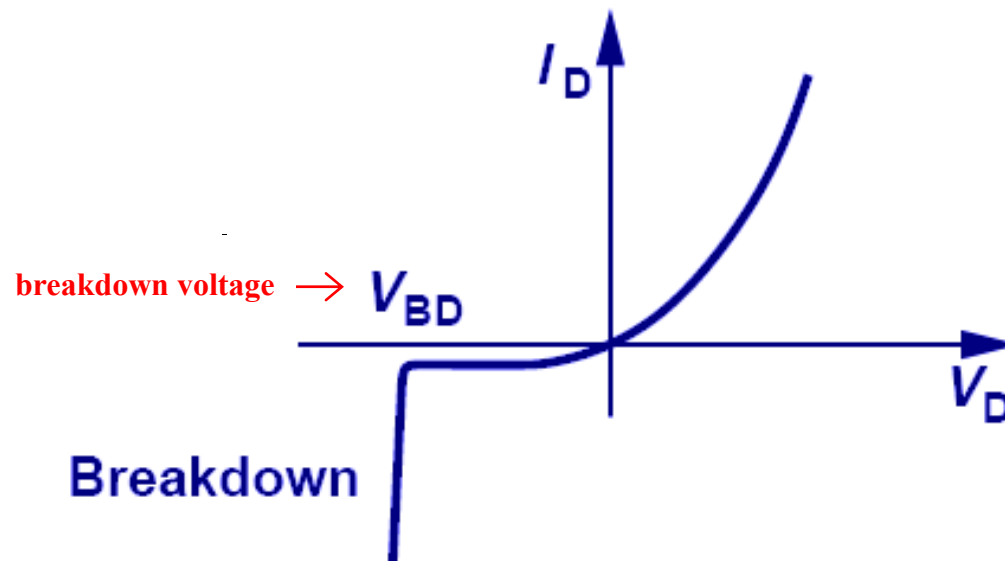


Reverse Breakdown

- As the reverse bias voltage increases, the electric field in the depletion region increases. Eventually, it can become large enough to cause the junction to break down so that a large reverse current flows:



Reverse Breakdown Mechanisms

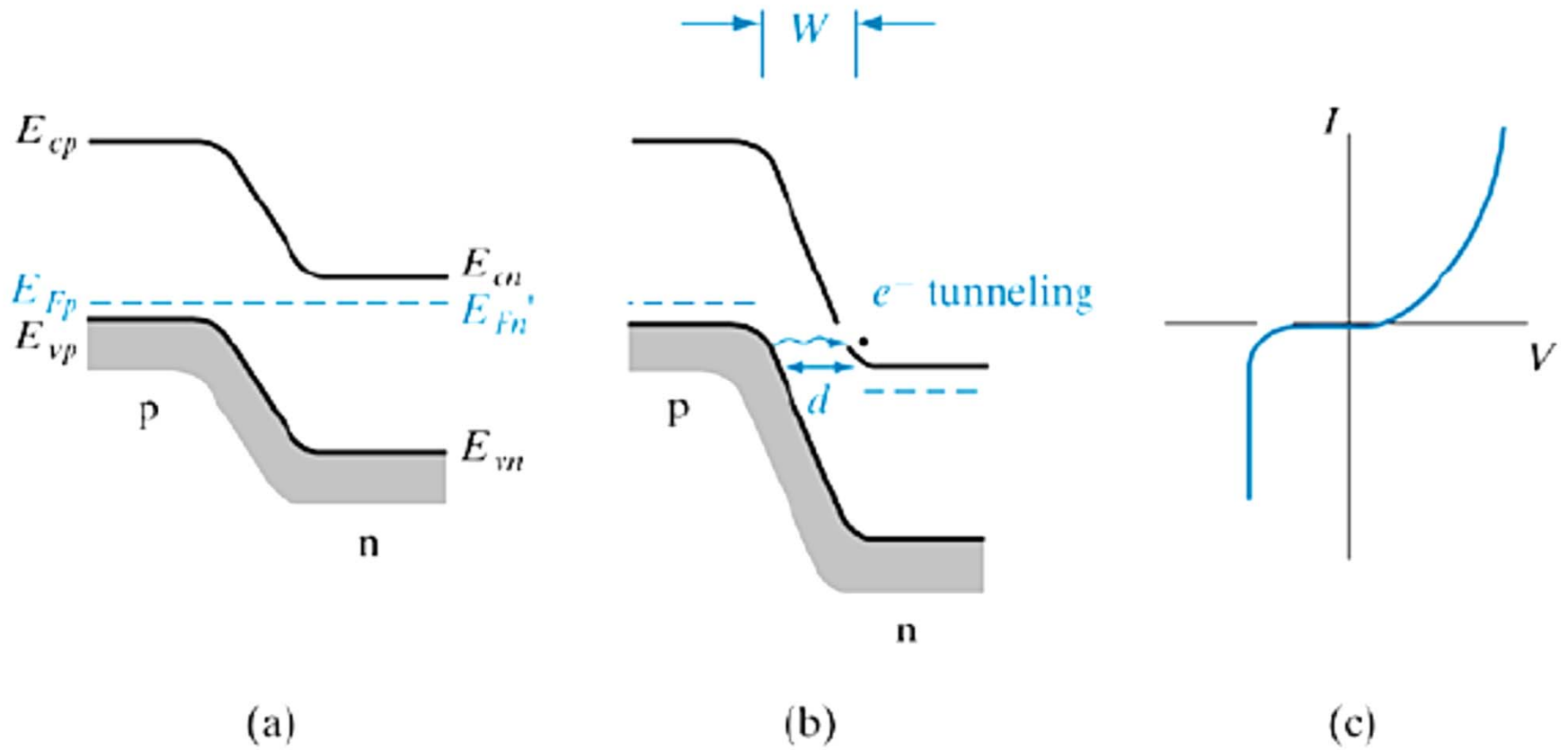
Zener breakdown

occurs when the electric field is sufficiently high to pull an electron out of a covalent bond (to generate an electron-hole pair).

Avalanche breakdown

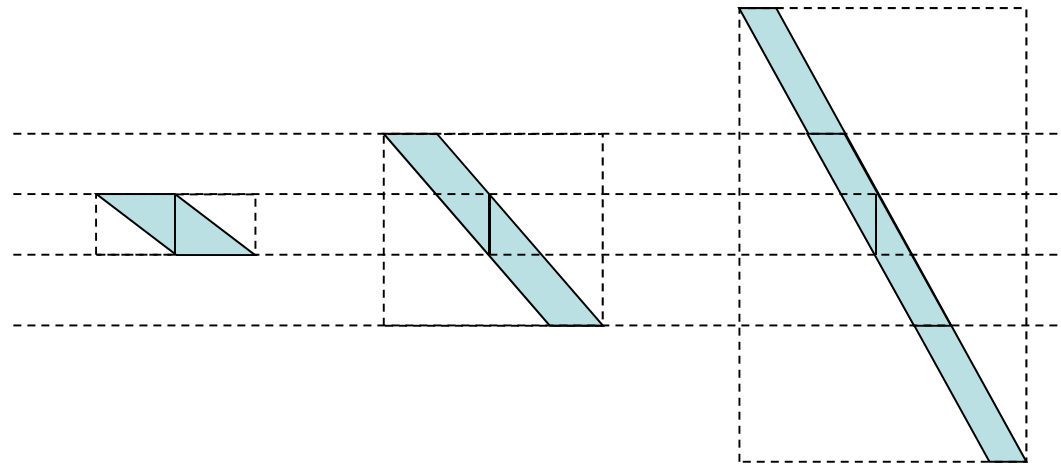
occurs when electrons and holes gain sufficient kinetic energy (due to acceleration by the E-field) in-between scattering events to cause electron-hole pair generation upon colliding with the lattice.

Zener breakdown

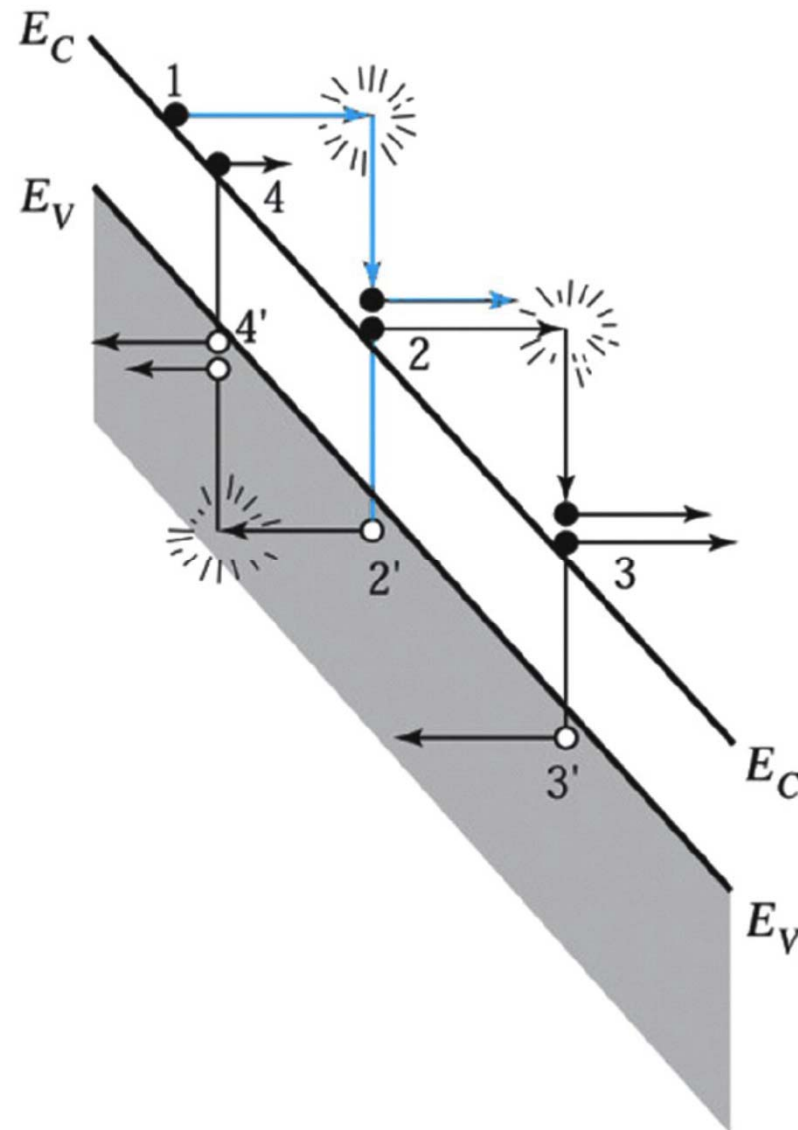


For Zener breakdown (tunneling)

- Extends only a very short distance W from each side of the junction
 - The junction be sharp
 - The doping high
- The tunneling distance d may be too large for appreciable tunneling. However,
 - d becomes smaller as the reverse bias is increased, because the higher electric fields result in steeper slopes for the band edges
 - This assumes that the transition region width W does not increase appreciably with reverse bias
 - For low voltages and heavy doping on each side of the junction, this is a good assumption
- If Zener breakdown does not occur with reverse bias of a few volts, avalanche breakdown will become dominant



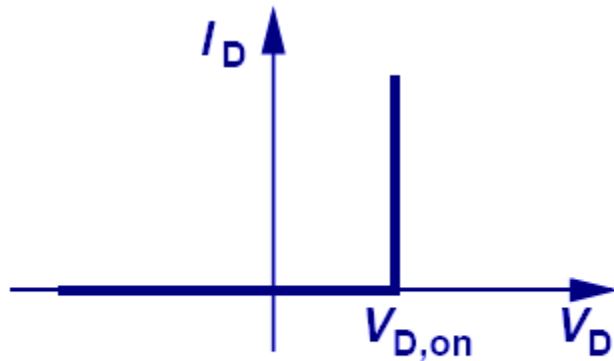
Energy band diagram for the avalanche process



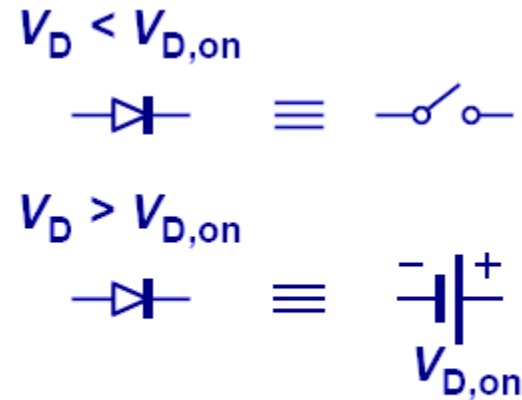
For Avalanche breakdown

- Impact ionization rather than field ionization (Zener)
- Carrier multiplication

Constant-Voltage Diode Model



(a)



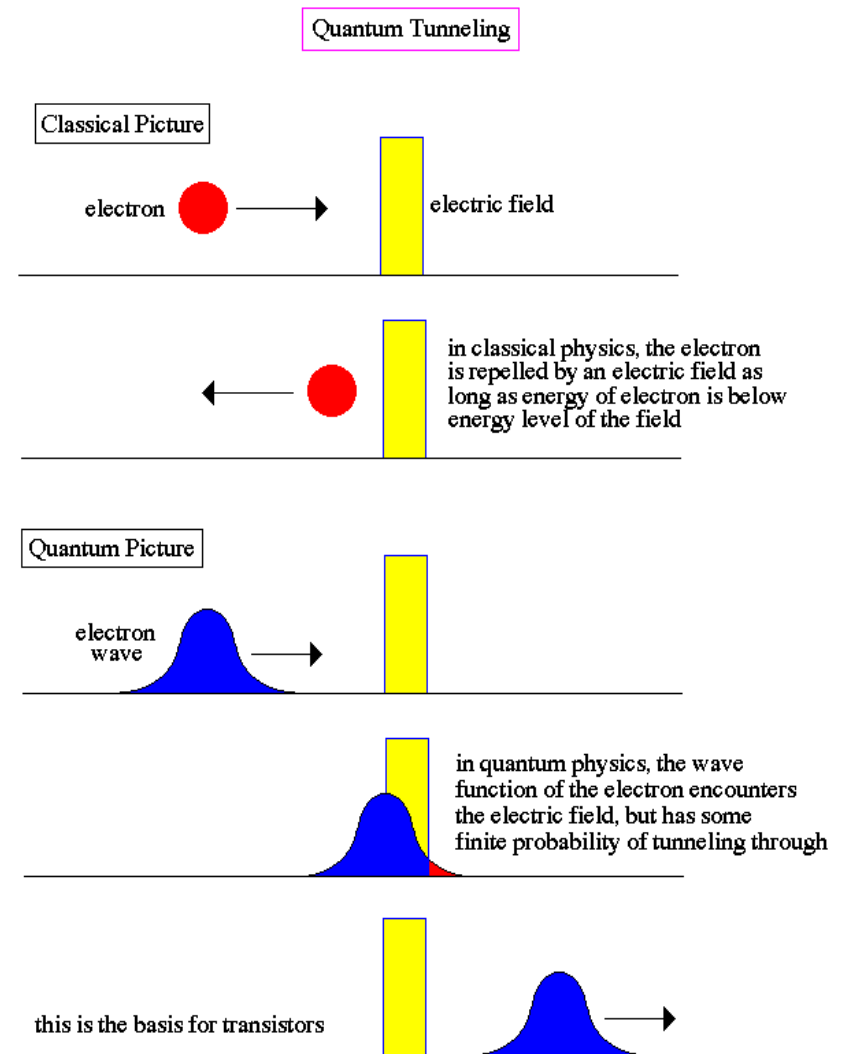
(b)

- If $V_D < V_{D,on}$: The diode operates as an open circuit.
- If $V_D \geq V_{D,on}$: The diode operates as a constant voltage source with value $V_{D,on}$.

Tunneling

The Tunneling of a Particle

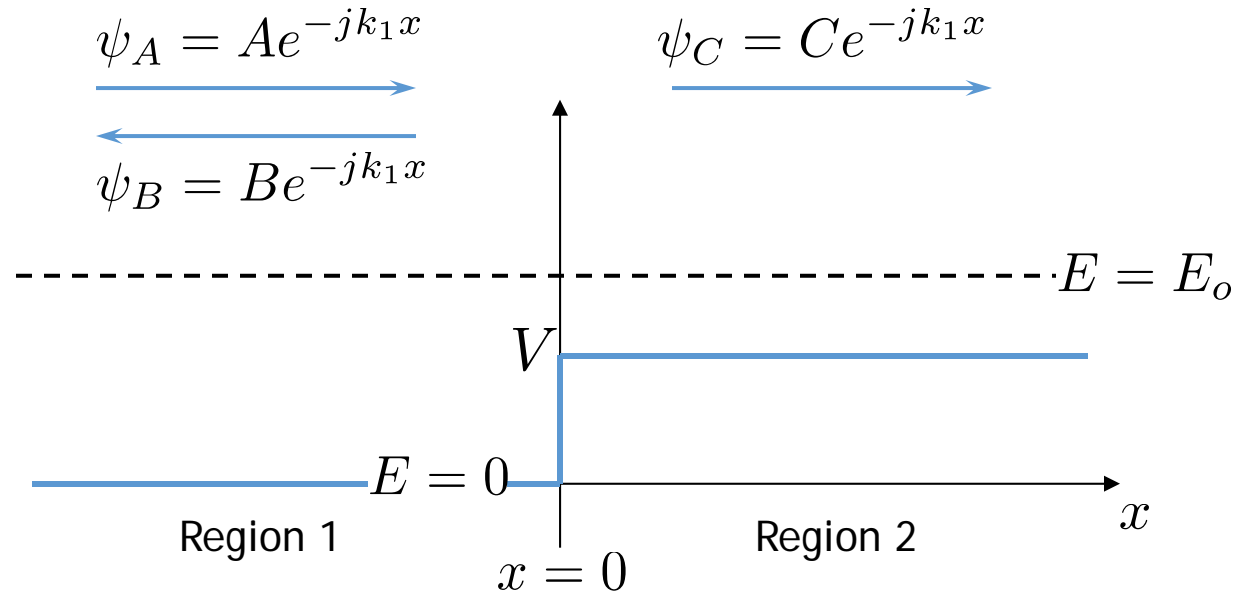
- Tunneling refers to the ability of a particle to overcome and cross a potential energy barrier that it would not be able to do based on classical understanding.
- It is only the particle's wave-nature that allows for this phenomenon.
- The probability wave describing the particle's position is an integral that overlaps into the energy barrier, allowing for some finite probability that the particle might actually “tunnel” through.



Images: [http://4.bp.blogspot.com/abyss.uoregon.edu/.../ quantum_tunneling.gif](http://4.bp.blogspot.com/abyss.uoregon.edu/.../quantum_tunneling.gif)

A Simple
Potential Step

CASE I : $E_o > V$



In Region 1:

$$E_o \psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2}$$



$$k_1^2 = \frac{2mE_o}{\hbar^2}$$

In Region 2:

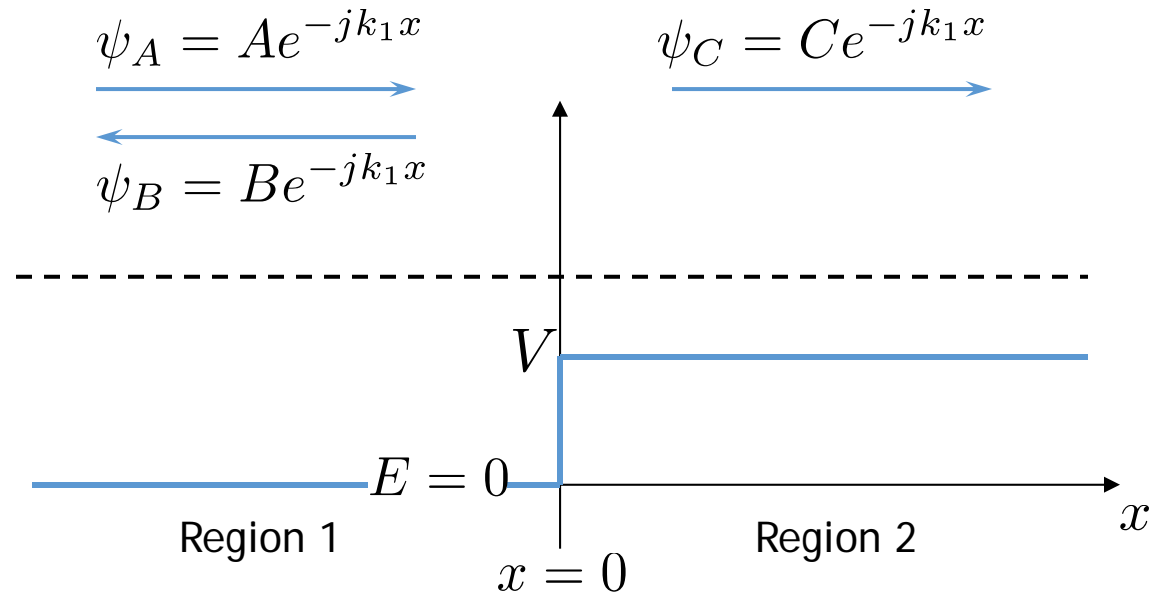
$$(E_o - V) \psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2}$$



$$k_2^2 = \frac{2m(E_o - V)}{\hbar^2}$$

A Simple Potential Step

CASE I : $E_o > V$



$$\psi_1 = Ae^{-jk_1x} + Be^{jk_1x}$$

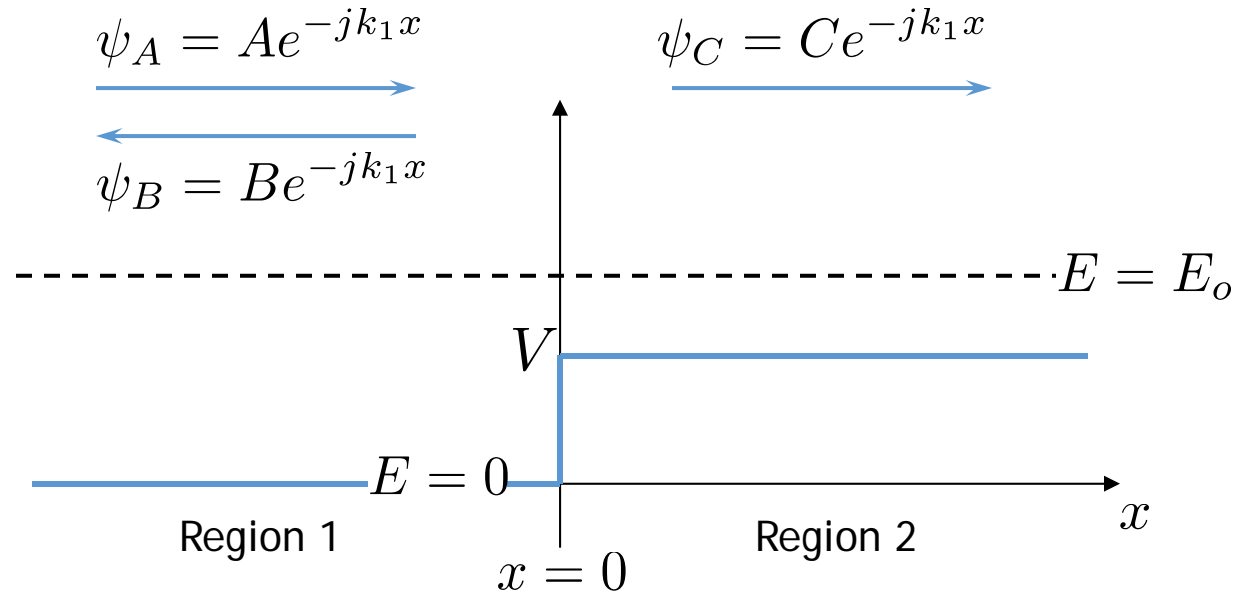
$$\psi_2 = Ce^{-jk_2x}$$

ψ is continuous: $\psi_1(0) = \psi_2(0) \Rightarrow A + B = C$

$\frac{\partial \psi}{\partial x}$ is continuous: $\frac{\partial}{\partial x} \psi_1(0) = \frac{\partial}{\partial x} \psi_2(0) \Rightarrow A - B = \frac{k_2}{k_1} C$

A Simple Potential Step

CASE I : $E_o > V$



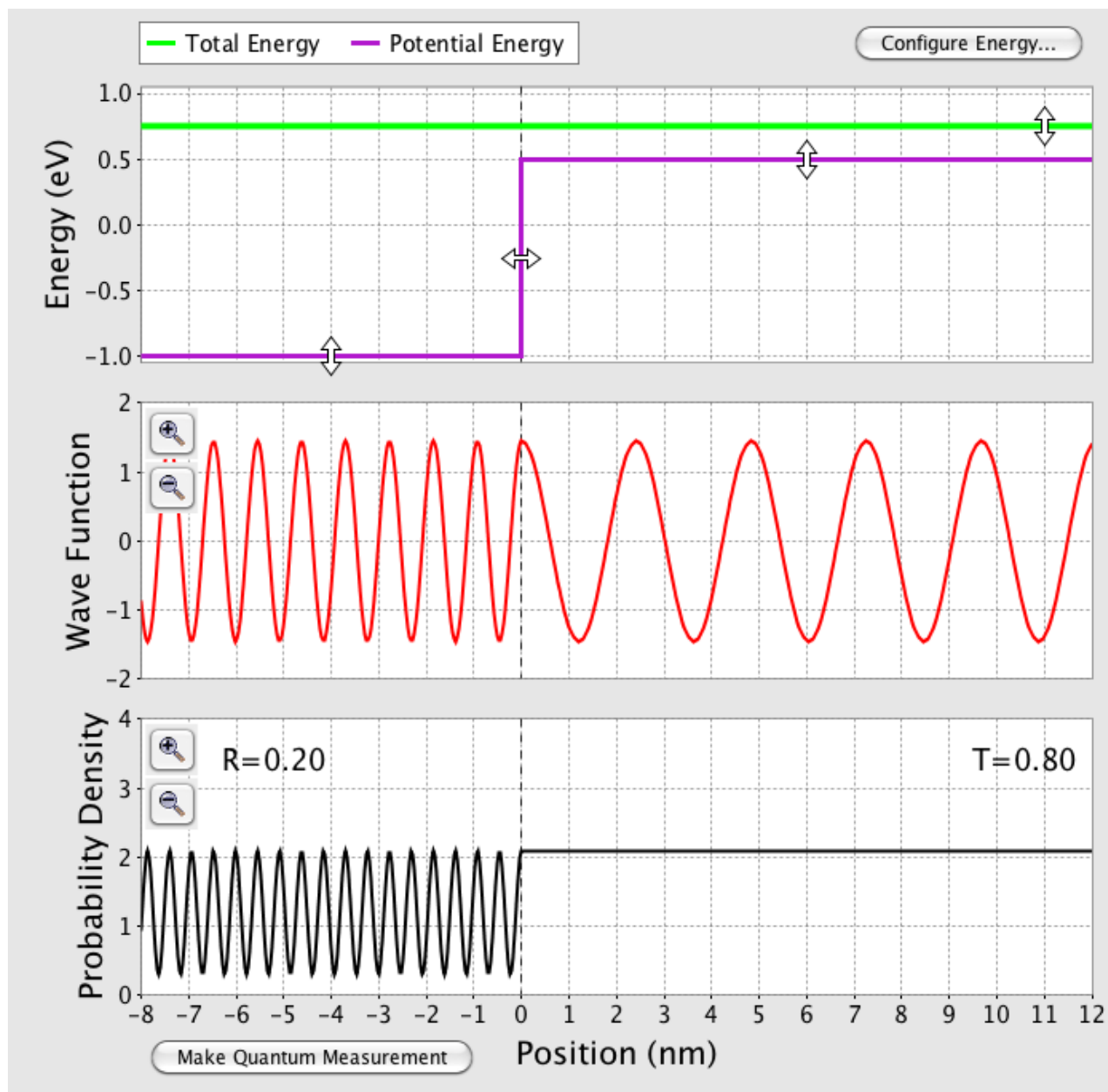
$$\frac{B}{A} = \frac{1 - k_2/k_1}{1 + k_2/k_1}$$

$$= \frac{k_1 - k_2}{k_1 + k_2}$$

$$\frac{C}{A} = \frac{2}{1 + k_2/k_1}$$

$$= \frac{2k_1}{k_1 + k_2}$$

$$\left\{ \begin{array}{l} A + B = C \\ A - B = \frac{k_2}{k_1} C \end{array} \right.$$



Example from: <http://phet.colorado.edu/en/get-phet/one-at-a-time>

Quantum Electron Currents

Given an electron of mass m

that is located in space with charge density $\rho = q |\psi(x)|^2$

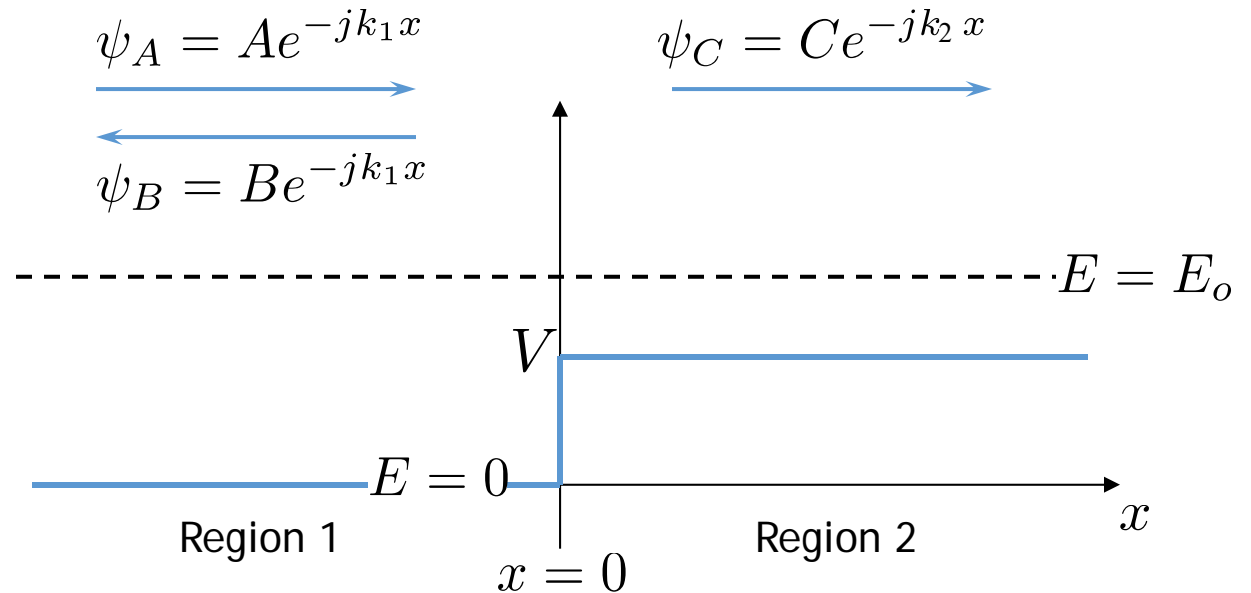
and moving with momentum $\langle p \rangle$ corresponding to $\langle v \rangle = \hbar k / m$

... then the current density for a *single electron* is given by

$$J = \rho v = q |\psi|^2 (\hbar k / m)$$

A Simple Potential Step

CASE I : $E_o > V$



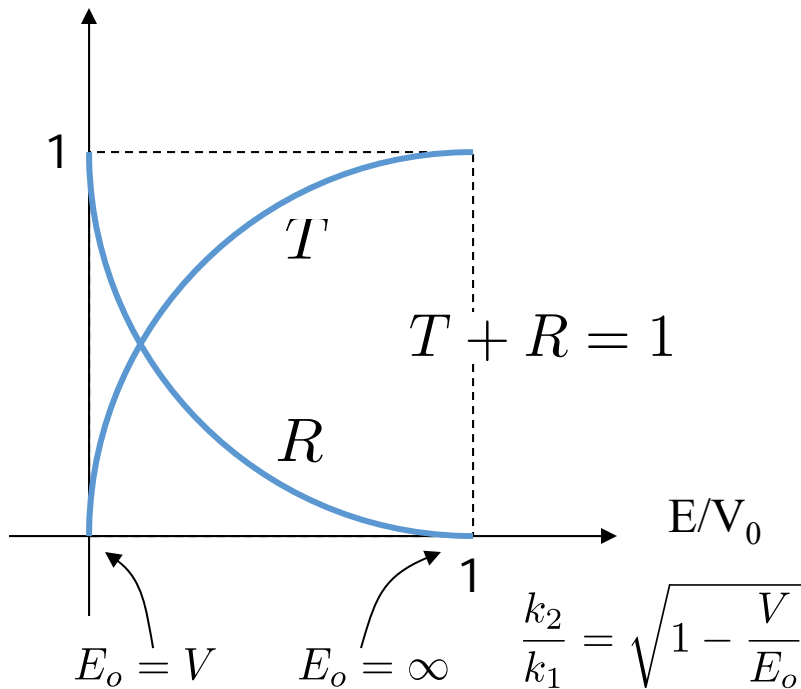
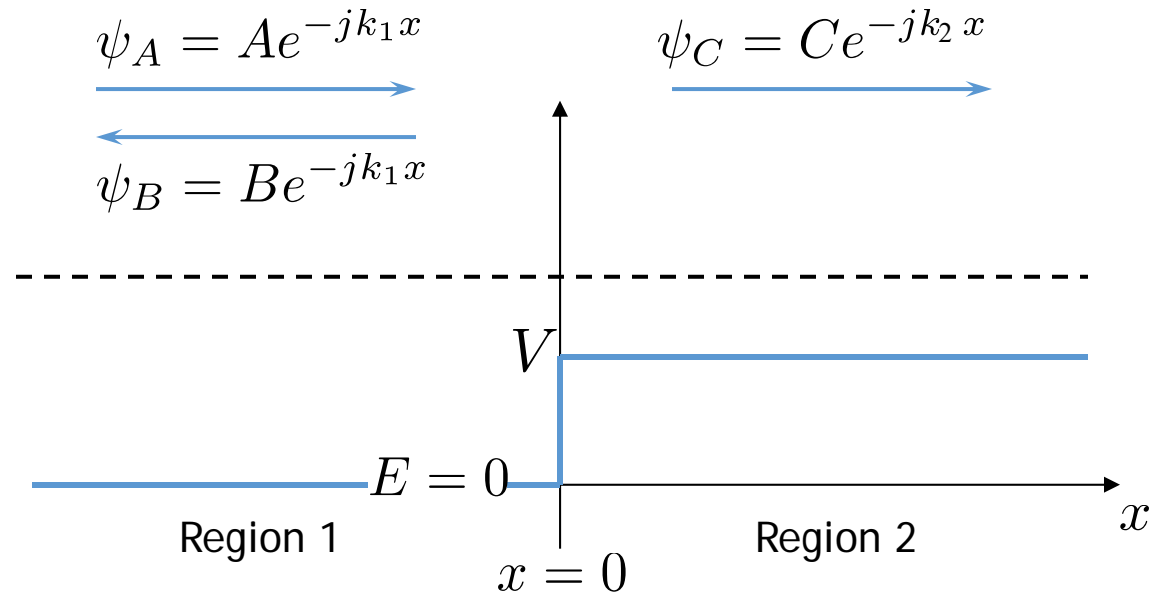
$$\text{Reflection} = R = \frac{J_{\text{reflected}}}{J_{\text{incident}}} = \frac{J_B}{J_A} = \frac{|\psi_B|^2 (\hbar k_1 / m)}{|\psi_A|^2 (\hbar k_1 / m)} = \left| \frac{B}{A} \right|^2$$

$$\text{Transmission} = T = \frac{J_{\text{transmitted}}}{J_{\text{incident}}} = \frac{J_C}{J_A} = \frac{|\psi_C|^2 (\hbar k_2 / m)}{|\psi_A|^2 (\hbar k_1 / m)} = \left| \frac{C}{A} \right|^2 \frac{k_2}{k_1}$$

$$\frac{B}{A} = \frac{1 - k_2/k_1}{1 + k_2/k_1} \quad \frac{C}{A} = \frac{2}{1 + k_2/k_1}$$

A Simple Potential Step

CASE I : $E_o > V$

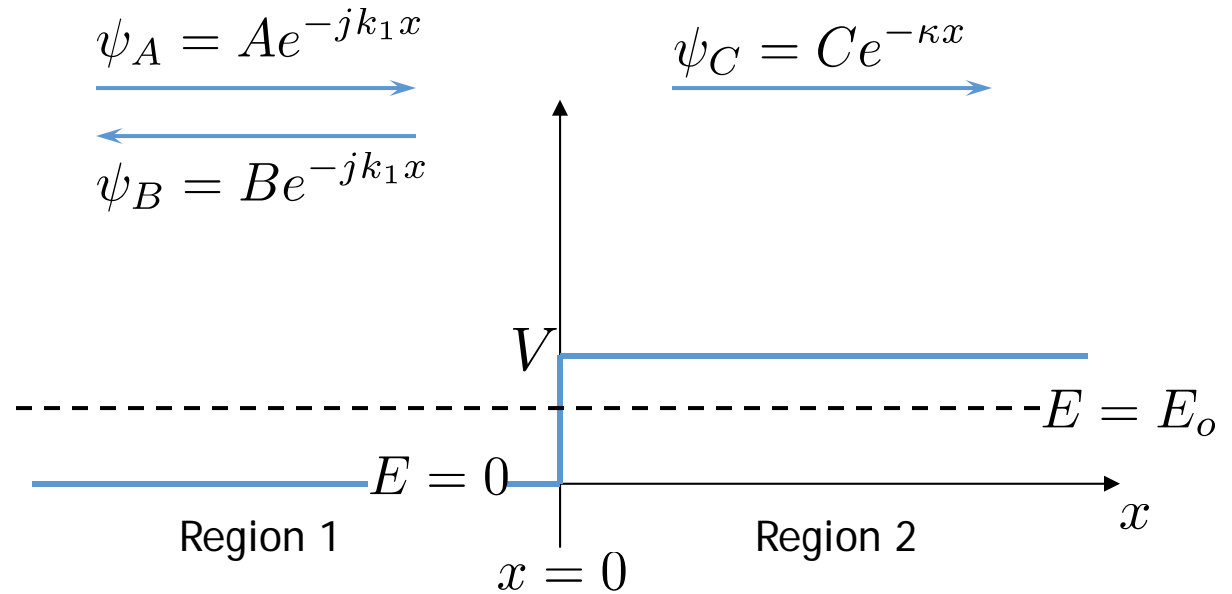


$$\text{Reflection} = R = \left| \frac{B}{A} \right|^2 = \left| \frac{k_1 - k_2}{k_1 + k_2} \right|^2$$

$$\begin{aligned} \text{Transmission} = T &= 1 - R \\ &= \frac{4k_1k_2}{|k_1 + k_2|^2} \end{aligned}$$

A Simple Potential Step

CASE II : $E_o < V$

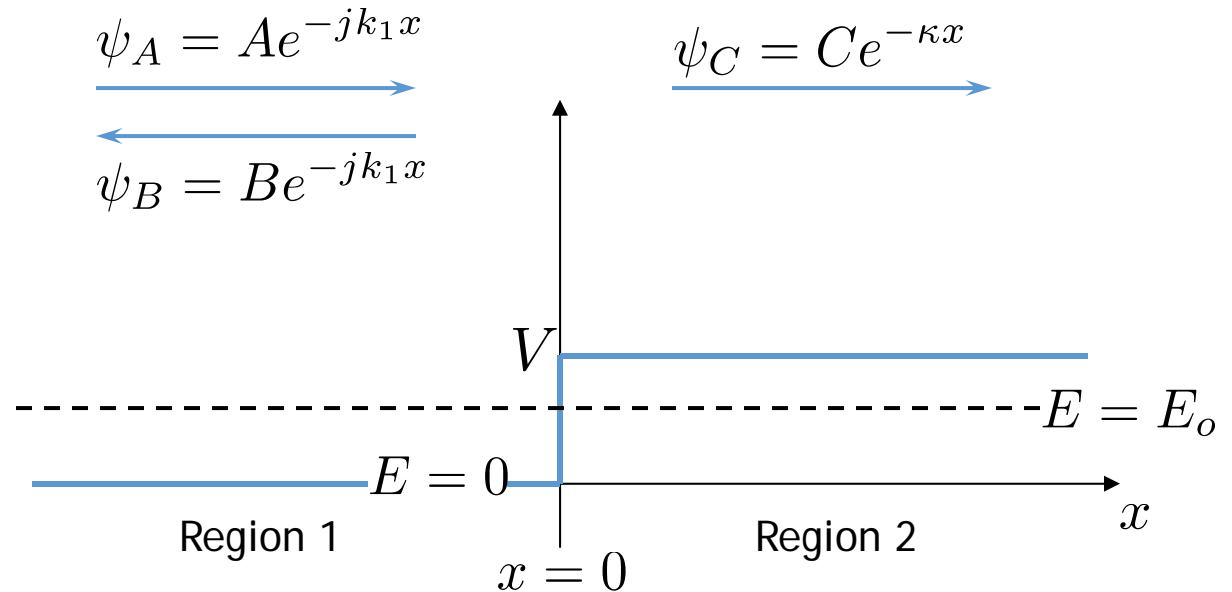


In Region 1:
$$E_o \psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \quad \Rightarrow \quad k_1^2 = \frac{2mE_o}{\hbar^2}$$

In Region 2:
$$(E_o - V) \psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \quad \Rightarrow \quad \kappa^2 = \frac{2m(E_o - V)}{\hbar^2}$$

A Simple Potential Step

CASE II : $E_o < V$



$$\psi_1 = Ae^{-jk_1x} + Be^{jk_1x}$$

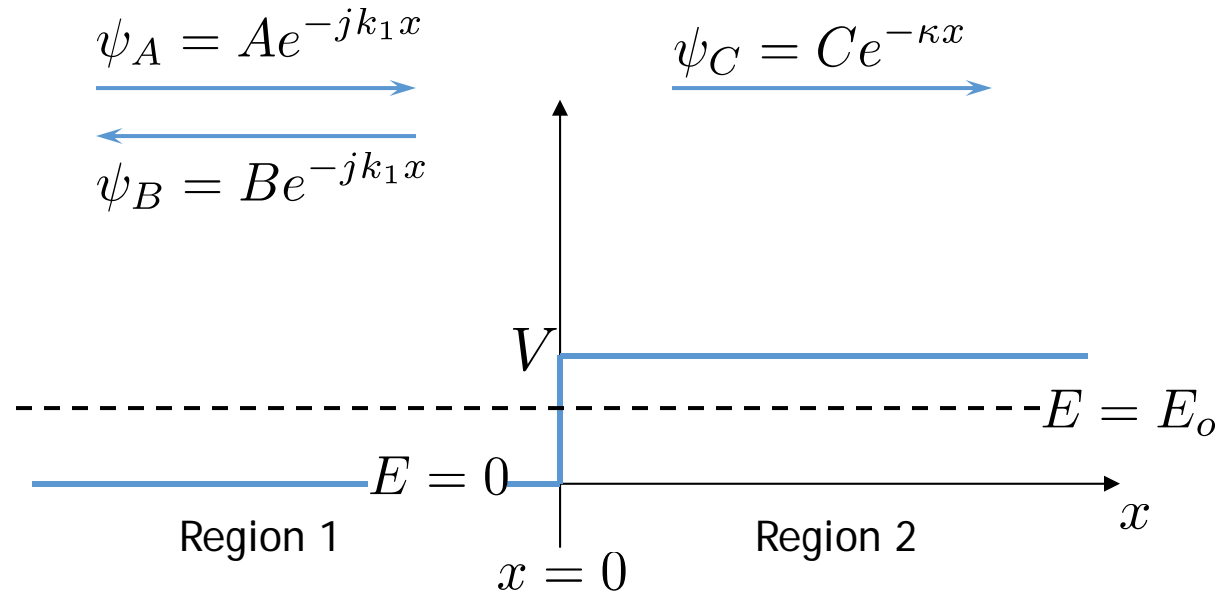
$$\psi_2 = Ce^{-\kappa x}$$

ψ is continuous: $\psi_1(0) = \psi_2(0) \Rightarrow A + B = C$

$\frac{\partial \psi}{\partial x}$ is continuous: $\frac{\partial}{\partial x} \psi_1(0) = \frac{\partial}{\partial x} \psi_2(0) \Rightarrow A - B = -j \frac{\kappa}{k_1} C$

A Simple Potential Step

CASE II : $E_o < V$



$$\frac{B}{A} = \frac{1 + j\kappa/k_1}{1 - j\kappa/k_1} = \exp(2j\phi), \text{ where } \phi = \kappa/k_1$$

$$\frac{C}{A} = \frac{2}{1 - j\kappa/k_1}$$

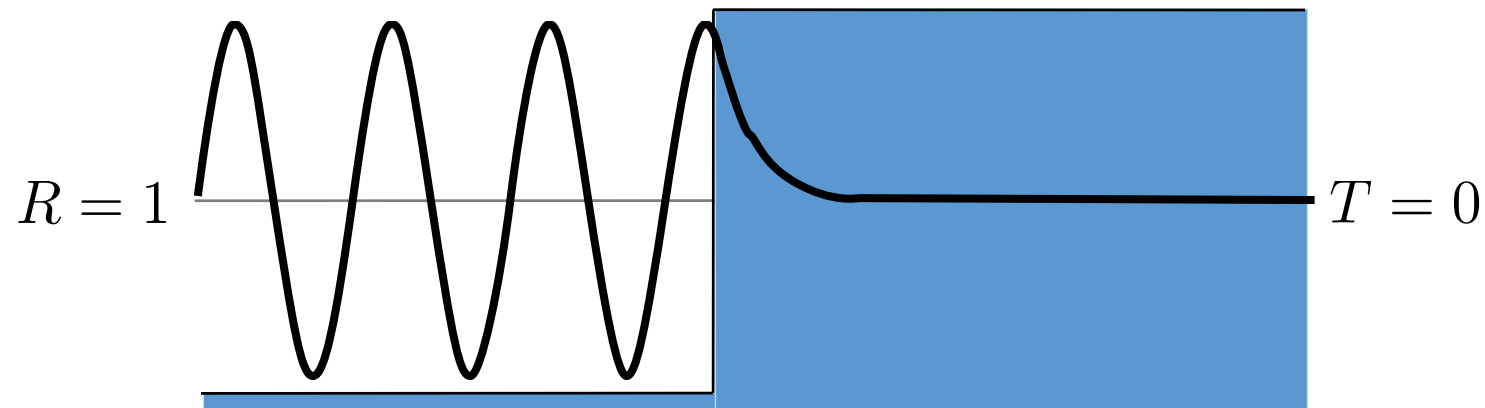
$$\left\{ \begin{array}{l} A + B = C \\ A - B = -j\frac{\kappa}{k_1}C \end{array} \right.$$

$$\boxed{R = \left| \frac{B}{A} \right|^2 = 1 \quad T = 0}$$

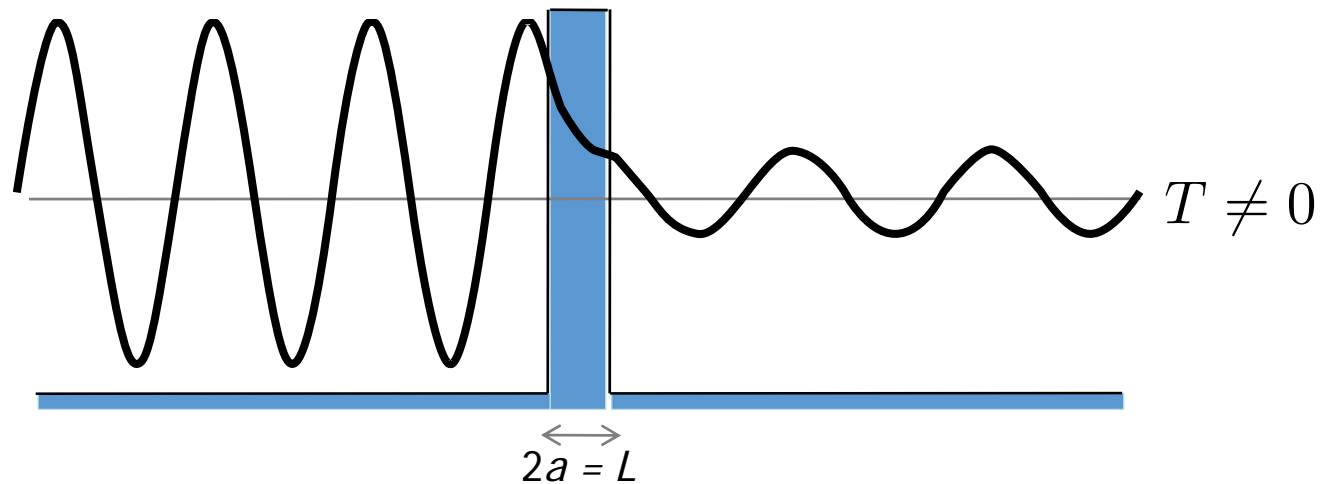
Total reflection \rightarrow Transmission must be zero

Quantum Tunneling Through a Thin Potential Barrier

Total Reflection at Boundary

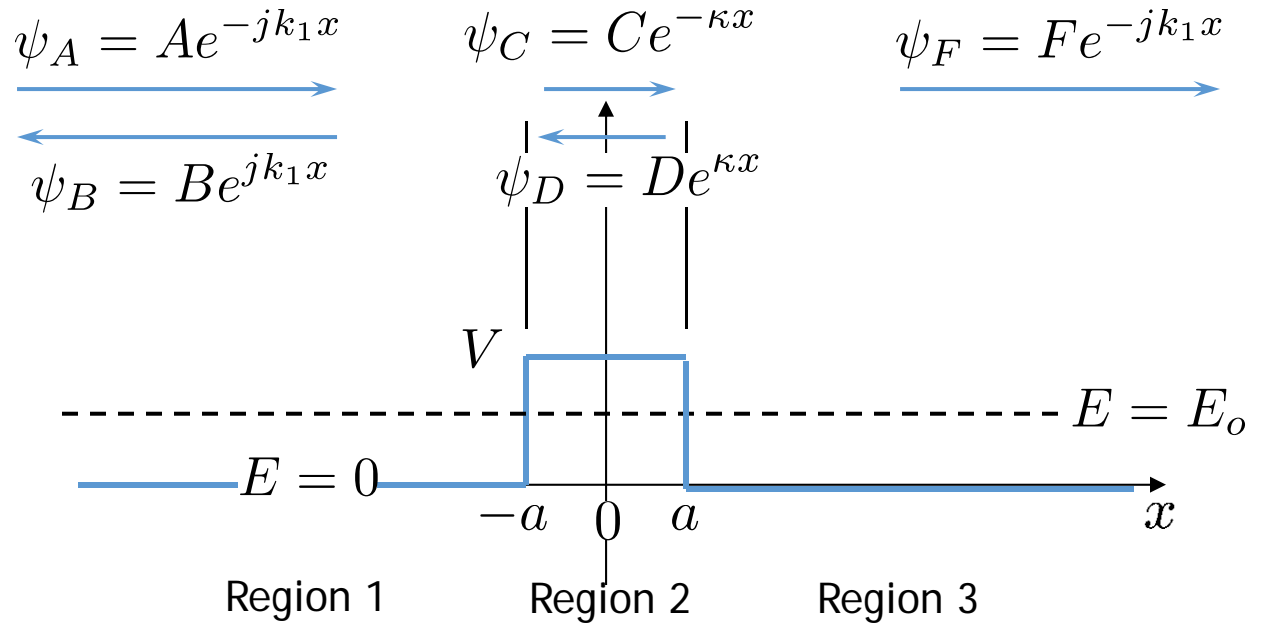


Frustrated Total Reflection (Tunneling)



A Rectangular Potential Step

CASE II : $E_o < V$



In Regions 1 and 3:

$$E_o\psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \quad \Rightarrow \quad k_1^2 = \frac{2mE_o}{\hbar^2}$$

In Region 2:

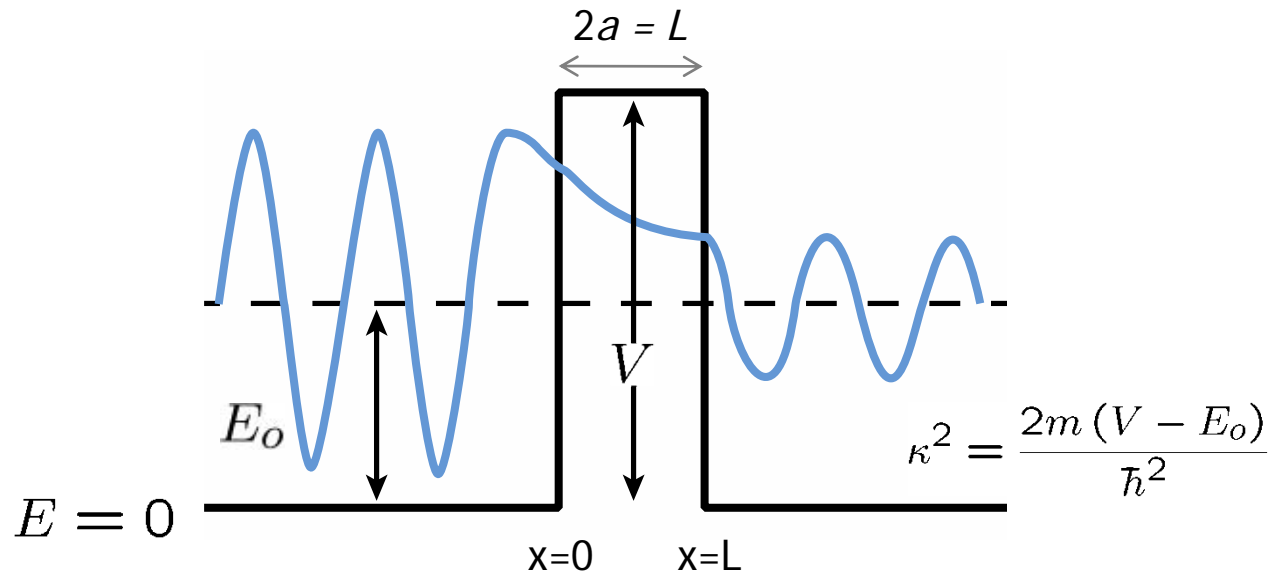
$$(E_o - V)\psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \quad \Rightarrow \quad \kappa^2 = \frac{2m(V - E_o)}{\hbar^2}$$

for $E_o < V$:

$$T = \left| \frac{F}{A} \right|^2 = \frac{1}{1 + \frac{1}{4} \frac{V^2}{E_o(V - E_o)} \sinh^2(2\kappa a)}$$

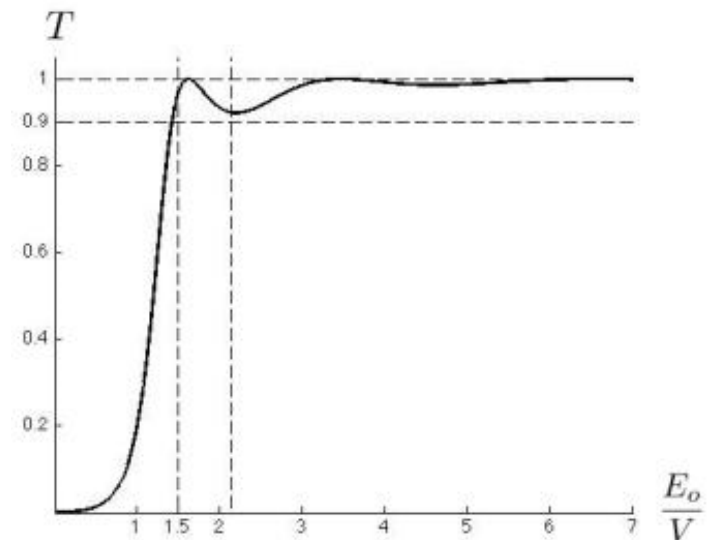
A Rectangular Potential Step

Real part of Ψ for $E_o < V$, shows hyperbolic (exponential) decay in the barrier domain and decrease in amplitude of the transmitted wave.



for $E_o < V$:

$$T = \left| \frac{F}{A} \right|^2 = \frac{1}{1 + \frac{1}{4} \frac{V^2}{E_o(V - E_o)} \sinh^2(2\kappa a)}$$



Transmission Coefficient versus E_o/V
for barrier with $2m(2a)^2V/\hbar^2 = 16$