# **Query Processing and Query Optimization Problem Set**

12.10

a)

The number of blocks in memory buffer available for sorting  $M=\frac{40\cdot 10^6}{4\cdot 10^3}=10^4$ . Number of blocks containing records of the given relation  $br=\frac{40\cdot 10^9}{4\cdot 10^3}=10^7$ . Total cost of sorting C will be

$$C=\#\,of\,disk\_seeks(n_s) imes disk\_seek\_cost(t_s) + \ \#of\,block\_transfers(n_t) imes block\_transfer\_time(t_t) \ t_s=5 imes 10^{-3}s \ t_t=rac{4 imes 10^3}{40 imes 10^6}=10^{-4}s \ mergePass=[log_{m-1}(rac{br}{M})]=1 \ n_t=b_r imes (2 imes mergePass+1)=3 imes 10^7 \ n_s=2 imes rac{b_r}{M}+rac{b_r}{b_b} imes (2 imes mergePass-1)$$

The number of block transfers is

• bb = 1

Substitute into the equation:

$$n_s = 2 \times 10^3 + 1 \times 10^7 \times (2 \times 1 - 1) = 1.0002 \times 10^7 \ C = 3 \times 10^7 \times 10^{-4} + 1.0002 \times 10^7 \times 5 \times 10^{-3} = 53010s$$

• bb = 100

Substitute into the equation:

$$n_s = 2 \times 10^3 + 1 \times 10^5 \times (2 \times 1 - 1) = 1.02 \times 10^5$$
 $C = 3 \times 10^7 \times 10^{-4} + 1.02 \times 10^5 \times 5 \times 10^{-3} = 3510s$ 

b)

c)

Number of mergePass in both cases is:

$$mergePass = [log_{m-1}(rac{br}{M})] = 1$$

$$\circ$$
  $bb=1$ 

$$t_s = 1 imes 10^{-4} \ new_C = 3 imes 10^7 imes 10^{-4} + 1.02 imes 10^7 imes 1 imes 10^{-4} = 4020s$$

bb = 100

$$t_s = 1 imes 10^{-4} \ new_C = 3 imes 10^7 imes 10^{-4} + 1.02 imes 10^5 imes 1 imes 10^{-4} = 3010.2s$$

12.11

# a) **Semijoin**:

• *Semijoin* using sorting:

Sort both r and s first on the join attributes  $\theta$ . Then perform a scan of both r and s using something similar to merge (join) algorithm and add tuples of r to the result whenever the join attributes of the current tuples of r and s match.

• *Semijoin* using hashing:

Create a hash index in s on the join attributes  $\theta$ . Iterate thru r and for each distinct value of the join attribute, perfrom a hash lookup in s. If the hash lookup finds and returns a valid entry, add the current r tuple to result.

- If r and s are large, they can be partitioned on the join attributes first, and then execute the above procedures applied on each partition.
- o If r is small but s is large, a hash index can be built on r and probed using s. If a s tuple matches a r tuple, the r tuple can be output and deleted from hash index.

# b) Anti-Semijoin:

• *Anti – Semijoin* using sorting:

Sort both r and s first on the join attributes  $\theta$ . Then perform a scan of both r and s using something similar to merge (join) algorithm and add tuples of r to the result if no tuple of s satisfies  $\theta$  for corresponding r tuple.

• *Anti – Semijoin* using hashing:

Create a hash index in s on the join attributes  $\theta$ . Iterate thru r and for each distinct value of the join attribute, perfrom a hash lookup in s. If the hash lookup can't find an entry and return a null value, add the current r tuple to the result. And for edges cases like mentioned in Semijoin, the same general ideas apply.

#### 12.15

Let the right relation be *tr* and the left relation be *tl*.

• Natural right outer join:

For the natural right outer join. A similar strategy is applied:

For the probe relation tr, if no matching tuple is found in the hash partition of it, it is treated with nulls and included in the result.

This can also be achieved by keeping a boolean flag with each tuple in the build relation ret and whenever any tuple in the probe relation tr matches with it, set the flag. When the probing is finished, all tuples in ret without a flag are marked as null and included in result.

• Natural full outer join:

Do the above two operations together.

#### 13.15

The best strategy is to:

Use indexing and locate the first tuple which <a href="dept\_name = 'music'">dept\_name = 'music'</a>. Then retrieve the successive tuples using pointers as long as building is less than <a href="waston">waston</a>. From the resulting tulles, reject those which do not satisfy <a href="budge<55000">budge<55000</a>.

## 13.19

Suppose the histogram H storing distribution of values in r is divided into ranges  $r_1, r_2, r_3, \ldots, r_n$ . For each range  $r_k$  with lowest value  $r_{k:low}$  and highest value  $r_{k:high}$ , if  $r_{k:high}$  is less than v, add the number of tuples with in  $H(r_k)$  to the total. If  $v < r_{k:high}$  and  $v > r_{k:low}$ , assume values within  $r_k$  are uniformly distributed as no additional info is provided, add  $H(r_k) \cdot \frac{v - r_{k:low}}{r_{k:high} - r_{k:low}}$  to the total.

### 13.24

- a) Just sort r and retrieve the top K tuples, because these tuples are guaranteed to be contained in the result relation because join is on a foreign key of r referring s as they are unique.
- b) Use a standard join on  $r \bowtie s$  until the first K results are calculated. After K tuples in the result set, continue doing the standard join but discard any tuples from r that less than every tuples in the result set. If a newly joined tuple n has a attribute greater than any tuple in the result set, replace the lowest value tuple in result with t. It is going to be very inefficient cause a full join have to be executed.

## 13.25

Any query that only involves attribute A in r can be executed with index only.

An easy example with be:

```
1 select count(*)
2 from r
3 where A>500;
```