



Daily Compliance Activity Report

Trade Date: 03/11/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
RHO CAPITAL MGMT	041	AAPL	B	300	125.62	n/a	n/a	12.00	4.0
COUGAR TRADING	044	AB	B	2,500	60.94	11-Jan	11-Mar	125.00	5.0
BUCK	015	AB	B	800	59.19	11-Jan	11-Mar	0.00	0.0
FORD FDN.	032	AET	B	74,600	41.17	n/a	n/a	3,730.00	5.0
REINHART & MAHONEY	031	AFG	B	23,830	25.20	n/a	n/a	1,191.50	5.0
GRANAHAN INV	039	AMG	S	72,000	87.55	9-Jan	6-Mar	2,880.00	4.0
MERRILL ASSET	032	AMG	S	43,500	89.23	9-Jan	6-Mar	1,957.50	4.5
COUGAR TRADING	044	ANF	SS	5,000	73.38	10-Jan	10-Mar	250.00	5.0
MAGUIRE	039	ARGN	B	400	15.61	n/a	25-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	B	20,000	25.48	29-Nov	10-Mar	800.00	4.0
T. ROWE PRICE	033	AXP	S	271,100	42.21	n/a	n/a	8,133.00	3.0
EGS ASSOCIATES LP	091	BIG	SS	3,500	20.46	6-Mar	10-Mar	210.00	6.0
MATTHES CAPITAL MGMT	033	BIG	SS	25,000	20.75	6-Mar	10-Mar	1,000.00	4.0
STERLING JOHNSTON	035	BONT	S	15,200	5.92	4-Jan	7-Mar	608.00	4.0
REINHART & MAHONEY	031	BRCM	S	74,573	17.46	n/a	n/a	3,728.65	5.0
PHILADELPHIA FINANCIAL	033	BSC	B	76,102	67.05	11-Mar	11-Mar	3,805.10	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	CBK	B	10,000	8.49	n/a	7-Mar	400.00	4.0
STRAUSS ASSET MGMT	040	CIEN	SS	10,000	27.18	n/a	22-Mar	400.00	4.0
BUCK	015	COF	SS	1,500	44.31	n/a	n/a	0.00	0.0
MAGUIRE	039	COH	C	200	27.85	24-Oct	24-Jan	0.00	0.0
MORGAN LEWIS/MLH	046	CSCO	B	10,000	24.51	n/a	22-Mar	500.00	5.0
MATTHES CAPITAL MGMT	033	CSS	SS	2,900	37.03	n/a	n/a	116.00	4.0
CATAPULT	040	CYN	SS	5,000	51.08	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	DKS	B	50,000	24.93	n/a	25-Feb	2,000.00	4.0
CENTURION GLOBAL MGMT LL	041	DOW	B	12,600	37.00	n/a	6-Feb	378.00	3.0
CUMBERLAND	038	DTV	B	100,000	24.55	15-Nov	4-Jan	4,000.00	4.0
NOLAN	067	DUG	B	30	39.57	n/a	n/a	0.00	0.0
RHO CAPITAL MGMT	041	EEM	B	400	138.37	n/a	n/a	16.00	4.0
REINHART & MAHONEY	031	FLIR	B	4,960	26.64	n/a	n/a	248.00	5.0
GALLEON MGMT LP	033	FL	C	10,000	11.26	n/a	20-Feb	500.00	5.0
IRONBOUND CAPITAL	012	FOR	B	5,400	23.53	n/a	n/a	270.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RHO CAPITAL MGMT	041	FSLR	B	250	190.97	n/a	n/a	10.00	4.0
PHILADELPHIA FINANCIAL	033	GBL	SS	26,924	51.47	n/a	6-Mar	1,346.20	5.0
MATRIX ASSET ADV.	036	GE	S	4,000	33.19	n/a	n/a	160.00	4.0
PILOT ROCK	028	GME	B	84,000	45.45	n/a	n/a	3,360.00	4.0
NICOLAS APPELGATE	048	GOOG	S	27,650	431.70	n/a	n/a	1,106.00	4.0
KERN	050	GOOG	S	20	426.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	GPS	S	5,000	19.77	n/a	7-Mar	250.00	5.0
MAGUIRE	039	GR	B	100	59.48	25-Oct	31-Jan	0.00	0.0
COUGAR TRADING	044	GYMB	S	10,000	37.41	n/a	10-Mar	500.00	5.0
JP MORGAN VENTURES	046	GYMB	S	10,000	37.48	n/a	10-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	HOG	B	10,000	35.61	n/a	n/a	400.00	4.0
AMBER CAPITAL	046	HSY	B	181,500	35.86	n/a	n/a	7,260.00	4.0
CENTURION GLOBAL MGMT LL	041	IBM	B	3,800	116.50	n/a	n/a	114.00	3.0
REINHART & MAHONEY	031	ISIL	B	51,910	25.01	n/a	n/a	2,595.50	5.0
N STRATTON	014	ITB	SS	300	17.70	n/a	n/a	0.00	0.0
BARR	096	ITCD	S	1,585	3.40	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MERRILL ASSET	032	ITG	B	12,800	44.29	n/a	n/a	640.00	5.0
COUGAR TRADING	044	IVZ	B	5,000	22.55	10-Mar	11-Mar	250.00	5.0
DENVER INST. ADV	046	IVZ	B	151,200	22.36	10-Mar	11-Mar	6,048.00	4.0
SYSTEMATIC FINANCIAL MGT	035	IVZ	B	101,700	22.76	10-Mar	11-Mar	3,813.75	3.8
VENTANA CAPITAL	060	IVZ	S	7,500	22.13	10-Mar	11-Mar	300.00	4.0
RHO CAPITAL MGMT	041	IWM	B	1,000	66.99	n/a	n/a	40.00	4.0
N STRATTON	014	IYR	SS	100	63.04	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCG	S	5,000	40.63	28-Nov	21-Feb	250.00	5.0
MAGUIRE	039	JCG	B	200	41.19	28-Nov	21-Feb	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	SS	20,000	41.41	4-Jan	7-Mar	800.00	4.0
RAINIER INV MGMT	035	JLL	S	25,950	73.46	n/a	n/a	1,297.50	5.0
BUCK CAPITAL MGMT	001	JWN	SS	20,000	34.05	26-Feb	10-Mar	800.00	4.0
COUGAR TRADING	044	KSS	B	10,000	43.43	4-Jan	7-Mar	500.00	5.0
BUCK CAPITAL MGMT	001	LIZ	B	20,000	16.51	n/a	15-Feb	800.00	4.0
SIGMA CAPITAL ASSOCIATES	237	LTD	B	25,000	15.30	n/a	7-Mar	1,250.00	5.0
KERN	050	LYHCI	S	20	1.95	n/a	n/a	0.00	0.0
BUCK	015	MER	SS	700	44.06	18-Jan	10-Mar	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EMP ERROR	099	MER	B	700	44.59	18-Jan	10-Mar	0.00	0.0
EMP ERROR	099	MER	SS	700	44.74	18-Jan	10-Mar	0.00	0.0
COBALT	041	MFA	B	50,000	7.00	n/a	n/a	1,500.00	3.0
ROARING BLUE LION MGMT	012	MF	B	11,000	18.24	n/a	n/a	440.00	4.0
RAINIER INV MGMT	035	MOS	S	48,605	99.83	n/a	n/a	2,430.25	5.0
TRIVIUM CAP MGMT	251	MU	C	2,500	6.38	n/a	n/a	100.00	4.0
CHARTER OAK	033	MYL	B	96,100	10.26	n/a	11-Mar	4,805.00	5.0
REINHART & MAHONEY	031	M	B	10,000	24.44	7-Feb	7-Mar	500.00	5.0
THE JAY GOLDMAN	034	M	B	25,000	23.71	7-Feb	7-Mar	1,000.00	4.0
BARR	096	NCC	SS	1,000	14.67	n/a	n/a	0.00	0.0
MAGUIRE	039	NKE	C	100	58.03	n/a	n/a	0.00	0.0
GRANITE CAPITAL	045	NTAP	S	20,000	21.42	n/a	n/a	1,000.00	5.0
BUCK	015	NYF	B	200	100.98	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	PVH	B	65,000	31.73	5-Feb	10-Mar	2,600.00	4.0
COUGAR TRADING	044	PZN	B	5,000	9.50	10-Mar	10-Mar	250.00	5.0
GAULT	063	QLD	S	500	63.96	n/a	n/a	0.00	0.0
LEHMAN PROPRIETARY TRAD	041	RDC	B	50,000	41.56	n/a	n/a	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
REINHART & MAHONEY	031	RGA	B	22,520	52.08	n/a	n/a	1,126.00	5.0
COUGAR TRADING	044	RL	B	5,000	57.19	7-Feb	15-Feb	250.00	5.0
REINHART & MAHONEY	031	ROC	B	17,130	31.86	n/a	n/a	856.50	5.0
MS PRINCIPAL STRATEGIES	041	RT	SS	27,400	6.58	n/a	n/a	1,370.00	5.0
BUCK	015	SGPPRB	B	300	192.38	n/a	n/a	0.00	0.0
N STRATTON	014	SHOO	B	99	16.75	n/a	n/a	0.00	0.0
MAGUIRE	039	SKF	B	100	133.81	n/a	n/a	0.00	0.0
GAULT	063	SKF	B	2,000	125.80	n/a	n/a	0.00	0.0
GAULT	063	SKF	S	2,000	124.48	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SWY	B	40,000	29.36	n/a	n/a	1,600.00	4.0
REINHART & MAHONEY	031	SY	B	17,150	25.49	n/a	n/a	857.50	5.0
MAGUIRE	039	TAST	B	600	7.46	n/a	n/a	0.00	0.0
N STRATTON	014	TECUA	SS	200	25.45	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	TMP	B	200	45.12	n/a	n/a	9.00	4.5
MATRIX ASSET ADV.	036	TWX	S	10,000	14.82	n/a	n/a	300.00	3.0
GALLEON MGMT LP	033	USB	C	25,000	32.18	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
VISIUM/BALY HOWARD	038	USTR	SS	15,000	48.07	n/a	27-Jul	750.00	5.0
GAULT	063	UYG	S	4,000	29.49	n/a	n/a	0.00	0.0
UBS OCONNOR	031	WB	S	120,000	27.98	n/a	n/a	3,600.00	3.0
SINCLAIR	031	WFCSY	B	20	2.50	n/a	n/a	0.00	0.0
COUGAR TRADING	044	WMT	S	5,000	49.82	n/a	7-Mar	250.00	5.0
PILOT ADV	038	WMT	B	25,000	49.83	n/a	7-Mar	1,250.00	5.0
BARR	096	WM	SS	9,000	11.55	n/a	n/a	0.00	0.0
BASIX	033	WRNC	S	34,700	35.24	14-Jan	10-Mar	1,388.00	4.0
JPMSI/TOM LEDDY	035	WRNC	B	15,000	35.52	14-Jan	10-Mar	750.00	5.0
AMBER CAPITAL	046	WWY	B	201,900	59.50	n/a	n/a	8,076.00	4.0
CENTURION GLOBAL MGMT LL	041	XLF	B	6,200	25.33	n/a	n/a	186.00	3.0
REINHART & MAHONEY	031	XOM	S	11,500	84.63	n/a	n/a	575.00	5.0
TOTAL (Trade Date) :								112,968.95	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	03/07	MORRISON & FRASIER (BUTLCONF)	040	ANF	S	7,000	73.62	10-Jan	10-Mar	350.00 5.0
AO,CXL	03/07	MORRISON & FRASIER (BUTLBANC O)	040	ANF	S	7,000	73.62	10-Jan	10-Mar	350.00 5.0
CRT	03/11	EMP ERROR (EMP ERROR)	099	MER	SS	700	44.74	18-Jan	10-Mar	0.00 0.0
CRT	03/11	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	PVH	B	64,900	31.73	5-Feb	10-Mar	2596.00 4.0
TOTAL (AO, CXL, CRT) :										2,596.00

TOTAL (Trade Date) :	112,968.95
TOTAL (AO, CXL, CRT) :	2,596.00

TOTAL (RUN DATE) / NFS:	115,564.95
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION