



# Daily Compliance Activity Report

Trade Date: 07/20/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		AAPL	S	5,000	140.77	n/a	n/a	non-brg 5.0
EGS ASSOCIATES LP	091	ABG	S	3,100	23.34	18-Jun	13-Jul	186.00 6.0
BUCK CAPITAL MGMT		ACMR	SS	10,000	20.76	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT	001	AEO	S	100,000	27.48	10-Jul	13-Jul	4,000.00 4.0
GLG PARTNERS	034	AEO	B	50,000	27.46	10-Jul	13-Jul	2,500.00 5.0
BUCK CAPITAL MGMT	001	ANF	B	30,000	72.16	12-Jul	13-Jul	1,200.00 4.0
MAZAMA CAPITAL MGMT.	035	AOS	S	37,300	50.16	18-Jul	18-Jul	1,492.00 4.0
CRAMER ROSENTHAL/SCOTT	012	ARO	B	20,800	41.05	n/a	13-Jul	832.00 4.0
BUCK CAPITAL MGMT		ASD	B	5,000	59.47	18-Jul	18-Jul	non-brg 5.0
PIVOT CAP	034	ASD	S	27,500	59.60	18-Jul	18-Jul	1,375.00 5.0
BUCK CAPITAL MGMT		BEBE	S	50,000	15.32	n/a	n/a	non-brg 5.0
BUCK CAPITAL MGMT	001	BID	S	10,000	49.71	n/a	n/a	400.00 4.0
CROWLEY	061	BLJ	B	700	16.73	n/a	n/a	0.00 0.0
THE JAY GOLDMAN	034	BMS	B	12,000	32.93	n/a	n/a	480.00 4.0
HIGHBRIDGE CAPITAL	038	BNI	B	4,000	90.24	n/a	n/a	200.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SINCLAIR	031	BSCAK	B	9	5.80	n/a	n/a	0.00	0.0
ZOLIDIS	011	BX	B	200	25.89	n/a	n/a	0.00	0.0
WAGONHOUND	048	BYD	B	14,452	49.97	n/a	27-Apr	722.60	5.0
BUCK CAPITAL MGMT	001	CEC	SS	10,000	36.20	n/a	n/a	400.00	4.0
BARR	096	CEVA	B	1,600	9.08	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CHIC	S	100,000	24.00	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CHIC	S	80,000	23.95	n/a	n/a	non-brg	5.0
BEAR STEARNS ASSET MGMT.	033	CHS	C	18,600	21.35	12-Jul	13-Jul	744.00	4.0
⌘ BUCK CAPITAL MGMT		CMRG	S	20,000	11.33	n/a	n/a	non-brg	5.0
COUGAR TRADING	044	COH	B	10,000	49.27	23-Feb	25-Apr	500.00	5.0
⌘ BUCK CAPITAL MGMT		CROX	S	20,000	44.84	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CTRN	S	20,000	37.49	n/a	n/a	non-brg	5.0
SUTERA	160	CXTI	B	1,000	5.68	n/a	n/a	0.00	0.0
LIGHTHOUSE FIN/QUAD	046	C	B	25,000	51.65	n/a	13-Jul	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		DBRN	S	80,000	21.02	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		DDS	SS	10,000	37.00	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DECK	S	5,000	103.54	n/a	n/a	non-brg	5.0
BELMONT GLOBAL ADV	248	DEEP	S	30,000	17.58	n/a	n/a	1,500.00	5.0
LEDDY	035	DFZ	S	600	10.90	n/a	n/a	0.00	0.0
BUCK	015	DIS	S	1,500	34.42	n/a	n/a	0.00	0.0
LEDDY	035	DLIA	S	1,400	7.28	n/a	n/a	0.00	0.0
DALTON GREINER	012	DLTR	S	12,790	41.24	30-May	11-Jul	639.50	5.0
BUCK CAPITAL MGMT	001	DSW	B	15,000	37.09	7-May	7-Jun	600.00	4.0
BUCK	015	DVN	B	300	81.30	18-Jul	20-Jul	0.00	0.0
NICOLAS APPEGATE	048	ELOY	S	40,000	18.50	n/a	n/a	1,600.00	4.0
LEDDY	035	EVST	S	3	33.02	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		FL	B	900	22.60	24-May	21-Jun	non-brg	1.0
BUCK CAPITAL MGMT	001	GES	S	20,000	49.89	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		GES	S	30,000	49.87	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GPI	S	161,000	38.38	27-Apr	13-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		GPI	S	84,000	38.43	27-Apr	13-Jul	non-brg	2.0
BUCK CAPITAL MGMT	001	GPS	SS	20,000	18.69	n/a	13-Jul	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	GPYHF	B	5	0.90	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		GYMB	B	50,000	39.61	n/a	13-Jul	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	HPY	S	58,600	30.27	n/a	n/a	2,344.00	4.0
Ⓐ BUCK CAPITAL MGMT		ICON	S	20,000	22.96	n/a	n/a	non-brg	5.0
ACT II PARTNERS	067	INAP	B	100,000	15.05	n/a	n/a	5,000.00	5.0
BARR	096	IPGP	B	800	20.10	n/a	n/a	0.00	0.0
IRONBOUND CAPITAL	012	IP	SS	10,000	41.43	4-May	14-Jun	500.00	5.0
KERN	050	ISE	S	500	65.94	30-Apr	13-Jul	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		JADE	B	10,000	8.49	n/a	n/a	non-brg	4.0
WYCKOFF	013	JADE	B	1,000	8.50	n/a	n/a	0.00	0.0
LEDDY	035	JADE	B	3,000	8.52	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JBX	SS	10,000	68.49	24-Apr	24-Apr	400.00	4.0
BUCK CAPITAL MGMT	001	JCG	B	7,500	51.29	22-Jun	22-Jun	300.00	4.0
BUCK CAPITAL MGMT	001	JCP	S	10,000	75.86	21-Jun	13-Jul	400.00	4.0
COUGAR TRADING	044	JCP	B	5,000	75.87	21-Jun	13-Jul	250.00	5.0
Ⓐ BUCK CAPITAL MGMT		JOSB	S	100,000	37.51	n/a	n/a	non-brg	5.0
BUCK	015	JWN	S	500	47.60	12-Jul	13-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	KSS	B	65,000	65.72	14-Jun	12-Jul	2,600.00	4.0
⌘ BUCK CAPITAL MGMT		KV/A	B	10,000	28.79	n/a	n/a	non-brg	5.0
KORMAN	003	KVA	B	1,000	28.80	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LIZ	SS	20,000	37.16	n/a	12-Jul	non-brg	5.0
CAVU CAP ADV	028	LLNW	S	36,000	17.45	n/a	n/a	1,800.00	5.0
BULL PATH CAPITAL	044	LM	B	30,650	99.78	9-May	18-Jul	1,532.50	5.0
LISTED ERR	099	LM	B	61,518	99.71	9-May	18-Jul	0.00	0.0
LISTED ERR	099	LM	S	61,518	99.78	9-May	18-Jul	0.00	0.0
SCOPUS FUND	039	LPX	S	100,000	19.06	14-Jun	14-Jun	5,000.00	5.0
BUCK	015	NMXTF	S	3	4.30	n/a	n/a	0.00	0.0
LEDDY	035	NWY	S	800	11.39	25-May	25-May	0.00	0.0
⌘ BUCK CAPITAL MGMT		PAG	S	90,000	21.14	n/a	13-Jul	non-brg	5.0
CAXTON PART	040	PMCS	B	100,000	8.40	n/a	n/a	4,000.00	4.0
COUGAR TRADING	044	PSUN	SS	15,000	21.18	n/a	13-Jul	750.00	5.0
⌘ BUCK CAPITAL MGMT		PTT	B	10,000	5.78	n/a	n/a	non-brg	5.0
THE JAY GOLDMAN	034	PTV	S	12,500	31.91	n/a	n/a	500.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	PVH	B	5,000	55.13	31-May	31-May	200.00	4.0
ß BUCK CAPITAL MGMT		PZZA	SS	10,000	28.78	24-Apr	24-Apr	non-brg	5.0
BUCK CAPITAL MGMT	001	RL	S	10,000	98.94	21-Jun	27-Jun	400.00	4.0
ß BUCK CAPITAL MGMT		RL	S	20,000	99.57	21-Jun	27-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		RRGB	SS	10,000	43.41	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	RSH	SS	10,000	30.01	n/a	n/a	400.00	4.0
OGORMAN	146	SDS	S	600	50.87	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	S	120,000	29.28	n/a	13-Mar	4,800.00	4.0
ß BUCK CAPITAL MGMT		SKX	S	34,000	29.63	n/a	13-Mar	non-brg	5.0
GOLDEN TREE	036	SPY	C	3,355	154.38	n/a	n/a	167.75	5.0
GTAM	036	SPY	C	49,245	154.38	n/a	n/a	2,462.25	5.0
BUCK CAPITAL MGMT	001	SSI	S	20,000	20.60	25-May	13-Jul	800.00	4.0
IRON HORSE CAPITAL MGMT	038	SVU	S	10,000	47.18	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	TGT	B	10,000	68.40	n/a	12-Jul	400.00	4.0
SARAS CAPITAL	038	TGT	B	10,000	67.82	n/a	12-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	THI	SS	10,000	32.32	24-Apr	24-Apr	400.00	4.0
BUCK CAPITAL MGMT	001	TJX	S	10,000	29.57	13-Jul	13-Jul	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DALTON GREINER	012	TJX	B	41,960	29.47	13-Jul	13-Jul	2,098.00	5.0
BEAR STEARNS ASSET MGMT.	033	TLB	C	20,000	24.19	n/a	17-Jul	800.00	4.0
⌘ BUCK CAPITAL MGMT		TRLG	S	10,000	21.29	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		TSCO	S	28,000	49.07	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	TWB	B	10,000	42.87	2-Jul	2-Jul	400.00	4.0
BARR	096	UAUA	S	500	46.55	n/a	n/a	0.00	0.0
KORMAN	003	UODTB	S	30	1.95	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		URBN	B	20,000	21.69	2-Apr	16-Jul	non-brg	5.0
HIGHBRIDGE CAPITAL	038	URS	B	10,000	54.46	n/a	n/a	500.00	5.0
PILOT ADV	038	USTR	SS	1,700	70.12	23-May	23-May	68.00	4.0
PIVOT CAP	034	WBCWI	S	18,000	0.00	n/a	n/a	900.00	5.0
CAPITAL GROWTH MGMT.	241	WDC	B	240,000	23.09	n/a	n/a	9,600.00	4.0
KEEFE BRUYETTE WOODS A	031	WDR	S	58,400	27.32	13-Jul	16-Jul	2,920.00	5.0
BUCK	015	WFMI	C	700	39.21	n/a	n/a	0.00	0.0
BACKUS	005	WRNC	S	2,000	39.22	18-Jul	18-Jul	0.00	0.0
⌘ BUCK CAPITAL MGMT		WSO	B	15,000	50.14	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		WSO	B	40,000	51.88	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		WSO	B	5,000	51.97	n/a	n/a	non-brg	1.0
AMBER CAPITAL	046	XLB	SS	1,050,000	42.58	n/a	n/a	31,500.00	3.0
TROUBH PART	067	YHOO	S	25,000	25.50	n/a	n/a	1,250.00	5.0
ROSEDALE CAPITAL	046	ZQK	B	20,000	13.45	9-Mar	27-Jun	1,000.00	5.0
<b>TOTAL (Trade Date) :</b>								<b>110,063.60</b>	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	07/19	AMBER CAPITAL	046	AAP	S	188,147	39.12	n/a	n/a	7525.88 4.0
AO,CXL	07/19	AMBER CAPITAL	046	AAP	S	188,147	39.12	n/a	n/a	9407.35 5.0
AO,CRT	07/19	PALMYRA CAPITAL	048	CHTR	S	240,000	4.80	n/a	n/a	4800.00 2.0
AO,CXL	07/19	PALMYRA CAPITAL	048	CHTR	S	240,000	4.80	n/a	n/a	4800.00 2.0
AO,	07/19	GOLDEN TREE	036	CTXS	S	100,000	38.79	n/a	n/a	5000.00 5.0
AO,	07/19	CAXTON PART	040	EXC	SS	32,498	82.02	n/a	n/a	1299.92 4.0
AO,CXL	07/19	CAXTON PART	040	EXC	SS	32,498	82.08	n/a	n/a	1299.92 4.0
AO,CRT	07/19	CAPITAL GROWTH MGMT.	241	HANS	B	3,000	43.56	n/a	n/a	150.00 5.0
AO,CXL	07/19	CAPITAL GROWTH MGMT.	241	HANS	B	3,000	43.56	n/a	n/a	150.00 5.0
AO,	07/19	U.S.TRUST COMPANY	012	INTC	B	24,300	25.27	n/a	n/a	1093.50 4.5
AO,	07/19	WILLIAM BLAIR	031	MXIM	B	135,100	34.89	n/a	n/a	5404.00 4.0
AO,CXL	07/18	REINHART & MAHONEY	031	PCH	B	500	43.75	26-Jun	26-Jun	25.00 5.0
AO,CRT	07/18	REINHART & MAHONEY	031	PCH	B	500	43.75	26-Jun	26-Jun	25.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>9,616.03</b>

TOTAL (Trade Date):	110,063.60
TOTAL (AO, CXL, CRT):	9,616.03

---

TOTAL (RUN DATE) / NFS:

119,679.63

---

MRI Securities with Trade Activity on 20-Jul.  
NONE

MRI Securities without Trade Activity on 20-Jul.  
BK

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 26%  
YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION