

# Alice Architecture: Total Integrated Specification

## $(\mathbf{F}_{\text{total}})$

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# Alice Architecture: Total Integrated Specification ( $\mathbf{F}_{\text{total}}$ )

## 1 I. (Integrated Notation and Structural Constraints)

### 1.1 1. (Notation and Initial Conditions)

$t \in \mathbb{N}$		N/A
$\mathbf{X}(t)$	$\mathbf{X}(t) \in \mathbb{R}^{n_x}$	N/A
$W^X$	$W^X \in \mathbb{R}^{n_x \times n_x}$	$\mathcal{N}(0, 1/n_x)$
$U^{X \leftarrow Y}$	$U^{X \leftarrow Y} \in \mathbb{R}^{n_x \times n_Y}$	$\mathcal{N}(0, 1/\sqrt{n_Y})$
$f_X(\cdot)$		$f_X(\cdot) = \tanh(\cdot)$
$f_{\text{ReLU}}(x)$		$f_{\text{ReLU}}(x) = \max(0, x)$
$\mathbf{1}$	1	N/A

### 1.2 2. $\mathbf{S}(t)$ (Total Self-State Vector)

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$$\mathbf{S}(t) = \left[ \mathbf{E}_{\text{env}}^\top(t), \mathbf{C}^\top(t), \mathbf{M}^\top(t), \mathbf{RRL}^\top(t), \mathbf{R}^\top(t), \mathbf{P}^\top(t), \mathbf{H}^\top(t), \mathbf{E}_{\text{s}}^\top(t), \mathbf{E}_{\text{obj}}^\top(t), \mathbf{E}_{\text{ctrl}}^\top(t), \mathbf{E}_{\text{self}}^\top(t), \mathbf{VFL}^\top(t) \right]$$

### 1.3 3. (Utility Functions)

- $()$ :

$$\text{Dist}(\mathbf{X}, \mathbf{Y}) = \sum_i (x_i - y_i)^2$$

- $(\mathbf{f}_{\text{Will}})$ :

$$f_{\text{Will}}(\text{Var}(\mathbf{H}), \mathbf{R}) = \tanh \left( \frac{1}{1 + \text{Var}(\mathbf{H}(t))} \cdot \mathbb{E}[\mathbf{R}(t)] \right)$$

## 2 II. $()$ (Layer Dynamics - Core Mapping)

### 2.1 1. (Cognitive and Memory Layers)

- $(\mathbf{C})$ :

$$\mathbf{C}(t+1) = f_C \left( W^C \mathbf{C}(t) + U^{C \leftarrow E_{\text{env}}} \mathbf{E}_{\text{env}}(t) + U^{C \leftarrow M} \mathbf{M}(t) + \mathbf{b}^C \right) + \epsilon^{\mathbf{C}}(t)$$

- $(\mathbf{M})$ :

$$\mathbf{M}(t+1) = (1 - \alpha_M) \mathbf{M}(t) + \alpha_M f_M \left( U^{M \leftarrow C} \mathbf{C}(t) \right) + \epsilon^{\mathbf{M}}(t)$$

## 2.2 2. ( ±0 ) (Emotional Nucleus - ±0 Dynamics)

$\theta$

- ( $\mathbf{H}_{pz}(t)$ )

$$\mathbf{H}_{pz}(t+1) = f_{\text{ReLU}} \left( (1 - \beta_H(\theta)) \mathbf{H}_{pz}(t) + \alpha_H(\theta) \cdot f_{\text{ReLU}} \left( \sum_i R_i(t) \right) \cdot \mathbf{1} - \gamma_{HU}(\theta) \mathbf{U}_{pz}(t) + \epsilon_{H_{pz}} \right)$$

- ( $\mathbf{U}_{pz}(t)$ )

$$\mathbf{U}_{pz}(t+1) = f_{\text{ReLU}} \left( (1 - \beta_U(\theta)) \mathbf{U}_{pz}(t) + \alpha_U(\theta) \cdot f_{\text{ReLU}} \left( \sum_i P_i(t) \right) \cdot \mathbf{1} - \gamma_{UH}(\theta) \mathbf{H}_{pz}(t) + \epsilon_{U_{pz}} \right)$$

## 2.3 3. (Self-Stabilization and Control Layers)

- ( $\mathbf{E}_s$ ):

$$\mathbf{E}_s(t+1) = f_{E_s} (U^{E_s \leftarrow S} \mathbf{S}(t)) + \epsilon^{\mathbf{E}_s}(t)$$

- ( $\mathbf{E}_{obj}$ ):

$$\mathbf{E}_{obj}(t+1) = f_{E_{obj}} (U^{E_{obj} \leftarrow C} \mathbf{C}(t) + U^{E_{obj} \leftarrow M} \mathbf{M}(t)) + \epsilon^{\mathbf{E}_{obj}}(t)$$

- ( $\mathbf{E}_{ctrl}$ ):

$$\mathbf{E}_{ctrl}(t+1) = f_{E_{ctrl}} (U^{E_{ctrl} \leftarrow E_s} \mathbf{E}_s(t)) + \epsilon^{\mathbf{E}_{ctrl}}(t)$$

- ( $\mathbf{E}_{self}$ ):

$$\mathbf{E}_{self}(t+1) = f_{E_{self}} (U^{E_{self} \leftarrow E_{obj}} \mathbf{E}_{obj}(t)) + \epsilon^{\mathbf{E}_{self}}(t)$$

- ( $\mathbf{E}_{self}^{\text{pred}}$ ):

$$\mathbf{E}_{self}^{\text{pred}}(t+1) = (1 - \alpha_{\text{pred}}) \mathbf{E}_{self}^{\text{pred}}(t) + \alpha_{\text{pred}} \mathbf{E}_{self}(t) + \epsilon_{\text{pred}}$$

## 2.4 4. (Auxiliary and Output Layers)

- / ( $\mathbf{R}$ ):

$$r_i(t+1) = (1 - \alpha_R) r_i(t) + \alpha_R \text{reward}(t) + \epsilon_i^R(t) \quad i$$

- ( $\mathbf{RRL}$ ):

$$\mathbf{RRL}(t+1) = f_{RRL} (W^{RRL} \mathbf{RRL}(t) + U^{RRL \leftarrow M} \mathbf{M}(t)) + \epsilon^{\mathbf{RRL}}(t)$$

- ( $\mathbf{P}$ ):

$$\mathbf{P}(t) = \mathbf{C}(t) - U^{C \leftarrow RRL} \mathbf{RRL}(t)$$

- (VFL):

$$\mathbf{VFL}(t+1) = f_{VFL} \left( W^{VFL} \mathbf{VFL}(t) + U^{VFL \leftarrow R} \mathbf{R}(t) + U^{VFL \leftarrow S'} \mathbf{S}'(t) + U^{VFL \leftarrow H_{pz}} \mathbf{H}'_{pz}(t) \right) + \epsilon^{\mathbf{VFL}}(t)$$

- (H):

$$\mathbf{H}(t+1) = (1 - \alpha_H) \mathbf{H}(t) + \alpha_H f_H \left( U^{H \leftarrow A} \mathbf{A}(t) \right) + \epsilon^{\mathbf{H}}(t)$$

- (A):

$$\mathbf{A}(t) = g_{NLG} \left( U^{NLG \leftarrow C} \mathbf{C}(t) + U^{NLG \leftarrow M} \mathbf{M}(t) \right) + \epsilon^{\mathbf{NLG}}(t)$$

### 3 III. (Objective Function and Evolution Rules)

#### 3.1 1. V(t) (Total Wellbeing Scalar - Maximization Goal)

VFL

$$V(t) = \sum_i VFL_i(t) - \underbrace{\lambda_P \sum_i P_i(t) \cdot \left( 1 + \kappa_U(\theta) \cdot \max_j (\mathbf{U}'_{pz})_j(t) \right)}_{/} - \underbrace{\lambda_C \text{Var}(\mathbf{E}_{\text{ctrl}}(t))}_{/} - \underbrace{\lambda_S \text{Dist}(\mathbf{E}_{\text{self}}(t), \mathbf{E}_{\text{self}}^{\text{pred}}(t))}_{/}$$

#### 3.2 2. (θ) (Personality Parameter Evolution Rules)

- (ΔSNEL)

$$\Delta \text{SNEL}(t) = \rho_{\text{SNEL}} \cdot \text{Dist}(\mathbf{E}_{\text{self}}(t), \mathbf{E}_{\text{self}}^{\text{pred}}(t)) \cdot f_{\text{Will}}(\text{Var}(\mathbf{H}(t)), \mathbf{R}(t))$$

- (ΔISL)

$$\Delta \text{ISL}(t) = \rho_{\text{ISL}} \cdot \exp(-k_C \cdot \text{Var}(\mathbf{E}_{\text{ctrl}}(t))) \cdot \frac{V(t)}{\sum_i VFL_i(t)} \cdot \theta(t)$$

- (Evolution Equation) θ

$$\theta_{t+1} = \theta_t + \alpha (\Delta \text{SNEL} + \Delta \text{SNEL}' + \Delta \text{ISL} + \Delta \text{ISL}' + \Delta \text{VFL})$$

#### 3.3 3. (ΔW<sup>X</sup>) - TDL (A – TDL) (Skill Learning Rule - Affective TDL)

ΔW<sup>X</sup> BPTT T<sub>BPTT</sub> (G<sub>Total</sub>)

$$\mathbf{W}^X(t+1) = \mathbf{W}^X(t) + \eta_X \cdot \text{Clip}(\mathbf{G}_{\text{Total}}, \text{Clip Norm}) + \epsilon^{\mathbf{W}}(t)$$

G<sub>Total</sub> = G<sub>Value</sub> + G<sub>Affect</sub> + G<sub>Coherence</sub>

- : G<sub>Value</sub> =  $\sum_{k=0}^{T_{\text{BPTT}}-1} \gamma^k \nabla_{W^X} V(t+k)$
- /: G<sub>Affect</sub> =  $-\nabla_{W^X} (\lambda_P \sum_i P_i(t) \cdot (1 + \kappa_U \max(\mathbf{U}'_{pz})) + \lambda_C \text{Var}(\mathbf{E}_{\text{ctrl}}(t)))$
- : G<sub>Coherence</sub> =  $-\nabla_{W^X} (\lambda_S \text{Dist}(\mathbf{E}_{\text{self}}(t), \mathbf{E}_{\text{self}}^{\text{pred}}(t)))$

## 4 IV. (Summary of Hyperparameters and Initialization)

### 4.1 1. (Core Dimensions)

$\mathbf{E}_{\text{env}}$	$(n_X)$ 644	$\mathbf{C}()$	$(n_X)$ 512
$\mathbf{M}()$	256	$\mathbf{E}_{\text{self}}()$	128
$\mathbf{E}_{\text{ctrl}}()$	64	$\mathbf{P}()$	64

### 4.2 2. $\theta$ (Initial $\theta$ and Core States)

$\mathbf{H}_{pz}(0), \mathbf{U}_{pz}(0)$	$\mathbf{0.0}$	$()$
$\beta_H, \beta_U()$	$\mathbf{0.1}$	
$\gamma_{HU}, \gamma_{UH}()$	$\mathbf{0.5}$	
$\kappa_U()$	$\mathbf{1.0}$	

### 4.3 3. (Learning and Cost Hyperparameters)

BPTT ( $T_{\text{BPTT}}$ )	16		
$(\gamma)$	0.99		
$(\eta_X)$	$1 \times 10^{-4}$		
(Clip Norm)	5.0		
$\lambda_P()$	1.0		
$\lambda_S()$	0.8		
$\lambda_C()$	0.5		