



## Daily Compliance Activity Report

Trade Date: 06/25/2012

### POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HARVEST MGMT (JMP)	029	ARO	B	5,000	15.91	n/a	n/a	200.00	4.0
MACELLUM CAPITAL	086	ARO	S	31,000	15.91	n/a	n/a	930.00	3.0
SCOPUS FUND	041	BBBY	B	20,000	59.55	n/a	n/a	800.00	4.0
TUDOR INVESTORS	028	BGC	B	5,000	24.03	n/a	n/a	150.00	3.0
CHARTER OAK	033	BUD	S	20,000	71.42	n/a	4-Jun	1,000.00	5.0
MACELLUM CAPITAL	086	BYD	S	38,950	7.02	n/a	n/a	1,168.50	3.0
HIGHBRIDGE CAP	041	CHRW	SS	9,000	56.43	n/a	n/a	270.00	3.0
TUDOR INVESTORS	028	CLD	B	10,000	15.21	n/a	n/a	300.00	3.0
BRONSON POINT	036	CMI	SS	20,000	89.70	n/a	13-Jun	600.00	3.0
ARSENAL ASSET MANAGEMENT	028	CSL	B	5,000	49.23	n/a	n/a	200.00	4.0
COHE & STEERS	041	CUBE	B	15,860	11.02	n/a	n/a	475.80	3.0
WEINTRAUB CAPITAL MGT.	269	CYT	S	25,000	55.61	n/a	n/a	1,000.00	4.0
HIGHBRIDGE CAP	041	FDX	SS	3,000	88.51	n/a	n/a	90.00	3.0
LEVIN CAPITAL STRATEGIES	041	F	SS	15,000	10.12	n/a	4-Jun	450.00	3.0
HARVEST MGMT (JMP)	029	GM	B	8,500	19.92	n/a	7-Jun	340.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	041	GM	SS	10,000	20.25	n/a	7-Jun	300.00	3.0
KLEINHEINZ CAP PART	031	HBI	S	50,000	27.14	n/a	25-May	2,500.00	5.0
LONG OAR GLOBAL INV	040	HD	S	55,019	51.54	n/a	7-Jun	2,200.76	4.0
CRAMER ROSENTHAL	070	HOT	B	57,400	50.30	n/a	13-Jun	2,296.00	4.0
ARSENAL ASSET MANAGEMENT	028	HUBB	B	5,000	74.13	n/a	n/a	200.00	4.0
KLEINHEINZ CAP PART	031	KSS	S	50,000	42.88	n/a	1-Jun	2,500.00	5.0
HIGHBRIDGE CAP	041	LYB	B	7,000	39.06	n/a	n/a	210.00	3.0
HIGHBRIDGE CAP	041	MAS	SS	21,000	12.19	n/a	n/a	630.00	3.0
MACELLUM CAPITAL	086	MGM	S	52,140	10.49	n/a	13-Jun	1,564.20	3.0
PORTER-ORLIN	044	MT	SS	23,300	14.16	n/a	n/a	932.00	4.0
PORTER-ORLIN	044	MT	S	176,700	14.16	n/a	n/a	7,068.00	4.0
LISTED ERR	099	MT	B	200,000	14.16	n/a	n/a	0.00	0.0
LISTED ERR	099	MT	SS	23,300	14.16	n/a	n/a	0.00	0.0
LISTED ERR	099	MT	S	176,700	14.16	n/a	n/a	0.00	0.0
SENATOR INVESTMENT GROUP	036	TIF	S	200,000	51.22	n/a	n/a	6,000.00	3.0
TRUST CO/COWEN/CALF.	040	WDC	B	216,505	28.90	n/a	n/a	10,825.25	5.0
COHE & STEERS	041	WY	B	44,500	20.33	n/a	4-May	1,335.00	3.0

---

**TOTAL (Trade Date):**

**46,535.51**

---

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									46,535.51
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									46,535.51

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION