



## Daily Compliance Activity Report

Trade Date: 07/13/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EGS ASSOCIATES LP	091	ABG	B	3,000	24.15	18-Jun	13-Jul	180.00	6.0
CASEY CAPITAL	067	AEIS	B	5,000	22.47	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		AMG	S	800	130.88	25-Apr	13-Jul	non-brg	5.0
B BUCK CAPITAL MGMT		AMT	S	5,000	44.13	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	ANF	B	5,000	73.65	12-Jul	13-Jul	200.00	4.0
BEAR STEARNS ASSET MGMT.	033	ANN	C	19,400	35.22	12-Jul	13-Jul	776.00	4.0
B BUCK CAPITAL MGMT		APC	S	6,200	52.10	22-Jun	13-Jul	non-brg	5.0
WEINTRAUB CAPITAL MGT.	040	ARO	SS	16,100	42.07	n/a	13-Jul	805.00	5.0
B BUCK CAPITAL MGMT		ASD	S	2,500	61.29	31-May	13-Jul	non-brg	5.0
1837 PARTNERS/RMB CAP	030	ASPM	B	1,700	15.24	n/a	n/a	85.00	5.0
MKT MAKER	099	ASPM	B	34	15.24	n/a	n/a	0.00	0.0
CARLYLE BLUE WAVE PR MG	224	AVP	B	8,497	39.25	2-May	2-May	424.85	5.0
XYLEM GLOBAL	091	BOW	B	30,000	24.63	n/a	14-Jun	1,500.00	5.0
CAPITAL GROWTH MGMT.	241	BTU	B	50,000	49.33	n/a	n/a	2,500.00	5.0
B BUCK CAPITAL MGMT		CAKE	S	2,500	25.57	24-Apr	24-Apr	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHEELER	008	CALPINE CORPB		100,000	127.88	n/a	n/a	0.00	0.0
MAGUIRE	090	CALPINE CORPB		200,000	127.88	n/a	n/a	0.00	0.0
FIRST PACIFIC ADV	048	CC	B	312,100	14.67	1-May	21-Jun	15,605.00	5.0
⌘ BUCK CAPITAL MGMT		CDNS	S	9,100	21.75	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		CHIC	S	10,000	24.40	n/a	n/a	non-brg	5.0
BEAR STEARNS ASSET MGMT.	033	CHS	C	9,700	21.87	12-Jul	13-Jul	388.00	4.0
ROARING BLUE LION MGMT	012	CHS	SS	3,800	21.85	12-Jul	13-Jul	190.00	5.0
MILLENIUM PARTNERS	028	CIT	B	50,000	55.44	n/a	n/a	2,500.00	5.0
TRUST CO/COWEN/CALF.	040	CNW	S	77,870	52.94	n/a	n/a	3,893.50	5.0
BEAR STEARNS ASSET MGMT.	033	CPWM	C	20,000	7.91	n/a	n/a	800.00	4.0
⌘ BUCK CAPITAL MGMT		DBRN	S	10,000	20.04	n/a	n/a	non-brg	5.0
BELMONT GLOBAL ADV	248	DDI	B	5,400	36.21	n/a	n/a	270.00	5.0
BELMONT GLOBAL ADV	248	DDM	B	1,000	99.93	n/a	n/a	50.00	5.0
BUCK	015	DF	S	500	31.33	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		DVN	S	3,700	79.97	3-May	11-Jul	non-brg	5.0
Õ Glenn Redemann		DVN	??	6	0.08	3-May	11-Jul	0.00	0.0
Õ Glenn Redemann		DVN	??	6	0.09	3-May	11-Jul	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	EPP	B	600	153.83	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	FDC	B	100,000	32.51	n/a	n/a	5,000.00	5.0
⌘ BUCK CAPITAL MGMT		FL	B	20,000	21.42	24-May	21-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FL	B	200,000	21.53	24-May	21-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		FL	B	25,000	21.54	24-May	21-Jun	non-brg	2.0
⌘ BUCK CAPITAL MGMT		FL	B	75,000	21.55	24-May	21-Jun	non-brg	5.0
⌘ BUCK CAPITAL MGMT		FL	B	80,000	21.54	24-May	21-Jun	non-brg	2.0
BUCK	015	GENZ	S	1,300	60.63	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	GES	S	47,700	51.70	n/a	n/a	1,908.00	4.0
⌘ BUCK CAPITAL MGMT		GLW	S	10,000	26.91	n/a	11-Jul	non-brg	5.0
SONAR CAP MGMT	039	GPS	S	100,000	18.15	n/a	13-Jul	5,000.00	5.0
⌘ BUCK CAPITAL MGMT		GSF	S	3,300	73.00	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		GS	S	1,900	222.28	15-Jun	13-Jul	non-brg	5.0
SUFFOLK CAPITAL MGMT	040	HD	B	200,000	40.75	n/a	12-Jul	8,000.00	4.0
BUCK	015	IBB	B	900	80.36	n/a	n/a	0.00	0.0
BUCK	015	IBN	S	300	52.23	n/a	n/a	0.00	0.0
DURANGO INVESTMENTS	031	ITW	S	10,000	57.84	n/a	n/a	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		JBX	SS	10,000	69.49	24-Apr	24-Apr	non-brg	5.0
COUGAR TRADING	044	JCG	B	5,000	55.29	22-Jun	22-Jun	250.00	5.0
BUCK CAPITAL MGMT	001	JNY	SS	20,000	27.69	n/a	3-May	800.00	4.0
EGS ASSOCIATES LP	091	JNY	S	4,000	27.98	n/a	3-May	240.00	6.0
BUCK	015	JWNSJ	B	5	1.54	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		KEGS	S	17,400	17.76	n/a	13-Jul	non-brg	5.0
BUCK CAPITAL MGMT	001	KSS	B	17,100	68.64	14-Jun	12-Jul	684.00	4.0
⌘ BUCK CAPITAL MGMT		KV/A	B	10,000	28.12	n/a	n/a	non-brg	5.0
CASEY CAPITAL	067	KVA	B	5,000	28.10	n/a	n/a	250.00	5.0
KORMAN	003	KVA	B	7,000	28.10	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LAVA	S	40,000	14.11	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LBTYA	S	11,700	44.27	n/a	n/a	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LBTYK	S	12,000	42.22	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT	001	LEH	S	6,100	73.28	12-Jun	13-Jul	244.00	4.0
WAGONHOUND	048	LEH	B	9,160	73.51	12-Jun	13-Jul	366.40	4.0
BUCK CAPITAL MGMT	001	LIZ	SS	100,000	36.51	n/a	12-Jul	4,000.00	4.0
⌘ BUCK CAPITAL MGMT		LIZ	SS	32,900	36.51	n/a	12-Jul	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		LIZ	SS	50,000	36.52	n/a	12-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		LPNT	S	17,500	39.60	n/a	29-Jun	non-brg	5.0
VISIUM/DIV OF BALY BOBBY	044	MCK	SS	50,000	60.55	n/a	n/a	2,500.00	5.0
BUCK	015	MERTP	B	15	1.00	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MER	S	4,000	85.77	20-Apr	13-Jul	non-brg	5.0
BUCK	015	MER	B	200	85.88	20-Apr	13-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		MSM	S	2,300	54.98	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		MWV	S	10,000	36.42	13-Jul	13-Jul	non-brg	5.0
ß BUCK CAPITAL MGMT		MWV	S	12,700	36.42	13-Jul	13-Jul	non-brg	5.0
BUCK	015	M	SS	500	39.51	13-Jul	13-Jul	0.00	0.0
ß BUCK CAPITAL MGMT		NLS	S	98,200	11.95	n/a	n/a	non-brg	5.0
BUCK	015	NMX	B	200	136.88	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	OSK	S	15,133	65.00	n/a	n/a	605.32	4.0
BELMONT GLOBAL ADV	248	PBW	B	8,700	22.66	n/a	n/a	435.00	5.0
Õ Glenn Redemann		PDGI	B	3	0.12	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PDGI	B	3	0.13	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PDGI	SS	3	0.79	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PDGI	SS	3	0.81	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⌘ BUCK CAPITAL MGMT		PERY	B	10,000	31.91	n/a	n/a	non-brg 1.0
KNOTT PARTNERS	044	PRX	B	25,000	26.69	21-May	13-Jul	1,000.00 4.0
BELMONT GLOBAL ADV	248	QLD	B	1,000	102.79	n/a	n/a	50.00 5.0
⌘ BUCK CAPITAL MGMT		RRGB	SS	10,000	44.32	n/a	n/a	non-brg 5.0
Õ Glenn Redemann		RYL	B	600	40.00	n/a	n/a	0.00 0.0
Õ Glenn Redemann		RYL	SS	6	2.95	n/a	n/a	0.00 0.0
Õ Glenn Redemann		RYL	S	600	37.14	n/a	n/a	0.00 0.0
⌘ BUCK CAPITAL MGMT		SBAC	S	7,000	36.04	n/a	n/a	non-brg 5.0
⌘ BUCK CAPITAL MGMT		SKX	S	60,000	27.16	n/a	13-Mar	non-brg 4.0
COUGAR TRADING	044	SKX	S	10,000	27.22	n/a	13-Mar	500.00 5.0
METROPOLITAN CAPITAL	044	SKX	B	19,600	27.13	n/a	13-Mar	980.00 5.0
⌘ BUCK CAPITAL MGMT		SONC	SS	10,000	22.42	n/a	n/a	non-brg 5.0
⌘ BUCK CAPITAL MGMT		TGT	C	10,000	70.36	n/a	12-Jul	non-brg 5.0
⌘ BUCK CAPITAL MGMT		THI	SS	10,000	31.99	24-Apr	24-Apr	non-brg 5.0
⌘ BUCK CAPITAL MGMT		TJX	B	20,000	28.82	13-Jul	13-Jul	non-brg 5.0
RIVER RUN MGMT	031	TRMP	SS	25,000	10.25	n/a	n/a	1,250.00 5.0
⌘ BUCK CAPITAL MGMT		TWTC	S	12,700	20.53	4-May	11-Jul	non-brg 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAPITAL	038	T	B	74,000	40.52	25-Apr	12-Jul	3,700.00	5.0
Õ Glenn Redemann		UAUA	B	3	0.38	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UAUA	B	3	0.56	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UAUA	SS	3	1.35	n/a	n/a	0.00	0.0
Õ Glenn Redemann		UAUA	SS	3	1.69	n/a	n/a	0.00	0.0
ZOLIDIS	011	UA	SS	100	54.70	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		UCO	S	3,300	80.09	10-May	13-Jul	non-brg	5.0
B BUCK CAPITAL MGMT		URBN	B	50,000	21.64	2-Apr	11-Jul	non-brg	5.0
MSD CAPITAL	036	USTR	S	13,600	70.01	23-May	23-May	680.00	5.0
MKT MAKER	099	USTR	S	70	70.01	23-May	23-May	0.00	0.0
MERRILL ASSET	032	VQ	B	800	19.06	n/a	n/a	36.00	4.5
BELMONT GLOBAL ADV	248	VWO	B	3,000	98.71	n/a	n/a	150.00	5.0
AVESTA CAP	229	WDR	B	25,000	27.60	13-Jul	13-Jul	1,250.00	5.0
BULL PATH CAPITAL	044	WDR	S	37,500	27.69	13-Jul	13-Jul	1,875.00	5.0
SUNOVA CAPITAL	031	WDR	B	50,000	27.56	13-Jul	13-Jul	2,500.00	5.0
WHITE PINE CAPITAL	030	WDR	B	900	27.75	13-Jul	13-Jul	45.00	5.0
BUCK	015	WFMI	SS	700	40.03	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WHQ	B	4	1.40	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WHQ	B	4	1.88	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
Ö Glenn Redemann		WHQ	SS	4	2.52	n/a	n/a	0.00	0.0
Ö Glenn Redemann		WHQ	SS	4	3.26	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WRNC	S	40,000	39.79	20-Jun	20-Jun	non-brg	5.0
EGS ASSOCIATES LP	091	WRNC	S	5,000	39.84	20-Jun	20-Jun	300.00	6.0
FIRST NEW YORK LEDDY	035	WY	B	10,000	80.60	13-Jul	13-Jul	600.00	6.0
XYLEM GLOBAL	091	WY	S	78,000	81.77	13-Jul	13-Jul	3,900.00	5.0
ROYAL CAPITAL	039	YRCW	SS	100,000	38.23	n/a	n/a	5,000.00	5.0
COUGAR TRADING	044	ZQK	B	5,000	13.99	9-Mar	27-Jun	250.00	5.0
<b>TOTAL (Trade Date):</b>								<b>85,166.07</b>	



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	07/12	METROPOLITAN CAPITAL	044	BWS	B	58,900	25.12	n/a	n/a	2945.00 5.0
AO,CRT	07/12	METROPOLITAN CAPITAL	044	BWS	B	5,500	25.12	n/a	n/a	275.00 5.0
AO,CRT	07/12	METROPOLITAN CAPITAL	044	BWS	B	53,400	25.12	n/a	n/a	2670.00 5.0
AO,CRT	07/12	U.S.TRUST COMPANY	012	DF	S	34,400	31.16	n/a	n/a	1548.00 4.5
AO,CXL	07/12	U.S.TRUST COMPANY	012	DF	S	34,400	31.16	n/a	n/a	1720.00 5.0
AO,	07/12	METROPOLITAN CAPITAL	044	FL	S	12,900	21.39	24-May	21-Jun	645.00 5.0
AO,CRT	07/12	METROPOLITAN CAPITAL	044	FL	S	125,300	21.39	24-May	21-Jun	6265.00 5.0
AO,CXL	07/12	METROPOLITAN CAPITAL	044	FL	S	138,200	21.39	24-May	21-Jun	6910.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>-172.00</b>

TOTAL (Trade Date):	85,166.07
TOTAL (AO, CXL, CRT):	-172.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>84,994.07</b>
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MRI Securities with Trade Activity on 13-Jul.  
**MWV, M, TJX, WDR, WY**

MRI Securities without Trade Activity on 13-Jul.  
**GWV, SPC**

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 12%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION