



Daily Compliance Activity Report

Trade Date: 12/15/2008

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	AG	SS	5,000	22.52	15-Dec	15-Dec	250.00	5.0
FRONTPOINT/COPIA-ANDREW	031	APC	B	78,000	37.33	n/a	20-Nov	2,730.00	3.5
⌘ BUCK CAPITAL MGMT		APP	S	29,500	3.05	n/a	n/a	non-brg	2.0
⌘ BUCK CAPITAL MGMT		APP	S	56,300	2.95	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	AVP	B	40,000	22.94	3-Nov	9-Dec	1,600.00	4.0
NORTHPOINT	030	AWR	B	13,100	30.00	n/a	n/a	524.00	4.0
SERENGETI MGMT	030	BAC	S	50,000	14.50	15-Oct	12-Dec	2,500.00	5.0
⌘ BUCK CAPITAL MGMT		BKS+PB [BKS]	SS	1,000	0.90	n/a	n/a	non-brg	100.0
MATRIX ASSET ADV.	036	BK	B	21,000	25.70	9-Oct	12-Dec	840.00	4.0
SANDLER O'NEILL ASSET	031	BK	B	49,700	26.02	9-Oct	12-Dec	2,485.00	5.0
MILLRACE FUND LP	028	BWA	B	10,000	20.55	16-Dec	26-Nov	400.00	4.0
⌘ BUCK CAPITAL MGMT		CAKE	C	40,000	7.11	n/a	24-Oct	non-brg	3.0
MATRIX ASSET ADV.	036	CCL	B	10,000	20.67	n/a	26-Jan	400.00	4.0
⌘ BUCK CAPITAL MGMT		CHRS	B	50,000	1.50	n/a	22-Mar	non-brg	1.0
⌘ BUCK CAPITAL MGMT		COST	SS	70,000	52.21	n/a	27-Jul	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STRAUSS ASSET MGMT	040	CREE	B	5,000	15.21	n/a	n/a	200.00	4.0
⌘ BUCK CAPITAL MGMT		DECK	S	10,000	61.98	n/a	n/a	non-brg	1.0
COOPER CREEK PART	028	DSW	SS	5,000	9.78	14-Nov	12-Dec	150.00	3.0
⌘ BUCK CAPITAL MGMT		EQR	C	30,000	30.34	n/a	n/a	non-brg	4.0
CAPITAL GROWTH MGMT.	241	ESRX	B	1,000	57.75	n/a	n/a	50.00	5.0
TRIBE CAP/MILLENNIUM	041	FDML	S	8,300	4.17	n/a	n/a	415.00	5.0
⌘ BUCK CAPITAL MGMT		GCO	S	90,800	12.99	n/a	10-Jan	non-brg	4.0
ROYCE TRADING	216	GDI	S	5,000	24.43	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		GES	B	50,000	13.82	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GES	B	50,000	13.84	n/a	n/a	non-brg	4.0
COOPER CREEK PART	028	GIL	B	5,000	9.18	11-Dec	12-Dec	150.00	3.0
PEREGRINE	030	GIL	B	68,000	9.14	11-Dec	12-Dec	2,720.00	4.0
SCOPUS FUND	041	GME	SS	5,000	23.11	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		GYMB	S	64,715	21.87	7-Nov	12-Dec	non-brg	4.0
BASIX	033	HRS	B	14,000	35.49	30-Oct	9-Dec	420.00	3.0
⌘ BUCK CAPITAL MGMT		IBM+LQ[IBM]	SS	10	0.80	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
⌘ BUCK CAPITAL MGMT		ICON	S	150,000	8.29	n/a	n/a	non-brg 3.0
CAPITAL GROWTH MGMT.	241	ISRG	B	2,000	129.58	n/a	n/a	100.00 5.0
CRAMER ROSENTHAL/SCOTT	012	IVZ	B	38,000	11.56	13-Nov	11-Dec	1,520.00 4.0
BUCK CAPITAL MGMT	001	JCG	S	100,000	12.32	n/a	12-Dec	4,000.00 4.0
⌘ BUCK CAPITAL MGMT		JCG	S	100,000	11.82	n/a	12-Dec	non-brg 4.0
⌘ BUCK CAPITAL MGMT		JCP+MU[JCP]	SS	2,000	0.70	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		JCP+MV[JCP]	SS	2,000	1.48	n/a	n/a	non-brg 300.0
BUCK CAPITAL MGMT	001	JCP	SS	100,000	19.37	17-Nov	12-Dec	4,000.00 4.0
COUGAR TRADING	044	JCP	B	7,500	19.54	17-Nov	12-Dec	375.00 5.0
⌘ BUCK CAPITAL MGMT		KCP	S	15,200	7.61	n/a	28-Sep	non-brg 3.0
RBC CAPITAL MARKETS	034	KR	B	15,000	25.71	n/a	n/a	600.00 4.0
BUCK CAPITAL MGMT	001	KSS	B	50,000	35.26	n/a	12-Dec	2,000.00 4.0
LOCUS WOOD CAPITAL MGMT	044	LQD	B	25,000	94.40	n/a	n/a	1,000.00 4.0
AELTUS/ING INVESTMENT MGT	215	MCD	S	62,200	60.76	13-Oct	8-Dec	2,177.00 3.5
BEAR STEARNS ASSET MGMT.	033	MCD	C	8,700	60.48	13-Oct	8-Dec	348.00 4.0
⌘ BUCK CAPITAL MGMT		MFB	S	100	9.86	n/a	n/a	non-brg 1.0
⌘ BUCK CAPITAL MGMT		MFB	S	3,800	9.38	n/a	n/a	non-brg 3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROBX	302	NDAQ	S	39,750	23.33	n/a	n/a	1,590.00	4.0
ROYCE TRADING	216	NR	B	10,000	3.68	n/a	n/a	300.00	3.0
⚡ BUCK CAPITAL MGMT		NWY	B	47,500	1.82	n/a	12-Dec	non-brg	2.0
⚡ BUCK CAPITAL MGMT		PETM	C	80,000	16.69	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PPS	C	20,600	17.08	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		PSA	C	30,000	66.75	n/a	n/a	non-brg	4.0
FIRST NY SEC/CHRIS	041	RCRC	B	10,000	7.23	n/a	n/a	600.00	6.0
⚡ BUCK CAPITAL MGMT		RIMM	C	45,500	38.78	n/a	n/a	non-brg	4.0
DENVER INST. ADV	046	RL	B	14,700	43.76	n/a	6-Nov	588.00	4.0
WHITE PINE CAPITAL	030	ROH	S	7,070	67.78	n/a	11-Sep	353.50	5.0
CAPITAL GROWTH MGMT.	241	SGP	B	260,000	17.06	n/a	n/a	10,400.00	4.0
⚡ BUCK CAPITAL MGMT		SKX	S	178,800	10.84	n/a	13-Mar	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SKX	S	50,000	10.82	n/a	13-Mar	non-brg	2.0
FRONTPOINT/COPIA-ANDREW	031	SLB	SS	108,400	42.27	n/a	n/a	3,794.00	3.5
COUGAR TRADING	044	SLM	S	25,000	7.81	n/a	10-Dec	1,000.00	4.0
ROSEDALE CAPITAL	046	TDC	B	30,000	14.69	n/a	n/a	1,800.00	6.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DSG CAPITAL	225	TGT	SS	3,000	34.50	17-Nov	12-Dec	150.00	5.0
⌘ BUCK CAPITAL MGMT		TJX+MW[TJX]	SS	1,000	0.65	n/a	n/a	non-brg	100.0
⌘ BUCK CAPITAL MGMT		TJX	SS	50,000	19.71	11-Aug	5-Dec	non-brg	4.0
⌘ BUCK CAPITAL MGMT		TJX	SS	50,000	19.74	11-Aug	5-Dec	non-brg	2.0
⌘ BUCK CAPITAL MGMT		TPX+AS[TPX]	SS	2,000	0.38	n/a	n/a	non-brg	100.0
ROYCE TRADING	216	TWP	S	5,000	13.82	n/a	n/a	250.00	5.0
⌘ BUCK CAPITAL MGMT		VNO	SS	30,000	56.37	n/a	n/a	non-brg	4.0
BEAR STEARNS ASSET MGMT.	033	YUM	S	10,000	27.91	14-Aug	10-Dec	400.00	4.0
⌘ BUCK CAPITAL MGMT		ZVZZT	B	100	1.25	n/a	n/a	non-brg	2.5
⌘ BUCK CAPITAL MGMT		ZVZZT	B	100	1.50	n/a	n/a	non-brg	3.0
TOTAL (Trade Date):								53,679.50	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	12/12	PALMYRA CAPITAL	048	CAB	B	19,300	6.65	n/a	n/a	579.00 3.0
AO,CXL	12/12	PALMYRA CAPITAL	048	CAB	B	19,300	6.65	n/a	n/a	772.00 4.0
AO,CRT	12/12	MARINER/TRICADIA	041	FDML	S	38,200	4.11	n/a	n/a	1910.00 5.0
AO,CXL	12/12	TRIBE CAP/MILLENNIUM	041	FDML	S	38,200	4.11	n/a	n/a	1910.00 5.0
AO,	12/12	BARON ASSET MGMT*	012	JCG	B	16,000	12.48	n/a	12-Dec	640.00 4.0
AO,CXL	12/12	BUCK CAPITAL MGMT	001	JCG	S	150,000	12.46	n/a	12-Dec	6000.00 4.0
AO,CRT	12/12	BUCK CAPITAL MGMT	001	JCG	S	150,000	12.46	n/a	12-Dec	6000.00 4.0
AO,CRT	12/12	WEINTRAUB CAPITAL MGT.	040	LEA	B	225,000	1.99	n/a	n/a	4500.00 2.0
AO,CXL	12/12	WEINTRAUB CAPITAL MGT.	040	LEA	B	225,000	1.99	n/a	n/a	9000.00 4.0
AO,	12/12	SANDLER O'NEILL ASSET	031	LM	B	200	18.60	29-Oct	12-Dec	10.00 5.0
AO,	12/12	DENVER INST. ADV	046	RL	B	93,675	43.18	n/a	6-Nov	3747.00 4.0
AO,	12/12	HARVEST MGMT	039	SKS	SS	25,000	4.26	n/a	n/a	1250.00 5.0
AO,CXL	12/12	PALMYRA CAPITAL	048	SKS	S	14,525	4.38	n/a	n/a	581.00 4.0
AO,CRT	12/12	PALMYRA CAPITAL	048	SKS	S	14,525	4.38	n/a	n/a	435.75 3.0
TOTAL (AO, CXL, CRT) :										808.75

TOTAL (Trade Date):	53,679.50
TOTAL (AO, CXL, CRT):	808.75

TOTAL (RUN DATE) / NFS:

54,488.25

MRI Securities with Trade Activity on 15-Dec.

AG

MRI Securities without Trade Activity on 15-Dec.

ARG, MWV

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 17%

YTD = 20%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades