# Report for Computer GraphicII, HW2 The Application of K-means Clustering

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Acknowledgements:

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You should answer the questions in English

You can choose C++ or Python, and no restrictions on programming framework. You can freely use frameworks such as openGL.

The **report** submits as a PDF file to gradscope, the programming part should package all the files include code, input files, executable file, readme.txt, and report. The **package** name is **your\_student\_name+student\_id.zip**.

You will get Zero if the code not passing the plagiarism check.

## 1 The Application of K-means Clustering

Explore the application of K-means clustering in image superpixel segmentation and mesh simplification. Give details of the algorithms, experimental results, and analysis.

### 1.1 image superpixel segmentation (50 points)

#### 1.1.1 Description of naive K-means Clustering algorithm

Given a set of observations (x1, x2, ..., xn), where each observation is a d-dimensional real vector, k-means clustering aims to partition the n observations into k ( $\leq$ n) sets  $\mathbf{S} = \{S_1, S_2, ..., S_k\}$  so as to minimize the within-cluster sum of squares (WCSS) (i.e. variance). Formally, the objective is to find:

$$\arg\min_{\mathbf{S}} \sum_{i=1}^{k} \sum_{\mathbf{x} \in S_i} \|\mathbf{x} - \boldsymbol{\mu}_i\|^2 = \arg\min_{\mathbf{S}} \sum_{i=1}^{k} |S_i| \operatorname{Var} S_i$$

where  $\mu_i$  is the mean of points in  $S_i$ . This is equivalent to minimizing the pairwise squared deviations of points in the same cluster:

$$|S_i| \sum_{\mathbf{x} \in S_i} \|\mathbf{x} - \boldsymbol{\mu}_i\|^2 = \sum_{\mathbf{x} \neq \mathbf{y} \in S_i} \|\mathbf{x} - \mathbf{y}\|^2$$

The equivalence can be deduced from identity  $|S_i| \sum_{\mathbf{x} \in S_i} \|\mathbf{x} - \boldsymbol{\mu}_i\|^2 = \sum_{\mathbf{x} \neq \mathbf{y} \in S_i} \|\mathbf{x} - \mathbf{y}\|^2$ .

Since the total variance is constant, this is equivalent to maximizing the sum of squared deviations between points in different clusters (between-cluster sum of squares, BCSS), This deterministic relationship is also related to the law of total variance in probability theory.

#### 1.1.2 Algorithm steps of K-means

The algorithm steps of K-means are:

- 1. Select the initial k samples as the initial cluster center;
- 2. For each sample in the dataset, calculate its distance to k cluster centers and classify it into the class corresponding to the cluster center with the smallest distance;
- 3. For each class, recalculate its cluster center (ie, the centroid of all samples belonging to that class);
- 4. Repeat steps 2 and 3 above until a certain termination condition (number of iterations, minimum error change, etc.) is reached.

For which the pseudo-code is:

## 1.2 mesh simplification (50 points)