

Daily Compliance Activity Report Trade Date: 10/07/2010

POTENTIAL ANALYST TRADE VIOLATIONS: 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
BUCK CAPITAL MGMT	001	ANF	S	15,000	42.01	n/a	1-0ct	600.00	4.0
CAVU CAP ADV	028	ANF	В	35,000	42.11	n/a	1-0ct	1,750.00	5.0
PIONEER PATH	226	ANF	S	59,038	42.29	n/a	1-0ct	1,771.14	3.0
COUGAR TRADING	044	ARO	В	5,000	23.58	n/a	1-0ct	250.00	5.0
METROPOLITAN CAPITAL	044	ARO	В	15,000	23.37	n/a	1-0ct	750.00	5.0
LISTED ERR	099	ARO	В	1,000	23.37	n/a	1-0ct	0.00	0.0
LISTED ERR	099	ARO	S	1,000	23.34	n/a	1-0ct	0.00	0.0
PENNANT CAP MGMT	029	ATLS	В	80,000	29.89	n/a	n/a	2,400.00	3.0
SHEFFIELD ASSET MGMT	031	ATVI	В	200,000	11.19	n/a	n/a	6,000.00	3.0
MILLRACE FUND LP	028	AXL	S	60,000	8.71	n/a	4-Aug	1,800.00	3.0
BROADARCH CAP/OLD NEWC	070	BA	В	2,700	68.25	n/a	17-Sep	108.00	4.0
GRANITE CAPITAL	028	BEAV	SS	20,000	31.55	n/a	7-0ct	1,000.00	5.0
WEISS PECK	040	BEAV	S	19,960	31.30	n/a	7-0ct	998.00	5.0
CATAPULT	040	BMS	SS	10,000	32.97	n/a	n/a	400.00	4.0
KACELA CAPITAL	034	BUCY	В	13,420	72.64	n/a	26-Jul	536.80	4.0
CAVU CAP ADV	028	CAT	В	20,000	78.91	n/a	23-Jul	1,000.00	5.0
TPG-AXON	040	CBE	SS	36,000	49.62	n/a	22-Jul	1,800.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	:/Shr.
SCOPIA CAPITAL	048	CCK	S	50,000	29.04	n/a	n/a	2,000.00	4.0
CATAPULT	040	CE	В	10,000	32.79	n/a	n/a	400.00	4.0
GALT INVESTMENT	041	CLUB	S	10,000	2.94	n/a	n/a	250.00	2.5
BALYASNY ASSET MGMT	044	CMA	SS	25,000	38.50	n/a	n/a	1,250.00	5.0
BROADARCH CAP/OLD NEWC	070	COL	В	2,300	58.90	n/a	21-Jul	92.00	4.0
FIRST NY SEC/CHRIS	041	CSCO	В	10,000	22.32	n/a	n/a	500.00	5.0
ACK ASSET	028	DAN	В	45,948	12.99	n/a	2-Aug	1,378.44	3.0
BROADARCH CAP/OLD NEWC	070	DOW	В	6,400	29.10	n/a	24-Aug	256.00	4.0
GREEN ARROW DOUG	040	DPS	В	25,000	34.65	n/a	n/a	1,250.00	5.0
TRUSTCO/SUNTRUST	040	DPZ	В	40,000	13.95	n/a	29-Sep	1,400.02	3.5
GRANITE CAPITAL	028	EMC	S	15,000	19.45	n/a	n/a	750.00	5.0
PENNANT CAP MGMT	029	EME	SS	20,000	24.91	n/a	n/a	600.00	3.0
AAD CAPITAL	084	FDO	SS	20,000	46.21	n/a	7-0ct	800.00	4.0
TALKOT CAPITAL LLC	084	F	SS	10,000	13.22	n/a	4-0ct	400.00	4.0
LEVEL GLOBAL SHARED ACCT	257	GES	В	25,300	39.98	n/a	26-Aug	759.00	3.0
	SCOPIA CAPITAL CATAPULT GALT INVESTMENT BALYASNY ASSET MGMT BROADARCH CAP/OLD NEWC FIRST NY SEC/CHRIS ACK ASSET BROADARCH CAP/OLD NEWC GREEN ARROW DOUG TRUSTCO/SUNTRUST GRANITE CAPITAL PENNANT CAP MGMT AAD CAPITAL TALKOT CAPITAL LLC	SCOPIA CAPITAL 048 CATAPULT 040 GALT INVESTMENT 041 BALYASNY ASSET MGMT 044 BROADARCH CAP/OLD NEWC 070 FIRST NY SEC/CHRIS 041 ACK ASSET 028 BROADARCH CAP/OLD NEWC 070 GREEN ARROW DOUG 040 TRUSTCO/SUNTRUST 040 GRANITE CAPITAL 028 PENNANT CAP MGMT 029 AAD CAPITAL 084 TALKOT CAPITAL LLC 084	SCOPIA CAPITAL 048 CCK CATAPULT 040 CE GALT INVESTMENT 041 CLUB BALYASNY ASSET MGMT 044 CMA BROADARCH CAP/OLD NEWC 070 COL FIRST NY SEC/CHRIS 041 CSCO ACK ASSET 028 DAN BROADARCH CAP/OLD NEWC 070 DOW GREEN ARROW DOUG 040 DPS TRUSTCO/SUNTRUST 040 DPZ GRANITE CAPITAL 028 EMC PENNANT CAP MGMT 029 EME AAD CAPITAL 11C 084 F	SCOPIA CAPITAL O48 CCK S CATAPULT O40 CE B GALT INVESTMENT O41 CLUB S BALYASNY ASSET MGMT O44 CMA SS BROADARCH CAP/OLD NEWC O70 COL B FIRST NY SEC/CHRIS O41 CSCO B ACK ASSET O28 DAN B BROADARCH CAP/OLD NEWC O70 DOW B GREEN ARROW DOUG O40 DPS B TRUSTCO/SUNTRUST O40 DPZ B GRANITE CAPITAL O28 EMC S PENNANT CAP MGMT O29 EME SS AAD CAPITAL O84 F SS	SCOPIA CAPITAL 048 CCK S 50,000 CATAPULT 040 CE B 10,000 GALT INVESTMENT 041 CLUB S 10,000 BALYASNY ASSET MGMT 044 CMA SS 25,000 BROADARCH CAP/OLD NEWC 070 COL B 2,300 FIRST NY SEC/CHRIS 041 CSCO B 10,000 ACK ASSET 028 DAN B 45,948 BROADARCH CAP/OLD NEWC 070 DOW B 6,400 GREEN ARROW DOUG 040 DPS B 25,000 TRUSTCO/SUNTRUST 040 DPZ B 40,000 GRANITE CAPITAL 028 EMC S 15,000 PENNANT CAP MGMT 029 EME SS 20,000 AAD CAPITAL 084 FDO SS 20,000 TALKOT CAPITAL LLC 084 F SS 10,000	SCOPIA CAPITAL 048 CCK S 50,000 29.04 CATAPULT 040 CE B 10,000 32.79 GALT INVESTMENT 041 CLUB S 10,000 2.94 BALYASNY ASSET MGMT 044 CMA SS 25,000 38.50 BROADARCH CAP/OLD NEWC 070 COL B 2,300 58.90 FIRST NY SEC/CHRIS 041 CSCO B 10,000 22.32 ACK ASSET 028 DAN B 45,948 12.99 BROADARCH CAP/OLD NEWC 070 DOW B 6,400 29.10 GREEN ARROW DOUG 040 DPS B 25,000 34.65 TRUSTCO/SUNTRUST 040 DPZ B 40,000 13.95 GRANITE CAPITAL 028 EMC S 15,000 19.45 PENNANT CAP MGMT 029 EME SS 20,000 24.91 AAD CAPITAL 084 FDO SS 20,000 46.21 TALKOT CAPITAL LLC 084 F SS 10,000 13.22	SCOPIA CAPITAL 048 CCK S 50,000 29.04 n/a CATAFULT 040 CE B 10,000 32.79 n/a GALT INVESTMENT 041 CLUB S 10,000 2.94 n/a BALYASNY ASSET MGMT 044 CMA SS 25,000 38.50 n/a BROADARCH CAP/OLD NEWC 070 COL B 2,300 58.90 n/a FIRST NY SEC/CHRIS 041 CSCO B 10,000 22.32 n/a ACK ASSET 028 DAN B 45,948 12.99 n/a BROADARCH CAP/OLD NEWC 070 DOW B 6,400 29.10 n/a GREEN ARROW DOUG 040 DPS B 25,000 34.65 n/a TRUSTCO/SUNTRUST 040 DPZ B 40,000 13.95 n/a GRANITE CAPITAL 028 EMC S 15,000 19.45 n/a PENNANT CAP MGMT 029 EME SS 20,000 24.91 n/a AAD CAPITAL 084 FDO SS 20,000 46.21 n/a TALKOT CAPITAL LLC 084 F SS 10,000 13.22 n/a	SCOPIA CAPITAL 048 CCK S 50,000 29.04 n/a n/a CATAPULT 040 CE B 10,000 32.79 n/a n/a GALT INVESTMENT 041 CLUB S 10,000 2.94 n/a n/a BALYASNY ASSET MEMT 044 CMA SS 25,000 38.50 n/a n/a BROADARCH CAP/OLD NEWC 070 COL B 2,300 58.90 n/a 21-Jul FIRST NY SEC/CHRIS 041 CSCO B 10,000 22.32 n/a n/a ACK ASSET 028 DAN B 45,948 12.99 n/a 2-Aug BROADARCH CAP/OLD NEWC 070 DOW B 6,400 29.10 n/a 24-Aug GREEN ARROW DOUG 040 DPS B 25,000 34.65 n/a n/a TRUSTCO/SUNTRUST 040 DPZ B 40,000 13.95 n/a 29-Sep GRANITE CAPITAL 028 EMC S 15,000 19.45 n/a n/a PENNANT CAP MGMT 029 EME SS 20,000 24.91 n/a n/a AAD CAPITAL 084 FDO SS 20,000 46.21 n/a 7-Oct TALKOT CAPITAL LLC 084 F SS 10,000 13.22 n/a 4-Oct	SCOPIA CAPITAL 048 CCK S 50,000 29.04 n/a n/a 2,000.00 CATAPULT 040 CE B 10,000 32.79 n/a n/a 400.00 GALT INVESTMENT 041 CLUB S 10,000 2.94 n/a n/a 250.00 BALYASNY ASSET MGMT 044 CMA SS 25,000 38.50 n/a n/a 1,250.00 BROADARCH CAP/OLD NEWC 070 COL B 2,300 58.90 n/a 21-Jul 92.00 FIRST NY SEC/CHRIS 041 CSCO B 10,000 22.32 n/a n/a 500.00 ACK ASSET 028 DAN B 45,948 12.99 n/a 24-Aug 256.00 GREEN ARROW DOUG 040 DPS B 25,000 34.65 n/a n/a 1,250.00 TRUSTCO/SUNTRUST 040 DPZ B 40,000 13.95 n/a n/a 750.00

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JET CAPITAL/CHRIS	041	GGP	S	100,000	15.88	n/a	n/a	3,000.00	3.0	
GALT INVESTMENT	041	GMAN	S	2,488	11.95	n/a	n/a	99.52	4.0	
BUCK CAPITAL MGMT	001	GPS	В	200,000	18.41	n/a	n/a	8,000.00	4.0	
DIAMONDBACK CAP MGMT	232	GPS	SS	50,000	18.56	n/a	n/a	2,500.00	5.0	
KLEINHEINZ CAP PART	031	HD	SS	75 , 000	31.63	n/a	7-0ct	3,750.00	5.0	
RBC CAPITAL MARKETS	040	HD	В	15,000	31.72	n/a	7-0ct	600.00	4.0	
SCHOTTENFELD ASSOC	041	HD	В	20,000	31.67	n/a	7-0ct	600.00	3.0	
LATEFF MGMT	035	HON	S	1,500	45.31	n/a	18-Aug	360.00	24.0	
RBC CAPITAL MARKETS	040	НОТ	В	15,000	54.69	n/a	8-Sep	600.00	4.0	
TPG-AXON	040	ITW	SS	33,000	48.21	n/a	30-Jul	1,650.00	5.0	
BUCK CAPITAL MGMT	001	JCG	S	20,000	34.37	n/a	n/a	800.00	4.0	
BUCK CAPITAL MGMT	001	JCP	SS	40,000	30.14	n/a	1-0ct	1,600.00	4.0	
CAXTON PART	040	JPM	В	11,606	40.16	n/a	5-0ct	406.22	3.5	
SAC CAPITAL/M GRONET	030	KSS	S	10,000	52.83	n/a	1-0ct	350.00	3.5	
PARAMETER CAPITAL MGMT LI	LC044	LRY	В	25,000	33.11	n/a	n/a	1,000.00	4.0	
HG VORA CAP MGMT LLC	025	LVS	В	10,000	36.11	n/a	4-0ct	300.00	3.0	
CAVU CAP ADV	028	MAR	S	30,000	35.64	n/a	7-0ct	1,500.00	5.0	

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	Shr.	
TPG-AXON	040	MMM	SS	20,000	88.95	n/a	17-Sep	1,000.00	5.0	
HG VORA CAP MGMT LLC	025	MPEL	В	25,000	5.46	n/a	4-Oct	750.00	3.0	
DELTEC ASSET MGMT.	040	MTW	S	14,000	12.05	n/a	3-Aug	700.00	5.0	
PENNANT CAP MGMT	029	NSR	SS	60,000	24.21	n/a	n/a	1,800.00	3.0	
BENNETT LAWRENCE	028	OPEN	S	4,600	62.25	n/a	n/a	184.00	4.0	
BROADARCH CAP/OLD NEWC	070	OXY	В	2,300	82.42	n/a	n/a	92.00	4.0	
COHE & STEERS	041	PCL	S	56,600	36.03	n/a	26-Aug	2,264.00	4.0	
CAXTON PART	040	PEP	В	38,684	65.78	n/a	n/a	1,353.98	3.5	
CAVU CAP ADV	028	PH	S	10,000	70.34	n/a	4-Aug	500.00	5.0	
NEW JERSEY DIV OF INV.	030	PNC	S	100,000	53.04	n/a	n/a	4,000.00	4.0	
FIRST NEW YORK NICK	029	Q	В	30,000	6.39	n/a	n/a	1,500.00	5.0	
RBC CAPITAL MARKETS	040	RCL	S	20,000	33.10	n/a	n/a	800.00	4.0	
ROXBURY CAPITAL MGMT	035	RFMD	S	540,740	6.22	n/a	n/a	21,629.60	4.0	
PENNANT CAP MGMT	029	RIMM	SS	5,000	47.82	n/a	n/a	150.00	3.0	
WEINTRAUB CAPITAL MGT.	040	SSCC	В	25,000	19.94	n/a	7-0ct	1,000.00	4.0	
	TPG-AXON HG VORA CAP MGMT LLC DELTEC ASSET MGMT. PENNANT CAP MGMT BENNETT LAWRENCE BROADARCH CAP/OLD NEWC COHE & STEERS CAXTON PART CAVU CAP ADV NEW JERSEY DIV OF INV. FIRST NEW YORK NICK RBC CAPITAL MARKETS ROXBURY CAPITAL MGMT PENNANT CAP MGMT	TPG-AXON 040 HG VORA CAP MGMT LLC 025 DELTEC ASSET MGMT. 040 PENNANT CAP MGMT 029 BENNETT LAWRENCE 028 BROADARCH CAP/OLD NEWC 070 COHE & STEERS 041 CAXTON PART 040 CAVU CAP ADV 028 NEW JERSEY DIV OF INV. 030 FIRST NEW YORK NICK 029 RBC CAPITAL MARKETS 040 ROXBURY CAPITAL MGMT 035 PENNANT CAP MGMT 029	TPG-AXON 040 MMM HG VORA CAP MGMT LLC 025 MPEL DELTEC ASSET MGMT. 040 MTW PENNANT CAP MGMT 029 NSR BENNETT LAWRENCE 028 OPEN BROADARCH CAP/OLD NEWC 070 OXY COHE & STEERS 041 PCL CAXTON PART 040 PEP CAVU CAP ADV 028 PH NEW JERSEY DIV OF INV. 030 PNC FIRST NEW YORK NICK 029 Q RBC CAPITAL MARKETS 040 RCL ROXBURY CAPITAL MGMT 035 RFMD PENNANT CAP MGMT 029 RIMM	TPG-AXON 040 MMM SS HG VORA CAP MGMT LLC 025 MPEL B DELTEC ASSET MGMT. 040 MTW S PENNANT CAP MGMT 029 NSR SS BENNETT LAWRENCE 028 OPEN S BROADARCH CAP/OLD NEWC 070 OXY B COHE & STEERS 041 PCL S CAXTON PART 040 PEP B CAVU CAP ADV 028 PH S NEW JERSEY DIV OF INV. 030 PNC S FIRST NEW YORK NICK 029 Q B RBC CAPITAL MARKETS 040 RCL S ROXBURY CAPITAL MGMT 035 RFMD S PENNANT CAP MGMT 029 RIMM SS	TPG-AXON 040 MMM SS 20,000 HG VORA CAP MGMT LLC 025 MPEL B 25,000 DELTEC ASSET MGMT. 040 MTW S 14,000 PENNANT CAP MGMT 029 NSR SS 60,000 BENNETT LAWRENCE 028 OPEN S 4,600 BROADARCH CAP/OLD NEWC 070 OXY B 2,300 COHE & STEERS 041 PCL S 56,600 CAXTON PART 040 PEP B 38,684 CAVU CAP ADV 028 PH S 10,000 NEW JERSEY DIV OF INV. 030 PNC S 100,000 FIRST NEW YORK NICK 029 Q B 30,000 RBC CAPITAL MARKETS 040 RCL S 20,000 ROXBURY CAPITAL MGMT 035 RFMD S 540,740 PENNANT CAP MGMT 029 RIMM SS 5,000	TPG-AXON 040 MMM SS 20,000 88.95 HG VORA CAP MGMT LLC 025 MPEL B 25,000 5.46 DELTEC ASSET MGMT. 040 MTW S 14,000 12.05 PENNANT CAP MGMT 029 NSR SS 60,000 24.21 BENNETT LAWRENCE 028 OPEN S 4,600 62.25 BROADARCH CAP/OLD NEWC 070 OXY B 2,300 82.42 COHE & STEERS 041 PCL S 56,600 36.03 CAXTON PART 040 PEP B 38,684 65.78 CAVU CAP ADV 028 PH S 10,000 70.34 NEW JERSEY DIV OF INV. 030 PNC S 100,000 53.04 FIRST NEW YORK NICK 029 Q B 30,000 6.39 RBC CAPITAL MARKETS 040 RCL S 20,000 33.10 ROXBURY CAPITAL MGMT 035 RFMD S 540,740 6.22 PENNANT CAP MGMT 029 RIMM SS 5,000 47.82	TPG-AXON 040 MMM SS 20,000 88.95 n/a HG VORA CAP MGMT LLC 025 MPEL B 25,000 5.46 n/a DELTEC ASSET MGMT. 040 MTW S 14,000 12.05 n/a PENNANT CAP MGMT 029 NSR SS 60,000 24.21 n/a BENNETT LAWRENCE 028 OPEN S 4,600 62.25 n/a BROADARCH CAP/OLD NEWC 070 OXY B 2,300 82.42 n/a COHE & STEERS 041 PCL S 56,600 36.03 n/a CAXTON PART 040 PEP B 38,684 65.78 n/a CAVU CAP ADV 028 PH S 10,000 70.34 n/a NEW JERSEY DIV OF INV. 030 PNC S 100,000 53.04 n/a FIRST NEW YORK NICK 029 Q B 30,000 6.39 n/a ROXBURY CAPITAL MGMT 035 RFMD S 540,740 6.22 n/a PENNANT CAP MGMT 029 RIMM SS 5,000 47.82 n/a	TPG-AXON 040 MMM SS 20,000 88.95	TPG-AXON 040 MMM SS 20,000 88.95 n/a 17-Sep 1,000.00 HG VORA CAP MONT LLC 025 MPEL B 25,000 5.46 n/a 4-Oct 750.00 DELTEC ASSET MGMT. 040 MTW S 14,000 12.05 n/a 3-Aug 700.00 PENNANT CAP MONT 029 NSR SS 60,000 24.21 n/a n/a 1,800.00 BENNETT LAWRENCE 028 OPEN S 4,600 62.25 n/a n/a n/a 184.00 BROADARCH CAP/OLD NEWC 070 OXY B 2,300 82.42 n/a n/a 92.00 COHE & STEERS 041 PCL S 56,600 36.03 n/a 26-Aug 2,264.00 CAXTON PART 040 PEP B 38,684 65.78 n/a n/a 1,353.98 CAVU CAP ADV 028 PH S 10,000 70.34 n/a 4-Aug 500.00 NEW JERSEY DIV OF INV. 030 PNC S 100,000 53.04 n/a n/a 4.400.00 FIRST NEW YORK NICK 029 Q B 30,000 6.39 n/a n/a 1,500.00 ROXEURY CAPITAL MGMT 035 RFMD S 540,740 6.22 n/a n/a 1.62.60.00 PENNANT CAP MGMT 029 RIMM SS 5,000 47.82 n/a n/a 1.50.00	TPG-AXON 040 MMM SS 20,000 88.95

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.
ROSEDALE CAPITAL	046	SWN	В	20,000	33.51	n/a	30-Sep	1,000.00	5.0
FIRST NEW YORK LEDDY	035	S	S	20,000	4.53	n/a	n/a	800.00	4.0
ASCEND PARTNERS	033	TGT	В	90,000	54.25	n/a	2-Sep	4,500.00	5.0
AVESTA CAP	229	TGT	С	100,000	54.48	n/a	2-Sep	3,000.00	3.0
CAMBIAR CAP	046	TGT	В	220,903	53.94	n/a	2-Sep	8,836.12	4.0
RBC CAPITAL MARKETS	040	TGT	В	25,000	54.42	n/a	2-Sep	1,000.00	4.0
RBC CAPITAL MARKETS	040	TGT	SS	20,000	53.85	n/a	2-Sep	800.00	4.0
SANDELL ADV	028	TPCG	S	39,443	26.47	n/a	n/a	1,577.72	4.0
COBALT	041	UFS	В	21,344	66.91	n/a	n/a	1,067.20	5.0
GALT INVESTMENT	041	URBN	S	50,000	31.77	n/a	n/a	2,500.00	5.0
TPG-AXON	040	UTX	SS	23,000	72.88	n/a	n/a	1,150.00	5.0
FIRST NY SEC/CHRIS	041	VIAB	В	10,000	36.61	n/a	n/a	500.00	5.0
CAXTON PART	040	WFC	В	11,606	26.54	n/a	5-0ct	406.22	3.5
CAVU CAP ADV	028	WHR	В	10,000	80.26	n/a	n/a	500.00	5.0

TOTAL (Trade Date): 125,725.98

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
CRT	10/07	MILLRACE FUND LP (MILRGS)	028	AXL	S	60,000	8.71	n/a	4-Aug	1800.00	3.0
AO,CXL	10/06	IMPALA ASSET MMT (IMPAUBS)	012	INT	S	19,445	26.91	n/a	n/a	777.80	4.0
AO,CXL	10/06	IMPALA ASSET MMT (IMPACITI)	012	INT	S	755	26.91	n/a	n/a	30.20	4.0
AO,CXL	10/06	HG VORA CAP MGMT LLC (HGVOGSPB)	025	IWM	В	5,000	68.40	n/a	n/a	200.00	4.0
AO,CRT	10/06	HG VORA CAP MGMT LLC (HGVOGSPB)	025	IWM	В	5,000	68.40	n/a	n/a	150.00	3.0
AO,CXL	10/06	HG VORA CAP MGMT LLC (HGVOGSPB)	025	LVS	В	15,000	36.14	n/a	4-0ct	600.00	4.0
AO,CRT	10/06	HG VORA CAP MGMT LLC (HGVOGSPB)	025	LVS	В	15,000	36.14	n/a	4-Oct	450.00	3.0
AO,CXL	10/06	HG VORA CAP MGMT LLC (HGVOGSPB)	025	MPEL	В	25 , 000	5.40	n/a	4-0ct	1000.00	4.0
AO, CRT	10/06	HG VORA CAP MGMT LLC (HGVOGSPB)	025	MPEL	В	25,000	5.40	n/a	4-0ct	750.00	3.0
AO,	10/06	CAMBIAR CAP (CAMB1114)	046	TGT	В	20	53.97	n/a	2-Sep	0.80	4.0
AO,	10/06	CAMBIAR CAP (CAMB748)	046	TGT	В	37,000	53.97	n/a	2-Sep	1480.00	4.0
AO,	10/06	CAMBIAR CAP (CAMB1110)	046	TGT	В	90	53.97	n/a	2-Sep	3.60	4.0
AO,	10/06	CAMBIAR CAP (CAMB682)	046	TGT	В	1,060	53.97	n/a	2-Sep	42.40	4.0
AO,	10/06	CAMBIAR CAP (CAMB1107)	046	TGT	В	40	53.97	n/a	2-Sep	1.60	4.0
AO,	10/06	CAMBIAR CAP (CAMB694)	046	TGT	В	2,300	53.97	n/a	2-Sep	92.00	4.0
AO,	10/06	CAMBIAR CAP (CAMB1111)	046	TGT	В	40	53.97	n/a	2-Sep	1.60	4.0
AO,	10/06	CAMBIAR CAP (CAMB710)	046	TGT	В	320	53.97	n/a	2-Sep	12.80	4.0
AO,	10/06	CAMBIAR CAP (CAMB1122)	046	TGT	В	270	53.97	n/a	2-Sep	10.80	4.0
AO,	10/06	CAMBIAR CAP (CAMB743)	046	TGT	В	800	53.97	n/a	2-Sep	32.00	4.0
AO,	10/06	CAMBIAR CAP (CAMB1112)	046	TGT	В	130	53.97	n/a	2-Sep	5.20	4.0
AO,	10/06	CAMBIAR CAP (CAMB714)	046	TGT	В	1,200	53.97	n/a	2-Sep	48.00	4.0
AO,	10/06	CAMBIAR CAP (CAMB1100)	046	TGT	В	110	53.97	n/a	2-Sep	4.40	4.0
AO,	10/06	CAMBIAR CAP (CAMB535)	046	TGT	В	1,800	53.97	n/a	2-Sep	72.00	4.0

Типо	Date	Account		RR #	Symbol	B/S	Shares	Price	MDT	Research	Comm	¢/Shr.
Type	10/06	CAMBIAR CAP	(CAMB1099)	046	TGT	В/З В	270	53.97	n/a	2-Sep	10.80	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1099)	046	TGT	В	440	53.97	n/a	2-Sep 2-Sep	17.60	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1098)	046	TGT	В	270	53.97	n/a	2-Sep 2-Sep	10.80	4.0
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AO,	10/06	CAMBIAR CAP	(CAMB527)	046	TGT	В	20	53.97	n/a	2-Sep	0.80	4.0
AO,	10/06	CAMBIAR CAP		046	TGT	В	270	53.97	n/a	2-Sep	10.80	4.0
AO,	10/06	CAMBIAR CAP		046	TGT	В	1,700	53.97	n/a	2-Sep	68.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1090)	046	TGT	В	60	53.97	n/a	2-Sep	2.40	4.0
AO,	10/06	CAMBIAR CAP		046	TGT	В	1,490	53.97	n/a	2-Sep	59.60	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1085)	046	TGT	В	450	53.97	n/a	2-Sep	18.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB502)	046	TGT	В	750	53.97	n/a	2-Sep	30.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1087)	046	TGT	В	750	53.97	n/a	2-Sep	30.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB526)	046	TGT	В	1,600	53.97	n/a	2-Sep	64.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB667)	046	TGT	В	530	53.97	n/a	2-Sep	21.20	4.0
AO,	10/06	CAMBIAR CAP	(CAMB26)	046	TGT	В	430	53.97	n/a	2-Sep	17.20	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1025)	046	TGT	В	5,600	53.97	n/a	2-Sep	224.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB25)	046	TGT	В	800	53.97	n/a	2-Sep	32.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1020)	046	TGT	В	60	53.97	n/a	2-Sep	2.40	4.0
AO,	10/06	CAMBIAR CAP	(CAMB24)	046	TGT	В	350	53.97	n/a	2-Sep	14.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB540)	046	TGT	В	6,300	53.97	n/a	2-Sep	252.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB21)	046	TGT	В	7,300	53.97	n/a	2-Sep	292.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB969)	046	TGT	В	110	53.97	n/a	2-Sep	4.40	4.0
AO,	10/06	CAMBIAR CAP	(CAMB20)	046	TGT	В	10,500	53.97	n/a	2-Sep	420.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB10)	046	TGT	В	10,147	53.97	n/a	2-Sep	405.88	4.0
AO,	10/06	CAMBIAR CAP	(CAMB400)	046	TGT	В	620	53.97	n/a	2-Sep	24.80	4.0
AO,	10/06	CAMBIAR CAP	(CAMB42)	046	TGT	В	122,000	53.97	n/a	2-Sep	4880.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB972)	046	TGT	В	690	53.97	n/a	2-Sep	27.60	4.0
AO,	10/06	CAMBIAR CAP	(CAMB65)	046	TGT	В	10,000	53.97	n/a	2-Sep	400.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB971)	046	TGT	В	110	53.97	n/a	2-Sep	4.40	4.0
AO,	10/06	CAMBIAR CAP		046	TGT	В	120	53.97	n/a	2-Sep	4.80	4.0
AO,	10/06	CAMBIAR CAP		046	TGT	В	8 , 900	53.97	n/a	2-Sep	356.00	4.0
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Type	Date	Account		RR #	Symbol	B/S	Shares	Price	MRI 1	Research	Comm.	;/Shr.
AO,	10/06	CAMBIAR CAP	(CAMB970)	046	TGT	В	160	53.97	n/a	2-Sep	6.40	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1121)	046	TGT	В	30	53.97	n/a	2-Sep	1.20	4.0
AO,	10/06	CAMBIAR CAP	(CAMB879)	046	TGT	В	850	53.97	n/a	2-Sep	34.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1142)	046	TGT	В	2,100	53.97	n/a	2-Sep	84.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB883)	046	TGT	В	2,000	53.97	n/a	2-Sep	80.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1141)	046	TGT	В	480	53.97	n/a	2-Sep	19.20	4.0
AO,	10/06	CAMBIAR CAP	(CAMB810)	046	TGT	В	50	53.97	n/a	2-Sep	2.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1125)	046	TGT	В	90	53.97	n/a	2-Sep	3.60	4.0
AO,	10/06	CAMBIAR CAP	(CAMB831)	046	TGT	В	800	53.97	n/a	2-Sep	32.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1127)	046	TGT	В	530	53.97	n/a	2-Sep	21.20	4.0
AO,	10/06	CAMBIAR CAP	(CAMB832)	046	TGT	В	450	53.97	n/a	2-Sep	18.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1128)	046	TGT	В	210	53.97	n/a	2-Sep	8.40	4.0
AO,	10/06	CAMBIAR CAP	(CAMB767)	046	TGT	В	30	53.97	n/a	2-Sep	1.20	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1131)	046	TGT	В	350	53.97	n/a	2-Sep	14.00	4.0
AO,	10/06	CAMBIAR CAP	(CAMB)	046	TGT	В	30	53.97	n/a	2-Sep	1.20	4.0
AO,	10/06	CAMBIAR CAP	(CAMB1113)	046	TGT	В	180	53.97	n/a	2-Sep	7.20	4.0
AO,	10/06	CAMBIAR CAP	(CAMB804)	046	TGT	В	640	53.97	n/a	2-Sep	25.60	4.0

TOTAL	(AO, CXL,	CRT):	10,413.88
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TOTAL (RUN DATE) / NFS:	136,139.86
TOTAL (AO, CXL, CRT):	10,413.88
TOTAL (Trade Date):	125,725.98

Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION