



## Daily Compliance Activity Report

Trade Date: 05/22/2012

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.
MACELLUM CAPITAL	086	ABG	B	20,000	26.34	n/a	27-Apr	600.00 3.0
BESSEMER INVESTMENT	085	ACE	B	440	72.93	n/a	n/a	17.60 4.0
BESSEMER INVESTMENT	085	ACN	B	590	59.06	n/a	n/a	23.60 4.0
ADAGE CAPITAL MGMT	030	AEO	B	95,418	19.95	n/a	2-Dec	2,862.54 3.0
MACELLUM CAPITAL	086	ASNA	B	20,000	19.21	n/a	n/a	600.00 3.0
WATERFRONT CAP	028	CAR	SS	100,000	14.62	n/a	n/a	4,000.00 4.0
NEUBERGER BERMAN	036	CHS	S	122,000	15.12	n/a	5-Feb	4,880.00 4.0
TUDOR INVESTORS	028	CMI	SS	2,000	100.96	n/a	2-May	60.00 3.0
BESSEMER INVESTMENT	085	COP	B	395	51.88	n/a	n/a	15.80 4.0
FIRST NY SEC/CHRIS	041	CRI	SS	10,000	51.08	n/a	n/a	300.00 3.0
BESSEMER INVESTMENT	085	CTL	B	640	38.76	n/a	n/a	25.60 4.0
NWQ INVESTMENT MGMT CO	084	CVG	S	20,000	13.45	n/a	n/a	700.00 3.5
BESSEMER INVESTMENT	085	CVS	B	455	45.14	n/a	n/a	18.20 4.0
BESSEMER INVESTMENT	085	DTE	B	220	56.23	n/a	n/a	8.80 4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	EXC	B	585	37.21	n/a	n/a	23.40	4.0
BESSEMER INVESTMENT	085	FCX	B	340	32.32	n/a	n/a	13.60	4.0
NEUBERGER BERMAN	036	FEIC	B	12,900	44.39	n/a	n/a	516.00	4.0
BESSEMER INVESTMENT	085	FE	B	610	47.85	n/a	n/a	24.40	4.0
ASCEND PARTNERS	033	FINL	B	25,000	22.13	n/a	2-Apr	1,250.00	5.0
ADAGE CAPITAL MGMT	030	GPS	B	300,000	26.83	n/a	n/a	9,000.00	3.0
NEUBERGER BERMAN	036	HITT	B	15,000	49.99	n/a	n/a	600.00	4.0
HALCYON LLC	041	HP	B	10,000	47.59	n/a	n/a	300.00	3.0
DELTEC ASSET MGMT.	040	JCI	S	13,000	30.53	n/a	27-Apr	650.00	5.0
BESSEMER INVESTMENT	085	JNJ	B	590	63.62	n/a	n/a	23.60	4.0
HALCYON LLC	041	JPM	B	20,000	34.45	n/a	18-May	600.00	3.0
STANDARD PACIFIC CAPITAL	084	KEY	B	500,000	7.55	n/a	n/a	20,000.00	4.0
SUN AMERICA ASSET MGMT	030	KORS	B	14,396	41.50	n/a	n/a	575.84	4.0
BESSEMER INVESTMENT	085	LOW	B	1,135	25.91	n/a	22-May	45.40	4.0
BRONSON POINT	036	LVS	C	25,000	47.25	n/a	13-Jun	750.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET/BLACKROCK	297	MA	B	11,544	412.41	n/a	17-May	461.76	4.0
OHIO PERS	047	MET	B	102,426	30.90	n/a	n/a	3,072.78	3.0
BESSEMER INVESTMENT	085	MHP	B	490	45.28	n/a	n/a	19.60	4.0
BESSEMER INVESTMENT	085	MRO	B	820	24.52	n/a	n/a	32.80	4.0
BESSEMER INVESTMENT	085	MSFT	S	250	29.72	n/a	n/a	10.00	4.0
PERIMETER	273	MTZ	S	128,104	16.19	n/a	n/a	3,843.12	3.0
TUDOR INVESTORS	028	MWV	B	5,000	27.52	n/a	25-Apr	150.00	3.0
BESSEMER INVESTMENT	085	M	B	750	36.53	n/a	10-May	30.00	4.0
BESSEMER INVESTMENT	085	NEM	B	665	47.25	n/a	n/a	26.60	4.0
HALCYON LLC	041	NRF	B	10,000	5.27	n/a	n/a	300.00	3.0
RAINIER INV MGMT	035	RJF	B	108,630	33.70	n/a	n/a	4,345.20	4.0
MACELLUM CAPITAL	086	RUE	S	35,000	27.95	n/a	n/a	1,050.00	3.0
LISTED ERR	097	RUE	S	5,000	27.82	n/a	n/a	0.00	0.0
LISTED ERR	099	RUE	B	5,000	28.17	n/a	n/a	0.00	0.0
CYRUS CAPITAL	025	SEE	S	50,000	16.06	n/a	2-Jun	1,500.00	3.0
FIRST NY SEC/CHRIS	041	SPLS	SS	10,000	13.40	n/a	n/a	300.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PERRY PARTNERS	085	SPY	B	50,000	132.47	n/a	n/a	1,500.00	3.0
BESSEMER INVESTMENT	085	STX	B	375	26.31	n/a	n/a	15.00	4.0
NEUBERGER BERMAN	036	SYNT	B	13,500	58.33	n/a	n/a	540.00	4.0
COLUMBUS CIRCLE	036	TNGO	B	2,361	21.49	n/a	n/a	94.44	4.0
TRUSTCO/SUNTRUST (CEREDEX)	273	T	S	125,000	33.65	n/a	n/a	4,375.00	3.5
TUDOR INVESTORS	028	UNP	SS	2,000	113.02	n/a	n/a	60.00	3.0
ADAGE CAPITAL MGMT	030	URBN	S	300,000	28.18	n/a	n/a	9,000.00	3.0
HALCYON LLC	041	USO	B	20,000	34.43	n/a	n/a	600.00	3.0
EPOCH INV MGMT	030	VC	S	530	41.84	n/a	n/a	15.90	3.0
SENATOR INVESTMENT GROUP	036	WSM	S	160,000	35.87	n/a	n/a	4,800.00	3.0
BESSEMER INVESTMENT	085	WU	B	1,370	17.00	n/a	n/a	54.80	4.0
BALYASNY ASSET MGMT	044	WY	B	50,000	19.58	n/a	4-May	2,500.00	5.0
VISIUM/BALY BOBBY	044	XLB	SS	20,000	33.65	n/a	n/a	1,000.00	5.0
BESSEMER INVESTMENT	085	ZMH	B	375	60.28	n/a	n/a	15.00	4.0
SIMMONS VENTURES	041	ZQK	B	5,000	2.74	n/a	10-May	150.00	3.0

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**TOTAL (Trade Date):**

**88,346.38**

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Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									88,346.38
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									88,346.38

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION