

Daily Compliance Activity Report Trade Date: 08/25/2008

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
COUGAR TRADING	044	AEO	S	50,000	13.75	6-Mar	8-Aug	2,500.00 5.0
BUCK CAPITAL MGMT	001	ANF	S	30,000	50.86	7-Aug	15-Aug	1,200.00 4.0
BUCK CAPITAL MGMT		BKE	В	12,493	48.49	n/a	n/a	non-brg 1.0
MATRIX ASSET ADV.	036	CCL	В	15,000	36.48	n/a	26-Jan	600.00 4.0
BUCK CAPITAL MGMT		CLX+MK	SS	1,000	2.05	n/a	n/a	non-brg 300.0
COUGAR TRADING	044	СОН	S	20,000	27.19	30-Jul	30-Jul	1,000.00 5.0
JP MORGAN VENTURES	046	СОН	С	20,000	26.90	30-Jul	30-Jul	1,000.00 5.0
BUCK CAPITAL MGMT		COLM	С	10,000	39.38	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		DSW+AW	SS	1,000	1.05	n/a	n/a	non-brg 300.0
BUCK CAPITAL MGMT		EBHI	В	30,000	6.16	n/a	n/a	non-brg 1.0
WAGONHOUND/NIC	048	FL	S	1,120	15.68	11-Aug	22-Aug	44.80 4.0
BUCK CAPITAL MGMT		FOSL	В	20,000	28.05	n/a	13-Aug	non-brg 4.0
COUGAR TRADING	044	FOSL	В	15,000	28.41	n/a	13-Aug	750.00 5.0
BUCK CAPITAL MGMT	001	GES	В	5,000	35.25	n/a	n/a	200.00 4.0
GRONET	030	GES	В	1,000	35.00	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		GQR+VF	SS	1,000	1.02	n/a	n/a	non-brg 300.0
	ACCOUNT COUGAR TRADING BUCK CAPITAL MGMT BUCK CAPITAL MGMT MATRIX ASSET ADV. BUCK CAPITAL MGMT COUGAR TRADING JP MORGAN VENTURES BUCK CAPITAL MGMT BUCK CAPITAL MGMT WAGONHOUND/NIC BUCK CAPITAL MGMT COUGAR TRADING BUCK CAPITAL MGMT COUGAR TRADING BUCK CAPITAL MGMT COUGAR TRADING	ACCOUNT RR # COUGAR TRADING 044 BUCK CAPITAL MGMT 001 BUCK CAPITAL MGMT MATRIX ASSET ADV. 036 BUCK CAPITAL MGMT COUGAR TRADING 044 JP MORGAN VENTURES 046 BUCK CAPITAL MGMT BUCK CAPITAL MGMT BUCK CAPITAL MGMT WAGONHOUND/NIC 048 BUCK CAPITAL MGMT COUGAR TRADING 044 BUCK CAPITAL MGMT O48 BUCK CAPITAL MGMT COUGAR TRADING 044 BUCK CAPITAL MGMT O01 GRONET 030	ACCOUNT RR # Symbol COUGAR TRADING 044 AEO BUCK CAPITAL MGMT 001 ANF BUCK CAPITAL MGMT BKE MATRIX ASSET ADV. 036 CCL BUCK CAPITAL MGMT CLX+MK COUGAR TRADING 044 COH JP MORGAN VENTURES 046 COH BUCK CAPITAL MGMT COLM BUCK CAPITAL MGMT DSW+AW BUCK CAPITAL MGMT EBHI WAGONHOUND/NIC 048 FL BUCK CAPITAL MGMT FOSL COUGAR TRADING 044 FOSL BUCK CAPITAL MGMT 001 GES GRONET 030 GES	Account RR # Symbol B/S COUGAR TRADING 044 AEO S BUCK CAPITAL MGMT 001 ANF S BUCK CAPITAL MGMT BKE B MATRIX ASSET ADV. 036 CCL B BUCK CAPITAL MGMT CLX+MK SS COUGAR TRADING 044 COH S JP MORGAN VENTURES 046 COH C BUCK CAPITAL MGMT COLM C BUCK CAPITAL MGMT DSW+AW SS BUCK CAPITAL MGMT EBHI B WAGONHOUND/NIC 048 FL S BUCK CAPITAL MGMT FOSL B COUGAR TRADING 044 FOSL B BUCK CAPITAL MGMT O01 GES B GRONET 030 GES B	Account RR # Symbol B/S Shares COUGAR TRADING 044 AEO S 50,000 BUCK CAPITAL MGMT 001 ANF S 30,000 BUCK CAPITAL MGMT BKE B 12,493 MATRIX ASSET ADV. 036 CCL B 15,000 BUCK CAPITAL MGMT CLX+MK SS 1,000 COUGAR TRADING 044 COH S 20,000 JP MORGAN VENTURES 046 COH C 20,000 BUCK CAPITAL MGMT COLM C 10,000 BUCK CAPITAL MGMT DSW+AW SS 1,000 BUCK CAPITAL MGMT D48 FL S 1,120 BUCK CAPITAL MGMT FOSL B 20,000 COUGAR TRADING 044 FOSL B 5,000 BUCK CAPITAL MGMT 001 GES B 5,000 BUCK CAPITAL MGMT 001 GES B 5,000 GRONET 030 GES <td>Account RR # Symbol B/S Shares Price COUGAR TRADING 044 AEO S 50,000 13.75 BUCK CAPITAL MGMT 001 ANF S 30,000 50.86 BUCK CAPITAL MGMT BKE B 12,493 48.49 MATRIX ASSET ADV. 036 CCL B 15,000 36.48 BUCK CAPITAL MGMT CLX+MK SS 1,000 2.05 COUGAR TRADING 044 COH S 20,000 27.19 JP MORGAN VENTURES 046 COH C 10,000 39.38 BUCK CAPITAL MGMT COLM C 10,000 39.38 BUCK CAPITAL MGMT DSW+AW SS 1,000 1.05 BUCK CAPITAL MGMT EBHI B 30,000 6.16 BUCK CAPITAL MGMT FOSL B 20,000 28.05 COUGAR TRADING 044 FOSL B 15,000 28.41 BUCK CAPITAL MGMT 001</td> <td>Account RR # Symbol B/S Shares Price MRI COUGAR TRADING 044 AEO S 50,000 13.75 6-Mar BUCK CAPITAL MGMT 001 ANF S 30,000 50.86 7-Aug BUCK CAPITAL MGMT BKE B 12,493 48.49 n/a MATRIX ASSET ADV. 036 CCL B 15,000 36.48 n/a BUCK CAPITAL MGMT CLX+MK SS 1,000 2.05 n/a COUGAR TRADING 044 COH S 20,000 27.19 30-Jul BUCK CAPITAL MGMT COLM C 10,000 39.38 n/a BUCK CAPITAL MGMT DSW+AW SS 1,000 1.05 n/a BUCK CAPITAL MGMT EBHI B 30,000 6.16 n/a WAGONHOUND/NIC 048 FL S 1,120 15.68 11-Aug BUCK CAPITAL MGMT FOSL B 20,000 28.05</td> <td>Account RR # Symbol B/S Shares Price MRI Research COUGAR TRADING 044 AEO S 50,000 13.75 6-Mar 8-Aug BUCK CAPITAL MGMT 001 ANF S 30,000 50.86 7-Aug 15-Aug BUCK CAPITAL MGMT BKE B 12,493 48.49 n/a n/a MATRIX ASSET ADV. 036 CCL B 15,000 36.48 n/a 26-Jan BUCK CAPITAL MGMT CLX+MK SS 1,000 2.05 n/a n/a COUGAR TRADING 044 COH S 20,000 27.19 30-Jul 30-Jul</td>	Account RR # Symbol B/S Shares Price COUGAR TRADING 044 AEO S 50,000 13.75 BUCK CAPITAL MGMT 001 ANF S 30,000 50.86 BUCK CAPITAL MGMT BKE B 12,493 48.49 MATRIX ASSET ADV. 036 CCL B 15,000 36.48 BUCK CAPITAL MGMT CLX+MK SS 1,000 2.05 COUGAR TRADING 044 COH S 20,000 27.19 JP MORGAN VENTURES 046 COH C 10,000 39.38 BUCK CAPITAL MGMT COLM C 10,000 39.38 BUCK CAPITAL MGMT DSW+AW SS 1,000 1.05 BUCK CAPITAL MGMT EBHI B 30,000 6.16 BUCK CAPITAL MGMT FOSL B 20,000 28.05 COUGAR TRADING 044 FOSL B 15,000 28.41 BUCK CAPITAL MGMT 001	Account RR # Symbol B/S Shares Price MRI COUGAR TRADING 044 AEO S 50,000 13.75 6-Mar BUCK CAPITAL MGMT 001 ANF S 30,000 50.86 7-Aug BUCK CAPITAL MGMT BKE B 12,493 48.49 n/a MATRIX ASSET ADV. 036 CCL B 15,000 36.48 n/a BUCK CAPITAL MGMT CLX+MK SS 1,000 2.05 n/a COUGAR TRADING 044 COH S 20,000 27.19 30-Jul BUCK CAPITAL MGMT COLM C 10,000 39.38 n/a BUCK CAPITAL MGMT DSW+AW SS 1,000 1.05 n/a BUCK CAPITAL MGMT EBHI B 30,000 6.16 n/a WAGONHOUND/NIC 048 FL S 1,120 15.68 11-Aug BUCK CAPITAL MGMT FOSL B 20,000 28.05	Account RR # Symbol B/S Shares Price MRI Research COUGAR TRADING 044 AEO S 50,000 13.75 6-Mar 8-Aug BUCK CAPITAL MGMT 001 ANF S 30,000 50.86 7-Aug 15-Aug BUCK CAPITAL MGMT BKE B 12,493 48.49 n/a n/a MATRIX ASSET ADV. 036 CCL B 15,000 36.48 n/a 26-Jan BUCK CAPITAL MGMT CLX+MK SS 1,000 2.05 n/a n/a COUGAR TRADING 044 COH S 20,000 27.19 30-Jul 30-Jul

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/S	Shr.
	N STRATTON	014	HEMGF	В	500	2.97	n/a	n/a	0.00	0.0
	CUMBERLAND	038	HSNI	В	31,800	15.90	n/a	n/a	1,272.00	1.0
	COUGAR TRADING	044	JCG	В	40,000	26.01	n/a	20-Aug	2,000.00 5	5.0
	COUGAR TRADING	044	JCG	SS	20,000	25.30	n/a	20-Aug	1,000.00 5	5.0
	COUGAR TRADING	044	JCG	S	20,000	25.27	n/a	20-Aug	1,000.00	5.0
ß	BUCK CAPITAL MGMT		LIZ+IC	SS	1,000	0.91	n/a	n/a	non-brg 100	0.0
ß	BUCK CAPITAL MGMT		LL	В	20,000	12.48	n/a	n/a	non-brg 4	1.0
ß	BUCK CAPITAL MGMT		MAS+MC	SS	2,000	1.15	n/a	n/a	non-brg 300	0.0
ß	BUCK CAPITAL MGMT		MVRX	SS	80,000	139.33	n/a	n/a	non-brg 13	3.9
ß	BUCK CAPITAL MGMT		PERY	В	23,000	16.76	n/a	n/a	non-brg 1	0
	N STRATTON	014	PNWIF	В	300	3.30	n/a	n/a	0.00	0.0
	COUGAR TRADING	044	PSUN	В	15,000	5.55	n/a	22-Aug	600.00	1.0
ß	BUCK CAPITAL MGMT		QNTWF	s	12,200	15.96	n/a	n/a	non-brg 4	1.0
ß	BUCK CAPITAL MGMT		QUK+JF	SS	200	3.55	n/a	n/a	non-brg 300	0.0
	BUCK CAPITAL MGMT	001	RL	SS	10,000	72.70	n/a	7-Aug	400.00 4	1.0
ß	BUCK CAPITAL MGMT		RSH+MC	SS	2,000	1.10	n/a	n/a	non-brg 300	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr
ß	BUCK CAPITAL MGMT		SKX	В	40,000	18.69	n/a	13-Mar	non-brg 1.0
	COUGAR TRADING	044	SSO	В	5,000	61.33	n/a	n/a	250.00 5.0
	AVESTA CAP	229	SWY	В	25,000	25.68	n/a	n/a	1,250.00 5.0
ß	BUCK CAPITAL MGMT		TGT	С	20,000	52.03	n/a	20-Aug	non-brg 4.0
ß	BUCK CAPITAL MGMT		ULTA	S	20,000	11.58	n/a	n/a	non-brg 4.0
ß	BUCK CAPITAL MGMT		WRC+JK	SS	1,000	1.35	n/a	n/a	non-brg 300.0
	BUCK CAPITAL MGMT	001	WRC	s	42,000	48.89	8-Aug	8-Aug	1,680.00 4.0
	COUGAR TRADING	044	WRC	В	5,000	49.42	8-Aug	8-Aug	250.00 5.0
ß	BUCK CAPITAL MGMT		WYNN	С	35,000	92.21	n/a	n/a	non-brg 4.0
В	BUCK CAPITAL MGMT		ZZQ+MX	SS	1,000	1.03	n/a	n/a	non-brg 300.0

TOTAL (Trade Date): 16,996.80

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
AO, CRT	08/22	BUCK CAPITAL MGMT	001	CHS	В	50,000	4.83	8-Aug	8-Aug	1500.00	3.0
AO, CXL	08/22	BUCK CAPITAL MGMT	001	CHS	В	50,000	4.83	8-Aug	8-Aug	2000.00	4.0
AO,CXL	08/22	FULLER & THALER ASSET	033	FL	В	8,200	16.00	11-Aug	22-Aug	246.00	3.0
AO,CRT	08/22	FULLER & THALER ASSET	033	FL	В	8,200	16.00	11-Aug	22-Aug	246.00	3.0
AO, CRT	08/22	BUCK CAPITAL MGMT	001	GIL	В	39,700	22.76	14-Aug	14-Aug	1588.00	4.0
AO, CXL	08/22	BUCK CAPITAL MGMT	001	GIL	В	40,000	22.76	14-Aug	14-Aug	1600.00	4.0
AO, CRT	08/22	BUCK CAPITAL MGMT	001	GIL	В	300	22.76	14-Aug	14-Aug	12.00	4.0
ΑΟ,	08/22	COUGAR TRADING	044	GYMB	В	5,000	37.60	11-Jul	21-Aug	250.00	5.0
AO, CRT	08/22	COUGAR TRADING	044	PSUN	SS	20,000	5.41	n/a	22-Aug	800.00	4.0
AO, CXL	08/22	COUGAR TRADING	044	PSUN	SS	20,000	5.41	n/a	22-Aug	1000.00	5.0

	100,00
TOTAL (Trade Date): TOTAL (AO, CXL, CRT):	16,996.80 -450.00

MRI Securities with Trade Activity on 25-Aug. NONE

MRI Securities without Trade Activity on 25-Aug. NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 7%

YTD = 18%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION