# MATH172 Galois Theory Notes

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## Rings! Or why $x^2 - 2$ has roots.

**Definition 1.** A **ring** is a set R together with associative binary *operations* + and  $\times$  s.t.:

 $\leftarrow$  map from  $R \times R \mapsto R$ 

← this is optional

- (R, +) is an **abelian** group with identity 0
- There exists  $1 \in R$  s.t.  $r \times 1 = 1 \times r = r$
- r(s+t) = rs + rt and (s+t)r = sr + tr  $\forall s, r, t \in R$

**Proposition 1.**  $0 \times 1 = 0$  (in fact,  $0 \times r = 0 \ \forall \ r \in R$ )

Proof. Try it!

**Definition 2.** If  $\times$  is commutative, then *R* is a commutative ring.

**Non-example 1.** N is not a ring.

**Example 2.**  $\mathbb{Z} \subseteq \mathbb{Q} \subseteq \mathbb{R} \subseteq \mathbb{C}$  are all rings;

- $\mathbb{Z}/n\mathbb{Z}$  is a finite ring
- $M_n(\mathbb{R})$ , the set of  $n \times n$  real matrices, is a **noncommutative** ring
- Polynomial ring:  $\mathbb{Q}[x] = \{a_0 + a_1x + \dots + a_nx^n \mid a_i \in \mathbb{Q}\}$  is a commutative ring
- $\mathbb{Z}[\sqrt{-5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\}$  is a commutative ring

← square brackets just mean "polynomials in..."

Phase I plan:

$$ID \supseteq UFD \supseteq PID \supseteq ED \supseteq Fields$$

**Definition 3.** Suppose R is a ring and  $a, b \in R$  with ab = 0 but  $a, b \neq 0$ ; then a, b are called **zero divisors**.

Example 3.

- In  $\mathbb{Z}/6\mathbb{Z}$ ,  $\bar{4} \times \bar{3} = \bar{0}$
- In  $M_2(\mathbb{R})$ ,  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$

**Definition 4.** A commutative ring without zero divisors is called an <u>integral domain</u> (ID)

Why do we want ID? Cancellation properties.

• If R is an ID,  $a, b, c \in R$ ,  $a \ne 0$  and ab = ac, then

$$ab - ac = 0 \implies a(b - c) = 0 \implies b - c = 0 \implies b = c$$

**Definition 5.** Suppose R is an ID. An element  $a \in R$  is called a **unit** if  $a \neq 0$  and there exists  $b \in R$  s.t. ab = 1.

← notation:  $b = a^{-1}$ 

An element  $r \in R$  is called **irreducible** if  $r \neq 0$ , r is NOT a unit, and whenever r = ab for some  $a, b \in R$  then a or b must be a unit.

• If r and s are irreducibles with r = us, then r and s are called **associates**.

#### Example 4.

- All "prime integers" are irreducibles in **Z**;
- 2,3,  $1+\sqrt{-5}$ ,  $1-\sqrt{-5}$  are irreducibles in  $\mathbb{Z}[\sqrt{-5}]$ .
  - Note:  $2 \times 3 = (1 + \sqrt{-5})(1 \sqrt{-5}) = 6$  says that 6 can be factored in more than one way. This means that  $\mathbb{Z}[\sqrt{-5}]$  is NOT an UFD.

**Definition 6.** An integral domain R is called a <u>unique factorization domain</u> (UFD) if each nonzero, nonunit  $a \in R$  can be written as a product of irreducibles **in a unique way** up to associates.

If *a* is a nonzero, nonunit element of UFD *R* and  $a = r_1 r_2 \dots r_m = s_1 \dots s_n$  where  $r_i, s_j$  are irreducible, then after reordering  $r_i = u_i s_i$  for any *i* and units  $u_i$ , and m = n.

**Definition 7.** Suppose R is a comm ring. A subset  $I \subseteq R$  is called an **ideal** if  $(I, +) \le (R, +)$  and  $ir, ri \in I$  for all  $i \in I$  and for all  $r \in R$ .

Why do we want ideals? Such that R/I is a well-defined ring.

**Example 5.**  $\{0\}$  and R are ideals of R.

**Example 6.** If R is commutative and  $a \in R$ , then  $(a) = \{ar \mid r \in R\}$  is called the **principal ideal** generated by a.

**Definition 8.** A **principal ideal domain** is an integral domain where all ideals are principal ideals.

**Example 7.** The only ideals of  $(\mathbb{Z}, +)$  are of the form  $n\mathbb{Z} = (n)$ .

**Non-example 8.**  $\mathbb{Z}[x]$  is a UFD but NOT a PID because the ideal  $(2, x) = \{2r + xs \mid r, s \in \mathbb{Z}[x]\}$  is not principal.

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**Lemma 2.** If  $I \subseteq R$  is an ideal and  $1 \in I$ , then I = R.

Proof. Try it!

← After reordering, there are the same amounts of factors and all factors are the same up to units.

- ← Prove this (be convinced)!

  Also known as *aR*.
- $\leftarrow$  Ideals generated by n
- ← Observe that (2, x) is an ideal made of polynomials with even constant terms. This cannot be principal, since if we only have 2 and not x, we do not have nonzero polynomials with zero const terms.

\_ . \_\_\_

**Proposition 3.** If  $I \subseteq R$  is an ideal containing a unit of R then I = R.

*Proof.* If  $u \in I$  is a unit then  $u^{-1} \in R$ , so  $uu^{-1} = 1 \in I$ . Then the result follows from Lemma 2.

**Definition 9.** A **field** is a commutative ring whose each nonzero element is a *unit*.

**Corollary 4.** If *R* is an ID whose ideals are (0) and *R*, then *R* is a **field**.

*Proof.* Suppose  $a \in R \setminus \{0\}$  and consider (a). Since  $a \in (a)$ , (a) = R. Hence, we must have that  $1 \in (a)$ , which means 1 = ar for some  $r \in R$ .

**Definition 10.** Suppose R is an integral domain. A *proper* ideal  $P \subseteq R$  is called **prime** of whenever  $ab \in P$  for some  $a, b \in R$ , then a or  $b \in P$ .

**Non-example 9.** (6) is not a prime ideal of  $\mathbb{Z}$  since  $2 \times 3 \in (6)$  but neither  $2, 3 \notin (6)$ .

**Non-example 10.** (2) is not a prime ideal of  $\mathbb{Z}[\sqrt{-5}]$  since  $6 \in (2)$ , but we observe that  $6 = (1 + \sqrt{-5})(1 - \sqrt{-5})$  while  $1 \pm \sqrt{-5} \notin (2)$ .

**Example 11.** (2) is a prime ideal of  $\mathbb{Z}$ .

**Definition 11.** A proper ideal  $M \subseteq R$  is called **maximal** if whenever  $I \subseteq R$  such that  $M \subseteq I \subseteq R$  is an ideal containing M, then either I = M or I = R.

**Proposition 5.** Every proper ideal is contained in **a** maximal ideal.

Proof. Axiom of choice.

**Proposition 6.** Suppose *R* is a commutative ring.

- (0) is prime *if and only if* R is an integral domain.
- (0) is maximal *if and only if R* is a field.

(The following is kind of on a tangent)

**Definition 12.** A commutative ring R with unity is called **Noetherian** if, whenever  $I_1 \subseteq I_2 \subseteq ...$  is an ascending sequence of (proper) ideals of R, there exists an n > 0 such that  $I_n = I_{n+1} = ...$  are the same ideals thereafter.

**Theorem 7.** *R* is Noetherian *if and only if* all ideals of *R* are finitely generated.

**Corollary 8.** All Principal Ideal Domains are Noetherian.

← The converse is also true. The only ideals in a field are 0 and the field.

- ← Observe that in  $\mathbb{Z}[\sqrt{-5}]$ , we have  $6 = (1 + \sqrt{-5})(1 \sqrt{-5}) = 2 \times 3$ , so it is not a UFD!
- ← This might not be unique in non-local rings.

- ← By def of prime, if ab = 0, then either a = 0 or b = 0, which means there are NO zero divisors.
  - ← The chain stops ascending!
  - ← Since all ideals are generated by 1 elt.

(Tangent ends here)

**Definition 13.** Suppose R is a commutative ring with  $1 \neq 0$  and  $I \subseteq R$  is an ideal. Then the **quotient ring** of R by I is the set

$$R/I = \{r + I \mid r \in R\}$$

with addition and multiplication defined representative-wise.

**Remark.** The **coset criterion** of ideals: let *I* be an ideal; the cosets r + I, s + I are the same *if and only if*  $r - s \in I$ .

#### Example 12.

- In  $\mathbb{Z}/(6)$  aka.  $\mathbb{Z}/6\mathbb{Z}$ , we have  $2 + (6) = \{..., -10, -4, 2, 8, 14, ...\} = 26 + (6)$  due to  $2 26 \in (6)$ ;
- In  $\mathbb{Q}[x]/(x^2-2)$ , we have

$$\{3x^2 - 47x + 1 + q(x)(x^2 - 2) \mid q(x) \in \mathbb{Q}[x]\} = \{-47x + 7 + q(x)(x^2 - 2) \mid q(x) \in \mathbb{Q}[x]\}$$
 due to  $3x^2 - 47x + 1 - (-47x + 7) \in (x^2 - 2)$ .

**Remark.** Let *I* be an ideal of *R*. Then  $(I, +) \subseteq (R, +)$ .

**Definition 14.** R/I is a group under (r+I)+(s+I)=(r+s)+I and the operation + is well-defined. We also define that (r+I)(s+I)=(rs)+I. We claim that multiplication in R/I is also well-defined.

*Proof.* Let  $r_1 + I = r_2 + I$  and  $s_1 + I = s_2 + I$ . By coset criterion,  $r_1 - r_2 = i$ ,  $s_1 - s_2 = j$  for some  $i, j \in I$ . Hence  $r_1s_1 = (r_2 + i)(s_2 + j) = r_2s_2 + is_2 + jr_2 + ij$  where the latter three terms are all in the ideal I. Thus,  $(r_1s_1) + I = (r_2s_2) + I$ . □

From R, R/I inherits nice properties:

- $0 + I = 0_{R/I}$
- $1 + I = 1_{R/I}$
- Multiplication is commutative and distributive over addition in R/I, so it is also a comm. ring with identity.

**Definition 15.** A function  $\varphi : R \to S$  between rings is called a **ring homomorphism** if the following are satisfied:

- $\varphi(r_1 + r_2) = \varphi(r_1) + \varphi(r_2)$
- $\varphi(r_1r_2) = \varphi(r_1)\varphi(r_2)$

#### **Theorem 9.** First ring isomorphism theorem

If  $\varphi : R \mapsto S$  is a ring homomorphism, then  $R / \ker(\varphi) \cong \varphi(R)$ .

**Example 13.** If R is a ring and I is an ideal, then  $\pi: R \to R/I$  where  $r \mapsto r + I$  is a surjective homomorphism where  $\ker(\pi) = I$ . This is the *canonical projection* onto R/I.

**Corollary 10.** If *I* is a maximal ideal, then R/I is a field.

Recall Proposition 6. We now have a stronger statement:

**Proposition 11.** Suppose R is a commutative ring &  $P \subseteq R$  is an ideal. Then R/P is an integral domain *if and only if* P is prime.

*Proof.* R/P is an integral domain *if and only if* whenever  $(a+P)(b+P) = 0_{R/P}$  then one of a+P or b+P must already be  $0_{R/P}$ . This happens *if and only if* whenever ab+P=P then a+P or b+P in P, which happens *if and only if* whenever  $ab \in P$  then one of  $a,b \in P$ , which is the definition of a prime ideal. □

**Example 14.** The map  $\varphi: \mathbb{Z}[x] \to \mathbb{Z}$  where  $p(x) \mapsto p(0)$  is a surjective ring homomorphism with  $\ker(\varphi) = (x)$ . By the First Isomorphism Theorem 9,  $\mathbb{Z}[x]/(x) \cong \mathbb{Z}$ . As such, we conclude that (x) is a prime ideal since  $\mathbb{Z}$  is an integral domain.

**Lemma 12.** Suppose R is a comm. ring with  $M \subseteq R$  being an ideal. There is a bijective correspondence between the ideals of R/M and the ideals of R containing M.

*Proof.* Consider the projection  $\pi: R \to R/M$  where  $r \mapsto r + M$ . It is enough to show:

$$\pi(\pi^{-1}(J)) = J$$
 for all ideals  $J \subseteq R/M$ , and  $\pi^{-1}(\pi(I)) = I$  for all ideals  $M \subseteq I \subseteq R$ 

To prove the first statement, observe that, if J is an ideal of R/M, then  $\pi^{-1}(J) = \{r \in R \mid r + M \in J\}$  and so

$$\pi(\pi^{-1}(J)) = {\pi(r) \in R \mid r + M \in J} = {r + M \mid r + M \in J} = J$$

Next, to prove the second statement, first suppose  $M \subseteq I \subseteq R$  is an ideal. Let  $a \in I$ . Then  $a + M \in \{\alpha + M \mid \alpha \in I\} = \pi(I)$ . This implies that  $a \in \pi^{-1}(\pi(I))$ , and so  $I \subseteq \pi^{-1}(\pi(I))$ .

- ← Observe that kernels are ideals! And ideals are kernels of some homomorphism too.
- ← The *if and only if* version comes in Proposition 14.

- ← btw,  $(x) \subseteq (x, 2)$ . the latter is the set of polynomials whose <u>constant</u> <u>term is even</u>, so it is also a proper ideal of  $\mathbb{Z}[x]$ . This is an excellent example where Prime  $\Rightarrow$  Maximal.
- ← To see why this is okay, see Homework 2 Sec. 7.3 P. 24

Conversely, suppose  $r \in \pi^{-1}(\pi(I))$ . This is the same as saying  $\pi(r) = r + M \in \pi(I) = \{\alpha + M \mid \alpha \in I\}$ . Hence, for any  $r \in \pi^{-1}(\pi(I))$ , there exists some  $a \in I$  such that r + M = a + M. Thus,  $r - a \in M \subseteq I$  by coset conditions. Since  $a \in I$ , we have  $a + (r - a) \in I$ , meaning that  $r \in I$  for any  $r \in \pi^{-1}(\pi(I))$ . This means that  $\pi^{-1}(\pi(I)) \subseteq I$ .

Hence,  $I = \pi^{-1}(\pi(I))$ .

Consequently, for any ideals  $J \subseteq R/M$ , we know that  $\pi^{-1}(J) \subseteq R$  is an ideal containing M. And if  $M \subseteq I \subseteq R$  is an ideal, we know  $\pi(I) \subseteq R/M$  is an ideal. Since  $\pi(\pi^{-1}(J)) = J$  and  $I = \pi^{-1}(\pi(I))$  for any I, J, the correspondence is a bijection.

← Think about why this contains *M*!

← Hence <u>maximal</u> <u>implies prime</u>, but prime does not necessarily implies

maximal.

**Proposition 13.** Suppose R is a comm. ring with an identity and  $I \subseteq R$  is an ideal. Then R/I is a field *if and only if* I is maximal.

*Proof.* If I is maximal, then there are no other proper ideals strictly containing I. Hence, by Lemma 14, we have that R/I only have ideals (0) and R/I itself. This happens if and only if R/I is a field.

**Corollary 14.** If *R* is a commutative ring with identity and  $M \subseteq R$  is maximal, then *M* is prime.

*Proof.* Maximal  $\implies$  quotient is a field  $\implies$  quotient is an ID  $\implies$  prime.  $\square$ 

**Definition 16.** An integral domain R is an **Euclidean domain** if there exists a norm  $N: R \to \mathbb{Z}_{\geq 0}$  with N(0) = 0 such that for all  $a, b \in R$  with  $b \neq 0$ , there exists  $q, r \in R$  for which

$$a = bq + r$$

with N(r) < N(b) or r = 0.

**Example 15.**  $\mathbb{Z}$  is a ED with N(a) = |a|.

**Example 16.**  $\mathbb{Q}[x]$  is a ED with  $N(p(x)) = \deg(p(x))$ .

**Example 17.** Every field F is a ED with  $N(a) = 0 \, \forall \, a \in F$ .

**Non-example 18.**  $\mathbb{Z}\left[\frac{1+\sqrt{-19}}{2}\right]$  is a PID that is not an ED.

← Because in a field everything divides!

← This is one of the only good examples!

#### Why do we care about Euclidean domains?

Remark. Greatest common divisors exist and are relatively quick to compute.

**Definition 17.** If  $a, b \in R$ , then gcd(a, b) = c means

← Using recursive application of Euclidean algorithm.

- 1. c divides a and b; that is, a = cr, b = cs for some  $r, s \in R$
- 2. If  $c' \in R$  with c'|a and c'|b, then it must be true that c'|c.

**Example 19.** Say we want to compute the gcd of 47 and 10.

$$47 = 4 \times 10 + 7$$
 $10 = 1 \times 7 + 3$ 
 $7 = 2 \times 3 + \boxed{1}$ 
 $3 = 3 \times 1$ 
 $\leftarrow \text{ circled is gcd}(47, 10)$ 
 $\leftarrow \text{ final line with no remainders}$ 

← All other common divisors divide the gcd.

← This is a much faster algorithm than factoring!

This also works for finding gcds in  $\mathbb{Q}[x]$  with polynomials long division and norm  $\deg(p(x))$ .

**Remark.** If F is a field, then F[x] is a Euclidean domain.

Remark. Euclidean domains are PIDs.

← Just use long division!

*Proof.* Suppose R is a ED and  $I \subseteq R$  is an idea;. Consider  $\{N(a) \mid a \in I \setminus \{0\}\}$ . This set has a minimal element by properties of natural numbers (or is an empty set if and only if I = (0)).

Let  $d \in I$  be an element of  $\underline{\text{minimum norm}}$  (hence  $N(d) \leq N(a)$  for all  $a \in I$ ). We claim that (d) = I. Proof:

Since  $d \in I$ , we have  $rd \in I$  for any  $r \in R$ . This implies that  $(d) \subseteq I$ .

Then let  $a \in I$ . Since R is a ED, we first assumes that there exists  $q, r \in R$ ,  $r \neq 0$  such that a = qd + r and N(r) < N(d). But we notice that r = a - qd must be in I as both  $a, qd \in I$ , contradicting the minimality of N(d). Thus, it must be that r = 0. This implies a = qd and thus  $a \in (d)$  for all  $a \in I$ . Consequently,  $I \in (d)$ , and therefore I = (d).

**Definition 18.** Suppose R is an integral domain and  $p \in R \setminus \{0\}$ . Then p is a **prime element** if (p) is a prime ideal.

**Proposition 15.** An element  $p \in R$  is prime *if and only if* whenever p|ab then p|a or p|b.

*Proof.* p is prime means that (p) is a prime ideal. This is true *if and only if* whenever  $ab \in (p)$  then  $a \in (p)$  or  $b \in (p)$ . This is the same as saying if ab = kp for some  $k \in R$  then a = lp or b = lp for some  $l \in R$ . This is to say that whenever p|ab then p|a or p|b.

**Proposition 16.** In an integral domain, all prime elements are irreducibles.

*Proof.* Suppose R is an ID and  $p \in R$  is prime. If p = ab for some a, b in R, then, WLOG, p|a. That is, a = pk for some  $k \in R$ . Hence, p = pkb. Since in an ID cancellation rule holds, kb = 1, meaning that b is a unit. Thus, p is irreducible by definition Definition 5.

**Proposition 17.** In PIDs, all *nonzero* prime ideals are maximal.

*Proof.* Suppose R is a PID and  $(p) \subseteq R$  is a prime ideal. If  $(p) \subseteq (m) \subseteq R$  is an ideal, then  $p \in (p) \subseteq (m)$  hence p = rm for some  $r \in R$ . Since p|rm, we have p|r or p|m.

If p|r, this implies that r=pk for some  $k \in R$ . Substituting into p=rm, we get p=pkm. By cancellation, we get km=1, meaning that m is a unit. Hence, (m)=R.

If p|m, we have m=pl for some  $l\in R$ , meaning that  $m\in (p)$ . Hence,  $(m)\subseteq (p)$ , but we also defined that  $(p)\subseteq (m)$ , so (m)=(p).

Therefore, (p) has to be the maximal ideal.

**Proposition 18.** In an UFD, irreducible implies prime.

*Proof.* Let R be a UFD and  $p \in R$  be irreducible. Let  $a, b \in R$  such that p|ab. Hence, pr = ab for some  $r \in R$ . Since R is a UFD, let  $a = q_1 \dots q_n, b = s_1 \dots s_m$  be the factorization. Since the factorizations are unique and each of the  $q_i, s_j$  are irreducible, if p|ab, then p must be an associate with one of the  $q_i, s_j$ . Therefore, either p|a or p|b, implying prime.

**Example 20.**  $\mathbb{Q}$  is a field, so  $\mathbb{Q}[x]$  is a ED. Since EDs are UFDs, irreducible  $\Longrightarrow$  prime. We see that  $x^2-2\in\mathbb{Q}[x]$  is an irreducible element, which means that  $(x^2-2)$  is a prime ideal, meaning that it is a maximum ideal, meaning that  $\mathbb{Q}[x]/(x^2-2)$  is a field. We observe that it is a field containing  $\mathbb{Q}$  and  $(\sqrt{2})$ .

**Lemma 19.** In a PID, irreducible elements are prime.

*Proof.* Suppose  $p \in R$  is irreducible in the principal ideal domain R. If p|ab for some  $a,b \in R$ , we want to show that either p|a or p|b, hereby showing that p is prime. Hence, we consider the ideal (a,p)=d, which is necessarily principal for some  $d \in R$ . Since  $a, p \in (d)$ , we have a=dr and p=ds for some  $r,s \in R$ . As p is irreducible, we get that one of d and s is a unit.

We first assume that s is a unit, in which case  $d = ps^{-1}$ , and so  $a = ps^{-1}r$  implying that p|a.

In another case, d is a unit, in which case (a, p) = (d) = R and so 1 = ak + pl for some  $k, l \in R$ . Multiplying by b, we get b = abk + pbl. Since p|ab, we have b = abk + pbl = pmk + pbl for some  $m \in R$ . Hence, b = p(mk + bl), meaning that p|b.

← In fact, this is the smallest field containing  $\mathbb{Q}$  and  $(\sqrt{2})$ .

Therefore, whenever p|ab, either p|a or p|b. Hence, in a PID, p is prime whenever it is irreducible.

**Proposition 20.** PIDs are UFDs.

*Proof.* Suppose R is a PID and  $a \in R$  is nonzero, nonunit. If a is irreducible, we are done. If not, we write  $a = p_1q_1$  for some  $p_1, q_1 \in R$  nonunit. If  $p_1, q_1$  are irreducibles, we are done. If not, then WLOG say  $q_1 = p_2q_2$  for some nonunits  $p_2, q_2$ . We would like to show that this splitting process terminates.

Observe that  $(q_1) \subseteq (q_2)$  since  $q_2|q_1$ . Hence, the chain of splitting results in the chain of ideals  $(q_1) \subseteq (q_2) \subseteq (q_3) \subseteq ...$ .

Now consider the ideal  $\bigcup_{i=1}^{\infty}(q_i)$ . Since this is a PID, we have  $\bigcup_{i=1}^{\infty}(q_i)=(q)$  for some  $q\in R$ . Since  $q\in\bigcup_{i=1}^{\infty}(q_i)$ , it is contained in some  $(q_n)$  for some  $n\geq 1$ . This implies that  $(q)\subseteq (q_n)$ , but we also know that  $(q_n)\subseteq (q)$ , hence  $(q)=(q_n)$ . Hence, this process terminates, and there exists an n in this chain such that  $q_n$  is irreducible. Therefore, R is a factorization domain.

Now we want to prove the <u>uniqueness</u>. That is, if  $p_1 \dots p_n = q_1 \dots q_m$  for irreducibles  $p_i, q_j$  and  $n \le m$  WLOG, then we want to show that m = n and that  $p_i = u_i q_i$  with units  $u_i$  up to reordering for all i. We do so by induction on n.

(*Base case*) If  $p_1 = q_1 \dots q_m$  and  $p_1$  irreducible, then  $q_2 \dots q_m$  are all units. Hence, m = 1 and  $p_1 = q_1$ .

(*Inductive step*) Say we have already proven the statement for n = k. Then consider  $p_1p_2...p_{k+1} = q_1q_2...q_m$ . Since R is a PID where irreducible implies prime,  $p_1$  is a prime element dividing the product of primes  $q_1q_2...q_m$ , so we say WLOG  $p_1|q_1$ . This means that  $q_1 = u_1p_1$  for some  $u \in R$ , but since  $q_1$  is not reducible, it forces  $u_1$  to be a unit. Hence, we apply cancellation on both sides and get  $p_2...p_{k+1} = (u_1q_2)...q_m$ .

By inductive hypothesis, m-1=k and  $p_i,q_i$  are associates up to reordering for any i. Hence, the factorization must be <u>unique</u>.

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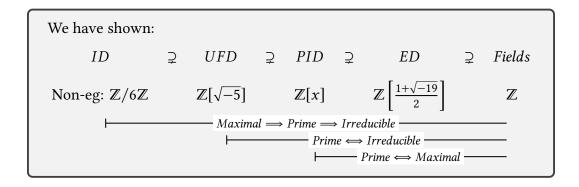
<sup>&</sup>lt;sup>1</sup>The proof that this is an ideal is as follows:

We first prove that  $\bigcup_{n=1}^{\infty} I_n$  is a subgroup of R under addition. Let  $r, s \in \bigcup_{n=1}^{\infty} I_n$ , where  $r \in I_k$  and  $s \in I_{k+i}$  for some  $k, i \in \mathbb{N}$ . Since  $I_1 \subseteq I_2 \subseteq ...$  are ideals of R, we know that  $r \in I_k$  implies that  $r \in I_{k+i}$ . Thus,  $r - s \in I_{k+i}$  due to  $I_{k+i}$  being an ideal. As  $I_{k+i} \subseteq \bigcup_{n=1}^{\infty} I_n$ , we have  $r - s \in \bigcup_{n=1}^{\infty} I_n$ , which means that  $\bigcup_{n=1}^{\infty} I_n$  is closed under additive inverse. Hence,  $\bigcup_{n=1}^{\infty} I_n$  is a subgroup of R under addition.

Then, we prove that for any  $t \in R$ ,  $r \in \bigcup_{n=1}^{\infty} I_n$ , we would have  $tr, rt \in \bigcup_{n=1}^{\infty} I_n$ . Since  $r \in \bigcup_{n=1}^{\infty} I_n$ , it must be true that  $r \in I_k$  for some  $k \in \mathbb{N}$ . Hence,  $tr, rt \in I_k$  due to  $I_k$  being an ideal. Therefore,  $tr, rt \in \bigcup_{n=1}^{\infty} I_n$  for any  $t \in R$ ,  $r \in \bigcup_{n=1}^{\infty} I_n$ .

 $tr, rt \in \bigcup_{n=1}^{\infty} I_n$  for any  $t \in R$ ,  $r \in \bigcup_{n=1}^{\infty} I_n$ .

In conclusion, since  $\bigcup_{n=1}^{\infty} I_n$  is a subgroup of R under addition with the property that  $tr, rt \in \bigcup_{n=1}^{\infty} I_n$  for any  $t \in R$ ,  $r \in \bigcup_{n=1}^{\infty} I_n$ , it is an ideal of R.



#### Field extensions

We observe that the polynomial  $x^2 - 2 \in \mathbb{Q}[x]$  is irreducible. If we have  $x^2 - 2 = p(x)q(x)$  where p,q nonunits, then  $\deg(p) + \deg(q) = 2$  and we cannot have any 0+2 combinations due to constants being units, we only have  $x^2 - 2 = (x - \sqrt{2})(x + \sqrt{2})$ , but  $x \pm \sqrt{2} \notin \mathbb{Q}[x]$ !

Since  $\mathbb{Q}[x]$  is a UFD, the irreducible element  $(x^2 - 2)$  is prime, and since  $\mathbb{Q}[x]$  is a PID,  $(x^2 - 2)$  is maximal which means that  $\mathbb{Q}[x]/(x^2 - 2)$  is a field.

Phase II plan: Field extensions!

Suppose F is a field and  $p(x) \in F[x]$  nonzero. Recall that F[x] is a ED with the norm function  $\deg(a(x))$  and long division of polynomials. Let  $a(x) + (p(x)) \in F[x]/(p(x))$ . By the division algorithm, we have a(x) = p(x)q(x) + r(x) for  $q(x), r(x) \in F[x]$  and  $\deg(r(x)) < \deg(p(x))$  or r(x) is the zero polynomial.

Now we see that since  $a(x) - r(x) \in (p(x))$ , they are in the same coset! Hence a(x) + (p(x)) = r(x) + (p(x)). We observe that every element of F[x]/(p(x)) can be represented by a polynomial of a degree less than  $\deg(p(x))$ . In other words, if  $\deg(p(x)) = n$ , then F[x]/(p(x)) is of the form

$$F[x]/(p(x)) = \left\{ \overline{a_0 + a_1 x + \dots + a_{n-1} x^{n-1}} \mid a_0, a_1, \dots, a_{n-1} \in F \right\}$$
  
= Span<sub>F</sub>{\bar{1}, \bar{x}, \dots, x^{\bar{n}-1}}

- $\leftarrow a(x)$  is a coset rep
- ← We can do division algorithm since this is an ED
- ← The expression under the bar functions like r(x)! Also note that span is just the set of linear combinations.

In fact, F[x]/(p(x)) is (partly) just a **vector space** over F...

We shall observe that it does not matter if we are using F or  $\bar{F}$ .

Consider  $\varphi: F \hookrightarrow F[x]/(p(x))$  where  $a \mapsto \bar{a}$ . We observe this is an **injective** map: whenever  $\deg(p(x)) = n > 0$ , we have  $\varphi(a) = \varphi(b)$  if and only if  $\bar{a} = \bar{b}$ , which happens if and only if  $a - b \in (p(x))$ ; but the difference of two constants always have  $\deg 0$  and cannot be in (p(x)) unless it is a straight zero, which tells us that  $\bar{a} = \bar{b}$  if and only if a = b. In other words, F[x]/(p(x)) contains an isomorphic copy of F, its field of scalars! Namely,  $F \cong \varphi(F) = \{\bar{a} \in F[x]/(p(x)) \mid a \in F\}$ .

← Why is this not the vector space over F/(p(x)) but just F? See the next paragraph.

...Hence, F[x]/(p(x)) is a vector space **of dimension** n over the scalar field F that also contains an isomorpic copy of F.

Moreover, if p(x) is irreducible, then (p(x)) is prime since this is an ED, and hence, it is also a maximal ideal, meaning that F[x]/(p(x)) is a field containing an isomorphic copy of F.

← all thanks to Euclidean domains!

**Definition 19.** Suppose  $F \subseteq K$  are fields. Then K is called a **field extension** of F.

• Notation: K/F or K/F or K/F or K/F (the lattice notation)

← Please, this is NOT a quotient. DO NOT CONFUSE THOSE!!

The dimension of K as a vector space over F is called the **degree** of the extension.

• Notation: [K : F]

But does my field *F* always have an extension? Here is a systematic way to get extensions:

**Example 21.** If  $p(x) \in F[x]$  is an irreducible polynomial of degree  $n \ge 1$  over the field F, then F[x]/(p(x)) is a **field extension** of F of degree n. Furthermore, if  $p(x) = a_0 + a_1x + \cdots + a_nx^n$ , then  $\bar{x}$  is a **root** of

← Since 
$$\varphi(F) \cong F$$
,  
and  $\varphi(F) \subseteq$   
 $F[x]/(p(x))$ 

$$\varphi(p(x)) = \bar{a}_0 + \bar{a}_1 \gamma + \dots + \bar{a}_n \gamma^n \in (F[x]/(p(x)))[\gamma]$$

because, plugging in  $y = \bar{x}$ , we get

$$\bar{a}_0 + \bar{a}_1\bar{x} + \dots + \bar{a}_n\bar{x}^n = \overline{p(x)} = \bar{0} \in F[x]/(p(x))$$

Hence, the isomorphic copy of the polynomial p(x) has **roots** in the field extension F[x]/(p(x)).

 $\leftarrow$  We think about modding out by (p(x)) as making it equal to zero, which is how we find roots.

So, what the hell is F[x]/(p(x))? We have already shown that the field extension F[x]/(p(x)) does indeed contain a root of p(x). Now we think about it **the other way around**: if we want to find an extension of F that contains a root of p(x), we would eventually get this one!

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Suppose  $p(x) \in F[x]$  is irreducible. Let K/F be an extension, and  $\alpha \in K$  a root of p(x). Denote by  $F(\alpha) \subseteq K$  the **smallest** subfield of K that contains both F and  $\alpha$ . Consider the map  $\varphi : F[x] \to F(\alpha) \subseteq K$  where  $q(x) \mapsto q(\alpha)$  is simply the evaluation at  $\alpha$  map. We note that  $p(x) \in \ker(\varphi) = (d(x))$  since an ED is a PID; this implies that p(x) = u(x)d(x). As p(x) is irreducible, u(x) must be a unit, which means p(x) and d(x) are associates and  $\ker(\varphi) = (p(x))$ . Therefore,

$$F[x]/(p(x)) = F[x]/\ker(\varphi) \cong \varphi(F[x]) \subseteq F(\alpha)$$

by first isomorphism theorem. However,  $F(\alpha) \subseteq K$  the **smallest** subfield of K that contains both F and  $\alpha$ , so  $\varphi(F[x])$  cannot be smaller than that. Hence, it must be true that  $\varphi(F[x]) = F(\alpha)$ .

← Observe that  $\varphi(F[x])$  is a field:  $\ker(\varphi)$  is a maximal ideal

Therefore,  $F(\alpha)$  is simply F[x]/(p(x)).

#### To summarize so far!

Suppose  $p(x) \in F[x]$  is an irreducible polynomial with coefficients in the field F.

• F[x]/p(x) is a **field** containing an isomorphic copy of F in which  $\overline{x} = x + (p(x))$  is a **root** of (the image of)  $p(y) \in (F[x]/(p(x)))[y]$ .

**Example 22.** In  $\mathbb{Q}[x]/(x^2 - 2)$ , we have  $x + (x^2 - 2)$  is a root of  $y^2 - \overline{2} \in (\mathbb{Q}[x]/(x^2 - 2))[y]$  because

$$(x + (x^2 - 2))^2 - (2 + (x^2 - 2))$$
  
=  $x^2 - 2 + (x^2 - 2)$  by coset addition & multiplication  
=  $0 + (x^2 - 2)$  since  $x^2 - 2 \in (x^2 - 2)$   
=  $\bar{0}$ 

Furthermore, if deg(p(x)) = n, then

$$F[x]/(p(x)) = \left\{ \overline{a_0 + a_1 x + \dots + a_{n-1} x^{n-1}} \mid a_0, a_1, \dots, a_{n-1} \in F \right\}$$

is a vector space over F of dimension n.

**Example 23.** 
$$\mathbb{Q}[x]/(x^2-2) = \{\bar{a}_0 + \bar{a}_1\bar{x} \mid a_0, a_1 \in \mathbb{Q}\} = \operatorname{Span}_{\mathbb{Q}}\{\bar{1}, \bar{x}\}$$

• If K/F is an extension and  $\alpha \in K$  is a root of p(x), denote by  $F(\alpha)$  the  $\leftarrow$  Read 'F adjoint  $\alpha$ ' smallest field containing F and  $\alpha$ .

$$K$$
 $F(\alpha)$ 

Figure 1: Field diagram

Then 
$$F(\alpha) \cong F[x]/(p(x))$$
, and

$$F(\alpha) = \left\{ \overline{a_0 + a_1 x + \dots + a_{n-1} x^{n-1}} \mid a_0, a_1, \dots, a_{n-1} \in F \right\}$$
  
=  $F[\alpha]$   $\leftarrow$  the polynomial of  $\alpha$  over  $F$ 

**Example 24.** 
$$\mathbb{Q}(\sqrt{2}) = \{a_0 + a_1\sqrt{2} \mid a_0, a_1 \in \mathbb{Q}\} = \mathbb{Q}[\sqrt{2}]$$

 $\leftarrow$  The eval map  $\varphi : F[x] \to F(\alpha)$  where  $f(x) \mapsto f(\alpha)$  has in fact  $\ker(\varphi) = (p(x))$  when  $\alpha$  is a root of p(x).

#### Irreducibility – a survey

**Proposition 21.** If  $p(x) \in F[x]$ , then  $\alpha \in F$  is a root *if and only if*  $x - \alpha$  divides p(x).

*Proof.* Write 
$$p(x) = (x - \alpha)q(x) + r(x)$$
 with  $q(x), r(x) \in F[x]$  and  $\deg(r(x)) = 0$  or  $r(x) = 0$ . Then  $0 = p(\alpha) = 0 + r(\alpha)$  which forces  $r(x) = 0$ .

Corollary 22. A degree-2 or -3 polynomial over a field F is irreducible *if and only if* it has no roots in F.

**Proposition 23.** Suppose  $p(x) = a_0 + a_1 x + \dots + a_n x^n \in \mathbb{Z}[x]$  with root  $\frac{c}{d}$  written in reduced from (i.e.  $\gcd(c,d) = 1$ ). Then  $\overline{(c|a_0 \text{ and } d|a_n)}$ .

Proof.

$$d^{n} \cdot p\left(\frac{c}{d}\right) = 0$$

$$0 = (a_{0}d^{n} + a_{1}d^{n-1}c + \dots + a_{n-1}dc^{n-1}) + a_{n}c^{n}$$

$$0 = a_{0}d^{n} + (a_{1}d^{n-1}c + \dots + a_{n-1}dc^{n-1} + a_{n}c^{n})$$

Looking at the 2nd line, since d divides all of the ones in the (), it must also divide the last term  $a_nc^n$ . However, since gcd(c,d) = 1, it forces d to divide  $a_n$ .

Similarly, we make the same argument for c and  $a_0$  using the 3rd line.

**Lemma 24.**  $(R/I)[x] \cong R[x]/(I)$  where (I) = I[x].

*Proof.* Consider the surjective homomorphism  $\pi: R[x] \to (R/I)[x]$ .

**Proposition 25** (Eisenstein's Criterion). Suppose  $f(x) = 1x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 \in \mathbb{Z}[x]$  is a monic polynomial and  $p \in \mathbb{Z}$  is a **prime** such that  $p \mid a_0, \ldots, a_{n-1}$  but  $p^2 \nmid a_0$ . Then f(x) is irreducible.

*Proof.* Assume BWOC that f(x) = a(x)b(x) for some nonunit  $a(x), b(x) \in \mathbb{Z}[x]$ , then

$$x^n = \bar{f}(x) = \bar{a}(x)\bar{b}(x)$$

in  $(\mathbb{Z}/p\mathbb{Z})[x] \cong \mathbb{Z}[x]/p\mathbb{Z}[x]$  since all other terms are divisible by p. Since  $\mathbb{Z}/p\mathbb{Z}$  does not contain any zero divisors,  $\bar{a}(x)$ ,  $\bar{b}(x)$  must have zero constant terms. Hence a(x), b(x) have constant terms that are multiples of p, so a(x)b(x) have constant term divisible by  $p^2$ . This is a contradiction with  $p^2 \nmid a_0$ .

**Lemma 26** (Gauss' Lemma). If  $p(x) \in \mathbb{Z}[x]$  is reducible in  $\mathbb{Q}[x]$ , then it is reducible in  $\mathbb{Z}[x]$ .

*Proof.* Suppose p(x) = a(x)b(x) for  $a(x), b(x) \in \mathbb{Q}[x]$ . Then by multiplying by coefficient denominators, for some  $m \in \mathbb{Z}$ , we could write  $m \cdot p(x) = c(x)d(x)$  for some  $c(x), d(x) \in \mathbb{Z}[x]$ . Now since  $m \in \mathbb{Z}$ , we could write  $m = q_1q_2...q_n$  be a product of irreducibles in  $\mathbb{Z}$ .

Now in  $(\mathbb{Z}/q_1\mathbb{Z})[x] \cong \mathbb{Z}[x]/(q_1\mathbb{Z})[x]$ , we observe that  $m \cdot p(x) = c(x)d(x) = q_1(q_2 \dots q_n)p(x)$ , meaning that

$$\overline{c(x)}\,\overline{d(x)} = \overline{q_1(q_2\dots q_n)p(x)} = \overline{0}$$

Since  $(\mathbb{Z}/q_1\mathbb{Z})[x] \cong \mathbb{Z}[x]/(q_1\mathbb{Z})[x]$  is an <u>integral domain</u>, WLOG,  $\overline{c(x)} = \overline{0}$  if and only if  $c(x) \in q_1\mathbb{Z}[x]$ , meaning that all coefficients of c(x) are multiples or  $q_1$ . Therefore,  $\frac{1}{q_1}c(x) \in \mathbb{Z}[x]$ .

 $\leftarrow$  since  $q_1$  is irreducible and hence prime in UFD

Now we repeat the process for all  $q_1, q_2, ..., q_n$  and we are done.

Recall that if  $F \subseteq K$  are fields,  $\alpha \in K$  and  $p(x) \in F[x]$  is irreducible with root  $\alpha$ , then

$$F[\alpha] = F(\alpha) \cong F[x]/(p(x)) = \{\overline{a_0 + a_1 x + \dots + a_{n-1} x^{n-1}} \mid a_0, a_1 \dots, a_{n-1} \in F\}$$

We observe that this has a few implications. For instance,  $F(\alpha)$  contains  $\frac{1}{\alpha}$ , meaning that it could also be written as a polynomial of  $\alpha$  with coefficients in  $F(\alpha)$ !

 since it is a field containing the mult. inverse of α

**Definition 20.** Suppose K/F is a field extension and  $\alpha \in K$ . We say that  $\alpha$  is **algebraic over** F if there exists  $p(x) \in F[x]$  such that  $p(\alpha) = 0$ . If not,  $\alpha$  is **transcendental**.

**Definition 21.** The extension K/F is an **algebraic extension** if **every** element  $\alpha \in K$  is algebraic over F.

**Example 25.**  $\pi$  is transcendental over  $\mathbb{Q}$  but algebraic over  $\mathbb{R}$  (since it is a root of  $x - \pi$ ).

**Proposition 27.** If K/F is a **finite extension**, then it is an algebraic extension.

*Proof.* Call [K:F]=n and let  $\alpha \in K$ . Then the n+1 elements  $\{1,\alpha,\alpha^2,\ldots,\alpha^n\}$ must be linearly dependent. Hence, by linear algebra, there exist  $a_0, a_1, \dots, a_n \in F$ not all zero such that the linear combination  $a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_n\alpha^n = 0$ . Hence,  $\alpha$  is a root of  $a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n = 0$ .

← finite extension just means finite degree  $[K:F]<\infty$ 

← since n + 1 > $\dim(K/F) = n$ 

**Corollary 28.** If K/F is an extension and  $\alpha \in K$ , then  $\alpha$  is algebraic over F if and only if  $[F(\alpha):F]<\infty$ .

Proof.

 $(\longleftarrow)$  Follows from prop.

 $(\Longrightarrow)$  If  $\alpha$  is algebraic, then there exists an irreducible polynomial p(x) with  $\alpha$  as a root and of degree  $n < \infty$ . Then  $F(\alpha) \cong F[x]/(p(x))$  is a n-dimensional vector space over *F*.

Another perspective:  $F(\alpha) = \operatorname{Span}_F\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}.$ 

← Review proof of

**Proposition 29.** Suppose K/F is an extension &  $\alpha \in K$  is algebraic over F. Then there exists a unique, irreducible, and monic polynomial  $m_{\alpha,F}(x) \in F[x]$  that has  $\alpha$  as a root.

**Remark.** We observe that m does depend on the base field F;  $m_{\sqrt{2},0}(x) = x^2 - 2$ , but  $m_{\sqrt{2}, O(\sqrt{2})}(x) = x - \sqrt{2}$ .

*Proof.* Since the subset of F[x] satisfying  $\alpha$  is a root is nonempty, we can pick one with a **minimal degree**. By multiplying by an element of F if necessary, we can assume WLOG this polynomial is **monic**. Call it  $m_{\alpha,F}(x)$ .

Assume BWOC that *m* is the product of two other polynomials of lesser degree such that  $m_{\alpha,F}(x) = a(x)b(x)$ , then we plug in  $0 = m_{\alpha,F}(\alpha) = a(\alpha)b(\alpha)$ . Since there are no zero divisors in F[x], WLOG  $a(\alpha) = 0$ , contradicting the minimality of  $m_{\alpha,F}$ . Hence  $m_{\alpha,F}$  is **irreducible**.

Then, BWOC if  $p(x) \in F[x]$  with  $\alpha$  as a root and is monic and irreducible, there exist  $q(x), r(x) \in F[x]$  such that  $p(x) = m_{\alpha,F}(x)q(x) + r(x)$  where  $\deg(r) < \deg(m_{\alpha,F})$ or r(x) = 0. Then, we observe that  $p(\alpha) = 0 = m_{\alpha,F}(\alpha)q(m_{\alpha,F}) + r(\alpha) = 0 + r(\alpha)$ . Thus,  $r(\alpha) = 0$ , so  $\deg(r) \ge \deg(m_{\alpha, F})$  unless r(x) = 0 by minimality. Hence we must have r(x) = 0, so  $m_{\alpha,F}|p$ . This contradicts the assumption that p is monic and irreducible. Therefore,  $m_{\alpha,F}$  is the **only** minimal, monic and irreducible polynomial where  $\alpha$  is a root. 

 $F(\alpha) \cong F[x]/(p(x)).$ 

 $\leftarrow$  So  $F(\alpha) \cong$  $F[x]/(m_{\alpha F}(x))$ 

**Definition 22.**  $m_{\alpha,F}(x)$  is the **minimal** polynomial of  $\alpha$  over F.

(The following is kind of on a tangent)

Some exam prep!

- In general, for subrings  $R \subseteq S$ , we have if  $r \in R^{\times}$ , then  $r \in S^{\times}$ .
- If we adjoint one root of an irreducible polynomial to a field, the fields are isomorphic no matter which root of that polynomial we adjoint.

(Tangent ends here)

To summarize, if K/F is a field extension and  $\alpha \in K$ , then  $\alpha$  is **algebraic** over F if it is the root of some polynomials in F[x]. For each algebraic  $\alpha$ , there exists a unique, monic, irreducible polynomial  $m_{\alpha,F}(x) \in F[x]$  such that  $m(\alpha) = 0$ . In that case, the degree of extension  $[F(\alpha):F] = \deg(m_{\alpha,F}(x))$ ; and, if  $p(\alpha) = 0$  for some  $p(x) \in F[x]$ , then  $m_{\alpha,F}|p(x)$ . In general, if  $[K:F] < \infty$ , then K/F is algebraic. Thus,  $[F(\alpha):F] < \infty$  if and only if  $\alpha$  is algebraic over F.

**Proposition 30.** If  $F \subseteq K \subseteq L$  are fields, then

$$[L:F] = [L:K] \cdot [K:F]$$

 $\leftarrow mn \begin{pmatrix} L \\ N \\ K \\ m \end{pmatrix}$ 

*Proof.* We first see that if  $[K:F] = \infty$ , then for any  $N \in \mathbb{N}$ , there exists  $\alpha_1, \ldots, \alpha_N \in K$  that are linearly independent over F. In that case, it is certainly true that  $\alpha_1, \ldots, \alpha_N \in L$  are linearly independent over F. Thus,  $[L:F] = \infty$ .

If  $[L:K] = \infty$ , then for any  $N \in \mathbb{N}$ , there exists  $\beta_1, \dots, \beta_N \in L$  that are linearly independent over K. As a result, it also is linearly independent over F. Hence,  $[L:F] = \infty$ .

If [K:F]=m and [L:K]=n, let  $\alpha_1,\ldots,\alpha_m\in K$  be a basis for K over F and  $\beta_1,\ldots,\beta_n\in L$  be a basis for L over K.

Claim: 
$$\{\alpha_i \beta_j \mid 1 \le i \le m, 1 \le j \le n\}$$
 forms a basis for  $L$  over  $F$ .

Some nice consequences:

- ← Linear independence implies that whenever  $a_1\alpha_1 + a_2\alpha_2 + \cdots + a_N\alpha_N = 0$  for some coefficients  $a_1, \ldots, a_N \in F$ , then necessarily  $a_1 = a_2 = \cdots = a_N = 0$ .
- ← Use linear combinations to prove this claim.

**Corollary 31.** Suppose K/F is an extension and  $\alpha, \beta \in K$  are algebraic over F. Then:

- $F(\alpha, \beta) = (F(\alpha)(\beta))$
- $[F(\alpha, \beta) : F] = [F(\alpha, \beta) : F(\beta)][F(\beta) : F] = \deg(m_{\alpha, F(\beta)}(x)) \cdot \deg(m_{\beta, F(x)})$ . However, note that the minimal polynomial

$$\leftarrow$$
 the smallest subfield of *K* containing *F*, *α*, *β*

$$\leftarrow \text{ since } p(\alpha) = 0 \iff m_{\alpha,F}(x)|p(x)$$

$$m_{\alpha,F(\beta)}(x) \mid m_{\alpha,F}(x) \in F(\beta)[x]$$

so  $\deg(m_{\alpha,F(\beta)}(x)) \leq \deg(m_{\alpha,F}(x))$ . Hence,

$$[F(\alpha, \beta) : F] \le \deg(m_{\alpha, F}(x)) \deg(m_{\beta, F}) < \infty$$

This means that whenever  $\alpha$ ,  $\beta$  are algebraic over F, we get that  $F(\alpha, \beta)/F$  is an algebraic extension.

• As a result,  $\alpha \pm \beta$ ,  $\alpha\beta$ ,  $\alpha/\beta$  are all algebraic over F. The algebraic elements hence form a **field**.

**Proposition 32.** Suppose K/F is an extension. Then  $[K:F] < \infty$  *if and only if*  $K = F(\alpha_1, ..., \alpha_n)$  could be written where  $\alpha_1, ..., \alpha_n \in K$  are algebraic over F.

In other words, an extension is finite *if and only if* it is generated by adjoining a finite amount of algebraic elements.

Proof.

( ⇒ ) If  $[K : F] < \infty$ , then suppose  $\{\alpha_1, ..., \alpha_n\}$  is a basis of K over F. Then  $\alpha_1, ..., \alpha_n$  are algebraic and every element of K is an F-linear combination of  $\alpha_i$ s. Hence K must be the smallest field containing F and  $\alpha_i$ s, which means  $K = F(\alpha_1, ..., \alpha_n)$ .

 $(\longleftarrow)$  We observe that

$$[K : F] = [(F(\alpha_1, \dots, \alpha_{n-1}))(\alpha_n) : F(\alpha_1, \dots, \alpha_{n-1})] \cdot \dots \cdot [F(\alpha_1) : F]$$

$$\leq \prod_{i=1}^n \deg(m_{\alpha_i, F}(x)) < \infty$$

**Corollary 33.** If L/K and K/F are algebraic extensions, then so is L/F.

← L/K and K/F need not be finite!

*Proof.* Suppose  $\alpha \in L$ . Since L/K is algebraic, there exists  $p(x) \in K[x]$  such that  $p(\alpha) = 0$ . Let  $\alpha_0, \dots, \alpha_n \in K$  be the coefficients of p(x), necessarily algebraic over F since K/F algebraic. Therefore,

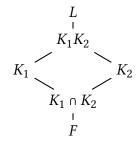
$$[F(a_0,\ldots,a_n,\alpha):F] = [(F(a_0,\ldots,a_n))(\alpha):F(a_0,\ldots,a_n)][F(a_0,\ldots,a_n):F]$$

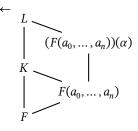
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Since  $p(\alpha) = 0$  has coefficients in  $K \supseteq F(\alpha_0, ..., \alpha_n)$ , we have  $[(F(a_0, ..., a_n))(\alpha) : F(a_0, ..., a_n)] < \infty$ . The second term is also clearly  $< \infty$ . Therefore,  $[F(a_0, ..., a_n, \alpha) : F] < \infty$ , meaning that  $\alpha$  is algebraic over F.

**Definition 23.** Suppose L/F is an extension &  $K_1$  and  $K_2$  are intermediate fields. The **composite** field  $K_1K_2$  is the smallest subfield of L containing  $K_1$  and  $K_2$ .





**Definition 24.** Suppose F is a field and  $p(x) \in F[x]$ . The **splitting field** of p(x) over F is the smallest field extension of F over which p(x) could be factored into **linear factors**.

**Remark.** If *E* is the splitting field of p(x) over *F* then  $[E:F] \le n!$  where  $n = \deg(p(x))$ .

**Remark.** Such an extension is called **normal**.

**Proposition 34.** Splitting fields exist.

*Proof outline.* By induction on  $\deg(p(x))$ , whose base case,  $\deg(p(x)) = 1$ , yields F as a splitting field. More generally, any p(x) has a root  $\alpha$  in  $F(\alpha) \cong F[x]/(q(x))$  for some irreducible q(x) so  $p(x) = (x - \alpha)f(x) \in F(\alpha)[x]$ . We observe that  $\deg(f(x)) = \deg(p(x)) - 1$ . Induction takes care of the rest.

**Remark.** K is a splitting field over F if and only if every irreducible  $p(x) \in F[x]$  that has one root in K has **all** its roots in K.

**Non-example 26.**  $\mathbb{Q}(\sqrt[3]{2})$  over  $\mathbb{Q}$  is not such an extension.

**Lemma 35.** Suppose  $\varphi: F_1 \to F_2$  is a field isomorphism,  $p_1(x) \in F_1[x]$ , and  $p_2(x) = \varphi((p_1(x)))''$  ( $\varphi$  applied to coeffs of  $p_1(x)$ ). Let  $\alpha_1$  be a root of the irreducible factor  $q_1(x)$  of  $p_1(x)$ , and let  $q_2(x) = \varphi(q_1(x))''$  and  $\alpha_2$  be a root of  $q_2(x)$ . Then there exists an isomorphism  $\tau: F_1(\alpha_1) \to F_2(\alpha_2)$  such that  $\tau(\alpha_1) = \alpha_2$  and  $\tau_{|F_1|} = \varphi$  (this means " $\tau$  restricted to  $F_1$ ").

 $\leftarrow$  The splitting field of p(x) over F is the same as the splitting field of f(x) over  $F(\alpha)$ 

← Assuming that splitting fields

exist and are

**unique** up to isomorphism.

← In this way,  $\varphi$  induce a ring isomorphism  $F_1[x] \to F_2[x]$ .

Proof outline.

$$F_{1}(\alpha_{1}) \xrightarrow{\sim} F_{1}[x]/(q_{1}(x)) \xrightarrow{\sim} F_{2}[x]/(q_{2}(x)) \xrightarrow{\sim} F_{2}(\alpha_{2})$$

$$\alpha_{1} \longrightarrow \bar{x} \longrightarrow \bar{x} \longrightarrow \alpha_{2}$$
if  $a \in F_{1}$ ,
$$a \longrightarrow \bar{a} \longrightarrow \overline{\phi(a)} \longrightarrow \phi(a)$$

**Proposition 36.** Suppose  $F_1$ ,  $F_2$ ,  $\varphi$ ,  $p_1(x)$  and  $p_2(x)$  are as in Lemma 35. Let  $E_1 \& E_2$  be splitting fields of  $p_1$  and  $p_2$  respectively. Then there exists an isomorphism  $\sigma: E_1 \to E_2$  such that  $\sigma_{|F_1|} = \varphi$ .

← if we set F<sub>1</sub> = F<sub>2</sub> and p<sub>1</sub> = p<sub>2</sub>, we get corollary: splitting fields are unique up to isomorphism.

*Proof.* Proceed by induction on  $\deg(p_1(x))$ . For the base case, if  $\deg(p_1(x)) = 1$ , then  $E_1 = F_1$  and  $\sigma = \varphi$ .

Assume the result is true for all polynomials of fixed degree  $k \geq 1$  and suppose  $\deg(p_1(x)) = k+1$ . Let  $\alpha_1$  be a root of  $p_1(x)$  and  $\alpha_2$  be a root of the  $\varphi$ -corresponding irreducible factor of  $p_2(x)$ . By Lemma 35,  $\varphi$  can be extended to  $\tau: F_1(\alpha_1) \to F_2(\alpha_2)$  such that  $\tau_{|F_1|} = \varphi$ .

In  $(F_1(\alpha_1))[x]$ , we can factor out  $p_1(x) = (x - \alpha_1)g_1(x)$ , and in  $(F_2(\alpha_2))[x]$  we factor  $p_2(x) = (x - \alpha_2)g_2(x)$  with  $g_2(x) = \tau(g_1(x))$ . We observe that  $E_1$  and  $E_2$  are the splitting fields of  $g_1$  and  $g_2$  over  $F_1(\alpha_1)$  and  $F_2(\alpha_2)$ !

splitting fields of  $g_1$  and  $g_2$  over  $F_1(\alpha_1)$  and  $F_2(\alpha_2)$ !

By inductive hypothesis,  $\tau$  could be extended to  $\sigma$  and  $\sigma_{|F_1(\alpha)} = \tau$  and  $\sigma_{|F_1} = \varphi$ .  $\square$ 

By inductive hypothesis,  $\tau$  could be extended to  $\sigma$  and  $\sigma_{|F_1(\alpha)} = \tau$  and  $\sigma_{|F_1} = \varphi$ . Corollary 37. Splitting fields are unique.

*Proof.* Set  $F_1 = F_2$ ,  $\varphi = \text{id}$ ,  $p_1(x) = p_2(x)$ .

 $\begin{array}{ccc}
\sigma : & E_1 \xrightarrow{\sim} E_2 \\
\tau : & F_1(\alpha_1) \xrightarrow{\sim} F_2(\alpha_2) \\
\varphi : & F_1 \xrightarrow{\sim} F_2
\end{array}$ 

(The following is kind of on a tangent)

Homework hint: the proof of existence & uniqueness of splitting fields relied on inductive arguments where we adjoin one root at a time. This is the same as saying  $E = F(\alpha_1, ..., \alpha_n)$  but this tends to overlook isomorphic ways to adjoin roots. In this context, it is convenient to start by considering a specific K containing F and all roots of p(x). In that case,  $E = F(\alpha_1, ..., \alpha_n)$  becomes more rigorous.

(Tangent ends here)

**Definition 25.** A polynomial is called **separable** if it doesn't have repeated roots. **Definition 26.** Suppose  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$ . The **formal derivative** of f(x) is the polynomial

$$D_x f(x) = f'(x) = na_n x^{n-1} + (n-1)a_{n-1} x^{n-2} + \dots + a_1$$

← First note that a poly of degree n over a field has exactly n roots.

From this definition, we can check that the usual differential rules hold.

**Lemma 38.** Suppose F is a field, f(x) is a polynomial in F[x], and E/F a field extension containing a root  $\alpha$  of f(x). Then  $\alpha$  is a repeated root of f(x) if and only if  $\alpha$  is a root of the formal derivative f'(x).

*Proof.* If  $\alpha$  is a repeated root of f(x) then  $f(x) = (x-\alpha)^2 g(x)$  for some  $g(x) \in E[x]$ . In that case,  $f'(x) = 2(x-\alpha)g(x) + (x-\alpha)^2 g'(x)$  and so  $f'(\alpha) = 0$ .

Conversely, if  $f'(\alpha) = 0$ , then differentiating  $f(x) = (x - \alpha)h(x)$  (where  $h(x) \in E[x]$ ) and plugging  $x = \alpha$  yields  $0 = f'(\alpha) = h(\alpha) + (\alpha - \alpha)h'(\alpha) = h(\alpha)$ . This is saying that  $h(x) = (x - \alpha)g(x)$  for some  $g(x) \in E[x]$ .

**Lemma 39.** If  $f(x) \in F[x]$  is irreducible and not separable, then f'(x) = 0.

*Proof.* If f(x) is not separable, we know that there is at least one repeated root. We call it  $\alpha$ . Then let  $m_{\alpha,F}(x)$  be the minimal polynomial of  $\alpha$  over F and we have  $f(x) = c \cdot m_{\alpha,F}(x)$  for some constant  $c \in F$ . Therefore,  $\deg(f(x)) = \deg(m_{\alpha,F}(x))$ . However, by the previous lemma,  $f'(\alpha) = 0$  must also exist since  $\alpha$  is a repeated root. If  $f'(x) \neq 0$ , then we found a polynomial with degree less than the minimal polynomial that has  $\alpha$  as a root, which cannot happen. Therefore, f'(x) = 0.

If f(x) is not constant and f'(x) = 0, then  $\operatorname{char}(F) = p > 0$  and  $f(x) = g(x^p)$ . **Proposition 40.** If  $\operatorname{char}(F) = 0$ , or  $|F| < \infty$  and  $\operatorname{char}(F) = p$ , then every irreducible polynomial in F[x] is separable.  $\leftarrow$  All powers in f(x) are multiples of p(x).

*Proof.* For the case of char=0, it follows from 39.

For the case of char>0, suppose F is a finite field of  $p^n$  elements<sup>2</sup>. Then the map  $F \to F$  where  $\alpha \mapsto \alpha^p$  is a field isomorphism. Hence, every element of F is a  $p^{th}$  power.

Now suppose BWOC  $f(x) = \sum_{i=0}^{n} a_i x^i \in F[x]$  is an irreducible but not separable polynomial. Therefore, f'(x) = 0 must be true. This happens *if and only if*  $f(x) = \sum_{j=0}^{m} a_{jp} x^{jp}$ , that is, the x in all terms are of  $p^{th}$  degree. However, we know that all elements  $a_{ip} \in F$  are already the  $p^{th}$  powers of sth else  $(b_{ip})^p = a_{ip}$ , so

$$f(x) = \sum_{j=0}^{m} (b_{jp}^{p}) x^{jp}$$

- ← Use binomial theorem.
- ← Such fields are called **perfect**.

<sup>&</sup>lt;sup>2</sup>See Section 27

and by reverse Binomial Theorem, we get

$$f(x) = \sum_{j=0}^{m} (b_{jp}^{p}) x^{jp} = \left(\sum_{j=0}^{m} b_{jp} x^{j}\right)^{p}$$

is not irreducible!

**Non-example 27.** Let 
$$F = \mathbb{F}_p(t) = \left\{ \frac{f(t)}{p(t)} \mid f(t), g(t) \in \mathbb{F}_p[t], g(t) \neq 0 \right\}.$$

Then  $p(x) = x^p - t$  is not separable (but it is irreducible). This can be seen if we suppose  $\alpha$  is a root of p(x) (so  $\alpha = t$ ). Then, in  $F(\alpha)[x]$ , we have  $p(x) = x^p - t = x^p - \alpha^p = (x - \alpha)^p$ , which tells us p(x) is not separable.

← This is a field of char>0 but is infinite.

← The coefficients of p(x) are ratios of polys in  $\mathbb{F}_n(t)$ .

(The following is kind of on a tangent)

#### Prime fields

Suppose R is a commutative ring with identity. The map  $\mathbb{Z} \to R$  where  $n \mapsto \pm (\underbrace{1_R + 1_R + \dots + 1_R}_{|n| \text{ times}})$  (– if n < 0) is a <u>ring homomorphism</u> with kernel  $n\mathbb{Z}$  where

← check it!

 $n = \operatorname{char}(R)$ . So:

- if char (R) = 0, then R contains  $\mathbb{Z}$ ;
- if char (R) = n > 0, then R contains  $\mathbb{Z}/n\mathbb{Z}$ .

If *F* is a field, then:

- if char (F) = 0, then F conatins  $\mathbb{Q}$ ;
- if char (F) = p > 0, then p prime and F contains  $\mathbb{Z}/p\mathbb{Z}$ .

In other words, every field is an extension of  $\mathbb{Q}$  or  $\mathbb{F}_p$ . Moreover, a finite field is a *finite* extension of  $\mathbb{F}_p$ : if  $[F : \mathbb{F}_p] = n$ , then  $|F| = p^n$ .

In addition,  $|F - \{0\}| = p^n - 1 \implies \text{if } \alpha \in F - \{0\} \text{ then } \alpha^{p^n - 1} = 1$ , implying that if  $\alpha \in F$ , then  $\alpha^{p^n} = \alpha$ , meaning that  $\alpha$  is a root of  $x^{p^n} - x \in F[x]$ . Therefore, F is the splitting field of  $x^{p^n} - x$ . But splitting fields are unique, so we conclude that there is only one unique finite field for each order.

- ← That is, F is an extension of  $\mathbb{Z}/p\mathbb{Z}$ !
- ← All elts of F are  $a_0 + a_1x_1 + \cdots + a_nx_n$  where  $a_i \in \mathbb{F}_p$ , so we have  $p^n$  choices.
- ← By Lagrange's Theorem

(Tangent ends here)

**Definition 27.** An algebraic extension K/F is called (algebraically) **separable** if  $m_{\alpha,F}(x)$  is separable for **all**  $\alpha \in K$ .

### **Galois Theory**

**Definition 28.** A finite extension K/F is called **Galois** if K/F is <u>normal</u> and separable.

**Definition 29.** If K/F is an extension, then the **automorphism group** of K/F is defined as

$$\operatorname{Aut}(K/F) = \{ \sigma \in \operatorname{Aut}(K) \mid \sigma(a) = a \, \forall \, a \in F \}$$

That is, all the automorphisms of K that also fix the field F.

**Galois theory** is concerned with the study of roots of polynomials by way of automorphisms of splitting fields (of separable polynomials). In particular, we are interested in what

$$Aut(K) = {\sigma : K \to K \text{ isomorphisms}}$$

(a group under composition) is. Naturally, such groups are finite.

← review MATH171 finite groups!

← normal just means it is a splitting field

of something

Last time, we showed that  $K \supseteq \left\{ egin{aligned} \mathbb{Q} & \text{if } \operatorname{char}(K) = 0 \\ \mathbb{F}_p & \text{if } \operatorname{char}(K) = p \\ \end{aligned} \right\}$ , since  $\sigma(1) = \sigma(1^2) = (\sigma(1))^2$  implies that  $\sigma(1) = 1$  must always be true! Hence,  $\sigma(n) = n$  must be true in char 0 fields, or  $\sigma(\bar{n}) = \bar{n}$  if char>0 for all  $n \in \mathbb{Z}$ . Therefore,  $\sigma$  **fixes** the prime subfield  $\mathbb{Q}$  or  $\mathbb{F}_p$ .

**Remark.** Why does Definition 29 have to fix the field F? Because we've shown that  $\operatorname{Aut}(K) = \begin{cases} \operatorname{Aut}(K/\mathbb{Q}) & \text{if } \operatorname{char}(K) = 0 \\ \operatorname{Aut}(K/\mathbb{F}_p) & \text{if } \operatorname{char}(K) = p \end{cases}$ .

**Lemma 41.** If K/F is an extension,  $\alpha \in K$  is algebraic over F and  $\sigma \in \operatorname{Aut}(K/F)$ , then  $\sigma(\alpha)$  is a root of  $m_{\alpha,F}(x)$ .

*Proof.* Observe that  $m_{\alpha,F}(\alpha) = 0 = \sigma(0) = \sigma(m_{\alpha,F}(\alpha))$ . Hence, since  $\sigma(\alpha) = \alpha$  for all  $\alpha \in F$ ,

$$\sigma(a_0 + a_1\alpha + \dots + a_n\alpha^n) = a_0 + a_1\sigma(\alpha) + \dots + a_n\sigma(\alpha)^n = m_{\alpha,F}(\sigma(\alpha))$$

So if  $f(x) \in F[x]$ , then every  $\sigma \in \operatorname{Aut}(K/F)$  **permutes** the roots of f(x) that lie in K. It would be nice if the roots of f(x) all lived in K. This is why we consider K the splitting field of some polynomial over F.

**Proposition 42.** If K is the splitting field of some polynomial f(x) over F (so  $[K:F] < \infty$ ), then  $|\operatorname{Aut}(K/F)| \le [K:F]$ , with equality if f(x) is separable.

← Recall: if irreducible f(x) has one root in such K, then all roots lie in K.

*Proof.* We will prove a more general statement by induction. If  $\sigma: F_1 \to F_2$  is an isomorphism,  $f_1(x) \in F_1[x]$  and  $f_2(x) = \sigma(f_1(x)) \in F_2[x]$  and  $E_1$  and  $E_2$  are the splitting fields of  $f_1$  and  $f_2$  over  $F_1$  and  $F_2$  respectively. Then we would like to show that there are at most  $[E_1:F_1]$  isomorphisms  $\tau:E_1 \to E_2$  such that  $\tau_{|F_1|} = \sigma$  with equality if  $f_1$  separable.

 $\leftarrow$  The prop above fixes  $F_1 = F_2$  and σ being identity.

Base case. If  $[E_1:F_1]=[E_2:F_2]=1$ , then  $E_1=F_1$ ,  $E_2=F_2$  and  $\tau=\sigma$  is our only choice.

 $\tau: E_{1} \xrightarrow{\sim} E_{2}$   $\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$   $<n: : : : \qquad \qquad \downarrow$   $\rho: F_{1}(\alpha) \xrightarrow{\sim} F_{2}(\beta)$   $\sigma: F_{1} \xrightarrow{\sim} F_{2}$ 

Inductive step. Suppose we've proven the result for all extensions of degree < n for some  $n \ge 2$ . Now consider  $[E_1 : F_1] = [E_2 : F_2] = n$ . Pick  $\alpha \in E_1 \backslash F_1$  and let  $\beta \in E_2$  be any root of  $\sigma(m_{\alpha,F_1}(x))$ . Then  $\sigma$  could be extended to  $\rho: F_1(\alpha) \to F_2(\beta)$  such that  $\rho(\alpha) = \beta$  and  $\rho_{|F_1} = \sigma$ . Observe that  $[F_1(\alpha) : F_1] = \deg(m_{\alpha,F_1}(x))$ . Moreover, the number of extensions of  $\sigma$  to  $\rho$  equals the number of distinct roots of  $\sigma(m_{\alpha,F_1}(x))$ . Thus, the number of extensions of  $\sigma$  to  $F_1(\alpha)$  is at most the degree of  $m_{\alpha,F_1}(x)$  which is  $[F_1(\alpha) : F_1]$  with equality if  $m_{\alpha,F_1}(x)$  is separable. Since  $[E_1 : F_1] = [E_2 : F_2] = n$ , we have  $[E_1 : F_1(\alpha)] < n$ , by inductive hypothesis, there are at most  $[E_1 : F_1(\alpha)]$  ways of extending  $\rho$  to  $\tau: E_1 \to E_2$ . Hence,

|{extensions of  $\sigma$  to  $\tau$ }| = |{extensions of  $\sigma$  to  $\rho$ }||{extensions of  $\rho$  to  $\tau$ }|  $\leq [F_1(\alpha) : F_1][E_1 : F_1(\alpha)]$   $= [E_1 : F_1]$ 

Looking at the case  $F_1 = F_2$ ,  $E_1 = E_2$ ,  $\sigma = \mathrm{id}$ , we get our result.

**Definition 30.** If K/F is a normal extension, then the extension is **Galois** if  $[K:F] = |\operatorname{Aut}(K/F)|$ .

**Remark.** Notation: if K/F is Galois, then use Gal(K/F) for Aut(K/F).

## Fixed Fields and Automorphism Groups

**Definition 31.** Suppose K/F is a field extension. If subgroup  $H \leq \operatorname{Aut}(K/F)$ , then the **fixed field** of H is given by  $K_H = \{\alpha \in K \mid \sigma(\alpha) = \alpha \text{ for all } \sigma \in H\}$ .

Observe that K<sub>H</sub> is indeed a field (the sum, products etc. are also fixed by σ); moreover, it is an intermediate

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**Remark.** Also, observe that if  $F \subseteq E \subseteq K$ , then  $\operatorname{Aut}(K/E) \leq \operatorname{Aut}(K/F)$ .

**Lemma 43.** Suppose K/F is an extension. Then:

- (1) If  $H_1, H_2 \leq \text{Aut}(K/F)$  with  $H_1 \leq H_2$ , then  $K_{H_2} \subseteq K_{H_1}$ .
- (2) If  $F \subseteq E_1 \subseteq E_2 \subseteq K$  are two intermediate extensions, then  $\operatorname{Aut}(K/E_2) \leq \operatorname{Aut}(K/E_1) \leq \operatorname{Aut}(K/F)$ .

**Example 28.**  $\mathbb{Q}$  is an intermediate extensions of  $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$ . Then  $\operatorname{Aut}(\mathbb{Q}/\mathbb{Q})=\{1\}$ . We further observe that since automorphisms permute roots,  $\operatorname{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})=\{1\}$ . Hence  $\operatorname{Aut}(\mathbb{Q}/\mathbb{Q})=\operatorname{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})$  and so the fixed field by  $\mathbb{Q}(\sqrt[3]{2})$  is given by  $\mathbb{Q}(\sqrt[3]{2})_{\operatorname{Aut}(\mathbb{Q}/\mathbb{Q})}=\mathbb{Q}(\sqrt[3]{2})$ . We note that  $\mathbb{Q}(\sqrt[3]{2})$  is not Galois!

**Theorem 44** (The Fundamental Theorem of Galois Theory). If K/F is a (finite) Galois extension, then the maps  $H \mapsto K_H$  and  $E \mapsto \operatorname{Aut}(K/E)$  gives an *inclusion-reversing* **bijection** between the subgroups of  $\operatorname{Aut}(K/F)$  and the intermediate extensions of K/F.

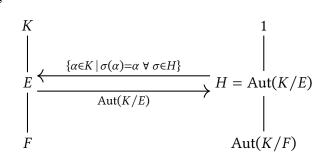
Furthermore,  $[K : E] = |\operatorname{Aut}(K/E)|$ , and

$$[E:F] = |\operatorname{Aut}(K/F): \operatorname{Aut}(K/E)| = |\operatorname{Aut}(K/F)|/|\operatorname{Aut}(K/E)|$$

Moreover, E/F is Galois *if and only if* Aut(K/E) is a **normal subgroup** of Aut(K/F), in which case

$$Aut(E/F) = Aut(K/F) / Aut(K/E)$$

In other words,



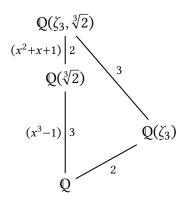
where H is a subgroup of  $\operatorname{Aut}(K/F)$  that fixes the field E, an extension of F contained in K. If  $H \subseteq \operatorname{Aut}(K/F)$ , then E/F is normal.

**Example 29.** Consider  $\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q}$ , the splitting field extension of  $x^3 - 2$ .

- ← Roots of  $x^3 2$  are  $\sqrt[3]{2}$ ,  $\sqrt{2}$ ,  $\sqrt[3]{2}$ , so two roots are not in  $\mathbb{Q}(\sqrt[3]{2})$ , and so  $\sqrt[3]{2}$  could be only mapped to itself.
- ← We see if K/F is Galois, then K/E is also Galois as if Kis the splitting field of some poly in F, then it's certainly true for E.

←  $|\zeta_3| = 3$ , a primitive 3rd root of unity.

<sup>&</sup>lt;sup>3</sup>Suppose  $\zeta$  is a primitive nth root of unity; then so is  $\zeta^k$  if and only if the gcd (k, n) = 1, i.e. k, n are relatively prime.



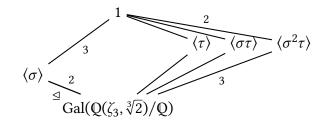
Let  $\sigma, \tau \in \operatorname{Aut}(\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q})$  be given by:

$$\sigma: \left\{ \begin{array}{l} \sqrt[3]{2} \mapsto \zeta_3 \sqrt[3]{2} \\ \zeta_3 \mapsto \zeta_3 \end{array} \right. \quad \tau: \left\{ \begin{array}{l} \sqrt[3]{2} \mapsto \sqrt[3]{2} \\ \zeta_3 \mapsto \zeta_3^2 \end{array} \right.$$

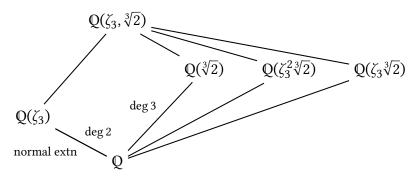
So 
$$\langle \sigma, \tau \mid \sigma^3 = \tau^2 = id, \sigma\tau = \tau\sigma^2 \rangle \cong S_3$$
.

Since this is a subgroup of  $Gal(\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q})$  that has the same finite order of 6, this must just be  $Gal(\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q})$  itself; hence,  $Gal(\mathbb{Q}(\zeta_3, \sqrt[3]{2})/\mathbb{Q}) \cong S_3$ .

Now we look at the subgroups of  $S_3$  (in reverse):



And then think about the **fixed field** of each subgroup correspondingly:



Note that the normal extension corresponds to the normal subgroup!

← Remember normal extn means 'is splitting field', i.e. 'one root -> all

roots'

← Isomorphisms preserve order, so

unity!

they **must** take an *n*th root of unity to another *n*th root of

←  $\mathbb{Q}(\sqrt[3]{2})$  is not Galois!

**Proposition 45** (Primitive Element Theorem). If K/F is a **finite Galois** extension, then  $K = F(\alpha)$  for some  $\alpha \in K$ .

**Definition 32.**  $K = F(\alpha)$  is a **simple** extension of F and  $\alpha$  is a primitive element.

*Proof.* We first assume  $|F| = \infty$ .

Recall that K/F is finite *if and only if*  $K = F(\alpha_1, \alpha_2, ..., \alpha_n)$  where  $\alpha_i$  is algebraic over F. We will proceed by induction on n, whose base case n = 1 gives a simple extension  $F(\alpha_1)/F$ .

Recursive case: assume that for some  $k \ge 1$  we have  $F(\alpha_1, ..., \alpha_k)$  being a simple extension  $F(\alpha)$ . Let K/F be Galois and  $K = F(\alpha_1, ..., \alpha_{k-1}, \alpha, \beta)$ .

Let  $E = F(\alpha_1, ..., \alpha_{k-1})$  and consider the intermediate family of extensions  $\{E(\alpha + t\beta) \mid t \in F\}$ . Since  $|\operatorname{Gal}(K/F)| < \infty$  as we are talking about finite Galois extensions, there are finitely many distinct such extensions, so  $E(\alpha + t_1\beta) = E(\alpha + t_2\beta)$  for some  $t_1 \neq t_2$ .

← such that  $K = E(\alpha, \beta)$ 

Now we see that  $\alpha + t_1\beta$  and  $\alpha + t_2\beta$  must be in the same field  $E(\alpha + t_1\beta)$ . Hence,  $(\alpha + t_1\beta) - (\alpha + t_2\beta)$  are in the field, so  $(t_1 - t_2)^{-1} ((\alpha + t_1\beta) - (\alpha + t_2\beta)) = \beta$  is also in the field. Similarly,  $\alpha \in E(\alpha + t_1\beta)$ . Therefore,  $K = E(\alpha, \beta) = E(\alpha + t_1\beta) = F(\alpha_1, \dots, \alpha_{k-1}, \alpha + t_1\beta)$ , which has k elements adjoined and is therefore simple.  $\square$ 

**Remark.** Above is true for char 0 fields even without the 'Galois' hypothesis.

*Proof outline.* Since K is a finite extension of F with  $[K:F] < \infty$ , then there must be  $K = F(\alpha_1, ..., \alpha_n)$  for some algebraic  $\alpha_1, ..., \alpha_n \in K$ .

Let E be the splitting field of  $\prod_{i=1}^n m_{\alpha_i,F}(x)$ . We call E the **Galois closure** of K over F. Now, we have  $E \supset K \supset F$  and E/F is Galois. Thus, there are finitely many intermediate fields between K and F. We can then use a similar proof for Proposition 45.

← Idea: if anything is not Galois, we add enough things to it to make it Galois!

### Cyclotomic fields

**Definition 33.** Suppose K is a field. An element  $z \in K$  is called an n-th root of unity if  $z^n = 1$ ; and z is a **primitive** n-th root of unity if  $z^k \neq 1$  for any  $1 \leq k \leq n-1$ .

**Remark.** z is a **primitive** n-th root of unity *if and only if* |z| = n in  $K^* = K \setminus \{0\}$ .

**Remark.** z is an n-th root of unity if and only if z is a root of  $x^n - 1$ .

**Lemma 46.** If K is a field containing one primitive n-th root of unity, then K contains exactly n roots of unity, exactly  $\varphi(n)$  of which are primitive.

Remark. Recall:

 $\leftarrow$  *φ*(*n*) is Euler's totient function, the count of integers < *n* that are relatively prime to *n*.

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- $z^n = 1$  if and only if |z| divides n.
- $|z^m| = \frac{|z|}{\gcd(m,|z|)}$ .
- $|z^m| = |z|$  if and only if (m, |z|) = 1.

**Example 30.** If |z| = 10, then  $|z^6| = 5 = \frac{10}{(6,10)}$ 

*Proof.* If  $z \in K$  is a primitive n-th root of unity, then every element of  $\langle z \rangle$  is an n-th root of unity, and so a root of  $x^n - 1$ ; but  $|\langle z \rangle| = |z| = n$ , so the subgroup  $\langle z \rangle$  generated by z must consist of all of the n roots of  $x^n - 1$ . Furthermore,  $z^m$  is also a primitive n-th root of unity *if and only if* (m,n) = 1; thus, there are exactly  $\varphi(n)$  such elements in  $\langle z \rangle$ .

In  $\mathbb{C}$ , we have  $e^{i\frac{2\pi}{n}}$  is a primitive n-th root of unity. Suppose  $\zeta_n \in \mathbb{C}$  is a primitive n-th root of unity.

**Definition 34.** The **cyclotomic polynomial** is given by

$$\Phi_n(x) = \prod_{\substack{0 \le k < n \\ (n,k)=1}} (x - \zeta_n^k)$$

**Properties:** 

- $x^n 1 = \prod_{0 \le k < n} (x \zeta_n^k) = \prod_{\substack{d | n \ (n,k) = d}} (x \zeta_n^k) = \prod_{\substack{d | n \ (n,k) = d}} (x \zeta_n^k)$
- $\deg(\Phi_n(x)) = \varphi(n)$
- $n = \sum_{d|n} \varphi(d)$

**Example 31.**  $6 = \varphi(1) + \varphi(2) + \varphi(3) + \varphi(6)$ 

(The following is kind of on a tangent)

**Remark.** If *K* is a finite field, then  $K^{\times} = \langle z \rangle$ .

*Proof.* Since K is finite, it must be an extension of  $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  for some prime p; so  $|K^{\times}| = p^n - 1$  since  $|K| = p^n$ . For  $d|p^n - 1$ , let  $\mathcal{O}_d = \{z \in K^{\times} \mid |z| = d\}$ . Observe that  $K^{\times} = \bigcup_{d|p^n-1} \mathcal{O}_d$  is a disjoint union, and so

$$|K^{\times}| = \sum_{d|p^n-1} |\mathcal{O}_d| = p^n - 1 = \sum_{d|p^n-1} \varphi(d)$$

which implies that  $|\mathcal{O}_d| = \varphi(d)$  for all  $d|p^n - 1$ . Hence, in particular,  $\mathcal{O}_{p^n - 1}$  is nonempty, so any  $z \in \mathcal{O}_{p^n - 1}$  generates  $K^{\times}$ .

**Remark.** This implies that the Primitive Element Theorem (see Proposition 45) is also true for finite fields.

(Tangent ends here)

**Remark.**  $\Phi_n(x)$  is irreducible over  $\mathbb{Q}$ , and so it is the minimal polynomial  $m_{\zeta_n,\mathbb{Q}}(x)$ .

**Lemma 47.** Suppose F is a field where all irreducible polynomials are separable. Let  $p(x) \in F[x]$  irreducible and split completely in a Galois extension K/F, and let  $\alpha, \beta$  be two roots of p(x). Then there exists  $\sigma \in Gal(K/F)$  such that  $\sigma(\alpha) = \beta$ .

$$\begin{array}{ccc}
\sigma : K \to K \\
F(\alpha) \to F(\beta) \\
\text{id} : F \to F
\end{array}$$

**Definition 35.** The extension  $\mathbb{Q}(\zeta_n)/\mathbb{Q}$  is called the *n*-th **cyclotomic extension** of  $\mathbb{Q}$ .

**Remark.** All other primitive *n*-th roots of unity are of the form  $\zeta_n^a$  w/ (a, n) = 1, so  $\mathbb{Q}(\zeta_n)$  is the splitting field of  $\Phi_n(x)$  over  $\mathbb{Q}$ , so the extension is Galois.

If  $\sigma \in \text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$ , then  $\sigma$  is completely determined by  $\sigma(\zeta_n)$ . But  $\sigma(\zeta_n)$  must be another primitive n-th root of unity, so  $\sigma(\zeta_n)$  must be  $\zeta_n^a$  for some 0 < a < n with (a, n) = 1.

Moreover, by Lemma 47, each a corresponds to a Galois automorphism  $\sigma$ ; in fact, the map  $\operatorname{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \xrightarrow{\sim} (\mathbb{Z}/n\mathbb{Z})^{\times}$  where  $\sigma \mapsto \bar{a}$  is a **group isomorphism**.

**Definition 36.** A Galois extension K/F is abelian if Gal(K/F) is abelian. **Corollary 48.**  $\mathbb{Q}(\zeta_n)/\mathbb{Q}$  is abelian.

← All finite field extensions are abelian

**Theorem 49** (Kronecker-Wober). If  $K/\mathbb{Q}$  is abelian, then  $K \subseteq \mathbb{Q}(\zeta_n)$  for some n.

## Radical extensions and soluble groups

**Example 32.** Suppose K is the splitting field of  $x^4 - 2$  over Q. Then  $K = \mathbb{Q}(\sqrt[4]{2}, i)$ .  $\leftarrow$  since  $\zeta_4 = i$ 

Let 
$$\sigma: \begin{cases} \sqrt[4]{2} \mapsto i\sqrt[4]{2} \\ i \mapsto i \end{cases}$$
 and  $\sigma: \begin{cases} \sqrt[4]{2} \mapsto \sqrt[4]{2} \\ i \mapsto -i \end{cases}$ .

We look at the subgroup generated by  $\sigma$ ,  $\tau$ :

$$\langle \sigma, \tau \mid \sigma^4 = \tau^2 = 1, \sigma\tau = \tau\sigma^3 \rangle \cong D_8$$

Since  $[K/\mathbb{Q}] = 8$ , we have found the Galois group of  $K/\mathbb{Q}$ .