

Daily Compliance Activity Report Trade Date: 04/23/2012

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

-	Water List no living in the list in the li									
	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.
ß	BUCK CAPITAL MGMT		1913 нк	S	4,600	6.91	n/a	n/a	non-brg	1.4
ß	BUCK CAPITAL MGMT		1913 HK	S	4,600	6.91	n/a	n/a	non-brg	1.4
f	ANN BETTENDORF FREEM	FID	AAPL	s	10	571.42	n/a	n/a	0.00	0.0
f	JOSEPH CIRO AMATURO	FID	AAPL	В	50	561.61	n/a	n/a	0.00	0.0
f	MICHAEL ALEXANDER SM	FID	AAPL	s	6	7.45	n/a	n/a	0.00	0.0
	FORTRESS INVESTMENT (US)	036	ABB	В	106,000	19.76	n/a	n/a	2,120.00	2.0
	PRIMECAP MGMT	084	ABFS	В	2,298	17.43	n/a	n/a	91.92	4.0
ß	BUCK CAPITAL MGMT		ABG	В	20,000	26.23	n/a	18-Apr	non-brg	4.0
ß	BUCK CAPITAL MGMT		ACAT	S	20,000	44.02	n/a	n/a	non-brg	4.0
	BRONSON POINT	036	AEO	S	25 , 000	17.80	n/a	2-Dec	750.00	3.0
	BRONSON POINT	036	AGCO	С	17,100	44.42	n/a	18-Apr	513.00	3.0
	TUDOR INVESTORS	028	ALSN	В	2,000	21.15	n/a	n/a	60.00	3.0
	PATTEN & PATTEN	025	AMLP	В	700	16.67	n/a	n/a	35.00	5.0
	HOLLIS CAPITAL	033	AMRN	В	5,000	10.11	n/a	n/a	250.00	5.0
f	DAVID GEORGE BUCK	FID	AMZN	S	700	185.78	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	AMZN	S	13	188.22	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	;/Shr.
ß	BUCK CAPITAL MGMT		ANF	S	58,000	47.76	n/a	16-Feb	non-brg	4.0
ß	BUCK CAPITAL MGMT		ARO	s	15,000	21.28	n/a	n/a	non-brg	4.0
	DC CAPITAL	044	ATI	В	25,000	39.58	n/a	13-Apr	1,000.00	4.0
	PATTEN & PATTEN	025	ВНР	В	125	71.88	n/a	n/a	6.25	5.0
ß	BUCK CAPITAL MGMT		BKS	s	50,000	12.25	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		BKS	S	55,000	12.22	n/a	n/a	non-brg	2.0
	CAPITAL RESEARCH GROUP		296 BMY		S 17	4,800 34.0	03	n/a	n/a 6,1	118.00 3.5
a	BUCK CAPITAL MGMT		BONT	В	1,840	5.90	n/a	n/a	non-brg	3.0
	PRIMECAP MGMT	084	BWC	S	30,000	23.04	n/a	n/a	1,200.00	4.0
	RBC CAPITAL MARKETS	040	CCL	SS	5,000	31.46	n/a	n/a	200.00	4.0
ß	BUCK CAPITAL MGMT		СОН	S	10,000	74.72	n/a	19-Apr	non-brg	4.0
ß	BUCK CAPITAL MGMT		СОН	S	20,000	74.70	n/a	19-Apr	non-brg	4.0
	RBC CAPITAL MARKETS	040	СОН	В	12,500	74.80	n/a	19-Apr	500.00	4.0
	GLENHILL CAPITAL	036	COST	В	42,500	87.11	n/a	n/a	2,125.00	5.0
	PRIMECAP MGMT	084	CP	S	600	74.74	n/a	n/a	24.00	4.0
f	MARC PAUL LUCHANSKY	FID	CRSOX	В	1453	8.01	n/a	n/a	0.00	0.0
f	ANN BETTENDORF FREEM	FID	С	s	150	33.08	n/a	17-Apr	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
	PATTEN & PATTEN	025	DD	S	200	51.74	n/a	19-Apr	10.00	5.0
	COHE & STEERS	041	DEI	S	88,983	22.74	n/a	n/a	2,669.49	3.0
ß	BUCK CAPITAL MGMT		DHI	S	25,000	15.86	n/a	n/a	non-brg	4.0
f	IAN L MILLER	FID	DHI	В	100	15.35	n/a	n/a	0.00	0.0
	WEINTRAUB CAPITAL MGT.	269	DRI	SS	50,000	49.44	n/a	13-Apr	2,000.00	4.0
	PATTEN & PATTEN	025	DVY	В	160	55.39	n/a	n/a	8.00	5.0
	BASIX	033	EAT	S	29,300	30.85	n/a	23-Apr	879.00	3.0
ß	BUCK CAPITAL MGMT		EAT	С	100,000	30.11	n/a	23-Apr	non-brg	4.0
	PATTEN & PATTEN	025	EEM	В	225	41.43	n/a	n/a	11.25	5.0
	TUDOR INVESTORS	028	EMR	S	5,000	49.88	n/a	n/a	150.00	3.0
	BALTHAZAR CAPITAL PARTNER	RS LTE	085 ETN	В	10,0	000 47.12	r	n/a 23-Apr	500	.00 5.0
f	JOSEPH CIRO AMATURO	FID	EUO	s	4000	19.51	n/a	n/a	0.00	0.0
	PATTEN & PATTEN	025	EWA	В	139	23.23	n/a	n/a	6.95	5.0
	RBC CAPITAL MARKETS	040	FL	В	5,000	30.15	n/a	7-Mar	200.00	4.0
	CSFB GLOBAL PROPRIETARY	041	FOR	В	50,000	14.99	n/a	16-Apr	2,500.00	5.0
f	MARC PAUL LUCHANSKY	FID	FSTFX	В	111	10.86	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		GIL	S	20,000	27.44	n/a	19-Apr	non-brg	4.0
	WEINTRAUB CAPITAL MGT.	269	GRA	SS	25,000	54.89	n/a	n/a	1,000.00	4.0
	WADDELL AND REED	047	GRPN	S	250,000	11.52	n/a	n/a	10,000.00	4.0
ß	BUCK CAPITAL MGMT		GWW	С	6,408	212.48	n/a	19-Apr	non-brg	4.0
В	BUCK CAPITAL MGMT		HBI	В	50,000	28.99	n/a	20-Apr	non-brg	4.0
ß	BUCK CAPITAL MGMT		HBI	В	50,000	29.02	n/a	20-Apr	non-brg	4.0
	ROXBURY CAPITAL MGMT	035	HBI	В	86,480	28.99	n/a	20-Apr	2,594.40	3.0
	SIMMONS VENTURES	041	HBI	В	10,000	29.49	n/a	20-Apr	400.00	4.0
ß	BUCK CAPITAL MGMT		HLF	В	20,000	72.46	n/a	n/a	non-brg	4.0
	RBC CAPITAL MARKETS	040	HLF	В	5,000	71.09	n/a	n/a	200.00	4.0
	CAERUS GLOBAL	041	HMIN	В	10,100	24.52	n/a	n/a	404.00	4.0
	BASSWOOD PARTNERS	267	IEX	С	17,728	40.63	n/a	n/a	709.12	4.0
	WADDELL AND REED	047	INTC	В	550,100	27.44	n/a	n/a	22,004.00	4.0
f	DAVID GEORGE BUCK	FID	IWF	В	1000	64.17	n/a	n/a	0.00	0.0
	PATTEN & PATTEN	025	IXN	В	200	67.20	n/a	n/a	10.00	5.0
	PATTEN & PATTEN	025	IYG	В	360	54.18	n/a	n/a	18.00	5.0
В	BUCK CAPITAL MGMT		JBHT	С	9,200	54.82	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
	PRIMECAP MGMT	084	JEC	В	5,000	42.38	n/a	n/a	200.00	4.0	
	MFP INVESTORS	085	JNS	В	175,000	7.94	n/a	n/a	7,000.00	4.0	
ß	BUCK CAPITAL MGMT		JNY	s	50,000	12.60	n/a	19-Apr	non-brg	4.0	
ß	BUCK CAPITAL MGMT		JNY	S	50,000	12.60	n/a	19-Apr	non-brg	4.0	
	SIMMONS VENTURES	041	JNY	В	20,000	12.50	n/a	19-Apr	800.00	4.0	
	RBC CAPITAL MARKETS	040	KFT	В	15,000	38.05	n/a	n/a	600.00	4.0	
ß	BUCK CAPITAL MGMT		KORS	В	100,000	43.08	n/a	n/a	non-brg	4.0	
	RBC CAPITAL MARKETS	040	KORS	В	1,300	40.21	n/a	n/a	52.00	4.0	
	PATTEN & PATTEN	025	KRE	В	725	27.48	n/a	n/a	36.25	5.0	
	PRIMECAP MGMT	084	LIFE	В	7,000	45.75	n/a	n/a	280.00	4.0	
ß	BUCK CAPITAL MGMT		LIZ	S	70,000	12.70	n/a	7-0ct	non-brg	4.0	
ß	BUCK CAPITAL MGMT		LVS	S	20,000	56.53	n/a	13-Jun	non-brg	4.0	
	NOMURA CAPITAL	029	LYB	S	1,500	43.57	n/a	n/a	45.00	3.0	
	PRIMECAP MGMT	084	MDR	S	10,700	11.10	n/a	n/a	428.00	4.0	
	PRIMECAP MGMT	084	MDT	S	4,000	37.20	n/a	n/a	160.00	4.0	
	SCOPUS FUND	041	MFB	В	25,000	22.55	n/a	n/a	1,000.00	4.0	
	PATTEN & PATTEN	025	MO	S	300	31.64	n/a	n/a	15.00	5.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
ß	BUCK CAPITAL MGMT		MSMSBCM	SS	50,000	108.59	n/a	n/a	non-brg	8.7
	PRIMECAP MGMT	084	NBL	S	13,000	93.70	n/a	n/a	520.00	4.0
	PRIMECAP MGMT	084	NOV	S	14,000	77.69	n/a	n/a	560.00	4.0
	PROSPECTOR PARTNERS LLC	036	NP	S	10,000	28.09	n/a	16-Apr	400.00	4.0
	PATTEN & PATTEN	025	NSC	В	130	68.99	n/a	n/a	6.50	5.0
	PRIMECAP MGMT	084	NVDA	S	29,300	13.20	n/a	n/a	1,172.00	4.0
ß	BUCK CAPITAL MGMT		NWY	В	25,100	3.81	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		NWY	В	3,300	3.80	n/a	n/a	non-brg	0.8
	PRIMECAP MGMT	084	OII	S	119,400	51.07	n/a	n/a	4,776.00	4.0
	COUGAR TRADING	044	OZM	S	5,000	8.21	n/a	n/a	200.00	4.0
ß	BUCK CAPITAL MGMT		PAG	В	15,500	26.57	n/a	18-Apr	non-brg	4.0
ß	BUCK CAPITAL MGMT		PAG	В	30,000	26.52	n/a	18-Apr	non-brg	4.0
	COOPER CREEK PART	028	PAG	В	12,255	26.26	n/a	18-Apr	490.20	4.0
f	MARC PAUL LUCHANSKY	FID	PCRRX	s	1563	6.46	n/a	n/a	0.00	0.0
f	ANTHONY SUTERA	FID	PFCB	s	1000	38.60	n/a	13-Apr	0.00	0.0
ß	BUCK CAPITAL MGMT		PII	S	20,000	78.13	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		PLCE	В	20,000	47.01	n/a	7-Mar	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		PSMT	S	20,000	79.08	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		PVH	s	50,000	86.05	n/a	19-Apr	non-brg	4.0
	PRIMECAP MGMT	084	QGEN	В	13,000	15.47	n/a	n/a	520.00	4.0
	BASSWOOD PARTNERS	267	ROP	С	9,500	98.84	n/a	n/a	380.00	4.0
ß	BUCK CAPITAL MGMT		SAH	В	30,000	16.31	n/a	n/a	non-brg	4.0
	VERITION	028	SBUX	В	12,500	58.14	23-Apr	23-Apr	375.00	3.0
ß	BUCK CAPITAL MGMT		SCSS	В	70,000	31.04	n/a	n/a	non-brg	2.5
ß	BUCK CAPITAL MGMT		SCSS	В	70,000	31.04	n/a	n/a	non-brg	2.5
	PATTEN & PATTEN	025	SDS	S	3,500	15.96	n/a	n/a	175.00	5.0
ß	BUCK CAPITAL MGMT		SFER IM	S	30,000	19.65	n/a	n/a	non-brg	2.9
ß	BUCK CAPITAL MGMT		SFER IM	s	30,000	19.65	n/a	n/a	non-brg	2.9
ß	BUCK CAPITAL MGMT		SIG	s	17,000	47.08	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SIG	S	20,000	47.10	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SKUL	В	30,000	15.65	n/a	n/a	non-brg	4.0
ß	BUCK CAPITAL MGMT		SKUL	S	20,000	15.41	n/a	n/a	non-brg	4.0
	PATTEN & PATTEN	025	SPY	В	170	136.53	n/a	n/a	8.50	5.0
f	IAN L MILLER	FID	SWN	В	40	28.03	n/a	17-Apr	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
	PROSPECTOR PARTNERS LLC	036	SYY	В	10,000	28.54	n/a	n/a	400.00	4.0	
f	JOSEPH CIRO AMATURO	FID	TBT	В	5000	18.28	n/a	n/a	0.00	0.0	
õ	Nilsa Vazquez		TBT	В	250	18.29	n/a	n/a	0.00	0.0	
	CAERUS GLOBAL	041	TGT	В	9,000	56.39	n/a	9-Apr	360.00	4.0	
В	BUCK CAPITAL MGMT		TJX	s	10,000	40.65	n/a	19-Apr	non-brg	4.0	
ß	BUCK CAPITAL MGMT		TPX	В	20,000	64.66	n/a	n/a	non-brg	4.0	
	RBC CAPITAL MARKETS	040	TPX	В	10,000	64.82	n/a	n/a	400.00	4.0	
ß	BUCK CAPITAL MGMT		TXRH	С	60,000	16.58	n/a	n/a	non-brg	4.0	
ß	BUCK CAPITAL MGMT		TXRH	С	60,000	16.58	n/a	n/a	non-brg	4.0	
	CATAPULT	040	TXRH	В	12,162	16.26	n/a	n/a	486.48	4.0	
f	IAN L MILLER	FID	UNG	В	75	14.87	n/a	n/a	0.00	0.0	
	RBC CAPITAL MARKETS	040	VFC	В	5,000	149.46	n/a	19-Apr	200.00	4.0	
	STANDARD PACIFIC CAPITAL	084	VLO	S	275 , 000	23.43	n/a	n/a	13,750.00	5.0	
	MERRILL ASSET/BLACKROCK	297	WBS	S	13,742	21.26	n/a	n/a	549.68	4.0	
	PRIMECAP MGMT	084	WHR	S	1,600	63.77	n/a	n/a	64.00	4.0	
	TUDOR INVESTORS	028	WLT	В	2,000	63.15	n/a	n/a	60.00	3.0	
	BNP PARIBAS SEC	040	WMT	В	100,000	59.74	n/a	23-Apr	5,000.00	5.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		WMT	SS	50,000	59.50	n/a	23-Apr	non-brg	4.0
ß	BUCK CAPITAL MGMT		WMT	SS	50,000	60.01	n/a	23-Apr	non-brg	4.0
	FIRST NEW YORK FRAN	028	WMT	В	15,000	59.50	n/a	23-Apr	600.00	4.0
	OZ MGMT	040	WMT	В	75,000	59.79	n/a	23-Apr	2,250.00	3.0
	TUDOR INVESTORS	028	WNC	В	8,700	8.35	n/a	n/a	261.00	3.0
	BNP PARIBAS SEC	040	WRC	В	10,000	52.82	n/a	19-Apr	500.00	5.0
ß	BUCK CAPITAL MGMT		WRC	S	10,000	52.56	n/a	19-Apr	non-brg	4.0
	PRIMECAP MGMT	084	WSH	В	8,000	36.10	n/a	n/a	320.00	4.0
f	FRANCIS MICHAEL MCCA	FID	WSO	В	200	71.41	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		WYNN	С	10,000	125.82	n/a	13-Jun	non-brg	4.0
ß	BUCK CAPITAL MGMT		WYNN	С	10,000	125.82	n/a	13-Jun	non-brg	4.0
ß	BUCK CAPITAL MGMT		WY	В	25,100	19.99	n/a	16-Apr	non-brg	4.0
	CSFB GLOBAL PROPRIETARY	041	WY	В	100,000	20.15	n/a	16-Apr	5,000.00	5.0
	MA CAPITAL MGMT/MELLON AD	V041	WY	В	5,000	20.20	n/a	16-Apr	250.00	5.0
	NEWLAND CAPITAL MGT	025	XLE	В	25,000	67.91	n/a	n/a	1,000.00	4.0
f	KAREN F LEDDY	FID	XPO	s	1000	16.76	n/a	n/a	0.00	0.0
	NEWLAND CAPITAL MGT	025	X	S	10,000	27.93	n/a	n/a	400.00	4.0
	BALYASNY ASSET MGMT	044	ZIP	SS	16,300	13.53	n/a	n/a	815.00	5.0
f	CHRISTOPHER ROBERT C	FID	ZNGA	В	1000	9.00	n/a	n/a	0.00	0.0

TOTAL (Trade Date): 114,132.99

Туре	Date Account	RR # Symbol B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL	(AO, CXL, CRT):					0.00
	(Trade Date):				114,1	32.99
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TOTAL	(RUN DATE) / NFS:				114,1	32.99

BCM Cancel/Corrects and As-Of Trades

Туре	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
CXL	04/20	BUCK CAPITAL MGMT.	GIL	S	30,000	27.80	n/a	19-Apr	1200.00	
CRT	04/20	BUCK CAPITAL MGMT	GIL	S	30,000	27.93	n/a	19-Apr	1200.00	4.0
CXL	04/20	BUCK CAPITAL MGMT.	LIZ	s	40,000	13.04	n/a	7-0ct	1600.00	
CRT	04/20	BUCK CAPITAL MGMT	LIZ	S	40,000	13.04	n/a	7-0ct	1600.00	4.0
AO	04/20	BUCK CAPITAL MGMT	MSMSRASH	SS	274,791	115.48	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 23-Apr. SBUX

MRI Securities without Trade Activity on 23-Apr. NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)