



Daily Compliance Activity Report

Trade Date: 10/02/2008

POTENTIAL ANALYST TRADE VIOLATIONS : 0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	AEO	SS	120,000	15.02	n/a	5-Sep	4,800.00	4.0
SINCUTMA	031	AG	B	20	36.05	n/a	30-Jul	0.00	0.0
BRIGHTPOINT CAP	067	ALV	S	58,300	31.29	23-Jul	30-Jul	2,332.00	4.0
BEAR STEARNS ASSET MGMT.	033	ANN	SS	43,400	19.42	n/a	17-Sep	1,736.00	4.0
THOMSON HORSTMAN BRYANT	040	ANN	B	70,000	19.63	n/a	17-Sep	3,500.00	5.0
KORMAN	003	ANN	C	2,000	19.40	n/a	17-Sep	0.00	0.0
BUCK CAPITAL MGMT	001	ARO	B	100,000	30.31	28-Apr	5-Sep	4,000.00	4.0
CASTLEROCK	040	ARO	SS	43,203	30.71	28-Apr	5-Sep	1,728.12	4.0
HUNTER GLOBAL INVESTOR	067	AZN	B	110,000	44.81	n/a	n/a	5,500.00	5.0
COBALT	041	BAC	S	60,000	37.32	n/a	19-Sep	3,000.00	5.0
PNC/MERCANTILE	028	BAC	S	14,000	37.39	n/a	19-Sep	700.00	5.0
HUNTER GLOBAL INVESTOR	067	BAX	B	45,000	66.93	n/a	22-Apr	2,250.00	5.0
BEAR STEARNS ASSET MGMT.	033	BBBY	SS	86,800	30.74	n/a	n/a	3,472.00	4.0
COLUMBIA PARTNERS	028	BEAV	S	172,132	12.45	n/a	n/a	6,885.28	4.0
PRINCIPLE CAPITAL	040	BID	S	53,400	18.32	n/a	n/a	1,602.00	3.0
BUCK	015	BUCY	C	800	38.28	5-Sep	5-Sep	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
N STRATTON	014	BUDAM	B	5	3.90	n/a	n/a	0.00	0.0
COLE	077	BZ	B	300	1.35	n/a	n/a	0.00	0.0
Õ Jason Cohen		CCI	B	28	25.8300	n/a	n/a	0.00	0.0
BEAR STEARNS ASSET MGMT.	033	CCL	SS	17,400	35.63	n/a	26-Jan	696.00	4.0
S CAST	016	CHCG	S	1,000	1.30	n/a	n/a	0.00	0.0
CATTANI	041	CLDN	S	300	10.45	n/a	n/a	0.00	0.0
LUCHANSKY1	036	CPN	S	281	11.26	n/a	n/a	0.00	0.0
REICH AND TANG	067	CYT	B	5,000	37.18	n/a	n/a	250.00	5.0
BUCK	015	C	B	2,000	23.04	15-Sep	30-Sep	0.00	0.0
COLUMBIA PARTNERS	028	DAI	C	28,843	43.12	n/a	n/a	1,153.72	4.0
ROTHSCHILD ASSET	040	DD	S	27,530	38.86	n/a	30-Sep	1,238.85	4.5
BUCK	015	DHR	SS	1,000	66.55	n/a	n/a	0.00	0.0
AMATURO	018	DIAWB	S	30	6.10	n/a	n/a	0.00	0.0
LEDDY	035	DIS	S	100	30.33	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	DKS	S	50,000	18.16	22-Aug	22-Aug	2,000.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
BUCK CAPITAL MGMT	001	DRE	S	20,000	22.41	n/a	n/a	800.00	4.0
LATEFF MGMT	035	DVN	B	5,500	83.25	n/a	2-Oct	880.00	16.0
LUCHANSKY	036	DVN	S	100	83.28	n/a	2-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	EAT	SS	100,000	17.02	n/a	24-Apr	4,000.00	4.0
GRANITE CAPITAL	045	EMC	S	25,000	11.85	n/a	n/a	0.00	0.0
AMATURO	018	EPG	B	5,000	1.75	n/a	n/a	0.00	0.0
BUCK	015	ETN	C	500	52.54	15-Jul	16-Jul	0.00	0.0
SIGMA CAPITAL ASSOCIATES	237	FDO	B	60,000	24.35	23-Jul	1-Oct	3,000.00	5.0
BUCK CAPITAL MGMT	001	FL	S	20,000	15.54	11-Aug	29-Sep	800.00	4.0
BUCK CAPITAL MGMT	001	GES	B	70,000	32.48	n/a	n/a	2,800.00	4.0
CROWN ADVISORS	045	GE	S	3,000	22.20	n/a	n/a	150.00	5.0
LEDDY	035	GE	B	600	22.27	n/a	n/a	0.00	0.0
SINCLAIR	031	GLDAB	B	10	9.00	n/a	n/a	0.00	0.0
Õ Jason Cohen		GLW	B	50	14.7100	n/a	23-Sep	0.00	0.0
DSG CAPITAL	225	GNTX	B	11,900	12.98	2-Oct	2-Oct	595.00	5.0
LUCHANSKY1	036	GOOG	S	5	394.67	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK	015	GSK	B	500	43.32	n/a	n/a	0.00	0.0
HARVEST MGMT	039	GYMB	B	10,000	32.33	11-Jul	1-Oct	500.00	5.0
BUCK CAPITAL MGMT	001	HAS	SS	50,000	32.89	n/a	n/a	2,000.00	4.0
REICH AND TANG	067	HSC	B	5,000	33.72	24-Sep	24-Sep	250.00	5.0
CSFB GLOBAL PROPRIETARY	041	IP	B	20,000	26.02	22-Sep	22-Sep	1,000.00	5.0
SCOPUS FUND	041	IP	B	25,000	25.80	22-Sep	22-Sep	1,250.00	5.0
LUCHANSKY1	036	IR	S	100	27.99	n/a	n/a	0.00	0.0
LUCHANSKY1	036	IVZ	S	100	20.41	4-Aug	2-Oct	0.00	0.0
LUCHANSKY	036	IVZ	S	100	20.57	4-Aug	2-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	JCP	SS	50,000	32.68	n/a	1-Oct	2,000.00	4.0
LUCHANSKY1	036	JCP	S	100	33.08	n/a	1-Oct	0.00	0.0
HUNTER GLOBAL INVESTOR	067	JWN	SS	46,200	27.09	2-Oct	2-Oct	2,310.00	5.0
COUGAR TRADING	044	KSS	S	10,000	43.48	n/a	1-Oct	500.00	5.0
SIGMA CAPITAL ASSOCIATES	237	KSS	S	10,000	42.66	n/a	1-Oct	500.00	5.0
ZOLIDIS	011	KSS	SS	500	43.49	n/a	1-Oct	0.00	0.0
BUCK CAPITAL MGMT	001	LIZ	S	20,000	15.96	n/a	18-Sep	800.00	4.0
LUCHANSKY1	036	LOW	S	100	22.76	n/a	27-Jul	0.00	0.0
HUNTER GLOBAL INVESTOR	067	LO	B	28,600	68.50	n/a	n/a	1,430.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
HUNTER GLOBAL INVESTOR	067	MAS	SS	200,000	17.32	n/a	n/a	8,000.00	4.0
BUCK	015	MER	B	1,000	27.57	24-Jun	19-Sep	0.00	0.0
KORMAN	003	METPRB	B	1,000	14.90	n/a	n/a	0.00	0.0
LUCHANSKY1	036	MIR	S	200	16.42	n/a	n/a	0.00	0.0
WHEELER	008	MTRX	B	5,000	14.20	n/a	n/a	0.00	0.0
BRUNNER	012	MTRX	B	1,000	14.15	n/a	n/a	0.00	0.0
LUCHANSKY1	036	M	S	100	16.37	12-Aug	1-Oct	0.00	0.0
PAKLER	029	NCCAZ	B	7	1.50	n/a	n/a	0.00	0.0
CATTANI	041	NIHD	S	200	33.36	n/a	n/a	0.00	0.0
ROTHSCHILD ASSET	040	NR	B	210,570	6.90	n/a	n/a	9,475.65	4.5
BENCHMARK	028	NWSA	S	5,000	11.34	n/a	n/a	250.00	5.0
KORMAN	003	NWY	C	2,000	9.05	n/a	17-Sep	0.00	0.0
REICH AND TANG	067	OI	B	10,000	26.67	n/a	n/a	500.00	5.0
CSFB GLOBAL PROPRIETARY	041	PKG	B	60,000	22.85	11-Sep	22-Sep	3,000.00	5.0
BUCK CAPITAL MGMT	001	PKY	S	30,000	36.48	n/a	n/a	1,200.00	4.0
BUCK CAPITAL MGMT	001	PSS	S	20,000	17.71	n/a	n/a	800.00	4.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.	
NOMURA CAPITAL	045	PTRY	S	11,000	21.66	n/a	n/a	550.00	5.0
HUNTER GLOBAL INVESTOR	067	PWR	SS	241,000	22.62	n/a	n/a	12,050.00	5.0
MERRILL ASSET	032	RNR	B	67,700	47.11	n/a	n/a	3,385.00	5.0
REICH AND TANG	067	RRD	B	3,000	22.66	n/a	n/a	150.00	5.0
ARTX	031	SLM	B	262,000	9.21	n/a	2-Oct	10,480.00	4.0
PERRY PARTNERS	041	SNDK	S	78,000	20.45	n/a	n/a	2,340.00	3.0
HART	056	SPYJH	B	7	4.80	n/a	n/a	0.00	0.0
HART	056	SPYJH	S	2	4.40	n/a	n/a	0.00	0.0
PROSPECTOR PARTNERS LLC	036	SRP	B	50,000	9.73	n/a	n/a	2,000.00	4.0
THE JAY GOLDMAN	034	SSI	B	40,000	12.53	n/a	1-Oct	1,600.00	4.0
CASEY CAPITAL	067	STM	SS	10,000	10.16	n/a	n/a	500.00	5.0
KORMAN	003	TEN	C	2,000	8.99	n/a	n/a	0.00	0.0
MS PRINCIPAL STRATEGIES	041	TEO	B	33,327	12.46	n/a	n/a	1,666.35	5.0
ZOLIDIS	011	TIF	SS	500	34.67	n/a	17-Sep	0.00	0.0
CSFB GLOBAL PROPRIETARY	041	TIN	B	50,000	14.51	11-Sep	22-Sep	2,500.00	5.0
JMP ASSET MGMT	210	TITN	B	2,000	16.40	n/a	n/a	100.00	5.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
BUCK CAPITAL MGMT	001	TJX	S	173,000	30.19	11-Aug	1-Oct	6,920.00	4.0
NOMURA CAPITAL	045	TOL	SS	12,500	24.71	n/a	n/a	625.00	5.0
NEW JERSEY DIV OF INV.	220	T	S	100,000	28.32	n/a	4-Jan	4,000.00	4.0
NOMURA CAPITAL	045	UAUA	S	21,700	9.49	n/a	n/a	868.00	4.0
COUGAR TRADING	044	URBN	B	5,000	28.87	14-Aug	1-Oct	250.00	5.0
COUGAR TRADING	044	URBN	SS	5,000	30.21	14-Aug	1-Oct	250.00	5.0
KORMAN	003	URBN	C	1,000	28.79	14-Aug	1-Oct	0.00	0.0
ZOLIDIS	011	UYG	B	600	17.45	n/a	n/a	0.00	0.0
BUCK CAPITAL MGMT	001	VFC	SS	70,000	72.80	n/a	n/a	2,800.00	4.0
J ROSELTD	034	VNO	S	521	87.74	n/a	n/a	0.00	0.0
MERRILL ASSET	032	VTR	B	10,500	48.52	n/a	n/a	525.00	5.0
REICH AND TANG	067	WCC	B	6,000	29.03	n/a	n/a	300.00	5.0
BUCK CAPITAL MGMT	001	WMT	S	50,000	58.65	8-Aug	1-Oct	2,000.00	4.0
BUCK CAPITAL MGMT	001	WRC	B	40,000	41.89	8-Aug	1-Oct	1,600.00	4.0
KORMAN	003	WWW	C	2,000	25.55	n/a	13-Mar	0.00	0.0
S CAST	016	X	B	13	66.59	n/a	n/a	0.00	0.0

Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm. ¢/Shr.	
AMATURO	018	X	B	1,000	64.35	n/a	n/a	0.00	0.0
LUCHANSKY1	036	YTEC	S	500	9.94	n/a	n/a	0.00	0.0
KORMAN	003	ZOLT	S	3,000	15.54	n/a	n/a	0.00	0.0
TOTAL (Trade Date):							153,093.97		

Cancel/Corrects and As-Of Trades

Type	Date	Account	RR #	Symbol	B/S	Shares	Price	MRI	Research	Comm.¢/Shr.
CRT	10/02	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	AEO	SS	119,900	15.02	n/a	5-Sep	4796.00 4.0
AO,	10/01	THE BOSTON COMPANY (BOST1091)	401	DSW	S	30,470	13.69	8-May	1-Oct	1218.80 4.0
AO,	10/01	THE BOSTON COMPANY (BOST1091)	401	DSW	S	1,610	13.69	8-May	1-Oct	64.40 4.0
AO,	10/01	THE BOSTON COMPANY (BOST1198)	401	DSW	S	460	13.69	8-May	1-Oct	18.40 4.0
AO,CXL	10/01	ZOLIDIS (ZOLIDIS)	KMH	SHOEQ	C	39,400	0.08	n/a	n/a	0.00 0.0
AO,CRT	10/01	ZOLIDIS (ZOLIDIS)	KMH	SHOEQ	C	39,400	0.08	n/a	n/a	0.00 0.0
CRT	10/02	BUCK CAPITAL MGMT (BUCKMSMAIN)	001	TJX	S	172,800	30.19	11-Aug	1-Oct	6912.00 4.0
TOTAL (AO, CXL, CRT) :										13,009.60

TOTAL (Trade Date):	153,093.97
TOTAL (AO, CXL, CRT):	13,009.60

TOTAL (RUN DATE) / NFS:	166,103.57
--------------------------------	-------------------

Legend:

Õ: Employee Trades in Outside Accounts

ß: Non-BRG BCM Trades

Blue: Employee, Affiliate (BCM) and Proprietary Trades.

Red: Trades in stocks with same day Research/MRI.

Type: AO = As Of, CXL = Cancel, CRT = Corrected.

POTENTIAL ANALYST TRADE VIOLATION