



Daily Compliance Activity Report

Trade Date: 07/17/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMBER CAPITAL	046	ACXM	S	250,000	26.40	n/a	n/a	10,000.00	4.0
NEUBERGER BERMAN	036	AIZ	B	80,000	52.63	n/a	n/a	4,000.00	5.0
BARR	096	ALSC	B	7,000	5.85	n/a	n/a	0.00	0.0
JENNISON	032	ALTR	B	297,700	24.92	n/a	n/a	11,908.00	4.0
SUNOVA CAPITAL	031	AMG	S	10,000	131.22	25-Apr	16-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	15,000	73.43	12-Jul	13-Jul	600.00	4.0
CASEY CAPITAL	067	AOS	B	5,000	43.90	18-Apr	18-Apr	250.00	5.0
LUCHANSKY	036	AOS	S	200	44.00	18-Apr	18-Apr	0.00	0.0
MAGUIRE	039	ASDHM	S	10	1.65	n/a	n/a	0.00	0.0
EMP ERROR	N28	ASD	S	14	63.60	31-May	13-Jul	0.00	0.0
KANTROWIT	N28	ASD	S	16	63.60	31-May	13-Jul	0.00	0.0
DSG CAPITAL	225	AXE	B	2,000	81.41	24-Apr	16-May	100.00	5.0
MILLENIUM PARTNERS	028	BBIB	B	45,000	4.00	n/a	n/a	1,350.00	3.0
MILLENIUM PARTNERS	028	BBI	B	5,000	4.39	n/a	n/a	150.00	3.0
SUNOVA CAPITAL	031	BEN	S	25,000	142.99	10-Jul	16-Jul	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ö Glenn Redemann		BG	B	3	0.6700	n/a	n/a	0.00	0.0
Ö Glenn Redemann		BG	B	3	0.7000	n/a	n/a	0.00	0.0
Ö Glenn Redemann		BG	SS	3	1.4800	n/a	n/a	0.00	0.0
Ö Glenn Redemann		BG	SS	3	1.7400	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BID	S	10,000	51.97	n/a	n/a	400.00	4.0
CRAMER ROSENTHAL/SCOTT	012	BLL	S	13,700	53.48	n/a	n/a	548.00	4.0
CROWLEY	061	BNJ	B	1,600	17.20	n/a	n/a	0.00	0.0
XYLEM GLOBAL	091	BOW	B	75,000	24.28	n/a	14-Jun	3,750.00	5.0
CHARTER OAK	033	CAG	B	96,580	26.96	n/a	n/a	4,829.00	5.0
KORMAN	003	CHCG	B	5,000	7.26	n/a	n/a	0.00	0.0
CROWLEY	061	CMU	B	14,900	5.70	n/a	n/a	0.00	0.0
COUGAR TRADING	044	COH	S	5,000	49.02	23-Feb	25-Apr	250.00	5.0
MAPL	068	CRM	SS	100,000	44.09	n/a	n/a	5,000.00	5.0
BEAR STEARNS ASSET MGMT.	033	CWTR	B	65,000	21.72	n/a	n/a	2,600.00	4.0
SUTERA	160	CXTI	B	2,000	7.00	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	DB	SS	7,000	147.64	n/a	n/a	280.00	4.0
REINHART & MAHONEY	031	DDMX	B	6,300	26.00	n/a	n/a	315.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLENIUM PARTNERS	028	DDS	B	25,000	37.24	n/a	n/a	1,250.00	5.0
CROWLEY	061	EFT	B	1,000	18.93	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	ENG	S	65,300	12.32	n/a	n/a	2,612.00	4.0
BUCK CAPITAL MGMT	001	FL	B	80,000	21.23	24-May	21-Jun	3,200.00	4.0
BUCK CAPITAL MGMT	001	GES	S	5,000	51.44	n/a	n/a	200.00	4.0
BUCK	015	GPYHF	S	5	2.75	n/a	n/a	0.00	0.0
Õ Glenn Redemann		INSP	??	4	0.0700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		INSP	??	4	0.0800	n/a	n/a	0.00	0.0
LUCHANSKY	036	ITCD	B	1,000	8.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCG	S	5,000	55.13	22-Jun	22-Jun	200.00	4.0
SANDLER O'NEILL ASSET	031	JNS	SS	20,000	31.67	16-Jul	16-Jul	1,000.00	5.0
CAXTON PART	040	KLAC	C	50,000	58.57	n/a	n/a	2,000.00	4.0
MAZAMA CAPITAL MGMT.	035	KOG	B	309,100	4.69	n/a	n/a	9,273.00	3.0
AVESTA CAP	229	KO	B	25,000	53.31	n/a	n/a	1,250.00	5.0
DSG CAPITAL	225	LEH	B	1,000	73.10	12-Jun	13-Jul	50.00	5.0
WAGONHOUND	048	LEH	S	9,160	73.16	12-Jun	13-Jul	366.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROSEDALE CAPITAL	046	LEN	S	15,000	34.57	n/a	n/a	750.00	5.0
GALLEON MGMT LP	033	LOW	SS	10,000	29.80	n/a	21-May	500.00	5.0
GENERAL ELECTIC CO	033	LUV	S	129,914	15.49	n/a	n/a	5,846.17	4.5
KERN	050	MERGQ	S	20	2.20	n/a	n/a	0.00	0.0
GAULT	063	MERGQ	S	100	1.85	n/a	n/a	0.00	0.0
KERN	050	MERHR	S	13	1.90	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	MI	B	15,000	46.91	n/a	n/a	600.00	4.0
Õ Glenn Redemann		MRO	B	4	0.8800	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MRO	B	4	1.1600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MRO	SS	4	1.8200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MRO	SS	4	2.5200	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	NHWK	B	25,000	18.58	n/a	n/a	1,000.00	4.0
WHEELER	008	NLC	B	5,000	25.61	n/a	n/a	0.00	0.0
ZOLIDIS	011	NLS	S	500	10.01	n/a	n/a	0.00	0.0
SUTERA	160	NLS	S	3,000	10.00	n/a	n/a	0.00	0.0
BUCK	015	NMXUD	B	10	2.65	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	OIH	SS	12,500	176.30	n/a	n/a	625.00	5.0
KNOTT PARTNERS	044	PRX	B	10,000	25.95	21-May	16-Jul	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RAINIER INV MGMT	035	PVH	B	80,650	56.24	31-May	31-May	4,032.50	5.0
JENNISON	032	QCOM	S	15,400	44.94	n/a	n/a	616.00	4.0
WHITE PINE CAPITAL	030	RCKY	S	2,125	17.26	n/a	n/a	106.25	5.0
DIAMONDBACK CAP MGMT	232	RF	S	100,000	33.79	n/a	n/a	5,000.00	5.0
BUCK CAPITAL MGMT	001	RL	S	10,000	100.50	21-Jun	27-Jun	400.00	4.0
ZOLIDIS	011	SCSS	S	300	17.34	n/a	n/a	0.00	0.0
FRIESS MGMT	042	SKS	B	119,400	20.94	n/a	n/a	5,373.00	4.5
VENTANA CAPITAL	060	SKS	SS	10,000	20.96	n/a	n/a	400.00	4.0
ZOLIDIS	011	SKX	S	200	29.34	n/a	13-Mar	0.00	0.0
WHEELER	008	SSE	B	7,690	7.50	n/a	n/a	0.00	0.0
KERN	050	SUPG	B	1,000	5.99	n/a	n/a	0.00	0.0
MAIRS AND POWER INV	030	SVU	S	20,000	47.55	n/a	n/a	1,200.00	6.0
WHEELER	008	TBAC	S	3,723	13.10	n/a	n/a	0.00	0.0
UBS OCONNOR	031	TEN	SS	25,000	35.87	n/a	n/a	750.00	3.0
COUGAR TRADING	044	TJX	S	15,000	29.72	13-Jul	13-Jul	750.00	5.0
BUCK CAPITAL MGMT	001	TWB	B	30,000	44.12	2-Jul	2-Jul	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	TWB	S	5,000	44.58	2-Jul	2-Jul	250.00	5.0
Õ Glenn Redemann		UIC	B	3	0.7300	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UIC	B	3	0.8300	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UIC	SS	3	1.5200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UIC	SS	3	1.9900	n/a	n/a	0.00	0.0
COUGAR TRADING	044	URBN	B	5,000	22.30	2-Apr	16-Jul	250.00	5.0
MSD CAPITAL	036	USTR	S	1,200	71.00	23-May	23-May	60.00	5.0
CSFB GLOBAL PROPRIETARY	035	WBCWI	B	50,000	0.00	n/a	n/a	2,500.00	5.0
DSG CAPITAL	225	WBCWI	B	9,667	0.00	n/a	n/a	483.35	5.0
BULL PATH CAPITAL	044	WDR	S	27,500	29.05	13-Jul	16-Jul	1,375.00	5.0
SANDLER O'NEILL ASSET	031	WDR	S	56,700	28.92	13-Jul	16-Jul	2,835.00	5.0
ZOLIDIS	011	WMT	S	100	48.48	4-Jun	12-Jul	0.00	0.0
JP MORGAN VENTURES	046	WRNC	S	25,000	40.87	20-Jun	20-Jun	1,250.00	5.0
GALLEON MGMT LP	033	XHB	SS	25,000	30.34	n/a	n/a	1,250.00	5.0
COMMISSUM CAPITAL	067	XLFF	SS	25,000	36.71	n/a	n/a	1,250.00	5.0
TOTAL (Trade Date):							110,533.67		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/16	DAVIDSON TRUST CO (DAVT516)	033	APPB	S	55	24.85	n/a	n/a	1.24 0.0
AO,CRT	07/16	DAVIDSON TRUST CO (DAVT516)	033	APPB	S	55	24.85	n/a	n/a	2.75 5.0
AO,	07/16	KANTROWIT (KANTROWIT)	N28	ASD	B	16	61.16	31-May	13-Jul	0.00 0.0
AO,	07/16	EMP ERROR (EMP ERROR)	N28	ASD	B	14	61.16	31-May	13-Jul	0.00 0.0
AO,CXL	07/16	KANTROWIT (KANTROWIT)	N21	ASD	B	30	61.16	31-May	13-Jul	0.00 0.0
CRT	07/17	MAIRS AND POWER INV (MAIRGROWTH)	030	SVU	S	20,000	47.55	n/a	n/a	1200.00 6.0
TOTAL (AO, CXL, CRT) :										1,201.51
TOTAL (Trade Date) :										110,533.67
TOTAL (AO, CXL, CRT) :										1,201.51
TOTAL (RUN DATE) / NFS:										111,735.18

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION