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Daily Compliance Activity Report Trade Date: 03/19/2012

POTENTIAL ANALYST TRADE VIOLATIONS: 0

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	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
	BESSEMER INVESTMENT	085	AAPL	S	225	598.77	n/a	n/a	9.00	4.0
	BESSEMER INVESTMENT	085	ABT	S	60	60.27	n/a	n/a	2.40	4.0
	BESSEMER INVESTMENT	085	ABX	В	310	43.83	n/a	n/a	12.40	4.0
	BESSEMER INVESTMENT	085	ACE	В	325	73.35	n/a	n/a	13.00	4.0
	BESSEMER INVESTMENT	085	ACN	В	365	63.43	n/a	n/a	14.60	4.0
	BESSEMER INVESTMENT	085	AMZN	S	500	185.55	n/a	n/a	20.00	4.0
	SENATOR INVESTMENT GROUP	036	APC	SS	10,000	84.64	n/a	14-Mar	300.00	3.0
	SCOPUS FUND	041	ARO	В	25,000	20.40	n/a	n/a	1,000.00	4.0
	BESSEMER INVESTMENT	085	A	S	49	45.42	n/a	n/a	1.96	4.0
	BESSEMER INVESTMENT	085	BAX	S	500	59.59	n/a	n/a	20.00	4.0
	BESSEMER INVESTMENT	085	ВА	S	370	75.55	n/a	15-Mar	14.80	4.0
	BESSEMER INVESTMENT	085	BCE	S	720	40.16	n/a	n/a	28.80	4.0
Ď	Jill Rosenberg		вні	S	3	1.0300	n/a	n/a	0.00	0.0
	UBS OCONNOR	031	CAT	SS	27,000	113.55	n/a	27-Jan	810.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
BESSEMER INVESTMENT	085	СВ	S	130	69.27	n/a	n/a	5.20	4.0
BESSEMER INVESTMENT RBC CAPITAL MARKETS	085	CCL	B SS	225 10,000	32.84 32.81	n/a n/a	n/a n/a	9.00	4.0
FEDERATED INV/KAUFMAN	029	CE	В	100,000	47.37	n/a	117 a 20-May	5,500.01	5.5
CATAPULT	040	CHK	В	20,000	25.42	n/a	n/a	800.00	4.0
BESSEMER INVESTMENT	085	CLX	S	170	67.71	n/a	21-Feb	6.80	4.0
BESSEMER INVESTMENT	085	CMCSA	В	485	29.90	n/a	n/a	19.40	4.0
BESSEMER INVESTMENT	085	CNP	S	970	19.01	n/a	n/a	38.80	4.0
LEVIN CAPITAL STRATEGIES	041	СОН	SS	6 , 000	77.48	n/a	2-Mar	180.00	3.0
BESSEMER INVESTMENT	085	COP	В	175	78.21	n/a	n/a	7.00	4.0
BESSEMER INVESTMENT	085	CTL	В	335	39.32	n/a	n/a	13.40	4.0
BESSEMER INVESTMENT	085	CVS	В	245	45.19	n/a	n/a	9.80	4.0
SANDLER O'NEILL ASSET	031	С	В	25,000	36.79	n/a	15-Mar	1,250.00	5.0
UBS OCONNOR	031	DAN	С	90,000	16.33	n/a	22-Feb	2,700.00	3.0
BESSEMER INVESTMENT	085	DELL	S	365	17.40	n/a	n/a	14.60	4.0
JP MORGAN VENTURES	046	DKS	В	10,000	47.69	n/a	7-Mar	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
BESSEMER INVESTMENT	085	DTE	В	110	54.79	n/a	n/a	4.40	4.0
BESSEMER INVESTMENT	085	EMR	S	400	51.95	n/a	n/a	16.00	4.0
PERRY PARTNERS	085	ESRX	В	50,000	53.39	n/a	n/a	2,500.00	5.0
BESSEMER INVESTMENT	085	EXC	В	255	38.95	n/a	n/a	10.20	4.0
BESSEMER INVESTMENT	085	FCX	В	180	39.32	n/a	n/a	7.20	4.0
BESSEMER INVESTMENT	085	FDX	S	320	95.16	n/a	n/a	12.80	4.0
BESSEMER INVESTMENT	085	FE	В	365	44.15	n/a	n/a	14.60	4.0
BESSEMER INVESTMENT	085	FTR	S	19	4.25	n/a	n/a	0.76	4.0
BESSEMER INVESTMENT	085	GD	В	105	73.20	n/a	14-Feb	4.20	4.0
MERRILL ASSET/BLACKROCK	297	GEOI	S	22,949	35.77	n/a	n/a	917.96	4.0
BESSEMER INVESTMENT	085	GE	S	106	20.24	n/a	n/a	4.24	4.0
LEVIN CAPITAL STRATEGIES	041	GIL	В	10,100	27.52	n/a	9-Feb	303.00	3.0
CRAMER ROSENTHAL	070	GIS	S	406,450	38.64	n/a	n/a	16,258.00	4.0
TALPION LLC	040	GPI	В	3,000	55.84	n/a	10-Feb	120.00	4.0
BESSEMER INVESTMENT	085	GRMN	S	700	47.34	n/a	n/a	28.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.	
BESSEMER INVESTMENT	085	HAL	S	390	35.16	n/a	n/a	15.60	4.0	
ROTHSCHILD ASSET	040	HLX	В	34,007	18.73	n/a	n/a	1,360.28	4.0	
ROTHSCHILD ASSET	040	HMN	В	23,021	17.79	n/a	n/a	920.84	4.0	
M&I FUNDS	047	HNZ	S	6,419	53.25	n/a	n/a	256.76	4.0	
BESSEMER INVESTMENT	085	HPQ	S	260	24.43	n/a	n/a	10.40	4.0	
BESSEMER INVESTMENT	085	IBM	В	110	205.79	n/a	n/a	4.40	4.0	
BESSEMER INVESTMENT	085	IBM	S	20	205.76	n/a	n/a	0.80	4.0	
BESSEMER INVESTMENT	085	IMO	S	230	47.35	n/a	n/a	9.20	4.0	
BESSEMER INVESTMENT	085	INTC	S	600	27.87	n/a	n/a	24.00	4.0	
BALYASNY ASSET MGMT	044	IP	SS	25,000	35.44	n/a	2-Feb	1,250.00	5.0	
CSFB GLOBAL PROPRIETARY	041	IP	В	25,000	35.37	n/a	2-Feb	1,250.00	5.0	
DC CAPITAL	044	IP	S	50,000	35.54	n/a	2-Feb	2,000.00	4.0	
BALLAST CAP	040	IRM	В	8 , 700	29.25	n/a	n/a	435.00	5.0	
TROUBH PART	070	JCP	В	25,000	36.25	n/a	27-Feb	1,250.00	5.0	
BESSEMER INVESTMENT	085	JNJ	В	355	65.23	n/a	n/a	14.20	4.0	
BESSEMER INVESTMENT	085	КВН	S	20	11.93	n/a	n/a	0.80	4.0	
BESSEMER INVESTMENT	085	KFT	S	925	38.33	n/a	n/a	37.00	4.0	
	BESSEMER INVESTMENT ROTHSCHILD ASSET ROTHSCHILD ASSET M&I FUNDS BESSEMER INVESTMENT BESSEMER INVESTMENT BESSEMER INVESTMENT BESSEMER INVESTMENT BESSEMER INVESTMENT BALYASNY ASSET MGMT CSFB GLOBAL PROPRIETARY DC CAPITAL BALLAST CAP TROUBH PART BESSEMER INVESTMENT BESSEMER INVESTMENT BESSEMER INVESTMENT	BESSEMER INVESTMENT 085 ROTHSCHILD ASSET 040 M&I FUNDS 047 BESSEMER INVESTMENT 085 BALYASNY ASSET MGMT 044 CSFB GLOBAL PROPRIETARY 041 DC CAPITAL 044 BALLAST CAP 040 TROUBH PART 070 BESSEMER INVESTMENT 085 BESSEMER INVESTMENT 085 BESSEMER INVESTMENT 040	BESSEMER INVESTMENT 085 HAL ROTHSCHILD ASSET 040 HMN M&I FUNDS 047 HNZ BESSEMER INVESTMENT 085 HPQ BESSEMER INVESTMENT 085 IBM BESSEMER INVESTMENT 085 IMO BESSEMER INVESTMENT 085 INTC BALYASNY ASSET MGMT 044 IP CSFB GLOBAL PROPRIETARY 041 IP DC CAPITAL 044 IP BALLAST CAP 040 IRM TROUBH PART 070 JCP BESSEMER INVESTMENT 085 JNJ BESSEMER INVESTMENT 085 JNJ BESSEMER INVESTMENT 085 JNJ BESSEMER INVESTMENT 085 KBH	BESSEMER INVESTMENT 085 HAL S ROTHSCHILD ASSET 040 HLX B ROTHSCHILD ASSET 040 HMN B M&I FUNDS 047 HNZ S BESSEMER INVESTMENT 085 HPQ S BESSEMER INVESTMENT 085 IBM B BESSEMER INVESTMENT 085 IBM S BESSEMER INVESTMENT 085 IMO S BESSEMER INVESTMENT 085 INTC S BALYASNY ASSET MGMT 044 IP SS CSFB GLOBAL PROPRIETARY 041 IP B DC CAPITAL 044 IP S BALLAST CAP 040 IRM B TROUBH PART 070 JCP B BESSEMER INVESTMENT 085 JNJ B	BESSEMER INVESTMENT 085 HAL S 390 ROTHSCHILD ASSET 040 HLX B 34,007 ROTHSCHILD ASSET 040 HMN B 23,021 M&I FUNDS 047 HNZ S 6,419 BESSEMER INVESTMENT 085 HPQ S 260 BESSEMER INVESTMENT 085 IBM B 110 BESSEMER INVESTMENT 085 IMO S 230 BESSEMER INVESTMENT 085 INTC S 600 BALYASNY ASSET MGMT 044 IP SS 25,000 CSFB GLOBAL PROPRIETARY 041 IP S 50,000 BALLAST CAP 040 IRM B 8,700 TROUBH PART 070 JCP B 25,000 BESSEMER INVESTMENT 085 JNJ B 355 BESSEMER INVESTMENT 085 KBH S 20	BESSEMER INVESTMENT 085 HAL S 390 35.16 ROTHSCHILD ASSET 040 HLX B 34,007 18.73 ROTHSCHILD ASSET 040 HMN B 23,021 17.79 M&I FUNDS 047 HNZ S 6,419 53.25 BESSEMER INVESTMENT 085 HPQ S 260 24.43 BESSEMER INVESTMENT 085 IBM B 110 205.79 BESSEMER INVESTMENT 085 IBM S 20 205.76 BESSEMER INVESTMENT 085 IMO S 230 47.35 BESSEMER INVESTMENT 085 INTC S 600 27.87 BALYASNY ASSET MGMT 044 IP SS 25,000 35.44 CSFB GLOBAL PROPRIETARY 041 IP B 25,000 35.37 DC CAPITAL 044 IP S 50,000 35.54 BALLAST CAP 040 IRM B 8,700 29.25 TROUBH PART 070 JCP B 25,000 36.25 BESSEMER INVESTMENT 085 JNJ B 355 65.23 BESSEMER INVESTMENT 085 JNJ B 355 65.23	BESSEMER INVESTMENT 085 HAL S 390 35.16 n/a ROTHSCHILD ASSET 040 HLX B 34,007 18.73 n/a ROTHSCHILD ASSET 040 HMN B 23,021 17.79 n/a M&I FUNDS 047 HNZ S 6,419 53.25 n/a BESSEMER INVESTMENT 085 HPQ S 260 24.43 n/a BESSEMER INVESTMENT 085 IBM B 110 205.79 n/a BESSEMER INVESTMENT 085 IBM S 20 205.76 n/a BESSEMER INVESTMENT 085 IBM S 20 205.76 n/a BESSEMER INVESTMENT 085 IMO S 230 47.35 n/a BESSEMER INVESTMENT 085 INTC S 600 27.87 n/a BALYASNY ASSET MGMT 044 IP SS 25,000 35.44 n/a CSFB GLOBAL PROPRIETARY 041 IP B 25,000 35.37 n/a DC CAPITAL 044 IP S 50,000 35.54 n/a BALLAST CAP 040 IRM B 8,700 29.25 n/a BESSEMER INVESTMENT 085 JNJ B 355 65.23 n/a BESSEMER INVESTMENT 085 JNJ B 355 65.23 n/a BESSEMER INVESTMENT 085 JNJ B 355 65.23 n/a	BESSEMER INVESTMENT 085 HAL S 390 35.16 n/a n/a ROTHSCHILD ASSET 040 HEX B 34,007 18.73 n/a n/a ROTHSCHILD ASSET 040 HEMN B 23,021 17.79 n/a n/a MAI FUNDS 047 HNZ S 6,419 53.25 n/a n/a BESSEMER INVESTMENT 085 HPQ S 260 24.43 n/a n/a BESSEMER INVESTMENT 085 IBM B 110 205.79 n/a n/a BESSEMER INVESTMENT 085 IBM S 20 205.76 n/a n/a BESSEMER INVESTMENT 085 IMO S 230 47.35 n/a n/a BESSEMER INVESTMENT 085 INTC S 600 27.87 n/a n/a BESSEMER INVESTMENT 085 INTC S 600 27.87 n/a n/a BALYASNY ASSET MGMT 044 IP SS 25,000 35.44 n/a 2-Feb DC CAPITAL 044 IP S 50,000 35.54 n/a 2-Feb DC CAPITAL 044 IP S 50,000 35.54 n/a 2-Feb BALLAST CAP 040 IRM B 8,700 29.25 n/a n/a TROUBH PART 070 JCP B 25,000 36.25 n/a 27-Feb BESSEMER INVESTMENT 085 JNJ B 355 65.23 n/a n/a BESSEMER INVESTMENT 085 JNJ B 355 65.23 n/a n/a	BESSEMER INVESTMENT 085 HAL S 390 35.16 n/a n/a 15.60 ROTHSCHILD ASSET 040 HLX B 34,007 18.73 n/a n/a 1,360.28 ROTHSCHILD ASSET 040 HMN B 23,021 17.79 n/a n/a 920.84 MAI FUNDS 047 HNZ S 6,419 53.25 n/a n/a 10.40 BESSEMER INVESTMENT 085 HPQ S 260 24.43 n/a n/a 10.40 BESSEMER INVESTMENT 085 IBM B 110 205.79 n/a n/a n/a 4.40 BESSEMER INVESTMENT 085 IBM S 20 205.76 n/a n/a n/a 0.80 BESSEMER INVESTMENT 085 IMO S 230 47.35 n/a n/a 24.00 BESSEMER INVESTMENT 085 INTC S 600 27.87 n/a n/a 24.00 BALYASNY ASSET MGMT 044 IP SS 25,000 35.44 n/a 2-Feb 1,250.00 CSFB GLOBAL PROPRIETARY 041 IP B 25,000 35.37 n/a 2-Feb 1,250.00 DC CAPITAL 044 IP S 50,000 35.54 n/a 2-Feb 1,250.00 BALLAST CAP 040 IRM B 8,700 29.25 n/a n/a 2-Feb 1,250.00 BALLAST CAP 040 IRM B 8,700 29.25 n/a n/a 2-Feb 1,250.00 BESSEMER INVESTMENT 085 JNJ B 355 65.23 n/a n/a 27-Feb 1,250.00 BESSEMER INVESTMENT 085 JNJ B 355 65.23 n/a n/a 27-Feb 1,250.00 BESSEMER INVESTMENT 085 KBH S 20 11.93 n/a n/a 0.80	BESSEMER INVESTMENT 085 HAL S 390 35.16

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.	
SIMMONS VENTURES	041	KSS	В	5,000	49.00	n/a	2-Mar	200.00	4.0	
MELLON/BANK OF NEW YORK	046	K	S	87 , 870	52.65	n/a	n/a	3,375.76	3.3	
HARVEST MGMT (JMP)	029	LGF	В	20,000	14.07	n/a	n/a	800.00	4.0	
BESSEMER INVESTMENT	085	LOW	В	425	30.47	n/a	28-Feb	17.00	4.0	
MELLON/BANK OF NEW YORK	046	LO	В	30,394	132.00	n/a	n/a	1,215.76	4.0	
MOORE CAPITAL	041	LVS	S	9,000	59.73	n/a	13-Jun	270.00	3.0	
BESSEMER INVESTMENT	085	MGA	В	235	48.41	n/a	n/a	9.40	4.0	
BESSEMER INVESTMENT	085	МНР	В	260	47.17	n/a	n/a	10.40	4.0	
BESSEMER INVESTMENT	085	MMM	S	260	89.78	n/a	10-Feb	10.40	4.0	
MOORE CAPITAL	041	MPEL	В	28,500	14.11	n/a	13-Jun	855.00	3.0	
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BESSEMER INVESTMENT	085 036	MRO MRO	B S	415 10,000	34.65	n/a n/a	n/a n/a	16.60	4.0	
PROSPECTOR PARTNERS LLC	036	MRO	5	10,000	34.46	n/a	II/a	400.00	4.0	
BESSEMER INVESTMENT	085	М	В	485	39.59	n/a	2-Mar	19.40	4.0	
BESSEMER INVESTMENT	085	NEM	В	330	53.65	n/a	n/a	13.20	4.0	
LATEFF MGMT	035	NKE	S	300	112.32	n/a	13-Mar	60.00	20.0	
BESSEMER INVESTMENT	085	NOV	S	805	83.25	n/a	n/a	32.20	4.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
FARALLON CAP MGMT	040	ORCL	S	100,000	29.76	n/a	n/a	3,000.00	3.0
BESSEMER INVESTMENT	085	OXY	S	295	101.89	n/a	n/a	11.80	4.0
MERRILL ASSET/BLACKROCK	297	PDC	S	38,600	9.29	n/a	n/a	1,544.00	4.0
BESSEMER INVESTMENT	085	PFG	S	200	29.18	n/a	n/a	8.00	4.0
M&I FUNDS	047	PG	S	6,099	67.28	n/a	24-Feb	243.96	4.0
BESSEMER INVESTMENT	085	РНМ	S	59	9.20	n/a	n/a	2.36	4.0
BNP PARIBAS SEC	040	PKG	SS	20,000	29.84	n/a	22-Feb	1,000.00	5.0
BESSEMER INVESTMENT	085	QCOM	S	550	66.85	n/a	n/a	22.00	4.0
PECONIC PARTNERS	036	QQQ	С	12,200	67.02	n/a	n/a	610.00	5.0
MERRILL ASSET/BLACKROCK	297	RE	В	9,900	93.03	n/a	n/a	396.00	4.0
MA CAPITAL MGMT/MELLON AD	V041	RKT	В	2,000	69.59	n/a	19-Mar	100.00	5.0
GEORGE WEISS	034	ROST	S	14,000	55.77	n/a	16-Mar	420.00	3.0
BESSEMER INVESTMENT	085	SCG	S	370	44.67	n/a	n/a	14.80	4.0
DELTEC ASSET MGMT.	040	SFL	S	17,725	15.85	n/a	n/a	886.25	5.0
BESSEMER INVESTMENT	085	SSYS	S	590	37.94	n/a	n/a	23.60	4.0
	FARALLON CAP MGMT BESSEMER INVESTMENT MERRILL ASSET/BLACKROCK BESSEMER INVESTMENT M&I FUNDS BESSEMER INVESTMENT BNP PARIBAS SEC BESSEMER INVESTMENT PECONIC PARTNERS MERRILL ASSET/BLACKROCK MA CAPITAL MGMT/MELLON AD GEORGE WEISS BESSEMER INVESTMENT DELTEC ASSET MGMT.	FARALLON CAP MGMT 040 BESSEMER INVESTMENT 085 MERRILL ASSET/BLACKROCK 297 BESSEMER INVESTMENT 085 M&I FUNDS 047 BESSEMER INVESTMENT 085 BNP PARIBAS SEC 040 BESSEMER INVESTMENT 085 PECONIC PARTNERS 036 MERRILL ASSET/BLACKROCK 297 MA CAPITAL MGMT/MELLON ADV041 GEORGE WEISS 034 BESSEMER INVESTMENT 085 DELTEC ASSET MGMT. 040	FARALLON CAP MGMT 040 ORCL BESSEMER INVESTMENT 085 OXY MERRILL ASSET/BLACKROCK 297 PDC BESSEMER INVESTMENT 085 PFG M&I FUNDS 047 PG BESSEMER INVESTMENT 085 PHM BNP PARIBAS SEC 040 PKG BESSEMER INVESTMENT 085 QCOM PECONIC PARTNERS 036 QQQ MERRILL ASSET/BLACKROCK 297 RE MA CAPITAL MGMT/MELLON ADV041 RKT GEORGE WEISS 034 ROST BESSEMER INVESTMENT 085 SCG DELTEC ASSET MGMT. 040 SFL	FARALLON CAP MGMT 040 ORCL S BESSEMER INVESTMENT 085 OXY S MERRILL ASSET/BLACKROCK 297 PDC S BESSEMER INVESTMENT 085 PFG S M&I FUNDS 047 PG S BESSEMER INVESTMENT 085 PHM S BNP PARIBAS SEC 040 PKG SS BESSEMER INVESTMENT 085 QCOM S PECONIC PARTNERS 036 QQQ C MERRILL ASSET/BLACKROCK 297 RE B MA CAPITAL MGMT/MELLON ADV041 RKT B GEORGE WEISS 034 ROST S BESSEMER INVESTMENT 085 SCG S DELTEC ASSET MGMT. 040 SFL S	FARALLON CAP MGMT 040 ORCL S 100,000 BESSEMER INVESTMENT 085 OXY S 295 MERRILL ASSET/BLACKROCK 297 PDC S 38,600 BESSEMER INVESTMENT 085 PFG S 200 M&I FUNDS 047 PG S 6,099 BESSEMER INVESTMENT 085 PHM S 59 BNP PARIBAS SEC 040 PKG SS 20,000 BESSEMER INVESTMENT 085 QCOM S 550 PECONIC PARTNERS 036 QQQ C 12,200 MERRILL ASSET/BLACKROCK 297 RE B 9,900 MA CAPITAL MGMT/MELLON ADVO41 RKT B 2,000 GEORGE WEISS 034 ROST S 14,000 BESSEMER INVESTMENT 085 SCG S 370 DELTEC ASSET MGMT. 040 SFL S 17,725	FARALLON CAP MGMT 040 ORCL S 100,000 29.76 BESSEMER INVESTMENT 085 OXY S 295 101.89 MERRILL ASSET/BLACKROCK 297 PDC S 38,600 9.29 BESSEMER INVESTMENT 085 PFG S 200 29.18 M&I FUNDS 047 PG S 6,099 67.28 BESSEMER INVESTMENT 085 PHM S 59 9.20 BNP PARIBAS SEC 040 PKG SS 20,000 29.84 BESSEMER INVESTMENT 085 QCOM S 550 66.85 PECONIC PARTNERS 036 QQQ C 12,200 67.02 MERRILL ASSET/BLACKROCK 297 RE B 9,900 93.03 MA CAPITAL MGMT/MELLON ADV041 RKT B 2,000 69.59 GEORGE WEISS 034 ROST S 14,000 55.77 BESSEMER INVESTMENT 085 SCG S 370 44.67 DELTEC ASSET MGMT. 040 SFL S 17,725 15.85	FARALLON CAP MGMT 040 ORCL S 100,000 29.76 n/a BESSEMER INVESTMENT 085 OXY S 295 101.89 n/a MERRILL ASSET/BLACKROCK 297 PDC S 38,600 9.29 n/a BESSEMER INVESTMENT 085 PFG S 200 29.18 n/a MGI FUNDS 047 PG S 6,099 67.28 n/a BESSEMER INVESTMENT 085 PHM S 59 9.20 n/a BNP PARIBAS SEC 040 PKG SS 20,000 29.84 n/a BESSEMER INVESTMENT 085 QCOM S 550 66.85 n/a PECONIC PARTNERS 036 QQQ C 12,200 67.02 n/a MERRILL ASSET/BLACKROCK 297 RE B 9,900 93.03 n/a MA CAPITAL MGMT/MELLON ADVO41 RKT B 2,000 69.59 n/a GEORGE WEISS 034 ROST S 14,000 55.77 n/a BESSEMER INVESTMENT 085 SCG S 370 44.67 n/a DELTEC ASSET MGMT. 040 SFL S 17,725 15.85 n/a	FARALLON CAP MGMT 040 ORCL S 100,000 29.76 n/a n/a BESSEMER INVESTMENT 085 OXY S 295 101.89 n/a n/a MERRILL ASSET/BLACKROCK 297 PDC S 38,600 9.29 n/a n/a BESSEMER INVESTMENT 085 PFG S 200 29.18 n/a 24-Feb BESSEMER INVESTMENT 085 PHM S 59 9.20 n/a n/a BNF PARIBAS SEC 040 PKG SS 20,000 29.84 n/a 22-Feb BESSEMER INVESTMENT 085 QCOM S 550 66.85 n/a n/a PECONIC PARTNERS 036 QQQ C 12,200 67.02 n/a n/a MACAPITAL MGMT/MELLON ADV041 RKT B 2,000 69.59 n/a 19-Mar GEORGE WEISS 034 ROST S 14,000 55.77 n/a 16-Mar BESSEMER INVESTMENT 085 SCG S 370 44.67 n/a n/a DELIEC ASSET MGMT. 040 SFL S 17,725 15.85 n/a n/a	FARALLON CAP MGMT 040 ORCL S 100,000 29.76

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.	
IVORY CAPITAL	034	SWY	S	82,000	21.99	n/a	n/a	4,100.00	5.0	
ROTHSCHILD ASSET	040	TBI	В	2,791	17.50	n/a	n/a	111.64	4.0	
BESSEMER INVESTMENT	085	TGT	В	485	58.32	n/a	1-Mar	19.40	4.0	
WEDBUSH/PACIFIC GROWTH EQ	TY LLC08	4 TNK	S	20,	000 5.60		n/a	n/a 800	.00	4.0
BESSEMER INVESTMENT	085	TOL	S	40	24.48	n/a	n/a	1.60	4.0	
BESSEMER INVESTMENT	085	TXN	S	450	33.83	n/a	n/a	18.00	4.0	
ROTHSCHILD ASSET	040	TYL	S	18,939	37.95	n/a	n/a	757.56	4.0	
BESSEMER INVESTMENT	085	UNH	В	235	56.29	n/a	n/a	9.40	4.0	
BESSEMER INVESTMENT	085	UN	В	160	34.36	n/a	n/a	6.40	4.0	
BESSEMER INVESTMENT	085	VZ	S	80	39.66	n/a	n/a	3.20	4.0	
MTB INV ADV	034	WBC	S	21,400	61.91	n/a	17-Feb	695.50	3.3	
MTB INV ADV	034	WCC	S	4,125	66.68	n/a	n/a	134.06	3.3	
BESSEMER INVESTMENT	085	WFC	S	400	34.31	n/a	14-Mar	16.00	4.0	
TRELLUS PARTNERS LP	028	WRC	SS	7,700	57.81	n/a	29-Feb	231.00	3.0	
BESSEMER INVESTMENT	085	WU	В	795	17.99	n/a	n/a	31.80	4.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
RBC CAPITAL MARKETS	040	ХНВ	S	15,000	21.60	n/a	n/a	600.00 4.0
OTAL (Trade Date):								65,765.06

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
AO,CRT	03/14	STANDARD PACIFIC CAPITAL (SPACJPMORG)	084	CE	S	25,000	46.63	n/a	20-May	1250.00	5.0
AO,CRT	03/14	STANDARD PACIFIC CAPITAL (SPACJPMORG)	084	CE	S	65 , 000	46.43	n/a	20-May	3250.00	5.0
AO,CXL	03/14	STANDARD PACIFIC CAPITAL (SPACMS)	084	CE	S	25,000	46.63	n/a	20-May	1250.00	5.0
AO,CXL	03/14	STANDARD PACIFIC CAPITAL (SPACMS)	084	CE	S	65,000	46.43	n/a	20-May	3250.00	5.0
AO,	03/16	COLUMBUS CIRCLE (COLC3040)	036	CREE	В	4,900	31.04	n/a	n/a	196.00	4.0
٥,	03/16	COLUMBUS CIRCLE (COLC3040)	036	EZCH	В	4,700	44.30	n/a	n/a	188.00	4.0
AO,CXL	03/15	MA CAPITAL MGMT/MELLON ADV (MACAJPM)	041	MWV	В	4,000	31.23	n/a	26-Jan	200.00	5.0
O,CRT	03/15	MA CAPITAL MGMT/MELLON ADV (MACAJPM)	041	MWV	В	4,000	31.23	n/a	26-Jan	200.00	5.0
O,CXL	03/15	SUTTON BROOK (SUTTFB04)	086	MWV	В	25,000	31.38	n/a	26-Jan	1000.00	4.0
AO, CRT	03/15	SUTTON BROOK (SUTTFB04)	086	MWV	В	25,000	31.38	n/a	26-Jan	750.00	3.0

TOTAL (RUN DATE) / NFS:	65,899.06
FOTAL (AO, CXL, CRT):	134.00
TOTAL (Trade Date):	65,765.06

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION