



Daily Compliance Activity Report

Trade Date: 08/24/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	10,000	134.12	n/a	n/a	non-brg	5.0
BUCK	015	AB	B	600	83.40	9-Aug	22-Aug	0.00	0.0
BALYASNY ASSET MGMT	044	AEO	B	30,000	24.74	10-Jul	21-Aug	1,500.00	5.0
BUCK CAPITAL MGMT	001	AEO	B	40,000	25.11	10-Jul	21-Aug	1,600.00	4.0
DELTEC ASSET MGMT.	040	AEO	S	9,000	25.54	10-Jul	21-Aug	450.00	5.0
BASIX	033	ANN	B	35,000	31.66	6-Aug	16-Aug	1,400.00	4.0
BUCK CAPITAL MGMT	001	ANN	B	60,000	31.45	6-Aug	16-Aug	2,400.00	4.0
THOMSON HORSTMAN BRYANT	040	ANN	B	66,300	31.58	6-Aug	16-Aug	3,315.00	5.0
BUCK CAPITAL MGMT		AVCI	SS	10,000	9.45	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		BCGI	C	25,000	3.56	n/a	n/a	non-brg	3.0
DOWNTOWN ASSOC	033	BECN	B	2,700	10.96	n/a	n/a	94.50	3.5
BUCK CAPITAL MGMT	001	BIG	B	100,000	27.04	9-Mar	10-Aug	4,000.00	4.0
LATEFF MGMT	040	BRL	B	1,500	52.18	8-Aug	20-Aug	420.00	28.0
ROYCE TRADING	216	CBK	S	100,000	13.95	7-Jun	10-Aug	5,000.00	5.0
WENTWORTH HAUSER VIOLICH	033	CHS	S	430,337	17.14	10-Aug	10-Aug	21,516.85	5.0
ROTHSCHILD ASSET	040	CLF	S	14,540	71.71	n/a	n/a	654.30	4.5

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TRIBECA/DOUG	040	COF	B	5,000	65.79	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	COH	B	5,000	44.06	n/a	1-Aug	200.00	4.0
COUGAR TRADING	044	COH	B	5,000	45.01	n/a	1-Aug	250.00	5.0
BEAR STEARNS ASSET MGMT.	033	CPWM	C	92,000	5.00	n/a	n/a	2,760.00	3.0
BALYASNY ASSET MGMT	044	CVS	S	15,000	36.69	n/a	n/a	750.00	5.0
Õ Glenn Redemann		CYTC	B	3	1.25	30-Jul	31-Jul	0.00	0.0
Õ Glenn Redemann		CYTC	B	3	1.45	30-Jul	31-Jul	0.00	0.0
BUCK CAPITAL MGMT	001	DDS	B	60,000	26.10	n/a	n/a	2,400.00	4.0
Õ Glenn Redemann		DELL	B	15	0.20	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DELL	B	5	0.85	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		DLTR	B	200,000	39.08	10-Aug	10-Aug	non-brg	5.0
LOCUS WOOD CAPITAL MGMT	044	DSW	B	500	32.00	2-Aug	10-Aug	20.00	4.0
BUCK CAPITAL MGMT		EGLT	C	35,000	12.51	n/a	n/a	non-brg	5.0
BALYASNY ASSET MGMT	044	FII	B	55,000	34.65	n/a	22-Aug	2,750.00	5.0
Õ Glenn Redemann		FTI	SS	3	1.43	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FTI	SS	3	2.53	n/a	n/a	0.00	0.0
POST ROAD CAP	068	FXV	S	8,600	86.07	n/a	n/a	344.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GYMB	B	20,000	41.94	10-Aug	23-Aug	non-brg	5.0
BARR	096	ICO	S	3,200	3.86	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	ILF	B	10,500	206.77	n/a	n/a	525.00	5.0
COUGAR TRADING	044	JCP	B	5,000	65.99	21-Jun	16-Aug	250.00	5.0
ROSEDALE CAPITAL	046	JCP	S	10,000	64.62	21-Jun	16-Aug	500.00	5.0
⌘ BUCK CAPITAL MGMT		JOSB	B	65,300	29.55	n/a	n/a	non-brg	5.0
MAGUIRE	090	JPM	SS	1,500	45.94	n/a	16-Aug	0.00	0.0
BARR	096	JPM	SS	500	45.72	n/a	16-Aug	0.00	0.0
⌘ BUCK CAPITAL MGMT		JWN	B	110,000	47.87	12-Jul	17-Aug	non-brg	5.0
BUCK CAPITAL MGMT	001	KSS	B	10,000	58.27	14-Jun	27-Jul	400.00	4.0
⌘ BUCK CAPITAL MGMT		LULU	B	5,000	33.48	n/a	n/a	non-brg	5.0
STADIA CAPITAL	035	MAT	B	100,000	22.04	n/a	n/a	4,000.00	4.0
BUCK	015	MCO	SS	600	46.44	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MDTL	SS	5,000	10.05	n/a	n/a	non-brg	5.0
BARR	096	MENT	B	3,200	14.06	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	MER	B	10,000	75.88	18-Jul	16-Aug	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	MOUM	B	10	0.60	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	MS	B	10,000	64.23	2-Jul	16-Aug	500.00	5.0
⚡ BUCK CAPITAL MGMT		MVRX	C	125,000	177.60	n/a	n/a	non-brg	17.8
MAGUIRE	090	MZZ	B	2,000	56.35	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		M	S	100,000	31.03	13-Jul	16-Aug	non-brg	5.0
J L F ASSET MGMT	039	NKE	B	62,700	54.33	n/a	n/a	2,508.00	4.0
CAPITAL GROWTH MGMT.	241	NOV	B	95,000	118.91	n/a	n/a	4,750.00	5.0
COUGAR TRADING	044	NWY	B	10,000	6.45	25-May	24-Aug	500.00	5.0
MATLIN PATTERSON	046	OC	S	15,000	25.38	n/a	n/a	750.00	5.0
J L F ASSET MGMT	039	ODP	B	119,000	24.38	n/a	27-Jul	4,760.00	4.0
⚡ BUCK CAPITAL MGMT		PERY	S	30,000	27.91	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		PERY	S	53,100	28.13	n/a	n/a	non-brg	5.0
⚡ BUCK CAPITAL MGMT		PERY	S	86,900	28.09	n/a	n/a	non-brg	2.0
BARON ASSET MGMT*	012	PNRA	B	40,000	44.40	n/a	n/a	2,000.00	5.0
ASCEND PARTNERS	033	PVH	S	15,000	57.37	13-Aug	23-Aug	750.00	5.0
CASTANEDA	001	PVH	S	65	56.40	13-Aug	23-Aug	0.00	0.0
HIGHBRIDGE CAPITAL	038	RBC	SS	7,400	51.02	n/a	n/a	370.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	090	SKF	B	1,000	81.06	n/a	n/a	0.00	0.0
BARR	096	SKF	B	550	81.54	n/a	n/a	0.00	0.0
CUMBERLAND	038	SLG	B	15,000	112.16	n/a	n/a	600.00	4.0
MKT MAKER	099	SSCC	S	200	10.98	4-May	20-Aug	0.00	0.0
STRAUSS ASSET MGMT	040	TDF	S	15,000	26.71	n/a	n/a	750.00	5.0
Õ Glenn Redemann		TEVA	B	2	1.01	2-Aug	20-Aug	0.00	0.0
Õ Glenn Redemann		TEVA	B	2	1.16	2-Aug	20-Aug	0.00	0.0
MKT MAKER	099	TGIC	S	13	25.64	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	TIF	B	37,200	45.04	1-Jun	13-Jun	1,488.00	4.0
ß BUCK CAPITAL MGMT		TLB	SS	100,000	22.84	n/a	23-Aug	non-brg	5.0
CASTANEDA	001	TRA	S	20	25.01	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		TSCO	B	37,500	47.71	n/a	n/a	non-brg	5.0
HIGHBRIDGE CAPITAL	038	VMC	B	35,700	91.10	n/a	n/a	1,785.00	5.0
Õ Glenn Redemann		WEN	B	2	0.18	24-Apr	24-Apr	0.00	0.0
Õ Glenn Redemann		WEN	B	2	0.18	24-Apr	24-Apr	0.00	0.0
Õ Glenn Redemann		WEN	SS	2	0.91	24-Apr	24-Apr	0.00	0.0
Õ Glenn Redemann		WEN	SS	2	1.02	24-Apr	24-Apr	0.00	0.0
TRIBECA/DOUG	040	WM	B	10,000	36.28	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	XGM	B	3,000	17.76	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	ZQK	S	10,000	13.03	9-Mar	27-Jun	400.00	4.0
COUGAR TRADING	044	ZQK	S	10,000	13.07	9-Mar	27-Jun	500.00	5.0
Õ Glenn Redemann		ZUMZ	B	3	1.04	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ZUMZ	B	3	1.05	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ZUMZ	SS	3	2.22	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ZUMZ	SS	3	2.29	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								81,010.65	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	08/22	PAW PARTNERS	033	DSW	B	30,000	33.07	2-Aug	10-Aug	1500.00 5.0
AO,CRT	08/22	WALKER SMITH	031	DSW	B	30,000	33.07	2-Aug	10-Aug	1500.00 5.0
AO,CRT	08/23	BUCK CAPITAL MGMT	001	RL	B	45,400	79.78	9-Aug	9-Aug	1816.00 4.0
AO,CXL	08/23	BUCK CAPITAL MGMT	001	RL	B	45,500	79.78	9-Aug	9-Aug	1820.00 4.0
AO,CRT	08/23	BUCK CAPITAL MGMT	001	RL	B	100	79.78	9-Aug	9-Aug	4.00 4.0
AO,CRT	08/23	HUNTER GLOBAL INVESTOR	067	XLB	SS	77,500	38.56	n/a	n/a	2325.00 3.0
AO,CXL	08/23	HUNTER GLOBAL INVESTOR	067	XLB	SS	77,500	38.56	n/a	n/a	3875.00 5.0
TOTAL (AO, CXL, CRT) :										-1,550.00

TOTAL (Trade Date) :	81,010.65
TOTAL (AO, CXL, CRT) :	-1,550.00

TOTAL (RUN DATE) / NFS :	79,460.65
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MRI Securities with Trade Activity on 24-Aug. NONE

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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 15%

YTD = 16%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION