



Daily Compliance Activity Report

Trade Date: 07/20/2011

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSIMER TRUST	085	AAPL	B	255	389.63	n/a	n/a	10.20	4.0
DAVIDSON KEMPNER ADVISOR	040	ABH	SS	25,000	19.15	n/a	n/a	1,250.00	5.0
BESSIMER TRUST	085	ACE	B	1,940	63.91	n/a	n/a	77.60	4.0
BESSIMER TRUST	085	ACE	S	185	63.91	n/a	n/a	7.40	4.0
BESSIMER TRUST	085	ADM	B	2,220	31.36	n/a	15-Jul	88.80	4.0
BESSIMER TRUST	085	ADM	S	1,295	31.38	n/a	15-Jul	51.80	4.0
WEISS PECK	040	AIG	S	6,700	28.05	n/a	n/a	335.00	5.0
BESSIMER TRUST	085	AMTD	S	565	19.45	n/a	n/a	22.60	4.0
MILLENNIUM-ICS BOBBY	044	AXE	B	5,000	65.25	n/a	22-Jun	150.00	3.0
BESSIMER TRUST	085	AXP	B	360	51.78	n/a	15-Jul	14.40	4.0
BESSIMER TRUST	085	AXP	S	215	51.94	n/a	15-Jul	8.60	4.0
PLATTE RIVER CAPITAL	240	BAC	B	80,000	9.96	n/a	19-Jul	3,200.00	4.0
SURVEYOR CAPITAL	041	BA	S	5,516	72.62	n/a	19-Jul	165.48	3.0
TYEE/TYGH	035	BKD	S	40,000	22.56	n/a	n/a	1,600.00	4.0
SURVEYOR CAPITAL	041	BWA	B	2,330	75.60	n/a	14-Jul	69.90	3.0
SURVEYOR CAPITAL	041	CAT	S	5,000	110.18	n/a	2-May	150.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	CA	S	35,000	22.14	n/a	n/a	1,050.00	3.0
BESSIMER TRUST	085	CCK	B	675	37.36	n/a	n/a	27.00	4.0
ENTRUST CAPITAL	040	CCK	B	10,900	37.26	n/a	n/a	545.00	5.0
BESSIMER TRUST	085	CFN	B	825	27.50	n/a	n/a	33.00	4.0
WEISS PECK	040	CQB	S	12,800	12.36	n/a	n/a	640.00	5.0
BESSIMER TRUST	085	DIS	B	2,295	39.82	n/a	n/a	91.80	4.0
BESSIMER TRUST	085	DIS	S	265	39.42	n/a	n/a	10.60	4.0
BNP PARIBAS SEC	040	DRI	SS	30,000	52.99	n/a	11-Jul	1,500.00	5.0
SHEFFIELD ASSET MGMT	031	DRI	B	15,800	53.20	n/a	11-Jul	632.00	4.0
BESSIMER TRUST	085	DVN	B	2,920	82.55	n/a	15-Jul	116.80	4.0
PILOT ADV	041	ECL	SS	7,000	51.05	n/a	20-Jul	280.00	4.0
NEUBERGER BERMAN	036	FTNT	B	40,500	21.55	n/a	n/a	1,620.00	4.0
BESSIMER TRUST	085	GE	B	6,755	18.84	n/a	n/a	270.20	4.0
BESSIMER TRUST	085	GE	S	1,275	18.83	n/a	n/a	51.00	4.0
BASIX	033	GIL	SS	17,000	32.85	n/a	18-Jul	510.00	3.0
MACQUARIE INVESTMENT MGMT.	040	GIL	SS	5,000	33.24	n/a	18-Jul	0.00	0.0
BESSIMER TRUST	085	GM	S	22,078	29.34	n/a	14-Jul	883.12	4.0
BESSIMER TRUST	085	GOOG	B	270	597.63	n/a	n/a	10.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MACQUARIE INVESTMENT MGMT.	040	GPS	SS	5,000	19.35	n/a	n/a	0.00	0.0
PZENA INV ADV	044	HII	B	4,950	33.49	n/a	n/a	173.26	3.5
BESSIMER TRUST	085	HON	B	3,315	57.77	n/a	7-Jul	132.60	4.0
BESSIMER TRUST	085	HON	S	215	57.21	n/a	7-Jul	8.60	4.0
MERRILL ASSET/BLACKROCK	297	IACI	B	5,500	37.10	n/a	n/a	220.00	4.0
BESSIMER TRUST	085	IGT	B	1,350	18.12	n/a	13-Jun	54.00	4.0
BESSIMER TRUST	085	IP	B	2,595	30.10	n/a	19-Jul	103.80	4.0
BESSIMER TRUST	085	IP	S	1,255	30.19	n/a	19-Jul	50.20	4.0
DENVER INST. ADV	046	IP	S	17,700	30.11	n/a	19-Jul	708.00	4.0
BESSIMER TRUST	085	IR	S	715	44.97	n/a	19-May	28.60	4.0
PZENA INV ADV	044	JAKK	B	8,725	17.84	n/a	n/a	305.38	3.5
BESSIMER TRUST	085	JCI	B	2,205	40.48	n/a	20-Jul	88.20	4.0
TUDOR INVESTORS	028	JCI	B	2,000	40.33	n/a	20-Jul	60.00	3.0
SURVEYOR CAPITAL	041	JOYG	B	5,500	96.98	n/a	3-Jun	165.00	3.0
BESSIMER TRUST	085	JPM	B	1,800	41.03	n/a	14-Jul	72.00	4.0
BESSIMER TRUST	085	JPM	S	275	41.15	n/a	14-Jul	11.00	4.0
BESSIMER TRUST	085	KFT	B	3,640	35.35	n/a	n/a	145.60	4.0
BESSIMER TRUST	085	KFT	S	355	35.33	n/a	n/a	14.20	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MACQUARIE INVESTMENT MGMT.	040	KO	B	5,000	69.22	n/a	20-Jul	0.00	0.0
SANDLER O'NEILL ASSET	031	LAZ	S	3,400	35.51	n/a	1-Jul	170.00	5.0
SONTERRA CAP	041	LOW	S	200,000	22.83	n/a	13-Jun	8,000.00	4.0
NEUBERGER BERMAN	036	LXU	B	17,036	44.92	n/a	n/a	681.44	4.0
BESSIMER TRUST	085	MA	B	225	307.73	n/a	11-Jul	9.00	4.0
FRONTIER	029	MDR	B	457,400	20.81	n/a	n/a	18,296.00	4.0
BESSIMER TRUST	085	MET	B	4,230	41.03	n/a	n/a	169.20	4.0
BESSIMER TRUST	085	MSFT	B	4,505	27.23	n/a	n/a	180.20	4.0
BESSIMER TRUST	085	MSFT	S	995	27.15	n/a	n/a	39.80	4.0
BESSIMER TRUST	085	MS	B	3,620	21.68	n/a	1-Jul	144.80	4.0
BESSIMER TRUST	085	MS	S	545	21.61	n/a	1-Jul	21.80	4.0
BRONSON POINT	036	NLC	B	10,000	35.75	n/a	n/a	300.00	3.0
BESSIMER TRUST	085	NWSA	S	8,074	16.05	n/a	n/a	322.96	4.0
TUDOR INVESTORS	028	OC	B	3,000	35.43	n/a	n/a	90.00	3.0
BESSIMER TRUST	085	OMC	B	525	48.10	n/a	n/a	21.00	4.0
DAVIDSON KEMPNER ADVISOR	040	OSK	B	10,000	30.40	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSIMER TRUST	085	OXY	B	810	106.52	n/a	n/a	32.40	4.0
BESSIMER TRUST	085	OXY	S	270	106.51	n/a	n/a	10.80	4.0
BESSIMER TRUST	085	PCP	B	630	162.51	n/a	19-Jul	25.20	4.0
BESSIMER TRUST	085	PFE	B	6,375	19.97	n/a	n/a	255.00	4.0
BESSIMER TRUST	085	PFE	S	1,020	19.96	n/a	n/a	40.80	4.0
BESSIMER TRUST	085	PG	B	3,320	64.38	n/a	20-Jun	132.80	4.0
BESSIMER TRUST	085	PG	S	185	64.37	n/a	20-Jun	7.40	4.0
BNP PARIBAS SEC	040	PKG	B	20,000	27.64	n/a	19-Jul	1,000.00	5.0
CATAPULT	040	PKG	B	25,000	27.62	n/a	19-Jul	1,000.00	4.0
TALPION LLC	040	PLXS	SS	7,000	33.35	n/a	n/a	280.00	4.0
NEWLAND CAPITAL MGT	025	RKT	B	20,000	61.23	n/a	n/a	800.00	4.0
SASCO	033	RSG	S	183,300	30.07	n/a	n/a	7,332.00	4.0
HOLLIS CAPITAL	033	RTN	B	5,000	46.45	n/a	19-Jul	250.00	5.0
MERRILL ASSET/BLACKROCK	297	RVBD	B	27,600	32.00	n/a	n/a	1,104.00	4.0
BESSIMER TRUST	085	SEIC	B	800	21.37	n/a	n/a	32.00	4.0
MACQUARIE INVESTMENT MGMT.	040	SHW	B	3,500	84.47	n/a	27-May	0.00	0.0
BESSIMER TRUST	085	STJ	B	535	47.98	n/a	n/a	21.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSIMER TRUST	085	STJ	S	205	47.97	n/a	n/a	8.20	4.0
BESSIMER TRUST	085	SWK	B	1,060	69.34	n/a	n/a	42.40	4.0
TUDOR INVESTORS	028	SWK	SS	3,000	69.24	n/a	n/a	90.00	3.0
FIRST NEW YORK LEDDY	035	S	S	40,000	5.17	n/a	n/a	1,600.00	4.0
BESSIMER TRUST	085	TEN	B	525	43.07	n/a	n/a	21.00	4.0
MERRILL ASSET/BLACKROCK	297	TESO	S	5,000	22.66	n/a	n/a	200.00	4.0
NEWLAND CAPITAL MGT	025	TEU	S	45,000	11.01	n/a	n/a	1,800.00	4.0
NEUBERGER BERMAN	036	TGI	B	19,000	51.85	n/a	n/a	760.00	4.0
MERRILL ASSET/BLACKROCK	297	TTI	B	9,998	12.99	n/a	n/a	399.92	4.0
BRONSON POINT	036	T	C	25,000	30.28	n/a	n/a	750.00	3.0
COBALT	041	UNP	SS	50,000	99.49	n/a	n/a	2,000.00	4.0
SURVEYOR CAPITAL	041	UNP	B	2,500	99.29	n/a	n/a	75.00	3.0
BASIX	033	URI	S	46,600	24.92	n/a	n/a	1,398.00	3.0
SENATOR INVESTMENT GROUP	036	VAL	B	100,000	34.50	n/a	n/a	3,000.00	3.0
BESSIMER TRUST	085	WEC	B	725	31.39	n/a	n/a	29.00	4.0
BESSIMER TRUST	085	WHR	B	800	75.21	n/a	n/a	32.00	4.0
BAIRD INVESTMENT	047	WLL	B	20,825	61.45	n/a	n/a	1,041.25	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	WST	B	7,100	45.53	n/a	n/a	284.00	4.0
BESSIMER TRUST	085	XLNX	B	725	33.00	n/a	n/a	29.00	4.0
TOTAL (Trade Date) :								72,643.31	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/19	COLUMBUS CIRCLE (COLC3040)	036	SWC	S	1,518	16.98	n/a	n/a	60.72 4.0
AO,	07/19	COLUMBUS CIRCLE (COLC3040)	036	SWC	S	12,082	16.81	n/a	n/a	483.28 4.0
AO,CXL	07/18	RIDGECREST INV MGMT LLC (RIDCMSPB)	028	VGK	SS	10,000	49.54	n/a	n/a	500.00 5.0
AO,CRT	07/18	RIDGECREST INV MGMT LLC (RIDCMSPB)	028	VGK	SS	10,000	49.54	n/a	n/a	300.00 3.0
AO,CXL	07/18	RIDGECREST INV MGMT LLC (RIDCMSPB)	028	XLI	S	10,000	35.96	n/a	n/a	500.00 5.0
AO,CRT	07/18	RIDGECREST INV MGMT LLC (RIDCMSPB)	028	XLI	S	10,000	35.96	n/a	n/a	300.00 3.0

TOTAL (AO, CXL, CRT) :**144.00**

TOTAL (Trade Date) :

72,643.31

TOTAL (AO, CXL, CRT) :

144.00

TOTAL (RUN DATE) / NFS:**72,787.31**

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION