# **Document Clustering**

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6 Abstract

Document clustering can be done with a number of algorithm. One of the most common algorithm used is K-Mean Clustering. Another algorithm used is Spectral Clustering. Both are effective algorithm used for document clustering and trying to predict an article that the user may want to read next after reading their current article. This paper will predict a similar article using K-Mean Clustering and Spectral Clustering and compare their results to see which algorithm is more effective for document clustering.

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### 1 What is done

We've obtained the data set for our experiment, and written the software for both of the algorithm looked at in this paper.

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### 1.1 split data.py

A program, split\_data.py, split the dataset into a training and testing data set. The program split the data set using a 60-40 ratio and save the two separate data set as separate text files.

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### 1.2 Parser.py

Parser.py takes in the data set text file, and parse the data into a pandas data frame.

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### 1.3 k means.py

- 27 k\_means.py clusters the training data set into cluster and find the center for each cluster.
- 28 We initialize the cluster centers as a random data point in the training data set, making sure 29 that the data point selected was not used for another cluster center. Iterating through the data 30 set, we classify each data point to the cluster they are most close to. Then we calculate the 31 new mean for each cluster by adding up the values of each data point in the cluster and dividing 32 them by the number of data point in the cluster. We continue classifying and calculating the 33 new mean until either the cluster center does not change from the last iteration or there's been 34 100 iterations. We check how much the cluster center change by calculating the distance 35 between the new mean and the old mean, and check if the change in distance is smaller than

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### 1.4 spectral.py

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spectral.py calculate the affinity matrix, and the degree matrix to calculate the Laplacian.

- 40 Using the Laplacian, we find the k largest eigenvectors, and use K-Means to cluster the
- documents based on new feature space, determined by the eigenvectors.
- 42 The affinity matrix calculated by using a Gaussian Kernel between each data point. The degree
- matrix is calculated by the summation of the affinity values for each row. The Laplacian is the
- degree matrix subtracted by the affinity matrix. Using SVD, we find the k largest eigenvectors,
- and cluster the data using K-Means on the eigenvectors.

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# 2 Preliminary Test Results

- 48 Using a small data set of 200 samples, we ran K-Means Clustering and Spectral Clustering to
- 49 test how well each algorithm cluster the data.

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#### 2.1 K-Means

- 52 On the small data set, K-Means clusters the data, however, some clusters had zero data points
- 53 in its cluster. This may have to do with how we are initializing the initial cluster center. We
- 54 may not be initializing correctly, which leads to some clusters to have zero data points in them.
- One cluster is orders of magnitude larger than the other clusters.

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# 2.2 Spectral

- On the small data set, Spectral clusters the documents, however, because K-Means was not
- 59 initialized correctly, Spectral also was not able to cluster the data correctly. Spectral depends
- on K-Means to compute the clusters and the cluster centers, so when Spectral finish running,
- some clusters have zero data points in them because K-Means was incorrect. One cluster is
- orders of magnitude larger than the other clusters.

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# 3 What still needs to be done

- 65 We still need to test different number of k clusters, make predictions of the articles in the
- 66 testing data set, and run K-Means Clustering and Spectral Clustering on the whole training
- and test data set.
- 68 For initializing the clusters in K-Means, we still need to experiment with what's the best way
- 69 to initialize the clusters. We plan to set a threshold on how far away the initial clusters are
- 70 from each other, and make sure that the initial cluster centers are far apart from each other that
- 71 they'll converge close to the cluster centers

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