# Package 'STAARpipeline'

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<b>Description</b> An R package for performing STAARpipeline in analyzing whole-genome/whole-exome sequencing data.
License GPL-3
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Imports Rcpp, STAAR, MultiSTAAR, SCANG, dplyr, SeqArray, SeqVarTools, GenomicFeatures, TxDb.Hsapiens.UCSC.hg38.knownGene, GMMAT, GENESIS, Matrix, methods
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R topics documented:
AI_Individual_Analysis
Dynamic_Window_SCANG
fit_nullmodel
genesis2staar_nullmodel
Gene_Centric_Coding
Gene_Centric_Coding_cond
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AI\_Individual\_Analysis

Ancestry-informed individual-variant analysis using score test

## **Description**

The AI\_Individual\_Analysis function takes in chromosome, an user-defined variant list, the object of opened annotated GDS file, and the object from fitting the null model to analyze the association between a quantitative/dichotomous phenotype and each individual variant by using score test. The results of the ancestry-informed analysis correspond to ensemble p-values across base tests, with the option to return a list of base weights and p-values for each base test.

#### Usage

```
AI_Individual_Analysis(
   chr,
   individual_results,
   genofile,
   obj_nullmodel,
   QC_label = "annotation/filter",
   variant_type = c("variant", "SNV", "Indel"),
   geno_missing_imputation = c("mean", "minor"),
   find_weight = TRUE
)
```

## **Arguments**

```
chr chromosome. individual_results
```

the data frame of (significant) individual variants of interest for ancestry-informed analysis. The first 4 columns should correspond to chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT).

genofile an object of opened annotated GDS (aGDS) file.

obj\_nullmodel an object

an object from fitting the null model, which is either the output from fit\_nullmodel function with two or more specified ancestries in pop.groups, or the output from fit\_nullmodel function transformed using the staar2aistaar\_nullmodel function.

#### Value

A data frame containing the score test p-value and the estimated effect size of the minor allele for each individual variant in the given genetic region. The first 4 columns correspond to chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT). If find\_weight is TRUE, returns a list containing the ancestry-informed score test p-values, estimated effect sizes with corresponding variant characteristics, as well as the ensemble weights under two sampling scenarios and p-values under scenarios 1, 2, and combined for each base test.

#### References

Chen, H., et al. (2016). Control for population structure and relatedness for binary traits in genetic association studies via logistic mixed models. *The American Journal of Human Genetics*, 98(4), 653-666. (pub)

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Dynamic\_Window\_SCANG Genetic region analysis of dynamic windows using SCANG-STAAR procedure

## **Description**

The Dynamic\_Window\_SCANG function takes in chromosome, starting location, ending location, the object of opened annotated GDS file, and the object from fitting the null model to analyze the association between a quantitative/dichotomous phenotype and variants in a genetic region by using SCANG-STAAR procedure. For each dynamic window, the scan statistic of SCANG-STAAR-O is the set-based p-value of an omnibus test that aggregated p-values across different types of multiple annotation-weighted variant-set tests SKAT(1,1), SKAT(1,25), Burden(1,1) and Burden(1,25) using ACAT method; the scan statistic of SCANG-STAAR-S is the set-based p-value of STAAR-S, which is an omnibus test that aggregated p-values across multiple annotation-weighted variant-set tests SKAT(1,1) and SKAT(1,25) using ACAT method; the scan statistic of SCANG-STAAR-B is the set-based p-value of STAAR-B, which is an omnibus test that aggregated p-values across multiple annotation-weighted variant-set tests Burden(1,1) and Burden(1,25) using ACAT method.

```
Dynamic_Window_SCANG(
  chr,
  start_loc,
  end_loc,
```

```
genofile,
 obj_nullmodel,
 Lmin = 40,
 Lmax = 300,
 steplength = 10,
 rare_maf_cutoff = 0.01,
 p_filter = 1e-08,
  f = 0,
 alpha = 0.1,
 QC_label = "annotation/filter",
 variant_type = c("SNV", "Indel", "variant"),
 geno_missing_imputation = c("mean", "minor"),
 Annotation_dir = "annotation/info/FunctionalAnnotation",
 Annotation_name_catalog,
 Use_annotation_weights = c(TRUE, FALSE),
 Annotation_name = NULL,
 silent = FALSE
)
```

#### **Arguments**

alpha

QC\_label

variant\_type

chr chromosome. starting location (position) of the genetic region to be analyzed using SCANGstart\_loc STAAR procedure. end\_loc ending location (position) of the genetic region to be analyzed using SCANG-STAAR procedure. genofile an object of opened annotated GDS (aGDS) file. an object from fitting the null model, which is the output from fit\_nullmodel obj\_nullmodel function and transformed using the staar2scang\_nullmodel function. Lmin minimum number of variants in searching windows (default = 40). maximum number of variants in searching windows (default = 300). Lmax steplength difference of number of variants in searching windows, that is, the number of variants in searching windows are Lmin, Lmin+steplength, Lmin+steplength,..., Lmax (default = 10).rare\_maf\_cutoff a cutoff of maximum minor allele frequency in defining rare variants (default = p\_filter a filtering threshold of screening method for SKAT in SCANG-STAAR. SKAT p-values are calculated for regions whose p-value is possibly smaller than the filtering threshold (default = 1e-8). f an overlap fraction, which controls for the overlapping proportion of of detected regions. For example, when f=0, the detected regions are non-overlapped with each other, and when f=1, we keep every susceptive region as detected regions (default = 0).

family-wise/genome-wide significance level (default = 0.1).

"variant" (default = "SNV").

channel name of the QC label in the GDS/aGDS file (default = "annotation/filter"). type of variant included in the analysis. Choices include "SNV", "Indel", or

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default = "mean").

Annotation\_dir channel name of the annotations in the aGDS file (default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation\_name

a vector of annotation names used in SCANG-STAAR (default = NULL).

silent logical: should the report of error messages be suppressed (default = FALSE).

#### Value

The function returns a list with the following members:

SCANG\_O\_res: A matrix that summarizes the significant region detected by SCANG-STAAR-O, including the negative log transformation of SCANG-STAAR-O p-value ("-logp"), chromosome ("chr"), start position ("start\_pos"), end position ("end\_pos"), family-wise/genome-wide error rate (GWER) and the number of variants ("SNV\_num").

SCANG\_0\_top1: A vector of length 4 which summarizes the top 1 region detected by SCANG-STAAR-O. including the negative log transformation of SCANG-STAAR-O p-value ("-logp"), chromosome ("chr"), start position ("start\_pos"), end position ("end\_pos"), family-wise/genome-wide error rate (GWER) and the number of variants ("SNV\_num").

SCANG\_O\_emthr: A vector of Monte Carlo simulation sample for generating the empirical threshold. The 1-alpha quantile of this vector is the empirical threshold.

SCANG\_S\_res, SCANG\_S\_top1, SCANG\_S\_emthr: Analysis results using SCANG-STAAR-S. Details see SCANG-STAAR-O.

SCANG\_B\_res, SCANG\_B\_top1, SCANG\_B\_emthr: Analysis results using SCANG-STAAR-B. Details see SCANG-STAAR-O.

## References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, Z., Li, X., et al. (2019). Dynamic scan procedure for detecting rare-variant association regions in whole-genome sequencing studies. *The American Journal of Human Genetics*, *104*(5), 802-814. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, *52*(9), 969-983. (pub)

Liu, Y., et al. (2019). Acat: A fast and powerful p value combination method for rare-variant analysis in sequencing studies. *The American Journal of Human Genetics*, 104(3), 410-421. (pub)

6 fit\_nullmodel

fit\_nullmodel

Fitting generalized linear mixed model with known relationship matrices under the null hypothesis.

#### **Description**

The fit\_nullmodel function is a wrapper of the glmmkin function from the GMMAT package that fits a regression model under the null hypothesis, which provides the preliminary step for subsequent variant-set tests in whole-genome sequencing data analysis. See glmmkin for more details.

## Usage

```
fit_nullmodel(
  fixed,
 data = parent.frame(),
 kins,
 use_sparse = NULL,
  use_SPA = FALSE,
 kins\_cutoff = 0.022,
  id,
  random.slope = NULL,
  groups = NULL,
 pop.groups = NULL,
 B = NULL
  seed = 7590,
  family = binomial(link = "logit"),
 method = "REML",
 method.optim = "AI",
 maxiter = 500,
  tol = 1e-05,
  taumin = 1e-05,
  taumax = 1e+05,
  tauregion = 10,
  verbose = FALSE,
)
```

#### **Arguments**

fixed

an object of class formula (or one that can be coerced to that class): a symbolic description of the fixed effects model to be fitted. For multiple phenotype analysis, formula recognized by lm, such as  $cbind(y1,y2,y3) \sim x1 + x2$ , can be used in fixed as fixed effects.

data

a data frame or list (or object coercible by as.data.frame to a data frame) containing the variables in the model.

kins

a known positive semi-definite relationship matrix (e.g. kinship matrix in genetic association studies) or a list of known positive semi-definite relationship matrices. The rownames and colnames of these matrices must at least include all samples as specified in the id column of the data frame data. If kins is NULL, fit\_nullmodel will switch to the generalized linear model with no random effects.

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a logical switch of whether the provided dense kins matrix should be transuse\_sparse formed to a sparse matrix (default = NULL). a logical switch determines if the null model fitting occurs in an imbalanced use\_SPA case-control setting (default = FALSE). kins\_cutoff the cutoff value for clustering samples to make the output matrix sparse blockdiagonal (default = 0.022). id a column in the data frame data, indicating the id of samples. When there are duplicates in id, the data is assumed to be longitudinal with repeated measures. random.slope an optional column indicating the random slope for time effect used in a mixed effects model for longitudinal data. It must be included in the names of data. There must be duplicates in id and method.optim must be "AI" (default = NULL). an optional categorical variable indicating the groups used in a heteroscedastic groups linear mixed model (allowing residual variances in different groups to be different). This variable must be included in the names of data, and family must be "gaussian" and method.optim must be "AI" (default = NULL). an optional vector of defined ancestries for all individuals within the given data pop.groups parameter. an optional positive numerical value for the number of base tests for ancestry-В informed ensemble testing. seed an optional numerical value to set the initial seed for generating ensemble weights. family a description of the error distribution and link function to be used in the model. This can be a character string naming a family function, a family function or the result of a call to a family function. (See family for details of family functions). method of fitting the generalized linear mixed model. Either "REML" or "ML" method (default = "REML").optimization method of fitting the generalized linear mixed model. Either "AI", method.optim "Brent" or "Nelder-Mead" (default = "AI"). a positive integer specifying the maximum number of iterations when fitting the maxiter generalized linear mixed model (default = 500). a positive number specifying tolerance, the difference threshold for parameter tol estimates below which iterations should be stopped (default = 1e-5). the lower bound of search space for the variance component parameter  $\tau$  (default taumin = 1e-5), used when method.optim = "Brent". See Details. taumax the upper bound of search space for the variance component parameter  $\tau$  (default = 1e5), used when method.optim = "Brent". See Details. tauregion the number of search intervals for the REML or ML estimate of the variance component parameter  $\tau$  (default = 10), used when method.optim = "Brent". See Details. a logical switch for printing detailed information (parameter estimates in each verbose iteration) for testing and debugging purpose (default = FALSE). additional arguments that could be passed to glm.

#### Value

The function returns an object of the model fit from glmmkin (obj\_nullmodel), whether the samples are under imbalanced case-control design (obj\_nullmodel\$use\_SPA) and whether the kins matrix is sparse when fitting the null model. See glmmkin for more details. If the parameters pop.groups >= 2 and B are provided, initial ensemble weights for further processing in AI\_STAAR or AI\_Individual\_Analysis are also returned.

#### References

Chen, H., et al. (2016). Control for population structure and relatedness for binary traits in genetic association studies via logistic mixed models. *The American Journal of Human Genetics*, 98(4), 653-666. (pub)

Chen, H., et al. (2019). Efficient variant set mixed model association tests for continuous and binary traits in large-scale whole-genome sequencing studies. *The American Journal of Human Genetics*, 104(2), 260-274. (pub)

Chen, H. (2023). GMMAT: Generalized linear Mixed Model Association Tests Version 1.4.2. (web)

genesis2staar\_nullmodel

Transforming the null model object fitted using GENESIS to the null model object to be used for STAAR

#### **Description**

The genesis2staar\_nullmodel function takes in the object from fitting the null model using the GENESIS package and transforms it to the object from fitting the null model to be used for STAAR procedure.

## Usage

```
genesis2staar_nullmodel(obj_nullmodel_genesis, use_SPA = FALSE)
```

## **Arguments**

obj\_nullmodel\_genesis

an object from fitting the null model, which is the output from fitNullModel function in the GENESIS package.

use\_SPA

a logical switch determines if the null model fitting occurs in an imbalanced case-control setting (default = FALSE).

## Value

An object from fitting the null model for related samples to be used for STAAR procedure, which is the output from fit\_nullmodel function.

#### References

Gogarten, S.M., Sofer, T., Chen, H., et al. (2019). Genetic association testing using the GENESIS R/Bioconductor package. *Bioinformatics*, *35*(24), 5346-5348. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Gene\_Centric\_Coding

Gene-centric analysis of coding functional categories using STAAR procedure

#### **Description**

The Gene\_Centric\_Coding function takes in chromosome, gene name, functional category, the object of opened annotated GDS file, and the object from fitting the null model to analyze the association between a quantitative/dichotomous phenotype (including imbalanced case-control design) and coding functional categories of a gene by using STAAR procedure. For each coding functional category, the STAAR-O p-value is a p-value from an omnibus test that aggregated SKAT(1,25), SKAT(1,1), Burden(1,25), Burden(1,1), ACAT-V(1,25), and ACAT-V(1,1) together with p-values of each test weighted by each annotation using Cauchy method. For imbalance case-control setting, the results correspond to the STAAR-B p-value, which is a p-value from an omnibus test that aggregated Burden(1,25) and Burden(1,1) together with p-values of each test weighted by each annotation using Cauchy method. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait association p-values (e.g. MultiSTAAR-O) by leveraging the correlation structure between multiple phenotypes. For ancestry-informed analysis, the results correspond to ensemble p-values across base tests, with the option to return a list of base weights and p-values for each base test.

#### Usage

```
Gene_Centric_Coding(
  chr.
  gene_name,
 category = c("all_categories", "plof", "plof_ds", "missense", "disruptive_missense",
    "synonymous", "ptv", "ptv_ds", "all_categories_incl_ptv"),
  genofile,
  obj_nullmodel,
  rare_maf_cutoff = 0.01,
  rv_num_cutoff = 2,
  rv_num_cutoff_max = 1e+09,
  rv_num_cutoff_max_prefilter = 1e+09,
  QC_label = "annotation/filter",
  variant_type = c("SNV", "Indel", "variant"),
  geno_missing_imputation = c("mean", "minor"),
  Annotation_dir = "annotation/info/FunctionalAnnotation",
  Annotation_name_catalog,
  Use_annotation_weights = c(TRUE, FALSE),
  Annotation_name = NULL,
  SPA_p_filter = TRUE,
  p_filter_cutoff = 0.05,
  use_ancestry_informed = FALSE,
  find_weight = FALSE,
  silent = FALSE
)
```

#### **Arguments**

chr

chromosome.

gene\_name name of the gene to be analyzed using STAAR procedure.

category the coding functional category to be analyzed using STAAR procedure. Choices

include all\_categories, plof, plof\_ds, missense, disruptive\_missense, synonymous, ptv, ptv\_ds, all\_categories\_incl\_ptv (default = all\_categories).

genofile an object of opened annotated GDS (aGDS) file.

obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel

function, or the output from fitNullModel function in the GENESIS package  $\,$ 

and transformed using the genesis2staar\_nullmodel function.

rare\_maf\_cutoff

the cutoff of maximum minor allele frequency in defining rare variants (default

= 0.01).

rv\_num\_cutoff the cutoff of minimum number of variants of analyzing a given variant-set (de-

fault = 2).

rv\_num\_cutoff\_max

the cutoff of maximum number of variants of analyzing a given variant-set (de-

fault = 1e+09).

rv\_num\_cutoff\_max\_prefilter

the cutoff of maximum number of variants before extracting the genotype matrix

(default = 1e+09).

QC\_label channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").

variant\_type type of variant included in the analysis. Choices include "SNV", "Indel", or

"variant" (default = "SNV").

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default =

"mean").

Annotation\_dir channel name of the annotations in the aGDS file

(default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the

aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation\_name

a vector of annotation names used in STAAR (default = NULL).

SPA\_p\_filter logical: are only the variants with a normal approximation based p-value smaller

than a pre-specified threshold use the SPA method to recalculate the p-value,

only used for imbalanced case-control setting (default = TRUE).

p\_filter\_cutoff

threshold for the p-value recalculation using the SPA method, only used for

imbalanced case-control setting (default = 0.05).

 ${\tt use\_ancestry\_informed}$ 

logical: is ancestry-informed association analysis used to estimate p-values (de-

fault = FALSE).

find\_weight logical: should the ancestry group-specific weights and weighting scenario-

specific p-values for each base test be saved as output (default = FALSE).

silent logical: should the report of error messages be suppressed (default = FALSE).

#### Value

A list of data frames containing the STAAR p-values (including STAAR-O or STAAR-B in imbalanced case-control setting), or AI-STAAR p-values under ancestry-informed analysis, corresponding to each coding functional category of the given gene. If find\_weight is TRUE, returns a list containing the AI-STAAR p-values corresponding to each coding functional category of the given gene, as well as the ensemble weights under two sampling scenarios and p-values under scenarios 1, 2, and combined for each base test.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Gene\_Centric\_Coding\_cond

Gene-centric conditional analysis of coding functional categories using STAAR procedure

#### **Description**

The Gene\_Centric\_Coding\_cond function takes in chromosome, gene name, functional category, the object of opened annotated GDS file, the object from fitting the null model, and the set of known variants to be adjusted for in conditional analysis to analyze the conditional association between a quantitative/dichotomous phenotype and coding functional categories of a gene by using STAAR procedure. For each coding functional category, the conditional STAAR-O p-value is a p-value from an omnibus test that aggregated conditional SKAT(1,25), SKAT(1,1), Burden(1,25), Burden(1,1), ACAT-V(1,25), and ACAT-V(1,1) together with conditional p-values of each test weighted by each annotation using Cauchy method. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait conditional p-values (e.g. conditional MultiSTAAR-O) by leveraging the correlation structure between multiple phenotypes.

```
Gene_Centric_Coding_cond(
   chr,
   gene_name,
   category = c("plof", "plof_ds", "missense", "disruptive_missense", "synonymous", "ptv",
        "ptv_ds"),
   genofile,
   obj_nullmodel,
   known_loci = NULL,
   rare_maf_cutoff = 0.01,
   rv_num_cutoff = 2,
   rv_num_cutoff_max = 1e+09,
   rv_num_cutoff_max_prefilter = 1e+09,
   method_cond = c("optimal", "naive"),
   QC_label = "annotation/filter",
```

```
variant_type = c("SNV", "Indel", "variant"),
geno_missing_imputation = c("mean", "minor"),
Annotation_dir = "annotation/info/FunctionalAnnotation",
Annotation_name_catalog,
Use_annotation_weights = c(TRUE, FALSE),
Annotation_name = NULL
)
```

#### **Arguments**

chr chromosome.

gene\_name name of the gene to be analyzed using STAAR procedure.

category the coding functional category to be analyzed using STAAR procedure. Choices

 $include \; \verb|plof|, \; \verb|plof|_ds, \; \verb|missense|, \; disruptive\_missense|, \; synonymous, \; \verb|ptv|, \\$ 

ptv\_ds (default = plof).

genofile an object of opened annotated GDS (aGDS) file.

obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel

function, or the output from fitNullModel function in the GENESIS package  $\,$ 

and transformed using the genesis2staar\_nullmodel function.

known\_loci the data frame of variants to be adjusted for in conditional analysis and should

contain 4 columns in the following order: chromosome (CHR), position (POS),

reference allele (REF), and alternative allele (ALT) (default = NULL).

rare\_maf\_cutoff

the cutoff of maximum minor allele frequency in defining rare variants (default

= 0.01).

rv\_num\_cutoff the cutoff of minimum number of variants of analyzing a given variant-set (de-

fault = 2).

rv\_num\_cutoff\_max

the cutoff of maximum number of variants of analyzing a given variant-set (de-

fault = 1e+09).

rv\_num\_cutoff\_max\_prefilter

the cutoff of maximum number of variants before extracting the genotype matrix

(default = 1e+09).

method\_cond a character value indicating the method for conditional analysis. optimal refers

to regressing residuals from the null model on known\_loci as well as all covariates used in fitting the null model (fully adjusted) and taking the residuals; naive refers to regressing residuals from the null model on known\_loci and

taking the residuals (default = optimal).

QC\_label channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").

variant\_type type of variant included in the analysis. Choices include "SNV", "Indel", or

"variant" (default = "SNV").

 ${\tt geno\_missing\_imputation}$ 

method of handling missing genotypes. Either "mean" or "minor" (default =

"mean").

Annotation\_dir channel name of the annotations in the aGDS file

(default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the

aGDS file.

```
\label{thm:continuous} Use\_annotation\_weights \\ use annotations as weights or not (default = TRUE). \\ Annotation\_name \\ a vector of annotation names used in STAAR (default = NULL). \\
```

#### Value

A data frame containing the conditional STAAR p-values (including STAAR-O) corresponding to each coding functional category of the given gene.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Sofer, T., et al. (2019). A fully adjusted two-stage procedure for rank-normalization in genetic association studies. *Genetic Epidemiology*, 43(3), 263-275. (pub)

```
Gene_Centric_Coding_cond_spa
```

Gene-centric conditional analysis of coding functional categories using STAAR procedure for imbalance case-control setting

#### **Description**

The Gene\_Centric\_Coding\_cond\_spa function takes in chromosome, gene name, functional category, the object of opened annotated GDS file, the object from fitting the null model, and the set of known variants to be adjusted for in conditional analysis to analyze the conditional association between an imbalanced case-control phenotype and coding functional categories of a gene by using STAAR procedure. For each coding functional category, the conditional STAAR-B p-value is a p-value from an omnibus test that aggregated conditional Burden(1,25) and Burden(1,1), together with conditional p-values of each test weighted by each annotation using Cauchy method.

```
Gene_Centric_Coding_cond_spa(
   chr,
   gene_name,
   category = c("plof", "plof_ds", "missense", "disruptive_missense", "synonymous", "ptv",
        "ptv_ds"),
   genofile,
   obj_nullmodel,
   known_loci = NULL,
   rare_maf_cutoff = 0.01,
   rv_num_cutoff = 2,
   rv_num_cutoff_max = 1e+09,
   rv_num_cutoff_max_prefilter = 1e+09,
   QC_label = "annotation/filter",
   variant_type = c("SNV", "Indel", "variant"),
```

```
geno_missing_imputation = c("mean", "minor"),
Annotation_dir = "annotation/info/FunctionalAnnotation",
Annotation_name_catalog,
Use_annotation_weights = c(TRUE, FALSE),
Annotation_name = NULL,
SPA_p_filter = FALSE,
p_filter_cutoff = 0.05
)
```

#### **Arguments**

chr chromosome.

gene\_name name of the gene to be analyzed using STAAR procedure.

category the coding functional category to be analyzed using STAAR procedure. Choices

include plof, plof\_ds, missense, disruptive\_missense, synonymous, ptv,

 $ptv_ds (default = plof).$ 

genofile an object of opened annotated GDS (aGDS) file.

obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel

function, or the output from fitNullModel function in the GENESIS package  $\,$ 

and transformed using the genesis2staar\_nullmodel function.

known\_loci the data frame of variants to be adjusted for in conditional analysis and should

contain 4 columns in the following order: chromosome (CHR), position (POS),

reference allele (REF), and alternative allele (ALT) (default = NULL).

rare\_maf\_cutoff

the cutoff of maximum minor allele frequency in defining rare variants (default

= 0.01).

rv\_num\_cutoff the cutoff of minimum number of variants of analyzing a given variant-set (de-

fault = 2).

rv\_num\_cutoff\_max

the cutoff of maximum number of variants of analyzing a given variant-set (de-

fault = 1e+09).

rv\_num\_cutoff\_max\_prefilter

the cutoff of maximum number of variants before extracting the genotype matrix

(default = 1e+09).

 $\label{eq:channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").}$ 

variant\_type type of variant included in the analysis. Choices include "SNV", "Indel", or

"variant" (default = "SNV").

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default =

"mean").

Annotation\_dir channel name of the annotations in the aGDS file

(default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the

aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation\_name

a vector of annotation names used in STAAR (default = NULL).

SPA\_p\_filter

logical: are only the variants with a normal approximation based p-value smaller than a pre-specified threshold use the SPA method to recalculate the p-value, only used for imbalanced case-control setting (default = FALSE).

p\_filter\_cutoff

threshold for the p-value recalculation using the SPA method, only used for imbalanced case-control setting (default = 0.05).

#### Value

a data frame containing the conditional STAAR p-values (including STAAR-B) corresponding to each coding functional category of the given gene.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Sofer, T., et al. (2019). A fully adjusted two-stage procedure for rank-normalization in genetic association studies. *Genetic Epidemiology*, 43(3), 263-275. (pub)

Gene\_Centric\_Noncoding

Gene-centric analysis of noncoding functional categories using STAAR procedure

## **Description**

The Gene\_Centric\_Noncoding function takes in chromosome, gene name, functional category, the object of opened annotated GDS file, and the object from fitting the null model to analyze the association between a quantitative/dichotomous phenotype (including imbalanced case-control design) and noncoding functional categories of a gene by using STAAR procedure. For each noncoding functional category, the STAAR-O p-value is a p-value from an omnibus test that aggregated SKAT(1,25), SKAT(1,1), Burden(1,25), Burden(1,1), ACAT-V(1,25), and ACAT-V(1,1) together with p-values of each test weighted by each annotation using Cauchy method. For imbalance case-control setting, the results correspond to the STAAR-B p-value, which is a p-value from an omnibus test that aggregated Burden(1,25) and Burden(1,1) together with p-values of each test weighted by each annotation using Cauchy method. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait association p-values (e.g. MultiSTAAR-O) by leveraging the correlation structure between multiple phenotypes. For ancestry-informed analysis, the results correspond to ensemble p-values across base tests, with the option to return a list of base weights and p-values for each base test.

```
Gene_Centric_Noncoding(
   chr,
   gene_name,
   category = c("all_categories", "downstream", "upstream", "UTR", "promoter_CAGE",
```

```
"promoter_DHS", "enhancer_CAGE", "enhancer_DHS"),
  genofile,
  obj_nullmodel,
  rare_maf_cutoff = 0.01,
  rv_num_cutoff = 2,
  rv_num_cutoff_max = 1e+09,
  rv_num_cutoff_max_prefilter = 1e+09,
  QC_label = "annotation/filter",
  variant_type = c("SNV", "Indel", "variant"),
  geno_missing_imputation = c("mean", "minor"),
  Annotation_dir = "annotation/info/FunctionalAnnotation",
  Annotation_name_catalog,
  Use_annotation_weights = c(TRUE, FALSE),
  Annotation_name = NULL,
  SPA_p_filter = TRUE,
  p_filter_cutoff = 0.05,
  use_ancestry_informed = FALSE,
  find_weight = FALSE,
  silent = FALSE
)
```

#### **Arguments**

chr chromosome. gene\_name name of the gene to be analyzed using STAAR procedure. the noncoding functional category to be analyzed using STAAR procedure. Choices category include all\_categories, downstream, upstream, UTR, promoter\_CAGE, promoter\_DHS, enhancer\_CAGE, enhancer\_DHS (default = all\_categories). an object of opened annotated GDS (aGDS) file. genofile obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel function, or the output from fitNullModel function in the GENESIS package and transformed using the genesis2staar\_nullmodel function. rare\_maf\_cutoff the cutoff of maximum minor allele frequency in defining rare variants (default = 0.01). the cutoff of minimum number of variants of analyzing a given variant-set (derv\_num\_cutoff fault = 2). rv\_num\_cutoff\_max the cutoff of maximum number of variants of analyzing a given variant-set (default = 1e+09). rv\_num\_cutoff\_max\_prefilter the cutoff of maximum number of variants before extracting the genotype matrix (default = 1e+09).channel name of the QC label in the GDS/aGDS file (default = "annotation/filter"). QC\_label type of variant included in the analysis. Choices include "SNV", "Indel", or variant\_type "variant" (default = "SNV").  ${\tt geno\_missing\_imputation}$ method of handling missing genotypes. Either "mean" or "minor" (default = "mean").

Annotation\_dir channel name of the annotations in the aGDS file (default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation\_name

a vector of annotation names used in STAAR (default = NULL).

SPA\_p\_filter logical: are only the variants with a normal approximation based p-value smaller than a pre-specified threshold use the SPA method to recalculate the p-value,

only used for imbalanced case-control setting (default = TRUE).

p\_filter\_cutoff

threshold for the p-value recalculation using the SPA method, only used for

imbalanced case-control setting (default = 0.05).

use\_ancestry\_informed

logical: is ancestry-informed association analysis used to estimate p-values (de-

fault = FALSE).

find\_weight logical: should the ancestry group-specific weights and weighting scenario-

specific p-values for each base test be saved as output (default = FALSE).

silent logical: should the report of error messages be suppressed (default = FALSE).

## Value

A list of data frames containing the STAAR p-values (including STAAR-O or STAAR-B in imbalanced case-control setting), or AI-STAAR p-values under ancestry-informed analysis, corresponding to each noncoding functional category of the given gene. If find\_weight is TRUE, returns a list containing the AI-STAAR p-values corresponding to each noncoding functional category of the given gene, as well as the ensemble weights under two sampling scenarios and p-values under scenarios 1, 2, and combined for each base test.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Gene\_Centric\_Noncoding\_cond

Gene-centric conditional analysis of noncoding functional categories using STAAR procedure

## **Description**

The Gene\_Centric\_Noncoding\_cond function takes in chromosome, gene name, functional category, the object of opened annotated GDS file, the object from fitting the null model, and the set of known variants to be adjusted for in conditional analysis to analyze the conditional association between a quantitative/dichotomous phenotype and noncoding functional categories of a gene by using STAAR procedure. For each noncoding functional category, the conditional STAAR-O p-value is a p-value from an omnibus test that aggregated conditional SKAT(1,25), SKAT(1,1), Burden(1,25), Burden(1,1), ACAT-V(1,25), and ACAT-V(1,1) together with conditional p-values of each test weighted by each annotation using Cauchy method. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait conditional p-values (e.g. conditional MultiSTAAR-O) by leveraging the correlation structure between multiple phenotypes.

## Usage

```
Gene_Centric_Noncoding_cond(
  chr,
  gene_name,
  category = c("downstream", "upstream", "UTR", "promoter_CAGE", "promoter_DHS",
    "enhancer_CAGE", "enhancer_DHS"),
  genofile,
  obj_nullmodel,
  known_loci = NULL,
  rare_maf_cutoff = 0.01,
  rv_num_cutoff = 2,
  rv_num_cutoff_max = 1e+09,
  rv_num_cutoff_max_prefilter = 1e+09,
  method_cond = c("optimal", "naive"),
  QC_label = "annotation/filter",
  variant_type = c("SNV", "Indel", "variant"),
  geno_missing_imputation = c("mean", "minor"),
  Annotation_dir = "annotation/info/FunctionalAnnotation",
  Annotation_name_catalog,
  Use_annotation_weights = c(TRUE, FALSE),
  Annotation_name = NULL
)
```

#### **Arguments**

chr	chromosome.
gene_name	name of the gene to be analyzed using STAAR procedure.
category	the noncoding functional category to be analyzed using STAAR procedure. Choices include downstream, upstream, UTR, promoter_CAGE, promoter_DHS, enhancer_CAGE, enhancer_DHS (default = downstream).
genofile	an object of opened annotated GDS (aGDS) file.
obj_nullmodel	an object from fitting the null model, which is either the output from fit_nullmodel function, or the output from fitNullModel function in the GENESIS package and transformed using the genesis2staar_nullmodel function.
known_loci	the data frame of variants to be adjusted for in conditional analysis and should contain 4 columns in the following order: chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT) (default = NULL).

rare\_maf\_cutoff

the cutoff of maximum minor allele frequency in defining rare variants (default = 0.01).

rv\_num\_cutoff the cutoff of minimum number of variants of analyzing a given variant-set (default = 2).

rv\_num\_cutoff\_max

the cutoff of maximum number of variants of analyzing a given variant-set (default = 1e+09).

 ${\tt rv\_num\_cutoff\_max\_prefilter}$ 

the cutoff of maximum number of variants before extracting the genotype matrix (default = 1e+09).

method\_cond a character value indicating the method for conditional analysis. optimal refers to regressing residuals from the null model on known\_loci as well as all covariates used in fitting the null model (fully adjusted) and taking the residuals; naive refers to regressing residuals from the null model on known\_loci and

taking the residuals (default = optimal).

QC\_label channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").

variant\_type type of variant included in the analysis. Choices include "SNV", "Indel", or "variant" (default = "SNV").

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default = "mean").

Annotation\_dir channel name of the annotations in the aGDS file (default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation\_name

a vector of annotation names used in STAAR (default = NULL).

## Value

A data frame containing the conditional STAAR p-values (including STAAR-O) corresponding to the noncoding functional category of the given gene.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Sofer, T., et al. (2019). A fully adjusted two-stage procedure for rank-normalization in genetic association studies. *Genetic Epidemiology*, 43(3), 263-275. (pub)

```
Gene_Centric_Noncoding_cond_spa
```

Gene-centric conditional analysis of noncoding functional categories using STAAR procedure for imbalance case-control setting

## **Description**

The Gene\_Centric\_Noncoding\_cond\_spa function takes in chromosome, gene name, functional category, the object of opened annotated GDS file, the object from fitting the null model, and the set of known variants to be adjusted for in conditional analysis to analyze the conditional association between an imbalanced case-control phenotype and noncoding functional categories of a gene by using STAAR procedure. For each noncoding functional category, the conditional STAAR-B p-value is a p-value from an omnibus test that aggregated conditional Burden(1,25) and Burden(1,1), together with conditional p-values of each test weighted by each annotation using Cauchy method.

#### Usage

```
Gene_Centric_Noncoding_cond_spa(
  chr,
  gene_name,
  category = c("downstream", "upstream", "UTR", "promoter_CAGE", "promoter_DHS",
    "enhancer_CAGE", "enhancer_DHS"),
  genofile,
  obj_nullmodel,
  known_loci = NULL,
  rare_maf_cutoff = 0.01,
  rv_num_cutoff = 2,
  rv_num_cutoff_max = 1e+09,
  rv_num_cutoff_max_prefilter = 1e+09,
  QC_label = "annotation/filter",
  variant_type = c("SNV", "Indel", "variant"),
  geno_missing_imputation = c("mean", "minor"),
  Annotation_dir = "annotation/info/FunctionalAnnotation",
  Annotation_name_catalog,
  Use_annotation_weights = c(TRUE, FALSE),
  Annotation_name = NULL,
  SPA_p_filter = FALSE,
  p_filter_cutoff = 0.05
```

## Arguments

chr	chromosome.
gene_name	name of the gene to be analyzed using STAAR procedure.
category	the noncoding functional category to be analyzed using STAAR procedure. Choices include downstream, upstream, UTR, promoter_CAGE, promoter_DHS, enhancer_CAGE, enhancer_DHS (default = downstream).
genofile	an object of opened annotated GDS (aGDS) file.

obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel

function, or the output from fitNullModel function in the GENESIS package and transformed using the genesis2staar\_nullmodel function.

known\_loci the data frame of variants to be adjusted for in conditional analysis and should

contain 4 columns in the following order: chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT) (default = NULL).

rare\_maf\_cutoff

the cutoff of maximum minor allele frequency in defining rare variants (default = 0.01).

rv\_num\_cutoff the cutoff of minimum number of variants of analyzing a given variant-set (de-

fault = 2).

rv\_num\_cutoff\_max

the cutoff of maximum number of variants of analyzing a given variant-set (default = 1e+09).

rv\_num\_cutoff\_max\_prefilter

the cutoff of maximum number of variants before extracting the genotype matrix (default = 1e+09).

QC\_label channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").

variant\_type type of variant included in the analysis. Choices include "SNV", "Indel", or "variant" (default = "SNV").

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default = "mean").

Annotation\_dir channel name of the annotations in the aGDS file

(default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation name

a vector of annotation names used in STAAR (default = NULL).

SPA\_p\_filter logical: are only the variants with a normal approximation based p-value smaller than a pre-specified threshold use the SPA method to recalculate the p-value,

only used for imbalanced case-control setting (default = FALSE).

p\_filter\_cutoff

threshold for the p-value recalculation using the SPA method, only used for imbalanced case-control setting (default = 0.05).

## Value

A data frame containing the conditional STAAR p-values (including STAAR-B) corresponding to the noncoding functional category of the given gene.

## References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

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Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Sofer, T., et al. (2019). A fully adjusted two-stage procedure for rank-normalization in genetic association studies. *Genetic Epidemiology*, 43(3), 263-275. (pub)

Individual\_Analysis Individual-variant analysis using score test

#### **Description**

The Individual\_Analysis function takes in chromosome, starting location, ending location, an user-defined variant list for ancestry-informed analyses, the object of opened annotated GDS file, and the object from fitting the null model to analyze the association between a quantitative/dichotomous phenotype (including imbalanced case-control design) and each individual variant in a genetic region by using score test. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait score test p-values by leveraging the correlation structure between multiple phenotypes. For ancestry-informed analysis, the results correspond to ensemble p-values across base tests, with the option to return a list of base weights and p-values for each base test.

#### Usage

```
Individual_Analysis(
  chr,
  start_loc = NULL,
  end_loc = NULL,
  individual_results = NULL,
  genofile,
 obj_nullmodel,
 mac\_cutoff = 20,
  subset_variants_num = 5000,
  OC_label = "annotation/filter",
  variant_type = c("variant", "SNV", "Indel"),
  geno_missing_imputation = c("mean", "minor"),
  tol = .Machine$double.eps^0.25,
 max_iter = 1000,
  SPA_p_filter = TRUE,
 p_filter_cutoff = 0.05,
  use_ancestry_informed = FALSE,
  find_weight = FALSE
)
```

## **Arguments**

chr chromosome.

start\_loc starting location (position) of the genetic region for each individual variant to be analyzed using score test.

end\_loc ending location (position) of the genetic region for each individual variant to be analyzed using score test.

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individual\_results

the data frame of (significant) individual variants of interest for ancestry-informed analysis. The first 4 columns should correspond to chromosome (CHR), position

(POS), reference allele (REF), and alternative allele (ALT).

genofile an object of opened annotated GDS (aGDS) file.

obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel

function, or the output from fitNullModel function in the GENESIS package

and transformed using the genesis2staar\_nullmodel function.

mac\_cutoff the cutoff of minimum minor allele count in defining individual variants (default

= 20).

subset\_variants\_num

the number of variants to run per subset for each time (default = 5e3).

QC\_label channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").

variant\_type type of variant included in the analysis. Choices include "variant", "SNV", or

"Indel" (default = "variant").

 ${\tt geno\_missing\_imputation}$ 

method of handling missing genotypes. Either "mean" or "minor" (default =

"mean").

tol a positive number specifying tolerance, the difference threshold for parameter

estimates in saddlepoint approximation algorithm below which iterations should

be stopped (default = ".Machine\$double.eps^0.25").

max\_iter a positive integer specifying the maximum number of iterations for applying the

saddlepoint approximation algorithm (default = "1000").

SPA\_p\_filter logical: are only the variants with a score-test-based p-value smaller than a pre-

specified threshold use the SPA method to recalculate the p-value, only used for

imbalanced case-control setting (default = TRUE).

p\_filter\_cutoff

threshold for the p-value recalculation using the SPA method, only used for

imbalanced case-control setting (default = 0.05)

use\_ancestry\_informed

logical: is ancestry-informed association analysis used to estimate p-values (de-

fault = FALSE).

find\_weight logical: should the ancestry group-specific weights and weighting scenario-

specific p-values for each base test be saved as output (default = FALSE).

#### Value

A data frame containing the score test p-value and the estimated effect size of the minor allele for each individual variant in the given genetic region, or as provided in individual\_results for ancestry-informed variant analysis. The first 4 columns correspond to chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT). If find\_weight is TRUE, returns a list containing the ancestry-informed score test p-values and the estimated effect size of the minor allele for each individual variant provided in individual\_results. The ensemble weights under two sampling scenarios and p-values under scenarios 1, 2, and combined for each base test are saved as well.

## References

Chen, H., et al. (2016). Control for population structure and relatedness for binary traits in genetic association studies via logistic mixed models. *The American Journal of Human Genetics*, 98(4), 653-666. (pub)

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Individual\_Analysis\_cond

Individual-variant conditional analysis using score test

## Description

The Individual\_Analysis\_cond function takes in the data frame of individual variants, the object of opened annotated GDS file, the object from fitting the null model, and the set of known variants to be adjusted for in conditional analysis to analyze the conditional association between a quantitative/dichotomous phenotype and each (significant) individual variant by using score test. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait conditional score test p-values by leveraging the correlation structure between multiple phenotypes.

## Usage

```
Individual_Analysis_cond(
  chr,
  individual_results,
  genofile,
  obj_nullmodel,
  known_loci = NULL,
  method_cond = c("optimal", "naive"),
  QC_label = "annotation/filter",
  variant_type = c("variant", "SNV", "Indel"),
  geno_missing_imputation = c("mean", "minor"),
  geno_position_ascending = TRUE
)
```

#### **Arguments**

chr chromosome. individual\_results

the data frame of (significant) individual variants for conditional analysis using score test. The first 4 columns should correspond to chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT).

genofile an object of opened annotated GDS (aGDS) file.

obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel

function, or the output from fitNullModel function in the GENESIS package  $\,$ 

and transformed using the genesis2staar\_nullmodel function.

known\_loci the data frame of variants to be adjusted for in conditional analysis and should

contain 4 columns in the following order: chromosome (CHR), position (POS),

reference allele (REF), and alternative allele (ALT) (default = NULL).

method\_cond a character value indicating the method for conditional analysis. optimal refers

to regressing residuals from the null model on known\_loci as well as all covariates used in fitting the null model (fully adjusted) and taking the residuals; naive refers to regressing residuals from the null model on known\_loci and

taking the residuals (default = optimal).

#### Value

A data frame containing the conditional score test p-value and the estimated effect size of the minor allele for each (significant) individual variant in individual\_results.

### References

Chen, H., et al. (2016). Control for population structure and relatedness for binary traits in genetic association studies via logistic mixed models. *The American Journal of Human Genetics*, 98(4), 653-666. (pub)

Sofer, T., et al. (2019). A fully adjusted two-stage procedure for rank-normalization in genetic association studies. *Genetic Epidemiology*, 43(3), 263-275. (pub)

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Individual\_Analysis\_cond\_spa

Individual-variant conditional analysis using score test for imbalance case-control setting

## **Description**

The Individual\_Analysis\_cond\_spa function takes in chromosome, starting location, ending location, the object of opened annotated GDS file, and the object from fitting the null model to analyze the association between an imbalanced case-control phenotype and each individual variant in a genetic region by using score test.

```
Individual_Analysis_cond_spa(
   chr,
   individual_results,
   genofile,
   obj_nullmodel,
   QC_label = "annotation/filter",
   variant_type = c("variant", "SNV", "Indel"),
   geno_missing_imputation = c("mean", "minor"),
   tol = .Machine$double.eps^0.25,
   max_iter = 1000,
   SPA_p_filter = FALSE,
   p_filter_cutoff = 0.05
)
```

#### **Arguments**

chr chromosome.

individual\_results

the data frame of (significant) individual variants for conditional analysis using score test. The first 4 columns should correspond to chromosome (CHR),

position (POS), reference allele (REF), and alternative allele (ALT).

an object of opened annotated GDS (aGDS) file. genofile

obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel

function, or the output from fitNullModel function in the GENESIS package

and transformed using the genesis2staar\_nullmodel function.

QC\_label channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").

type of variant included in the analysis. Choices include "variant", "SNV", or variant\_type

"Indel" (default = "variant").

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default =

"mean").

a positive number specifying tolerance, the difference threshold for parameter tol

estimates in saddlepoint approximation algorithm below which iterations should

be stopped (default = ".Machine\$double.eps^0.25").

max\_iter a positive integer specifying the maximum number of iterations for applying the

saddlepoint approximation algorithm (default = "1000").

logical: are only the variants with a score-test-based p-value smaller than a pre-SPA\_p\_filter

specified threshold use the SPA method to recalculate the p-value (default =

FALSE).

p\_filter\_cutoff

threshold for the p-value recalculation using the SPA method (default = 0.05)

#### Value

A data frame containing the score test p-value and the estimated effect size of the minor allele for each individual variant in the given genetic region. The first 4 columns correspond to chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT).

#### References

Chen, H., et al. (2016). Control for population structure and relatedness for binary traits in genetic association studies via logistic mixed models. The American Journal of Human Genetics, 98(4), 653-666. (pub)

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

LD\_pruning 27

 ${\tt LInkage\ disequilibrium\ (LD)\ pruning\ procedure}$ 

#### **Description**

The LD\_pruning function takes in chromosome, the object of opened annotated GDS file, the object from fitting the null model, and a given list of variants to perform LD pruning among these variants in sequential conditional analysis by using score test. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait sequential conditional analysis by leveraging the correlation structure between multiple phenotypes.

#### Usage

```
LD_pruning(
   chr,
   genofile,
   obj_nullmodel,
   variants_list,
   maf_cutoff = 0.01,
   cond_p_thresh = 1e-04,
   method_cond = c("optimal", "naive"),
   QC_label = "annotation/filter",
   variant_type = c("variant", "SNV", "Indel"),
   geno_missing_imputation = c("mean", "minor"),
   geno_position_ascending = TRUE
)
```

## **Arguments**

chr	chromosome.
genofile	an object of opened annotated GDS (aGDS) file.
obj_nullmodel	an object from fitting the null model, which is either the output from fit_nullmodel function, or the output from fitNullModel function in the GENESIS package and transformed using the genesis2staar_nullmodel function.
variants_list	the data frame of variants to be LD-pruned in sequential conditional analysis and should contain 4 columns in the following order: chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT).
maf_cutoff	the cutoff of minimum minor allele frequency in defining individual variants to be LD-pruned (default = $0.01$ ).
cond_p_thresh	the cutoff of maximum conditional p-value allowed for variants to be kept in the LD-pruned list of variants (default = 1e-04).
method_cond	a character value indicating the method for conditional analysis. optimal refers to regressing residuals from the null model on known_loci as well as all covariates used in fitting the null model (fully adjusted) and taking the residuals; naive refers to regressing residuals from the null model on known_loci and taking the residuals (default = optimal).
QC_label	channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").
variant_type	type of variant included in the analysis. Choices include "variant", "SNV", or "Indel" (default = "variant").

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#### Value

A data frame containing the list of LD-pruned variants in the given chromosome.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

ncRNA

Gene-centric analysis of long noncoding RNA (ncRNA) category using STAAR procedure

## Description

The ncRNA function takes in chromosome, gene name, the object of opened annotated GDS file, and the object from fitting the null model to analyze the association between a quantitative/dichotomous phenotype (including imbalanced case-control design) and the exonic and splicing category of an ncRNA gene by using STAAR procedure. For each ncRNA category, the STAAR-O p-value is a p-value from an omnibus test that aggregated SKAT(1,25), SKAT(1,1), Burden(1,25), Burden(1,1), ACAT-V(1,25), and ACAT-V(1,1) together with p-values of each test weighted by each annotation using Cauchy method. For imbalance case-control setting, the results correspond to the STAAR-B p-value, which is a p-value from an omnibus test that aggregated Burden(1,25) and Burden(1,1) together with p-values of each test weighted by each annotation using Cauchy method. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait association p-values (e.g. MultiSTAAR-O) by leveraging the correlation structure between multiple phenotypes. For ancestry-informed analysis, the results correspond to ensemble p-values across base tests, with the option to return a list of base weights and p-values for each base test.

```
ncRNA(
   chr,
   gene_name,
   genofile,
   obj_nullmodel,
   rare_maf_cutoff = 0.01,
   rv_num_cutoff = 2,
   rv_num_cutoff_max = 1e+09,
   rv_num_cutoff_max_prefilter = 1e+09,
   QC_label = "annotation/filter",
   variant_type = c("SNV", "Indel", "variant"),
   geno_missing_imputation = c("mean", "minor"),
   Annotation_dir = "annotation/info/FunctionalAnnotation",
```

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```
Annotation_name_catalog,
      Use_annotation_weights = c(TRUE, FALSE),
      Annotation_name = NULL,
      SPA_p_filter = TRUE,
      p_filter_cutoff = 0.05,
      use_ancestry_informed = FALSE,
      find_weight = FALSE,
      silent = FALSE
    )
Arguments
    chr
                      chromosome.
                      name of the ncRNA gene to be analyzed using STAAR procedure.
    gene_name
    genofile
                      an object of opened annotated GDS (aGDS) file.
    obi_nullmodel
                      an object from fitting the null model, which is either the output from fit_nullmodel
                      function, or the output from fitNullModel function in the GENESIS package
                      and transformed using the genesis2staar_nullmodel function.
    rare_maf_cutoff
                      the cutoff of maximum minor allele frequency in defining rare variants (default
                      = 0.01).
                      the cutoff of minimum number of variants of analyzing a given variant-set (de-
    rv_num_cutoff
                      fault = 2).
    rv_num_cutoff_max
                      the cutoff of maximum number of variants of analyzing a given variant-set (de-
                      fault = 1e+09).
    rv_num_cutoff_max_prefilter
                      the cutoff of maximum number of variants before extracting the genotype matrix
                      (default = 1e+09).
                      channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").
    QC_label
                      type of variant included in the analysis. Choices include "SNV", "Indel", or
    variant_type
                      "variant" (default = "SNV").
    geno_missing_imputation
                      method of handling missing genotypes. Either "mean" or "minor" (default =
                      "mean").
    Annotation_dir channel name of the annotations in the aGDS file
                      (default = "annotation/info/FunctionalAnnotation").
    Annotation_name_catalog
                      a data frame containing the name and the corresponding channel name in the
                      aGDS file.
    Use_annotation_weights
                      use annotations as weights or not (default = TRUE).
```

Annotation\_name

a vector of annotation names used in STAAR (default = NULL).

logical: are only the variants with a normal approximation based p-value smaller than a pre-specified threshold use the SPA method to recalculate the p-value, only used for imbalanced case-control setting (default = TRUE).

p\_filter\_cutoff

SPA\_p\_filter

threshold for the p-value recalculation using the SPA method, only used for imbalanced case-control setting (default = 0.05).

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use\_ancestry\_informed

logical: is ancestry-informed association analysis used to estimate p-values (de-

fault = FALSE).

find\_weight logical: should the ancestry group-specific weights and weighting scenario-

specific p-values for each base test be saved as output (default = FALSE).

silent logical: should the report of error messages be suppressed (default = FALSE).

#### Value

A data frame containing the STAAR p-values (including STAAR-O), or AI-STAAR p-values under ancestry-informed analysis, corresponding to the exonic and splicing category of the given ncRNA gene. If find\_weight is TRUE, returns a list containing the AI-STAAR p-values corresponding to the exonic and splicing category of the given ncRNA gene, as well as the ensemble weights under two sampling scenarios and p-values under scenarios 1, 2, and combined for each base test.

## References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

ncRNA\_cond

Gene-centric conditional analysis of long noncoding RNA (ncRNA) category using STAAR procedure

## Description

The ncRNA\_cond function takes in chromosome, gene name, the object of opened annotated GDS file, the object from fitting the null model, and the set of known variants to be adjusted for in conditional analysis to analyze the conditional association between a quantitative/dichotomous phenotype and the exonic and splicing category of an ncRNA gene by using STAAR procedure. For each ncRNA category, the conditional STAAR-O p-value is a p-value from an omnibus test that aggregated conditional SKAT(1,25), SKAT(1,1), Burden(1,25), Burden(1,1), ACAT-V(1,25), and ACAT-V(1,1) together with conditional p-values of each test weighted by each annotation using Cauchy method. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait conditional p-values (e.g. conditional MultiSTAAR-O) by leveraging the correlation structure between multiple phenotypes.

```
ncRNA_cond(
  chr,
  gene_name,
  genofile,
  obj_nullmodel,
  known_loci = NULL,
  rare_maf_cutoff = 0.01,
  rv_num_cutoff = 2,
  rv_num_cutoff_max = 1e+09,
```

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```
rv_num_cutoff_max_prefilter = 1e+09,
method_cond = c("optimal", "naive"),
QC_label = "annotation/filter",
variant_type = c("SNV", "Indel", "variant"),
geno_missing_imputation = c("mean", "minor"),
Annotation_dir = "annotation/info/FunctionalAnnotation",
Annotation_name_catalog,
Use_annotation_weights = c(TRUE, FALSE),
Annotation_name = NULL
)
```

## Arguments

chr chromosome.

gene\_name name of the ncRNA gene to be analyzed using STAAR procedure.

genofile an object of opened annotated GDS (aGDS) file.

obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel

function, or the output from fitNullModel function in the GENESIS package

and transformed using the genesis2staar\_nullmodel function.

known\_loci the data frame of variants to be adjusted for in conditional analysis and should

contain 4 columns in the following order: chromosome (chr), position (pos),

reference allele (ref), and alternative allele (alt) (default = NULL).

rare\_maf\_cutoff

the cutoff of maximum minor allele frequency in defining rare variants (default

= 0.01).

rv\_num\_cutoff the cutoff of minimum number of variants of analyzing a given variant-set (de-

fault = 2).

rv\_num\_cutoff\_max

the cutoff of maximum number of variants of analyzing a given variant-set (default = 1e+09).

rv\_num\_cutoff\_max\_prefilter

the cutoff of maximum number of variants before extracting the genotype matrix

(default = 1e+09).

method\_cond a character value indicating the method for conditional analysis. optimal refers

to regressing residuals from the null model on known\_loci as well as all covariates used in fitting the null model (fully adjusted) and taking the residuals; naive refers to regressing residuals from the null model on known\_loci and

taking the residuals (default = optimal).

QC\_label channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").

variant\_type type of variant included in the analysis. Choices include "SNV", "Indel", or

"variant" (default = "SNV").

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default = "mean").

Annotation\_dir channel name of the annotations in the aGDS file

(default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the aGDS file.

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#### Value

A data frame containing the conditional STAAR p-values (including STAAR-O) corresponding to the exonic and splicing category of the given ncRNA gene.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Sofer, T., et al. (2019). A fully adjusted two-stage procedure for rank-normalization in genetic association studies. *Genetic Epidemiology*, 43(3), 263-275. (pub)

ncRNA\_cond\_spa

Gene-centric conditional analysis of long noncoding RNA (ncRNA) category using STAAR procedure for imbalance case-control setting

## Description

The ncRNA\_cond\_spa function takes in chromosome, gene name, the object of opened annotated GDS file, and the object from fitting the null model to analyze the association between an imbalanced case-control phenotype and the exonic and splicing category of an ncRNA gene by using STAAR procedure. For each ncRNA category, the conditional STAAR-B p-value is a p-value from an omnibus test that aggregated conditional Burden(1,25) and Burden(1,1), together with conditional p-values of each test weighted by each annotation using Cauchy method.

```
ncRNA_cond_spa(
  chr,
  gene_name,
  genofile,
  obj_nullmodel,
  known_loci,
  rare_maf_cutoff = 0.01,
  rv_num_cutoff = 2,
  rv_num_cutoff_max = 1e+09,
  rv_num_cutoff_max_prefilter = 1e+09,
  QC_label = "annotation/filter",
  variant_type = c("SNV", "Indel", "variant"),
  geno_missing_imputation = c("mean", "minor"),
  Annotation_dir = "annotation/info/FunctionalAnnotation",
  Annotation_name_catalog,
 Use_annotation_weights = c(TRUE, FALSE),
```

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```
Annotation_name = NULL,
   SPA_p_filter = FALSE,
   p_filter_cutoff = 0.05,
   silent = FALSE
)
```

## **Arguments**

chr chromosome.

gene\_name name of the ncRNA gene to be analyzed using STAAR procedure.

genofile an object of opened annotated GDS (aGDS) file.

obj\_nullmodel an object from fitting the null model, which is either the output from fit\_nullmodel

function, or the output from fitNullModel function in the GENESIS package

and transformed using the genesis2staar\_nullmodel function.

known\_loci the data frame of variants to be adjusted for in conditional analysis and should

contain 4 columns in the following order: chromosome (CHR), position (POS),

reference allele (REF), and alternative allele (ALT) (default = NULL).

rare\_maf\_cutoff

the cutoff of maximum minor allele frequency in defining rare variants (default

= 0.01).

rv\_num\_cutoff the cutoff of minimum number of variants of analyzing a given variant-set (de-

fault = 2).

rv\_num\_cutoff\_max

the cutoff of maximum number of variants of analyzing a given variant-set (de-

fault = 1e+09).

rv\_num\_cutoff\_max\_prefilter

the cutoff of maximum number of variants before extracting the genotype matrix

(default = 1e+09).

QC\_label channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").

variant\_type type of variant included in the analysis. Choices include "SNV", "Indel", or

"variant" (default = "SNV").

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default =

"mean").

(default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the

aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation\_name

a vector of annotation names used in STAAR (default = NULL).

SPA\_p\_filter logical: are only the variants with a normal approximation based p-value smaller

than a pre-specified threshold use the SPA method to recalculate the p-value,

only used for imbalanced case-control setting (default = FALSE).

p\_filter\_cutoff

threshold for the p-value recalculation using the SPA method, only used for

imbalanced case-control setting (default = 0.05).

silent logical: should the report of error messages be suppressed (default = FALSE).

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#### Value

A data frame containing the STAAR p-values (including STAAR-O) corresponding to the exonic and splicing category of the given ncRNA gene.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Sliding\_Window

Genetic region analysis of sliding windows using STAAR procedure

#### **Description**

The Sliding\_Window function takes in chromosome, starting location, ending location, sliding window length, the object of opened annotated GDS file, and the object from fitting the null model to analyze the association between a quantitative/dichotomous phenotype (including imbalanced case-control design) and variants in a genetic region by using STAAR procedure. For each sliding window, the STAAR-O p-value is a p-value from an omnibus test that aggregated SKAT(1,25), SKAT(1,1), Burden(1,25), Burden(1,1), ACAT-V(1,25), and ACAT-V(1,1) together with p-values of each test weighted by each annotation using Cauchy method. For imbalance case-control setting, the results correspond to the STAAR-B p-value, which is a p-value from an omnibus test that aggregated Burden(1,25) and Burden(1,1) together with p-values of each test weighted by each annotation using Cauchy method. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait association p-values (e.g. MultiSTAAR-O) by leveraging the correlation structure between multiple phenotypes. For ancestry-informed analysis, the results correspond to ensemble p-values across base tests, with the option to return a list of base weights and p-values for each base test.

```
Sliding_Window(
  chr,
  start_loc,
  end_loc,
  sliding_window_length = 2000,
  type = c("single", "multiple"),
  genofile,
  obj_nullmodel,
  rare_maf_cutoff = 0.01,
  rv_num_cutoff = 2,
  rv_num_cutoff_max = 1e+09,
  rv_num_cutoff_max_prefilter = 1e+09,
  QC_label = "annotation/filter",
  variant_type = c("SNV", "Indel", "variant"),
  geno_missing_imputation = c("mean", "minor"),
  Annotation_dir = "annotation/info/FunctionalAnnotation",
```

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```
Annotation_name_catalog,
      Use_annotation_weights = c(TRUE, FALSE),
      Annotation_name = NULL,
      SPA_p_filter = TRUE,
      p_filter_cutoff = 0.05,
      use_ancestry_informed = FALSE,
      find_weight = FALSE,
      silent = FALSE
    )
Arguments
    chr
                      chromosome.
                      starting location (position) of the genetic region to be analyzed using STAAR
    start_loc
                      procedure.
                      ending location (position) of the genetic region to be analyzed using STAAR
    end_loc
                      procedure.
    sliding_window_length
                      the (fixed) length of the sliding window to be analyzed using STAAR procedure.
    type
                      the type of sliding window to be analyzed using STAAR procedure. Choices
                      include single, multiple (default = single).
                      an object of opened annotated GDS (aGDS) file.
    genofile
                      an object from fitting the null model, which is either the output from fit_nullmodel
    obj_nullmodel
                      function, or the output from fitNullModel function in the GENESIS package
                      and transformed using the genesis2staar_nullmodel function.
    rare_maf_cutoff
                      the cutoff of maximum minor allele frequency in defining rare variants (default
                      = 0.01).
                      the cutoff of minimum number of variants of analyzing a given variant-set (de-
    rv_num_cutoff
                      fault = 2).
    rv_num_cutoff_max
                      the cutoff of maximum number of variants of analyzing a given variant-set (de-
                      fault = 1e+09).
    rv_num_cutoff_max_prefilter
                      the cutoff of maximum number of variants before extracting the genotype matrix
                      (default = 1e+09).
                      channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").
    QC_label
                      type of variant included in the analysis. Choices include "SNV", "Indel", or
    variant_type
```

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default = "mean").

Annotation\_dir channel name of the annotations in the aGDS file (default = "annotation/info/FunctionalAnnotation").

"variant" (default = "SNV").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation\_name

a vector of annotation names used in STAAR (default = NULL).

SPA\_p\_filter

logical: are only the variants with a normal approximation based p-value smaller than a pre-specified threshold use the SPA method to recalculate the p-value, only used for imbalanced case-control setting (default = TRUE).

p\_filter\_cutoff

threshold for the p-value recalculation using the SPA method, only used for imbalanced case-control setting (default = 0.05).

 ${\tt use\_ancestry\_informed}$ 

logical: is ancestry-informed association analysis used to estimate p-values (default = FALSE).

find\_weight

logical: should the ancestry group-specific weights and weighting scenario-specific p-values for each base test be saved as output (default = FALSE).

silent logical: should the report of error messages be suppressed (default = FALSE).

#### Value

A data frame containing the STAAR p-values (including STAAR-O or STAAR-B in imbalanced case-control setting), or AI-STAAR p-values under ancestry-informed analysis, corresponding to each sliding window in the given genetic region. If find\_weight is TRUE, returns a list containing the AI-STAAR p-values corresponding to each sliding window in the given genetic region, as well as the ensemble weights under two sampling scenarios and p-values under scenarios 1, 2, and combined for each base test.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Sliding\_Window\_cond

Genetic region conditional analysis of sliding windows using STAAR procedure

## **Description**

The Sliding\_Window\_cond function takes in chromosome, starting location, ending location, the object of opened annotated GDS file, the object from fitting the null model, and the set of known variants to be adjusted for in conditional analysis to analyze the conditional association between a quantitative/dichotomous phenotype and variants in a genetic region by using STAAR procedure. For each sliding window, the conditional STAAR-O p-value is a p-value from an omnibus test that aggregated conditional SKAT(1,25), SKAT(1,1), Burden(1,25), Burden(1,1), ACAT-V(1,25), and ACAT-V(1,1) together with conditional p-values of each test weighted by each annotation using Cauchy method. For multiple phenotype analysis (obj\_nullmodel\$n.pheno > 1), the results correspond to multi-trait conditional p-values (e.g. conditional MultiSTAAR-O) by leveraging the correlation structure between multiple phenotypes.

## Usage

```
Sliding_Window_cond(
 chr,
 start_loc,
 end_loc,
 genofile,
 obj_nullmodel,
 known_loci = NULL,
 rare_maf_cutoff = 0.01,
 rv_num_cutoff = 2,
 rv_num_cutoff_max = 1e+09,
 rv_num_cutoff_max_prefilter = 1e+09,
 method_cond = c("optimal", "naive"),
 QC_label = "annotation/filter",
 variant_type = c("SNV", "Indel", "variant"),
 geno_missing_imputation = c("mean", "minor"),
 Annotation_dir = "annotation/info/FunctionalAnnotation",
 Annotation_name_catalog,
 Use_annotation_weights = c(TRUE, FALSE),
 Annotation_name = NULL
)
```

## **Arguments**

chr	chromosome.	
start_loc	starting location (position) of the sliding window to be analyzed using STAAR procedure.	
end_loc	ending location (position) of the sliding window to be analyzed using STAAR procedure.	
genofile	an object of opened annotated GDS (aGDS) file.	
obj_nullmodel	an object from fitting the null model, which is either the output from fit_nullmodel function, or the output from fitNullModel function in the GENESIS package and transformed using the genesis2staar_nullmodel function.	
known_loci	the data frame of variants to be adjusted for in conditional analysis and should contain 4 columns in the following order: chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT) (default = NULL).	
rare_maf_cutoff		
	the cutoff of maximum minor allele frequency in defining rare variants (default $= 0.01$ ).	
rv_num_cutoff	the cutoff of minimum number of variants of analyzing a given variant-set (default = 2).	
rv_num_cutoff_max		
	the cutoff of maximum number of variants of analyzing a given variant-set (default = 1e+09).	
rv_num_cutoff_max_prefilter		
	the cutoff of maximum number of variants before extracting the genotype matrix (default = 1e+09).	
method_cond	a character value indicating the method for conditional analysis. optimal refers to regressing residuals from the null model on known_loci as well as all co-	

variates used in fitting the null model (fully adjusted) and taking the residuals;

naive refers to regressing residuals from the null model on known\_loci and taking the residuals (default = optimal).

QC\_label channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").

variant\_type type of variant included in the analysis. Choices include "SNV", "Indel", or "variant" (default = "SNV").

 ${\tt geno\_missing\_imputation}$ 

method of handling missing genotypes. Either "mean" or "minor" (default = "mean").

Annotation\_dir channel name of the annotations in the aGDS file

(default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation\_name

a vector of annotation names used in STAAR (default = NULL).

#### Value

A data frame containing the conditional STAAR p-values (including STAAR-O) corresponding to the sliding window in the given genetic region.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, *52*(9), 969-983. (pub)

Sofer, T., et al. (2019). A fully adjusted two-stage procedure for rank-normalization in genetic association studies. *Genetic Epidemiology*, 43(3), 263-275. (pub)

Sliding\_Window\_cond\_spa

Genetic region conditional analysis of sliding windows using STAAR procedure for imbalanced case-control setting

## **Description**

The Sliding\_Window\_cond\_spa function takes in chromosome, starting location, ending location, the object of opened annotated GDS file, the object from fitting the null model, and the set of known variants to be adjusted for in conditional analysis to analyze the conditional association between an imbalanced case-control phenotype and variants in a genetic region by using STAAR procedure. For each sliding window, the conditional STAAR-B p-value is a p-value from an omnibus test that aggregated conditional Burden(1,25) and Burden(1,1), together with conditional p-values of each test weighted by each annotation using Cauchy method.

#### Usage

```
Sliding_Window_cond_spa(
 chr,
 start_loc,
 end_loc,
 genofile,
 obj_nullmodel,
 known_loci = NULL,
 rare_maf_cutoff = 0.01,
 rv_num_cutoff = 2,
 rv_num_cutoff_max = 1e+09,
 rv_num_cutoff_max_prefilter = 1e+09,
 QC_label = "annotation/filter",
 variant_type = c("SNV", "Indel", "variant"),
 geno_missing_imputation = c("mean", "minor"),
 Annotation_dir = "annotation/info/FunctionalAnnotation",
 Annotation_name_catalog,
 Use_annotation_weights = c(TRUE, FALSE),
 Annotation_name = NULL,
 SPA_p_filter = FALSE,
 p_filter_cutoff = 0.05
```

## **Arguments**

chr	chromosome.	
start_loc	starting location (position) of the sliding window to be analyzed using STAAR procedure.	
end_loc	ending location (position) of the sliding window to be analyzed using STAAR procedure.	
genofile	an object of opened annotated GDS (aGDS) file.	
obj_nullmodel	an object from fitting the null model, which is either the output from fit_nullmodel function, or the output from fitNullModel function in the GENESIS package and transformed using the genesis2staar_nullmodel function.	
known_loci	the data frame of variants to be adjusted for in conditional analysis and should contain 4 columns in the following order: chromosome (CHR), position (POS), reference allele (REF), and alternative allele (ALT) (default = NULL).	
rare_maf_cutoff		
	the cutoff of maximum minor allele frequency in defining rare variants (default $= 0.01$ ).	
rv_num_cutoff	the cutoff of minimum number of variants of analyzing a given variant-set (default = 2).	
rv_num_cutoff_max		
	the cutoff of maximum number of variants of analyzing a given variant-set (default = $1e+09$ ).	
rv_num_cutoff_max_prefilter		
	the cutoff of maximum number of variants before extracting the genotype matrix (default = 1e+09).	
QC_label	channel name of the QC label in the GDS/aGDS file (default = "annotation/filter").	

variant\_type type of variant included in the analysis. Choices include "SNV", "Indel", or "variant" (default = "SNV").

geno\_missing\_imputation

method of handling missing genotypes. Either "mean" or "minor" (default = "mean").

Annotation\_dir channel name of the annotations in the aGDS file (default = "annotation/info/FunctionalAnnotation").

Annotation\_name\_catalog

a data frame containing the name and the corresponding channel name in the aGDS file.

Use\_annotation\_weights

use annotations as weights or not (default = TRUE).

Annotation\_name

a vector of annotation names used in STAAR (default = NULL).

SPA\_p\_filter logical: are only the variants with a normal approximation based p-value smaller than a pre-specified threshold use the SPA method to recalculate the p-value, only used for imbalanced case-control setting (default = FALSE).

p\_filter\_cutoff

threshold for the p-value recalculation using the SPA method, only used for imbalanced case-control setting (default = 0.05).

#### Value

A data frame containing the conditional STAAR p-values (including STAAR-B) corresponding to the sliding window in the given genetic region.

#### References

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Sofer, T., et al. (2019). A fully adjusted two-stage procedure for rank-normalization in genetic association studies. *Genetic Epidemiology*, 43(3), 263-275. (pub)

staar2aistaar\_nullmodel

Transforming the null model object fitted for STAAR to the null model object to be used for the ancestry-informed (AI) framework.

## **Description**

The staar2aistaar\_nullmodel function takes in the object from fitting the null model for STAAR analyses and transforms it to the object from fitting the null model to be used for AI framework.

staar2scang\_nullmodel

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#### Usage

```
staar2aistaar_nullmodel(
  obj_nullmodel_staar,
  pop.groups = NULL,
  B = NULL,
  seed = 7590
)
```

## **Arguments**

obj\_nullmodel\_staar

an object from fitting the null model, which is the output from fit\_nullmodel

function in the STAAR package.

pop.groups a vector of defined ancestries for all individuals.

B a positive numerical value for the number of base tests for ancestry-informed

ensemble testing.

seed a numerical value to set the initial seed for generating ensemble weights.

#### Value

An object from fitting the null model for related samples to be used for the AI framework, which is an option for output from fit\_nullmodel function.

#### References

Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)

Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)

staar2scang\_nullmodel Transforming the null model object fitted using STAAR to the null model object to be used for SCANG-STAAR

## Description

The staar2scang\_nullmodel function takes in the object from fitting the null model and transforms it to the object from fitting the null model to be used for SCANG-STAAR procedure.

## Usage

```
staar2scang_nullmodel(obj_nullmodel)
```

## Arguments

obj\_nullmodel

an object from fitting the null model, which is either the output from fit\_nullmodel function, or the output from fitNullModel function in the GENESIS package and transformed using the genesis2staar\_nullmodel function.

#### Value

An object from fitting the null model for related samples to be used for SCANG-STAAR procedure, which is the output from fit\_null\_glmmkin\_SCANG function for related samples in the SCANG package.

## References

- Li, Z., Li, X., et al. (2022). A framework for detecting noncoding rare-variant associations of large-scale whole-genome sequencing studies. *Nature Methods*, 19(12), 1599-1611. (pub)
- Li, X., Li, Z., et al. (2020). Dynamic incorporation of multiple in silico functional annotations empowers rare variant association analysis of large whole-genome sequencing studies at scale. *Nature Genetics*, 52(9), 969-983. (pub)
- Li, Z., Li, X., et al. (2019). Dynamic scan procedure for detecting rare-variant association regions in whole-genome sequencing studies. *The American Journal of Human Genetics*, 104(5), 802-814. (pub)

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