



# Daily Compliance Activity Report

Trade Date: 08/11/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
IRIDIAN ASSET MGMT.	036	ACI	B	55,290	24.38	n/a	n/a	2,764.50	5.0
EXIS CAPITAL	044	AEO	B	10,000	12.30	n/a	6-Aug	500.00	5.0
LEVIN CAPITAL STRATEGIES	012	ALV	S	10,000	55.52	n/a	2-Aug	300.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>S</b>	<b>22,000</b>	<b>15.38</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>S</b>	<b>8,000</b>	<b>15.37</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.8</b>
DAVIDSON KEMPNER ADVISOR	040	APD	B	10,000	75.28	n/a	22-Jul	500.00	5.0
<b>f CHRISTOPHER ROBERT C</b>	<b>FID</b>	<b>ARM</b>	<b>S</b>	<b>200</b>	<b>14.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>ARM</b>	<b>B</b>	<b>5000</b>	<b>15.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MTB INV ADV	034	ARM	S	9,650	14.81	n/a	n/a	482.50	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>B</b>	<b>10,000</b>	<b>23.99</b>	<b>n/a</b>	<b>6-Aug</b>	<b>non-brg</b>	<b>4.0</b>
EXIS CAPITAL	044	ARO	B	5,000	24.00	n/a	6-Aug	250.00	5.0
NEUBERGER BERMAN	036	ASTE	S	7,000	28.52	n/a	n/a	280.00	4.0
SIGMA CAPITAL ASSOCIATES	041	AVP	SS	50,000	30.05	n/a	30-Jul	1,750.00	3.5
PZENA INV ADV	044	AVT	B	2,450	25.18	n/a	n/a	85.75	3.5
MTB INV ADV	034	AXL	S	15,550	9.23	n/a	4-Aug	777.50	5.0
MELLON/BANK OF NEW YORK	046	BAX	B	10,575	45.23	n/a	n/a	528.75	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ⓕ BUCK CAPITAL MGMT		BBBY	S	20,000	37.41	n/a	n/a	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		BEBE	S	10,000	5.98	n/a	n/a	non-brg	3.0
f JOSEPH CIRO AMATURO	FID	BGU	B	5000	46.93	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	BJ	SS	30,000	43.46	n/a	n/a	1,200.00	4.0
f CHRISTOPHER ROBERT C	FID	BWA	S	125	46.09	n/a	2-Aug	0.00	0.0
Ⓕ BUCK CAPITAL MGMT		BYD	C	50,000	7.82	n/a	n/a	non-brg	3.0
Ⓕ BUCK CAPITAL MGMT		CAR	B	20,000	10.20	n/a	n/a	non-brg	4.0
DIAMONDBACK CAP MGMT	232	CFR	SS	10,000	53.24	n/a	n/a	500.00	5.0
f NICOLAS EVAN PAKLER	FID	CHRS	B	1250	3.99	n/a	n/a	0.00	0.0
1798 CAP/LOMBARD ASSET	040	CLF	S	45,100	58.48	n/a	n/a	2,255.00	5.0
BALYASNY ASSET MGMT	044	CMI	B	25,000	77.62	n/a	28-Jul	1,250.00	5.0
Ⓕ BUCK CAPITAL MGMT		CNW	B	20,000	28.32	n/a	n/a	non-brg	4.0
MELLON/BANK OF NEW YORK	046	COV	B	12,925	37.88	n/a	n/a	646.25	5.0
SAXON WOODS ADVISORS	242	CPY	B	10,000	20.67	n/a	n/a	400.00	4.0
Ⓕ BUCK CAPITAL MGMT		CROX	S	20,000	13.00	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⌘ BUCK CAPITAL MGMT		CROX	S	30,000	12.96	n/a	n/a	non-brg 4.0
⌘ BUCK CAPITAL MGMT		CTRN	C	10,000	28.53	n/a	n/a	non-brg 4.0
MTB INV ADV	034	DAN	S	25,975	11.19	n/a	2-Aug	1,298.75 5.0
⌘ BUCK CAPITAL MGMT		DECK	S	10,000	45.62	n/a	n/a	non-brg 4.0
f NICOLAS EVAN PAKLER	FID	DEER	B	750	7.97	n/a	n/a	0.00 0.0
⌘ BUCK CAPITAL MGMT		DG	SS	554	28.73	n/a	8-Jun	non-brg 4.0
DALTON GREINER	012	DOV	S	128,236	47.08	n/a	n/a	6,411.80 5.0
⌘ BUCK CAPITAL MGMT		EAT	C	110,000	15.11	n/a	n/a	non-brg 2.0
BALYASNY ASSET MGMT	044	EL	C	20,000	61.74	n/a	7-Jun	1,000.00 5.0
⌘ BUCK CAPITAL MGMT		EL	SS	3,450	61.12	n/a	7-Jun	non-brg 4.0
DIAMONDBACK CAP MGMT	232	EV	S	10,000	29.44	n/a	n/a	500.00 5.0
⌘ BUCK CAPITAL MGMT		FDO	SS	50,000	42.59	n/a	29-Jul	non-brg 4.0
DIAMONDBACK CAP MGMT	232	FII	SS	20,000	20.69	n/a	2-Mar	1,000.00 5.0
⌘ BUCK CAPITAL MGMT		FINL	B	55,000	12.79	n/a	n/a	non-brg 2.5
EXIS CAPITAL	044	FINL	B	10,000	12.68	n/a	n/a	500.00 5.0
BNP PARIBAS SEC	040	FL	B	10,000	12.49	n/a	29-Jul	400.00 4.0
BUCK CAPITAL MGMT	001	FL	B	40,200	12.49	n/a	29-Jul	1,608.00 4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	MICHAEL C PERILLO	FID	FL	B	40	12.55	n/a	29-Jul	0.00	0.0
B	BUCK CAPITAL MGMT		FOSL	S	10,000	44.26	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		GCO	B	30,000	25.59	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		GES	B	9,887	36.64	n/a	19-Jul	non-brg	4.0
B	BUCK CAPITAL MGMT		GIII	B	3,000	23.04	n/a	n/a	non-brg	2.5
	BUCK CAPITAL MGMT	001	GIL	S	50,000	30.12	n/a	19-Jul	2,000.00	4.0
	CAMBIAR CAP	046	HAR	B	74,180	29.03	n/a	6-Aug	2,967.20	4.0
	BUCK CAPITAL MGMT	001	HBI	S	50,000	26.65	11-Aug	11-Aug	2,000.00	4.0
B	BUCK CAPITAL MGMT		HBI	S	555	26.44	11-Aug	11-Aug	non-brg	4.0
	FIRST NY SEC/CHRIS	041	HD	SS	10,000	27.78	n/a	20-Jul	500.00	5.0
	IRIDIAN ASSET MGMT.	036	HPQ	S	27,980	41.33	n/a	n/a	1,399.00	5.0
	MELLON/BANK OF NEW YORK	046	HPQ	S	6,840	40.86	n/a	n/a	342.00	5.0
	SONTERRA CAP	041	HUN	B	45,000	9.34	n/a	n/a	1,350.00	3.0
B	BUCK CAPITAL MGMT		IBOC	C	40,000	16.01	n/a	n/a	non-brg	4.0
f	MICHAEL THOMAS NOLAN	FID	IR	S	200	36.23	n/a	26-Jul	0.00	0.0
	SHEFFIELD ASSET MGMT	031	IWM	B	50,000	62.51	n/a	n/a	2,500.00	5.0
	BUCK CAPITAL MGMT	001	JAH	S	45,000	26.76	n/a	n/a	1,800.00	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
B	BUCK CAPITAL MGMT		JAH	B	300	26.90	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		JAH	S	5,000	26.63	n/a	n/a	non-brg	0.8
	BUCK CAPITAL MGMT	001	JCG	B	10,000	34.16	n/a	n/a	400.00	4.0
	PZENA INV ADV	044	JCP	B	5,850	20.78	n/a	6-Aug	204.76	3.5
	MELLON/BANK OF NEW YORK	046	JNJ	S	12,150	58.50	n/a	n/a	607.50	5.0
	SYSTEMATIC FINANCIAL MGT	035	JNS	B	46,500	9.99	n/a	n/a	1,395.00	3.0
	ONE EAST CAP ADV LP	048	KKR	S	10,000	9.70	n/a	n/a	500.00	5.0
B	BUCK CAPITAL MGMT		KSS	B	12,303	47.97	n/a	6-Aug	non-brg	4.0
	THE JAY GOLDMAN	028	KSS	B	25,000	47.90	n/a	6-Aug	1,000.00	4.0
	NEUBERGER BERMAN	036	LAYN	S	16,900	24.26	n/a	n/a	676.00	4.0
f	JASON ERIC COHEN	FID	LEN	B	6	1.36	n/a	n/a	0.00	0.0
f	JASON ERIC COHEN	FID	LEN	S	6	1.31	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		LOW	C	55,000	19.83	n/a	17-May	non-brg	4.0
	ARCHON CAPITAL	035	LPX	B	71,900	6.81	n/a	5-Aug	2,157.00	3.0
	T. ROWE PRICE	033	LPX	B	58,909	6.75	n/a	5-Aug	1,767.27	3.0
	BUCK CAPITAL MGMT	001	LTD	S	30,000	25.05	n/a	n/a	1,200.00	4.0
B	BUCK CAPITAL MGMT		LZB	SS	3,134	7.23	n/a	n/a	non-brg	3.0
	BUCK CAPITAL MGMT	001	MFB	S	10,000	24.92	n/a	n/a	400.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
ß BUCK CAPITAL MGMT		MTN	C	10,000	34.92	n/a	n/a	non-brg 0.8
ß BUCK CAPITAL MGMT		MTN	C	35,000	34.96	n/a	n/a	non-brg 0.8
ß BUCK CAPITAL MGMT		MW	SS	3,452	18.58	n/a	n/a	non-brg 4.0
BUCK CAPITAL MGMT	001	M	S	80,000	20.29	n/a	6-Aug	3,200.00 4.0
ß BUCK CAPITAL MGMT		M	S	40,000	20.33	n/a	6-Aug	non-brg 4.0
ß BUCK CAPITAL MGMT		M	S	60,000	20.24	n/a	6-Aug	non-brg 4.0
EXIS CAPITAL	044	M	B	10,000	19.70	n/a	6-Aug	500.00 5.0
f ANDREW SINCLAIR	FID	NFLX	B	10	5.75	n/a	n/a	0.00 0.0
CATAPULT	040	NTRS	B	10,000	50.15	n/a	10-Aug	500.00 5.0
ß BUCK CAPITAL MGMT		NWY	B	443	2.21	n/a	n/a	non-brg 3.0
f NICOLAS EVAN PAKLER	FID	ORLY	B	45	46.15	n/a	n/a	0.00 0.0
ß BUCK CAPITAL MGMT		PCAR	B	25,000	43.37	n/a	28-Jul	non-brg 4.0
ß BUCK CAPITAL MGMT		PIR	B	61,000	6.60	n/a	n/a	non-brg 3.0
BMO NESBITT BURNS	041	PKG	SS	4,000	23.17	n/a	20-Jul	200.00 5.0
ß BUCK CAPITAL MGMT		PLCE	B	40,000	41.18	n/a	29-Jul	non-brg 4.0
KENNEDY CAPITAL	046	PSUN	S	150,000	4.03	n/a	n/a	3,000.00 2.0
SYSTEMATIC FINANCIAL MGT	035	PVH	B	36,400	50.29	n/a	19-Jul	1,092.00 3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON KEMPNER ADVISOR	040	PX	SS	3,300	86.90	n/a	28-Jul	165.00	5.0
⚡ BUCK CAPITAL MGMT		RL	C	20,000	82.36	n/a	5-Aug	non-brg	4.0
⚡ BUCK CAPITAL MGMT		ROST	S	70,000	49.97	n/a	n/a	non-brg	4.0
COOPER CREEK PART	028	RRTS	B	10,000	13.25	n/a	n/a	400.00	4.0
⚡ BUCK CAPITAL MGMT		SAI	SS	709	16.12	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SHLD	C	10,000	69.23	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		SIG	S	36,846	28.48	n/a	n/a	non-brg	4.0
METROPOLITAN CAPITAL	044	SKS	B	15,600	8.13	n/a	n/a	780.00	5.0
⚡ BUCK CAPITAL MGMT		SKX	B	70,000	28.56	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	SLB	S	300	60.12	n/a	n/a	0.00	0.0
f JASON ERIC COHEN	FID	SNCR	B	75	16.65	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		SPY	SS	14,638	109.69	n/a	n/a	non-brg	2.0
BMO NESBITT BURNS	041	SSCC	B	3,000	19.09	n/a	3-Aug	150.00	5.0
TROUBH PART	067	SUP	B	10,000	13.87	n/a	2-Aug	500.00	5.0
1798 CAP/LOMBARD ASSET	040	SWC	S	20,000	12.64	n/a	n/a	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MTB INV ADV	034	TEN	S	6,225	26.46	n/a	n/a	311.25	5.0
MELLON/BANK OF NEW YORK	046	TEVA	S	16,890	49.99	n/a	10-Aug	844.50	5.0
BALYASNY ASSET MGMT	044	TGT	SS	7,900	52.26	n/a	6-Aug	395.00	5.0
EXIS CAPITAL	044	TJX	SS	5,000	41.70	n/a	6-Aug	250.00	5.0
1798 CAP/LOMBARD ASSET	040	TKR	S	6,200	33.68	n/a	n/a	310.00	5.0
WAGONHOUND/NIC	289	TKR	S	4,411	34.58	n/a	n/a	176.44	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>TLB</b>	<b>S</b>	<b>798</b>	<b>10.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
GREEN ARROW DOUG	040	TPX	SS	30,000	29.87	n/a	n/a	1,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>TWMC</b>	<b>SS</b>	<b>30,000</b>	<b>1.75</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.5</b>
DAVIDSON KEMPNER ADVISOR	040	TXI	S	20,000	32.43	n/a	n/a	1,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>10,000</b>	<b>32.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
METROPOLITAN CAPITAL	044	VCLK	B	7,800	9.93	n/a	n/a	390.00	5.0
CAXTON PART	040	VIAB	B	35,431	32.62	n/a	n/a	1,240.10	3.5
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>VXX</b>	<b>B</b>	<b>1000</b>	<b>22.48</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>WMS</b>	<b>B</b>	<b>10,000</b>	<b>36.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FARALLON CAP MGMT	040	WMT	S	100,000	51.14	n/a	19-May	4,000.00	4.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NEUSTRADA CAP LLC	085	WMT	S	39,600	51.15	n/a	19-May	1,188.00	3.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>WNC</b>	<b>B</b>	<b>10000</b>	<b>7.04</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>WRC</b>	<b>S</b>	<b>10,000</b>	<b>42.24</b>	<b>n/a</b>	<b>6-Aug</b>	<b>400.00</b>	<b>4.0</b>
COOPER CREEK PART	028	WRC	SS	4,000	43.48	n/a	6-Aug	160.00	4.0
LEVEL GLOBAL SHARED ACCT	257	WRC	S	50,000	42.17	n/a	6-Aug	1,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>WSO</b>	<b>B</b>	<b>10,000</b>	<b>54.04</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>C</b>	<b>182,000</b>	<b>37.46</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
1798 CAP/LOMBARD ASSET	040	XRX	S	135,000	9.42	n/a	n/a	5,400.00	4.0
EXIS CAPITAL	044	ZQK	B	10,000	4.09	n/a	10-May	500.00	5.0
<b>TOTAL (Trade Date):</b>								<b>83,406.82</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/10	SAC CAPITAL/M GRONET	030	KO	B	10,000	56.95	n/a	22-Jul	350.00 3.5
AO,CXL	08/10	SAC CAPITAL/M GRONET	030	KO	B	10,000	56.95	n/a	22-Jul	350.00 3.5
AO,CXL	08/10	NEUSTRADA CAP LLC	085	MHK	SS	19,600	51.03	n/a	n/a	784.00 4.0
AO,CRT	08/10	NEUSTRADA CAP LLC	085	MHK	SS	19,600	51.03	n/a	n/a	588.00 3.0
AO,CRT	08/10	SAC CAPITAL/M GRONET	030	PVH	B	15,000	53.22	n/a	19-Jul	525.00 3.5
AO,CXL	08/10	SAC CAPITAL/M GRONET	030	PVH	B	15,000	53.22	n/a	19-Jul	525.00 3.5
AO,	08/10	GEOSPHERE CAPITAL MGMT	084	XOM	B	3,988	61.92	n/a	n/a	119.64 3.0
AO,CRT	08/10	GEOSPHERE CAPITAL MGMT	084	XOM	B	11,909	61.92	n/a	n/a	357.27 3.0
AO,CXL	08/10	GEOSPHERE CAPITAL MGMT	084	XOM	B	15,897	61.92	n/a	n/a	476.91 3.0

**TOTAL (AO, CXL, CRT) :****-196.00**

TOTAL (Trade Date):

83,406.82

TOTAL (AO, CXL, CRT):

-196.00

**TOTAL (RUN DATE) / NFS:****83,210.82****MRI Securities with Trade Activity on 11-Aug.****HBI**

MRI Securities without Trade Activity on 11-Aug.

DVN

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 25%

YTD = 10%

<b><u>Legend:</u></b>
<b>Õ: Employee Trades in Outside Accounts</b>
<b>ß: Non-BRG BCM Trades</b>
[i]: Initiation of Coverage
Blue: Employee, Affiliate(BCM) and Proprietary Trades.
Red: Trades in stocks with same day Research/MRI.
Type: AO = As Of, CXL = Cancel, CRT = Corrected.
POTENTIAL ANALYST TRADE VIOLATION
Watch List Trades
f: Employee Trades (Fidelity)