



# Daily Compliance Activity Report

Trade Date: 08/10/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DSM CAPITAL PARTNERS	085	AAPL	B	24,450	368.74	n/a	n/a	1,222.50	5.0
LATEFF MGMT	035	AA	B	500	11.73	n/a	n/a	50.00	10.0
BRONSON POINT	036	AMCX	C	5,000	30.33	n/a	n/a	150.00	3.0
PRIMECAP MGMT	084	AMZN	S	3,000	200.86	n/a	n/a	120.00	4.0
APEX CAPITAL MGMT.	261	ANF	S	50,000	65.10	n/a	n/a	2,000.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>APC</b>	<b>S</b>	<b>250</b>	<b>65.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028	APD	SS	3,000	78.32	n/a	n/a	90.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ARO</b>	<b>C</b>	<b>30,000</b>	<b>11.30</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
DARUMA ASSET MGMT INC	046	AWI	B	60,000	33.62	n/a	n/a	2,400.00	4.0
SCOPUS FUND	041	AXL	B	32,500	9.18	n/a	n/a	1,300.00	4.0
<b>Õ Doug Rogers</b>		<b>BAC/PRC</b>	<b>S</b>	<b>144</b>	<b>21.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Doug Rogers</b>		<b>BAC/PRC</b>	<b>S</b>	<b>151</b>	<b>21.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>Õ Doug Rogers</b>		<b>BAC/PRC</b>	<b>S</b>	<b>171</b>	<b>21.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TRUSTCO/SUNTRUST	040	BAC	S	1,001,402	6.90	n/a	n/a	35,049.10	3.5
<b>B BUCK CAPITAL MGMT</b>		<b>BBBY</b>	<b>B</b>	<b>10,000</b>	<b>51.43</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>BP</b>	<b>S</b>	<b>1150</b>	<b>38.91</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
RIDGECREST INV MGMT LLC	028	BWLD	B	10,000	57.84	n/a	n/a	500.00	5.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>CAH</b>	<b>B</b>	<b>15</b>	<b>5.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>CAH</b>	<b>S</b>	<b>15</b>	<b>2.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
COOKBIELER	028	CL	S	91,170	81.47	n/a	n/a	3,646.80	4.0
BASSWOOD PARTNERS	267	CMA	B	6,800	24.97	n/a	n/a	272.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>COF</b>	<b>S</b>	<b>50,000</b>	<b>42.07</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
PIONEER PATH	028	COST	SS	25,000	71.85	n/a	n/a	750.00	3.0
HIGHBRIDGE CAP	041	CPB	B	118,000	30.22	n/a	n/a	3,540.00	3.0
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>CPN</b>	<b>S</b>	<b>1900</b>	<b>13.23</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>CPN</b>	<b>S</b>	<b>10000</b>	<b>13.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	CVS	B	250	31.97	n/a	n/a	50.00	20.0
PROSPECTOR PARTNERS LLC	036	CW	B	10,000	28.22	n/a	n/a	400.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>C</b>	<b>S</b>	<b>3000</b>	<b>30.42</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DECK</b>	<b>B</b>	<b>10,000</b>	<b>85.06</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DLTR</b>	<b>B</b>	<b>50,000</b>	<b>64.84</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>f MARC PAUL LUCHANSKY</b>	<b>FID</b>	<b>DRR</b>	<b>B</b>	<b>500</b>	<b>38.88</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>DVN</b>	<b>S</b>	<b>200</b>	<b>67.07</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BNP PARIBAS SEC	040	EAT	B	100,000	21.53	n/a	n/a	5,000.00	5.0
ETON PARK CAPITAL	041	EEM	B	250,000	39.74	n/a	n/a	7,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>ESV</b>	<b>B</b>	<b>50,000</b>	<b>44.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
COLUMBIA PARTNERS	028	EXAM	S	21,400	13.91	n/a	n/a	856.00	4.0
ROYCE TRADING	012	FINL	B	3,000	18.59	n/a	n/a	150.00	5.0
BASSWOOD PARTNERS	267	FITB	B	17,600	9.56	n/a	n/a	704.00	4.0
PROSPECTOR PARTNERS LLC	036	FOR	B	10,000	12.30	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>FOSL</b>	<b>B</b>	<b>10,000</b>	<b>84.43</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>FRED</b>	<b>B</b>	<b>1700</b>	<b>10.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
LATEFF MGMT	035	F	B	250	10.39	n/a	n/a	37.50	15.0
GALT INVESTMENT	041	GCO	S	10,000	45.18	n/a	n/a	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>GPS</b>	<b>C</b>	<b>30,000</b>	<b>15.65</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>HBC</b>	<b>B</b>	<b>1500</b>	<b>41.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BMO NESBITT BURNS	041	HD	B	2,000	29.05	n/a	n/a	140.00	7.0
<b>f REUBEN D DVORETSKY</b>	<b>FID</b>	<b>HII</b>	<b>B</b>	<b>3</b>	<b>2.20</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
APEX CAPITAL MGMT.	261	HON	C	97,800	44.66	n/a	n/a	3,912.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>HOTT</b>	<b>S</b>	<b>6000</b>	<b>6.53</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SENATOR INVESTMENT GROUP	036	HUN	S	237,900	12.81	n/a	n/a	7,137.00	3.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>ICON</b>	<b>S</b>	<b>1650</b>	<b>18.46</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
HOPLITE MGMT	025	INTC	C	274,100	19.93	n/a	n/a	10,964.00	4.0
T. ROWE PRICE	033	IP	B	102,600	24.48	n/a	n/a	4,104.00	4.0
THE JAY GOLDMAN	028	JCP	S	20,000	25.76	n/a	n/a	800.00	4.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>JNY</b>	<b>S</b>	<b>1200</b>	<b>9.30</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JPM</b>	<b>B</b>	<b>5,000</b>	<b>35.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>KO</b>	<b>B</b>	<b>1000</b>	<b>64.64</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PIIONEER PATH	028	KSS	B	25,000	44.94	n/a	n/a	750.00	3.0
<b>f HARALAMBOS EFSTATHI</b>	<b>FID</b>	<b>LEA</b>	<b>B</b>	<b>400</b>	<b>42.97</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BRONSON POINT	036	LLL	C	5,000	65.73	n/a	n/a	150.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BRONSON POINT	036	LMT	C	5,000	68.29	n/a	n/a	150.00	3.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>LNCR</b>	<b>B</b>	<b>1500</b>	<b>20.72</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BMO NESBITT BURNS	041	LOW	B	5,000	18.35	n/a	n/a	350.00	7.0
<b>f BARBARA S LEDDY</b>	<b>FID</b>	<b>MGA</b>	<b>B</b>	<b>150</b>	<b>37.79</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCD</b>	<b>C</b>	<b>792,797</b>	<b>83.73</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCD</b>	<b>SS</b>	<b>792,797</b>	<b>83.73</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>C</b>	<b>6,898,516</b>	<b>83.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>MSMSBCM</b>	<b>SS</b>	<b>6,898,516</b>	<b>83.68</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>NBD CN</b>	<b>S</b>	<b>60,000</b>	<b>9.77</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>3.0</b>
COUGAR TRADING	044	NDN	B	5,000	17.19	n/a	n/a	250.00	5.0
MERRILL ASSET/BLACKROCK	297	NE	B	289,500	29.58	n/a	n/a	11,580.00	4.0
DSM CAPITAL PARTNERS	085	NTAP	S	31,330	41.33	n/a	n/a	1,566.50	5.0
IRIDIAN ASSET MGMT.	036	NVDA	S	1,561,246	12.64	n/a	n/a	78,062.30	5.0
NEWLAND CAPITAL MGT	025	OSIS	B	20,000	34.32	n/a	n/a	800.00	4.0
MILLENNIUM-ICS BOBBY	044	PAG	B	10,000	17.61	n/a	n/a	300.00	3.0
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>PEI</b>	<b>S</b>	<b>2000</b>	<b>10.40</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
UNITED STATES PENSION	085	PFE	B	450,000	17.29	n/a	n/a	18,000.00	4.0
LATEFF MGMT	035	PKG	B	200	22.31	n/a	n/a	40.00	20.0
<b>f PATRICK O'GRADY</b>	<b>FID</b>	<b>RAD</b>	<b>S</b>	<b>4000</b>	<b>1.06</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
TUDOR INVESTORS	028	RBC	SS	3,000	51.66	n/a	n/a	90.00	3.0
TRUSTCO/SUNTRUST	040	RKT	B	24,325	52.00	n/a	n/a	851.38	3.5
HIGHBRIDGE CAP	041	RTI	B	85,000	24.98	n/a	n/a	2,550.00	3.0
ELM RIDGE ADVISORS	044	RYN	B	6,700	56.99	n/a	n/a	268.00	4.0
<b>ß BUCK CAPITAL MGMT</b>		<b>SD</b>	<b>B</b>	<b>10,000</b>	<b>7.42</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>SFER IM</b>	<b>S</b>	<b>70,000</b>	<b>15.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.2</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>SGI</b>	<b>B</b>	<b>150</b>	<b>11.90</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f REUBEN D DVORETSKY</b>	<b>FID</b>	<b>SKF</b>	<b>S</b>	<b>30</b>	<b>81.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>SLB</b>	<b>S</b>	<b>700</b>	<b>74.93</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>ß BUCK CAPITAL MGMT</b>		<b>SODA 8 C80</b>	<b>SS</b>	<b>1,000</b>	<b>3.60</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
<b>f DAVID GEORGE BUCK</b>	<b>FID</b>	<b>SPG</b>	<b>S</b>	<b>700</b>	<b>109.09</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
METROPOLITAN CAPITAL	044	SPLS	B	31,400	12.34	n/a	n/a	1,570.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BASSWOOD PARTNERS	267	STI	B	9,200	18.31	n/a	n/a	368.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>SWY</b>	<b>C</b>	<b>30,000</b>	<b>17.92</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
FIRST NEW YORK NICK	029	S	B	20,000	3.16	n/a	n/a	1,000.00	5.0
<b>f REUBEN D DVORETSKY</b>	<b>FID</b>	<b>TACT</b>	<b>S</b>	<b>28</b>	<b>8.82</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TEA</b>	<b>B</b>	<b>10,000</b>	<b>24.63</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
LATEFF MGMT	035	TEVA	B	100	38.27	n/a	n/a	35.00	35.0
BMO NESBITT BURNS	041	TGT	S	2,000	47.77	n/a	n/a	140.00	7.0
PIONEER PATH	028	TGT	B	25,000	47.51	n/a	n/a	750.00	3.0
APEX CAPITAL MGMT.	261	TIF	B	50,000	67.54	n/a	n/a	2,000.00	4.0
HOTCHKIS & WILEY	035	TMK	B	141,200	34.09	n/a	n/a	7,060.00	5.0
APEX CAPITAL MGMT.	261	TSL	SS	100,000	14.45	n/a	n/a	4,000.00	4.0
<b>f ABRAM M CHAPPELL</b>	<b>FID</b>	<b>TZA</b>	<b>S</b>	<b>70</b>	<b>55.80</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>TZA</b>	<b>B</b>	<b>1000</b>	<b>55.57</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
PROSPECTOR PARTNERS LLC	036	UFS	B	10,000	68.87	n/a	n/a	400.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>WBS</b>	<b>B</b>	<b>10,000</b>	<b>16.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.5</b>
BMO NESBITT BURNS	041	WMT	S	2,000	49.13	n/a	n/a	140.00	7.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DAVIDSON INV ADV	035	WSH	S	43,381	35.46	n/a	n/a	1,735.24	4.0
ETON PARK CAPITAL	041	XLP	SS	696,000	28.63	n/a	n/a	20,880.00	3.0
TUDOR INVESTORS	028	X	SS	3,000	29.70	n/a	n/a	90.00	3.0
GEORGE WEISS	034	YUM	B	15,400	48.62	n/a	n/a	616.00	4.0
MA CAPITAL MGMT/MELLON ADV	041	YUM	B	3,000	49.04	n/a	n/a	150.00	5.0
<b>f KAREN F LEDDY</b>	<b>FID</b>	<b>ZLC</b>	<b>S</b>	<b>3700</b>	<b>4.17</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>TOTAL (Trade Date):</b>							<b>250,437.32</b>		



**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	08/09	COOPER CREEK PART	028	BZ	B	25,000	4.95	n/a	n/a	1000.00 4.0
AO,CRT	08/09	COOPER CREEK PART	028	BZ	B	25,000	4.95	n/a	n/a	500.00 2.0
AO,CRT	08/09	CONIFER/ISF	025	INTC	S	10,000	20.18	n/a	n/a	400.00 4.0
AO,CXL	08/09	CONIFER/ISF	025	INTC	S	10,000	20.18	n/a	n/a	500.00 5.0
AO,CRT	08/04	DC CAPITAL	044	LPX	B	25,000	6.83	n/a	n/a	750.00 3.0
AO,CXL	08/04	DC CAPITAL	044	LPX	B	25,000	6.83	n/a	n/a	1000.00 4.0
AO,CRT	08/09	BNP PARIBAS SEC	040	LZ	S	20,000	134.01	n/a	n/a	400.00 2.0
AO,CXL	08/09	BNP PARIBAS SEC	040	LZ	S	20,000	134.01	n/a	n/a	1000.00 5.0
AO,CRT	08/09	SCOPUS FUND	041	XLI	B	42,600	30.02	n/a	n/a	1278.00 3.0
AO,CXL	08/09	SCOPUS FUND	041	XLI	B	42,600	30.02	n/a	n/a	1704.00 4.0

---

**TOTAL (AO, CXL, CRT) :****-1,876.00**

---

---

**TOTAL (Trade Date) :****250,437.32****TOTAL (AO, CXL, CRT) :****-1,876.00**

---

**TOTAL (RUN DATE) / NFS :****248,561.32**

---

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 10-Aug.  
NONE

MRI Securities without Trade Activity on 10-Aug.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 0%  
YTD = 3%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**