



Daily Compliance Activity Report

Trade Date: 07/11/2011

Potential ANALYST TRADE Violations: 0

Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BALYASNY ASSET MGMT	044	AEO	B	50,000	13.58	n/a	26-May	2,500.00	5.0
B BUCK CAPITAL MGMT		AEO	C	99,600	13.68	n/a	26-May	non-brg	4.0
B BUCK CAPITAL MGMT		ARO	SS	50,000	18.12	n/a	20-May	non-brg	4.0
B BUCK CAPITAL MGMT		AXE	B	10,000	65.44	n/a	22-Jun	non-brg	4.0
NEWLAND CAPITAL MGT	025	AXL	S	30,000	11.08	n/a	7-Jul	1,200.00	4.0
ASCEND PARTNERS	033	BA	S	20,000	73.21	n/a	7-Jul	800.00	4.0
f CHRISTOPHER ROBERT C	FID	BUFHX	B	149	11.39	n/a	n/a	0.00	0.0
BRONSON POINT	036	CAG	S	25,000	26.15	n/a	n/a	750.00	3.0
f GAJANTHAN BALAKANES	FID	CEDC	S	42	10.90	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		CROX	S	20,000	27.26	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		CSTR	S	20,000	56.39	n/a	n/a	non-brg	4.0
SANDLER O'NEILL ASSET	031	C	B	5,000	40.04	n/a	1-Jul	100.00	2.0
PARAMETER CAPITAL MGMT LLC	044	DB	S	50,600	53.56	n/a	n/a	2,024.00	4.0
B BUCK CAPITAL MGMT		DDS	S	20,000	57.31	n/a	n/a	non-brg	4.0
B BUCK CAPITAL MGMT		DDS	S	50,000	57.31	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
f JOSEPH CIRO AMATURO	FID	DF	B	5000	11.94	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		DKS	B	20,000	37.84	n/a	18-May	non-brg	4.0
B BUCK CAPITAL MGMT		DRI	SS	100,000	52.11	n/a	11-Jul	non-brg	4.0
f GAJANTHAN BALAKANES	FID	DRYS	S	100	4.00	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ESV	B	10,000	52.01	n/a	n/a	non-brg	4.0
PARAMETER CAPITAL MGMT LLC044		FCX	SS	35,000	53.50	n/a	n/a	1,400.00	4.0
PARAMETER CAPITAL MGMT LLC044		FDX	SS	2,500	95.50	n/a	n/a	100.00	4.0
SAC CAP/ROSEN FOR BOBBY	044	FII	SS	85,000	23.41	n/a	2-Mar	2,975.00	3.5
B BUCK CAPITAL MGMT		FINL	S	13,200	22.68	n/a	24-Jun	non-brg	2.0
B BUCK CAPITAL MGMT		FINL	S	86,800	22.60	n/a	24-Jun	non-brg	4.0
BNP PARIBAS SEC	040	FL	B	75,000	23.58	n/a	2-Jun	3,750.00	5.0
BUCK CAPITAL MGMT	001	GCO	S	20,000	54.18	n/a	n/a	600.00	3.0
TUDOR INVESTORS	028	GDI	B	2,000	84.15	n/a	n/a	60.00	3.0
B BUCK CAPITAL MGMT		GES	S	40,000	42.56	n/a	26-May	non-brg	4.0
TUDOR INVESTORS	028	GES	SS	47,500	42.59	n/a	26-May	1,425.00	3.0
B BUCK CAPITAL MGMT		GIL	S	30,000	35.07	n/a	12-May	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		HBI	S	25,000	30.76	n/a	21-Apr	non-brg	2.0
⌘ BUCK CAPITAL MGMT		HBI	S	50,000	30.26	n/a	21-Apr	non-brg	4.0
⌘ BUCK CAPITAL MGMT		HBI	S	75,000	30.60	n/a	21-Apr	non-brg	4.0
DENVER INST. ADV	046	IHG	S	35,000	20.42	n/a	n/a	1,400.00	4.0
TUDOR INVESTORS	028	IR	B	8,000	45.53	n/a	19-May	240.00	3.0
BALYASNY ASSET MGMT	044	JCP	C	10,000	32.00	n/a	8-Jul	500.00	5.0
PZENA INV ADV	044	JPM	B	950	39.50	n/a	1-Jul	33.25	3.5
⌘ BUCK CAPITAL MGMT		JWN	S	40,000	49.68	n/a	8-Jul	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LIZ	S	200,000	5.06	n/a	7-Oct	non-brg	3.0
⌘ BUCK CAPITAL MGMT		LIZ	S	395,800	5.06	n/a	7-Oct	non-brg	3.0
BALLAST CAP	040	LOW	SS	5,200	23.25	n/a	13-Jun	260.00	5.0
MACQUARIE INVESTMENT MGMT.	040	LTD	B	5,000	40.38	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		LVS	S	50,000	44.32	n/a	13-Jun	non-brg	4.0
ROYCE TRADING	012	MLI	B	500	42.92	n/a	27-Apr	25.00	5.0
⌘ BUCK CAPITAL MGMT		MNRO	S	30,000	36.96	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MSMSBCD	SS	323,000	101.86	n/a	n/a	non-brg	8.1
⌘ BUCK CAPITAL MGMT		MSMSBCM	SS	90,000	101.52	n/a	n/a	non-brg	8.1

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
FORTRESS INVESTMENT (US)	036	MS	B	100,000	21.83	n/a	1-Jul	4,000.00	4.0
B BUCK CAPITAL MGMT		M	S	50,000	29.86	n/a	8-Jul	non-brg	4.0
MACQUARIE INVESTMENT MGMT.	040	NKE	SS	1,000	91.30	n/a	1-Jul	0.00	0.0
TUDOR INVESTORS	028	OC	B	3,000	36.27	n/a	n/a	90.00	3.0
COLUMBUS CIRCLE	036	PAY	S	4,943	43.73	n/a	n/a	197.72	4.0
PARAMETER CAPITAL MGMT LLC	044	PH	B	10,000	86.42	n/a	28-Apr	400.00	4.0
MERRILL ASSET/BLACKROCK	297	PJC	S	6,388	27.62	n/a	n/a	255.52	4.0
CATAPULT	040	POT	S	20,000	57.68	n/a	n/a	800.00	4.0
MACQUARIE INVESTMENT MGMT.	040	POT	S	10,000	58.27	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		PVH	S	50,000	68.16	n/a	2-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		SFLY	S	20,000	60.85	n/a	n/a	non-brg	2.5
f JOSEPH CIRO AMATURO	FID	SGI	B	5000	16.25	n/a	n/a	0.00	0.0
FORTRESS INVESTMENT (US)	036	SLM	B	300,000	16.34	n/a	19-May	12,000.00	4.0
ASCEND PARTNERS	033	SPR	S	5,100	21.00	n/a	24-Jun	204.00	4.0
B BUCK CAPITAL MGMT		SWY	SS	20,000	23.16	n/a	n/a	non-brg	4.0
FIRST NEW YORK LEDDY	035	S	B	20,000	5.46	n/a	n/a	800.00	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
MACQUARIE INVESTMENT MGMT.	040	TGT	B	5,000	50.69	n/a	7-Jul	0.00	0.0
MACQUARIE INVESTMENT MGMT.	040	TJX	B	5,000	55.55	n/a	8-Jul	0.00	0.0
MERRILL ASSET/BLACKROCK	297	TTI	B	34,700	12.26	n/a	n/a	1,388.00	4.0
f JOSEPH CIRO AMATURO	FID	TZA	S	5000	32.86	n/a	n/a	0.00	0.0
f JOSEPH CIRO AMATURO	FID	TZA	S	5000	32.91	n/a	n/a	0.00	0.0
TUDOR INVESTORS	028	UPS	B	2,000	73.89	n/a	n/a	60.00	3.0
ROTHSCHILD ASSET	040	URS	B	4,380	44.64	n/a	n/a	175.20	4.0
KENNEDY CAPITAL	098	WEN	S	136,100	5.43	n/a	11-Jul	4,083.00	3.0
AVESTA CAP	229	WMT	C	100,000	53.95	n/a	18-May	3,000.00	3.0
f JOSEPH CIRO AMATURO	FID	WNC	B	15000	8.82	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	WRC	S	70,000	53.77	n/a	6-May	2,100.00	3.0
B BUCK CAPITAL MGMT		WRC	S	10,000	53.12	n/a	6-May	non-brg	4.0
CAERUS GLOBAL	041	WWW	B	14,000	42.47	n/a	n/a	560.00	4.0
MACQUARIE INVESTMENT MGMT.	040	XLP	SS	10,000	31.46	n/a	n/a	0.00	0.0
f KAREN F LEDDY	FID	XPO	B	3550	3.63	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		XRT	C	600,000	55.00	n/a	n/a	non-brg	1.0

TOTAL (Trade Date):

50,255.69

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	------	--------	-----	--------	-------	--------------	-------------

TOTAL (AO, CXL, CRT):									0.00
-----------------------	--	--	--	--	--	--	--	--	------

TOTAL (Trade Date):									50,255.69
---------------------	--	--	--	--	--	--	--	--	-----------

TOTAL (AO, CXL, CRT):									0.00
-----------------------	--	--	--	--	--	--	--	--	------

TOTAL (RUN DATE) / NFS:									50,255.69
-------------------------	--	--	--	--	--	--	--	--	-----------

BCM Cancel/Corrects and As-Of Trades

Type	Date	Account	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
------	------	---------	--------	-----	--------	-------	--------------	-------------

MRI Securities with Trade Activity on 11-Jul.
NONE

MRI Securities without Trade Activity on 11-Jul.
MA

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 3%
YTD = 3%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION

Watch List Trades

f: Employee Trades (Fidelity)