



Daily Compliance Activity Report

Trade Date: 05/07/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
APEX CAPITAL MGMT.	091	AA	B	50,000	37.82	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	AEO	S	50,000	28.51	4-Jan	13-Apr	2,000.00	4.0
ß BUCK CAPITAL MGMT		AEO	S	200,000	28.57	4-Jan	13-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		AEO	S	50,000	28.70	4-Jan	13-Apr	non-brg	5.0
NICHOLAS ADVISORS	034	AH	S	11,000	86.60	n/a	n/a	550.00	5.0
BARR	096	AMR	B	500	27.60	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		AMZN	SS	20,000	62.48	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		ANF	SS	120,000	81.04	2-Feb	25-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		ANF	S	50,000	80.92	2-Feb	25-Apr	non-brg	5.0
ß BUCK CAPITAL MGMT		ANN	S	100,000	36.97	5-Jan	7-May	non-brg	5.0
ß BUCK CAPITAL MGMT		ANN	S	125,900	37.39	5-Jan	7-May	non-brg	5.0
MAZAMA CAPITAL MGMT.	035	AOS	B	25,000	38.94	18-Apr	18-Apr	1,000.00	4.0
BUCK CAPITAL MGMT	001	ARO	SS	50,000	41.25	n/a	13-Apr	2,000.00	4.0
BUCK CAPITAL MGMT	001	ARO	S	11,500	41.24	n/a	13-Apr	460.00	4.0
COUGAR TRADING	044	ARO	B	10,000	41.31	n/a	13-Apr	500.00	5.0
Õ Glenn Redemann		ARTC	B	3	0.65	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ARTC	B	3	0.85	n/a	n/a	0.00	0.0
GEORGE WEISS	034	ASD	B	5,000	56.93	19-Apr	7-May	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BELMONT GLOBAL ADV	248	BDSB	B	20,000	9.95	n/a	n/a	1,000.00	5.0
NCM CAPITAL MGMT	035	BEAV	S	86,900	37.83	n/a	n/a	4,345.00	5.0
⌘ BUCK CAPITAL MGMT		BID	S	20,000	51.61	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		BONT	S	30,000	49.32	9-Mar	26-Apr	non-brg	5.0
BARR	096	BQI	B	3,500	3.11	n/a	n/a	0.00	0.0
BARR	096	CAL	B	450	39.02	n/a	n/a	0.00	0.0
GOLDMAN SACHS ASSET MGMT	044	CCI	B	144,441	34.21	n/a	n/a	2,888.82	2.0
COLUMBIA PARTNERS	028	CCU	B	27,672	37.27	n/a	n/a	1,106.88	4.0
⌘ BUCK CAPITAL MGMT		CHRS	SS	20,000	12.36	n/a	22-Mar	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CHS	SS	20,000	26.03	27-Mar	25-Apr	non-brg	5.0
NICHOLAS ADVISORS	034	COGT	B	17,400	14.38	n/a	n/a	870.00	5.0
PENN CAPITAL MGMT	033	COH	S	4,830	48.07	23-Feb	25-Apr	193.20	4.0
APEX CAPITAL MGMT.	091	CTB	B	50,000	23.71	n/a	n/a	2,500.00	5.0
S.A.C. CAPITAL MGMT.	044	CVX	B	50,000	79.45	n/a	n/a	2,500.00	5.0
CATAPULT	040	DDS	S	30,000	35.26	n/a	n/a	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AMBER CAPITAL	046	DD	B	302,700	51.71	n/a	24-Apr	12,108.00	4.0
AMBER CAPITAL	046	DE	B	72,300	115.09	n/a	n/a	2,892.00	4.0
B BUCK CAPITAL MGMT		DKS	B	10,000	54.68	n/a	n/a	non-brg	5.0
B BUCK CAPITAL MGMT		DSW	S	50,000	38.57	7-May	7-May	non-brg	5.0
EGS ASSOCIATES LP	091	DSW	B	5,000	38.33	7-May	7-May	300.00	6.0
CLOVIS CAPITAL	012	DTV	B	200,000	24.48	22-Dec	4-May	10,000.00	5.0
KALINOWSKI	070	EATEF	B	100	3.30	n/a	n/a	0.00	0.0
SCOGGIN CAP	044	EMC	S	26,000	15.59	n/a	n/a	1,300.00	5.0
BARR	096	EMU	B	1,500	13.42	n/a	n/a	0.00	0.0
CAXTON PART	040	FDC	B	181,100	32.51	n/a	n/a	7,244.00	4.0
PRINCIPLE CAPITAL	040	FDO	SS	100,000	32.28	19-Apr	19-Apr	4,000.00	4.0
BUCK CAPITAL MGMT	001	FD	S	100,000	43.88	26-Jan	25-Apr	4,000.00	4.0
COUGAR TRADING	044	FD	B	5,000	44.08	26-Jan	25-Apr	250.00	5.0
COUGAR TRADING	044	FD	SS	5,000	43.71	26-Jan	25-Apr	250.00	5.0
MERRILL ASSET	032	FED	S	29,900	61.84	n/a	n/a	1,359.50	4.8
NICHOLAS ADVISORS	034	FLIR	B	5,800	43.30	n/a	n/a	290.00	5.0
BUCK CAPITAL MGMT	001	FL	S	50,000	23.90	n/a	7-May	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AAD CAPITAL	048	FOSL	B	105,000	29.78	7-May	7-May	4,200.00	4.0
HIGHBRIDGE CAPITAL	038	FRP	SS	25,900	18.38	n/a	n/a	1,295.00	5.0
HIGHBRIDGE CAPITAL	038	FRP	S	13,373	18.41	n/a	n/a	668.65	5.0
⌘ BUCK CAPITAL MGMT		GCO	SS	40,000	50.67	n/a	10-Jan	non-brg	5.0
BUCK CAPITAL MGMT	001	GES	B	25,000	38.90	n/a	n/a	1,000.00	4.0
GEORGE WEISS	034	GGL	SS	7,400	19.27	n/a	n/a	296.00	4.0
⌘ BUCK CAPITAL MGMT		GIII	B	10,000	17.75	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		GIII	B	25,000	17.75	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		GIII	B	500	17.86	n/a	n/a	non-brg	5.0
NOMURA CAPITAL	040	GPI	B	12,450	41.28	27-Apr	2-May	622.50	5.0
BUCK CAPITAL MGMT	001	GPS	SS	50,000	18.38	n/a	27-Apr	2,000.00	4.0
BUCK CAPITAL MGMT	001	GPS	S	50,000	18.43	n/a	27-Apr	2,000.00	4.0
JP MORGAN VENTURES	046	GPS	B	54,000	18.38	n/a	27-Apr	2,700.00	5.0
NICHOLAS ADVISORS	034	GRMN	B	9,000	55.90	n/a	n/a	450.00	5.0
EATON VANCE MGMT	039	GSF	B	75,000	64.49	n/a	n/a	3,000.00	4.0
BUCK CAPITAL MGMT	001	GS	S	500	226.56	13-Dec	16-Apr	20.00	4.0
KALINOWSKI	070	HFCGX	B	5,105	19.59	n/a	n/a	0.00	0.0
BAIRD INVESTMENT	031	ITT	B	9,575	65.81	30-Apr	30-Apr	478.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		JOSB	B	20,000	39.60	n/a	n/a	non-brg	5.0
THE JAY GOLDMAN	034	JWN	B	10,000	55.38	27-Feb	25-Apr	400.00	4.0
ZOLIDIS	011	KMX	B	200	25.33	n/a	10-Jan	0.00	0.0
BUCK CAPITAL MGMT		KSS	SS	60,000	73.99	27-Dec	12-Apr	non-brg	5.0
BUCK CAPITAL MGMT		KSS	S	50,000	73.97	27-Dec	12-Apr	non-brg	5.0
THE JAY GOLDMAN	034	KSS	S	10,000	74.66	27-Dec	12-Apr	400.00	4.0
BUCK CAPITAL MGMT		LAMR	B	5,000	60.42	n/a	n/a	non-brg	5.0
BULL PATH CAPITAL	044	LAZ	S	35,000	54.46	n/a	n/a	1,750.00	5.0
BUCK CAPITAL MGMT		LTD	S	50,000	28.29	2-Mar	27-Apr	non-brg	5.0
FARALLON CAP MGMT	040	MAS	B	162,500	30.61	n/a	n/a	6,500.00	4.0
BUCK CAPITAL MGMT		MSMSBMVR	SS	210,000	200.86	n/a	n/a	non-brg	20.1
BUCK CAPITAL MGMT		MSM	B	10,000	49.52	n/a	n/a	non-brg	5.0
APEX CAPITAL MGMT.	091	NVDA	S	66,000	33.51	n/a	n/a	3,300.00	5.0
LEDDY	035	NWY	S	500	13.72	23-Mar	25-Apr	0.00	0.0
BUCK CAPITAL MGMT		OEF	C	247,600	69.47	n/a	n/a	non-brg	2.0
DEMARTINI	065	OPBL	B	2,000	4.40	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		PETM	SS	20,000	34.30	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		PLCE	S	60,000	53.56	12-Apr	13-Apr	non-brg	5.0
BELMONT GLOBAL ADV	248	POL	B	50,000	7.23	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	5,000	52.85	28-Mar	28-Mar	200.00	4.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	90.61	2-Feb	13-Apr	400.00	4.0
HIGHBRIDGE CAPITAL	038	ROH	B	3,900	53.20	12-Feb	23-Apr	195.00	5.0
⌘ BUCK CAPITAL MGMT		ROST	SS	20,000	33.29	n/a	n/a	non-brg	5.0
HIGHFIELDS CAP MGMT	039	RRI	S	50,000	24.30	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		RVI	S	60,000	20.39	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	SKX	B	20,000	31.86	n/a	13-Mar	800.00	4.0
⌘ BUCK CAPITAL MGMT		SPY	C	65,600	151.05	n/a	n/a	non-brg	2.0
GEORGE WEISS	034	SPY	SS	900	150.99	n/a	n/a	36.00	4.0
DIAMONDBACK CAP MGMT	232	SSCC	B	100,000	12.80	4-May	4-May	5,000.00	5.0
GOLDMAN SACHS ASSET MGMT	044	STLD	S	123,000	45.25	n/a	n/a	2,460.00	2.0
FARALLON CAP MGMT	040	SVU	B	62,500	47.15	n/a	n/a	2,500.00	4.0
EFSTATHIOU	044	SWN	B	100	43.07	1-Mar	1-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	TBL	B	190	26.84	n/a	13-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	TJX	S	100,000	28.07	21-Mar	13-Apr	4,000.00	4.0
ß BUCK CAPITAL MGMT		TLB	S	91,600	20.55	10-Jan	3-May	non-brg	5.0
BUCK CAPITAL MGMT	001	TWB	S	40,000	39.09	22-Feb	26-Apr	1,600.00	4.0
GAULT	063	UAGEX	B	50	0.15	n/a	n/a	0.00	0.0
EFSTATHIOU	044	URQQE	B	10	0.65	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	USTR	B	1,500	63.25	16-Feb	7-May	60.00	4.0
APEX CAPITAL MGMT.	091	USU	B	100,000	21.55	n/a	n/a	5,000.00	5.0
Õ Glenn Redemann		VPRT	B	8	0.47	n/a	n/a	0.00	0.0
Õ Glenn Redemann		VPRT	B	8	0.48	n/a	n/a	0.00	0.0
Õ Glenn Redemann		VPRT	SS	8	1.57	n/a	n/a	0.00	0.0
Õ Glenn Redemann		VPRT	SS	8	1.60	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WRNC	B	110,000	28.08	n/a	3-May	non-brg	5.0
EGS ASSOCIATES LP	091	WRNC	B	8,000	28.08	n/a	3-May	480.00	6.0
ß BUCK CAPITAL MGMT		WSO	B	10,000	56.05	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		ZUMZ	S	100,000	40.82	n/a	n/a	non-brg	5.0

TOTAL (Trade Date):

130,619.30

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CRT	05/04	SAB CAPITAL	034	CSE	SS	115,000	26.64	n/a	n/a	5750.00	5.0
AO,CXL	05/04	SAB CAPITAL	034	CSE	SS	115,000	26.64	n/a	n/a	5750.00	5.0
AO,CRT	05/04	CARDINAL CAPITAL	044	IHP	B	100	59.07	n/a	n/a	5.00	5.0
AO,CXL	05/04	CARDINAL CAPITAL	044	IHP	B	100	59.07	n/a	n/a	5.00	5.0
AO,	05/04	APEX CAPITAL MGMT.	091	LTD	B	27,800	28.24	2-Mar	27-Apr	1390.00	5.0
AO,CRT	05/04	APEX CAPITAL MGMT.	091	LTD	B	166,500	28.24	2-Mar	27-Apr	8325.00	5.0
AO,CXL	05/04	APEX CAPITAL MGMT.	091	LTD	B	194,300	28.24	2-Mar	27-Apr	9715.00	5.0
AO,	05/04	GENERAL ELECTIC CO	033	MSFT	S	140,000	30.49	n/a	3-Apr	6300.00	4.5
AO,CRT	05/02	UBS O'CONNOR/TOM LEDDY	035	MWV	S	25,000	32.07	3-May	3-May	750.00	3.0
AO,CXL	05/02	UBS O'CONNOR/TOM LEDDY	035	MWV	S	25,000	32.07	3-May	3-May	1250.00	5.0
AO,CRT	05/04	SUN AMERICA ASSET MGMT	030	PFE	B	24,100	27.03	n/a	31-Mar	1205.00	5.0
AO,CXL	05/04	SUN AMERICA ASSET MGMT	030	PFE	B	24,100	27.03	n/a	31-Mar	0.00	0.0
AO,CRT	05/04	HIGHBRIDGE CAPITAL	038	SPY	SS	43,000	150.83	n/a	n/a	1290.00	3.0
AO,CXL	05/04	HIGHBRIDGE CAPITAL	038	SPY	SS	43,000	150.83	n/a	n/a	2150.00	5.0
AO,CXL	05/03	CARDINAL CAPITAL	044	SSI	B	1,600	21.06	26-Feb	13-Apr	80.00	5.0
AO,CRT	05/03	CARDINAL CAPITAL	044	SSI	B	1,600	21.06	26-Feb	13-Apr	80.00	5.0
AO,CRT	05/04	GRUSS CAPITAL	040	WMG	B	10,000	17.67	n/a	n/a	400.00	4.0
AO,CXL	05/04	SAB CAPITAL	034	WMG	B	10,000	17.67	n/a	n/a	400.00	4.0
TOTAL (AO, CXL, CRT) :										6,145.00	

TOTAL (Trade Date):	130,619.30
TOTAL (AO, CXL, CRT):	6,145.00

TOTAL (RUN DATE) / NFS:	136,764.30
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MRI Securities with Trade Activity on 7-May. DSW, FOSL

MRI Securities without Trade Activity on 7-May. WY

BRG comm. as a % of BCM Total Comm. paid. T-Date = 15% YTD = 17%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION