

# MATH172 Galois Theory Notes

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# Rings! Or why $x^2 - 2$ has roots.

**Definition 1.** A **ring** is a set  $R$  together with associative binary *operations*  $+$  and  $\times$  s.t.:

← map from  $R \times R \mapsto R$

- $(R, +)$  is an **abelian** group with identity  $0$
- There exists  $1 \in R$  s.t.  $r \times 1 = 1 \times r = r$
- $r(s + t) = rs + rt$  and  $(s + t)r = sr + tr \quad \forall s, r, t \in R$

← this is optional

**Proposition 1.**  $0 \times 1 = 0$  (in fact,  $0 \times r = 0 \quad \forall r \in R$ )

*Proof.* Try it! □

**Definition 2.** If  $\times$  is commutative, then  $R$  is a commutative ring.

**Non-example 1.**  $\mathbb{N}$  is not a ring.

**Example 2.**  $\mathbb{Z} \subseteq \mathbb{Q} \subseteq \mathbb{R} \subseteq \mathbb{C}$  are all rings;

- $\mathbb{Z}/n\mathbb{Z}$  is a finite ring
- $M_n(\mathbb{R})$ , the set of  $n \times n$  real matrices, is a **noncommutative** ring
- Polynomial ring:  $\mathbb{Q}[x] = \{a_0 + a_1x + \dots + a_nx^n \mid a_i \in \mathbb{Q}\}$  is a commutative ring
- $\mathbb{Z}[\sqrt{-5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\}$  is a commutative ring

← square brackets just mean "polynomials in..."

Phase I plan:

$$ID \supsetneq UFD \supsetneq PID \supsetneq ED \supsetneq Fields$$

**Definition 3.** Suppose  $R$  is a ring and  $a, b \in R$  with  $ab = 0$  but  $a, b \neq 0$ ; then  $a, b$  are called **zero divisors**.

**Example 3.**

- In  $\mathbb{Z}/6\mathbb{Z}$ ,  $\bar{4} \times \bar{3} = \bar{0}$
- In  $M_2(\mathbb{R})$ ,  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$

**Definition 4.** A commutative ring without zero divisors is called an integral domain (ID)

Why do we want ID? **Cancellation properties.**

- If  $R$  is an ID,  $a, b, c \in R$ ,  $a \neq 0$  and  $ab = ac$ , then

$$ab - ac = 0 \implies a(b - c) = 0 \implies b - c = 0 \implies b = c$$

**Definition 5.** Suppose  $R$  is an ID. An element  $a \in R$  is called a **unit** if  $a \neq 0$  and there exists  $b \in R$  s.t.  $ab = 1$ .

← notation:  $b = a^{-1}$

An element  $r \in R$  is called **irreducible** if  $r \neq 0$ ,  $r$  is NOT a unit, and whenever  $r = ab$  for some  $a, b \in R$  then  $a$  or  $b$  must be a unit.

- If  $r$  and  $s$  are irreducibles with  $r = us$ , then  $r$  and  $s$  are called **associates**.

**Example 4.**

- All “prime integers” are irreducibles in  $\mathbb{Z}$ ;
- $2, 3, 1 + \sqrt{-5}, 1 - \sqrt{-5}$  are irreducibles in  $\mathbb{Z}[\sqrt{-5}]$ .
  - Note:  $2 \times 3 = (1 + \sqrt{-5})(1 - \sqrt{-5}) = 6$  says that 6 can be factored in more than one way. This means that  $\mathbb{Z}[\sqrt{-5}]$  is NOT an UFD.

**Definition 6.** An integral domain  $R$  is called a unique factorization domain (UFD) if each nonzero, nonunit  $a \in R$  can be written as a product of irreducibles **in a unique way** up to associates.

If  $a$  is a nonzero, nonunit element of UFD  $R$  and  $a = r_1 r_2 \dots r_m = s_1 \dots s_n$  where  $r_i, s_j$  are irreducible, then after reordering  $r_i = u_i s_i$  for any  $i$  and units  $u_i$ , and  $m = n$ .

← After reordering, there are the same amounts of factors and all factors are the same up to units.

**Definition 7.** Suppose  $R$  is a comm ring. A subset  $I \subseteq R$  is called an **ideal** if  $(I, +) \leq (R, +)$  and  $ir, ri \in I$  for all  $i \in I$  and for all  $\overline{r \in R}$ .

Why do we want ideals? Such that  $R/I$  is a well-defined ring.

**Example 5.**  $\{0\}$  and  $R$  are ideals of  $R$ .

**Example 6.** If  $R$  is commutative and  $a \in R$ , then  $(a) = \{ar \mid r \in R\}$  is called the **principal ideal** generated by  $a$ .

← Prove this (be convinced)!  
Also known as  $aR$ .

**Definition 8.** A **principal ideal domain** is an integral domain where all ideals are principal ideals.

**Example 7.** The only ideals of  $(\mathbb{Z}, +)$  are of the form  $n\mathbb{Z} = (n)$ .

← Ideals generated by  $n$

**Non-example 8.**  $\mathbb{Z}[x]$  is a UFD but NOT a PID because the ideal  $(2, x) = \{2r + xs \mid r, s \in \mathbb{Z}[x]\}$  is not principal.

← Observe that  $(2, x)$  is an ideal made of polynomials with even constant terms. This cannot be principal, since if we only have 2 and not  $x$ , we do not have nonzero polynomials with zero const terms.

**Lemma 2.** If  $I \subseteq R$  is an ideal and  $1 \in I$ , then  $I = R$ .

*Proof.* Try it!

**Proposition 3.** If  $I \subseteq R$  is an ideal containing a unit of  $R$  then  $I = R$ .

*Proof.* If  $u \in I$  is a unit then  $u^{-1} \in R$ , so  $uu^{-1} = 1 \in I$ . Then the result follows from Lemma 2.  $\square$

**Definition 9.** A **field** is a commutative ring whose each nonzero element is a unit.

**Corollary 4.** If  $R$  is an ID whose ideals are  $(0)$  and  $R$ , then  $R$  is a **field**.

*Proof.* Suppose  $a \in R \setminus \{0\}$  and consider  $(a)$ . Since  $a \in (a)$ ,  $(a) = R$ . Hence, we must have that  $1 \in (a)$ , which means  $1 = ar$  for some  $r \in R$ .  $\square$

**Definition 10.** Suppose  $R$  is an integral domain. A *proper* ideal  $P \subsetneq R$  is called **prime** if whenever  $ab \in P$  for some  $a, b \in R$ , then  $a$  or  $b \in P$ .

**Non-example 9.**  $(6)$  is not a prime ideal of  $\mathbb{Z}$  since  $2 \times 3 \in (6)$  but neither  $2, 3 \in (6)$ .

**Non-example 10.**  $(2)$  is not a prime ideal of  $\mathbb{Z}[\sqrt{-5}]$  since  $6 \in (2)$ , but we observe that  $6 = (1 + \sqrt{-5})(1 - \sqrt{-5})$  while  $1 \pm \sqrt{-5} \notin (2)$ .

**Example 11.**  $(2)$  is a prime ideal of  $\mathbb{Z}$ .

**Definition 11.** A proper ideal  $M \subsetneq R$  is called **maximal** if whenever  $I \subseteq R$  such that  $M \subseteq I \subseteq R$  is an ideal containing  $M$ , then either  $I = M$  or  $I = R$ .

**Proposition 5.** Every proper ideal is contained in a maximal ideal.

*Proof.* Axiom of choice.  $\square$

**Proposition 6.** Suppose  $R$  is a commutative ring.

- $(0)$  is prime *if and only if*  $R$  is an integral domain.
- $(0)$  is maximal *if and only if*  $R$  is a field.

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(The following is kind of on a tangent)

**Definition 12.** A commutative ring  $R$  with unity is called **Noetherian** if, whenever  $I_1 \subseteq I_2 \subseteq \dots$  is an ascending sequence of (proper) ideals of  $R$ , there exists an  $n > 0$  such that  $I_n = I_{n+1} = \dots$  are the same ideals thereafter.

**Theorem 7.**  $R$  is Noetherian *if and only if* all ideals of  $R$  are finitely generated.

**Corollary 8.** All Principal Ideal Domains are Noetherian.

← The converse is also true. **The only ideals in a field are 0 and the field.**

← Observe that in  $\mathbb{Z}[\sqrt{-5}]$ , we have  $6 = (1 + \sqrt{-5})(1 - \sqrt{-5}) = 2 \times 3$ , so it is not a UFD!

← This might not be unique in non-local rings.

← By def of prime, if  $ab = 0$ , then either  $a = 0$  or  $b = 0$ , which means there are NO zero divisors.

← The chain stops ascending!

← Since all ideals are generated by 1 elt.

(Tangent ends here)

**Definition 13.** Suppose  $R$  is a commutative ring with  $1 \neq 0$  and  $I \subseteq R$  is an ideal. Then the quotient ring of  $R$  by  $I$  is the set

$$R/I = \{r + I \mid r \in R\}$$

with addition and multiplication defined representative-wise.

**Remark.** The **coset criterion** of ideals: let  $I$  be an ideal; the cosets  $r + I$ ,  $s + I$  are the same *if and only if*  $r - s \in I$ .

**Example 12.**

- In  $\mathbb{Z}/(6)$  aka.  $\mathbb{Z}/6\mathbb{Z}$ , we have  $2 + (6) = \{\dots, -10, -4, 2, 8, 14, \dots\} = 26 + (6)$  due to  $2 - 26 \in (6)$ ;
- In  $\mathbb{Q}[x]/(x^2 - 2)$ , we have

$$\{3x^2 - 47x + 1 + q(x)(x^2 - 2) \mid q(x) \in \mathbb{Q}[x]\} = \{-47x + 7 + q(x)(x^2 - 2) \mid q(x) \in \mathbb{Q}[x]\}$$

due to  $3x^2 - 47x + 1 - (-47x + 7) \in (x^2 - 2)$ .

**Remark.** Let  $I$  be an ideal of  $R$ . Then  $(I, +) \trianglelefteq (R, +)$ .

**Definition 14.**  $R/I$  is a group under  $(r + I) + (s + I) = (r + s) + I$  and the operation  $+$  is well-defined. We also define that  $(r + I)(s + I) = (rs) + I$ . We claim that multiplication in  $R/I$  is also well-defined.

*Proof.* Let  $r_1 + I = r_2 + I$  and  $s_1 + I = s_2 + I$ . By coset criterion,  $r_1 - r_2 = i$ ,  $s_1 - s_2 = j$  for some  $i, j \in I$ . Hence  $r_1 s_1 = (r_2 + i)(s_2 + j) = r_2 s_2 + i s_2 + j r_2 + ij$  where the latter three terms are all in the ideal  $I$ . Thus,  $(r_1 s_1) + I = (r_2 s_2) + I$ .  $\square$

From  $R$ ,  $R/I$  inherits nice properties:

- $0 + I = 0_{R/I}$
- $1 + I = 1_{R/I}$
- Multiplication is commutative and distributive over addition in  $R/I$ , so it is also a comm. ring with identity.

**Definition 15.** A function  $\varphi : R \rightarrow S$  between rings is called a **ring homomorphism** if the following are satisfied:

- $\varphi(r_1 + r_2) = \varphi(r_1) + \varphi(r_2)$
- $\varphi(r_1 r_2) = \varphi(r_1) \varphi(r_2)$

**Theorem 9.** First ring isomorphism theorem

If  $\varphi : R \mapsto S$  is a ring homomorphism, then  $R/\ker(\varphi) \cong \varphi(R)$ .

**Example 13.** If  $R$  is a ring and  $I$  is an ideal, then  $\pi : R \rightarrow R/I$  where  $r \mapsto r + I$  is a surjective homomorphism where  $\ker(\pi) = I$ . This is the *canonical projection* onto  $R/I$ .

**Corollary 10.** If  $I$  is a maximal ideal, then  $R/I$  is a field.

Recall Proposition 6. We now have a stronger statement:

**Proposition 11.** Suppose  $R$  is a commutative ring &  $P \subseteq R$  is an ideal. Then  $R/P$  is an integral domain *if and only if*  $P$  is prime.

*Proof.*  $R/P$  is an integral domain *if and only if* whenever  $(a+P)(b+P) = 0_{R/P}$  then one of  $a+P$  or  $b+P$  must already be  $0_{R/P}$ . This happens *if and only if* whenever  $ab+P = P$  then  $a+P$  or  $b+P$  in  $P$ , which happens *if and only if* whenever  $ab \in P$  then one of  $a, b \in P$ , which is the definition of a prime ideal.  $\square$

**Example 14.** The map  $\varphi : \mathbb{Z}[x] \rightarrow \mathbb{Z}$  where  $p(x) \mapsto p(0)$  is a surjective ring homomorphism with  $\ker(\varphi) = (x)$ . By the First Isomorphism Theorem 9,  $\mathbb{Z}[x]/(x) \cong \mathbb{Z}$ . As such, we conclude that  $(x)$  is a prime ideal since  $\mathbb{Z}$  is an integral domain.

**Lemma 12.** Suppose  $R$  is a comm. ring with  $M \subseteq R$  being an ideal.

There is a bijective correspondence between the ideals of  $R/M$  and the ideals of  $R$  containing  $M$ .

*Proof.* Consider the projection  $\pi : R \rightarrow R/M$  where  $r \mapsto r + M$ . It is enough to show:

$$\begin{aligned} \pi(\pi^{-1}(J)) &= J & \text{for all ideals } J \subseteq R/M, \text{ and} \\ \pi^{-1}(\pi(I)) &= I & \text{for all ideals } M \subseteq I \subseteq R \end{aligned}$$

To prove the first statement, observe that, if  $J$  is an ideal of  $R/M$ , then  $\pi^{-1}(J) = \{r \in R \mid r + M \in J\}$  and so

$$\pi(\pi^{-1}(J)) = \{\pi(r) \in R/M \mid r + M \in J\} = \{r + M \mid r + M \in J\} = J$$

Next, to prove the second statement, first suppose  $M \subseteq I \subseteq R$  is an ideal. Let  $a \in I$ . Then  $a + M \in \{\alpha + M \mid \alpha \in I\} = \pi(I)$ . This implies that  $a \in \pi^{-1}(\pi(I))$ , and so  $I \subseteq \pi^{-1}(\pi(I))$ .

← Observe that kernels are ideals! And ideals are kernels of some homomorphism too.

← The *if and only if* version comes in Proposition 14.

← btw,  $(x) \subseteq (x, 2)$ . the latter is the set of polynomials whose constant term is even, so it is also a proper ideal of  $\mathbb{Z}[x]$ . This is an excellent example where Prime  $\nRightarrow$  Maximal.

← To see why this is okay, see Homework 2 Sec. 7.3 P. 24



Conversely, suppose  $r \in \pi^{-1}(\pi(I))$ . This is the same as saying  $\pi(r) = r + M \in \pi(I) = \{\alpha + M \mid \alpha \in I\}$ . Hence, for any  $r \in \pi^{-1}(\pi(I))$ , there exists some  $a \in I$  such that  $r + M = a + M$ . Thus,  $r - a \in M \subseteq I$  by coset conditions. Since  $a \in I$ , we have  $a + (r - a) \in I$ , meaning that  $r \in I$  for any  $r \in \pi^{-1}(\pi(I))$ . This means that  $\pi^{-1}(\pi(I)) \subseteq I$ .

Hence,  $I = \pi^{-1}(\pi(I))$ .

Consequently, for any ideals  $J \subseteq R/M$ , we know that  $\pi^{-1}(J) \subseteq R$  is an ideal containing  $M$ . And if  $M \subseteq I \subseteq R$  is an ideal, we know  $\pi(I) \subseteq R/M$  is an ideal. Since  $\pi(\pi^{-1}(J)) = J$  and  $I = \pi^{-1}(\pi(I))$  for any  $I, J$ , the correspondence is a bijection.  $\square$

← Think about why this contains  $M$ !

**Proposition 13.** Suppose  $R$  is a comm. ring with an identity and  $I \subseteq R$  is an ideal. Then  $R/I$  is a field *if and only if*  $I$  is maximal.

*Proof.* If  $I$  is maximal, then there are no other proper ideals strictly containing  $I$ . Hence, by Lemma 14, we have that  $R/I$  only have ideals  $(0)$  and  $R/I$  itself. This happens *if and only if*  $R/I$  is a field.  $\square$

**Corollary 14.** If  $R$  is a commutative ring with identity and  $M \subseteq R$  is maximal, then  $M$  is prime.

← Hence maximal implies prime, but prime does not necessarily implies maximal.

*Proof.* Maximal  $\implies$  quotient is a field  $\implies$  quotient is an ID  $\implies$  prime.  $\square$

**Definition 16.** An integral domain  $R$  is an **Euclidean domain** if there exists a norm  $N : R \rightarrow \mathbb{Z}_{\geq 0}$  with  $N(0) = 0$  such that for all  $a, b \in R$  with  $b \neq 0$ , there exists  $q, r \in R$  for which

$$a = bq + r$$

with  $N(r) < N(b)$  or  $r = 0$ .

**Example 15.**  $\mathbb{Z}$  is a ED with  $N(a) = |a|$ .

**Example 16.**  $\mathbb{Q}[x]$  is a ED with  $N(p(x)) = \deg(p(x))$ .

**Example 17.** Every field  $F$  is a ED with  $N(a) = 0 \forall a \in F$ .

**Non-example 18.**  $\mathbb{Z}\left[\frac{1+\sqrt{-19}}{2}\right]$  is a PID that is not an ED.

← Because in a field everything divides!

← This is one of the only good examples!

**Why do we care about Euclidean domains?**

**Remark.** Greatest common divisors exist and are relatively quick to compute.

← Using recursive application of Euclidean algorithm.

**Definition 17.** If  $a, b \in R$ , then  $\gcd(a, b) = c$  means

1.  $c$  divides  $a$  and  $b$ ; that is,  $a = cr, b = cs$  for some  $r, s \in R$
2. If  $c' \in R$  with  $c'|a$  and  $c'|b$ , then it must be true that  $c'|c$ .

← All other common divisors divide the gcd.

**Example 19.** Say we want to compute the gcd of 47 and 10.

$$47 = 4 \times 10 + 7$$

$$10 = 1 \times 7 + 3$$

$$7 = 2 \times 3 + \textcircled{1}$$

$$3 = 3 \times 1$$

← circled is  $\gcd(47, 10)$

← final line with no remainders

← This is a much faster algorithm than factoring!

This also works for finding gcds in  $\mathbb{Q}[x]$  with polynomials long division and norm  $\deg(p(x))$ .

**Remark.** If  $F$  is a field, then  $F[x]$  is a Euclidean domain.

← Just use long division!

**Remark.** Euclidean domains are PIDs.

*Proof.* Suppose  $R$  is a ED and  $I \subseteq R$  is an ideal. Consider  $\{N(a) \mid a \in I \setminus \{0\}\}$ . This set has a minimal element by properties of natural numbers (or is an empty set if and only if  $I = (0)$ ).

Let  $d \in I$  be an element of minimum norm (hence  $N(d) \leq N(a)$  for all  $a \in I$ ). We claim that  $(d) = I$ . Proof:

Since  $d \in I$ , we have  $rd \in I$  for any  $r \in R$ . This implies that  $(d) \subseteq I$ .

Then let  $a \in I$ . Since  $R$  is a ED, we first assume that there exists  $q, r \in R, r \neq 0$  such that  $a = qd + r$  and  $N(r) < N(d)$ . But we notice that  $r = a - qd$  must be in  $I$  as both  $a, qd \in I$ , contradicting the minimality of  $N(d)$ . Thus, it must be that  $r = 0$ . This implies  $a = qd$  and thus  $a \in (d)$  for all  $a \in I$ . Consequently,  $I \subseteq (d)$ , and therefore  $I = (d)$ .  $\square$

**Definition 18.** Suppose  $R$  is an integral domain and  $p \in R \setminus \{0\}$ . Then  $p$  is a **prime element** if  $(p)$  is a prime ideal.

**Proposition 15.** An element  $p \in R$  is prime if and only if whenever  $p|ab$  then  $p|a$  or  $p|b$ .

*Proof.*  $p$  is prime means that  $(p)$  is a prime ideal. This is true if and only if whenever  $ab \in (p)$  then  $a \in (p)$  or  $b \in (p)$ . This is the same as saying if  $ab = kp$  for some  $k \in R$  then  $a = lp$  or  $b = lp$  for some  $l \in R$ . This is to say that whenever  $p|ab$  then  $p|a$  or  $p|b$ .  $\square$

**Proposition 16.** In an integral domain, all prime elements are irreducibles.

*Proof.* Suppose  $R$  is an ID and  $p \in R$  is prime. If  $p = ab$  for some  $a, b$  in  $R$ , then, WLOG,  $p|a$ . That is,  $a = pk$  for some  $k \in R$ . Hence,  $p = pkb$ . Since in an ID cancellation rule holds,  $kb = 1$ , meaning that  $b$  is a unit. Thus,  $p$  is irreducible by definition Definition 5.  $\square$

**Proposition 17.** In PIDs, all *nonzero* prime ideals are maximal.

*Proof.* Suppose  $R$  is a PID and  $(p) \subseteq R$  is a prime ideal. If  $(p) \subseteq (m) \subseteq R$  is an ideal, then  $p \in (p) \subseteq (m)$  hence  $p = rm$  for some  $r \in R$ . Since  $p \nmid rm$ , we have  $p|r$  or  $p|m$ .

If  $p|r$ , this implies that  $r = pk$  for some  $k \in R$ . Substituting into  $p = rm$ , we get  $p = pkm$ . By cancellation, we get  $km = 1$ , meaning that  $m$  is a unit. Hence,  $(m) = R$ .

If  $p|m$ , we have  $m = pl$  for some  $l \in R$ , meaning that  $m \in (p)$ . Hence,  $(m) \subseteq (p)$ , but we also defined that  $(p) \subseteq (m)$ , so  $(m) = (p)$ .

Therefore,  $(p)$  has to be the maximal ideal.  $\square$

**Proposition 18.** In an UFD, irreducible implies prime.

*Proof.* Let  $R$  be a UFD and  $p \in R$  be irreducible. Let  $a, b \in R$  such that  $p|ab$ . Hence,  $pr = ab$  for some  $r \in R$ . Since  $R$  is a UFD, let  $a = q_1 \dots q_n, b = s_1 \dots s_m$  be the factorization. Since the factorizations are unique and each of the  $q_i, s_j$  are irreducible, if  $p|ab$ , then  $p$  must be an associate with one of the  $q_i, s_j$ . Therefore, either  $p|a$  or  $p|b$ , implying prime.  $\square$

**Example 20.**  $\mathbb{Q}$  is a field, so  $\mathbb{Q}[x]$  is a ED. Since EDs are UFDs, irreducible  $\implies$  prime. We see that  $x^2 - 2 \in \mathbb{Q}[x]$  is an irreducible element, which means that  $(x^2 - 2)$  is a prime ideal, meaning that it is a maximum ideal, meaning that  $\mathbb{Q}[x]/(x^2 - 2)$  is a field. We observe that it is a field containing  $\mathbb{Q}$  and  $(\sqrt{2})$ .

← In fact, this is the smallest field containing  $\mathbb{Q}$  and  $(\sqrt{2})$ .

**Lemma 19.** In a PID, irreducible elements are prime.

*Proof.* Suppose  $p \in R$  is irreducible in the principal ideal domain  $R$ . If  $p|ab$  for some  $a, b \in R$ , we want to show that either  $p|a$  or  $p|b$ , hereby showing that  $p$  is prime. Hence, we consider the ideal  $(a, p) = d$ , which is necessarily principal for some  $d \in R$ . Since  $a, p \in (d)$ , we have  $a = dr$  and  $p = ds$  for some  $r, s \in R$ . As  $p$  is irreducible, we get that one of  $d$  and  $s$  is a unit.

We first assume that  $s$  is a unit, in which case  $d = ps^{-1}$ , and so  $a = ps^{-1}r$  implying that  $p|a$ .

In another case,  $d$  is a unit, in which case  $(a, p) = (d) = R$  and so  $1 = ak + pl$  for some  $k, l \in R$ . Multiplying by  $b$ , we get  $b = abk + pbl$ . Since  $p|ab$ , we have  $b = abk + pbl = pmk + pbl$  for some  $m \in R$ . Hence,  $b = p(mk + bl)$ , meaning that  $p|b$ .

Therefore, whenever  $p|ab$ , either  $p|a$  or  $p|b$ . Hence, in a PID,  $p$  is prime whenever it is irreducible.  $\square$

**Proposition 20.** PIDs are UFDs.

*Proof.* Suppose  $R$  is a PID and  $a \in R$  is nonzero, nonunit. If  $a$  is irreducible, we are done. If not, we write  $a = p_1 q_1$  for some  $p_1, q_1 \in R$  nonunit. If  $p_1, q_1$  are irreducibles, we are done. If not, then WLOG say  $q_1 = p_2 q_2$  for some nonunits  $p_2, q_2$ . We would like to show that this splitting process terminates.

Observe that  $(q_1) \subseteq (q_2)$  since  $q_2|q_1$ . Hence, the chain of splitting results in the chain of ideals  $(q_1) \subseteq (q_2) \subseteq (q_3) \subseteq \dots$ .

Now consider the ideal<sup>1</sup>  $\bigcup_{i=1}^{\infty} (q_i)$ . Since this is a PID, we have  $\bigcup_{i=1}^{\infty} (q_i) = (q)$  for some  $q \in R$ . Since  $q \in \bigcup_{i=1}^{\infty} (q_i)$ , it is contained in some  $(q_n)$  for some  $n \geq 1$ . This implies that  $(q) \subseteq (q_n)$ , but we also know that  $(q_n) \subseteq (q)$ , hence  $(q) = (q_n)$ . Hence, this process terminates, and there exists an  $n$  in this chain such that  $q_n$  is irreducible. Therefore,  $R$  is a factorization domain.

Now we want to prove the uniqueness. That is, if  $p_1 \dots p_n = q_1 \dots q_m$  for irreducibles  $p_i, q_j$  and  $n \leq m$  WLOG, then we want to show that  $m = n$  and that  $p_i = u_i q_i$  with units  $u_i$  up to reordering for all  $i$ . We do so by induction on  $n$ .

(Base case) If  $p_1 = q_1 \dots q_m$  and  $p_1$  irreducible, then  $q_2 \dots q_m$  are all units. Hence,  $m = 1$  and  $p_1 = q_1$ .

(Inductive step) Say we have already proven the statement for  $n = k$ . Then consider  $p_1 p_2 \dots p_{k+1} = q_1 q_2 \dots q_m$ . Since  $R$  is a PID where irreducible implies prime,  $p_1$  is a prime element dividing the product of primes  $q_1 q_2 \dots q_m$ , so we say WLOG  $p_1|q_1$ . This means that  $q_1 = u_1 p_1$  for some  $u \in R$ , but since  $q_1$  is not reducible, it forces  $u_1$  to be a unit. Hence, we apply cancellation on both sides and get  $p_2 \dots p_{k+1} = (u_1 q_2) \dots q_m$ .

By inductive hypothesis,  $m - 1 = k$  and  $p_i, q_i$  are associates up to reordering for any  $i$ . Hence, the factorization must be unique.  $\square$

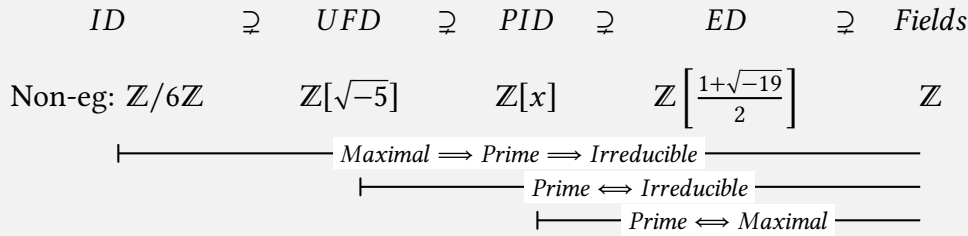
<sup>1</sup>The proof that this is an ideal is as follows:

We first prove that  $\bigcup_{n=1}^{\infty} I_n$  is a subgroup of  $R$  under addition. Let  $r, s \in \bigcup_{n=1}^{\infty} I_n$ , where  $r \in I_k$  and  $s \in I_{k+i}$  for some  $k, i \in \mathbb{N}$ . Since  $I_1 \subseteq I_2 \subseteq \dots$  are ideals of  $R$ , we know that  $r \in I_k$  implies that  $r \in I_{k+i}$ . Thus,  $r - s \in I_{k+i}$  due to  $I_{k+i}$  being an ideal. As  $I_{k+i} \subseteq \bigcup_{n=1}^{\infty} I_n$ , we have  $r - s \in \bigcup_{n=1}^{\infty} I_n$ , which means that  $\bigcup_{n=1}^{\infty} I_n$  is closed under additive inverse. Hence,  $\bigcup_{n=1}^{\infty} I_n$  is a subgroup of  $R$  under addition.

Then, we prove that for any  $t \in R, r \in \bigcup_{n=1}^{\infty} I_n$ , we would have  $tr, rt \in \bigcup_{n=1}^{\infty} I_n$ . Since  $r \in \bigcup_{n=1}^{\infty} I_n$ , it must be true that  $r \in I_k$  for some  $k \in \mathbb{N}$ . Hence,  $tr, rt \in I_k$  due to  $I_k$  being an ideal. Therefore,  $tr, rt \in \bigcup_{n=1}^{\infty} I_n$  for any  $t \in R, r \in \bigcup_{n=1}^{\infty} I_n$ .

In conclusion, since  $\bigcup_{n=1}^{\infty} I_n$  is a subgroup of  $R$  under addition with the property that  $tr, rt \in \bigcup_{n=1}^{\infty} I_n$  for any  $t \in R, r \in \bigcup_{n=1}^{\infty} I_n$ , it is an ideal of  $R$ .  $\square$

We have shown:



## Field extensions

We observe that the polynomial  $x^2 - 2 \in \mathbb{Q}[x]$  is irreducible. If we have  $x^2 - 2 = p(x)q(x)$  where  $p, q$  nonunits, then  $\deg(p) + \deg(q) = 2$  and we cannot have any  $0+2$  combinations due to constants being units, we only have  $x^2 - 2 = (x - \sqrt{2})(x + \sqrt{2})$ , but  $x \pm \sqrt{2} \notin \mathbb{Q}[x]$ !

Since  $\mathbb{Q}[x]$  is a UFD, the irreducible element  $(x^2 - 2)$  is prime, and since  $\mathbb{Q}[x]$  is a PID,  $(x^2 - 2)$  is maximal which means that  $\mathbb{Q}[x]/(x^2 - 2)$  is a field.

Phase II plan: Field extensions!

Suppose  $F$  is a field and  $p(x) \in F[x]$  nonzero. Recall that  $F[x]$  is a ED with the norm function  $\deg(a(x))$  and long division of polynomials. Let  $a(x) + (p(x)) \in F[x]/(p(x))$ . By the division algorithm, we have  $a(x) = p(x)q(x) + r(x)$  for  $q(x), r(x) \in F[x]$  and  $\deg(r(x)) < \deg(p(x))$  or  $r(x)$  is the zero polynomial.

Now we see that since  $a(x) - r(x) \in (p(x))$ , they are in the same coset! Hence  $a(x) + (p(x)) = r(x) + (p(x))$ . We observe that every element of  $F[x]/(p(x))$  can be represented by a polynomial of a degree less than  $\deg(p(x))$ . In other words, if  $\deg(p(x)) = n$ , then  $F[x]/(p(x))$  is of the form

$$\begin{aligned} F[x]/(p(x)) &= \left\{ \overline{a_0 + a_1x + \cdots + a_{n-1}x^{n-1}} \mid a_0, a_1, \dots, a_{n-1} \in F \right\} \\ &= \text{Span}_F\{\bar{1}, \bar{x}, \dots, \bar{x}^{n-1}\} \end{aligned}$$

←  $a(x)$  is a coset rep  
 ← We can do division algorithm since this is an ED

← The expression under the bar functions like  $r(x)$ ! Also note that span is just the set of linear combinations.

In fact,  $F[x]/(p(x))$  is (partly) just a **vector space** over  $F$ ...

← Why is this not the vector space over  $F/(p(x))$  but just  $F$ ? See the next paragraph.

We shall observe that it does not matter if we are using  $F$  or  $\bar{F}$ .

Consider  $\varphi : F \hookrightarrow F[x]/(p(x))$  where  $a \mapsto \bar{a}$ . We observe this is an **injective** map: whenever  $\deg(p(x)) = n > 0$ , we have  $\varphi(a) = \varphi(b)$  if and only if  $\bar{a} = \bar{b}$ , which happens if and only if  $a - b \in (p(x))$ ; but the difference of two constants always have  $\deg 0$  and cannot be in  $(p(x))$  unless it is a straight zero, which tells us that  $\bar{a} = \bar{b}$  if and only if  $a = b$ . In other words,  $F[x]/(p(x))$  contains an isomorphic copy of  $F$ , its field of scalars! Namely,  $\overline{F \cong \varphi(F) = \{\bar{a} \in F[x]/(p(x)) \mid a \in F\}}$ .

...Hence,  $F[x]/(p(x))$  is a vector space **of dimension  $n$**  over the scalar field  $F$  that also contains an isomorphic copy of  $F$ .

Moreover, if  $p(x)$  is irreducible, then  $(p(x))$  is prime since this is an ED, and hence, it is also a maximal ideal, meaning that  $F[x]/(p(x))$  is a field containing an isomorphic copy of  $F$ .

← all thanks to Euclidean domains!

**Definition 19.** Suppose  $F \subseteq K$  are fields. Then  $K$  is called a **field extension** of  $F$ .

- Notation:  $K/F$  or  $\begin{smallmatrix} K \\ | \\ F \end{smallmatrix}$  (the lattice notation)

← Please, this is NOT a quotient. DO NOT CONFUSE THOSE!!

The dimension of  $K$  as a vector space over  $F$  is called the **degree** of the extension.

- Notation:  $[K : F]$

But does my field  $F$  always have an extension? Here is a systematic way to get extensions:

**Example 21.** If  $p(x) \in F[x]$  is an irreducible polynomial of degree  $n \geq 1$  over the field  $F$ , then  $F[x]/(p(x))$  is a **field extension** of  $F$  of degree  $n$ .

Furthermore, if  $p(x) = a_0 + a_1x + \dots + a_nx^n$ , then  $\bar{x}$  is a **root** of

$$\varphi(p(x)) = \bar{a}_0 + \bar{a}_1y + \dots + \bar{a}_ny^n \in (F[x]/(p(x)))[y]$$

← Since  $\varphi(F) \cong F$ , and  $\varphi(F) \subseteq F[x]/(p(x))$

because, plugging in  $y = \bar{x}$ , we get

$$\bar{a}_0 + \bar{a}_1\bar{x} + \dots + \bar{a}_n\bar{x}^n = \overline{p(x)} = \bar{0} \in F[x]/(p(x))$$

← We think about modding out by  $(p(x))$  as making it equal to zero, which is how we find roots.

Hence, the isomorphic copy of the polynomial  $p(x)$  has **roots** in the field extension  $F[x]/(p(x))$ .

So, what the hell is  $F[x]/(p(x))$ ? We have already shown that the field extension  $F[x]/(p(x))$  does indeed contain a root of  $p(x)$ . Now we think about it **the other way around**: if we want to find an extension of  $F$  that contains a root of  $p(x)$ , we would eventually get this one!

Suppose  $p(x) \in F[x]$  is irreducible. Let  $K/F$  be an extension, and  $\alpha \in K$  a root of  $p(x)$ . Denote by  $F(\alpha) \subseteq K$  the **smallest** subfield of  $K$  that contains both  $F$  and  $\alpha$ . Consider the map  $\varphi : F[x] \rightarrow F(\alpha) \subseteq K$  where  $q(x) \mapsto q(\alpha)$  is simply the evaluation at  $\alpha$  map. We note that  $p(x) \in \ker(\varphi) = (d(x))$  since an ED is a PID; this implies that  $p(x) = u(x)d(x)$ . As  $p(x)$  is irreducible,  $u(x)$  must be a unit, which means  $p(x)$  and  $d(x)$  are associates and  $\ker(\varphi) = (p(x))$ . Therefore,

$$F[x]/(p(x)) = F[x]/\ker(\varphi) \cong \varphi(F[x]) \subseteq F(\alpha)$$

by first isomorphism theorem. However,  $F(\alpha) \subseteq K$  the **smallest** subfield of  $K$  that contains both  $F$  and  $\alpha$ , so  $\varphi(F[x])$  cannot be smaller than that. Hence, it must be true that  $\varphi(F[x]) = F(\alpha)$ .

← Observe that  $\varphi(F[x])$  is a field:  $\ker(\varphi)$  is a maximal ideal

Therefore,  $F(\alpha)$  is simply  $F[x]/(p(x))$ . □

### To summarize so far!

Suppose  $p(x) \in F[x]$  is an irreducible polynomial with coefficients in the field  $F$ .

- $F[x]/p(x)$  is a **field** containing an isomorphic copy of  $F$  in which  $\bar{x} = x + (p(x))$  is a **root** of (the image of)  $p(y) \in (F[x]/(p(x)))[y]$ .

**Example 22.** In  $\mathbb{Q}[x]/(x^2 - 2)$ , we have  $x + (x^2 - 2)$  is a root of  $y^2 - 2 \in (\mathbb{Q}[x]/(x^2 - 2))[y]$  because

$$\begin{aligned} & (x + (x^2 - 2))^2 - (2 + (x^2 - 2)) \\ &= x^2 - 2 + (x^2 - 2) && \text{by coset addition \& multiplication} \\ &= 0 + (x^2 - 2) && \text{since } x^2 - 2 \in (x^2 - 2) \\ &= \bar{0} \end{aligned}$$

Furthermore, if  $\deg(p(x)) = n$ , then

$$F[x]/(p(x)) = \{ \overline{a_0 + a_1x + \cdots + a_{n-1}x^{n-1}} \mid a_0, a_1, \dots, a_{n-1} \in F \}$$

is a vector space over  $F$  of dimension  $n$ .

**Example 23.**  $\mathbb{Q}[x]/(x^2 - 2) = \{\bar{a}_0 + \bar{a}_1\bar{x} \mid a_0, a_1 \in \mathbb{Q}\} = \text{Span}_{\mathbb{Q}}\{\bar{1}, \bar{x}\}$

- If  $K/F$  is an extension and  $\alpha \in K$  is a root of  $p(x)$ , denote by  $F(\alpha)$  the **smallest field containing  $F$  and  $\alpha$** .

← Read 'F adjoint  $\alpha$ '

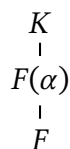


Figure 1: Field diagram

Then  $F(\alpha) \cong F[x]/(p(x))$ , and

$$\begin{aligned} F(\alpha) &= \left\{ \overline{a_0 + a_1x + \cdots + a_{n-1}x^{n-1}} \mid a_0, a_1, \dots, a_{n-1} \in F \right\} \\ &= F[\alpha] \quad \leftarrow \text{the polynomial of } \alpha \text{ over } F \end{aligned}$$

← The eval map  
 $\varphi : F[x] \rightarrow F(\alpha)$   
 where  $f(x) \mapsto f(\alpha)$   
 has in fact  
 $\ker(\varphi) = (p(x))$   
 when  $\alpha$  is a root of  
 $p(x)$ .

**Example 24.**  $\mathbb{Q}(\sqrt{2}) = \{a_0 + a_1\sqrt{2} \mid a_0, a_1 \in \mathbb{Q}\} = \mathbb{Q}[\sqrt{2}]$

## Irreducibility – a survey

**Proposition 21.** If  $p(x) \in F[x]$ , then  $\alpha \in F$  is a root *if and only if*  $x - \alpha$  divides  $p(x)$ .

*Proof.* Write  $p(x) = (x - \alpha)q(x) + r(x)$  with  $q(x), r(x) \in F[x]$  and  $\deg(r(x)) = 0$  or  $r(x) = 0$ . Then  $0 = p(\alpha) = 0 + r(\alpha)$  which forces  $r(x) = 0$ .  $\square$

**Corollary 22.** A degree-2 or -3 polynomial over a field  $F$  is irreducible *if and only if* it has no roots in  $F$ .

**Proposition 23.** Suppose  $p(x) = a_0 + a_1x + \cdots + a_nx^n \in \mathbb{Z}[x]$  with root  $\frac{c}{d}$  written in reduced form (i.e.  $\gcd(c, d) = 1$ ). Then  $\boxed{c|a_0 \text{ and } d|a_n}$ .

*Proof.*

$$\begin{aligned} d^n \cdot p\left(\frac{c}{d}\right) &= 0 \\ 0 &= (a_0d^n + a_1d^{n-1}c + \cdots + a_{n-1}dc^{n-1}) + a_nc^n \\ 0 &= a_0d^n + (a_1d^{n-1}c + \cdots + a_{n-1}dc^{n-1} + a_nc^n) \end{aligned}$$

Looking at the 2nd line, since  $d$  divides all of the ones in the  $()$ , it must also divide the last term  $a_nc^n$ . However, since  $\gcd(c, d) = 1$ , it forces  $d$  to divide  $a_n$ .

Similarly, we make the same argument for  $c$  and  $a_0$  using the 3rd line.  $\square$

**Lemma 24.**  $(R/I)[x] \cong R[x]/(I)$  where  $(I) = I[x]$ .

*Proof.* Consider the surjective homomorphism  $\pi : R[x] \rightarrow (R/I)[x]$ .  $\square$

**Proposition 25** (Eisenstein's Criterion). Suppose  $f(x) = 1x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 \in \mathbb{Z}[x]$  is a monic polynomial and  $p \in \mathbb{Z}$  is a **prime** such that  $p \mid a_0, \dots, a_{n-1}$  but  $p^2 \nmid a_0$ . Then  $f(x)$  is irreducible.



*Proof.* Assume BWOC that  $f(x) = a(x)b(x)$  for some nonunit  $a(x), b(x) \in \mathbb{Z}[x]$ , then

$$x^n = \bar{f}(x) = \bar{a}(x)\bar{b}(x)$$

in  $(\mathbb{Z}/p\mathbb{Z})[x] \cong \mathbb{Z}[x]/p\mathbb{Z}[x]$  since all other terms are divisible by  $p$ . Since  $\mathbb{Z}/p\mathbb{Z}$  does not contain any zero divisors,  $\bar{a}(x), \bar{b}(x)$  must have zero constant terms. Hence  $a(x), b(x)$  have constant terms that are multiples of  $p$ , so  $a(x)b(x)$  have constant term divisible by  $p^2$ . This is a contradiction with  $p^2 \nmid a_0$ .  $\square$

**Lemma 26** (Gauss' Lemma). If  $p(x) \in \mathbb{Z}[x]$  is reducible in  $\mathbb{Q}[x]$ , then it is reducible in  $\mathbb{Z}[x]$ .

*Proof.* Suppose  $p(x) = a(x)b(x)$  for  $a(x), b(x) \in \mathbb{Q}[x]$ . Then by multiplying by coefficient denominators, for some  $m \in \mathbb{Z}$ , we could write  $m \cdot p(x) = c(x)d(x)$  for some  $c(x), d(x) \in \mathbb{Z}[x]$ . Now since  $m \in \mathbb{Z}$ , we could write  $m = q_1 q_2 \dots q_n$  be a product of irreducibles in  $\mathbb{Z}$ .

Now in  $(\mathbb{Z}/q_1\mathbb{Z})[x] \cong \mathbb{Z}[x]/(q_1\mathbb{Z})[x]$ , we observe that  $m \cdot p(x) = c(x)d(x) = q_1(q_2 \dots q_n)p(x)$ , meaning that

$$\overline{c(x)} \overline{d(x)} = \overline{q_1(q_2 \dots q_n)p(x)} = \bar{0}$$

Since  $(\mathbb{Z}/q_1\mathbb{Z})[x] \cong \mathbb{Z}[x]/(q_1\mathbb{Z})[x]$  is an integral domain, WLOG,  $\overline{c(x)} = \bar{0}$  if and only if  $c(x) \in q_1\mathbb{Z}[x]$ , meaning that all coefficients of  $c(x)$  are multiples of  $q_1$ . Therefore,  $\frac{1}{q_1}c(x) \in \mathbb{Z}[x]$ .

← since  $q_1$  is irreducible and hence prime in UFD

Now we repeat the process for all  $q_1, q_2, \dots, q_n$  and we are done.  $\square$

Recall that if  $F \subseteq K$  are fields,  $\alpha \in K$  and  $p(x) \in F[x]$  is irreducible with root  $\alpha$ , then

$$F[\alpha] = F(\alpha) \cong F[x]/(p(x)) = \overline{\{a_0 + a_1x + \dots + a_{n-1}x^{n-1} \mid a_0, a_1, \dots, a_{n-1} \in F\}}$$

We observe that this has a few implications. For instance,  $F(\alpha)$  contains  $\frac{1}{\alpha}$ , meaning that it could also be written as a polynomial of  $\alpha$  with coefficients in  $F$  (as in  $F[\alpha]$ )!

← since it is a field containing the mult. inverse of  $\alpha$

**Definition 20.** Suppose  $K/F$  is a field extension and  $\alpha \in K$ . We say that  $\alpha$  is **algebraic over**  $F$  if there exists  $p(x) \in F[x]$  such that  $p(\alpha) = 0$ . If not,  $\alpha$  is **transcendental**.

**Definition 21.** The extension  $K/F$  is an **algebraic extension** if **every** element  $\alpha \in K$  is algebraic over  $F$ .

**Example 25.**  $\pi$  is transcendental over  $\mathbb{Q}$  but algebraic over  $\mathbb{R}$  (since it is a root of  $x - \pi$ ).

**Proposition 27.** If  $K/F$  is a **finite extension**, then it is an algebraic extension.

← finite extension  
just means finite  
degree  
 $[K : F] < \infty$

*Proof.* Call  $[K : F] = n$  and let  $\alpha \in K$ . Then the  $n + 1$  elements  $\{1, \alpha, \alpha^2, \dots, \alpha^n\}$  must be linearly dependent. Hence, by linear algebra, there exist  $a_0, a_1, \dots, a_n \in F$  not all zero such that the linear combination  $a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_n\alpha^n = 0$ . Hence,  $\alpha$  is a root of  $a_0 + a_1x + a_2x^2 + \dots + a_nx^n = 0$ .  $\square$

← since  $n + 1 > \dim(K/F) = n$

**Corollary 28.** If  $K/F$  is an extension and  $\alpha \in K$ , then  $\alpha$  is algebraic over  $F$  if and only if  $[F(\alpha) : F] < \infty$ .

*Proof.*

( $\Leftarrow$ ) Follows from prop.

( $\Rightarrow$ ) If  $\alpha$  is algebraic, then there exists an irreducible polynomial  $p(x)$  with  $\alpha$  as a root and of degree  $n < \infty$ . Then  $F(\alpha) \cong F[x]/(p(x))$  is a  $n$ -dimensional vector space over  $F$ .

Another perspective:  $F(\alpha) = \text{Span}_F\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$ .

← Review proof of  
 $F(\alpha) \cong F[x]/(p(x))$ .

$\square$

**Proposition 29.** Suppose  $K/F$  is an extension &  $\alpha \in K$  is algebraic over  $F$ . Then there exists a unique, irreducible, and monic polynomial  $m_{\alpha,F}(x) \in F[x]$  that has  $\alpha$  as a root.

**Remark.** We observe that  $m$  does depend on the base field  $F$ ;  $m_{\sqrt{2},\mathbb{Q}}(x) = x^2 - 2$ , but  $m_{\sqrt{2},\mathbb{Q}(\sqrt{2})}(x) = x - \sqrt{2}$ .

*Proof.* Since the subset of  $F[x]$  satisfying  $\alpha$  is a root is nonempty, we can pick one with a **minimal degree**. By multiplying by an element of  $F$  if necessary, we can assume WLOG this polynomial is **monic**. Call it  $m_{\alpha,F}(x)$ .

Assume BWOC that  $m$  is the product of two other polynomials of lesser degree such that  $m_{\alpha,F}(x) = a(x)b(x)$ , then we plug in  $0 = m_{\alpha,F}(\alpha) = a(\alpha)b(\alpha)$ . Since there are no zero divisors in  $F[x]$ , WLOG  $a(\alpha) = 0$ , contradicting the minimality of  $m_{\alpha,F}$ . Hence  $m_{\alpha,F}$  is **irreducible**.

← So  $F(\alpha) \cong F[x]/(m_{\alpha,F}(x))$

Then, BWOC if  $p(x) \in F[x]$  with  $\alpha$  as a root and is monic and irreducible, there exist  $q(x), r(x) \in F[x]$  such that  $p(x) = m_{\alpha,F}(x)q(x) + r(x)$  where  $\deg(r) < \deg(m_{\alpha,F})$  or  $r(x) = 0$ . Then, we observe that  $p(\alpha) = 0 = m_{\alpha,F}(\alpha)q(\alpha) + r(\alpha) = 0 + r(\alpha)$ . Thus,  $r(\alpha) = 0$ , so  $\deg(r) \geq \deg(m_{\alpha,F})$  unless  $r(x) = 0$  by minimality. Hence we must have  $r(x) = 0$ , so  $m_{\alpha,F} | p$ . This contradicts the assumption that  $p$  is monic and irreducible. Therefore,  $m_{\alpha,F}$  is the **only** minimal, monic and irreducible polynomial where  $\alpha$  is a root.  $\square$

**Definition 22.**  $m_{\alpha,F}(x)$  is the **minimal** polynomial of  $\alpha$  over  $F$ .

(The following is kind of on a tangent)

Some exam prep!

- In general, for subrings  $R \subseteq S$ , we have if  $r \in R^\times$ , then  $r \in S^\times$ .
- If we adjoin one root of an irreducible polynomial to a field, the fields are isomorphic no matter which root of that polynomial we adjoin.

(Tangent ends here)

To summarize, if  $K/F$  is a field extension and  $\alpha \in K$ , then  $\alpha$  is **algebraic** over  $F$  if it is the root of some polynomials in  $F[x]$ . For each algebraic  $\alpha$ , there exists a unique, monic, irreducible polynomial  $m_{\alpha,F}(x) \in F[x]$  such that  $m(\alpha) = 0$ . In that case, the degree of extension  $[F(\alpha) : F] = \deg(m_{\alpha,F}(x))$ ; and, if  $p(\alpha) = 0$  for some  $p(x) \in F[x]$ , then  $m_{\alpha,F} | p(x)$ . In general, if  $[K : F] < \infty$ , then  $K/F$  is algebraic. Thus,  $[F(\alpha) : F] < \infty$  if and only if  $\alpha$  is algebraic over  $F$ .

**Proposition 30.** If  $F \subseteq K \subseteq L$  are fields, then

$$[L : F] = [L : K] \cdot [K : F]$$

$$\leftarrow mn \begin{pmatrix} L \\ K \\ F \end{pmatrix} \begin{matrix} n \\ m \end{matrix}$$

*Proof.* We first see that if  $[K : F] = \infty$ , then for any  $N \in \mathbb{N}$ , there exists  $\alpha_1, \dots, \alpha_N \in K$  that are linearly independent over  $F$ . In that case, it is certainly true that  $\alpha_1, \dots, \alpha_N \in L$  are linearly independent over  $F$ . Thus,  $[L : F] = \infty$ .

If  $[L : K] = \infty$ , then for any  $N \in \mathbb{N}$ , there exists  $\beta_1, \dots, \beta_N \in L$  that are linearly independent over  $K$ . As a result, it also is linearly independent over  $F$ . Hence,  $[L : F] = \infty$ .

If  $[K : F] = m$  and  $[L : K] = n$ , let  $\alpha_1, \dots, \alpha_m \in K$  be a basis for  $K$  over  $F$  and  $\beta_1, \dots, \beta_n \in L$  be a basis for  $L$  over  $K$ .

**Claim:**  $\{\alpha_i \beta_j \mid 1 \leq i \leq m, 1 \leq j \leq n\}$  forms a basis for  $L$  over  $F$ . □

Some nice consequences:

- ← Linear independence implies that whenever  $a_1 \alpha_1 + a_2 \alpha_2 + \dots + a_N \alpha_N = 0$  for some coefficients  $a_1, \dots, a_N \in F$ , then necessarily  $a_1 = a_2 = \dots = a_N = 0$ .
- ← Use linear combinations to prove this claim.

**Corollary 31.** Suppose  $K/F$  is an extension and  $\alpha, \beta \in K$  are algebraic over  $F$ . Then:

- $F(\alpha, \beta) = (F(\alpha)(\beta))$
- $[F(\alpha, \beta) : F] = [F(\alpha, \beta) : F(\beta)][F(\beta) : F] = \deg(m_{\alpha, F(\beta)}(x)) \cdot \deg(m_{\beta, F}(x))$ .  
However, note that the minimal polynomial

$$m_{\alpha, F(\beta)}(x) \mid m_{\alpha, F}(x) \in F(\beta)[x]$$

so  $\deg(m_{\alpha, F(\beta)}(x)) \leq \deg(m_{\alpha, F}(x))$ . Hence,

$$[F(\alpha, \beta) : F] \leq \deg(m_{\alpha, F}(x)) \deg(m_{\beta, F}(x)) < \infty$$

This means that whenever  $\alpha, \beta$  are algebraic over  $F$ , we get that  $F(\alpha, \beta)/F$  is an algebraic extension.

- As a result,  $\alpha \pm \beta, \alpha\beta, \alpha/\beta$  are all algebraic over  $F$ . The algebraic elements hence form a **field**.

← the smallest subfield of  $K$  containing  $F, \alpha, \beta$   
← since  $p(\alpha) = 0 \iff m_{\alpha, F}(x) \mid p(x)$

**Proposition 32.** Suppose  $K/F$  is an extension. Then  $[K : F] < \infty$  if and only if  $K = F(\alpha_1, \dots, \alpha_n)$  could be written where  $\alpha_1, \dots, \alpha_n \in K$  are algebraic over  $F$ .

In other words, an extension is finite if and only if it is generated by adjoining a finite amount of algebraic elements.

*Proof.*

( $\implies$ ) If  $[K : F] < \infty$ , then suppose  $\{\alpha_1, \dots, \alpha_n\}$  is a basis of  $K$  over  $F$ . Then  $\alpha_1, \dots, \alpha_n$  are algebraic and every element of  $K$  is an  $F$ -linear combination of  $\alpha_i$ s. Hence  $K$  must be the smallest field containing  $F$  and  $\alpha_i$ s, which means  $K = F(\alpha_1, \dots, \alpha_n)$ .

( $\impliedby$ ) We observe that

$$\begin{aligned} [K : F] &= [(F(\alpha_1, \dots, \alpha_{n-1}))(\alpha_n) : F(\alpha_1, \dots, \alpha_{n-1})] \cdot \dots \cdot [F(\alpha_1) : F] \\ &\leq \prod_{i=1}^n \deg(m_{\alpha_i, F}(x)) < \infty \end{aligned}$$

□

**Corollary 33.** If  $L/K$  and  $K/F$  are algebraic extensions, then so is  $L/F$ .

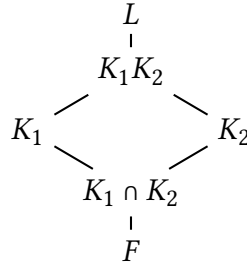
←  $L/K$  and  $K/F$  need not be finite!

*Proof.* Suppose  $\alpha \in L$ . Since  $L/K$  is algebraic, there exists  $p(x) \in K[x]$  such that  $p(\alpha) = 0$ . Let  $\alpha_0, \dots, \alpha_n \in K$  be the coefficients of  $p(x)$ , necessarily algebraic over  $F$  since  $K/F$  algebraic. Therefore,

$$[F(\alpha_0, \dots, \alpha_n, \alpha) : F] = [(F(\alpha_0, \dots, \alpha_n))(\alpha) : F(\alpha_0, \dots, \alpha_n)][F(\alpha_0, \dots, \alpha_n) : F]$$

Since  $p(\alpha) = 0$  has coefficients in  $K \supseteq F(\alpha_0, \dots, \alpha_n)$ , we have  $[(F(\alpha_0, \dots, \alpha_n))(\alpha) : F(\alpha_0, \dots, \alpha_n)] < \infty$ . The second term is also clearly  $< \infty$ . Therefore,  $[F(\alpha_0, \dots, \alpha_n, \alpha) : F] < \infty$ , meaning that  $\alpha$  is algebraic over  $F$ .  $\square$

**Definition 23.** Suppose  $L/F$  is an extension &  $K_1$  and  $K_2$  are intermediate fields. The **composite** field  $K_1K_2$  is the smallest subfield of  $L$  containing  $K_1$  and  $K_2$ .



**Definition 24.** Suppose  $F$  is a field and  $p(x) \in F[x]$ . The **splitting field** of  $p(x)$  over  $F$  is the smallest field extension of  $F$  over which  $p(x)$  could be factored into **linear factors**.

**Remark.** If  $E$  is the splitting field of  $p(x)$  over  $F$  then  $[E : F] \leq n!$  where  $n = \deg(p(x))$ .

**Remark.** Such an extension is called **normal**.

**Proposition 34.** Splitting fields exist.

*Proof outline.* By induction on  $\deg(p(x))$ , whose base case,  $\deg(p(x)) = 1$ , yields  $F$  as a splitting field. More generally, any  $p(x)$  has a root  $\alpha$  in  $F(\alpha) \cong F[x]/(q(x))$  for some irreducible  $q(x)$  so  $p(x) = (x - \alpha)f(x) \in F(\alpha)[x]$ . We observe that  $\deg(f(x)) = \deg(p(x)) - 1$ . Induction takes care of the rest.  $\square$

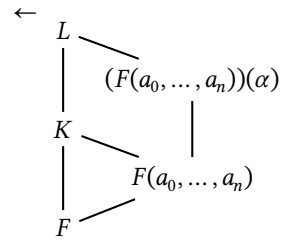
**Remark.**  $K$  is a splitting field over  $F$  if and only if every irreducible  $p(x) \in F[x]$  that has one root in  $K$  has **all** its roots in  $K$ .

**Non-example 26.**  $\mathbb{Q}(\sqrt[3]{2})$  over  $\mathbb{Q}$  is not such an extension.

**Lemma 35.** Suppose  $\varphi : F_1 \rightarrow F_2$  is a field isomorphism,  $p_1(x) \in F_1[x]$ , and  $p_2(x) = \varphi(p_1(x))$  ( $\varphi$  applied to coeffs of  $p_1(x)$ ). Let  $\alpha_1$  be a root of the irreducible factor  $q_1(x)$  of  $p_1(x)$ , and let  $q_2(x) = \varphi(q_1(x))$  and  $\alpha_2$  be a root of  $q_2(x)$ . Then there exists an isomorphism  $\tau : F_1(\alpha_1) \rightarrow F_2(\alpha_2)$  such that  $\tau(\alpha_1) = \alpha_2$  and  $\tau|_{F_1} = \varphi$  (this means “ $\tau$  restricted to  $F_1$ ”).

*Proof outline.*

$$\begin{array}{ccccccc} F_1(\alpha_1) & \xrightarrow{\sim} & F_1[x]/(q_1(x)) & \xrightarrow{\sim} & F_2[x]/(q_2(x)) & \xrightarrow{\sim} & F_2(\alpha_2) \\ \alpha_1 & \xrightarrow{\quad} & \bar{x} & \xrightarrow{\quad} & \bar{x} & \xrightarrow{\quad} & \alpha_2 \\ \text{if } a \in F_1, & a & \xrightarrow{\quad} & \bar{a} & \xrightarrow{\quad} & \overline{\varphi(a)} & \xrightarrow{\quad} & \varphi(a) \end{array}$$



← Assuming that splitting fields **exist** and are **unique** up to isomorphism.

← The splitting field of  $p(x)$  over  $F$  is the same as the splitting field of  $f(x)$  over  $F(\alpha)$

← In this way,  $\varphi$  induce a ring isomorphism  $F_1[x] \rightarrow F_2[x]$ .

**Proposition 36.** Suppose  $F_1, F_2, \varphi, p_1(x)$  and  $p_2(x)$  are as in Lemma 35. Let  $E_1, E_2$  be splitting fields of  $p_1$  and  $p_2$  respectively. Then there exists an isomorphism  $\sigma : E_1 \rightarrow E_2$  such that  $\sigma|_{F_1} = \varphi$ .

← if we set  $F_1 = F_2$  and  $p_1 = p_2$ , we get corollary: splitting fields are unique up to isomorphism.

*Proof.* Proceed by induction on  $\deg(p_1(x))$ . For the base case, if  $\deg(p_1(x)) = 1$ , then  $E_1 = F_1$  and  $\sigma = \varphi$ .

Assume the result is true for all polynomials of fixed degree  $k \geq 1$  and suppose  $\deg(p_1(x)) = k+1$ . Let  $\alpha_1$  be a root of  $p_1(x)$  and  $\alpha_2$  be a root of the  $\varphi$ -corresponding irreducible factor of  $p_2(x)$ . By Lemma 35,  $\varphi$  can be extended to  $\tau : F_1(\alpha_1) \rightarrow F_2(\alpha_2)$  such that  $\tau|_{F_1} = \varphi$ .

In  $(F_1(\alpha_1))[x]$ , we can factor out  $p_1(x) = (x - \alpha_1)g_1(x)$ , and in  $(F_2(\alpha_2))[x]$  we factor  $p_2(x) = (x - \alpha_2)g_2(x)$  with  $g_2(x) = \tau(g_1(x))$ . We observe that  $E_1$  and  $E_2$  are the splitting fields of  $g_1$  and  $g_2$  over  $F_1(\alpha_1)$  and  $F_2(\alpha_2)$ !

←

$$\begin{array}{ccc} \sigma : & E_1 & \xrightarrow{\sim} E_2 \\ | & \downarrow & \downarrow \\ \tau : & F_1(\alpha_1) & \xrightarrow{\sim} F_2(\alpha_2) \\ | & \downarrow & \downarrow \\ \varphi : & F_1 & \xrightarrow{\sim} F_2 \end{array}$$

By inductive hypothesis,  $\tau$  could be extended to  $\sigma$  and  $\sigma|_{F_1(\alpha_1)} = \tau$  and  $\sigma|_{F_1} = \varphi$ .  $\square$

**Corollary 37.** Splitting fields are unique.

*Proof.* Set  $F_1 = F_2, \varphi = \text{id}, p_1(x) = p_2(x)$ .  $\square$

(The following is kind of on a tangent)

Homework hint: the proof of existence & uniqueness of splitting fields relied on inductive arguments where we adjoin one root at a time. This is the same as saying  $E = F(\alpha_1, \dots, \alpha_n)$  but this tends to overlook isomorphic ways to adjoin roots. In this context, it is convenient to start by considering a specific  $K$  containing  $F$  and all roots of  $p(x)$ . In that case,  $E = F(\alpha_1, \dots, \alpha_n)$  becomes more rigorous.

(Tangent ends here)

**Definition 25.** A polynomial is called **separable** if it doesn't have repeated roots.

← First note that a poly of degree  $n$  over a field has exactly  $n$  roots.

**Definition 26.** Suppose  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$ . The **formal derivative** of  $f(x)$  is the polynomial

$$D_x f(x) = f'(x) = n a_n x^{n-1} + (n-1) a_{n-1} x^{n-2} + \dots + a_1$$

From this definition, we can check that the usual differential rules hold.

**Lemma 38.** Suppose  $F$  is a field,  $f(x)$  is a polynomial in  $F[x]$ , and  $E/F$  a field extension containing a root  $\alpha$  of  $f(x)$ . Then  $\alpha$  is a repeated root of  $f(x)$  if and only if  $\alpha$  is a root of the formal derivative  $f'(x)$ .

*Proof.* If  $\alpha$  is a repeated root of  $f(x)$  then  $f(x) = (x - \alpha)^2 g(x)$  for some  $g(x) \in E[x]$ . In that case,  $f'(x) = 2(x - \alpha)g(x) + (x - \alpha)^2 g'(x)$  and so  $f'(\alpha) = 0$ .

Conversely, if  $f'(\alpha) = 0$ , then differentiating  $f(x) = (x - \alpha)h(x)$  (where  $h(x) \in E[x]$ ) and plugging  $x = \alpha$  yields  $0 = f'(\alpha) = h(\alpha) + (\alpha - \alpha)h'(\alpha) = h(\alpha)$ . This is saying that  $h(x) = (x - \alpha)g(x)$  for some  $g(x) \in E[x]$ .  $\square$

**Lemma 39.** If  $f(x) \in F[x]$  is **irreducible and not separable**, then  $f'(x) = 0$ .

*finish proof*

$\square$

If  $f(x)$  is not constant and  $f'(x) = 0$ , then  $\text{char}(F) = p > 0$  and  $f(x) = g(x^p)$ .

**Proposition 40.** If  $\text{char}(F) = 0$ , or  $|F| < \infty$  and  $\text{char}(F) = p$ , then every irreducible polynomial in  $F[x]$  is separable.

← All powers in  $f(x)$  are multiples of  $p(x)$ .

*Proof.* For the case of  $\text{char}=0$ , it follows from 39.

For the case of  $\text{char}>0$ , suppose  $F$  is a finite field of  $p^n$  elements<sup>2</sup>. Then the map  $F \rightarrow F$  where  $\alpha \mapsto \alpha^p$  is a field isomorphism. Hence, every element of  $F$  is a  $p^{\text{th}}$  power.

← Use binomial theorem.

← Such fields are called **perfect**.

Now suppose BWOC  $f(x) = \sum_{i=0}^n a_i x^i \in F[x]$  is an irreducible but not separable polynomial. Therefore,  $f'(x) = 0$  must be true. This happens *if and only if*  $f(x) = \sum_{j=0}^m a_{jp} x^{jp}$ , that is, the  $x$  in all terms are of  $p^{\text{th}}$  degree. However, we know that all elements  $a_{jp} \in F$  are already the  $p^{\text{th}}$  powers of sth else  $(b_{jp})^p = a_{jp}$ , so

$$f(x) = \sum_{j=0}^m (b_{jp}^p) x^{jp}$$

and by reverse Binomial Theorem, we get

$$f(x) = \sum_{j=0}^m (b_{jp}^p) x^{jp} = \left( \sum_{j=0}^m b_{jp} x^j \right)^p$$

is not irreducible!  $\square$

<sup>2</sup>See Section 27

**Non-example 27.** Let  $F = \mathbb{F}_p(t) = \left\{ \frac{f(t)}{p(t)} \mid f(t), g(t) \in \mathbb{F}_p[t], g(t) \neq 0 \right\}$ .

← This is a field of char>0 but is infinite.

Then  $p(x) = x^p - t$  is not separable (but it is irreducible). This can be seen if we suppose  $\alpha$  is a root of  $p(x)$  (so  $\alpha^p = t$ ). Then, in  $F(\alpha)[x]$ , we have  $p(x) = x^p - t = x^p - \alpha^p = (x - \alpha)^p$ , which tells us  $p(x)$  is not separable.

← The coefficients of  $p(x)$  are ratios of polys in  $\mathbb{F}_p(t)$ .

*(The following is kind of on a tangent)*

## Prime fields

Suppose  $R$  is a commutative ring with identity. The map  $\mathbb{Z} \rightarrow R$  where  $n \mapsto \underbrace{\pm(1_R + 1_R + \dots + 1_R)}_{|n| \text{ times}}$  ( $-$  if  $n < 0$ ) is a ring homomorphism with kernel  $n\mathbb{Z}$  where  $n = \text{char}(R)$ . So:

← check it!

- if  $\text{char}(R) = 0$ , then  $R$  contains  $\mathbb{Z}$ ;
- if  $\text{char}(R) = n > 0$ , then  $R$  contains  $\mathbb{Z}/n\mathbb{Z}$ .

If  $F$  is a field, then:

- if  $\text{char}(F) = 0$ , then  $F$  contains  $\mathbb{Q}$ ;
- if  $\text{char}(F) = p > 0$ , then  $p$  prime and  $F$  contains  $\mathbb{Z}/p\mathbb{Z}$ .

← That is,  $F$  is an extension of  $\mathbb{Z}/p\mathbb{Z}$ !

In other words, every field is an extension of  $\mathbb{Q}$  or  $\mathbb{F}_p$ . Moreover, a finite field is a finite extension of  $\mathbb{F}_p$ : if  $[F : \mathbb{F}_p] = n$ , then  $|F| = p^n$ .

In addition,  $|F - \{0\}| = p^n - 1 \implies$  if  $\alpha \in F - \{0\}$  then  $\alpha^{p^n-1} = 1$ , implying that if  $\alpha \in F$ , then  $\alpha^{p^n} = \alpha$ , meaning that  $\alpha$  is a root of  $x^{p^n} - x \in F[x]$ . Therefore,  $F$  is the splitting field of  $x^{p^n} - x$ . But splitting fields are unique, so we conclude that there is only one unique finite field for each order.

← By Lagrange's Theorem

*(Tangent ends here)*

**Definition 27.** An algebraic extension  $K/F$  is called (algebraically) **separable** if  $m_{\alpha,F}(x)$  is separable for **all**  $\alpha \in K$ .



# Galois Theory

**Definition 28.** A finite extension  $K/F$  is called **Galois** if  $K/F$  is normal and separable.

← normal just means it is a splitting field of something

**Definition 29.** If  $K/F$  is an extension, then the **automorphism group** of  $K/F$  is defined as

$$\text{Aut}(K/F) = \{\sigma \in \text{Aut}(K) \mid \sigma(a) = a \forall a \in F\}$$

That is, all the automorphisms of  $K$  that also fix the field  $F$ .

**Galois theory** is concerned with the study of roots of polynomials by way of automorphisms of splitting fields (of separable polynomials). In particular, we are interested in what

$$\text{Aut}(K) = \{\sigma : K \rightarrow K \text{ isomorphisms}\}$$

(a group under composition) is. Naturally, such groups are *finite*.

← review MATH171 finite groups!

Last time, we showed that  $K \supseteq \begin{cases} \mathbb{Q} & \text{if char}(K) = 0 \\ \mathbb{F}_p & \text{if char}(K) = p \end{cases}$ , since  $\sigma(1) = \sigma(1^2) = (\sigma(1))^2$  implies that  $\sigma(1) = 1$  must always be true! Hence,  $\sigma(n) = n$  must be true in char 0 fields, or  $\sigma(\bar{n}) = \bar{n}$  if char  $> 0$  for all  $n \in \mathbb{Z}$ . Therefore,  $\sigma$  **fixes** the prime subfield  $\mathbb{Q}$  or  $\mathbb{F}_p$ .

**Remark.** Why does Definition 29 have to fix the field  $F$ ? Because we've shown that  $\text{Aut}(K) = \begin{cases} \text{Aut}(K/\mathbb{Q}) & \text{if char}(K) = 0 \\ \text{Aut}(K/\mathbb{F}_p) & \text{if char}(K) = p \end{cases}$ .

**Lemma 41.** If  $K/F$  is an extension,  $\alpha \in K$  is algebraic over  $F$  and  $\sigma \in \text{Aut}(K/F)$ , then  $\sigma(\alpha)$  is a root of  $m_{\alpha,F}(x)$ .

*Proof.* Observe that  $m_{\alpha,F}(\alpha) = 0 = \sigma(0) = \sigma(m_{\alpha,F}(\alpha))$ . Hence, since  $\sigma(\alpha) = \alpha$  for all  $\alpha \in F$ ,

$$\sigma(a_0 + a_1\alpha + \cdots + a_n\alpha^n) = a_0 + a_1\sigma(\alpha) + \cdots + a_n\sigma(\alpha)^n = m_{\alpha,F}(\sigma(\alpha))$$

□

So if  $f(x) \in F[x]$ , then every  $\sigma \in \text{Aut}(K/F)$  **permutes** the roots of  $f(x)$  that lie in  $K$ . It would be nice if the roots of  $f(x)$  all lived in  $K$ . This is why we consider  $K$  the splitting field of some polynomial over  $F$ .

← Recall: if irreducible  $f(x)$  has one root in such  $K$ , then all roots lie in  $K$ .

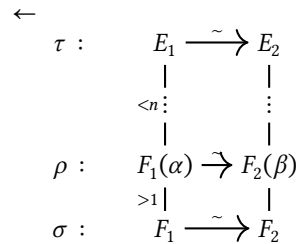
**Proposition 42.** If  $K$  is the splitting field of some polynomial  $f(x)$  over  $F$  (so  $[K : F] < \infty$ ), then  $|\text{Aut}(K/F)| \leq [K : F]$ , with equality if  $f(x)$  is separable.

*Proof.* We will prove a more general statement by induction. If  $\sigma : F_1 \rightarrow F_2$  is an isomorphism,  $f_1(x) \in F_1[x]$  and  $f_2(x) = \sigma(f_1(x)) \in F_2[x]$  and  $E_1$  and  $E_2$  are the splitting fields of  $f_1$  and  $f_2$  over  $F_1$  and  $F_2$  respectively. Then we would like to show that there are at most  $[E_1 : F_1]$  isomorphisms  $\tau : E_1 \rightarrow E_2$  such that  $\tau|_{F_1} = \sigma$  with equality if  $f_1$  separable.

← The prop above fixes  $F_1 = F_2$  and  $\sigma$  being identity.

*Base case.* If  $[E_1 : F_1] = [E_2 : F_2] = 1$ , then  $E_1 = F_1$ ,  $E_2 = F_2$  and  $\tau = \sigma$  is our only choice.

*Inductive step.* Suppose we've proven the result for all extensions of degree  $< n$  for some  $n \geq 2$ . Now consider  $[E_1 : F_1] = [E_2 : F_2] = n$ . Pick  $\alpha \in E_1 \setminus F_1$  and let  $\beta \in E_2$  be any root of  $\sigma(m_{\alpha, F_1}(x))$ . Then  $\sigma$  could be extended to  $\rho : F_1(\alpha) \rightarrow F_2(\beta)$  such that  $\rho(\alpha) = \beta$  and  $\rho|_{F_1} = \sigma$ . Observe that  $[F_1(\alpha) : F_1] = \deg(m_{\alpha, F_1}(x))$ . Moreover, the number of extensions of  $\sigma$  to  $\rho$  equals the number of *distinct* roots of  $\sigma(m_{\alpha, F_1}(x))$ . Thus, the number of extensions of  $\sigma$  to  $F_1(\alpha)$  is *at most* the degree of  $m_{\alpha, F_1}(x)$  which is  $[F_1(\alpha) : F_1]$  with equality if  $m_{\alpha, F_1}(x)$  is separable. Since  $[E_1 : F_1] = [E_2 : F_2] = n$ , we have  $[E_1 : F_1(\alpha)] < n$ , by inductive hypothesis, there are at most  $[E_1 : F_1(\alpha)]$  ways of extending  $\rho$  to  $\tau : E_1 \rightarrow E_2$ . Hence,



$$\begin{aligned}
 |\{\text{extensions of } \sigma \text{ to } \tau\}| &= |\{\text{extensions of } \sigma \text{ to } \rho\}| |\{\text{extensions of } \rho \text{ to } \tau\}| \\
 &\leq [F_1(\alpha) : F_1] [E_1 : F_1(\alpha)] \\
 &= [E_1 : F_1]
 \end{aligned}$$

Looking at the case  $F_1 = F_2$ ,  $E_1 = E_2$ ,  $\sigma = \text{id}$ , we get our result.  $\square$

**Definition 30.** If  $K/F$  is a normal extension, then the extension is **Galois** if  $[K : F] = |\text{Aut}(K/F)|$ .

**Remark.** Notation: if  $K/F$  is Galois, then use  $\text{Gal}(K/F)$  for  $\text{Aut}(K/F)$ .

## Fixed Fields and Automorphism Groups

**Definition 31.** Suppose  $K/F$  is a field extension. If subgroup  $H \leq \text{Aut}(K/F)$ , then the **fixed field** of  $H$  is given by  $K_H = \{\alpha \in K \mid \sigma(\alpha) = \alpha \text{ for all } \sigma \in H\}$ .

**Remark.** Also, observe that if  $F \subseteq E \subseteq K$ , then  $\text{Aut}(K/E) \leq \text{Aut}(K/F)$ .

**Lemma 43.** Suppose  $K/F$  is an extension. Then:

- (1) If  $H_1, H_2 \leq \text{Aut}(K/F)$  with  $H_1 \leq H_2$ , then  $K_{H_2} \subseteq K_{H_1}$ .

← Observe that  $K_H$  is indeed a field (the sum, products etc. are also fixed by  $\sigma$ ); moreover, it is an intermediate extension  $F \subseteq K_H \subseteq K$ .

(2) If  $F \subseteq E_1 \subseteq E_2 \subseteq K$  are two intermediate extensions, then  $\text{Aut}(K/E_2) \leq \text{Aut}(K/E_1) \leq \text{Aut}(K/F)$ .

**Example 28.**  $\mathbb{Q}$  is an intermediate extensions of  $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$ . Then  $\text{Aut}(\mathbb{Q}/\mathbb{Q}) = \{1\}$ . We further observe that since automorphisms permute roots,  $\text{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}) = \{1\}$ . Hence  $\text{Aut}(\mathbb{Q}/\mathbb{Q}) = \text{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})$  and so the fixed field by  $\mathbb{Q}(\sqrt[3]{2})$  is given by  $\mathbb{Q}(\sqrt[3]{2})_{\text{Aut}(\mathbb{Q}/\mathbb{Q})} = \mathbb{Q}(\sqrt[3]{2})$ . We note that  $\mathbb{Q}(\sqrt[3]{2})$  is not Galois!

**Theorem 44** (The Fundamental Theorem of Galois Theory). If  $K/F$  is a (finite) Galois extension, then the maps  $H \mapsto K_H$  and  $E \mapsto \text{Aut}(K/E)$  gives an *inclusion-reversing bijection* between the subgroups of  $\text{Aut}(K/F)$  and the intermediate extensions of  $K/F$ .

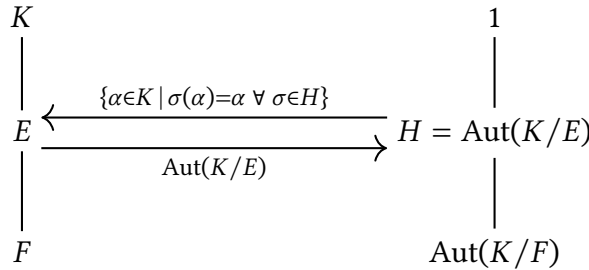
Furthermore,  $[K : E] = |\text{Aut}(K/E)|$ , and

$$[E : F] = |\text{Aut}(K/F) : \text{Aut}(K/E)| = |\text{Aut}(K/F)| / |\text{Aut}(K/E)|$$

Moreover,  $E/F$  is Galois *if and only if*  $\text{Aut}(K/E)$  is a **normal subgroup** of  $\text{Aut}(K/F)$ , in which case

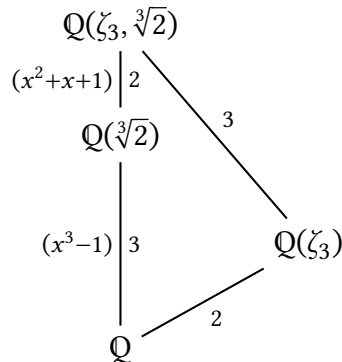
$$\text{Aut}(E/F) = \text{Aut}(K/F) / \text{Aut}(K/E)$$

In other words,



where  $H$  is a subgroup of  $\text{Aut}(K/F)$  that fixes the field  $E$ , an extension of  $F$  contained in  $K$ . If  $H \trianglelefteq \text{Aut}(K/F)$ , then  $E/F$  is normal.

**Example 29.** Consider  $\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q}$ , the splitting field extension of  $x^3 - 2$ .<sup>3</sup>



<sup>3</sup>Suppose  $\zeta$  is a primitive  $n$ th root of unity; then so is  $\zeta^k$  *if and only if* the  $\gcd(k, n) = 1$ , i.e.  $k, n$  are relatively prime.

← Roots of  $x^3 - 2$  are  $\sqrt[3]{2}, \zeta_3\sqrt[3]{2}, \zeta_3^2\sqrt[3]{2}$ , so two roots are not in  $\mathbb{Q}(\sqrt[3]{2})$ , and so  $\sqrt[3]{2}$  could be only mapped to itself.

← We see if  $K/F$  is Galois, then  $K/E$  is also Galois as if  $K$  is the splitting field of some poly in  $F$ , then it's certainly true for  $E$ .

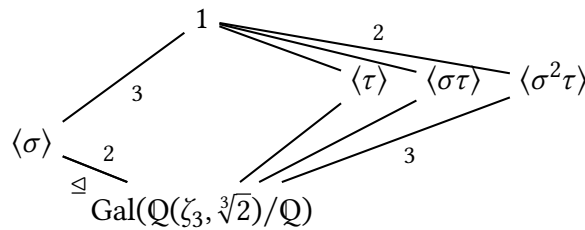
Let  $\sigma, \tau \in \text{Aut}(\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q})$  be given by:

$$\sigma : \begin{cases} \sqrt[3]{2} \mapsto \zeta_3 \sqrt[3]{2} \\ \zeta_3 \mapsto \zeta_3 \end{cases} \quad \tau : \begin{cases} \sqrt[3]{2} \mapsto \sqrt[3]{2} \\ \zeta_3 \mapsto \zeta_3^2 \end{cases}$$

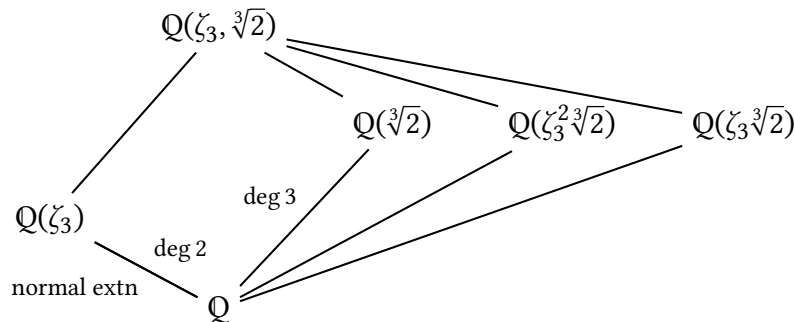
So  $\langle \sigma, \tau \mid \sigma^3 = \tau^2 = \text{id}, \sigma\tau = \tau\sigma^2 \rangle \cong S_3$ .

Since this is a subgroup of  $\text{Gal}(\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q})$  that has the same finite order of 6, this must just be  $\text{Gal}(\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q})$  itself; hence,  $\text{Gal}(\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q}) \cong S_3$ .

Now we look at the subgroups of  $S_3$  (in reverse):



And then think about the **fixed field** of each subgroup correspondingly:



Note that the normal extension corresponds to the normal subgroup!

**Proposition 45.** If  $K/F$  is a finite Galois extension, then  $K = F(\alpha)$  for some  $\alpha \in K$ .

**Definition 32.**  $K = F(\alpha)$  is a **simple** extension of  $F$  and  $\alpha$  is a primitive element.

*Proof.* We first assume  $|F| = \infty$ .

Recall that  $K/F$  is finite *if and only if*  $K = F(\alpha_1, \alpha_2, \dots, \alpha_n)$  where  $\alpha_i$  is algebraic over  $F$ . We will proceed by induction on  $n$ , whose base case  $n = 1$  gives a simple extension  $F(\alpha_1)/F$ .

Recursive case: assume that for some  $k \geq 1$  we have  $F(\alpha_1, \dots, \alpha_k)$  being a simple extension  $F(\alpha)$ . Let  $K/F$  be Galois and  $K = F(\alpha_1, \dots, \alpha_{k-1}, \alpha, \beta)$ .

← Isomorphisms preserve order, so they **must** take an  $n$ th root of unity to another  $n$ th root of unity!

← Remember normal extn means 'is splitting field', i.e. 'one root  $\rightarrow$  all roots'

←  $\mathbb{Q}(\sqrt[3]{2})$  is not Galois!

Let  $E = F(\alpha_1, \dots, \alpha_{k-1})$  and consider the intermediate family of extensions  $\{E(\alpha + t\beta) \mid t \in F\}$ . Since  $|\text{Gal}(K/F)| < \infty$  as we are talking about finite Galois extensions, there are finitely many distinct such extensions, so  $E(\alpha + t_1\beta) = E(\alpha + t_2\beta)$  for some  $t_1 \neq t_2$ .

← such that  
 $K = E(\alpha, \beta)$

Now we see that  $\alpha + t_1\beta$  and  $\alpha + t_2\beta$  must be in the same field  $E(\alpha + t_1\beta)$ . Hence,  $(\alpha + t_1\beta) - (\alpha + t_2\beta)$  are in the field, so  $(t_1 - t_2)^{-1}((\alpha + t_1\beta) - (\alpha + t_2\beta)) = \beta$  is also in the field. Similarly,  $\alpha \in E(\alpha + t_1\beta)$ . Therefore,  $K = E(\alpha, \beta) = E(\alpha + t_1\beta) = F(\alpha_1, \dots, \alpha_{k-1}, \alpha + t_1\beta)$ , which has  $k$  elements adjoined and is therefore simple.  $\square$