

Lab01-AlgorithmAnalysis

CS214-Algorithm and Complexity, Xiaofeng Gao, Spring 2020.

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1. Please analyze the time complexity of Alg. 1 with brief explanations.

Algorithm 1: PSUM

Input: $n = k^2$, k is a positive integer.

Output: $\sum_{i=1}^j i$ for each perfect square j between 1 and n .

```
1  $k \leftarrow \sqrt{n}$ ;
2 for  $j \leftarrow 1$  to  $k$  do
3    $sum[j] \leftarrow 0$ ;
4   for  $i \leftarrow 1$  to  $j^2$  do
5      $sum[j] \leftarrow sum[j] + i$ ;
6 return  $sum[1 \cdots k]$ ;
```

Solution. In the first **for** loop will be executed for k times, and for each j in the first loop, the inner loop will be executed for j^2 times, so the iteration number should be:

$$\sum_{j=1}^k j^2 = \frac{k(k+1)(2k+1)}{6} = \frac{\sqrt{n}(\sqrt{n}+1)(2\sqrt{n}+1)}{6}$$

So the time complexity is $\Theta(n^{\frac{3}{2}})$.

□

2. Analyze the **average** time complexity of QuickSort in Alg. 2.

Algorithm 2: QuickSort

Input: An array $A[1, \dots, n]$

Output: $A[1, \dots, n]$ sorted nonincreasingly

```
1  $pivot \leftarrow A[n]$ ;  $i \leftarrow 1$ ;
2 for  $j \leftarrow 1$  to  $n - 1$  do
3   if  $A[j] < pivot$  then
4     swap  $A[i]$  and  $A[j]$ ;
5      $i \leftarrow i + 1$ ;
6 swap  $A[i]$  and  $A[n]$ ;
7 if  $i > 1$  then QuickSort( $A[1, \dots, i - 1]$ );
8 if  $i < n$  then QuickSort( $A[i + 1, \dots, n]$ );
```

Solution. As we can see from the pseudocode, the QuickSort Algorithm are executed recursively: suppose we aim to sort a array with a length of n , firstly we pick up one element as pivot, sencondly we divide the array by the pivot, lastly we execute the recursion.

We define the average time complexity to sort a n -element array $T(n)$, which is composed of two parts: the dividing process and the recursion process.

$$T(n) = T_{div}(n) + T_{rec}(n)$$

As the dividing process is a **for** loop which has been executed for $n - 1$ times (if we only consider the swap operation). So

$$T_{div}(n) = n$$

To get $T_{rec}(n)$, we think about the pivot $A[n]$, if there are j ($j \in \{0, 1, 2, \dots, n - 1\}$) elements which are smaller than $A[n]$, then the recursion part $T_{rec}(n)$ should be $T_{rec}(j) + T_{rec}(n - j - 1)$. Suppose the probability $P(j = m) = \frac{1}{n}$ ($m = 0, 1, \dots, n - 1$).

Then we can get:

$$T(n) = n + \sum_{j=0}^{n-1} \frac{1}{n} (T(j) + T(n - j - 1))$$

So we can get $T(n + 1)$:

$$\begin{aligned} nT(n) &= n^2 + 2(T(0) + T(1) + \dots + T(n - 1)) \\ (n + 1)T(n + 1) &= (n + 1)^2 + 2(T(0) + T(1) + \dots + T(n)) \end{aligned}$$

After subtracting $T(n + 1)$ by $T(n)$ and dividing the both side of the equation by $(n + 2)(n + 1)$:

$$\frac{T(n + 1)}{n + 2} = \frac{T(n)}{n + 1} + \frac{2n + 1}{(n + 1)(n + 2)}$$

$$\frac{T(n)}{n + 1} = \sum_{j=1}^{n-1} \frac{2(j)}{(j + 1)(j + 2)} + T(1)$$

the right side is fitting into $O(\ln(n))$ when n is big enough. (easy to prove)

So the average time complexity is $O(n \log n)$.

□

3. The BubbleSort mentioned in class can be improved by stopping in time if there are no swaps during an iteration. An indicator is used thereby to check whether the array is already sorted. Analyze the **average** and **best** time complexity of the improved BubbleSort in Alg. 3.

Algorithm 3: BubbleSort

Input: An array $A[1, \dots, n]$

Output: $A[1, \dots, n]$ sorted nonincreasingly

```

1  $i \leftarrow 1$ ;  $sorted \leftarrow false$ ;
2 while  $i \leq n - 1$  and not sorted do
3    $sorted \leftarrow true$ ;
4   for  $j \leftarrow n$  downto  $i + 1$  do
5     if  $A[j] < A[j - 1]$  then
6       interchange  $A[j]$  and  $A[j - 1]$ ;
7        $sorted \leftarrow false$ ;
8    $i \leftarrow i + 1$ ;
```

Solution. It is obvious that the **best case** is $O(n)$: in the first loop of **while**, no interchange happened and the indicator is set to true, so the program just break out of the loop.

To calculate the *averagecase*, we should take the indicator into consideration, the loop may break in the i th iteration, where i is in $2, 3, \dots, n-1$ ($n > 2$). We suppose the probability to be the same: $\frac{1}{n-2}$. Then the average time complexity can be calculated as:

$$T(n) = \frac{1}{n-2} \sum_{j=2}^{n-1} \sum_{i=1}^{j-1} (n-i) = \frac{1}{n-2} \sum_{j=2}^{n-1} \frac{(2n-1)(j-1) - (j-1)^2}{2}$$

$$T(n) = \frac{\frac{1}{12}(n-2)(n-1)(2n+1)}{n-2} = \frac{1}{12}(n-1)(2n+1)$$

So we can conclude that: $T(n) = O(n^2)$

□

4. Rank the following functions by order of growth with brief explanations: that is, find an arrangement g_1, g_2, \dots, g_{15} of the functions $g_1 = \Omega(g_2), g_2 = \Omega(g_3), \dots, g_{14} = \Omega(g_{15})$. Partition your list into equivalence classes such that functions $f(n)$ and $g(n)$ are in the same class if and only if $f(n) = \Theta(g(n))$. Use symbols “=” and “ \prec ” to order these functions appropriately.

$2^{\lg n}$	$(\lg n)^{\lg n}$	n^2	$n!$	$(n+1)!$
2^n	n^3	$\lg^2 n$	e^n	2^{2^n}
$\lg \lg n$	$n \cdot 2^n$	n	$\lg n$	$4^{\lg n}$

Solution.

$$\lg \lg n \prec \lg n \prec (\lg n)^2 \prec n = 2^{\lg n} \prec n^2 = 4^{\lg n} \prec n^3$$

$$\prec \lg n^{\lg n} \prec 2^n \prec n 2^n \prec e^n \prec n! \prec (n+1)! \prec 2^{2^n}$$

□

Remark: You need to include your .pdf and .tex files in your uploaded .rar or .zip file.