



Daily Compliance Activity Report

Trade Date: 12/28/2006

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT		ACMR	S	25,400	21.95	n/a	n/a	non-brg	5.0
BINDER	007	ACMR	SS	300	21.89	n/a	n/a	0.00	0.0
LATEFF MGMT	040	ADM	B	2,500	32.53	n/a	n/a	450.00	18.0
BUCK CAPITAL MGMT		ADTN	C	25,000	22.51	n/a	22-Mar	non-brg	5.0
IVORY CAPITAL	034	AMR	B	305,300	30.68	n/a	n/a	15,265.00	5.0
BUCK CAPITAL MGMT	001	ANF	B	30,000	70.61	3-Nov	1-Dec	1,200.00	4.0
BUCK CAPITAL MGMT		APC	S	12,700	43.95	1-Sep	20-Dec	non-brg	5.0
CAPITAL GROWTH MGMT.	241	APH	B	8,500	63.09	n/a	n/a	425.00	5.0
BINDER	007	AUO	S	400	13.69	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	BBH	S	100	182.54	n/a	n/a	5.00	5.0
BINDER	007	BDK	C	100	78.76	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		BEBE	B	10,000	19.95	n/a	n/a	non-brg	5.0
BUCK CAPITAL MGMT		BKRS	S	12,300	9.52	n/a	n/a	non-brg	2.0
EFSTATHIOU	044	BK	S	91	39.84	5-Dec	12-Dec	0.00	0.0
BUCK CAPITAL MGMT		BONT	B	40,000	35.00	23-Oct	1-Dec	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
XYLEM GLOBAL	024	BOW	SS	25,000	22.57	27-Oct	14-Dec	1,250.00	5.0
PATTEN & PATTEN	067	CBT	S	200	43.58	2-Nov	2-Nov	10.00	5.0
FRIESS MGMT	042	CHK	B	75,000	29.34	n/a	n/a	3,375.00	4.5
ß BUCK CAPITAL MGMT		CMRG	B	10,000	13.13	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		COLM	SS	25,000	56.26	n/a	n/a	non-brg	5.0
APEX CAPITAL MGMT.	002	CPWM	B	26,500	9.99	n/a	n/a	1,060.00	4.0
BUCK CAPITAL MGMT	001	CRI	B	20,000	25.55	n/a	n/a	800.00	4.0
ß BUCK CAPITAL MGMT		CROX	B	25,000	42.78	n/a	n/a	non-brg	5.0
PATTEN & PATTEN	067	CVS	S	500	31.16	n/a	n/a	25.00	5.0
ß BUCK CAPITAL MGMT		DBRN	S	40,000	23.45	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DDS	SS	20,000	35.09	n/a	n/a	non-brg	5.0
ß BUCK CAPITAL MGMT		DIA	C	16,200	124.84	n/a	n/a	non-brg	1.5
FRIESS MGMT	042	DIS	S	168,100	34.65	n/a	n/a	7,564.50	4.5
ß BUCK CAPITAL MGMT		DKS	S	35,700	48.62	n/a	n/a	non-brg	5.0
PROSPECTOR PARTNERS LLC	036	DR	SS	6,100	23.20	n/a	n/a	305.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
STRAUSS ASSET MGMT	040	EMU	B	7,500	8.20	n/a	n/a	225.00	3.0
PORTER-ORLIN-FELDMAN	044	ESRX	S	6,000	72.27	n/a	n/a	300.00	5.0
CAPITAL GROWTH MGMT.	241	FDX	S	55,000	107.60	n/a	n/a	2,750.00	5.0
PORTER-ORLIN-FELDMAN	044	FIS	S	30,000	40.03	n/a	n/a	1,500.00	5.0
BARR	096	FLT	S	6,000	1.10	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		FL	B	10,000	21.84	n/a	7-Dec	non-brg	5.0
MKT MAKER	099	FOSL	SS	83	23.05	15-Nov	15-Nov	0.00	0.0
FRIESS MGMT	042	FTI	B	47,200	62.43	n/a	n/a	2,124.00	4.5
PORTER-ORLIN-FELDMAN	044	GENZ	S	25,200	61.65	n/a	n/a	1,260.00	5.0
BONANZA PARTNERS	031	GIII	SS	30,300	19.09	n/a	n/a	0.00	0.0
PORTER-ORLIN-FELDMAN	044	GILD	S	5,000	65.26	n/a	n/a	250.00	5.0
B BUCK CAPITAL MGMT		GLW	S	25,100	18.80	n/a	20-Dec	non-brg	5.0
PATTEN & PATTEN	067	GLW	S	200	18.76	n/a	20-Dec	10.00	5.0
EFSTATHIOU	044	GLW	B	200	18.92	n/a	20-Dec	0.00	0.0
FRIESS MGMT	042	GRP	B	96,800	40.43	n/a	n/a	4,356.00	4.5
APEX CAPITAL MGMT.	002	GYMB	B	35,800	38.37	1-Dec	1-Dec	1,790.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GASNER	025	HBP	B	2,000	5.22	n/a	n/a	0.00	0.0
LEDDY	035	HLYS	S	1,600	32.70	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	HST	S	500	24.31	n/a	n/a	25.00	5.0
PATTEN & PATTEN	067	IBB	S	500	78.09	n/a	n/a	25.00	5.0
MAGUIRE	090	IRIX	S	7,300	9.21	n/a	n/a	0.00	0.0
RIVER RUN MGMT	031	ITCD	B	5,000	2.57	n/a	n/a	150.00	3.0
B BUCK CAPITAL MGMT		IWM	C	12,800	79.00	n/a	n/a	non-brg	1.5
B BUCK CAPITAL MGMT		JCP	S	25,000	78.34	10-Nov	1-Dec	non-brg	5.0
BUCK CAPITAL MGMT	001	JNY	SS	6,200	33.50	n/a	26-Oct	248.00	4.0
B BUCK CAPITAL MGMT		JOSB	C	10,000	29.32	n/a	n/a	non-brg	5.0
BINDER	007	JWN	SS	100	48.26	11-Dec	11-Dec	0.00	0.0
BUCK CAPITAL MGMT	001	KSS	S	17,200	69.39	27-Dec	27-Dec	688.00	4.0
CUMBERLAND	038	KSS	SS	10,000	68.73	27-Dec	27-Dec	400.00	4.0
B BUCK CAPITAL MGMT		LAMR	B	15,000	65.16	n/a	n/a	non-brg	1.5
APEX CAPITAL MGMT.	002	LCC	B	50,000	55.13	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	43.45	n/a	27-Oct	400.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
PATTEN & PATTEN	067	LOW	S	400	31.13	n/a	21-Nov	20.00	5.0
⌘ BUCK CAPITAL MGMT		LTD	S	150,000	29.38	1-Dec	1-Dec	non-brg	5.0
⌘ BUCK CAPITAL MGMT		LTD	S	195,000	29.41	1-Dec	1-Dec	non-brg	2.0
⌘ BUCK CAPITAL MGMT		LTD	S	80,000	29.44	1-Dec	1-Dec	non-brg	5.0
BUCK CAPITAL MGMT	001	LTM	B	10,000	49.33	n/a	n/a	400.00	4.0
CIRCLE T PART	035	MCD	B	25,000	44.25	12-Oct	12-Dec	1,000.00	4.0
PATTEN & PATTEN	067	MDT	S	500	52.83	n/a	22-Apr	25.00	5.0
⌘ BUCK CAPITAL MGMT		MVRX	SS	41,700	188.20	n/a	n/a	non-brg	18.8
PATTEN & PATTEN	067	NEM	B	1,400	45.42	n/a	n/a	70.00	5.0
APEX CAPITAL MGMT.	002	NEW	B	20,000	31.82	n/a	n/a	1,000.00	5.0
⌘ BUCK CAPITAL MGMT		NLS	B	10,000	14.06	n/a	n/a	non-brg	5.0
FRIESS MGMT	042	ORCL	B	248,000	17.17	n/a	n/a	11,160.00	4.5
LEDDY	035	PFE	B	1,000	25.95	n/a	31-Mar	0.00	0.0
PATTEN & PATTEN	067	PHO	B	1,600	18.52	n/a	n/a	80.00	5.0
ASCEND PARTNERS	033	PVH	S	19,800	50.87	22-Nov	22-Nov	792.00	4.0
⌘ BUCK CAPITAL MGMT		PVH	S	3,000	50.52	22-Nov	22-Nov	non-brg	1.5
EFSTATHIOU	044	RCKY	S	300	15.98	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT		RL	B	500	79.17	7-Dec	7-Dec	non-brg	1.5
BUCK CAPITAL MGMT		RL	S	500	79.20	7-Dec	7-Dec	non-brg	1.5
BUCK CAPITAL MGMT		ROST	SS	25,000	29.76	n/a	n/a	non-brg	5.0
FRIESS MGMT	042	ROST	B	99,100	29.75	n/a	n/a	4,459.50	4.5
BUCK CAPITAL MGMT		RTH	SS	45,900	99.52	n/a	n/a	non-brg	1.5
BUCK CAPITAL MGMT		SKNN	B	50,000	2.68	n/a	n/a	non-brg	2.0
FRIESS MGMT	042	SKX	B	64,100	33.33	n/a	7-Dec	2,884.50	4.5
PATTEN & PATTEN	067	SLB	B	1,000	63.26	n/a	n/a	50.00	5.0
CROWLEY	061	SOLUQ	S	10,000	0.67	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT		SPY	C	7,100	142.37	n/a	n/a	non-brg	1.5
CHILTON CAPITAL MGMT.	036	SWY	S	100,000	35.06	n/a	n/a	1.40	0.0
APEX CAPITAL MGMT.	002	TEX	SS	50,000	65.46	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT		TJX	C	15,000	28.93	6-Oct	1-Dec	non-brg	5.0
STERLING JOHNSTON	035	TOMO	S	20,000	15.41	n/a	n/a	1,000.00	5.0
BUCK CAPITAL MGMT		TRI	S	14,000	41.95	17-Oct	22-Dec	non-brg	5.0
BUCK CAPITAL MGMT		URBN	B	40,000	23.29	7-Dec	11-Dec	non-brg	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		VIRL	S	7,000	9.42	n/a	n/a	non-brg	2.0
PATTEN & PATTEN	067	WAG	B	325	46.08	n/a	n/a	16.25	5.0
LUCHANSKY	036	WCI	B	90	18.83	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	WFR	B	150	39.59	n/a	n/a	7.50	5.0
BINDER	007	WLV	B	500	1.25	n/a	n/a	0.00	0.0
UBS OCONNOR	031	WMT	B	50,000	46.07	5-Oct	1-Dec	2,500.00	5.0
PATTEN & PATTEN	067	WYE	B	600	50.97	n/a	31-Mar	30.00	5.0
XYLEM GLOBAL	024	WY	B	25,000	71.23	15-Dec	15-Dec	1,250.00	5.0
⌘ BUCK CAPITAL MGMT		ZUMZ	B	25,000	29.52	n/a	n/a	non-brg	5.0
TOTAL (Trade Date):								79,981.65	

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	12/27	WEISS PECK	040	AEOS	B	19,400	31.25	15-Nov	5-Dec	970.00 5.0
AO,	12/27	UBS OCONNOR	031	CC	B	20,000	19.74	20-Dec	20-Dec	1000.00 5.0
AO,CRT	12/27	MKT MAKER	099	DR	S	200	23.49	n/a	n/a	0.00 0.0
AO,CXL	12/27	MKT MAKER	099	DR	S	200	23.49	n/a	n/a	0.00 0.0
AO,CRT	12/27	MKT MAKER	099	DR	SS	39	23.30	n/a	n/a	0.00 0.0
AO,CRT	12/27	MKT MAKER	097	HLYS	S	900	31.59	n/a	n/a	0.00 0.0
AO,CRT	12/27	TRIBECA	038	NCC	SS	10,000	37.25	n/a	n/a	400.00 4.0
AO,CXL	12/27	TRIBECA	038	NCC	SS	10,000	37.25	n/a	n/a	500.00 5.0
AO,CRT	12/27	CIRCLE T PART	035	ODP	SS	20,000	38.92	n/a	1-Dec	800.00 4.0
AO,CXL	12/27	CIRCLE T PART	035	ODP	SS	20,000	38.92	n/a	1-Dec	1000.00 5.0
AO,CRT	12/27	SEAROCK CAPITAL MANAGE	012	VFC	SS	40,100	82.25	n/a	n/a	1604.00 4.0
AO,CXL	12/27	SEAROCK CAPITAL MANAGE	012	VFC	SS	40,100	82.25	n/a	n/a	0.00 0.0
TOTAL (AO, CXL, CRT) :										3,274.00

TOTAL (Trade Date):	79,981.65
TOTAL (AO, CXL, CRT):	3,274.00

TOTAL (RUN DATE) / NFS:

83,255.65

MRI Securities with Trade Activity on 28-Dec.
NONE

MRI Securities without Trade Activity on 28-Dec.
NONE

BRG comm. as a % of BCM Total Comm. paid.
T-Date = 7%
YTD = 23%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION