



## Daily Compliance Activity Report

Trade Date: 04/01/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>MAGUIRE</b>	<b>090</b>	<b>AAI</b>	<b>S</b>	<b>3,350</b>	<b>6.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	AMX	B	459,928	65.85	n/a	n/a	18,397.12	4.0
COUGAR TRADING	044	ANF	B	3,000	74.31	10-Jan	10-Mar	150.00	5.0
ADAGE CAPITAL MGMT	030	ANN	S	52,100	25.12	14-Mar	14-Mar	2,084.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>ANN</b>	<b>B</b>	<b>6,200</b>	<b>24.95</b>	<b>14-Mar</b>	<b>14-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>ANN</b>	<b>SS</b>	<b>6,200</b>	<b>24.93</b>	<b>14-Mar</b>	<b>14-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>ANR</b>	<b>B</b>	<b>5,000</b>	<b>41.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>ARGN</b>	<b>S</b>	<b>600</b>	<b>15.80</b>	<b>n/a</b>	<b>25-Feb</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	ARO	B	30,000	28.45	29-Nov	14-Mar	1,200.00	4.0
COUGAR TRADING	044	ARO	S	20,000	28.14	29-Nov	14-Mar	1,000.00	5.0
WHALE ROCK CAP	030	ASCA	B	18,619	18.92	n/a	22-Feb	744.76	4.0
MERRILL ASSET	032	ASF	B	13,400	24.09	n/a	n/a	603.00	4.5
1798 CAPITAL MGMT	040	BBY	B	20,000	42.23	n/a	27-Jul	1,000.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>BSC</b>	<b>S</b>	<b>39</b>	<b>10.76</b>	<b>17-Mar</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>MAGUIRE</b>	<b>090</b>	<b>BSC</b>	<b>S</b>	<b>1,750</b>	<b>10.87</b>	<b>17-Mar</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
SCOPUS FUND	041	BWA	B	15,000	45.05	14-Jan	8-Feb	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
METROPOLITAN CAPITAL	044	BWS	S	28,100	16.01	n/a	n/a	1,405.00	5.0
THIRD COAST CAPITAL	035	CAO	B	31,000	11.63	n/a	n/a	1,550.00	5.0
GALLEON MGMT LP	033	CHD	S	10,000	55.43	11-Mar	11-Mar	500.00	5.0
<b>MADIGAN</b>	<b>031</b>	<b>CMO</b>	<b>B</b>	<b>1,060</b>	<b>12.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	COH	S	15,000	31.54	24-Oct	14-Mar	750.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>COV</b>	<b>S</b>	<b>500</b>	<b>44.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
1798 CAPITAL MGMT	040	CPKI	SS	10,000	13.50	n/a	n/a	500.00	5.0
RHO CAPITAL MGMT	041	CPST	S	20,000	2.40	n/a	n/a	600.00	3.0
1798 CAPITAL MGMT	040	CROX	SS	30,000	17.44	n/a	n/a	1,500.00	5.0
MERRILL ASSET	032	CRR	B	10,300	39.75	13-Feb	1-Apr	463.50	4.5
<b>MADIGAN</b>	<b>031</b>	<b>C</b>	<b>S</b>	<b>175</b>	<b>23.36</b>	<b>16-Jan</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>CATTANI</b>	<b>041</b>	<b>C</b>	<b>S</b>	<b>300</b>	<b>23.60</b>	<b>16-Jan</b>	<b>17-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>SINCUTMA</b>	<b>031</b>	<b>DGP</b>	<b>S</b>	<b>140</b>	<b>21.02</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>MADIGAN</b>	<b>031</b>	<b>DGP</b>	<b>S</b>	<b>420</b>	<b>20.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BULL PATH CAPITAL	044	DPZ	S	45,000	13.84	n/a	24-Apr	2,250.00	5.0
<b>GAULT</b>	<b>063</b>	<b>DUG</b>	<b>B</b>	<b>1,000</b>	<b>37.29</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	DXD	B	1,000	53.31	n/a	n/a	0.00	0.0
J COHEN	N27	DXD	B	27	53.42	n/a	n/a	0.00	0.0
AAD CAPITAL	048	EL	SS	100,000	46.65	4-Jan	1-Apr	4,000.00	4.0
BUCK CAPITAL MGMT	001	ETN	B	10,000	79.81	28-Mar	28-Mar	400.00	4.0
SUNOVA CAPITAL	031	EV	B	40,000	32.05	9-Jan	1-Apr	2,000.00	5.0
BUCK CAPITAL MGMT	001	FDO	B	120,000	20.55	8-Jan	1-Apr	4,800.00	4.0
LEDDY	035	FIG	B	1,000	12.85	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	FL	B	75,000	12.07	n/a	12-Mar	3,000.00	4.0
WHEELER	008	FOR	S	5,066	24.56	n/a	n/a	0.00	0.0
THIRD COAST CAPITAL	035	FRED	B	11,000	10.62	27-Mar	27-Mar	550.00	5.0
WHEELER	008	GFG	S	10,000	11.17	n/a	n/a	0.00	0.0
BARR	096	GLD	B	300	87.45	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	GLW	S	10,000	24.55	n/a	27-Mar	500.00	5.0
LISTED ERR	099	GLW	B	10,000	24.49	n/a	27-Mar	0.00	0.0
LISTED ERR	099	GLW	S	10,000	24.49	n/a	27-Mar	0.00	0.0
HEALY CIRCLE	044	GM	SS	10,000	19.91	3-Jan	31-Mar	500.00	5.0
WOOSTER CAPITAL	035	GM	SS	100,000	19.77	3-Jan	31-Mar	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GOLD SACHS SPECIAL SIT	030	GNTX	SS	300,000	17.99	25-Jan	29-Jan	15,000.00	5.0
COUGAR TRADING	044	GYMB	S	15,000	41.68	n/a	13-Mar	750.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>HSC</b>	<b>S</b>	<b>250</b>	<b>57.27</b>	<b>28-Mar</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>ICON</b>	<b>S</b>	<b>1,000</b>	<b>18.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PHILADELPHIA FINANCIAL	033	IVZ	S	26,287	25.27	10-Mar	1-Apr	1,314.35	5.0
STADIA CAPITAL	035	JBX	B	13,100	28.36	n/a	24-Apr	524.00	4.0
COUGAR TRADING	044	JCG	S	10,000	47.09	28-Nov	12-Mar	500.00	5.0
MILLENIUM PARTNERS	028	JNS	B	24,400	24.03	24-Mar	1-Apr	1,220.00	5.0
SUNOVA CAPITAL	031	JNS	B	125,000	24.66	24-Mar	1-Apr	6,250.00	5.0
<b>CHAPPELL</b>	<b>073</b>	<b>JSVAX</b>	<b>B</b>	<b>108</b>	<b>18.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>KMX</b>	<b>B</b>	<b>120,000</b>	<b>19.29</b>	<b>n/a</b>	<b>10-Jan</b>	<b>4,800.00</b>	<b>4.0</b>
WASHINGTON ASSET	048	KMX	SS	8,000	19.20	n/a	10-Jan	400.00	5.0
BEAR STEARNS ASSET MGMT.	033	KSS	B	142,000	45.07	4-Jan	31-Mar	5,680.00	4.0
SOCIETY GENERALE	040	KSS	S	5,000	44.02	4-Jan	31-Mar	200.00	4.0
MILLENIUM PARTNERS	028	LM	B	67,200	57.39	24-Mar	1-Apr	3,360.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>B</b>	<b>40,000</b>	<b>17.70</b>	<b>n/a</b>	<b>7-Mar</b>	<b>1,600.00</b>	<b>4.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LVS</b>	<b>SS</b>	<b>20,000</b>	<b>77.30</b>	<b>n/a</b>	<b>n/a</b>	<b>800.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>HART</b>	<b>056</b>	<b>MERSA</b>	<b>S</b>	<b>5</b>	<b>2.31</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IVORY CAPITAL	034	MU	B	300,000	6.00	n/a	n/a	7,500.00	2.5
SYSTEMATIC FINANCIAL MGT	035	NKE	S	121,000	69.09	n/a	n/a	4,537.50	3.8
CENTURION GLOBAL MGMT LL	041	NOK	B	7,300	33.42	n/a	n/a	219.00	3.0
JP MORGAN VENTURES	046	ODP	B	15,000	11.43	n/a	27-Jul	750.00	5.0
CAXTON PART	040	ORCL	B	150,000	19.86	n/a	n/a	5,250.00	3.5
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>OXM</b>	<b>SS</b>	<b>10,000</b>	<b>24.63</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	OXM	B	3,800	24.90	n/a	n/a	190.00	5.0
COUGAR TRADING	044	PAG	B	30,000	19.55	6-Feb	20-Mar	1,500.00	5.0
SCOPUS FUND	041	PAG	B	40,000	19.31	6-Feb	20-Mar	2,000.00	5.0
TRELLUS PARTNERS LP	028	PAG	C	108,300	19.64	6-Feb	20-Mar	5,415.00	5.0
WILLOW CREEK	033	PAG	B	40,000	19.30	6-Feb	20-Mar	1,600.00	4.0
SOMERSET ADV	044	PEI	S	18,700	24.85	n/a	n/a	748.00	4.0
1798 CAPITAL MGMT	040	PLCE	S	15,000	25.05	24-Mar	24-Mar	750.00	5.0
TOCQUEVILLE ASSET MGMT	048	PRFT	B	73,700	8.16	n/a	n/a	3,685.00	5.0
THOMSON HORSTMAN BRYANT	040	PVH	B	65,000	39.58	13-Mar	26-Mar	3,250.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RCL</b>	<b>B</b>	<b>20,000</b>	<b>33.91</b>	<b>n/a</b>	<b>31-Jan</b>	<b>800.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MATRIX ASSET ADV.	036	ROST	S	15,000	31.02	n/a	n/a	600.00	4.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>RYN</b>	<b>S</b>	<b>50,000</b>	<b>44.23</b>	<b>8-Jan</b>	<b>25-Jan</b>	<b>2,500.00</b>	<b>5.0</b>
<b>SUTERA</b>	<b>160</b>	<b>SDS</b>	<b>B</b>	<b>1,000</b>	<b>59.69</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BARR</b>	<b>096</b>	<b>SLV</b>	<b>B</b>	<b>150</b>	<b>163.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>SSCC</b>	<b>S</b>	<b>1,100</b>	<b>7.82</b>	<b>8-Jan</b>	<b>30-Jan</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>SSO</b>	<b>S</b>	<b>500</b>	<b>70.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>CATTANI</b>	<b>041</b>	<b>SWN</b>	<b>S</b>	<b>200</b>	<b>33.60</b>	<b>20-Mar</b>	<b>1-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>SWY</b>	<b>B</b>	<b>50,000</b>	<b>29.82</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
NATIONAL BK OF CAN	031	TCB	SS	50,000	18.45	n/a	n/a	2,000.00	4.0
<b>MAGUIRE</b>	<b>090</b>	<b>TEL</b>	<b>S</b>	<b>500</b>	<b>34.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PRINCIPLE CAPITAL	040	TPX	S	20,300	11.83	n/a	n/a	609.00	3.0
NOMURA CAPITAL	045	TRMP	S	20,590	3.65	n/a	n/a	617.70	3.0
<b>SINCLAIR</b>	<b>031</b>	<b>VGNMD</b>	<b>B</b>	<b>25</b>	<b>5.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GRANAHAN INV	039	WBC	B	11,900	45.99	28-Mar	28-Mar	476.00	4.0
RAMIUS CAP	040	WDR	SS	42,500	33.58	21-Nov	1-Apr	2,125.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MAGUIRE	090	WHT	S	5,000	2.72	n/a	n/a	0.00	0.0
AVESTA CAP	229	WMT	B	25,000	53.94	n/a	7-Mar	1,250.00	5.0
NATIONAL BK OF CAN	031	XLFX	S	40,000	25.89	n/a	n/a	1,600.00	4.0
NOMURA CAPITAL	045	XOHO	S	1,100	1.29	n/a	n/a	22.00	2.0
COUGAR TRADING	044	ZQK	S	10,000	10.30	5-Feb	7-Mar	500.00	5.0
TRIVIUM CAP MGMT	251	ZQK	S	50,000	10.29	5-Feb	7-Mar	2,000.00	4.0
<b>TOTAL (Trade Date):</b>								<b>153,489.93</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	03/31	MORGAN BANK /JPM (JPMBPBD)	012	GE	S	8,589	36.71	n/a	n/a	257.67	3.0
AO,	03/31	MORGAN BANK /JPM (JPMBPCS)	012	GE	S	2,360	36.71	n/a	n/a	70.80	3.0
AO,	03/31	KENSICO CAPITAL (KENSUBSUSE)	041	JPM	B	250,000	43.65	17-Jan	25-Mar	12500.00	5.0
AO,	03/31	SOMERSET ADV (SOMEMAINUB)	044	PEI	S	4,300	24.92	n/a	n/a	172.00	4.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB70005)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26552)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB23460)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB70005)	048	PRFT	B	200	8.05	n/a	n/a	10.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26566)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB34059)	048	PRFT	B	200	8.05	n/a	n/a	10.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83001)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26650)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB36148)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83001)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26103)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB95001)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83002)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB12083)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB86256)	048	PRFT	B	200	8.05	n/a	n/a	10.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83002)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB05521)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQCALLAN)	048	PRFT	B	3,900	8.05	n/a	n/a	195.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83234)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB05760)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0



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AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26049)	048	PRFT	B	200	8.05	n/a	n/a	10.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83367)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB01083)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQM04877)	048	PRFT	B	500	8.05	n/a	n/a	25.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83292)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQSCHWAS)	048	PRFT	B	10,700	8.05	n/a	n/a	535.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26233)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83369)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB36015)	048	PRFT	B	200	8.05	n/a	n/a	10.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB28143)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB95112)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQS11596)	048	PRFT	B	2,300	8.05	n/a	n/a	115.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB28255)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83368)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQS11896)	048	PRFT	B	700	8.05	n/a	n/a	35.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB28291)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83014)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB95165)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB28245)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQS11989)	048	PRFT	B	400	8.05	n/a	n/a	20.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB95001)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB28290)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQS22339)	048	PRFT	B	1,700	8.05	n/a	n/a	85.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB10770)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB34278)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQS22342)	048	PRFT	B	4,700	8.05	n/a	n/a	235.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB02501)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB28201)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQS26079)	048	PRFT	B	60,600	8.05	n/a	n/a	3030.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83273)	048	PRFT	B	400	8.05	n/a	n/a	20.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB28355)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQS80044)	048	PRFT	B	700	8.05	n/a	n/a	35.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83271)	048	PRFT	B	200	8.05	n/a	n/a	10.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB34077)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83101)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB34108)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26533)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB34010)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB28307)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB44002)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB28092)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83214)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26276)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB44244)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB03295)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26692)	048	PRFT	B	600	8.05	n/a	n/a	30.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB63079)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB34083)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26544)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB25335)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB01724)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26067)	048	PRFT	B	200	8.05	n/a	n/a	10.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB44106)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQS03858)	048	PRFT	B	400	8.05	n/a	n/a	20.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB68282)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83001)	048	PRFT	B	200	8.05	n/a	n/a	10.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQ445940)	048	PRFT	B	3,300	8.05	n/a	n/a	165.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26057)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB68011)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB83192)	048	PRFT	B	100	8.05	n/a	n/a	5.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB68023)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26244)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB36135)	048	PRFT	B	600	8.05	n/a	n/a	30.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB68003)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26415)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26672)	048	PRFT	B	400	8.05	n/a	n/a	20.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB7563)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB26245)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	TOCQUEVILLE ASSET MGMT (TOCQB34090)	048	PRFT	B	100	8.05	n/a	n/a	5.00	5.0
AO,	03/31	NOMURA CAPITAL (NOMUNOCBO2)	045	TRMP	S	4,934	3.65	n/a	n/a	148.02	3.0
AO,	03/31	NOMURA CAPITAL (NOMUBPCDO)	045	TRMP	S	42	3.65	n/a	n/a	1.26	3.0
AO,	03/31	NOMURA CAPITAL (NOMUCLYCBO)	045	TRMP	S	340	3.65	n/a	n/a	10.20	3.0
AO,	03/31	NOMURA CAPITAL (NOMUCLYCBO)	045	XOHO	S	300	1.29	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUNOCBO1)	045	XOHO	S	25	1.29	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUNOCBO2)	045	XOHO	S	600	1.29	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUBPCDO)	045	XOHO	S	75	1.29	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUCLYCBO)	045	XOHOL	S	1,475	0.04	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUNOCBO1)	045	XOHOL	S	25	0.04	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUNOCBO2)	045	XOHOL	S	3,075	0.04	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUBPCDO)	045	XOHOL	S	425	0.04	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUCLYCBO)	045	XOHOW	S	2,648	0.10	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUNOCBO1)	045	XOHOW	S	3,307	0.10	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUNOCBO2)	045	XOHOW	S	10,447	0.10	n/a	n/a	0.00	0.0
AO,	03/31	NOMURA CAPITAL (NOMUBPCDO)	045	XOHOW	S	1,323	0.10	n/a	n/a	0.00	0.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>18,159.95</b>	

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TOTAL (Trade Date):	153,489.93
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TOTAL (AO, CXL, CRT):	18,159.95
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>171,649.88</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION