



# Daily Compliance Activity Report

Trade Date: 08/03/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f KEVIN MICHAEL O'GORM</b>	<b>FID</b>	<b>ABG</b>	<b>B</b>	<b>2000</b>	<b>21.36</b>	<b>n/a</b>	<b>27-Jul</b>	<b>0.00</b>	<b>0.0</b>
PRIMECAP MGMT	084	ABT	B	800	49.52	n/a	n/a	32.00	4.0
HIGHBRIDGE CAP	041	AEO	SS	15,622	12.78	n/a	26-May	468.66	3.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>AMZN</b>	<b>S</b>	<b>20</b>	<b>206.38</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANF</b>	<b>B</b>	<b>20,000</b>	<b>69.62</b>	<b>n/a</b>	<b>28-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ANN</b>	<b>B</b>	<b>70,000</b>	<b>24.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	ANN	SS	5,348	24.85	n/a	n/a	160.44	3.0
<b>B BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>SS</b>	<b>30,000</b>	<b>16.47</b>	<b>n/a</b>	<b>20-May</b>	<b>900.00</b>	<b>3.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>AXE</b>	<b>B</b>	<b>10,000</b>	<b>59.36</b>	<b>n/a</b>	<b>26-Jul</b>	<b>non-brg</b>	<b>4.0</b>
HUNTER GLOBAL INVESTOR	028	AXL	SS	40,000	10.71	n/a	1-Aug	1,200.00	3.0
TUDOR INVESTORS	028	AXL	SS	10,000	10.66	n/a	1-Aug	300.00	3.0
TUDOR INVESTORS	028	BA	S	5,000	67.26	n/a	29-Jul	150.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>B</b>	<b>10,000</b>	<b>54.32</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	BBBY	B	6,000	54.21	n/a	n/a	180.00	3.0
BALLAST CAP	040	BC	B	10,000	19.84	n/a	n/a	500.00	5.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>BGU</b>	<b>S</b>	<b>3000</b>	<b>66.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	JOSEPH CIRO AMATURO	FID	BGU	S	4000	66.55	n/a	n/a	0.00	0.0
	MACQUARIE INVESTMENT MGMT.	040	BHI	S	2,000	73.04	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		BID	B	28,100	39.30	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		BID	B	50,000	39.55	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		BWLD	SS	20,000	60.70	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		BYI	S	34,348	37.51	n/a	n/a	non-brg	4.0
	CHALSON	041	BYI	B	1,500	37.43	n/a	n/a	60.00	4.0
	COOPER CREEK PART	028	BZ	S	100,000	6.27	n/a	n/a	3,000.00	3.0
	PROSPECTOR PARTNERS LLC	036	CGI	B	2,210	12.75	n/a	n/a	88.40	4.0
	MACQUARIE INVESTMENT MGMT.	040	CHD	S	5,000	40.01	n/a	20-Jun	0.00	0.0
	MACQUARIE INVESTMENT MGMT.	040	CHK	S	5,000	33.84	n/a	n/a	0.00	0.0
	COLUMBIA PARTNERS	028	CHMT	SS	63,260	16.37	n/a	18-Feb	2,530.40	4.0
	SURVEYOR CAPITAL	041	CHRW	S	2,000	71.44	n/a	n/a	60.00	3.0
	HIGHBRIDGE CAP	041	CL	SS	4,123	84.10	n/a	1-Aug	123.69	3.0
	TRUSTCO/SUNTRUST	040	CMCSA	B	262,600	22.68	n/a	n/a	9,191.02	3.5
B	BUCK CAPITAL MGMT		CMG	C	20,000	319.47	n/a	20-Jul	non-brg	2.5
	TUDOR INVESTORS	028	CMI	S	5,000	99.20	n/a	27-Jul	150.00	3.0

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<b>B</b> BUCK CAPITAL MGMT		<b>COH</b>	<b>B</b>	<b>10,000</b>	<b>61.44</b>	<b>n/a</b>	<b>3-Aug</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	CPB	SS	12,253	32.77	n/a	n/a	367.59	3.0
CHALSON	041	CPO	B	5,000	50.53	n/a	n/a	200.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>CSTR</b>	<b>B</b>	<b>30,000</b>	<b>45.88</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
TUDOR INVESTORS	028	CSX	SS	10,000	23.48	n/a	n/a	300.00	3.0
<b>f</b> JONATHAN RICHARD HAR	<b>FID</b>	<b>CVGI</b>	<b>S</b>	<b>5150</b>	<b>9.22</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f</b> KEVIN MICHAEL O'GORM	<b>FID</b>	<b>CVGI</b>	<b>B</b>	<b>200</b>	<b>9.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
INVESCO	031	CVS	S	63,437	35.75	n/a	n/a	2,537.48	4.0
<b>f</b> FRANCIS MICHAEL MCCA	<b>FID</b>	<b>DAN</b>	<b>B</b>	<b>600</b>	<b>14.96</b>	<b>n/a</b>	<b>29-Jul</b>	<b>0.00</b>	<b>0.0</b>
SURVEYOR CAPITAL	041	DAN	B	10,000	15.17	n/a	29-Jul	300.00	3.0
TUDOR INVESTORS	028	DAN	B	10,000	15.22	n/a	29-Jul	300.00	3.0
BUCK CAPITAL MGMT	<b>001</b>	<b>DDS</b>	<b>B</b>	<b>20,000</b>	<b>54.88</b>	<b>n/a</b>	<b>n/a</b>	<b>600.00</b>	<b>3.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>DECK</b>	<b>S</b>	<b>10,000</b>	<b>103.62</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	DKS	B	8,000	34.95	n/a	18-May	240.00	3.0
NEUBERGER BERMAN	036	DPL	S	40,800	30.13	n/a	n/a	1,632.00	4.0
<b>Ö</b> James Mitchell		<b>DRL</b>	<b>B</b>	<b>6000</b>	<b>1.63</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>DSW</b>	<b>B</b>	<b>40,000</b>	<b>51.62</b>	<b>n/a</b>	<b>11-Feb</b>	<b>non-brg</b>	<b>4.0</b>

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⚡ BUCK CAPITAL MGMT		DTG	C	33,500	70.20	n/a	n/a	non-brg 4.0
⚡ BUCK CAPITAL MGMT		ENR	SS	20,000	78.76	n/a	28-Jul	non-brg 4.0
TUDOR INVESTORS	028	EXPD	SS	5,000	47.62	n/a	n/a	150.00 3.0
f JONATHAN RICHARD HAR	FID	EXPR	B	975	20.78	n/a	n/a	0.00 0.0
Õ James Mitchell		FBC	B	14000	0.74	n/a	n/a	0.00 0.0
ROYCE TRADING	012	FINL	B	2,000	20.29	n/a	24-Jun	100.00 5.0
EGS ASSOCIATES LP	091	FL	B	3,100	20.45	n/a	2-Aug	186.00 6.0
ARCHON CAPITAL	035	FNGN	S	158,000	22.86	n/a	n/a	4,740.00 3.0
⚡ BUCK CAPITAL MGMT		FOSL	B	20,000	120.74	n/a	n/a	non-brg 4.0
f MARK MEGALLI	FID	FURX	B	3000	19.15	n/a	n/a	0.00 0.0
BRONSON POINT	036	F	B	200,000	11.64	n/a	3-Aug	6,000.00 3.0
BUCK CAPITAL MGMT	001	GES	B	10,000	37.59	n/a	18-Jul	300.00 3.0
⚡ BUCK CAPITAL MGMT		GIII	B	10,000	28.52	n/a	n/a	non-brg 4.0
WALL STREET CAPITAL	084	GLUU	S	25,000	4.27	n/a	n/a	875.00 3.5
⚡ BUCK CAPITAL MGMT		GM	C	114,124	26.97	n/a	3-Aug	non-brg 4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
OZ MGMT	040	GM	B	155,000	26.86	n/a	3-Aug	4,650.00	3.0
TRELLUS PARTNERS LP	028	GM	B	50,000	26.57	n/a	3-Aug	2,500.00	5.0
HIGHBRIDGE CAP	041	GPS	SS	20,450	18.88	n/a	n/a	613.50	3.0
TUDOR INVESTORS	028	GR	B	3,000	92.82	n/a	2-Aug	90.00	3.0
NEUBERGER BERMAN	036	GXP	B	62,800	19.85	n/a	n/a	2,512.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>HANS</b>	<b>B</b>	<b>20,000</b>	<b>74.51</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	HD	B	15,333	32.74	n/a	18-May	459.99	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>HII</b>	<b>C</b>	<b>25,000</b>	<b>32.14</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
HIGHBRIDGE CAP	041	HNZ	SS	4,358	51.40	n/a	n/a	130.74	3.0
CHALSON	041	HOG	B	11,000	41.32	n/a	n/a	440.00	4.0
TUDOR INVESTORS	028	HON	SS	3,000	51.29	n/a	2-Aug	90.00	3.0
SURVEYOR CAPITAL	041	HTZ	S	5,000	13.30	n/a	n/a	150.00	3.0
TUDOR INVESTORS	028	HUBB	B	5,000	57.30	n/a	n/a	150.00	3.0
MACQUARIE INVESTMENT MGMT.	040	HUN	B	25,000	17.90	n/a	n/a	0.00	0.0
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>HWCC</b>	<b>S</b>	<b>5000</b>	<b>15.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>HWCC</b>	<b>S</b>	<b>1000</b>	<b>15.25</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
OZ MGMT	040	IP	S	50,000	28.17	n/a	28-Jul	1,500.00	3.0

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TUDOR INVESTORS	028	IP	SS	10,000	28.14	n/a	28-Jul	300.00	3.0
SURVEYOR CAPITAL	041	ITW	SS	7,000	48.76	n/a	27-Jul	210.00	3.0
TUDOR INVESTORS	028	ITW	SS	5,000	49.00	n/a	27-Jul	150.00	3.0
TUDOR INVESTORS	028	JCI	SS	3,000	36.23	n/a	20-Jul	90.00	3.0
THE JAY GOLDMAN	028	JCP	S	25,000	30.75	n/a	28-Jul	1,000.00	4.0
PRIMECAP MGMT	084	JNJ	B	26,400	62.52	n/a	n/a	1,056.00	4.0
ß BUCK CAPITAL MGMT		JNY	B	200,000	12.25	n/a	28-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		JOSB	B	48,500	47.79	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	SS	10,000	47.27	n/a	28-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	SS	10,000	47.70	n/a	28-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	SS	20,000	47.27	n/a	28-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	SS	20,000	47.36	n/a	28-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	SS	50,000	47.36	n/a	28-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		JWN	SS	50,000	47.36	n/a	28-Jul	non-brg	4.0
CHALSON	041	KMB	B	10,500	64.26	n/a	25-Jul	420.00	4.0
ß BUCK CAPITAL MGMT		KSS	SS	100,000	51.68	n/a	28-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		KSS	SS	100,000	51.68	n/a	28-Jul	non-brg	4.0
ß BUCK CAPITAL MGMT		KSS	S	119,100	51.70	n/a	28-Jul	non-brg	4.0
HIGHBRIDGE CAP	041	KSS	B	12,333	51.77	n/a	28-Jul	369.99	3.0
THE JAY GOLDMAN	028	KSS	B	15,000	51.82	n/a	28-Jul	600.00	4.0
CHALSON	041	K	B	4,200	54.16	n/a	n/a	168.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BRONSON POINT	036	LEA	C	30,000	45.76	n/a	14-Jul	900.00	3.0
⌘ BUCK CAPITAL MGMT		LEA	B	10,000	46.03	n/a	14-Jul	non-brg	4.0
MA CAPITAL MGMT/MELLON ADV	041	LEA	B	3,500	46.22	n/a	14-Jul	175.00	5.0
OZ MGMT	040	LEA	B	44,792	46.82	n/a	14-Jul	1,343.76	3.0
TUDOR INVESTORS	028	LEA	B	3,000	46.00	n/a	14-Jul	90.00	3.0
PRIMECAP MGMT	084	LIFE	B	7,000	42.99	n/a	n/a	280.00	4.0
CASTLEROCK	040	LOW	B	100,000	20.24	3-Aug	3-Aug	4,000.00	4.0
DC CAPITAL	044	LPX	B	75,000	6.97	n/a	19-Jul	2,250.00	3.0
⌘ BUCK CAPITAL MGMT		LTD	B	64,100	35.88	n/a	n/a	non-brg	4.0
MACQUARIE INVESTMENT MGMT.	040	LTD	S	5,000	36.03	n/a	n/a	0.00	0.0
CHALSON	041	LVS	B	5,500	45.61	n/a	13-Jun	220.00	4.0
NEUBERGER BERMAN	036	LVS	B	385,727	46.49	n/a	13-Jun	15,429.08	4.0
KENNEDY CAPITAL	046	MED	B	20,000	18.97	n/a	n/a	800.00	4.0
ARMATURE CAPITAL	041	MGA	B	40,000	46.36	n/a	n/a	1,600.00	4.0
CHALSON	041	MGM	B	15,000	13.80	n/a	13-Jun	600.00	4.0
⌘ BUCK CAPITAL MGMT		MPEL	S	50,000	14.74	n/a	13-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MSMSBCD	C	792,797	93.35	n/a	n/a	non-brg	0.4
⌘ BUCK CAPITAL MGMT		MSMSBCD	SS	792,797	93.35	n/a	n/a	non-brg	0.0
⌘ BUCK CAPITAL MGMT		MSMSBCM	C	6,521,516	93.30	n/a	n/a	non-brg	0.4

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B	BUCK CAPITAL MGMT		MSMSBCM	SS	6,521,516	93.30	n/a	n/a	non-brg	0.0
f	CHRISTOPHER ARTHUR K	FID	MWA	S	3000	2.74	n/a	n/a	0.00	0.0
f	EDWARD W WHEELER	FID	MWA	B	35000	2.50	n/a	n/a	0.00	0.0
	CHALSON	041	MW	B	9,000	31.58	n/a	n/a	360.00	4.0
	BALYASNY ASSET MGMT	044	M	B	20,000	27.67	n/a	28-Jul	1,000.00	5.0
	PILOT ADV	041	M	S	10,000	27.49	n/a	28-Jul	400.00	4.0
f	JOHN BARTMAN COLE	FID	NCS	S	1000	10.39	n/a	n/a	0.00	0.0
	TUDOR INVESTORS	028	NUE	B	5,000	37.03	n/a	n/a	150.00	3.0
	TUDOR INVESTORS	028	OC	S	10,000	31.12	n/a	n/a	300.00	3.0
	HIGHBRIDGE CAP	041	ORLY	SS	6,973	57.82	n/a	n/a	209.19	3.0
	TUDOR INVESTORS	028	OSK	S	10,000	22.89	n/a	n/a	300.00	3.0
	MACQUARIE INVESTMENT MGMT.	040	PCAR	B	15,000	39.75	n/a	27-Jul	0.00	0.0
	TUDOR INVESTORS	028	PCL	S	5,000	37.26	n/a	27-Jul	150.00	3.0
	JENNISON	070	PCS	B	850,000	10.22	n/a	n/a	31,450.01	3.7
	CHALSON	041	PENN	B	5,000	40.16	n/a	n/a	200.00	4.0
	HIGHBRIDGE CAP	041	PETM	B	8,333	42.39	n/a	n/a	249.99	3.0
	TUDOR INVESTORS	028	PH	S	5,000	72.14	3-Aug	3-Aug	150.00	3.0



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Ⓕ BUCK CAPITAL MGMT		PLCE	B	20,000	45.32	n/a	8-Jun	non-brg	4.0
Ⓕ BUCK CAPITAL MGMT		PLCE	B	51,000	45.26	n/a	8-Jun	non-brg	4.0
ROYCE TRADING	012	PLCE	B	1,000	45.38	n/a	8-Jun	50.00	5.0
Ⓕ BUCK CAPITAL MGMT		PNK	S	35,000	14.42	n/a	n/a	non-brg	1.0
Ⓕ BUCK CAPITAL MGMT		PNK	S	74,338	14.38	n/a	n/a	non-brg	4.0
SURVEYOR CAPITAL	041	PPO	SS	7,000	69.63	n/a	n/a	210.00	3.0
Ⓕ BUCK CAPITAL MGMT		PSUN	B	100,000	2.81	n/a	n/a	non-brg	2.0
Ⓕ BUCK CAPITAL MGMT		PSUN	B	44,813	2.85	n/a	n/a	non-brg	0.8
HAHN CAPITAL MANAGEMENT	084	PVH	B	44,186	67.36	n/a	18-Jul	2,209.30	5.0
HIGHBRIDGE CAP	041	PVH	B	3,000	67.96	n/a	18-Jul	90.00	3.0
TUDOR INVESTORS	028	RBN	B	6,000	46.56	n/a	n/a	180.00	3.0
SENATOR INVESTMENT GROUP	036	RKT	S	50,000	58.62	n/a	27-Jul	1,500.00	3.0
SHEFFIELD ASSET MGMT	031	RKT	B	10,000	59.29	n/a	27-Jul	400.00	4.0
TUDOR INVESTORS	028	RKT	B	4,000	60.76	n/a	27-Jul	120.00	3.0
CHALSON	041	ROST	B	7,000	75.39	n/a	n/a	280.00	4.0
TUDOR INVESTORS	028	RSG	B	5,000	27.92	n/a	n/a	150.00	3.0
<b>f JOHN MICHAEL ZOLIDIS</b>	<b>FID</b>	<b>RUE</b>	<b>B</b>	<b>150</b>	<b>29.01</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
ELM RIDGE ADVISORS	044	RYN	B	43,100	59.97	n/a	1-Aug	1,724.00	4.0
MACQUARIE INVESTMENT MGMT.	040	SBUX	S	10,000	38.54	n/a	29-Jul	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>SCSC</b>	<b>B</b>	<b>76</b>	<b>0.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f EDWARD W WHEELER</b>	<b>FID</b>	<b>SCSC</b>	<b>S</b>	<b>7600</b>	<b>35.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SFLY</b>	<b>B</b>	<b>20,000</b>	<b>50.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
TUDOR INVESTORS	028	SHOO	B	25,000	38.22	n/a	n/a	750.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>SIG</b>	<b>B</b>	<b>50,000</b>	<b>39.83</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>SIG</b>	<b>B</b>	<b>57,400</b>	<b>39.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
HIGHBRIDGE CAP	041	SIG	B	10,333	39.43	n/a	n/a	309.99	3.0
HIGHBRIDGE CAP	041	SKUL	B	3,333	17.06	n/a	n/a	99.99	3.0
JANA CAPITAL	044	SPY	SS	49,100	125.28	n/a	n/a	1,964.00	4.0
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>SPY</b>	<b>B</b>	<b>1000</b>	<b>125.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028	SWK	B	4,000	63.73	n/a	n/a	120.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>TFM</b>	<b>S</b>	<b>10,000</b>	<b>33.22</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TFM</b>	<b>S</b>	<b>62,400</b>	<b>33.93</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
OZ MGMT	040	TGT	B	100,000	49.53	n/a	2-Aug	3,000.00	3.0
<b>f IAN L MILLER</b>	<b>FID</b>	<b>TIP</b>	<b>S</b>	<b>10</b>	<b>114.68</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MACQUARIE INVESTMENT MGMT.	040	TJX	S	5,000	52.83	n/a	28-Jul	0.00	0.0
TUDOR INVESTORS	028	TKR	S	5,000	41.28	n/a	n/a	150.00	3.0
TUDOR INVESTORS	028	TRN	S	13,000	26.73	n/a	n/a	390.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAP	041	UA	B	5,333	68.15	n/a	27-Jul	159.99	3.0
<b>f DAEIL CHA</b>	<b>FID</b>	<b>UGL</b>	<b>B</b>	<b>3</b>	<b>93.76</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CHALSON	041	ULTA	B	11,000	60.90	n/a	n/a	440.00	4.0
HIGHBRIDGE CAP	041	URBN	SS	5,255	30.71	n/a	n/a	157.65	3.0
<b>f JASON ERIC COHEN</b>	<b>FID</b>	<b>USO</b>	<b>S</b>	<b>8</b>	<b>0.43</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
MASTERS CAPITAL MANAGE	025	VLO	B	50,000	22.89	n/a	n/a	2,000.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>VRA</b>	<b>C</b>	<b>34,000</b>	<b>33.27</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
ROTHSCHILD ASSET	040	WBS	B	84,555	20.04	n/a	n/a	3,382.20	4.0
SURVEYOR CAPITAL	041	WEN	B	98,425	5.10	n/a	11-Jul	2,952.75	3.0
HIGHBRIDGE CAP	041	WMT	SS	10,979	51.48	n/a	18-May	329.37	3.0
HIGHBRIDGE CAP	041	WRC	B	8,594	53.34	n/a	2-Aug	257.82	3.0
HIGHBRIDGE CAP	041	WSM	B	11,000	34.10	n/a	n/a	330.00	3.0
TUDOR INVESTORS	028	WY	B	15,000	18.84	n/a	29-Jul	450.00	3.0
<b>f ANGELO CHRISTOS EFST</b>	<b>FID</b>	<b>XPO</b>	<b>B</b>	<b>400</b>	<b>3.33</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f ANTHONY SUTERA</b>	<b>FID</b>	<b>XPO</b>	<b>B</b>	<b>2000</b>	<b>3.41</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ARTHUR K</b>	<b>FID</b>	<b>XPO</b>	<b>B</b>	<b>2500</b>	<b>3.26</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f	JOHN BARTMAN COLE	FID	XPO	B	1200	3.18	n/a	n/a	0.00	0.0
f	JONATHAN RICHARD HAR	FID	XPO	B	1000	3.20	n/a	n/a	0.00	0.0
f	MICHAEL C PERILLO	FID	XPO	S	615	3.42	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		XRT	C	100,000	51.08	n/a	n/a	non-brg	1.0
ß	BUCK CAPITAL MGMT		XRT	C	295,925	50.91	n/a	n/a	non-brg	2.0
	KLEINHEINZ CAP PART	031	YUM	S	125,000	50.74	n/a	14-Jul	6,250.00	5.0
<b>TOTAL (Trade Date):</b>									<b>148,586.99</b>	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	08/02	HUNTER GLOBAL INVESTOR	028	GM	B	65,000	27.80	n/a	3-Aug	3250.00 5.0
AO,CXL	08/02	HUNTER GLOBAL INVESTOR	028	GM	B	65,000	27.80	n/a	3-Aug	1950.00 3.0

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<b>TOTAL (AO, CXL, CRT) :</b>	<b>1,300.00</b>
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TOTAL (Trade Date) :	148,586.99
TOTAL (AO, CXL, CRT) :	1,300.00

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<b>TOTAL (RUN DATE) / NFS:</b>	<b>149,886.99</b>
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CRT	08/02	BUCK CAPITAL MGMT	MSMSBCM	SS	6,521,516	92.99	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 3-Aug.

LOW, PH

MRI Securities without Trade Activity on 3-Aug.

HRS

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 2%

YTD = 3%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**