



Daily Compliance Activity Report

Trade Date: 04/29/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	ABG	S	15,000	16.73	n/a	25-Apr	750.00	5.0
B BUCK CAPITAL MGMT		AEO	B	75,000	18.33	6-Mar	11-Apr	non-brg	4.0
COUGAR TRADING	044	AEO	B	5,000	18.22	6-Mar	11-Apr	250.00	5.0
J L F ASSET MGMT	039	AEO	B	338,300	18.46	6-Mar	11-Apr	13,532.00	4.0
SIGMA CAPITAL ASSOCIATES	237	AEO	S	70,000	18.53	6-Mar	11-Apr	3,500.00	5.0
BANK OF NEW YORK	046	AIG	S	30,539	46.65	n/a	n/a	1,221.56	4.0
B BUCK CAPITAL MGMT		AN	SS	100,000	16.12	n/a	25-Apr	non-brg	4.0
LEDDY	035	AN	SS	1,000	16.15	n/a	25-Apr	0.00	0.0
MCCARTAN	028	AOS	S	200	31.05	28-Mar	17-Apr	0.00	0.0
MAGUIRE	039	ARGN	B	200	13.80	n/a	21-Apr	0.00	0.0
B BUCK CAPITAL MGMT		ARO	S	170,000	32.05	28-Apr	28-Apr	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	S	50,000	32.07	28-Apr	28-Apr	non-brg	4.0
COUGAR TRADING	044	ARO	S	15,000	32.26	28-Apr	28-Apr	750.00	5.0
MAIRS AND POWER INV	030	BAX	S	10,000	62.77	n/a	22-Apr	500.00	5.0
B BUCK CAPITAL MGMT		BBBY	SS	10,000	33.21	n/a	n/a	non-brg	4.0
MATTHES CAPITAL MGMT	033	BIG	B	75,000	27.21	6-Mar	29-Apr	3,000.00	4.0
EMP ERROR	099	BIG	B	400	26.77	6-Mar	29-Apr	0.00	0.0
WASHINGTON ASSET	048	BKC	S	10,000	27.87	n/a	25-Apr	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
MILLER	025	BX	B	100	18.84	n/a	n/a	0.00	0.0
SERENGETI MGMT	030	CBG	B	50,000	22.68	n/a	n/a	0.00	0.0
GENERAL ELECTIC CO	033	CEG	S	17,510	86.56	n/a	n/a	700.40	4.0
IRIDIAN ASSET MGMT.	036	CE	B	24,220	44.98	n/a	n/a	1,211.00	5.0
DELTEC ASSET MGMT.	040	CHS	B	50,000	6.91	11-Apr	11-Apr	2,000.00	4.0
MCCARTAN	028	CLHB	B	100	64.95	n/a	n/a	0.00	0.0
CATTANI	041	CLMS	B	500	17.62	13-Feb	23-Apr	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	CL	B	120,000	75.97	n/a	11-Feb	6,000.00	5.0
MCCARTAN	028	CPN	S	200	20.62	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CWTR	B	50,000	5.41	n/a	n/a	non-brg	3.0
HEALY CIRCLE	044	DKS	S	25,000	27.90	n/a	14-Mar	1,250.00	5.0
GENERAL ELECTIC CO	033	DOV	S	25,210	49.73	n/a	n/a	504.20	2.0
BANK OF NEW YORK	046	DRE	S	10,000	25.21	n/a	n/a	400.00	4.0
BUCK	015	EFA	B	200	75.49	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	EWJ	C	50,000	13.29	n/a	n/a	1,500.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MCCARTAN	028	FELE	S	100	38.00	n/a	n/a	0.00	0.0
LUCHANSKY1	036	FELE	S	200	38.26	n/a	n/a	0.00	0.0
WHEELER	008	GFG	B	15,000	9.50	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GIL	B	220,000	26.05	22-Jan	28-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	B	65,000	24.93	22-Jan	28-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GIL	B	85,000	25.47	22-Jan	28-Apr	non-brg	2.5
GOLD SACHS SPECIAL SIT	030	GIL	B	75,000	25.78	22-Jan	28-Apr	3,750.00	5.0
DELTEC ASSET MGMT.	040	GLW	S	15,000	27.00	n/a	29-Apr	750.00	5.0
S CAST	016	GMO	B	225	8.90	n/a	n/a	0.00	0.0
AMATURO	018	GMO	B	2,000	8.51	n/a	n/a	0.00	0.0
COLONIAL FUND LLC	036	GM	SS	37,500	21.70	29-Apr	29-Apr	1,875.00	5.0
WEINTRAUB CAPITAL MGT.	040	GM	SS	100,000	21.35	29-Apr	29-Apr	4,000.00	4.0
BALYASNY ASSET MGMT	044	GPI	S	18,500	27.14	n/a	25-Apr	925.00	5.0
MILLRACE FUND LP	028	GPI	S	5,000	27.00	n/a	25-Apr	200.00	4.0
SCOPUS FUND	041	GPI	S	40,000	27.35	n/a	25-Apr	2,000.00	5.0
BUCK	015	GPYQQ	S	4	3.75	n/a	n/a	0.00	0.0
LEDDY	035	GSI	S	1,000	10.19	n/a	n/a	0.00	0.0
CATTANI	041	GS	S	100	189.28	19-Mar	14-Apr	0.00	0.0
COUGAR TRADING	044	GYMB	B	5,000	43.68	2-Apr	11-Apr	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
S.A.C. CAPITAL MGMT.	044	HAL	B	50,000	45.05	n/a	n/a	2,500.00	5.0
MERRILL ASSET	032	HAR	B	11,100	40.90	n/a	21-Apr	499.50	4.5
JMP ASSET MGMT	210	HBI	SS	2,500	33.46	n/a	n/a	100.00	4.0
EPOCH INV MGMT	030	HCBK	S	75,000	19.15	n/a	n/a	3,000.00	4.0
VENTANA CAPITAL	060	HCBK	B	7,500	19.15	n/a	n/a	225.00	3.0
SIGMA CAPITAL ASSOCIATES	237	HD	S	140,000	29.39	n/a	27-Jul	7,000.00	5.0
BARROW HANLEY MEWHINNEY	031	HI	S	10,360	18.25	n/a	n/a	414.40	4.0
BARROW HANLEY MEWHINNEY	031	HRC	B	62,300	25.59	n/a	n/a	2,492.00	4.0
PERFORMANCE PART LP #2	041	IP	B	5,000	27.31	8-Feb	7-Apr	250.00	5.0
B BUCK CAPITAL MGMT		IR	C	20,000	43.02	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		IR	C	93,000	42.97	n/a	n/a	non-brg	4.0
MORGAN LEWIS/MLH	046	ITW	S	10,000	52.84	n/a	n/a	500.00	5.0
BRIGADE CAPITAL MGMT	029	IWM	SS	100,000	71.31	n/a	n/a	5,000.00	5.0
COUGAR TRADING	044	JCG	B	5,000	44.92	n/a	12-Mar	250.00	5.0
SCOPUS FUND	041	JCP	S	38,200	43.58	4-Jan	17-Apr	1,910.00	5.0
EPOCH INV MGMT	030	JNS	B	26,950	27.75	25-Apr	25-Apr	1,078.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CATTANI	041	JNS	S	500	28.85	25-Apr	25-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	JNY	S	43,900	14.98	n/a	28-Apr	1,756.00	4.0
⌘ BUCK CAPITAL MGMT		JNY	S	18,000	15.02	n/a	28-Apr	non-brg	1.0
⌘ BUCK CAPITAL MGMT		JNY	S	74,300	15.01	n/a	28-Apr	non-brg	4.0
MERRILL ASSET	032	JNY	S	29,900	14.61	n/a	28-Apr	1,345.50	4.5
SIGMA CAPITAL ASSOCIATES	237	JNY	B	15,000	15.02	n/a	28-Apr	750.00	5.0
SIGMA CAPITAL ASSOCIATES	237	JNY	SS	20,200	14.75	n/a	28-Apr	1,010.00	5.0
⌘ BUCK CAPITAL MGMT		KSS+FK	SS	2,000	1.30	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		KSS	S	60,000	49.28	4-Jan	11-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		KSS	S	60,000	50.16	4-Jan	11-Apr	non-brg	4.0
COUGAR TRADING	044	KSS	S	10,000	50.17	4-Jan	11-Apr	500.00	5.0
SCOPUS FUND	041	KSS	S	12,300	50.02	4-Jan	11-Apr	615.00	5.0
⌘ BUCK CAPITAL MGMT		LM	S	2,000	61.30	24-Mar	22-Apr	non-brg	4.0
GAULT	063	LPX	S	1,500	11.57	7-Apr	7-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		LTD+RW	SS	1,000	0.57	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		LTD	SS	50,000	19.34	n/a	11-Apr	non-brg	4.0
HOLDEN CAPITAL MGMT	035	LVS	S	13,135	71.65	n/a	n/a	656.75	5.0
BUCK	015	LVS	B	700	73.67	n/a	n/a	0.00	0.0
MERRILL ASSET	032	MKC	S	13,900	37.81	n/a	n/a	625.50	4.5
LUCHANSKY1	036	MMAB	S	500	3.90	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMATURO	018	MO	B	1,000	20.46	n/a	n/a	0.00	0.0
PERFORMANCE PART LP #2	041	MWV	B	5,000	27.64	7-Apr	8-Apr	250.00	5.0
STADIA CAPITAL	035	NKE	B	50,000	68.32	n/a	n/a	2,000.00	4.0
BUCK	015	NMXRT	B	10	9.49	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		OIH	SS	10,000	197.66	n/a	n/a	non-brg	1.0
BANK OF NEW YORK	046	OXY	S	27,400	83.49	n/a	n/a	1,096.00	4.0
SCOPUS FUND	041	PAG	S	45,000	21.77	22-Apr	25-Apr	2,250.00	5.0
CENTURION GLOBAL MGMT LL	041	PAY	B	28,900	10.46	n/a	n/a	867.00	3.0
GEORGE WEISS	034	PCL	B	3,000	41.31	29-Apr	29-Apr	120.00	4.0
XYLEM GLOBAL	091	PCL	B	75,000	41.45	29-Apr	29-Apr	3,750.00	5.0
SIGMA CAPITAL ASSOCIATES	237	PEP	B	20,000	68.40	n/a	n/a	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	237	PG	B	215,000	66.13	n/a	27-Mar	10,750.00	5.0
SIGMA CAPITAL ASSOCIATES	237	PG	S	104,600	66.00	n/a	27-Mar	5,230.00	5.0
EFSTATHIOU	044	PKG	B	500	21.96	7-Apr	22-Apr	0.00	0.0
BANK OF NEW YORK	046	PRU	B	29,010	76.37	n/a	n/a	1,160.40	4.0
COUGAR TRADING	044	PSUN	SS	5,000	13.81	n/a	11-Apr	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PALMYRA CAPITAL	048	PSUN	B	95,000	13.85	n/a	11-Apr	4,750.00	5.0
BMO NESBITT BURNS	035	PVH	B	6,130	39.70	13-Mar	26-Mar	245.20	4.0
J L F ASSET MGMT	039	PVH	B	23,000	40.44	13-Mar	26-Mar	920.00	4.0
MERRILL ASSET	032	PVH	B	5,000	40.08	13-Mar	26-Mar	225.00	4.5
ROXBURY CAPITAL MGMT	035	PVH	B	143,870	39.70	13-Mar	26-Mar	5,754.80	4.0
⚡ BUCK CAPITAL MGMT		QDC+FJ	SS	2,000	1.50	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		QDC+GK	SS	2,000	0.80	n/a	n/a	non-brg	100.0
FIRST NEW YORK LEDDY	035	Q	S	50,000	5.13	n/a	n/a	3,000.00	6.0
FIRST NEW YORK NICK	029	Q	S	10,000	5.03	n/a	n/a	500.00	5.0
⚡ BUCK CAPITAL MGMT		RCL	B	10,000	31.56	25-Apr	25-Apr	non-brg	1.0
⚡ BUCK CAPITAL MGMT		RCL	B	20,000	31.52	25-Apr	25-Apr	non-brg	4.0
XYLEM GLOBAL	091	RKT	B	10,000	32.24	n/a	n/a	500.00	5.0
BRIGADE CAPITAL MGMT	029	RRR	B	39,600	8.84	n/a	n/a	1,188.00	3.0
⚡ BUCK CAPITAL MGMT		RTH	C	43,700	97.88	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		SGMS	S	50,000	27.06	n/a	n/a	non-brg	4.0
S.A.C. CAPITAL MGMT.	044	SGP	B	28,870	18.80	n/a	n/a	1,443.50	5.0
⚡ BUCK CAPITAL MGMT		SKX	B	20,000	23.36	n/a	13-Mar	non-brg	4.0
BANK OF NEW YORK	046	SLB	S	19,400	99.67	n/a	n/a	776.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GAULT	063	SPNG	B	945,000	0.04	n/a	n/a	0.00	0.0
BUCK	015	SPY	B	300	138.87	n/a	n/a	0.00	0.0
MAGUIRE	039	SWN	S	200	41.49	20-Mar	25-Apr	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	TGT	B	15,000	54.24	n/a	27-Jul	750.00	5.0
AAD CAPITAL	048	TIN	B	52,300	11.67	29-Apr	29-Apr	1,569.00	3.0
BMO NESBITT BURNS	041	TIN	B	50,000	11.91	29-Apr	29-Apr	1,500.00	3.0
⌘ BUCK CAPITAL MGMT		TIN	B	100,000	11.98	29-Apr	29-Apr	non-brg	4.0
DSG CAPITAL	225	TIN	B	30,000	11.73	29-Apr	29-Apr	1,500.00	5.0
XYLEM GLOBAL	091	TIN	B	77,900	11.50	29-Apr	29-Apr	3,116.00	4.0
LEDDY	035	TIN	B	1,000	11.61	29-Apr	29-Apr	0.00	0.0
⌘ BUCK CAPITAL MGMT		TJX+GG	SS	1,000	1.25	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		TJX	B	50,000	33.39	n/a	11-Apr	non-brg	4.0
PRINCIPLE CAPITAL	040	TUP	SS	27,700	40.56	n/a	n/a	1,108.00	4.0
EFSTATHIOU	044	TWTC	S	500	19.00	9-Nov	4-Jan	0.00	0.0
NOLAN	067	TWTC	S	25	19.02	9-Nov	4-Jan	0.00	0.0
FORD FDN.	032	UHS	S	50,600	62.53	n/a	25-Apr	2,530.00	5.0
LEDDY	035	UQM	B	2,200	1.54	n/a	n/a	0.00	0.0
J L F ASSET MGMT	039	URBN	B	32,400	34.32	3-Apr	9-Apr	1,296.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SCOPUS FUND	041	USG	S	20,000	35.69	23-Apr	23-Apr	1,000.00	5.0
LEDDY	035	VC	B	2,800	4.39	n/a	21-Apr	0.00	0.0
ß BUCK CAPITAL MGMT		VIRL	S	2,096	5.82	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		VLO	B	10,000	53.40	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		VLO	B	15,000	52.42	n/a	n/a	non-brg	4.0
BUCK	015	VRV	S	10	2.50	n/a	n/a	0.00	0.0
BUCK	015	VSKML	B	10	3.50	n/a	n/a	0.00	0.0
MCCARTAN	028	WBC	B	200	46.18	28-Mar	28-Mar	0.00	0.0
MAGUIRE	039	WBC	B	200	46.00	28-Mar	28-Mar	0.00	0.0
EFSTATHIOU	044	WBC	B	200	45.88	28-Mar	28-Mar	0.00	0.0
NATIONAL BK OF CAN	031	WB	SS	40,000	29.56	n/a	n/a	1,600.00	4.0
LEDDY	035	WFMI	SS	300	32.82	n/a	n/a	0.00	0.0
MATRIX ASSET ADV.	036	WMT	S	5,500	57.83	n/a	28-Apr	220.00	4.0
SIGMA CAPITAL ASSOCIATES	237	WMT	S	20,000	58.46	n/a	28-Apr	1,000.00	5.0
ß BUCK CAPITAL MGMT		WRNC	S	53,000	46.41	3-Apr	28-Apr	non-brg	2.5
ß BUCK CAPITAL MGMT		WSM+RX	SS	1,000	0.65	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		WSM	SS	10,000	27.50	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAPITAL	038	WY	S	10,000	64.75	7-Apr	7-Apr	500.00	5.0
TOTAL (Trade Date):							149,511.71		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/23	REICH AND TANG	067	CSL	B	1,000	30.69	n/a	n/a	0.00 0.0
AO,	04/28	NOMURA CAPITAL	045	ZZ	B	10,000	6.19	n/a	n/a	400.00 4.0
TOTAL (AO, CXL, CRT) :										400.00

TOTAL (Trade Date):	149,511.71
TOTAL (AO, CXL, CRT):	400.00

TOTAL (RUN DATE) / NFS:	149,911.71
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MRI Securities with Trade Activity on 29-Apr.
GM, PCL, TIN

MRI Securities without Trade Activity on 29-Apr.
ACL, ADM, TYC

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 2%
YTD = 21%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION