CSCI3160 Design and Analysis of Algorithms (2025 Fall)

White Path Theorem and Strongly Connected Components

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¹These slides are primarily based on materials prepared by Prof. Yufei Tao (please refer to Prof. Tao's version from 2024 Fall for the original content). Some modifications have been made to better align with this year's teaching progress, incorporating student feedback, in-class interactions, and my own teaching style and research perspective.

DFS Algorithm and White Path Theorem

Recalling DFS (1/2)

Algorithm description:

- Let G = (V, E) be a directed simple² graph.
- In the beginning, color all vertices in the graph white.
- Create an empty tree T. /* this will be called a "DFS tree" */
- Create a stack *S*, and then:
 - Pick an arbitrary vertex v
 - Push v into S, and color it gray /* gray means "in the stack" */
 - Make v the root of T

(to be continued ...)

CSCI3160 (2025 Fall) White Path and SCC 3 / 25

²Here, "simple" means no self-loops — i.e., no edge from a vertex to itself.

Recalling DFS (2/2)

Repeat the following until S is empty.

- Let v be the vertex that currently tops the stack S /* do not remove v from S yet */
- 2 Does v still have a white out-neighbor?
 - 2.1 If **YES**: let it be *u*.
 - Push u into S and color u gray /* gray means "in the stack" */
 - Make *u* a child of *v* in the DFS-tree *T*.
- 2.2 If **NO**: pop v from S and color v black /* black means "this node is done" */

If there are still white vertices, repeat the above by restarting from an arbitrary white vertex \checkmark , creating a new DFS-tree rooted at \checkmark .

(end of description)

CSCI3160 (2025 Fall) White Path and SCC 4 / 25

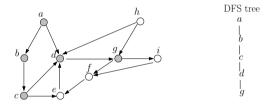
White Path Theorem

Theorem (White Path Theorem)

Let u be a vertex in G. Consider the moment when u enters the stack. Then, a vertex v will become a proper descendant of u in the DFS-forest if and only if at the current moment we can go from u to v by traveling on white vertices only (i.e., there's a white path from u to v).

Example

Consider the moment in our previous example when g just entered the stack. S = (a, b, c, d, g).



We can see that g can reach f, e, and i by hopping on only white vertices. Therefore, f, e, and i are proper descendants of g in the DFS-forest; and g has no other descendants.

Strongly Connected Components

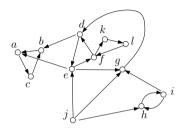
Strongly Connected Component

Let G = (V, E) be a directed graph.

A strongly connected component (SCC) of G is a subset S of V s.t.

- for any two vertices $u, v \in S$, G has a path from u to v and a path from v to u;
- S is maximal in the sense that we cannot put any more vertex into S without breaking the above property.

Example



- $\{a, b, c\}$ is an SCC.
- $\{a, b, c, d\}$ is not an SCC.
- $\{d, e, f, k, l\}$ is not an SCC (because we can still add vertex g).
- $\{e, d, f, k, l, g\}$ is an SCC.

White Path and SCC 9/25 CSCI3160 (2025 Fall)

SCCs are Disjoint

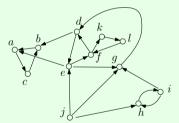
Lemma 1: Suppose that S_1 and S_2 are both SCCs of G. Then, $S_1 \cap S_2 = \emptyset$.

The proof is easy and left to you.

The SCC Problem

Given a directed graph G = (V, E), the goal of the **strongly connected components problem** is to divide V into disjoint subsets, each being an SCC.

Example:



We should output: $\{a, b, c\}$, $\{d, e, f, g, k, l\}$, $\{h, i\}$, and $\{j\}$.

DFS-based Algorithm for SCC

We will introduce a DFS-based algorithm to solve the SCC problem.

At a high level, this algorithm operates in 3 stages, invoking the DFS algorithm twice: once on the original graph G, and once on the so-called **reverse graph** G^{rev} .

An example of reverse graph:



(a) Original graph G



(b) Reverse Graph Grev

Algorithm Description

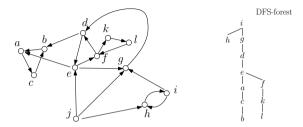
Algorithm

Step 1: Run DFS on G, and list the vertices by the order they turn black (i.e., popped from the stack).

• If vertex $u \in V$ is the *i*-th turning black, we label u with i.

(to be continued ...)





Start DFS from i and re-start from j.

The following is a possible turn-black order: h, b, c, a, l, k, f, e, d, g, i, j.

Note: the order is not unique.

The label of c is 3.

The label of g is 10.

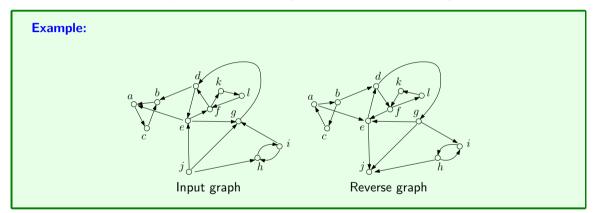
The label of i is 11.

The label of j is 12.

CSCI3160 (2025 Fall) White Path and SCC 14 / 25



Step 2: Obtain the reverse graph G^{rev} by reversing the directions of all the edges in G.



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CSCI3160 (2025 Fall) White Path and SCC 15 / 25



Step 3: Perform DFS on G^{rev} subject to the following rules:

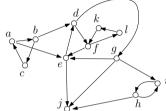
- Rule 1: Start at the vertex with the largest label.
- Rule 2: When a restart is needed, do so from the white vertex with the largest label.

Output the set of vertices in each DFS-tree as an SCC.

(end of description)

Example

Vertices in ascending order of label: h, b, c, a, l, k, f, e, d, g, i, j. Reverse graph G^{rev} :



Start DFS from j, which finishes immediately and discovers only j.

• First SCC: {*j*}

Restart from i, which finishes after discovering i and h

• Second SCC: {*i*, *h*}

Restart from g, which finishes after discovering g, e, d, f, l, and k

• Third SCC: {*g*, *e*, *d*, *f*, *l*, *k*}

Restart from a, which finishes after discovering a, b, and c.

CSCI3160 (2025 Fall) White Path and SCC 17 / 25

Analysis

Theorem: Our SCC algorithm finishes in O(|V| + |E|) time.

The proof is left as a regular exercise.

Next, we will prove that the algorithm correctly returns all the SCCs.

Proof of Correctness



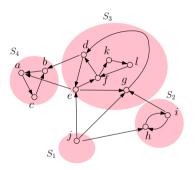
Suppose that the input graph G has SCCs $S_1, S_2, ..., S_t$ for some $t \ge 1$.

The **SCC** graph *G*^{scc} is defined as follows:

- Each vertex in Gscc is a distinct SCC in G.
- For every two distinct vertices (a.k.a. SCCs) S_i and S_i ($1 \le i, j \le t$), G^{scc} has an edge from S_i to S_i if some vertex of S_i has an edge in G to a vertex of S_i .

White Path and SCC 20 / 25 CSCI3160 (2025 Fall)

Example



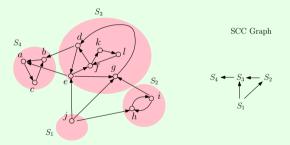
SCC Graph





For each SCC S_i ($i \in [1, t]$), define

$$label(S_i) = \max_{v \in S_i} label of v$$



Vertices in ascending order of label: h, b, c, a, l, k, f, e, d, g, i, j. $label(S_1) = 12$, $label(S_2) = 11$, $label(S_3) = 10$, $label(S_4) = 4$

CSCI3160 (2025 Fall) White Path and SCC 22 / 25



Lemma 2: If SCC S_i (for some $i \in [1, t]$) has an edge to SCC S_j (for some $j \in [1, t]$) in G^{scc} , then $label(S_i) > label(S_j)$.

Proof: Let \underline{u} be the first vertex in $S_i \cup S_j$ that turns gray in DFS (i.e., u is the first vertex in $S_i \cup S_j$ discovered by DFS).

- If $u \in S_i$, u has a white path to every vertex in $S_i \cup S_j$. By the white path theorem, u turns black after all the vertices in S_j and is the last vertex in S_i turning black. This implies $label(S_i) > label(S_j)$.
- If $u \in S_j$, u has a white path to every vertex in S_j but no white path to any vertex in S_i . By the white path theorem, u turns black after all the vertices in S_j and before every vertex in S_i . This again implies $label(S_i) > label(S_j)$.

CSCI3160 (2025 Fall) White Path and SCC 23 / 25

SCC Graph

Henceforth, we arrange $S_1, S_2, ..., S_t$ such that

$$label(S_1) > label(S_2) > ... > label(S_t).$$

Corollary 3: Fix any $i \in [1, t]$. Consider any vertex $u \in S_i$. In G^{rev} (i.e., the reverse graph), if (v, u) is an incoming edge of u and yet $v \notin S_i$, then v belongs to some S_j with j > i.

Proof: As (v, u) is in G^{rev} , G has an edge from u to v. Hence, S_i has an edge to S_i in G^{scc} .

By Lemma 2, $label(S_i) > label(S_i)$, which means i > i.

24 / 25 White Path and SCC

Correctness

Lemma 4: Consider the DFS on G^{rev} (in Step 3 of our algorithm). For each $i \in [1, t]$, S_i is exactly the set of vertices in the i-th DFS-tree produced.

Proof: We will prove the claim by induction on *i*.

Consider i = 1. Let u be the vertex in S_1 having the largest label; u is the root of the first DFS-tree. Consider the beginning moment of the first DFS on G^{rev} .

- As S_1 is an SCC, u has a white path to every other vertex in S_1 .
- By Corollary 3, u has no white path to any vertex outside S_1 .

By the white path theorem, all and only the vertices in S_1 are descendants of u in the first DFS tree. The claim thus holds for i = 1.

CSCI3160 (2025 Fall) White Path and SCC 25 / 25

Correctness

Proof (cont.): Assuming that the claim holds for i = k - 1 (where $k \ge 2$), next we prove its correctness for i = k. Let u be the vertex in S_k having the largest label; u is the root of the k-th DFS-tree. Consider the beginning moment of the k-th DFS on G^{rev} .

- All the vertices in $S_1, S_2, ..., S_{k-1}$ are black.
- As S_k is an SCC, u has a white path to every other vertex in S_k .
- By Corollary 3, u has no white path to any vertex in $S_{k+1}, S_{k+2}, ..., S_t$.

By the white path theorem, all and only the vertices in S_k are descendants of u in the k-th DFS tree.

The claim thus holds for i = k.