

Daily Compliance Activity Report Trade Date: 07/24/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AMBER CAPITAL	046	AA	В	250 , 000	42.03	n/a	n/a	10,000.00	4.0
SARAS CAPITAL	038	AEO	S	112,600	27.03	10-Jul	13-Jul	5,630.00	5.0
CAXTON PART	040	AIG	S	170,296	67.85	n/a	n/a	6,811.84	4.0
ROXBURY CAPITAL MGMT	035	AMG	В	9,900	117.91	25-Apr	24-Jul	396.00	4.0
SUNOVA CAPITAL	031	AMG	S	10,000	120.42	25-Apr	24-Jul	500.00	5.0
CAXTON PART	040	AMR	В	49,900	28.66	n/a	n/a	1,996.00	4.0
BUCK CAPITAL MGMT	001	ANF	В	50,000	71.48	12-Ju1	13-Jul	2,000.00	4.0
FARBER/WEISS PECK	012	ANN	С	13,000	35.11	12-Jul	13-Jul	650.00	5.0
AVESTA CAP	229	AN	SS	25,000	20.85	n/a	13-Jul	1,250.00	5.0
CRAMER ROSENTHAL/SCOTT	012	ARO	В	47,600	40.39	n/a	13-Jul	1,904.00	4.0
OGORMAN	146	AVPHH	В	10	1.45	n/a	n/a	0.00	0.0
ROXBURY CAPITAL MGMT	035	AXE	В	180,000	86.01	24-Jul	24-Jul	7,200.00	4.0
AVESTA CAP	229	AZO	SS	10,000	131.93	n/a	n/a	500.00	5.0
COLUMBIA PARTNERS	098	BEBE	S	66,691	14.98	n/a	n/a	2,667.64	4.0
BUCK CAPITAL MGMT	001	BID	S	20,000	48.71	n/a	n/a	800.00	4.0

Account	RR #	Symbol	в/:	s	Shares	Price	MRI	Research	Comm.	Shr.	
SUNOVA CAPITAL	031	BLK		SS	25,000	166.11	18-Jul	24-Jul	1,250.00	5.0	
HIGHBRIDGE CAPITAL	038	BNI	В		2,000	89.80	n/a	n/a	100.00	5.0	
MS PRINCIPAL STRATEGIES	040	вон	В		24,600	49.43	n/a	n/a	1,230.00	5.0	
THIRD AVE MGMT	030	BRNC	В		100,000	15.09	n/a	n/a	4,000.00	4.0	
OPTIMUM INV ADV	030	BWA	В		100	89.04	n/a	27-Apr	4.00	4.0	
DENVER INST. ADV	046	CASY	В		74,200	25.66	n/a	n/a	2,968.00	4.0	
DENVER INST. ADV	046	СЕРН		S	40,600	79.32	2-May	23-Jul	1,624.00	4.0	
FARBER/WEISS PECK	012	CHS	С		11,000	20.78	12-Jul	13-Jul	550.00	5.0	
COUGAR TRADING	044	СОН	В		5,000	48.59	n/a	25-Apr	250.00	5.0	
BUCK CAPITAL MGMT	001	CRI		SS	10,000	25.00	n/a	n/a	400.00	4.0	
PAKLER	N22	CROX	В		42	47.22	n/a	n/a	0.00	0.0	
BUCK CAPITAL MGMT	001	DDS		SS	10,000	35.35	n/a	n/a	400.00	4.0	
AELTUS/ING IVESTMENT MGT	044	DFS		S	57 , 762	25.06	n/a	n/a	2,310.48	4.0	
MORGAN LEWIS/MLH	046	DVN		S	20,000	77.58	18-Jul	20-Jul	1,000.00	5.0	
DENVER INST. ADV	046	ELY	В		93,200	17.66	n/a	n/a	3,728.00	4.0	
	SUNOVA CAPITAL HIGHBRIDGE CAPITAL MS PRINCIPAL STRATEGIES THIRD AVE MGMT OPTIMUM INV ADV DENVER INST. ADV DENVER INST. ADV FARBER/WEISS PECK COUGAR TRADING BUCK CAPITAL MGMT PAKLER BUCK CAPITAL MGMT AELTUS/ING IVESTMENT MGT MORGAN LEWIS/MLH	SUNOVA CAPITAL 031 HIGHBRIDGE CAPITAL 038 MS PRINCIPAL STRATEGIES 040 THIRD AVE MGMT 030 OPTIMUM INV ADV 030 DENVER INST. ADV 046 FARBER/WEISS PECK 012 COUGAR TRADING 044 BUCK CAPITAL MGMT 001 PAKLER N22 BUCK CAPITAL MGMT 001 AELTUS/ING IVESTMENT MGT 044 MORGAN LEWIS/MLH 046	SUNOVA CAPITAL 031 BLK HIGHBRIDGE CAPITAL 038 BNI MS PRINCIPAL STRATEGIES 040 BOH THIRD AVE MGMT 030 BRNC OPTIMUM INV ADV 030 BWA DENVER INST. ADV 046 CASY DENVER INST. ADV 046 CEPH FARBER/WEISS PECK 012 CHS COUGAR TRADING 044 COH BUCK CAPITAL MGMT 001 CRI PAKLER N22 CROX BUCK CAPITAL MGMT 001 DDS AELTUS/ING IVESTMENT MGT 044 DFS MORGAN LEWIS/MLH 046 DVN	SUNOVA CAPITAL 031 BLK HIGHBRIDGE CAPITAL 038 BNI B MS PRINCIPAL STRATEGIES 040 BOH B THIRD AVE MGMT 030 BRNC B OPTIMUM INV ADV 030 BWA B DENVER INST. ADV 046 CASY B DENVER INST. ADV 046 CEPH FARBER/WEISS PECK 012 CHS C COUGAR TRADING 044 COH B BUCK CAPITAL MGMT 001 CRI PAKLER N22 CROX B BUCK CAPITAL MGMT 001 DDS AELTUS/ING IVESTMENT MGT 044 DFS MORGAN LEWIS/MLH 046 DVN	SUNOVA CAPITAL 031 BLK SS HIGHBRIDGE CAPITAL 038 BNI B MS PRINCIPAL STRATEGIES 040 BOH B THIRD AVE MGMT 030 BRNC B OPTIMUM INV ADV 030 BWA B DENVER INST. ADV 046 CASY B FARBER/WEISS PECK 012 CHS C COUGAR TRADING 044 COH B BUCK CAPITAL MGMT 001 CRI SS PAKLER N22 CROX B BUCK CAPITAL MGMT 001 DDS SS AELTUS/ING IVESTMENT MGT 044 DFS S MORGAN LEWIS/MLH 046 DVN S	SUNOVA CAPITAL 031 BLK SS 25,000 HIGHBRIDGE CAPITAL 038 BNI B 2,000 MS PRINCIPAL STRATEGIES 040 BOH B 24,600 THIRD AVE MGMT 030 BRNC B 100,000 OPTIMUM INV ADV 030 BWA B 100 DENVER INST. ADV 046 CASY B 74,200 DENVER INST. ADV 046 CEPH S 40,600 FARBER/WEISS PECK 012 CHS C 11,000 COUGAR TRADING 044 COH B 5,000 BUCK CAPITAL MGMT 001 CRI SS 10,000 PAKLER N22 CROX B 42 BUCK CAPITAL MGMT 001 DDS SS 10,000 AELTUS/ING IVESTMENT MGT 044 DFS S 57,762 MORGAN LEWIS/MLH 046 DVN S 20,000	SUNOVA CAPITAL 031 BLK SS 25,000 166.11 HIGHBRIDGE CAPITAL 038 BNI B 2,000 89.80 MS PRINCIPAL STRATEGIES 040 BOH B 24,600 49.43 THIRD AVE MGMT 030 BRNC B 100,000 15.09 OPTIMUM INV ADV 030 BWA B 100 89.04 DENVER INST. ADV 046 CASY B 74,200 25.66 DENVER INST. ADV 046 CEPH S 40,600 79.32 FARBER/WEISS PECK 012 CHS C 11,000 20.78 COUGAR TRADING 044 COH B 5,000 48.59 BUCK CAPITAL MGMT 001 CRI SS 10,000 25.00 PAKLER N22 CROX B 42 47.22 BUCK CAPITAL MGMT 001 DDS SS 10,000 35.35 AELTUS/ING IVESTMENT MGT 044 DFS S 57,762 25.06 MORGAN LEWIS/MLH 046 DVN S 20,000 77.58	SUNOVA CAPITAL 031 BLK SS 25,000 166.11 18-Jul HIGHBRIDGE CAPITAL 038 BNI B 2,000 89.80 n/a MS PRINCIPAL STRATEGIES 040 BOH B 24,600 49.43 n/a THIRD AVE MGMT 030 BRNC B 100,000 15.09 n/a OPTIMUM INV ADV 030 BWA B 100 89.04 n/a DENVER INST. ADV 046 CASY B 74,200 25.66 n/a DENVER INST. ADV 046 CEPH S 40,600 79.32 2-May FARBER/WEISS PECK 012 CHS C 11,000 20.78 12-Jul COUGAR TRADING 044 COH B 5,000 48.59 n/a BUCK CAPITAL MGMT 001 CRI SS 10,000 25.00 n/a BUCK CAPITAL MGMT 001 DDS SS 10,000 35.35 n/a AELTUS/ING IVESTMENT MGT 044 DFS S 57,762 25.06 n/a MORGAN LEWIS/MLH 046 DVN S 20,000 77.58 18-Jul	SUNOVA CAPITAL 031 BLK SS 25,000 166.11 18-Jul 24-Jul HIGHBRIDGE CAPITAL 038 BNI B 2,000 89.80 n/a n/a n/a MS PRINCIPAL STRATEGIES 040 BOH B 24,600 49.43 n/a n/a n/a CPTIMUM INV ADV 030 BRNC B 100,000 15.09 n/a n/a 27-Apr DENVER INST. ADV 046 CASY B 74,200 25.66 n/a n/a DENVER INST. ADV 046 CEPH S 40,600 79.32 2-May 23-Jul FARBER/WEISS PECK 012 CHS C 11,000 20.78 12-Jul 13-Jul COUGAR TRADING 044 COH B 5,000 48.59 n/a 25-Apr BUCK CAPITAL MGMT 001 CRI SS 10,000 25.00 n/a n/a N/a BUCK CAPITAL MGMT 001 DDS SS 10,000 35.35 n/a n/a BUCK CAPITAL MGMT 001 DDS SS 10,000 35.35 n/a n/a MCRGAN LEWIS/MLH 046 DVN S 20,000 77.58 18-Jul 20-Jul	SUNOVA CAPITAL 031 BLK 88 25,000 166.11 18-Jul 24-Jul 1,250.00 HIGHBRIDGE CAPITAL 038 BNI B 2,000 89.80 n/a n/a 100.00 MS PRINCIPAL STRATEGIES 040 BOH B 24,600 49.43 n/a n/a 1,230.00 THIRD AVE MGMT 030 BRNC B 100,000 15.09 n/a n/a 27-Apr 4.00 OPTIMUM INV ADV 030 BWA B 100 89.04 n/a 27-Apr 4.00 DENVER INST. ADV 046 CASY B 74,200 25.66 n/a n/a 2,968.00 ENVER INST. ADV 046 CEPH S 40,600 79.32 2-May 23-Jul 1,624.00 FARBER/WEISS PECK 012 CHS C 11,000 20.78 12-Jul 13-Jul 550.00 COUGAR TRADING 044 COH B 5,000 48.59 n/a 25-Apr 250.00 BUCK CAPITAL MGMT 001 CRI SS 10,000 25.00 n/a n/a 400.00 PAKLER N22 CROX B 42 47.22 n/a n/a 0.00 BUCK CAPITAL MGMT 001 DDS SS 10,000 35.35 n/a n/a 400.00 ALLTUS/ING IVESIMENT MGT 044 DFS S 57,762 25.06 n/a n/a 2.310.48 MORGAN LEWIS/MLH 046 DVN S 20,000 77.58 18-Jul 20-Jul 1,000.00	SUNOVA CAPITAL O31 BLK SS 25,000 166.11 18-Jul 24-Jul 1,250.00 5.0 HIGHBRIDGE CAPITAL 038 BNI B 2,000 89.80 N/a N/a 100.00 5.0 MS PRINCIPAL STRATEGIES 040 BOH B 24,600 49.43 N/a N/a 1,230.00 5.0 THIRD AVE MGMT 030 BRNC B 100,000 15.09 N/a N/a 4,000.00 4.0 OPTIMUM INV ADV 030 BWA B 100,000 25.66 N/a N/a 2,968.00 4.0 DENVER INST. ADV 046 CASY B 74,200 25.66 N/a N/a 2,968.00 4.0 DENVER INST. ADV 046 CEPH S 40,600 79.32 2-May 23-Jul 1,624.00 4.0 FARBER/NEISS PECK 012 CHS C 11,000 20.78 12-Jul 13-Jul 550.00 5.0 BUCK CAPITAL MGMT 001 CRI S 10,000 25.00 N/a N/a 25-Apr 250.00 5.0 BUCK CAPITAL MGMT 001 DDS S 10,000 25.00 N/a N/a 400.00 4.0 BUCK CAPITAL MGMT 001 DDS S 10,000 35.35 N/a N/a 400.00 4.0 BUCK CAPITAL MGMT 001 DDS S 57,762 25.06 N/a N/a 20-Jul 1,000.00 5.0 MS PRINCIPAL S 10,000 77.58 18-Jul 20-Jul 1,000.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BARR	096	EMKR	S	2,000	7.83	n/a	n/a	0.00	0.0
LEDDY	035	EVST	S	1,697	32.95	n/a	n/a	0.00	0.0
LUCHANSKY2	036	EVST	SS	300	32.85	n/a	n/a	0.00	0.0
CAXTON PART	040	EXC	SS	50,000	76.61	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	FL	В	40,000	21.08	24-May	21-Jun	1,600.00	4.0
BUCK CAPITAL MGMT	001	GCO	S	10,000	51.16	n/a	10-Jan	400.00	4.0
BARR	096	GG	В	400	27.74	n/a	n/a	0.00	0.0
PAKLER	N22	GOOG	S	4	509.57	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GPI	s	40,000	37.41	27-Apr	13-Jul	1,600.00	4.0
LUCHANSKY	036	GPI	S	200	37.68	27-Apr	13-Jul	0.00	0.0
CAXTON PART	040	GS	S	11,349	201.02	15-Jun	13-Jul	453.96	4.0
ACT II PARTNERS	067	INAP	В	50,000	14.66	n/a	n/a	2,500.00	5.0
LUCHANSKY	036	INTC	S	400	24.50	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	IWM	SS	20,000	81.78	n/a	n/a	800.00	4.0
LUCHANSKY1	036	IWM	SS	500	81.29	n/a	n/a	0.00	0.0
GOLDMAN SACHS ASSET MGMT	044	JCP	В	239,226	72.69	21-Jun	13-Jul	9,569.04	4.0
SANDLER O'NEILL ASSET	031	JNS	В	15,000	30.05	16-Jul	24-Jul	750.00	5.0

Account	RR #	Symbol	В/	s	Shares	Price	MRI	Research	Comm.	\$/Shr.
LEDDY	035	JNS	С		600	29.93	16-Jul	24-Jul	0.00	0.0
CAXTON PART	040	JPM		S	25,000	45.69	n/a	19-Jul	1,000.00	4.0
PILOT ADV	038	KLIC	В		75 , 000	11.16	n/a	n/a	2,250.00	3.0
BUCK CAPITAL MGMT	001	KSS	В		15,000	64.74	14-Jun	12-Jul	600.00	4.0
COUGAR TRADING	044	KSS	В		5,000	65.11	14-Jun	12-Jul	250.00	5.0
COUGAR TRADING	044	LAD	В		5,000	23.55	n/a	13-Jul	250.00	5.0
SUN AMERICA ASSET MGMT	030	LCC		S	85 , 100	34.69	n/a	n/a	4,255.00	5.0
BUCK CAPITAL MGMT	001	LIZ		SS	10,000	36.26	n/a	12-Jul	400.00	4.0
COMMISSUM CAPITAL	067	LM	В		5,000	96.52	24-Jul	24-Jul	250.00	5.0
SANDLER O'NEILL ASSET	031	LM	В		20,000	96.46	24-Jul	24-Jul	1,000.00	5.0
MORRISON & FRASIER	040	MIR		S	66,900	39.85	n/a	n/a	3,345.00	5.0
DALTON GREINER	012	MLI	В		135,000	37.11	24-Jul	24-Jul	6,750.00	5.0
JP MORGAN VENTURES	046	MRT	В		20,000	17.96	n/a	n/a	1,000.00	5.0
PATTEN & PATTEN	067	MSFT		S	500	31.14	n/a	3-Apr	25.00	5.0
LEDDY	035	MWV		S	300	34.46	13-Jul	13-Jul	0.00	0.0
DENVER INST. ADV	046	MW	В		14,300	52.25	n/a	n/a	572.00	4.0
PILOT ADV	038	М		S	20,000	41.61	13-Jul	13-Jul	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
SAC TRADING HOWARD	038	М	В	20,000	41.91	13-Jul	13-Jul	1,000.00	5.0	
PEREGRINE	030	NDN	В	258,300	13.12	n/a	11-Jul	12,915.00	5.0	
LISTED ERR	099	NDN	S	12,300	13.20	n/a	11-Jul	0.00	0.0	
HIGHBRIDGE CAPITAL	038	OWW	S	281,300	14.00	n/a	n/a	14,065.00	5.0	
JP MORGAN VENTURES	046	PFE	В	54,000	25.02	n/a	31-Mar	2,700.00	5.0	
SECOND CURVE	031	PRS	В	50,000	10.26	n/a	n/a	2,500.00	5.0	
COUGAR TRADING	044	PSUN	В	5,000	20.06	n/a	13-Jul	250.00	5.0	
BUCK CAPITAL MGMT	001	PVH	В	5,000	53.98	31-May	31-May	200.00	4.0	
ALLEN & CO	032	QCOM	S	35 , 250	42.64	n/a	n/a	1,410.00	4.0	
FIRST NEW YORK LEDDY	035	Q	В	7,500	9.34	n/a	n/a	450.00	6.0	
HIGHBRIDGE CAPITAL	038	RIO	В	20,000	52.26	n/a	n/a	1,000.00	5.0	
BUCK CAPITAL MGMT	001	SKX	S	169,900	28.78	n/a	13-Mar	6,796.00	4.0	
KAICHER	064	SNCR	В	1,000	35.44	n/a	n/a	0.00	0.0	
MS PRINCIPAL STRATEGIES	040	SNV	В	47,230	30.32	n/a	n/a	2,361.50	5.0	
BUCK CAPITAL MGMT	001	SSI	S	321,400	20.24	25-May	13-Jul	12,856.00	4.0	
COUGAR TRADING	044	TJX	В	5,000	29.26	13-Jul	13-Jul	250.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
LEDDY	035	TWM	В	1,000	64.15	n/a	n/a	0.00	0.0
COUGAR TRADING	044	URBN	В	10,000	20.00	2-Apr	16-Jul	500.00	5.0
HIGHBRIDGE CAPITAL	038	URS	В	30,000	54.55	n/a	n/a	1,500.00	5.0
DIAMONDBACK CAP MGMT	232	USG	С	90,000	42.96	25-Apr	14-Jun	4,500.00	5.0
TRIVIUM CAP MGMT	028	USG	С	25,000	44.07	25-Apr	14-Jun	1,000.00	4.0
ELM RIDGE ADVISORS	044	VC	В	368 , 500	7.12	n/a	n/a	11,055.00	3.0
LOCUS WOOD CAPITAL MGMT	044	VQ	S	20,000	17.88	n/a	n/a	800.00	4.0
CAPITAL GROWTH MGMT.	241	WDC	В	80,000	22.66	n/a	n/a	3,200.00	4.0
SANDLER O'NEILL ASSET	031	WDR	В	30,000	26.92	13-Jul	24-Jul	1,500.00	5.0
FARBER/WEISS PECK	012	WFC	SS	34,000	34.24	n/a	n/a	1,700.00	5.0
SINCLAIR	031	WOS	С	400	22.81	n/a	n/a	0.00	0.0
SNYDER CAPITAL	038	WRNC	S	90,100	39.50	18-Jul	18-Jul	3,604.00	4.0
MKT MAKER	038	WRNC	S	77	39.50	18-Jul	18-Jul	3.08	4.0
PILOT ADV	038	WY	SS	2,100	81.50	13-Jul	13-Jul	84.00	4.0
AMBER CAPITAL	046	XLB	SS	35,000	42.20	n/a	n/a	1,400.00	4.0

TOTAL (Trade Date): 194,139.54

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	07/23	AMBER CAPITAL (AMBRGS)	046	AA	В	200,000	41.86	n/a	n/a	8000.00	4.0
AO,CRT	07/23	AMBER CAPITAL (AMBRLEH)	046	AA	В	200,000	41.86	n/a	n/a	8000.00	4.0
AO,CXL	07/23	SCOPUS FUND (SCOPBANC O)	039	DD	В	4,050	53.08	n/a	24-Jul	202.50	5.0
AO, CRT	07/23	SCOPUS FUND (SCOPBANC O)	039	DD	В	11,309	53.08	n/a	24-Jul	565.45	5.0
AO,CXL	07/23	SCOPUS FUND (SCOPUBS)	039	DD	В	10,950	53.08	n/a	24-Jul	547.50	5.0
AO, CRT	07/23	SCOPUS FUND (SCOPUBS)	039	DD	В	3,691	53.08	n/a	24-Jul	184.55	5.0
AO,	07/23	CAVU CAP ADV (CAVUUBS)	028	MU	В	2,500	13.52	n/a	n/a	125.00	5.0
AO,	07/23	MKT MAKER (MKT MAKER)	099	RCKY	В	10	16.80	n/a	n/a	0.00	0.0

TOTAL (RUN DATE) / NFS:	194,264.54
TOTAL (AO, CXL, CRT):	125.00
TOTAL (Trade Date):	194,139.54

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION