

## Changing the "cut rule" to "remove after loosing the k-th son"

**Problem 1.** Let us redefine the rule used by the Fibonacci heap algorithm for disconnecting a node from its tree. We disconnect a node from its tree when for the first time it loses its  $k$ -th son. Prove that if  $k$  is a fixed number then every tree in the Fibonacci heap has degree bounded by  $O(\log(n))$ , where  $n$  is the size of the tree.

**Answer:** We will prove that the size of the tree is exponentially large in the degree of the root. Let  $S_l$  denote the minimum size of a tree whose root's degree is  $l$ , when applying the new rule (notice that this  $S_l$  is different for different values of  $k$ ). We are looking for a number  $c > 1$  for which we can prove  $S_l \geq c^l$  (for  $k = 2$  it is proven in the book that  $c$  can be chosen to be  $\phi$ ).

First we generalize the lemma used in the book.

**Lemma 1.** Let  $y_1, y_2, \dots, y_l$  be the sons of some root tree, then the degree of  $y_i$  which we denote by  $d_i$  is at least  $i - k$ .

*Proof.* similar to the book (straight forward). □

We will leave the value of  $c$  to be fixed later, for now we rely on the fact that  $c$  will be a fixed constant greater than 1 (of course we will have to verify that it when we will fix  $c$ ). Using the lemma we derive:

$$S_l \geq 1 + k + \sum_{i=k+1}^l S_i = 1 + k + \sum_{i=1}^{l-k} S_i$$

We will prove that  $S_l \geq c^l$  by induction on  $l$ . We will now use the induction step:

$$S_l \geq 1 + k + \sum_{i=1}^{l-k} S_i \geq 1 + k + \sum_{i=1}^{l-k} c^i \geq 1 + k + \frac{c^{l-k+1} - c}{c - 1}$$

The last inequality uses the formula for the sum of a geometric finite sequence. To prove the induction step we have to show that:

$$1 + k + \frac{c^{l-k+1} - c}{c - 1} \geq c^l$$

(for every  $l \geq 1$ ), but this depends on the value of  $c$ . Now we have to choose  $c$  in a clever way so that the inequality holds. It is enough to fix  $c$  so the following two inequalities holds (summing them will give the above inequality):

1.  $1 + k - \frac{c}{c-1} \geq 0$
2.  $\frac{c^{l-k+1}}{c-1} \geq c^l$  (or equivalently  $\frac{c^{-k+1}}{c-1} \geq 1$ )

We choose  $c = 1 + 1/k$  so the first inequality holds as equality. Now we have to verify that the second equality is satisfied by our choice. After substituting  $c = 1 + 1/k$  the second inequality becomes  $c^{k-1} < k$ .

$$c^{k-1} < c^k = (1 + 1/k)^k < e < 3 \leq k$$

(we assume  $k \geq 3$  as the case  $k = 2$  is handled in the book)

**Remarks:** notice that  $c > 1$  as required. We need also to check the base of the induction  $l = 1$ , in this case  $S_1 \geq 2 > c$ .