Homework: 7.21, 7.23

Tutorial Problems: 7.25, 7.37, 7.40

### Chapter 7: Sampling

Department of Electrical & Electronic Engineering Southern University of Science & Technology

2020 - Fall

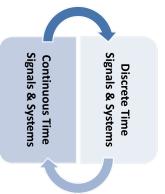
Last Update on: Saturday 21st November, 2020





#### Introduction

Sampling: to facilitate digital processing via computers or chips



Any lossless conversion?

Process CT signals with DT systems?

Interpolation: to present the output of digital processing



### Video Recording

- Signal to be sampled: real scene (continuous-time signals)
- Sampling: record by camera with a rate of 24, 25 or 30 frames per second
- Sampled signal: video tapes, mp4 files, avi files and etc. (discrete-time signals)
- Reconstruction: watch via eyes and interpret in the brain
- In our consciousness, the real scene can be reconstructed without information loss







#### Outline

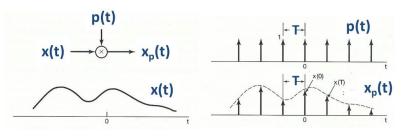
- Sampling is a general procedure to generate DT signals from CT signals, where information of the original signals can be kept
- Core sampling theory:
  - Impulse train, zero-order hold, first-order hold and etc
  - Analysis in frequency domain
  - Nyquist rate
- Undersampling: Aliasing
- Application: process continuous-time signals discretely
- More sampling techniques: decimation, downsampling and upsampling





### Impulse-Train Sampling

Mathematically, sampling can be represented by multiplication



- Sampling function:  $p(t) = \sum_{n=-\infty}^{\infty} \delta(t nT)$
- Sampling period: T
- Sampling:

$$x_p(t) = x(t) \times p(t) = x(t) \times \sum_{n=-\infty}^{\infty} \delta(t - nT) = \sum_{n=-\infty}^{\infty} x(nT)\delta(t - nT)$$

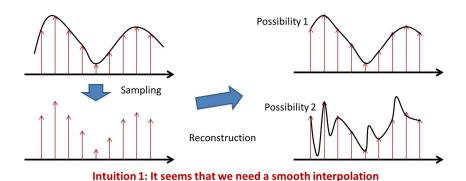




Sampling discards most of points in the original signals. Is there any information loss in sampling?

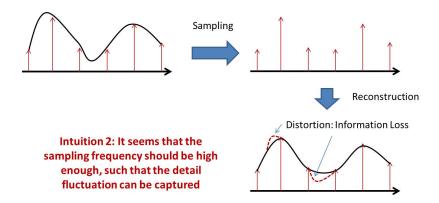


## Observation (1/2)





## Observation (2/2)



- Sampling: the frequency should be high enough
- Reconstruction: the interpolation should be smooth enough



4 D > 4 B > 4 B > 4 B > 9 Q P

# Frequency Analysis (1/2)

- Theoretical tool: continuous-time Fourier transform
- Principle:

$$x(t) \times p(t) \rightleftarrows \frac{1}{2\pi} X(j\omega) * P(j\omega)$$

• Fourier series of p(t):

$$a_k = \frac{1}{T} \int_{-T/2}^{T/2} \sum_{n=-\infty}^{\infty} \delta(t - nT) e^{-jk\omega_s t} dt \quad \text{where} \quad \omega_s = \frac{2\pi}{T}$$
$$= \frac{1}{T} \int_{-T/2}^{T/2} \delta(t) e^{-jk\omega_s t} dt = \frac{1}{T}$$

• Fourier Transform of p(t):

$$P(j\omega) = 2\pi a_k \sum_{k=-\infty}^{+\infty} \delta(\omega - k\omega_s) = \frac{2\pi}{T} \sum_{k=-\infty}^{+\infty} \delta(\omega - k\omega_s)$$



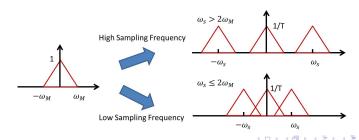
4 D > 4 B > 4 B > 4 B > 9 Q P

## Frequency Analysis (2/2)

• Fourier transform of sampled signal  $x_p(t)$ :

$$X_{p}(j\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} X(j\theta) P(j(\omega - \theta)) d\theta$$
$$= \frac{1}{T} \sum_{k=-\infty}^{\infty} X(j(\omega - k\omega_{s}))$$

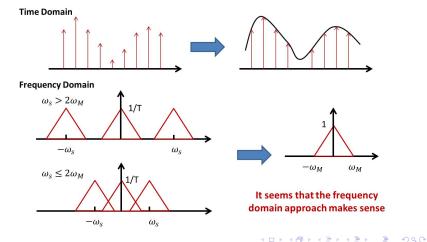
ullet Sampling: the Fourier transform of input signal is repeated with period  $\omega_s$ 





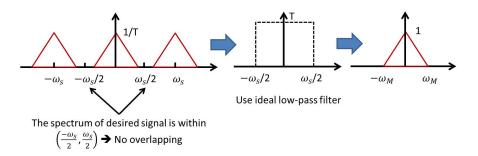
#### Reconstruction Problem

 Given the sampled signal, can we perfectly reconstruct the signal before sampling?



## Reconstruction (1/2)

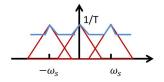
• Scenario of  $\omega_s>2\omega_M$ 



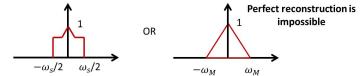


## Reconstruction (2/2)

• Scenario of  $\omega_s \leq 2\omega_M$ 



Since  $\omega_s \leq 2\omega_M$ , we don't know the frequency range of the desired signal Sampling on the following signals can generate the same result:



Observation: the original signal x(t) can be Uniquely and perfectly reconstructed from x(nT) only when  $\omega_s>2\omega_M$ 





#### Sampling Theorem

Let x(t) be a band-limited signal with

$$X(j\omega) = 0$$
 for  $|\omega| > \omega_M$ .

Then, x(t) is uniquely determined by its samples x(nT) or  $x_p(t)$  if

$$\omega_{s} = \frac{2\pi}{T} > 2\omega_{M},$$

where  $2\omega_M$  is referred to as the Nyquist rate.

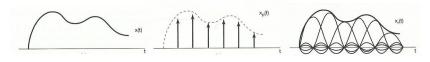
- Questions:
  - ▶ How about  $\omega_s = 2\omega_M$ ?
  - Sampling on band-pass signals





### Signal Reconstruction: Interpolation

- If  $\omega_s > 2\omega_M$ , original signal can be perfectly reconstructed by ideal low-pass filter.
- Time domain interpretation of lowpass filtering



$$x_r(t) = x_p(t) * h(t) = \sum_{n=-\infty}^{+\infty} x(nT)h(t-nT)$$

$$= \sum_{n=-\infty}^{+\infty} x(nT) \frac{\sin \frac{\omega_s}{2}(t-nT)}{\frac{\omega_s}{2}(t-nT)} = \sum_{n=-\infty}^{+\infty} x(nT) sinc(\frac{t-nT}{T})$$

Ideal lowpass filtering: interpolation with sinc function



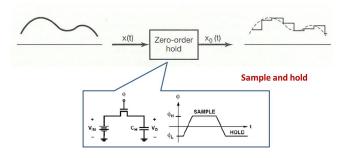
4 D > 4 B > 4 B > 4 B > 9 Q P

#### Zero-Order Hold

• It's difficult to generate ideal impulse chain in practical implementation.

$$x_p(t) = \sum_{n=-\infty}^{\infty} x(nT)\delta(t - nT)$$

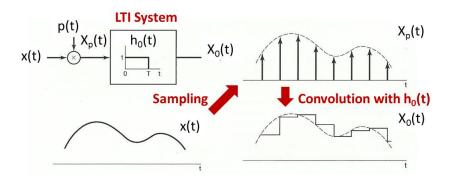
Alternative approach: zero-order hold



How to interpret the system of "zero-order hold" mathematically?



### Interpretation of Zero-Order Hold



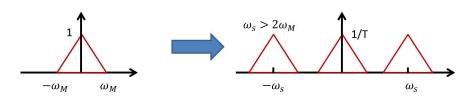
- ullet Zero-order hold: sampling + interpolation with rectangular impulse response
- An approximation of the signal to be sampled.



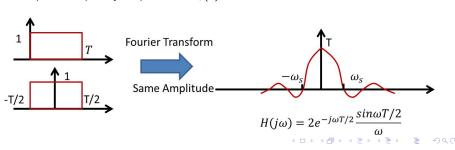


## Frequency Analysis (1/2)

• Step 1: Impulse-train sampling

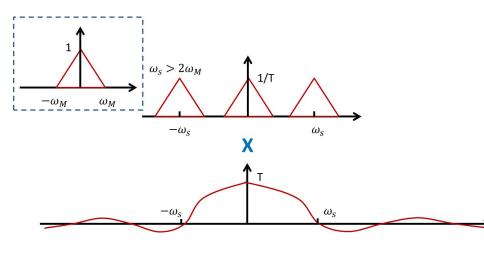


• Step 2: Frequency response of  $h_0(t)$ 



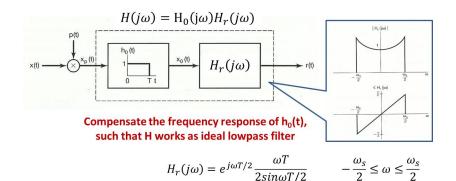


# Frequency Analysis (2/2)





#### Reconstruction

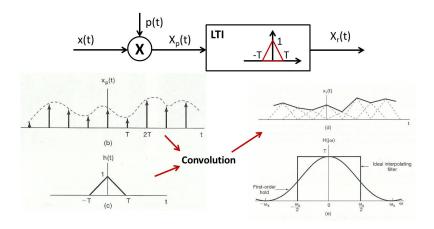


ullet  $H(j\omega)$  should be a idea low-pass filter from  $-\omega_s/2$  to  $\omega_s$ 





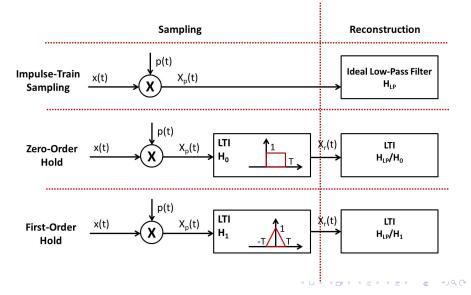
#### First-Order Hold



- First-order hold: sampling + interpolation with triangular wave
- How to reconstruct?



## Summary: Sampling Approaches





### Problem 1

### Problem (7.5)

Let x(t) be a signal with Nyquist rate  $\omega_0$ . Also, let

$$y(t) = x(t)p(t-1),$$

where

$$p(t) = \sum_{n=-\infty}^{\infty} \delta(t-nT), \;\; ext{and} \;\; T < rac{2\pi}{\omega_0}.$$

Specify the constraints on the magnitude and phase of the frequency response of a filter that gives x(t) as its output when y(t) is the input.





### Problem 2

### Problem (7.7)

A signal x(t) undergoes a zero-order hold operation with an effective sampling period T to produce a signal  $x_0(t)$ . Let  $x_1(t)$  denote the result of a first-order hold operation on x(t). Specify the frequency response of a filter that produces  $x_1(t)$  as its output when  $x_0(t)$  is the input.



### Problem 3

### Problem (7.36)

Let x(t) be a band-limited signal such that  $X(j\omega)=0$  for  $|\omega|\geq \pi/T$ . (a) If x(t) is sampled using a sampling period T, determine an interpolating function g(t) such that

$$\frac{dx(t)}{dt} = \sum_{n=-\infty}^{\infty} x(nT)g(t-nT).$$

(b) Is the function g(t) unique?



