



# Daily Compliance Activity Report

Trade Date: 06/15/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		AAPL	B	5,000	135.29	n/a	n/a	non-brg	4.0
SCOPIA CAPITAL	048	ADP	SS	50,000	36.65	n/a	n/a	2,000.00	4.0
BUCK CAPITAL MGMT	001	AEO	S	60,000	13.99	20-Apr	5-Jun	2,400.00	4.0
BUCK CAPITAL MGMT		AEO	B	10,000	14.05	20-Apr	5-Jun	non-brg	1.0
AAD CAPITAL	048	ALV	B	20,000	28.49	10-Jun	10-Jun	800.00	4.0
SUFFOLK CAPITAL MGMT	040	AMAT	B	99,200	10.98	n/a	n/a	3,968.00	4.0
CADIAN CAPITAL	067	ANF	S	75,000	26.94	3-Jun	5-Jun	3,000.00	4.0
BUCK CAPITAL MGMT		ARO	B	100,000	34.51	n/a	5-Jun	non-brg	4.0
KEVIN MICHAEL O'GORM	FID	ATSI	B	5000	2.84	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		AVP	SS	20,000	25.71	2-Jun	2-Jun	non-brg	4.0
BUCK CAPITAL MGMT	001	AXL	B	50,000	3.73	10-Jun	10-Jun	1,000.00	2.0
BUCK CAPITAL MGMT		AXL	B	50,000	3.69	10-Jun	10-Jun	non-brg	1.0
TRELLUS PARTNERS LP	028	AXL	B	50,000	3.59	10-Jun	10-Jun	1,500.00	3.0
ASCEND PARTNERS	033	BAX	B	50,000	48.25	n/a	22-Apr	2,500.00	5.0
BUCK CAPITAL MGMT	001	BBY	B	120,000	38.69	n/a	27-Jul	4,800.00	4.0
SLS CAPITAL LLC	224	BGP	S	303,000	3.26	n/a	n/a	9,090.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f NEIL M STRATTON	FID	BK	B	200	28.50	11-May	12-Jun	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	BWA	S	200	32.86	10-Jun	10-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	CAB	SS	10,000	11.12	n/a	n/a	400.00	4.0
f MARK MEGALLI	FID	CASH	S	500	21.01	n/a	n/a	0.00	0.0
MORGAN BANK /JPM	070	CCE	B	122,300	17.07	n/a	n/a	3,669.00	3.0
f EDWARD W WHEELER	FID	CECE	S	4310	3.42	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CIT	B	100,000	3.05	23-Apr	12-Jun	non-brg	1.0
B BUCK CAPITAL MGMT		CLX	SS	30,000	55.69	15-Jun	15-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		COST	SS	50,000	46.11	n/a	27-Jul	non-brg	4.0
f MICHAEL MARRANO	FID	C	B	1000	3.38	n/a	12-Jun	0.00	0.0
f MARK MEGALLI	FID	DDRX	S	300	16.04	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DECK	S	20,000	68.46	n/a	n/a	non-brg	1.0
f NEIL M STRATTON	FID	DKR	B	100	17.50	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	DLLR	B	35,000	14.00	n/a	n/a	1,575.00	4.5
B BUCK CAPITAL MGMT		DLTR	S	60,000	42.33	8-May	9-Jun	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WEINTRAUB CAPITAL MGT.	040	DSW	SS	5,300	9.95	15-Jun	15-Jun	159.00	3.0
<b>f ALANA J A MADIGAN-SI</b>	<b>FID</b>	<b>DXO</b>	<b>S</b>	<b>100</b>	<b>4.47</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
FIRST NEW YORK LEDDY	035	ETFC	B	20,000	1.80	n/a	n/a	1,200.00	6.0
CENTURION PARTNERS	041	FCX	S	20,000	56.81	n/a	n/a	800.00	4.0
EPOCH INV MGMT	030	FII	B	20,000	26.00	24-Apr	12-Jun	800.00	4.0
WEINTRAUB CAPITAL MGT.	040	FII	S	100,000	26.06	24-Apr	12-Jun	4,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FOR</b>	<b>B</b>	<b>2,383</b>	<b>11.31</b>	<b>12-Feb</b>	<b>8-May</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>B</b>	<b>10,000</b>	<b>22.46</b>	<b>n/a</b>	<b>30-Jan</b>	<b>non-brg</b>	<b>1.0</b>
<b>f JOHN CAREY MAGUIRE</b>	<b>FID</b>	<b>FXALB</b>	<b>S</b>	<b>5</b>	<b>4.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ROYCE TRADING	216	GCO	S	10,000	21.66	n/a	10-Jan	500.00	5.0
WEINTRAUB CAPITAL MGT.	040	GENZ	S	25,000	56.85	n/a	n/a	1,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>GES</b>	<b>B</b>	<b>10,000</b>	<b>25.73</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GES</b>	<b>B</b>	<b>10,000</b>	<b>25.70</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
ENTRUST CAPITAL	040	GE	S	175,900	13.18	n/a	n/a	8,795.00	5.0
<b>f MARK MEGALLI</b>	<b>FID</b>	<b>GGWPQ</b>	<b>S</b>	<b>1000</b>	<b>2.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>GIL</b>	<b>B</b>	<b>40,000</b>	<b>16.58</b>	<b>n/a</b>	<b>15-Jun</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROYCE TRADING	216	GIL	B	50,000	16.70	n/a	15-Jun	2,500.00	5.0
ASCEND PARTNERS	033	GPRO	B	9,300	41.54	n/a	n/a	465.00	5.0
⚡ BUCK CAPITAL MGMT		GRMN	SS	20,000	21.83	n/a	n/a	non-brg	4.0
⚡ JOHN CAREY MAGUIRE	FID	HFFC	B	400	12.00	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		HGG	B	10,000	13.77	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		HGG	B	20,000	14.26	n/a	n/a	non-brg	1.0
⚡ BUCK CAPITAL MGMT		HGG	B	30,000	14.11	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		HOG	SS	20,000	15.87	n/a	n/a	non-brg	4.0
MOORE CAPITAL	036	HTZ	B	50,000	6.53	n/a	n/a	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		IGT	B	20,000	15.74	n/a	27-Apr	non-brg	1.0
⚡ KEVIN MICHAEL O'GORM	FID	IRE	B	500	10.76	n/a	n/a	0.00	0.0
M&I FUNDS	256	IVZ	S	6,103	18.16	5-Jun	15-Jun	244.12	4.0
BUCK CAPITAL MGMT	001	JCG	B	30,000	25.10	n/a	30-Jan	1,200.00	4.0
CADIAN CAPITAL	067	JCP	S	80,000	28.32	13-Apr	5-Jun	3,200.00	4.0
⚡ BUCK CAPITAL MGMT		JOSB	B	10,000	33.17	n/a	n/a	non-brg	4.0
ADAGE CAPITAL MGMT	030	JWN	B	132,496	20.35	20-Feb	5-Jun	5,299.84	4.0
MORGAN BANK /JPM	070	KO	B	86,600	48.25	n/a	n/a	2,598.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SOUTH POINT CAP	067	LAMR	B	15,000	16.85	n/a	n/a	750.00	5.0
MORGAN BANK /JPM	070	LO	B	65,100	66.15	n/a	n/a	1,953.00	3.0
<b>B</b> BUCK CAPITAL MGMT		<b>MGM</b>	<b>SS</b>	<b>20,000</b>	<b>6.78</b>	<b>n/a</b>	<b>6-May</b>	<b>non-brg</b>	<b>3.0</b>
BALYASNY ASSET MGMT	044	MLM	SS	10,000	81.70	n/a	n/a	500.00	5.0
<b>B</b> BUCK CAPITAL MGMT		<b>MNRO</b>	<b>B</b>	<b>10,000</b>	<b>24.40</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>f</b> IAN L MILLER	<b>FID</b>	<b>MSFT</b>	<b>B</b>	<b>25</b>	<b>23.36</b>	<b>n/a</b>	<b>3-Apr</b>	<b>0.00</b>	<b>0.0</b>
M&I FUNDS	256	MYL	S	30,198	13.33	n/a	10-Jun	1,207.92	4.0
BARCLEYS CAP (OLD LEHM)	041	M	SS	50,000	11.82	n/a	5-Jun	2,000.00	4.0
<b>f</b> MARK MEGALLI	<b>FID</b>	<b>PBY</b>	<b>S</b>	<b>500</b>	<b>9.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>PERY</b>	<b>S</b>	<b>4,800</b>	<b>9.28</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
MORGAN BANK /JPM	070	PG	S	375,370	51.29	5-Jun	5-Jun	11,261.10	3.0
SCOPUS FUND	041	PKG	B	20,000	15.79	8-Apr	21-Apr	1,000.00	5.0
BUCK CAPITAL MGMT	<b>001</b>	<b>PSS</b>	<b>B</b>	<b>50,000</b>	<b>13.38</b>	<b>n/a</b>	<b>n/a</b>	<b>2,000.00</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>PSS</b>	<b>B</b>	<b>10,000</b>	<b>13.36</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
G WEISS /SASHA	070	PTV	SS	17,319	20.92	n/a	n/a	692.76	4.0
GEORGE WEISS	070	PTV	SS	12,681	20.92	n/a	n/a	507.24	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PRINCIPLE CAPITAL	040	RHI	SS	52,800	21.96	n/a	n/a	1,584.00	3.0
BUCK CAPITAL MGMT	001	RL	B	10,000	52.92	n/a	28-May	400.00	4.0
f IAN L MILLER	FID	RRCIL	B	5	0.95	n/a	n/a	0.00	0.0
SCOPUS FUND	041	RYN	B	5,000	36.97	n/a	1-May	250.00	5.0
B BUCK CAPITAL MGMT		SGMS	SS	20,000	17.96	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		SHOO	SS	10,000	27.29	n/a	n/a	non-brg	4.0
f ANTHONY NG	FID	SPNG	B	2500	0.15	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	SWGGS	B	200	1.00	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	SZCRF	S	20	0.09	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	SZCSJ	B	200	1.54	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	SZCSJ	S	400	1.45	n/a	n/a	0.00	0.0
f FRANCIS MICHAEL MCCA	FID	TEN	S	400	9.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		TGT	B	100,000	39.89	25-Feb	9-Jun	non-brg	4.0
PHILADELPHIA FINANCIAL	033	TROW	C	13,499	42.12	n/a	5-Jun	539.96	4.0
BALYASNY ASSET MGMT	044	TXI	SS	10,000	32.20	n/a	n/a	500.00	5.0
SEMINOLE CAP	036	UNH	S	200,000	23.69	n/a	n/a	10,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⌘ BUCK CAPITAL MGMT		URBN	B	10,000	20.88	n/a	30-Jan	non-brg	1.0
⌘ BUCK CAPITAL MGMT		URBN	B	10,000	21.17	n/a	30-Jan	non-brg	4.0
⌘ BUCK CAPITAL MGMT		VLCM	SS	10,000	13.41	n/a	n/a	non-brg	4.0
PORTER-ORLIN-FELDMAN	044	VRSN	B	50,000	18.29	n/a	n/a	1,500.00	3.0
BUCK CAPITAL MGMT	001	WRC	S	30,000	33.63	13-May	13-May	1,200.00	4.0
BUCK CAPITAL MGMT	001	WSM	SS	100,000	12.15	n/a	n/a	4,000.00	4.0
PRINCIPLE CAPITAL	040	WYNN	SS	32,400	34.93	n/a	n/a	972.00	3.0
PORTER-ORLIN-FELDMAN	044	XOM	SS	100,000	72.52	n/a	n/a	3,000.00	3.0
<b>TOTAL (Trade Date):</b>							<b>117,680.94</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/12	IRONBOUND CAPITAL	012	GS	S	126,200	145.02	11-May	12-Jun	3786.00 3.0
AO,CXL	06/12	IRONBOUND CAPITAL	012	GS	S	126,200	145.02	11-May	12-Jun	5048.00 4.0
AO,CXL	06/12	HIGHBRIDGE CAPITAL	038	PAYX	B	19,000	27.24	n/a	n/a	950.00 5.0
AO,CRT	06/12	HIGHBRIDGE CAPITAL	038	PAYX	B	19,000	27.24	n/a	n/a	760.00 4.0
AO,CRT	06/10	SCHOTTENFELD ASSOC	041	TLB	S	50,000	4.90	n/a	30-Jan	1500.00 3.0
AO,CXL	06/10	SCHOTTENFELD ASSOC	041	TLB	S	50,000	4.90	n/a	30-Jan	2500.00 5.0
AO,CRT	06/12	JP MORGAN VENTURES	046	XRT	S	15,000	27.83	n/a	n/a	450.00 3.0
AO,CXL	06/12	JP MORGAN VENTURES	046	XRT	S	15,000	27.83	n/a	n/a	750.00 5.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>-2,752.00</b>
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TOTAL (Trade Date) :	117,680.94
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TOTAL (AO, CXL, CRT) :	-2,752.00
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<b>TOTAL (RUN DATE) / NFS:</b>	<b>114,928.94</b>
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MRI Securities with Trade Activity on 15-Jun. CLX, DSW
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MRI Securities without Trade Activity on 15-Jun.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 41%  
YTD = 20%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**