



## Daily Compliance Activity Report

Trade Date: 02/08/2007

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COLUMBUS CIRCLE	036	ALB	B	1,760	82.99	n/a	n/a	70.40	4.0
COLUMBUS CIRCLE	036	AMT	B	4,030	40.37	n/a	n/a	161.20	4.0
TRIBECA/DOUG	040	AMZN	SS	5,000	39.45	n/a	n/a	200.00	4.0
COUGAR TRADING	044	ANF	B	5,000	83.74	2-Feb	5-Feb	250.00	5.0
COUGAR TRADING	044	ANF	SS	15,000	79.45	2-Feb	5-Feb	750.00	5.0
<b>BUCK</b>	<b>015</b>	<b>ANF</b>	<b>C</b>	<b>400</b>	<b>82.81</b>	<b>2-Feb</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ANN</b>	<b>B</b>	<b>20,000</b>	<b>34.96</b>	<b>5-Jan</b>	<b>5-Feb</b>	<b>800.00</b>	<b>4.0</b>
COUGAR TRADING	044	ANN	B	10,000	34.96	5-Jan	5-Feb	500.00	5.0
TRELLUS PARTNERS LP	028	AOS	B	79,800	38.88	21-Dec	8-Feb	3,990.00	5.0
HERMES	048	APOL	S	5,000	47.54	n/a	n/a	250.00	5.0
<b>BARR</b>	<b>096</b>	<b>ARBX</b>	<b>B</b>	<b>2,000</b>	<b>6.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	ARO	B	20,000	38.53	23-Oct	5-Feb	1,000.00	5.0
COLUMBUS CIRCLE	036	AVZ	B	4,550	24.44	29-Dec	8-Feb	182.00	4.0
SAC TRADING HOWARD	038	BBW	S	10,000	28.72	8-Jan	8-Jan	500.00	5.0
<b>BINDER</b>	<b>007</b>	<b>BDK</b>	<b>SS</b>	<b>100</b>	<b>87.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BULL PATH CAPITAL	044	BEBE	B	50,000	18.18	n/a	n/a	2,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	BG	B	800	78.98	n/a	n/a	32.00	4.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>BOW</b>	<b>SS</b>	<b>3,500</b>	<b>27.63</b>	<b>27-Oct</b>	<b>7-Feb</b>	<b>175.00</b>	<b>5.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>CALL</b>	<b>B</b>	<b>1,100</b>	<b>3.14</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	CAM	B	2,050	55.05	n/a	n/a	82.00	4.0
COLUMBUS CIRCLE	036	CBG	B	3,310	38.51	n/a	n/a	132.40	4.0
COLUMBUS CIRCLE	036	CBI	B	2,280	30.08	n/a	n/a	91.20	4.0
COLUMBUS CIRCLE	036	CCI	B	3,840	35.14	n/a	n/a	153.60	4.0
<b>LUCHANSKY</b>	<b>036</b>	<b>CC</b>	<b>S</b>	<b>400</b>	<b>21.67</b>	<b>20-Dec</b>	<b>10-Jan</b>	<b>0.00</b>	<b>0.0</b>
PALMYRA CAPITAL	048	CENT	B	32,851	12.63	8-Feb	8-Feb	1,642.55	5.0
COUGAR TRADING	044	CHS	B	15,000	21.66	5-Jan	5-Feb	750.00	5.0
COLUMBUS CIRCLE	036	COH	B	1,720	47.55	24-Jan	24-Jan	68.80	4.0
SAC TRADING HOWARD	038	COST	S	25,000	56.58	n/a	6-Feb	1,250.00	5.0
UBS O'CONNOR/TOM LEDDY	035	COST	S	50,000	56.12	n/a	6-Feb	2,000.00	4.0
CAXTON PART	040	CPKI	S	50,000	35.43	n/a	n/a	2,000.00	4.0
TRIBECA/DOUG	040	CPO	B	5,000	33.40	n/a	n/a	200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>S</b>	<b>140,000</b>	<b>24.80</b>	<b>n/a</b>	<b>n/a</b>	<b>5,600.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAILFISH	037	CSX	SS	10,000	37.09	n/a	n/a	500.00	5.0
COLUMBUS CIRCLE	036	CXW	B	2,500	53.74	n/a	n/a	100.00	4.0
FIRST NEW YORK LEDDY	035	DDS	S	10,000	35.52	n/a	n/a	600.00	6.0
DELTEC ASSET MGMT.	040	DHI	B	4,500	28.88	n/a	n/a	225.00	5.0
COLUMBUS CIRCLE	036	DST	B	860	71.96	n/a	n/a	34.40	4.0
THIRD COAST CAPITAL	035	EAT	B	30,000	33.50	n/a	23-Jan	1,500.00	5.0
CAXTON PART	040	EBAY	B	25,000	33.28	n/a	n/a	1,000.00	4.0
LEEDS	002	EDGR	B	25,000	3.21	n/a	n/a	0.00	0.0
CROWLEY	061	EEEE	S	2,000	7.81	n/a	n/a	0.00	0.0
CROWLEY	061	EFT	B	700	18.98	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	ENR	B	730	86.69	n/a	n/a	29.20	4.0
RAINIER INV MGMT	035	ESL	B	46,100	39.18	n/a	n/a	2,305.00	5.0
PRINCIPLE CAPITAL	040	EYE	B	134,100	38.53	n/a	n/a	4,023.00	3.0
CROWLEY	061	FCNTX	S	190	66.49	n/a	n/a	0.00	0.0
GAULT	063	FDBV	S	30	1.00	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBUS CIRCLE	036	FDS	B	2,680	60.12	n/a	n/a	107.20	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>FD</b>	<b>B</b>	<b>20,000</b>	<b>43.07</b>	<b>26-Jan</b>	<b>5-Feb</b>	<b>800.00</b>	<b>4.0</b>
SSI INVESTORS	048	FD	S	23,459	42.95	26-Jan	5-Feb	938.36	4.0
<b>CROWLEY</b>	<b>061</b>	<b>FIGRX</b>	<b>S</b>	<b>259</b>	<b>38.64</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
GOLDEN TREE	036	FNM	B	17,800	56.21	n/a	n/a	312.31	4.0
<b>CROWLEY</b>	<b>061</b>	<b>FSIIX</b>	<b>S</b>	<b>224</b>	<b>45.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DELTEC ASSET MGMT.	040	GLW	B	14,000	22.53	n/a	8-Feb	700.00	5.0
GRISANTII BROWN & PARTNER	048	GLW	B	34,500	22.47	n/a	8-Feb	1,725.00	5.0
COLUMBUS CIRCLE	036	GME	B	1,160	53.32	n/a	n/a	46.40	4.0
BELMONT GLOBAL ADV	248	GRS	B	20,000	16.03	n/a	n/a	1,000.00	5.0
<b>DEMARTINI</b>	<b>065</b>	<b>GSAT</b>	<b>S</b>	<b>2,000</b>	<b>10.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>EGS ASSOCIATES LP</b>	<b>091</b>	<b>GYMB</b>	<b>B</b>	<b>11,500</b>	<b>37.50</b>	<b>4-Jan</b>	<b>5-Feb</b>	<b>690.00</b>	<b>6.0</b>
<b>EFSTATHIOU</b>	<b>044</b>	<b>GYMB</b>	<b>C</b>	<b>200</b>	<b>38.47</b>	<b>4-Jan</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>JACOB</b>	<b>068</b>	<b>GYMB</b>	<b>C</b>	<b>250</b>	<b>38.01</b>	<b>4-Jan</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	HAS	B	4,310	29.12	n/a	n/a	172.40	4.0
<b>DEMARTINI</b>	<b>065</b>	<b>HC</b>	<b>S</b>	<b>1,000</b>	<b>22.89</b>	<b>n/a</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
STADIA CAPITAL	035	HD	SS	40,000	41.08	n/a	16-Jan	1,600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	HHS	B	66,800	26.95	n/a	n/a	3,340.00	5.0
<b>BUCK</b>	<b>015</b>	<b>HIW</b>	<b>S</b>	<b>900</b>	<b>45.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	HLT	B	1,650	37.30	n/a	n/a	66.00	4.0
COLUMBUS CIRCLE	036	HLT	S	75,224	37.24	n/a	n/a	3,008.96	4.0
<b>CROWLEY</b>	<b>061</b>	<b>ICHCX</b>	<b>S</b>	<b>620</b>	<b>17.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CHARTER OAK	037	IDIX	B	14,300	8.90	n/a	n/a	715.00	5.0
COLUMBUS CIRCLE	036	IFF	B	1,240	48.25	30-Jan	5-Feb	49.60	4.0
<b>BINDER</b>	<b>007</b>	<b>INTC</b>	<b>B</b>	<b>260</b>	<b>21.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	IPG	B	4,510	13.13	n/a	n/a	180.40	4.0
<b>LUCHANSKY</b>	<b>036</b>	<b>ISE</b>	<b>S</b>	<b>200</b>	<b>47.00</b>	<b>26-Oct</b>	<b>6-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>GAULT</b>	<b>063</b>	<b>ISE</b>	<b>S</b>	<b>500</b>	<b>47.45</b>	<b>26-Oct</b>	<b>6-Feb</b>	<b>0.00</b>	<b>0.0</b>
<b>CROWLEY</b>	<b>061</b>	<b>JAOSX</b>	<b>S</b>	<b>337</b>	<b>47.52</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	JCG	B	2,160	36.47	25-Jan	25-Jan	86.40	4.0
<b>EFSTATHIOU</b>	<b>044</b>	<b>JMBA</b>	<b>S</b>	<b>400</b>	<b>10.10</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	JWN	B	1,400	56.69	8-Feb	8-Feb	56.00	4.0
CUMBERLAND	038	JWN	S	20,000	56.71	8-Feb	8-Feb	800.00	4.0
<b>BINDER</b>	<b>007</b>	<b>KEGS</b>	<b>S</b>	<b>140</b>	<b>16.55</b>	<b>n/a</b>	<b>7-Feb</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRUST CO/COWEN/CALF.	040	KFT	B	569,620	33.01	n/a	n/a	28,481.00	5.0
NEUBERGER BERMAN	238	KVA	S	30,300	25.64	n/a	n/a	1,515.00	5.0
COLUMBUS CIRCLE	036	LAZ	B	2,480	55.29	n/a	n/a	99.20	4.0
SAC DOUG RODGERS	040	LEH	B	50,000	84.46	6-Dec	16-Jan	2,500.00	5.0
T. ROWE PRICE	033	LEH	S	223,800	84.47	6-Dec	16-Jan	8,952.00	4.0
<b>BARR</b>	<b>096</b>	<b>LEND</b>	<b>SS</b>	<b>500</b>	<b>26.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>LESPP</b>	<b>B</b>	<b>5</b>	<b>1.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>SCHEINER</b>	<b>037</b>	<b>LIZ</b>	<b>C</b>	<b>1,500</b>	<b>44.71</b>	<b>n/a</b>	<b>2-Feb</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	LTD	B	40,000	29.13	5-Jan	5-Feb	1,600.00	4.0
HERMES	048	LUV	S	20,000	15.18	n/a	n/a	1,000.00	5.0
COLUMBUS CIRCLE	036	MAN	B	800	75.48	n/a	n/a	32.00	4.0
TRIBECA/DOUG	040	MAR	SS	5,000	50.84	n/a	n/a	200.00	4.0
HAYGROUND COE	039	MCD	SS	54,700	44.25	12-Oct	6-Feb	2,735.00	5.0
COLUMBUS CIRCLE	036	MDR	B	2,300	51.40	n/a	n/a	92.00	4.0
PZENA INV ADV	044	MET	B	4,150	63.79	n/a	n/a	166.00	4.0
COLUMBUS CIRCLE	036	MTD	B	760	84.77	n/a	n/a	30.40	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	035	MWV	S	40,000	31.06	8-Jan	1-Feb	2,000.00	5.0
T. ROWE PRICE	033	NKE	B	49,500	103.10	n/a	n/a	1,980.00	4.0
COLUMBUS CIRCLE	036	NSR	B	2,010	30.79	n/a	n/a	80.40	4.0
SAILFISH	037	ODP	B	15,000	37.31	n/a	23-Jan	750.00	5.0
COLUMBUS CIRCLE	036	OI	B	4,000	23.38	n/a	n/a	160.00	4.0
COLUMBUS CIRCLE	036	PCP	B	1,480	91.99	n/a	n/a	59.20	4.0
CAXTON PART	040	PSPT	B	15,000	24.29	n/a	n/a	600.00	4.0
COUGAR TRADING	044	PSUN	S	10,000	19.30	n/a	7-Feb	500.00	5.0
WEINTRAUB CAPITAL MGT.	040	PSUN	S	66,800	19.17	n/a	7-Feb	3,340.00	5.0
COLUMBUS CIRCLE	036	PVH	B	1,950	55.22	18-Jan	18-Jan	78.00	4.0
ACT II PARTNERS	067	Q	SS	162,800	8.19	n/a	n/a	6,512.00	4.0
<b>BINDER</b>	<b>007</b>	<b>RDEN</b>	<b>S</b>	<b>100</b>	<b>21.59</b>	<b>5-Feb</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
CAXTON PART	040	RGC	B	20,000	22.53	n/a	n/a	800.00	4.0
PZENA INV ADV	044	RNR	B	7,575	53.50	n/a	n/a	303.00	4.0
COLUMBUS CIRCLE	036	ROP	B	2,600	52.73	n/a	n/a	104.00	4.0
<b>BINDER</b>	<b>007</b>	<b>RSH</b>	<b>B</b>	<b>400</b>	<b>22.51</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
NEUBERGER BERMAN	238	RSTI	B	10,774	63.02	n/a	n/a	0.00	0.0
<b>LEEDS</b>	<b>002</b>	<b>SHOO</b>	<b>B</b>	<b>5,000</b>	<b>31.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
THIRD COAST CAPITAL	035	SKS	B	30,000	20.35	n/a	n/a	1,500.00	5.0
MANNING & NAPIER	034	SMF	S	2,000	19.28	n/a	n/a	80.00	4.0
MANNING & NAPIER	034	SMG	S	117,400	54.67	24-Jan	24-Jan	4,696.00	4.0
<b>BARR</b>	<b>096</b>	<b>SNCR</b>	<b>S</b>	<b>800</b>	<b>15.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	SPW	B	880	70.35	n/a	n/a	35.20	4.0
<b>LEEDS</b>	<b>002</b>	<b>SSI</b>	<b>B</b>	<b>8,000</b>	<b>22.51</b>	<b>9-Jan</b>	<b>5-Feb</b>	<b>0.00</b>	<b>0.0</b>
COLUMBUS CIRCLE	036	STJ	B	1,870	42.70	n/a	n/a	74.80	4.0
COLUMBUS CIRCLE	036	SWY	B	2,280	36.01	n/a	n/a	91.20	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TBL</b>	<b>S</b>	<b>25,000</b>	<b>29.26</b>	<b>15-Nov</b>	<b>12-Jan</b>	<b>1,000.00</b>	<b>4.0</b>
MANNING & NAPIER	034	TEN	B	25,470	24.72	n/a	n/a	1,018.80	4.0
COLUMBUS CIRCLE	036	TMO	B	2,640	49.32	n/a	n/a	105.60	4.0
CASTLEROCK	040	TOL	B	46,500	33.43	n/a	n/a	1,860.00	4.0
<b>LUCHANSKY</b>	<b>036</b>	<b>TWTC</b>	<b>B</b>	<b>300</b>	<b>23.74</b>	<b>8-Feb</b>	<b>8-Feb</b>	<b>0.00</b>	<b>0.0</b>



Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CRAMER ROSENTHAL/SCOTT	012	TYC	S	34,000	31.80	n/a	6-Feb	1,700.00	5.0
SAILFISH	037	UNP	SS	10,000	100.61	n/a	n/a	500.00	5.0
JP MORGAN VENTURES	046	WRNC	B	15,000	27.56	18-Jan	18-Jan	750.00	5.0
JP MORGAN VENTURES	046	WSM	SS	15,000	35.06	n/a	n/a	750.00	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>XOHO</b>	<b>B</b>	<b>600</b>	<b>4.34</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ZQK</b>	<b>S</b>	<b>130,000</b>	<b>14.50</b>	<b>8-Sep</b>	<b>11-Jan</b>	<b>5,200.00</b>	<b>4.0</b>
<b>TOTAL (Trade Date):</b>							<b>136,072.58</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	02/07	SIGMA CAPITAL ASSOCIATES (SIGMMAINMS)	044	A	SS	25,000	32.40	n/a	n/a	1250.00 5.0
AO,CRT	02/07	SIGMA CAPITAL ASSOCIATES (SIGMGS-USE)	044	A	SS	25,000	32.40	n/a	n/a	1250.00 5.0
AO,CXL	02/07	PRINCIPLE CAPITAL (PRINUBSPB)	040	AVP	B	40,000	37.24	7-Feb	7-Feb	2000.00 5.0
AO,CRT	02/07	PRINCIPLE CAPITAL (PRINUBSPB)	040	AVP	B	40,000	37.24	7-Feb	7-Feb	1200.00 3.0
AO,	02/07	PIEDRA CAPITAL (PIEDLACERS)	031	CHS	B	2,490	21.67	5-Jan	5-Feb	124.50 5.0
AO,	02/07	COLONIAL FUND LLC (COLOUBS)	036	DPZ	B	25,000	31.52	n/a	17-Jan	1250.00 5.0
AO,CXL	02/07	THE JAY GOLDMAN (GOLDGSBULK)	034	NWY	B	15,000	14.77	1-Dec	5-Feb	600.00 4.0
AO,	02/07	THE JAY GOLDMAN (GOLDWOODMA)	034	NWY	B	7,500	14.77	1-Dec	5-Feb	0.00 0.0
AO,	02/07	THE JAY GOLDMAN (GOLDMASTER)	034	NWY	B	7,500	14.77	1-Dec	5-Feb	0.00 0.0
AO,	02/07	MANNING & NAPIER (MANNMJ2935)	034	SMG	S	580	54.70	24-Jan	24-Jan	23.20 4.0
AO,	02/07	MANNING & NAPIER (MANNMJ1555)	034	SMG	S	240	54.70	24-Jan	24-Jan	9.60 4.0
AO,	02/07	MANNING & NAPIER (MANNGR5820)	034	SMG	S	1,100	54.70	24-Jan	24-Jan	44.00 4.0
AO,	02/07	MANNING & NAPIER (MANNCD8157)	034	SMG	S	1,420	54.70	24-Jan	24-Jan	56.80 4.0
AO,	02/07	MANNING & NAPIER (MANNOMNIBU)	034	SMG	S	137,460	54.70	24-Jan	24-Jan	5498.40 4.0
AO,	02/07	MANNING & NAPIER (MANNOMNIBU)	034	SMG	S	1,500	54.70	24-Jan	24-Jan	60.00 4.0
AO,CXL	02/07	SIGMA CAPITAL ASSOCIATES (SIGMMAINMS)	044	YUM	B	45,000	60.96	12-Dec	17-Jan	2250.00 5.0
AO,CRT	02/07	SIGMA CAPITAL ASSOCIATES (SIGMGS-USE)	044	YUM	B	45,000	60.96	12-Dec	17-Jan	2250.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>5,666.50</b>

TOTAL (Trade Date):	136,072.58
TOTAL (AO, CXL, CRT):	5,666.50

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>141,739.08</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION