



## Daily Compliance Activity Report

Trade Date: 03/19/2009

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WINSLOW CAPITAL	030	ACL	S	200	86.10	n/a	19-Mar	8.00	4.0
CHART WELL ADV	028	ADS	S	160,493	32.91	n/a	n/a	6,419.72	4.0
BALYASNY ASSET MGMT	044	ALV	SS	20,000	18.10	12-Mar	12-Mar	1,000.00	5.0
WINSLOW CAPITAL	030	AMT	S	300	30.76	n/a	n/a	12.00	4.0
NEWLAND CAPITAL MGT	067	AOS	S	2,800	24.33	12-Feb	12-Feb	112.00	4.0
WINSLOW CAPITAL	030	BAX	S	300	50.23	n/a	22-Apr	12.00	4.0
ACK ASSET	028	BWA	SS	7,700	20.00	12-Mar	19-Mar	231.00	3.0
BRENCOURT	028	BWA	SS	50,000	20.03	12-Mar	19-Mar	2,500.00	5.0
COUGAR TRADING	044	BWA	SS	10,000	20.26	12-Mar	19-Mar	500.00	5.0
LITESPEED	028	BWA	SS	150,000	19.82	12-Mar	19-Mar	4,500.00	3.0
MARINER/TRICADIA	041	BWA	SS	20,000	20.02	12-Mar	19-Mar	1,000.00	5.0
WINSLOW CAPITAL	030	CCL	S	300	22.54	n/a	26-Jan	12.00	4.0
SCOPUS FUND	041	CLW	B	16,700	8.80	n/a	n/a	835.00	5.0
STELLIAM INV ADV	040	CLW	B	15,000	8.49	n/a	n/a	750.00	5.0
FRONTPOINT/COPIA-ANDREW	031	CNQ	B	47,700	42.37	n/a	n/a	1,669.50	3.5
NEWCASTLE FUNDS	033	CONN	B	3,000	13.77	n/a	n/a	120.00	4.0
WINSLOW CAPITAL	030	CVS	S	600	26.46	n/a	n/a	24.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AMBER CAPITAL	046	CX	SS	200,000	5.82	n/a	n/a	8,000.00	4.0
DELTEC ASSET MGMT.	040	DBC	B	20,000	20.72	n/a	n/a	1,000.00	5.0
SANDLER O'NEILL ASSET	031	DFS	B	50,000	6.56	n/a	19-Mar	1,500.00	3.0
WINSLOW CAPITAL	030	DHR	S	300	55.08	n/a	n/a	12.00	4.0
WINSLOW CAPITAL	030	DLB	S	100	33.95	n/a	n/a	4.00	4.0
FARALLON CAP MGMT	040	DNA	B	285,000	93.72	n/a	n/a	11,400.00	4.0
<b>Ö Ted Wheeler</b>		<b>DVQ</b>	<b>S</b>	<b>35</b>	<b>14.1800</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WINSLOW CAPITAL	030	ECL	S	300	32.86	10-Nov	12-Feb	12.00	4.0
SANDLER O'NEILL ASSET	031	EV	B	25,000	19.97	9-Mar	17-Mar	1,250.00	5.0
TPG-AXON	040	FDO	C	55,000	31.15	5-Mar	6-Mar	2,750.00	5.0
COUGAR TRADING	044	FINL	S	5,000	5.75	n/a	28-Mar	200.00	4.0
WINSLOW CAPITAL	030	FTI	S	300	32.92	n/a	n/a	12.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>80,000</b>	<b>18.09</b>	<b>n/a</b>	<b>n/a</b>	<b>3,200.00</b>	<b>4.0</b>
THE BOSTON COMPANY	401	GES	S	53,680	17.88	n/a	n/a	2,147.20	4.0
CAXTON PART	040	GIS	B	6,194	46.91	n/a	n/a	216.81	3.5
PROSPECTOR PARTNERS LLC	036	GIS	B	33,100	47.74	n/a	n/a	1,324.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WINSLOW CAPITAL	030	GIS	S	200	47.01	n/a	n/a	8.00	4.0
WAGONHOUND/NIC	048	GLW	B	26,606	13.02	9-Feb	19-Mar	1,064.24	4.0
AAD CAPITAL	048	GPI	SS	3,300	14.34	n/a	20-Feb	99.00	3.0
SIGMA CAPITAL ASSOCIATES	041	GPS	S	50,000	12.33	n/a	30-Jan	2,500.00	5.0
CADIAN CAPITAL	067	HIBB	SS	50,000	17.96	n/a	n/a	2,000.00	4.0
WINSLOW CAPITAL	030	HPQ	S	300	29.12	n/a	n/a	12.00	4.0
WINSLOW CAPITAL	030	ICE	S	200	75.04	n/a	n/a	8.00	4.0
KINGDON ASSET MGMT	041	ISIL	S	100,000	11.96	n/a	n/a	4,000.00	4.0
Õ Alice Longley		IYR	B	600	28.3300	n/a	n/a	0.00	0.0
CAXTON PART	040	JPM	S	31,470	25.05	16-Jan	18-Mar	1,101.47	3.5
WINSLOW CAPITAL	030	JPM	S	200	25.22	16-Jan	18-Mar	8.00	4.0
CADIAN CAPITAL	067	JWN	SS	75,000	15.40	20-Feb	6-Mar	3,000.00	4.0
SCOPUS FUND	041	KPPC	B	10,000	2.28	n/a	n/a	500.00	5.0
WINSLOW CAPITAL	030	KSS	S	300	40.64	17-Mar	17-Mar	12.00	4.0
T. ROWE PRICE	033	K	B	306,000	36.86	n/a	n/a	9,629.00	3.5
WINSLOW CAPITAL	030	LOW	S	400	17.51	23-Feb	23-Feb	16.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MATRIX ASSET ADV.	036	MDT	B	18,000	28.10	n/a	22-Apr	720.00	4.0
STRONG/MARK GRONET	030	MMR	B	60,000	5.25	n/a	n/a	1,800.00	3.0
WINSLOW CAPITAL	030	MON	S	200	82.55	n/a	22-Jan	8.00	4.0
TROUBH PART	067	MRK	B	25,000	25.87	n/a	n/a	1,250.00	5.0
THOMSON HORSTMAN BRYANT	040	NWY	B	25,000	2.89	n/a	30-Jan	750.00	3.0
EPOCH INV MGMT	030	OKE	B	1,650	22.36	n/a	n/a	66.00	4.0
EPOCH INV MGMT	030	ORCL	B	4,250	17.59	n/a	n/a	170.00	4.0
WINSLOW CAPITAL	030	PBR	S	100	32.64	n/a	n/a	4.00	4.0
PROSPECTOR PARTNERS LLC	036	PCG	SS	21,400	38.31	n/a	n/a	856.00	4.0
RAMIUS CAP	040	PCH	B	45,000	21.94	n/a	26-Feb	1,800.00	4.0
WINSLOW CAPITAL	030	PCP	S	200	58.94	n/a	n/a	8.00	4.0
WINSLOW CAPITAL	030	PCS	S	300	17.17	n/a	n/a	12.00	4.0
FRONTPOINT/COPIA-ANDREW	031	PDE	B	24,922	20.20	n/a	n/a	872.27	3.5
WINSLOW CAPITAL	030	PG	S	600	46.39	12-Mar	12-Mar	24.00	4.0
COUGAR TRADING	044	PLCE	SS	10,000	21.38	19-Mar	19-Mar	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	PSS	B	30,000	8.84	n/a	n/a	900.00	3.0
CADIAN CAPITAL	067	PVH	B	50,000	18.56	22-Jan	22-Jan	2,000.00	4.0
SHAREVEST CAPITAL MGMT.	038	PWR	S	3,500	21.45	n/a	n/a	175.00	5.0
EPOCH INV MGMT	030	PX	B	1,400	65.46	4-Feb	4-Feb	56.00	4.0
FIRST NEW YORK NICK	029	Q	S	20,000	3.54	n/a	n/a	1,000.00	5.0
SONTERRA CAP	041	RCL	SS	60,000	8.56	30-Jan	30-Jan	1,800.00	3.0
EPOCH INV MGMT	030	RE	B	1,200	69.69	n/a	n/a	48.00	4.0
EPOCH INV MGMT	030	SCG	B	2,200	29.80	n/a	n/a	88.00	4.0
GLOBAL 56 LLC	060	SKX	S	2,500	6.38	n/a	13-Mar	125.00	5.0
WEISS PECK	040	SKX	B	53,420	6.45	n/a	13-Mar	2,136.80	4.0
EPOCH INV MGMT	030	SLGN	B	1,650	50.47	n/a	n/a	66.00	4.0
WINSLOW CAPITAL	030	STJ	S	400	35.30	n/a	n/a	16.00	4.0
GOLDMAN SACHS ASSET MGMT	044	STLD	B	350,000	8.08	n/a	n/a	5,875.00	1.8
EPOCH INV MGMT	030	SUG	B	3,400	15.00	n/a	n/a	136.00	4.0
EPOCH INV MGMT	030	SWY	B	3,100	19.69	n/a	n/a	124.00	4.0
Ö Ted Wheeler		TEN	B	200	8.5800	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	041	TIF	S	25,000	21.21	n/a	30-Jan	1,250.00	5.0
BMO NESBITT BURNS	041	TIN	SS	50,000	4.91	5-Dec	28-Jan	2,500.00	5.0
CAXTON PART	040	TIN	B	40,000	4.99	5-Dec	28-Jan	1,400.01	3.5
EPOCH INV MGMT	030	TMO	B	1,550	33.92	n/a	n/a	62.00	4.0
SANDLER O'NEILL ASSET	031	TROW	B	25,000	25.45	n/a	17-Mar	1,250.00	5.0
WINSLOW CAPITAL	030	UNP	S	500	39.76	n/a	n/a	20.00	4.0
WINSLOW CAPITAL	030	UTX	S	200	41.25	n/a	n/a	8.00	4.0
EPOCH INV MGMT	030	VTR	B	2,100	25.41	n/a	n/a	84.00	4.0
WINSLOW CAPITAL	030	V	S	300	54.43	n/a	13-Feb	12.00	4.0
EPOCH INV MGMT	030	WFR	B	2,400	15.62	n/a	n/a	96.00	4.0
EPOCH INV MGMT	030	WFT	B	2,400	12.51	n/a	n/a	96.00	4.0
WINSLOW CAPITAL	030	WMT	S	400	49.84	10-Oct	6-Mar	16.00	4.0
CADIAN CAPITAL	067	WSM	SS	100,000	10.04	n/a	n/a	4,000.00	4.0
<b>TOTAL (Trade Date) :</b>								<b>110,871.02</b>	

### Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	03/18	FARALLON CAP MGMT (FARABULK F)	040	DNA	B	35,300	93.65	n/a	n/a	1059.00 3.0
AO,CRT	03/18	FARALLON CAP MGMT (FARABULK F)	040	DNA	B	35,300	93.65	n/a	n/a	1412.00 4.0
AO,CXL	03/18	BUCK CAPITAL MGMT (BUCKJPMBS)	001	JWN	SS	2,400	15.22	20-Feb	6-Mar	96.00 4.0
AO,CRT	03/18	BUCK CAPITAL MGMT (BUCKJPMBS)	001	JWN	SS	47,600	15.22	20-Feb	6-Mar	1904.00 4.0
AO,CXL	03/18	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JWN	SS	47,600	15.22	20-Feb	6-Mar	1904.00 4.0
AO,CRT	03/18	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	JWN	SS	2,400	15.22	20-Feb	6-Mar	96.00 4.0
AO,CXL	03/18	DE SHAW (DESHBARCLA)	040	LQD	S	5,000	92.32	n/a	n/a	250.00 5.0
AO,CRT	03/18	WASHINGTON ASSET (WASHCSFB)	048	LQD	S	5,000	92.32	n/a	n/a	250.00 5.0
AO,	03/18	BUCK CAPITAL MGMT (BUCKJPMBS)	001	MWV	S	24,500	11.19	28-Jan	28-Jan	980.00 4.0
AO,CXL	03/18	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	MWV	S	40,000	11.19	28-Jan	28-Jan	1600.00 4.0
AO,CRT	03/18	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	MWV	S	15,200	11.19	28-Jan	28-Jan	608.00 4.0
AO,	03/18	BUCK CAPITAL MGMT (BUCKGIL)	001	MWV	S	300	11.19	28-Jan	28-Jan	12.00 4.0
CRT	03/19	SONTERRA CAP (SONTMSBULK)	041	RCL	SS	60,000	8.56	30-Jan	30-Jan	1800.00 3.0
AO,CXL	03/18	CR INTRINIC INVESTMENT (CRINGSPB)	044	SNY	B	15,000	27.53	n/a	n/a	750.00 5.0
AO,CRT	03/18	CR INTRINIC INVESTMENT (CRINGSPB)	044	SNY	B	15,000	27.53	n/a	n/a	600.00 4.0
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST259)	035	TUP	S	1,500	13.94	n/a	n/a	52.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST255)	035	TUP	S	900	13.94	n/a	n/a	31.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST256)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST170)	035	TUP	S	1,100	13.94	n/a	n/a	38.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST251)	035	TUP	S	4,000	13.94	n/a	n/a	140.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST249)	035	TUP	S	10,300	13.94	n/a	n/a	360.50 3.5

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST243)	035	TUP	S	3,600	13.94	n/a	n/a	126.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST244)	035	TUP	S	5,000	13.94	n/a	n/a	175.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST245)	035	TUP	S	1,200	13.94	n/a	n/a	42.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST247)	035	TUP	S	3,200	13.94	n/a	n/a	112.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST242)	035	TUP	S	2,900	13.94	n/a	n/a	101.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST246)	035	TUP	S	2,900	13.94	n/a	n/a	101.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST241)	035	TUP	S	200	13.94	n/a	n/a	7.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST232)	035	TUP	S	1,300	13.94	n/a	n/a	45.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST235)	035	TUP	S	1,100	13.94	n/a	n/a	38.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST240)	035	TUP	S	500	13.94	n/a	n/a	17.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST221)	035	TUP	S	200	13.94	n/a	n/a	7.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST220)	035	TUP	S	200	13.94	n/a	n/a	7.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST218)	035	TUP	S	1,800	13.94	n/a	n/a	63.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST224)	035	TUP	S	300	13.94	n/a	n/a	10.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST222)	035	TUP	S	200	13.94	n/a	n/a	7.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST216)	035	TUP	S	6,500	13.94	n/a	n/a	227.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST211)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST215)	035	TUP	S	300	13.94	n/a	n/a	10.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST210)	035	TUP	S	1,000	13.94	n/a	n/a	35.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST209)	035	TUP	S	14,200	13.94	n/a	n/a	497.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST208)	035	TUP	S	200	13.94	n/a	n/a	7.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST206)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST207)	035	TUP	S	600	13.94	n/a	n/a	21.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST196)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST9)	035	TUP	S	3,100	13.94	n/a	n/a	108.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST194)	035	TUP	S	500	13.94	n/a	n/a	17.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST195)	035	TUP	S	6,100	13.94	n/a	n/a	213.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST193)	035	TUP	S	400	13.94	n/a	n/a	14.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST199)	035	TUP	S	1,300	13.94	n/a	n/a	45.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST199)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5



Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST191)	035	TUP	S	600	13.94	n/a	n/a	21.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST190)	035	TUP	S	11,800	13.94	n/a	n/a	413.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST47)	035	TUP	S	600	13.94	n/a	n/a	21.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST51)	035	TUP	S	1,200	13.94	n/a	n/a	42.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST35)	035	TUP	S	1,300	13.94	n/a	n/a	45.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST16)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST22)	035	TUP	S	400	13.94	n/a	n/a	14.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST15)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST1)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST184)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST155)	035	TUP	S	600	13.94	n/a	n/a	21.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST178)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST173)	035	TUP	S	4,200	13.94	n/a	n/a	147.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST177)	035	TUP	S	1,500	13.94	n/a	n/a	52.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST168)	035	TUP	S	23,300	13.94	n/a	n/a	815.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST163)	035	TUP	S	200	13.94	n/a	n/a	7.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST120)	035	TUP	S	4,100	13.94	n/a	n/a	143.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST107)	035	TUP	S	1,300	13.94	n/a	n/a	45.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST96)	035	TUP	S	2,900	13.94	n/a	n/a	101.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST49)	035	TUP	S	200	13.94	n/a	n/a	7.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST43)	035	TUP	S	1,200	13.94	n/a	n/a	42.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST264)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST263)	035	TUP	S	100	13.94	n/a	n/a	3.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST261)	035	TUP	S	3,500	13.94	n/a	n/a	122.50 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST260)	035	TUP	S	10,600	13.94	n/a	n/a	371.00 3.5
AO,	03/18	SYSTEMATIC FINANCIAL MGT (SYST253)	035	TUP	S	2,700	13.94	n/a	n/a	94.50 3.5

**TOTAL (AO, CXL, CRT) :**

**7,253.00**

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TOTAL (Trade Date):	110,871.02
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TOTAL (AO, CXL, CRT):	7,253.00
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>118,124.02</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION