



## Daily Compliance Activity Report

Trade Date: 03/13/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	S	5,000	17.06	6-Mar	13-Mar	200.00	4.0
BUCK CAPITAL MGMT	001	ANF	S	5,000	72.83	10-Jan	10-Mar	200.00	4.0
BEAR STEARNS ASSET MGMT.	033	ANN	C	120,500	23.97	11-Jan	7-Mar	4,820.00	4.0
EFSTATHIOU	044	APVCE	S	5	5.90	n/a	n/a	0.00	0.0
WAGONHOUND	048	ARGN	B	1,625	16.10	n/a	25-Feb	65.00	4.0
BLUE HARBOR	036	AXE	B	50,000	61.88	29-Jan	3-Mar	2,000.00	4.0
SINCLAIR	031	BAC	S	150	37.17	13-Nov	10-Mar	0.00	0.0
PROSPECTOR PARTNERS LLC	036	BEN	B	17,500	91.41	n/a	13-Mar	700.00	4.0
PILOT ROCK	028	BHI	B	24,900	65.84	n/a	n/a	996.00	4.0
BUCK CAPITAL MGMT	001	BIG	SS	10,000	20.62	6-Mar	10-Mar	400.00	4.0
MATTHES CAPITAL MGMT	033	BIG	SS	25,000	21.02	6-Mar	10-Mar	1,000.00	4.0
LEVIN CAPITAL STRATEGIES	012	BX	S	30,000	15.83	n/a	n/a	1,200.00	4.0
MFP INVESTORS	032	CBT	B	7,000	26.43	n/a	30-Jan	350.00	5.0
ROTHSCHILD ASSET	040	CHK	B	20,120	46.95	n/a	n/a	905.40	4.5
RHO CAPITAL MGMT	041	CHTP	B	860	5.43	n/a	n/a	34.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>SINCLAIR</b>	<b>031</b>	<b>CMO</b>	<b>B</b>	<b>1,200</b>	<b>10.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LEVIN CAPITAL STRATEGIES	012	COF	S	15,000	46.25	n/a	n/a	600.00	4.0
SYSTEMATIC FINANCIAL MGT	035	COF	B	129,000	46.28	n/a	n/a	4,837.50	3.8
BALYASNY ASSET MGMT	044	COH	B	35,000	27.45	24-Oct	24-Jan	1,750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>S</b>	<b>3,900</b>	<b>27.48</b>	<b>24-Oct</b>	<b>24-Jan</b>	<b>156.00</b>	<b>4.0</b>
GALT INVESTMENT	041	COH	B	25,000	28.14	24-Oct	24-Jan	1,000.00	4.0
GALT INVESTMENT	041	COH	S	5,000	28.50	24-Oct	24-Jan	200.00	4.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>COST</b>	<b>C</b>	<b>200</b>	<b>61.48</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
DSG CAPITAL	225	C	B	10,000	20.65	16-Jan	10-Mar	500.00	5.0
<b>BARR</b>	<b>096</b>	<b>DAL</b>	<b>S</b>	<b>300</b>	<b>9.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MS PRINCIPAL STRATEGIES	041	DRYS	B	15,400	59.53	n/a	n/a	770.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>DSW</b>	<b>S</b>	<b>15,000</b>	<b>14.91</b>	<b>26-Oct</b>	<b>8-Feb</b>	<b>600.00</b>	<b>4.0</b>
GALLEON MGMT LP	033	EAT	SS	20,000	16.64	n/a	24-Apr	1,000.00	5.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>ECL</b>	<b>C</b>	<b>300</b>	<b>43.30</b>	<b>4-Jan</b>	<b>27-Feb</b>	<b>0.00</b>	<b>0.0</b>
PHILADELPHIA FINANCIAL	033	EV	SS	68,164	30.13	9-Jan	10-Mar	3,408.20	5.0
PATTEN & PATTEN	067	EWJ	S	1,075	12.27	n/a	n/a	53.75	5.0
PHILADELPHIA FINANCIAL	033	GBL	SS	4,000	53.33	n/a	6-Mar	200.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GES	S	10,000	35.86	n/a	n/a	400.00	4.0
BUCK CAPITAL MGMT	001	GIL	S	15,000	34.13	22-Jan	10-Mar	600.00	4.0
BARR	096	GLD	S	300	98.45	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	S	25,000	20.15	n/a	7-Mar	1,250.00	5.0
DAVIDSON TRUST CO	028	HD	B	2,135	25.75	n/a	27-Jul	128.10	6.0
ROTHSCHILD ASSET	040	HUM	S	6,510	46.11	n/a	n/a	292.95	4.5
RHO CAPITAL MGMT	041	INP	S	1,000	66.51	n/a	n/a	50.00	5.0
BULL PATH CAPITAL	044	INTU	B	41,500	26.53	n/a	n/a	2,075.00	5.0
SINCLAIR	031	IPPF	B	50	0.70	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	IR	S	15,000	42.81	n/a	n/a	600.00	4.0
BARR	096	ITCD	S	3,251	3.40	n/a	n/a	0.00	0.0
PHILADELPHIA FINANCIAL	033	IVZ	B	31,922	22.41	10-Mar	13-Mar	1,596.10	5.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	20,000	22.41	10-Mar	13-Mar	750.00	3.8
BUCK CAPITAL MGMT	001	JAH	B	47,500	21.89	n/a	n/a	1,900.00	4.0
BUCK CAPITAL MGMT	001	JAH	S	15,600	20.81	n/a	n/a	624.00	4.0
GLG PARTNERS	034	JAKK	S	25,000	27.24	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	JCG	B	5,000	42.65	28-Nov	12-Mar	250.00	5.0
LOOMIS SALES/BOSTON	036	JCP	S	102,210	38.65	4-Jan	7-Mar	4,088.40	4.0
BUCK CAPITAL MGMT	001	JNY	S	10,900	13.12	n/a	15-Feb	436.00	4.0
SINCLAIR	031	KEG	S	50	12.92	14-Feb	4-Mar	0.00	0.0
MS PRINCIPAL STRATEGIES	041	KLAC	B	15,000	37.30	n/a	n/a	750.00	5.0
VIKING GLOBAL	030	KR	B	408,000	24.47	n/a	n/a	20,400.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	120,000	41.20	4-Jan	7-Mar	4,800.00	4.0
LEDDY	035	LEH	B	500	46.07	n/a	10-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	B	20,000	16.89	n/a	15-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	LTM	B	20,000	27.58	n/a	n/a	800.00	4.0
N STRATTON	014	LTRE	S	300	14.86	n/a	n/a	0.00	0.0
BARR	096	LUV	S	520	11.41	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	MAT	SS	120,000	19.95	n/a	n/a	4,800.00	4.0
LEDDY	035	MER	S	500	46.16	18-Jan	10-Mar	0.00	0.0
LUCHANSKY1	036	MMAB	S	400	5.45	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THE JAY GOLDMAN	034	M	B	30,000	21.79	7-Feb	7-Mar	1,200.00	4.0
CRAMER ROSENTHAL/SCOTT	012	NDN	SS	51,500	9.20	27-Feb	7-Mar	1,802.50	3.5
CATAPULT	040	NLY	S	10,000	15.41	n/a	n/a	500.00	5.0
RHO CAPITAL MGMT	041	NOK	B	2,000	32.62	n/a	n/a	100.00	5.0
PROSPECTOR PARTNERS LLC	036	NP	B	10,000	23.87	8-Jan	23-Jan	400.00	4.0
<b>BARR</b>	<b>096</b>	<b>OESX</b>	<b>SS</b>	<b>1,000</b>	<b>9.21</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROTHSCHILD ASSET	040	PDX	S	7,720	64.46	n/a	n/a	347.40	4.5
CATAPULT	040	PSS	B	25,000	12.68	n/a	n/a	1,000.00	4.0
COUGAR TRADING	044	PSUN	S	40,000	11.64	n/a	7-Mar	2,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>PVH</b>	<b>S</b>	<b>10,000</b>	<b>32.42</b>	<b>13-Mar</b>	<b>13-Mar</b>	<b>400.00</b>	<b>4.0</b>
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>PVH</b>	<b>B</b>	<b>3,000</b>	<b>32.66</b>	<b>13-Mar</b>	<b>13-Mar</b>	<b>180.00</b>	<b>6.0</b>
GALT INVESTMENT	041	QMAR	S	80,300	22.67	n/a	n/a	4,015.00	5.0
FORWARD MGMT	033	RDC	B	1,500	40.70	n/a	n/a	75.00	5.0
FORWARD MGMT	033	RDN	B	4,000	5.36	n/a	n/a	200.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>20,000</b>	<b>57.84</b>	<b>7-Feb</b>	<b>15-Feb</b>	<b>800.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RVI</b>	<b>S</b>	<b>10,000</b>	<b>5.94</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	RVI	S	100	5.94	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	S	10,000	20.35	n/a	13-Mar	400.00	4.0
STG INVESTORS	041	SNDK	B	40,000	22.81	n/a	n/a	1,600.00	4.0
DAVIDSON TRUST CO	028	SOV	S	1,115	9.96	n/a	n/a	100.35	9.0
MAGUIRE	039	SRS	B	100	118.84	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TEN	SS	20,000	25.37	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	TIF	SS	15,000	38.77	14-Jan	8-Feb	600.00	4.0
COUGAR TRADING	044	TIN	S	15,000	11.94	6-Feb	7-Feb	750.00	5.0
BUCK CAPITAL MGMT	001	TJX	S	5,000	32.51	n/a	10-Mar	200.00	4.0
FORWARD MGMT	033	TSFG	B	5,400	14.80	n/a	n/a	270.00	5.0
BUCK CAPITAL MGMT	001	TWB	S	40,000	25.45	21-Feb	21-Feb	1,600.00	4.0
BARR	096	UAUA	S	500	22.94	n/a	n/a	0.00	0.0
SINCLAIR	031	USB	S	200	32.74	n/a	n/a	0.00	0.0
DSG CAPITAL	225	UYG	B	4,500	28.60	n/a	n/a	1.43	0.0
LEDDY	035	VC	B	3,000	3.47	n/a	15-Feb	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	VMC	B	20,000	64.18	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	WBC	B	25,000	39.98	n/a	7-Feb	1,250.00	5.0
FORWARD MGMT	033	WTNY	B	3,000	24.47	n/a	n/a	150.00	5.0
<b>TOTAL (Trade Date):</b>								<b>98,528.48</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	03/13	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	KSS	S	119,800	41.20	4-Jan	7-Mar	4792.00 4.0
AO,CXL	03/12	DAVIDSON TRUST CO (DAVTOMNI)	028	PNC	S	1,384	63.36	n/a	n/a	83.04 6.0
AO,CRT	03/12	DAVIDSON TRUST CO (DAVTOMNI)	028	PNC	S	1,384	63.36	n/a	n/a	124.56 9.0
AO,	03/12	WILLOW CREEK (WILLMAIN)	033	SAY	B	10,000	22.13	n/a	n/a	400.00 4.0
AO,	03/12	WILLOW CREEK (WILLMAIN)	033	WPI	B	10,000	27.69	n/a	13-Mar	400.00 4.0
AO,	03/12	WILLOW CREEK (WILLMAIN)	033	WTSLA	B	25,000	2.70	n/a	n/a	500.00 2.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>6,133.52</b>

TOTAL (Trade Date):	98,528.48
TOTAL (AO, CXL, CRT):	6,133.52

<b>TOTAL (RUN DATE) / NFS:</b>	<b>104,662.00</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION