

# CS273B: Deep learning for Genomics and Biomedicine

Lecture 3: Convolutional neural networks for  
genomics and imaging data

10/03/2016

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# Administration stuff

- Start forming project teams! (5-6 students)
- Smaller teams are allowed if you have your own compute.
- If you need help finding team members, message on Piazza and message the TAs.
- Teams must be finalized by next Wednesday
- We will release suggested project topics and descriptions by weekend. You are free to pick your own projects.
- We will poll teams for preferences for paper presentations and try to accommodate requests as much as possible.
- If you are auditing the course and want to get added to Canvas, meet TAs after class.

# Outline

- Multi-modal convolutional neural networks for predicting protein-DNA binding maps
- Convolutional neural networks on images

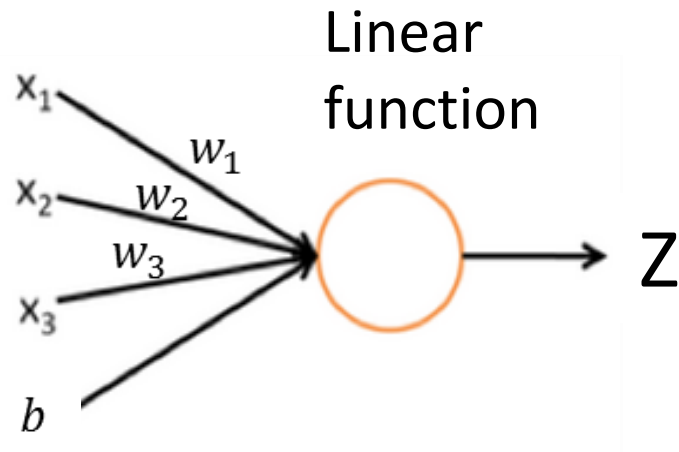
Convolutional neural networks  
for learning from DNA sequence

# A simple classifier (An artificial neuron)

$$Y = F(x_1, x_2, x_3)$$

$$Z = w_1 \cdot x_1 + w_2 \cdot x_2 + w_3 \cdot x_3 + b$$

parameters



Training the neuron means learning the optimal  $w$ 's and  $b$

# A simple classifier (An artificial neuron)

$$Y = F(x_1, x_2, x_3)$$

Logistic / Sigmoid

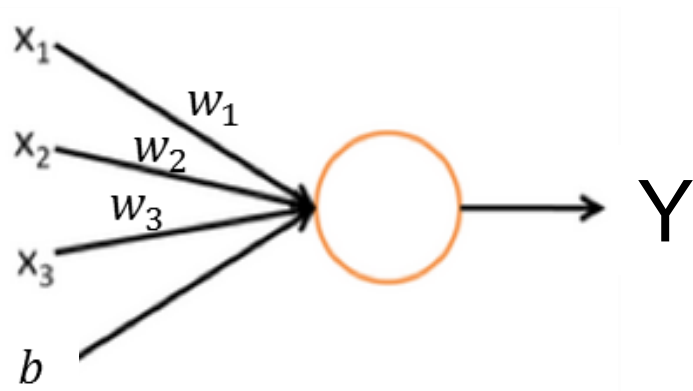
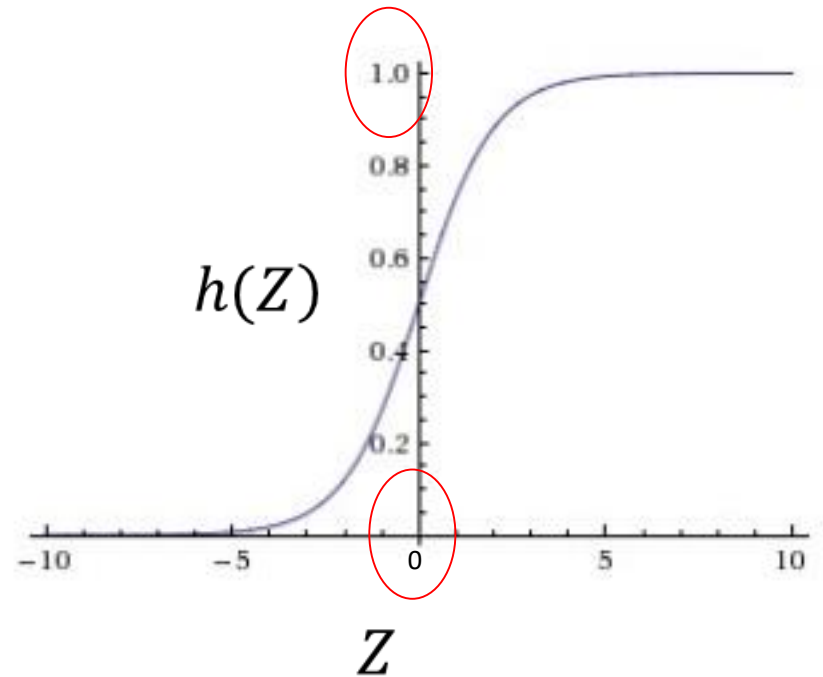
Useful for predicting probabilities

$$Z = w_1 \cdot x_1 + w_2 \cdot x_2 + w_3 \cdot x_3 + b$$

parameters

$$Y = h(Z)$$

Non-linear  
function



Training the neuron means learning the optimal  $w$ 's and  $b$

# A simple classifier (An artificial neuron)

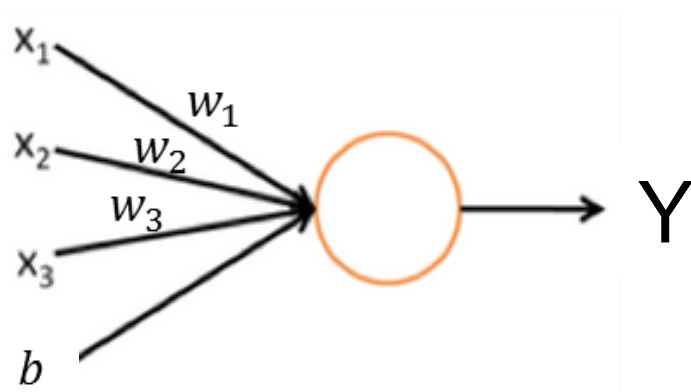
$$Y = F(x_1, x_2, x_3)$$

ReLU (Rectified Linear Unit)  
Useful for thresholding

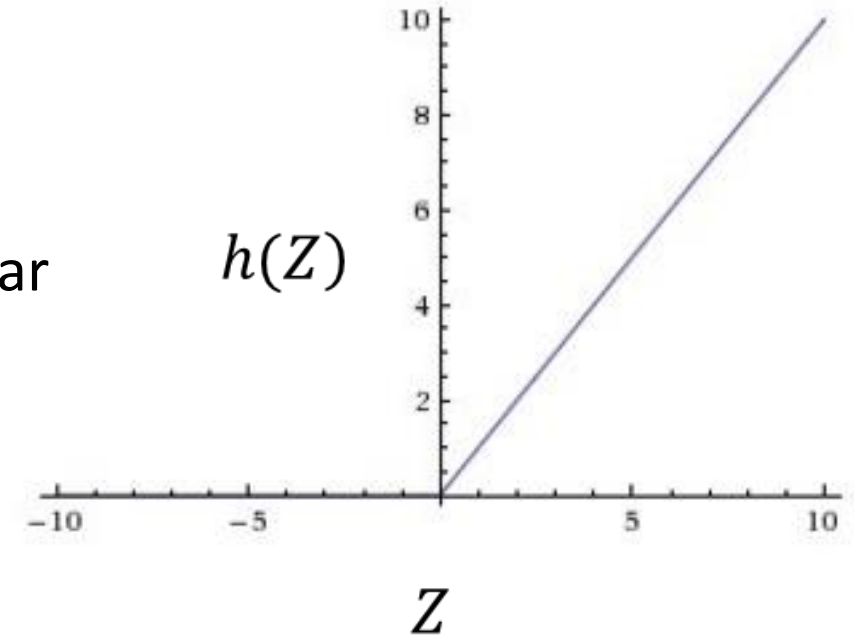
$$Z = w_1 \cdot x_1 + w_2 \cdot x_2 + w_3 \cdot x_3 + b$$

parameters

$$Y = h(Z)$$

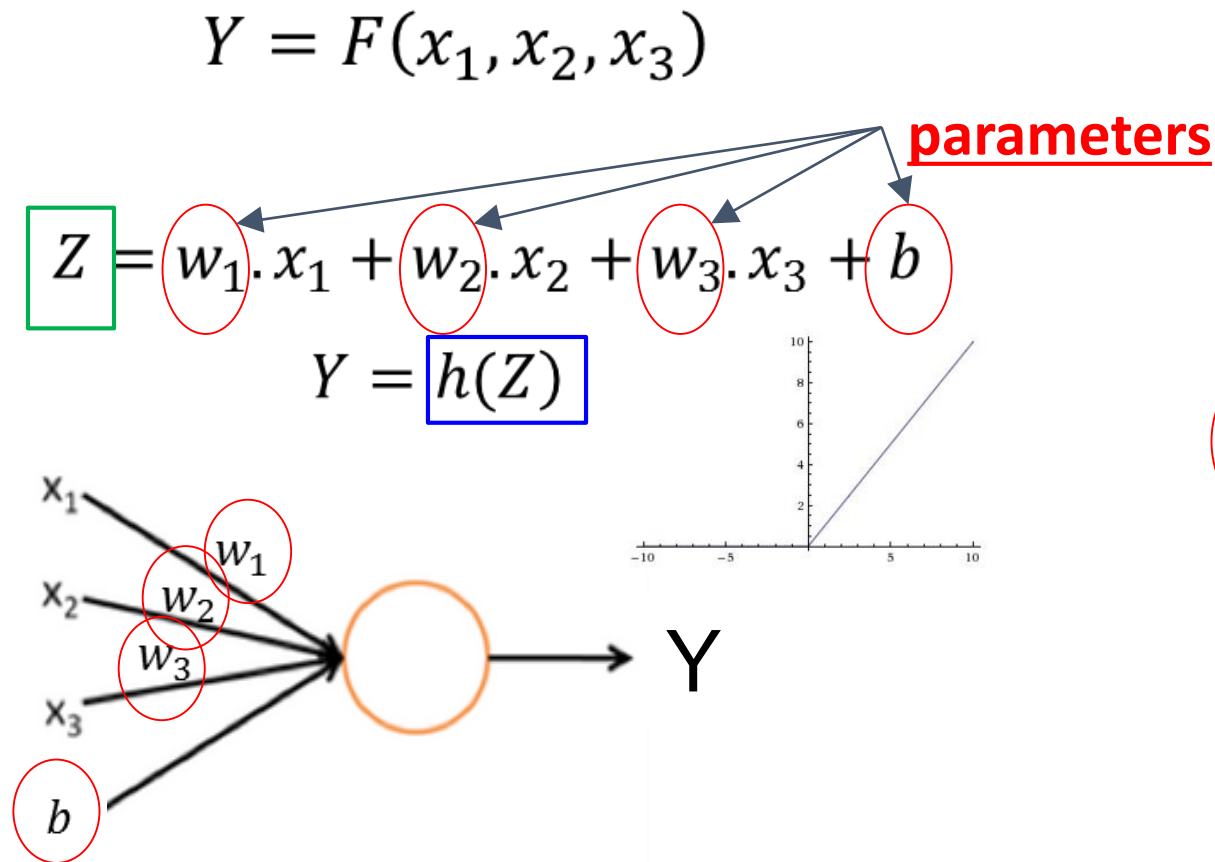


Non-linear  
function



Training the neuron means learning the optimal  $w$ 's and  $b$

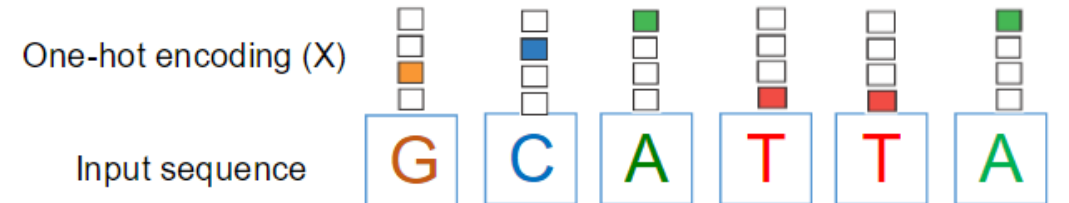
# Artificial neuron can represent a motif



|  |      |      |     |      |     |     |
|--|------|------|-----|------|-----|-----|
| Thresholded Motif Scores<br>$\max(0, W \cdot x)$ | 0    | 0    | 2.0 | 0    | 0   | 0   |
| Motif match Scores<br>$\text{sum}(W \cdot x)$    | -2.2 | -5.4 | 2.0 | -4.3 | -24 | -17 |

Scoring weights  
 $W$

|   |      |      |      |      |      |      |
|---|------|------|------|------|------|------|
| A | -5.7 | -3.2 | 3.7  | -3.2 | 3.7  | 0.6  |
| C | 0.5  | -3.2 | -3.2 | -3.2 | -3.2 | -5.7 |
| G | 0.5  | 3.7  | -3.2 | -3.2 | -3.2 | -5.7 |
| T | -5.7 | -3.2 | -3.2 | 3.7  | -3.2 | 0.5  |





# Multi-task CNN

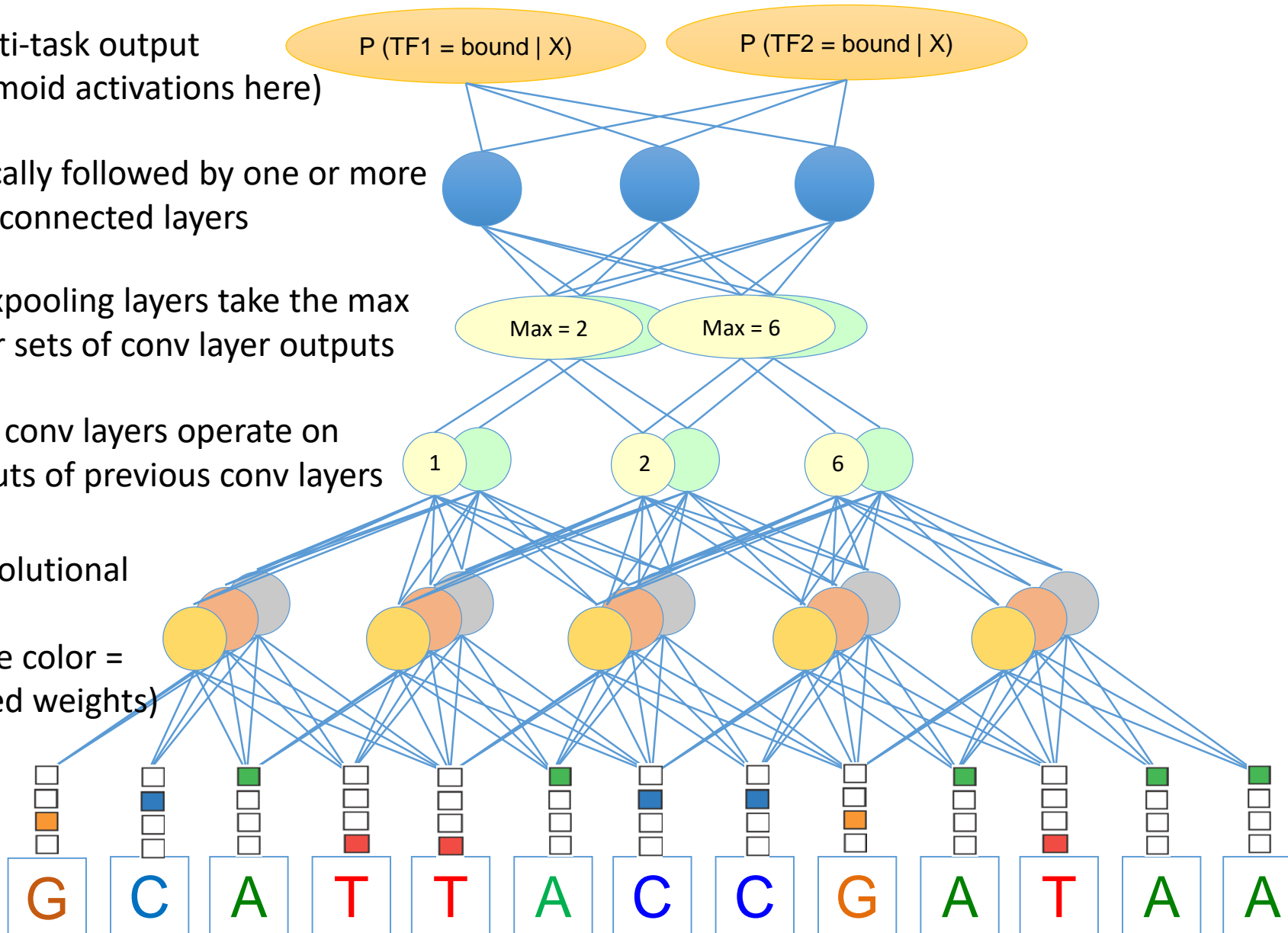
Multi-task output  
(sigmoid activations here)

Typically followed by one or more  
fully connected layers

Maxpooling layers take the max  
over sets of conv layer outputs

Later conv layers operate on  
outputs of previous conv layers

Convolutional  
layer  
(same color =  
shared weights)



**Maxpooling layer**  
pool width = 2  
stride = 1

**Conv Layer 2**  
Kernel width = 3  
stride = 1  
num filters / num  
channels = 2  
total neurons = 6

**Conv Layer 1**  
Kernel width = 4  
stride = 2\*  
num filters / num  
channels = 3  
Total neurons = 15

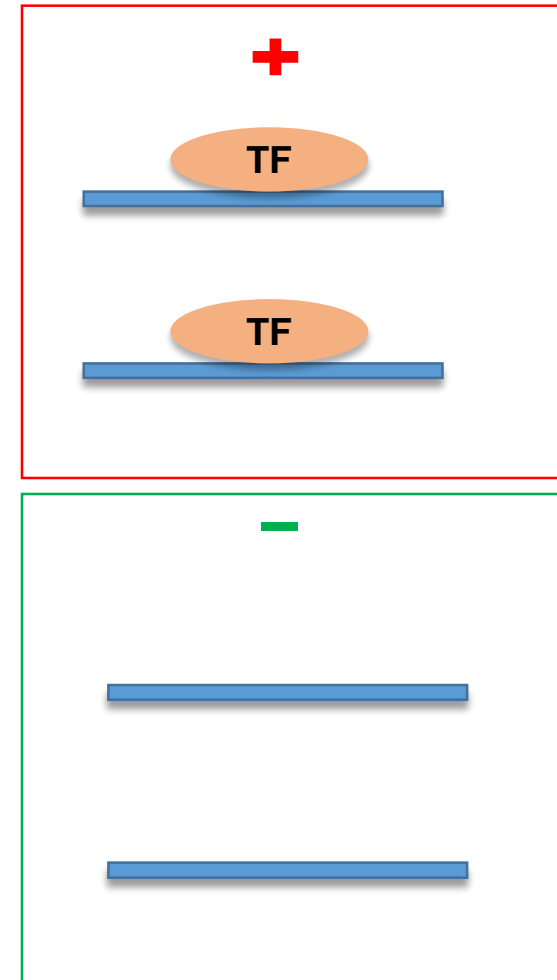
Multi-modal convolutional neural  
networks for predicting protein-  
DNA binding

# Learning patterns in regulatory DNA sequence

- Positive class of genomic sequences bound a transcription factor of interest

Can we learn patterns in the DNA sequence that distinguish these 2 classes of genomic sequences?

- Negative class of genomic sequences not bound by a transcription factor of interest



# Predicting binding in new cell types

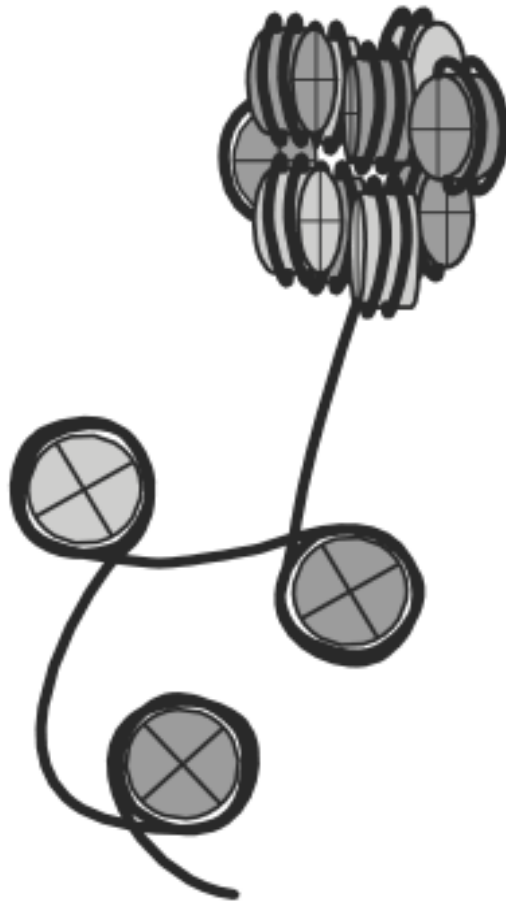
Sequence is static across cell types.

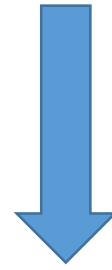
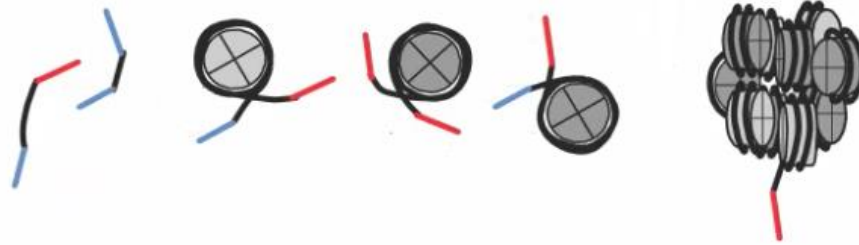
A sequence only model cannot generate cell-type specific binding predictions with no other input.

We need an some other type of input data type that provides some information about cell-type specific use of DNA sequence

# Chromatin accessibility

**ATAC-Seq**



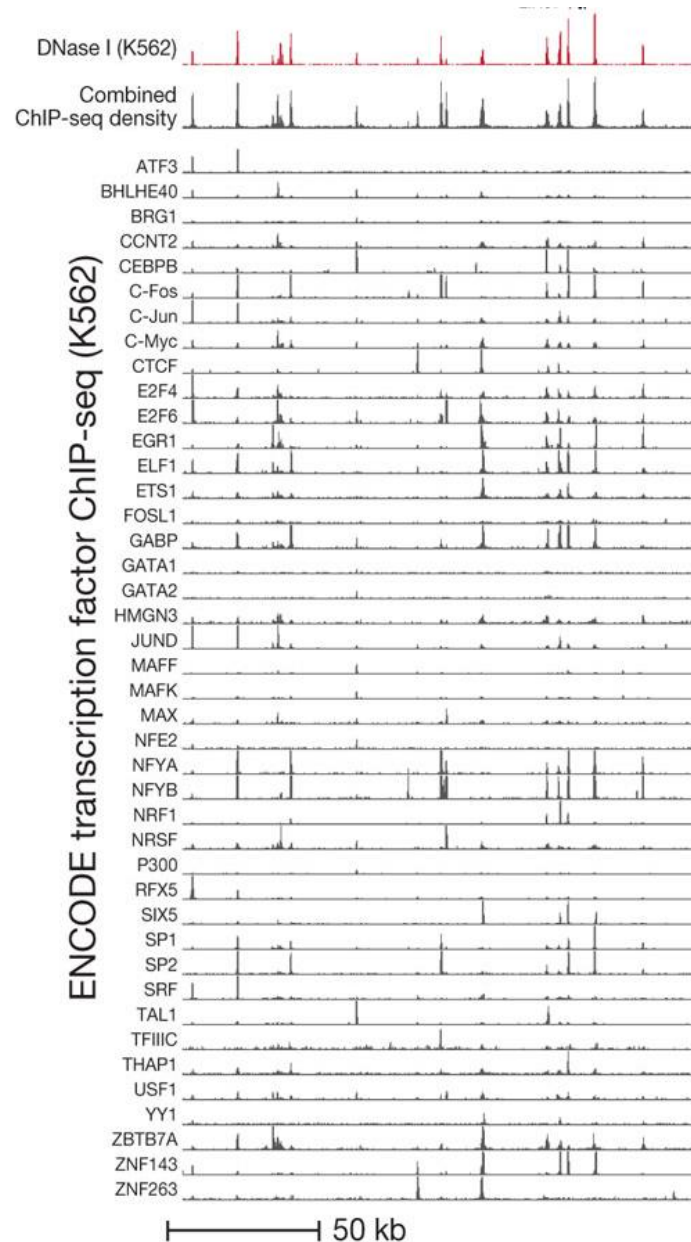


Read both ends and  
map to genome



ATAC-seq peaks identify accessible control elements

# Chromatin accessibility $\sim$ sum (ChIP-seq for all DNA binding proteins)



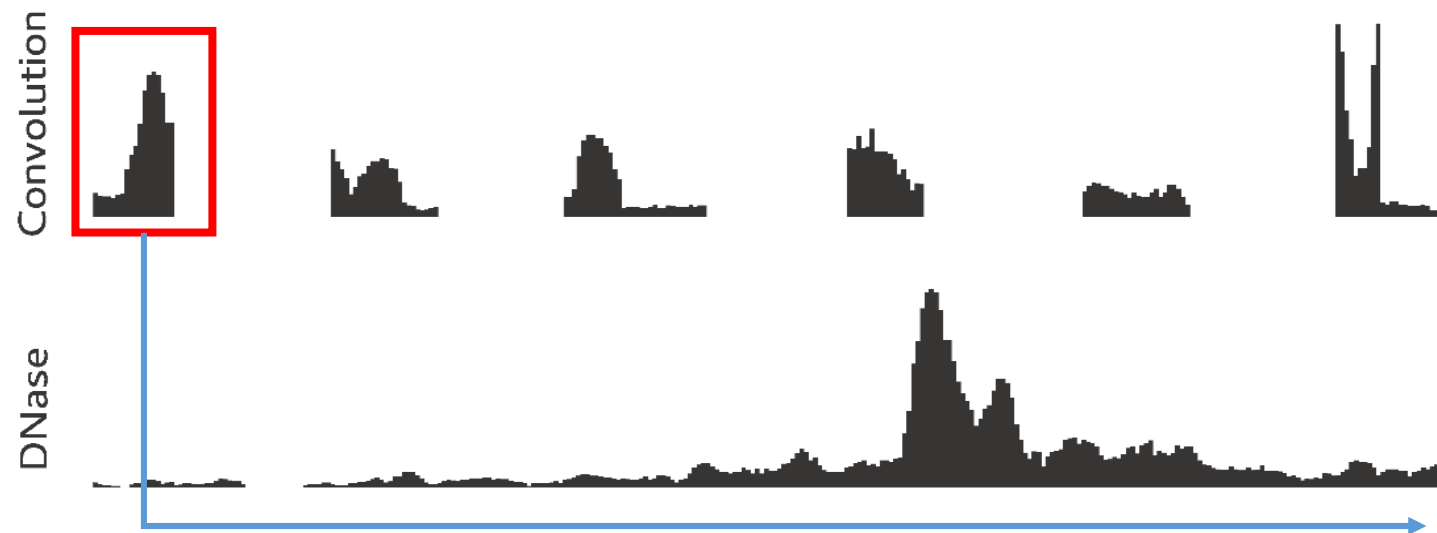
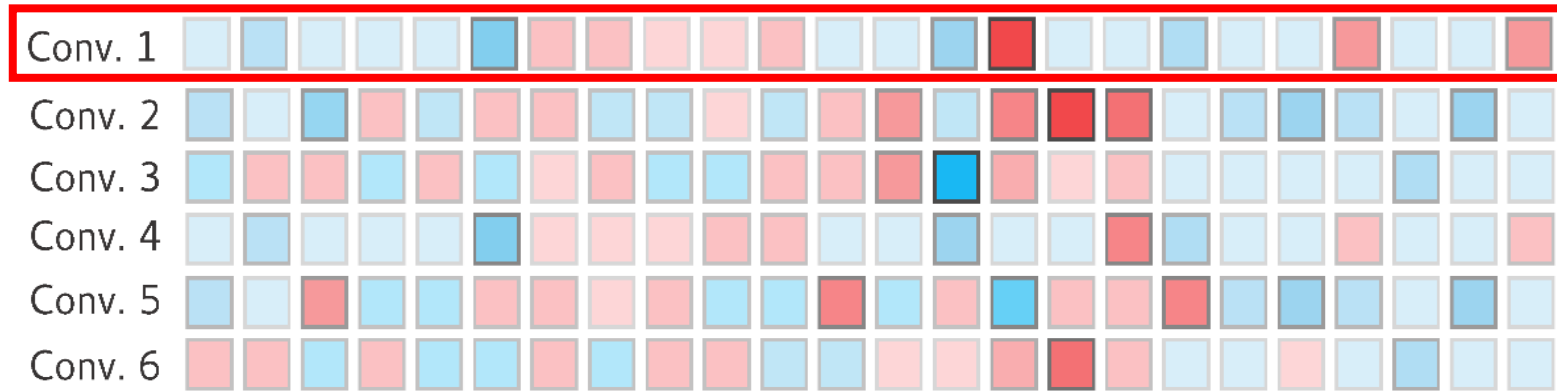
Peaks of chromatin accessibility signal at specific genomic locations tells us “something binds there”

BUT we don’t know who binds there.

Sequence patterns could tell us who binds there!

Integrate sequence + chromatin accessibility patterns to predict TF binding events (from ChIP-seq data)!

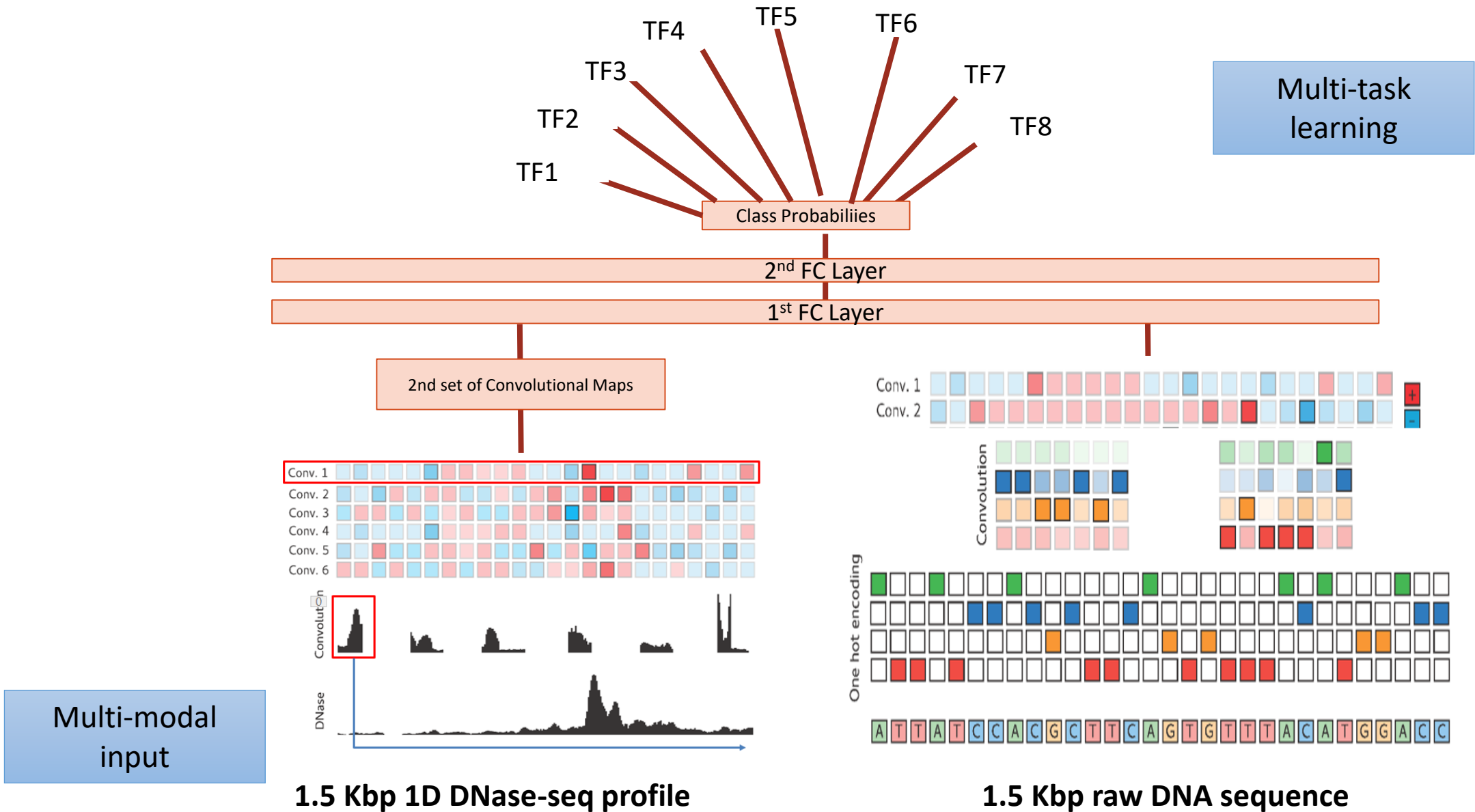
# CNN filters for learning patterns from chromatin accessibility data



Scan chromatin accessibility profile using filter



# Multi-modal integrative model



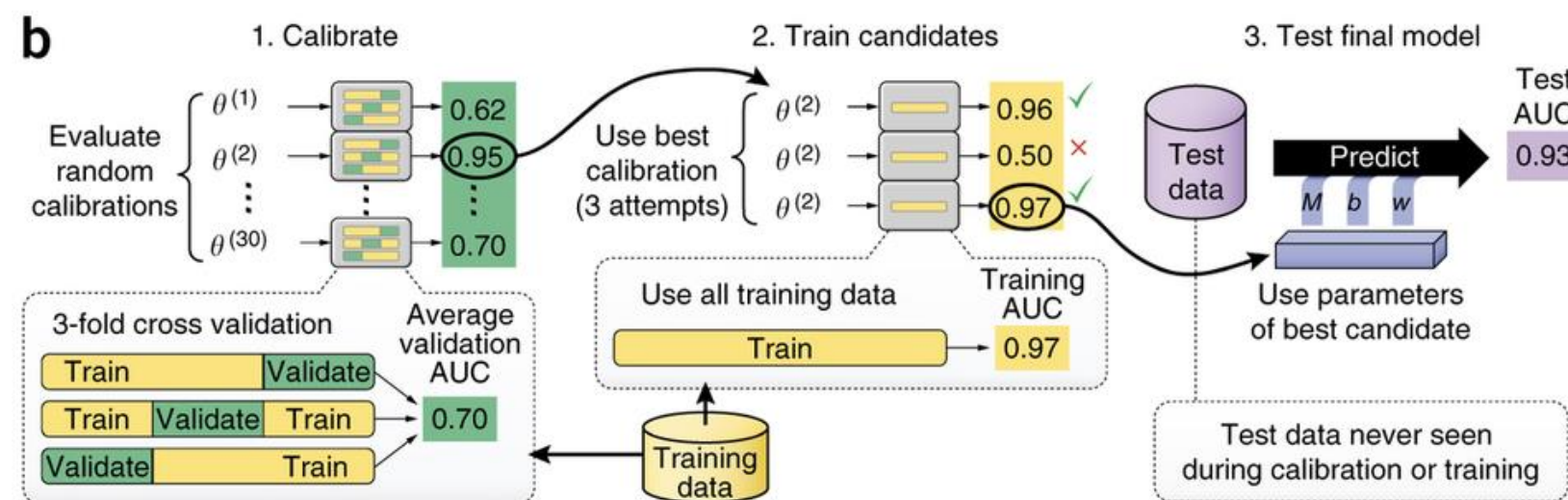
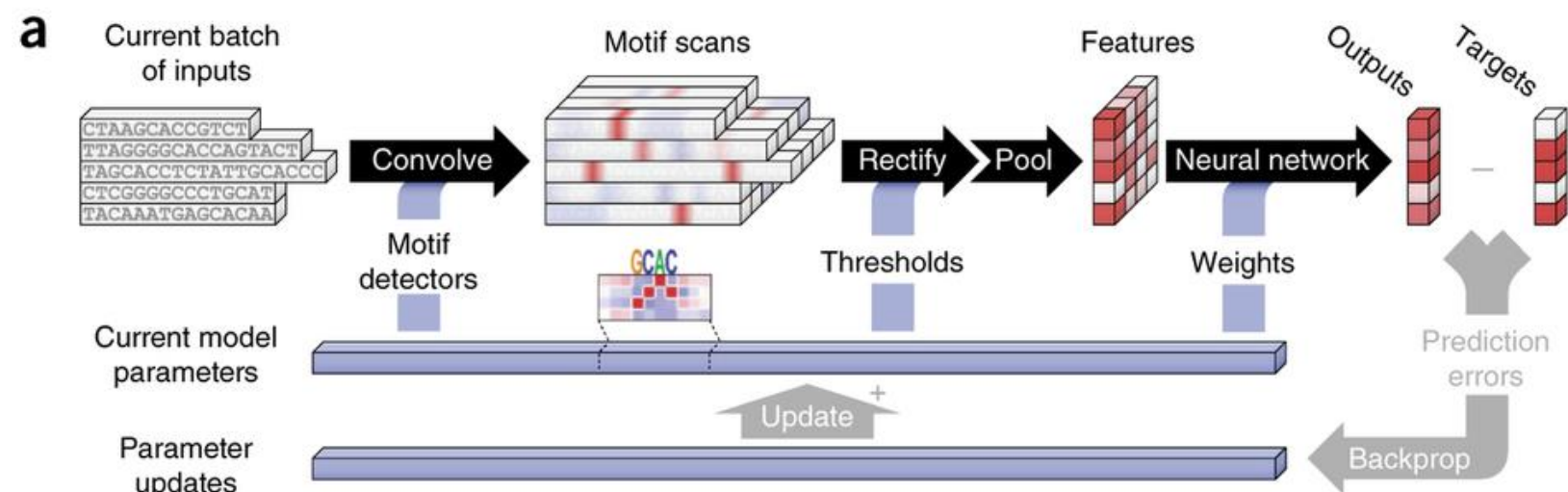
# Predicting the sequence specificities of DNA- and RNA-binding proteins by deep learning

Babak Alipanahi, Andrew Delong, Matthew T Weirauch & Brendan J Frey

Affiliations | Contributions | Corresponding author

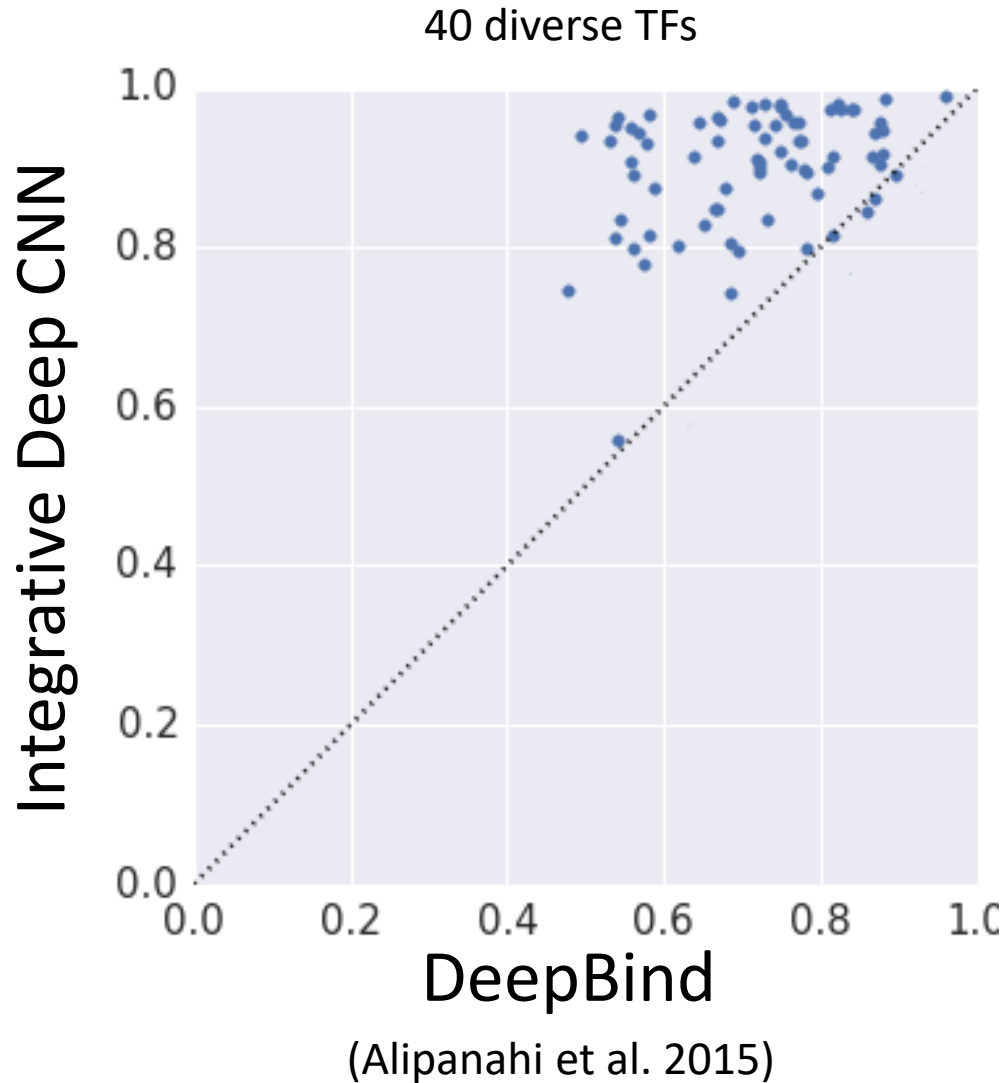
Nature Biotechnology 33, 831–838 (2015) | doi:10.1038/nbt.3300

Received 28 November 2014 | Accepted 25 June 2015 | Published online 27 July 2015



# Performance evaluation

## Area under Receiver Operating Curve (auROC)



- auROCs look great!
- Hurray! Looks like we don't need ChIP-seq data any more!

## The Contingency Table/Confusion Matrix

TP, FP, FN, TN are absolute counts of true positives, false positives, false negatives and true negatives

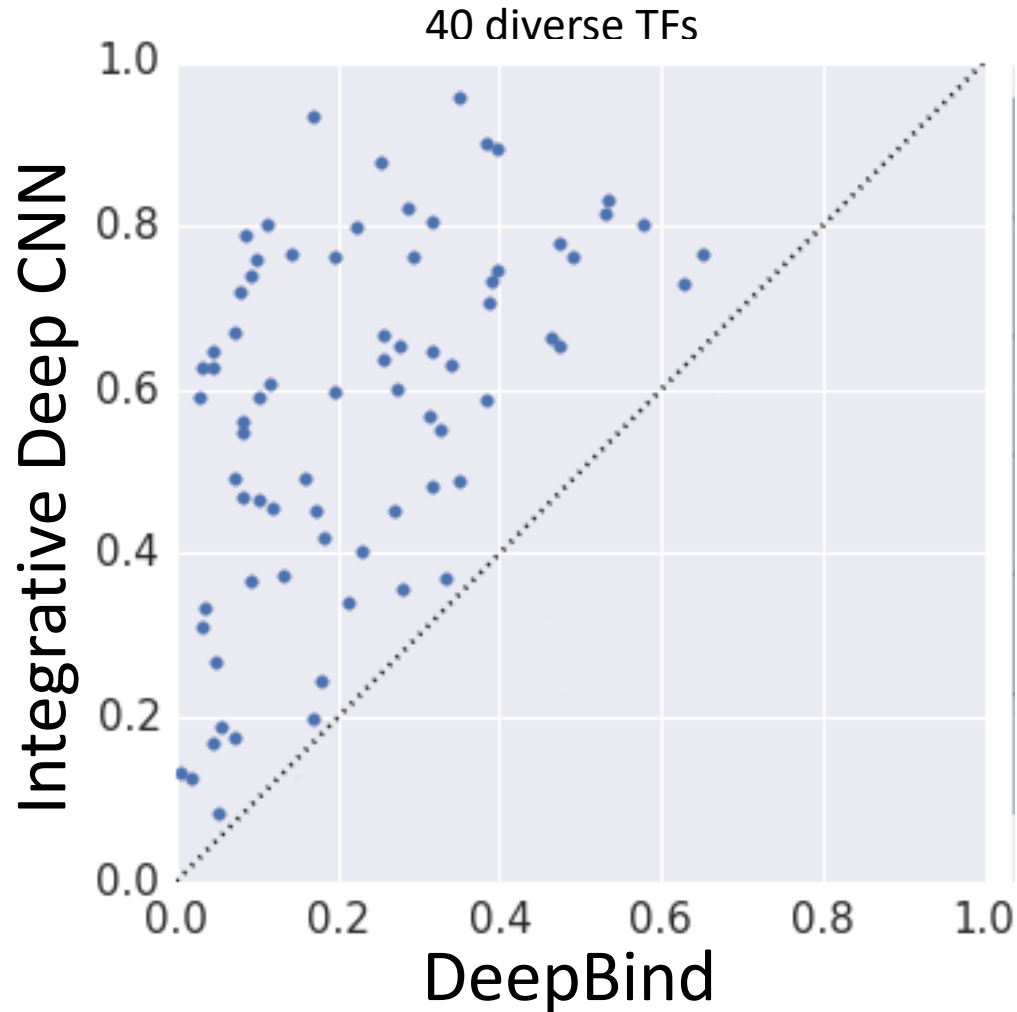
- ▶  $N$  - sample size
- ▶  $N^+ = FN + TP$  number of positive examples
- ▶  $N^- = FP + TN$  number of negative examples
- ▶  $O^+ = TP + FP$  number of positive predictions
- ▶  $O^- = FN + TN$  number of negative predictions

| outputs \ labeling | $y = +1$ | $y = -1$ | $\Sigma$ |
|--------------------|----------|----------|----------|
| $f(x) = +1$        | TP       | FP       | $O^+$    |
| $f(x) = -1$        | FN       | TN       | $O^-$    |
| $\Sigma$           | $N^+$    | $N^-$    | $N$      |

|                      |   |
|----------------------|---|
| Sensitivity/recall   | $\hat{TPR} = \hat{TP}/N^+ = \frac{TP}{TP+FN}$ |
| Specificity          | $TNR = TN/N^- = \frac{TN}{TN+FP}$             |
| 1-sensitivity        | $FNR = FN/N^+ = \frac{FN}{FN+TP}$             |
| 1-specificity        | $\hat{FPR} = FP/N^- = \frac{FP}{FP+TN}$       |
| P.p.v. / precision   | $PPV = TP/O^+ = \frac{TP}{TP+FP}$             |
| False discovery rate | $FDR = FP/O^+ = \frac{FP}{FP+TP}$             |

# Performance evaluation metric matters!

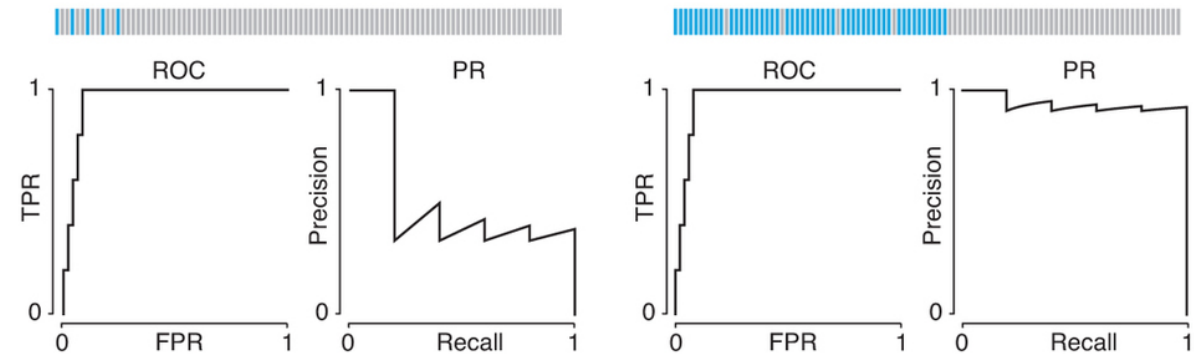
## Area under Precision-Recall Curve



(Alipanahi et al. 2015)

- Prediction task is highly unbalanced (50-100x more negatives than positives)
- auROC is highly misleading for unbalanced data!**

|                      |  |
|----------------------|--|
| Sensitivity/recall   | $\text{TPR} = \text{TP} / N^+ = \frac{\text{TP}}{\text{TP} + \text{FN}}$ |
| Specificity          | $\text{TNR} = \text{TN} / N^- = \frac{\text{TN}}{\text{TN} + \text{FP}}$ |
| 1-sensitivity        | $\text{FNR} = \text{FN} / N^+ = \frac{\text{FN}}{\text{FN} + \text{TP}}$ |
| 1-specificity        | $\text{FPR} = \text{FP} / N^- = \frac{\text{FP}}{\text{FP} + \text{TN}}$ |
| P.p.v. / precision   | $\text{PPV} = \text{TP} / O^+ = \frac{\text{TP}}{\text{TP} + \text{FP}}$ |
| False discovery rate | $\text{FDR} = \text{FP} / O^+ = \frac{\text{FP}}{\text{FP} + \text{TP}}$ |



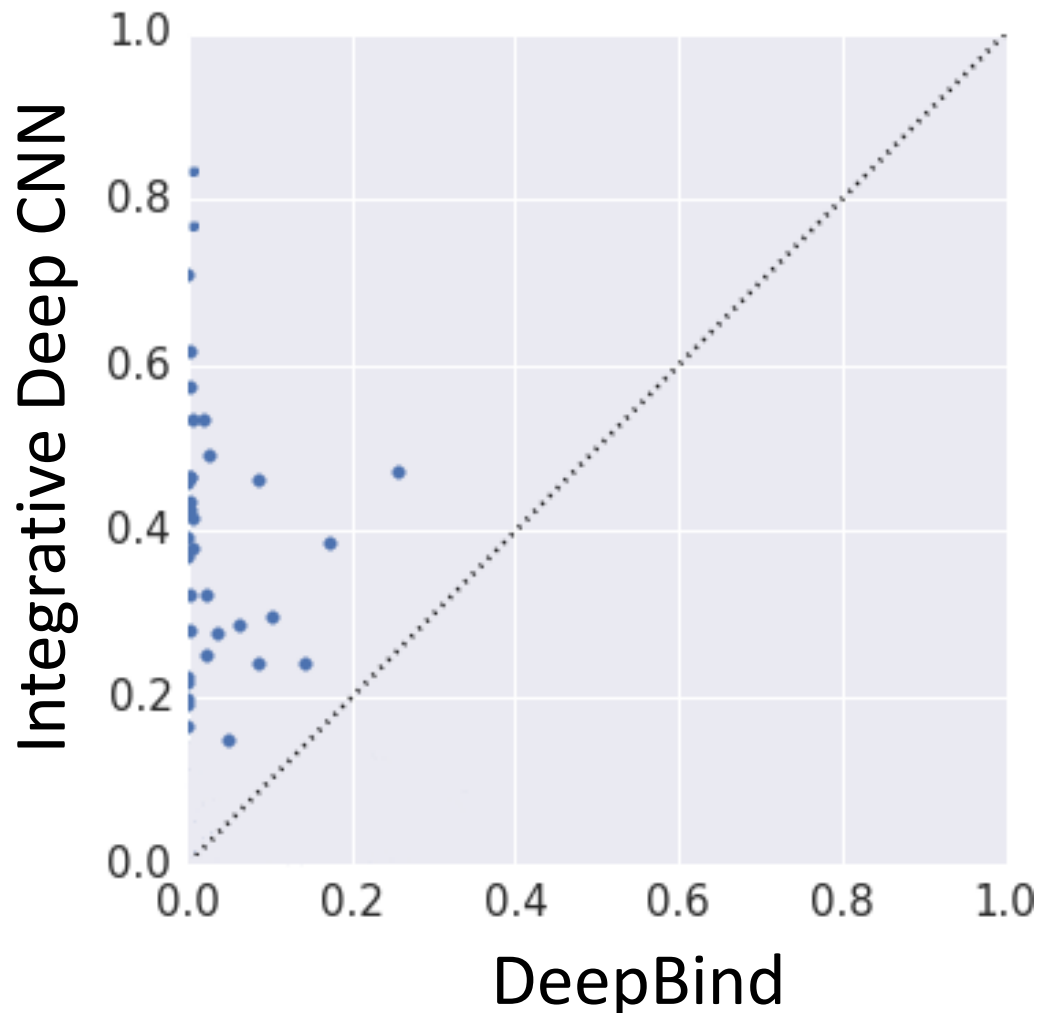
(a,b) ROC and PR curves for two data sets with very different class balances: (a) 5% positive and (b) 50% positive observations. For each panel, observations are shown as vertical lines (top), of which 5% or 50% are positive (blue).

<http://www.nature.com/nmeth/journal/v13/n8/full/nmeth.3945.html>

FYI: auPRC implementation in scikit-learn is wrong!

# Negative set matters!



Recall at 10% FDR (90% precision)



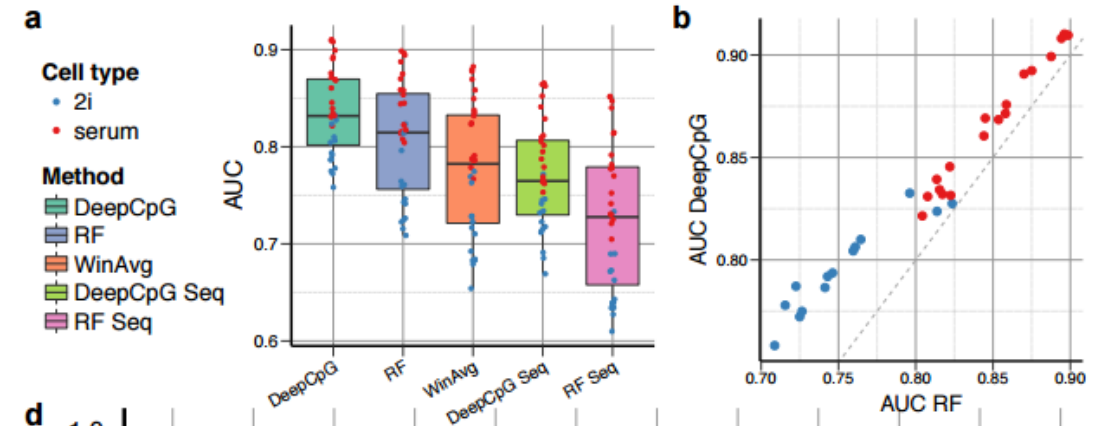
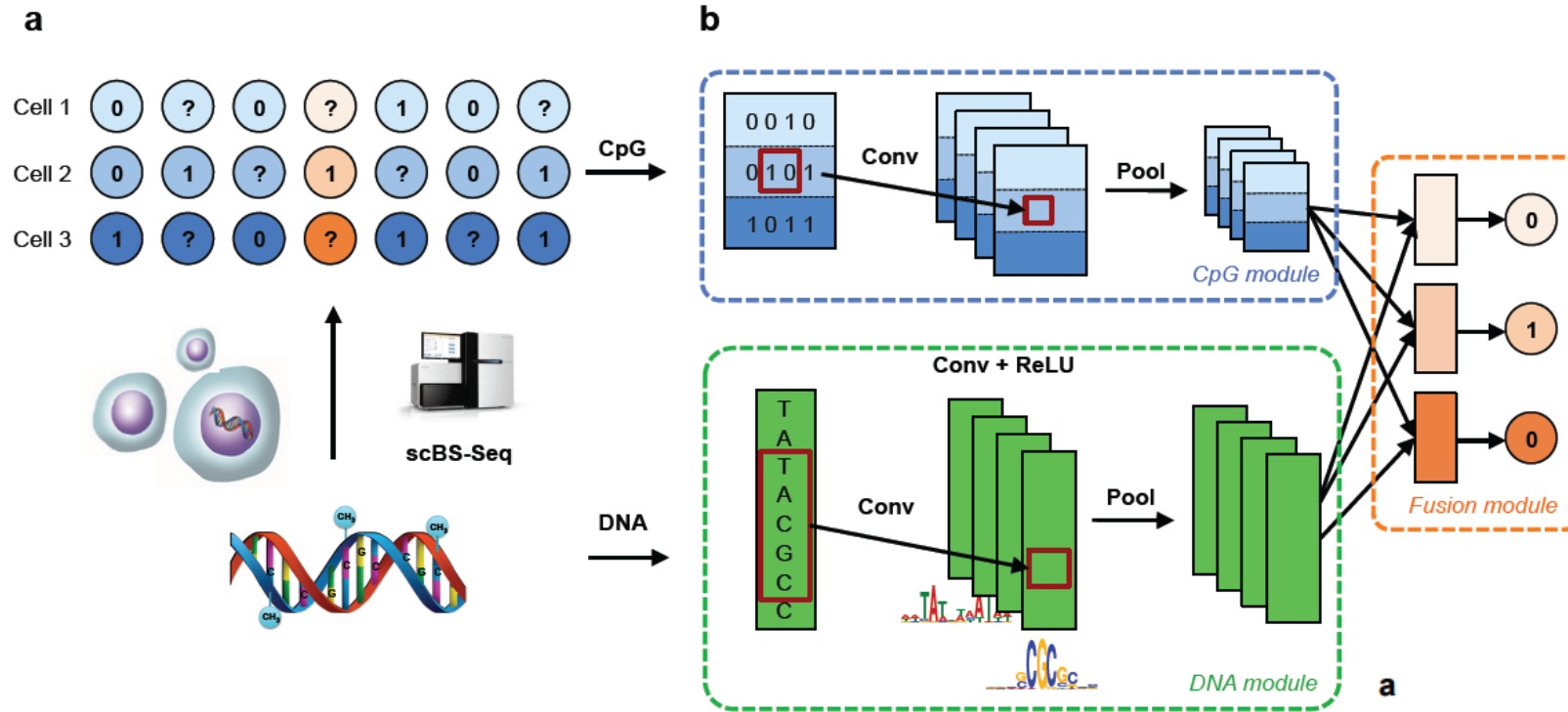
- Why does DeepBind do so poorly in this setting?
  - Trains on dinucleotide shuffled negatives (not representative of relevant genomic background)
  - **Negative set matters!**

# Accurate prediction of single-cell DNA methylation states using deep learning

Posted May 27, 2016.

 Christof Angermueller,  Heather Lee,  Wolf Reik,  Oliver Stegle

 [Download PDF](#)

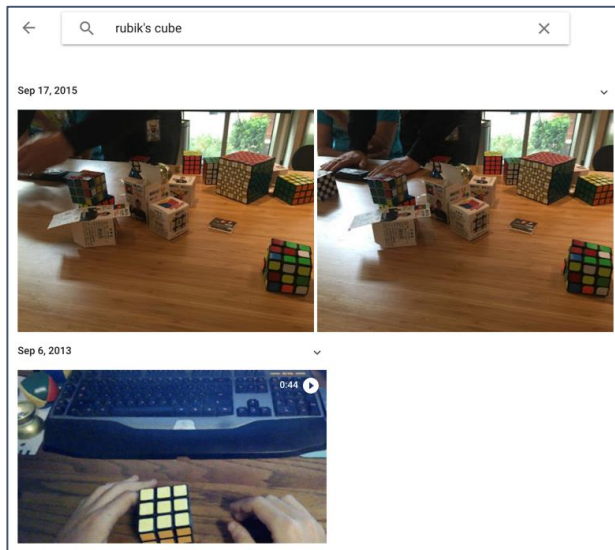


# Convolutional neural networks for learning from imaging data

(Slides taken from Andrej Karpathy's CS231N lectures)



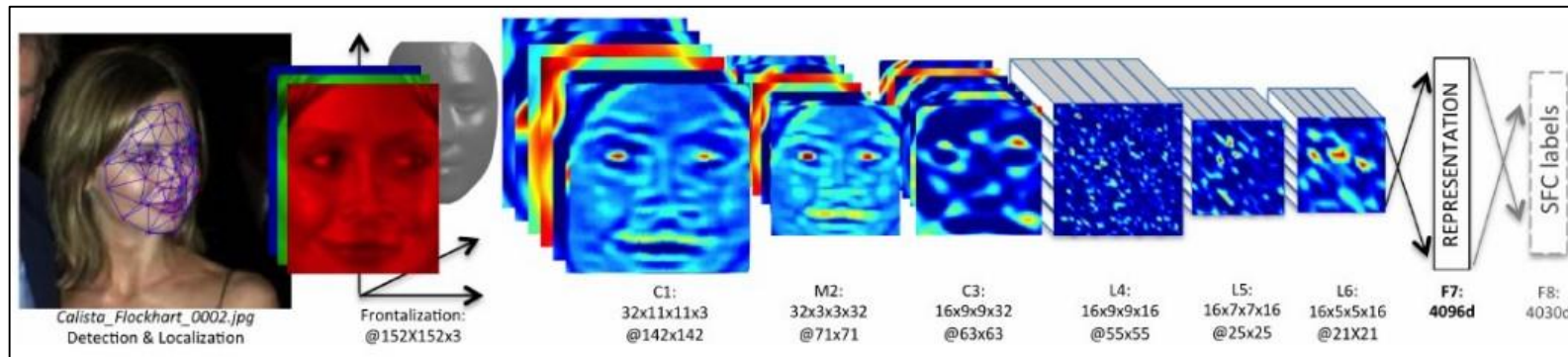
# ConvNets are everywhere...



e.g. Google Photos search



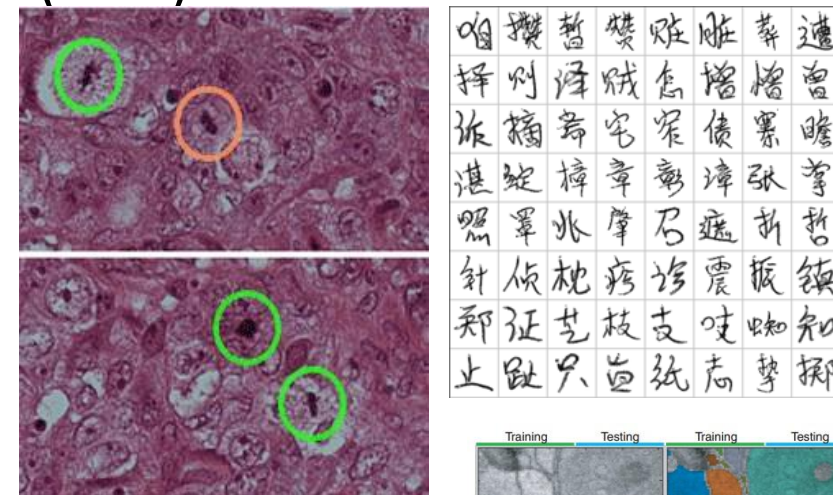
[Goodfellow et al. 2014]



Face Verification, Taigman et al. 2014 (FAIR)

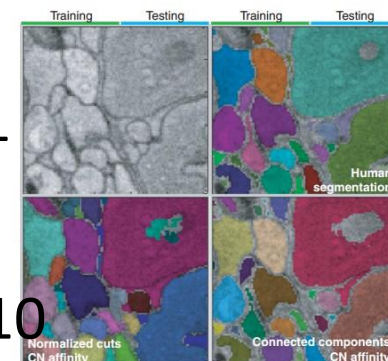


Self-driving cars

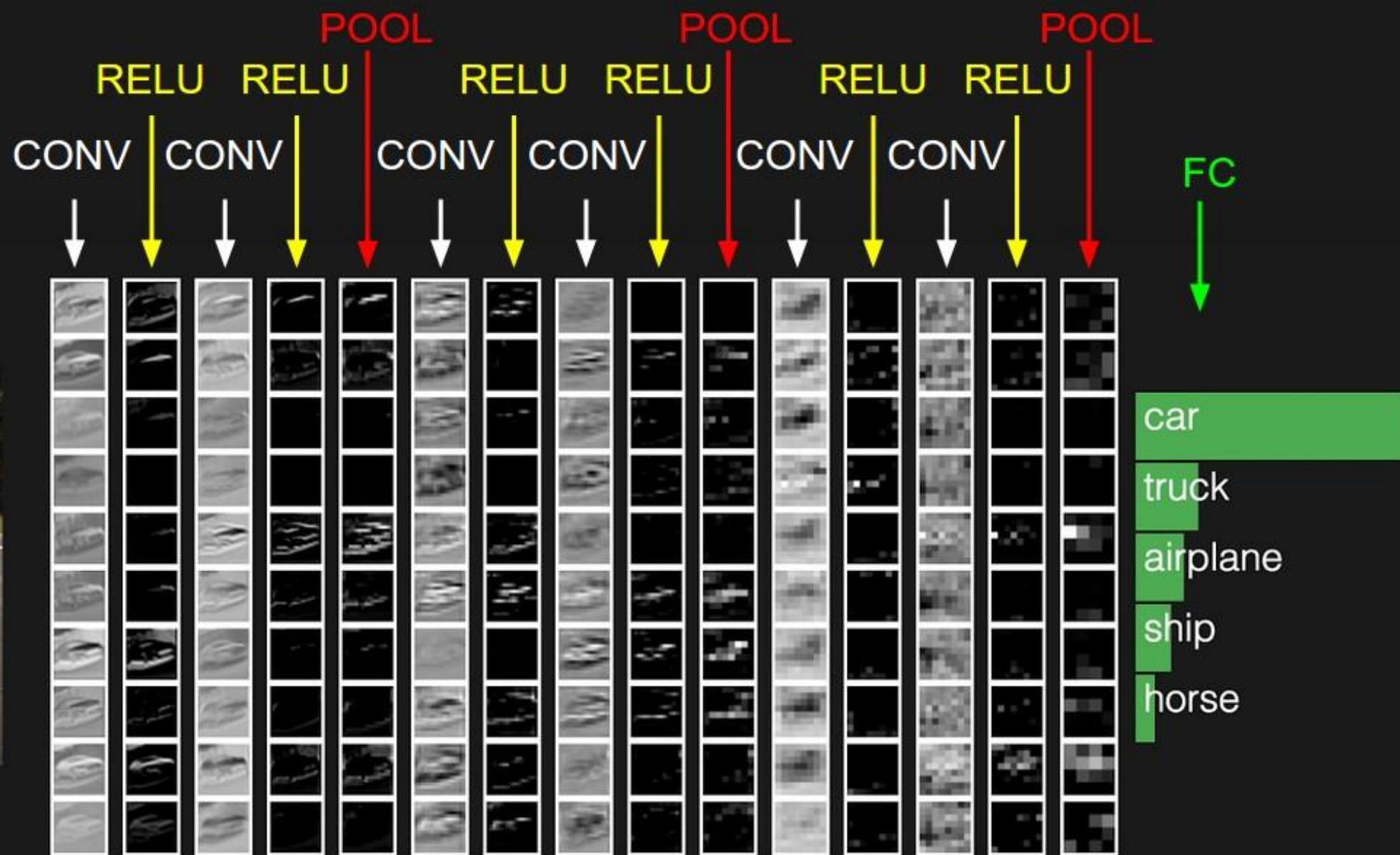


Ciresan et al. 2011

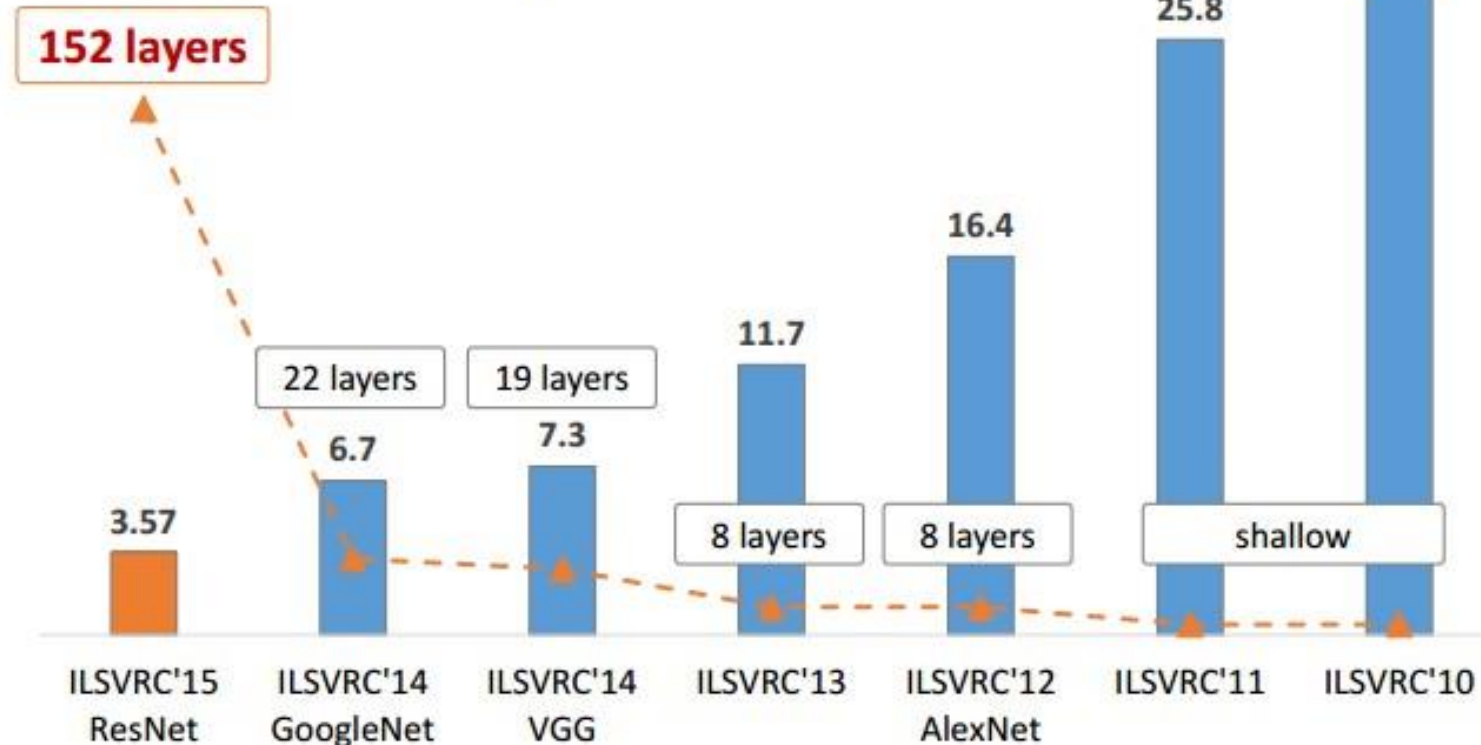
Turaga et al 2010







# Revolution of Depth



ImageNet Classification top-5 error (%)

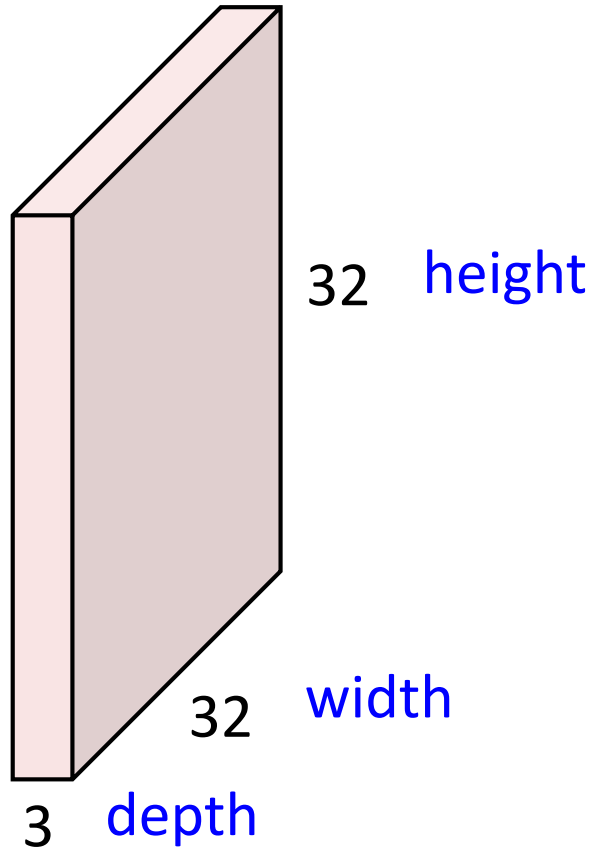


Kaiming He, Xiangyu Zhang, Shaoqing Ren, & Jian Sun. "Deep Residual Learning for Image Recognition". arXiv 2015.

(slide from Kaiming He's recent presentation)

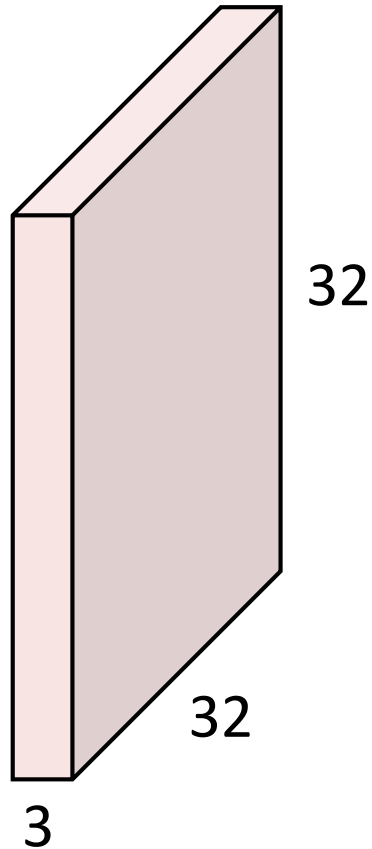
# Convolution Layer

32x32x3 image



# Convolution Layer

32x32x3 image



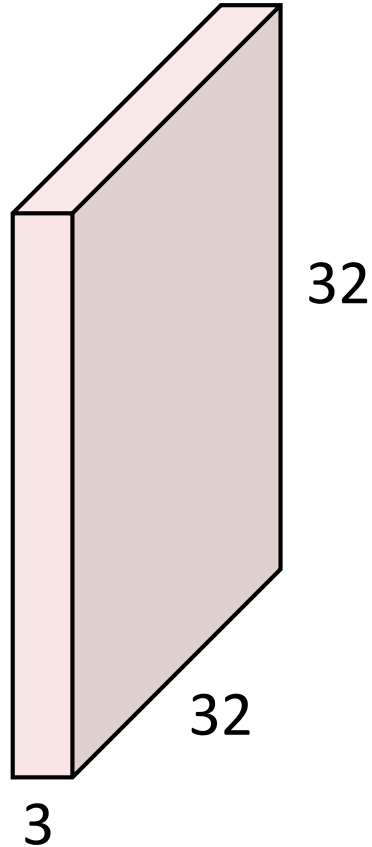
5x5x3 filter



**Convolve** the filter with the image  
i.e. “slide over the image spatially,  
computing dot products”

# Convolution Layer

32x32x3 image



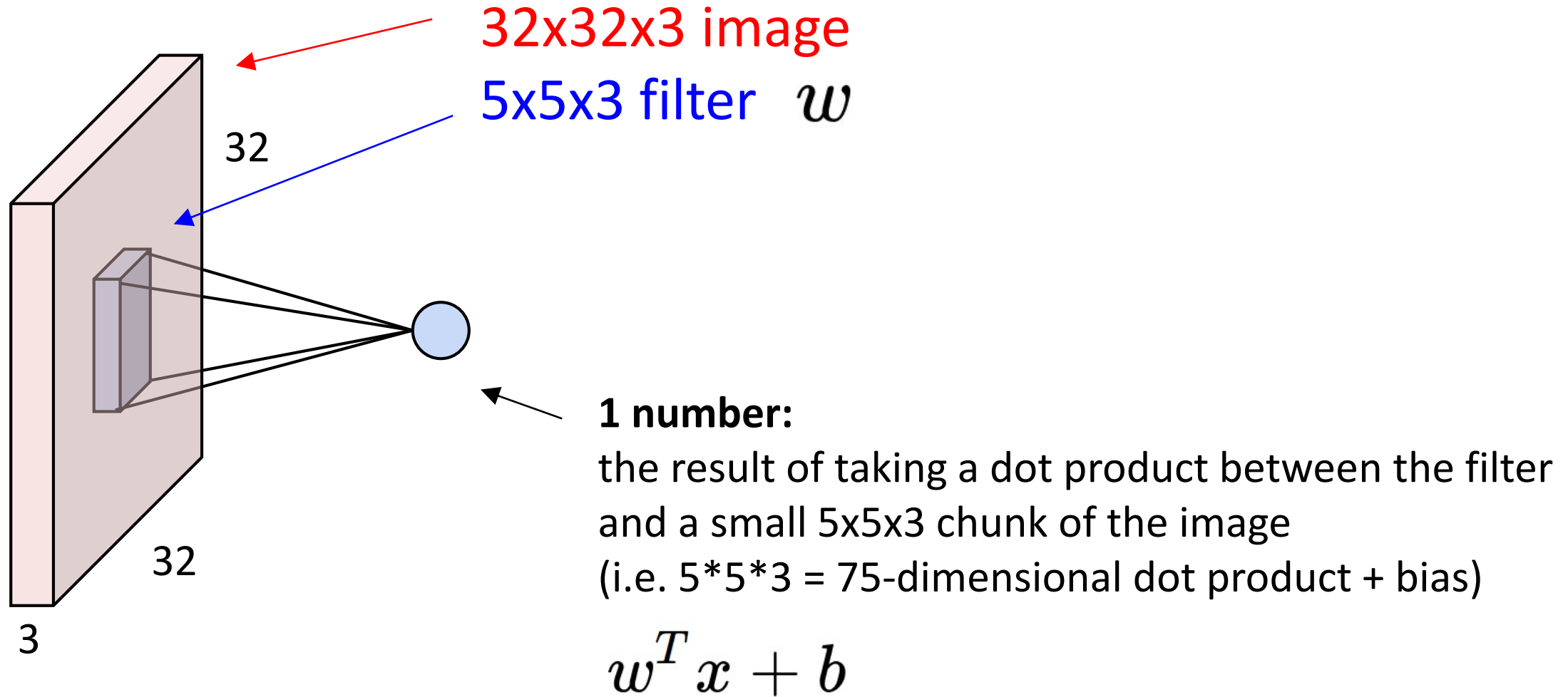
Filters always extend the full depth of the input volume

5x5x3 filter

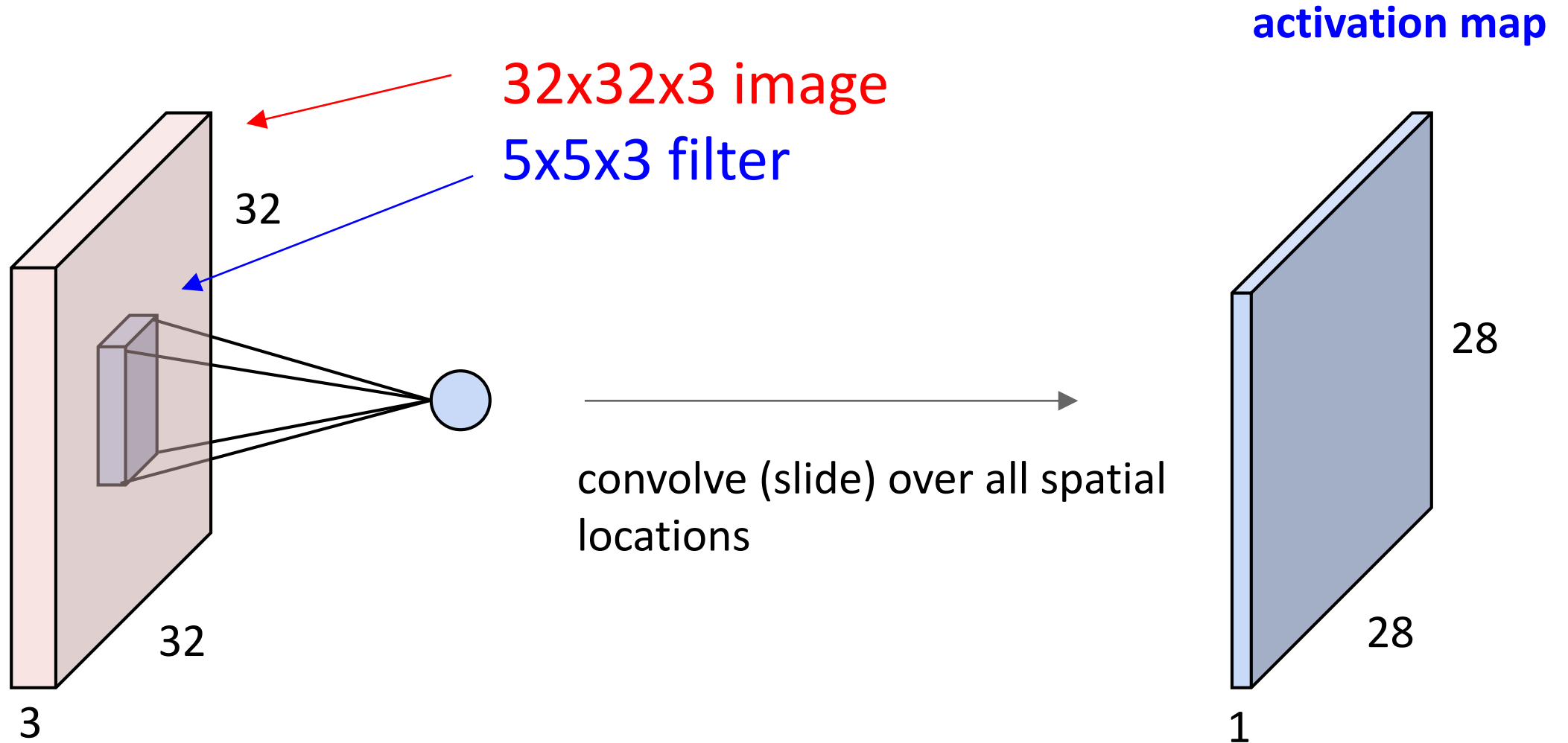


**Convolve** the filter with the image  
i.e. “slide over the image spatially,  
computing dot products”

# Convolution Layer

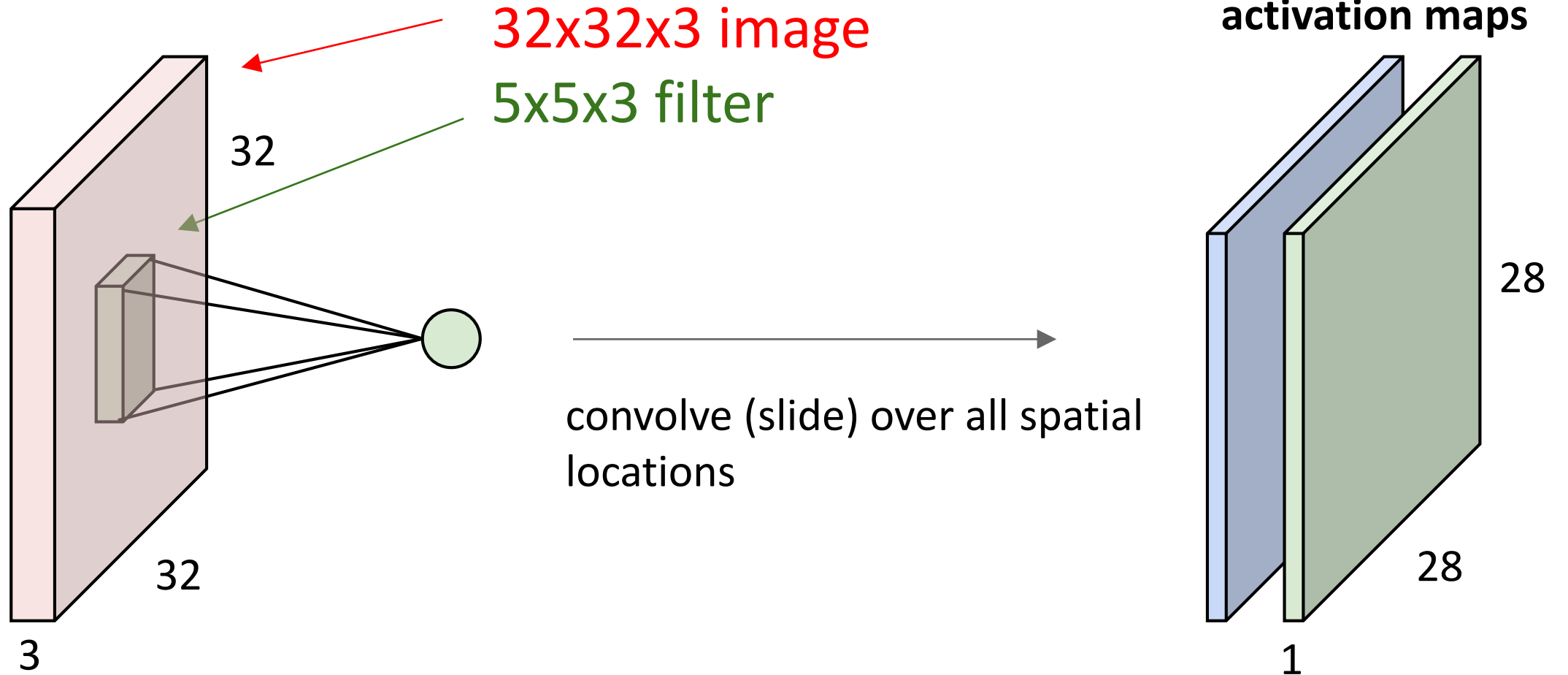


# Convolution Layer



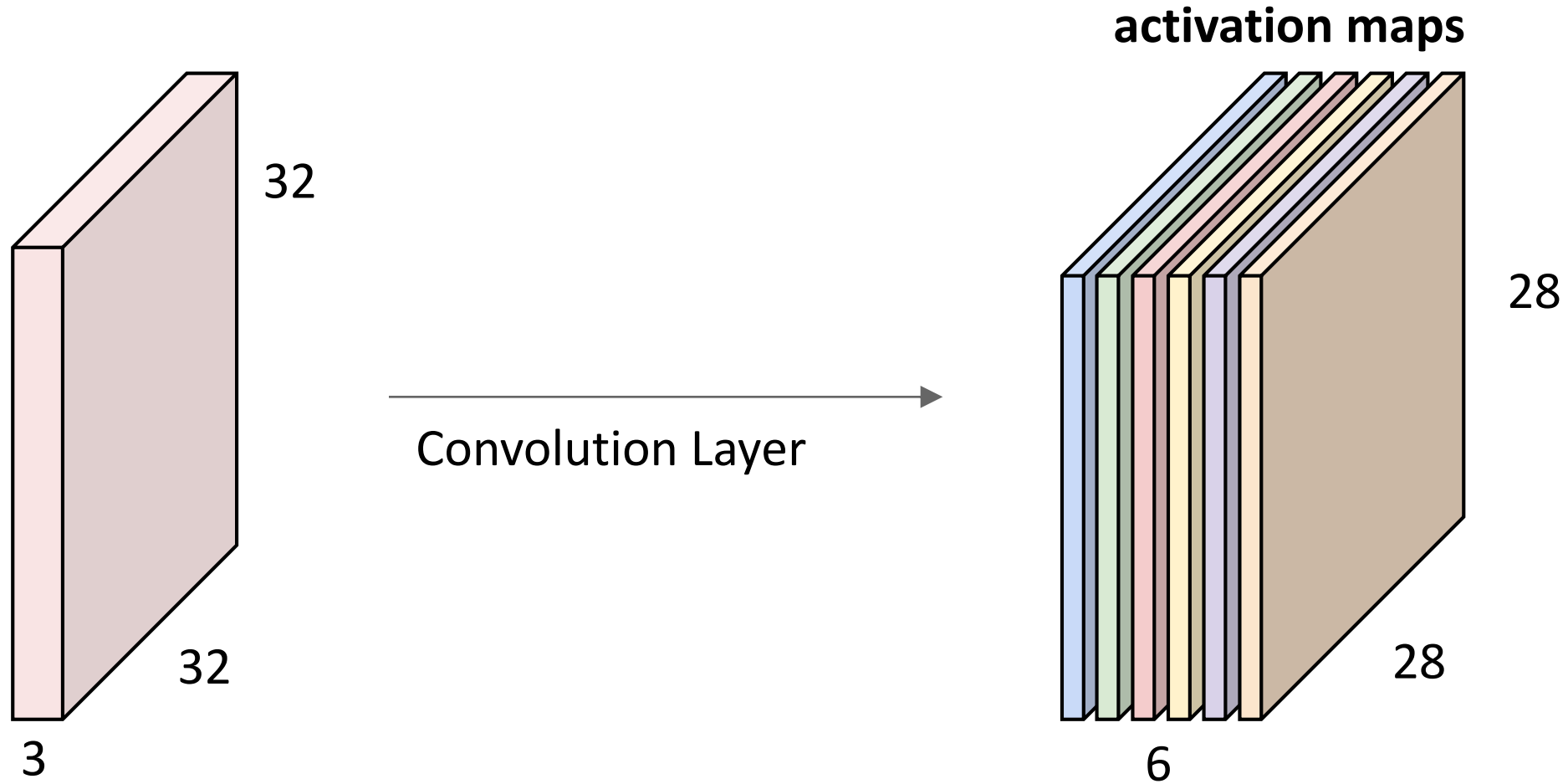
# Convolution Layer

consider a second, **green** filter



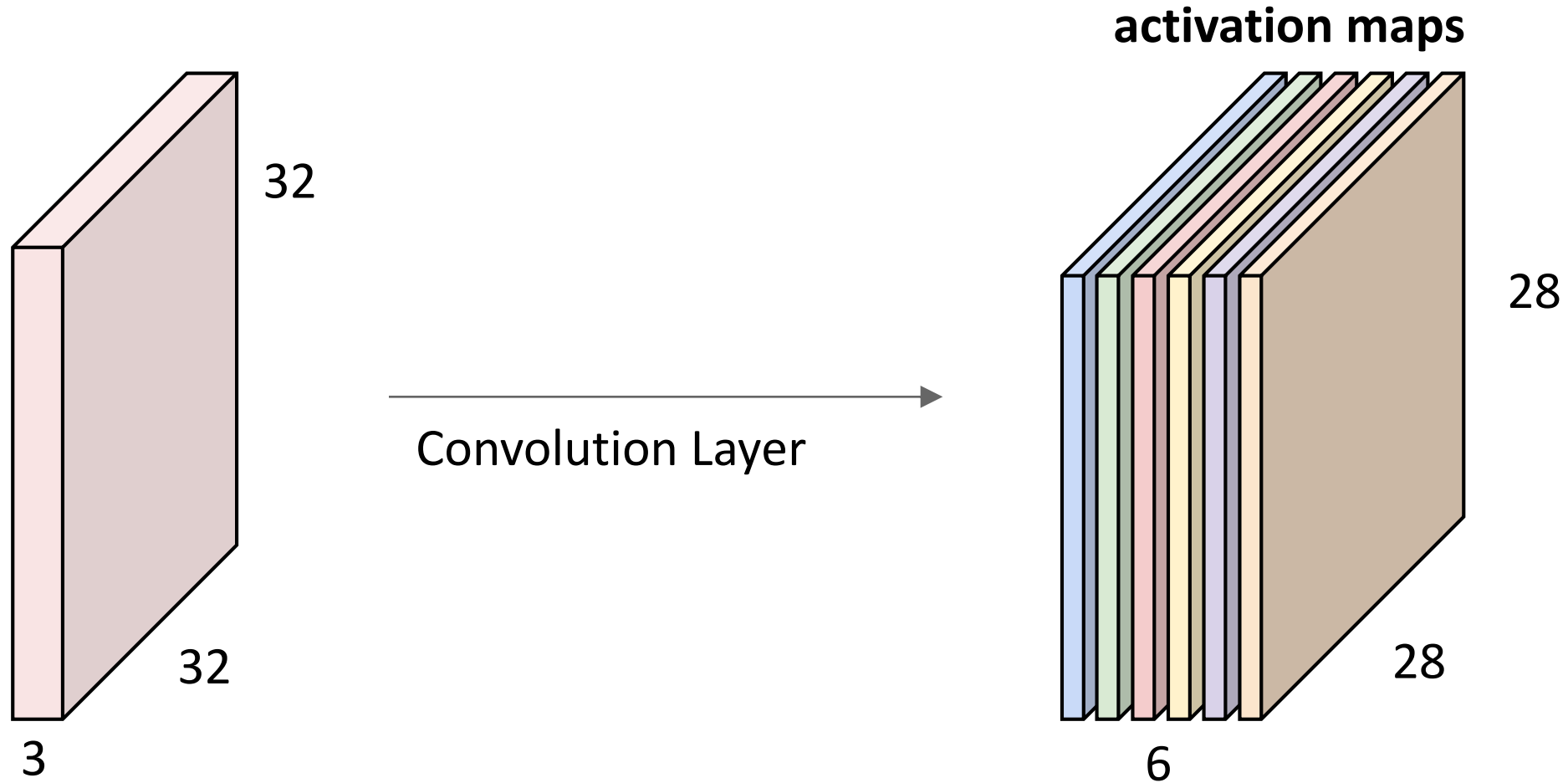


For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



We stack these up to get a “new image” of size 28x28x6!

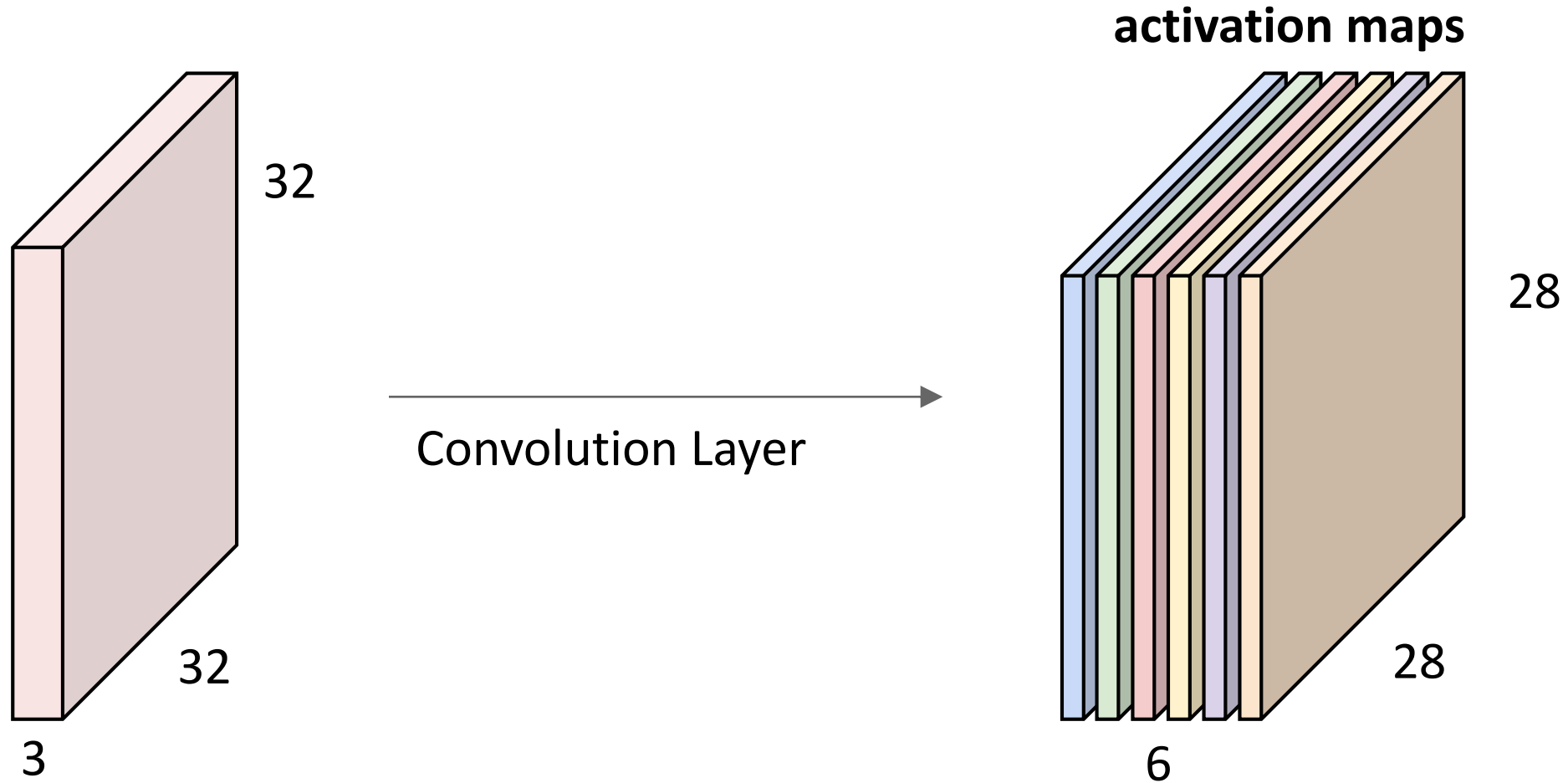
For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



We processed  $[32 \times 32 \times 3]$  volume into  $[28 \times 28 \times 6]$  volume.

Q: how many parameters would this be if we used a fully connected layer instead?

For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:

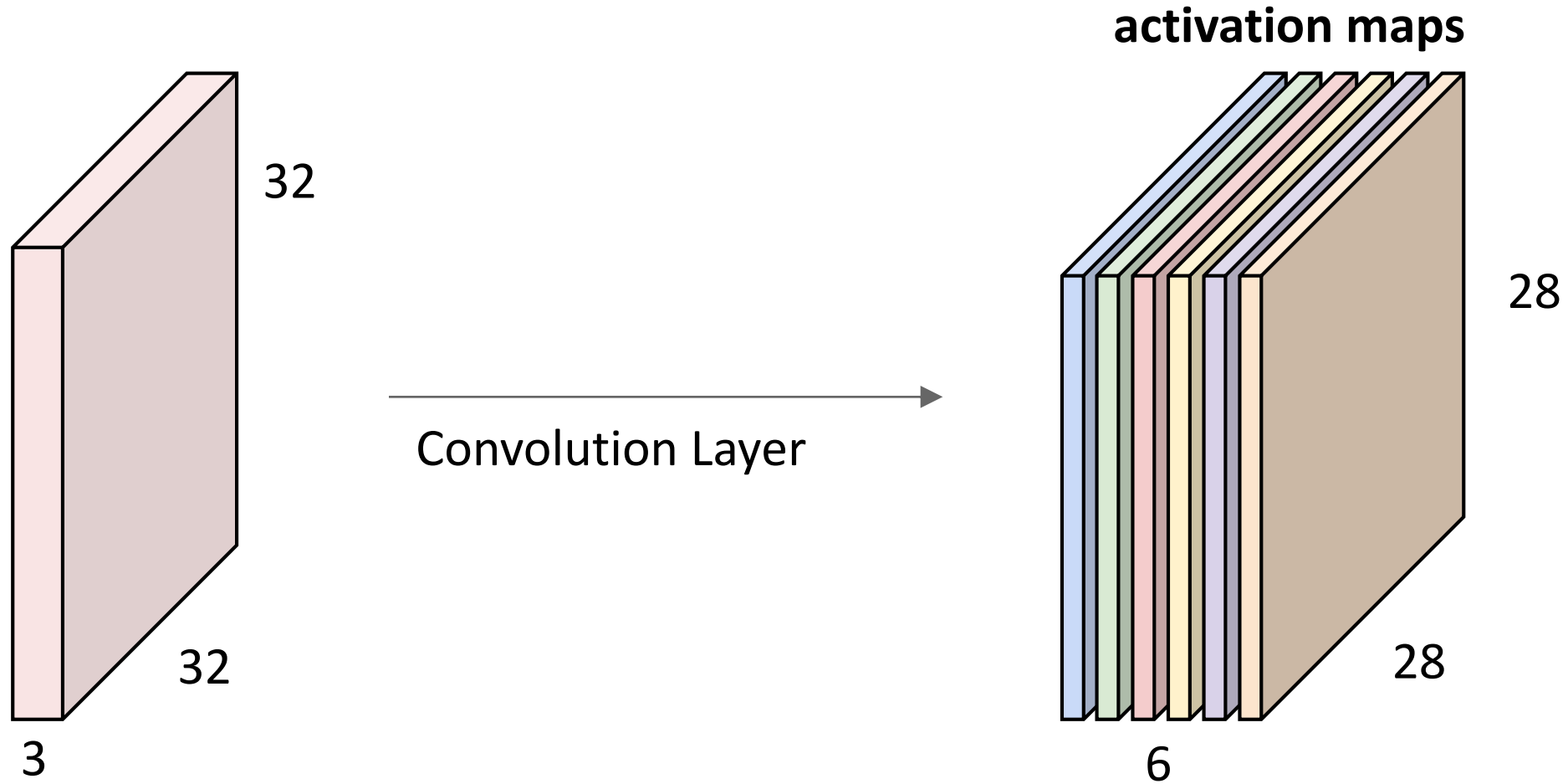


We processed [32x32x3] volume into [28x28x6] volume.

**Q: how many parameters would this be if we used a fully connected layer instead?**

**A:  $(32*32*3)*(28*28*6) = 14.5\text{M}$  parameters,  $\sim 14.5\text{M}$  multiplies**

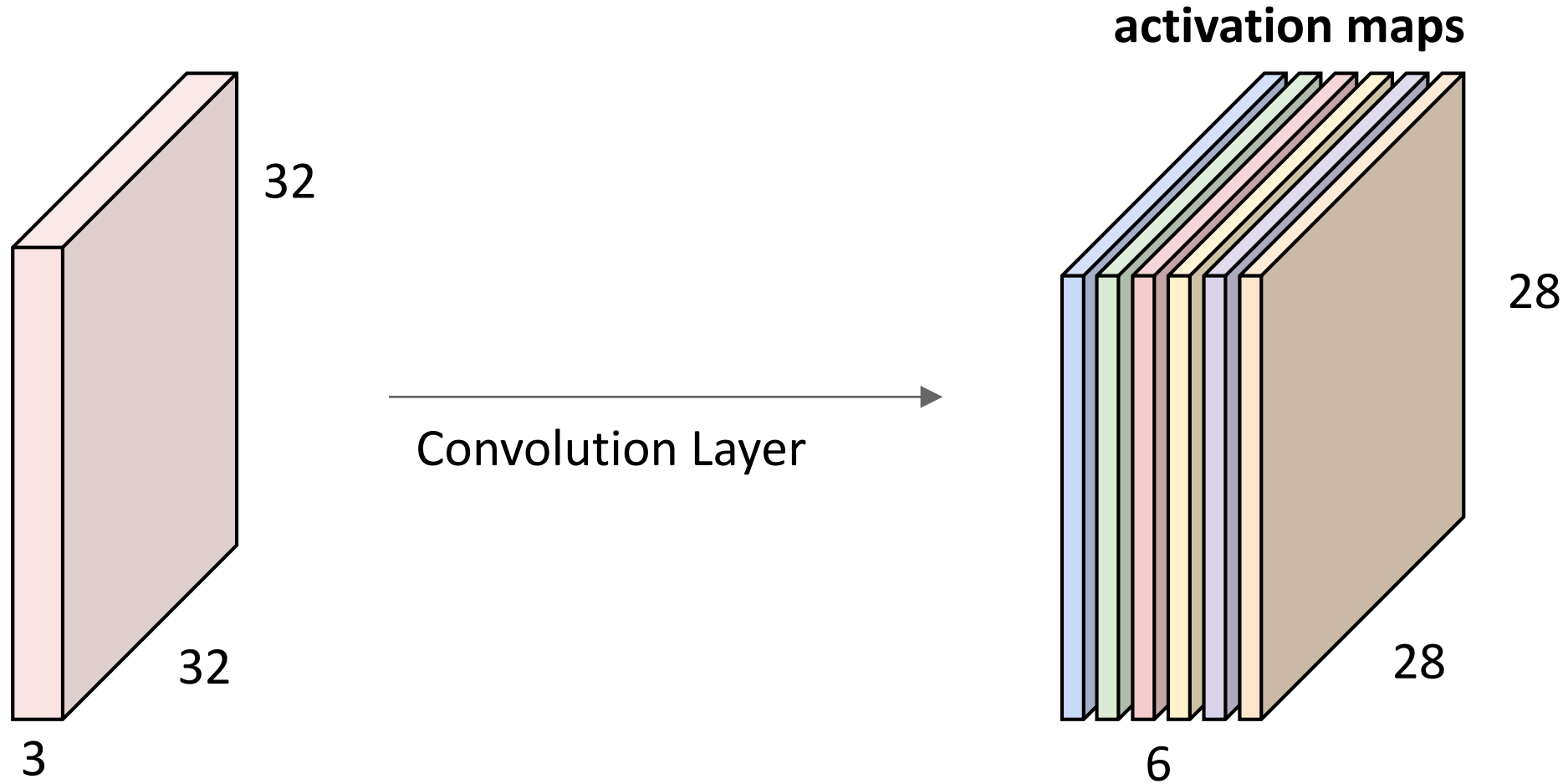
For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



We processed  $[32 \times 32 \times 3]$  volume into  $[28 \times 28 \times 6]$  volume.

Q: how many parameters are used instead?

For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:

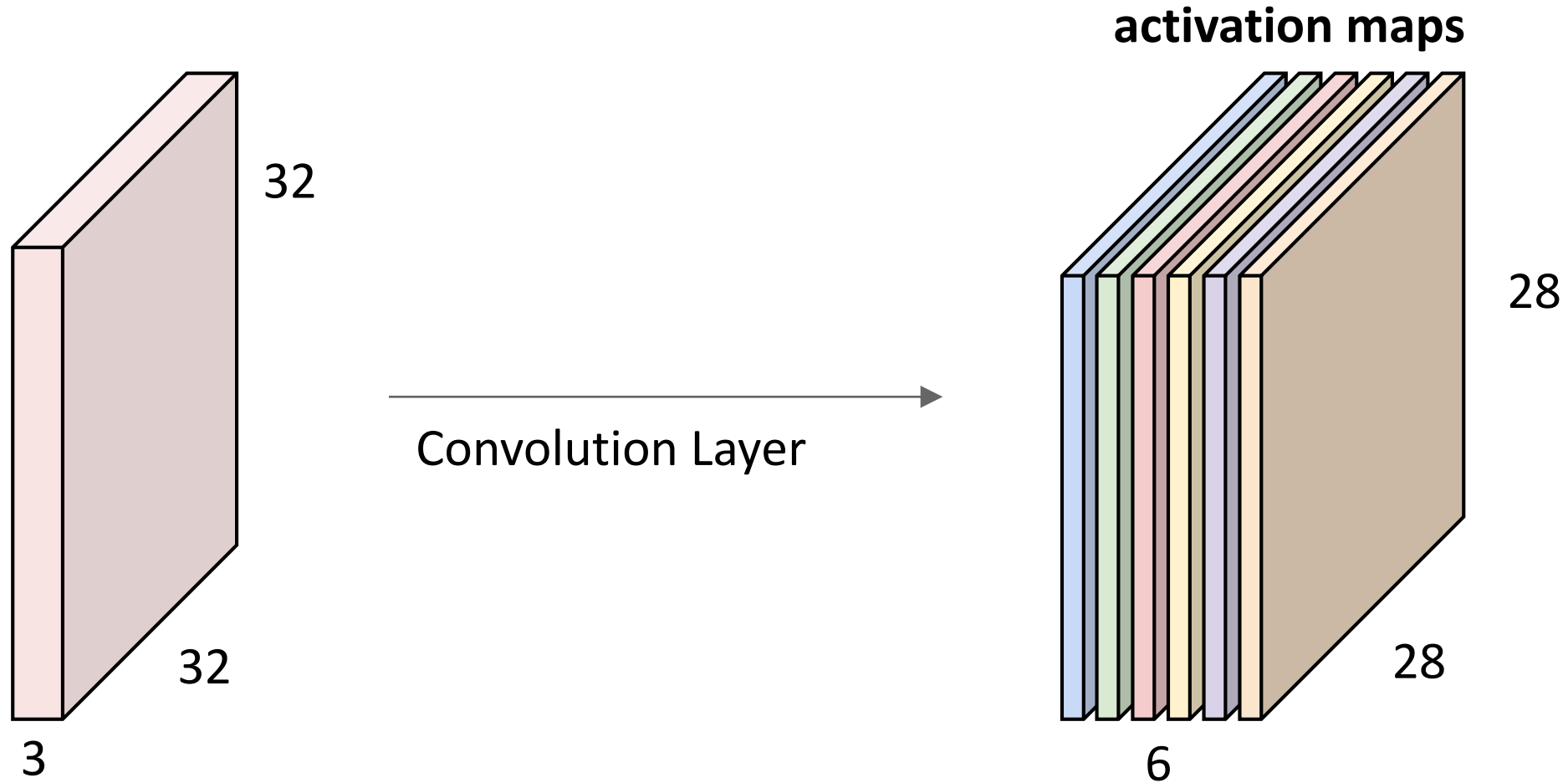


We processed [32x32x3] volume into [28x28x6] volume.

Q: how many parameters are used instead? --- And how many multiplies?

A:  $(5*5*3)*6 = 450$  parameters

For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



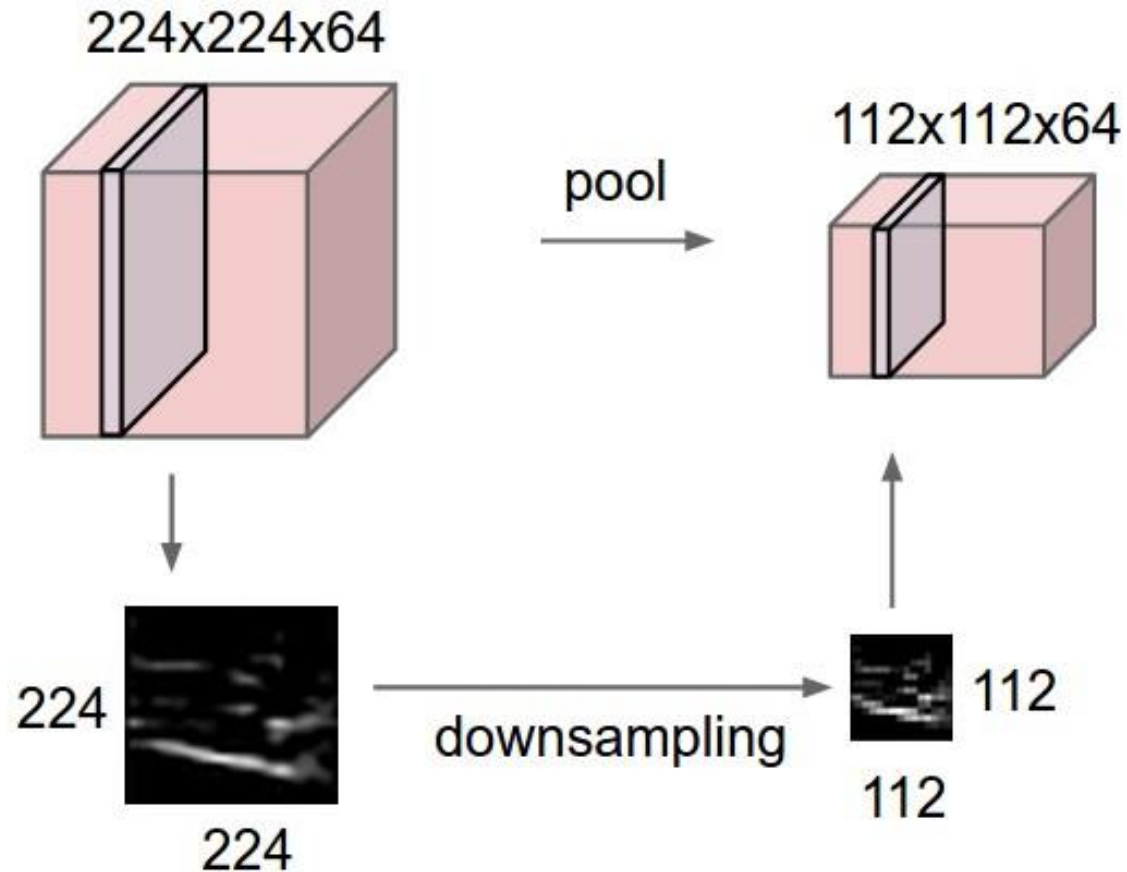
We processed [32x32x3] volume into [28x28x6] volume.

**Q: how many parameters are used instead?**

**A:  $(5*5*3)*6 = 450$  parameters,  $(5*5*3)*(28*28*6) = \sim 350K$  multiplies**

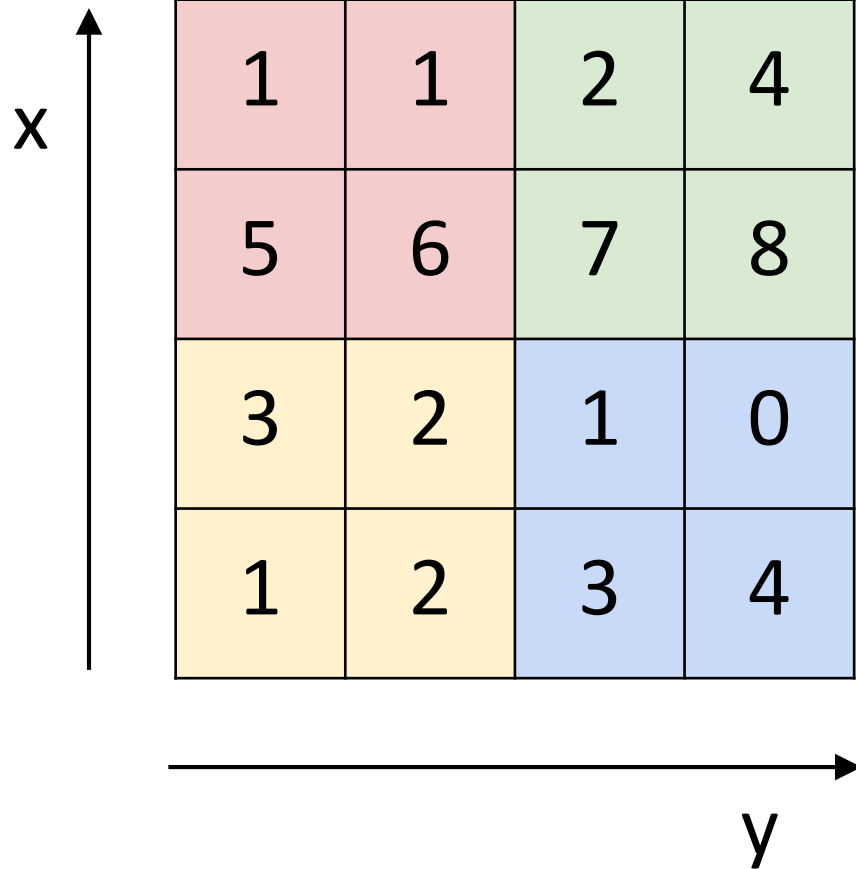
# Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently:

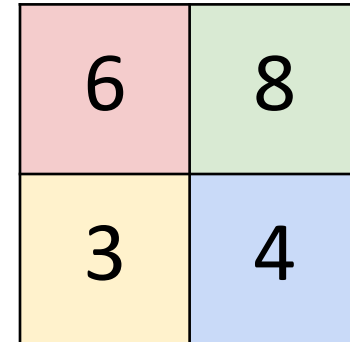


# MAX POOLING

Single depth slice



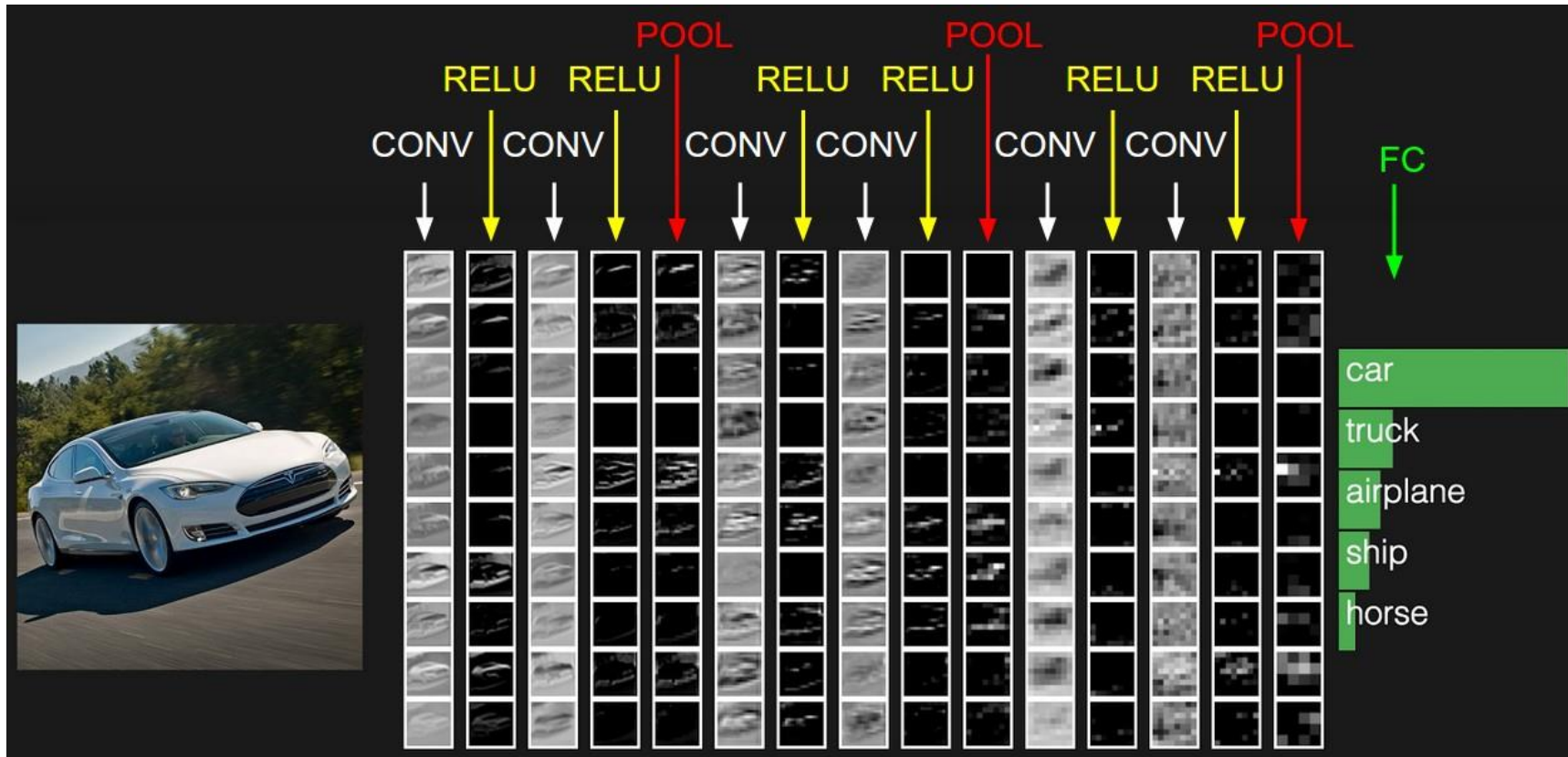
max pool with 2x2 filters  
and stride 2





# Fully Connected Layer (FC layer)

- Contains neurons that connect to the entire input volume, as in ordinary Neural Networks



# ConvNetJS demo: training on CIFAR-10

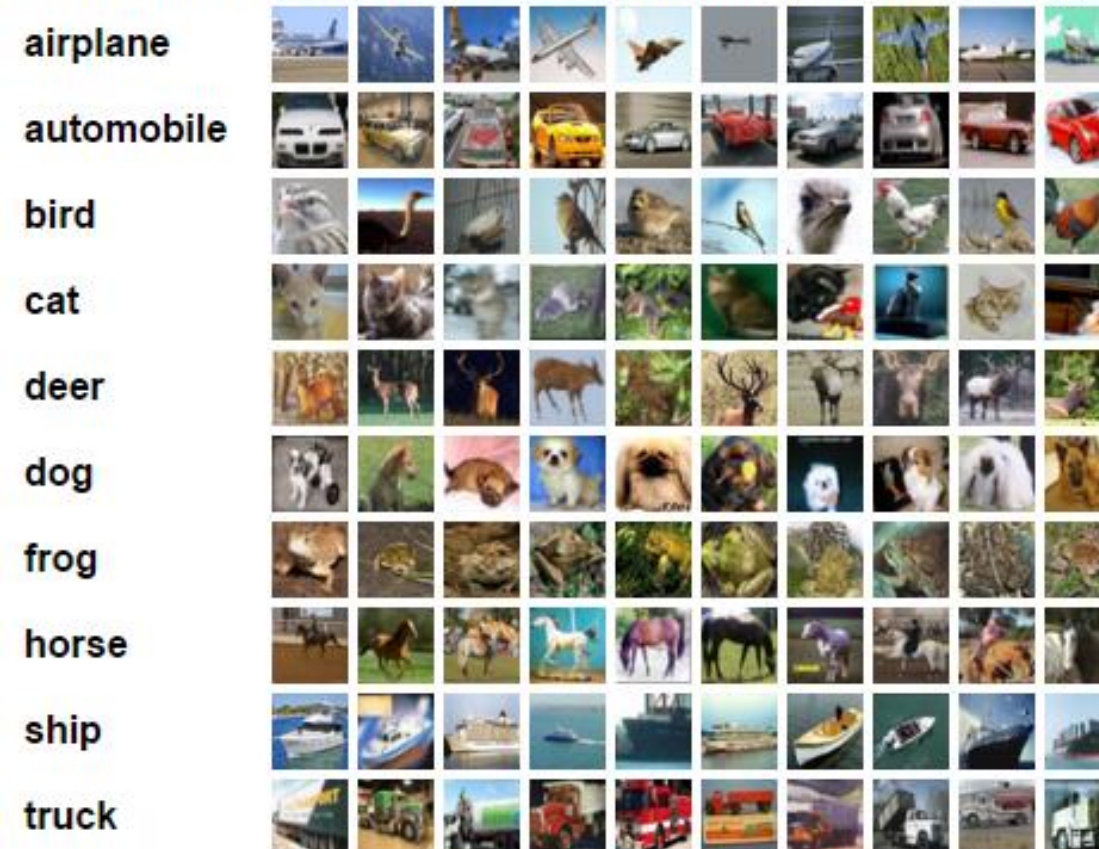
<http://cs.stanford.edu/people/karpathy/convnetjs/demo/cifar10.html>

## The CIFAR-10 dataset

The CIFAR-10 dataset consists of 60000 32x32 colour images in 10 classes, with 6000 images per class. There are 50000 training images and 10000 test images.

The dataset is divided into five training batches and one test batch, each with 10000 images. The test batch contains exactly 1000 randomly-selected images from each class. The training batches contain the remaining images in random order, but some training batches may contain more images from one class than another. Between them, the training batches contain exactly 5000 images from each class.

Here are the classes in the dataset, as well as 10 random images from each:







# CNNs for vision/images

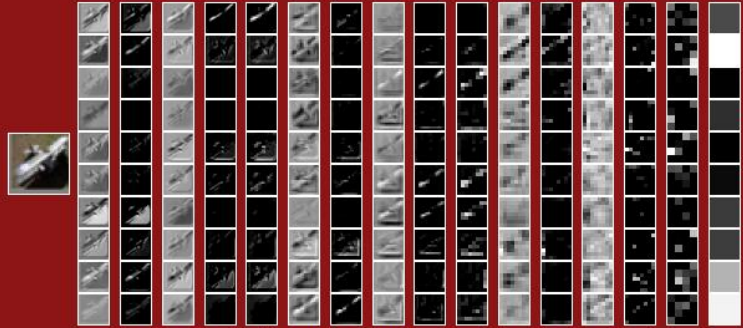
## CS231n

[cs231n.stanford.edu](http://cs231n.stanford.edu)

- Specifically check out lecture 7
- <https://www.youtube.com/watch?v=AQirPKrAyDg>

Read <http://cs231n.github.io/convolutional-networks/>

CS231n: Convolutional Neural Networks for Visual Recognition



car

truck

airplane

ship


cat

\*This network is running live in your browser


### Course Description

Computer Vision has become ubiquitous in our society, with applications in search, image understanding, apps, mapping, medicine, drones, and self-driving cars. Core to many of these applications are visual recognition tasks such as image classification, localization and detection. Recent developments in neural network (aka "deep learning") approaches have greatly advanced the performance of these state-of-the-art visual recognition systems. This course is a deep dive into details of the deep learning architectures with a focus on learning end-to-end models for these tasks, particularly image classification. During the 10-week course, students will learn to implement, train and debug their own neural networks and gain a detailed understanding of cutting-edge research in computer vision. The final assignment will involve training a multi-million parameter convolutional neural network and applying it on the largest image classification dataset (ImageNet). We will focus on teaching how to set up the problem of image recognition, the learning algorithms (e.g. backpropagation), practical engineering tricks for training and fine-tuning the networks and guide the students through hands-on assignments and a final course project. Much of the background and materials of this course will be drawn from the [ImageNet Challenge](#).


### Course Instructors



Fei-Fei Li




Andrej Karpathy




Justin Johnson


### Teaching Assistants




Serena Yeung




Subhasis Das




Song Han




Albert Haque




Bharath Ramsundar




Hieu Pham




Irwan Bello




Namrata Anand



Lane McIntosh



Catherine Dong








Kyle Griswold

[Course Notes](#)[Detailed Syllabus](#)

Additional optional readings

# In Canvas

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