



Daily Compliance Activity Report

Trade Date: 04/11/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	039	AAI	B	500	11.33	n/a	n/a	0.00	0.0
GRISANTI BROWN & PARTNER	048	AA	S	28,000	35.08	n/a	n/a	1,400.00	5.0
BONANZA PARTNERS	031	ABD	SS	20,600	24.91	n/a	n/a	1,030.00	5.0
BALYASNY ASSET MGMT	044	AB	B	15,000	91.87	11-Apr	11-Apr	750.00	5.0
MCCARTAN	028	AIQ	S	400	9.26	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	AMG	SS	7,500	110.41	5-Mar	10-Apr	375.00	5.0
LISTED ERR	099	AMG	S	540	112.40	5-Mar	10-Apr	0.00	0.0
BARR	096	AMR	B	300	32.23	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ANF	B	5,000	77.56	2-Feb	10-Apr	250.00	5.0
COUGAR TRADING	044	ANN	B	5,000	39.11	5-Jan	10-Apr	250.00	5.0
SIGMA CAPITAL ASSOCIATES	044	ANN	S	5,000	39.30	5-Jan	10-Apr	250.00	5.0
BUCK CAPITAL MGMT	001	ARO	S	83,000	42.37	n/a	10-Apr	3,320.00	4.0
COUGAR TRADING	044	ARO	S	5,000	42.32	n/a	10-Apr	250.00	5.0
KACELA CAPITAL	034	ARO	S	10,000	42.35	n/a	10-Apr	400.00	4.0
THE JAY GOLDMAN	034	ARO	B	10,000	42.35	n/a	10-Apr	400.00	4.0
MISSOURI VALLEY PART	046	AVZ	B	108,925	22.93	5-Mar	11-Apr	5,446.25	5.0
SIGMA CAPITAL ASSOCIATES	044	BEBE	S	30,000	18.04	n/a	n/a	1,500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AMBER CAPITAL	046	BIG	B	15,000	32.69	9-Mar	10-Apr	750.00	5.0
COUGAR TRADING	044	BONT	B	10,000	53.06	9-Mar	10-Apr	500.00	5.0
Õ Glenn Redemann		BWLD	B	8	0.6400	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BWLD	B	8	0.7100	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BWLD	S	8	1.3900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		BWLD	S	8	1.8700	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	044	CACH	B	20,000	17.06	n/a	n/a	1,000.00	5.0
AMBER CAPITAL	046	CAKE	B	5,000	25.72	7-Feb	7-Feb	250.00	5.0
MAGUIRE	090	CCOI	S	2,500	24.06	n/a	n/a	0.00	0.0
BARR	096	CFC	SS	500	33.65	n/a	n/a	0.00	0.0
KORMAN	003	CHCG	B	6,000	6.05	n/a	n/a	0.00	0.0
GALLEON MGMT LP	033	CHK	C	10,000	33.15	n/a	n/a	500.00	5.0
BRIGHTPOINT CAP	067	CHS	B	20,000	26.54	27-Mar	11-Apr	1,000.00	5.0
GLG PARTNERS	034	CHS	S	25,000	26.31	27-Mar	11-Apr	1,250.00	5.0
SUTERA	160	CHS	SS	1,000	26.94	27-Mar	11-Apr	0.00	0.0
EOS PARTNERS	040	CME	S	800	553.00	n/a	3-Apr	40.00	5.0
BASSO CAPITAL	034	COH	B	23,000	51.35	23-Feb	23-Feb	1,150.00	5.0
COUGAR TRADING	044	COH	SS	10,000	51.22	23-Feb	23-Feb	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ZOLIDIS	011	CWTR	C	500	21.60	n/a	n/a	0.00	0.0
AMATURO	018	DAWUW	B	20	4.37	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	DBRN	S	40,000	22.37	n/a	n/a	1,600.00	4.0
THE JAY GOLDMAN	034	DDS	B	24,200	33.10	n/a	n/a	968.00	4.0
NEUBERGER BERMAN	036	DW	B	5,800	28.31	n/a	n/a	290.00	5.0
MATTHES CAPITAL MGMT	033	FBN	B	26,000	15.65	n/a	n/a	1,040.00	4.0
BASSO CAPITAL	034	FD	SS	23,000	44.86	26-Jan	10-Apr	1,150.00	5.0
EGS ASSOCIATES LP	091	FD	SS	4,000	45.35	26-Jan	10-Apr	240.00	6.0
THE JAY GOLDMAN	034	FD	B	10,000	45.40	26-Jan	10-Apr	400.00	4.0
WAGONHOUND	048	FD	B	12,500	44.95	26-Jan	10-Apr	500.00	4.0
CASTLE ARK	031	GIL	B	52,700	62.86	n/a	2-Apr	3,162.00	6.0
WHITE PINE CAPITAL	030	GLBL	S	500	20.13	n/a	n/a	25.00	5.0
PIVOT CAP	034	GPS	B	11,500	18.74	n/a	10-Apr	575.00	5.0
RBC CAPITAL MARKETS	034	GPS	B	25,000	18.82	n/a	10-Apr	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	044	GPS	S	55,000	18.78	n/a	10-Apr	2,750.00	5.0
AMBER CAPITAL	046	GVA	B	55,300	56.10	n/a	n/a	2,765.00	5.0
NEUBERGER BERMAN	036	HP	S	9,500	31.41	n/a	n/a	475.00	5.0
SIRIS	013	IACI	S	1,000	38.34	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUBERGER BERMAN	036	IDC	B	10,200	24.96	n/a	n/a	510.00	5.0
MERRILL ASSET	032	IFC	S	1,700	17.89	n/a	n/a	76.50	4.5
WYCKOFF	013	JADE	B	300	9.08	n/a	n/a	0.00	0.0
SIGMA CAPITAL ASSOCIATES	044	JBL	B	50,000	21.52	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	JCP	S	10,000	82.99	23-Feb	10-Apr	500.00	5.0
SIGMA CAPITAL ASSOCIATES	044	JCP	B	10,000	83.27	23-Feb	10-Apr	500.00	5.0
KACELA CAPITAL	034	JWN	B	8,000	54.87	27-Feb	10-Apr	320.00	4.0
MCCARTAN	028	KCP	B	200	27.46	n/a	28-Feb	0.00	0.0
EFSTATHIOU	044	KCP	B	200	27.45	n/a	28-Feb	0.00	0.0
JP MORGAN VENTURES	046	KSS	C	6,400	77.33	27-Dec	8-Mar	320.00	5.0
SIGMA CAPITAL ASSOCIATES	044	KWS	B	16,200	27.39	n/a	13-Mar	810.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	20,000	44.00	n/a	28-Feb	800.00	4.0
GALLEON MGMT LP	033	LLTC	SS	5,000	31.95	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	LTD	B	100,000	27.18	2-Mar	10-Apr	4,000.00	4.0
COUGAR TRADING	044	LTD	S	20,000	26.93	2-Mar	10-Apr	1,000.00	5.0
DISCIPLINED GROWTH INV.	030	LTD	B	13,500	27.27	2-Mar	10-Apr	675.00	5.0
CANTILLON CAPITAL	040	MRVL	SS	642,000	16.92	n/a	n/a	32,100.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KAICHER	064	NEXC	B	2,000	12.37	n/a	n/a	0.00	0.0
CASTLEROCK	040	NKE	B	85,400	54.20	n/a	n/a	3,416.00	4.0
NEUBERGER BERMAN	036	NTY	S	9,500	52.51	n/a	n/a	475.00	5.0
BARR	096	NXG	B	3,000	3.55	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	PCL	B	2,100	39.26	n/a	19-Mar	105.00	5.0
CAPITAL GROWTH MGMT.	241	PCU	B	20,000	79.29	n/a	n/a	1,000.00	5.0
THIRD POINT MGMT	044	PIR	B	200,000	7.66	n/a	n/a	6,000.00	3.0
MAZAMA CAPITAL MGMT.	035	PJC	B	35,600	62.99	n/a	n/a	1,424.00	4.0
CANTILLON CAPITAL	040	PMCS	SS	425,000	7.01	n/a	n/a	17,000.00	4.0
BEAR STEARNS ASSET MGMT.	033	PNRA	C	75,000	56.03	n/a	n/a	3,000.00	4.0
AMBER CAPITAL	046	PPG	B	10,000	70.90	n/a	10-Apr	500.00	5.0
MERRILL ASSET	032	PVA	B	19,500	77.35	n/a	n/a	877.50	4.5
SYSTEMATIC FINANCIAL MGT	035	PVH	S	25,700	57.76	28-Mar	28-Mar	963.75	3.8
LEDDY	035	QID	B	800	52.24	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	RKH	B	700	156.17	n/a	n/a	35.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	RTH	SS	200	102.35	n/a	n/a	0.00	0.0
KORMAN	003	SEED	B	3,960	9.05	n/a	n/a	0.00	0.0
KAICHER	064	SNCR	S	1,000	17.38	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	SPY	B	1,700	143.67	n/a	n/a	85.00	5.0
WYCKOFF	013	SWN	B	300	43.37	1-Mar	6-Mar	0.00	0.0
FARBER/WEISS PECK	012	THI	B	20,000	30.51	12-Dec	7-Feb	1,000.00	5.0
DISCIPLINED GROWTH INV.	030	TJX	B	8,000	27.98	21-Mar	10-Apr	400.00	5.0
WHITE PINE CAPITAL	030	TUNE	B	2,000	4.32	n/a	n/a	80.00	4.0
SIGMA CAPITAL ASSOCIATES	044	TWB	B	94,000	36.42	22-Feb	22-Feb	4,700.00	5.0
MAGUIRE	039	UAUA	B	100	42.04	n/a	n/a	0.00	0.0
BARR	096	UAUA	B	500	42.59	n/a	n/a	0.00	0.0
STADIA CAPITAL	035	UST	SS	25,000	59.39	n/a	n/a	1,000.00	4.0
CHILTON CAPITAL MGMT.	036	WEN	SS	100,000	31.63	12-Dec	21-Mar	4,000.00	4.0
CAPITAL GROWTH MGMT.	241	WFT	B	60,000	48.10	n/a	n/a	3,000.00	5.0
TOTAL (Trade Date):								133,174.00	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/10	DSG CAPITAL (DSGCBANK O)	236	CECE	B	20,500	14.73	n/a	n/a	1025.00 5.0
AO,CXL	04/10	LANE GATE PARTNERS LLC (LANEGATE)	012	CECE	B	20,500	14.73	n/a	n/a	1025.00 5.0
AO,CXL	04/10	PRENTICE (PRENGOLDMA)	038	FD	SS	27,500	45.82	26-Jan	10-Apr	2.29 0.0
AO,CRT	04/10	PRENTICE (PRENGOLDMA)	038	FD	SS	27,500	45.82	26-Jan	10-Apr	1375.00 5.0

TOTAL (AO, CXL, CRT) :	1,372.71
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TOTAL (Trade Date) :	133,174.00
TOTAL (AO, CXL, CRT) :	1,372.71

TOTAL (RUN DATE) / NFS:	134,546.71
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION