



Daily Compliance Activity Report

Trade Date: 06/14/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	ACCO	S	30,000	9.62	n/a	n/a	1,200.00	4.0
COLUMBUS CIRCLE	036	ACIW	B	6,100	39.37	n/a	n/a	244.00	4.0
OZ MGMT	040	AXL	S	75,000	9.27	n/a	7-Jun	2,250.00	3.0
SIMMONS VENTURES	041	BODY	B	5,000	15.30	n/a	n/a	200.00	4.0
PLATTE RIVER CAPITAL	240	BUD	S	21,870	70.97	n/a	4-Jun	874.80	4.0
SCOPUS FUND	041	CNK	SS	17,402	21.58	n/a	n/a	696.08	4.0
PROSPECTOR PARTNERS LLC	036	CVC	B	10,000	12.08	n/a	n/a	400.00	4.0
MERRILL ASSET/BLACKROCK	297	C	B	1,000,000	27.70	n/a	8-Jun	40,000.00	4.0
CORNERSTONE	030	DG	B	28,685	50.55	n/a	5-Jun	860.55	3.0
NEUBERGER BERMAN	036	EXXI	S	86,000	27.79	n/a	n/a	3,440.00	4.0
BNP PARIBAS SEC	040	FDO	S	24,750	74.62	n/a	5-Jun	1,237.50	5.0
BNP PARIBAS SEC	040	F	B	40,000	10.39	n/a	4-Jun	2,000.00	5.0
COUGAR TRADING	044	GIL	B	5,000	27.04	n/a	12-Jun	250.00	5.0
KLEINHEINZ CAP PART	031	KSS	B	120,000	43.93	n/a	1-Jun	6,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STANDARD PACIFIC CAPITAL	084	LCC	B	400,000	12.32	n/a	n/a	20,000.00	5.0
ARSENAL ASSET MANAGEMENT	028	MLI	B	5,000	40.99	n/a	14-Jun	200.00	4.0
STELLIAM INV ADV	034	PFE	S	25,000	22.48	n/a	n/a	1,250.00	5.0
ROYCE TRADING	031	PLCE	B	500	43.51	n/a	5-Jun	25.00	5.0
ST OF MICHIAN	047	SCHW	B	100,000	12.32	n/a	n/a	3,000.00	3.0
BNP PARIBAS SEC	040	SWN	B	30,000	25.96	n/a	25-May	1,500.00	5.0
HOTCHKIS & WILEY	035	SYA	S	45,000	11.80	n/a	n/a	2,250.00	5.0
FIRST NEW YORK LEDDY	035	S	S	20,000	3.04	n/a	n/a	800.00	4.0
BNP PARIBAS SEC	040	UNG	S	10,000	16.33	n/a	n/a	500.00	5.0
PROSPECTOR PARTNERS LLC	036	WAG	B	10,000	31.62	n/a	n/a	400.00	4.0
EATON VANCE MGMT	029	XOM	S	38,556	81.33	n/a	n/a	1,156.68	3.0
TOTAL (Trade Date) :								90,734.61	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									90,734.61
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									90,734.61
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION