



RR Commissions Summary [Doug Rogers]

Trade Date: 06/25/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	06/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
1798 CAP/LOMBARD ASSET	040	0	22,175	61,045	74,995	0	0	0	22,175	61,045	74,995	75,990	99
ATLANTIC INVESTMENT MGMT	040	0	0	0	0	0	17,768	43,673	0	17,768	43,673	94,313	46
BALLAST CAP	040	0	0	0	0	0	0	0	0	0	0	38,739	0
BNP PARIBAS SEC	040	1,500	1,500	6,738	21,966	0	0	0	1,500	6,738	21,966	157,588	14
BTG PACTUAL	040	0	750	8,145	14,834	0	0	0	750	8,145	14,834	12,781	116
CASTLEROCK	040	0	323	14,574	53,734	0	0	0	323	14,574	53,734	75,871	71
CATAPULT	040	0	0	2,131	9,898	209	11,095	11,095	209	13,226	20,993	102,098	21
CATAPULT CAP/DEFRANCO	040	0	0	0	9,298	1,703	1,703	1,703	1,703	1,703	11,001	65,779	17
CAXTON PART	040	0	0	0	0	46,250	46,250	46,250	46,250	46,250	46,250	13,017	355
CLEARBRIDGE	040	0	0	0	0	0	15,800	31,600	0	15,800	31,600	65,450	48
CLEARLINE CAPITAL LLC	040	0	1,608	3,464	3,464	0	0	0	1,608	3,464	3,464	0	0
COATUE MANAGEMENT	040	0	0	0	0	0	0	0	0	0	0	17,500	0
CYPRESS CAP MGMT LLC	040	0	0	0	2,488	0	0	0	0	0	2,488	7,797	32
DAVIDSON KEMPNER ADVISOR	040	0	4,302	13,587	34,293	0	0	0	4,302	13,587	34,293	57,232	60
DECADE CAPITAL PARTNERS	040	0	0	0	4,988	0	0	0	0	0	4,988	22,868	22
DELTEC ASSET MGMT.	040	0	623	6,284	23,050	0	0	0	623	6,284	23,050	37,182	62
ENTRUST CAPITAL	040	0	1,250	5,752	8,232	0	0	0	1,250	5,752	8,232	1,439	572
FARALLON CAP MGMT	040	0	12,000	40,670	88,264	0	0	0	12,000	40,670	88,264	119,348	74
GREEN ARROW JOHN	040	0	0	0	0	0	0	0	0	0	0	4,900	0
HERRING CREEK CAPITAL MGMT LLC	040	306	9,392	16,402	16,402	0	0	0	9,392	16,402	16,402	0	0
IMPALA ASSET MMT	040	0	0	94,237	144,342	0	0	0	0	94,237	144,342	278,343	52
KEYGROUP/KGLT	040	0	4,000	9,960	15,960	0	0	0	4,000	9,960	15,960	12,560	127
LIONEYE CAPITAL	040	0	1,500	7,135	16,010	6,000	6,000	11,000	7,500	13,135	27,010	27,534	98
LOMAS CAPITAL	040	0	10,753	31,975	65,461	0	0	0	10,753	31,975	65,461	63,245	104
LONG OAR GLOBAL INV	040	0	8,960	14,640	23,972	0	0	0	8,960	14,640	23,972	45,115	53
MAPLE LANE CAPITAL	040	0	40	40	40	0	0	0	40	40	40	0	0
MAVERICK ADV	040	0	0	0	0	43,500	43,500	94,500	43,500	43,500	94,500	163,000	58
NOMURA/ANGEL LANE PRINCIPAL	040	0	900	52,023	141,543	0	0	0	900	52,023	141,543	76,350	185
OZ MGMT	040	0	15,861	67,482	202,386	0	0	0	15,861	67,482	202,386	553,938	37
PALESTRA	040	0	0	0	3,540	0	5,000	5,000	0	5,000	8,540	27,018	32
PARTNER FUND MGMT LP	040	0	0	0	0	0	162,312	162,312	0	162,312	162,312	90,116	180
PROVIDENCE EQUITY CAP. MKTS.	040	0	9,630	37,484	67,574	0	0	0	9,630	37,484	67,574	13,056	518
RBC CAPITAL MARKETS	040	0	1,950	4,559	13,359	0	0	0	1,950	4,559	13,359	112,249	12
ROTHSCHILD ASSET	040	0	0	3,847	21,052	0	0	0	0	3,847	21,052	79,880	26
ROUTE ONE PARTNERS	040	0	0	0	0	0	0	0	0	0	0	15,000	0
ROVER CAPITAL PARTNERS	040	0	1,256	1,256	1,256	0	0	0	1,256	1,256	1,256	0	0
ROYSTONE CAPITAL	040	0	500	500	500	0	0	0	500	500	500	0	0
SAFRA ASSET MGMT.	040	0	0	0	2,250	0	0	0	0	0	2,250	150	1500
SOROBAN CAPITAL	040	0	0	0	0	0	24,926	26,398	0	24,926	26,398	128,783	20

SUFFOLK CAPITAL MGMT	040	0	20,102	47,112	68,264	0	0	0	20,102	47,112	68,264	147,308	46
TALPION LLC	040	0	0	363	1,137	0	0	0	0	363	1,137	15,362	7
TRUST CO/COWEN/CALF.	040	0	0	0	11,574	0	0	0	0	0	11,574	106,499	11
WEISS PECK/ROBECO/BOSTON PT	040	0	35,520	49,905	57,749	0	0	18,800	35,520	49,905	76,549	83,797	91
WESTFACE CAPITAL	040	0	0	0	0	0	0	0	0	0	0	19,650	0
TOTALS:		1,806	164,895	601,310	1,223,877	97,662	334,354	452,331	262,557	935,664	1,676,208		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	06/25	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	0	19,722	36,779	71,640	0	0	0	19,722	36,779	71,640	103,730	0
OSKIE CAPITAL MGMT	224	0	4,200	23,950	27,950	0	0	0	4,200	23,950	27,950	50,768	0
PERIMETER	273	0	1,145	1,907	3,797	0	0	0	1,145	1,907	3,797	55,952	0
TRUSTCO/SUNTRUST (CEREDEX)	273	0	7,059	27,132	35,365	0	0	16,928	7,059	27,132	52,293	2,309	733
WEXFORD CAPITAL	284	0	0	5,535	23,109	0	0	0	0	5,535	23,109	2,962	0
TOTALS:		0	32,126	95,303	161,860	0	0	16,928	32,126	95,303	178,788		

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