



Daily Compliance Activity Report

Trade Date: 05/06/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SIGMA CAPITAL ASSOCIATES	237	ABT	S	75,000	52.25	n/a	22-Apr	3,750.00	5.0
B BUCK CAPITAL MGMT		AEO+ED	C	1,000	0.11	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		AEO	B	45,000	18.37	6-Mar	2-May	non-brg	4.0
CLOVIS CAPITAL	012	AGN	B	50,000	54.18	31-Jan	6-May	2,500.00	5.0
NEUBERGER BERMAN	036	AMAT	S	4,500	19.23	n/a	n/a	202.50	4.5
SUNOVA CAPITAL	031	AMG	S	20,000	104.05	7-Apr	2-May	1,000.00	5.0
B BUCK CAPITAL MGMT		ANF+FP	SS	1,000	1.20	n/a	n/a	non-brg	300.0
COUGAR TRADING	044	ANF	SS	5,000	72.16	10-Apr	2-May	250.00	5.0
JP MORGAN VENTURES	046	ANF	C	7,500	72.70	10-Apr	2-May	375.00	5.0
MERRILL ASSET	032	ANN	S	33,800	24.27	14-Mar	2-May	1,521.00	4.5
LISTED ERR	099	APA	B	100	136.37	20-Mar	1-May	0.00	0.0
BALYASNY ASSET MGMT	044	ARGN	B	10,000	11.45	n/a	1-May	500.00	5.0
Ö Brian Wang		ARGN	B	175	12.21	n/a	1-May	0.00	0.0
HARTIRRL	056	ARGN	B	92	12.21	n/a	1-May	0.00	0.0
PRYLUCKI	092	ARGN	B	160	12.50	n/a	1-May	0.00	0.0
B BUCK CAPITAL MGMT		ARO+EF	C	1,000	3.38	n/a	n/a	non-brg	300.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
J L F ASSET MGMT	039	ARO	B	96,500	32.90	28-Apr	2-May	3,860.00	4.0
STRONG/MARK GRONET	030	ASH	B	10,000	55.32	30-Apr	30-Apr	400.00	4.0
PRINCIPLE CAPITAL	040	AWK	SS	75,000	21.57	n/a	n/a	2,250.00	3.0
TRELLUS PARTNERS LP	028	AXL	SS	30,000	21.36	n/a	n/a	1,500.00	5.0
TROUBH PART	067	BA	B	7,500	86.01	n/a	n/a	375.00	5.0
PHILADELPHIA FINANCIAL	033	BEN	S	15,000	101.67	24-Mar	2-May	600.00	4.0
SUNOVA CAPITAL	031	BEN	B	20,000	101.64	24-Mar	2-May	1,000.00	5.0
SUNOVA CAPITAL	031	BEN	S	20,000	98.44	24-Mar	2-May	1,000.00	5.0
ß BUCK CAPITAL MGMT		BHQ+RF	SS	2,000	0.45	n/a	n/a	non-brg	100.0
GAULT	063	BIG	SS	1,000	27.94	6-Mar	2-May	0.00	0.0
ß BUCK CAPITAL MGMT		BKC	B	52,200	27.71	1-May	2-May	non-brg	4.0
ß BUCK CAPITAL MGMT		BKC	B	75,000	27.65	1-May	2-May	non-brg	4.0
ß BUCK CAPITAL MGMT		BWA	S	20,000	52.02	5-May	5-May	non-brg	1.0
ß BUCK CAPITAL MGMT		BWA	S	30,000	51.75	5-May	5-May	non-brg	2.0
ß BUCK CAPITAL MGMT		BWA	S	72,520	51.86	5-May	5-May	non-brg	4.0
HARTIRRL	056	BWA	S	25	50.81	5-May	5-May	0.00	0.0
COUGAR TRADING	044	CHS	S	10,000	6.84	11-Apr	2-May	500.00	5.0
GRANAHAN INV	039	CHTT	B	1,300	67.00	11-Jan	5-May	52.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRONET	030	CPN	S	385	20.22	n/a	n/a	0.00	0.0
CAXTON PART	040	CSCO	S	178,691	26.06	n/a	22-Mar	6,254.19	3.5
N STRATTON	014	CSCO	S	100	26.25	n/a	22-Mar	0.00	0.0
N STRATTON	014	CSR	S	200	21.00	n/a	n/a	0.00	0.0
NATIONAL BK OF CAN	031	C	B	50,000	25.34	16-Jan	30-Apr	2,000.00	4.0
B BUCK CAPITAL MGMT		DECK	S	5,000	138.73	n/a	n/a	non-brg	4.0
GREENLIGHT CAPITAL	041	DFS	S	306,528	19.17	n/a	n/a	15,326.40	5.0
BANK OF NEW YORK	046	DFT	B	4,100	18.41	n/a	n/a	164.00	4.0
EPOCH INV MGMT	030	DVA	B	3,000	51.91	n/a	n/a	120.00	4.0
BLUE HARBOR	036	EFX	S	90,000	38.81	n/a	n/a	4,500.00	5.0
SCOPUS FUND	041	EK	B	100,000	16.94	n/a	n/a	5,000.00	5.0
COUGAR TRADING	044	EL	B	15,000	47.50	7-May	1-Apr	750.00	5.0
NEUBERGER BERMAN	036	EMC	S	3,700	15.86	n/a	n/a	166.50	4.5
GAULT	063	EXLP	B	500	28.24	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	FIS	B	25,000	37.51	n/a	n/a	1,000.00	4.0
B BUCK CAPITAL MGMT		FL	S	20,000	13.00	2-Apr	2-Apr	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TRUST CO/COWEN/CALF.	040	FNM	B	362,045	26.98	n/a	n/a	18,102.25	5.0
XYLEM GLOBAL	091	FOR	B	1,300	25.25	n/a	n/a	65.00	5.0
COUGAR TRADING	044	FOSL	B	5,000	37.64	13-Nov	9-Apr	250.00	5.0
NEUBERGER BERMAN	036	GENZ	B	128,095	70.95	n/a	n/a	5,764.28	4.5
MCCARTAN	028	GETI	B	100	32.03	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GIL	B	30,000	27.38	30-Apr	30-Apr	non-brg	4.0
EGS ASSOCIATES LP	091	GIL	B	12,000	27.52	30-Apr	30-Apr	720.00	6.0
WOOSTER CAPITAL	035	GIL	B	25,000	27.45	30-Apr	30-Apr	1,250.00	5.0
AMATURO	018	GIL	B	1,000	27.97	30-Apr	30-Apr	0.00	0.0
MCCARTAN	028	GIL	B	200	27.88	30-Apr	30-Apr	0.00	0.0
LEDDY	035	GIL	B	300	27.92	30-Apr	30-Apr	0.00	0.0
DELTEC ASSET MGMT.	040	GLW	S	5,000	27.06	n/a	29-Apr	250.00	5.0
FIRST NY SEC/CHRIS	041	GM	SS	25,000	22.09	29-Apr	2-May	1,500.00	6.0
WATER STREET CAPITAL	038	GM	SS	100,000	22.07	29-Apr	2-May	5,000.00	5.0
WEINTRAUB CAPITAL MGT.	040	GM	SS	50,000	22.00	29-Apr	2-May	2,000.00	4.0
LEDDY	035	GNTX	C	100	18.61	7-Apr	23-Apr	0.00	0.0
COUGAR TRADING	044	GPS	B	25,000	18.24	n/a	2-May	1,250.00	5.0
B BUCK CAPITAL MGMT		GSIC	S	78,800	13.47	n/a	n/a	non-brg	4.0
LEDDY	035	GSI	S	1,000	11.15	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	GS	B	250	196.50	19-Mar	14-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		GYMB	S	20,000	43.19	2-Apr	2-May	non-brg	1.0
1798 CAPITAL MGMT	040	HANS	B	5,000	35.46	n/a	n/a	250.00	5.0
MCCARTAN	028	HAR	SS	200	41.19	n/a	21-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		HBI+SF	SS	1,700	0.82	n/a	n/a	non-brg	100.0
J L F ASSET MGMT	039	HIBB	B	20,300	17.80	n/a	n/a	812.00	4.0
KAICHER	064	HK	B	2,000	26.22	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	HSP	B	9,400	41.99	n/a	22-Apr	470.00	5.0
NOMURA CAPITAL	045	IAR	S	69,000	4.43	n/a	n/a	690.00	1.0
NOLAN	067	ILA	S	225	3.69	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	INTC	S	4,200	23.45	n/a	n/a	189.00	4.5
NOMURA CAPITAL	045	IPHS	S	7,600	22.06	n/a	n/a	76.00	1.0
ARIENCE CAPITAL	036	IVZ	B	24,200	27.47	10-Apr	2-May	1,210.00	5.0
PHILADELPHIA FINANCIAL	033	IVZ	S	15,000	27.77	10-Apr	2-May	600.00	4.0
SCUDDER HEDGE	034	IVZ	B	5,000	27.02	10-Apr	2-May	250.00	5.0
LEDDY	035	IVZ	B	500	27.99	10-Apr	2-May	0.00	0.0
LEDDY	035	JADE	B	3,000	2.95	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	JCG	S	5,000	48.20	n/a	12-Mar	250.00	5.0
⌘ BUCK CAPITAL MGMT		JCP	C	50,000	43.97	4-Jan	2-May	non-brg	4.0
SINCLAIR	031	JGQFA	S	99	0.30	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	JOSB	B	30,000	25.04	n/a	n/a	1,500.00	5.0
SANDLER O'NEILL ASSET	031	JPM	B	25,000	47.34	17-Jan	16-Apr	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		KEG	B	100,000	14.46	14-Feb	6-May	non-brg	4.0
NEUBERGER BERMAN	036	KR	S	3,600	26.98	n/a	n/a	162.00	4.5
COUGAR TRADING	044	KSS	B	15,000	47.75	4-Jan	2-May	750.00	5.0
⌘ BUCK CAPITAL MGMT		KTQ+RP	SS	850	1.86	n/a	n/a	non-brg	300.0
MCCARTAN	028	LMIA	B	300	19.14	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LM	B	10,000	56.91	7-May	2-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LM	B	24,200	58.85	7-May	2-May	non-brg	4.0
N STRATTON	014	LNET	B	1,500	6.15	n/a	n/a	0.00	0.0
XYLEM GLOBAL	091	LPX	B	54,500	11.06	7-Apr	7-Apr	2,180.00	4.0
BUCK CAPITAL MGMT	001	LTD	SS	10,000	18.08	n/a	2-May	400.00	4.0
N STRATTON	014	LTRE	SS	100	17.45	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DE SHAW	040	LVLTT	B	250,000	3.13	n/a	4-Jan	7,500.00	3.0
CRAMER ROSENTHAL/SCOTT	012	MAT	B	243,900	18.81	n/a	n/a	9,756.00	4.0
BUCK	015	MER	SS	1,000	50.82	18-Jan	17-Apr	0.00	0.0
NEUBERGER BERMAN	036	MIR	S	23,400	41.03	n/a	n/a	1,053.00	4.5
AMATURO	018	MO	S	1,000	20.75	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	MSFT	S	4,400	29.56	n/a	3-Apr	198.00	4.5
WILLOW CREEK	033	MU	SS	10,000	8.15	n/a	n/a	400.00	4.0
JP MORGAN VENTURES	046	MW	S	40,000	25.51	n/a	n/a	2,000.00	5.0
NEUBERGER BERMAN	036	NWSA	S	4,600	18.79	n/a	n/a	207.00	4.5
CLOVIS CAPITAL	012	NYX	B	100,000	71.48	n/a	n/a	5,000.00	5.0
CLOVIS CAPITAL	012	NYX	SS	100,000	69.99	n/a	n/a	5,000.00	5.0
B BUCK CAPITAL MGMT		OIH	SS	8,800	201.78	n/a	n/a	non-brg	2.0
BULL PATH CAPITAL	044	OI	B	20,000	53.78	n/a	n/a	1,000.00	5.0
GRONET	030	OPY	S	400	37.12	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	ORCL	S	4,700	21.63	n/a	n/a	211.50	4.5
WILLOW CREEK	033	OXM	SS	5,000	27.92	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		PAG	S	40,000	20.94	22-Apr	30-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PAG	S	60,000	20.94	22-Apr	30-Apr	non-brg	1.0
XYLEM GLOBAL	091	PCH	S	50,000	44.81	28-Apr	28-Apr	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		PERY	S	5,000	22.77	n/a	n/a	non-brg	2.5
JP MORGAN VENTURES	046	PFE	B	250,000	20.41	n/a	31-Mar	12,500.00	5.0
HOOVER INV. MGMT	033	PLCE	B	27,600	27.86	2-May	2-May	1,380.00	5.0
JP MORGAN VENTURES	046	PLCE	S	35,000	27.38	2-May	2-May	1,750.00	5.0
METROPOLITAN CAPITAL	044	PLCE	S	29,700	27.84	2-May	2-May	1,485.00	5.0
⌘ BUCK CAPITAL MGMT		PSS	S	60,000	10.25	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		PSS	S	60,000	10.27	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		PSUN	SS	30,000	12.78	n/a	2-May	non-brg	4.0
COUGAR TRADING	044	PSUN	B	5,000	12.27	n/a	2-May	250.00	5.0
XYLEM GLOBAL	091	PTV	SS	100,000	23.57	n/a	n/a	5,000.00	5.0
XYLEM GLOBAL	091	PTV	S	176,551	23.51	n/a	n/a	8,827.55	5.0
MERRILL ASSET	032	PVH	S	19,500	43.42	5-May	5-May	877.50	4.5
SINCLAIR	031	QPRGC	B	40	1.60	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		QZS+FF	SS	1,000	1.10	n/a	n/a	non-brg	300.0
FIRST NEW YORK NICK	029	Q	S	5,000	5.06	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ACK ASSET	028	RL	SS	5,000	60.05	7-Feb	6-May	200.00	4.0
COUGAR TRADING	044	RL	SS	5,000	60.74	7-Feb	6-May	250.00	5.0
KACELA CAPITAL	034	ROST	B	15,000	33.58	n/a	n/a	600.00	4.0
WILLOW CREEK	033	SAP	SS	4,000	49.79	n/a	n/a	160.00	4.0
J L F ASSET MGMT	039	SHLD	SS	14,200	95.00	n/a	n/a	568.00	4.0
WILLOW CREEK	033	SHW	SS	3,000	56.05	n/a	22-Apr	120.00	4.0
B BUCK CAPITAL MGMT		SKF	S	50,000	95.45	n/a	n/a	non-brg	2.0
BLUE HARBOR	036	SONC	B	16,406	22.27	n/a	n/a	820.30	5.0
KACELA CAPITAL	034	SPLS	B	15,000	21.96	n/a	27-Jul	600.00	4.0
RAINIER INV MGMT	035	SPN	B	99,800	50.40	n/a	n/a	4,990.00	5.0
JP MORGAN VENTURES	046	SPY	SS	50,000	141.26	n/a	n/a	1,500.00	3.0
WILLOW CREEK	033	SSD	SS	3,000	24.44	n/a	n/a	120.00	4.0
ROTHSCHILD ASSET	040	STT	B	10,280	74.48	n/a	n/a	462.60	4.5
B BUCK CAPITAL MGMT		TIF+QH	C	1,250	0.45	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		TIF+RH	SS	1,250	1.62	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		TIF	SS	50,000	41.82	14-Jan	25-Mar	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WILLOW CREEK	033	TIF	SS	2,500	42.29	14-Jan	25-Mar	100.00	4.0
IRONBOUND CAPITAL	012	TIN	B	9,800	11.65	29-Apr	29-Apr	490.00	5.0
Ⓐ BUCK CAPITAL MGMT		TJX+GG	SS	1,000	0.83	n/a	n/a	non-brg	100.0
KACELA CAPITAL	034	TJX	S	35,000	32.04	n/a	2-May	1,400.00	4.0
LEDDY	035	TROW	SS	300	63.10	9-Jan	2-May	0.00	0.0
KAICHER	064	TSO	S	2,000	24.56	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	UA	B	7,500	35.14	n/a	n/a	300.00	4.0
RHO CAPITAL MGMT	041	UNG	B	1,000	53.11	n/a	n/a	40.00	4.0
ELM RIDGE ADVISORS	044	USG	S	25,100	37.25	23-Apr	23-Apr	1,004.00	4.0
AMATURO	018	UTI	B	2,000	11.84	n/a	n/a	0.00	0.0
AMATURO	018	UTI	S	2,000	11.89	n/a	n/a	0.00	0.0
SINCLAIR	031	VCFA	S	300	0.13	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		VCGH	S	5,000	4.42	n/a	n/a	non-brg	3.0
XYLEM GLOBAL	091	VCP	B	61,300	31.30	n/a	n/a	3,065.00	5.0
SUNOVA CAPITAL	031	WDR	S	25,000	34.84	22-Apr	2-May	1,250.00	5.0
STADIA CAPITAL	035	WMS	SS	25,000	35.25	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		WRNC	B	20,000	44.19	3-Apr	28-Apr	non-brg	4.0
JP MORGAN VENTURES	046	WSM	SS	15,000	27.00	n/a	n/a	750.00	5.0
KAICHER	064	XCO	S	1,000	24.62	n/a	n/a	0.00	0.0
BENCHMARK	028	XLE	B	5,000	84.18	n/a	n/a	250.00	5.0
EPOCH INV MGMT	030	YHOO	S	29,320	25.42	n/a	n/a	1,172.80	4.0
LISTED ERR	099	YHOO	B	680	25.37	n/a	n/a	0.00	0.0
LISTED ERR	099	YHOO	S	680	25.45	n/a	n/a	0.00	0.0
SINCLAIR	031	ZXCAJ	S	5	16.90	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							192,665.37		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/05	LISTED ERR	099	APA	S	100	133.45	20-Mar	1-May	0.00	0.0
AO,CXL	05/05	FORWARD MGMT	033	APA	S	200	133.45	20-Mar	1-May	10.00	5.0
AO,CRT	05/05	FORWARD MGMT	033	APA	S	100	133.45	20-Mar	1-May	5.00	5.0
AO,CRT	04/30	ARCHON PARTNERS	032	GLW	B	130,000	26.97	n/a	29-Apr	3900.00	3.0
AO,CXL	04/30	ARCHON PARTNERS	032	GLW	B	130,000	26.97	n/a	29-Apr	6500.00	5.0
AO,CRT	05/02	NICOLAS APPELEGATE	048	JNY	B	1,200	17.20	n/a	1-May	36.00	3.0
AO,CXL	05/02	NICOLAS APPELEGATE	048	JNY	B	1,200	17.20	n/a	1-May	36.00	3.0
AO,CXL	05/05	JETSTREAM GLOBAL FUND LP	065	QCOM	B	307,400	43.84	n/a	n/a	15370.00	5.0
AO,CRT	05/05	JETSTREAM GLOBAL FUND LP	065	QCOM	B	307,400	43.84	n/a	n/a	15370.00	5.0
AO,CRT	05/05	BUCK CAPITAL MGMT	015	YHQJF	S	20	1.21	n/a	n/a	0.00	0.0
AO,CXL	05/05	BUCK CAPITAL MGMT	015	YHQJF	S	20	1.21	n/a	n/a	0.00	0.0
TOTAL (AO, CXL, CRT) :										-2,605.00	
TOTAL (Trade Date) :										192,665.37	
TOTAL (AO, CXL, CRT) :										-2,605.00	
TOTAL (RUN DATE) / NFS :										190,060.37	

MRI Securities with Trade Activity on 6-May.
NONE

MRI Securities without Trade Activity on 6-May.
ARG, CRR, SMG

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 1%
YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION