Chapter 2
Application Layer

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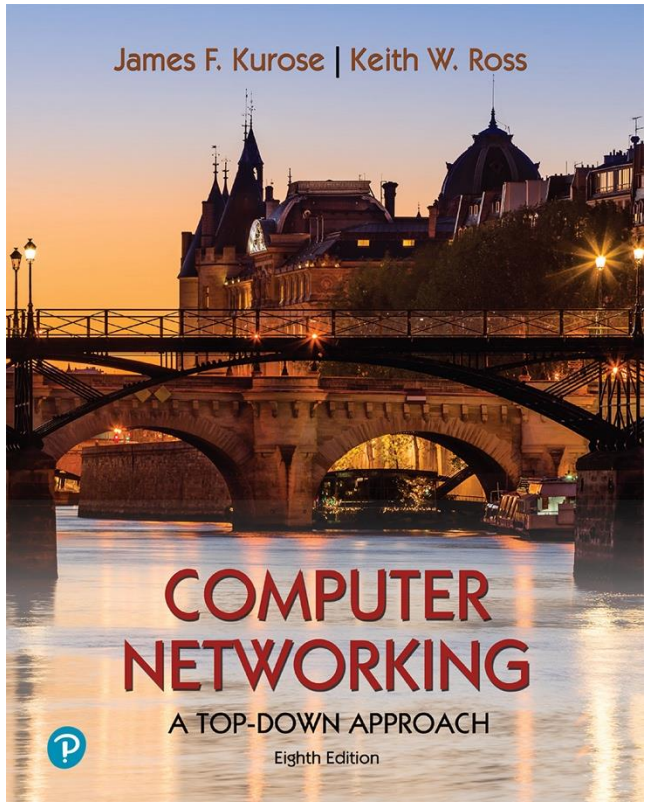


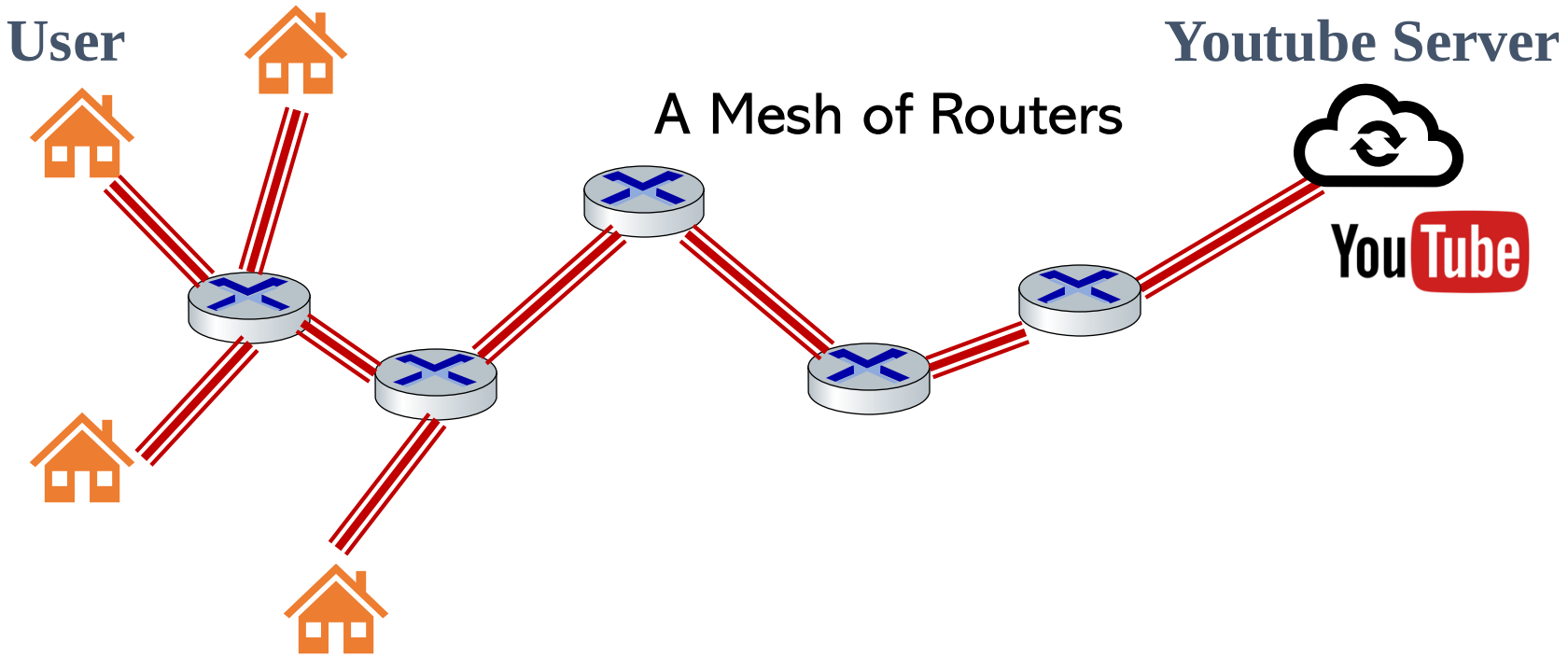
Computer Networking: A Top-Down Approach
8th edition n
Jim Kurose, Keith Ross
Pearson, 2020

Adapted from the slides of the book's authors

Application layer: overview

- Principles of network applications
- socket programming with UDP and TCP
  - Transport layer interface
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System DNS
- P2P applications
- video streaming and content distribution networks

Example: how to run a network application?



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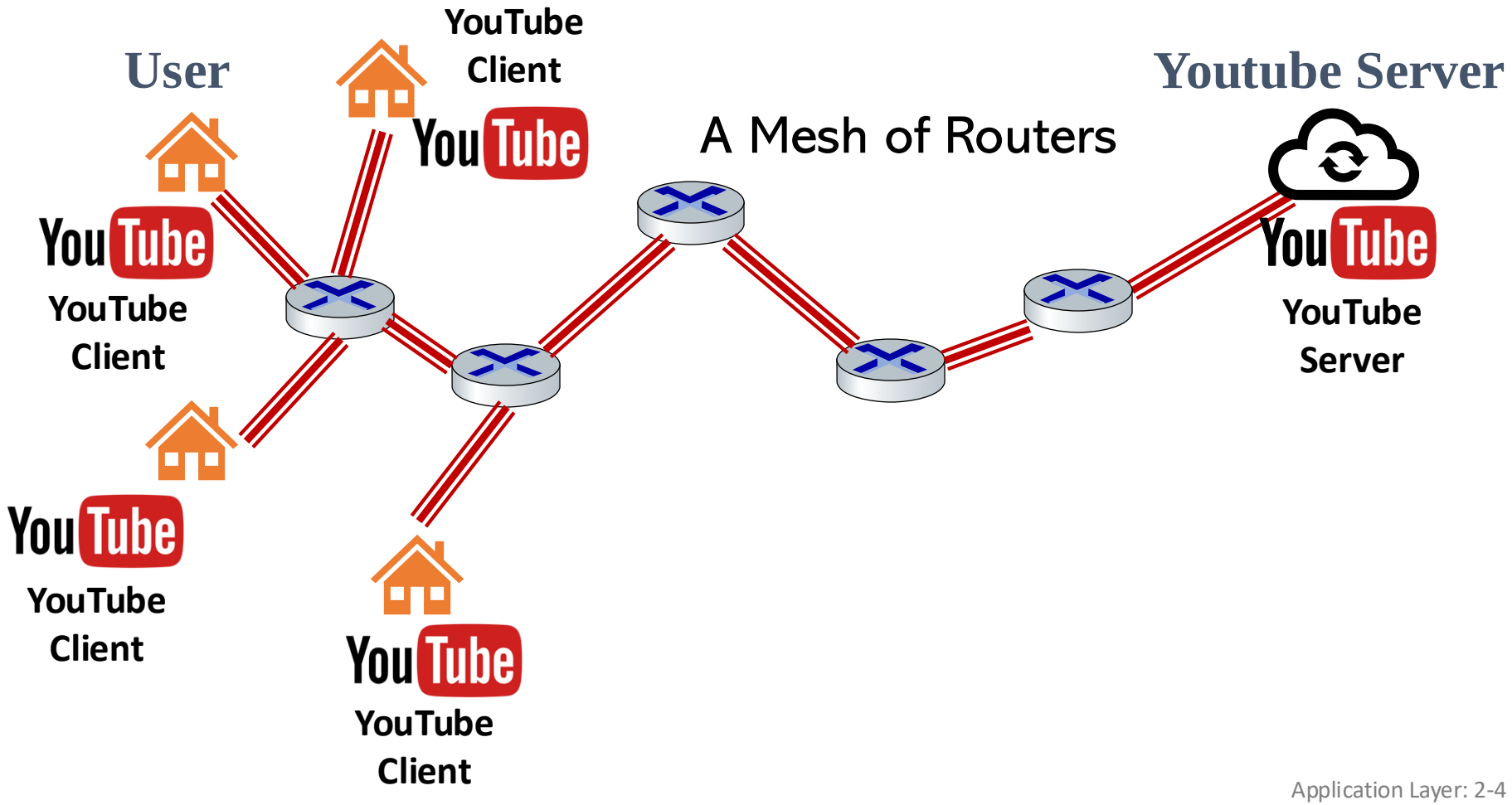

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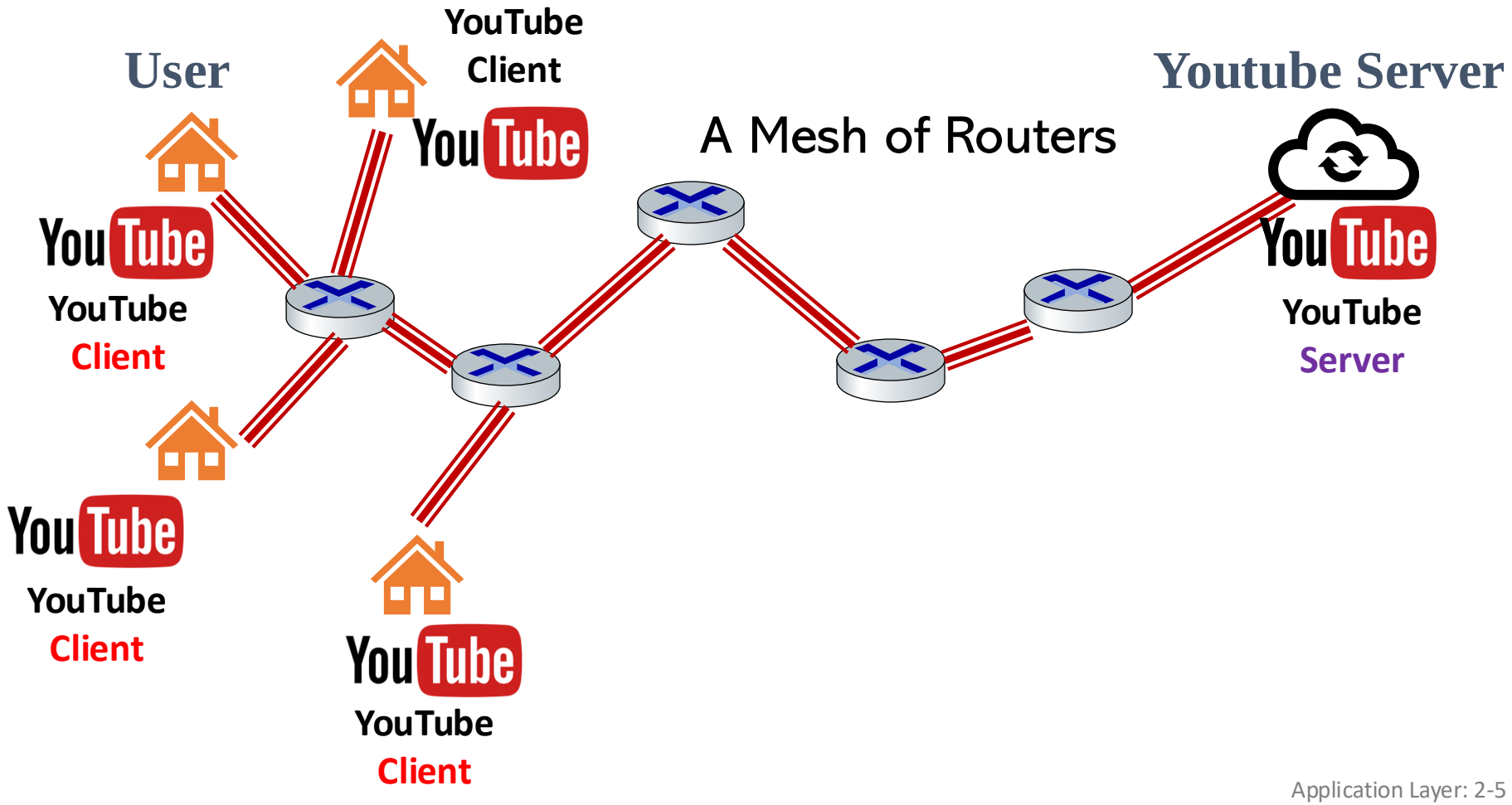
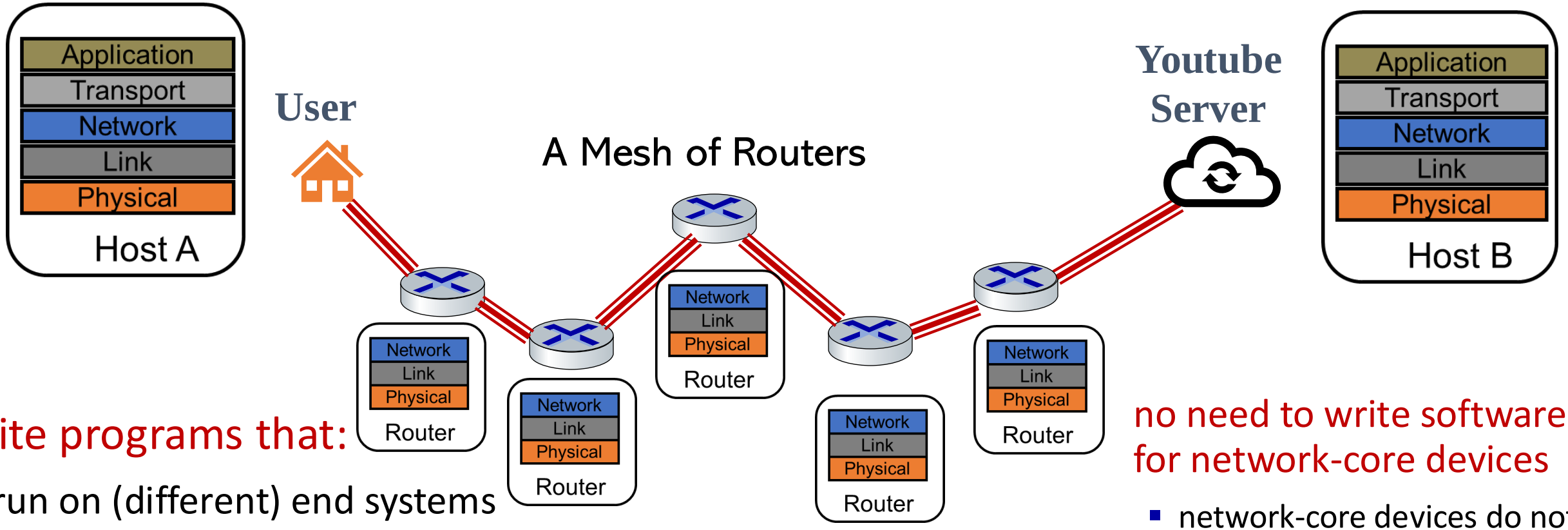


Application layer is an end-to-end layer



write programs that:

- run on (different) end systems
- communicate over network
- e.g., web server software communicates with browser software

no need to write software for network-core devices

- network-core devices do not run user applications
- applications on end systems allow for rapid app development, propagation

Client-server paradigm

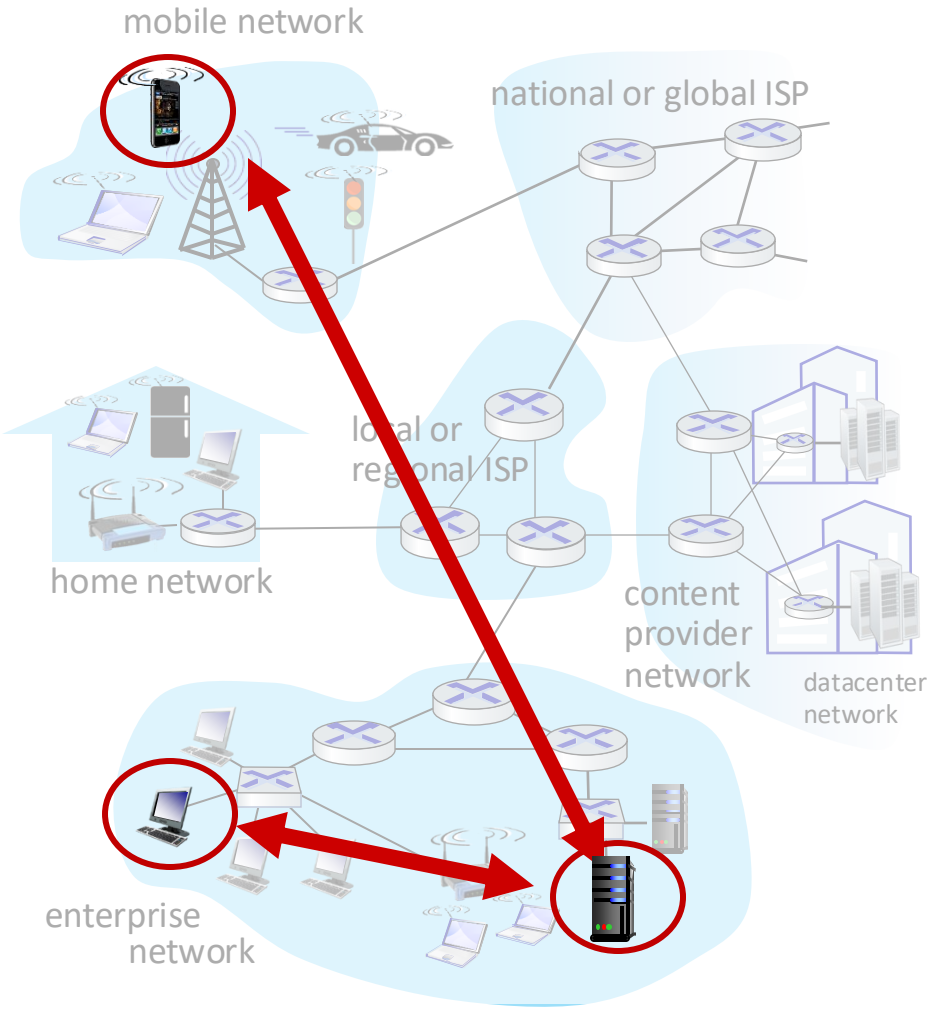
server:

- always-on host
- permanent IP address
- often in data centers, for scaling

clients:

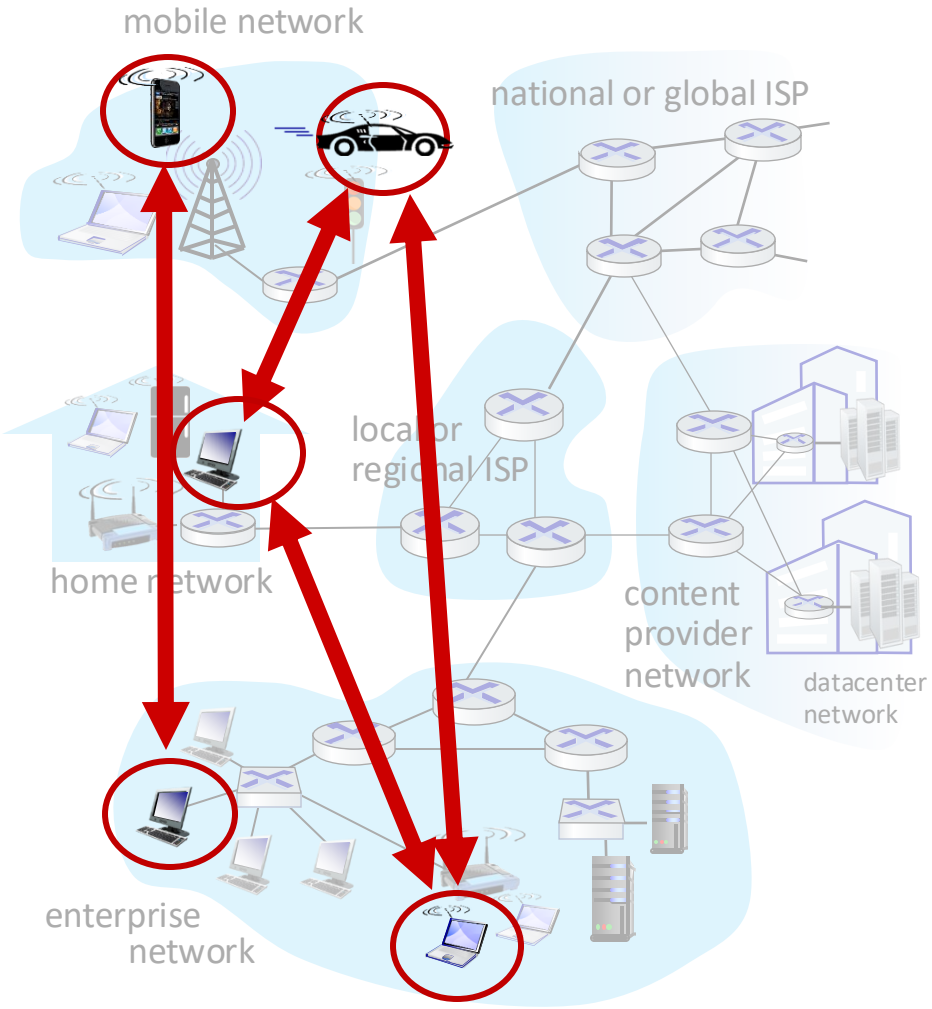
- contact, communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do *not* communicate directly with each other

- examples: HTTP, IMAP, FTP



Peer-peer architecture

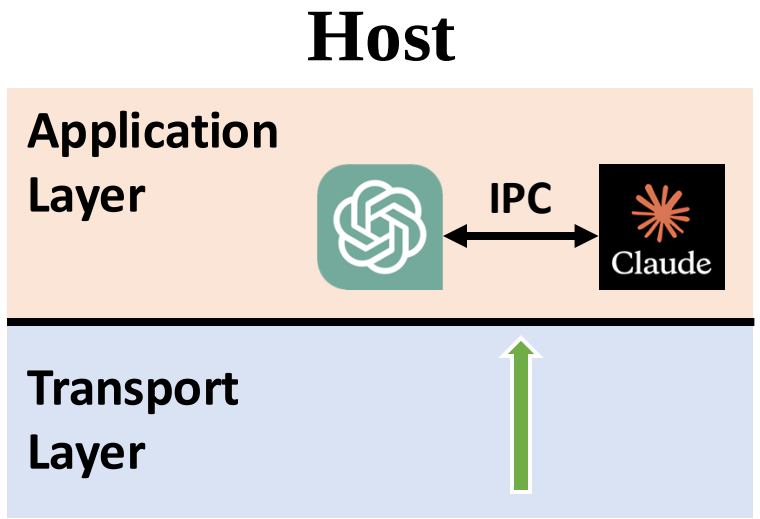
- *no* always-on server
- arbitrary end systems directly communicate
- peers request service from other peers, provide service in return to other peers
  - *self scalability* – new peers bring new service capacity, as well as new service demands
- peers are intermittently connected and change IP addresses
  - complex management
- example: P2P file sharing



Processes communicating

process: program running within a host

- within same host, two processes communicate using inter-process communication (defined by OS)
- processes in different hosts communicate by exchanging messages



Processes communicating

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clients, servers

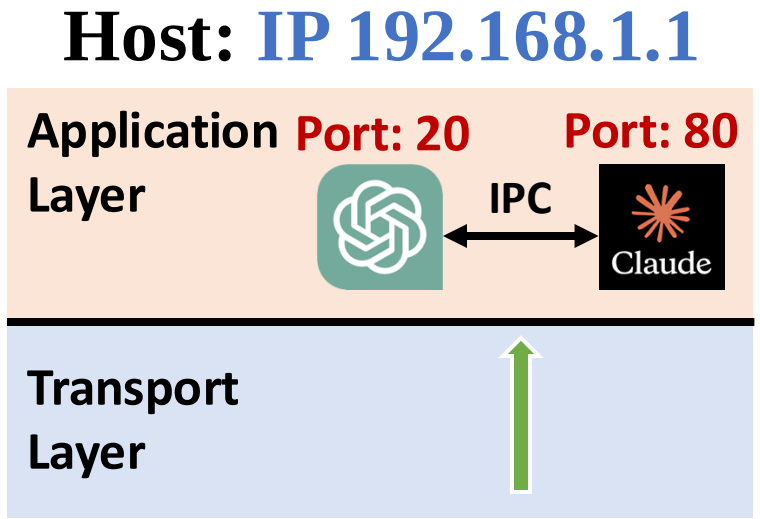
client process: process that initiates communication

server process: process that waits to be contacted

- note: applications with P2P architectures also have client processes & server processes

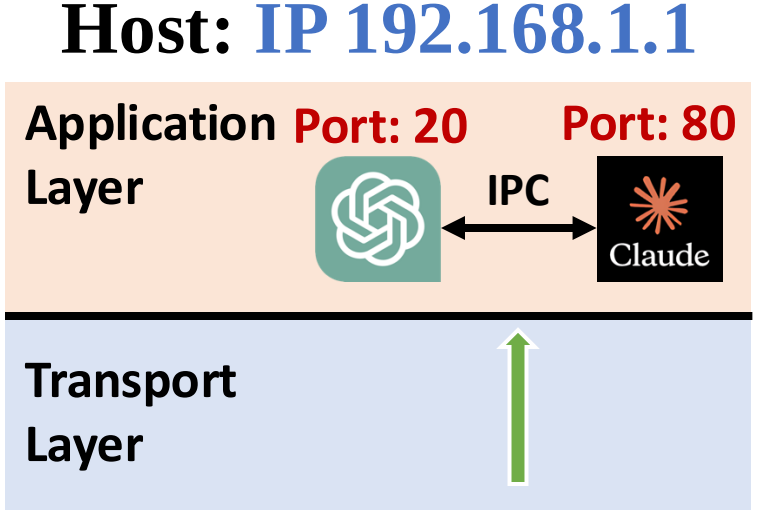
Addressing processes

- to receive messages, a process must have an *identifier*
- host device has unique 32-bit IP address
- *Q:* does IP address of host on which process runs suffice for identifying the process?
  - *A:* no, *many* processes can be running on same host



Addressing processes

- *identifier* includes both IP address and port numbers associated with process on host.
- example port numbers:
  - HTTP server: 80
  - mail server: 25

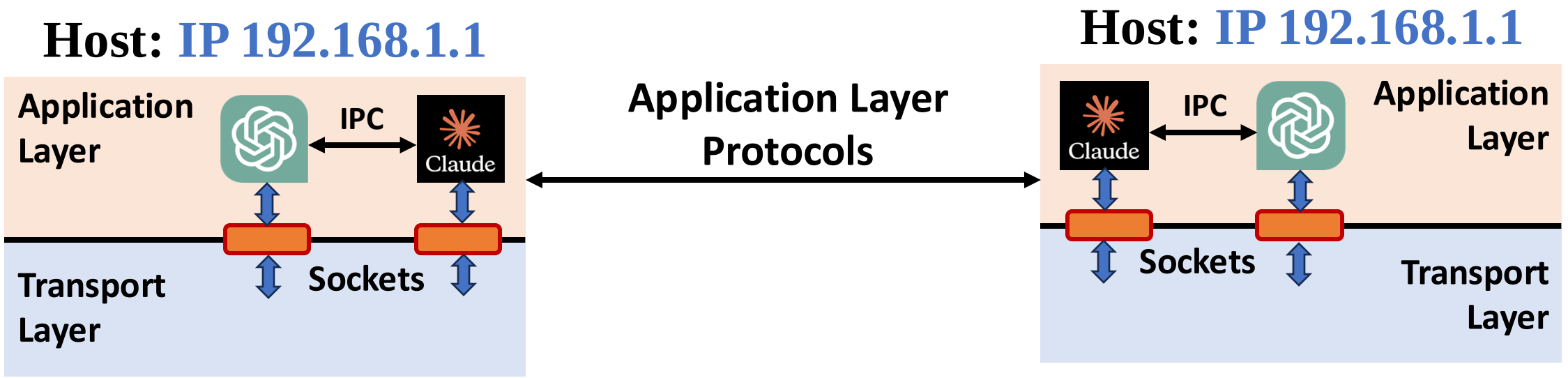


Host Process:
IP 192.168.1.1 + Port: 20

Host Process:
IP 192.168.1.1 + Port: 80

Sockets (interface) and Protocols

- process sends/receives messages to/from its socket
- Process communicate with process on the other host via application layer protocols



An application-layer protocol defines:

- types of messages exchanged,
  - e.g., request, response
- message syntax:
  - what fields in messages & how fields are delineated
- message semantics
  - meaning of information in fields
- rules for when and how processes send & respond to messages

open protocols:

- defined in RFCs, everyone has access to protocol definition
- allows for interoperability
- e.g., HTTP, SMTP

proprietary protocols:

- e.g., Skype, Zoom

What transport service does an app need?

data integrity

- some apps (e.g., file transfer, web transactions) require 100% reliable data transfer
- other apps (e.g., audio) can tolerate some loss

timing

- some apps (e.g., Internet telephony, interactive games) require low delay to be “effective”

throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be “effective”
- other apps (“elastic apps”) make use of whatever throughput they get

security

- encryption, data integrity, …

Transport service requirements: common apps

application	data loss	throughput	time sensitive?
file transfer/download	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5Kbps-1Mbps video:10Kbps-5Mbps	yes, 10's msec
streaming audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	Kbps+	yes, 10's msec
text messaging	no loss	elastic	yes and no

Internet transport protocols services (Details in Chapter 3)

TCP service:

- *reliable transport* between sending and receiving process
- *flow control:* sender won't overwhelm receiver
- *congestion control:* throttle sender when network overloaded
- *connection-oriented:* setup required between client and server processes
- *does not provide:* timing, minimum throughput guarantee, security

UDP service:

- *unreliable data transfer* between sending and receiving process
- *does not provide:* reliability, flow control, congestion control, security, or connection setup.

Q: why bother? *Why* is there a UDP?

Internet applications, and transport protocols

application	application layer protocol	transport protocol
file transfer/download	FTP [RFC 959]	TCP
e-mail	SMTP [RFC 5321]	TCP
Web documents	HTTP 1.1 [RFC 7320]	TCP
Internet telephony	SIP [RFC 3261], RTP [RFC 3550], or proprietary	TCP or UDP
streaming audio/video	HTTP [RFC 7320], DASH	UDP or TCP
interactive games	WOW, FPS (proprietary)	UDP or TCP

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- socket programming with UDP and TCP
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System DNS
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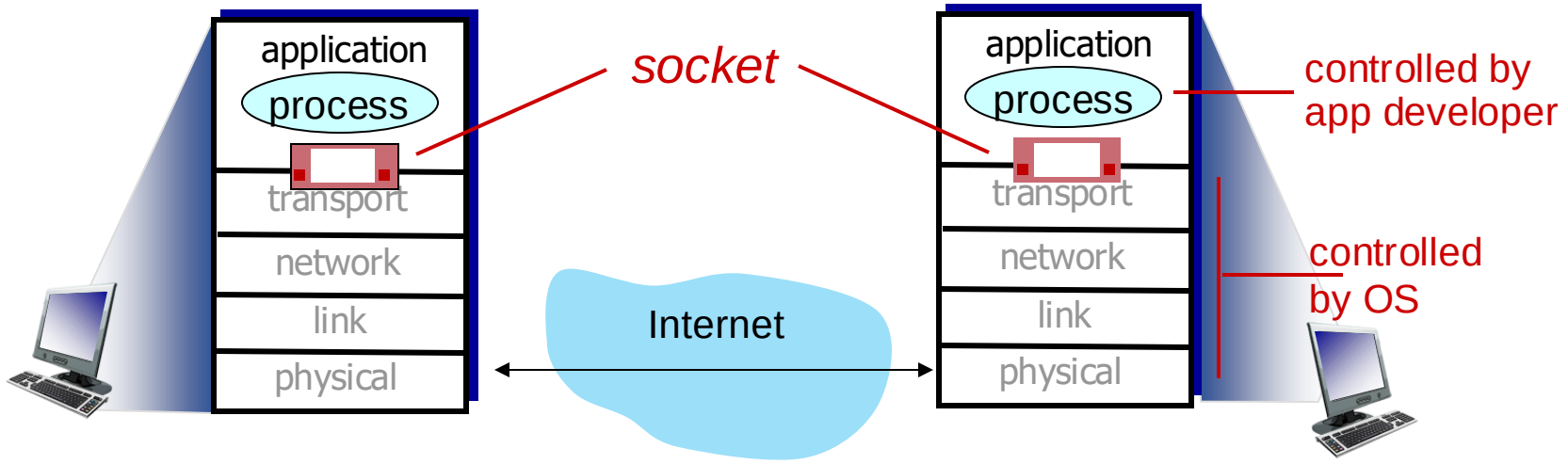
Socket programming

goal: learn how to build client/server applications that communicate using sockets

socket: door between application process and end-end-transport protocol



Socket programming

Two socket types for two transport services:

- *UDP:* unreliable datagram
- *TCP:* reliable, byte stream-oriented

More on these protocols later (in Chapter 3), but

- relevant to application programming (API)
- useful for PA1

Socket programming

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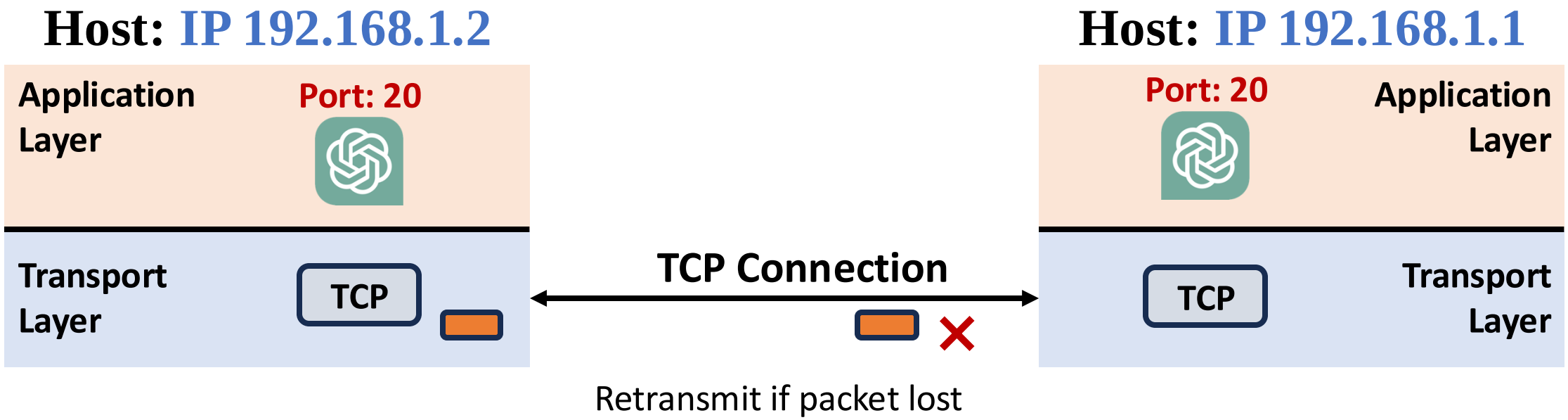

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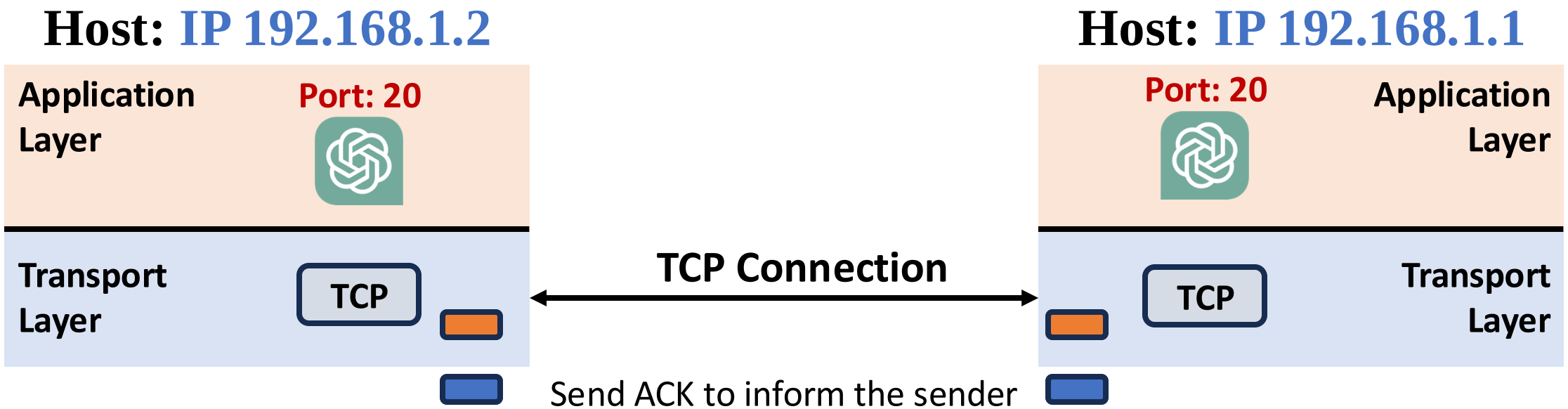

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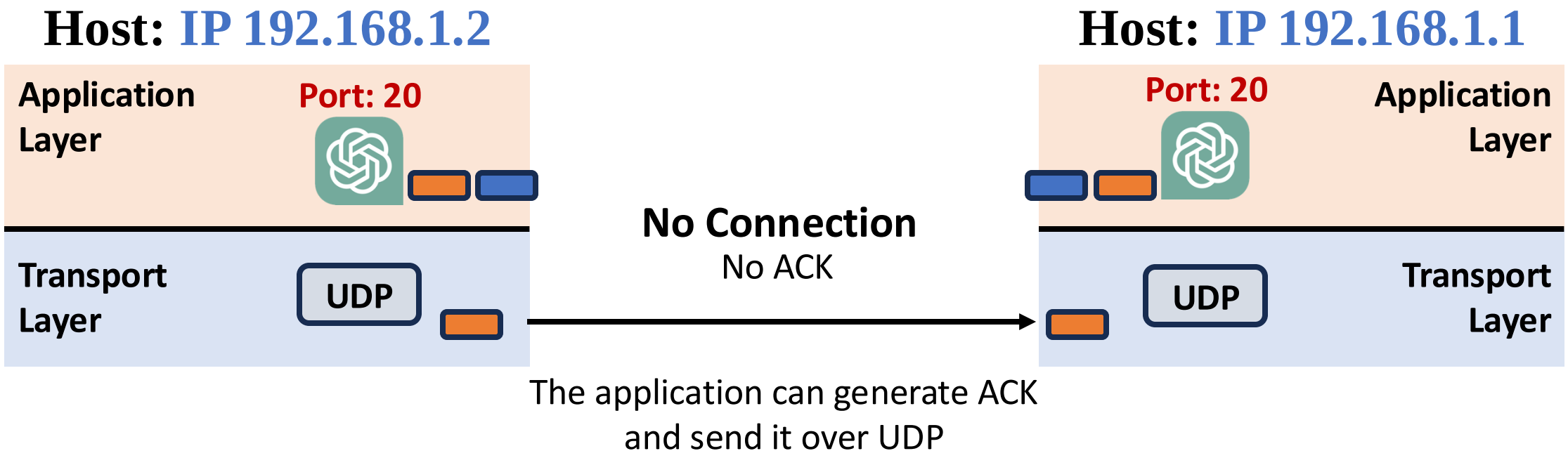


Socket programming with UDP

UDP: no "connection" between client and server:

- no handshaking before sending data
- sender (e.g. client) explicitly attaches its IP destination address and port #, in addition to the destination's IP/port info to each packet
- receiver (e.g. server) extracts sender IP address and port# from received packet

UDP: transmitted data may be lost or received out-of-order

Application viewpoint:

- UDP provides *unreliable* transfer of groups of bytes ("datagrams") between client and server processes

Socket programming with UDP

Key Operations of UDP socket

- Socket Creation: **socket()**
- Binding to an Address: **bind()**
- Sending and Receiving Data: **sendto(), recvfrom()**
- Closing the Socket: **close()**

Socket programming with TCP

Key Operations of TCP socket

- Socket Creation: **socket()**
- Binding to an Address: **bind()**
- Listening and Accepting Connections (TCP): **listen(), accept()**
- Connect to the server **connect()**
- Sending and Receiving Data: **sendto(), recvfrom()**
- Closing the Socket: **close()**

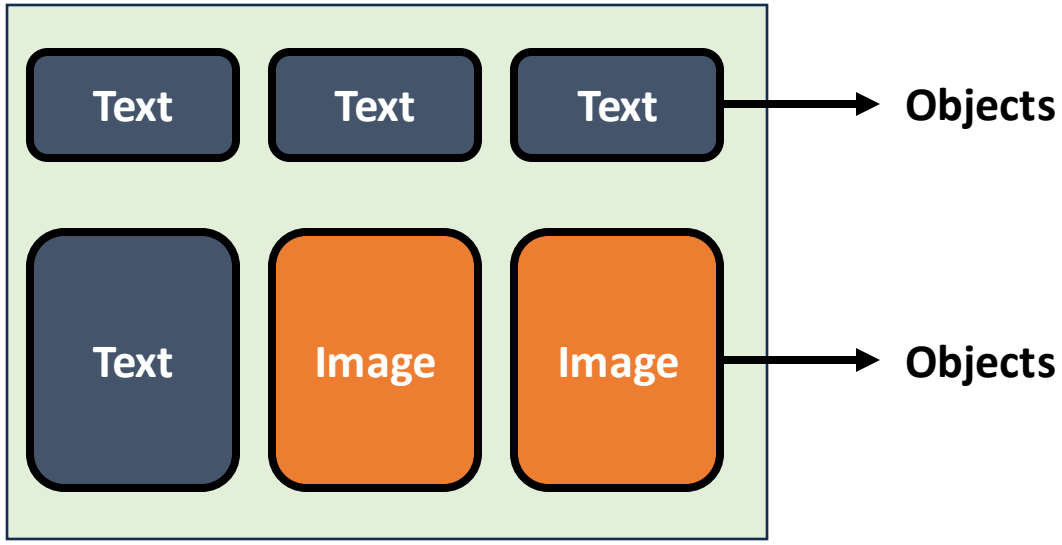
Application layer: overview

- Principles of network applications
- socket programming with UDP and TCP
- **Web and HTTP**
- E-mail, SMTP, IMAP
- The Domain Name System DNS
- P2P applications
- video streaming and content distribution networks

Web and HTTP

First, a quick review…

- web page consists of *objects,* each of which can be stored on different Web servers



Architecture of a web page

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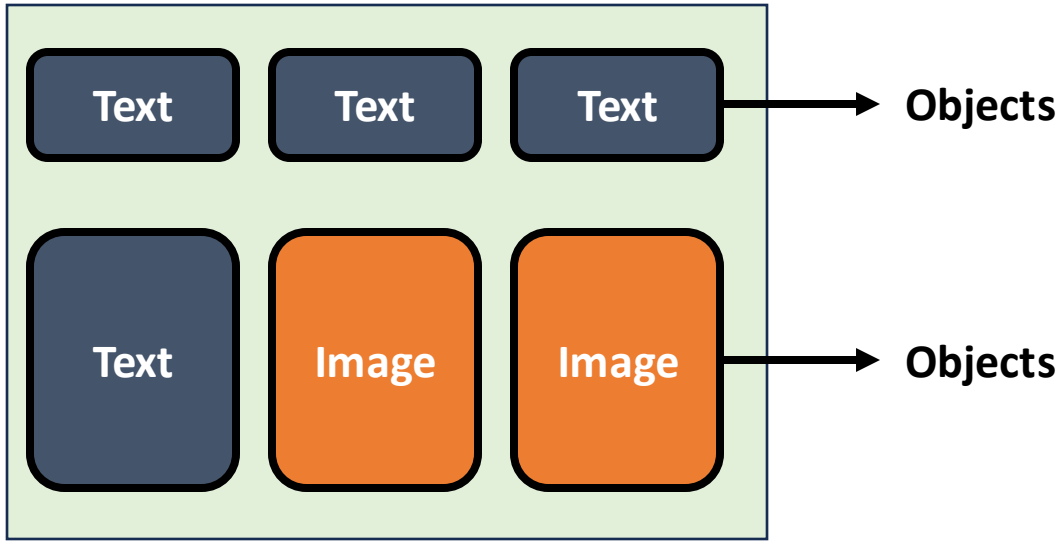

Architecture of a web page

Web and HTTP

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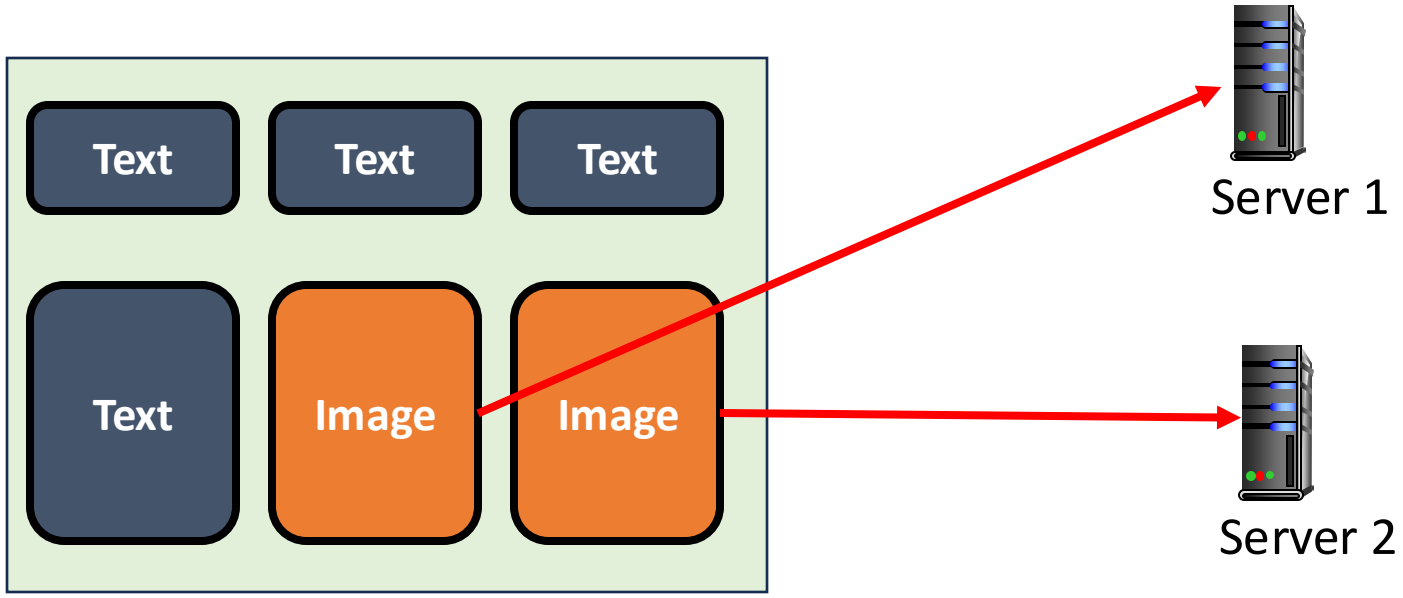
- object can be HTML file, JPEG image, Java applet, audio file,…



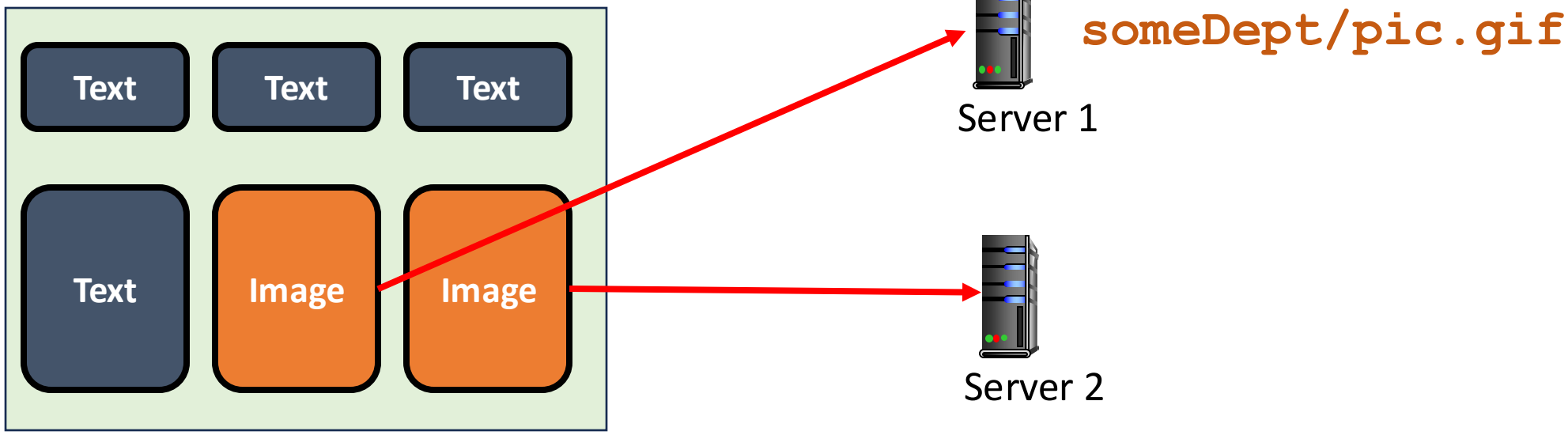
Architecture of a web page

Web and HTTP

- web page consists of *base HTML-file* which includes *several referenced objects, each* addressable by a *URL,* e.g.,

`www.someschool.edu/someDept/pic.gif`

host name | path name

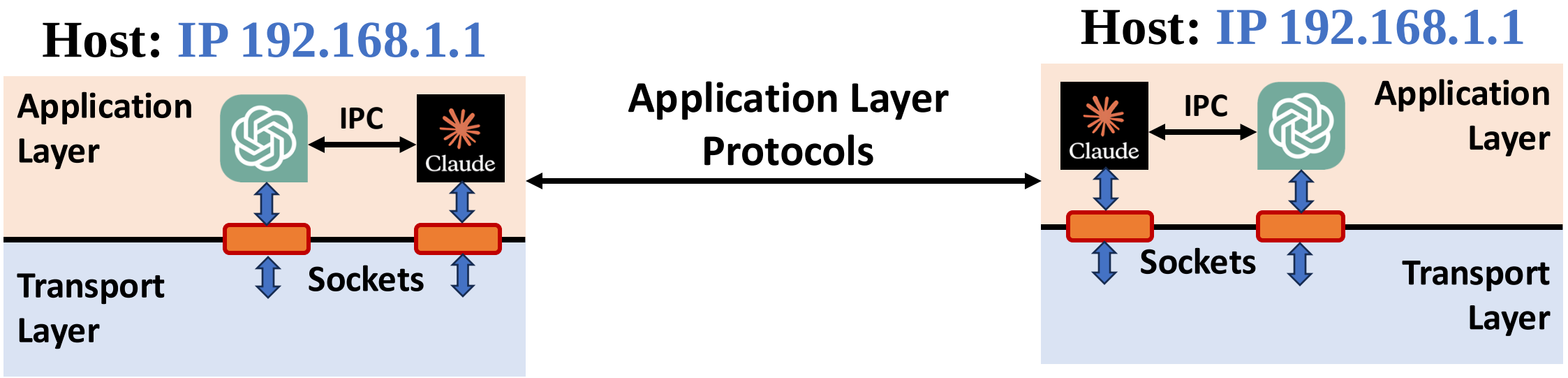


Architecture of a web page

HTTP overview

HTTP: hypertext transfer protocol

- Web's application-layer protocol



HTTP overview

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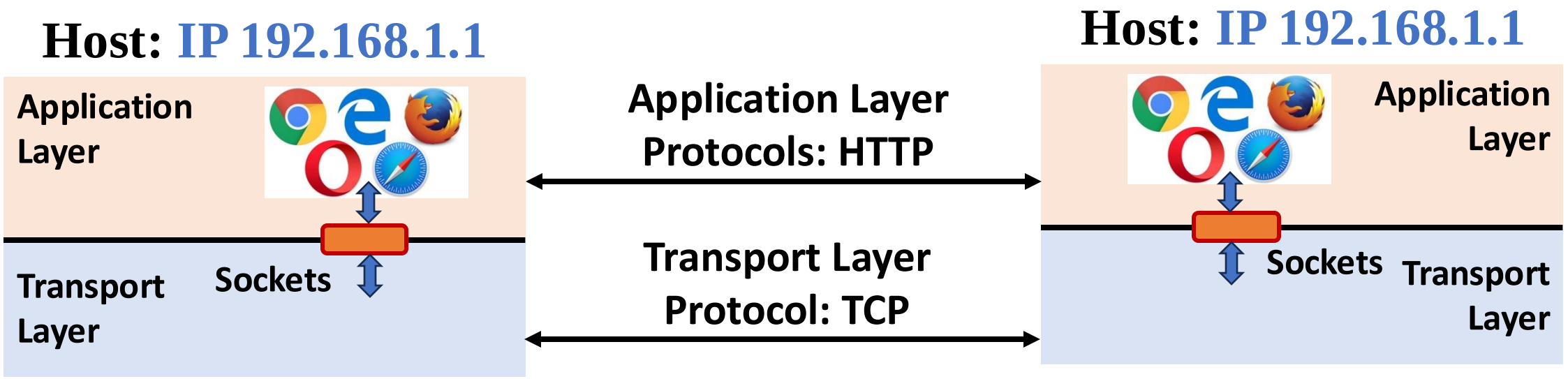
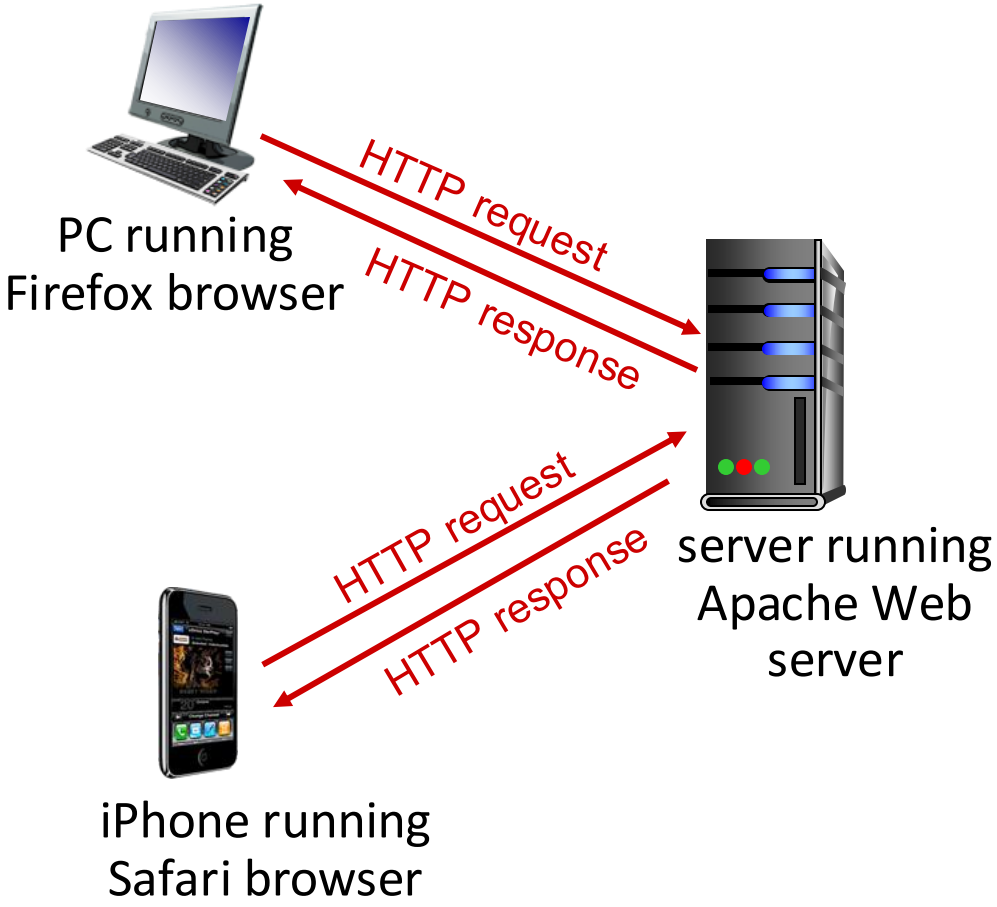


HTTP overview

HTTP: hypertext transfer protocol

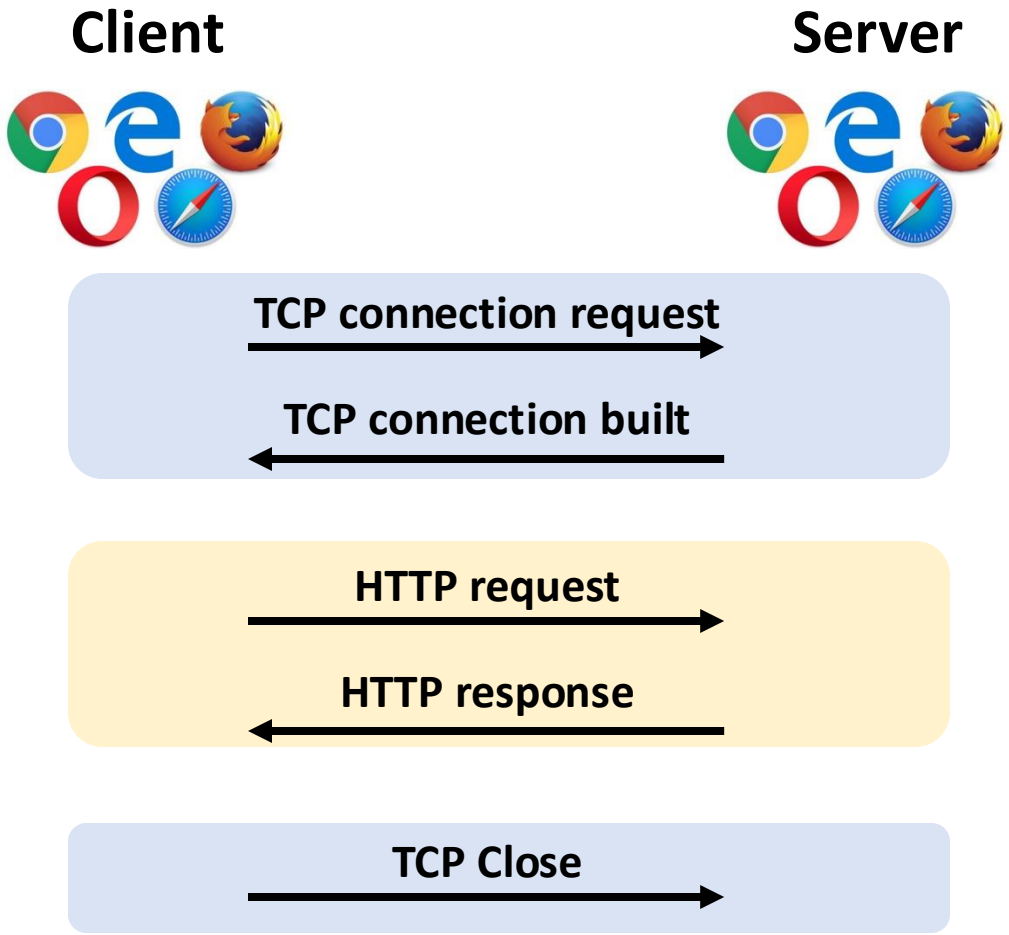
- Web's application-layer protocol
- client/server model:
  - *client:* browser that requests, receives, (using HTTP protocol) and "displays" Web objects
  - *server:* Web server sends (using HTTP protocol) objects in response to requests



HTTP overview (continued)

HTTP uses TCP:

- client initiates TCP connection (creates socket) to server, port 80
- server accepts TCP connection from client
- HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- TCP connection closed

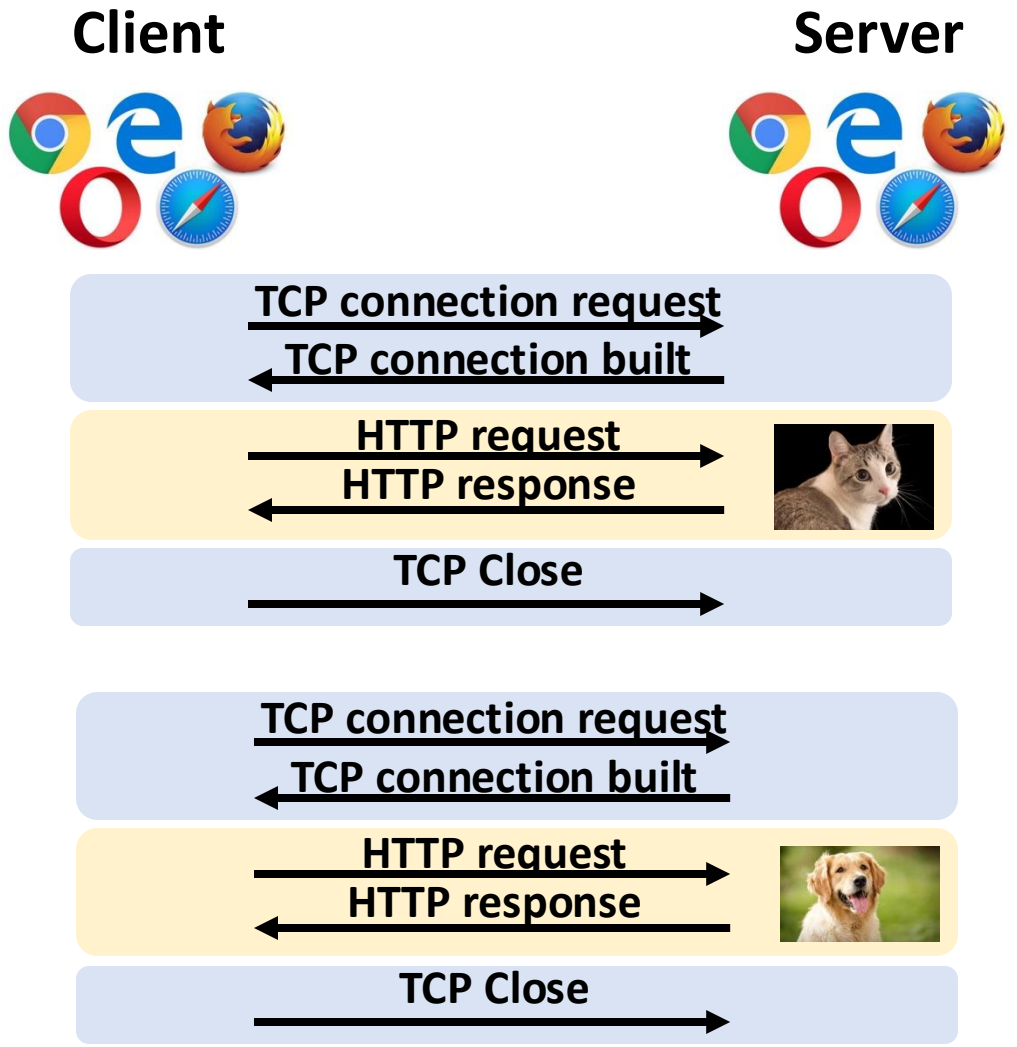


HTTP connections: two types

Non-persistent HTTP

1. TCP connection opened
2. at most one object sent over TCP connection
3. TCP connection closed

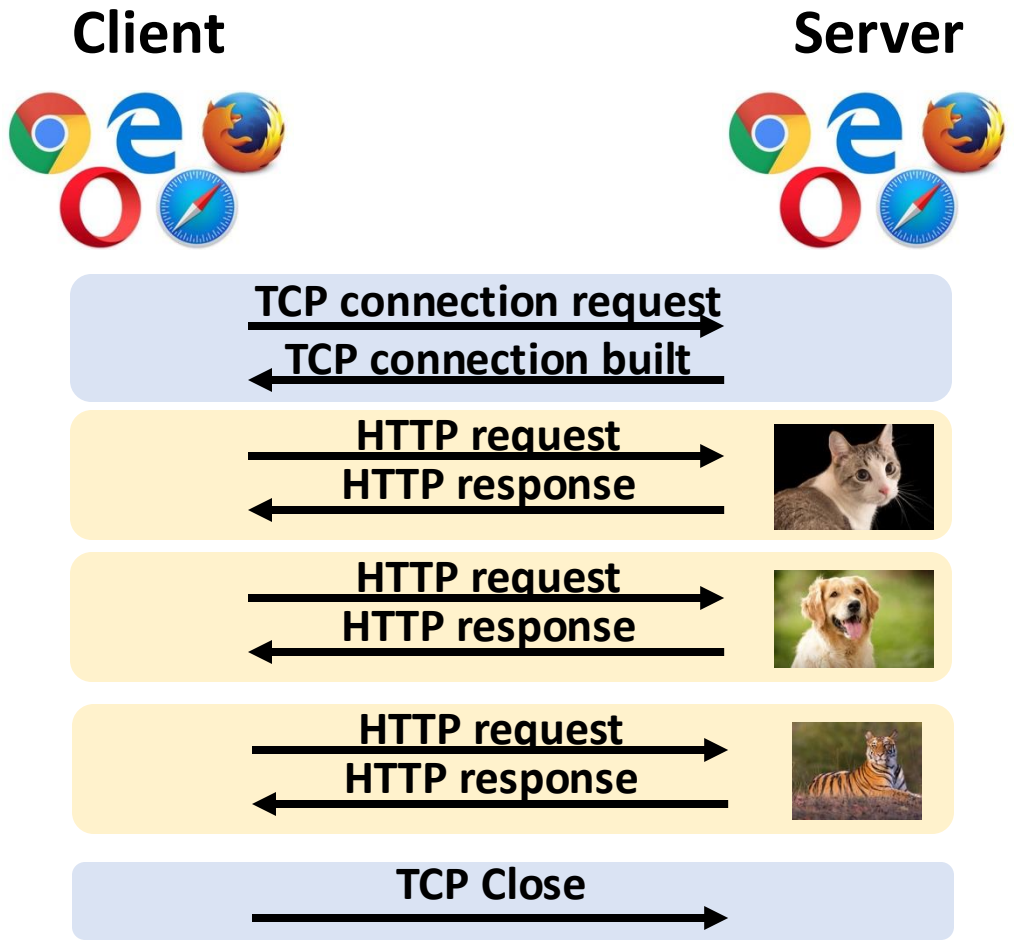
downloading multiple objects required multiple connections



HTTP connections: two types

Persistent HTTP

- TCP connection opened to a server
- multiple objects can be sent over *single* TCP connection between client, and that server
- TCP connection closed

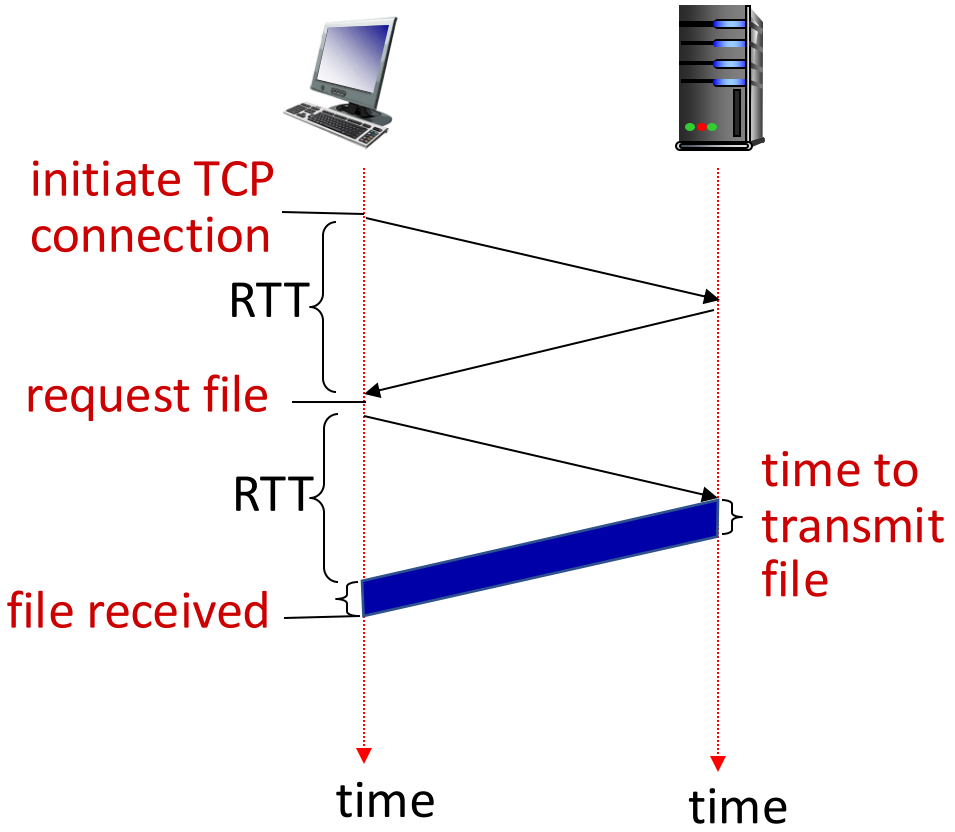


Non-persistent HTTP: response time

RTT (Round-Trip Time): time for a small packet to travel from client to server and back

HTTP response time (per object):

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- object/file transmission time



Non-persistent HTTP response time = 2RTT+ file transmission time

Persistent HTTP (HTTP 1.1)

Non-persistent HTTP issues:

- requires 2 RTTs per object
- OS overhead for *each* TCP connection
- browsers often open multiple parallel TCP connections to fetch referenced objects in parallel

Persistent HTTP (HTTP1.1):

- server leaves TCP connection open after sending response
- subsequent HTTP messages between same client/server sent over open TCP connection
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects (cutting response time in half)

HTTP request message

- two types of HTTP messages: *request, response*
- HTTP request message:
  - ASCII (human-readable format)

carriage return character
line-feed character

request line
(GET, POST ….)

Followed by “entity body” or just “body”

* Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose_ross/interactive/

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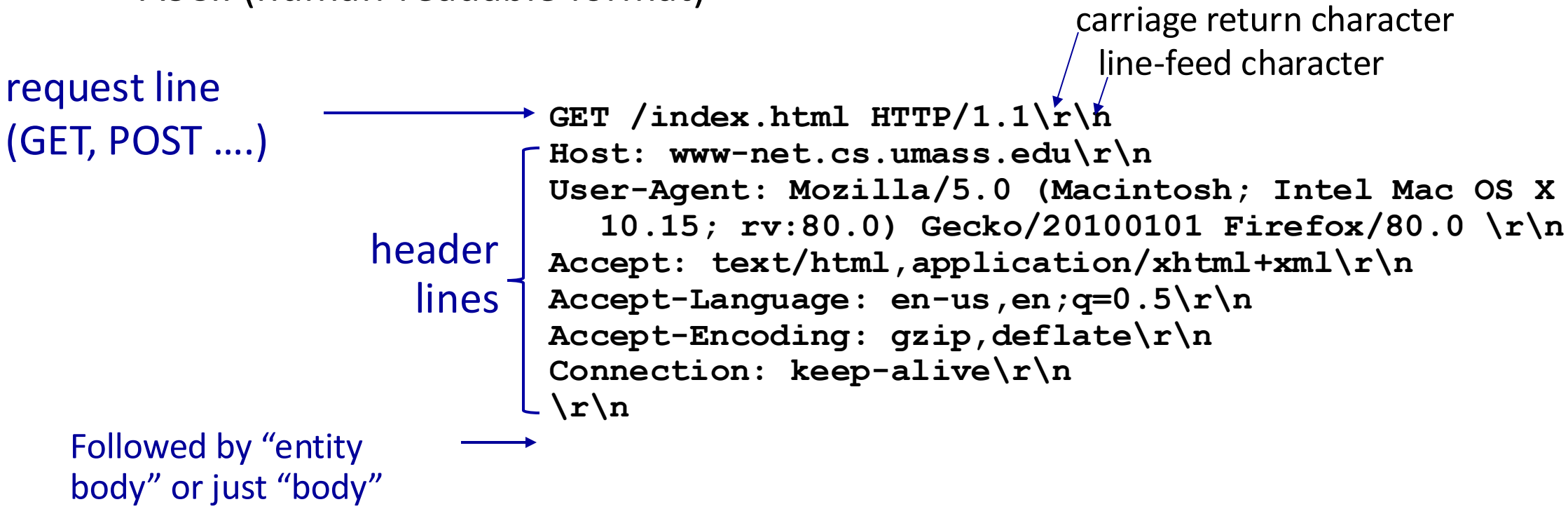

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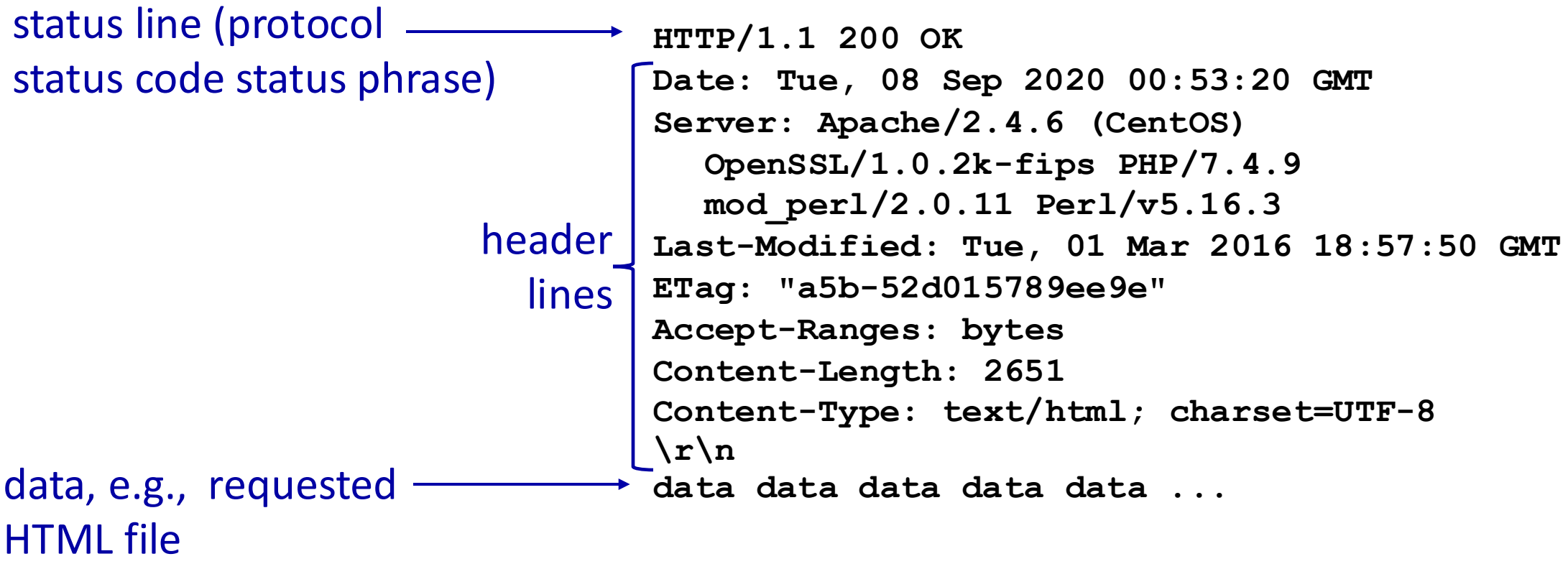
Other HTTP request messages (omitted)

- PUT vs POST
- GET with a question mark after the URL vs GET vs POST
- Search StackOverflow and other documents

HTTP response message

status line (protocol status code status phrase) → `HTTP/1.1 200 OK`

HTTP response message



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HTTP response status codes

- status code appears in 1st line in server-to-client response message.
- some sample codes:

200 OK

- request succeeded, requested object later in this message

301 Moved Permanently

- requested object moved, new location specified later in this message (in Location: field)

400 Bad Request

- request msg not understood by server

404 Not Found

- requested document not found on this server

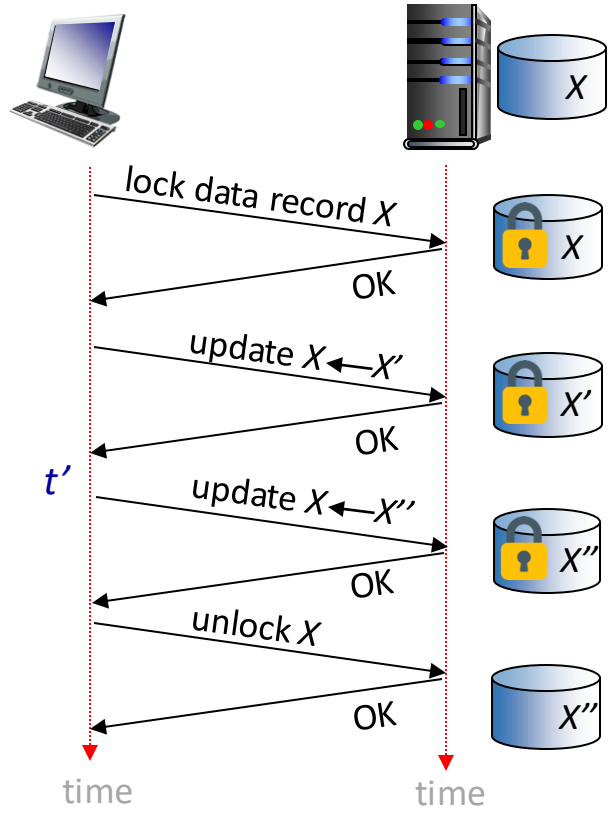
505 HTTP Version Not Supported

Maintaining user/server state: cookies

HTTP GET/response interaction is *stateless*

- no notion of multi-step exchanges of HTTP messages to complete a Web "transaction"
  - no need for client/server to track "state" of multi-step exchange
  - all HTTP requests are independent of each other
  - no need for client/server to "recover" from a partially-completed-but-never-completely-completed transaction

a stateful protocol: client makes two changes to X, or none at all



Q: what happens if network connection or client crashes at *t'* ?

Maintaining user/server state: cookies

Web sites and client browsers use *cookies* to maintain some state between transactions

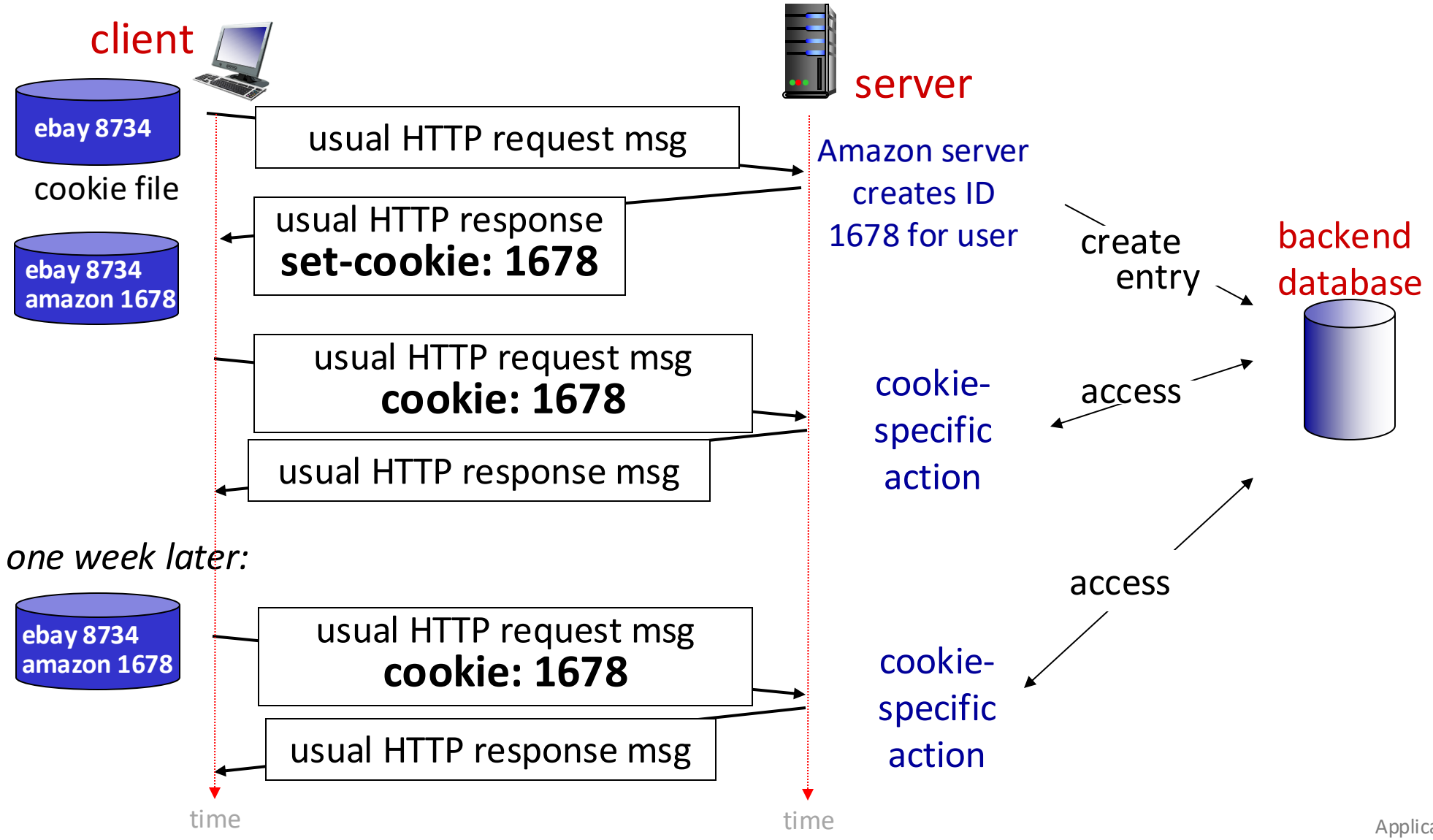
four components:

1) cookie header line of HTTP *response* message
2) cookie header line in next HTTP *request* message
3) cookie file kept on user's host, managed by user's browser
4) back-end database at Web site

Example:

- Susan uses browser on laptop, visits specific e-commerce site for first time
- when initial HTTP request arrives at site, site creates:
  - unique ID (aka "cookie")
  - entry in backend database for ID
- subsequent HTTP requests from Susan to this site will contain cookie ID value, allowing site to "identify" Susan

Maintaining user/server state: cookies



HTTP cookies: comments

What cookies can be used for:

- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

Challenge: How to keep state?

- *at protocol endpoints:* maintain state at sender/receiver over multiple transactions
- *in messages:* cookies in HTTP messages carry state

aside

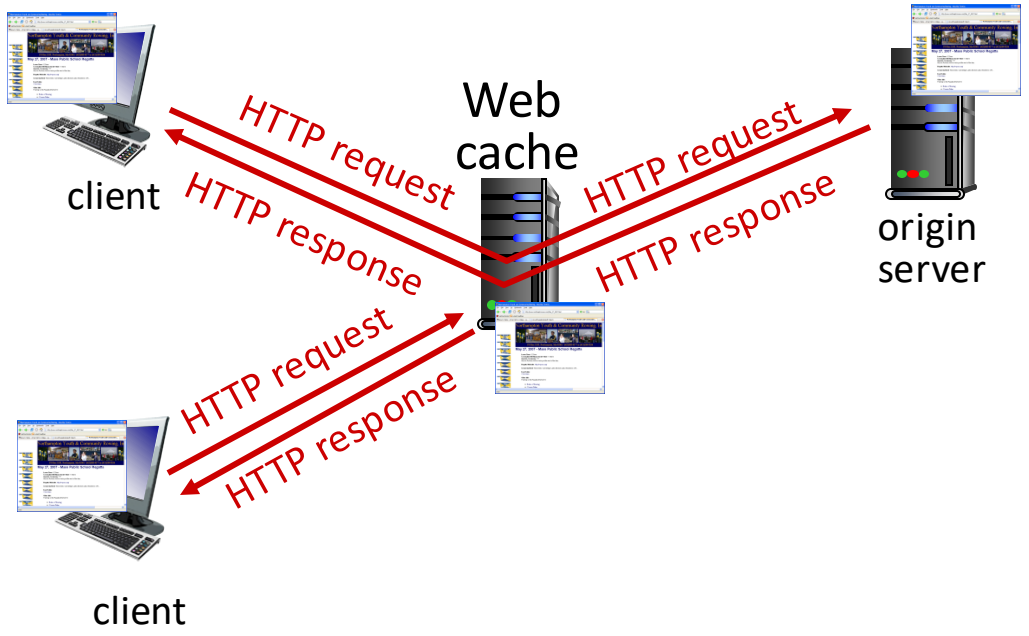
cookies and privacy:

- cookies permit sites to *learn* a lot about you on their site.
- third party persistent cookies (tracking cookies) allow common identity (cookie value) to be tracked across multiple web sites

Web caches

Goal: satisfy client requests without involving origin server

- user configures browser to point to a (local) *Web cache*
- browser sends all HTTP requests to cache
  - *if* object in cache: cache returns object to client
  - *else* cache requests object from origin server, caches received object, then returns object to client



Web caches (aka proxy servers)

- Web cache acts as both client and server
  - server for original requesting client
  - client to origin server
- server tells cache about object's allowable caching in response header:

```
Cache-Control: max-age=<seconds>
```

```
Cache-Control: no-cache
```

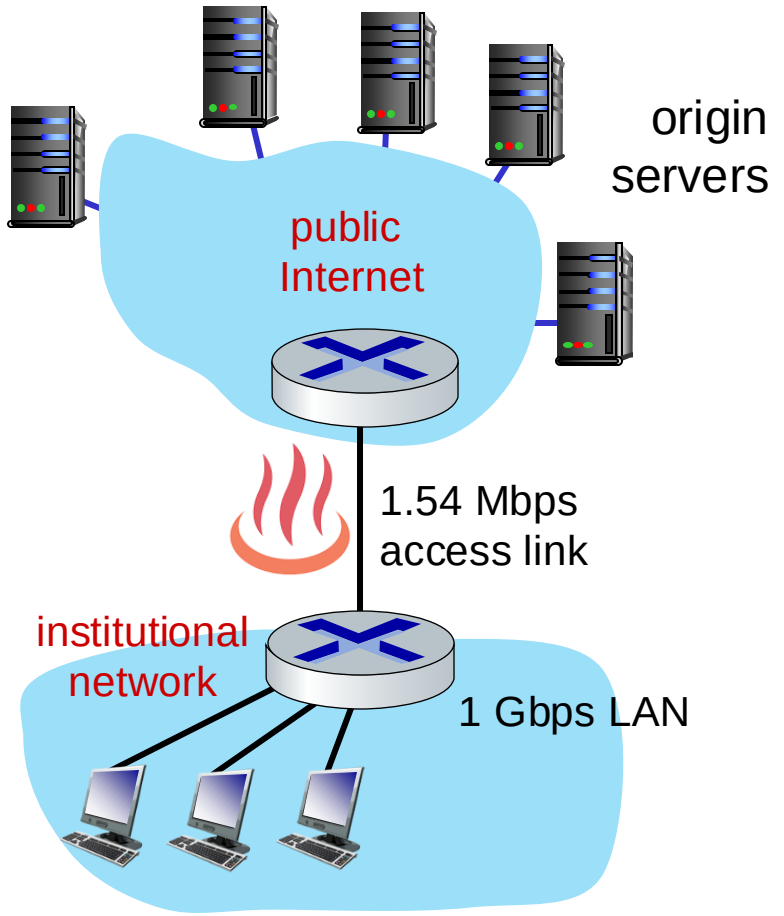
Why Web caching?

- reduce response time for client request
  - cache is closer to client
- reduce traffic on an institution's access link
- Internet is dense with caches
  - enables "poor" content providers to more effectively deliver content

Caching example

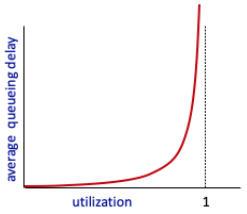
Scenario:

- access link rate: 1.54 Mbps
- RTT from institutional router to server: 2 sec
- web object size: 100K bits
- average request rate from browsers to origin servers: 15/sec
  - avg data rate to browsers: 1.50 Mbps



Performance:

- access link utilization = .97
- LAN utilization: .0015
- end-end delay = Internet delay + access link delay + LAN delay
  = 2 sec + minutes + usecs



problem: large queueing delays at high utilization!

Option 1: buy a faster access link

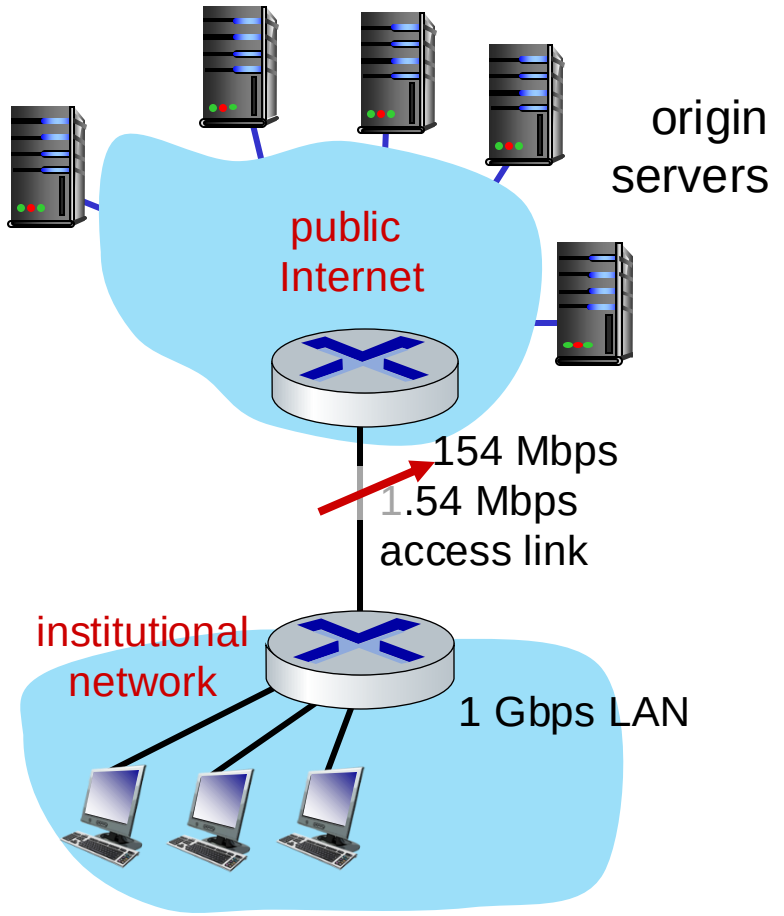
Scenario:

- access link rate: 1.54 Mbps → 154 Mbps
- RTT from institutional router to server: 2 sec
- web object size: 100K bits
- average request rate from browsers to origin servers: 15/sec
  - avg data rate to browsers: 1.50 Mbps

Performance:

- access link utilization = .97 → .0097
- LAN utilization: .0015
- end-end delay = Internet delay + access link delay + LAN delay
  = 2 sec + minutes + usecs → msecs

Cost: faster access link (expensive!)



Option 2: install a web cache

Scenario:

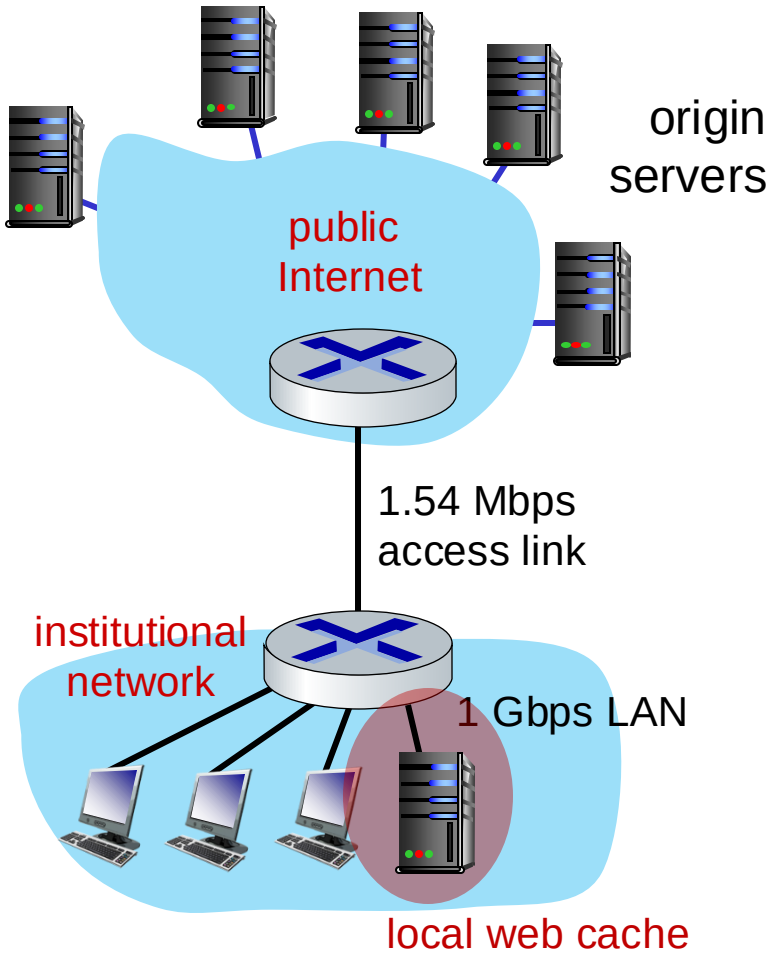
- access link rate: 1.54 Mbps
- RTT from institutional router to server: 2 sec
- web object size: 100K bits
- average request rate from browsers to origin servers: 15/sec
  - avg data rate to browsers: 1.50 Mbps

Cost: web cache (cheap!)

Performance:

- LAN utilization: .?
- access link utilization = ?
- average end-end delay = ?

How to compute link utilization, delay?



Calculating access link utilization, end-end delay with cache:

suppose cache hit rate is 0.4:

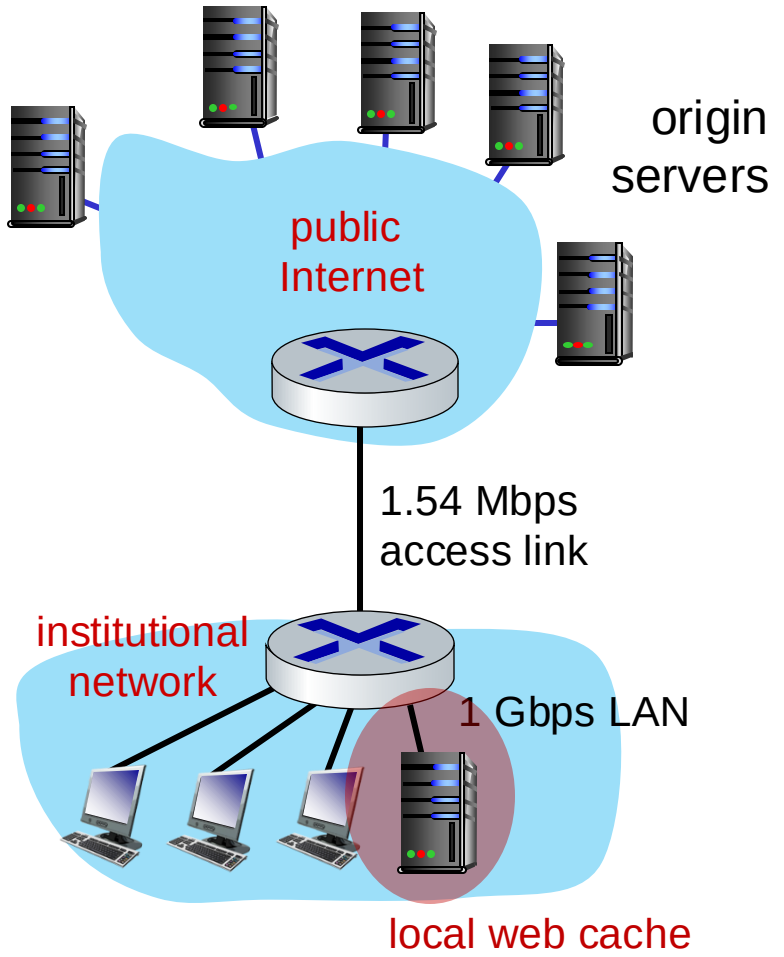
- 40% requests served by cache, with low (msec) delay
- 60% requests satisfied at origin
  - rate to browsers over access link

    = 0.6 * 1.50 Mbps = .9 Mbps
  - access link utilization = 0.9/1.54 = .58 means low (msec) queueing delay at access link
- average end-end delay:

  = 0.6 * (delay from origin servers)

  + 0.4 * (delay when satisfied at cache)

  = 0.6 (2.01) + 0.4 (~msecs) = ~ 1.2 secs



lower average end-end delay than with 154 Mbps link (and cheaper too!)

Conditional GET

Goal: don't send object if cache has up-to-date cached version

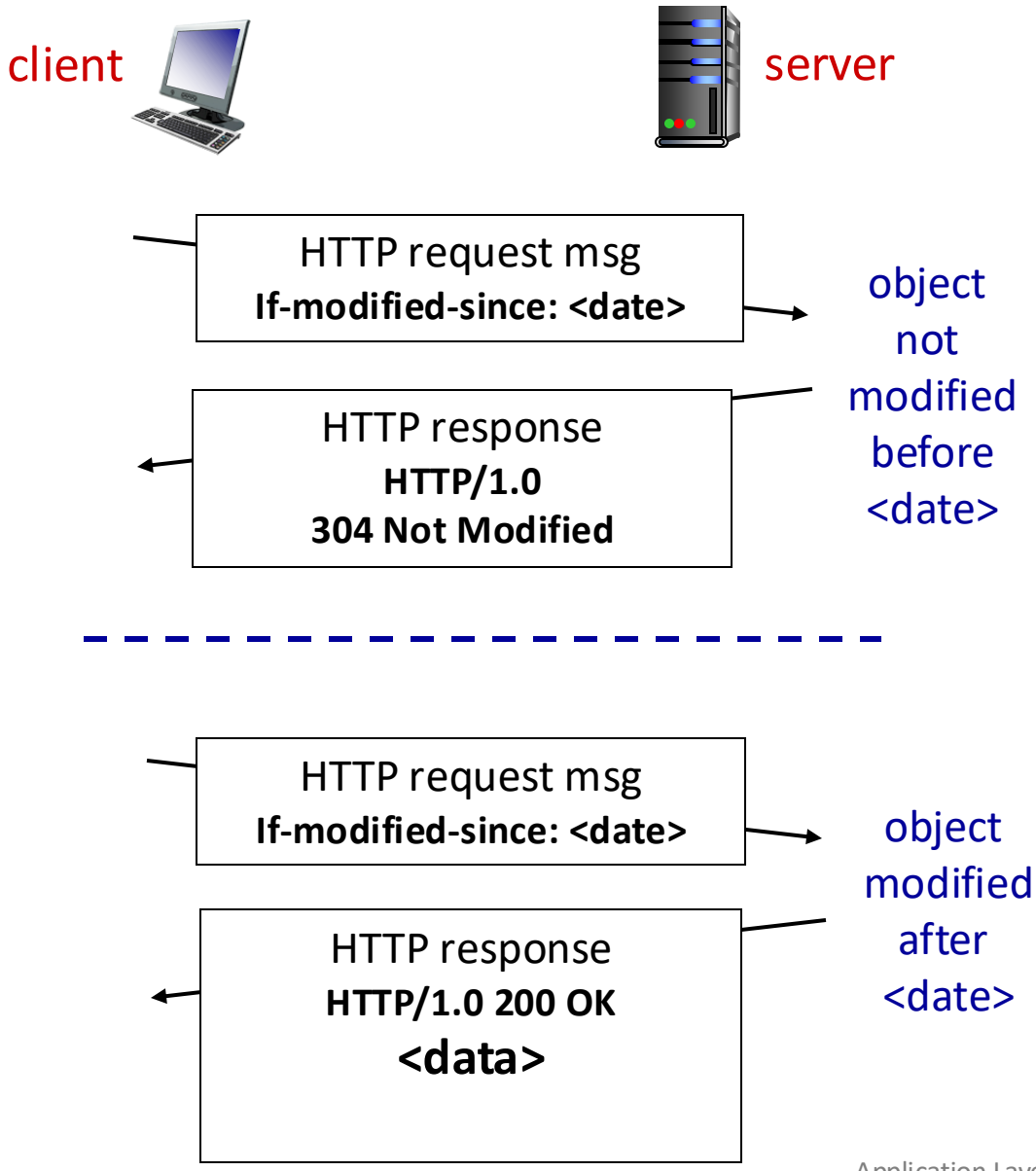
- no object transmission delay (or use of network resources)

- *client:* specify date of cached copy in HTTP request

  If-modified-since: <date>

- *server:* response contains no object if cached copy is up-to-date:

  HTTP/1.0 304 Not Modified



HTTP/2

Key goal: decreased delay in multi-object HTTP requests

HTTP1.1: introduced multiple, pipelined GETs over single TCP connection

- server responds *in-order* (FCFS: first-come-first-served scheduling) to GET requests
- with FCFS, small object may have to wait for transmission (head-of-line (HOL) blocking) behind large object(s)
- loss recovery (retransmitting lost TCP segments) stalls object transmission

HTTP/2

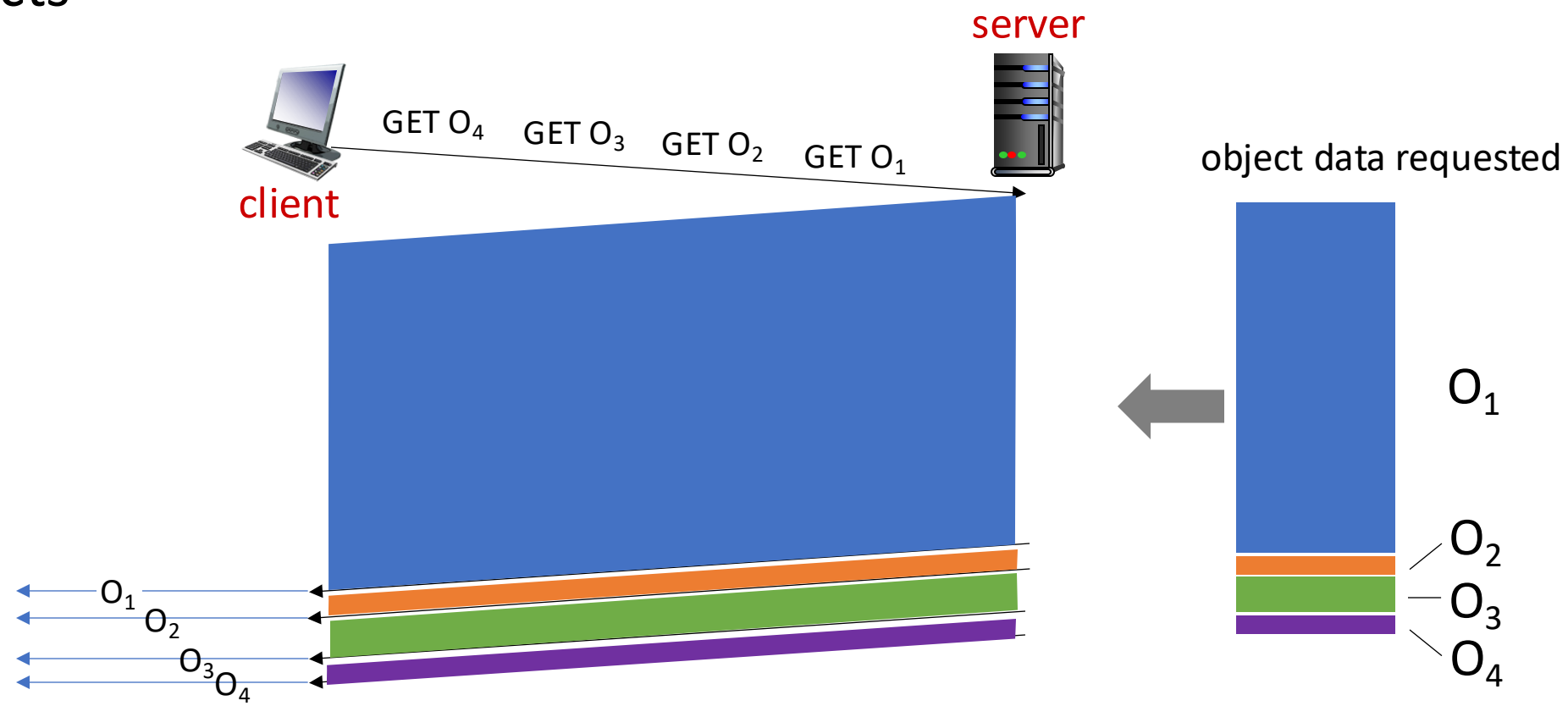
Key goal: decreased delay in multi-object HTTP requests

HTTP/2: [RFC 7540, 2015] increased flexibility at *server* in sending objects to client:

- methods, status codes, most header fields unchanged from HTTP 1.1
- transmission order of requested objects based on client-specified object priority (not necessarily FCFS)
- *push* unrequested objects to client
- divide objects into frames, schedule frames to mitigate HOL blocking

HTTP/2: mitigating HOL blocking

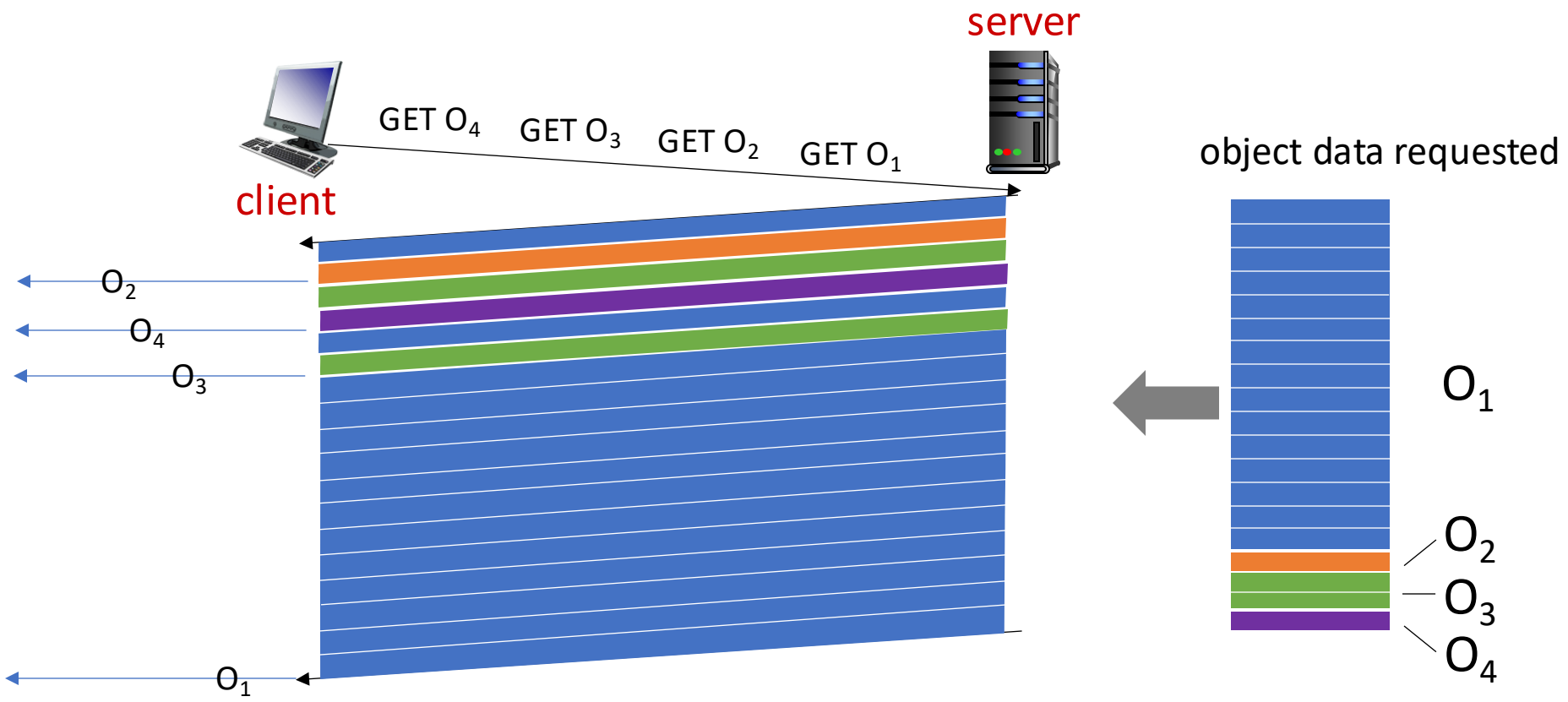
HTTP 1.1: client requests 1 large object (e.g., video file) and 3 smaller objects



objects delivered in order requested: O_2, O_3, O_4 wait behind O_1

HTTP/2: mitigating HOL blocking

HTTP/2: objects divided into frames, frame transmission interleaved



O_2, O_3, O_4 delivered quickly, O_1 slightly delayed

HTTP/2 to HTTP/3

HTTP/2 over single TCP connection means:

- recovery from packet loss still stalls all object transmissions
  - as in HTTP 1.1, browsers have incentive to open multiple parallel TCP connections to reduce stalling, increase overall throughput
- no security over vanilla TCP connection
- HTTP/3: adds security, per object error- and congestion-control (more pipelining) over UDP
  - more on HTTP/3 in transport layer

Application layer: overview

- Principles of network applications
- socket programming with UDP and TCP
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System DNS
- P2P applications
- video streaming and content distribution networks

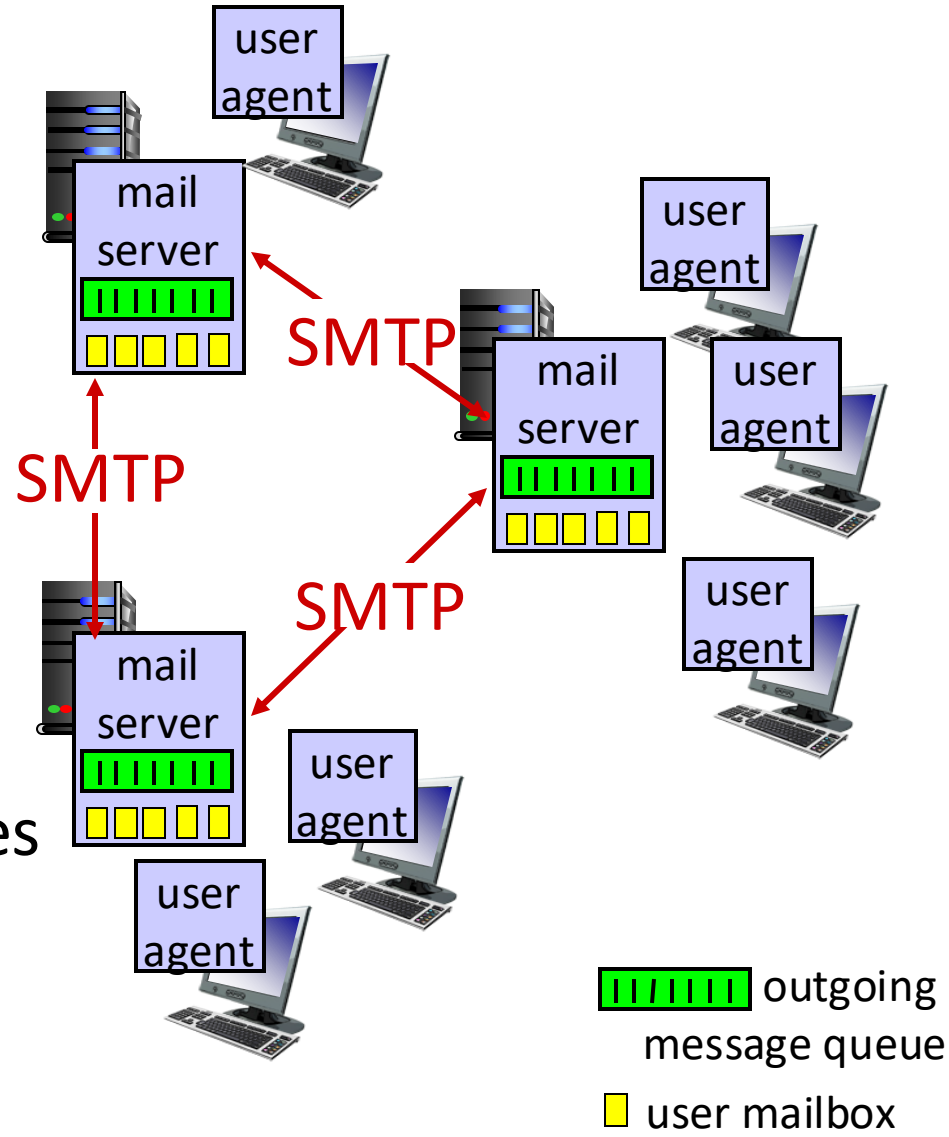
E-mail

Three major components:

- user agents
- mail servers
- simple mail transfer protocol: SMTP

User Agent

- a.k.a. “mail reader”
- composing, editing, reading mail messages
- e.g., Outlook, iPhone mail client
- outgoing, incoming messages stored on server



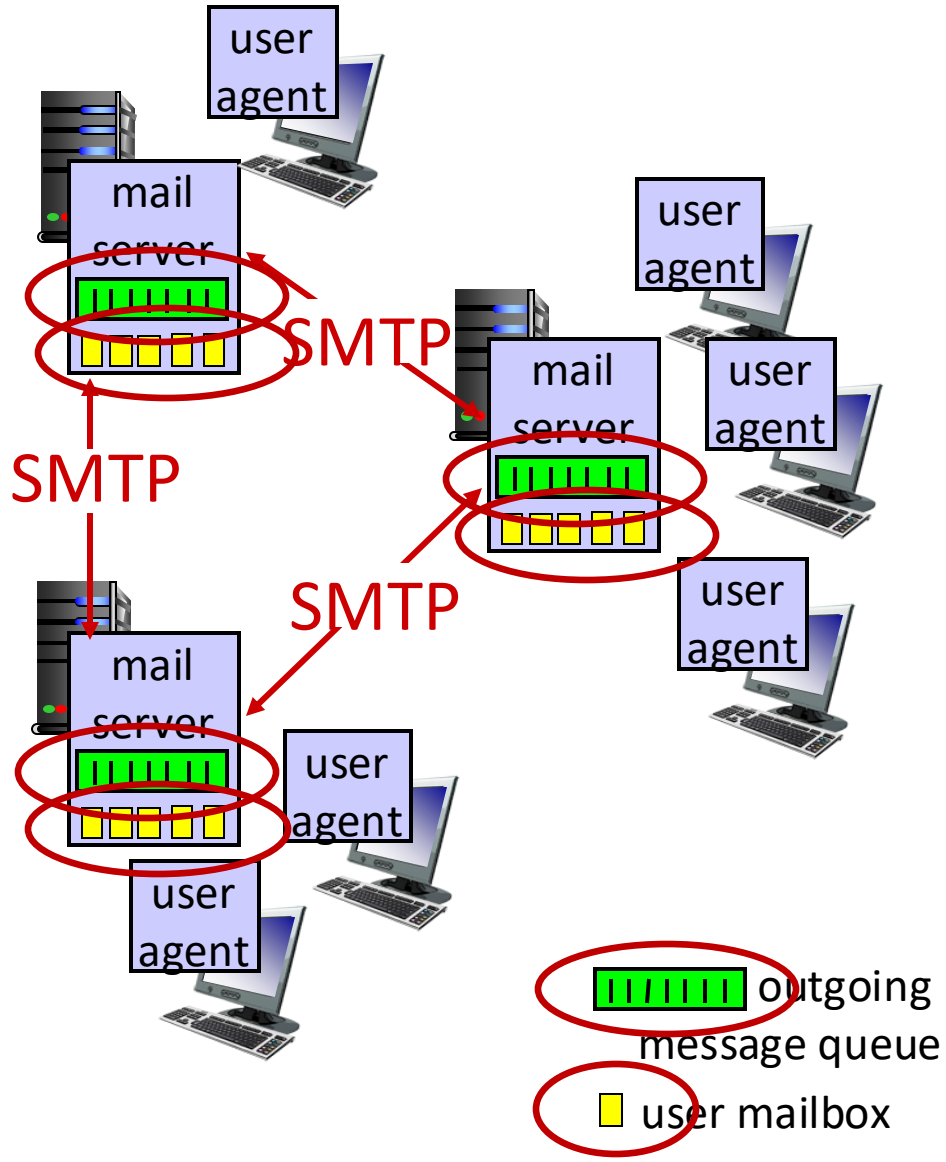
E-mail: mail servers

mail servers:

- *mailbox* contains incoming messages for user
- *message queue* of outgoing (to be sent) mail messages

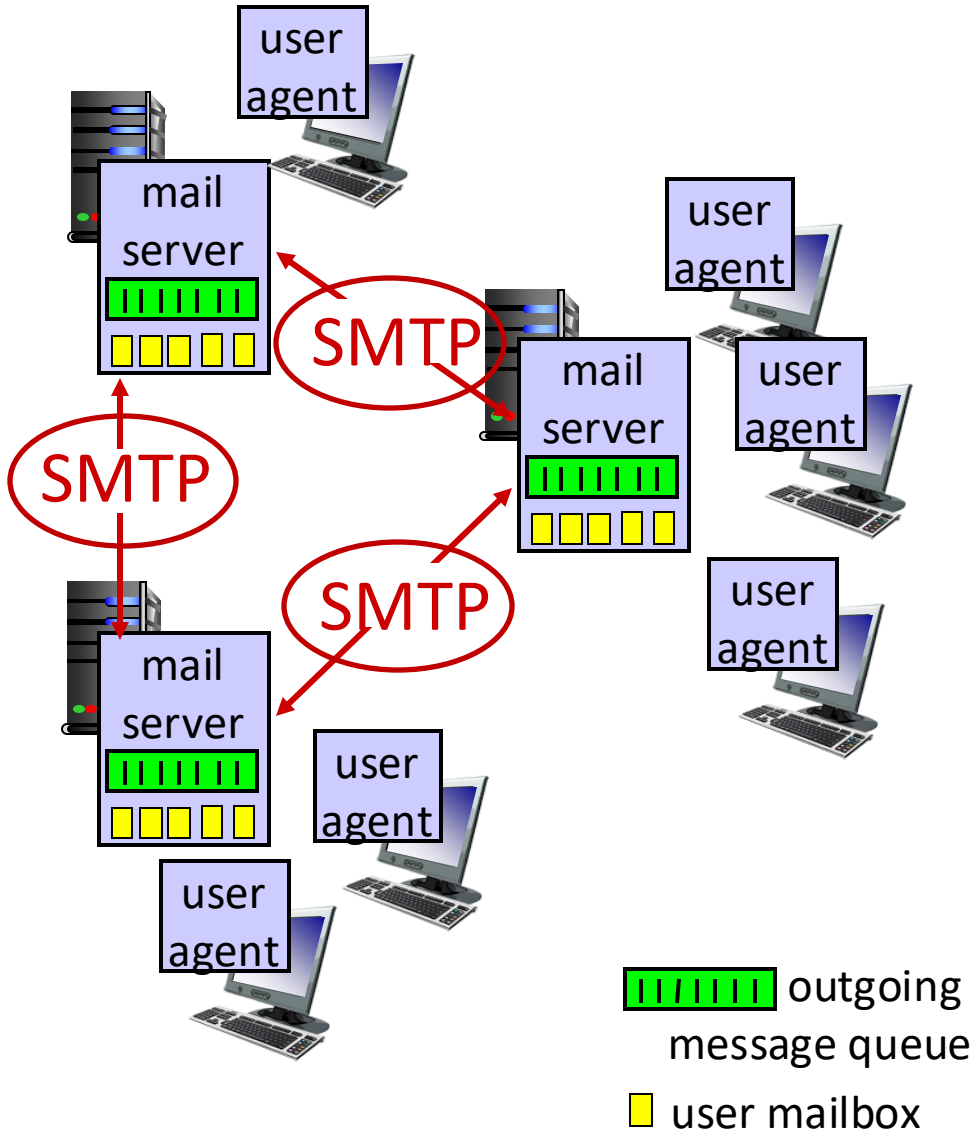
SMTP protocol between mail servers to send email messages

- client: sending mail server
- "server": receiving mail server

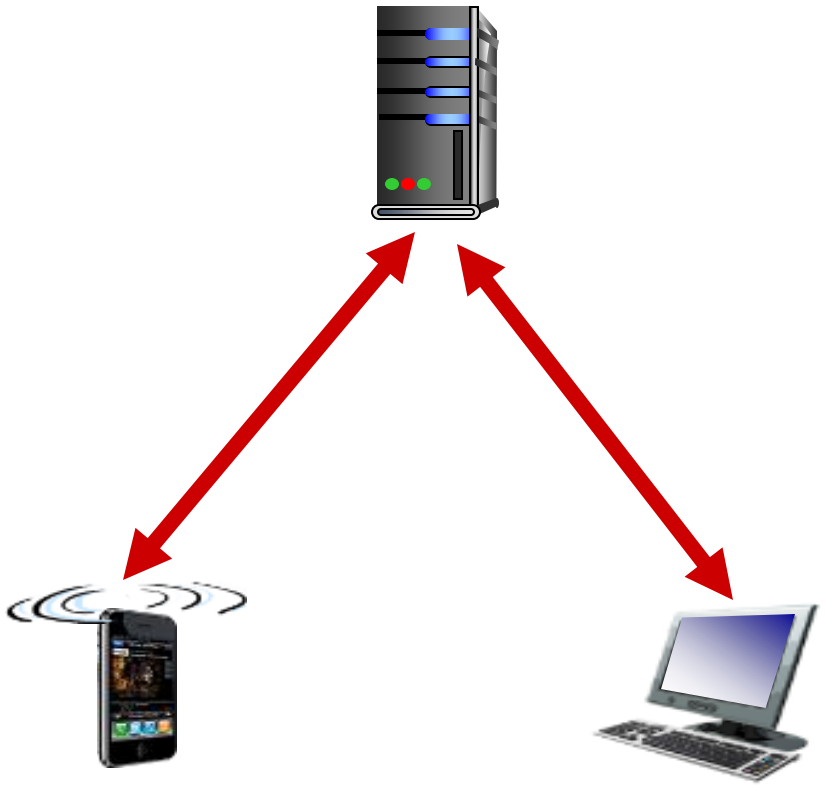


E-mail: mail servers

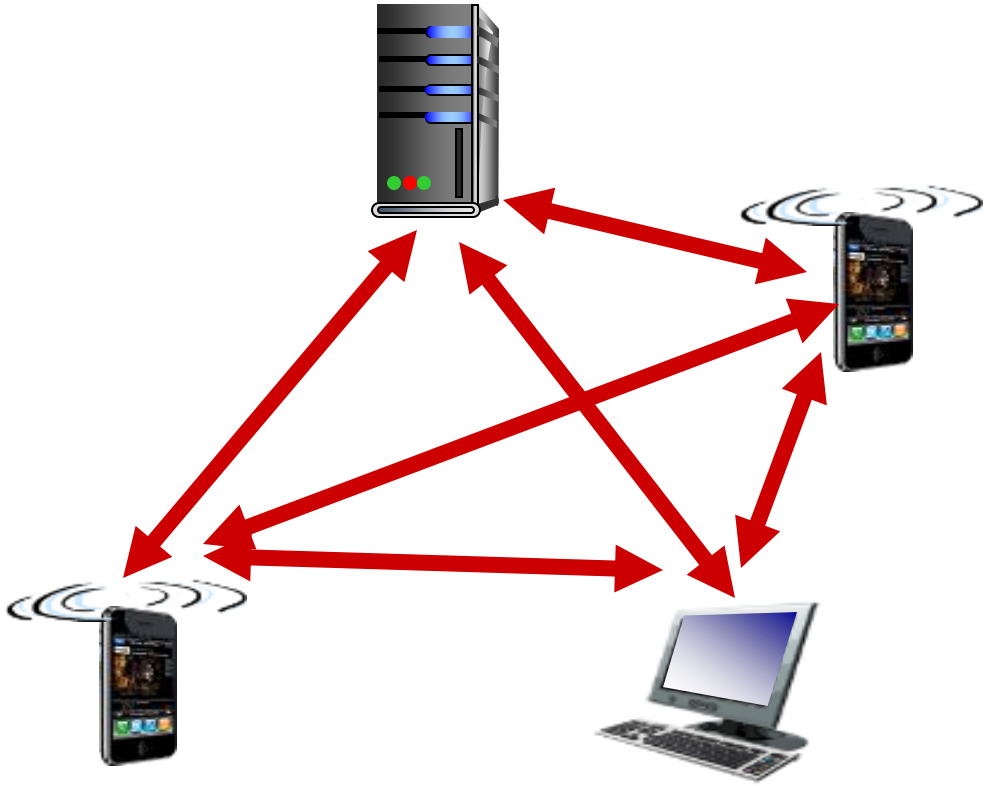
SMTP protocol between mail servers to send email messages



Two widely adopted paradigm



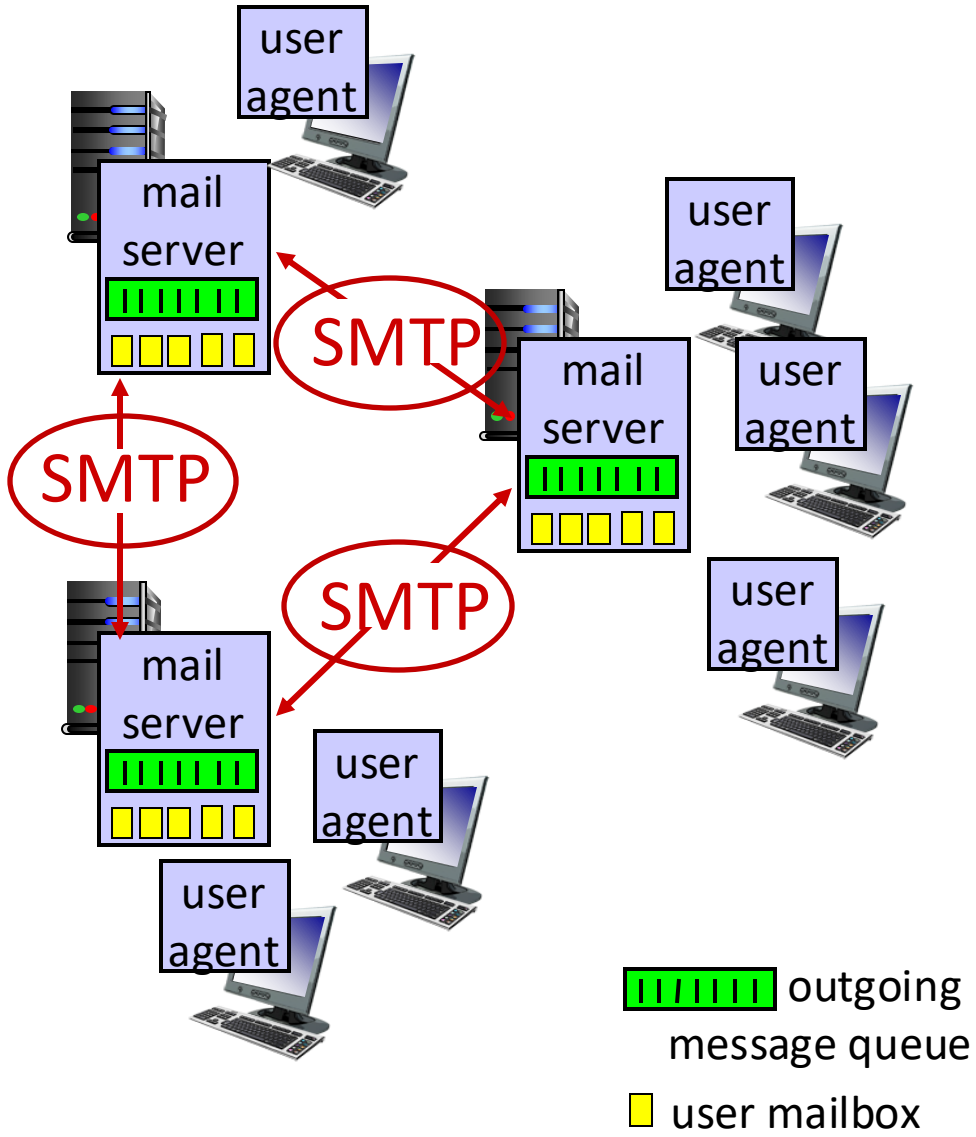
Server-Client Mode



P2P Mode

E-mail: mail servers

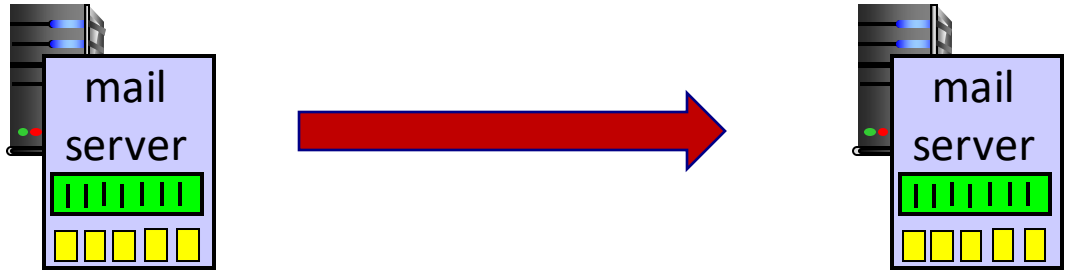
SMTP protocol between mail servers to send email messages



E-mail: mail servers

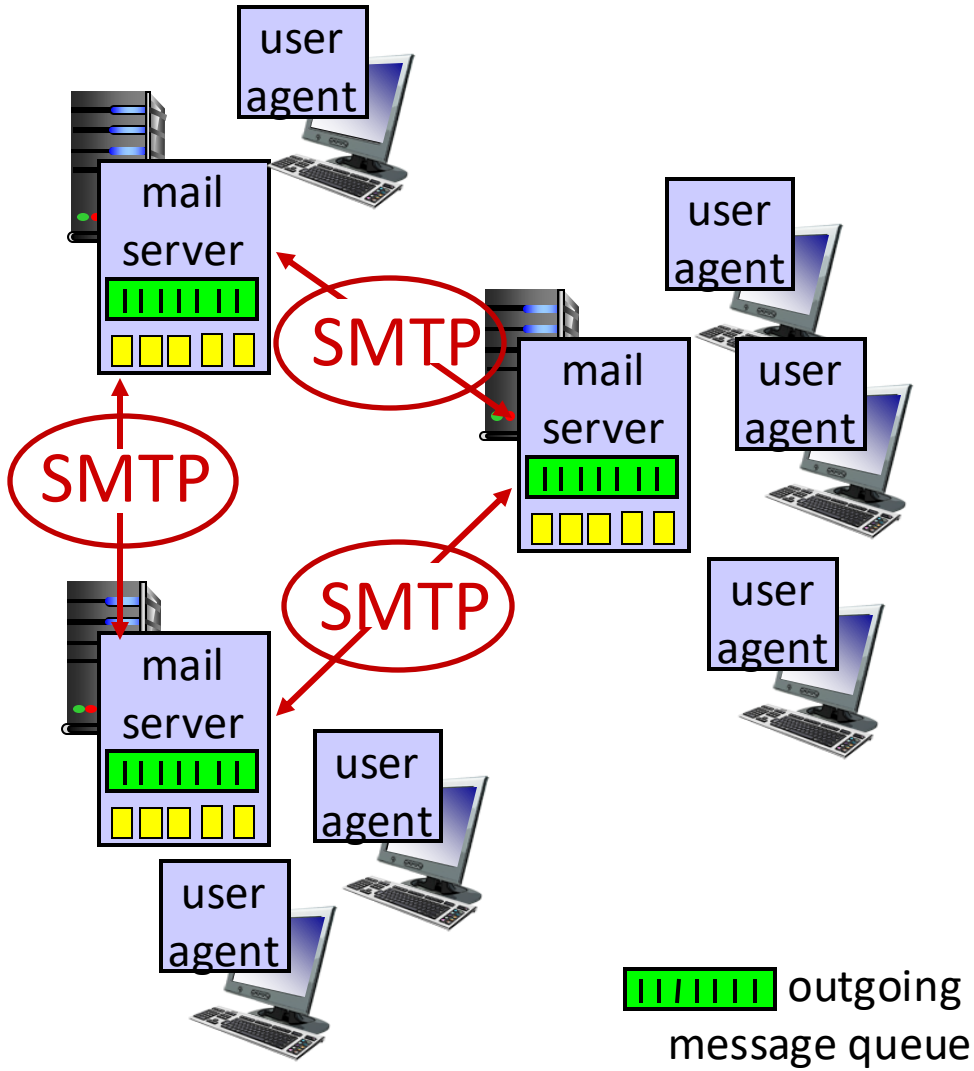
SMTP protocol between mail servers to send email messages

- client: sending mail server
- server: receiving mail server



client:
sending mail server

server:
receiving mail server



Scenario: Alice sends e-mail to Bob

1) Alice uses UA to compose e-mail message “to” bob@someschool.edu

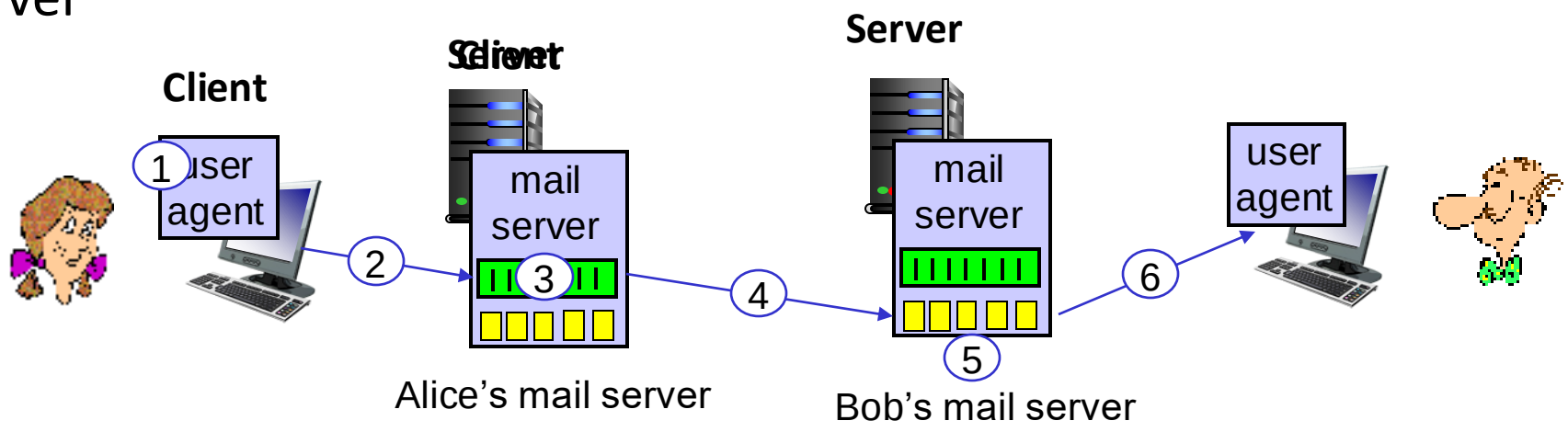
2) Alice’s UA sends message to her mail server using SMTP; message placed in message queue

3) client side of SMTP at mail server opens TCP connection with Bob’s mail server

4) SMTP client sends Alice’s message over the TCP connection

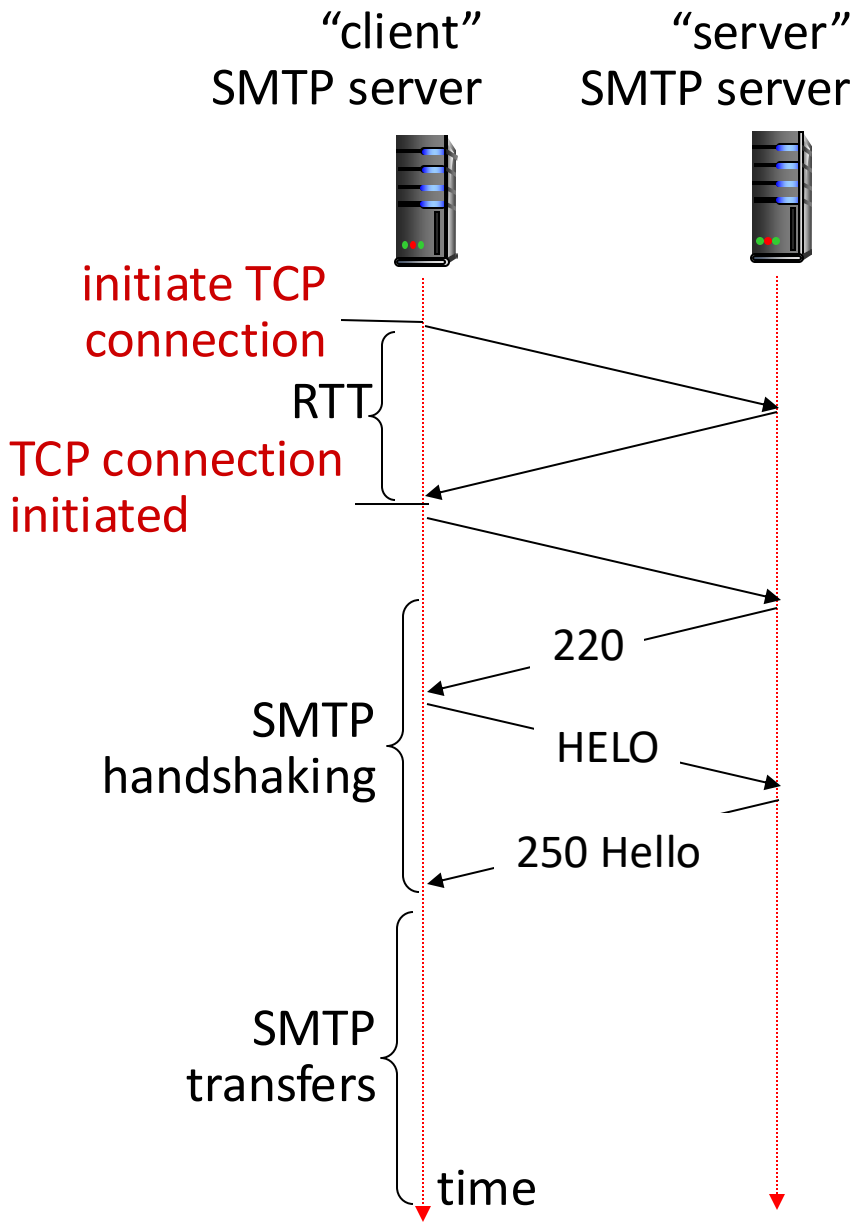
5) Bob’s mail server places the message in Bob’s mailbox

6) Bob invokes his user agent to read message



SMTP RFC (5321)

- uses TCP to reliably transfer email messages from client (mail server initiating connection) to server, port 25
  - direct transfer: sending server (acting like client) to receiving server
- three phases of transfer
  - SMTP handshaking (greeting)
  - SMTP transfer of messages
  - SMTP closure
- command/response interaction (like HTTP)
  - commands: ASCII text
  - response: status code and phrase



Sample SMTP interaction

```
S: 220 hamburger.edu
```

SMTP: observations

comparison with HTTP:

- HTTP: client pull
- SMTP: client push
- both have ASCII command/response interaction, status codes
- HTTP: each object encapsulated in its own response message
- SMTP: multiple objects sent in multipart message
- SMTP uses persistent connections
- SMTP requires message (header & body) to be in 7-bit ASCII
- SMTP server uses CRLF.CRLF to determine end of message

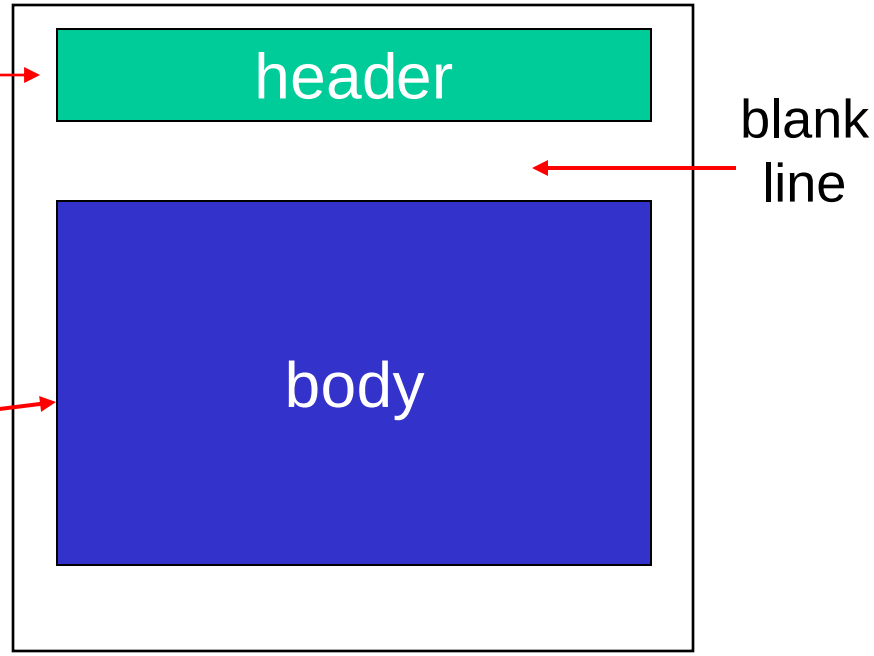
Mail message format

SMTP: protocol for exchanging e-mail messages, defined in RFC 5321 (like RFC 7231 defines HTTP)

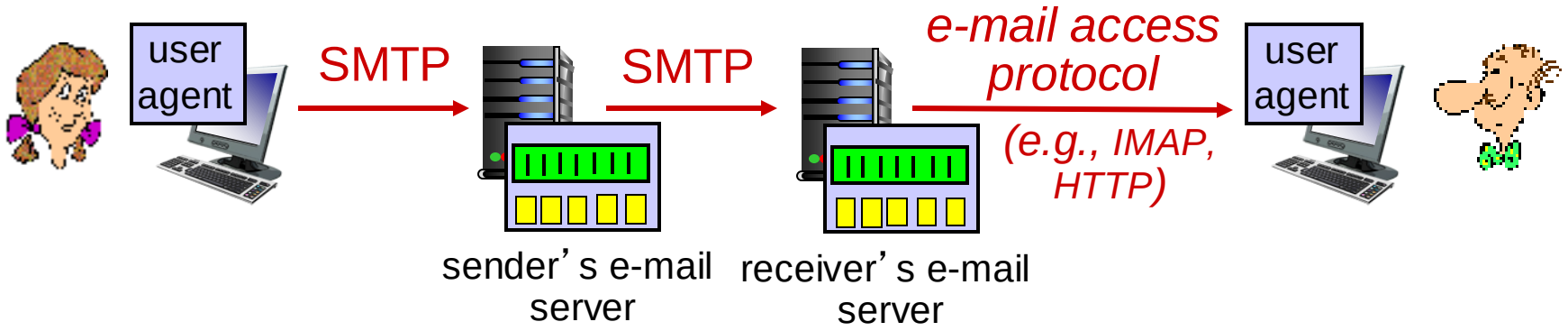
RFC 2822 defines *syntax* for e-mail message itself (like HTML defines syntax for web documents)

- header lines, e.g.,
  - To:
  - From:
  - Subject:

  these lines, within the body of the email message area different from SMTP MAIL FROM:, RCPT TO: commands!
- Body: the "message" , ASCII characters only



Retrieving email: mail access protocols



- SMTP: delivery/storage of e-mail messages to receiver's server
- mail access protocol: retrieval from server
  - IMAP: Internet Mail Access Protocol [RFC 3501]: messages stored on server, IMAP provides retrieval, deletion, folders of stored messages on server
- HTTP: gmail, Hotmail, Yahoo!Mail, etc. provides web-based interface on top of SMTP (to send), IMAP (or POP) to retrieve e-mail messages

Application Layer: Overview

- Principles of network applications
- Web and HTTP
- E-mail, SMTP, IMAP
- **The Domain Name System DNS**
- P2P applications
- video streaming and content distribution networks
- socket programming with UDP and TCP

DNS: Domain Name System

people: many identifiers:

- SSN, name, passport #

Internet hosts, routers:

- IP address (32 bit, e.g. 12.115.66.89) - used for addressing datagrams
- "name", e.g., www.google.com- used by humans

Q: how to map between IP address and name, and vice versa ?

Domain Name System (DNS):

- *distributed database* implemented in hierarchy of many *name servers*
- *application-layer protocol:* hosts, DNS servers communicate to *resolve* names (address/name translation)
  - *note:* core Internet function, implemented as application-layer protocol
  - complexity at network's "edge"

DNS: services, structure

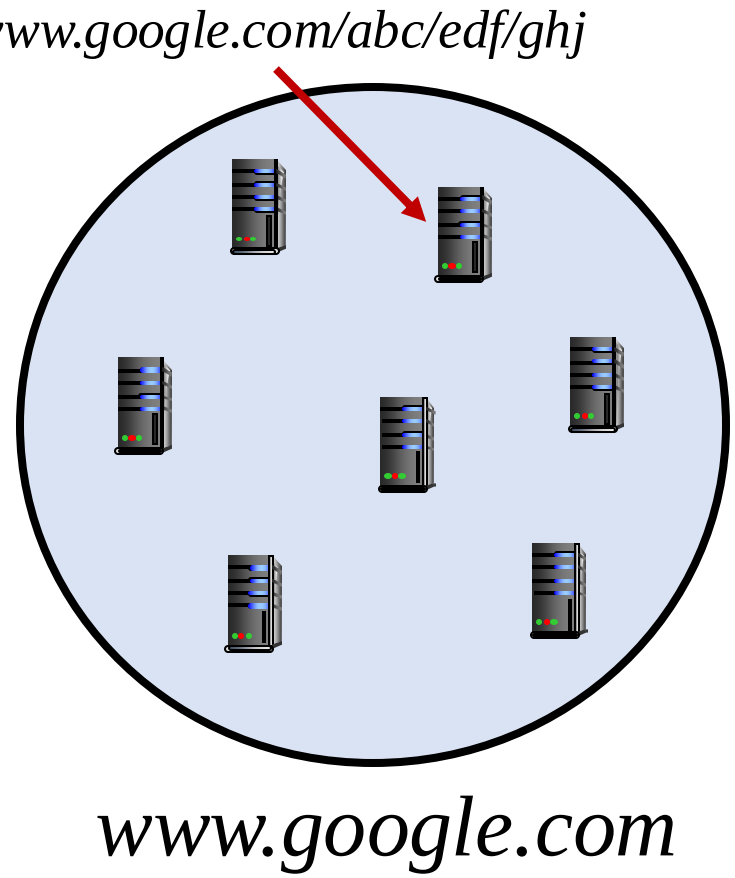
DNS services:

- hostname-to-IP-address translation

DNS: services, structure

DNS services:

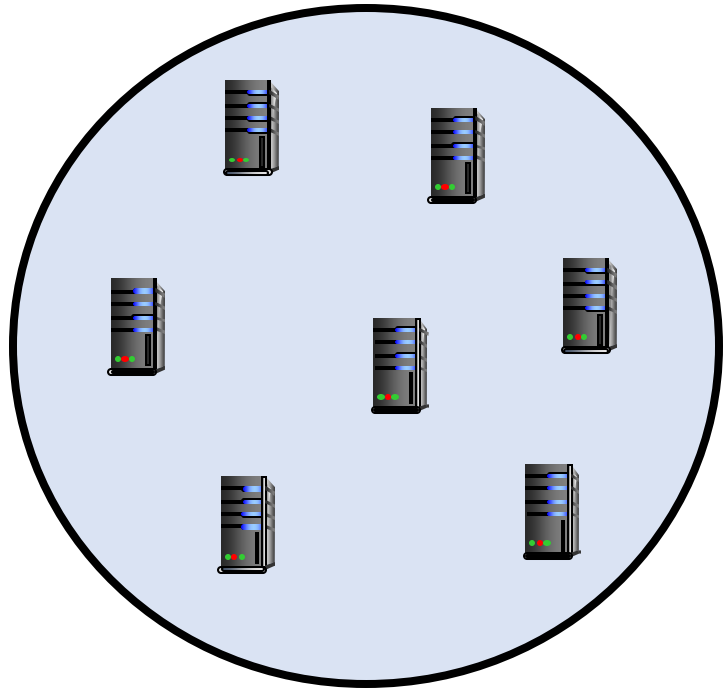
- hostname-to-IP-address translation
- host aliasing
  - canonical, alias names



DNS: services, structure

DNS services:

- hostname-to-IP-address translation
- host aliasing
  - canonical, alias names
- mail server aliasing

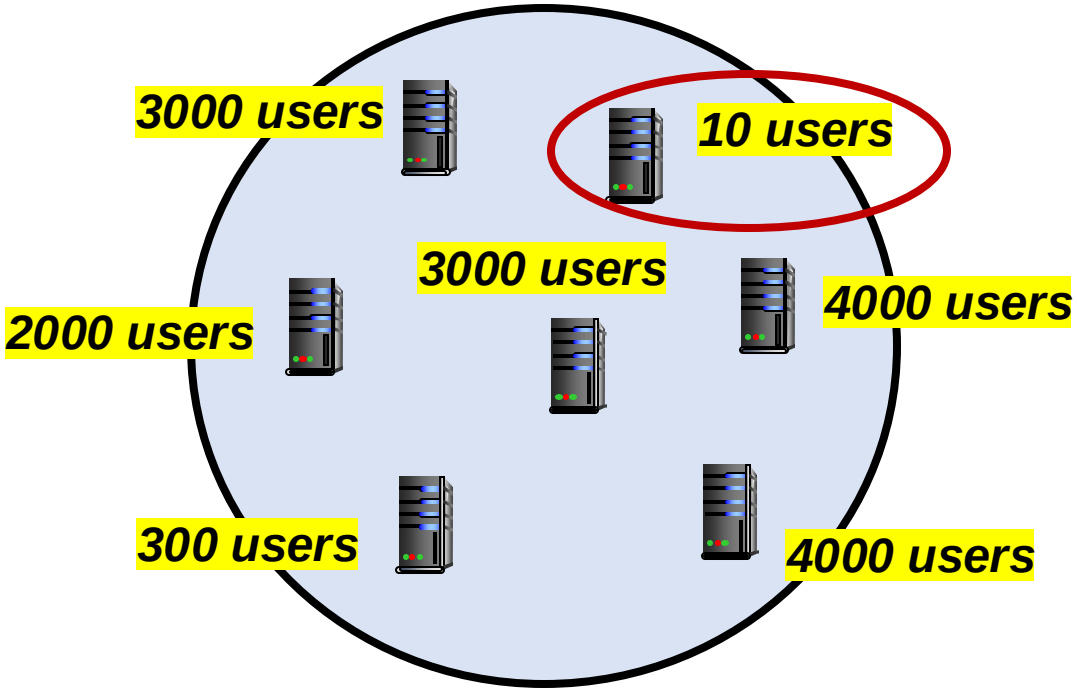


mail.google.com

DNS: services, structure

DNS services:

- hostname-to-IP-address translation
- host aliasing
  - canonical, alias names
- mail server aliasing
- load distribution
  - replicated Web servers: many IP addresses correspond to one name



DNS: services, structure

DNS services:

- hostname-to-IP-address translation
- host aliasing
  - canonical, alias names
- mail server aliasing
- load distribution
  - replicated Web servers: many IP addresses correspond to one name

Q: Why not centralize DNS?

- single point of failure
- traffic volume
- distant centralized database
- maintenance

A: doesn't scale!

- Comcast DNS servers alone: 600B DNS queries/day
- Akamai DNS servers alone: 2.2T DNS queries/day

Thinking about the DNS

Size: humongous distributed database:

- ~ billion records, each simple

Performance: handles many *trillions* of queries/day:

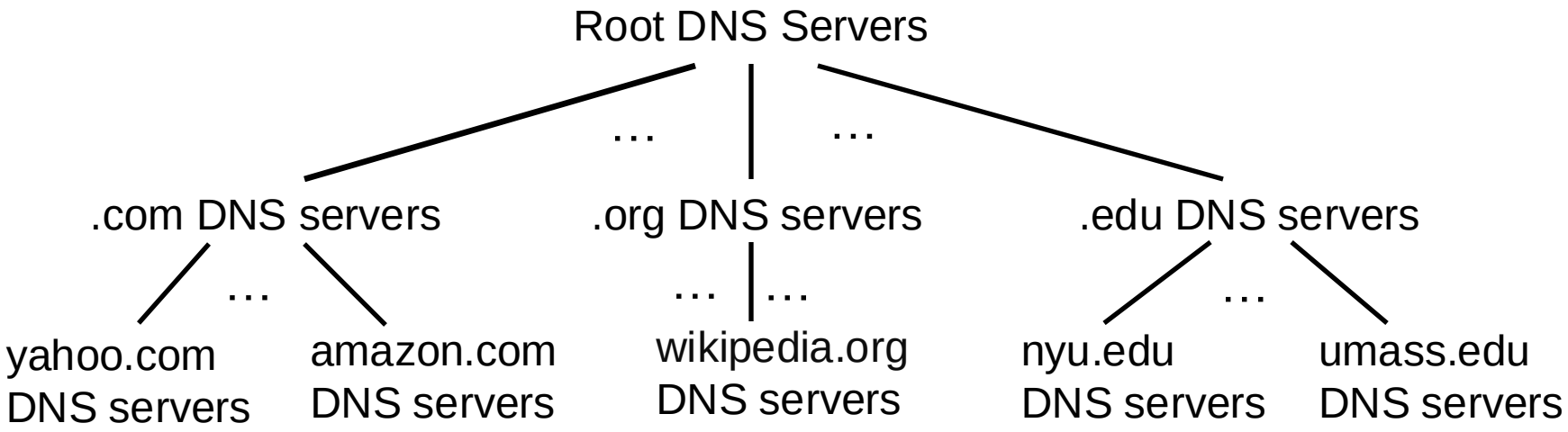
- *many* more reads than writes
- *performance matters:* almost every Internet transaction interacts with DNS - msecs count!

Geographical distribution: organizationally, physically decentralized:

- millions of different organizations responsible for their records

"bulletproof": reliability, security

DNS: a distributed, hierarchical database



Root

Top Level Domain

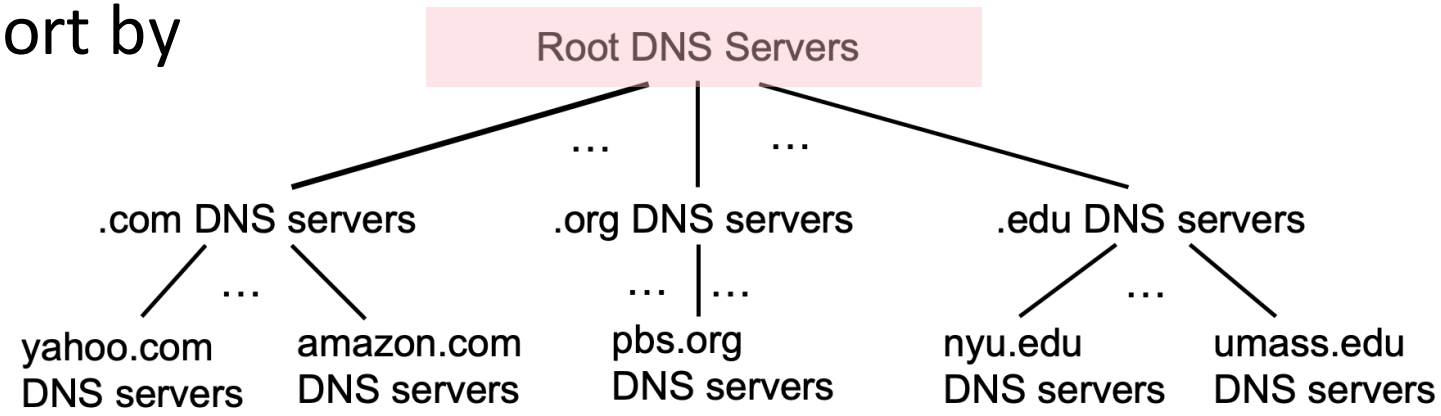
Authoritative

Client wants IP address for www.amazon.com; 1st approximation:

- client queries root server to find .com DNS server
- client queries .com DNS server to get amazon.com DNS server
- client queries amazon.com DNS server to get IP address for www.amazon.com

DNS: root name servers

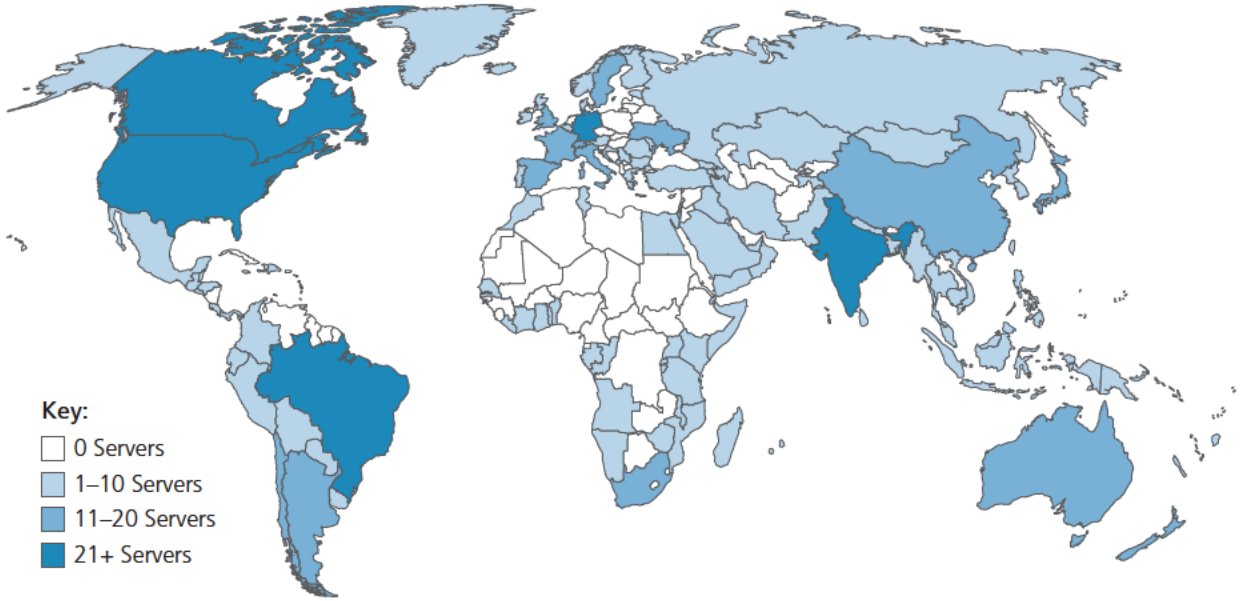
- official, contact-of-last-resort by name servers that can not resolve name



DNS: root name servers

- official, contact-of-last-resort by name servers that can not resolve name
- *incredibly important* Internet function
  - Internet couldn't function without it!
  - DNSSEC – provides security (authentication, message integrity)
- ICANN (Internet Corporation for Assigned Names and Numbers) manages root DNS domain

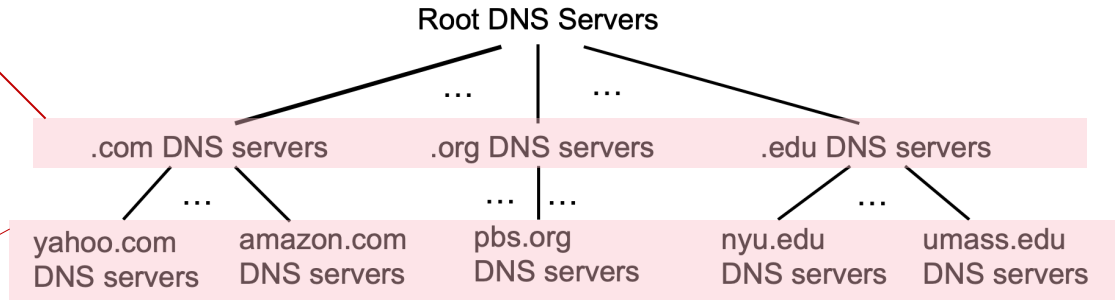
13 logical root name "servers" worldwide each "server" replicated many times (~200 servers in US)



Top-Level Domain, and authoritative servers

Top-Level Domain (TLD) servers:

- responsible for .com, .org, .net, .edu, .aero, .jobs, .museums, and all top-level country domains, e.g.: .cn, .uk, .fr, .ca, .jp
- Network Solutions: authoritative registry for .com, .net TLD
- Educause: .edu TLD



authoritative DNS servers:

- organization's own DNS server(s), providing authoritative hostname to IP mappings for organization's named hosts
- can be maintained by organization or service provider

Local DNS name servers

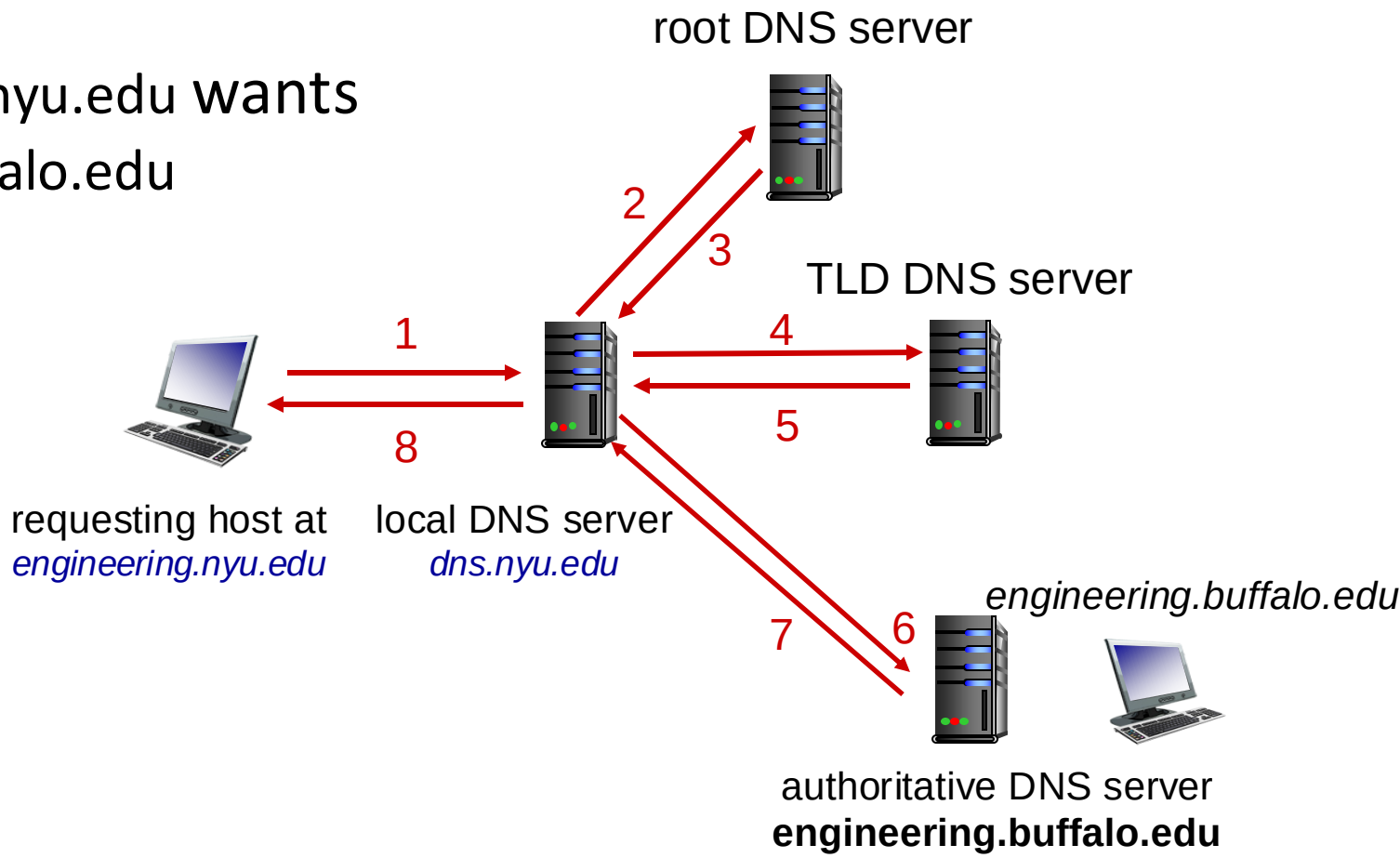
- when host makes DNS query, it is sent to its *local* DNS server
  - Local DNS server returns reply, answering:
    - from its local cache of recent name-to-address translation pairs (possibly out of date!)
    - forwarding request into DNS hierarchy for resolution
  - each ISP has local DNS name server; to find yours:
    - MacOS: `% scutil --dns`
    - Windows: `>ipconfig /all`
- local DNS server doesn't strictly belong to hierarchy

DNS name resolution: iterated query

Example: host at engineering.nyu.edu wants IP address for engineering.buffalo.edu

Iterated query:

- contacted server replies with name of server to contact
- "I don't know this name, but ask this server"

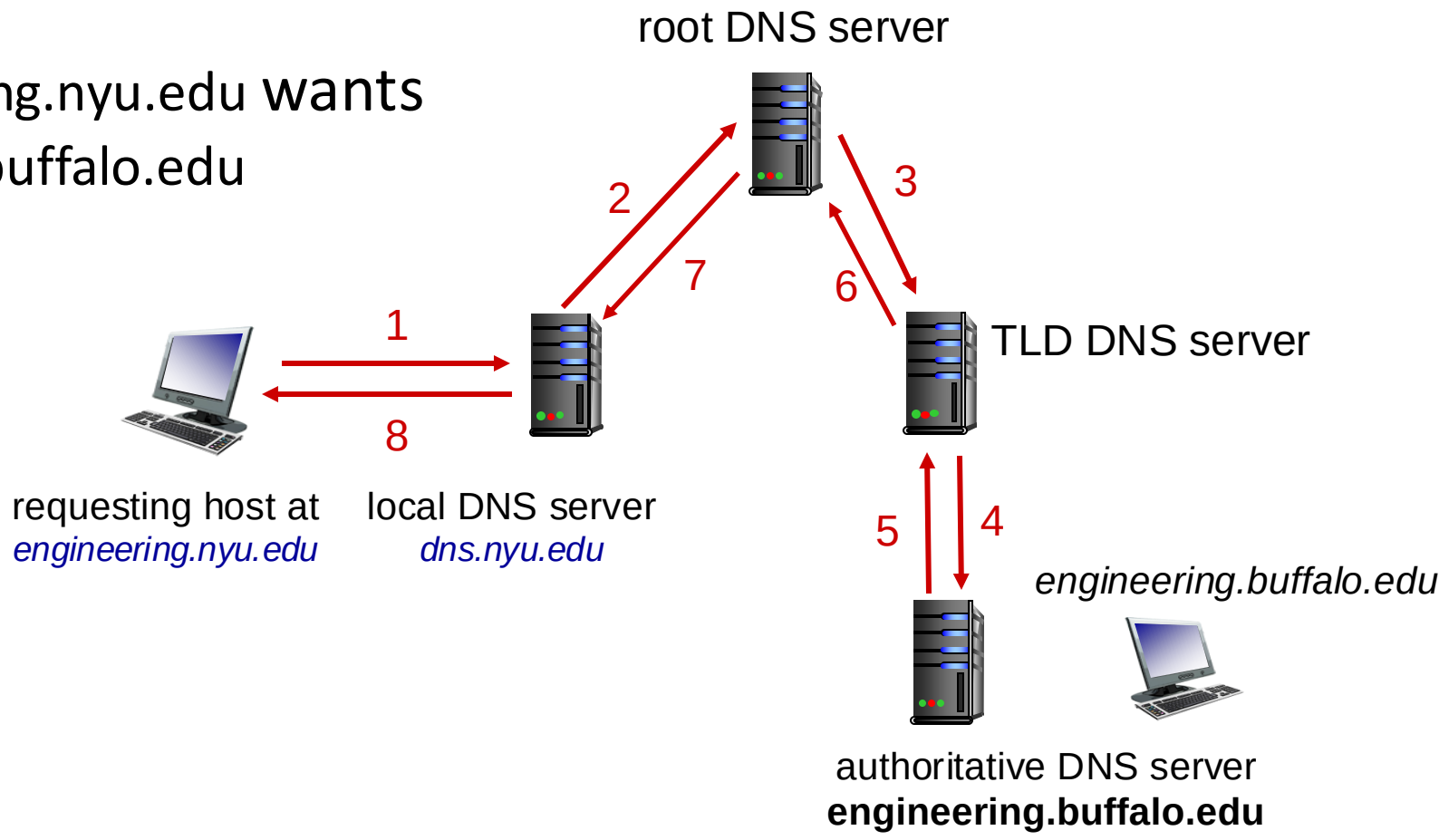


DNS name resolution: recursive query

Example: host at engineering.nyu.edu wants IP address for engineering.buffalo.edu

Recursive query:

- puts burden of name resolution on contacted name server
- heavy load at upper levels of hierarchy?



Caching DNS Information

- once (any) name server learns mapping, it *caches* mapping, and i*mmediately* returns a cached mapping in response to a query
  - caching improves response time
  - cache entries timeout (disappear) after some time (TTL)
  - TLD servers typically cached in local name servers
- cached entries may be *out-of-date*
  - if the named host changes IP address, may not be known Internet-wide until all TTLs expire!
  - *best-effort name-to-address translation!*

DNS records

DNS: distributed database storing resource records (RR)

RR format: (`name, value, type, ttl`)

type=A

- `name` is hostname
- `value` is IP address

type=NS

- `name` is domain (e.g., foo.com)
- `value` is hostname of authoritative name server for this domain

type=CNAME

- `name` is alias name for some "canonical" (the real) name
- www.ibm.com is really servereast.backup2.ibm.com
- `value` is canonical name

type=MX

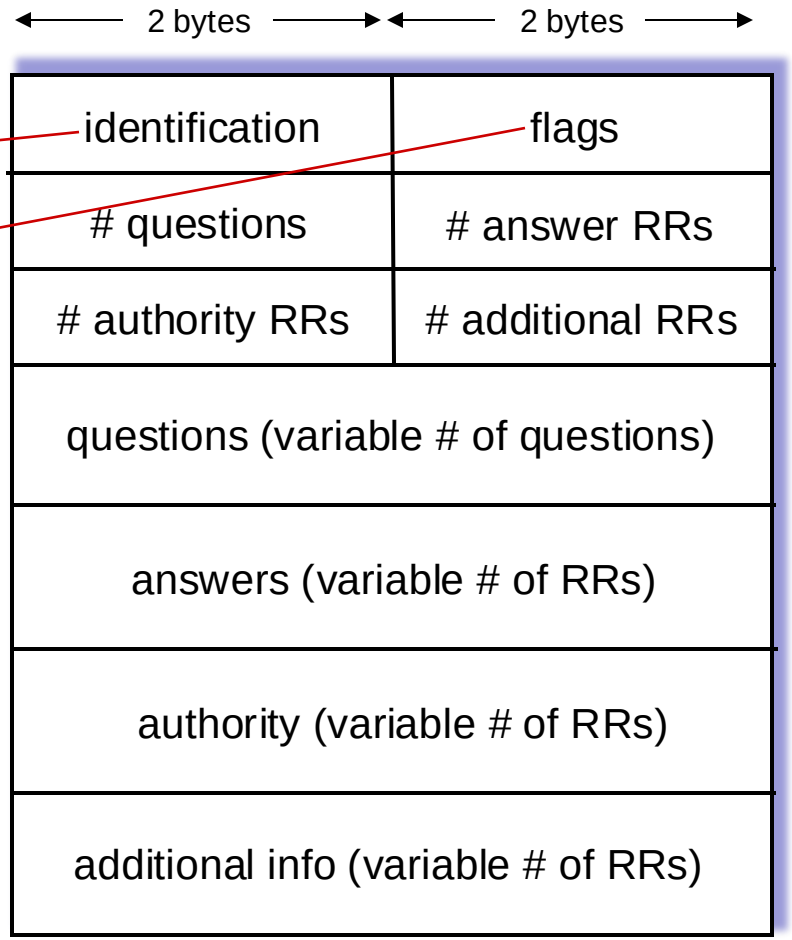
- `value` is name of SMTP mail server associated with `name`

DNS protocol messages

DNS *query* and *reply* messages, both have same *format:*

message header:

- identification: 16 bit # for query, reply to query uses same #
- flags:
  - query or reply
  - recursion desired
  - recursion available
  - reply is authoritative



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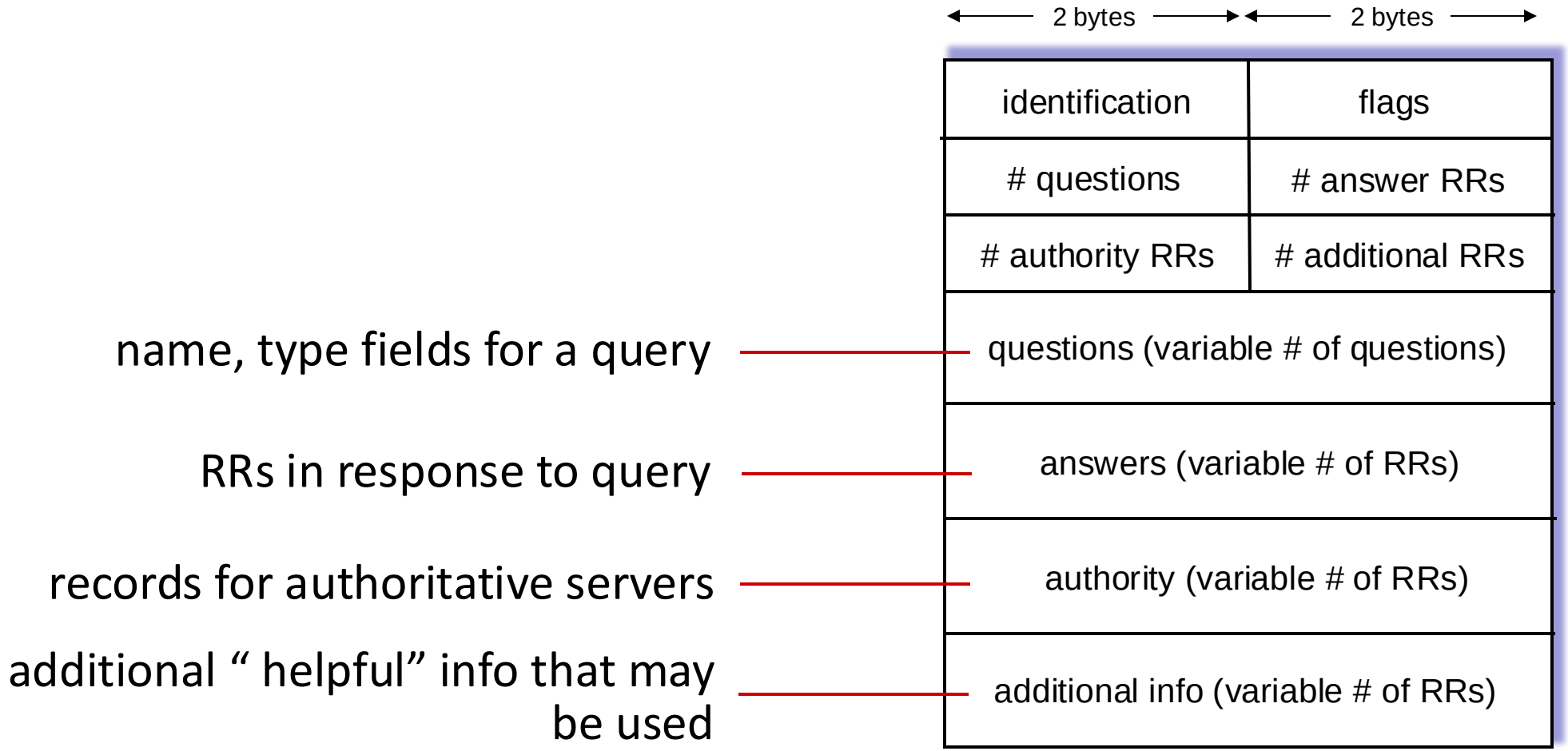


Getting your info into the DNS

example: new startup “Network Utopia”

- register name networkuptopia.com at *DNS registrar* (e.g., Network Solutions)
  - provide names, IP addresses of authoritative name server (primary and secondary)
  - registrar inserts info into .com TLD server:

    `(networkutopia.com, dns1.networkutopia.com, NS)`

    `(dns1.networkutopia.com, 212.212.212.1, A)`
- create authoritative server locally with IP address `212.212.212.1`

Q: Does DNS use UDP or TCP?

DNS security

DDoS attacks

- bombard root servers with traffic
  - not successful to date
  - traffic filtering
  - local DNS servers cache IPs of TLD servers, allowing root server bypass
- bombard TLD servers
  - potentially more dangerous

Spoofing attacks

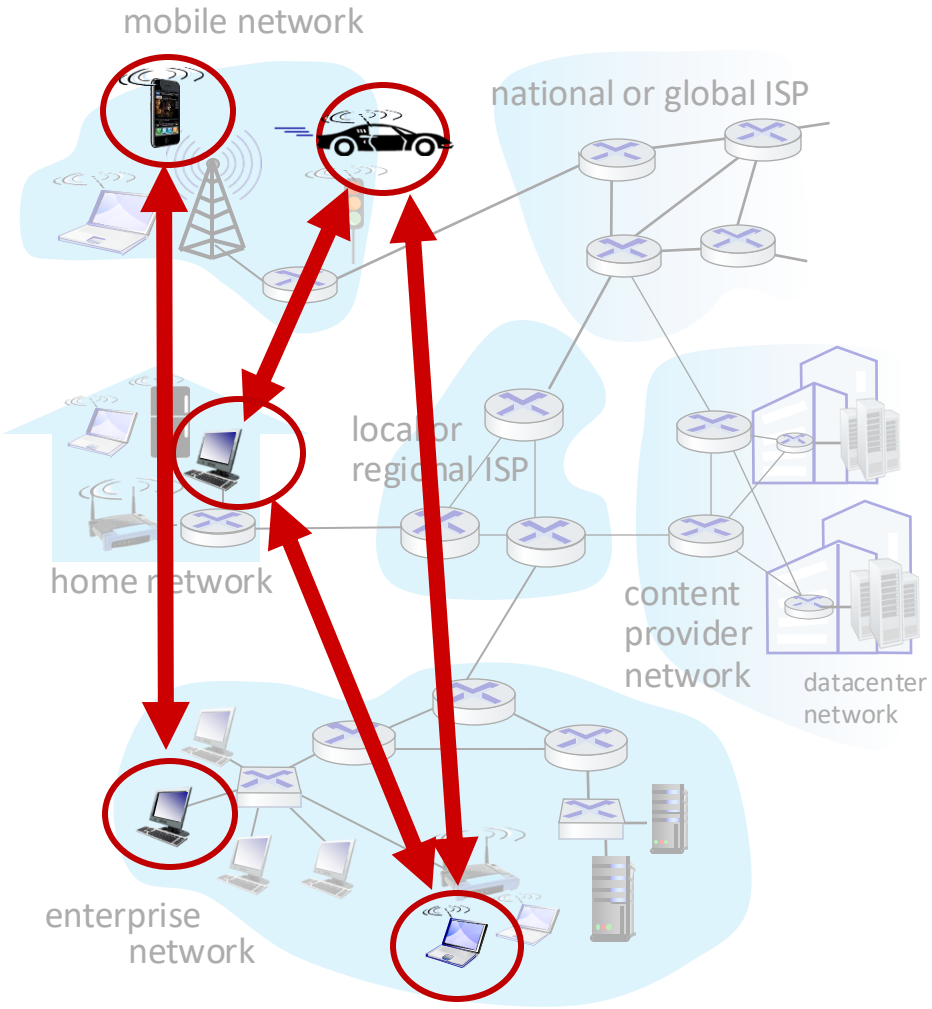
- intercept DNS queries, returning bogus replies
  - DNS cache poisoning
  - RFC 4033: DNSSEC authentication services

Application Layer: Overview

- Principles of network applications
- socket programming with UDP and TCP
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System DNS
- P2P applications
- video streaming and content distribution networks

Peer-to-peer (P2P) architecture

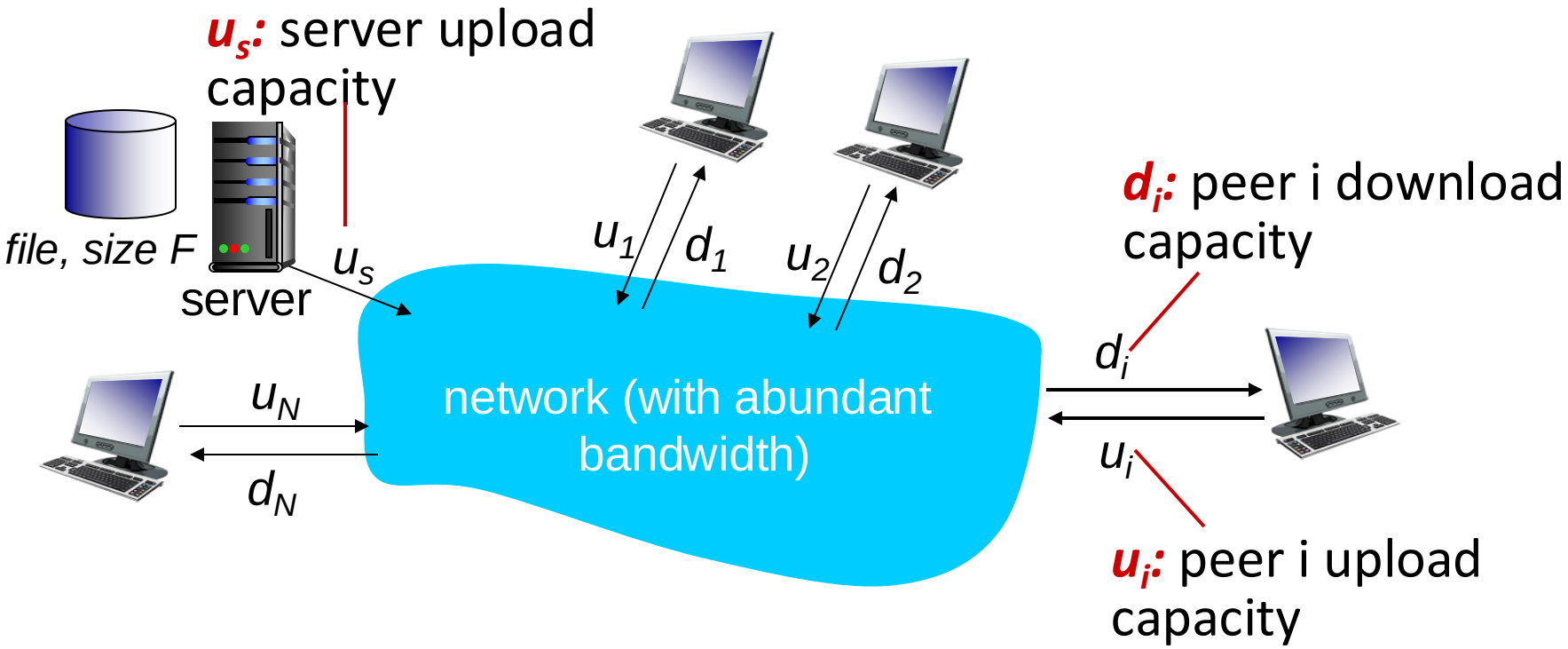
- *no* always-on server
- arbitrary end systems directly communicate
- peers request service from other peers, provide service in return to other peers
  - *self scalability* – new peers bring new service capacity, and new service demands
- peers are intermittently connected and change IP addresses
  - complex management
- examples: P2P file sharing (BitTorrent), streaming (KanKan), VoIP (Skype)



File distribution: client-server vs P2P

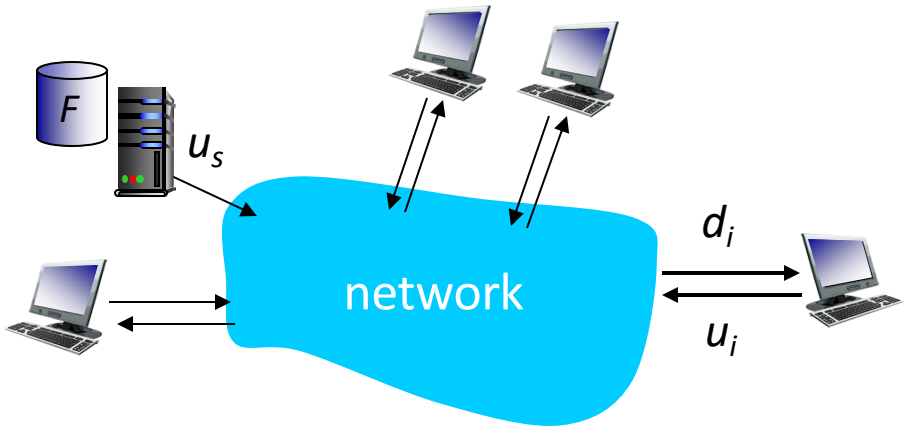
Q: how much time to distribute file (size *F*) from one server to *N peers*?

- peer upload/download capacity is limited resource



File distribution time: client-server

- *server transmission:* must sequentially send (upload) *N* file copies:
  - time to send one copy: F/u_s
  - time to send *N* copies: NF/u_s
- *client:* each client must download file copy
  - d_{min} = min client download rate
  - min client download time: F/d_{min}



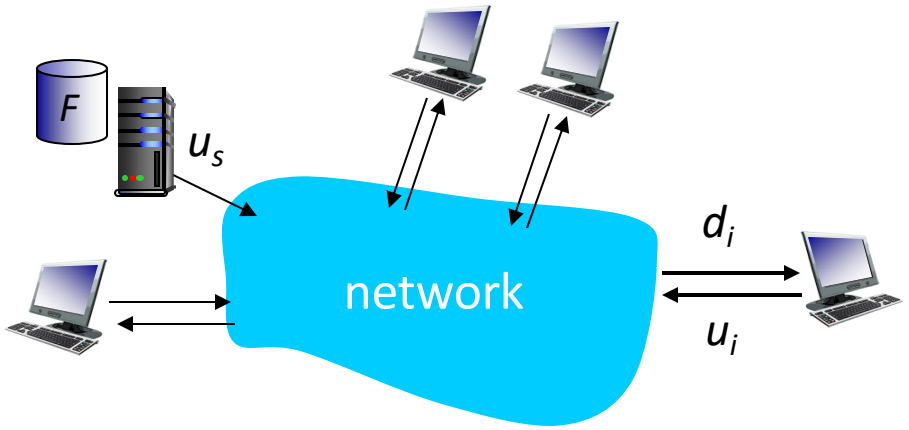
time to distribute F to N clients using client-server approach

$$D_{c\text{-}s} \geq max\{NF/u_s, F/d_{min}\}$$

increases linearly in N

File distribution time: P2P

- *server transmission:* must upload at least one copy:
  - time to send one copy: F/u_s
- *client:* each client must download file copy
  - min client download time: F/d_{min}
- *clients:* as aggregate must download NF bits
  - max upload rate (limiting max download rate) is $u_s + \Sigma u_i$



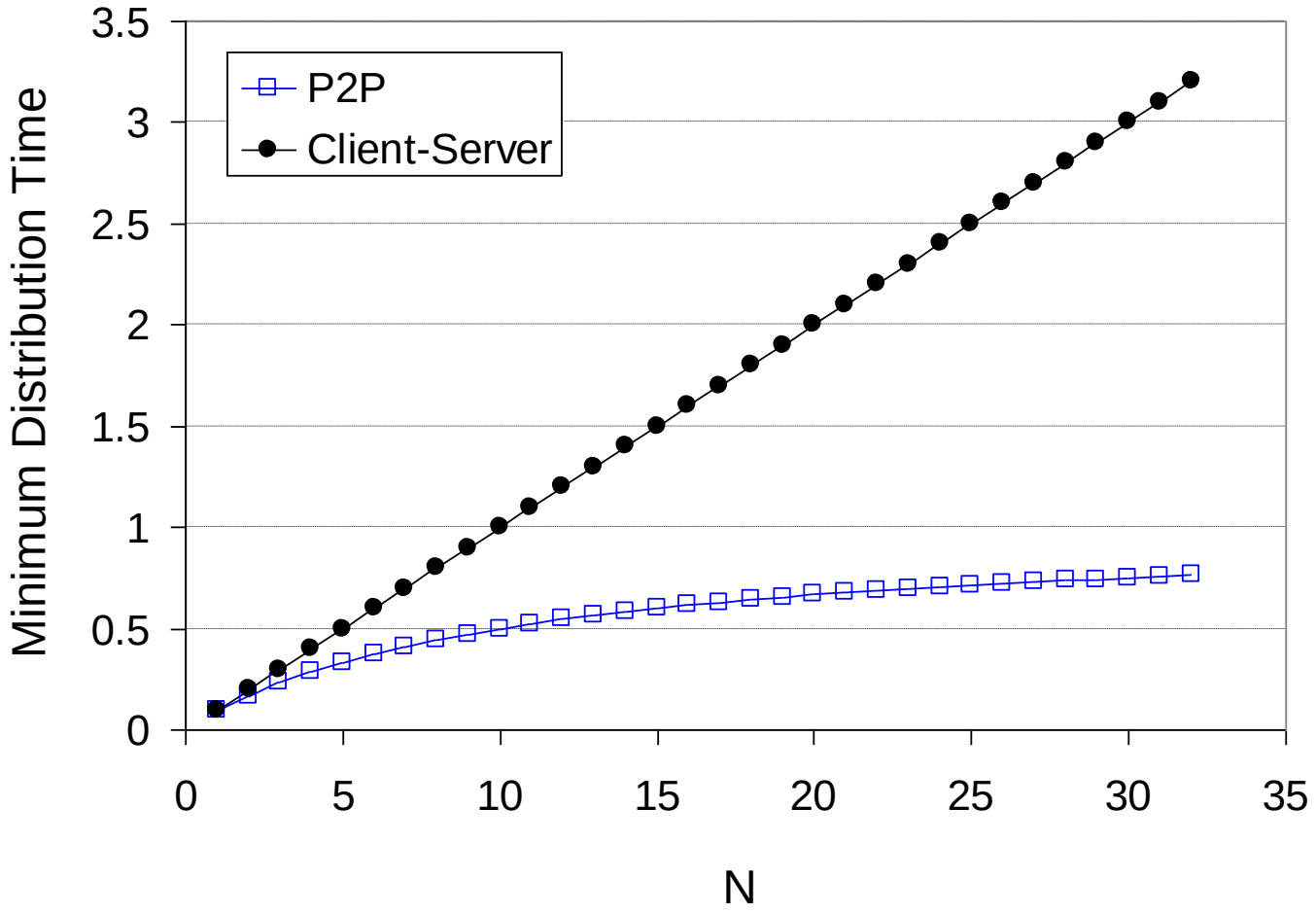
time to distribute F to N clients using P2P approach

$$D_{P2P} \geq max\{F/u_s, F/d_{min}, NF/(u_s + \Sigma u_i)\}$$

increases linearly in N …
… but so does this, as each peer brings service capacity

Client-server vs. P2P: example

client upload rate = u, F/u = 1 hour, $u_s = 10u$, $d_{min} \geq u_s$

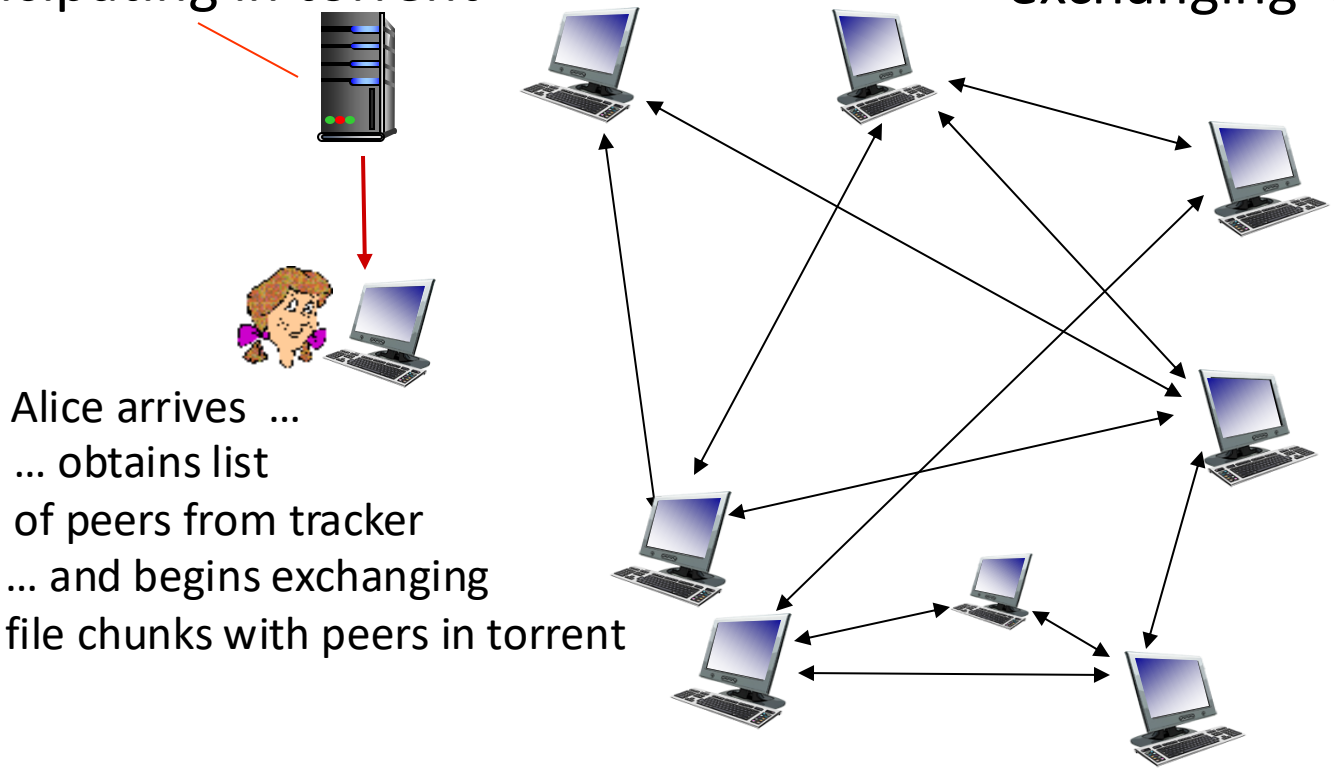


P2P file distribution: BitTorrent

- file divided into 256Kb chunks
- peers in torrent send/receive file chunks

tracker: tracks peers participating in torrent

torrent: group of peers exchanging chunks of a file



Alice arrives …
… obtains list
of peers from tracker
… and begins exchanging
file chunks with peers in torrent

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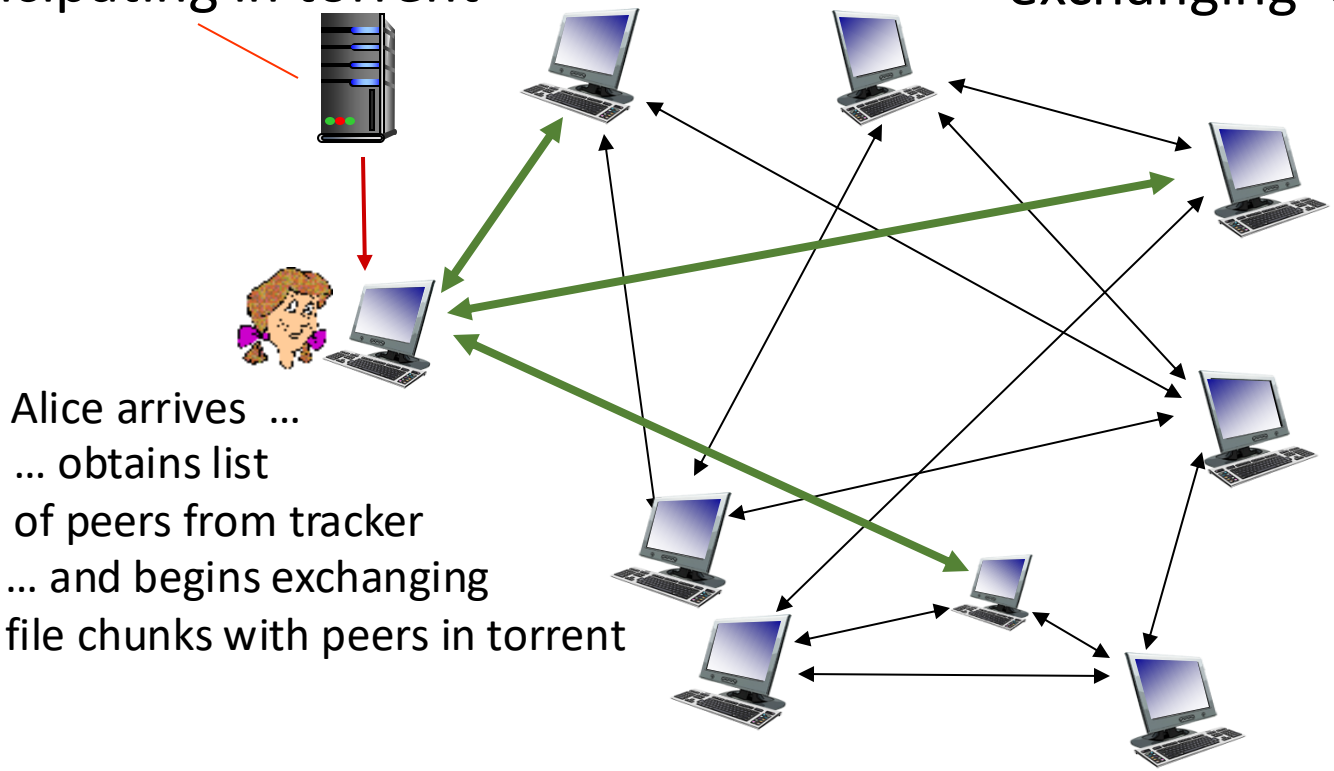

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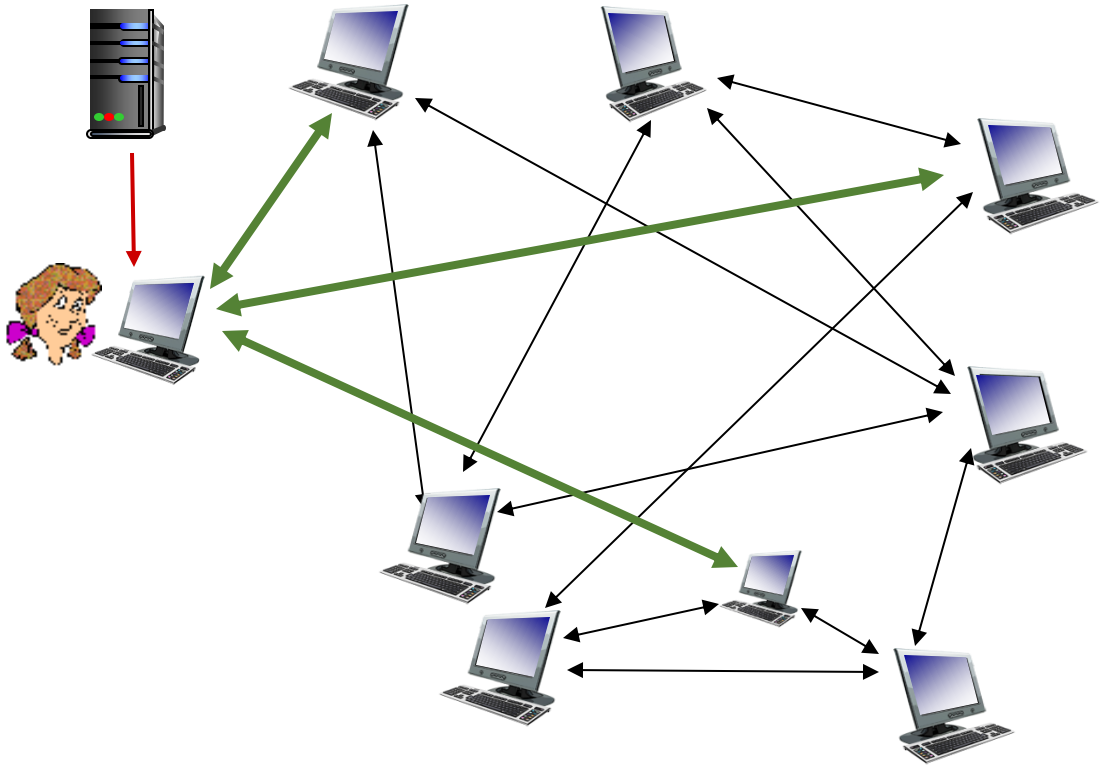

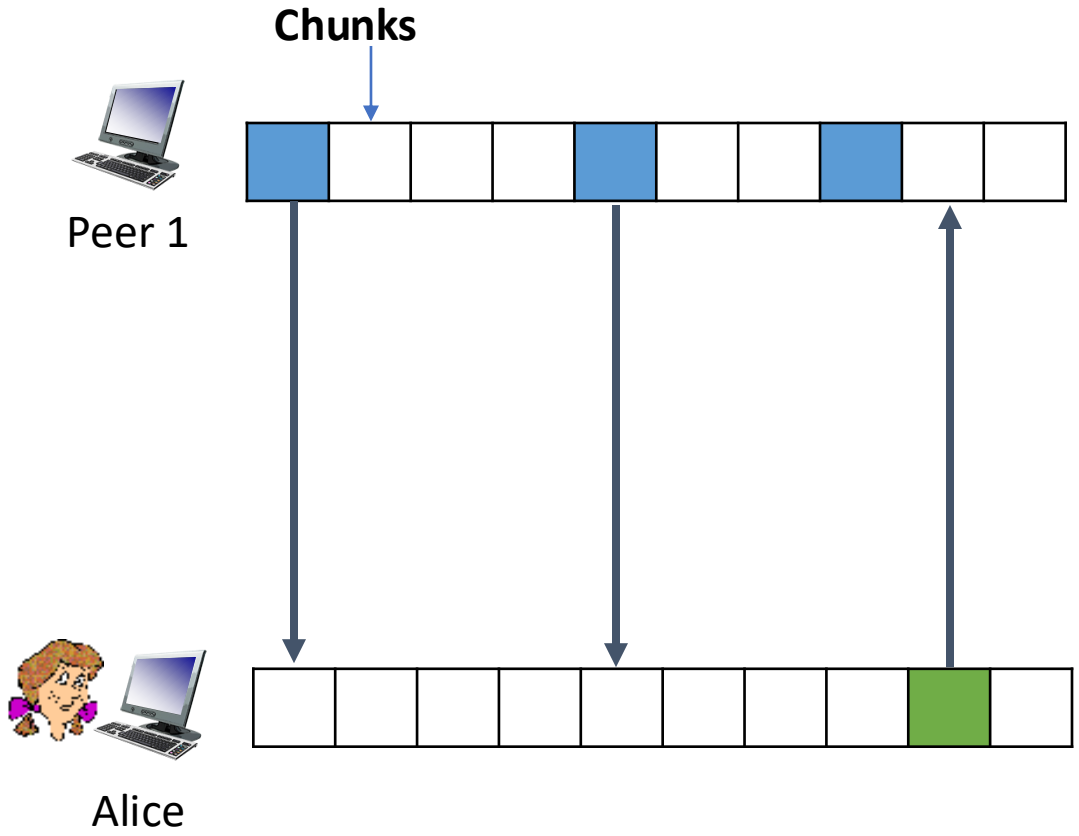

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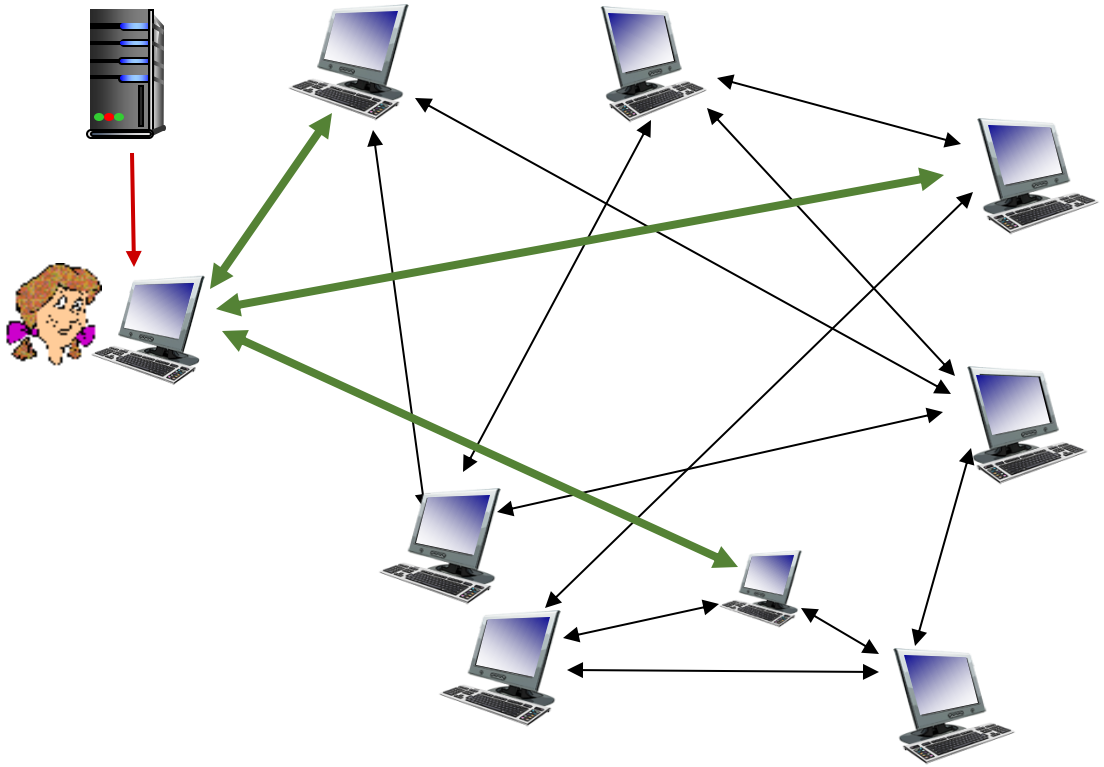

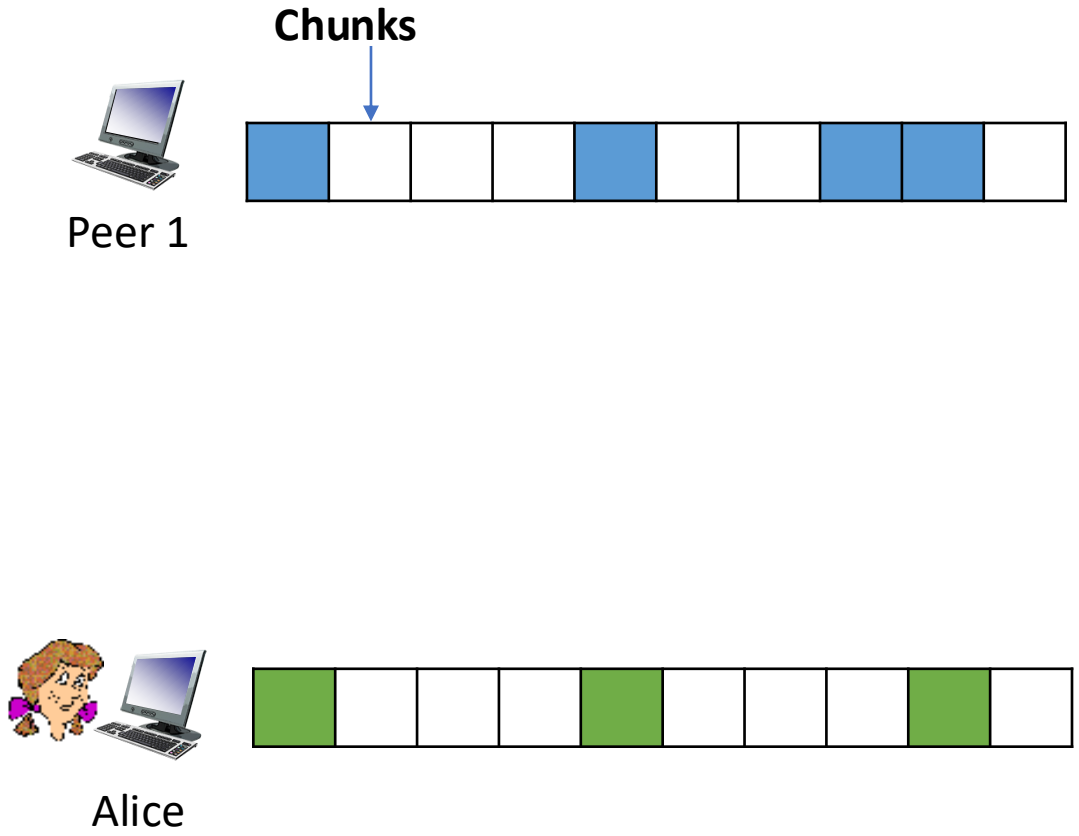

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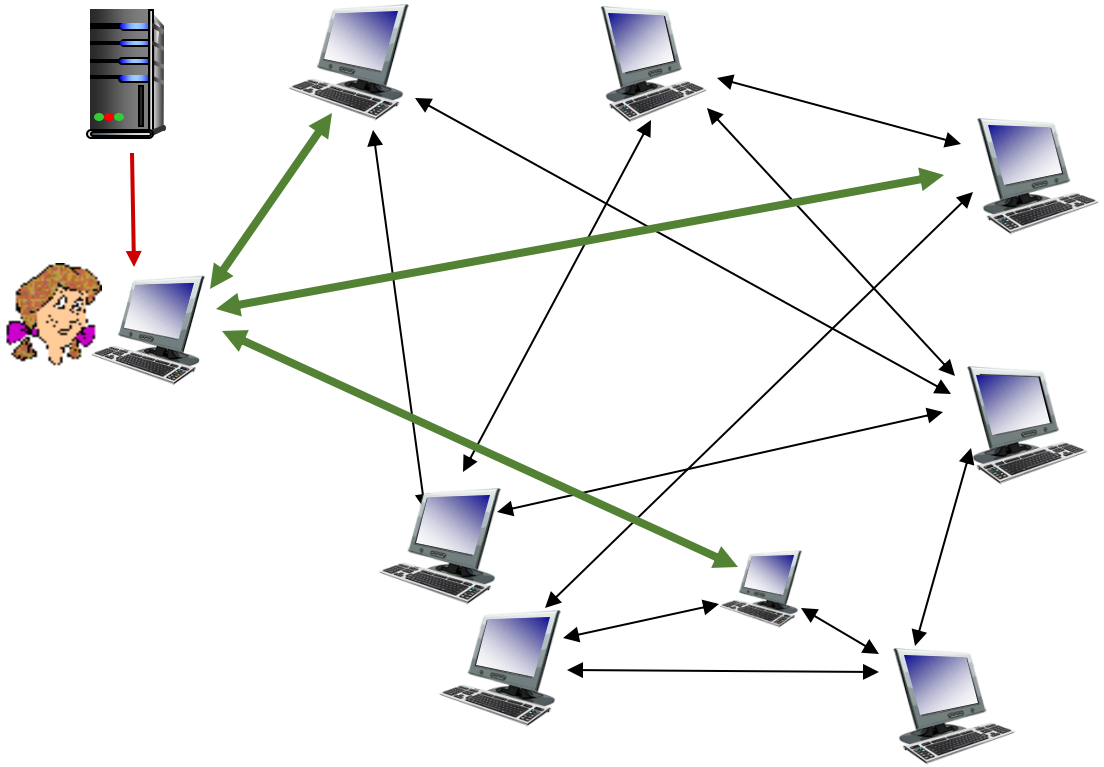

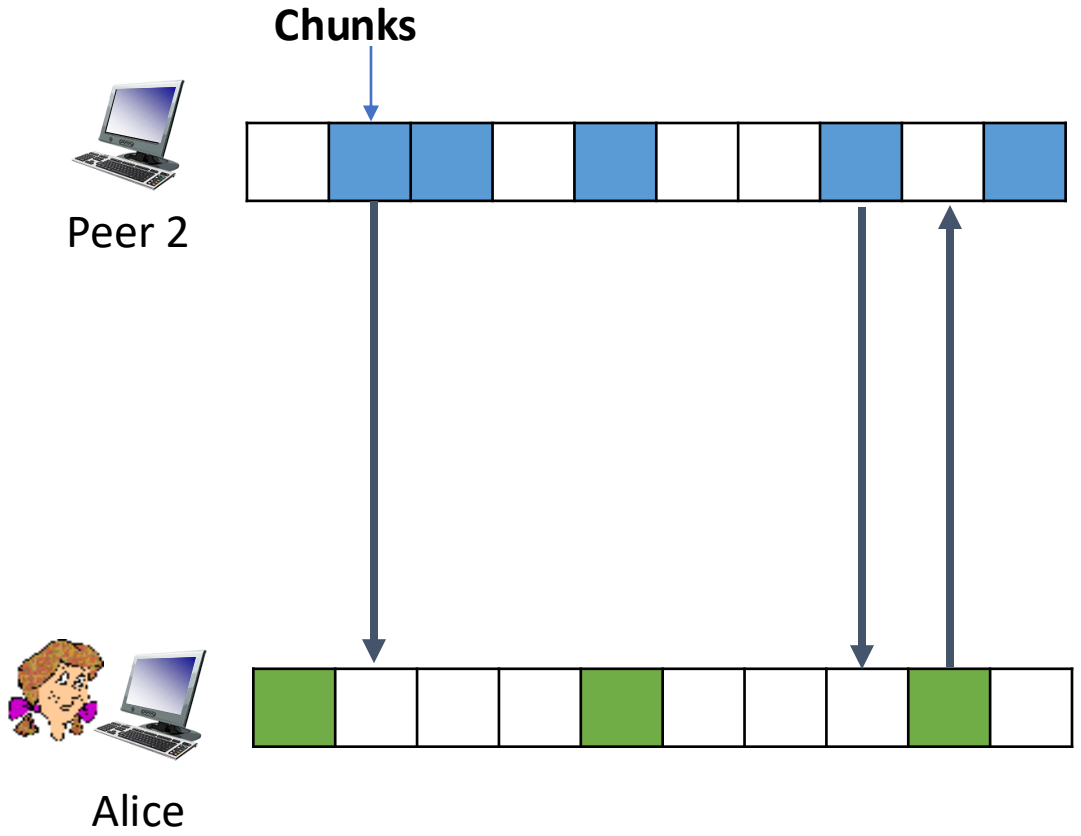

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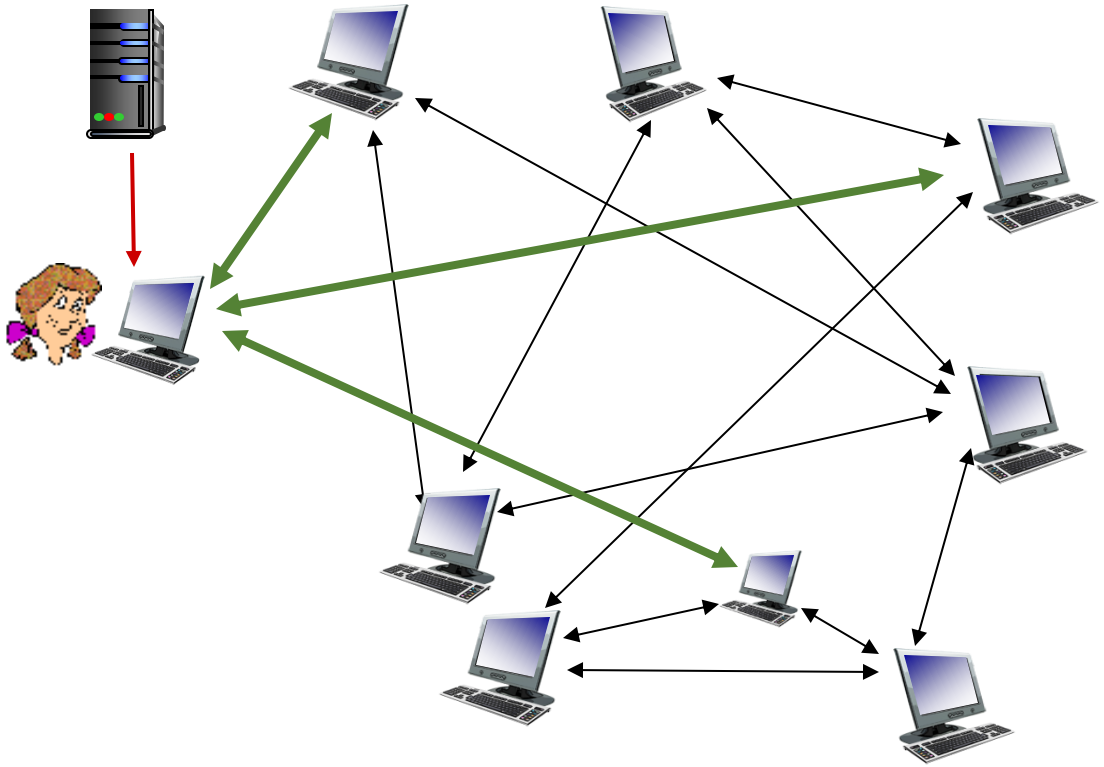
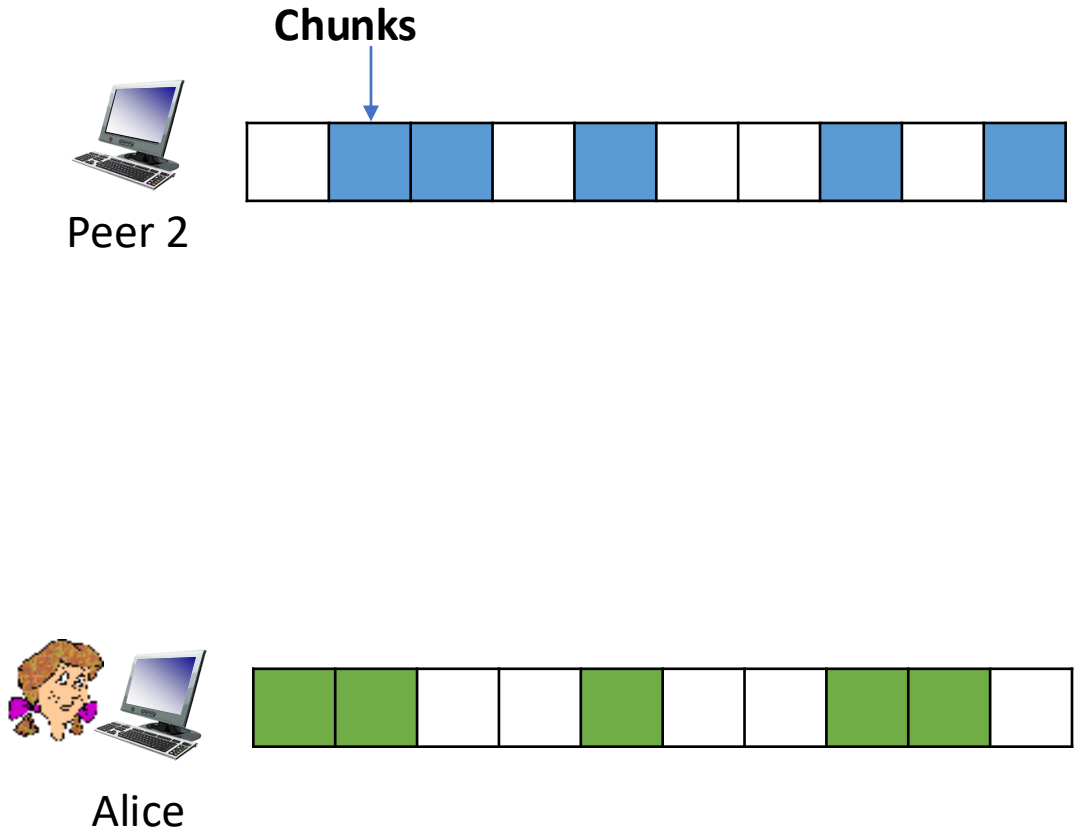
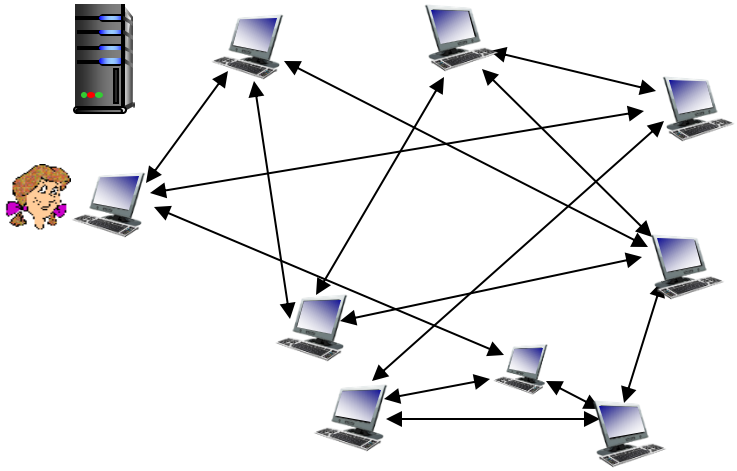


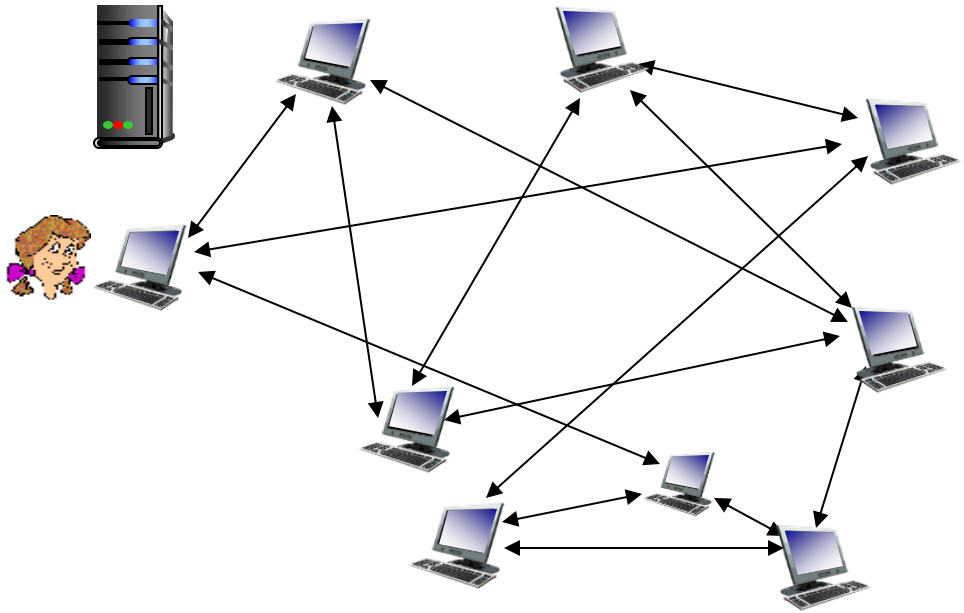


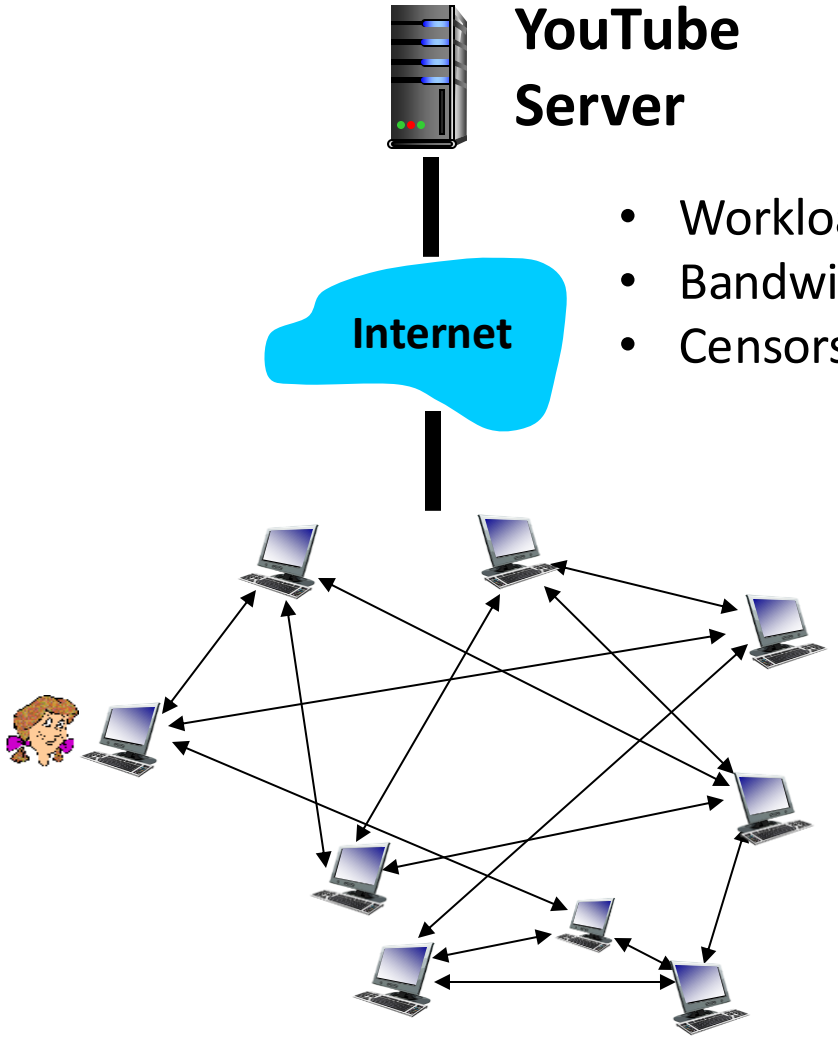
P2P file distribution: BitTorrent

- while downloading, peer uploads chunks to other peers
- peer may change peers with whom it exchanges chunks
- Peers may come and go
- once peer has entire file, it may (selfishly) leave or (altruistically) remain in torrent



P2P file distribution: BitTorrent WHY?





BitTorrent: requesting, sending file chunks

Requesting chunks:

- at any given time, different peers have different subsets of file chunks
- periodically, Alice asks each peer for list of chunks that they have
- Alice requests missing chunks from peers, rarest first

Sending chunks: tit-for-tat

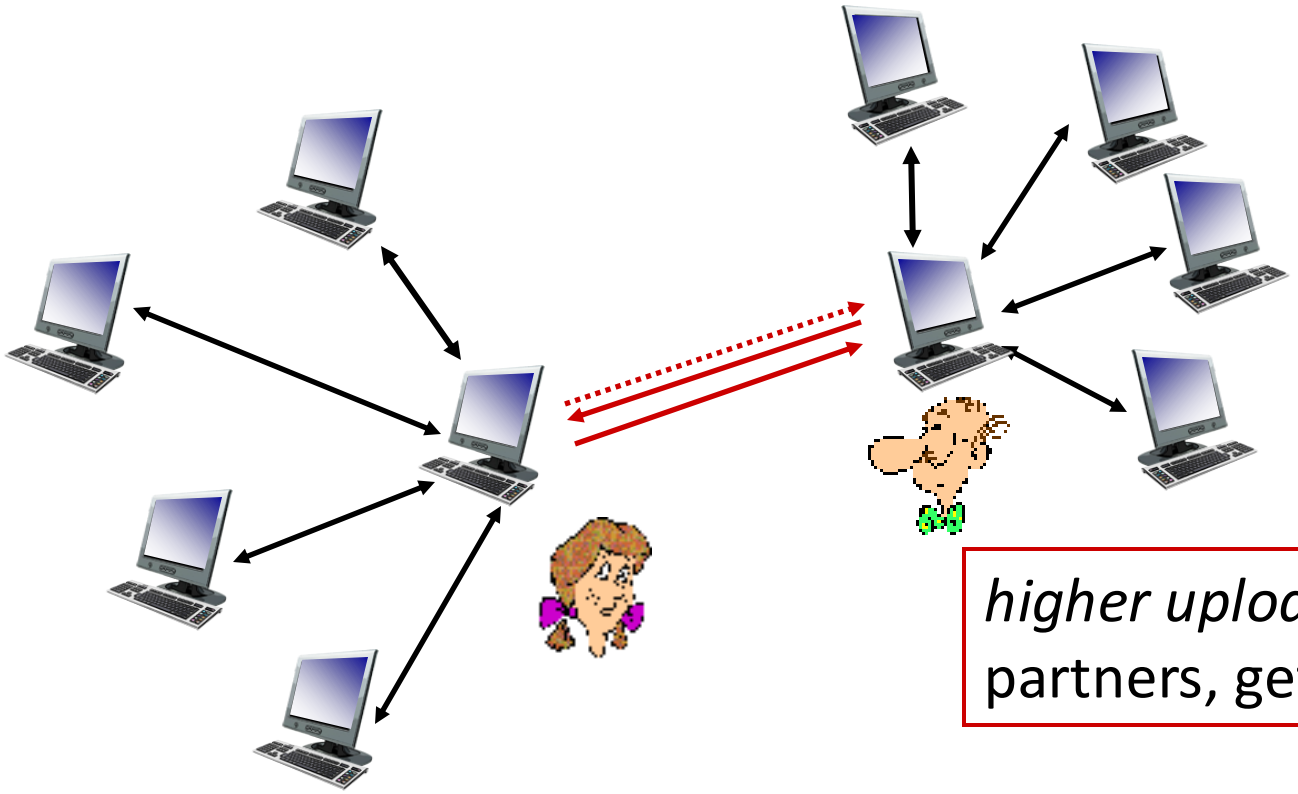
- Alice sends chunks to those four peers currently sending her chunks *at highest rate*
  - other peers are choked by Alice (do not receive chunks from her)
  - re-evaluate top 4 every 10 secs
- every 30 secs: randomly select another peer, starts sending chunks
  - "optimistically unchoke" this peer
  - newly chosen peer may join top 4

BitTorrent: tit-for-tat

(1) Alice “optimistically unchokes” Bob

(2) Alice becomes one of Bob’s top-four providers; Bob reciprocates

(3) Bob becomes one of Alice’s top-four providers



higher upload rate: find better trading partners, get file faster !

Application layer: overview

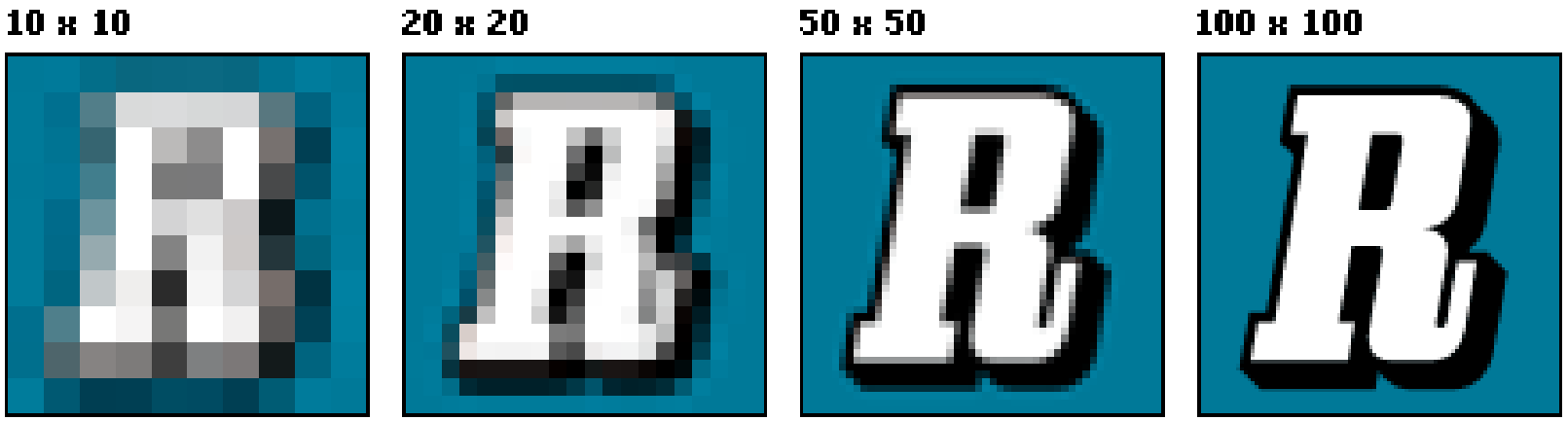
- Principles of network applications
- socket programming with UDP and TCP
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System DNS
- P2P applications
- video streaming and content distribution networks

Video Streaming and CDNs: context

- stream video traffic: major consumer of Internet bandwidth
  - Netflix, YouTube, Amazon Prime: 80% of residential ISP traffic (2020)
- *challenge:* scale - how to reach ~1B users?
- *challenge:* heterogeneity
  - different users have different capabilities (e.g., wired versus mobile; bandwidth rich versus bandwidth poor)
- *solution:* distributed, application-level infrastructure

Multimedia: video

- video: sequence of images displayed at constant rate
  - e.g., 24 images/sec
- digital image: array of pixels
  - each pixel represented by bits



Multimedia: video coding

- Why we need video coding?


8000x5000

Multimedia: video coding

- Why we need video coding?

8 MByte = 64 Mbit

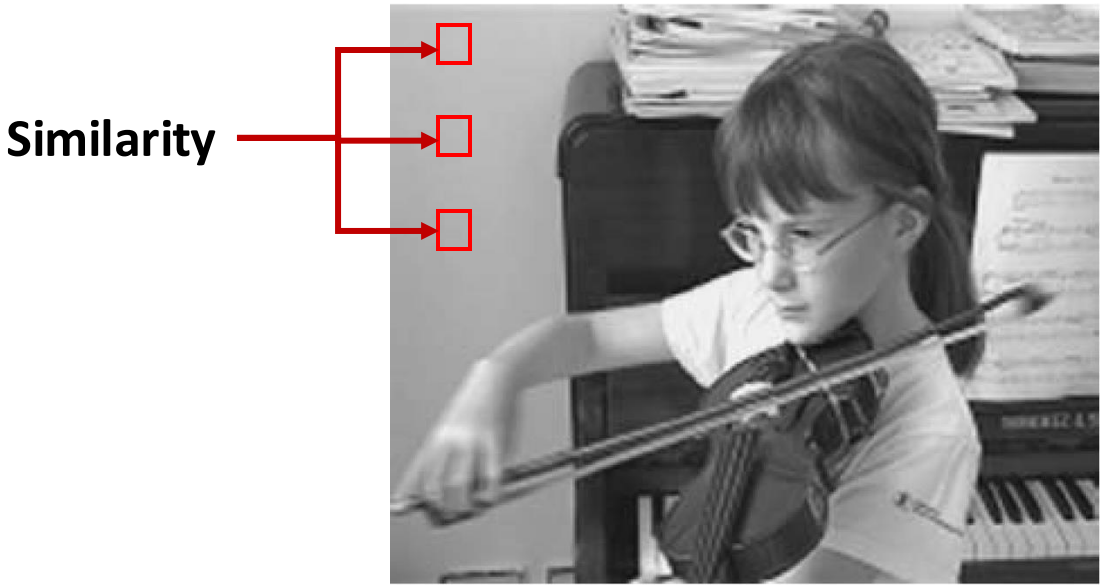
Example: a video with 30 fps

30 images per second

Data Rate: $30 \times 60Mbit = 1800Mbps = 1.8Gbps$

Multimedia: video coding

- coding: leveraging redundancy *within* and *between* images to decrease # bits used to encode image
  - spatial (within image)



Multimedia: video coding

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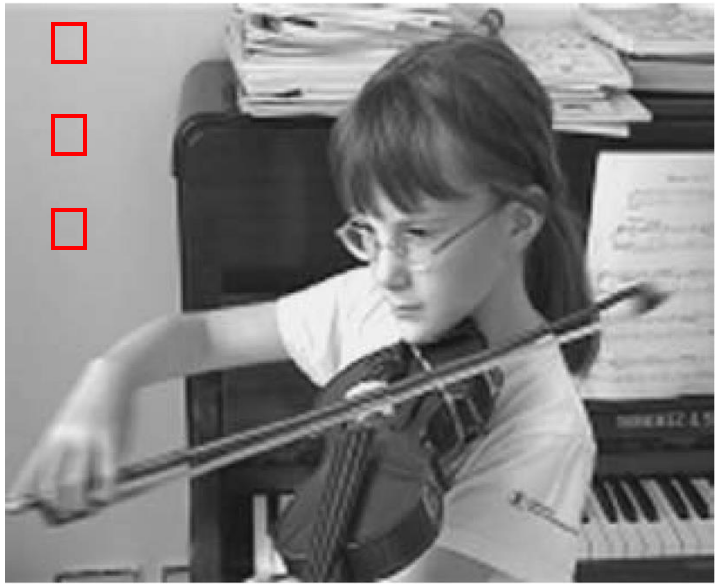
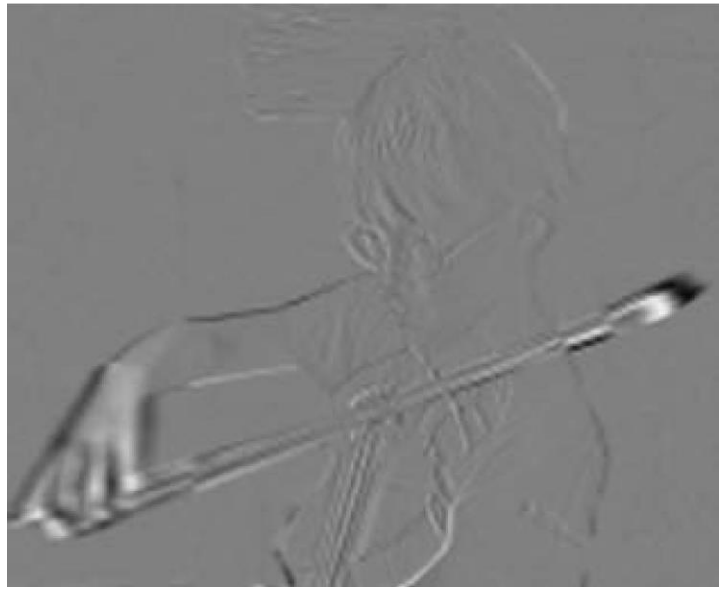


Frame i

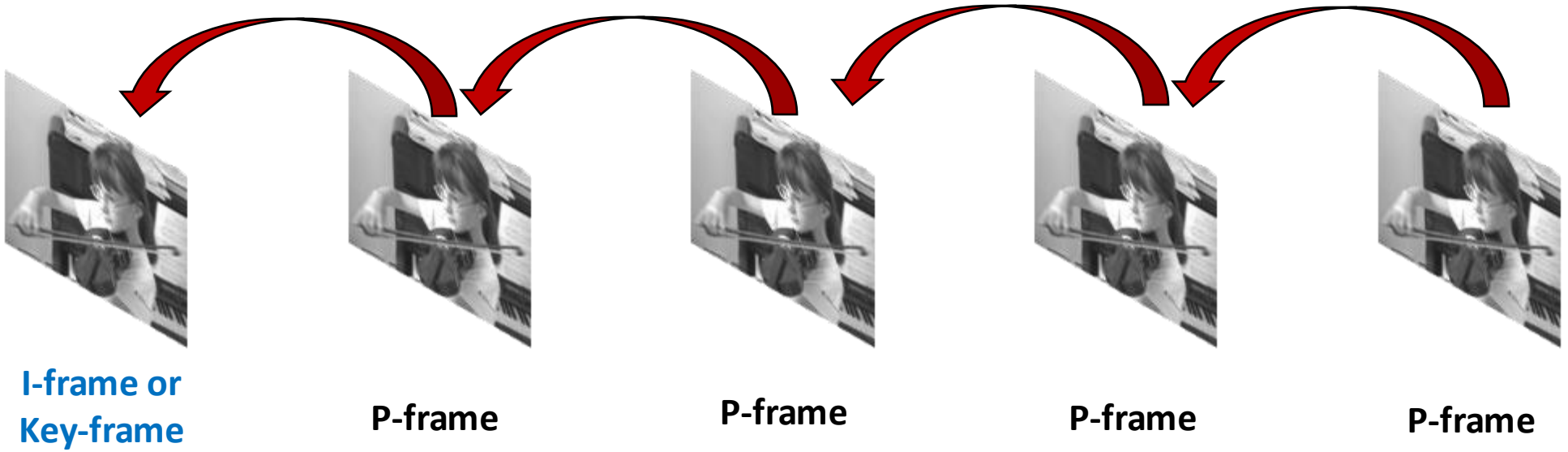
Frame i+1



Difference between frames

Multimedia: video coding

- coding: leveraging redundancy *within* and *between* images to decrease # bits used to encode image
  - spatial (within image)
  - temporal (from one image to next)



Multimedia: video coding

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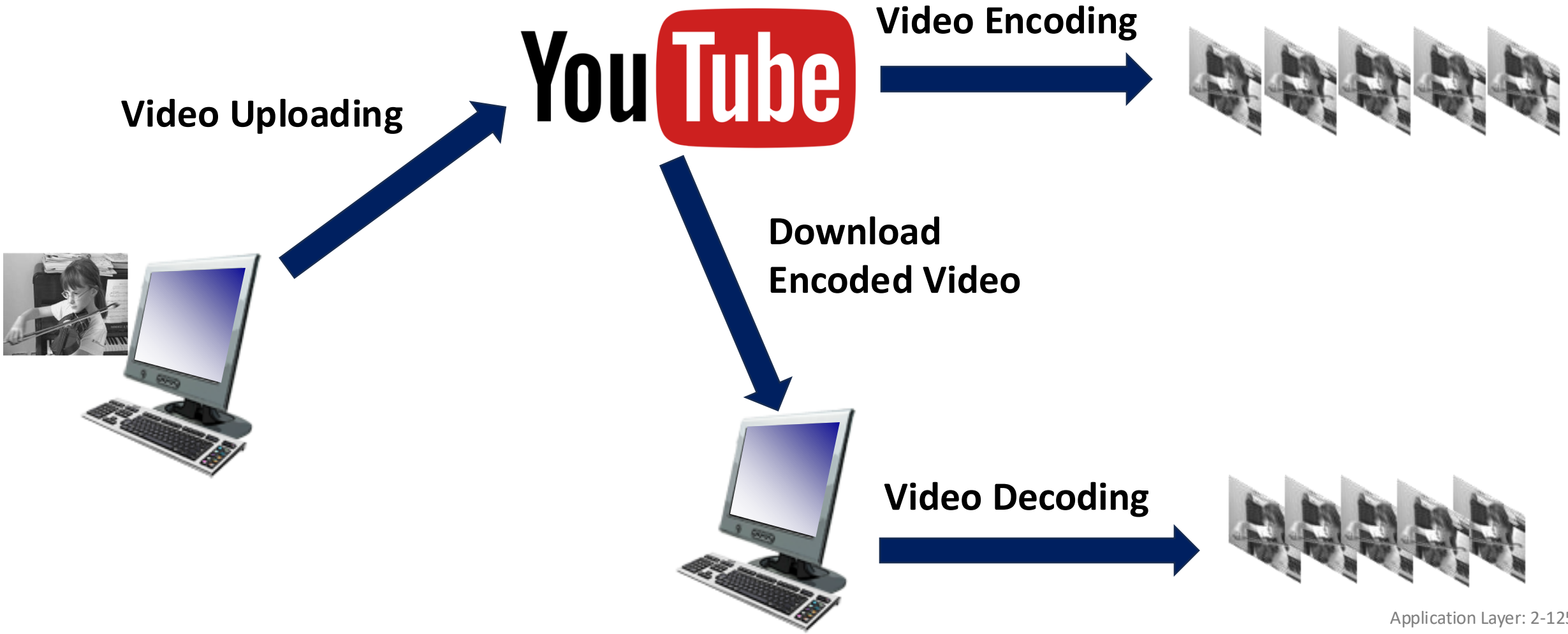
  - spatial (within image)
  - temporal (from one image to next)

I-frame or Key-frame P-frame P-frame P-frame P-frame

I-frame or Key-frame P-frame P-frame P-frame P-frame

Video Streaming Applications

Video Streaming Applications: Encoding



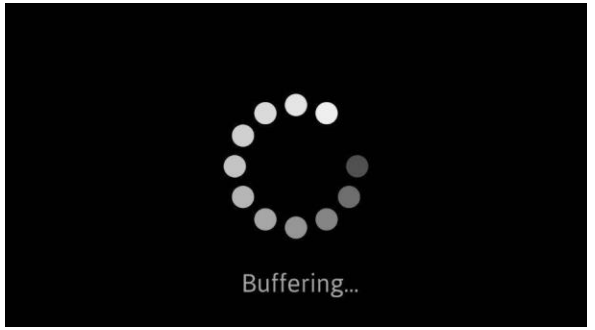
Video Streaming Applications: QoE (Quality of User Experience)

Video Start Time

The time between your click of the video and the playing of the video

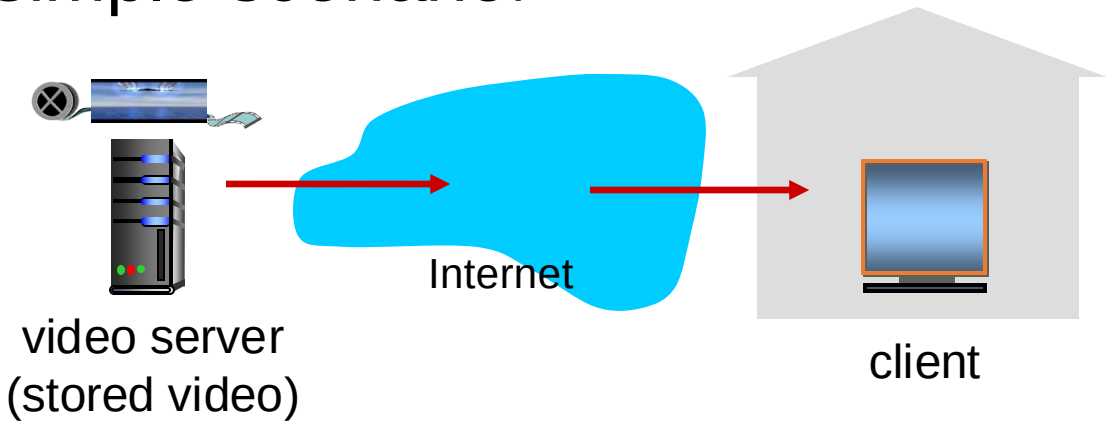
Video Quality

Rebuffering Event



Streaming stored video

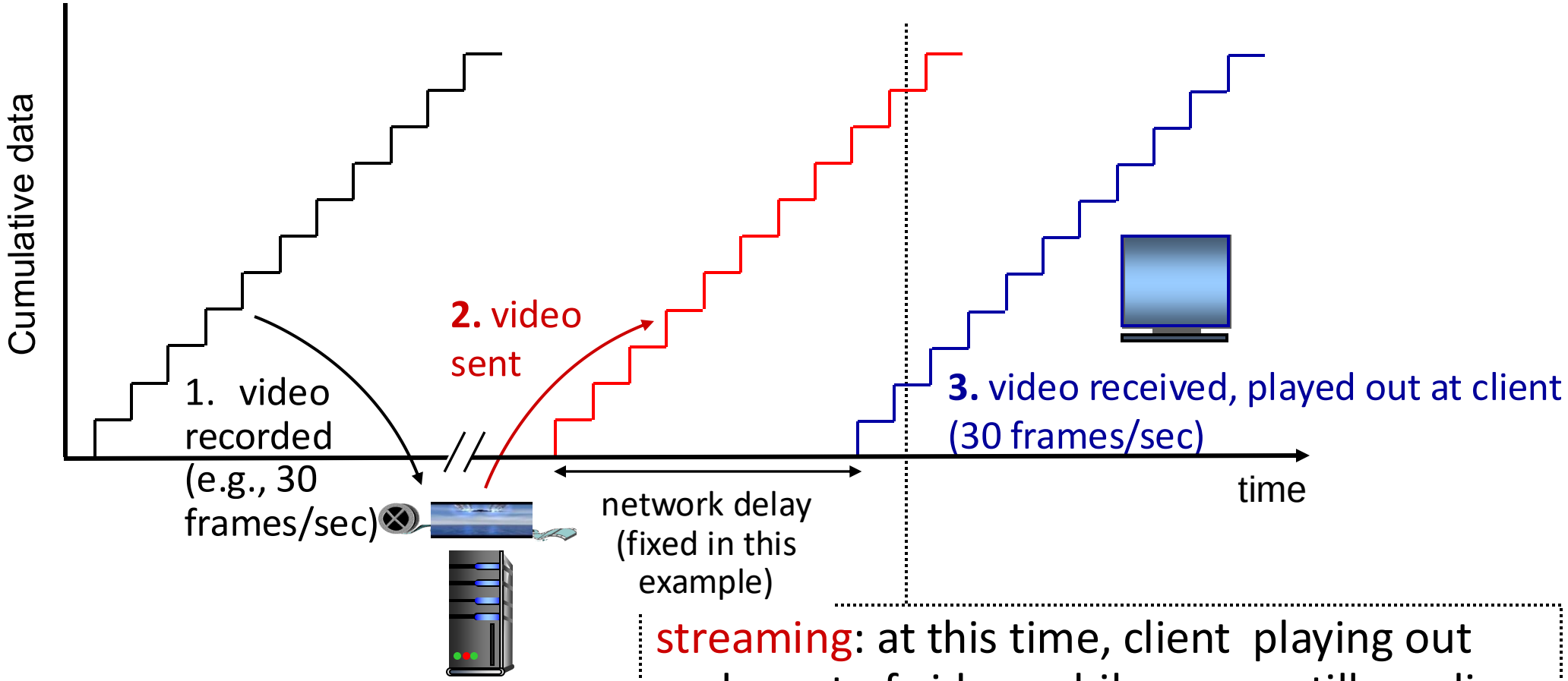
simple scenario:



Main challenges:

- server-to-client bandwidth will *vary* over time, with changing network congestion levels (in house, access network, network core, video server)
- packet loss, delay due to congestion will delay playout, or result in poor video quality

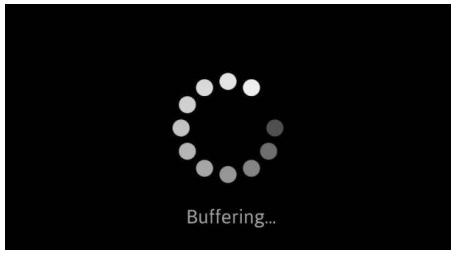
Streaming stored video



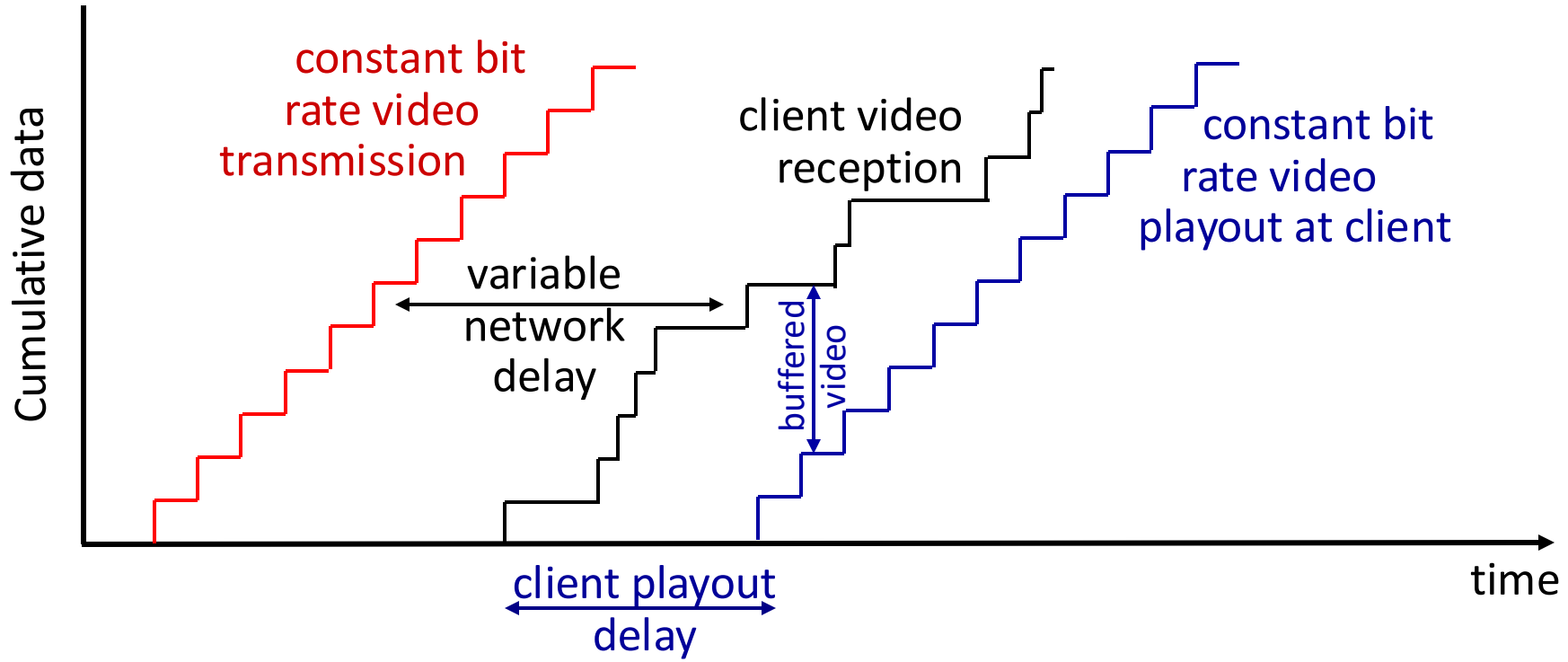
streaming: at this time, client playing out early part of video, while server still sending later part of video

Streaming stored video: challenges

- continuous playout constraint: during client video playout, playout timing must match original timing
  - … but network delays are variable (jitter), so will need client-side buffer to match continuous playout constraint
- other challenges:
  - client interactivity: pause, fast-forward, rewind, jump through video
  - video packets may be lost, retransmitted



Streaming stored video: playout buffering



- *client-side buffering and playout delay:* compensate for network-added delay, delay jitter

Streaming multimedia: DASH

*D*ynamic, *A*daptive
*S*treaming over *H*TTP

Streaming multimedia: DASH

*D*ynamic, *A*daptive
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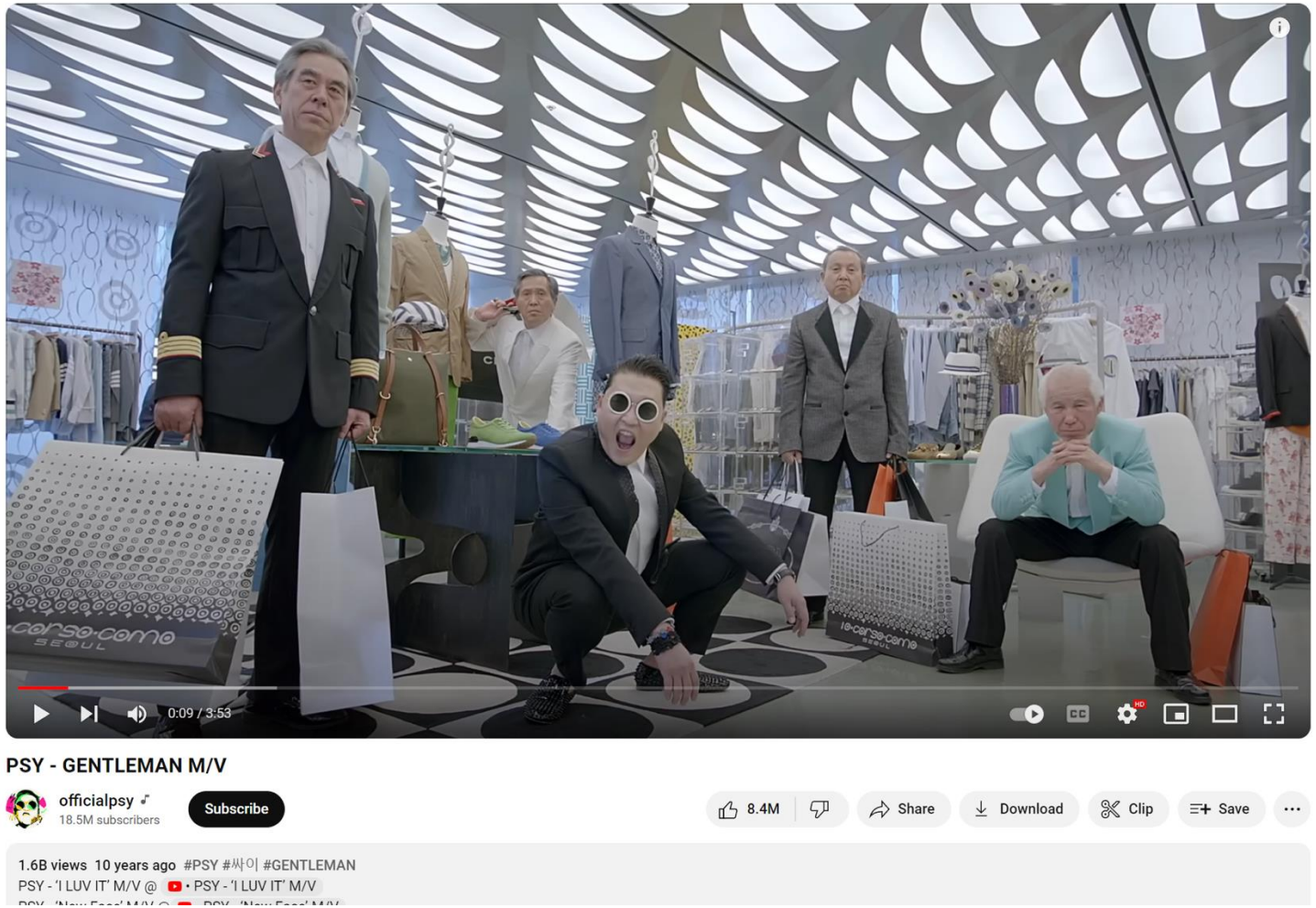


Streaming multimedia: DASH

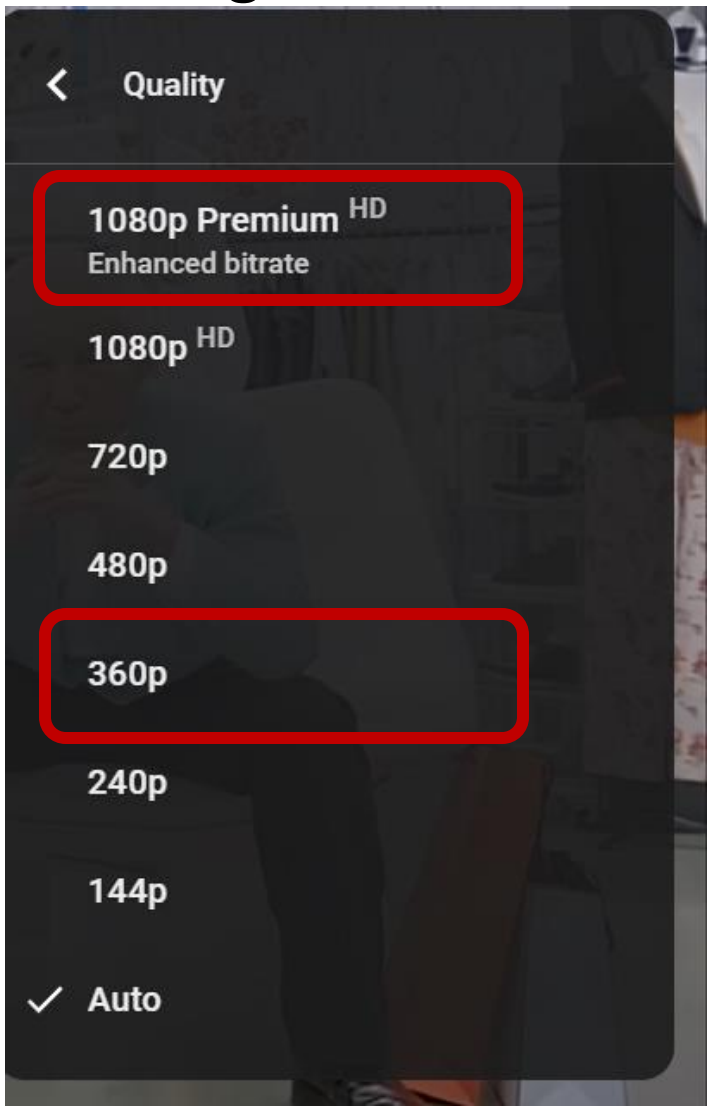
*D*ynamic, *A*daptive
*S*treaming over *H*TTP

PSY - GENTLEMAN M/V

officialpsy
18.5M subscribers
Subscribe
8.4M
Share
Download
Clip
Save

Streaming multimedia: DASH

*D*ynamic, *A*daptive
*S*treaming over *H*TTP



Streaming multimedia: DASH

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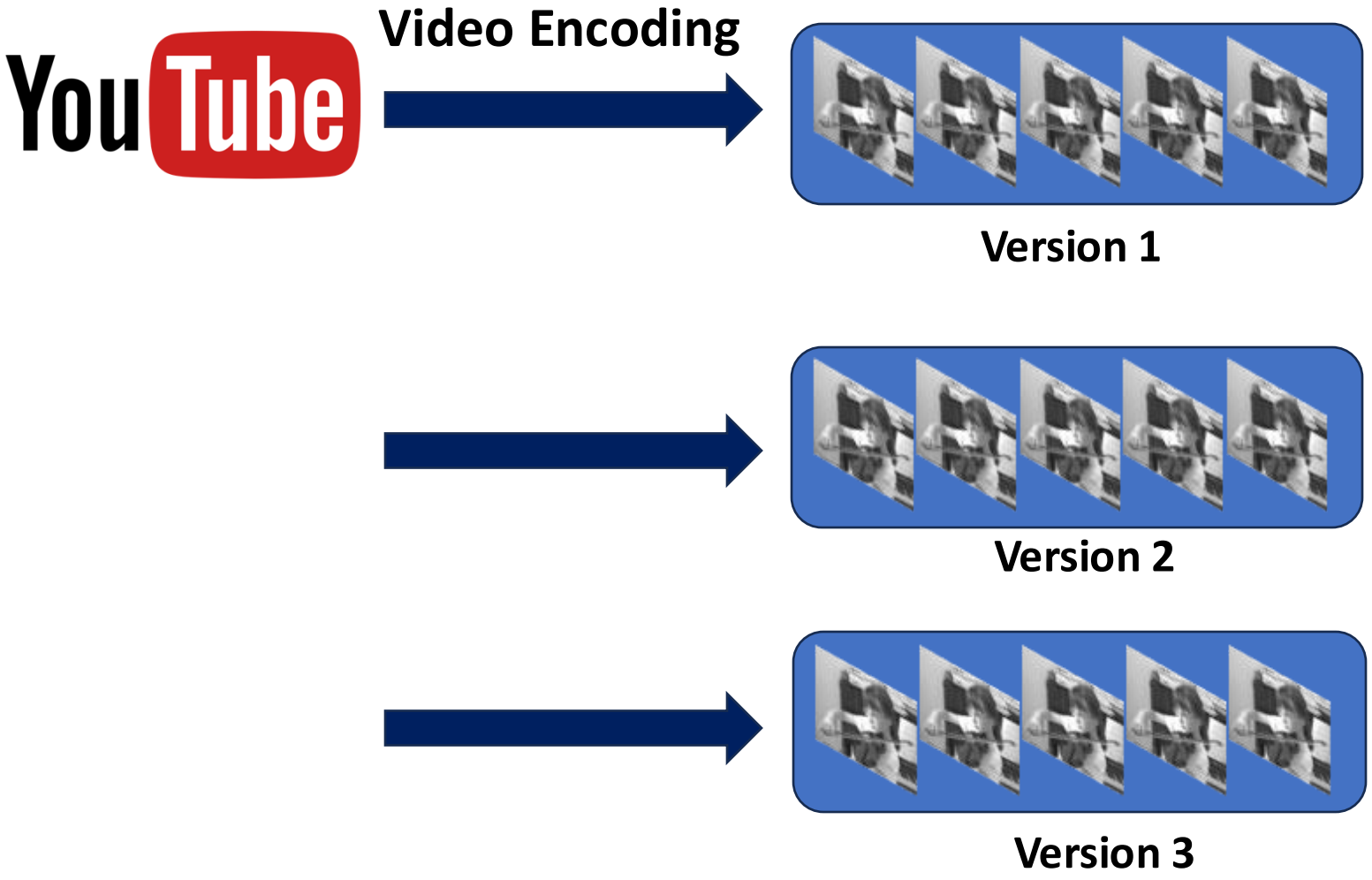
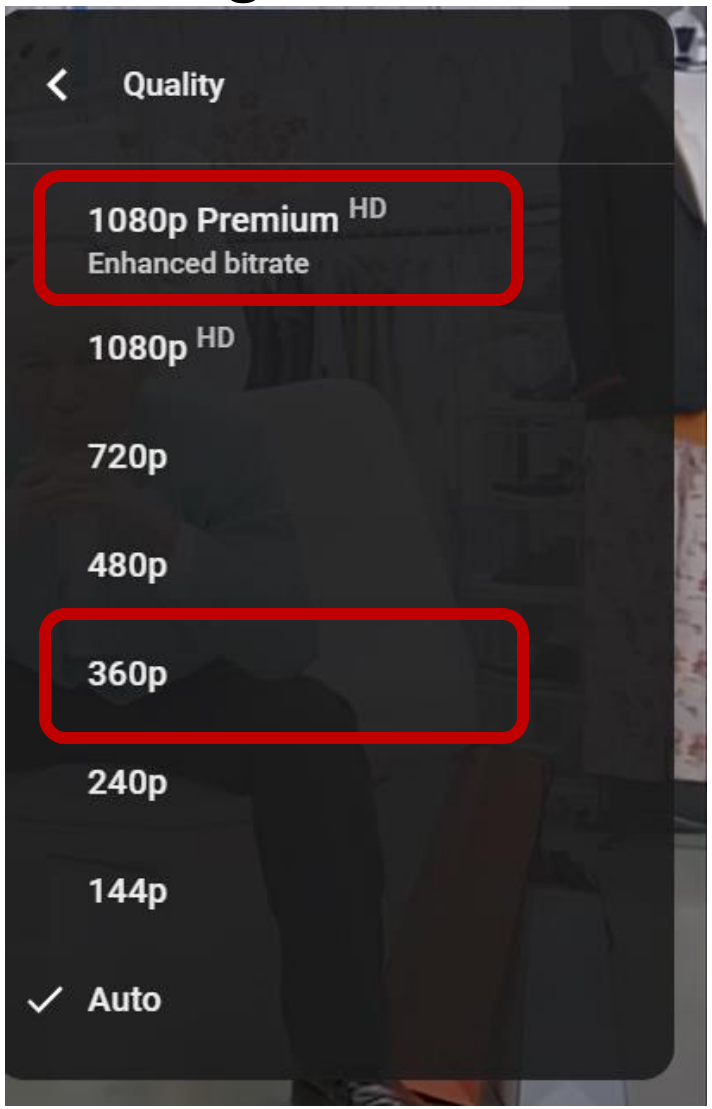
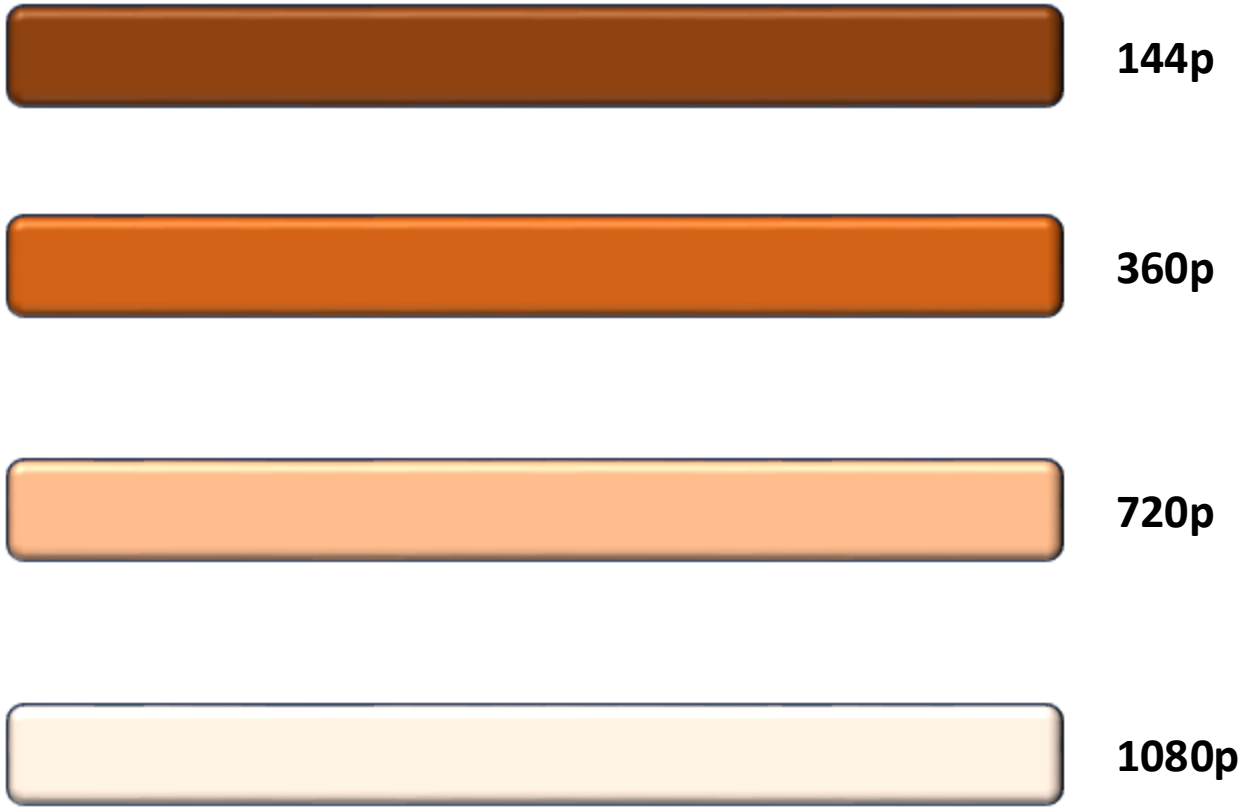




Streaming multimedia: DASH



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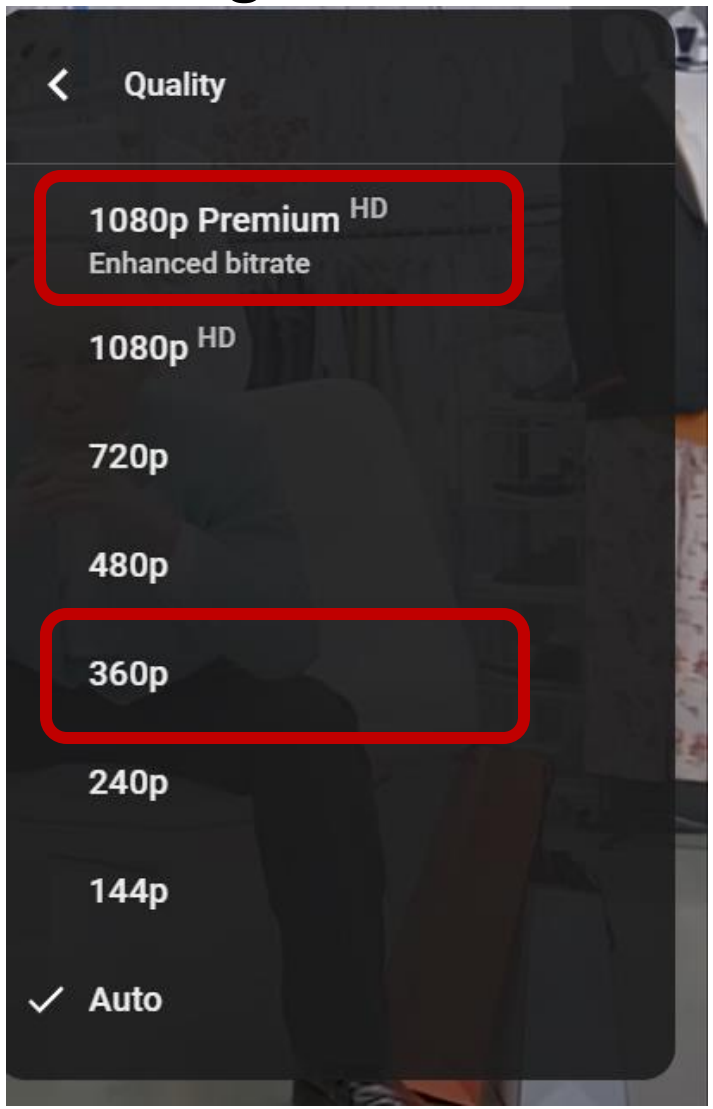

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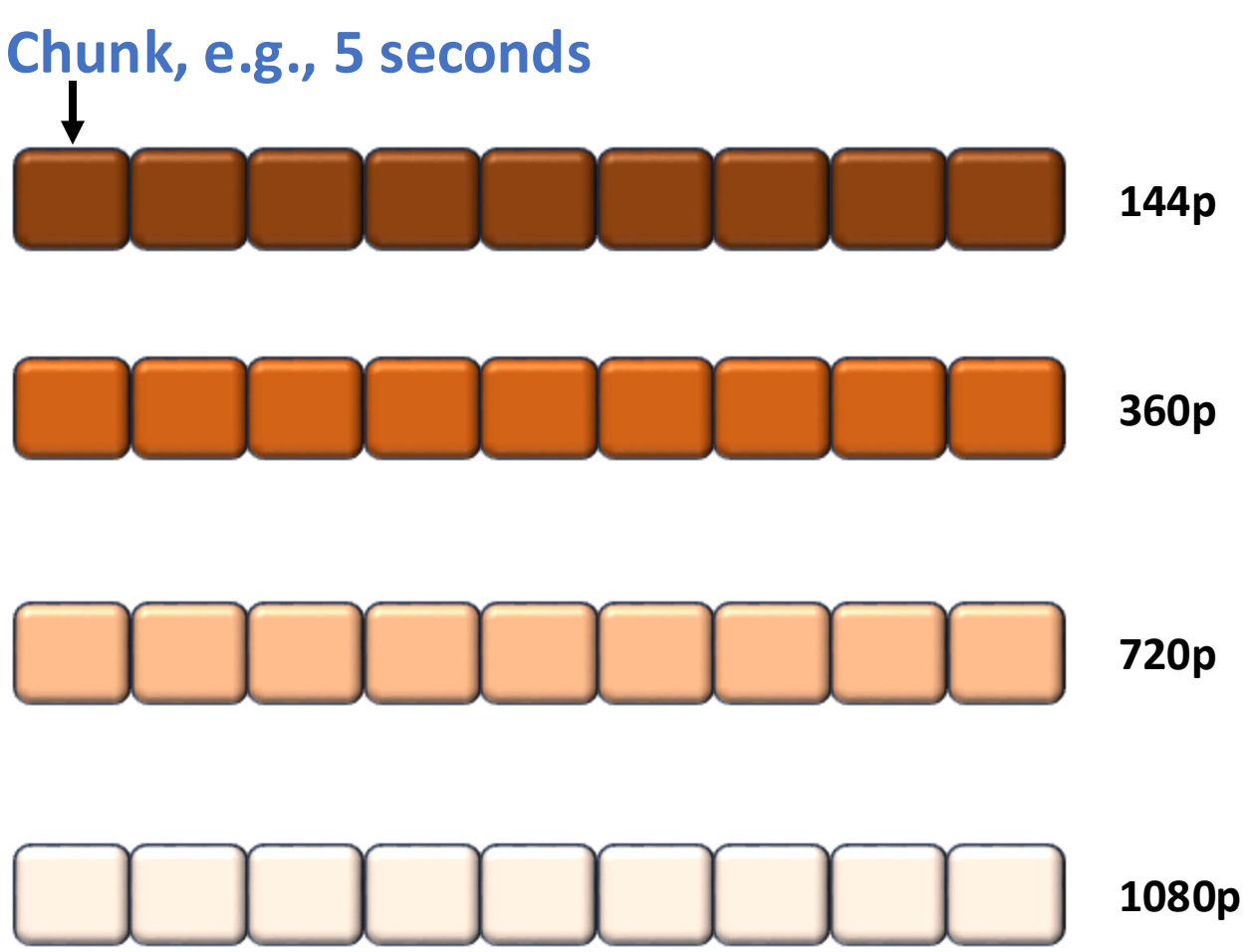

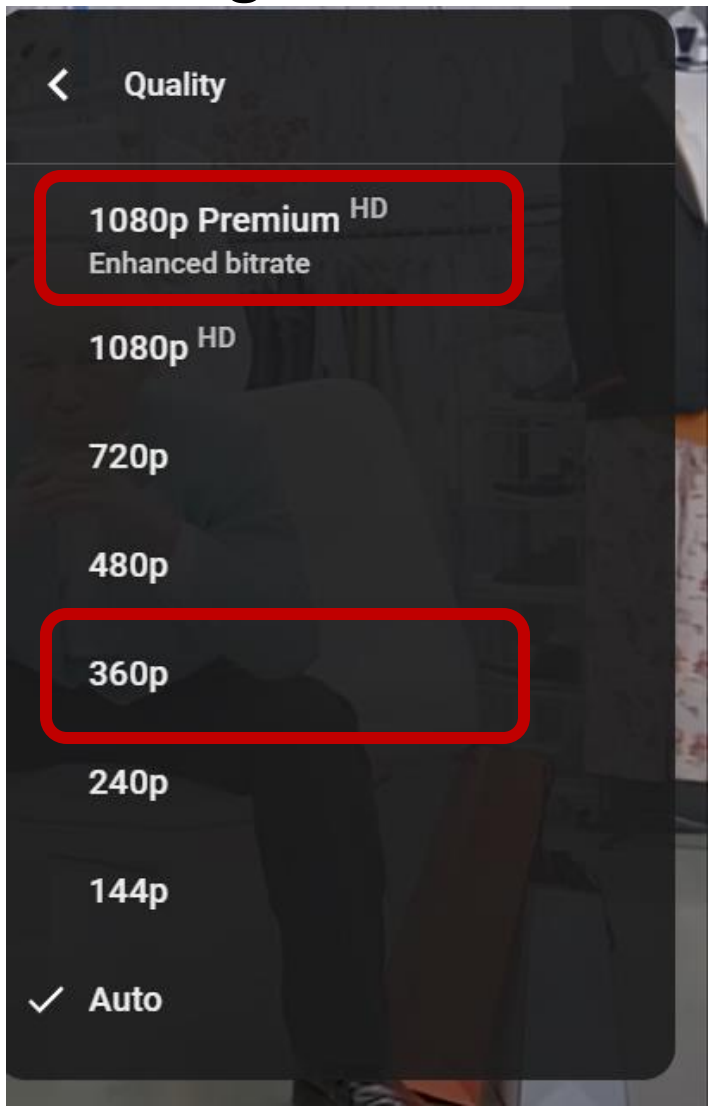

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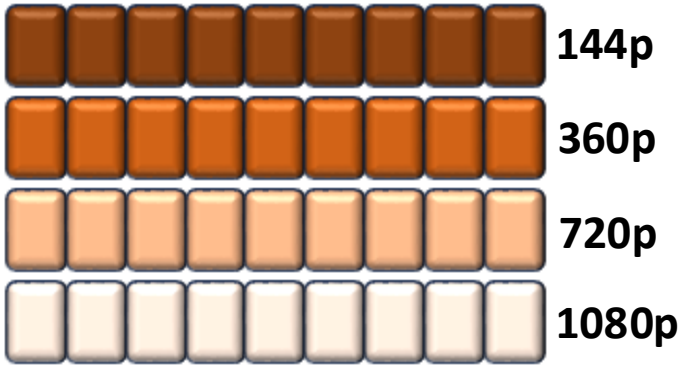

Streaming multimedia: DASH

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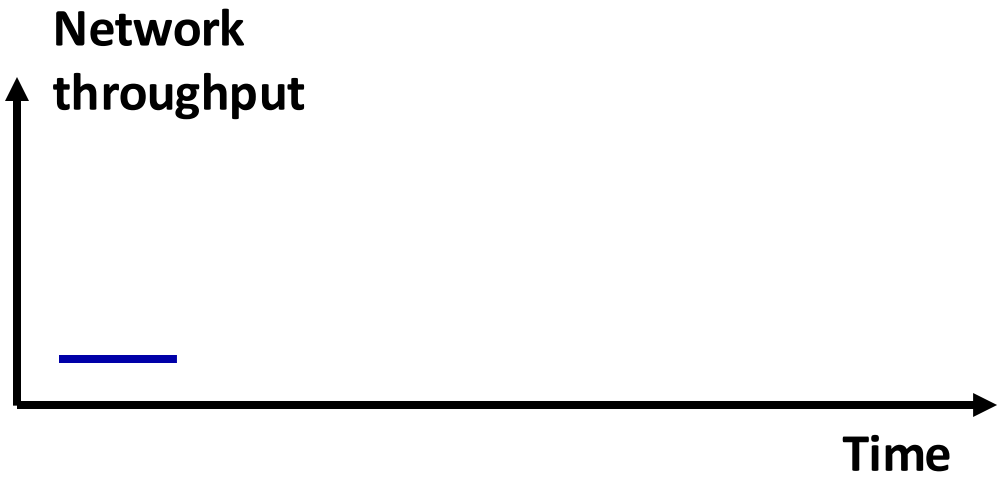

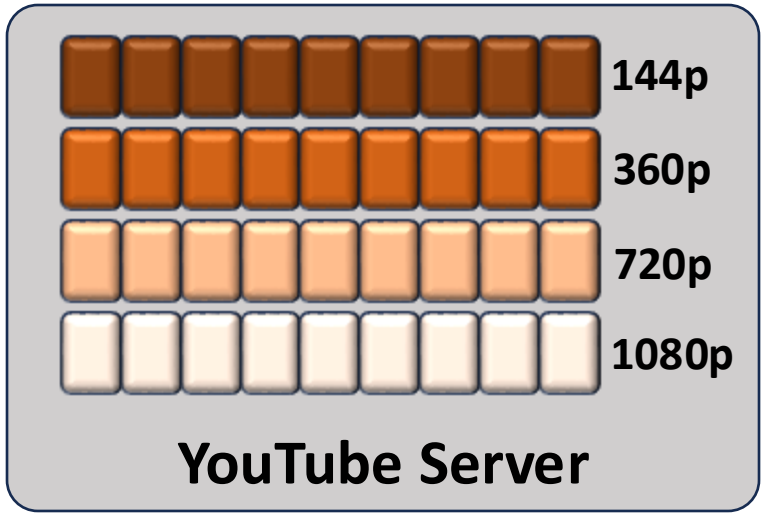

Streaming multimedia: DASH

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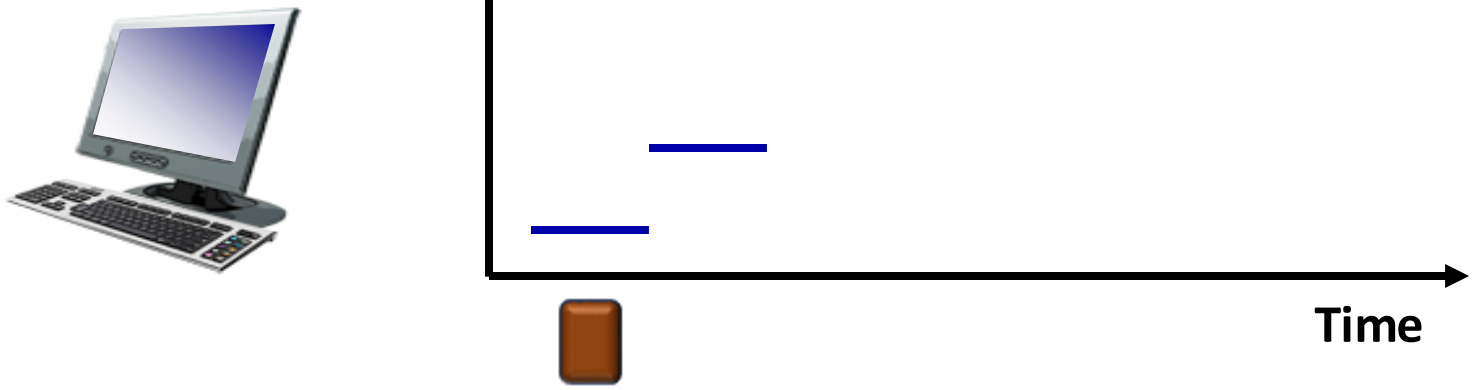

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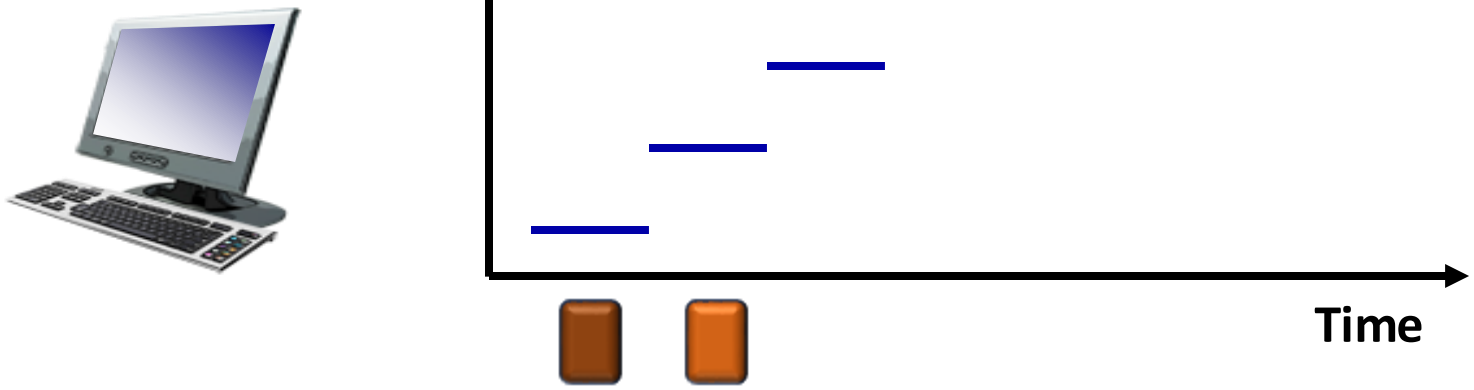

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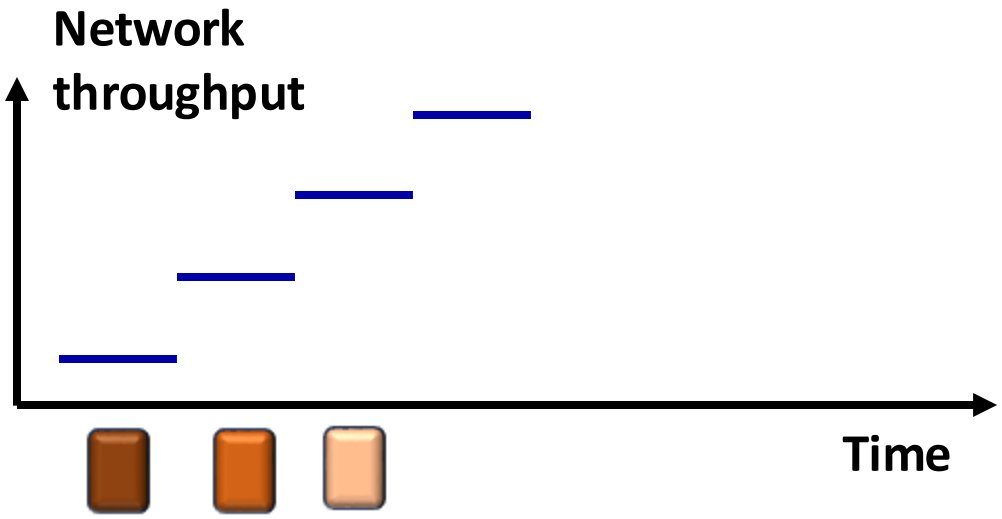

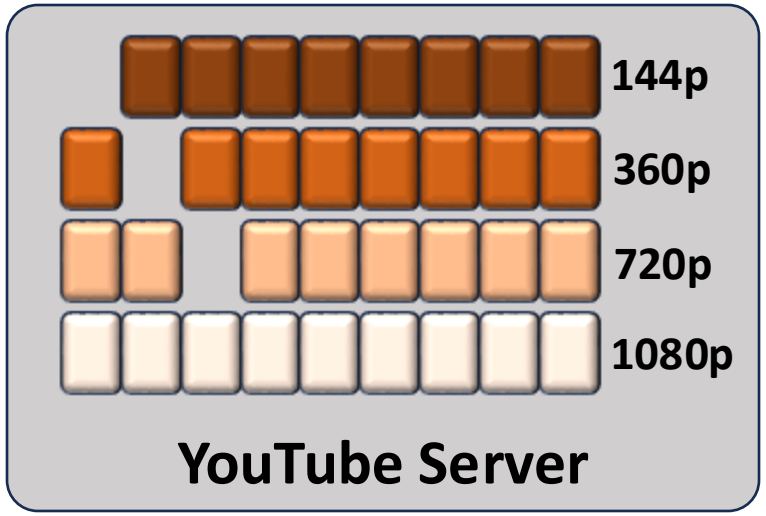

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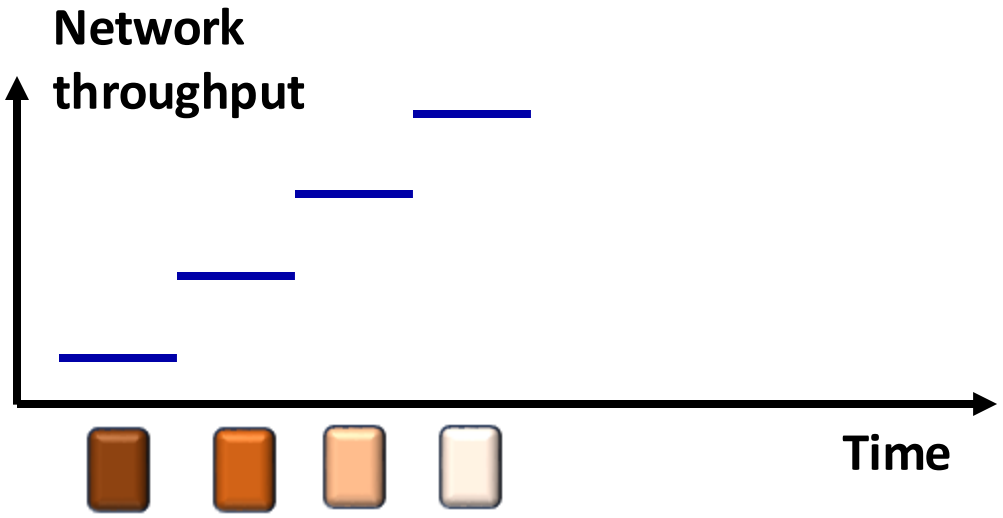

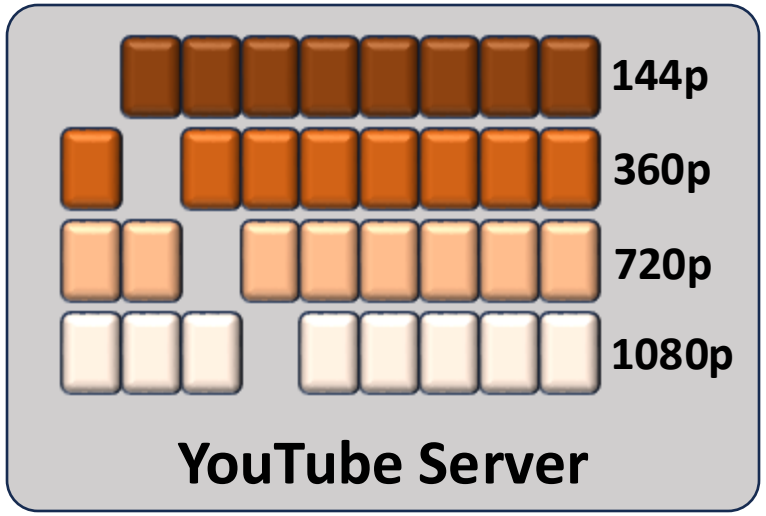

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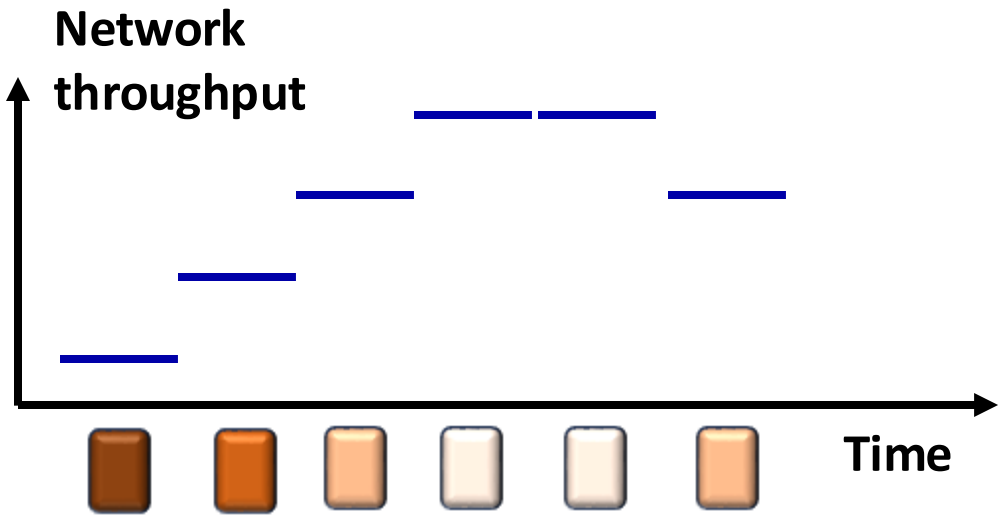

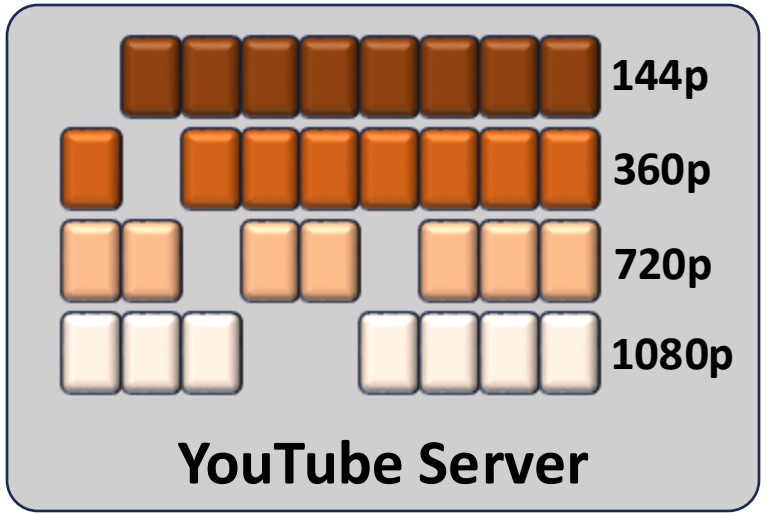

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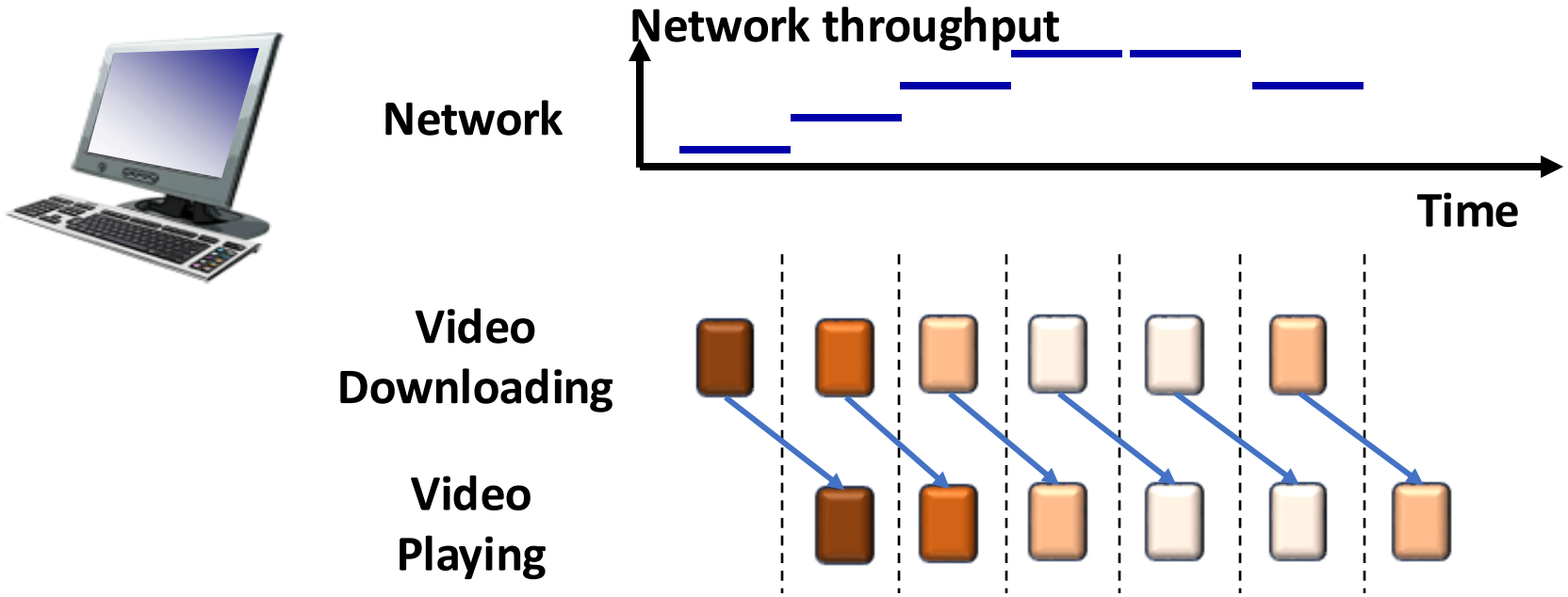

Minimize Video Start Time

Streaming multimedia: DASH

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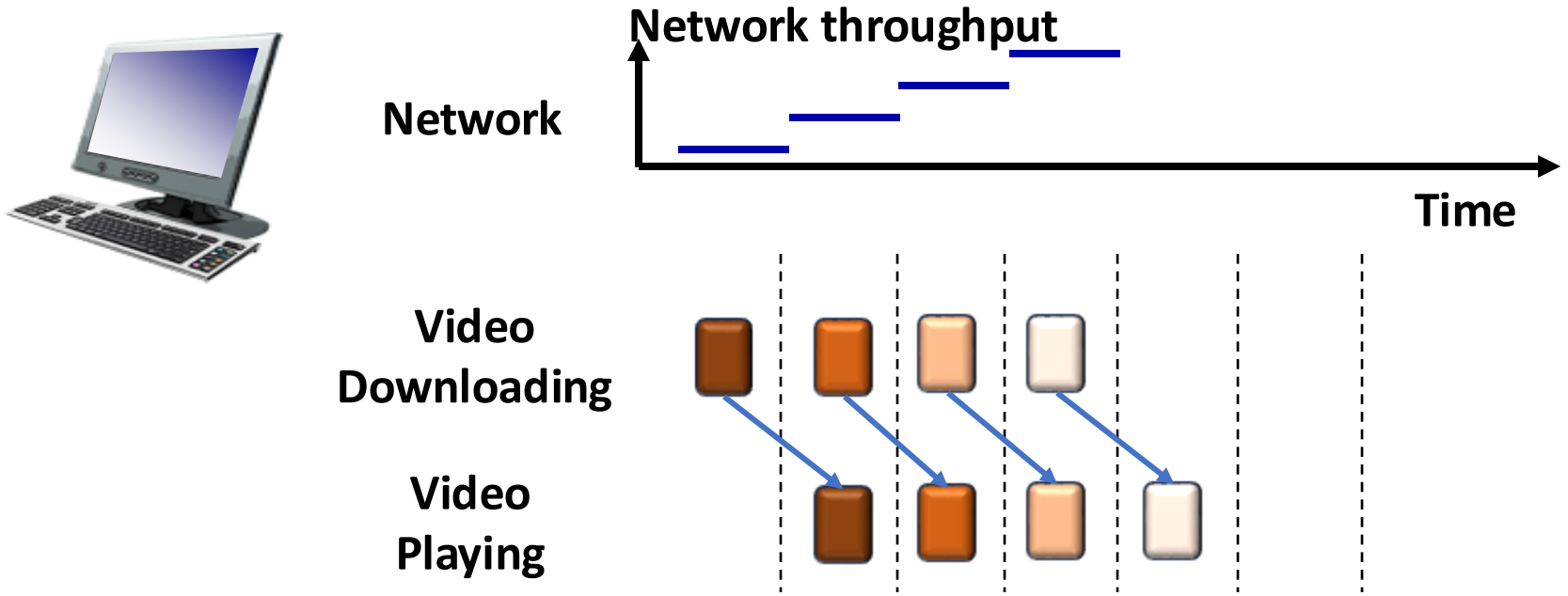

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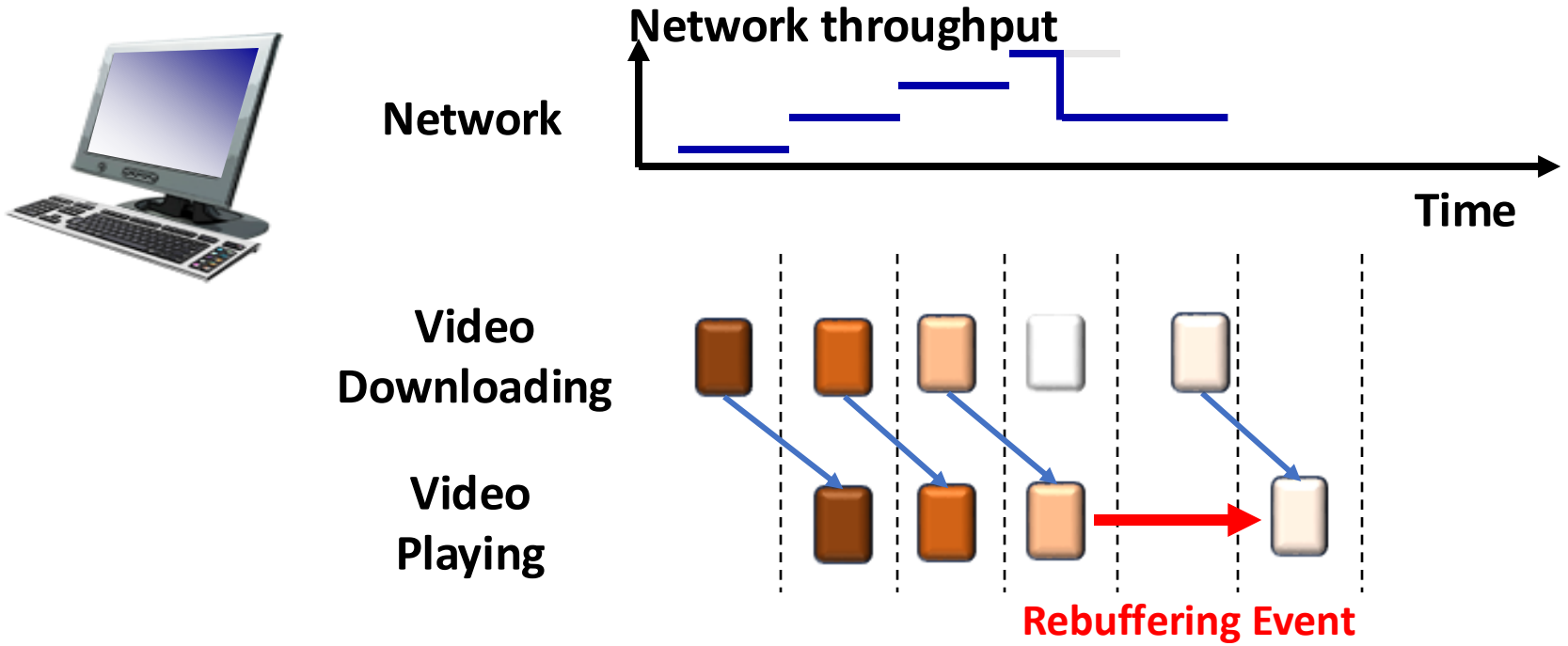

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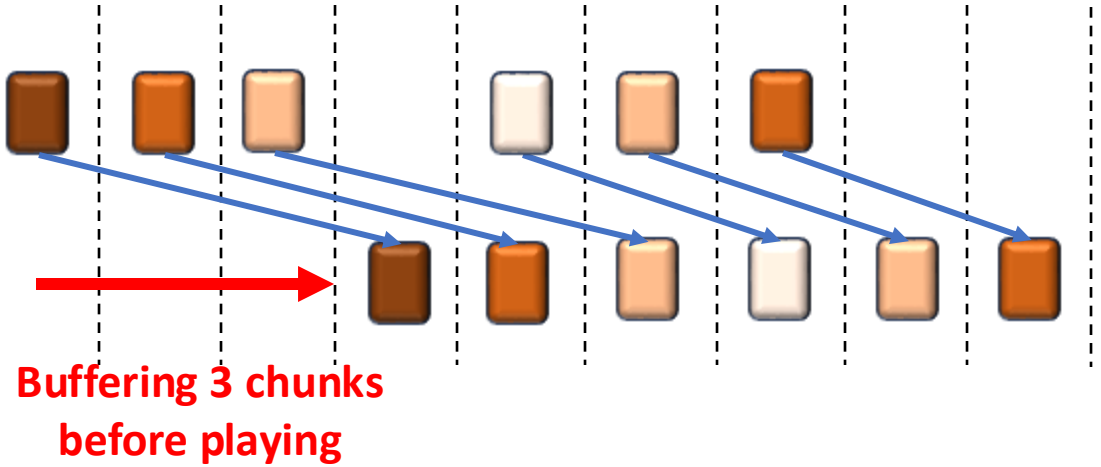

Streaming multimedia: DASH

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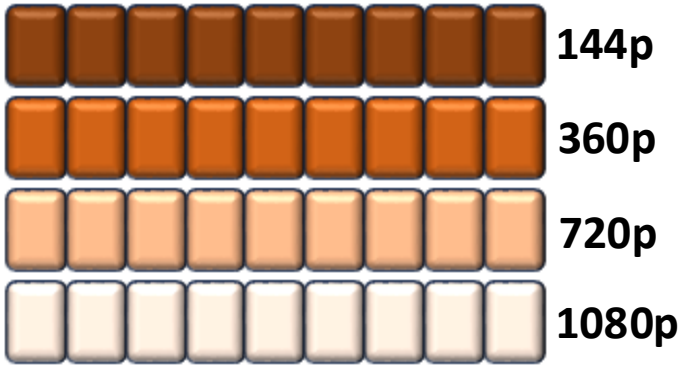

client:

- periodically estimates server-to-client bandwidth
- consulting manifest, requests one chunk at a time
  - chooses maximum coding rate sustainable given current bandwidth
  - can choose different coding rates at different points in time (depending on available bandwidth at time), and from different servers

Streaming multimedia: DASH

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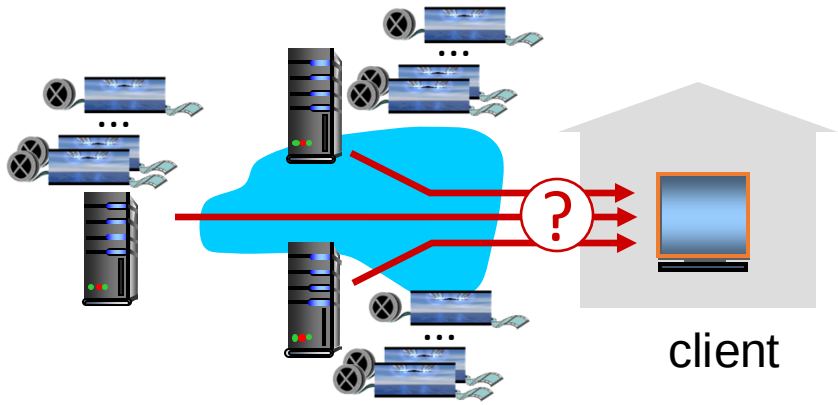

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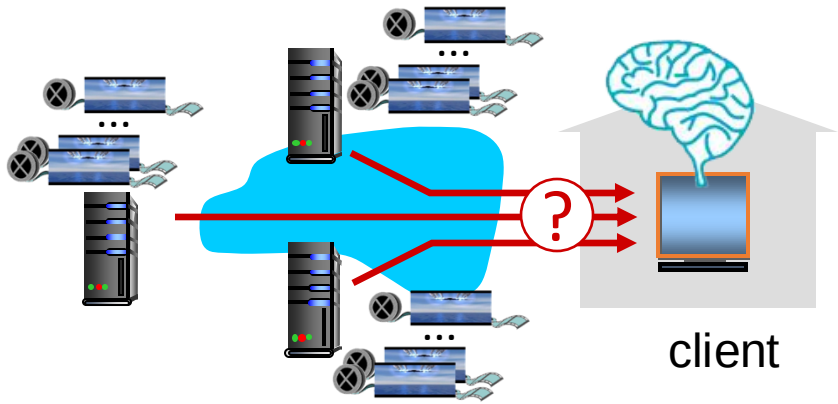
Streaming multimedia: DASH

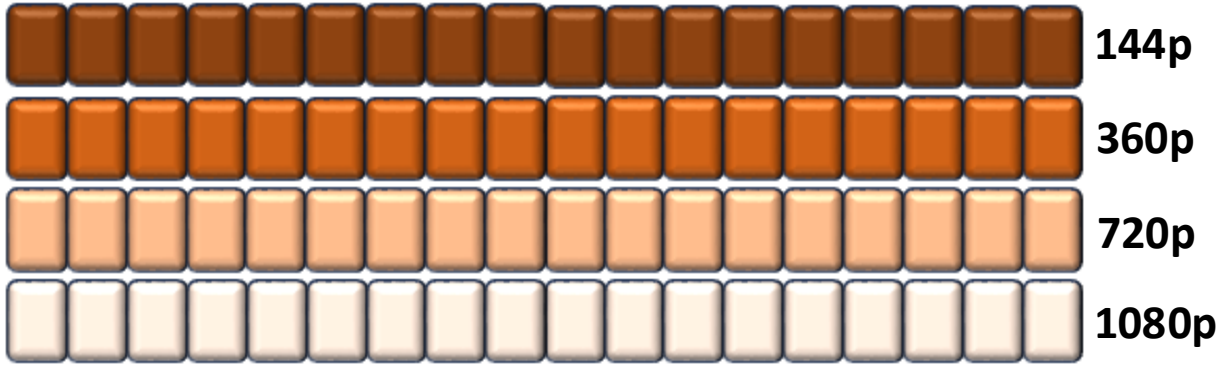
- *"intelligence"* at client: client determines
  - *when* to request chunk (so that buffer starvation, or overflow does not occur)
  - *what encoding rate* to request (higher quality when more bandwidth available)
  - *where* to request chunk (can request from URL server that is "close" to client or has high available bandwidth)



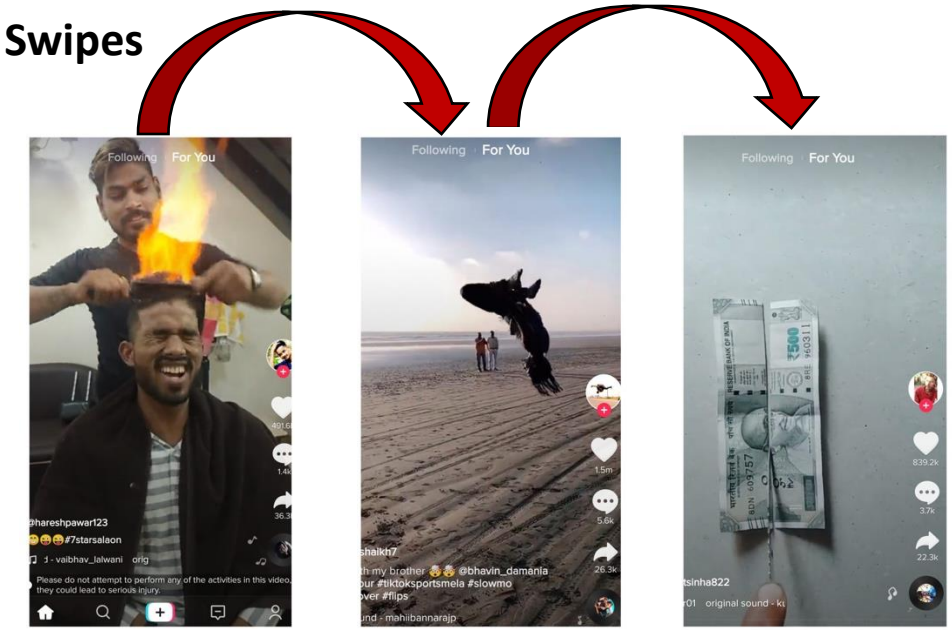
Streaming video = encoding + DASH + playout buffering

Video Streaming Applications

Video Streaming Applications

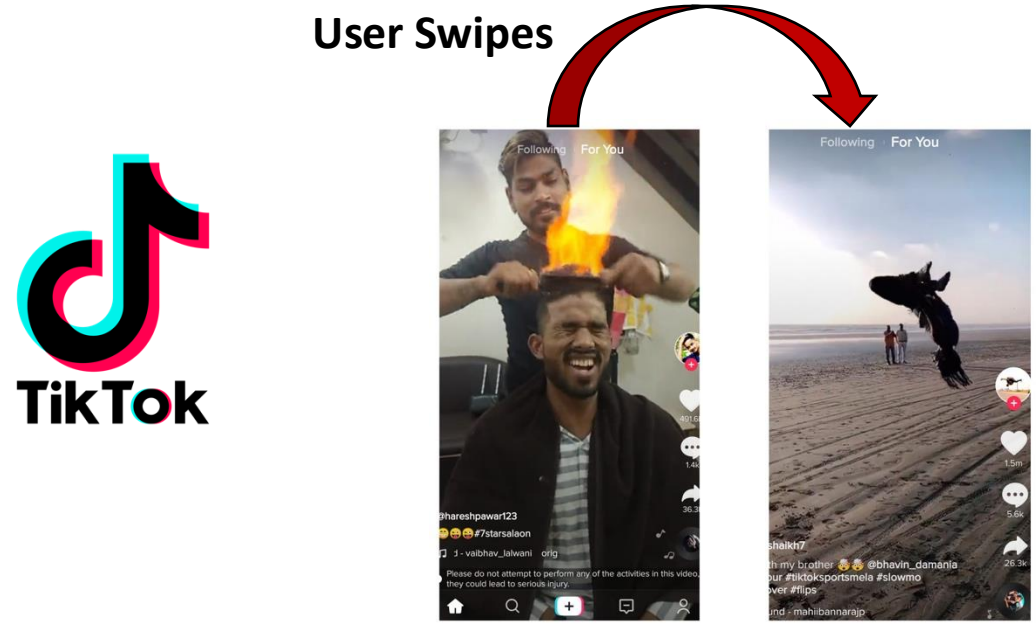


User Swipes



Is the next video ready for playing when the user swipes?

Video Streaming Applications



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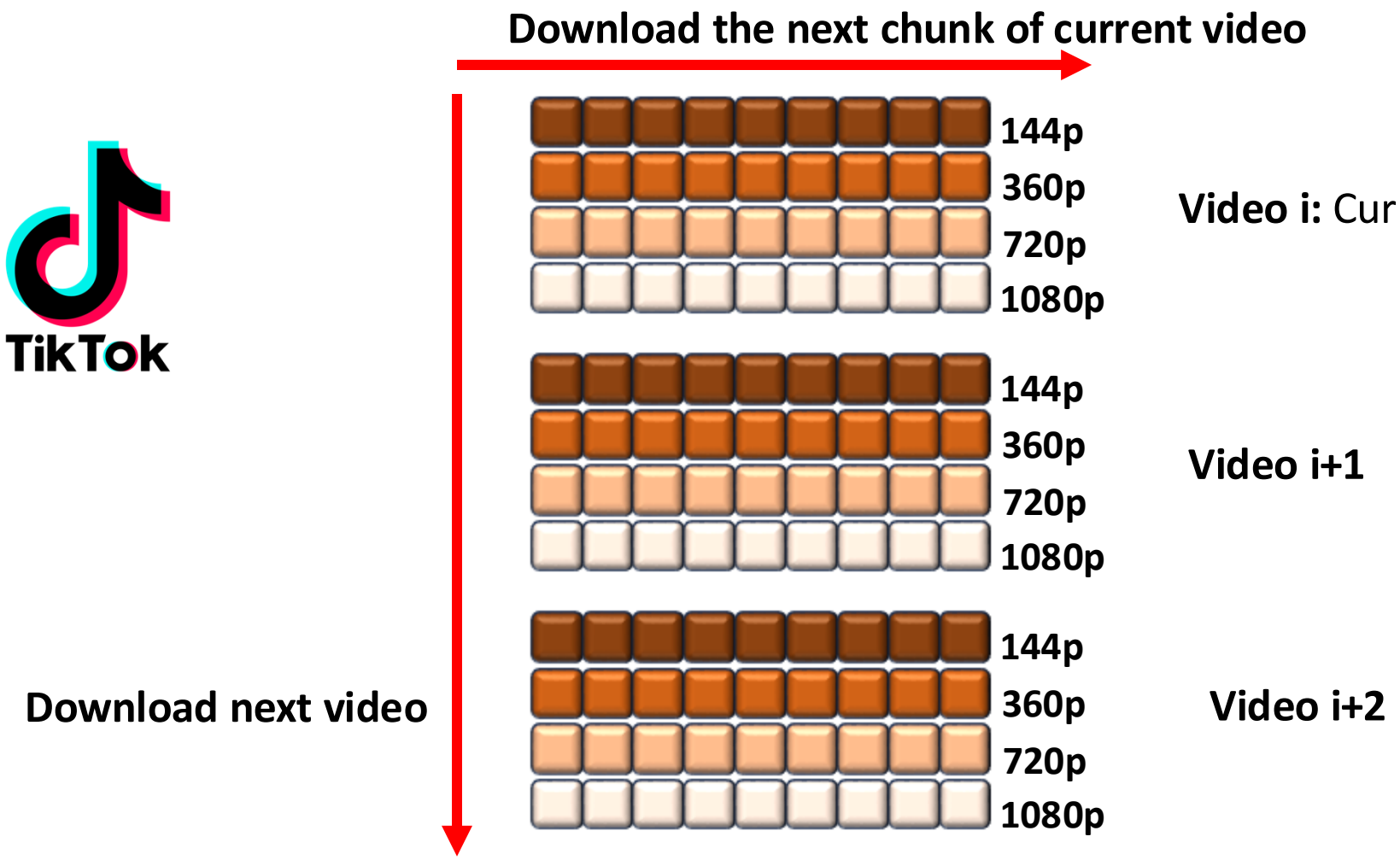
Video Streaming Applications



Content distribution networks (CDNs)

challenge: how to stream content (selected from millions of videos) to hundreds of thousands of *simultaneous* users?

- *option 1:* single, large "mega-server"
  - single point of failure
  - point of network congestion
  - long (and possibly congested) path to distant clients

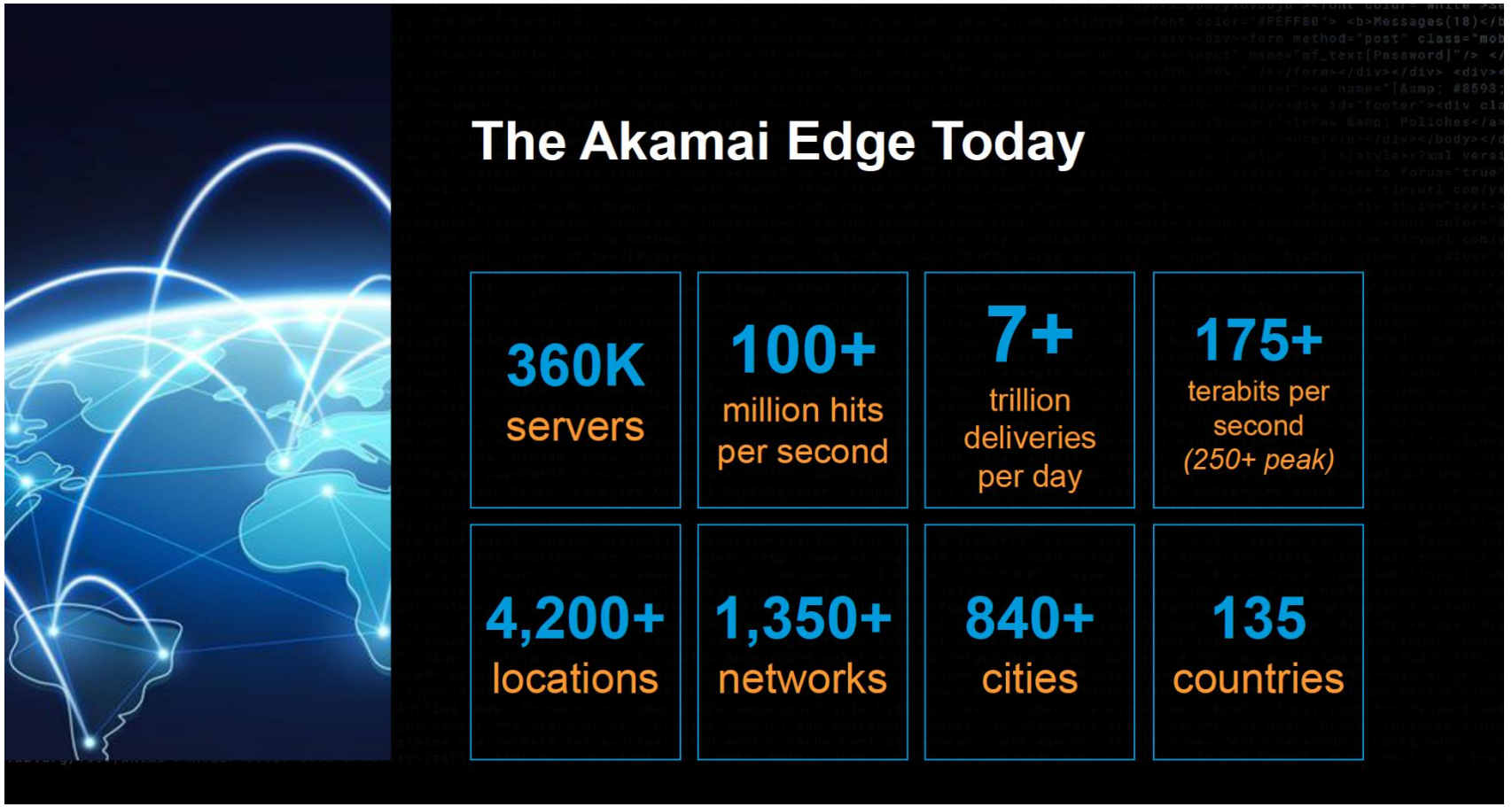
….quite simply: this solution *doesn't scale*

Content distribution networks (CDNs)

challenge: how to stream content (selected from millions of videos) to hundreds of thousands of *simultaneous* users?

- *option 2:* store/serve multiple copies of videos at multiple geographically distributed sites *(CDN)*
  - *enter deep:* push CDN servers deep into many access networks
    - close to users
    - Akamai: 240,000 servers deployed in > 120 countries (2015)
  - *bring home:* smaller number (10's) of larger clusters in POPs near access nets
    - used by Limelight

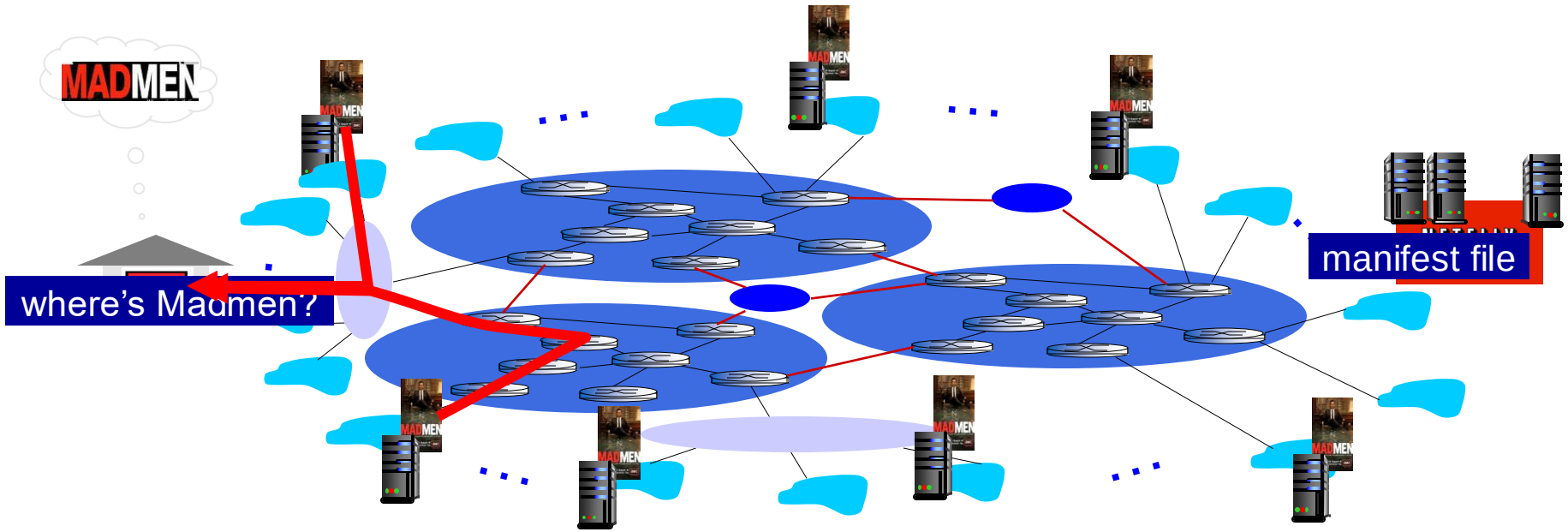
Akamai today:



Source: https://networkingchannel.eu/living-on-the-edge-for-a-quarter-century-an-akamai-retrospective-downloads/

How does Netflix work?

- Netflix: stores copies of content (e.g., MADMEN) at its (worldwide) OpenConnect CDN nodes
- subscriber requests content, service provider returns manifest
  - using manifest, client retrieves content at highest supportable rate
  - may choose different rate or copy if network path congested



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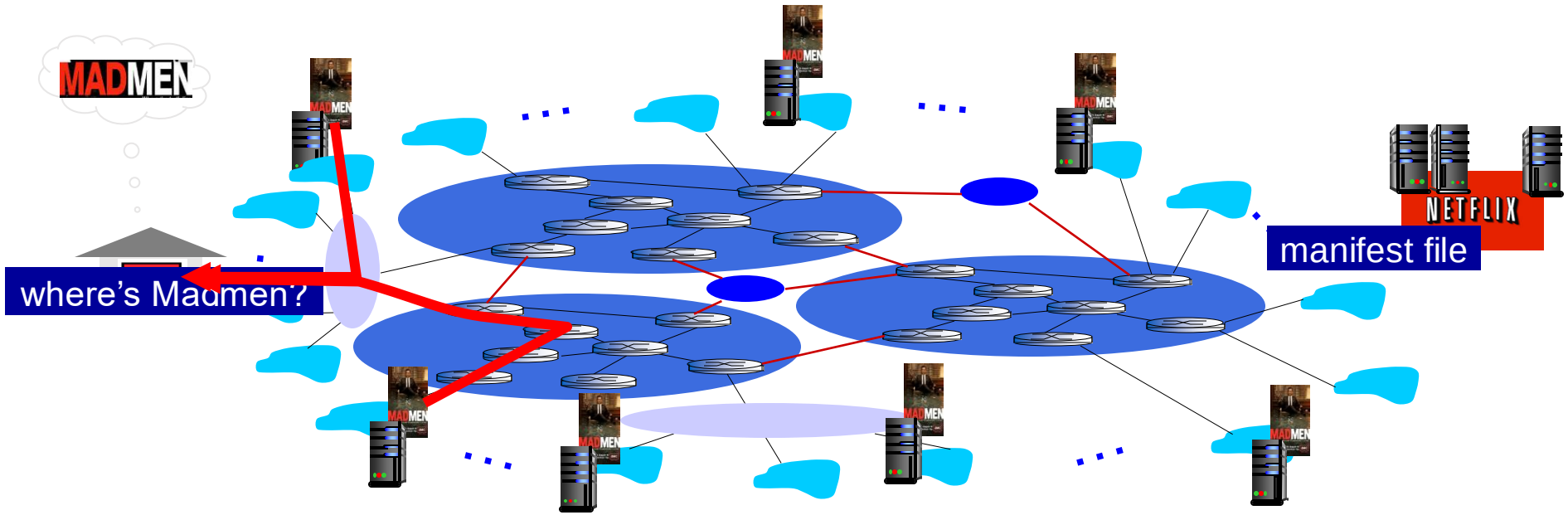

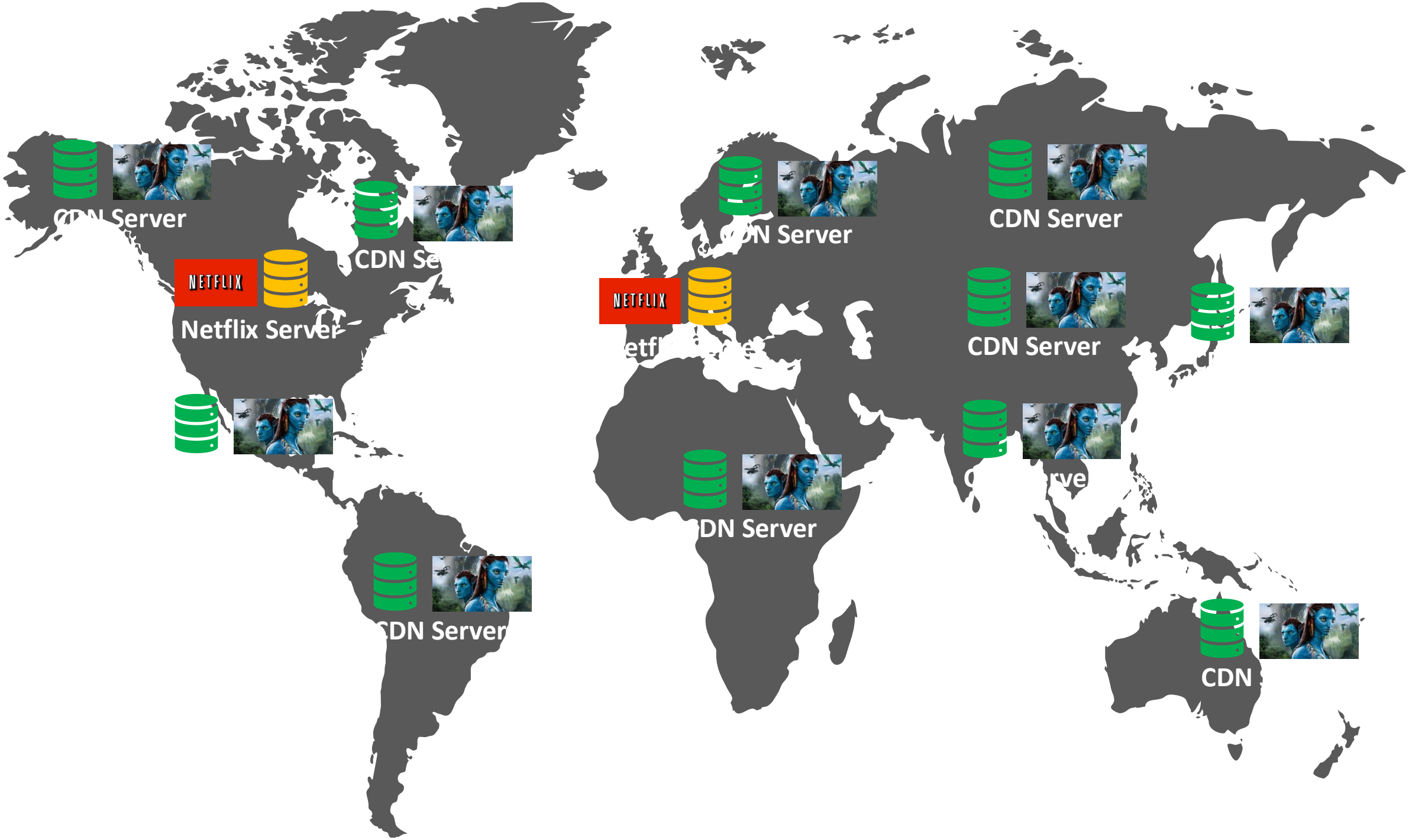
CDN Server
CDN Server
NETFLIX
Netflix Server
CDN Server
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NETFLIX
CDN Server
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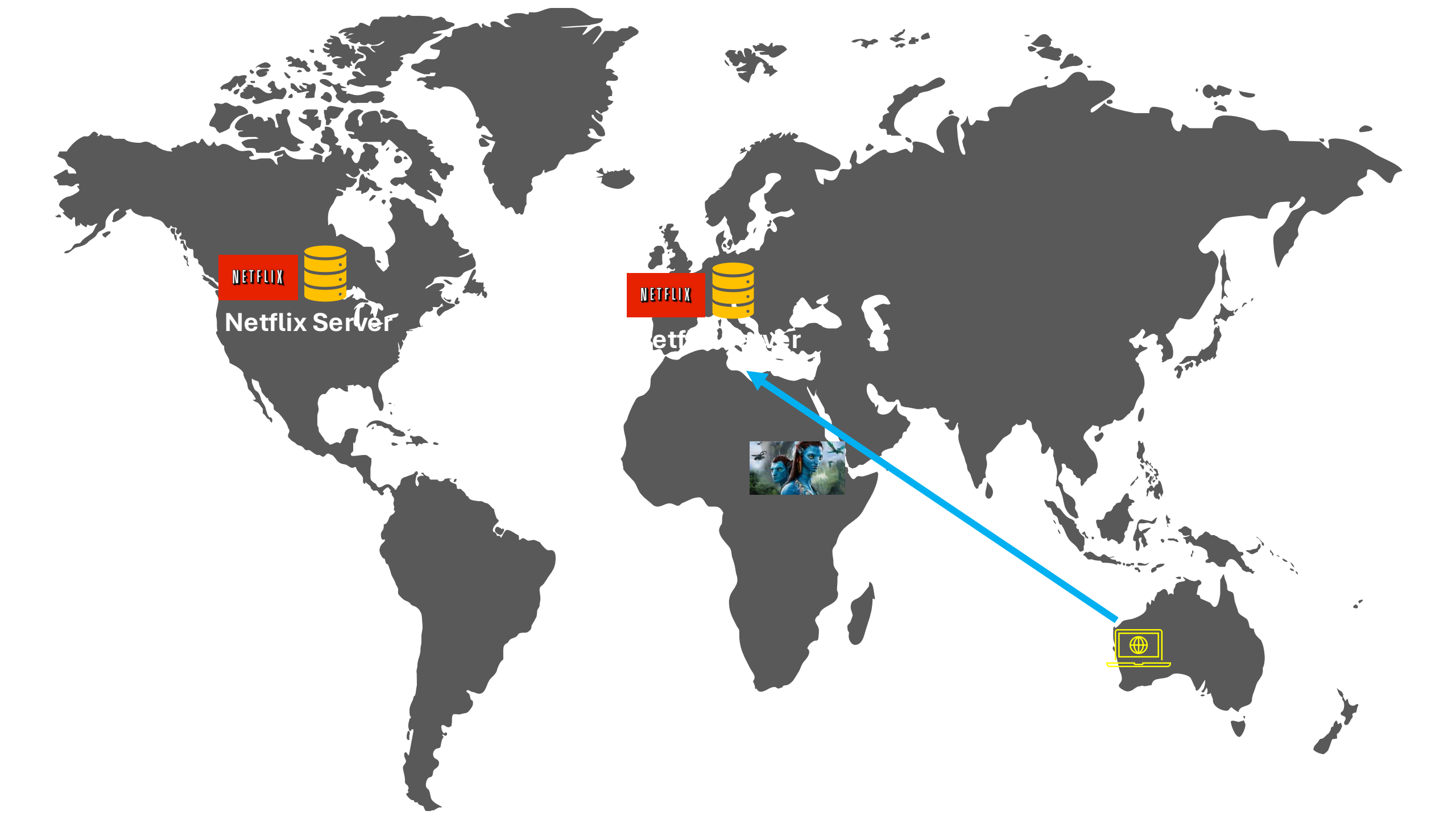
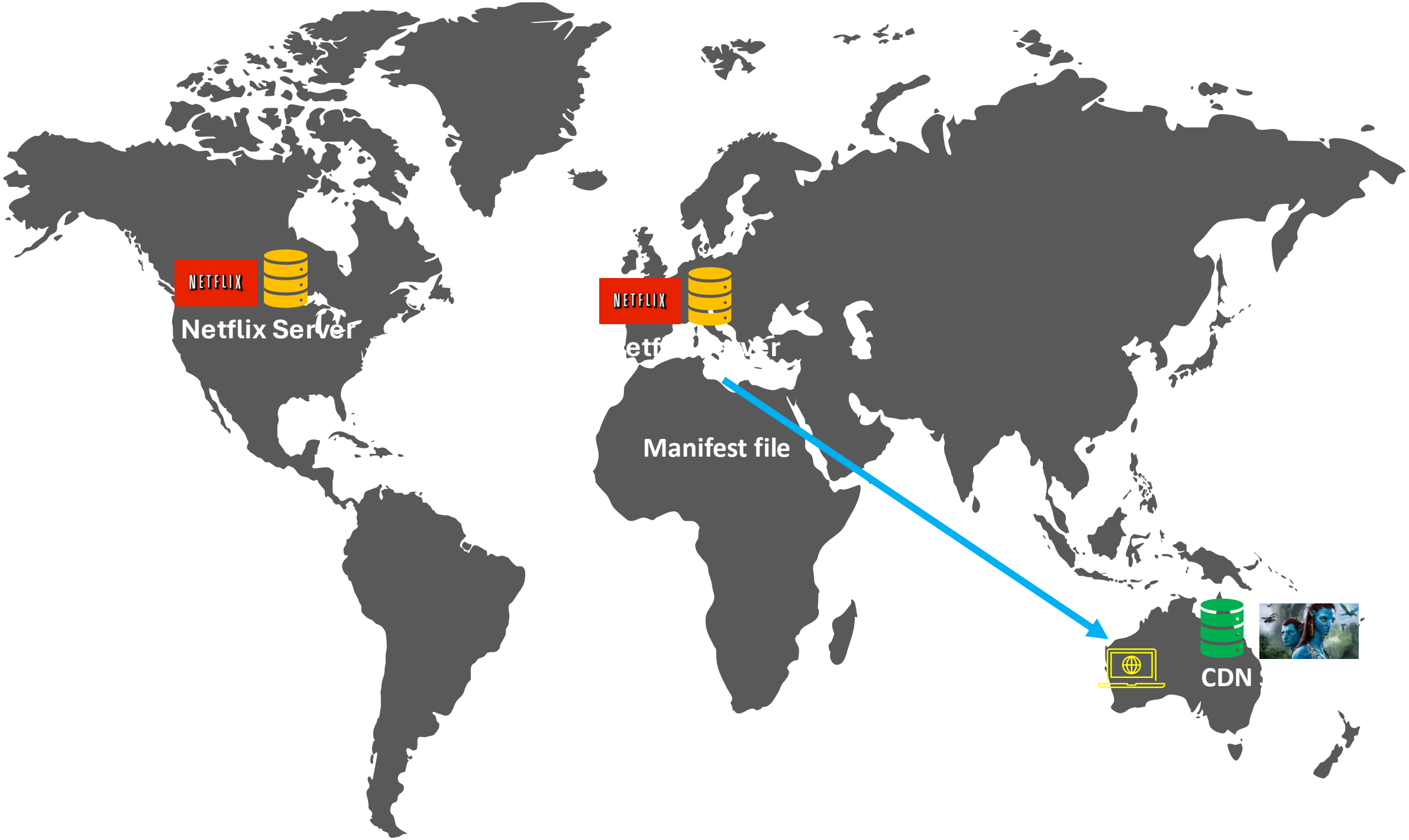
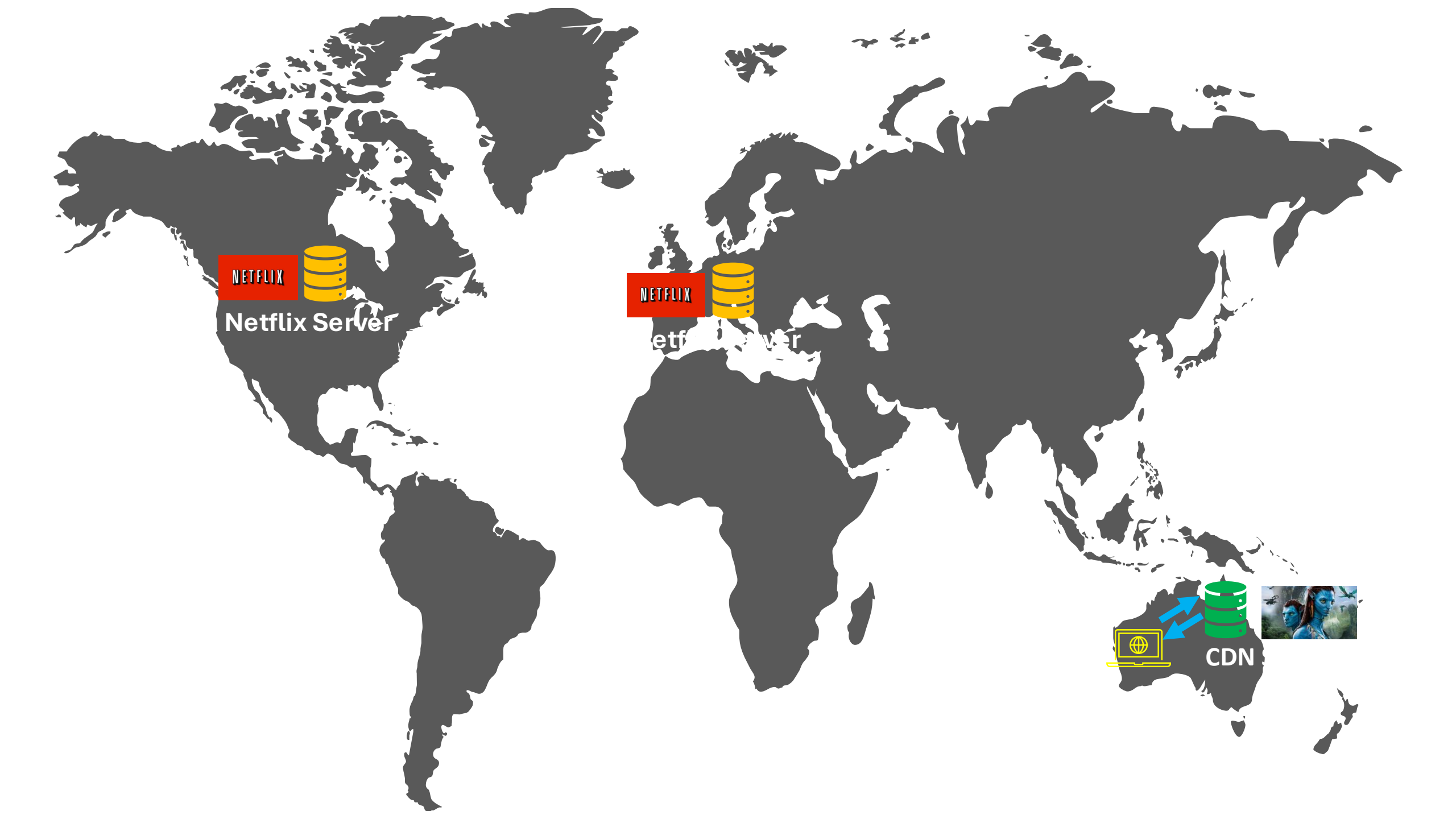
CDN Server
CDN


NETFLIX
Netflix Server
NETFLIX


NETFLIX
Netflix Server
NETFLIX
Manifest file
CDN


NETFLIX
Netflix Server
NETFLIX
CDN

Chapter 2: Summary

our study of network application layer is now complete!

- application architectures
  - client-server
  - P2P
- application service requirements:
  - reliability, bandwidth, delay
- Internet transport service model
  - connection-oriented, reliable: TCP
  - unreliable, datagrams: UDP
- socket programming:
  TCP, UDP sockets
- specific protocols:
  - HTTP
  - SMTP, IMAP
  - DNS
  - P2P: BitTorrent
- video streaming, CDNs

Chapter 2: Summary

Most importantly: learned about App-layer *protocols*!

- typical request/reply message exchange:
  - client requests info or service
  - server responds with data, status code
- message formats:
  - *headers*: fields giving info about data
  - *data:* info(payload) being communicated

important themes:

- centralized vs. decentralized
- stateless vs. stateful
- scalability
- reliable vs. unreliable message transfer
- "complexity at network edge"