



## Daily Compliance Activity Report

Trade Date: 04/07/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>DEMARTINI</b>	<b>065</b>	<b>ABG</b>	<b>S</b>	<b>1,000</b>	<b>14.91</b>	<b>25-Jan</b>	<b>7-Apr</b>	<b>0.00</b>	<b>0.0</b>
CRAMER ROSENTHAL/SCOTT	012	AMG	S	9,100	99.74	7-Apr	7-Apr	364.00	4.0
CAPITAL GROWTH MGMT.	241	AMX	S	50,000	64.80	n/a	n/a	2,500.00	5.0
COUGAR TRADING	044	ANF	B	5,000	75.30	10-Jan	7-Apr	250.00	5.0
<b>MAGUIRE</b>	<b>090</b>	<b>ANR</b>	<b>S</b>	<b>2,500</b>	<b>50.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	ARGN	B	10,000	13.07	n/a	7-Apr	500.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>ARGN</b>	<b>B</b>	<b>700</b>	<b>14.21</b>	<b>n/a</b>	<b>7-Apr</b>	<b>0.00</b>	<b>0.0</b>
ENTRUST CAPITAL	040	ARO	B	101,200	26.28	29-Nov	7-Apr	5,060.00	5.0
<b>LUCHANSKY1</b>	<b>036</b>	<b>ATDPF</b>	<b>B</b>	<b>20,000</b>	<b>0.12</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>AXE</b>	<b>B</b>	<b>100</b>	<b>66.91</b>	<b>28-Mar</b>	<b>4-Apr</b>	<b>0.00</b>	<b>0.0</b>
KNOTT PARTNERS	044	BBI	S	94,800	3.49	n/a	n/a	1,896.00	2.0
<b>LISTED ERR</b>	<b>099</b>	<b>BBI</b>	<b>B</b>	<b>927</b>	<b>3.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LISTED ERR</b>	<b>099</b>	<b>BBI</b>	<b>S</b>	<b>7,700</b>	<b>3.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
T. ROWE PRICE	033	BEN	S	131,600	102.27	24-Mar	2-Apr	5,264.00	4.0
TRELLUS PARTNERS LP	028	BIG	SS	8,400	22.98	6-Mar	3-Apr	420.00	5.0
SYSTEMATIC FINANCIAL MGT	035	BKH	B	12,000	37.73	n/a	n/a	450.00	3.8

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
HIGHBRIDGE CAPITAL	038	BNI	B	25,000	95.76	n/a	n/a	1,250.00	5.0
NORTHPOINT	030	CCOI	B	125,300	21.31	n/a	n/a	5,012.00	4.0
PILOT ROCK	028	CELG	S	25,000	63.45	n/a	n/a	1,000.00	4.0
SANDLER O'NEILL ASSET	031	CLMS	B	1,500	17.56	13-Feb	2-Apr	75.00	5.0
LEVIN CAPITAL STRATEGIES	012	COF	C	15,000	52.97	n/a	n/a	600.00	4.0
WAGONHOUND	048	COH	B	1,540	32.80	4-Apr	4-Apr	61.60	4.0
GRUSS CAPITAL	045	DEI	B	5,000	23.15	n/a	n/a	200.00	4.0
PRINCIPLE CAPITAL	040	DKS	B	72,000	26.19	n/a	14-Mar	2,160.00	3.0
BARON ASSET MGMT*	012	EV	B	20,000	33.30	9-Jan	2-Apr	1,000.00	5.0
DSG CAPITAL	225	FOR	B	1,105	24.00	n/a	n/a	55.25	5.0
GRUSS CAPITAL	045	FOR	B	5,000	24.09	n/a	n/a	200.00	4.0
<b>GLICK</b>	<b>059</b>	<b>FOR</b>	<b>S</b>	<b>66</b>	<b>24.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	237	GES	SS	25,000	41.34	n/a	n/a	1,250.00	5.0
<b>LUCHANSKY</b>	<b>036</b>	<b>GE</b>	<b>B</b>	<b>200</b>	<b>37.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>GLICK</b>	<b>059</b>	<b>GFG</b>	<b>S</b>	<b>66</b>	<b>12.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DSG CAPITAL	225	GLW	S	1,700	25.91	n/a	27-Mar	85.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>GAULT</b>	<b>063</b>	<b>GMO</b>	<b>S</b>	<b>2,000</b>	<b>9.36</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>DEMARTINI</b>	<b>065</b>	<b>GMO</b>	<b>S</b>	<b>1,000</b>	<b>9.31</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
WOOSTER CAPITAL	035	GM	B	100,000	20.93	3-Jan	7-Apr	5,000.00	5.0
WOOSTER CAPITAL	035	GM	SS	100,000	21.50	3-Jan	7-Apr	5,000.00	5.0
BALYASNY ASSET MGMT	044	GNTX	SS	15,000	17.86	7-Apr	7-Apr	750.00	5.0
<b>WHEELER</b>	<b>008</b>	<b>GOODYEAR TIRE &amp; RUBR</b>	<b>S</b>	<b>100,000</b>	<b>102.50</b>		<b>n/a</b>	<b>n/a</b>	<b>0.00 0.0</b>
MATRIX ASSET ADV.	036	GPS	S	5,000	19.35	n/a	7-Apr	150.00	3.0
SIGMA CAPITAL ASSOCIATES	237	GPS	S	15,000	19.21	n/a	7-Apr	750.00	5.0
AELTUS/ING IVESTMENT MGT	044	HON	B	300,000	58.28	n/a	28-Mar	12,000.00	4.0
<b>CATTANI</b>	<b>041</b>	<b>ITT</b>	<b>B</b>	<b>200</b>	<b>56.20</b>	<b>n/a</b>	<b>28-Mar</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>S</b>	<b>3,000</b>	<b>44.59</b>	<b>28-Nov</b>	<b>12-Mar</b>	<b>120.00</b>	<b>4.0</b>
<b>CATTANI</b>	<b>041</b>	<b>JNS</b>	<b>B</b>	<b>200</b>	<b>26.01</b>	<b>24-Mar</b>	<b>4-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>EMP ERROR</b>	<b>099</b>	<b>JNS</b>	<b>S</b>	<b>300</b>	<b>25.79</b>	<b>24-Mar</b>	<b>4-Apr</b>	<b>0.00</b>	<b>0.0</b>
SIGMA CAPITAL ASSOCIATES	237	KR	B	10,000	24.91	n/a	n/a	500.00	5.0
BRANDWINE	028	LIZ	S	26,900	19.09	n/a	17-Mar	1,076.00	4.0
<b>MCCARTAN</b>	<b>028</b>	<b>LPX</b>	<b>S</b>	<b>400</b>	<b>10.89</b>	<b>7-Apr</b>	<b>7-Apr</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LTD</b>	<b>S</b>	<b>10,000</b>	<b>17.89</b>	<b>n/a</b>	<b>7-Apr</b>	<b>400.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CHILTON CAPITAL MGMT.	036	LVS	C	100,000	81.10	n/a	n/a	4,000.00	4.0
BRANDWINE	028	MAS	S	10,500	19.80	n/a	n/a	420.00	4.0
WELLS/STRONG LEDDY	035	MFB	S	2,100	16.85	n/a	n/a	84.00	4.0
CSFB GLOBAL PROPRIETARY	041	MWV	SS	15,000	28.81	7-Apr	7-Apr	750.00	5.0
BARROW HANLEY MEWHINNEY	031	MW	B	116,500	24.66	n/a	n/a	4,660.00	4.0
VENTANA CAPITAL	060	MW	S	7,500	24.95	n/a	n/a	300.00	4.0
PILOT ADV	038	M	S	20,000	23.89	7-Feb	7-Apr	800.00	4.0
THE JAY GOLDMAN	034	M	S	30,000	24.03	7-Feb	7-Apr	1,200.00	4.0
<b>CATTANI</b>	<b>041</b>	<b>NPRTX</b>	<b>B</b>	<b>160</b>	<b>31.28</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HIGHBRIDGE CAPITAL	038	NSC	B	36,000	57.43	n/a	n/a	1,800.00	5.0
<b>CATTANI</b>	<b>041</b>	<b>PAFDX</b>	<b>B</b>	<b>193</b>	<b>25.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>DEMARTINI</b>	<b>065</b>	<b>PAG</b>	<b>S</b>	<b>1,000</b>	<b>20.64</b>	<b>6-Feb</b>	<b>7-Apr</b>	<b>0.00</b>	<b>0.0</b>
CATAPULT	040	PBCT	S	5,000	18.09	n/a	n/a	250.00	5.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>PCL</b>	<b>B</b>	<b>15,000</b>	<b>41.08</b>	<b>8-Jan</b>	<b>30-Jan</b>	<b>750.00</b>	<b>5.0</b>
CENTURION GLOBAL MGMT LL	041	PMTC	B	24,200	15.98	n/a	n/a	726.00	3.0
EPOCH INV MGMT	030	PM	B	3,800	51.30	n/a	n/a	152.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	RVI	B	15,000	4.40	n/a	n/a	300.00	2.0
<b>XYLEM GLOBAL</b>	<b>091</b>	<b>RYN</b>	<b>B</b>	<b>10,000</b>	<b>44.45</b>	<b>8-Jan</b>	<b>25-Jan</b>	<b>500.00</b>	<b>5.0</b>
SIGMA CAPITAL ASSOCIATES	237	SKS	B	70,000	13.12	n/a	n/a	3,500.00	5.0
<b>GLICK</b>	<b>059</b>	<b>TIN</b>	<b>S</b>	<b>200</b>	<b>14.16</b>	<b>19-Mar</b>	<b>7-Apr</b>	<b>0.00</b>	<b>0.0</b>
COUGAR TRADING	044	TJX	B	10,000	32.54	n/a	7-Apr	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	TJX	B	20,000	32.40	n/a	7-Apr	1,000.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>SS</b>	<b>10,000</b>	<b>13.44</b>	<b>2-Apr</b>	<b>2-Apr</b>	<b>400.00</b>	<b>4.0</b>
EPOCH INV MGMT	030	TMO	B	1,100	58.10	n/a	n/a	44.00	4.0
LEHMAN PROPRIETARY TRAD	041	TSFG	SS	37,500	12.39	n/a	n/a	1,500.00	4.0
MATRIX ASSET ADV.	036	TYC	S	5,175	45.95	n/a	28-Mar	207.00	4.0
VISIUM/BALY HOWARD	038	USTR	SS	10,000	48.74	n/a	27-Jul	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>VFC</b>	<b>B</b>	<b>10,000</b>	<b>77.48</b>	<b>n/a</b>	<b>n/a</b>	<b>400.00</b>	<b>4.0</b>
EPOCH INV MGMT	030	V	B	1,950	65.02	n/a	n/a	78.00	4.0
GRANAHAN INV	039	WBC	B	300	46.00	28-Mar	28-Mar	12.00	4.0
EPOCH INV MGMT	030	WMI	S	9,300	34.89	n/a	n/a	372.00	4.0
SIGMA CAPITAL ASSOCIATES	237	WMT	S	25,000	54.51	n/a	7-Mar	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	WRNC	SS	15,000	44.30	3-Apr	3-Apr	750.00	5.0
GRUSS CAPITAL	045	WY	B	5,000	65.72	7-Apr	7-Apr	200.00	4.0
LEDDY	035	XOHO	S	5,000	1.26	n/a	n/a	0.00	0.0
CAXTON PART	040	ZNT	S	20,000	39.47	n/a	n/a	700.02	3.5
<b>TOTAL (Trade Date) :</b>								<b>83,503.87</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	04/04	EMP ERROR (EMP ERROR)	099	JNS	B	300	25.81	24-Mar	4-Apr	0.00	0.0
AO,CXL	04/04	CATTANI (CATTANI)	041	JNS	B	300	25.81	24-Mar	4-Apr	0.00	0.0
AO,CRT	04/04	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RVI	S	10,000	4.65	n/a	n/a	300.00	3.0
AO,CXL	04/04	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	RVI	S	10,000	4.65	n/a	n/a	400.00	4.0
AO,CXL	04/03	JCK PARTNERS (JCKPMILPML)	067	ZLC	SS	12,172	19.03	n/a	n/a	486.88	4.0
AO,CRT	04/03	JCK PARTNERS (JCKPMILPML)	067	ZLC	SS	12,172	19.03	n/a	n/a	608.60	5.0
AO,CXL	04/03	JCK PARTNERS (JCKPGOLDMA)	067	ZLC	SS	37,828	19.03	n/a	n/a	1513.12	4.0
AO,CRT	04/03	JCK PARTNERS (JCKPGOLDMA)	067	ZLC	SS	37,828	19.03	n/a	n/a	1891.40	5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>400.00</b>	

TOTAL (Trade Date):	83,503.87
TOTAL (AO, CXL, CRT):	400.00

<b>TOTAL (RUN DATE) / NFS:</b>	<b>83,903.87</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION