



## Daily Compliance Activity Report

Trade Date: 06/06/2011

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
ST OF MICHIAN	047	BAC	S	150,000	10.80	n/a	2-Jun	4,500.00 3.0
ST OF MICHIAN	047	BAX	S	50,000	57.63	n/a	n/a	1,500.00 3.0
DAWSON CAPITAL MGMT.	085	BMC	S	3,510	53.44	n/a	n/a	175.50 5.0
WEISS PECK	040	BWS	B	80,240	8.95	n/a	n/a	3,209.60 4.0
MTB INV ADV	034	CROX	S	5,200	21.55	n/a	n/a	260.00 5.0
FIRST NY SEC/CHRIS	041	CSCO	B	25,000	16.02	n/a	n/a	1,250.00 5.0
PROSPECTOR PARTNERS LLC	036	CW	B	10,000	32.52	n/a	n/a	400.00 4.0
MTB INV ADV	034	DECK	S	3,650	83.66	n/a	n/a	182.50 5.0
RAINIER INV MGMT	035	ESV	B	82,900	54.90	n/a	n/a	3,316.00 4.0
PROSPECTOR PARTNERS LLC	036	FAF	B	10,000	14.91	n/a	n/a	400.00 4.0
ROYCE TRADING	012	FINL	B	31,855	21.40	n/a	10-May	1,592.75 5.0
DAWSON CAPITAL MGMT.	085	FL	S	4,270	23.15	n/a	2-Jun	213.50 5.0
MA CAPITAL MGMT/MELLON ADV	041	FRED	SS	6,000	14.04	n/a	n/a	240.00 4.0
MERRILL ASSET/BLACKROCK	297	PRE	S	8,200	72.76	n/a	n/a	328.00 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HALCYON LLC	041	XCO	S	100,000	19.08	n/a	n/a	0.00	0.0
<b>TOTAL (Trade Date):</b>							<b>17,567.85</b>		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									17,567.85
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									17,567.85

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION