



Daily Compliance Activity Report

Trade Date: 06/05/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JP MORGAN VENTURES	046	AEO	SS	10,000	26.59	n/a	4-Jun	500.00	5.0
SAXON WOODS ADVISORS	038	ALVR	B	20,000	9.09	n/a	n/a	1,000.00	5.0
GAULT	063	AMCC	B	5,000	2.88	n/a	n/a	0.00	0.0
JP MORGAN VENTURES	046	ANF	SS	5,000	80.16	n/a	4-Jun	250.00	5.0
BUCK CAPITAL MGMT	001	ANN	SS	20,000	38.28	25-May	4-Jun	800.00	4.0
KANTROWIT	N21	APC	B	45	51.41	27-Feb	4-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	S	10,000	46.35	n/a	4-Jun	400.00	4.0
NWQ INVESTMENT MGMT CO	048	ARXX	S	6,300	14.18	n/a	n/a	189.00	3.0
DAVIDSON KEMPNER ADVISOR	217	ASD	B	75,000	60.63	31-May	31-May	3,750.00	5.0
OSS CAPITAL/KEVIN DANN	067	ASD	S	100,000	60.58	31-May	31-May	3,000.00	3.0
WHITE PINE CAPITAL	030	BDSB	S	600	10.16	n/a	n/a	30.00	5.0
KACELA CAPITAL	034	BID	S	16,000	47.16	n/a	n/a	640.00	4.0
THE JAY GOLDMAN	034	BJ	B	20,000	37.62	10-Apr	5-Jun	800.00	4.0
DE SHAW	040	BKHM	B	100,000	2.13	n/a	n/a	3,000.00	3.0
HIGHBRIDGE CAPITAL	038	BNI	B	6,000	92.73	n/a	n/a	300.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
WHITE PINE CAPITAL	030	BONT	S	625	47.72	25-May	4-Jun	31.25	5.0
BUCK CAPITAL MGMT	001	CBK	B	50,000	18.95	30-Mar	4-Jun	2,000.00	4.0
LEDDY	035	CBK	S	1,000	18.65	30-Mar	4-Jun	0.00	0.0
DSG CAPITAL	225	CCMP	B	3,000	32.76	26-Apr	26-Apr	150.00	5.0
BEAR STEARNS ASSET MGMT.	033	CHS	SS	18,700	26.60	31-May	4-Jun	748.00	4.0
COUGAR TRADING	044	COH	B	5,000	50.45	23-Feb	25-Apr	250.00	5.0
LATEFF MGMT	040	C	S	1,000	53.69	11-Jan	11-May	320.00	32.0
BUCK	015	DF	S	1,000	33.16	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DKS	B	5,000	54.39	n/a	n/a	200.00	4.0
LATEFF MGMT	040	DOW	S	1,500	46.48	n/a	25-May	390.00	26.0
GENERAL ELECTIC CO	033	EMC	S	178,159	17.01	n/a	n/a	3,563.18	2.0
SAVANNAH-BALTIMORE	012	EMC	B	483,000	16.99	n/a	n/a	24,150.00	5.0
DENVER INST. ADV	046	ENDP	S	122,340	34.75	31-May	4-Jun	4,893.60	4.0
BUCK CAPITAL MGMT	001	FDO	SS	20,000	33.02	19-Apr	4-Jun	800.00	4.0
BUCK CAPITAL MGMT	001	FL	S	50,000	21.96	24-May	24-May	2,000.00	4.0
LATEFF MGMT	040	FMER	S	1,500	21.36	n/a	n/a	285.00	19.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	F	C	2,500	8.35	n/a	27-Apr	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	SS	40,000	51.88	n/a	10-Jan	1,600.00	4.0
Õ Glenn Redemann		GDP	B	2	0.9500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GDP	B	2	1.0000	n/a	n/a	0.00	0.0
AVESTA CAP	229	GE	S	50,000	37.41	n/a	n/a	2,500.00	5.0
WHITE PINE CAPITAL	030	GGG	S	1,500	40.60	n/a	n/a	75.00	5.0
WAGONHOUND	048	GLW	B	12,850	25.70	n/a	15-May	514.00	4.0
DELAWARE MANAGEMENT	243	GPS	B	13,100	18.36	n/a	4-Jun	655.00	5.0
Õ Glenn Redemann		GSF	B	6	0.3600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GSF	B	6	0.5600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GSF	SS	6	1.2300	n/a	n/a	0.00	0.0
Õ Glenn Redemann		GSF	SS	6	1.4400	n/a	n/a	0.00	0.0
LATEFF MGMT	040	GSK	S	425	51.39	n/a	n/a	191.25	45.0
KACELA CAPITAL	034	HOT	B	6,000	72.56	n/a	n/a	240.00	4.0
IMPALA ASSET MMT	012	HTZ	B	100,000	23.82	n/a	n/a	3,000.00	3.0
WHITE PINE CAPITAL	030	IFF	S	1,525	51.37	3-May	3-May	76.25	5.0
IRIDIAN ASSET MGMT.	036	INTC	S	63,330	22.13	n/a	n/a	3,166.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK	015	IWN	S	800	84.92	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JCG	B	5,000	48.84	1-Jun	1-Jun	250.00	5.0
COUGAR TRADING	044	JCG	S	5,000	48.75	1-Jun	1-Jun	250.00	5.0
COUGAR TRADING	044	JCP	B	10,000	81.81	20-Apr	4-Jun	500.00	5.0
LATEFF MGMT	040	JNJ	S	625	63.27	n/a	22-Apr	262.50	42.0
AAD CAPITAL	048	JNS	SS	25,000	28.71	26-Apr	23-May	1,000.00	4.0
THE JAY GOLDMAN	034	JWN	B	10,000	52.89	27-Feb	4-Jun	400.00	4.0
DELAWARE MANAGEMENT	243	KRC	B	13,600	75.47	n/a	n/a	680.00	5.0
ADAGE CAPITAL MGMT	030	KSS	S	193,000	75.69	n/a	5-Jun	7,720.00	4.0
BUCK CAPITAL MGMT	001	KSS	B	10,000	75.85	n/a	5-Jun	400.00	4.0
IRIDIAN ASSET MGMT.	036	LEH	B	42,800	75.52	n/a	30-May	2,140.00	5.0
KACELA CAPITAL	034	LOW	S	19,000	32.63	n/a	21-May	760.00	4.0
MERRILL LYNCH STRATEGICI	034	LTD	B	15,000	26.67	16-May	4-Jun	600.00	4.0
OSS CAPITAL/KEVIN DANN	067	LXK	B	19,500	51.27	n/a	n/a	585.00	3.0
RIVER RUN MGMT	031	MCCC	B	34,000	9.96	4-Jun	5-Jun	1,360.00	4.0
TROUBH PART	067	MCCC	B	5,000	9.95	4-Jun	5-Jun	250.00	5.0
PROSPECTOR PARTNERS LLC	036	MIR	S	15,000	46.55	n/a	n/a	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
WAGONHOUND	048	MON	S	2,770	62.07	n/a	4-Apr	110.80	4.0
Õ Glenn Redemann		MTW	B	5	0.4900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MTW	SS	5	1.1200	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MTX	B	5	0.5900	n/a	n/a	0.00	0.0
Õ Glenn Redemann		MTX	SS	5	1.3100	n/a	n/a	0.00	0.0
LATEFF MGMT	040	NCX	S	750	36.02	n/a	n/a	240.00	32.0
BRENCOURT	028	NEM	S	50,000	41.20	n/a	n/a	2,500.00	5.0
LATEFF MGMT	040	NYB	S	2,500	17.82	n/a	n/a	350.00	14.0
BUCK CAPITAL MGMT	001	OXM	S	20,000	44.89	n/a	n/a	800.00	4.0
OGORMAN	146	PARD	S	3,000	8.85	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	PCH	S	5,000	43.62	20-Mar	1-May	250.00	5.0
WHITE PINE CAPITAL	030	PERY	S	3,125	31.21	n/a	n/a	156.25	5.0
BUCK CAPITAL MGMT	001	PSS	B	5,000	34.40	n/a	n/a	200.00	4.0
WAGONHOUND	048	PX	S	2,360	69.59	n/a	25-Apr	94.40	4.0
NWQ INVESTMENT MGMT CO	048	QTM	S	6,800	3.00	n/a	n/a	136.00	2.0
Õ Glenn Redemann		RHT	B	2	0.8800	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ö	Glenn Redemann		RHT	B	2	1.3700	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	RL	SS	10,000	97.93	2-Feb	31-May	400.00	4.0
	ENTRUST CAPITAL	040	RNT	B	57,975	29.44	n/a	n/a	2,898.75	5.0
	GALLEON MGMT LP	033	RTH	SS	15,000	107.52	n/a	n/a	750.00	5.0
	BUCK CAPITAL MGMT	001	RVI	S	100,000	18.98	n/a	n/a	4,000.00	4.0
	WHITE PINE CAPITAL	030	RVI	S	2,150	18.94	n/a	n/a	107.50	5.0
	BUCK	015	SDS	S	800	51.11	n/a	n/a	0.00	0.0
	PROSPECTOR PARTNERS LLC	036	SNG	S	20,000	3.44	n/a	n/a	600.00	3.0
	ARIENCE CAPITAL	036	STX	B	62,370	20.58	n/a	n/a	3,118.50	5.0
	REINHART & MAHONEY	031	SUNW	B	243,250	5.02	n/a	n/a	12,162.50	5.0
	IMPALA ASSET MMT	012	SU	SS	73,869	90.64	n/a	n/a	2,216.07	3.0
	LATEFF MGMT	040	TCB	S	2,250	28.21	n/a	n/a	405.00	18.0
	LATEFF MGMT	040	TEVA	S	2,200	39.46	2-May	4-Jun	440.00	20.0
	THE JAY GOLDMAN	034	TGT	SS	15,000	63.56	n/a	5-Jun	600.00	4.0
	KORMAN	003	TRLG	B	3,000	19.09	n/a	n/a	0.00	0.0
	SARAS CAPITAL	038	TYC	B	40,000	33.33	8-May	1-Jun	2,000.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	UAG	B	20,000	21.95	n/a	9-May	800.00	4.0
AVESTA CAP	229	VLO	S	30,000	75.61	n/a	n/a	1,500.00	5.0
SAXON WOODS ADVISORS	038	VLY	S	10,000	24.44	n/a	n/a	500.00	5.0
DELAWARE MANAGEMENT	243	WB	B	16,100	54.03	n/a	n/a	805.00	5.0
SUN AMERICA ASSET MGMT	030	WCC	S	22,200	64.79	n/a	n/a	1,110.00	5.0
BULL PATH CAPITAL	044	WDR	S	45,500	25.61	5-Mar	23-May	2,275.00	5.0
J L F ASSET MGMT	039	WMT	S	46,250	50.68	4-Jun	5-Jun	1,850.00	4.0
SAXON WOODS ADVISORS	038	WMT	B	15,000	50.60	4-Jun	5-Jun	750.00	5.0
IMPALA ASSET MMT	012	WRCN	B	125,000	40.36	n/a	n/a	3,750.00	3.0
JP MORGAN VENTURES	046	WRNC	S	1,100	37.02	9-May	9-May	55.00	5.0
ACT II PARTNERS	067	XOHO	S	22,000	4.75	n/a	n/a	880.00	4.0
BRIGHTPOINT CAP	067	ZQK	B	220,000	13.77	9-Mar	5-Jun	8,800.00	4.0
COUGAR TRADING	044	ZQK	S	5,000	13.64	9-Mar	5-Jun	250.00	5.0
BEAR STEARNS ASSET MGMT.	033	ZUMZ	B	10,000	39.36	n/a	n/a	400.00	4.0
TOTAL (Trade Date) :								142,626.30	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/04	WHITE PINE CAPITAL (WPIN4413)	030	BONT	S	1,200	47.99	25-May	4-Jun	60.00 5.0
AO,	06/04	GENERAL MOTORS INV CO (GMICGR5Q)	040	CMGI	B	3,200	2.49	n/a	n/a	96.00 3.0
AO,	06/04	GENERAL MOTORS INV CO (GMIC7M1L)	040	CMGI	B	10,000	2.49	n/a	n/a	300.00 3.0
AO,	06/04	GENERAL MOTORS INV CO (GMICHCLP)	040	CMGI	B	600	2.49	n/a	n/a	18.00 3.0
AO,	06/04	GENERAL MOTORS INV CO (GMICGR5Q)	040	DCT	B	6,500	11.00	n/a	n/a	260.00 4.0
AO,	06/04	GENERAL MOTORS INV CO (GMIC7M1L)	040	DCT	B	20,000	11.00	n/a	n/a	800.00 4.0
AO,	06/04	GENERAL MOTORS INV CO (GMICHCLP)	040	DCT	B	200	11.00	n/a	n/a	8.00 4.0
AO,CRT	05/31	UBS O'CONNOR/TOM LEDDY (UBSTCSFBCO)	035	HPQ	S	197,450	45.62	n/a	n/a	5923.50 3.0
AO,CXL	05/31	UBS O'CONNOR/TOM LEDDY (UBSTPRMUBS)	035	HPQ	S	197,450	45.62	n/a	n/a	5923.50 3.0
AO,	06/01	LISTED ERR (LISTED ERR)	099	IGT	B	1,600	40.27	n/a	20-Apr	0.00 0.0
AO,	06/04	GENERAL MOTORS INV CO (GMICHCLP)	040	PGI	B	100	12.69	n/a	n/a	4.00 4.0
AO,	06/04	GENERAL MOTORS INV CO (GMICGR5Q)	040	PGI	B	800	12.69	n/a	n/a	32.00 4.0
AO,	06/04	GENERAL MOTORS INV CO (GMIC7M1L)	040	PGI	B	2,500	12.69	n/a	n/a	100.00 4.0
AO,	06/04	COUGAR TRADING (COUGPB MS)	044	PLCE	S	10,000	57.06	12-Apr	4-Jun	500.00 5.0
AO,	06/01	TRELLUS PARTNERS LP (TRELMAIN)	028	PSYS	S	30,000	37.85	n/a	n/a	1200.00 4.0
AO,CXL	05/31	UBS OCONNOR (UBSOWARUBS)	031	SMG	B	17,950	46.01	20-Feb	2-May	538.50 3.0
AO,	05/31	UBS OCONNOR (UBSOCFBCO)	031	SMG	B	17,950	46.01	20-Feb	2-May	538.50 3.0
AO,CXL	06/01	UBS OCONNOR (UBSOWARUBS)	031	SMG	B	31,807	45.80	20-Feb	2-May	954.21 3.0
AO,	06/01	UBS OCONNOR (UBSOCFBCO)	031	SMG	B	31,807	45.80	20-Feb	2-May	954.21 3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/04	GENERAL MOTORS INV CO (GMICGR5Q)	040	SWS	B	900	24.49	n/a	n/a	36.00 4.0
AO,	06/04	GENERAL MOTORS INV CO (GMIC7M1L)	040	SWS	B	2,700	24.49	n/a	n/a	108.00 4.0
AO,	06/04	GENERAL MOTORS INV CO (GMICHCLP)	040	SWS	B	100	24.49	n/a	n/a	4.00 4.0
AO,CXL	06/04	SEAROCK CAPITAL MANAGE (SEARMS)	012	WMT	S	100,900	50.91	4-Jun	5-Jun	5045.00 5.0
AO,CRT	06/04	SEAROCK CAPITAL MANAGE (SEARMS)	012	WMT	S	100,900	50.91	4-Jun	5-Jun	4036.00 4.0

TOTAL (AO, CXL, CRT) :	2,517.00
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TOTAL (Trade Date):	142,626.30
TOTAL (AO, CXL, CRT):	2,517.00

TOTAL (RUN DATE) / NFS:	145,143.30
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION