



Daily Compliance Activity Report

Trade Date: 01/25/2007

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ELAZAR ADV	034	AAON	B	4,621	27.89	n/a	n/a	231.05	5.0
GLENHILL CAPITAL	038	AEOS	B	50,000	32.56	4-Jan	12-Jan	2,500.00	5.0
MKT MAKER	099	AEOS	B	500	32.50	4-Jan	12-Jan	0.00	0.0
Ö Glenn Redemann		AMAG	B	8	0.3200	n/a	n/a	0.00	0.0
Ö Glenn Redemann		AMAG	B	8	0.5400	n/a	n/a	0.00	0.0
Ö Glenn Redemann		AMAG	S	8	1.0400	n/a	n/a	0.00	0.0
Ö Glenn Redemann		AMAG	S	8	1.1700	n/a	n/a	0.00	0.0
TILLDALE MGMT.	048	AMD	S	15,000	16.30	n/a	n/a	750.00	5.0
BALYASNY ASSET MGMT	044	AMG	B	15,000	112.84	25-Jan	25-Jan	750.00	5.0
BALYASNY ASSET MGMT	044	AMG	SS	5,000	110.63	25-Jan	25-Jan	250.00	5.0
SUNOVA CAPITAL	031	AMG	S	40,000	111.55	25-Jan	25-Jan	2,000.00	5.0
ELAZAR ADV	034	ANF	B	1,310	80.67	3-Nov	5-Jan	65.50	5.0
COUGAR TRADING	044	ANN	S	5,000	32.60	5-Jan	5-Jan	250.00	5.0
ELAZAR ADV	034	APPB	SS	14,444	24.76	n/a	n/a	722.20	5.0
TRIBECA	038	ARO	B	8,200	35.56	23-Oct	5-Jan	328.00	4.0
CAPITAL GROWTH MGMT.	241	BAC	S	50,000	52.40	11-Jan	23-Jan	2,500.00	5.0
BUCK CAPITAL MGMT	001	BBY	S	10,000	49.84	13-Dec	10-Jan	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CAPITAL GROWTH MGMT.	241	BHI	B	10,000	67.07	n/a	n/a	500.00	5.0
SUN AMERICA ASSET MGMT	030	BJS	B	28,600	27.15	n/a	n/a	1,430.00	5.0
BALYASNY ASSET MGMT	044	BLK	S	8,000	170.84	16-Oct	25-Jan	400.00	5.0
GENERAL ELECTIC CO	033	BMY	B	14,558	26.29	n/a	n/a	655.16	4.5
ROYCE TRADING	216	CBK	B	55,300	18.71	11-Dec	5-Jan	2,765.00	5.0
TILDALE MGMT.	048	CC	S	10,000	20.57	20-Dec	10-Jan	500.00	5.0
WHEELER	008	CHP	B	30,000	5.15	n/a	n/a	0.00	0.0
BRIGHTPOINT CAP	067	CHS	B	10,000	20.86	5-Jan	5-Jan	500.00	5.0
TRIBECA	038	CKR	B	17,100	19.42	n/a	n/a	684.00	4.0
AVESTA CAP	229	CLX	S	25,000	65.00	5-Dec	5-Dec	1,250.00	5.0
ELAZAR ADV	034	CMG	SS	7,776	57.44	n/a	12-Dec	388.80	5.0
BALYASNY ASSET MGMT	044	CNS	B	5,000	48.58	n/a	n/a	250.00	5.0
BUCK CAPITAL MGMT	001	COH	S	50,000	43.85	24-Jan	24-Jan	2,000.00	4.0
KARSCH CAPITAL	034	COST	B	7,300	55.97	n/a	5-Jan	219.00	3.0
BALYASNY ASSET MGMT	044	COWN	S	10,000	19.80	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
ELAZAR ADV	034	CROX	B	3,600	47.90	n/a	n/a	180.00	5.0
ELAZAR ADV	034	CROX	S	11,129	47.79	n/a	n/a	556.45	5.0
AAD CAPITAL	048	CVS	S	65,000	33.00	n/a	n/a	2,600.00	4.0
ELAZAR ADV	034	DHI	SS	15,824	28.13	n/a	n/a	791.20	5.0
DSM CAPITAL PARTNERS	032	DJ	B	6,600	39.99	n/a	n/a	396.00	6.0
MORGAN BANK /JPM	012	DOW	S	7,300	42.43	n/a	25-Jan	219.00	3.0
NEUBERGER BERMAN	238	DVR	B	6,000	11.87	n/a	n/a	300.00	5.0
NEUBERGER BERMAN	238	DW	B	5,200	27.55	n/a	n/a	260.00	5.0
SAXON WOODS ADVISORS	038	EBAY	B	15,000	33.23	n/a	n/a	750.00	5.0
HIGHBRIDGE CAPITAL	038	ECL	B	7,000	43.97	n/a	22-Jan	350.00	5.0
LEDDY	035	EDA	B	1,000	10.69	n/a	n/a	0.00	0.0
SUTERA	160	EDA	B	1,000	10.78	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	238	FDS	B	4,000	57.14	n/a	n/a	200.00	5.0
BUCK CAPITAL MGMT	001	FD	B	50,000	40.27	9-Nov	5-Jan	2,000.00	4.0
ELAZAR ADV	034	FD	B	6,651	39.80	9-Nov	5-Jan	332.55	5.0
ELAZAR ADV	034	FFEX	B	30,431	8.44	n/a	n/a	1,521.55	5.0
ELAZAR ADV	034	FIX	B	43,117	12.35	n/a	n/a	2,155.85	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	FL	S	30,000	21.40	n/a	19-Jan	1,200.00	4.0
ELAZAR ADV	034	FWRD	B	11,887	30.48	n/a	n/a	594.35	5.0
CAPITAL GROWTH MGMT.	241	F	B	150,000	8.22	n/a	n/a	4,500.00	3.0
MKT MAKER	099	F	B	500	8.18	n/a	n/a	0.00	0.0
ELAZAR ADV	034	GES	S	1,864	71.54	n/a	n/a	93.20	5.0
MORGAN BANK /JPM	012	GE	S	12,444	36.39	n/a	n/a	373.32	3.0
DOUBLE V/PBX	044	GLW	S	30,000	21.45	n/a	24-Jan	1,500.00	5.0
ELAZAR ADV	034	GPS	B	4,500	19.14	n/a	24-Jan	225.00	5.0
BALYASNY ASSET MGMT	044	GS	S	5,000	219.22	13-Dec	16-Jan	250.00	5.0
ELAZAR ADV	034	GYMB	B	6,376	42.28	4-Jan	5-Jan	318.80	5.0
TRIBECA	038	HANS	B	6,800	37.27	n/a	n/a	272.00	4.0
NEUBERGER BERMAN	238	HRH	B	8,000	41.39	n/a	n/a	400.00	5.0
MAZAMA CAPITAL MGMT.	035	INT	S	40,000	44.01	n/a	n/a	1,600.00	4.0
MAGUIRE	090	IOWNC	B	75	2.79	n/a	n/a	0.00	0.0
ELAZAR ADV	034	JCG	B	9,947	36.74	25-Jan	25-Jan	497.35	5.0
WAGONHOUND	048	JCG	S	15,020	37.65	25-Jan	25-Jan	600.80	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
SOMERSET ADV	044	JJZ	S	202,613	12.30	n/a	n/a	6,078.39	3.0
CLOVIS CAPITAL	012	JNS	SS	118,000	20.94	1-Dec	25-Jan	5,900.00	5.0
DSM CAPITAL PARTNERS	032	JNS	B	9,400	21.58	1-Dec	25-Jan	564.00	6.0
KEEFE BRUYETTE WOODS A	031	JNS	B	19,900	21.30	1-Dec	25-Jan	995.00	5.0
SAGE ASSET MGMT	012	JNS	S	76,400	21.39	1-Dec	25-Jan	3,820.00	5.0
SSI INVESTORS	048	JNS	B	149,287	20.95	1-Dec	25-Jan	6,407.05	4.3
TILLDALE MGMT.	048	JNS	B	10,000	20.85	1-Dec	25-Jan	500.00	5.0
TRIBECA/DOUG	040	JNS	SS	10,000	20.83	1-Dec	25-Jan	400.00	4.0
TRUST CO/COWEN/CALF.	040	JPM	B	423,555	50.10	n/a	18-Jan	21,177.75	5.0
ELAZAR ADV	034	KBH	SS	8,638	51.87	n/a	n/a	431.90	5.0
MERRILL ASSET	032	KBW	B	28,000	29.80	n/a	n/a	1,400.00	5.0
U.S.TRUST COMPANY	012	KMT	B	10,000	59.39	n/a	n/a	450.00	4.5
TRIBECA	038	KO	B	8,600	47.95	n/a	n/a	344.00	4.0
CAPITAL GROWTH MGMT.	241	KR	B	40,000	24.33	n/a	n/a	2,000.00	5.0
BUCK CAPITAL MGMT	001	KSS	S	15,000	68.75	27-Dec	5-Jan	600.00	4.0
CUMBERLAND	038	KSS	B	25,000	69.47	27-Dec	5-Jan	1,000.00	4.0
CATAPULT	040	LF	B	25,000	10.11	n/a	n/a	1,250.00	5.0
BENCHMARK	028	LIZ	SS	10,000	43.41	n/a	27-Oct	500.00	5.0
BULL PATH CAPITAL	044	LM	SS	16,500	108.00	n/a	25-Jan	825.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
KEEFE BRUYETTE WOODS A	031	LM	SS	5,500	106.99	n/a	25-Jan	275.00	5.0
BUCK CAPITAL MGMT	001	LTD	B	10,000	28.30	5-Jan	5-Jan	400.00	4.0
COUGAR TRADING	044	LTD	S	5,000	28.00	5-Jan	5-Jan	250.00	5.0
DAVID J GREEN & CO	040	MRCY	B	300	13.00	n/a	n/a	16.00	5.3
KORMAN	003	MWRK	B	2,000	33.50	n/a	n/a	0.00	0.0
ZOLIDIS	011	MWRK	B	300	33.19	n/a	n/a	0.00	0.0
MAGUIRE	090	MZZ	B	2,000	60.52	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	040	NAV	SS	28,200	40.36	n/a	n/a	1,128.00	4.0
LEDDY	035	NEXC	B	1,200	8.86	n/a	n/a	0.00	0.0
SUTERA	160	NEXC	B	1,000	9.05	n/a	n/a	0.00	0.0
SUTERA	160	NLS	B	1,000	16.29	n/a	n/a	0.00	0.0
BARROW HANLEY MEWHINNEY	031	NOK	S	2,040,600	21.47	n/a	n/a	81,624.00	4.0
ELAZAR ADV	034	NTRI	S	1,453	60.91	n/a	n/a	72.65	5.0
ELAZAR ADV	034	NTY	B	4,889	46.58	n/a	n/a	244.45	5.0
FIRST NEW YORK LEDDY	035	NWY	B	10,000	15.28	1-Dec	10-Jan	600.00	6.0
U.S.TRUST COMPANY	012	OSK	B	20,000	50.48	n/a	n/a	900.00	4.5
S.A.C. CAPITAL MGMT.	044	PBG	S	25,000	31.72	n/a	n/a	1,250.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ELAZAR ADV	034	PBY	B	5,960	15.14	n/a	n/a	298.00	5.0
ELAZAR ADV	034	PCCC	B	50,040	15.92	n/a	n/a	2,502.00	5.0
KORMAN	003	PEET	B	2,000	25.62	n/a	n/a	0.00	0.0
BLUE HARBOR	036	PLCE	B	2,200	53.95	n/a	25-Jan	110.00	5.0
PECONIC PARTNERS	036	PLCE	S	100,000	53.63	n/a	25-Jan	5,000.00	5.0
BENNETT LAWRENCE	044	PMTC	B	3,450	19.37	n/a	n/a	172.50	5.0
BUCK CAPITAL MGMT	001	PVH	S	10,000	53.30	18-Jan	18-Jan	400.00	4.0
MAGUIRE	090	QID	B	1,000	52.32	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	238	RBA	B	6,100	57.33	n/a	n/a	305.00	5.0
NEUBERGER BERMAN	238	RBC	B	3,000	49.39	n/a	n/a	150.00	5.0
NEUBERGER BERMAN	238	RI	B	2,900	28.17	n/a	n/a	145.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	10,000	80.22	7-Dec	8-Jan	400.00	4.0
TRIBECA	038	ROST	B	5,200	31.73	n/a	n/a	208.00	4.0
ELAZAR ADV	034	SBUX	B	5,618	34.05	6-Oct	12-Dec	280.90	5.0
ELAZAR ADV	034	SBUX	SS	2,618	33.99	6-Oct	12-Dec	130.90	5.0
REICH AND TANG	037	SCDE	B	6,000	6.37	n/a	n/a	120.00	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HIGHBRIDGE CAPITAL	038	SIAL	B	10,000	37.98	3-Jan	22-Jan	500.00	5.0
NOLAN	067	SKNN	B	100	2.00	n/a	n/a	0.00	0.0
ELAZAR ADV	034	SKX	B	4,000	33.96	n/a	12-Jan	200.00	5.0
ELAZAR ADV	034	SPY	B	9,156	143.58	n/a	n/a	457.80	5.0
ELAZAR ADV	034	SPY	SS	4,578	143.06	n/a	n/a	228.90	5.0
BUCK CAPITAL MGMT	001	SSI	B	5,000	32.32	9-Jan	9-Jan	200.00	4.0
TRIBECA	038	SVU	B	11,400	36.22	n/a	n/a	456.00	4.0
WHEELER	008	TBAC	S	3,700	13.20	n/a	n/a	0.00	0.0
SAILFISH	037	TEVA	S	5,000	34.37	8-Nov	22-Jan	250.00	5.0
AVESTA CAP	229	TIF	S	25,000	39.07	n/a	11-Jan	1,250.00	5.0
ELAZAR ADV	034	TLB	B	4,000	23.27	10-Jan	10-Jan	200.00	5.0
ELAZAR ADV	034	TOL	SS	13,662	32.68	n/a	n/a	683.10	5.0
MAZAMA CAPITAL MGMT.	035	TWB	S	62,700	33.63	9-Jan	23-Jan	2,508.00	4.0
SUN AMERICA ASSET MGMT	030	TWB	B	30,800	33.56	9-Jan	23-Jan	1,540.00	5.0
ELAZAR ADV	034	URBN	B	3,500	23.87	7-Dec	5-Jan	175.00	5.0
DSM CAPITAL PARTNERS	032	VAR	B	5,000	44.91	n/a	n/a	300.00	6.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
ELAZAR ADV	034	VLCM	B	3,000	32.67	n/a	n/a	150.00	5.0
LUCHANSKY1	036	WCI	S	500	19.74	n/a	n/a	0.00	0.0
ELAZAR ADV	034	WMS	B	1,033	39.87	n/a	n/a	51.65	5.0
ELAZAR ADV	034	WMS	S	1,033	39.86	n/a	n/a	51.65	5.0
COUGAR TRADING	044	WRNC	B	5,000	27.51	18-Jan	18-Jan	250.00	5.0
FINANCIAL STOCK LP	028	WU	B	50,000	21.49	n/a	n/a	2,000.00	4.0
HUNTER GLOBAL INVESTOR	067	XLF	B	144,000	37.07	n/a	n/a	4,320.00	3.0
ELAZAR ADV	034	YUM	B	7,565	59.00	12-Dec	17-Jan	378.25	5.0
ELAZAR ADV	034	ZUMZ	B	3,500	33.26	n/a	n/a	175.00	5.0
TOTAL (Trade Date):								215,776.97	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	01/22	MERRILL ASSET (MERR45)	032	IO	B	20,000	12.98	n/a	n/a	1000.00 5.0
AO,CXL	01/22	MERRILL ASSET (MERR31)	032	IO	B	20,000	12.98	n/a	n/a	1000.00 5.0
AO,CXL	01/24	BROMPTON CROSS (BROMGS\$.04)	036	NVLS	SS	25,000	30.13	n/a	n/a	1000.00 4.0
AO,CRT	01/24	BROMPTON CROSS (BROMGS\$.04)	036	NVLS	SS	25,000	30.13	n/a	n/a	1000.00 4.0
AO,CXL	01/24	DSM CAPITAL PARTNERS (DSMCBS)	032	PACR	S	498	29.76	n/a	n/a	29.88 6.0
AO,CRT	01/24	DSM CAPITAL PARTNERS (DSMCBS)	032	PACR	S	498	29.76	n/a	n/a	29.88 6.0
AO,CXL	01/24	DSM CAPITAL PARTNERS (DSMCGREENW)	032	PACR	S	2,450	29.76	n/a	n/a	147.00 6.0
AO,CRT	01/24	DSM CAPITAL PARTNERS (DSMCGREENW)	032	PACR	S	2,450	29.76	n/a	n/a	147.00 6.0
AO,CXL	01/24	DSM CAPITAL PARTNERS (DSMCG.S. B)	032	PACR	S	13,500	29.76	n/a	n/a	810.00 6.0
AO,CRT	01/24	DSM CAPITAL PARTNERS (DSMCG.S. B)	032	PACR	S	13,500	29.76	n/a	n/a	810.00 6.0
AO,CXL	01/24	IMPALA ASSET MMT (IMPAGOLDMA)	012	RTI	B	102,900	78.58	n/a	n/a	3087.00 3.0
AO,	01/24	IMPALA ASSET MMT (IMPAGOLDMA)	012	RTI	B	102,900	78.58	n/a	n/a	3087.00 3.0
AO,CRT	01/24	MKT MAKER (MKT MAKER)	099	SSCC	S	66	11.15	26-Oct	25-Jan	0.00 0.0
AO,CXL	01/24	IRONBOUND CAPITAL (IROBGs)	012	SSCC	SS	19,700	11.15	26-Oct	25-Jan	591.00 3.0
AO,CRT	01/24	IRONBOUND CAPITAL (IROBGs)	012	SSCC	SS	19,700	11.15	26-Oct	25-Jan	591.00 3.0
AO,CRT	01/23	MKT MAKER (MKT MAKER)	099	TRMP	SS	100	17.55	n/a	n/a	0.00 0.0
AO,CXL	01/23	RIVER RUN MGMT (RIVEGSPB)	031	TRMP	SS	50,000	17.50	n/a	n/a	2500.00 5.0
AO,CRT	01/23	RIVER RUN MGMT (RIVEGSPB)	031	TRMP	SS	50,000	17.50	n/a	n/a	2500.00 5.0
AO,CXL	01/23	CHART WELL ADV (CHAT)	234	TWB	S	1,555	34.54	9-Jan	23-Jan	69.98 4.5
AO,CXL	01/23	CHART WELL ADV (CHAT382)	234	TWB	S	1,755	34.54	9-Jan	23-Jan	78.98 4.5
AO,	01/23	CHART WELL ADV (CHAT)	243	TWB	S	1,555	34.54	9-Jan	23-Jan	69.98 4.5

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	01/23	CHART WELL ADV (CHAT382)	243	TWB	S	1,755	34.54	9-Jan	23-Jan	78.98 4.5
TOTAL (AO, CXL, CRT) :										0.00
TOTAL (Trade Date) :										215,776.97
TOTAL (AO, CXL, CRT) :										0.00
TOTAL (RUN DATE) / NFS :										215,776.97

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION