#### **Principles of Database Systems (CS307)**

Lecture 4: More on Retrieving Data; Join

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- Most contents are from slides made by Stéphane Faroult and the authors of Database System Concepts (7<sup>th</sup> Edition).
- Their original slides have been modified to adapt to the schedule of CS307 at SUSTech.

#### More on Retrieving Data

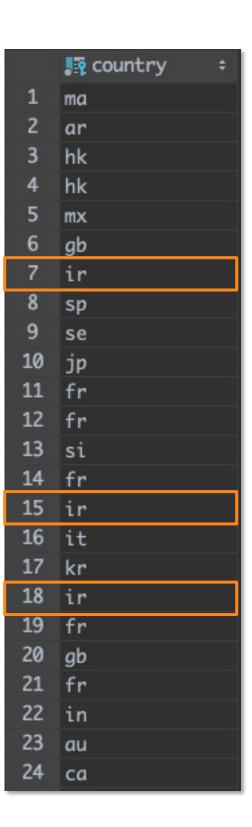
## Distinct

- No duplicated identifier
  - Some rules must be respected if you want to obtain valid results when you apply new operations to result sets
    - They must be mathematical sets, i.e., no duplicates



- If we run a query such as the one below
  - Many identical rows
    - In other words, we may be obtaining a table, but it's not a relation because many rows cannot be distinguished

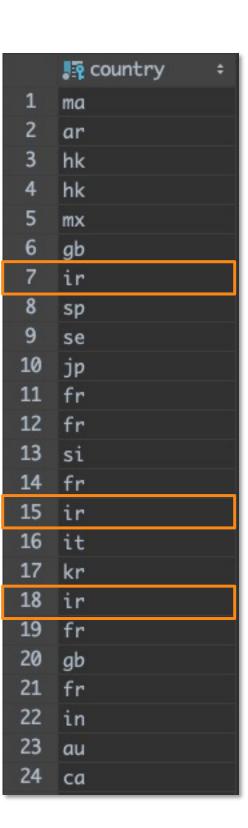
```
select country from movies
where year_released=2000;
```



### Duplicated country codes in the query result

 But their original rows are not considered duplicated tuples

- The result of the query is in fact completely uninteresting
  - Whenever we are only interested in countries in table movies, it can only be for one of two reasons:
    - See a list of countries that <u>have</u> movies
    - Or, for instance, see which countries appear most often



#### Duplicated country codes in the query result

 But their original rows are not considered duplicated tuples

• If we only are interested in the different countries, there is the special keyword distinct.

```
select distinct country from movies where year_released=2000;
```

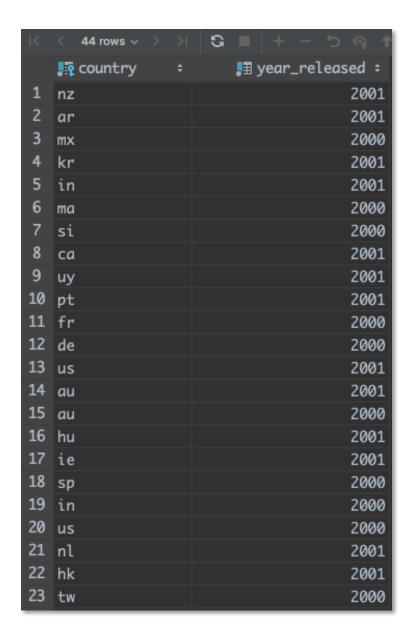


### No duplicated results in the country code list now

 All of them are different now, and hence <u>it is a</u> relation!

- Multiple columns after the keyword distinct
  - It will eliminate those rows where <u>all the selected fields</u> are <u>identical</u>
  - The selected combination (country, year\_released)
     will be identical

```
select distinct country, year_released from movies where year_released in (2000,2001);
```



More on Retrieving Data

- Statistical functions
  - When we are interested in what we might call countrywide characteristics, such as how many movies released, we use Aggregate Functions.
  - Aggregate function will
    - aggregate all rows that share a feature (such as being movies from the same country)
    - ... and return a characteristic of each group of aggregated rows

- To compute an aggregated result, we'll first retrieve data
  - Here, all rows are in the table

```
select country, year_released, title
from movies;
```

country	year_released	title
de	1985	Das Boot
fr	1997	Le cinquième élément
fr	1946	La belle et la bête
fr	1942	Les Visiteurs du Soir
gb	1962	Lawrence Of Arabia
gb	1949	The Third Man
in	1975	Sholay
in	1955	Pather Panchali
јр	1954	Shichinin no Samurai

Note: Just for demonstration purpose, not the real data in the table movie

- To compute an aggregated result, we'll first retrieve data
  - Here, all rows are in the table
- Then, data will be regrouped according to the value in one or several columns

```
select country, year_released, title
from movies;
```

#### Grouped according to country

Rows with the same value will be grouped together

country	year_released	title
de	1985	Das Boot
fr	1997	Le cinquième élément
fr	1946	La belle et la bête
fr	1942	Les Visiteurs du Soir
gb	1962	Lawrence Of Arabia
gb	1949	The Third Man
in	1975	Sholay
in	1955	Pather Panchali
jp	1954	Shichinin no Samurai

Note: Just for demonstration purpose, not the real data in the table movie

- We say that we want to "group by country"
  - ... and, for each country, the aggregate function count(\*) says how many movies we have
    - "how many movies" = "how many rows"

- The query result
  - One row for each group
  - The statistical value is attached in another column

```
select country,
        count(*) number_of_movies
from movies
group by country;
```

```
      III country ÷
      III number_of_movies ÷

      1
      fr
      571

      2
      ke
      1

      3
      si
      1

      4
      eg
      11

      5
      nz
      23

      6
      bg
      4

      7
      ru
      153

      8
      gh
      1

      9
      pe
      4

      10
      hr
      1

      11
      sg
      5

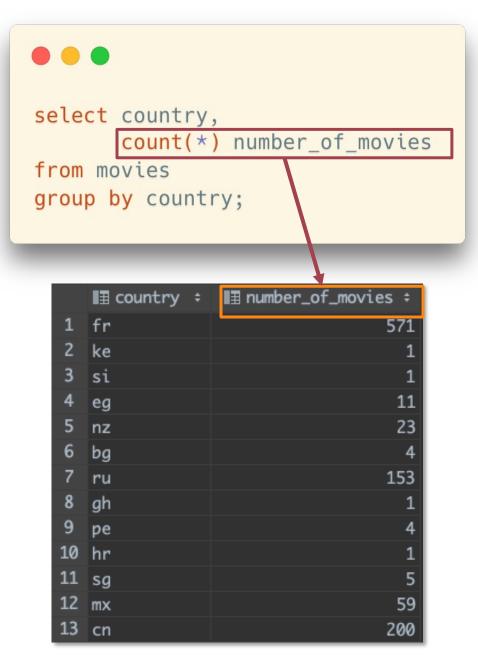
      12
      mx
      59

      13
      cn
      200
```

- We say that we want to "group by country"
  - ... and, for each country, the aggregate function count(\*) says how many movies we have
    - "how many movies" = "how many rows"

- The query result
  - One row for each group
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By the way, we can <u>rename</u> the column of the aggregate function, like below



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By the way, we can <u>rename</u> the column of the aggregate function, like below

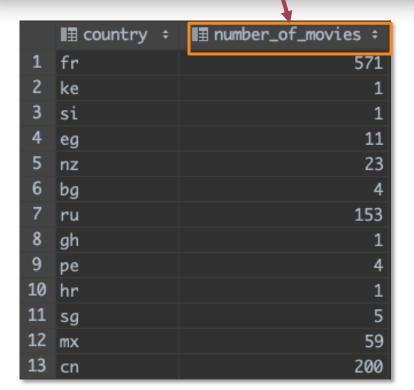
... or, the client will generate a <u>temporary name</u> shown on

```
the left side

select country,

count(*) number_of_movies

from movies
group by country;
```



- We say that we want to "group by country"
  - ... and, for each country, the aggregate function count(\*) says how many movies we have
    - "how many movies" = "how many rows"

**Caution**: The table movie must be a relation (no duplicated movie records)

- ... or, the counting result will not reflect the actual number of movies
  - The query result
    - One row for each group
    - The statistical value is attached in another column

```
select country,
        count(*) number_of_movies
from movies
group by country;
```

- Group on several columns
  - Every column that <u>isn't an aggregate</u> <u>function</u> and <u>appears after select</u> must also appear after group by

```
select country,
    year_released,
    count(*) number_of_movies
from movies
group by country, year_released
```

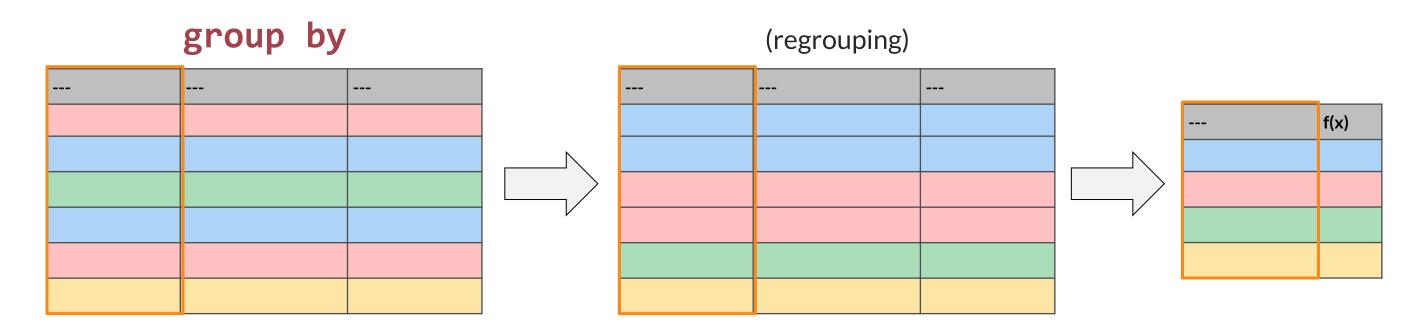
#### The combination of the countries and released years will appear in the result

	III country ÷	■ year_released ÷	II number_of_movies ÷
1	us	1939	46
2	cn	2016	13
3	nl	2008	1
4	it	1960	10
5	ch	2011	1
6	us	1931	33
7	fr	1961	11
8	cn	2007	5
9	mn	2007	1
10	nz	2010	1
11	de	1974	2
12	au	1978	4
13	us	1935	36
14	eg	1987	1

- Beware of some performance implications
  - When you apply a simple where filter, you can start returning rows as soon as you have found a match.

# 

- Beware of some performance implications
  - With a group by, you must regroup rows before you can aggregate them and return results.
    - In other words, you have a preparatory phase that may take time, even if you return few rows in the end.
    - In interactive applications, end-users don't always understand it well.



```
count(*)/count(col), min(col), max(col), stddev(col), avg(col)
```

- These aggregate function examples exist in almost all products
  - Most products implement other functions
  - Some work with any datatype, others only work with numerical columns
  - It is strongly recommended to <u>refer to the database manual</u> for details
    - For example, SQLite doesn't have stddev() which computes the standard deviation

• Earliest release year by country?

• Earliest release year by country?

```
select country, min(year_released)
oldest_movie from movies group by country;
```

- Such a query answers the question
  - Note that in the demo database years are simple numerical values, but generally speaking min() applied to a date logically returns the earliest one.
  - The result will be a relation: no duplicates, and the key that identifies each row will be the country code (generally speaking, what follows GROUP BY).

country	oldest_movie
fr	1896
ke	2008
si	2000
eg	1949
nz	1981
bg	1967
ru	1924
gh	2012
pe	2004
hr	1970
sg	2002
mx	1933
cn	1913
ee	2007
sp	1933
c1	1926
ec	1999
CZ	1949
dk	1910
vn	1992 1964
ro	2007
mn gb	1916
	1913
se tw	1971
ie	1970
ph	1975
ar	1945
th	1971

• Therefore, we can validly apply another relational operation such as the "select" operation (row filtering) and only return countries for which the earliest movie was released before 1940.

```
select * from (
    select country,
    min(year_released) oldest_movie
    from movies
    group by country
    ) earliest_movies_per_country
    where oldest_movie < 1940</pre>
```

country	oldest_movie
fr	1896
ru	1924
mx	1933
cn	1913
sp	1933
c1	1926
dk	1910
gb	1916
se	1913
ca	1933
hu	1918
jp	1926
us	1907
be	1926
at	1925
br	1931
de	1919
au	1906
in	1932
it	1917
ge	1930
(21 rows)	1300

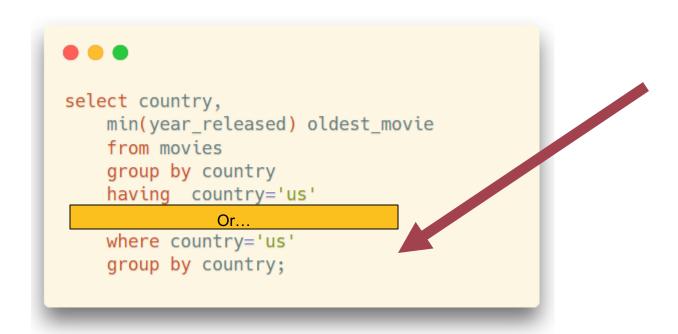
• There is a short-hand that makes nesting queries unnecessary (in the same way as AND allows multiple filters). You can have a condition on the result of an aggregate with **having**.

```
select country,
        min(year_released) oldest_movie
    from movies
    group by country
    having min(year_released) < 1940</pre>
```

 Now, keep in mind that aggregating rows requires sorting them in a way or another, and that sorts are always costly operations that don't scale well (cost increases faster than the number of rows sorted).

#### **SORT:** Time complexity of sorting algorithms: O(n\*log(n))

• The following query is perfectly valid in SQL. What you are doing is aggregating movies for all countries, then discarding everything that isn't American:



The efficient way to proceed is of course to select American movies first, and only aggregate them.

- SQL Server will do the right thing behind your back.
- Oracle will assume that you have some obscure reason for writing your query that way and will do as told. It can hurt.

- All database management systems have a highly important component that we'll see again, called the "query optimizer".
  - It takes your query and tries to find the most efficient way to run it.
  - Sometimes it tries to outsmart you, with from time to time unintended consequences
  - Sometimes it optimistically assumes that you know what you are doing
  - ... In all, optimizers don't all behave the same.

• Nulls?

• When you apply a function or operators to a null, with very few exceptions the result is null because the result of a transformation applied to something unknown is an unknown quantity. What happens with aggregates?

known + unknown = unknown

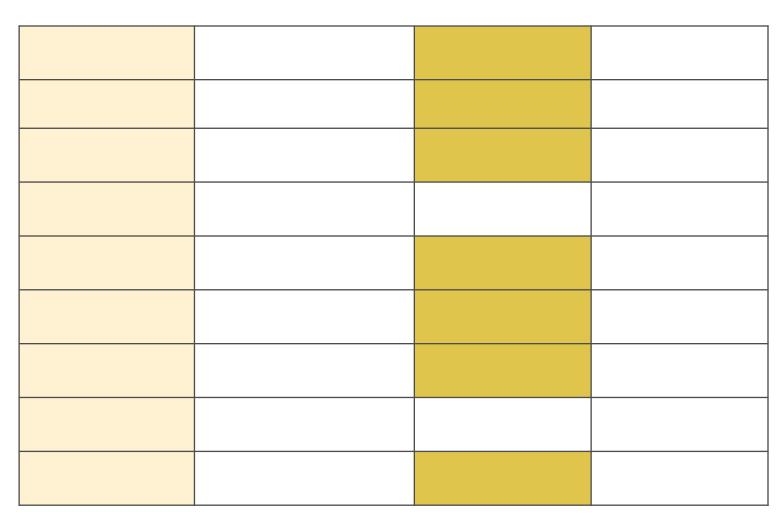
• Nulls?

• Aggregate functions **ignore** Nulls.

- In this query, the where condition changes nothing to the result
  - Perhaps it makes more obvious that we are dealing with dead people only, but for the SQL engine it's implicit.

```
select max(died) most_recent_death
  from people
  where died is not null;
```

#### count(\*) count(col)



 Depending on the column you count, the function can therefore return different values. count(\*) will always return the number of rows in the result set, because there is always one value that isn't null in a row (otherwise you wouldn't have a row in the first place)

- Counting a mandatory column such as BORN will return the same value as COUNT(\*)
  - The third count, though, will only return the number of dead people in the table.

```
        people_count
        birth_year_count
        death_year_count

        16489
        16489
        5653

        (1 row)
        5653
```

- select count(colname)
- select count(distinct colname)

- In some cases, you only want to count distinct values
  - For instance, you may want to count how many different surnames start with a Q instead of how many people have a surname that starts with a Q.

• These two queries are equivalent



Here we'll only get one row per country and year

How many people are both actors and directors?

# credits

movie_id	people_id	credited_as
8	37	D
8	38	Α
8	39	А
8	40	Α
10	11	Α
10	12	Α
10	15	D
10	16	А
10	17	Α
\		



- There is no restriction such as "that have played in a movie that they have directed", so the movie\_id is irrelevant.
- But if we remove the movie\_id, we have tons of duplicates. Not a relation!

People who appear twice are the ones we want.

```
select distinct
    peopleid, credited_as
    from credits
    where credited_as
    in ('A', 'D');
```

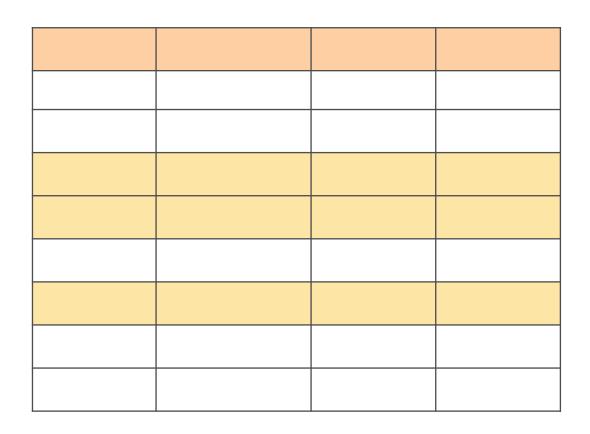
- distinct will remove duplicates and provide a true relation.
- We specify the values for credited\_as
  - There are no other values now
  - but you can't predict the future. Someday there may be producers or directors of photography (cinematographer).

people_id	credited_as
11	D
11	А
12	А
15	А
16	А
17	А
37	D
38	А
39	А
	-

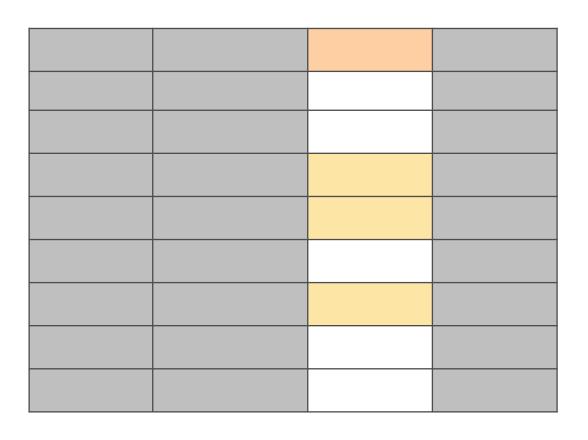
• The having selects only people who appear twice ... and we just have to count them. Mission accomplished.

```
select count(*) number_of_acting_directors
   from (
   select peopleid, count(*) as
number_of_roles
   from (select distinct peopleid,
credited_as
   from credits where credited_as
    in ('A', 'D')) all_actors_and_directors
   group by peopleid
    having count(*) = 2) acting_directors;
```

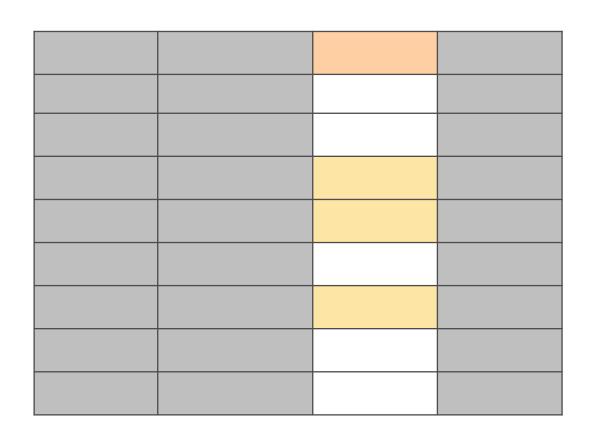
# Join



 We have seen the basic operation consisting in filtering rows (an operator called SELECT by Codd)



• We have seen how we can only return some columns (called PROJECT by Codd), and that we must be careful not to return duplicates when we aren't returning a full key.

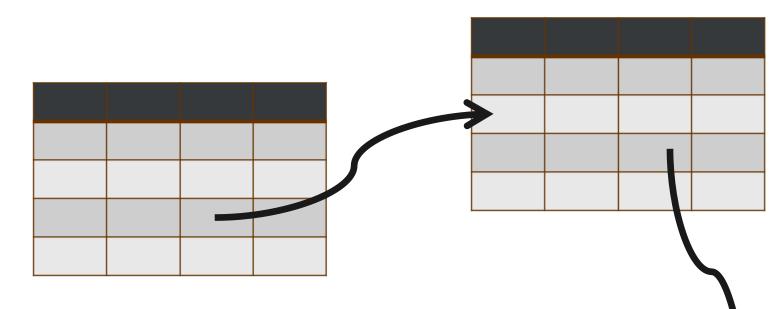




• We have also seen how we can return data that doesn't exist as such in tables by applying functions to columns.

- What is Important is that in all cases our result set looks like a clean table, with no duplicates and a column (or combination of columns) that could be used as a key
  - If this is the case, we are safe. This must be true at every stage in a complex query built by successive layers.





• It's time now to see how we can relate data from multiple tables.

• This operation is known as **JOIN**.

• We have already seen a way to relate tables: foreign key constraints.

movieid	title	country -	year_relea sed			
1	Casab	us	1942			
2	Goodfellas	us	1990			
3	Bronenosets Potyomkin	ru	1925	country_co	country_name	continent
4	Blade Runner	us	1982	ru	Russia	Europe
5	Annie Hall	us	1977	us	United States	America
				in	India	Asia
				gb	United Kingdom	Europe

• The "country" column in "movies" can be used to retrieve the country name from "countries".

• This is done with this type of query. We retrieve, and display as a single set, pieces of data coming from two different tables.

```
select title,
   country_name,
   year_released
   from movies
   join countries
   on country_code = country;
```

title	country_name	year_released
12 stulyev	Russia	1971
Al-mummia	Egypt	1969
Ali Zaoua, prince de la rue	Morocco	2000
Apariencias	Argentina	2000
Ardh Satya	India	1983
Armaan	India	2003
Armaan	Pakistan	1966
Babettes gæstebud	Denmark	1987
Banshun	Japan	1949
Bidaya wa Nihaya	Egypt	1960
Variety	United States	2008
Bon Cop, Bad Cop	Canada	2006
Brilliantovaja ruka	Russia	1969
C'est arrivé près de chez vous	Belgium	1992
Carlota Joaquina - Princesa do Brasil	Brazil	1995
Cicak-man	Malaysia	2006
Da Nao Tian Gong	China	1965
Das indische Grabmal	Germany	1959
Das Leben der Anderen	Germany	2006
Den store gavtyv	Denmark	1956

- The join operation will create a virtual table with all combinations between rows in Table1 and rows in Table2.
- If Table1 has R1 rows, and Table2 has R2, the huge virtual table has R1xR2 rows.

#### movies join countries

movieid	title	country	year_rele ased	country_c ode	country_n ame	continent
1	Casablanca	us	1942	ru	Russia	Europe
1	Casablanca	us	1942	us	United States	America
1	Casablanca	us	1942	in	India	Asia
1	Casablanca	us	1942	gb	United Kingdom	Europe
1	Casablanca	us	1942	ru	Russia	Europe

• The join condition says which values in each table must match for our associating the other columns

```
select title,
   country_name,
   year_released
   from movies
   join countries
   on country_code = country;
```

#### movies join countries

movieid	title	country	year_rele ased	country_c ode	country_n ame	continent
1	Casablanca	us	1942	ru	Russia	Europe
1	Casablanca	us	1942	us	United States	America
1	Casablanca	us	1942	in	India	Asia
1	Casablanca	us	1942	gb	United Kingdom	Europe
1	Casablanca	us	1942	ru	Russia	Europe

 We use on country\_code = country to filter out unrelated rows to make a much smaller virtual table.

- From this virtual table
  - Retrieve some columns and apply filtering conditions to any column



movieid	title	country	year_rel eased	country_	country_ name	continen t
1	Casablanca	us	1942	us	United States	America
2	Goodfellas	us	1990	us	United States	America
3	Bronenoset s Potyomkin	ru	1925	ru	Russia	Europe
4	Blade Runner	us	1982	us	United States	America

#### **Natural Join**

- What if we don't specify the column?
  - Natural join

```
select * from people natural join credits;

-- The same as:
select *
from people join credits
on people.peopleid = credits.peopleid;
```

#### **Natural Join**

- What if we don't specify the column?
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- "If a column has the same name, then we should join on it"
  - Bad idea!
  - Same name != Same meaning

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#### **Natural Join**

- What if we don't specify the column?
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- "If a column has the same name, then we should join on it"
  - Bad idea!
  - Same name != Same semantic
- In join (not natural join):
  - Use using to specify the column with the same name

```
select * from people natural join credits;

-- The same as:
select
from people join credits
on people.peopleid = credits.peopleid;

-- Or use "using"
select *
from people join credits using(peopleid);
```

## (Maybe) A Good Practice in Writing Queries

- It is preferred not to depend on how database designers name their columns
  - It can be a good practice to use a single (and sometimes straightforward) syntax that works all the time

Keep it simple stupid

```
-- Natural join (can sometimes be dangerous)
select * from people natural join credits;
-- The same as:
select *
from people join credits
on people.peopled = credits.peopleid;
-- Or use "using"
select *
from people join credits using(peopleid);
-- A better practice: just write all of them in a unified way
select
from people join credits
on people. peopled = credits.peopleid;
```

#### Self Join

- Join the same table together
  - For example: How can we find all the pairs of people with the same first name?

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  - For example: How can we find all the pairs of people with the same first name?

```
select *
from people p1 join people p2 -- rename the tables, or you cannot refer to them respectively
on p1.first_name = p2.first_name -- p1=the first people table; p2=the second people table
where p1.peopleid <> p2.peopleid; -- remember to filter out the rows with the same person
```

### Join in a Subquery

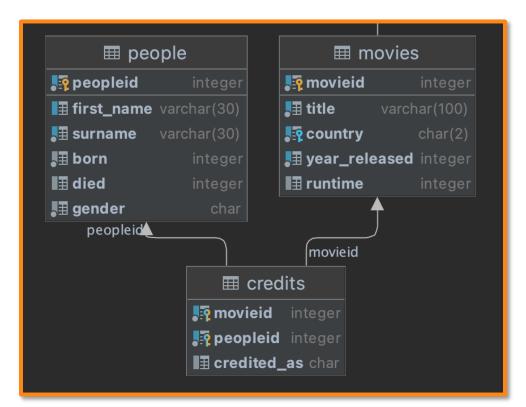
- A join can as well be applied to a subquery seen as a virtual table
  - ... as long as the result of this subquery is a valid relation in Codd's sense

```
select ...
from ([a select-join subquery])
  join ...
```

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  - Joins between 10 or 15 tables aren't uncommon, and queries generated by programs often do much worse.

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- We can also chain joins the same way we chain filtering conditions with AND.
  - Joins between 10 or 15 tables aren't uncommon, and queries generated by programs often do much worse.
  - Example: Show names of actors and directors for Chinese movies

```
select m.title, c.credited_as, p.first_name, p.surname
from
    movies m join credits c on m.movieid = c.movieid join people p on c.peopleid = p.peopleid
where m.country = 'cn';
```