



# Daily Compliance Activity Report

Trade Date: 11/11/2008

Potential ANALYST TRADE Violations: 0

BCM Watch List ACTIVITY ALERT: 0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
CANTILLON CAPITAL	040	AA	B	1,756,484	10.85	n/a	n/a	70,259.36	4.0
BUCK	015	ALV	C	2,500	19.33	22-Oct	22-Oct	0.00	0.0
CENTURION GLOBAL MGMT LL	041	AMAT	B	12,700	10.63	n/a	n/a	381.00	3.0
TALA MANAGEMENT	041	AMX	S	3,780	31.19	n/a	n/a	189.00	5.0
⌘ BUCK CAPITAL MGMT		AN	C	50,000	5.96	7-Nov	7-Nov	non-brg	3.0
⌘ BUCK CAPITAL MGMT		AN	C	50,000	6.05	7-Nov	7-Nov	non-brg	1.0
ROTHSCHILD ASSET	040	AOC	B	13,050	40.41	n/a	n/a	587.25	4.5
BUCK CAPITAL MGMT	001	ARO	S	40,000	16.85	n/a	10-Nov	1,600.00	4.0
PALMYRA CAPITAL	048	ARO	S	40,000	16.43	n/a	10-Nov	1,600.00	4.0
WEST	031	AXP	B	3,000	22.50	n/a	11-Nov	150.00	5.0
BUCK	015	BACLT	S	15	0.84	n/a	n/a	0.00	0.0
BUCK	015	BAC	C	2,000	18.85	15-Oct	5-Nov	0.00	0.0
⌘ BUCK CAPITAL MGMT		BHQ+WF [BBBY]	C	1,099	9.63	n/a	n/a	non-brg	300.0
⌘ BUCK CAPITAL MGMT		BHQ+XD [BBBY]	SS	1,000	1.67	n/a	n/a	non-brg	300.0
BALYASNY ASSET MGMT	044	BJ	B	10,000	35.90	n/a	27-Jul	500.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	BKS	SS	30,000	16.90	n/a	n/a	1,200.00	4.0
COUGAR TRADING	044	BWA	B	10,000	17.53	n/a	30-Oct	500.00	5.0
TRELLUS PARTNERS LP	028	BWA	C	26,550	18.44	n/a	30-Oct	1,327.50	5.0
ß BUCK CAPITAL MGMT		BYI	S	10,000	18.27	n/a	n/a	non-brg	1.0
ß BUCK CAPITAL MGMT		BYI	S	25,000	18.40	n/a	n/a	non-brg	2.5
ß BUCK CAPITAL MGMT		BYI	S	65,000	18.87	n/a	n/a	non-brg	4.0
COLE	077	BZ	S	3,244	0.62	n/a	n/a	0.00	0.0
ß BUCK CAPITAL MGMT		CHCG	S	22,645	1.08	n/a	n/a	non-brg	1.0
BUCK CAPITAL MGMT	001	CHC	S	9,000	0.39	n/a	n/a	90.00	1.0
Õ Larry Leeds		CHC	S	9000	0.42	n/a	n/a	0.00	0.0
AMATURO	018	CIT	B	10,000	4.12	n/a	11-Nov	0.00	0.0
KORMAN	003	COF	SS	2,000	32.19	n/a	7-Nov	0.00	0.0
BUCK CAPITAL MGMT	001	COH	S	20,000	16.19	8-Oct	22-Oct	800.00	4.0
ß BUCK CAPITAL MGMT		COH	S	80,000	16.05	8-Oct	22-Oct	non-brg	4.0
COUGAR TRADING	044	COH	S	5,000	15.73	8-Oct	22-Oct	250.00	5.0
ß BUCK CAPITAL MGMT		COST	SS	10,000	52.17	n/a	27-Jul	non-brg	1.0
BUCK CAPITAL MGMT	001	CRI	SS	20,000	21.08	n/a	n/a	800.00	4.0
VALHALLA CAPITAL LP	041	CSCO	B	5,000	17.00	n/a	22-Mar	150.00	3.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HUNTER GLOBAL INVESTOR	067	CVS	S	250,000	28.94	n/a	n/a	12,500.00	5.0
PIONEER MICHAEL NOLAN	067	CXW	S	17,100	12.90	n/a	n/a	513.00	3.0
SERENGETI MGMT	030	CYN	SS	50,000	43.95	n/a	n/a	2,500.00	5.0
<b>BUCK</b>	<b>015</b>	<b>C</b>	<b>SS</b>	<b>1,500</b>	<b>10.89</b>	<b>15-Sep</b>	<b>5-Nov</b>	<b>0.00</b>	<b>0.0</b>
BARCLEYS CAPITAL	041	DECK	B	800	69.00	n/a	n/a	32.00	4.0
<b>ZOLIDIS</b>	<b>011</b>	<b>DECK</b>	<b>S</b>	<b>300</b>	<b>68.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DIN</b>	<b>SS</b>	<b>25,000</b>	<b>12.36</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
IRIDIAN ASSET MGMT.	036	DRC	B	6,360	21.06	n/a	n/a	318.00	5.0
<b>SINCUTMA</b>	<b>031</b>	<b>DVN</b>	<b>S</b>	<b>10</b>	<b>74.62</b>	<b>n/a</b>	<b>31-Oct</b>	<b>0.00</b>	<b>0.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>DXD</b>	<b>S</b>	<b>4,500</b>	<b>78.71</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>ETH</b>	<b>SS</b>	<b>6,300</b>	<b>15.81</b>	<b>n/a</b>	<b>n/a</b>	<b>252.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>ETH</b>	<b>C</b>	<b>1,000</b>	<b>15.95</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>
BAYPOINT TRADING/XYLEM	091	EXP	B	20,654	17.79	n/a	n/a	1,032.70	5.0
BALYASNY ASSET MGMT	044	FDO	SS	20,000	27.70	23-Jul	7-Nov	1,000.00	5.0
<b>KORMAN</b>	<b>003</b>	<b>FDO</b>	<b>SS</b>	<b>2,000</b>	<b>27.11</b>	<b>23-Jul</b>	<b>7-Nov</b>	<b>0.00</b>	<b>0.0</b>
BARCLEYS CAPITAL	041	FLEX	B	200,000	3.44	n/a	n/a	10,000.00	5.0
<b>B BUCK CAPITAL MGMT</b>		<b>FNLY</b>	<b>S</b>	<b>10,300</b>	<b>0.09</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>1.0</b>

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
Õ Larry Leeds		FNLY	S	10300	0.08	n/a	n/a	0.00	0.0
MFP INVESTORS	032	FOR	B	5,400	5.81	n/a	3-Oct	270.00	5.0
ß BUCK CAPITAL MGMT		FOSL	S	100	15.67	10-Nov	11-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	S	125,000	15.53	10-Nov	11-Nov	non-brg	2.5
ß BUCK CAPITAL MGMT		FOSL	S	24,900	15.61	10-Nov	11-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	S	50,000	15.72	10-Nov	11-Nov	non-brg	1.0
ß BUCK CAPITAL MGMT		FOSL	S	50,000	15.72	10-Nov	11-Nov	non-brg	1.0
BRUNNER	012	FXP	B	500	72.76	n/a	n/a	0.00	0.0
GAULT	063	FXP	S	100	75.41	n/a	n/a	0.00	0.0
COUGAR TRADING	044	F	B	25,000	1.78	10-Nov	10-Nov	500.00	2.0
FIRST NY SEC/CHRIS	041	F	S	150,000	1.80	10-Nov	10-Nov	4,500.00	3.0
ß BUCK CAPITAL MGMT		GES+KC[???	SS	1,000	0.85	n/a	n/a	non-brg	100.0
ß BUCK CAPITAL MGMT		GES	S	50,000	14.83	n/a	n/a	non-brg	4.0
BUCK CAPITAL MGMT	001	GIL	S	45,000	21.10	8-Sep	16-Oct	1,800.00	4.0
BUCK	015	GISLN	S	10	1.45	n/a	n/a	0.00	0.0
BAYPOINT TRADING/XYLEM	091	GLT	B	24,424	10.31	n/a	n/a	976.96	4.0
BRENCOURT	028	GM	SS	50,000	3.21	10-Nov	11-Nov	0.00	0.0
MAGNETAR FINANCIAL	031	GM	B	50,000	2.80	10-Nov	11-Nov	1,500.00	3.0
MCCARTAN	028	GR	S	100	33.59	23-Oct	23-Oct	0.00	0.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		GS	S	3,000	72.65	15-Sep	11-Nov	non-brg	1.0
ZOLIDIS	011	HAL	SS	500	18.70	n/a	n/a	0.00	0.0
UBS OCONNOR	031	HON	B	35,000	27.30	3-Oct	17-Oct	1,050.00	3.0
DENVER INST. ADV	046	HUM	S	55,700	34.24	n/a	n/a	2,228.00	4.0
⌘ BUCK CAPITAL MGMT		JCG+XB[JCG]	SS	2,000	0.55	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	JCG	SS	40,000	13.98	n/a	3-Nov	1,600.00	4.0
⌘ BUCK CAPITAL MGMT		JCP+XU[JCP]	SS	3,000	0.95	n/a	n/a	non-brg	100.0
AVESTA CAP	229	KIM	B	25,000	19.97	n/a	n/a	1,250.00	5.0
BUCK	015	KSS	SS	1,000	30.50	n/a	10-Nov	0.00	0.0
BUCK CAPITAL MGMT	001	LEG	SS	100,000	15.27	n/a	n/a	4,000.00	4.0
AVESTA CAP	229	LLTC	B	25,000	21.33	n/a	n/a	1,250.00	5.0
SYSTEMATIC FINANCIAL MGT	035	LM	B	93,000	17.73	29-Oct	11-Nov	3,487.50	3.8
⌘ BUCK CAPITAL MGMT		LNCE	SS	24,637	19.10	n/a	n/a	non-brg	4.0
ZOLIDIS	011	LVS	S	45	6.10	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MAC	SS	30,000	22.38	n/a	n/a	non-brg	4.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
IRIDIAN ASSET MGMT.	036	MCD	B	7,120	56.56	13-Oct	10-Nov	356.00	5.0
⌘ BUCK CAPITAL MGMT		MDTL	C	25,000	1.13	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		MDTL	C	6,272	1.13	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		MFB	S	5,900	9.04	n/a	n/a	non-brg	1.0
SINCLAIR	031	MKXPB	S	100	2.95	n/a	n/a	0.00	0.0
BUCK	015	MRK	B	1,000	27.29	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		MZZ	S	3,000	106.48	n/a	n/a	non-brg	1.0
COUGAR TRADING	044	M	B	5,000	9.10	12-Aug	10-Nov	200.00	4.0
BUCK CAPITAL MGMT	001	NDN	SS	30,000	12.39	31-Oct	7-Nov	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		NDN	SS	20,000	12.35	31-Oct	7-Nov	non-brg	1.0
CLOVIS CAPITAL	012	NKE	SS	92,700	45.65	n/a	n/a	4,635.00	5.0
BUCK CAPITAL MGMT	001	OXM	SS	20,000	10.53	n/a	n/a	800.00	4.0
IRIDIAN ASSET MGMT.	036	PKG	B	5,600	13.53	11-Sep	21-Oct	280.00	5.0
THIRD COAST CAPITAL	035	PLCE	S	25,000	20.84	6-Nov	6-Nov	1,250.00	5.0
HEALY CIRCLE	044	PSS	S	20,000	12.03	n/a	n/a	800.00	4.0
IRIDIAN ASSET MGMT.	036	QCOM	S	35,000	35.01	n/a	n/a	1,750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AVESTA CAP	229	RIG	B	10,000	75.85	n/a	n/a	500.00	5.0
BUCK CAPITAL MGMT	001	RL	SS	80,000	40.29	n/a	6-Nov	3,200.00	4.0
COUGAR TRADING	044	RL	B	5,000	39.97	n/a	6-Nov	250.00	5.0
⌘ BUCK CAPITAL MGMT		RVI	S	21,902	1.51	n/a	n/a	non-brg	1.0
AMATURO	018	SDS	S	2,000	97.80	n/a	n/a	0.00	0.0
GAULT	063	SDS	S	800	97.33	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		SIG LN	SS	20,000	11.31	n/a	n/a	non-brg	1.7
BALYASNY ASSET MGMT	044	SPG	S	10,000	59.34	n/a	n/a	500.00	5.0
⌘ BUCK CAPITAL MGMT		SPG	SS	30,000	57.83	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		SPLS	SS	2,768	17.32	n/a	27-Jul	non-brg	1.0
⌘ BUCK CAPITAL MGMT		SPLS	SS	50,000	17.52	n/a	27-Jul	non-brg	4.0
AMATURO	018	SSO	B	3,000	26.86	n/a	n/a	0.00	0.0
AMATURO	018	SSO	S	3,000	27.54	n/a	n/a	0.00	0.0
BRENCOURT	028	SUP	B	50,000	11.05	10-Nov	10-Nov	0.00	0.0
COUGAR TRADING	044	SUP	B	10,000	11.10	10-Nov	10-Nov	500.00	5.0
MILLRACE FUND LP	028	SUP	B	16,000	10.89	10-Nov	10-Nov	640.00	4.0
SINCLAIR	031	SWGOL	S	40	10.45	n/a	n/a	0.00	0.0
⌘ BUCK CAPITAL MGMT		TCO	SS	30,000	26.63	n/a	n/a	non-brg	4.0
ASCEND PARTNERS	033	TGT	SS	35,000	35.45	6-Nov	7-Nov	1,750.00	5.0

Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BALYASNY ASSET MGMT	044	TGT	B	10,000	35.77	6-Nov	7-Nov	500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TJX</b>	<b>S</b>	<b>750,000</b>	<b>22.99</b>	<b>11-Aug</b>	<b>10-Nov</b>	<b>30,000.00</b>	<b>4.0</b>
SCOPUS FUND	041	TJX	SS	70,000	23.49	11-Aug	10-Nov	3,500.00	5.0
<b>BUCK CAPITAL MGMT</b>	<b>001</b>	<b>TLB</b>	<b>S</b>	<b>90,000</b>	<b>4.98</b>	<b>7-Aug</b>	<b>7-Nov</b>	<b>3,600.00</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>TLB</b>	<b>S</b>	<b>10,000</b>	<b>5.16</b>	<b>7-Aug</b>	<b>7-Nov</b>	<b>non-brg</b>	<b>1.0</b>
<b>ZOLIDIS</b>	<b>011</b>	<b>TOL</b>	<b>SS</b>	<b>500</b>	<b>17.98</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BEAR STEARNS ASSET MGMT.	033	TWB	C	7,300	6.36	n/a	3-Nov	292.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>UNFI</b>	<b>SS</b>	<b>20,000</b>	<b>20.74</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>4.0</b>
<b>B BUCK CAPITAL MGMT</b>		<b>URBN</b>	<b>B</b>	<b>20,000</b>	<b>15.79</b>	<b>5-Nov</b>	<b>7-Nov</b>	<b>non-brg</b>	<b>4.0</b>
<b>AMATURO</b>	<b>018</b>	<b>USO</b>	<b>B</b>	<b>1,000</b>	<b>48.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
UBS OCONNOR	031	UTX	SS	20,000	52.00	n/a	n/a	600.00	3.0
<b>ZOLIDIS</b>	<b>011</b>	<b>UYG</b>	<b>S</b>	<b>1,000</b>	<b>7.50</b>	<b>n/a</b>	<b>n/a</b>	<b>0.00</b>	<b>0.0</b>
BAYPOINT TRADING/XYLEM	091	VCP	S	15,000	7.57	n/a	n/a	600.00	4.0
<b>B BUCK CAPITAL MGMT</b>		<b>VFC+WK[VFC]</b>	<b>C</b>	<b>689</b>	<b>6.55</b>	<b>n/a</b>	<b>n/a</b>	<b>non-brg</b>	<b>300.0</b>
ARAGON GLOBAL	041	VZ	B	52,789	29.84	n/a	4-Jan	2,639.45	5.0
<b>MCCARTAN</b>	<b>028</b>	<b>WBC</b>	<b>S</b>	<b>100</b>	<b>14.62</b>	<b>30-Oct</b>	<b>30-Oct</b>	<b>0.00</b>	<b>0.0</b>
BALYASNY ASSET MGMT	044	WMT	SS	10,000	54.21	10-Oct	7-Nov	500.00	5.0



Account	RR#	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
COUGAR TRADING	044	WRC	S	15,000	18.03	7-Nov	7-Nov	750.00	5.0
⌘ BUCK CAPITAL MGMT		WRI	SS	10,000	16.71	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WRI	SS	10,000	16.77	n/a	n/a	non-brg	2.0
BUCK CAPITAL MGMT	001	WWW	SS	20,000	19.97	n/a	13-Mar	800.00	4.0
ZOLIDIS	011	WYNN	S	50	48.75	n/a	n/a	0.00	0.0
BUCK	015	WY	SS	1,500	31.51	3-Nov	3-Nov	0.00	0.0
⌘ BUCK CAPITAL MGMT		XRT	SS	700,000	19.44	n/a	n/a	non-brg	1.0
PIONEER MICHAEL NOLAN	067	YHOO	S	138,000	11.23	n/a	n/a	4,140.00	3.0
BALYASNY ASSET MGMT	044	YUM	B	10,000	25.74	14-Aug	20-Oct	500.00	5.0
⌘ BUCK CAPITAL MGMT		YUM	SS	60,000	25.77	14-Aug	20-Oct	non-brg	4.0
SINCUTMA	031	ZQK	B	700	1.73	3-Nov	3-Nov	0.00	0.0
<b>TOTAL (Trade Date) :</b>							<b>199,976.72</b>		

**Cancel/Corrects and As-Of Trades**

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI Research	Comm.¢/Shr.
AO,	11/10	AELTUS/ING INVESTMENT MGT	215	WRC	B	12,960	15.58	7-Nov 7-Nov	453.64 3.5
<b>TOTAL (AO, CXL, CRT) :</b>									<b>453.64</b>

TOTAL (Trade Date):	199,976.72
TOTAL (AO, CXL, CRT):	453.64

<b>TOTAL (RUN DATE) / NFS:</b>	<b>200,430.36</b>
--------------------------------	-------------------

MRI Securities with Trade Activity on 11-Nov.  
NONE

MRI Securities without Trade Activity on 11-Nov.  
BEN, PZN

BRG comm. as a % of BCM Total Comm. paid.  
T-Date = 50%  
YTD = 19%

**Legend:**

**Õ: Employee Trades in Outside Accounts**

**ß: Non-BRG BCM Trades**

**[i]: Initiation of Coverage**

**Blue: Employee, Affiliate(BCM) and Proprietary Trades.**

**Red: Trades in stocks with same day Research/MRI.**

**Type: AO = As Of, CXL = Cancel, CRT = Corrected.**

**POTENTIAL ANALYST TRADE VIOLATION**

**BCM Watch List Trades**