



Daily Compliance Activity Report

Trade Date: 10/30/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	ABI	S	2,290	37.37	n/a	n/a	91.60	4.0
COLUMBUS CIRCLE	036	ADS	S	300	60.61	n/a	n/a	12.00	4.0
KAICHER	064	AETH	B	3,000	6.65	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	AKAM	S	1,110	45.65	n/a	n/a	44.40	4.0
COLUMBUS CIRCLE	036	ALB	S	290	64.56	n/a	n/a	11.60	4.0
COLUMBUS CIRCLE	036	AMT	S	2,874	36.07	n/a	n/a	114.96	4.0
BUCK CAPITAL MGMT	001	ANF	S	40,000	78.27	31-Aug	30-Oct	1,600.00	4.0
TORRE	N10	AOC	S	72	35.07	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ARO	B	5,000	29.65	23-Oct	30-Oct	250.00	5.0
NEUBERGER BERMAN	238	ATK	S	10,000	77.98	n/a	n/a	500.00	5.0
COLUMBUS CIRCLE	036	ATVI	S	3,350	15.46	n/a	n/a	134.00	4.0
COLUMBUS CIRCLE	036	AVZ	S	1,830	22.80	19-Oct	30-Oct	73.20	4.0
HARVEST MGMT	039	BBY	S	20,000	53.91	13-Jun	13-Sep	1,000.00	5.0
VISIUM/BALY HOWARD	038	BBY	S	20,000	54.69	13-Jun	13-Sep	1,000.00	5.0
COLUMBUS CIRCLE	036	BEAS	S	5,040	16.20	n/a	3-Apr	201.60	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SUNOVA CAPITAL	031	BEN	S	10,000	111.37	26-Oct	30-Oct	500.00	5.0
BUCK CAPITAL MGMT	001	BGP	B	20,000	20.62	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	BKS	B	30,000	41.76	n/a	n/a	1,200.00	4.0
WASHINGTON ASSET	048	BONT	B	10,000	36.24	23-Oct	30-Oct	500.00	5.0
NEW JERSEY DIV OF INV.	220	C	S	16,000	50.22	n/a	30-Oct	800.00	5.0
NEUBERGER BERMAN	238	CAI	S	10,000	58.00	n/a	n/a	500.00	5.0
COLUMBUS CIRCLE	036	CAM	S	1,470	50.35	n/a	n/a	58.80	4.0
BEAR STEARNS ASSET MGMT.	033	CAT	B	25,000	60.94	n/a	n/a	1,000.00	4.0
COLUMBUS CIRCLE	036	CBG	S	1,574	28.32	n/a	n/a	62.96	4.0
COLUMBUS CIRCLE	036	CBI	S	1,860	24.25	n/a	n/a	74.40	4.0
ZOLIDIS	011	CBK	S	700	27.16	18-Sep	30-Oct	0.00	0.0
GLENHILL CAPITAL	038	CBK	S	50,000	27.00	18-Sep	30-Oct	2,500.00	5.0
SIGMA CAPITAL ASSOCIATES	034	CBK	B	25,000	26.99	18-Sep	30-Oct	1,250.00	5.0
COLUMBUS CIRCLE	036	CC	S	1,670	26.93	n/a	18-Oct	66.80	4.0
VISIUM/BALY HOWARD	038	CC	S	20,000	27.11	n/a	18-Oct	1,000.00	5.0
COLUMBUS CIRCLE	036	CCI	S	2,040	33.67	n/a	n/a	81.60	4.0
COLUMBUS CIRCLE	036	CELG	S	2,080	49.67	n/a	n/a	83.20	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	238	CHD	S	10,100	40.53	n/a	17-Oct	505.00	5.0
DELTEC ASSET MGMT.	040	CHS	S	11,500	24.21	11-Oct	30-Oct	575.00	5.0
MKT MAKER	099	CLMS	S	100	29.19	n/a	24-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	COH	S	180,000	39.65	25-Oct	25-Oct	7,200.00	4.0
COLUMBUS CIRCLE	036	COH	S	450	39.28	25-Oct	25-Oct	18.00	4.0
OMEGA ADVISORS	038	COH	S	200,000	39.12	25-Oct	25-Oct	10,000.00	5.0
VINIK ASSET MGMT.	037	COH	B	25,000	38.88	25-Oct	25-Oct	1,000.00	4.0
COLUMBUS CIRCLE	036	COO	S	900	57.65	n/a	n/a	36.00	4.0
NEUBERGER BERMAN	238	CRL	S	10,000	43.04	n/a	n/a	500.00	5.0
COLUMBUS CIRCLE	036	CTSH	S	1,050	77.26	n/a	n/a	42.00	4.0
COLUMBUS CIRCLE	036	CTXS	S	1,770	30.12	n/a	n/a	70.80	4.0
BEAR STEARNS ASSET MGMT.	033	CVS	S	35,000	31.69	n/a	n/a	1,400.00	4.0
COLUMBUS CIRCLE	036	CWTR	S	2,500	30.31	n/a	n/a	100.00	4.0
COLUMBUS CIRCLE	036	CXW	S	1,260	45.82	n/a	n/a	50.40	4.0
COLUMBUS CIRCLE	036	DRIV	S	1,350	57.33	n/a	n/a	54.00	4.0
AMBER CAPITAL	046	EMR	S	35,000	84.21	n/a	n/a	1,400.00	4.0
COLUMBUS CIRCLE	036	ENR	S	450	77.32	n/a	n/a	18.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	EVR	B	6,500	39.00	n/a	n/a	260.00	4.0
STADIA CAPITAL	035	FD	S	44,000	43.46	23-Oct	30-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	FDO	S	10,000	29.55	n/a	13-Oct	400.00	4.0
COLUMBUS CIRCLE	036	FDS	S	1,865	51.56	n/a	n/a	74.60	4.0
COLUMBUS CIRCLE	036	FFIV	S	270	65.94	n/a	n/a	10.80	4.0
MAZAMA CAPITAL MGMT.	035	FII	S	33,300	34.28	n/a	30-Oct	1,332.00	4.0
COLUMBUS CIRCLE	036	FISV	S	1,410	48.67	n/a	n/a	56.40	4.0
COLUMBUS CIRCLE	036	FLR	S	807	79.25	n/a	n/a	32.28	4.0
MAZAMA CAPITAL MGMT.	035	FMR	B	22,600	21.05	n/a	n/a	904.00	4.0
THIRD COAST CAPITAL	035	FRED	S	25,000	13.00	21-Aug	13-Oct	1,250.00	5.0
KAZMI	010	FTGX	S	1,000	4.38	n/a	n/a	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	GES	S	29,000	56.17	n/a	n/a	1,160.00	4.0
MAIRS AND POWER INV	030	GLW	B	10,000	20.45	n/a	25-Oct	600.00	6.0
COLUMBUS CIRCLE	036	GME	S	1,080	51.14	n/a	n/a	43.20	4.0
COLUMBUS CIRCLE	036	GRMN	S	1,280	52.60	n/a	n/a	51.20	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	HAS	S	990	25.50	n/a	n/a	39.60	4.0
PZENA INV ADV	044	HAS	S	150,725	25.28	n/a	n/a	6,029.00	4.0
COLUMBUS CIRCLE	036	HLF	S	1,350	35.63	n/a	n/a	54.00	4.0
COLUMBUS CIRCLE	036	HLT	S	2,550	28.73	n/a	n/a	102.00	4.0
COLUMBUS CIRCLE	036	HOLX	S	1,620	48.35	n/a	n/a	64.80	4.0
COLUMBUS CIRCLE	036	HRS	S	1,536	41.62	2-Oct	26-Oct	61.44	4.0
S.A.C. CAPITAL MGMT.	044	HUM	B	15,000	61.74	n/a	n/a	750.00	5.0
OSS CAPITAL/KEVIN DANN	067	HXL	S	50,000	16.25	n/a	n/a	2,500.00	5.0
KAICHER	064	ICON	S	1,000	18.68	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	ISE	S	660	52.21	25-Oct	26-Oct	26.40	4.0
COLUMBUS CIRCLE	036	ISIL	S	3,080	23.42	n/a	n/a	123.20	4.0
COLUMBUS CIRCLE	036	ISRG	S	420	100.38	n/a	n/a	16.80	4.0
WHALE ROCK CAP	030	JWN	B	110,000	48.07	5-Oct	30-Oct	4,400.00	4.0
COLUMBUS CIRCLE	036	KSU	S	960	29.67	n/a	n/a	38.40	4.0
COLUMBUS CIRCLE	036	LAMR	S	1,350	56.73	n/a	n/a	54.00	4.0
COLUMBUS CIRCLE	036	LAZ	S	1,930	40.18	n/a	n/a	77.20	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARRIS ASSOCIATES	031	LTD	S	9,800	29.49	5-Oct	30-Oct	392.00	4.0
COLUMBUS CIRCLE	036	MAN	S	1,110	68.78	n/a	n/a	44.40	4.0
HARRIS ASSOCIATES	031	MCD	S	10,000	41.75	12-Oct	19-Oct	400.00	4.0
COLUMBUS CIRCLE	036	MDR	S	1,380	44.57	n/a	n/a	55.20	4.0
GENERAL ELECTIC CO	033	MDT	B	57,444	48.70	n/a	22-Apr	2,585.01	4.5
AVESTA CAP	229	MON	B	25,000	43.94	n/a	11-Oct	1,250.00	5.0
COLUMBUS CIRCLE	036	MRX	S	940	35.30	n/a	n/a	37.60	4.0
BUCK CAPITAL MGMT	001	MSM	B	300	41.52	n/a	n/a	12.00	4.0
COLUMBUS CIRCLE	036	NIHD	S	1,340	65.30	n/a	n/a	53.60	4.0
KORMAN	003	NWY	B	3,000	13.26	n/a	30-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	NWY	B	20,000	13.32	n/a	30-Oct	800.00	4.0
COUGAR TRADING	044	NWY	S	5,000	13.32	n/a	30-Oct	250.00	5.0
GASNER	025	OPY	S	125	35.00	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	OSK	S	744	49.71	n/a	n/a	29.76	4.0
THE JAY GOLDMAN	034	PCL	S	30,000	35.77	10-Oct	24-Oct	1,200.00	4.0
COLUMBUS CIRCLE	036	PCP	S	900	67.12	n/a	n/a	36.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAPITAL GROWTH MGMT.	241	PCU	S	20,000	51.81	n/a	n/a	1,000.00	5.0
CSFB GLOBAL PROPRIETARY	035	PKG	B	44,000	22.60	26-Oct	27-Oct	2,200.00	5.0
COLUMBUS CIRCLE	036	PLCE	S	520	69.61	n/a	30-Oct	20.80	4.0
COLUMBUS CIRCLE	036	PNRA	S	830	61.92	n/a	n/a	33.20	4.0
COLUMBUS CIRCLE	036	PPDI	S	2,084	32.05	n/a	n/a	83.36	4.0
ASCEND PARTNERS	033	PVH	S	11,000	46.70	23-Oct	23-Oct	550.00	5.0
CROWLEY	061	PXLW	S	4,000	2.31	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	S	60,000	70.69	23-Oct	23-Oct	2,400.00	4.0
COLUMBUS CIRCLE	036	ROP	S	1,562	48.44	n/a	n/a	62.48	4.0
MKT MAKER	099	RRGB	B	73	47.38	n/a	n/a	0.00	0.0
MAGUIRE	039	RTH	S	100	100.68	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	RTN	S	10,800	50.00	n/a	n/a	432.00	4.0
M. KRAUS & CO.	037	SBL	S	5,200	14.96	19-Sep	31-Oct	260.00	5.0
COLUMBUS CIRCLE	036	SHPGY	S	1,290	53.75	8-Oct	30-Oct	51.60	4.0
CSFB GLOBAL PROPRIETARY	035	SSCC	S	85,000	10.64	20-Oct	27-Oct	4,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	SWN	S	2,890	35.33	7-Aug	24-Oct	115.60	4.0
SYSTEMATIC FINANCIAL MGT	035	TBL	B	24,200	28.45	19-Jul	27-Oct	968.00	4.0
TRIVIUM CAP MGMT	028	TGT	S	20,000	58.61	n/a	30-Oct	800.00	4.0
VISIUM/BALY HOWARD	038	TGT	S	25,000	58.79	n/a	30-Oct	1,250.00	5.0
BUCK CAPITAL MGMT	001	TIN	B	6,700	40.02	n/a	27-Oct	268.00	4.0
THE JAY GOLDMAN	034	TIN	B	30,000	39.92	n/a	27-Oct	1,200.00	4.0
COLUMBUS CIRCLE	036	TJX	S	2,370	28.90	5-Oct	30-Oct	94.80	4.0
COLUMBUS CIRCLE	036	TMO	S	820	43.18	n/a	n/a	32.80	4.0
BUCK CAPITAL MGMT	001	TRI	B	2,700	37.39	17-Oct	30-Oct	108.00	4.0
COLUMBUS CIRCLE	036	TRMB	S	1,020	47.07	n/a	n/a	40.80	4.0
COLUMBUS CIRCLE	036	TROW	S	1,680	46.82	13-Oct	26-Oct	67.20	4.0
XYLEM GLOBAL	024	TWP	S	15,000	25.60	n/a	n/a	750.00	5.0
HARRIS ASSOCIATES	031	TXN	S	13,200	30.43	n/a	n/a	528.00	4.0
KORMAN	003	URBN	S	7,000	17.80	5-Oct	23-Oct	0.00	0.0
ZOLIDIS	011	UWGKJ	B	7	1.60	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	WDR	S	25,000	25.13	13-Oct	24-Oct	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBUS CIRCLE	036	WGII	S	1,300	56.68	n/a	n/a	52.00	4.0
SOROS	036	WMT	S	100,800	49.49	5-Oct	30-Oct	4,032.00	4.0
COLUMBUS CIRCLE	036	WOOF	S	2,320	32.85	n/a	n/a	92.80	4.0
PHILADELPHIA FINANCIAL	031	WPL	B	30,000	13.45	20-Oct	26-Oct	1,500.00	5.0
EOS PARTNERS	040	WSM	S	5,300	34.28	n/a	n/a	265.00	5.0
AMBER CAPITAL	046	X	B	75,000	69.07	n/a	n/a	3,000.00	4.0
KAZMI	010	XOHO	S	1,500	3.92	n/a	n/a	0.00	0.0
HARRIS ASSOCIATES	031	XRX	S	5,000	16.79	n/a	n/a	200.00	4.0
BUCK CAPITAL MGMT	001	ZQK	B	20,000	13.95	8-Sep	8-Sep	800.00	4.0
TOTAL :								94,895.65	

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	SEQUENCE CAPITAL (SEQUGOLDMA)	028	CBK	S	3,338	28.03	18-Sep	30-Oct	166.90	5.0
AO,	SEQUENCE CAPITAL (SEQUGOLDMA)	028	CBK	S	3,338	28.03	18-Sep	30-Oct	133.52	4.0
AO,CXL	MAIRS AND POWER INV (MAIRGROWTH)	030	GLW	B	10,000	20.95	n/a	25-Oct	500.00	5.0
AO,	MAIRS AND POWER INV (MAIRGROWTH)	030	GLW	B	10,000	20.95	n/a	25-Oct	600.00	6.0
AO,CXL	FULLER & THALER ASSET (FULLBJPF)	040	UHS	S	4,500	54.52	n/a	27-Oct	225.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLNMFD)	040	UHS	S	1,100	54.52	n/a	27-Oct	55.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLOMAHAP)	040	UHS	S	2,400	54.52	n/a	27-Oct	120.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLRAMOS)	040	UHS	S	400	54.52	n/a	27-Oct	20.00	5.0
AO,	FULLER & THALER ASSET (FULLBJPF)	040	UHS	S	4,500	54.52	n/a	27-Oct	135.00	3.0
AO,	FULLER & THALER ASSET (FULLNMFD)	040	UHS	S	1,100	54.52	n/a	27-Oct	33.00	3.0
AO,	FULLER & THALER ASSET (FULLOMAHAP)	040	UHS	S	2,400	54.52	n/a	27-Oct	72.00	3.0
AO,	FULLER & THALER ASSET (FULLRAMOS)	040	UHS	S	400	54.52	n/a	27-Oct	12.00	3.0
AO,CXL	FULLER & THALER ASSET (FULLBJC HE)	040	UHS	S	13,200	54.52	n/a	27-Oct	660.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLNMPP)	040	UHS	S	200	54.52	n/a	27-Oct	10.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLOMAHA)	040	UHS	S	800	54.52	n/a	27-Oct	40.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLOSTRSC)	040	UHS	S	4,600	54.52	n/a	27-Oct	230.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLGRANIT)	040	UHS	S	2,200	54.52	n/a	27-Oct	110.00	5.0
AO,	FULLER & THALER ASSET (FULLBJC HE)	040	UHS	S	13,200	54.52	n/a	27-Oct	396.00	3.0
AO,	FULLER & THALER ASSET (FULLNMPP)	040	UHS	S	200	54.52	n/a	27-Oct	6.00	3.0
AO,	FULLER & THALER ASSET (FULLOMAHA)	040	UHS	S	800	54.52	n/a	27-Oct	24.00	3.0
AO,	FULLER & THALER ASSET (FULLOSTRSC)	040	UHS	S	4,600	54.52	n/a	27-Oct	138.00	3.0
AO,	FULLER & THALER ASSET (FULLGRANIT)	040	UHS	S	2,200	54.52	n/a	27-Oct	66.00	3.0
AO,CXL	FULLER & THALER ASSET (FULLIMATIO)	040	UHS	S	1,000	54.52	n/a	27-Oct	50.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLNY TIM)	040	UHS	S	3,000	54.52	n/a	27-Oct	150.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLALASKA)	040	UHS	S	800	54.52	n/a	27-Oct	40.00	5.0
AO,CXL	FULLER & THALER ASSET (FULLNESTLE)	040	UHS	S	3,400	54.52	n/a	27-Oct	170.00	5.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
AO, CXL	FULLER & THALER ASSET (FULLCARM)	040	UHS	S	900	54.52	n/a	27-Oct	45.00 5.0
AO,	FULLER & THALER ASSET (FULLIMATIO)	040	UHS	S	1,000	54.52	n/a	27-Oct	30.00 3.0
AO,	FULLER & THALER ASSET (FULLNY TIM)	040	UHS	S	3,000	54.52	n/a	27-Oct	90.00 3.0
AO,	FULLER & THALER ASSET (FULLALASKA)	040	UHS	S	800	54.52	n/a	27-Oct	24.00 3.0
AO,	FULLER & THALER ASSET (FULLNESTLE)	040	UHS	S	3,400	54.52	n/a	27-Oct	102.00 3.0
AO,	FULLER & THALER ASSET (FULLCARM)	040	UHS	S	900	54.52	n/a	27-Oct	27.00 3.0
AO, CXL	FULLER & THALER ASSET (FULLUFCWGR)	040	UHS	S	1,700	54.52	n/a	27-Oct	85.00 5.0
AO, CXL	FULLER & THALER ASSET (FULLIOWA)	040	UHS	S	900	54.52	n/a	27-Oct	45.00 5.0
AO, CXL	FULLER & THALER ASSET (FULLGERMAN)	040	UHS	S	400	54.52	n/a	27-Oct	20.00 5.0
AO, CXL	FULLER & THALER ASSET (FULLROBUST)	040	UHS	S	6,300	54.52	n/a	27-Oct	315.00 5.0
AO,	FULLER & THALER ASSET (FULLUFCWGR)	040	UHS	S	1,700	54.52	n/a	27-Oct	51.00 3.0
AO,	FULLER & THALER ASSET (FULLIOWA)	040	UHS	S	900	54.52	n/a	27-Oct	27.00 3.0
AO,	FULLER & THALER ASSET (FULLGERMAN)	040	UHS	S	400	54.52	n/a	27-Oct	12.00 3.0
AO,	FULLER & THALER ASSET (FULLROBUST)	040	UHS	S	6,300	54.52	n/a	27-Oct	189.00 3.0
AO, CXL	FULLER & THALER ASSET (FULLMCCUNE)	040	UHS	S	600	54.52	n/a	27-Oct	30.00 5.0
AO, CXL	FULLER & THALER ASSET (FULLNYSCF)	040	UHS	S	8,100	54.52	n/a	27-Oct	405.00 5.0
AO, CXL	FULLER & THALER ASSET (FULLXCEL)	040	UHS	S	11,000	54.52	n/a	27-Oct	550.00 5.0
AO, CXL	FULLER & THALER ASSET (FULLCARDIN)	040	UHS	S	200	54.52	n/a	27-Oct	10.00 5.0
AO,	FULLER & THALER ASSET (FULLMCCUNE)	040	UHS	S	600	54.52	n/a	27-Oct	18.00 3.0
AO,	FULLER & THALER ASSET (FULLNYSCF)	040	UHS	S	8,100	54.52	n/a	27-Oct	243.00 3.0
AO,	FULLER & THALER ASSET (FULLXCEL)	040	UHS	S	11,000	54.52	n/a	27-Oct	330.00 3.0
AO,	FULLER & THALER ASSET (FULLCARDIN)	040	UHS	S	200	54.52	n/a	27-Oct	6.00 3.0
AO, CXL	FULLER & THALER ASSET (FULLMARITI)	040	UHS	S	700	54.52	n/a	27-Oct	35.00 5.0
AO, CXL	FULLER & THALER ASSET (FULLS - IR)	040	UHS	S	300	54.52	n/a	27-Oct	15.00 5.0
AO, CXL	FULLER & THALER ASSET (FULLWATER)	040	UHS	S	1,400	54.52	n/a	27-Oct	70.00 5.0
AO, CXL	FULLER & THALER ASSET (FULLPHILAN)	040	UHS	S	200	54.52	n/a	27-Oct	10.00 5.0
AO,	FULLER & THALER ASSET (FULLMARITI)	040	UHS	S	700	54.52	n/a	27-Oct	21.00 3.0
AO,	FULLER & THALER ASSET (FULLS - IR)	040	UHS	S	300	54.52	n/a	27-Oct	9.00 3.0
AO,	FULLER & THALER ASSET (FULLWATER)	040	UHS	S	1,400	54.52	n/a	27-Oct	42.00 3.0
AO,	FULLER & THALER ASSET (FULLPHILAN)	040	UHS	S	200	54.52	n/a	27-Oct	6.00 3.0

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	FULLER & THALER ASSET (FULLMARTHA)	040	UHS	S	200	54.52	n/a	27-Oct	10.00 5.0
AO,CXL	FULLER & THALER ASSET (FULLBGF)	040	UHS	S	9,700	54.52	n/a	27-Oct	485.00 5.0
AO,CXL	FULLER & THALER ASSET (FULLHOOG)	040	UHS	S	3,700	54.52	n/a	27-Oct	185.00 5.0
AO,CXL	FULLER & THALER ASSET (FULLNIMO)	040	UHS	S	1,200	54.52	n/a	27-Oct	60.00 5.0
AO,	FULLER & THALER ASSET (FULLMARTHA)	040	UHS	S	200	54.52	n/a	27-Oct	6.00 3.0
AO,	FULLER & THALER ASSET (FULLBGF)	040	UHS	S	9,700	54.52	n/a	27-Oct	291.00 3.0
AO,	FULLER & THALER ASSET (FULLHOOG)	040	UHS	S	3,700	54.52	n/a	27-Oct	111.00 3.0
AO,	FULLER & THALER ASSET (FULLNIMO)	040	UHS	S	1,200	54.52	n/a	27-Oct	36.00 3.0
AO,CXL	FULLER & THALER ASSET (FULLOSERS)	040	UHS	S	6,300	54.52	n/a	27-Oct	315.00 5.0
AO,CXL	FULLER & THALER ASSET (FULLDEERE)	040	UHS	S	6,500	54.52	n/a	27-Oct	325.00 5.0
AO,CXL	FULLER & THALER ASSET (FULLUFCW2)	040	UHS	S	100	54.52	n/a	27-Oct	5.00 5.0
AO,CXL	FULLER & THALER ASSET (FULLNATGRI)	040	UHS	S	2,000	54.52	n/a	27-Oct	100.00 5.0
AO,	FULLER & THALER ASSET (FULLOSERS)	040	UHS	S	6,300	54.52	n/a	27-Oct	189.00 3.0
AO,	FULLER & THALER ASSET (FULLDEERE)	040	UHS	S	6,500	54.52	n/a	27-Oct	195.00 3.0
AO,	FULLER & THALER ASSET (FULLUFCW2)	040	UHS	S	100	54.52	n/a	27-Oct	3.00 3.0
AO,	FULLER & THALER ASSET (FULLNATGRI)	040	UHS	S	2,000	54.52	n/a	27-Oct	60.00 3.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.