



Daily Compliance Activity Report

Trade Date: 06/11/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 1

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	AAP	SS	10,000	39.69	n/a	n/a	500.00	5.0
BUCK	015	AB	B	500	61.17	9-Apr	10-Jun	0.00	0.0
RHO CAPITAL MGMT	041	AIG	S	2,100	33.17	n/a	n/a	84.00	4.0
EPOCH INV MGMT	030	ALKS	B	26,800	11.99	n/a	n/a	1,072.00	4.0
JP MORGAN VENTURES	046	AMZN	SS	5,000	78.10	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	15,000	67.00	10-Apr	6-Jun	600.00	4.0
BULL PATH CAPITAL	044	ANF	B	17,500	67.30	10-Apr	6-Jun	875.00	5.0
SWISS RE FINANCIAL SER	035	AN	SS	35,000	13.81	10-Jun	10-Jun	1,400.00	4.0
TRELLUS PARTNERS LP	028	ARGN	B	10,000	10.12	n/a	9-Jun	500.00	5.0
LUCHANSKY1	036	ARGN	S	500	9.96	n/a	9-Jun	0.00	0.0
AMBER CAPITAL	046	ARM	SS	150,000	14.82	n/a	n/a	6,000.00	4.0
BALYASNY ASSET MGMT	044	ARO	B	150,000	32.96	28-Apr	6-Jun	7,500.00	5.0
COUGAR TRADING	044	ARO	SS	5,000	32.35	28-Apr	6-Jun	250.00	5.0
THOMAS WEISEL ASSET MGMT	035	ATHR	S	1,854	31.32	n/a	n/a	55.62	3.0
PATTEN & PATTEN	067	BAC	S	1,776	29.66	n/a	15-May	88.80	5.0
PZENA INV ADV	044	BAC	B	74,450	29.38	n/a	15-May	2,978.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		BBBY	SS	30,000	29.29	n/a	n/a	non-brg	4.0
BUCK	015	BBBY	C	2,000	29.17	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	BON TON DEPT STORES	B	100,000	71.50		n/a	n/a	500.00 0.0
WHITE PINE CAPITAL	030	BONT	S	5,600	6.26	n/a	6-Jun	280.00	5.0
SCOPUS FUND	041	BWA	SS	20,000	46.01	10-Jun	10-Jun	1,000.00	5.0
B BUCK CAPITAL MGMT		BWLD	SS	10,000	30.03	n/a	n/a	non-brg	4.0
PATTEN & PATTEN	067	CAG	S	1,715	23.43	n/a	n/a	85.75	5.0
SUFFOLK CAPITAL MGMT	040	CB	B	170,000	53.08	n/a	n/a	6,800.00	4.0
ROTHSCHILD ASSET	040	CE	S	1,440	47.71	n/a	n/a	64.83	4.5
LEDDY	035	CHCG	S	5,400	1.49	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	CLHB	S	6,000	72.83	n/a	n/a	300.00	5.0
AVESTA CAP	229	CL	SS	100,000	73.86	n/a	1-May	5,000.00	5.0
MATLIN PATTERSON	046	CMI	B	20,000	63.32	n/a	23-May	600.00	3.0
MAGUIRE	039	CMO	S	500	11.83	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	COH	SS	20,000	33.72	4-Apr	23-Apr	1,000.00	5.0
MERRILL LYNCH STRATEGICI	034	COH	B	10,000	33.45	4-Apr	23-Apr	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	CRR	S	26,000	55.20	5-Jun	5-Jun	1,300.00	5.0
⌘ BUCK CAPITAL MGMT		DIA	SS	6,100	121.96	n/a	n/a	non-brg	2.0
MILLENIUM PARTNERS	028	DIS	SS	30,000	33.33	n/a	n/a	1,500.00	5.0
⌘ BUCK CAPITAL MGMT		DLTR	SS	20,000	35.35	n/a	5-Jun	non-brg	4.0
BUCK	015	EFA	B	200	72.29	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	ELY	B	3,500	12.50	n/a	n/a	140.00	4.0
CRAMER ROSENTHAL/SCOTT	012	EQT	B	1,300	71.49	n/a	n/a	52.00	4.0
CRAMER ROSENTHAL/SCOTT	012	EW	B	1,700	59.06	n/a	n/a	68.00	4.0
CRAMER ROSENTHAL/SCOTT	012	EXH	B	900	71.59	n/a	4-Jun	36.00	4.0
MS PRINCIPAL STRATEGIES	041	EXM	B	62,297	40.74	n/a	n/a	3,114.85	5.0
N STRATTON	014	FBSI	SS	300	12.90	n/a	n/a	0.00	0.0
CRAMER ROSENTHAL/SCOTT	012	FHN	B	5,800	8.45	n/a	n/a	232.00	4.0
BUCK	015	FNM	SS	3,000	23.55	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		FOSL	B	10,000	29.51	n/a	14-May	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FOSL	B	100,000	30.00	n/a	14-May	non-brg	2.0
ZOLIDIS	011	FRED	C	500	12.75	27-Mar	27-Mar	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TROUBH PART	067	FRE	S	50,000	22.07	n/a	n/a	2,500.00	5.0
RHO CAPITAL MGMT	041	FSLR	SS	75	254.21	n/a	n/a	3.00	4.0
ß BUCK CAPITAL MGMT		FUA+IG	SS	1,000	1.23	n/a	n/a	non-brg	300.0
ß BUCK CAPITAL MGMT		GES+GI	SS	2,000	0.95	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES	S	50,000	41.01	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	27.90	30-Apr	28-May	400.00	4.0
SCOPUS FUND	041	GPI	SS	10,000	22.84	10-Jun	10-Jun	500.00	5.0
BUCK	015	GPI	C	1,000	22.92	10-Jun	10-Jun	0.00	0.0
EMP ERROR	099	GPI	B	1,000	22.57	10-Jun	10-Jun	0.00	0.0
MS PRINCIPAL STRATEGIES	041	HCBK	SS	5,000	16.56	n/a	n/a	250.00	5.0
RHO CAPITAL MGMT	041	IBN	S	700	33.09	n/a	n/a	28.00	4.0
NOMURA CAPITAL	045	ICOG	S	13,716	3.93	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	IMMR	B	25,000	8.02	n/a	n/a	1,000.00	4.0
RHO CAPITAL MGMT	041	INP	S	1,100	58.27	n/a	n/a	44.00	4.0
HIGHBRIDGE CAPITAL	038	ISRG	SS	5,000	267.66	n/a	n/a	250.00	5.0
JP MORGAN VENTURES	046	IVC	B	9,700	18.90	n/a	n/a	485.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZANDER CAPITAL MGMT	035	IWM	SS	6,000	72.37	n/a	n/a	240.00	4.0
SCOPUS FUND	041	JCI	SS	10,000	32.07	23-Jan	16-Apr	500.00	5.0
PATTEN & PATTEN	067	JPM	B	1,510	37.96	8-May	15-May	75.50	5.0
AVESTA CAP	229	JWN	SS	50,000	33.03	16-May	6-Jun	2,500.00	5.0
BALYASNY ASSET MGMT	044	JWN	B	45,000	33.54	16-May	6-Jun	2,250.00	5.0
BUCK	015	KMB	S	1,200	62.18	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	KO	B	1,835	57.31	n/a	n/a	91.75	5.0
EPOCH INV MGMT	030	KVA	B	12,000	21.00	n/a	n/a	480.00	4.0
BUCK	015	K	B	500	51.81	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	LCAV	B	2,000	7.79	n/a	n/a	60.00	3.0
LUCHANSKY3	036	LEH	S	776	25.40	9-Jun	9-Jun	0.00	0.0
FPCC	041	LII	B	2,500	30.71	n/a	n/a	100.00	4.0
B BUCK CAPITAL MGMT		LIZ+VC	SS	1,000	1.27	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		LIZ	SS	40,000	15.90	n/a	14-May	non-brg	4.0
SANDLER O'NEILL ASSET	031	LM	B	10,000	51.55	7-May	2-Jun	500.00	5.0
BUCK CAPITAL MGMT	001	LTD	SS	20,000	17.32	n/a	6-Jun	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		LTM	S	21,709	36.32	n/a	n/a	non-brg	4.0
PAKLER	029	LYHGF	B	10	1.32	n/a	n/a	0.00	0.0
GENERAL ELECTIC CO	033	MELI	B	30,001	38.08	n/a	n/a	1,200.04	4.0
BUCK	015	MER	C	800	36.53	18-Jan	22-May	0.00	0.0
NOMURA CAPITAL	045	MGLN	S	30,000	38.45	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	MNT	S	11,000	29.08	n/a	n/a	550.00	5.0
CRAMER ROSENTHAL/SCOTT	012	MOGA	B	2,200	40.98	n/a	n/a	88.00	4.0
RHO CAPITAL MGMT	041	MTE	S	4,000	4.40	n/a	n/a	160.00	4.0
FPCC	041	NDSN	B	6,500	72.25	n/a	n/a	260.00	4.0
BUCK CAPITAL MGMT	001	OXM	SS	20,000	22.87	n/a	n/a	800.00	4.0
TRIVIAM CAP MGMT	251	PAYX	C	15,000	32.69	n/a	n/a	600.00	4.0
B BUCK CAPITAL MGMT		PETM	SS	20,000	22.53	n/a	n/a	non-brg	4.0
FIRST NY SEC/CHRIS	041	PETM	B	10,000	22.93	n/a	n/a	600.00	6.0
FIRST NY SEC/CHRIS	041	PH	SS	400	76.75	n/a	23-May	20.00	5.0
PERFORMANCE PART LP #2	041	PH	SS	5,000	75.81	n/a	23-May	250.00	5.0
PILOT ROCK	028	PH	SS	900	76.01	n/a	23-May	36.00	4.0
FPCC	041	PLD	B	2,200	57.47	n/a	n/a	88.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
FORD FDN.	032	PRU	S	25,000	68.52	n/a	n/a	1,250.00	5.0
B BUCK CAPITAL MGMT		PSUN	B	160,000	10.07	n/a	6-Jun	non-brg	4.0
CRAMER ROSENTHAL/SCOTT	012	PTV	B	3,000	23.19	n/a	n/a	120.00	4.0
B BUCK CAPITAL MGMT		PZZA	SS	20,000	27.82	n/a	24-Apr	non-brg	4.0
BUCK	015	RF	B	2,000	13.65	n/a	n/a	0.00	0.0
COUGAR TRADING	044	RL	B	5,000	64.98	7-Feb	29-May	250.00	5.0
LEDDY	035	RL	C	250	65.75	7-Feb	29-May	0.00	0.0
B BUCK CAPITAL MGMT		RVI	S	17,100	4.70	n/a	n/a	non-brg	3.0
ZOLIDIS	011	SHOE	SS	9,200	0.80	n/a	n/a	0.00	0.0
COUGAR TRADING	044	SKF	B	10,000	130.17	n/a	n/a	500.00	5.0
SUFFOLK CAPITAL MGMT	040	SPLS	B	300,000	24.14	n/a	27-Jul	12,000.00	4.0
B BUCK CAPITAL MGMT		SPY	SS	5,500	135.20	n/a	n/a	non-brg	2.0
LEDDY	035	SSCC	S	3,000	5.70	7-Apr	23-Apr	0.00	0.0
B BUCK CAPITAL MGMT		SUMR	B	100,000	4.00	n/a	n/a	non-brg	2.5
GENERAL ELECTIC CO	033	SWN	S	23,674	48.05	20-Mar	4-Jun	946.96	4.0
SCOPUS FUND	041	TEN	SS	20,000	19.76	n/a	n/a	1,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B BUCK CAPITAL MGMT		TIF	SS	20,000	45.32	n/a	2-Jun	non-brg	4.0
PRINCIPLE CAPITAL	040	TIF	SS	70,600	45.24	n/a	2-Jun	2,824.00	4.0
IRONBOUND CAPITAL	012	TIN	B	195,600	12.18	29-Apr	29-Apr	9,780.00	5.0
THIRD COAST CAPITAL	035	TLB	B	50,000	8.90	17-Apr	11-Jun	2,500.00	5.0
LEDDY	035	TROW	C	500	57.55	n/a	2-Jun	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	TUP	B	20,000	36.50	n/a	n/a	749.34	3.7
CRAMER ROSENTHAL/SCOTT	012	TWB	B	2,300	17.35	17-Mar	22-May	92.00	4.0
B BUCK CAPITAL MGMT		URBN	B	100,000	32.05	15-May	15-May	non-brg	2.0
B BUCK CAPITAL MGMT		URBN	B	20,000	30.90	15-May	15-May	non-brg	4.0
B BUCK CAPITAL MGMT		URQ+IG	SS	1,000	1.37	n/a	n/a	non-brg	300.0
HBK FUNDS	031	VRTX	SS	100,000	32.76	n/a	n/a	5,000.00	5.0
EPOCH INV MGMT	030	WBC	B	5,450	53.00	30-Apr	11-Jun	218.00	4.0
FEDERATED INVESTOR	029	WBC	S	9,400	53.03	30-Apr	11-Jun	517.00	5.5
PATTEN & PATTEN	067	WB	S	426	19.78	n/a	n/a	21.30	5.0
PZENA INV ADV	044	WB	B	98,250	19.20	n/a	n/a	3,930.00	4.0
GENERAL ELECTIC CO	033	WFT	S	47,349	44.97	n/a	n/a	1,893.96	4.0
NOMURA CAPITAL	045	WINN	S	20,000	16.16	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓐ BUCK CAPITAL MGMT		WRC+JL	SS	1,000	1.27	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	WRC	B	20,000	46.46	13-May	13-May	800.00	4.0
BUCK CAPITAL MGMT	001	WSM	SS	45,000	20.98	n/a	n/a	1,800.00	4.0
DE SHAW	040	WYE	B	100,000	43.06	n/a	31-Mar	4,000.00	4.0
BUCK	015	WYNN	SS	300	89.63	n/a	n/a	0.00	0.0
CATTANI	041	XLF	S	500	22.67	n/a	n/a	0.00	0.0
Ⓐ BUCK CAPITAL MGMT		XRT	SS	37,500	31.47	n/a	n/a	non-brg	1.0
CENTURION PARTNERS	255	XTO	B	15,000	70.21	n/a	n/a	750.00	5.0
BUCK	015	YHOO	S	2,000	26.12	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	YRCW	B	100,000	14.92	n/a	n/a	5,000.00	5.0
NEWLAND CAPITAL MGT	067	YRCW	S	16,500	15.00	n/a	n/a	825.00	5.0
Ⓐ BUCK CAPITAL MGMT		ZLC	SS	20,000	20.81	n/a	n/a	non-brg	4.0
Ⓐ BUCK CAPITAL MGMT		ZQK	S	300,000	8.84	5-Feb	6-Jun	non-brg	4.0
Ⓐ BUCK CAPITAL MGMT		ZQK	S	350,000	8.71	5-Feb	6-Jun	non-brg	4.0
TOTAL (Trade Date):								119,103.70	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/10	EPOCH INV MGMT	030	DBD	B	51,900	39.62	1-May	1-May	2076.00 4.0
AO,CRT	06/05	WYPER CAPITAL	044	DFS	B	6,398	16.74	n/a	n/a	0.00 0.0
AO,CXL	06/05	WYPER CAPITAL	044	DFS	B	7,307	16.74	n/a	n/a	0.00 0.0
AO,	06/05	WYPER CAPITAL	044	DFS	B	909	16.74	n/a	n/a	0.00 0.0
AO,CRT	06/05	WYPER CAPITAL	044	DFS	S	6,398	16.70	n/a	n/a	0.00 0.0
AO,CXL	06/05	WYPER CAPITAL	044	DFS	S	7,307	16.70	n/a	n/a	0.00 0.0
AO,	06/05	WYPER CAPITAL	044	DFS	S	909	16.70	n/a	n/a	0.00 0.0
AO,	06/10	MORGAN BANK /JPM	012	GE	B	506,016	30.61	n/a	n/a	15180.48 3.0
AO,CRT	06/09	EMP ERROR	015	GPI	SS	1,000	24.15	10-Jun	10-Jun	0.00 0.0
AO,CXL	06/09	BUCK CAPITAL MGMT	015	GPI	SS	1,000	24.15	10-Jun	10-Jun	0.00 0.0
AO,CXL	06/10	ADAGE CAPITAL MGMT	030	GPS	B	250,000	17.50	n/a	6-Jun	10000.00 4.0
AO,CRT	06/10	ADAGE CAPITAL MGMT	030	GPS	B	250,000	17.50	n/a	6-Jun	10000.00 4.0
AO,CRT	06/10	NOMURA CAPITAL	045	ICOG	S	12,000	4.09	n/a	n/a	360.00 3.0
AO,	06/10	DE SHAW	040	IVZ	B	28,100	26.91	10-Apr	9-Jun	1124.00 4.0
AO,CRT	06/10	NOMURA CAPITAL	045	MGLN	S	30,000	38.65	n/a	n/a	1500.00 5.0
AO,CRT	06/10	GREEN ARROW CAPITAL	034	NYB	SS	50,000	19.93	n/a	n/a	2000.00 4.0
AO,CXL	06/10	GREEN ARROW CAPITAL	034	NYB	SS	50,000	19.93	n/a	n/a	2500.00 5.0
AO,CRT	06/10	NOMURA CAPITAL	045	WINN	S	8,400	16.66	n/a	n/a	420.00 5.0
TOTAL (AO, CXL, CRT) :										20,160.48

TOTAL (Trade Date):	119,103.70
TOTAL (AO, CXL, CRT):	20,160.48

TOTAL (RUN DATE) / NFS:	139,264.18
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MRI Securities with Trade Activity on 11-Jun. NONE

MRI Securities without Trade Activity on 11-Jun. CENT

BRG comm. as a % of BCM Total Comm. paid. T-Date = 7% YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION