



Daily Compliance Activity Report

Trade Date: 07/18/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PIVOT CAP	034	AAP	S	15,900	39.19	n/a	n/a	795.00	5.0
YORK CAPITAL	036	AA	S	399,600	43.38	n/a	n/a	19,980.00	5.0
EGS ASSOCIATES LP	091	ABG	S	4,000	23.72	18-Jun	13-Jul	240.00	6.0
LUCHANSKY	036	AEMD	B	1,000	0.83	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AEO	B	20,000	26.74	10-Jul	13-Jul	non-brg	5.0
GAULT	063	AMCC	S	15,000	2.71	n/a	n/a	0.00	0.0
SUNOVA CAPITAL	031	AMG	S	10,000	127.64	25-Apr	16-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	20,000	72.96	12-Jul	13-Jul	800.00	4.0
JP MORGAN VENTURES	046	ANN	SS	5,000	35.75	12-Jul	13-Jul	250.00	5.0
CASEY CAPITAL	067	AOS	S	5,000	48.96	18-Jul	18-Jul	250.00	5.0
LATEFF MGMT	040	AOS	S	3,000	48.93	18-Jul	18-Jul	630.00	21.0
PIVOT CAP	034	ASD	S	13,750	61.28	18-Jul	18-Jul	687.50	5.0
B BUCK CAPITAL MGMT		BBY	S	10,000	46.43	1-May	27-Jun	non-brg	5.0
B BUCK CAPITAL MGMT		BBY	S	88,100	45.95	1-May	27-Jun	non-brg	5.0
THE BOSTON COMPANY	214	BBY	S	31,840	45.74	1-May	27-Jun	1,592.00	5.0
NEUBERGER BERMAN	036	BEAV	S	47,800	41.99	n/a	n/a	1,912.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		BID	S	30,000	50.63	n/a	n/a	non-brg	5.0
CATAPULT	040	BK	S	25,000	45.55	n/a	13-Jul	1,250.00	5.0
CROWLEY	061	BLJ	B	500	17.15	n/a	n/a	0.00	0.0
GRUBER MACBAINE	033	BLK	B	12,000	169.37	18-Jul	18-Jul	480.00	4.0
LISTED ERR	099	BLK	B	10,000	167.05	18-Jul	18-Jul	0.00	0.0
LISTED ERR	099	BLK	S	10,000	167.55	18-Jul	18-Jul	0.00	0.0
COUGAR TRADING	044	BONT	S	10,000	32.93	6-Jul	13-Jul	500.00	5.0
METROPOLITAN CAPITAL	044	BWS	B	44,900	24.52	n/a	n/a	2,245.00	5.0
ARIENCE CAPITAL	036	BYD	B	28,281	51.63	n/a	27-Apr	1,414.05	5.0
GAULT	063	CCUR	S	10,000	1.77	n/a	n/a	0.00	0.0
DEMARTINI	065	CCUR	S	5,000	1.82	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	CC	S	15,000	13.75	1-May	21-Jun	750.00	5.0
B BUCK CAPITAL MGMT		CPKI	S	15,050	20.25	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	CRI	SS	10,000	25.84	n/a	n/a	400.00	4.0
LEDY	035	CRI	S	1,000	25.86	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CROX	S	20,000	45.23	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		CTRN	S	30,000	38.35	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BEAR STEARNS ASSET MGMT.	033	CWTR	B	20,000	21.57	n/a	n/a	800.00	4.0
⌘ BUCK CAPITAL MGMT		CWTR	B	5,000	21.34	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		DBRN	S	30,000	20.82	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		DHX	S	7,500	13.81	n/a	n/a	non-brg	5.0
REINHART & MAHONEY	031	DVA	S	25,365	53.00	n/a	n/a	1,268.25	5.0
GALLEON MGMT LP	033	EEM	SS	5,000	139.72	n/a	n/a	250.00	5.0
CROWLEY	061	EFT	B	1,700	18.41	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	ENG	S	63,700	11.73	n/a	n/a	2,548.00	4.0
NEUBERGER BERMAN	036	ENH	S	23,800	40.99	n/a	n/a	1,190.00	5.0
⌘ BUCK CAPITAL MGMT		FLDR	SS	5,000	6.69	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		FL	B	40,000	20.89	24-May	21-Jun	non-brg	5.0
LEVIN CAPITAL STRATEGIES	012	F	C	30,000	8.58	n/a	5-Jul	900.00	3.0
LIGHTHOUSE FIN/QUAD	046	F	B	10,000	8.60	n/a	5-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	GCO	S	30,000	52.91	n/a	10-Jan	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		GES	S	20,000	50.19	n/a	n/a	non-brg	5.0
WHITTIER CAP	048	GIII	B	1,000	17.00	n/a	n/a	40.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MKT MAKER	099	GIII	B	43	17.00	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GM	SS	100,000	35.80	4-May	5-Jul	5,000.00	5.0
B BUCK CAPITAL MGMT		GPI	S	152,000	38.50	27-Apr	13-Jul	non-brg	5.0
B BUCK CAPITAL MGMT		GPI	S	9,500	38.84	27-Apr	13-Jul	non-brg	1.0
B BUCK CAPITAL MGMT		GPI	S	98,500	38.66	27-Apr	13-Jul	non-brg	2.0
LEDDY	035	HCGI	B	3,000	0.75	n/a	n/a	0.00	0.0
IRONBOUND CAPITAL	012	IP	SS	20,000	40.55	4-May	14-Jun	1,000.00	5.0
GRONET	030	ITCD	S	2,000	8.85	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	IVZ	B	37,600	26.59	10-Jul	18-Jul	1,504.00	4.0
GARX	301	IVZ	B	133,900	26.60	10-Jul	18-Jul	5,356.00	4.0
LEDDY	035	JADE	B	2,000	9.61	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		JCG	S	10,000	52.58	22-Jun	22-Jun	non-brg	5.0
COUGAR TRADING	044	JCG	B	10,000	52.70	22-Jun	22-Jun	500.00	5.0
BUCK CAPITAL MGMT	001	JCP	S	10,000	75.13	21-Jun	13-Jul	400.00	4.0
CASTLE ARK	031	JCP	B	53,750	75.43	21-Jun	13-Jul	3,225.00	6.0
COUGAR TRADING	044	JCP	B	5,000	75.44	21-Jun	13-Jul	250.00	5.0
B BUCK CAPITAL MGMT		JNY	C	20,000	27.49	n/a	3-May	non-brg	5.0
B BUCK CAPITAL MGMT		JOSB	S	17,600	40.09	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		JOSB	S	25,755	39.72	n/a	n/a	non-brg	1.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß	BUCK CAPITAL MGMT		JOSB	S	300	40.05	n/a	n/a	non-brg	5.0
	CAPITAL GROWTH MGMT.	241	JOSB	S	30,000	39.47	n/a	n/a	1,500.00	5.0
	WENTWORTH HAUSER VIOLICK	035	JOSB	S	50,000	39.45	n/a	n/a	2,500.00	5.0
	PLATTE RIVER CAPITAL	240	JPM	S	22,300	47.98	n/a	13-Jul	892.00	4.0
	REINHART & MAHONEY	031	KMT	S	4,140	87.82	n/a	n/a	207.00	5.0
	CRAMER ROSENTHAL/SCOTT	012	KR	B	7,500	29.08	n/a	n/a	300.00	4.0
	BUCK CAPITAL MGMT	001	KSS	B	85,000	67.27	14-Jun	12-Jul	3,400.00	4.0
	HIGHBRIDGE CAPITAL	038	KSS	SS	14,000	66.65	14-Jun	12-Jul	700.00	5.0
ß	BUCK CAPITAL MGMT		LAMR	B	5,000	61.31	n/a	n/a	non-brg	1.0
	DSG CAPITAL	225	LEH	B	500	71.00	12-Jun	13-Jul	25.00	5.0
	MAGUIRE	039	LEH	B	100	71.20	12-Jun	13-Jul	0.00	0.0
	BUCK CAPITAL MGMT	001	LIZ	SS	40,000	36.95	n/a	12-Jul	1,600.00	4.0
	GRUBER MACBAINE	033	LOW	S	50,000	29.89	n/a	21-May	2,000.00	4.0
	KERN	050	MERHR	S	27	1.10	n/a	n/a	0.00	0.0
	BARR	096	MLNX	B	1,000	22.05	n/a	n/a	0.00	0.0
	JENNISON	032	MSFT	B	24,200	30.62	n/a	3-Apr	968.00	4.0
	LEDDY	035	MZZ	B	1,200	49.47	n/a	n/a	0.00	0.0
	BUCK	015	M	C	500	42.83	13-Jul	13-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OGORMAN	146	NAL	S	1,300	14.09	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		NEXC	B	5,000	10.25	n/a	n/a	non-brg	1.0
GAULT	063	NEXC	S	1,000	10.10	n/a	n/a	0.00	0.0
GAULT	063	NLSAD	S	19	0.05	n/a	n/a	0.00	0.0
EFSTATHIOU	044	NLS	S	400	9.58	n/a	n/a	0.00	0.0
JACOB	068	NLS	S	500	9.58	n/a	n/a	0.00	0.0
CROWLEY	061	NQI	B	2,500	13.79	n/a	n/a	0.00	0.0
LEDDY	035	NWY	S	1,000	11.53	25-May	25-May	0.00	0.0
CAPITAL GROWTH MGMT.	241	OIS	B	30,000	43.46	n/a	n/a	1,500.00	5.0
ß BUCK CAPITAL MGMT		PAG	S	2,105	21.40	n/a	13-Jul	non-brg	1.0
ß BUCK CAPITAL MGMT		PAG	S	50,000	21.32	n/a	13-Jul	non-brg	5.0
PIVOT CAP	034	PBY	S	11,000	19.14	n/a	n/a	550.00	5.0
REINHART & MAHONEY	031	PCH	B	39,000	43.75	26-Jun	26-Jun	1,950.00	5.0
ß BUCK CAPITAL MGMT		PERY	B	10,000	30.90	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		PERY	B	10,000	30.93	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		PERY	B	5,000	31.03	n/a	n/a	non-brg	1.0
BARR	096	PMI	SS	400	43.92	n/a	n/a	0.00	0.0
WEINTRAUB CAPITAL MGT.	040	PTEN	B	16,700	25.00	n/a	n/a	835.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		PTT	B	10,000	6.30	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	PVH	B	15,000	55.65	31-May	31-May	600.00	4.0
RAINIER INV MGMT	035	PVH	B	2,600	55.95	31-May	31-May	130.00	5.0
WHITE PINE CAPITAL	030	RCKY	S	1,500	17.10	n/a	n/a	75.00	5.0
MKT MAKER	099	RCKY	S	34	17.10	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	S	10,000	99.65	21-Jun	27-Jun	400.00	4.0
STADIA CAPITAL	035	RL	S	10,000	99.44	21-Jun	27-Jun	400.00	4.0
GALLEON MGMT LP	033	RTH	SS	5,000	107.29	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	RVI	S	162,850	15.43	n/a	n/a	6,514.00	4.0
ß BUCK CAPITAL MGMT		RVI	S	1,900	15.45	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		SKX	S	30,000	28.52	n/a	13-Mar	non-brg	5.0
MORGAN LEWIS/MLH	046	SPC	SS	15,000	5.51	13-Jul	17-Jul	750.00	5.0
BUCK CAPITAL MGMT	001	SSI	S	10,000	20.57	25-May	13-Jul	400.00	4.0
OGORMAN	146	TGTSN	S	12	2.20	n/a	n/a	0.00	0.0
SARAS CAPITAL	038	TGT	B	10,000	68.18	n/a	12-Jul	500.00	5.0
IRONBOUND CAPITAL	012	TIN	B	10,000	64.21	14-Jun	14-Jun	500.00	5.0
BUCK CAPITAL MGMT	001	TLB	SS	20,000	24.68	n/a	17-Jul	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	TROW	S	11,000	54.25	n/a	16-Jul	550.00	5.0
Ⓐ BUCK CAPITAL MGMT		TSCO	S	20,000	50.56	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	TWB	B	5,000	43.14	2-Jul	2-Jul	200.00	4.0
Ⓐ BUCK CAPITAL MGMT		URBN	B	30,000	21.56	2-Apr	16-Jul	non-brg	5.0
Ⓐ BUCK CAPITAL MGMT		URBN	B	50,000	21.53	2-Apr	16-Jul	non-brg	5.0
COUGAR TRADING	044	URBN	B	5,000	21.80	2-Apr	16-Jul	250.00	5.0
TRELLUS PARTNERS LP	028	VC	B	100,000	7.62	n/a	n/a	3,000.00	3.0
SINCLAIR	031	VOII	S	115,000	0.06	n/a	n/a	0.00	0.0
PIVOT CAP	034	WBCWI	B	18,000	0.00	n/a	n/a	900.00	5.0
AVESTA CAP	229	WDR	B	25,000	28.09	13-Jul	16-Jul	1,250.00	5.0
WEINTRAUB CAPITAL MGT.	040	WMT	S	25,000	48.55	4-Jun	12-Jul	1,250.00	5.0
SUTERA	160	WRES	B	1,000	11.73	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		WRNC	S	50,000	39.92	18-Jul	18-Jul	non-brg	5.0
PIVOT CAP	034	WRNC	S	10,200	39.80	18-Jul	18-Jul	510.00	5.0
STRATIX ASSEST MGMT	035	WRNC	B	10,000	39.96	18-Jul	18-Jul	500.00	5.0
TOTAL (Trade Date):								99,262.80	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/17	AMBER CAPITAL	046	ACXM	S	250,000	26.40	n/a	n/a	10000.00 4.0
AO,CRT	07/17	AMBER CAPITAL	046	ACXM	S	250,000	26.40	n/a	n/a	10000.00 4.0
AO,	07/17	NEUBERGER BERMAN	036	AIZ	B	163,000	52.77	n/a	n/a	8150.00 5.0
AO,CRT	07/17	CROWLEY	061	CMU	B	12,500	5.70	n/a	n/a	0.00 0.0
AO,CXL	07/17	CROWLEY	061	CMU	B	12,500	5.70	n/a	n/a	0.00 0.0
AO,CXL	07/17	BUCK CAPITAL MGMT	015	GPYHF	S	5	2.75	n/a	n/a	0.00 0.0
AO,CRT	07/17	BUCK CAPITAL MGMT	015	GPYHF	S	5	2.75	n/a	n/a	0.00 0.0
AO,CXL	07/17	DIAMONDBACK CAP MGMT	232	RF	S	50,000	33.79	n/a	n/a	2500.00 5.0
AO,	07/17	SOCIETY GENERALE	040	WBCWI	S	7,000	0.00	n/a	n/a	350.00 5.0

TOTAL (AO, CXL, CRT) :**6,000.00**

TOTAL (Trade Date):

99,262.80

TOTAL (AO, CXL, CRT):

6,000.00

TOTAL (RUN DATE) / NFS:**105,262.80**

MRI Securities with Trade Activity on 18-Jul.

AOS, ASD, BLK, WRNC

MRI Securities without Trade Activity on 18-Jul.

CCMP, DVN, MER

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 29%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION