

# Relational Design Theory

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Bases de Dados

Mestrado Integrado em Engenharia Informática e Computação, FEUP

Based on Jennifer Widom and Christopher Ré slides

# Agenda

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~~Relational Design Overview~~

~~Functional Dependencies~~

~~Closures, Superkeys and Keys~~

~~Inferring Functional Dependencies~~

Normal Forms

Decompositions

# Normal Forms

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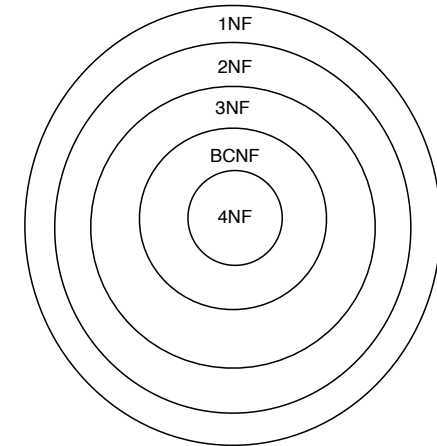
1st Normal Form (1NF)  
All tables are flat

2nd Normal Form (2NF)  
Disused

3rd Normal Form (3NF)

Boyce-Codd Normal Form (BCNF)

4th and 5th Normal Forms  
See text books



} DB designs based on  
functional  
dependencies,  
intended to prevent  
data anomalies

# 1<sup>st</sup> Normal Form (1NF)

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The domain of each attribute contains only atomic values and the value of each attribute contains only a single value from that domain

Student	Courses
Mary	{CS145,CS229}
Joe	{CS145,CS106}
...	...



Student	Course
Mary	CS145
Mary	CS229
Joe	CS145
Joe	CS106

## 2<sup>nd</sup> Normal Form (2NF)

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1NF and no attribute not prime is functionally dependent on a proper subset of a candidate key

An attribute that is member of some key is *prime*

Student-Professor

<u>SID</u>	<u>PID</u>	PName
1	3	Smith
2	2	Bayer

PID->PName



Student-Professor

<u>SID</u>	<u>PID</u>
1	3
2	2

Professor

<u>PID</u>	PName
3	Smith
2	Bayer

# Boyce-Codd Normal Form

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Relation R is in BCNF if, for each FD  $\bar{A} \rightarrow \bar{B}$ , either

$\bar{A} \rightarrow \bar{B}$  is trivial **or**

$\bar{A}$  is a (super)key

Why do we have a bad design when this doesn't happen?

$\bar{A}$	$\bar{B}$	rest
a		
a		

$\bar{A} \rightarrow \bar{B}$

$\bar{A}$	$\bar{B}$	rest
a	b	rest <sub>1</sub>
a	b	rest <sub>2</sub>

redundancy

$\bar{A} \rightarrow \bar{B}$  is a BCNF violation

$\bar{A}$  is not a key  $\rightarrow$  Not in BCNF

## BCNF? Example #1

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Student (SSN, sName, address, HScode, HSname, HScity, GPA, priority)

SSN  $\rightarrow$  sName, address, GPA

GPA  $\rightarrow$  priority

HScode  $\rightarrow$  HSname, HScity

Keys of the relation?

{SSN, HScode}

Does every FD have a key on its left-hand side?

No, none.

## BCNF? Example #2

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Apply (SSN, cName, state, date, major)

SSN, cName, state  $\rightarrow$  date, major

Keys of the relation?

{SSN, cName, state}

Does every FD have a key on its left-hand side?

Yes.



## 3<sup>rd</sup> Normal Form (3NF)

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Relation R is in 3NF if, for each nontrivial  $\bar{A} \rightarrow \bar{B}$ ,

$\bar{A}$  is a (super)key **or**

$\bar{B}$  consists of prime attributes only



A relation without  
nontrivial FDs is in 3NF.

# 3NF Example

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Bookings (title, theater, city)

theater  $\rightarrow$  city

title, city  $\rightarrow$  theater

No booking of a movie  
in two theaters of the  
same city



Keys of the relation?

{title, city}, {theater, title}

BCNF?

FD theater  $\rightarrow$  city is a BCNF violation

3NF?

FD theater  $\rightarrow$  city has only prime attributes on its right-side

FD title, city  $\rightarrow$  theater has a key on its left-hand side and only prime attributes on its right-side

# Agenda

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Decompositions

# Decomposition of a relational schema

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$R_1$  and  $R_2$  are a decomposition of  $R (A_1, \dots, A_n)$  if

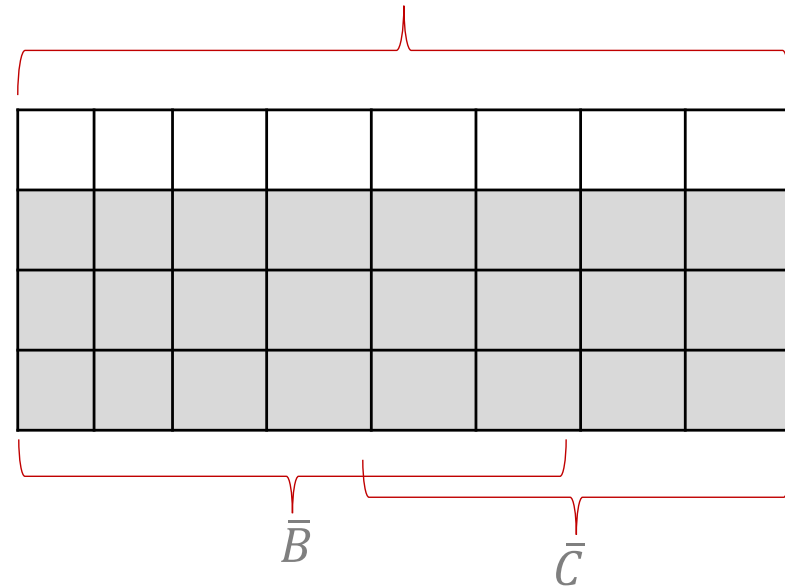
$$R_1 = \pi_{B_1, \dots, B_n}(R)$$

$$R_2 = \pi_{C_1, \dots, C_n}(R)$$

$$\underbrace{\{B_1, \dots, B_n\}}_{\bar{B}} \cup \underbrace{\{C_1, \dots, C_n\}}_{\bar{C}} = \underbrace{\{A_1, \dots, A_n\}}_{\bar{A}}$$

$$\text{If: } R_1 \bowtie R_2 = R$$

Lossless join property



# Natural Join ( $\bowtie$ )

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Student

sID	sName	GPA	HS
12	Mary	3.5	90
23	John	3.8	50

Apply

sID	cName	major	dec
12	Stanford	CS	Y
23	MIT	CS	N



*Student  $\bowtie$  Apply*

sID	sName	GPA	HS	cName	major	dec
12	Mary	3.5	90	Stanford	CS	Y
23	John	3.8	50	MIT	CS	N

# Decomposition Example #1

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Student (SSN, sName, address, HScode, HSname, HScity, GPA, priority)

$S_1$  (SSN, sName, address, HScode, GPA, priority)

$S_2$  (HScode, HSname, HScity)

Is it a correct decomposition?

$$\bar{B} \cup \bar{C} = \bar{A}$$

$$S_1 \bowtie S_2 = \text{Student}$$

## Decomposition Example #2

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Student (SSN, sName, address, HScode, HSname, HScity, GPA, priority)

$S_1$  (SSN, SName, address, HScode, HSname, HScity)

$S_2$  (SName, HSname, GPA, priority)

Is it a correct decomposition?

$$\bar{B} \cup \bar{C} = \bar{A}$$

$$S_1 \bowtie S_2 = \text{Student} ?$$

SName and HSname  
may not be unique

# BCNF decomposition algorithm

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**Input:** relation  $R$  + FDs for  $R$

**Output:** decomposition of  $R$  into BCNF relations with “lossless join”

Compute keys for  $R$

Repeat until all relations are in BCNF:

Pick any  $R'$  with  $\bar{A} \rightarrow \bar{B}$  that violates BCNF

Decompose  $R'$  into  $R_1(\bar{A}, \bar{B})$  and  $R_2(\bar{A}, \text{rest})$

Compute FDs for  $R_1$  and  $R_2$

Compute keys for  $R_1$  and  $R_2$

$R'$

$\bar{A}$	$\bar{B}$	rest



$R_1$

$\bar{A}$	$\bar{B}$

$R_2$

$\bar{A}$	rest



# BCNF Decomposition Example

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**Student (SSN, sName, address, HScode, HSname, HScity, GPA, priority)**

SSN  $\rightarrow$  sName, address, GPA; GPA  $\rightarrow$  priority; HScode  $\rightarrow$  HSname, HScity

Key: {SSN, HScode}

Pick a BCNF violation

HScode  $\rightarrow$  HSname, HScity

Decompose Student

S1 (HScode, HSname, HScity)

S2 (HScode, SSN, sName, address, GPA, priority)

Compute FDs and keys for S1

HScode  $\rightarrow$  HSname, HScity

Key: {HScode}



**S1 is in BCNF**

Compute FDs and keys for S2

SSN  $\rightarrow$  sName, address, GPA

GPA  $\rightarrow$  priority

Key: {SSN, HScode}



BCNF violations



**S2 is not in BCNF**

# BCNF Decomposition Example

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**Student (SSN, sName, address, HScode, HSname, HScity, GPA, priority)**

SSN  $\rightarrow$  sName, address, GPA; GPA  $\rightarrow$  priority; HScode  $\rightarrow$  HSname, HScity

Key: {SSN, HScode}

Pick a BCNF violation

GPA  $\rightarrow$  priority

Decompose S2 (HScode, SSN, sName, address, GPA, priority)

S3 (GPA, priority)

S4 (HScode, SSN, sName, address, GPA)

Compute FDs and keys for S3

GPA  $\rightarrow$  priority

Key: {GPA}

$\longrightarrow$  S3 is in BCNF

Compute FDs and keys for S4

SSN  $\rightarrow$  sName, address, GPA } BCNF violation

Key: {SSN, HScode}

$\longrightarrow$  S4 is not in BCNF

# BCNF Decomposition Example

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**Student (SSN, sName, address, HScode, HSname, HScity, GPA, priority)**

SSN  $\rightarrow$  sName, address, GPA; GPA  $\rightarrow$  priority; HScode  $\rightarrow$  HSname, HScity

Key: {SSN, HScode}

Pick a BCNF violation

SSN  $\rightarrow$  sName, address, GPA

Decompose S4 (HScode, SSN, sName, address, GPA)

S5 (SSN, sName, address, GPA)

S6 (SSN, HScode)

Compute FDs and keys for S5

SSN  $\rightarrow$  sName, address, GPA

Key: {SSN}



S5 is in BCNF

Compute FDs and keys for S6

Key: {SSN, HScode}



S6 is in BCNF

# BCNF Decomposition Example

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**Student (SSN, sName, address, HScore, HSname, HScity, GPA, priority)**

SSN  $\rightarrow$  sName, address, GPA; GPA  $\rightarrow$  priority; HScore  $\rightarrow$  HSname, HScity

Key: {SSN, HScore}

S1 (HScore, HSname, HScity)  $\longrightarrow$  Information about high schools

S3 (GPA, priority)  $\longrightarrow$  Information about GPA and priorities

S5 (SSN, sName, address, GPA)  $\longrightarrow$  Information about students

S6 (SSN, HScore)  $\longrightarrow$  Information about the high schools students went

# BCNF decomposition algorithm

---

Input: relation R + FDs for R

Output: decomposition of R into BCNF relations with “lossless join”

Compute keys for R

Repeat until all relations are in BCNF:

Pick any  $R'$  with  $\bar{A} \rightarrow \bar{B}$  that violates BCNF

Different answers depending on the chosen  $R'$

Extend FD that is used for decomposition (if  $A \rightarrow B$  then  $A \rightarrow BA^+$ )

Decompose  $R'$  into  $R_1(\bar{A}, \bar{B})$  and  $R_2(\bar{A}, \text{rest})$

Compute FDs for  $R_1$  and  $R_2$

See “Projecting a set of FDs” slides

Compute keys for  $R_1$  and  $R_2$

# Exercise

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Consider the following relation and FDs

Movie (title, year, studioName, president, presAddr)

title, year  $\rightarrow$  studioName

studioName  $\rightarrow$  president

president  $\rightarrow$  presAddr

Decompose into BCNF relations.

# Kahoot time!

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Any doubts?

# Readings

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Jeffrey Ullman, Jennifer Widom, A first course in Database Systems 3<sup>rd</sup> Edition

Section 3.1 – Functional Dependencies

Section 3.2 – Rules About Functional Dependencies

Section 3.3 – Design of Relational Database Schemas

Section 3.4 – Decomposition: The Good, Bad, and Ugly

Section 3.5 – Third Normal Form