



RR Commissions Summary [Ian Miller]

Trade Date: 07/23/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BTIG/KDI ADV	025	0	0	0	0	0	0	25,000	0	0	25,000	50,000	50
CHARTERBRIDGE CAPITAL	025	0	0	0	10,448	0	0	0	0	0	10,448	43,000	24
CONIFER	025	0	0	0	0	0	0	0	0	0	0	6,928	0
CONIFER/ISF MANAGEMENT	025	0	0	0	0	3,000	3,000	7,000	3,000	3,000	7,000	13,840	51
CYRUS CAPITAL	025	0	3,090	3,090	86,738	0	0	0	3,090	3,090	86,738	36,516	238
EMINENCE CAPITAL	025	0	0	0	0	0	0	25,000	0	0	25,000	80,000	31
FRANKLIN ADVISORY SERVIC	025	0	0	0	0	0	0	10,025	0	0	10,025	20,000	50
G WEISS /IAN	025	0	0	0	0	0	0	0	0	0	0	5,040	0
GABELLI FUNDS	025	0	998	998	9,786	0	0	0	998	998	9,786	0	0
HOPRITE MGMT	025	0	0	0	26,009	0	0	0	0	0	26,009	59,717	44
KOLVEN CAPITAL	025	0	0	0	291	0	0	0	0	0	291	30	970
MASTERS CAPITAL MANAGE	025	0	6,000	6,000	40,897	0	0	0	6,000	6,000	40,897	48,495	84
NEWLAND CAPITAL MGT	025	0	5,088	5,088	18,080	0	0	0	5,088	5,088	18,080	68,617	26
PALISADE CAPITAL MANAGEMENT LLC	025	0	0	0	16,942	0	0	0	0	0	16,942	0	0
PATTEN & PATTEN	025	3,909	30,897	30,897	36,385	0	0	0	30,897	30,897	36,385	56,434	64
PAW PARTNERS	025	0	0	0	2,500	0	0	0	0	0	2,500	2,875	87
RIVERPARK CAPITAL	025	0	0	0	7,131	0	0	0	0	0	7,131	9,371	76
SAGE ASSET MGMT	025	0	2,350	2,350	21,345	0	0	0	2,350	2,350	21,345	31,923	67
SCOPIA CAPITAL	025	0	0	0	12,065	0	0	0	0	0	12,065	0	0
SEVEN LOCKS CAPITAL	025	0	1,170	1,170	5,170	0	0	0	1,170	1,170	5,170	11,607	45
SHIKIAR ASSET	025	0	0	0	0	0	0	0	0	0	0	3,100	0
SONICA CAPITAL LLC	025	0	0	0	5,115	0	0	0	0	0	5,115	4,539	113
SOUTH SHORE CAPITAL	025	0	0	0	0	0	0	500	0	0	500	850	59
TOTALS:		3,909	49,593	49,593	298,901	3,000	3,000	67,525	52,593	52,593	366,426		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	07/23	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
BASSWOOD PARTNERS	267	1,560	4,080	4,080	75,720	0	0	0	4,080	4,080	75,720	103,730	0
FIR TREE CAPITAL	270	0	0	0	0	0	0	9,000	0	0	9,000	6,500	138
GEORGE WEISS	278	0	1,890	1,890	26,583	20,000	20,000	80,000	21,890	21,890	106,583	0	0
TOTALS:		1,560	5,970	5,970	102,303	20,000	20,000	89,000	25,970	25,970	191,303		
