

# Asymptotic Analysis: The Growth of Functions

By Yufei Tao's Teaching Team

Department of Computer Science and Engineering  
Chinese University of Hong Kong

In the lecture, we have defined the **worst-case running time** of an algorithm to be a function of  $n$ . However, the definition has nothing to do with “big-O”. Many students hold the inaccurate view that “big-O” represents worst-case running time. In this tutorial, we aim to clear this misconception. Furthermore, we will also take the chance to review the relevant notations of “big-Omega” and “big-Theta”.

Consider an algorithm whose worst-case running time is  $10 + 10 \log_2 n$ , where  $n$  is the problem size.

In computer science, we rarely calculate the running time to such a detailed level. We typically ignore all the constants, but only worry about the dominating term. For example, instead of  $10 + 10 \log_2 n$ , we will keep only the  $\log_2 n$  term.

Why?

## Why Not Constants?

Suppose that one algorithm has  $5n$  atomic operations, while another algorithm  $10n$ . Which one is faster in practice?

The answer is: “it depends”.

Not every atomic operation takes equally long in reality. For example, a comparison  $a < b$  is typically faster than multiplication  $a \cdot b$ , which in turn is often faster than accessing a location in memory. Therefore, which algorithm is faster depends on the concrete operations they use.

## Why Not Constants?

Suppose that Algorithm 1 runs in

$$n \cdot c_{mult} + 4n \cdot c_{mem}$$

time, where  $c_{mult}$  is the time of one multiplication, and  $c_{mem}$  the time of one memory access; Algorithm 2 runs in

$$9n \cdot c_{mult} + n \cdot c_{mem}$$

time. Again, which one is better depends on the specific values of  $c_{mult}$  and  $c_{mem}$ , which **vary from machine to machine**.

However, in mathematics, we want to make **universal** conclusions that hold on **all** machines.

It is difficult (perhaps even impossible) to make any universal conclusion if you must take constants into account.

## Why Not Constants?

Continuing from the previous slide, consider again two algorithms with costs  $n \cdot c_{mult} + 4n \cdot c_{mem}$  and  $9n \cdot c_{mult} + n \cdot c_{mem}$ , respectively.

Here is a universal conclusion that we can make:

Their costs differ by at most **some** constant factor.

To reach such a conclusion, none of the constants 4, 9,  $c_{mult}$ , and  $c_{mem}$  matters.

So, What *Does* Matter?

The **growth** of the running time with the problem size  $n$ .

We care about the efficiency of an algorithm when  $n$  is **large** (for small  $n$ , the efficiency is less of a concern, because even a slow algorithm would have acceptable performance).

### So, What Does Matter?

Suppose that Algorithm 1 demands  $n$  atomic operations, while Algorithm 2 requires  $10000 \cdot \log_2 n$ .

For  $n = 2^{30}$  (roughly  $10^9$ ), Algorithm 2 is faster by a factor of  $\frac{n}{10000 \log_2 n} > 3579$ . The factor continuously increases with  $n$ . When  $n$  tends to  $\infty$ , Algorithm 2 is infinitely faster.

Algorithm 2, therefore, is considered better than Algorithm 1 in computer science.



## Art of Computer Science

Primary objective:

Minimize the growth of running time in solving a problem.

Next, we will review of the notations  $O$ ,  $\Theta$ , and  $\Omega$ .

## Big- $O$

Let  $f(n)$  and  $g(n)$  be two functions of  $n$ .

We say that  $f(n)$  **grows asymptotically no faster than**  $g(n)$  if there is a constant  $c_1 > 0$  such that

$$f(n) \leq c_1 \cdot g(n)$$

holds for all  $n$  at least a constant  $c_2$ .

We can denote this by  $f(n) = O(g(n))$ .

### Example

Earlier, we say that an algorithm with running time  $10000 \log_2 n$  is better than another one with running time  $n$ . Big- $O$  captures this because:

$$\begin{aligned} 10000 \log_2 n &= O(n) \\ n &\neq O(10000 \log_2 n) \end{aligned}$$

An interesting fact:

$$\log_a n = O(\log_b n)$$

for any constants  $a > 1$  and  $b > 1$ .

Because of the above, in computer science, we often omit constant logarithm bases in big- $O$ . For example, instead of  $O(\log_2 n)$ , we will simply write  $O(\log n)$ .

- Essentially, this says that “you are welcome to put any constant base there; and it will be the same asymptotically”.

Henceforth, we will describe the running time of an algorithm only in the asymptotical (i.e., big- $O$ ) form, which is also called the algorithm's **time complexity**.

For example, instead of saying that the running time of binary search is  $f(n) = 10 + 10 \log_2 n$ , we will say  $f(n) = O(\log n)$ , which captures the fastest-growing term in the running time. This is also binary search's time complexity.

## Big- $\Omega$

Let  $f(n)$  and  $g(n)$  be two functions of  $n$ .

If  $g(n) = O(f(n))$ , then we define:

$$f(n) = \Omega(g(n))$$

to indicate that  $f(n)$  **grows asymptotically no slower than**  $g(n)$ .

The next slide gives an equivalent definition.

## Big- $\Omega$

Let  $f(n)$  and  $g(n)$  be two functions of  $n$ .

We say that  $f(n)$  **grows asymptotically no slower than**  $g(n)$  if there is a constant  $c_1 > 0$  such that

$$f(n) \geq c_1 \cdot g(n)$$

holds for all  $n$  at least a constant  $c_2$ .

We can denote this by  $f(n) = \Omega(g(n))$ .



## Big- $\Theta$

Let  $f(n)$  and  $g(n)$  be two functions of  $n$ .

If  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ , then we define:

$$f(n) = \Theta(g(n))$$

to indicate that  $f(n)$  **grows asymptotically as fast as**  $g(n)$ .

## Exercise 1

Verify all the following:

$$10000000 = O(1)$$

$$100\sqrt{n} + 10n = O(n)$$

$$1000n^{1.5} = O(n^2)$$

$$(\log_2 n)^3 = O(\sqrt{n})$$

$$(\log_2 n)^{999999999} = O(n^{0.0000000001})$$

$$n^{0.0000000001} \neq O((\log_2 n)^{999999999})$$

$$n^{999999999} = O(2^n)$$

$$2^n \neq O(n^{999999999})$$

## Exercise 2

Verify all the following:

$$\log_2 n = \Omega(1)$$

$$0.001n = \Omega(\sqrt{n})$$

$$2n^2 = \Omega(n^{1.5})$$

$$n^{0.0000000001} = \Omega((\log_2 n)^{999999999})$$

$$\frac{2^n}{1000000} = \Omega(n^{999999999})$$

### Exercise 3

Verify the following:

$$\begin{aligned}10000 + 30 \log_2 n + 1.5\sqrt{n} &= \Theta(\sqrt{n}) \\10000 + 30 \log_2 n + 1.5n^{0.5000001} &\neq \Theta(\sqrt{n}) \\n^2 + 2n + 1 &= \Theta(n^2)\end{aligned}$$