



Daily Compliance Activity Report

Trade Date: 02/10/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NCM CAPITAL MGMT	035	ACI	B	9,400	33.00	n/a	n/a	376.00	4.0
f JOEL GIFFORD TISS	FID	AERN	S	5	0.07	n/a	n/a	0.00	0.0
f HARALAMBOS EFSTATHI	FID	AKAM	B	300	40.13	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	ALSRX	S	30	29.56	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		AMD	SS	100,000	8.18	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	ARO	B	30,000	25.43	n/a	4-Feb	900.00	3.0
f MICHAEL MARRANO	FID	AVPAX	S	40	20.38	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		AVY	B	1,300	39.71	n/a	3-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		AVY	B	2,100	39.71	n/a	3-Feb	non-brg	4.0
OSKIE CAPITAL MGMT	224	AXL	S	10,000	14.16	n/a	9-Feb	500.00	5.0
f MANNY I KORMAN	FID	AXP	B	7000	46.05	10-Feb	10-Feb	0.00	0.0
GREEN ARROW DOUG	040	BBBY	SS	21,000	49.71	n/a	n/a	840.00	4.0
f KAREN F LEDDY	FID	BLDR	S	2000	2.27	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		BODY	B	200	16.97	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BODY	B	200	16.97	n/a	n/a	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOEL GIFFORD TISS	FID	BSX	S	100	6.91	n/a	n/a	0.00	0.0
f NOLAN ANDREW TISS	FID	BSX	S	400	6.90	n/a	n/a	0.00	0.0
SAC TRADIN/BINDER	044	BYD	B	19,547	12.06	n/a	n/a	684.15	3.5
ß BUCK CAPITAL MGMT		BYI	B	1,000	39.32	n/a	3-Feb	non-brg	4.0
ß BUCK CAPITAL MGMT		BYI	B	600	39.32	n/a	3-Feb	non-brg	4.0
Õ Nilsa Vazquez		BZH	B	1000	5.25	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CAR	S	25,000	15.46	n/a	n/a	non-brg	8.0
f DAVID GEORGE BUCK	FID	CAT	B	500	100.55	n/a	28-Jan	0.00	0.0
MERRILL ASSET/BLACKROCK	266	CLI	B	92,700	34.80	n/a	n/a	3,708.00	4.0
f CHRISTOPHER ROBERT C	FID	COH	S	100	57.42	n/a	26-Jan	0.00	0.0
PROSPECTOR PARTNERS LLC	036	CPN	S	10,000	14.20	n/a	n/a	400.00	4.0
f MICHAEL MARRANO	FID	CRMMX	S	60	29.96	n/a	n/a	0.00	0.0
GREEN ARROW DOUG	040	CROX	B	26,000	17.81	n/a	n/a	1,040.00	4.0
f MICHAEL MARRANO	FID	CRSOX	S	30	9.48	n/a	n/a	0.00	0.0
f ANGELOS EFSTATHIOU	FID	CSCO	B	200	19.40	n/a	n/a	0.00	0.0
f BERNA GABRIELLE BARS	FID	CSCO	B	300	19.23	n/a	n/a	0.00	0.0
f HARALAMBOS EFSTATHI	FID	CSCO	B	700	19.42	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ	May Tang		CSCO	B	300	19.17	n/a	n/a	0.00	0.0
	NCM CAPITAL MGMT	035	CTL	S	18,300	44.53	n/a	n/a	732.00	4.0
ß	BUCK CAPITAL MGMT		C	B	11,100	4.78	n/a	18-Jan	non-brg	3.0
ß	BUCK CAPITAL MGMT		C	B	18,100	4.78	n/a	18-Jan	non-brg	3.0
f	MICHAEL MARRANO	FID	C	S	1000	4.79	n/a	18-Jan	0.00	0.0
	SANDLER O'NEILL ASSET	031	C	B	98,100	4.80	n/a	18-Jan	1,962.00	2.0
ß	BUCK CAPITAL MGMT		DAN	S	50,000	17.98	n/a	31-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		DAN	S	50,000	17.99	n/a	31-Jan	non-brg	8.0
ß	BUCK CAPITAL MGMT		DAN	S	75,000	18.02	n/a	31-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		DG	SS	100,000	27.68	n/a	21-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		DHI	B	4,100	12.35	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		DHI	B	6,700	12.35	n/a	n/a	non-brg	4.0
f	DAVID GEORGE BUCK	FID	DJP	S	2000	49.43	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		DLTR	SS	50,000	49.45	n/a	21-Jan	non-brg	4.0
ß	BUCK CAPITAL MGMT		DLTR	SS	50,000	49.50	n/a	21-Jan	non-brg	4.0
f	MICHAEL MARRANO	FID	EAEMX	S	40	15.23	n/a	n/a	0.00	0.0
f	SCOTT L BRUNNER	FID	FAS	B	1000	32.05	n/a	n/a	0.00	0.0
f	KAREN F LEDDY	FID	FCH	S	1000	7.78	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	FDO	SS	100,000	43.79	n/a	2-Feb	3,000.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		FITB	B	2,000	15.04	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		FITB	B	3,300	15.04	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		FOSL	S	10,000	80.00	n/a	n/a	non-brg	4.0
f MICHAEL MARRANO	FID	FRESX	S	25	27.03	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	FSS	S	1500	7.10	n/a	n/a	0.00	0.0
UNITED STATES PENSION	085	GE	S	515,000	21.10	n/a	n/a	20,600.00	4.0
f MICHAEL MARRANO	FID	GIESX	S	352	11.85	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		GIII	S	15,001	37.94	n/a	n/a	non-brg	1.0
GLOBAL 56 LLC	060	GLUU	B	5,000	3.65	n/a	n/a	150.00	3.0
f JONATHAN RICHARD HAR	FID	GMCR	B	480	41.44	n/a	n/a	0.00	0.0
STANDARD PACIFIC CAPITAL	040	GM	S	80,000	36.23	n/a	2-Feb	4,000.00	5.0
B BUCK CAPITAL MGMT		HAL	S	50,000	44.36	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	HBC	S	1000	57.37	n/a	n/a	0.00	0.0
KLEINHEINZ CAP PART	031	HBI	S	100,000	25.88	n/a	28-Jan	5,000.00	5.0
SAC TRADIN/BINDER	044	HBI	B	50,000	25.94	n/a	28-Jan	1,750.00	3.5
f SCOTT L BRUNNER	FID	HL	B	1000	9.40	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	SCOTT L BRUNNER	FID	HL	B	1000	9.41	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		HTZ	S	50,000	16.25	n/a	n/a	non-brg	8.0
f	MANNY I KORMAN	FID	ICON	B	138	5.55	n/a	n/a	0.00	0.0
f	DAVID GEORGE BUCK	FID	IVZ	B	1500	25.21	n/a	2-Mar	0.00	0.0
f	MICHAEL MARRANO	FID	JUESX	S	180	10.70	n/a	n/a	0.00	0.0
f	JOEL GIFFORD TISS	FID	KAI	S	6	21.82	n/a	n/a	0.00	0.0
f	JOEL GIFFORD TISS	FID	KEP	S	250	12.37	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		KEY	S	571,580	9.39	n/a	n/a	non-brg	6.0
	NCM CAPITAL MGMT	035	LEAP	B	51,580	13.68	n/a	n/a	2,063.20	4.0
B	BUCK CAPITAL MGMT		LEN	S	20,000	20.72	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		LL	S	4,000	27.86	n/a	n/a	non-brg	4.0
	TRUSTCO/SUNTRUST	040	MAS	S	54,025	14.50	n/a	n/a	1,890.88	3.5
f	MICHAEL MARRANO	FID	MCGFX	S	130	25.16	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		MDC	B	1,300	31.23	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		MDC	B	800	31.23	n/a	n/a	non-brg	4.0
	RBC CAPITAL MARKETS	040	MGM	B	50,000	15.49	n/a	10-Feb	2,000.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARRIER HAWK MGMT	028	MMI	SS	22,500	31.47	n/a	n/a	900.00	4.0
SOROS	036	NCS	S	5,989	13.52	n/a	n/a	239.56	4.0
NCM CAPITAL MGMT	035	NFX	B	1,900	70.94	n/a	n/a	76.00	4.0
BUTTE CAPITAL MGMT LLC	084	PCAR	B	5,000	51.47	n/a	2-Feb	250.00	5.0
f CHRISTOPHER ROBERT C	FID	PCH	S	250	38.11	n/a	9-Feb	0.00	0.0
f DAVID GEORGE BUCK	FID	PEP	B	1000	63.09	n/a	n/a	0.00	0.0
BALLAST CAP	040	PGR	S	11,000	20.03	n/a	n/a	550.00	5.0
f MICHAEL MARRANO	FID	PHYAX	S	509	9.48	n/a	n/a	0.00	0.0
GREEN ARROW DOUG	040	PIR	S	34,000	10.17	n/a	n/a	1,360.00	4.0
B BUCK CAPITAL MGMT		PLCE	B	20,000	42.95	n/a	13-Jan	non-brg	4.0
B BUCK CAPITAL MGMT		PNRA	SS	10,000	99.95	10-Feb	10-Feb	non-brg	4.0
SYSTEMATIC FINANCIAL MGT	035	PVH	S	78,000	61.55	n/a	1-Feb	2,340.00	3.0
B BUCK CAPITAL MGMT		RF	S	500,200	7.72	n/a	n/a	non-brg	6.0
f CHRISTOPHER ROBERT C	FID	RRR	B	400	12.44	n/a	12-Jan	0.00	0.0
GREEN ARROW DOUG	040	SBUX	SS	28,000	33.15	n/a	27-Jan	1,120.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		SCS	B	75,000	9.98	n/a	n/a	non-brg	3.0
CATAPULT	040	SINA	B	16,000	93.72	n/a	n/a	640.00	4.0
BUCK CAPITAL MGMT		SLB	SS	25,000	89.35	n/a	n/a	non-brg	8.0
BUCK CAPITAL MGMT		SLB	S	5,000	89.35	n/a	n/a	non-brg	8.0
JOSEPH CIRO AMATURO	FID	SLW	B	2500	34.04	n/a	n/a	0.00	0.0
JOSEPH CIRO AMATURO	FID	SLW	B	5000	34.16	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SODA	S	5,700	44.11	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SPLS	SS	200,000	21.97	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		SWN	B	1,100	36.87	n/a	11-Jan	non-brg	2.5
BUCK CAPITAL MGMT		SWN	B	700	36.87	n/a	11-Jan	non-brg	2.5
SAC CHICAGO ANDREW	031	SYX	B	200,000	28.07	n/a	n/a	7,000.00	3.5
REUBEN D DVORETSKY	FID	TACT	B	575	9.50	n/a	n/a	0.00	0.0
HARALAMBOS EFSTATHI	FID	TBT	B	300	40.97	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		TEX	S	100,600	36.08	n/a	22-Oct	non-brg	8.0
BUCK CAPITAL MGMT		TEX	S	20,000	36.37	n/a	22-Oct	non-brg	4.0
BUCK CAPITAL MGMT		TEX	S	80,000	35.97	n/a	22-Oct	non-brg	4.0
BUCK CAPITAL MGMT		TGT	B	400	54.59	n/a	4-Feb	non-brg	2.5
BUCK CAPITAL MGMT		TGT	B	800	54.59	n/a	4-Feb	non-brg	2.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f NOLAN ANDREW TISS	FID	THC	S	300	6.94	n/a	n/a	0.00	0.0
f MICHAEL MARRANO	FID	TMDPX	S	40	14.69	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TSCO	SS	50,000	52.73	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		TWM	B	10,000	11.56	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		TWM	B	25,000	11.58	n/a	n/a	non-brg	2.0
SOROS	036	UPS	C	75,000	74.77	n/a	n/a	3,000.00	4.0
f JONATHAN RICHARD HAR	FID	URRE	S	2000	3.12	n/a	n/a	0.00	0.0
f JOEL GIFFORD TISS	FID	WEN	S	1000	4.89	n/a	28-Jan	0.00	0.0
f NOLAN ANDREW TISS	FID	WEN	S	500	4.88	n/a	28-Jan	0.00	0.0
THE JAY GOLDMAN	028	WMT	S	150,000	55.49	n/a	27-Jan	6,000.00	4.0
f JOEL GIFFORD TISS	FID	WM	S	100	38.17	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		WRC	S	10,000	55.46	n/a	20-Jan	non-brg	4.0
TRELLUS PARTNERS LP	028	WY	SS	15,000	24.71	n/a	4-Feb	750.00	5.0
TOTAL (Trade Date):								75,821.79	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	02/07	LISTED ERR	099	RCL	SS	904	45.70	n/a	n/a	0.00	0.0
AO,CXL	02/07	LISTED ERR	099	RCL	SS	904	45.70	n/a	n/a	0.00	0.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	75,821.79
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	75,821.79
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 10-Feb.

AXP, PNRA

MRI Securities without Trade Activity on 10-Feb.

SHPGY

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 3%

YTD = 4%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)