



Daily Compliance Activity Report

Trade Date: 07/06/2011

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
PARAMETER CAPITAL MGMT LLC044		ABFS	S	25,000	26.30	n/a	n/a	1,000.00 4.0
TUDOR INVESTORS	028	ARG	B	3,000	69.85	n/a	24-Jun	90.00 3.0
SCOPUS FUND	041	ARO	SS	75,000	17.48	n/a	20-May	3,000.00 4.0
TUDOR INVESTORS	028	AWI	S	5,000	47.88	n/a	n/a	150.00 3.0
MILLENNIUM-ICS BOBBY	044	AXL	SS	10,000	11.27	n/a	25-May	300.00 3.0
TRUSTCO/SUNTRUST	040	AXL	B	29,900	11.18	n/a	25-May	1,046.51 3.5
IRIDIAN ASSET MGMT.	036	BAC	S	100,000	10.70	n/a	1-Jul	3,000.00 3.0
AVESTA CAP	229	BBBY	S	50,000	59.15	n/a	n/a	1,500.00 3.0
PARAMETER CAPITAL MGMT LLC044		CNW	S	25,000	41.15	n/a	n/a	1,000.00 4.0
BALLAST CAP	040	COT	B	10,000	8.32	n/a	n/a	300.00 3.0
DELTEC ASSET MGMT.	040	DE	B	5,000	85.07	n/a	19-May	250.00 5.0
NEW JERSEY DIV OF INV.	030	EVC	B	20,000	1.98	n/a	n/a	800.00 4.0
NOKOMIS PARTNERS	031	EXTR	B	8,900	3.20	n/a	n/a	178.00 2.0
TRUST CO/COWEN/CALF.	040	FL	S	22,500	23.36	n/a	2-Jun	900.00 4.0
FIRST NY SEC/CHRIS	041	GM	SS	40,000	31.46	n/a	5-Jul	2,000.00 5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MILLENNIUM-ICS BOBBY	044	GM	S	5,000	31.45	n/a	5-Jul	150.00	3.0
OZ MGMT	040	GM	C	50,000	31.59	n/a	5-Jul	1,500.00	3.0
TROUBH PART	070	GM	S	17,600	31.10	n/a	5-Jul	880.00	5.0
TUDOR INVESTORS	028	HUBB	B	2,000	65.59	n/a	n/a	60.00	3.0
LATEFF MGMT	035	IBM	S	500	177.58	n/a	n/a	125.00	25.0
NEWLAND CAPITAL MGT	025	ITW	S	35,000	57.96	n/a	27-Apr	1,400.00	4.0
PARAMETER CAPITAL MGMT LLC	044	ITW	B	20,000	57.68	n/a	27-Apr	800.00	4.0
SAC CHICAGO ANDREW	031	JCP	B	25,000	34.25	n/a	30-Jun	875.00	3.5
SENATOR INVESTMENT GROUP	036	JCP	SS	50,000	34.10	n/a	30-Jun	1,500.00	3.0
MA CAPITAL MGMT/MELLON ADV	041	KSS	B	3,000	52.06	n/a	30-Jun	150.00	5.0
SIGMA CAPITAL ASSOCIATES	041	KSS	B	50,000	51.74	n/a	30-Jun	1,750.00	3.5
SANDLER O'NEILL ASSET	031	LAZ	B	14,400	36.43	n/a	1-Jul	720.00	5.0
ROTHSCHILD ASSET	040	LEA	B	2,660	52.38	n/a	2-May	106.40	4.0
SAC TRADING TOM LEDDY	035	LTD	SS	20,000	39.10	n/a	n/a	700.00	3.5
CHESAPEAKE PARTNERS	028	LYV	S	25,000	11.51	n/a	n/a	750.00	3.0
PIONEER PATH	028	MGM	S	75,000	13.81	n/a	13-Jun	2,250.00	3.0
PARAMETER CAPITAL MGMT LLC	044	MMM	B	10,000	96.88	n/a	17-May	400.00	4.0
TUDOR INVESTORS	028	MWV	B	2,000	33.50	n/a	27-Apr	60.00	3.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CYRUS CAPITAL	025	M	B	12,000	28.90	n/a	30-Jun	360.00	3.0
JP MORGAN VENTURES	046	M	B	10,000	29.11	n/a	30-Jun	400.00	4.0
KLEINHEINZ CAP PART	031	M	S	80,000	29.13	n/a	30-Jun	4,000.00	5.0
THE JAY GOLDMAN	028	M	B	25,000	28.90	n/a	30-Jun	1,000.00	4.0
PARAMETER CAPITAL MGMT LLC	044	NAV	SS	35,000	56.78	n/a	8-Jun	1,400.00	4.0
TUDOR INVESTORS	028	OC	B	13,000	37.77	n/a	n/a	390.00	3.0
ROTHSCHILD ASSET	040	PII	S	4,750	117.96	n/a	n/a	190.00	4.0
MERRILL ASSET/BLACKROCK	297	PJC	S	30,000	28.07	n/a	n/a	1,200.00	4.0
KENNEDY CAPITAL	046	PLCE	B	24,030	45.49	n/a	8-Jun	961.20	4.0
TUDOR INVESTORS	028	PPG	SS	3,000	92.92	n/a	19-May	90.00	3.0
MERRILL ASSET/BLACKROCK	297	PRE	S	19,000	68.54	n/a	n/a	760.00	4.0
NEW JERSEY DIV OF INV.	030	RGC	B	100,000	11.82	n/a	n/a	4,000.00	4.0
PARAMETER CAPITAL MGMT LLC	044	ROK	SS	30,000	87.81	n/a	n/a	1,200.00	4.0
DELTEC ASSET MGMT.	040	RRR	B	20,000	12.08	n/a	26-Apr	1,000.00	5.0
CYRUS CAPITAL	025	SAFM	SS	6,000	47.10	n/a	n/a	180.00	3.0
PARAMETER CAPITAL MGMT LLC	044	SHW	SS	15,000	85.48	n/a	27-May	600.00	4.0
DELTEC ASSET MGMT.	040	SLB	S	15,000	88.93	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
LEVIN CAPITAL STRATEGIES	012	SPY	SS	80,000	133.68	n/a	n/a	2,400.00	3.0
FIRST NEW YORK LEDDY	035	S	B	20,000	5.49	n/a	n/a	800.00	4.0
GREEN ARROW DOUG	040	TGT	B	11,800	48.25	n/a	6-Jul	590.00	5.0
MACQUARIE INVESTMENT MGMT.	040	TGT	S	5,000	48.31	n/a	6-Jul	0.00	0.0
HUNTER GLOBAL INVESTOR	028	T	SS	160,400	31.15	n/a	n/a	8,020.00	5.0
TUDOR INVESTORS	028	UNP	SS	2,000	106.14	n/a	n/a	60.00	3.0
SIGMA CAPITAL ASSOCIATES	041	URBN	B	25,000	30.21	n/a	n/a	875.00	3.5
ROTHSCHILD ASSET	040	URS	B	4,460	44.96	n/a	n/a	178.40	4.0
HUNTER GLOBAL INVESTOR	028	VZ	SS	134,400	37.19	n/a	n/a	6,720.00	5.0
DELTEC ASSET MGMT.	040	WFT	B	10,000	18.95	n/a	n/a	500.00	5.0
CR INTRINIC INVESTMENT	044	WMT	SS	30,000	53.61	n/a	18-May	1,050.00	3.5
TUDOR INVESTORS	028	X	B	3,000	46.00	n/a	n/a	90.00	3.0
TOTAL (Trade Date) :								69,455.51	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	06/29	AMBER CAPITAL (AMBRUBS)	046	DCOM	B	31,261	14.40	n/a	n/a	1250.44 4.0
AO,CRT	06/29	AMBER CAPITAL (AMBRAMFGS)	046	DCOM	B	31,261	14.40	n/a	n/a	1250.44 4.0

TOTAL (AO, CXL, CRT) :	0.00
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TOTAL (Trade Date) :	69,455.51
TOTAL (AO, CXL, CRT) :	0.00

TOTAL (RUN DATE) / NFS:	69,455.51
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION