



## Daily Compliance Activity Report

Trade Date: 10/25/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	ABG	B	10,000	19.45	n/a	25-Oct	500.00	5.0
HEALY CIRCLE	044	AB	B	20,000	83.47	25-Oct	25-Oct	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>20,000</b>	<b>22.48</b>	<b>12-Oct</b>	<b>12-Oct</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	AEO	B	15,000	22.59	12-Oct	12-Oct	750.00	5.0
BALYASNY ASSET MGMT	044	AKAM	S	2,500	36.90	n/a	n/a	125.00	5.0
COUGAR TRADING	044	ANF	B	10,000	77.92	2-Oct	25-Oct	500.00	5.0
COUGAR TRADING	044	ANF	SS	15,000	77.07	2-Oct	25-Oct	750.00	5.0
SARAS CAPITAL	038	AN	B	30,000	17.05	n/a	25-Oct	1,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>40,000</b>	<b>22.37</b>	<b>n/a</b>	<b>12-Oct</b>	<b>1,600.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>20,000</b>	<b>21.84</b>	<b>n/a</b>	<b>12-Oct</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	ARO	B	5,000	21.84	n/a	12-Oct	250.00	5.0
MERRILL LYNCH STRATEGICI	034	ATVI	S	10,000	22.98	n/a	n/a	400.00	4.0
T. ROWE PRICE	243	AW	S	8,000	13.05	n/a	n/a	320.00	4.0
LIGHTHOUSE FIN/QUAD	046	BAC	S	10,000	47.04	19-Oct	19-Oct	500.00	5.0
J L F ASSET MGMT	039	BBY	B	23,342	47.72	n/a	27-Jul	933.68	4.0
MILLENIUM PARTNERS	028	BEAS	B	25,000	17.75	n/a	3-Apr	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SANDLER O'NEILL ASSET	031	BEN	S	6,300	136.96	25-Sep	25-Oct	315.00	5.0
PRINCIPLE CAPITAL	040	BIG	B	100,000	24.18	26-Oct	12-Oct	3,000.00	3.0
<b>KORMAN</b>	<b>003</b>	<b>BRLC</b>	<b>S</b>	<b>20,000</b>	<b>4.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GALLEON MGMT LP	033	BUD	SS	5,000	51.50	n/a	n/a	250.00	5.0
DSG CAPITAL	225	CBE	B	5,500	53.96	19-Jul	23-Oct	275.00	5.0
BEAR STEARNS ASSET MGMT.	033	CHS	SS	50,000	13.59	12-Oct	12-Oct	2,000.00	4.0
NORTH GROWTH RESEARCH	030	CLDN	B	1,400	8.94	n/a	n/a	42.00	3.0
NORTHPOINT	030	CLDN	B	98,655	8.94	n/a	n/a	2,959.65	3.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>COH</b>	<b>B</b>	<b>10,000</b>	<b>35.15</b>	<b>24-Oct</b>	<b>24-Oct</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>B</b>	<b>20,000</b>	<b>35.42</b>	<b>24-Oct</b>	<b>24-Oct</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	COH	B	5,000	35.22	24-Oct	24-Oct	250.00	5.0
PRINCIPLE CAPITAL	040	COH	B	33,000	35.57	24-Oct	24-Oct	990.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>CRI</b>	<b>C</b>	<b>17,800</b>	<b>21.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CRI</b>	<b>C</b>	<b>34,400</b>	<b>21.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CRI</b>	<b>C</b>	<b>4,500</b>	<b>20.44</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
CHILTON CAPITAL MGMT.	036	CY	S	38,500	34.77	n/a	n/a	1,540.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DIA</b>	<b>C</b>	<b>51,400</b>	<b>136.78</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
BULL PATH CAPITAL	044	DTV	B	75,000	25.10	21-Sep	17-Oct	3,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	DY	B	2,500	28.22	n/a	n/a	125.00	5.0
T. ROWE PRICE	243	EDS	B	24,600	21.33	n/a	n/a	984.00	4.0
FIRST NY SEC/CHRIS	041	EMC	S	15,000	24.18	n/a	n/a	900.00	6.0
RAMIUS CAP	040	FSS	B	395,700	13.03	n/a	n/a	19,785.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FUQI</b>	<b>B</b>	<b>5,000</b>	<b>9.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FUQI</b>	<b>B</b>	<b>8,300</b>	<b>9.50</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FIRST NY SEC/CHRIS	041	GE	SS	10,000	40.25	n/a	n/a	600.00	6.0
LEVIN CAPITAL STRATEGIES	012	GHL	C	5,000	72.73	n/a	n/a	200.00	4.0
AAD CAPITAL	048	GPI	B	2,700	31.70	n/a	23-Oct	81.00	3.0
DSG CAPITAL	225	GR	B	4,500	70.55	25-Oct	25-Oct	225.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GYMB</b>	<b>B</b>	<b>10,000</b>	<b>34.43</b>	<b>10-Aug</b>	<b>18-Oct</b>	<b>non-brg</b>	<b>4.0</b>
J L F ASSET MGMT	039	GYMB	B	116,300	34.42	10-Aug	18-Oct	4,652.00	4.0
BALYASNY ASSET MGMT	044	HAR	S	5,000	83.22	n/a	24-Oct	250.00	5.0
COUGAR TRADING	044	HAR	B	5,000	83.42	n/a	24-Oct	250.00	5.0
COUGAR TRADING	044	HAR	S	5,000	82.23	n/a	24-Oct	250.00	5.0
MILLENIUM PARTNERS	028	HAR	B	5,000	83.22	n/a	24-Oct	250.00	5.0
GALLEON MGMT LP	033	HES	C	15,000	69.68	n/a	n/a	750.00	5.0
PRINCIPLE CAPITAL	040	HNI	SS	50,000	41.57	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
STRONG/MARK GRONET	030	HTH	B	50,000	12.05	n/a	n/a	2,000.00	4.0
MERRILL LYNCH STRATEGICI	034	IACI	B	20,000	27.33	n/a	n/a	800.00	4.0
DAVIDSON KEMPNER ADVISOR	217	IBB	SS	100,000	84.70	n/a	n/a	5,000.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>ICON</b>	<b>B</b>	<b>1,073</b>	<b>19.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>HART</b>	<b>056</b>	<b>ICON</b>	<b>B</b>	<b>70</b>	<b>19.24</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
XYLEM GLOBAL	091	IP	SS	27,500	35.60	n/a	18-Oct	1,375.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>IWM</b>	<b>C</b>	<b>85,700</b>	<b>81.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
MERRILL LYNCH STRATEGICI	034	JCP	S	17,500	56.39	12-Oct	12-Oct	875.00	5.0
<b>COLE</b>	<b>N24</b>	<b>KEG</b>	<b>B</b>	<b>200</b>	<b>15.13</b>	<b>23-Jul</b>	<b>24-Oct</b>	<b>0.00</b>	<b>0.0</b>
APEX CAPITAL MGMT.	091	KSS	B	50,000	56.00	27-Sep	12-Oct	2,500.00	5.0
COUGAR TRADING	044	KSS	SS	10,000	56.79	27-Sep	12-Oct	500.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>LAD</b>	<b>SS</b>	<b>700</b>	<b>16.15</b>	<b>n/a</b>	<b>23-Oct</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	LEA	B	100,000	34.31	n/a	n/a	5,000.00	5.0
BALYASNY ASSET MGMT	044	LLNW	B	5,000	10.99	n/a	n/a	250.00	5.0
SANDLER O'NEILL ASSET	031	LM	B	10,000	80.97	12-Oct	24-Oct	500.00	5.0
STADIA CAPITAL	035	LVS	SS	22,800	134.23	n/a	n/a	912.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MS PRINCIPAL STRATEGIES	041	MAR	B	25,000	39.09	n/a	n/a	1,250.00	5.0
<b>SINCLAIR</b>	<b>031</b>	<b>MERKV</b>	<b>B</b>	<b>40</b>	<b>0.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MATRIX ASSET ADV.	036	MSFT	S	20,000	31.77	n/a	3-Apr	800.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MVRX</b>	<b>C</b>	<b>40,000</b>	<b>167.11</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>16.7</b>
CSFB GLOBAL PROPRIETARY	035	MWV	B	30,000	31.34	31-Jul	18-Oct	1,500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>MZZ</b>	<b>S</b>	<b>500</b>	<b>52.95</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	M	S	5,000	32.30	24-Sep	12-Oct	250.00	5.0
<b>OGORMAN</b>	<b>046</b>	<b>NDN</b>	<b>B</b>	<b>600</b>	<b>9.96</b>	<b>13-Aug</b>	<b>12-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>NEXC</b>	<b>S</b>	<b>181</b>	<b>6.45</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RHO CAPITAL MGMT	041	NIHD	B	600	57.07	n/a	n/a	24.00	4.0
SUFFOLK CAPITAL MGMT	040	NKE	S	384,300	63.58	n/a	n/a	15,372.00	4.0
STRONG/MARK GRONET	030	NR	B	90,000	6.20	n/a	n/a	2,700.00	3.0
BALYASNY ASSET MGMT	044	NT	SS	10,000	15.88	n/a	22-Mar	500.00	5.0
GALLEON MGMT LP	033	NVDA	S	10,000	34.24	n/a	n/a	500.00	5.0
MATLIN PATTERSON	046	NWA	B	85,000	17.74	n/a	n/a	2,550.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>GASNER</b>	<b>025</b>	<b>OZFMG</b>	<b>S</b>	<b>2</b>	<b>22.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CHILTON CAPITAL MGMT.	036	PCG	C	25,940	46.89	n/a	n/a	1,037.60	4.0
CSFB GLOBAL PROPRIETARY	035	PCH	B	20,200	44.91	21-Sep	21-Sep	1,010.00	5.0
COUGAR TRADING	044	PSUN	S	5,000	16.59	n/a	25-Oct	250.00	5.0
GOLDEN TREE	036	QQQQ	SS	32,645	54.15	n/a	n/a	1,632.25	5.0
COUGAR TRADING	044	RL	B	5,000	68.19	9-Aug	29-Aug	250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SKS</b>	<b>B</b>	<b>10,000</b>	<b>18.86</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>KAICHER</b>	<b>064</b>	<b>SNCR</b>	<b>B</b>	<b>2,000</b>	<b>40.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SPY</b>	<b>C</b>	<b>46,200</b>	<b>151.76</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
GALLEON MGMT LP	033	SPY	SS	20,000	150.96	n/a	n/a	1,000.00	5.0
GOLDEN TREE	036	SPY	SS	52,390	152.00	n/a	n/a	2,619.50	5.0
SYSTEMATIC FINANCIAL MGT	035	TGI	S	20,000	77.49	n/a	n/a	750.00	3.8
MERRILL LYNCH STRATEGICI	034	TSO	SS	30,000	56.50	n/a	n/a	1,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TWB</b>	<b>B</b>	<b>40,000</b>	<b>29.58</b>	<b>2-Oct</b>	<b>2-Oct</b>	<b>non-brg</b>	<b>4.0</b>
DAVIDSON KEMPNER ADVISOR	217	TWC	S	12,900	30.97	26-Oct	25-Oct	645.00	5.0
GENERAL ELECTIC CO	033	TWX	B	65,860	18.03	n/a	n/a	2,634.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAPITAL	038	ULTA	S	35,000	30.00	n/a	n/a	1,750.00	5.0
WYCKOFF	013	ULTA	B	200	29.64	n/a	n/a	0.00	0.0
COUGAR TRADING	044	URBN	SS	5,000	22.86	23-Oct	23-Oct	250.00	5.0
BALYASNY ASSET MGMT	044	VC	S	10,000	5.83	n/a	25-Oct	500.00	5.0
BRENCOURT	028	VC	B	50,000	5.91	n/a	25-Oct	1,500.00	3.0
ELM RIDGE ADVISORS	044	VC	S	100	6.00	n/a	25-Oct	3.00	3.0
WOOSTER CAPITAL	035	VC	B	50,000	5.79	n/a	25-Oct	2,500.00	5.0
BALYASNY ASSET MGMT	044	VMW	S	2,500	112.86	n/a	n/a	125.00	5.0
SLS CAPITAL LLC	224	WBC	B	50,000	47.89	n/a	24-Oct	2,000.00	4.0
CATAPULT	040	WB	SS	5,000	45.93	n/a	n/a	250.00	5.0
MERRILL LYNCH STRATEGICI	034	WCG	SS	25,000	44.01	n/a	n/a	1,250.00	5.0
MERRILL LYNCH STRATEGICI	034	WMT	B	25,000	44.11	4-Jun	27-Jul	1,250.00	5.0
COUGAR TRADING	044	WRNC	S	5,000	37.88	19-Sep	28-Sep	250.00	5.0
J L F ASSET MGMT	039	WSM	S	12,200	29.65	n/a	n/a	488.00	4.0
GALLEON MGMT LP	033	XLY	SS	10,000	35.82	n/a	n/a	500.00	5.0
<b>TOTAL (Trade Date) :</b>								<b>128,955.08</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	10/24	LISTED ERR	099	AMG	B	3,000	131.47	25-Jul	25-Oct	0.00	0.0
AO,	10/23	LISTED ERR	099	AMG	S	3,000	131.48	25-Jul	25-Oct	0.00	0.0
AO,CRT	10/24	FARALLON CAP MGMT	040	GPS	B	135,000	17.86	n/a	12-Oct	4050.00	3.0
AO,CXL	10/24	FARALLON CAP MGMT	040	GPS	B	135,000	17.86	n/a	12-Oct	5400.00	4.0
AO,CRT	10/24	AAD CAPITAL	048	LVLTT	B	1,945,209	3.03	24-Oct	24-Oct	19452.09	1.0
AO,CXL	10/24	AAD CAPITAL	048	LVLTT	B	1,945,209	3.03	24-Oct	24-Oct	77808.36	4.0
AO,CXL	10/23	MKT MAKER	099	PLCE	SS	72	23.93	26-Sep	18-Oct	3.60	5.0
AO,CRT	10/23	MKT MAKER	099	PLCE	SS	72	23.93	26-Sep	18-Oct	0.00	0.0
AO,CXL	10/23	PZEN	044	PMC	S	2,609	15.80	n/a	n/a	104.36	4.0
AO,CRT	10/23	PZENA INV ADV	044	PMC	S	2,609	15.80	n/a	n/a	104.36	4.0
AO,CRT	10/24	STRAUSS ASSET MGMT	040	SCOR	S	7,500	36.62	n/a	n/a	375.00	5.0
AO,CXL	10/24	STRAUSS ASSET MGMT	040	SCOR	S	7,500	36.62	n/a	n/a	300.00	4.0
AO,CRT	10/24	BALYASNY ASSET MGMT	044	VC	B	10,000	5.41	n/a	25-Oct	400.00	4.0
AO,CXL	10/24	BALYASNY ASSET MGMT	044	VC	B	10,000	5.41	n/a	25-Oct	500.00	5.0
AO,CRT	10/24	COUGAR TRADING	044	VC	B	50,000	5.80	n/a	25-Oct	1500.00	3.0
AO,CXL	10/24	COUGAR TRADING	044	VC	B	50,000	5.80	n/a	25-Oct	2500.00	5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-60,734.87</b>	



TOTAL (Trade Date):	128,955.08
TOTAL (AO, CXL, CRT):	-60,734.87

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TOTAL (RUN DATE) / NFS:	68,220.21
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MRI Securities with Trade Activity on 25-Oct. AB, GR
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MRI Securities without Trade Activity on 25-Oct. CRR, CVC, EL, MER, PG
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BRG comm. as a % of BCM Total Comm. paid. T-Date = 12% YTD = 18%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION