

# 4. Pólya's Theory of Counting

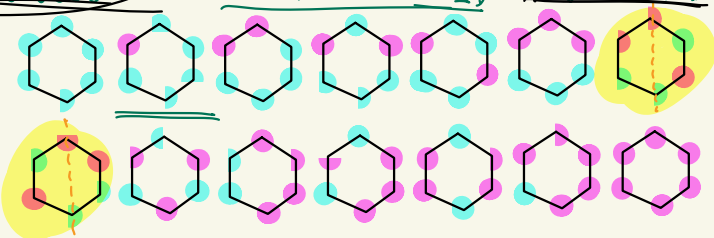
George Pólya

## Counting with Symmetry

等价类 (equivalence class) : 对称类计数

Rotation:

旋转



$$X = [2]^{[6]}$$

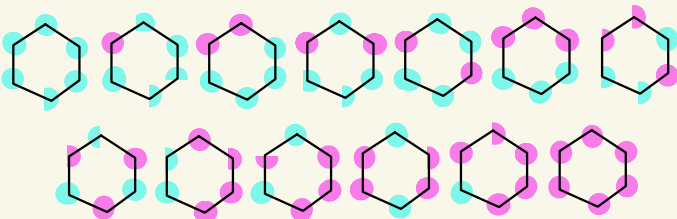
$$G = C_n$$

$$X/G$$

Rotation

& Reflection:

旋转, 反射



$$G = D_n$$

配置:

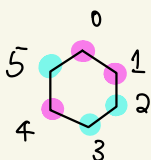
configuration

$$\chi: [n] \rightarrow [m]$$

position

colors

$$X = [m]^{[n]}$$



置换

permutation

$$(\pi): [n] \xrightarrow{| \cdot |} [n]$$

group  $G$

$$\text{eg } (0, 1, 2, 3, 4, 5), (1, 2, 3, 4, 5, 0)$$

Permutation Groups 置换群

群 group  $(G, \cdot)$  operator  $\cdot: \underline{G} \times \underline{G} \rightarrow \underline{G}$

① closure:  $\pi, \sigma \in G \Rightarrow \pi \cdot \sigma \in G$

② associativity:  $(\pi \cdot \sigma) \cdot \tau = \pi \cdot (\sigma \cdot \tau)$

③ identity:  $\exists e \in G \text{ s.t. } \forall \pi \in G, \pi \cdot e = e \cdot \pi = \pi$

④ inverse:  $\forall \pi \in G, \exists \sigma \in G \text{ s.t. } \pi \cdot \sigma = \sigma \cdot \pi = e \Rightarrow \sigma = \pi^{-1}$

abelian group: ① ~ ④ + commutativity:  $\pi \cdot \sigma = \sigma \cdot \pi$

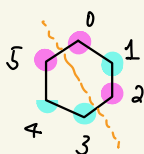
1) Symmetric group  $S_n$ : all permutation  $\pi: [n] \xrightarrow{1-1} [n]$

2) Cyclic group  $C_n$ : all rotations 旋转

$$\pi = (0 \ 1 \ 2 \ \dots \ n-1) \quad \pi(i) = (i+1) \bmod n.$$

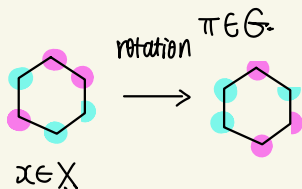
$\Rightarrow \langle (0 \ 1 \ 2 \ \dots \ n-1) \rangle$  generator 生成元

3) dihedral group  $D_n$ : rotations & reflections 旋转 + 反射



$$\rho(i) = (n-1) - i \quad \text{镜像变换}$$

generated by  $(0 \ 1 \ 2 \ \dots \ n-1)$  and  $\rho$



operator

群作用 group action

→ 两个元素之间的变换

$$\circ: G \times X \rightarrow X$$

$$(\pi \circ \chi)(i) = \chi(\pi(i))$$

· associativity:  $(\pi \cdot \sigma) \circ \chi = \pi \circ (\sigma \circ \chi)$

· identity:  $e \circ \chi = \chi$

Example. Graph-Isomorphism. (GI)

图同构

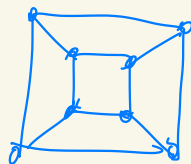
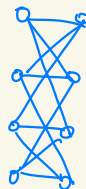
input: 2 undirected graph  $G, H$

output:  $G \cong H$  ?

GI is NP

$O(n!)$

but is UNKNOWN to be in P or NPC



Babai-Luks '83:  $2^{O(\sqrt{n \log n})}$  time

Babai '2017: quasi-polynomial  $\underline{\underline{n^{\log n}}}$

# \* String Isomorphism (SI)

input: 2 strings  $x, y: [n] \rightarrow [m]$ .

a permutation group  $G \subseteq S_n$ .

output:  $x \cong_G y$ ? (i.e.  $\exists \sigma \in G$  s.t.  $\sigma \circ x = y$ ?)

Graph  $X(V, E)$  is a string,  $x: \underbrace{\binom{V}{2}}_{\text{vertex pairs}} \rightarrow \{0, 1\}$   $\begin{cases} 1: \text{edge} \\ 0: \text{no-edge} \end{cases}$

Johnson group  $S_V^{(2)} \subseteq S_{\binom{V}{2}}$  on vertex pairs

group action  $\circ: \underline{G} \times \underline{X} \rightarrow \underline{X}$   
 $(\pi \circ x)(i) = x(\pi(i))$

Orbit 轨道(迹) of  $x$ :  $Gx = \{ \pi \circ x \mid \pi \in G \}$

和  $x$  等价的所有配置

商集  $X/G = \{ Gx \mid x \in X \}$

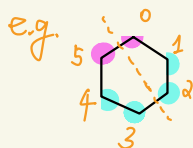
$\downarrow$   
equivalence class 等价类

目标: 对所有等价类进行计数, 也即  $\text{count } |X/G|$

Burnside's Lemma

$$|X/G| = \frac{1}{|G|} \cdot \sum_{\pi \in G} \underbrace{|X_\pi|}_{\text{fixed points}}$$

不变集 invariant set of  $\pi$ :  $X_\pi = \{ x \in X \mid \pi \circ x = x \}$



$\pi$ : 反射变换

$|X_\pi| = 2^3 = 8$  种

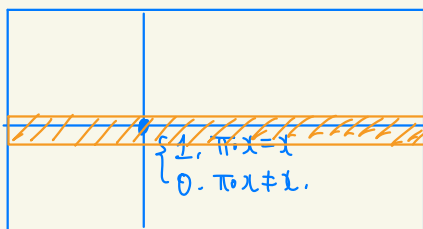
double counting 双重计数

Proof:  $\Rightarrow \sum_{\pi \in G} |X_\pi| = |X/G| \cdot |G|$

配置  $x \in X$  行和 Goal: 列和

对同一个目标有两种不同的计数方式

其中一种含有目标标子  
另一种中的元素是已知的



变换  $\pi \in G$

矩阵  $A$   $\begin{cases} \text{行和} \\ \text{列和} \end{cases}$

目标:  $|X/G| \cdot |G| = \sum_{x \in X} |G_x|$

invariant set  $\updownarrow$  Duality 对偶性

Invariant set of  $\pi$ :  $X_\pi = \{x \in X \mid \pi \circ x = x\}$   $\rightarrow$  给定  $\pi$  (行)

Stabilizer of  $x$ :  $G_x = \{\pi \in G \mid \pi \circ x = x\}$   $\rightarrow$  给定  $x$  (列)

Lemma:  $\forall x \in X, |G_x| \cdot |X/G| = |G|$

列和:  $\sum_{x \in X} |G_x| = \sum_{x \in X} \frac{|G|}{|X/G|} \leftarrow x \text{ 所在行类中元素的数量}$

$= |G| \cdot \left[ \sum_{x \in X} \frac{1}{|G_x|} \right]$

$= |G| \cdot |X/G|$

总共有  $X_1, X_2, \dots, X_{|X/G|}$  个行类  
 $\rightarrow$  构成对  $X$  的一个划分

$\sum_{x \in X} \frac{1}{|G_x|} = \sum_{i=1}^{|X/G|} \sum_{x \in X_i} \frac{1}{|X_i|} = |X/G|$

$\downarrow$   
1

行和:  $\sum_{\pi \in G} |X_\pi|$

$\Rightarrow |X/G| \cdot |G| = \sum_{\pi \in G} |X_\pi|$

□

Proof of Lemma:  $G_x = \{\pi \circ x \mid \pi \in G\} \triangleq \{x_1, \dots, x_t\}$

$\exists$  set  $P = \{\pi_1, \dots, \pi_t\}$  s.t.  $\pi_i \circ x = x_i$

目标:  $|G_X| \cdot |G_X| = |G|$

$P$

构造从  $P \times G_X$  到  $G$  的一个映射!

$\forall \pi_i \in P, \sigma \in G_X, \pi = \pi_i \cdot \sigma \in G.$

1-1 mapping!

Burnside's Lemma:  $|X/G| = \frac{1}{|G|} \cdot \sum_{\pi \in G} |X_\pi|$

permutation  $\pi: [n] \xrightarrow{1-1} [n]$

两种写法,  $\begin{pmatrix} 0 & 1 & 2 & \dots & n-1 \\ \pi(0) & \pi(1) & \pi(2) & \dots & \pi(n-1) \end{pmatrix}$

$\begin{pmatrix} 0 & 1 & 2 & 3 & 4 \\ \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ 2 & 4 & 0 & 1 & 3 \end{pmatrix} = \begin{pmatrix} 0 & 2 \\ 2 & 4 \end{pmatrix} \begin{pmatrix} 1 & 4 & 3 \end{pmatrix}$

invariant set

$\pi \cdot x = x.$

$x(\pi(i)) = x(i)$

以 cycle 为单位进行染色 ★

⇒ 同一个 cycle 染同一个颜色

不同 cycle 随便

$X = [m]^{[n]}$

m-coloring of n positions

Given  $\pi = \underbrace{(\text{red})(\text{blue})(\text{orange})(\text{red})}_{k \text{ cycles}}$

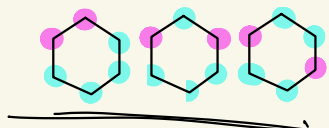
$|X_\pi| = |\{x \in X \mid \pi \cdot x = x\}| = \underline{m^k}$  ★

Burnside's Lemma:  $|X/G| = \frac{1}{|G|} \sum_{\pi \in G} |X_\pi| = \frac{1}{|G|} \sum_{\pi \in G} m^{\# \text{cycle}(\pi)}$

Motivation: 想要更细分的信息! e.g. 2红4蓝

pattern:

$$\vec{v} = (n_1, n_2, \dots, n_m) \quad \text{s.t.} \quad n_1 + n_2 + \dots + n_m = n.$$



$$a_{(2,4)} = 3$$

goal!

$n_i$ : 第  $i$  种颜色有  $n_i$  个 position

$a_{\vec{v}}$ : # of configurations (up to symmetry) with  $n$ : many color;

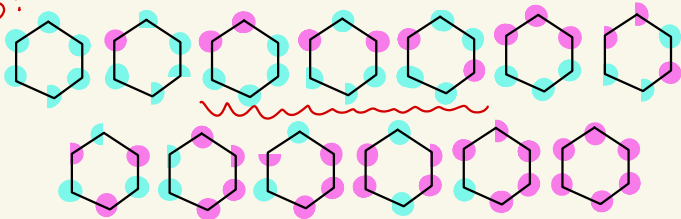
pattern inventory 工具 / 系统

$$F_G(y_1, y_2, \dots, y_m) = \sum_{\substack{\vec{v}=(n_1, \dots, n_m) \\ n_1 + \dots + n_m = n}} a_{\vec{v}} y_1^{n_1} y_2^{n_2} \dots y_m^{n_m}$$

多元生成函数

$a_{\vec{v}}$  即代表了对应 # of config

$\times/6$ :



$$X = [2]^{[6]}$$

$$G = D_6$$

$$F_{D_6}(y_1, y_2) = y_1^6 + y_1^5 y_2 + 3 y_1^4 y_2^2 + 3 y_1^3 y_2^3 + 3 y_1^2 y_2^4 + y_1 y_2^5 + y_2^6$$

怎么求? Polya's Theory of Counting:

★ Polya's enumeration formula:

pattern  
inventory

$$F_G(y_1, y_2, \dots, y_m) = P_G\left(\sum_{i=1}^m y_i, \sum_{i=1}^m y_i^2, \dots, \sum_{i=1}^m y_i^n\right)$$

cycle index

$$P_G(t_1, \dots, t_n) = \frac{1}{|G|} \sum_{\pi \in G} M_\pi(t_1, t_2, \dots, t_n)$$

$$\pi = (\overset{d_1}{\dots}) (\overset{d_2}{\dots}) \dots (\overset{d_k}{\dots})$$

k cycle

$$M_\pi(t_1, t_2, \dots, t_n) = \prod_{i=1}^k t_{d_i}$$

$$= t_{d_1} \cdot t_{d_2} \dots t_{d_k}$$

△ Burnside's lemma:

$$|X/G| = \frac{1}{|G|} \sum_{\pi \in G} m^{\# \text{ of cycle}(\pi) \rightarrow k}$$

$$P_G(\underbrace{m, m, \dots, m}_n) = \frac{1}{|G|} \sum_{\pi \in G} M_\pi(\underbrace{m, m, \dots, m}_n)$$

$$\Rightarrow \sum_{i=1}^m y_i = m, \sum_{i=1}^m y_i^2 = m, \dots, \sum_{i=1}^m y_i^n = m. \Rightarrow y_1 = y_2 = \dots = y_m = 1$$

$$\Rightarrow F_G(1, 1, \dots, 1) = \sum_{\substack{v = (n_1, \dots, n_m) \\ n_1 + \dots + n_m = n}} a_v = |X/G|$$

即为所有排列类的个数

Proof: 在子空间 (refined space) 上运用 Burnside.

$$\vec{v} = (n_1, n_2, \dots, n_m) \text{ s.t. } n_1 + n_2 + \dots + n_m = n.$$

$$X = [m]^{[n]}.$$

$$X^{\vec{v}} = \{x \in [m]^{[n]} \mid \forall i \in [m]: x^{-1}(i) = n_i\}$$

满足要求  $v$  的所有配置集合  $\rightarrow$  原像集 (颜色为  $i$  的珠子数量)

invariant set :  $X_{\pi}^{\vec{v}} = \{x \in X^{\vec{v}} \mid \pi \cdot x = x\}$

Given a permutation  $\pi$ ,  $\pi = (\overbrace{\dots}^{d_1}) (\overbrace{\dots}^{d_2}) \dots (\overbrace{\dots}^{d_k})$

$k$  cycles

By Burnside Lemma:  $a_{\vec{v}} = \frac{1}{|G|} \cdot \left( \sum_{\pi \in G} |X_{\pi}^{\vec{v}}| \right)$

$y_i$ : 第  $i$  个对称的变量. 以 cycle 为单位进行染色! 染出对应的 pattern  $v$  即可:

$(y_1^{d_1} + y_2^{d_1} + \dots + y_m^{d_1}) (y_1^{d_2} + y_2^{d_2} + \dots + y_m^{d_2}) \dots (y_1^{d_k} + y_2^{d_k} + \dots + y_m^{d_k})$

第 1 个 cycle 染什么颜色

$= \sum_{\substack{\vec{v}=(n_1, \dots, n_m) \\ n_1 + \dots + n_m = n}} |X_{\pi}^{\vec{v}}| y_1^{n_1} y_2^{n_2} \dots y_m^{n_m}$

→ 如何数不重复集中不重复数量

$\prod_{i=1}^k (y_1^{d_i} + y_2^{d_i} + \dots + y_m^{d_i}) = M_{\pi} \left( \sum_{i=1}^m y_i, \sum_{i=1}^m y_i^2, \dots, \sum_{i=1}^m y_i^{d_i} \right)$

Recall:  $M_{\pi}(t_1, t_2, \dots, t_n) = \prod_{i=1}^k t_{d_i}$

pattern inventory:  $F_G(y_1, y_2, \dots, y_m)$

$= \sum_{\substack{\vec{v}=(n_1, \dots, n_m) \\ n_1 + \dots + n_m = n}} a_{\vec{v}} y_1^{n_1} y_2^{n_2} \dots y_m^{n_m}$

$= \sum_{\substack{\vec{v}=(n_1, \dots, n_m) \\ n_1 + \dots + n_m = n}} \left( \frac{1}{|G|} \sum_{\pi \in G} |X_{\pi}^{\vec{v}}| \right) y_1^{n_1} y_2^{n_2} \dots y_m^{n_m}$

$= \frac{1}{|G|} \sum_{\pi \in G} \sum_{\vec{v}} |X_{\pi}^{\vec{v}}| \cdot y_1^{n_1} y_2^{n_2} \dots y_m^{n_m} = \frac{1}{|G|} \sum_{\pi \in G} M_{\pi} \left( \sum_{i=1}^m y_i, \dots, \sum_{i=1}^m y_i^{d_i} \right)$



$$\begin{aligned}
 F_{D_{20}}(r, q, l) = & r^{20} + r^{19}q + r^{19}l + 10r^{18}q^2 + 10r^{18}ql + 10r^{18}l^2 + 33r^{17}q^3 + 90r^{17}q^2l \\
 & + 90r^{17}ql^2 + 33r^{17}l^3 + 145r^{16}q^4 + 489r^{16}q^3l + 774r^{16}q^2l^2 + 489r^{16}ql^3 + 145r^{16}l^4 \\
 & + 406r^{15}q^5 + 1956r^{15}q^4l + 3912r^{15}q^3l^2 + 3912r^{15}q^2l^3 + 1956r^{15}ql^4 + 406r^{15}l^5 \\
 & + 1032r^{14}q^6 + 5832r^{14}q^5l + 14724r^{14}q^4l^2 + 19416r^{14}q^3l^3 + 14724r^{14}q^2l^4 \\
 & + 5832r^{14}ql^5 + 1032r^{14}l^6 + 1980r^{13}q^7 + 13608r^{13}q^6l + 40824r^{13}q^5l^2 \\
 & + 67956r^{13}q^4l^3 + 67956r^{13}q^3l^4 + 40824r^{13}q^2l^5 + 13608r^{13}ql^6 + 1980r^{13}l^7 \\
 & + 3260r^{12}q^8 + 25236r^{12}q^7l + 88620r^{12}q^6l^2 + 176484r^{12}q^5l^3 + 221110r^{12}q^4l^4 \\
 & + 176484r^{12}q^3l^5 + 88620r^{12}q^2l^6 + 25236r^{12}ql^7 + 3260r^{12}l^8 + 4262r^{11}q^9 \\
 & + 37854r^{11}q^8l + 151416r^{11}q^7l^2 + 352968r^{11}q^6l^3 + 529452r^{11}q^5l^4 + 529452r^{11}q^4l^5 \\
 & + 352968r^{11}q^3l^6 + 151416r^{11}q^2l^7 + 37854r^{11}ql^8 + 4262r^{11}l^9 + 4752r^{10}q^{10} \\
 & + 46252r^{10}q^9l + 208512r^{10}q^8l^2 + 554520r^{10}q^7l^3 + 971292r^{10}q^6l^4 + 1164342r^{10}q^5l^5 \\
 & + 971292r^{10}q^4l^6 + 554520r^{10}q^3l^7 + 208512r^{10}q^2l^8 + 46252r^{10}ql^9 + 4752r^{10}l^{10} \\
 & + 4262r^9q^{11} + 46252r^9q^{10}l + 231260r^9q^9l^2 + 693150r^9q^8l^3 + 1386300r^9q^7l^4 \\
 & + 1940568r^9q^6l^5 + 1940568r^9q^5l^6 + 1386300r^9q^4l^7 + 693150r^9q^3l^8 + 231260r^9q^2l^9 \\
 & + 46252r^9ql^{10} + 4262r^9l^{11} + 3260r^8q^{12} + 37854r^8q^{11}l + 208512r^8q^{10}l^2 \\
 & + 693150r^8q^9l^3 + 1560534r^8q^8l^4 + 2494836r^8q^7l^5 + 2912112r^8q^6l^6 + 2494836r^8q^5l^7 \\
 & + 1560534r^8q^4l^8 + 693150r^8q^3l^9 + 208512r^8q^2l^{10} + 37854r^8ql^{11} + 3260r^8l^{12} \\
 & + 1980r^7q^{13} + 25236r^7q^{12}l + 151416r^7q^{11}l^2 + 554520r^7q^{10}l^3 + 1386300r^7q^9l^4 \\
 & + 2494836r^7q^8l^5 + 3326448r^7q^7l^6 + 3326448r^7q^6l^7 + 2494836r^7q^5l^8 + 1386300r^7q^4l^9 \\
 & + 554520r^7q^3l^{10} + 151416r^7q^2l^{11} + 25236r^7ql^{12} + 1980r^7l^{13} + 1032r^6q^{14} \\
 & + 13608r^6q^{13}l + 88620r^6q^{12}l^2 + 352968r^6q^{11}l^3 + 971292r^6q^{10}l^4 + 1940568r^6q^9l^5 \\
 & + 2912112r^6q^8l^6 + 3326448r^6q^7l^7 + 2912112r^6q^6l^8 + 1940568r^6q^5l^9 + 971292r^6q^4l^{10} \\
 & + 352968r^6q^3l^{11} + 88620r^6q^2l^{12} + 13608r^6ql^{13} + 1032r^6l^{14} + 406r^5q^{15} + 5832r^5q^{14}l \\
 & + 40824r^5q^{13}l^2 + 176484r^5q^{12}l^3 + 529452r^5q^{11}l^4 + 1164342r^5q^{10}l^5 + 1940568r^5q^9l^6 \\
 & + 2494836r^5q^8l^7 + 2494836r^5q^7l^8 + 1940568r^5q^6l^9 + 1164342r^5q^5l^{10} + 529452r^5q^4l^{11} \\
 & + 176484r^5q^3l^{12} + 40824r^5q^2l^{13} + 5832r^5ql^{14} + 406r^5l^{15} + 145r^4q^{16} + 1956r^4q^{15}l \\
 & + 14724r^4q^{14}l^2 + 67956r^4q^{13}l^3 + 221110r^4q^{12}l^4 + 529452r^4q^{11}l^5 + 971292r^4q^{10}l^6 \\
 & + 1386300r^4q^9l^7 + 1560534r^4q^8l^8 + 1386300r^4q^7l^9 + 971292r^4q^6l^{10} + 529452r^4q^5l^{11} \\
 & + 221110r^4q^4l^{12} + 67956r^4q^3l^{13} + 14724r^4q^2l^{14} + 1956r^4ql^{15} + 145r^4l^{16} + 33r^3q^{17} \\
 & + 489r^3q^{16}l + 3912r^3q^{15}l^2 + 19416r^3q^{14}l^3 + 67956r^3q^{13}l^4 + 176484r^3q^{12}l^5 \\
 & + 352968r^3q^{11}l^6 + 554520r^3q^{10}l^7 + 693150r^3q^9l^8 + 693150r^3q^8l^9 + 554520r^3q^7l^{10} \\
 & + 352968r^3q^6l^{11} + 176484r^3q^5l^{12} + 67956r^3q^4l^{13} + 19416r^3q^3l^{14} + 3912r^3q^2l^{15} \\
 & + 489r^3ql^{16} + 33r^3l^{17} + 10r^2q^{18} + 90r^2q^{17}l + 774r^2q^{16}l^2 + 3912r^2q^{15}l^3 \\
 & + 14724r^2q^{14}l^4 + 40824r^2q^{13}l^5 + 88620r^2q^{12}l^6 + 151416r^2q^{11}l^7 + 208512r^2q^{10}l^8 \\
 & + \mathbf{231260r^2q^9l^9} + 208512r^2q^8l^{10} + 151416r^2q^7l^{11} + 88620r^2q^6l^{12} + 40824r^2q^5l^{13} \\
 & + 14724r^2q^4l^{14} + 3912r^2q^3l^{15} + 774r^2q^2l^{16} + 90r^2ql^{17} + 10r^2l^{18} + r^{19} + 10rq^{18}l \\
 & + 90rq^{17}l^2 + 489rq^{16}l^3 + 1956rq^{15}l^4 + 5832rq^{14}l^5 + 13608rq^{13}l^6 + 25236rq^{12}l^7 \\
 & + 37854rq^{11}l^8 + 46252rq^{10}l^9 + 46252rq^9l^{10} + 37854rq^8l^{11} + 25236rq^7l^{12} \\
 & + 13608rq^6l^{13} + 5832rq^5l^{14} + 1956rq^4l^{15} + 489rq^3l^{16} + 90rq^2l^{17} + 10rq^{18} + r^{19} \\
 & + q^{20} + q^{19}l + 10q^{18}l^2 + 33q^{17}l^3 + 145q^{16}l^4 + 406q^{15}l^5 + 1032q^{14}l^6 + 1980q^{13}l^7 \\
 & + 3260q^{12}l^8 + 4262q^{11}l^9 + 4752q^{10}l^{10} + 4262q^9l^{11} + 3260q^8l^{12} + 1980q^7l^{13} \\
 & + 1032q^6l^{14} + 406q^5l^{15} + 145q^4l^{16} + 33q^3l^{17} + 10q^2l^{18} + ql^{19} + l^{20}
 \end{aligned}$$