

§ 7.4

complete noncompact M and $\left(\frac{\partial}{\partial t} - \Delta\right) u(x, t) = 0$
maximal principal fails \longrightarrow sol. not unique

To obtain uniqueness of solution, we use the idea given by Li-Yau inequality

Next: introduce a growth rate control see (*)
to get uniqueness

Def subsolution u : $Pu = \left(\frac{\partial}{\partial t} - \Delta\right) u \leq 0$

Thm If u is a smooth subsolution of the heat equation on $M^n \times [0, T]$ with $u(\cdot, 0) \leq 0$ and if

$$(*) \int_0^T \int_{M^n} \exp(-\alpha d^2(x, 0)) u_+^2(x, t) \, d\mu(x) \, dt < \infty$$

for some $\alpha > 0$, then $u \leq 0$ on $M^n \times [0, T]$

fix some $O \in M$

Cor. - $Rc(x) \geq -C_1 (1 + d^2(x, O))$ for some C_1
 - u bound subsolution
 - IC $u(x, 0) \leq 0$
 $\Rightarrow u(x, t) \leq 0$ bounded sol.'s are unique.

pf of cor & thm

Proof. Since $Rc(x) \geq -C_1 (1 + r^2)$ on $B(O, r)$, a direct application of the volume comparison theorem implies that

Thm 1.132

$$\text{Vol}(B(O, r)) \leq C_2 \exp(ar^2)$$

Can control the volume, then 7.25 is satisfied for some large α

for some $a = a(n, C_1) > 0$ and C_2 . It is then easy to see that the assumption of Theorem 7.39 holds for some α chosen suitably large. \square

Theorem 1.132 (Bishop volume comparison). If (M^n, g) is a complete Riemannian manifold with $Rc \geq (n-1)K$, where $K \in \mathbb{R}$, then for any $p \in M^n$, the volume ratio

$$\frac{\text{Vol}(B(p, r))}{\text{Vol}_K(B(p_K, r))}$$

is a nonincreasing function of r , where p_K is a point in the n -dimensional simply connected space form of constant curvature K and Vol_K denotes the volume in the space form. In particular

$$(1.152) \quad \text{Vol}(B(p, r)) \leq \text{Vol}_K(B(p_K, r))$$

for all $r > 0$. Given p and $r > 0$, equality holds in (1.152) if and only if $B(p, r)$ is isometric to $B(p_K, r)$.

pf. of Thm

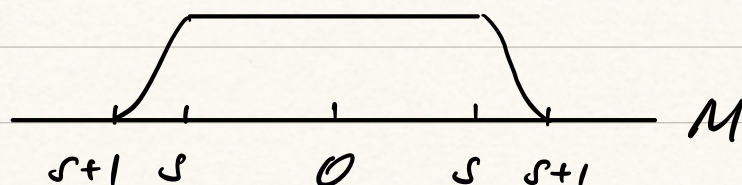
- $h = -\frac{d^2(x, 0)}{4(2\tau - t)}$ locally Lipschitz on $M^n \times [0, 2\tau)$

$\tau > 0$, small (later)

$$|\nabla d(\cdot, 0)| = 1 \Rightarrow |\nabla h|^2 + \frac{\partial h}{\partial t} = 0 \quad (**)$$

$$\frac{d}{ds} \Big|_{s=0} d(\gamma(s), 0) = \langle \nabla d(\gamma(0), 0), \gamma'(0) \rangle$$

- cut-off $\varphi_s, |\nabla \varphi_s| \leq 2$



- Consider $\frac{\partial u}{\partial t} - \Delta u \leq 0$

$$\Rightarrow \int_0^\tau \int_M \left(\frac{\partial u}{\partial t} - \Delta u \right) \cdot \varphi_s e^h \leq 0$$

IBP + Cauchy-Schwartz \Rightarrow

$$0 \geq \int_0^\tau \int_M e^h \left(-|2\nabla \varphi_s|^2 u_+^2 - \frac{1}{2} \varphi_s^2 u_+^2 |\nabla h|^2 \right) d\mu dt \\ - \frac{1}{2} \int_0^\tau \int_M \varphi_s^2 e^h u_+^2 \frac{\partial h}{\partial t} d\mu dt$$

$$(**) + u_+(0) = 0 \Rightarrow$$

$$\tau \int_M \varphi_s^2 e^h u_+^2 d\mu \leq 4 \int_0^\tau \int_M e^h u_+^2 |\nabla \varphi_s|^2 d\mu dt$$

$$h = - \frac{d^2(x, 0)}{4(2\tau - t)} \leq - \frac{d^2(x, 0)}{8\tau}$$

$$\text{for } \tau \leq \frac{1}{8\alpha}, \quad e^h \leq e^{-d^2(x, 0)/8\tau} \leq e^{-\alpha}$$

we have

$$\tau \cdot \int_{M^n} \varphi_s^2 e^h u_+^2 \leq 16 \int_0^\tau \int_{\underbrace{B(0, s+1) \setminus B(0, s)}_{0 \leq \varphi_s < 1}} e^{-\alpha d^2(x, 0)} u_+^2 \, d\mu \, dt$$

$$\xrightarrow{s \rightarrow \infty} 0$$

LHS ≤ 0 as $s \rightarrow \infty$. (supp $\varphi_s = B(0, s) \rightarrow M$)

$\Rightarrow u_+ \equiv 0$ on $M^n \times [0, \tau]$

$\Rightarrow u \leq 0$ for $t \in [0, \min\{\tau, T\}]$.

if $\tau < T$ take τ to be the initial time
+ induction.

$\Rightarrow u \leq 0$ on $[0, T]$.

Remark 7.41. In [375], Li and Yau proved the uniqueness of solutions which are bounded from below under a certain lower bound assumption on the Ricci curvature. The key idea is that one can obtain growth control of positive solutions to the heat equation by their gradient estimates (also called Li-Yau inequalities).

Ric bounded from below \Rightarrow gradient estimate

\Rightarrow growth control of $u_+ = \max\{0, u\}$

\uparrow
solution of heat eqn