



# Daily Compliance Activity Report

Trade Date: 05/16/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SUN AMERICA ASSET MGMT	030	AAPL	S	4,781	553.57	n/a	n/a	191.24	4.0
<b>f</b> <b>PATRICK O'GRADY</b>	<b>FID</b>	<b>ABCP</b>	<b>B</b>	<b>700</b>	<b>1.78</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NORTHWESTERN MUTUAL LIFE	047	ADP	S	74,700	53.35	n/a	n/a	2,988.00	4.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>AEO</b>	<b>B</b>	<b>30,000</b>	<b>19.20</b>	<b>n/a</b>	<b>2-Dec</b>	<b>non-brg</b>	<b>4.0</b>
PZENA INV ADV	044	AHL	B	1,175	28.00	n/a	n/a	41.13	3.5
<b>f</b> <b>MANNY I KORMAN</b>	<b>FID</b>	<b>AIG</b>	<b>S</b>	<b>5000</b>	<b>30.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>C</b>	<b>20,000</b>	<b>40.66</b>	<b>n/a</b>	<b>30-Apr</b>	<b>non-brg</b>	<b>4.0</b>
COBALT	041	APC	B	50,000	66.98	n/a	15-May	2,000.00	4.0
<b>f</b> <b>EDWARD W WHEELER</b>	<b>FID</b>	<b>AVY</b>	<b>S</b>	<b>14000</b>	<b>29.55</b>	<b>n/a</b>	<b>26-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> <b>ANTHONY SUTERA</b>	<b>FID</b>	<b>BALT</b>	<b>B</b>	<b>300</b>	<b>3.81</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUN AMERICA ASSET MGMT	030	BEAM	B	15,122	57.56	n/a	n/a	604.88	4.0
<b>f</b> <b>DAVID GEORGE BUCK</b>	<b>FID</b>	<b>BNPQY</b>	<b>S</b>	<b>3500</b>	<b>17.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HARVEST MGMT (JMP)	029	BWLD	B	5,000	88.65	n/a	n/a	200.00	4.0
<b>B</b> <b>BUCK CAPITAL MGMT</b>		<b>CCL</b>	<b>S</b>	<b>55,300</b>	<b>31.78</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RBC CAPITAL MARKETS	040	CLX	B	5,000	68.72	n/a	2-May	200.00	4.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>CMA</b>	<b>B</b>	<b>3000</b>	<b>30.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>CMI</b>	<b>S</b>	<b>3000</b>	<b>100.76</b>	<b>n/a</b>	<b>2-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>COH</b>	<b>B</b>	<b>10,000</b>	<b>67.94</b>	<b>n/a</b>	<b>9-May</b>	<b>non-brg</b>	<b>4.0</b>
NORTHWESTERN MUTUAL LIFE	047	CTL	S	102,950	38.57	n/a	n/a	4,118.00	4.0
AMBER CAPITAL	046	CVC	S	203,134	11.54	n/a	n/a	8,125.36	4.0
MATRIX ASSET ADV.	091	CVS	S	2,000	45.60	n/a	n/a	80.00	4.0
NEUBERGER BERMAN	036	CYBX	B	12,673	39.76	n/a	n/a	506.92	4.0
KINGDON ASSET MGMT	041	C	S	100,000	28.24	n/a	16-May	4,000.00	4.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>DD</b>	<b>S</b>	<b>3000</b>	<b>49.88</b>	<b>n/a</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
RBC CAPITAL MARKETS	040	DNKN	B	5,000	32.54	n/a	n/a	200.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DRI</b>	<b>C</b>	<b>19,000</b>	<b>54.23</b>	<b>n/a</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DRI</b>	<b>C</b>	<b>19,000</b>	<b>54.23</b>	<b>n/a</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DRI</b>	<b>SS</b>	<b>19,000</b>	<b>54.58</b>	<b>n/a</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DRI</b>	<b>SS</b>	<b>19,000</b>	<b>54.58</b>	<b>n/a</b>	<b>13-Apr</b>	<b>non-brg</b>	<b>4.0</b>
RBC CAPITAL MARKETS	040	DRI	SS	18,000	53.55	n/a	13-Apr	720.00	4.0
MILLENNIUM-ANDEW	031	ETN	B	30,000	43.99	n/a	3-May	1,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>FXI</b>	<b>S</b>	<b>1000</b>	<b>34.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
IRIDIAN ASSET MGMT.	036	GD	S	4,390	65.35	n/a	26-Apr	219.50	5.0
CAMBIAR CAP	046	GES	S	230,294	25.94	n/a	9-May	9,211.76	4.0
SIMMONS VENTURES	041	GES	B	5,000	25.82	n/a	9-May	200.00	4.0
BASSWOOD PARTNERS	267	GE	C	72,600	19.10	n/a	n/a	2,904.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>100,000</b>	<b>25.86</b>	<b>n/a</b>	<b>4-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>200,000</b>	<b>25.71</b>	<b>n/a</b>	<b>4-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>GLW</b>	<b>S</b>	<b>1000</b>	<b>12.93</b>	<b>n/a</b>	<b>1-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GMCR</b>	<b>B</b>	<b>50,000</b>	<b>25.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GMCR</b>	<b>B</b>	<b>50,000</b>	<b>25.01</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>GM</b>	<b>B</b>	<b>1000</b>	<b>21.86</b>	<b>n/a</b>	<b>4-May</b>	<b>0.00</b>	<b>0.0</b>
SCOPUS FUND	041	GM	B	2,000	22.42	n/a	4-May	80.00	4.0
WELLSPRING CAPITAL	093	HBI	SS	50,000	26.27	n/a	20-Apr	2,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>HLF</b>	<b>B</b>	<b>100,000</b>	<b>51.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HLF</b>	<b>B</b>	<b>100,000</b>	<b>51.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HLF</b>	<b>B</b>	<b>50,000</b>	<b>44.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HLF</b>	<b>B</b>	<b>50,000</b>	<b>44.64</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BNP PARIBAS SEC	040	IP	B	20,000	31.01	n/a	27-Apr	1,000.00	5.0
FINDLAY PARK PART	085	JCI	B	228,000	31.48	n/a	27-Apr	10,766.26	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ADAGE CAPITAL MGMT	030	JCP	S	100,000	28.26	n/a	16-May	3,000.00	3.0
PALESTRA	040	JCP	SS	11,000	27.76	n/a	16-May	330.00	3.0
ROARING BLUE LION MGMT	031	JCP	B	17,050	28.33	n/a	16-May	682.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>JPM</b>	<b>S</b>	<b>500</b>	<b>35.54</b>	<b>n/a</b>	<b>16-May</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>KEM</b>	<b>B</b>	<b>5000</b>	<b>5.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	KMB	S	1,750	79.97	n/a	23-Apr	210.00	12.0
<b>f CLIFFORD A LONGLEY</b>	<b>FID</b>	<b>KONG</b>	<b>B</b>	<b>32</b>	<b>5.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUN AMERICA ASSET MGMT	030	KORS	B	25,195	40.58	n/a	n/a	1,007.80	4.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>MSFT</b>	<b>S</b>	<b>5000</b>	<b>29.81</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>C</b>	<b>1,605,245</b>	<b>107.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>C</b>	<b>1,734,000</b>	<b>107.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.3</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>C</b>	<b>50,000</b>	<b>108.13</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>8.7</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>C</b>	<b>70,000</b>	<b>108.08</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>8.6</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>SS</b>	<b>1,592,762</b>	<b>107.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>SS</b>	<b>1,722,000</b>	<b>107.41</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSRASH</b>	<b>C</b>	<b>248,323</b>	<b>113.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>9.1</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>MS</b>	<b>S</b>	<b>3000</b>	<b>14.25</b>	<b>n/a</b>	<b>7-May</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>M</b>	<b>B</b>	<b>2000</b>	<b>36.98</b>	<b>n/a</b>	<b>10-May</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>NUS</b>	<b>C</b>	<b>130,000</b>	<b>44.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		NUS	C	130,000	44.80	n/a	n/a	non-brg	2.5
	COLUMBUS CIRCLE	036	NVLS	S	8,700	43.90	n/a	n/a	348.00	4.0
	CSFB GLOBAL PROPRIETARY	041	PCH	B	15,000	30.28	n/a	24-Apr	750.00	5.0
	COLUMBUS CIRCLE	036	PLXS	S	581	29.58	n/a	n/a	23.24	4.0
B	BUCK CAPITAL MGMT		PVH	S	20,000	79.02	n/a	9-May	non-brg	4.0
B	BUCK CAPITAL MGMT		RCL	S	138,000	25.12	n/a	n/a	non-brg	4.0
	SANDLER CAPITAL	028	ROK	B	70,000	76.78	n/a	n/a	3,500.00	5.0
	STATE TEACHERS RET SYS	047	RPAI	B	20,000	9.05	n/a	n/a	1,000.00	5.0
B	BUCK CAPITAL MGMT		RUSHA	B	10,000	16.02	n/a	n/a	non-brg	4.0
f	DAVID GEORGE BUCK	FID	SBUX	B	1000	53.55	n/a	27-Apr	0.00	0.0
f	MATTHEW FRANCIS BUCK	FID	SBUX	B	250	53.42	n/a	27-Apr	0.00	0.0
f	KAREN F LEDDY	FID	SIMO	S	1600	14.44	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	SLB	S	200	64.81	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	SPY	S	200	133.02	n/a	n/a	0.00	0.0
f	WILLIAM WEINBERG	FID	SQBG	B	9800	0.33	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		SSI	B	100,000	16.29	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>TBT</b>	<b>S</b>	<b>1000</b>	<b>16.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NEUBERGER BERMAN	036	TEA	S	45,219	18.08	n/a	n/a	1,808.76	4.0
<b>f</b> MANNY I KORMAN	<b>FID</b>	<b>TEVA</b>	<b>S</b>	<b>10000</b>	<b>39.98</b>	<b>n/a</b>	<b>16-May</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>TIF</b>	<b>C</b>	<b>60,000</b>	<b>62.96</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>TIF</b>	<b>C</b>	<b>60,000</b>	<b>62.99</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> JONATHAN RICHARD HAR	<b>FID</b>	<b>TIF</b>	<b>S</b>	<b>50</b>	<b>62.99</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>TJX</b>	<b>S</b>	<b>35,000</b>	<b>42.16</b>	<b>n/a</b>	<b>16-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>TJX</b>	<b>S</b>	<b>60,000</b>	<b>42.19</b>	<b>n/a</b>	<b>16-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>TJX</b>	<b>S</b>	<b>80,000</b>	<b>42.33</b>	<b>n/a</b>	<b>16-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> FRANCIS PATRICK GALL	<b>FID</b>	<b>TLB</b>	<b>B</b>	<b>3000</b>	<b>2.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	TLM	B	25,000	9.85	n/a	n/a	1,000.00	4.0
COLUMBUS CIRCLE	036	TNGO	B	254	20.46	n/a	n/a	10.16	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>TPX</b>	<b>S</b>	<b>10,000</b>	<b>49.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
BALYASNY ASSET MGMT	044	URBN	S	20,000	26.90	n/a	n/a	1,000.00	5.0
<b>f</b> DAVID GEORGE BUCK	<b>FID</b>	<b>VFC</b>	<b>S</b>	<b>700</b>	<b>137.77</b>	<b>n/a</b>	<b>27-Apr</b>	<b>0.00</b>	<b>0.0</b>
SUN AMERICA ASSET MGMT	030	V	B	7,874	118.04	n/a	2-May	314.96	4.0
IMPALA ASSET MMT	040	WHR	S	10,000	61.61	n/a	n/a	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		WSM	SS	30,000	37.10	n/a	n/a	non-brg	4.0
HALCYON LLC	041	WSM	SS	5,000	36.62	n/a	n/a	150.00	3.0
IMPALA ASSET MMT	040	WYNN	S	30,000	105.17	n/a	13-Jun	1,500.00	5.0
IMPALA ASSET MMT	040	WYN	S	20,000	49.98	n/a	n/a	1,000.00	5.0
CSFB GLOBAL PROPRIETARY	041	WY	B	25,000	19.42	n/a	4-May	1,250.00	5.0
B BUCK CAPITAL MGMT		XRT	SS	472,000	59.15	n/a	n/a	non-brg	1.0
TOTAL (Trade Date):								71,241.97	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/15	WEINTRAUB CAPITAL MGT.	269	PHM	B	150,000	9.84	n/a	n/a	4500.00 3.0
AO,CXL	05/15	WEINTRAUB CAPITAL MGT.	269	PHM	B	150,000	9.84	n/a	n/a	6000.00 4.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-1,500.00</b>
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TOTAL (Trade Date) :	71,241.97
TOTAL (AO, CXL, CRT) :	-1,500.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>69,741.97</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CXL	05/15	BUCK CAPITAL MGMT.	BBBY	B	50,000	71.59	n/a	n/a	2000.00
CRT	05/15	BUCK CAPITAL MGMT	BBBY	B	50,000	71.76	n/a	n/a	2000.00 4.0
CXL	05/15	BUCK CAPITAL MGMT.	BYD	B	100,000	7.05	n/a	n/a	3000.00
CRT	05/15	BUCK CAPITAL MGMT	BYD	B	100,000	7.05	n/a	n/a	3000.00 3.0

MRI Securities with Trade Activity on 16-May.  
NONE

MRI Securities without Trade Activity on 16-May.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)