



Daily Compliance Activity Report

Trade Date: 08/01/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CYRUS CAPITAL	025	AAMRQ	B	200,000	6.06	n/a	n/a	6,000.00	0.0
EDGEWOOD	085	AAPL	S	655	456.32	n/a	n/a	32.75	0.0
PATTEN & PATTEN	025	AAPL	S	50	455.65	n/a	n/a	2.50	0.0
f ANTHONY SUTERA	FID	ADHD	S	1000	12.02	n/a	n/a	0.00	0.0
f YANAI A FRANK	FID	ADSK	B	300	34.57	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AEO	B	800	19.86	n/a	2-Dec	non-brg	2.0
PATTEN & PATTEN	025	AGG	S	500	106.48	n/a	n/a	25.00	0.0
EDGEWOOD	085	AGN	S	3,435	91.26	n/a	17-Jun	171.75	0.0
STRONG/MARK GRONET	030	ALK	S	15,000	62.61	n/a	n/a	600.00	0.0
EDGEWOOD	085	AMT	S	5,289	71.29	n/a	n/a	264.45	0.0
EDGEWOOD	085	AMZN	S	1,369	305.94	n/a	n/a	68.45	0.0
B BUCK CAPITAL MGMT		ANF	B	300	50.92	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		ARO	B	1,500	15.48	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		ARO	B	30,000	15.43	n/a	n/a	non-brg	4.0
Ö Jack Chernus		ARP	B	1500	21.70	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	Jack Chernus		ARP	S	15	0.40	n/a	n/a	0.00	0.0
	RIDGECREST INV MGMT LLC	028	AXL	B	13,352	19.13	n/a	19-Jun	534.08	0.0
	SCOPUS FUND	041	AXL	B	146,751	19.70	n/a	19-Jun	5,870.04	0.0
	PATTEN & PATTEN	025	BA	B	400	106.79	n/a	11-Jun	20.00	0.0
ß	BUCK CAPITAL MGMT		BBBY	SS	200	76.89	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BCC	B	18,900	26.52	n/a	n/a	non-brg	4.0
	PATTEN & PATTEN	025	BDX	S	150	103.07	n/a	n/a	7.50	0.0
ß	BUCK CAPITAL MGMT		BID	SS	600	45.65	n/a	n/a	non-brg	2.0
ß	BUCK CAPITAL MGMT		BIG	SS	200	36.92	n/a	n/a	non-brg	2.0
	BASSWOOD PARTNERS	267	BK	B	69,800	32.19	n/a	6-Jun	2,792.00	0.0
ß	BUCK CAPITAL MGMT		BLMN	B	300	24.92	n/a	n/a	non-brg	2.0
	PRIMECAP MGMT	084	BMRN	B	2,500	64.55	n/a	n/a	100.00	0.0
f	JONATHAN RICHARD HAR	FID	BODY	B	130	0.25	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		BONT	SS	200	19.00	n/a	18-Jun	non-brg	2.0
	COBALT	041	BTU	B	100,000	16.55	n/a	n/a	4,000.00	0.0
	CAPITAL GROWTH MGMT.	241	BWA	B	70,000	97.23	n/a	19-Jun	3,500.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		CAR	B	900	31.63	n/a	n/a	non-brg	2.0
EDGEWOOD	085	CELG	S	4,197	148.73	n/a	n/a	209.85	0.0
⌘ BUCK CAPITAL MGMT		CHS	SS	100	17.45	n/a	5-Feb	non-brg	2.0
⌘ BUCK CAPITAL MGMT		CLX	SS	700	86.42	n/a	17-Jun	non-brg	2.0
SONICA CAPITAL LLC	025	CL	B	6,900	60.55	n/a	17-Jun	207.00	0.0
EDGEWOOD	085	CME	S	5,577	72.18	n/a	28-Apr	278.85	0.0
⌘ BUCK CAPITAL MGMT		CMG	SS	100	415.34	n/a	3-Jun	non-brg	2.0
EDGEWOOD	085	CMG	S	799	413.84	n/a	3-Jun	39.96	0.0
SONICA CAPITAL LLC	025	CMG	S	3,600	413.95	n/a	3-Jun	108.00	0.0
⌘ BUCK CAPITAL MGMT		COH	SS	300	53.46	n/a	30-May	non-brg	2.0
⌘ BUCK CAPITAL MGMT		COLM	SS	200	64.46	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	025	COV	B	6,000	62.99	n/a	n/a	300.00	0.0
⌘ BUCK CAPITAL MGMT		CPB	SS	700	47.31	n/a	n/a	non-brg	2.0
EDGEWOOD	085	CTSH	S	3,596	73.47	n/a	n/a	179.80	0.0
SANDLER O'NEILL ASSET	031	C	B	500	53.04	n/a	17-Jun	25.00	0.0
⌘ BUCK CAPITAL MGMT		DECK	B	700	56.98	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	025	DGX	S	450	58.64	n/a	n/a	22.50	0.0
B BUCK CAPITAL MGMT		DKS	B	500	52.18	n/a	19-Jun	non-brg	2.0
PATTEN & PATTEN	025	DON	B	300	70.69	n/a	n/a	15.00	0.0
SIGMA CAPITAL ASSOCIATES	041	DPZ	S	25,000	63.59	n/a	10-May	750.00	0.0
B BUCK CAPITAL MGMT		DRI	SS	200	49.62	n/a	17-Jun	non-brg	2.0
B BUCK CAPITAL MGMT		DSW	SS	300	76.41	n/a	11-Feb	non-brg	2.0
f MANNY I KORMAN	FID	DTV	S	10000	61.39	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	DTV	S	31,649	61.07	n/a	n/a	1,265.96	0.0
LATEEF MGMT	033	DVN	S	225	56.37	n/a	13-Jun	40.50	0.0
CASTLEROCK	040	EAT	SS	2,000	40.96	n/a	13-May	80.00	0.0
WILLIAMS TRADING/BBLUE MTN	087	EA	S	100,000	26.47	n/a	n/a	3,000.00	0.0
B BUCK CAPITAL MGMT		EBAY	B	700	51.98	n/a	n/a	non-brg	2.0
EDGEWOOD	085	ECL	S	3,272	94.06	n/a	18-Jan	163.60	0.0
PATTEN & PATTEN	025	EELV	B	4,800	27.55	n/a	n/a	240.00	0.0
B BUCK CAPITAL MGMT		EL	B	300	66.39	n/a	17-Jun	non-brg	2.0
PATTEN & PATTEN	025	EPP	S	2,100	45.01	n/a	n/a	105.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EDGEWOOD	085	EQIX	S	1,403	177.08	n/a	n/a	70.15	0.0
NOMURA/ANGEL LANE PRINCIPAL	040	ETN	B	10,000	69.98	n/a	12-Jun	300.00	0.0
PATTEN & PATTEN	025	EWA	B	1,000	23.32	n/a	n/a	50.00	0.0
PATTEN & PATTEN	025	EWG	S	2,600	26.74	n/a	n/a	130.00	0.0
f JOSEPH CIRO AMATURO	FID	EXC	B	1000	30.55	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		EXPR	B	300	22.89	n/a	n/a	non-brg	2.0
CHARTER OAK	033	FCX	B	50,000	28.86	n/a	n/a	2,000.00	0.0
PATTEN & PATTEN	025	FCX	B	600	29.15	n/a	n/a	30.00	0.0
PATTEN & PATTEN	025	FCX	S	2,300	29.14	n/a	n/a	115.00	0.0
ß BUCK CAPITAL MGMT		FDO	SS	200	69.97	n/a	4-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FINL	B	300	22.70	n/a	19-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FINL	S	22,000	22.70	n/a	19-Jun	non-brg	4.0
KENNEDY CAPITAL	046	FINL	B	7,880	22.74	n/a	19-Jun	315.20	0.0
ACK ASSET	028	FLOW	B	10,000	3.88	n/a	n/a	300.00	0.0
ACK ASSET	028	FLOW	S	10,000	3.88	n/a	n/a	300.00	0.0
ß BUCK CAPITAL MGMT		FL	B	4,300	36.74	n/a	19-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		FNP	B	4,900	24.08	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		FOR	S	20,048	21.92	n/a	9-May	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		FOSL	B	600	113.20	n/a	n/a	non-brg	2.0
MANNY I KORMAN	FID	FOXA	B	4000	30.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		FRAN	B	6,600	25.07	n/a	n/a	non-brg	2.0
EDGEWOOD	085	FTI	S	4,547	54.89	n/a	n/a	227.35	0.0
BUCK CAPITAL MGMT		FTK	B	50,000	20.23	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		FWM	B	3,700	25.13	n/a	n/a	non-brg	2.0
Jack Chernus		FWM	S	20	0.70	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		F	SS	29,400	17.04	n/a	19-Jun	non-brg	4.0
BUCK CAPITAL MGMT		GIII	B	2,700	51.83	n/a	n/a	non-brg	2.0
EDGEWOOD	085	GILD	S	8,217	61.99	n/a	n/a	410.85	0.0
BUCK CAPITAL MGMT		GIL	B	2,100	46.76	n/a	3-May	non-brg	2.0
BUCK CAPITAL MGMT		GIS	SS	400	52.75	n/a	n/a	non-brg	2.0
LEVIN CAPITAL STRATEGIES	041	GM	C	15,000	36.43	n/a	19-Jun	450.00	0.0
PECONIC PARTNERS	036	GM	B	10,000	36.50	n/a	19-Jun	500.00	0.0
BUCK CAPITAL MGMT		GNC	B	1,100	53.51	n/a	n/a	non-brg	2.0
EDGEWOOD	085	GOOG	S	556	903.60	n/a	n/a	27.80	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	GOV	S	500	25.06	n/a	n/a	25.00	0.0
B BUCK CAPITAL MGMT		GPS	B	1,300	46.31	n/a	n/a	non-brg	2.0
CLEARLINE CAPITAL LLC	040	GRA	B	20,000	78.51	n/a	n/a	600.00	0.0
B BUCK CAPITAL MGMT		HBI	B	800	64.01	n/a	3-May	non-brg	2.0
B BUCK CAPITAL MGMT		HDS	B	900	21.92	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	025	HON	S	200	84.41	n/a	19-Apr	10.00	0.0
B BUCK CAPITAL MGMT		HTZ	B	1,500	24.68	n/a	n/a	non-brg	2.0
CAPITAL GROWTH MGMT.	241	HTZ	S	100,000	24.67	n/a	n/a	5,000.00	0.0
f JARROD MICHAEL FEINS	FID	HTZ	B	250	24.72	n/a	n/a	0.00	0.0
f JENNIFER CORWIN GLIC	FID	HTZ	B	1000	24.70	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	HTZ	B	415	24.77	n/a	n/a	0.00	0.0
EDGEWOOD	085	IHS	S	3,332	113.12	n/a	n/a	166.60	0.0
B BUCK CAPITAL MGMT		IJH	SS	16,000	125.61	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	IJH	B	700	125.79	n/a	n/a	35.00	0.0
PATTEN & PATTEN	025	ILF	S	500	36.85	n/a	n/a	25.00	0.0
EDGEWOOD	085	ILMN	S	5,103	79.43	n/a	n/a	255.15	0.0
EDGEWOOD	085	ISRG	S	699	392.85	n/a	n/a	34.96	0.0
MARCATO CAPITAL MGMT.	029	IWM	S	80,000	105.16	n/a	n/a	3,200.00	0.0

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f KEVIN MAREK	FID	JBLU	B	122	6.60	n/a	18-Jun	0.00	0.0
B BUCK CAPITAL MGMT		JCP	B	2,000	15.01	n/a	18-Jun	non-brg	2.0
HARVEST MGMT (JMP)	029	JCP	SS	20,000	14.87	n/a	18-Jun	1,000.00	0.0
MACELLUM CAPITAL	086	JCP	B	95,000	15.35	n/a	18-Jun	2,850.00	0.0
B BUCK CAPITAL MGMT		JIVE	B	10,900	13.00	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		JIVE	B	28,200	13.29	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		JIVE	B	39,100	12.91	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	JNJ	S	150	93.85	n/a	n/a	7.50	0.0
CR INTRINIC INVESTMENT	044	JNPR	SS	20,000	21.80	n/a	26-Feb	600.00	0.0
KENNEDY CAPITAL	046	JNY	S	50,000	17.00	n/a	2-May	2,000.00	0.0
B BUCK CAPITAL MGMT		JWN	B	400	61.87	n/a	18-Jun	non-brg	2.0
PATTEN & PATTEN	025	JWN	S	350	62.11	n/a	18-Jun	17.50	0.0
PATTEN & PATTEN	025	KMB	S	300	98.86	n/a	17-Jun	15.00	0.0
B BUCK CAPITAL MGMT		KORS 11 C65	C	500	7.27	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		KORS	B	1,300	68.20	n/a	30-May	non-brg	2.0
f EDWARD W WHEELER	FID	KRA	S	10000	20.48	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		K	SS	400	65.57	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		LEG	SS	500	31.83	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
B BUCK CAPITAL MGMT		LOW	SS	100	45.03	n/a	n/a	non-brg 2.0
B BUCK CAPITAL MGMT		LVS	B	200	56.47	n/a	13-Jun	non-brg 2.0
MAPLE ROW	006	LYB	B	12,500	68.48	n/a	n/a	625.00 0.0
MAPLE ROW	006	MAS	S	9,500	21.06	n/a	n/a	475.00 0.0
B BUCK CAPITAL MGMT		MDY	SS	8,900	228.96	n/a	n/a	non-brg 2.0
EDGEWOOD	085	MJN	S	2,143	72.79	n/a	n/a	107.15 0.0
B BUCK CAPITAL MGMT		MOH	B	13,600	37.06	n/a	n/a	non-brg 4.0
PATTEN & PATTEN	025	MOS	B	500	41.95	n/a	n/a	25.00 0.0
PATTEN & PATTEN	025	MRK	S	180	48.51	n/a	n/a	9.00 0.0
PATTEN & PATTEN	025	MSFT	S	2,300	31.69	n/a	n/a	115.00 0.0
PATTEN & PATTEN	025	MWV	B	2,900	37.69	n/a	18-Jun	145.00 0.0
B BUCK CAPITAL MGMT		M	B	2,700	48.82	n/a	18-Jun	non-brg 2.0
M&I FUNDS	047	M	B	5,228	49.50	n/a	18-Jun	209.12 0.0
B BUCK CAPITAL MGMT		NCLH	B	4,500	30.39	n/a	n/a	non-brg 2.0
PATTEN & PATTEN	025	NEE	S	650	88.13	n/a	n/a	32.50 0.0
PATTEN & PATTEN	025	NKE	B	400	66.11	n/a	19-Jun	20.00 0.0

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GUGGENHEIM GLOBAL TRADING	(GGT)251	NUAN		S	5,000	19.05	n/a	n/a	150.00	0.0
PRIMECAP MGMT	084	NUVA	B	5,000	22.61	n/a	n/a	200.00	0.0	
J. GOLDMAN	028	OEH	B	20,000	12.72	n/a	n/a	800.00	0.0	
PATTEN & PATTEN	025	PEP	S	160	84.08	n/a	n/a	8.00	0.0	
PATTEN & PATTEN	025	PG	S	400	81.61	n/a	17-Jun	20.00	0.0	
B	BUCK CAPITAL MGMT	PII	B	600	114.74	n/a	n/a	non-brg	2.0	
PATTEN & PATTEN	025	PM	S	125	89.64	n/a	n/a	6.25	0.0	
B	BUCK CAPITAL MGMT	PVH	B	400	133.57	n/a	14-Jun	non-brg	2.0	
EDGEWOOD	085	QCOM	S	4,256	65.25	n/a	n/a	212.80	0.0	
ROARING BLUE LION MGMT	031	QCOM	B	51,000	64.97	n/a	n/a	2,180.00	0.0	
f	DAVID GEORGE BUCK	FID	QCOR	S	15	2.00	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT	RAD	B	300,000	3.08	n/a	n/a	non-brg	2.0	
f	EDWARD W WHEELER	FID	RBC	B	5000	65.50	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	RHHBY	S	200	61.41	n/a	n/a	10.00	0.0	
SHEFFIELD ASSET MGMT	031	RKT	S	65,600	117.61	n/a	17-Jun	2,624.00	0.0	
B	BUCK CAPITAL MGMT	RLJE	B	2,900	5.98	n/a	n/a	non-brg	2.0	

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ß BUCK CAPITAL MGMT		RL	B	300	184.48	n/a	24-May	non-brg 2.0
RAINIER INV MGMT	033	ROK	B	52,680	99.33	n/a	n/a	2,107.20 0.0
BALYASNY ASSET MGMT	044	ROST	B	25,000	68.78	n/a	18-Jun	1,250.00 0.0
ß BUCK CAPITAL MGMT		SCSS	B	1,400	23.30	n/a	n/a	non-brg 2.0
ß BUCK CAPITAL MGMT		SFM	B	5,000	18.00	n/a	n/a	non-brg 0.0
ß BUCK CAPITAL MGMT		SFM	S	1,948	35.00	n/a	n/a	non-brg 2.5
f MARC PAUL LUCHANSKY	FID	SGGH	B	1000	1.13	n/a	n/a	0.00 0.0
ß BUCK CAPITAL MGMT		SLM	S	20,100	24.99	n/a	14-Jun	non-brg 4.0
ß BUCK CAPITAL MGMT		SLXP	SS	13,000	75.50	n/a	17-Jun	non-brg 4.0
THOMPSON SIEGAL&WALMSLEY	029	SLXP	S	43,600	75.61	n/a	17-Jun	1,308.00 0.0
ß BUCK CAPITAL MGMT		SMG	SS	200	51.78	n/a	17-Jun	non-brg 2.0
ENTRUST CAPITAL	040	SNY	B	26,441	51.00	n/a	n/a	1,322.05 0.0
LATEEF MGMT	033	SPLS	S	300	17.19	n/a	n/a	30.00 0.0
ß BUCK CAPITAL MGMT		SPY	C	23,785	170.30	n/a	n/a	non-brg 4.0
ß BUCK CAPITAL MGMT		SSI	B	1,900	25.18	n/a	18-Jun	non-brg 2.0
WADDELL AND REED	047	SSI	B	145,000	25.25	n/a	18-Jun	5,800.00 0.0
CAPITAL GROWTH MGMT.	241	SSS	B	20,000	71.29	n/a	n/a	1,000.00 0.0

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ß BUCK CAPITAL MGMT		SWN	S	15,125	39.41	n/a	13-Jun	non-brg	4.0
PATTEN & PATTEN	025	SWN	S	800	40.01	n/a	13-Jun	40.00	0.0
ß BUCK CAPITAL MGMT		SYN	SS	1,700	34.55	n/a	n/a	non-brg	2.0
Õ Nilsa Vazquez		TBT	B	1050	77.70	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		TDG	SS	3,400	148.22	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		TFM	SS	200	53.26	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		TGT	SS	200	71.62	n/a	23-May	non-brg	2.0
ß BUCK CAPITAL MGMT		TJX	B	700	52.70	n/a	18-Jun	non-brg	2.0
PATTEN & PATTEN	025	TRN	B	2,400	40.81	n/a	n/a	120.00	0.0
EDGEWOOD	085	TROW	S	4,717	76.79	n/a	n/a	235.85	0.0
APEX CAPITAL MGMT.	033	TYC	S	75,000	35.25	n/a	26-Apr	3,000.00	0.0
ß BUCK CAPITAL MGMT		ULTA	B	100	100.36	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	025	UNM	S	253	32.91	n/a	n/a	12.65	0.0
f MARK ANTHONY GRONET	FID	UPS	B	400	88.10	n/a	n/a	0.00	0.0
PATTEN & PATTEN	025	UPS	S	100	88.40	n/a	n/a	5.00	0.0
ß BUCK CAPITAL MGMT		URBN	B	4,600	43.25	n/a	n/a	non-brg	2.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	025	VEU	B	400	46.97	n/a	n/a	20.00	0.0
EDGEWOOD	085	VIAB	S	5,290	74.42	n/a	n/a	264.50	0.0
f MANNY I KORMAN	FID	VIAB	B	3000	73.95	n/a	n/a	0.00	0.0
KEYGROUP/KGLT	040	VLO	S	25,000	36.17	n/a	n/a	1,000.00	0.0
B BUCK CAPITAL MGMT		VMC	B	10,300	49.03	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	025	VNQ	B	150	69.26	n/a	n/a	7.50	0.0
B BUCK CAPITAL MGMT		V	B	3,000	176.93	n/a	11-Jun	non-brg	1.0
EDGEWOOD	085	V	S	2,476	178.87	n/a	11-Jun	123.80	0.0
B BUCK CAPITAL MGMT		WFM	C	15,200	55.23	n/a	n/a	non-brg	4.0
HERRING CREEK CAPITAL MGMT LLC	040	WGO	B	16,700	24.02	n/a	n/a	501.00	0.0
B BUCK CAPITAL MGMT		WSM	SS	300	59.58	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		WWW	SS	200	58.01	n/a	n/a	non-brg	2.0
LOMAS CAPITAL	040	WY	B	105,000	28.61	n/a	19-Jun	3,150.00	0.0
NOMURA/ANGEL LANE PRINCIPAL	040	WY	B	20,000	28.70	n/a	19-Jun	600.00	0.0
B BUCK CAPITAL MGMT		XLY	SS	1,200	60.05	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		XRT	SS	1,000	82.66	n/a	n/a	non-brg	2.0
SONICA CAPITAL LLC	025	YHOO	S	40,000	27.97	n/a	n/a	1,200.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EDGEWOOD	085	YUM	S	4,604	73.89	n/a	17-Jun	230.20	0.0
PARKWEST	084	ZBRA	SS	10,000	48.03	n/a	n/a	400.00	0.0
Ⓕ BUCK CAPITAL MGMT		ZTS	B	33,700	29.79	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		ZVZZT	S	1,000	21.00	n/a	n/a	non-brg	300.0
Ⓕ BUCK CAPITAL MGMT		ZXZZT	B	2,000	15.00	n/a	n/a	non-brg	300.0
TOTAL (Trade Date):							83,789.17		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/31	BESSEMER INVESTMENT	085	AMGN	B	1,000	108.03	n/a	n/a	40.00 0.0
AO,CRT	07/31	BESSEMER INVESTMENT	085	AMGN	B	1,000	108.03	n/a	n/a	40.00 0.0
AO,	07/31	TIDE POINT CAPITAL	028	GM	S	75,000	36.07	n/a	19-Jun	2250.00 0.0
AO,CXL	07/31	TIDE POINT CAPITAL	028	GM	S	75,000	36.07	n/a	19-Jun	2250.00 0.0
AO,CRT	07/31	MAGNETAR FINANCIAL	031	IWM	B	42,955	103.98	n/a	n/a	1288.65 0.0
AO,CXL	07/31	MAGNETAR FINANCIAL	031	IWM	B	42,955	103.98	n/a	n/a	1288.65 0.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	83,789.17
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	83,789.17
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 1-Aug.
NONE

MRI Securities without Trade Activity on 1-Aug.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)