



Daily Compliance Activity Report

Trade Date: 02/24/2012

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	ABX	B	906	49.50	n/a	n/a	36.24	4.0
BESSEMER INVESTMENT	085	ACE	B	773	73.74	n/a	n/a	30.92	4.0
BESSEMER INVESTMENT	085	ACN	B	911	59.56	n/a	n/a	36.44	4.0
CATAPULT	040	BAS	B	25,000	20.79	n/a	n/a	1,000.00	4.0
BNP PARIBAS SEC	040	BIG	B	50,000	43.96	n/a	16-Feb	2,500.00	5.0
TALPION LLC	040	BRKA	B	5119,949.00		n/a	n/a	0.20	4.0
ACK ASSET	028	CAT	B	9,112	116.88	n/a	27-Jan	273.36	3.0
SCOPUS FUND	041	CCE	B	25,000	28.65	n/a	n/a	1,000.00	4.0
BESSEMER INVESTMENT	085	CCL	B	565	30.15	n/a	n/a	22.60	4.0
STRONG/MARK GRONET	030	CIM	S	9,900	3.03	n/a	n/a	297.00	3.0
BESSEMER INVESTMENT	085	COP	B	552	75.22	n/a	n/a	22.08	4.0
CAERUS GLOBAL	041	CROX	B	25,000	18.58	n/a	n/a	1,000.00	4.0
NORTHWESTERN MUTUAL LIFE	047	CTL	S	100,400	39.84	n/a	n/a	4,016.00	4.0
BESSEMER INVESTMENT	085	CVS	B	690	44.12	n/a	n/a	27.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	DKS	C	15,000	44.80	n/a	17-Feb	750.00	5.0
TUDOR INVESTORS	028	DPZ	SS	75,000	33.21	n/a	23-Feb	2,250.00	3.0
IRIDIAN ASSET MGMT.	036	DRC	S	4,020	54.04	n/a	n/a	201.00	5.0
BESSEMER INVESTMENT	085	DTE	B	317	54.80	n/a	n/a	12.68	4.0
VERITION	028	EA	B	25,000	17.30	n/a	n/a	750.00	3.0
BESSEMER INVESTMENT	085	EXC	B	837	39.13	n/a	n/a	33.48	4.0
BESSEMER INVESTMENT	085	FCX	B	515	43.99	n/a	n/a	20.60	4.0
MOORE CAPITAL	041	FL	B	5,000	28.50	n/a	16-Feb	150.00	3.0
BESSEMER INVESTMENT	085	GD	B	291	71.09	n/a	14-Feb	11.64	4.0
VERITION	028	GPS	SS	20,000	22.70	n/a	n/a	600.00	3.0
SIMMONS VENTURES	041	HWD	B	5,000	13.50	n/a	n/a	200.00	4.0
BESSEMER INVESTMENT	085	IBM	B	281	197.28	n/a	n/a	11.24	4.0
PZENA INV ADV	044	IM	S	1,525	19.63	n/a	n/a	53.38	3.5
BALLAST CAP	040	IP	B	700	33.22	n/a	2-Feb	35.00	5.0
BALLAST CAP	040	IP	SS	12,100	33.06	n/a	2-Feb	605.00	5.0
SAGE ASSET MGMT	025	IP	S	17,300	33.13	n/a	2-Feb	865.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MOORE CAPITAL	041	ITB	S	20,000	13.65	n/a	n/a	600.00	3.0
PZENA INV ADV	044	IVZ	S	325	24.95	n/a	2-Mar	11.38	3.5
BESSEMER INVESTMENT	085	JNJ	B	759	64.53	n/a	n/a	30.36	4.0
RBC CAPITAL MARKETS	040	KORS	B	5,000	41.45	n/a	n/a	200.00	4.0
PZENA INV ADV	044	LLL	S	475	70.56	n/a	n/a	16.63	3.5
MOORE CAPITAL	041	LOW	S	17,500	27.00	n/a	8-Feb	525.00	3.0
BESSEMER INVESTMENT	085	MGA	B	457	46.37	n/a	n/a	18.28	4.0
RBC CAPITAL MARKETS	040	MHK	S	16,900	63.40	n/a	n/a	676.00	4.0
BESSEMER INVESTMENT	085	MHP	B	722	46.05	n/a	n/a	28.88	4.0
ROYCE TRADING	031	MTX	B	7,000	66.42	n/a	n/a	350.00	5.0
ARMATURE CAPITAL	041	MWV	SS	25,000	30.57	n/a	26-Jan	1,000.00	4.0
SIMMONS VENTURES	041	M	B	5,000	37.00	n/a	24-Feb	200.00	4.0
FIRST NEW YORK LEDDY	035	NOK	S	20,000	5.83	n/a	n/a	800.00	4.0
TUDOR INVESTORS	028	NSC	B	3,000	70.09	n/a	n/a	90.00	3.0
BALLAST CAP	040	RKT	B	500	68.44	n/a	26-Jan	25.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALLAST CAP	040	RKT	SS	6,000	68.19	n/a	26-Jan	300.00	5.0
EMPYREAN CAPITAL	084	RKT	B	25,000	68.37	n/a	26-Jan	1,250.00	5.0
TALPION LLC	040	RKT	SS	9,000	68.13	n/a	26-Jan	360.00	4.0
RBC CAPITAL MARKETS	040	ROST	B	5,000	52.72	n/a	24-Feb	200.00	4.0
MATRIX ASSET ADV.	091	STJ	S	2,000	43.33	n/a	n/a	80.00	4.0
CENTURION PARTNERS	041	TGT	B	15,000	55.18	n/a	24-Feb	600.00	4.0
MA CAPITAL MGMT/MELLON ADV	041	TGT	B	3,000	55.23	n/a	24-Feb	150.00	5.0
BALYASNY ASSET MGMT	044	UFS	C	10,000	95.05	n/a	n/a	500.00	5.0
BESSEMER INVESTMENT	085	UNH	B	613	55.08	n/a	n/a	24.52	4.0
BESSEMER INVESTMENT	085	UN	B	470	33.43	n/a	n/a	18.80	4.0
CATAPULT	040	USG	SS	10,000	13.51	n/a	7-Feb	400.00	4.0
STANDARD PACIFIC CAPITAL	084	VALE	B	125,000	26.00	n/a	n/a	6,250.00	5.0
PILOT ADV	041	WFT	B	50,000	16.69	n/a	n/a	1,500.00	3.0
RBC CAPITAL MARKETS	040	WRC	SS	15,000	58.39	n/a	3-Feb	600.00	4.0
BESSEMER INVESTMENT	085	YUM	B	789	65.64	n/a	8-Feb	31.56	4.0
TOTAL (Trade Date) :								33,647.87	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (Trade Date):									33,647.87
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TOTAL (AO, CXL, CRT):									0.00
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TOTAL (RUN DATE) / NFS:									33,647.87
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION