

6. Existence Problems

存在性

有 or 没有

Counting Argument

1. Circuit Complexity

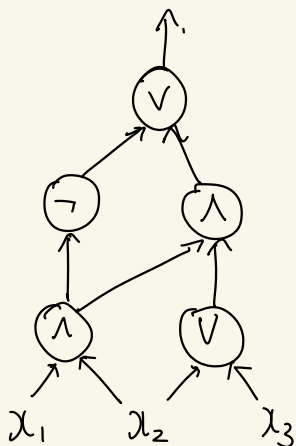
Boolean circuit.

$$f: \{0,1\}^n \rightarrow \{0,1\}$$

discriminating

$$\# \text{ of } f: |\{0,1\}^n \rightarrow \{0,1\}| = \underbrace{2 \cdot 2 \cdots 2}_{2^n} = 2^{2^n}$$

doubly exponential



DAG (directed acyclic graph)

Nodes $\left\{ \begin{array}{l} \text{inputs: } x_1, \dots, x_n \\ \text{gates: } \wedge, \vee, \neg \end{array} \right.$

Complexity: # of gates

P: polynomial.

NP: non-deterministic polynomial

是否存在难题

Thm (Shannon, 1949)

\exists boolean function $f: \{0,1\}^n \rightarrow \{0,1\}$

Almost all

which cannot be computed by any circuit with $\frac{2^n}{3n}$ gates.

★ 计算复杂度: one circuit computes one function

Given t gates: \log \wedge or \vee

$$\left\{ \begin{array}{l} \neg(A \vee B) = (\neg A) \wedge (\neg B) \\ \neg(A \wedge B) = (\neg A) \vee (\neg B) \end{array} \right.$$

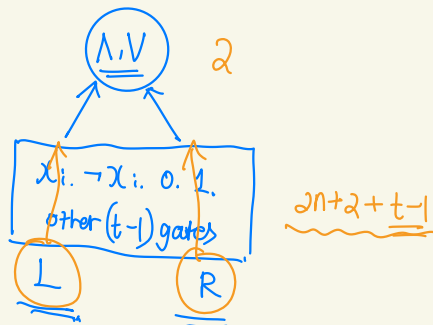
Inputs: $x_1, \dots, x_n, \neg x_1, \dots, \neg x_n, 0, 1$

$\rightarrow (2n+2)$ 数

of circuits with t gates:

$$\leq \left(2 \cdot (2n+2+t-1) \right)^t$$

$$= 2^t \cdot (2n+t+1)^{2t}$$



\Rightarrow # of functions by t gates

$$\leq \# \text{ of circuits with } t \text{ gates} \leq 2^t \cdot (2n+t+1)^{2t} \ll 2^{2^n} = \#f$$

\Rightarrow 算法的数量 \ll 问题的数量

\Rightarrow 能高效解决 n 问题只是沧海一粟.

for $t \leq \frac{2^n}{3n}$

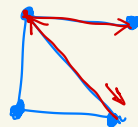
2. Handshaking Lemma: the number of people who shake an odd number of other people's hands is even.

$$\sum_{v \in V} d(v) = 2 \cdot |E|$$

n people $\Leftrightarrow n$ vertices

handshaking \Leftrightarrow edge

of handshaking \Leftrightarrow degree



double counting

\hookrightarrow Count the # of edge orientations

Count by vertex: $\forall v \in V, d(v)$ directed edges

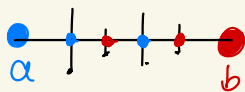
Count by edge: $\forall e \in E, (u,v)$ or (v,u) 2 orientations

\Downarrow

of odd-degree vertices is even.

Sperner's Lemma

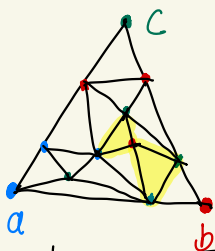
线段 ab \rightarrow divided into small segments.



each endpoint: red / blue.

$\Rightarrow \exists$ a small segment (# : odd)

三角形 abc \rightarrow triangulation

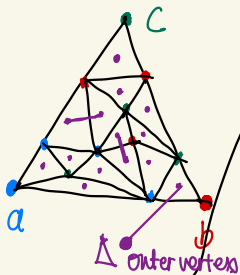
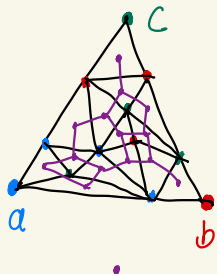


proper coloring : $\begin{cases} 3 \text{ color } \bullet \bullet \bullet \\ \underline{a} \underline{b} \underline{c} : \text{tricolors} \\ \text{lines } ab, bc, ca \text{ are 2-colors} \end{cases}$

Lemma : \forall properly colored triangulation of a triangle,
 \exists a properly colored small triangle

dual graph 对偶图

\Rightarrow partial dual graph



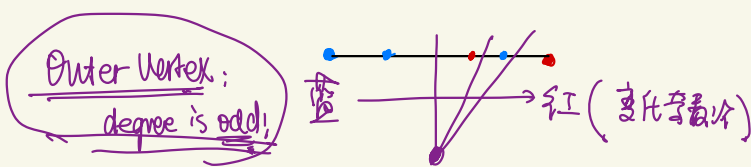
add an edge if 2Δ
share a edge

degree 1. \rightarrow odd
degree 2 \rightarrow even
other cases: degree 0, \rightarrow even

by handshaking lemma.

\Rightarrow # of odd-degree vertices is even.

other cases: 红蓝绿
outer vertex (1)



\Rightarrow # : odd !

- high-dimensional
 - triangle \rightarrow simplex
 - triangulation \rightarrow simplital subdivision

Brouwer's fixed point theorem : \forall continuous function $f: B \rightarrow B$ of an n -dimensional ball B , \exists fixed point $x = f(x)$

Averaging Principle

- $n+1$ pigeons cannot sit in n holes so that every pigeon is alone on its own.
- If $> mn$ objects are partitioned into n classes, then some class receives $> m$ objects.

$\left\{ \begin{array}{l} \text{drawer principle} \\ \text{pigeonhole principle} \end{array} \right.$

$$\begin{aligned} (\text{r.v. } X: & \Pr(X \geq \mathbb{E}[X]) > 0 \\ & \Pr(X \leq \mathbb{E}[X]) > 0 \end{aligned}$$

① Approximate any irrational x by a rational with bounded denominator.

(Thm) (Dirichlet, 1879) \forall irrational x , $n \in \mathbb{N}$, \exists rational $\frac{p}{q}$.

$$\text{s.t. } 1 \leq q \leq n, \text{ and } \left| x - \frac{p}{q} \right| < \frac{1}{nq}.$$

Proof: "抽屉引"

$$\Leftrightarrow \underbrace{|qx - p|}_{< 1} < \underbrace{\frac{1}{n}}_{< \frac{1}{n}}$$

$$x \begin{cases} \text{integer part: } \lfloor x \rfloor \\ \text{fractional part: } \{x\} = x - \lfloor x \rfloor \end{cases}$$

$$(0, 1) \rightarrow \left(0, \frac{1}{n}\right), \left(\frac{1}{n}, \frac{2}{n}\right), \dots, \left(\frac{n-1}{n}, 1\right)$$

$$\{kx\} \text{ for } k=1, 2, \dots, n+1$$

n holes

$n+1$ pigeons

\Rightarrow By pigeonhole principle, $\exists 1 \leq b < a \leq n+1$ s.t. $\{ax\}, \{bx\}$ in same hole.

$$\Rightarrow |\{ax\} - \{bx\}| < \frac{1}{n}$$

$$\Rightarrow |(a-b)x - (\lfloor ax \rfloor - \lfloor bx \rfloor)| < \frac{1}{n} \Rightarrow |qx - p| < \frac{1}{n} \quad \square$$

$q \in [n]$ x

② Paul Erdős

Claim: $\forall S \subseteq \{1, 2, \dots, 2n\}$ that $|S| > n$
 $\exists a, b \in S$ s.t. $\underline{a|b}$.

Proof: $\forall a \in \{1, 2, \dots, 2n\}$ $a = 2^k m$

$$a = 2^k \underline{m} \text{ for an odd } \underline{m}$$

$\Rightarrow m$ is the quotient of $a/2^k$.

$$\underline{C_m} = \{2^k m \mid k \geq 0, 2^k m \leq 2n\}$$



$$64 + 16 + 8 = 88$$

$$\underline{2^3 \cdot m} \in \underline{C_{11}}$$

n pigeonholes: $\underline{C_1}, \underline{C_3}, \underline{C_5}, \dots, \underline{C_{n-1}}$

$> n$ pigeons: S .

$$\Rightarrow \exists a < b. \quad \underbrace{a \cdot b \in C_m}_{\substack{2^k m. \quad 2^{k'} m}} \Rightarrow \underline{a|b} \quad \square$$

③ Monotonic Subsequences

Sequence (a_1, \dots, a_n) : n different numbers

$\hookrightarrow 1 \leq i_1 < i_2 < \dots < i_k \leq n \Rightarrow$ Subsequence $(a_{i_1}, a_{i_2}, \dots, a_{i_k})$

increasing: $a_{i_1} < a_{i_2} < \dots < a_{i_k}$
decreasing: $a_{i_1} > a_{i_2} > \dots > a_{i_k}$

Thm (Erdős - Szekeres, 1935) A sequence of $> mn$ different numbers must contain
 { an \nearrow subsequence of length $m+1$ $a_i \neq a_j$
 or \searrow subsequence of length $n+1$

Proof: (a_1, \dots, a_n) . $N > mn$

$a_i \Leftrightarrow (x_i, y_i)$ $\left\{ \begin{array}{l} x_i : \text{length of longest } \nearrow \text{ subsequence ending at } a_i \\ y_i : \text{length of longest } \searrow \text{ subsequence starting at } a_i \end{array} \right.$

$\forall i \neq j \quad \underbrace{(x_i, y_i) \neq (x_j, y_j)}_{\text{(assume } i < j)} \left\{ \begin{array}{l} a_i < a_j : x_i < x_j \\ a_i > a_j : y_i > y_j \end{array} \right.$

\Downarrow
 $m \cdot n$ holes

One pigeon per one holes!

\Rightarrow No way to put N pigeons into mn holes.

$\blacksquare (x_k, y_k) : \underline{x_k > m} \text{ or } \underline{y_k > n}$ \square

