



# Daily Compliance Activity Report

Trade Date: 09/26/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	ABG	B	10,000	19.42	18-Jun	27-Jul	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ABG</b>	<b>B</b>	<b>20,000</b>	<b>19.35</b>	<b>18-Jun</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>15,000</b>	<b>25.83</b>	<b>10-Jul</b>	<b>7-Sep</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>S</b>	<b>5,000</b>	<b>26.07</b>	<b>10-Jul</b>	<b>7-Sep</b>	<b>non-brg</b>	<b>1.0</b>
<b>WHEELER</b>	<b>008</b>	<b>AIXD</b>	<b>S</b>	<b>10,000</b>	<b>5.54</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
AMBER CAPITAL	046	AKS	S	26,300	43.64	n/a	n/a	1,052.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>S</b>	<b>1,000</b>	<b>80.00</b>	<b>23-Aug</b>	<b>7-Sep</b>	<b>non-brg</b>	<b>1.0</b>
SIGMA CAPITAL ASSOCIATES	044	ANN	S	25,000	32.34	6-Aug	7-Sep	1,250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANST</b>	<b>C</b>	<b>11,000</b>	<b>32.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
MERRILL ASSET	032	AOI	S	81,900	6.78	n/a	n/a	3,685.50	4.5
MAZAMA CAPITAL MGMT.	035	ARD	S	23,200	66.69	n/a	n/a	928.00	4.0
THE JAY GOLDMAN	034	ARO	B	84,000	19.39	n/a	7-Sep	3,360.00	4.0
MEISENBACH CAP MGMT	041	AVP	B	10,000	35.76	24-Sep	24-Sep	300.00	3.0
BRIGHTPOINT CAP	067	BIG	SS	25,000	31.38	n/a	7-Sep	1,000.00	4.0
<b>WHEELER</b>	<b>008</b>	<b>BQI</b>	<b>B</b>	<b>7,403</b>	<b>4.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRIVIUM CAP MGMT	251	BRL	S	25,000	55.81	8-Aug	25-Sep	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		BSC	S	22,500	125.96	4-Sep	21-Sep	non-brg	1.0
FINANCIAL STOCK LP	028	BSC	B	30,000	126.59	4-Sep	21-Sep	1,200.00	4.0
BUCK CAPITAL MGMT	001	BWS	B	10,000	20.25	n/a	n/a	400.00	4.0
JPMSI/TOM LEDDY	035	BWS	S	15,000	20.32	n/a	n/a	750.00	5.0
BUCK	015	CAH	B	300	62.81	n/a	n/a	0.00	0.0
S.A.C. CAPITAL MGMT.	041	CAT	B	35,000	76.50	n/a	n/a	1,750.00	5.0
⌘ BUCK CAPITAL MGMT		CEC	SS	10,000	27.28	n/a	n/a	non-brg	2.5
LEDDY	035	CHCG	B	1,010	3.85	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CHS	B	60,000	14.20	30-Aug	7-Sep	non-brg	4.0
BEAR STEARNS ASSET MGMT.	033	COH	SS	15,000	46.39	n/a	10-Sep	600.00	4.0
⌘ BUCK CAPITAL MGMT		COH	S	2,000	47.06	n/a	10-Sep	non-brg	1.0
LEDDY	035	CPHI	B	2,700	1.65	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		CTRN	B	5,000	22.51	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	CVS	S	15,000	39.44	n/a	n/a	750.00	5.0
⌘ BUCK CAPITAL MGMT		DBRN	S	5,000	17.37	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		DIA	SS	5,000	138.19	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TROUBH PART	067	DPHIQ	B	50,000	0.55	n/a	n/a	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		DRI	SS	10,000	43.07	n/a	24-Apr	non-brg	2.5
⌘ BUCK CAPITAL MGMT		EAT	SS	10,000	28.05	n/a	24-Apr	non-brg	2.5
APEX CAPITAL MGMT.	091	EBAY	S	100,000	38.90	n/a	n/a	5,000.00	5.0
SANDLER O'NEILL ASSET	031	EV	B	71,700	39.41	23-May	25-Sep	3,585.00	5.0
GAULT	063	EXH	S	325	78.54	n/a	4-Sep	0.00	0.0
BARON ASSET MGMT*	012	EXP	S	65,000	36.03	n/a	n/a	3,250.00	5.0
BALYASNY ASSET MGMT	044	FDO	SS	20,000	27.09	9-Aug	21-Sep	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		FOSL	S	10,000	37.68	12-Sep	12-Sep	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	S	5,000	38.09	12-Sep	12-Sep	non-brg	1.0
MAZAMA CAPITAL MGMT.	035	FVE	S	14,600	8.12	n/a	n/a	584.00	4.0
MAGNETAR FINANCIAL	247	F	SS	40,000	8.80	n/a	13-Sep	1,200.00	3.0
MORGAN LEWIS/MLH	046	F	SS	75,000	8.74	n/a	13-Sep	3,750.00	5.0
LEDDY	035	F	SS	1,200	8.61	n/a	13-Sep	0.00	0.0
⌘ BUCK CAPITAL MGMT		GES	B	5,000	46.97	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GES	S	2,000	47.01	n/a	n/a	non-brg	1.0
NEEDHAM CAP	067	GES	B	5,000	47.10	n/a	n/a	200.00	4.0
PERRY PARTNERS	041	GE	B	200,000	41.06	n/a	n/a	10,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GIII	S	5,000	20.40	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GIII	S	5,000	20.81	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GIL	S	10,000	38.14	19-Sep	19-Sep	non-brg	2.5
WAGONHOUND	048	GIL	S	1,030	38.19	19-Sep	19-Sep	41.20	4.0
TRELLUS PARTNERS LP	028	GM	SS	30,000	37.16	4-May	13-Sep	1,500.00	5.0
BALYASNY ASSET MGMT	044	GNTX	SS	10,000	20.76	24-Jul	31-Aug	500.00	5.0
⌘ BUCK CAPITAL MGMT		GTRC	S	2,000	58.44	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		GYMB	B	10,000	36.88	10-Aug	7-Sep	non-brg	4.0
PRIME LOGIC ADV	039	HVT	B	40,000	9.56	n/a	n/a	2,000.00	5.0
⌘ BUCK CAPITAL MGMT		ICON	S	10,000	23.95	n/a	n/a	non-brg	4.0
LEDDY	035	ICON	S	1,200	23.92	n/a	n/a	0.00	0.0
CROWN ADVISORS	046	ICOP	S	10,000	7.00	n/a	n/a	500.00	5.0
MAZAMA CAPITAL MGMT.	035	IT	S	59,600	24.54	n/a	n/a	2,384.00	4.0
⌘ BUCK CAPITAL MGMT		JADE	S	25,000	4.76	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		JBX	SS	10,000	65.07	n/a	24-Apr	non-brg	2.5
BALYASNY ASSET MGMT	044	JCG	S	10,000	45.37	22-Jun	6-Sep	500.00	5.0
⌘ BUCK CAPITAL MGMT		JCG	B	5,000	45.56	22-Jun	6-Sep	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>B BUCK CAPITAL MGMT</b>		<b>JCG</b>	<b>S</b>	<b>1,000</b>	<b>46.01</b>	<b>22-Jun</b>	<b>6-Sep</b>	<b>non-brg</b>	<b>1.0</b>
COUGAR TRADING	044	JCG	S	3,400	46.10	22-Jun	6-Sep	170.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>B</b>	<b>10,000</b>	<b>64.21</b>	<b>21-Jun</b>	<b>7-Sep</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>S</b>	<b>2,000</b>	<b>64.60</b>	<b>21-Jun</b>	<b>7-Sep</b>	<b>non-brg</b>	<b>1.0</b>
LIGHTHOUSE FIN/QUAD	046	JCP	B	10,000	63.69	21-Jun	7-Sep	500.00	5.0
APEX CAPITAL MGMT.	091	JOSB	SS	50,000	35.55	n/a	n/a	2,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>S</b>	<b>5,000</b>	<b>35.23</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
CUMBERLAND	038	JWN	SS	5,000	48.51	12-Jul	7-Sep	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>S</b>	<b>3,000</b>	<b>57.12</b>	<b>27-Sep</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>1.0</b>
CATAPULT	040	LEH	B	10,000	60.70	12-Jun	20-Sep	500.00	5.0
FINANCIAL STOCK LP	028	LEH	B	40,000	62.23	12-Jun	20-Sep	1,600.00	4.0
SANDLER O'NEILL ASSET	031	LM	B	21,000	81.21	19-Sep	25-Sep	1,050.00	5.0
ROSEDALE CAPITAL	046	LTD	B	15,000	22.84	16-May	7-Sep	750.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTM</b>	<b>S</b>	<b>2,000</b>	<b>59.63</b>	<b>n/a</b>	<b>n/a</b>	<b>80.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>LULU</b>	<b>S</b>	<b>15,000</b>	<b>43.29</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MS PRINCIPAL STRATEGIES	041	MAR	B	5,000	42.89	n/a	n/a	250.00	5.0
STERLING JOHNSTON	035	MCCC	S	48,050	7.32	21-Sep	21-Sep	1,922.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MDTL</b>	<b>SS</b>	<b>10,000</b>	<b>11.25</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SLS CAPITAL LLC	224	MER	SS	200,000	71.70	18-Jul	20-Sep	8,000.00	4.0
WHEELER	008	MNZAS	B	50	3.40	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MOS	C	20,000	50.62	n/a	n/a	non-brg	4.0
LIGHTHOUSE FIN/QUAD	046	MSFT	S	10,000	29.73	n/a	3-Apr	500.00	5.0
ß BUCK CAPITAL MGMT		MSMSBMVR	C	45,000	178.99	n/a	n/a	non-brg	17.9
GAULT	063	MSO	B	1,000	12.01	n/a	n/a	0.00	0.0
DEMARTINI	065	MSO	B	300	11.98	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		MVRX	C	90,000	168.26	n/a	n/a	non-brg	16.8
BUCK CAPITAL MGMT	001	M	B	50,000	32.33	24-Sep	24-Sep	2,000.00	4.0
ß BUCK CAPITAL MGMT		M	B	50,000	32.56	24-Sep	24-Sep	non-brg	4.0
ß BUCK CAPITAL MGMT		NEXC	S	10,000	7.18	n/a	n/a	non-brg	2.5
NEUBERGER BERMAN	036	NOV	S	300	145.01	n/a	n/a	15.00	5.0
SAC TRADING/BILLY	063	OPXT	S	100,000	10.95	n/a	n/a	5,000.00	5.0
NORP	030	PCH	B	30,000	45.27	21-Sep	21-Sep	1,200.00	4.0
ß BUCK CAPITAL MGMT		PERY	S	20,000	28.24	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		PLCE	SS	50,000	25.66	26-Sep	26-Sep	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CIRCLE T PART	035	PLCE	B	15,000	25.80	26-Sep	26-Sep	600.00	4.0
COUGAR TRADING	044	PLCE	B	5,000	25.41	26-Sep	26-Sep	250.00	5.0
FIRST NEW YORK LEDDY	035	PLCE	S	10,000	25.68	26-Sep	26-Sep	600.00	6.0
MERRILL LYNCH STRATEGICI	034	PLCE	B	5,000	25.80	26-Sep	26-Sep	250.00	5.0
BUCK CAPITAL MGMT	001	PSS	B	50,000	20.94	n/a	n/a	2,000.00	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	2,000	52.62	13-Aug	29-Aug	non-brg	1.0
⌘ BUCK CAPITAL MGMT		PZZA	SS	10,000	24.61	n/a	24-Apr	non-brg	2.5
DEEPHAVEN	031	QQQQ	SS	25,000	51.43	n/a	n/a	1,000.00	4.0
DKR CAPITAL	048	QQQQ	SS	20,000	51.26	n/a	n/a	1,000.00	5.0
WHEELER	008	RAE	S	15,000	3.13	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		RRGB	SS	10,000	42.54	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		RTH	C	16,100	100.50	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		RT	SS	10,000	18.80	n/a	n/a	non-brg	2.5
WHEELER	008	RUSHA	S	3,000	26.99	n/a	n/a	0.00	0.0
BELMONT GLOBAL ADV	248	SMBL	B	7,000	11.39	n/a	n/a	350.00	5.0
⌘ BUCK CAPITAL MGMT		SONC	SS	10,000	23.91	n/a	n/a	non-brg	2.5
DEEPHAVEN	031	SPY	SS	25,000	152.00	n/a	n/a	1,000.00	4.0
⌘ BUCK CAPITAL MGMT		SSI	S	24,300	18.40	3-Aug	7-Sep	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NORP	030	TAXI	S	2,900	11.02	n/a	n/a	116.00	4.0
<b>ZOLIDIS</b>	<b>011</b>	<b>TBL</b>	<b>S</b>	<b>190</b>	<b>19.55</b>	<b>n/a</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>THI</b>	<b>SS</b>	<b>10,000</b>	<b>34.56</b>	<b>n/a</b>	<b>24-Apr</b>	<b>non-brg</b>	<b>2.5</b>
S.A.C. CAPITAL MGMT.	041	TIN	B	15,000	51.80	21-Sep	21-Sep	750.00	5.0
<b>BUCK</b>	<b>015</b>	<b>TOYS R US INCB</b>		<b>100,000</b>	<b>81.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PZENA INV ADV	044	TPX	S	48,275	36.10	n/a	n/a	1,931.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TRLG</b>	<b>S</b>	<b>10,000</b>	<b>17.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
RIVER RUN MGMT	031	TRMP	B	8,900	6.44	n/a	n/a	356.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TWB</b>	<b>B</b>	<b>10,000</b>	<b>31.65</b>	<b>23-Aug</b>	<b>14-Sep</b>	<b>non-brg</b>	<b>4.0</b>
MEISENBACH CAP MGMT	041	UAUA	B	10,000	44.72	n/a	n/a	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>5,000</b>	<b>21.35</b>	<b>31-Jul</b>	<b>10-Aug</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>S</b>	<b>5,000</b>	<b>21.58</b>	<b>31-Jul</b>	<b>10-Aug</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WRNC</b>	<b>S</b>	<b>2,000</b>	<b>38.15</b>	<b>19-Sep</b>	<b>19-Sep</b>	<b>non-brg</b>	<b>1.0</b>
BEAR STEARNS ASSET MGMT.	033	WSM	B	40,000	31.38	n/a	n/a	1,600.00	4.0
<b>BUCK</b>	<b>015</b>	<b>XGM</b>	<b>S</b>	<b>3,000</b>	<b>18.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ZQK</b>	<b>S</b>	<b>20,000</b>	<b>14.56</b>	<b>n/a</b>	<b>7-Sep</b>	<b>non-brg</b>	<b>4.0</b>



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
B BUCK CAPITAL MGMT		ZUMZ	S	2,000	45.35	n/a	n/a	non-brg 1.0
TOTAL (Trade Date):								95,359.70

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	09/25	CROWN ADVISORS	046	BIG	B	2,000	30.10	n/a	7-Sep	100.00 5.0
AO,CRT	09/25	MKT MAKER	099	CHTP	B	100	6.05	n/a	n/a	0.00 0.0
AO,CXL	09/25	RHO CAPITAL MGMT	041	CHTP	B	100	6.05	n/a	n/a	5.00 5.0
AO,CRT	09/25	SAVANNAH-BALTIMORE	012	IVZ	B	81,700	27.21	8-Aug	25-Sep	3268.00 4.0
AO,CXL	09/25	SAVANNAH-BALTIMORE	012	IVZ	B	81,700	27.21	8-Aug	25-Sep	4085.00 5.0
AO,CXL	09/25	STARK ASSET MGMT	031	LEA	S	20,200	31.55	n/a	n/a	808.00 4.0
AO,CRT	09/25	STARK ASSET MGMT	031	LEA	S	20,200	31.55	n/a	n/a	808.00 4.0
AO,CRT	09/25	BUCK CAPITAL MGMT	001	MW	SS	3,700	48.10	n/a	n/a	148.00 4.0
AO,CXL	09/25	BUCK CAPITAL MGMT	001	MW	SS	3,700	48.10	n/a	n/a	148.00 4.0
AO,CRT	09/25	BAYPOINT/PRIMEMOVERS	041	UEIC	S	3,700	32.08	n/a	n/a	148.00 4.0
AO,CXL	09/25	BAYPOINT/PRIMEMOVERS	041	UEIC	S	3,700	32.08	n/a	n/a	185.00 5.0
AO,CXL	09/25	STARK ASSET MGMT	031	VC	B	35,000	5.13	n/a	n/a	1400.00 4.0
AO,CRT	09/25	STARK ASSET MGMT	031	VC	B	35,000	5.13	n/a	n/a	1400.00 4.0
AO,	09/21	EMP ERROR	099	WY	B	400	72.04	21-Sep	21-Sep	0.00 0.0
AO,CRT	09/21	LEDDY	035	WY	S	400	72.04	21-Sep	21-Sep	0.00 0.0
AO,CRT	09/21	EMP ERROR	099	WY	S	400	72.11	21-Sep	21-Sep	0.00 0.0
AO,CXL	09/21	LEDDY	035	WY	S	400	72.11	21-Sep	21-Sep	0.00 0.0
TOTAL (AO, CXL, CRT) :										-759.00

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TOTAL (Trade Date):	95,359.70
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TOTAL (AO, CXL, CRT):	-759.00
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>94,600.70</b>
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MRI Securities with Trade Activity on 26-Sep.
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PLCE
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MRI Securities without Trade Activity on 26-Sep.
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NONE
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BRG comm. as a % of BCM Total Comm. paid.
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T-Date = 9%
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YTD = 16%
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION