



Daily Compliance Activity Report

Trade Date: 06/09/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	AB	B	300	63.94	9-Apr	2-Jun	0.00	0.0
MORRISON&FRASIER/BUTLER	040	ALL	S	10,000	50.04	n/a	n/a	500.00	5.0
MERRILL LYNCH STRATEGICI	034	AMZN	B	5,000	78.54	n/a	n/a	300.00	6.0
B BUCK CAPITAL MGMT		ANF	B	10,000	67.95	10-Apr	6-Jun	non-brg	4.0
ROTHSCHILD ASSET	040	ANR	S	110	90.72	n/a	n/a	4.95	4.5
MTB INV ADV	034	AN	S	43,175	14.35	n/a	25-Apr	2,158.75	5.0
SINCLAIR	031	APAFY	B	10	4.60	n/a	n/a	0.00	0.0
TRELLUS PARTNERS LP	028	ARGN	B	10,000	10.61	n/a	9-Jun	500.00	5.0
GAULT	063	AXE	S	700	65.61	28-Mar	22-Apr	0.00	0.0
BUCK	015	BACPRL	B	30	981.00	n/a	n/a	0.00	0.0
AVESTA CAP	229	BAC	B	50,000	30.37	n/a	15-May	2,500.00	5.0
B BUCK CAPITAL MGMT		BBBY	SS	100,000	30.10	n/a	n/a	non-brg	4.0
BUCK	015	BBBY	SS	2,000	30.28	n/a	n/a	0.00	0.0
MERRILL LYNCH STRATEGICI	034	BBY	B	5,000	43.79	n/a	27-Jul	250.00	5.0
PRINCIPLE CAPITAL	040	BC	SS	81,700	13.17	n/a	n/a	2,451.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDLER O'NEILL ASSET	031	BEN	B	10,000	99.59	24-Mar	9-Jun	500.00	5.0
THIRD POINT MGMT	044	BIG	SS	100,000	30.98	29-May	5-Jun	4,000.00	4.0
WASHINGTON ASSET	048	BKC	S	6,000	27.88	1-May	2-May	300.00	5.0
BUCK	015	BUDGL	S	20	1.90	n/a	n/a	0.00	0.0
MFP INVESTORS	032	BYD	B	5,000	15.00	30-Apr	30-Apr	250.00	5.0
XYLEM GLOBAL	091	CCK	S	130,000	28.14	n/a	n/a	6,500.00	5.0
BANK OF NEW YORK	046	CEPH	B	16,430	69.35	29-May	6-Jun	657.20	4.0
KORMAN	003	CHCG	S	1,000	1.56	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	COH	SS	20,000	34.90	4-Apr	23-Apr	1,000.00	5.0
MERRILL LYNCH STRATEGICI	034	COH	B	40,000	34.50	4-Apr	23-Apr	1,600.00	4.0
BUCK	015	CPKI	C	1,000	13.53	n/a	9-May	0.00	0.0
B BUCK CAPITAL MGMT		CPWM	C	25,000	3.28	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		CPWM	C	25,400	3.27	n/a	n/a	non-brg	3.0
MS PRINCIPAL STRATEGIES	041	CVBF	B	16,732	10.71	n/a	n/a	836.60	5.0
B BUCK CAPITAL MGMT		DAI	SS	50,000	69.75	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DECK	B	20,000	128.57	n/a	n/a	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	DIS	B	500	33.11	n/a	n/a	0.00	0.0
COUGAR TRADING	044	DKS	SS	5,000	22.11	n/a	23-May	250.00	5.0
⚡ BUCK CAPITAL MGMT		DQO+TZ	SS	1,000	1.06	n/a	n/a	non-brg	300.0
BUCK CAPITAL MGMT	001	DRI	SS	2,000	32.56	n/a	15-Apr	80.00	4.0
ENTRUST CAPITAL	040	DRI	B	88,800	31.96	n/a	15-Apr	4,440.00	5.0
⚡ BUCK CAPITAL MGMT		DSW+GC	SS	2,930	0.48	n/a	n/a	non-brg	100.0
BUCK	015	DVNGF	S	7	2.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	EAT	SS	4,000	19.89	n/a	24-Apr	160.00	4.0
KORMAN	003	ELSJ	B	50	4.05	n/a	n/a	0.00	0.0
CROWLEY	061	EPG	B	1,500	5.16	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		FDO	SS	50,000	21.52	8-Jan	5-Jun	non-brg	4.0
CASTLE ARK	031	FDO	SS	2,800	21.29	8-Jan	5-Jun	168.00	6.0
⚡ BUCK CAPITAL MGMT		FL	B	100,000	13.59	2-Apr	23-May	non-brg	4.0
VISIUM/BALY HOWARD	038	FL	B	15,000	13.54	2-Apr	23-May	750.00	5.0
ROYCE TRADING	216	FNF	B	25,000	15.63	n/a	n/a	1,250.00	5.0
ROYAL CAPITAL	039	FSLR	B	5,000	238.50	n/a	n/a	250.00	5.0
MCCARTAN	028	F	C	400	6.15	25-Apr	9-Jun	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WANGER FUNDS/GREENWICH	031	GASS	S	2,500	16.07	n/a	n/a	125.00	5.0
⌘ BUCK CAPITAL MGMT		GIL	B	10,000	28.78	30-Apr	28-May	non-brg	4.0
GAULT	063	GIL	S	1,400	28.52	30-Apr	28-May	0.00	0.0
⌘ BUCK CAPITAL MGMT		GM	SS	50,000	16.23	29-Apr	9-Jun	non-brg	4.0
GOLD SACHS SPECIAL SIT	030	GNTX	B	350,000	16.01	7-Apr	9-Jun	17,500.00	5.0
SCOPUS FUND	041	GPI	B	20,000	24.05	n/a	30-Apr	1,000.00	5.0
BUCK	015	GPI	SS	1,000	24.15	n/a	30-Apr	0.00	0.0
BUCK	015	GPYML	B	6	18.25	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		GQU+GI	SS	1,000	1.45	n/a	n/a	non-brg	300.0
EMP ERROR	099	GS	S	100	165.50	19-Mar	6-Jun	0.00	0.0
GAULT	063	HEES	S	3,500	14.01	n/a	n/a	0.00	0.0
MFP INVESTORS	032	IACI	B	5,000	21.00	n/a	n/a	250.00	5.0
LUCHANSKY1	036	IR	S	100	39.36	n/a	n/a	0.00	0.0
KERN	050	IYSRC	B	20	2.65	n/a	n/a	0.00	0.0
KERN	050	IYSRC	S	20	1.80	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		JCG+IG	SS	1,000	2.70	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		JCG	B	12,200	33.66	n/a	30-May	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		JCG	B	50,000	33.68	n/a	30-May	non-brg	4.0
COUGAR TRADING	044	JCG	B	20,000	33.95	n/a	30-May	1,000.00	5.0
GAULT	063	JCI	S	500	32.45	23-Jan	16-Apr	0.00	0.0
BRIGADE CAPITAL MGMT	029	JCP	B	50,000	37.76	n/a	6-Jun	1,500.00	3.0
⌘ BUCK CAPITAL MGMT		JNY	B	30,000	16.13	n/a	1-May	non-brg	4.0
⌘ BUCK CAPITAL MGMT		JOSB	B	10,000	28.07	n/a	n/a	non-brg	4.0
MTB INV ADV	034	JOSB	S	17,200	28.02	n/a	n/a	860.00	5.0
BUCK	015	JPM	C	600	39.80	8-May	15-May	0.00	0.0
JMP ASSET MGMT	210	JRJC	B	3,000	18.95	n/a	n/a	150.00	5.0
KORMAN	003	JWUSK	B	50	11.00	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		KSS+GI	SS	1,000	1.57	n/a	n/a	non-brg	300.0
BEAR STEARNS ASSET MGMT.	033	KSS	S	50,000	44.56	n/a	6-Jun	2,000.00	4.0
THE JAY GOLDMAN	034	KSS	B	10,000	43.35	n/a	6-Jun	400.00	4.0
⌘ BUCK CAPITAL MGMT		LEH	B	34,500	29.33	9-Jun	9-Jun	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LEH	B	39,500	29.76	9-Jun	9-Jun	non-brg	4.0
BUCK	015	LEH	C	1,000	29.64	9-Jun	9-Jun	0.00	0.0
LUCHANSKY3	036	LEH	S	500	28.61	9-Jun	9-Jun	0.00	0.0
⌘ BUCK CAPITAL MGMT		LIZ+VC	SS	1,000	0.92	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	LIZ	SS	30,000	16.43	n/a	14-May	1,200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HOOVER INV. MGMT	033	LULU	S	25,100	28.18	n/a	n/a	1,255.00	5.0
WHITTIER CAP	048	LYV	S	8,140	13.18	n/a	n/a	325.60	4.0
COUGAR TRADING	044	MCD	S	5,000	59.04	9-Jun	9-Jun	250.00	5.0
BUCK	015	MER	SS	800	38.48	18-Jan	22-May	0.00	0.0
BARR	096	MER	SS	1,600	38.53	18-Jan	22-May	0.00	0.0
ROYAL CAPITAL	039	MGM	B	158,500	44.42	n/a	7-May	7,925.00	5.0
CATAPULT	040	MON	S	8,500	140.03	n/a	2-Apr	340.00	4.0
Ⓐ BUCK CAPITAL MGMT		MS	B	48,000	39.07	n/a	6-Jun	non-brg	4.0
EMP ERROR	099	MS	S	500	39.68	n/a	6-Jun	0.00	0.0
BLUE HARBOR	036	MTN	B	50,000	43.70	n/a	n/a	2,500.00	5.0
Ⓐ BUCK CAPITAL MGMT		MVRX	SS	40,000	141.50	n/a	n/a	non-brg	14.2
SINCLAIR	031	MWATB	B	20	1.20	n/a	n/a	0.00	0.0
DE SHAW	040	MYL	B	74,700	12.58	30-May	6-Jun	2,241.00	3.0
WHITTIER CAP	048	NDN	B	13,130	7.76	27-Feb	5-Jun	525.20	4.0
BARR	096	NEM	B	300	48.72	n/a	n/a	0.00	0.0
BARR	096	NVTL	B	2,000	11.96	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
XYLEM GLOBAL	091	OI	S	50,000	52.81	n/a	n/a	2,500.00	5.0
COOKBIELER	028	ORI	B	100,000	14.70	n/a	n/a	4,000.00	4.0
MS PRINCIPAL STRATEGIES	041	PACW	B	2,382	20.25	n/a	n/a	119.10	5.0
BMO NESBITT BURNS	041	PAG	B	25,000	18.25	22-Apr	30-Apr	1,250.00	5.0
SCOPUS FUND	041	PAG	B	20,000	18.27	22-Apr	30-Apr	1,000.00	5.0
KAICHER	064	PDE	B	1,000	45.15	n/a	n/a	0.00	0.0
MTB INV ADV	034	PII	S	23,145	46.40	n/a	n/a	1,157.25	5.0
BARR	096	PMTI	B	2,000	10.99	n/a	n/a	0.00	0.0
BARR	096	PMTI	S	2,000	10.76	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PSUN	B	252,000	9.51	n/a	6-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		PSUN	B	48,000	9.42	n/a	6-Jun	non-brg	4.0
SINCLAIR	031	PUHAA	S	50	1.85	n/a	n/a	0.00	0.0
BLUE HARBOR	036	PVH	B	50,000	41.86	5-May	22-May	2,500.00	5.0
SINCLAIR	031	PXDIQ	B	15	4.60	n/a	n/a	0.00	0.0
TRIVIUM CAP MGMT	251	QLGC	B	25,000	14.99	n/a	n/a	1,000.00	4.0
BUCK	015	RF	B	2,000	14.29	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		RL	C	10,000	67.42	7-Feb	29-May	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	RL	B	20,000	65.79	7-Feb	29-May	800.00	4.0
COUGAR TRADING	044	SDS	B	5,000	59.64	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SDS	SS	10,000	59.26	n/a	n/a	500.00	5.0
SUTERA	160	SDS	S	1,000	60.32	n/a	n/a	0.00	0.0
CASTLE ARK	031	SGR	B	129,780	64.98	n/a	n/a	7,786.80	6.0
COUGAR TRADING	044	SKF	B	5,000	123.51	n/a	n/a	250.00	5.0
COUGAR TRADING	044	SKF	SS	5,000	120.86	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		SKS+WB	SS	700	1.00	n/a	n/a	non-brg	300.0
B BUCK CAPITAL MGMT		SKS	SS	50,000	11.85	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	031	SLB	S	278	105.19	n/a	n/a	13.90	5.0
ENTRUST CAPITAL	040	SONC	B	116,200	18.44	n/a	n/a	5,810.00	5.0
FIRST NY SEC/CHRIS	041	SSCC	B	100,000	5.90	7-Apr	23-Apr	4,000.00	4.0
GRISANTI BROWN & PARTNER	048	SSCC	S	105,000	5.90	7-Apr	23-Apr	4,200.00	4.0
BUCK	015	STI	SS	1,000	45.47	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SUMR	B	15,000	4.10	n/a	n/a	non-brg	2.5
BAIRD INVESTMENT	031	SWN	S	488	48.56	20-Mar	4-Jun	24.40	5.0
KORMAN	003	TEN	SS	3,000	21.83	n/a	n/a	0.00	0.0
CAXTON PART	040	TIN	S	15,900	13.27	29-Apr	29-Apr	556.50	3.5

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ZOLIDIS	011	TWM	B	200	70.75	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		UA	B	50,000	33.19	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		URBN	B	10,000	31.34	15-May	15-May	non-brg	4.0
COUGAR TRADING	044	URBN	B	10,000	31.53	15-May	15-May	500.00	5.0
CATAPULT	040	USB	B	5,000	31.25	n/a	n/a	250.00	5.0
ZOLIDIS	011	UYG	S	800	26.61	n/a	n/a	0.00	0.0
LEDDY	035	VETS	S	1,000	4.10	n/a	n/a	0.00	0.0
FIRST INV MGMT CORP	029	WBC	S	28,400	53.16	30-Apr	30-Apr	1,420.00	5.0
MS PRINCIPAL STRATEGIES	041	WFC	B	5,474	24.90	n/a	n/a	273.70	5.0
⌘ BUCK CAPITAL MGMT		WFMI	SS	1,500	28.30	n/a	n/a	non-brg	4.0
BAIRD INVESTMENT	031	WFT	S	1,364	46.63	n/a	n/a	68.20	5.0
BARR	096	WM	SS	2,000	7.52	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		WRC	B	10,000	47.41	13-May	13-May	non-brg	4.0
BUCK CAPITAL MGMT	001	WSM	SS	70,000	22.32	n/a	n/a	2,800.00	4.0
SCOPUS FUND	041	WY	B	7,000	56.62	7-Apr	27-May	350.00	5.0
⌘ BUCK CAPITAL MGMT		XRT	SS	31,000	31.72	n/a	n/a	non-brg	1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ZQK	S	10,000	8.99	5-Feb	6-Jun	non-brg	4.0
BUCK CAPITAL MGMT		ZQK	S	100,000	8.78	5-Feb	6-Jun	non-brg	4.0
WEINTRAUB CAPITAL MGT.	040	ZQK	B	75,000	9.04	5-Feb	6-Jun	3,000.00	4.0
GAULT	063	ZYTAG	S	30	4.50	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		ZZ	C	66,000	5.95	n/a	n/a	non-brg	4.0
TOTAL (Trade Date):							119,633.15		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO, CXL	06/04	ZOLIDIS	011	ARO	B	500	33.71	28-Apr	6-Jun	0.00 0.0
AO, CRT	06/04	ZOLIDIS	011	ARO	B	500	33.71	28-Apr	6-Jun	0.00 0.0
AO,	06/06	GENERAL ELECTIC CO	033	AWK	B	65,524	22.06	n/a	n/a	1310.48 2.0
AO, CXL	06/06	DURANGO INVESTMENTS	031	BHI	S	5,000	90.07	n/a	n/a	200.00 4.0
AO, CRT	06/06	DURANGO INVESTMENTS	031	BHI	S	5,000	90.07	n/a	n/a	200.00 4.0
AO,	06/06	TRELLUS PARTNERS LP	028	BIG	SS	21,900	31.66	29-May	5-Jun	1095.00 5.0
AO, CXL	06/06	CARDINAL CAPITAL	044	CVO	B	3,200	12.78	n/a	n/a	160.00 5.0
AO, CRT	06/06	CARDINAL CAPITAL	044	CVO	B	3,200	12.78	n/a	n/a	160.00 5.0
AO, CRT	06/06	EMP ERROR	046	GS	B	100	171.31	19-Mar	6-Jun	0.00 0.0
AO, CXL	06/06	OGORMAN	046	GS	B	100	171.31	19-Mar	6-Jun	0.00 0.0
AO, CRT	06/06	EMP ERROR	046	MS	B	500	41.21	n/a	6-Jun	0.00 0.0
AO, CXL	06/06	OGORMAN	046	MS	B	500	41.21	n/a	6-Jun	0.00 0.0
TOTAL (AO, CXL, CRT) :										2,405.48

TOTAL (Trade Date):	119,633.15
TOTAL (AO, CXL, CRT):	2,405.48

TOTAL (RUN DATE) / NFS:

122,038.63

MRI Securities with Trade Activity on 9-Jun.

LEH, MCD

MRI Securities without Trade Activity on 9-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 5%

YTD = 18%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION