

Digital Signal Processing

Module 8: Image Processing

- ▶ **Module 8.1:** Introduction to Images and Image Processing
- ▶ **Module 8.2:** Affine Transforms
- ▶ **Module 8.3:** 2D Fourier Analysis
- ▶ **Module 8.4:** Image Filters
- ▶ **Module 8.5:** Image Compression
- ▶ **Module 8.6:** The JPEG Compression Standard

Digital Signal Processing

Module 8.1: Image Processing

- ▶ Images as multidimensional digital signals
- ▶ 2D signal representations
- ▶ Basic signals and operators

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Please meet ...



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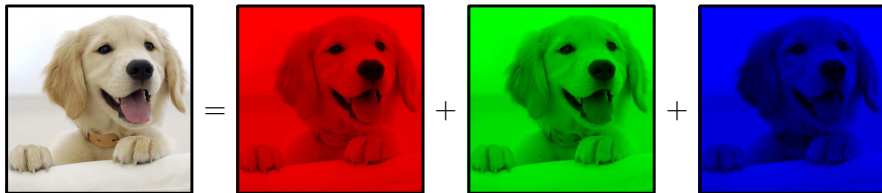
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- ▶ color images: multidimensional pixel values in a color space (RGB, HSV, YUV, etc)
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- ▶ new manipulations: affine transforms
- ▶ images are finite-support signals
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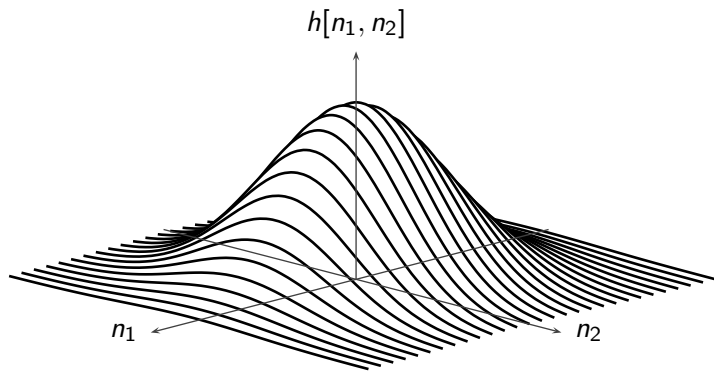
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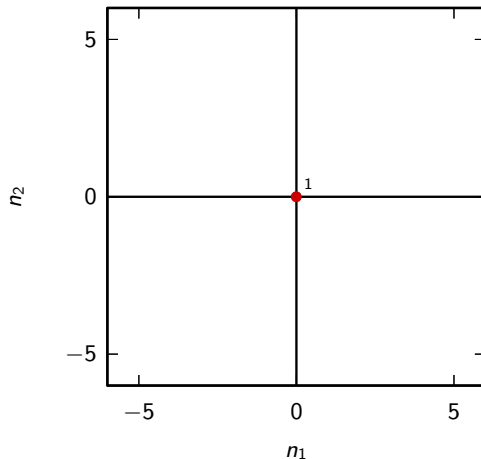
A two-dimensional discrete-space signal:

$$x[n_1, n_2], \quad n_1, n_2 \in \mathbb{Z}$$

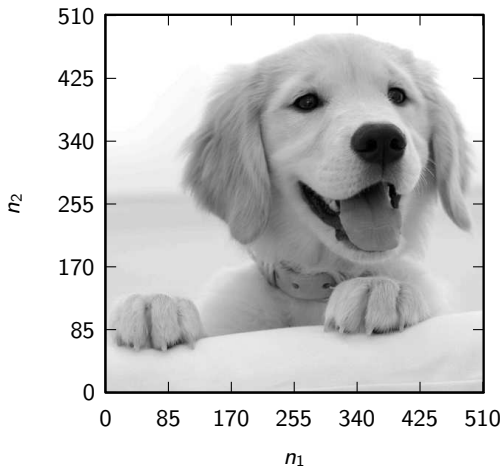


- ▶ just show coordinates of nonzero samples
- ▶ amplitude may be written along explicitly
- ▶ example:

$$\delta[n_1, n_2] = \begin{cases} 1 & \text{if } n_1 = n_2 = 0 \\ 0 & \text{otherwise.} \end{cases}$$

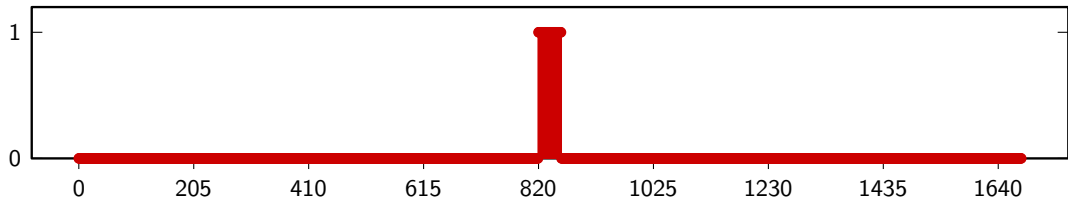
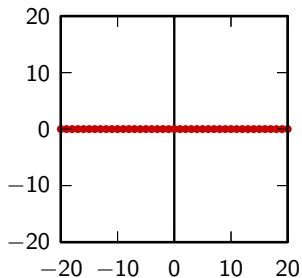


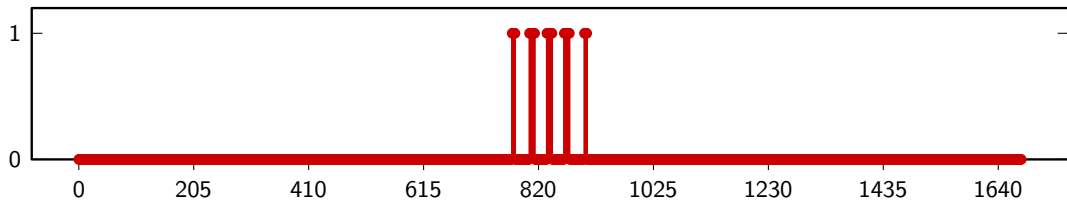
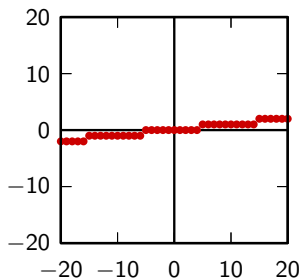
- ▶ medium has a certain dynamic range (paper, screen)
- ▶ image values are quantized (usually to 8 bits, or 256 levels)
- ▶ the eye does the interpolation in space provided the pixel density is high enough

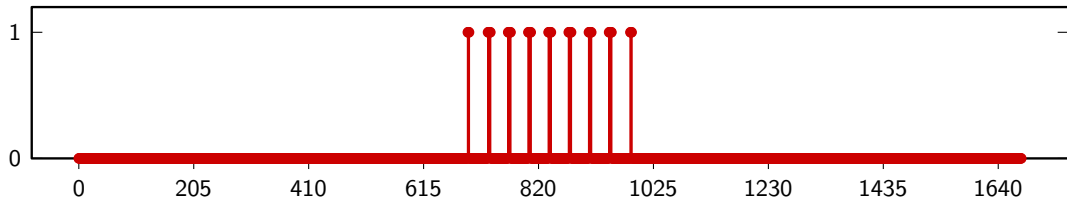
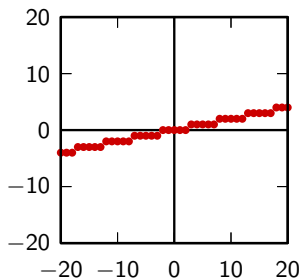


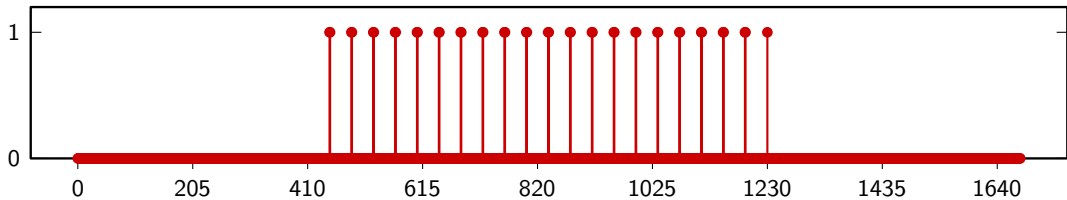
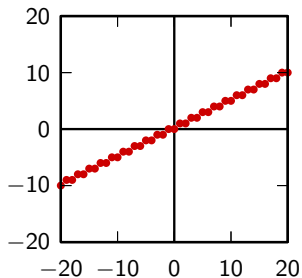
- ▶ images could be unrolled (printers, fax)
- ▶ but what about spatial correlation?

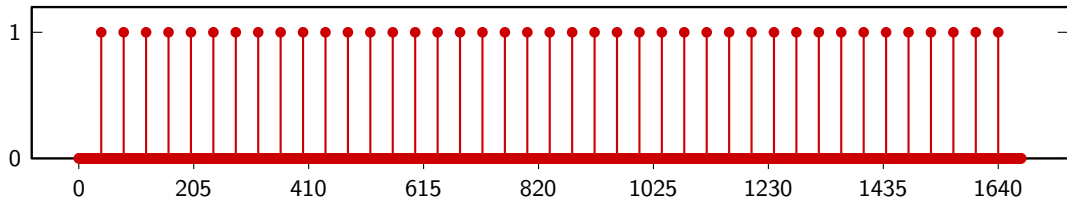
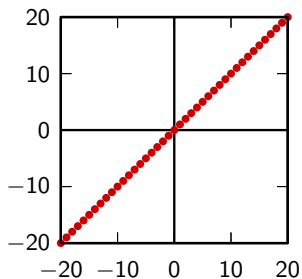
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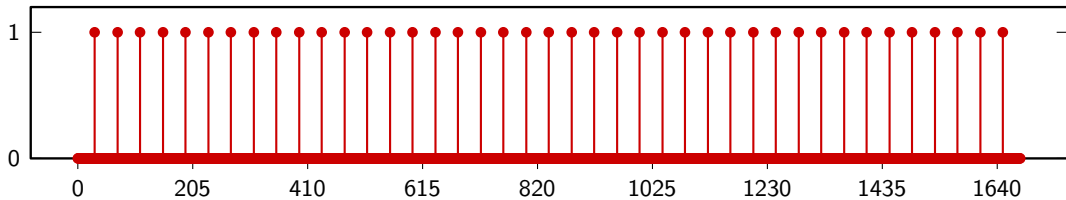
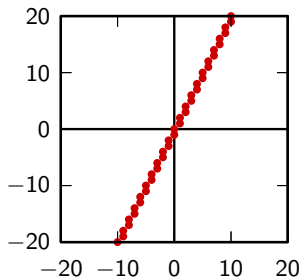


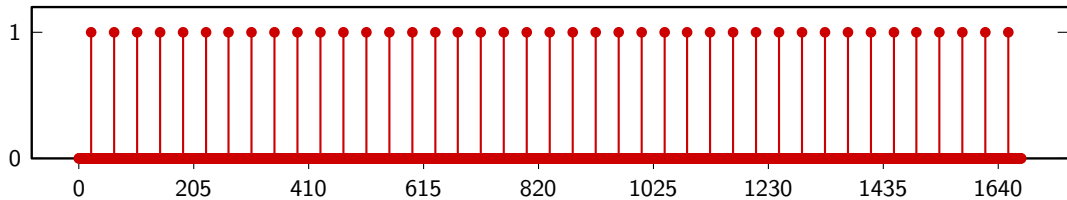
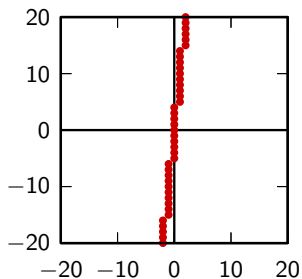


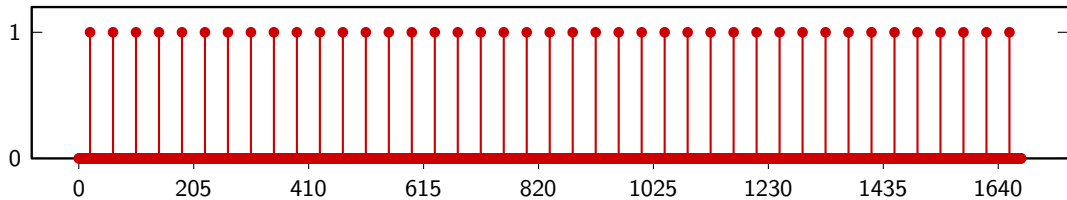
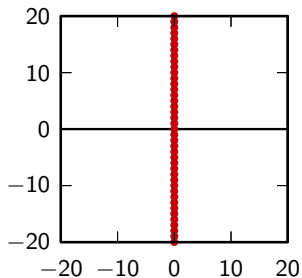




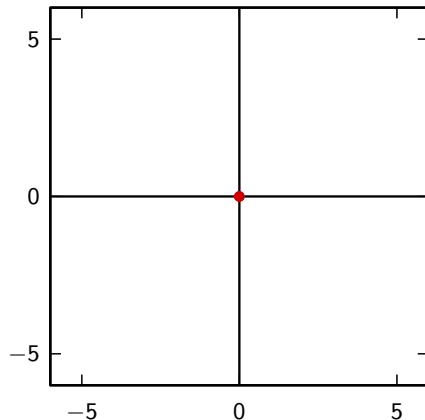




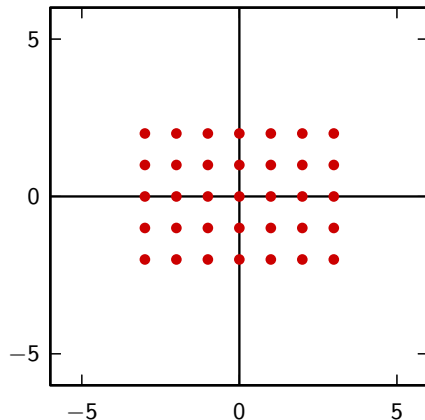




$$\delta[n_1, n_2] = \begin{cases} 1 & \text{if } n_1 = n_2 = 0 \\ 0 & \text{otherwise.} \end{cases}$$



$$\text{rect}\left(\frac{n_1}{2N_1}, \frac{n_2}{2N_2}\right) = \begin{cases} 1 & \text{if } |n_1| < N_1 \\ & \text{and } |n_2| < N_2 \\ 0 & \text{otherwise;} \end{cases}$$



$$x[n_1, n_2] = x_1[n_1]x_2[n_2]$$

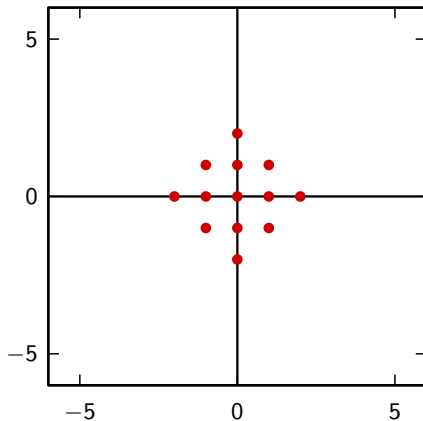
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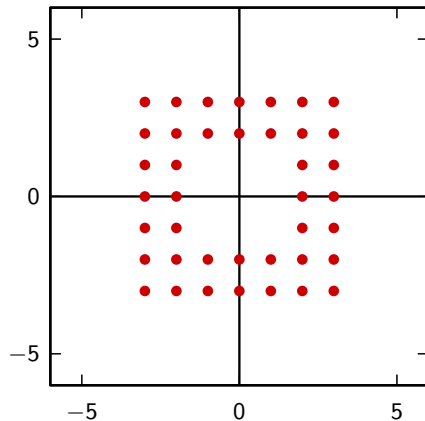
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$$x[n_1, n_2] = \begin{cases} 1 & \text{if } |n_1| + |n_2| < N \\ 0 & \text{otherwise} \end{cases}$$



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$$x[n_1, n_2] * h[n_1, n_2] = \sum_{k_1=-\infty}^{\infty} \sum_{k_2=-\infty}^{\infty} x[k_1, k_2] h[n_1 - k_1, n_2 - k_2]$$

If $h[n_1, n_2] = h_1[n_1]h_2[n_2]$:

$$\begin{aligned}x[n_1, n_2] * h[n_1, n_2] &= \sum_{k_1=-\infty}^{\infty} h_1[n_1 - k_1] \sum_{k_2=-\infty}^{\infty} x[k_1, k_2] h_2[n_2 - k_2] \\&= h_1[n_1] * (h_2[n_2] * x[n_1, n_2]).\end{aligned}$$

If $h[n_1, n_2]$ is an $M_1 \times M_2$ finite-support signal:

- ▶ non-separable convolution: $M_1 M_2$ operations per output sample
- ▶ separable convolution: $M_1 + M_2$ operations per output sample!

END OF MODULE 8.1

Digital Signal Processing

Module 8.2: Image Manipulations

- ▶ Affine transforms
- ▶ Bilinear interpolation

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mapping $\mathbb{R}^2 \rightarrow \mathbb{R}^2$ that reshapes the coordinate system:

$$\begin{bmatrix} t'_1 \\ t'_2 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} t_1 \\ t_2 \end{bmatrix} - \begin{bmatrix} d_1 \\ d_2 \end{bmatrix}$$

$$\begin{bmatrix} t'_1 \\ t'_2 \end{bmatrix} = \mathbf{A} \begin{bmatrix} t_1 \\ t_2 \end{bmatrix} - \mathbf{d}$$

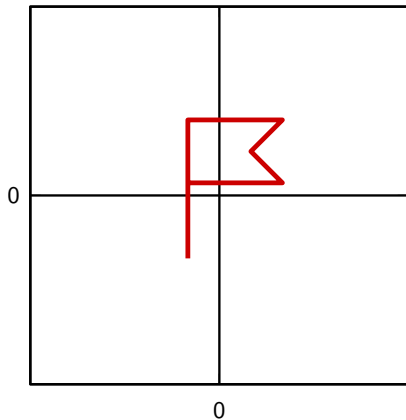
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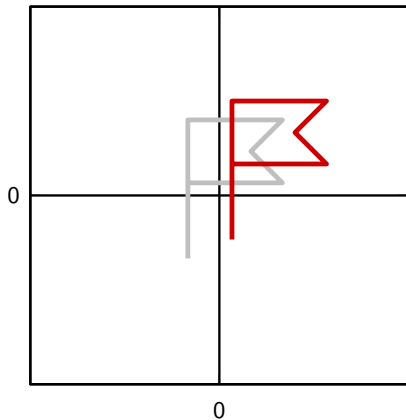
$$\mathbf{A} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = \mathbf{I}$$

$$\mathbf{d} = \begin{bmatrix} d_1 \\ d_2 \end{bmatrix}$$



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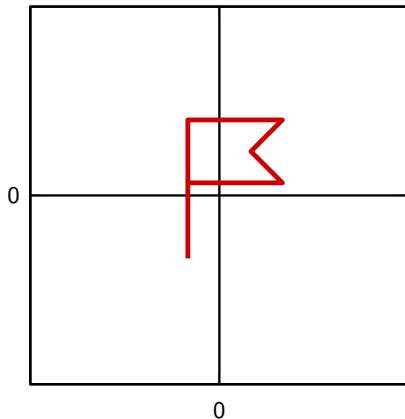
$$\mathbf{d} = \begin{bmatrix} d_1 \\ d_2 \end{bmatrix}$$



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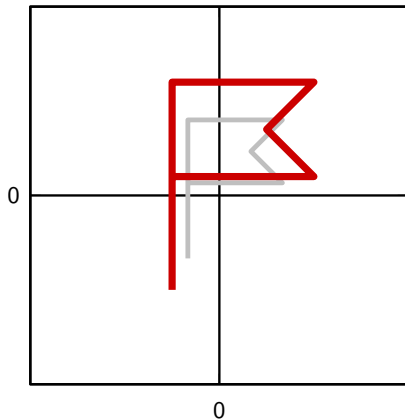
if $a_1 = a_2$ the *aspect ratio* is preserved



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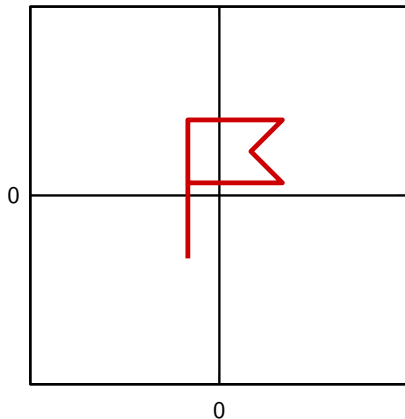
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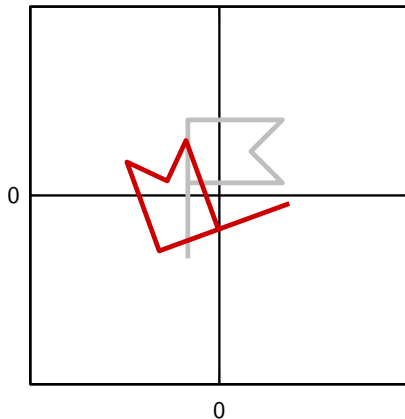
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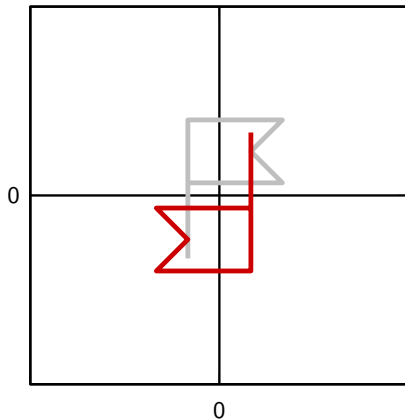
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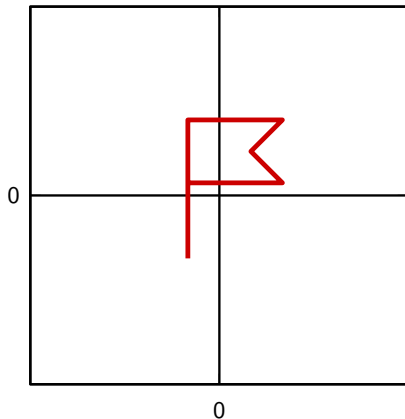
$$\mathbf{A} = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\mathbf{d} = 0$$

Vertical:

$$\mathbf{A} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$\mathbf{d} = 0$$



Horizontal:

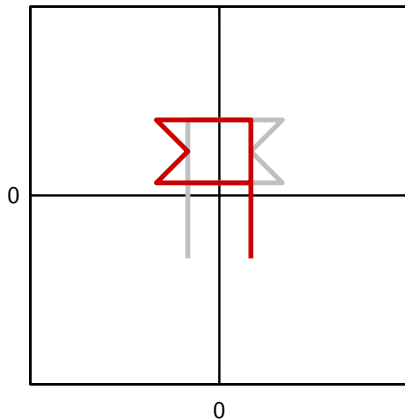
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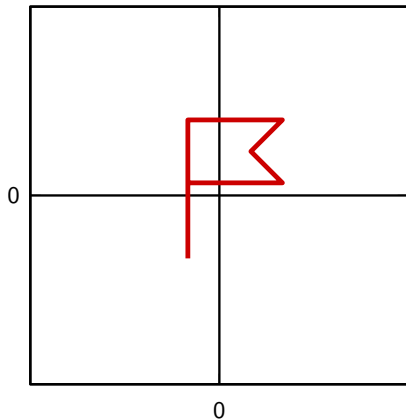
$$\mathbf{A} = \begin{bmatrix} 1 & s \\ 0 & 1 \end{bmatrix}$$

$$\mathbf{d} = 0$$

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Horizontal:

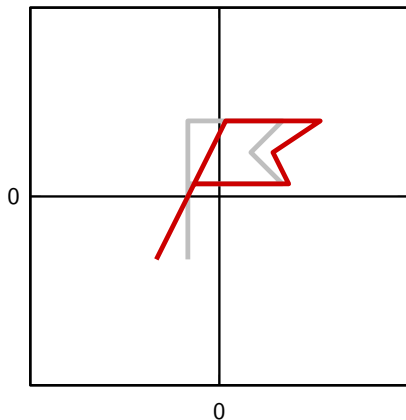
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$$\begin{bmatrix} t'_1 \\ t'_2 \end{bmatrix} = \mathbf{A} \begin{bmatrix} n_1 \\ n_2 \end{bmatrix} - \mathbf{d} \quad \in \mathbb{R}^2 \neq \mathbb{Z}^2$$

- ▶ apply the *inverse* transform:

$$\begin{bmatrix} t_1 \\ t_2 \end{bmatrix} = \mathbf{A}^{-1} \begin{bmatrix} m_1 + d_1 \\ m_2 + d_2 \end{bmatrix};$$

- ▶ interpolate from the original grid point to the “mid-point”

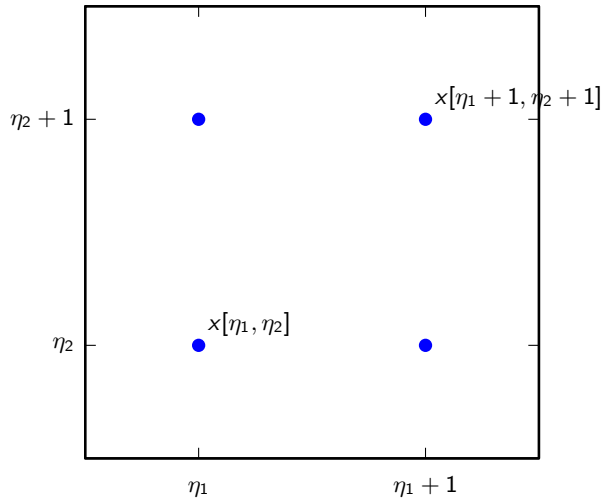
$$(t_1, t_2) = (\eta_1 + \tau_1, \eta_2 + \tau_2), \quad \eta_{1,2} \in \mathbb{Z}, \quad 0 \leq \tau_{1,2} < 1$$

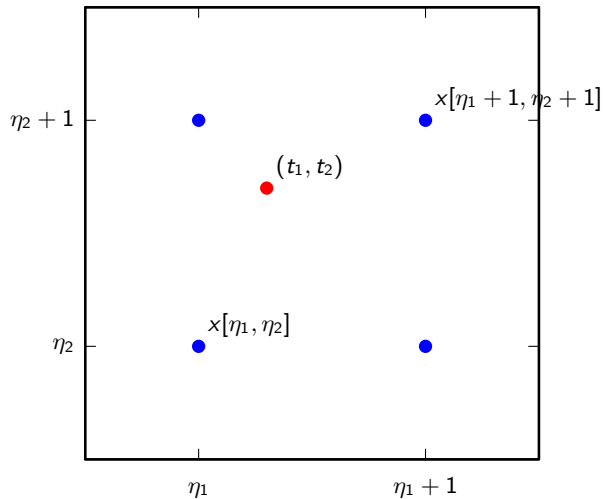
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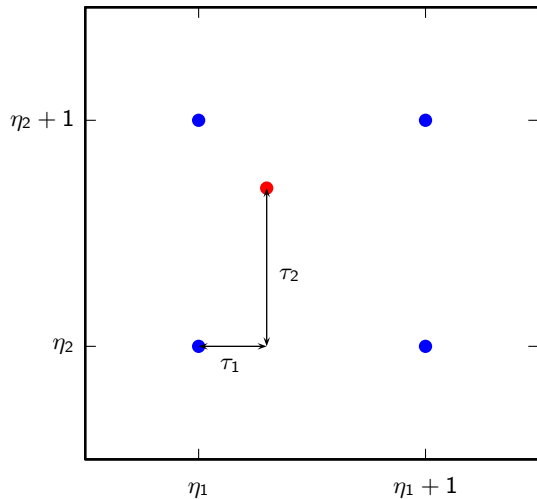
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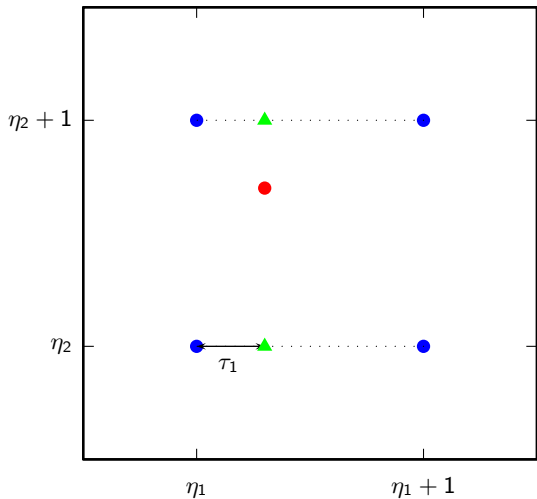
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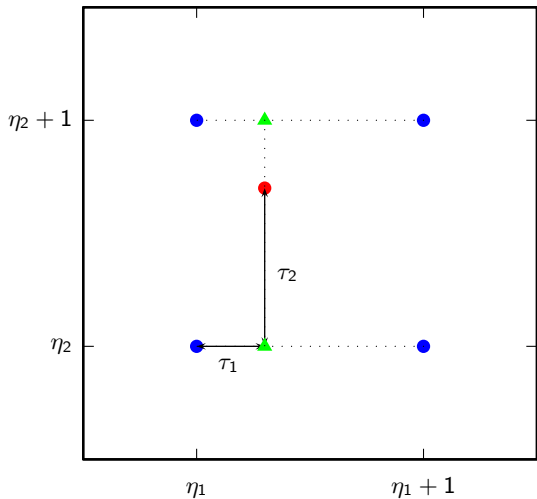
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If we use a first-order interpolator:

$$\begin{aligned} y[m_1, m_2] = & (1 - \tau_1)(1 - \tau_2)x[\eta_1, \eta_2] + \tau_1(1 - \tau_2)x[\eta_1 + 1, \eta_2] \\ & + (1 - \tau_1)\tau_2x[\eta_1, \eta_2 + 1] + \tau_1\tau_2x[\eta_1 + 1, \eta_2 + 1] \end{aligned}$$



END OF MODULE 8.2

Digital Signal Processing

Module 8.3: Frequency Analysis

- ▶ DFT
- ▶ Magnitude and phase

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- ▶ Magnitude and phase

$$X[k_1, k_2] = \sum_{n_1=0}^{N_1-1} \sum_{n_2=0}^{N_2-1} x[n_1, n_2] e^{-j\frac{2\pi}{N_1} n_1 k_1} e^{-j\frac{2\pi}{N_2} n_2 k_2}$$

$$x[n_1, n_2] = \frac{1}{N_1 N_2} \sum_{k_1=0}^{N_1-1} \sum_{k_2=0}^{N_2-1} X[k_1, k_2] e^{j\frac{2\pi}{N_1} n_1 k_1} e^{j\frac{2\pi}{N_2} n_2 k_2}$$

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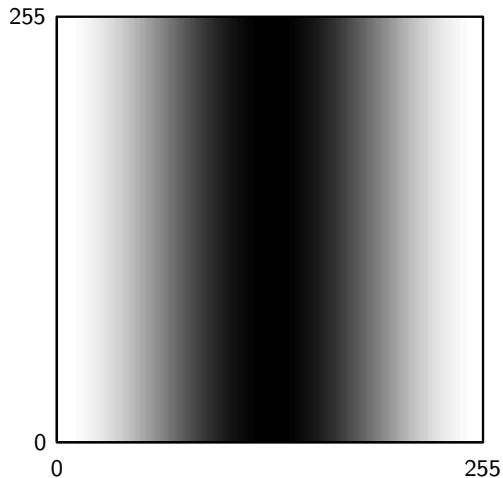
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There are $N_1 N_2$ orthogonal basis vectors for an $N_1 \times N_2$ image:

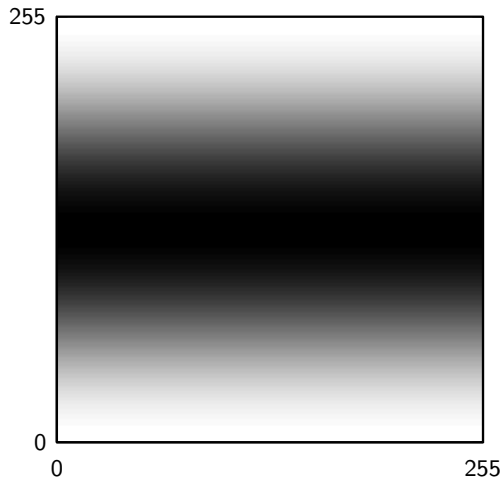
$$w_{k_1, k_2}[n_1, n_2] = e^{j\frac{2\pi}{N_1}n_1 k_1} e^{j\frac{2\pi}{N_2}n_2 k_2}$$

for $n_1, k_1 = 0, 1, \dots, N_1 - 1$ and $n_2, k_2 = 0, 1, \dots, N_2 - 1$

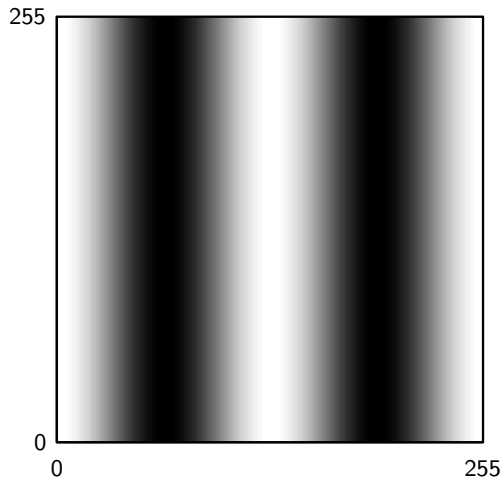
2D-DFT basis vectors for $k_1 = 1, k_2 = 0$ (real part)



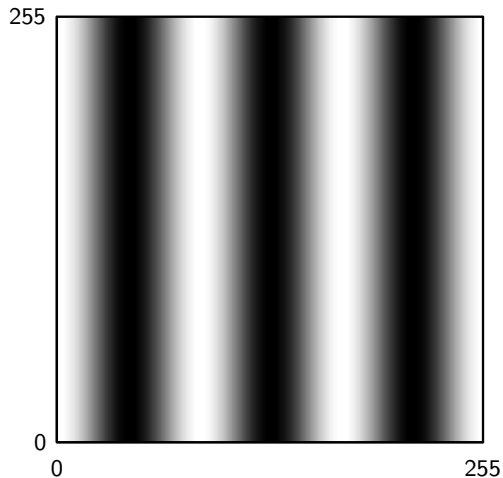
2D-DFT basis vectors for $k_1 = 0, k_2 = 1$ (real part)



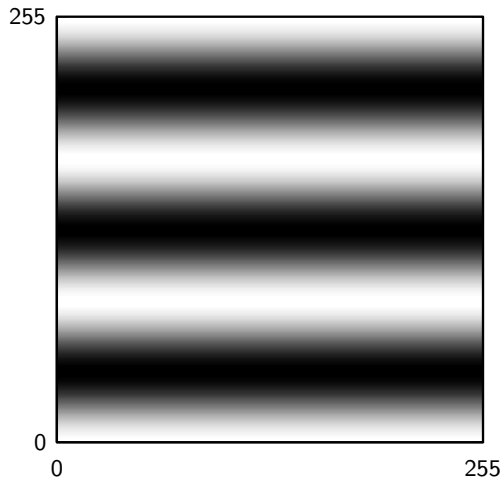
2D-DFT basis vectors for $k_1 = 2$, $k_2 = 0$ (real part)



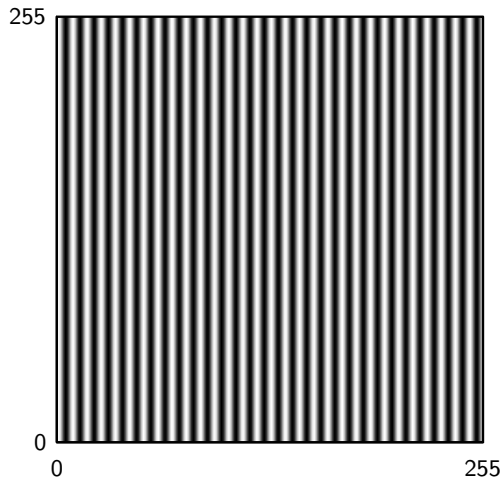
2D-DFT basis vectors for $k_1 = 3$, $k_2 = 0$ (real part)



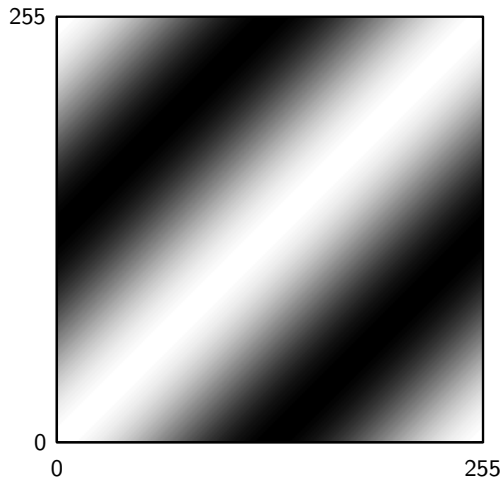
2D-DFT basis vectors for $k_1 = 0, k_2 = 3$ (real part)



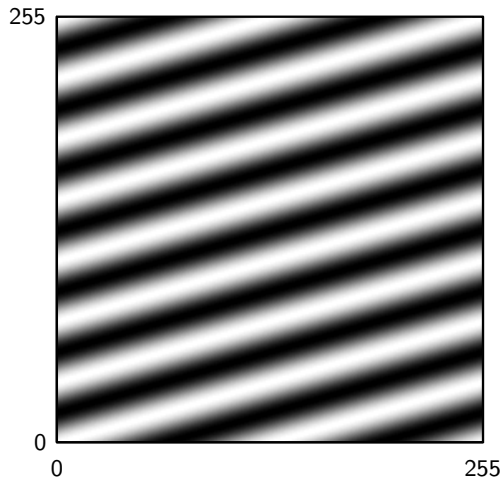
2D-DFT basis vectors for $k_1 = 30, k_2 = 0$ (real part)



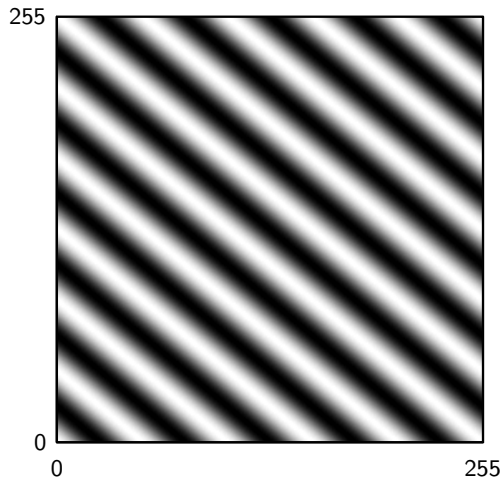
2D-DFT basis vectors for $k_1 = 1, k_2 = 1$ (real part)



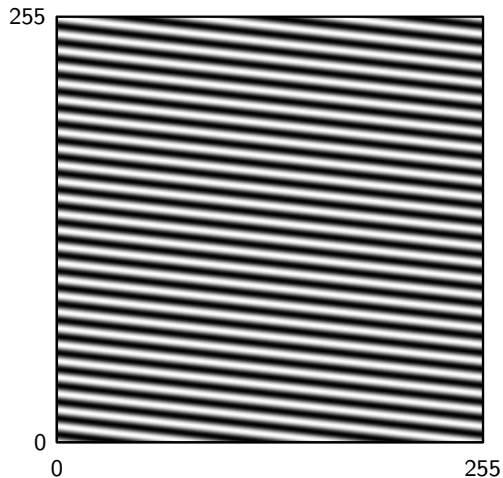
2D-DFT basis vectors for $k_1 = 2$, $k_2 = 7$ (real part)



2D-DFT basis vectors for $k_1 = 5$, $k_2 = 250$ (real part)



2D-DFT basis vectors for $k_1 = 3$, $k_2 = 230$ (real part)



2D-DFT basis functions are separable, and so is the 2D-DFT:

$$X[k_1, k_2] = \sum_{n_1=0}^{N_1-1} \sum_{n_2=0}^{N_2-1} x[n_1, n_2] e^{-j\frac{2\pi}{N_1}n_1k_1} e^{-j\frac{2\pi}{N_2}n_2k_2}$$

- ▶ 1D-DFT along n_2 (the columns)
- ▶ 1D-DFT along n_1 (the rows)

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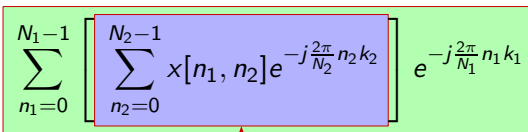
$$X[k_1, k_2] = \sum_{n_1=0}^{N_1-1} \left[\sum_{n_2=0}^{N_2-1} x[n_1, n_2] e^{-j\frac{2\pi}{N_2} n_2 k_2} \right] e^{-j\frac{2\pi}{N_1} n_1 k_1}$$

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- ▶ finite-support 2D signal can be written as a matrix \mathbf{x}
- ▶ $N_1 \times N_2$ image is an $N_2 \times N_1$ matrix (n_1 spans the columns, n_2 spans the rows)
- ▶ recall also the $N \times N$ DFT matrix (Module 4.2):

$$\mathbf{W}_N = \begin{bmatrix} 1 & 1 & 1 & 1 & \dots & 1 \\ 1 & W_N^1 & W_N^2 & W_N^3 & \dots & W_N^{N-1} \\ 1 & W_N^2 & W_N^4 & W_N^6 & \dots & W_N^{2(N-1)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & W_N^{N-1} & W_N^{2(N-1)} & W_N^{3(N-1)} & \dots & W_N^{(N-1)^2} \end{bmatrix}$$

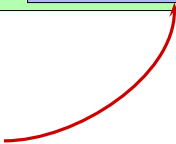
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$$\mathbf{V} \in \mathbb{C}^{N_2 \times N_1}$$

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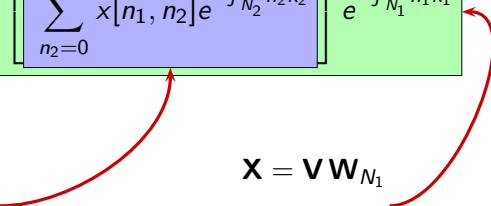
Diagram illustrating the 2D DFT in matrix form. The equation shows the summation over n_1 and n_2 . The inner summation over n_2 is highlighted in a blue box, and the outer summation over n_1 is highlighted in a green box. Red arrows indicate the mapping of the inner summation to the matrix equation $\mathbf{V} = \mathbf{W}_{N_2} \mathbf{x}$ and the outer summation to the matrix equation $\mathbf{X} = \mathbf{V} \mathbf{W}_{N_1}$.

$$\mathbf{V} = \mathbf{W}_{N_2} \mathbf{x}$$

$$\mathbf{V} \in \mathbb{C}^{N_2 \times N_1}$$

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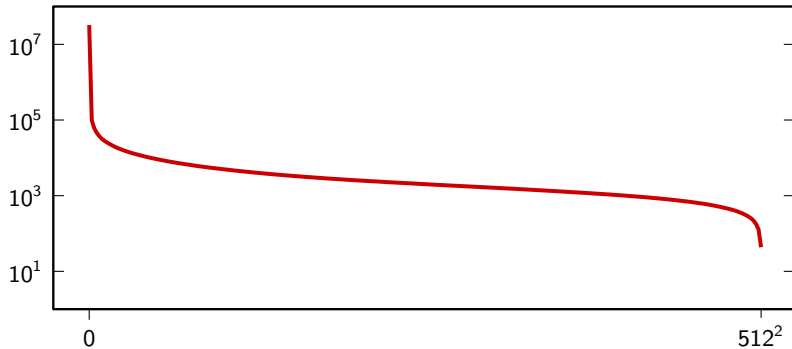
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$$\mathbf{X} = \mathbf{W}_{N_2} \mathbf{x} \mathbf{W}_{N_1}$$

- ▶ try to show the magnitude as an image
- ▶ problem: the range is too big for the grayscale range of paper or screen
- ▶ try to normalize: $|X'[n_1, n_2]| = |X[n_1, n_2]| / \max |X[n_1, n_2]|$
- ▶ but it doesn't work...



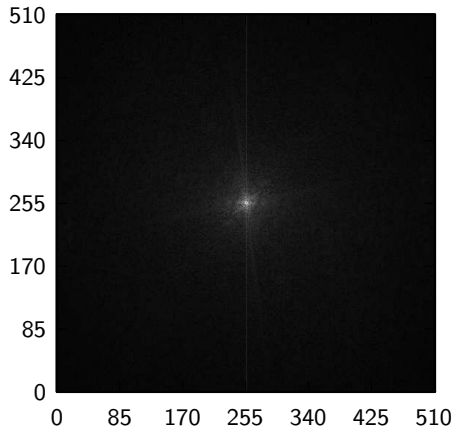
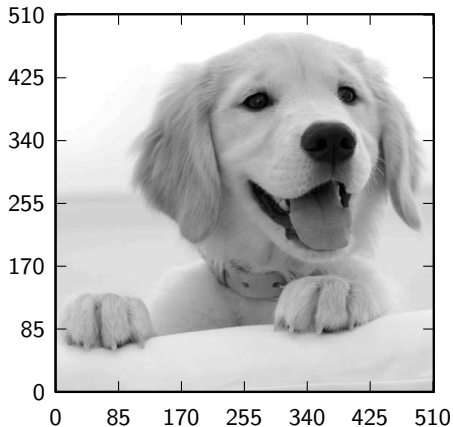
if the image is high dynamic range we need to compress the levels

- ▶ remove flagrant outliers (e.g. $X[0,0] = \sum \sum x[n_1, n_2]$)
- ▶ use a nonlinear mapping: e.g. $y = x^{1/3}$ after normalization ($x \leq 1$)

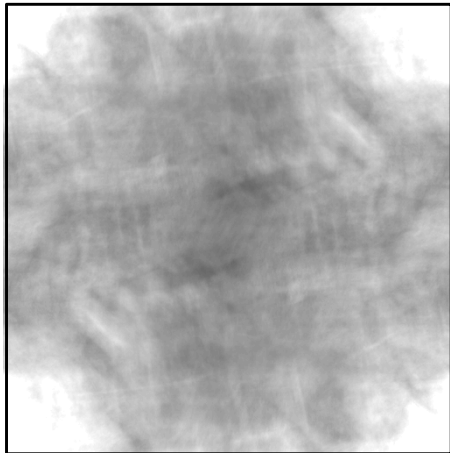
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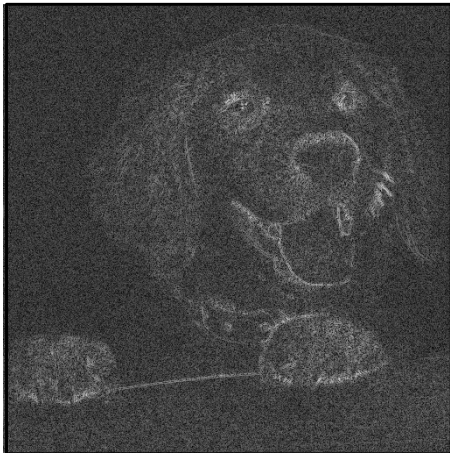
How does a 2D-DFT look like?



DFT magnitude doesn't carry much information



DFT phase, on the other hand...



- ▶ most of the information is contained in image's *edges*
- ▶ edges are points of abrupt change in signal's values
- ▶ edges are a “space-domain” feature → not captured by DFT's magnitude
- ▶ phase alignment is important for reproducing edges

END OF MODULE 8.3

Digital Signal Processing

Module 8.4: Filtering

- ▶ Filters for image processing
- ▶ Classification
- ▶ Examples

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- ▶ linearity
- ▶ *space* invariance
- ▶ impulse response
- ▶ frequency response
- ▶ stability
- ▶ 2D CCDE

- ▶ interesting images contain lots of *semantics*: different information in different areas
- ▶ space-invariant filters process everything in the same way
- ▶ but we should process things differently
 - edges
 - gradients
 - textures
 - ...

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- ▶ causal or noncausal
- ▶ highpass, lowpass, ...
 - lowpass → image smoothing
 - highpass → enhancement, edge detection

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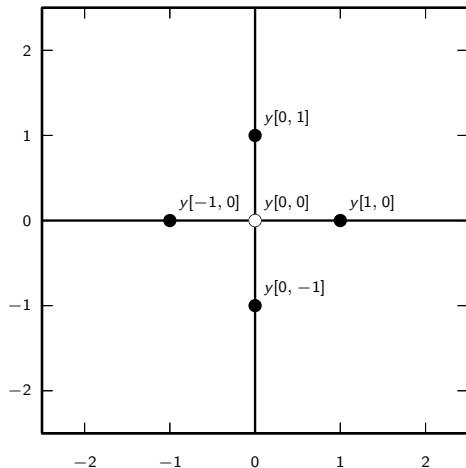
- ▶ nonlinear phase (edges!)
- ▶ border effects
- ▶ stability: the fundamental theorem of algebra doesn't hold in multiple dimensions!
- ▶ computability

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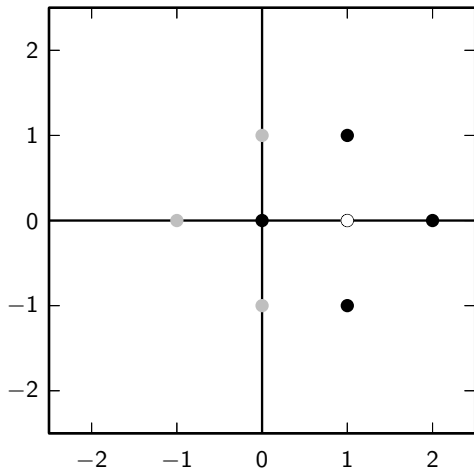
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$$y[n_1, n_2] = a_0 y[n_1 + 1, n_2] + a_1 y[n_1, n_2 - 1] + a_2 y[n_1 - 1, n_2] + a_3 y[n_1, n_2 + 1] + x[n_1, n_2];$$



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- ▶ generally zero centered (causality not an issue) \Rightarrow odd number of taps in both directions
- ▶ per-sample complexity:
 - $M_1 M_2$ for nonseparable impulse responses
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$$y[n_1, n_2] = \frac{1}{(2N+1)^2} \sum_{k_1=-N}^N \sum_{k_2=-N}^N x[n_1 - k_1, n_2 - k_2]$$

$$h[n_1, n_2] = \frac{1}{(2N+1)^2} \text{rect}\left(\frac{n_1}{2N}, \frac{n_2}{2N}\right)$$

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$$h[n_1, n_2] = \frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$



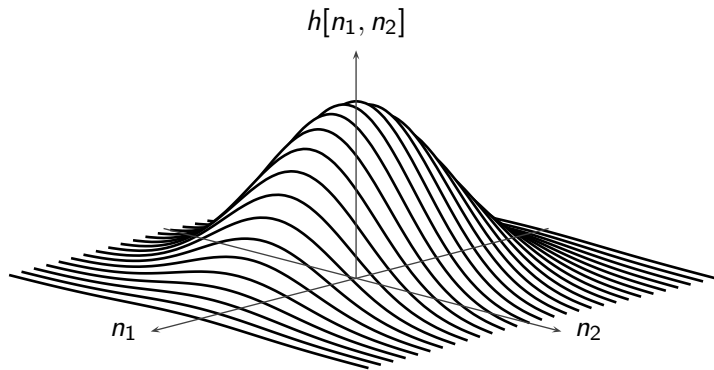
11×11 MA

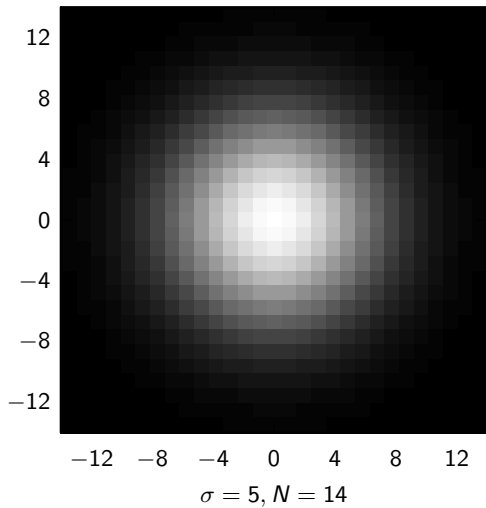


51×51 MA

$$h[n_1, n_2] = \frac{1}{2\pi\sigma^2} e^{-\frac{n_1^2 + n_2^2}{2\sigma^2}}, \quad |n_1, n_2| < N$$

with $N \approx 3\sigma$







$\sigma = 1.8, 11 \times 11$ blur



$\sigma = 8.7, 51 \times 51$ blur

approximation of the first derivative in the horizontal direction:

$$s_o[n_1, n_2] = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}$$

separability and structure:

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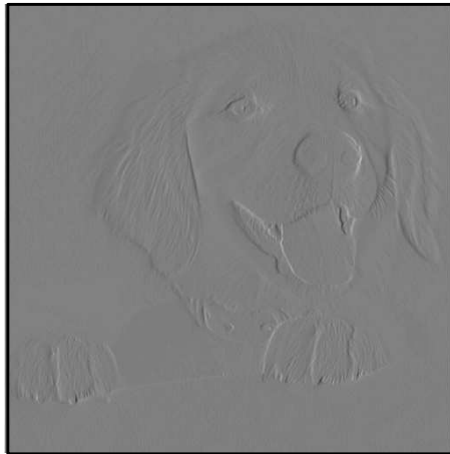
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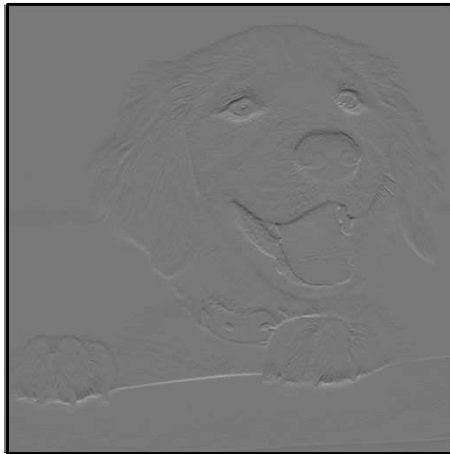
$$s_o[n_1, n_2] = \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} \begin{bmatrix} -1 & 0 & 1 \end{bmatrix}$$

approximation of the first derivative in the vertical direction:

$$s_v[n_1, n_2] = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix}$$



horizontal Sobel filter



vertical Sobel filter

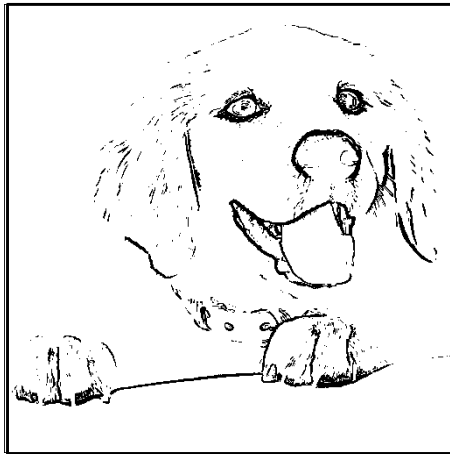
approximation for the square magnitude of the gradient:

$$|\nabla x[n_1, n_2]|^2 = |s_o[n_1, n_2] * x[n_1, n_2]|^2 + |s_v[n_1, n_2] * x[n_1, n_2]|^2$$

(“operator” because it’s nonlinear)



Sobel operator



thresholded Sobel operator

Laplacian of a function in continuous-space:

$$\Delta f(t_1, t_2) = \frac{\partial^2 f}{\partial t_1^2} + \frac{\partial^2 f}{\partial t_2^2}$$

approximating the Laplacian; start with a Taylor expansion

$$f(t + \tau) = \sum_{n=0}^{\infty} \frac{f^{(n)}(t)}{n!} \tau^n$$

and compute the expansion in $(t + \tau)$ and $(t - \tau)$:

$$f(t + \tau) = f(t) + f'(t)\tau + \frac{1}{2}f''(t)\tau^2$$

$$f(t - \tau) = f(t) - f'(t)\tau + \frac{1}{2}f''(t)\tau^2$$

by rearranging terms:

$$f''(t) = \frac{1}{\tau^2}(f(t - \tau) - 2f(t) + f(t + \tau))$$

which, on the discrete grid, is the FIR $h[n] = [1 \quad -2 \quad 1]$

summing the horizontal and vertical components:

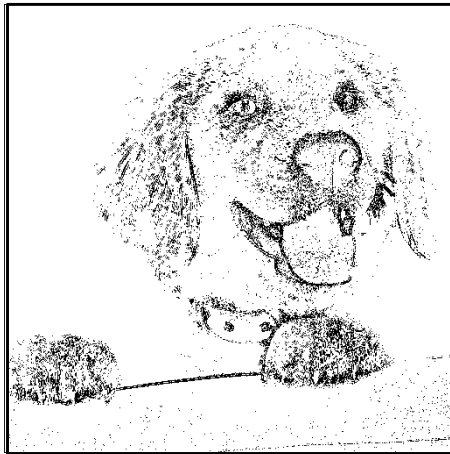
$$h[n_1, n_2] = \begin{bmatrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

If we use the diagonals too:

$$h[n_1, n_2] = \begin{bmatrix} 1 & 1 & 1 \\ 1 & -8 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$



Laplacian operator



thresholded Laplacian operator

END OF MODULE 8.4

Digital Signal Processing

Module 8.5: Image Compression

- ▶ Redundancy in natural images
- ▶ Image coding ingredients

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- ▶ consider all possible 256×256 , 8bpp images
- ▶ each image is 524,288 bits
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Another thought experiment

- ▶ take *all* images in the world and list them in an “encyclopedia of images”
- ▶ to indicate an image, simply give its number
- ▶ on the Internet: $M = 50$ billion
- ▶ raw encoding: 524,288 bits per image
- ▶ enumeration-based encoding: $\log_2 M \approx 36$ bits per image
- ▶ (of course, side information is HUGE)

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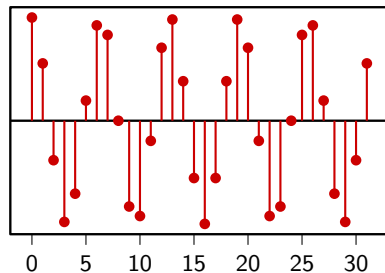


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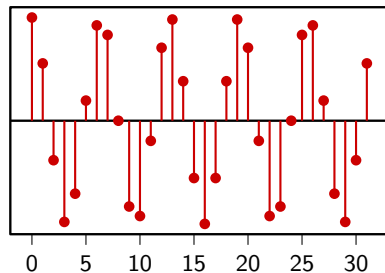
A simple example:

- ▶ take a DT signal, assume R bits per sample
- ▶ storing the signal requires NR bits
- ▶ now you take the DFT and it looks like this
- ▶ in theory, we can just code the two nonzero DFT coefficients!



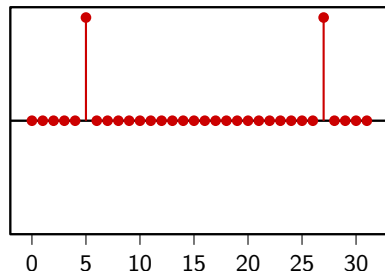
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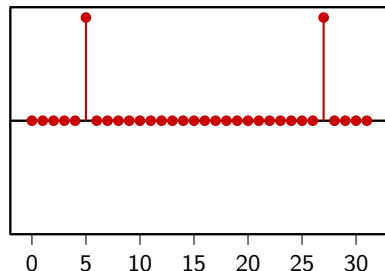
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- ▶ answer: the Discrete Cosine Transform

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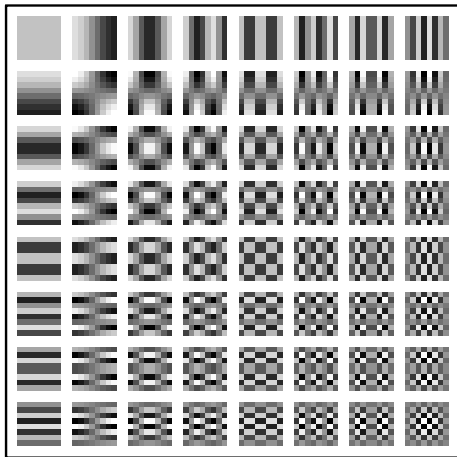
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$$C[k_1, k_2] = \sum_{n_1=0}^{N-1} \sum_{n_2=0}^{N-1} x[n_1, n_2] \cos \left[\frac{\pi}{N} \left(n_1 + \frac{1}{2} \right) k_1 \right] \cos \left[\frac{\pi}{N} \left(n_2 + \frac{1}{2} \right) k_2 \right]$$

DCT basis vectors for an 8×8 image

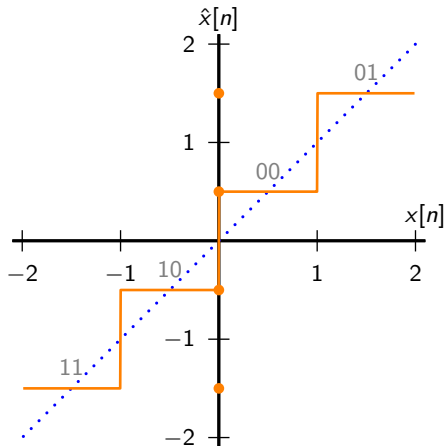


- ▶ deadzone
- ▶ variable step (fine to coarse)

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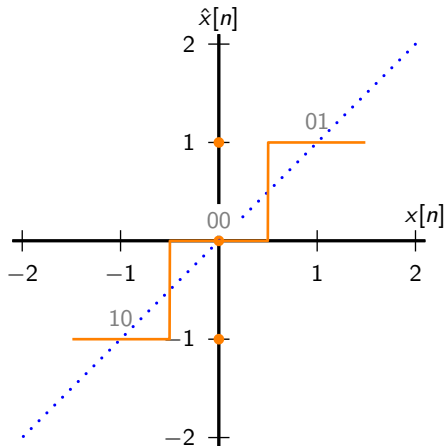
Standard quantization:

$$\hat{x} = \text{floor}(x) + 0.5$$



Deadzone quantization:

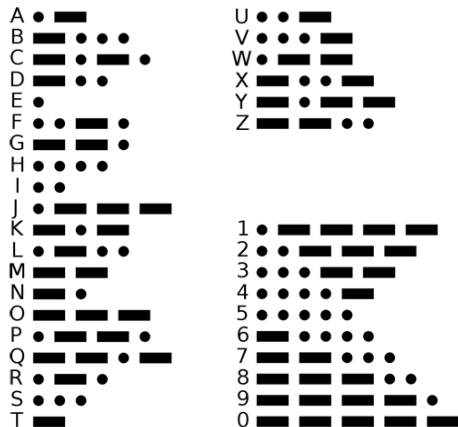
$$\hat{x} = \text{round}(x)$$



- ▶ minimize the effort to encode a certain amount of information
- ▶ associate short symbols to frequent values and vice-versa
- ▶ if it sounds familiar it's because it is...

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END OF MODULE 8.5

Digital Signal Processing

Module 8.6: The JPEG Compression Algorithm

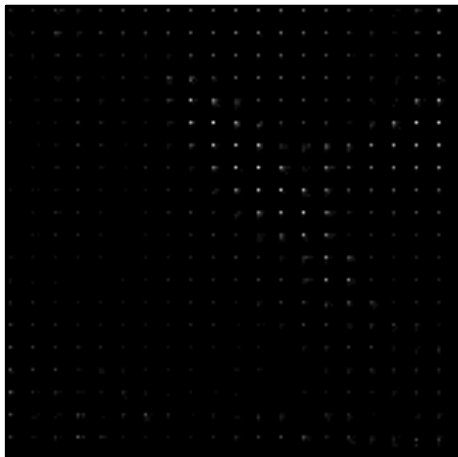
- ▶ compressing at block level
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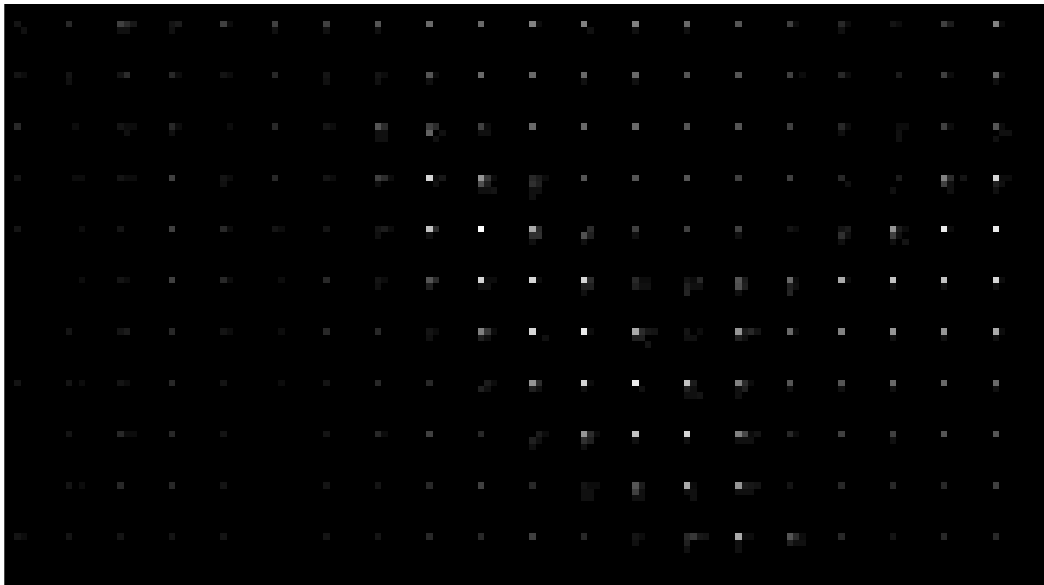
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- ▶ quantize DCT coefficients according to psychovisually-tuned tables
- ▶ run-length encoding and Huffman coding



DCT coefficients of image blocks (detail)



- ▶ most coefficients are negligible \rightarrow captured by the deadzone
- ▶ some coefficients are more important than others
- ▶ find out the critical coefficients by experimentation
- ▶ allocate more bits (or, equivalently, finer quantization levels) to the most important coefficients

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$$\hat{c}[k_1, k_2] = \text{round}(c[k_1, k_2]/Q[k_1, k_2])$$

$$Q = \begin{bmatrix} 16 & 11 & 10 & 16 & 24 & 40 & 51 & 61 \\ 12 & 12 & 14 & 19 & 26 & 58 & 60 & 55 \\ 14 & 13 & 16 & 24 & 40 & 57 & 69 & 56 \\ 14 & 17 & 22 & 29 & 51 & 87 & 80 & 62 \\ 18 & 22 & 37 & 56 & 68 & 109 & 103 & 77 \\ 24 & 35 & 55 & 64 & 81 & 104 & 113 & 92 \\ 49 & 64 & 78 & 87 & 103 & 121 & 120 & 101 \\ 72 & 92 & 95 & 98 & 112 & 100 & 103 & 99 \end{bmatrix}$$



uniform



tuned



uniform

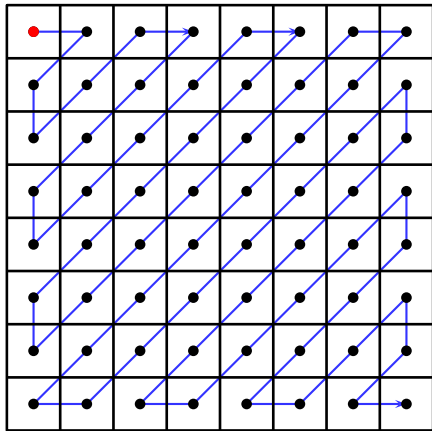


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[illegible]

Each nonzero value is encoded as the triple

$$[(r, s), c]$$

- ▶ r is the *runlength* i.e. the number of zeros before the current value
- ▶ s is the *size* i.e. the number of bits needed to encode the value
- ▶ c is the actual value
- ▶ $(0, 0)$ indicates that from now on it's only zeros (end of block)

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$[(0, 7), 100], [(0, 6), -60], [(4, 3), 6], [(3, 4), 13], [(8, 1), -1], [(0, 0)]$

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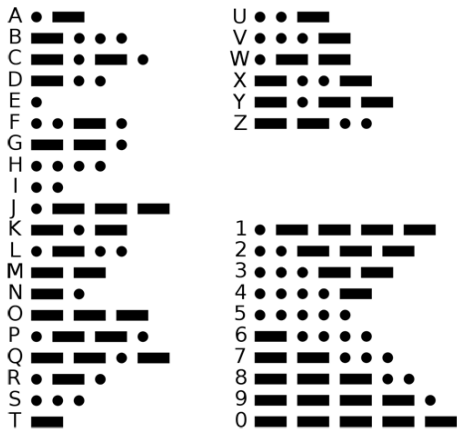
- ▶ by design, $(r, s) \in \mathcal{A}$ with $|\mathcal{A}| = 256$
- ▶ in theory, 8 bits per pair
- ▶ some pairs are much more common than others!
- ▶ a lot of space can be saved by being smart

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great idea: shorter binary sequences for common symbols



however: if symbols have different lengths, we must know how to parse them!

- ▶ in English, spaces separate words → extra symbol (wasteful)
- ▶ in Morse code, pauses separate letters and words (wasteful)
- ▶ can we do away with separators?

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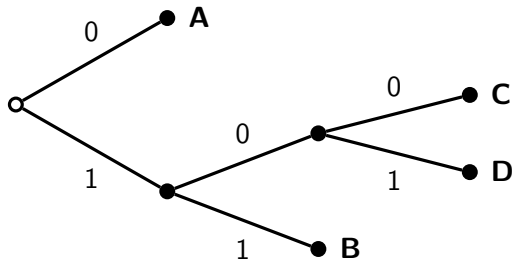
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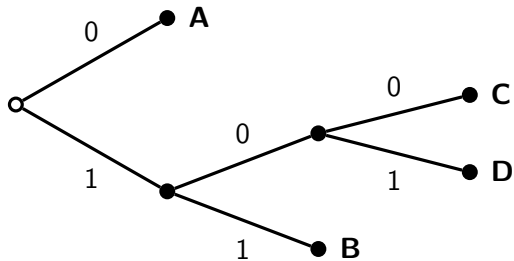
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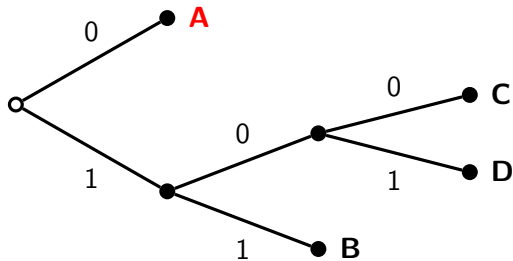
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001100110101100

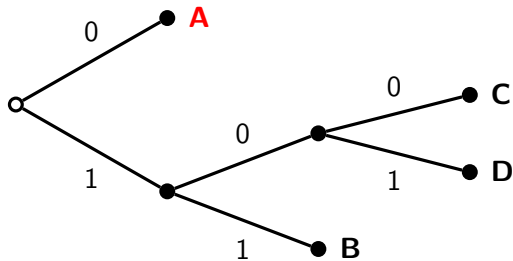


001100110101100



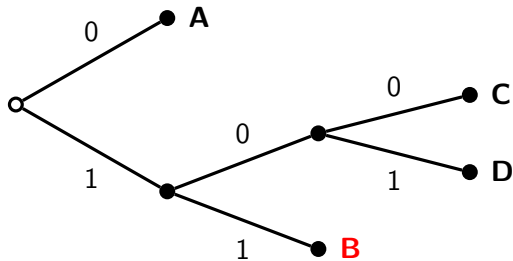
001100110101100

A



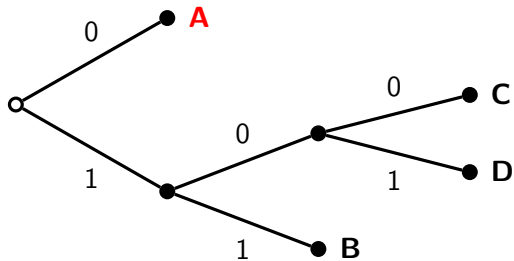
001100110101100

AA



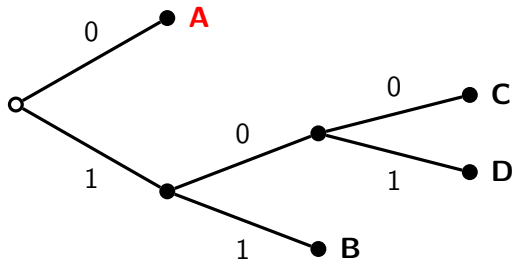
00**11**00110101100

AAB



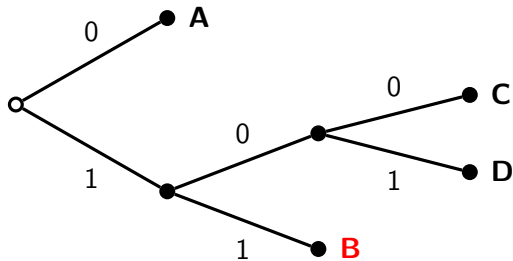
001100110101100

AABA



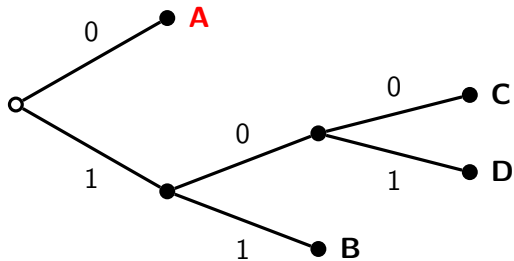
001100110101100

AABAA



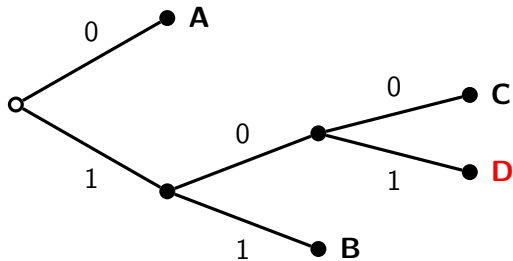
001100**1**10101100

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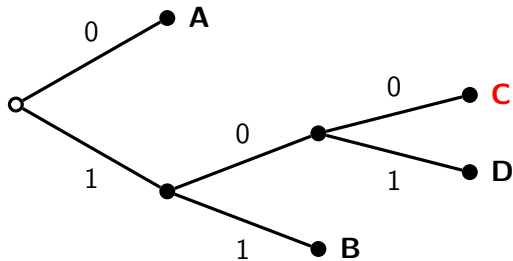
001100110101100

AABAABA



001100110101100

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001100110101100

AABAABADC

goal: minimize message length

- ▶ assign short sequences to more frequent symbols
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- ▶ four symbols: A, B, C, D

- ▶ probability table:

$$p(A) = 0.38$$

$$p(B) = 0.32$$

$$p(C) = 0.1$$

$$p(D) = 0.2$$

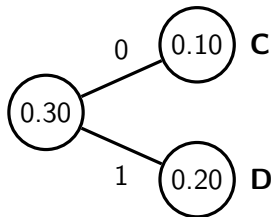
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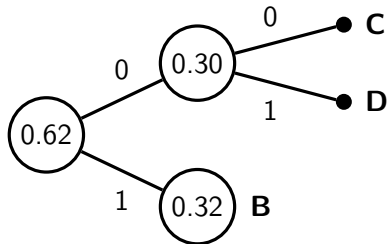
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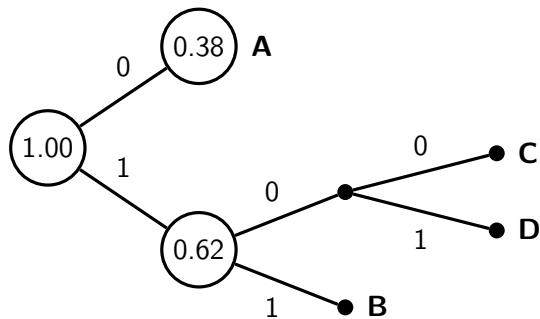
$$p(D) = 0.2$$



$$p(A) = 0.38 \quad p(B) = 0.32 \quad p(C) = 0.1 \quad p(D) = 0.2$$



$$p(A) = 0.38 \quad p(B) = 0.32 \quad p(C + D) = 0.3$$



$$p(A) = 0.38 \quad p(B + C + D) = 0.62$$

END OF MODULE 8.6

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