



Daily Compliance Activity Report

Trade Date: 05/24/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CR INTRINIC INVESTMENT	044	ABC	SS	35,000	30.91	n/a	n/a	1,225.00	3.5
HARBORWATCH/DIAMBACK	232	AKS	S	25,000	13.70	n/a	n/a	1,250.00	5.0
TRUSTCO/SUNTRUST	040	AOS	B	25,700	45.59	n/a	20-Apr	1,002.30	3.9
BIXBY LLC	070	AXL	B	10,000	8.62	n/a	3-May	300.00	3.0
BIXBY LLC	070	AXL	S	5,000	8.82	n/a	3-May	150.00	3.0
BMO NESBITT BURNS	041	AXL	B	10,000	8.64	n/a	3-May	500.00	5.0
DSG CAPITAL	225	AXL	S	6,000	8.20	n/a	3-May	180.00	3.0
GREEN ARROW DOUG	040	BEE	S	75,000	4.48	n/a	n/a	3,750.00	5.0
CR INTRINIC INVESTMENT	044	BIIB	B	25,000	48.69	n/a	n/a	875.00	3.5
VISIUM/BALY BOBBY	044	BKE	SS	10,000	34.77	n/a	n/a	500.00	5.0
MELLON/BANK OF NEW YORK	046	BP	S	6,633	42.71	n/a	n/a	331.65	5.0
CAERUS GLOBAL	041	CBK	S	28,293	8.67	n/a	n/a	848.79	3.0
MELLON/BANK OF NEW YORK	046	CE	B	9,765	27.14	n/a	n/a	488.25	5.0
PARTNER REINSURANCE	033	CMCSA	B	31,650	17.02	n/a	n/a	1,266.00	4.0
CASTLEROCK	040	DG	B	52,003	30.26	n/a	26-Apr	2,080.12	4.0
DIAMONDBACK CAP MGMT	232	DHI	S	41,000	12.25	n/a	n/a	2,050.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
THOMPSON SIEGAL&WALMSLEY	029	ENDP	B	58,800	20.55	n/a	24-May	1,764.00	3.0
LEVIN CAPITAL STRATEGIES	012	FL	S	50,000	13.96	n/a	21-May	1,500.00	3.0
CR INTRINIC INVESTMENT	044	GENZ	B	24,000	48.70	n/a	n/a	840.00	3.5
MELLON/BANK OF NEW YORK	046	GILD	S	6,500	36.01	n/a	n/a	325.00	5.0
EXIS CAPITAL	044	GYMB	B	2,975	45.20	n/a	20-May	148.75	5.0
EMERSON CAPITAL MGMT	048	IT	SS	10,000	23.96	n/a	n/a	500.00	5.0
EXIS CAPITAL	044	JNY	B	5,000	19.00	n/a	14-May	250.00	5.0
JP MORGAN VENTURES	046	KG	B	3,300	8.54	n/a	24-May	99.00	3.0
DIAMONDBACK CAP MGMT	232	KSS	B	15,000	51.50	n/a	14-May	750.00	5.0
EXIS CAPITAL	044	KSS	B	5,000	51.50	n/a	14-May	250.00	5.0
BROADARCH CAP/OLD NEWC	070	LVS	SS	4,100	20.96	n/a	13-May	164.00	4.0
GREEN ARROW DOUG	040	LVS	B	15,000	21.15	n/a	13-May	750.00	5.0
IRIDIAN ASSET MGMT.	036	MSFT	B	17,950	26.65	n/a	n/a	897.50	5.0
SCOUT/UMB	047	NDN	S	63,550	14.53	n/a	9-Apr	1,906.50	3.0
DENVER INST. ADV	046	ODSY	S	253,300	26.47	n/a	n/a	10,132.00	4.0
IRIDIAN ASSET MGMT.	036	ORCL	S	21,240	22.52	n/a	n/a	1,062.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TPG-AXON	040	ORCL	B	300,000	22.56	n/a	n/a	15,000.00	5.0
BUCK CAPITAL MGMT	001	PSS	B	40,000	20.88	n/a	n/a	1,600.00	4.0
LEVIN CAPITAL STRATEGIES	012	PVH	S	25,000	55.00	n/a	14-May	750.00	3.0
MERRILL ASSET/BLACKROCK	263	RGA	S	5,800	46.13	n/a	n/a	232.00	4.0
CAERUS GLOBAL	041	SLE	B	7,500	14.40	n/a	n/a	300.00	4.0
ARMATURE CAPITAL	041	SOA	S	50,000	14.82	n/a	n/a	2,000.00	4.0
CARDINAL CAPITAL	044	SSI	S	13,200	13.86	n/a	n/a	660.00	5.0
IRIDIAN ASSET MGMT.	036	SYMC	S	303,330	14.55	n/a	n/a	15,166.50	5.0
SENATOR INVESTMENT GROUP	036	TIN	B	75,000	19.99	n/a	21-Apr	2,250.00	3.0
BUCK CAPITAL MGMT	001	WRC	B	10,000	41.75	n/a	14-May	400.00	4.0
TOTAL (Trade Date):								76,494.36	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/21	BALYASNY ASSET MGMT (BALYGS)	044	AAP	SS	2,100	49.00	n/a	n/a	105.00 5.0
AO,	05/21	BALYASNY ASSET MGMT (BALYGS)	044	AAP	SS	22,900	49.00	n/a	n/a	1145.00 5.0
AO,	05/21	BALYASNY ASSET MGMT (BALYGS)	044	ARO	SS	2,500	28.42	n/a	21-May	125.00 5.0
AO,	05/21	BALYASNY ASSET MGMT (BALYGS)	044	ARO	SS	27,500	28.42	n/a	21-May	1375.00 5.0
AO,	05/21	COLUMBUS CIRCLE (COLC3050)	036	RADS	B	3,003	14.88	n/a	n/a	120.12 4.0

TOTAL (AO, CXL, CRT) :	2,870.12
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TOTAL (Trade Date) :	76,494.36
TOTAL (AO, CXL, CRT) :	2,870.12

TOTAL (RUN DATE) / NFS :	79,364.48
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION