



Daily Compliance Activity Report

Trade Date: 03/25/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAP	C	23,000	80.63	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AAP	C	950	80.08	n/a	n/a	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	ADP	S	10,000	64.10	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT		AEO	S	16,500	18.63	n/a	2-Dec	non-brg	4.0
BUCK CAPITAL MGMT		AEO	S	50,000	18.59	n/a	2-Dec	non-brg	4.0
S.A.C. CAPITAL	044	AEO	S	40,000	18.58	n/a	2-Dec	1,200.00	3.0
LATEEF MGMT	033	ALL	S	250	48.54	n/a	n/a	45.00	18.0
f MANNY I KORMAN	FID	ALL	B	4000	48.78	n/a	n/a	0.00	0.0
SENATOR INVESTMENT GROUP	036	AMTD	SS	300,000	20.61	n/a	n/a	9,000.00	0.0
LISTED ERR	099	AMTD	B	7,900	20.45	n/a	n/a	0.00	0.0
LISTED ERR	099	AMTD	S	7,900	20.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ANF	S	13,050	46.21	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		ANF	S	20,000	46.22	n/a	n/a	non-brg	4.0
RAINIER INV MGMT	033	ANGI	B	21,700	18.93	n/a	n/a	868.00	0.0
BUCK CAPITAL MGMT		ANN	C	1,850	29.90	n/a	n/a	non-brg	4.0
GUGGENHEIM GLOBAL TRADING (GGT) 251	ARCP		B	20,000	14.13	n/a	n/a	600.00	3.0
BUCK CAPITAL MGMT		ARO	C	4,400	13.49	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		AZO	C	300	394.43	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IVORY CAPITAL	084	BBBY	S	40,000	64.02	n/a	n/a	2,000.00	0.0
SYMPHONY ASSET	033	BHI	C	2,985	45.14	n/a	n/a	89.55	0.0
⚡ BUCK CAPITAL MGMT		BID	C	1,750	37.38	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BLMN	S	3,550	18.02	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BONT	S	7,450	13.04	n/a	13-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CAR	S	9,300	26.87	n/a	n/a	non-brg	4.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	CBS	B	10,000	45.57		n/a	n/a	400.00 4.0
⚡ BUCK CAPITAL MGMT		CHS	C	4,200	17.37	n/a	5-Feb	non-brg	4.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	CLI	B	5,000	28.66		n/a	n/a	150.00 3.0
f MANNY I KORMAN	FID	CMCSA	B	4000	41.58	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		CMG	C	450	318.89	n/a	6-Feb	non-brg	4.0
⚡ BUCK CAPITAL MGMT		COH	C	4,800	49.78	n/a	27-Feb	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CROX	S	200,000	15.05	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CROX	S	25,400	15.05	n/a	n/a	non-brg	4.0
ROYCE TRADING	031	CROX	B	100,000	15.06	n/a	n/a	5,000.00	0.0
LATEEF MGMT	033	CVS	S	400	55.43	n/a	n/a	52.00	13.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		DAL	S	30,000	16.62	n/a	5-Mar	non-brg	4.0
STANDARD PACIFIC CAPITAL	084	DAN	S	105,000	17.62	n/a	19-Mar	5,250.00	0.0
ß BUCK CAPITAL MGMT		DDS	C	700	79.65	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	DFS	S	19,600	45.05	n/a	21-Mar	980.00	5.0
ß BUCK CAPITAL MGMT		DG	S	9,700	51.72	n/a	25-Mar	non-brg	4.0
FARALLON CAP MGMT	040	DG	S	85,000	51.70	n/a	25-Mar	3,400.00	4.0
ß BUCK CAPITAL MGMT		DKS	B	11,000	47.51	n/a	20-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		DKS	B	20,000	47.09	n/a	20-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		DKS	B	30,000	47.51	n/a	20-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		DKS	S	4,882	47.10	n/a	20-Mar	non-brg	4.0
WAGONHOUND/NICOLAS	033	DPZ	B	14,314	50.81	n/a	1-Mar	572.56	0.0
f MANNY I KORMAN	FID	DSW	B	4000	65.82	n/a	11-Feb	0.00	0.0
CONIFER/GROW	084	EAT	B	43,000	36.70	n/a	25-Mar	1,720.00	4.0
HARVEST MGMT (JMP)	029	EBAY	B	5,000	52.74	n/a	n/a	200.00	4.0
ß BUCK CAPITAL MGMT		EL	S	2,100	63.72	n/a	1-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		EXPR	S	9,850	17.43	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		FDO	C	1,500	60.16	n/a	4-Jan	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT		FDO	C	16,000	60.53	n/a	4-Jan	non-brg 4.0
MARC PAUL LUCHANSKY	FID	FICNX	B	223	11.92	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		FINL	B	28,000	17.98	n/a	25-Mar	non-brg 4.0
MANNY I KORMAN	FID	FLR	B	7000	65.35	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT		FL	S	23,671	32.92	n/a	20-Mar	non-brg 4.0
BUCK CAPITAL MGMT		FNP	B	55,000	19.20	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		FNP	S	9,600	18.95	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		FOSL	S	5,800	95.55	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		FRAN	S	13,900	30.45	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		GCO	C	650	59.59	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		GES	C	2,700	25.10	n/a	21-Mar	non-brg 4.0
BUCK CAPITAL MGMT		GIL	S	11,700	39.00	n/a	14-Mar	non-brg 4.0
SCOPUS FUND	041	GIL	S	2,600	39.03	n/a	14-Mar	104.00 0.0
STANDARD PACIFIC CAPITAL	084	GM	S	130,000	28.20	n/a	25-Mar	6,500.00 0.0
BUCK CAPITAL MGMT		GNC	B	70,000	38.83	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		GNC	S	10,201	38.80	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT		GPS 1 P15	C	45	0.10	n/a	n/a	non-brg 100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		GPS	S	5,500	35.77	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GRMN	C	1,800	33.31	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		GRMN	C	20,000	33.20	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HAS	C	1,397	43.54	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HAS	C	50,000	43.78	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HBC CN	S	107,095	14.92	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HBI	S	9,400	43.18	n/a	1-Mar	non-brg	4.0
BRONSON POINT	036	HFC	SS	25,000	52.38	n/a	n/a	750.00	0.0
SYMPHONY ASSET	033	HFC	C	2,700	51.75	n/a	n/a	81.00	0.0
⚡ BUCK CAPITAL MGMT		HIBB	C	650	55.76	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HOG	C	1,600	52.50	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HOG	C	20,000	52.69	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	HOV	S	260	6.09	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	HTLD	S	15000	13.84	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	HTLD	S	1682	13.81	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		HTZ	S	13,350	21.25	n/a	n/a	non-brg	4.0
IVORY CAPITAL	084	HTZ	B	86,500	21.04	n/a	n/a	4,325.00	0.0
⚡ BUCK CAPITAL MGMT		ICON	C	1,400	25.45	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		ICON	C	20,000	25.88	n/a	n/a	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	IP	B	8,000	45.01	n/a	18-Mar	400.00	5.0
BUCK CAPITAL MGMT		ITX SM	S	2,825	130.05	n/a	n/a	non-brg	0.0
BUCK CAPITAL MGMT		JCP	S	100,000	14.79	n/a	6-Mar	non-brg	4.0
BUCK CAPITAL MGMT		JCP	S	100,000	14.98	n/a	6-Mar	non-brg	4.0
BUCK CAPITAL MGMT		JNY	C	11,150	12.74	n/a	1-Mar	non-brg	4.0
ADAGE CAPITAL MGMT	030	JWN	B	70,000	53.69	n/a	6-Mar	2,100.00	3.0
BUCK CAPITAL MGMT		JWN	C	3,050	53.75	n/a	6-Mar	non-brg	4.0
BUCK CAPITAL MGMT		KMX	C	1,900	40.32	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		KORS	S	10,700	55.17	n/a	12-Mar	non-brg	4.0
BUCK CAPITAL MGMT		KSS	C	4,750	46.52	n/a	6-Mar	non-brg	4.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	LBTYA	B	5,000	69.42		n/a	n/a	200.00 4.0
SENATOR INVESTMENT GROUP	036	LEA	S	104,007	54.39	n/a	22-Mar	3,120.21	3.0
STANDARD PACIFIC CAPITAL	084	LEA	S	40,000	54.46	n/a	22-Mar	2,000.00	0.0
BUCK CAPITAL MGMT		LEG	C	2,902	32.69	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LEG	C	20,000	32.78	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LOW	C	20,000	38.29	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		LOW	C	850	38.29	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HEIGHTS CAPITAL	251	LSTR	B	21,002	56.41	n/a	n/a	840.08	0.0
⚡ BUCK CAPITAL MGMT		LTD	B	50,000	44.59	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LTD	S	6,127	44.16	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		LULU	C	800	62.07	n/a	22-Mar	non-brg	4.0
HARVEST MGMT (JMP)	029	LULU	SS	5,000	62.96	n/a	22-Mar	200.00	4.0
⚡ BUCK CAPITAL MGMT		LVS	S	7,150	54.85	n/a	13-Jun	non-brg	4.0
J. GOLDMAN	028	LYB	B	28,000	63.39	n/a	n/a	1,120.00	4.0
⚡ BUCK CAPITAL MGMT		M 4 C40	C	18	2.69	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		MAR	C	2,200	39.78	n/a	13-Jun	non-brg	4.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	MAR	B	5,000	39.87		n/a 13-Jun	150.00	3.0
⚡ BUCK CAPITAL MGMT		MAT	C	1,700	43.21	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MAT	C	50,000	43.49	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	C	1,625	98.88	n/a	25-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MCD	C	20,000	98.46	n/a	25-Mar	non-brg	4.0
f DAVID GEORGE BUCK	FID	MCK	S	200	108.30	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	MCK	S	200	108.23	n/a	n/a	0.00	0.0
EPOCH INV MGMT	030	MLHR	B	31,000	27.40	n/a	n/a	930.00	0.0
LATEEF MGMT	033	MRK	B	400	43.75	n/a	n/a	52.00	13.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FORTRESS INVESTMENT (US)	036	MSFT	B	70,000	28.15	n/a	n/a	2,800.00	0.0
f EDWARD W WHEELER	FID	MWA	S	15000	6.15	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	MWV	B	30,000	35.99	n/a	12-Mar	1,500.00	5.0
B BUCK CAPITAL MGMT		MW	C	1,300	33.49	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		M	S	11,350	42.31	n/a	7-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		NILE	C	10,000	34.79	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		NILE	C	700	35.02	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		NKE	C	1,750	59.16	n/a	22-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		NKE	C	30,000	59.42	n/a	22-Mar	non-brg	4.0
PROSPECTOR PARTNERS LLC	036	NP	S	10,000	30.59	n/a	22-Feb	400.00	4.0
YORK CAPITAL	036	NWSA	B	250,000	30.14	n/a	n/a	7,500.00	3.0
LATEEF MGMT	033	OI	S	500	26.52	n/a	n/a	50.00	10.0
LAKEWOOD CAPITAL MGMT	085	ORCL	B	10,000	31.34	n/a	n/a	300.00	0.0
B BUCK CAPITAL MGMT		PCLN	C	187	697.01	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PCLN	C	2,500	699.36	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	PCRRX	S	1172	6.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PETM	C	1,350	62.14	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		PII	S	5,950	89.66	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		PIR	C	2,050	23.02	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		PLCE	C	1,150	45.40	n/a	10-Jan	non-brg	4.0
BUCK CAPITAL MGMT		PVH 6 C125	C	8	2.20	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT		PVH	B	20,000	112.73	n/a	1-Mar	non-brg	4.0
BUCK CAPITAL MGMT		PVH	S	3,300	112.58	n/a	1-Mar	non-brg	4.0
BUCK CAPITAL MGMT		RCII	C	2,450	37.27	n/a	n/a	non-brg	4.0
IAN L MILLER	FID	RDN	S	150	10.41	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		RLJEW	S	7,900	0.22	n/a	n/a	non-brg	0.5
BUCK CAPITAL MGMT		RLJE	S	5,500	4.55	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		RL	S	1,550	166.56	n/a	21-Feb	non-brg	4.0
ADAGE CAPITAL MGMT	030	ROST	B	69,755	59.32	n/a	22-Mar	2,092.65	0.0
S.A.C. CAPITAL	044	ROST	S	40,000	59.25	n/a	22-Mar	1,200.00	0.0
BUCK CAPITAL MGMT		RSH	S	8,800	3.52	n/a	n/a	non-brg	2.0
WAGONHOUND/NICOLAS	033	SAVE	S	50,000	25.94	n/a	n/a	2,000.00	0.0
BUCK CAPITAL MGMT		SCSS	S	7,300	18.70	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		SCSS	S	75,000	18.84	n/a	n/a	non-brg	4.0
LATEEF MGMT	033	SFG	S	250	41.94	n/a	n/a	45.00	18.0
B BUCK CAPITAL MGMT		SHOO 4 C50	C	8	0.03	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		SHOO	S	6,650	43.53	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SIG	C	1,400	62.10	n/a	n/a	non-brg	4.0
LAKEWOOD CAPITAL MGMT	085	SIR	B	5,000	25.87	n/a	n/a	150.00	0.0
B BUCK CAPITAL MGMT		SKS 5 P10	C	131	0.12	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		SKS	C	13,050	11.62	n/a	n/a	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	SMAIX	B	268	4.83	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SONC	C	900	11.80	n/a	n/a	non-brg	4.0
LAKEWOOD CAPITAL MGMT	085	SPR	B	10,000	18.21	n/a	19-Mar	300.00	0.0
SYMPHONY ASSET	033	SPY	C	10,380	155.71	n/a	n/a	311.40	0.0
B BUCK CAPITAL MGMT		SSI	S	5,100	25.71	n/a	13-Mar	non-brg	4.0
B BUCK CAPITAL MGMT		SWY	C	5,790	25.94	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SWY	C	70,000	25.93	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SYU	C	30,000	35.04	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		SYX	C	5,750	34.81	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TFM	C	1,050	43.13	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TGT	C	2,350	68.54	n/a	13-Mar	non-brg	4.0
ß BUCK CAPITAL MGMT		THI	C	500	52.36	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TIF	C	4,550	68.47	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TIF	C	40,000	68.39	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TJX	S	3,500	46.98	n/a	7-Mar	non-brg	4.0
f MARC PAUL LUCHANSKY	FID	TPINX	B	176	13.46	n/a	n/a	0.00	0.0
MACELLUM CAPITAL	086	TPX	S	85,000	46.24	n/a	n/a	2,550.00	3.0
PROSPECTOR PARTNERS LLC	036	TR	S	5,000	29.58	n/a	n/a	200.00	4.0
ß BUCK CAPITAL MGMT		TSCO	S	1,100	101.68	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TXRH	C	850	19.54	n/a	n/a	non-brg	4.0
LATEEF MGMT	033	UAL	S	500	31.69	n/a	12-Mar	50.00	10.0
ß BUCK CAPITAL MGMT		ULTA	S	6,250	77.04	n/a	n/a	non-brg	4.0
f JONATHAN RICHARD HAR	FID	ULTA	S	95	77.56	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		URBN	B	10,000	39.10	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		URBN	B	13,000	38.89	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		URBN	B	40,000	38.90	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		URBN	S	11,101	38.54	n/a	n/a	non-brg	4.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	VOD	B	20,000	28.48		n/a	n/a	600.00 3.0
BUCK CAPITAL MGMT		VSI	C	700	49.40	n/a	n/a	non-brg	4.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	VZ	SS	9,800	49.32		n/a	n/a	392.00 4.0
BUCK CAPITAL MGMT		V	S	3,636	163.36	n/a	20-Mar	non-brg	4.0
BUCK CAPITAL MGMT		WERN	S	30,000	24.50	n/a	n/a	non-brg	4.0
HEIGHTS CAPITAL	251	WERN	SS	47,259	24.18	n/a	n/a	1,890.36	0.0
BUCK CAPITAL MGMT		WFM	C	1,200	85.84	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		WMT	C	20,000	74.71	n/a	22-Feb	non-brg	4.0
BUCK CAPITAL MGMT		WMT	C	20,000	74.81	n/a	22-Feb	non-brg	4.0
BUCK CAPITAL MGMT		WMT	C	4,897	74.51	n/a	22-Feb	non-brg	4.0
LATEEF MGMT	033	WRI	S	500	31.42	n/a	n/a	50.00	10.0
BUCK CAPITAL MGMT		WSM	C	1,550	50.10	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		WSM	C	20,000	50.38	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		WWW	C	2,600	45.22	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		WYNN	C	52,100	122.65	n/a	13-Jun	non-brg	4.0
BUCK CAPITAL MGMT		WYNN	C	950	123.00	n/a	13-Jun	non-brg	4.0
BUCK CAPITAL MGMT		XLF	C	32,800	18.10	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SYMPHONY ASSET	033	XME	C	4,090	40.34	n/a	n/a	122.70	0.0
B BUCK CAPITAL MGMT		ZUMZ	C	850	23.88	n/a	n/a	non-brg	4.0
f EDWARD W WHEELER	FID		S	100000	85.03	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							79,303.51		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									79,303.51
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									79,303.51

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 25-Mar.
NONE

MRI Securities without Trade Activity on 25-Mar.
DRI

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)