



Daily Compliance Activity Report

Trade Date: 06/15/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PHILADELPHIA FINANCIAL	033	AB	B	21,146	85.98	15-Jun	15-Jun	1,057.30	5.0
WAGONHOUND	048	AB	S	1,880	85.85	15-Jun	15-Jun	75.20	4.0
LUCHANSKY	036	AB	S	150	88.46	15-Jun	15-Jun	0.00	0.0
BARR	096	ACF	C	400	28.35	n/a	n/a	0.00	0.0
Ö Glenn Redemann		ACL	B	3	0.60	23-Apr	14-Jun	0.00	0.0
Ö Glenn Redemann		ACL	B	3	0.64	23-Apr	14-Jun	0.00	0.0
Ö Glenn Redemann		ACL	SS	3	1.47	23-Apr	14-Jun	0.00	0.0
Ö Glenn Redemann		ACL	SS	3	1.52	23-Apr	14-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	AEO	B	70,000	26.36	n/a	12-Jun	2,800.00	4.0
COLUMBIA PARTNERS	028	AGN	S	24,119	118.14	14-Mar	14-Jun	964.76	4.0
WEISS PECK	040	AHO	S	25,000	13.13	n/a	n/a	1,250.00	5.0
LATEFF MGMT	040	ALK	B	1,500	27.62	n/a	n/a	285.00	19.0
SUNOVA CAPITAL	031	AMG	S	75,000	125.27	25-Apr	7-Jun	3,750.00	5.0
T. ROWE PRICE	243	AMG	B	1,900	125.18	25-Apr	7-Jun	76.00	4.0
BUCK CAPITAL MGMT	001	ANF	B	50,000	78.23	n/a	8-Jun	2,000.00	4.0
MILLENIUM PARTNERS	028	ANN	B	35,000	36.95	25-May	8-Jun	1,400.00	4.0
Ö Glenn Redemann		ASEI	??	8	2.05	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	BBW	S	633,975	23.70	15-Jun	15-Jun	25,359.00	4.0
ß BUCK CAPITAL MGMT		BBW	S	100,000	23.22	15-Jun	15-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		BBW	S	100,000	23.55	15-Jun	15-Jun	non-brg	0.4
ß BUCK CAPITAL MGMT		BBW	S	50,000	23.04	15-Jun	15-Jun	non-brg	0.4
ß BUCK CAPITAL MGMT		BBW	S	50,000	23.90	15-Jun	15-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		BBW	S	66,025	25.31	15-Jun	15-Jun	non-brg	1.0
TRIBECA	038	BBW	B	25,000	22.78	15-Jun	15-Jun	1,000.00	4.0
HARVEST MGMT	039	BBY	B	15,000	47.98	1-May	11-May	750.00	5.0
BUCK CAPITAL MGMT	001	BID	S	10,000	49.16	n/a	n/a	400.00	4.0
ß BUCK CAPITAL MGMT		BONT	B	30,000	47.78	25-May	8-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		BSC	B	15,000	152.11	n/a	15-Jun	non-brg	5.0
Õ Glenn Redemann		CAL	??	7	1.75	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CCJ	S	2	5.60	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CHCG	B	50,000	7.47	n/a	n/a	non-brg	4.0
SUTERA	160	CHCG	B	2,000	7.30	n/a	n/a	0.00	0.0
Õ Glenn Redemann		CNX	S	4	3.00	n/a	n/a	0.00	0.0
EGS ASSOCIATES LP	091	COH	B	6,500	48.54	23-Feb	25-Apr	390.00	6.0
TRELLUS PARTNERS LP	028	COH	B	40,000	48.96	23-Feb	25-Apr	2,000.00	5.0
ADAGE CAPITAL MGMT	030	COST	B	234,000	56.24	n/a	7-Jun	9,360.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		CRI	S	400	27.27	n/a	n/a	non-brg	1.0
SAILFISH	035	CVS	S	20,000	37.50	n/a	n/a	1,000.00	5.0
ß BUCK CAPITAL MGMT		CWTR	B	10,000	24.51	n/a	n/a	non-brg	5.0
STADIA CAPITAL	035	DDS	S	31,000	34.91	n/a	n/a	1,240.00	4.0
NWQ INVESTMENT MGMT CO	048	DLM	B	12,500	12.00	n/a	n/a	500.00	4.0
Õ Glenn Redemann		ENER	S	1	3.90	n/a	n/a	0.00	0.0
Õ Glenn Redemann		EYE	S	3	5.50	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	FII	B	194,400	39.34	n/a	23-May	7,776.00	4.0
CAPITAL GROWTH MGMT.	241	FNM	B	20,000	68.57	n/a	n/a	800.00	4.0
GOLDMAN SACHS ASSET MGMT	044	FNM	S	68,000	68.46	n/a	n/a	1,360.00	2.0
Õ Glenn Redemann		FWLT	??	7	2.55	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GES	S	2	4.30	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		GIII	B	5,000	17.23	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		GPI	B	10,000	41.74	27-Apr	2-May	non-brg	5.0
ß BUCK CAPITAL MGMT		GS	C	12,300	227.61	15-Jun	15-Jun	non-brg	5.0
ß BUCK CAPITAL MGMT		GTRC	S	1,000	51.92	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MERRILL ASSET	032	GWV	S	20,200	90.19	31-May	12-Jun	909.00	4.5
KORMAN	003	HBIVF	S	50	3.10	n/a	n/a	0.00	0.0
ZOLIDIS	011	HLYS	B	400	29.39	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	ICE	B	3,000	158.00	n/a	n/a	150.00	5.0
GOLDMAN SACHS ASSET MGMT	044	IRM	B	42,000	26.03	n/a	n/a	630.00	1.5
Õ Glenn Redemann		ISRG	B	4	2.18	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ISRG	B	4	3.76	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ISRG	SS	4	3.20	n/a	n/a	0.00	0.0
Õ Glenn Redemann		ISRG	SS	4	5.59	n/a	n/a	0.00	0.0
WYCKOFF	013	ITCD	S	500	5.85	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	IVZ	B	56,900	24.82	14-Jun	14-Jun	2,276.00	4.0
SENTINAL CAPITAL	067	IVZ	B	23,700	24.76	14-Jun	14-Jun	1,185.00	5.0
Õ Glenn Redemann		JCOM	??	7	0.04	n/a	n/a	0.00	0.0
Õ Glenn Redemann		JCOM	??	7	3.71	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	76.10	20-Apr	8-Jun	400.00	4.0
GRUSS CAPITAL	040	JMBA	B	15,000	9.51	n/a	n/a	600.00	4.0
BRANDWINE	028	JNJ	B	2,200	63.07	n/a	22-Apr	88.00	4.0
B BUCK CAPITAL MGMT		JOSB	S	10,000	45.19	n/a	n/a	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRANDWINE	028	JPM	B	4,000	50.94	n/a	14-Jun	160.00	4.0
CAPITAL GROWTH MGMT.	241	KR	B	8,500	30.56	n/a	n/a	425.00	5.0
LISTED ERR	099	KR	B	100	30.61	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KSS	B	30,000	71.70	14-Jun	14-Jun	1,200.00	4.0
CASEY CAPITAL	067	KVA	S	6,000	28.17	n/a	n/a	300.00	5.0
Õ Glenn Redemann		LCC	??	7	2.40	n/a	n/a	0.00	0.0
BUCK	015	LESSO	B	8	0.70	n/a	n/a	0.00	0.0
BRANDWINE	028	LIZ	B	4,500	37.00	n/a	2-May	180.00	4.0
BRANDWINE	028	LLY	B	1,800	58.00	n/a	n/a	72.00	4.0
GRUSS CAPITAL	040	LOJN	S	5,000	22.55	n/a	n/a	200.00	4.0
THIRD AVE MGMT	030	LPX	B	100,000	19.41	14-Jun	14-Jun	4,000.00	4.0
GENERAL ELECTIC CO	033	LUV	S	40,000	14.55	n/a	n/a	1,800.00	4.5
GOLDMAN SACHS ASSET MGMT	044	LUV	B	35,000	14.57	n/a	n/a	1,400.00	4.0
CAPITAL GROWTH MGMT.	241	MA	B	10,000	154.60	n/a	n/a	500.00	5.0
BRANDWINE	028	MER	B	3,800	91.00	20-Apr	14-Jun	152.00	4.0
BRANDWINE	028	MET	B	4,100	66.89	n/a	n/a	164.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRANDWINE	028	MMM	B	4,000	88.41	2-May	1-Jun	160.00	4.0
Õ Glenn Redemann		MNST	??	7	0.20	n/a	n/a	0.00	0.0
BRANDWINE	028	MRK	B	2,000	51.54	n/a	n/a	80.00	4.0
CAPITAL GROWTH MGMT.	241	MS	S	6,000	89.51	22-Mar	14-Jun	300.00	5.0
CAPITAL GROWTH MGMT.	241	MTW	B	19,600	80.49	n/a	n/a	980.00	5.0
FIRST NEW YORK LEDDY	035	MU	B	20,000	12.49	n/a	n/a	1,000.00	5.0
ADAGE CAPITAL MGMT	030	M	S	125,000	39.46	17-May	8-Jun	5,000.00	4.0
BRANDWINE	028	M	B	8,500	39.69	17-May	8-Jun	340.00	4.0
OGORMAN	146	NAL	B	1,300	15.49	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	NGS	S	40,000	18.87	n/a	n/a	1,600.00	4.0
ß BUCK CAPITAL MGMT		NKE	C	30,100	53.73	n/a	n/a	non-brg	5.0
BUCK	015	NMXUF	B	20	4.10	n/a	n/a	0.00	0.0
BUCK	015	NMXUH	S	20	7.41	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NOV	S	4	2.60	n/a	n/a	0.00	0.0
Õ Glenn Redemann		NTRI	S	2	2.20	n/a	n/a	0.00	0.0
LUCHANSKY4	036	NTRZ	B	1,000	3.86	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		ONXX	??	8	0.45	n/a	n/a	0.00	0.0
BARR	096	OPXT	B	800	12.63	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		OXM	S	10,000	45.31	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		OXPS	S	2	6.80	n/a	n/a	0.00	0.0
Õ Glenn Redemann		PEIX	??	17	2.45	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		PVH	C	5,100	61.26	31-May	31-May	non-brg	5.0
KENNEDY CAPITAL	046	RESP	S	19,280	43.05	n/a	n/a	771.20	4.0
GOLDMAN SACHS ASSET MGMT	044	RIMM	S	42,000	171.58	n/a	n/a	630.00	1.5
ß BUCK CAPITAL MGMT		RL	C	10,000	95.03	2-Feb	31-May	non-brg	5.0
BULL PATH CAPITAL	044	ROST	B	50,000	31.38	n/a	n/a	2,500.00	5.0
Õ Glenn Redemann		RVBD	??	6	1.45	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		RVI	S	40,000	16.83	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		RYI	S	1	0.80	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	RYN	S	38,095	44.35	n/a	25-Apr	1,904.75	5.0
SCOPUS FUND	039	SHW	S	24,150	68.59	n/a	17-May	1,207.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	SKX	B	5,000	30.06	n/a	13-Mar	200.00	4.0
ZOLIDIS	011	SKX	S	350	30.07	n/a	13-Mar	0.00	0.0
PRIME LOGIC ADV	039	SMG	SS	20,000	44.73	20-Feb	15-Jun	1,000.00	5.0
PROSPECTOR PARTNERS LLC	036	SSP	B	15,000	45.80	n/a	n/a	750.00	5.0
ARIENCE CAPITAL	036	STX	B	59,815	21.07	n/a	n/a	2,990.75	5.0
Õ Glenn Redemann		SU	S	1	6.10	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SWN	??	7	0.03	n/a	4-Jun	0.00	0.0
Õ Glenn Redemann		SWN	??	7	4.72	n/a	4-Jun	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	TBL	B	20,200	26.79	n/a	13-Mar	757.50	3.8
CSFB GLOBAL PROPRIETARY	035	TELWI	B	25,000	0.00	n/a	n/a	1,250.00	5.0
GOLDMAN SACHS ASSET MGMT	044	TGT	S	178,729	63.82	n/a	7-Jun	2,680.94	1.5
GRUBER MACBAINE	033	TROW	B	15,000	53.40	n/a	23-May	600.00	4.0
COUGAR TRADING	044	TWB	B	20,000	42.64	23-May	23-May	1,000.00	5.0
EGS ASSOCIATES LP	091	TWB	B	5,000	42.50	23-May	23-May	300.00	6.0
Õ Glenn Redemann		TWP	S	5	0.95	n/a	n/a	0.00	0.0
MCCARTAN	028	TYC	B	150	34.17	8-May	1-Jun	0.00	0.0
ß BUCK CAPITAL MGMT		UAG	B	40,000	21.29	n/a	9-May	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PILOT ADV	038	UAG	B	75,000	21.31	n/a	9-May	3,000.00	4.0
UBS OCONNOR	031	UAG	S	21,900	21.31	n/a	9-May	657.00	3.0
KORMAN	003	UENRU	B	50	2.10	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		URBN	B	50,000	25.50	2-Apr	11-May	non-brg	5.0
STRATIX ASSEST MGMT	035	USO	B	15,000	51.38	n/a	n/a	750.00	5.0
Õ Glenn Redemann		VRTX	S	2	2.25	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	WAB	B	69,000	38.44	n/a	n/a	3,450.00	5.0
B BUCK CAPITAL MGMT		WHR	C	42,500	114.23	n/a	n/a	non-brg	5.0
Õ Glenn Redemann		WRLD	B	3	0.13	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WRLD	B	3	0.24	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WRLD	SS	3	0.90	n/a	n/a	0.00	0.0
Õ Glenn Redemann		WRLD	SS	3	0.92	n/a	n/a	0.00	0.0
KENNEDY CAPITAL	046	WRNC	S	4,600	37.00	9-May	9-May	184.00	4.0
MKT MAKER	046	WRNC	S	88	37.00	9-May	9-May	3.52	4.0
WHITTIER CAP	048	ZQK	S	17,460	14.16	9-Mar	11-Jun	698.40	4.0
TOTAL (Trade Date):								119,129.82	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	06/14	REINHART & MAHONEY	031	ADSK	B	3,730	44.81	n/a	n/a	186.50	5.0
AO,CRT	06/14	REINHART & MAHONEY	031	ADSK	B	3,730	44.81	n/a	n/a	186.50	5.0
AO,CXL	06/13	SOLARIS INV MGMT	036	COP	B	800	77.69	n/a	n/a	40.00	5.0
AO,CRT	06/13	SOLARIS INV MGMT	036	COP	B	800	77.69	n/a	n/a	40.00	5.0
AO,CXL	06/13	SOLARIS INV MGMT	036	CVX	S	700	81.14	n/a	n/a	35.00	5.0
AO,CRT	06/13	SOLARIS INV MGMT	036	CVX	S	700	81.14	n/a	n/a	35.00	5.0
AO,CRT	06/14	REINHART & MAHONEY	031	DLM	S	12,520	11.51	n/a	n/a	626.00	5.0
AO,CXL	06/14	REINHART & MAHONEY	031	DLM	S	12,520	11.51	n/a	n/a	626.00	5.0
AO,CXL	06/14	J L A ASSET MGMT/HOWARD	038	IVZ	B	83,800	24.04	14-Jun	14-Jun	3352.00	4.0
AO,CRT	06/14	J L F ASSET MGMT	039	IVZ	B	83,800	24.04	14-Jun	14-Jun	3352.00	4.0
AO,	06/14	LISTED ERR	099	KR	S	100	30.52	n/a	n/a	0.00	0.0
AO,CRT	06/14	CAPITAL GROWTH MGMT.	241	KR	B	2,200	30.52	n/a	n/a	110.00	5.0
AO,CXL	06/14	CAPITAL GROWTH MGMT.	241	KR	B	2,100	30.52	n/a	n/a	105.00	5.0
AO,	06/14	CSFB GLOBAL PROPRIETARY	035	TELWI	B	50,000	0.00	n/a	n/a	2500.00	5.0
TOTAL (AO, CXL, CRT) :										2,505.00	

TOTAL (Trade Date):	119,129.82
TOTAL (AO, CXL, CRT):	2,505.00

TOTAL (RUN DATE) / NFS:

121,634.82

MRI Securities with Trade Activity on 15-Jun.

AB, BBW, GS

MRI Securities without Trade Activity on 15-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 58%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION