



Daily Compliance Activity Report

Trade Date: 07/03/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	AEO	S	30,000	13.36	6-Mar	2-Jul	1,500.00	5.0
DAVIS SELECT ADV	040	AES	B	375,000	18.85	n/a	n/a	11,250.00	3.0
FRONTPOINT CHRIS C	041	AMT	B	6,300	40.43	n/a	n/a	252.00	4.0
BULL PATH CAPITAL	044	ANF	B	15,500	61.67	10-Apr	2-Jul	775.00	5.0
SINCLAIR	031	APCKT	S	90	0.70	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ARO	B	5,000	31.11	28-Apr	2-Jul	250.00	5.0
J L F ASSET MGMT	039	ARO	B	27,400	31.58	28-Apr	2-Jul	1,096.00	4.0
PILOT ROCK	028	AVP	B	123,232	35.46	4-Mar	24-Jun	4,929.28	4.0
BUCK	015	BACPRL	S	130	874.50	n/a	n/a	0.00	0.0
SANDLER O'NEILL ASSET	031	BAC	SS	50,000	22.43	n/a	2-Jul	2,500.00	5.0
WAGONHOUND/NIC	048	BIG	B	1,065	32.14	29-May	2-Jul	42.60	4.0
SYSTEMATIC FINANCIAL MGT	035	BKE	B	5,900	43.97	n/a	n/a	221.25	3.8
BMO NESBITT BURNS	041	BWA	B	25,000	41.13	10-Jun	10-Jun	1,250.00	5.0
FIRST NY SEC/CHRIS	041	BWA	B	25,000	41.10	10-Jun	10-Jun	1,250.00	5.0
LEDDY	035	CAT	SS	200	69.53	n/a	23-May	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MFP INVESTORS	032	CBT	B	4,800	22.97	n/a	23-Jun	240.00	5.0
BUCK	015	CMA	C	1,000	24.90	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	CMI	B	80,000	61.70	n/a	23-May	4,000.00	5.0
OGORMAN	046	DXD	B	300	64.96	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	HLX	B	60,000	37.78	n/a	n/a	2,400.00	4.0
CAMBIAR CAP	046	IGT	S	231,084	23.40	n/a	18-Apr	9,243.36	4.0
BUCK	015	JNJ	B	700	64.77	n/a	22-Apr	0.00	0.0
COUGAR TRADING	044	KSS	B	5,000	42.44	n/a	2-Jul	250.00	5.0
EPOCH INV MGMT	030	KVA	S	51,700	19.01	n/a	n/a	2,068.00	4.0
CAPITAL GROWTH MGMT.	241	MCD	B	60,000	57.11	9-Jun	9-Jun	3,000.00	5.0
FRONTPOINT CHRIS C	041	MOS	SS	3,900	128.02	n/a	n/a	156.00	4.0
FRONTPOINT CHRIS C	041	MSM	B	11,300	43.82	n/a	n/a	452.00	4.0
SINCLAIR	031	NBRLI	B	25	7.30	n/a	n/a	0.00	0.0
SINCLAIR	031	NBRLJ	B	25	5.00	n/a	n/a	0.00	0.0
HOLDEN CAPITAL MGMT	035	PAG	B	97,600	14.22	10-Jun	10-Jun	4,880.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BASIX	033	PKG	B	60,000	21.92	7-Apr	26-Jun	2,400.00	4.0
BAYPOINT TRADING/XYLEM	091	PKG	B	80,000	21.97	7-Apr	26-Jun	4,000.00	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	45,000	21.82	7-Apr	26-Jun	2,250.00	5.0
DSG CAPITAL	225	PKG	B	2,500	21.44	7-Apr	26-Jun	125.00	5.0
OGORMAN	046	PSUN	B	2,000	8.38	n/a	2-Jul	0.00	0.0
SINCLAIR	031	PXDIQ	B	35	2.80	n/a	n/a	0.00	0.0
BUCK	015	RYN	B	1,000	42.64	23-Apr	23-Apr	0.00	0.0
FRONTPOINT CHRIS C	041	R	SS	2,100	66.00	n/a	n/a	84.00	4.0
FRONTPOINT CHRIS C	041	SBAC	B	7,000	33.56	n/a	n/a	280.00	4.0
OGORMAN	046	SDS	B	300	67.93	n/a	n/a	0.00	0.0
BUCK	015	SGPPRB	B	200	191.43	n/a	n/a	0.00	0.0
FRONTPOINT CHRIS C	041	SNI	SS	3,000	41.15	n/a	n/a	120.00	4.0
FRONTPOINT	044	SPY	SS	5,900	125.26	n/a	n/a	236.00	4.0
CARDINAL CAPITAL	044	SSI	S	25,000	11.63	n/a	2-Jul	1,250.00	5.0
PRINCIPLE CAPITAL	040	STZ	B	58,200	21.41	n/a	n/a	1,746.00	3.0
J L F ASSET MGMT	039	TGT	B	45,800	47.39	n/a	2-Jul	1,832.00	4.0
ROYCE TRADING	216	UNT	S	5,200	84.53	20-Mar	18-Jun	260.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	URBN	B	10,000	31.12	15-May	15-May	500.00	5.0
PHILADELPHIA FINANCIAL	033	WDR	B	75,000	34.48	22-Apr	2-Jul	3,000.00	4.0
FRONTPOINT	044	X	SS	800	150.75	n/a	n/a	32.00	4.0
TOTAL (Trade Date) :								70,120.49	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	07/02	DAVIDSON KEMPNER ADVISOR (DAVIML)	040	AMLN	SS	50,000	25.47	n/a	n/a	2500.00	5.0
AO,CXL	07/02	DKR CAPITAL (DKRCMERRIL)	048	AMLN	SS	50,000	25.47	n/a	n/a	2500.00	5.0
AO,CXL	07/02	GRUSS CAPITAL (GRUS)	045	FOR	B	5,000	18.02	n/a	n/a	200.00	4.0
AO,CRT	07/02	GRUSS CAPITAL (GRUS)	045	FOR	B	5,000	18.02	n/a	n/a	150.00	3.0
AO,CXL	07/02	DSM CAPITAL PARTNERS (DSMCFLIIGF)	032	GENZ	B	3,000	72.38	n/a	n/a	150.00	5.0
AO,CRT	07/02	DSM CAPITAL PARTNERS (DSMCFLIIGF)	032	GENZ	B	3,000	72.38	n/a	n/a	120.00	4.0
AO,	07/02	FORWARD MGMT (FORWLGCPCGW)	033	GRNB	B	1,200	14.40	n/a	n/a	60.00	5.0
AO,	07/02	CAMBIAR CAP (CAMB204)	046	IGT	S	110	24.02	n/a	18-Apr	4.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB903)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB18)	046	IGT	S	110	24.02	n/a	18-Apr	4.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB27)	046	IGT	S	300	24.02	n/a	18-Apr	12.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB890)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB520)	046	IGT	S	600	24.02	n/a	18-Apr	24.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB781)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB799)	046	IGT	S	30	24.02	n/a	18-Apr	1.20	4.0
AO,	07/02	CAMBIAR CAP (CAMB771)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB802)	046	IGT	S	20	24.02	n/a	18-Apr	0.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB875)	046	IGT	S	10	24.02	n/a	18-Apr	0.40	4.0
AO,	07/02	CAMBIAR CAP (CAMB10)	046	IGT	S	2,500	24.02	n/a	18-Apr	100.00	4.0
AO,	07/02	CAMBIAR CAP (CAMB836)	046	IGT	S	20	24.02	n/a	18-Apr	0.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB400)	046	IGT	S	130	24.02	n/a	18-Apr	5.20	4.0
AO,	07/02	CAMBIAR CAP (CAMB784)	046	IGT	S	20	24.02	n/a	18-Apr	0.80	4.0
AO,	07/02	CAMBIAR CAP (CAMB29)	046	IGT	S	50	24.02	n/a	18-Apr	2.00	4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	07/02	CAMBIAR CAP (CAMB816)	046	IGT	S	20	24.02	n/a	18-Apr	0.80 4.0
AO,	07/02	CAMBIAR CAP (CAMB42)	046	IGT	S	35,500	24.02	n/a	18-Apr	1420.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB823)	046	IGT	S	10	24.02	n/a	18-Apr	0.40 4.0
AO,	07/02	CAMBIAR CAP (CAMB409)	046	IGT	S	100	24.02	n/a	18-Apr	4.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB841)	046	IGT	S	20	24.02	n/a	18-Apr	0.80 4.0
AO,	07/02	CAMBIAR CAP (CAMB65)	046	IGT	S	3,300	24.02	n/a	18-Apr	132.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB883)	046	IGT	S	300	24.02	n/a	18-Apr	12.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB43)	046	IGT	S	2,100	24.02	n/a	18-Apr	84.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB831)	046	IGT	S	100	24.02	n/a	18-Apr	4.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB75)	046	IGT	S	100	24.02	n/a	18-Apr	4.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB832)	046	IGT	S	100	24.02	n/a	18-Apr	4.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB28)	046	IGT	S	100	24.02	n/a	18-Apr	4.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB804)	046	IGT	S	200	24.02	n/a	18-Apr	8.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB766)	046	IGT	S	200	24.02	n/a	18-Apr	8.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB755)	046	IGT	S	10	24.02	n/a	18-Apr	0.40 4.0
AO,	07/02	CAMBIAR CAP (CAMB754)	046	IGT	S	20	24.02	n/a	18-Apr	0.80 4.0
AO,	07/02	CAMBIAR CAP (CAMB745)	046	IGT	S	30	24.02	n/a	18-Apr	1.20 4.0
AO,	07/02	CAMBIAR CAP (CAMB758)	046	IGT	S	10	24.02	n/a	18-Apr	0.40 4.0
AO,	07/02	CAMBIAR CAP (CAMB682)	046	IGT	S	220	24.02	n/a	18-Apr	8.80 4.0
AO,	07/02	CAMBIAR CAP (CAMB694)	046	IGT	S	500	24.02	n/a	18-Apr	20.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB710)	046	IGT	S	60	24.02	n/a	18-Apr	2.40 4.0
AO,	07/02	CAMBIAR CAP (CAMB741)	046	IGT	S	10	24.02	n/a	18-Apr	0.40 4.0
AO,	07/02	CAMBIAR CAP (CAMB743)	046	IGT	S	100	24.02	n/a	18-Apr	4.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB714)	046	IGT	S	500	24.02	n/a	18-Apr	20.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB594)	046	IGT	S	300	24.02	n/a	18-Apr	12.00 4.0
AO,	07/02	CAMBIAR CAP (CAMB535)	046	IGT	S	610	24.02	n/a	18-Apr	24.40 4.0
AO,	07/02	CAMBIAR CAP (CAMB30)	046	IGT	S	40	24.02	n/a	18-Apr	1.60 4.0
AO,CXL	07/01	DAVIDSON KEMPNER ADVISOR (DAVIML)	040	INCY	B	20,700	7.50	n/a	n/a	1035.00 5.0
AO,	07/01	DAVIDSON KEMPNER ADVISOR (DAVIGS)	040	INCY	B	20,700	7.50	n/a	n/a	1035.00 5.0

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION