



Daily Compliance Activity Report

Trade Date: 03/09/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		ABI BB	SS	25,000	24.62	n/a	n/a	non-brg	1.2
HOOVER INV. MGMT	033	ACM	B	118,600	21.75	n/a	n/a	4,744.00	4.0
WYPER CAPITAL	044	AEM	S	85,716	47.29	n/a	n/a	3,428.64	4.0
f DAVID GEORGE BUCK	FID	AET	B	1000	20.64	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	AG	B	63	15.32	15-Dec	10-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	ANF	SS	50,000	17.30	n/a	6-Feb	2,000.00	4.0
CASTLE ARK	031	APC	B	147,280	33.95	3-Feb	11-Feb	8,836.80	6.0
WASHINGTON ASSET	048	APC	B	5,000	34.17	3-Feb	11-Feb	250.00	5.0
B BUCK CAPITAL MGMT		ARO	S	20,000	22.88	n/a	6-Mar	non-brg	4.0
MAIRS AND POWER INV	030	BAX	S	20,000	51.63	n/a	22-Apr	1,000.00	5.0
LISTED ERR	099	BAX	B	20,000	52.01	n/a	22-Apr	0.00	0.0
LISTED ERR	099	BAX	S	20,000	50.66	n/a	22-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	BBY	SS	20,000	24.78	n/a	27-Jul	800.00	4.0
f FRANCIS MICHAEL MCCA	FID	BBY	B	100	25.12	n/a	27-Jul	0.00	0.0
WASHINGTON ASSET	048	BRCM	S	10,000	16.31	n/a	n/a	500.00	5.0
SEMINOLE CAP	036	BWA	SS	20,000	15.18	27-Jan	13-Feb	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	BWA	SS	20,000	15.16	27-Jan	13-Feb	1,000.00	5.0
JET CAPITAL/CHRIS	041	CAT	SS	10,000	24.09	n/a	27-Jan	300.00	3.0
B BUCK CAPITAL MGMT		CECO	SS	20,000	21.05	n/a	n/a	non-brg	4.0
MILLRACE FUND LP	028	CHD	B	7,100	46.61	n/a	23-Feb	284.00	4.0
B BUCK CAPITAL MGMT		COCO	SS	20,000	16.78	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		COH+EC[???	SS	2,000	0.56	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		COH	B	150,000	11.87	9-Jan	22-Jan	non-brg	4.0
NEWCASTLE FUNDS	033	CONN	B	10,000	11.01	n/a	n/a	400.00	4.0
AMBER CAPITAL	046	CX	B	233,400	4.06	n/a	n/a	9,336.00	4.0
IVORY CAPITAL	034	C	S	1,250,000	1.04	n/a	27-Feb	6,250.00	0.5
f MICHAEL THOMAS NOLAN	FID	DGP	B	25	19.55	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	DLTR	S	13,300	39.93	10-Feb	6-Mar	532.00	4.0
f DAVID GEORGE BUCK	FID	DVN	S	1000	39.66	5-Feb	5-Feb	0.00	0.0
f MICHAEL THOMAS NOLAN	FID	DXO	S	160	2.46	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	FDO	SS	600	31.16	5-Mar	6-Mar	30.00	5.0
SYSTEMATIC FINANCIAL MGT	035	FDP	B	100,000	13.05	n/a	n/a	3,500.00	3.5

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EATON VANCE MGMT	039	FDX	S	298,300	34.70	n/a	n/a	8,949.00	3.0
PLATTE RIVER CAPITAL	240	FOR	B	7,500	6.19	12-Feb	12-Feb	225.00	3.0
BUCK CAPITAL MGMT	001	GCO	S	20,000	14.32	n/a	10-Jan	800.00	4.0
WYPER CAPITAL	044	GG	S	169,315	27.89	n/a	n/a	6,772.60	4.0
8 BUCK CAPITAL MGMT		HD+QC[???	SS	1,000	0.65	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	JCP	B	60,000	14.75	6-Feb	6-Mar	2,400.00	4.0
COUGAR TRADING	044	JCP	B	5,000	14.15	6-Feb	6-Mar	250.00	5.0
f DAVID GEORGE BUCK	FID	JPM	B	1000	16.71	16-Jan	27-Feb	0.00	0.0
EIDELMAN,FINGER & CO	045	JSM	B	41,000	7.52	n/a	n/a	2,050.00	5.0
TRELLUS PARTNERS LP	028	JWN	SS	1,100	12.66	20-Feb	6-Mar	55.00	5.0
RBC CAPITAL MARKETS	034	KSS	S	25,000	33.99	n/a	6-Mar	1,000.00	4.0
SIGMA CAPITAL ASSOCIATES	237	MAT	B	50,000	10.84	n/a	n/a	2,500.00	5.0
TPG-AXON	040	MRK	C	440,000	22.18	n/a	n/a	22,000.00	5.0
COUGAR TRADING	044	M	SS	20,000	6.75	n/a	6-Mar	800.00	4.0
COUGAR TRADING	044	M	S	25,000	6.87	n/a	6-Mar	1,000.00	4.0
FORD FDN.	032	NSC	S	20,000	27.08	n/a	n/a	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA PARTNERS	028	PCAR	SS	28,710	21.06	20-Nov	2-Feb	1,148.40	4.0
CHILTON CAPITAL MGMT.	036	PCX	S	150,000	3.03	n/a	n/a	4,500.00	3.0
B BUCK CAPITAL MGMT		PLCE	B	20,000	19.95	6-Jan	6-Mar	non-brg	4.0
f JASON ERIC COHEN	FID	RIG	B	19	51.75	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	SS	20,000	31.94	n/a	5-Feb	800.00	4.0
BUCK CAPITAL MGMT	001	RNT	B	20,000	22.97	n/a	n/a	800.00	4.0
f JOEL GIFFORD TISS	FID	ROH	S	200	68.93	n/a	11-Sep	0.00	0.0
B BUCK CAPITAL MGMT		SAB LN	SS	25,000	12.67	n/a	n/a	non-brg	0.6
f MANNY I KORMAN	FID	SKDOR	S	50	12.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	50,000	5.30	n/a	13-Mar	1,500.00	3.0
T. ROWE PRICE	033	SLB	B	88,000	36.66	n/a	n/a	3,520.00	4.0
BUCK CAPITAL MGMT	001	SLG	S	10,000	9.31	n/a	n/a	300.00	3.0
f DAVID GEORGE BUCK	FID	SPG	S	1000	28.45	n/a	n/a	0.00	0.0
f MARC PAUL LUCHANSKY	FID	SSO	B	4000	15.17	n/a	n/a	0.00	0.0
f THE BUCKINGH AM RESE	FID	TM	B	300	57.78	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ß BUCK CAPITAL MGMT		TPX	S	235,900	4.13	n/a	n/a	non-brg	3.0
ß BUCK CAPITAL MGMT		URBN	B	120,000	14.49	n/a	30-Jan	non-brg	4.0
ß BUCK CAPITAL MGMT		URQ+FW[???	SS	1,000	1.04	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	VNO	S	9,000	29.03	n/a	n/a	360.00	4.0
f DAVID GEORGE BUCK	FID	VNO	B	800	29.27	n/a	n/a	0.00	0.0
CHILTON CAPITAL MGMT.	036	WFC	C	200,000	9.78	n/a	n/a	6,000.00	3.0
ß BUCK CAPITAL MGMT		WMS	C	25,000	17.18	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WMS	C	37,500	17.40	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		WMS	C	37,500	17.49	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	WMT	S	20,000	47.37	10-Oct	6-Mar	800.00	4.0
RBC CAPITAL MARKETS	034	WRC	B	10,000	16.65	27-Feb	27-Feb	400.00	4.0
ß BUCK CAPITAL MGMT		XRT	SS	200,000	18.47	n/a	n/a	non-brg	1.0
TOTAL (Trade Date):							114,121.44		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	03/06	JET CAPITAL/CHRIS	041	CAT	SS	30,000	23.64	n/a	27-Jan	900.00	3.0
AO,CRT	03/03	ROARING BLUE LION MGMT	012	CKR	B	10,000	6.11	n/a	n/a	300.00	3.0
AO,CXL	03/03	ROARING BLUE LION MGMT	012	CKR	B	10,000	6.11	n/a	n/a	400.00	4.0
AO,CRT	03/06	SCHOTTENFELD ASSOC	041	MCD	B	25,000	51.50	13-Oct	9-Mar	750.00	3.0
AO,CXL	03/06	SCHOTTENFELD ASSOC	041	MCD	B	25,000	51.50	13-Oct	9-Mar	1000.00	4.0
AO,	03/06	NOMURA CAPITAL	045	MIR	S	1,600	9.95	n/a	n/a	64.00	4.0
AO,CRT	03/05	AVESTA CAP	229	ROST	B	50,000	31.34	n/a	n/a	2500.00	5.0
AO,CXL	03/05	AVESTA CAP	229	ROST	B	50,000	31.34	n/a	n/a	2500.00	5.0

TOTAL (AO, CXL, CRT) :**614.00**

TOTAL (Trade Date):

114,121.44

TOTAL (AO, CXL, CRT):

614.00

TOTAL (RUN DATE) / NFS:**114,735.44****MRI Securities with Trade Activity on 9-Mar.
NONE**

MRI Securities without Trade Activity on 9-Mar.

CHTT, EV

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 24%

YTD = 25%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)