

# PreFix: Switch Failure Prediction in Datacenter Networks

Sen Yang<sup>4</sup>

Joint work with

Shenglin Zhang<sup>1</sup>, Ying Liu<sup>2</sup>, Weibin Meng<sup>2</sup>, Zhiling Luo<sup>3</sup>, Jiahao Bu<sup>2</sup>, Peixian Liang<sup>5</sup>,  
Dan Pei<sup>2</sup>, Jun Xu<sup>4</sup>, Yuzhi Zhang<sup>1</sup>, Yu Chen<sup>6</sup>, Hui Dong<sup>6</sup>, Xianping Qu<sup>6</sup>, Lei Song<sup>6</sup>



1



2



3



4

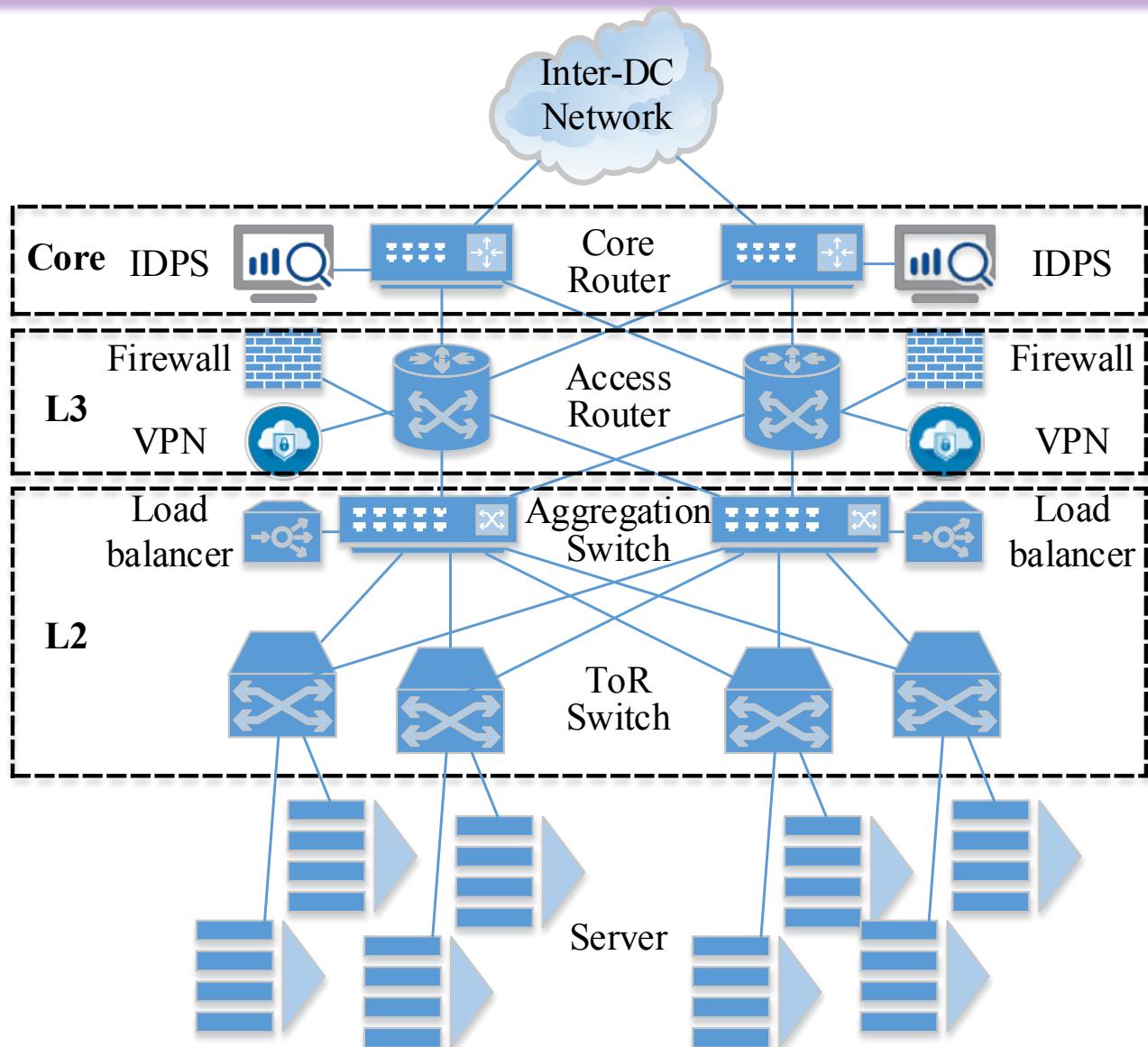


5

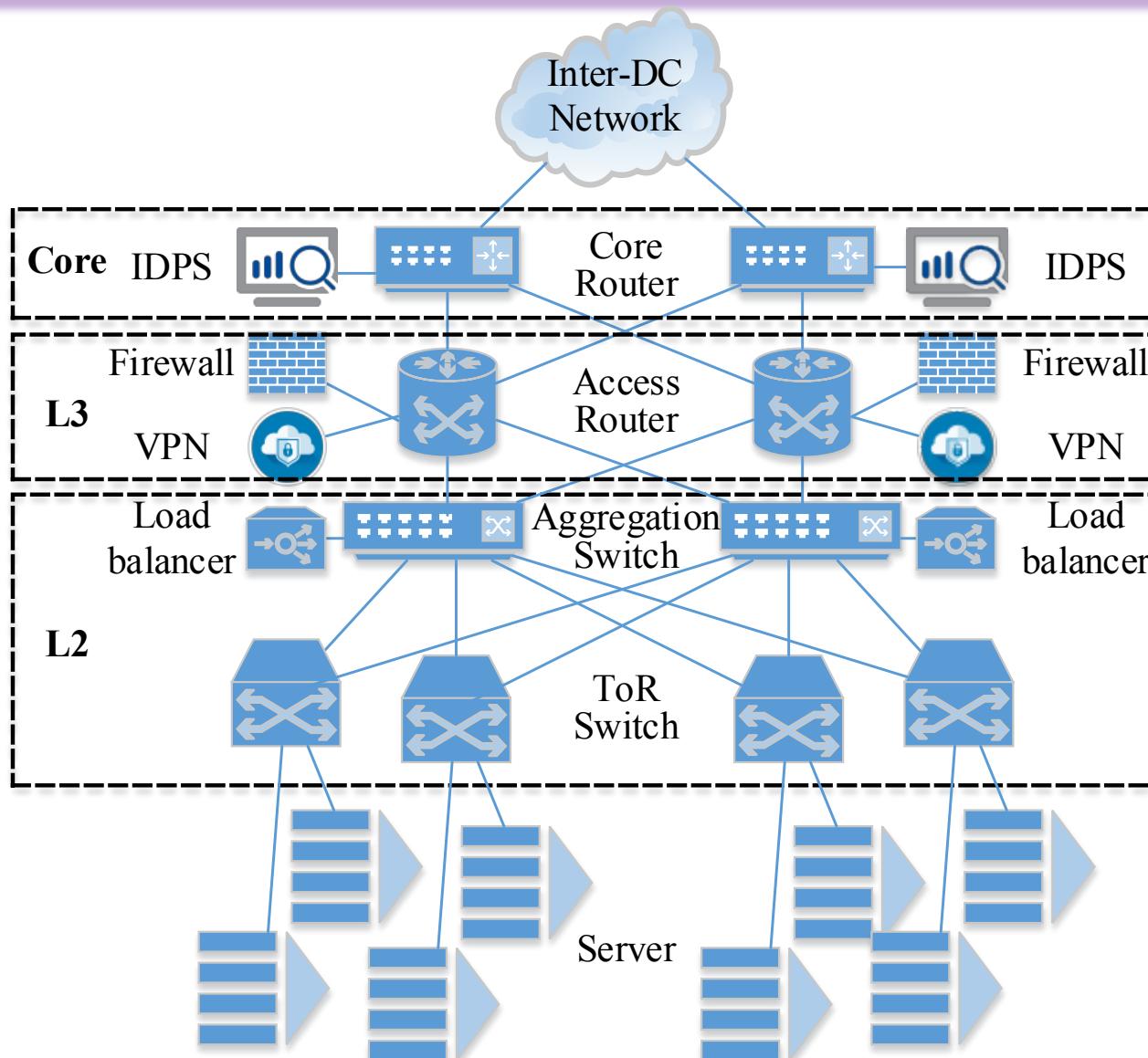


6

# Network Devices in Data Center Networks

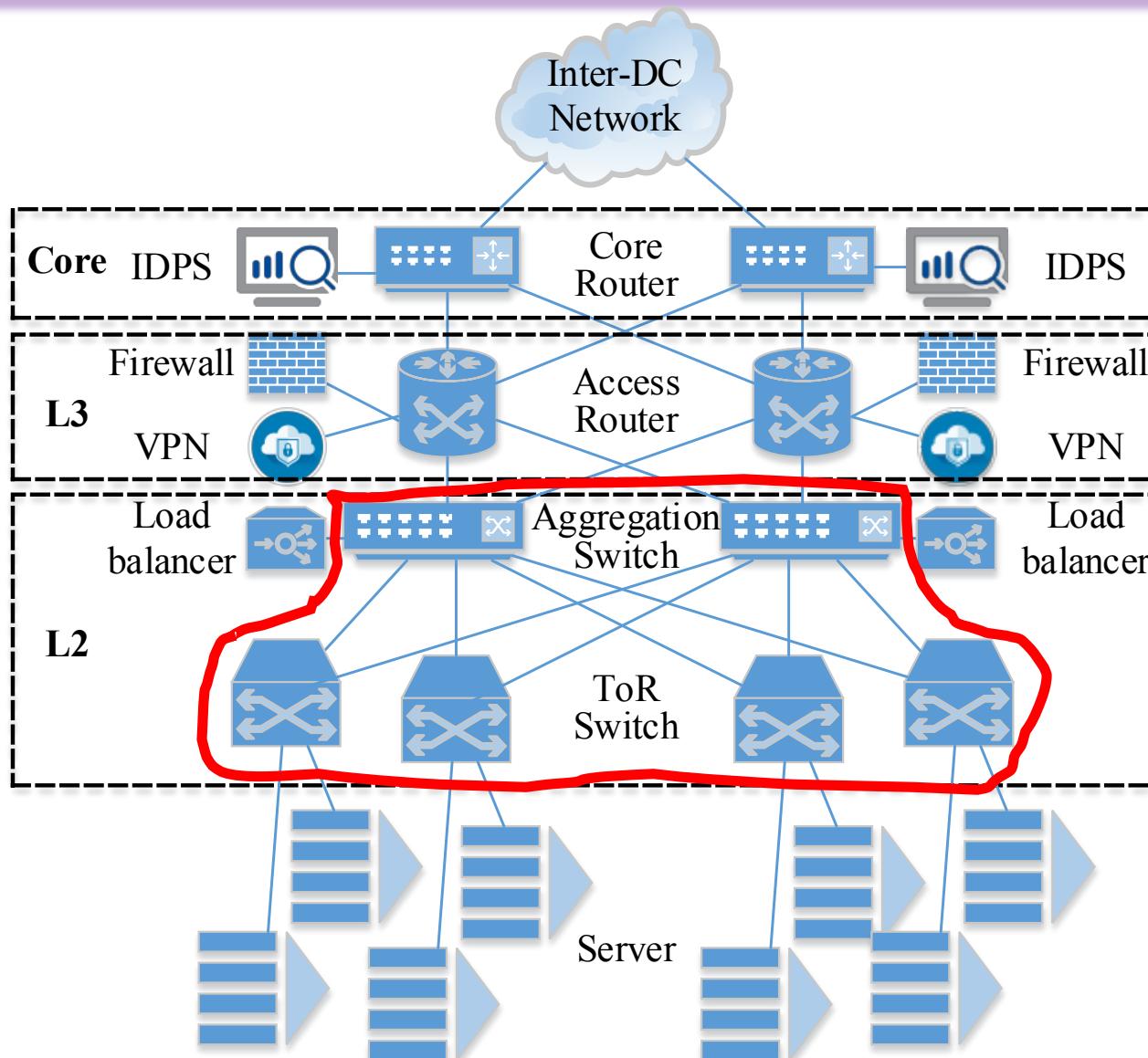


# Network Devices in Data Center Networks



- **Switch**
  - Top-of-rack switch
  - Aggregation switch
- **Router**
  - Access router
  - Core router
- **Middle box**
  - Firewall
  - Intrusion detection and prevention system (IDPS)
  - Load balancer
  - VPN

# Network Devices in Data Center Networks



- **Switch**
  - Top-of-rack switch
  - Aggregation switch
- **Router**
  - Access router
  - Core router
- **Middle box**
  - Firewall
  - Intrusion detection and prevention system (IDPS)
  - Load balancer
  - VPN

# Scale of Network Devices in Datacenter

Microsoft (C. Guo, et al.,  
SIGCOMM'15)

- Hundreds of thousands to millions of servers
- **Hundreds of thousands of switches**
- Millions of cables and fibers

# Scale of Network Devices in Datacenter

Microsoft (C. Guo, et al.,  
SIGCOMM'15)

- Hundreds of thousands to millions of servers
- **Hundreds of thousands of switches**
- Millions of cables and fibers

Baidu

- Hundreds of thousands of servers
- **Tens of thousands of switches**

# Scale of Network Devices in Datacenter

Microsoft (C. Guo, et al.,  
SIGCOMM'15)

- Hundreds of thousands to millions of servers
- **Hundreds of thousands of switches**
- Millions of cables and fibers

Baidu

- Hundreds of thousands of servers
- **Tens of thousands of switches**

Switch failures are the norm rather than the exception (P. Gill, et al., SIGCOMM'11)

- **More than 400 switch failures per year**

# Switch Failures Lead to Outages

Switch failure causes outages at data centers

2 June 2011



in Share

Tweet

Like 0

- A Cisco switch failure at the datacenter of Hosting.com
- Affected a number of services including AWS for 1.5 hours

**F**ailure of a Cisco switch at the Newark, N.J., data center of the colocation, hosting and managed services provider [Hosting.com](#) caused intermittent network connectivity that lasted for more than 1.5 hours on Tuesday evening. The outages affected a number of businesses using services of the facility, including [Amazon Web Services](#), [Rackspace](#) and [Peer 1](#), according a report by [Apparent Networks](#), a company that monitors performance of cloud computing service providers.

# Switch Failures Lead to Outages

## Switch failure causes outages at data center

2 June 2016



in Share

Tweet

Like 0

**F**ailure of a [Cisco](#) switch at the Newark, N.J., data center of the colocation, hosting and managed services provider [Hosting.com](#) caused intermittent network connectivity that lasted for more than [1.5 hours](#) on Tuesday evening. The outages affected a number of businesses using services of the facility, including [Amazon Web Services](#), [Rackspace](#) and [Peer 1](#), according to a [report](#) by [Apparent Networks](#), a company that monitors performance of cloud computing service providers.

- A Cisco switch failure at the datacenter of Hosting.com
- Affected a number of services including AWS for 1.5 hours

## Switch failure shuts down computer network at data center

AP

By The Associated Press  
May 24, 2016 8:49 am



CHESTER, Va. (AP) — [The computer network of a data center in Chester went dark after a switch failure.](#)

The Richmond Times-Dispatch (<http://bit.ly/20v8UST>) reports that Saturday's outage at the Commonwealth Enterprise Solutions Center affected access to the network by almost every executive branch agency the center serves, including the Department of Motor Vehicles.

Email, cellphones and agency computer servers in the center went dark during outage for inbound and outbound traffic, said the center's director, Virginia DVM.

- The datacenter network went dark after a switch failure
- Almost every executive branch agency are affected for a few hours

# Switch Failure

---

- “An **event** that occurs when the switch is not functioning for **forwarding traffic**” [1]

[1] Gill, P., Jain, N., & Nagappan, N. Understanding network failures in data centers: measurement, analysis, and implications. *ACM SIGCOMM 2011*.

# Switch Failure

---

Observable<sup>[1]</sup>

- A human
- A server
- Another network device
- If not result in incorrect output, it is not a failure

[1] Salfner, F., Lenk, M., & Malek, M. (2010). A survey of online failure prediction methods. *ACM Computing Surveys (CSUR)*, 42(3), 10.

# Switch Failure

---

Observable<sup>[1]</sup>

- A human
- A server
- Another network device
- If not result in incorrect output, it is not a failure

Failure  
tickets

- Regular expression match with syslogs
- Feedback by Internet services
- Monitoring results of interfaces

[1] Salfner, F., Lenk, M., & Malek, M. (2010). A survey of online failure prediction methods. *ACM Computing Surveys (CSUR)*, 42(3), 10.

# Previous Proposed Solutions

---

Change the protocols and network topologies

- Aim to automatically failover
- ToR switches do not have hot backups

# Previous Proposed Solutions

---

Change the protocols and network topologies

- Aim to automatically failover
- ToR switches do not have hot backups

Locate and diagnose failed switches

- Face deployment challenges
- Take time to locate and fix the failed switches
- Drop packets silently and affect services<sup>[1]</sup>

[1] Guo, C., et al. Pingmesh: A large-scale system for data center network latency measurement and analysis. *ACM SIGCOMM 2015*.

# Failure Prediction for Switches

---

During runtime

Near future

Based on the monitored current switch state

Mining historical failure cases of switches

# Failure Prediction for Switches Based on Syslogs

---

- Sep 8 15:44:30 192.168.191.85 192.168.191.85 : [SIF]Interface ae3, changed state to down
- Sep 8 15:45:51 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan22, changed state to down
- Sep 8 15:46:59 192.168.191.85 192.168.191.85 : [SIF]Interface ae3, changed state to up
- Sep 8 15:47:21 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan22, changed state to up
- Sep 8 15:48:30 192.168.191.85 192.168.191.85 : [OSPF]Neighbour(rid:10.231.0.42, addr:10.231.38.85) on vlan22, changed state from Full to Down
- Sep 8 15:49:35 192.168.191.85 192.168.191.85 : [SIF]Interface ae3, changed state to down
- Sep 8 15:49:45 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan22, changed state to down
- Sep 8 15:50:42 192.168.191.85 192.168.191.85 : [SIF]Interface ae3, changed state to up
- Sep 8 15:50:59 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan22, changed state to up
- Sep 8 15:51:22 192.168.191.85 192.168.191.85 : [OSPF]A single neighbour should be configured
- Sep 8 15:51:52 192.168.191.85 192.168.191.85 : [OSPF]A single neighbour should be configured
- Sep 8 15:52:46 192.168.191.85 192.168.191.85 : [SIF]Interface ae1, changed state to down
- Sep 8 15:53:24 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan20, changed state to down
- Sep 8 15:54:31 192.168.191.85 192.168.191.85 : [OSPF]Neighbour(rid:10.231.0.40, addr:10.231.36.85) on vlan20, changed state from Full to Down
- Sep 8 15:55:12 192.168.191.85 192.168.191.85 : [SIF]Interface ae1, changed state to up
- Sep 8 15:56:47 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan20, changed state to up
- Sep 8 15:59:01 192.168.191.85 192.168.191.85 : [OSPF]A single neighbour should be configured
- **Sep 8 16:31:20 whole machine failure (labelled by the operators)**

# Challenges

---

## Noisy signals in syslog data

- Syslogs are highly diverse
  - Across several geographical locations, network layers, protocols, services
  - Normal login events of operators
  - Interface up/downs
  - Failure to send/receive packets
- Rarely contain failure omens

# Challenges

---

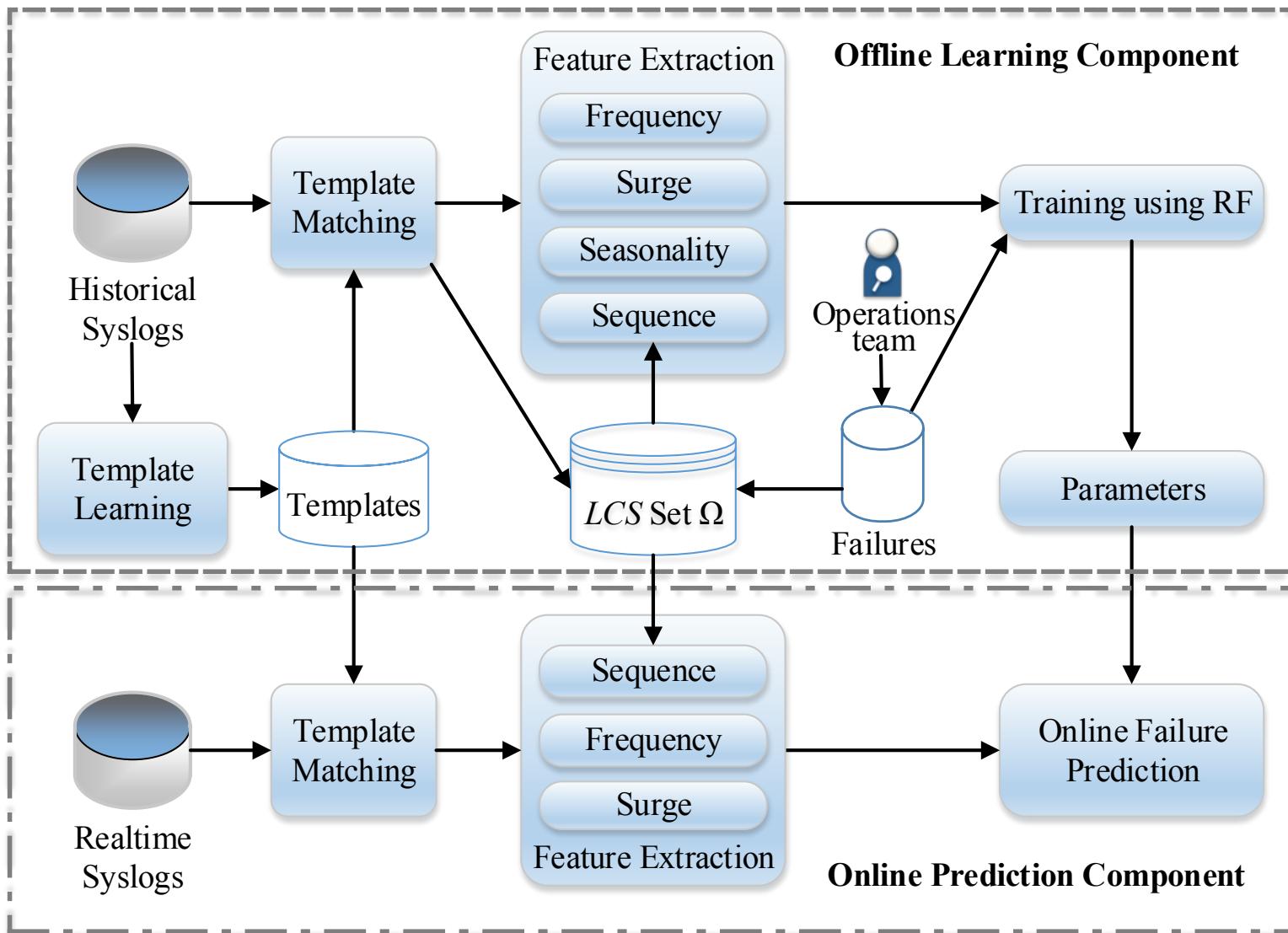
## Noisy signals in syslog data

- Syslogs are highly diverse
  - Across several geographical locations, network layers, protocols, services
  - Normal login events of operators
  - Interface up/downs
  - Failure to send/receive packets
- Rarely contain failure omens

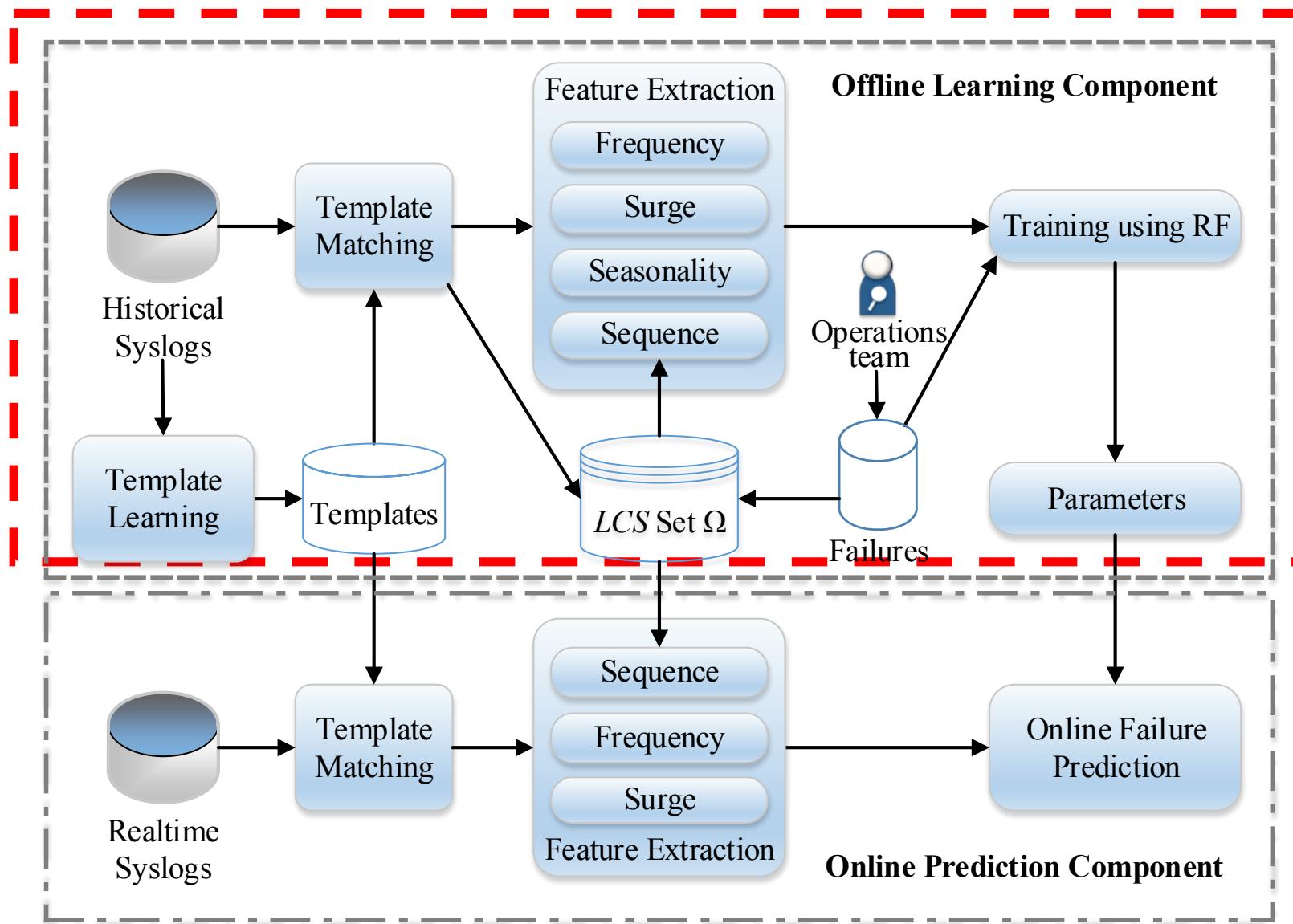
## Sample imbalance

- Low failure possibility for a single switch
- Failure omen time bins: failure non-omen time bins = 1:72500
- Low false alarms and high recall at the same time

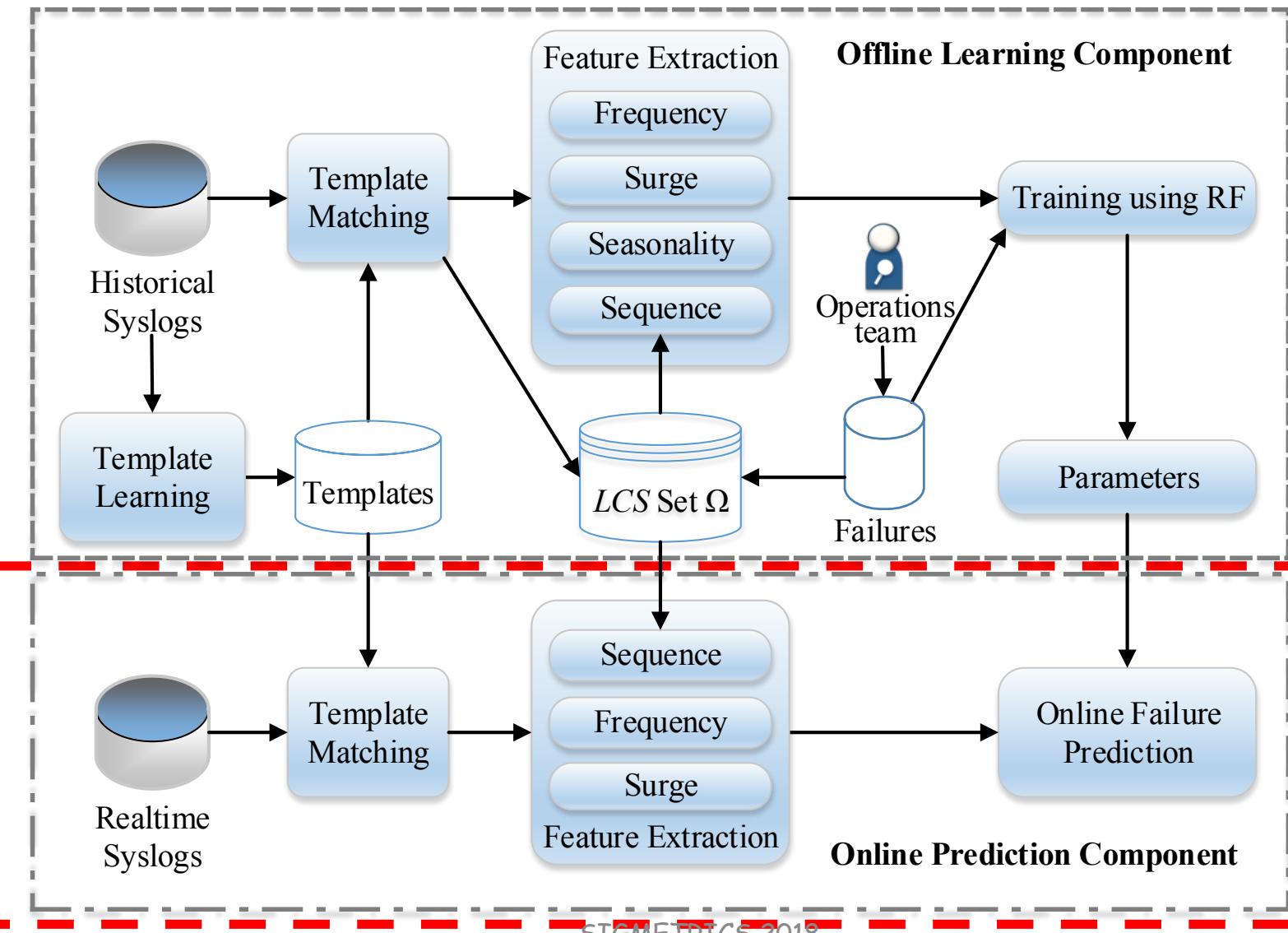
# Design Overview



# Design Overview

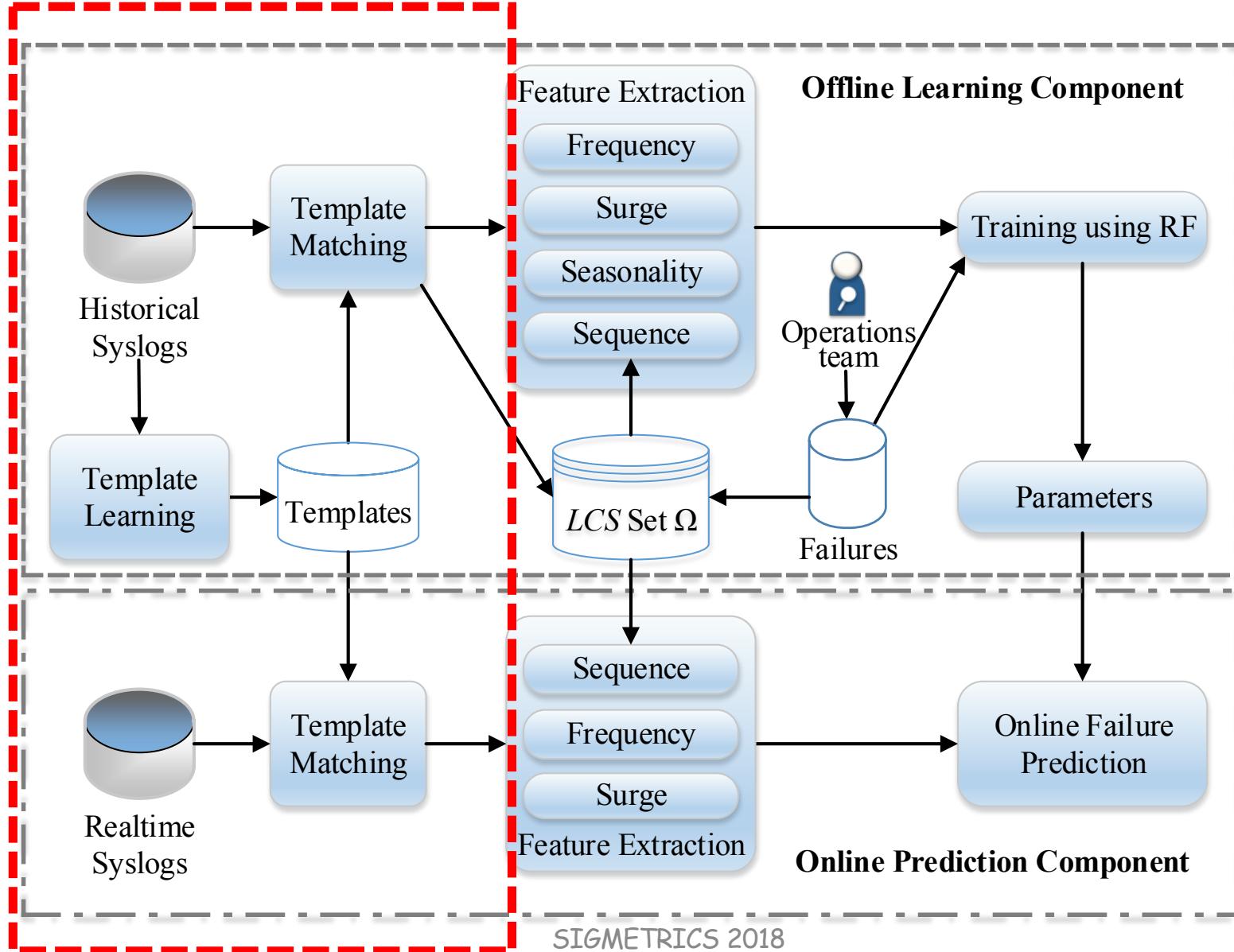


# Design Overview

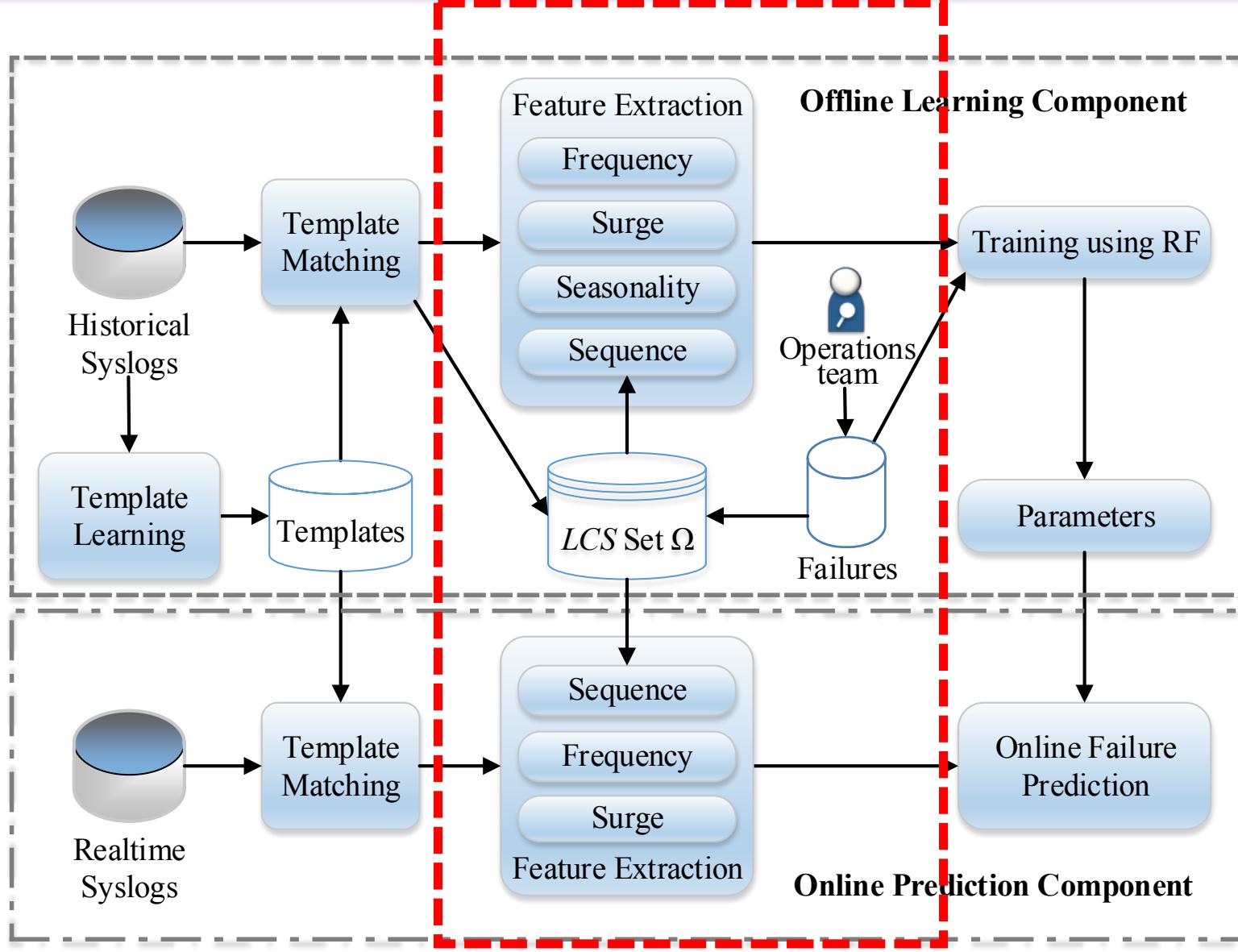


# Design Overview

S. Zhang *et al.*,  
"Syslog processing for switch failure diagnosis and prediction in datacenter networks," IEEE/ACM IWQOS 2017.



# Design Overview



# Feature Extraction

---

## Sequence

Several failures share common syslog sequences

# Feature Extraction

---

## Sequence

Several failures share common syslog sequences

## Surge

Some syslogs are indicative of failures when they occur in a sudden burst  
E.g., interface up/down

# Feature Extraction

---

## Sequence

Several failures share common syslog sequences

## Surge

Some syslogs are indicative of failures when they occur in a sudden burst  
E.g., interface up/down

## Frequency

Frequent syslogs can be ignored  
E.g., package loss ratio of PING sessions

# Feature Extraction

---

## Sequence

Several failures share common syslog sequences

## Surge

Some syslogs are indicative of failures when they occur in a sudden burst  
E.g., interface up/down

## Frequency

Frequent syslogs can be ignored  
E.g., package loss ratio of PING sessions

## Seasonality

Some syslogs are periodic and irrelevant to failures  
E.g., daily maintenance operations

# Feature Extraction

---

## Sequence

Several failures share common syslog sequences

## Surge

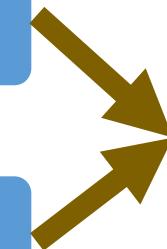
Some syslogs are indicative of failures when they occur in a sudden burst  
E.g., interface up/down

## Frequency

Frequent syslogs can be ignored  
E.g., package loss ratio of PING session

## Seasonality

Some syslogs are periodic and irrelevant to failures  
E.g., daily maintenance operations



## Failure omens

# Feature Extraction

---

## Sequence

Several failures share common syslog sequences

## Surge

Some syslogs are indicative of failures when they occur in a sudden burst  
E.g., interface up/down

## Frequency

Frequent syslogs can be ignored  
E.g., package loss ratio of PING session

## Seasonality

Some syslogs are periodic and irrelevant to failures  
E.g., daily maintenance operations

## Failure omens

## Non-failure omens

# Feature Extraction

---

## Sequence

Several failures share common syslog sequences

## Surge

Some syslogs are indicative of failures when they occur in a sudden burst  
E.g., interface up/down

## Frequency

Frequent syslogs can be ignored  
E.g., package loss ratio of PING session

## Seasonality

Some syslogs are periodic and irrelevant to failures  
E.g., daily maintenance operations

## Failure omens

Low false alarms and high recall

## Non-failure omens

# Feature Extraction

## Sequence

Several failures share common syslog sequences

## Surge

Some syslogs are indicative of failures when they occur in a sudden burst  
E.g., interface up/down

## Frequency

Frequent syslogs can be ignored  
E.g., package loss ratio of PING session

## Seasonality

Some syslogs are periodic and irrelevant to failures  
E.g., daily maintenance operations

## Failure omens

## Non-failure omens

Sample imbalance

Arms  
call

# Syslogs Before a Failure (Within 2 Hours)

---

- Sep 8 15:44:30 192.168.191.85 192.168.191.85 : [SIF]Interface ae3, changed state to down
- Sep 8 15:45:51 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan22, changed state to down
- Sep 8 15:46:59 192.168.191.85 192.168.191.85 : [SIF]Interface ae3, changed state to up
- Sep 8 15:47:21 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan22, changed state to up
- Sep 8 15:48:30 192.168.191.85 192.168.191.85 : [OSPF]Neighbour(rid:10.231.0.42, addr:10.231.38.85) on vlan22, changed state from Full to Down
- Sep 8 15:49:35 192.168.191.85 192.168.191.85 : [SIF]Interface ae3, changed state to down
- Sep 8 15:49:45 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan22, changed state to down
- Sep 8 15:50:42 192.168.191.85 192.168.191.85 : [SIF]Interface ae3, changed state to up
- Sep 8 15:50:59 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan22, changed state to up
- Sep 8 15:51:22 192.168.191.85 192.168.191.85 : [OSPF]A single neighbour should be configured
- Sep 8 15:51:52 192.168.191.85 192.168.191.85 : [OSPF]A single neighbour should be configured
- Sep 8 15:52:46 192.168.191.85 192.168.191.85 : [SIF]Interface ae1, changed state to down
- Sep 8 15:53:24 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan20, changed state to down
- Sep 8 15:54:31 192.168.191.85 192.168.191.85 : [OSPF]Neighbour(rid:10.231.0.40, addr:10.231.36.85) on vlan20, changed state from Full to Down
- Sep 8 15:55:12 192.168.191.85 192.168.191.85 : [SIF]Interface ae1, changed state to up
- Sep 8 15:56:47 192.168.191.85 192.168.191.85 : [SIF]Vlan-interface vlan20, changed state to up
- Sep 8 15:59:01 192.168.191.85 192.168.191.85 : [OSPF]A single neighbour should be configured
- **Sep 8 16:31:20 whole machine failure (labelled by the operators)**

# Transfer to Template Tag Sequence

---

- The syslogs before failure 1 (2h)
  - 48 49 46 47 63 48 49 46 47 62 62 48 49 63 46 47 62

# Transfer to Template Tag Sequence

---

- The syslogs before failure 1 (2h)
  - 48 49 46 47 63 48 49 46 47 62 62 48 49 63 46 47 62
- The syslogs before failure 2 (2h)
  - 0 48 48 48 48 48 46 46 46 46 46 46 48 48 46 46 48 46 46 48 48 46 46 46 48 48 46 46 46 48 48 46 46 46 48 49 48 49 63 51 46 48 46 48 49 63 51 50 46 47 62 48 48 46 46 51 50 51 50 48 49 48 49 63 51 46 47 50 63 46 47 48 49 62 62 46 47 62 48 49 46 47 62 48 49 63 51 50 46 47 62 56 57 58 59 44

# Transfer to Template Tag Sequence

---

- The syslogs before failure 1 (2h)
  - 48 49 46 47 63 48 49 46 47 62 62 48 49 63 46 47 62
- The syslogs before failure 2 (2h)
  - 0 48 48 48 48 48 46 46 46 46 46 46 48 48 46 46 46 48 48 46 46 48 48 46 46 48 48 46 46 48 48 49 63 51 50 46 47 62 48 48 46 46 51 50 51 50 48 49 48 49 63 51 46 47 50 63 46 47 48 49 62 62 46 47 62 48 49 46 47 62 48 49 63 51 50 46 47 62 56 57 58 59 44
- The syslogs before failure 3 (2h)
  - 48 48 49 49 63 63 46 46 47 47 62 62 56 56 57 57 58 58 59 59

# Transfer to Template Tag Sequence

---

- The syslogs before failure 1 (2h)
  - 48 49 46 47 63 48 49 46 47 62 62 48 49 63 46 47 62
- The syslogs before failure 2 (2h)
  - 0 48 48 48 48 48 46 46 46 46 46 48 48 46 46 48 46 46 48 48 46 46 48 46 46 48 46 46 48 46 46 48 49 63 51 50 46 47 62 48 48 46 46 51 50 51 50 48 49 48 49 63 51 46 47 50 63 46 47 48 49 62 62 46 47 62 48 49 46 47 62 48 49 63 51 50 46 47 62 56 57 58 59 44
- The syslogs before failure 3 (2h)
  - 48 48 49 49 63 63 46 46 47 47 62 62 56 56 57 57 58 58 59 59
- The syslogs before failure 4 (2h)
  - 51 50 48 49 63 46 47 62 48 49 46 47 62 51 51 50 50 51 50 48 49 63 51 46 47 50 62 48 49 46 47 62 48 49 63 46 47 62 56 57 58 59 48 49 63 46 47 62 48 49 46 47 48 49 63 51 46 47 50 62 62

# Insights of the above examples

---

Syslogs before failures do share common subsequences

The sequence feature is helpful for predicting failures

Irrelevant syslogs (noises) exist before failures

Noise signals should be excluded

# The $LCS^2$ method

---

## ■ $LCS^2$

- First step: filter noises and get longest common subsequences ( $LCSes$ )
- Second step: measure the similarity

# The $LCS^2$ method

---

## ■ $LCS^2$

- First step: filter noises and get longest common subsequences ( $LCSes$ )
- Second step: measure the similarity

## ■ Filter noises and get $LCSes$

- Seq 1: 48 49 46 47 63 48 49 46 47 62 62 48 49 63 46 47 62
- Seq 2: 48 48 49 49 63 63 46 46 47 47 62 62 56 56 57 57 58 58 59 59
- Seq 3: 50 62 48 49 46 47 62 48 49 63 46 47 62 56 57 58 59 48 49 63  
46 47 62 48 49 46 47 48 49 63 51 46 47 50 62 62
- Seq 1  $\cap$  Seq 2: 48 48 49 49 63 46 47 62
- Seq 1  $\cap$  Seq 3: 48 49 46 47 63 48 49 46 47 62 48 49 63 46 47 62
- Seq 2  $\cap$  Seq 3: 48 48 49 49 63 46 46 47 47 62 62

$\Omega$  ↗

# The $LCS^2$ method

---

## ■ $LCS^2$

- First step: filter noises and get longest common subsequences (LCSes)
- Second step: measure the similarity

## ■ Measure the similarity

- Measure the similarity between current sequence and omen sequences
- For each  $LCS_i$  in  $\Omega$ 
  - $LCS_{ci}$  is the LCS between the current sequence and  $LCS_i$
  - Calculate the ratio of the length of  $LCS_{ci}$  to that of  $LCS_i$ ,  $R_i$
  - Apply  $\max(R_i)$  as the sequential feature score of the current sequence

# The $LCS^2$ method

---

## ■ $LCS^2$

- First step: filter noises and get longest common subsequences ( $LCSes$ )
- Second step: measure the similarity

## ■ Advantages

- Computationally efficient
- Filter noises from failure omen sequences



# Evaluation Experiments

---

- Switches
  - Three switch models
  - 9397 switches
  - 20+ data centers
  - 2-year period
- Switch failures
  - 415 switch failures
  - 1694 failure omen time bins

# Evaluation Experiments

Switch model	Method	Precision	Recall	F1	FPR
M1	PreFix	87.35%	74.36%	80.33%	$2.49 \times 10^{-5}$
	SKSVM	8.25%	76.09%	14.89%	$1.96 \times 10^{-3}$
	HSMM	32.27%	95.3%	48.21%	$4.63 \times 10^{-4}$
M2	PreFix	59.79%	58.59%	59.18%	$5.43 \times 10^{-6}$
	SKSVM	4.47%	8.72%	5.91%	$2.57 \times 10^{-5}$
	HSMM	0.28%	60.58%	0.56%	$2.94 \times 10^{-3}$
M3	PreFix	84.00%	52.50%	64.61%	$2.48 \times 10^{-5}$
	SKSVM	0.79%	91.91%	1.58%	$2.85 \times 10^{-2}$
	HSMM	26.32%	11.11%	15.63%	$7.72 \times 10^{-5}$

# Evaluation Experiments

Switch model	Method	Precision	Recall	F1	FPR
IV15	DNN	0.73%	74.06%	80.00%	$2.40 \times 10^{-5}$
HSMM	26.32%	11.11%	15.63%	$7.72 \times 10^{-5}$	

Average recall: 61.81% , mean time between false alarms (for a single switch): 8494 days(23.3 years)

# Conclusion

## Challenges

- Noisy signals in syslog data
- Sample imbalance

## Four features

- Sequence, seasonality, surge and frequency
- LCS<sup>2</sup> method

## Evaluation

- Real-world data

## Future work

- Switch failure prediction across different switch models

Thank you!  
Q&A

[zhangsl@nankai.edu.cn](mailto:zhangsl@nankai.edu.cn)

# Backups

# Focus on switch hardware failures

---

## External problems

- Power supply down

## Configuration problems

- VPN tunneling errors

## Hardware failures

- Crash induced by hardware errors
- Line card crash
- Entire switch crash

## Software crash

- Due to bugs

Generated by operators and other devices

Automatically recover (via a reboot)

# Detailed information for the three models of switches

---

Switch model	# failures	# failed switches	# switches in total	# Omen time bins	# Non-omen time bins
M1	228	131	2223	1273	5,516,435
M2	48	30	3288	317	22, 997, 509
M3	139	31	3886	164	660, 736

# Comparison of the Importance of the Four Features

---

Table 10. Normalized node impurity decrease of the features in the RF model

Switch model	Sequence	Frequency&Seasonality	Surge&Seasonality
M1	22.29%	51.14%	26.57%
M2	19.09%	50.25%	30.65%
M3	42.81%	36.86%	20.33%

# Model of Syslog-based Switch Failure Prediction

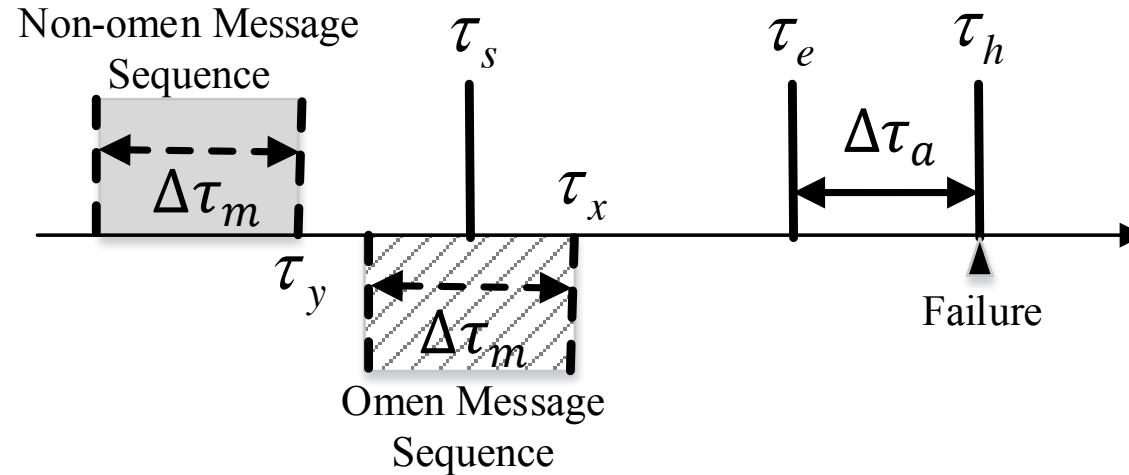


Fig. 2. The model of switch failure prediction. For a given switch failure that occurred at  $\tau_h$ , our objective is to predict the failure during  $[\tau_s, \tau_e]$ .  $\tau_e$  is  $\Delta\tau_a$  before  $\tau_h$  because network operators need no more than  $\Delta\tau_a$  time to react to a positive failure prediction. In the offline learning procedure, given the failure at  $\tau_h$ , for any  $\tau_x$  in  $[\tau_s, \tau_e]$ , the syslog message sequence in  $[\tau_x - \Delta\tau_m, \tau_x]$  is labeled as an omen message sequence, while the syslog message sequence in  $[\tau_y - \Delta\tau_m, \tau_y]$  is labeled as a non-omen message sequence when  $\tau_y \notin [\tau_s, \tau_h]$ .