



Daily Compliance Activity Report

Trade Date: 11/27/2006

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	AA	S	400	30.19	n/a	n/a	20.00	5.0
CHARTER OAK	037	ACMR	B	45,000	21.58	n/a	n/a	2,250.00	5.0
ROARING BLUE LION MGMT	012	AEOS	S	6,350	48.32	15-Nov	15-Nov	317.50	5.0
SEQUENCE CAPITAL	028	AEOS	B	4,224	48.43	15-Nov	15-Nov	168.96	4.0
RIVER RUN MGMT	031	AMRN	S	4,200	2.40	n/a	n/a	126.00	3.0
BUCK CAPITAL MGMT	001	ANF	S	60,000	69.42	26-Oct	15-Nov	2,400.00	4.0
COUGAR TRADING	044	ANF	S	5,000	69.60	26-Oct	15-Nov	250.00	5.0
THE JAY GOLDMAN	034	ANF	S	10,000	69.75	26-Oct	15-Nov	400.00	4.0
THE JAY GOLDMAN	034	ARO	B	15,000	29.83	23-Oct	22-Nov	600.00	4.0
SHAREVEST CAPITAL MGMT.	038	BBY	S	5,000	55.80	13-Jun	13-Sep	250.00	5.0
PATTEN & PATTEN	067	BDH	S	200	16.59	n/a	n/a	10.00	5.0
BELAY CAPITAL	039	BJ	S	10,000	31.72	n/a	22-Nov	400.00	4.0
THE JAY GOLDMAN	034	BJ	B	10,000	31.96	n/a	22-Nov	400.00	4.0
PATTEN & PATTEN	067	BK	B	1,000	35.36	n/a	13-Nov	50.00	5.0
COLONIAL FUND LLC	036	BONT	B	25,000	33.28	23-Oct	21-Nov	1,250.00	5.0
WHITE PINE CAPITAL	030	BONT	S	325	33.10	23-Oct	21-Nov	16.25	5.0
GALLEON MGMT LP	033	BRCD	S	75,000	8.84	n/a	n/a	3,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	BTU	B	1,000	42.10	n/a	n/a	50.00	5.0
PALMYRA CAPITAL	048	BUD	B	50,000	46.22	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	BWS	S	20,000	45.54	n/a	n/a	800.00	4.0
CAPITAL GROWTH MGMT.	241	CBG	B	50,000	33.78	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	CBK	S	40,000	21.12	2-Nov	3-Nov	1,600.00	4.0
DSG CAPITAL	225	CC	B	20,000	24.02	n/a	16-Nov	1,000.00	5.0
PATTEN & PATTEN	067	CCE	S	600	20.40	n/a	n/a	30.00	5.0
ARIENCE CAPITAL	036	CHS	B	81,550	23.15	20-Nov	21-Nov	4,077.50	5.0
COLONIAL FUND LLC	036	CHS	S	50,000	23.17	20-Nov	21-Nov	2,500.00	5.0
J L F ASSET MGMT	039	CHS	S	90,600	23.06	20-Nov	21-Nov	3,624.00	4.0
GOLDMAN SACHS ASSET MGMT	044	CHTT	B	35,000	49.57	n/a	n/a	700.00	2.0
BELAY CAPITAL	039	CLE	S	10,000	31.12	2-Nov	16-Nov	400.00	4.0
PATTEN & PATTEN	067	COP	B	1,000	64.21	n/a	n/a	50.00	5.0
MAGUIRE	090	CPNLQ	S	40,000	0.72	n/a	22-Sep	0.00	0.0
CAPITAL GROWTH MGMT.	241	CSCO	B	5,000	25.88	n/a	22-Mar	250.00	5.0
PATTEN & PATTEN	067	CTXS	B	300	29.33	n/a	n/a	15.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRENCOURT	028	CVH	S	100,000	46.87	n/a	n/a	5,000.00	5.0
GALLEON MGMT LP	033	CWTR	B	20,000	26.22	n/a	n/a	1,000.00	5.0
PATTEN & PATTEN	067	DIS	S	500	32.60	n/a	n/a	25.00	5.0
RIVER RUN MGMT	031	EBHI	B	40,950	9.06	n/a	n/a	2,047.50	5.0
RIVER RUN MGMT	031	EBHI	S	40,950	9.06	n/a	n/a	2,047.50	5.0
COMMERCE BANK	046	EDS	S	2,000	26.72	n/a	n/a	160.00	8.0
COMMERCE BANK	046	EWJ	B	10,850	13.23	n/a	n/a	651.00	6.0
SYSTEMATIC FINANCIAL MGT	035	FD	S	450,000	42.06	9-Nov	17-Nov	18,000.00	4.0
WHALE ROCK CAP	030	FD	S	70,000	42.40	9-Nov	17-Nov	2,800.00	4.0
BENCHMARK	028	FDO	S	5,000	27.78	3-Nov	27-Nov	250.00	5.0
UBS O'CONNOR/TOM LEDDY	035	FDO	S	25,000	27.91	3-Nov	27-Nov	1,250.00	5.0
J L F ASSET MGMT	039	FL	B	57,500	23.25	n/a	17-Nov	2,300.00	4.0
DAVIS ADV	040	FMD	S	30,000	74.64	n/a	n/a	900.00	3.0
KAZMI	010	FTGX	S	1,000	6.11	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	GHCI	S	25,000	46.49	n/a	n/a	1,250.00	5.0
WHITE PINE CAPITAL	030	GLBL	S	1,650	13.34	n/a	n/a	82.50	5.0
WHITE PINE CAPITAL	030	GMRK	S	1,200	37.95	n/a	n/a	60.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MAGUIRE	039	HD	S	200	37.59	n/a	15-Nov	0.00	0.0
CAXTON PART	040	HIG	B	10,000	85.59	n/a	n/a	400.00	4.0
RIVER RUN MGMT	031	HOFF	S	30,600	15.83	n/a	n/a	1,530.00	5.0
COMMERCE BANK	046	HPQ	S	2,000	38.79	n/a	n/a	160.00	8.0
GLG PARTNERS	034	HTZ	S	232,000	14.93	n/a	n/a	11,600.00	5.0
T. ROWE PRICE	033	HTZ	B	121,193	14.74	n/a	n/a	4,847.72	4.0
WHEELER	008	IOM	S	20,000	3.76	n/a	n/a	0.00	0.0
RIVER RUN MGMT	031	ITCD	B	7,500	2.44	n/a	n/a	225.00	3.0
PATTEN & PATTEN	067	ITT	B	200	53.84	30-Oct	30-Oct	10.00	5.0
THIRD COAST CAPITAL	035	JCP	S	25,000	79.96	9-Nov	10-Nov	1,250.00	5.0
WHITE PINE CAPITAL	030	JCS	S	750	9.50	n/a	n/a	37.50	5.0
THE JAY GOLDMAN	034	JWN	S	20,000	50.01	2-Nov	21-Nov	800.00	4.0
BUCK CAPITAL MGMT	001	KSS	S	40,000	71.42	9-Nov	10-Nov	1,600.00	4.0
THE JAY GOLDMAN	034	KSS	B	10,000	71.11	9-Nov	10-Nov	400.00	4.0
CAXTON PART	040	LH	B	30,000	69.77	n/a	n/a	1,200.00	4.0
IRON HORSE CAPITAL MGMT	038	LVL	B	15,000	5.02	17-Oct	20-Nov	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	MAV	B	1,000	15.17	n/a	n/a	50.00	5.0
SONAR CAP MGMT	037	MDT	S	50,000	52.69	n/a	22-Apr	2,500.00	5.0
LISTED ERR	099	MEE	B	700	25.66	n/a	n/a	0.00	0.0
AVESTA CAP	229	MO	S	25,000	83.48	n/a	n/a	1,250.00	5.0
PATTEN & PATTEN	067	MS	S	500	76.35	20-Sep	13-Nov	25.00	5.0
TRUST CO/COWEN/CALF.	040	MWV	B	98,700	29.15	n/a	2-Nov	4,935.00	5.0
PATTEN & PATTEN	067	NEM	B	500	45.73	n/a	n/a	25.00	5.0
A.I.M. ADVISORS INC	031	NILE	B	16,252	34.83	n/a	n/a	650.08	4.0
HIGHBRIDGE CAPITAL	038	NOA	S	125,000	16.00	n/a	n/a	6,250.00	5.0
PATTEN & PATTEN	067	NOK	S	2,500	20.32	n/a	n/a	125.00	5.0
TUDOR INVESTORS	030	NT	B	1,000,000	2.14	n/a	22-Mar	30,000.00	3.0
RIVER RUN MGMT	031	OCAI	S	330,000	0.03	n/a	n/a	400.00	0.0
RIVER RUN MGMT	031	OII	S	500	41.10	n/a	n/a	15.00	3.0
CASTLEROCK	040	OSK	S	31,200	47.63	n/a	n/a	1,248.00	4.0
EGS ASSOCIATES LP	059	PLCE	B	3,000	65.83	n/a	13-Nov	180.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	PNC	S	50,000	69.14	n/a	n/a	2,500.00	5.0
RIVER RUN MGMT	031	POR	S	68	28.11	n/a	n/a	2.04	3.0
WHEELER	008	PTNX	S	449	12.20	n/a	n/a	0.00	0.0
AELTUS/ING INVESTMENT MGT	237	PVH	B	41,300	50.05	22-Nov	22-Nov	1,652.00	4.0
BUCK CAPITAL MGMT	001	PVH	S	20,000	48.96	22-Nov	22-Nov	800.00	4.0
PATTEN & PATTEN	067	RDSA	S	1,300	70.01	n/a	n/a	65.00	5.0
BUCK CAPITAL MGMT	001	RL	S	30,000	76.93	9-Nov	9-Nov	1,200.00	4.0
PATTEN & PATTEN	067	SLB	B	2,500	64.55	n/a	n/a	125.00	5.0
PATTEN & PATTEN	067	SNE	B	600	38.62	n/a	n/a	30.00	5.0
RIVER RUN MGMT	031	SOLUQ	S	270,200	0.56	n/a	n/a	8,106.00	3.0
CAPITAL GROWTH MGMT.	241	T	S	150,000	32.90	20-Sep	20-Nov	6,000.00	4.0
RIVER RUN MGMT	031	TAG	S	11,000	1.25	n/a	n/a	330.00	3.0
RIVER RUN MGMT	031	TDFXQ	B	320,600	0.04	n/a	n/a	500.00	0.0
BELAY CAPITAL	039	TIF	S	15,000	36.91	n/a	27-Nov	600.00	4.0
CLOVIS CAPITAL	012	TIF	B	125,000	36.80	n/a	27-Nov	6,250.00	5.0
LEDY	035	TIN	B	400	38.86	8-Nov	27-Oct	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MORRISON & FRASIER	040	TJX	S	71,500	27.66	5-Oct	15-Nov	3,575.00	5.0
BUCK CAPITAL MGMT	001	TWB	S	10,000	40.74	5-Sep	16-Nov	400.00	4.0
PATTEN & PATTEN	067	TXN	B	200	29.44	n/a	n/a	10.00	5.0
CAPITAL GROWTH MGMT.	241	TXT	B	24,000	94.62	n/a	n/a	1,200.00	5.0
CAXTON PART	040	UB	S	10,000	57.41	n/a	n/a	400.00	4.0
DAVIS ADV	040	UNH	B	104,000	46.31	n/a	n/a	3,120.00	3.0
CAXTON PART	040	UTI	S	25,000	20.29	n/a	n/a	1,000.00	4.0
COUGAR TRADING	044	WMT	B	5,000	46.68	5-Oct	15-Nov	250.00	5.0
GALLEON MGMT LP	033	WTS	S	40,000	41.16	n/a	n/a	2,000.00	5.0
PATTEN & PATTEN	067	WY	B	200	63.17	20-Sep	27-Oct	10.00	5.0
RIVER RUN MGMT	031	WZEN	S	60,000	3.67	n/a	n/a	1,800.00	3.0
CSFB GLOBAL PROPRIETARY	035	XLI	S	24,000	35.16	n/a	n/a	1,200.00	5.0
BUCK CAPITAL MGMT	001	ZQK	S	110,000	14.38	8-Sep	8-Sep	4,400.00	4.0
TOTAL (Trade Date):								190,567.05	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	11/22	BALYASNY ASSET MGMT (BALY)	044	AB	B	8,500	76.99	25-Oct	22-Nov	425.00 5.0
AO,	11/22	FULLER & THALER ASSET (FULLNMFD)	040	ANN	S	1,100	35.00	6-Jul	17-Nov	33.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLOSTRSC)	040	ANN	S	4,400	35.00	6-Jul	17-Nov	132.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNMPP)	040	ANN	S	200	35.00	6-Jul	17-Nov	6.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNESTLE)	040	ANN	S	3,400	35.00	6-Jul	17-Nov	102.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNY TIM)	040	ANN	S	3,000	35.00	6-Jul	17-Nov	90.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLIUOE)	040	ANN	S	1,700	35.00	6-Jul	17-Nov	51.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLUFCWGR)	040	ANN	S	1,700	35.00	6-Jul	17-Nov	51.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLGERMAN)	040	ANN	S	400	35.00	6-Jul	17-Nov	12.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLMCCUNE)	040	ANN	S	600	35.00	6-Jul	17-Nov	18.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLXCEL)	040	ANN	S	11,000	35.00	6-Jul	17-Nov	330.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLMARITI)	040	ANN	S	600	35.00	6-Jul	17-Nov	18.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLWATER)	040	ANN	S	1,400	35.00	6-Jul	17-Nov	42.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLMARTHA)	040	ANN	S	200	35.00	6-Jul	17-Nov	6.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLHOOG)	040	ANN	S	3,500	35.00	6-Jul	17-Nov	105.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLOSERS)	040	ANN	S	6,300	35.00	6-Jul	17-Nov	189.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLUFCW2)	040	ANN	S	100	35.00	6-Jul	17-Nov	3.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLBJPF)	040	ANN	S	4,500	35.00	6-Jul	17-Nov	135.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLOMAHAP)	040	ANN	S	2,400	35.00	6-Jul	17-Nov	72.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLBJC HE)	040	ANN	S	13,100	35.00	6-Jul	17-Nov	393.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLOMAHA)	040	ANN	S	800	35.00	6-Jul	17-Nov	24.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLIMATIO)	040	ANN	S	1,000	35.00	6-Jul	17-Nov	30.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLALASKA)	040	ANN	S	800	35.00	6-Jul	17-Nov	24.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLGRANIT)	040	ANN	S	2,200	35.00	6-Jul	17-Nov	66.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLIOWA)	040	ANN	S	900	35.00	6-Jul	17-Nov	27.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLCARM)	040	ANN	S	900	35.00	6-Jul	17-Nov	27.00 3.0

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AO,	11/22	FULLER & THALER ASSET (FULLNYSCF)	040	ANN	S	7,800	35.00	6-Jul	17-Nov	234.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLROBUST)	040	ANN	S	6,300	35.00	6-Jul	17-Nov	189.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLS - IR)	040	ANN	S	300	35.00	6-Jul	17-Nov	9.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNIMO)	040	ANN	S	1,100	35.00	6-Jul	17-Nov	33.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLBGF)	040	ANN	S	9,400	35.00	6-Jul	17-Nov	282.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNATGRI)	040	ANN	S	2,000	35.00	6-Jul	17-Nov	60.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLDEERE)	040	ANN	S	6,500	35.00	6-Jul	17-Nov	195.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLRAMOS)	040	ANN	S	400	35.00	6-Jul	17-Nov	12.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLOSERS)	040	JCG	B	1,500	40.29	25-Aug	22-Nov	45.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLWATER)	040	JCG	B	400	40.29	25-Aug	22-Nov	12.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLBJPF)	040	JCG	B	1,100	40.29	25-Aug	22-Nov	33.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLHOOG)	040	JCG	B	900	40.29	25-Aug	22-Nov	27.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLBJC HE)	040	JCG	B	3,100	40.29	25-Aug	22-Nov	93.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLOMAHAP)	040	JCG	B	500	40.29	25-Aug	22-Nov	15.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLIMATIO)	040	JCG	B	200	40.29	25-Aug	22-Nov	6.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLOMAHA)	040	JCG	B	200	40.29	25-Aug	22-Nov	6.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLALASKA)	040	JCG	B	200	40.29	25-Aug	22-Nov	6.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLIOWA)	040	JCG	B	200	40.29	25-Aug	22-Nov	6.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLGRANIT)	040	JCG	B	500	40.29	25-Aug	22-Nov	15.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLCARM)	040	JCG	B	200	40.29	25-Aug	22-Nov	6.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNYSCF)	040	JCG	B	1,900	40.29	25-Aug	22-Nov	57.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLROBUST)	040	JCG	B	1,500	40.29	25-Aug	22-Nov	45.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLS - IR)	040	JCG	B	100	40.29	25-Aug	22-Nov	3.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNIMO)	040	JCG	B	300	40.29	25-Aug	22-Nov	9.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLBGF)	040	JCG	B	2,267	40.29	25-Aug	22-Nov	68.01 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNATGRI)	040	JCG	B	500	40.29	25-Aug	22-Nov	15.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLDEERE)	040	JCG	B	1,500	40.29	25-Aug	22-Nov	45.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLRAMOS)	040	JCG	B	100	40.29	25-Aug	22-Nov	3.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNMFD)	040	JCG	B	300	40.29	25-Aug	22-Nov	9.00 3.0

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AO,	11/22	FULLER & THALER ASSET (FULLOSTRSC)	040	JCG	B	1,100	40.29	25-Aug	22-Nov	33.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNY TIM)	040	JCG	B	700	40.29	25-Aug	22-Nov	21.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLNESTLE)	040	JCG	B	800	40.29	25-Aug	22-Nov	24.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLUFCWGR)	040	JCG	B	400	40.29	25-Aug	22-Nov	12.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLIUOE)	040	JCG	B	400	40.29	25-Aug	22-Nov	12.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLMCCUNE)	040	JCG	B	100	40.29	25-Aug	22-Nov	3.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLGERMAN)	040	JCG	B	100	40.29	25-Aug	22-Nov	3.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLMARITI)	040	JCG	B	200	40.29	25-Aug	22-Nov	6.00 3.0
AO,	11/22	FULLER & THALER ASSET (FULLXCEL)	040	JCG	B	2,600	40.29	25-Aug	22-Nov	78.00 3.0
AO,CRT	11/22	LISTED ERR (LISTED ERR)	099	MEE	S	700	25.24	n/a	n/a	0.00 0.0
AO,	11/22	SCOPUS FUND (SCOPBANC O)	039	TIN	S	9,250	39.17	8-Nov	27-Oct	462.50 5.0
AO,	11/22	SCOPUS FUND (SCOPUBS)	039	TIN	S	15,750	39.17	8-Nov	27-Oct	787.50 5.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40328)	035	ZQK	S	160	14.51	8-Sep	8-Sep	6.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40383)	035	ZQK	S	990	14.51	8-Sep	8-Sep	39.60 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40442)	035	ZQK	S	35	14.51	8-Sep	8-Sep	1.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40246)	035	ZQK	S	245	14.51	8-Sep	8-Sep	9.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40222)	035	ZQK	S	7,905	14.51	8-Sep	8-Sep	316.20 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40377)	035	ZQK	S	225	14.51	8-Sep	8-Sep	9.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40441)	035	ZQK	S	180	14.51	8-Sep	8-Sep	7.20 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40517)	035	ZQK	S	60	14.51	8-Sep	8-Sep	2.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40059)	035	ZQK	S	1,295	14.51	8-Sep	8-Sep	51.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40378)	035	ZQK	S	150	14.51	8-Sep	8-Sep	6.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40401)	035	ZQK	S	385	14.51	8-Sep	8-Sep	15.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40521)	035	ZQK	S	520	14.51	8-Sep	8-Sep	20.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40032)	035	ZQK	S	275	14.51	8-Sep	8-Sep	11.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40375)	035	ZQK	S	2,905	14.51	8-Sep	8-Sep	116.20 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40400)	035	ZQK	S	875	14.51	8-Sep	8-Sep	35.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40520)	035	ZQK	S	390	14.51	8-Sep	8-Sep	15.60 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB39951)	035	ZQK	S	110	14.51	8-Sep	8-Sep	4.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40381)	035	ZQK	S	300	14.51	8-Sep	8-Sep	12.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40399)	035	ZQK	S	1,450	14.51	8-Sep	8-Sep	58.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40504)	035	ZQK	S	165	14.51	8-Sep	8-Sep	6.60 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40030)	035	ZQK	S	50	14.51	8-Sep	8-Sep	2.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40374)	035	ZQK	S	7,750	14.51	8-Sep	8-Sep	310.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40424)	035	ZQK	S	395	14.51	8-Sep	8-Sep	15.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40474)	035	ZQK	S	585	14.51	8-Sep	8-Sep	23.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB39993)	035	ZQK	S	6,760	14.51	8-Sep	8-Sep	270.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40372)	035	ZQK	S	135	14.51	8-Sep	8-Sep	5.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40422)	035	ZQK	S	770	14.51	8-Sep	8-Sep	30.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40467)	035	ZQK	S	3,600	14.51	8-Sep	8-Sep	144.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40542)	035	ZQK	S	295	14.51	8-Sep	8-Sep	11.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40373)	035	ZQK	S	170	14.51	8-Sep	8-Sep	6.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40429)	035	ZQK	S	100	14.51	8-Sep	8-Sep	4.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40466)	035	ZQK	S	330	14.51	8-Sep	8-Sep	13.20 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40543)	035	ZQK	S	520	14.51	8-Sep	8-Sep	20.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40369)	035	ZQK	S	2,110	14.51	8-Sep	8-Sep	84.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40446)	035	ZQK	S	1,520	14.51	8-Sep	8-Sep	60.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40459)	035	ZQK	S	2,775	14.51	8-Sep	8-Sep	111.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40548)	035	ZQK	S	2,590	14.51	8-Sep	8-Sep	103.60 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40368)	035	ZQK	S	1,240	14.51	8-Sep	8-Sep	49.60 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40434)	035	ZQK	S	7,550	14.51	8-Sep	8-Sep	302.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40457)	035	ZQK	S	235	14.51	8-Sep	8-Sep	9.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40549)	035	ZQK	S	125	14.51	8-Sep	8-Sep	5.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40367)	035	ZQK	S	85	14.51	8-Sep	8-Sep	3.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40425)	035	ZQK	S	4,430	14.51	8-Sep	8-Sep	177.20 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40449)	035	ZQK	S	685	14.51	8-Sep	8-Sep	27.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40366)	035	ZQK	S	630	14.51	8-Sep	8-Sep	25.20 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40432)	035	ZQK	S	695	14.51	8-Sep	8-Sep	27.80 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40450)	035	ZQK	S	9,375	14.51	8-Sep	8-Sep	375.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40364)	035	ZQK	S	450	14.51	8-Sep	8-Sep	18.00 4.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40430)	035	ZQK	S	410	14.51	8-Sep	8-Sep	16.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40454)	035	ZQK	S	2,185	14.51	8-Sep	8-Sep	87.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40351)	035	ZQK	S	940	14.51	8-Sep	8-Sep	37.60 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40431)	035	ZQK	S	225	14.51	8-Sep	8-Sep	9.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40451)	035	ZQK	S	1,755	14.51	8-Sep	8-Sep	70.20 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40336)	035	ZQK	S	1,240	14.51	8-Sep	8-Sep	49.60 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40390)	035	ZQK	S	55	14.51	8-Sep	8-Sep	2.20 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40413)	035	ZQK	S	100	14.51	8-Sep	8-Sep	4.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40794)	035	ZQK	S	265	14.51	8-Sep	8-Sep	10.60 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40335)	035	ZQK	S	905	14.51	8-Sep	8-Sep	36.20 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40385)	035	ZQK	S	1,235	14.51	8-Sep	8-Sep	49.40 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40412)	035	ZQK	S	450	14.51	8-Sep	8-Sep	18.00 4.0
AO,	11/24	ROXBURY CAPITAL MGMT (ROXB40795)	035	ZQK	S	660	14.51	8-Sep	8-Sep	26.40 4.0

TOTAL (AO, CXL, CRT) :

8,791.01

TOTAL (Trade Date) :

190,567.05

TOTAL (AO, CXL, CRT) :

8,791.01

TOTAL (RUN DATE) / NFS:

199,358.06

Legend:

Blue: Employee and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.