



Daily Compliance Activity Report

Trade Date: 01/07/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		\$\$EURUSD	C	182,400	1.30	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		\$\$GBPUSD	C	16,622	1.55	n/a	n/a	non-brg	5.0
f ROBERT M DANIELS	FID	ALU	B	1000	2.97	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ANF	S	600	53.75	n/a	7-Jan	non-brg	4.0
B BUCK CAPITAL MGMT		ANN	S	100,000	23.44	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ANN	S	140,000	23.08	n/a	n/a	non-brg	4.0
f WILLIAM J GAULT	FID	APC	B	1000	74.70	n/a	14-Dec	0.00	0.0
f WILLIAM J GAULT	FID	APC	S	2000	74.34	n/a	14-Dec	0.00	0.0
SURSUM CAPITAL	215	ARO	B	25,000	24.81	n/a	7-Jan	750.00	3.0
B BUCK CAPITAL MGMT		ASNA	S	88,623	25.16	n/a	n/a	non-brg	4.0
f FRANCIS MICHAEL MCCA	FID	BAC	S	800	14.05	n/a	6-Jan	0.00	0.0
RBC CAPITAL MARKETS	040	BA	S	20,000	69.34	n/a	22-Nov	800.00	4.0
f WILLIAM WEINBERG	FID	BDE	B	5000	7.43	n/a	n/a	0.00	0.0
f RAVI SADARANGANI	FID	BEBE	S	700	5.65	n/a	n/a	0.00	0.0
f RAVI SADARANGANI	FID	BEBE	S	700	5.65	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	B	20000	8.45	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRUST CO/COWEN/CALF.	040	BHI	S	88,680	56.63	n/a	n/a	4,434.00	5.0
⌘ BUCK CAPITAL MGMT		BONT	S	15,000	11.93	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		BONT	S	5,000	12.66	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BONT	S	95,000	12.65	n/a	n/a	non-brg	2.0
BMO NESBITT BURNS	041	BWS	S	2,900	13.08	n/a	n/a	174.00	6.0
GEORGE WEISS	034	BZ	B	50,000	8.15	n/a	n/a	1,500.00	3.0
⌘ BUCK CAPITAL MGMT		CBK	B	41,500	5.84	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		CBK	B	8,500	5.80	n/a	n/a	non-brg	0.8
SURSUM CAPITAL	215	CHRS	S	127,900	3.45	n/a	n/a	3,837.00	3.0
⌘ BUCK CAPITAL MGMT		CHS	S	300,000	11.15	n/a	5-Feb	non-brg	4.0
SAC TRADING TOM LEDDY	035	CL	B	30,000	78.06	n/a	8-Nov	1,050.00	3.5
⌘ BUCK CAPITAL MGMT		COH	B	30,000	52.83	n/a	27-Oct	non-brg	4.0
SURSUM CAPITAL	215	COH	SS	15,000	52.77	n/a	27-Oct	450.00	3.0
⌘ BUCK CAPITAL MGMT		COLM	SS	20,000	58.85	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	CRI	SS	50,000	28.72	n/a	n/a	1,500.00	3.0
DELTEC ASSET MGMT.	040	CSCO	B	10,000	20.95	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		CSTR	B	20,000	57.31	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBUS CAP MGMT LLC	084	CVGI	B	15,000	17.46	n/a	n/a	750.00	5.0
STANDARD PACIFIC CAPITAL	040	CX	B	200,000	10.64	n/a	n/a	10,000.00	5.0
MELLON/BANK OF NEW YORK	046	CYBX	S	2,900	33.97	n/a	n/a	116.00	4.0
WAGONHOUND/NIC	289	C	B	30,000	4.99	n/a	6-Jan	600.00	2.0
B BUCK CAPITAL MGMT		DECK	S	40,000	78.05	n/a	n/a	non-brg	4.0
COLUMBIA PARTNERS	028	DG	SS	29,500	29.85	n/a	16-Dec	1,180.00	4.0
CAVU CAP ADV	028	DRI	B	15,000	46.84	n/a	7-Jan	750.00	5.0
f JOHN BARTMAN COLE	FID	DRYS	S	1500	5.40	n/a	n/a	0.00	0.0
CATAPULT	040	EMN	B	5,000	87.61	n/a	n/a	200.00	4.0
RBC CAPITAL MARKETS	040	FCX	SS	10,000	115.73	n/a	n/a	400.00	4.0
f ROBERT M DANIELS	FID	FDP	S	150	24.61	n/a	n/a	0.00	0.0
MILLENIUM PARTNERS	028	FDX	B	20,000	93.54	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		FINL	B	20,000	16.26	n/a	n/a	non-brg	4.0
BASIX	033	FL	B	54,000	18.93	n/a	22-Dec	1,620.00	3.0
f ABRAM M CHAPPELL	FID	FNF	S	500	13.48	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JASON ERIC COHEN	FID	FNF	B	25	0.40	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	FNF	S	500	13.48	n/a	n/a	0.00	0.0
f MARK DEVEREAUX KAICH	FID	FNF	B	500	13.13	n/a	n/a	0.00	0.0
f MARK DEVEREAUX KAICH	FID	FNF	S	500	13.48	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FOSL	B	50,000	71.38	n/a	n/a	non-brg	4.0
f JOSEPH CIRO AMATURO	FID	FRO	S	2000	27.24	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	FSS	B	1500	7.25	n/a	n/a	0.00	0.0
STELLIAM INV ADV	034	FTO	S	17,500	18.53	n/a	n/a	875.00	5.0
OSKIE CAPITAL MGMT	224	F	B	10,000	18.18	n/a	7-Jan	500.00	5.0
ß BUCK CAPITAL MGMT		GCO	B	15,000	35.95	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GCO	B	78,000	35.48	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GES	B	20,000	42.81	n/a	24-Nov	600.00	3.0
SCHOTTENFELD ASSOC	041	GES	B	10,000	42.89	n/a	24-Nov	300.00	3.0
ß BUCK CAPITAL MGMT		GIII	S	12,300	36.02	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		GIII	S	7,700	36.40	n/a	n/a	non-brg	0.8
f CICILY S BACKUS	FID	GM	B	3000	38.80	n/a	7-Jan	0.00	0.0
f LBP ENTERPRISES INC	FID	GM	B	2000	38.81	n/a	7-Jan	0.00	0.0
f SNEJANA P GARFUNKEL	FID	GM	B	500	38.78	n/a	7-Jan	0.00	0.0
OSKIE CAPITAL MGMT	224	GNW	B	10,000	14.23	n/a	n/a	500.00	5.0
SURSUM CAPITAL	215	GPS	B	35,000	20.47	n/a	n/a	1,050.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f ROBERT M DANIELS	FID	GSI	B	1000	2.91	n/a	n/a	0.00	0.0
OSKIE CAPITAL MGMT	224	HEES	B	10,000	12.00	n/a	n/a	500.00	5.0
B BUCK CAPITAL MGMT		IMAX	S	94,100	27.30	n/a	n/a	non-brg	2.5
BMO NESBITT BURNS	041	IP	S	25,000	27.96	n/a	7-Jan	1,250.00	5.0
S.A.C. CAP/ORENSTEIN	044	IP	SS	100,000	28.03	n/a	7-Jan	3,500.00	3.5
SCOPUS FUND	041	JCI	SS	60,000	40.23	n/a	5-Jan	2,400.00	4.0
B BUCK CAPITAL MGMT		JNY	S	65,000	14.92	n/a	28-Oct	non-brg	4.0
f KAREN F LEDDY	FID	JNY	S	1800	14.36	n/a	28-Oct	0.00	0.0
f THOMAS MACTAGGART LE	FID	JNY	S	200	14.37	n/a	28-Oct	0.00	0.0
WEISS PECK	040	JNY	S	54,900	14.57	n/a	28-Oct	2,745.00	5.0
B BUCK CAPITAL MGMT		JOSB	S	20,000	40.14	n/a	n/a	non-brg	4.0
OSKIE CAPITAL MGMT	224	JPM	S	9,000	44.03	n/a	7-Jan	450.00	5.0
f ROBERT M DANIELS	FID	JST	B	500	10.73	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	JTX	S	10000	1.96	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JWN	B	10,000	42.37	n/a	7-Jan	300.00	3.0
LEVIN CAPITAL STRATEGIES	012	LEA	B	25,000	105.99	n/a	7-Jan	750.00	3.0
B BUCK CAPITAL MGMT		LIZ	S	200,000	5.91	n/a	7-Oct	non-brg	3.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	KAREN F LEDDY	FID	LIZ	S	4500	5.94	n/a	7-Oct	0.00	0.0
	CSFB GLOBAL PROPRIETARY	041	LPX	B	40,000	10.03	n/a	8-Nov	2,000.00	5.0
B	BUCK CAPITAL MGMT		MNRO	B	10,000	31.71	n/a	n/a	non-brg	0.8
B	BUCK CAPITAL MGMT		MNRO	B	20,000	31.76	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		MNRO	B	70,000	31.83	n/a	n/a	non-brg	1.0
f	JOSEPH CIRO AMATURO	FID	MOTR	S	10000	19.97	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		MSMSRAWW	C	900,000	110.15	n/a	n/a	non-brg	5.5
B	BUCK CAPITAL MGMT		MSMSSOHA	SS	1,190,000	99.51	n/a	n/a	non-brg	5.0
B	BUCK CAPITAL MGMT		MW	SS	10,000	25.59	n/a	n/a	non-brg	4.0
	KACELA CAPITAL	034	M	B	45,000	23.39	n/a	7-Jan	1,800.00	4.0
f	KAREN F LEDDY	FID	NBRXF	B	600	15.48	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	NWY	B	20,000	4.98	n/a	n/a	400.00	2.0
	ARMATURE CAPITAL	041	OI	B	50,000	31.32	n/a	n/a	2,000.00	4.0
	MELLON/BANK OF NEW YORK	046	OMC	B	374,256	46.95	n/a	n/a	14,970.24	4.0
	BUCK CAPITAL MGMT	001	OXM	S	10,000	27.24	n/a	n/a	300.00	3.0
	WEISS PECK	040	PAG	S	44,920	16.38	n/a	7-Jan	2,246.00	5.0
f	JAMES H HLAVACEK II	FID	PBT	B	200	22.30	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROTHSCHILD ASSET	040	PII	B	9,939	71.74	n/a	n/a	397.56	4.0
BMO NESBITT BURNS	041	PKG	B	9,000	27.50	7-Jan	7-Jan	360.00	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	20,000	27.51	7-Jan	7-Jan	1,000.00	5.0
⚡ BUCK CAPITAL MGMT		PLCE	S	10,400	46.13	n/a	6-Jan	non-brg	1.0
⚡ BUCK CAPITAL MGMT		PLCE	S	20,000	44.80	n/a	6-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PLCE	S	25,000	44.93	n/a	6-Jan	non-brg	2.5
⚡ BUCK CAPITAL MGMT		PLCE	S	27,060	44.22	n/a	6-Jan	non-brg	0.8
⚡ BUCK CAPITAL MGMT		PLCE	S	3,200	46.03	n/a	6-Jan	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PLCE	S	50,000	44.20	n/a	6-Jan	non-brg	2.5
⚡ BUCK CAPITAL MGMT		PLCE	S	81,421	44.39	n/a	6-Jan	non-brg	1.0
METROPOLITAN CAPITAL	044	PLCE	B	6,200	44.81	n/a	6-Jan	310.00	5.0
f MANNY I KORMAN	FID	PM	B	30	0.06	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	PM	S	3000	56.55	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	PSS	B	6,000	20.59	n/a	n/a	360.00	6.0
⚡ BUCK CAPITAL MGMT		PVH	B	50,000	58.77	n/a	3-Dec	non-brg	4.0
SCHOTTENFELD ASSOC	041	QID	S	10,000	11.14	n/a	n/a	300.00	3.0
MERRILL ASSET/BLACKROCK	266	RE	S	3,500	82.43	n/a	n/a	140.00	4.0
MERRILL ASSET/BLACKROCK	266	RGA	B	7,100	56.57	n/a	n/a	284.00	4.0
SENATOR INVESTMENT GROUP	036	RJET	S	50,000	7.35	n/a	n/a	1,500.00	3.0
⚡ BUCK CAPITAL MGMT		RRR	S	600	12.00	n/a	25-Oct	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DSG CAPITAL	012	RRR	S	10,100	11.89	n/a	25-Oct	505.00	5.0
B BUCK CAPITAL MGMT		RTH	SS	5,000	104.58	n/a	n/a	non-brg	2.0
f EDWARD W WHEELER	FID	SCSC	B	5000	31.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SHOO	B	60,647	40.89	n/a	n/a	non-brg	4.0
MANNING & NAPIER	034	SHW	S	6,800	83.01	n/a	5-Jan	204.00	3.0
B BUCK CAPITAL MGMT		SIG	B	50,000	41.33	n/a	n/a	non-brg	4.0
f MARGARET ANNE MCAULE	FID	SMOD	B	500	6.06	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	SNCR	S	50	29.67	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SODA	B	20,000	30.37	n/a	n/a	non-brg	4.0
RBC CAPITAL MARKETS	040	SPR	S	10,000	20.98	n/a	5-Nov	400.00	4.0
B BUCK CAPITAL MGMT		SPY	C	168,210	127.38	n/a	n/a	non-brg	2.0
COLUMBUS CAP MGMT LLC	084	SRI	B	50,000	16.47	n/a	n/a	2,500.00	5.0
HOLLIS CAPITAL	033	SSCC	S	10,000	26.82	7-Jan	7-Jan	500.00	5.0
f CHRISTOPHER ROBERT C	FID	STVI	B	2000	2.55	n/a	n/a	0.00	0.0
GEORGE WEISS	034	SVN	B	30,000	21.42	n/a	n/a	1,200.00	4.0
FIRST NEW YORK LEDDY	035	S	S	20,000	4.59	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLE ARK	031	TDC	B	177,661	44.03	n/a	n/a	8,883.05	5.0
COUGAR TRADING	044	TGT	B	10,000	54.99	n/a	7-Jan	500.00	5.0
MERRILL ASSET/BLACKROCK	266	THG	S	14,761	46.16	n/a	n/a	590.44	4.0
SURSUM CAPITAL	215	TIF	S	15,000	58.96	n/a	n/a	450.00	3.0
BMO NESBITT BURNS	041	TIN	S	9,000	22.55	n/a	7-Jan	360.00	4.0
⌘ BUCK CAPITAL MGMT		TLB	S	200,000	7.52	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		TLB	S	226,085	7.53	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		TLB	S	280,000	7.63	n/a	n/a	non-brg	3.0
⌘ BUCK CAPITAL MGMT		TLB	S	96,300	7.54	n/a	n/a	non-brg	0.8
SURSUM CAPITAL	215	TLB	S	51,997	7.55	n/a	n/a	1,559.91	3.0
LEVEL GLOBAL SHARED ACCT	257	TPX	S	64,200	40.07	n/a	n/a	1,926.00	3.0
MILLENIUM PARTNERS	028	UPS	S	25,000	72.51	n/a	n/a	1,000.00	4.0
SURSUM CAPITAL	215	URBN	S	30,000	35.66	n/a	n/a	900.00	3.0
BUCK CAPITAL MGMT	001	VFC	SS	20,000	81.97	n/a	22-Oct	600.00	3.0
⌘ BUCK CAPITAL MGMT		VRA	SS	10,000	36.42	n/a	n/a	non-brg	2.5
OSKIE CAPITAL MGMT	224	WFC	S	10,000	31.83	n/a	6-Jan	500.00	5.0
MERRILL ASSET/BLACKROCK	266	WLL	S	7,000	112.07	n/a	n/a	280.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Ⓐ BUCK CAPITAL MGMT		WMT	SS	30,000	53.94	n/a	17-Nov	non-brg	4.0
BUCK CAPITAL MGMT	001	WRC	B	50,000	49.78	n/a	9-Nov	1,500.00	3.0
Ⓐ BUCK CAPITAL MGMT		WRC	B	20,000	50.14	n/a	9-Nov	non-brg	4.0
CAERUS GLOBAL	041	WRC	B	25,000	49.80	n/a	9-Nov	1,000.00	4.0
Ⓕ MARC PAUL LUCHANSKY	FID	WRC	S	250	48.97	n/a	9-Nov	0.00	0.0
LATEFF MGMT	035	WRIPRF	B	2,000	22.68	n/a	n/a	250.00	12.5
TOTAL (Trade Date):							101,907.20		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	01/06	SCHOTTENFELD ASSOC	041	C	S	10,000	4.95	n/a	6-Jan	300.00 3.0
AO,CRT	01/06	SCHOTTENFELD ASSOC	041	C	S	10,000	4.95	n/a	6-Jan	300.00 3.0
AO,CRT	01/06	ADAGE CAPITAL MGMT	030	GES	SS	55,654	44.85	n/a	24-Nov	1669.62 3.0
AO,CXL	01/06	ADAGE CAPITAL MGMT	030	GES	SS	55,654	44.85	n/a	24-Nov	1669.62 3.0
AO,CRT	01/06	MATRIX ASSET ADV.	091	KO	B	2,000	63.23	n/a	23-Dec	80.00 4.0
AO,CXL	01/06	MATRIX ASSET ADV.	091	KO	B	2,000	63.23	n/a	23-Dec	0.00 0.0
AO,CXL	01/06	MERCHANTS GATE CAP LP	036	PVH	SS	36,400	62.00	n/a	3-Dec	1456.00 4.0
AO,CRT	01/06	MERCHANTS GATE CAP LP	036	PVH	SS	36,400	62.00	n/a	3-Dec	1456.00 4.0
AO,CRT	01/06	LEVIN CAPITAL STRATEGIES	012	TGT	B	2,945	55.02	n/a	7-Jan	88.35 3.0
AO,CXL	01/06	LEVIN CAPITAL STRATEGIES	012	TGT	B	2,945	55.02	n/a	7-Jan	88.35 3.0

TOTAL (AO, CXL, CRT) :	80.00
-------------------------------	--------------

TOTAL (Trade Date):	101,907.20
TOTAL (AO, CXL, CRT):	80.00

TOTAL (RUN DATE) / NFS:	101,987.20
--------------------------------	-------------------

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------