

Daily Compliance Activity Report Trade Date: 07/17/2007

POTENTIAL ANALYST TRADE VIOLATIONS: 0

									Little 145 .
Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
AMBER CAPITAL	046	ACXM	S	250 , 000	26.40	n/a	n/a	10,000.00	4.0
BUCK CAPITAL MGMT		AEO	В	2,000	27.40	10-Jul	13-Jul	non-brg	1.0
NEUBERGER BERMAN	036	AIZ	В	80,000	52.63	n/a	n/a	4,000.00	5.0
BARR	096	ALSC	В	7,000	5.85	n/a	n/a	0.00	0.0
JENNISON	032	ALTR	В	297 , 700	24.92	n/a	n/a	11,908.00	4.0
SUNOVA CAPITAL	031	AMG	S	10,000	131.22	25-Apr	16-Jul	500.00	5.0
BUCK CAPITAL MGMT	001	ANF	В	15,000	73.43	12-Jul	13-Jul	600.00	4.0
CASEY CAPITAL	067	AOS	В	5,000	43.90	18-Apr	18-Apr	250.00	5.0
LUCHANSKY	036	AOS	S	200	44.00	18-Apr	18-Apr	0.00	0.0
MAGUIRE	039	ASDHM	S	10	1.65	n/a	n/a	0.00	0.0
EMP ERROR	N28	ASD	S	14	63.60	31-May	13-Jul	0.00	0.0
KANTROWIT	N28	ASD	S	16	63.60	31-May	13-Ju1	0.00	0.0
DSG CAPITAL	225	AXE	В	2,000	81.41	24-Apr	16-May	100.00	5.0
MILLENIUM PARTNERS	028	BBIB	В	45,000	4.00	n/a	n/a	1,350.00	3.0
MILLENIUM PARTNERS	028	BBI	В	5,000	4.39	n/a	n/a	150.00	3.0
	ACCOUNT AMBER CAPITAL BUCK CAPITAL MGMT NEUBERGER BERMAN BARR JENNISON SUNOVA CAPITAL BUCK CAPITAL MGMT CASEY CAPITAL LUCHANSKY MAGUIRE EMP ERROR KANTROWIT DSG CAPITAL MILLENIUM PARTNERS	ACCOUNT RR # AMBER CAPITAL 046 BUCK CAPITAL MGMT NEUBERGER BERMAN 036 BARR 096 JENNISON 032 SUNOVA CAPITAL 031 BUCK CAPITAL MGMT 001 CASEY CAPITAL 067 LUCHANSKY 036 MAGUIRE 039 EMP ERROR N28 KANTROWIT N28 DSG CAPITAL 225 MILLENIUM PARTNERS 028	ACCOUNT RR # Symbol AMBER CAPITAL 046 ACXM BUCK CAPITAL MGMT AEO NEUBERGER BERMAN 036 AIZ BARR 096 ALSC JENNISON 032 ALTR SUNOVA CAPITAL 031 AMG BUCK CAPITAL MGMT 001 ANF CASEY CAPITAL 067 AOS LUCHANSKY 036 AOS MAGUIRE 039 ASDHM EMP ERROR N28 ASD KANTROWIT N28 ASD DSG CAPITAL 225 AXE MILLENIUM PARTNERS 028 BBIB	Account RR # Symbol B/S AMBER CAPITAL 046 ACXM S BUCK CAPITAL MGMT AEO B NEUBERGER BERMAN 036 AIZ B BARR 096 ALSC B JENNISON 032 ALTR B SUNOVA CAPITAL 031 AMG S BUCK CAPITAL MGMT 001 ANF B CASEY CAPITAL 067 AOS B LUCHANSKY 036 AOS S MAGUIRE 039 ASDHM S EMP ERROR N28 ASD S KANTROWIT N28 ASD S KANTROWIT N28 ASD S MILLENIUM PARTNERS 028 BBIB B	Account RR # Symbol B/S Shares AMBER CAPITAL 046 ACXM S 250,000 BUCK CAPITAL MGMT AEO B 2,000 NEUBERGER BERMAN 036 AIZ B 80,000 BARR 096 ALSC B 7,000 JENNISON 032 ALTR B 297,700 SUNOVA CAPITAL 031 AMG S 10,000 BUCK CAPITAL MGMT 001 ANF B 15,000 CASEY CAPITAL 067 AOS B 5,000 LUCHANSKY 036 AOS S 200 MAGUIRE 039 ASDHM S 10 EMP ERROR N28 ASD S 14 KANTROWIT N28 ASD S 16 DSG CAPITAL 225 AXE B 2,000 MILLENIUM PARTNERS 028 BBIB B 45,000	Account RR # Symbol B/S Shares Price AMBER CAPITAL 046 ACXM S 250,000 26.40 BUCK CAPITAL MGMT AEO B 2,000 27.40 NEUBERGER BERMAN 036 AIZ B 80,000 52.63 BARR 096 ALSC B 7,000 5.85 JENNISON 032 ALTR B 297,700 24.92 SUNOVA CAPITAL 031 AMG S 10,000 131.22 BUCK CAPITAL MGMT 001 ANF B 15,000 73.43 CASEY CAPITAL 067 AOS B 5,000 43.90 LUCHANSKY 036 AOS S 200 44.00 MAGUIRE 039 ASDHM S 10 1.65 EMP ERROR N28 ASD S 16 63.60 DSG CAPITAL 225 AXE B 2,000 81.41 MILLENIUM PARTNER	Account RR # Symbol B/S Shares Price MRI AMBER CAPITAL 046 ACXM S 250,000 26.40 n/a BUCK CAPITAL MGMT AEO B 2,000 27.40 10-Jul NEUBERGER BERMAN 036 AIZ B 80,000 52.63 n/a BARR 096 ALSC B 7,000 5.85 n/a JENNISON 032 ALTR B 297,700 24.92 n/a SUNOVA CAPITAL 031 AMG S 10,000 131.22 25-Apr BUCK CAPITAL MGMT 001 ANF B 15,000 73.43 12-Jul CASEY CAPITAL 067 AOS B 5,000 43.90 18-Apr LUCHANSKY 036 AOS S 200 44.00 18-Apr MAGUIRE 039 ASDHM S 10 1.65 n/a EMP ERROR N28 ASD S	Account RR # Symbol B/S Shares Price MRI Research AMBER CAPITAL 046 ACXM S 250,000 26.40 n/a n/a BUCK CAPITAL MGMT AEO B 2,000 27.40 10-Jul 13-Jul NEUBERGER BERMAN 036 AIZ B 80,000 52.63 n/a n/a BARR 096 ALSC B 7,000 5.85 n/a n/a JENNISON 032 ALTR B 297,700 24.92 n/a n/a SUNOVA CAPITAL 031 AMG S 10,000 131.22 25-Apr 16-Jul BUCK CAPITAL MGMT 001 ANF B 5,000 43.90 18-Apr 18-Apr LUCHANSKY 036 AOS S 200 44.00 18-Apr 18-Apr MAGUIRE 039 ASDHM S 10 1.65 n/a n/a EMP ERROR N28<	Account RR # Symbol B/S Shares Price MRI Research Comm. AMBER CAPITAL 046 ACXM S 250,000 26.40 n/a n/a 10,000.00 BUCK CAPITAL MGMT AEO B 2,000 27.40 10-Jul 13-Jul non-brg NEUBERGER BERMAN 036 AIZ B 80,000 52.63 n/a n/a 1/a 4,000.00 BARR 096 ALSC B 7,000 5.85 n/a n/a n/a 11,908.00 JENNISON 032 ALTR B 297,700 24.92 n/a n/a 11,908.00 SUNOVA CAPITAL MGMT 031 AMG S 10,000 131.22 25-Apr 16-Jul 500.00 BUCK CAPITAL MGMT 001 ANF B 15,000 73.43 12-Jul 13-Jul 600.00 CASEY CAPITAL MGMT 036 AOS B 5,000 43.90 18-Apr 18-Apr 250.00 LUCHANSKY 036 AOS S 200 44.00 18-Apr 18-Apr 0.00 MAGUIRE 039 ASDHM S 10 1.65 n/a n/a 0.00 KANTRONIT N28 ASD S 14 63.60 31-May 13-Jul 0.00 KANTRONIT N28 ASD S 16 63.60 31-May 13-Jul 0.00 BUCK CAPITAL 225 AXE B 2,000 81.41 24-Apr 16-May 100.00 MILLENIUM PARTNERS 028 BBIB B 45,000 4.00 n/a 1/a 1,350.00

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
a	BUCK CAPITAL MGMT		BBY	s	1,750	46.94	1-May	27-Jun	non-brg	5.0
	SUNOVA CAPITAL	031	BEN	S	25 , 000	142.99	10-Jul	16-Jul	1,250.00	5.0
õ	Glenn Redemann		BG	В	3	0.67	n/a	n/a	0.00	0.0
õ	Glenn Redemann		BG	В	3	0.70	n/a	n/a	0.00	0.0
õ	Glenn Redemann		BG	SS	3	1.48	n/a	n/a	0.00	0.0
õ	Glenn Redemann		BG	SS	3	1.74	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	BID	s	10,000	51.97	n/a	n/a	400.00	4.0
	CRAMER ROSENTHAL/SCOTT	012	BLL	S	13,700	53.48	n/a	n/a	548.00	4.0
	CROWLEY	061	BNJ	В	1,600	17.20	n/a	n/a	0.00	0.0
	XYLEM GLOBAL	091	BOW	В	75 , 000	24.28	n/a	14-Jun	3,750.00	5.0
	CHARTER OAK	033	CAG	В	96 , 580	26.96	n/a	n/a	4,829.00	5.0
	KORMAN	003	CHCG	В	5,000	7.26	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		CHIC	s	5,000	23.76	n/a	n/a	non-brg	5.0
ß	BUCK CAPITAL MGMT		CMRG	s	5,000	11.51	n/a	n/a	non-brg	5.0
	CROWLEY	061	CMU	В	14,900	5.70	n/a	n/a	0.00	0.0
	COUGAR TRADING	044	СОН	S	5,000	49.02	23-Feb	25-Apr	250.00	5.0
	MAPL	068	CRM	SS	100,000	44.09	n/a	n/a	5,000.00	5.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
	BEAR STEARNS ASSET MGMT.	033	CWTR	В	65,000	21.72	n/a	n/a	2,600.00	4.0
	SUTERA	160	CXTI	В	2,000	7.00	n/a	n/a	0.00	0.0
	SATELLITE ASSET MGMT	012	DB	SS	7,000	147.64	n/a	n/a	280.00	4.0
	REINHART & MAHONEY	031	DDMX	В	6,300	26.00	n/a	n/a	315.00	5.0
	MILLENIUM PARTNERS	028	DDS	В	25,000	37.24	n/a	n/a	1,250.00	5.0
	CROWLEY	061	EFT	В	1,000	18.93	n/a	n/a	0.00	0.0
	MAZAMA CAPITAL MGMT.	035	ENG	S	65,300	12.32	n/a	n/a	2,612.00	4.0
	BUCK CAPITAL MGMT	001	FL	В	80,000	21.23	24-May	21-Jun	3,200.00	4.0
ß	BUCK CAPITAL MGMT		FL	В	150,000	21.21	24-May	21-Jun	non-brg	5.0
ß	BUCK CAPITAL MGMT		FL	В	20,000	21.17	24-May	21-Jun	non-brg	2.0
	BUCK CAPITAL MGMT	001	GES	S	5,000	51.44	n/a	n/a	200.00	4.0
ß	BUCK CAPITAL MGMT		GPS	SS	100,000	18.80	n/a	13-Jul	non-brg	5.0
	BUCK	015	GPYHF	s	5	2.75	n/a	n/a	0.00	0.0
õ	Glenn Redemann		INSP	??	4	0.07	n/a	n/a	0.00	0.0
õ	Glenn Redemann		INSP	??	4	0.08	n/a	n/a	0.00	0.0
	LUCHANSKY	036	ITCD	В	1,000	8.50	n/a	n/a	0.00	0.0
	BUCK CAPITAL MGMT	001	JCG	S	5,000	55.13	22-Jun	22-Jun	200.00	4.0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
	SANDLER O'NEILL ASSET	031	JNS	SS	20,000	31.67	16-Jul	16-Jul	1,000.00	5.0
	CAXTON PART	040	KLAC	С	50,000	58.57	n/a	n/a	2,000.00	4.0
	MAZAMA CAPITAL MGMT.	035	KOG	В	309,100	4.69	n/a	n/a	9,273.00	3.0
	AVESTA CAP	229	KO	В	25,000	53.31	n/a	n/a	1,250.00	5.0
ß	BUCK CAPITAL MGMT		KSS	В	10,000	66.87	14-Jun	12-Jul	non-brg	5.0
ß	BUCK CAPITAL MGMT		KSS	В	5,000	67.05	14-Jun	12-Jul	non-brg	1.0
ß	BUCK CAPITAL MGMT		KV/A	В	20,000	28.53	n/a	n/a	non-brg	5.0
ß	BUCK CAPITAL MGMT		LAMR	В	5,000	61.58	n/a	n/a	non-brg	5.0
	DSG CAPITAL	225	LEH	В	1,000	73.10	12-Jun	13-Jul	50.00	5.0
	WAGONHOUND	048	LEH	S	9,160	73.16	12-Jun	13-Jul	366.40	4.0
	ROSEDALE CAPITAL	046	LEN	S	15,000	34.57	n/a	n/a	750.00	5.0
ß	BUCK CAPITAL MGMT		LIZ	SS	60,000	37.02	n/a	12-Jul	non-brg	5.0
	GALLEON MGMT LP	033	LOW	SS	10,000	29.80	n/a	21-May	500.00	5.0
	GENERAL ELECTIC CO	033	LUV	S	129,914	15.49	n/a	n/a	5,846.17	4.5
	KERN	050	MERGQ	S	20	2.20	n/a	n/a	0.00	0.0
	GAULT	063	MERGQ	s	100	1.85	n/a	n/a	0.00	0.0
	KERN	050	MERHR	s	13	1.90	n/a	n/a	0.00	0.0

	Account	RR #	Symbol	B/	s	Shares	Price	MRI	Research	Comm.	¢/Shr.	
	SATELLITE ASSET MGMT	012	MI	В		15,000	46.91	n/a	n/a	600.00	4.0	
õ	Glenn Redemann		MRO	В		4	0.88	n/a	n/a	0.00	0.0	
õ	Glenn Redemann		MRO	В		4	1.16	n/a	n/a	0.00	0.0	
õ	Glenn Redemann		MRO		SS	4	1.82	n/a	n/a	0.00	0.0	
Õ	Glenn Redemann		MRO		SS	4	2.52	n/a	n/a	0.00	0.0	
В	BUCK CAPITAL MGMT		MRU+TV		S	250	0.64	n/a	n/a	non-brg	100.0	
ß	BUCK CAPITAL MGMT		NDN		S	6,000	14.06	n/a	11-Jul	non-brg	5.0	
a	BUCK CAPITAL MGMT		NEXC		S	10,000	10.55	n/a	n/a	non-brg	5.0	
	KENNEDY CAPITAL	046	NHWK	В		25,000	18.58	n/a	n/a	1,000.00	4.0	
	WHEELER	800	NLC	В		5,000	25.61	n/a	n/a	0.00	0.0	
	ZOLIDIS	011	NLS		s	500	10.01	n/a	n/a	0.00	0.0	
	SUTERA	160	NLS		S	3,000	10.00	n/a	n/a	0.00	0.0	
	BUCK	015	NMXUD	В		10	2.65	n/a	n/a	0.00	0.0	
	GALLEON MGMT LP	033	OIH		SS	12,500	176.30	n/a	n/a	625.00	5.0	
	KNOTT PARTNERS	044	PRX	В		10,000	25.95	21-May	16-Jul	400.00	4.0	
	RAINIER INV MGMT	035	PVH	В		80,650	56.24	31-May	31-May	4,032.50	5.0	
	JENNISON	032	QCOM		S	15,400	44.94	n/a	n/a	616.00	4.0	

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.	
	WHITE PINE CAPITAL	030	RCKY	S	2,125	17.26	n/a	n/a	106.25	5.0	
	DIAMONDBACK CAP MGMT	232	RF	S	100,000	33.79	n/a	n/a	5,000.00	5.0	
	BUCK CAPITAL MGMT	001	RL	S	10,000	100.50	21-Jun	27-Jun	400.00	4.0	
ß	BUCK CAPITAL MGMT		RL	S	3,000	100.05	21-Jun	27-Jun	non-brg	5.0	
	ZOLIDIS	011	scss	S	300	17.34	n/a	n/a	0.00	0.0	
	FRIESS MGMT	042	SKS	В	119,400	20.94	n/a	n/a	5,373.00	4.5	
	VENTANA CAPITAL	060	SKS	SS	10,000	20.96	n/a	n/a	400.00	4.0	
ß	BUCK CAPITAL MGMT		SKX	S	83,100	28.80	n/a	13-Mar	non-brg	5.0	
	ZOLIDIS	011	SKX	S	200	29.34	n/a	13-Mar	0.00	0.0	
	WHEELER	008	SSE	В	7,690	7.50	n/a	n/a	0.00	0.0	
ß	BUCK CAPITAL MGMT		SSI	s	5,000	20.81	25-May	13-Jul	non-brg	5.0	
	KERN	050	SUPG	В	1,000	5.99	n/a	n/a	0.00	0.0	
	MAIRS AND POWER INV	030	SVU	S	20,000	47.55	n/a	n/a	1,200.00	6.0	
	WHEELER	800	TBAC	S	3,723	13.10	n/a	n/a	0.00	0.0	
	UBS OCONNOR	031	TEN	SS	25,000	35.87	n/a	n/a	750.00	3.0	
ß	BUCK CAPITAL MGMT		TGT	С	20,000	68.73	n/a	12-Jul	non-brg	5.0	
	COUGAR TRADING	044	TJX	S	15,000	29.72	13-Jul	13-Jul	750.00	5.0	

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
ß	BUCK CAPITAL MGMT		TRLG	s	10,000	22.04	n/a	n/a	non-brg	2.0
	BUCK CAPITAL MGMT	001	TWB	В	30,000	44.12	2-Jul	2-Jul	1,200.00	4.0
	COUGAR TRADING	044	TWB	S	5,000	44.58	2-Jul	2-Jul	250.00	5.0
õ	Glenn Redemann		UIC	В	3	0.73	n/a	n/a	0.00	0.0
õ	Glenn Redemann		UIC	В	3	0.83	n/a	n/a	0.00	0.0
õ	Glenn Redemann		UIC	SS	3	1.52	n/a	n/a	0.00	0.0
õ	Glenn Redemann		UIC	SS	3	1.99	n/a	n/a	0.00	0.0
ß	BUCK CAPITAL MGMT		URBN	В	1,000	22.95	2-Apr	16-Jul	non-brg	1.0
	COUGAR TRADING	044	URBN	В	5,000	22.30	2-Apr	16-Jul	250.00	5.0
	MSD CAPITAL	036	USTR	S	1,200	71.00	23-May	23-May	60.00	5.0
ß	BUCK CAPITAL MGMT		WBC-W	В	25,000	56.66	n/a	n/a	non-brg	5.0
	CSFB GLOBAL PROPRIETARY	035	WBCWI	В	50,000	0.00	n/a	n/a	2,500.00	5.0
	DSG CAPITAL	225	WBCWI	В	9,667	0.00	n/a	n/a	483.35	5.0
	BULL PATH CAPITAL	044	WDR	S	27 , 500	29.05	13-Jul	16-Jul	1,375.00	5.0
	SANDLER O'NEILL ASSET	031	WDR	S	56,700	28.92	13-Jul	16-Jul	2,835.00	5.0
	ZOLIDIS	011	WMT	s	100	48.48	4-Jun	12-Jul	0.00	0.0
ß	BUCK CAPITAL MGMT		WRNC	S	3,000	40.76	20-Jun	20-Jun	non-brg	5.0
ß	BUCK CAPITAL MGMT		WRNC	s	40,000	40.77	20-Jun	20-Jun	non-brg	5.0
	JP MORGAN VENTURES	046	WRNC	S	25,000	40.87	20-Jun	20-Jun	1,250.00	5.0
	GALLEON MGMT LP	033	XHB	SS	25,000	30.34	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
COMMISSUM CAPITAL	067	XLF	SS	25,000	36.71	n/a	n/a	1,250.00	5.0
BUCK CAPITAL MGMT		ZQK	S	5,000	13.88	9-Mar	27-Jun	non-brg	5.0
TOTAL (Trade Date):							1	10,533.67	

Cancel/Corrects and As-Of Trades

Туре	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
AO, CRT	07/16	DAVIDSON TRUST CO	033	APPB	S	55	24.85	n/a	n/a	2.75	5.0
AO,CXL	07/16	DAVIDSON TRUST CO	033	APPB	S	55	24.85	n/a	n/a	1.24	0.0
AO,	07/16	KANTROWIT	N28	ASD	В	16	61.16	31-May	13-Jul	0.00	0.0
AO, CXL	07/16	KANTROWIT	N21	ASD	В	30	61.16	31-May	13-Jul	0.00	0.0
AO,	07/16	EMP ERROR	N28	ASD	В	14	61.16	31-May	13-Jul	0.00	0.0
TOTAL	(AO, (CXL, CRT):								1.51	

TOTAL (Trade Date):	110,533.67
TOTAL (AO, CXL, CRT):	1.51

TOTAL (RUN DATE) / NFS: 110,535.18

MRI Securities with Trade Activity on 17-Jul.

MRI Securities without Trade Activity on 17-Jul. NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 18%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION