



## Daily Compliance Activity Report

Trade Date: 05/30/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SATELITE/BOBBY	044	AAP	B	5,000	39.89	n/a	n/a	200.00	4.0
MATRIX ASSET ADV.	036	ADI	S	5,000	34.90	n/a	n/a	200.00	4.0
COUGAR TRADING	044	AEO	B	10,000	18.54	6-Mar	29-May	500.00	5.0
GEORGE WEISS	034	AMR	C	10,000	7.24	n/a	n/a	400.00	4.0
TRELLUS PARTNERS LP	028	ARGN	B	16,449	12.39	n/a	20-May	822.45	5.0
<b>LEDDY</b>	<b>035</b>	<b>ARGN</b>	<b>B</b>	<b>1,400</b>	<b>12.60</b>	<b>n/a</b>	<b>20-May</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	ARO	SS	5,000	34.83	28-Apr	23-May	250.00	5.0
SIGMA CAPITAL ASSOCIATES	237	ARO	SS	53,419	34.97	28-Apr	23-May	2,670.95	5.0
<b>KORMAN</b>	<b>003</b>	<b>BBBY</b>	<b>SS</b>	<b>2,000</b>	<b>31.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK</b>	<b>015</b>	<b>BYD</b>	<b>B</b>	<b>1,200</b>	<b>16.10</b>	<b>30-Apr</b>	<b>30-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>KAICHER</b>	<b>064</b>	<b>CBI</b>	<b>S</b>	<b>500</b>	<b>45.70</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SATELITE/BOBBY	044	CBRL	B	35,000	29.55	n/a	n/a	1,400.00	4.0
<b>LISTED ERR</b>	<b>099</b>	<b>CBRL</b>	<b>B</b>	<b>10,000</b>	<b>29.62</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>CBRL</b>	<b>S</b>	<b>10,000</b>	<b>29.58</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUFFOLK CAPITAL MGMT	040	CB	B	300,000	53.57	n/a	n/a	12,000.00	4.0
TROUBH PART	067	CHK	B	25,000	54.61	n/a	n/a	1,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROYCE TRADING	216	CMC	S	10,000	36.70	n/a	n/a	500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>COF</b>	<b>SS</b>	<b>1,000</b>	<b>48.03</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	COH	SS	5,000	36.20	4-Apr	23-Apr	250.00	5.0
GALLEON MGMT LP	033	COT	B	25,000	3.13	n/a	n/a	1,250.00	5.0
STG INVESTORS	041	CSCO	SS	250,000	26.80	n/a	22-Mar	10,000.00	4.0
ROYCE TRADING	216	CSH	B	10,000	35.94	n/a	n/a	500.00	5.0
SSI INVESTORS	048	CZZ	B	35,961	11.82	n/a	n/a	1,438.44	4.0
US BANCORP	030	C	B	230,842	22.13	16-Jan	15-May	11,542.10	5.0
VISIUM/BALY HOWARD	038	DBRN	B	50,000	15.42	n/a	n/a	2,500.00	5.0
GLG PARTNERS	034	DHI	B	66,548	12.81	n/a	n/a	3,327.40	5.0
SATELITE/BOBBY	044	DRI	B	5,000	33.98	n/a	15-Apr	200.00	4.0
AAD CAPITAL	048	DSW	S	36,315	14.61	8-May	30-May	1,089.45	3.0
FIRST NY SEC/CHRIS	041	EK	B	10,000	15.31	n/a	n/a	500.00	5.0
FIRST NY SEC/CHRIS	041	EVVV	B	30,000	9.98	n/a	n/a	1,500.00	5.0
S.A.C. CAPITAL MGMT.	044	FII	SS	33,200	37.34	14-Apr	30-May	1,660.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BECKER ADV	035	FOSL	B	57,900	31.64	n/a	14-May	2,316.00	4.0
BECL	035	FOSL	B	200	31.64	n/a	14-May	8.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>F</b>	<b>SS</b>	<b>5,000</b>	<b>6.77</b>	<b>25-Apr</b>	<b>2-May</b>	<b>0.00</b>	<b>0.0</b>
FIRST NY SEC/CHRIS	041	GE	B	25,000	30.68	n/a	n/a	1,250.00	5.0
<b>BUCK</b>	<b>015</b>	<b>GOOG</b>	<b>S</b>	<b>100</b>	<b>588.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	GPS	SS	10,000	18.21	n/a	28-May	500.00	5.0
SONAR CAP MGMT	039	GPS	SS	125,000	18.24	n/a	28-May	5,000.00	4.0
THE BOSTON COMPANY	401	GPS	S	51,210	18.28	n/a	28-May	2,048.40	4.0
<b>CROWLEY</b>	<b>061</b>	<b>HCGI</b>	<b>S</b>	<b>240</b>	<b>0.85</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RBC CAPITAL MARKETS	034	HD	B	2,500	27.48	n/a	27-Jul	100.00	4.0
<b>KAICHER</b>	<b>064</b>	<b>HK</b>	<b>S</b>	<b>1,000</b>	<b>29.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CROSS CAPITAL	028	HLX	B	82,500	38.29	n/a	n/a	4,125.00	5.0
REINHART & MAHONEY	031	ICLR	S	8,580	71.41	n/a	n/a	429.00	5.0
MORGAN LEWIS/MLH	046	INTV	B	20,000	6.49	n/a	n/a	1,000.00	5.0
CATAPULT	040	ITG	B	12,500	41.76	n/a	n/a	625.00	5.0
COUGAR TRADING	044	JCG	B	10,000	37.50	n/a	30-May	500.00	5.0
GALT INVESTMENT	041	JCG	B	25,000	37.00	n/a	30-May	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GOLD SACHS SPECIAL SIT	030	JCG	B	100,000	37.04	n/a	30-May	5,000.00	5.0
MILLENIUUM PARTNERS	028	JCP	SS	20,000	40.54	n/a	16-May	1,000.00	5.0
RBC CAPITAL MARKETS	034	JCP	SS	2,500	40.58	n/a	16-May	100.00	4.0
SATELITE/BOBBY	044	JWN	B	10,000	35.69	16-May	16-May	400.00	4.0
<b>BUCK</b>	<b>015</b>	<b>KMB</b>	<b>B</b>	<b>400</b>	<b>64.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RBC CAPITAL MARKETS	034	KSS	B	5,000	44.69	n/a	16-May	200.00	4.0
SATELLITE ASSET MGMT	012	KSS	B	5,000	44.38	n/a	16-May	200.00	4.0
<b>BUCK</b>	<b>015</b>	<b>LEH</b>	<b>C</b>	<b>1,600</b>	<b>37.03</b>	<b>1-Apr</b>	<b>22-May</b>	<b>0.00</b>	<b>0.0</b>
RBC CAPITAL MARKETS	034	LOW	B	10,000	24.07	n/a	27-Jul	400.00	4.0
<b>GAULT</b>	<b>063</b>	<b>LYHFH</b>	<b>B</b>	<b>40</b>	<b>1.61</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
NOMURA CAPITAL	045	MNTG	S	500	5.45	n/a	n/a	20.00	4.0
FIRST NY SEC/CHRIS	041	MOT	B	10,000	9.37	n/a	n/a	500.00	5.0
RHO CAPITAL MGMT	041	MRVL	B	2,700	17.17	n/a	n/a	108.00	4.0
STG INVESTORS	041	MU	B	50,000	8.01	n/a	n/a	1,000.00	2.0
CR INTRINIC INVESTMENT	044	MYL	SS	250,000	13.40	30-May	30-May	12,500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>M</b>	<b>B</b>	<b>1,000</b>	<b>23.85</b>	<b>7-Feb</b>	<b>27-May</b>	<b>0.00</b>	<b>0.0</b>
MS PRINCIPAL STRATEGIES	041	NTRS	B	2,500	75.74	16-Apr	29-May	125.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
S.A.C. CAPITAL MGMT.	044	OI	S	4,000	57.14	n/a	n/a	200.00	5.0
HOLLIS CAPITAL	033	PCL	B	3,000	46.82	29-Apr	29-Apr	150.00	5.0
<b>BUCK</b>	<b>015</b>	<b>PENN</b>	<b>S</b>	<b>1,000</b>	<b>45.83</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SCOPUS FUND	041	PKG	S	50,000	25.92	7-Apr	22-Apr	2,500.00	5.0
SATELITE/BOBBY	044	PM	B	20,000	52.36	n/a	n/a	800.00	4.0
GALLEON MGMT LP	033	PSPT	S	25,000	9.30	n/a	n/a	1,250.00	5.0
VISIUM/BALY HOWARD	038	PVH	SS	3,600	44.47	5-May	22-May	180.00	5.0
LEVIN CAPITAL STRATEGIES	012	RL	SS	10,000	69.66	7-Feb	29-May	400.00	4.0
SATELITE/BOBBY	044	RL	SS	20,000	69.60	7-Feb	29-May	800.00	4.0
TRUGENT CAPITAL	031	SEE	B	59,475	24.07	n/a	n/a	2,379.00	4.0
<b>KORMAN</b>	<b>003</b>	<b>SPWR</b>	<b>B</b>	<b>267</b>	<b>80.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LEDDY</b>	<b>035</b>	<b>SSCC</b>	<b>B</b>	<b>1,500</b>	<b>6.65</b>	<b>7-Apr</b>	<b>23-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>KORMAN</b>	<b>003</b>	<b>TEN</b>	<b>SS</b>	<b>3,000</b>	<b>24.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CARLYLE BLUE WAVE PR MG	224	TIN	B	10,000	14.49	29-Apr	29-Apr	500.00	5.0
IRONBOUND CAPITAL	012	TIN	B	19,200	14.48	29-Apr	29-Apr	960.00	5.0
COUGAR TRADING	044	TWB	B	10,000	20.21	17-Mar	22-May	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
OGORMAN	046	UA	S	1,000	35.20	n/a	n/a	0.00	0.0
CROWLEY	061	WHT	S	1,000	4.80	n/a	n/a	0.00	0.0
CR INTRINIC INVESTMENT	044	WYE	B	250,000	44.54	n/a	31-Mar	12,500.00	5.0
BMO NESBITT BURNS	041	WY	B	16,400	65.04	7-Apr	27-May	820.00	5.0
BMO NESBITT BURNS	041	WY	SS	16,400	65.83	7-Apr	27-May	820.00	5.0
FIRST NY SEC/CHRIS	041	WY	B	20,000	64.91	7-Apr	27-May	1,200.00	6.0
PERFORMANCE PART LP #2	041	WY	B	10,000	63.42	7-Apr	27-May	500.00	5.0
PERFORMANCE PART LP #2	041	WY	SS	10,000	66.02	7-Apr	27-May	500.00	5.0
SCOPUS FUND	041	WY	SS	40,000	65.43	7-Apr	27-May	2,000.00	5.0
KAICHER	064	XCO	S	500	25.00	n/a	n/a	0.00	0.0
SATELITE/BOBBY	044	XRT	B	10,000	33.22	n/a	n/a	400.00	4.0
<b>TOTAL (Trade Date):</b>								<b>125,764.19</b>	

# Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	05/29	ARTX (ARTXSC29)	304	CELL	B	2,000	9.85	n/a	n/a	80.00 4.0
AO,	05/29	ARTX (ARTXSC27)	304	CELL	B	900	9.85	n/a	n/a	36.00 4.0
AO,	05/29	ARTX (ARTXSC28)	304	CELL	B	2,700	9.85	n/a	n/a	108.00 4.0
AO,	05/29	ARTX (ARTXSC30)	304	CELL	B	500	9.85	n/a	n/a	20.00 4.0
AO,	05/29	ARTX (ARTXSC31)	304	CELL	B	4,700	9.85	n/a	n/a	188.00 4.0
AO,	05/29	ARTX (ARTXSC32)	304	CELL	B	3,500	9.85	n/a	n/a	140.00 4.0
AO,	05/29	ARTX (ARTXSC33)	304	CELL	B	700	9.85	n/a	n/a	28.00 4.0
AO,	05/29	ARTX (ARTXSC36)	304	CELL	B	2,900	9.85	n/a	n/a	116.00 4.0
AO,	05/29	ARTX (ARTXSC08)	304	CELL	B	4,400	9.85	n/a	n/a	176.00 4.0
AO,	05/29	ARTX (ARTXSC09)	304	CELL	B	3,100	9.85	n/a	n/a	124.00 4.0
AO,	05/29	ARTX (ARTXSC12)	304	CELL	B	600	9.85	n/a	n/a	24.00 4.0
AO,	05/29	ARTX (ARTXSC13)	304	CELL	B	3,300	9.85	n/a	n/a	132.00 4.0
AO,	05/29	ARTX (ARTXSC15)	304	CELL	B	4,600	9.85	n/a	n/a	184.00 4.0
AO,	05/29	ARTX (ARTXSC17)	304	CELL	B	2,700	9.85	n/a	n/a	108.00 4.0
AO,	05/29	ARTX (ARTXSC19)	304	CELL	B	900	9.85	n/a	n/a	36.00 4.0
AO,	05/29	ARTX (ARTXSC20)	304	CELL	B	5,000	9.85	n/a	n/a	200.00 4.0
AO,	05/29	ARTX (ARTXC24)	304	CELL	B	2,800	9.85	n/a	n/a	112.00 4.0
AO,	05/29	ARTX (ARTXC25)	304	CELL	B	7,600	9.85	n/a	n/a	304.00 4.0
AO,	05/29	ARTX (ARTXC37)	304	CELL	B	8,800	9.85	n/a	n/a	352.00 4.0
AO,	05/29	ARTX (ARTXARTSV)	304	CELL	B	44,700	9.85	n/a	n/a	1788.00 4.0
AO,CXL	05/29	AAD CAPITAL (AADCGSCO)	048	DSW	S	800	14.66	8-May	30-May	24.00 3.0
AO,CRT	05/29	AAD CAPITAL (AADCGSCO)	048	DSW	S	1,400	14.66	8-May	30-May	42.00 3.0
AO,CXL	05/29	AAD CAPITAL (AADCMSPB)	048	DSW	S	4,000	14.66	8-May	30-May	120.00 3.0
AO,CRT	05/29	AAD CAPITAL (AADCMSPB)	048	DSW	S	3,400	14.66	8-May	30-May	102.00 3.0
AO,	05/29	BMO NESBITT BURNS (BMONFLIP)	041	IP	S	50,000	27.60	8-Feb	27-May	2500.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	05/29	LISTED ERR (LISTED ERR)	099	JCP	SS	800	41.11	n/a	16-May	0.00 0.0
AO,CXL	05/29	COUGAR TRADING (COUGPB MS)	044	JCP	SS	800	41.11	n/a	16-May	40.00 5.0
AO,CRT	05/29	SANDLER CAPITAL (SANDOMINBU)	038	NFP	SS	50,000	23.17	n/a	n/a	3000.00 6.0
AO,CXL	05/29	SANDLER O'NEILL ASSET (SANO)	031	NFP	SS	50,000	23.17	n/a	n/a	2500.00 5.0
AO,	05/29	HBK FUNDS (HBKFCITI)	031	ONXX	S	52,800	36.43	n/a	n/a	2640.00 5.0
AO,CXL	05/29	WILLIAM BLAIR (WBLA12SMID)	031	ORLY	S	19,700	26.39	n/a	n/a	394.00 2.0
AO,	05/29	WILLIAM BLAIR (WBLA12MIDG)	031	ORLY	S	19,700	26.39	n/a	n/a	394.00 2.0
AO,CXL	05/27	PERRY PARTNERS (PERRGOLDMA)	041	SKS	B	50,000	12.55	n/a	n/a	2500.00 5.0
AO,CRT	05/27	PERRY PARTNERS (PERRGOLDMA)	041	SKS	B	50,000	12.55	n/a	n/a	1500.00 3.0

**TOTAL (AO, CXL, CRT) :**

**8,856.00**

TOTAL (Trade Date) :

125,764.19

TOTAL (AO, CXL, CRT) :

8,856.00

**TOTAL (RUN DATE) / NFS :**

**134,620.19**



Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION