

# Developing Methods for Comparison of Cartridge Breechface Images



Xiao Hui Tai

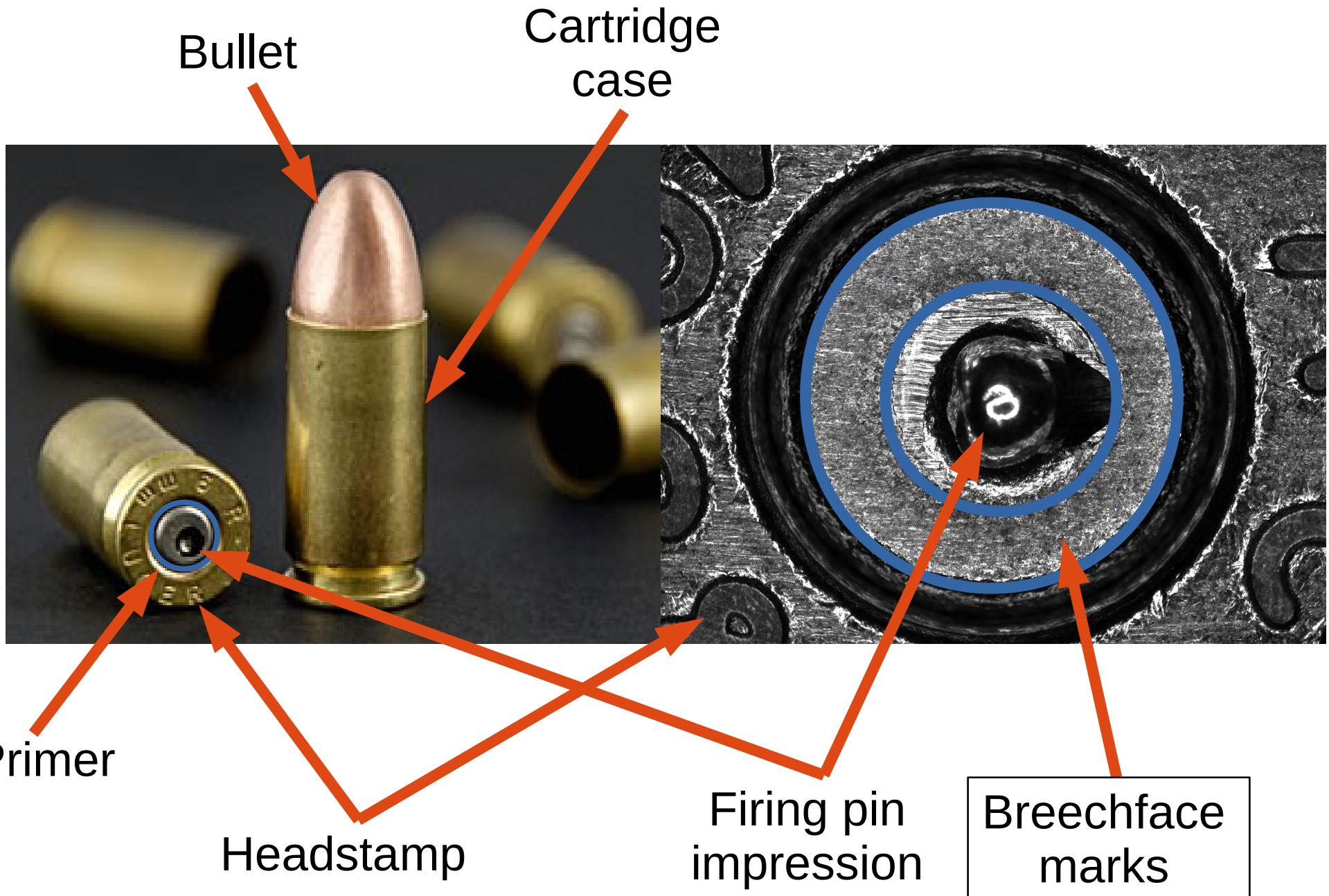
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M1911.ORG

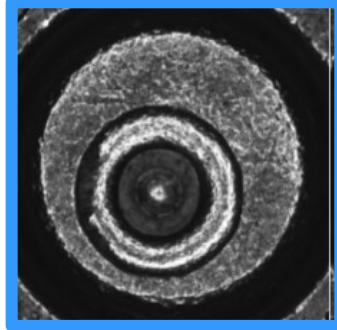
gifs.com

**Firing a gun leaves marks on the bottom surface of the cartridge case**

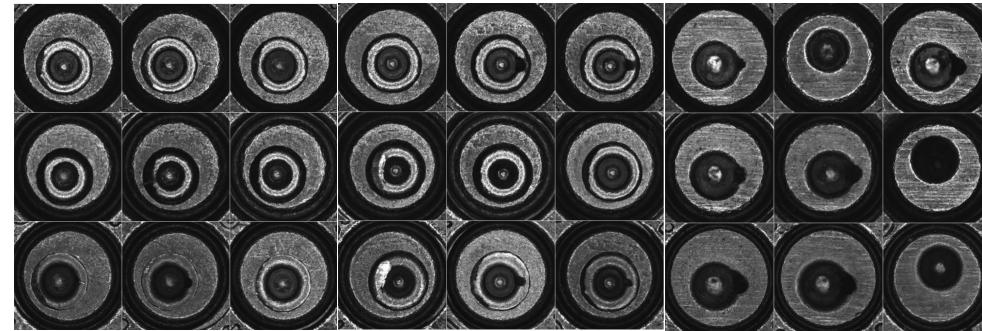


Each gun is thought to produce unique marks <sub>3</sub>

# Research Questions



New Image



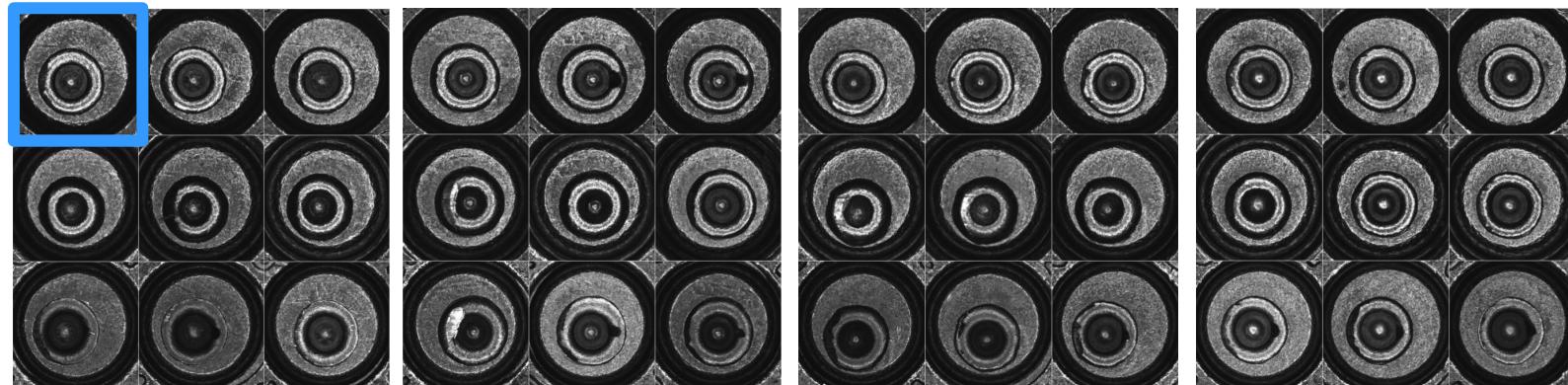
Database of Images

**How similar is the new image to images in the database?**

**What is the probability of obtaining this result by chance?**

# 108 images, 12 guns (9 images per gun)

Ruger

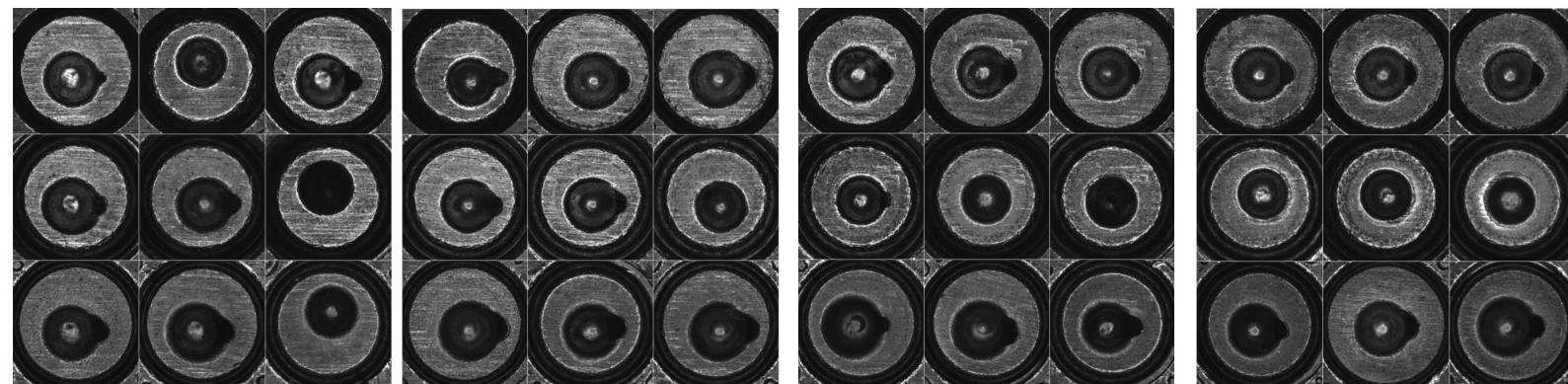


PMC

Remington

Winchester

Sig  
Sauer

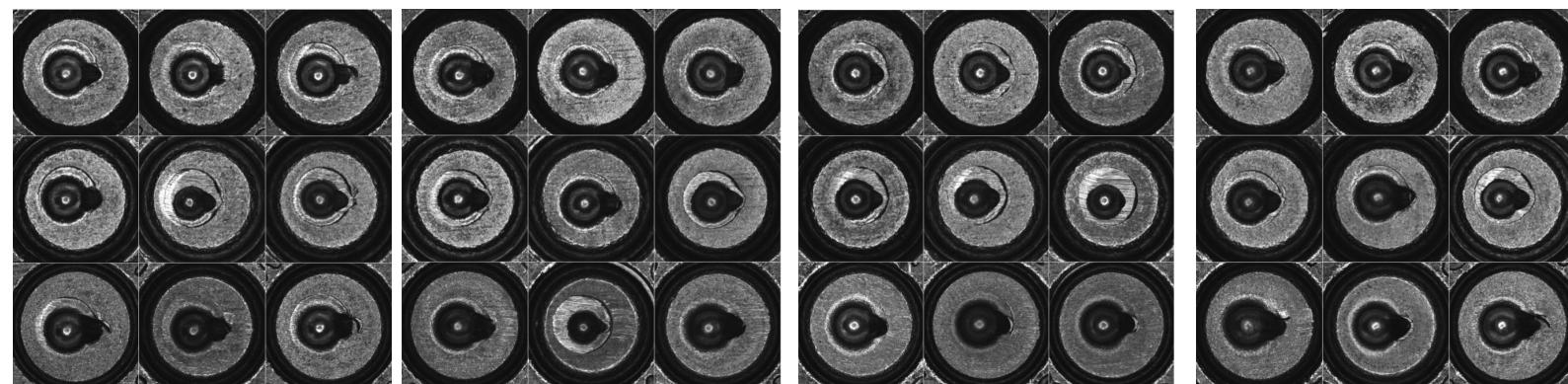


PMC

Remington

Winchester

Smith &  
Wesson



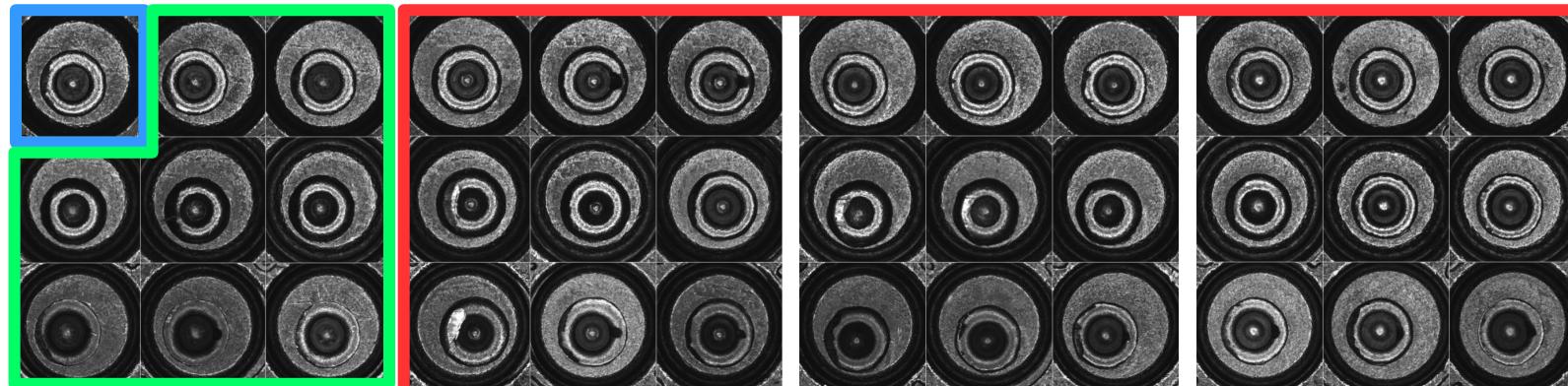
PMC

Remington

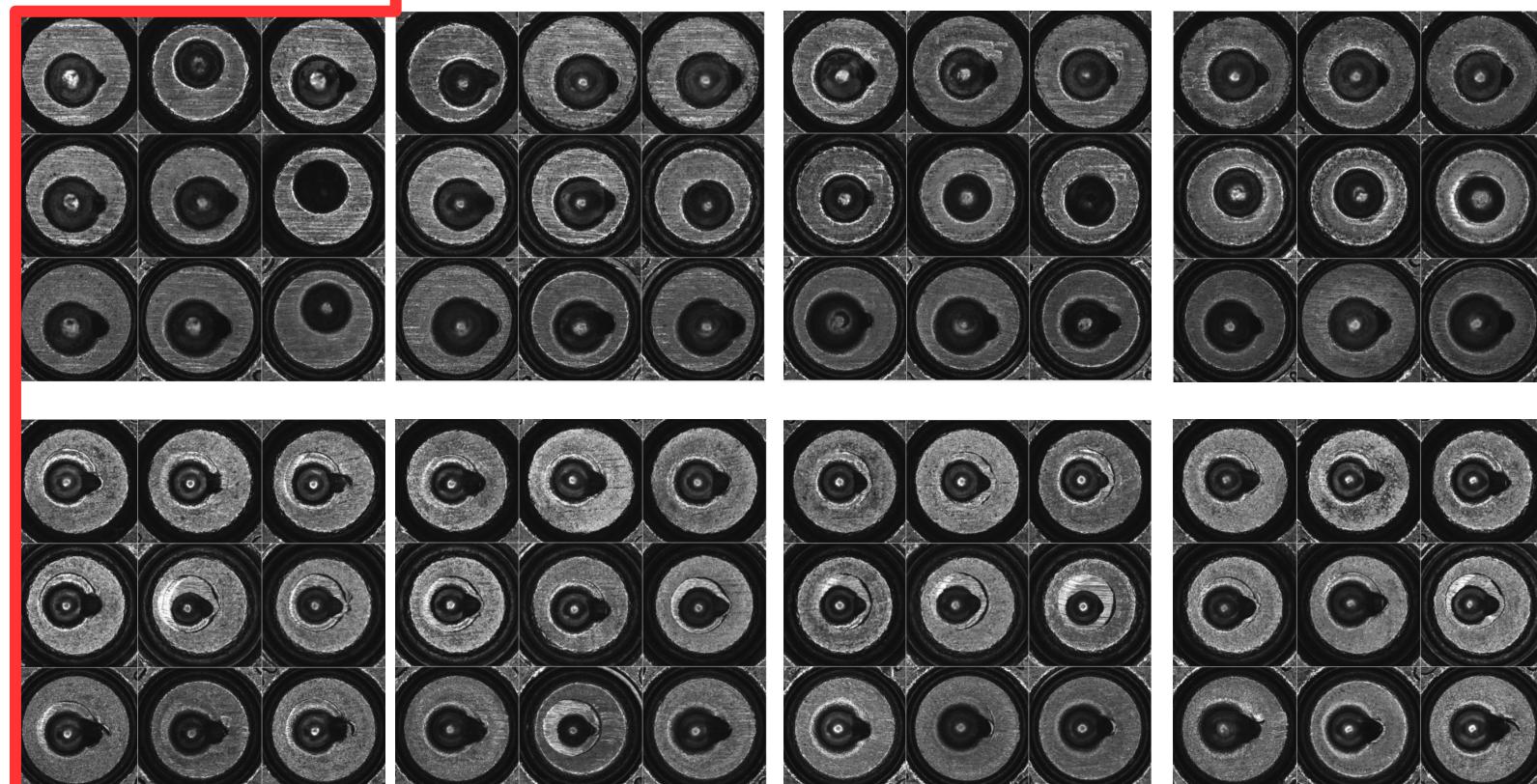
Winchester

# 108 images, 12 guns (9 images per gun)

True  
Matches



True  
Non-  
matches



# Steps for One Pairwise Comparison

**1) Automatically select breechface marks**

**2) Level image**

**3) Remove circular symmetry**

**4) Outlier removal and filtering**

**5) Maximize correlation by translations and rotations**

**6) Compute probability of obtaining a higher score by chance**

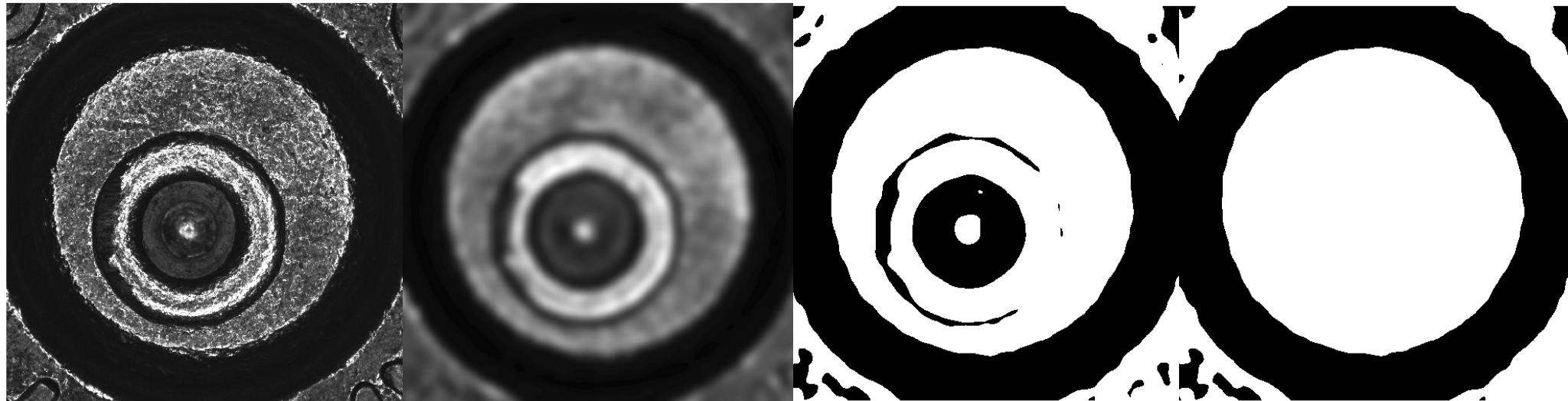


Pre-process

Compute  
similarity  
metric

Quantify  
uncertainty

# Step 1: Select Breechface Marks

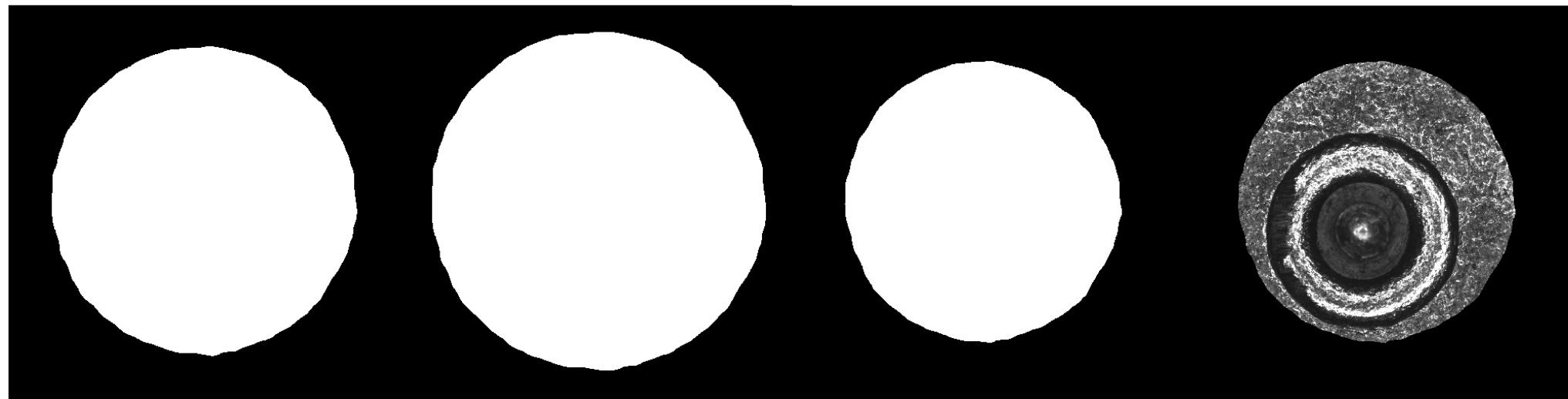


Original image

Apply a Gaussian filter

Histogram equalization  
with 2 bins

Flood fill

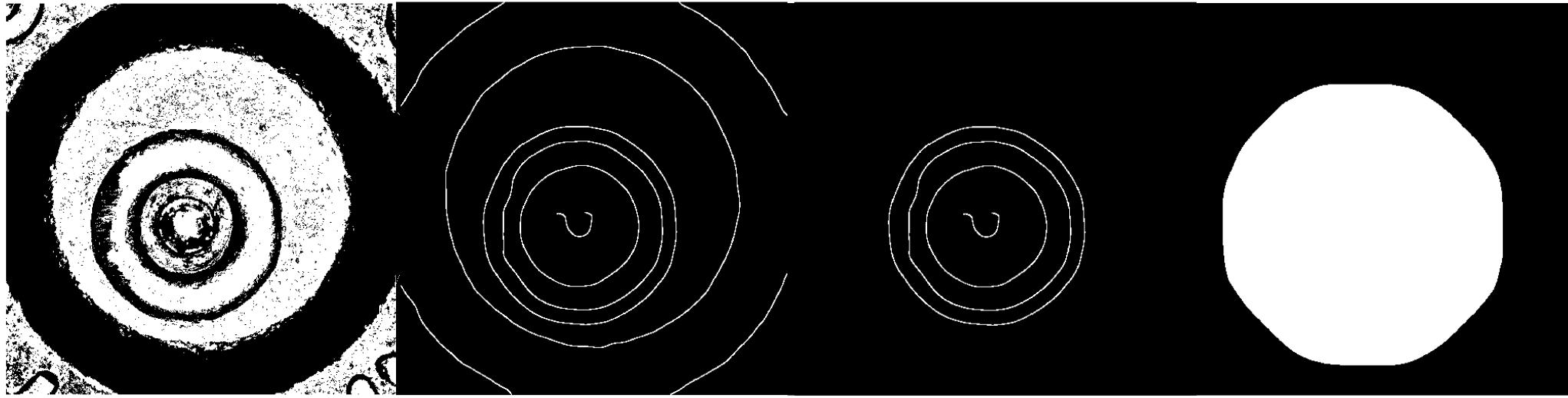


Select center region

Dilate

Erode

Selected  
primer region

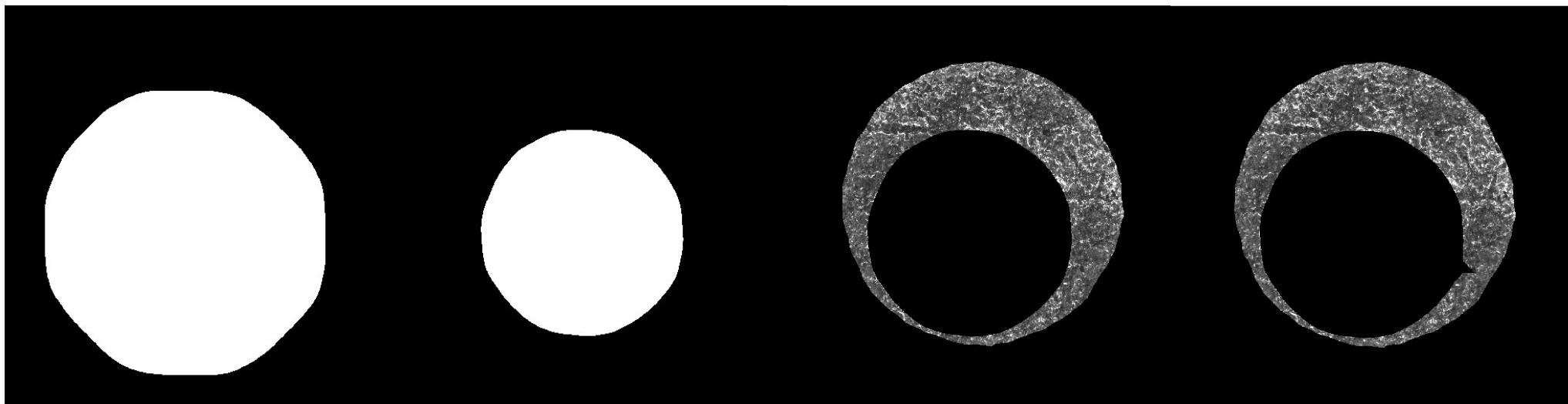


Histogram equalization  
with 2 bins

Canny edge detector

Only consider  
primer region

Dilate



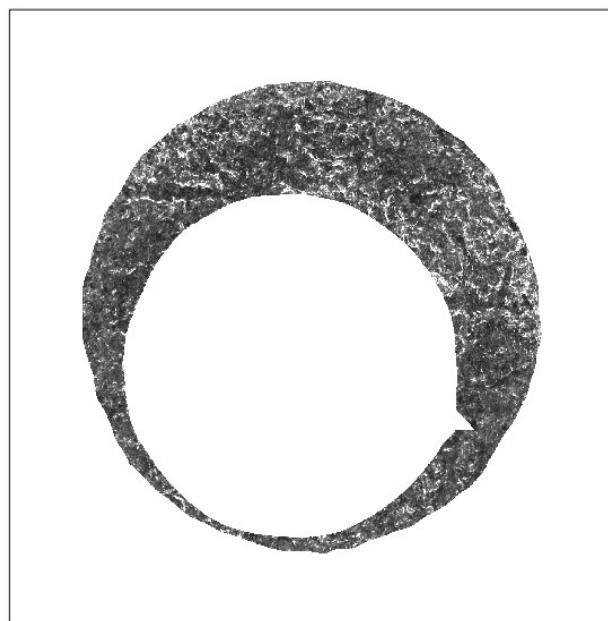
Flood fill

Erode

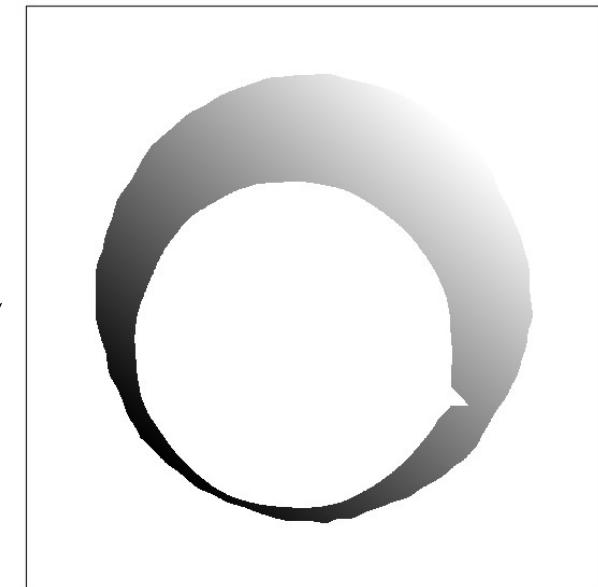
Currently selected firing  
pin region

Second pass

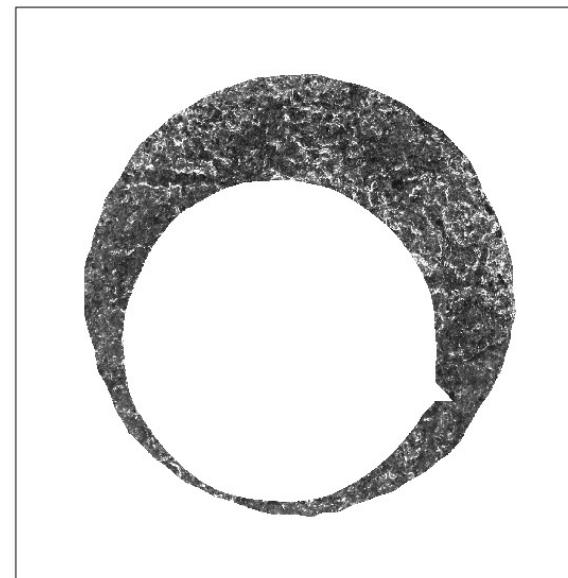
# Step 2: Level Image



Original



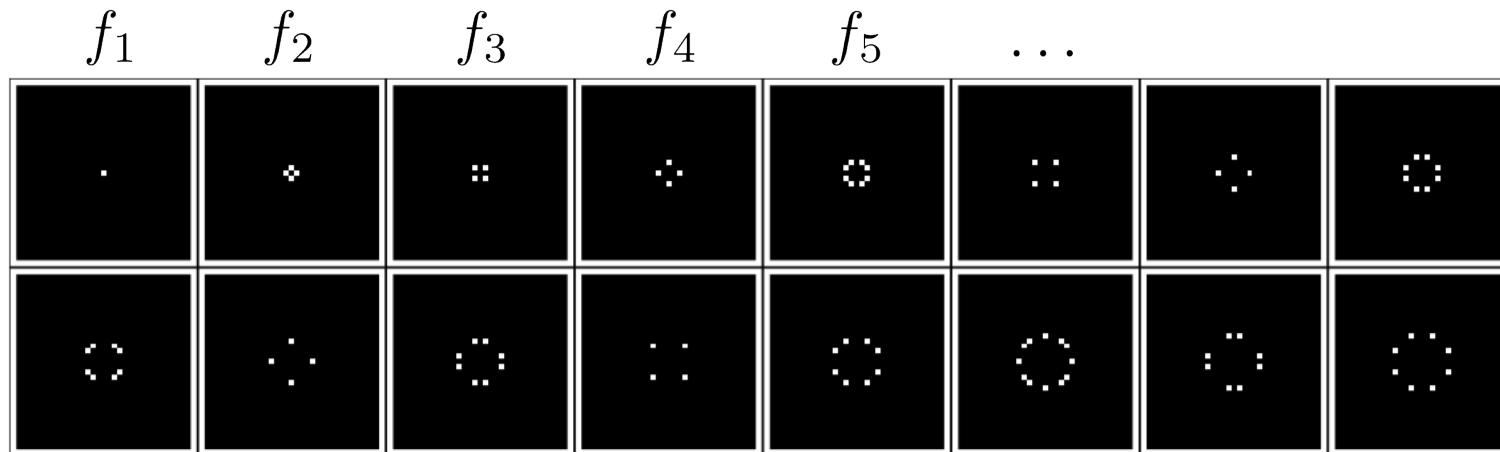
Fitted  
Plane



Residuals

# Step 3: Remove Circular Symmetry

Decompose each image into a linear combination of circularly symmetric basis

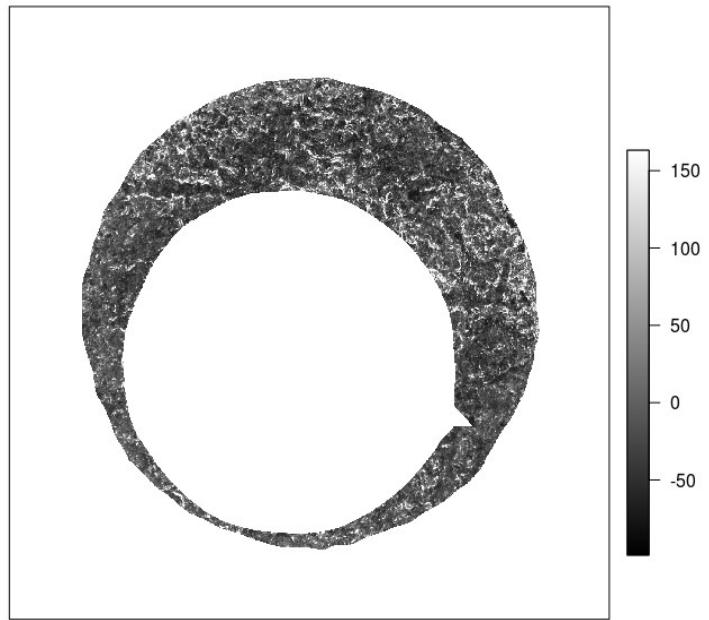


$$Image(i, j) = \sum_{k=1}^K \beta_k f_k(i, j) + \epsilon(i, j)$$

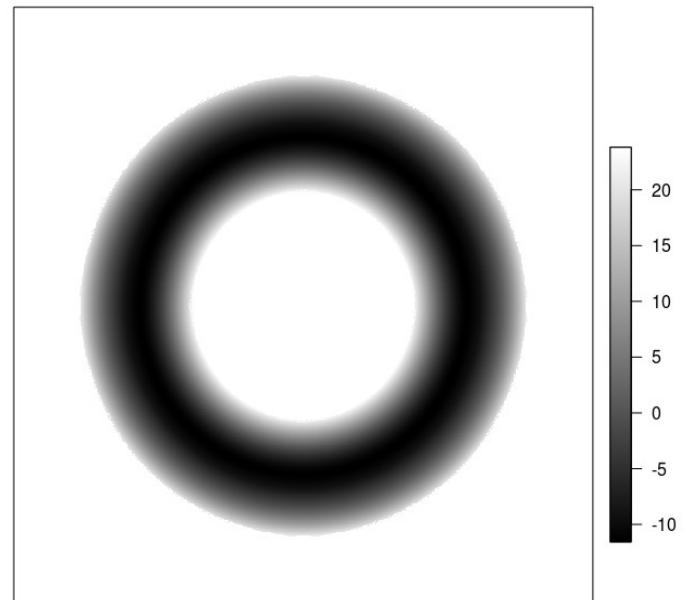
- $K$  is the number of basis functions,
- $f_k$  is the  $k$ th basis function, and
- $\beta_k$  is the basis function coefficient for  $f_k$ .

Captures  
circular  
symmetry

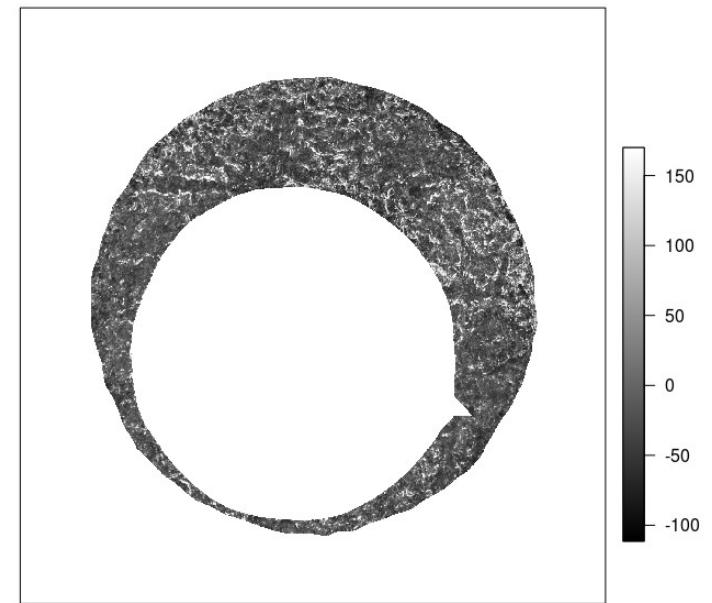
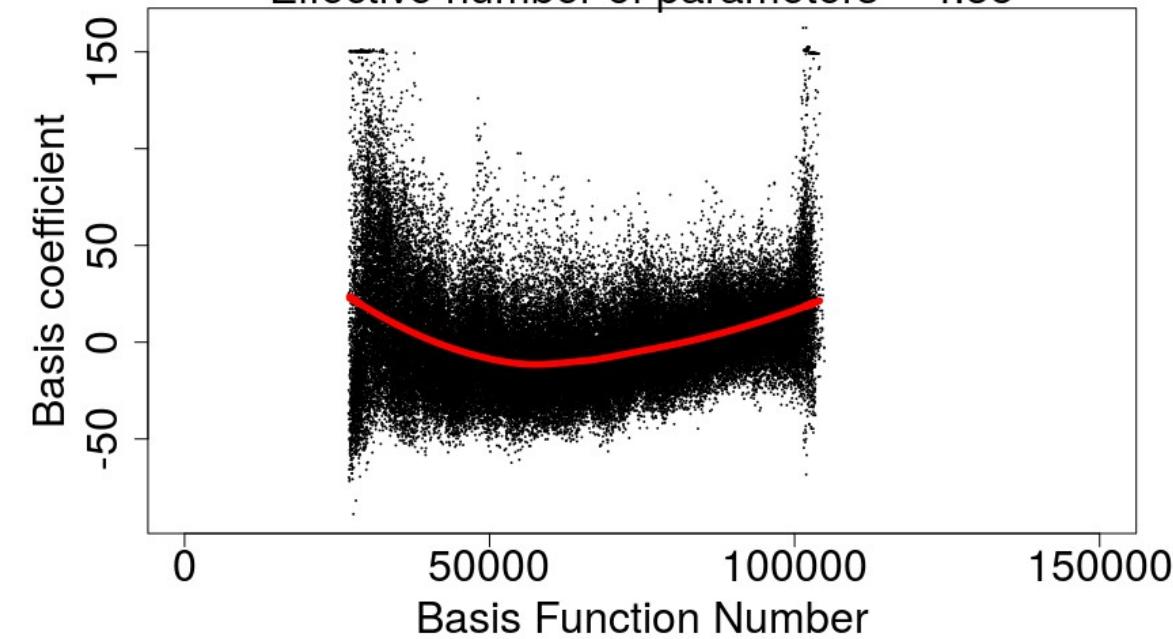
Residuals from previous step



Fitted Circularly Symmetric



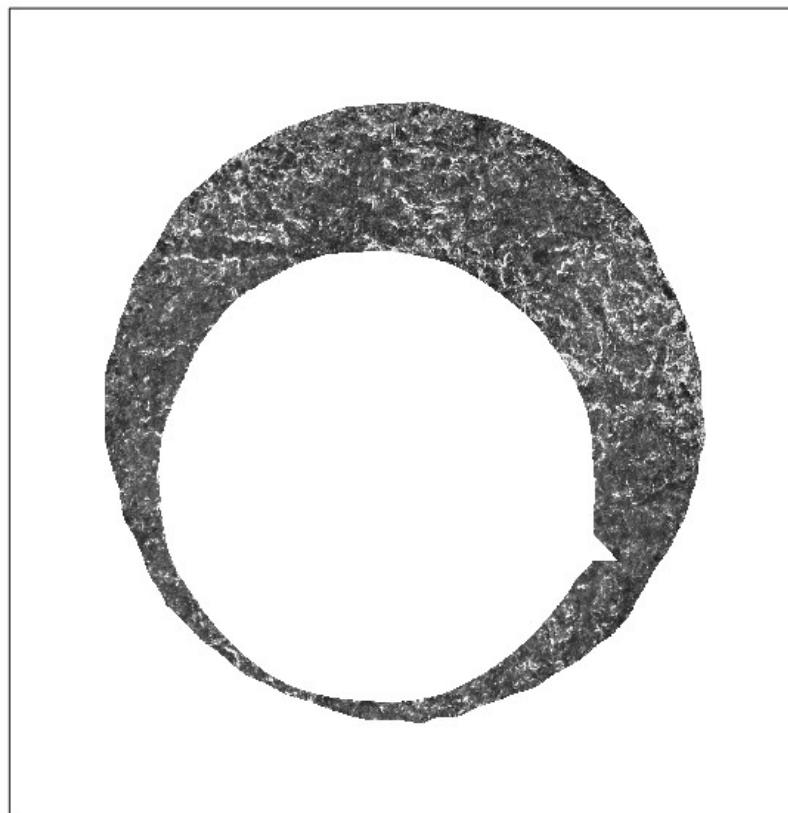
**Coefficients for Each Basis Function**  
Effective number of parameters = 4.35



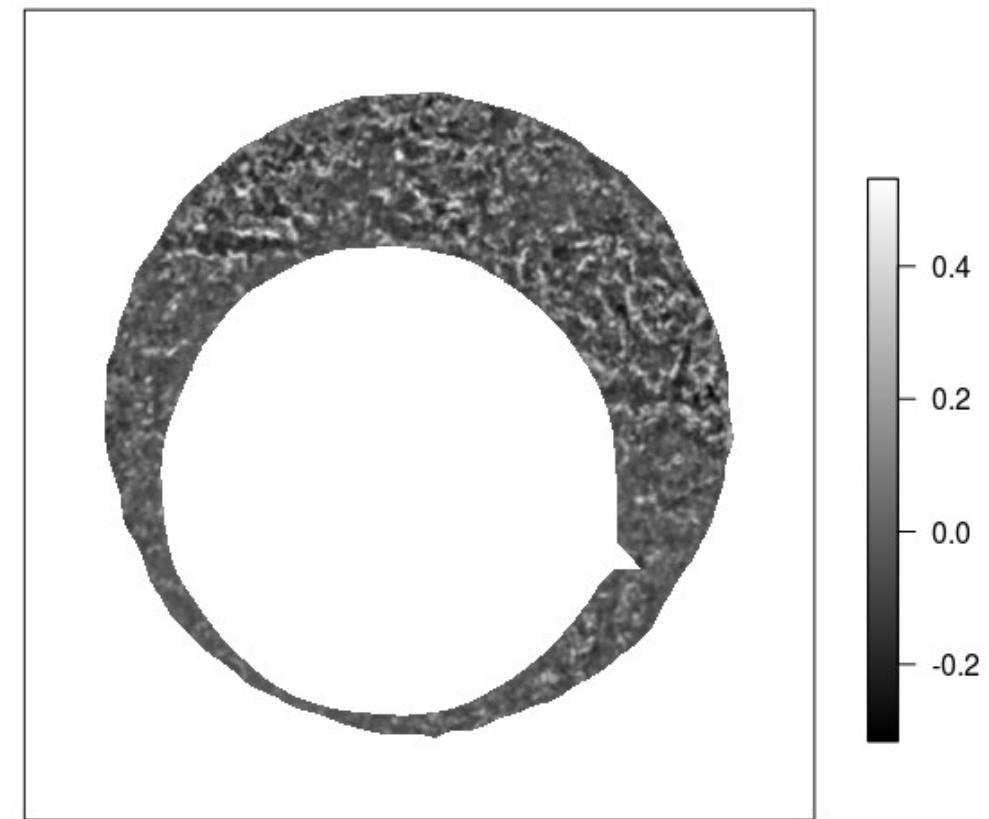
Residuals

# Step 4: Outlier Removal and Filtering

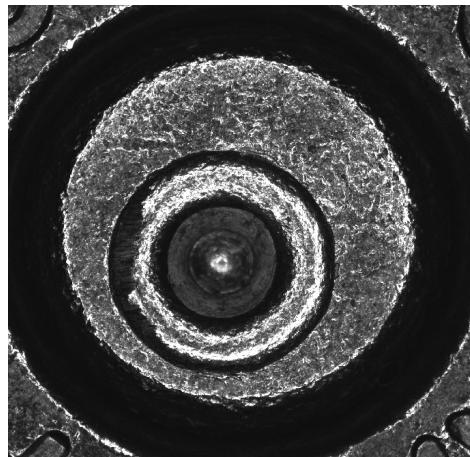
Residuals from Previous Step



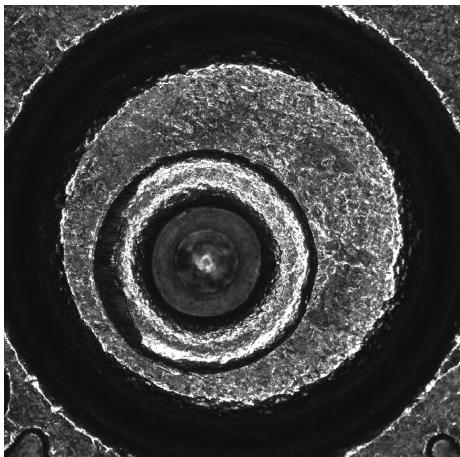
After All Pre-processing



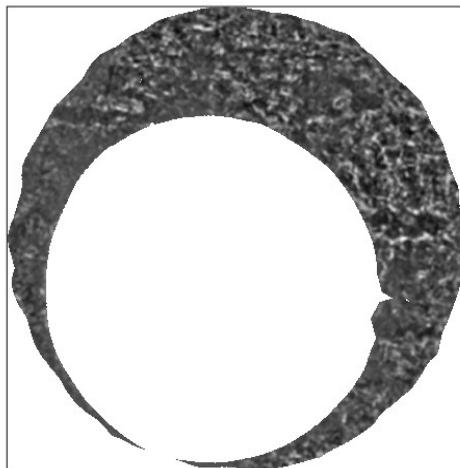
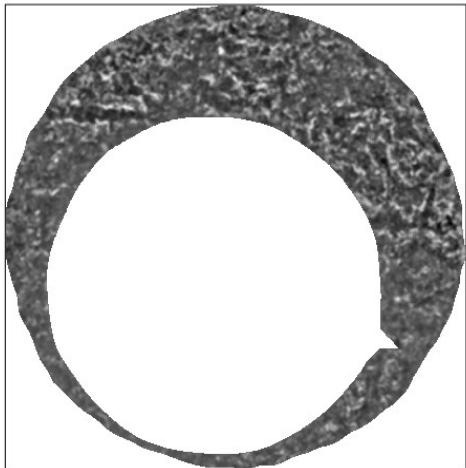
# Step 5: Maximize Correlation by Translations and Rotations



Reference Image



Comparison Image



For each rotation angle,

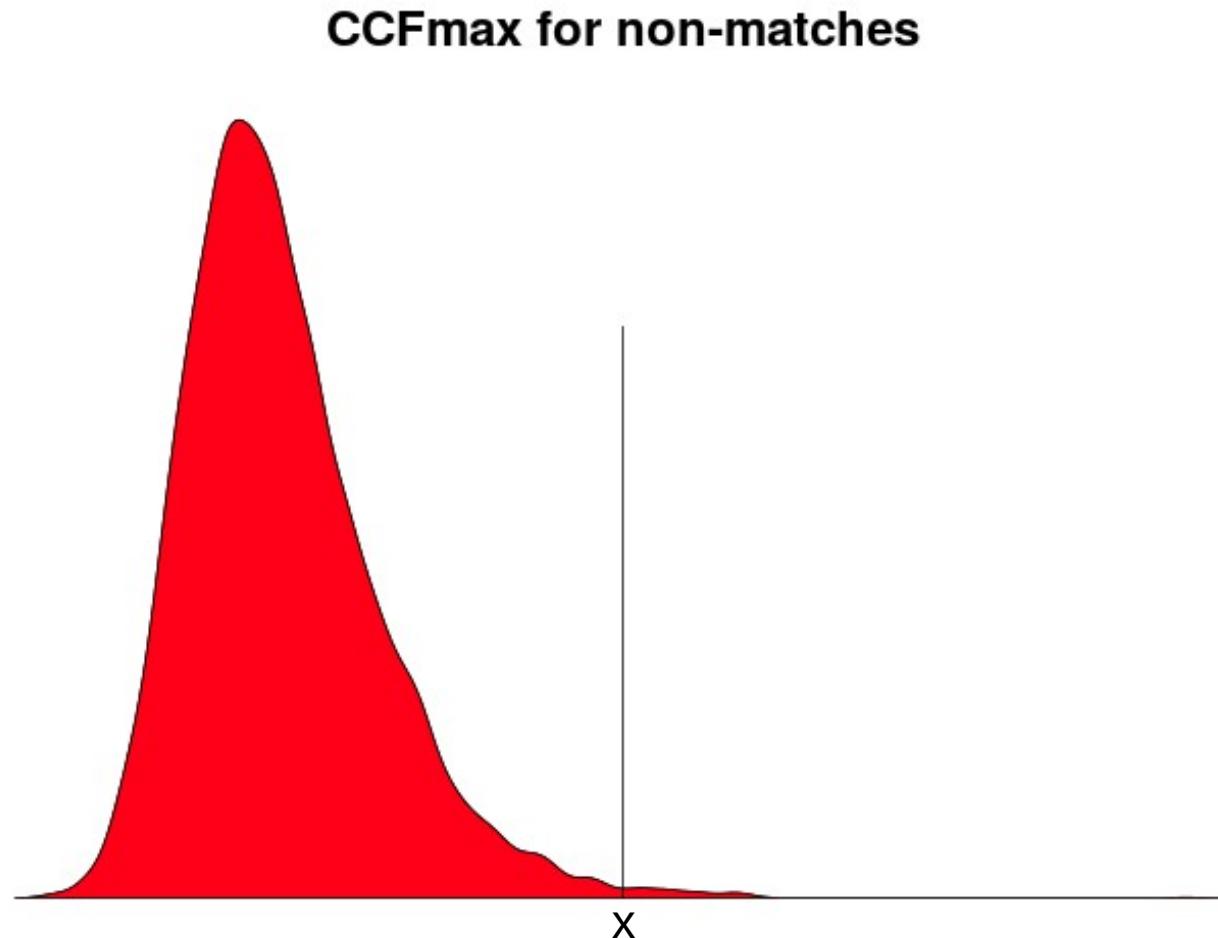
Translations

$$CCF(I_1, I_2) = \frac{\sum_{i,j} I_1(i, j)I_2(i + dx, j + dy)}{\sqrt{\sum_{i,j} I_1(i, j)^2} \sqrt{\sum_{i,j} I_2(i, j)^2}}$$

$$\theta^* = -15^\circ$$

$$CCF_{max} = .38$$

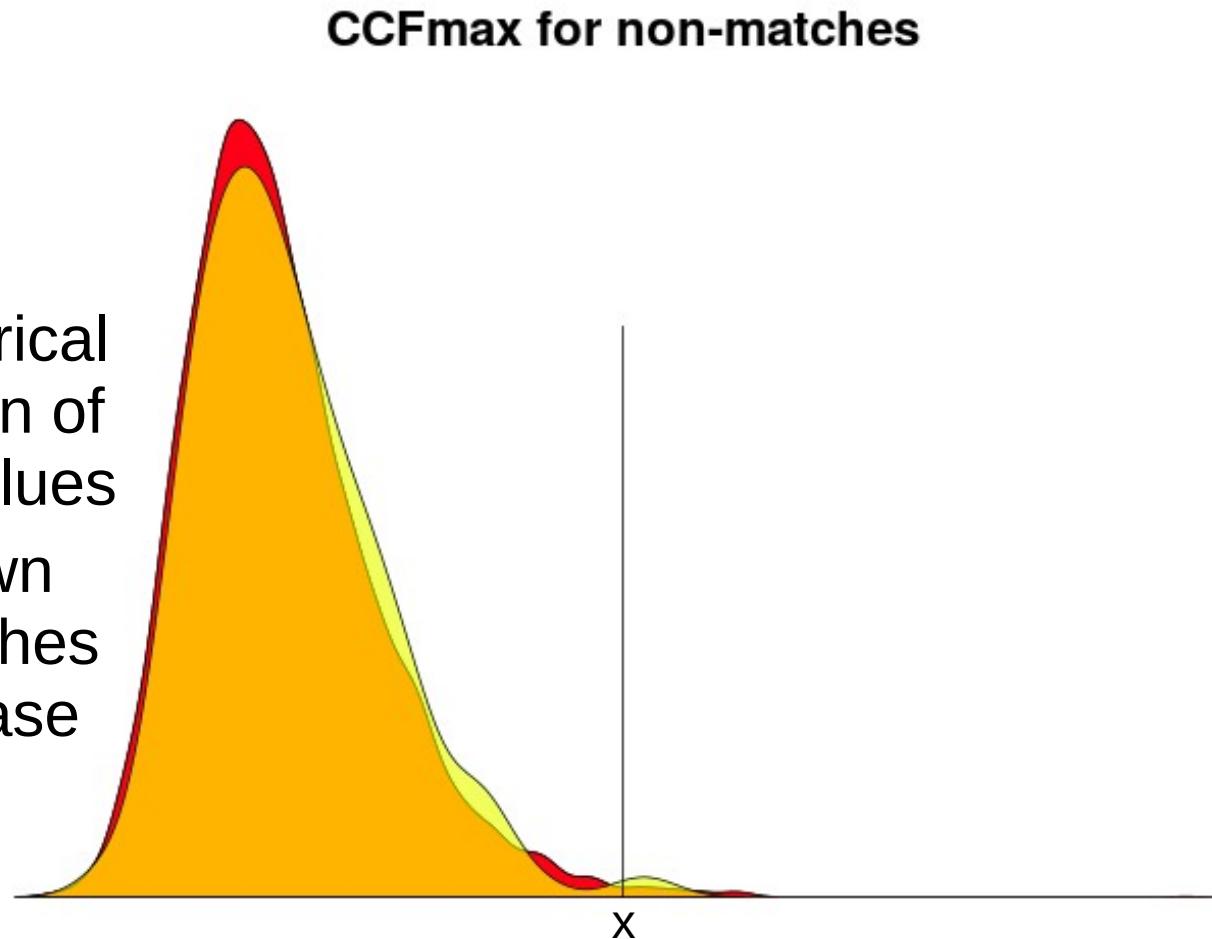
# Step 6: Compute Probability of Obtaining a Higher Score by Chance



If the two images are not a match, the probability of observing  $\text{CCF}_{\max} > x$  is .01%.

# Step 6: Compute Probability of Obtaining a Higher Score by Chance

Use empirical distribution of  $CCF_{max}$  values for known non-matches in database



If the two images are not a match, the probability of observing  $CCF_{max} > x$  is .01%.

# Step 6: Compute Probability of Obtaining a Higher Score by Chance

The probability that we are calculating is a p-value in the context of the following hypothesis test

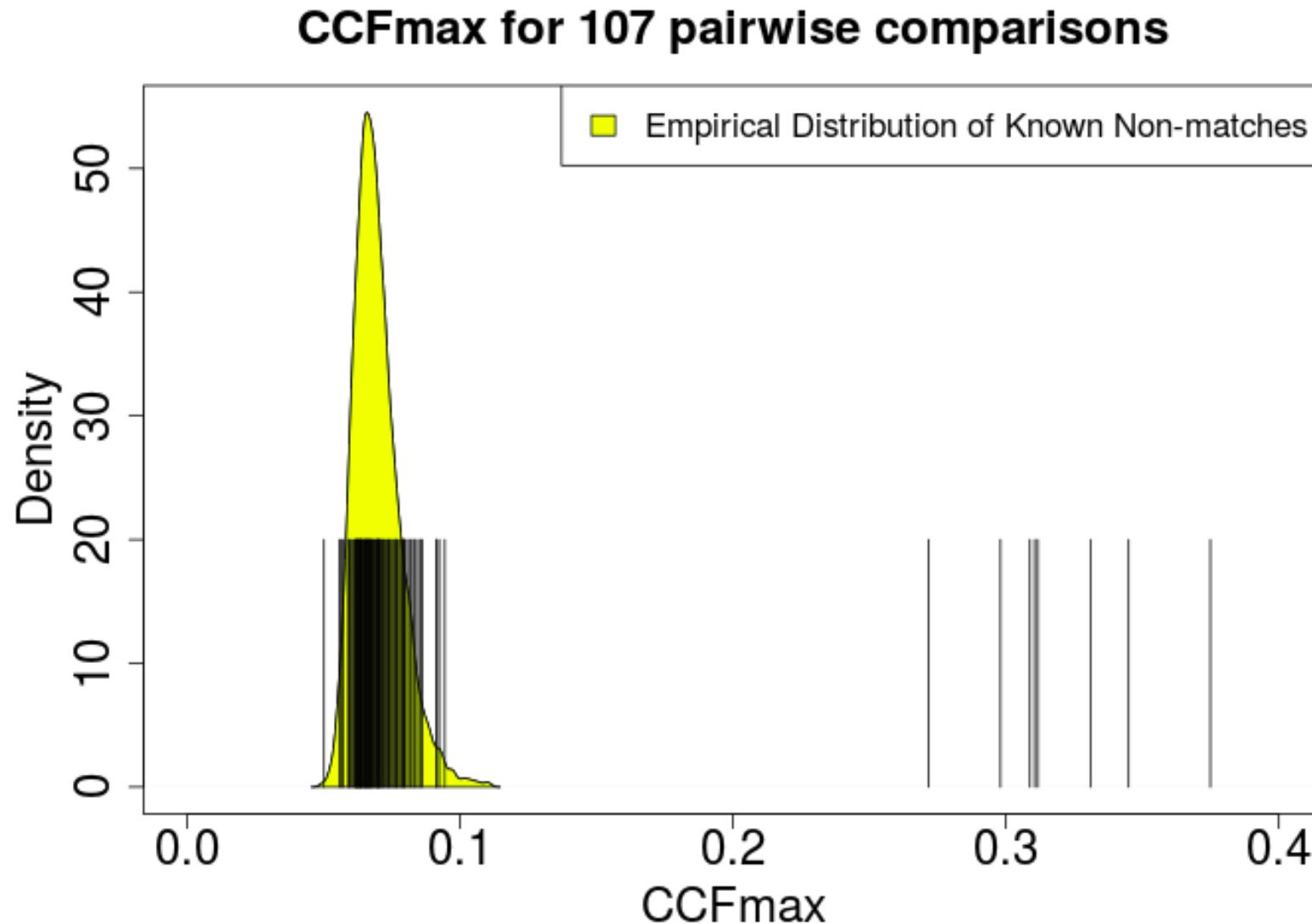
$H_0$ : Images are not a match (not from the same gun)

$H_A$ : Images are a match

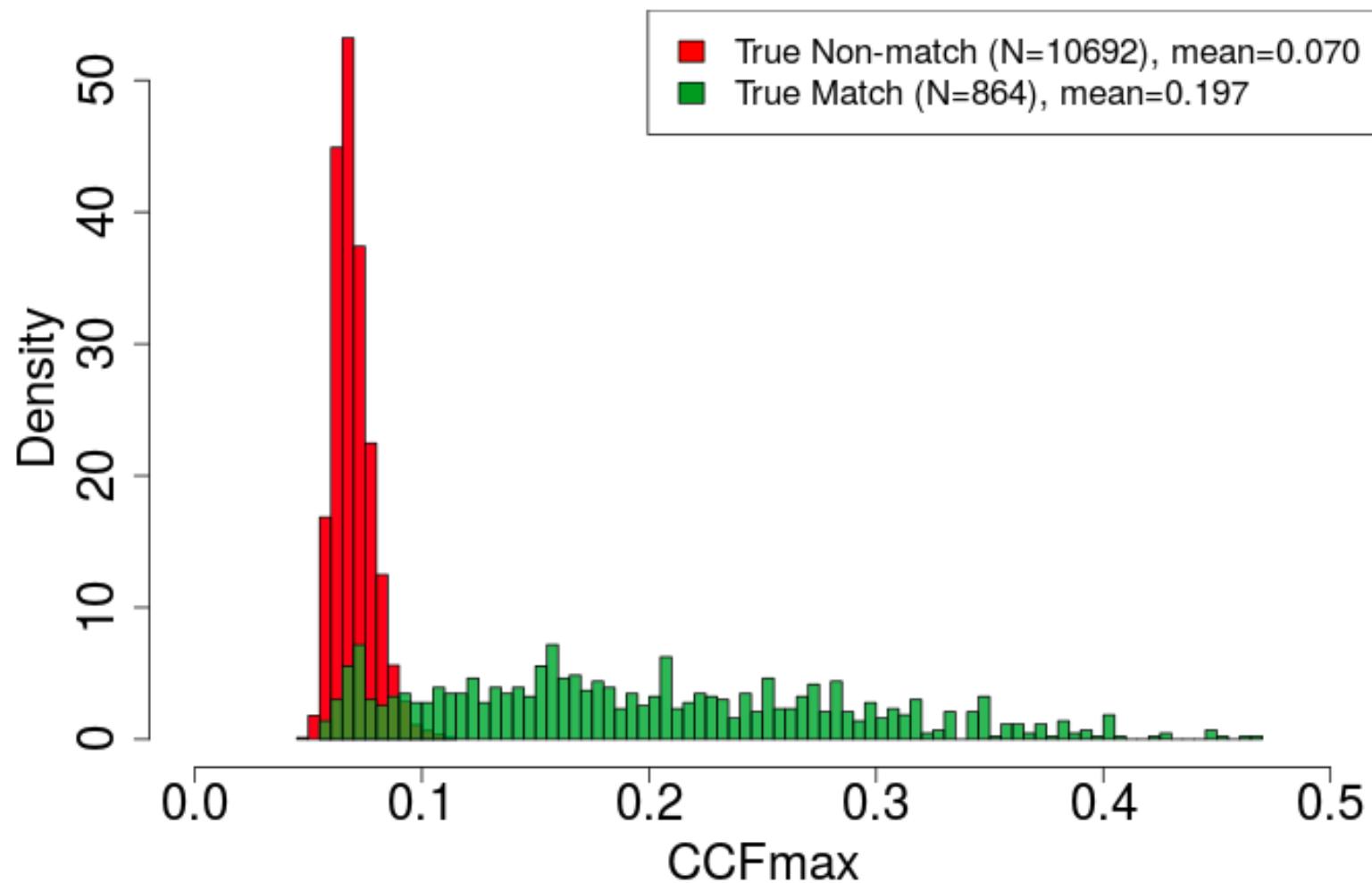
**Test statistic:**  $CCF_{max}$

**Interpretation of p-value:** Probability of observing a larger value of  $CCF_{max}$ , under the assumption that the two images are not a match.

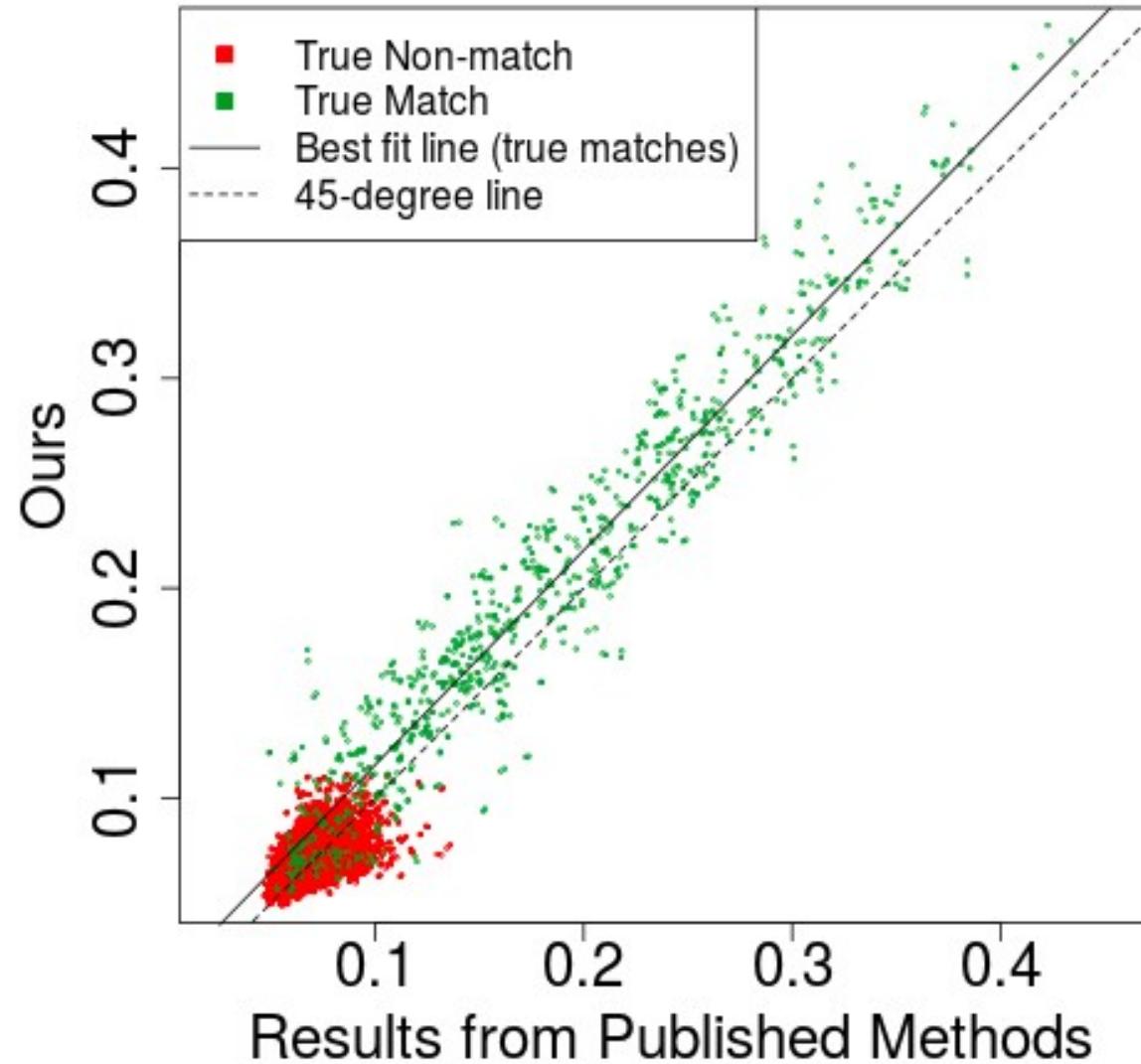
# All Pairwise Comparisons for 1 Image



# Distribution of CCF<sub>max</sub> for All Pairwise Comparisons in Dataset



# Our Method Reduces CCF<sub>max</sub> of True Non-matches



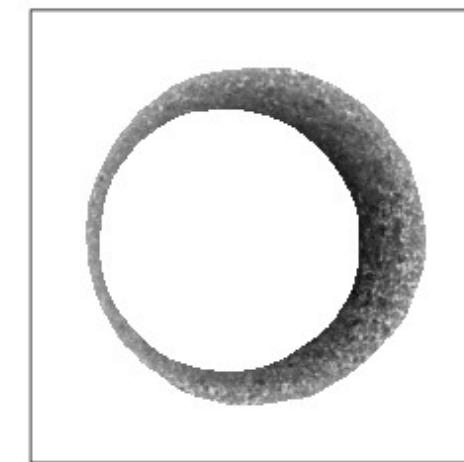
Results from Published Methods

Roth, Carriéau, Liu, Jain (IEEE, 2015)  
Vorburger and co-authors (NISTIR, 2007)

# Circular Symmetry Inflates CCF<sub>max</sub>

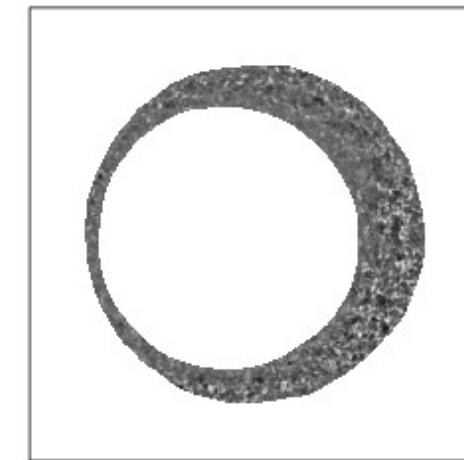
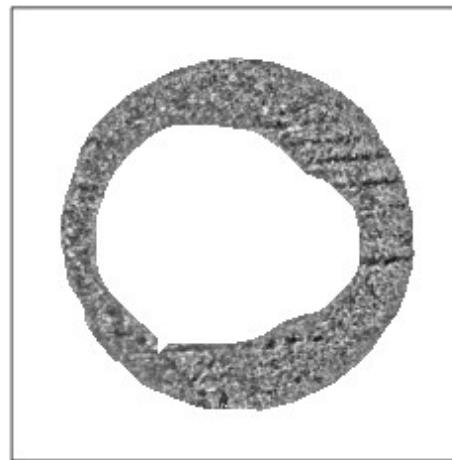
**With  
circular  
symmetry**

CCF<sub>max</sub> = .73



**Removing  
circular  
symmetry**

CCF<sub>max</sub> = .06

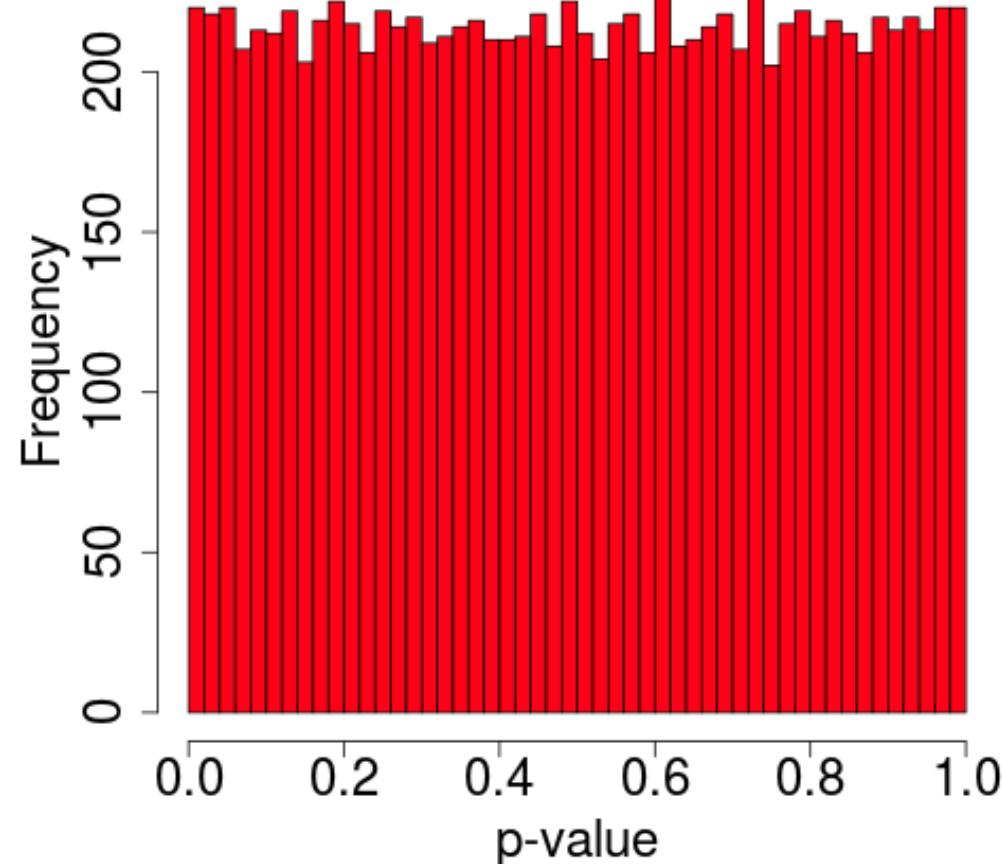


# Distribution of p-values for All Pairwise Comparisons in Dataset

p-value = Probability of observing a higher correlation by chance

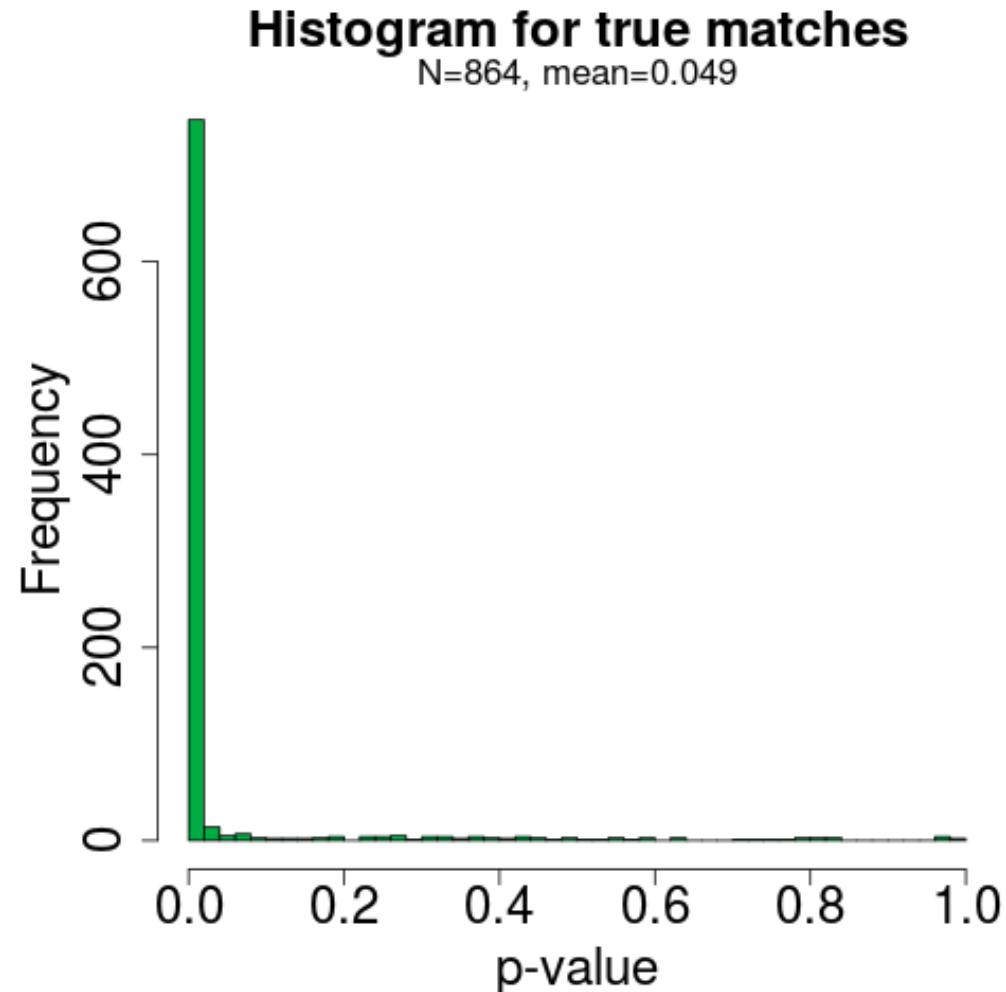
**Histogram for true non-matches**

N=10692, mean=0.500



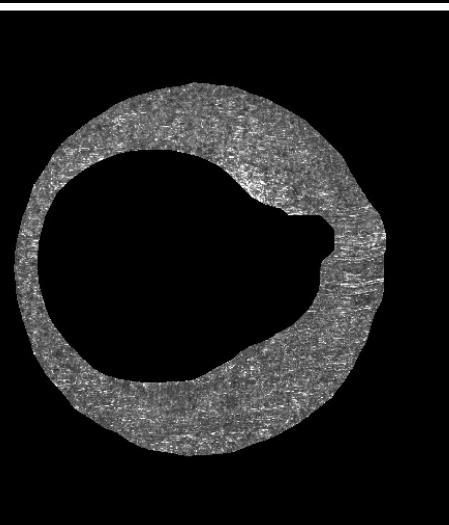
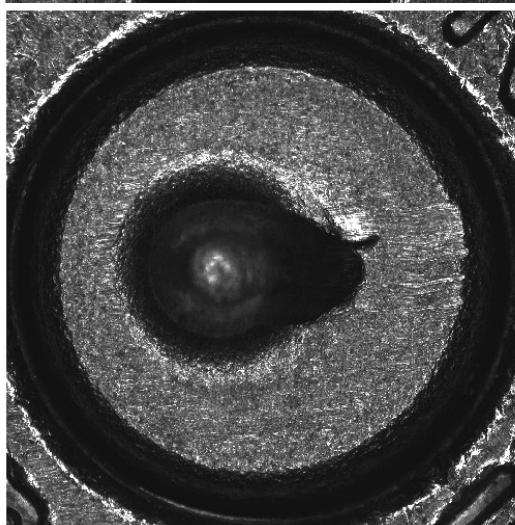
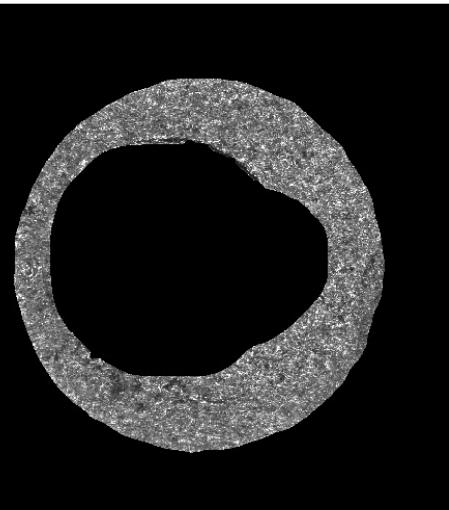
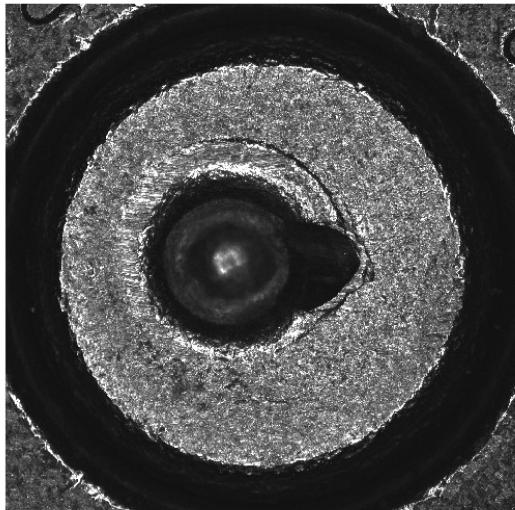
**Histogram for true matches**

N=864, mean=0.049



# Example: True Match with Low $CCF_{max}$

PMC



Winchester

Smith & Wesson

$CCF_{max} = .055$

p-value = .99

# Conclusion

- Improved on existing methods for comparing cartridge breechface images
  - Fully automated process
  - Reduced value of similarity metric for true non-matches
- Proposed a method of computing the probability of obtaining the result by chance

Thank you!