# MATH172 Galois Theory Notes

## Xuehuai He November 9, 2023

## Contents

Rings! Or why $x^2 - 2$ has roots.	4
Ring	4
Phase I: ID, UFD, PID, ED, Fields	4
Zero divisors	4
Integral domain	4
Unit, irreducibles	5
Unique factorization domain	5
Ideals	5
Principal Ideal Domain	5
Prime Ideal	6
Maximal Ideal	6
Noetherian Rings	6
Quotient Rings	7
Ring homomorphism	7
First ring isomorphism theorem	8
Quotient by prime ideal is ID	8
Quotient by maximal ideal is field	9
Euclidean Domain	9
Why do we care about Euclidean domains?	9
Greatest common divisors & Euclidean algorithm	0
Prime elements	0
Prime implies irreducible in ID	0
Prime implies maximal in PID	1
Irreducible implies prime in UFD	1
PIDs are UFDs	2
Phase I summary	3
Field extensions 13	3
Phase II: Field extensions	3
F[x]/(p(x)) contains a copy of $F$	4

F	[x]/(p(x)) field if $p(x)$ irreducible
F	ield extension, degree of extension
Т	o summarize so far!
Ir	reducibility – a survey
E	isenstein's Criterion
G	auss' Lemma
A	lgebraic and transcendental
A	lgebraic extension
F	inite extensions are algebraic
$\lambda$	finimal polynomial   18
D	Degree of extension is multiplicative
A	lgebraic elements form a field
E	xtension finite iff. adjoin finite algebraic elements
C	composite field
S	plitting field
N	formal extensions
S	plitting fields exist
S	plitting fields are unique
S	eparable polynomials
F	ormal derivatives
R	oots repeated iff. roots of derivative
Iı	reducible non-separables have $f'(x) = 0$
Т	ODO
Ir	reducible polys are separable in finite or char 0 fields 23
	rime fields
E	very field is an extension of $\mathbb Q$ or $\mathbb F_p$
F	inite fields are unique
S	eparable extensions
Galois Th	eory 25
	alois extensions
	utomorphism group of field extensions
	II: Galois Theory
	oots of minimal polys under automorphisms are still roots
	Falois extensions (alternate def)
	Tields and Automorphism Groups

Fixed field	26
Fundamental Theorem of Galois Theory	27
Example of Galois correspondence	27
Finite Galois extensions are simple	28
Finite extensions for char 0 fields are simple	29
Cyclotomic fields	29
Primitive roots of unity	29
Cyclotomic polynomial	30
Between every two roots exists a Galois isomorphism	31
Cyclotomic extension	31
Galois groups of cyclotomic fields are $\mathbb{Z}/n\mathbb{Z}$	31
Abelian Galois extension	31
All abelian extensions are conatined in cyclotomic extensions	31

## Rings! Or why $x^2 - 2$ has roots.

**Definition 1.** A **ring** is a set R together with associative binary *operations* + and  $\times$  s.t.:

 $\leftarrow$  map from  $R \times R \mapsto R$ 

← this is optional

- (R, +) is an **abelian** group with identity 0
- There exists  $1 \in R$  s.t.  $r \times 1 = 1 \times r = r$
- r(s+t) = rs + rt and (s+t)r = sr + tr  $\forall s, r, t \in R$

**Proposition 1.**  $0 \times 1 = 0$  (in fact,  $0 \times r = 0 \ \forall \ r \in R$ )

Proof. Try it!

**Definition 2.** If  $\times$  is commutative, then *R* is a commutative ring.

**Non-example 1.** N is not a ring.

**Example 2.**  $\mathbb{Z} \subseteq \mathbb{Q} \subseteq \mathbb{R} \subseteq \mathbb{C}$  are all rings;

- $\mathbb{Z}/n\mathbb{Z}$  is a finite ring
- $M_n(\mathbb{R})$ , the set of  $n \times n$  real matrices, is a **noncommutative** ring
- Polynomial ring:  $\mathbb{Q}[x] = \{a_0 + a_1x + \dots + a_nx^n \mid a_i \in \mathbb{Q}\}$  is a commutative ring
- $\mathbb{Z}[\sqrt{-5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\}$  is a commutative ring

← square brackets just mean "polynomials in..."

Phase I plan:

$$ID \supseteq UFD \supseteq PID \supseteq ED \supseteq Fields$$

**Definition 3.** Suppose R is a ring and  $a, b \in R$  with ab = 0 but  $a, b \neq 0$ ; then a, b are called **zero divisors**.

Example 3.

- In  $\mathbb{Z}/6\mathbb{Z}$ ,  $\bar{4} \times \bar{3} = \bar{0}$
- In  $M_2(\mathbb{R})$ ,  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$

**Definition 4.** A commutative ring without zero divisors is called an <u>integral domain</u> (ID)

Why do we want ID? Cancellation properties.

• If R is an ID,  $a, b, c \in R$ ,  $a \ne 0$  and ab = ac, then

$$ab - ac = 0 \implies a(b - c) = 0 \implies b - c = 0 \implies b = c$$

**Definition 5.** Suppose R is an ID. An element  $a \in R$  is called a **unit** if  $a \neq 0$  and there exists  $b \in R$  s.t. ab = 1.

← notation:  $b = a^{-1}$ 

An element  $r \in R$  is called **irreducible** if  $r \neq 0$ , r is NOT a unit, and whenever r = ab for some  $a, b \in R$  then a or b must be a unit.

• If r and s are irreducibles with r = us, then r and s are called **associates**.

#### Example 4.

- All "prime integers" are irreducibles in **Z**;
- 2,3,  $1+\sqrt{-5}$ ,  $1-\sqrt{-5}$  are irreducibles in  $\mathbb{Z}[\sqrt{-5}]$ .
  - Note:  $2 \times 3 = (1 + \sqrt{-5})(1 \sqrt{-5}) = 6$  says that 6 can be factored in more than one way. This means that  $\mathbb{Z}[\sqrt{-5}]$  is NOT an UFD.

**Definition 6.** An integral domain R is called a <u>unique factorization domain</u> (UFD) if each nonzero, nonunit  $a \in R$  can be written as a product of irreducibles **in a unique way** up to associates.

If *a* is a nonzero, nonunit element of UFD *R* and  $a = r_1 r_2 \dots r_m = s_1 \dots s_n$  where  $r_i, s_j$  are irreducible, then after reordering  $r_i = u_i s_i$  for any *i* and units  $u_i$ , and m = n.

**Definition 7.** Suppose R is a comm ring. A subset  $I \subseteq R$  is called an **ideal** if  $(I, +) \le (R, +)$  and  $ir, ri \in I$  for all  $i \in I$  and for all  $r \in R$ .

Why do we want ideals? Such that R/I is a well-defined ring.

**Example 5.**  $\{0\}$  and R are ideals of R.

**Example 6.** If R is commutative and  $a \in R$ , then  $(a) = \{ar \mid r \in R\}$  is called the **principal ideal** generated by a.

**Definition 8.** A **principal ideal domain** is an integral domain where all ideals are principal ideals.

**Example 7.** The only ideals of  $(\mathbb{Z}, +)$  are of the form  $n\mathbb{Z} = (n)$ .

**Non-example 8.**  $\mathbb{Z}[x]$  is a UFD but NOT a PID because the ideal  $(2, x) = \{2r + xs \mid r, s \in \mathbb{Z}[x]\}$  is not principal.

5

**Lemma 2.** If  $I \subseteq R$  is an ideal and  $1 \in I$ , then I = R.

Proof. Try it!

← After reordering, there are the same amounts of factors and all factors are the same up to units.

- ← Prove this (be convinced)!

  Also known as *aR*.
- $\leftarrow$  Ideals generated by n
- ← Observe that (2, x) is an ideal made of polynomials with even constant terms. This cannot be principal, since if we only have 2 and not x, we do not have nonzero polynomials with zero const terms.

Back to TOC

November 9, 2023

**Proposition 3.** If  $I \subseteq R$  is an ideal containing a unit of R then I = R.

*Proof.* If  $u \in I$  is a unit then  $u^{-1} \in R$ , so  $uu^{-1} = 1 \in I$ . Then the result follows from Lemma 2.

**Definition 9.** A **field** is a commutative ring whose each nonzero element is a *unit*.

**Corollary 4.** If *R* is an ID whose ideals are (0) and *R*, then *R* is a **field**.

*Proof.* Suppose  $a \in R \setminus \{0\}$  and consider (a). Since  $a \in (a)$ , (a) = R. Hence, we must have that  $1 \in (a)$ , which means 1 = ar for some  $r \in R$ .

**Definition 10.** Suppose R is an integral domain. A *proper* ideal  $P \subseteq R$  is called **prime** of whenever  $ab \in P$  for some  $a, b \in R$ , then a or  $b \in P$ .

**Non-example 9.** (6) is not a prime ideal of  $\mathbb{Z}$  since  $2 \times 3 \in (6)$  but neither  $2, 3 \notin (6)$ .

**Non-example 10.** (2) is not a prime ideal of  $\mathbb{Z}[\sqrt{-5}]$  since  $6 \in (2)$ , but we observe that  $6 = (1 + \sqrt{-5})(1 - \sqrt{-5})$  while  $1 \pm \sqrt{-5} \notin (2)$ .

**Example 11.** (2) is a prime ideal of  $\mathbb{Z}$ .

**Definition 11.** A proper ideal  $M \subseteq R$  is called **maximal** if whenever  $I \subseteq R$  such that  $M \subseteq I \subseteq R$  is an ideal containing M, then either I = M or I = R.

**Proposition 5.** Every proper ideal is contained in **a** maximal ideal.

Proof. Axiom of choice.

**Proposition 6.** Suppose *R* is a commutative ring.

- (0) is prime *if and only if* R is an integral domain.
- (0) is maximal if and only if R is a field.

(The following is kind of on a tangent)

**Definition 12.** A commutative ring R with unity is called **Noetherian** if, whenever  $I_1 \subseteq I_2 \subseteq ...$  is an ascending sequence of (proper) ideals of R, there exists an n > 0 such that  $I_n = I_{n+1} = ...$  are the same ideals thereafter.

**Theorem 7.** *R* is Noetherian *if and only if* all ideals of *R* are finitely generated.

**Corollary 8.** All Principal Ideal Domains are Noetherian.

← The converse is also true. The only ideals in a field are 0 and the field.

- ← Observe that in  $\mathbb{Z}[\sqrt{-5}]$ , we have  $6 = (1 + \sqrt{-5})(1 \sqrt{-5}) = 2 \times 3$ , so it is not a UFD!
- ← This might not be unique in non-local rings.

- ← By def of prime, if ab = 0, then either a = 0 or b = 0, which means there are NO zero divisors.
  - ← The chain stops ascending!
  - ← Since all ideals are generated by 1 elt.

(Tangent ends here)

**Definition 13.** Suppose R is a commutative ring with  $1 \neq 0$  and  $I \subseteq R$  is an ideal. Then the **quotient ring** of R by I is the set

$$R/I = \{r + I \mid r \in R\}$$

with addition and multiplication defined representative-wise.

**Remark.** The **coset criterion** of ideals: let *I* be an ideal; the cosets r + I, s + I are the same *if and only if*  $r - s \in I$ .

#### Example 12.

- In  $\mathbb{Z}/(6)$  aka.  $\mathbb{Z}/6\mathbb{Z}$ , we have  $2 + (6) = \{..., -10, -4, 2, 8, 14, ...\} = 26 + (6)$  due to  $2 26 \in (6)$ ;
- In  $\mathbb{Q}[x]/(x^2-2)$ , we have

$$\{3x^2 - 47x + 1 + q(x)(x^2 - 2) \mid q(x) \in \mathbb{Q}[x]\} = \{-47x + 7 + q(x)(x^2 - 2) \mid q(x) \in \mathbb{Q}[x]\}$$
 due to  $3x^2 - 47x + 1 - (-47x + 7) \in (x^2 - 2)$ .

**Remark.** Let *I* be an ideal of *R*. Then  $(I, +) \subseteq (R, +)$ .

**Definition 14.** R/I is a group under (r+I)+(s+I)=(r+s)+I and the operation + is well-defined. We also define that (r+I)(s+I)=(rs)+I. We claim that multiplication in R/I is also well-defined.

*Proof.* Let  $r_1 + I = r_2 + I$  and  $s_1 + I = s_2 + I$ . By coset criterion,  $r_1 - r_2 = i$ ,  $s_1 - s_2 = j$  for some  $i, j \in I$ . Hence  $r_1s_1 = (r_2 + i)(s_2 + j) = r_2s_2 + is_2 + jr_2 + ij$  where the latter three terms are all in the ideal I. Thus,  $(r_1s_1) + I = (r_2s_2) + I$ . □

From R, R/I inherits nice properties:

- $0 + I = 0_{R/I}$
- $1 + I = 1_{R/I}$
- Multiplication is commutative and distributive over addition in R/I, so it is also a comm. ring with identity.

**Definition 15.** A function  $\varphi : R \to S$  between rings is called a **ring homomorphism** if the following are satisfied:

- $\varphi(r_1 + r_2) = \varphi(r_1) + \varphi(r_2)$
- $\varphi(r_1r_2) = \varphi(r_1)\varphi(r_2)$

### **Theorem 9.** First ring isomorphism theorem

If  $\varphi : R \mapsto S$  is a ring homomorphism, then  $R/\ker(\varphi) \cong \varphi(R)$ .

**Example 13.** If R is a ring and I is an ideal, then  $\pi: R \to R/I$  where  $r \mapsto r + I$  is a surjective homomorphism where  $\ker(\pi) = I$ . This is the *canonical projection* onto R/I.

**Corollary 10.** If *I* is a maximal ideal, then R/I is a field.

Recall Proposition 6. We now have a stronger statement:

**Proposition 11.** Suppose R is a commutative ring &  $P \subseteq R$  is an ideal. Then R/P is an integral domain *if and only if* P is prime.

*Proof.* R/P is an integral domain *if and only if* whenever  $(a+P)(b+P) = 0_{R/P}$  then one of a+P or b+P must already be  $0_{R/P}$ . This happens *if and only if* whenever ab+P=P then a+P or b+P in P, which happens *if and only if* whenever  $ab \in P$  then one of  $a,b \in P$ , which is the definition of a prime ideal.

**Example 14.** The map  $\varphi: \mathbb{Z}[x] \to \mathbb{Z}$  where  $p(x) \mapsto p(0)$  is a surjective ring homomorphism with  $\ker(\varphi) = (x)$ . By the First Isomorphism Theorem 9,  $\mathbb{Z}[x]/(x) \cong \mathbb{Z}$ . As such, we conclude that (x) is a prime ideal since  $\mathbb{Z}$  is an integral domain.

**Lemma 12.** Suppose R is a comm. ring with  $M \subseteq R$  being an ideal. There is a bijective correspondence between the ideals of R/M and the ideals of R containing M.

*Proof.* Consider the projection  $\pi: R \to R/M$  where  $r \mapsto r + M$ . It is enough to show:

$$\pi(\pi^{-1}(J)) = J$$
 for all ideals  $J \subseteq R/M$ , and  $\pi^{-1}(\pi(I)) = I$  for all ideals  $M \subseteq I \subseteq R$ 

To prove the first statement, observe that, if J is an ideal of R/M, then  $\pi^{-1}(J) = \{r \in R \mid r + M \in J\}$  and so

$$\pi(\pi^{-1}(J)) = {\pi(r) \in R \mid r + M \in J} = {r + M \mid r + M \in J} = J$$

Next, to prove the second statement, first suppose  $M \subseteq I \subseteq R$  is an ideal. Let  $a \in I$ . Then  $a + M \in \{\alpha + M \mid \alpha \in I\} = \pi(I)$ . This implies that  $a \in \pi^{-1}(\pi(I))$ , and so  $I \subseteq \pi^{-1}(\pi(I))$ .

- ← Observe that kernels are ideals! And ideals are kernels of some homomorphism too.
- ← The *if and only if* version comes in Proposition 14.

- ← btw,  $(x) \subseteq (x, 2)$ . the latter is the set of polynomials whose <u>constant</u> <u>term is even</u>, so it is also a proper ideal of  $\mathbb{Z}[x]$ . This is an excellent example where Prime  $\Rightarrow$  Maximal.
- ← To see why this is okay, see Homework 2 Sec. 7.3 P. 24

Conversely, suppose  $r \in \pi^{-1}(\pi(I))$ . This is the same as saying  $\pi(r) = r + M \in \pi(I) = \{\alpha + M \mid \alpha \in I\}$ . Hence, for any  $r \in \pi^{-1}(\pi(I))$ , there exists some  $a \in I$  such that r + M = a + M. Thus,  $r - a \in M \subseteq I$  by coset conditions. Since  $a \in I$ , we have  $a + (r - a) \in I$ , meaning that  $r \in I$  for any  $r \in \pi^{-1}(\pi(I))$ . This means that  $\pi^{-1}(\pi(I)) \subseteq I$ .

Hence,  $I = \pi^{-1}(\pi(I))$ .

Consequently, for any ideals  $J \subseteq R/M$ , we know that  $\pi^{-1}(J) \subseteq R$  is an ideal containing M. And if  $M \subseteq I \subseteq R$  is an ideal, we know  $\pi(I) \subseteq R/M$  is an ideal. Since  $\pi(\pi^{-1}(J)) = J$  and  $I = \pi^{-1}(\pi(I))$  for any I, J, the correspondence is a bijection.

← Think about why this contains *M*!

← Hence <u>maximal</u> <u>implies prime</u>, but prime does not necessarily implies

maximal.

**Proposition 13.** Suppose R is a comm. ring with an identity and  $I \subseteq R$  is an ideal. Then R/I is a field *if and only if* I is maximal.

*Proof.* If I is maximal, then there are no other proper ideals strictly containing I. Hence, by Lemma 14, we have that R/I only have ideals (0) and R/I itself. This happens if and only if R/I is a field.

**Corollary 14.** If *R* is a commutative ring with identity and  $M \subseteq R$  is maximal, then *M* is prime.

*Proof.* Maximal  $\implies$  quotient is a field  $\implies$  quotient is an ID  $\implies$  prime.

**Definition 16.** An integral domain R is an **Euclidean domain** if there exists a norm  $N: R \to \mathbb{Z}_{\geq 0}$  with N(0) = 0 such that for all  $a, b \in R$  with  $b \neq 0$ , there exists  $q, r \in R$  for which

$$a = bq + r$$

with N(r) < N(b) or r = 0.

**Example 15.**  $\mathbb{Z}$  is a ED with N(a) = |a|.

**Example 16.**  $\mathbb{Q}[x]$  is a ED with  $N(p(x)) = \deg(p(x))$ .

**Example 17.** Every field F is a ED with  $N(a) = 0 \, \forall \, a \in F$ .

**Non-example 18.**  $\mathbb{Z}\left[\frac{1+\sqrt{-19}}{2}\right]$  is a PID that is not an ED.

← Because in a field everything divides!

← This is one of the only good examples!

Why do we care about Euclidean domains?

Remark. Greatest common divisors exist and are relatively quick to compute.

**Definition 17.** If  $a, b \in R$ , then gcd(a, b) = c means

← Using recursive application of Euclidean algorithm.

- 1. c divides a and b; that is, a = cr, b = cs for some  $r, s \in R$
- 2. If  $c' \in R$  with c'|a and c'|b, then it must be true that c'|c.

**Example 19.** Say we want to compute the gcd of 47 and 10.

$$47 = 4 \times 10 + 7$$
 $10 = 1 \times 7 + 3$ 
 $7 = 2 \times 3 + \boxed{1}$ 
 $3 = 3 \times 1$ 
 $\leftarrow \text{ circled is gcd}(47, 10)$ 
 $\leftarrow \text{ final line with no remainders}$ 

← All other common divisors divide the gcd.

← This is a much faster algorithm than factoring!

This also works for finding gcds in  $\mathbb{Q}[x]$  with polynomials long division and norm  $\deg(p(x))$ .

**Remark.** If F is a field, then F[x] is a Euclidean domain.

Remark. Euclidean domains are PIDs.

← Just use long division!

*Proof.* Suppose R is a ED and  $I \subseteq R$  is an idea;. Consider  $\{N(a) \mid a \in I \setminus \{0\}\}$ . This set has a minimal element by properties of natural numbers (or is an empty set if and only if I = (0)).

Let  $d \in I$  be an element of  $\underline{\text{minimum norm}}$  (hence  $N(d) \leq N(a)$  for all  $a \in I$ ). We claim that (d) = I. Proof:

Since  $d \in I$ , we have  $rd \in I$  for any  $r \in R$ . This implies that  $(d) \subseteq I$ .

Then let  $a \in I$ . Since R is a ED, we first assumes that there exists  $q, r \in R$ ,  $r \neq 0$  such that a = qd + r and N(r) < N(d). But we notice that r = a - qd must be in I as both  $a, qd \in I$ , contradicting the minimality of N(d). Thus, it must be that r = 0. This implies a = qd and thus  $a \in (d)$  for all  $a \in I$ . Consequently,  $I \in (d)$ , and therefore I = (d).

**Definition 18.** Suppose R is an integral domain and  $p \in R \setminus \{0\}$ . Then p is a **prime element** if (p) is a prime ideal.

**Proposition 15.** An element  $p \in R$  is prime *if and only if* whenever p|ab then p|a or p|b.

*Proof.* p is prime means that (p) is a prime ideal. This is true *if and only if* whenever  $ab \in (p)$  then  $a \in (p)$  or  $b \in (p)$ . This is the same as saying if ab = kp for some  $k \in R$  then a = lp or b = lp for some  $l \in R$ . This is to say that whenever p|ab then p|a or p|b.

**Proposition 16.** In an integral domain, all prime elements are irreducibles.

*Proof.* Suppose R is an ID and  $p \in R$  is prime. If p = ab for some a, b in R, then, WLOG, p|a. That is, a = pk for some  $k \in R$ . Hence, p = pkb. Since in an ID cancellation rule holds, kb = 1, meaning that b is a unit. Thus, p is irreducible by definition Definition 5.

**Proposition 17.** In PIDs, all *nonzero* prime ideals are maximal.

*Proof.* Suppose R is a PID and  $(p) \subseteq R$  is a prime ideal. If  $(p) \subseteq (m) \subseteq R$  is an ideal, then  $p \in (p) \subseteq (m)$  hence p = rm for some  $r \in R$ . Since p|rm, we have p|r or p|m.

If p|r, this implies that r=pk for some  $k \in R$ . Substituting into p=rm, we get p=pkm. By cancellation, we get km=1, meaning that m is a unit. Hence, (m)=R.

If p|m, we have m=pl for some  $l\in R$ , meaning that  $m\in (p)$ . Hence,  $(m)\subseteq (p)$ , but we also defined that  $(p)\subseteq (m)$ , so (m)=(p).

Therefore, (p) has to be the maximal ideal.

**Proposition 18.** In an UFD, irreducible implies prime.

*Proof.* Let R be a UFD and  $p \in R$  be irreducible. Let  $a, b \in R$  such that p|ab. Hence, pr = ab for some  $r \in R$ . Since R is a UFD, let  $a = q_1 \dots q_n, b = s_1 \dots s_m$  be the factorization. Since the factorizations are unique and each of the  $q_i, s_j$  are irreducible, if p|ab, then p must be an associate with one of the  $q_i, s_j$ . Therefore, either p|a or p|b, implying prime.

**Example 20.**  $\mathbb{Q}$  is a field, so  $\mathbb{Q}[x]$  is a ED. Since EDs are UFDs, irreducible  $\Longrightarrow$  prime. We see that  $x^2 - 2 \in \mathbb{Q}[x]$  is an irreducible element, which means that  $(x^2 - 2)$  is a prime ideal, meaning that it is a maximum ideal, meaning that  $\mathbb{Q}[x]/(x^2 - 2)$  is a field. We observe that it is a field containing  $\mathbb{Q}$  and  $(\sqrt{2})$ .

**Lemma 19.** In a PID, irreducible elements are prime.

*Proof.* Suppose  $p \in R$  is irreducible in the principal ideal domain R. If p|ab for some  $a,b \in R$ , we want to show that either p|a or p|b, hereby showing that p is prime. Hence, we consider the ideal (a,p)=d, which is necessarily principal for some  $d \in R$ . Since  $a, p \in (d)$ , we have a=dr and p=ds for some  $r,s \in R$ . As p is irreducible, we get that one of d and s is a unit.

We first assume that s is a unit, in which case  $d = ps^{-1}$ , and so  $a = ps^{-1}r$  implying that p|a.

In another case, d is a unit, in which case (a, p) = (d) = R and so 1 = ak + pl for some  $k, l \in R$ . Multiplying by b, we get b = abk + pbl. Since p|ab, we have b = abk + pbl = pmk + pbl for some  $m \in R$ . Hence, b = p(mk + bl), meaning that p|b.

← In fact, this is the smallest field containing  $\mathbb{Q}$  and  $(\sqrt{2})$ .

Therefore, whenever p|ab, either p|a or p|b. Hence, in a PID, p is prime whenever it is irreducible.

**Proposition 20.** PIDs are UFDs.

*Proof.* Suppose R is a PID and  $a \in R$  is nonzero, nonunit. If a is irreducible, we are done. If not, we write  $a = p_1q_1$  for some  $p_1, q_1 \in R$  nonunit. If  $p_1, q_1$  are irreducibles, we are done. If not, then WLOG say  $q_1 = p_2q_2$  for some nonunits  $p_2, q_2$ . We would like to show that this splitting process terminates.

Observe that  $(q_1) \subseteq (q_2)$  since  $q_2|q_1$ . Hence, the chain of splitting results in the chain of ideals  $(q_1) \subseteq (q_2) \subseteq (q_3) \subseteq ...$ .

Now consider the ideal  $\bigcup_{i=1}^{\infty}(q_i)$ . Since this is a PID, we have  $\bigcup_{i=1}^{\infty}(q_i)=(q)$  for some  $q\in R$ . Since  $q\in\bigcup_{i=1}^{\infty}(q_i)$ , it is contained in some  $(q_n)$  for some  $n\geq 1$ . This implies that  $(q)\subseteq (q_n)$ , but we also know that  $(q_n)\subseteq (q)$ , hence  $(q)=(q_n)$ . Hence, this process terminates, and there exists an n in this chain such that  $q_n$  is irreducible. Therefore, R is a factorization domain.

Now we want to prove the <u>uniqueness</u>. That is, if  $p_1 \dots p_n = q_1 \dots q_m$  for irreducibles  $p_i, q_j$  and  $n \le m$  WLOG, then we want to show that m = n and that  $p_i = u_i q_i$  with units  $u_i$  up to reordering for all i. We do so by induction on n.

(*Base case*) If  $p_1 = q_1 \dots q_m$  and  $p_1$  irreducible, then  $q_2 \dots q_m$  are all units. Hence, m = 1 and  $p_1 = q_1$ .

(*Inductive step*) Say we have already proven the statement for n = k. Then consider  $p_1p_2...p_{k+1} = q_1q_2...q_m$ . Since R is a PID where irreducible implies prime,  $p_1$  is a prime element dividing the product of primes  $q_1q_2...q_m$ , so we say WLOG  $p_1|q_1$ . This means that  $q_1 = u_1p_1$  for some  $u \in R$ , but since  $q_1$  is not reducible, it forces  $u_1$  to be a unit. Hence, we apply cancellation on both sides and get  $p_2...p_{k+1} = (u_1q_2)...q_m$ .

By inductive hypothesis, m-1=k and  $p_i,q_i$  are associates up to reordering for any i. Hence, the factorization must be <u>unique</u>.

Back to TOC 12 November 9, 2023

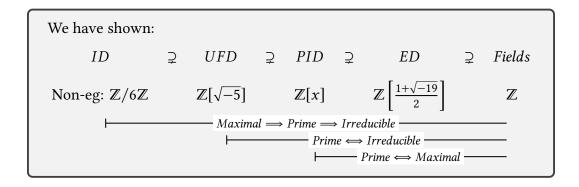
<sup>&</sup>lt;sup>1</sup>The proof that this is an ideal is as follows:

We first prove that  $\bigcup_{n=1}^{\infty} I_n$  is a subgroup of R under addition. Let  $r, s \in \bigcup_{n=1}^{\infty} I_n$ , where  $r \in I_k$  and  $s \in I_{k+i}$  for some  $k, i \in \mathbb{N}$ . Since  $I_1 \subseteq I_2 \subseteq ...$  are ideals of R, we know that  $r \in I_k$  implies that  $r \in I_{k+i}$ . Thus,  $r - s \in I_{k+i}$  due to  $I_{k+i}$  being an ideal. As  $I_{k+i} \subseteq \bigcup_{n=1}^{\infty} I_n$ , we have  $r - s \in \bigcup_{n=1}^{\infty} I_n$ , which means that  $\bigcup_{n=1}^{\infty} I_n$  is closed under additive inverse. Hence,  $\bigcup_{n=1}^{\infty} I_n$  is a subgroup of R under addition.

Then, we prove that for any  $t \in R$ ,  $r \in \bigcup_{n=1}^{\infty} I_n$ , we would have  $tr, rt \in \bigcup_{n=1}^{\infty} I_n$ . Since  $r \in \bigcup_{n=1}^{\infty} I_n$ , it must be true that  $r \in I_k$  for some  $k \in \mathbb{N}$ . Hence,  $tr, rt \in I_k$  due to  $I_k$  being an ideal. Therefore,  $tr, rt \in \bigcup_{n=1}^{\infty} I_n$  for any  $t \in R$ ,  $r \in \bigcup_{n=1}^{\infty} I_n$ .

 $tr, rt \in \bigcup_{n=1}^{\infty} I_n$  for any  $t \in R$ ,  $r \in \bigcup_{n=1}^{\infty} I_n$ .

In conclusion, since  $\bigcup_{n=1}^{\infty} I_n$  is a subgroup of R under addition with the property that  $tr, rt \in \bigcup_{n=1}^{\infty} I_n$  for any  $t \in R$ ,  $r \in \bigcup_{n=1}^{\infty} I_n$ , it is an ideal of R.



## Field extensions

We observe that the polynomial  $x^2 - 2 \in \mathbb{Q}[x]$  is irreducible. If we have  $x^2 - 2 = p(x)q(x)$  where p,q nonunits, then  $\deg(p) + \deg(q) = 2$  and we cannot have any 0+2 combinations due to constants being units, we only have  $x^2 - 2 = (x - \sqrt{2})(x + \sqrt{2})$ , but  $x \pm \sqrt{2} \notin \mathbb{Q}[x]$ !

Since  $\mathbb{Q}[x]$  is a UFD, the irreducible element  $(x^2 - 2)$  is prime, and since  $\mathbb{Q}[x]$  is a PID,  $(x^2 - 2)$  is maximal which means that  $\mathbb{Q}[x]/(x^2 - 2)$  is a field.

Phase II plan: Field extensions!

Suppose F is a field and  $p(x) \in F[x]$  nonzero. Recall that F[x] is a ED with the norm function  $\deg(a(x))$  and long division of polynomials. Let  $a(x) + (p(x)) \in F[x]/(p(x))$ . By the division algorithm, we have a(x) = p(x)q(x) + r(x) for  $q(x), r(x) \in F[x]$  and  $\deg(r(x)) < \deg(p(x))$  or r(x) is the zero polynomial.

Now we see that since  $a(x) - r(x) \in (p(x))$ , they are in the same coset! Hence a(x) + (p(x)) = r(x) + (p(x)). We observe that every element of F[x]/(p(x)) can be represented by a polynomial of a degree less than  $\deg(p(x))$ . In other words, if  $\deg(p(x)) = n$ , then F[x]/(p(x)) is of the form

$$F[x]/(p(x)) = \left\{ \overline{a_0 + a_1 x + \dots + a_{n-1} x^{n-1}} \mid a_0, a_1, \dots, a_{n-1} \in F \right\}$$
  
= Span<sub>F</sub>{\bar{1}, \bar{x}, \dots, x^{\bar{n}-1}}

- $\leftarrow a(x)$  is a coset rep
- ← We can do division algorithm since this is an ED
- ← The expression under the bar functions like r(x)! Also note that span is just the set of linear combinations.

In fact, F[x]/(p(x)) is (partly) just a **vector space** over F...

We shall observe that it does not matter if we are using F or  $\bar{F}$ .

Consider  $\varphi: F \hookrightarrow F[x]/(p(x))$  where  $a \mapsto \bar{a}$ . We observe this is an **injective** map: whenever  $\deg(p(x)) = n > 0$ , we have  $\varphi(a) = \varphi(b)$  if and only if  $\bar{a} = \bar{b}$ , which happens if and only if  $a - b \in (p(x))$ ; but the difference of two constants always have  $\deg 0$  and cannot be in (p(x)) unless it is a straight zero, which tells us that  $\bar{a} = \bar{b}$  if and only if a = b. In other words, F[x]/(p(x)) contains an isomorphic copy of F, its field of scalars! Namely,  $F \cong \varphi(F) = \{\bar{a} \in F[x]/(p(x)) \mid a \in F\}$ .

← Why is this not the vector space over F/(p(x)) but just F? See the next paragraph.

...Hence, F[x]/(p(x)) is a vector space **of dimension** n over the scalar field F that also contains an isomorpic copy of F.

Moreover, if p(x) is irreducible, then (p(x)) is prime since this is an ED, and hence, it is also a maximal ideal, meaning that F[x]/(p(x)) is a field containing an isomorphic copy of F.

← all thanks to Euclidean domains!

**Definition 19.** Suppose  $F \subseteq K$  are fields. Then K is called a **field extension** of F.

• Notation: K/F or K/F or K/F or K/F (the lattice notation)

← Please, this is NOT a quotient. DO NOT CONFUSE THOSE!!

The dimension of K as a vector space over F is called the **degree** of the extension.

• Notation: [K : F]

But does my field *F* always have an extension? Here is a systematic way to get extensions:

**Example 21.** If  $p(x) \in F[x]$  is an irreducible polynomial of degree  $n \ge 1$  over the field F, then F[x]/(p(x)) is a **field extension** of F of degree n. Furthermore, if  $p(x) = a_0 + a_1x + \cdots + a_nx^n$ , then  $\bar{x}$  is a **root** of

← Since 
$$\varphi(F) \cong F$$
,  
and  $\varphi(F) \subseteq$   
 $F[x]/(p(x))$ 

$$\varphi(p(x)) = \bar{a}_0 + \bar{a}_1 \gamma + \dots + \bar{a}_n \gamma^n \in (F[x]/(p(x)))[\gamma]$$

because, plugging in  $y = \bar{x}$ , we get

$$\bar{a}_0 + \bar{a}_1\bar{x} + \dots + \bar{a}_n\bar{x}^n = \overline{p(x)} = \bar{0} \in F[x]/(p(x))$$

Hence, the isomorphic copy of the polynomial p(x) has **roots** in the field extension F[x]/(p(x)).

 $\leftarrow$  We think about modding out by (p(x)) as making it equal to zero, which is how we find roots.

So, what the hell is F[x]/(p(x))? We have already shown that the field extension F[x]/(p(x)) does indeed contain a root of p(x). Now we think about it **the other way around**: if we want to find an extension of F that contains a root of p(x), we would eventually get this one!

14

Suppose  $p(x) \in F[x]$  is irreducible. Let K/F be an extension, and  $\alpha \in K$  a root of p(x). Denote by  $F(\alpha) \subseteq K$  the **smallest** subfield of K that contains both F and  $\alpha$ . Consider the map  $\varphi : F[x] \to F(\alpha) \subseteq K$  where  $q(x) \mapsto q(\alpha)$  is simply the evaluation at  $\alpha$  map. We note that  $p(x) \in \ker(\varphi) = (d(x))$  since an ED is a PID; this implies that p(x) = u(x)d(x). As p(x) is irreducible, u(x) must be a unit, which means p(x) and d(x) are associates and  $\ker(\varphi) = (p(x))$ . Therefore,

$$F[x]/(p(x)) = F[x]/\ker(\varphi) \cong \varphi(F[x]) \subseteq F(\alpha)$$

by first isomorphism theorem. However,  $F(\alpha) \subseteq K$  the **smallest** subfield of K that contains both F and  $\alpha$ , so  $\varphi(F[x])$  cannot be smaller than that. Hence, it must be true that  $\varphi(F[x]) = F(\alpha)$ .

← Observe that  $\varphi(F[x])$  is a field:  $\ker(\varphi)$  is a maximal ideal

Therefore,  $F(\alpha)$  is simply F[x]/(p(x)).

#### To summarize so far!

Suppose  $p(x) \in F[x]$  is an irreducible polynomial with coefficients in the field F.

• F[x]/p(x) is a **field** containing an isomorphic copy of F in which  $\overline{x} = x + (p(x))$  is a **root** of (the image of)  $p(y) \in (F[x]/(p(x)))[y]$ .

**Example 22.** In  $\mathbb{Q}[x]/(x^2-2)$ , we have  $x + (x^2-2)$  is a root of  $y^2 - \overline{2} \in (\mathbb{Q}[x]/(x^2-2))[y]$  because

$$(x + (x^2 - 2))^2 - (2 + (x^2 - 2))$$
  
=  $x^2 - 2 + (x^2 - 2)$  by coset addition & multiplication  
=  $0 + (x^2 - 2)$  since  $x^2 - 2 \in (x^2 - 2)$   
=  $\bar{0}$ 

Furthermore, if deg(p(x)) = n, then

$$F[x]/(p(x)) = \left\{ \overline{a_0 + a_1 x + \dots + a_{n-1} x^{n-1}} \mid a_0, a_1, \dots, a_{n-1} \in F \right\}$$

is a vector space over F of dimension n.

**Example 23.** 
$$\mathbb{Q}[x]/(x^2-2) = \{\bar{a}_0 + \bar{a}_1\bar{x} \mid a_0, a_1 \in \mathbb{Q}\} = \operatorname{Span}_{\mathbb{Q}}\{\bar{1}, \bar{x}\}$$

• If K/F is an extension and  $\alpha \in K$  is a root of p(x), denote by  $F(\alpha)$  the  $\leftarrow$  Read 'F adjoint  $\alpha$ ' smallest field containing F and  $\alpha$ .

$$K$$
 $F(\alpha)$ 

Figure 1: Field diagram

Then 
$$F(\alpha) \cong F[x]/(p(x))$$
, and

$$F(\alpha) = \left\{ \overline{a_0 + a_1 x + \dots + a_{n-1} x^{n-1}} \mid a_0, a_1, \dots, a_{n-1} \in F \right\}$$
  
=  $F[\alpha]$   $\leftarrow$  the polynomial of  $\alpha$  over  $F$ 

**Example 24.** 
$$\mathbb{Q}(\sqrt{2}) = \{a_0 + a_1\sqrt{2} \mid a_0, a_1 \in \mathbb{Q}\} = \mathbb{Q}[\sqrt{2}]$$

 $\leftarrow$  The eval map  $\varphi: F[x] \to F(\alpha)$  where  $f(x) \mapsto f(\alpha)$  has in fact  $\ker(\varphi) = (p(x))$  when  $\alpha$  is a root of p(x).

### Irreducibility – a survey

**Proposition 21.** If  $p(x) \in F[x]$ , then  $\alpha \in F$  is a root *if and only if*  $x - \alpha$  divides p(x).

*Proof.* Write 
$$p(x) = (x - \alpha)q(x) + r(x)$$
 with  $q(x), r(x) \in F[x]$  and  $\deg(r(x)) = 0$  or  $r(x) = 0$ . Then  $0 = p(\alpha) = 0 + r(\alpha)$  which forces  $r(x) = 0$ .

Corollary 22. A degree-2 or -3 polynomial over a field F is irreducible *if and only if* it has no roots in F.

**Proposition 23.** Suppose  $p(x) = a_0 + a_1 x + \dots + a_n x^n \in \mathbb{Z}[x]$  with root  $\frac{c}{d}$  written in reduced from (i.e.  $\gcd(c,d) = 1$ ). Then  $\overline{(c|a_0 \text{ and } d|a_n)}$ .

Proof.

$$d^{n} \cdot p\left(\frac{c}{d}\right) = 0$$

$$0 = (a_{0}d^{n} + a_{1}d^{n-1}c + \dots + a_{n-1}dc^{n-1}) + a_{n}c^{n}$$

$$0 = a_{0}d^{n} + (a_{1}d^{n-1}c + \dots + a_{n-1}dc^{n-1} + a_{n}c^{n})$$

Looking at the 2nd line, since d divides all of the ones in the (), it must also divide the last term  $a_nc^n$ . However, since gcd(c,d) = 1, it forces d to divide  $a_n$ .

Similarly, we make the same argument for c and  $a_0$  using the 3rd line.

**Lemma 24.**  $(R/I)[x] \cong R[x]/(I)$  where (I) = I[x].

*Proof.* Consider the surjective homomorphism  $\pi: R[x] \to (R/I)[x]$ .

**Proposition 25** (Eisenstein's Criterion). Suppose  $f(x) = 1x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 \in \mathbb{Z}[x]$  is a monic polynomial and  $p \in \mathbb{Z}$  is a **prime** such that  $p \mid a_0, \ldots, a_{n-1}$  but  $p^2 \nmid a_0$ . Then  $\underline{f}(x)$  is irreducible.

*Proof.* Assume BWOC that f(x) = a(x)b(x) for some nonunit  $a(x), b(x) \in \mathbb{Z}[x]$ , then

$$x^n = \bar{f}(x) = \bar{a}(x)\bar{b}(x)$$

in  $(\mathbb{Z}/p\mathbb{Z})[x] \cong \mathbb{Z}[x]/p\mathbb{Z}[x]$  since all other terms are divisible by p. Since  $\mathbb{Z}/p\mathbb{Z}$  does not contain any zero divisors,  $\bar{a}(x)$ ,  $\bar{b}(x)$  must have zero constant terms. Hence a(x), b(x) have constant terms that are multiples of p, so a(x)b(x) have constant term divisible by  $p^2$ . This is a contradiction with  $p^2 \nmid a_0$ .

**Lemma 26** (Gauss' Lemma). If  $p(x) \in \mathbb{Z}[x]$  is reducible in  $\mathbb{Q}[x]$ , then it is reducible in  $\mathbb{Z}[x]$ .

*Proof.* Suppose p(x) = a(x)b(x) for  $a(x), b(x) \in \mathbb{Q}[x]$ . Then by multiplying by coefficient denominators, for some  $m \in \mathbb{Z}$ , we could write  $m \cdot p(x) = c(x)d(x)$  for some  $c(x), d(x) \in \mathbb{Z}[x]$ . Now since  $m \in \mathbb{Z}$ , we could write  $m = q_1q_2...q_n$  be a product of irreducibles in  $\mathbb{Z}$ .

Now in  $(\mathbb{Z}/q_1\mathbb{Z})[x] \cong \mathbb{Z}[x]/(q_1\mathbb{Z})[x]$ , we observe that  $m \cdot p(x) = c(x)d(x) = q_1(q_2...q_n)p(x)$ , meaning that

$$\overline{c(x)}\overline{d(x)} = \overline{q_1(q_2 \dots q_n)p(x)} = \overline{0}$$

Since  $(\mathbb{Z}/q_1\mathbb{Z})[x] \cong \mathbb{Z}[x]/(q_1\mathbb{Z})[x]$  is an <u>integral domain</u>, WLOG,  $\overline{c(x)} = \overline{0}$  if and only if  $c(x) \in q_1\mathbb{Z}[x]$ , meaning that all coefficients of c(x) are multiples or  $q_1$ . Therefore,  $\frac{1}{q_1}c(x) \in \mathbb{Z}[x]$ .

 $\leftarrow$  since  $q_1$  is irreducible and hence prime in UFD

Now we repeat the process for all  $q_1, q_2, ..., q_n$  and we are done.

Recall that if  $F \subseteq K$  are fields,  $\alpha \in K$  and  $p(x) \in F[x]$  is irreducible with root  $\alpha$ , then

$$F[\alpha] = F(\alpha) \cong F[x]/(p(x)) = \{\overline{a_0 + a_1 x + \dots + a_{n-1} x^{n-1}} \mid a_0, a_1 \dots, a_{n-1} \in F\}$$

We observe that this has a few implications. For instance,  $F(\alpha)$  contains  $\frac{1}{\alpha}$ , meaning that it could also be written as a polynomial of  $\alpha$  with coefficients in  $F(\alpha)$ !

 since it is a field containing the mult. inverse of α

**Definition 20.** Suppose K/F is a field extension and  $\alpha \in K$ . We say that  $\alpha$  is **algebraic over** F if there exists  $p(x) \in F[x]$  such that  $p(\alpha) = 0$ . If not,  $\alpha$  is **transcendental**.

**Definition 21.** The extension K/F is an **algebraic extension** if **every** element  $\alpha \in K$  is algebraic over F.

**Example 25.**  $\pi$  is transcendental over  $\mathbb{Q}$  but algebraic over  $\mathbb{R}$  (since it is a root of  $x - \pi$ ).

**Proposition 27.** If K/F is a **finite extension**, then it is an algebraic extension.

*Proof.* Call [K:F]=n and let  $\alpha \in K$ . Then the n+1 elements  $\{1,\alpha,\alpha^2,\ldots,\alpha^n\}$ must be linearly dependent. Hence, by linear algebra, there exist  $a_0, a_1, \dots, a_n \in F$ not all zero such that the linear combination  $a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_n\alpha^n = 0$ . Hence,  $\alpha$  is a root of  $a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n = 0$ .

← finite extension just means finite degree  $[K:F]<\infty$ 

← since n + 1 > $\dim(K/F) = n$ 

**Corollary 28.** If K/F is an extension and  $\alpha \in K$ , then  $\alpha$  is algebraic over F if and only if  $[F(\alpha):F]<\infty$ .

Proof.

 $(\Leftarrow)$  Follows from prop.

 $(\Longrightarrow)$  If  $\alpha$  is algebraic, then there exists an irreducible polynomial p(x) with  $\alpha$  as a root and of degree  $n < \infty$ . Then  $F(\alpha) \cong F[x]/(p(x))$  is a n-dimensional vector space over *F*.

Another perspective:  $F(\alpha) = \operatorname{Span}_F\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}.$ 

← Review proof of

**Proposition 29.** Suppose K/F is an extension &  $\alpha \in K$  is algebraic over F. Then there exists a unique, irreducible, and monic polynomial  $m_{\alpha,F}(x) \in F[x]$  that has  $\alpha$  as a root.

**Remark.** We observe that *m* does depend on the base field F;  $m_{\sqrt{2}.0}(x) = x^2 - 2$ , but  $m_{\sqrt{2}, O(\sqrt{2})}(x) = x - \sqrt{2}$ .

*Proof.* Since the subset of F[x] satisfying  $\alpha$  is a root is nonempty, we can pick one with a **minimal degree**. By multiplying by an element of F if necessary, we can assume WLOG this polynomial is **monic**. Call it  $m_{\alpha,F}(x)$ .

Assume BWOC that *m* is the product of two other polynomials of lesser degree such that  $m_{\alpha,F}(x) = a(x)b(x)$ , then we plug in  $0 = m_{\alpha,F}(\alpha) = a(\alpha)b(\alpha)$ . Since there are no zero divisors in F[x], WLOG  $a(\alpha) = 0$ , contradicting the minimality of  $m_{\alpha,F}$ . Hence  $m_{\alpha,F}$  is **irreducible**.

Then, BWOC if  $p(x) \in F[x]$  with  $\alpha$  as a root and is monic and irreducible, there exist  $q(x), r(x) \in F[x]$  such that  $p(x) = m_{\alpha,F}(x)q(x) + r(x)$  where  $\deg(r) < \deg(m_{\alpha,F})$ or r(x) = 0. Then, we observe that  $p(\alpha) = 0 = m_{\alpha,F}(\alpha)q(m_{\alpha,F}) + r(\alpha) = 0 + r(\alpha)$ . Thus,  $r(\alpha) = 0$ , so  $\deg(r) \ge \deg(m_{\alpha, F})$  unless r(x) = 0 by minimality. Hence we must have r(x) = 0, so  $m_{\alpha,F}|p$ . This contradicts the assumption that p is monic and irreducible. Therefore,  $m_{\alpha,F}$  is the **only** minimal, monic and irreducible polynomial where  $\alpha$  is a root. 

 $F(\alpha) \cong F[x]/(p(x)).$ 

 $\leftarrow$  So  $F(\alpha) \cong$  $F[x]/(m_{\alpha F}(x))$ 

**Definition 22.**  $m_{\alpha,F}(x)$  is the **minimal** polynomial of  $\alpha$  over F.

(The following is kind of on a tangent)

Some exam prep!

- In general, for subrings  $R \subseteq S$ , we have if  $r \in R^{\times}$ , then  $r \in S^{\times}$ .
- If we adjoint one root of an irreducible polynomial to a field, the fields are isomorphic no matter which root of that polynomial we adjoint.

(Tangent ends here)

To summarize, if K/F is a field extension and  $\alpha \in K$ , then  $\alpha$  is **algebraic** over F if it is the root of some polynomials in F[x]. For each algebraic  $\alpha$ , there exists a unique, monic, irreducible polynomial  $m_{\alpha,F}(x) \in F[x]$  such that  $m(\alpha) = 0$ . In that case, the degree of extension  $[F(\alpha):F] = \deg(m_{\alpha,F}(x))$ ; and, if  $p(\alpha) = 0$  for some  $p(x) \in F[x]$ , then  $m_{\alpha,F}|p(x)$ . In general, if  $[K:F] < \infty$ , then K/F is algebraic. Thus,  $[F(\alpha):F] < \infty$  if and only if  $\alpha$  is algebraic over F.

**Proposition 30.** If  $F \subseteq K \subseteq L$  are fields, then

$$[L:F] = [L:K] \cdot [K:F]$$

 $\leftarrow mn \begin{pmatrix} L \\ N \\ K \\ m \end{pmatrix}$ 

*Proof.* We first see that if  $[K:F] = \infty$ , then for any  $N \in \mathbb{N}$ , there exists  $\alpha_1, \ldots, \alpha_N \in K$  that are linearly independent over F. In that case, it is certainly true that  $\alpha_1, \ldots, \alpha_N \in L$  are linearly independent over F. Thus,  $[L:F] = \infty$ .

If  $[L:K] = \infty$ , then for any  $N \in \mathbb{N}$ , there exists  $\beta_1, \dots, \beta_N \in L$  that are linearly independent over K. As a result, it also is linearly independent over F. Hence,  $[L:F] = \infty$ .

If [K:F]=m and [L:K]=n, let  $\alpha_1,\ldots,\alpha_m\in K$  be a basis for K over F and  $\beta_1,\ldots,\beta_n\in L$  be a basis for L over K.

Claim: 
$$\{\alpha_i \beta_j \mid 1 \le i \le m, 1 \le j \le n\}$$
 forms a basis for  $L$  over  $F$ .

Some nice consequences:

- ← Linear independence implies that whenever  $a_1\alpha_1 + a_2\alpha_2 + \cdots + a_N\alpha_N = 0$  for some coefficients  $a_1, \ldots, a_N \in F$ , then necessarily  $a_1 = a_2 = \cdots = a_N = 0$ .
- ← Use linear combinations to prove this claim.

**Corollary 31.** Suppose K/F is an extension and  $\alpha, \beta \in K$  are algebraic over F. Then:

- $F(\alpha, \beta) = (F(\alpha)(\beta))$
- $[F(\alpha, \beta) : F] = [F(\alpha, \beta) : F(\beta)][F(\beta) : F] = \deg(m_{\alpha, F(\beta)}(x)) \cdot \deg(m_{\beta, F(x)})$ . However, note that the minimal polynomial

$$\leftarrow$$
 the smallest subfield of *K* containing *F*, *α*, *β*

 $\leftarrow \text{ since } p(\alpha) = 0 \iff m_{\alpha,F}(x)|p(x)$ 

$$m_{\alpha,F(\beta)}(x) \mid m_{\alpha,F}(x) \in F(\beta)[x]$$

so  $\deg(m_{\alpha,F(\beta)}(x)) \leq \deg(m_{\alpha,F}(x))$ . Hence,

$$[F(\alpha, \beta) : F] \le \deg(m_{\alpha, F}(x)) \deg(m_{\beta, F}) < \infty$$

This means that whenever  $\alpha$ ,  $\beta$  are algebraic over F, we get that  $F(\alpha, \beta)/F$  is an algebraic extension.

• As a result,  $\alpha \pm \beta$ ,  $\alpha\beta$ ,  $\alpha/\beta$  are all algebraic over F. The algebraic elements hence form a **field**.

**Proposition 32.** Suppose K/F is an extension. Then  $[K:F] < \infty$  *if and only if*  $K = F(\alpha_1, ..., \alpha_n)$  could be written where  $\alpha_1, ..., \alpha_n \in K$  are algebraic over F.

In other words, an extension is finite *if and only if* it is generated by adjoining a finite amount of algebraic elements.

Proof.

( ⇒ ) If  $[K : F] < \infty$ , then suppose  $\{\alpha_1, ..., \alpha_n\}$  is a basis of K over F. Then  $\alpha_1, ..., \alpha_n$  are algebraic and every element of K is an F-linear combination of  $\alpha_i$ s. Hence K must be the smallest field containing F and  $\alpha_i$ s, which means  $K = F(\alpha_1, ..., \alpha_n)$ .

 $(\longleftarrow)$  We observe that

$$[K : F] = [(F(\alpha_1, \dots, \alpha_{n-1}))(\alpha_n) : F(\alpha_1, \dots, \alpha_{n-1})] \cdot \dots \cdot [F(\alpha_1) : F]$$

$$\leq \prod_{i=1}^n \deg(m_{\alpha_i, F}(x)) < \infty$$

**Corollary 33.** If L/K and K/F are algebraic extensions, then so is L/F.

← L/K and K/F need not be finite!

*Proof.* Suppose  $\alpha \in L$ . Since L/K is algebraic, there exists  $p(x) \in K[x]$  such that  $p(\alpha) = 0$ . Let  $\alpha_0, \dots, \alpha_n \in K$  be the coefficients of p(x), necessarily algebraic over F since K/F algebraic. Therefore,

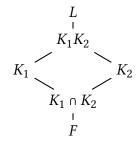
$$[F(a_0,\ldots,a_n,\alpha):F] = [(F(a_0,\ldots,a_n))(\alpha):F(a_0,\ldots,a_n)][F(a_0,\ldots,a_n):F]$$

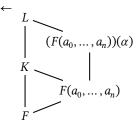
Back to TOC 20

November 9, 2023

Since  $p(\alpha) = 0$  has coefficients in  $K \supseteq F(\alpha_0, ..., \alpha_n)$ , we have  $[(F(a_0, ..., a_n))(\alpha) : F(a_0, ..., a_n)] < \infty$ . The second term is also clearly  $< \infty$ . Therefore,  $[F(a_0, ..., a_n, \alpha) : F] < \infty$ , meaning that  $\alpha$  is algebraic over F.

**Definition 23.** Suppose L/F is an extension &  $K_1$  and  $K_2$  are intermediate fields. The **composite** field  $K_1K_2$  is the smallest subfield of L containing  $K_1$  and  $K_2$ .





**Definition 24.** Suppose F is a field and  $p(x) \in F[x]$ . The **splitting field** of p(x) over F is the smallest field extension of F over which p(x) could be factored into **linear factors**.

**Remark.** If *E* is the splitting field of p(x) over *F* then  $[E:F] \le n!$  where  $n = \deg(p(x))$ .

**Remark.** Such an extension is called **normal**.

**Proposition 34.** Splitting fields exist.

*Proof outline.* By induction on  $\deg(p(x))$ , whose base case,  $\deg(p(x)) = 1$ , yields F as a splitting field. More generally, any p(x) has a root  $\alpha$  in  $F(\alpha) \cong F[x]/(q(x))$  for some irreducible q(x) so  $p(x) = (x - \alpha)f(x) \in F(\alpha)[x]$ . We observe that  $\deg(f(x)) = \deg(p(x)) - 1$ . Induction takes care of the rest.

**Remark.** K is a splitting field over F if and only if every irreducible  $p(x) \in F[x]$  that has one root in K has **all** its roots in K.

**Non-example 26.**  $\mathbb{Q}(\sqrt[3]{2})$  over  $\mathbb{Q}$  is not such an extension.

**Lemma 35.** Suppose  $\varphi: F_1 \to F_2$  is a field isomorphism,  $p_1(x) \in F_1[x]$ , and  $p_2(x) = \varphi((p_1(x)))''$  ( $\varphi$  applied to coeffs of  $p_1(x)$ ). Let  $\alpha_1$  be a root of the irreducible factor  $q_1(x)$  of  $p_1(x)$ , and let  $q_2(x) = \varphi(q_1(x))''$  and  $\alpha_2$  be a root of  $q_2(x)$ . Then there exists an isomorphism  $\tau: F_1(\alpha_1) \to F_2(\alpha_2)$  such that  $\tau(\alpha_1) = \alpha_2$  and  $\tau_{|F_1|} = \varphi$  (this means " $\tau$  restricted to  $F_1$ ").

← The splitting field of p(x) over F is the same as the splitting field of f(x) over  $F(\alpha)$ 

← Assuming that splitting fields

exist and are

**unique** up to isomorphism.

← In this way,  $\varphi$  induce a ring isomorphism  $F_1[x] \to F_2[x]$ .

Proof outline.

$$F_{1}(\alpha_{1}) \xrightarrow{\sim} F_{1}[x]/(q_{1}(x)) \xrightarrow{\sim} F_{2}[x]/(q_{2}(x)) \xrightarrow{\sim} F_{2}(\alpha_{2})$$

$$\alpha_{1} \longrightarrow \bar{x} \longrightarrow \bar{x} \longrightarrow \alpha_{2}$$
if  $a \in F_{1}$ ,
$$a \longrightarrow \bar{a} \longrightarrow \overline{\varphi(a)} \longrightarrow \varphi(a)$$

21

**Back to TOC** 

November 9, 2023

**Proposition 36.** Suppose  $F_1$ ,  $F_2$ ,  $\varphi$ ,  $p_1(x)$  and  $p_2(x)$  are as in Lemma 35. Let  $E_1 \& E_2$  be splitting fields of  $p_1$  and  $p_2$  respectively. Then there exists an isomorphism  $\sigma: E_1 \to E_2$  such that  $\sigma_{|F_1|} = \varphi$ .

 $\leftarrow$  if we set  $F_1 = F_2$ and  $p_1 = p_2$ , we get corollary: splitting fields are unique up to isomorphism.

*Proof.* Proceed by induction on  $\deg(p_1(x))$ . For the base case, if  $\deg(p_1(x)) = 1$ , then  $E_1 = F_1$  and  $\sigma = \varphi$ .

Assume the result is true for all polynomials of fixed degree  $k \ge 1$  and suppose  $\deg(p_1(x)) = k+1$ . Let  $\alpha_1$  be a root of  $p_1(x)$  and  $\alpha_2$  be a root of the  $\varphi$ -corresponding irreducible factor of  $p_2(x)$ . By Lemma 35,  $\varphi$  can be extended to  $\tau: F_1(\alpha_1) \to F_2(\alpha_2)$  such that  $\tau_{|F_1|} = \varphi$ .

In  $(F_1(\alpha_1))[x]$ , we can factor out  $p_1(x) = (x - \alpha_1)g_1(x)$ , and in  $(F_2(\alpha_2))[x]$  we factor  $p_2(x) = (x - \alpha_2)g_2(x)$  with  $g_2(x) = \tau(g_1(x))$ . We observe that  $E_1$  and  $E_2$  are the splitting fields of  $g_1$  and  $g_2$  over  $F_1(\alpha_1)$  and  $F_2(\alpha_2)$ !

 $\begin{array}{cccc} \sigma: & E_1 \stackrel{\sim}{\longrightarrow} E_2 \\ & & & \\ \tau: & F_1(\alpha_1) \stackrel{\sim}{\longrightarrow} F_2(\alpha_2) \\ & & & \\ \varphi: & & F_1 \stackrel{\sim}{\longrightarrow} F_2 \end{array}$ 

By inductive hypothesis,  $\tau$  could be extended to  $\sigma$  and  $\sigma_{|F_1(\alpha)} = \tau$  and  $\sigma_{|F_1} = \varphi$ .  $\square$  Corollary 37. Splitting fields are unique.

*Proof.* Set 
$$F_1 = F_2$$
,  $\varphi = \text{id}$ ,  $p_1(x) = p_2(x)$ .

(The following is kind of on a tangent)

Homework hint: the proof of existence & uniqueness of splitting fields relied on inductive arguments where we adjoin one root at a time. This is the same as saying  $E = F(\alpha_1, ..., \alpha_n)$  but this tends to overlook isomorphic ways to adjoin roots. In this context, it is convenient to start by considering a specific K containing F and all roots of p(x). In that case,  $E = F(\alpha_1, ..., \alpha_n)$  becomes more rigorous.

(Tangent ends here)

**Definition 25.** A polynomial is called **separable** if it doesn't have repeated roots. **Definition 26.** Suppose  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$ . The **formal derivative** of f(x) is the polynomial

$$D_x f(x) = f'(x) = na_n x^{n-1} + (n-1)a_{n-1} x^{n-2} + \dots + a_1$$

← First note that a poly of degree n over a field has exactly n roots.

From this definition, we can check that the usual differential rules hold.

**Lemma 38.** Suppose F is a field, f(x) is a polynomial in F[x], and E/F a field extension containing a root  $\alpha$  of f(x). Then  $\alpha$  is a repeated root of f(x) if and only if  $\alpha$  is a root of the formal derivative f'(x).

*Proof.* If  $\alpha$  is a repeated root of f(x) then  $f(x) = (x-\alpha)^2 g(x)$  for some  $g(x) \in E[x]$ . In that case,  $f'(x) = 2(x-\alpha)g(x) + (x-\alpha)^2 g'(x)$  and so  $f'(\alpha) = 0$ .

Conversely, if  $f'(\alpha) = 0$ , then differentiating  $f(x) = (x - \alpha)h(x)$  (where  $h(x) \in E[x]$ ) and plugging  $x = \alpha$  yields  $0 = f'(\alpha) = h(\alpha) + (\alpha - \alpha)h'(\alpha) = h(\alpha)$ . This is saying that  $h(x) = (x - \alpha)g(x)$  for some  $g(x) \in E[x]$ .

**Lemma 39.** If  $f(x) \in F[x]$  is irreducible and not separable, then f'(x) = 0.

finish proof

If f(x) is not constant and f'(x) = 0, then  $\operatorname{char}(F) = p > 0$  and  $f(x) = g(x^p)$ . **Proposition 40.** If  $\operatorname{char}(F) = 0$ , or  $|F| < \infty$  and  $\operatorname{char}(F) = p$ , then every irreducible

← All powers in f(x) are multiples of p(x).

*Proof.* For the case of char=0, it follows from 39.

polynomial in F[x] is separable.

For the case of char>0, suppose F is a finite field of  $p^n$  elements<sup>2</sup>. Then the map  $F \to F$  where  $\alpha \mapsto \alpha^p$  is a field isomorphism. Hence, every element of F is a  $p^{th}$  power.

Now suppose BWOC  $f(x) = \sum_{i=0}^{n} a_i x^i \in F[x]$  is an irreducible but not separable polynomial. Therefore, f'(x) = 0 must be true. This happens *if and only if*  $f(x) = \sum_{j=0}^{m} a_{jp} x^{jp}$ , that is, the x in all terms are of  $p^{th}$  degree. However, we know that all elements  $a_{jp} \in F$  are already the  $p^{th}$  powers of sth else  $(b_{jp})^p = a_{jp}$ , so

$$f(x) = \sum_{j=0}^{m} (b_{jp}^{p}) x^{jp}$$

and by reverse Binomial Theorem, we get

$$f(x) = \sum_{j=0}^{m} (b_{jp}^{p}) x^{jp} = \left(\sum_{j=0}^{m} b_{jp} x^{j}\right)^{p}$$

is not irreducible!

← Use binomial theorem.

 ← Such fields are called **perfect**.

<sup>&</sup>lt;sup>2</sup>See Section 27

**Non-example 27.** Let 
$$F = \mathbb{F}_p(t) = \left\{ \frac{f(t)}{p(t)} \mid f(t), g(t) \in \mathbb{F}_p[t], g(t) \neq 0 \right\}.$$

Then  $p(x) = x^p - t$  is not separable (but it is irreducible). This can be seen if we suppose  $\alpha$  is a root of p(x) (so  $\alpha = t$ ). Then, in  $F(\alpha)[x]$ , we have  $p(x) = x^p - t = x^p - \alpha^p = (x - \alpha)^p$ , which tells us p(x) is not separable.

- ← This is a field of char>0 but is infinite.
- ← The coefficients of p(x) are ratios of polys in  $\mathbb{F}_p(t)$ .

(The following is kind of on a tangent)

#### Prime fields

Suppose R is a commutative ring with identity. The map  $\mathbb{Z} \to R$  where  $n \mapsto \pm (\underbrace{1_R + 1_R + \dots + 1_R})$  (– if n < 0) is a <u>ring homomorphism</u> with kernel  $n\mathbb{Z}$  where  $n \in \mathbb{Z}$ 

← check it!

 $n = \operatorname{char}(R)$ . So:

- if char (R) = 0, then R contains  $\mathbb{Z}$ ;
- if char (R) = n > 0, then R contains  $\mathbb{Z}/n\mathbb{Z}$ .

If *F* is a field, then:

- if char (F) = 0, then F conatins  $\mathbb{Q}$ ;
- if char (F) = p > 0, then p prime and F contains  $\mathbb{Z}/p\mathbb{Z}$ .

← That is, F is an extension of  $\mathbb{Z}/p\mathbb{Z}$ !

In other words, every field is an extension of  $\mathbb{Q}$  or  $\mathbb{F}_p$ . Moreover, a finite field is a *finite* extension of  $\mathbb{F}_p$ : if  $[F:\mathbb{F}_p]=n$ , then  $|F|=p^n$ .

In addition,  $|F - \{0\}| = p^n - 1 \implies \text{if } \alpha \in F - \{0\} \text{ then } \alpha^{p^n - 1} = 1$ , implying that if  $\alpha \in F$ , then  $\alpha^{p^n} = \alpha$ , meaning that  $\alpha$  is a root of  $x^{p^n} - x \in F[x]$ . Therefore, F is the splitting field of  $x^{p^n} - x$ . But splitting fields are unique, so we conclude that there is only one unique finite field for each order.

← By Lagrange's Theorem

(Tangent ends here)

**Definition 27.** An algebraic extension K/F is called (algebraically) **separable** if  $m_{\alpha,F}(x)$  is separable for **all**  $\alpha \in K$ .

## **Galois Theory**

**Definition 28.** A finite extension K/F is called **Galois** if K/F is <u>normal</u> and separable.

← normal just means it is a splitting field of something

**Definition 29.** If K/F is an extension, then the **automorphism group** of K/F is defined as

$$\operatorname{Aut}(K/F) = \{ \sigma \in \operatorname{Aut}(K) \mid \sigma(a) = a \,\forall \, a \in F \}$$

That is, all the automorphisms of K that also fix the field F.

**Galois theory** is concerned with the <u>study of roots of polynomials</u> by way of <u>automorphisms of splitting fields</u> (of separable polynomials). In particular, we are interested in what

$$Aut(K) = {\sigma : K \to K \text{ isomorphisms}}$$

(a group under composition) is. Naturally, such groups are finite.

← review MATH171 finite groups!

Last time, we showed that  $K \supseteq \left\{ \begin{matrix} \mathbb{Q} & \text{if char}(K) = 0 \\ \mathbb{F}_p & \text{if char}(K) = p \end{matrix} \right\}$ , since  $\sigma(1) = \sigma(1^2) = (\sigma(1))^2$  implies that  $\sigma(1) = 1$  must always be true! Hence,  $\sigma(n) = n$  must be true in char 0 fields, or  $\sigma(\bar{n}) = \bar{n}$  if char>0 for all  $n \in \mathbb{Z}$ . Therefore,  $\sigma$  **fixes** the prime subfield  $\mathbb{Q}$  or  $\mathbb{F}_p$ .

**Remark.** Why does Definition 29 have to fix the field F? Because we've shown that  $\operatorname{Aut}(K) = \begin{cases} \operatorname{Aut}(K/\mathbb{Q}) & \text{if } \operatorname{char}(K) = 0 \\ \operatorname{Aut}(K/\mathbb{F}_p) & \text{if } \operatorname{char}(K) = p \end{cases}$ .

**Lemma 41.** If K/F is an extension,  $\alpha \in K$  is algebraic over F and  $\sigma \in \operatorname{Aut}(K/F)$ , then  $\sigma(\alpha)$  is a root of  $m_{\alpha,F}(x)$ .

*Proof.* Observe that  $m_{\alpha,F}(\alpha) = 0 = \sigma(0) = \sigma(m_{\alpha,F}(\alpha))$ . Hence, since  $\sigma(\alpha) = \alpha$  for all  $\alpha \in F$ ,

$$\sigma(a_0 + a_1\alpha + \dots + a_n\alpha^n) = a_0 + a_1\sigma(\alpha) + \dots + a_n\sigma(\alpha)^n = m_{\alpha,F}(\sigma(\alpha))$$

So if  $f(x) \in F[x]$ , then every  $\sigma \in \operatorname{Aut}(K/F)$  **permutes** the roots of f(x) that lie in K. It would be nice if the roots of f(x) all lived in K. This is why we consider K the splitting field of some polynomial over F.

← Recall: if irreducible f(x) has one root in such K, then all roots lie in K.

Back to TOC 25 November 9, 2023

**Proposition 42.** If K is the splitting field of some polynomial f(x) over F (so  $[K:F]<\infty$ ), then  $|\operatorname{Aut}(K/F)|\leq [K:F]$ , with equality if f(x) is separable.

*Proof.* We will prove a more general statement by induction. If  $\sigma: F_1 \to F_2$  is an isomorphism,  $f_1(x) \in F_1[x]$  and  $f_2(x) = \sigma(f_1(x)) \in F_2[x]$  and  $E_1$  and  $E_2$  are the splitting fields of  $f_1$  and  $f_2$  over  $F_1$  and  $F_2$  respectively. Then we would like to show that there are at most  $[E_1:F_1]$  isomorphisms  $\tau:E_1 \to E_2$  such that  $\tau_{|F_1|} = \sigma$  with equality if  $f_1$  separable.

 $\leftarrow$  The prop above fixes  $F_1 = F_2$  and  $\sigma$  being identity.

Base case. If  $[E_1:F_1]=[E_2:F_2]=1$ , then  $E_1=F_1$ ,  $E_2=F_2$  and  $\tau=\sigma$  is our only choice.

 $\tau : E_{1} \xrightarrow{\sim} E_{2}$   $\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$   $\uparrow \qquad \qquad \downarrow \qquad \qquad \downarrow$   $\rho : F_{1}(\alpha) \xrightarrow{\sim} F_{2}(\beta)$   $\sigma : F_{1} \xrightarrow{\sim} F_{2}$ 

Inductive step. Suppose we've proven the result for all extensions of degree < n for some  $n \ge 2$ . Now consider  $[E_1:F_1] = [E_2:F_2] = n$ . Pick  $\alpha \in E_1 \backslash F_1$  and let  $\beta \in E_2$  be any root of  $\sigma(m_{\alpha,F_1}(x))$ . Then  $\sigma$  could be extended to  $\rho:F_1(\alpha)\to F_2(\beta)$  such that  $\rho(\alpha)=\beta$  and  $\rho_{|F_1}=\sigma$ . Observe that  $[F_1(\alpha):F_1]=\deg(m_{\alpha,F_1}(x))$ . Moreover, the number of extensions of  $\sigma$  to  $\rho$  equals the number of distinct roots of  $\sigma(m_{\alpha,F_1}(x))$ . Thus, the number of extensions of  $\sigma$  to  $F_1(\alpha)$  is at most the degree of  $m_{\alpha,F_1}(x)$  which is  $[F_1(\alpha):F_1]$  with equality if  $m_{\alpha,F_1}(x)$  is separable. Since  $[E_1:F_1]=[E_2:F_2]=n$ , we have  $[E_1:F_1(\alpha)]< n$ , by inductive hypothesis, there are at most  $[E_1:F_1(\alpha)]$  ways of extending  $\rho$  to  $\tau:E_1\to E_2$ . Hence,

|{extensions of 
$$\sigma$$
 to  $\tau$ }| = |{extensions of  $\sigma$  to  $\rho$ }||{extensions of  $\rho$  to  $\tau$ }|
$$\leq [F_1(\alpha) : F_1][E_1 : F_1(\alpha)]$$

$$= [E_1 : F_1]$$

Looking at the case  $F_1 = F_2$ ,  $E_1 = E_2$ ,  $\sigma = \text{id}$ , we get our result.

**Definition 30.** If K/F is a normal extension, then the extension is **Galois** if  $[K:F] = |\operatorname{Aut}(K/F)|$ .

**Remark.** Notation: if K/F is Galois, then use Gal(K/F) for Aut(K/F).

## Fixed Fields and Automorphism Groups

**Definition 31.** Suppose K/F is a field extension. If subgroup  $H \leq \operatorname{Aut}(K/F)$ , then the **fixed field** of H is given by  $K_H = \{\alpha \in K \mid \sigma(\alpha) = \alpha \text{ for all } \sigma \in H\}$ .

**Remark.** Also, observe that if  $F \subseteq E \subseteq K$ , then  $\operatorname{Aut}(K/E) \leq \operatorname{Aut}(K/F)$ .

**Lemma 43.** Suppose K/F is an extension. Then:

(1) If  $H_1, H_2 \leq \operatorname{Aut}(K/F)$  with  $H_1 \leq H_2$ , then  $K_{H_2} \subseteq K_{H_1}$ .

← Observe that  $K_H$  is indeed a field (the sum, products etc. are also fixed by  $\sigma$ ); moreover, it is an intermediate extension  $F \subseteq K_H \subseteq K$ .

(2) If  $F \subseteq E_1 \subseteq E_2 \subseteq K$  are two intermediate extensions, then  $\operatorname{Aut}(K/E_2) \le \operatorname{Aut}(K/E_1) \le \operatorname{Aut}(K/F)$ .

**Example 28.**  $\mathbb{Q}$  is an intermediate extensions of  $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$ . Then  $\operatorname{Aut}(\mathbb{Q}/\mathbb{Q})=\{1\}$ . We further observe that since automorphisms permute roots,  $\operatorname{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})=\{1\}$ . Hence  $\operatorname{Aut}(\mathbb{Q}/\mathbb{Q})=\operatorname{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})$  and so the fixed field by  $\mathbb{Q}(\sqrt[3]{2})$  is given by  $\mathbb{Q}(\sqrt[3]{2})_{\operatorname{Aut}(\mathbb{Q}/\mathbb{Q})}=\mathbb{Q}(\sqrt[3]{2})$ . We note that  $\mathbb{Q}(\sqrt[3]{2})$  is not Galois!

**Theorem 44** (The Fundamental Theorem of Galois Theory). If K/F is a (finite) Galois extension, then the maps  $H \mapsto K_H$  and  $E \mapsto \operatorname{Aut}(K/E)$  gives an *inclusion-reversing* **bijection** between the subgroups of  $\operatorname{Aut}(K/F)$  and the intermediate extensions of K/F.

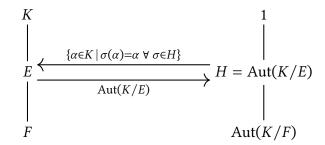
Furthermore,  $[K : E] = |\operatorname{Aut}(K/E)|$ , and

$$[E:F] = |\operatorname{Aut}(K/F): \operatorname{Aut}(K/E)| = |\operatorname{Aut}(K/F)|/|\operatorname{Aut}(K/E)|$$

Moreover, E/F is Galois *if and only if* Aut(K/E) is a **normal subgroup** of Aut(K/F), in which case

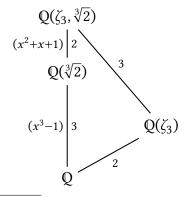
$$Aut(E/F) = Aut(K/F) / Aut(K/E)$$

In other words,



where H is a subgroup of  $\operatorname{Aut}(K/F)$  that fixes the field E, an extension of F contained in K. If  $H \subseteq \operatorname{Aut}(K/F)$ , then E/F is normal.

**Example 29.** Consider  $\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q}$ , the splitting field extension of  $x^3 - 2$ .



<sup>&</sup>lt;sup>3</sup>Suppose  $\zeta$  is a primitive nth root of unity; then so is  $\zeta^k$  if and only if the gcd (k, n) = 1, i.e. k, n are relatively prime.

← Roots of  $x^3 - 2$  are  $\sqrt[3]{2}$ ,  $\zeta_3\sqrt[3]{2}$ ,  $\zeta_3\sqrt[3]{2}$ , so two roots are not in  $\mathbb{Q}(\sqrt[3]{2})$ , and so  $\sqrt[3]{2}$  could be only mapped to itself.

← We see if K/F is Galois, then K/E is also Galois as if K is the splitting field of some poly in F, then it's certainly true for E.

←  $|\zeta_3| = 3$ , a primitive 3rd root of unity.

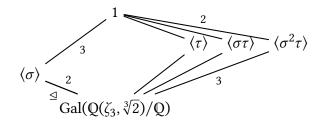
Let  $\sigma, \tau \in \text{Aut}(\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q})$  be given by:

$$\sigma: \left\{ \begin{array}{ll} \sqrt[3]{2} \mapsto \zeta_3 \sqrt[3]{2} \\ \zeta_3 \mapsto \zeta_3 \end{array} \right. \quad \tau: \left\{ \begin{array}{ll} \sqrt[3]{2} \mapsto \sqrt[3]{2} \\ \zeta_3 \mapsto \zeta_3^2 \end{array} \right.$$

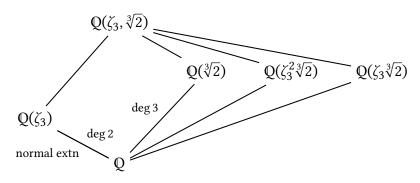
So  $\langle \sigma, \tau \mid \sigma^3 = \tau^2 = id, \sigma\tau = \tau\sigma^2 \rangle \cong S_3$ .

Since this is a subgroup of  $Gal(\mathbb{Q}(\zeta_3,\sqrt[3]{2})/\mathbb{Q})$  that has the same finite order of 6, this must just be  $Gal(\mathbb{Q}(\zeta_3,\sqrt[3]{2})/\mathbb{Q})$  itself; hence,  $Gal(\mathbb{Q}(\zeta_3,\sqrt[3]{2})/\mathbb{Q}) \cong S_3$ .

Now we look at the subgroups of  $S_3$  (in reverse):



And then think about the **fixed field** of each subgroup correspondingly:



Note that the normal extension corresponds to the normal subgroup!

 $\leftarrow \mathbb{Q}(\sqrt[3]{2}) \text{ is not}$ Galois!

roots'

← Remember normal extn means 'is splitting field', i.e. 'one root -> all

← Isomorphisms preserve order, so

unity!

they **must** take an *n*th root of unity to another *n*th root of

**Proposition 45** (Primitive Element Theorem). If K/F is a **finite Galois** extension, then  $K = F(\alpha)$  for some  $\alpha \in K$ .

**Definition 32.**  $K = F(\alpha)$  is a **simple** extension of F and  $\alpha$  is a primitive element.

*Proof.* We first assume  $|F| = \infty$ .

Recall that K/F is finite *if and only if*  $K = F(\alpha_1, \alpha_2, ..., \alpha_n)$  where  $\alpha_i$  is algebraic over F. We will proceed by induction on n, whose base case n = 1 gives a simple extension  $F(\alpha_1)/F$ .

Recursive case: assume that for some  $k \ge 1$  we have  $F(\alpha_1, ..., \alpha_k)$  being a simple extension  $F(\alpha)$ . Let K/F be Galois and  $K = F(\alpha_1, ..., \alpha_{k-1}, \alpha, \beta)$ .

Let  $E = F(\alpha_1, ..., \alpha_{k-1})$  and consider the intermediate family of extensions  $\{E(\alpha + t\beta) \mid t \in F\}$ . Since  $|\operatorname{Gal}(K/F)| < \infty$  as we are talking about finite Galois extensions, there are finitely many distinct such extensions, so  $E(\alpha + t_1\beta) = E(\alpha + t_2\beta)$  for some  $t_1 \neq t_2$ .

← such that  $K = E(\alpha, \beta)$ 

Now we see that  $\alpha + t_1\beta$  and  $\alpha + t_2\beta$  must be in the same field  $E(\alpha + t_1\beta)$ . Hence,  $(\alpha + t_1\beta) - (\alpha + t_2\beta)$  are in the field, so  $(t_1 - t_2)^{-1} ((\alpha + t_1\beta) - (\alpha + t_2\beta)) = \beta$  is also in the field. Similarly,  $\alpha \in E(\alpha + t_1\beta)$ . Therefore,  $K = E(\alpha, \beta) = E(\alpha + t_1\beta) = F(\alpha_1, \dots, \alpha_{k-1}, \alpha + t_1\beta)$ , which has k elements adjoined and is therefore simple.  $\square$ 

Remark. Above is true for char 0 fields even without the 'Galois' hypothesis.

*Proof outline.* Since K is a finite extension of F with  $[K:F] < \infty$ , then there must be  $K = F(\alpha_1, ..., \alpha_n)$  for some algebraic  $\alpha_1, ..., \alpha_n \in K$ .

Let E be the splitting field of  $\prod_{i=1}^n m_{\alpha_i,F}(x)$ . We call E the **Galois closure** of K over F. Now, we have  $E \supset K \supset F$  and E/F is Galois. Thus, there are finitely many intermediate fields between K and F. We can then use a similar proof for Proposition 45.

← Idea: if anything is not Galois, we add enough things to it to make it Galois!

## Cyclotomic fields

**Definition 33.** Suppose K is a field. An element  $z \in K$  is called an n-th root of unity if  $z^n = 1$ ; and z is a **primitive** n-th root of unity if  $z^k \neq 1$  for any  $1 \leq k \leq n-1$ .

**Remark.** *z* is a **primitive** *n*-th root of unity *if and only if* |z| = n in  $K^{\times} = K \setminus \{0\}$ .

**Remark.** z is an n-th root of unity *if and only if* z is a root of  $x^n - 1$ .

**Lemma 46.** If K is a field containing one primitive n-th root of unity, then K contains exactly n roots of unity, exactly  $\varphi(n)$  of which are primitive.

Remark. Recall:

- $z^n = 1$  if and only if |z| divides n.
- $|z^m| = \frac{|z|}{\gcd(m,|z|)}$ .
- $|z^m| = |z|$  if and only if (m, |z|) = 1.

**Example 30.** If |z| = 10, then  $|z^6| = 5 = \frac{10}{(6,10)}$ 

 $\leftarrow \varphi(n)$  is Euler's totient function, the count of integers < n that are relatively prime to n.

*Proof.* If  $z \in K$  is a primitive n-th root of unity, then every element of  $\langle z \rangle$  is an n-th root of unity, and so a root of  $x^n - 1$ ; but  $|\langle z \rangle| = |z| = n$ , so the subgroup  $\langle z \rangle$  generated by z must consist of all of the n roots of  $x^n - 1$ . Furthermore,  $z^m$  is also a primitive n-th root of unity *if and only if* (m,n) = 1; thus, there are exactly  $\varphi(n)$  such elements in  $\langle z \rangle$ .

In  $\mathbb{C}$ , we have  $e^{i\frac{2\pi}{n}}$  is a primitive n-th root of unity. Suppose  $\zeta_n \in \mathbb{C}$  is a primitive n-th root of unity.

**Definition 34.** The **cyclotomic polynomial** is given by

$$\Phi_n(x) = \prod_{\substack{0 \le k < n \\ (n,k)=1}} (x - \zeta_n^k)$$

**Properties:** 

• 
$$x^n - 1 = \prod_{0 \le k < n} (x - \zeta_n^k) = \prod_{\substack{d | n \ (n,k) = d}} (x - \zeta_n^k) = \prod_{\substack{d | n \ (n,k) = d}} \Phi_d(x)$$

- $\deg(\Phi_n(x)) = \varphi(n)$
- $n = \sum_{d|n} \varphi(d)$

**Example 31.**  $6 = \varphi(1) + \varphi(2) + \varphi(3) + \varphi(6)$ 

(The following is kind of on a tangent)

**Remark.** If *K* is a finite field, then  $K^{\times} = \langle z \rangle$ .

*Proof.* Since K is finite, it must be an extension of  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  for some prime p; so  $|K^\times| = p^n - 1$  since  $|K| = p^n$ . For  $d|p^n - 1$ , let  $\mathcal{O}_d = \{z \in K^\times \mid |z| = d\}$ . Observe that  $K^\times = \bigcup_{d|p^n-1} \mathcal{O}_d$  is a disjoint union, and so

$$|K^{\times} = \sum_{d|p^{n}-1} |\mathcal{O}_{d}| = p^{n} - 1 = \sum_{d|p^{n}-1} \varphi(d)$$

which implies that  $|\mathcal{O}_d| = \varphi(d)$  for all  $d|p^n - 1$ . Hence, in particular,  $\mathcal{O}_{p^n - 1}$  is nonempty, so any  $z \in \mathcal{O}_{p^n - 1}$  generates  $K^{\times}$ .

**Remark.** This implies that the Primitive Element Theorem (see Proposition 45) is also true for finite fields.

(Tangent ends here)

Back to TOC 30

**Remark.**  $\Phi_n(x)$  is irreducible over  $\mathbb{Q}$ , and so it is the minimal polynomial  $m_{\zeta_n,\mathbb{Q}}(x)$ .

**Lemma 47.** Suppose F is a field where all irreducible polynomials are separable. Let  $p(x) \in F[x]$  irreducible and split completely in a Galois extension K/F, and let  $\alpha, \beta$  be two roots of p(x). Then there exists  $\sigma \in Gal(K/F)$  such that  $\sigma(\alpha) = \beta$ .

$$\sigma: K \to K$$

$$\leftarrow F(\alpha) \to F(\beta)$$

$$id: F \to F$$

**Definition 35.** The extension  $\mathbb{Q}(\zeta_n)/\mathbb{Q}$  is called the *n*-th **cyclotomic extension** of  $\mathbb{Q}$ .

**Remark.** All other primitive *n*-th roots of unity are of the form  $\zeta_n^a$  w/ (a, n) = 1, so  $\mathbb{Q}(\zeta_n)$  is the splitting field of  $\Phi_n(x)$  over  $\mathbb{Q}$ , so the extension is Galois.

If  $\sigma \in \text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$ , then  $\sigma$  is completely determined by  $\sigma(\zeta_n)$ . But  $\sigma(\zeta_n)$  must be another primitive n-th root of unity, so  $\sigma(\zeta_n)$  must be  $\zeta_n^a$  for some 0 < a < n with (a, n) = 1.

Moreover, by Lemma 47, each a corresponds to a Galois automorphism  $\sigma$ ; in fact, the map  $\operatorname{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \xrightarrow{\sim} (\mathbb{Z}/n\mathbb{Z})^{\times}$  where  $\sigma \mapsto \bar{a}$  is a **group isomorphism**.

**Definition 36.** A Galois extension K/F is abelian if Gal(K/F) is abelian.

Corollary 48.  $\mathbb{Q}(\zeta_n)/\mathbb{Q}$  is abelian.

**Theorem 49** (Kronecker-Wober). If  $K/\mathbb{Q}$  is abelian, then  $K \subseteq \mathbb{Q}(\zeta_n)$  for some n.

← All finite field extensions are abelian

## Radical extensions and soluble groups

**Example 32.** Suppose K is the splitting field of  $x^4 - 2$  over  $\mathbb{Q}$ . Then  $K = \mathbb{Q}(\sqrt[4]{2}, i)$ .  $\leftarrow$  since  $\zeta_4 = i$ 

Let 
$$\sigma: \begin{cases} \sqrt[4]{2} \mapsto i\sqrt[4]{2} \\ i \mapsto i \end{cases}$$
 and  $\sigma: \begin{cases} \sqrt[4]{2} \mapsto \sqrt[4]{2} \\ i \mapsto -i \end{cases}$ .

We look at the subgroup generated by  $\sigma$ ,  $\tau$ 

$$\langle \sigma, \tau \mid \sigma^4 = \tau^2 = 1, \sigma\tau = \tau\sigma^3 \rangle \cong D_8$$

Since  $[K/\mathbb{Q}] = 8$ , we have found the Galois group of  $K/\mathbb{Q}$ .