



Daily Compliance Activity Report

Trade Date: 04/03/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		AAPL	B	15,000	114.71	n/a	n/a	non-brg	4.0
EPOCH INV MGMT	030	AAPL	S	9,650	115.05	n/a	n/a	386.00	4.0
BALYASNY ASSET MGMT	044	AAP	C	20,000	39.09	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT	001	AAP	SS	40,000	38.70	n/a	n/a	1,600.00	4.0
SIGMA CAPITAL ASSOCIATES	041	AAP	B	15,000	38.56	n/a	n/a	750.00	5.0
BALYASNY ASSET MGMT	044	AEO	C	45,000	13.18	n/a	3-Apr	2,250.00	5.0
⚡ BUCK CAPITAL MGMT		AEO	B	100,000	13.04	n/a	3-Apr	non-brg	1.0
⚡ BUCK CAPITAL MGMT		AEO	B	50,000	13.03	n/a	3-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		ANF	SS	40,000	26.63	n/a	6-Feb	non-brg	4.0
WASHINGTON ASSET	048	APOL	S	5,000	68.51	n/a	n/a	250.00	5.0
⚡ BUCK CAPITAL MGMT		APP	B	14,000	3.06	n/a	n/a	non-brg	2.0
⚡ BUCK CAPITAL MGMT		ARO	SS	50,000	28.15	n/a	3-Apr	non-brg	4.0
f ALANA J A MADIGAN-SI	FID	AXE	S	30	33.50	30-Mar	30-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	AZO	SS	40,000	156.04	n/a	n/a	1,600.00	4.0
⚡ BUCK CAPITAL MGMT		BBBY	SS	20,000	27.32	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	BBY	S	3000	39.65	n/a	27-Jul	0.00	0.0
⚡ BUCK CAPITAL MGMT		BEBE	B	24,700	7.50	n/a	n/a	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		BEBE	B	25,000	7.41	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT		BEBE	B	300	7.31	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		BEBE	B	50,000	7.46	n/a	n/a	non-brg	1.0
ALANA J A MADIGAN-SI	FID	BGZ	B	30	55.04	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BHQ+TX[BBBY]	SS	2,000	1.65	n/a	n/a	non-brg	300.0
SCOPUS FUND	041	BKI	S	5,242	2.34	n/a	n/a	262.10	5.0
SENATOR INVESTMENT GROUP	036	BTU	B	60,000	28.65	n/a	n/a	2,400.00	4.0
BUCK CAPITAL MGMT		CACH	B	20,000	3.28	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT		CBRL	C	10,000	31.47	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT		CCL	C	30,000	25.46	n/a	26-Jan	non-brg	4.0
NEWCASTLE FUNDS	033	CHD	S	30,000	53.67	n/a	20-Mar	1,200.00	4.0
BUCK CAPITAL MGMT	001	CHS	B	200,000	6.41	5-Dec	30-Jan	8,000.00	4.0
BUCK CAPITAL MGMT		CHS	B	100,000	6.49	5-Dec	30-Jan	non-brg	1.0
BUCK CAPITAL MGMT		CHS	B	200,000	6.32	5-Dec	30-Jan	non-brg	2.0
BUCK CAPITAL MGMT		CHS	B	200,000	6.45	5-Dec	30-Jan	non-brg	1.5
CADIAN CAPITAL	067	CHS	B	250,000	6.55	5-Dec	30-Jan	7,500.00	3.0
SCOPUS FUND	041	CLW	S	7,000	8.50	n/a	n/a	350.00	5.0
BUCK CAPITAL MGMT		CMG+QK[CMG]	SS	1,000	1.70	n/a	n/a	non-brg	300.0
MANNING & NAPIER	035	CMG	S	50	70.39	23-Oct	3-Apr	2.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAZAMA CAPITAL MGMT.	035	CMG	S	14,465	70.39	23-Oct	3-Apr	578.60	4.0
B BUCK CAPITAL MGMT		COH+ED [COH]	SS	1,000	0.83	n/a	n/a	non-brg	100.0
BALYASNY ASSET MGMT	044	COH	S	30,000	18.25	9-Jan	3-Apr	1,500.00	5.0
B BUCK CAPITAL MGMT		COH	S	200,000	18.16	9-Jan	3-Apr	non-brg	4.0
AVESTA CAP	229	COST	SS	25,000	48.54	n/a	27-Jul	1,000.00	4.0
MANNING & NAPIER	035	DECK	B	25	58.27	n/a	n/a	1.00	4.0
MAZAMA CAPITAL MGMT.	035	DECK	B	18,550	58.27	n/a	n/a	742.00	4.0
SIGMA CAPITAL ASSOCIATES	041	DELL	B	50,000	9.89	n/a	n/a	2,500.00	5.0
SANDLER O'NEILL ASSET	031	DFS	B	25,000	6.66	n/a	1-Apr	750.00	3.0
f JONATHAN RICHARD HAR	FID	DGP	B	263	19.25	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	DIS	S	5000	20.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DRI	B	10,000	35.93	19-Mar	19-Mar	400.00	4.0
SENVEST PART	045	DSW	SS	15,000	10.82	24-Mar	25-Mar	750.00	5.0
BUCK CAPITAL MGMT	001	EAT	B	10,000	16.29	n/a	24-Apr	400.00	4.0
AVESTA CAP	229	EMC	B	200,000	12.49	n/a	n/a	8,000.00	4.0
PECONIC PARTNERS	036	EMC	B	200,000	12.58	n/a	n/a	10,000.00	5.0
SCOPUS FUND	041	EMR	B	10,000	31.47	n/a	n/a	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WASHINGTON ASSET	048	ETN	S	10,000	41.60	30-Mar	30-Mar	500.00	5.0
f MANNY I KORMAN	FID	FAZ	B	3000	18.16	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FDO+QF [FDO]	SS	2,000	1.37	n/a	n/a	non-brg	300.0
f JOHN E ROBERTS	FID	FDRXX	B	70390	1.00	n/a	n/a	0.00	0.0
f PEARL LUCHANSKY	FID	FDRXX	S	60195	1.00	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GES	B	20,000	22.98	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		GIII	S	13,974	6.42	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	GIL	S	200,000	9.27	11-Dec	12-Feb	6,000.00	3.0
JP MORGAN VENTURES	046	GPS	B	20,000	14.84	n/a	30-Jan	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	041	GPS	S	300,000	15.04	n/a	30-Jan	15,000.00	5.0
THE BOSTON COMPANY	401	GPS	B	75,000	15.23	n/a	30-Jan	3,000.00	4.0
f ALEXANDER PRYLUCKI	FID	GS	B	35	114.06	n/a	23-Mar	0.00	0.0
WAGONHOUND/NIC	048	GYMB	B	6,973	22.32	5-Mar	3-Apr	278.92	4.0
BUCK CAPITAL MGMT	001	HBI	S	49,000	11.34	n/a	n/a	1,960.00	4.0
B BUCK CAPITAL MGMT		HBI	S	5,900	11.41	n/a	n/a	non-brg	4.0
f MANNY I KORMAN	FID	HD	S	3000	24.64	n/a	25-Feb	0.00	0.0
MAZAMA CAPITAL MGMT.	035	HOLX	B	87,575	13.54	n/a	n/a	3,503.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		IFF	SS	50,000	32.08	21-Jan	3-Apr	non-brg	2.5
SCOPUS FUND	041	ITW	B	11,900	32.23	30-Jan	17-Feb	595.00	5.0
BUCK CAPITAL MGMT	001	JCP	S	200,000	22.29	30-Mar	3-Apr	8,000.00	4.0
DECADE CAPITAL PARTNERS	040	JNY	B	50,000	5.33	n/a	11-Feb	1,500.00	3.0
f ALANA J A MADIGAN-SI	FID	KEG	S	250	3.12	14-Jan	3-Apr	0.00	0.0
MFP INVESTORS	032	KIM	S	72,300	8.49	n/a	n/a	2,892.00	4.0
BUCK CAPITAL MGMT	001	KMX	SS	70,000	12.29	n/a	10-Jan	2,800.00	4.0
NEWCASTLE FUNDS	033	KSS	SS	45,300	45.03	30-Mar	3-Apr	1,812.00	4.0
KEEFE BRUYETTE WOODS A	045	LAZ	SS	3,500	30.02	1-Apr	1-Apr	175.00	5.0
BUCK CAPITAL MGMT	001	LTD	B	122,600	10.06	8-Jan	30-Jan	3,678.00	3.0
B BUCK CAPITAL MGMT		LTD	B	100,000	9.94	8-Jan	30-Jan	non-brg	2.5
B BUCK CAPITAL MGMT		LTD	B	77,400	9.91	8-Jan	30-Jan	non-brg	2.0
BUCK CAPITAL MGMT	001	MAR	SS	30,000	18.72	n/a	n/a	1,200.00	4.0
FORD FDN.	032	MDT	S	40,000	29.71	n/a	22-Apr	2,000.00	5.0
f BARBARA S LEDDY	FID	MGA	S	500	29.91	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	MGA	S	1000	31.69	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	MHK	S	3000	32.87	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	MON	S	12,200	78.53	n/a	2-Apr	488.00	4.0
AELTUS/ING INVESTMENT MGT	215	MO	B	94,400	16.09	n/a	n/a	3,304.00	3.5
ß BUCK CAPITAL MGMT		MPEL	B	100,000	4.41	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MPEL	B	160,000	4.54	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		MPEL	B	162,800	4.49	n/a	n/a	non-brg	2.0
ß BUCK CAPITAL MGMT		MPEL	B	2,200	4.38	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		MPEL	B	25,000	4.49	n/a	n/a	non-brg	1.0
f ALEXANDER PRYLUCKI	FID	MS	S	100	22.52	18-Dec	23-Mar	0.00	0.0
BUCK CAPITAL MGMT	001	M	S	50,000	10.27	n/a	3-Apr	2,000.00	4.0
ß BUCK CAPITAL MGMT		NKE	B	30,000	51.73	n/a	n/a	non-brg	4.0
DARUMA ASSET MGMT INC	034	NP	S	7,000	3.56	13-Mar	13-Mar	350.00	5.0
FORD FDN.	032	NVS	S	30,000	37.51	n/a	n/a	1,500.00	5.0
BULL PATH CAPITAL	044	OCR	S	14,950	24.95	27-Feb	27-Feb	747.50	5.0
ß BUCK CAPITAL MGMT		ORLY	SS	40,000	35.45	n/a	n/a	non-brg	2.5
TRELLUS PARTNERS LP	028	PCAR	SS	20,000	29.38	25-Mar	25-Mar	1,000.00	5.0
LISTED ERR	099	PCAR	B	50	29.91	25-Mar	25-Mar	0.00	0.0
SACC-SCHWARTZ	044	PKG	S	150,000	13.70	5-Dec	20-Mar	7,500.00	5.0
JP MORGAN VENTURES	046	PLCE	SS	25,000	24.75	19-Mar	3-Apr	1,250.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ROARING BLUE LION MGMT	012	PLCE	S	30,285	24.56	19-Mar	3-Apr	1,211.40	4.0
THIRD COAST CAPITAL	035	PLCE	SS	9,000	24.61	19-Mar	3-Apr	450.00	5.0
GLOBAL 56 LLC	060	PRGN	B	5,000	3.49	n/a	n/a	200.00	4.0
SIGMA CAPITAL ASSOCIATES	041	PVH	S	25,000	24.46	27-Mar	27-Mar	1,250.00	5.0
B BUCK CAPITAL MGMT		RCL	S	60,000	10.02	26-Mar	26-Mar	non-brg	4.0
NWQ INVESTMENT MGMT CO	048	RDEN	B	39,100	6.58	12-Feb	12-Feb	1,173.00	3.0
BALYASNY ASSET MGMT	044	ROST	S	25,000	36.81	n/a	n/a	1,250.00	5.0
SIGMA CAPITAL ASSOCIATES	041	ROST	S	50,000	36.62	n/a	n/a	2,500.00	5.0
f WILLIAM J GAULT	FID	SKF	B	1000	82.11	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	SLH	S	43,791	25.50	n/a	n/a	1,970.68	4.5
DAVIDSON KEMPNER ADVISOR	040	SLM	B	25,000	5.25	27-Feb	1-Apr	1,250.00	5.0
f JOHN BARTMAN COLE	FID	SSO	S	100	21.89	n/a	n/a	0.00	0.0
f JOHN CAREY MAGUIRE	FID	SWN	B	200	32.22	27-Feb	3-Apr	0.00	0.0
SIGMA CAPITAL ASSOCIATES	041	SY	SS	25,000	22.90	n/a	24-Apr	1,250.00	5.0
ROTHSCHILD ASSET	040	SY	S	14,570	31.68	n/a	n/a	655.65	4.5
BALYASNY ASSET MGMT	044	TGT	S	20,000	35.99	25-Feb	20-Mar	1,000.00	5.0
f JOHN MICHAEL ZOLIDIS	FID	TIF	S	500	23.09	n/a	30-Jan	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SCOPUS FUND	041	TIN	S	112,500	5.69	5-Dec	20-Mar	5,625.00	5.0
f ANDREW SINCLAIR	FID	UPLQV	B	90	0.60	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		URBN	B	10,000	16.66	n/a	30-Jan	non-brg	1.0
B BUCK CAPITAL MGMT		URBN	B	70,000	16.42	n/a	30-Jan	non-brg	4.0
MAIRS AND POWER INV	030	WFC	B	20,000	15.17	n/a	n/a	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	041	WMT	S	25,000	53.42	10-Oct	6-Mar	1,250.00	5.0
B BUCK CAPITAL MGMT		ZLC	C	159,109	3.53	n/a	n/a	non-brg	2.0
f RAGHAV NAYAR	FID	ZLC	B	300	3.25	n/a	n/a	0.00	0.0
f RAGHAV NAYAR	FID	ZLC	S	300	3.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ZQK	S	2,422	1.35	12-Mar	12-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		ZQK	S	28,696	1.33	12-Mar	12-Mar	non-brg	2.0
B BUCK CAPITAL MGMT		ZQK	S	75,000	1.30	12-Mar	12-Mar	non-brg	1.0
TOTAL (Trade Date):								151,540.85	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	04/02	HOLLIS CAPITAL	033	BWA	SS	7,000	24.10	12-Mar	19-Mar	350.00 5.0
AO,CXL	04/02	HOLLIS CAPITAL	033	BWA	SS	7,000	24.10	12-Mar	19-Mar	0.00 0.0
AO,	04/02	LISTED ERR	034	PCAR	S	50	27.84	25-Mar	25-Mar	1.50 3.0
AO,	04/02	MANNING & NAPIER	034	PCAR	S	446,639	27.84	25-Mar	25-Mar	13399.17 3.0
AO,CRT	04/02	BUCK CAPITAL MGMT	001	TGT	B	100,000	35.52	25-Feb	20-Mar	4000.00 4.0
AO,CXL	04/02	BUCK CAPITAL MGMT	001	TGT	B	100,000	35.52	25-Feb	20-Mar	4000.00 4.0
AO,CXL	03/31	MORGAN BANK /JPM	070	TGT	C	514	33.75	25-Feb	20-Mar	15.42 3.0
AO,CRT	03/31	MORGAN BANK /JPM	070	TGT	C	514	33.75	25-Feb	20-Mar	15.42 3.0
TOTAL (AO, CXL, CRT) :										13,750.67

TOTAL (Trade Date):	151,540.85
TOTAL (AO, CXL, CRT):	13,750.67

TOTAL (RUN DATE) / NFS:	165,291.52
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MRI Securities with Trade Activity on 3-Apr. NONE
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MRI Securities without Trade Activity on 3-Apr. NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 34%

YTD = 24%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)