



Daily Compliance Activity Report

Trade Date: 06/02/2011

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	ALTR	S	6,900	47.26	n/a	n/a	345.00	5.0
ARMATURE CAPITAL	041	ALV	B	40,000	73.76	n/a	21-Apr	1,600.00	4.0
HARVEST MGMT	029	BBBY	S	10,000	52.57	n/a	n/a	400.00	4.0
MTB INV ADV	034	CASY	S	27,600	39.63	n/a	n/a	1,380.00	5.0
PROSPECTOR PARTNERS LLC	036	CW	B	10,000	32.83	n/a	n/a	400.00	4.0
ROSEDALE CAPITAL	046	C	B	10,000	39.18	n/a	2-Jun	500.00	5.0
CAPITAL GROWTH MGMT.	241	DAL	S	700,000	9.75	n/a	n/a	21,000.00	3.0
IRIDIAN ASSET MGMT.	036	DRC	S	72,960	51.83	n/a	n/a	3,648.00	5.0
ETON PARK CAPITAL	041	EWV	B	291,000	61.07	n/a	n/a	8,730.00	3.0
ROYCE TRADING	012	FINL	B	17,500	21.82	n/a	10-May	875.00	5.0
HARVEST MGMT	029	FL	B	25,000	23.91	n/a	2-Jun	1,000.00	4.0
BASCOM HILL PARTNERS LLC	033	FWLT	B	6,000	33.84	n/a	n/a	180.00	3.0
OZ MGMT	040	GM	C	150,000	29.98	n/a	2-Jun	4,500.00	3.0
BALYASNY ASSET MGMT	044	GPS	SS	25,000	18.54	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MTB INV ADV	034	HLF	S	5,020	55.71	n/a	n/a	11.00	2.5
MADISON ST PARTNERS LLC	046	JOYG	SS	20,000	90.35	n/a	3-Mar	1,000.00	5.0
JP MORGAN VENTURES	046	KR	B	10,000	23.92	n/a	n/a	400.00	4.0
THE JAY GOLDMAN	028	KSS	B	150,000	51.33	n/a	27-May	6,000.00	4.0
MTB INV ADV	034	LNCE	S	42,675	21.00	n/a	n/a	2,133.75	5.0
KLEINHEINZ CAP PART	031	LOW	SS	25,000	23.37	n/a	16-May	1,250.00	5.0
BASCOM HILL PARTNERS LLC	033	LTD	SS	5,000	37.98	n/a	n/a	150.00	3.0
RIDGECREST INV MGMT LLC	028	LTD	SS	10,000	37.14	n/a	n/a	500.00	5.0
SCOPUS FUND	041	LTD	B	10,000	37.50	n/a	n/a	400.00	4.0
SCOPUS FUND	041	M	S	50,000	27.77	n/a	27-May	2,000.00	4.0
TALKOT CAPITAL LLC	084	NAV	B	5,000	63.73	n/a	10-Mar	250.00	5.0
RWC PARTNERS LTD	085	NOV	B	13,898	72.33	n/a	n/a	416.94	3.0
LONG POND	029	PCH	B	43,000	33.21	n/a	27-Apr	2,150.00	5.0
ELM RIDGE ADVISORS	044	PCL	B	102,900	39.77	n/a	27-Apr	4,116.00	4.0
ROARING BLUE LION MGMT	012	PLCE	B	2,800	47.44	n/a	20-May	112.00	4.0
THE JAY GOLDMAN	028	PLCE	B	10,000	47.20	n/a	20-May	400.00	4.0
THIRD COAST CAPITAL	035	PLCE	S	15,000	47.21	n/a	20-May	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	POT	B	25,000	55.45	n/a	n/a	1,000.00	4.0
BNP PARIBAS SEC	040	ROST	SS	20,000	80.51	n/a	n/a	1,000.00	5.0
FIRST NEW YORK LEDDY	035	S	S	20,000	5.93	n/a	n/a	800.00	4.0
JP MORGAN VENTURES	046	TGT	B	10,000	48.26	n/a	2-Jun	400.00	4.0
BNP PARIBAS SEC	040	TJX	B	40,000	51.52	n/a	27-May	2,000.00	5.0
MTB INV ADV	034	TJX	S	5,420	51.43	n/a	27-May	271.00	5.0
SIGMA CAPITAL ASSOCIATES	041	TJX	B	25,000	51.28	n/a	27-May	875.00	3.5
LEVIN CAPITAL STRATEGIES	012	VC	S	10,000	59.87	n/a	n/a	300.00	3.0
SCOPUS FUND	041	WMT	SS	22,705	53.39	n/a	18-May	908.20	4.0
BNP PARIBAS SEC	040	WRC	B	40,000	51.45	n/a	6-May	2,000.00	5.0
HARVEST MGMT	029	XRT	B	10,000	52.13	n/a	n/a	400.00	4.0
TOTAL (Trade Date) :								77,801.89	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/27	LIONEYE CAPITAL (LIONCSPBAC)	040	BIG	B	600	32.00	n/a	27-May	30.00 5.0
AO,CXL	05/27	LIONEYE CAPITAL (LIONCLOSED)	040	BIG	B	600	32.00	n/a	27-May	24.00 4.0
AO,CXL	06/01	FARALLON CAP MGMT (FARACS)	040	BTU	B	74,300	60.81	n/a	n/a	2972.00 4.0
AO,	06/01	FARALLON CAP MGMT (FARACS)	040	BTU	B	1,545	60.81	n/a	n/a	61.80 4.0
AO,	06/01	FARALLON CAP MGMT (FARABULK F)	040	BTU	B	72,755	60.81	n/a	n/a	2910.20 4.0
CRT	06/02	THE JAY GOLDMAN (GOLDGSBULK)	028	KSS	B	150,000	51.33	n/a	27-May	6000.00 4.0
AO,CRT	06/01	SAC TRADING TOM LEDDY (SACHMLLEDY)	035	M	B	25,500	28.26	n/a	27-May	892.50 3.5
AO,CXL	06/01	SIGMA CAPITAL ASSOCIATES (SIGMMERR)	041	M	B	25,500	28.26	n/a	27-May	892.50 3.5
AO,CXL	06/01	LISTED ERR (LISTED ERR)	099	WRC	B	500	53.64	n/a	6-May	0.00 0.0
TOTAL (AO, CXL, CRT) :										6,006.00
TOTAL (Trade Date) :										77,801.89
TOTAL (AO, CXL, CRT) :										6,006.00
TOTAL (RUN DATE) / NFS :										83,807.89

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION