



# Daily Compliance Activity Report

Trade Date: 01/08/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	AAP	B	5,000	32.27	n/a	n/a	250.00	5.0
ADAGE CAPITAL MGMT	030	AEO	B	200,000	10.30	n/a	6-Jan	8,000.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>AEO</b>	<b>B</b>	<b>100,000</b>	<b>10.60</b>	<b>n/a</b>	<b>6-Jan</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	AEO	SS	5,000	10.10	n/a	6-Jan	250.00	5.0
SLS CAPITAL LLC	224	AEO	S	150,205	9.74	n/a	6-Jan	4,506.15	3.0
COLUMBIA PARTNERS	028	AMB	S	6,954	23.98	n/a	n/a	278.16	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>ANF</b>	<b>S</b>	<b>50,000</b>	<b>21.68</b>	<b>7-Aug</b>	<b>6-Jan</b>	<b>non-brg</b>	<b>4.0</b>
COUGAR TRADING	044	ANF	S	5,000	21.51	7-Aug	6-Jan	250.00	5.0
<b>f</b> BRIAN JOSEPH WANG	<b>FID</b>	<b>ARGN</b>	<b>S</b>	<b>175</b>	<b>3.78</b>	<b>22-Dec</b>	<b>22-Dec</b>	<b>0.00</b>	<b>0.0</b>
RAINIER INV MGMT	035	ARG	B	62,950	37.83	15-Dec	15-Dec	3,147.50	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>ARO</b>	<b>S</b>	<b>100,000</b>	<b>20.16</b>	<b>n/a</b>	<b>6-Jan</b>	<b>non-brg</b>	<b>2.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ARO</b>	<b>S</b>	<b>25,000</b>	<b>19.79</b>	<b>n/a</b>	<b>6-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ARO</b>	<b>S</b>	<b>75,000</b>	<b>20.15</b>	<b>n/a</b>	<b>6-Jan</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> MARK MEGALLI	<b>FID</b>	<b>ARO</b>	<b>S</b>	<b>3000</b>	<b>20.07</b>	<b>n/a</b>	<b>6-Jan</b>	<b>0.00</b>	<b>0.0</b>
SERENGETI MGMT	030	BBT	B	20,000	23.28	n/a	n/a	1,000.00	5.0
THE BOSTON COMPANY	401	BEBE	S	140,750	6.23	n/a	n/a	5,630.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>BHQ+NX [BBBY]</b>	<b>SS</b>	<b>4,000</b>	<b>0.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>BHQ+QD [BBBY]</b>	<b>SS</b>	<b>1,000</b>	<b>1.16</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SERENGETI MGMT	030	BPOP	B	50,000	5.37	n/a	n/a	2,500.00	5.0
COOPER CREEK PART	028	BWA	SS	2,800	23.27	16-Dec	16-Dec	112.00	4.0
RAMIUS CAP	040	BWA	SS	150,000	23.11	16-Dec	16-Dec	7,500.00	5.0
COUGAR TRADING	044	CAT	B	10,000	43.32	19-Sep	23-Dec	500.00	5.0
COUGAR TRADING	044	CAT	SS	10,000	42.99	19-Sep	23-Dec	500.00	5.0
HIGHBRIDGE/MS OLDS	041	CHRW	B	45,571	51.09	n/a	n/a	2,278.55	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>CIT</b>	<b>B</b>	<b>226,115</b>	<b>4.34</b>	<b>17-Dec</b>	<b>8-Jan</b>	<b>non-brg</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>CJY+OH[CMG]</b>	<b>SS</b>	<b>2,000</b>	<b>1.90</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>CRI</b>	<b>B</b>	<b>50,000</b>	<b>18.59</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
POST ROAD CAP	045	CTV	S	4,200	16.51	n/a	n/a	126.00	3.0
SERENGETI MGMT	030	DB	B	10,000	35.19	n/a	n/a	500.00	5.0
POST ROAD CAP	045	DCP	S	11,978	15.69	n/a	n/a	359.34	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>S</b>	<b>20,000</b>	<b>75.12</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COOPER CREEK PART	028	DSW	SS	5,000	11.05	14-Nov	19-Dec	200.00	4.0
HIGHBRIDGE/MS OLDS	041	DTV	B	34,500	22.62	n/a	4-Jan	1,725.00	5.0
POST ROAD CAP	045	DTV	B	900	22.60	n/a	4-Jan	27.00	3.0
POST ROAD CAP	045	DTV	S	2,600	22.65	n/a	4-Jan	78.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>DXD</b>	<b>B</b>	<b>100</b>	<b>54.11</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SERENGETI MGMT	030	EV	B	35,000	20.67	26-Nov	5-Jan	1,750.00	5.0
BRIGADE CAPITAL MGMT	029	FCH	B	5,100	2.00	n/a	n/a	153.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>FDO+MY[FDO]</b>	<b>SS</b>	<b>2,000</b>	<b>0.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FDO+NY[FDO]</b>	<b>SS</b>	<b>4,000</b>	<b>1.58</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GES+BW[GES]</b>	<b>SS</b>	<b>2,000</b>	<b>0.79</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>S</b>	<b>110,000</b>	<b>15.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>S</b>	<b>190,000</b>	<b>15.63</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COOPER CREEK PART	028	GIL	B	10,000	11.98	11-Dec	12-Dec	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>S</b>	<b>20,000</b>	<b>13.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>S</b>	<b>41,800</b>	<b>13.03</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HBI</b>	<b>S</b>	<b>43,000</b>	<b>12.97</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>HD</b>	<b>SS</b>	<b>140,000</b>	<b>23.95</b>	<b>n/a</b>	<b>27-Jul</b>	<b>5,600.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HD</b>	<b>SS</b>	<b>10,000</b>	<b>23.98</b>	<b>n/a</b>	<b>27-Jul</b>	<b>non-brg</b>	<b>1.0</b>
KLEINHEINZ CAP PART	031	HRB	B	75,000	21.84	n/a	n/a	3,750.00	5.0
POST ROAD CAP	045	ICFI	S	5,700	24.25	n/a	n/a	171.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCG+BS[JCG]</b>	<b>SS</b>	<b>1,000</b>	<b>0.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>100.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		JCG+BS [JCG]	SS	1,000	0.87	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	JCG	S	140,000	10.85	n/a	6-Jan	5,600.00	4.0
⌘ BUCK CAPITAL MGMT		JCP+NV [JCP]	SS	3,000	0.75	n/a	n/a	non-brg	100.0
ADAGE CAPITAL MGMT	030	JCP	SS	100,000	21.41	17-Nov	6-Jan	4,000.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	200,000	21.39	17-Nov	6-Jan	8,000.00	4.0
COUGAR TRADING	044	JCP	B	20,000	22.13	17-Nov	6-Jan	1,000.00	5.0
UBS OCONNOR	031	JEF	SS	40,000	14.50	n/a	n/a	1,200.00	3.0
⌘ BUCK CAPITAL MGMT		JNY+BU [JNY]	SS	2,000	0.25	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		JNY	S	100,000	5.43	n/a	30-Oct	non-brg	3.0
⌘ BUCK CAPITAL MGMT		JWN	SS	100,000	14.35	9-Jan	6-Jan	non-brg	4.0
EGS ASSOCIATES LP	091	JWN	B	5,000	14.14	9-Jan	6-Jan	300.00	6.0
SCOPUS FUND	041	JWN	B	18,800	14.00	9-Jan	6-Jan	940.00	5.0
1798 CAPITAL MGMT	040	KR	SS	10,000	25.13	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		KSS+BI [KSS]	SS	1,000	0.85	n/a	n/a	non-brg	100.0
UBS OCONNOR	031	LAZ	B	30,000	27.44	n/a	n/a	900.00	3.0
Õ Alice Longley		LLY	B	400	38.27	n/a	n/a	0.00	0.0
POST ROAD CAP	045	LMDIA	S	13,800	18.40	n/a	n/a	414.00	3.0
⌘ BUCK CAPITAL MGMT		LTD+BB [LTD]	SS	1,000	0.90	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	LTD	S	100,000	10.00	8-Jan	8-Jan	3,000.00	3.0
COUGAR TRADING	044	LTD	SS	5,000	9.85	8-Jan	8-Jan	200.00	4.0
AVESTA CAP	229	MCD	B	50,000	60.31	13-Oct	8-Jan	2,500.00	5.0
BUCK CAPITAL MGMT	001	MCD	B	150,000	60.05	13-Oct	8-Jan	6,000.00	4.0
COUGAR TRADING	044	MCD	B	3,000	60.09	13-Oct	8-Jan	150.00	5.0
AVESTA CAP	229	NUE	B	15,000	44.68	n/a	n/a	750.00	5.0
COUGAR TRADING	044	NWY	B	10,000	2.23	n/a	6-Jan	200.00	2.0
STRAUSS ASSET MGMT	040	ORA	B	10,000	31.55	n/a	n/a	400.00	4.0
BALYASNY ASSET MGMT	044	PAG	SS	10,000	9.01	n/a	23-Dec	400.00	4.0
BMO NESBITT BURNS	041	PAG	SS	25,000	8.91	n/a	23-Dec	1,250.00	5.0
BENCHMARK	028	PCL	S	10,000	32.31	n/a	18-Dec	500.00	5.0
JETSTREAM GLOBAL FUND LP	065	PFCB	SS	43,900	20.81	8-Jan	8-Jan	2,195.00	5.0
SAC TRADING HOWARD	038	PFCB	B	15,000	20.62	8-Jan	8-Jan	750.00	5.0
MILLENIUM PARTNERS	028	PKG	B	30,000	13.73	5-Dec	5-Dec	1,500.00	5.0
COUGAR TRADING	044	PLCE	B	5,000	23.39	6-Jan	8-Jan	250.00	5.0
FIRST NY SEC/CHRIS	041	PLCE	B	10,000	23.40	6-Jan	8-Jan	600.00	6.0
SERENGETI MGMT	030	PNC	S	10,000	48.73	n/a	n/a	500.00	5.0
<b>f NEIL M STRATTON</b>	<b>FID</b>	<b>PNWIF</b>	<b>B</b>	<b>1000</b>	<b>1.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SERENGETI MGMT	030	PRU	S	40,000	32.06	n/a	n/a	2,000.00	5.0
<b>B</b> BUCK CAPITAL MGMT		PVH+BX[PVH]	SS	1,000	0.90	n/a	n/a	non-brg	100.0
<b>f</b> BRIAN JOSEPH WANG	<b>FID</b>	<b>PVH</b>	<b>S</b>	<b>100</b>	<b>19.91</b>	<b>18-Dec</b>	<b>18-Dec</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	<b>001</b>	<b>PVH</b>	<b>S</b>	<b>50,000</b>	<b>20.14</b>	<b>18-Dec</b>	<b>18-Dec</b>	<b>2,000.00</b>	<b>4.0</b>
COUGAR TRADING	044	PVH	B	5,000	20.16	18-Dec	18-Dec	250.00	5.0
REINHART & MAHONEY	031	PVH	S	18,000	20.07	18-Dec	18-Dec	900.00	5.0
<b>B</b> BUCK CAPITAL MGMT		QUK+AP[DECK]	SS	1,000	1.30	n/a	n/a	non-brg	300.0
<b>B</b> BUCK CAPITAL MGMT		RL+MH[RL]	SS	1,000	0.41	n/a	n/a	non-brg	100.0
COUGAR TRADING	044	RL	B	5,000	44.30	n/a	6-Nov	250.00	5.0
POST ROAD CAP	045	SAI	S	9,950	19.56	n/a	n/a	298.50	3.0
JMP ASSET MGMT	210	SBUX	B	2,350	9.78	n/a	8-Jan	117.50	5.0
<b>f</b> MARC PAUL LUCHANSKY	<b>FID</b>	<b>SDS</b>	<b>B</b>	<b>500</b>	<b>70.35</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HUNTER GLOBAL INVESTOR	067	SO	S	28,700	35.75	n/a	n/a	1,435.00	5.0
<b>f</b> WILLIAM J GAULT	<b>FID</b>	<b>SRS</b>	<b>S</b>	<b>1500</b>	<b>55.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	SSI	S	15,000	8.16	n/a	7-Jan	600.00	4.0
<b>f</b> NICOLAS EVAN PAKLER	<b>FID</b>	<b>SWN</b>	<b>S</b>	<b>30</b>	<b>29.66</b>	<b>19-Dec</b>	<b>19-Dec</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> FRANCIS MICHAEL MCCA	<b>FID</b>	<b>TEX</b>	<b>S</b>	<b>300</b>	<b>17.88</b>	<b>23-Oct</b>	<b>23-Oct</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		TGT	SS	200,000	37.18	17-Nov	6-Jan	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	TIF	B	1000	23.72	n/a	12-Dec	0.00	0.0
f MARC PAUL LUCHANSKY	FID	TPGI	B	1000	3.26	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TSCO	C	30,000	33.17	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	B	148,900	15.34	5-Nov	6-Jan	non-brg	4.0
BUCK CAPITAL MGMT	001	VFC	SS	50,000	53.70	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	WMT	B	63,200	51.70	10-Oct	8-Jan	2,528.00	4.0
PRINCIPLE CAPITAL	040	WMT	B	50,500	51.19	10-Oct	8-Jan	2,020.00	4.0
B BUCK CAPITAL MGMT		WRC	S	30,000	19.11	7-Nov	7-Nov	non-brg	4.0
CATAPULT	040	WRC	B	20,000	19.29	7-Nov	7-Nov	800.00	4.0
SCOPUS FUND	041	WSM	B	4,400	8.71	n/a	n/a	220.00	5.0
CASTLE ARK	031	XTO	S	387,750	38.13	n/a	n/a	23,265.00	6.0
BUCK CAPITAL MGMT	001	ZLC	B	50,000	3.74	n/a	n/a	1,500.00	3.0
B BUCK CAPITAL MGMT		ZWR+BX[ANF]	SS	2,600	2.17	n/a	n/a	non-brg	300.0
TOTAL (Trade Date):							137,724.70		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	01/07	LOCUS WOOD CAPITAL MGMT	044	KBR	B	25,000	16.74	n/a	n/a	1250.00 5.0
AO,CXL	01/07	HUNTER GLOBAL INVESTOR	067	LNN	B	13,800	36.37	n/a	n/a	690.00 5.0
AO,CRT	01/07	HUNTER GLOBAL INVESTOR	067	LNN	B	13,800	36.37	n/a	n/a	690.00 5.0
AO,CXL	01/07	MILLENIUM PARTNERS	028	WMT	B	19,000	55.64	10-Oct	8-Jan	950.00 5.0
AO,CRT	01/07	MILLENIUM PARTNERS	028	WMT	B	19,000	55.64	10-Oct	8-Jan	950.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>1,250.00</b>
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TOTAL (Trade Date) :	137,724.70
TOTAL (AO, CXL, CRT) :	1,250.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>138,974.70</b>
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MRI Securities with Trade Activity on 8-Jan. <b>LTD, PFCB</b>
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MRI Securities without Trade Activity on 8-Jan. <b>NONE</b>
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BRG comm. as a % of BCM Total Comm. paid.
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T-Date = 27%
YTD = 29%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)