1. In the conventional fully bypassed 5-stage pipeline discussed in class, we were able to make the assumption that the ALU is able to complete in one cycle because we assumed integer operations. For this problem, we will assume that the ALU takes two cycles to complete. In other words, the ALU is pipelined itself, making the entire pipeline 6 cycles. The ALU only generates a result after 2 cycles (i.e., there is no way to extract any meaningful result after the ALU's first cycle). Memory (instruction and data) still returns data after only one cycle. You may ignore branch and jump instructions in this problem.

As a first step, we will examine how this changes data hazards. For this question, we will examine only the ALU-ALU read after write (RAW) hazard where the two instructions are consecutive:

$$\# x1 <= x2 + x3$$

**ADD x5**, **x4**, **x1** 
$$\#x5 \le x4 + x1$$

$$\# x5 <= x4 + x1$$

- 1.1 Describe how would you resolve this hazard with the minimum number of bubbles (if any) using a combination of data forwarding and stalls (if necessary).
- 1.2 Then fill the following timing diagram to illustrate how the pipeline will behave, and show where data forwarding happens, if at all, by drawing an arrow between the two stages that participate in it.

|                | CC0 | CC1 | CC2   | CC3   | CC4   | CC5   | CC6 | CC7 | CC8 |
|----------------|-----|-----|-------|-------|-------|-------|-----|-----|-----|
| ADD x1, x2, x3 | IF  | ID  | ALU 1 | ALU 2 | MEM   | WB    |     |     |     |
| NOP            |     |     |       |       | \_    |       |     |     |     |
| ADD x5, x4, x1 |     |     | IF    | ID    | ALU 1 | ALU 2 | MEM | WB  |     |

Data forwarding alone does not suffice here because by the time the first ALU instruction completes the second ALU (execute) step, the second ALU instruction is already in the first ALU step and thus it's too late.

Therefore, a NOP between the 2 instructions, as well as data forwarding between the second ALU step and the decode step.

- **2.** You purchased an old IBM System whose model number is unknown so you do not have access to any manuals or spec sheets of the computer except those listed below by a previous user:
  - 95% of all memory accesses are found in the cache.
  - Each cache block is two words, and the whole block is read on any miss.
  - The processor sends references to its cache at the rate of 10<sup>9</sup> words per second.
  - 25% of those references are writes.
  - Assume that the memory system can support 10<sup>9</sup> words per second, reads or writes.
  - The bus reads or writes a single word at a time (the memory system cannot read or write two words at once).
  - Assume at any one time, 30% of the blocks in the cache have been modified.
  - The cache uses write allocate on a write miss.

You are considering adding an IBM compatible peripheral to the system, and you want to know *how much of the memory system bandwidth is already used*. Calculate the percentage of memory system bandwidth used on the average in the two cases below. Be sure to state your assumptions.

- 2.1 The cache is Write-Through.
- 2.2 The cache is Write-Back.

We know:

- \* Miss rate = 0.05
- \* Block size = 2 words (8 bytes)
- \* Frequency of memory operations from processor =  $10^9$
- \* Frequency of writes from processor =  $0.25 * 10^9$
- \* Bus can only transfer one word at a time to/from processor/memory
- \* On average 30% of blocks in the cache have been modified (must be written back in the case of the write back cache)
- \* Cache is write allocate

So:

Fraction of read hits = 0.75 \* 0.95 = 0.7125

Fraction of read misses = 0.75 \* 0.05 = 0.0375

Fraction of write hits = 0.25 \* 0.95 = 0.2375

Fraction of write misses = 0.25 \* 0.05 = 0.0125

## **6.1** Write through cache

- On a read hit there is no memory access
- On a read miss memory must send two words to the cache

- On a write hit the cache must send a word to memory
- On a write miss memory must send two words to the cache, and then the cache must send a word to memory

Thus:

Average words transferred = 0.7125 \* 0 + 0.0375 \* 2 + 0.2375 \* 1 + 0.0125 \* 3 = 0.35

Average bandwidth used =  $0.35 * 10^9$ 

Fraction of bandwidth used =

$$[0.35 \times 10^9] / 10^9$$

$$=0.35\tag{1}$$

## 6.2 Write back cache

On a read hit there is no memory access

On a read miss:

1. If replaced line is modified then cache must send two words to memory, and then

memory must send two words to the cache

2. If replaced line is clean then memory must send two words to the cache

On a write hit there is no memory access

On a write miss:

- 1. If replaced line is modified then cache must send two words to memory, and then memory must send two words to the cache
- 2. If replaced line is clean then memory must send two words to the cache

Thus:

Average words transferred = 
$$0.7125 * 0 + 0.0375 * (0.7 * 2 + 0.3 * 4) + 0.2375 * 0 + 0.0125 *$$

$$(0.7 * 2 + 0.3 * 4) = 0.13$$

Average bandwidth used =  $0.13 * 10^9$ 

Fraction of bandwidth used =

$$0.13 \times 10^9 / 10^9$$

$$=0.13$$

Comparing 1 and 2 we notice that the write through cache uses more than twice the cache-memory bandwidth of the write back cache.

3. Consider the following RISC V Instruction sequence executing in a 5-stage pipeline:

```
or x13, x12, x11
ld x10, 0(x13)
ld x11, 8(x13)
add x12, x10, x11
subi x13, x12, 16
```

- 3.1 Identify all of the data hazards and their resolution with NOPs assuming no forwarding or hazard detection hardware is being used
- 3.2 If there is forwarding, for the first seven cycles during the execution of this code, *specify* which signals are asserted in each cycle by hazard detection and forwarding units in Figure below.

| Mux control Source             |   | Explanation  |  |  |  |  |
|--------------------------------|---|--|--|--|--|--|
| ForwardA = 00                  | ID/EX   | The first ALU operand comes from the register file.                            |  |  |  |  |
| ForwardA = 10                  | EX/MEM  | The first ALU operand is forwarded from the prior ALU result.                  |  |  |  |  |
| ForwardA = 01 MEM/WB           |   | The first ALU operand is forwarded from data memory or an earlier ALU result.  |  |  |  |  |
| ForwardB = 00 ID/EX The second |   | The second ALU operand comes from the register file.                           |  |  |  |  |
| ForwardB = 10                  | D EX/MEM The second ALU operand is forwarded from the prior ALU |  |  |  |  |  |
| ForwardB = 01 MEM/WB           |   | The second ALU operand is forwarded from data memory or an earlier ALU result. |  |  |  |  |

|   | Clock<br>Cycle | 1  | 2  | 3    | 4     | 5   | 6   | 7    | 8   | 9   | 10 |
|---|----------------|--|----|------|-------|-----|-----|------|-----|-----|----|
| 1 | or             | IF   | ID | EX   | MEM \ | WB  |     |      |     |     |    |
| 2 | ld             |  | IF | ID ' | EX    | MEM | WB  |      |     |     |    |
| 3 | ld             |  |    | ΙF   | ID    | EX  | MEM | WB   |     |     |    |
| 4 | NOP            | mandatory NOP for which no forwarding solution possible: load-data-use |    |      |       |     |     |      |     |     |    |
| 5 | add            |  |    |      |       | ΙF  | ID  | EX \ | MEM | WB  |    |
| 6 | subi           |  |    |      |       |     | IF  | ID   | EX  | MEM | WB |

| (1) | A=x | B=x | (no instruction in EX stage yet)   |
|-----|-----|-----|--|
| (2) | A=x | B=x | (no instruction in EX stage yet)   |
| (3) | A=0 | B=0 | (both operands of the or instruction: $x11$ , $x12$ come from Reg File)                  |
| (4) | A=2 | B=0 | (base (RS1) in first 1d (x13) taken from EX/MEM of previous instruction)                 |
| (5) | A=1 | B=0 | (base (RS1) in 2nd 1d (x13) taken from MEM/WB of a previous instruction)                 |
| (6) | A=x | B=x | (no instruction in EX stage yet because NOP introduced to resolve MEM to $1^{\rm st}$    |
| (7) | A=0 | B=1 | (RS2 in the add instruction is $x11$ which is forwarded from MEM/WB of $2^{nd}$          |
|     |     |     | ld, the result of the $I^{st}$ ld (x10) has already been written into Reg File in CC $6$ |
|     |     |     | - so, no forwarding necessary for first operand)   |
| (8) | A=1 | B=0 | (RS1 of subi instruction forwarded from EX/MEM of add instruction)                       |

**4.** Indicate if the following modifications (A,B,C) will cause each of the three metrics (three rightmost columns) to *increase*, *decrease*, or have *no effect*. Explain your reasoning

Assume the initial machine is pipelined. Also assume that any modification is done in a way that preserves correctness and maintains efficiency, but that the rest of the machine remains unchanged.

|   |   | Instructions/Program  | CPI (Cycles/Instruction)   | Circuit complexity  |
|---|---|---|--|---|
| A | Replace the 2 operand ALU with a 3 operand one and add 3 operand register-register instructions to the ISA (for example, ADD rs1,rs2,rs3,rd ) | Decrease The new instructions will replace any two- instruction sequence that accomplished the same, such as ADD rs1, rs2, rd ADD rs3, rd, rd | The same The ALU will perform the three-way addition in one cycle. Also acceptable increase because more RAW | Increase Three-operand ALU is more complex than a two-operand one |
| В | Use the same ALU for instructions and for incrementing the PC by 4  | The same No difference to instructions  | Increase All instructions now use the same ALU ALU operations now have to stall                              | Decrease Now there is one less adder/ALU cycle                    |
| С | Increase the number of user registers from 32 to 64   | The same or decrease If the more registers enable the Compiler to avoid loads and stores, Decrease. Otherwise the same.                       | The same Does not affect the actions of each instructions  | Increase  More registers  complicate the register  file           |

**5.** This problem explores energy efficiency and its relationship with performance. The parts of this problem assume the following energy consumption for activity in Instruction memory, Registers, and Data memory. You can assume that the other components of the datapath consume a negligible amount of energy. ("Register Read" and "Register Write" refer to the register file only.)

| I-Mem | 1 Register Read | Register Write | D-Mem Read | D-Mem Write |
|-------|-----------------|----------------|------------|-------------|
| 140pJ | 70pJ            | 60pJ           | 140рЈ      | 120pJ       |

Assume that components in the datapath have the following latencies. You can assume that the other components of the datapath have negligible latencies.

| I-Mem  | Control | Register Read or Write | ALU   | D-Mem Read or Write |
|--------|---------|------------------------|-------|---------------------|
| 200 ps | 150 ps  | 90 ps                  | 90 ps | 250 ps              |

**5.1** How much energy is spent to execute an **addi** instruction in a single-cycle design and in the five-stage pipelined design

I-Mem is read, two registers are read, and a register is written We have: 140pJ + 2\*70pJ + 60j = 340pJ

5.2 How much energy is spent to execute a lw instruction in a single-cycle design

$$140pJ + 2*70pJ + 60pJ + 140pJ = 480pJ \\$$

5.3 How much energy is spent to execute a beq instruction in a single-cycle design

$$I-Mem + 2 \text{ registers} = 140pJ + 2 * 70pJ = 280pJ$$