RR Commissions Summary [Kevin O'Gorman]

Trade Date: 11/25/2013

"Brokerage Month Basis" CLIENT (PRIMARY)	RR	COMMISSIONS 11/25	MTD	QTD	YTD	CHECKS MTD	QTD	YTD	TOTAL MTD	QTD	YTD	LAST YEAR	% of LY
AMBER CAPITAL	046	0	0	0	17,148	0	0	0	0	0	17,148	51,197	33
CAMBIAR CAP	046	0	33,470	53,266	171,011	0	0	0	33,470	53,266	171,011	129,983	132
DARUMA ASSET MGMT INC	046	0	0	0	4,528	0	0	0	0	0	4,528	963	470
DENVER INST. ADV	046	0	0	0	27,954	0	0	0	0	0	27,954	64,358	43
GARTMORE/HENDERSON - LONDON	046	0	0	0	0	0	0	0	0	0	0	51,254	0
HENDERSON GLOBAL INVESTORS	046	0	0	0	0	0	0	5,154	0	0	5,154	0	0
JANUS CAPITAL CORP	046	0	0	0	0	0	0	160,000	0	0	160,000	41,000	390
JP MORGAN VENTURES	046	0	0	0	0	0	0	0	0	0	0	17,892	0
KENNEDY CAPITAL	046	988	10,637	10,637	57,804	0	0	0	10,637	10,637	57,804	46,063	125
MADISON ST PARTNERS LLC	046	875	1,500	4,500	17,750	0	0	0	1,500	4,500	17,750	3,719	477
MARSICO CAPITAL	046	0	0	0	12,567	0	0	1,875	0	0	14,442	3,530	409
MELLON/BANK OF NEW YORK	046	0	4,865	4,865	123,471	0	0	0	4,865	4,865	123,471	206,470	60
MUHLENKAMP FUND	046	0	0	0	25,000	0	0	0	0	0	25,000	19,250	130
NOMURA GLOBAL ASSET MGMT	046	0	0	0	0	0	0	6,400	0	0	6,400	0	0
PLATTE RIVER CAPITAL	046	0	0	3,560	24,025	0	1,750	1,750	0	5,310	25,775	0	0
RK CAPTIAL MGMT	046	0	0	0	2,290	0	0	0	0	0	2,290	4,750	48
ROSEDALE CAPITAL	046	600	8,560	15,800	74,542	0	0	0	8,560	15,800	74,542	81,694	91
TOCQUEVILLE	046	0	7,500	11,706	36,146	0	0	0	7,500	11,706	36,146	0	0
ZIFF BROS	046	0	0	0	0	0	0	17,500	0	0	17,500	50,000	35
TOTALS:		2,463	66,532	104,334	594,236	0	1,750	192,679	66,532	106,084	786,915		

"Brokerage Month Basis" CLIENT (SHARED)	RR	COMMISSIONS 11/25	MTD	QTD	YTD	CHECKS MTD	QTD	YTD	TOTAL MTD	QTD	YTD	LAST YEAR	% of LY
KENSAI MANAGEMENT	286	0	0	0	0	0	0	0	0	0	0	0	0
MANNING & NAPIER	035	0	0	0	0	0	32,500	97,500	0	32,500	97,500	108,750	90
TOTALS:		0	0	0	0	0	32,500	97,500	0	32,500	97,500		