



Daily Compliance Activity Report

Trade Date: 02/26/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MCCARTAN	028	ABG	B	200	15.13	25-Jan	22-Feb	0.00	0.0
DAVIDSON TRUST CO	028	APD	S	1,400	95.81	n/a	23-Jan	126.00	9.0
COUGAR TRADING	044	ARO	B	5,000	28.40	29-Nov	8-Feb	250.00	5.0
ZOLIDIS	011	ARO	S	500	28.95	29-Nov	8-Feb	0.00	0.0
FORWARD MGMT	033	ARW	B	2,100	34.72	n/a	n/a	105.00	5.0
CATAPULT	040	BK	B	5,000	46.44	19-Oct	21-Feb	250.00	5.0
B BUCK CAPITAL MGMT		CAKE	C	20,000	22.44	n/a	24-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	CBK	B	10,000	11.77	n/a	11-Feb	400.00	4.0
B BUCK CAPITAL MGMT		CBRL	C	25,000	37.13	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CBRL	C	50,000	38.43	n/a	n/a	non-brg	4.0
FORWARD MGMT	033	CDNS	B	4,000	11.33	n/a	n/a	200.00	5.0
EGS ASSOCIATES LP	091	COH	B	3,000	32.40	24-Oct	24-Jan	180.00	6.0
FORWARD MGMT	033	COH	B	2,700	32.48	24-Oct	24-Jan	135.00	5.0
B BUCK CAPITAL MGMT		CPKI	C	20,000	15.01	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CROX	B	10,000	24.49	n/a	n/a	non-brg	1.0
BALYASNY ASSET MGMT	044	CSH	B	40,000	33.50	n/a	n/a	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	DAI	SS	20,000	85.60	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT		DECK	B	10,000	128.07	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	DRI	B	20,000	33.22	n/a	24-Apr	800.00	4.0
OGORMAN	046	DUG	S	200	36.33	n/a	n/a	0.00	0.0
GAULT	063	DUG	B	500	36.26	n/a	n/a	0.00	0.0
J COHEN	N27	DXD	B	28	54.10	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	EAT	B	20,000	19.97	n/a	24-Apr	800.00	4.0
BUCK CAPITAL MGMT	001	EL	B	70,000	43.57	4-Jan	4-Feb	2,800.00	4.0
FORWARD MGMT	033	ETN	B	500	83.99	22-Jan	5-Feb	25.00	5.0
RBC CAPITAL MARKETS	034	EV	SS	10,000	33.66	9-Jan	21-Feb	400.00	4.0
COLE	N24	FBR	B	1,600	2.84	n/a	n/a	0.00	0.0
STELLIAM INV ADV	040	FDO	SS	15,000	21.01	8-Jan	11-Feb	750.00	5.0
BARR	096	FMCN	C	900	50.35	n/a	n/a	0.00	0.0
BUCK	015	FXB	B	300	199.21	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	GES	SS	10,000	42.35	n/a	n/a	400.00	4.0
EATON VANCE MGMT	039	GE	S	450,000	33.96	n/a	n/a	18,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLE	N24	GFG	S	300	15.79	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	38.26	22-Jan	31-Jan	400.00	4.0
DANIELS	N25	GSI	B	200	8.88	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GWV	B	10,000	76.54	25-Jan	25-Jan	400.00	4.0
ß BUCK CAPITAL MGMT		GYMB	S	10,000	41.96	n/a	8-Feb	non-brg	1.0
ß BUCK CAPITAL MGMT		GYMB	S	20,000	41.71	n/a	8-Feb	non-brg	2.0
ß BUCK CAPITAL MGMT		GYMB	S	30,000	41.64	n/a	8-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		GYMB	S	60,000	41.73	n/a	8-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		HD	C	30,000	29.05	n/a	27-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		HON	C	10,000	58.96	n/a	25-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		IHP	C	20,000	40.67	n/a	n/a	non-brg	4.0
SUN AMERICA ASSET MGMT	030	INTC	B	122,900	20.24	n/a	n/a	6,145.00	5.0
RBC CAPITAL MARKETS	034	IVZ	S	14,000	27.04	20-Dec	21-Feb	560.00	4.0
S.A.C. CAPITAL MGMT.	044	IVZ	S	160,000	27.01	20-Dec	21-Feb	8,000.00	5.0
ß BUCK CAPITAL MGMT		JBX	C	20,000	28.53	n/a	24-Apr	non-brg	4.0
BUCK CAPITAL MGMT	001	JCG	B	10,000	42.43	28-Nov	21-Feb	400.00	4.0
COUGAR TRADING	044	JCG	B	5,000	42.19	28-Nov	21-Feb	250.00	5.0
COUGAR TRADING	044	JCG	SS	5,000	41.31	28-Nov	21-Feb	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	JCP	B	5,000	49.94	4-Jan	22-Feb	250.00	5.0
BUCK CAPITAL MGMT	001	JWN	B	30,000	38.76	26-Feb	26-Feb	1,200.00	4.0
FORWARD MGMT	033	JWN	B	2,400	38.65	26-Feb	26-Feb	120.00	5.0
DANIELS	N25	KEG	B	200	13.55	14-Feb	14-Feb	0.00	0.0
GRISANTI BROWN & PARTNER	048	KMX	C	10,000	20.44	n/a	10-Jan	500.00	5.0
COUGAR TRADING	044	KSS	B	30,000	47.27	4-Jan	21-Feb	1,500.00	5.0
FORWARD MGMT	033	KSS	B	1,300	47.71	4-Jan	21-Feb	65.00	5.0
BUCK	015	KSS	C	1,500	47.41	4-Jan	21-Feb	0.00	0.0
B BUCK CAPITAL MGMT		LAMR	S	20,000	42.51	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		LECO	C	10,000	68.47	n/a	n/a	non-brg	4.0
NATIONAL BK OF CAN	031	LEH	SS	25,000	57.41	n/a	21-Feb	1,250.00	5.0
NEUBERGER BERMAN	036	LEN	B	174,500	20.68	n/a	n/a	7,852.50	4.5
B BUCK CAPITAL MGMT		MA	C	5,500	195.88	n/a	n/a	non-brg	1.0
SYSTEMATIC FINANCIAL MGT	035	MLI	S	24,300	30.85	5-Feb	5-Feb	911.25	3.8
B BUCK CAPITAL MGMT		MSSR	C	20,000	11.93	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	M	SS	5,000	25.71	7-Feb	15-Feb	250.00	5.0
RBC CAPITAL MARKETS	034	NFP	B	20,000	27.46	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	NTRS	B	25,000	71.92	n/a	21-Feb	1,250.00	5.0
SAC TRADING HOWARD	038	NWY	B	20,000	6.03	n/a	8-Feb	1,000.00	5.0
BACKBAY	041	OSG	B	12,500	69.84	n/a	n/a	625.00	5.0
BLUE HARBOR	036	OZM	B	7,800	22.46	n/a	n/a	390.00	5.0
LISTED ERR	099	PCH	B	1,300	44.24	8-Jan	6-Feb	0.00	0.0
LISTED ERR	099	PCH	S	1,300	43.91	8-Jan	6-Feb	0.00	0.0
SWISS RE FINANCIAL SER	035	PCL	S	35,000	42.33	8-Jan	30-Jan	1,400.00	4.0
STELLIAM INV ADV	040	PDLI	S	10,000	16.69	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	PEP	B	30,000	71.43	n/a	n/a	1,200.00	4.0
GALLEON MGMT LP	033	PFCB	SS	10,000	29.39	n/a	24-Apr	500.00	5.0
CATTANI	041	PG	S	110	67.60	11-Dec	25-Feb	0.00	0.0
GRISANTI BROWN & PARTNER	048	PHG	B	12,300	39.70	n/a	n/a	615.00	5.0
FORWARD MGMT	033	PH	B	1,000	66.35	n/a	n/a	50.00	5.0
HIGHBRIDGE CAPITAL	038	PKG	SS	20,000	25.11	23-Jan	23-Jan	1,000.00	5.0
AMBER CAPITAL	046	PKX	SS	40,000	140.55	n/a	n/a	1,600.00	4.0
8 BUCK CAPITAL MGMT		PLCE	B	20,000	22.14	10-Jan	8-Feb	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	PSUN	SS	35,000	11.55	n/a	8-Feb	1,750.00	5.0
COUGAR TRADING	044	PSUN	S	45,000	11.57	n/a	8-Feb	2,250.00	5.0
COUGAR TRADING	044	PVH	B	5,000	36.92	5-Feb	15-Feb	250.00	5.0
FORWARD MGMT	033	PVH	B	1,300	37.70	5-Feb	15-Feb	65.00	5.0
B BUCK CAPITAL MGMT		QYS+DF	C	300	4.00	n/a	n/a	non-brg	300.0
STADIA CAPITAL	035	RL	B	10,000	66.12	7-Feb	15-Feb	400.00	4.0
FORWARD MGMT	033	ROST	B	2,300	29.55	n/a	n/a	115.00	5.0
B BUCK CAPITAL MGMT		RRGB	C	20,000	35.94	n/a	n/a	non-brg	4.0
CATTANI	041	RSHOC	S	5	0.15	n/a	n/a	0.00	0.0
OMEGA ADVISORS	041	RSH	B	50,000	18.46	n/a	n/a	2,000.00	4.0
CATTANI	041	RSH	S	500	17.55	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RVI	S	20,000	7.08	n/a	n/a	800.00	4.0
BUCK	015	SBUX	B	500	19.15	n/a	24-Apr	0.00	0.0
GRISANTI BROWN & PARTNER	048	SGR	S	8,200	64.02	n/a	n/a	410.00	5.0
GAULT	063	SKF	B	1,000	105.22	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	2,000	108.02	n/a	n/a	0.00	0.0
CATTANI	041	SLB	B	200	88.66	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SLE	C	25,000	12.96	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SLE	C	25,000	12.99	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SONC	C	10,000	22.38	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SPLS	C	50,000	23.31	n/a	27-Jul	non-brg	4.0
EGS ASSOCIATES LP	091	SSI	S	4,000	14.24	4-Jan	8-Feb	240.00	6.0
FIRST NY SEC/CHRIS	041	STX	S	10,000	23.74	n/a	n/a	600.00	6.0
BUCK CAPITAL MGMT		SYNP	S	10,000	4.95	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		SYNP	S	6,614	5.00	n/a	n/a	non-brg	1.0
N STRATTON	014	TECUA	SS	200	23.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		TEL	C	65,000	34.95	n/a	n/a	non-brg	2.5
ASCEND PARTNERS	033	TJX	S	50,000	32.96	n/a	21-Feb	2,500.00	5.0
BUCK CAPITAL MGMT	001	TPX	B	70,000	18.51	n/a	n/a	2,800.00	4.0
BUCK CAPITAL MGMT		TPX	B	25,000	17.67	n/a	n/a	non-brg	2.5
BUCK CAPITAL MGMT		TPX	B	75,000	17.71	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		TRLG	B	1,500	20.07	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	TWB	S	10,000	30.68	21-Feb	21-Feb	400.00	4.0
COUGAR TRADING	044	TWB	S	5,000	30.30	21-Feb	21-Feb	250.00	5.0
BUCK CAPITAL MGMT	001	UA	S	10,000	41.26	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		URBN	S	20,000	31.20	3-Jan	8-Feb	non-brg	4.0
⌘ BUCK CAPITAL MGMT		USTR	C	30,000	53.01	n/a	27-Jul	non-brg	2.5
GAULT	063	USU	B	10,000	6.85	n/a	n/a	0.00	0.0
GAULT	063	USU	S	10,000	6.92	n/a	n/a	0.00	0.0
OGORMAN	046	VC	B	100	3.78	n/a	15-Feb	0.00	0.0
⌘ BUCK CAPITAL MGMT		VIRL	S	10,000	6.56	n/a	n/a	non-brg	4.0
LAGRANGE CAP ADV	048	VVTV	B	20,000	5.71	n/a	n/a	1,000.00	5.0
FORWARD MGMT	033	WCC	B	1,700	43.35	n/a	n/a	85.00	5.0
GRISANTI BROWN & PARTNER	048	WMT	C	13,000	50.99	n/a	19-Feb	650.00	5.0
EGS ASSOCIATES LP	091	WRNC	B	3,000	36.71	14-Jan	21-Feb	180.00	6.0
⌘ BUCK CAPITAL MGMT		WSO	B	10,000	36.91	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WSO	C	10,000	36.90	n/a	n/a	non-brg	1.0
SWISS RE FINANCIAL SER	035	WISLA	B	203,900	3.00	n/a	n/a	8,156.00	4.0
DAVIDSON TRUST CO	028	WYE	S	1,000	43.00	n/a	31-Mar	90.00	9.0
OMEGA ADVISORS	041	WYE	B	130,000	42.93	n/a	31-Mar	5,200.00	4.0
GALLEON MGMT LP	033	XRT	SS	20,000	34.13	n/a	n/a	1,000.00	5.0
COUGAR TRADING	044	ZQK	S	25,000	9.87	5-Feb	15-Feb	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	ZUMZ	SS	42,400	19.59	n/a	n/a	2,120.00	5.0
TOTAL (Trade Date):								104,615.75	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	02/25	FORWARD MGMT	033	CDE	B	16,000	4.83	n/a	n/a	800.00 5.0
AO,CXL	02/25	FORWARD MGMT	033	CDE	B	16,000	4.83	n/a	n/a	800.00 5.0
AO,CRT	02/25	RHO CAPITAL MGMT	041	CPST	S	11,000	1.76	n/a	n/a	165.00 1.5
AO,CXL	02/25	RHO CAPITAL MGMT	041	CPST	S	11,000	1.76	n/a	n/a	440.00 4.0
AO,CXL	02/25	GAULT	063	SKF	B	1,000	106.71	n/a	n/a	0.00 0.0
AO,CRT	02/25	GAULT	063	SKF	B	1,000	106.71	n/a	n/a	0.00 0.0
AO,CXL	02/25	GAULT	063	SKF	S	1,000	112.16	n/a	n/a	0.00 0.0
AO,CRT	02/25	GAULT	063	SKF	S	1,000	112.16	n/a	n/a	0.00 0.0
AO,CRT	02/21	CHAPPELL	073	VFORX	B	182	22.00	n/a	n/a	0.00 0.0
AO,CXL	02/21	CHAPPELL	073	VFORX	B	182	22.00	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										-275.00

TOTAL (Trade Date) :	104,615.75
TOTAL (AO, CXL, CRT) :	-275.00

TOTAL (RUN DATE) / NFS :	104,340.75
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MRI Securities with Trade Activity on 26-Feb.

JWN

MRI Securities without Trade Activity on 26-Feb.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 32%

YTD = 26%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION