



Daily Compliance Activity Report

Trade Date: 06/07/2010

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
THIRD COAST CAPITAL	035	ANN	S	5,000	21.08	n/a	n/a	250.00	5.0
EXIS CAPITAL	044	ARO	B	5,000	28.00	n/a	4-Jun	250.00	5.0
ROYCE TRADING	012	ATI	S	30,000	47.13	n/a	n/a	1,500.00	5.0
HARBORWATCH/DIAMBACK	232	BA	C	10,000	60.65	n/a	21-May	500.00	5.0
WAGONHOUND/NIC	048	CAR	S	5,729	11.08	n/a	n/a	229.16	4.0
STANDARD PACIFIC CAPITAL	040	CAT	B	35,000	57.16	n/a	12-May	1,750.00	5.0
STANDARD PACIFIC CAPITAL	040	CE	B	70,000	27.02	n/a	n/a	3,500.00	5.0
BUCK CAPITAL MGMT	001	COH	S	40,000	39.29	n/a	14-May	1,600.00	4.0
CRAMER ROSENTHAL/SCOTT	012	CTV	S	172,700	25.49	n/a	n/a	6,908.00	4.0
AMBER CAPITAL	046	CX	B	200,000	10.20	n/a	n/a	6,000.00	3.0
SANDLER O'NEILL ASSET	031	C	B	50,000	3.76	n/a	7-Jun	1,000.00	2.0
PATTEN & PATTEN	067	DVY	B	100	42.97	n/a	n/a	5.00	5.0
SAC CAPITAL/M GRONET	030	EAT	SS	20,000	16.31	n/a	n/a	700.00	3.5
EGS ASSOCIATES LP	091	FL	SS	300	13.30	n/a	21-May	18.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	FPL	B	75	49.26	n/a	n/a	3.75	5.0
KLEINHEINZ CAP PART	031	FTI	B	30,000	50.21	n/a	n/a	1,500.00	5.0
OZ MGMT	040	F	SS	175,602	11.11	n/a	3-Jun	5,268.06	3.0
OZ MGMT	040	F	S	24,398	11.09	n/a	3-Jun	731.94	3.0
EXIS CAPITAL	044	GES	B	5,000	33.47	n/a	28-May	250.00	5.0
TRUSTCO/SUNTRUST	040	GES	B	17,900	32.59	n/a	28-May	698.10	3.9
BUCK CAPITAL MGMT	001	GIL	S	30,000	29.69	n/a	14-May	1,200.00	4.0
GREEN ARROW DOUG	040	HTZ	B	35,000	10.22	n/a	n/a	1,750.00	5.0
SENATOR INVESTMENT GROUP	036	IWM	SS	10,000	63.72	n/a	n/a	300.00	3.0
BUCK CAPITAL MGMT	001	JNY	B	58,000	17.18	n/a	14-May	2,320.00	4.0
EXIS CAPITAL	044	JNY	B	14,600	17.83	n/a	14-May	730.00	5.0
EXIS CAPITAL	044	JWN	B	5,000	36.73	n/a	4-Jun	250.00	5.0
JP MORGAN VENTURES	046	KG	B	20,800	8.03	n/a	2-Jun	624.00	3.0
SAC CAPITAL/M GRONET	030	KSS	B	7,000	50.00	n/a	4-Jun	245.00	3.5
BUCK CAPITAL MGMT	001	LOW	SS	70,000	23.43	n/a	17-May	2,800.00	4.0
SCOPUS FUND	041	LOW	SS	25,000	23.40	n/a	17-May	1,250.00	5.0
CSFB GLOBAL PROPRIETARY	041	LPX	B	80,000	7.07	n/a	11-May	2,400.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
PATTEN & PATTEN	067	LQD	B	55	106.07	n/a	n/a	2.75	5.0
BUCK CAPITAL MGMT	001	MFB	B	10,000	21.69	n/a	n/a	400.00	4.0
WHITE PINE CAPITAL	030	MIDD	S	14,375	53.94	n/a	14-May	718.75	5.0
EATON VANCE MGMT	048	MWW	S	120,500	13.02	n/a	n/a	3,615.00	3.0
FEDERATED INV/KAUFMAN	029	MWW	S	28,500	13.50	n/a	n/a	1,567.50	5.5
EXIS CAPITAL	044	M	B	5,000	20.81	n/a	4-Jun	250.00	5.0
SAC CAPITAL/M GRONET	030	M	B	15,000	21.05	n/a	4-Jun	525.00	3.5
THE JAY GOLDMAN	028	OEH	B	20,000	8.33	n/a	n/a	800.00	4.0
LAGRANGE CAP ADV	048	PAG	B	10,000	12.40	n/a	3-May	500.00	5.0
BUCK CAPITAL MGMT	001	PSS	B	10,000	19.72	n/a	n/a	400.00	4.0
EXIS CAPITAL	044	PVH	B	5,000	50.74	n/a	26-May	250.00	5.0
SIGMA CAPITAL ASSOCIATES	041	SKS	SS	100,000	8.16	n/a	n/a	3,500.00	3.5
SOUTH POINT CAP	067	SPY	SS	65,000	107.32	n/a	n/a	3,250.00	5.0
BNP PARIBAS SEC	040	SWN	B	10,000	42.29	n/a	3-Jun	400.00	4.0
SOLARIS INV MGMT	029	TAP	B	20,000	42.31	n/a	n/a	1,000.00	5.0
COUGAR TRADING	044	TAST	S	5,000	5.34	n/a	n/a	200.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EPOCH INV MGMT	030	TJX	S	20,150	44.66	n/a	4-Jun	604.50	3.0
BUCK CAPITAL MGMT	001	TLB	B	20,000	14.15	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	UA	SS	10,000	31.98	n/a	28-Apr	400.00	4.0
BMO NESBITT BURNS	041	USG	B	5,000	14.98	n/a	22-Apr	250.00	5.0
THOMPSON SIEGAL&WALMSLEY	029	WCRX	S	145,133	22.28	n/a	1-Jun	2,902.66	2.0
BUCK CAPITAL MGMT	001	WRC	B	10,000	38.03	n/a	14-May	400.00	4.0
MTB INV ADV	034	WXS	S	7,775	29.86	n/a	n/a	388.75	5.0
ARMATURE CAPITAL	041	WY	SS	42,500	39.38	n/a	27-May	1,700.00	4.0
BMO NESBITT BURNS	041	WY	SS	3,000	39.15	n/a	27-May	150.00	5.0
CAXTON PART	040	WY	S	112,688	39.88	n/a	27-May	3,944.09	3.5
COHE & STEERS	041	WY	S	17,100	39.59	n/a	27-May	684.00	4.0
SAC CAPITAL/M GRONET	030	XRT	SS	9,000	38.59	n/a	n/a	315.00	3.5
CAVU CAP ADV	028	X	B	15,000	42.01	n/a	n/a	750.00	5.0
TOTAL (Trade Date) :								77,199.01	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/04	AMBER CAPITAL (AMBRSPC22)	046	CX	B	22,600	10.48	n/a	n/a	904.00 4.0
AO,CRT	06/04	AMBER CAPITAL (AMBRSPC22)	046	CX	B	22,600	10.48	n/a	n/a	678.00 3.0
AO,CXL	06/04	AMBER CAPITAL (AMBRUBS)	046	CX	B	102,400	10.48	n/a	n/a	4096.00 4.0
AO,CRT	06/04	AMBER CAPITAL (AMBRUBS)	046	CX	B	102,400	10.48	n/a	n/a	3072.00 3.0
AO,	06/04	COHE & STEERS (COHEUFCGRE)	041	WY	S	20,024	40.36	n/a	27-May	800.96 4.0
AO,	06/04	COHE & STEERS (COHEUTIG)	041	WY	S	2,647	40.36	n/a	27-May	105.88 4.0
AO,	06/04	COHE & STEERS (COHESKANGR)	041	WY	S	13,866	40.36	n/a	27-May	554.64 4.0
AO,	06/04	COHE & STEERS (COHEUFC2GR)	041	WY	S	7,823	40.36	n/a	27-May	312.92 4.0
AO,	06/04	COHE & STEERS (COHEUTGG)	041	WY	S	2,999	40.36	n/a	27-May	119.96 4.0
AO,	06/04	COHE & STEERS (COHERIPGRE)	041	WY	S	14,724	40.36	n/a	27-May	588.96 4.0
AO,	06/04	COHE & STEERS (COHERTC)	041	WY	S	4,898	40.36	n/a	27-May	195.92 4.0
AO,	06/04	COHE & STEERS (COHERIF)	041	WY	S	2,311	40.36	n/a	27-May	92.44 4.0
AO,	06/04	COHE & STEERS (COHERIMGRE)	041	WY	S	6,478	40.36	n/a	27-May	259.12 4.0
AO,	06/04	COHE & STEERS (COHEUTPG)	041	WY	S	4,449	40.36	n/a	27-May	177.96 4.0
AO,	06/04	COHE & STEERS (COHERIJ)	041	WY	S	2,867	40.36	n/a	27-May	114.68 4.0
AO,	06/04	COHE & STEERS (COHEZUGRE)	041	WY	S	3,973	40.36	n/a	27-May	158.92 4.0
AO,	06/04	COHE & STEERS (COHERIC)	041	WY	S	10,518	40.36	n/a	27-May	420.72 4.0
AO,	06/04	COHE & STEERS (COHEINTGRE)	041	WY	S	9,841	40.36	n/a	27-May	393.64 4.0
AO,	06/04	COHE & STEERS (COHELVIP)	041	WY	S	11,955	40.36	n/a	27-May	478.20 4.0
AO,	06/04	COHE & STEERS (COHEINOVAP)	041	WY	S	386	40.36	n/a	27-May	15.44 4.0
AO,	06/04	COHE & STEERS (COHEGLPF)	041	WY	S	2,304	40.36	n/a	27-May	92.16 4.0
AO,	06/04	COHE & STEERS (COHEIGRS)	041	WY	S	19,970	40.36	n/a	27-May	798.80 4.0
AO,	06/04	COHE & STEERS (COHEBARCGR)	041	WY	S	2,075	40.36	n/a	27-May	83.00 4.0
AO,	06/04	COHE & STEERS (COHEINOVAO)	041	WY	S	1,489	40.36	n/a	27-May	59.56 4.0
AO,	06/04	COHE & STEERS (COHECSS)	041	WY	S	13,884	40.36	n/a	27-May	555.36 4.0
AO,	06/04	COHE & STEERS (COHECPEGRE)	041	WY	S	1,833	40.36	n/a	27-May	73.32 4.0
TOTAL (AO, CXL, CRT) :										5,202.56

TOTAL (Trade Date):	77,199.01
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TOTAL (RUN DATE) / NFS:	82,401.57
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION