



Daily Compliance Activity Report

Trade Date: 03/25/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IMPALA ASSET MMT	012	ABX	B	100,000	43.50	n/a	n/a	3,000.00	3.0
IMPALA ASSET MMT	012	AEM	SS	170,000	69.44	n/a	n/a	5,100.00	3.0
MERRILL ASSET	032	AEO	S	10,400	18.39	6-Mar	13-Mar	468.00	4.5
CENTURION GLOBAL MGMT LL	041	AMLN	S	19,200	28.41	n/a	n/a	576.00	3.0
BUCK CAPITAL MGMT	001	ANF	S	4,100	79.81	10-Jan	10-Mar	164.00	4.0
EMP ERROR	099	ANPC	B	45	0.45	n/a	n/a	0.00	0.0
EMP ERROR	099	ANPC	S	45	0.40	n/a	n/a	0.00	0.0
RAINIER INV MGMT	035	ANW	S	45,250	34.57	n/a	n/a	2,262.50	5.0
COUGAR TRADING	044	AN	SS	5,000	16.05	n/a	8-Feb	250.00	5.0
FIRST NY SEC/CHRIS	041	AN	SS	25,000	15.94	n/a	8-Feb	1,250.00	5.0
LEDDY	035	AN	SS	900	16.08	n/a	8-Feb	0.00	0.0
&CRO	045	AN	SS	3,000	16.02	n/a	8-Feb	150.00	5.0
LEDDY	035	ARGN	B	500	15.80	n/a	25-Feb	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	25,000	28.04	29-Nov	14-Mar	1,250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	ARO	S	25,200	28.28	29-Nov	14-Mar	945.00	3.8
MILLENIUM PARTNERS	028	BARE	B	15,000	24.67	n/a	n/a	750.00	5.0
HOOVER INV. MGMT	033	BDC	B	47,500	36.88	n/a	n/a	2,375.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IMPALA ASSET MMT	012	BG	B	96,309	96.91	n/a	n/a	2,889.27	3.0
LEDDY	035	BIG	SS	500	23.23	6-Mar	10-Mar	0.00	0.0
IMPALA ASSET MMT	012	BTU	B	250,000	50.59	n/a	n/a	7,500.00	3.0
GAULT	063	CALPINE CORP	S	60,000	11.25	n/a	n/a	0.00	0.0
BECK MACK & OLIVER LLC	045	CBT	S	14,900	28.75	n/a	30-Jan	745.00	5.0
MERRILL ASSET	032	CFR	S	8,500	55.32	n/a	n/a	425.00	5.0
BUCK CAPITAL MGMT	001	COH	SS	50,000	32.85	24-Oct	14-Mar	2,000.00	4.0
COUGAR TRADING	044	COH	B	5,000	31.40	24-Oct	14-Mar	250.00	5.0
PAW PARTNERS	041	COH	B	6,000	32.15	24-Oct	14-Mar	300.00	5.0
SOCIETY GENERALE	040	COH	S	1,700	33.00	24-Oct	14-Mar	68.00	4.0
FIRST NY SEC/CHRIS	041	CY	S	10,000	22.57	n/a	n/a	600.00	6.0
TROUBH PART	067	DGX	S	9,000	45.38	n/a	n/a	450.00	5.0
KENNEDY CAPITAL	046	DOV	B	49,040	42.66	n/a	n/a	1,961.60	4.0
NOMURA CAPITAL	045	FEMO	S	4,000	19.98	n/a	n/a	200.00	5.0
BUCK	015	FRE	C	1,500	29.31	n/a	n/a	0.00	0.0
ENDEAVOUR CAPITAL	035	F	B	70,400	5.91	18-Mar	20-Mar	3,520.00	5.0
PHILADELPHIA FINANCIAL	033	GBL	B	10,068	55.00	n/a	24-Mar	503.40	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	GES	B	140,000	40.15	n/a	n/a	5,600.00	4.0
PAW PARTNERS	041	GES	SS	6,000	41.05	n/a	n/a	300.00	5.0
1798 CAPITAL MGMT	040	GME	SS	10,000	52.99	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	GMO	B	130,000	8.11	n/a	n/a	5,200.00	4.0
IMPALA ASSET MMT	012	GMT	B	250,300	41.11	n/a	n/a	7,509.00	3.0
HEALY CIRCLE	044	GM	SS	10,000	20.31	3-Jan	20-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	GPS	SS	100,000	20.64	n/a	7-Mar	4,000.00	4.0
TROUBH PART	067	GTLT	S	25,000	0.39	n/a	n/a	500.00	2.0
NOMURA CAPITAL	045	HAYZ	S	6,500	2.98	n/a	n/a	195.00	3.0
SYSTEMATIC FINANCIAL MGT	035	HBI	B	30,100	29.79	n/a	n/a	1,128.75	3.8
1798 CAPITAL MGMT	040	HIBB	SS	10,000	16.58	n/a	n/a	500.00	5.0
NORTHPOINT	030	HTGC	S	14,580	11.46	n/a	n/a	583.20	4.0
AMATURO	018	HYP	B	10,000	2.88	n/a	n/a	0.00	0.0
ZOLIDIS	011	ICON	B	500	18.75	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	IVZ	B	55,800	24.82	10-Mar	24-Mar	2,790.00	5.0
KACELA CAPITAL	034	IYM	B	15,000	75.07	n/a	n/a	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEDDY	035	JADE	B	4,200	2.47	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCG	B	5,000	45.00	28-Nov	12-Mar	250.00	5.0
FORD FDN.	032	JNJ	S	38,000	65.03	n/a	22-Apr	1,900.00	5.0
MCCARTAN	028	JWN	SS	200	36.43	26-Feb	10-Mar	0.00	0.0
OGORMAN	046	JWN	SS	300	36.40	26-Feb	10-Mar	0.00	0.0
AVESTA CAP	229	KR	B	100,000	25.51	n/a	n/a	5,000.00	5.0
BEAR STEARNS ASSET MGMT.	033	KSS	C	50,000	46.17	4-Jan	7-Mar	2,000.00	4.0
COLONIAL FUND LLC	036	KSS	SS	35,000	46.08	4-Jan	7-Mar	1,750.00	5.0
WELLS/STRONG LEDDY	035	MFB	S	3,700	15.61	n/a	n/a	148.00	4.0
FORD FDN.	032	MMM	S	40,000	78.95	n/a	24-Mar	2,000.00	5.0
EMP ERROR	099	MOSDA	B	2	5.30	n/a	n/a	0.00	0.0
EMP ERROR	099	MOSDA	S	2	5.00	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	MOS	S	155,000	97.58	n/a	n/a	6,200.00	4.0
EMP ERROR	099	MOS	B	200	97.99	n/a	n/a	0.00	0.0
EMP ERROR	099	MOS	S	200	98.24	n/a	n/a	0.00	0.0
FIRST NY SEC/CHRIS	041	MOT	S	10,000	9.78	n/a	n/a	600.00	6.0
BUCK	015	MSFT	SS	1,000	29.02	n/a	3-Apr	0.00	0.0
SYSTEMATIC FINANCIAL MGT	035	NKE	S	68,300	69.04	n/a	n/a	2,561.25	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IMPALA ASSET MMT	012	NWA	B	113,383	10.14	n/a	n/a	3,401.49	3.0
BUCK CAPITAL MGMT	001	OXM	SS	20,000	23.34	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	PAG	S	40,000	19.82	6-Feb	20-Mar	1,600.00	4.0
COUGAR TRADING	044	PAG	SS	5,000	19.78	6-Feb	20-Mar	250.00	5.0
1798 CAPITAL MGMT	040	PETM	SS	5,000	21.09	n/a	n/a	250.00	5.0
IMPALA ASSET MMT	012	PII	B	75,000	42.12	n/a	n/a	2,250.00	3.0
COLUMBIA PARTNERS	028	PIR	C	68,663	6.63	n/a	n/a	2,059.89	3.0
NUMERIC	060	PNM	S	103,600	12.90	n/a	n/a	3,108.00	3.0
IMPALA ASSET MMT	012	PNR	B	50,000	29.09	n/a	n/a	1,500.00	3.0
BUCK CAPITAL MGMT	001	PVH	B	10,000	36.00	13-Mar	13-Mar	400.00	4.0
MS PRINCIPAL STRATEGIES	041	QMAR	S	83,688	22.08	n/a	n/a	3,347.52	4.0
PAKLER	029	QSYGC	B	14	1.30	n/a	n/a	0.00	0.0
ENTRUST CAPITAL	040	SHW	B	117,300	51.92	n/a	24-Mar	5,865.00	5.0
TRUSTCO/SUNTRUST	040	SSI	S	21,100	17.75	4-Jan	12-Mar	633.00	3.0
LISTED ERR	099	SSI	B	21,100	17.75	4-Jan	12-Mar	0.00	0.0
LISTED ERR	099	SSI	S	21,100	17.71	4-Jan	12-Mar	0.00	0.0
OGORMAN	046	SZK	B	300	68.24	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NOMURA CAPITAL	045	THMD	S	700	10.00	n/a	n/a	28.00	4.0
SIGMA CAPITAL ASSOCIATES	237	TJX	SS	25,000	34.15	n/a	10-Mar	1,250.00	5.0
BUCK CAPITAL MGMT	001	TPX	S	75,000	12.13	n/a	n/a	3,000.00	4.0
HIGHLINE ADV	044	TSN	S	50,000	16.76	n/a	n/a	2,500.00	5.0
ZOLIDIS	011	TUES	C	1,500	5.51	n/a	n/a	0.00	0.0
MCCARTAN	028	VC	B	500	4.03	n/a	15-Feb	0.00	0.0
MERRILL ASSET	032	WBS	S	16,900	30.03	n/a	n/a	760.50	4.5
PRINCIPLE CAPITAL	040	WCRX	SS	15,000	17.50	n/a	25-Mar	450.00	3.0
PRINCIPLE CAPITAL	040	WFC	SS	308,800	32.02	n/a	n/a	9,264.00	3.0
N STRATTON	014	WMAR	C	300	8.53	n/a	n/a	0.00	0.0
BENCHMARK	028	WSM	SS	13,200	25.44	n/a	n/a	660.00	5.0
LISTED ERR	099	WSM	B	1,800	25.40	n/a	n/a	0.00	0.0
LISTED ERR	099	WSM	SS	1,800	25.40	n/a	n/a	0.00	0.0
COLUMBUS CIRCLE	036	XCO	B	12,700	17.73	n/a	n/a	508.00	4.0
PECONIC PARTNERS	036	ZLC	C	94,400	22.16	n/a	n/a	4,720.00	5.0
ZOLIDIS	011	ZUMZ	SS	500	18.51	n/a	n/a	0.00	0.0

TOTAL (Trade Date):

140,873.37

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AO,CXL	03/24	HIGHLINE ADV (HIGLMS)	044	ADM	B	33,600	41.14	2-Jan	24-Mar	2.06	0.0
AO,CRT	03/24	HIGHLINE ADV (HIGLMS)	044	ADM	B	33,600	41.14	2-Jan	24-Mar	1680.00	5.0
CRT	03/25	EMP ERROR (EMP ERROR)	099	ANPC	B	45	0.45	n/a	n/a	0.00	0.0
CRT	03/25	EMP ERROR (EMP ERROR)	099	ANPC	S	45	0.40	n/a	n/a	0.00	0.0
AO,CXL	03/14	LISTED ERR (LISTED ERR)	099	BSC	SS	10,000	51.77	17-Mar	17-Mar	0.00	0.0
AO,CRT	03/14	LISTED ERR (LISTED ERR)	099	BSC	SS	10,000	51.77	17-Mar	17-Mar	0.00	0.0
CRT	03/25	BECK MACK & OLIVER LLC (BMOL6284)	045	CBT	S	200	28.75	n/a	30-Jan	10.00	5.0
CRT	03/25	BECK MACK & OLIVER LLC (BMOL6258)	045	CBT	S	4,000	28.75	n/a	30-Jan	200.00	5.0
CRT	03/25	BECK MACK & OLIVER LLC (BMOL28)	045	CBT	S	10,700	28.75	n/a	30-Jan	535.00	5.0
CRT	03/25	TROUBH PART (TROUGOLD)	067	DGX	S	9,000	45.38	n/a	n/a	450.00	5.0
AO,	02/15	OTC ERROR (OTC ERROR)	099	FOSL	B	18,000	34.29	13-Nov	10-Mar	0.00	0.0
AO,	02/15	OTC ERROR (OTC ERROR)	099	FOSL	S	18,000	34.09	13-Nov	10-Mar	0.00	0.0
AO,CXL	02/15	TOKIO MARINE ASSET MGMT (TOKITMA UM)	045	FOSL	B	18,000	34.29	13-Nov	10-Mar	900.00	5.0
AO,CRT	02/15	TOKIO MARINE ASSET MGMT (TOKITMA UM)	045	FOSL	B	18,000	34.09	13-Nov	10-Mar	900.00	5.0
AO,CRT	03/20	BUCK CAPITAL MGMT (BUCKGILGX)	001	GES	B	100	34.61	n/a	n/a	4.00	4.0
AO,CXL	03/20	BUCK CAPITAL MGMT (BUCKGIL)	001	GES	B	100	34.61	n/a	n/a	4.00	4.0
CRT	03/25	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	GES	B	139,900	40.15	n/a	n/a	5596.00	4.0
AO,CXL	03/24	NOMURA CAPITAL (NOMUBPD)	045	HAYZ	S	10,000	3.00	n/a	n/a	500.00	5.0
AO,CRT	03/24	NOMURA CAPITAL (NOMUBPD)	045	HAYZ	S	10,000	3.00	n/a	n/a	300.00	3.0
AO,	03/24	MKT MAKER (MKT MAKER)	099	LVLIT	S	100	2.04	24-Oct	4-Jan	0.00	0.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRT	03/25	EMP ERROR (EMP ERROR)	099	MOS	B	200	97.99	n/a	n/a	0.00	0.0
CRT	03/25	EMP ERROR (EMP ERROR)	099	MOS	S	94	98.24	n/a	n/a	0.00	0.0
CRT	03/25	EMP ERROR (EMP ERROR)	099	MOS	S	6	98.23	n/a	n/a	0.00	0.0
CRT	03/25	EMP ERROR (EMP ERROR)	099	MOS	S	100	98.23	n/a	n/a	0.00	0.0
CRT	03/25	EMP ERROR (EMP ERROR)	099	MOSDA	B	2	5.30	n/a	n/a	0.00	0.0
CRT	03/25	EMP ERROR (EMP ERROR)	099	MOSDA	S	2	5.00	n/a	n/a	0.00	0.0
AO,	03/24	MS PRINCIPAL STRATEGIES (MSPSMS)	041	QMAR	S	50,000	21.78	n/a	n/a	2500.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPINWW0442)	030	T	B	700	38.19	n/a	4-Jan	35.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN4389)	030	T	B	500	38.19	n/a	4-Jan	25.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN4386)	030	T	B	600	38.19	n/a	4-Jan	30.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN4385)	030	T	B	700	38.19	n/a	4-Jan	35.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN4383)	030	T	B	1,200	38.19	n/a	4-Jan	60.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN4378)	030	T	B	2,800	38.19	n/a	4-Jan	140.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN2793)	030	T	B	200	38.19	n/a	4-Jan	10.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPINWW0442)	030	VAL	B	400	20.08	n/a	n/a	20.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN4385)	030	VAL	B	300	20.08	n/a	n/a	15.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN4383)	030	VAL	B	300	20.08	n/a	n/a	15.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN4378)	030	VAL	B	500	20.08	n/a	n/a	25.00	5.0
AO,	03/24	WHITE PINE CAPITAL (WPIN2793)	030	VAL	B	200	20.08	n/a	n/a	10.00	5.0
TOTAL (AO, CXL, CRT) :									11,188.94		

TOTAL (Trade Date):	140,873.37
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TOTAL (AO, CXL, CRT):	11,188.94
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TOTAL (RUN DATE) / NFS:	152,062.31
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION