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**Course: CSGY-6083-Principles of Database Systems**  
**Section: B**  
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**CS-GY 6083 - B, FALL 2023**  
**Principles of Database Systems**  
**Assignment: 4 [100 points]**

Please submit your assignment on NYU Brightspace course site with a single PDF document attachment. Please mention Student ID, Name, Course, Section Number, and date of submission on first page of your submission. **Each table in your submission of SQLs and their results should have your initial as prefix, e.g., AP\_EMPLOYEE etc. You can use either Oracle or MySQL for this assignment.**

**Q1) To write a database procedure (Oracle or MySQL) [60 points]**

The HR department intend to give salary increment to employees of specific department when requested by their department director. Different directors have different criteria about salary increment. For an example, some directors ask for base increment as 5% of average salary of their department, and some may ask for base increment as 7% or 10% of average salary. So, the base increment percent of avg. salary is determined by the department director. However, following criteria remains same for all departments.

The new salary is calculated by the formula,

$$\text{New Salary} = S + N\% \text{ of } A + S * Y\%$$

S= original salary

N%= base increment percent of department's avg. salary (e.g 5, 7, 10 etc.)

A= average salary of the department

Y=Square root of number of years employee's working as of Dec. 31<sup>st</sup>, 2022.

Write a database procedure that takes two input variables department number and base N percentage of avg salary. Apply salary increment criteria as detailed above. Your procedure name should have your initial as prefix, e.g. AP\_RAISE\_SAL.

Use the table and its data attached to the assignment.

**Submit:**

**a) Procedure code (Oracle or MySQL)**

```

CREATE OR REPLACE PROCEDURE JC_RAISE_SAL (
    p_department_id JC_EMPLOYEE.DEPARTMENT_ID%TYPE,
    p_base_increment_percent NUMBER
) AS
    v_avg_salary NUMBER;
    v_increment_amount NUMBER;
    v_years_worked NUMBER;
    v_new_salary NUMBER;
BEGIN
    -- Calculate the average salary for the specified department
    SELECT AVG(SALARY)
    INTO v_avg_salary
    FROM JC_EMPLOYEE
    WHERE DEPARTMENT_ID = p_department_id;

    -- Loop through employees in the specified department
    FOR emp_rec IN (SELECT EMPLOYEE_ID, SALARY, HIRE_DATE FROM JC_EMPLOYEE WHERE
DEPARTMENT_ID = p_department_id)
    LOOP
        -- Calculate the number of years worked as of Dec. 31st, 2022
        v_years_worked := TRUNC(MONTHS_BETWEEN(TO_DATE('31-DEC-2022', 'DD-MON-YYYY'),
emp_rec.HIRE_DATE) / 12);

        -- Calculate the increment amount based on the given criteria
        v_increment_amount := (p_base_increment_percent / 100) * v_avg_salary +
emp_rec.SALARY * SQRT(v_years_worked) / 100;

        -- Calculate the new salary
        v_new_salary := emp_rec.SALARY + v_increment_amount;

        -- Update the employee's salary in the database
        UPDATE JC_EMPLOYEE
        SET SALARY = v_new_salary
        WHERE EMPLOYEE_ID = emp_rec.EMPLOYEE_ID;
    END LOOP;

    -- Commit the changes
    COMMIT;

    DBMS_OUTPUT.PUT_LINE('Salary increment applied successfully.');
```

EXCEPTION

```

    WHEN NO_DATA_FOUND THEN
        DBMS_OUTPUT.PUT_LINE('No employees found for the specified department.');
```

WHEN OTHERS THEN

```

        DBMS_OUTPUT.PUT_LINE('An error occurred: ' || SQLERRM);
END JC_RAISE_SAL;
/
```

**b) If you are using Oracle, provide result of following,**

```
SELECT employee_id, first_name, last_name, hire_date, department_id, salary
FROM ap_employee
WHERE department_id=90;
```

| EMPLOYEE_ID | FIRST_NAME | LAST_NAME | HIRE_DATE | DEPARTMENT_ID | SALARY |
|-------------|------------|-----------|-----------|---------------|--------|
| 100         | Steven     | King      | 17-JUN-03 | 90            | 24000  |
| 101         | Neena      | Kochhar   | 21-SEP-05 | 90            | 17000  |
| 102         | Lex        | De Haan   | 13-JAN-01 | 90            | 17000  |

execute ap\_raise\_sal (90, 5); -- 90 is the department\_id and 5 is base increment of avg. salary

```
SELECT employee_id, first_name, last_name, hire_date, department_id, salary
FROM ap_employee
WHERE department_id=90;
```

| EMPLOYEE_ID | FIRST_NAME | LAST_NAME | HIRE_DATE | DEPARTMENT_ID | SALARY                                   |
|-------------|------------|-----------|-----------|---------------|--|
| 100         | Steven     | King      | 17-JUN-03 | 90            | 26012.8024131164283192035423427929744249 |
| 101         | Neena      | Kochhar   | 21-SEP-05 | 90            | 18667.5946230216689601363063421822597609 |
| 102         | Lex        | De Haan   | 13-JAN-01 | 90            | 18745.7045348091594677866346896004281098 |

```
SELECT employee_id, first_name, last_name, hire_date, department_id, salary
FROM ap_employee
WHERE department_id=60;
```

| EMPLOYEE_ID | FIRST_NAME | LAST_NAME | HIRE_DATE | DEPARTMENT_ID | SALARY |
|-------------|------------|-----------|-----------|---------------|--------|
| 103         | Alexander  | Hunold    | 03-JAN-06 | 60            | 9000   |
| 104         | Bruce      | Ernst     | 21-MAY-07 | 60            | 6000   |
| 105         | David      | Austin    | 25-JUN-05 | 60            | 4800   |
| 106         | Valli      | Pataballa | 05-FEB-06 | 60            | 4800   |
| 107         | Diana      | Lorentz   | 07-FEB-07 | 60            | 4200   |

execute ap\_raise\_sal (60, 5); -- 60 is the department\_id and 5 is base increment of avg. salary

```
SELECT employee_id, first_name, last_name, hire_date, department_id, salary
FROM ap_employee
WHERE department_id=60;
```

| EMPLOYEE_ID | FIRST_NAME | LAST_NAME | HIRE_DATE | DEPARTMENT_ID | SALARY                                    |
|-------------|------------|-----------|-----------|---------------|---|
| 103         | Alexander  | Hunold    | 03-JAN-06 | 60            | 9648                                      |
| 104         | Bruce      | Ernst     | 21-MAY-07 | 60            | 6520.37900077244501311075592398694397665  |
| 105         | David      | Austin    | 25-JUN-05 | 60            | 5285.909070029647706391427673086755697207 |
| 106         | Valli      | Pataballa | 05-FEB-06 | 60            | 5280                                      |
| 107         | Diana      | Lorentz   | 07-FEB-07 | 60            | 4650.665300540711509177529146790860783655 |

**If you are using MySQL, provide result of following,**

```
SELECT employee_id, first_name, last_name, hire_date, department_id, salary
FROM ap_employee
WHERE department_id=90;
```

call ap\_raise\_sal (90, 5); -- 90 is the department\_id and 5 is base increment of avg. salary

```
SELECT employee_id, first_name, last_name, hire_date, department_id, salary
FROM ap_employee
WHERE department_id=90;
```

```
SELECT employee_id, first_name, last_name, hire_date, department_id, salary
FROM ap_employee
WHERE department_id=60;
```

call ap\_raise\_sal (60, 5); -- 60 is the department\_id and 5 is base increment of avg. salary

```
SELECT employee_id, first_name, last_name, hire_date, department_id, salary
FROM ap_employee
WHERE department_id=60;
```

## Q2) Indexes [40 points]

Consider following queries to the same employee table that used in Q1.

```
select * from ap_employee
where substr(last_name,1,1)='A'and JOB_ID='SA_REP'
order by last_name;
```

```
select upper(first_name), upper(last_name), department_id, salary
from ap_employee a where a.salary>(select avg(salary) from ap_employee b
                                where b.department_id=department_id);
```

For each of the above query do following

- Suggest which column(s) are suitable for indexes and what type of index should be created.
- Create index(es) as suggested in step a
- Create the query execution plan.

### Submit

- Suggested column(s) for index(es) and type of the index(es)

For query 1

An index on the last\_name column would be suitable for optimizing the WHERE clause and the ORDER BY clause. The type of the index is function based index.

For query 2

An index on the department\_id column would be suitable for optimizing the subquery. The type of the index is bitmap index.

- DDL code of the index(es) created.

For query 1

```
CREATE INDEX idx_last_name ON ap_employee(last_name);
```

For query 2

```
CREATE INDEX idx_department_id ON ap_employee(department_id);
```

- Screenshot of execution plan

For query 1

```

PLAN_TABLE_OUTPUT

Plan hash value: 4000909868

-----

| Id | Operation | Name | Rows | Bytes | Cost (%CPU)| Time |
-----+-----+-----+-----+-----+-----+-----+
|  0 | SELECT STATEMENT |      |      |      |      |      |
|  1 |   SORT ORDER BY |      |      |      |      |      |
|*  2 |    TABLE ACCESS FULL | JC_EMPLOYEE |      |      |      |      |
-----+-----+-----+-----+-----+-----+

Predicate Information (identified by operation id):
-----

      2 - filter("JOB_ID"='SA_REP' AND SUBSTR("LAST_NAME",1,1)='A')

Note
-----
- dynamic statistics used: dynamic sampling (level=2)

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```

For query 2

```

PLAN_TABLE_OUTPUT

Plan hash value: 2844542812

-----

| Id | Operation                | Name                | Rows  | Bytes | Cost (%CPU)| Time     |
-----|-----|-----|-----|-----|-----|-----|
|  0 | SELECT STATEMENT         |                     |      1 | 52    | 7 (15)| 00:00:01 |
|*  1 |  HASH JOIN                |                     |      1 | 52    | 7 (15)| 00:00:01 |
|  2 |    VIEW                   | VW_SQL_1            |    107 | 2782  | 4 (25)| 00:00:01 |
|  3 |      HASH GROUP BY        |                     |    107 | 2782  | 4 (25)| 00:00:01 |
|  4 |        TABLE ACCESS FULL | JC_EMPLOYEE         |    107 | 2782  | 3 (0)| 00:00:01 |
|  5 |        TABLE ACCESS FULL | JC_EMPLOYEE         |    107 | 5778  | 3 (0)| 00:00:01 |
-----

Predicate Information (identified by operation id):
-----

   1 - access("ITEM_1"="A"."DEPARTMENT_ID")
       filter("A"."SALARY">"AVG(SALARY)")

Note
-----
   - dynamic statistics used: dynamic sampling (level=2)
   - this is an adaptive plan

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23 rows selected.

```

**iv) Explanation about which index(es) are used and which are not, and reason for it**

For query 1

last name is used because it is used in ORDER BY clause.

For query 2

department id is used because it is used frequently in WHERE clause. Salary is not used because it might be frequently updated.