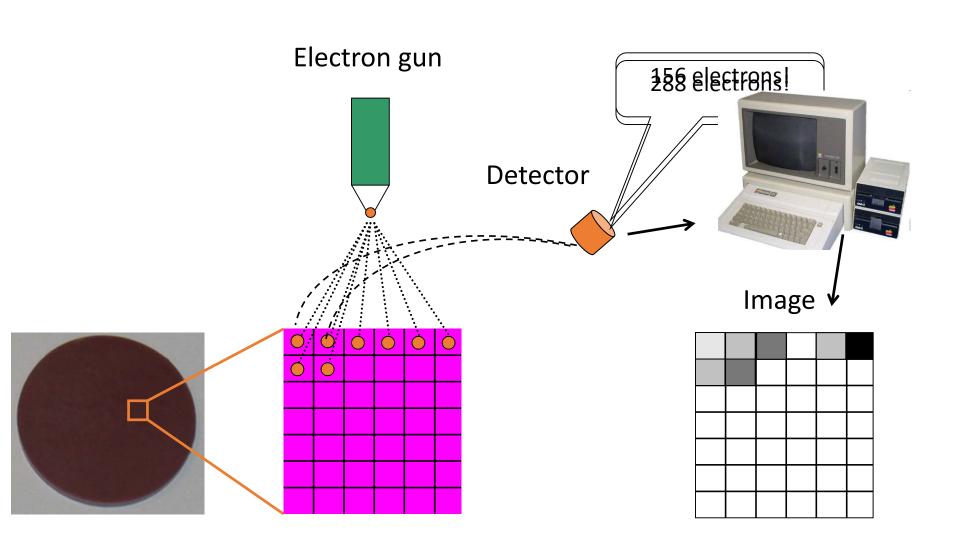
上周课程回顾

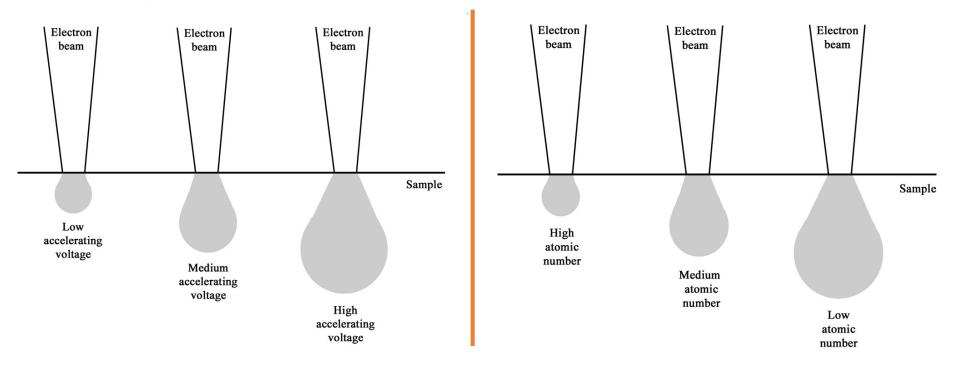
- 表征技术的意义:
 - 解析
 - 理解
 - 鉴定
 - 操控
- 表征技术的三要素
 - Probe (Incident particles)
 - Probe-sample interactions
 - Response (outgoing particles)
- 表征技术的分类
- SEM的用途
 - Topography and morphology
 - Chemistry
 - In situ imaging

How do we get an image?

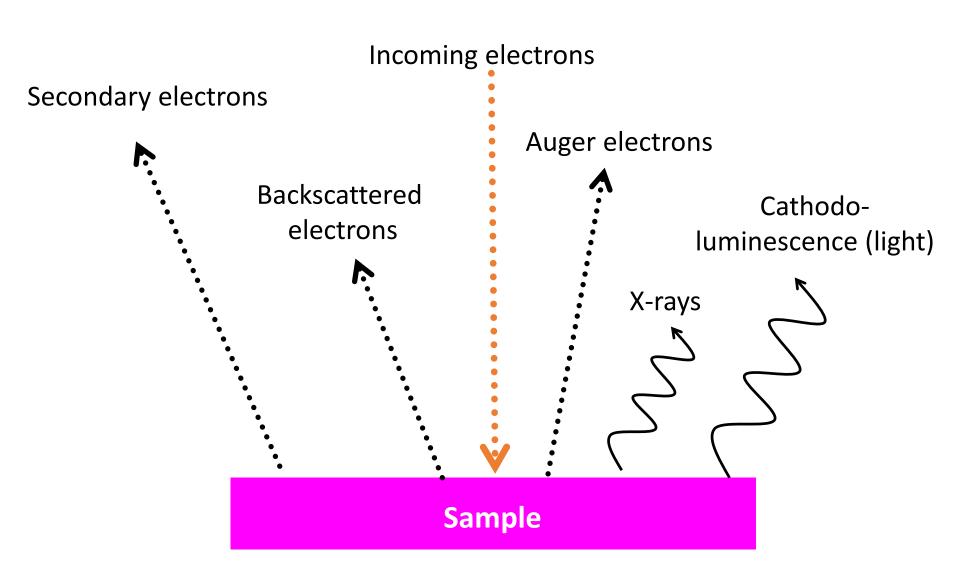


Electron beam-sample interactions

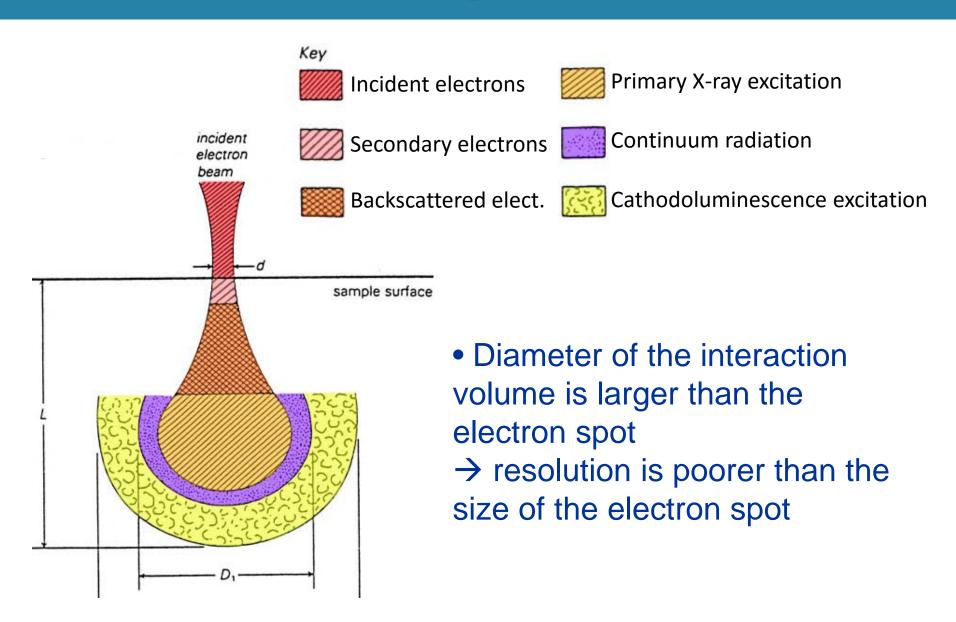
- The incident electron beam is scattered in the sample, both elastically and inelastically
- This gives rise to various signals that we can detect (more on next slide)
- Interaction volume increases with increasing acceleration voltage and decreases with increasing atomic number



Signals from the sample

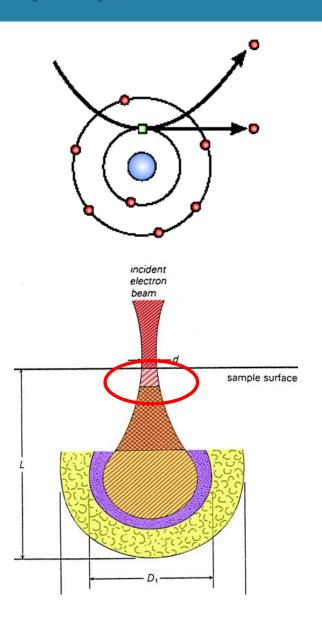


Where do the signals come from?



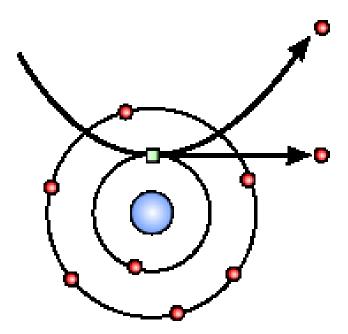
Secondary electrons (SE)

- Generated from the collision between the incoming electrons and the loosely bonded outer electrons
- Low energy electrons (~10-50 eV)
- Only SE generated close to surface escape (topographic information is obtained)
- Number of SE is greater than the number of incoming electrons
- We differentiate between SE1 and SE2



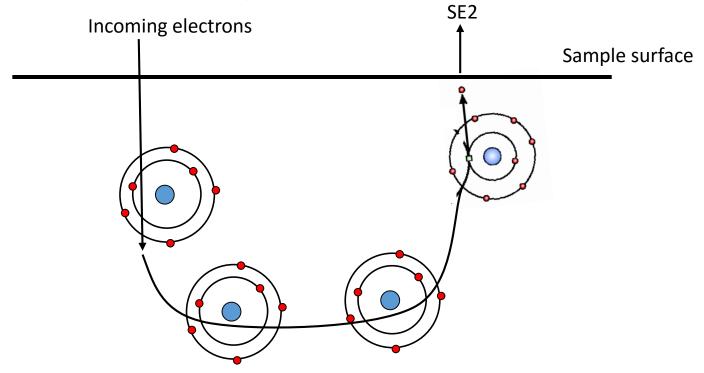
SE₁

- The secondary electrons that are generated by the incoming electron beam as they enter the surface
- High resolution signal with a resolution which is only limited by the electron beam diameter



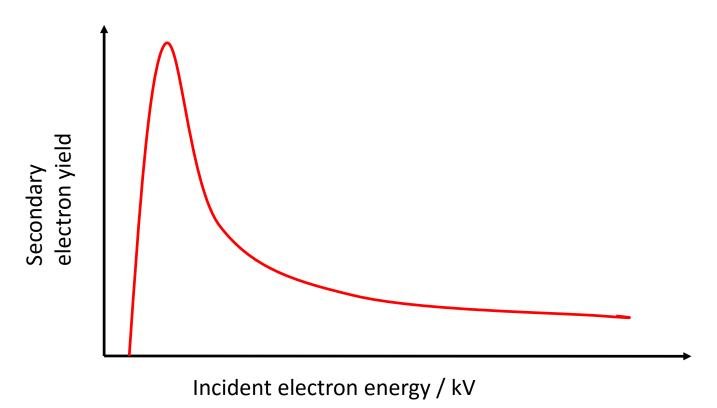
SE₂

- The secondary electrons that are generated by the backscattered electrons that have returned to the surface after several inelastic scattering events
- SE2 come from a surface area that is bigger than the spot from the incoming electrons → resolution is poorer than for SE1 exclusively



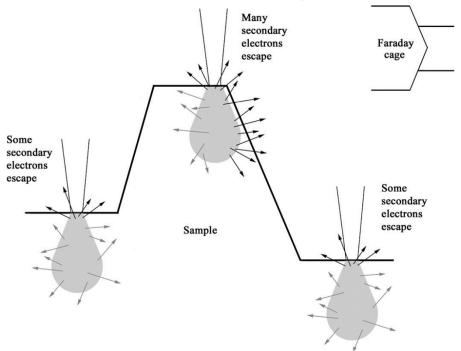
Factors that affect SE emission

- Work function of the surface
- Beam energy and beam current
 - Electron yield goes through a maximum at low acc. voltage, then decreases with increasing acc. voltage



Factors that affect SE emission

- Atomic number (Z)
 - More SE2 are created with increasing Z
 - The Z-dependence is more pronounced at lower beam energies
- The local curvature of the surface (the most important factor – morphology contrast)

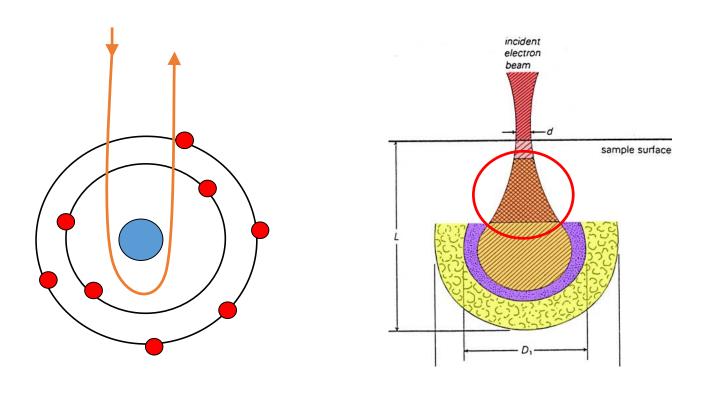


High resolution image setup

- By placing the secondary electron detector inside the lens, mainly SE1 are detected
 - Resolution of 1 2 nm is possible

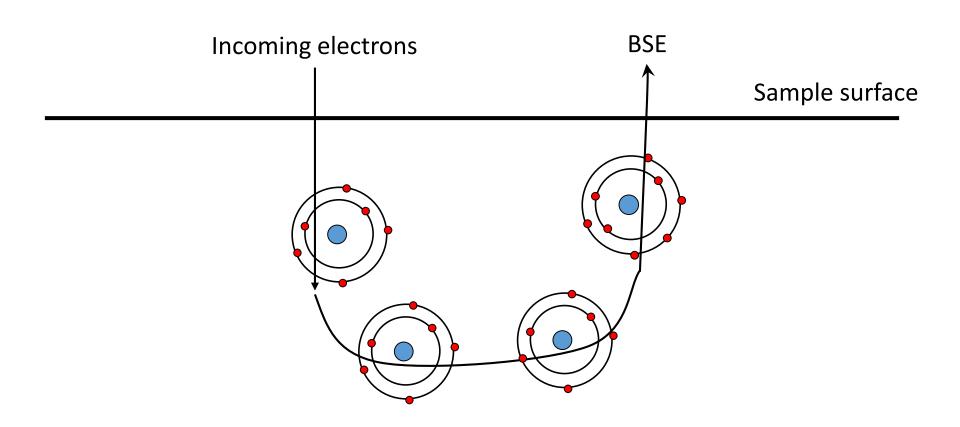
Backscattered electrons (BSE)

 A fraction of the incident electrons is retarded by the electro-magnetic field of the nucleus and if the scattering angle is greater than 180° the electron can escape from the surface



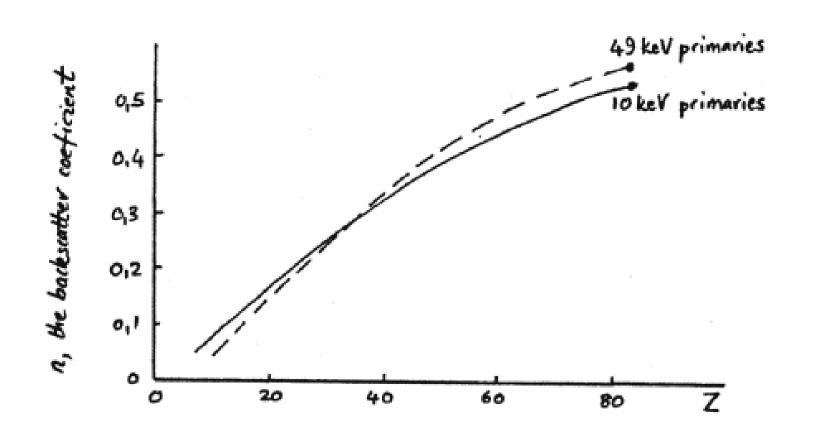
Backscattered electrons (BSE)

- High energy electrons (elastic scattering)
- Fewer BSE than SE



BSE as a function of atomic number

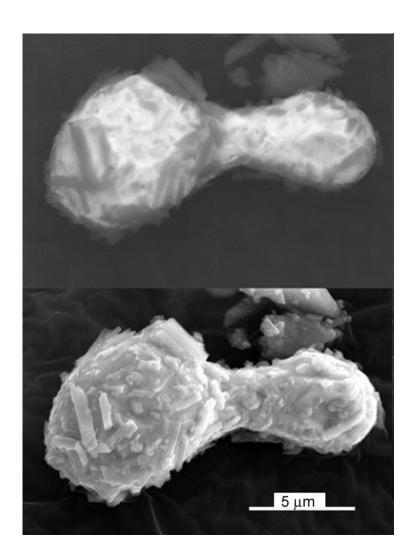
 For phases containing more than one element, it is the average atomic number that determines the backscatter coefficient



Factors that affect BSE emission

- Direction of the irritated surface
 - more electrons will hit the BSE detector when the surface is aligned towards the BSE detector
- Average atomic number
- When you want to study differences in atomic numbers, the sample should be as levelled as possible (sample preparation is an issue!)

BSE vs SE

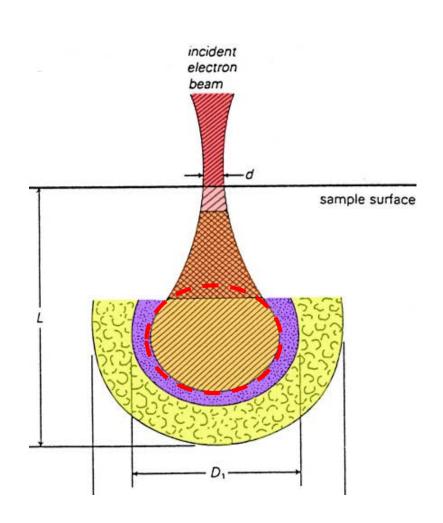


BSE

SE

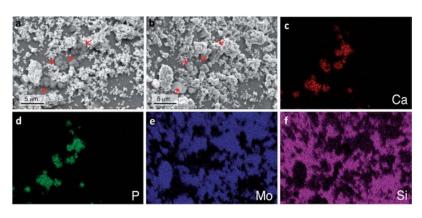
X-rays

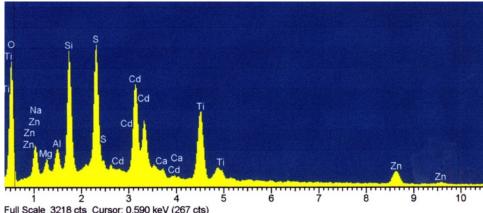
- Photons not electrons
- Each element has a fingerprint X-ray signal
- Poorer spatial resolution than BSE and SE
- Relatively few X-ray signals are emitted and the detector is inefficient
 - relatively long signal collecting times are needed



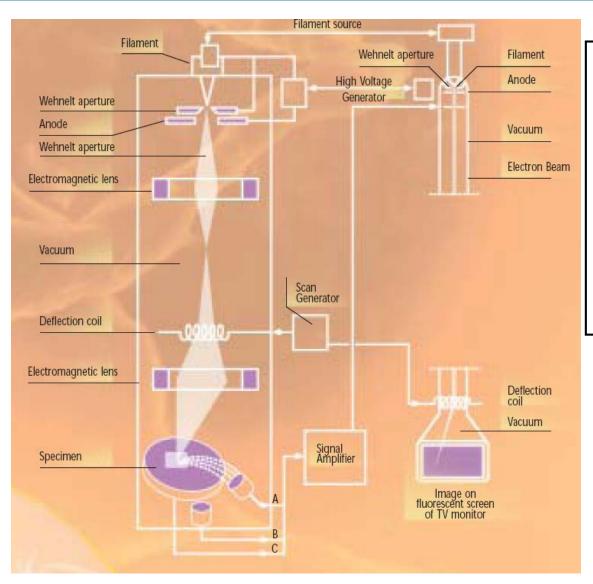
X-rays

- Most common spectrometer: EDS (energy-dispersive spectrometer)
- Signal overlap can be a problem
- We can analyze our sample in different modes
 - spot analysis
 - line scan
 - chemical concentration map (elemental mapping)
- Statistics
 - Signal-to-noise ratio
- Drift in electron beam with time
- Build-up of a carbonaceous contamination film after extended periods of electron probe irradiation





Components of the instrument



- electron gun (filament)
- electromagnetic optics
- scan coils
- sample stage
- detectors
- vacuum system
- computer hardware and software (not trivial!!)



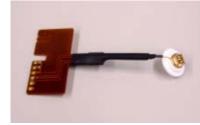
Accessories

Accessories on Quanta 200:

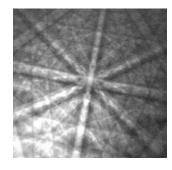
- GAD Gaseous Analytical Detector
 - → for X-ray analysis in gaseous environments
- GSED Gaseous Secondary Electron Detector
 - \rightarrow 500 µm aperture, allowing 20 Torr chamber pressure
- Hot stage GSED
 - \rightarrow Must be used at temperatures above 500 $^{\circ}$ C
- EBSD Electron Backscatter Diffraction
 - → Grain orientation, grain and subgrain structures, phase identification, micro textures
- $^{\circ}$ Hot stages 1000 $^{\circ}$ C and 1500 $^{\circ}$ C
- ETD Everhart-Thornley Detector
 - → Secondary electron detector
- LFD Large Field Detector
 - → used in low vacuum and ESEM mode (SE)
- SSD-BSD Solid State Backscattered Detector
 - → Backscatter electrons
- EDS Energy dispersive spectroscopy
 - → X-ray analysis









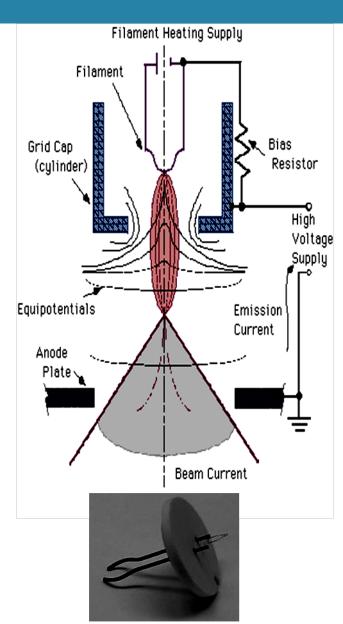






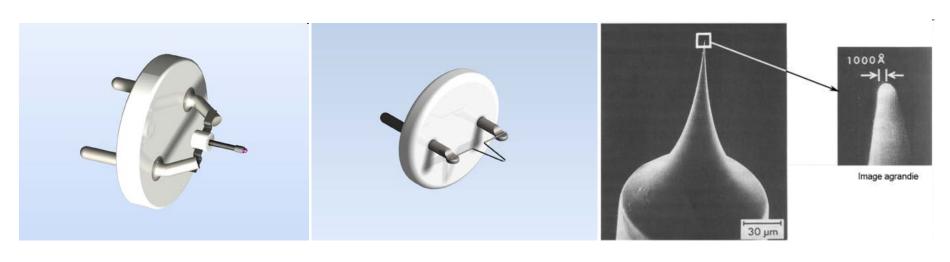
Electron guns

- We want many electrons per time unit per area (high current density) and as small electron spot as possible
- Traditional guns: thermionic electron gun (electrons are emitted when a solid is heated)
 - W-wire, LaB₆-crystal
- Modern: field emission guns (FEG) (cold guns, a strong electric field is used to extract electrons)
 - Single crystal of W, etched to a thin tip



Electron guns

- With field emission guns we get a smaller spot and higher current densities compared to thermionic guns
- Vacuum requirements are tougher for a field emission guns



Single crystal of LaB₆

Tungsten wire

Field emission tip

Why do we need vacuum?

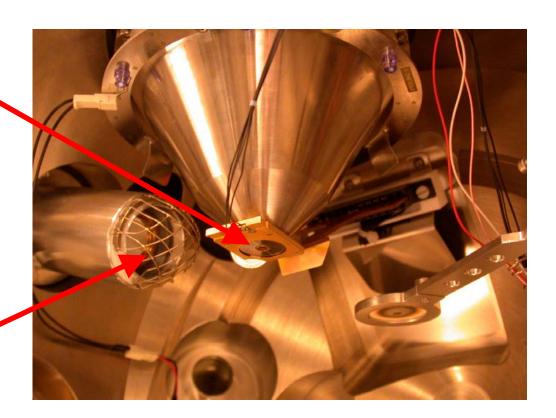
- Chemical (corrosion!!) and thermal stability is necessary for a well-functioning filament (gun pressure)
 - A field emission gun requires ~ 10⁻¹⁰ Torr
 - LaB₆: $\sim 10^{-6}$ Torr

- The signal electrons must travel from the sample to the detector (chamber pressure)
 - Vacuum requirements is dependant on the type of detector

Detectors

Backscattered electron detector: (Solid-State Detector)

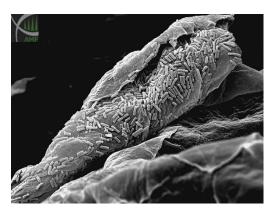
Secondary electron detector: (Everhart-Thornley)



- Secondary electrons: Everhart-Thornley Detector
- Backscattered electrons: Solid State Detector
- X-rays: Energy dispersive spectrometer (EDS)

Environmental SEM: ESEM

- Traditional SEM chamber pressure: ~10⁻⁶ Torr
- ESEM: 0.08 30 Torr
- Various gases can be used
- Requires different SE detector







Why ESEM?

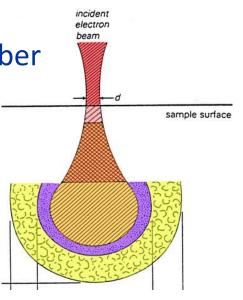
- To image challenging samples such as:
 - insulating samples
 - vacuum-sensitive samples (e.g. biological samples)
 - irradiation-sensitive samples (e.g. thin organic films)
 - "wet" samples (oily, dirty, greasy)
- To study and image chemical and physical processes in-situ such as:
 - mechanical stress-testing
 - oxidation of metals
 - hydration/dehydration (e.g. watching paint dry)

Some comments on resolution

- Best resolution that can be obtained: size of the electron spot on the sample surface
 - The introduction of FEG has dramatically improved the resolution of SEM
- The volume from which the signal electrons are formed defines the resolution
 - SE image has higher resolution than a BSE image
- Scanning speed:
 - a weak signal requires slow speed to improve signalto-noise ratio
 - when doing a slow scan drift in the electron beam can affect the accuracy of the analysis

Summary

- Signals:
 - Secondary electrons (SE): mainly topography
 - Low energy electrons, high resolution
 - Surface signal dependent on curvature
 - Backscattered electrons (BSE): mainly chemistry
 - High energy electrons
 - "Bulk" signal dependent on atomic number
 - X-rays: chemistry
 - Longer recording times are needed



Summary

- The scanning electron microscope is a versatile instrument that can be used for many purposes and can be equipped with various accessories
- An electron probe is scanned across the surface of the sample, and detectors interpret the signal as a function of time
- A resolution of 1 2 nm can be obtained when operated in a high resolution setup
- The introduction of ESEM and the field emission gun have simplified the imaging of challenging samples

Next class

- SEM experiment
- Provide your samples, e.g. your hair, or anything curious

 needs to be dry and solid-state; Other samples in your
 research solid state, basically conductive
- 助教: 童安宇
- 综合科研楼125室(一楼)
- 1:00-1:30: 第1、2组
- 1:30-2:00: 第3、4组
- 2:00-2:30: 第5、6组
- 2:30-3:00: 第7、8组