

Final project questions for FORM ADV

For the final project, please choose any two questions and answer them using the same month (for example, May), from years 2012, 2013 and 2014. For all of these questions, please recode the variable SEC.Region from 2012 into the following five categories:

- NYRO
- BRO
- CHRO
- LARO and SFRO combined
- All other regions and any missing

1. For each of the regions (or by region), please show us in tabular form and by using a graphic how question 7B (possibly called X7B) changed from 2012 to 2014.
2. For each of the regions (or by region), please describe the differences between firms who answered “Y” to 7B and those who answered “N” in 2012 and compare them with firms to answered in 2014.
3. For each of the regions (or by region), please show us in tabular form and by using a graphic how question 3A (possibly called X3A) changed from 2012 to 2014.
4. For each of the regions (or by region), please show us in tabular form and by using a graphic how question 1O (possibly called X1O) changed from 2012 to 2014.
5. For each of the regions (or by region), please show us in tabular form and by using a graphic how question 5F2c (possibly called X5F.2..c) changed from 2012 to 2014.