

## **Daily Compliance Activity Report** Trade Date: 12/11/2006

## **POTENTIAL ANALYST TRADE VIOLATIONS: 0**

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Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
PATTEN & PATTEN	067	AAPL	В	350	88.85	n/a	n/a	17.50	5.0
PATTEN & PATTEN	067	AA	S	800	31.05	n/a	n/a	40.00	5.0
PRINCIPLE CAPITAL	040	AEOS	В	29,400	47.70	15-Nov	5-Dec	1,176.00	4.0
MKT MAKER	099	AMRN	В	101	2.24	n/a	n/a	0.00	0.0
COUGAR TRADING	044	ANN	В	10,000	33.95	n/a	1-Dec	500.00	5.0
DELAWARE MANAGEMENT	243	ARRS	S	49,000	12.10	n/a	n/a	1,960.00	4.0
GAULT	063	AVRX	S	2,000	4.14	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	ВВН	S	200	188.24	n/a	n/a	10.00	5.0
COOKBIELER	033	BIG	S	237,100	23.43	16-Nov	1-Dec	9,484.00	4.0
SAILFISH	037	BNI	SS	15,000	75.82	n/a	n/a	750.00	5.0
SAILFISH	037	CAT	SS	10,000	63.13	n/a	n/a	500.00	5.0
STERLING JOHNSTON	035	CBK	S	46,150	18.79	11-Dec	11-Dec	2,307.50	5.0
PATTEN & PATTEN	067	CBT	S	1,500	42.28	2-Nov	2-Nov	75.00	5.0
CRAMER ROSENTHAL/SCOTT	012	CLE	В	41,900	34.31	3-Nov	1-Dec	2,095.00	5.0

	Account	RR #	Symbol	В/	s	Shares	Price	MRI	Research	Comm.	Shr.
	BUCK CAPITAL MGMT	001	СОН	В		90,000	42.90	7-Dec	7-Dec	3,600.00	4.0
	MERRILL ASSET	032	DEI		S	30,100	26.13	n/a	n/a	1,505.00	5.0
	GRANAHAN INV	039	DLTR		S	75 <b>,</b> 000	30.38	21-Nov	1-Dec	3,000.00	4.0
	PATTEN & PATTEN	067	DOW		S	350	40.14	n/a	26-Oct	17.50	5.0
	MERRILL ASSET	032	DRC		S	18,200	25.69	n/a	n/a	910.00	5.0
	LEEDS	002	EDGR	В		10,000	3.18	n/a	n/a	0.00	0.0
õ õ	Glenn Redemann Glenn Redemann		ERTS ERTS		s s	10 7	2.15	n/a n/a	n/a n/a	0.00	0.0
	MAGNETAR FINANCIAL	247	EVC		S	26,000	7.83	n/a	n/a	1,040.00	4.0
	PATTEN & PATTEN	067	EWJ	В		5,700	14.09	n/a	n/a	285.00	5.0
	PRINCIPLE CAPITAL	040	FD		S	45,000	39.55	9-Nov	5-Dec	1,800.00	4.0
	LOOMIS SALES/BOSTON	036	GIL	В		5,800	50.00	n/a	n/a	290.00	5.0
	PATTEN & PATTEN	067	HD		S	50	38.62	n/a	15-Nov	2.50	5.0
	TRIBECA	038	HET	В		3,900	79.79	3-0ct	26-Oct	156.00	4.0
	CAXTON PART	040	HIG		S	60,000	88.12	n/a	n/a	2,400.00	4.0
	BELMONT GLOBAL ADV	248	HLYS	В		7,000	30.54	n/a	n/a	350.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
LEDDY	035	HLYS	В	400	30.42	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	IAR	S	40	27.18	n/a	n/a	2.00	5.0
OTC ERROR	099	ITCD	В	5,000	2.55	n/a	n/a	0.00	0.0
PATTEN & PATTEN	067	JNJ	S	500	65.64	n/a	22-Apr	25.00	5.0
SUFFOLK CAPITAL MGMT	040	KO	В	175,000	48.72	n/a	n/a	7,000.00	4.0
TRIBECA	038	KO	В	9,500	48.65	n/a	n/a	380.00	4.0
TRIBECA	038	KR	В	8,800	23.70	n/a	n/a	352.00	4.0
CAPITAL GROWTH MGMT.	241	LCC	S	60,000	60.63	n/a	n/a	3,000.00	5.0
KORMAN	003	LNCR	В	3,000	39.05	2-Nov	8-Dec	0.00	0.0
TRIBECA	038	MAR	SS	7,900	46.11	n/a	n/a	316.00	4.0
PATTEN & PATTEN	067	MDT	S	100	53.89	n/a	22-Apr	5.00	5.0
FORD FDN.	032	MRK	S	80,000	44.07	n/a	n/a	4,000.00	5.0
FRONTPOINT	044	NBL	SS	167,000	52.15	n/a	n/a	8,350.00	5.0
PATTEN & PATTEN	067	NEM	В	1,700	47.56	n/a	n/a	85.00	5.0
TRIBECA	038	NKE	SS	3,100	97.27	n/a	n/a	124.00	4.0
PATTEN & PATTEN	067	NOK	S	200	20.61	n/a	n/a	10.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	\$/Shr.
PILOT ROCK	028	NRG	S	50,000	57.95	n/a	n/a	2,000.00	4.0
PATTEN & PATTEN	067	NSC	S	50	50.98	n/a	n/a	2.50	5.0
KEEFE BRUYETTE WOODS A	031	NTRS	В	7,500	56.94	n/a	13-Nov	375.00	5.0
CAXTON PART	040	NWS	S	112,260	22.28	n/a	n/a	4,490.40	4.0
HIGHBRIDGE CAPITAL	038	OMC	SS	9,000	103.73	n/a	n/a	450.00	5.0
TPG-AXON	040	ORCL	В	200,000	18.16	n/a	n/a	10,000.00	5.0
PATTEN & PATTEN	067	PBE	В	2,500	18.70	n/a	n/a	125.00	5.0
PATTEN & PATTEN	067	PCL	S	200	37.91	24-0ct	24-0ct	10.00	5.0
M. KRAUS & CO.	037	PFE	В	6,800	25.04	n/a	31-Mar	408.00	6.0
CAPITAL GROWTH MGMT. LOOMIS SALES/BOSTON	241 036	PG PG	S B	20,000 84,800	63.86 63.82	1-Nov 1-Nov	5-Dec 5-Dec	1,000.00 4,240.00	5.0 5.0
PATTEN & PATTEN	067	РНО	В	1,100	18.57	n/a	n/a	55.00	5.0
EFSTATHIOU	044	PPCO	В	200	16.75	n/a	n/a	0.00	0.0
EMINENCE CAPITAL	038	PSS	В	50,000	31.77	n/a	n/a	2,500.00	5.0
BUCK CAPITAL MGMT	001	PVH	В	25,000	50.00	22-Nov	22-Nov	1,000.00	4.0
KAICHER	064	REV	В	1,000	1.44	n/a	n/a	0.00	0.0

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Account	RR #	Symbol	В/	s	Shares	Price	MRI	Research	Comm.	\$/Shr.	
A.I.M. ADVISORS INC	031	RL		S	92 <b>,</b> 025	79.93	7-Dec	7-Dec	3,681.00	4.0	
BENNETT LAWRENCE	044	RL		S	41,950	79.65	7-Dec	7-Dec	2,097.50	5.0	
LOOMIS SALES/BOSTON	036	RL	В		85 <b>,</b> 850	79.94	7-Dec	7-Dec	4,292.50	5.0	
EMP ERROR	099	RL	В		5,500	79.60	7-Dec	7-Dec	0.00	0.0	
MAGUIRE	090	SFUN	В		5,000	18.77	n/a	n/a	0.00	0.0	
BARR	096	SFUN	В		500	18.65	n/a	n/a	0.00	0.0	
PATTEN & PATTEN	067	SLB	В		650	66.47	n/a	n/a	32.50	5.0	
ADAGE CAPITAL MGMT	030	SMG		SS	40,000	50.89	27-Oct	6-Dec	1,600.00	4.0	
IRONBOUND CAPITAL	012	TIN	В		16,200	43.00	n/a	27-0ct	810.00	5.0	
SIGMA CAPITAL ASSOCIATES	034	TIN		S	50,000	43.14	n/a	27-Oct	2,500.00	5.0	
GRUBER MACBAINE	033	TROW	В		20,000	44.90	16-Oct	28-Nov	800.00	4.0	
VANDER MOOLEN	040	TWX	В		5,000	21.03	n/a	n/a	250.00	5.0	
MCCARTAN	028	UARM	С		100	52.06	n/a	n/a	0.00	0.0	
ANTEUS ASSOC.BAEL	065	WWY		S	136	52.48	n/a	n/a	6.80	5.0	
PATTEN & PATTEN	067	WYE	В		1,050	50.46	n/a	31-Mar	52.50	5.0	
ZIFF BROS	038	XMSR	В		150,000	15.44	n/a	n/a	7,500.00	5.0	
AJB CAPITAL	067	ХОНО		S	37,000	4.20	n/a	n/a	1,480.00	4.0	
DELTEC ASSET MGMT.	040	ZQK		S	8,300	15.18	8-Sep	7-Dec	415.00	5.0	

TOTAL (Trade Date): 110,093.70

## Cancel/Corrects and As-Of Trades

Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.	¢/Shr.
12/08	COOKBIELED (COOK20023)	033	BIG	Q	900	23 /18	16-Nov	1-Dec	36 00	4.0
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		033	BIG				16-Nov			4.0
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					·		16-Nov			4.0
	,				•		16-Nov			4.0
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12/08		033	BIG	S	2,600			1-Dec	104.00	4.0
		033	BIG		•					4.0
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	12/08 12/08	12/08 COOKBIELER (COOK20023) 12/08 COOKBIELER (COOK14022) 12/08 COOKBIELER (COOK30062) 12/08 COOKBIELER (COOK20958) 12/08 COOKBIELER (COOK20043) 12/08 COOKBIELER (COOK20014) 12/08 COOKBIELER (COOK20256) 12/08 COOKBIELER (COOK40002) 12/08 COOKBIELER (COOK40001) 12/08 COOKBIELER (COOK30881) 12/08 COOKBIELER (COOK30881) 12/08 COOKBIELER (COOK30449) 12/08 COOKBIELER (COOK30010) 12/08 COOKBIELER (COOK20994) 12/08 COOKBIELER (COOK20994) 12/08 COOKBIELER (COOK20991) 12/08 COOKBIELER (COOK20991) 12/08 COOKBIELER (COOK20361) 12/08 COOKBIELER (COOK20361) 12/08 COOKBIELER (COOK20361) 12/08 COOKBIELER (COOK20918) 12/08 COOKBIELER (COOK20799) 12/08 COOKBIELER (COOK20799) 12/08 COOKBIELER (COOK20611) 12/08 COOKBIELER (COOK20611) 12/08 COOKBIELER (COOK2067) 12/08 COOKBIELER (COOK20067) 12/08 COOKBIELER (COOK20066) 12/08 COOKBIELER (COOK20066)	12/08 COOKBIELER (COOK20023) 033 12/08 COOKBIELER (COOK14022) 033 12/08 COOKBIELER (COOK30062) 033 12/08 COOKBIELER (COOK20958) 033 12/08 COOKBIELER (COOK20043) 033 12/08 COOKBIELER (COOK20014) 033 12/08 COOKBIELER (COOK20256) 033 12/08 COOKBIELER (COOK40002) 033 12/08 COOKBIELER (COOK40001) 033 12/08 COOKBIELER (COOK40001) 033 12/08 COOKBIELER (COOK30881) 033 12/08 COOKBIELER (COOK30881) 033 12/08 COOKBIELER (COOK30010) 033 12/08 COOKBIELER (COOK20010) 033 12/08 COOKBIELER (COOK20994) 033 12/08 COOKBIELER (COOK20994) 033 12/08 COOKBIELER (COOK20991) 033 12/08 COOKBIELER (COOK20991) 033 12/08 COOKBIELER (COOK20361) 033 12/08 COOKBIELER (COOK20361) 033 12/08 COOKBIELER (COOK20918) 033 12/08 COOKBIELER (COOK20918) 033 12/08 COOKBIELER (COOK20799) 033 12/08 COOKBIELER (COOK20066) 033	12/08 COOKBIELER (COOK20023) 033 BIG 12/08 COOKBIELER (COOK14022) 033 BIG 12/08 COOKBIELER (COOK30062) 033 BIG 12/08 COOKBIELER (COOK20958) 033 BIG 12/08 COOKBIELER (COOK20958) 033 BIG 12/08 COOKBIELER (COOK20043) 033 BIG 12/08 COOKBIELER (COOK20014) 033 BIG 12/08 COOKBIELER (COOK20256) 033 BIG 12/08 COOKBIELER (COOK40002) 033 BIG 12/08 COOKBIELER (COOK40001) 033 BIG 12/08 COOKBIELER (COOK30881) 033 BIG 12/08 COOKBIELER (COOK30449) 033 BIG 12/08 COOKBIELER (COOK30449) 033 BIG 12/08 COOKBIELER (COOK2091) 033 BIG 12/08 COOKBIELER (COOK20994) 033 BIG 12/08 COOKBIELER (COOK20994) 033 BIG 12/08 COOKBIELER (COOK20991) 033 BIG 12/08 COOKBIELER (COOK20991) 033 BIG 12/08 COOKBIELER (COOK20525) 033 BIG 12/08 COOKBIELER (COOK20361) 033 BIG 12/08 COOKBIELER (COOK20799) 033 BIG 12/08 COOKBIELER (COOK20799) 033 BIG 12/08 COOKBIELER (COOK20799) 033 BIG 12/08 COOKBIELER (COOK20521) 033 BIG 12/08 COOKBIELER (COOK20521) 033 BIG 12/08 COOKBIELER (COOK20066) 033 BIG 12/08 COOKBIELER (COOK20067) 033 BIG 12/08 COOKBIELER (COOK20066) 033 BIG	12/08 COOKBIELER (COOK20023) 033 BIG S 12/08 COOKBIELER (COOK14022) 033 BIG S 12/08 COOKBIELER (COOK30062) 033 BIG S 12/08 COOKBIELER (COOK20958) 033 BIG S 12/08 COOKBIELER (COOK20043) 033 BIG S 12/08 COOKBIELER (COOK20014) 033 BIG S 12/08 COOKBIELER (COOK20014) 033 BIG S 12/08 COOKBIELER (COOK200256) 033 BIG S 12/08 COOKBIELER (COOK40002) 033 BIG S 12/08 COOKBIELER (COOK40001) 033 BIG S 12/08 COOKBIELER (COOK30881) 033 BIG S 12/08 COOKBIELER (COOK30881) 033 BIG S 12/08 COOKBIELER (COOK30010) 033 BIG S 12/08 COOKBIELER (COOK30010) 033 BIG S 12/08 COOKBIELER (COOK20994) 033 BIG S 12/08 COOKBIELER (COOK20994) 033 BIG S 12/08 COOKBIELER (COOK209991) 033 BIG S 12/08 COOKBIELER (COOK20991) 033 BIG S 12/08 COOKBIELER (COOK20361) 033 BIG S 12/08 COOKBIELER (COOK20525) 033 BIG S 12/08 COOKBIELER (COOK20918) 033 BIG S 12/08 COOKBIELER (COOK20918) 033 BIG S 12/08 COOKBIELER (COOK20918) 033 BIG S 12/08 COOKBIELER (COOK20799) 033 BIG S 12/08 COOKBIELER (COOK20521) 033 BIG S 12/08 COOKBIELER (COOK20521) 033 BIG S 12/08 COOKBIELER (COOK20033) 033 BIG S 12/08 COOKBIELER (COOK20066) 033 BIG S 12/08 COOKBIELER (COOK20066) 033 BIG S 12/08 COOKBIELER (COOK20066) 033 BIG S	12/08 COOKBIELER (COOK20023) 033 BIG S 900 12/08 COOKBIELER (COOK14022) 033 BIG S 200 12/08 COOKBIELER (COOK30062) 033 BIG S 6,500 12/08 COOKBIELER (COOK20958) 033 BIG S 8,100 12/08 COOKBIELER (COOK20043) 033 BIG S 13,500 12/08 COOKBIELER (COOK20014) 033 BIG S 13,500 12/08 COOKBIELER (COOK20014) 033 BIG S 12,100 12/08 COOKBIELER (COOK20256) 033 BIG S 12,100 12/08 COOKBIELER (COOK40002) 033 BIG S 2,100 12/08 COOKBIELER (COOK40001) 033 BIG S 2,100 12/08 COOKBIELER (COOK30881) 033 BIG S 2,600 12/08 COOKBIELER (COOK30449) 033 BIG S 3,600 12/08 COOKBIELER (COOK30010) 033 BIG S 3,500 12/08 COOKBIELER (COOK30010) 033 BIG S 3,500 12/08 COOKBIELER (COOK20994) 033 BIG S 2,400 12/08 COOKBIELER (COOK20994) 033 BIG S 2,500 12/08 COOKBIELER (COOK20991) 033 BIG S 2,500 12/08 COOKBIELER (COOK20991) 033 BIG S 9,800 12/08 COOKBIELER (COOK2091) 033 BIG S 9,800 12/08 COOKBIELER (COOK2091) 033 BIG S 1,300 12/08 COOKBIELER (COOK2091) 033 BIG S 9,800 12/08 COOKBIELER (COOK2091) 033 BIG S 1,300 12/08 COOKBIELER (COOK20061) 033 BIG S 1,800 12/08 COOKBIELER (COOK20060) 033 BIG S 1,300 12/08 COOKBIELER (COOK20060) 033 BIG S 2,600 12/08 COOKBIELER (COOK20060) 033 BIG S 2,600	12/08 COOKBIELER (COOK20023) 033 BIG S 900 23.48 12/08 COOKBIELER (COOK14022) 033 BIG S 200 23.48 12/08 COOKBIELER (COOK30062) 033 BIG S 6,500 23.48 12/08 COOKBIELER (COOK20958) 033 BIG S 6,500 23.48 12/08 COOKBIELER (COOK20043) 033 BIG S 13,500 23.48 12/08 COOKBIELER (COOK20014) 033 BIG S 13,500 23.48 12/08 COOKBIELER (COOK20014) 033 BIG S 12,100 23.48 12/08 COOKBIELER (COOK200256) 033 BIG S 12,100 23.48 12/08 COOKBIELER (COOK40002) 033 BIG S 2,100 23.48 12/08 COOKBIELER (COOK40001) 033 BIG S 2,000 23.48 12/08 COOKBIELER (COOK30084) 033 BIG S 2,600 23.48 12/08 COOKBIELER (COOK30049) 033 BIG S 2,600 23.48 12/08 COOKBIELER (COOK30010) 033 BIG S 3,600 23.48 12/08 COOKBIELER (COOK20994) 033 BIG S 3,500 23.48 12/08 COOKBIELER (COOK20994) 033 BIG S 2,500 23.48 12/08 COOKBIELER (COOK20994) 033 BIG S 2,500 23.48 12/08 COOKBIELER (COOK20991) 033 BIG S 2,500 23.48 12/08 COOKBIELER (COOK20991) 033 BIG S 9,800 23.48 12/08 COOKBIELER (COOK20991) 033 BIG S 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(COOK20023) 033 BIG S 900 23.48 16-Nov 12/08 COOKBIELER (COOK14022) 033 BIG S 200 23.48 16-Nov 12/08 COOKBIELER (COOK20958) 033 BIG S 6,500 23.48 16-Nov 12/08 COOKBIELER (COOK20958) 033 BIG S 8,100 23.48 16-Nov 12/08 COOKBIELER (COOK20043) 033 BIG S 13,500 23.48 16-Nov 12/08 COOKBIELER (COOK20014) 033 BIG S 13,500 23.48 16-Nov 12/08 COOKBIELER (COOK2014) 033 BIG S 12,100 23.48 16-Nov 12/08 COOKBIELER (COOK20256) 033 BIG S 12,100 23.48 16-Nov 12/08 COOKBIELER (COOK40002) 033 BIG S 12,100 23.48 16-Nov 12/08 COOKBIELER (COOK40001) 033 BIG S 2,100 23.48 16-Nov 12/08 COOKBIELER (COOK30881) 033 BIG S 2,600 23.48 16-Nov 12/08 COOKBIELER (COOK30881) 033 BIG S 2,600 23.48 16-Nov 12/08 COOKBIELER (COOK30409) 033 BIG S 3,500 23.48 16-Nov 12/08 COOKBIELER (COOK30010) 033 BIG S 3,500 23.48 16-Nov 12/08 COOKBIELER (COOK2001) 033 BIG S 3,500 23.48 16-Nov 12/08 COOKBIELER (COOK20994) 033 BIG S 3,500 23.48 16-Nov 12/08 COOKBIELER (COOK20994) 033 BIG S 2,600 23.48 16-Nov 12/08 COOKBIELER (COOK20994) 033 BIG S 2,500 23.48 16-Nov 12/08 COOKBIELER (COOK20991) 033 BIG S 2,500 23.48 16-Nov 12/08 COOKBIELER (COOK20991) 033 BIG S 2,500 23.48 16-Nov 12/08 COOKBIELER (COOK20991) 033 BIG S 2,500 23.48 16-Nov 12/08 COOKBIELER (COOK2091) 033 BIG S 2,500 23.48 16-Nov 12/08 COOKBIELER (COOK2091) 033 BIG S 2,500 23.48 16-Nov 12/08 COOKBIELER (COOK2091) 033 BIG S 2,500 23.48 16-Nov 12/08 COOKBIELER (COOK2091) 033 BIG S 2,500 23.48 16-Nov 12/08 COOKBIELER (COOK2091) 033 BIG S 2,500 23.48 16-Nov 12/08 COOKBIELER (COOK2091) 033 BIG S 2,500 23.48 16-Nov 22/08 COOKBIELER (COOK2091) 033 BIG S 2,500 23.48 16-Nov 22/08 COOKBIELER (COOK2091) 033 BIG S 2,500 23.48 16-Nov 22/08 COOKBIELER (COOK2061) 033 BIG S 2,200 23.48 16-Nov 22/08 COOKBIELER (COOK2061) 033 BIG S 2,200 23.48 16-Nov 22/08 COOKBIELER (COOK2061) 033 BIG S 2,200 23.48 16-Nov 22/08 COOKBIELER (COOK2061) 033 BIG S 2,200 23.48 16-Nov 22/08 COOKBIELER (COOK2066) 033 BIG S 2,200 23.48 16-Nov 22/08 COOKBIELER (COOK20066) 033 BIG S 2,200 23.48 16-Nov 22/08 COOKBIELER (COOK20066) 0	12/08   COOKBIELER (COOK20023)   033   BIG   S   900   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK14022)   033   BIG   S   200   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK30062)   033   BIG   S   6,500   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK20958)   033   BIG   S   8,100   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK20043)   033   BIG   S   13,500   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK20043)   033   BIG   S   3,500   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK20014)   033   BIG   S   300   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK20256)   033   BIG   S   12,100   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK40002)   033   BIG   S   2,100   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK30081)   033   BIG   S   4,200   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK30881)   033   BIG   S   2,600   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK30881)   033   BIG   S   3,600   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK30010)   033   BIG   S   3,500   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK30010)   033   BIG   S   3,500   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK20994)   033   BIG   S   3,500   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK20991)   033   BIG   S   2,400   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK20991)   033   BIG   S   9,800   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK20611)   033   BIG   S   9,800   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK2061)   033   BIG   S   9,800   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK2061)   033   BIG   S   9,800   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK2061)   033   BIG   S   9,800   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK2061)   033   BIG   S   1,800   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK2061)   033   BIG   S   1,800   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK2061)   033   BIG   S   1,800   23.48   16-Nov   1-Dec   12/08   COOKBIELER (COOK2061)   033   BIG   S   1,800   23.48   16-Nov   1-Dec   12/08	12/08   COOKBIELER (COOK20023)   033   BIG   S   900   23.48   16-Nov   1-Dec   36.00   12/08   COOKBIELER (COOK14022)   033   BIG   S   200   23.48   16-Nov   1-Dec   260.00   12/08   COOKBIELER (COOK30062)   033   BIG   S   6,500   23.48   16-Nov   1-Dec   224.00   12/08   COOKBIELER (COOK20058)   033   BIG   S   8,100   23.48   16-Nov   1-Dec   234.00   12/08   COOKBIELER (COOK20043)   033   BIG   S   30.00   23.48   16-Nov   1-Dec   324.00   12/08   COOKBIELER (COOK20014)   033   BIG   S   30.00   23.48   16-Nov   1-Dec   12.00   12/08   COOKBIELER (COOK20056)   033   BIG   S   12,100   23.48   16-Nov   1-Dec   484.00   12/08   COOKBIELER (COOK40002)   033   BIG   S   2,100   23.48   16-Nov   1-Dec   484.00   12/08   COOKBIELER (COOK40002)   033   BIG   S   2,100   23.48   16-Nov   1-Dec   168.00   12/08   COOKBIELER (COOK30081)   033   BIG   S   2,600   23.48   16-Nov   1-Dec   104.00   12/08   COOKBIELER (COOK300449)   033   BIG   S   2,600   23.48   16-Nov   1-Dec   144.00   12/08   COOKBIELER (COOK30010)   033   BIG   S   3,500   23.48   16-Nov   1-Dec   144.00   12/08   COOKBIELER (COOK30010)   033   BIG   S   3,500   23.48   16-Nov   1-Dec   140.00   12/08   COOKBIELER (COOK2001)   033   BIG   S   3,500   23.48   16-Nov   1-Dec   200.00   12/08   COOKBIELER (COOK2001)   033   BIG   S   3,500   23.48   16-Nov   1-Dec   200.00   12/08   COOKBIELER (COOK2001)   033   BIG   S   2,500   23.48   16-Nov   1-Dec   36.00   12/08   COOKBIELER (COOK2001)   033   BIG   S   9,800   23.48   16-Nov   1-Dec   392.00   12/08   COOKBIELER (COOK20361)   033   BIG   S   9,800   23.48   16-Nov   1-Dec   392.00   12/08   COOKBIELER (COOK20361)   033   BIG   S   9,800   23.48   16-Nov   1-Dec   392.00   12/08   COOKBIELER (COOK20361)   033   BIG   S   9,800   23.48   16-Nov   1-Dec   252.00   12/08   COOKBIELER (COOK20361)   033   BIG   S   6,300   23.48   16-Nov   1-Dec   252.00   12/08   COOKBIELER (COOK20361)   033   BIG   S   1,300   23.48   16-Nov   1-Dec   252.00   12/08   COOKBIELER (COOK20361)   033   BIG   S   1,

Type	Date	Account		RR #	Symbol	B/S	Shares	Price	MRI	${\tt Research}$	Comm.	¢/Shr
AO,	12/08	COOKBIELER	(COOK20095)	033	BIG	S	2,600	23.48	16-Nov	1-Dec	104.00	4.0
AO,	12/08	COOKBIELER	(COOK30190)	033	BIG	S	100	23.48	16-Nov	1-Dec	4.00	4.0
AO,	12/08	PILOT ROCK	(PILRPBX)	028	F	В	750 <b>,</b> 000	0.03	n/a	n/a 2	20625.00	2.8
ד∆יד∆ד.	(30 (	יצד. רפידוי								26	410 00	
TOTAL	(AO, 0	CXL, CRT):								26,	410.00	
TOTAL	(AO, 0	CXL, CRT):								26,	410.00	
TOTAL	(AO, (	CXL, CRT):								26,	410.00	
		e Date):									<b>410.00</b> 093.70	

TOTAL (RUN DATE) / NFS:

136,503.70

## Legend:

O: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION