



Daily Compliance Activity Report

Trade Date: 05/03/2013

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
f MICHAEL ALEXANDER SM	FID	AAPL7	S	40	2.13	n/a	n/a	0.00	0.0
f MICHAEL ALEXANDER SM	FID	AAPL7	S	5	2.16	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	ACE	B	170	89.75	n/a	n/a	6.80	0.0
BESSEMER INVESTMENT	085	ACN	B	150	79.61	n/a	n/a	6.00	0.0
B BUCK CAPITAL MGMT		AEO	S	125,000	19.07	n/a	2-Dec	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	S	50,000	19.06	n/a	2-Dec	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	S	75,000	19.03	n/a	2-Dec	non-brg	4.0
BESSEMER INVESTMENT	085	AET	B	435	59.04	n/a	n/a	17.40	0.0
BESSEMER INVESTMENT	085	AIG	B	350	44.48	n/a	n/a	14.00	0.0
ELM RIDGE ADVISORS	044	ALL	S	116,325	48.89	n/a	n/a	4,653.00	0.0
NEW JERSEY DIV OF INV.	030	AMGN	B	50,000	107.28	n/a	n/a	1,500.00	0.0
B BUCK CAPITAL MGMT		ANN	SS	16,000	30.02	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ANN	SS	19,500	29.84	n/a	n/a	non-brg	2.5
BESSEMER INVESTMENT	085	APD	B	350	90.00	n/a	n/a	14.00	0.0
B BUCK CAPITAL MGMT		ARO	SS	33,000	14.99	n/a	n/a	non-brg	4.0
BESSEMER INVESTMENT	085	AVGO	B	450	32.07	n/a	n/a	18.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TIDE POINT CAPITAL	028	AXLL	S	10,000	52.75	n/a	n/a	300.00	0.0
AH LISANTI	006	AXL	B	33,910	15.39	n/a	3-May	1,695.50	0.0
f ANTHONY SUTERA	FID	AXL	S	1500	15.45	n/a	3-May	0.00	0.0
BTG PACTUAL	040	AXL	S	15,000	14.79	n/a	3-May	450.00	0.0
B BUCK CAPITAL MGMT		AXL	S	68,000	14.82	n/a	3-May	non-brg	4.0
f CHRISTOPHER ARTHUR K	FID	AXL	S	1000	15.39	n/a	3-May	0.00	0.0
f JONATHAN RICHARD HAR	FID	AXL	S	300	15.44	n/a	3-May	0.00	0.0
Õ Nilsa Vazquez		AXL	S	500	15.40	n/a	3-May	0.00	0.0
RIDGECREST INV MGMT LLC	028	AXL	B	5,000	15.13	n/a	3-May	200.00	0.0
ROSEDALE CAPITAL	046	AXL	S	20,000	14.51	n/a	3-May	800.00	0.0
TALON ASSET MGMT.	006	AXL	S	25,000	15.30	n/a	3-May	1,250.00	0.0
TRUSTCO/SUNTRUST (CEREDEX)	273	AXL	B	30,000	14.94	n/a	3-May	960.00	0.0
VERITION	028	AXL	S	15,000	14.97	n/a	3-May	450.00	0.0
B BUCK CAPITAL MGMT		BBBY	SS	20,000	69.21	n/a	n/a	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	BBW	B	500	6.50	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		BID	SS	14,000	35.58	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		BIG	SS	14,000	36.10	n/a	n/a	non-brg	4.0
f CHRISTOPHER ARTHUR K	FID	BRCD	S	2000	5.22	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CERN	SS	5,000	96.62	n/a	n/a	non-brg	4.0
f BRIAN P CLIFFORD	FID	CHE	B	500	64.34	n/a	n/a	0.00	0.0
BESSEMER INVESTMENT	085	COH	B	290	58.74	n/a	24-Apr	11.60	0.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B	BUCK CAPITAL MGMT		COH	SS	8,500	58.72	n/a	24-Apr	non-brg	4.0
	BESSEMER INVESTMENT	085	COP	B	315	61.82	n/a	n/a	12.60	0.0
	BESSEMER INVESTMENT	085	CTL	B	10	37.09	n/a	n/a	0.40	0.0
	BESSEMER INVESTMENT	085	CVS	B	360	58.77	n/a	n/a	14.40	0.0
	BESSEMER INVESTMENT	085	C	B	430	47.00	n/a	1-May	17.20	0.0
	BESSEMER INVESTMENT	085	DG	B	475	52.49	n/a	26-Mar	19.00	0.0
B	BUCK CAPITAL MGMT		DHI	S	40,000	26.59	n/a	n/a	non-brg	4.0
	APEX CAPITAL MGMT.	033	DNR	B	82,200	17.93	n/a	n/a	3,288.00	0.0
	BESSEMER INVESTMENT	085	DTE	B	185	72.75	n/a	n/a	7.40	0.0
	T. ROWE PRICE	028	DWRE	S	30,704	28.58	n/a	n/a	1,228.16	0.0
f	EDWARD W WHEELER	FID	DXPE	B	30	8.30	n/a	n/a	0.00	0.0
	CR INTRINSIC/CHRIS C	041	EMR	B	15,000	56.86	n/a	n/a	450.00	0.0
	BESSEMER INVESTMENT	085	EXC	B	480	36.08	n/a	n/a	19.20	0.0
	PALISADE CAPITAL MANAGEMENT LLC	025	FDO		S	16,425	62.49	n/a	10-Apr	657.00 0.0
B	BUCK CAPITAL MGMT		FINL	B	50,000	20.50	n/a	1-May	non-brg	4.0
B	BUCK CAPITAL MGMT		FINL	B	90,000	20.37	n/a	1-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CAMBIAR CAP	046	FINL	S	99,000	20.42	n/a	1-May	3,960.00	0.0
⌘ BUCK CAPITAL MGMT		FOR	S	8,014	22.31	n/a	26-Mar	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FOSL	S	10,000	98.80	n/a	n/a	non-brg	4.0
OSKIE CAPITAL MGMT	224	FRGI	S	30,000	28.35	n/a	n/a	1,500.00	0.0
⌘ BUCK CAPITAL MGMT		FWM	B	12,500	17.16	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		FWM	B	12,500	17.29	n/a	n/a	non-brg	0.8
⌘ BUCK CAPITAL MGMT		FWM	B	25,000	17.30	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		FWM	B	7,600	17.20	n/a	n/a	non-brg	1.0
TRUSTCO/SUNTRUST (CEREDEX)	273	GES	B	7,200	27.82	n/a	22-Apr	230.41	0.0
BRONSON POINT	036	GM	S	25,000	32.31	n/a	3-May	750.00	0.0
LEVIN CAPITAL STRATEGIES	041	GM	S	10,000	31.82	n/a	3-May	300.00	0.0
f SERGEI BARANOVSKY	FID	GM	S	30	0.31	n/a	3-May	0.00	0.0
⌘ BUCK CAPITAL MGMT		GNC	S	20,000	45.90	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		GPS	S	20,000	38.96	n/a	n/a	non-brg	4.0
BASSWOOD PARTNERS	267	GS	B	7,400	146.53	n/a	16-Apr	296.00	0.0
CAMBIAR CAP	046	HBI	S	125,000	49.38	3-May	3-May	5,000.00	0.0
FEIN	084	HBI	B	50,000	49.71	3-May	3-May	1,500.00	0.0
SONICA CAPITAL LLC	025	HRL	SS	13,100	41.73	n/a	n/a	393.00	0.0
f EDWARD W WHEELER	FID	HSP	S	5000	33.33	n/a	2-May	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	IBM	B	155	204.90	n/a	n/a	6.20	0.0
f SERGEI BARANOVSKY	FID	INTC	S	110	0.30	n/a	n/a	0.00	0.0
MOORE CAPITAL	257	IP	S	50,000	45.97	n/a	2-May	1,500.00	0.0
ENTRUST CAPITAL	040	IRBT	B	18,114	29.59	n/a	n/a	905.70	0.0
BESSEMER INVESTMENT	085	ITW	B	130	65.75	n/a	n/a	5.20	0.0
B BUCK CAPITAL MGMT		IWO	C	621,001	108.72	n/a	n/a	non-brg	1.0
BTG PACTUAL	040	JBLU	B	2,600	6.81	n/a	26-Apr	78.00	0.0
NOMURA/ANGEL LANE PRINCIPAL	040	JBLU	B	100,000	6.91	n/a	26-Apr	3,000.00	0.0
f FRANCIS MICHAEL MCCA	FID	JCP	B	300	17.19	n/a	30-Apr	0.00	0.0
PAW PARTNERS	025	JCP	B	25,000	17.05	n/a	30-Apr	1,250.00	0.0
BESSEMER INVESTMENT	085	JNJ	B	135	85.65	n/a	n/a	5.40	0.0
COLUMBIA PARTNERS	028	KFRC	S	1,397	13.20	n/a	n/a	55.88	0.0
B BUCK CAPITAL MGMT		KSS	SS	10,000	48.05	n/a	8-Apr	non-brg	4.0
SANDLER O'NEILL ASSET	031	LAZ	B	27,000	32.99	n/a	26-Apr	1,350.00	0.0
CAERUS GLOBAL	041	LEA	B	2,500	58.28	n/a	29-Apr	100.00	0.0
B BUCK CAPITAL MGMT		LEG	SS	15,000	32.95	n/a	n/a	non-brg	1.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRONSON POINT	036	LEN	S	25,000	42.75	n/a	n/a	750.00	0.0
B BUCK CAPITAL MGMT		LEN	S	24,000	42.04	n/a	n/a	non-brg	1.0
BESSEMER INVESTMENT	085	LOW	B	260	39.40	n/a	n/a	10.40	0.0
COLUMBIA PARTNERS	028	LPSN	S	579	12.97	n/a	n/a	23.16	0.0
COOPER CREEK PART	028	LPX	SS	10,000	18.12	n/a	3-May	400.00	0.0
B BUCK CAPITAL MGMT		LTD	S	20,000	51.00	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		LULU	S	30,000	76.43	n/a	1-May	non-brg	4.0
COLUMBIA PARTNERS	028	MCC	S	1,122	15.32	n/a	n/a	44.88	0.0
B BUCK CAPITAL MGMT		MCD	SS	5,000	102.69	n/a	22-Apr	non-brg	4.0
COLUMBIA PARTNERS	028	MDAS	S	1,557	18.19	n/a	n/a	62.28	0.0
COLUMBIA PARTNERS	028	MDC	S	210	37.86	n/a	n/a	8.40	0.0
CENTURION PARTNERS	041	MGM	B	10,000	14.78	n/a	13-Jun	400.00	0.0
BESSEMER INVESTMENT	085	MRO	B	615	33.45	n/a	n/a	24.60	0.0
BESSEMER INVESTMENT	085	MSFT	B	900	33.34	n/a	n/a	36.00	0.0
COLUMBIA PARTNERS	028	MTZ	S	884	30.66	n/a	n/a	35.36	0.0
CORVEX CAPITAL	041	MWV	B	77,000	34.49	n/a	30-Apr	2,310.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BESSEMER INVESTMENT	085	M	B	690	46.31	n/a	26-Apr	27.60	0.0
B BUCK CAPITAL MGMT		M	S	20,000	46.27	n/a	26-Apr	non-brg	4.0
COLUMBIA PARTNERS	028	NICE	S	836	36.20	n/a	n/a	33.44	0.0
BESSEMER INVESTMENT	085	NLSN	B	310	35.60	n/a	n/a	12.40	0.0
COLUMBIA PARTNERS	028	NUS	S	1,101	54.17	n/a	n/a	44.04	0.0
COLUMBIA PARTNERS	028	NXST	S	690	25.36	n/a	n/a	27.60	0.0
COLUMBIA PARTNERS	028	OSIS	S	241	58.30	n/a	n/a	9.64	0.0
B BUCK CAPITAL MGMT		OXM	SS	10,000	60.47	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		PCLN	SS	700	726.62	n/a	n/a	non-brg	1.0
CSFB GLOBAL PROPRIETARY	041	PCL	S	11,000	51.24	n/a	3-May	550.00	0.0
PALISADE CAPITAL MANAGEMENT LLC	025	PCP	S	2,970	190.45		n/a 11-Apr	118.80	0.0
BESSEMER INVESTMENT	085	PFE	B	470	28.79	n/a	n/a	18.80	0.0
COLUMBIA PARTNERS	028	PIR	S	1,209	23.80	n/a	n/a	48.36	0.0
B BUCK CAPITAL MGMT		PLCE	SS	10,000	48.90	n/a	26-Mar	non-brg	4.0
COLUMBIA PARTNERS	028	PPO	S	326	40.81	n/a	n/a	13.04	0.0
COLUMBIA PARTNERS	028	PSMT	S	175	90.17	n/a	n/a	7.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BESSEMER INVESTMENT	085	QCOM	B	425	63.59	n/a	n/a	17.00	0.0
NEW JERSEY DIV OF INV.	030	QCOM	B	20,000	63.54	n/a	n/a	600.00	0.0
B BUCK CAPITAL MGMT		QIWI	B	10,000	17.00	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		QIWI	S	10,000	17.13	n/a	n/a	non-brg	2.5
COLUMBIA PARTNERS	028	RALY	S	961	18.26	n/a	n/a	38.44	0.0
LOMAS CAPITAL	040	RCL	B	20,000	37.01	n/a	n/a	600.00	0.0
COLUMBIA PARTNERS	028	RGC	S	1,912	18.81	n/a	n/a	76.48	0.0
f MICHAEL ALEXANDER SM	FID	RYTPX	B	2994	6.68	n/a	n/a	0.00	0.0
f MICHAEL ALEXANDER SM	FID	RYTPX	B	749	6.68	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	SAVE	S	707	27.70	n/a	n/a	28.28	0.0
COLUMBIA PARTNERS	028	SBAC	S	117	79.45	n/a	n/a	4.68	0.0
COLUMBIA PARTNERS	028	SBGI	S	368	27.16	n/a	n/a	14.72	0.0
B BUCK CAPITAL MGMT		SHOO	S	30,000	47.49	n/a	n/a	non-brg	4.0
f PATRICK O'GRADY	FID	SKUL	S	100	5.02	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		SLXP	SS	10,000	53.65	n/a	29-Apr	non-brg	4.0
COLUMBIA PARTNERS	028	SODA	S	1,212	53.85	n/a	n/a	48.48	0.0
COLUMBIA PARTNERS	028	SPF	S	3,140	9.21	n/a	n/a	94.20	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA PARTNERS	028	SPNC	S	954	18.69	n/a	n/a	38.16	0.0
f ALANA J A MADIGAN-SI	FID	SPXS	B	400	10.98	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	STNR	S	513	48.75	n/a	n/a	20.52	0.0
COLUMBIA PARTNERS	028	SUSQ	S	243	11.57	n/a	n/a	9.72	0.0
B BUCK CAPITAL MGMT		TDG	SS	3,300	149.76	n/a	n/a	non-brg	4.0
SPARTAN	093	TEAR	B	16,100	8.20	n/a	n/a	483.00	0.0
COLUMBIA PARTNERS	028	TGE	S	3,775	8.80	n/a	n/a	113.25	0.0
BESSEMER INVESTMENT	085	TGT	B	250	70.59	n/a	18-Apr	10.00	0.0
COLUMBIA PARTNERS	028	TIVO	S	1,417	11.89	n/a	n/a	56.68	0.0
BESSEMER INVESTMENT	085	TMO	B	340	81.96	n/a	n/a	13.60	0.0
B BUCK CAPITAL MGMT		TPX	C	70,000	46.62	n/a	n/a	non-brg	4.0
COLUMBIA PARTNERS	028	TREX	S	265	52.19	n/a	n/a	10.60	0.0
COLUMBIA PARTNERS	028	UBNT	S	972	16.40	n/a	n/a	38.88	0.0
COLUMBIA PARTNERS	028	UNFI	S	563	48.97	n/a	n/a	22.52	0.0
BESSEMER INVESTMENT	085	UNH	B	460	59.29	n/a	n/a	18.40	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COLUMBIA PARTNERS	028	UPIP	S	5,220	1.94	n/a	n/a	52.20	0.0
BESSEMER INVESTMENT	085	VIAB	B	355	67.67	n/a	n/a	14.20	0.0
COLUMBIA PARTNERS	028	VRA	S	1,262	22.98	n/a	n/a	50.48	0.0
COLUMBIA PARTNERS	028	VRNT	S	282	34.22	n/a	n/a	11.28	0.0
COLUMBIA PARTNERS	028	WAGE	S	573	26.43	n/a	n/a	22.92	0.0
BESSEMER INVESTMENT	085	WAG	B	530	48.36	n/a	n/a	21.20	0.0
1798 CAP/LOMBARD ASSET FRONTIER	040	WBMD	SS	11,900	25.19	n/a	n/a	595.00	0.0
	029	WBMD	B	71,270	25.13	n/a	n/a	2,850.80	0.0
COLUMBIA PARTNERS	028	WCN	S	673	38.02	n/a	n/a	26.92	0.0
COLUMBIA PARTNERS	028	WETF	S	4,772	11.77	n/a	n/a	190.88	0.0
B	BUCK CAPITAL MGMT	WFC	SS	13,000	37.68	n/a	15-Apr	non-brg	4.0
B	BUCK CAPITAL MGMT	WMT	SS	13,000	79.24	n/a	22-Feb	non-brg	1.0
BESSEMER INVESTMENT	085	WM	B	130	41.09	n/a	n/a	5.20	0.0
f	JONATHAN RICHARD HAR	FID WTSI	B	3250	3.44	n/a	n/a	0.00	0.0
COLUMBIA PARTNERS	028	WWW	S	1,075	19.38	n/a	n/a	43.00	0.0
CSFB GLOBAL PROPRIETARY	041	WY	S	20,000	30.84	n/a	3-May	1,000.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KEYGROUP/KGLT	040	XCO	SS	25,000	7.04	n/a	n/a	1,000.00	0.0
KAM ADVISORS LLC	041	XOP	C	38,300	58.77	n/a	n/a	1,149.00	0.0
B BUCK CAPITAL MGMT		XRT	C	20,000	74.59	n/a	n/a	non-brg	1.0
B BUCK CAPITAL MGMT		XRT	C	27,000	74.85	n/a	n/a	non-brg	1.0
f FRANCIS MICHAEL MCCA	FID	YUM	B	150	68.83	n/a	23-Apr	0.00	0.0
BESSEMER INVESTMENT	085	ZMH	B	460	76.80	n/a	n/a	18.40	0.0
TOTAL (Trade Date):								54,670.34	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,	05/02	COLUMBUS CIRCLE	036	BKD	B	5,968	27.97	n/a	n/a	238.72	0.0
AO,CXL	05/01	SAGE ASSET MGMT	025	BZ	B	35,000	7.63	n/a	n/a	1750.00	0.0
AO,CRT	05/01	SAGE ASSET MGMT	025	BZ	B	35,000	7.63	n/a	n/a	1400.00	0.0
AO,CXL	05/02	J. GOLDMAN	028	F	SS	225,000	13.43	n/a	2-May	11250.00	0.0
AO,CRT	05/02	J. GOLDMAN	028	F	SS	225,000	13.43	n/a	2-May	5625.00	0.0
AO,CRT	05/01	MACELLUM CAPITAL	086	FL	B	60,000	34.77	n/a	1-May	1800.00	3.0
AO,CXL	05/01	MACELLUM CAPITAL	086	FL	B	60,000	34.77	n/a	1-May	0.00	0.0
AO,CRT	05/01	CAERUS GLOBAL	041	GM	B	30,000	31.01	n/a	3-May	1200.00	4.0
AO,CXL	05/01	CAERUS GLOBAL	041	GM	B	30,000	31.01	n/a	3-May	0.00	0.0
AO,CRT	05/01	LOMAS CAPITAL	040	HD	B	22,100	73.33	n/a	n/a	663.00	3.0
AO,CXL	05/01	LOMAS CAPITAL	040	HD	B	22,100	73.33	n/a	n/a	0.00	0.0
AO,CRT	05/01	PROSPECTOR PARTNERS LLC	036	HSP	B	10,000	32.43	n/a	2-May	400.00	4.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC	036	HSP	B	10,000	32.43	n/a	2-May	0.00	0.0
AO,CXL	05/01	GATOR CAPITAL MGMT LLC	093	KKR	B	3,475	20.83	n/a	n/a	0.00	0.0
AO,CRT	05/01	GATOR CAPITAL MGMT LLC	093	KKR	B	3,475	20.83	n/a	n/a	104.25	3.0
AO,CRT	05/01	PROSPECTOR PARTNERS LLC	036	MMC	B	10,000	37.90	n/a	n/a	400.00	4.0
AO,CXL	05/01	PROSPECTOR PARTNERS LLC	036	MMC	B	10,000	37.90	n/a	n/a	0.00	0.0
AO,CRT	05/01	GATOR CAPITAL MGMT LLC	093	MS	B	2,360	21.70	n/a	18-Apr	70.80	3.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO,CXL	05/01	GATOR CAPITAL MGMT LLC	093	MS	B	2,360	21.70	n/a	18-Apr	0.00	0.0
AO,CXL	05/01	CSFB GLOBAL PROPRIETARY	041	MWV	B	15,000	33.97	n/a	30-Apr	0.00	0.0
AO,CRT	05/01	CSFB GLOBAL PROPRIETARY	041	MWV	B	15,000	33.97	n/a	30-Apr	750.00	5.0
AO,CXL	05/01	CAPITAL GROWTH MGMT.	241	PHM	B	50,000	20.77	n/a	n/a	0.00	0.0
AO,CRT	05/01	CAPITAL GROWTH MGMT.	241	PHM	B	50,000	20.77	n/a	n/a	2500.00	5.0
AO,CXL	05/01	PIMCO	084	UAL	S	110,000	31.78	n/a	26-Apr	0.00	0.0
AO,CRT	05/01	PIMCO	084	UAL	S	110,000	31.78	n/a	26-Apr	2200.00	0.0
AO,CRT	05/01	SAGE ASSET MGMT	025	VLO	S	6,900	38.84	n/a	n/a	345.00	5.0
AO,CXL	05/01	SAGE ASSET MGMT	025	VLO	S	6,900	38.84	n/a	n/a	0.00	0.0
AO,CRT	05/02	SCOPUS FUND	041	VLO	B	2,070	36.54	n/a	n/a	82.80	0.0
AO,CXL	05/02	SCOPUS FUND	041	VLO	B	2,070	36.54	n/a	n/a	82.80	0.0
TOTAL (AO, CXL, CRT) :										4,696.77	
TOTAL (Trade Date):										54,670.34	
TOTAL (AO, CXL, CRT):										4,696.77	
TOTAL (RUN DATE) / NFS:										59,367.11	

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
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MRI Securities with Trade Activity on 3-May.

HBI

MRI Securities without Trade Activity on 3-May.

SHPG, ACT, GIL, EL

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)