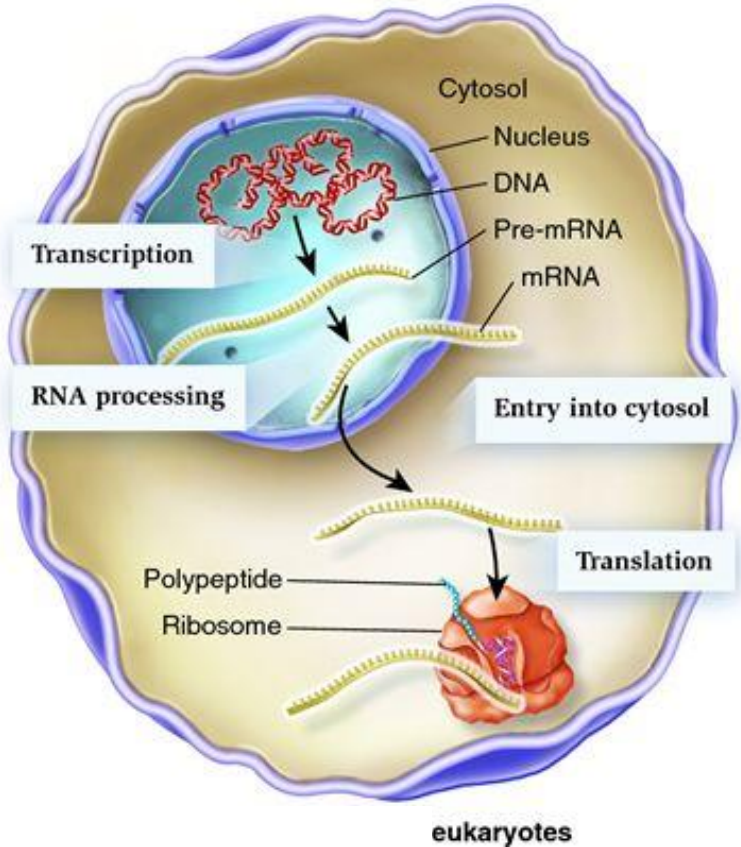


Protein Secondary Structure Prediction Using Deep Convolutional Neural Fields

Wang, S., Peng, J., Ma, J., & Xu, J. (2016). Scientific Reports, 6, 18962.
<https://doi.org/10.1038/srep18962>

Presented by: Shaimaa Bakr, Cici Chen, Daniel Fernandes & Rahul Palamuttam

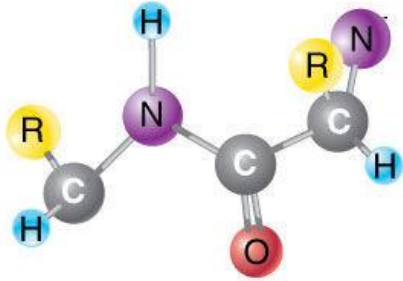
Central Dogma of Biology



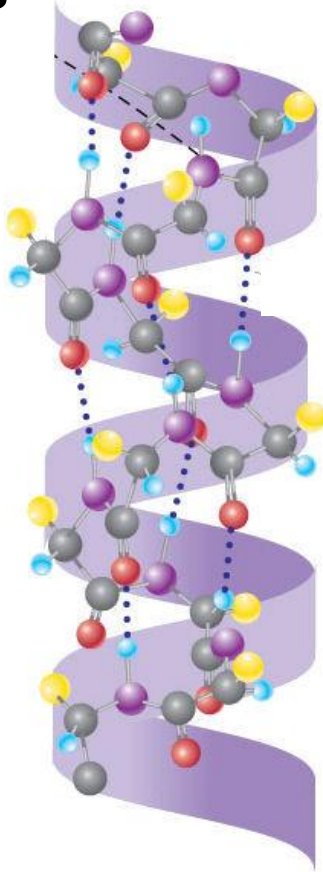
		Second Position									
		U		C		A		G			
First Position	U	UUU	Phe / F	UCU	Ser / S	UAU	Tyr / Y	UGU	Cys / C	U	Third Position
		UUC		UCC		UAC		UGC		C	
		UUA	Leu / L	UCA		UAA	STOP	UGA	STOP	A	
		UUG		UCG		UAG	STOP	UGG	Trp / W	G	
	C	CUU	Leu / L	CCU	Pro / P	CAU	His / H	CGU	Arg / R	U	
		CUC		CCC		CAC		CGC		C	
		CUA		CCA		CAA	Gln / Q	CGA		A	
		CUG		CCG		CAG	CGG	G			
	A	AUU	Ile / I	ACU	Thr / T	AAU	Asn / N	AGU	Ser / S	U	
		AUC		ACC		AAC		AGC		C	
		AUA		ACA		AAA	Lys / K	AGA	Arg / R	A	
		AUG	Met / M	ACG		AAG		AGG		G	
	G	GUU	Val / V	GCU	Ala / A	GAU	Asp / D	GGU	Gly / G	U	
		GUC		GCC		GAC		GGC		C	
		GUA		GCA		GAA	Glu / E	GGA		A	
		GUG		GCG		GAG		GGG		G	

Anticodon Amino Acid Table

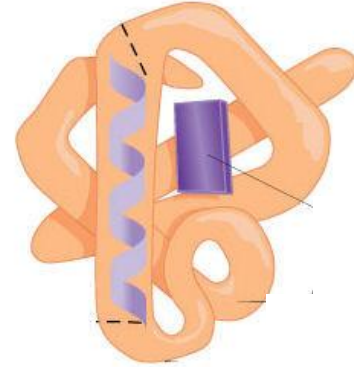
Levels of Protein Structures



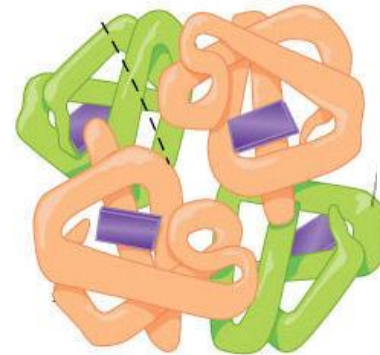
Primary structure



Secondary structure

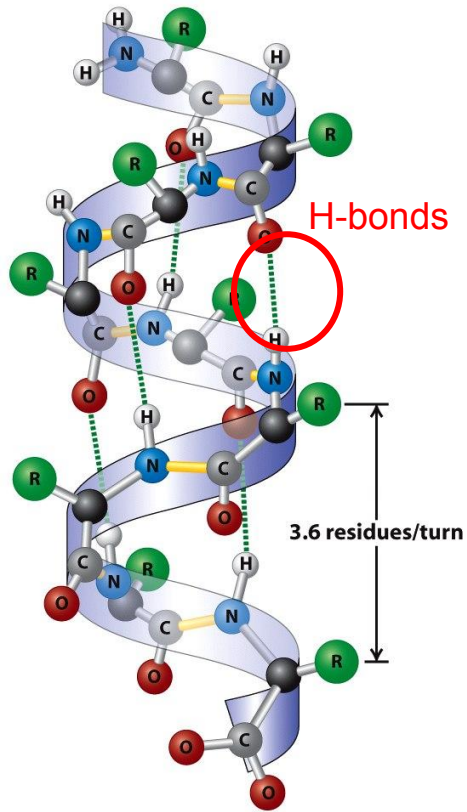


Tertiary structure

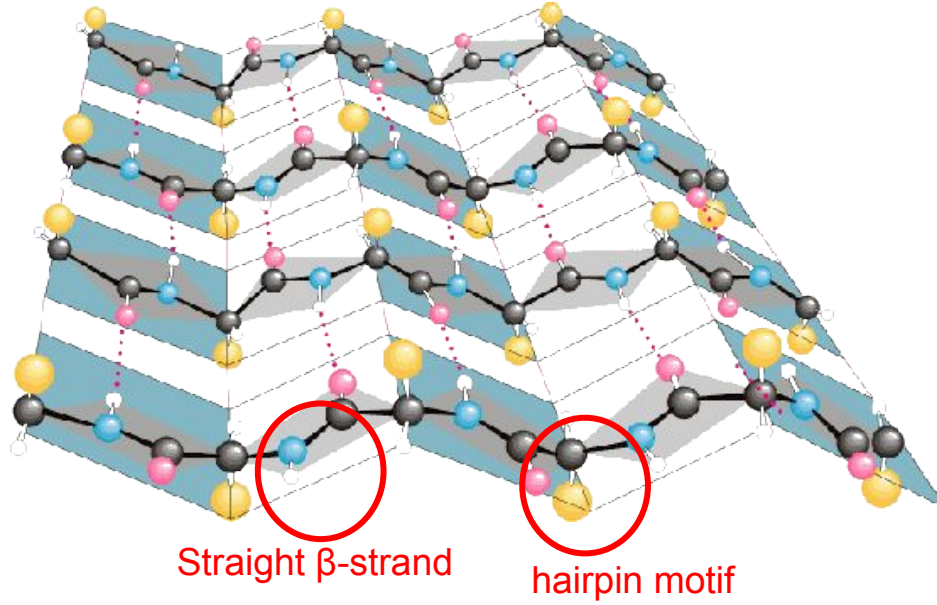


Quaternary structure

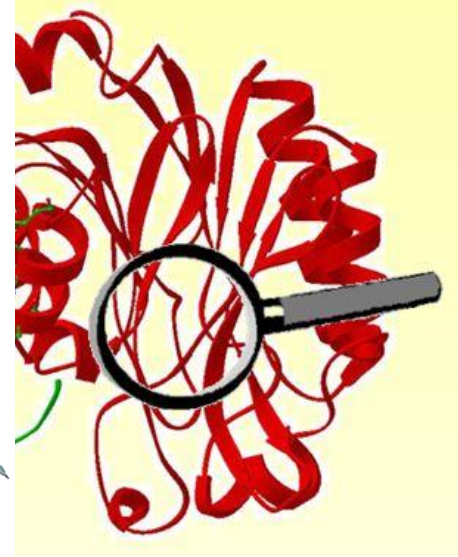
Secondary Structure Types



α -helix



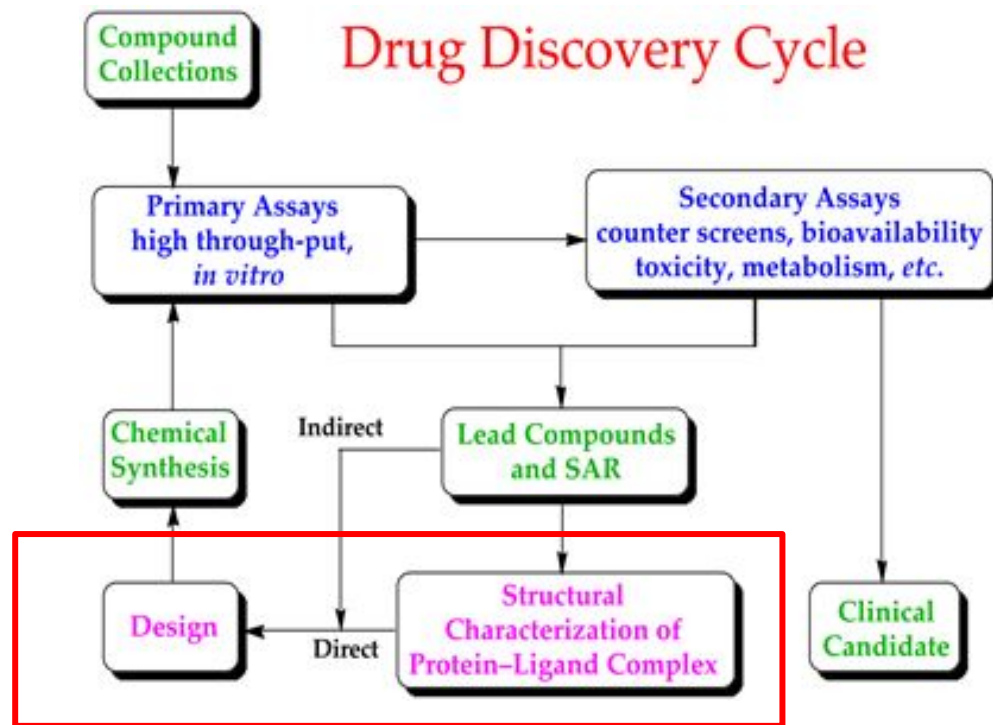
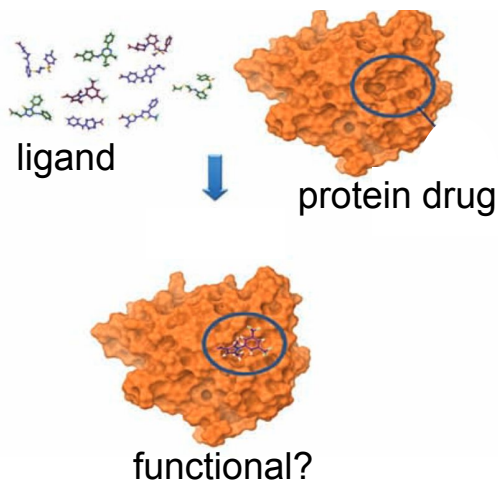
β -sheet /strand



loop /turn

Why predict secondary structure?

- “Bottom up” approach to predict tertiary structure
- Structure informs function



Labeling Secondary Structures

Alpha helix

~30%

Beta sheet

~20%

Anything else (loop/coil)

~50%

_____ 3-state model

G = 3-turn helix (3_{10} helix). Min length 3 residues.

H = 4-turn helix (α helix). Min length 4 residues.

I = 5-turn helix (π helix). Min length 5 residues (Extremely rare)

T = hydrogen bonded turn (3, 4 or 5 turn)

E = extended β strand (parallel and/or anti-parallel). Min length 2 residues.

B = residue in isolated β -bridge (single pair β -sheet hydrogen bond formation)

S = bend (the only non-hydrogen-bond based assignment).

C = coil (residues which are not in any of the above conformations).



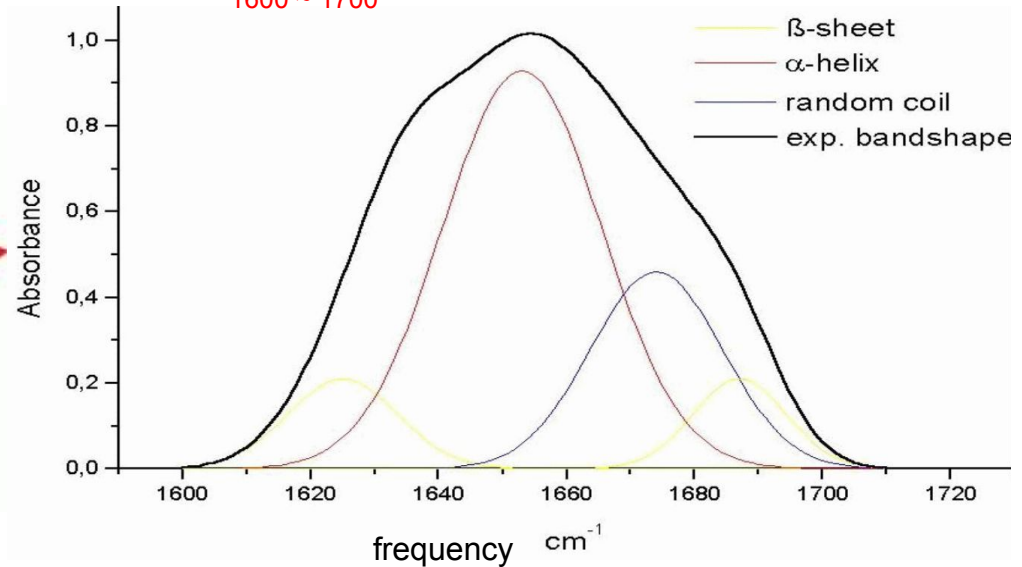
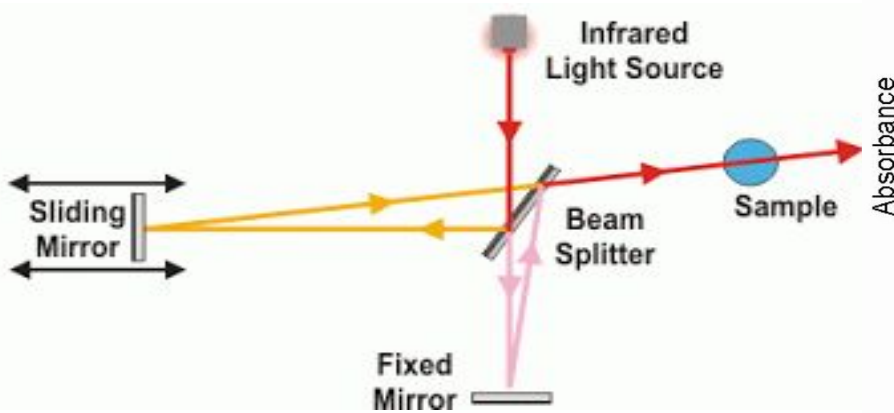
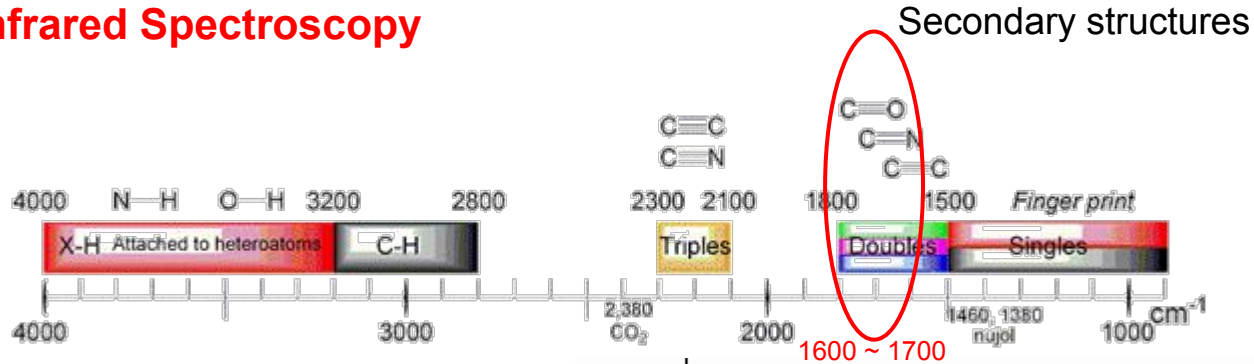
8-state model

Problem Statement

Given a protein with amino acid sequence $r_1 r_2 r_3 \dots r_n$, predict whether each amino acid r_i is in:

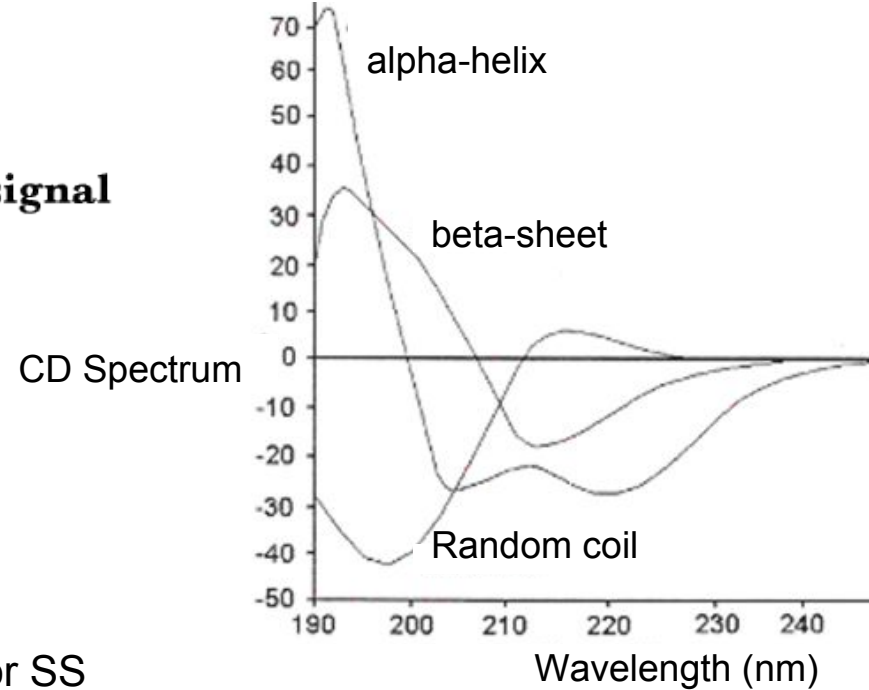
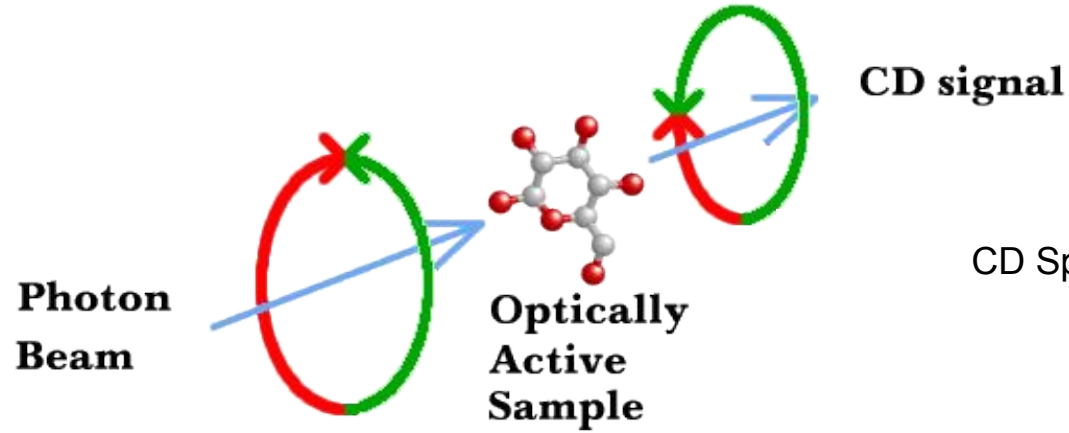
- (1) an α -helix, a β -strand, or neither. (3-state model)
- (2) the G, H, I, T, E, B, S, or C state. (8-state model)

Data from Infrared Spectroscopy



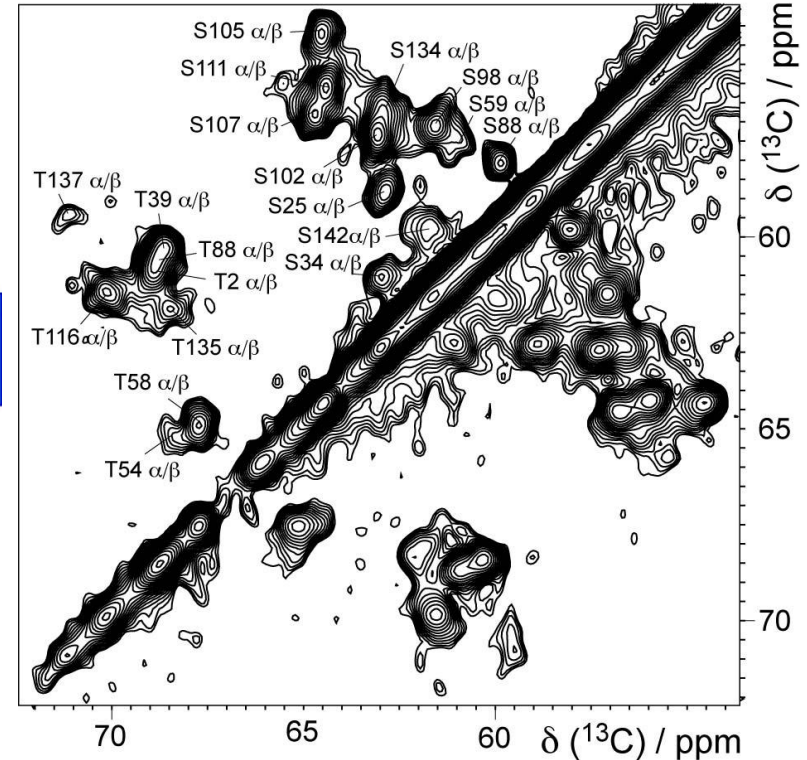
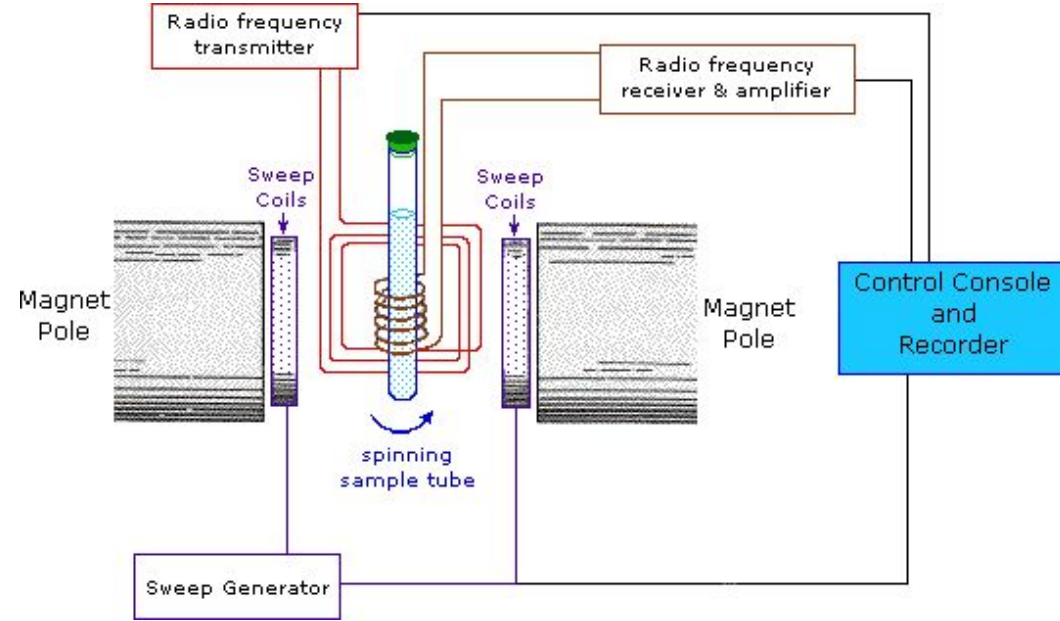
- Obtain light intensity as function of frequency

Data from Far-UV Circular Dichroism



- CD wavelength between 180 and 260 nm for SS
- Obtain discrete voltage values as function of wavelength

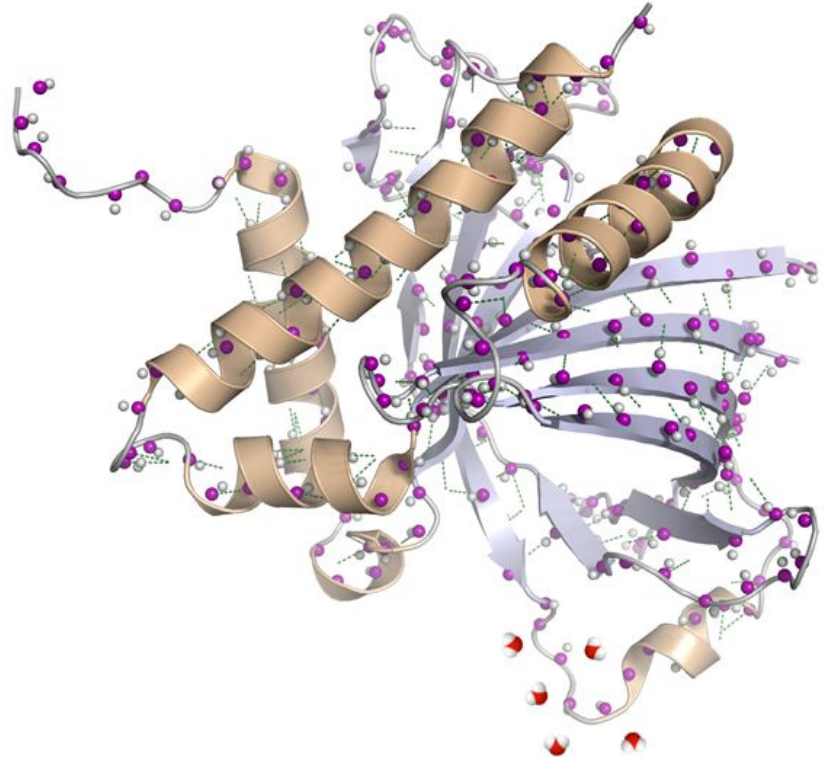
Data from **Nuclear Magnetic Resonance Spectroscopy** (for smaller proteins)



- Free induction decay of specific nuclei as function of radiofrequency excitation pulses

Other considerations about data

- pH & temperature of solution can influence SS
- different organisms can make different forms of the same protein



e.g. H₂O surrounds & interacts with secondary structure

Important Definitions

Residue: a monomer within a polymeric chain (e.g. 1 amino acid in a protein)

Protein superfamily: group of proteins classified according to specific classification schemes

Sequence identity: amount of amino acids that match exactly between 2 sequences

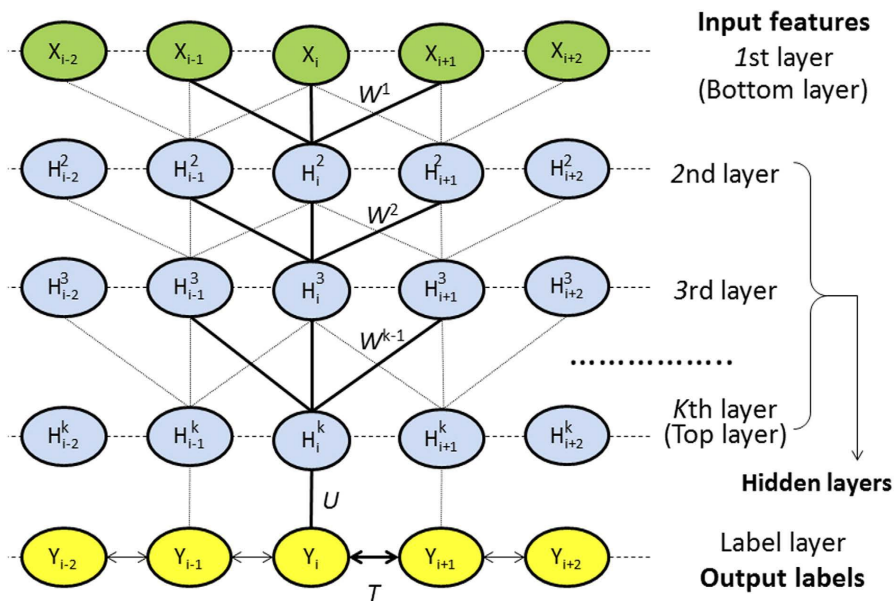
Neff score: measures the average number of effective amino acids across all the residues, ranging from 1 to 20

Similarity: extent to which amino acids are conserved between proteins

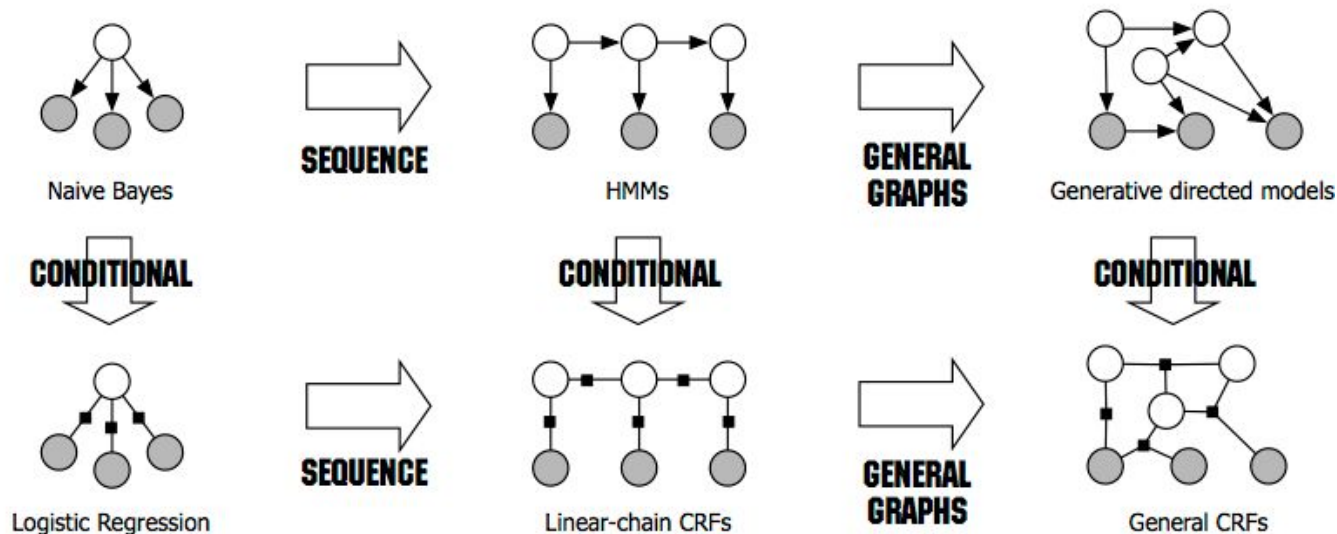
Protein homology: inferred from sequence identity, characterizes extent of shared ancestry between proteins

Architecture

- A hybrid of Deep Convolutional Neural Nets and Conditional Neural Fields
- Conditional Neural Fields (CNF) are an extension of Conditional Random Fields (CRFs)



A brief history of...



- Top level:
Generative Models
- Bottom level:
Discriminative
Models

Figure 1.2 Diagram of the relationship between naive Bayes, logistic regression, HMMs, linear-chain CRFs, generative models, and general CRFs.

Generative vs Discriminative Models

- Hidden Markov Models are **Generative**
 - Using hidden states, they *generate* a likely observed output
 - Model the joint distribution: $P(x,y) = P(y)*P(x|y)$
 - Use maximum a posteriori (MAP) classifier to determine which hidden state was most probable
- Conditional Random Fields are **Discriminative**
 - CRFs *describe* a sequence by coloring the sequence with a fixed set of labels
 - (For this paper, our labels will be protein SS)
 - CRFs directly model the data using conditional probabilities
 - $P(y|x)$
- Use Bayes Rule to convert from one to the other!
 - $P(y|x) = P(x|y)*P(y)/P(x)$
- In practice, non-naive generative models/statespaces are hard to create

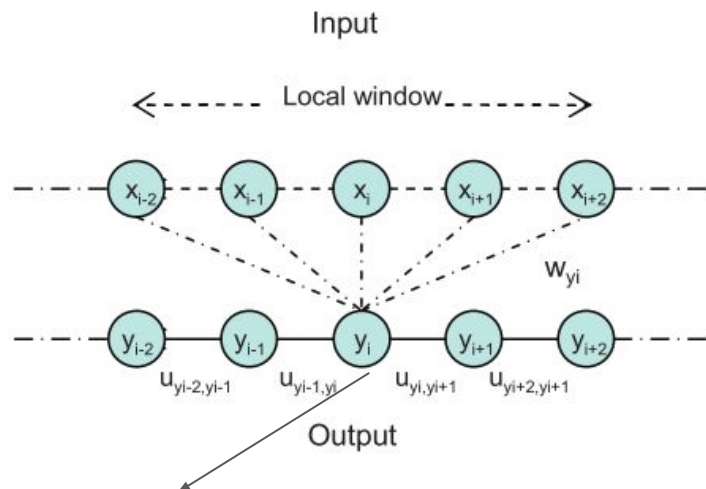
Takeaways

Generative Models	Discriminative Models
<ul style="list-style-type: none">• Can be applied in unsupervised learning• Forced to model the input distribution and the conditional probabilities at the same time• Less prone to overfitting data	<ul style="list-style-type: none">• Unsupervised learning still an “active area of research”• Can model the input distribution and the conditional probabilities separately• More prone to overfitting data

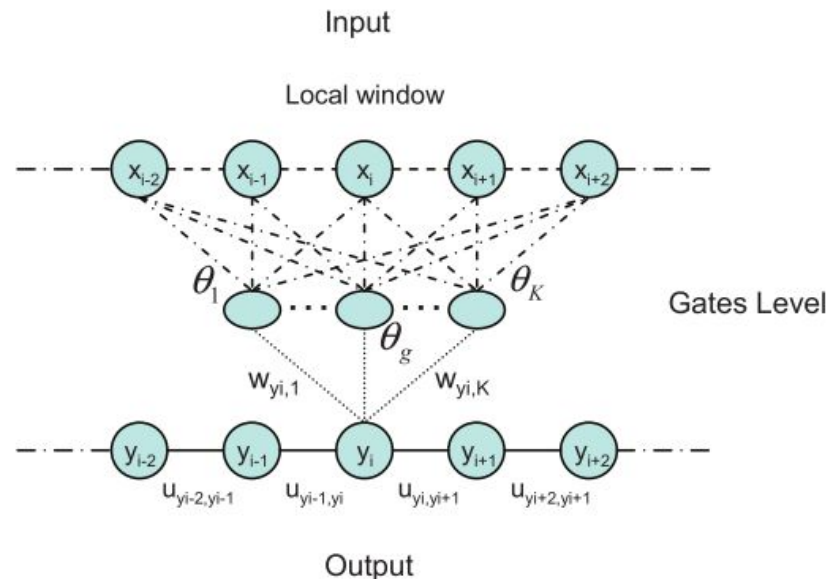
Read for more details:

Sutton, C., & McCallum, A. (2011). An Introduction to Conditional Random Fields. Machine Learning, 4(4), 267–373. <https://doi.org/10.1561/22000000013>

(Linear Chain)Conditional Random Fields & Neural Fields



$P(\text{output at } y \mid x \text{ sequence}) =$
 normed exponential(linear weighted sum of local
 window + weight of previous output)



$P(\text{output at } y \mid x \text{ sequence}) =$
 normed exponential(nonlinear weighted sum
 of local window + weight of previous output)

Conditional Random Fields

Inputs:

1. The dependency between the neighboring output labels. Essentially a list of transitions.

Formally: $f_{y,y'}(Y, X, t) = \delta[y_t = y] \delta[y_{t-1} = y']$

Where δ is an indicator function (only 1 when state at position t is y)

2. The dependency between the label at one position and the observations around this position. Essentially a window on our X inputs.

Formally: $f_y(Y, X, t) = \mathbf{f}(X, t) \delta[y_t = y]$

Conditional Random Fields

$$P(Y|X) = \frac{1}{Z(X)} \exp\left(\sum_{t=1}^N (\psi(Y, X, t) + \phi(Y, X, t))\right) \quad (3)$$

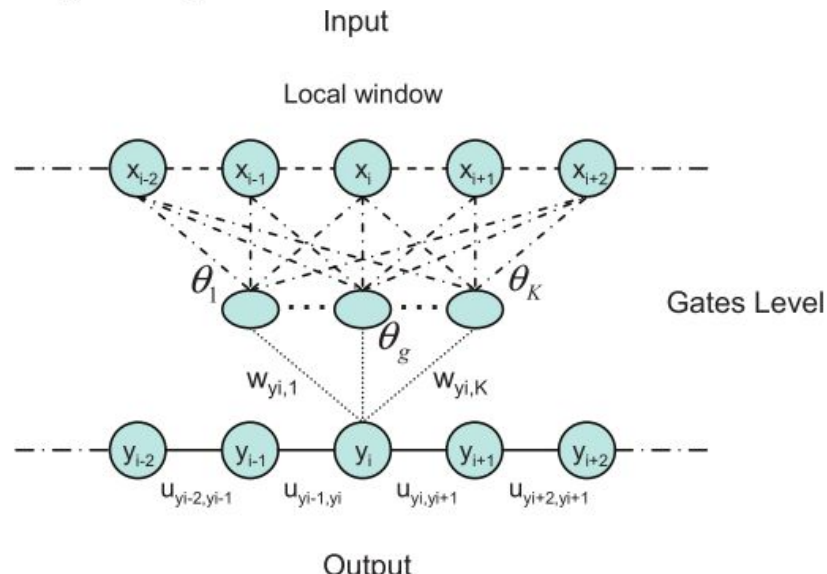
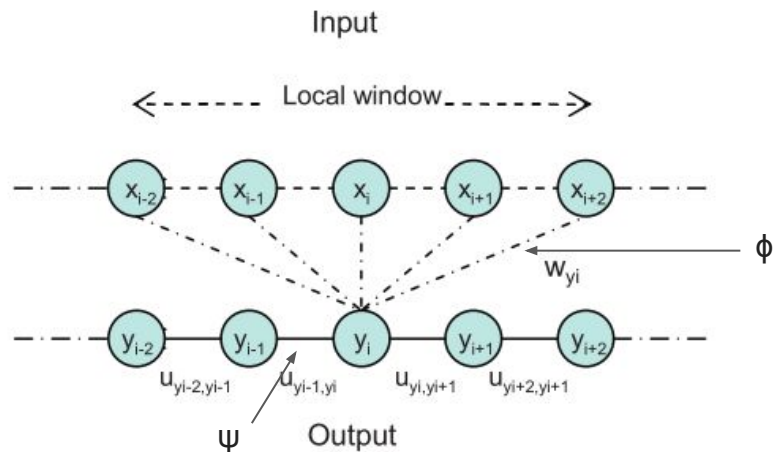
where

$$\phi(Y, X, t) = \sum_y w_y^T f_y(Y, X, t) \quad (4)$$

is the potential function defined on vertex at the t^{th} position, which measures the compatibility between the local observations around the t^{th} position and the output label y_t ; and

$$\psi(Y, X, t) = \sum_{y, y'} u_{y, y'} f_{y, y'}(Y, X, t) \quad (5)$$

is the potential function defined on an edge connecting two labels y_t and y_{t+1} . This potential measures the compatibility between two neighbor output labels.



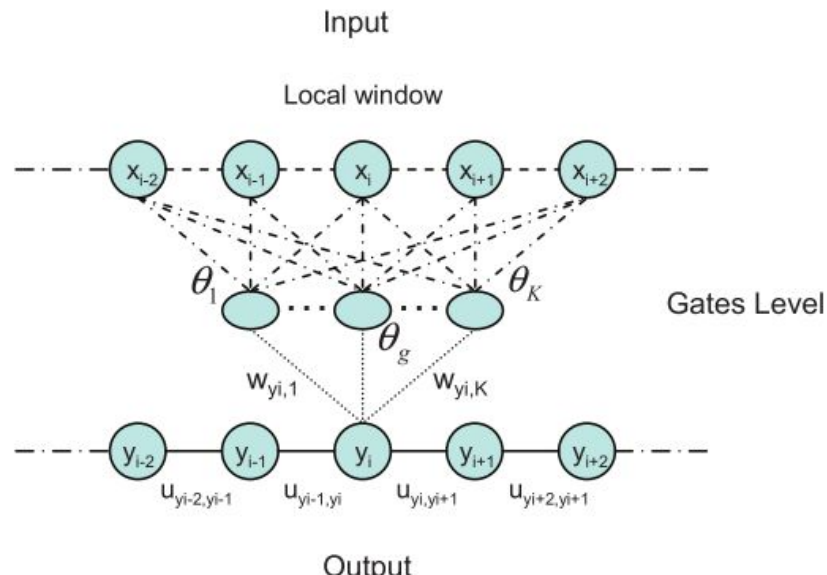
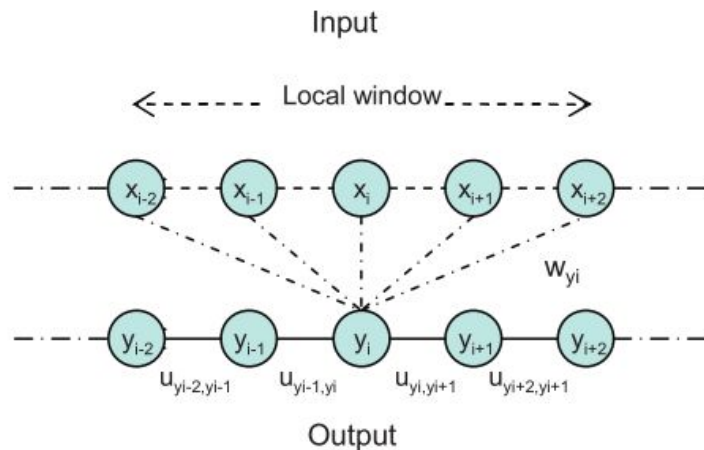
Conditional Neural Fields

$$\phi(Y, X, t) = \sum_y \sum_{g=1}^K w_{y,g} h(\theta_g^T \mathbf{f}(X, t)) \delta[y_t = y]$$

Where h is a nonlinear activation function, like tanh or sigmoid

Lots of work on this, see:

Chen, L.-C., Schwing, A. G., Yuille, A. L., & Urtasun, R. (n.d.). Learning Deep Structured Models.



Difference Between CRF and RNN?

1 Answer



Jordan Boyd-Graber, Assistant Prof working on Machine Learning at U Colorado

Written May 9

RNNs have a latent state that is never observed (e.g. the memory in a LSTM). In contrast, the CRF has a latent state that is observed for training data (the model has to learn how to recreate those latent states for test data).

Both are similar in that there is a set of parameters that tell you how to evolve the latent state from one time step to the next.

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1. Regularization
2. Select non-redundant protein sequences?
 - a. Protein Sequence Identity
 - b. Protein Superfamilies

GOAL : Avoid overfitting

Training Method

$$\log P(Y|X) = \sum [\Psi(Y, X, i) + \Phi(Y, X, i)] - \log Z(x))$$

- This is obtained by taking the log of both sides of the CRF equation for conditional probability
- Train model parameters by maximum-likelihood
- Y = Secondary Structure type at residue i
- X = input feature where X_i is a column vector representing the input feature
- Z = partition function

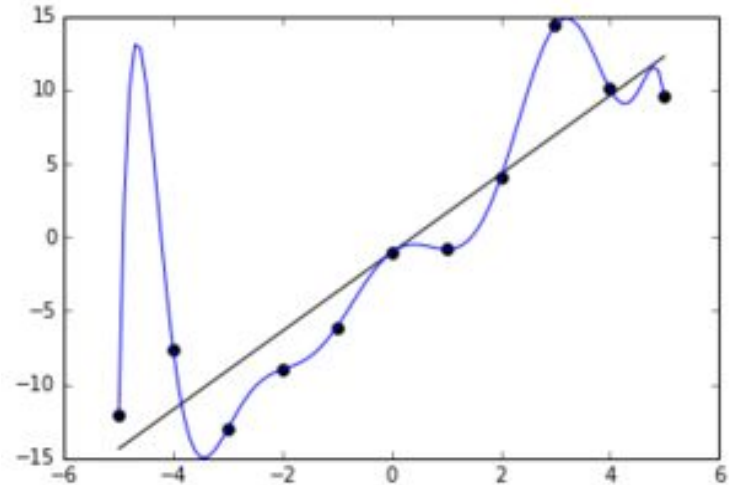
Regularization: The problem of overfitting

- Overfit

$$\min \sum V(f(x), y))$$

- Regularization

$$\min \sum V(f(x), y)) + \lambda R(f)$$



L2 Regularization

$$S = \sum_{i=1}^n (y_i - f(x_i))^2$$

- L2 norm least squares error
- Objective Function :

$$\max_{\theta} \log P_{\theta}(Y|X) - \lambda \|\theta\|_2^2$$

- To reduce over-fitting, the log-likelihood objective function is penalized with the L2-norm of the model parameters.

Large Regularization Factor

- DeepCNF has many model parameters
- Small L2-norm will restrict the search space of the model parameter
- To prevent overfitting the regularization factor must also be sufficiently large
- Too large of a Regularization factor => underfitting

L-BFGS

- Once we introduce non-linearities
 - CRF's were convex, had guaranteed global maximas
 - Traditional gradient descent will not work
 - So we need stochastic gradient descent
 - L-BFGS similar to stochastic gradient descent
- Limited BFGS
 - Optimization algorithm that approximates Browden-Fletcher-Goldfarb-Shannon algorithm with limited memory
- Use L-BFGS to search for optimal model parameters
 - Parameter estimation
- Has been successfully used to train CRF and CNF

Training and Test : 25% Sequence Identity

Training Set

- ~5600 CullPDB Proteins
- JPRED 1338 training
 - Use non-redundant proteins
 - Use proteins in different superfamilies
 - reduce bias incurred by sequence profile similarity between training and test proteins

Test Set

- ~ 500 CullPDB Proteins
- 513 CB513 Proteins
- 123 CASP10 Proteins
- 105 CASP11 Proteins
- 179/403 CAMEO test targets

What is Protein Sequence Identity

What is sequence identity?

- Sentences,
 - Similar sequences have common phonemes, letters, and capitalization
- Protein Sequences
 - Similar chemical properties i.e. acidic vs basic, hydrophobic vs hydrophilic

Training and Test : Protein Sequence Identity

PDB - Protein Data Bank

PISCES - Protein Culling Server

- Creates PDB sequence identities via Hidden Markov Models

CullPDB - 25 % Sequence identity to remove redundancies between training and test set

-



Training and Test : Protein superfamilies

Protein Superfamilies

CATH - Class, Architecture, Topology, Homology

- A hierarchical protein domain classification
- Homologous superfamilies in CATH predict protein function by recognizing sequence patterns associated with a particular function
- Insight : Proteins from different superfamilies have different sequence identities

CATH

The four main levels of the CATH hierarchy are as follows:

#	Level	Description
1	Class	the overall secondary-structure content of the domain. (Equivalent to SCOP class)
2	Architecture	high structural similarity but no evidence of homology . (Equivalent to SCOP fold)
3	Topology	a large-scale grouping of topologies which share particular structural features
4	Homologous superfamily	indicative of a demonstrable evolutionary relationship. (Equivalent to SCOP superfamily)

Overfitting Conclusion

1. They calibrated a regularization factor
2. They artificially introduced more diverse protein sequences
 - a. Protein sequence similarity threshold
 - b. Unique Protein Families

Results

- **8-class SS prediction**
 - SSpro34, without template and with template, RaptorX-SS833, , ICML201436
- **3-class SS prediction**
 - SSpro, RaptorX-SS8, PSIPRED24, SPINE-X12, JPRED
- **Performance Metrics**
 - Q3, Q8, Precision and Recall, Segment of OVerlap (SOV)

Segment of OVerlap (SOV)

- Is more suitable for segmented nature of SS
- We care more about the *type* and *general location* of SS
- Less with weight on edge errors

Observed structure

Predicted structure

Span of S1 and S2

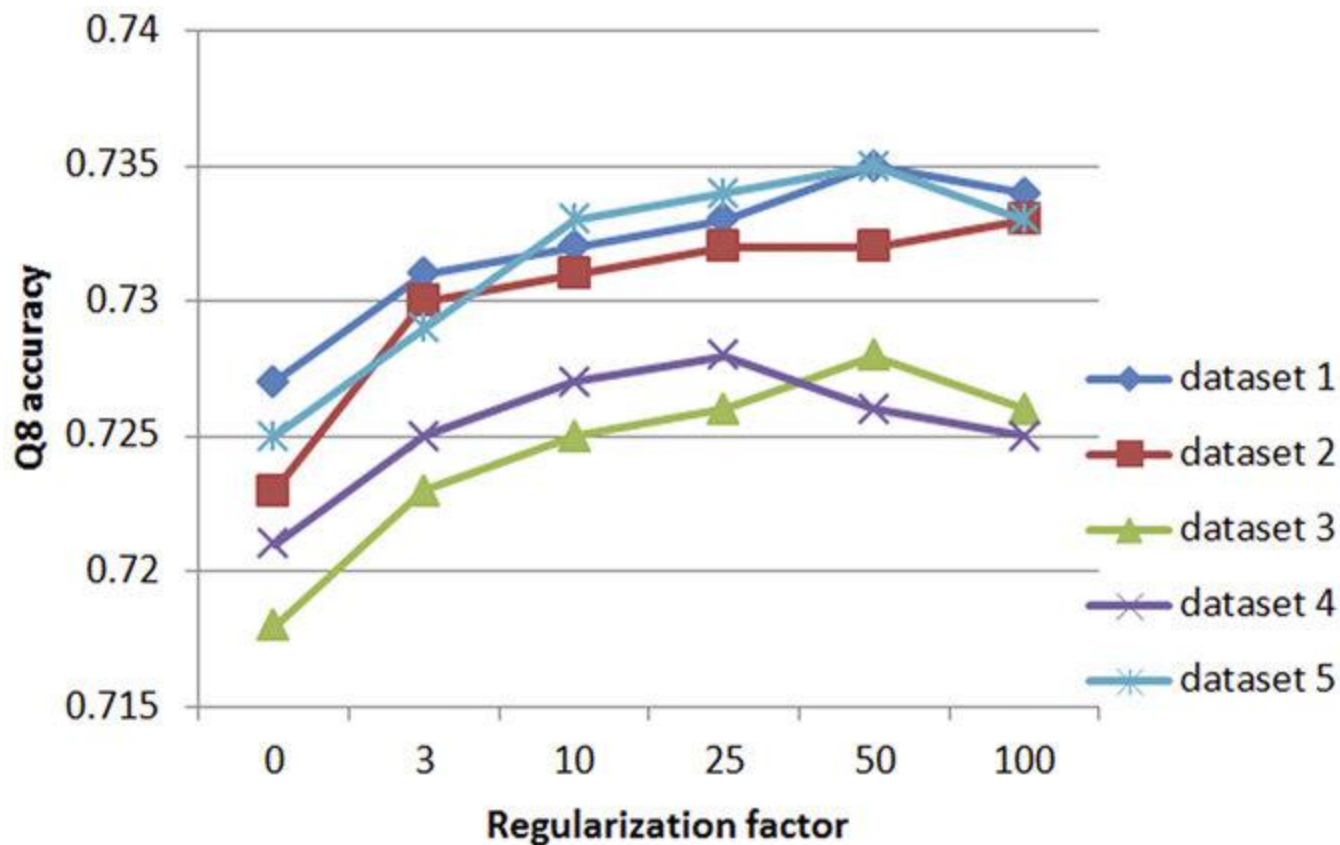
Length of OV

Degree of variation at edges

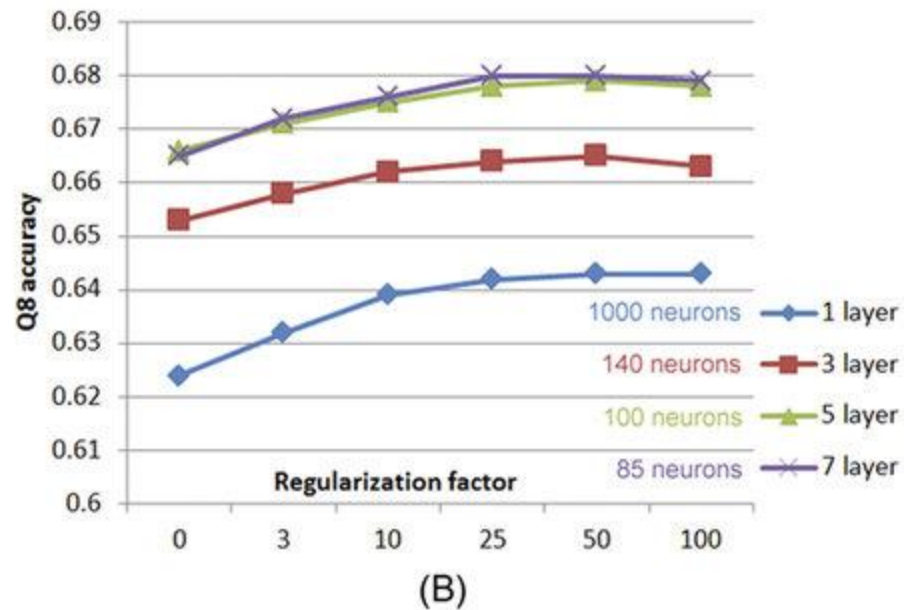
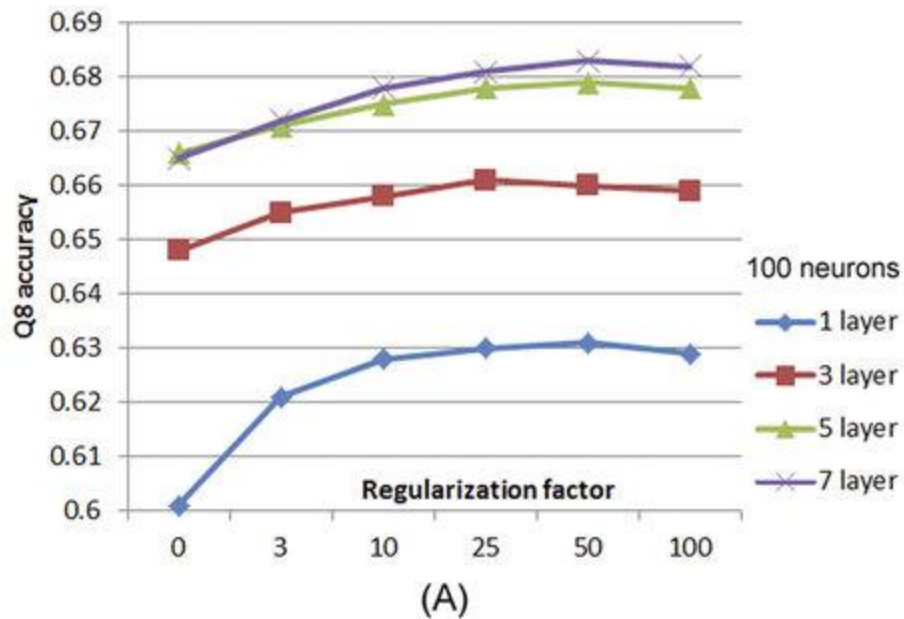
$$SOV(S1, S2) = \frac{1}{N} \sum_{i \in \{H, E, C\}} \sum_{(s1, s2) \in S(i)} \frac{\min(s1, s2) + \sigma(s1, s2)}{\max(s1, s2)} \cdot l(s1)$$

The diagram illustrates the components of the SOV formula. Arrows point from the following labels to specific parts of the equation: 'Observed structure' points to the outer sum; 'Predicted structure' points to the inner sum; 'Span of S1 and S2' points to the $(s1, s2)$ term in the inner sum; 'Length of OV' points to the numerator of the fraction; and 'Degree of variation at edges' points to the $\sigma(s1, s2)$ term in the numerator.

Regularization Factor



CNF Architecture



Results

Methods	Q3 (%)				
	CullPDB	CB513	CASP10	CASP11	CAMEO
SSpro (without template)	79.5	78.5	78.5	77.6	77.5
SSpro (with template)	88.7	90.7	84.2	78.4	78.9
SPINE-X	81.7	78.9	80.7	79.3	80.0
PSIPRED	82.5	79.2	81.2	80.7	80.1
JPRED	82.9	81.7	81.6	80.4	79.7
RaptorX-SS8	81.2	78.3	78.9	79.1	79.4
DeepCNF-SS	85.4	82.3	84.4	84.7	84.5

Results

Methods	Q8 (%)				
	CullPDB	CB513	CASP10	CASP11	CAMEO
SSpro (without template)	66.6	63.5	64.9	65.6	63.5
SSpro (with template)	85.1	89.9	75.9	66.7	65.7
ICML2014	72.1	66.4	—	—	
RaptorX-SS8	69.7	64.9	64.8	65.1	66.2
DeepCNF-SS	75.2	68.3	71.8	72.3	72.1
The program for ICML2014 is not publicly available. Its result is taken from its paper.					

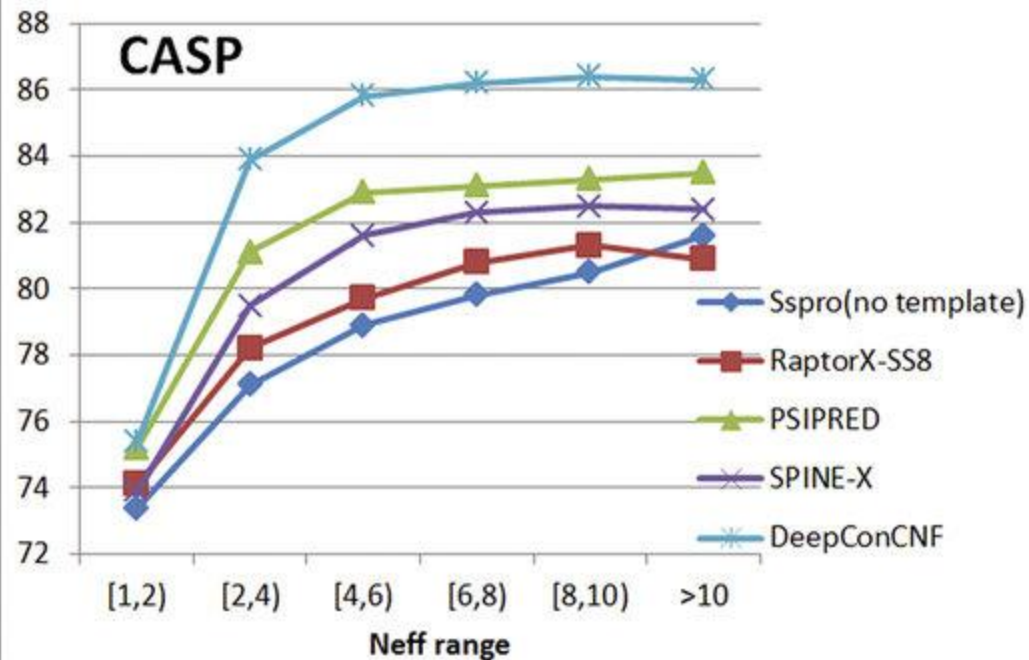
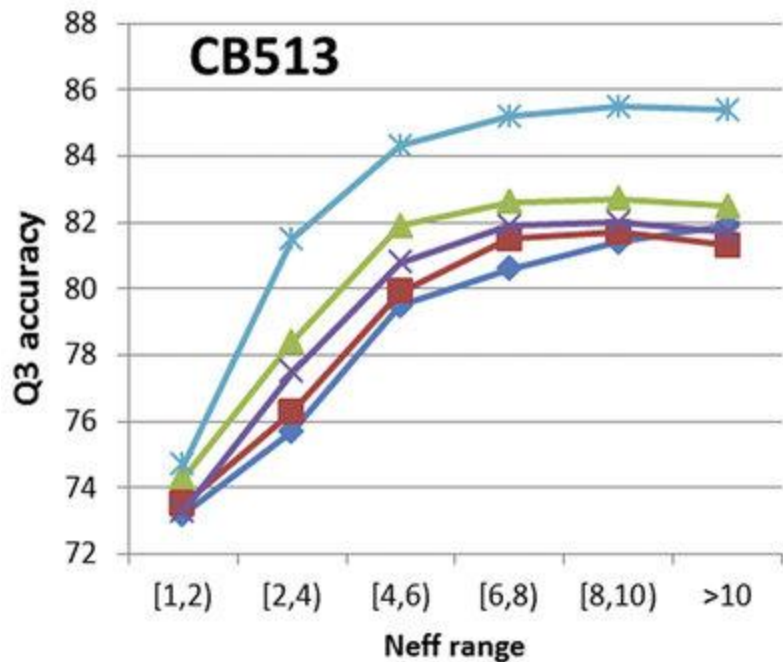
Results

Methods	SOV score (%)				
	CullPDB	CB513	CASP10	CASP11	CAMEO
SSpro (without template)	77.4	77.2	75.9	77.3	75.4
SSpro (with template)	81.3	79.4	80.7	77.4	76.3
SPINE-X	79.1	78.7	78.7	79.3	79.4
PSIPRED	81.8	81.0	80.9	81.4	80.1
JPRED	82.5	83.3	82.4	82.0	80.7
RaptorX-SS8	80.9	79.5	80.2	81.1	78.1
DeepCNF-SS	86.7	84.8	85.7	86.5	85.5

Results - CB513

SS8 label	Recall		Precision	
	DeepCNF	ICML2014	DeepCNF	ICML2014
L	0.657	0.655	0.571	0.518
B	0.026	0.0	0.433	0.0
E	0.833	0.797	0.748	0.717
G	0.26	0.131	0.49	0.45
I	0.0	0.0	0.0	0.0
H	0.904	0.9	0.849	0.831
S	0.255	0.14	0.487	0.444
T	0.528	0.503	0.53	0.496

Homologous Information



Where is the improvement from?

Q3 accuracy - 84.9 %

- Stricter experiment with 1338 JPRED proteins for training and 149 for test
 - All proteins belong to different superfamilies
 - Divided training set into 7 and trained 7 DeepCNF models separately
 - Unlikely that test proteins and training proteins share similar sequence profiles

Conclusion : Results are from DeepCNF and not sequence profile similarity!

Thank You

Paper Criticism/Evaluation

- Lack of Methodology
- ICML2014 program not publicly available
 - Only evaluated performance on CASP10, CASP11, and CAMEO test sets -<http://jmlr.org/proceedings/papers/v32/zhou14.pdf>
- Couldn't test Cheng's deep learning method - method not made publicly available
- They do not report SOV for the final experiment for protein superfamilies to filter data sets
- Low precision and recall

Experiment Setup: Comparisons

Q3/Q8 - percent of residues for which predicted secondary structures are correct

8-state SS prediction

- SSPro, RaptorX-SS8, ICML2014

3-state SS prediction also calculate Segment of Overlap score

- SSpro, RaptorX-SS8, PSIPRED, SPINE-X, JPRED
-

$$\max_{\theta} \log P_{\theta}(Y|X) - \lambda \|\theta\|_2^2$$

θ - set of model parameters

λ - regularization factor used to avoid overfitting

- Large regularization factor \Rightarrow L2-norm of model parameters small
- Restrict search space of model parameters and avoid overfitting
- Too large of a regularization factor may restrict model parameter into too small of a search space \rightarrow Underfit
- Log-likelihood not convex - only solve for local optimum
-

$$\Psi(Y, X, i) = \sum_{a,b} T_{a,b} \delta(Y_i = a) \delta(Y_{i+1} = b)$$

- Potential Function for correlation among adjacent SS types around position i
- i indicates position
- a and b represent secondary structure states
- $\delta()$ is an indicator function

$$\Phi(Y, X, i) = \sum_a \sum_m U_{a.m} H_m(X, i, W) \delta(Y_i = a)$$

- Model's relationship between Y_i and input features for i
- $H(X, i, W)$ is a neural network function for the m -th neuron at position i of the top layer
- W, U, T are model parameters to be trained
- W - weighting for convolutional neural net
- U - connection of output of neural net to conditional neural field
- T - connection among nodes in neural field