



Daily Compliance Activity Report

Trade Date: 05/24/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MKT MAKER	099	ACXM	S	4	27.66	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	30,000	8.30	n/a	n/a	1,200.00	4.0
SPRINGBOC	039	AIV	B	36,000	51.73	n/a	n/a	1,800.00	5.0
LISTED ERR	099	AIV	B	900	51.73	n/a	n/a	0.00	0.0
AMBER CAPITAL	046	AL	B	120,000	84.62	n/a	n/a	4,800.00	4.0
MAZAMA CAPITAL MGMT.	035	AMG	S	3,700	127.24	25-Apr	23-May	148.00	4.0
SUNOVA CAPITAL	031	AMG	B	25,000	127.75	25-Apr	23-May	1,250.00	5.0
APEX CAPITAL MGMT.	091	AMZN	B	50,000	69.60	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	ANN	SS	5,000	38.76	n/a	21-May	250.00	5.0
VISIUM/BALY HOWARD	038	ANN	S	13,300	38.75	n/a	21-May	665.00	5.0
THE JAY GOLDMAN	034	ARO	B	65,000	47.29	n/a	21-May	2,600.00	4.0
THOMSON HORSTMAN BRYANT	040	ARO	S	4,500	46.94	n/a	21-May	225.00	5.0
BINDER	007	ASH	S	100	59.51	25-Apr	25-Apr	0.00	0.0
MAZAMA CAPITAL MGMT.	035	ATW	S	11,800	64.49	n/a	n/a	472.00	4.0
BUCK CAPITAL MGMT	001	BBY	S	10,000	46.65	1-May	11-May	400.00	4.0
MAZAMA CAPITAL MGMT.	035	BGS	S	11,300	13.55	n/a	n/a	452.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FORD FDN.	032	BIIB	B	145,000	48.15	n/a	n/a	7,250.00	5.0
ADAGE CAPITAL MGMT	030	BJ	S	60,100	37.22	10-Apr	23-May	2,404.00	4.0
OPTIMUM INV ADV	030	BMET	S	50,000	43.60	n/a	n/a	2,000.00	4.0
DELTEC ASSET MGMT.	040	BONT	B	23,500	44.99	9-Mar	21-May	1,175.00	5.0
STERLING JOHNSTON	035	BONT	S	50,000	44.74	9-Mar	21-May	2,500.00	5.0
WOOSTER CAPITAL	035	BONT	B	20,000	44.54	9-Mar	21-May	1,000.00	5.0
WHEELER	008	BQI	B	40,000	3.09	n/a	n/a	0.00	0.0
WHEELER	008	BRNC	S	6,278	16.79	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	BWS	B	196,900	32.17	n/a	n/a	7,383.75	3.8
GAULT	063	CCUR	S	10,000	1.56	n/a	n/a	0.00	0.0
VARDON PARTNERS	035	CC	SS	40,000	15.85	1-May	1-May	2,000.00	5.0
BRIGHTPOINT CAP	067	CHS	S	10,000	24.81	27-Mar	22-May	500.00	5.0
MAZAMA CAPITAL MGMT.	035	CNS	S	3,200	52.27	n/a	n/a	128.00	4.0
EGS ASSOCIATES LP	091	COH	S	5,000	48.82	23-Feb	25-Apr	300.00	6.0
VARDON PARTNERS	035	COH	SS	5,000	49.13	23-Feb	25-Apr	250.00	5.0
MAZAMA CAPITAL MGMT.	035	CPX	S	25,000	26.15	n/a	n/a	1,000.00	4.0
MERRILL LYNCH STRATEGICI	034	CYT	S	17,800	59.30	n/a	n/a	890.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WHALE ROCK CAP	030	DDS	S	100,000	35.95	n/a	n/a	4,000.00	4.0
MKT MAKER	099	DEPO	S	58	4.56	n/a	n/a	0.00	0.0
MCCARTAN	028	DURA OPER CORPB		25,000	50.50	n/a	n/a	0.00	0.0
GRONET	030	DURA OPER CORPB		100,000	50.50	n/a	n/a	0.00	0.0
LUCHANSKY1	036	DURA OPER CORPB		10,000	50.50	n/a	n/a	0.00	0.0
GAULT	063	DURA OPER CORPB		100,000	11.00	n/a	n/a	0.00	0.0
GAULT	063	DURA OPER CORP	S	100,000	50.38	n/a	n/a	0.00	0.0
PAKLER	N22	DURA OPER CORPB		5,000	50.50	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	DW	B	20,000	33.56	n/a	n/a	1,000.00	5.0
MAZAMA CAPITAL MGMT.	035	ENG	S	10,900	10.38	n/a	n/a	436.00	4.0
MAZAMA CAPITAL MGMT.	035	EVR	S	17,700	29.65	n/a	n/a	708.00	4.0
BUCK CAPITAL MGMT	001	FL	S	40,000	21.07	24-May	24-May	1,600.00	4.0
MAZAMA CAPITAL MGMT.	035	FMR	S	5,000	19.10	n/a	n/a	200.00	4.0
Õ Glenn Redemann		FWLT	B	7	0.5400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FWLT	B	7	0.6900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FWLT	SS	7	1.1500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FWLT	SS	7	1.5300	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	GHL	S	5,000	68.71	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	GIL	S	10,000	68.62	n/a	3-May	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MCCARTAN	028	GIL	S	100	68.21	n/a	3-May	0.00	0.0
PAKLER	N22	GIL	S	39	68.16	n/a	3-May	0.00	0.0
AVESTA CAP	229	GME	S	25,000	35.55	n/a	n/a	1,250.00	5.0
DEMARTINI	065	GR	S	500	58.69	26-Apr	26-Apr	0.00	0.0
MAZAMA CAPITAL MGMT.	035	HF	S	11,100	15.97	n/a	n/a	444.00	4.0
DEMARTINI	065	HMA	S	2,000	10.52	5-Mar	25-Apr	0.00	0.0
MAZAMA CAPITAL MGMT.	035	HPY	S	16,700	25.06	n/a	n/a	668.00	4.0
MILLENIUM PARTNERS	028	HSC	B	10,000	50.27	23-Apr	23-Apr	500.00	5.0
SUN AMERICA ASSET MGMT	030	IBM	S	65,700	104.71	n/a	n/a	3,285.00	5.0
FARALLON CAP MGMT	040	ID	B	79,200	19.61	n/a	n/a	3,168.00	4.0
WHITE PINE CAPITAL	030	IFF	S	200	50.84	3-May	3-May	10.00	5.0
OPTIMUM INV ADV	030	IM	B	8,000	19.80	n/a	n/a	320.00	4.0
CSFB GLOBAL PROPRIETARY	035	IP	SS	50,000	39.01	4-May	4-May	2,500.00	5.0
UBS OCONNOR	031	IP	SS	140,000	38.75	4-May	4-May	4,200.00	3.0
MERRILL LYNCH STRATEGICI	034	JNY	SS	5,000	30.25	n/a	3-May	200.00	4.0
AVESTA CAP	229	KR	S	50,000	29.28	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	10,000	73.77	n/a	18-May	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DELTEC ASSET MGMT.	040	LOW	S	10,000	32.02	n/a	21-May	500.00	5.0
BUCK CAPITAL MGMT	001	LTD	SS	30,000	26.52	16-May	24-May	1,200.00	4.0
GALLEON MGMT LP	033	LTR	SS	10,000	50.60	n/a	n/a	500.00	5.0
AVESTA CAP	229	MCD	B	25,000	51.24	24-Apr	24-Apr	1,250.00	5.0
BARR	096	MCO	C	450	71.66	n/a	n/a	0.00	0.0
BARR	096	MCO	SS	250	71.15	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	MWV	S	18,000	34.05	3-May	3-May	900.00	5.0
MAZAMA CAPITAL MGMT.	035	NGS	S	10,000	16.30	n/a	n/a	400.00	4.0
VARDON PARTNERS	035	NKE	SS	5,000	55.11	n/a	n/a	250.00	5.0
STERLING JOHNSTON	035	NWY	S	66,950	12.00	11-May	11-May	3,347.50	5.0
WHITE PINE CAPITAL	030	OLN	S	175	20.02	n/a	22-May	8.75	5.0
BINDER	007	OMX	SS	100	44.34	n/a	15-Apr	0.00	0.0
GAULT	063	OPBL	S	2,000	0.44	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	OXM	S	10,000	44.42	n/a	n/a	400.00	4.0
WHITE PINE CAPITAL	030	PERY	S	200	32.24	n/a	n/a	10.00	5.0
LOOMIS SALES/BOSTON	036	PG	S	229,281	63.10	15-Dec	4-May	9,171.24	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	PJC	S	9,400	64.31	n/a	n/a	376.00	4.0
THE JAY GOLDMAN	034	PKG	B	10,000	25.62	n/a	18-Apr	400.00	4.0
BUCK CAPITAL MGMT	001	PSS	B	20,000	34.32	n/a	n/a	800.00	4.0
WHITE PINE CAPITAL	030	PTIX	S	525	4.97	n/a	n/a	26.25	5.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	94.26	2-Feb	24-May	400.00	4.0
COUGAR TRADING	044	RL	SS	15,000	93.85	2-Feb	24-May	750.00	5.0
FARALLON CAP MGMT	040	RSH	B	150,000	32.42	n/a	n/a	6,000.00	4.0
Õ Glenn Redemann		RVBD	B	6	0.2000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RVBD	B	6	1.1000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RVBD	SS	6	0.6500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		RVBD	SS	6	0.6500	n/a	n/a	0.00	0.0
COUGAR TRADING	044	SKX	B	5,000	33.06	n/a	13-Mar	250.00	5.0
ROSENBERG	034	SLB	S	1,000	80.26	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	SLM	B	100,000	55.98	n/a	n/a	4,000.00	4.0
MISSOURI VALLEY PART	046	SMRT	S	65,000	13.18	n/a	n/a	3,250.00	5.0
WHEELER	008	SPNC	B	4,800	9.78	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SSI	B	10,000	19.41	11-May	21-May	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GENERAL ELECTIC CO	033	STT	B	49,522	66.85	n/a	n/a	2,228.51	4.5
VARDON PARTNERS	035	TGT	B	16,000	59.19	n/a	24-May	800.00	5.0
GRISANTI BROWN & PARTNER	048	TRA	S	14,500	18.16	n/a	n/a	725.00	5.0
US BANCORP	030	TWB	B	30,574	40.22	23-May	23-May	1,528.70	5.0
IRON HORSE CAPITAL MGMT	038	UCO	B	10,000	70.57	10-May	22-May	500.00	5.0
BRENCOURT	028	UNH	S	22,000	54.30	n/a	n/a	1,100.00	5.0
MKT MAKER	099	USTR	B	400	65.70	23-May	23-May	0.00	0.0
LOOMIS SALES/BOSTON	036	VCJ	B	53,800	17.64	n/a	n/a	2,152.00	4.0
FARALLON CAP MGMT	040	WLP	S	67,000	84.87	n/a	n/a	2,680.00	4.0
TOTAL (Trade Date) :								119,835.70	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/23	CHILTON CAPITAL MGMT. (CHILMORGAN)	036	ANF	B	110,073	81.43	n/a	24-May	4402.92 4.0
AO,	05/23	APEX CAPITAL MGMT. (APEXUBS)	091	EBAY	B	33,300	33.45	n/a	n/a	1665.00 5.0
AO,CXL	05/23	APEX CAPITAL MGMT. (APEXMORGAN)	091	EBAY	B	200,000	33.45	n/a	n/a	10000.00 5.0
AO,CRT	05/23	APEX CAPITAL MGMT. (APEXMORGAN)	091	EBAY	B	166,700	33.45	n/a	n/a	8335.00 5.0
AO,CXL	05/21	KACELA CAPITAL (KACEGS)	034	LOW	B	10,000	31.63	n/a	21-May	500.00 5.0
AO,CRT	05/21	KACELA CAPITAL (KACEGS)	034	LOW	B	10,000	31.63	n/a	21-May	400.00 4.0
AO,CXL	05/23	S.A.C. CAPITAL MGMT. (SACCNEW)	044	PKG	S	25,000	25.55	n/a	18-Apr	1.28 0.0
AO,CRT	05/23	S.A.C. CAPITAL MGMT. (SACCNEW)	044	PKG	S	25,000	25.55	n/a	18-Apr	1250.00 5.0
TOTAL (AO, CXL, CRT) :										5,551.64

TOTAL (Trade Date):	119,835.70
TOTAL (AO, CXL, CRT):	5,551.64

TOTAL (RUN DATE) / NFS:	125,387.34
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION