

Daily Compliance Activity Report

Trade Date: 06/07/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Ö	Alice Longley		AAPL	B	150126.7200		n/a	n/a	0.00	0.0
	FORD FDN.	032	AET	S	82,300	51.87	n/a	n/a	4,115.00	5.0
	JETSTREAM GLOBAL FUND LP	065	AMD	SS	276,200	13.92	n/a	n/a	13,810.00	5.0
	CATAPULT	040	AMTD	B	5,000	20.24	n/a	n/a	250.00	5.0
	PORTER-ORLIN-FELDMAN	044	AMZN	B	85,000	74.35	n/a	n/a	4,250.00	5.0
	MERRILL LYNCH STRATEGICI	034	ANF	S	10,000	78.97	n/a	4-Jun	600.00	6.0
	BUCK CAPITAL MGMT	001	ARO	S	10,000	44.22	n/a	4-Jun	400.00	4.0
	COUGAR TRADING	044	ARO	S	10,000	44.20	n/a	4-Jun	500.00	5.0
	PRINCIPLE CAPITAL	040	ARO	B	80,000	44.24	n/a	4-Jun	3,200.00	4.0
	SYSTEMATIC FINANCIAL MGT	035	ARO	S	23,400	43.85	n/a	4-Jun	877.50	3.8
	USXX	032	ASN	S	32,600	60.85	n/a	n/a	1,630.00	5.0
	SATELLITE ASSET MGMT	012	BBI	S	125,000	4.14	n/a	n/a	3,750.00	3.0
	TRIBECA/DOUG	040	BBW	S	5,500	30.00	19-Mar	11-May	220.00	4.0
	HARVEST MGMT	039	BBY	SS	15,000	47.91	1-May	11-May	750.00	5.0
	MAZAMA CAPITAL MGMT.	035	BGS	B	40,000	13.62	n/a	n/a	1,600.00	4.0
	BUCK CAPITAL MGMT	001	BIG	SS	50,000	30.47	9-Mar	7-Jun	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
CIBC ADV.	060	BJ	S	20,000	37.41	10-Apr	7-Jun	800.00	4.0
TRIBECA/DOUG	040	BLDR	S	8,500	16.39	n/a	n/a	340.00	4.0
SENTINAL CAPITAL	067	BLK	S	3,500	149.79	25-May	31-May	175.00	5.0
BUCK CAPITAL MGMT	001	CBK	S	90,000	18.21	7-Jun	7-Jun	3,600.00	4.0
SAILFISH	035	CCL	B	5,000	49.99	n/a	26-Jan	250.00	5.0
LEDDY	035	CHBD	S	4,000	0.87	n/a	n/a	0.00	0.0
TRIBECA/DOUG	040	CHIC	S	6,200	28.50	n/a	n/a	248.00	4.0
COUGAR TRADING	044	CHS	B	5,000	26.54	31-May	4-Jun	250.00	5.0
CAPITAL GROWTH MGMT.	241	CMI	S	10,000	94.32	n/a	n/a	500.00	5.0
Õ Glenn Redemann		COF	B	2	0.8600	n/a	n/a	0.00	0.0
Õ Glenn Redemann		COF	B	2	0.9400	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	COH	B	5,000	48.10	23-Feb	25-Apr	200.00	4.0
LEDDY	035	COSI	S	2,000	4.90	n/a	n/a	0.00	0.0
LEVIN CAPITAL STRATEGIES	012	CTX	S	10,000	46.01	n/a	n/a	400.00	4.0
Õ Glenn Redemann		DECK	S	2	10.3000	n/a	n/a	0.00	0.0
LEDDY	035	DFZ	B	300	11.28	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Glenn Redemann		DIA	S	1	0.9000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DIA	S	1	1.0500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DIA	S	2	0.1000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		DIA	S	2	0.2500	n/a	n/a	0.00	0.0
SAILFISH	035	DIA	B	7,500	134.34	n/a	n/a	375.00	5.0
BUCK CAPITAL MGMT	001	DSW	B	10,000	36.15	7-May	7-Jun	400.00	4.0
Õ Glenn Redemann		DVN	B	6	0.3700	3-May	4-Jun	0.00	0.0
Õ Glenn Redemann		DVN	B	6	0.4500	3-May	4-Jun	0.00	0.0
Õ Glenn Redemann		DVN	SS	6	1.0500	3-May	4-Jun	0.00	0.0
Õ Glenn Redemann		DVN	SS	6	1.2200	3-May	4-Jun	0.00	0.0
BUCK	015	ED	B	300	46.33	n/a	n/a	0.00	0.0
BALYASNY ASSET MGMT	044	EV	S	10,000	42.66	23-May	30-May	500.00	5.0
THIRD COAST CAPITAL	035	EXBD	SS	5,000	64.87	n/a	n/a	250.00	5.0
THIRD COAST CAPITAL	035	EZPW	S	30,000	14.12	n/a	n/a	1,500.00	5.0
FARALLON CAP MGMT	040	FDX	B	10,000	109.14	n/a	n/a	400.00	4.0
FARALLON CAP MGMT	040	FIS	B	161,400	53.03	n/a	n/a	6,456.00	4.0
Õ Alice Longley		FSLR	B	300	71.5300	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	GCO	SS	30,000	51.32	n/a	10-Jan	1,200.00	4.0
WHITE PINE CAPITAL	030	GGG	S	250	39.70	n/a	n/a	12.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
COUGAR TRADING	044	GIL	S	5,000	34.87	1-Jun	1-Jun	250.00	5.0
TRIBECA/DOUG	040	GPI	S	5,300	41.47	27-Apr	2-May	212.00	4.0
JP MORGAN VENTURES	046	GPS	B	20,000	18.36	n/a	4-Jun	1,000.00	5.0
SANDLER O'NEILL ASSET	031	GS	SS	5,000	226.53	n/a	30-May	250.00	5.0
CROWLEY	061	HE	S	2,500	23.16	n/a	n/a	0.00	0.0
ZOLIDIS	011	HLYS	B	100	29.82	n/a	n/a	0.00	0.0
MHR CAPITAL MGMT	067	HPOL	B	10,000	5.33	n/a	n/a	400.00	4.0
MERRILL LYNCH STRATEGICI	034	HUN	S	30,000	19.92	n/a	n/a	1,200.00	4.0
THIRD COAST CAPITAL	035	INFY	SS	10,000	48.80	n/a	n/a	500.00	5.0
FARALLON CAP MGMT	040	ITT	S	40,100	65.85	30-Apr	30-Apr	1,604.00	4.0
BUCK CAPITAL MGMT	001	JCP	B	10,000	78.50	20-Apr	4-Jun	400.00	4.0
COUGAR TRADING	044	JCP	B	5,000	78.50	20-Apr	4-Jun	250.00	5.0
COUGAR TRADING	044	JCP	S	5,000	78.17	20-Apr	4-Jun	250.00	5.0
DIAMONDBACK CAP MGMT	232	JPM	SS	25,000	50.07	n/a	11-May	1,250.00	5.0
COUGAR TRADING	044	KSS	S	5,000	72.45	n/a	7-Jun	250.00	5.0
LEVIN CAPITAL STRATEGIES	012	KSS	SS	10,000	74.40	n/a	7-Jun	400.00	4.0
US BANCORP	030	KVA	B	52,100	27.47	n/a	n/a	2,605.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DENVER INST. ADV	046	LAZ	S	40,100	50.74	n/a	n/a	1,604.00	4.0
LEVIN CAPITAL STRATEGIES	012	LEN	SS	10,000	43.71	n/a	n/a	400.00	4.0
LEVIN CAPITAL STRATEGIES	012	LLY	C	10,000	57.46	n/a	n/a	400.00	4.0
OSS CAPITAL/KEVIN DANN	067	LXK	B	19,200	50.32	n/a	n/a	576.00	3.0
LISTED ERR	099	LYO	B	100	36.45	14-May	14-May	0.00	0.0
LISTED ERR	099	LYO	S	100	36.45	14-May	14-May	0.00	0.0
CAPITAL GROWTH MGMT.	241	MAN	S	10,000	91.15	n/a	n/a	500.00	5.0
RIVER RUN MGMT	031	MCCC	B	18,000	9.72	4-Jun	5-Jun	720.00	4.0
CROWLEY	061	MIR	S	500	45.38	n/a	n/a	0.00	0.0
MORGAN LEWIS/MLH	046	MO	S	10,000	70.79	n/a	n/a	500.00	5.0
DAWSON SANBERG & CO	036	MTG	SS	4,100	62.40	n/a	n/a	205.00	5.0
CAPITAL GROWTH MGMT.	241	MTW	B	27,400	73.72	n/a	n/a	1,370.00	5.0
SCOPUS FUND	039	MWV	S	123,000	35.37	3-May	3-May	6,150.00	5.0
NOLAN	067	MWV	S	20	34.45	3-May	3-May	0.00	0.0
LISTED ERR	099	MWV	B	124,000	35.37	3-May	3-May	0.00	0.0
LISTED ERR	099	MWV	S	124,000	35.36	3-May	3-May	0.00	0.0
COUGAR TRADING	044	M	B	5,000	38.83	n/a	n/a	250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SAILFISH	035	M	B	15,000	39.21	n/a	n/a	750.00	5.0
BUCK CAPITAL MGMT	001	NKE	SS	30,000	54.46	n/a	n/a	1,200.00	4.0
BUCK	015	NQN	B	600	13.87	n/a	n/a	0.00	0.0
SLS CAPITAL LLC	224	NSC	S	20,000	55.20	n/a	n/a	1,000.00	5.0
CAPITAL GROWTH MGMT.	241	NUE	S	20,000	66.31	n/a	n/a	1,000.00	5.0
FARALLON CAP MGMT	040	NVT	B	95,800	43.26	n/a	n/a	3,832.00	4.0
VISIUM/DIV OF BALY BOBBY	044	ODP	S	30,000	34.86	n/a	27-Apr	1,500.00	5.0
BUCK	015	OSCI	S	5,000	4.83	n/a	n/a	0.00	0.0
HOOVER INV. MGMT	033	PFCB	S	20,000	36.44	24-Apr	24-Apr	1,000.00	5.0
HOOVER INV. MGMT	033	PKG	B	62,200	25.97	n/a	18-Apr	3,110.00	5.0
SAILFISH	035	PPL	SS	15,000	43.31	n/a	n/a	750.00	5.0
KACELA CAPITAL	034	PSUN	S	35,000	21.11	n/a	4-Jun	1,400.00	4.0
GALLEON MGMT LP	033	PYX	S	10,000	14.80	n/a	4-May	500.00	5.0
Õ Glenn Redemann		QQQQ	S	2	0.2500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		QQQQ	S	3	0.1200	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	RCKY	S	1,875	15.72	n/a	n/a	93.75	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
KENNEDY CAPITAL	046	RDEN	B	16,700	22.97	4-May	4-May	668.00	4.0
LEDDY	035	RVI	B	1,400	18.98	n/a	n/a	0.00	0.0
BUCK	015	RYN	S	1,700	43.70	n/a	25-Apr	0.00	0.0
TRIBECA/DOUG	040	SAFM	S	7,200	41.52	n/a	n/a	288.00	4.0
WHITE PINE CAPITAL	030	SCSS	S	1,900	17.26	n/a	n/a	95.00	5.0
TRIBECA/DOUG	040	SCVL	S	6,100	28.73	n/a	n/a	244.00	4.0
TRIBECA/DOUG	040	SHOO	S	4,800	31.48	n/a	n/a	192.00	4.0
THIRD COAST CAPITAL	035	SKS	B	10,000	20.06	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	SKX	B	10,000	31.44	n/a	13-Mar	400.00	4.0
COUGAR TRADING	044	SKX	S	10,000	30.82	n/a	13-Mar	500.00	5.0
TRIBECA/DOUG	040	SKX	S	5,100	30.99	n/a	13-Mar	204.00	4.0
MILLENIUUM PARTNERS	028	SPSN	S	20,000	11.27	n/a	n/a	1,000.00	5.0
Õ Glenn Redemann		SPY	S	1	1.0500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPY	S	1	1.4000	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPY	S	2	0.1500	n/a	n/a	0.00	0.0
Õ Glenn Redemann		SPY	S	2	0.3000	n/a	n/a	0.00	0.0
PEREGRINE	030	SSI	B	97,800	20.06	25-May	4-Jun	4,890.00	5.0
WHITE PINE CAPITAL	030	STKL	S	2,650	10.80	n/a	n/a	132.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
JP MORGAN VENTURES	046	S	B	100,000	22.24	n/a	n/a	5,000.00	5.0
PALMYRA CAPITAL	048	S	S	22,400	22.16	n/a	n/a	1,120.00	5.0
BUCK CAPITAL MGMT	001	TGT	S	70,000	62.61	n/a	7-Jun	2,800.00	4.0
FARALLON CAP MGMT	040	TGT	S	240,000	63.59	n/a	7-Jun	9,600.00	4.0
COUGAR TRADING	044	TIF	SS	10,000	49.84	1-Jun	1-Jun	500.00	5.0
XYLEM GLOBAL	091	TIN	B	20,000	61.14	26-Apr	26-Apr	1,000.00	5.0
SIGMA CAPITAL ASSOCIATES	044	TLB	S	57,800	20.84	n/a	24-May	2,890.00	5.0
MILLENIUM PARTNERS	028	TRB	B	25,000	31.72	n/a	n/a	1,250.00	5.0
WHITE PINE CAPITAL	030	TSAI	S	2,400	33.37	n/a	n/a	120.00	5.0
BUCK CAPITAL MGMT	001	TWB	B	5,000	41.71	23-May	23-May	200.00	4.0
COUGAR TRADING	044	TWB	S	5,000	41.53	23-May	23-May	250.00	5.0
PILOT ADV	038	UAG	S	2,900	21.25	n/a	9-May	116.00	4.0
BEAR STEARNS ASSET MGMT.	033	URBN	S	62,200	24.91	2-Apr	11-May	2,488.00	4.0
SAILFISH	035	USB	SS	5,000	34.49	n/a	n/a	250.00	5.0
ACT II PARTNERS	067	VG	B	60,000	3.01	9-Mar	5-Jun	2,400.00	4.0
LEDDY	035	VSL	S	400	22.41	n/a	n/a	0.00	0.0
WHITE PINE CAPITAL	030	WDR	S	1,400	24.89	5-Mar	23-May	70.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DAWSON SANBERG & CO	036	WFC	SS	7,200	35.21	n/a	n/a	360.00	5.0
VAN KAMPEN ADV	031	WMT	B	100,000	50.48	4-Jun	7-Jun	4,000.00	4.0
MAGUIRE	039	WSM	SS	200	32.70	n/a	n/a	0.00	0.0
PIVOT CAP	034	WTSLA	S	45,000	5.91	n/a	n/a	1,800.00	4.0
WHITE PINE CAPITAL	030	ZMH	S	150	84.92	n/a	n/a	7.50	5.0
COUGAR TRADING	044	ZQK	S	5,000	12.95	9-Mar	5-Jun	250.00	5.0
TOTAL (Trade Date):								141,025.75	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/06	WEISS PECK (PECKMS)	040	ABY	S	152,700	2.72	n/a	n/a	4581.00 3.0
AO,CXL	06/06	WEISS PECK (PECKARBBS)	040	ABY	S	152,700	2.72	n/a	n/a	4581.00 3.0
AO,CRT	06/06	WEISS PECK (PECKMS)	040	BOW	B	18,400	24.05	n/a	27-Apr	736.00 4.0
AO,CXL	06/06	WEISS PECK (PECKARBBS)	040	BOW	B	18,400	24.05	n/a	27-Apr	552.00 3.0
AO,	06/06	PIEDRA CAPITAL (PIEDCHICAG)	031	COH	S	2,100	49.20	23-Feb	25-Apr	105.00 5.0
AO,	06/06	WEISS PECK (PECKMS)	040	DCNAQ	S	20,880	2.11	n/a	n/a	626.40 3.0
AO,CXL	06/06	WEISS PECK (PECKARBBS)	040	DCNAQ	S	29,000	2.11	n/a	n/a	870.00 3.0
AO,	06/06	WEISS PECK (PECKARBBS)	040	DCNAQ	S	8,120	2.11	n/a	n/a	243.60 3.0
CRT	06/07	MHR CAPITAL MGMT (MHRCGOLDMA)	067	HPOL	B	10,000	5.33	n/a	n/a	400.00 4.0
AO,	06/06	WEISS PECK (PECKMS)	040	KEGS	B	10,800	18.50	n/a	4-Jun	432.00 4.0
AO,CXL	06/06	WEISS PECK (PECKARBBS)	040	KEGS	B	15,000	18.50	n/a	4-Jun	450.00 3.0
AO,	06/06	WEISS PECK (PECKARBBS)	040	KEGS	B	4,200	18.50	n/a	4-Jun	168.00 4.0
AO,	06/06	MKT MAKER (MKT MAKER)	099	MCCC	S	17	9.88	4-Jun	5-Jun	0.00 0.0
AO,CXL	06/06	ROBX (ROBX185)	933	MWA	B	600	15.98	n/a	n/a	12.00 2.0
AO,	06/06	ROBX (ROBX185)	033	MWA	B	600	15.98	n/a	n/a	12.00 2.0
AO,CXL	06/06	REINHART & MAHONEY (REINWAYMCG)	031	NE	S	2,070	92.38	n/a	n/a	0.00 0.0
AO,CRT	06/06	REINHART & MAHONEY (REINWAYMCG)	031	NE	S	2,070	92.38	n/a	n/a	103.50 5.0
CRT	06/07	WHITE PINE CAPITAL (WPIN4413)	030	TSAI	S	2,400	33.37	n/a	n/a	120.00 5.0
TOTAL (AO, CXL, CRT) :										1,062.50

TOTAL (Trade Date):	141,025.75
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TOTAL (AO, CXL, CRT):	1,062.50
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TOTAL (RUN DATE) / NFS:	142,088.25
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION