



Daily Compliance Activity Report

Trade Date: 06/07/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ACE	B	135	89.39	n/a	n/a	5.40	0.0
BESSEMER INVESTMENT	085	ACN	B	35	80.65	n/a	n/a	1.40	0.0
BESSEMER INVESTMENT	085	AET	B	375	60.16	n/a	n/a	15.00	0.0
BESSEMER INVESTMENT	085	AIG	B	150	45.07	n/a	n/a	6.00	0.0
B BUCK CAPITAL MGMT		ANF	S	20,000	51.48	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	APD	B	55	94.56	n/a	n/a	2.20	0.0
BESSEMER INVESTMENT	085	AVGO	B	280	36.95	n/a	n/a	11.20	0.0
SCOPUS FUND	041	AXL	S	10,000	18.13	n/a	4-Jun	400.00	0.0
f CAROL ANNE LACHENAU	FID	BACPRD	S	151	25.06	n/a	n/a	0.00	0.0
f CAROL ANNE LACHENAU	FID	BACPRD	S	187	25.05	n/a	n/a	0.00	0.0
f DOUGLAS ROGERS	FID	BACPRD	S	131	25.07	n/a	n/a	0.00	0.0
f TR U/W OF CH RISTINA	FID	BACPRD	S	117	25.06	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BONT	S	10,000	21.71	n/a	24-May	non-brg	4.0
B BUCK CAPITAL MGMT		CAR	S	20,000	33.53	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CAR	S	20,000	33.66	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CAR	S	35,000	33.49	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CCL	B	30,000	32.56	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LONG OAR GLOBAL INV	040	CLF	SS	26,000	18.18	n/a	n/a	1,040.00	0.0
CR INTRINIC INVESTMENT	044	CLH	S	15,000	54.69	n/a	n/a	450.00	0.0
OSKIE CAPITAL MGMT	224	CLH	B	8,000	54.70	n/a	n/a	400.00	0.0
MELLON/BANK OF NEW YORK	046	CMCSA	S	20,280	40.92	n/a	n/a	811.20	0.0
CR INTRINIC INVESTMENT	044	CNW	B	20,000	39.56	n/a	n/a	600.00	0.0
BESSEMER INVESTMENT	085	COH	B	175	58.76	n/a	30-May	7.00	0.0
BESSEMER INVESTMENT	085	COP	B	140	62.08	n/a	n/a	5.60	0.0
MELLON/BANK OF NEW YORK	046	CRM	B	21,880	39.14	n/a	n/a	875.20	0.0
LATEEF MGMT	033	CTB	B	150	24.93	n/a	n/a	30.00	0.0
BESSEMER INVESTMENT	085	CTL	B	185	35.53	n/a	n/a	7.40	0.0
BESSEMER INVESTMENT	085	CVS	B	145	58.40	n/a	n/a	5.80	0.0
OSKIE CAPITAL MGMT	224	CWH	S	20,000	20.30	n/a	n/a	1,000.00	0.0
BESSEMER INVESTMENT	085	C	B	220	51.51	n/a	6-Jun	8.80	0.0
FIRST NY SEC/CHRIS	041	DAL	B	10,000	18.26	n/a	5-Jun	500.00	0.0
LATEEF MGMT	033	DAL	S	200	18.32	n/a	5-Jun	30.00	0.0
BALYASNY ASSET MGMT	044	DE	SS	50,000	86.74	n/a	11-Jul	2,500.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	DG	B	440	51.61	n/a	4-Jun	17.60	0.0
OSKIE CAPITAL MGMT	224	DLR	B	16,000	59.90	n/a	n/a	800.00	0.0
BESSEMER INVESTMENT	085	DTE	B	75	67.39	n/a	n/a	3.00	0.0
MERRILL ASSET/BLACKROCK	085	EDD	B	39,000	15.73	n/a	n/a	1,560.00	0.0
MELLON/BANK OF NEW YORK	046	EQIX	B	4,000	193.25	n/a	n/a	160.00	0.0
NORTHWESTERN MUTUAL LIFE	047	ET	S	18,250	33.63	n/a	n/a	730.00	0.0
LISTED ERR	099	EXPR	S	1,500	21.42	n/a	n/a	0.00	0.0
f GERALD EPHRAM COHEN	FID	FB	B	200	22.94	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	FB	B	100	22.94	n/a	n/a	0.00	0.0
f RAVI SADARANGANI	FID	FB	B	400	22.97	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FL	S	20,000	34.95	n/a	5-Jun	non-brg	4.0
ROVER CAPITAL PARTNERS	040	GE	SS	11,400	23.68	n/a	n/a	456.00	0.0
B BUCK CAPITAL MGMT		GIII	S	20,000	48.83	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		GIL	S	10,000	40.92	n/a	3-May	non-brg	4.0
PORTER-ORLIN	044	GLD	S	50,000	133.29	n/a	n/a	2,000.00	0.0
SCOPUS FUND	041	GM	B	25,000	34.70	n/a	6-Jun	1,000.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		GPS	S	30,000	41.73	n/a	n/a	non-brg	4.0
CR INTRINIC INVESTMENT	044	GT	SS	15,000	14.73	n/a	n/a	450.00	0.0
NORTHWESTERN MUTUAL LIFE	047	HCP	B	21,670	47.29	n/a	n/a	866.80	0.0
B BUCK CAPITAL MGMT		HMB SS	C	40,000	34.01	n/a	n/a	non-brg	5.1
B BUCK CAPITAL MGMT		HTZ	S	35,000	25.43	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HTZ	S	60,000	25.68	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	IBM	B	65	205.57	n/a	n/a	2.60	0.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	IRM	B	10,000	28.72		n/a	n/a	300.00 0.0
BESSEMER INVESTMENT	085	ITW	B	95	70.21	n/a	n/a	3.80	0.0
WEISS PECK/ROBECO/BOSTON PT		040	JBLU	B	300,000	6.30	n/a	5-Jun	12,000.00 0.0
ASCEND PARTNERS	033	JCP	B	113,369	18.62	n/a	22-May	3,401.07	0.0
SCOPUS FUND	041	JCP	B	50,000	18.53	n/a	22-May	2,000.00	0.0
CR INTRINIC INVESTMENT	044	JEC	B	10,000	56.85	n/a	n/a	300.00	0.0
LATEEF MGMT	033	JPM	S	100	54.14	n/a	6-Jun	28.00	0.0
SCOPUS FUND	041	LAD	B	3,000	53.55	n/a	n/a	120.00	0.0
GABELLI FUNDS	025	LBTYA	B	10,000	74.51	n/a	n/a	500.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
PATRIOT STRATEGY PARTNERS	036	LCC	B	10,000	16.96	n/a	5-Jun	500.00	0.0	
TALON ASSET MGMT.	006	LCC	B	5,000	16.94	n/a	5-Jun	250.00	0.0	
f CAROL ANNE LACHENAU	FID	LGND	B	100	31.47	n/a	n/a	0.00	0.0	
f CAROL ANNE LACHENAU	FID	LGND	B	118	32.33	n/a	n/a	0.00	0.0	
f DOUGLAS ROGERS	FID	LGND	B	100	31.99	n/a	n/a	0.00	0.0	
f TR U/W OF CH RISTINA	FID	LGND	B	91	32.32	n/a	n/a	0.00	0.0	
OSKIE CAPITAL MGMT	224	MHFI	B	20,000	54.73	n/a	n/a	1,000.00	0.0	
NORTHWESTERN MUTUAL LIFE	047	MMC	S	25,370	40.44	n/a	n/a	1,014.80	0.0	
BESSEMER INVESTMENT	085	MRO	B	285	35.03	n/a	n/a	11.40	0.0	
BESSEMER INVESTMENT	085	MSFT	B	470	35.50	n/a	n/a	18.80	0.0	
B BUCK CAPITAL MGMT		MTOR	S	2,100	7.17	n/a	n/a	non-brg	1.0	
B BUCK CAPITAL MGMT		MTOR	S	25,000	7.03	n/a	n/a	non-brg	2.5	
f YANAI A FRANK	FID	MXIM	B	350	28.38	n/a	n/a	0.00	0.0	
BESSEMER INVESTMENT	085	M	B	255	48.78	n/a	21-May	10.20	0.0	
B BUCK CAPITAL MGMT		NCLH	B	25,600	31.36	n/a	n/a	non-brg	4.0	
B BUCK CAPITAL MGMT		NCLH	B	6,400	31.41	n/a	n/a	non-brg	2.0	
GUGGENHEIM GLOBAL TRADING (GGT)	251	NCT	S	50,000	5.59		n/a	n/a	1,500.00	0.0
BESSEMER INVESTMENT	085	NLSN	B	255	33.99	n/a	n/a	10.20	0.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	PBCT	SS	7,320	13.85	n/a	18-Apr	366.00	0.0
BESSEMER INVESTMENT	085	PFE	B	295	28.48	n/a	n/a	11.80	0.0
BESSEMER INVESTMENT	085	QCOM	B	210	61.82	n/a	n/a	8.40	0.0
B BUCK CAPITAL MGMT		RAD	B	500,000	3.04	n/a	n/a	non-brg	2.0
CR INTRINIC INVESTMENT	044	SBUX	S	10,000	64.63	n/a	3-Jun	300.00	0.0
B BUCK CAPITAL MGMT		SCSS	B	40,100	22.11	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SCSS	B	48,900	21.89	n/a	n/a	non-brg	2.0
MERRILL ASSET/BLACKROCK	085	SF	B	26,800	35.53	n/a	n/a	1,072.00	0.0
J. GOLDMAN	028	SKX	S	20,000	22.12	n/a	n/a	800.00	0.0
JET CAPITAL/CHRIS	041	SPY	C	50,000	164.47	n/a	n/a	1,500.00	0.0
BALYASNY ASSET MGMT	044	STLD	S	75,000	14.99	n/a	n/a	3,750.00	0.0
TALON ASSET MGMT.	006	STT	B	5,000	66.38	n/a	7-Jun	250.00	0.0
f DAVID GEORGE BUCK	FID	TFCIX	S	9050	11.23	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	TGT	B	170	70.48	n/a	23-May	6.80	0.0
CR INTRINIC INVESTMENT	044	TGT	B	20,000	70.42	n/a	23-May	600.00	0.0
J. GOLDMAN	028	TGT	B	26,500	70.28	n/a	23-May	1,060.00	0.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	TIVO	B	50,000	11.32		n/a n/a	1,500.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		TJX	S	20,000	50.19	n/a	22-May	non-brg	4.0
BESSEMER INVESTMENT	085	TMO	B	130	85.36	n/a	n/a	5.20	0.0
SPARTAN	093	TSLA	SS	5,000	98.32	n/a	n/a	150.00	0.0
B BUCK CAPITAL MGMT		TXTR	B	1,000	15.00	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		TXTR	S	1,000	25.08	n/a	n/a	non-brg	2.5
J. GOLDMAN	028	UA	SS	13,100	60.06	n/a	6-Jun	524.00	0.0
MERRILL ASSET/BLACKROCK	085	UHS	B	9,900	69.48	n/a	n/a	396.00	0.0
BESSEMER INVESTMENT	085	UNH	B	205	62.74	n/a	n/a	8.20	0.0
B BUCK CAPITAL MGMT		VHS	B	20,000	13.16	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		VHS	B	60,000	13.21	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	VIAB	B	190	67.48	n/a	n/a	7.60	0.0
MELLON/BANK OF NEW YORK	046	VZ	S	16,400	50.09	n/a	n/a	656.00	0.0
BESSEMER INVESTMENT	085	WAG	B	270	49.28	n/a	n/a	10.80	0.0
MERRILL ASSET/BLACKROCK	085	WBS	B	46,100	23.81	n/a	n/a	1,844.00	0.0
B BUCK CAPITAL MGMT		WGO	B	24,600	20.90	n/a	n/a	non-brg	4.0
HERRING CREEK CAPITAL MGMT LLC040	WGO		S	14,656	20.89	n/a	n/a	439.68	0.0
OSKIE CAPITAL MGMT	224	XHB	B	20,000	30.60	n/a	n/a	1,000.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ZMH	B	200	78.92	n/a	n/a	8.00	0.0
TOTAL (Trade Date):							56,000.95		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/06	VISIUM/BALY BOBBY	044	AA	SS	50,000	8.24	n/a	n/a	2000.00 0.0
AO,CXL	06/06	VISIUM/BALY BOBBY	044	AA	SS	50,000	8.24	n/a	n/a	2500.00 0.0
AO,	06/06	VISIUM/BALY BOBBY	044	ANR	SS	50,000	6.67	n/a	n/a	2000.00 0.0
AO,CXL	06/06	VISIUM/BALY BOBBY	044	ANR	SS	50,000	6.67	n/a	n/a	2500.00 0.0
AO,CXL	06/06	INTERVAL PARTNERS	033	BIG	B	5,489	33.45	n/a	n/a	164.67 0.0
AO,CRT	06/06	INTERVAL PARTNERS	033	BIG	B	5,489	33.45	n/a	n/a	164.67 0.0
AO,CRT	06/06	SANDLER O'NEILL ASSET	031	HBAN	B	50,000	7.54	n/a	n/a	1500.00 0.0
AO,CXL	06/06	SANDLER O'NEILL ASSET	031	HBAN	B	50,000	7.54	n/a	n/a	2500.00 0.0
AO,CXL	06/06	IONIC CAPITAL MANAGEMENT	041	IWM	B	100	96.82	n/a	n/a	3.00 0.0
AO,CRT	06/06	IONIC CAPITAL MANAGEMENT	041	IWM	B	100	96.82	n/a	n/a	3.00 0.0

TOTAL (AO, CXL, CRT) :**-2,000.00**

TOTAL (Trade Date):

56,000.95

TOTAL (AO, CXL, CRT):

-2,000.00

TOTAL (RUN DATE) / NFS:**54,000.95**

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 7-Jun.
NONE

MRI Securities without Trade Activity on 7-Jun.
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BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)