS</b>	<b>S</b>	<b>100,000</b>	<b>18.53</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
THOMAS WEISEL ASSET MGMT/TIMBERLINE ASSE033			SCS	B	49,980	13.29	n/a	n/a	1,499.40 0.0
HIGHBRIDGE CAP	041	SHW	S	1,422	164.26	n/a	n/a	42.66	3.0
NEUBERGER BERMAN	036	SLH	S	7,300	55.71	n/a	n/a	292.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>SPN</b>	<b>B</b>	<b>20,000</b>	<b>23.99</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
CAPITAL RESEARCH GROUP		296 SWN	B	150,000	36.74		n/a 1-Apr	5,250.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J. GOLDMAN	028	TGT	B	20,000	67.73	n/a	13-Mar	800.00	4.0
SAGE ASSET MGMT	025	TGT	SS	7,900	67.79	n/a	13-Mar	395.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TIF</b>	<b>C</b>	<b>60,000</b>	<b>71.27</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SCOPUS FUND	041	TREX	S	24,900	46.83	n/a	n/a	996.00	0.0
ROARING BLUE LION MGMT	031	TRLG	B	19,710	25.73	n/a	n/a	788.40	4.0
T. ROWE PRICE	243	TTC	B	10,000	45.33	n/a	n/a	400.00	0.0
<b>B BUCK CAPITAL MGMT</b>		<b>TXRH</b>	<b>C</b>	<b>48,300</b>	<b>20.23</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HARVEST MGMT (JMP)	029	ULTA	SS	5,000	81.86	n/a	n/a	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>20,000</b>	<b>39.34</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	URI	S	5,000	50.90	n/a	17-Apr	150.00	3.0
IMPALA ASSET MMT	040	VLO	S	392,346	40.64	n/a	n/a	19,617.30	0.0
LOMAS CAPITAL	040	V	S	25,500	164.51	n/a	20-Mar	765.00	3.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>26</b>	<b>0.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WSM</b>	<b>C</b>	<b>10,000</b>	<b>50.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
NEWLAND CAPITAL MGT	025	XME	B	10,000	37.49	n/a	n/a	400.00	4.0
HIGHBRIDGE CAP	041	XOM	B	10,750	90.42	n/a	n/a	322.50	3.0

Account		RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	RAVI SADARANGANI	FID	XPO	S	1500	17.19	n/a	n/a	0.00	0.0
f	YANAI A FRANK	FID		S	50000	115.40	n/a	n/a	0.00	0.0
TOTAL (Trade Date):									108,919.70	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/02	LOMAS CAPITAL	040	AXL	S	24,500	13.22	n/a	3-Apr	735.00 0.0
AO,CRT	04/02	ROSEDALE CAPITAL	046	AXL	B	40,000	13.22	n/a	3-Apr	1600.00 0.0
AO,CXL	04/02	ROSEDALE CAPITAL	046	AXL	B	40,000	13.22	n/a	3-Apr	1600.00 0.0
AO,CRT	04/02	GABELLI FUNDS	025	MKC	S	15,000	72.67	n/a	n/a	450.00 3.0
AO,CXL	04/02	GABELLI FUNDS	025	MKC	S	15,000	72.67	n/a	n/a	750.00 0.0
AO,CXL	04/02	LOMAS CAPITAL	040	MTB	S	900	102.29	n/a	n/a	0.00 0.0
AO,CRT	04/02	LOMAS CAPITAL	040	MTB	S	900	102.29	n/a	n/a	27.00 3.0

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**TOTAL (AO, CXL, CRT) :****462.00**

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**TOTAL (Trade Date) :****108,919.70****TOTAL (AO, CXL, CRT) :****462.00**

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**TOTAL (RUN DATE) / NFS :****109,381.70**

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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO	04/02	BUCK CAPITAL MGMT	GIII	S	300	40.00	n/a	n/a	3.00 1.0

MRI Securities with Trade Activity on 3-Apr.

SBUX

MRI Securities without Trade Activity on 3-Apr.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**