



Daily Compliance Activity Report

Trade Date: 03/05/2009

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ABI BB	SS	50,000	25.55	n/a	n/a	non-brg	3.8
BUCK CAPITAL MGMT		ADM	SS	70,000	25.64	5-Jan	4-Mar	non-brg	4.0
BABSON/OFI/TRINITY	039	AEO	B	2,400	9.20	n/a	2-Mar	96.00	4.0
BUCK CAPITAL MGMT		ALV	SS	126,100	12.94	30-Jan	4-Feb	non-brg	2.0
BUCK CAPITAL MGMT		ALV	SS	23,900	12.81	30-Jan	4-Feb	non-brg	1.0
BUCK CAPITAL MGMT		ALV	SS	50,000	12.81	30-Jan	4-Feb	non-brg	4.0
BALYASNY ASSET MGMT	044	AMG	B	10,000	33.03	13-Nov	3-Mar	500.00	5.0
BUCK CAPITAL MGMT		ANF	C	50,000	18.29	n/a	6-Feb	non-brg	4.0
DENVER INST. ADV	046	ANF	S	130,000	17.87	n/a	6-Feb	5,200.00	4.0
BUCK CAPITAL MGMT		ARO	S	60,000	23.09	n/a	2-Mar	non-brg	4.0
AVESTA CAP	229	BBY	B	25,000	26.17	n/a	27-Jul	1,250.00	5.0
BUCK CAPITAL MGMT		BBY	SS	40,000	26.85	n/a	27-Jul	non-brg	4.0
JOSEPH CIRO AMATURO	FID	BGU	S	2000	16.09	n/a	n/a	0.00	0.0
JONATHAN RICHARD HAR	FID	BGZ	B	25	102.99	n/a	n/a	0.00	0.0
JOSEPH CIRO AMATURO	FID	BGZ	B	2000	105.36	n/a	n/a	0.00	0.0
CROWN ADVISORS	045	BIG	SS	2,500	17.70	4-Mar	4-Mar	125.00	5.0
ENTRUST CAPITAL	040	BIG	SS	63,300	17.00	4-Mar	4-Mar	3,165.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
RAMIUS CAP	040	BIG	SS	125,000	17.36	4-Mar	4-Mar	5,000.00	4.0
Ⓕ BUCK CAPITAL MGMT		BKC	SS	50,000	21.41	6-Feb	3-Mar	non-brg	4.0
BUCK CAPITAL MGMT	001	BKE	S	20,000	23.96	n/a	n/a	800.00	4.0
BUCK CAPITAL MGMT	001	BKS	SS	30,000	16.21	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	BWA	SS	100,000	15.83	27-Jan	13-Feb	4,000.00	4.0
LITESPEED	028	BWA	B	59,200	15.75	27-Jan	13-Feb	1,776.00	3.0
MARINER/TRICADIA	041	BWA	B	17,000	15.84	27-Jan	13-Feb	850.00	5.0
RESURGENCE ASSET MGMT	070	BWA	SS	50,000	16.20	27-Jan	13-Feb	2,500.00	5.0
BUCK CAPITAL MGMT	001	BXP	S	20,000	31.54	n/a	n/a	800.00	4.0
Ⓕ BUCK CAPITAL MGMT		CAT	C	110,000	23.58	n/a	27-Jan	non-brg	4.0
TROUBH PART	067	CAT	B	25,000	24.03	n/a	27-Jan	1,250.00	5.0
Ⓕ BUCK CAPITAL MGMT		CJY+RH[???	SS	1,000	3.81	n/a	n/a	non-brg	300.0
Ⓕ BUCK CAPITAL MGMT		CMG	SS	50,000	50.00	23-Oct	12-Feb	non-brg	2.0
Ⓕ BUCK CAPITAL MGMT		COH+EC[???	SS	1,000	0.67	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	COH	B	30,000	12.40	9-Jan	22-Jan	1,200.00	4.0
NEUBERGER BERMAN	036	COV	B	16,400	29.03	n/a	n/a	738.00	4.5
f PEARL LUCHANSKY	FID	COV	S	50	28.47	n/a	n/a	0.00	0.0
TRUGENT CAPITAL	031	CSX	S	8,900	22.38	n/a	n/a	356.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BABSON/OFI/TRINITY	039	CTRN	B	1,700	12.00	n/a	n/a	68.00	4.0
f MARK MEGALLI	FID	C	B	10000	1.00	n/a	27-Feb	0.00	0.0
Õ Mark Megalli		C	B	10000	1.02	n/a	27-Feb	0.00	0.0
B BUCK CAPITAL MGMT		DAI	SS	100,000	22.71	n/a	n/a	non-brg	4.0
SCOPUS FUND	041	DHR	B	5,000	49.44	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		DQO+EW[???	SS	1,000	0.95	n/a	n/a	non-brg	100.0
BABSON/OFI/TRINITY	039	DXPE	B	1,700	10.18	n/a	n/a	68.00	4.0
f NEIL M STRATTON	FID	EMAG	S	1000	1.79	n/a	n/a	0.00	0.0
LIEBAU ASSET MGMT	048	EMR	B	10,000	24.61	n/a	n/a	500.00	5.0
BABSON/OFI/TRINITY	039	EPAX	B	2,500	6.89	n/a	n/a	100.00	4.0
B BUCK CAPITAL MGMT		FDO	C	67,000	30.28	5-Mar	5-Mar	non-brg	4.0
CHARTER OAK	033	FDO	B	20,000	29.97	5-Mar	5-Mar	1,000.00	5.0
f PEARL LUCHANSKY	FID	FDRXX	B	50000	1.00	n/a	n/a	0.00	0.0
BABSON/OFI/TRINITY	039	FGXI	B	1,000	9.78	n/a	n/a	40.00	4.0
BALYASNY ASSET MGMT	044	FII	B	10,000	17.71	13-Nov	3-Mar	500.00	5.0
B BUCK CAPITAL MGMT		FL	C	200,000	8.78	7-Oct	5-Mar	non-brg	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	FL	SS	10,000	8.67	7-Oct	5-Mar	400.00	4.0
METROPOLITAN CAPITAL	044	FL	S	29,100	8.78	7-Oct	5-Mar	1,164.00	4.0
SCOPUS FUND	041	GES	S	16,200	13.52	n/a	n/a	810.00	5.0
FIRST NY SEC/CHRIS	041	GE	B	25,000	6.65	n/a	n/a	1,250.00	5.0
f KAREN F LEDDY	FID	GE	S	700	6.78	n/a	n/a	0.00	0.0
GRUSS CAPITAL	045	GLD	SS	25,000	89.46	n/a	n/a	750.00	3.0
BABSON/OFI/TRINITY	039	GME	S	800	23.91	n/a	n/a	32.00	4.0
B BUCK CAPITAL MGMT		GYMB	B	10,000	15.57	5-Mar	5-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		GYMB	B	320,000	15.70	5-Mar	5-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		GYMB	B	40,000	15.66	5-Mar	5-Mar	non-brg	4.0
COUGAR TRADING	044	GYMB	B	10,000	14.50	5-Mar	5-Mar	500.00	5.0
COUGAR TRADING	044	GYMB	S	10,000	15.60	5-Mar	5-Mar	500.00	5.0
MILLRACE FUND LP	028	GYMB	B	2,000	14.66	5-Mar	5-Mar	80.00	4.0
KLEINHEINZ CAP PART	031	HRB	S	75,000	17.25	n/a	n/a	3,750.00	5.0
NEUBERGER BERMAN	036	HST	S	19,300	3.49	n/a	n/a	386.00	2.0
B BUCK CAPITAL MGMT		HUO+SV[???	SS	1,000	0.80	n/a	n/a	non-brg	100.0
B BUCK CAPITAL MGMT		JCP	C	50,000	15.43	6-Feb	2-Mar	non-brg	4.0
MARINER/TRICADIA	041	JCP	B	40,000	15.43	6-Feb	2-Mar	2,000.00	5.0
THE JAY GOLDMAN	034	JCP	B	20,000	14.33	6-Feb	2-Mar	800.00	4.0
EIDELMAN,FINGER & CO	045	JSM	B	1,400	7.64	n/a	n/a	70.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SANDELL ADV	040	KFT	B	40,000	21.55	n/a	n/a	2,400.00	6.0
TRELLUS PARTNERS LP	028	KMT	SS	9,700	14.97	n/a	30-Jan	485.00	5.0
f MARC PAUL LUCHANSKY	FID	KO	S	200	37.85	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		KSS+GI[???	SS	1,000	1.55	n/a	n/a	non-brg	150.0
BUCK CAPITAL MGMT	001	KSS	S	3,700	36.25	n/a	2-Mar	148.00	4.0
B BUCK CAPITAL MGMT		KSS	S	10,000	35.59	n/a	2-Mar	non-brg	1.0
B BUCK CAPITAL MGMT		KSS	S	56,300	35.70	n/a	2-Mar	non-brg	4.0
f JOHN MICHAEL ZOLIDIS	FID	KWS	B	996	7.25	n/a	13-Mar	0.00	0.0
SANDLER O'NEILL ASSET	031	LM	B	15,000	13.18	29-Jan	5-Mar	750.00	5.0
UBS OCONNOR	031	LM	B	50,000	13.16	29-Jan	5-Mar	1,500.00	3.0
HIGHBRIDGE CAPITAL	038	LPX	B	40,558	1.17	3-Mar	4-Mar	811.16	2.0
THE JAY GOLDMAN	034	LTD	S	50,000	6.48	8-Jan	30-Jan	1,500.00	3.0
SIGMA CAPITAL ASSOCIATES	237	MAT	B	100,000	10.58	n/a	n/a	5,000.00	5.0
f EDWARD W WHEELER	FID	METIC	S	50	3.30	n/a	n/a	0.00	0.0
f EDWARD W WHEELER	FID	MET	B	5000	12.33	n/a	n/a	0.00	0.0
f PEARL LUCHANSKY	FID	MIR	S	1064	9.78	n/a	n/a	0.00	0.0
BABSON/OFI/TRINITY	039	MOV	B	1,500	5.05	n/a	n/a	60.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
T. ROWE PRICE	033	MSFT	S	250,000	15.54	n/a	3-Apr	7,500.00	3.0
SANDLER O'NEILL ASSET	031	MS	B	75,000	18.12	18-Dec	26-Feb	3,750.00	5.0
⚡ BUCK CAPITAL MGMT		MVL+PX[???	SS	1,200	1.05	n/a	n/a	non-brg	300.0
⚡ BUCK CAPITAL MGMT		MVRX	SS	43,100	81.83	n/a	n/a	non-brg	8.2
⚡ BUCK CAPITAL MGMT		M	B	20,000	6.60	n/a	2-Mar	non-brg	3.0
COUGAR TRADING	044	M	B	15,000	6.59	n/a	2-Mar	600.00	4.0
BRANDWINE	028	NOK	B	27,900	9.11	n/a	n/a	1,116.00	4.0
TRUGENT CAPITAL	031	NSC	B	6,700	27.62	n/a	n/a	268.00	4.0
⚡ PEARL LUCHANSKY	FID	ORCL	S	300	14.89	n/a	n/a	0.00	0.0
⚡ MARC PAUL LUCHANSKY	FID	PEP	S	150	45.97	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		PERY	B	20,000	3.93	n/a	n/a	non-brg	2.5
RAMIUS CAP	040	PFCB	B	25,000	17.66	8-Jan	12-Feb	1,000.00	4.0
⚡ BUCK CAPITAL MGMT		PLCE	B	110,100	20.11	6-Jan	2-Mar	non-brg	2.5
⚡ BUCK CAPITAL MGMT		PLCE	B	53,800	19.70	6-Jan	2-Mar	non-brg	1.5
⚡ BUCK CAPITAL MGMT		PLCE	B	56,100	19.73	6-Jan	2-Mar	non-brg	1.0
COUGAR TRADING	044	PVH	B	10,000	15.01	22-Jan	22-Jan	500.00	5.0
COUGAR TRADING	044	PVH	S	20,000	14.91	22-Jan	22-Jan	1,000.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		QIA+EU[???	SS	1,000	0.70	n/a	n/a	non-brg 100.0	
f JOHN FRANCIS MCERLEA	FID	RIG	B	1000	50.39	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	RL	SS	50,000	32.34	n/a	5-Feb	2,000.00	4.0
B BUCK CAPITAL MGMT		RNT+HF[???	SS	1,000	1.25	n/a	n/a	non-brg 300.0	
AVESTA CAP	229	ROST	B	50,000	31.34	n/a	n/a	2,500.00	5.0
B BUCK CAPITAL MGMT		ROST	SS	175,000	30.53	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		ROST	SS	25,000	30.87	n/a	n/a	non-brg	1.0
BRANDWINE	028	RTN	B	22,800	36.49	n/a	n/a	912.00	4.0
NEUBERGER BERMAN	036	RX	B	79,300	11.89	n/a	n/a	3,568.50	4.5
B BUCK CAPITAL MGMT		SAB LN	SS	50,000	13.50	n/a	n/a	non-brg	2.0
f JOHN MICHAEL ZOLIDIS	FID	SEF	S	100	123.18	n/a	n/a	0.00	0.0
f JOHN MICHAEL ZOLIDIS	FID	SEF	S	100	124.00	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	SKDOR	S	30	16.20	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	SKX	B	20,000	5.50	n/a	13-Mar	600.00	3.0
BABSON/OFI/TRINITY	039	SMBL	B	3,100	5.77	n/a	n/a	124.00	4.0
f PEARL LUCHANSKY	FID	SO	S	2400	27.64	n/a	n/a	0.00	0.0
f PEARL LUCHANSKY	FID	SPY	B	500	69.04	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f MARC PAUL LUCHANSKY	FID	SSO	S	2000	15.19	n/a	n/a	0.00	0.0
f ANDREW SINCLAIR	FID	TBTFX	B	75	3.00	n/a	n/a	0.00	0.0
BRANDWINE	028	TGT	B	17,800	26.30	25-Feb	2-Mar	712.00	4.0
THE JAY GOLDMAN	034	TGT	S	50,000	27.30	25-Feb	2-Mar	2,000.00	4.0
ELM RIDGE ADVISORS	044	TIN	B	12,500	2.95	5-Dec	28-Jan	375.00	3.0
BABSON/OFI/TRINITY	039	TITN	B	1,400	8.11	n/a	n/a	56.00	4.0
BABSON/OFI/TRINITY	039	TSCO	B	700	28.86	n/a	n/a	28.00	4.0
f JONATHAN RICHARD HAR	FID	TZA	B	25	95.95	n/a	n/a	0.00	0.0
BRANDWINE	028	T	B	55,400	22.70	n/a	4-Jan	2,216.00	4.0
BUCK CAPITAL MGMT	001	UA	B	39,800	14.04	n/a	13-Feb	1,592.00	4.0
B BUCK CAPITAL MGMT		UHO+QU[???	SS	1,000	0.75	n/a	n/a	non-brg	100.0
WHITE PINE CAPITAL	030	UNT	B	14,025	18.65	n/a	24-Feb	701.25	5.0
B BUCK CAPITAL MGMT		URBN	S	100,000	14.61	n/a	30-Jan	non-brg	4.0
B BUCK CAPITAL MGMT		URBN	S	170,000	14.31	n/a	30-Jan	non-brg	4.0
SIGMA CAPITAL ASSOCIATES	237	URBN	SS	20,000	15.00	n/a	30-Jan	1,000.00	5.0
f WILLIAM J GAULT	FID	UYG	B	200000	1.53	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		VNO+OY[???	SS	1,000	0.95	n/a	n/a	non-brg	100.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SERENGETI MGMT	030	WAB	B	100,000	24.61	n/a	n/a	5,000.00	5.0
f ANDREW SINCLAIR	FID	WBAAQ	B	100	0.65	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		WMS+SV[???	SS	1,000	1.21	n/a	n/a	non-brg	300.0
GALT INVESTMENT	041	WMT	B	20,000	49.87	10-Oct	2-Mar	1,000.00	5.0
NEWCASTLE FUNDS	033	WMT	S	20,000	50.89	10-Oct	2-Mar	800.00	4.0
BUCK CAPITAL MGMT	001	WRC	B	20,000	18.00	27-Feb	27-Feb	800.00	4.0
EGS ASSOCIATES LP	091	WRC	B	4,000	18.11	27-Feb	27-Feb	240.00	6.0
SIGMA CAPITAL ASSOCIATES	237	WSM	SS	25,000	8.45	n/a	n/a	1,250.00	5.0
f PEARL LUCHANSKY	FID	WTR	S	3644	18.23	n/a	n/a	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	XLI	SS	70,000	15.82	n/a	n/a	3,500.00	5.0
ß BUCK CAPITAL MGMT		XOM	C	100,000	63.18	n/a	n/a	non-brg	4.0
ß BUCK CAPITAL MGMT		XRT	SS	200,000	19.04	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	YUM	B	100,000	25.49	n/a	5-Feb	4,000.00	4.0
ß BUCK CAPITAL MGMT		YUM	C	25,000	25.17	n/a	5-Feb	non-brg	4.0
COUGAR TRADING	044	ZQK	S	15,000	0.99	19-Dec	27-Jan	150.00	1.0
ß BUCK CAPITAL MGMT		ZWR+PW[???	SS	2,500	1.85	n/a	n/a	non-brg	300.0

TOTAL (Trade Date):

109,336.91

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	03/03	THE JAY GOLDMAN	034	COST	B	15,000	40.87	n/a	27-Jul	600.00 4.0
AO,CXL	03/03	THE JAY GOLDMAN	034	COST	B	15,000	40.87	n/a	27-Jul	750.00 5.0
AO,CRT	03/04	THE JAY GOLDMAN	034	LTD	S	25,000	7.12	8-Jan	30-Jan	750.00 3.0
AO,CXL	03/04	THE JAY GOLDMAN	034	LTD	S	25,000	7.12	8-Jan	30-Jan	1000.00 4.0
AO,CXL	03/04	THE JAY GOLDMAN	034	M	B	40,000	7.30	n/a	2-Mar	1600.00 4.0
AO,CRT	03/04	THE JAY GOLDMAN	034	M	B	40,000	7.30	n/a	2-Mar	1200.00 3.0
AO,	03/04	COLUMBUS CIRCLE	036	MS	B	4,500	19.13	18-Dec	26-Feb	180.00 4.0
TOTAL (AO, CXL, CRT) :										-620.00

TOTAL (Trade Date):	109,336.91
TOTAL (AO, CXL, CRT):	-620.00

TOTAL (RUN DATE) / NFS:	108,716.91
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MRI Securities with Trade Activity on 5-Mar.
FDO, GYMB

MRI Securities without Trade Activity on 5-Mar.
VC

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 14%

YTD = 25%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

BCM Watch List Trades

f: Employee Trades (Fidelity)