



Daily Compliance Activity Report

Trade Date: 05/23/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BARR	096	ACF	C	550	25.55	n/a	n/a	0.00	0.0
FARALLON CAP MGMT	040	AHM	S	45,000	22.27	n/a	n/a	1,800.00	4.0
BUCK CAPITAL MGMT	001	ANF	SS	110,000	80.82	n/a	17-May	4,400.00	4.0
COUGAR TRADING	044	ANN	B	10,000	36.45	n/a	21-May	500.00	5.0
BINDER	107	ASH	B	100	60.12	25-Apr	25-Apr	0.00	0.0
MORGAN LEWIS/MLH	046	BBY	B	10,000	48.02	1-May	11-May	500.00	5.0
BULL PATH CAPITAL	044	BJS	SS	45,000	30.36	n/a	n/a	2,250.00	5.0
ADAGE CAPITAL MGMT	030	BJ	S	85,400	37.29	10-Apr	23-May	3,416.00	4.0
WHITE PINE CAPITAL	030	BONT	S	200	52.33	9-Mar	21-May	10.00	5.0
ZOLIDIS	011	BWS	B	400	27.71	n/a	n/a	0.00	0.0
ARIENCE CAPITAL	036	BYD	B	12,290	49.73	21-Feb	27-Apr	614.50	5.0
CASTLEROCK	040	CFC	S	78,200	40.96	n/a	n/a	3,128.00	4.0
SULLIVAN	042	CHBD	S	9,900	0.87	n/a	n/a	0.00	0.0
GENERAL MOTORS INV CO	040	CMGI	B	41,600	2.44	n/a	n/a	1,248.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LISTED ERR	099	CMI	B	300	87.72	n/a	n/a	0.00	0.0
MAZAMA CAPITAL MGMT.	035	CNS	S	600	52.70	n/a	n/a	24.00	4.0
LISTED ERR	099	COH	S	1,200	49.45	23-Feb	25-Apr	0.00	0.0
IVORY CAPITAL	034	CREE	B	305,492	21.91	n/a	n/a	15,274.60	5.0
BACKUS	005	CSCT	B	6,000	13.25	n/a	n/a	0.00	0.0
SUN AMERICA ASSET MGMT	030	CVS	S	45,700	37.90	n/a	n/a	2,285.00	5.0
SAC TRADING HOWARD	038	DBRN	B	20,000	22.06	n/a	n/a	1,000.00	5.0
TRELLUS PARTNERS LP	028	DGX	S	20,000	48.62	n/a	n/a	1,000.00	5.0
FARALLON CAP MGMT	040	DOX	S	25,000	38.00	n/a	n/a	1,000.00	4.0
WHITE PINE CAPITAL	030	DSGX	S	500	4.53	n/a	n/a	20.00	4.0
BUCK CAPITAL MGMT	001	DSW	B	5,000	38.77	7-May	11-May	200.00	4.0
HIGHBRIDGE CAPITAL	038	DV	B	41,500	34.38	n/a	n/a	2,075.00	5.0
WHITE PINE CAPITAL	030	DXCM	S	2,050	7.12	n/a	n/a	102.50	5.0
APEX CAPITAL MGMT.	091	EBAY	B	200,000	33.45	n/a	n/a	10,000.00	5.0
ROBX	033	ESV	S	6,000	59.88	n/a	n/a	240.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PHILADELPHIA FINANCIAL	033	EV	B	75,000	41.95	23-May	23-May	3,750.00	5.0
Õ Glenn Redemann		FFIV	B	7	0.3600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FFIV	B	7	0.4700	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FFIV	S	7	1.0800	n/a	n/a	0.00	0.0
Õ Glenn Redemann		FFIV	S	7	1.2000	n/a	n/a	0.00	0.0
HIGHBRIDGE CAPITAL	038	FRP	SS	8,300	18.05	n/a	n/a	415.00	5.0
BUCK CAPITAL MGMT	001	GCO	S	6,400	52.01	n/a	10-Jan	256.00	4.0
AVESTA CAP	229	GE	S	100,000	37.69	n/a	n/a	5,000.00	5.0
MILLENIUM PARTNERS	028	GE	SS	20,000	37.66	n/a	n/a	1,000.00	5.0
WHITE PINE CAPITAL	030	GLBL	S	350	24.13	n/a	n/a	17.50	5.0
PRINCIPLE CAPITAL	040	GPS	S	75,000	18.43	n/a	18-May	2,250.00	3.0
BALYASNY ASSET MGMT	044	GT	B	20,000	34.64	n/a	n/a	1,000.00	5.0
SLS CAPITAL LLC	224	HLF	B	138,000	40.06	n/a	n/a	6,900.00	5.0
WHITE PINE CAPITAL	030	HRL	S	350	39.80	n/a	n/a	17.50	5.0
MILLENIUM PARTNERS	028	HSC	B	5,000	52.13	23-Apr	23-Apr	250.00	5.0
GRONET	030	ICON	S	1,000	21.24	n/a	n/a	0.00	0.0
TRIBECA/DOUG	040	IFF	B	15,000	51.68	3-May	3-May	600.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	IO	S	350	15.07	n/a	n/a	17.50	5.0
WHITE PINE CAPITAL	030	ISYS	S	400	25.36	n/a	n/a	20.00	5.0
PORTER-ORLIN-FELDMAN	044	IWM	B	150,000	84.05	n/a	n/a	7,500.00	5.0
COUGAR TRADING	044	JCG	S	5,000	42.11	14-Mar	22-May	250.00	5.0
BUCK CAPITAL MGMT	001	JCP	B	40,000	79.69	20-Apr	17-May	1,600.00	4.0
AAD CAPITAL	048	JNS	SS	80,000	28.10	26-Apr	23-May	3,200.00	4.0
MASON CAPITAL	028	JNY	B	400,000	30.09	n/a	3-May	16,000.00	4.0
SIGMA CAPITAL ASSOCIATES	044	KSS	B	75,000	74.44	n/a	18-May	3,750.00	5.0
RAMIUS CAP	040	LTD	S	50,000	26.60	16-May	16-May	2,500.00	5.0
MORGAN BANK /JPM	012	MMM	SS	62,400	87.71	2-May	2-May	1,872.00	3.0
BONANZA PARTNERS	031	MOVI	S	225,000	2.72	n/a	n/a	4,500.00	2.0
Õ Glenn Redemann		MSCC	B	5	0.4200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MSCC	B	5	0.4300	n/a	n/a	0.00	0.0
ROBX	033	NEXC	B	70,000	12.13	n/a	n/a	2,100.00	3.0
GRUSS CAPITAL	040	NLS	B	30,000	13.09	n/a	n/a	1,200.00	4.0
GALLEON MGMT LP	033	NVS	S	60,000	55.95	n/a	n/a	3,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRONET	030	OPY	S	300	42.88	n/a	n/a	0.00	0.0
BARR	096	ORB	B	500	20.66	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	035	PKG	B	60,000	25.54	n/a	18-Apr	3,000.00	5.0
S.A.C. CAPITAL MGMT.	044	PKG	S	25,000	25.55	n/a	18-Apr	1.28	0.0
BUCK CAPITAL MGMT	001	PSS	B	100,000	34.71	n/a	n/a	4,000.00	4.0
THE JAY GOLDMAN	034	PSS	SS	20,000	34.80	n/a	n/a	800.00	4.0
COUGAR TRADING	044	PSUN	SS	10,000	19.68	n/a	22-May	500.00	5.0
LIGHTHOUSE FIN/QUAD	046	QQQQ	S	5,000	47.30	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	61,400	94.27	2-Feb	13-Apr	2,456.00	4.0
BUCK CAPITAL MGMT	001	RL	S	50,000	95.79	2-Feb	13-Apr	2,000.00	4.0
FIRST PACIFIC ADV	048	RSH	S	66,500	32.20	n/a	n/a	3,325.00	5.0
CROSS CAPITAL	028	RTH	SS	26,500	104.12	n/a	n/a	1,325.00	5.0
COUGAR TRADING	044	SKX	B	10,000	32.97	n/a	13-Mar	500.00	5.0
ZOLIDIS	011	SKX	B	150	33.41	n/a	13-Mar	0.00	0.0
KAICHER	064	SNCR	S	1,000	23.81	n/a	n/a	0.00	0.0
BACKUS	005	SNEN	B	10,000	2.68	n/a	n/a	0.00	0.0
COLONIAL FUND LLC	036	SSI	B	25,000	21.27	11-May	21-May	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRIBECA/DOUG	040	SWY	B	25,000	34.74	n/a	n/a	1,000.00	4.0
WHITE PINE CAPITAL	030	TEG	S	200	57.60	n/a	n/a	10.00	5.0
GRONET	030	TEVA	S	200	40.52	2-May	22-May	0.00	0.0
S.A.C. CAPITAL MGMT.	044	TIN	S	10,000	62.29	26-Apr	26-Apr	500.00	5.0
SUTERA	160	TMM	B	2,500	2.90	n/a	n/a	0.00	0.0
DELTEC ASSET MGMT.	040	TWB	S	7,750	40.85	23-May	23-May	387.50	5.0
TRIBECA/DOUG	040	TWC	B	15,000	38.38	n/a	n/a	600.00	4.0
TRELLUS PARTNERS LP	028	VC	S	103,500	8.81	n/a	n/a	3,105.00	3.0
MORGAN STANLEY ASSET MGT	031	WEN	S	96,691	39.29	24-Apr	24-Apr	3,867.64	4.0
VAN KAMPEN ADV	031	WEN	S	60,133	39.29	24-Apr	24-Apr	2,405.32	4.0
TRIBECA/DOUG	040	WFMI	B	15,000	40.65	n/a	n/a	600.00	4.0
FARALLON CAP MGMT	040	WLP	SS	82,927	84.66	n/a	n/a	3,317.08	4.0
BARR	096	WM	C	650	43.85	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ZQK	S	5,000	13.48	9-Mar	17-Apr	250.00	5.0
MORRISON & FRASIER	040	ZQK	S	208,500	13.16	9-Mar	17-Apr	8,340.00	4.0

TOTAL (Trade Date):

163,842.92

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/22	LISTED ERR (LISTED ERR)	099	CMI	S	300	88.02	n/a	n/a	0.00 0.0
AO,	05/22	MILLENIUM PARTNERS (MILPAMINE)	028	LIZ	S	20,000	33.85	n/a	2-May	800.00 4.0
AO,CRT	05/18	SATELLITE ASSET MGMT (SATECITI)	012	MAS	B	25,000	29.57	n/a	n/a	1000.00 4.0
AO,CXL	05/18	SATELLITE ASSET MGMT (SATEUBS)	012	MAS	B	25,000	29.57	n/a	n/a	1250.00 5.0
AO,CXL	05/18	STANDARD PACIFIC CAPITAL (SPACMS-L-Z)	040	PSS	B	75,000	32.19	n/a	n/a	3000.00 4.0
AO,CRT	05/18	STANDARD PACIFIC CAPITAL (SPACMS-L-Z)	040	PSS	B	75,000	32.19	n/a	n/a	3750.00 5.0
AO,	05/22	PROSPECTOR PARTNERS LLC (PROSMONTRE)	036	SRP	S	900	19.51	n/a	n/a	45.00 5.0
TOTAL (AO, CXL, CRT) :										1,345.00

TOTAL (Trade Date) :	163,842.92
TOTAL (AO, CXL, CRT) :	1,345.00

TOTAL (RUN DATE) / NFS :	165,187.92
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION