

# CSCI3160: Tutorial 3

---

## □ Problem 1

- $O(n \log n)$ -time algorithm for finding the number of inversions.

## □ Problem 2

- $O(n \log n)$ -time algorithm to solve the dominance counting problem.

# Review: Counting inversions

---

- Problem: Given an array  $A$  of  $n$  distinct integers, count the number of inversions.
- An inversion is a pair of  $(i, j)$  such that
  - $1 \leq i < j \leq n$ .
  - $A[i] > A[j]$ .

**Example:** Consider  $A = (10, 3, 9, 8, 2, 5, 4, 1, 7, 6)$ .

Then  $(1, 2)$  is an inversion because  $A[1] = 10 > A[2] = 3$ . So are  $(1, 3)$ ,  $(3, 4)$ ,  $(4, 5)$ , and so on.

There are in total 31 inversions.

# Review: Counting inversions

---

□ Let:  $A = (10, 3, 9, 8, 2, 5, 4, 1, 7, 6)$

- $A_1 = (10, 3, 9, 8, 2), A_2 = (5, 4, 1, 7, 6)$ .
- The counts of inversions in  $A_1$  and  $A_2$  are known by solving the “counting inversion” problem recursively on  $A_1$  and  $A_2$ .

# Review: Counting inversions

---

- Let:  $A = (10, 3, 9, 8, 2, 5, 4, 1, 7, 6)$ 
  - $A_1 = (10, 3, 9, 8, 2)$ ,  $A_2 = (5, 4, 1, 7, 6)$ .
  - The counts of inversions in  $A_1$  and  $A_2$  are known by solving the “counting inversion” problem recursively on  $A_1$  and  $A_2$ .
- We need to count the number of crossing inversion  $(i, j)$  where  $i$  is in  $A_1$  and  $j$  in  $A_2$ .

# Review: Counting inversions

---

- Let:  $A = (10, 3, 9, 8, 2, 5, 4, 1, 7, 6)$ 
  - $A_1 = (10, 3, 9, 8, 2), A_2 = (5, 4, 1, 7, 6)$ .
  - The counts of inversions in  $A_1$  and  $A_2$  are known by solving the “counting inversion” problem recursively on  $A_1$  and  $A_2$ .
- We need to count the number of crossing inversion  $(i, j)$  where  $i$  is in  $A_1$  and  $j$  in  $A_2$ .
- Binary search
  - Sort  $A_1$  and  $A_2$ , and conduct  $n/2$  binary searches ( $O(n \log n)$ ).

# Review: Counting inversions

---

□ Let:  $A = (10, 3, 9, 8, 2, 5, 4, 1, 7, 6)$

- $A_1 = (10, 3, 9, 8, 2), A_2 = (5, 4, 1, 7, 6)$ .
- The counts of inversions in  $A_1$  and  $A_2$  are known by solving the “counting inversion” problem recursively on  $A_1$  and  $A_2$ .

□ We need to count the number of crossing inversion  $(i, j)$  where  $i$  is in  $A_1$  and  $j$  in  $A_2$ .

□ Binary search

- Sort  $A_1$  and  $A_2$ , and conduct  $n/2$  binary searches ( $O(n \log n)$ ).
- Let  $f(n)$  be the worst-case running time of the algorithm on  $n$  numbers.
  - ✓  $f(n) \leq 2f(\lceil n/2 \rceil) + O(n \log n)$
  - ✓ which solves to  $f(n) = O(n \log^2 n)$ .

# Counting inversions: a faster algorithm

---

- Strategy: ask a harder question, and exploit it in the conquer phase.

# Counting inversions and sorting

---

- Strategy: ask a harder question, and exploit it in the conquer phase.
- Given an array  $A$  of  $n$  distinct integers, output the number of inversions **and** produce an array to store the integers of  $A$  in ascending order.



# Counting inversions and sorting

---

- Strategy: ask a harder question, and exploit it in the conquer phase.
- Given an array  $A$  of  $n$  distinct integers, output the number of inversions **and** produce an array to store the integers of  $A$  in ascending order.
- $A = (10, 3, 9, 8, 2, 5, 4, 1, 7, 6)$ 
  - $A_1 = (2, 3, 8, 9, 10)$ , 8 invs;  $A_2 = (1, 4, 5, 6, 7)$ , 4 invs.

# Counting inversions and sorting

---

- ❑ Strategy: ask a harder question, and exploit it in the conquer phase.
- ❑ Given an array  $A$  of  $n$  distinct integers, output the number of inversions **and** produce an array to store the integers of  $A$  in ascending order.
- ❑  $A = (10, 3, 9, 8, 2, 5, 4, 1, 7, 6)$ 
  - $A_1 = (2, 3, 8, 9, 10)$ , 8 invs;  $A_2 = (1, 4, 5, 6, 7)$ , 4 invs.
- ❑ Exploit subproblem property
  - Subarrays  $A_1, A_2$  are sorted
    - Count crossing inversions in  $O(n)$  time.
    - Merge 2 sorted arrays in  $O(n)$  time.

# Counting crossing inversions

---

- Let  $S_1$  and  $S_2$  be two disjoint sets of  $n$  integers. Assume that  $S_1$  is stored in an array  $A_1$ , and  $S_2$  in an array  $A_2$ . Both  $A_1$  and  $A_2$  are sorted in ascending order. Design an algorithm to find the number of such pairs  $(a, b)$  satisfying the following conditions:
- ✓  $a \in S_1$ ,
  - ✓  $b \in S_2$ ,
  - ✓  $a > b$ .
  - ✓ Your algorithm must finish in  $O(n)$  time.

# Counting crossing inversions

---

## □ Method

- Merge  $A_1$  and  $A_2$  into one sorted list  $A$ .

## □ Let: $A = (10, 3, 9, 8, 2, 5, 4, 1, 7, 6)$

- $A_1 = (2, 3, 8, 9, 10)$ ,  $A_2 = (1, 4, 5, 6, 7)$

$A_1$ 

2
---

3
---

8
---

9
---

10
----

$A_2$ 

1
---

4
---

5
---

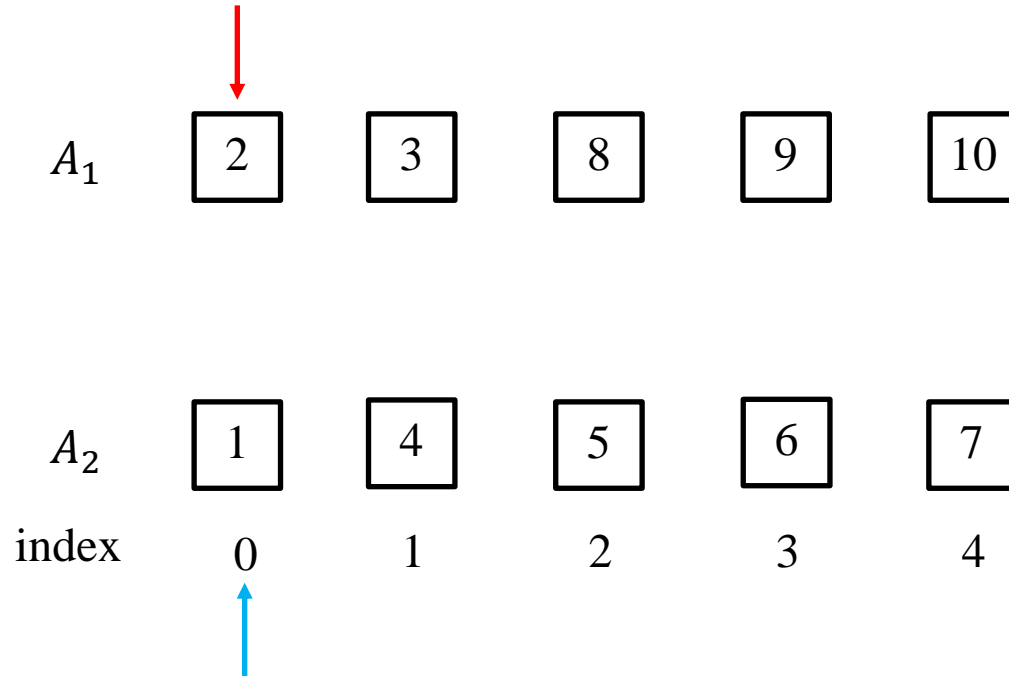
6
---

7
---

- ## □ We will merge them together and in the meantime maintain the count of crossing inversions.

# Counting crossing inversions

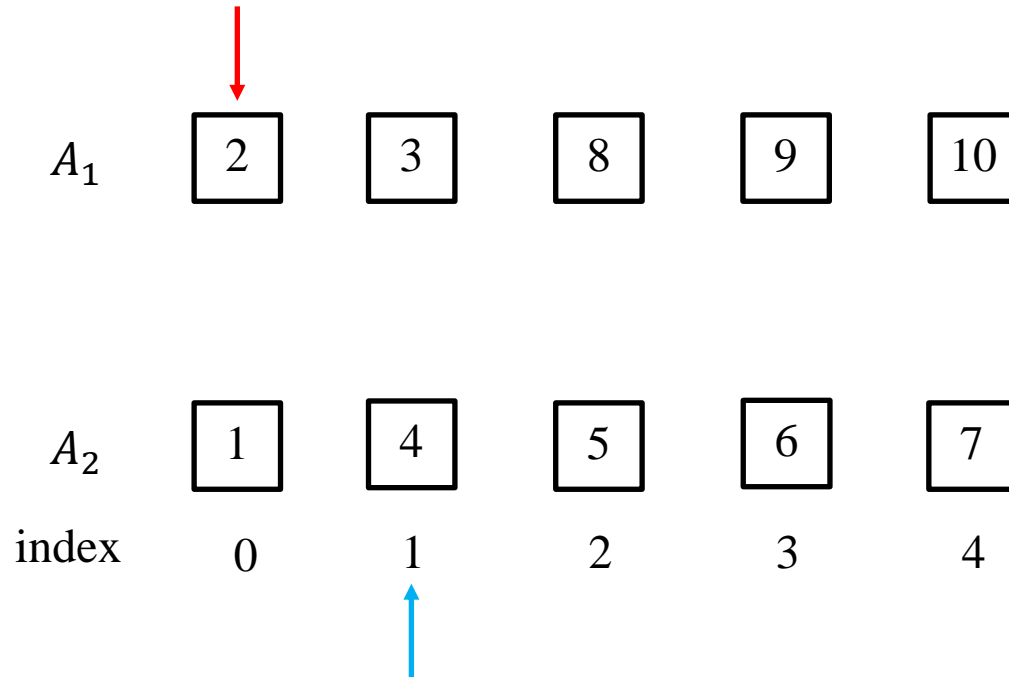
---



- Ordered list produced: Nothing yet
- The count of crossing inversions : 0

# Counting crossing inversions

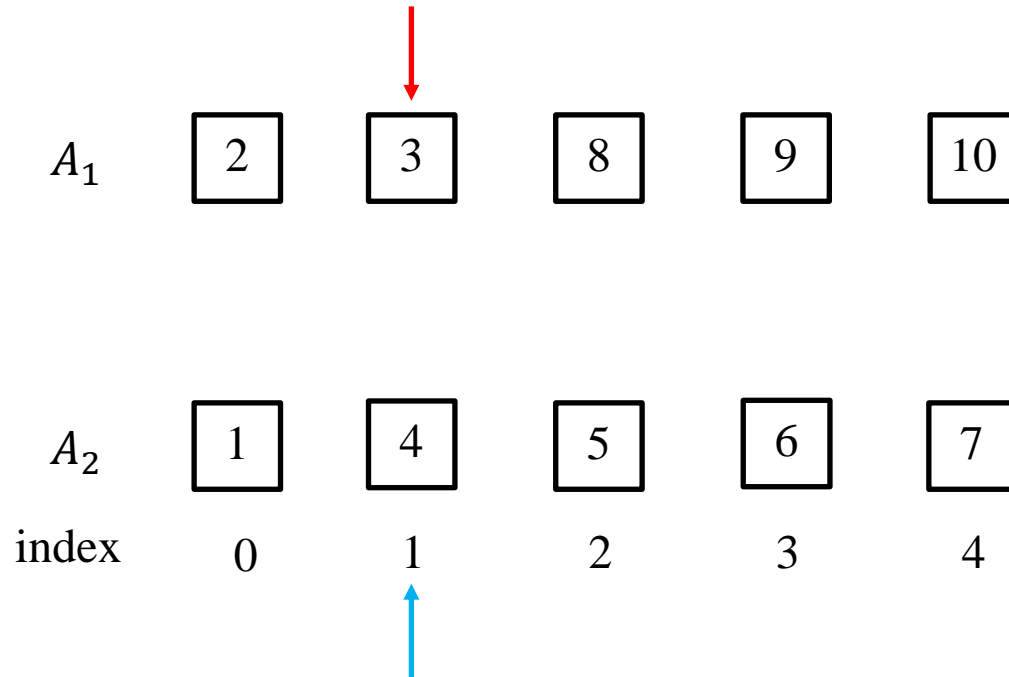
---



- Ordered list produced: 1
- The count of crossing inversions : 0

# Counting crossing inversions

---



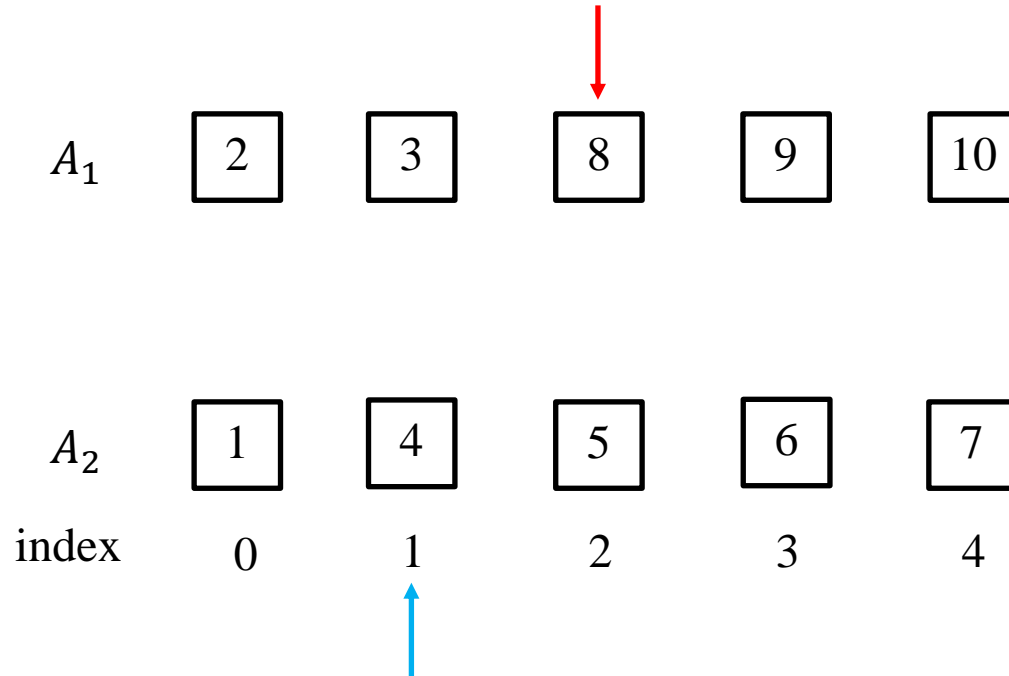
- Ordering produced: 1, 2

- The count of crossing inversions :  $0 + 1 = 1$ .

↗ ↖  
Last count      Newly added: (2,1) is a crossing inversion

# Counting crossing inversions

---



- Ordering produced: 1, 2, 3

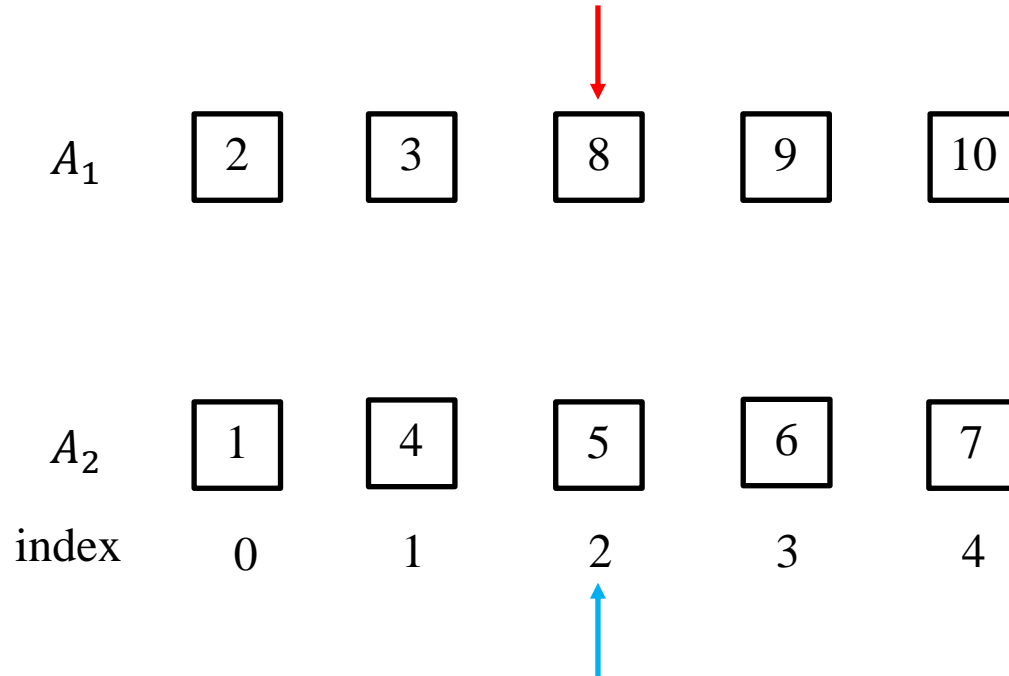
- The count of crossing inversions :  $1 + 1 = 2$ .

↗ Last count      ↖ Newly added: (3,1) is a crossing inversion.



# Counting crossing inversions

---

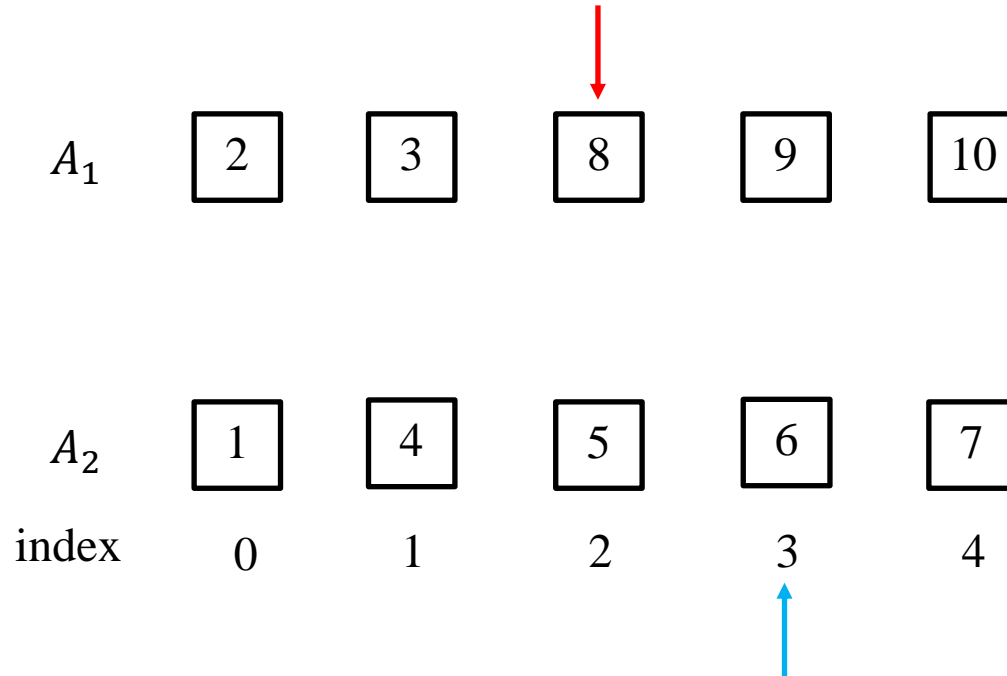


- Ordering produced: 1, 2, 3, 4
- The count of crossing inversions : 2

↗  
Last count

# Counting crossing inversions

---

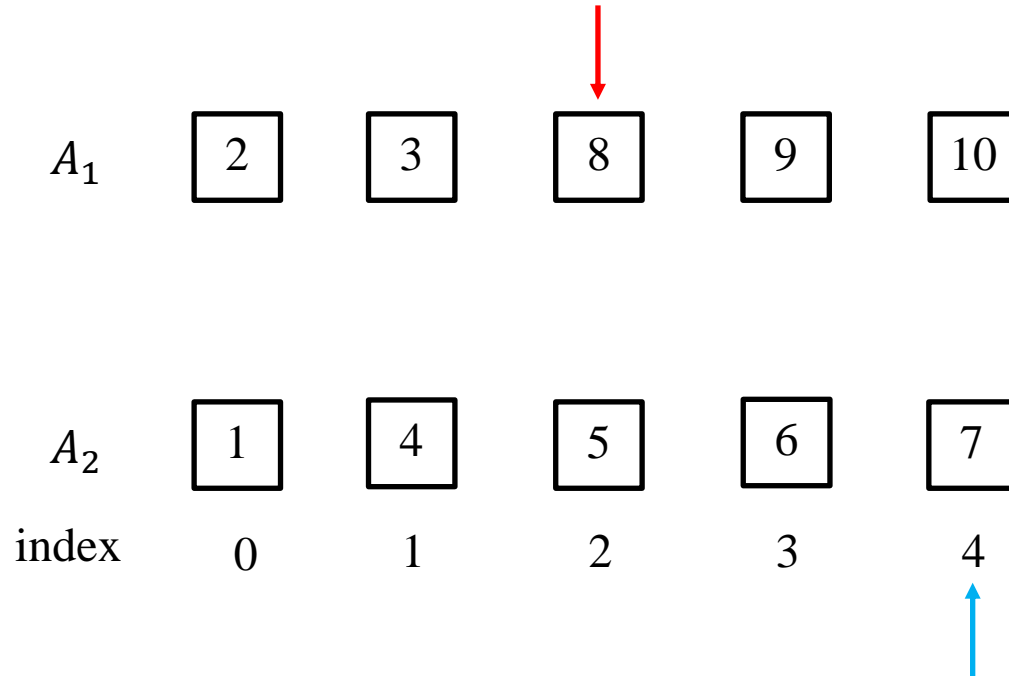


- Ordering produced: 1, 2, 3, 4, 5
- The count of crossing inversions : 2

↗  
Last count

# Counting crossing inversions

---

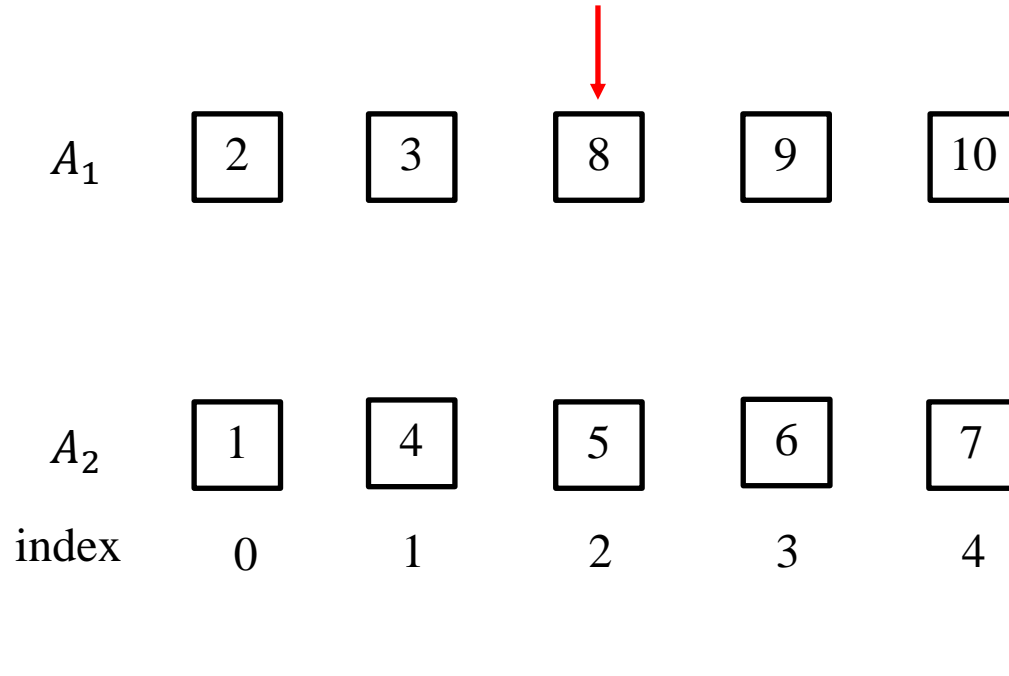


- Ordering produced: 1, 2, 3, 4, 5, 6
- The count of crossing inversions : 2.

↗  
Last count

# Counting crossing inversions

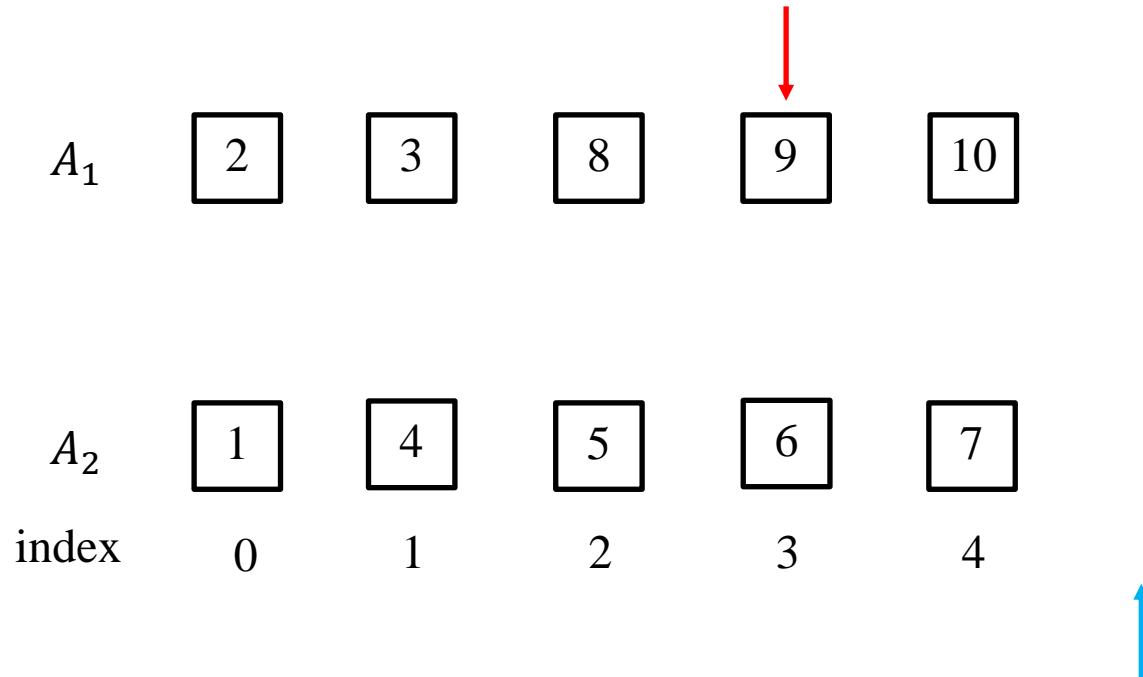
---



- Ordering produced: 1, 2, 3, 4, 5, 6, 7
  - The count of crossing inversions : 2
- ↗  
Last count

# Counting crossing inversions

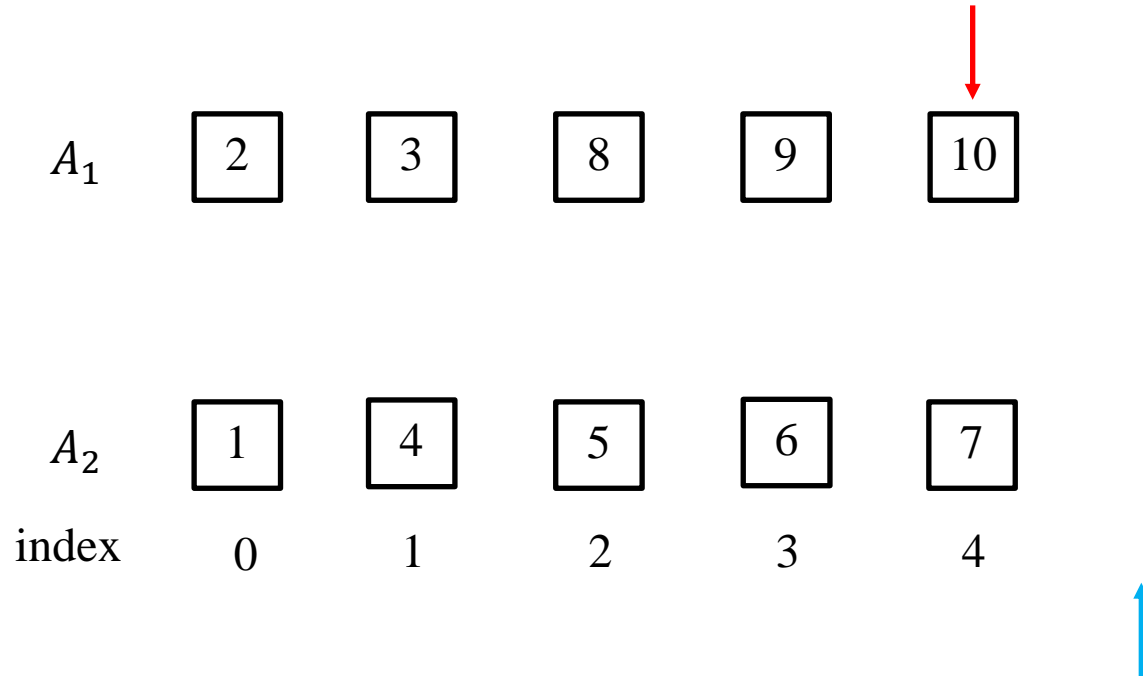
---



- Ordering produced: 1, 2, 3, 4, 5, 6, 7, 8
  - The count of crossing inversions :  $2 + 5 = 7$ .
- ↗      ↖
- Last count      Newly added count:  
(8,1), (8,4), (8,5), (8,6), (8,7)

# Counting crossing inversions

---

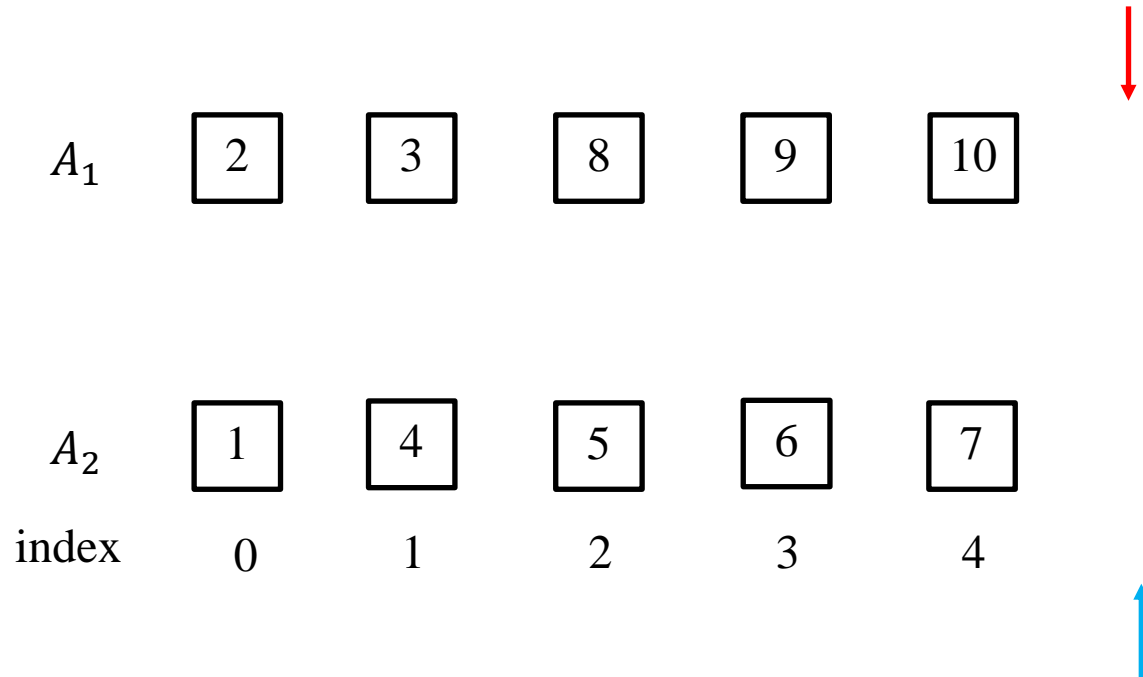


- Ordering produced: 1, 2, 3, 4, 5, 6, 7, 8, 9
- The count of crossing inversions :  $7 + 5 = 12$ .

↗ ↖  
Last count    Newly added count:  
(9,1), (9,4), (9,5), (9,6), (9,7)

# Counting crossing inversions

---



- Ordering produced: 1, 2, 3, 4, 5, 6, 7, 8, 9, 10
- The count of crossing inversions :  $12 + 5 = 17$ .

↗ ↖  
Last count    Newly added count: #integers  
from  $A_2$  already in the ordered  
list produced

# Counting inversions

---

## □ Analysis

- Let  $f(n)$  be the worst-case running time of the algorithm on  $n$  numbers.

Then

- $f(n) \leq 2f(\lceil n/2 \rceil) + O(n)$ ,
- which solves to  $f(n) = O(n \log n)$ .



# Dominance counting

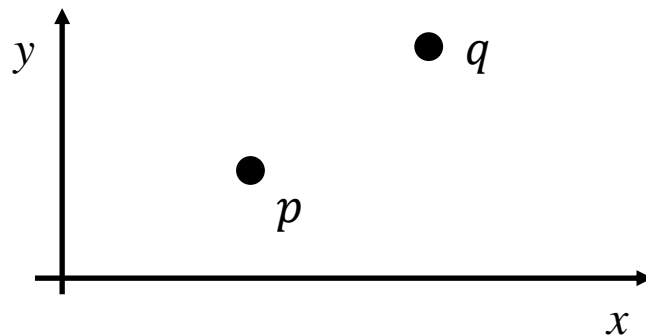
---

## □ Problem

- Give an  $O(n \log n)$ -time algorithm to solve the dominance counting problem discussed in the class.

## □ Point dominance definition

- Denote by  $\mathbb{N}$  the set of integers. Given a point  $p$  in two-dimensional space  $\mathbb{N}^2$ , denote by  $p[1]$  and  $p[2]$  its  $x$ - and  $y$ -coordinates, respectively.
- Given two distinct points  $p$  and  $q$ , we say that  $q$  dominates  $p$  if  $p[1] \leq q[1]$  and  $p[2] \leq q[2]$ .

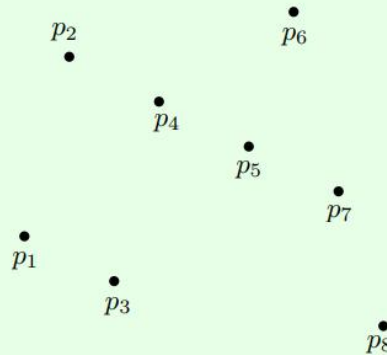


# Dominance counting

---

- Let  $P$  be a set of  $n$  points in  $\mathbb{N}^2$ . Find, for each point  $p \in P$ , the number of points in  $P$  that are dominated by  $p$ .

Example:

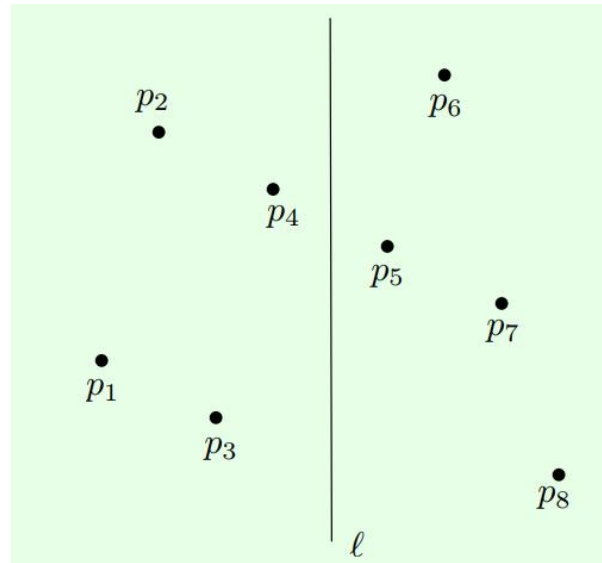


We should output:  $(p_1, 0), (p_2, 1), (p_3, 0), (p_4, 2), (p_5, 2), (p_6, 5), (p_7, 2), (p_8, 0)$ .

# Dominance counting

---

- Divide: Find a vertical line  $l$  such that  $P$  has  $\lceil n/2 \rceil$  points on each side of the line. (k-selection,  $O(n)$  time).



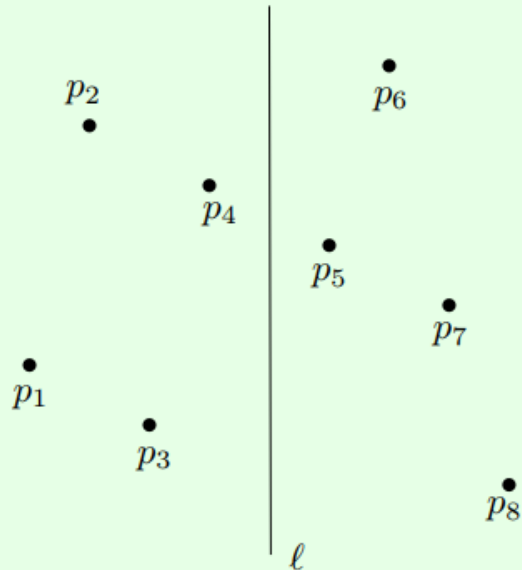
# Dominance counting

---

## □ Divide:

- $P_1$  = the set of points of  $P$  on the left of  $l$ .
- $P_2$  = the set of points of  $P$  on the right of  $l$ .

### Example:



$$P_1 = \{p_1, p_2, p_3, p_4\}$$

$$P_2 = \{p_5, p_6, p_7, p_8\}.$$

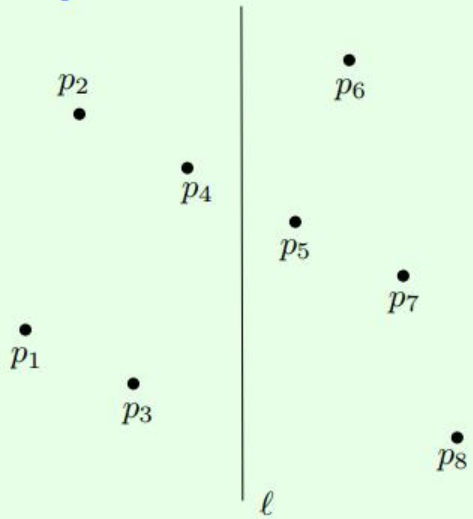
# Dominance counting

---

## □ Divide:

- Solve the dominance counting problem on  $P_1$  and  $P_2$  separately.

### Example:



On  $P_1$ , we have obtained:  
 $(p_1, 0), (p_2, 1), (p_3, 0), (p_4, 2)$ .

On  $P_2$ , we have obtained:  
 $(p_5, 0), (p_6, 1), (p_7, 0), (p_8, 0)$ .

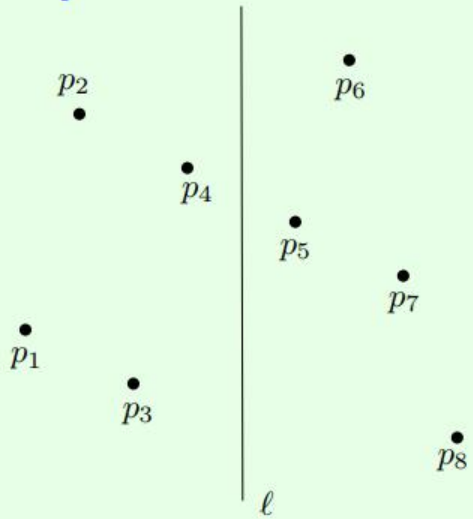
# Dominance counting

---

## □ Divide:

- Solve the dominance counting problem on  $P_1$  and  $P_2$  separately.
- It remains to obtain, for each point  $p \in P_2$ , how many points in  $P_1$  it dominates.

### Example:



On  $P_1$ , we have obtained:  
 $(p_1, 0), (p_2, 1), (p_3, 0), (p_4, 2)$ .

On  $P_2$ , we have obtained:  
 $(p_5, 0), (p_6, 1), (p_7, 0), (p_8, 0)$ .

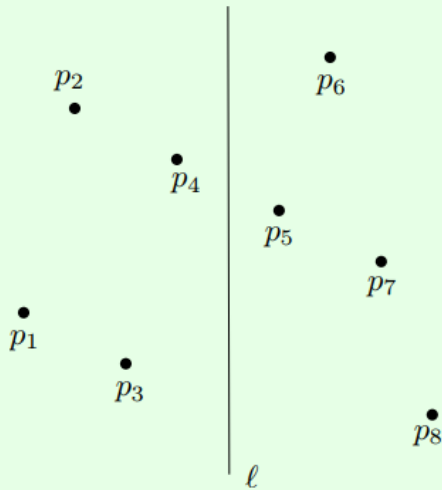
# Dominance counting

---

## □ Review: Binary search

- Sort  $P_1$  by y-coordinate. ( $O(n \log n)$ )
- Then, for each point  $p \in P_2$ , we can obtain the number of points in  $P_1$  dominated by  $p$  using binary search. ( $O(n \log n)$ )

### Example:



$P_1$  in ascending of y-coordinate:  
 $p_3, p_1, p_4, p_2$ .

How to perform binary search to obtain the fact that  $p_5$  dominates 2 points in  $P_1$ ?

- Search using the y-coordinate of  $p_5$ .

# Dominance counting: a faster algorithm

---

□ Ask a harder question:

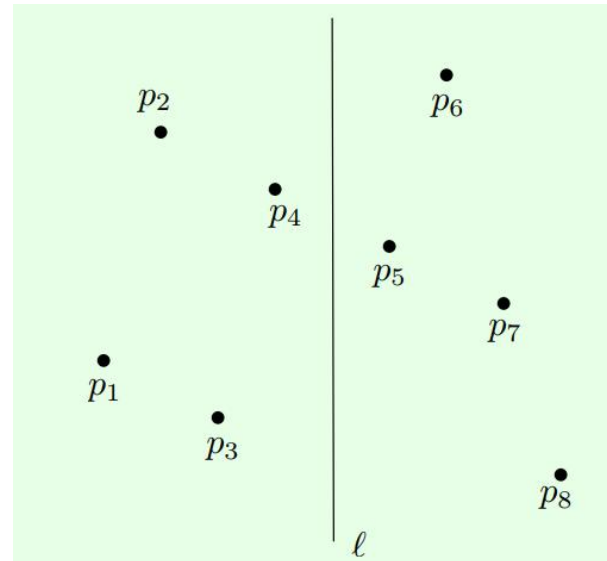
- Output the dominance counts and sort  $P$  by y-coordinate.

□ Scan the point from  $P_1$  by y-coordinate in ascending order, and scan  $P_2$  in the same way synchronously.

- Merge the following two sorted arrays, based on y-coordinates and obtain the number of points in  $P_1$  dominated by  $p$ .

- $P_1 = (p_3, p_1, p_4, p_2)$

- $P_2 = (p_8, p_7, p_5, p_6)$



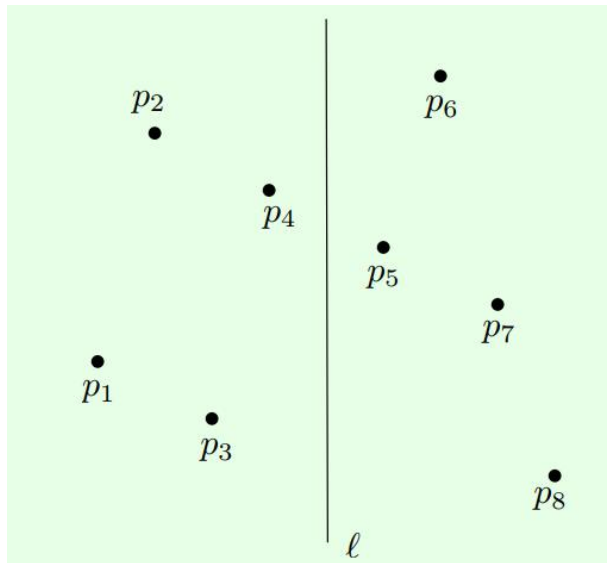


# Dominance counting

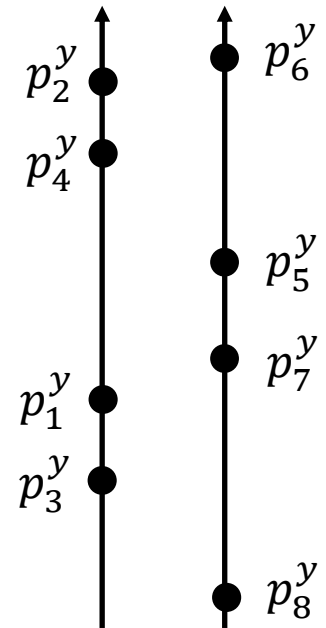
□ Scan the points from  $P_1$  by y-coordinate in ascending order. Do the same on  $P_2$ .

●  $P_1 = (p_3, p_1, p_4, p_2)$

●  $P_2 = (p_8, p_7, p_5, p_6)$



Only care about y-coordinates



# Dominance counting

---

$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \bar{P} = ()$$

- All the points will be stored in this array in ascending order of y-coordinate.
- To be produced by merging  $P_1$  and  $P_2$ .

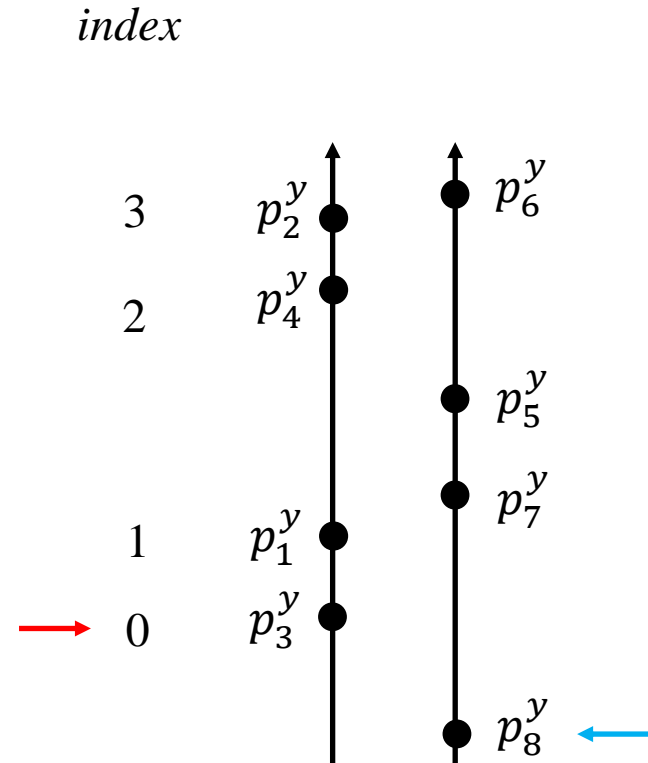
# Dominance counting

$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \text{count} = 0$$

$$\square \bar{P} = ()$$



# Dominance counting

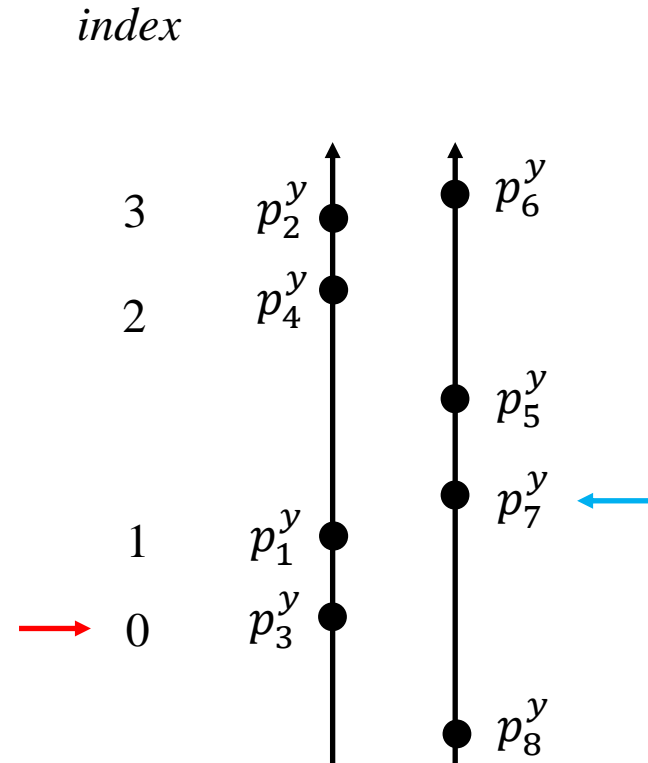
$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \text{count} = 0$$

$$\square \bar{P} = (p_8)$$

●  $p_8$  dominates 0 point in  $P_1$  .



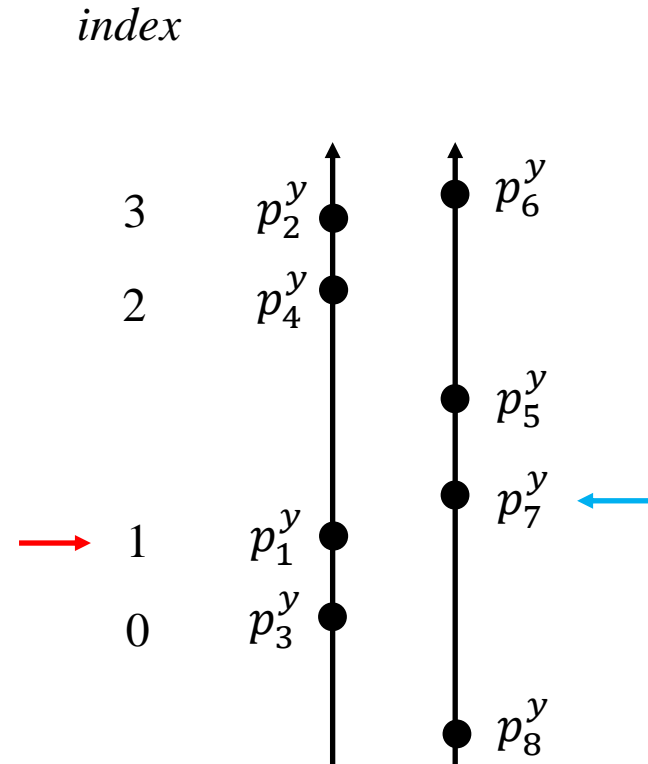
# Dominance counting

$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \text{count} = 0$$

$$\square \bar{P} = (p_8, p_3)$$



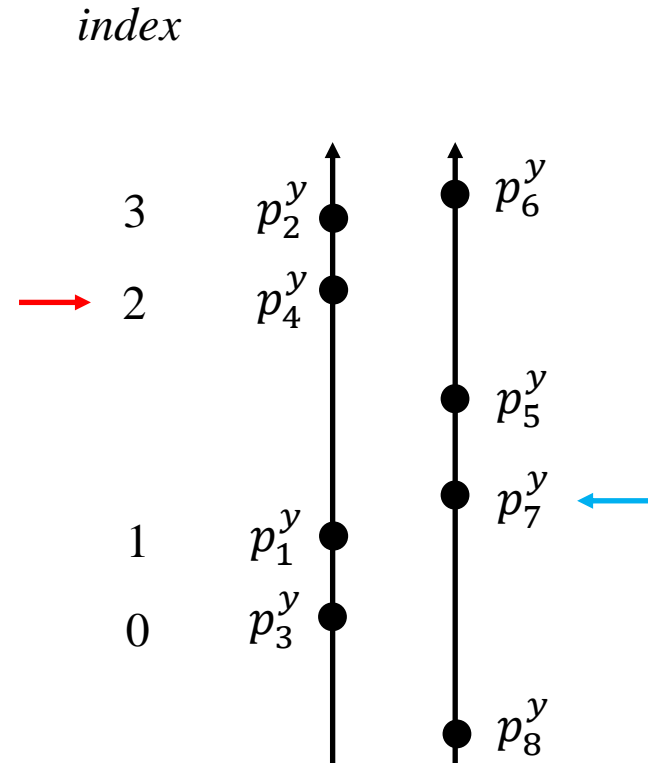
# Dominance counting

$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \text{count} = 0$$

$$\square \bar{P} = (p_8, p_3, p_1)$$



# Dominance counting

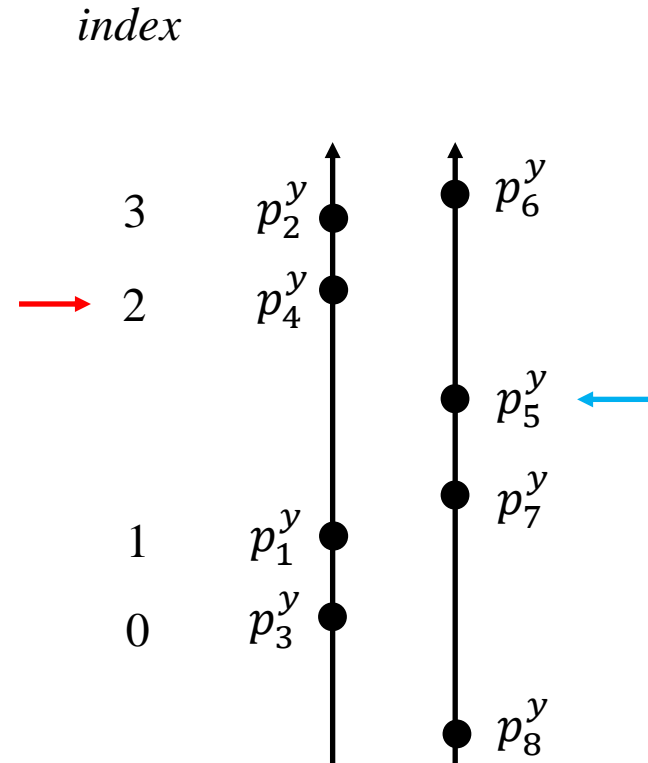
$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \text{count} = 2$$

$$\square \bar{P} = (p_8, p_3, p_1, p_7)$$

●  $p_7$  dominates 2 point in  $P_2$



# Dominance counting

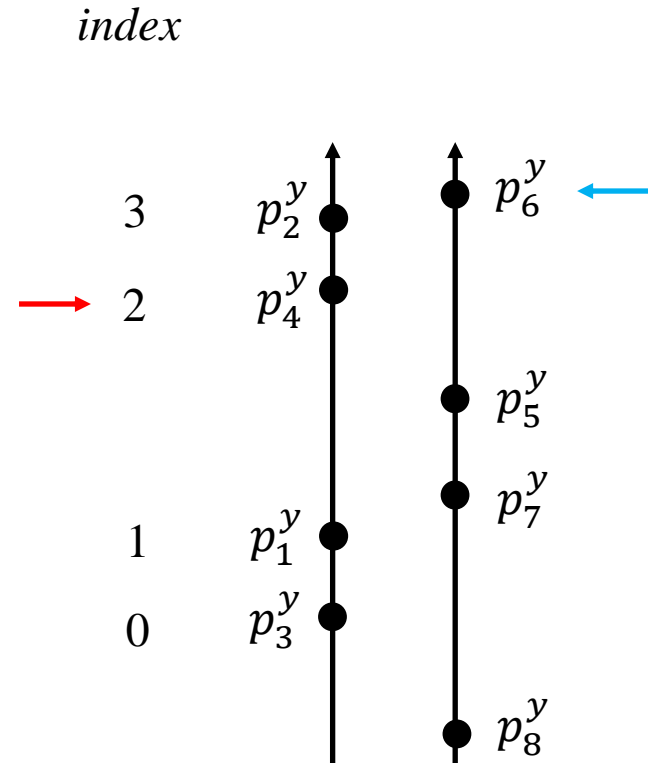
$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \text{count} = 4$$

$$\square \bar{P} = (p_8, p_3, p_1, p_7, p_5)$$

●  $p_5$  dominates 2 point in  $P_1$





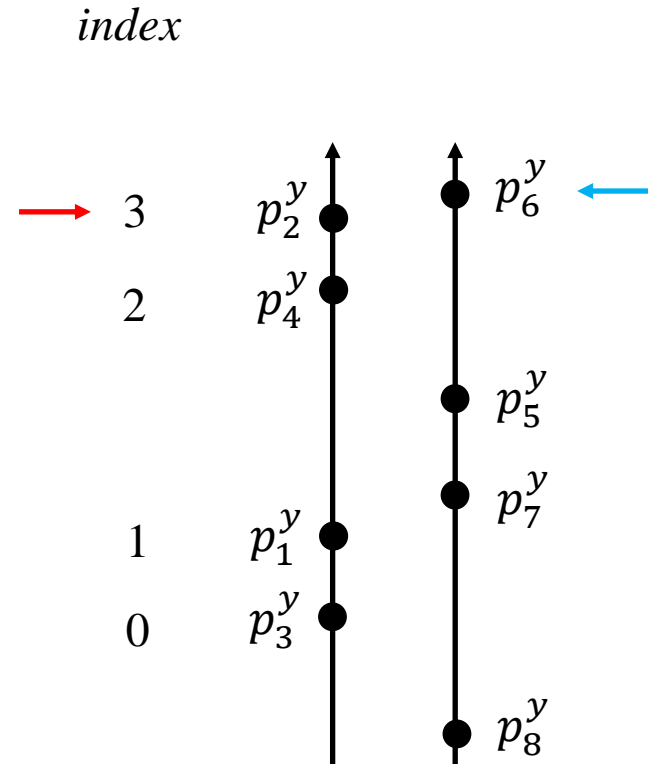
# Dominance counting

$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \text{count} = 4$$

$$\square \bar{P} = (p_8, p_3, p_1, p_7, p_5, p_4)$$



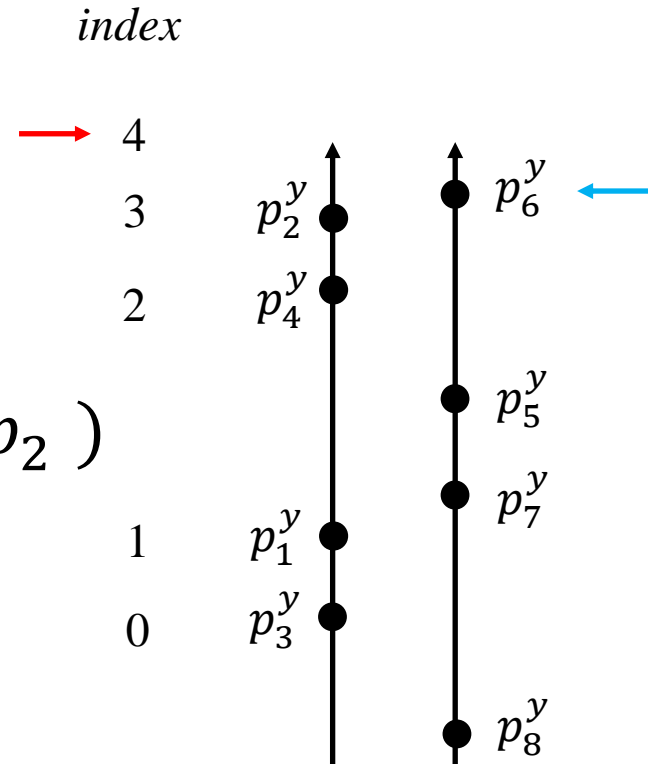
# Dominance counting

$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \text{count} = 4$$

$$\square \bar{P} = (p_8, p_3, p_1, p_7, p_5, p_4, p_2)$$



# Dominance counting

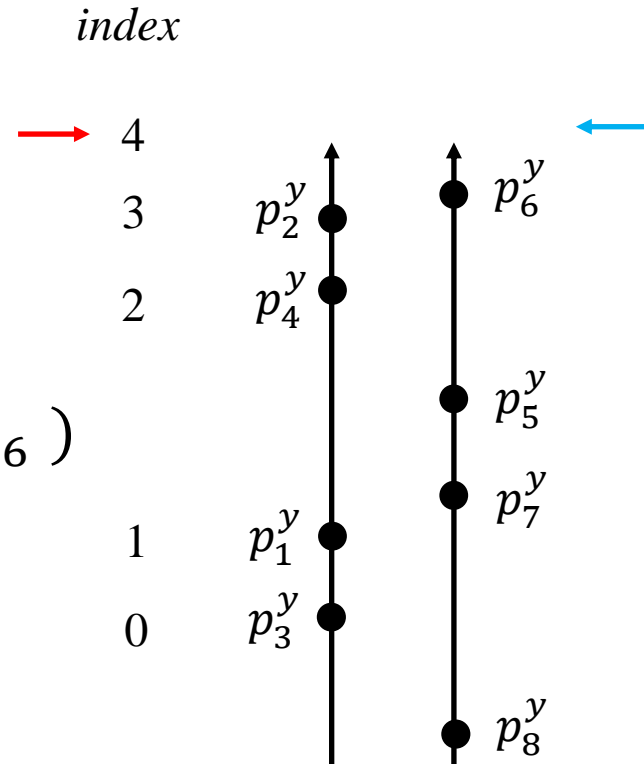
$$\square P_1 = (p_3, p_1, p_4, p_2)$$

$$\square P_2 = (p_8, p_7, p_5, p_6)$$

$$\square \text{count} = 8$$

$$\square \bar{P} = (p_8, p_3, p_1, p_7, p_5, p_4, p_2, p_6)$$

●  $p_6$  dominates 4 points in  $P_1$ .



# Dominance counting

---

□  $P_1 = (p_3, p_1, p_4, p_2).$

□  $P_2 = (p_8, p_7, p_5, p_6).$

□  $\text{count} = 8$

□  $\bar{P} = (p_8, p_3, p_1, p_7, p_5, p_4, p_2, p_6).$

□ Current time complexity:  $O(n).$

# Dominance counting

---

## □ Analysis

- Let  $f(n)$  be the worst-case running time of the algorithm on  $n$  points.
- $f(n) \leq 2f(\lceil n/2 \rceil) + O(n)$ ,
- which solves to  $f(n) = O(n \log n)$ .