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QP CODE: 22101428

Reg No : _____

Name : _____

**B.COM DEGREE (CBCS) IMPROVEMENT / REAPPEARANCE EXAMINATIONS,
MAY 2022**

Fourth Semester

Optional Core - CO4OCT01 - FINANCIAL SERVICES

(Common for B.Com Model I Finance & Taxation, B.Com Model II Finance & Taxation and B.Com Model III Taxation)

2017 Admission Onwards

56DE873F

Time: 3 Hours

Max. Marks : 80

Part A

Answer any ten questions.

Each question carries 2 marks.

1. What is corporate counseling?
2. What is hands-off nurturing?
3. Which are the VCFs promoted by central financial institutions?
4. What are non-mortgage securities?
5. Define leasing.
6. What is meant by step-up lease?
7. Who are the parties to factoring contract?
8. What is Sovereign Credit Rating?
9. What is Onicra?
10. What is divestment?
11. What is meant by golden parachute?
12. What is greenmail strategy?

(10×2=20)

Part B

Answer any six questions.

Each question carries 5 marks.

13. How do you classify financial services?
14. Explain the impediments to asset securitisation in India.
15. Explain the objectives of SARFAESI act.
16. Explain the differences between installment purchase and leasing.
17. Explain the differences between forfaiting and factoring.
18. Issuers with a good credit rating are able to raise funds at a lower interest rate. Why?
19. Usually both quantitative and qualitative factors are considered in the credit rating process. Discuss.
20. Explain the different internal expansion strategies.
21. Explain the legal procedure for merger in India.

(6x5)

Part C

Answer any two questions.

Each question carries 15 marks.

22. Explain the functions and services of merchant bankers.
23. Explain the role of venture capitalist in the economic development of a Nation.
24. Discuss the factoring services in India.
25. Describe the important types of merger and discuss some of the instances of merger in the Indian context.

(2x15)



21102858

QP CODE: 21102858

Reg No : _____

Name : _____

**B.COM DEGREE (CBCS) REGULAR/SUPPLEMENTARY EXAMINATIONS,
OCTOBER 2021**

Fourth Semester

Optional Core - CO4OCT01 - FINANCIAL SERVICES

(Common for B.Com Model I Finance & Taxation, B.Com Model II Finance & Taxation and B.Com Model III Taxation)

For Regular Candidates: 2019 Admission only

For Private Candidates : 2017 Admission Onwards

E82D9CAB

Time: 3 Hours

Max. Marks : 80

Instructions to Private candidates only: This question paper contains two sections. Answer **SECTION I** questions in the answer-book provided. **SECTION II**, Internal examination questions must be answered in the question paper itself. Follow the detailed instructions given under **SECTION II**.

SECTION I

Part A

Answer any ten questions.

Each question carries 2 marks.

1. What is corporate counseling?
2. Who is an obligor?
3. What is residential mortgage backed securities?
4. What do you know about SARFAESI act?
5. Who is a lessor?
6. What is meant by advance factoring?
7. What is meant by forfaiting?
8. What is Sovereign Credit Rating?
9. Write a note on CARE and its rating symbols.
10. What is meant by conglomerate diversification?
11. How does merger help to reduce the tax liability of the transferee company?

12. What is meant by angel fund?

Part B

Answer any six questions.

Each question carries 5 marks.

13. Discuss the scope of financial services.
14. Justify "venture capital is must for economic development".
15. Explain the problems faced by venture capital firms in India.
16. Explain the differences between financial lease and operating lease.
17. Explain the differences between installment purchase and leasing.
18. The real beneficiaries of the credit rating are common public. Justify.
19. Credit rating process is considered to be subjective in nature. Prove.
20. What are the different internal expansion strategies?
21. Give five recent mergers and acquisition occurred in India.

Part C

Answer any two questions.

Each question carries 15 marks.

22. What is merchant banking? Distinguish between merchant banking and investment banking in detail.
23. Explain different stages of venture capital financing.
24. Discuss the advantages and disadvantages of factoring services.
25. Explain the legal procedure of amalgamations under the Companies Act, 2013.

(2x15)

QP CODE: 21100878



21100878

Reg No : _____

Name : _____

B.Com DEGREE (CBCS) EXAMINATION, MARCH 2021

Fourth Semester

Optional Core - CO4OCT01 - FINANCIAL SERVICES

(Common for B.Com Model II Finance & Taxation, B.Com Model III Taxation, B.Com Model I
Finance & Taxation)

2017 Admission onwards

AE308A10

Max. Marks : 80

Time: 3 Hours

Part A

Answer any **ten** questions.

Each question carries **2** marks.

1. What are Non-Banking Financial Companies?
2. Identify two problems faced by venture capital funds in India.
3. Who are the parties in securitisation process?
4. Identify any two disadvantages of securitisation.
5. What is meant by swap lease?
6. Define factorage.
7. What is meant by forfaiting?
8. Explain the concept of credit rating.
9. What is Onicra?
10. Give examples for ownership restructuring.
11. What is meant by ESOP?
12. What is meant by hedge fund?

(10×2=20)

Part B

Answer any **six** questions.

Each question carries **5** marks.

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13. Who is a merchant banker? What are his functions?
14. Explain different types of venture capital funds.
15. Write a note on later stage venture financing.
16. Explain the features of leasing.
17. Explain the differences between hire purchase and leasing.
18. What are the main limitations of credit rating in a country like India?
19. Credit rating process is considered to be subjective in nature. Prove.
20. Explain the different types of diversification strategies.
21. Explain the various forms of takeovers.

(6x5=30)

Part C

Answer any two questions.

Each question carries 15 marks.

22. What are financial services? Explain the scope of financial services.
23. Compile a note on SARFAESI act 2002.
24. What is meant by factoring? Explain the various types of factoring.
25. Describe the important types of merger and discuss some of the instances of mergers in the Indian context.

(2x15=30)

Reg No :

Name :

B.Com DEGREE (CBCS) EXAMINATION, MARCH 2020

Fourth Semester

Optional Core - CO4OCT01 - FINANCIAL SERVICES

(Common for B.Com Model II Finance & Taxation, B.Com Model III Taxation, B.Com Model I Finance & Taxation)

2017 Admission onwards

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Time: 3 Hours

Marks: 80

Part A

Answer any ten questions.

Each question carries 2 marks.

1. What is Hire Purchase?
2. Who is a venture capitalist?
3. What do you mean by offshore investment company?
4. Who is called originator in securitisation process?
5. Leasing results in loss of depreciation tax shield. How?
6. What is meant by hands off nurturing?
7. Who is a factor?
8. What is Sovereign Credit Rating?
9. Write a note on CARE and its rating symbols.
10. What is meant by reverse merger?
11. What is meant by golden parachute?
12. What is meant by shadow banking?

(10×2=20)

Part B

Answer any six questions.

Each question carries 5 marks.

13. Briefly explain the growth of merchant banks in India.

14. List the SEBI guidelines on Venture capital Funds.
15. Discuss the advantages of securitisation to the originator.
16. Explain the differences between hire purchase and leasing.
17. Explain the mechanism of forfaiting
18. Credit rating helps the development of financial markets. Discuss.
19. Credit rating process is considered to be subjective in nature. Prove.
20. Differentiate between mergers and acquisitions. How is takeover different from acquisition?
21. Explain the major objectives of due diligence in merger and acquisition.

(6×5=30)

Part C

Answer any two questions.

Each question carries 15 marks.

22. Discuss the nature and functions of financial services.
23. Compile a note on SARFAESI act 2002.
24. What is meant by factoring? Explain the various types of factoring.
25. Describe the important types of merger and discuss some of the instances of mergers in the Indian context.

(2×15=30)

B.Com DEGREE (CBCS) EXAMINATION, MAY 2019

Fourth Semester

Optional Core - CO4OCT01 - FINANCIAL SERVICES

(Common for B.Com Model II Finance & Taxation, B.Com Model III Taxation, B.Com Model I Finance & Taxation)

2017 Admission onwards

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Maximum Marks: 80

Time: 3 Hours

Part A

Answer any ten questions.

Each question carries 2 marks.

1. Describe NBFCs
2. What is private venture capital fund?
3. What is Kerala Venture Capital Fund?
4. Identify any two advantages of securitisation?
5. What do you understand by full payout lease?
6. What is limited factoring?
7. What is meant by forfaiting?
8. Explain the concept of credit rating.
9. Write a note on the rating symbols used by CRISIL.
10. What is meant by concentration?
11. What is meant by takeover?
12. What is meant by financial inclusion?

(10×2=20)

Part B

Answer any six questions.

Each question carries 5 marks.

13. Give a brief account of CRISIL
14. Explain the role of Servicer, Credit enhance and agents involved in the securitisation process?
15. Explain the provisions in SARFAESI act.
16. What are the features of lease agreement?

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17. Explain the differences between installment purchase and leasing.
18. What are the bottlenecks in the effective use of credit rating system in India?
19. Usually both quantitative and qualitative factors are considered in the credit rating process. Discuss.
20. What are the major reasons for merger?
21. Explain the important defense strategies against hostile takeover.

Part C

Answer any two questions.

Each question carries 15 marks.

22. What is merchant banking? Distinguish between merchant banking and commercial banking in detail.
23. Explain venture capital financing process.
24. Elucidate the mode of operation of Factoring services.
25. What are the problems of post merger integration? How can integration be achieved?