



Daily Compliance Activity Report

Trade Date: 02/26/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	036	ACC	S	10,100	17.83	n/a	n/a	454.50	4.5
BUCK CAPITAL MGMT	001	ADM	SS	50,000	27.18	5-Jan	3-Feb	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		ALV+RV[???	SS	2,000	0.80	n/a	n/a	non-brg	100.0
HUNTER GLOBAL INVESTOR	067	ALV	SS	103,060	15.77	30-Jan	4-Feb	4,122.40	4.0
TRELLUS PARTNERS LP	028	ALV	SS	5,000	15.61	30-Jan	4-Feb	250.00	5.0
⚡ BUCK CAPITAL MGMT		AN+PU[???	SS	2,000	0.35	n/a	n/a	non-brg	100.0
⚡ BUCK CAPITAL MGMT		ATVI	B	50,000	10.16	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	AVP	SS	100,000	18.27	25-Feb	25-Feb	4,000.00	4.0
PORTER-ORLIN-FELDMAN	044	BAC	S	200,000	5.77	16-Jan	26-Feb	10,000.00	5.0
PHILADELPHIA FINANCIAL	033	BEN	B	22,163	49.79	13-Nov	20-Feb	886.52	4.0
f JONATHAN RICHARD HAR	FID	BGZ	B	10	78.71	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	BGZ	B	2000	78.53	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		BHI	B	53,500	30.44	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	BK	S	150,000	23.30	21-Jan	26-Feb	7,500.00	5.0
HUNTER GLOBAL INVESTOR	067	BWA	SS	125,000	17.51	27-Jan	13-Feb	5,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CAB	SS	60,000	8.18	n/a	n/a	1,800.00	3.0
ß BUCK CAPITAL MGMT		CAB	SS	5,300	8.16	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		CCL	B	81,600	20.75	n/a	26-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		CCL	C	70,000	20.50	n/a	26-Jan	non-brg	4.0
OPPENHEIMER MGMT.	231	CIT	B	17,250	2.35	22-Jan	26-Feb	345.00	2.0
ß BUCK CAPITAL MGMT		COH	B	200,000	14.15	9-Jan	22-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	CRI	S	50,000	16.60	n/a	n/a	2,000.00	4.0
ß BUCK CAPITAL MGMT		CRI	S	20,000	16.43	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		DECK	B	15,000	46.62	n/a	n/a	non-brg	1.0
f MARC PAUL LUCHANSKY	FID	DGP	B	300	20.54	n/a	n/a	0.00	0.0
f MICHAEL THOMAS NOLAN	FID	DGP	B	75	20.50	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		DRI	SS	124,400	26.42	19-Dec	26-Jan	non-brg	4.0
HIGHBRIDGE CAPITAL	038	DTV	SS	6,000	21.16	n/a	4-Jan	300.00	5.0
ß BUCK CAPITAL MGMT		DV+QI[???	SS	1,000	3.45	n/a	n/a	non-brg	300.0
f DAVID GEORGE BUCK	FID	DVN	B	500	46.43	5-Feb	5-Feb	0.00	0.0
CATAPULT	040	DV	SS	25,000	49.59	n/a	n/a	1,000.00	4.0
f JONATHAN RICHARD HAR	FID	DXO	S	490	2.35	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATAPULT	040	ENDP	B	47,000	20.14	5-Jan	24-Feb	2,350.00	5.0
PHILADELPHIA FINANCIAL	033	EV	B	40,000	17.94	26-Feb	26-Feb	1,600.00	4.0
G WEISS /SASHA	070	FCX	S	7,400	30.21	n/a	n/a	296.00	4.0
f MANNY I KORMAN	FID	FDO	B	3000	28.09	7-Jan	4-Feb	0.00	0.0
JP MORGAN VENTURES	046	FDX	B	78,000	44.02	n/a	n/a	3,900.00	5.0
B BUCK CAPITAL MGMT		FINL	B	50,000	4.03	n/a	28-Mar	non-brg	2.0
BUCK CAPITAL MGMT	001	FO	SS	20,000	24.77	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		GRMN	C	30,000	17.23	n/a	n/a	non-brg	1.0
f MANNY I KORMAN	FID	HAS	B	4000	23.40	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	HD	B	75,000	20.81	n/a	25-Feb	3,750.00	5.0
PROSPECTOR PARTNERS LLC	036	HE	B	20,600	14.73	n/a	n/a	824.00	4.0
f IAN L MILLER	FID	IBM	S	25	89.65	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	IVZ	B	20,000	12.20	9-Jan	26-Feb	1,000.00	5.0
B BUCK CAPITAL MGMT		JCG	S	10,000	10.30	n/a	30-Jan	non-brg	2.5
BUCK CAPITAL MGMT	001	JNY	B	225,000	3.00	n/a	11-Feb	4,500.00	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MATLIN PATTERSON	046	JWN	B	50,000	13.04	20-Feb	24-Feb	1,500.00	3.0
ROARING BLUE LION MGMT	012	KEG	S	55,000	2.57	14-Jan	26-Feb	1,100.00	2.0
COUGAR TRADING	044	KSS	B	10,000	36.10	n/a	6-Feb	500.00	5.0
f DAVID GEORGE BUCK	FID	KSS	B	1000	35.73	n/a	6-Feb	0.00	0.0
BMO NESBITT BURNS	041	LM	B	30,000	14.10	29-Jan	25-Feb	1,500.00	5.0
SANDLER O'NEILL ASSET	031	LM	B	20,000	14.17	29-Jan	25-Feb	1,000.00	5.0
TRELLUS PARTNERS LP	028	LM	B	25,000	14.14	29-Jan	25-Feb	1,250.00	5.0
f EDWARD W WHEELER	FID	LZCG	B	10	0.30	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	LZ	S	1000	28.31	n/a	n/a	0.00	0.0
MORTAR ROCK	033	MCD	B	15,000	53.37	13-Oct	9-Feb	600.00	4.0
TRELLUS PARTNERS LP	028	MGA	SS	2,900	26.92	n/a	n/a	145.00	5.0
TRELLUS PARTNERS LP	028	MTW	SS	20,000	4.20	n/a	17-Feb	600.00	3.0
B BUCK CAPITAL MGMT		MW	C	50,000	10.87	n/a	n/a	non-brg	4.0
PZENA INV ADV	044	NOC	S	33,200	40.23	n/a	n/a	1,162.07	3.5
MATRIX ASSET ADV.	036	OMC	S	4,000	24.50	n/a	n/a	160.00	4.0
EATON VANCE MGMT	039	PEP	B	100,000	50.34	n/a	n/a	3,000.00	3.0
f MANNY I KORMAN	FID	PETM	B	3000	19.52	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		PFCB	C	50,000	19.25	8-Jan	12-Feb	non-brg	4.0
BUCK CAPITAL MGMT		PLCE	S	20,000	18.61	6-Jan	6-Feb	non-brg	4.0
BUCK CAPITAL MGMT		PNRA	B	50,000	44.97	n/a	13-Feb	non-brg	4.0
BUCK CAPITAL MGMT		PVH+DW[???	SS	1,000	1.21	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		QUK+FM[???	SS	500	4.80	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		RCL	B	238,600	7.12	30-Jan	30-Jan	non-brg	3.0
MANNY I KORMAN	FID	ROST	B	3000	30.03	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	SD	S	200,000	6.61	n/a	n/a	10,000.00	5.0
DAVID GEORGE BUCK	FID	SEP	S	1600	20.84	n/a	n/a	0.00	0.0
COUGAR TRADING	044	SHW	B	5,000	47.22	24-Feb	24-Feb	250.00	5.0
WILLIAM J GAULT	FID	SKF	B	6600	147.96	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SKX+GU[???	SS	1,000	0.85	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	SKX	B	40,000	6.89	n/a	13-Mar	1,200.00	3.0
BUCK CAPITAL MGMT		SKX	B	10,000	6.95	n/a	13-Mar	non-brg	1.0
BUCK CAPITAL MGMT		SKX	B	50,000	6.63	n/a	13-Mar	non-brg	2.0
BUCK CAPITAL MGMT		SLM	B	100,000	6.54	22-Jan	25-Feb	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß	BUCK CAPITAL MGMT		SLM	B	300,000	5.75	22-Jan	25-Feb	non-brg 3.0
	COUGAR TRADING	044	SLM	B	25,000	5.14	22-Jan	25-Feb	750.00 3.0
	OZ MGMT	040	SLM	B	1,000,000	5.38	22-Jan	25-Feb	30,000.00 3.0
f	JONATHAN RICHARD HAR	FID	SLV	S	220	12.78	n/a	n/a	0.00 0.0
	CATAPULT	040	SVU	SS	50,000	16.44	n/a	n/a	2,000.00 4.0
f	CHRISTOPHER ARTHUR K	FID	SWNCG	B	200	0.34	n/a	n/a	0.00 0.0
f	ANDREW SINCLAIR	FID	TBTCW	S	200	1.45	n/a	n/a	0.00 0.0
	COUGAR TRADING	044	TEX	B	10,000	8.09	13-Feb	13-Feb	400.00 4.0
	TROUBH PART	067	TGT	S	25,000	27.71	25-Feb	25-Feb	1,250.00 5.0
	WASHINGTON ASSET	048	TGT	B	10,000	28.03	25-Feb	25-Feb	500.00 5.0
ß	BUCK CAPITAL MGMT		THI	C	70,000	24.45	n/a	24-Apr	non-brg 4.0
ß	BUCK CAPITAL MGMT		THI	C	80,000	24.33	n/a	24-Apr	non-brg 4.0
	SCOPUS FUND	041	TIN	B	21,000	4.80	5-Dec	28-Jan	1,050.00 5.0
ß	BUCK CAPITAL MGMT		TRLG	S	15,000	10.97	n/a	n/a	non-brg 2.0
ß	BUCK CAPITAL MGMT		TRLG	S	7,445	11.87	n/a	n/a	non-brg 1.0
ß	BUCK CAPITAL MGMT		TRLG	S	77,555	11.35	n/a	n/a	non-brg 2.5
	NOMURA CAPITAL	045	TSO	B	5,000	15.36	n/a	n/a	250.00 5.0
ß	BUCK CAPITAL MGMT		TUY+DD[???	SS	1,000	1.23	n/a	n/a	non-brg 300.0
	NORTHPOINT	030	URS	B	8,516	32.40	n/a	n/a	340.64 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		WAG	C	50,000	23.80	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	WAG	B	3000	23.89	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	WDR	SS	30,000	14.61	n/a	20-Feb	1,500.00	5.0
BUCK CAPITAL MGMT	001	WEN	SS	100,000	4.86	n/a	24-Apr	3,000.00	3.0
ß BUCK CAPITAL MGMT		WEN	SS	100,000	4.87	n/a	24-Apr	non-brg	3.0
f DAVID GEORGE BUCK	FID	WFC	B	1500	14.60	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	WMT	S	50,000	49.05	10-Oct	18-Feb	2,000.00	4.0
ß BUCK CAPITAL MGMT		WRC+GE[???	SS	2,000	2.40	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	WRC	S	20,000	20.51	27-Feb	27-Jan	800.00	4.0
ß BUCK CAPITAL MGMT		WYN	B	150,000	3.69	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		WYN	B	50,000	3.69	n/a	n/a	non-brg	1.5
ß BUCK CAPITAL MGMT		XOM	SS	22,100	73.33	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):								126,486.13	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/25	SAC CAP/ROSEN FOR BOBBY	044	AIG	SS	200,000	0.46	n/a	n/a	10000.00 5.0
AO,CXL	02/25	SAC CAP/ROSEN FOR BOBBY	044	AIG	SS	200,000	0.46	n/a	n/a	2000.00 1.0
AO,CXL	02/25	HIGHBRIDGE CAPITAL	038	BNI	B	15,000	60.56	n/a	n/a	750.00 5.0
AO,CRT	02/25	HIGHBRIDGE CAPITAL	038	BNI	B	15,000	60.56	n/a	n/a	600.00 4.0
AO,CRT	02/25	HIGHBRIDGE CAPITAL	038	CNI	B	27,000	32.46	n/a	n/a	1080.00 4.0
AO,CXL	02/25	HIGHBRIDGE CAPITAL	038	CNI	B	27,000	32.46	n/a	n/a	1350.00 5.0
AO,CXL	02/24	AVESTA CAP	229	CVS	S	100,000	26.72	n/a	n/a	5000.00 5.0
AO,CRT	02/24	AVESTA CAP	229	CVS	S	100,000	26.72	n/a	n/a	5000.00 5.0
AO,CXL	02/25	HIGHBRIDGE CAPITAL	038	NSC	B	26,000	32.36	n/a	n/a	1300.00 5.0
AO,CRT	02/25	HIGHBRIDGE CAPITAL	038	NSC	B	26,000	32.36	n/a	n/a	1040.00 4.0
AO,CXL	02/24	SENATOR INVESTMENT GROUP	036	TRA	S	30,000	24.88	n/a	n/a	1200.00 4.0
AO,CRT	02/24	SENATOR INVESTMENT GROUP	036	TRA	S	30,000	24.88	n/a	n/a	1200.00 4.0
TOTAL (AO, CXL, CRT) :										7,320.00

TOTAL (Trade Date) :	126,486.13
TOTAL (AO, CXL, CRT) :	7,320.00

TOTAL (RUN DATE) / NFS :	133,806.13
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MRI Securities with Trade Activity on 26-Feb.

EV

MRI Securities without Trade Activity on 26-Feb.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 18%

YTD = 25%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)