



Daily Compliance Activity Report

Trade Date: 10/03/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
EAGLE ASSET MGMT. (FLORIDA) 093	093	AAPL	B	295	483.87	n/a	n/a	2.95	1.0
EAGLE ASSET MGMT. (FLORIDA) 093	093	ACC	B	1,735	33.54	n/a	n/a	17.35	1.0
PRIMECAP MGMT	084	AFFX	B	15,000	6.36	n/a	n/a	450.00	3.0
EAGLE ASSET MGMT. (FLORIDA) 093	093	AMAT	B	6,775	17.37	n/a	n/a	67.75	1.0
SYMPHONY ASSET	033	APC	B	4,602	93.33	n/a	n/a	138.06	3.0
B BUCK CAPITAL MGMT		AXL	B	25,000	18.72	n/a	18-Sep	non-brg	2.5
f DAVID GEORGE BUCK	FID	BAX	B	2000	64.87	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	BAX	B	300	64.82	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	BAX	B	200	64.79	n/a	n/a	0.00	0.0
LATEEF MGMT	033	BA	S	100	114.96	2-Oct	2-Oct	30.00	30.0
B BUCK CAPITAL MGMT		BBY	B	20,000	37.56	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BKW	SS	30,000	19.42	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BLMN	B	10,000	22.96	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		BLMN	B	40,000	22.64	n/a	n/a	non-brg	4.0
BERYLSON CAPITAL	030	BONT	B	38,987	10.40	n/a	30-Sep	1,169.61	3.0
B BUCK CAPITAL MGMT		BURL	SS	25,000	25.53	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		CAKE	SS	20,000	43.66	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	CBS	S	1500	55.53	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	CBS	S	400	55.39	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	CBS	S	400	55.39	n/a	n/a	0.00	0.0
INTERVAL PARTNERS	033	CNW	B	10,000	43.10	n/a	n/a	300.00	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	COF	B	1,915	68.90	n/a	3-Oct	19.15	1.0
SYMPHONY ASSET	033	COG	S	26,426	35.73	n/a	n/a	792.78	3.0
B BUCK CAPITAL MGMT		COH	C	18,200	54.21	n/a	n/a	non-brg	4.0
EAGLE ASSET MGMT. (FLORIDA)	093	COP	B	1,375	70.04	n/a	n/a	13.75	1.0
S.A.C. CAPITAL	044	CP	B	7,500	124.04	n/a	n/a	225.00	3.0
ACK ASSET	028	CSX	SS	50,000	25.67	n/a	n/a	1,500.00	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	CTL	B	1,800	31.34	n/a	n/a	18.00	1.0
EAGLE ASSET MGMT. (FLORIDA)	093	CVX	B	970	118.38	n/a	n/a	9.70	1.0
EAGLE ASSET MGMT. (FLORIDA)	093	DD	B	925	58.06	n/a	n/a	9.25	1.0
LATEEF MGMT	033	DE	B	150	82.18	n/a	n/a	33.00	22.0
B BUCK CAPITAL MGMT		DHI	S	50,000	19.21	n/a	n/a	non-brg	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	YANAI A FRANK	FID	DIOD	B	1000	23.74	n/a	n/a	0.00	0.0
	EAGLE ASSET MGMT. (FLORIDA)	093	DLR	B	1,140	52.76	n/a	n/a	11.40	1.0
B	BUCK CAPITAL MGMT		DRI	SS	30,000	46.20	23-Sep	23-Sep	non-brg	4.0
	EAGLE ASSET MGMT. (FLORIDA)	093	EMR	B	1,520	63.94	n/a	n/a	15.20	1.0
f	JASON ERIC COHEN	FID	FBNIQ	B	3300	0.39	n/a	n/a	0.00	0.0
f	PATRICK O'GRADY	FID	FBNIQ	B	5000	0.37	n/a	n/a	0.00	0.0
B	BUCK CAPITAL MGMT		FRAN	S	25,000	18.45	n/a	n/a	non-brg	4.0
B	BUCK CAPITAL MGMT		GCO	B	15,000	66.29	n/a	n/a	non-brg	4.0
	S.A.C. CAPITAL	044	GE	B	25,000	24.15	n/a	n/a	750.00	3.0
	EAGLE ASSET MGMT. (FLORIDA)	093	GIS	B	1,585	47.75	n/a	n/a	15.85	1.0
	GABELLI FUNDS	025	GM	B	25,000	35.45	n/a	2-Oct	750.00	3.0
B	BUCK CAPITAL MGMT		GPI	SS	20,000	75.48	11-Sep	18-Sep	non-brg	4.0
	EAGLE ASSET MGMT. (FLORIDA)	093	GSK	B	2,125	50.90	n/a	n/a	21.25	1.0
	EAGLE ASSET MGMT. (FLORIDA)	093	HD	B	1,305	76.10	n/a	n/a	13.05	1.0
	EAGLE ASSET MGMT. (FLORIDA)	093	HON	B	1,310	81.64	n/a	10-Sep	13.10	1.0
f	DAVID GEORGE BUCK	FID	HRC	B	1000	37.02	n/a	n/a	0.00	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f	DAVID GEORGE BUCK	FID	HRC	B	400	36.90	n/a	n/a	0.00	0.0
f	MATTHEW FRANCIS BUCK	FID	HRC	B	250	36.97	n/a	n/a	0.00	0.0
	RIDGECREST INV MGMT LLC	028	HSC	S	10,000	24.44	18-Sep	18-Sep	400.00	4.0
B	BUCK CAPITAL MGMT		HTZ	B	25,000	21.89	n/a	n/a	non-brg	4.0
	JET CAPITAL/CHRIS	041	HTZ	B	75,000	21.99	n/a	n/a	2,250.00	3.0
B	BUCK CAPITAL MGMT		IP	B	22,500	43.83	n/a	18-Sep	non-brg	4.0
	LATEEF MGMT	033	IP	B	400	43.84	n/a	18-Sep	40.00	10.0
	S.A.C. CAPITAL	044	IP	B	7,500	43.95	n/a	18-Sep	225.00	3.0
	SYMPHONY ASSET	033	IP	S	11,185	43.87	n/a	18-Sep	335.55	3.0
f	PATRICK O'GRADY	FID	ISLE	S	330	7.40	n/a	n/a	0.00	0.0
	BTG PACTUAL	040	JBLU	B	25,000	6.67	n/a	30-Sep	750.00	3.0
	DC CAPITAL	044	JCP	B	50,000	8.50	27-Sep	30-Sep	2,000.00	4.0
	MACELLUM CAPITAL	086	JCP	B	150,000	8.67	27-Sep	30-Sep	4,500.00	3.0
	LATEEF MGMT	033	JNJ	B	150	86.62	n/a	n/a	33.00	22.0
	EAGLE ASSET MGMT. (FLORIDA)	093	JPM	B	2,450	51.86	n/a	2-Oct	24.50	1.0
	EAGLE ASSET MGMT. (FLORIDA)	093	KO	B	2,360	37.24	n/a	11-Sep	23.60	1.0
B	BUCK CAPITAL MGMT		KSS	C	30,000	52.21	n/a	30-Sep	non-brg	4.0
	TIDE POINT CAPITAL	028	LEA	B	7,500	72.19	n/a	18-Sep	225.00	3.0
B	BUCK CAPITAL MGMT		LEN	S	40,400	36.17	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PRIMECAP MGMT	084	LLY	B	33,000	48.69	n/a	n/a	1,320.00	4.0
BALYASNY ASSET MGMT	044	LPX	B	150,000	17.48	n/a	2-Oct	7,500.00	5.0
COUGAR TRADING	044	LPX	B	25,000	17.48	n/a	2-Oct	1,250.00	5.0
CR INTRINIC INVESTMENT	044	LPX	B	5,000	17.48	n/a	2-Oct	150.00	3.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	75,000	17.48	n/a	2-Oct	3,750.00	5.0
IONIC CAPITAL MANAGEMENT	041	LPX	B	38,700	17.48	n/a	2-Oct	1,161.00	3.0
S.A.C. CAPITAL	044	LPX	B	25,000	17.48	n/a	2-Oct	750.00	3.0
SMITH MGMT/ALDEN GLOBAL		029 LPX		S 1,425,000	17.55		n/a 2-Oct	42,750.00	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	LYB	B	1,065	73.82	n/a	n/a	10.65	1.0
SYMPHONY ASSET	033	LYB	B	2,580	73.11	n/a	n/a	77.40	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	MAT	B	2,445	42.15	n/a	n/a	24.45	1.0
EAGLE ASSET MGMT. (FLORIDA)	093	MCD	B	685	94.72	5-Sep	19-Sep	6.85	1.0
EAGLE ASSET MGMT. (FLORIDA)	093	MMM	B	990	118.55	n/a	n/a	9.90	1.0
SYMPHONY ASSET	033	MON	S	2,255	104.16	n/a	n/a	67.65	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	MRK	B	2,260	48.54	n/a	n/a	22.60	1.0
EAGLE ASSET MGMT. (FLORIDA)	093	MSFT	B	3,560	33.66	n/a	n/a	35.60	1.0
ROSEDALE CAPITAL	046	MSFT	B	20,000	33.46	n/a	n/a	800.00	4.0
f YANAI A FRANK	FID	MU	S	1150	17.54	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		MWV	S	25,000	37.46	n/a	1-Oct	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CSFB GLOBAL PROPRIETARY	041	MWV	B	15,000	37.81	n/a	1-Oct	750.00	5.0
B BUCK CAPITAL MGMT		NCLH	S	30,000	30.79	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		NKE	B	50,000	71.90	27-Sep	2-Oct	non-brg	4.0
PRIMECAP MGMT	084	NKTR	B	25,576	10.35	n/a	n/a	1,023.04	4.0
BASSWOOD PARTNERS	267	NYCB	SS	16,186	15.27	n/a	n/a	647.44	4.0
f YANAI A FRANK	FID	ONNN	B	2500	7.10	n/a	n/a	0.00	0.0
EAGLE ASSET MGMT. (FLORIDA)	093	OXY	B	1,250	94.01	n/a	n/a	12.50	1.0
COHE & STEERS	041	PCL	S	34,404	46.43	n/a	2-Oct	1,376.16	4.0
EAGLE ASSET MGMT. (FLORIDA)	093	PEP	B	1,165	79.73	n/a	n/a	11.65	1.0
B BUCK CAPITAL MGMT		PETM	SS	10,000	76.38	n/a	n/a	non-brg	4.0
EAGLE ASSET MGMT. (FLORIDA)	093	PFE	B	3,655	28.86	n/a	n/a	36.55	1.0
EAGLE ASSET MGMT. (FLORIDA)	093	PG	B	1,310	75.88	n/a	11-Sep	13.10	1.0
SYMPHONY ASSET	033	PKG	B	7,545	56.74	17-Sep	18-Sep	226.35	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	PNC	B	1,440	72.57	n/a	n/a	14.40	1.0
f DAVID GEORGE BUCK	FID	POST	S	4000	40.36	n/a	n/a	0.00	0.0
LATEEF MGMT	033	POT	B	1,750	32.40	n/a	n/a	185.00	11.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARVEST MGMT (JMP)	029	PVH	SS	10,000	123.65	n/a	3-Oct	500.00	5.0
SYMPHONY ASSET	033	PXD	B	2,215	193.80	n/a	n/a	66.45	3.0
B BUCK CAPITAL MGMT		RDN	S	70,000	13.84	n/a	n/a	non-brg	4.0
EAGLE ASSET MGMT. (FLORIDA)	093	RGC	B	6,595	18.74	n/a	n/a	65.95	1.0
B BUCK CAPITAL MGMT		RH	B	20,000	65.57	n/a	n/a	non-brg	4.0
COHE & STEERS	041	RYN	B	27,162	55.09	n/a	n/a	1,086.48	4.0
TUDOR INVESTORS	028	SCSS	B	5,000	24.80	n/a	n/a	150.00	3.0
EAGLE ASSET MGMT. (FLORIDA)	093	SPG	B	235	148.33	n/a	n/a	2.35	1.0
B BUCK CAPITAL MGMT		SSI	S	16,500	19.47	30-Sep	30-Sep	non-brg	4.0
B BUCK CAPITAL MGMT		SSI	S	25,000	19.46	30-Sep	30-Sep	non-brg	2.5
EAGLE ASSET MGMT. (FLORIDA)	093	STJ	B	1,850	54.92	n/a	n/a	18.50	1.0
LATEEF MGMT	033	SWY	S	500	31.51	n/a	n/a	50.00	10.0
EAGLE ASSET MGMT. (FLORIDA)	093	SYI	B	2,655	31.58	n/a	n/a	26.55	1.0
EAGLE ASSET MGMT. (FLORIDA)	093	TOT	B	1,695	57.72	n/a	n/a	16.95	1.0
CAERUS GLOBAL	041	TTWO	SS	10,522	17.76	n/a	n/a	420.88	4.0
EAGLE ASSET MGMT. (FLORIDA)	093	TYC	B	3,225	34.84	n/a	n/a	32.25	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EAGLE ASSET MGMT. (FLORIDA) 093	T		B	2,225	33.72	n/a	n/a	22.25	1.0
SCOPUS FUND	041	UFS	B	8,295	80.27	n/a	n/a	331.80	4.0
EAGLE ASSET MGMT. (FLORIDA) 093	UPS		B	1,035	90.29	n/a	n/a	10.35	1.0
EAGLE ASSET MGMT. (FLORIDA) 093	UTX		B	1,010	103.95	n/a	n/a	10.10	1.0
EAGLE ASSET MGMT. (FLORIDA) 093	WFC		B	2,890	41.09	n/a	2-Oct	28.90	1.0
B BUCK CAPITAL MGMT	WGO		S	19,000	26.43	n/a	n/a	non-brg	4.0
PERIMETER	273	WNC	B	20,000	11.68	n/a	24-Sep	800.00	4.0
KAM ADVISORS LLC	041	XLI	SS	220,000	45.79	n/a	n/a	6,600.00	3.0
CASTLEROCK	040	YUM	SS	5,000	70.80	9-Sep	9-Sep	100.00	2.0
f DAVID GEORGE BUCK	FID		B	100000	62.30	n/a	n/a	0.00	0.0
TOTAL (Trade Date) :								91,514.90	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,CXL	10/02	GABELLI FUNDS	025	GM	B	26,800	35.68	n/a-2013-10-02	804.00 0.0
AO,CRT	10/02	GABELLI FUNDS	025	GM	B	26,800	35.68	n/a-2013-10-02	804.00 0.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	91,514.90
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	91,514.90
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BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	10/02	BUCK CAPITAL MGMT	FRAN	S	50,000	18.49	n/a	n/a	2000.00 4.0

MRI Securities with Trade Activity on 3-Oct.
NONE

MRI Securities without Trade Activity on 3-Oct.
STZ

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 0%
YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)