



## Daily Compliance Activity Report

Trade Date: 04/28/2008

**POTENTIAL ANALYST TRADE VIOLATIONS : 0**

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
DSM CAPITAL PARTNERS	032	ABB	S	2,550	30.51	n/a	n/a	127.50	5.0
DSM CAPITAL PARTNERS	032	ADBE	S	1,650	37.19	n/a	n/a	82.50	5.0
DSM CAPITAL PARTNERS	032	ADP	S	1,400	43.91	n/a	n/a	70.00	5.0
DSM CAPITAL PARTNERS	032	ADSK	S	1,050	38.79	n/a	n/a	52.50	5.0
ROSEDALE CAPITAL	046	AEO	S	5,000	18.13	6-Mar	11-Apr	250.00	5.0
WEISS PECK	040	AEO	S	10,700	17.69	6-Mar	11-Apr	535.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>AER</b>	<b>B</b>	<b>500</b>	<b>17.05</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
CHARTER OAK	033	AFFY	S	9,607	15.43	n/a	n/a	480.35	5.0
JP MORGAN VENTURES	046	ANF	SS	10,000	75.53	10-Apr	11-Apr	500.00	5.0
BEAR STEARNS ASSET MGMT.	033	ANN	C	72,200	26.09	14-Mar	14-Mar	2,888.00	4.0
FRONTPOINT	044	AVP	SS	50,000	40.02	4-Mar	23-Apr	2,000.00	4.0
FIRST NEW YORK LEDDY	035	BBY	B	10,000	45.90	n/a	27-Jul	600.00	6.0
<b>LEDDY</b>	<b>035</b>	<b>BIG</b>	<b>SS</b>	<b>500</b>	<b>26.49</b>	<b>6-Mar</b>	<b>11-Apr</b>	<b>0.00</b>	<b>0.0</b>
JP MORGAN VENTURES	046	CAI	SS	3,000	49.33	n/a	n/a	150.00	5.0
DSM CAPITAL PARTNERS	032	CELG	S	3,050	64.58	n/a	n/a	152.50	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>OGORMAN</b>	<b>046</b>	<b>CHK</b>	<b>C</b>	<b>200</b>	<b>54.75</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
J L F ASSET MGMT	039	CHS	S	48,100	6.28	11-Apr	11-Apr	1,924.00	4.0
BEAR STEARNS ASSET MGMT.	033	CNX	SS	18,700	82.78	n/a	n/a	748.00	4.0
DSM CAPITAL PARTNERS	032	CSCO	S	2,450	25.62	n/a	22-Mar	122.50	5.0
DSM CAPITAL PARTNERS	032	CTSH	S	1,500	31.42	n/a	n/a	75.00	5.0
MANNING & NAPIER	034	CVA	S	54,185	28.27	n/a	n/a	1,625.55	3.0
DSM CAPITAL PARTNERS	032	CVS	S	1,150	41.20	n/a	n/a	57.50	5.0
FIRST NY SEC/CHRIS	041	CVS	B	15,000	41.19	n/a	n/a	900.00	6.0
<b>ZOLIDIS</b>	<b>011</b>	<b>DECK</b>	<b>S</b>	<b>200</b>	<b>145.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
SUN AMERICA ASSET MGMT	030	DSW	S	152,200	15.06	28-Mar	25-Apr	6,088.00	4.0
MORRISON&FRASIER/BUTLER	040	DYN	B	20,000	8.77	n/a	n/a	600.00	3.0
DSM CAPITAL PARTNERS	032	EXPD	S	1,100	47.48	n/a	n/a	55.00	5.0
GRANITE CAPITAL	045	F	SS	20,000	8.19	25-Apr	25-Apr	1,000.00	5.0
DSM CAPITAL PARTNERS	032	GENZ	S	1,450	72.86	n/a	n/a	72.50	5.0
NEW JERSEY DIV OF INV.	220	GE	S	650	33.19	n/a	n/a	26.00	4.0
1798 CAPITAL MGMT	040	GIL	B	15,000	36.75	22-Jan	28-Apr	750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
<b>MILLER</b>	<b>025</b>	<b>GLD</b>	<b>SS</b>	<b>50</b>	<b>88.13</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DELTEC ASSET MGMT.	040	GLW	S	15,000	25.74	n/a	27-Mar	750.00	5.0
DSM CAPITAL PARTNERS	032	GLW	S	2,150	25.74	n/a	27-Mar	107.50	5.0
<b>AMATURO</b>	<b>018</b>	<b>GMO</b>	<b>B</b>	<b>2,000</b>	<b>9.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BLACKSTONE KAILIX ASS.	048	GM	SS	250,000	22.49	3-Jan	8-Apr	12,500.00	5.0
CASTLEROCK	040	GM	SS	264,000	21.84	3-Jan	8-Apr	10,560.00	4.0
WOOSTER CAPITAL	035	GM	SS	75,000	21.75	3-Jan	8-Apr	3,750.00	5.0
<b>LEDDY</b>	<b>035</b>	<b>GNTX</b>	<b>SS</b>	<b>600</b>	<b>18.95</b>	<b>7-Apr</b>	<b>23-Apr</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	GPI	B	10,600	26.39	n/a	25-Apr	530.00	5.0
MORRISON&FRASIER/BUTLER	040	GPI	B	7,000	26.68	n/a	25-Apr	350.00	5.0
DSM CAPITAL PARTNERS	032	GPRO	S	1,000	53.80	n/a	n/a	50.00	5.0
<b>LUCHANSKY</b>	<b>036</b>	<b>GRMN</b>	<b>B</b>	<b>500</b>	<b>46.32</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>LUCHANSKY</b>	<b>036</b>	<b>GRMN</b>	<b>S</b>	<b>300</b>	<b>45.94</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>S CAST</b>	<b>016</b>	<b>GSI</b>	<b>S</b>	<b>500</b>	<b>11.00</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>AMATURO</b>	<b>018</b>	<b>GSI</b>	<b>S</b>	<b>3,804</b>	<b>11.15</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BARROW HANLEY MEWHINNEY	031	HI	S	25,100	19.23	n/a	n/a	1,004.00	4.0
DSM CAPITAL PARTNERS	032	HOLX	S	1,900	29.22	n/a	n/a	95.00	5.0
BARROW HANLEY MEWHINNEY	031	HRC	B	73,700	25.64	n/a	n/a	2,948.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CASTLE ARK	031	HRS	S	54,000	51.55	28-Mar	28-Apr	3,240.00	6.0
EPOCH INV MGMT	030	IGT	B	1,100	34.86	n/a	18-Apr	44.00	4.0
SUN AMERICA ASSET MGMT	030	INTC	S	24,800	22.47	n/a	n/a	992.00	4.0
RAINIER INV MGMT	035	IO	B	42,000	15.96	n/a	n/a	2,100.00	5.0
SOUTH POINT CAP	067	IWM	B	50,000	72.26	n/a	n/a	2,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>JCG</b>	<b>B</b>	<b>10,000</b>	<b>45.24</b>	<b>n/a</b>	<b>12-Mar</b>	<b>400.00</b>	<b>4.0</b>
COUGAR TRADING	044	JWN	S	5,000	37.30	31-Mar	11-Apr	250.00	5.0
ADAGE CAPITAL MGMT	030	KSS	B	50,100	49.31	4-Jan	11-Apr	2,004.00	4.0
COUGAR TRADING	044	KSS	S	5,000	49.80	4-Jan	11-Apr	250.00	5.0
WHITE PINE CAPITAL	030	KSS	B	6,500	49.89	4-Jan	11-Apr	325.00	5.0
EPOCH INV MGMT	030	LH	B	450	75.04	n/a	n/a	18.00	4.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>LIZ</b>	<b>SS</b>	<b>10,000</b>	<b>18.11</b>	<b>n/a</b>	<b>17-Mar</b>	<b>400.00</b>	<b>4.0</b>
NEUBERGER BERMAN	036	MDR	S	41,200	59.70	n/a	n/a	1,854.00	4.5
STANDARD PACIFIC CAPITAL	040	MHK	B	98,000	77.13	n/a	n/a	4,900.00	5.0
TRUST CO/COWEN/CALF.	040	MOT	B	431,960	9.43	n/a	n/a	17,278.40	4.0
EPOCH INV MGMT	030	MO	B	450	21.35	n/a	n/a	18.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
DSM CAPITAL PARTNERS	032	MSFT	S	1,550	29.13	n/a	3-Apr	77.50	5.0
EPOCH INV MGMT	030	MSFT	B	2,200	29.15	n/a	3-Apr	88.00	4.0
ROTHSCHILD ASSET	040	MTN	S	26,140	47.99	n/a	n/a	1,176.30	4.5
EPOCH INV MGMT	030	NKE	B	300	68.00	n/a	n/a	12.00	4.0
EPOCH INV MGMT	030	NOV	B	600	70.97	n/a	n/a	24.00	4.0
EPOCH INV MGMT	030	NST	B	500	31.91	n/a	n/a	20.00	4.0
DSM CAPITAL PARTNERS	032	NWSA	S	3,200	17.63	n/a	n/a	160.00	5.0
EPOCH INV MGMT	030	NWSA	B	1,500	17.68	n/a	n/a	60.00	4.0
EPOCH INV MGMT	030	OKE	B	350	48.65	n/a	n/a	14.00	4.0
EPOCH INV MGMT	030	ORCL	B	1,600	21.65	n/a	n/a	64.00	4.0
SUN AMERICA ASSET MGMT	030	ORCL	S	6,000	21.73	n/a	n/a	240.00	4.0
JPMSI/TOM LEDDY	035	PVH	B	35,000	38.32	13-Mar	26-Mar	1,750.00	5.0
ROXBURY CAPITAL MGMT	035	PVH	B	225,000	38.35	13-Mar	26-Mar	9,000.00	4.0
DURANGO INVESTMENTS	031	QLGC	S	40,000	16.68	n/a	n/a	1,600.00	4.0
FIRST NEW YORK LEDDY	035	Q	B	10,000	4.88	n/a	n/a	600.00	6.0
<b>AMATURO</b>	<b>018</b>	<b>RAD</b>	<b>B</b>	<b>465</b>	<b>2.60</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>RL</b>	<b>SS</b>	<b>20,000</b>	<b>62.54</b>	<b>7-Feb</b>	<b>15-Feb</b>	<b>800.00</b>	<b>4.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
TRELLUS PARTNERS LP	028	RL	C	23,200	61.92	7-Feb	15-Feb	1,160.00	5.0
<b>ZOLIDIS</b>	<b>011</b>	<b>RTH</b>	<b>S</b>	<b>200</b>	<b>97.39</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DSM CAPITAL PARTNERS	032	SEIC	S	2,100	24.00	n/a	n/a	105.00	5.0
DSM CAPITAL PARTNERS	032	SGP	S	2,150	18.65	n/a	n/a	107.50	5.0
<b>OGORMAN</b>	<b>046</b>	<b>SKX</b>	<b>C</b>	<b>500</b>	<b>22.44</b>	<b>n/a</b>	<b>13-Mar</b>	<b>0.00</b>	<b>0.0</b>
DSM CAPITAL PARTNERS	032	SLB	S	1,550	102.35	n/a	n/a	77.50	5.0
MANNING & NAPIER	034	SMG	B	28,970	33.51	11-Feb	25-Apr	869.10	3.0
SOUTH POINT CAP	067	SPY	B	25,000	139.93	n/a	n/a	1,250.00	5.0
DSM CAPITAL PARTNERS	032	SYK	S	1,150	64.44	n/a	n/a	57.50	5.0
<b>LEDDY</b>	<b>035</b>	<b>TLB</b>	<b>B</b>	<b>1,000</b>	<b>7.92</b>	<b>17-Apr</b>	<b>18-Apr</b>	<b>0.00</b>	<b>0.0</b>
TROUBH PART	067	UNH	S	30,000	33.90	n/a	n/a	1,500.00	5.0
BARABOO GROWTH	031	URBN	B	9,700	33.31	3-Apr	9-Apr	485.00	5.0
MARK ASSETMGMT (SR)	040	URBN	S	20,000	32.95	3-Apr	9-Apr	800.00	4.0
<b>MILLER</b>	<b>025</b>	<b>UUP</b>	<b>B</b>	<b>100</b>	<b>22.66</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
DSM CAPITAL PARTNERS	032	VAR	S	1,350	47.61	n/a	n/a	67.50	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>VC</b>	<b>B</b>	<b>1,000</b>	<b>3.96</b>	<b>n/a</b>	<b>21-Apr</b>	<b>0.00</b>	<b>0.0</b>

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
TROUBH PART	067	VLO	S	15,000	51.75	n/a	n/a	750.00	5.0
DSM CAPITAL PARTNERS	032	WFT	S	1,200	82.22	n/a	n/a	60.00	5.0
ROTHSCHILD ASSET	040	WMT	S	70,340	57.82	n/a	28-Apr	3,165.30	4.5
AMBER CAPITAL	046	WM	B	200,000	12.49	n/a	n/a	8,000.00	4.0
EGS ASSOCIATES LP	091	WRNC	B	6,500	44.34	3-Apr	28-Apr	390.00	6.0
TOTAL (Trade Date):								125,680.50	

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CXL	04/25	FULLER & THALER ASSET (FULLSIRON)	033	UHS	B	600	62.86	n/a	25-Apr	1.89 0.0
AO,CRT	04/25	FULLER & THALER ASSET (FULLSIRON)	033	UHS	B	600	62.86	n/a	25-Apr	18.00 3.0
AO,CRT	04/25	HIGHBRIDGE CAPITAL (HIGBNEW)	038	WY	SS	65,000	64.84	7-Apr	7-Apr	3250.00 5.0
AO,CXL	04/25	HIGHBRIDGE/MS OLDS (HIBCMAN)	041	WY	SS	65,000	64.84	7-Apr	7-Apr	3250.00 5.0
<b>TOTAL (AO, CXL, CRT) :</b>										<b>16.11</b>

TOTAL (Trade Date) :	125,680.50
TOTAL (AO, CXL, CRT) :	16.11

<b>TOTAL (RUN DATE) / NFS :</b>	<b>125,696.61</b>
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Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION