

# On Probabilistic Generalization of Backdoors in Boolean Satisfiability\*

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## Abstract

The paper proposes a probabilistic generalization of the well-known Strong Backdoor Set (SBS) concept applied to the Boolean Satisfiability Problem (SAT). We call a set of Boolean variables  $B$  a  $\rho$ -backdoor, if for a fraction  $\rho$  of possible assignments of variables from  $B$ , assigning their values to variables in a Boolean formula in Conjunctive Normal Form (CNF) results in polynomially solvable formulas. Clearly, a  $\rho$ -backdoor with  $\rho = 1$  is an SBS. For a given set  $B$  it is possible to efficiently construct an  $(\varepsilon, \delta)$ -approximation of parameter  $\rho$  using the Monte Carlo method. Thus, we define an  $(\varepsilon, \delta)$ -SBS as such a set  $B$  for which the estimation of the parameter  $\rho$  deviates from 1 by no more than  $\varepsilon$  with probability no less than  $1 - \delta$ . We consider the problems of finding the minimum SBS and the minimum  $(\varepsilon, \delta)$ -SBS. To solve the former, one can use the algorithm described by R. Williams, C. Gomes and B. Selman in 2003. In the paper we propose a new probabilistic algorithm to solve the latter, and show that the asymptotic estimation of the worst case complexity of the proposed algorithm is significantly smaller than that of the algorithm by Williams et al. For practical applications, we suggest a metaheuristic optimization algorithm based on the penalty function method to seek the minimal  $(\varepsilon, \delta)$ -SBS. Results of computational experiments show that the use of  $(\varepsilon, \delta)$ -SBSes found by the proposed algorithm allows speeding up solving of test problems related to equivalence checking and hard crafted and combinatorial benchmarks compared to state-of-the-art SAT solvers.

## Introduction

It is well known that the Boolean Satisfiability Problem (SAT) has an extremely wide range of practical applications. Despite the NP-hardness of SAT, modern SAT solvers successfully cope with Boolean formulas of large dimensions and, as a result, can be used in diverse application areas, such as symbolic verification, bioinformatics, cryptanalysis, explainable AI, etc. In view of this, the development of new and increasing the efficiency of known SAT solving algorithms is an important and relevant area of research.

One of the natural approaches to solving SAT is the one in which the original SAT instance (hereinafter we will assume that it is in Conjunctive Normal Form, CNF) is split into a family of simpler formulas which differ from the original in that the values of some variables are fixed. Some examples of this approach are the so-called Partitioning strategy (Hyvärinen, Junttila, and Niemelä 2010; Hyvärinen 2011) and Cube-and-Conquer (Heule et al. 2012). They both work exceptionally well when combined with parallel computing, because it is possible to solve the simplified problems independently of each other, making it possible to tackle even very hard problems, see e.g. (Heule, Kullmann, and Marek 2016; Heule 2018).

An interesting observation is that it is possible to decompose a CNF formula  $C$  into a family of subformulas in such a way that each subformula can be solved by some polynomial algorithm. In the extreme case, if we use the whole set of variables in  $C$  for this purpose, then the subformulas will be easily solved by means of Unit Propagation (UP) rule (Dowling and Gallier 1984; Marques-Silva, Lynce, and Malik 2009). A more practical class of decompositions which use poly-time algorithms to solve subformulas was introduced in (Williams, Gomes, and Selman 2003) and relies on the concept of the so-called backdoor sets or “backdoors”. In particular, a Strong Backdoor Set (SBS) is such a set of variables from  $C$  that the substitution of any of their assignments into  $C$  results in a formula, for which SAT is solvable by a polynomial algorithm. Clearly, if there exists a small SBS, then it guarantees a significantly smaller hardness of the formula compared to  $p(|C|) \cdot 2^{|X|}$ , where  $X$  is the set of Boolean variables occurring in  $C$  and  $p(\cdot)$  is some polynomial. SBSes arise in diverse contexts, e.g., they often can be identified in SAT encodings representing algorithms in symbolic verification or cryptography. In these cases, the variables encoding the input of a function specified by the considered algorithm form an SBS, and their share in the set  $X$  can be as small as tenths of a percent.

A large drawback of SBSes and their variants is that in the general case, the problem of finding such a backdoor is computationally hard. A number of results that fit these issues into the context of Structural Complexity can be found in (Kilby et al. 2005; Hemaspaandra and Narváez 2017, 2019, 2021). The works (Fichte and Szeider 2011; Gaspers and Szeider 2012a,b,c; Misra et al. 2013) demonstrate the

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relationship between backdoors and basic concepts of Parameterized Complexity. The article (Ansótegui et al. 2008) notes that backdoors can be naturally used to measure the hardness of CNF formulas, and also shows the relationship between “backdoor-hardness” and other hardness measures for SAT.

In the article (Semenov et al. 2021), based on the ideas from (Ansótegui et al. 2008), a new measure of hardness of CNF was proposed with respect to an arbitrary complete deterministic SAT solver: the so-called *decomposition hardness*. The problem of evaluating the decomposition hardness in (Semenov et al. 2021) was reduced to the optimization of the pseudo-Boolean black box function, which was carried out using evolutionary algorithms. In (Semenov et al. 2018), a special class of backdoor sets was introduced that enables one to estimate the hardness of cryptographic guess-and-determine attacks (Bard 2009).

Unfortunately, even if a CNF formula has a relatively small SBS, from (Williams, Gomes, and Selman 2003) it follows that finding such an SBS may take a colossal amount of time. On the other hand, the approaches which employ metaheuristic optimization to search for good decompositions (see e.g. (Semenov et al. 2021)) suffer from the fact that the fitness functions that are used in such schemes are quite costly. In the present paper we make an attempt to alleviate both listed drawbacks by introducing a probabilistic generalization of SBS. Let us briefly list the main contributions of the paper.

I. We introduce the probabilistic generalization of SBS. In particular, we define a  $\rho$ -backdoor for  $C$  w.r.t. a polynomial algorithm  $A$  as such a subset of variables  $B \subseteq X$  that the fraction  $\rho \in [0, 1]$  of  $2^{|B|}$  subformulas resulting from fixing values of variables from  $B$  in  $C$ , can be solved by algorithm  $A$ . The main attractiveness of this notion lies in the fact that the parameter  $\rho$  can be efficiently estimated via the Monte Carlo method. Clearly, an SBS in the sense of (Williams, Gomes, and Selman 2003) is a  $\rho$ -backdoor with  $\rho = 1$ . Let  $\tilde{\rho}$  be the estimation of  $\rho$  constructed using the Monte Carlo method. Then for arbitrary  $\varepsilon, \delta \in (0, 1)$  we define an  $(\varepsilon, \delta)$ -SBS as such a set  $B$ ,  $B \subseteq X$ , that  $\tilde{\rho}$  for this set  $B$  deviates from 1 by no more than  $\varepsilon$  with probability no less than  $1 - \delta$ .

II. We describe a probabilistic algorithm for finding the minimum  $(\varepsilon, \delta)$ -SBS. In more detail, as it follows from (Williams, Gomes, and Selman 2003) and (Ansótegui et al. 2008), knowing an SBS of minimum cardinality, one can define the hardness of a CNF formula in a natural way. We will refer to such an SBS as to the minimum SBS. Likewise, for fixed  $\varepsilon, \delta \in (0, 1)$  we will refer to the  $(\varepsilon, \delta)$ -SBS of minimum cardinality as to the minimum  $(\varepsilon, \delta)$ -SBS. It is easy to show that the time complexity of the algorithm from (Williams, Gomes, and Selman 2003) when it is used for finding the minimum SBS is  $O(p(|C'|) \cdot 3^{|X|})$ , where  $p(\cdot)$  is some polynomial. At the same time, the probabilistic algorithm which we describe below constructs a set  $B$  which with probability  $\geq 1 - \delta^k$  is the minimum  $(\varepsilon, \delta)$ -SBS, and this algorithm has a time complexity  $O\left(p(|C|) \cdot k \cdot \frac{4 \ln(2/\delta)}{\varepsilon^2} \cdot 2^{|X|}\right)$ , where  $k \in N$  is a constant which does not depend on the size of the CNF formula  $C$ .

III. For practical applications we propose a computational algorithm for finding  $\rho$ -backdoors, which are similar to minimum  $(\varepsilon, \delta)$ -SBSes, and we refer to such backdoors as *minimal  $(\varepsilon, \delta)$ -SBSes*. The algorithm is based on the ideas used in metaheuristic black-box optimization. In particular, we consider the problem of finding a minimal  $(\varepsilon, \delta)$ -SBS as the problem of minimization of a special pseudo-Boolean black-box function (*fitness function*). When defining this fitness function, we used the well-known penalty function method (Nocedal and Wright 2006). We use evolutionary algorithms to minimize this function.

IV. In the computational experiments we demonstrate the practical effectiveness of the developed black box optimization algorithm in application to searching for minimal  $(\varepsilon, \delta)$ -SBSes. Also, we show that by exploiting the found sets it is possible to significantly speed up state-of-the-art SAT solvers on hard SAT instances.

## Preliminaries

The SAT problem consists in determining the satisfiability of an arbitrary Boolean formula. In the context of SAT it is usually convenient to consider Boolean formulas in CNF. A CNF is a conjunction of clauses, clauses are disjunctions of literals, and literals are formulas  $x$  and  $\neg x$ , where  $x$  is a Boolean variable. For an arbitrary CNF formula  $C$  over the set of Boolean variables  $X$  we will refer to an arbitrary mapping  $\alpha: X \rightarrow \{0, 1\}$  as an *assignment* of variables from  $X$ . An assignment  $\alpha$  that makes  $C$  true is called a *satisfying assignment*. If there exists a satisfying assignment for  $C$ , then  $C$  is called *satisfiable*, otherwise it is called *unsatisfiable*. SAT w.r.t. an arbitrary CNF formula consists in answering the question whether  $C$  is satisfiable or not.

Consider an arbitrary CNF formula  $C$  over the set of Boolean variables  $X$ . For an arbitrary  $B \subseteq X$  denote the set of all assignments of variables from  $B$  by  $\{0, 1\}^{|B|}$ . Next, denote by  $C[\beta/B]$  the CNF formula obtained from  $C$  by substituting the values  $\beta \in \{0, 1\}^{|B|}$  to variables from  $B$ .

It may turn out that for some  $B$  and  $\beta \in \{0, 1\}^{|B|}$ , SAT for  $C[\beta/B]$  is decidable by some polynomial algorithm  $A$ . For example, this is the case if  $C[\beta/B]$  belongs to some Schaefer’s class (Schaefer 1978). In the general case, it is a very rare situation when  $A$  is able to solve SAT for  $C[\beta/B]$  for any possible  $\beta$ . For example, consider the iterative application of UP as  $A$ . For some  $\beta$ -s, UP may output a satisfying assignment for  $C$  or prove unsatisfiability of  $C[\beta/B]$ , but for other  $\beta$ -s the result will be “Unknown”, indicating that UP is not powerful enough for the considered CNF formula and cannot decide the satisfiability of corresponding  $C[\beta/B]$ .

Hereinafter,  $C[\beta/B] \in S(A)$  denotes that SAT for  $C[\beta/B]$  is solvable by some polynomial algorithm (often referred to as *subsolver*)  $A$ . The basic ideas used below go back to the article (Williams, Gomes, and Selman 2003) which introduced the concept of *backdoors* to Constraint Satisfaction Problems.

**Definition 1** ((Williams, Gomes, and Selman 2003)). Let  $C$  be an arbitrary CNF formula over the set of Boolean variables  $X$ . We call the subset  $B \subseteq X$  a strong backdoor

set (SBS) for  $C$  w.r.t. polynomial algorithm  $A$ , if for any  $\beta \in \{0, 1\}^{|B|}$  the following holds:  $C[\beta/B] \in S(A)$ .

The article (Williams, Gomes, and Selman 2003) proposed an algorithm for finding SBSes that can be used to solve SAT for an arbitrary CNF formula. This algorithm processes the subsets of the set of variables  $X$  of increasing cardinality. For each such subset  $B \subseteq X$  it checks whether  $B$  is an SBS. In the worst case, the corresponding procedure needs to check if  $C[\beta/B] \in S(A)$  for all  $\beta \in \{0, 1\}^{|B|}$ . The complexity of solving SAT using the Williams et al. algorithm under the assumption that  $C$  has an SBS  $B$ :  $|B| \leq |X|/2$  is bounded from above by  $p(|C|) \left(2^{|X|/\sqrt{|B|}}\right)^{|B|}$ , where  $p(\cdot)$  is some polynomial.

In the paper (Ansótegui et al. 2008) it was noted that a particular SBS can be used to construct an estimate of CNF formula hardness. The following definition directly follows from the results of (Ansótegui et al. 2008).

**Definition 2** (backdoor-hardness). Let  $C$  be an arbitrary unsatisfiable CNF formula and  $B$  be an arbitrary SBS for  $C$  w.r.t. a polynomial algorithm  $A$ . Denote the total runtime of  $A$  on CNF formulas  $\{C[\beta/B] \mid \beta \in \{0, 1\}^{|B|}\}$  by  $\tau_{B,A}(C)$ . The backdoor hardness of  $C$  w.r.t.  $A$  is specified as  $\tau_A(C) = \min_{B \in 2^X} \tau_{B,A}(C)$ , where the minimum is taken among all possible SBSes for  $C$  w.r.t.  $A$ .

In the next section we describe the proposed probabilistic generalization of the SBS and its theoretical properties.

## Probabilistic Backdoors

Let us start by introducing the notion of  $\rho$ -backdoors.

**Definition 3** ( $\rho$ -backdoor). Let  $C$  be a CNF formula over Boolean variables  $X$  and let  $A$  be some polynomial algorithm. The set  $B \subseteq X$  is called a  $\rho$ -backdoor ( $\rho \in [0, 1]$ ) w.r.t.  $A$  if the fraction of CNF formulas  $C[\beta/B]$  that belong to  $S(A)$  over all possible  $\beta \in \{0, 1\}^{|B|}$  is at least  $\rho$ .

It is easy to see that a  $\rho$ -backdoor with  $\rho = 1$  is an SBS in the sense of (Williams, Gomes, and Selman 2003). The issue with  $\rho$  is that to compute its value it is necessary to process all  $2^{|B|}$  CNF formulas  $C[\beta/B]$ . However, below we show that to estimate this parameter, we can use the Monte Carlo method (Metropolis and Ulam 1949). More precisely, we build the so-called  $(\varepsilon, \delta)$ -approximations of  $\rho$  by observing realizations of independent probabilistic experiments.

### On $(\varepsilon, \delta)$ -approximations for $\rho$ -backdoors

The approach developed further is conceptually close to the one used in (Karp and Luby 1983; Karp, Luby, and Madras 1989) for solving the Counting SAT (namely, #DNF) problem. In the latter paper, the term “probabilistic  $(\varepsilon, \delta)$ -approximation algorithm” was used. Assume that we observe a random variable  $\xi$ , and want to estimate its characteristic  $\lambda$  (e.g. expected value). Suppose that  $\lambda$  is estimated using the value  $\tilde{\lambda}$ , constructed on the basis of observations of  $\xi$  in independent probabilistic experiments. Then, for given  $\varepsilon, \delta \in (0, 1)$ , the value  $\tilde{\lambda}$  is called an  $(\varepsilon, \delta)$ -approximation

of  $\lambda$  if the following relation holds:

$$\Pr \left[ (1 - \varepsilon) \cdot \lambda \leq \tilde{\lambda} \leq (1 + \varepsilon) \cdot \lambda \right] \geq 1 - \delta, \quad (1)$$

or, equivalently,  $\Pr \left[ |\tilde{\lambda} - \lambda| \leq \varepsilon \cdot \lambda \right] \geq 1 - \delta$ . Values  $\varepsilon$  and  $1 - \delta$  are called *tolerance* and *confidence level*, respectively.

In some cases, for relating  $\lambda$  and  $\tilde{\lambda}$  it is convenient to use a condition that is, in general, weaker than (1):

$$\Pr \left[ |\tilde{\lambda} - \lambda| \leq \varepsilon \right] \geq 1 - \delta. \quad (2)$$

If (2) holds for some fixed  $\varepsilon, \delta \in (0, 1)$ , then we will call  $\tilde{\lambda}$  the *weak  $(\varepsilon, \delta)$ -approximation* of  $\lambda$ .

As we will see later, the random variables for which we estimate parameters are Bernoulli variables: they take values from  $\{0, 1\}$ . In this case, to construct specific estimates of the form (1) and (2), we will use the well-known Chernoff’s inequality (Motwani and Raghavan 1995; Goldreich 2008; Arora and Barak 2009). It should be noted that the form of this inequality varies greatly from source to source. Further, we use Chernoff’s inequality in a form that is close to the one considered in (Karp, Luby, and Madras 1989).

**Theorem 1** (simple forms of Chernoff’s bound<sup>1</sup>). *Let  $\xi_1, \dots, \xi_N$  be independent, identically distributed Bernoulli random variables with success probability  $\rho$ . Then, for any  $\varepsilon : 0 < \varepsilon \leq 2$ , the following inequalities hold:*

$$\Pr \left[ \left| \frac{1}{N} \sum_{j=1}^N \xi_j - \rho \right| < \varepsilon \cdot \rho \right] \geq 1 - 2 \cdot e^{-N\rho\varepsilon^2/4},$$

$$\Pr \left[ \left| \frac{1}{N} \sum_{j=1}^N \xi_j - \rho \right| < \varepsilon \right] \geq 1 - 2 \cdot e^{-N\varepsilon^2/4}.$$

Thus, the mean value over a series of independent observations of a Bernoulli random variable can be used as an  $(\varepsilon, \delta)$ -approximation or a weak  $(\varepsilon, \delta)$ -approximation of the probability of success (expected value) of a random variable.

A basic observation used below is that we can efficiently construct weak  $(\varepsilon, \delta)$ -approximations for parameter  $\rho$ . Indeed, consider SAT for an arbitrary CNF formula  $C$  over the set of variables  $X$  and let  $B, B \subseteq X$ , be some  $\rho$ -backdoor w.r.t. some polynomial algorithm  $A$ . Let us define on  $\{0, 1\}^{|B|}$  a uniform distribution and associate with each  $\beta \in \{0, 1\}^{|B|}$  the value of the following random variable  $\xi_B$ :

$$\xi_B(\beta) = \begin{cases} 1, & \text{if } C[\beta/B] \in S(A); \\ 0, & \text{if } C[\beta/B] \notin S(A). \end{cases}$$

Clearly,  $\xi_B$  is a Bernoulli random variable with success probability  $\rho = E[\xi_B]$ . Then, Theorem 1 implies the following fact: if we fix arbitrary  $\varepsilon, \delta \in (0, 1)$ , then the runtime of calculating the value  $\tilde{\rho}$  for which  $\Pr \left[ |\rho - \tilde{\rho}| < \varepsilon \right] \geq 1 - \delta$  will be bounded from above by  $\text{poly}(|C|) \cdot \frac{4 \ln(2/\delta)}{\varepsilon^2}$ .

<sup>1</sup>For proof, see <https://github.com/ctlab/evoguess/releases/download/v2.0.0/AAAI22.Technical.appendix.pdf>

It should be noted that one can attempt to use a  $\rho$ -backdoor  $B$  with  $\rho$  close to 1 in a similar fashion to an ordinary SBS: indeed, in this case, to solve SAT for the overwhelming majority of CNF formulas of the form  $C[\beta/B] \in S(A)$  it is sufficient to use the polynomial algorithm  $A$ , and tackle the remaining “hard” CNF formulas  $C[\beta/B] \notin S(A)$  by a complete SAT solving algorithm.

Thus, from a practical point of view,  $\rho$ -backdoors with  $\rho$  close to 1 appear to be the most useful, and below we focus on ways to construct them. Next, we introduce the notion of  $(\varepsilon, \delta)$ -SBS. Note that the concepts of  $(\varepsilon, \delta)$ -approximation and weak  $(\varepsilon, \delta)$ -approximation for  $\rho = 1$  are equivalent.

**Definition 4** ( $(\varepsilon, \delta)$ -SBS). For fixed  $\varepsilon, \delta \in (0, 1)$ , an  $(\varepsilon, \delta)$ -SBS (w.r.t. polynomial algorithm  $A$ ) is a set  $B, B \subseteq X$ , such that for an arbitrary  $N \geq \frac{4 \ln(2/\delta)}{\varepsilon^2}$  the following condition holds:

$$\Pr \left[ 1 - \varepsilon < \frac{1}{N} \sum_{j=1}^N \xi_j \right] \geq 1 - \delta, \quad (3)$$

where  $\xi_j, j \in \{1, \dots, N\}$ , are independent observations of the random variable  $\xi_B$  defined above.

The main motivation of Definition 4 is as follows. Theorem 1 implies that for any  $N \geq \frac{4 \ln(2/\delta)}{\varepsilon^2}$ , the value of  $\tilde{\rho} = 1/N \cdot \sum_{j=1}^N \xi_j$  deviates from  $\rho$  by no more than  $\varepsilon$  with probability at least  $1 - \delta$ . Therefore,  $B$  is a  $(\varepsilon, \delta)$ -SBS if the statistical approximation of  $\rho$  deviates from 1 by a value not exceeding  $\varepsilon$  with probability at least  $1 - \delta$ .

Below we consider the problem of searching for the minimum SBS which plays the crucial role in the definition of backdoor-hardness (see Definition 2), and the problem of searching for an  $(\varepsilon, \delta)$ -approximation of such an SBS.

## Finding the Minimum SBS

Let us refer to an SBS with minimum cardinality as to the *minimum SBS*, and to the set  $B \subseteq X$  of the smallest cardinality, which for given  $\varepsilon, \delta \in (0, 1)$  is an  $(\varepsilon, \delta)$ -SBS, as to the *minimum  $(\varepsilon, \delta)$ -SBS*. Although the problem of finding the minimum SBS was not considered directly in (Williams, Gomes, and Selman 2003), the algorithm described in that paper can be used to solve it. This algorithm sequentially iterates over all subsets in  $X$  of increasing cardinality: first, sets of one variable, then of two variables, etc. For each subset, the algorithm checks whether it is an SBS or not. Clearly, the first SBS to pass this check is the minimum SBS. The following fact holds.

**Theorem 2.** *The time complexity of the algorithm for finding the minimum SBS from (Williams, Gomes, and Selman 2003) is  $\mathcal{O}(p(|C|) \cdot 3^{|X|})$  for some polynomial  $p(\cdot)$ .*

*Proof.* The worst case corresponds to the situation when the whole set  $X$  is the only SBS. In this case, the algorithm sequentially enumerates all sets with cardinality from 1 to  $|X|$ . Suppose that for each set  $B$  of cardinality  $i, i < |X|$ , the algorithm checks almost all vectors in  $\{0, 1\}^i$  before verifying that  $B$  is not an SBS. In this case, the number of performed operations will be close to the following value:

$$p(|C|) \cdot \sum_{i=1}^{|X|} \binom{|X|}{i} \cdot 2^i = \mathcal{O} \left( p(|C|) \cdot (2+1)^{|X|} \right),$$

where  $p(\cdot)$  is a polynomial that bounds the complexity of substituting an assignment of variables from  $B$  into  $C$ .  $\square$

The next theorem shows that, in comparison with the minimum SBS, the search for the minimum  $(\varepsilon, \delta)$ -SBS can be performed much more efficiently.

**Theorem 3.** *There exists a probabilistic algorithm that for arbitrary  $\varepsilon, \delta \in (0, 1)$ ,  $k \in \mathbb{N}$ , and some polynomial  $p(\cdot)$ , finds the set  $B_*$  which with probability at least  $1 - \delta^k$  is the minimum  $(\varepsilon, \delta)$ -SBS, and the time complexity of this algorithm is  $\mathcal{O} \left( p(|C|) \cdot k \cdot \frac{4 \ln(2/\delta)}{\varepsilon^2} \cdot 2^{|X|} \right)$ .*

*Proof.* The algorithm from the theorem statement consists of  $k$  independent runs. In each run, similar to the algorithm from (Williams, Gomes, and Selman 2003), sets of sequentially increasing cardinality  $(1, 2, 3, \dots)$  are enumerated. Fix  $\varepsilon, \delta \in (0, 1)$  and some  $N \geq \frac{4 \ln(2/\delta)}{\varepsilon^2}$ . If for  $B \in 2^X$  we have  $N \geq 2^{|B|}$ , then we check if for every  $\beta \in \{0, 1\}^{|B|}$  it holds that  $C[\beta/B] \in S(A)$ . If in the first run the algorithm found an SBS  $B$  such that  $2^{|B|} \leq N$ , it terminates and outputs  $B$  as the answer. This is the trivial case.

Next, suppose that an  $(\varepsilon, \delta)$ -SBS  $B: 2^{|B|} \leq N$  does not exist. For each  $B: 2^{|B|} > N$  the algorithm builds a weak  $(\varepsilon, \delta)$ -approximation of parameter  $\rho$ :  $N$  independent observations of random variable  $\xi_B$  are made, and the approximation is computed as  $\tilde{\rho}_B = \frac{1}{N} \cdot \sum_{j=1}^N \xi_j$ . If for some  $B'$  we have  $\tilde{\rho}_{B'} \in [1 - \varepsilon, 1]$ , the algorithm returns  $B'$  and terminates.

Let  $B_1, \dots, B_k$  be the sets returned by the described algorithm in  $k$  independent runs, and let  $B_*$  be the set with the smallest cardinality among them. Then, we conclude that  $B_*$  is the minimum  $(\varepsilon, \delta)$ -SBS.

Let us now estimate the probability that this conclusion is wrong. Suppose that  $B_*$  is not the minimum result, i.e. there exists an  $(\varepsilon, \delta)$ -SBS  $\tilde{B}$  such that  $|\tilde{B}| < |B_*|$ . But then the probability of not finding  $\tilde{B}$  in any of  $k$  independent runs of the described algorithm is at most  $\delta^k$ . This is exactly the probability of the aforementioned conclusion being wrong. Finally,  $B_*$  is the minimum  $(\varepsilon, \delta)$ -SBS with probability at least  $1 - \delta^k$ . It is easy to see that the complexity of the described algorithm is:

$$\mathcal{O} \left( p(|C|) \cdot k \cdot \frac{4 \ln(2/\delta)}{\varepsilon^2} \cdot \sum_{i=1}^{|X|} \binom{|X|}{i} \right).$$

Thus, the statement of the theorem holds.  $\square$

## Using Several Backdoors to Solve SAT

If  $B$  is some  $\rho$ -backdoor with  $\rho$  close to 1, e.g. an  $(\varepsilon, \delta)$ -SBS, then a natural way of using  $B$  to increase the efficiency of solving SAT for formula  $C$  is the following: we solve most of the problems  $C[\beta/B]$  (for which  $C[\beta/B] \in S(A)$ )

using a polynomial algorithm  $A$ , and to the remaining small number of “hard” problems  $C[\beta/B] \notin S(A)$  we apply some complete SAT solver. However, we do not know anything in advance about the “hard” problems. They may well turn out to be too difficult and then the use of the backdoor may not be beneficial.

Imagine now that we have a set of several different  $(\varepsilon, \delta)$ -SBSes:  $\Delta = \{B_1, \dots, B_s\}$ . For each  $i \in \{1, \dots, s\}$  we can solve SAT using the polynomial algorithm  $A$  for CNF formulas  $C[\beta/B_i] \in S(A)$ . Denote by  $\Gamma_i$  the set of all  $\beta \in \{0, 1\}^{|B_i|}$  such that  $C[\beta/B_i] \notin S(A)$ . Construct the Cartesian product of all such sets  $\Gamma_i$ :  $\Gamma = \Gamma_1 \times \dots \times \Gamma_s$ , and consider an arbitrary CNF formula  $C[\gamma]$ ,  $\gamma \in \Gamma$ , derived from  $C$  by substituting the values  $\gamma$  of variables from  $\Delta$ .

Note that, in general, much more information is substituted into  $C[\gamma]$  than into each formula  $C[\beta/B_i]$ ,  $i \in \{1, \dots, s\}$ : indeed, if  $B_i \cap B_j = \emptyset$  for any  $i, j \in \{1, \dots, s\}$ ,  $i \neq j$ , then each CNF formula  $C[\gamma]$ ,  $\gamma \in \Gamma$ , is derived from  $C$  by substituting values of  $\sum_{i=1}^s |B_i|$  variables. It is not hard to see that  $C$  is unsatisfiable if and only if all formulas  $C[\beta/B_i] \in S(A)$  for all  $i \in \{1, \dots, s\}$  are unsatisfiable, and also all formulas  $C[\gamma]$ ,  $\gamma \in \Gamma$ , are unsatisfiable. If  $|\Gamma|$  is relatively small (for small  $\varepsilon$ ), the total complexity of checking all of the above cases can be significantly smaller than the SAT solving time for the original CNF formula.

Also note that in practice backdoors may intersect: there may exist such  $B_i$  and  $B_j$  that  $B_i \cap B_j \neq \emptyset$  for some  $i, j \in \{1, \dots, s\}$ ,  $i \neq j$ . In this case,  $\Gamma$  will contain some assignments  $\gamma$  with contradictory literals of the common variables. However, these cases are almost instantly processed by a SAT solver and do not require any special treatment.

In fact, one can view the set of variables  $\tilde{B} = \bigcup_{i=1}^s B_i$  as some  $\rho$ -backdoor, for which we construct the set of problems  $C[\beta/\tilde{B}] \in S(A)$  in a compound fashion because the size of  $\tilde{B}$  is too large to do it the usual way.

## Searching for Probabilistic Backdoors via Black-Box Optimization

In this section, we formulate basic ideas behind the algorithms for seeking  $(\varepsilon, \delta)$ -SBSes, which can be applied to practical SAT instances. The algorithm for finding the minimum  $(\varepsilon, \delta)$ -SBS described above is of mostly theoretical interest. For practical applications of the probabilistic generalization of SBS introduced above, we need to make the following steps. First, instead of enumerating all possible backdoors of increasing size, we will employ the strategies used in metaheuristic optimization (Luke 2015). Second, we introduce a special *fitness function* which uses the statistical estimation of  $\rho$ . Then, we can minimize this function over a Boolean hypercube using metaheuristic optimization algorithms. This process can be interrupted once the number of iterations exceeds some limit. Finally, we refer to a set  $B$  (viewing it as a point of a hypercube) with the minimal value of the fitness function w.r.t fixed  $\varepsilon, \delta \in (0, 1)$  and  $N \geq \frac{4 \ln(2/\delta)}{\varepsilon^2}$  as to a *minimal*  $(\varepsilon, \delta)$ -SBS.

Consider  $2^X$ , the set of all subsets of  $X$ . Each  $B \in 2^X$  can be represented as a Boolean vector  $\lambda_B$  of length  $|X|$ .

Thus, the search space  $\{0, 1\}^{|X|}$  consisting of all such vectors is formed. If some  $x_i \in X$  belongs to  $B$ , then the  $i$ -th coordinate in vector  $\lambda_B$  equals 1, otherwise it equals 0.

## Fitness function

In our case, the fitness function takes as input the CNF formula  $C$ , the polynomial algorithm  $A$ , and the vector  $\lambda_B$  representing a  $\rho$ -backdoor, for which we can estimate the value of  $\rho$ . In the problem of finding a minimal  $(\varepsilon, \delta)$ -SBS, we have two optimization criteria of equal importance, the size of the set and the (estimated) value of  $\rho$  ( $\tilde{\rho}$ ): the smaller the size of the set and the larger the value of  $\tilde{\rho}$ , the better. In addition, for backdoors of equal size we want to introduce a heavy penalty for the ones with lower estimated values of  $\rho$ .

Summing up, our fitness function is computed in the following way. For an arbitrary  $\lambda_B$  we first construct the set  $B$  specified by this vector, and generate the random sample  $\beta_1, \dots, \beta_N \in \{0, 1\}^{|B|}$  w.r.t. the uniform distribution defined on  $\{0, 1\}^{|B|}$ . Next, we calculate  $\tilde{\rho}_B = (\sum_{i=1}^N \xi_i)/N$  using algorithm  $A$ . The fitness function has the form:

$$F_{C,A,N}(\lambda_B) = \tilde{\rho}_B \cdot 2^{|B|} + G_{C,A,N}(\lambda_B). \quad (4)$$

Here,  $G_{C,A,N}(\lambda_B)$  is a penalty function (Nocedal and Wright 2006) whose value sharply increases if the random sample  $\beta_1, \dots, \beta_N$  contains at least one  $\beta \in \{0, 1\}^{|B|}$  such that  $C[\beta/B] \notin S(A)$ . When  $\rho = 1$ , i.e. when  $B$  is an SBS, the value of (4) must be equal to the number of all possible assignments of variables from this SBS. Also if for all  $\beta_1, \dots, \beta_N$  we have  $C[\beta/B] \notin S(A)$ ,  $j \in \{1, \dots, N\}$ , then it is reasonable to consider the corresponding  $\lambda_B$  as unpromising. Taking this into account, in the experiments we used penalty functions of the following form:

$$G_{C,A,N}(\lambda_B) = \begin{cases} (1 - \tilde{\rho}_B) \cdot 2^{\omega|X|}, & \text{if } \tilde{\rho}_B > 0; \\ \infty, & \text{if } \tilde{\rho}_B = 0. \end{cases} \quad (5)$$

where  $\omega \in [0, 1]$  is a parameter which can be heuristically selected for each specific CNF formula.

Additionally note that (4) is a multivalued function: for  $\lambda_B$  we can generate different random samples and the corresponding values of (4) may differ.

## Used black-box optimization algorithms

The fitness function (4) is a pseudo-Boolean black-box function for which no analytical properties are known. Thus, to minimize it, one can apply any algorithms used in metaheuristic optimization (Luke 2015). In our experiments, we used the well-known  $(1+1)$ -evolutionary algorithm  $((1+1)$ -EA) (Mühlenbein 1992; Droste, Jansen, and Wegener 2002) and also one variation of the genetic algorithm (GA).

$(1+1)$ -EA is based on the idea of random mutation. The mutated individual is an arbitrary Boolean vector  $\alpha \in \{0, 1\}^n$ , where each bit is independently flipped with a fixed probability. Usually, the probability of mutation is set to  $p = \frac{1}{n}$ . In this case, the expected value of the number of flipped bits during a single mutation of  $\alpha$  is 1, and thus, on average  $(1+1)$ -EA performs in a similar fashion to the Hill Climbing local search algorithm (Russell and Norvig 2010).

However, unlike Hill Climbing,  $(1 + 1)$ -EA has a non-zero probability of moving to an arbitrary point from  $\{0, 1\}^n$ .

$(1 + 1)$ -EA is extremely inefficient in the worst case scenario (Droste, Jansen, and Wegener 2002). However, in many practical cases it can be surprisingly efficient. There is a number of modifications of  $(1 + 1)$ -EA which have significantly better worst-case estimations. One of such well-known modifications is  $(1 + 1)$ -Fast Evolutionary Algorithm ( $(1 + 1)$ -FEA), described in (Doerr et al. 2017).

In our computational experiments, the best results were obtained using one variant of a GA, which used a mutation operator proposed in (Doerr et al. 2017). The GA works with a population consisting of several vectors  $\lambda_B \in \{0, 1\}^{|X|}$  representing some  $\rho$ -backdoors. Let  $P_{\text{curr}} = \{\lambda_{B_1}, \dots, \lambda_{B_Q}\}$  be the current population of the GA. The next population  $P_{\text{new}}$  such that  $|P_{\text{curr}}| = |P_{\text{new}}| = Q$  is constructed in the following way. The population  $P_{\text{curr}}$  is associated with a distribution  $D_{\text{curr}} = \{p_1, \dots, p_Q\}$ :

$$p_i = \frac{1/F_{C,A,N}(\lambda_{B_i})}{\sum_{j=1}^Q (1/F_{C,A,N}(\lambda_{B_j}))}, i \in \{1, \dots, Q\}.$$

The algorithm selects individuals from  $P_{\text{curr}}$  randomly and independently according to the distribution  $D_{\text{curr}}$ , and applies the standard two-point crossover (Luke 2015) to each selected pair, producing a pair of child individuals. Afterwards, a mutation operator is applied to both children. Then, the constructed set of individuals is extended with  $H$  individuals from  $P_{\text{curr}}$  which have the best values of the fitness function. This step corresponds to the elitism concept (Luke 2015). At the same time, we need to guarantee that the following condition holds:  $G + H = Q$ . As a result, we have a new population  $P_{\text{new}}$ . In the experiments, we used  $Q = 8$ ,  $H = 2$ .

## Computational Experiments

In all computational experiments, we used the Unit Propagation rule as the polynomial algorithm  $A$  for identifying subproblems  $C[\beta/B]$  such that  $C[\beta/B] \in S(A)$ , and used modern CDCL SAT solvers to solve SAT for CNF formulas  $C[\beta/B] \notin S(A)$ . To find good  $(\varepsilon, \delta)$ -SBSes ( $\rho$ -backdoors with  $\rho$  close to 1), we minimized the function (4) using the algorithm described in the previous section.

We experimented with two approaches to selecting the initial candidate solution: 1) start the search from the set  $B = X$ , and 2) start the search from an empty set  $B = \emptyset$ . In the first case, the algorithm always starts discarding some variables, lowering the cardinality of  $B$  (during a series of initial iterations,  $\tilde{\rho} = 1$ ). In the second case, on the contrary, the algorithm starts adding new variables to the current backdoor, and thus, for some initial iterations we have  $\tilde{\rho} = 0$ .

The strategy 2 is well-adapted to cases when a small  $(\varepsilon, \delta)$ -SBS exists: such a backdoor can be found quite rapidly. The other strategy requires more computational resources, but sometimes allows finding backdoors with  $\rho$  much closer to 1 than in the case of strategy 2.

Note that the use of weak  $(\varepsilon, \delta)$ -approximation allows one to a priori guarantee any level of estimation accuracy for  $\rho$ , regardless of the size of the considered backdoor. Indeed,

e.g., for making probabilistic conclusions of the mentioned form with parameters  $\varepsilon = 0.01$  and  $\delta = 0.1$ , it is sufficient to use a random sample size  $\geq 10^4 \cdot 4 \ln 20 \approx 1.2 \cdot 10^5$ . In the reported experiments we started the search from the empty backdoor (strategy 2), and initially used random samples of size of  $N = 4000$ : for small backdoors  $B$  ( $|B| \leq 11$ ) that are generated in the early stages of the algorithm, this value provides an exact computation of  $\rho$ . We also doubled the value of  $N$  if for the current value we had  $\tilde{\rho}_B = 1$ , at the same time keeping  $N$  within the theoretical bound calculated above. When the algorithm terminated, we ensured the exact calculation of  $\rho$  for any constructed backdoor  $B$  by solving all  $2^{|B|}$  subformulas with a UP solver (in the experiments, the resulting  $|B|$  was quite small).

Note that a considerable advantage of the proposed approach over other conceptually similar ones, e.g. (Semenov and Zaikin 2016; Kochemazov and Zaikin 2018; Semenov et al. 2021), is that computing functions (4) is cheap: in the experiments, strategy 2 gave good results even for formulas with several thousand variables.

## Implementation details

The proposed approach was implemented in Python in the form of a multi-threaded application EvoGuess<sup>2</sup>, using PySAT (Ignatiev, Morgado, and Marques-Silva 2018) for interfacing with SAT solvers. We used incremental SAT solvers that are available in PySAT: namely, we mainly ran Glucose 3.0 (g3), Glucose 4.1 (g4), and CaDiCaL 1.0.3 (cd), though we also used Minisat 2.2 (m22) in one experiment. Preliminary experiments were done using one node of the HPC-cluster “Academician V.M. Matrosov”<sup>3</sup> (with two 18-core Intel Xeon E5-2695 CPUs). For main experiments, we used one node of a computing cluster in ITMO University equipped with an Intel Xeon Gold 6248R CPU @ 3.00 GHz.

## Benchmarks

In the experiments, we considered several classes of unsatisfiable CNF formulas. This choice is motivated by the fact that for satisfiable formulas the behavior of SAT solvers is highly irregular: in some cases, the algorithm can get “lucky” and find a satisfying assignment very quickly, and in other ones can run much longer. The first set of benchmarks belongs to the general class of equivalence checking instances (Kuehlmann and Krohm 1997; Molitor and Mohnke 2007). Essentially, they consist in the following. Two discrete functions are considered:  $f : \{0, 1\}^n \rightarrow \{0, 1\}^m$  and  $g : \{0, 1\}^n \rightarrow \{0, 1\}^m$ . Assume that they are defined by Boolean circuits  $S_f$  and  $S_g$ . The goal is to prove either that  $f$  and  $g$  implement the same function, i.e. that  $f \cong g$  (pointwise equality is implied), or to refute this assumption. In the first case,  $S_f$  and  $S_g$  are called equivalent. We considered the equivalence checking problem for circuits  $S_f$ ,  $S_g$ , where  $f$  and  $g$  are different algorithms for sorting  $d$  arbitrary  $l$ -bit natural numbers, i.e.  $f, g : \{0, 1\}^k \rightarrow \{0, 1\}^k$ , where  $k = d \cdot l$ . In the role of  $f$  and  $g$ , we used the functions defined by sorting algorithms: Bubble sorting, Selec-

<sup>2</sup><https://github.com/ctlab/EvoGuess/releases/tag/v2.0.0>

<sup>3</sup>Irkutsk Supercomputer Center of SB RAS, <http://hpc.icc.ru>

Instance	$ X $	g3	g4	cd
PvS <sub>4,7</sub>	1213	936	1440	736
BvP <sub>4,8</sub>	1315	3232	3325	1287
BvP <sub>6,7</sub>	1558	1225	1533	526
BvS <sub>7,7</sub>	2007	2342	1621	606
pmg12	190	> 72h	> 72h	41915
par9	162	> 24h	> 24h	39541
sgen <sub>100</sub> <sup>150</sup>	150	11365	2365	3139
PHP <sub>13,12</sub>	156	8496	14196	164
PHP <sub>15,14</sub>	210	> 24h	> 24h	2543

Table 1: Solving times in seconds of the considered CNF formulas by SAT solvers g3, g4, cd

tion sorting (Cormen, Leiserson, and Rivest 1990), and Pancake sorting (Gates and Papadimitriou 1979). The corresponding circuits were constructed in form of And-Inverter Graphs (over the basis  $\{\neg, \wedge\}$ ). Below, we refer to the constructed instances as to PvS<sub>a,1</sub> when the considered problem encodes the equivalence of Pancake sorting and Selection sorting, BvP<sub>a,1</sub> for Bubble sorting vs Pancake sorting, and BvS<sub>a,1</sub> in case of Bubble sorting vs Selection sorting.

We also considered some crafted tests: sgen (Spence 2015, 2017), Pigeonhole Principle formulas (PHP), Parity principle (par9), and pmg12 from SAT competition. Table 1 shows solving times of the considered CNF formulas with selected SAT solvers.

### Finding probabilistic backdoors

In this section, we report the main experimental results on finding  $\rho$ -backdoors. In each experiment, for each CNF formula we 1) simplified the formula with SatELite/Minisat, 2) ran the backdoor search using the proposed approach (initializing with the empty backdoor, i.e. strategy 2), and then 3) solved the weakened subformulas  $C[\beta/B]$  for the best found (according to  $\tilde{\rho}$ )  $\rho$ -backdoor  $B$  using different SAT solvers.

As a result of the last step, we calculated for each SAT solver  $A$  and each  $\rho$ -backdoor  $B$  the ratio  $r_{B,A}$  further referred to as the *decomposition rate*: the time used to solve the formula with the backdoor  $B$  (via solving all weakened formulas  $C[\beta/B]$  with solver  $A$ ) divided by the time used to solve the original CNF formula with the same solver. Cases when  $r_{B,A} < 1$  indicate situations when solving with the backdoor is faster than solving the original formula with a conventional SAT solver. Note that since the backdoor search does not depend on the used SAT solver, we may search for a backdoor once and then use it to solve the original CNF formula with any available SAT solver.

As a preliminary experiment, we ran the GA and  $(1+1)$ -EA on the PvS<sub>4,7</sub> instance, which is the simplest (in terms of SAT solving time) formula considered. Each algorithm was independently run five times, each run was limited to 2 h using 16 threads. For each run of each algorithm, we selected the  $\rho$ -backdoor with the best value of  $\tilde{\rho}$  and calculated the ratio  $r_{B,A}$ . We observed that  $r_{B,A}$  of backdoors generated by the GA were about two times smaller than of the ones generated by the  $(1+1)$ -EA. Therefore, in all further experiments

Instance	$ X $	$ B $	$\rho$	Solver	$r_{B,A}$
PvS <sub>4,7</sub>	1213	12	0.9960	g3	0.45
		13	0.9968	g4	0.30
		12	0.9960	cd	0.68
		12	0.9941	m22	0.39
BvP <sub>4,8</sub>	1315	12	0.9987	g3	0.81
		12	0.9987	g4	0.98
		11	0.9926	cd	0.77
		12	0.9970	g3	0.74
BvP <sub>6,7</sub>	1558	12	0.9970	g4	0.69
		12	0.9970	cd	0.91
		13	0.9882	cd	0.23
		13	0.9816	g3	0.25
sgen <sub>100</sub> <sup>150</sup>	150	13	0.9824	g4	0.27
		13	0.9648	cd	0.38
		9	0.9804	cd	0.34
PHP <sub>13,12</sub>	156	9	0.9804	cd	0.91
PHP <sub>15,14</sub>	210	9	0.9804	cd	0.91

Table 2: Decomposition rates of  $\rho$ -backdoors

we only used the GA.

As it was mentioned above, the value of  $\omega|X|$  should be empirically evaluated for each particular problem. Common sense suggests: if we want to find a  $\rho$ -backdoor  $B$  with  $|B|$  close to  $a \in \mathbb{N}$  and with  $\rho$  close to 1, then the value  $\omega|X|$  should be close to  $a$ . Otherwise, the rapidly growing value of penalty will push the search from the points with values close to  $2^a$ . Taking this into account, in our experiments we used  $\omega|X| \in \{15, 20\}$ , so that the size of the found  $\rho$ -backdoors allowed to solve all weakened subformulas  $C[\beta/B]$  and  $C[\gamma]$ .

Experimental results are summarized in Table 2: for each SAT instance, it shows its number of variables after simplification, the size  $|B|$  of the found  $\rho$ -backdoor, its  $\rho$  value, and the ratio  $r_{B,A}$  for different SAT solvers. Each GA run was allotted 0.5–6 hours of cluster time (depending on the formula) using 16 threads. Data shown in Table 2 indicates that the proposed approach allows finding  $\rho$ -backdoors with  $\rho$  very close to 1, and that these backdoors allow speeding up state-of-the-art SAT solvers.

### Solving SAT with several backdoors

In this section, we describe the experiments on solving SAT using several  $\rho$ -backdoors with the method proposed above. For a given CNF formula, we launched  $s$  searches for  $\rho$ -backdoors, each for the same 0.5–6 hours. As a result, we got a set of  $s$  different  $\rho$ -backdoors  $\Delta = \{B_1, \dots, B_s\}$ .

We then considered all possible  $k$ -combinations ( $1 \leq k \leq s$ ) of these  $s$  backdoors. For each combination, we 1) determined all hard problems  $C[\beta/B_i] \notin S(A)$ ,  $i \in \{1, \dots, s\}$  (by applying a UP solver), 2) built the Cartesian product  $\Gamma$ , and 3) solved all formulas  $C[\gamma]$  using a SAT solver. The plot in Fig. 1 shows the time used to solve SAT with different solvers for PvS<sub>4,7</sub>: each point corresponds to the solving time with a specific set  $\Delta$ . Results indicate that combinations of backdoors provide a significant advantage over using individual backdoors in terms of total solving time.

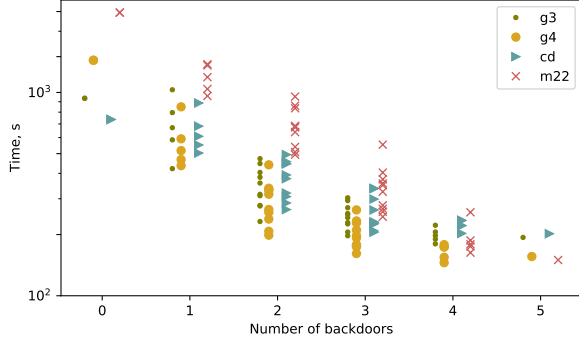


Figure 1: Solving  $PvS_{4,7}$  with combinations of several  $\rho$ -backdoors using solvers g3, g4, cd, m22

Instance	$ X $	$s$	$ \Gamma $	Solver	$r_{\Delta,A}$
$PvS_{4,7}$	1213	4	$6 \times 10^5$	g3	0.19
		5	$1 \times 10^6$	g4	0.11
		4	$1 \times 10^5$	cd	0.27
		5	$1 \times 10^6$	m22	0.06
$BvS_{7,7}$	2005	3	$2 \times 10^5$	g3	0.59
		2	352	g4	0.54
pmg12	190	4	$31 \times 10^6$	g3	$< 0.01$
		4	$31 \times 10^6$	g4	$< 0.1$
		4	$31 \times 10^6$	cd	0.34
		2	4536	cd	0.21
par9	162	8	$10^8$	g3	$< 0.77$
		7	$10^7$	cd	0.36
sgen <sub>100</sub> <sup>150</sup>	150	2	$2 \times 10^5$	g3	0.20
		2	$2 \times 10^5$	g4	0.26
PHP <sub>13,12</sub>	156	7	$10^7$	g3	0.74
		6	$10^6$	g3	0.54
		6	$10^6$	g4	0.12
		5	$10^5$	cd	0.12
PHP <sub>15,14</sub>	210	6	$10^6$	cd	0.36

Table 3: Decomposition rates for sets of  $\rho$ -backdoors

Table 3 shows results on solving other formulas using backdoor combinations. To represent the efficiency gain from using several backdoors  $\Delta$ , we introduce the ratio  $r_{\Delta,A}$ . For each instance, the table shows the number of combined backdoors  $s$ , the cardinality of the set  $\Gamma$ , and the resulting ratio  $r_{\Delta,A}$  for different solvers.

Most notably, in some cases we found sets  $\Delta$  that allowed solving very hard SAT instances. In particular, for pmg12 neither g3 nor g4 found a solution in more than 72 h, whereas a set of four  $\rho$ -backdoors allowed finding a solution in a matter of minutes for g4 and hours for g3, despite that the corresponding set  $\Gamma$  contained more than  $31 \times 10^6$  assignments. The same goes for par9 with g3. This inspiring result allows one to expect that the proposed method may extend the area of applicability of SAT solvers, at least in some domains involving very hard unsatisfiable formulas.

## Discussion & Conclusion

In this paper, we defined and studied a new form of backdoor set in the context of the Boolean Satisfiability problem. We defined a  $\rho$ -backdoor as such a subset of the set of variables of the formula, that a fraction of at least  $\rho$  of assignments of variables from  $B$ , when substituted to the original formula, result in formulas solvable by a polynomial algorithm. We also proposed an efficient  $(\varepsilon, \delta)$ -approximation algorithm to estimate  $\rho$ , and also an algorithm for finding  $(\varepsilon, \delta)$ -approximations of Strong Backdoor Sets in the sense of (Williams, Gomes, and Selman 2003) of minimum cardinality. The proposed algorithm has a significantly better upper bound compared to the algorithm by Williams et al., if we use the latter to search for the minimum SBS. To find backdoors in practice, we proposed to use metaheuristic algorithms that minimize a specially formulated fitness function. Experiments showed that the proposed algorithm allows finding  $\rho$ -backdoors with  $\rho$  close to 1 for hard unsatisfiable SAT instances in several hours of runtime of a single cluster node. We used the found  $\rho$ -backdoors to solve the original SAT instance by first processing all possible assignments of variables via Unit Propagation rule to identify the hard subproblems, to which we then applied CDCL SAT solvers. In the majority of cases, the total runtime of a solver when using such a backdoor to produce and solve subformulas  $C[\beta/B]$  is significantly lower than its runtime on the original formula. We also described a method that allows using several found backdoors simultaneously to gain an even larger speedup.

In the future, one could replace the UP rule by a complete SAT solver in a limited setting, e.g. so that the number of conflicts does not exceed some constant. The concepts of SBS,  $\rho$ -backdoors, and other theoretical results from the paper can easily be transferred to this case. In particular, we can analyze the problem of finding a minimum  $(\varepsilon, \delta)$ -approximation of a backdoor in such a form and prove a result similar to Theorem 3. The proposed metaheuristics can also be adapted to finding backdoors of this type.

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