



Daily Compliance Activity Report

Trade Date: 07/20/2012

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
⚡ BUCK CAPITAL MGMT		AAPL	S	5,000	613.28	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		AAPL	S	5,000	613.32	n/a	n/a	non-brg	4.0
BALYASNY ASSET MGMT	044	AAP	C	10,000	72.55	n/a	n/a	500.00	0.0
GUGGENHEIM GLOBAL TRADING (GGT) 251		AER	B	8,446	11.63		n/a	n/a	253.38 3.0
PRIMECAP MGMT	084	AES	S	25,000	12.83	n/a	n/a	1,000.00	0.0
OHIO PERS	047	AIG	S	29,000	31.11	n/a	n/a	870.00	0.0
OZ MGMT	040	ALV	S	25,508	55.64	n/a	20-Jun	765.24	0.0
COBALT	041	ANF	B	50,000	36.30	n/a	17-May	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		BHI	C	22,500	45.76	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		BWA	SS	100,000	63.97	n/a	27-Apr	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CELG	B	20,000	66.57	n/a	n/a	non-brg	4.0
NUVEEN - US BANCORP		030 CMG	S	42,790	313.81		n/a	20-Jul	1,925.57 0.0
⚡ BUCK CAPITAL MGMT		CNC	S	35,000	37.07	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		CNC	S	42,700	36.95	n/a	n/a	non-brg	4.0
f KAREN F LEDDY	FID	CROX	S	400	15.13	n/a	n/a	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	CVS	B	1000	45.01	n/a	n/a	0.00	0.0
PRIMECAP MGMT	084	CVS	S	200,000	44.99	n/a	n/a	8,000.00	0.0
SANDLER O'NEILL ASSET	031	DFS	SS	25,000	35.10	n/a	16-Jul	1,250.00	5.0
OHIO PERS	047	DIS	B	18,200	48.74	n/a	n/a	546.00	0.0
SENATOR INVESTMENT GROUP	036	EMN	S	200,000	49.09	n/a	n/a	6,000.00	3.0
STATE TEACHERS RET SYS	047	EPR	B	3,000	43.25	n/a	n/a	150.00	5.0
LISTED ERR	099	EXL	B	717	12.22	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	FL	B	10,000	32.97	n/a	18-May	400.00	4.0
B BUCK CAPITAL MGMT		FNP	SS	170,000	10.30	n/a	n/a	non-brg	4.0
COOPER CREEK PART	028	FWLT	S	25,000	17.32	n/a	n/a	1,000.00	4.0
GUGGENHEIM GLOBAL TRADING (GGT)	251	GET	B	5,000	36.84		n/a	n/a	150.00 3.0
B BUCK CAPITAL MGMT		GIII	B	19,158	24.90	n/a	n/a	non-brg	4.0
LEVIN CAPITAL STRATEGIES	041	GM	SS	10,000	19.79	n/a	17-Jul	300.00	0.0
B BUCK CAPITAL MGMT		HAIN	S	10,000	55.40	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HAS	C	100,000	33.81	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		HAS	C	25,000	33.81	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f DAVID GEORGE BUCK	FID	HNT	S	20	0.40	n/a	n/a	0.00	0.0
SCOPUS FUND	041	HOTT	B	50,000	9.86	n/a	n/a	2,000.00	4.0
ARSENAL ASSET MANAGEMENT	028	HUBB	B	5,000	82.40	n/a	n/a	200.00	0.0
B BUCK CAPITAL MGMT		IWM	SS	25,000	79.21	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		IWM	SS	50,000	79.05	n/a	n/a	non-brg	2.0
HIGHBRIDGE CAP	041	JBHT	SS	5,000	54.23	n/a	n/a	150.00	3.0
OZ MGMT	040	JCI	C	72,045	25.78	20-Jul	20-Jul	2,161.35	0.0
B BUCK CAPITAL MGMT		JNY	B	30,000	10.23	n/a	18-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		JPCMSBCM	C	17,770	108.71	n/a	n/a	non-brg	0.7
B BUCK CAPITAL MGMT		JPCMSBCM	C	26,046	108.71	n/a	n/a	non-brg	0.7
B BUCK CAPITAL MGMT		JPCMSBCM	SS	16,450	108.71	n/a	n/a	non-brg	0.0
B BUCK CAPITAL MGMT		JPCMSBCM	SS	24,089	108.71	n/a	n/a	non-brg	0.0
f DAVID GEORGE BUCK	FID	KKR	B	2000	14.02	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		KYAK	S	279	33.67	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		KYAK	S	721	33.67	n/a	n/a	non-brg	4.0
f DAVID GEORGE BUCK	FID	LGND	B	2000	17.68	n/a	n/a	0.00	0.0
HIGHBRIDGE CAP	041	LYB	SS	6,500	40.94	n/a	n/a	195.00	3.0
B BUCK CAPITAL MGMT		MNST	S	25,000	65.56	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⚡ BUCK CAPITAL MGMT		MNST	S	25,000	65.56	n/a	n/a	non-brg	4.0
⚡ BUCK CAPITAL MGMT		MSMSBCM	C	605,000	107.53	n/a	n/a	non-brg	0.6
⚡ BUCK CAPITAL MGMT		MSMSBCM	C	724,427	107.53	n/a	n/a	non-brg	0.6
⚡ BUCK CAPITAL MGMT		MSMSBCM	SS	559,000	107.53	n/a	n/a	non-brg	0.0
⚡ BUCK CAPITAL MGMT		MSMSBCM	SS	669,963	107.53	n/a	n/a	non-brg	0.0
MILLENNIUM-ICS-SAYAN	GHOSH028	MWV	S	63,800	29.06	n/a	20-Jul	2,552.00	0.0
KLEINHEINZ CAP PART	031	M	S	100,000	35.26	n/a	6-Jul	5,000.00	5.0
RBC CAPITAL MARKETS	040	NKE	B	5,000	93.25	n/a	29-Jun	200.00	4.0
f MANNY I KORMAN	FID	NYV	B	2313	16.01	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		PANW	S	1,000	55.62	n/a	n/a	non-brg	4.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	15,000	30.35	n/a	20-Jul	750.00	5.0
f MANNY I KORMAN	FID	PNF	B	5000	12.78	n/a	n/a	0.00	0.0
f MANNY I KORMAN	FID	PNI	B	5000	13.08	n/a	n/a	0.00	0.0
⚡ BUCK CAPITAL MGMT		PNRA	C	10,000	144.70	n/a	17-Jul	non-brg	4.0
f DAVID GEORGE BUCK	FID	PNRA	S	1000	142.46	n/a	17-Jul	0.00	0.0
COOPER CREEK PART	028	RKT	SS	3,770	61.99	n/a	20-Jul	150.80	4.0
BALYASNY ASSET MGMT	044	SBUX	SS	10,000	52.87	n/a	17-Jul	500.00	0.0
⚡ BUCK CAPITAL MGMT		SKUL	S	10,000	14.72	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		SPY	SS	15,000	136.94	n/a	n/a	non-brg	2.0
B BUCK CAPITAL MGMT		SPY	SS	30,000	136.73	n/a	n/a	non-brg	2.0
TUDOR INVESTORS	028	ST	B	5,000	26.78	n/a	n/a	150.00	3.0
HIGHBRIDGE CAP	041	UAL	B	16,000	22.16	n/a	n/a	480.00	3.0
B BUCK CAPITAL MGMT		ULTA	B	10,000	88.20	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		VFC	S	10,000	149.62	n/a	20-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		VFC	S	10,000	150.06	n/a	20-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		VFC	S	10,000	150.17	n/a	20-Jul	non-brg	4.0
B BUCK CAPITAL MGMT		VFC	S	20,000	150.01	n/a	20-Jul	non-brg	4.0
INVESCO	031	WAG	B	54,400	34.34	n/a	n/a	2,176.00	0.0
WALL STREET CAPITAL	084	WFM	S	24,700	84.90	n/a	n/a	1,111.50	4.5
f DAVID GEORGE BUCK	FID	XLV	S	1500	38.37	n/a	n/a	0.00	0.0
f DAVID GEORGE BUCK	FID	XLV	S	200	38.37	n/a	n/a	0.00	0.0
f MATTHEW FRANCIS BUCK	FID	XLV	S	200	38.37	n/a	n/a	0.00	0.0
RBC CAPITAL MARKETS	040	XRT	B	10,000	59.03	n/a	n/a	400.00	4.0
f EDWARD W WHEELER	FID		S	100000	62.15	n/a	n/a	0.00	0.0
TOTAL (Trade Date):								43,286.84	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (Trade Date) :									43,286.84
TOTAL (AO, CXL, CRT) :									0.00
TOTAL (RUN DATE) / NFS:									43,286.84

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
AO	07/19	BUCK CAPITAL MGMT	KYAK	B	279	26.00	n/a	n/a	0.00	0.0
AO	07/19	BUCK CAPITAL MGMT	KYAK	B	721	26.00	n/a	n/a	0.00	0.0
AO	07/19	BUCK CAPITAL MGMT	PANW	B	1,000	42.00	n/a	n/a	0.00	0.0

MRI Securities with Trade Activity on 20-Jul.

JCI

MRI Securities without Trade Activity on 20-Jul.

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BRG comm. as a % of BCM Total Comm. paid.

T-Date = 0%

YTD = 0%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)