

## **Daily Compliance Activity Report**

Trade Date: 04/24/2007

## **POTENTIAL ANALYST TRADE VIOLATIONS: 1**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	/Shr.
BUCK CAPITAL MGMT	001	AEO	S	5,800	30.50	4-Jan	13-Apr	232.00	4.0
CHARTER OAK MKT MAKER	033 <b>099</b>	AFFY <b>AFFY</b>	В <b>В</b>	2,100 <b>6</b>	33.64 <b>33.64</b>	n/a <b>n/a</b>	n/a <b>n/a</b>	105.00 <b>0.00</b>	5.0 <b>0.0</b>
CATAPULT	040	AGE	S	10,000	72.83	n/a	n/a	500.00	5.0
BALYASNY ASSET MGMT	044	AGIL	S	5,000	7.10	n/a	n/a	250.00	5.0
AMATURO	018	AMRN	s	15,000	0.75	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ANN	SS	5,000	38.15	5-Jan	13-Apr	250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	AVZ	S	150,000	23.08	5-Mar	23-Apr	5,625.00	3.8
WAGONHOUND	048	AXE	S	9,700	70.82	24-Apr	24-Apr	388.00	4.0
BEAR STEARNS ASSET MGMT.	033	ВА	S	95,900	93.69	n/a	n/a	3,836.00	4.0
BUCK CAPITAL MGMT	001	BBW	S	10,000	26.78	19-Mar	19-Mar	400.00	4.0
BUCK CAPITAL MGMT	001	BBY	S	3,000	48.62	13-Dec	5-Apr	120.00	4.0
BALYASNY ASSET MGMT	044	BELM	S	5,000	7.10	n/a	n/a	250.00	5.0
BALYASNY ASSET MGMT	044	BEN	В	20,000	129.00	10-Apr	23-Apr	1,000.00	5.0
BALYASNY ASSET MGMT	044	BE	В	10,000	7.40	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	:/Shr.
SUNOVA CAPITAL	031	BLK	В	36,800	151.99	n/a	23-Apr	5.00	0.0
ZOLIDIS	011	СВК	S	1,000	18.00	30-Mar	13-Apr	0.00	0.0
MCCARTAN	028	СВК	S	500	17.96	30-Mar	13-Apr	0.00	0.0
BALYASNY ASSET MGMT	044	CCI	В	2,500	34.77	n/a	n/a	125.00	5.0
IRIDIAN ASSET MGMT.	036	CEG	S	8,640	92.69	n/a	n/a	432.00	5.0
LEDDY	035	CFC	S	300	36.32	n/a	n/a	0.00	0.0
NEUBERGER BERMAN	036	CHD	S	29,900	51.23	7-Feb	7-Feb	1,495.00	5.0
LOOMIS SALES/BOSTON	036	CHS	В	45,000	25.96	27-Mar	13-Apr	1,800.00	4.0
SLS CAPITAL LLC	224	CLMS	В	15,900	23.66	11-Apr	23-Apr	795.00	5.0
WAGONHOUND	048	CLMS	В	10,000	23.37	11-Apr	23-Apr	400.00	4.0
CASTLEROCK	040	CMI	В	21,200	88.03	n/a	n/a	848.00	4.0
BUCK CAPITAL MGMT	001	СОН	В	70,000	51.23	23-Feb	20-Apr	2,800.00	4.0
WAGONHOUND	048	COST	S	11,900	54.15	n/a	12-Apr	476.00	4.0
PAKLER	N22	CPNLQ	S	763	3.63	n/a	8-Mar	0.00	0.0
LEDDY	035	CRI	S	400	25.21	n/a	n/a	0.00	0.0
PAKLER	N22	CROX	В	52	52.90	n/a	n/a	0.00	0.0

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	Shr.	
ZOLIDIS	011	CWTR	SS	500	21.58	n/a	n/a	0.00	0.0	
GRUSS CAPITAL	040	DEL	В	5,000	50.16	n/a	n/a	200.00	4.0	
BUCK CAPITAL MGMT	001	DKS	В	10,000	57.03	n/a	n/a	400.00	4.0	
GRISANTI BROWN & PARTNER	048	EMC	В	21,800	15.46	n/a	n/a	1,090.00	5.0	
BALYASNY ASSET MGMT	044	FII	S	60,000	38.69	n/a	23-Apr	3,000.00	5.0	
BUCK CAPITAL MGMT	001	FL	S	3,000	23.87	n/a	19-Mar	120.00	4.0	
BUCK CAPITAL MGMT	001	GM	SS	10,000	30.60	n/a	5-Apr	400.00	4.0	
COUGAR TRADING	044	GNTX	SS	5,000	18.46	n/a	24-Apr	250.00	5.0	
SLS CAPITAL LLC	224	GS	SS	58,800	221.30	13-Dec	16-Apr	2,940.00	5.0	
COUGAR TRADING	044	GYMB	SS	5,000	38.38	4-Jan	13-Apr	250.00	5.0	
MATTHES CAPITAL MGMT	033	HBI	В	5,000	28.26	n/a	n/a	200.00	4.0	
LOOMIS SALES/BOSTON	036	HD	В	452 <b>,</b> 927	39.29	n/a	1-Mar	18,117.08	4.0	
BLUE HARBOR	036	HIBB	В	100,000	29.54	n/a	n/a	5,000.00	5.0	
MKT MAKER	099	нот	В	3,300	68.50	n/a	n/a	0.00	0.0	
CATAPULT	040	HRB	В	5,000	22.47	n/a	n/a	250.00	5.0	
	ZOLIDIS  GRUSS CAPITAL  BUCK CAPITAL MGMT  GRISANTI BROWN & PARTNER  BALYASNY ASSET MGMT  BUCK CAPITAL MGMT  COUGAR TRADING  SLS CAPITAL LLC  COUGAR TRADING  MATTHES CAPITAL MGMT  LOOMIS SALES/BOSTON  BLUE HARBOR  MKT MAKER	ZOLIDIS  GRUSS CAPITAL  BUCK CAPITAL MGMT  GRISANTI BROWN & PARTNER  048  BALYASNY ASSET MGMT  001  BUCK CAPITAL MGMT  001  COUGAR TRADING  COUGAR TRADING  MATTHES CAPITAL MGMT  033  LOOMIS SALES/BOSTON  036  MKT MAKER  099	ZOLIDIS  GRUSS CAPITAL  BUCK CAPITAL MGMT  GRISANTI BROWN & PARTNER  BALYASNY ASSET MGMT  BUCK CAPITAL MGMT  O01  FL  BUCK CAPITAL MGMT  O01  GM  COUGAR TRADING  COUGAR TRADING  COUGAR TRADING  MATTHES CAPITAL MGMT  D03  HBI  LOOMIS SALES/BOSTON  BLUE HARBOR  MKT MAKER  O44  COUTAN  CO	ZOLIDIS  GRUSS CAPITAL  BUCK CAPITAL MGMT  GRISANTI BROWN & PARTNER  BALYASNY ASSET MGMT  BUCK CAPITAL MGMT  O01  BUCK CAPITAL MGMT  O01  FL  S  BUCK CAPITAL MGMT  O01  GM  SS  COUGAR TRADING  O44  GNTX  SS  COUGAR TRADING  O44  GYMB  SS  MATTHES CAPITAL MGMT  O36  HBI  BUCK CAPITAL MGMT  O36  HBB  BUCK CAPITAL MGMT  O36  BUCK CAPITAL MGMT  O37  BUCK CAPITAL MGMT  BUCK CAPITAL MGMT  O37  BUCK CAPITAL MGMT  O37  BUCK CAPITAL MGMT  BUCK CAPITAL MGMT  O44  BUCK CAPITAL MGMT  O44  BUCK CAPITAL MGMT  BUCK CAPITAL M	ZOLIDIS         011         CWTR         SS         500           GRUSS CAPITAL         040         DEL         B         5,000           BUCK CAPITAL MGMT         001         DKS         B         10,000           GRISANTI BROWN & PARTNER         048         EMC         B         21,800           BALYASNY ASSET MGMT         044         FII         S         60,000           BUCK CAPITAL MGMT         001         FL         S         3,000           BUCK CAPITAL MGMT         001         GM         SS         10,000           COUGAR TRADING         044         GNTX         SS         5,000           MATTHES CAPITAL LLC         224         GS         SS         58,800           COUGAR TRADING         044         GYMB         SS         5,000           MATTHES CAPITAL MGMT         033         HBI         B         5,000           LOOMIS SALES/BOSTON         036         HD         B         452,927           BLUE HARBOR         036         HIBB         B         100,000           MKT MAKER         099         HOT         B         3,300	ZOLIDIS         011         CWTR         SS         500         21.58           GRUSS CAPITAL         040         DEL         B         5,000         50.16           BUCK CAPITAL MGMT         001         DKS         B         10,000         57.03           GRISANTI BROWN & PARTNER         048         EMC         B         21,800         15.46           BALYASNY ASSET MGMT         044         FII         S         60,000         38.69           BUCK CAPITAL MGMT         001         FL         S         3,000         23.87           BUCK CAPITAL MGMT         001         GM         SS         10,000         30.60           COUGAR TRADING         044         GNTX         SS         5,000         18.46           SLS CAPITAL LLC         224         GS         SS         58,800         221.30           COUGAR TRADING         044         GYMB         SS         5,000         38.38           MATTHES CAPITAL MGMT         033         HBI         B         5,000         28.26           LOOMIS SALES/BOSTON         036         HD         B         452,927         39.29           BULE HARBOR         036         HIBB         B <t< td=""><td>ZOLIDIS         011         CWTR         SS         500         21.58         n/a           GRUSS CAPITAL         040         DEL         B         5,000         50.16         n/a           BUCK CAPITAL MGMT         001         DKS         B         10,000         57.03         n/a           GRISANTI BROWN &amp; PARTNER         048         EMC         B         21,800         15.46         n/a           BALYASNY ASSET MGMT         044         FII         S         60,000         38.69         n/a           BUCK CAPITAL MGMT         001         FL         S         3,000         23.87         n/a           BUCK CAPITAL MGMT         001         GM         SS         10,000         30.60         n/a           COUGAR TRADING         044         GNTX         SS         5,000         18.46         n/a           MATTHES CAPITAL MGMT         033         HBI         B         5,000         28.26         n/a           LOOMIS SALES/BOSTON         036         HD         B         452,927         39.29         n/a           MKT MAKER         099         HOT         B         3,300         68.50         n/a</td><td>ZOLIDIS         011         CWTR         SS         500         21.58         n/a         n/a           GRUSS CAPITAL         040         DEL         B         5,000         50.16         n/a         n/a           BUCK CAPITAL MGMT         001         DKS         B         10,000         57.03         n/a         n/a           GRISANTI BROWN 6 PARTNER         048         EMC         B         21,800         15.46         n/a         n/a           BUCK CAPITAL MGMT         044         FII         S         60,000         38.69         n/a         23-Apr           BUCK CAPITAL MGMT         001         GM         SS         10,000         30.60         n/a         5-Apr           COUGAR TRADING         044         GNTX         SS         5,000         18.46         n/a         24-Apr           SLS CAPITAL LLC         224         GS         SS         58,000         221.30         13-Dec         16-Apr           MATTHES CAPITAL MGMT         033         HBI         B         5,000         38.38         4-Jan         13-Apr           MATTHES CAPITAL MGMT         036         HD         B         452,927         39.29         n/a         1-Mar</td><td>ZOLIDIS         011         CWTR         SS         500         21.58         n/a         n/a         0.00           GRUSS CAPITAL         040         DEL         B         5,000         50.16         n/a         n/a         200.00           BUCK CAPITAL MGMT         001         DKS         B         10,000         57.03         n/a         n/a         400.00           GRISANTI BROWN &amp; FARTNER         048         EMC         B         21,800         15.46         n/a         n/a         1,090.00           BALYASNY ASSET MGMT         044         FIT         S         60,000         38.69         n/a         23-Apr         3,000.00           BUCK CAPITAL MGMT         001         GM         SS         10,000         30.60         n/a         19-Max         120.00           BUCK CAPITAL MGMT         001         GM         SS         5,000         18.46         n/a         24-Apr         250.00           SLS CAPITAL LLC         224         GS         SS         58,800         221.30         13-Dec         16-Apr         2,940.00           COUGAR TRADING         044         GYMB         SS         5,000         38.38         4-Jan         13-Apr         2</td><td>ZOLIDIS         011         CWTR         SS         500         21.58         n/a         n/a         0.00         0.00           GRUSS CAPITAL         040         DEL         B         5,000         50.16         n/a         n/a         200.00         4.0           BUCK CAPITAL MGMT         001         DKS         B         10,000         57.03         n/a         n/a         400.00         4.0           CRISANTI BROWN &amp; PARTINER         048         EMC         B         21,800         15.46         n/a         n/a         1,090.00         5.0           BALYASHY ASSET MGMT         044         FII         S         60,000         38.69         n/a         23-Apr         3,000.00         5.0           BUCK CAPITAL MGMT         001         FL         S         3,000         23.87         n/a         19-Mar         120.00         4.0           COUGAR TRADING         044         GNTX         SS         5,000         18.46         n/a         24-Apr         250.00         5.0           SLS CAPITAL MGMT         224         GS         SS         59,800         221.30         13-Dec         16-Apr         2,940.00         5.0           COUGAR TRADING</td></t<>	ZOLIDIS         011         CWTR         SS         500         21.58         n/a           GRUSS CAPITAL         040         DEL         B         5,000         50.16         n/a           BUCK CAPITAL MGMT         001         DKS         B         10,000         57.03         n/a           GRISANTI BROWN & PARTNER         048         EMC         B         21,800         15.46         n/a           BALYASNY ASSET MGMT         044         FII         S         60,000         38.69         n/a           BUCK CAPITAL MGMT         001         FL         S         3,000         23.87         n/a           BUCK CAPITAL MGMT         001         GM         SS         10,000         30.60         n/a           COUGAR TRADING         044         GNTX         SS         5,000         18.46         n/a           MATTHES CAPITAL MGMT         033         HBI         B         5,000         28.26         n/a           LOOMIS SALES/BOSTON         036         HD         B         452,927         39.29         n/a           MKT MAKER         099         HOT         B         3,300         68.50         n/a	ZOLIDIS         011         CWTR         SS         500         21.58         n/a         n/a           GRUSS CAPITAL         040         DEL         B         5,000         50.16         n/a         n/a           BUCK CAPITAL MGMT         001         DKS         B         10,000         57.03         n/a         n/a           GRISANTI BROWN 6 PARTNER         048         EMC         B         21,800         15.46         n/a         n/a           BUCK CAPITAL MGMT         044         FII         S         60,000         38.69         n/a         23-Apr           BUCK CAPITAL MGMT         001         GM         SS         10,000         30.60         n/a         5-Apr           COUGAR TRADING         044         GNTX         SS         5,000         18.46         n/a         24-Apr           SLS CAPITAL LLC         224         GS         SS         58,000         221.30         13-Dec         16-Apr           MATTHES CAPITAL MGMT         033         HBI         B         5,000         38.38         4-Jan         13-Apr           MATTHES CAPITAL MGMT         036         HD         B         452,927         39.29         n/a         1-Mar	ZOLIDIS         011         CWTR         SS         500         21.58         n/a         n/a         0.00           GRUSS CAPITAL         040         DEL         B         5,000         50.16         n/a         n/a         200.00           BUCK CAPITAL MGMT         001         DKS         B         10,000         57.03         n/a         n/a         400.00           GRISANTI BROWN & FARTNER         048         EMC         B         21,800         15.46         n/a         n/a         1,090.00           BALYASNY ASSET MGMT         044         FIT         S         60,000         38.69         n/a         23-Apr         3,000.00           BUCK CAPITAL MGMT         001         GM         SS         10,000         30.60         n/a         19-Max         120.00           BUCK CAPITAL MGMT         001         GM         SS         5,000         18.46         n/a         24-Apr         250.00           SLS CAPITAL LLC         224         GS         SS         58,800         221.30         13-Dec         16-Apr         2,940.00           COUGAR TRADING         044         GYMB         SS         5,000         38.38         4-Jan         13-Apr         2	ZOLIDIS         011         CWTR         SS         500         21.58         n/a         n/a         0.00         0.00           GRUSS CAPITAL         040         DEL         B         5,000         50.16         n/a         n/a         200.00         4.0           BUCK CAPITAL MGMT         001         DKS         B         10,000         57.03         n/a         n/a         400.00         4.0           CRISANTI BROWN & PARTINER         048         EMC         B         21,800         15.46         n/a         n/a         1,090.00         5.0           BALYASHY ASSET MGMT         044         FII         S         60,000         38.69         n/a         23-Apr         3,000.00         5.0           BUCK CAPITAL MGMT         001         FL         S         3,000         23.87         n/a         19-Mar         120.00         4.0           COUGAR TRADING         044         GNTX         SS         5,000         18.46         n/a         24-Apr         250.00         5.0           SLS CAPITAL MGMT         224         GS         SS         59,800         221.30         13-Dec         16-Apr         2,940.00         5.0           COUGAR TRADING

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢	Shr.	
BALYASNY ASSET MGMT	044	INPC	В	2,500	9.77	n/a	n/a	125.00	5.0	
LOOMIS SALES/BOSTON	036	JCP	В	1,200	81.50	20-Apr	20-Apr	48.00	4.0	
NOLAN	067	JDSU	В	40	15.75	n/a	n/a	0.00	0.0	
CATAPULT	040	JNC	S	6,400	50.88	n/a	23-Apr	320.00	5.0	
MAGNETAR FINANCIAL	247	KELYA	В	25,000	29.54	n/a	n/a	1,000.00	4.0	
ROTHSCHILD ASSET	040	KEY	S	23,040	36.28	n/a	n/a	1,036.80	4.5	
MAGUIRE BARR	090 096	KOG KOG	B B	5,000 5,000	4.98 4.97	n/a n/a	n/a n/a	0.00	0.0	
WOOSTER CAPITAL	035	LM	SS	50,000	97.16	10-Apr	23-Apr	2,500.00	5.0	
JACOB	068	LNCR	S	400	39.02	21-Dec	24-Apr	0.00	0.0	
DAVIDSON KEMPNER ADVISOR	217	MEDI	В	200,000	56.72	n/a	n/a	8,000.00	4.0	
WASHINGTON ASSET	048	MWA	S	15,000	14.35	n/a	n/a	750.00	5.0	
BUCK CAPITAL MGMT	001	NDN	S	12,100	14.59	16-Jan	12-Apr	484.00	4.0	
BALYASNY ASSET MGMT	044	NSR	В	5,000	29.10	n/a	n/a	250.00	5.0	
BARR	096	NXG	В	5,000	3.70	n/a	n/a	0.00	0.0	
MERRILL ASSET	032	OHI	В	6,400	17.90	n/a	n/a	288.00	4.5	

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Account	RR #	Symbol	в/:	s	Shares	Price	MRI	Research	Comm.	\$/Shr.	
MERRILL LYNCH STRATEGICI	034	OI	В		16,500	26.24	n/a	n/a	825.00	5.0	
GRUSS CAPITAL	040	PCL	В		5,000	40.33	n/a	24-Apr	200.00	4.0	
LEDDY	035	PCL		S	1,000	40.25	n/a	24-Apr	0.00	0.0	
JACOB	068	PCL		S	400	40.24	n/a	24-Apr	0.00	0.0	
AMATURO	018	PFE		S	1,000	26.23	n/a	31-Mar	0.00	0.0	
XYLEM GLOBAL	091	PKG	В		85,700	24.59	n/a	18-Apr	4,285.00	5.0	
COUGAR TRADING	044	PLCE		S	5,000	51.07	12-Apr	13-Apr	250.00	5.0	
SATELLITE ASSET MGMT	012	PLCE	В		30,000	51.06	12-Apr	13-Apr	1,500.00	5.0	
BELMONT GLOBAL ADV	248	POL	В		7,600	6.27	n/a	n/a	380.00	5.0	
BUCK CAPITAL MGMT	001	PVH	В		15,000	57.34	28-Mar	28-Mar	600.00	4.0	
FRIESS MGMT	042	PVH	В		62,300	57.74	28-Mar	28-Mar	2,802.90	4.5	
BUCK CAPITAL MGMT	001	RL		s	10,000	96.57	2-Feb	13-Apr	400.00	4.0	
NEUBERGER BERMAN	036	ROL	В		16,600	23.11	n/a	n/a	830.00	5.0	
SNYDER CAPITAL	038	RRD		S	71,700	41.93	n/a	n/a	2,868.00	4.0	
SYND	038	RRD		S	500	41.93	n/a	n/a	20.00	4.0	
NEUBERGER BERMAN	036	SFY		S	39,200	41.16	n/a	n/a	1,960.00	5.0	
BEAR STEARNS ASSET MGMT.	033	SLB	С		25,000	74.07	n/a	n/a	1,000.00	4.0	
COUGAR TRADING	044	SMRT		SS	10,000	17.04	n/a	n/a	500.00	5.0	

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢	:/Shr.	
CATAPULT	040	TCB	В	15,000	25.87	n/a	n/a	750.00	5.0	
SATELLITE ASSET MGMT	012	TGT	В	20,000	59.99	n/a	24-Apr	800.00	4.0	
LISTED ERR	099	TGT	В	20,000	59.94	n/a	24-Apr	800.00	4.0	
LISTED ERR	099	TGT	S	20,000	59.93	n/a	24-Apr	0.00	0.0	
BUCK CAPITAL MGMT	001	TLB	S	10,000	24.19	10-Jan	8-Mar	400.00	4.0	
BALYASNY ASSET MGMT	044	TSM	В	50,000	10.79	n/a	n/a	2,500.00	5.0	
BARR	096	TWM	S	400	63.63	n/a	n/a	0.00	0.0	
SAVANNAH-BALTIMORE	012	Т	SS	80,000	39.34	26-Jan	24-Apr	4,000.00	5.0	
BUCK CAPITAL MGMT	001	UAG	В	10,000	20.96	n/a	13-Apr	400.00	4.0	
FRIESS MGMT	042	URBN	В	391,400	24.82	2-Apr	2-Apr	17,613.00	4.5	
COLONIAL FUND LLC	036	USG	SS	25,000	46.96	17-Apr	18-Apr	1,250.00	5.0	
FRONTPOINT	044	VLO	В	50,000	68.46	n/a	n/a	2,500.00	5.0	
GLG PARTNERS	034	WEN	SS	50,000	32.12	24-Apr	24-Apr	2,500.00	5.0	
XYLEM GLOBAL	091	WY	В	10,000	76.47	15-Dec	18-Apr	500.00	5.0	
KORMAN	003	YTEC	В	2,000	8.53	n/a	n/a	0.00	0.0	
CATAPULT	040	ZION	SS	5,000	82.06	n/a	n/a	250.00	5.0	

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
BUCK CAPITAL MGMT	001	ZQK	S	15,000	13.31	9-Mar	17-Apr	600.00 4.0
OTAL (Trade Date):							1:	22,934.78

## Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr
AO,CXL	04/19	REICH AND TANG (RICHMCNEIL)	067	IMN	В	700	36.87	n/a	n/a	0.00	0.0
AO, CRT	04/20	MKT MAKER (MKT MAKER)	099	SYY	В	50	33.59	24-Apr	24-Apr	0.00	0.0
AO,	04/20	LISTED ERR (LISTED ERR)	099	SYY	В	3,200	33.59	24-Apr	24-Apr	0.00	0.0
AO,	04/20	LISTED ERR (LISTED ERR)	099	SYY	S	3,200	33.59	24-Apr	24-Apr	0.00	0.0
CRT	04/24	LISTED ERR (LISTED ERR)	099	TGT	В	20,000	59.94	n/a	24-Apr	800.00	4.0
AO, CRT	04/23	NCM CAPITAL MGMT (NCMC584PEN)	035	WAG	В	4,050	45.93	n/a	n/a	202.50	5.0
AO, CRT	04/23	NCM CAPITAL MGMT (NCMC803PEN)	035	WAG	В	3,650	45.93	n/a	n/a	182.50	5.0
	04/23	NCM CAPITAL MGMT (NCMC803MID)	035	WAG	В	3,650	45.93	n/a	n/a	182.50	5.0
AO,CXL			035	WAG	В	4,050	45.93	n/a	n/a	202.50	5.0

TOTAL (AO, CXL, CRT):	800.00
TOTAL (AO, CXL, CRT):	122,934.78 800.00

## Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION