



Daily Compliance Activity Report

Trade Date: 06/03/2009

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f IAN L MILLER	FID	ADMIQ	B	5	0.97	n/a	n/a	0.00	0.0
f JOHN CAREY MAGUIRE	FID	ALV	B	200	28.05	12-Mar	22-Apr	0.00	0.0
MILLRACE FUND LP	028	ALV	B	10,226	28.00	12-Mar	22-Apr	409.04	4.0
B BUCK CAPITAL MGMT		ANF	B	100,000	31.57	3-Jun	3-Jun	non-brg	4.0
WEISS PECK	040	ANF	C	5,000	31.43	3-Jun	3-Jun	250.00	5.0
COUGAR TRADING	044	ARO	B	10,000	36.01	n/a	1-Jun	500.00	5.0
THE JAY GOLDMAN	034	ARO	SS	15,000	35.01	n/a	1-Jun	600.00	4.0
HOLLIS CAPITAL	033	ATI	S	5,000	39.07	n/a	n/a	250.00	5.0
KLEINHEINZ CAP PART	031	AVP	S	75,000	27.14	2-Jun	2-Jun	3,750.00	5.0
RAIL-SPLITTER	031	BIG	SS	21,100	23.92	28-May	2-Jun	1,055.00	5.0
AVESTA CAP	229	BJ	B	25,000	34.83	n/a	27-Jul	1,000.00	4.0
B BUCK CAPITAL MGMT		BKC	C	50,000	16.54	16-Apr	29-Apr	non-brg	2.5
CIRCLE T/SES PART	035	BKC	B	50,000	16.81	16-Apr	29-Apr	2,000.00	4.0
COUGAR TRADING	044	BKC	B	15,000	16.51	16-Apr	29-Apr	750.00	5.0
f NEIL M STRATTON	FID	BKXV	S	2	2.00	n/a	n/a	0.00	0.0
VALHALLA CAPITAL LP	041	BRCM	B	10,000	25.21	n/a	n/a	300.00	3.0
B BUCK CAPITAL MGMT		BWA	C	6,000	32.92	1-May	1-May	non-brg	1.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOHN CAREY MAGUIRE	FID	BWA	B	200	33.47	1-May	1-May	0.00	0.0
	MILLRACE FUND LP	028	BWA	B	6,700	32.90	1-May	1-May	268.00	4.0
B	BUCK CAPITAL MGMT		BYI	B	20,000	28.58	n/a	n/a	non-brg	4.0
f	EDWARD W WHEELER	FID	CAH	B	2000	30.00	n/a	n/a	0.00	0.0
	STERLING JOHNSTON	035	CAKE	B	15,000	17.53	29-May	29-May	600.00	4.0
B	BUCK CAPITAL MGMT		CCI	B	20,000	23.08	n/a	n/a	non-brg	4.0
	BALYASNY ASSET MGMT	044	CIR	S	5,000	25.73	n/a	n/a	250.00	5.0
f	ANTHONY SUTERA	FID	CIT	B	5000	3.05	23-Apr	3-Jun	0.00	0.0
B	BUCK CAPITAL MGMT		CIT	B	100,000	3.13	23-Apr	3-Jun	non-brg	2.0
	BALYASNY ASSET MGMT	044	CMA	SS	50,000	21.39	n/a	n/a	2,500.00	5.0
	LEVIN CAPITAL STRATEGIES	012	COLM	SS	30,000	33.08	n/a	n/a	900.00	3.0
	EGS ASSOCIATES LP	091	CPKI	B	4,000	14.10	29-May	29-May	240.00	6.0
f	KAREN F LEDDY	FID	CVGI	B	7000	1.14	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		C	B	100,000	3.35	n/a	26-May	non-brg	2.0
	GRANITE CAPITAL	045	DELL	S	30,000	11.85	n/a	n/a	1,500.00	5.0
f	ABRAM M CHAPPELL	FID	DRYS	S	360	7.51	n/a	n/a	0.00	0.0
f	ABRAM M CHAPPELL	FID	EGLE	S	360	6.79	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	FDX	B	5,000	56.08	n/a	n/a	250.00	5.0
PILOT ADV	038	FL	S	48,000	11.42	27-Apr	22-May	1,920.00	4.0
B BUCK CAPITAL MGMT		FRED	SS	20,000	14.23	n/a	27-Mar	non-brg	2.5
f JOHN MICHAEL ZOLIDIS	FID	F	S	1000	6.03	n/a	3-Jun	0.00	0.0
f KAREN F LEDDY	FID	F	B	2000	6.04	n/a	3-Jun	0.00	0.0
SCHOTTENFELD ASSOC	041	F	B	25,000	6.15	n/a	3-Jun	750.00	3.0
SCOPUS FUND	041	F	S	410,000	6.18	n/a	3-Jun	20,500.00	5.0
BALYASNY ASSET MGMT	044	GDI	SS	20,100	27.86	n/a	n/a	1,005.00	5.0
BUCK CAPITAL MGMT	001	GES	B	10,000	27.77	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		GNTX	C	6,000	12.39	30-Jan	23-Apr	non-brg	1.0
BALYASNY ASSET MGMT	044	GWW	C	5,000	82.87	n/a	14-Apr	250.00	5.0
B BUCK CAPITAL MGMT		HGG	B	20,000	15.32	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	HOLX	B	2000	13.91	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	HOT	SS	50,000	24.81	n/a	n/a	2,000.00	4.0
f EDWARD W WHEELER	FID	HSTX	S	11990	5.60	n/a	n/a	0.00	0.0
DALTON GREINER	012	HUBB	B	3,140	35.40	n/a	n/a	157.00	5.0
f DAVID GEORGE BUCK	FID	IDKSJ	S	15	1.45	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		IGT	B	50,000	16.46	n/a	27-Apr	non-brg	4.0
BALYASNY ASSET MGMT	044	IVZ	B	9,700	17.36	11-May	3-Jun	485.00	5.0
JMP ASSET MGMT	210	JAS	B	5,000	23.24	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		JBLU	B	150,000	4.31	n/a	n/a	non-brg	3.0
f FRANCIS MICHAEL MCCA	FID	JBLU	B	800	4.36	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	JBLU	B	10000	4.41	n/a	n/a	0.00	0.0
SAC TRADING HOWARD	038	JCG	B	15,000	26.38	n/a	30-Jan	600.00	4.0
BUCK CAPITAL MGMT	001	JCP	SS	250,000	30.10	13-Apr	1-Jun	10,000.00	4.0
RBC CAPITAL MARKETS	040	JCP	SS	10,000	29.56	13-Apr	1-Jun	400.00	4.0
B BUCK CAPITAL MGMT		JOSB	B	10,000	39.24	n/a	n/a	non-brg	4.0
TYEE/TYGH	035	JOYG	B	160,968	35.83	2-Jun	2-Jun	6,438.72	4.0
FIRST NY SEC/CHRIS	041	KEY	B	40,000	4.88	n/a	n/a	2,000.00	5.0
MACKLOWE ASSET MGMT	041	KR	S	100,000	22.77	n/a	n/a	5,000.00	5.0
B BUCK CAPITAL MGMT		LL	B	20,000	15.37	n/a	n/a	non-brg	4.0
NEWCASTLE FUNDS	255	LTM	S	40,000	20.27	n/a	n/a	1,600.00	4.0
B BUCK CAPITAL MGMT		LVS	S	30,000	9.60	n/a	n/a	non-brg	3.0
BUCK CAPITAL MGMT	001	MAR	SS	20,000	23.89	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
GRANITE CAPITAL	045	MDR	S	20,000	21.06	n/a	n/a	1,000.00	5.0
TRELLUS PARTNERS LP	028	MGA	C	20,000	31.85	n/a	n/a	1,000.00	5.0
BALYASNY ASSET MGMT	044	MLM	S	2,500	83.59	n/a	n/a	125.00	5.0
DEFIANCE ASSET	028	MOS	SS	20,000	52.70	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		MVRX	SS	490,000	133.28	n/a	n/a	non-brg	13.3
BARCLEYS CAP (OLD LEHM)	041	M	SS	50,000	13.15	n/a	1-Jun	2,000.00	4.0
B BUCK CAPITAL MGMT		M	SS	41,900	13.32	n/a	1-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		M	SS	58,100	13.44	n/a	1-Jun	non-brg	2.0
NEWCASTLE FUNDS	255	M	C	33,600	13.10	n/a	1-Jun	1,344.00	4.0
LIEBAU ASSET MGMT	048	NBL	S	10,000	61.52	n/a	n/a	500.00	5.0
RDK CAPITAL MGMT	034	PEP	B	10,000	54.48	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		PERY	S	30,000	8.40	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		PFCB	C	50,000	33.59	29-May	29-May	non-brg	4.0
f NICOLAS EVAN PAKLER	FID	PNRA	S	28	51.78	n/a	29-Apr	0.00	0.0
B BUCK CAPITAL MGMT		PSS	S	150,000	15.66	n/a	n/a	non-brg	4.0
f NICOLAS EVAN PAKLER	FID	RAD	B	1000	1.31	n/a	n/a	0.00	0.0
DALTON GREINER	012	RBC	S	2,650	40.73	n/a	n/a	132.50	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CUMBERLAND	038	RGR	SS	23,100	11.69	n/a	n/a	924.00	4.0
COOPER CREEK PART	028	RRGB	B	10,000	17.63	n/a	n/a	400.00	4.0
B BUCK CAPITAL MGMT		SAI	SS	40,000	17.67	n/a	n/a	non-brg	4.0
f NEIL M STRATTON	FID	SFLWB	S	5	1.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SKX	S	50,000	10.04	n/a	13-Mar	non-brg	4.0
BALYASNY ASSET MGMT	044	SLM	B	50,000	6.20	23-Apr	3-Jun	2,000.00	4.0
B BUCK CAPITAL MGMT		SLM	B	100,000	5.96	23-Apr	3-Jun	non-brg	3.0
f DAVID GEORGE BUCK	FID	SPG	S	500	53.98	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	STI	S	1500	16.03	n/a	n/a	0.00	0.0
BMO NESBITT BURNS	041	TEN	B	50,000	6.60	n/a	n/a	2,500.00	5.0
AVESTA CAP	229	TGT	B	50,000	41.26	25-Feb	2-Jun	2,000.00	4.0
AVESTA CAP	229	TGT	S	75,000	40.97	25-Feb	2-Jun	3,000.00	4.0
B BUCK CAPITAL MGMT		UAUA	B	50,000	5.19	n/a	n/a	non-brg	1.0
LEVIN CAPITAL STRATEGIES	012	UA	SS	20,000	25.46	29-Apr	29-Apr	800.00	4.0
f ANDREW SINCLAIR	FID	UNESO	S	150	1.70	n/a	n/a	0.00	0.0
f JONATHAN RICHARD HAR	FID	UYM	B	100	20.00	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ROTHSCHILD ASSET	040	VAL	B	68,670	23.63	n/a	n/a	3,090.15	4.5
⚡ BUCK CAPITAL MGMT		VLCM	SS	20,000	14.40	n/a	n/a	non-brg	4.0
CATAPULT	040	VMC	B	10,000	45.99	n/a	n/a	500.00	5.0
SYSTEMATIC FINANCIAL MGT	035	VZ	S	451,300	29.24	n/a	4-Jan	15,795.50	3.5
⚡ BUCK CAPITAL MGMT		WRC	S	52,500	33.41	13-May	13-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		WRC	S	7,500	33.33	13-May	13-May	non-brg	4.0
RBC CAPITAL MARKETS	040	WSM	B	30,000	13.43	n/a	n/a	1,200.00	4.0
⚡ BUCK CAPITAL MGMT		XRT	C	2,300,000	28.82	n/a	n/a	non-brg	1.0
TOTAL (Trade Date):								111,438.91	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CRT	06/02	ROTHSCHILD ASSET	040	AAN	S	220	33.35	n/a	n/a	9.90	4.5
AO,CXL	06/02	ROTHSCHILD ASSET	040	AAN	S	220	33.35	n/a	n/a	9.90	4.5
AO,CRT	06/02	JETSTREAM GLOBAL FUND LP	065	BKS	B	17,700	26.71	n/a	n/a	885.00	5.0
AO,CXL	06/02	JETSTREAM GLOBAL FUND LP	065	BKS	B	17,700	26.71	n/a	n/a	708.00	4.0
AO,CXL	06/02	CUMBERLAND	038	BRCD	S	50,000	7.43	n/a	n/a	1500.00	3.0
AO,CRT	06/02	CUMBERLAND	038	BRCD	S	50,000	7.43	n/a	n/a	2000.00	4.0
AO,CRT	06/02	JETSTREAM GLOBAL FUND LP	065	GOOG	S	7,000	428.22	n/a	n/a	350.00	5.0
AO,CXL	06/02	JETSTREAM GLOBAL FUND LP	065	GOOG	S	7,000	428.22	n/a	n/a	280.00	4.0

TOTAL (AO, CXL, CRT) :**747.00**

TOTAL (Trade Date) :

111,438.91

TOTAL (AO, CXL, CRT) :

747.00

TOTAL (RUN DATE) / NFS:**112,185.91****MRI Securities with Trade Activity on 3-Jun.****ANF**

MRI Securities without Trade Activity on 3-Jun.

UHS

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 9%

YTD = 21%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)