

## HW-1

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### Problem 11

Consider a potential (take  $L = 8nm$ )

$$U(x) = \begin{cases} U_0 = 400meV & x < 0 \& x > L \\ 0 & 0 < x < L \end{cases}$$

a) By solving Schrödinger's equation, find the lowest eigenvalue (energy level) and the corresponding eigenfunction.

b) Plot the eigenfunction using a plotting package. Ensure that you present a zoomed-in plot of the eigenfunction for  $x < 0$  and  $x > L$ .

c) Show that the eigenvalues form a discrete spectrum for energies less than  $U_0$  and a continuous spectrum for higher energies. That is, for this Hamiltonian, there are both discrete quantum numbers with eigenenergies smaller than  $U_0$  and a continuum of quantum numbers with eigenenergies larger than  $U_0$ .

### Solution

A) Xichen Li: I am the only person in my group. I wrote the problem by myself. I started the problem by solving the eigenvalue and eigenfunction of the time-independent Schrödinger equation and then process the solved results.

a) Solve time-independent Schrödinger equation:

For  $0 < x < L$

$$\begin{aligned} \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2}\right] \psi(x) &= E \psi(x) \\ -\frac{\partial^2}{\partial x^2} \psi(x) &= k_1^2 \psi(x) \end{aligned}$$

Where  $k_1 = \frac{\sqrt{2mE}}{\hbar}$ , and then the general solution for  $\psi(x)$  can be written as:

$$\psi(x) = A_1 \sin(k_1 x) + B_1 \cos(k_1 x)$$

For  $x < 0$  and  $x > L$

$$\begin{aligned} \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + U_0\right] \psi(x) &= E \psi(x) \\ -\frac{\partial^2}{\partial x^2} \psi(x) &= k_2^2 \psi(x) \end{aligned}$$

Where  $k_2 = \frac{\sqrt{2m(E-U_0)}}{\hbar}$ , and then the general solution for  $\psi(x)$  can be written as:

$$\psi(x) = A_2 \sin(k_2 x) + B_2 \cos(k_2 x)$$

Boundary Condition 1:  $\psi(0^+) = \psi(0^-)$

$$A_1 \sin(0) + B_1 \cos(0) = A_2 \sin(0) + B_2 \cos(0)$$

Then  $B_1 = B_2$ . Boundary Condition 2:  $\psi(L^+) = \psi(L^-)$

$$A_1 \sin(k_1 L) + B_1 \cos(k_1 L) = A_2 \sin(k_2 L) + B_2 \cos(k_2 L)$$

It seems hard to determine all the parameters from the two boundary conditions, so the above time-independent Schrödinger equation is solved numerically which is shown below:

```
In [33]: import numpy as np
%matplotlib notebook
import matplotlib.pyplot as plt
```

```
In [34]: N = 200 # Grid size is 100
L = 8e-9

def V(x): # Return the potential energy given the position of each grid element
    if x < 0 or x > L:
        result = 400e-3 * 1.6e-19
    else:
        result = 0
    return result

eta = 6.63e-34/2/np.pi #Reduced Plank constant in eV.s
m = 9.11e-31 #Assuming the particle mass is equal to a free electron
q = 1.6e-19
```

```
In [35]: X = np.linspace(-2*L,2*L,num=N)
a = X[1] - X[0] # grid spacing

#print(X)

U=[]
U=np.array(U, dtype=float)

for x in X:
    U=np.append(U,V(x))

#print(U)

t = -eta**2 / (2 * m * a**2)
eps = -2*t + U

#print(t)
```

```
In [36]: H = t*np.eye(N, k=-1) + eps*np.eye(N) + t*np.eye(N, k=1) # discretized hamiltonian
plt.matshow(H)
```

```
In [37]: vals, vecs = np.linalg.eig(H) # Solve for Eigen function and eigen values
#print(vals)
#print(vals.shape)
#print(vecs)
#print(vecs.shape)
order = np.argsort(vals)
vals, vecs = vals[order], vecs[:, order] # sort the eigen value and eigen functions
#print(vals)
#print(vecs)
vecs = vecs.T # Transpose
vecs /= np.sqrt(a) #Normalize
vals_eV=vals/1.6e-19
#print (vals_eV)
#print(vecs[0])
p = np.abs(vecs)**2
probs = a*p
#print(sum(probs[0]))
```

Now we have the eigenvalues (which correspond to the energies of each state) and the eigenvectors (which are the states, aka wavefunctions).

```
In [38]: print ("The lowest energy is {:.4f}eV".format(vals_eV[0]))
print ("The corresponding eigenfunction is {}".format(vecs[0]))
print ("Verify the summed probability of the frist eigenfunction: {} is equal to 1".format(sum(probs[0])))
#plot the first eigenfunction magnitude
plt.figure()
plt.plot(X,vecs[0],'-')
plt.title('Wavefunctions of the lowest eigenfunction')
plt.xlabel('x')
plt.xlim([-2*L,2*L])
#plt.ylim([-7000,-4000])
```

The lowest energy is 0.0050eV

The corresponding eigenfunction is [-8.85592456e-11 -9.56054439e-11 -2.73299246e-11 -8.85605770e-11

-5.67051899e-11 8.70094459e-12 -2.89183510e-11 1.38468949e-11

1.84631629e-11 4.99044984e-11 9.52652336e-11 2.33326032e-11

4.26402148e-11 2.35197976e-11 -6.28774556e-11 -2.66508167e-11

-3.11814721e-11 -4.69053865e-11 -2.73870223e-11 -2.01532618e-11

2.00232354e-11 -3.65512120e-11 -7.35270988e-11 -6.10492213e-11

-4.37056890e-11 -1.90932311e-11 -7.23852865e-11 -2.71908295e-11

1.82036282e-11 -3.56714229e-11 -1.78354207e-11 -1.64046124e-11

6.75728594e-12 4.77352903e-11 -2.14985904e-12 -2.36968056e-11

3.55318728e-11 5.11381690e-11 2.52075869e-11 1.39434084e-13

3.26102925e-11 1.22714183e-10 2.01387216e-10 3.90319428e-10

7.71641285e-10 1.29694819e-09 2.16912663e-09 3.64142754e-09

6.10430121e-09 1.02215345e-08 1.70713663e-08 2.85435421e-08

4.75884047e-08 7.93380505e-08 1.32307232e-07 2.20590708e-07

3.67980098e-07 6.13780429e-07 1.02363946e-06 1.70737357e-06

2.84759421e-06 4.74929185e-06 7.92106771e-06 1.32110136e-05

2.20339120e-05 3.67489628e-05 6.12912983e-05 1.02224099e-04

1.70493265e-04 2.84355354e-04 4.74259052e-04 7.90987792e-04

1.31924053e-03 2.20028115e-03 3.66971518e-03 6.12049495e-03

1.02080016e-02 1.70253056e-02 2.83954726e-02 4.73590832e-02

7.89873369e-02 1.31738179e-01 2.19718104e-01 3.66454474e-01

6.11187148e-01 1.01936190e+00 1.70013178e+00 2.83554648e+00

4.72923566e+00 7.88760477e+00 1.31552567e+01 2.19408532e+01

3.65938158e+01 6.10326016e+01 1.01792567e+02 1.69773637e+02

2.83155133e+02 4.72257240e+02 7.87649153e+02 1.31367216e+03

2.19099396e+03 3.06089609e+03 3.92043268e+03 4.76669295e+03

5.59681108e+03 6.40797594e+03 7.19744056e+03 7.96253147e+03

8.70065773e+03 9.40931971e+03 1.00861176e+04 1.07287594e+04

1.13350689e+04 1.19029928e+04 1.24306080e+04 1.29161276e+04

1.33579075e+04 1.37544516e+04 1.41044170e+04 1.44066187e+04

1.46600332e+04 1.48638024e+04 1.50172361e+04 1.51198148e+04

1.51711912e+04 1.51711912e+04 1.51198148e+04 1.50172361e+04

1.48638024e+04 1.46600332e+04 1.44066187e+04 1.41044170e+04

1.37544516e+04 1.33579075e+04 1.29161276e+04 1.24306080e+04

1.19029928e+04 1.13350689e+04 1.07287594e+04 1.00861176e+04

9.40931971e+03 8.70065773e+03 7.96253147e+03 7.19744056e+03

6.40797594e+03 5.59681108e+03 4.76669295e+03 3.92043268e+03

3.06089609e+03 2.19099396e+03 1.31367216e+03 7.87649153e+02

4.72257240e+02 2.83155133e+02 1.69773637e+02 1.01792567e+02

6.10326016e+01 3.65938158e+01 2.19408532e+01 1.31552567e+01

7.88760477e+00 4.72923566e+00 2.83554648e+00 1.70013178e+00

1.01936190e+00 6.11187148e-01 3.66454474e-01 2.19718104e-01

1.31738179e-01 7.89873370e-02 4.73590831e-02 2.83954726e-02

1.70253056e-02 1.02080016e-02 6.12049495e-03 3.66971520e-03

2.20028112e-03 1.31924053e-03 7.90987859e-04 4.74258992e-04

2.84355412e-04 1.70493255e-04 1.02224032e-04 6.12913102e-05

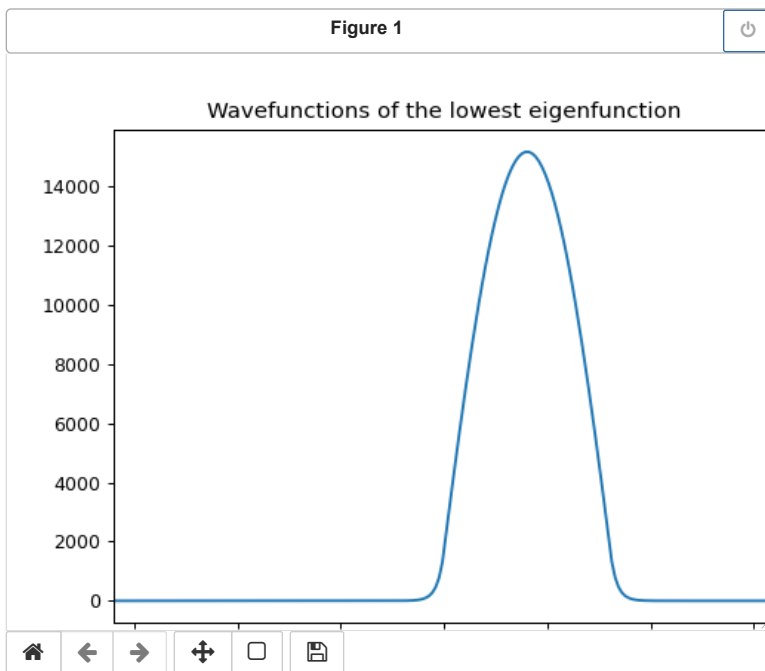
3.67489334e-05 2.20338547e-05 1.32109981e-05 7.92102494e-06

4.74928849e-06 2.84749834e-06 1.70727129e-06 1.02360776e-06

6.13599403e-07 3.67717264e-07 2.20196710e-07 1.31492745e-07

7.80180023e-08 4.53123036e-08 2.48597063e-08 1.09424476e-08]

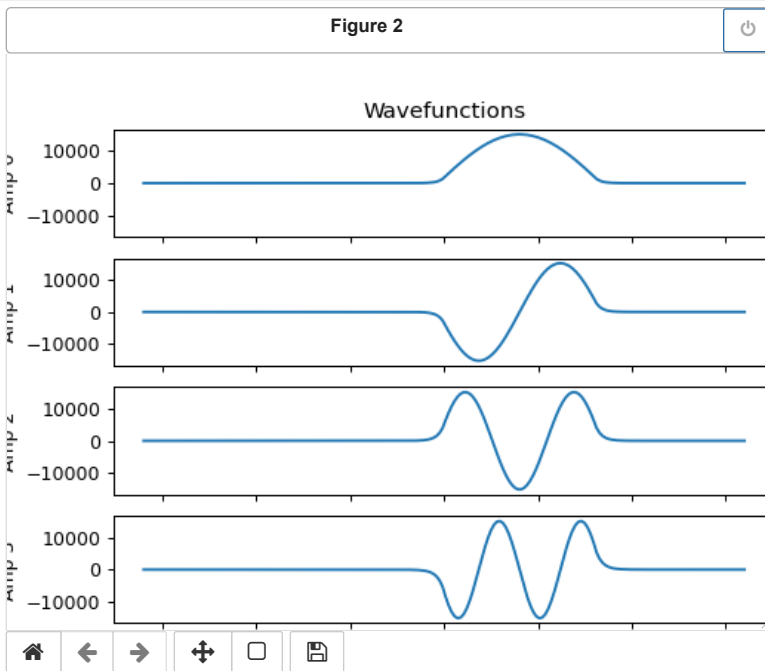
Verfiy the summed probability of the frist eigenfunction: 1.000000000000002 is equal to 1



Out[38]: (-1.6e-08, 1.6e-08)

b) Plot the eigenfunction of the lowest four eigenfunctions.

```
In [39]: fig, axes = plt.subplots(4, sharex=True, sharey=True)
plt.sca(axes[0])
plt.title('Wavefunctions')
for i, (ax, Amp, E) in enumerate(zip(axes, vecs, vals_ev)):
    plt.sca(ax)
    plt.ylabel('Amp {}'.format(i))
    plt.plot(X, Amp)
    #plt.fill_between(X, psi, alpha=0.3)
    print('E_{} = {:.4f}'.format(i, E))
plt.xlabel('x')
```

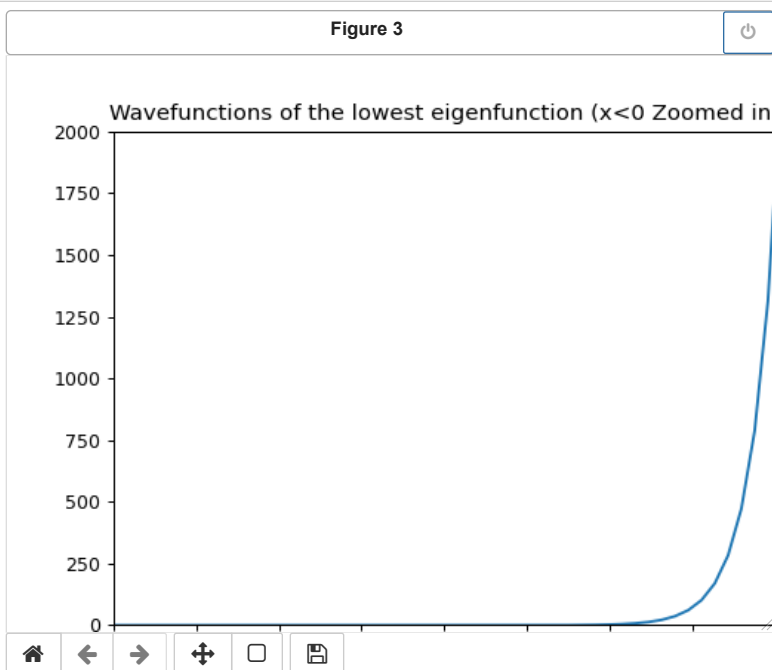


```
E_0 = 0.0050
E_1 = 0.0200
E_2 = 0.0448
E_3 = 0.0794
```

Out[39]: Text(0.5, 0, 'x')

A zoomed-in plot of the lowest eigenfunction for  $x < 0$  is shown below:

```
In [40]: plt.figure()
plt.plot(X,vecs[0],'-')
plt.title('Wavefunctions of the lowest eigenfunction (x<0 Zoomed in)')
plt.xlabel('x')
plt.xlim([-L,0])
plt.ylim([0,2000])
```

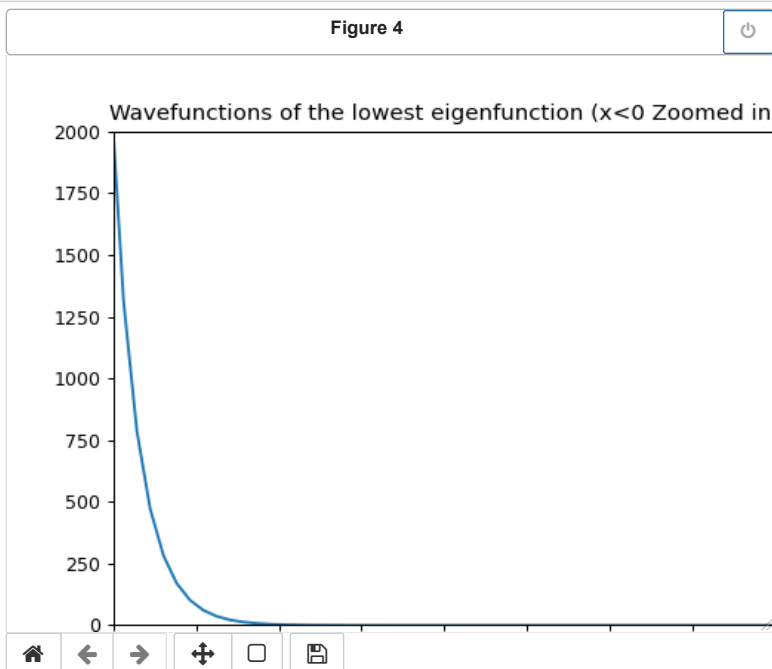


Out[40]: (0.0, 2000.0)

As you can see from the plotted figure above, the wavefunction is approaching zero at the boundary ( $x = -L$ ).

A zoomed-in plot of the lowest eigenfunction for  $x > L$  is shown below:

```
In [41]: plt.figure()
plt.plot(X,vecs[0],'-')
plt.title('Wavefunctions of the lowest eigenfunction (x>0 Zoomed in)')
plt.xlabel('x')
plt.xlim([L,2*L])
plt.ylim([0,2000])
```



Out[41]: (0.0, 2000.0)

As you can see from the plotted figure above, the wavefunction is approaching zero at the boundary ( $x = 2L$ ).

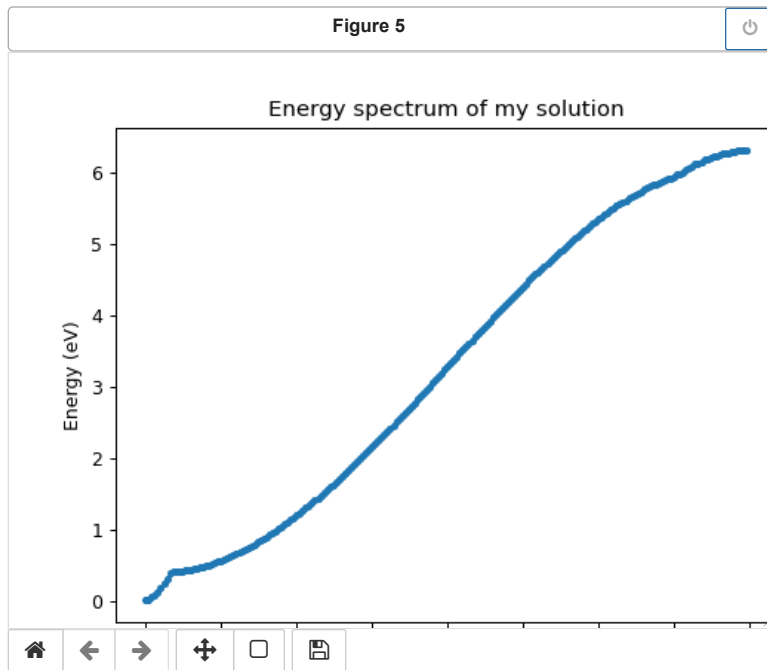
c) Show the eigenvalues form a discrete spectrum for energies less than  $U_0$  and a continuous spectrum for higher energies

```
In [42]: #cutoff = 20 # how many energy levels to trust from our computed results (probably shouldn't be more than 20 or so)
#psi = vecs[:cutoff].astype(np.complex64)
#E_eV = vals_eV[:cutoff]
print ("The eigenvalues are {}eV for N={}".format(vals_eV,N))
```

```
The eigenvalues are [5.00205895e-03 1.99759907e-02 4.48227110e-02 7.93681557e-02
1.23345067e-01 1.76352553e-01 2.37754732e-01 3.06352920e-01
3.78419534e-01 4.01461685e-01 4.05510961e-01 4.06114925e-01
4.12954704e-01 4.21414847e-01 4.23727532e-01 4.34883446e-01
4.44782678e-01 4.51940421e-01 4.62833190e-01 4.73918583e-01
4.89548207e-01 4.97441908e-01 5.15552832e-01 5.32801844e-01
5.44446292e-01 5.62639144e-01 5.79099416e-01 6.00223479e-01
6.13535463e-01 6.37341665e-01 6.57977024e-01 6.77557173e-01
6.97627588e-01 7.21404188e-01 7.47087260e-01 7.66254635e-01
7.93066866e-01 8.17157418e-01 8.45295939e-01 8.66629270e-01
8.97320489e-01 9.23995949e-01 9.52820725e-01 9.77998159e-01
1.01036234e+00 1.04046765e+00 1.06965209e+00 1.09916652e+00
1.13235797e+00 1.16562038e+00 1.19552234e+00 1.22903750e+00
1.26305848e+00 1.29887786e+00 1.32996538e+00 1.36680606e+00
1.40194187e+00 1.43975344e+00 1.47238781e+00 1.51186218e+00
1.54836815e+00 1.58774470e+00 1.62212907e+00 1.66366189e+00
1.70166419e+00 1.74230907e+00 1.77850084e+00 1.82165438e+00
1.86115477e+00 1.90286278e+00 1.94080658e+00 1.98525630e+00
2.02616664e+00 2.06878606e+00 2.10834806e+00 2.15384886e+00
2.19602415e+00 2.23942951e+00 2.28042536e+00 2.32678271e+00
2.37004485e+00 2.41412011e+00 2.45633502e+00 2.50338419e+00
2.54753692e+00 2.59216639e+00 2.63536829e+00 2.68296103e+00
2.72779868e+00 2.77286283e+00 2.81681042e+00 2.86480710e+00
2.91011929e+00 2.95549362e+00 2.99994083e+00 3.04820636e+00
3.09378041e+00 3.13933598e+00 3.18403421e+00 3.23243624e+00
3.27805831e+00 3.32366313e+00 3.36836223e+00 3.41677055e+00
3.46222633e+00 3.50774699e+00 3.55219575e+00 3.60048206e+00
3.64555756e+00 3.69086057e+00 3.73480749e+00 3.78284460e+00
3.82732769e+00 3.87228004e+00 3.91547525e+00 3.96313449e+00
4.00681814e+00 4.05128624e+00 4.09348577e+00 4.14063107e+00
4.18331964e+00 4.22716533e+00 4.26813963e+00 4.31461559e+00
4.35613643e+00 4.39920841e+00 4.43875755e+00 4.48436773e+00
4.52459162e+00 4.56670961e+00 4.60468812e+00 4.64915893e+00
4.68803397e+00 4.72896308e+00 4.76531610e+00 4.80824269e+00
4.84584566e+00 4.88526041e+00 4.92006670e+00 4.96084729e+00
4.99744667e+00 5.03489264e+00 5.06839347e+00 5.10619088e+00
5.14227853e+00 5.17716345e+00 5.20972347e+00 5.24356924e+00
5.27971678e+00 5.31142110e+00 5.34332160e+00 5.37259122e+00
5.40879613e+00 5.43715050e+00 5.46809357e+00 5.49351675e+00
5.52763286e+00 5.55430207e+00 5.58270720e+00 5.60688905e+00
5.63374295e+00 5.66313828e+00 5.68610278e+00 5.71059042e+00
5.72933001e+00 5.75801509e+00 5.77895654e+00 5.79987251e+00
5.81901782e+00 5.83288494e+00 5.85557794e+00 5.87497486e+00
5.88526666e+00 5.89977038e+00 5.90589381e+00 5.92146102e+00
5.96324812e+00 5.98122683e+00 6.00362239e+00 6.04189303e+00
6.05526839e+00 6.07785289e+00 6.11139078e+00 6.12103860e+00
6.14243197e+00 6.17092010e+00 6.17754026e+00 6.19680996e+00
6.22006409e+00 6.22426739e+00 6.24065096e+00 6.25854392e+00
6.26089584e+00 6.27372060e+00 6.28616250e+00 6.28720430e+00
6.29585472e+00 6.30278583e+00 6.30304580e+00 6.30694772e+00]eV for N=200
```

By looking at the eigen-value for  $N = 200$  cases, we can find for eigenvalue is smaller than  $U_0 = 0.4eV$ , the eigenvalues have discrete values which are  $E_0 = 0.005eV$ ,  $E_1 = 0.02eV$ ,  $E_2 = 0.045eV$ ,  $E_3 = 0.08eV$ ,  $E_4 = 0.123eV$ ,  $E_5 = 0.176eV$ ,  $E_6 = 0.238eV$ ,  $E_7 = 0.306eV$ , and  $E_8 = 0.378eV$ . When eigenvalue is larger than  $U_0 = 0.4eV$ , the eigenvalues have continuous energy spectrum. The energy spectrum for  $N = 200$  can also be found in the figure below, where we can see a discontinuity at  $E = 0.4eV$  on the energy spectrum.

```
In [43]: plt.figure()
plt.plot(vals_ev, '.')
plt.title('Energy spectrum of my solution')
plt.xlabel('Level')
plt.ylabel('Energy (eV)')
```



```
Out[43]: Text(0, 0.5, 'Energy (eV)')
```

```
In [ ]:
```

```
In [ ]:
```