

Daily Compliance Activity Report Trade Date: 05/11/2010

Potential ANALYST TRADE Violations: 0 Watch List ACTIVITY ALERT: 0

							-		
Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
ß BUCK CAPITAL MGMT		973 HK	В	1,000,000	2.00	n/a	n/a	non-brg	0.4
f MARC PAUL LUCHANSKY	FID	AAGPX	s	64	17.27	n/a	n/a	0.00	0.0
CAXTON PART	040	AA	S	100,000	12.14	n/a	n/a	3,500.01	3.5
ST OF MICHIAN	047	AB	S	120,000	30.56	n/a	n/a	3,600.00	3.0
f JOHN BARTMAN COLE	FID	ACAS	В	1000	5.93	n/a	n/a	0.00	0.0
EXIS CAPITAL	044	AEO	В	10,000	16.18	n/a	10-May	500.00	5.0
EPOCH INV MGMT	030	AGCO	В	23,950	34.07	n/a	30-Apr	718.50	3.0
HILLIARD LYONS CAP MGMT	047	AGN	S	681	62.39	n/a	10-May	20.43	3.0
LISTED ERR	099	AGN	В	681	62.36	n/a	10-May	0.00	0.0
LISTED ERR	099	AGN	S	681	62.74	n/a	10-May	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	ANF	S	25	0.65	n/a	10-May	0.00	0.0
BUCK CAPITAL MGMT		ARO	В	20,000	29.55	n/a	10-May	non-brg	4.0
ASCEND PARTNERS	033	ВА	В	30,000	71.57	n/a	5-May	1,500.00	5.0
BUCK CAPITAL MGMT		вву	В	20,000	44.78	n/a	n/a	non-brg	4.0
f CHRISTOPHER ROBERT C	FID	BEE	В	1000	5.14	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
f	HARALAMBOS EFSTATHI	FID	BEE	В	2000	5.19	n/a	n/a	0.00	0.0
f	JONATHAN RICHARD HAR	FID	BEE	В	395	5.17	n/a	n/a	0.00	0.0
f	KAREN F LEDDY	FID	BEE	В	3500	5.14	n/a	n/a	0.00	0.0
f	MICHAEL C PERILLO	FID	BEE	В	99	5.08	n/a	n/a	0.00	0.0
f	KAREN F LEDDY	FID	BKRS	s	1134	2.18	n/a	n/a	0.00	0.0
f	KAREN F LEDDY	FID	BWS	В	600	17.33	n/a	n/a	0.00	0.0
	SAGE ASSET MGMT	012	ВХ	S	25,500	13.10	n/a	n/a	1,275.00	5.0
f	CHRISTOPHER ROBERT C	FID	BZ	В	500	6.45	n/a	n/a	0.00	0.0
	LOMBARDIA CAP MGMT	048	CACI	В	13,496	48.18	n/a	n/a	653.38	4.9
	CAERUS GLOBAL	041	CAKE	SS	10,000	27.54	n/a	23-Apr	300.00	3.0
	RBC CAPITAL MARKETS	040	CAT	SS	10,000	65.71	n/a	30-Apr	400.00	4.0
f	MARC PAUL LUCHANSKY	FID	CIVVX	s	9	10.85	n/a	n/a	0.00	0.0
f	WILLIAM J GAULT	FID	CLH	В	1000	60.94	n/a	n/a	0.00	0.0
	RAINIER INV MGMT	035	CL	S	141,300	82.68	n/a	18-Mar	5,652.00	4.0
	HILLIARD LYONS CAP MGMT	047	CMCSA	S	2,358	18.03	n/a	n/a	70.74	3.0
	LISTED ERR	099	CMCSA	В	2,358	17.99	n/a	n/a	0.00	0.0
	LISTED ERR	099	CMCSA	S	2,358	17.96	n/a	n/a	0.00	0.0
	HARBORWATCH/DIAMBACK	232	CNX	SS	30,000	39.63	n/a	n/a	1,500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
ROSEDALE CAPITAL	046	COG	В	20,000	33.33	n/a	29-Apr	1,000.00	5.0
BUCK CAPITAL MGMT		СОН	В	20,000	40.96	n/a	21-Apr	non-brg	4.0
HILLIARD LYONS CAP MGMT	047	CSCO	S	1,930	26.15	n/a	n/a	57.90	3.0
LISTED ERR	099	CSCO	В	1,930	26.17	n/a	n/a	0.00	0.0
LISTED ERR	099	CSCO	S	1,930	26.10	n/a	n/a	0.00	0.0
HILLIARD LYONS CAP MGMT	047	CVS	S	1,825	36.17	n/a	n/a	54.75	3.0
LISTED ERR	099	cvs	В	1,825	36.04	n/a	n/a	0.00	0.0
LISTED ERR	099	cvs	S	1,825	36.06	n/a	n/a	0.00	0.0
MELLON/BANK OF NEW YORK	046	CYN	В	20,750	62.51	n/a	n/a	1,037.50	5.0
CHRISTOPHER ARTHUR K	FID	С	В	2500	4.20	n/a	11-May	0.00	0.0
IAN L MILLER	FID	С	В	200	4.14	n/a	11-May	0.00	0.0
HILLIARD LYONS CAP MGMT	047	DELL	S	2,171	15.38	n/a	n/a	65.13	3.0
LISTED ERR	099	DELL	В	2,171	15.41	n/a	n/a	0.00	0.0
LISTED ERR	099	DELL	S	2,171	15.29	n/a	n/a	0.00	0.0
CAVU CAP ADV	028	DE	В	20,000	59.30	n/a	30-Apr	1,000.00	5.0
CAXTON PART	040	DIS	S	55,418	35.82	n/a	n/a	1,939.68	3.5
HILLIARD LYONS CAP MGMT	047	DIS	S	2,137	35.40	n/a	n/a	64.11	3.0
LISTED ERR	099	DIS	В	2,137	35.47	n/a	n/a	0.00	0.0
LISTED ERR	099	DIS	S	2,137	35.73	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	_
ß	BUCK CAPITAL MGMT		DPZ	С	30,000	13.52	n/a	5-May	non-brg	4.0	
ß	BUCK CAPITAL MGMT		DPZ	c	60,684	13.92	n/a n/a	5-May	non-brg	4.0	
a	BUCK CAPITAL MGMT		EBAY	В	30,000	22.66	n/a	n/a	non-brg	4.0	
f	MARC PAUL LUCHANSKY	FID	EXWAX	S	38	7.83	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	FCSAX	S	10	10.29	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	FDGFX	В	32	25.08	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	FDGRX	S	1	72.07	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	FDIVX	s	4	26.37	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	FIGRX	S	3	28.60	n/a	n/a	0.00	0.0	
	LAGRANGE CAP ADV	048	FII	В	10,000	23.50	n/a	2-Mar	500.00	5.0	
f	MARC PAUL LUCHANSKY	FID	FILFX	В	217	7.84	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	FKRCX	S	2	44.61	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	FLPSX	s	3	34.30	n/a	n/a	0.00	0.0	
	BUCK CAPITAL MGMT	001	FL	В	20,000	14.90	n/a	6-May	800.00	4.0	
f	IAN L MILLER	FID	FOR	В	40	19.86	n/a	10-May	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	FPCIX	S	10	10.42	n/a	n/a	0.00	0.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
f	MARC PAUL LUCHANSKY	FID	FSHBX	S	12	8.40	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FTBFX	S	9	10.73	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FUSOX	В	146	8.88	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	FVSAX	В	101	12.81	n/a	n/a	0.00	0.0
	HILLIARD LYONS CAP MGMT	047	GE	S	1,693	18.04	n/a	n/a	50.79	3.0
	LISTED ERR	099	GE	В	1,693	17.92	n/a	n/a	0.00	0.0
	LISTED ERR	099	GE	S	1,693	17.95	n/a	n/a	0.00	0.0
	ST OF MICHIAN	047	GLW	В	250,000	18.31	n/a	10-May	7,500.00	3.0
f	HARALAMBOS EFSTATHI	FID	GME	S	500	22.54	n/a	n/a	0.00	0.0
	MESIROW	031	GPI	В	27 , 899	30.17	n/a	28-Apr	976.47	3.5
ß	BUCK CAPITAL MGMT		GPS	В	70,000	23.87	n/a	n/a	non-brg	4.0
	SIGMA CAPITAL ASSOCIATES	041	GPS	В	100,000	24.00	n/a	n/a	3,500.00	3.5
ß	BUCK CAPITAL MGMT		HAL	В	40,000	27.70	n/a	n/a	non-brg	0.8
ß	BUCK CAPITAL MGMT		HBI	В	10,000	27.95	n/a	10-May	non-brg	4.0
	BARROW HANLEY MEWHINNEY	031	HD	S	41,300	35.80	11-May	11-May	1,445.50	3.5
	HILLIARD LYONS CAP MGMT	047	HD	S	1,937	35.29	11-May	11-May	58.11	3.0
	RWC PARTNERS LTD	067	HD	В	110,000	35.67	11-May	11-May	3,300.00	3.0
	LISTED ERR	099	HD	В	1,937	35.29	11-May	11-May	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	LISTED ERR	099	HD	S	1,937	35.19	11-May	11-May	0.00	0.0
	HILLIARD LYONS CAP MGMT	047	HOG	S	747	33.33	n/a	n/a	22.41	3.0
	LISTED ERR	099	HOG	В	747	33.39	n/a	n/a	0.00	0.0
	LISTED ERR	099	HOG	S	747	33.33	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	HRINX	S	6	51.08	n/a	n/a	0.00	0.0
	BMO NESBITT BURNS	041	IP	В	7,000	24.31	n/a	29-Apr	280.00	4.0
	GREEN ARROW DOUG	040	IP	S	15,000	24.51	n/a	29-Apr	750.00	5.0
	RBC CAPITAL MARKETS	040	IP	S	30,000	24.19	n/a	29-Apr	1,200.00	4.0
	ROYCE TRADING	216	IVZ	S	500	21.18	n/a	2-Mar	25.00	5.0
f	MANNY I KORMAN	FID	JADE	В	20000	2.85	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	JANSX	s	8	26.56	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		JCP	В	50,000	28.87	n/a	10-May	non-brg	4.0
	EXIS CAPITAL	044	JCP	В	5,000	28.93	n/a	10-May	250.00	5.0
	HILLIARD LYONS CAP MGMT	047	JEC	S	905	45.16	n/a	n/a	27.15	3.0
	LISTED ERR	099	JEC	В	905	44.70	n/a	n/a	0.00	0.0
	LISTED ERR	099	JEC	S	905	44.80	n/a	n/a	0.00	0.0
	HILLIARD LYONS CAP MGMT	047	JNJ	S	870	64.86	n/a	n/a	26.10	3.0
	LISTED ERR	099	JNJ	В	870	64.89	n/a	n/a	0.00	0.0
	LISTED ERR	099	JNJ	S	870	64.62	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT		JNY	В	20,000	20.85	n/a	29-Apr	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
	HILLIARD LYONS CAP MGMT	047	JPM	S	1,252	41.95	n/a	11-May	37.56	3.0
	LISTED ERR	099	JPM	В	1,252	41.50	n/a	11-May	0.00	0.0
	LISTED ERR	099	JPM	S	1,252	41.56	n/a	11-May	0.00	0.0
ß	BUCK CAPITAL MGMT		JWN	S	70,000	43.04	n/a	10-May	non-brg	4.0
	HILLIARD LYONS CAP MGMT	047	KFT	S	1,257	30.52	n/a	n/a	37.71	3.0
	LISTED ERR	099	KFT	В	1,257	30.41	n/a	n/a	0.00	0.0
	LISTED ERR	099	KFT	S	1,257	30.29	n/a	n/a	0.00	0.0
	RBC CAPITAL MARKETS	040	KO	S	10,000	53.83	n/a	23-Apr	400.00	4.0
	BUCK CAPITAL MGMT	001	KSS	В	30,000	56.91	n/a	10-May	1,200.00	4.0
	EXIS CAPITAL	044	KSS	SS	5,000	57.08	n/a	10-May	250.00	5.0
	BMO NESBITT BURNS	041	LPX	SS	30,000	9.72	n/a	11-May	1,500.00	5.0
	COOPER CREEK PART	028	LPX	SS	10,000	9.64	n/a	11-May	400.00	4.0
	WADDELL AND REED	047	LRN	S	41,795	24.09	n/a	n/a	626.93	1.5
	BALYASNY ASSET MGMT	044	LTD	S	20,000	27.13	n/a	n/a	1,000.00	5.0
	HILLIARD LYONS CAP MGMT	047	MAT	S	2,543	22.52	n/a	n/a	76.29	3.0
	LISTED ERR	099	MAT	В	2,543	22.57	n/a	n/a	0.00	0.0
	LISTED ERR	099	MAT	S	2,543	22.53	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	MFOCX	S	6	15.75	n/a	n/a	0.00	0.0
	WAGONHOUND/NIC	048	MGM	В	100,000	14.62	n/a	11-May	4,000.00	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	
f	MARC PAUL LUCHANSKY	FID	MIQBX	s	8	12.22	n/a	n/a	0.00	0.0	
f	MARC PAUL LUCHANSKY	FID	MON	S	500	57.48	n/a	10-May	0.00	0.0	
	HILLIARD LYONS CAP MGMT	047	MSFT	S	1,955	28.94	n/a	n/a	58.65	3.0	
	LISTED ERR	099	MSFT	В	1,955	28.94	n/a	n/a	0.00	0.0	
	LISTED ERR	099	MSFT	S	1,955	28.88	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		MW	SS	10,000	24.40	n/a	n/a	non-brg	4.0	
	BMO NESBITT BURNS	041	М	SS	30,000	24.49	n/a	10-May	1,500.00	5.0	
	HARVEST MGMT	029	М	В	15,000	23.95	n/a	10-May	750.00	5.0	
ß	BUCK CAPITAL MGMT		NAV	С	20,100	55.02	n/a	n/a	non-brg	4.0	
f	JOHN PATRICK DAVEY	FID	NBRXF	S	1500	16.99	n/a	n/a	0.00	0.0	
f	MARGARET M DAVEY	FID	NBRXF	S	2000	17.02	n/a	n/a	0.00	0.0	
	WEINTRAUB CAPITAL MGT.	040	NDN	В	9,700	14.71	n/a	9-Apr	388.00	4.0	
	LOMBARDIA CAP MGMT	048	NITE	В	20,157	15.05	n/a	n/a	975.81	4.9	
a	BUCK CAPITAL MGMT		NTY	В	66,000	36.07	n/a	n/a	non-brg	4.0	
	HILLIARD LYONS CAP MGMT	047	OMC	S	1,021	41.21	n/a	n/a	30.63	3.0	
	LISTED ERR	099	OMC	В	1,021	40.88	n/a	n/a	0.00	0.0	
	LISTED ERR	099	OMC	S	1,021	40.75	n/a	n/a	0.00	0.0	
	IRIDIAN ASSET MGMT.	036	ORCL	S	21,080	24.16	n/a	n/a	1,054.00	5.0	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
f	MARC PAUL LUCHANSKY	FID	PCRRX	S	13	7.76	n/a	n/a	0.00	0.0
	NEUBERGER BERMAN	036	PEB	В	29,900	18.82	n/a	n/a	1,196.00	4.0
	HILLIARD LYONS CAP MGMT	047	PEP	S	586	66.62	n/a	n/a	17.58	3.0
	LISTED ERR	099	PEP	В	586	66.69	n/a	n/a	0.00	0.0
	LISTED ERR	099	PEP	S	586	66.38	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		PETM	С	100,000	33.50	n/a	n/a	non-brg	4.0
	HILLIARD LYONS CAP MGMT	047	PFE	S	735	17.11	n/a	n/a	22.05	3.0
	LISTED ERR	099	PFE	В	735	17.14	n/a	n/a	0.00	0.0
	LISTED ERR	099	PFE	S	735	16.96	n/a	n/a	0.00	0.0
	HILLIARD LYONS CAP MGMT	047	PGR	S	2,565	20.44	n/a	n/a	76.95	3.0
	LISTED ERR	099	PGR	В	2,564	20.28	n/a	n/a	0.00	0.0
	LISTED ERR	099	PGR	S	2,565	20.16	n/a	n/a	0.00	0.0
	HILLIARD LYONS CAP MGMT	047	PG	S	563	62.55	n/a	30-Apr	16.89	3.0
	RBC CAPITAL MARKETS	040	PG	S	5,000	62.78	n/a	30-Apr	200.00	4.0
	LISTED ERR	099	PG	В	563	62.59	n/a	30-Apr	0.00	0.0
	LISTED ERR	099	PG	S	563	62.39	n/a	30-Apr	0.00	0.0
ß	BUCK CAPITAL MGMT		PIR	В	50,000	8.19	n/a	n/a	non-brg	3.0
	BMO NESBITT BURNS	041	PKG	SS	8,000	22.59	n/a	20-Apr	320.00	4.0
ß	BUCK CAPITAL MGMT		PLCE	s	10,000	43.73	n/a	27-Apr	non-brg	4.0
	CATAPULT	040	PNC	S	10,000	68.71	n/a	n/a	500.00	5.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
	SAGE ASSET MGMT	012	PNC	S	8,700	68.62	n/a	n/a	435.00	5.0
ß	BUCK CAPITAL MGMT		PSS	В	30,000	22.33	n/a	n/a	non-brg	4.0
	EXIS CAPITAL	044	PVH	SS	5,000	60.00	n/a	21-Apr	250.00	5.0
f	MARC PAUL LUCHANSKY	FID	PZFVX	S	6	15.69	n/a	n/a	0.00	0.0
	BMO NESBITT BURNS	041	RKT	В	3,000	50.82	n/a	n/a	120.00	4.0
f	MARC PAUL LUCHANSKY	FID	RYPFX	S	29	10.29	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	SBLGX	S	13	22.36	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	SIAL	В	500	57.13	n/a	10-May	0.00	0.0
	KACELA CAPITAL	034	SKS	В	120,000	9.67	n/a	n/a	3,600.00	3.0
	RBC CAPITAL MARKETS	040	SKS	В	40,000	9.51	n/a	n/a	1,600.00	4.0
f	MARC PAUL LUCHANSKY	FID	SLASX	S	11	38.09	n/a	n/a	0.00	0.0
	LOMBARDIA CAP MGMT	048	SON	В	20,610	33.02	n/a	n/a	997.76	4.9
f	ABRAM M CHAPPELL	FID	SRI	В	105	9.58	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		SUP	S	10,000	17.27	n/a	10-May	non-brg	0.8
	TROUBH PART	067	SUP	В	7,500	17.41	n/a	10-May	375.00	5.0
	HILLIADD LVONG GAD MOME	0.47	TDI	C	1 227	20 57	m / -	/-	26.01	2 0
	HILLIARD LYONS CAP MGMT LISTED ERR	047 099	TEL TEL	S B	1,227 1,277	30.57 29.86	n/a n/a	n/a n/a	36.81 0.00	3.0 0.0
				_	-,,	_3.00	, 4	,	3.30	

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research		¢/Shr.
	LISTED ERR	099	TEL	S	1,227	29.87	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	TEVA	В	500	58.46	n/a	10-May	0.00	0.0
ß	BUCK CAPITAL MGMT		TGT	В	20,000	56.80	n/a	10-May	non-brg	2.0
ß	BUCK CAPITAL MGMT		THI	С	118,770	33.42	n/a	n/a	non-brg	4.0
	HUNTER GLOBAL INVESTOR	067	TIN	S	270,000	21.27	n/a	21-Apr	13,500.00	5.0
	SACC-SCHWARTZ	044	TIN	В	25,000	21.56	n/a	21-Apr	875.00	3.5
	SENATOR INVESTMENT GROUP	036	TIN	В	100,000	21.57	n/a	21-Apr	3,000.00	3.0
	HILLIARD LYONS CAP MGMT	047	TJX	S	1,013	46.17	n/a	10-May	30.39	3.0
	SIGMA CAPITAL ASSOCIATES	041	TJX	SS	25,000	45.72	n/a	10-May	875.00	3.5
	LISTED ERR	099	TJX	В	1,013	45.78	n/a	10-May	0.00	0.0
	LISTED ERR	099	TJX	S	1,013	45.76	n/a	10-May	0.00	0.0
	COUGAR TRADING	044	TM	В	5,000	77.81	n/a	n/a	250.00	5.0
f	MARC PAUL LUCHANSKY	FID	TPINX	s	7	13.39	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		TRLG	SS	30,000	28.24	n/a	n/a	non-brg	4.0
	HILLIARD LYONS CAP MGMT	047	TYC	S	1,344	38.44	n/a	28-Apr	40.32	3.0
	LISTED ERR	099	TYC	В	1,344	38.25	n/a	28-Apr	0.00	0.0
	LISTED ERR	099	TYC	S	1,344	38.12	n/a	28-Apr	0.00	0.0
f	KAREN F LEDDY	FID	TZA	В	1500	6.60	n/a	n/a	0.00	0.0
f	RAGHAV NAYAR	FID	T	S	140	25.79	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
f	MARC PAUL LUCHANSKY	FID	UAUA	В	1000	19.57	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		UA	SS	10,000	33.80	n/a	28-Apr	non-brg	4.0
	CASTLEROCK	040	UA	В	65,115	33.85	n/a	28-Apr	2,604.60	4.0
	HARBORWATCH/DIAMBACK	232	UNP	В	20,000	75.27	n/a	n/a	1,000.00	5.0
ß	BUCK CAPITAL MGMT		URBN	В	10,000	38.16	n/a	n/a	non-brg	4.0
	HILLIARD LYONS CAP MGMT	047	USB	S	1,974	26.72	n/a	n/a	59.22	3.0
	LISTED ERR	099	USB	В	1,976	26.35	n/a	n/a	0.00	0.0
	LISTED ERR	099	USB	S	1,976	26.39	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	USGLX	S	7	26.80	n/a	n/a	0.00	0.0
	BMO NESBITT BURNS	041	USG	SS	10,000	21.35	n/a	22-Apr	400.00	4.0
	LOMBARDIA CAP MGMT	048	VAL	S	20,973	31.26	n/a	n/a	1,015.17	4.9
f	MARC PAUL LUCHANSKY	FID	v	В	200	84.12	n/a	4-May	0.00	0.0
	HILLIARD LYONS CAP MGMT	047	WAT	S	821	70.01	n/a	n/a	24.63	3.0
	LISTED ERR	099	WAT	В	821	70.05	n/a	n/a	0.00	0.0
	LISTED ERR	099	WAT	S	821	69.97	n/a	n/a	0.00	0.0
f	MARC PAUL LUCHANSKY	FID	WBIGX	S	11	18.38	n/a	n/a	0.00	0.0
	CAXTON PART	040	WFC	В	27,468	33.57	n/a	11-May	961.40	3.5
ß	BUCK CAPITAL MGMT		WFT	В	10,000	15.49	n/a	n/a	non-brg	0.8

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
	HIGHFIELDS CAP MGMT	030	WLP	В	190,000	52.44	n/a	n/a	7,600.00	4.0
	LEVIN CAPITAL STRATEGIES	012	WRC	S	50,000	47.49	n/a	11-May	1,500.00	3.0
f	CHRISTOPHER ARTHUR K	FID	WWE	В	1000	17.10	n/a	n/a	0.00	0.0
	BMO NESBITT BURNS	041	WY	В	3,000	46.81	n/a	30-Apr	120.00	4.0
	DIAMONDBACK CAP MGMT	232	XLE	SS	18,000	56.90	n/a	n/a	540.00	3.0
ß	BUCK CAPITAL MGMT		XRT	C	18,200	42.04	n/a	n/a	non-brg	2.0

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,	05/10	HARBORWATCH/DIAMBACK	232	CNW	В	15,000	36.24	n/a	n/a	750.00	5.0
AO, CRT	05/10	COOPER CREEK PART	028	LPX	SS	33,500	10.50	n/a	11-May	1340.00	4.0
AO,CXL	05/10	COOPER CREEK PART	028	LPX	SS	33,500	10.50	n/a	11-May	1340.00	4.0
AO,	05/10	NUMERIC	060	RTN	В	88,000	56.22	n/a	23-Apr	2640.00	3.0
AO, CRT	05/07	HIGHBRIDGE CAP	041	RYN	В	34,000	45.71	n/a	19-Apr	1020.00	3.0
AO,CXL	05/07	HIGHBRIDGE CAP	041	RYN	В	34,000	45.71	n/a	19-Apr	1360.00	4.0
AO,CXL	05/07	HIGHBRIDGE CAP	041	UFS	В	15,000	61.46	n/a	n/a	600.00	4.0
AO, CRT	05/07	HIGHBRIDGE CAP	041	UFS	В	15,000	61.46	n/a	n/a	450.00	3.0

TOTAL (RUN DATE) / NFS:	107,966.01
TOTAL (AO, CXL, CRT):	2,900.00
TOTAL (Trade Date):	105,066.01

MRI Securities with Trade Activity on 11-May.

MRI Securities without Trade Activity on 11-May. NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 5%

YTD = 9%

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)