



Daily Compliance Activity Report

Trade Date: 08/29/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	AIQ	S	200	6.50	n/a	n/a	8.00	4.0
CAPITAL GROWTH MGMT.	241	AMD	B	50,000	24.34	n/a	n/a	2,000.00	4.0
J L F ASSET MGMT	039	ARO	B	93,100	25.10	6-Jul	28-Aug	3,724.00	4.0
BUCK CAPITAL MGMT	015	AVGN	S	1,500	5.35	n/a	n/a	0.00	0.0
JETSTREAM GLOBAL FUND LP	065	BBY	S	59,000	45.92	13-Jun	14-Jun	2,950.00	5.0
WHALE ROCK CAP	030	BONT	B	60,000	24.87	n/a	28-Aug	2,400.00	4.0
NICHOLAS ADVISORS	034	BRL	S	7,000	57.47	14-Aug	29-Aug	350.00	5.0
HARVEST MGMT	039	CACH	S	10,000	15.51	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	CC	B	100,000	22.60	12-Apr	20-Jun	4,000.00	4.0
ROBERTSON STEVENS BC	033	CNO	S	50,000	20.72	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	COH	B	100,000	29.80	n/a	2-Aug	4,000.00	4.0
CAPITAL GROWTH MGMT.	241	COP	S	200,000	64.72	n/a	n/a	8,000.00	4.0
HIGHBRIDGE CAPITAL	038	CTL	B	38,700	39.95	n/a	n/a	1,935.00	5.0
HIGHBRIDGE CAPITAL	038	CZN	B	35,000	13.66	n/a	n/a	1,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEINTRAUB CAPITAL MGT.	040	DDS	S	25,000	31.62	n/a	n/a	1,250.00	5.0
LOCUS WOOD CAPITAL MGMT	044	DSW	S	6,000	27.66	n/a	n/a	240.00	4.0
BUCK CAPITAL MGMT	001	EAT	B	67,000	38.27	26-Jul	10-Aug	2,680.00	4.0
MAIRS AND POWER INV	030	ECL	S	10,000	44.09	n/a	25-Jul	600.00	6.0
CAPITAL GROWTH MGMT.	241	ENH	B	20,000	32.09	n/a	n/a	1,000.00	5.0
HARVEST MGMT	039	FD	B	20,000	38.25	12-Jun	28-Aug	1,000.00	5.0
MORGAN LEWIS/MLH	046	FLS	B	10,000	50.18	n/a	n/a	500.00	5.0
GENERAL ELECTIC CO	033	FRE	B	21,000	64.52	n/a	n/a	945.00	4.5
HARVEST MGMT	039	FRED	B	30,000	12.86	21-Aug	29-Aug	1,500.00	5.0
DENVER INST. ADV	046	FTI	S	187,800	60.17	n/a	n/a	9,390.00	5.0
NEUBERGER BERMAN	239	HLX	S	31,600	38.56	n/a	n/a	1,580.00	5.0
MAZAMA CAPITAL MGMT.	035	HPY	B	15,000	26.60	n/a	n/a	600.00	4.0
BUCK CAPITAL MGMT	015	HUM	S	400	61.42	n/a	n/a	0.00	0.0
FRIESS MGMT	042	JCG	B	44,100	25.00	25-Aug	25-Aug	1,984.50	4.5
BUCK CAPITAL MGMT	001	JCP	S	35,000	64.47	5-May	28-Aug	1,400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	JCP	S	5,000	63.88	5-May	28-Aug	250.00	5.0
CUMBERLAND	038	JCP	B	150,028	63.82	5-May	28-Aug	6,001.12	4.0
CAXTON PART	040	KO	B	300,000	45.04	n/a	n/a	12,000.00	4.0
WEINTRAUB CAPITAL MGT.	040	KSS	B	50,000	61.32	10-Aug	25-Aug	2,500.00	5.0
SAC TRADING HOWARD	038	KWD	S	57,000	27.98	n/a	n/a	2,850.00	5.0
MAGUIRE	039	LEH	B	100	62.81	8-Jun	10-Aug	0.00	0.0
LEDDY	035	LEH	B	300	62.40	8-Jun	10-Aug	0.00	0.0
BUCK CAPITAL MGMT	015	LESVL	S	5	1.65	n/a	n/a	0.00	0.0
APEX CAPITAL MGMT.	002	LOW	B	200,000	27.12	n/a	22-Aug	10,000.00	5.0
BUCK CAPITAL MGMT	015	LVS	S	400	68.71	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	MDU	S	26,961	24.35	n/a	n/a	1,348.05	5.0
GRONET	030	MEL	S	300	37.02	n/a	10-Aug	0.00	0.0
FORD FDN.	032	MMM	S	52,500	71.02	10-Jul	25-Jul	2,625.00	5.0
NICHOLAS ADVISORS	034	MMM	S	17,500	70.94	10-Jul	25-Jul	875.00	5.0
BUCK CAPITAL MGMT	015	MO	B	300	84.01	n/a	n/a	0.00	0.0
CAPITAL GROWTH MGMT.	241	MRH	B	20,000	17.59	n/a	n/a	1,000.00	5.0
GENERAL ELECTIC CO	033	MS	S	39,625	65.41	21-Jun	10-Aug	1,783.13	4.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	MTG	B	3,780	57.57	n/a	n/a	189.00	5.0
SOLARIS INV MGMT	036	OI	S	15,000	14.25	n/a	n/a	897.00	6.0
THIRD COAST CAPITAL	035	OSI	B	30,000	30.15	n/a	2-Aug	1,500.00	5.0
NEUBERGER BERMAN	239	PNR	S	49,300	29.56	n/a	n/a	2,465.00	5.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	39.33	8-Jun	25-Aug	400.00	4.0
LISTED ERR	099	RARE	B	900	27.51	n/a	n/a	0.00	0.0
LISTED ERR	099	RARE	S	900	28.08	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	B	35,000	58.92	16-Jun	9-Aug	1,400.00	4.0
JETSTREAM GLOBAL FUND LP	065	RL	S	17,700	59.08	16-Jun	9-Aug	885.00	5.0
LOCUS WOOD CAPITAL MGMT	044	RVI	B	17,000	13.79	n/a	n/a	680.00	4.0
KERN	050	SBUX	B	100	30.36	2-Aug	3-Aug	0.00	0.0
VAN KAMPEN ADV	031	SBUX	S	568,400	30.07	2-Aug	3-Aug	22,736.00	4.0
MERRILL ASSET	032	SF	S	9,400	31.95	n/a	n/a	282.00	3.0
MERRILL ASSET	032	SJM	S	85,100	48.27	n/a	n/a	4,255.00	5.0
LUCHANSKY1	036	SMG	B	100	41.18	27-Jul	28-Jul	0.00	0.0
LEEDS	002	SPKFF	S	1,500	5.38	n/a	n/a	0.00	0.0
SUSQUEHANNA CAPITAL	033	SSCC	B	100,000	11.40	15-May	17-Aug	5,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRONET	030	SUSQ	S	1,014	24.43	n/a	n/a	0.00	0.0
0648	028	SYMC	B	1,400	18.82	n/a	3-Apr	70.00	5.0
KEY ASSET MGMT/VICTORY	028	SYMC	B	202,125	18.82	n/a	3-Apr	10,106.25	5.0
RAMIUS CAP	040	TIN	B	20,000	44.99	17-Apr	17-Aug	1,000.00	5.0
SINCLAIR	031	TIVO	S	1,000	7.76	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	TLB	B	20,000	21.81	n/a	17-Aug	800.00	4.0
BUCK CAPITAL MGMT	001	TRB	B	10,000	31.37	n/a	n/a	400.00	4.0
KERN	050	TWTC	S	100	18.57	25-Aug	28-Aug	0.00	0.0
CAXTON PART	040	UNH	B	50,000	51.19	n/a	n/a	2,000.00	4.0
MERRILL ASSET	032	UTI	B	18,300	18.47	n/a	n/a	915.00	5.0
BUCK CAPITAL MGMT	015	VLRX	B	2,000	5.95	n/a	n/a	0.00	0.0
REINHART & MAHONEY	031	WERN	S	13,133	18.40	n/a	n/a	656.65	5.0
BUCK CAPITAL MGMT	001	ZQK	B	210,000	13.88	n/a	9-Jun	8,400.00	4.0
DELTEC ASSET MGMT.	040	ZQK	S	7,500	14.00	n/a	9-Jun	375.00	5.0
EGS ASSOCIATES LP	059	ZQK	B	3,000	13.78	n/a	9-Jun	180.00	6.0
GALLEON MGMT LP	033	ZQK	B	10,000	13.52	n/a	9-Jun	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	ZQK	B	7,500	13.49	n/a	9-Jun	225.00	3.0

TOTAL :

165,835.70

Cancel/Corrects and As-Of Trades

Type	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	MCERLEAN (MCERLEAN)	N16		B	10,000	52.00	n/a	n/a	0.00 0.0
AO,	MAGUIRE (MAGUIRE)	090		B	200,000	52.00	n/a	n/a	0.00 0.0
AO,	BARROW HANLEY MEWHINNEY (BARR)	096		B	60,000	52.00	n/a	n/a	0.00 0.0
AO,	SANDELL ADV (SANLMORGAN)	040	BWNG	B	40,000	11.50	25-Apr	3-Aug	2,000.00 5.0
AO,CRT	MKT MAKER (MKT MAKER)	099	CTRN	B	66	28.97	n/a	n/a	0.00 0.0
AO,CXL	BANK OF NEW YORK (BONYMAIN A)	32	GSK	B	6,000	54.84	n/a	n/a	270.00 4.5
AO,	ESTABROOK (ESTABONYMF)	032	GSK	B	6,000	54.84	n/a	n/a	270.00 4.5
AO,CXL	BANK OF NEW YORK (BONYMAIN A)	032	K	B	12,000	49.71	n/a	n/a	540.00 4.5
AO,	ESTABROOK (ESTABONYMF)	032	K	B	12,000	49.71	n/a	n/a	540.00 4.5
AO,	ANDERSON HOAGLAND & CO (ANDEUBS)	046	T	B	600	30.82	25-Jul	28-Aug	0.00 0.0
AO,	ANDERSON HOAGLAND & CO (ANDEFIDU#)	046	T	B	550	30.82	25-Jul	28-Aug	27.50 5.0
AO,CXL	ANDERSON HOAGLAND & CO (ANDEFIDU#)	046	T	B	550	30.82	25-Jul	28-Aug	27.50 5.0

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.