#### 2.3 - 2

Rewrite the MERGE procedure so that it does not use sentinels, instead stopping once either array L or R has had all its elements copied back to A and then copying the remainder of the other array back into A.

### Solution.

```
MERGE(A, p, q, r)
 1 \quad n_1 = q - p + 1
 2 \quad n_2 = r - q
 3 let L[1...n_1] and R[1...n_2] be new arrays
    for i = 1 to n_1
 5
          L[i] = A[p+i-1]
    for j = 1 to n_2

R[j] = A[q+j]
 6
 7
8
9
    j = 1
    for k = p to r
          if j > n_2 or (i \le n_1 and L[i] \le R[j])
11
12
               A[k] = L[i]
               i = i + 1
13
          else A[k] = R[j]
j = j + 1
14
15
```

# 2.3 - 3

Use mathematical induction to show that when n is an exact power of 2, the solution of the recurrence

$$T(n) = \begin{cases} 2 & \text{if } n = 2, \\ 2T(n/2) + n & \text{if } n = 2^k, \text{ for } k > 1 \end{cases}$$

is  $T(n) = n \lg n$ .

## Solution.

**Induction Basis**: When n = 2,  $T(n) = n \lg n$  holds.

**Inductive Step**: Suppose that  $T(n) = n \lg n$  holds for  $n = 2^k$ , i.e.  $T(2^k) = 2^k \lg 2^k = k \cdot 2^k$ . Then  $T(2^{k+1}) = 2T(2^k) + 2^{k+1} = k \cdot 2^{k+1} + 2^{k+1} = (k+1) \cdot 2^{k+1} = 2^{k+1} \lg 2^{k+1}$ , i.e.  $T(n) = n \lg n$  holds for  $n = 2^{k+1}$ .

## 2.3 - 4

We can express insertion sort as a recursive procedure as follows. In order to sort A[1..n], we recursively sort A[1..n-1] and then insert A[n] into the sorted array A[1..n-1]. Write a recurrence for the running time of this recursive version of insertion sort.

# Solution.

$$T(n) = \begin{cases} 0 & \text{if } n = 1\\ T(n-1) + c_1 n + c_2 & \text{if } n \ge 2 \end{cases}$$

#### 2.3-5

Referring back to the searching algorithm (see Exercise 2.1-3), observe that if the sequence A is sorted, we can check the midpoint of the sequence against v and eliminate half of the sequence from further consideration. The **binary search** algorithm repeats this procedure, halving the size of the remaining portion of the sequence each time. Write pseudocode, either iterative or recursive, for binary search. Argue that the worst-case running time of binary search is  $\Theta(\lg n)$ .

### Solution.

The following procedure takes an array A, its size n and the element to find x, and returns the index of x within A. If x is not found in A, a special value, Not-Found, is returned. The loop invariant is: If x can be found in A, it is in the subarray A[l..h].

```
BINARY-SEARCH(A, n, x)
1 l = 1
2
   h = n
3
    while l \le h
         m = \lfloor (l+h)/2 \rfloor
4
5
         if A[m] == x
6
             return m
7
         elseif A[m] < x
             l = m + 1
8
9
         else h = m - 1
10
   return Not-Found
```

The running time is linear to the number of iterations of the loop in lines 3-9. In the worst case, element x is not found in A. Each iteration reduces the size of the subarray in half<sup>1</sup>, so the loop runs  $\Theta(\lg n)$  iterations. Hence the worst-case running time is  $\Theta(\lg n)$ .

## 2.3-6

Observe that the **while** loop of lines 5-7 of the Insertion-Sort procedure in Section 2.1 uses a linear search to scan (backward) through the sorted subarray A[1..j-1]. Can we use a binary search (see Exercise 2.3-5) instead to improve the overall worst-case running time of insertion sort to  $\Theta(n \lg n)$ ?

## Solution.

No. Although we can now find the point to insert A[j] into the sorted subarray A[1..j-1] in at most  $\Theta(\lg n)$  time, in the worst case it will still take  $\Theta(n)$  time to actually insert the element.

<sup>&</sup>lt;sup>1</sup>We are being sloppy here. Actually, each iteration reduces a subarray of size m to either  $\lfloor (m-1)/2 \rfloor$  or  $\lceil (m-1)/2 \rceil$ , neither being exactly m/2. A rigorous argument will require more details.