

# Control-Plane Protocol Interactions in Cellular Networks

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# Cellular Services are Ubiquitous

2

- Large-scale wireless infrastructure
- Offer data and voice services to *anyone, anywhere, anytime*

**6.8+ billion**



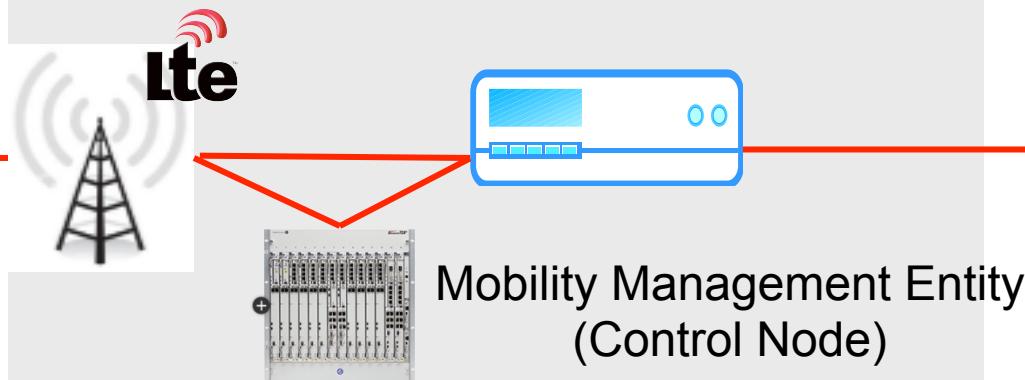
# Cellular Network Architecture

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3G (PS + CS)



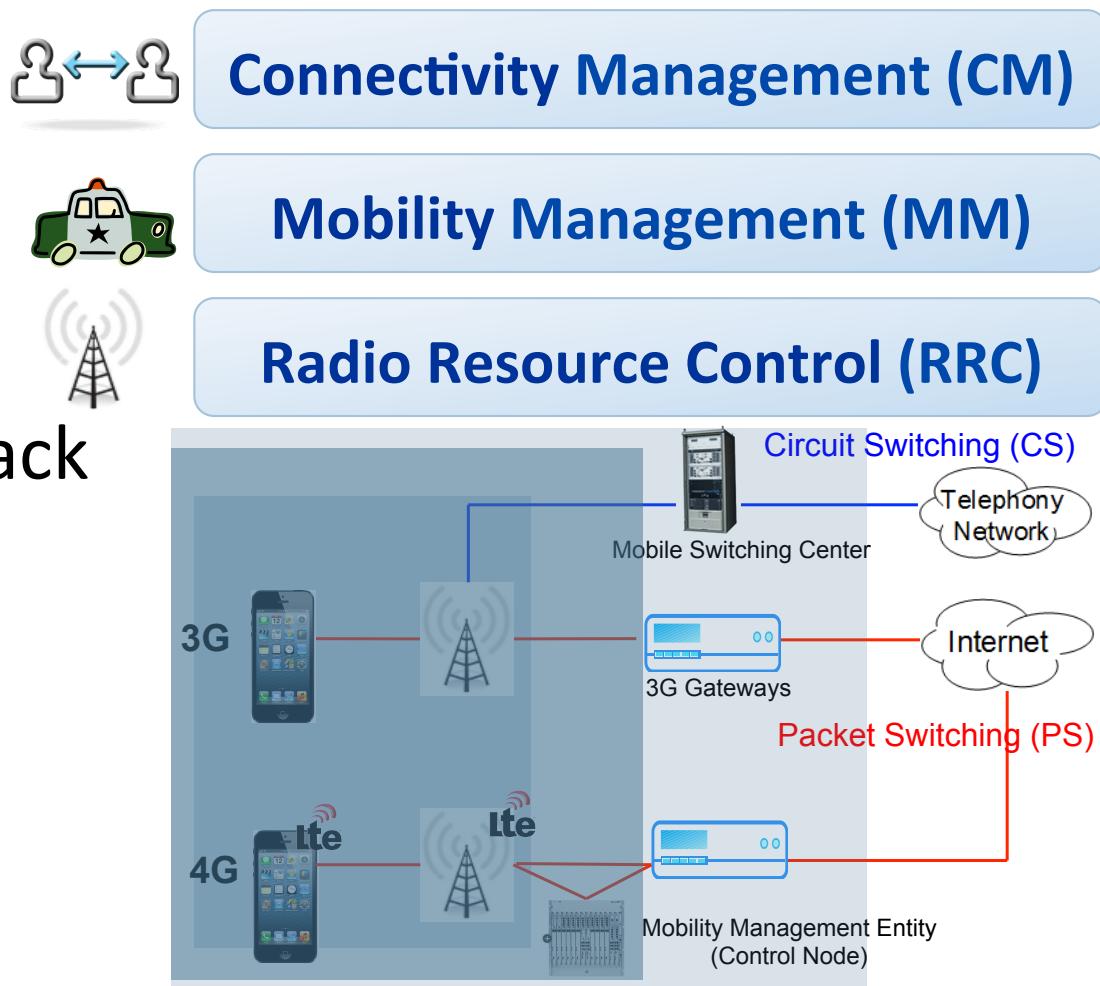
4G (PS only)



# Control Plane in Cellular Network

4

## □ Layered protocol stack

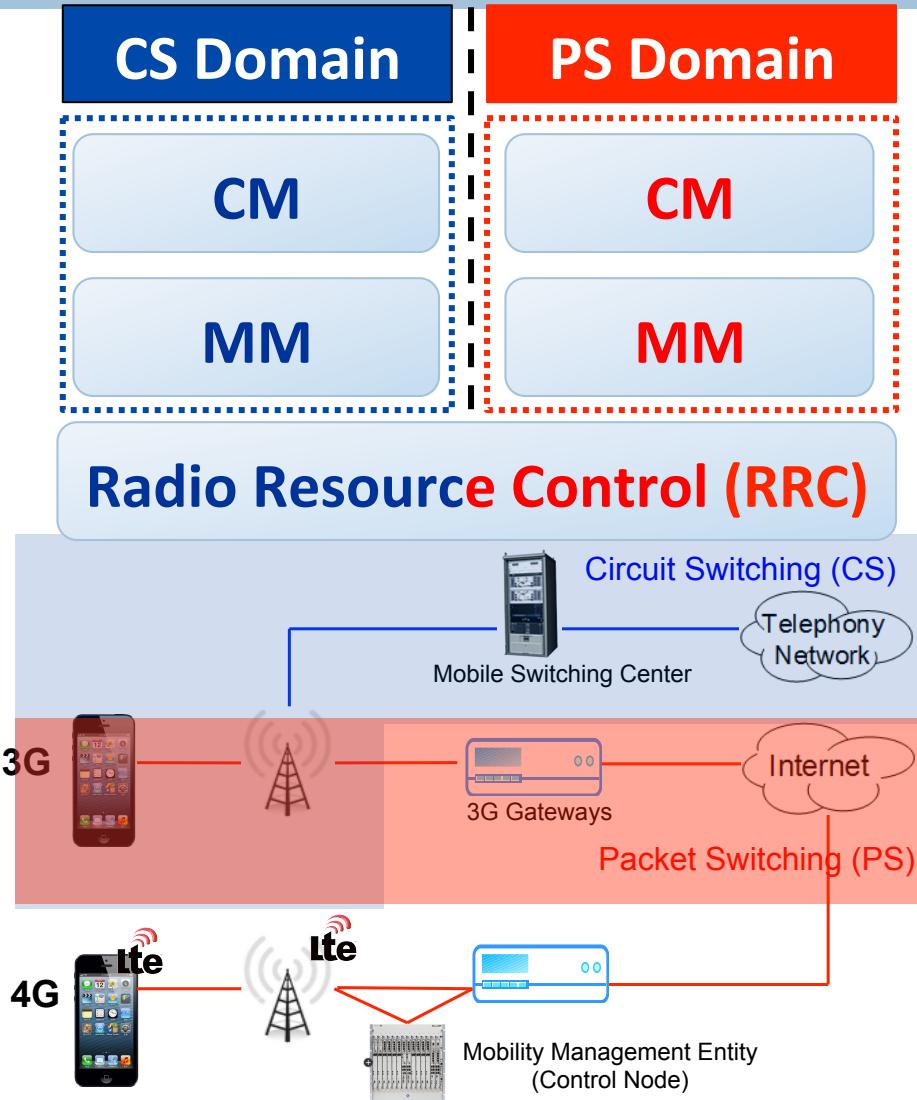


# Control Plane in Cellular Network

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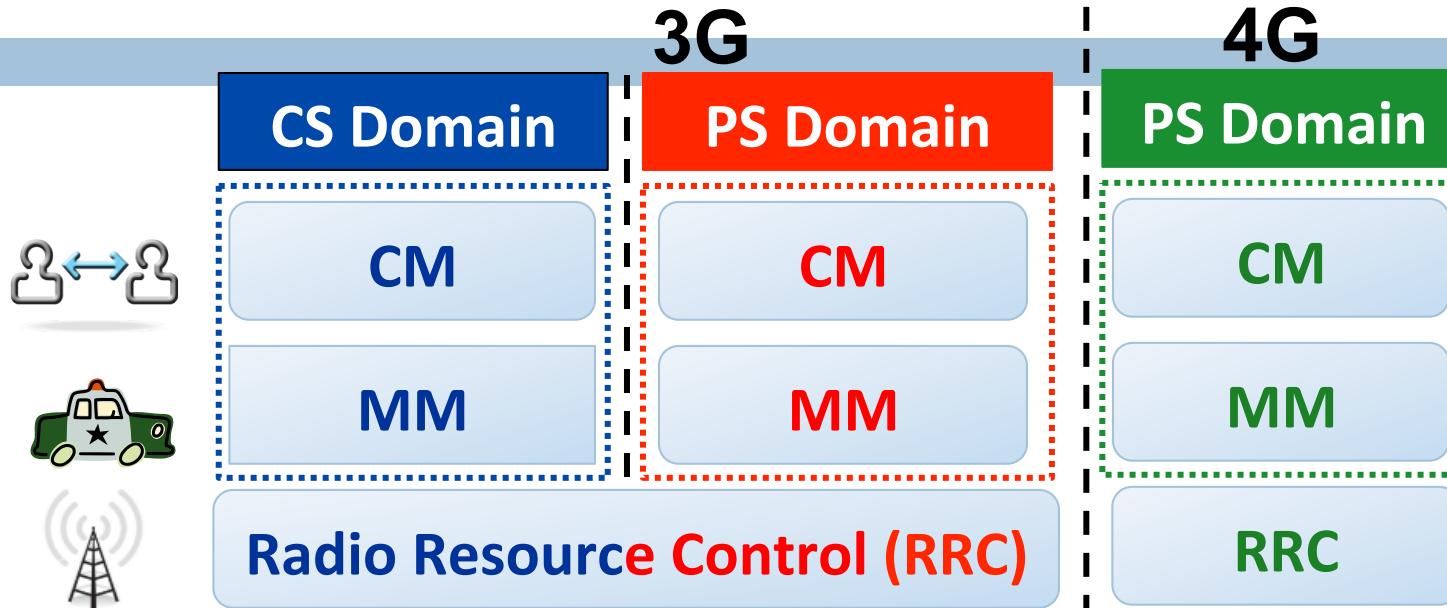


- Layered protocol stack
- Domains separated for voice (CS) and data (PS)

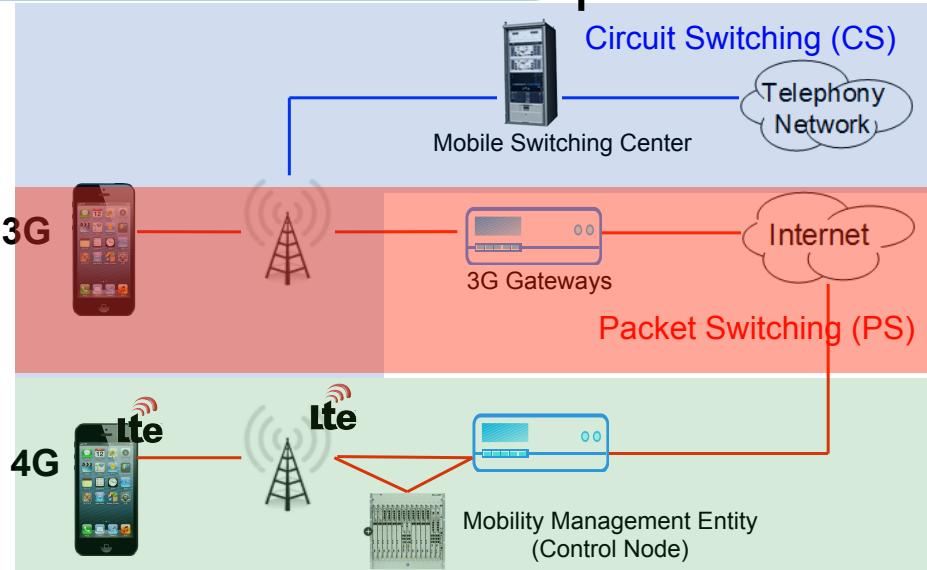


# Control Plane in Cellular Network

6



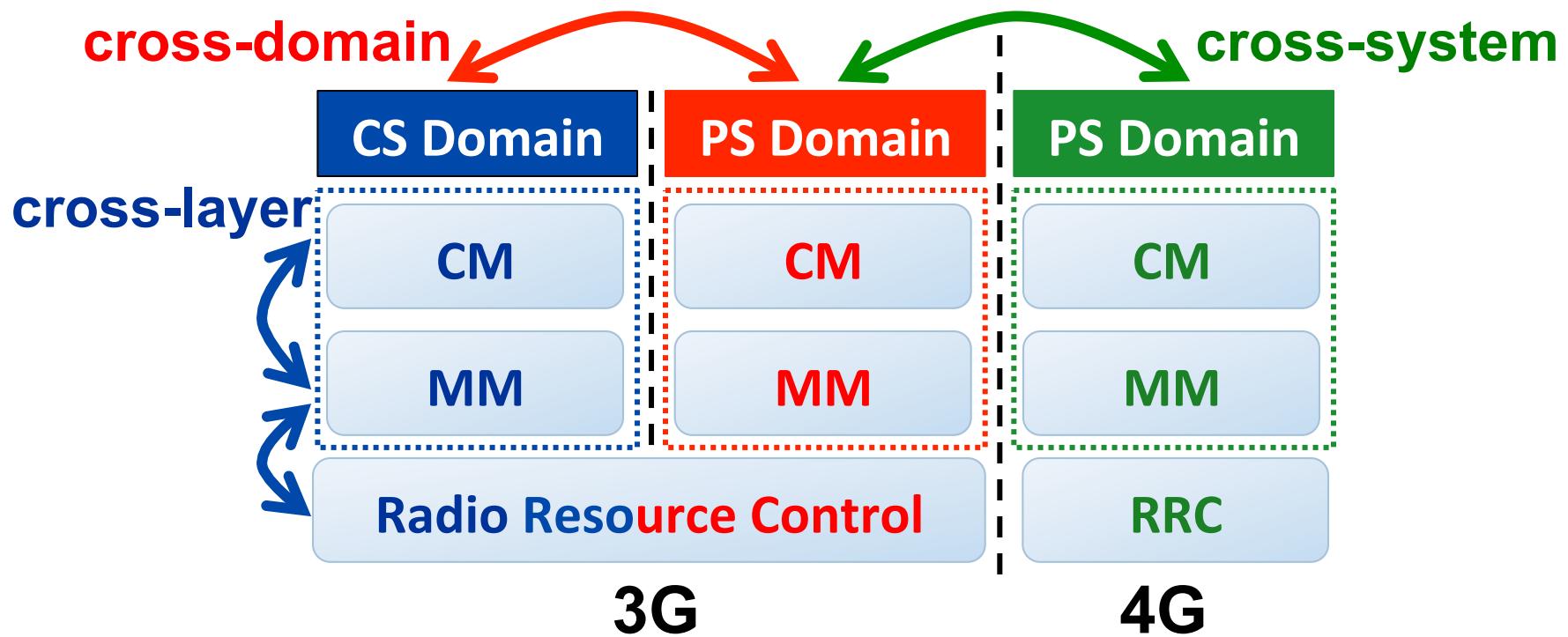
- Layered protocol stack
- Domains separated for voice (CS) and data (PS)
- Hybrid 3G/4G systems



# Complex Interactions

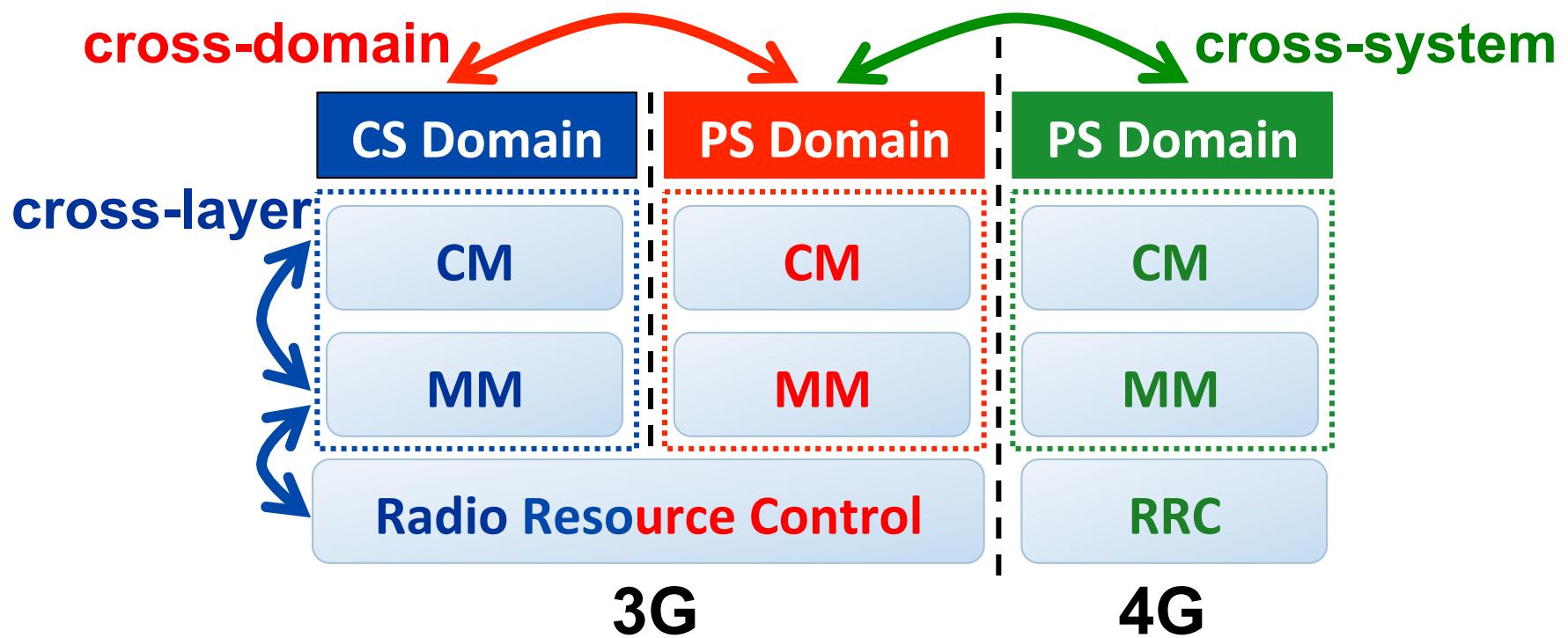
7

- Protocols **work together** to offer vital 3G/4G utilities
- Rich patterns along three dimensions



## Problem:

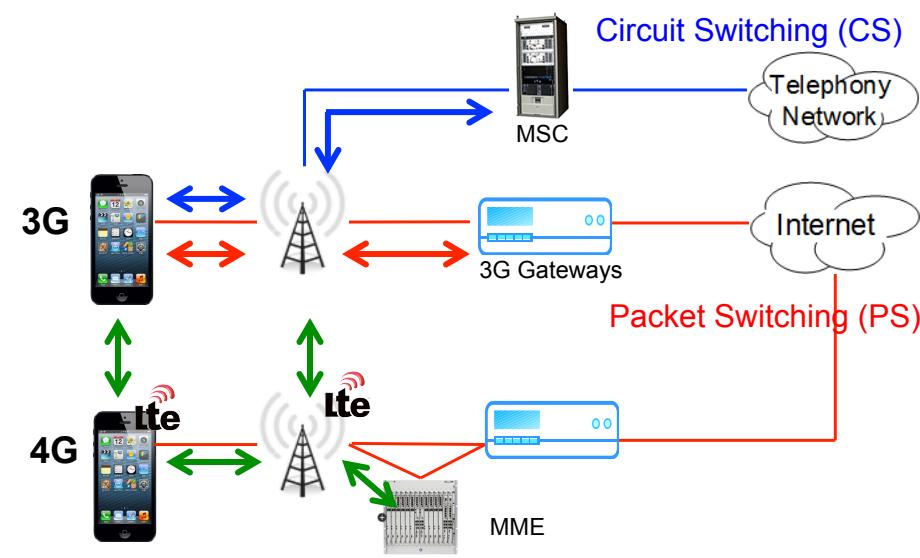
Each individual protocol may be well designed.  
How about protocol interactions?



# Rich Protocol Interactions

9

- Complex interactions in common scenarios
  - Inevitable interplay between radio, mobility, data/voice
  - Concurrent voice and data use
  - 3G/4G switch due to hybrid deployment, mobility, voice
- Two causes of problematic interactions
  - Design defects
  - Operation/Implementation slips



# Rich Protocol Interactions

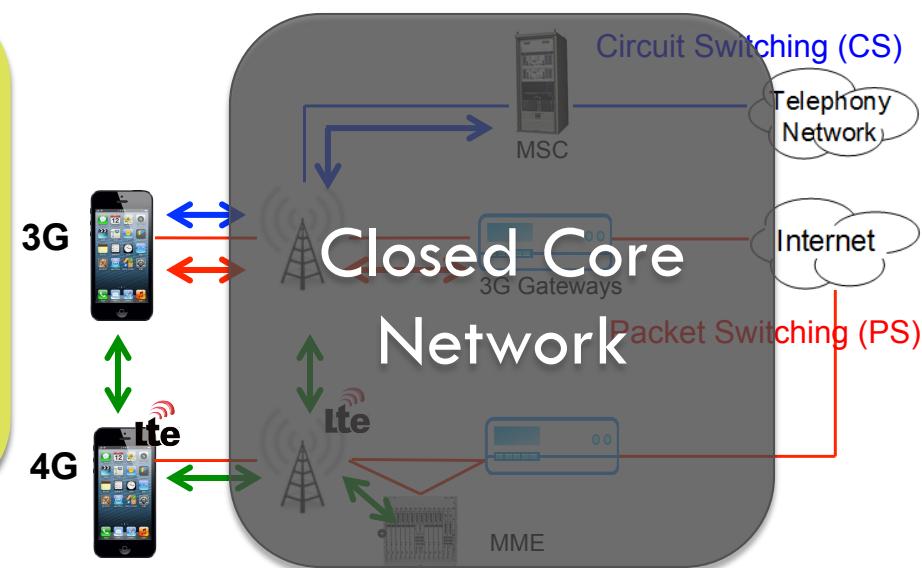
10

- Complex interactions in common scenarios

Diagnosis over one layer/domain/system is insufficient

- Two causes of problematic interactions

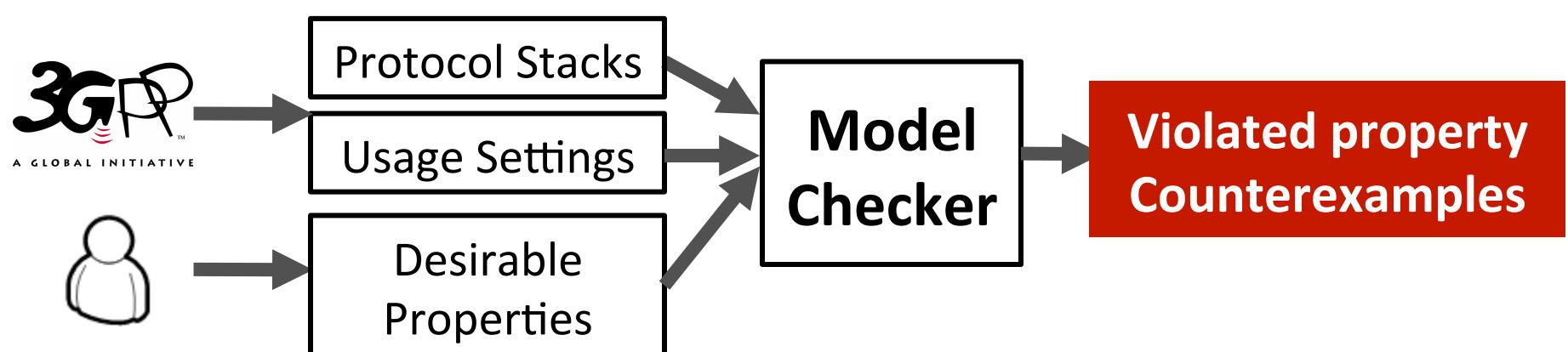
Single-type test fails to unveil both issues



# Our Solution: CNetVerifier

11

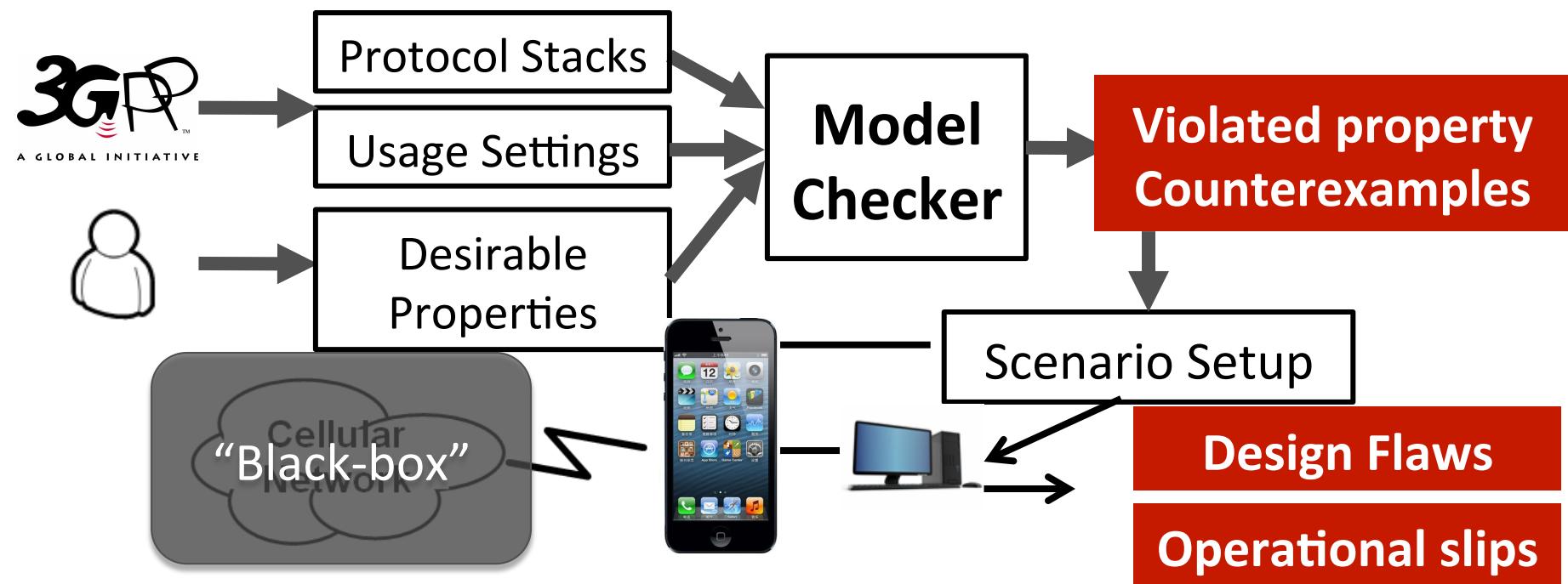
- Cellular-specific model checking
  - Extract full-stack cellular model from 3GPP standards
  - Create a variety of usage scenarios
  - Define desirable user-perspective properties
  - Discover counterexamples for possible design defects



# Our Solution: CNetVerifier

12

- Cellular-specific model checking
- Phone-based experimental validation
  - Instrument end devices to collect traces for verification
  - Discover operational slips in real networks



# Finding Overview

13

I. Necessary **but problematic** cooperation

II. Independent **but coupled** operations

# Finding Overview

14

I. Necessary **but problematic** cooperation

II. Independent **but coupled** operations

**cross-layer**

**cross-domain**

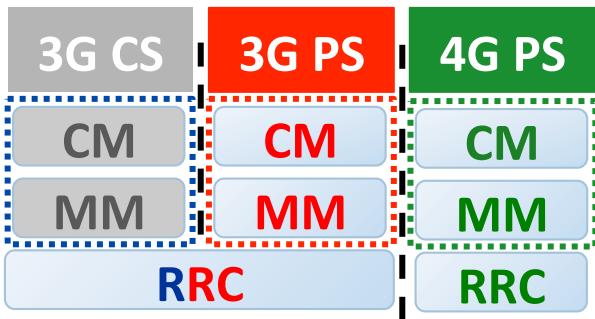
**cross-system**



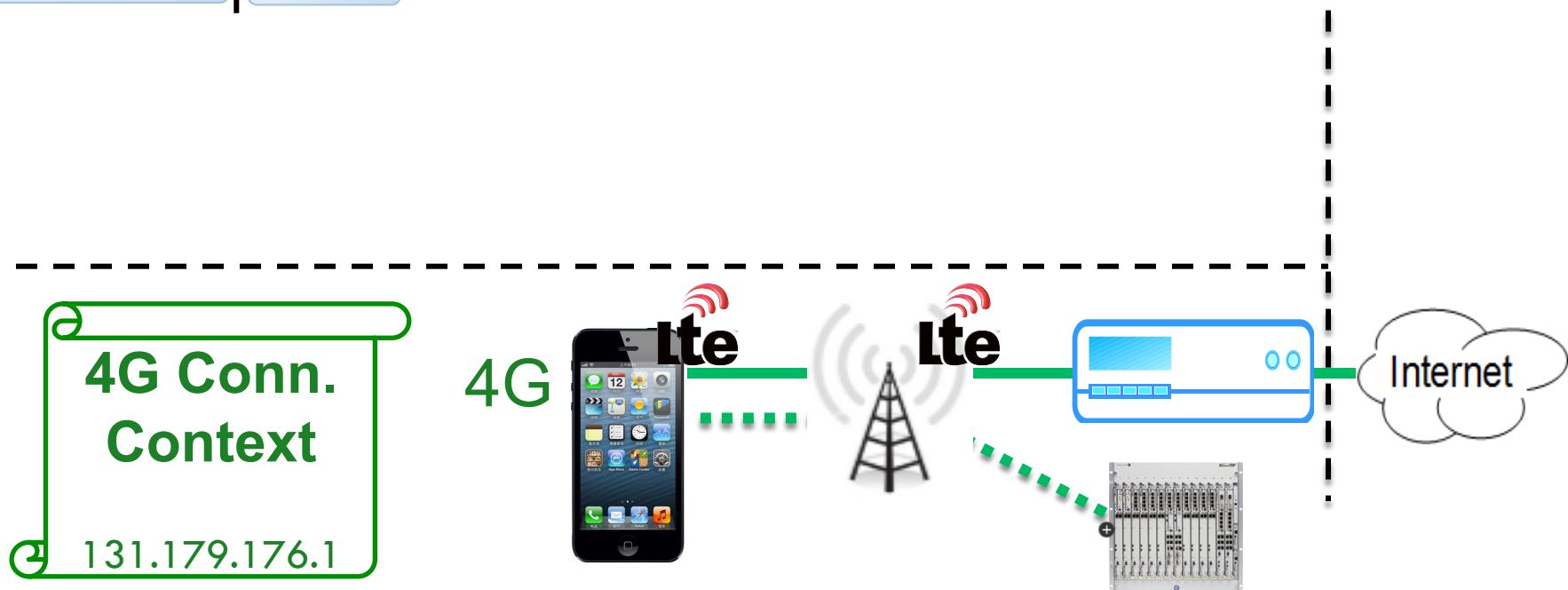
# Improper cooperation: Cross-System

15

- Scenario: run data services during 4G→3G→4G



1. Setup 4G connectivity to access internet

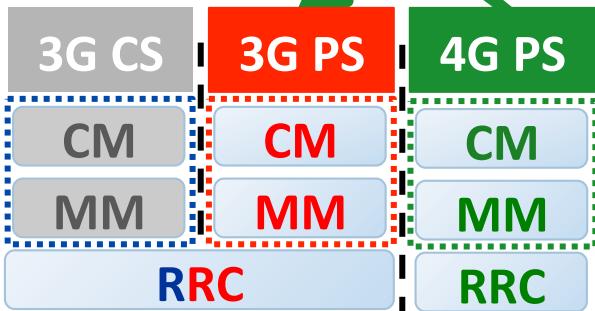




# Improper cooperation: Cross-System

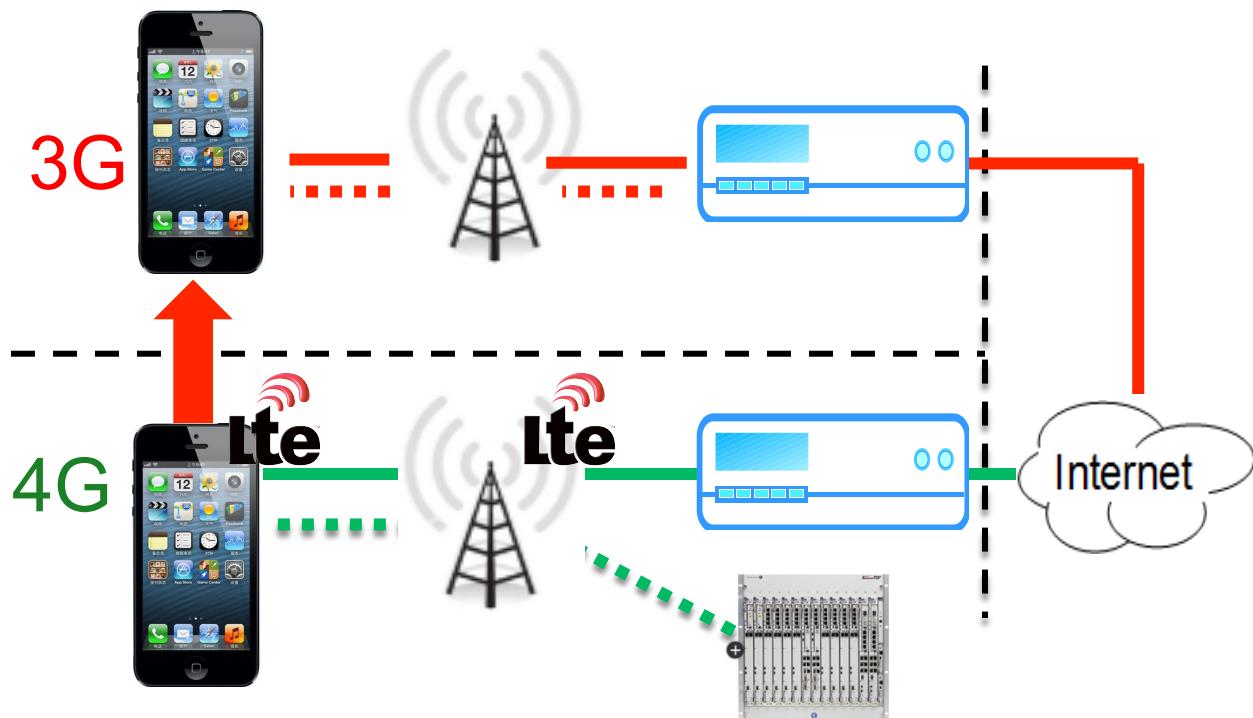
16

- Scenario: run data services during  $4G \rightarrow 3G \rightarrow 4G$



3G Conn.  
Context  
131.179.176.1

2.  $4G \rightarrow 3G$ : 4G conn. context is converted to 3G for seamless switch

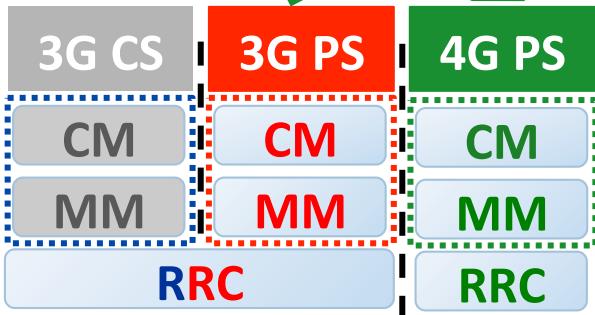




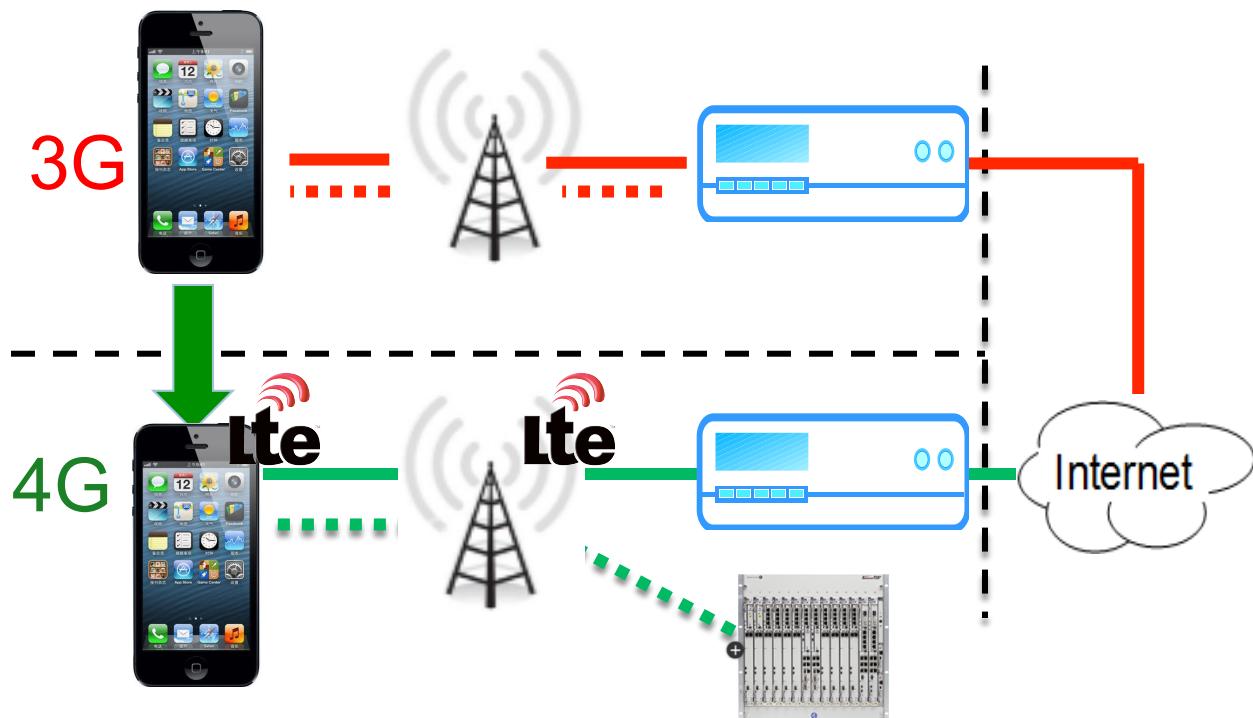
# Improper cooperation: Cross-System

17

- Scenario: run data services during 4G → 3G → 4G



3. 3G → 4G: 3G conn. context is converted back to 4G



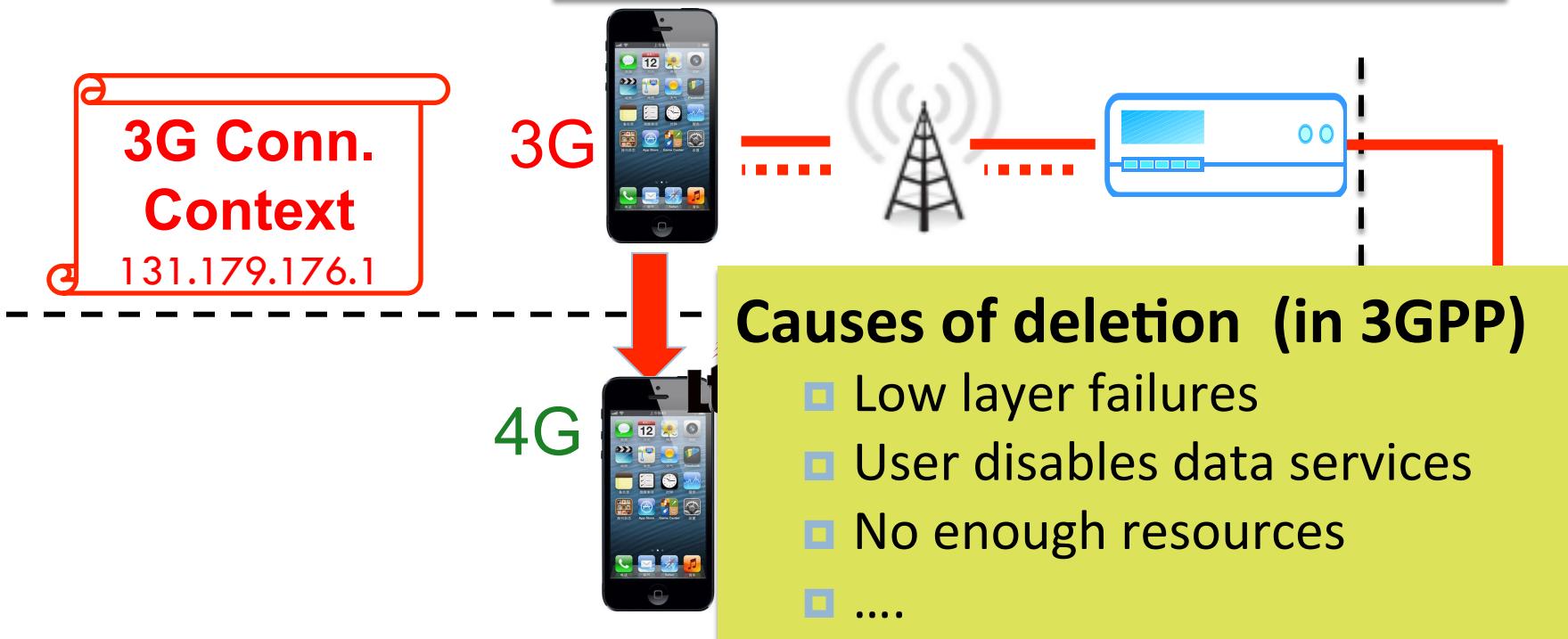


# Improper cooperation: Cross-System How and why?

18

- Problematic scenario: **3G context is deleted** before returning to 4G

1. 3G conn. context is deleted.





# Improper cooperation: Cross-System How and why?

19

- Problematic scenario: **3G context is deleted** before returning to 4G

2. 3G->4G: No 3G context transferred to 4G context





# Improper cooperation: Cross-System How and why?

20

- Problematic scenario: **3G context is deleted** before returning to 4G

2. 3G->4G: No 3G context  
*transformed to 4G context*

*PS conn context is **not mandatory in 3G (PS+CS)**,  
but **mandatory in 4G (PS only)***

***Shared context for 4G and 3G is not well protected in 3G***

“Out-of-Service”



# Improper cooperation: Cross-System

21

## □ Real-world impact

- Occurs 3.1% in user study
- “out-of-service” for up to 25s

## □ Lessons: a design defect

- Different demands of packet switching in 3G & 4G
- Desirable but not enforced: shared context should be consistently protected in 4G & 3G

## □ Proposed remedies

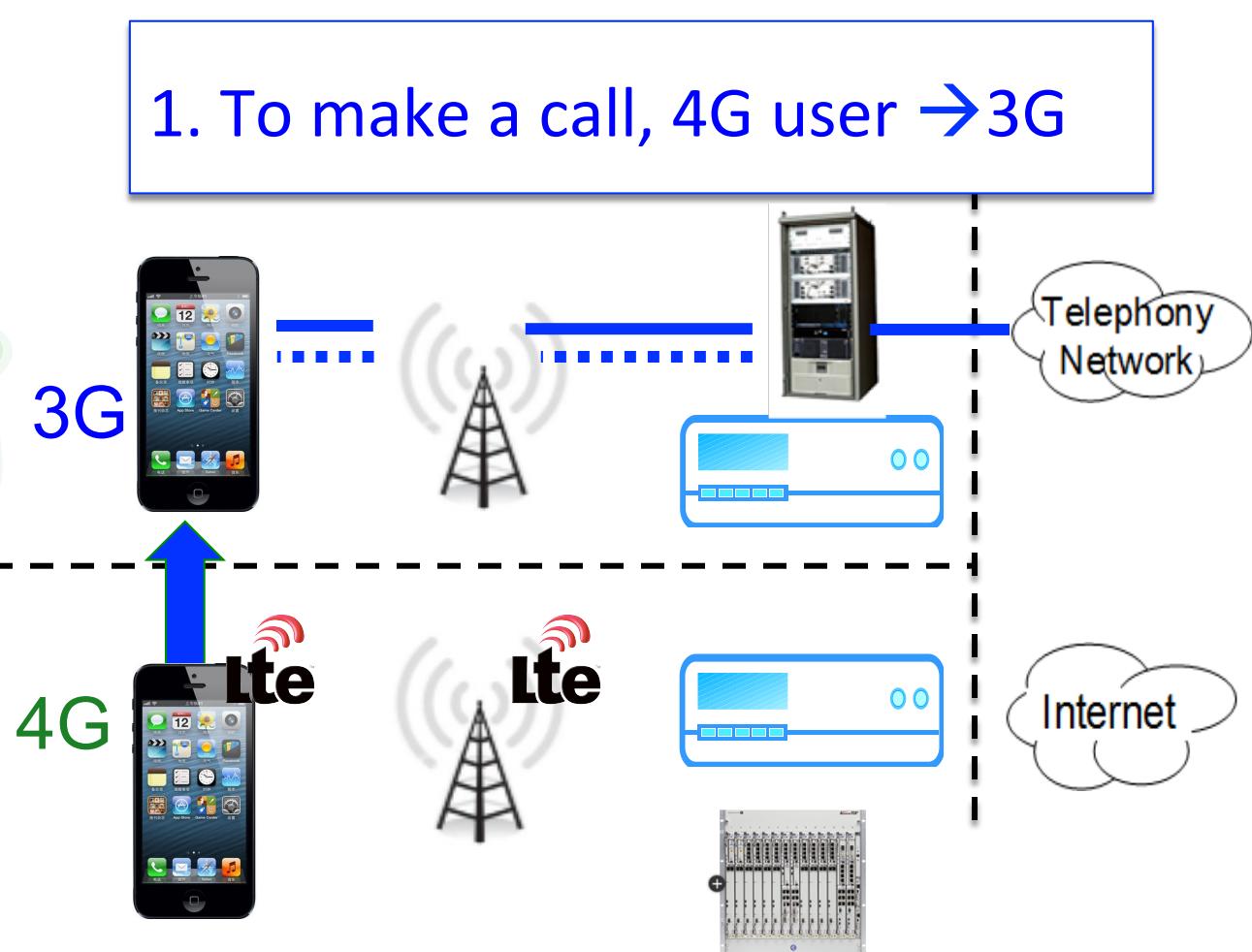
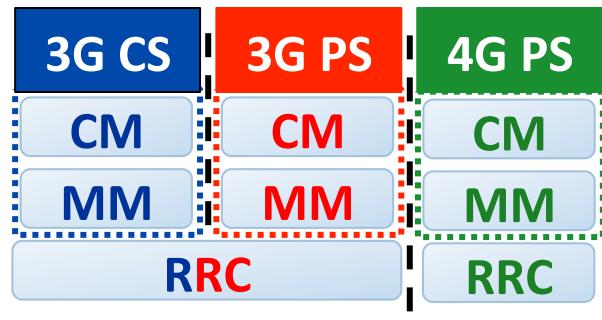
- Avoid unnecessary 3G PS context deactivation
- Immediately enable 4G PS context reactivation



# Improper cooperation: cross-domain+system

22

- Scenario: 4G users make calls via 3G CS Fallback

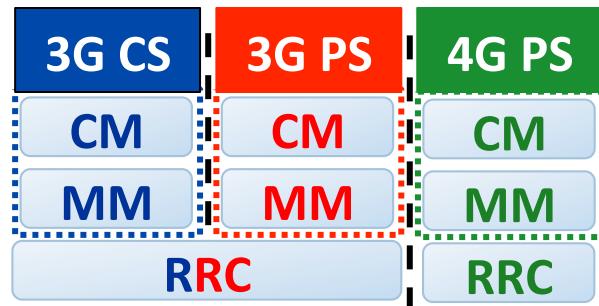




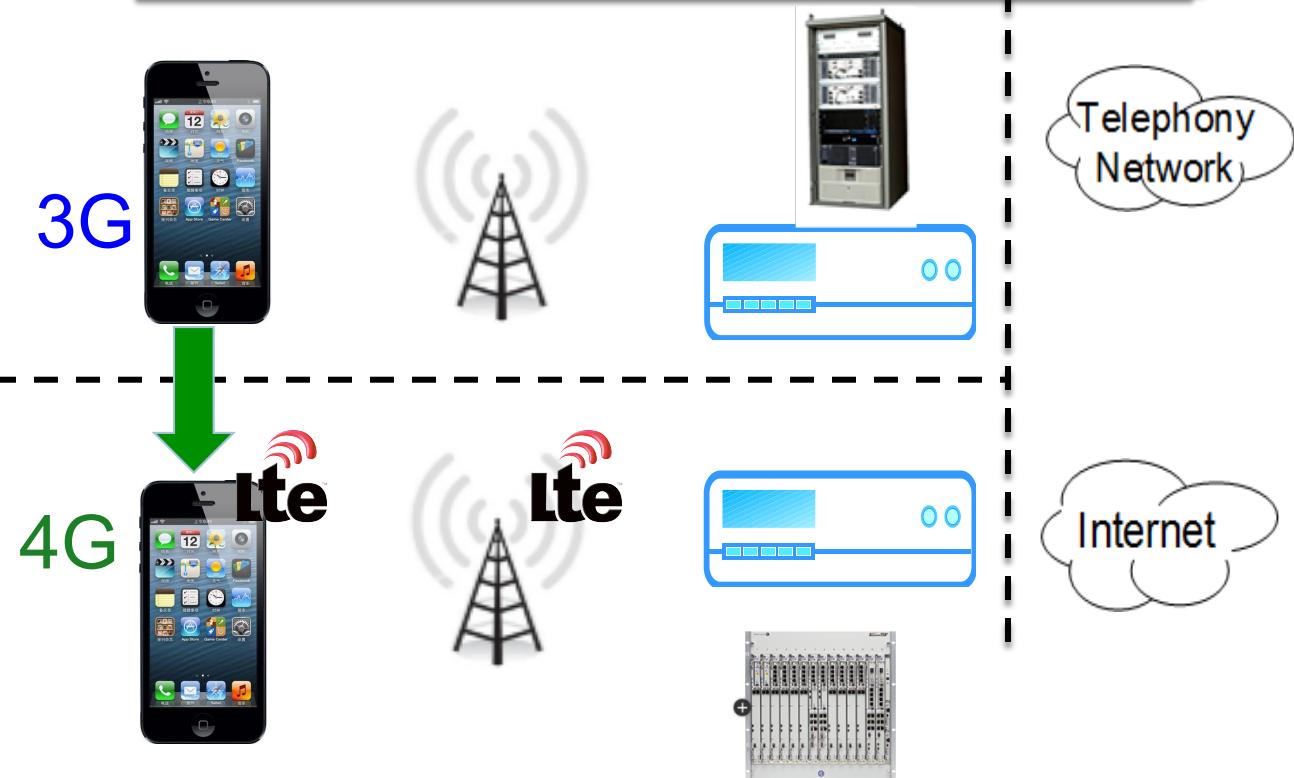
# Improper cooperation: cross-domain+system

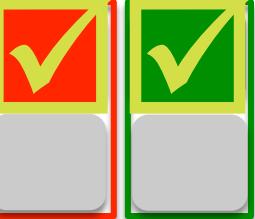
23

- Scenario: 4G users make calls via 3G CS Fallback



2. When the call ends, 3G→4G





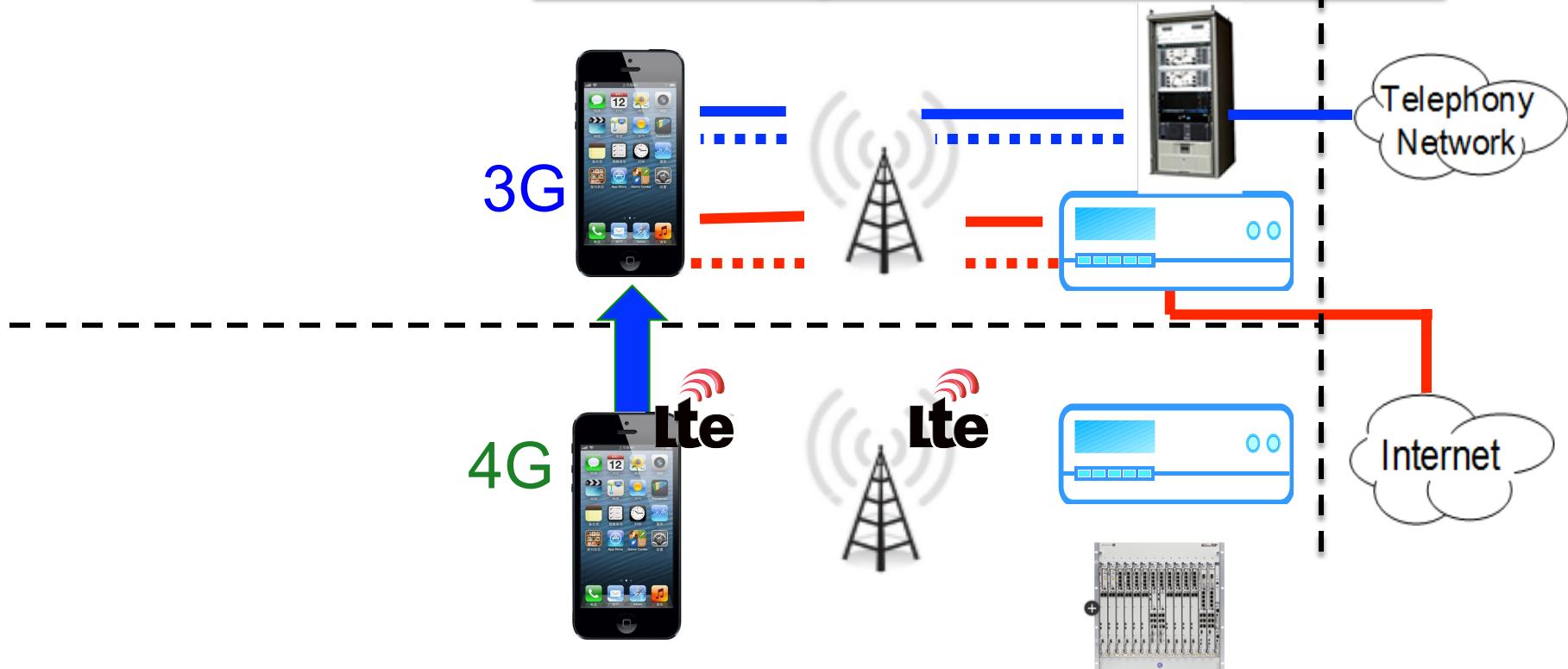
# Improper cooperation: cross-domain+system

## How and Why?

24

### □ Problematic Scenario: Call **with background data**

1. A call makes 4G → 3G;  
Data is migrated to 3G, too





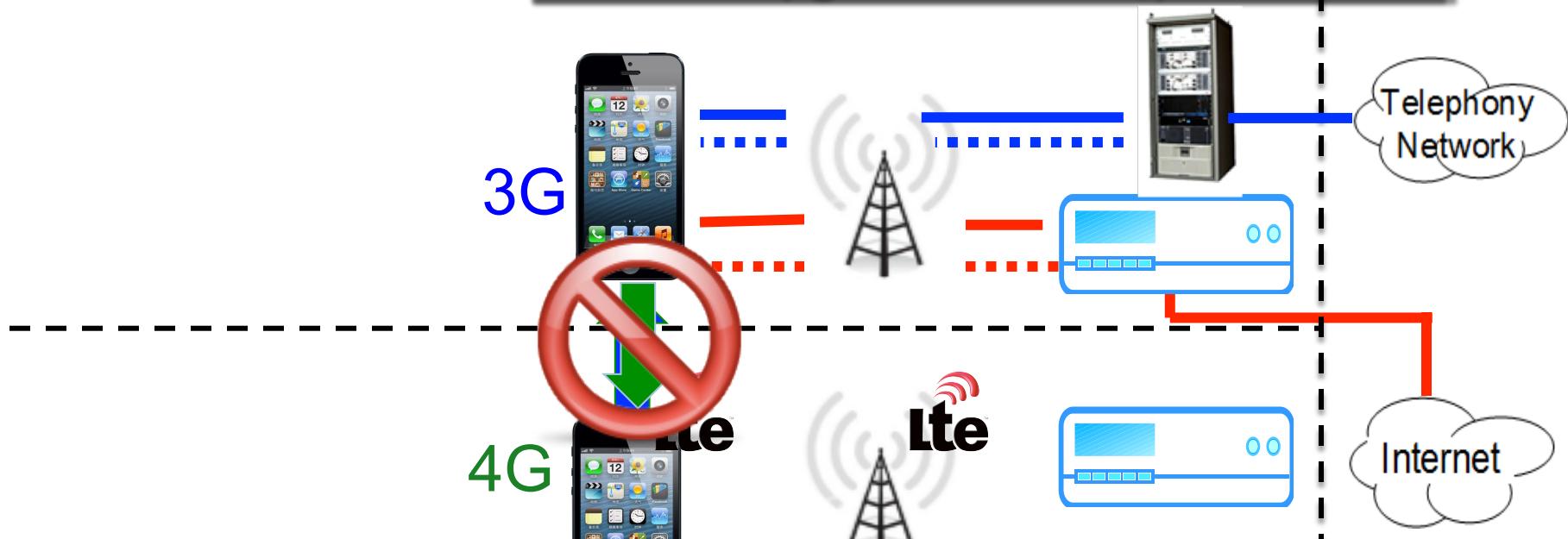
# Improper cooperation: cross-domain+system

## How and Why?

25

### □ Problematic Scenario: Call **with background data**

2. When the call ends, No  
**3G → 4G (data is still on)**



User gets stuck in 3G, losing 4G.

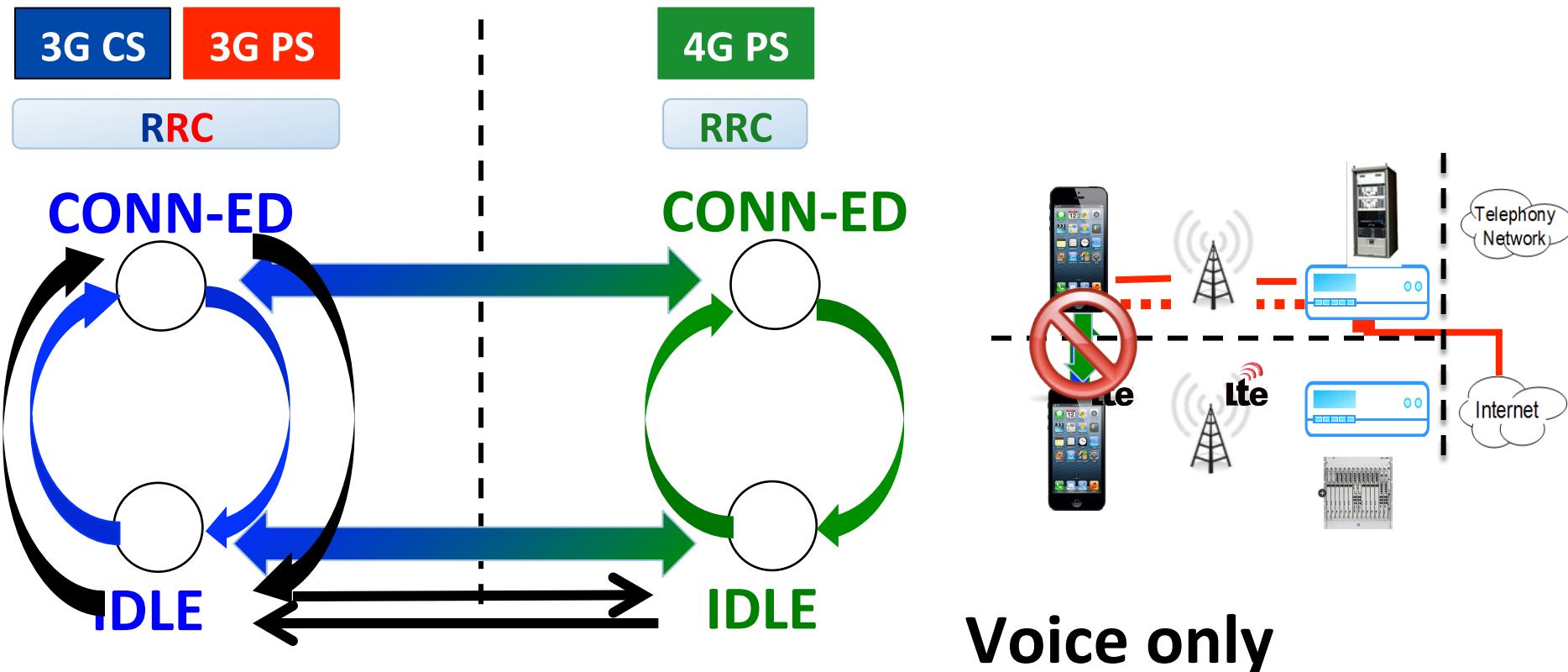


# Improper cooperation: cross-domain+system

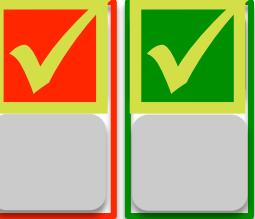
## How and Why?

26

- Unexpected loop in RRC state machine



User gets stuck in 3G, losing 4G.

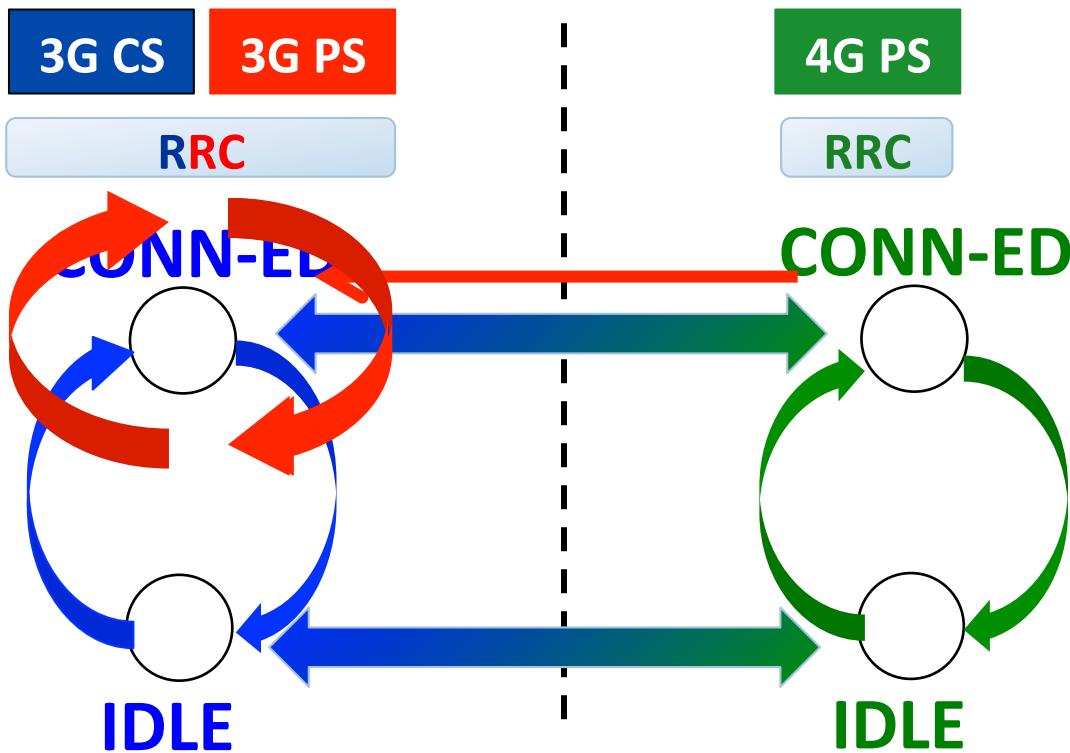


# Improper cooperation: cross-domain+system

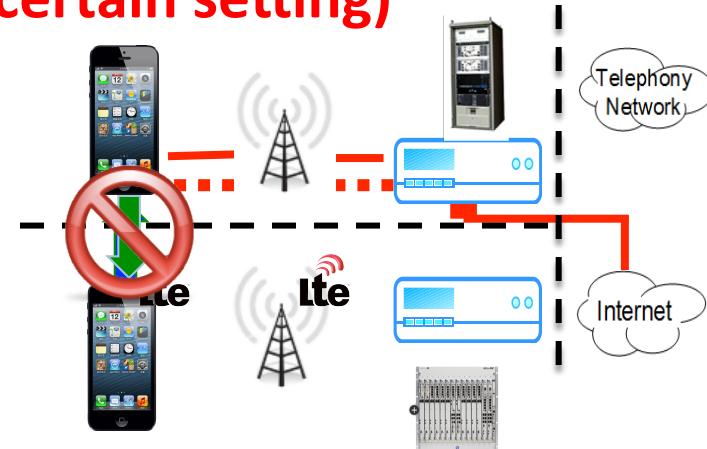
## How and Why?

27

- Unexpected loop in RRC state machine



**Voice + Data  
(certain setting)**



User gets stuck in 3G, losing 4G.

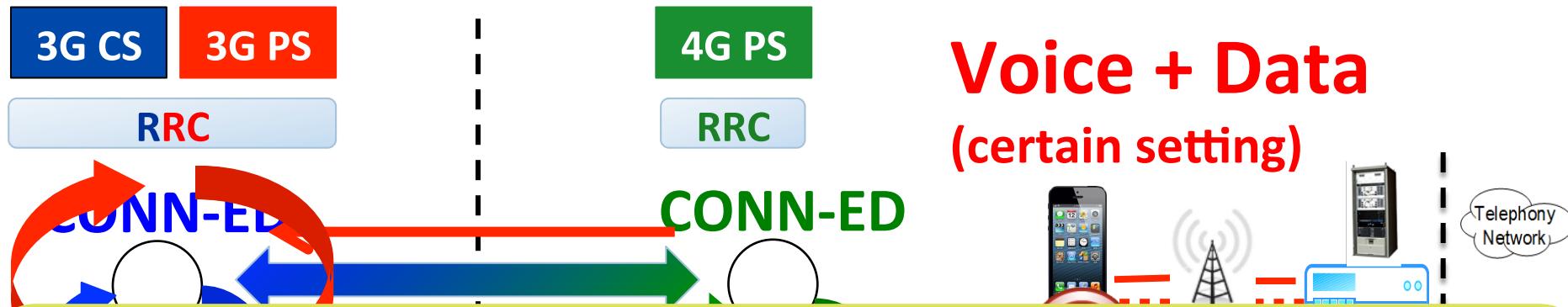


# Improper cooperation: cross-domain+system

## How and Why?

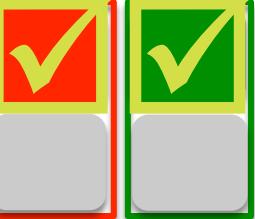
28

- Unexpected loop in RRC state machine



RRC state transition is inconsistent with dual-domain, inter-system settings

User gets stuck in 3G, losing 4G.



# Improper cooperation: cross-domain+system

29

## □ Real-world impact

- 62.1% 4G users being stuck in 3G after the call
- Stuck in 3G for 39.6s in average

## □ Lessons: a design defect

- 3G CS and 3G PS are **indirectly** coupled in RRC
- Inconsistent state transition with all 3G→4G options

## □ Proposed remedies

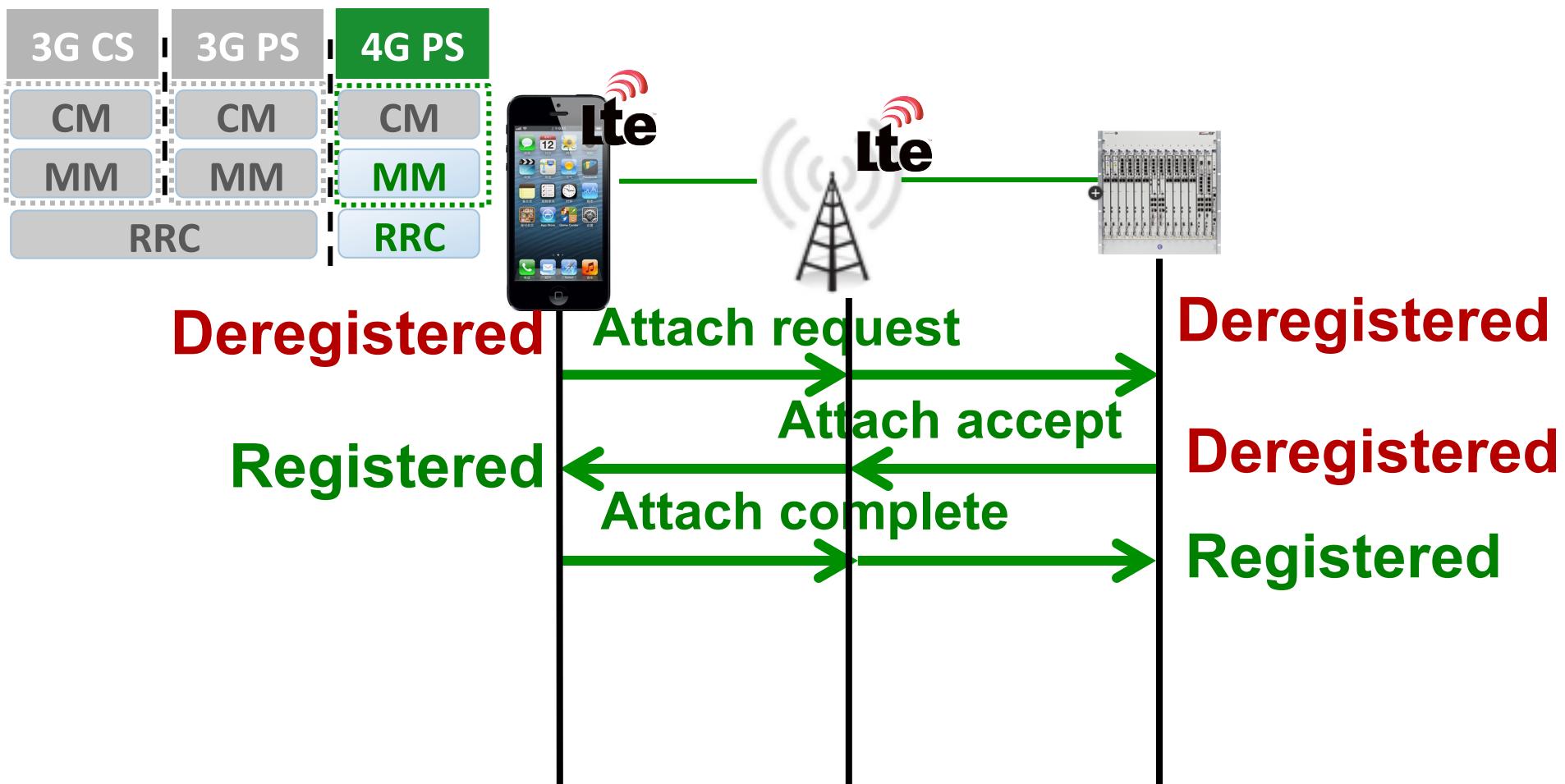
- Revise the RRC state transition for possible settings

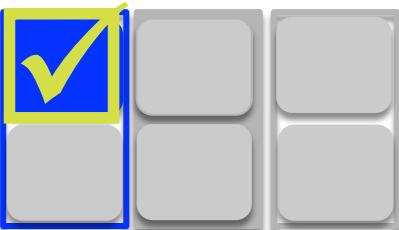


30

# Improper cooperation: Cross-Layer How and why?

- Problem Scenario: Signaling loss for registration



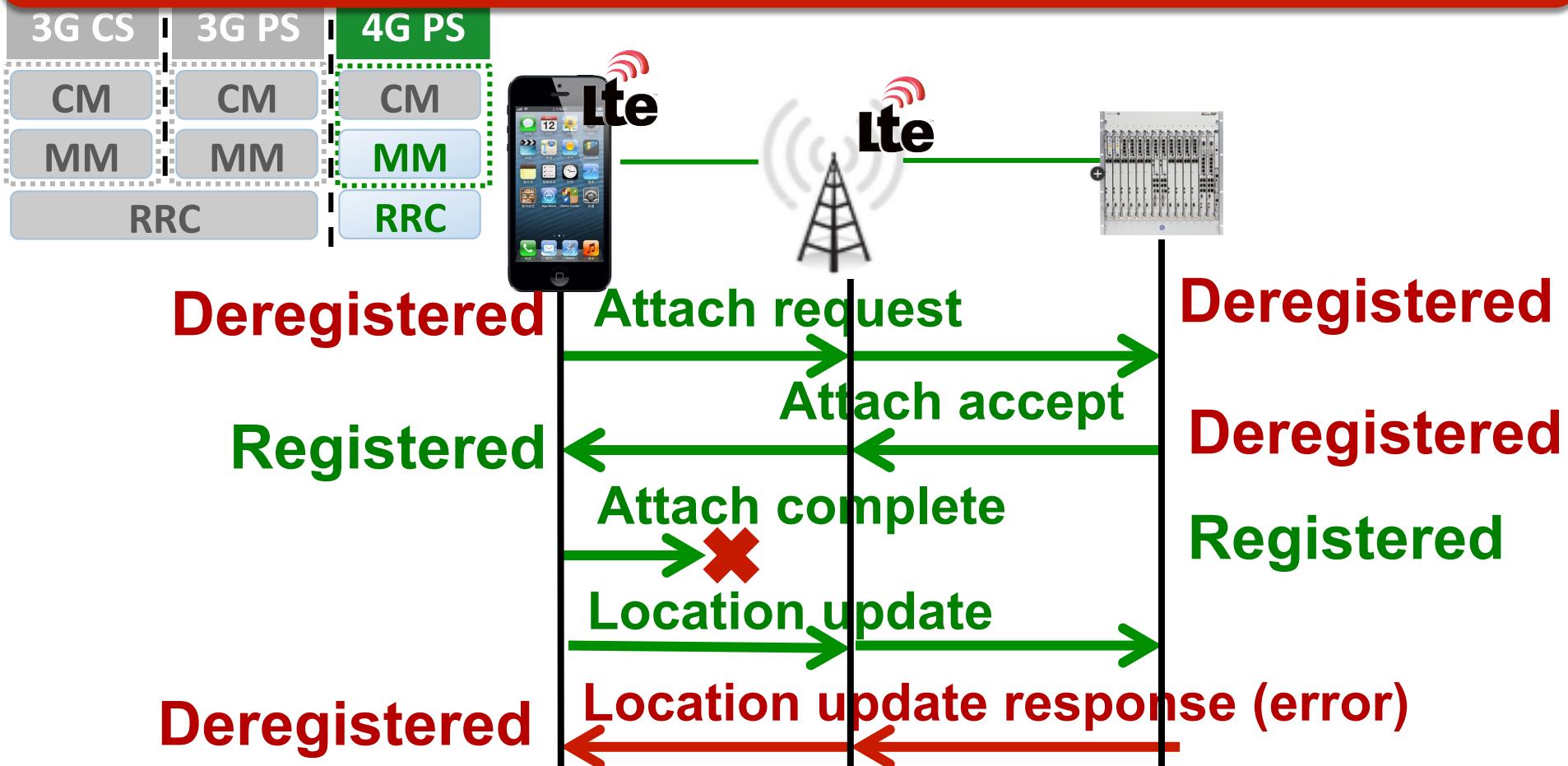


# Improper cooperation: Cross-Layer

## How and why?

31

“out-of-service” right after being attached



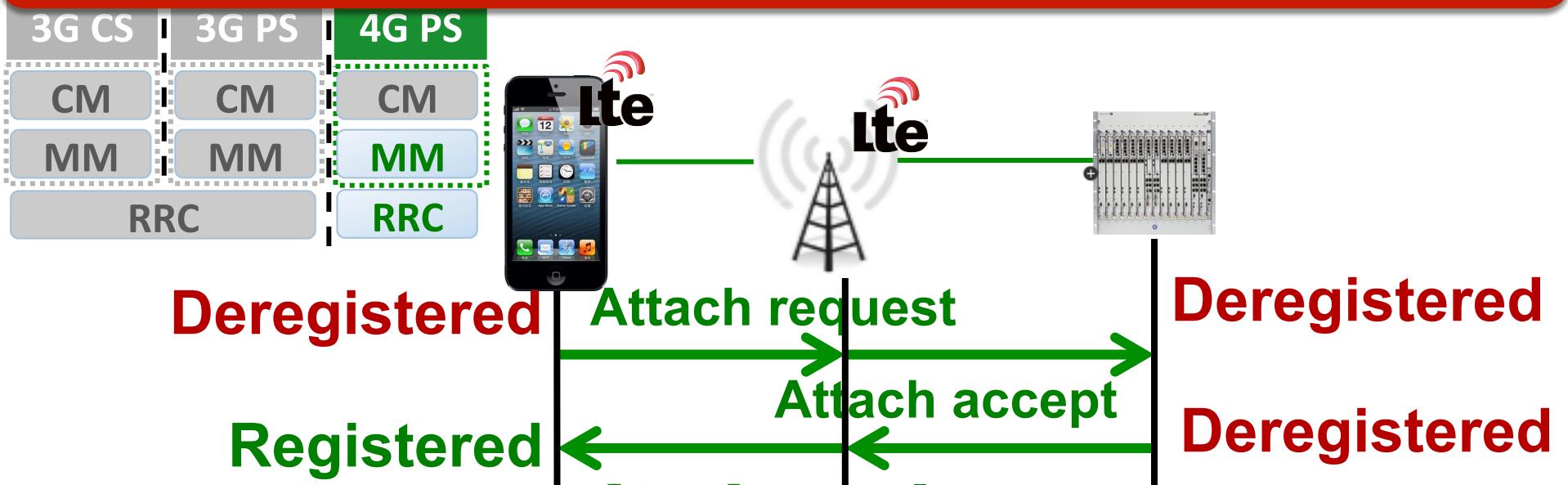


# Improper cooperation: Cross-Layer

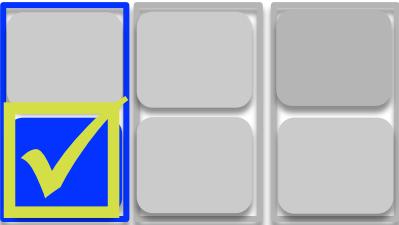
## How and why?

32

“out-of-service” right after being attached



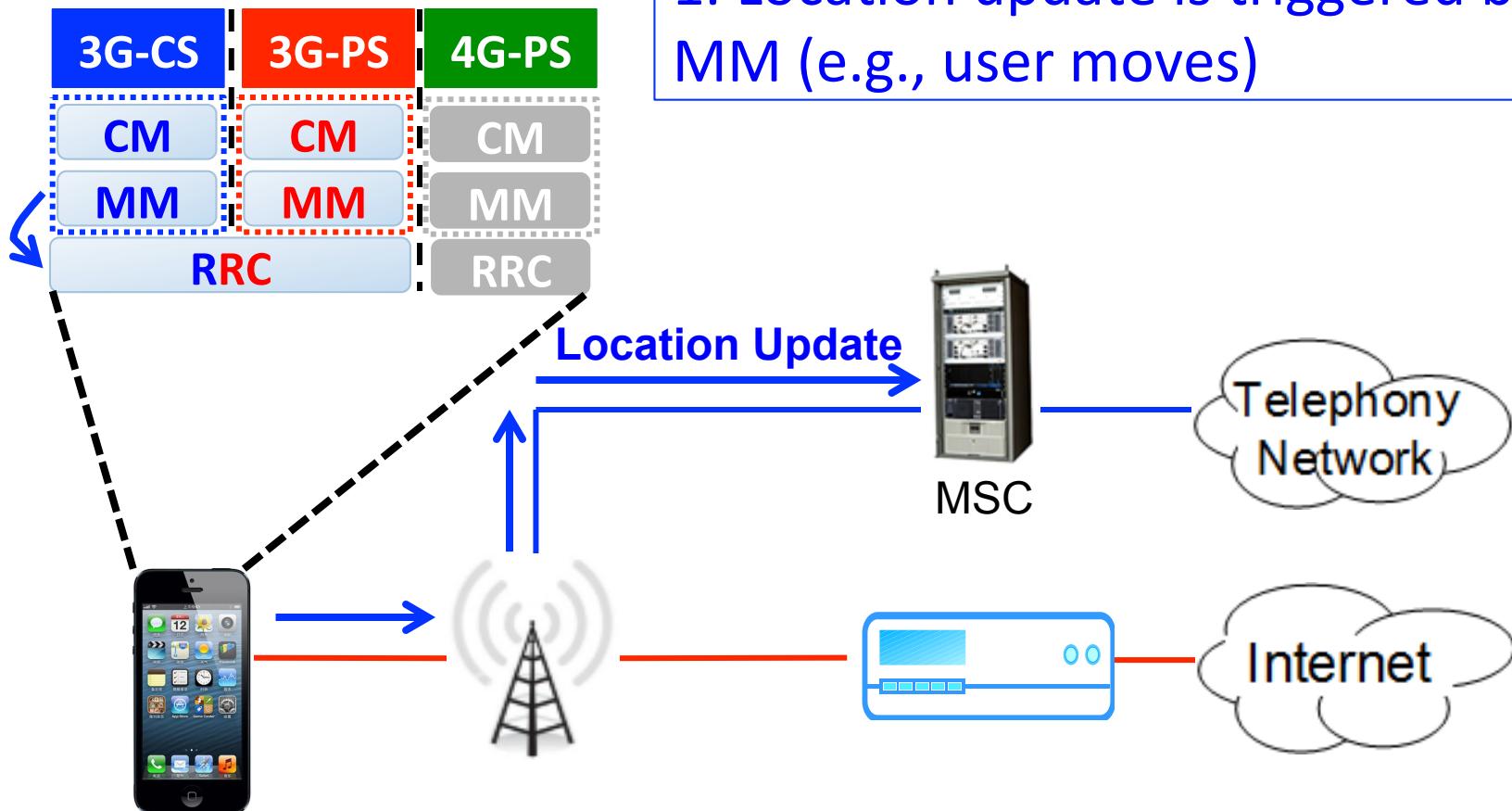
Upper-layer (MM) assumes underlying reliable in-sequence signal transfer, but lower-layer (RRC) cannot offer this guarantee

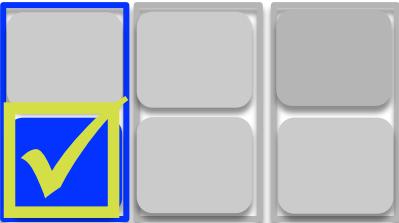


# Unnecessary Coupling: Cross-layer

33

- Scenario: voice/data request with location update

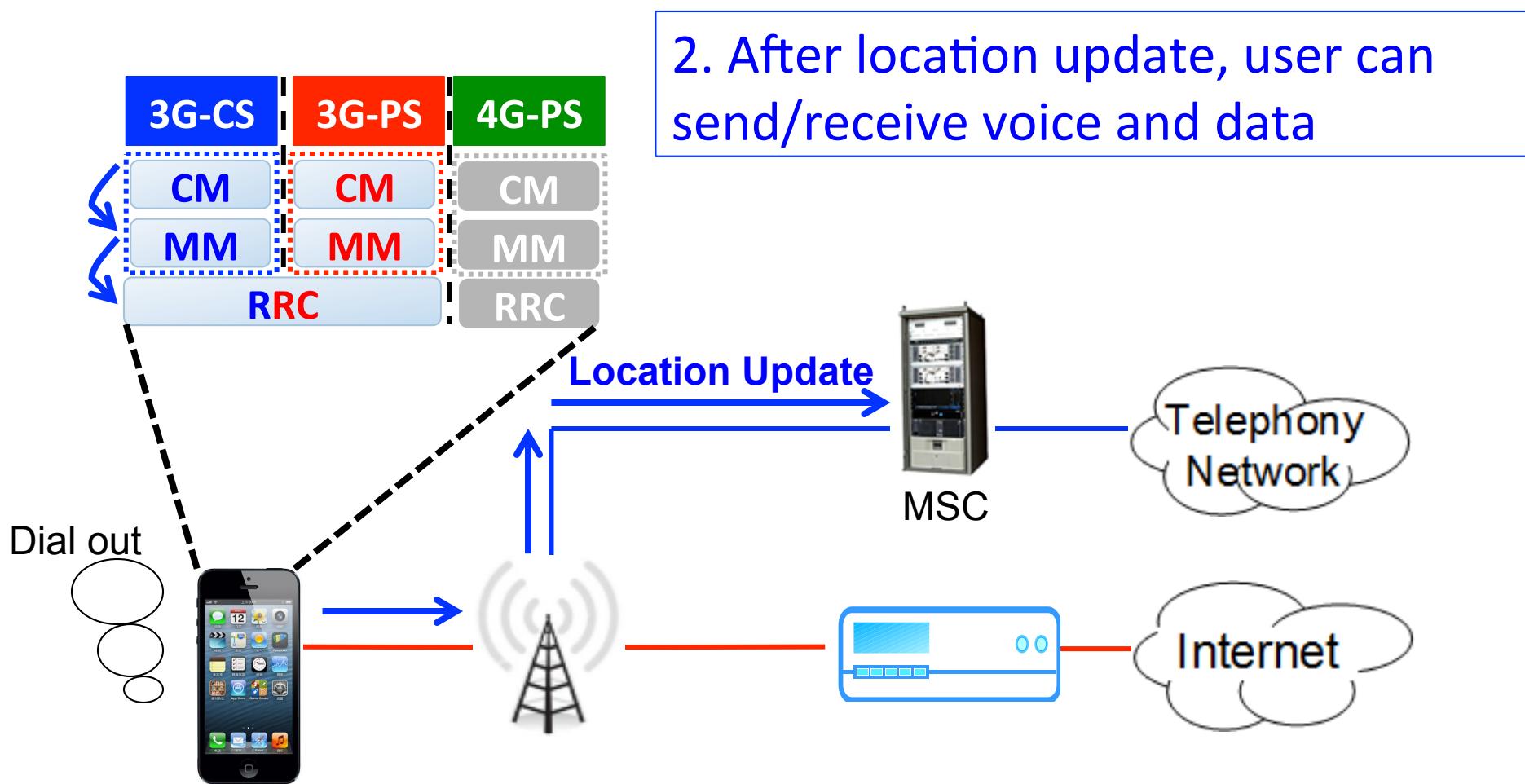


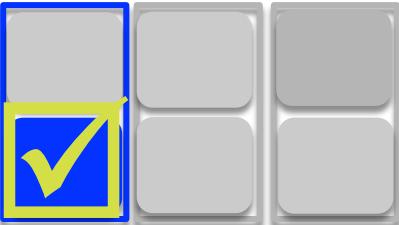


# Unnecessary Coupling: Cross-layer

34

- Scenario: voice/data request with location update

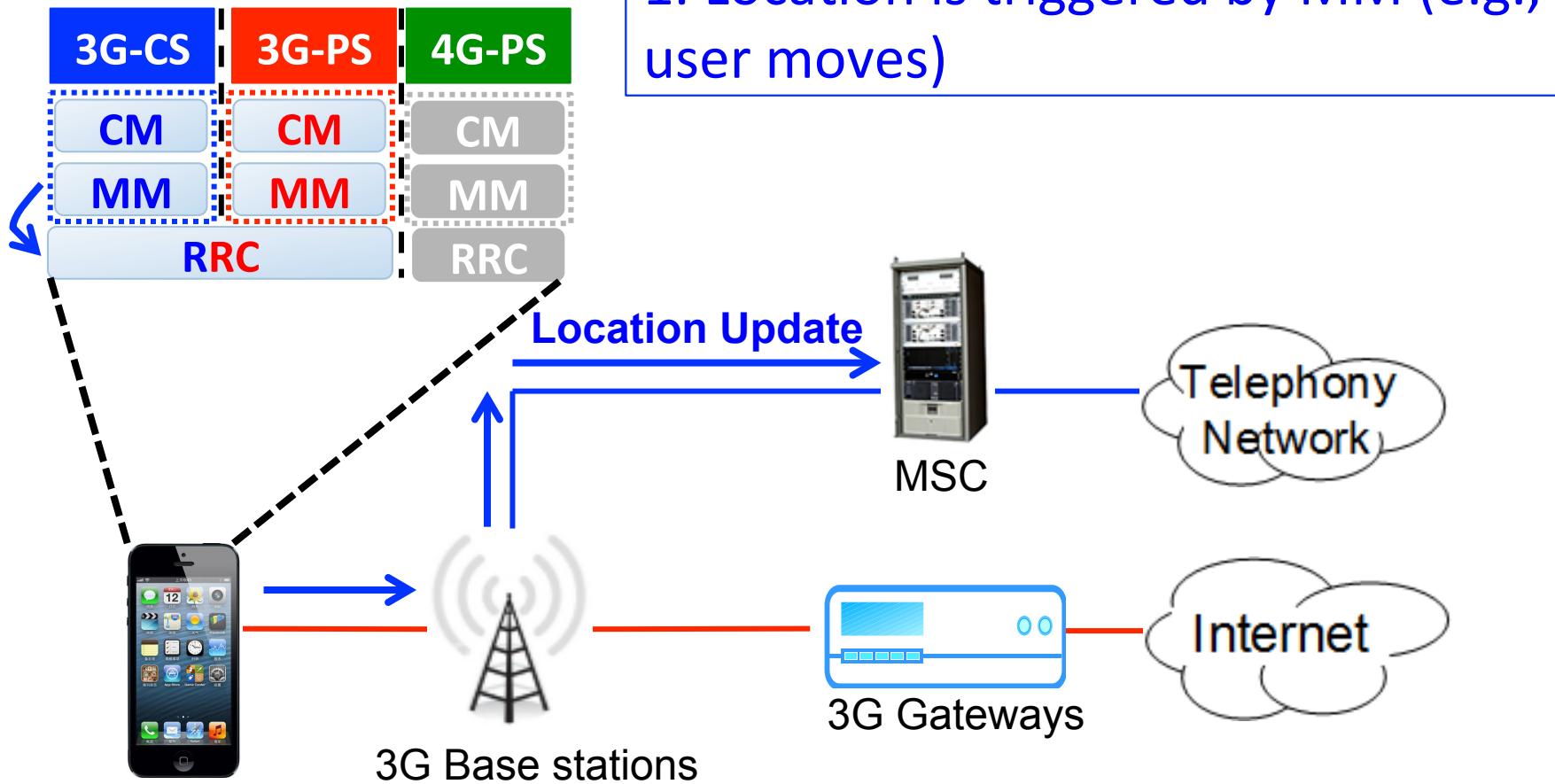


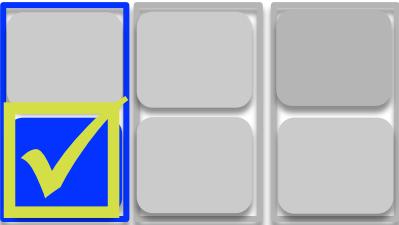


# Unnecessary Coupling: Cross-layer How and why?

35

- Problematic Scenario: voice/data request during the location update



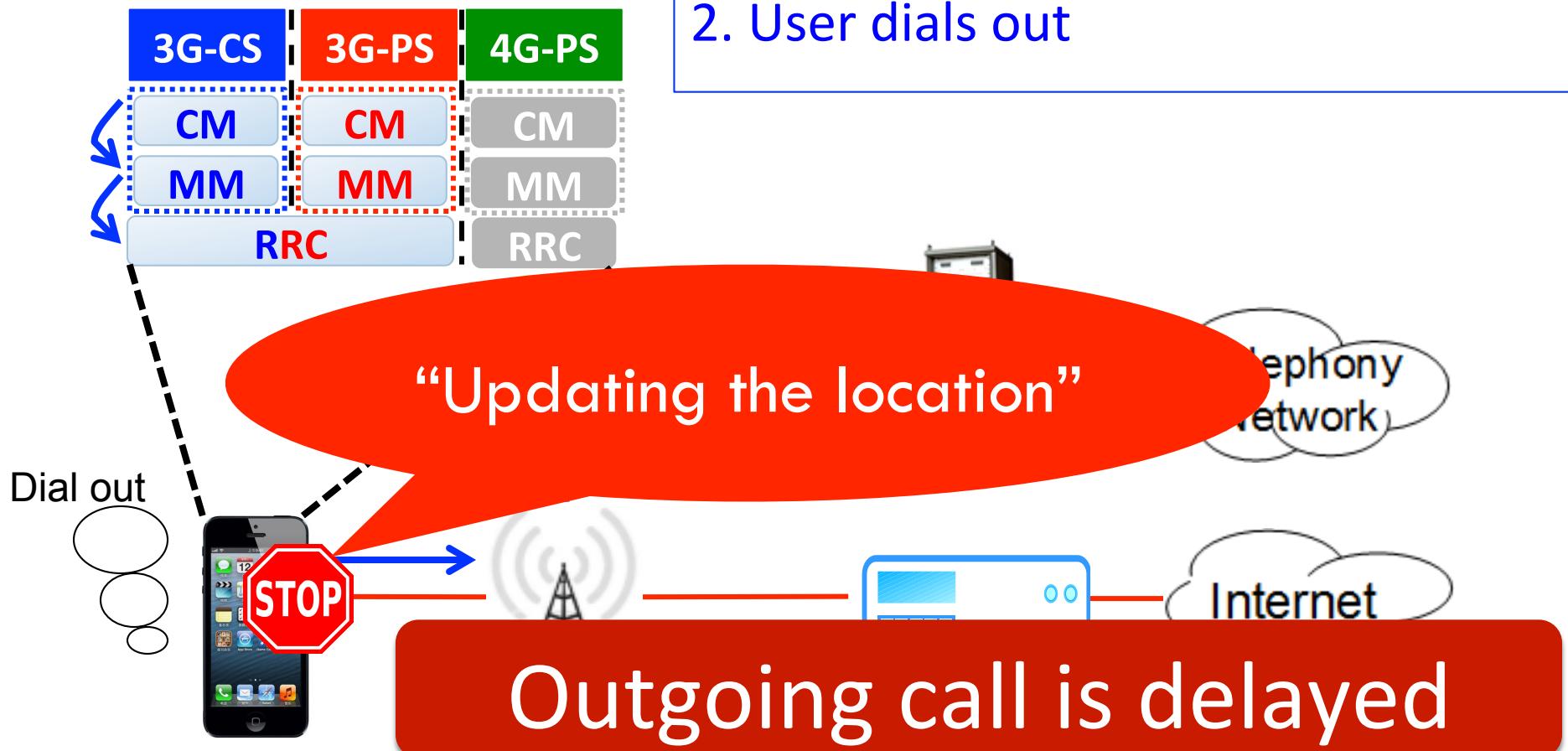


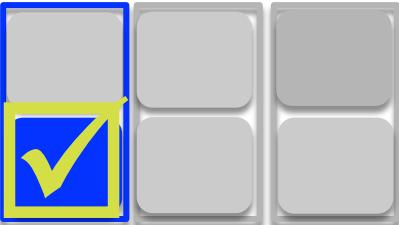
# Unnecessary Coupling: Cross-layer

## How and why?

36

- Problematic Scenario: voice/data request during the location update





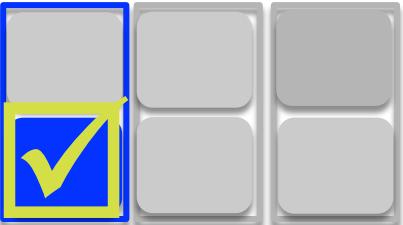
# Unnecessary Coupling: Cross-layer

## How and why?

37

*“Without user location, the cellular network cannot route user voice/data.”*





# Unnecessary Coupling: Cross-layer

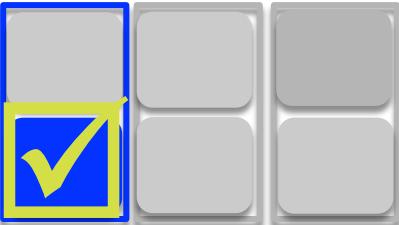
## How and why?

38

*“Without user location, the cellular network cannot route user voice/data.”*

**Outgoing** voice/data requests can be routed without user location

Unnecessary prioritization of location update over outgoing call/data



# Unnecessary Coupling: Cross-layer

39

## □ Real-world Impact

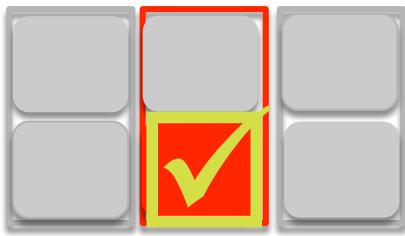
- up to 8.3s call delay and 4.1s data delay
- 7.6% of outgoing calls occur during location update

## □ Lessons: a design defect

- outgoing data/voice requests and location update are independent, but they are artificially correlated

## □ Proposed remedies

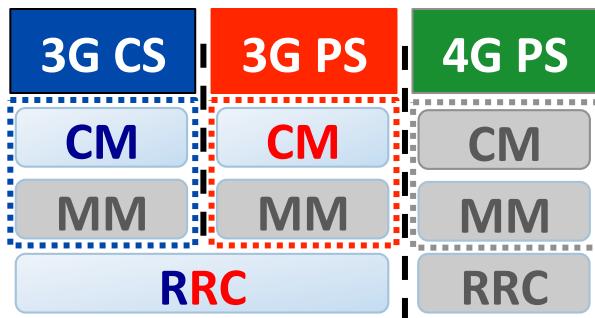
- Decouple location update and outgoing data/voice requests
- E.g., two parallel MM threads for different purposes



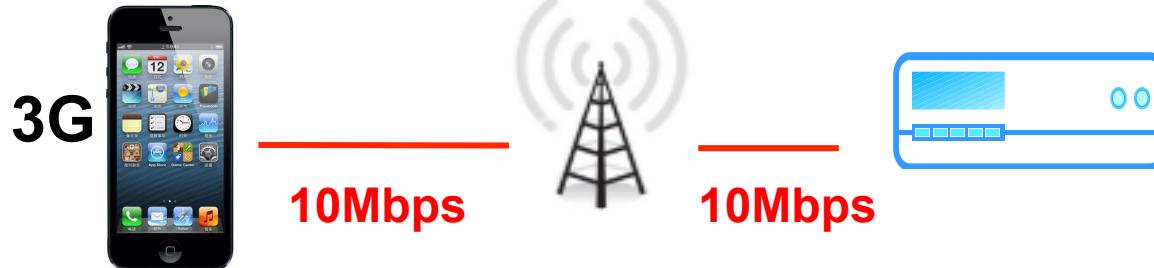
# Unnecessary Coupling: Cross-domain

40

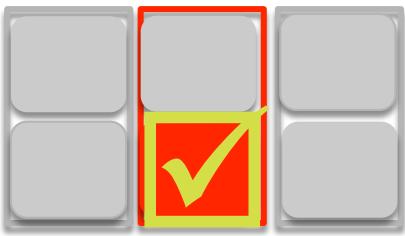
- Scenario: dial a call during data service in 3G



Circuit Switching (CS)



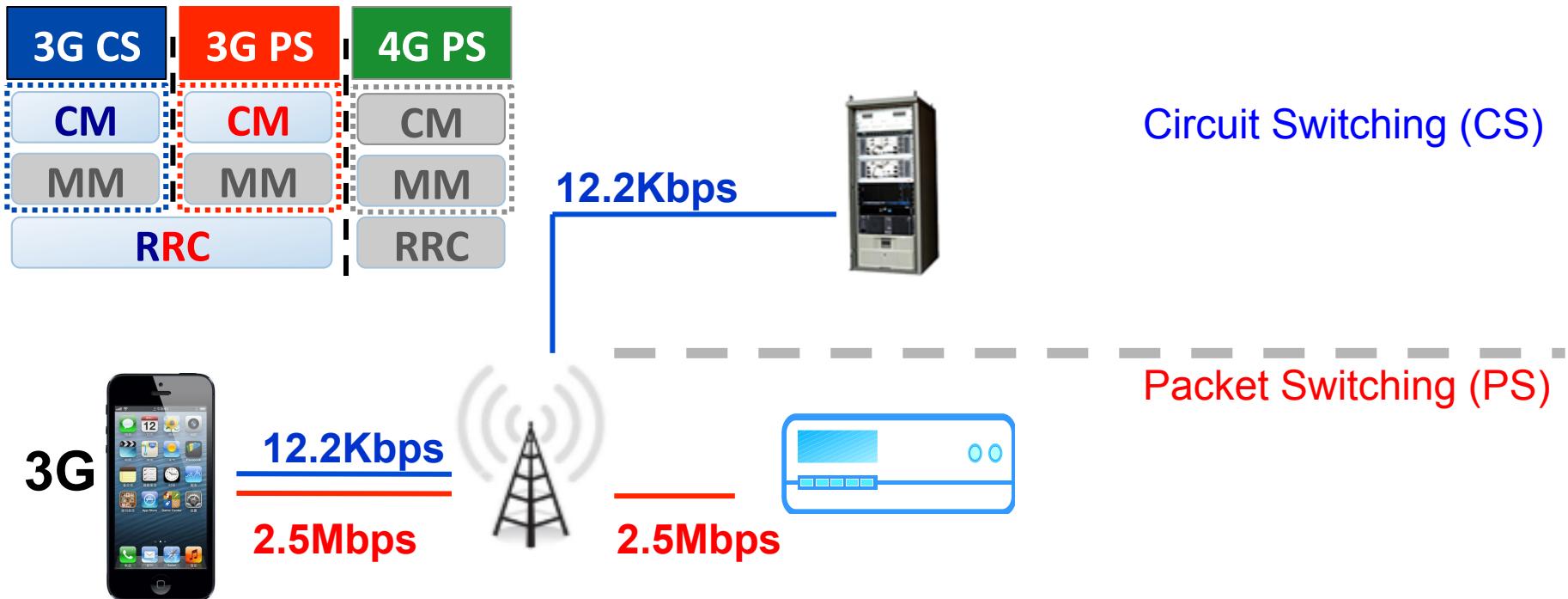
Packet Switching (PS)



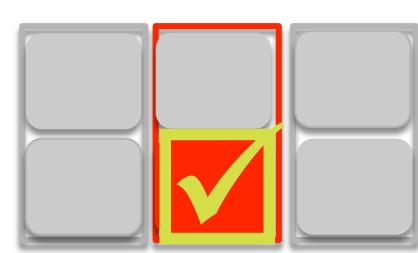
# Unnecessary Coupling: Cross-domain

41

- Scenario: dial a call during data service in 3G



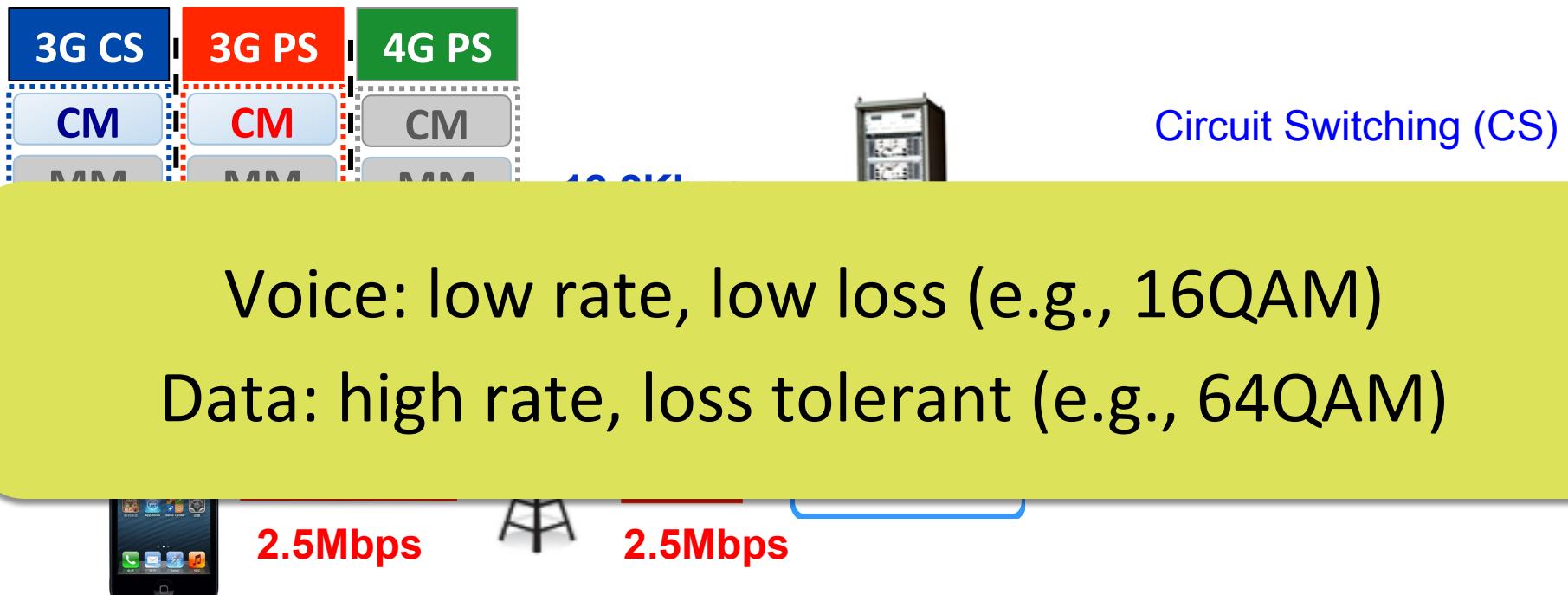
Data service rate declines up to 74%



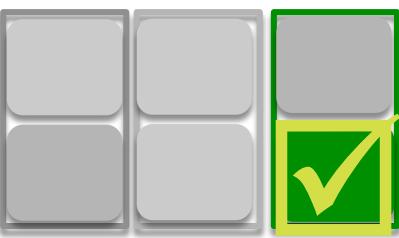
# Unnecessary Coupling: Cross-domain

42

- Scenario: dial a call during data service in 3G



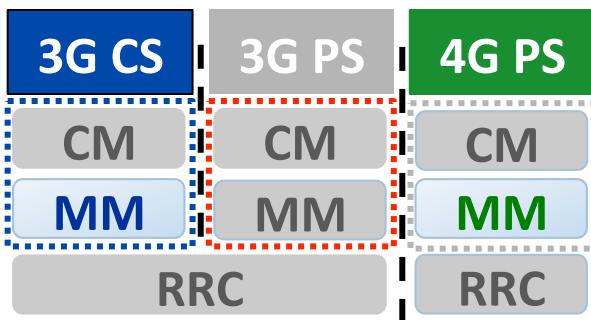
Voice and data have competing demands on the channel, but they have to share the radio channel



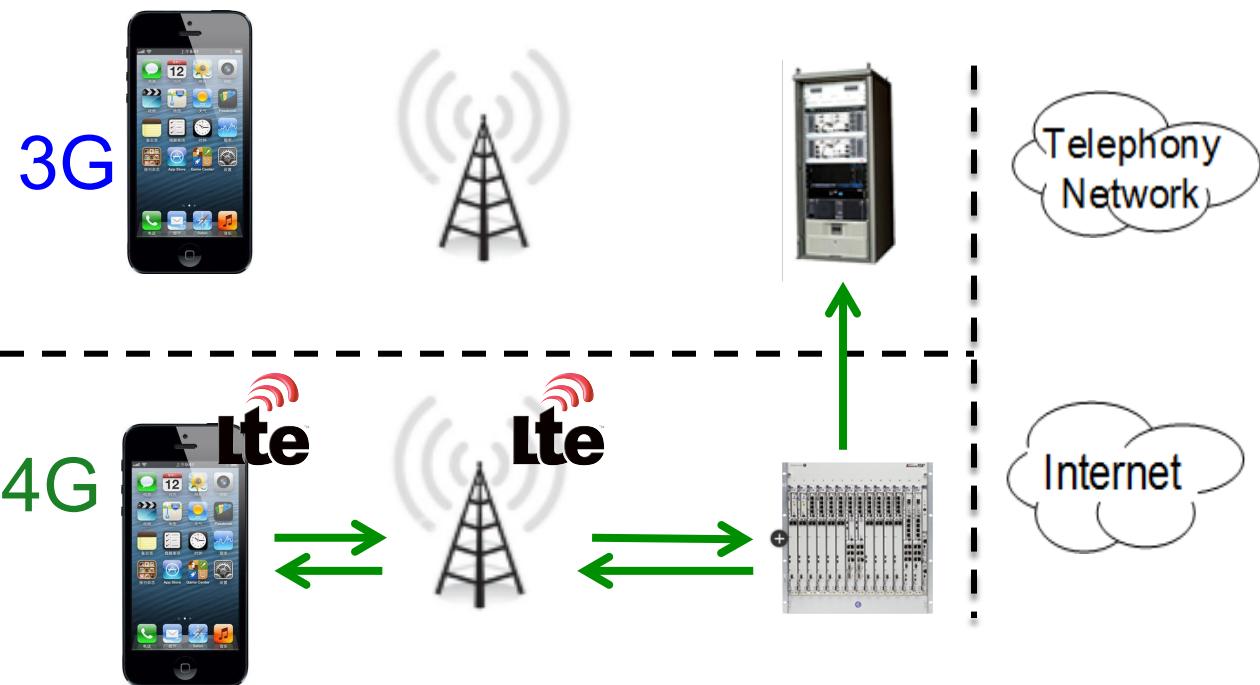
# Unnecessary Coupling: Cross-system

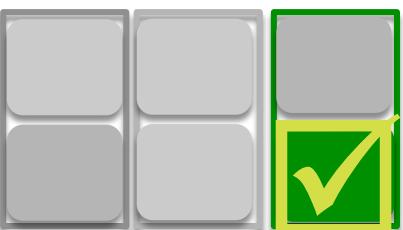
43

- Scenario: Location update in 3G and 4G



1. Update 4G location, and notify 3G MSC

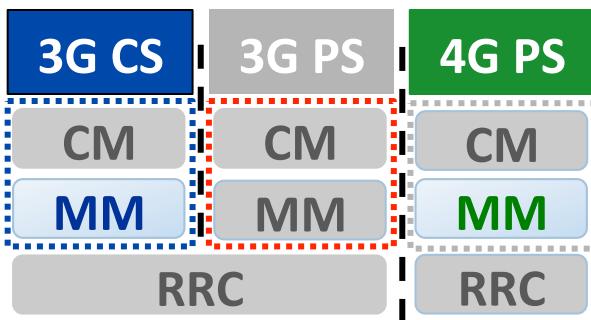




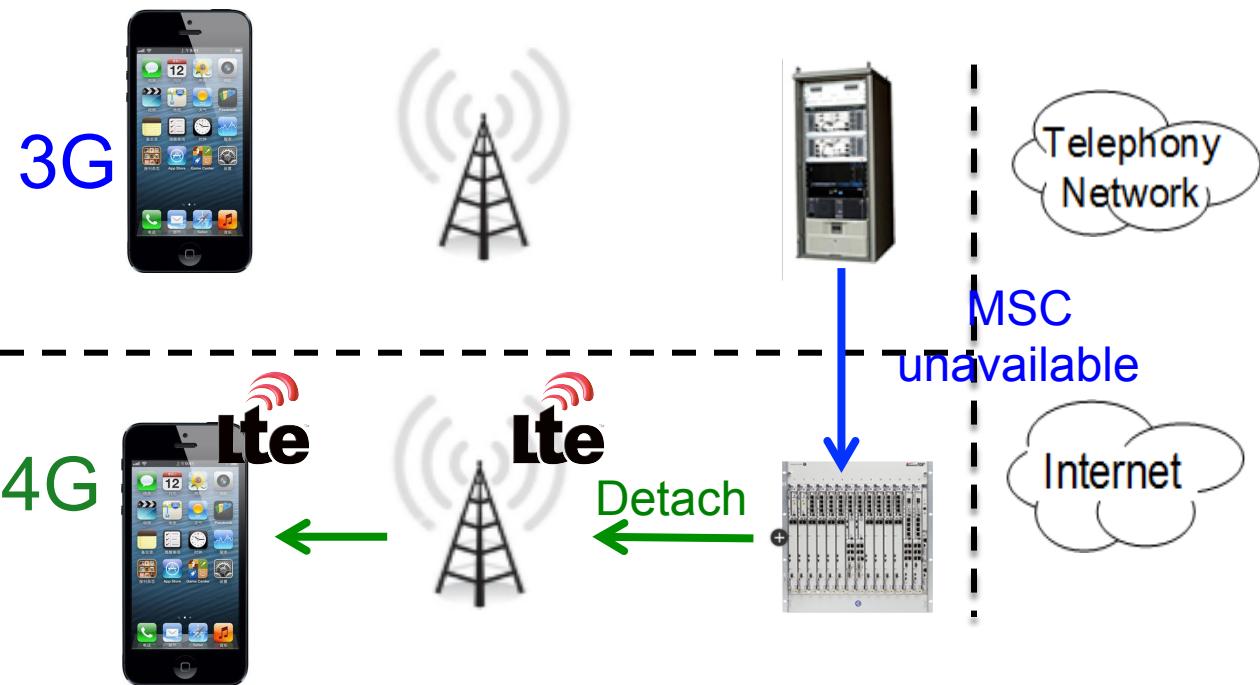
# Unnecessary Coupling: Cross-system

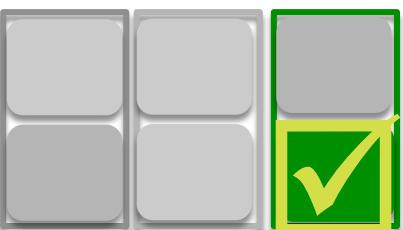
44

- Scenario: Location update in 3G and 4G



2. 3G location update fails, so 4G deregisters the network

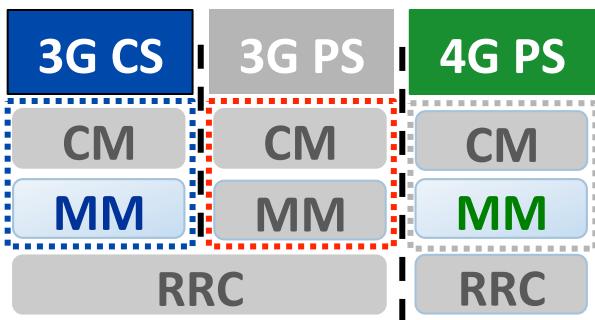




# Unnecessary Coupling: Cross-system

45

- Scenario: Location update in 3G and 4G



2. 3G location update fails, so 4G deregisters the network



3G internal failures are exposed to 4G devices

# Conclusion

46

- Uncover problems in signaling protocol interactions in cellular networks
- Three Lessons
  - ▣ The layering rule should be fully honored (optimistic assumptions, coupled actions)
  - ▣ Inter-domain difference should be well recognized (coupling independent services)
  - ▣ Hybrid systems are not properly coordinated (context sharing, fault isolation)
- More rigorous efforts are needed