

Digital Signal Processing

Module 9: Digital Communication Systems

Module Overview:



- ► Module 9.1: The analog channel
- ▶ Module 9.2: Meeting the bandwidth constraint
- ▶ Module 9.3: Meeting the power constraint
- ► Module 9.4: Modulation and demodulation
- ► Module 9.5: Receiver design
- ► Module 9.6: ADSL

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Digital Signal Processing

Module 9.1: Digital Communication Systems

Overview:



- ▶ The many incarnations of a signal
- Analog channel constraints
- ► Satisfying the constraints

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Digital data throughputs



- Transatlantic cable:
 - 1866: 8 words per minute (\approx 5 bps)
 - 1956: AT&T, coax, 48 voice channels (≈3Mbps)
 - ullet 2005: Alcatel Tera10, fiber, 8.4 Tbps (8.4 imes 10¹² bps)
 - 2012: fiber, 60 Tbps
- Voiceband modems
 - 1950s: Bell 202, 1200 bps
 - 1990s: V90, 56Kbps
 - 2008: ADSL2+, 24Mbps

Digital data throughputs



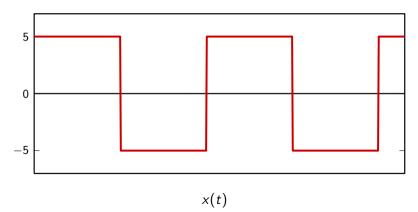
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Success factors for digital communications

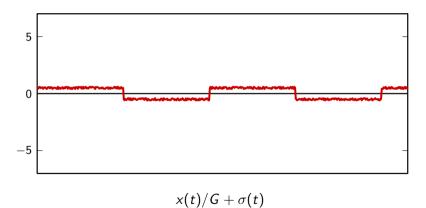


- 1) power of the DSP paradigm:
 - ▶ integers are "easy" to regenerate
 - ▶ good phase control
 - adaptive algorithms

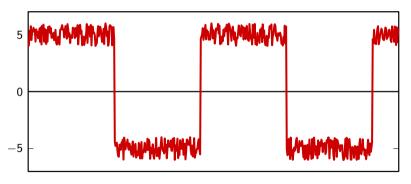






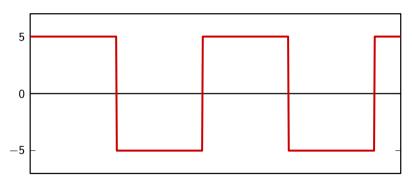






$$G[x(t)/G + \sigma(t)] = x(t) + G\sigma(t)$$





$$\hat{x}_1(t) = G\operatorname{sgn}[x(t) + \sigma(t)]$$

Success factors for digital communications



- 2) algorithmic nature of DSP is a perfect match with information theory:
 - ► JPEG's entropy coding
 - CD's and DVD's error correction
 - ▶ trellis-coded modulation and Viterbi decoding

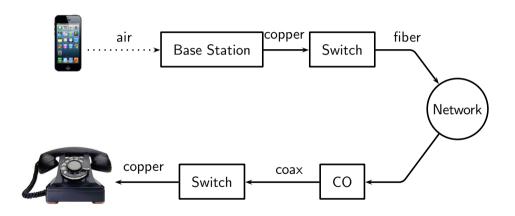
Success factors for digital communications



- 3) hardware advancement
 - miniaturization
 - ► general-purpose platforms
 - power efficiency

The many incarnations of a conversation





The analog channel



unescapable "limits" of physical channels:

- ▶ bandwidth constraint
- power constraint

both constraints will affect the final *capacity* of the channel

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The analog channel's capacity



maximum amount of information that can be reliably delivered over a channel (bits per second)



- we want to transmit information encoded as a sequence of digital samples over a continuous-time channel
- lacktriangle we interpolate the sequence of samples with a period \mathcal{T}_s
- ightharpoonup if we make T_s small we can send more info per unit of time...
- lacksquare ... but the bandwidth of the signal will grow as $1/T_s$



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- ▶ all channels introduce noise; at the receiver we have to "guess" what was transmitted
- suppose noise variance is 1
- ▶ suppose we are transmitting integers between 1 and 10: lots of guessing errors
- ▶ transmit only odd numbers: fewer errors but less information

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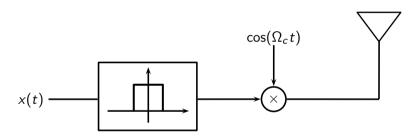


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- ► each channel is 8KHz
- power limited by law:
 - daytime/nighttime
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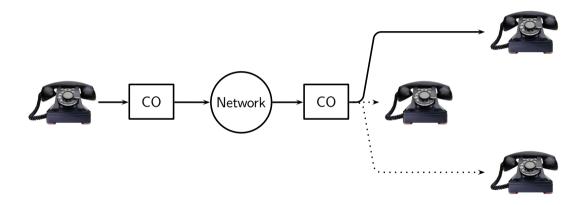
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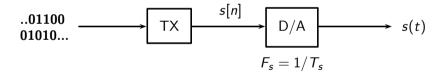


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The all-digital paradigm

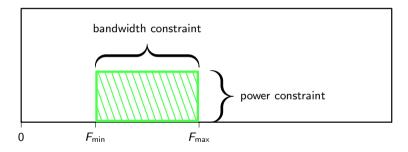


keep everything digital until we hit the physical channel



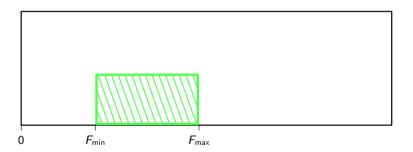
Let's look at the channel constraints





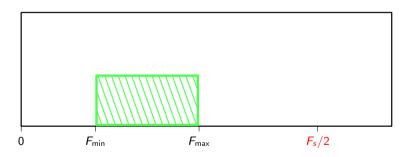
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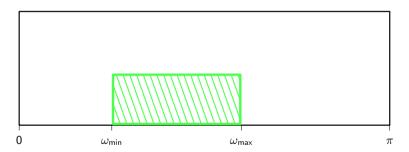
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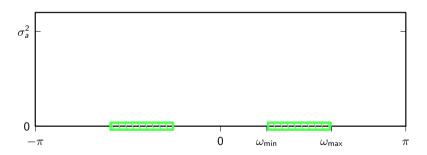
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First problem: the bandwidth constraint



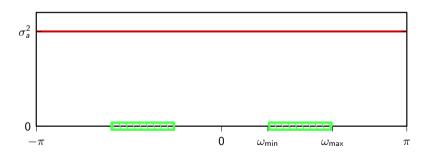
$$P_a(e^{j\omega}) = \sigma_a^2$$



First problem: the bandwidth constraint







END OF MODULE 9.1



Digital Signal Processing

Module 9.2: Controlling the Bandwidth

Overview:



- ► Upsampling
- ► Fitting the transmitter's spectrum

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Shaping the bandwidth



Our problem:

- ▶ bandwidth constraint requires us to control the spectral support of a signal
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- ▶ the answer is *multirate* techniques

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Multirate signal processing



In a nutshell:

- ▶ increase or decrease the number of samples in a discrete-time signal
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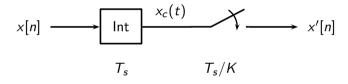


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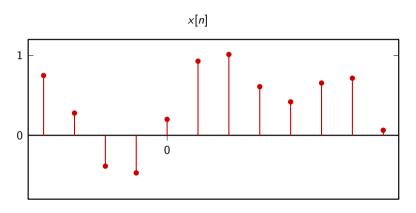
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Upsampling via continuous time

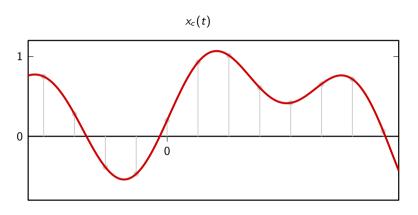




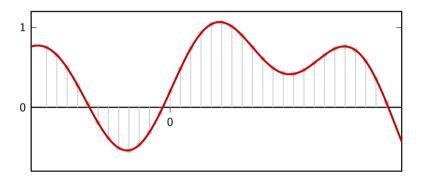




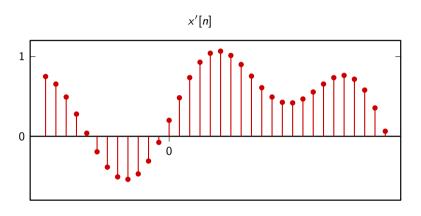












Upsampling



As per usual, we can choose $T_s = 1...$

$$x_c(t) = \sum_{m=-\infty}^{\infty} x[m] \operatorname{sinc}(t-m)$$

$$x'[n] = x_c(n/K)$$

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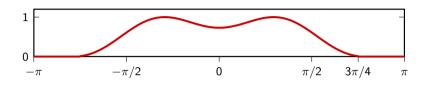
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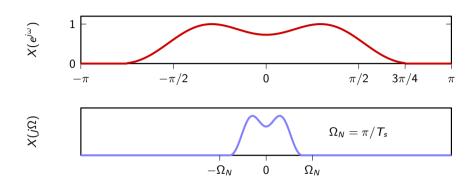
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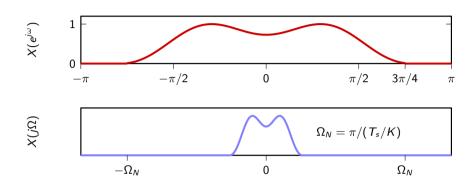




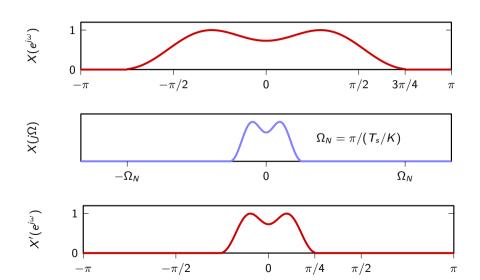












Upsampling in the digital domain



what can we do purely digitally?

- \blacktriangleright we need to "increase" the number of samples by K
- obviously $x_U[m] = x[n]$ when m multiple of K
- ▶ for lack of a better strategy, put zeros elsewhere
- example for K = 3:

$$x_U[m] = \dots \times [0], 0, 0, \times [1], 0, 0, \times [2], 0, 0, \dots$$



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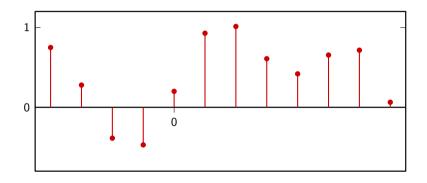
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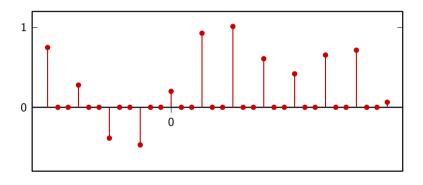
Upsampling in the Time Domain





Upsampling in the Time Domain







in the frequency domain

$$X_{U}(e^{j\omega}) = \sum_{m=-\infty}^{\infty} x_{U}[m]e^{-j\omega m}$$
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$$= X(e^{j\omega K})$$



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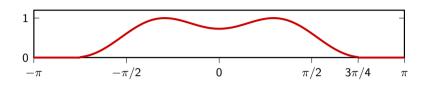


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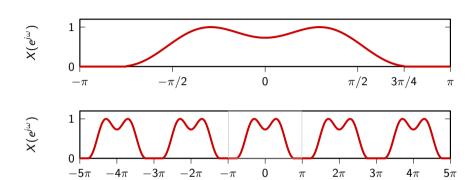
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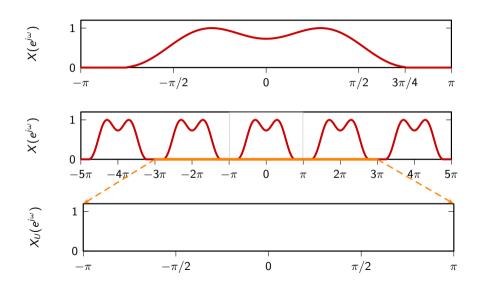




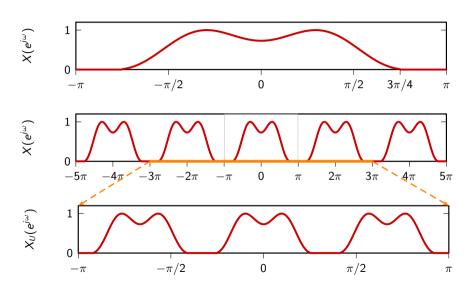




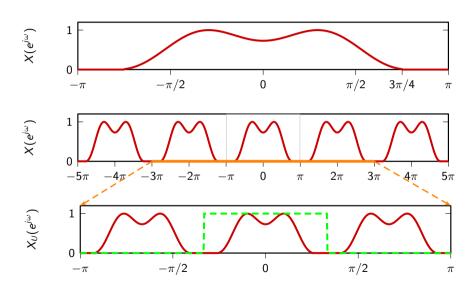




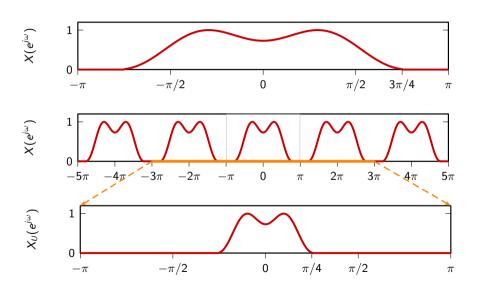














back in time domain...

- ▶ insert K-1 zeros after every sample
- ideal lowpass filtering with $\omega_c = \pi/K$

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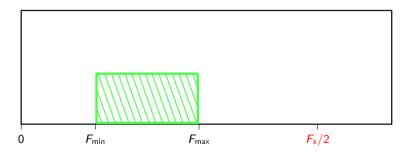
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Remember the bandwidth constraint?







let $W = F_{\text{max}} - F_{\text{min}}$; pick F_s so that:

- $F_s > 2F_{\text{max}}$ (obviously)
- $F_s = KW, K \in \mathbb{N}$



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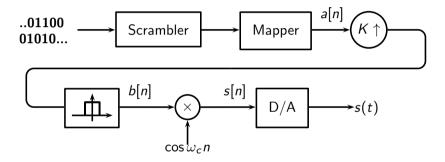
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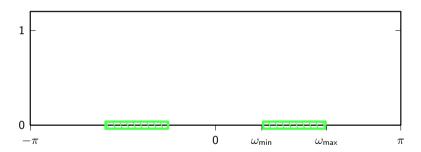
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Transmitter design, continued

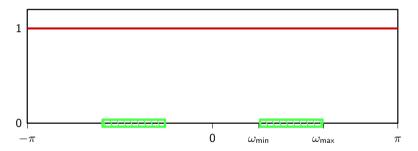




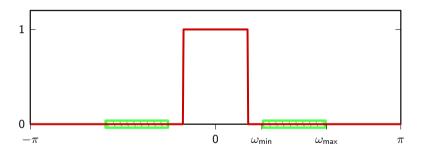




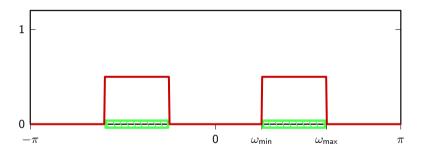




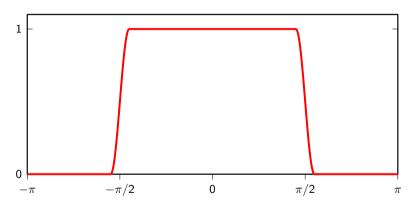




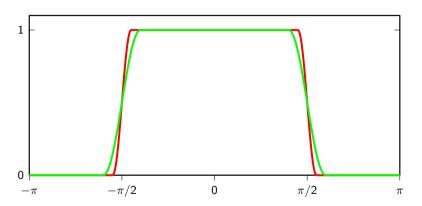




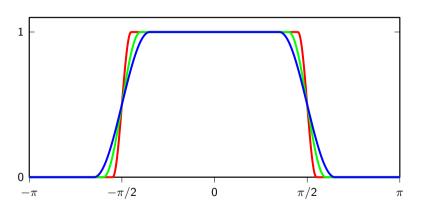




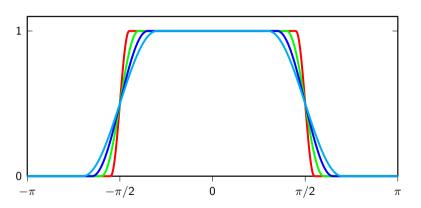




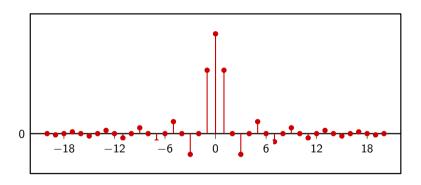






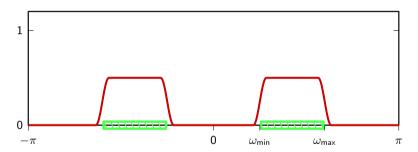






Spectral shaping with raised cosine





END OF MODULE 9.2



Digital Signal Processing

Module 9.3: Controlling the Power

Overview:



- ► Noise and probability of error
- ► Signaling alphabet and power
- QAM signaling

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- ► *alphabet* of transmission symbols



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Signaling alphabets



- ▶ we have a (randomized) bitstream coming in
- ▶ we want to send some upsampled and interpolated samples over the channel
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Mappers and slicers



mapper:

- split incoming bitstream into chunks
- ightharpoonup assign a symbol a[n] from a finite alphabet $\mathcal A$ to each chunk

slicer:

- receive a value $\hat{a}[n]$
- ▶ decide which symbol from A is "closest" to $\hat{a}[n]$
- piece back together the corresponding bitstream

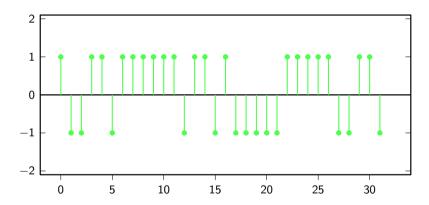


mapper:

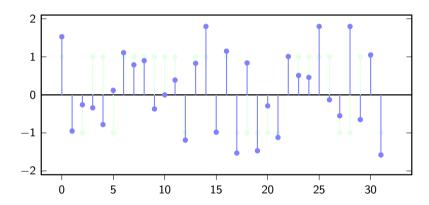
- split incoming bitstream into single bits
- ▶ a[n] = G if the bit is 1, a[n] = -G if the bit is 0

slicer:

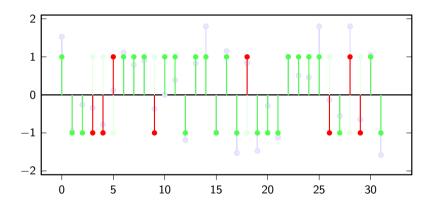














let's look at the probability of error after making some hypotheses:

- $\hat{a}[n] = a[n] + \eta[n]$
- ▶ bits in bitstream are equiprobable
- noise and signal are independent
- lacktriangle noise is additive white Gaussian noise with zero mean and variance σ_0



$$\begin{split} P_{\mathsf{err}} &= P[\; \eta[n] < -G \; | \; \textit{n-th bit is 1} \;] P[\; \textit{n-th bit is 1} \;] + \\ &P[\; \eta[n] > G \; | \; \textit{n-th bit is 0} \;] P[\; \textit{n-th bit is 0} \;] \\ &= (P[\; \eta[n] < -G \;] + P[\; \eta[n] > G \;])/2 \\ &= P[\; \eta[n] > G \;] \\ &= \int_G^\infty \frac{1}{\sqrt{2\pi\sigma_0^2}} e^{-\frac{\tau^2}{2\sigma_0^2}} d\tau \\ &= Q(G/\sigma_0) = \frac{1}{2} \mathrm{erfc}((G/\sigma_0)/\sqrt{2}) \end{split}$$



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transmitted power

$$\sigma_s^2 = G^2 P[n\text{-th bit is 1}] + G^2 P[n\text{-th bit is 0}]$$

= G^2

$$P_{\text{err}} = Q(\sigma_s/\sigma_0) = Q(\sqrt{\text{SNR}})$$



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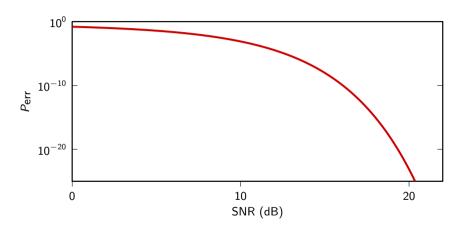
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Lesson learned:



- ▶ to reduce the probability of error increase *G*
- ightharpoonup increases the power
- ▶ we can't go above the channel's power constraint!

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Multilevel signaling



- binary signaling is not very efficient (one bit at a time)
- ▶ to increase the throughput we can use multilevel signaling
- many ways to do so, we will just scratch the surface

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PAM



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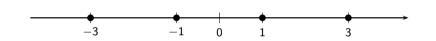
- ▶ split incoming bitstream into chunks of *M* bits
- lacktriangle chunks define a sequence of integers $k[n] \in \{0,1,\ldots,2^M-1\}$
- ▶ $a[n] = G((-2^M + 1) + 2k[n])$ (odd integers around zero)

slicer:

 $a'[n] = \arg\min_{a \in \mathcal{A}} [|\hat{a}[n] - a|]$

PAM, M = 2, G = 1

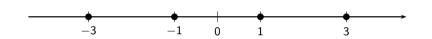




- ightharpoonup distance between points is 2G
- using odd integers creates a zero-mean sequence

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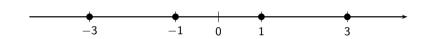




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From PAM to QAM



- error analysis for PAM along the lines of binary signaling
- ▶ can we increase the throughput even further?
- ▶ here's a wild idea, let's use complex numbers

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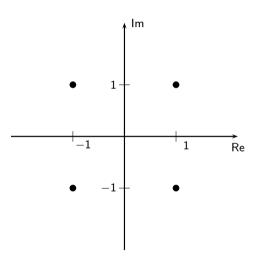
- ▶ split incoming bitstream into chunks of *M* bits, *M* even
- use M/2 bits to define a PAM sequence $a_r[n]$
- use the remaining M/2 bits to define an independent PAM sequence $a_i[n]$

slicer:

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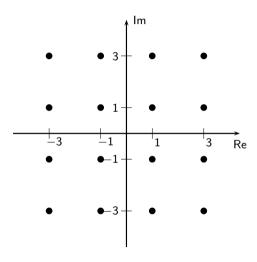
QAM, M = 2, G = 1





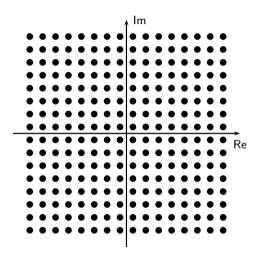
QAM, M = 4, G = 1





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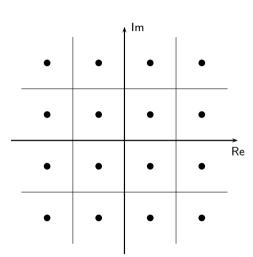




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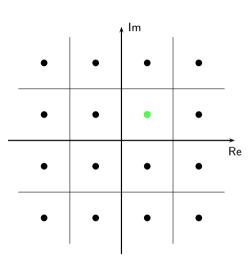






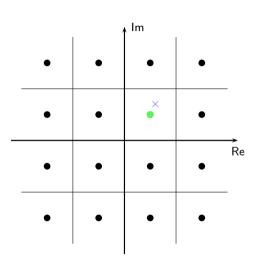






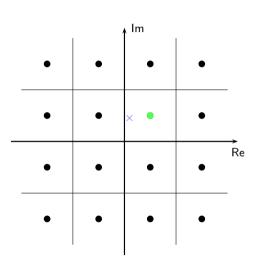






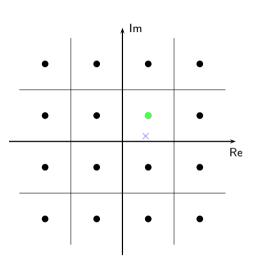






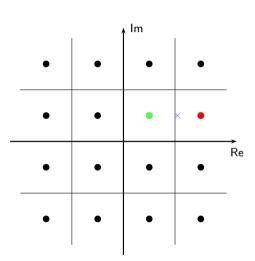












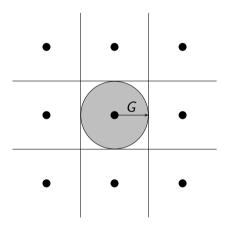


$$P_{\mathsf{err}} = 1 - P[|\operatorname{\mathsf{Re}}(\eta[n])| < G \ \land \ |\operatorname{\mathsf{Im}}(\eta[n])| < G]$$
 $= 1 - \int_{\mathcal{D}} f_{\eta}(z) \, dz$



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transmitted power (all symbols equiprobable and independent):

$$\sigma_s^2 = G^2 \frac{1}{2^M} \sum_{\mathbf{a} \in \mathcal{A}} |\mathbf{a}|^2$$
$$= G^2 \frac{2}{3} (2^M - 1)$$

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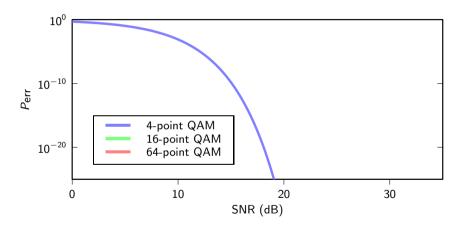
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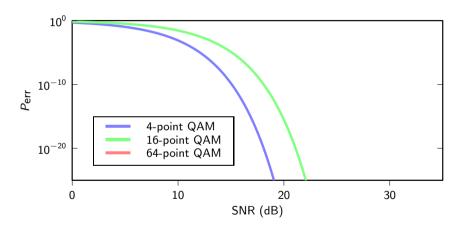
Probability of error





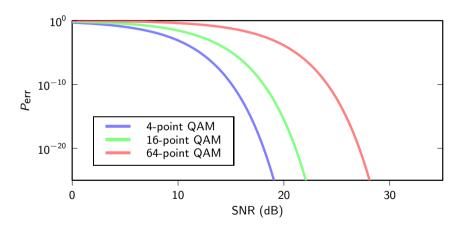
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- ▶ find out the SNR imposed by the channel's power constraint

$$M = \log_2\left(1 - \frac{3}{2} \frac{\mathsf{SNR}}{\mathsf{ln}(p_e)}\right)$$

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- we know how to fit the bandwidth constraint
- ▶ with QAM, we know how many bits per symbol we can use given the power constraint
- we know the theoretical throughput of the transmitter

but how do we transmit complex symbols over a real channel?





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END OF MODULE 9.3



Digital Signal Processing

Module 9.4: Modulation and Demodulation

Overview:



- ▶ Trasmitting and recovering the complex passband signal
- Design example
- ► Channel capacity

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- ▶ Design example
- ► Channel capacity

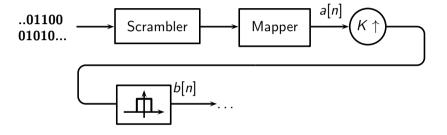
Overview:



- ► Trasmitting and recovering the complex passband signal
- ► Design example
- ► Channel capacity

QAM transmitter design

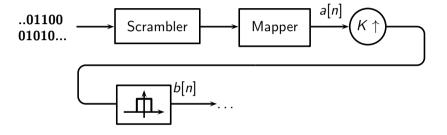




 $b[n] = b_r[n] + jb_i[n]$ is a complex-valued baseband signal

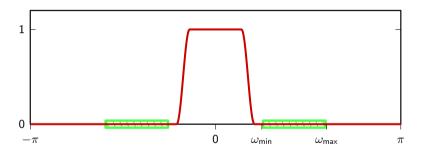
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The passband signal



$$s[n] = \text{Re}\{b[n] e^{j\omega_c n}\}$$

$$= \text{Re}\{(b_r[n] + jb_i[n])(\cos \omega_c n + j\sin \omega_c n)\}$$

$$= b_r[n] \cos \omega_c n - b_i[n] \sin \omega_c n$$

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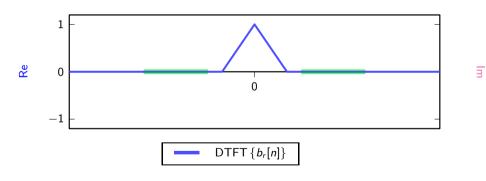


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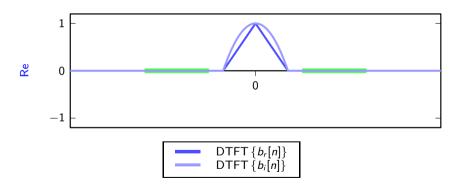
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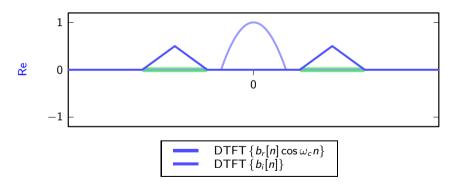




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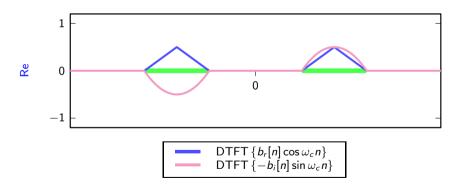




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let's try the usual method (multiplying by the carrier, see Module 5.5):

$$s[n] \cos \omega_c n = b_r[n] \cos^2 \omega_c n - b_i[n] \sin \omega_c n \cos \omega_c n$$

$$= b_r[n] \frac{1 + \cos 2\omega_c n}{2} - b_i[n] \frac{\sin 2\omega_c n}{2}$$

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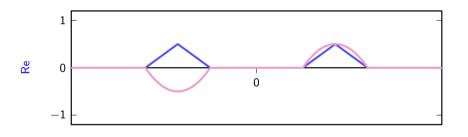
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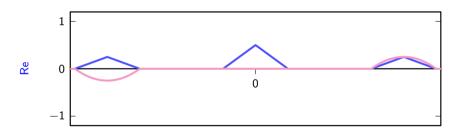
DTFT $\{b_r[n]\cos\omega_c n - b_i[n]\sin\omega_c n\}$



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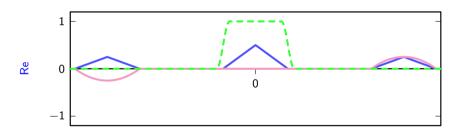


DTFT $\{(b_r[n]\cos\omega_c n - b_i[n]\sin\omega_c n)\cos\omega_c n\}$



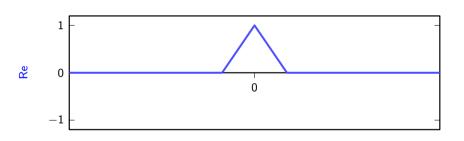


DTFT $\{(b_r[n]\cos\omega_c n - b_i[n]\sin\omega_c n)\cos\omega_c n\}$









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- ▶ as a lowpass filter, you can use the same filter used in upsampling
- matched filter technique



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similarly:

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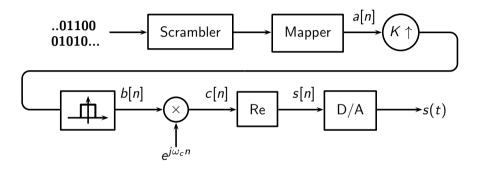


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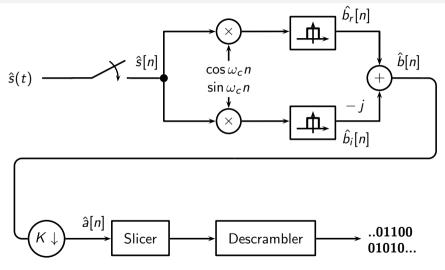
QAM transmitter, final design





QAM receiver, idealized design







- ▶ analog telephone channel: $F_{min} = 450$ Hz, $F_{max} = 2850$ Hz
- usable bandwidth: W = 2400Hz, center frequency $F_c = 1650$ Hz
- ▶ pick $F_s = 3 \cdot 2400 = 7200$ Hz, so that K = 3
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- ▶ maximum SNR: 22dB
- ▶ pick $P_{\text{err}} = 10^{-6}$
- ▶ using QAM, we find

$$M = \log_2\left(1 - \frac{3}{2} \frac{10^{22/10}}{\ln(10^{-6})}\right) \approx 4.186$$

so we pick M=4 and use a 16-point constellation

▶ final data rate is WM = 9600 bits per second



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END OF MODULE 9.4



Digital Signal Processing

Module 9.5: Receiver Design

Overview:



- Adaptive equalization
- ► Timing recovery

Overview:



- Adaptive equalization
- ► Timing recovery

A blast from the past



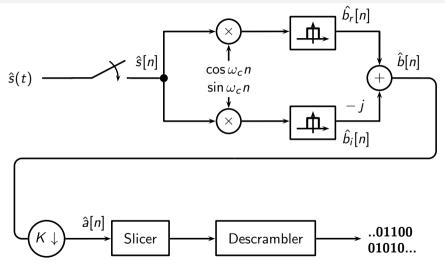
A blast from the past



- ▶ a sound familiar to anyone who's used a modem or a fax machine
- what's going on here?

Graphically







if
$$\hat{s}[n] = \cos((\omega_c + \omega_0)n)$$
:

$$\begin{aligned} b[n] &= \mathcal{H}\{\cos((\omega_c + \omega_0)n)\cos(\omega_c n) - j\cos((\omega_c + \omega_0)n)\sin(\omega_c n)\} \\ &= \mathcal{H}\{\cos(\omega_0 n) + \cos((2\omega_c + \omega_0)n) - j\sin((2\omega_c + \omega_0)n) + j\sin(\omega_0 n)\} \\ &= \cos(\omega_0 n) + j\sin(\omega_0 n) \\ &= e^{j\omega_0 n} \end{aligned}$$



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In slow motion





- ▶ interference
- propagation delay
- ► linear distortion
- clock drifts



- ▶ interference
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- ► linear distortion
- clock drifts



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- ► interference → handshake and line probing
- propagation delay
- ▶ linear distortion
- clock drifts



- ► interference → handshake and line probing
- ▶ propagation delay → delay estimation
- ▶ linear distortion
- clock drifts



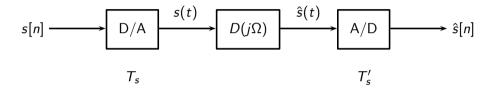
- ▶ interference → handshake and line probing
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- ► linear distortion → adaptive equalization
- clock drifts



- ▶ interference → handshake and line probing
- ▶ propagation delay → delay estimation
- ► linear distortion → adaptive equalization
- ▶ clock drifts → timing recovery

The two main problems

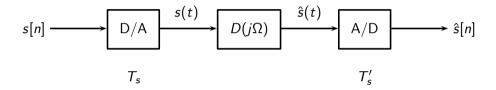




- ightharpoonup channel distortion $D(j\Omega)$
- lacktriangle (time-varying) discrepancies in clocks $T_s'=T_s$

The two main problems

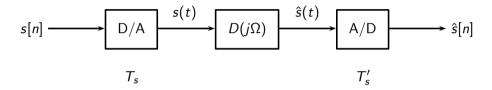




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- channel introduces a delay of d seconds
- lacktriangle we can write $d=(b+ au)T_s$ with $b\in\mathbb{N}$ and | au|<1/2
- ▶ b is called the bulk delay
- ightharpoonup au is the fractional delay



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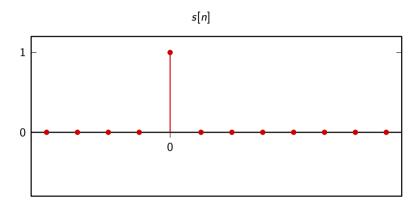
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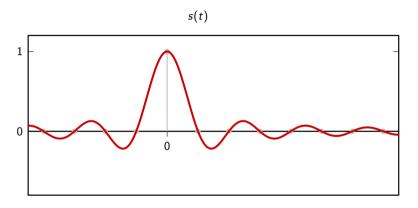
Offsetting the bulk delay ($T_s = 1$)





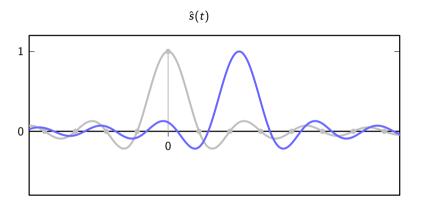
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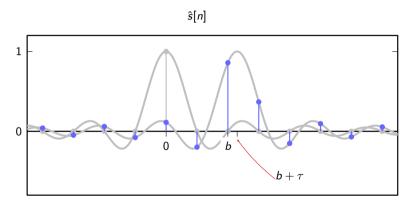
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- receive $\hat{s}[n] = \cos((\omega_c + \omega_0)(n b \tau))$
- ▶ after demodulation and bulk delay offset:

$$\hat{b}[n] = e^{j\omega_0(n-\tau)}$$

$$\hat{b}[n]e^{-j\omega_0n}=e^{-j\omega_0n}$$



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- we need to compute subsample values
- ▶ in theory, compensate with a sinc fractional delay $h[n] = \text{sinc}(n + \tau)$
- ▶ in practice, use local Lagrange approximation



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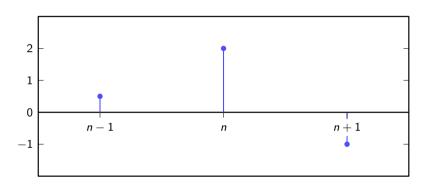


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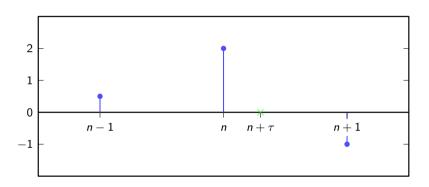


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Lagrange approximation (see Module 6.2)



as per usual, choose $T_s = 1$

- we want to compute $x(n+\tau)$, with $|\tau|<1/2$
- ▶ local Lagrange approximation around *n*

$$x_{L}(n;t) = \sum_{k=-N}^{N} x[n-k]L_{k}^{(N)}(t)$$

$$L_{k}^{(N)}(t) = \prod_{\substack{i=-N\\i\neq k}}^{N} \frac{t-i}{k-i} \qquad k = -N, \dots, N$$

 $\triangleright x(n+\tau) \approx x_L(n;\tau)$

Lagrange approximation (see Module 6.2)



as per usual, choose $T_s = 1$

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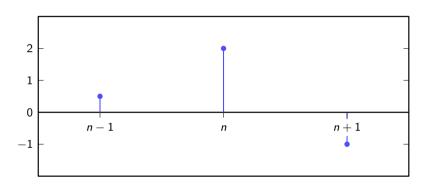
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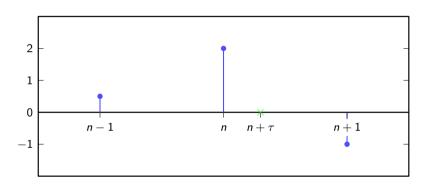
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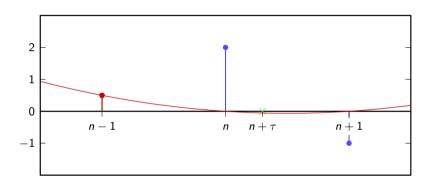




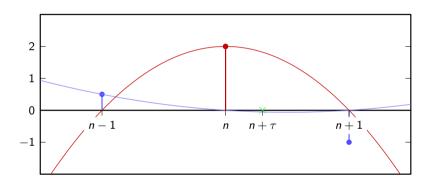




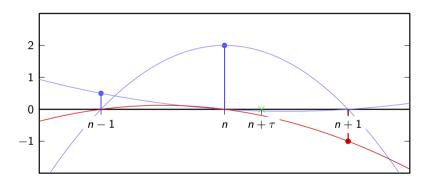




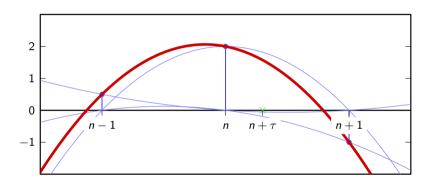




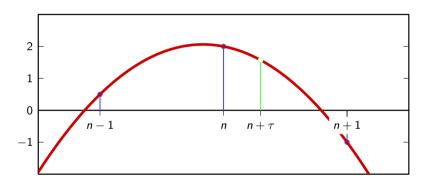














- $\rightarrow x(n+\tau) \approx x_L(n;\tau)$
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- ▶ $d_{\tau}[k]$ form a (2N+1)-tap FIR



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Example (N = 1, second order approximation)



$$L_{-1}^{(1)}(t) = t \frac{t-1}{2}$$
 $L_{0}^{(1)}(t) = (1-t)(1+t)$
 $L_{1}^{(1)}(t) = t \frac{t+1}{2}$

Example (N = 1, second order approximation)



$$d_{0.2}[n] = \begin{cases} -0.08 & n = -1\\ 0.96 & n = 0\\ 0.12 & n = 1\\ 0 & \text{otherwise} \end{cases}$$

Delay compensation algorithm



- ightharpoonup estimate the delay au
- ightharpoonup compute the 2N+1 Lagrangian coefficients
- ▶ filter with the resulting FIR

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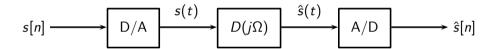
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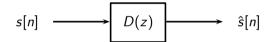
Compensating for the distortion





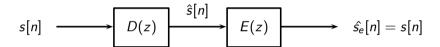
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Example: adaptive equalization





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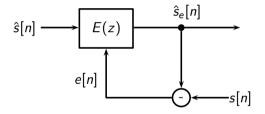
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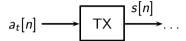
Adaptive equalization





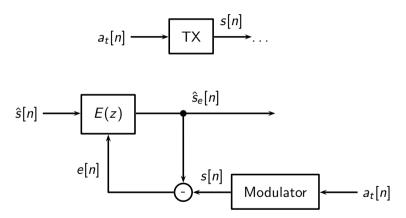
Adaptive equalization: bootstrapping via a training sequence





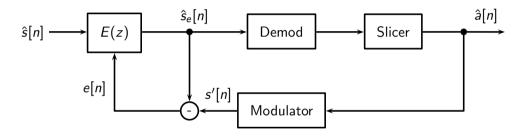
Adaptive equalization: bootstrapping via a training sequence





Adaptive equalization: online mode







- ▶ how do we perform the adaptation of the coefficients?
- how do we compensate for differences in clocks?
- ▶ how do we recover from interference?
- ▶ how do we improve resilience to noise?



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END OF MODULE 9.5



Digital Signal Processing

Module 9.6: ADSL

Overview:



- ► Channel
- ► Signaling strategy
- ▶ Discrete Multitone Modulation (DMT)

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- ► Channel
- ► Signaling strategy
- ▶ Discrete Multitone Modulation (DMT)

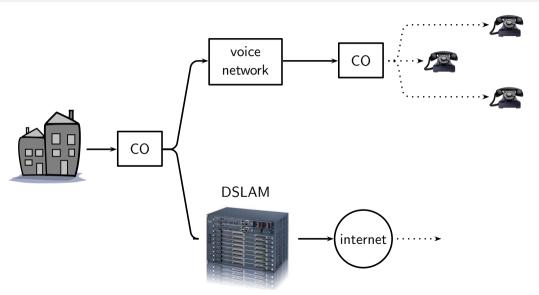
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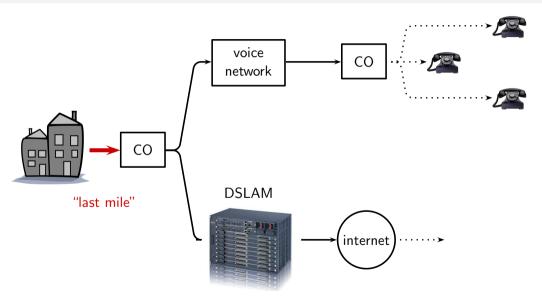
The telephone network today





The telephone network today





The last mile



- copper wire (twisted pair) between home and nearest CO
- very large bandwidth (well over 1MHz)
- ▶ very uneven spectrum: noise, attenuation, interference, etc.

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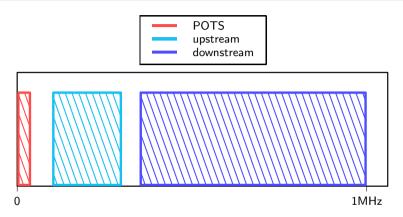
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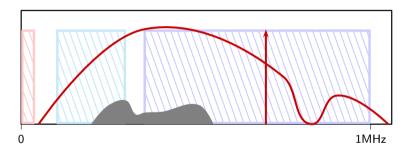
The ADSL channel





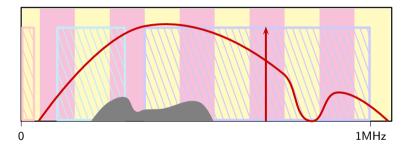
The ADSL channel





Idea: split the band into independent subchannels





Subchannel structure



- ▶ allocate *N* subchannels over the total positive bandwidth
- ightharpoonup equal subchannel bandwidth F_{max}/N
- equally spaced subchannels with center frequency kF_{max}/N , $k=0,\ldots,N-1$

Subchannel structure



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- equally spaced subchannels with center frequency kF_{max}/N , $k=0,\ldots,N-1$

Subchannel structure



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- equal subchannel bandwidth F_{max}/N
- ightharpoonup equally spaced subchannels with center frequency kF_{\max}/N , $k=0,\ldots,N-1$



- ▶ pick $F_s = 2F_{\text{max}}$ (F_{max} is high now!)
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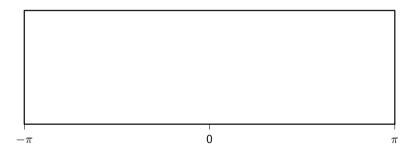
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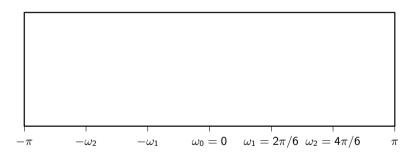
The digital design (N = 3)





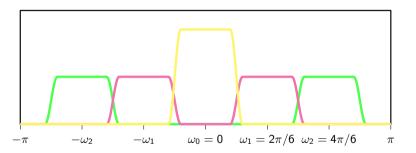
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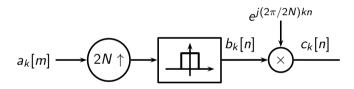
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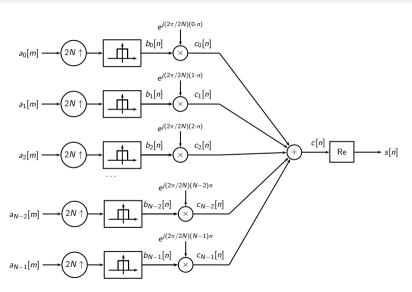
The subchannel modem





The bank of modems

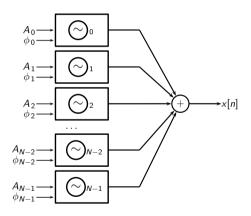




If it looks familiar...



check back Module 4.3, the DFT reconstruction formula:



DMT via IFFT



- ▶ we will show that transmission can be implemented efficiently via an IFFT
- ► Discrete Multitone Modulation

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The great ADSL trick

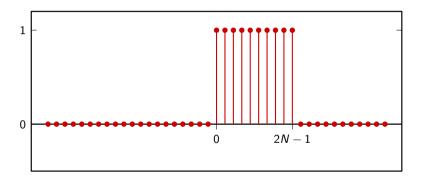


instead of using a good lowpass filter, use the 2N-tap interval indicator:

$$h[n] = \begin{cases} 1 & \text{for } 0 \le n < 2N \\ 0 & \text{otherwise} \end{cases}$$

Interval indicator signal (Module 4.7)

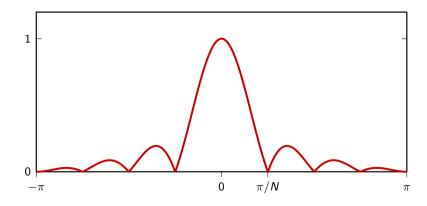




DTFT of interval signal (Module 4.7)

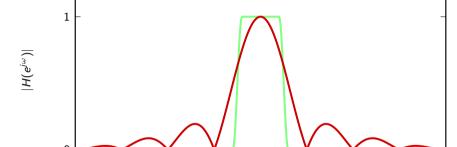






DTFT of interval signal (Module 4.7)





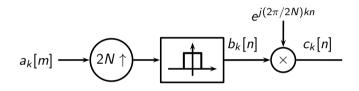
9.6

0

 π/N

Back to the subchannel modem



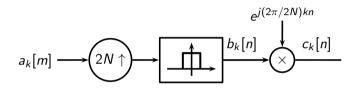


rate: *B* symbols/sec

2NB samples/sec

Back to the subchannel modem



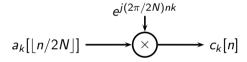


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Back to the subchannel modem

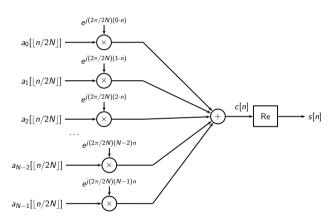


by using the indicator function as a lowpass:



The bank of modems, revisited





The complex output signal



$$c[n] = \sum_{k=0}^{N-1} a_k [\lfloor n/2N \rfloor] e^{j\frac{2\pi}{2N}nk}$$

$$= 2N \cdot \mathsf{IDFT}_{2N} \left\{ \begin{bmatrix} a_0[m] & a_1[m] & \dots & a_{N-1}[m] & 0 & 0 & \dots & 0 \end{bmatrix} \right\} \begin{bmatrix} n_1 \\ m_2 \\ m_3 \\ m_4 \end{bmatrix}$$

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$$(m = \lfloor n/2N \rfloor)$$



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$$s[n] = N \cdot \mathsf{IDFT} \left\{ \begin{bmatrix} 2a_0[m] & a_1[m] & \dots & a_{N-1}[m] & a_{N-1}^*[m] & a_{N-2}^*[m] & \dots & a_1^*[m] \end{bmatrix} \right\} \begin{bmatrix} n & n \\ n & n \end{bmatrix}$$



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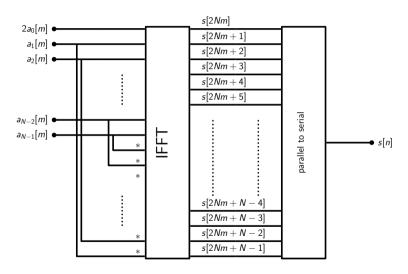
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ADSL transmitter







- $F_{\text{max}} = 1104 \text{KHz}$
- N = 256
- ▶ each QAM can send from 0 to 15 bits per symbol
- ▶ forbidden channels: 0 to 7 (voice)
- ▶ channels 7 to 31: upstream data
- ► max theoretical throughput: 14.9Mbps (downstream)



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END OF MODULE 9.6

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