



# RR Commissions Summary [ Bob Efstathiou ]

Trade Date: 10/22/2013

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (PRIMARY)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AMICI CAPITAL N/C	044	0	15,280	15,280	51,044	0	0	0	15,280	15,280	51,044	36,032	142
BALYASNY ASSET MGMT	044	0	32,135	32,135	242,596	0	0	0	32,135	32,135	242,596	283,475	86
BOW STREET LLC	044	0	0	0	0	0	0	0	0	0	0	2,733	0
CARDINAL CAPITAL	044	0	0	0	0	0	0	0	0	0	0	5,685	0
COUGAR TRADING	044	0	2,500	2,500	14,630	0	0	0	2,500	2,500	14,630	11,600	126
CR INTRINIC INVESTMENT	044	0	8,679	8,679	52,121	0	0	0	8,679	8,679	52,121	8,190	636
DC CAPITAL	044	8,000	19,500	19,500	84,396	0	0	0	19,500	19,500	84,396	65,700	128
DEVENNY CAP LLC/MILLENIUM	044	0	0	0	4,473	0	0	6,526	0	0	10,999	24,627	45
ELM RIDGE ADVISORS	044	0	1,000	1,000	38,234	0	0	0	1,000	1,000	38,234	126,829	30
FRANKLIN TEMPLETON	044	0	0	0	0	3,542	3,542	7,845	3,542	3,542	7,845	0	0
GLG PARTNERS	044	0	0	0	2,111	0	0	0	0	0	2,111	0	0
GOLDMAN SACHS INVESTMENT PARTNERS	044	0	0	0	0	0	0	22,000	0	0	22,000	65,000	34
HIGHLINE ADV	044	0	0	0	55,000	0	0	0	0	0	55,000	95,000	58
JANA CAPITAL	044	0	0	0	0	0	0	5,000	0	0	5,000	36,608	14
LOCUST WOOD CAPITAL MGMT	044	0	0	0	22,396	0	0	0	0	0	22,396	21,898	102
METROPOLITAN CAPITAL	044	0	0	0	6,018	0	0	0	0	0	6,018	11,282	53
PARAMETER CAPITAL MGMT LLC	044	0	0	0	48,131	0	0	0	0	0	48,131	26,168	184
POINT STATE CAPITAL	044	0	0	0	0	0	0	25,000	0	0	25,000	0	0
PZENA INV ADV	044	0	0	0	53	0	0	15,675	0	0	15,728	59,571	26
RIVERLOFT CAPITAL	044	0	1,500	1,500	15,120	0	0	0	1,500	1,500	15,120	0	0
S.A.C. CAPITAL	044	0	55,032	55,032	218,983	0	0	5,000	55,032	55,032	223,983	11,767	1903
SAC TRADING TOM LEDDY	044	0	0	0	14,000	0	0	0	0	0	14,000	0	0
SIGMA CAP BOBBY	044	0	0	0	25,567	0	0	0	0	0	25,567	0	0
SOUTH POINT CAP	044	0	0	0	15,000	0	0	45,000	0	0	60,000	55,000	109
THIRD POINT MGMT	044	0	4,000	4,000	11,064	0	0	0	4,000	4,000	11,064	1,000	1106
VISIUM/BALY BOBBY	044	5,000	26,750	26,750	65,175	0	0	0	26,750	26,750	65,175	78,547	83
WCG MANAGEMENT	044	0	0	0	2,460	0	0	0	0	0	2,460	11,820	21
WOOSTER CAPITAL	044	0	5,250	5,250	73,528	0	0	0	5,250	5,250	73,528	0	0
<b>TOTALS:</b>		<b>13,000</b>	<b>171,626</b>	<b>171,626</b>	<b>1,062,099</b>	<b>3,542</b>	<b>3,542</b>	<b>132,046</b>	<b>175,168</b>	<b>175,168</b>	<b>1,194,144</b>		

"Brokerage Month Basis"		COMMISSIONS				CHECKS			TOTAL			LAST YEAR	%
CLIENT (SHARED)	RR	10/22	MTD	QTD	YTD	MTD	QTD	YTD	MTD	QTD	YTD		of LY
AELTUS/ING IVESTMENT MGT	237	0	0	0	33,539	0	0	0	0	0	33,539	0	0
ARDSLEY PARTNERS	280	500	16,250	16,250	81,708	0	0	0	16,250	16,250	81,708	0	0
GLG PARTNERS	280	0	900	900	1,260	0	0	0	900	900	1,260	0	0
GOLDMAN SACHS ASSET MGMT	098	0	0	0	0	0	0	0	0	0	0	5,000	0
KENSAI MANAGEMENT	286	0	0	0	0	0	0	0	0	0	0	0	0
OSKIE CAPITAL MGMT	224	0	5,000	5,000	59,350	0	0	0	5,000	5,000	59,350	50,768	0
SG CAPITAL/CEDAR STREET FUNDS	307	0	0	0	0	0	0	3,000	0	0	3,000	0	0
<b>TOTALS:</b>		<b>500</b>	<b>22,150</b>	<b>22,150</b>	<b>175,857</b>	<b>0</b>	<b>0</b>	<b>3,000</b>	<b>22,150</b>	<b>22,150</b>	<b>178,857</b>		

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