



Daily Compliance Activity Report

Trade Date: 08/28/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	ABI	S	200	30.63	n/a	n/a	8.00	4.0
COLUMBUS CIRCLE	036	ADS	S	320	49.53	n/a	n/a	12.80	4.0
J L F ASSET MGMT	039	AEOS	B	34,200	35.87	16-Aug	28-Aug	1,368.00	4.0
NCM CAPITAL MGMT	035	AEOS	S	43,850	35.98	16-Aug	28-Aug	2,192.50	5.0
ROCK	040	AIG	S	200,000	63.68	n/a	n/a	10,000.00	5.0
MAZAMA CAPITAL MGMT.	035	AIQ	S	16,400	6.50	n/a	n/a	656.00	4.0
CAPITAL GROWTH MGMT.	241	AMD	B	350,000	24.53	n/a	n/a	14,000.00	4.0
COLUMBUS CIRCLE	036	AMT	S	300	35.49	n/a	n/a	12.00	4.0
LISTED ERR	099	ANF	B	1	62.25	6-Apr	28-Aug	0.00	0.0
LISTED ERR	099	ANF	S	1	61.80	6-Apr	28-Aug	0.00	0.0
NCM CAPITAL MGMT	035	ANF	S	9,124	61.80	6-Apr	28-Aug	456.20	5.0
JP MORGAN VENTURES	046	ANN	B	10,000	39.74	6-Jul	28-Aug	500.00	5.0
COLUMBUS CIRCLE	036	APA	S	230	67.25	7-Aug	7-Aug	9.20	4.0
BUCK CAPITAL MGMT	001	ARO	B	20,000	24.49	6-Jul	28-Aug	800.00	4.0
J L F ASSET MGMT	039	ARO	B	109,500	24.62	6-Jul	28-Aug	4,380.00	4.0
METROPOLITAN CAPITAL	044	ASD	S	9,600	41.31	21-Apr	4-Aug	480.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	ATI	S	247	58.10	n/a	n/a	9.88	4.0
COLUMBUS CIRCLE	036	BAC	S	490	52.17	19-Jul	10-Aug	19.60	4.0
COLUMBUS CIRCLE	036	BAX	S	320	44.04	n/a	22-Apr	12.80	4.0
COLUMBUS CIRCLE	036	BCR	S	130	73.48	n/a	22-Apr	5.20	4.0
NCM CAPITAL MGMT	035	BHE	B	42,675	24.49	n/a	n/a	2,133.75	5.0
BUCK CAPITAL MGMT	001	BID	S	20,000	27.84	n/a	n/a	800.00	4.0
COLONIAL FUND LLC	036	CBK	B	10,000	26.03	1-Jun	28-Aug	500.00	5.0
GRANAHAN INV	039	CENT	S	37,700	43.06	3-May	28-Aug	1,885.00	5.0
CRAMER ROSENTHAL/SCOTT	012	CHRS	S	25,200	12.99	n/a	17-Aug	1,260.00	5.0
COLUMBUS CIRCLE	036	CL	S	240	58.99	25-Jul	26-Jul	9.60	4.0
COLUMBUS CIRCLE	036	CMX	S	330	57.26	n/a	n/a	13.20	4.0
ROBERTSON STEVENS BC	033	CNO	S	85,000	20.78	n/a	n/a	3,400.00	4.0
BUCK CAPITAL MGMT	001	COH	B	215,000	29.40	n/a	2-Aug	8,600.00	4.0
LEDDY	035	COSI	S	1,500	4.92	n/a	n/a	0.00	0.0
BONANZA PARTNERS	031	CTRN	B	9,500	28.97	n/a	n/a	475.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBUS CIRCLE	036	CVS	S	1,160	33.49	n/a	n/a	46.40	4.0
HIGHBRIDGE CAPITAL	038	CZN	B	46,000	13.56	n/a	n/a	2,300.00	5.0
J L F ASSET MGMT	039	DDS	S	3,400	31.47	n/a	n/a	136.00	4.0
THE JAY GOLDMAN	034	DDS	B	30,000	31.74	n/a	n/a	1,200.00	4.0
COLUMBUS CIRCLE	036	DGX	S	230	63.83	n/a	n/a	9.20	4.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	B	4,000	28.22	n/a	23-Aug	200.00	5.0
GRANAHAN INV	039	DLTR	S	56,300	28.22	n/a	23-Aug	2,815.00	5.0
COLUMBUS CIRCLE	036	DO	S	40	72.42	n/a	n/a	1.60	4.0
COLUMBUS CIRCLE	036	DVN	S	290	62.33	7-Aug	7-Aug	11.60	4.0
COLUMBUS CIRCLE	036	EMR	S	200	81.29	n/a	n/a	8.00	4.0
BUCK CAPITAL MGMT	001	FD	B	20,000	38.16	12-Jun	28-Aug	800.00	4.0
COLUMBUS CIRCLE	036	FD	S	380	38.10	12-Jun	28-Aug	15.20	4.0
WHALE ROCK CAP	030	FD	B	50,000	38.23	12-Jun	28-Aug	2,000.00	4.0
WILLIAMS TRADING /JILL	034	FD	B	17,800	38.11	12-Jun	28-Aug	712.00	4.0
WILLIAMS TRADING /JILL	034	FDC	B	29,800	41.80	n/a	n/a	1,192.00	4.0
RH CAPITAL ASSOCIATES	060	FISV	B	30,000	43.67	n/a	n/a	1,500.00	5.0
BUCK CAPITAL MGMT	001	FL	S	75,000	23.58	n/a	18-Aug	3,000.00	4.0
THE JAY GOLDMAN	034	FRX	S	25,000	49.68	18-Jul	21-Aug	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	GLW	S	500	21.54	21-Mar	7-Aug	20.00	4.0
AMARANTH	040	GME	B	25,000	42.40	n/a	n/a	1,250.00	5.0
COLUMBUS CIRCLE	036	GS	S	180	149.45	17-May	10-Aug	7.20	4.0
COLUMBUS CIRCLE	036	HPQ	S	1,330	35.21	n/a	n/a	53.20	4.0
WILLIAMS TRADING /JILL	034	HPQ	B	17,800	35.31	n/a	n/a	712.00	4.0
COLUMBUS CIRCLE	036	HRS	S	300	44.17	2-May	27-Jul	12.00	4.0
COLUMBUS CIRCLE	036	IGT	S	611	37.76	n/a	24-Jul	24.44	4.0
BARROW HANLEY MEWHINNEY	096	IINT	S	4,000	2.11	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	JAH	S	23,125	29.53	n/a	n/a	1,156.25	5.0
COUGAR TRADING	044	JCP	S	10,000	65.79	5-May	28-Aug	500.00	5.0
SEAROCK CAPITAL MANAGE	012	JCP	S	37,700	64.65	5-May	28-Aug	1,508.00	4.0
COLUMBUS CIRCLE	036	KO	S	570	44.65	n/a	n/a	22.80	4.0
COLUMBUS CIRCLE	036	KSS	S	260	61.12	10-Aug	25-Aug	10.40	4.0
BUCK CAPITAL MGMT	001	KWD	B	100,000	27.22	n/a	n/a	4,000.00	4.0
COLUMBUS CIRCLE	036	LAZ	S	140	37.00	n/a	n/a	5.60	4.0
COLUMBUS CIRCLE	036	LTD	S	420	25.05	9-May	28-Aug	16.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	LVS	S	140	69.04	n/a	n/a	5.60	4.0
COLUMBUS CIRCLE	036	MCD	S	570	35.77	n/a	25-Jul	22.80	4.0
EOS PARTNERS	040	MCD	B	14,000	35.86	n/a	25-Jul	700.00	5.0
HAYGROUND COE	039	MCD	S	15,000	35.56	n/a	25-Jul	750.00	5.0
NCM CAPITAL MGMT	035	MIL	B	12,700	63.88	n/a	n/a	635.00	5.0
FORD FDN.	032	MMM	S	25,000	71.07	10-Jul	25-Jul	1,250.00	5.0
COLUMBUS CIRCLE	036	MOT	S	1,080	22.88	n/a	n/a	43.20	4.0
COLUMBUS CIRCLE	036	MRO	S	180	90.59	n/a	n/a	7.20	4.0
COLUMBUS CIRCLE	036	MS	S	467	66.99	21-Jun	10-Aug	18.68	4.0
COLUMBUS CIRCLE	036	MU	S	1,530	16.50	n/a	n/a	61.20	4.0
COLUMBUS CIRCLE	036	N	S	139	77.44	n/a	n/a	5.56	4.0
COLUMBUS CIRCLE	036	NOK	S	1,827	20.97	n/a	n/a	73.08	4.0
HAYGROUND COE	039	NSC	S	75,450	42.52	n/a	n/a	3,772.50	5.0
COLUMBUS CIRCLE	036	NSR	S	400	28.02	n/a	n/a	16.00	4.0
COLUMBUS CIRCLE	036	NUE	S	282	49.62	n/a	n/a	11.28	4.0
OWL CREEK INV	032	NUE	S	140,000	48.61	n/a	n/a	5,600.00	4.0
COLUMBUS CIRCLE	036	NWL	S	520	26.61	n/a	n/a	20.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SOLARIS INV MGMT	036	OI	S	10,000	14.15	n/a	n/a	598.00	6.0
SEAROCK CAPITAL MANAGE	012	OSI	S	40,000	29.28	n/a	2-Aug	1,600.00	4.0
COLUMBUS CIRCLE	036	PCP	S	100	58.96	n/a	n/a	4.00	4.0
COLUMBUS CIRCLE	036	PEP	S	605	64.26	n/a	n/a	24.20	4.0
COLUMBUS CIRCLE	036	PG	S	310	60.99	25-Apr	3-Aug	12.40	4.0
COLONIAL FUND LLC	036	PLCE	B	10,000	55.93	1-May	28-Aug	500.00	5.0
COLUMBUS CIRCLE	036	PNC	S	280	70.14	n/a	n/a	11.20	4.0
NCM CAPITAL MGMT	035	SAY	S	28,225	28.23	n/a	n/a	1,411.25	5.0
EOS PARTNERS	040	SHW	B	5,400	50.57	30-Mar	20-Jul	270.00	5.0
EOS PARTNERS	040	SMH	B	60,300	33.19	n/a	n/a	3,015.00	5.0
EOS PARTNERS	040	SPY	S	15,000	130.62	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	SSI	B	15,000	26.08	n/a	n/a	600.00	4.0
COLUMBUS CIRCLE	036	STT	S	287	60.87	n/a	n/a	11.48	4.0
NEUBERGER BERMAN	239	SWN	S	64,500	35.77	7-Aug	7-Aug	3,225.00	5.0
ANDERSON HOAGLAND & CO	046	T	B	48,150	30.82	25-Jul	28-Aug	2,407.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	TJX	B	76,500	26.66	16-Aug	28-Aug	3,060.00	4.0
J L F ASSET MGMT	039	TLB	B	79,300	21.77	n/a	17-Aug	3,172.00	4.0
COLUMBUS CIRCLE	036	TMO	S	100	39.20	n/a	n/a	4.00	4.0
CRAMER ROSENTHAL/SCOTT	012	TWB	S	20,000	35.37	16-May	18-Aug	1,000.00	5.0
COLUMBUS CIRCLE	036	TXT	S	200	86.05	n/a	n/a	8.00	4.0
COUGAR TRADING	044	URBN	S	15,000	15.30	9-Aug	10-Aug	750.00	5.0
MERRILL ASSET	032	UTI	B	52,600	18.49	n/a	n/a	2,630.00	5.0
COLUMBUS CIRCLE	036	WFT	S	392	44.84	n/a	n/a	15.68	4.0
GALLEON MGMT LP	033	WWW	B	35,000	25.11	8-Aug	11-Aug	1,750.00	5.0
AMBER CAPITAL	046	ZLC	B	100,000	26.97	n/a	n/a	4,000.00	4.0
BUCK CAPITAL MGMT	001	ZQK	B	88,400	12.89	n/a	9-Jun	3,536.00	4.0
TOTAL:								127,603.03	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI Research		Comm.¢/Shr.	
AO,CXL	CENTURION PARTNERS (CENTBSPT)	244	BONT	B	5,000	25.60	n/a	28-Aug	250.00	5.0
AO,CXL	CENTURION PARTNERS (CENTBSPT)	244	BONT	B	5,000	25.00	n/a	28-Aug	250.00	5.0
AO,CRT	CENTURION PARTNERS (CENTBSPT)	244	BONT	B	5,000	25.00	n/a	28-Aug	250.00	5.0
AO,	WILLIAMS TRADING /JILL (WTJR)	034	CHRS	B	24,000	11.89	n/a	17-Aug	960.00	4.0
AO,CXL	ADAGE CAPITAL MGMT (ADAGLONG)	030	CVS	B	25,400	33.06	n/a	n/a	1,270.00	5.0
AO,	ADAGE CAPITAL MGMT (ADAGLONG)	030	CVS	B	25,400	33.06	n/a	n/a	1,016.00	4.0
AO,	WILLIAMS TRADING /JILL (WTJR)	034	FD	B	30,000	37.07	12-Jun	28-Aug	1,200.00	4.0
AO,	WILLIAMS TRADING /JILL (WTJR)	034	FDC	B	18,000	41.46	n/a	n/a	720.00	4.0
AO,	LISTED ERR (LISTED ERR)	099	FL	B	1,500	23.40	n/a	18-Aug	0.00	0.0
AO,	LISTED ERR (LISTED ERR)	099	FL	S	1,500	23.35	n/a	18-Aug	0.00	0.0
AO,CXL	BANK OF NEW YORK (BONYMAIN A)	040	GSK	B	6,000	54.84	n/a	n/a	270.00	4.5
AO,	BANK OF NEW YORK (BONYMAIN A)	32	GSK	B	6,000	54.84	n/a	n/a	270.00	4.5
AO,	WILLIAMS TRADING /JILL (WTJR)	034	HPQ	B	18,000	35.30	n/a	n/a	720.00	4.0
AO,	BANK OF NEW YORK (BONYMAIN A)	032	K	B	12,000	49.71	n/a	n/a	540.00	4.5
AO,CXL	BANK OF NEW YORK (BONYMAIN A)	040	K	B	12,000	49.71	n/a	n/a	540.00	4.5
AO,	WILLIAMS TRADING /JILL (WTJR)	034	KR	B	20,000	23.34	n/a	n/a	800.00	4.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.