# RUNE: Reward Uncertainty for Exploration in Preference-based Reinforcement Learning

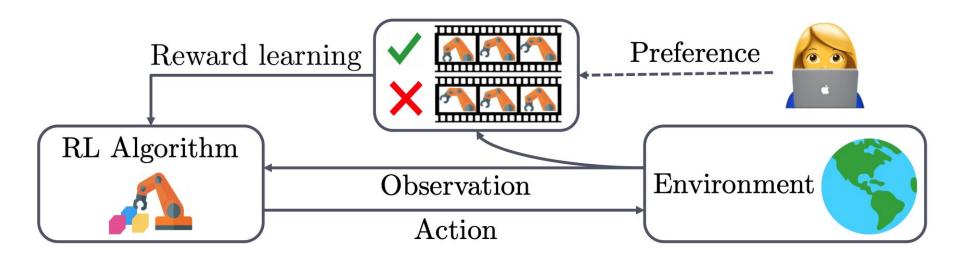
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#### Introduction

#### Background: Preference-based RL

Reward engineering is challenging for many complex tasks in real world [1]. Preference-based RL provides an alternative to resolve this challenge [2]. Human teacher provides preferences between the two behaviors. RL agent utilizes human feedback to learn desired behaviors.



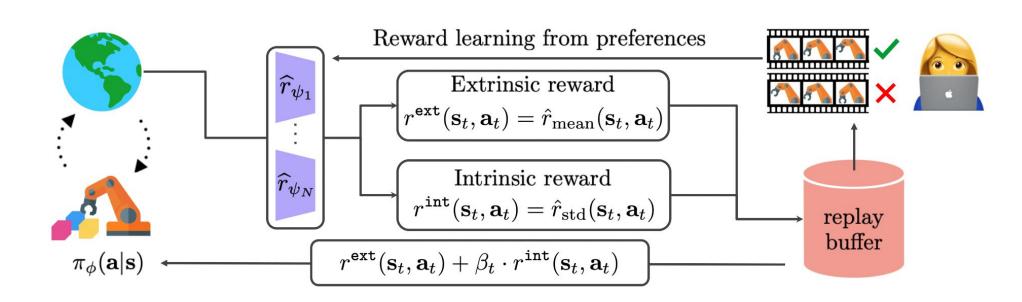
Main research question: How can we improve sample- and feedback-efficiency of preference-based RL?

Human feedbacks are usually expensive and time-consuming to collect.

## Main method: RUNE

#### Overview

In this paper, we present a human-guided exploration method that is based on uncertainty in teacher preferences. Our intuition is that disagreement in the ensemble of learned reward functions measures the level of uncertainty from human preferences.



- We learn an ensemble of reward functions from human preferences.
- We use average predictions of the ensemble as extrinsic rewards from the task environment.
- We use standard deviation in predictions of the ensemble as intrinsic rewards to drive exploration.
- In off-policy RL training, we maximize weighted sum of extrinsic and intrinsic reward.

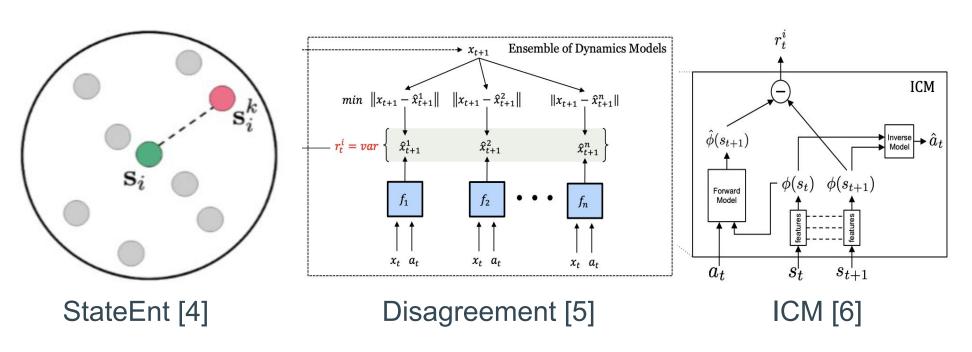
## Experimental results

#### Experimental setups

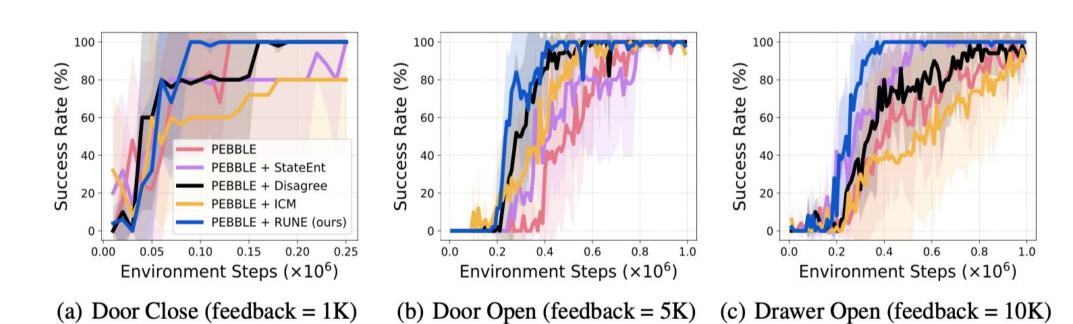
We consider robot manipulation tasks in Meta-World benchmark. For baseline method for comparison, we use preference-based RL algorithm PEBBLE [3]. We train an ensemble of 3 reward functions in all experiments.

#### Improving sample-efficiency

We compare learning curves of our proposed approach RUNE with following existing exploration method baselines:



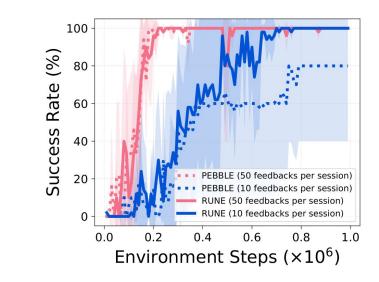
RUNE achieves consistently better sample-efficiency than PEBBLE baseline and other exploration baselines, using same total number of feedback queries during training.



Learning curves on robot manipulation tasks in Meta-World benchmarks as measured on the success rate (%). The solid and shaded regions represent means and standard deviations, respectively, across five runs.

# Ablation study

#### Number of queries per feedback session



RUNE remains stable asymptotic performance with 80% fewer (50 v.s. 10) queries received per feedback session compared to PEBBLE baseline [3].

## Improving feedback-efficiency

We compare performance of RUNE and PEBBLE baseline using different budgets of total feedback queries during training. We use asymptotic success rate evaluated at the end of training as evaluation metrics.

| Task         | Queries | Method        | Final Convergence Success Rate |
|--------------|---------|---------------|--------------------------------|
| Door Close   | 1000    | PEBBLE        | 1 (± 0)                        |
|              |         | PEBBLE + RUNE | $1 (\pm 0)$                    |
|              | 500     | PEBBLE        | $0.8 (\pm 0.4)$                |
|              |         | PEBBLE + RUNE | 1 (± 0)                        |
| Door Open    | 4000    | PEBBLE        | $1 (\pm 0)$                    |
|              |         | PEBBLE + RUNE | $1 (\pm 0)$                    |
|              | 2000    | PEBBLE        | $0.9 (\pm 0.2)$                |
|              |         | PEBBLE + RUNE | <b>1</b> (± <b>0</b> )         |
| Drawer Open  | 10000   | PEBBLE        | $0.98~(\pm~0.08)$              |
|              |         | PEBBLE + RUNE | <b>1</b> (± <b>0</b> )         |
|              | 5000    | PEBBLE        | $0.94 (\pm 0.08)$              |
|              |         | PEBBLE + RUNE | $0.99~(\pm~0.02)$              |
| Sweep Into   | 10000   | PEBBLE        | $0.8 (\pm 0.4)$                |
|              |         | PEBBLE + RUNE | 1 (± 0)                        |
|              | 5000    | PEBBLE        | $0.87~(\pm~0.12)$              |
|              |         | PEBBLE + RUNE | $0.9~(\pm~0.14)$               |
| Window Close | 1000    | PEBBLE        | $0.94 (\pm 0.08)$              |
|              |         | PEBBLE + RUNE | 1 (± 0)                        |
|              | 500     | PEBBLE        | $0.86 (\pm 0.28)$              |
|              |         | PEBBLE + RUNE | $0.99~(\pm~0.02)$              |
| Door Unlock  | 5000    | PEBBLE        | $0.66 (\pm 0.42)$              |
|              |         | PEBBLE + RUNE | $0.8~(\pm~0.4)$                |
|              | 2500    | PEBBLE        | $0.64 (\pm 0.45)$              |
|              |         | PEBBLE + RUNE | $0.8~(\pm~0.4)$                |

RUNE consistently converges to better asymptotic success rate than PEBBLE baseline [3] with different budgets of total feedback queries. For each experiment, we report means and standard deviations across five runs, respectively.

#### References

[1] Wu, Jeff, et al. "Recursively summarizing books with human feedback." *arXiv* preprint arXiv:2109.10862 (2021).

[2] Christiano, Paul, et al. "Deep reinforcement learning from human preferences." arXiv preprint arXiv:1706.03741 (2017).

[3] Lee, Kimin, Laura Smith, and Pieter Abbeel. "PEBBLE: Feedback-Efficient Interactive Reinforcement Learning via Relabeling Experience and Unsupervised Pre-training." *arXiv preprint arXiv:2106.05091* (2021).

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