

## Noiseless coding - entropy

1. DMC
2. BSC, BEC
3. information rate  $\rho(C) = \frac{1}{n} \log_2 m$ ; error rate  $= e(\hat{C}) = \max_{x \in M} P(\text{error} | x \text{ sent})$
4. **transmit reliably** at rate  $R$  if there exists  $(C_n)_{n=1}^\infty$  with each  $C_n$  a code of length  $n$  such that  $\lim_{n \rightarrow \infty} \rho(C_n) = R$  &  $\lim_{n \rightarrow \infty} \hat{e}(C_n) = 0$ .
5. A code is a function  $c : A \rightarrow B^*$ ,  $c(a)$  are codewords;  $c^* : A^* \rightarrow B^*$
6. decipherable: induced map  $c^*$  is injective
7. block code: all words same length; comma code;
8. prefix-free code: is a code where no codeword is a prefix of any other distinct word
9. **Kraft's inequality**:  $|A| = m$ ,  $|B| = a$ ,  $c : A \rightarrow B^*$  has word lengths  $l_1, \dots, l_m$ . Then  $\sum_{i=1}^m a^{-l_i} \leq 1$
10. A prefix-free code exists if and only if Kraft's inequality holds
11. (McMillan). Any decipherable code satisfies Kraft's inequality
12. Cor: A decipherable code with prescribed word lengths exists if and only if a prefix-free code with the same word lengths exists

1.  $H(X) = -\sum_{i=1}^b p_i \log p_i$
2. note:  $H(p)' = \log \frac{1-p}{p}$ ,  $p = \frac{1}{2}$  giving entropy 1
3. **Gibb's inequality**:  $-\sum_{i=1}^n p_i \log p_i \leq -\sum_{i=1}^n p_i \log q_i$ . [hint:  $\ln q_i/p_i \leq q_i/p_i - 1$ ]
4. Cor:  $H(p_1, p_2, \dots, p_n) \leq \log n$
5. **Shannon's Noiseless Coding Theorem**:  $H(X)/\log a \leq E[S] < H(X)/\log a + 1$  [left: Gibb's,  $q_i = a^{-l_i}/D$ ; right:  $l_i = \text{lower}[-\log_a p_i] + 1$ ]
6. Shannon-Fano Coding
7. Huffman Coding is optimal [lemma:  $p_i p_j, l_i l_j$ ; maximal length differ only one last]
8.  $H(X, Y)$
9.  $H(X, Y) \leq H(X) + H(Y)$  [Gibb's,  $p_{ij}$  replace by  $p_i q_j$ ]

## Error correcting codes - noisy channels

1. binary  $[m, n]$ -code, Hamming distance
2. ideal observer, maximal likelihood (maximising  $P(x \text{ received} | c \text{ sent})$ ), minimum distance [later two equivalent if  $p < 1/2$ ]
3.  $d$ -error detecting: changing up to  $d$  digits in each codeword cannot produce another;  $e$ -error correcting if knowing that  $x \in 0, 1^n$  differs from a codeword in at most  $e$  places we can deduce the codeword.
4. Repetition Code:  $[n, 2]$ -code, info rate  $1/n$
5. Simple parity check:  $[n, 2^{n-1}]$ , info rate  $\frac{n-1}{n}$
6. Hamming code;  $[7, 16, 3]$ -code, 1-error-correcting
7.  $[n, m, d]$ -code. Minimum distance  $d$ ,  $(d-1)$ -error-detecting,  $\lceil \frac{d-1}{2} \rceil$ -error-correcting

1.  $V(n, r) = |B(x, r)| = \sum_{i=0}^r \binom{n}{i}$
2. **Hamming's bound:**  $e$ -error correcting code  $C$  of length  $n$  has  $|C| \leq \frac{2^n}{V(n, e)}$  (as pairwise disjoint balls)
3. Perfect [example: Hamming, binary repetition]
4.  $A(n, d+1) \leq A(n, d)$
5.  $2^n / (V(n, d-1)) \leq |A(n, d)| \leq 2^n / (V(n, [(d-1)/2]))$
6.  $C^+, C^-, C'$

## Information theory - Shannon's theorems

1. Definition. We model  $n$  uses of a channel by the  $n$ th extension, with input alphabet  $A^n$  and output alphabet  $B^n$ . A code  $C$  of length  $n$  is a function  $M \rightarrow A^n$  where  $M$  is the set of possible messages. Implicitly we also have a decoding rule  $B^n \rightarrow M$ . The size of  $C$  is  $m = |M|$ . The information rate is  $\rho(C) = 1/n \log_2 m$ . The error rate is  $e(\hat{C}) = \max_{x \in M} P(\text{error} | x \text{ sent})$ .
2. transmit reliably at rate  $R$ ; capacity is the supremum of all reliable transmission rates.
3.  $H(X|Y) = H(X, Y) - H(Y)$
4.  $H(X|Y) \leq H(X)$
5. Let  $X, Y, Z$  be random variables. Then  $H(X|Y) \leq H(X|Y, Z) + H(Z)$
6. Fano's inequality: Let  $X, Y$  be random variables taking values in  $A$ ,  $|A| = m$ . Let  $p = P(X \neq Y)$ . Then  $H(X|Y) \leq H(p) + p \log(m-1)$  [hint: define  $Z = 0$  if  $X = Y$ ]
7.  $I(X; Y) := H(X) - H(X|Y)$
8. (information) capacity is  $\max_X I(X; Y)$
9. Shannon's Second Coding Theorem: For a DMC, the operational capacity is equal to the information capacity
10. The  $n$ th extension of a DMC with information capacity  $C$  has information capacity  $nC$

## Linear Codes

1. Def of linear codes
2. rank of linear codes: dimension as a  $F_2$ -vector space
3.  $(n, k, d)$ -code: length  $n$ , rank  $k$ , min distance  $d$  - is  $[n, 2^k]$  code.
4. weight of  $x \in F_2^n$  is  $w(x) = d(x, 0)$
5. The minimum distance of a linear code is the minimum weight of a non-zero code word
6. parity check code of  $P$  is  $C = \{x \in F_2^n : p \cdot x = 0, \forall p \in P\}$
7.  $P = (1, \dots, 1)$  gives the simple parity check code;  
 $P = (1, 0, 1, 0, 1, 0, 1), (0, 1, 1, 0, 0, 1, 1), (0, 0, 0, 1, 1, 1, 1)$  gives Hamming's  $[7, 4, 3]$ -code
8. Every parity check code is linear
9. Dual code:  $C^\perp = \{x \in F_2^n : x \cdot y = 0, \forall y \in C\}$
10.  $\dim(C) + \dim(C^\perp) = n$ . So  $(C^\perp)^\perp = C$
11. Let  $C$  be a  $(n, k)$ -code. A generator matrix  $G$  for  $C$  is a  $k \times n$  matrix with rows a basis of  $C$ ; A parity check matrix  $H$  for  $C$  is a generator matrix for  $C^\perp$ . It is a  $(n-k) \times n$  matrix. The codewords of  $C$  can be viewed either as:
  - Linear combinations of rows of  $G$ ;
  - Linear dependence relations between the columns of  $H$