

# MINI-PROJECT FOR ADVANCED IMAGE ANALYSIS: OPTICAL FLOW ESTIMATION

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## INTRODUCTION: OPTICAL FLOW

Small movements between two consecutive frames can be modeled as **optical flow**. The problem of **optical flow estimation** is investigated in this mini-project. The Lucas-Kanade [1] and the Horn-Shunck methods [2] are applied for optical flow estimation in this project. Estimation results on real images are presented for evaluating their performance.

## BACKGROUND

**Brightness Constancy Constraint** Optical flow is estimated by determining the local translation between two frames which makes the brightness constancy constraint fulfilled:

$$I(x, y, t) = I(x + \Delta x, y + \Delta y, t + \Delta t)$$

**Small Movement Assumption** the local movement is small, so the brightness constancy constraint is approximated as:

$$I_x u + I_y v = -I_t \Rightarrow \mathbf{a}^T \mathbf{u} = \mathbf{b}$$

**Local Consistency Assumption** neighbouring pixels undertake a same displacement  $\mathbf{u}$ .

**Minimization Problem** optimal flow is estimated by solving a minimization problem

$$\mathbf{u} = \underset{\mathbf{u}}{\operatorname{argmin}} E, E = \int \int ||\mathbf{a}^T \mathbf{u} - \mathbf{b}||^2 dx dy$$

## LUCAS-KANADE METHOD

Solve with least-square solution

$$\mathbf{u} = (\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T \mathbf{b}, \mathbf{A} = [\mathbf{a}_1, \dots, \mathbf{a}_k]^T$$

## HORN-SHUNCK METHOD

Add regularization on the smoothness of the flow and solve a joint minimization problem

$$E_{joint} = E + \int \int \alpha^2 (||\nabla u||^2 + ||\nabla v||^2) dx dy$$

The optimization problem is solved iteratively

$$u^{k+1} = \bar{u}^k - \frac{I_x(I_x \bar{u}^k + I_y \bar{v}^k + I_t)}{\alpha^2 + I_x^2 + I_y^2}$$

$$v^{k+1} = \bar{v}^k - \frac{I_y(I_x \bar{u}^k + I_y \bar{v}^k + I_t)}{\alpha^2 + I_x^2 + I_y^2}$$

## IMPLEMENTATION

**Conditioning**  $\mathbf{A}\mathbf{u} = \mathbf{b}$  may be ill-posed. To deal with:

- check the harmonic mean:  $\frac{\det(\mathbf{A})}{\text{tr}(\mathbf{A})} > \tau$ .
- check the minimum eigenvalue:  $\lambda_{min} > \tau$ .

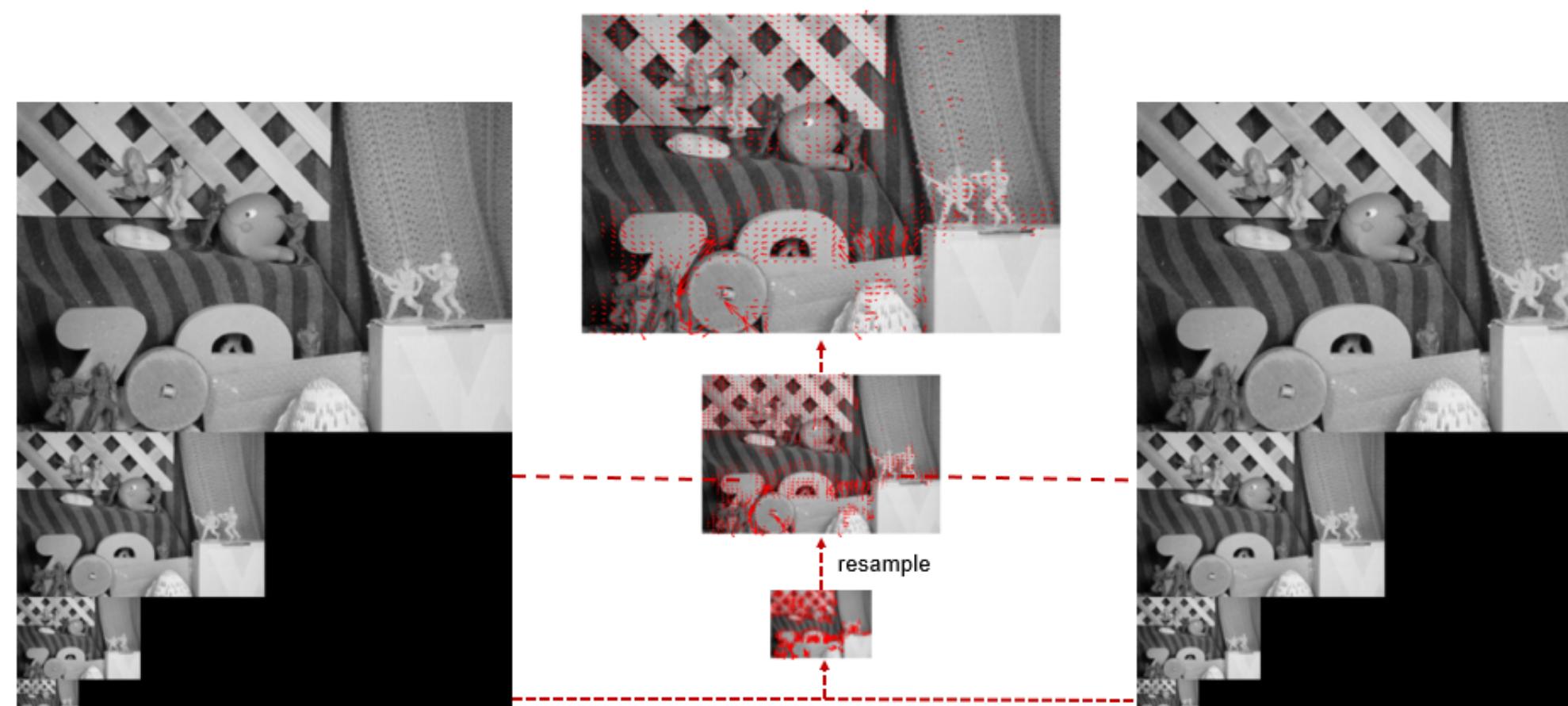
**Gradient Kernel** To compute  $I_x, I_y$ , different kernels are applied:

- $[-1, 0, 1]$ .
- Derivative of Gaussian.

**Weighting Kernel** To sum up the contributions from neighbouring pixels, the following kernels are applied:

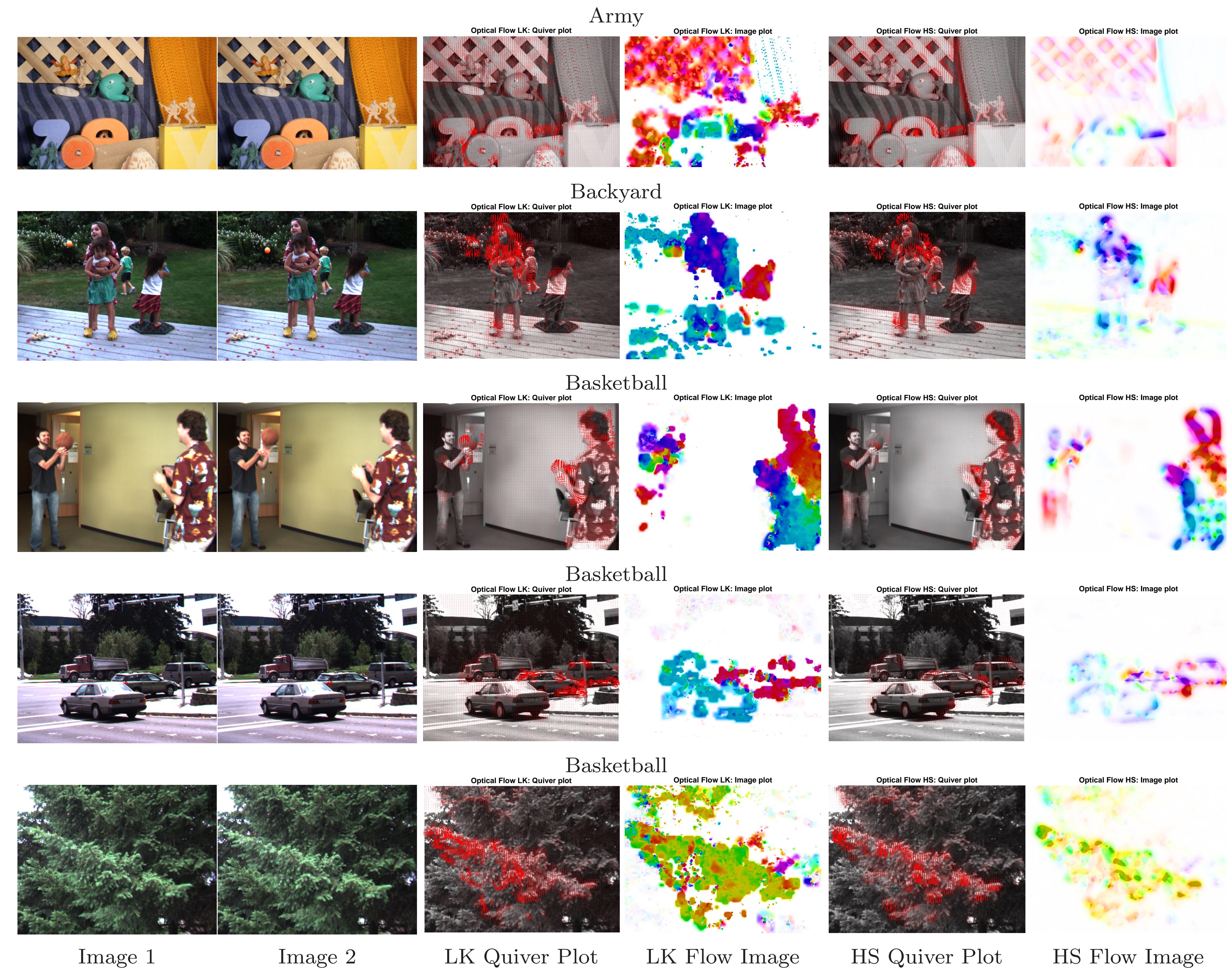
- Box filter.
- Gaussian Kernel.

**Coarse-to-Fine** To deal with large movement, image pyramid is used to establish a coarse-to-fine flow estimation.



Coarse-to-Fine Optical Flow Estimation.

## RESULTS



## TRACKING 5000 FRAMES WITH A GENERAL MODEL