



Daily Compliance Activity Report

Trade Date: 06/12/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
SATELLITE ASSET MGMT	012	ABG	S	10,000	14.13	10-Jun	10-Jun	400.00	4.0
BARR	096	ABX	B	500	38.62	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		AEO	S	100,000	16.50	6-Mar	6-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	S	350,000	16.10	6-Mar	6-Jun	non-brg	4.0
B BUCK CAPITAL MGMT		AEO	S	50,000	16.30	6-Mar	6-Jun	non-brg	1.0
EPOCH INV MGMT	030	ALKS	B	20,000	11.84	n/a	n/a	800.00	4.0
B BUCK CAPITAL MGMT		ANF	B	20,000	67.91	10-Apr	6-Jun	non-brg	4.0
BUCK CAPITAL MGMT	001	ANN	SS	20,000	24.91	14-Mar	22-May	800.00	4.0
COUGAR TRADING	044	ANN	SS	10,000	25.25	14-Mar	22-May	500.00	5.0
COLE	N24	AOB	S	100	10.69	n/a	n/a	0.00	0.0
B BUCK CAPITAL MGMT		ARGN	B	20,000	10.32	n/a	9-Jun	non-brg	1.0
B BUCK CAPITAL MGMT		ARO	S	30,000	31.30	28-Apr	6-Jun	non-brg	4.0
COUGAR TRADING	044	ARO	B	10,000	31.12	28-Apr	6-Jun	500.00	5.0
COUGAR TRADING	044	ARO	SS	5,000	31.94	28-Apr	6-Jun	250.00	5.0
CAXTON PART	040	AXP	B	115,155	43.77	n/a	n/a	4,030.43	3.5
S.A.C. CAPITAL MGMT.	044	AXP	SS	50,000	43.66	n/a	n/a	2,500.00	5.0
AVESTA CAP	229	BAC	B	75,000	29.95	n/a	15-May	3,750.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
INDEPENDENCE INV	039	BA	S	60,360	75.29	n/a	n/a	2,414.40	4.0
⌘ BUCK CAPITAL MGMT		BBBY	SS	60,000	29.33	n/a	n/a	non-brg	4.0
LEDDY	035	BBW	S	700	8.76	19-Dec	19-Dec	0.00	0.0
JMP ASSET MGMT	210	BBY	B	1,000	44.60	n/a	27-Jul	50.00	5.0
LISTED ERR	099	BBY	B	1,000	44.88	n/a	27-Jul	0.00	0.0
LISTED ERR	099	BBY	S	1,000	44.55	n/a	27-Jul	0.00	0.0
TRELLUS PARTNERS LP	028	BIG	SS	13,100	33.19	29-May	5-Jun	655.00	5.0
⌘ BUCK CAPITAL MGMT		BKC	B	100,000	28.55	1-May	2-May	non-brg	4.0
PERRY PARTNERS	041	BUD	B	150,000	61.39	n/a	n/a	4,500.00	3.0
⌘ BUCK CAPITAL MGMT		BWA	SS	25,000	46.98	10-Jun	10-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		BWA	SS	25,000	47.11	10-Jun	10-Jun	non-brg	2.0
TRELLUS PARTNERS LP	028	BWA	SS	23,600	47.02	10-Jun	10-Jun	1,180.00	5.0
TROUBH PART	067	CAT	SS	25,000	80.54	n/a	23-May	1,250.00	5.0
ROTHSCHILD ASSET	040	CE	S	23,400	47.53	n/a	n/a	1,053.03	4.5
KORMAN	003	CFSG	S	2,800	11.13	n/a	n/a	0.00	0.0
KORMAN	003	CHCG	S	7,000	1.43	n/a	n/a	0.00	0.0
GRASSANO	001	CMO	B	120	11.72	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
GRUBER MACBAINE	033	COH	B	20,000	33.01	4-Apr	23-Apr	800.00	4.0
⚡ BUCK CAPITAL MGMT		COST	C	40,000	69.66	n/a	27-Jul	non-brg	4.0
MERRILL ASSET	032	CRR	S	20,300	54.81	5-Jun	5-Jun	1,015.00	5.0
BANK OF NEW YORK	046	CUZ	S	15,000	24.93	n/a	n/a	600.00	4.0
NATIONAL BK OF CAN	031	C	B	50,000	19.88	16-Jan	15-May	2,000.00	4.0
⚡ BUCK CAPITAL MGMT		DECK	B	10,000	127.39	n/a	n/a	non-brg	4.0
CASCADE INVESTMENTS	038	EK	B	200,000	13.13	n/a	n/a	10,000.00	5.0
COUGAR TRADING	044	EL	S	5,000	48.16	7-May	21-May	250.00	5.0
OGORMAN	046	EL	SS	500	47.48	7-May	21-May	0.00	0.0
COLE	N24	ETFC	S	700	3.64	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	EXM	B	25,000	36.39	n/a	n/a	1,250.00	5.0
⚡ BUCK CAPITAL MGMT		FOSL	B	87,000	31.03	n/a	14-May	non-brg	4.0
⚡ BUCK CAPITAL MGMT		FUA+LH	SS	1,000	1.47	n/a	n/a	non-brg	300.0
ZOLIDIS	011	F	C	1,000	6.11	25-Apr	9-Jun	0.00	0.0
OGORMAN	046	F	C	2,000	6.08	25-Apr	9-Jun	0.00	0.0
⚡ BUCK CAPITAL MGMT		GCO	B	20,000	26.95	n/a	10-Jan	non-brg	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
⌘ BUCK CAPITAL MGMT		GES	S	60,000	40.95	n/a	n/a	non-brg 4.0
HIGHLINE ADV	044	GE	SS	300,000	29.13	n/a	n/a	15,000.00 5.0
COLE	N24	GFG	B	700	5.41	n/a	n/a	0.00 0.0
BUCK CAPITAL MGMT	001	GIL	B	10,000	27.87	30-Apr	28-May	400.00 4.0
⌘ BUCK CAPITAL MGMT		GM+SC	SS	1,000	0.83	n/a	n/a	non-brg 100.0
⌘ BUCK CAPITAL MGMT		GM+XS	SS	1,000	1.63	n/a	n/a	non-brg 300.0
⌘ BUCK CAPITAL MGMT		GM	SS	220,000	16.09	12-Jun	12-Jun	non-brg 4.0
PORTER-ORLIN-FELDMAN	044	GM	SS	100,000	15.91	12-Jun	12-Jun	5,000.00 5.0
SATELLITE ASSET MGMT	012	GPI	SS	7,500	22.50	10-Jun	10-Jun	300.00 4.0
EMP ERROR	099	GPI	S	1,000	22.47	10-Jun	10-Jun	0.00 0.0
COUGAR TRADING	044	GPS	SS	10,000	17.67	n/a	6-Jun	500.00 5.0
⌘ BUCK CAPITAL MGMT		GS	B	2,000	162.90	19-Mar	6-Jun	non-brg 1.0
NATIONAL BK OF CAN	031	GS	B	10,000	167.28	19-Mar	6-Jun	400.00 4.0
⌘ BUCK CAPITAL MGMT		GVK+LO	SS	100	5.60	n/a	n/a	non-brg 300.0
WHEELER	008	HAYN	B	2,000	55.25	n/a	n/a	0.00 0.0
MS PRINCIPAL STRATEGIES	041	HCBK	SS	20,000	16.63	n/a	n/a	1,000.00 5.0
⌘ BUCK CAPITAL MGMT		HD	B	2,500	26.33	n/a	27-Jul	non-brg 1.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
B BUCK CAPITAL MGMT		ICON	B	20,000	12.87	n/a	n/a	non-brg	4.0
NOMURA CAPITAL	045	IESC	S	2,100	18.07	n/a	n/a	105.00	5.0
HIGHLINE ADV	044	IGT	B	200,000	30.32	n/a	18-Apr	10,000.00	5.0
FRONTPOINT CHRIS C	041	IR	B	12,700	39.14	n/a	n/a	635.00	5.0
PERRY PARTNERS	041	IVGN	B	25,600	40.06	n/a	n/a	768.00	3.0
WHEELER	008	IVGN	B	1,000	39.10	n/a	n/a	0.00	0.0
KORMAN	003	IVZ	S	5,000	26.77	10-Apr	9-Jun	0.00	0.0
FRONTPOINT CHRIS C	041	IWM	SS	7,200	71.74	n/a	n/a	360.00	5.0
B BUCK CAPITAL MGMT		JOSB	B	10,000	28.18	n/a	n/a	non-brg	4.0
MAGUIRE	039	JPMLJ	B	20	1.08	n/a	n/a	0.00	0.0
COUGAR TRADING	044	JWN	B	15,000	33.32	16-May	6-Jun	750.00	5.0
KORMAN	003	KMXSD	B	50	2.85	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	KMX	SS	50,000	17.63	n/a	10-Jan	2,000.00	4.0
COUGAR TRADING	044	KSS	S	5,000	43.31	n/a	6-Jun	250.00	5.0
KORMAN	003	KVA	S	13,000	20.22	n/a	n/a	0.00	0.0
KORMAN	003	LDK	S	6,000	37.21	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
⌘ BUCK CAPITAL MGMT		LEH	B	5,000	22.01	9-Jun	9-Jun	non-brg	1.0
FORD FDN.	032	LEH	S	100,000	23.84	9-Jun	9-Jun	5,000.00	5.0
NATIONAL BK OF CAN	031	LEH	S	40,000	22.18	9-Jun	9-Jun	1,600.00	4.0
LUCHANSKY3	036	LEH	S	1,000	22.94	9-Jun	9-Jun	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	SS	10,000	15.54	n/a	14-May	400.00	4.0
⌘ BUCK CAPITAL MGMT		LL	B	25,000	13.35	n/a	n/a	non-brg	4.0
⌘ BUCK CAPITAL MGMT		LL	B	7,400	13.34	n/a	n/a	non-brg	1.0
⌘ BUCK CAPITAL MGMT		LOW	B	2,500	23.26	n/a	27-Jul	non-brg	1.0
DAVIDSON KEMPNER ADVISOR	040	LPX	B	43,900	9.64	7-Apr	7-May	2,195.00	5.0
KACELA CAPITAL	034	MA	B	5,000	287.05	n/a	n/a	200.00	4.0
CAXTON PART	040	MER	C	20,000	37.25	18-Jan	22-May	700.00	3.5
COLUMBIA PARTNERS	028	MER	SS	27,437	35.92	18-Jan	22-May	1,097.48	4.0
ROSEDALE CAPITAL	046	MER	B	10,000	36.30	18-Jan	22-May	500.00	5.0
NOMURA CAPITAL	045	MGLN	S	40,202	38.92	n/a	n/a	2,010.10	5.0
KACELA CAPITAL	034	MHK	B	10,000	70.31	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		MS	B	15,000	38.26	n/a	6-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		MS	B	5,000	37.89	n/a	6-Jun	non-brg	1.0
CENTURION GLOBAL MGMT LL	041	MU	S	25,100	7.31	n/a	n/a	753.00	3.0
⌘ BUCK CAPITAL MGMT		MVRX	SS	80,000	141.51	n/a	n/a	non-brg	14.2

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	NKE	B	12,500	67.98	n/a	n/a	500.00	4.0
CAXTON PART	040	NOK	C	200,000	26.17	n/a	n/a	7,000.00	3.5
BUCK CAPITAL MGMT	001	OXM	SS	13,300	22.92	n/a	n/a	532.00	4.0
BARR	096	PAAS	B	600	29.74	n/a	n/a	0.00	0.0
JMP ASSET MGMT	210	PDCO	SS	2,000	33.00	n/a	n/a	100.00	5.0
ß BUCK CAPITAL MGMT		PERY	S	20,000	23.11	n/a	n/a	non-brg	2.5
BEAR STEARNS ASSET MGMT.	033	PETM	B	75,000	22.63	n/a	n/a	3,000.00	4.0
ß BUCK CAPITAL MGMT		PETM	SS	40,000	22.41	n/a	n/a	non-brg	4.0
CAXTON PART	040	PFG	S	15,000	49.98	n/a	n/a	525.01	3.5
PILOT ROCK	028	PH	SS	50,000	76.97	n/a	23-May	2,000.00	4.0
ß BUCK CAPITAL MGMT		PSUN	B	150,000	10.17	n/a	6-Jun	non-brg	2.0
ß BUCK CAPITAL MGMT		PSUN	B	225,000	10.08	n/a	6-Jun	non-brg	4.0
ß BUCK CAPITAL MGMT		QPT+VD	SS	2,000	1.20	n/a	n/a	non-brg	300.0
BENCHMARK	028	RL	SS	2,500	64.29	7-Feb	29-May	125.00	5.0
BUCK CAPITAL MGMT	001	RL	B	35,000	64.80	7-Feb	29-May	1,400.00	4.0
COUGAR TRADING	044	RL	B	2,600	65.75	7-Feb	29-May	130.00	5.0
COUGAR TRADING	044	RL	SS	5,000	65.88	7-Feb	29-May	250.00	5.0
COUGAR TRADING	044	SDS	SS	10,000	61.91	n/a	n/a	500.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
JMP ASSET MGMT	210	SFLY	SS	1,000	14.08	n/a	n/a	50.00	5.0
ENTRUST CAPITAL	040	SHW	B	50,100	49.73	n/a	3-Jun	2,505.00	5.0
CASTLEROCK	040	SIRI	S	1,000,000	2.42	n/a	n/a	20,000.00	2.0
B BUCK CAPITAL MGMT		SKS	SS	50,000	12.11	n/a	n/a	non-brg	4.0
COUGAR TRADING	044	SKS	SS	30,000	12.21	n/a	n/a	1,500.00	5.0
OGORMAN	046	SKX	SS	500	20.45	n/a	13-Mar	0.00	0.0
KORMAN	003	SORL	S	13,301	5.60	n/a	n/a	0.00	0.0
SUFFOLK CAPITAL MGMT	040	SPLS	B	332,000	24.94	n/a	27-Jul	13,280.00	4.0
SENECA CAPITAL LP NY	028	SPY	S	42,000	134.71	n/a	n/a	2,100.00	5.0
KORMAN	003	SRO	S	5,000	12.69	n/a	n/a	0.00	0.0
SATELLITE ASSET MGMT	012	SWY	SS	11,500	31.15	n/a	n/a	460.00	4.0
TRELLUS PARTNERS LP	028	TEN	SS	10,000	20.47	n/a	n/a	500.00	5.0
IRONBOUND CAPITAL	012	TIN	B	4,400	12.19	29-Apr	29-Apr	220.00	5.0
WAGONHOUND	048	TJX	S	7,101	32.31	n/a	6-Jun	284.04	4.0
BUCK CAPITAL MGMT	001	TLB	B	250,000	10.63	17-Apr	11-Jun	10,000.00	4.0
B BUCK CAPITAL MGMT		TLB	C	65,000	10.20	17-Apr	11-Jun	non-brg	2.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
J L F ASSET MGMT	039	TSCO	B	26,500	33.63	n/a	n/a	1,060.00	4.0
SYSTEMATIC FINANCIAL MGT	035	TUP	B	4,600	36.96	n/a	n/a	172.42	3.8
COUGAR TRADING	044	TWB	S	25,000	17.94	17-Mar	22-May	1,250.00	5.0
BUCK CAPITAL MGMT	001	UA	B	10,000	32.91	n/a	n/a	400.00	4.0
⌘ BUCK CAPITAL MGMT		URQ+LH	SS	1,000	1.56	n/a	n/a	non-brg	300.0
BANK OF NEW YORK	046	VTR	S	10,000	45.46	n/a	n/a	400.00	4.0
JMP ASSET MGMT	210	WDFC	SS	600	34.10	n/a	n/a	30.00	5.0
⌘ BUCK CAPITAL MGMT		WFMI	SS	30,000	27.32	n/a	n/a	non-brg	4.0
NOMURA CAPITAL	045	WINN	S	20,270	16.24	n/a	n/a	1,013.50	5.0
⌘ BUCK CAPITAL MGMT		WMT	B	20,000	59.64	13-May	10-Jun	non-brg	4.0
⌘ BUCK CAPITAL MGMT		WRC+JM	SS	1,000	0.80	n/a	n/a	non-brg	100.0
BUCK CAPITAL MGMT	001	WRC	B	10,000	46.56	13-May	13-May	400.00	4.0
BUCK CAPITAL MGMT	001	WSM	SS	30,000	21.40	n/a	n/a	1,200.00	4.0
⌘ BUCK CAPITAL MGMT		XRT	SS	16,900	31.27	n/a	n/a	non-brg	1.0
FRONTPOINT CHRIS C	041	YHOO	B	5,200	23.65	n/a	n/a	260.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
8 BUCK CAPITAL MGMT		ZQK	S	178,100	9.01	5-Feb	6-Jun	non-brg	4.0
ZOLIDIS	011	ZUMZ	C	1,000	20.70	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							166,018.41		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,	06/11	THOMAS WEISEL ASSET MGMT	035	ATHR	S	1,854	31.32	n/a	n/a	55.62 3.0
AO,CXL	06/11	THOMAS WEISEL ASSET MGMT	035	ATHR	S	1,854	31.32	n/a	n/a	55.62 3.0
AO,CXL	06/05	WYPER CAPITAL	044	DFS	B	4,005	16.74	n/a	n/a	0.00 0.0
AO,CRT	06/05	WYPER CAPITAL	044	DFS	B	4,005	16.74	n/a	n/a	0.00 0.0
AO,CRT	06/11	EMP ERROR	015	GPI	C	1,000	22.92	10-Jun	10-Jun	0.00 0.0
AO,CXL	06/11	BUCK CAPITAL MGMT	015	GPI	C	1,000	22.92	10-Jun	10-Jun	0.00 0.0
AO,	06/11	STONERIDGE INV PART	028	GS	B	5,095	163.78	19-Mar	6-Jun	254.75 5.0
AO,CRT	06/11	NOMURA CAPITAL	045	ICOG	S	13,716	3.93	n/a	n/a	411.48 3.0
AO,CXL	06/11	NOMURA CAPITAL	045	ICOG	S	13,716	3.93	n/a	n/a	0.00 0.0
AO,CRT	06/11	ZANDER CAPITAL MGMT	035	IWM	SS	6,000	72.37	n/a	n/a	180.00 3.0
AO,CXL	06/11	ZANDER CAPITAL MGMT	035	IWM	SS	6,000	72.37	n/a	n/a	240.00 4.0
AO,CXL	06/11	NOMURA CAPITAL	045	MGLN	S	30,000	38.45	n/a	n/a	0.00 0.0
AO,CRT	06/11	NOMURA CAPITAL	045	MGLN	S	30,000	38.45	n/a	n/a	1500.00 5.0
AO,CXL	06/04	PATTEN & PATTEN	067	MHP	S	1,000	41.61	n/a	n/a	50.00 5.0
AO,CRT	06/04	PATTEN & PATTEN	067	MHP	S	600	41.61	n/a	n/a	30.00 5.0
AO,	06/11	STONERIDGE INV PART	028	MS	B	25,225	37.22	n/a	6-Jun	1261.25 5.0
AO,CRT	06/11	IRONBOUND CAPITAL	012	TIN	B	195,600	12.18	29-Apr	29-Apr	5868.00 3.0
AO,CXL	06/11	IRONBOUND CAPITAL	012	TIN	B	195,600	12.18	29-Apr	29-Apr	9780.00 5.0

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
AO,CRT	06/09	FEDERATED INVESTOR	029	WBC	S	28,400	53.16	30-Apr	11-Jun	1562.01 5.5
AO,CXL	06/09	FIRST INV MGMT CORP	029	WBC	S	28,400	53.16	30-Apr	11-Jun	1420.00 5.0
AO,CRT	06/11	NOMURA CAPITAL	045	WINN	S	20,000	16.16	n/a	n/a	1000.00 5.0
AO,CXL	06/11	NOMURA CAPITAL	045	WINN	S	20,000	16.16	n/a	n/a	0.00 0.0
AO,CRT	06/11	NEWLAND CAPITAL MGT	067	YRCW	S	16,500	15.00	n/a	n/a	660.00 4.0
AO,CXL	06/11	NEWLAND CAPITAL MGT	067	YRCW	S	16,500	15.00	n/a	n/a	825.00 5.0

TOTAL (AO, CXL, CRT) : 412.49

TOTAL (Trade Date) : 166,018.41
TOTAL (AO, CXL, CRT) : 412.49

TOTAL (RUN DATE) / NFS: 166,430.90

MRI Securities with Trade Activity on 12-Jun.

GM

MRI Securities without Trade Activity on 12-Jun.

NONE

BRG comm. as a % of BCM Total Comm. paid.

T-Date = 14%

YTD = 17%

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

[i]: Initiation of Coverage

Blue: Employee, Affiliate(BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION