



RR Commissions Summary [Jack Chernus]

Trade Date: 08/27/2013

| "Brokerage Month Basis" | | COMMISSIONS | | | | CHECKS | | | TOTAL | | | LAST YEAR | % |
|----------------------------|-----|-------------|---------------|---------------|---------------|--------------|---------------|---------------|---------------|---------------|---------------|-----------|-------|
| CLIENT (PRIMARY) | RR | 08/27 | MTD | QTD | YTD | MTD | QTD | YTD | MTD | QTD | YTD | | of LY |
| AH LISANTI | 006 | 0 | 0 | 0 | 1,696 | 0 | 0 | 0 | 0 | 0 | 1,696 | 0 | 0 |
| BARON ASSET MGMT* | 006 | 0 | 0 | 750 | 2,711 | 0 | 0 | 0 | 0 | 750 | 2,711 | 0 | 0 |
| BROADARCH CAP/OLD NEWC | 006 | 0 | 1,688 | 1,688 | 4,329 | 0 | 0 | 0 | 1,688 | 1,688 | 4,329 | 0 | 0 |
| CLEARLINE CAPITAL LLC | 006 | 0 | 1,130 | 1,130 | 1,130 | 0 | 0 | 0 | 1,130 | 1,130 | 1,130 | 0 | 0 |
| CUMBERLAND | 006 | 0 | 0 | 3,510 | 3,510 | 0 | 0 | 0 | 0 | 3,510 | 3,510 | 0 | 0 |
| DECADE CAPITAL PARTNERS | 006 | 0 | 0 | 0 | 0 | 4,476 | 4,476 | 4,476 | 4,476 | 4,476 | 4,476 | 0 | 0 |
| GREEN ARROW JOHN | 006 | 0 | 0 | 0 | 0 | 2,325 | 2,325 | 2,325 | 2,325 | 2,325 | 2,325 | 0 | 0 |
| MAPLE ROW | 006 | 0 | 3,160 | 4,285 | 7,167 | 0 | 0 | 0 | 3,160 | 4,285 | 7,167 | 0 | 0 |
| SLS CAPITAL LLC | 006 | 0 | 11,039 | 11,039 | 11,039 | 0 | 0 | 0 | 11,039 | 11,039 | 11,039 | 0 | 0 |
| TALON ASSET MGMT. | 006 | 0 | 2,850 | 6,550 | 13,185 | 0 | 0 | 0 | 2,850 | 6,550 | 13,185 | 0 | 0 |
| THIRD AVE MGMT | 006 | 0 | 0 | 0 | 0 | 0 | 0 | 1,000 | 0 | 0 | 1,000 | 0 | 0 |
| TIEDEMANN/TIG ADVISORS LLC | 006 | 0 | 790 | 1,540 | 5,827 | 0 | 0 | 0 | 790 | 1,540 | 5,827 | 0 | 0 |
| TIME SQUARE CAP MGMT | 006 | 0 | 0 | 0 | 0 | 0 | 4,000 | 4,000 | 0 | 4,000 | 4,000 | 0 | 0 |
| ZWEIG FUNDS | 006 | 0 | 0 | 5,000 | 12,750 | 0 | 0 | 0 | 0 | 5,000 | 12,750 | 0 | 0 |
| TOTALS: | | 0 | 20,657 | 35,492 | 63,344 | 6,801 | 10,801 | 11,801 | 27,458 | 46,293 | 75,144 | | |

| "Brokerage Month Basis" | | COMMISSIONS | | | | CHECKS | | | TOTAL | | | LAST YEAR | % |
|-------------------------|-----|-------------|----------|----------|----------|---------------|---------------|---------------|---------------|---------------|---------------|-----------|-------|
| CLIENT (SHARED) | RR | 08/27 | MTD | QTD | YTD | MTD | QTD | YTD | MTD | QTD | YTD | | of LY |
| CATAPULT | 283 | 0 | 0 | 0 | 0 | 17,349 | 17,349 | 17,349 | 17,349 | 17,349 | 17,349 | 0 | 0 |
| SUN AMERICA ASSET MGMT | 030 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTALS: | | 0 | 0 | 0 | 0 | 17,349 | 17,349 | 17,349 | 17,349 | 17,349 | 17,349 | | |

Report created by Jack Chernus on 08/28/2013 at 07:55:30am from m/c [192.168.20.134] using Mozilla/4.0 (compatible; MSIE 8.0; Windows NT 6.1; Trident/4.0; SLCC2; .NET CLR 2.0.50727; .NET CLR 3.5.30729; .NET CLR 3.0.30729; Media Center PC 6.0; CMDTDF; .NET4.0C; .NET4.0E)