

# Lecture 23: Language Representations

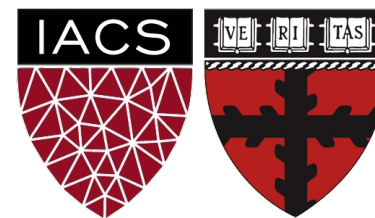
NLP Lectures: Part 2 of 4

---

**Harvard IACS**

CS109B

Pavlos Protopapas, Mark Glickman, and Chris Tanner



# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs

# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs

Previously, we learned about a specific task

## Language Modelling

---

$$\theta("I \text{ love } CS109B" | \alpha, \beta)$$

For a fixed  $\alpha$  and  $\beta$ :

$$\theta(w, w') = \frac{n_{w, w'}(d) + \beta * \theta(w')}{n_{w, w_*}(d) + \beta}$$

$$\theta(w') = \frac{n_{w'}(d) + \alpha}{n_{w_*} + \alpha |V|}$$

$|V|$  = the # of unique words types in vocabulary  
(including an extra 1 for  $\langle \text{UNK} \rangle$ )

Previously, we learned about a specific task

## Language Modelling

---

Useful for many other  
tasks:

### Syntax

- Morphology
- Word Segmentation
- Part-of-Speech Tagging
- Parsing
  - Constituency
  - Dependency

### Discourse

- Summarization
- Coreference Resolution

### Semantics

- Sentiment Analysis
- Topic Modelling
- Named Entity Recognition (NER)
- Relation Extraction
- Word Sense Disambiguation
- Natural Language Understanding (NLU)
- Natural Language Generation (NLG)
- Machine Translation
- Entailment
- Question Answering
- Language Modelling

Previously, we learned about a specific task

## Language Modelling

---

While that's true, the count-based n-gram LMs can only help us consider/evaluate candidate sequences

“What is the whether too day?”

El perro marrón → The brown dog

Anqi was late for \_\_\_\_

Previously, we learned about a specific task

## **Language Modelling**

---

We need something in NLP that allows us to capture:

- finer-granularity of information
- richer, robust language models (e.g., semantics)

Previously, we learned about a specific task

## Language Modelling

---

We need something in NLP that allows us to capture:

- finer-granularity of information
- richer, robust language models (e.g., semantics)

***“Word Representations and better LMs!”***

***To the rescue!”***





# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs

# Outline



Recap where we are



Representing Language



What



How

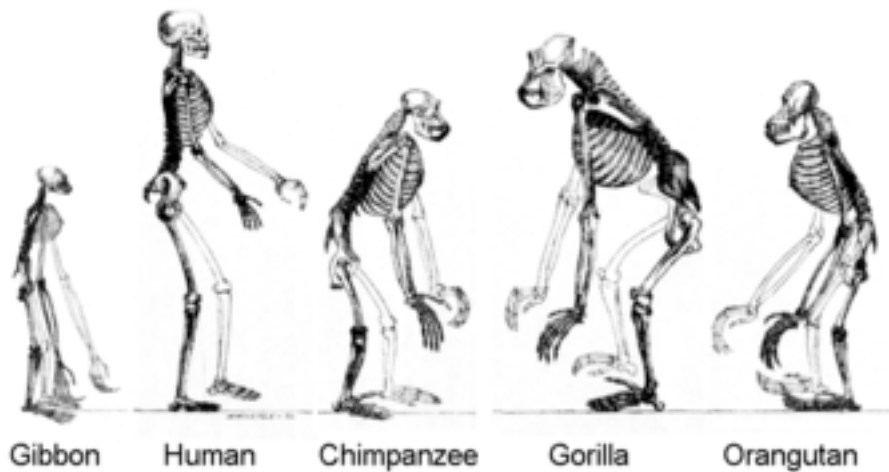


Modern Breakthroughs

# Language

## Language is special and complex

- Distinctly human ability
- Paramount to human evolution
- Influenced by many social constructs
- Incredibly nuanced
- Language forms capture multi-dimensions
- Language evolves over time



# Language

Language is constructed to convey speaker's/writer's meaning

- More than an environmental, survival signal
- Encodes complex information yet simple enough for babies to quickly learn

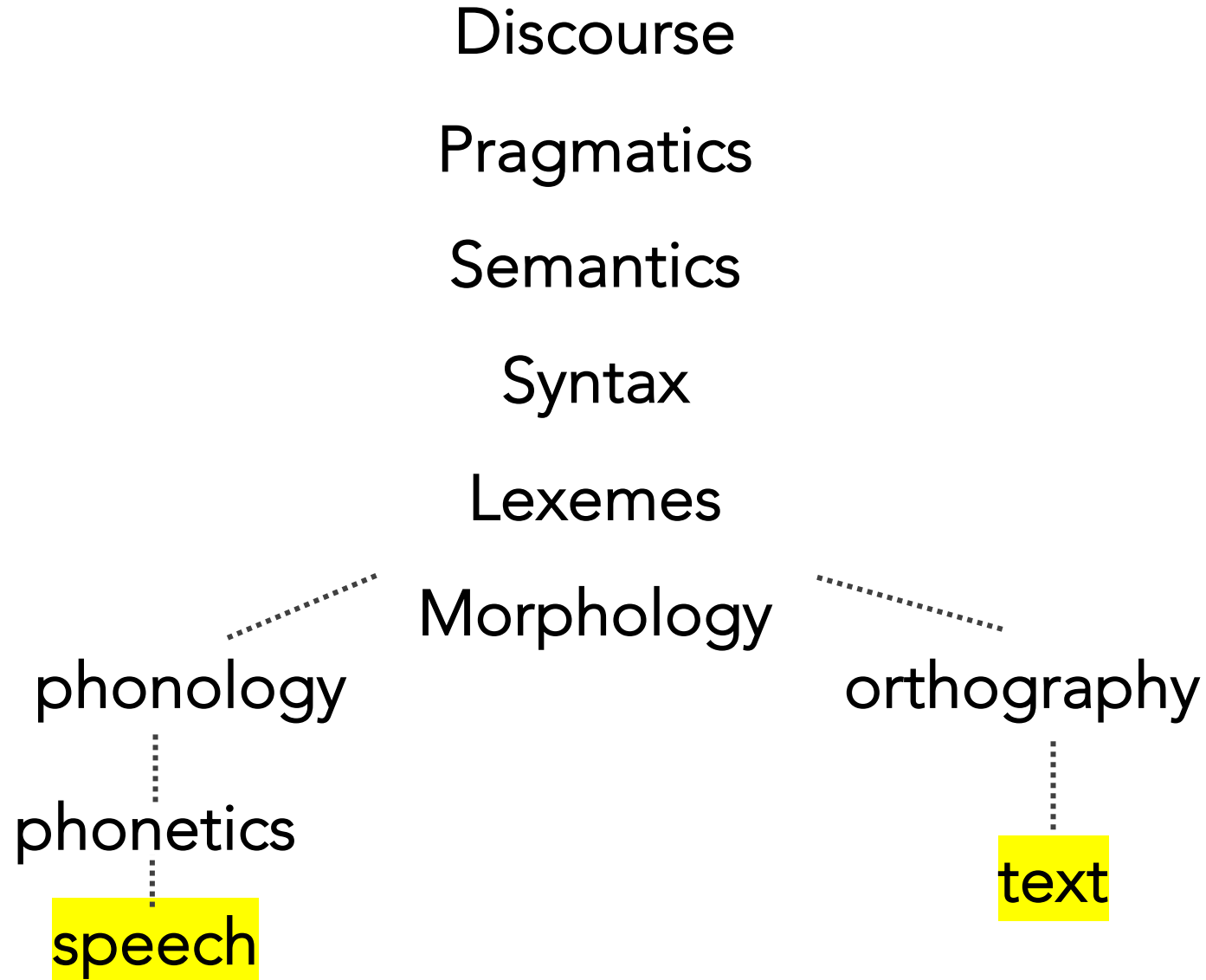
A discrete, symbolic communication system

- Lexicographic representation (i.e., characters that comprise a word) embody real-world constructs
- Nuanced (e.g., "Sure, whatever", "Yes", "Yesss", "Yes?", "Yes!", Niiice)





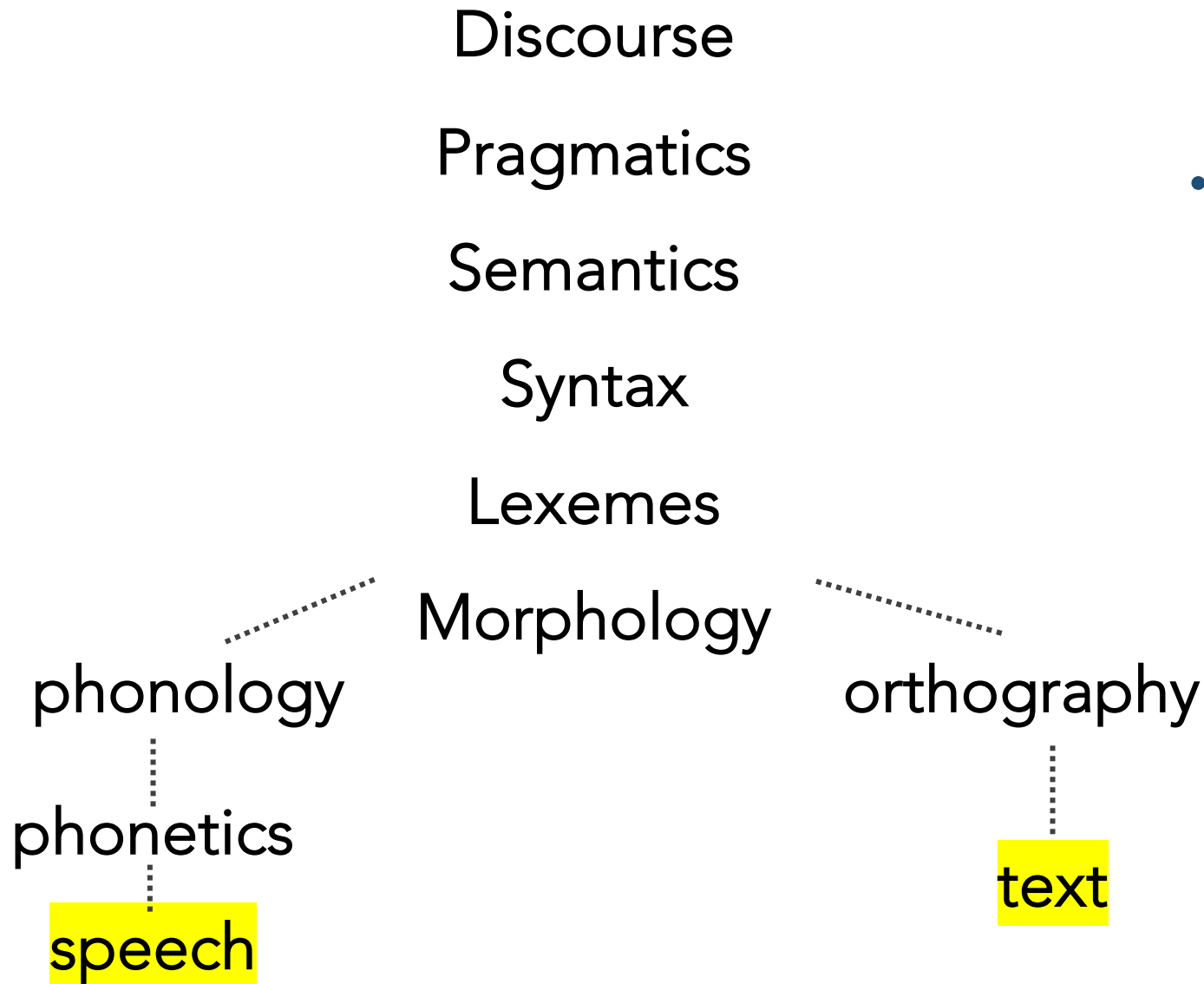
# Multiple levels\* to a single word



\*



# Multiple levels\* to a single word



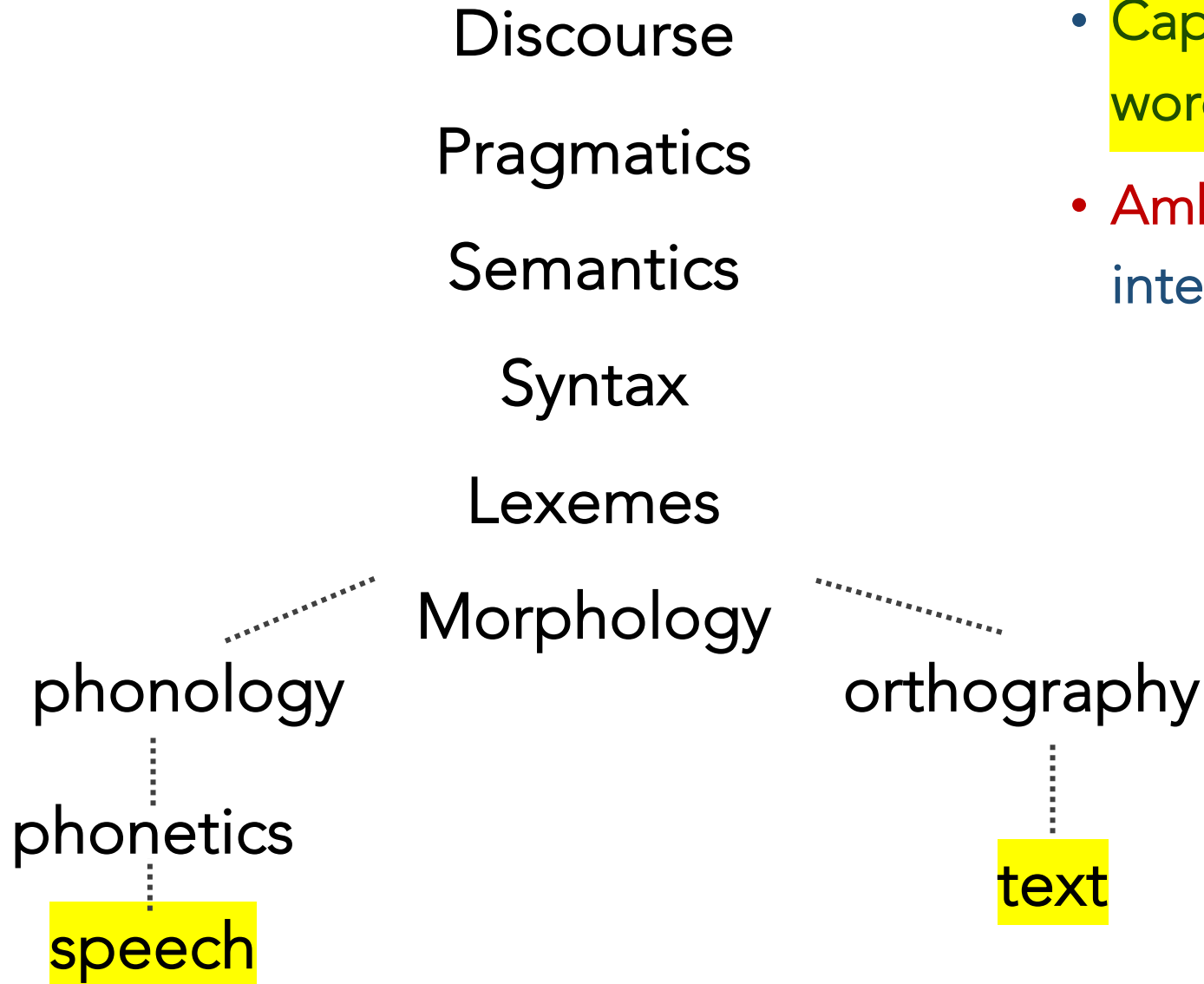
- The mappings between levels are extremely **complex** and non-formulaic
- Sound word representations are **situation-dependent**

\*





# Multiple levels\* to a single word

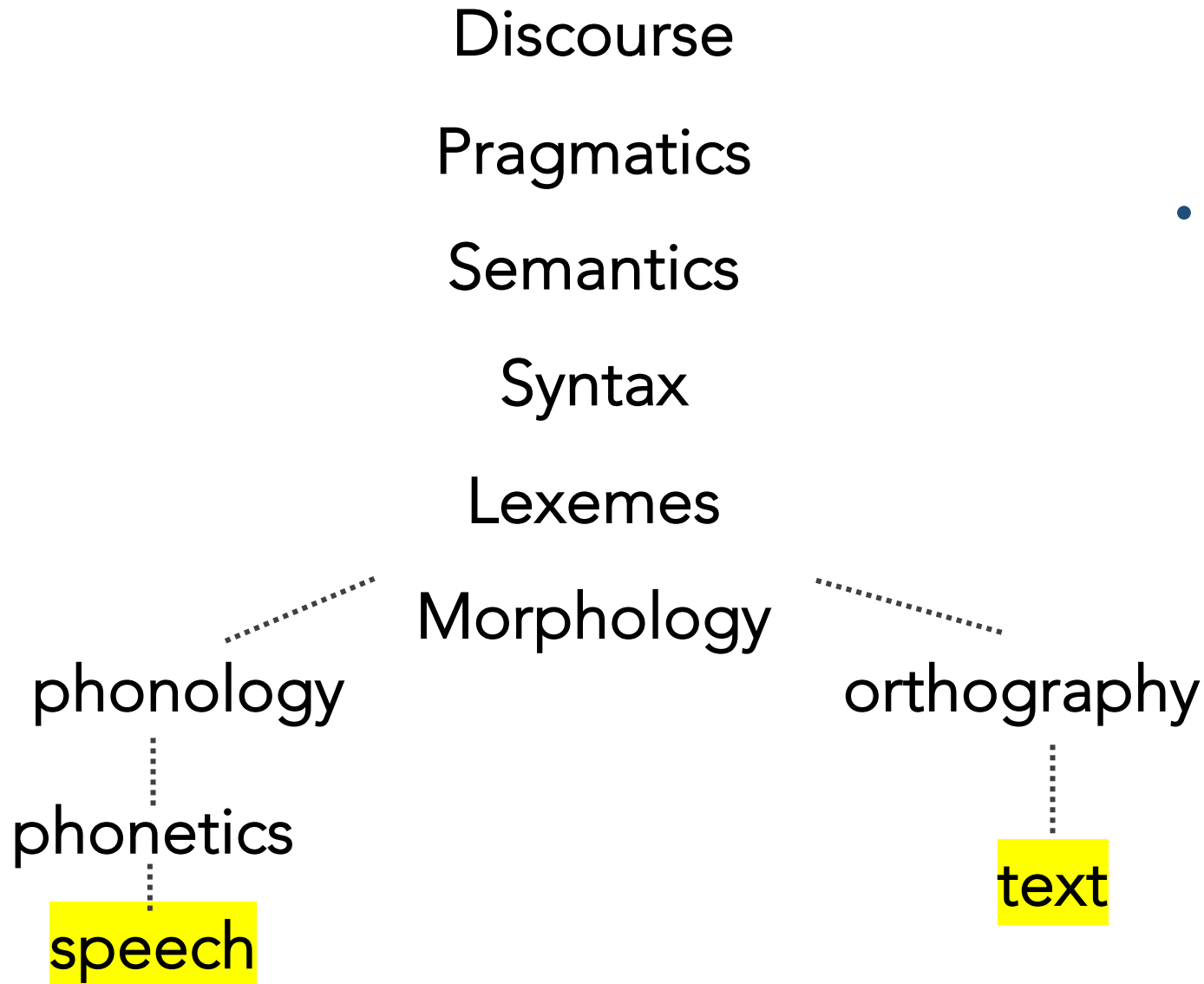


- Inputs (words) are **noisy**
- Capture theoretical concepts; words are ~**latent variables**
- **Ambiguity** abound. Many interpretations at each level

\*



# Multiple levels\* to a single word

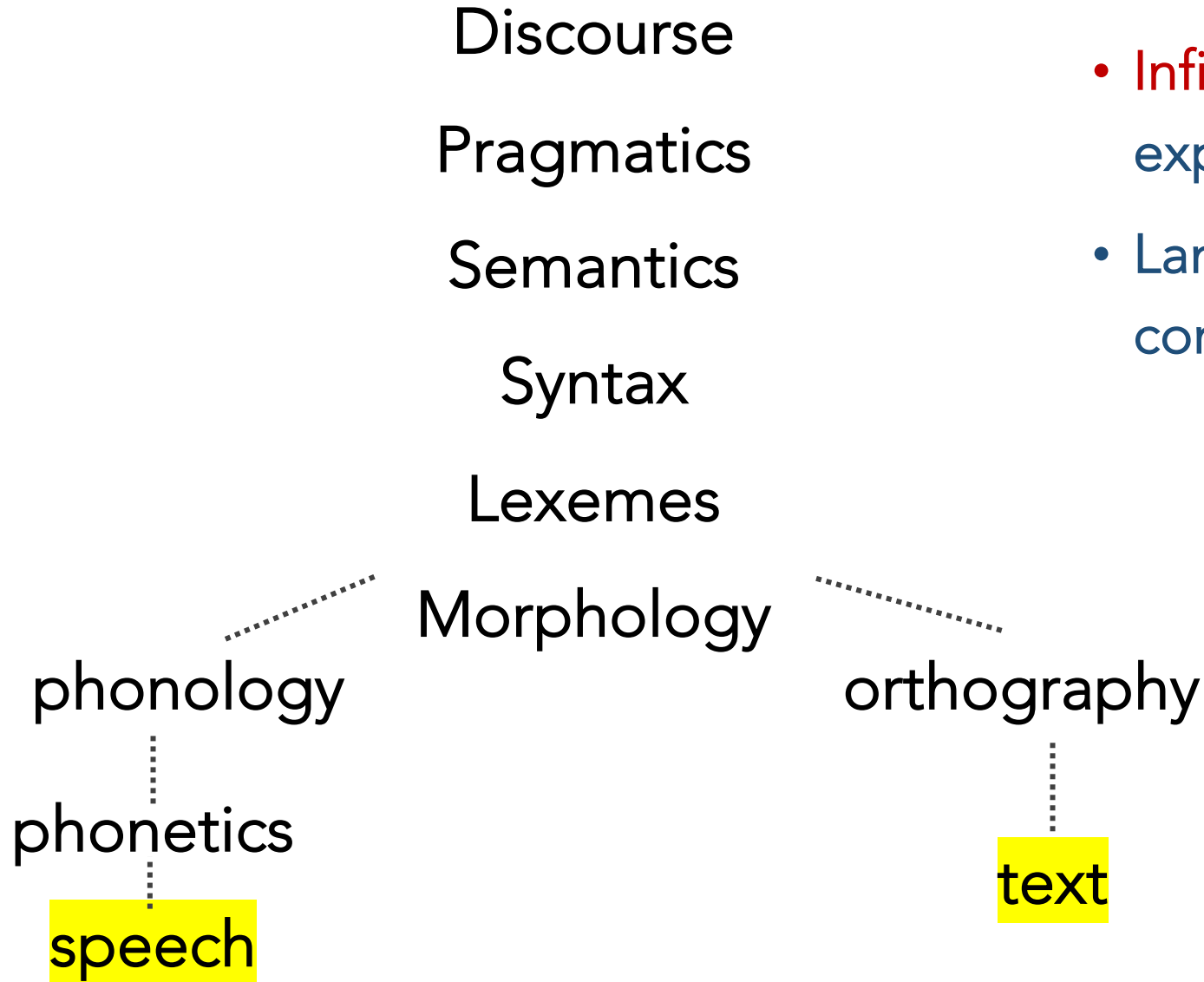


- Humans are very good at resolving linguistic ambiguity (e.g., **coreference resolution**)
- Computer models aren't

\*



# Multiple levels\* to a single word



- Many ways to express the **same meaning**
- **Infinite meanings** can be expressed
- Languages widely differ in these complex interactions

\*



Multiple levels\* to a single word

- Many ways to express the same meaning
- Infinite meanings can be

Discourse

## The study of words' meaningful sub-components

(e.g., running, deactivate, Obamacare, Cassandra's)

Morphology

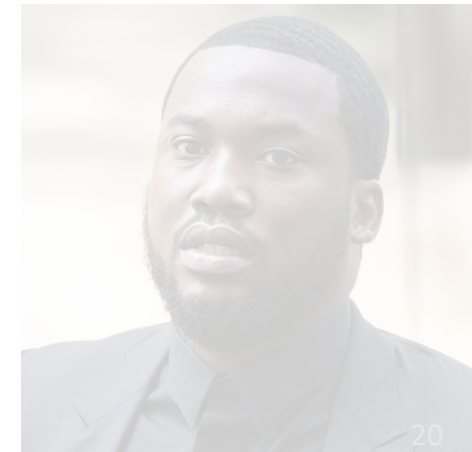
phonology

phonetics

speech

orthography

text



Multiple levels\* to a single word

- Many ways to express the same meaning

## Lexical analysis; normalize and disambiguate words

(e.g., bank, mean, hand it to you, make up, take out)

these

Lexemes

Morphology

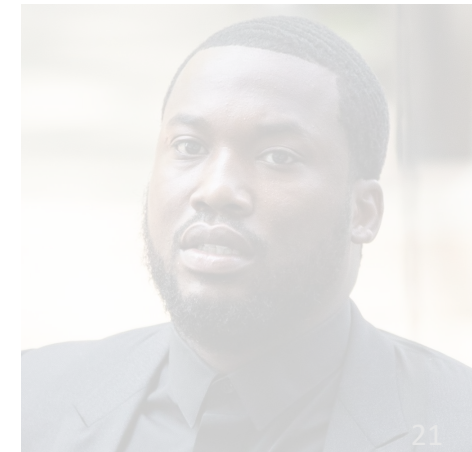
phonology

orthography

phonetics

text

speech



## Multiple levels\* to a single word

Discourse

Pragmatics

Semantics

Syntax

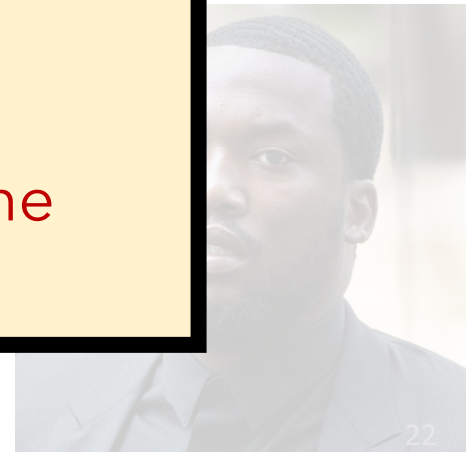
- Many ways to express the **same meaning**
- **Infinite meanings** can be expressed
- Languages widely differ in these complex interactions

\*

**Transform a sequence of characters into a hierarchical/compositional structure**

(e.g., **students hate annoying professors; Mary saw the old man with a telescope**)

speech



# Multiple levels\* to a single word

Discourse

Pragmatics

Semantics

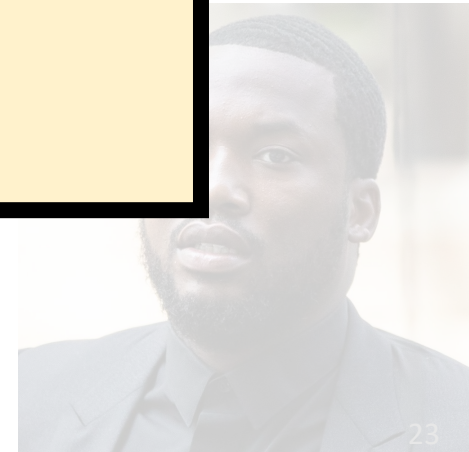
- Many ways to express the **same meaning**
- **Infinite meanings** can be expressed
- Languages widely differ in these complex interactions

**Determines meaning**

(e.g., NLU / intent recognition; natural language inference; summarization; question-answering)

phonetics  
speech

text



# Multiple levels\* to a single word

Discourse

Pragmatics

Semantics

- Many ways to express the same meaning
- Infinite meanings can be expressed
- Languages widely differ in these

## **Understands how context affects meaning**

(i.e., not only concerns how meaning depends on structural and linguistic knowledge (grammar) of the speaker, but on the context of the utterance, too)

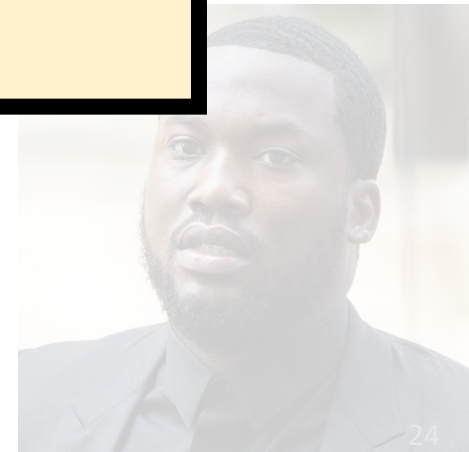
phonology

phonetics

speech

orthography

text





## Multiple levels\* to a single word

- Many ways to express the same meaning

- Infinite meanings can be

Discourse

### **Understands structures and effects of interweaving dialog**

(i.e., Jhene tried to put the trophy in the suitcase but **it** was too big. She finally got **it** to close.)

Morphology

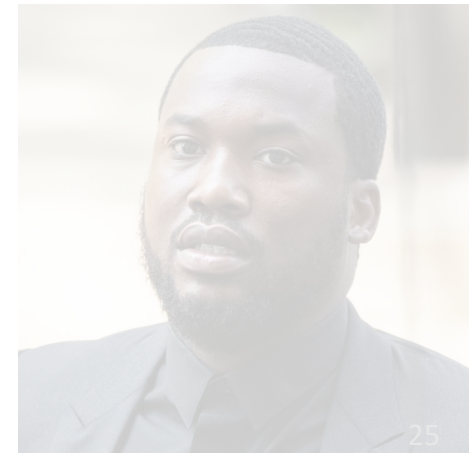
phonology

orthography

phonetics

speech

text



Language is complex.

Humans operate on language.

Computers do not.

We need computers to understand the meaning of language, and that starts with how we **represent language**.

# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs

# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs

# Meaning

---

What does meaning even *mean*?

Def<sub>1</sub> The idea that is represented by a word, phrase, etc

Def<sub>2</sub> The idea that is expressed

Def<sub>3</sub> The idea that a person aims to express

# Meaning

---

Our goal:

Create a fixed representation (an embedding, aka vector) that somehow approximates “meaning”, insofar as being useful for downstream language task(s).

(i.e., NLP isn't too picky in terms of which type of meaning; just want it to help us do stuff)

# Meaning

Two distinct forms of representation that NLP is interested in:

## Type-based:

a single, global embedding for each word, independent of its context.

## Token-based

(aka contextualized word representations):

a distinct embedding for every occurrence of every word, completely dependent on its context.

# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs



# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs

# How

---

## Natural idea:

Use expressive, external resources that define real-world relationships and concepts

(e.g., WordNet, BabelNet, PropBank, VerbNet, FrameNet, ConceptNet)

# How

---

## Natural idea:

Use expressive, external resources that define real-world relationships and concepts

(e.g., WordNet, BabelNet, PropBank, VerbNet, FrameNet, ConceptNet)

# WordNet

A large lexical database with English nouns, verbs, adjectives, and adverbs grouped into over 100,000 sets of **cognitive synonyms** (*synsets*) – each expressing a different concept.

---

Most frequent relation: super-subordinate relation ("is-a" relations).

{furniture, piece\_of\_furniture}

Fine-grained relations:

{bed, bunkbed}

Part-whole relations:

{chair, backrest}

Synonyms:

{adept, expert, good, practiced, proficient}

# ConceptNet

---

A multilingual **semantic** knowledge graph, designed to help computers understand the meaning of words that people use.

---

- Started in **1999**. Pretty large now.
- Finally becoming useful (e.g, *commonsense reasoning*)
- Has synonyms, ways-of, related terms, derived terms



An English term in ConceptNet 5.8

**Sources:** Open Mind Common Sense contributors, Verbosity players, German Wiktionary, English Wiktionary, French Wiktionary, and Open Multilingual WordNet  
[View this term in the API](#)

[Documentation](#)

[FAQ](#)

### Synonyms

- ar** عَلَّمَ (v, change) →
- ar** عَلَّمَ (v, communication) →
- ca** ensenyar (v, change) →
- ca** ensenyar (v, communication) →
- ca** informar (v, communication) →
- ca** instruir (v, change) →
- ca** instruir (v, communication) →
- da** lære (v, communication) →
- en** instruct (v, communication) →
- en** learn (v, communication) →

### Ways of teach

- en** catechize (v, communication) →
- en** coach (v, communication) →
- en** condition (v, social) →
- en** drill (v, cognition) →
- en** enlighten (v, communication) →
- en** ground (v, communication) →
- en** indoctrinate (v, cognition) →
- en** induct (v, communication) →
- en** lecture (v, communication) →
- en** mentor (v, communication) →

### Related terms

- sh** naučiti (v) →
- sh** obučavati (v) →
- sh** obučiti (v) →
- sh** podučiti (v) →
- sh** predavati (v) →
- sh** uputiti (v) →
- sh** upućivati (v) →
- sh** učiti (v) →
- ab** артапа (v) →
- ab** атапа (v) →

### Derived terms

- en** beteach →
- en** coteach →
- en** foreteach →
- en** forteach →
- en** microteach →
- en** overteach →
- en** pre teach →
- en** reteach →
- en** teachability →
- en** teacher →

# How

---

## Problems with these external resources:

- Great resources but ultimately **finite**
- Can't perfectly capture **nuance** (especially context-sensitive)  
(e.g., 'proficient' is grouped with 'good', which isn't always true)
- Will always have many **out-of-vocabulary terms** (OOV)  
(e.g., COVID19, Brexit, bet, wicked, stankface)
- Subjective
- Laborious to annotate
- Type-based word similarities are doomed to be imprecise

# How

---

## Naïve, bad idea:

Represent words as discrete symbols, disjoint from one another

Example: **Automobile** = [ 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 ]

**Car** = [ 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 ]

- The embeddings are **orthogonal** to each other, despite being highly similar.
- **Semantic similarity** is completely absent!
- **Embedding size** = size of vocabulary (could be over 100,000 in length!)



# How

---

Instead, here's a great idea:

Learn to encode **semantic** and **syntactic** similarity automatically, based on unstructured text (i.e., no need for human annotation).

Let's use vast amounts of unstructured text





**Intuition:** we don't need supervised labels; treat it as a **self-supervised** task



## Two distinct approaches:

### Count-based (Distributional Semantic Models):

older approaches that often count co-occurrences and perform matrix operations to learn representations.

Always of the **type-based** form.

### Predictive Models:

Neural Net approaches that learn representations by making co-occurrence-type predictions.

Can be **type-based** or **token-based**.

# How

---

## Two distinct approaches:

Both approaches rely on **word co-occurrences** as their crux, either implicitly or explicitly.

**Intuition:** a word's meaning is captured by the words that frequently appear near it.

*"You shall know a word by the company it keeps"*  
– Firth (1957)

This single idea/premise/assumption is arguably the **most important and useful artifact in NLP.**

It fuels the creation of rich embeddings, which in turn plays a role in every state-of-the-art system.

*"You shall know a word by the company it keeps"*

– Firth (1957)

## Context window size of 3

We went to the bank to withdraw money again.

The bank teller gave me quarters today.

Rumor has it, someone tried to rob the bank this afternoon.

Later today, let's go down to the river bank to fish.

The highlighted words will ultimately define the word bank

## Count-based (Distributional Semantic Models):

*"I like data science. I like computer science. I love data."*

### Issues:

- Counts increase in size w/ vocabulary
- Very high dimensional → storage concerns
- Sparsity issues during classification



## Count-based (Distributional Semantic Models):

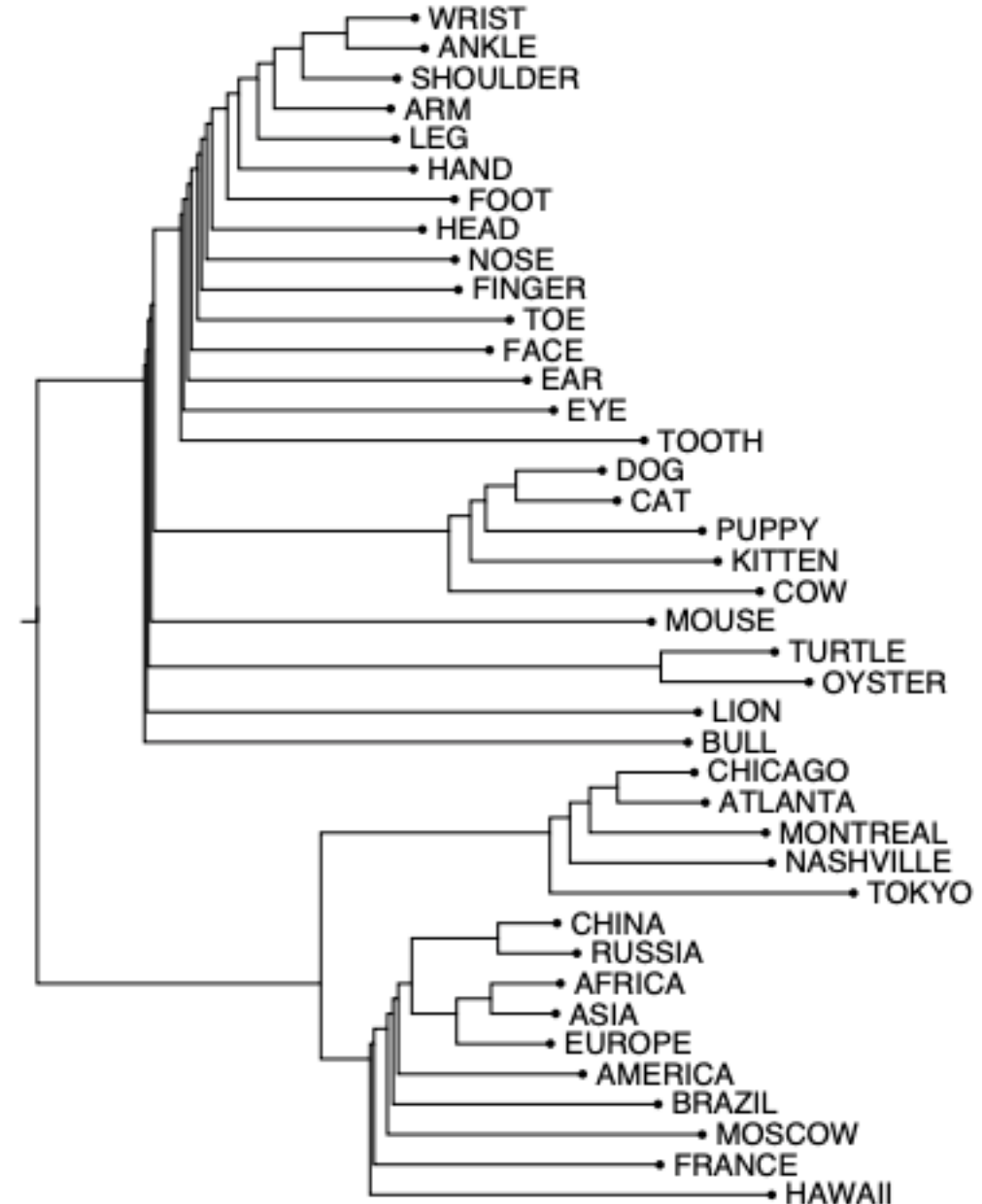
*"I like data science. I like computer science. I love data."*

### Workarounds:

- Reduce to a smaller, more important set of features/dimensions (e.g., 50 - 1,000 dimensions)
- Could use matrix factorization like **SVD** or **LSA** to yield dense vectors

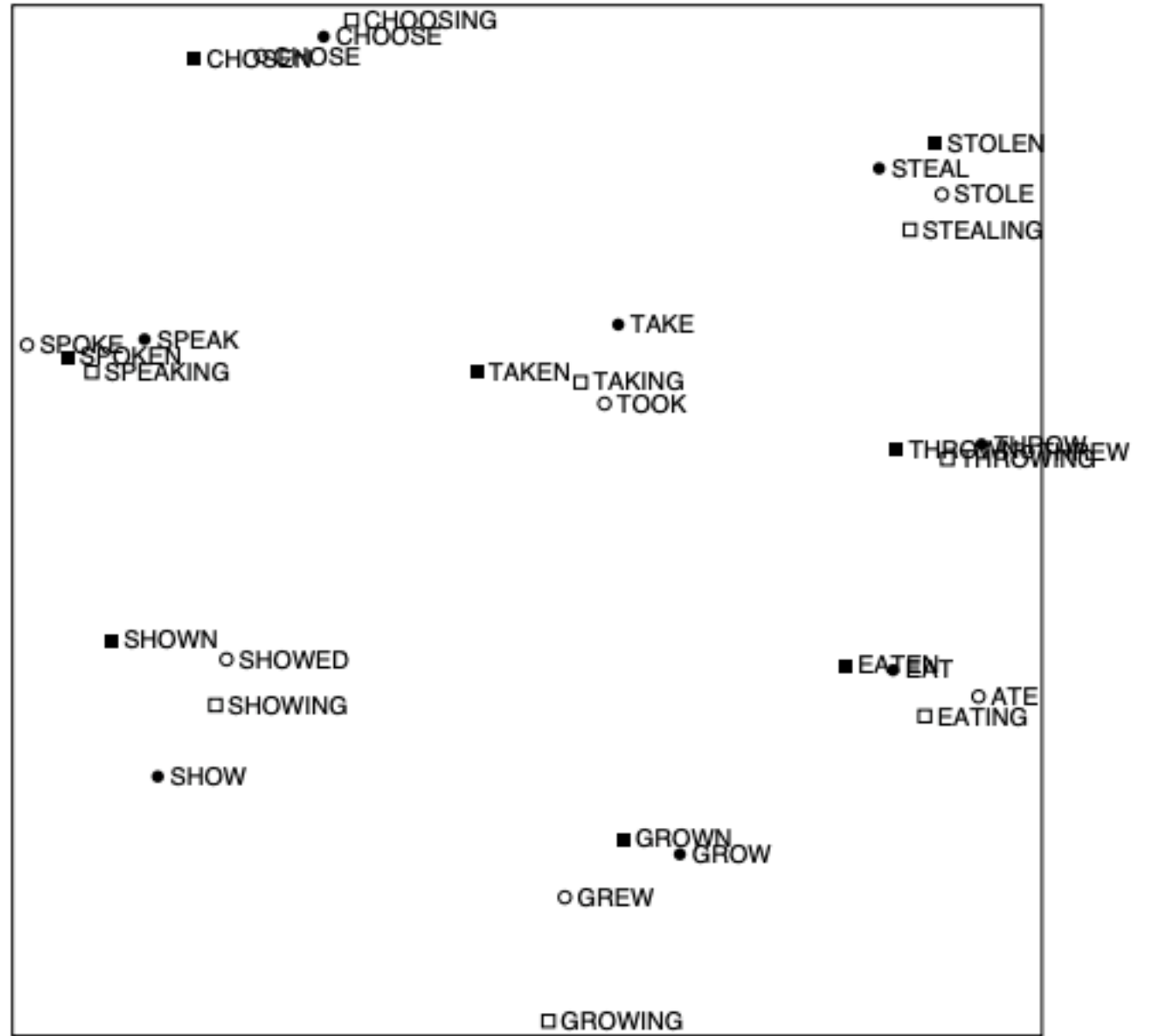
# Count-based (Distributional Semantic Models):

Even these count-based + SVD models can yield interesting results



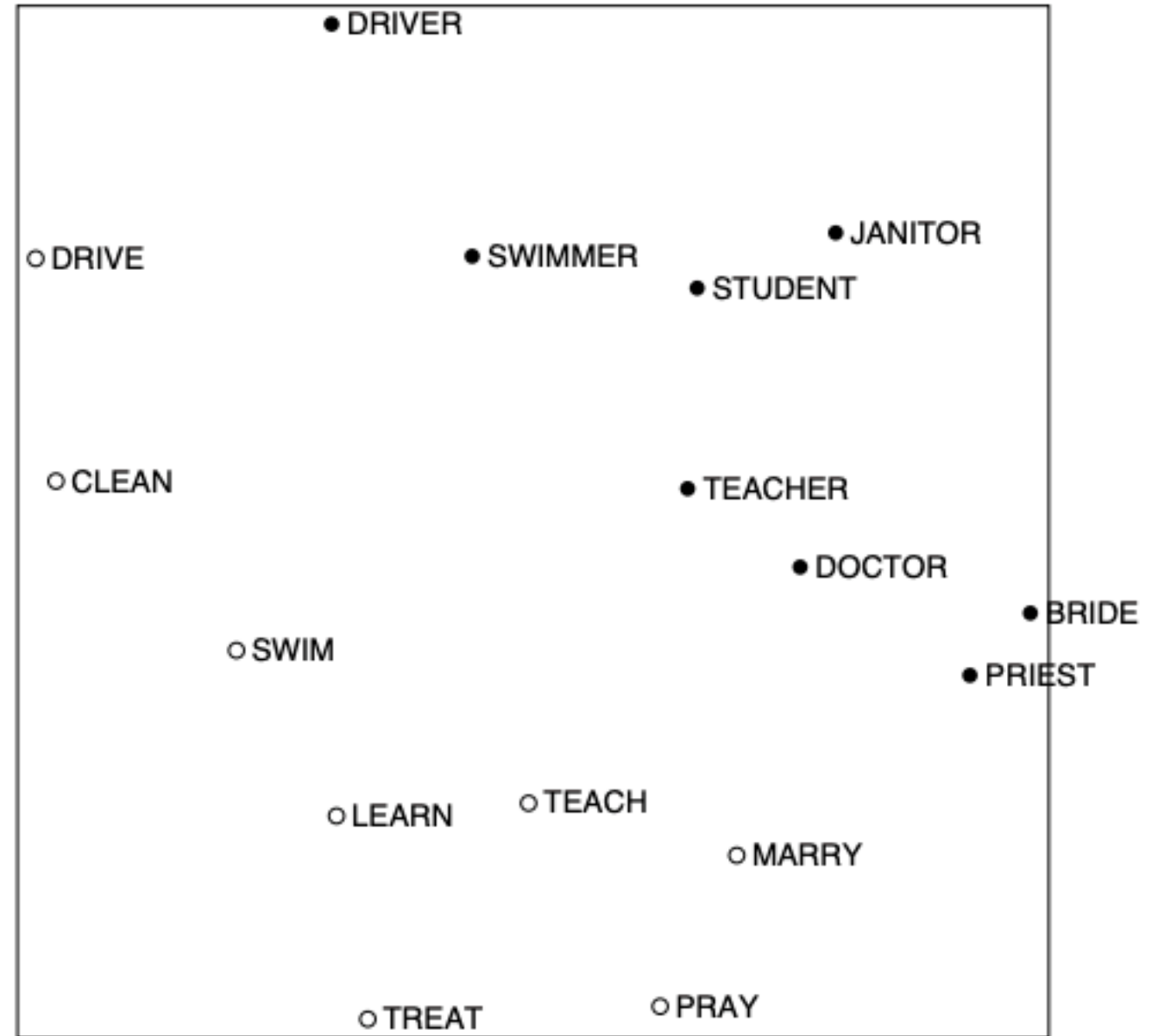
## Count-based (Distributional Semantic Models):

Even these count-based + SVD models can yield interesting results



# Count-based (Distributional Semantic Models):

Even these count-based + SVD models can yield interesting results



## Count-based (Distributional Semantic Models):

### Remaining Issues:

- Very computationally expensive. Between  $O(n^2)$  and  $O(n^3)$
- Clumsy for handling new words added to the vocab

## Count-based (Distributional Semantic Models):

**Alternatively:** let's just directly work in the low-dimension, embedding space! No need for post-matrix work or huge, sparse matrices.

Here comes neural nets, and the embeddings they produce are referred to as **distributed representations**.

# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs

# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs



Neural models (i.e., predictive, not count-based DSMs):

The neural models presented in this section of the lecture are all type-based, as that was the form of nearly every neural model before 2015.

The revolutionary work started in 2013 with word2vec (type-based). However, back in 2003, Bengio lay the foundation w/ a very similar neural model.

Neural models (i.e., predictive, not count-based DSMs):

**Disclaimer:** As a heads-up, no models create embeddings such that the dimensions actually correspond to linguistic or real-world phenomenon.

The embeddings are often really great and useful, but no single embedding (in the absence of others) is interpretable.

## Neural models (i.e., predictive, not count-based DSMs):

- Window of context for input

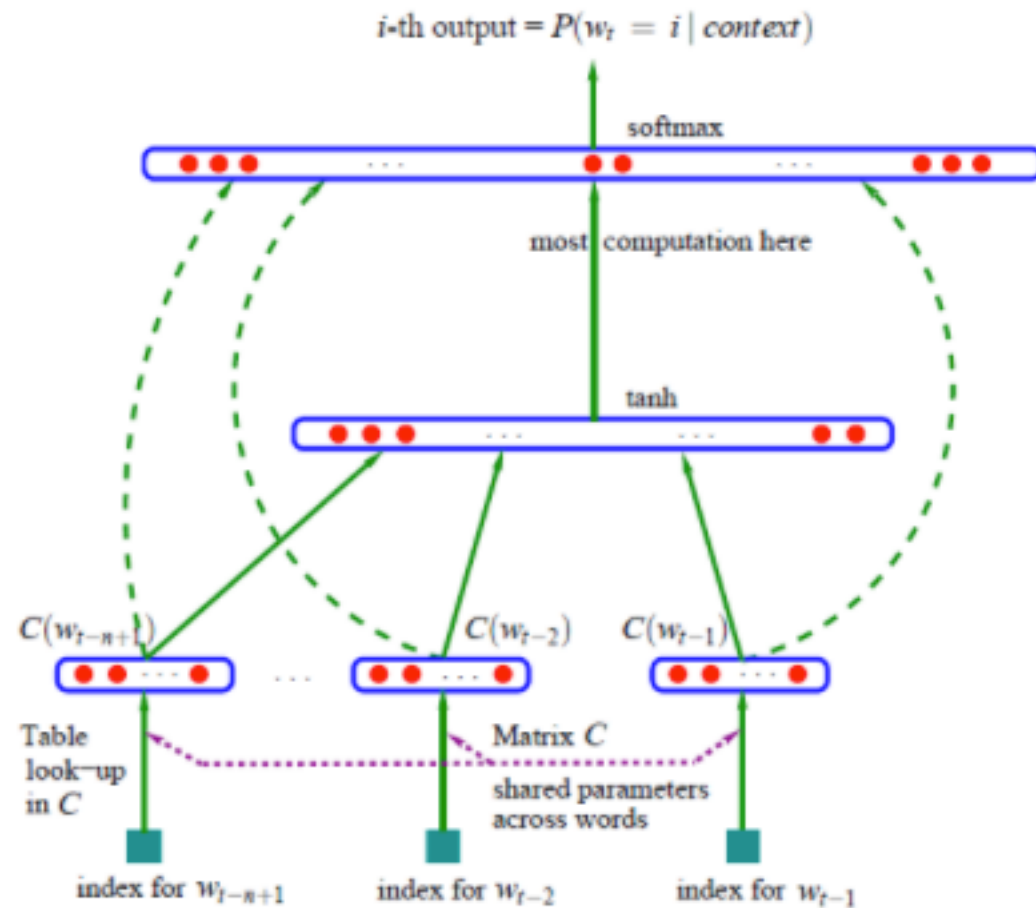


Figure 2: Classic neural language model (Bengio et al., 2003)

## Neural models (i.e., predictive, not count-based DSMs):

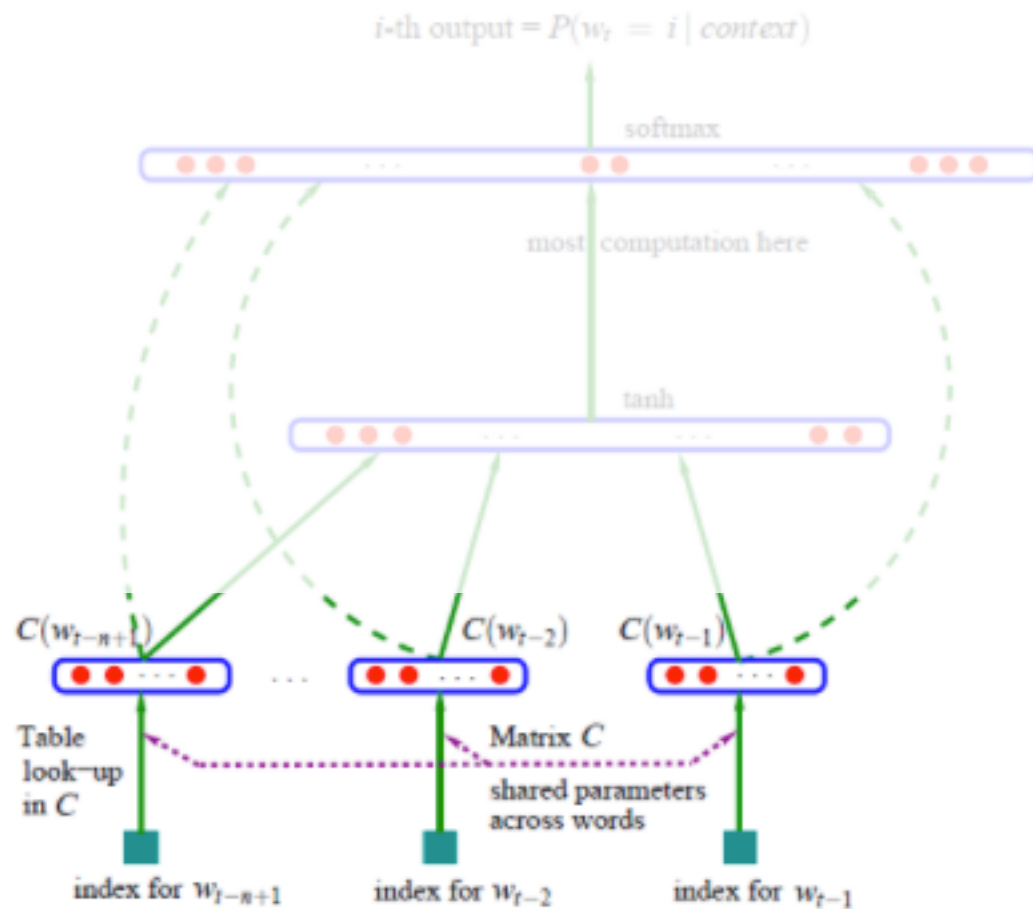


Figure 2: Classic neural language model (Bengio et al., 2003)

- Window of context for input
- **Embedding Layer**: generates word embeddings by multiplying an index vector with a word embedding matrix

## Neural models (i.e., predictive, not count-based DSMs):

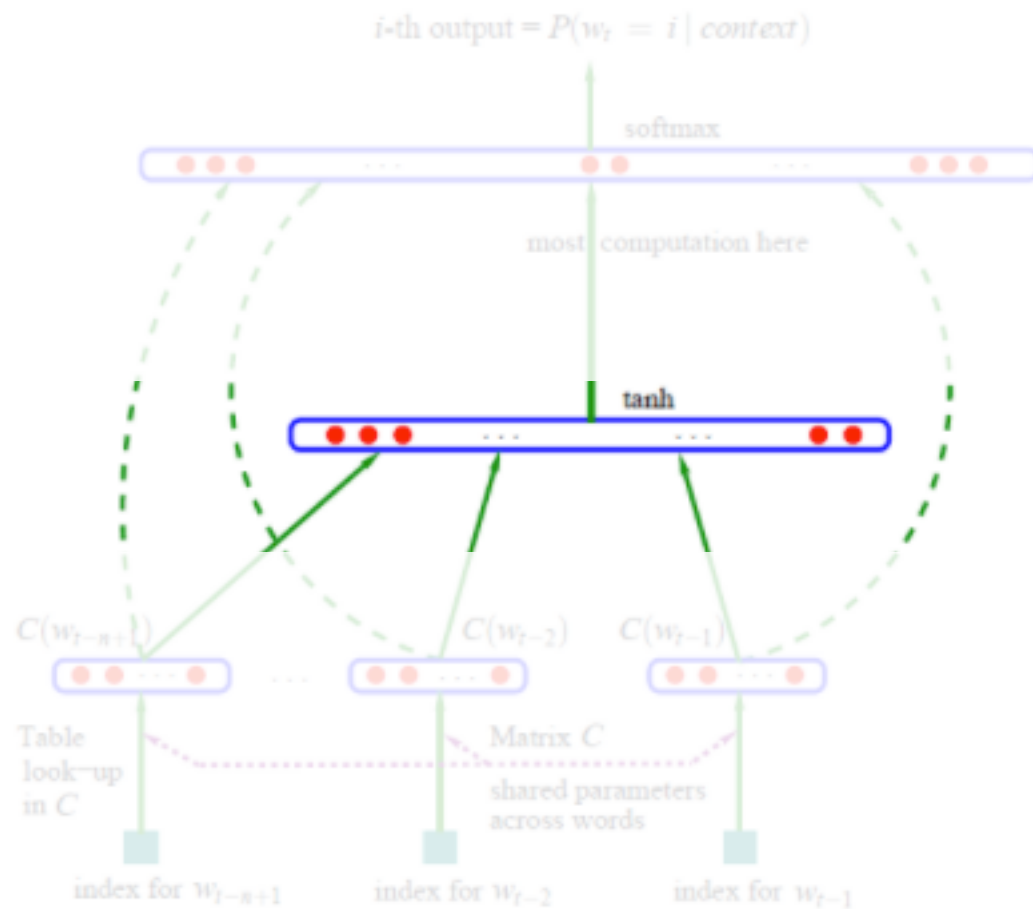


Figure 2: Classic neural language model (Bengio et al., 2003)

- Window of context for input
- **Hidden Layer(s)**: produce intermediate representations of the input (this is what we'll ultimately grab as our word embeddings)

## Neural models (i.e., predictive, not count-based DSMs):

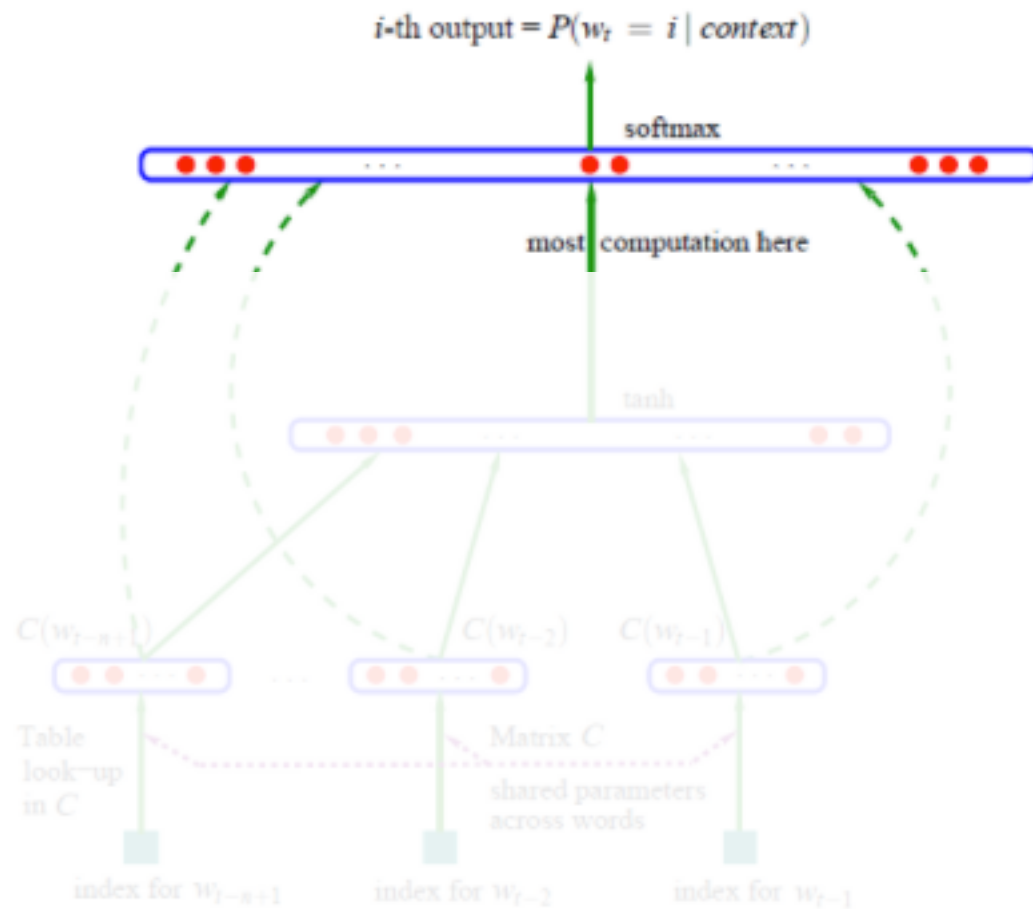


Figure 2: Classic neural language model (Bengio et al., 2003)

- Window of context for input
- **Softmax Layer**: produces probability distribution over entire vocabulary  $V$

## Neural models (i.e., predictive, not count-based DSMs):

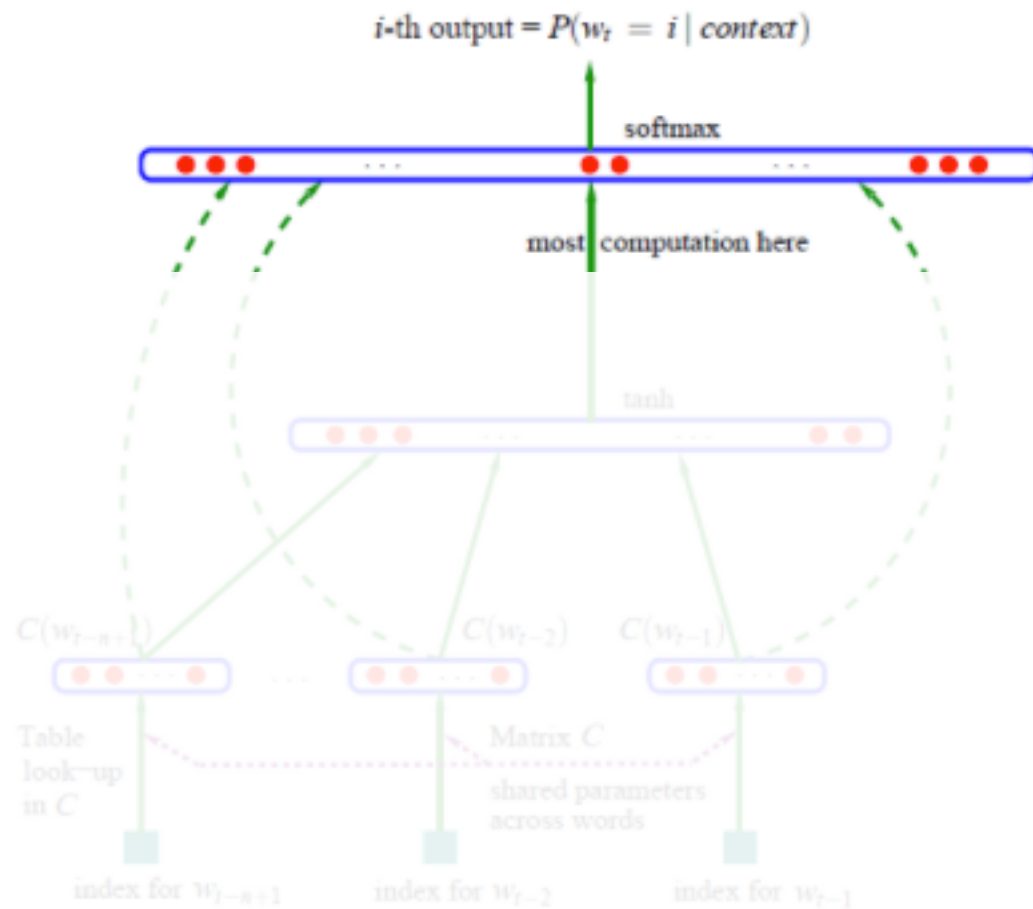


Figure 2: Classic neural language model (Bengio et al., 2003)

- **Main bottleneck:** the final *softmax* layer is computationally expensive (hundreds of thousands of classes)
- In 2003, data and compute resources weren't as powerful. Thus, we couldn't fully see the benefits of this model.

word2vec! (2013)



Neural models (i.e., predictive, not count-based DSMs):

word2vec, in many ways, can be viewed as a catalyst for all of the great NLP progress since 2013.

It was the first neural approach that had undeniable, profound results, which bootstrapped immense research into neural networks, especially toward the task of language modelling.

## Neural models (i.e., predictive, not count-based DSMs):

It was generally very similar to Bengio's 2003 feed-forward neural net, but it made several crucial improvements:

- Had no expensive hidden layer (quick dot-product multiplication instead)
- Could factor in additional context
- Two clever architectures:
  - Continuous bag-of-words (CBOW)
  - SkipGram (w/ Negative Sampling)

word2vec (predictive, not count-based DSMs):

**Continuous Bag-of-Words (CBOW):** given the context that surrounds a word  $w_i$  (but not the word itself), try to predict the hidden word  $w_i$ .

**CBOW** is much faster than **SkipGram** (even if **SkipGram** has Negative Sampling)

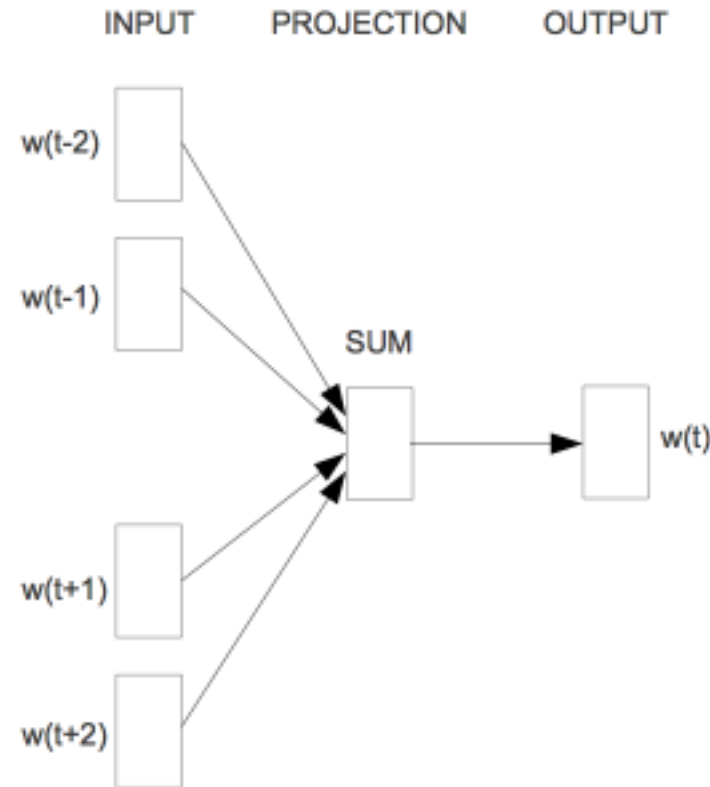


Figure 4: Continuous bag-of-words (Mikolov et al., 2013)

word2vec (predictive, not count-based DSMs):

SkipGram: given only a word  $w_i$  predict the word's context!

SkipGram is much slower than CBOW, even if SkipGram uses Negative Sampling.

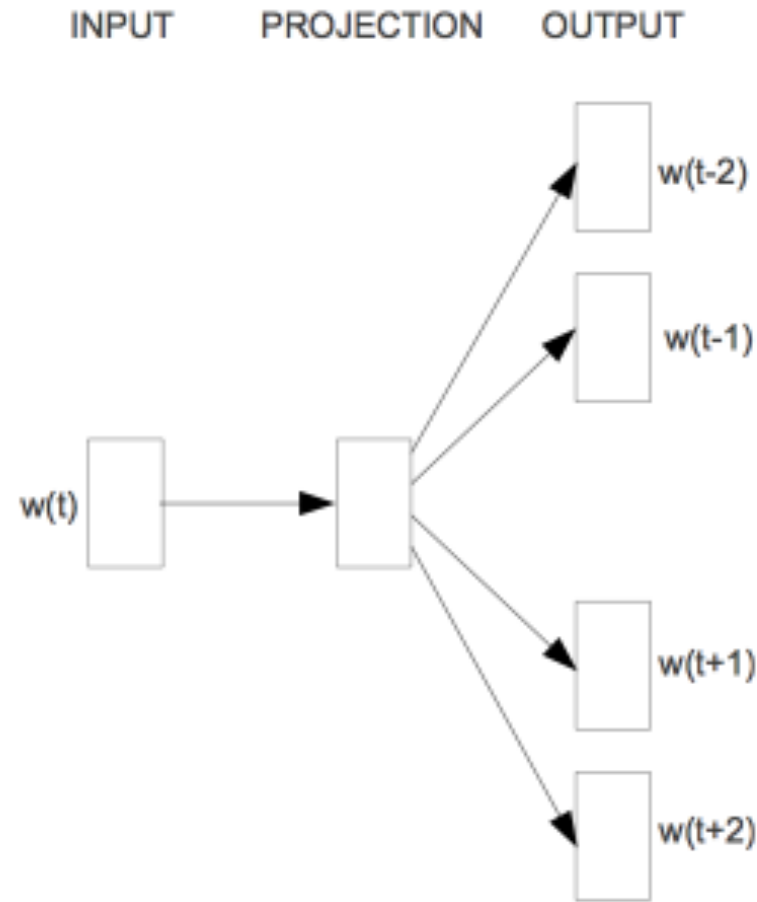


Figure 5: Skip-gram (Mikolov et al., 2013)

**word2vec** (predictive, not count-based DSMs):

SkipGram w/ Negative Sampling: **"Negative Sampling"** is one of the clever tricks with word2vec; instead of only feeding into the model positive pairs, they intelligently provide the model w/ a fixed set of negative examples, too. This improves the quality of the embedding.

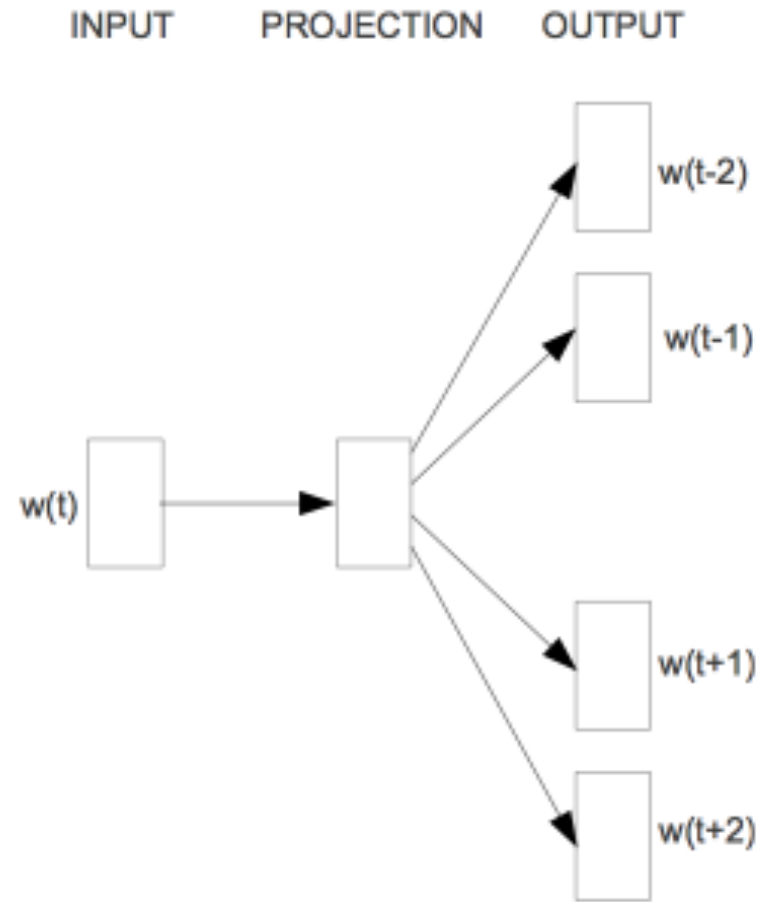
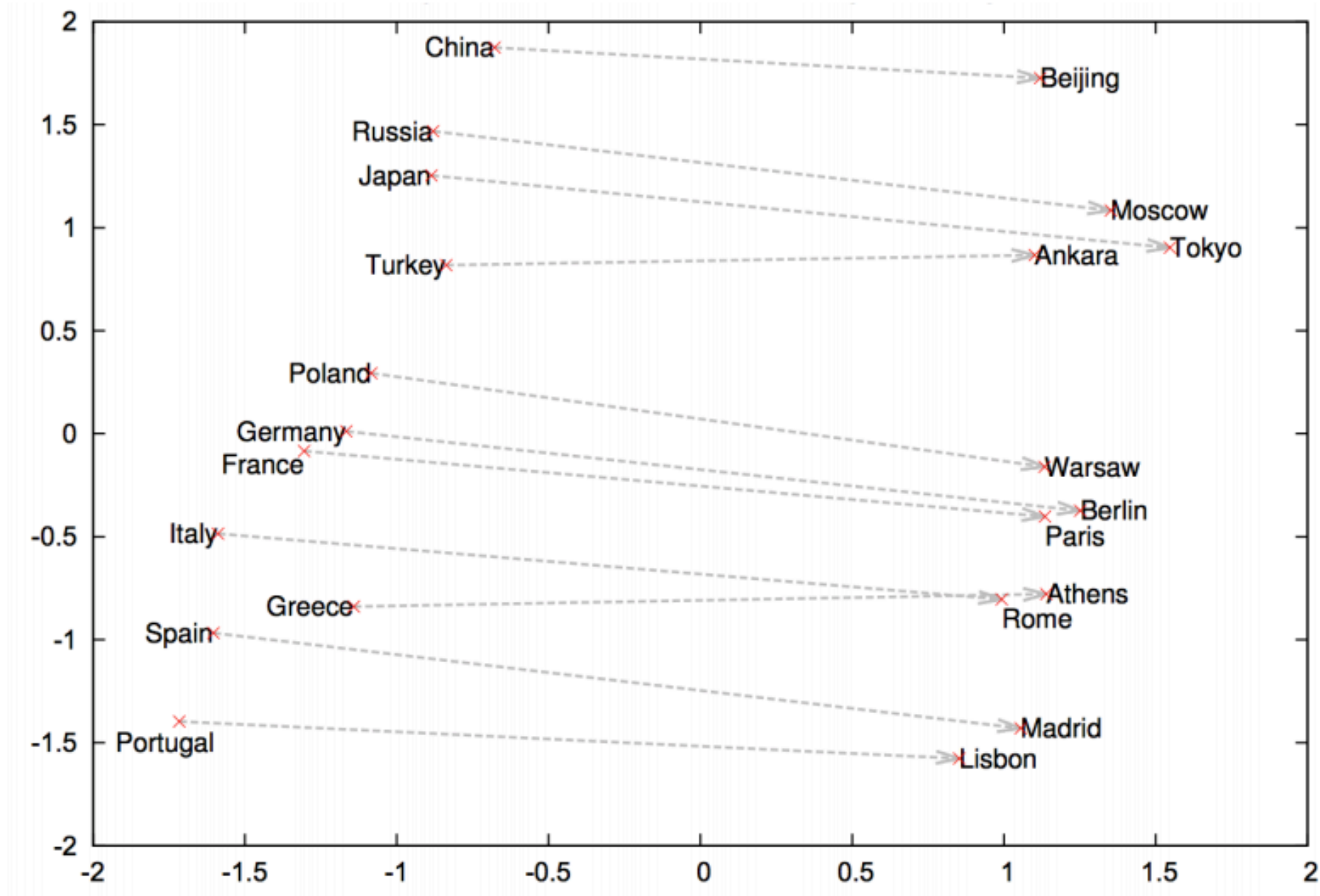


Figure 5: Skip-gram (Mikolov et al., 2013)

word2vec (predictive, not count-based DSMs):

- SkipGram w/ Negative Sampling tends to outperform CBOW
- SkipGram w/ Negative Sampling is slower than CBOW
- Both SkipGram and CBOW are predictive, neural models that take a type-based approach (not token-based).
- Both SkipGram and CBOW can create rich word embeddings that capture both semantic and syntactic information.

## word2vec (examples of its embeddings)



# word2vec (examples of its embeddings)

Incredible finding!!!

king - man + woman  $\approx$  queen





GloVe! (2014)

## GloVe (predictive, not count-based DSMs):

- GloVe aims to take the benefits of both word2vec (predictive model) and old count-based DSM models.
- Type-based (not token-based)
- Unsupervised
- Aggregates global word co-occurrences and cleverly calculates ratios of co-occurring words.
- Fast and scalable to large corpora
- Good performance even on small corpora

GloVe (predictive, not count-based DSMs):

**Crucial insight:** Ratios of co-occurrence probabilities can encode meaning components

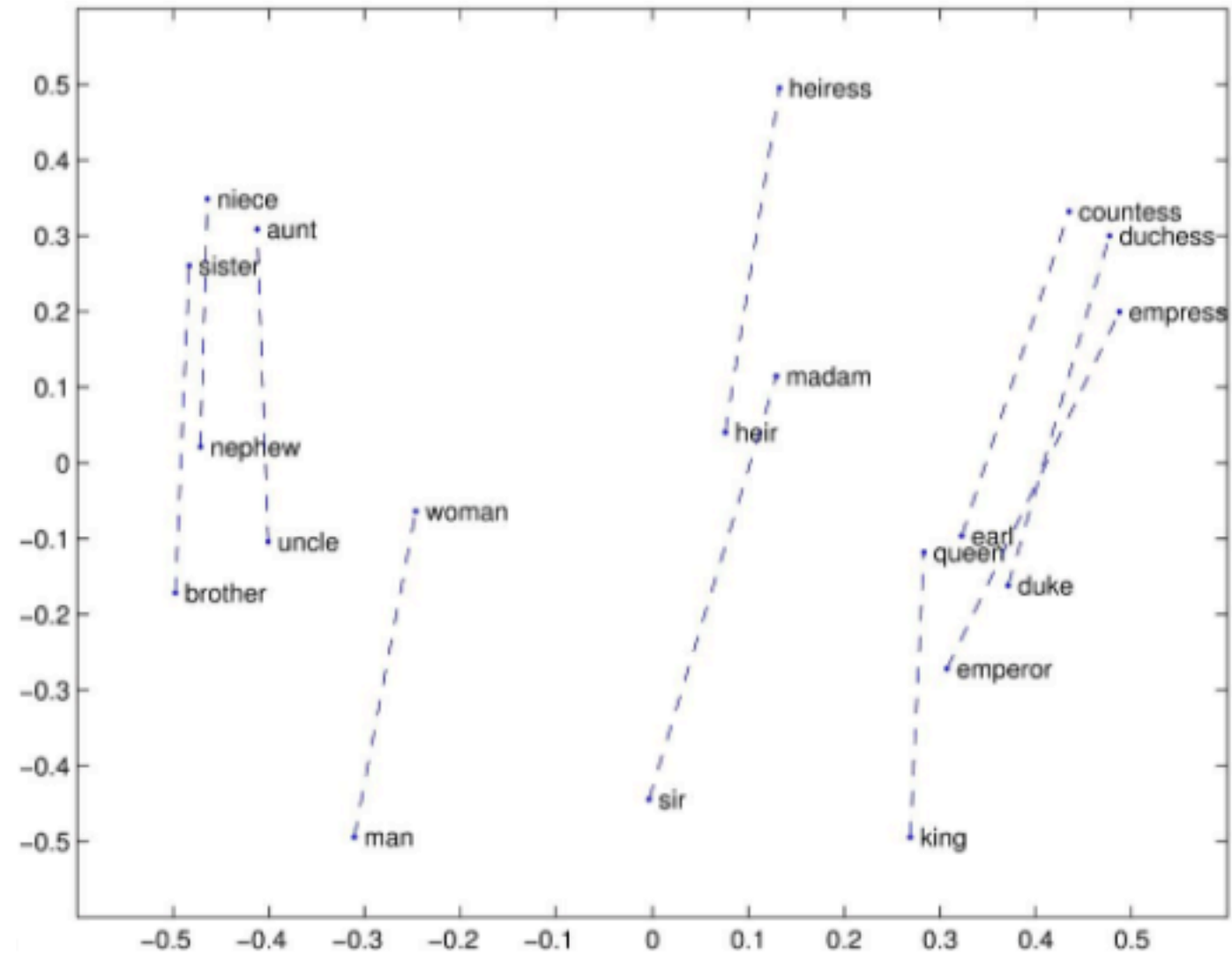
|   | $x = \text{solid}$ | $x = \text{gas}$ | $x = \text{water}$ | $x = \text{random}$ |
|---|--------------------|------------------|--------------------|---------------------|
| $P(x \text{ice})$                           | large              | small            | large              | small               |
| $P(x \text{steam})$                         | small              | large            | large              | small               |
| $\frac{P(x \text{ice})}{P(x \text{steam})}$ | large              | small            | $\sim 1$           | $\sim 1$            |

GloVe (predictive, not count-based DSMs):

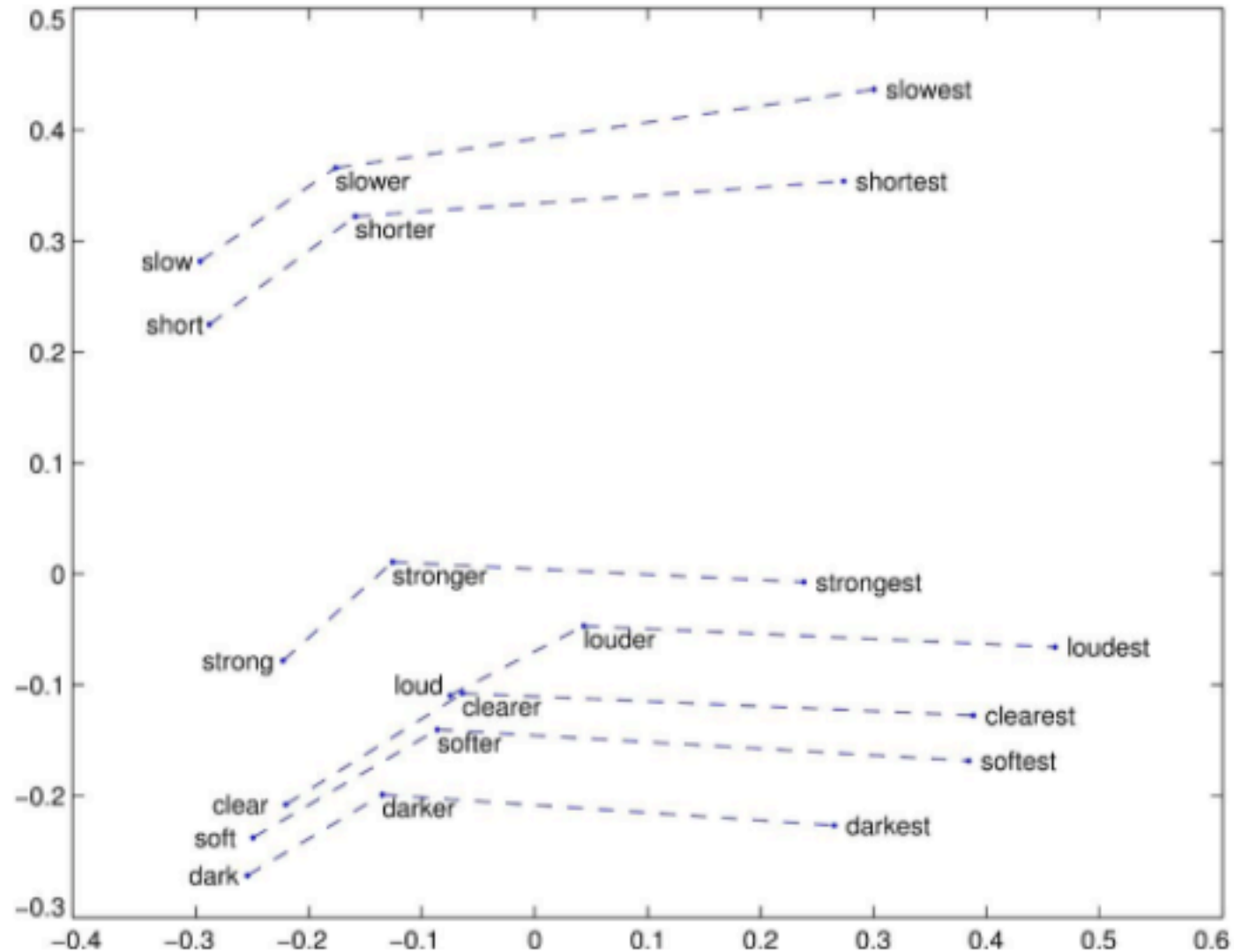
**Crucial insight:** Ratios of co-occurrence probabilities can encode meaning components

|   | $x = \text{solid}$   | $x = \text{gas}$     | $x = \text{water}$   | $x = \text{fashion}$ |
|---|----------------------|----------------------|----------------------|----------------------|
| $P(x \text{ice})$                           | $1.9 \times 10^{-4}$ | $6.6 \times 10^{-5}$ | $3.0 \times 10^{-3}$ | $1.7 \times 10^{-5}$ |
| $P(x \text{steam})$                         | $2.2 \times 10^{-5}$ | $7.8 \times 10^{-4}$ | $2.2 \times 10^{-3}$ | $1.8 \times 10^{-5}$ |
| $\frac{P(x \text{ice})}{P(x \text{steam})}$ | 8.9                  | $8.5 \times 10^{-2}$ | 1.36                 | 0.96                 |

# GloVe (predictive, not count-based DSMs):



# GloVe (predictive, not count-based DSMs):



# TAKEAWAYS

- word2vec and GloVe are great
- But, all neural models discussed so far (i.e., pre-2015) were **type-based**. Thus, we had a **single word embedding** for each word-type.
- A **feed-forward neural net** is a clumsy, inefficient way to handle context, as it has a fixed context that is constantly being overwritten (no persistent hidden state).

# TAKEAWAYS

- These **type-based** neural models are also very limiting for any particular corpora or downstream NLP task
- More useful would be predictive, **token-based** models



# LSTMs! (token-based, contextualized word embeddings)

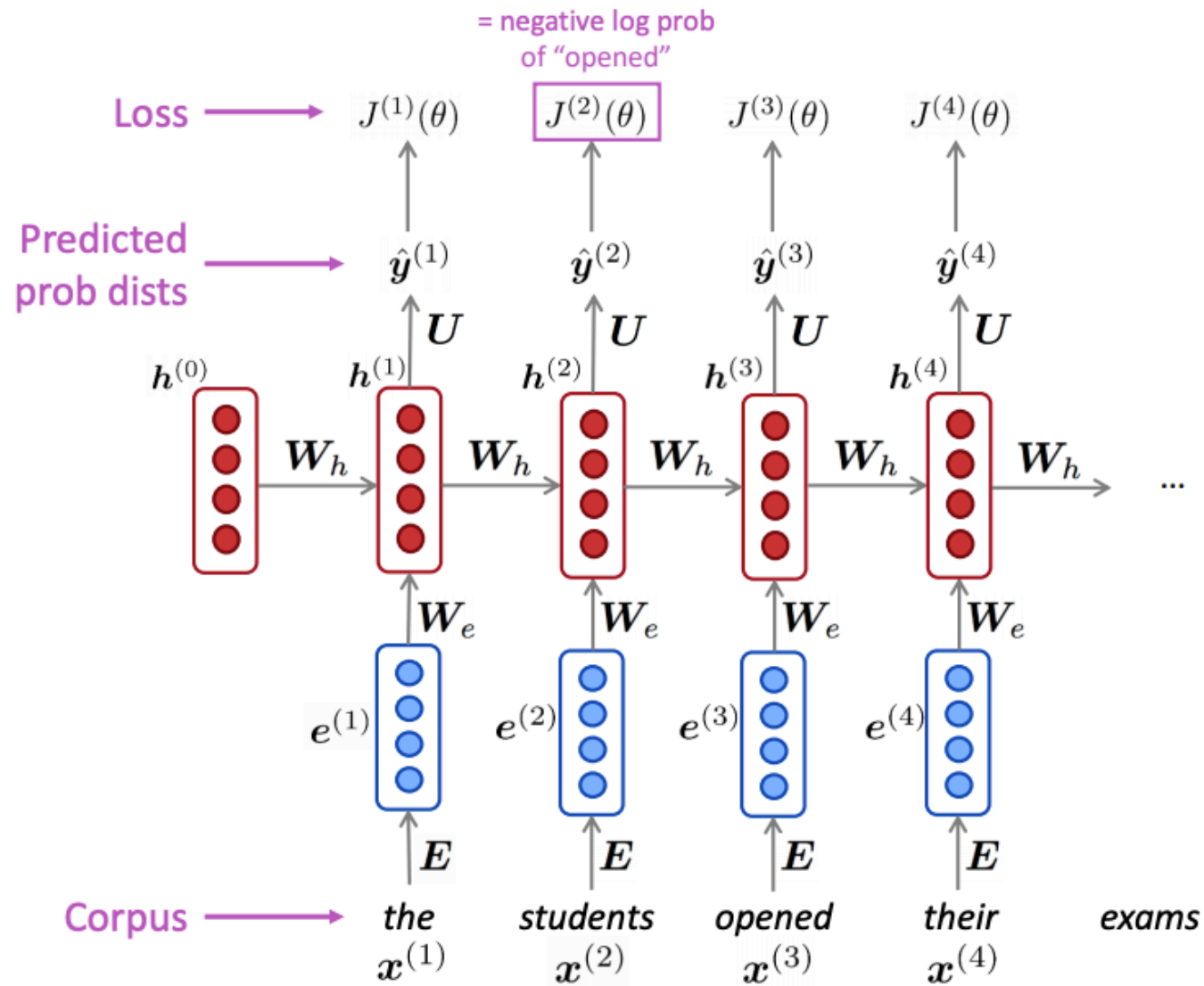


Photo credit: [Abigail See](#)

## LSTMs! (token-based, contextualized word embeddings)

- Can process any length input
- Long-term context/memory
- Model size doesn't increase w/ the size of the vocabulary or input size
- Yields us with corpus-specific representations (aka token-based)!

## LSTMs! (token-based, contextualized word embeddings)

When trained on Harry Potter, the LSTM's LM can generate decent text, too!

“Sorry,” Harry shouted, panicking—“I’ll leave those brooms in London, are they?”

“No idea,” said Nearly Headless Nick, casting low close by Cedric, carrying the last bit of treacle Charms, from Harry’s shoulder, and to answer him the common room perched upon it, four arms held a shining knob from when the spider hadn’t felt it seemed. He reached the teams too.

Source: <https://medium.com/deep-writing/harry-potter-written-by-artificial-intelligence-8a9431803da6>

# Contextualized word embeddings

- Models that produce **contextualized embeddings** can be simultaneously used for other tasks such as **text classification or sentiment analysis** (a classification task).
- With **N** inputs, an LSTM (or Transformer, as we'll see next lecture) can produce any number of outputs! e.g., either **1** output, **N** outputs, or **M** outputs.

# Contextualized word embeddings

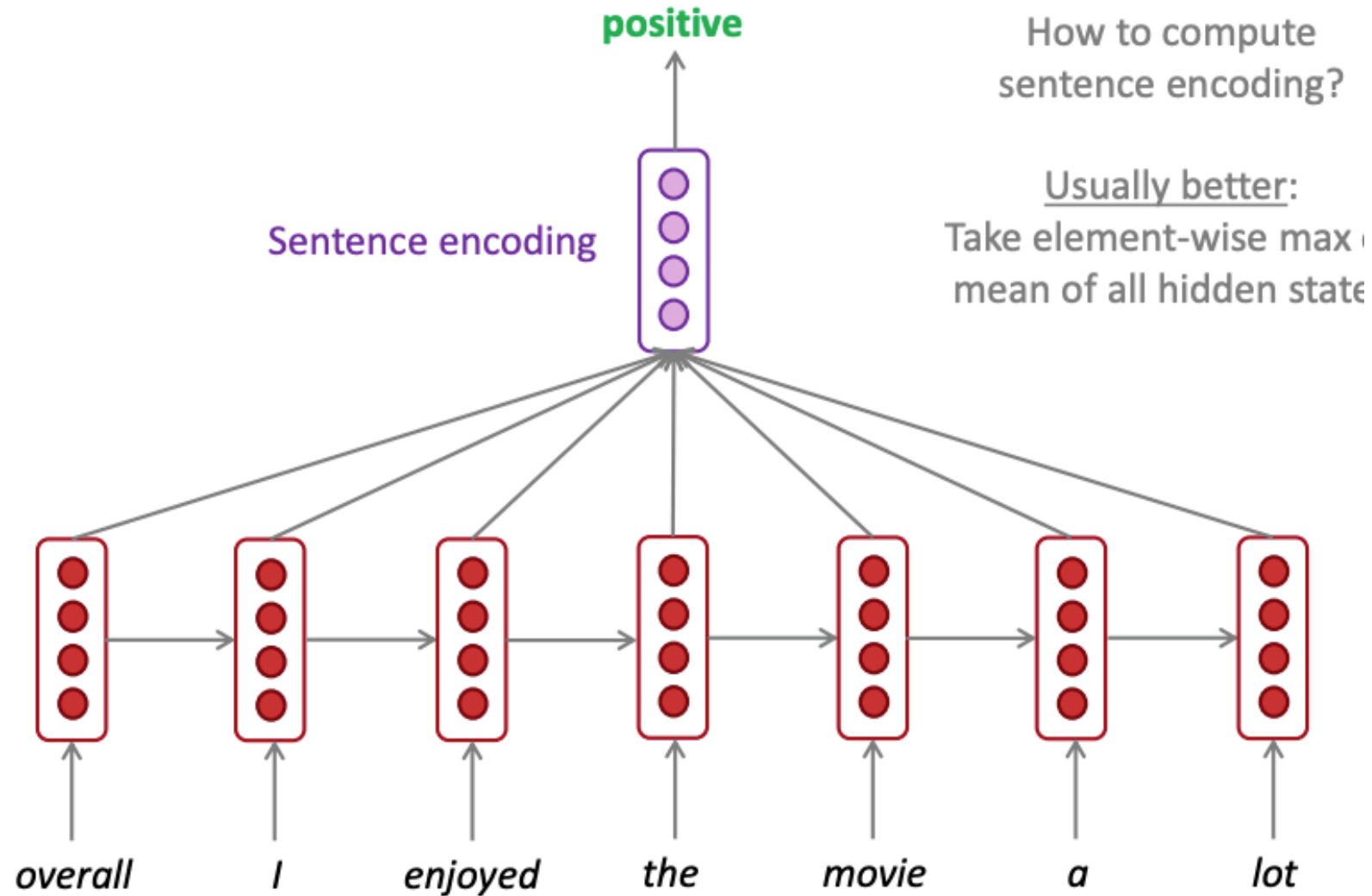


Photo credit: [Abigail See](#)

# Outline



Recap where we are



Representing Language



What



How



Modern Breakthroughs

# SUMMARY

- Word embeddings are either **type-based** or **token-based** (**contextualized embeddings**)
- **Type-based models** include earlier neural approaches (e.g., word2vec, GloVe, Bengio's 2003 FFNN) and counting-based DSMs.
- **word2vec** was revolutionary and sparked immense progress in NLP
- LSTMs demonstrated profound results in 2015 onward.
- Since LSTMs can produce **contextualized embeddings** (aka **token-based**) and a **LM**, **it can be used for essentially any NLP task.**