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配置

以最简单的jdbc事务为例:

解析

TxNamespaceHandler.init:

明显解析的入口便在AnnotationDrivenBeanDefinitionParser.parse:

```
@override
public BeanDefinition parse(Element element, ParserContext parserContext) {
    registerTransactionalEventListenerFactory(parserContext);
    String mode = element.getAttribute("mode");
    if ("aspectj".equals(mode)) {
        // mode="aspectj"
        registerTransactionAspect(element, parserContext);
    } else {
        // mode="proxy"
        AopAutoProxyConfigurer.configureAutoProxyCreator(element, parserContext);
    }
    return null;
}
```

下面分部分进行说明。

TransactionalEventListener

第一部分用于向Spring容器注册TransactionalEventListener工厂,TransactionalEventListener是Spring4.2引入的新特性,允许我们自定义监听器监听事务的提交或其它动作。

主要组件注册

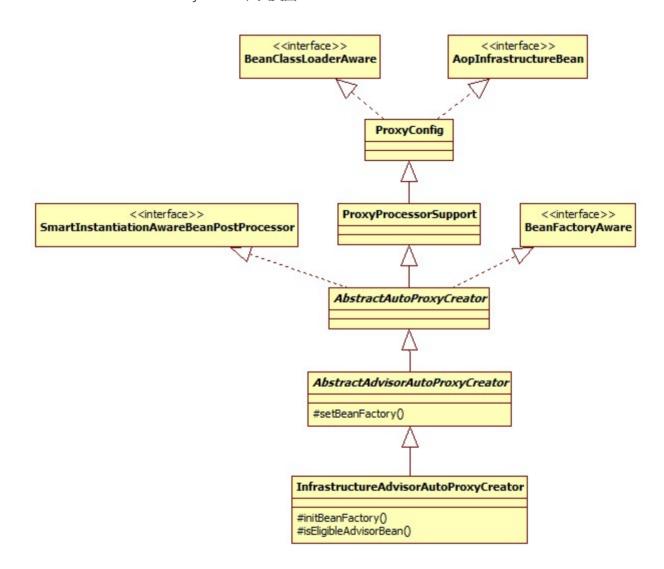
即configureAutoProxyCreator方法,此方法的最终作用便是在Spring容器中加入这样的bean结构:

BeanFactoryTransactionAttributeSourceAdvisor->TransactionInterceptor->AnnotationTransactionAttributeSource

其中AnnotationTransactionAttributeSource用于解析@Transactional注解的相关属性。

代理类生成

与aop模块类似,入口位于configureAutoProxyCreator里注册的bean: InfrastructureAdvisorAutoProxyCreator,其类图:



此类的特殊之处从其名字上可以体现: 只考虑Spring内部使用的基础设施Advisor。

为类创建代理的入口位于AbstractAutoProxyCreator.postProcessAfterInitialization:

```
@Override
public Object postProcessAfterInitialization(Object bean, String beanName) throws
BeansException {
   if (bean != null) {
      Object cacheKey = getCacheKey(bean.getClass(), beanName);
      if (!this.earlyProxyReferences.contains(cacheKey)) {
        return wrapIfNecessary(bean, beanName, cacheKey);
      }
   }
   return bean;
}
```

wraplfNecessary核心逻辑:

```
protected Object wrapIfNecessary(Object bean, String beanName, Object cacheKey) {
    // Create proxy if we have advice.
    Object[] specificInterceptors = getAdvicesAndAdvisorsForBean(bean.getClass(),
    beanName, null);
    if (specificInterceptors != DO_NOT_PROXY) {
        this.advisedBeans.put(cacheKey, Boolean.TRUE);
        Object proxy = createProxy(
            bean.getClass(), beanName, specificInterceptors, new
SingletonTargetSource(bean));
        this.proxyTypes.put(cacheKey, proxy.getClass());
        return proxy;
    }
}
```

Advisor寻找

getAdvicesAndAdvisorsForBean用于去容器中寻找适合当前bean的Advisor,其最终调用 AbstractAdvisorAutoProxyCreator.findEligibleAdvisors:

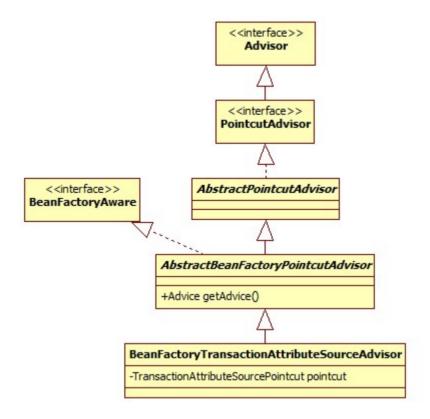
```
protected List<Advisor> findEligibleAdvisors(Class<?> beanClass, String beanName) {
    List<Advisor> candidateAdvisors = findCandidateAdvisors();
    List<Advisor> eligibleAdvisors = findAdvisorsThatCanApply(candidateAdvisors,
    beanClass, beanName);
    extendAdvisors(eligibleAdvisors);
    if (!eligibleAdvisors.isEmpty()) {
        //按照@ordered排序
        eligibleAdvisors = sortAdvisors(eligibleAdvisors);
    }
    return eligibleAdvisors;
}
```

这个方法在spring-aop中已经详细说明过了,这里再强调一下具体的查找逻辑:

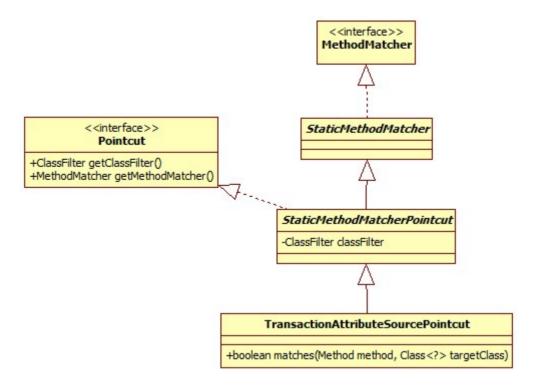
- 首先去容器找出所有实现了Advisor接口的bean,对应findCandidateAdvisors方法.
- 逐一判断Advisor是否适用于当前bean,对应findAdvisorsThatCanApply方法,判断逻辑为:
 - o 如果Advisor是IntroductionAdvisor, 那么判断其ClassFilter是否可以匹配bean的类.

- o 如果Advisor是PointcutAdvisor,那么首先进行ClassFilter匹配,如果匹配失败,那么再获得Advisor的 MethodMatcher对象,如果MethodMatcher可以匹配任意方法,那么返回true,否则反射获取给定 bean的所有方法逐一进行匹配,只要有一个匹配成功,即返回true.
- 。 其它情况,直接返回true.

对于spring事务来说,我们有唯一的Advisor: BeanFactoryTransactionAttributeSourceAdvisor,其类图:



可以看出,BeanFactoryTransactionAttributeSourceAdvisor其实是一个PointcutAdvisor,所以**是否可以匹配取 决于其Pointcut**。此Advisor的pointcut是一个TransactionAttributeSourcePointcut对象,类图:



Pointcut的核心在于其ClassFilter和MethodMatcher。

ClassFilter:

位于StaticMethodMatcherPointcut:

```
private ClassFilter = ClassFilter.TRUE;
```

即: 类检查全部通过。

MethodMatcher:

TransactionAttributeSourcePointcut.matches:

```
@Override
public boolean matches(Method method, Class<?> targetClass) {
    //如果已经是事务代理,那么不应该再次代理
    if (TransactionalProxy.class.isAssignableFrom(targetClass)) {
        return false;
    }
    TransactionAttributeSource tas = getTransactionAttributeSource();
    return (tas == null || tas.getTransactionAttribute(method, targetClass) != null);
}
```

getTransactionAttribute方法使用了缓存的思想,但其核心逻辑位于 AbstractFallbackTransactionAttributeSource.computeTransactionAttribute:

```
protected TransactionAttribute computeTransactionAttribute(Method method, Class<?>
targetClass) {
    // Don't allow no-public methods as required.
    if (allowPublicMethodsOnly() && !Modifier.isPublic(method.getModifiers())) {
```

```
return null;
    }
    // Ignore CGLIB subclasses - introspect the actual user class.
    Class<?> userClass = ClassUtils.getUserClass(targetClass);
    // The method may be on an interface, but we need attributes from the target class.
    // If the target class is null, the method will be unchanged.
    Method specificMethod = ClassUtils.getMostSpecificMethod(method, userClass);
    // If we are dealing with method with generic parameters, find the original method.
    specificMethod = BridgeMethodResolver.findBridgedMethod(specificMethod);
    // First try is the method in the target class.
    TransactionAttribute txAtt = findTransactionAttribute(specificMethod);
    if (txAtt != null) {
        return txAtt;
    }
    // Second try is the transaction attribute on the target class.
    txAtt = findTransactionAttribute(specificMethod.getDeclaringClass());
    if (txAtt != null && ClassUtils.isUserLevelMethod(method)) {
        return txAtt:
    }
    if (specificMethod != method) {
        // Fallback is to look at the original method.
        txAtt = findTransactionAttribute(method);
        if (txAtt != null) {
            return txAtt;
        }
        // Last fallback is the class of the original method.
        txAtt = findTransactionAttribute(method.getDeclaringClass());
        if (txAtt != null && ClassUtils.isUserLevelMethod(method)) {
            return txAtt;
        }
    }
    return null;
}
```

很明显可以看出,**首先去方法上查找是否有相应的事务注解(比如@Transactional),如果没有,那么再去类上查找。**

运行

以JDK动态代理为例,JdkDynamicAopProxy.invoke简略版源码:

```
@Override
public Object invoke(Object proxy, Method method, Object[] args) throws Throwable {
    List<Object> chain =
    this.advised.getInterceptorsAndDynamicInterceptionAdvice(method, targetClass);
    if (chain.isEmpty()) {.
        //没有可用的拦截器, 直接调用原方法
        Object[] argsToUse = AopProxyUtils.adaptArgumentsIfNecessary(method, args);
        retVal = AopUtils.invokeJoinpointUsingReflection(target, method, argsToUse);
    } else {
        // We need to create a method invocation...
```

```
invocation = new ReflectiveMethodInvocation(proxy, target, method, args,
targetClass, chain);
    // Proceed to the joinpoint through the interceptor chain.
    Object retVal = invocation.proceed();
}
```

调用链生成

即getInterceptorsAndDynamicInterceptionAdvice方法,其原理是:

遍历所有使用的 Advisor,获得其Advice,将Advice转为MethodInterceptor。那么是如何转的呢?

根据Spring的定义,Advice可以是一个MethodInterceptor,也可以是类似于Aspectj的before, after通知。转换由DefaultAdvisorAdapterRegistry.getInterceptors完成:

```
@override
public MethodInterceptor[] getInterceptors(Advisor advisor) throws
UnknownAdviceTypeException {
    List<MethodInterceptor> interceptors = new ArrayList<MethodInterceptor>(3);
   Advice advice = advisor.getAdvice();
   if (advice instanceof MethodInterceptor) {
        interceptors.add((MethodInterceptor) advice);
    }
    for (AdvisorAdapter adapter : this.adapters) {
        if (adapter.supportsAdvice(advice)) {
            interceptors.add(adapter.getInterceptor(advisor));
       }
    }
    if (interceptors.isEmpty()) {
        throw new UnknownAdviceTypeException(advisor.getAdvice());
    return interceptors.toArray(new MethodInterceptor[interceptors.size()]);
}
```

AdvisorAdapter接口用以支持用户自定义的Advice类型,并将自定义的类型转为拦截器。默认adapters含有 MethodBeforeAdviceAdapter、AfterReturningAdviceAdapter和ThrowsAdviceAdapter三种类型,用以分别支持MethodBeforeAdvice、AfterReturningAdvice和ThrowsAdvice。

对于我们的BeanFactoryTransactionAttributeSourceAdvisor来说,有且只有一个拦截器: TransactionInterceptor.

调用链调用

ReflectiveMethodInvocation.proceed:

```
@Override
public Object proceed() throws Throwable {
   if (this.currentInterceptorIndex ==
   this.interceptorsAndDynamicMethodMatchers.size() - 1) {
        //拦截器执行完毕,调用原本的方法
```

```
return invokeJoinpoint();
    }
   Object interceptorOrInterceptionAdvice =
this.interceptorsAndDynamicMethodMatchers.get(++this.currentInterceptorIndex);
    if \ (interceptor Or Interception Advice \ instance of \ Interceptor And Dynamic Method Matcher)
{
        InterceptorAndDynamicMethodMatcher dm =
                (InterceptorAndDynamicMethodMatcher) interceptorOrInterceptionAdvice;
        if (dm.methodMatcher.matches(this.method, this.targetClass, this.arguments)) {
            return dm.interceptor.invoke(this);
        } else {
            // Dynamic matching failed.
            return proceed();
        }
    } else {
        //调用拦截器的invoke方法
        return ((MethodInterceptor) interceptorOrInterceptionAdvice).invoke(this);
    }
}
```

可以看出,这其实是一个**逐个调用拦截器的invoke方法,最终调用原本方法(被代理方法)的过程**。所以,事务添加的核心逻辑(入口)在TransactionInterceptor的invoke方法。

TransactionInterceptor

invoke方法:

invokeWithinTransaction简略版源码(仅保留PlatformTransactionManager部分):

```
protected Object invokeWithinTransaction(Method method, Class<?> targetClass, final
InvocationCallback invocation){
    // If the transaction attribute is null, the method is non-transactional.
    final TransactionAttribute txAttr = getTransactionAttributeSource()
        .getTransactionAttribute(method, targetClass);
    final PlatformTransactionManager tm = determineTransactionManager(txAttr);
    //得到方法名
```

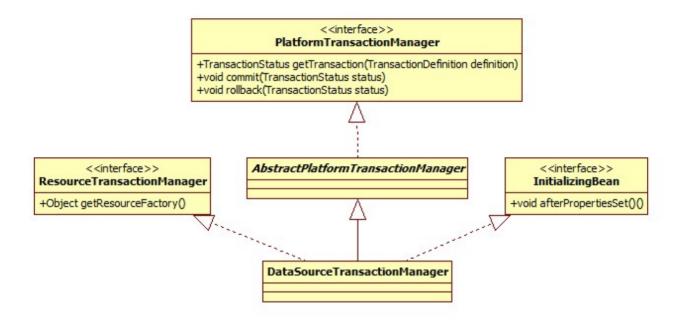
```
final String joinpointIdentification = methodIdentification(method, targetClass);
    if (txAttr == null || !(tm instanceof
CallbackPreferringPlatformTransactionManager)) {
        // Standard transaction demarcation with getTransaction and commit/rollback
calls.
       TransactionInfo txInfo = createTransactionIfNecessary(tm, txAttr,
joinpointIdentification);
       Object retVal = null;
        try {
            // This is an around advice: Invoke the next interceptor in the chain.
            // This will normally result in a target object being invoked.
            retVal = invocation.proceedWithInvocation();
        } catch (Throwable ex) {
            // target invocation exception
            completeTransactionAfterThrowing(txInfo, ex);
            throw ex;
       } finally {
            cleanupTransactionInfo(txInfo);
        commitTransactionAfterReturning(txInfo);
       return retVal;
   }
}
```

事务管理器

determineTransactionManager方法用以确定使用的事务管理器:

```
protected PlatformTransactionManager determineTransactionManager(TransactionAttribute
txAttr) {
   //如果没有事务属性,那么仅从缓存中查找,找不到返回null
   if (txAttr == null || this.beanFactory == null) {
       return getTransactionManager();
   }
   String qualifier = txAttr.getQualifier();
   //如果@Transactional注解配置了transactionManager或value属性(用以决定使用哪个事务管理器):
   //首先查找缓存,找不到再去容器中按名称寻找
   if (StringUtils.hasText(qualifier)) {
       return determineQualifiedTransactionManager(qualifier);
   } else if (StringUtils.hasText(this.transactionManagerBeanName)) {
       return determineQualifiedTransactionManager(this.transactionManagerBeanName);
   } else {
       //去容器中按类型(Class)查找
       PlatformTransactionManager defaultTransactionManager = getTransactionManager();
       if (defaultTransactionManager == null) {
           defaultTransactionManager =
this.beanFactory.getBean(PlatformTransactionManager.class);
           this.transactionManagerCache.putIfAbsent(
                   DEFAULT_TRANSACTION_MANAGER_KEY, defaultTransactionManager);
       return defaultTransactionManager;
   }
}
```

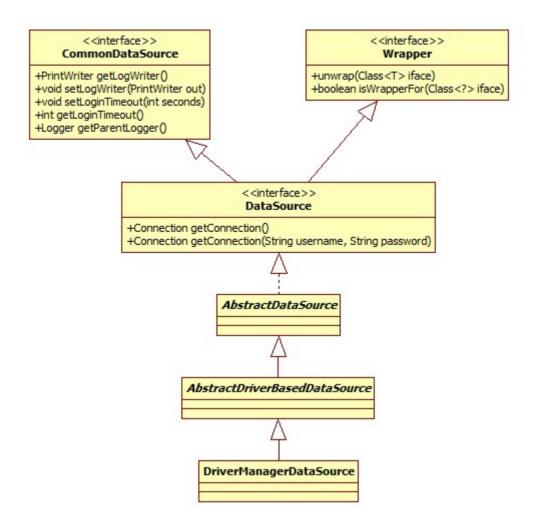
对于我们使用的DataSourceTransactionManager, 类图:



afterPropertiesSet方法只是对dataSource进行了检查。

DataSource

DriverManagerDataSource类图:



其中CommonDataSource、Wrapper、DataSource均位于javax.sql包下。

事务开启

invocation.proceedWithInvocation()便是我们的逻辑,而createTransactionIfNecessary便是在逻辑执行前开启事务。

TransactionAspectSupport.createTransactionIfNecessary:

```
status = tm.getTransaction(txAttr);
}

return prepareTransactionInfo(tm, txAttr, joinpointIdentification, status);
}
```

此部分的核心逻辑在getTransaction和prepareTransactionInfo方法,源码较长,下面依然按部分整理。

是否已存在事务

目的在于支撑事务的传播性。

源码位于DataSourceTransactionManager.doGetTransaction,核心逻辑在 TransactionSynchronizationManager.doGetResource:

```
private static Object doGetResource(Object actualKey) {
    Map<Object, Object> map = resources.get();
    if (map == null) {
        return null;
    }
   Object value = map.get(actualKey);
    // Transparently remove ResourceHolder that was marked as void...
    if (value instanceof ResourceHolder && ((ResourceHolder) value).isVoid()) {
       map.remove(actualKey);
       // Remove entire ThreadLocal if empty...
       if (map.isEmpty()) {
            resources.remove();
       }
       value = null;
    return value;
}
```

actualKey实际上是DataSource对象, resources是一个ThreadLocal对象, 其声明源码:

```
private static final ThreadLocal<Map<Object, Object>> resources =
    new NamedThreadLocal<Map<Object, Object>>("Transactional resources");
```

从这里可以得出结论:

是否存在事务指的是在当前线程、当前数据源(DataSource)中是否存在处于活动状态的事务。

事务已存在

如果检测到已存在事务,那么就要考虑事务的传播特性(行为).此部分源码位于 AbstractPlatformTransactionManager.handleExistingTransaction,下面按照不同的传播特性展开。

PROPAGATION_NEVER

即当前方法需要在非事务的环境下执行,如果有事务存在,那么抛出异常。相关源码:

```
if (definition.getPropagationBehavior() == TransactionDefinition.PROPAGATION_NEVER) {
   throw new IllegalTransactionStateException(
        "Existing transaction found for transaction marked with propagation 'never'");
}
```

PROPAGATION_NOT_SUPPORTED

与前者的区别在于,如果有事务存在,那么将事务挂起,而不是抛出异常。

```
if (definition.getPropagationBehavior() ==
TransactionDefinition.PROPAGATION_NOT_SUPPORTED) {
   Object suspendedResources = suspend(transaction);
   boolean newSynchronization = (getTransactionSynchronization() ==
SYNCHRONIZATION_ALWAYS);
   return prepareTransactionStatus(
        definition, null, false, newSynchronization, debugEnabled, suspendedResources);
}
```

事务挂起

此部分的核心在于suspend方法,最终调用TransactionSynchronizationManager.doUnbindResource:

```
private static Object doUnbindResource(Object actualKey) {
    Map<Object, Object> map = resources.get();
    if (map == null) {
        return null;
    }
    Object value = map.remove(actualKey);
    // Remove entire ThreadLocal if empty...
    if (map.isEmpty()) {
        resources.remove();
    }
    // Transparently suppress a ResourceHolder that was marked as void...
    if (value instanceof ResourceHolder && ((ResourceHolder) value).isVoid()) {
        value = null;
    }
    return value;
}
```

可以看出,所谓的事务挂起其实就是一个移除当前线程、数据源活动事务对象的过程。

同时注意getTransaction方法返回的是一个TransactionStatus对象,被**挂起的事务的各种状态都被保存在此对象中**。

那么挂起这个操作到底是如何实现(起作用)的呢?

DataSourceTransactionManager.doSuspend:

其实玄机就在于将ConnectionHolder设为null这一行,因为**一个ConnectionHolder对象就代表了一个数据库连接,将ConnectionHolder设为null就意味着我们下次要使用连接时,将重新从连接池获取,而新的连接的自动提交是为true的。**

PROPAGATION REQUIRES NEW

可以看出,这其实是一个**挂起当前活动事务并创建新事务的过程**,doBegin方法是事务开启的核心,将在后面进行说明。

PROPAGATION_NESTED

其意义:

PROPAGATION_NESTED 开始一个 "嵌套的" 事务,它是已经存在事务的一个真正的子事务. 嵌套事务开始执行时,它将取得一个 savepoint. 如果这个嵌套事务失败,我们将回滚到此 savepoint. 嵌套事务是外部事务的一部分,只有外部事务结束后它才会被提交.

摘自: 解惑 spring 嵌套事务

核心源码(忽略JTA部分):

关键在于如何创建SavePoint, AbstractTransactionStatus.createAndHoldSavepoint:

```
public void createAndHoldSavepoint() throws TransactionException {
    setSavepoint(getSavepointManager().createSavepoint());
}
```

DefaultTransactionStatus.getSavepointManager:

可以看出,SavepointManager实际上从Transaction强转而来,Transaction在Spring都是用Object引用的,那么这到底是个什么东西?

debug环境搭建

- 安装Mysql数据库(或其它支持jdbc)并正确配置数据库连接.
- 定义两个bean, 代表我们的业务逻辑:
 - TransactionBean:

```
@Component
public class TransactionBean {
    private NestedBean nestedBean;
    public NestedBean getNestedBean() {
        return nestedBean;
    }
    public void setNestedBean(NestedBean nestedBean) {
        this.nestedBean = nestedBean;
    }
```

```
@Transactional(propagation = Propagation.REQUIRED)
public void process() {
    System.out.println("事务执行");
    nestedBean.nest();
}
```

NestedBean:

```
@Component
public class NestedBean {
    @Transactional(propagation = Propagation.NESTED)
    public void nest() {
        System.out.println("嵌套事务");
    }
}
```

• 配置文件:

• 入口:

```
public static void main(String[] args) {
   ClassPathXmlApplicationContext context = new
ClassPathXmlApplicationContext("config.xml");
   TransactionBean bean = context.getBean(TransactionBean.class);
   bean.process();
}
```

这样将断点打在合适的位置便可以得到事务对象究竟是什么。

注意:

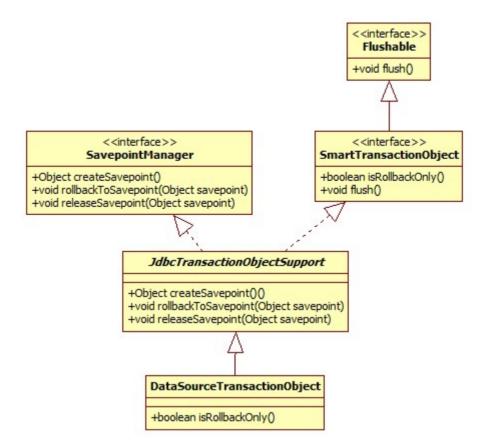
nest方法必须在一个单独的业务bean中,否则对nest的调用并不会导致事务获取的触发。这是由JDK动态代理的实现机制决定的,**调用当前类的方法并不会触发代理逻辑(InvocationHandler)**。

这一点可以运行demo:test.proxy.JDKProxy看出。

运行debug可以发现,**对于DataSourceTransactionManager,事务对象其实是其内部类 DataSourceTransactionObject**.

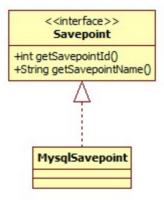
事务对象

DataSourceTransactionObject的类图如下:



Savepoint

位于java.sql包下,对于Mysql来说,由Mysql驱动提供实现,类图:



下面来看一下Savepoint到底是如何被创建的。

JdbcTransactionObjectSupport.createSavepoint简略版源码:

```
@Override
public Object createSavepoint() throws TransactionException {
    ConnectionHolder conHolder = getConnectionHolderForSavepoint();
    return conHolder.createSavepoint();
}
```

ConnectionHolder.createSavepoint:

```
public Savepoint createSavepoint() throws SQLException {
    this.savepointCounter++;
    return getConnection().setSavepoint(SAVEPOINT_NAME_PREFIX + this.savepointCounter);
}
```

我们可以得出这样的结论:

Savepoint由java SQL标准定义,具体实现由数据库完成。从mysql的客户端可以直接执行命令 savepoint xx 可以看出这一点。

其它

略。

事务创建

如果之前不存在事务,那么就需要创建了,核心逻辑位于DataSourceTransactionManager.doBegin:

```
@override
protected void doBegin(Object transaction, TransactionDefinition definition) {
    //此时,txObject不为null,只是其核心的ConnectHolder属性为null
   DataSourceTransactionObject txObject = (DataSourceTransactionObject) transaction;
   Connection con = null;
   if (tx0bject.getConnectionHolder() == null ||
            txObject.getConnectionHolder().isSynchronizedWithTransaction()) {
       Connection newCon = this.dataSource.getConnection();
       //获得连接,可以看出ConnectionHolder是对Connection的包装
       txObject.setConnectionHolder(new ConnectionHolder(newCon), true);
   }
   txObject.getConnectionHolder().setSynchronizedWithTransaction(true);
   con = txObject.getConnectionHolder().getConnection();
   //设置是否只读和隔离级别
   Integer previousIsolationLevel =
DataSourceUtils.prepareConnectionForTransaction(con, definition);
   txObject.setPreviousIsolationLevel(previousIsolationLevel);
   // Switch to manual commit if necessary. This is very expensive in some JDBC
drivers.
   // so we don't want to do it unnecessarily (for example if we've explicitly
   // configured the connection pool to set it already).
   if (con.getAutoCommit()) {
       txObject.setMustRestoreAutoCommit(true);
       con.setAutoCommit(false);
   }
   txObject.getConnectionHolder().setTransactionActive(true);
   int timeout = determineTimeout(definition);
   if (timeout != TransactionDefinition.TIMEOUT_DEFAULT) {
       txObject.getConnectionHolder().setTimeoutInSeconds(timeout);
   }
   // Bind the session holder to the thread.
   if (txObject.isNewConnectionHolder()) {
       TransactionSynchronizationManager.bindResource(getDataSource(),
txObject.getConnectionHolder());
   }
```

到这里便可以得出结论:

Spring事务的开启实际上是将数据库的自动提交设为false。

事务提交 & 回滚

其实就是对jdbc相应方法的封装,不再展开。

总结

事务的本质其实是对数据库自动提交的关闭与开启,传播特性是Spring提出、实现、控制的概念,而隔离级别是对数据库实现的封装。