



# Daily Compliance Activity Report

Trade Date: 07/08/2010

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>B</b> BUCK CAPITAL MGMT		<b>973 HK</b>	<b>S</b>	<b>180,500</b>	<b>2.19</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>0.4</b>
CASTLEROCK	040	AGU	B	26,618	54.79	n/a	n/a	1,064.72	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>ANF</b>	<b>S</b>	<b>500</b>	<b>35.31</b>	<b>n/a</b>	<b>30-Jun</b>	<b>non-brg</b>	<b>4.0</b>
SANDLER CAPITAL	226	ANF	C	72,800	35.69	n/a	30-Jun	4,368.00	6.0
<b>B</b> BUCK CAPITAL MGMT		<b>ANN</b>	<b>B</b>	<b>20,000</b>	<b>17.05</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BMO NESBITT BURNS	041	ARO	B	5,000	28.48	n/a	30-Jun	200.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ARO</b>	<b>B</b>	<b>30,000</b>	<b>28.62</b>	<b>n/a</b>	<b>30-Jun</b>	<b>1,200.00</b>	<b>4.0</b>
<b>B</b> BUCK CAPITAL MGMT		<b>ARO</b>	<b>B</b>	<b>34,000</b>	<b>28.71</b>	<b>n/a</b>	<b>30-Jun</b>	<b>non-brg</b>	<b>0.8</b>
MILLRACE FUND LP	028	ARO	S	5,000	28.82	n/a	30-Jun	200.00	4.0
EVERCORE WEALTH MGMT	012	AVP	S	14,474	27.71	n/a	28-May	723.70	5.0
PLATTE RIVER CAPITAL	240	AVP	B	14,000	27.73	n/a	28-May	560.00	4.0
SONICA CAPITAL LLC	025	AVP	SS	7,500	27.77	n/a	28-May	225.00	3.0
LAGRANGE CAP ADV	048	AXL	B	20,000	7.26	n/a	7-Jul	1,000.00	5.0
OZ MGMT	040	AXL	S	57,267	7.60	n/a	7-Jul	1,718.01	3.0
BROADARCH CAP/OLD NEWC	070	BBY	SS	3,200	34.02	n/a	n/a	128.00	4.0
<b>B</b> BUCK CAPITAL MGMT		<b>BBY</b>	<b>S</b>	<b>11,800</b>	<b>34.26</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>f</b> JOHN MICHAEL ZOLIDIS	<b>FID</b>	<b>BBY</b>	<b>S</b>	<b>200</b>	<b>33.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SAXON WOODS ADVISORS	242	BEBE	B	191,200	6.67	n/a	n/a	5,736.00	3.0
FIRST NEW YORK LEDDY	035	BSX	S	20,000	6.20	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NORTHWESTERN MUTUAL LIFE	047	BWA	B	33,000	38.53	n/a	30-Apr	1,320.00	4.0
OMEGA ADVISORS	041	CDNS	S	250,000	5.88	n/a	n/a	7,500.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>COST</b>	<b>SS</b>	<b>20,000</b>	<b>55.40</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SONICA CAPITAL LLC	025	COST	B	5,500	55.68	n/a	n/a	165.00	3.0
PROSPECTOR PARTNERS LLC	036	CPN	S	10,000	13.29	n/a	n/a	400.00	4.0
LEVEL GLOBAL SHARED ACCT	257	CRI	SS	150,000	26.46	n/a	n/a	4,500.00	3.0
MERRILL ASSET/BLACKROCK	266	CRR	S	9,200	79.43	n/a	6-Jul	368.00	4.0
MARINER/TRICADIA	041	CYBX	S	4,300	23.70	n/a	n/a	215.00	5.0
ROTHSCHILD ASSET	040	CYH	B	17,520	32.88	n/a	n/a	700.80	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>DBRN</b>	<b>B</b>	<b>20,000</b>	<b>24.20</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
MTB INV ADV	034	DHX	S	24,050	7.02	n/a	n/a	721.50	3.0
VISIUM/BALY BOBBY	044	DRI	S	10,000	38.50	n/a	8-Jul	500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>EBAY</b>	<b>S</b>	<b>5,500</b>	<b>20.00</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
SOUTH POINT CAP	067	FAZ	SS	5,000	16.12	n/a	n/a	250.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FDO</b>	<b>C</b>	<b>20,000</b>	<b>36.28</b>	<b>n/a</b>	<b>7-Jul</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>FINL</b>	<b>B</b>	<b>30,000</b>	<b>14.39</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
EXIS CAPITAL	044	FINL	B	15,000	14.37	n/a	n/a	750.00	5.0
BMO NESBITT BURNS	041	FOR	B	8,000	16.85	n/a	28-Jun	320.00	4.0
THIRD COAST CAPITAL	035	FRED	B	20,000	10.78	n/a	n/a	1,000.00	5.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>FSYS</b>	<b>B</b>	<b>2000</b>	<b>25.89</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CAVU CAP ADV	028	F	B	70,000	10.65	n/a	7-Jul	3,500.00	5.0
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>F</b>	<b>S</b>	<b>500</b>	<b>10.53</b>	<b>n/a</b>	<b>7-Jul</b>	<b>0.00</b>	<b>0.0</b>
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>F</b>	<b>B</b>	<b>10000</b>	<b>10.69</b>	<b>n/a</b>	<b>7-Jul</b>	<b>0.00</b>	<b>0.0</b>
OZ MGMT	040	F	B	200,000	10.53	n/a	7-Jul	6,000.00	3.0
<b>B BUCK CAPITAL MGMT</b>		<b>GCO</b>	<b>B</b>	<b>14,900</b>	<b>26.17</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
BNP PARIBAS SEC	040	GES	B	5,000	33.30	n/a	28-May	200.00	4.0
HIGHBRIDGE CAP	041	GLW	B	125,000	17.13	n/a	22-Jun	5,000.00	4.0
<b>f MANNY I KORMAN</b>	<b>FID</b>	<b>GLW</b>	<b>B</b>	<b>6000</b>	<b>17.22</b>	<b>n/a</b>	<b>22-Jun</b>	<b>0.00</b>	<b>0.0</b>
SONICA CAPITAL LLC	025	GME	SS	14,000	18.83	n/a	n/a	420.00	3.0
THIRD COAST CAPITAL	035	GPS	B	10,000	18.33	n/a	n/a	500.00	5.0
<b>f FRANCIS MICHAEL MCCA</b>	<b>FID</b>	<b>GR</b>	<b>B</b>	<b>250</b>	<b>68.88</b>	<b>n/a</b>	<b>4-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>f JONATHAN RICHARD HAR</b>	<b>FID</b>	<b>GR</b>	<b>B</b>	<b>110</b>	<b>68.93</b>	<b>n/a</b>	<b>4-Jun</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>HMB SS</b>	<b>SS</b>	<b>20,000</b>	<b>28.67</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.3</b>
BMO NESBITT BURNS	041	IP	B	6,000	23.00	n/a	28-Jun	240.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HARBORWATCH/DIAMBACK	232	IP	SS	25,000	22.99	n/a	28-Jun	1,250.00	5.0
<b>f JOSEPH CIRO AMATURO</b>	<b>FID</b>	<b>IVN</b>	<b>S</b>	<b>3000</b>	<b>13.87</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BUCK CAPITAL MGMT	001	JCP	SS	173,000	23.08	n/a	30-Jun	6,920.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>JCP</b>	<b>SS</b>	<b>30,000</b>	<b>23.16</b>	<b>n/a</b>	<b>30-Jun</b>	<b>non-brg</b>	<b>4.0</b>
CASTLEROCK	040	JCP	SS	69,520	23.11	n/a	30-Jun	2,780.80	4.0
GLG PARTNERS	034	JCP	SS	100,000	23.10	n/a	30-Jun	3,000.00	3.0
TPG-AXON	040	JNJ	SS	115,000	60.96	n/a	n/a	5,750.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>JOSB</b>	<b>B</b>	<b>10,000</b>	<b>53.94</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>JWN</b>	<b>B</b>	<b>45,000</b>	<b>32.99</b>	<b>n/a</b>	<b>30-Jun</b>	<b>non-brg</b>	<b>4.0</b>
CAVU CAP ADV	028	JWN	B	30,000	33.91	n/a	30-Jun	1,500.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>KSS</b>	<b>B</b>	<b>33,000</b>	<b>46.66</b>	<b>n/a</b>	<b>30-Jun</b>	<b>non-brg</b>	<b>0.8</b>
GLG PARTNERS	034	KSS	B	37,500	46.71	n/a	30-Jun	1,125.00	3.0
<b>f MAY Y TANG</b>	<b>FID</b>	<b>KSS</b>	<b>B</b>	<b>100</b>	<b>46.82</b>	<b>n/a</b>	<b>30-Jun</b>	<b>0.00</b>	<b>0.0</b>
TRELLUS PARTNERS LP	028	LVS	B	15,000	23.40	n/a	7-Jul	750.00	5.0
T. ROWE PRICE	033	MCD	B	18,700	68.66	n/a	8-Jul	748.00	4.0
BROADARCH CAP/OLD NEWC	070	MGM	S	7,100	9.95	n/a	7-Jul	284.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>MSMSRAW2</b>	<b>SS</b>	<b>40,000</b>	<b>100.46</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>10.0</b>
EXIS CAPITAL	044	M	B	10,000	17.96	n/a	30-Jun	500.00	5.0
KACELA CAPITAL	034	M	B	50,000	18.24	n/a	30-Jun	2,000.00	4.0

	Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.		
	SIGMA CAPITAL ASSOCIATES	041	M	S	100,000	17.92	n/a	30-Jun	3,500.00	3.5	
	PROSPECTOR PARTNERS LLC	036	NP	S	10,000	17.59	n/a	28-Jun	400.00	4.0	
	MELLON/BANK OF NEW YORK	046	NZ	B	81,000	13.90	n/a	n/a	4,050.00	5.0	
	BNP PARIBAS SEC	040	PSS	B	7,500	15.87	n/a	n/a	300.00	4.0	
	SYSTEMATIC FINANCIAL MGT	035	PVH	B	140,000	46.94	n/a	26-May	4,200.00	3.0	
B	BUCK CAPITAL MGMT		RL	SS	10,000	76.70	n/a	20-May	non-brg	4.0	
	CASTLE ARK	031	SCHW	S	290,320	14.11	n/a	n/a	17,419.20	6.0	
f	MANNY I KORMAN	FID	SLM	B	10000	11.00	n/a	7-Jul	0.00	0.0	
f	JOSEPH CIRO AMATURO	FID	SRI	B	10000	7.75	n/a	n/a	0.00	0.0	
	BMO NESBITT BURNS	041	SSCC	B	9,000	19.34	8-Jul	8-Jul	360.00	4.0	
B	BUCK CAPITAL MGMT		TJX	B	36,000	41.14	n/a	30-Jun	non-brg	4.0	
	WEDBUSH/PACIFIC GROWTH EQTY LLC	084	TXIC	B	20,000	4.61		n/a	n/a	1,000.00	5.0
f	JONATHAN RICHARD HAR	FID	TZA	S	500	38.26	n/a	n/a	0.00	0.0	
	SIGMA CAPITAL ASSOCIATES	041	URBN	B	50,000	34.02	n/a	n/a	1,750.00	3.5	
	BMO NESBITT BURNS	041	USG	SS	8,000	12.66	n/a	28-Jun	320.00	4.0	
	RBC CAPITAL MARKETS	040	VALE	SS	50,000	25.34	n/a	n/a	2,000.00	4.0	

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
<b>f CINDY AMATURO</b>	<b>FID</b>	<b>VHDYX</b>	<b>S</b>	<b>2681</b>	<b>14.49</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>f CHRISTOPHER ARTHUR K</b>	<b>FID</b>	<b>V</b>	<b>B</b>	<b>100</b>	<b>74.58</b>	<b>n/a</b>	<b>28-Jun</b>	<b>0.00</b>	<b>0.0</b>
GREEN ARROW DOUG	040	WEN	B	50,000	3.92	n/a	8-Jul	2,500.00	5.0
MILLENIUM PARTNERS	028	WMT	B	45,000	48.91	n/a	19-May	1,800.00	4.0
BROADARCH CAP/OLD NEWC	070	XCO	B	8,300	15.07	n/a	n/a	332.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>SS</b>	<b>115,000</b>	<b>36.15</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>XRT</b>	<b>SS</b>	<b>4,500</b>	<b>36.35</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>2.0</b>
JP MORGAN VENTURES	046	YUM	S	15,000	40.09	n/a	8-Jul	750.00	5.0
MELLON/BANK OF NEW YORK	046	ZMH	B	27,825	55.84	n/a	n/a	1,391.25	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>ZUMZ</b>	<b>SS</b>	<b>10,000</b>	<b>17.82</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>TOTAL (Trade Date):</b>							<b>121,173.98</b>		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,	07/07	SYSTEMATIC FINANCIAL MGT	035	CBE	S	229,250	44.18	n/a 27-May	6877.50 3.0
TOTAL (AO, CXL, CRT) :									6,877.50

TOTAL (Trade Date):	121,173.98
TOTAL (AO, CXL, CRT):	6,877.50

TOTAL (RUN DATE) / NFS: 128,051.48

MRI Securities with Trade Activity on 8-Jul.  
SSCC

MRI Securities without Trade Activity on 8-Jul.  
NONE

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 29%  
YTD = 10%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**Watch List Trades**

**f: Employee Trades (Fidelity)**