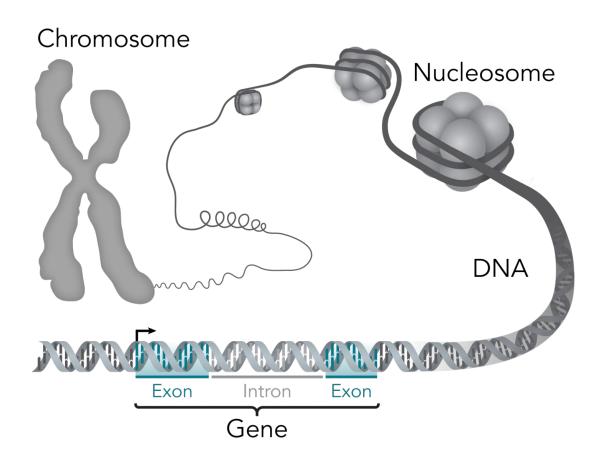
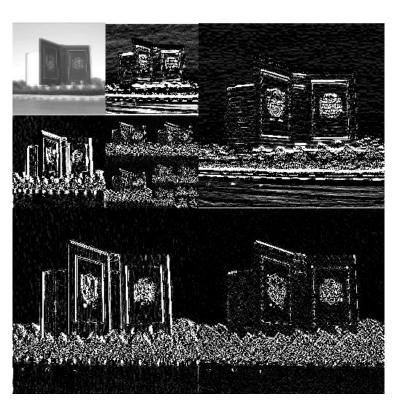
# Learning structure in gene expression data using deep architectures, with an application to gene clustering

Nipun Agarwala, Oliver Bear Don't Walk, David Cohn, Yuki Inoue, Axel Sly

# Gene Expression



#### **Previous Work**



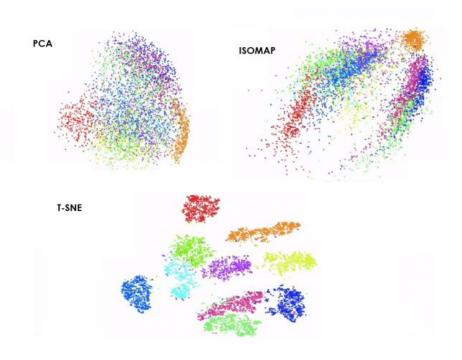
- Wavelet transformations
- Missing Value Imputation
  - Bayesian approach
  - Least Squares approach
- PCA
  - Did not improve cluster quality
- Autoencoder used to featurize breast cancer data

#### Motivation

 Objective: Learn interesting patterns in the input distribution of gene expression profiles using deep networks with denoising autoencoders

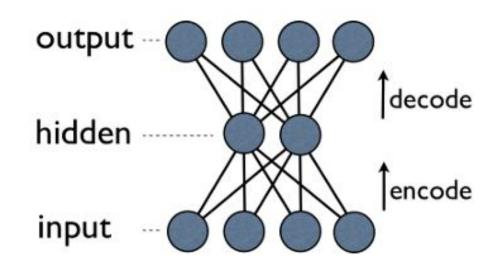


- Learn and generalize
- Clustering

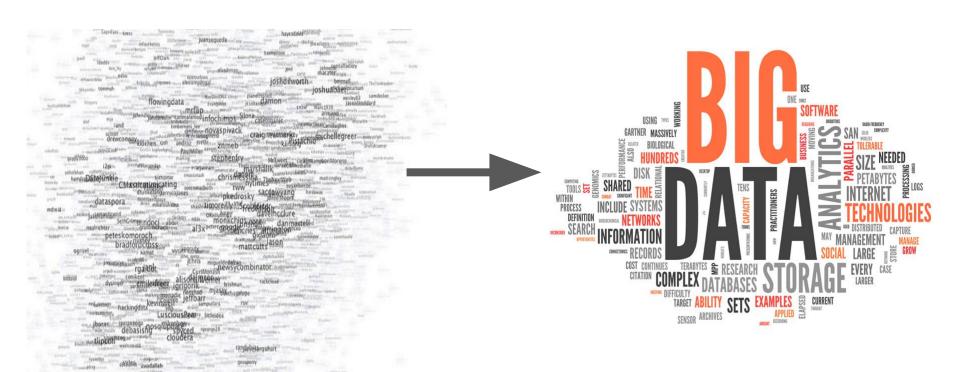


#### What is an Auto-encoder?

- Tries to learn  $h_{W,b}(x) \simeq x$  , so that the output  $\hat{x}$  is close to x
- Typically, learn lower
   dimension representation of
   features i.e. hidden layers have
   lower dimension than input
- In some cases, hidden layers
   can have higher dimension, with
   an additional sparse
   (regularization) constraint, like
   KL divergence

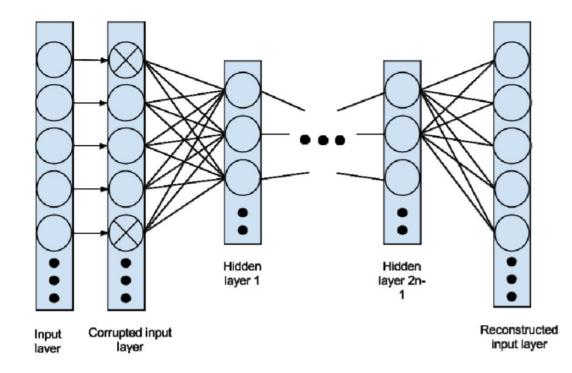


# Why Autoencoders?



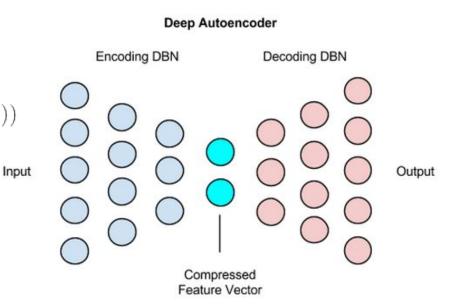
#### Additions to Vanilla Autoencoder

- Two additional changes are made to the vanilla autoencoders.
  - Stacking Autoencoders
  - Denoising Autoencoders



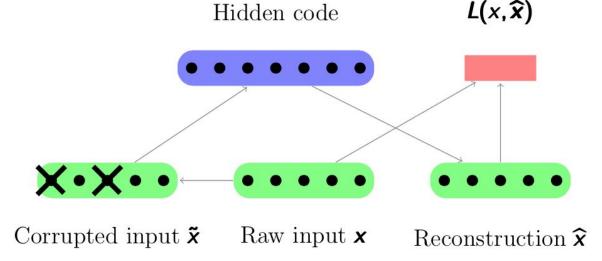
### Deep Autoencoders

- Sigmoid layers present in between each layer, for non-linearities
- Transformation matrix =  $f_1(W_1 * f_2(W_2...))$



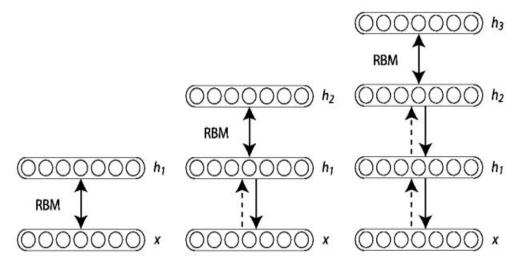
## Denoising Autoencoders

- To avoid learning an identity mapping, two methods are usually used:
  - Lower dimensionality for the hidden layer
  - Train with corrupt input
- 2 Types of noise used:
  - Gaussian
  - Masking



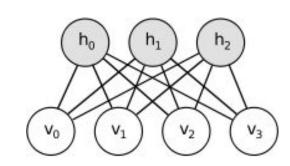
## What were the improvements made?

- The authors decided to **stack multiple hidden layers** for the autoencoders
- The **training was done greedily**, training 1 layer at a time and minimizing the reconstruction error each time.
- By adding noise and stacking the layers, the encoders are able to generalize properties and learn interesting features.
- Similar to how Deep Belief
  Network is trained



# Restricted Boltzmann Machine (RBM)

- Has a visible layer and a hidden layer, neither of which are connected to nodes from its own layer
- RBM is a generative model, tries to capture the probability distribution of the sample
- The visible layer emulates the samples
- As a result, the hidden layer learns interesting features of the samples
- The training is done to minimize the "energy" equation.

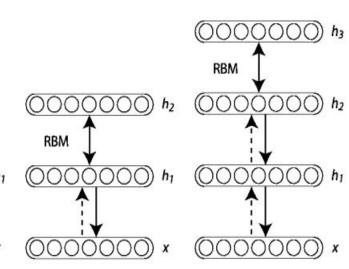


$$E = - (\sum_{i < j} w_{ij} \ s_i \ s_j + \sum_i heta_i \ s_i)$$

## Deep Belief Network

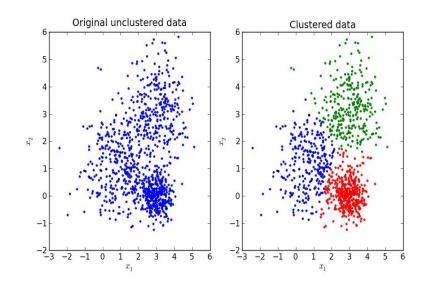
**RBM** 

- Another method to preprocess the data
- Created using stacking multiple layers of RBM
- Visible layers are taken as the inputs, and the Hidden layers are taken as the outputs to the next layer.
- Training is done layer by layer, and a global-parameter turning at the end
- Each layer of RBM learns interesting features of the first visible layer



# Unsupervised Clustering: K-means

- Cluster the lower-dimensional points so that similar points are together.
   Centroids can be treated as "representative" points.
- Assign random (k < n) points as centroids. Assign clusters based on the closest centroid and then update centroid as mean of the vectors in that cluster.
- Helps find non-readily apparent patterns

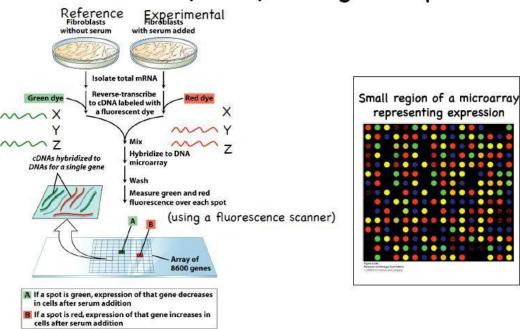


## Yeast Cell Cycle Datasets

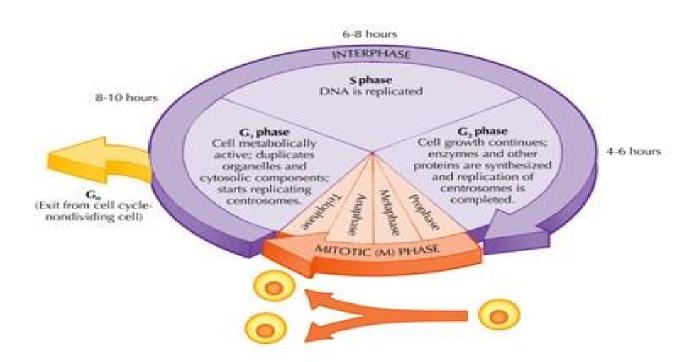
- Gupta et al. evaluated their autoencoder-based methodology on two yeast cell cycle data sets (Yeung et al. 2001)
- Two data sets derived from gene expression data for 6000 genes across 17 time points; of 6000 total genes, 380 genes identifiably peak in expression during a single phase of mitotic cell cycle
- Expression data normalized, with mean 0 and variance 1

## **DNA Microarrays**

#### DNA microarray analysis of gene expression



# Yeast Mitotic Cell Cycle



# Hyper-parameter Tuning

- Hidden Layer Size and Corruption Level contributed the most to the variance in results
- Performance of all hyper-parameter combinations considered in selection of parameter values
- Selected parameter values based on "best results" (validation set?)
- Number of hyper-parameters vs. dataset size

Parameters	Values Used
Batch Size	4,8,12
Number of Training Epochs	2000, 5000
Number of Hidden Nodes	Number between 4-17
Corruption Level	0, 0.05, 0.1, 0.15, 0.2
Learning Rate	0.05, 0.1

#### **Evaluation Criteria**

#### **Adjusted Rand Index**

- Quantitative measure of the similarity in composition between two clusters
- The "corrected-for-chance" version of the Rand Index
- Used to assess performance of auto-encoder based clusters, as compared to "gold-standard" cluster labels

Given two clusters X and Y, and a set S of n elements,

$$Adjusted \ Rand \ Index = \frac{{\tiny Rand \ Index \ Score-Expected \ Index \ Score}}{{\tiny Maximum \ Index \ Score-Expected \ Index \ Score}},$$

where

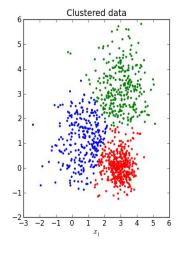
$$Rand\ Index = \frac{\#\ of\ agree.\ in\ pairs\ of\ elements\ between\ clusters}{Total\ Number\ of\ Pairs\ of\ Elements}$$

## Clustering Algorithms and Implementation

#### Clustering

 K-means and spectral clustering





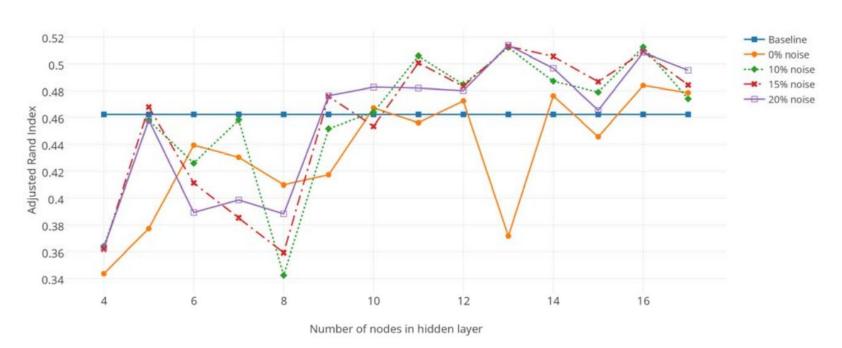
#### **Implementation**

 Single hidden layer used, as opposed to three hidden layers, based largely on computation time, but also superior performance

theano

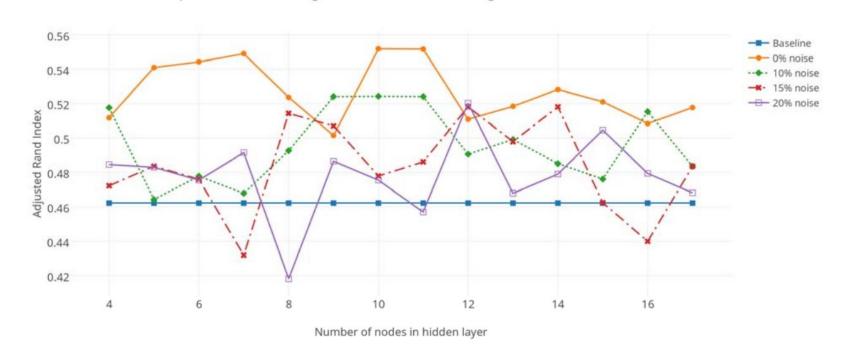
# **Experimental Results**

Comparison for clustering score for raw data and regenerated data: Yeast dataset 1



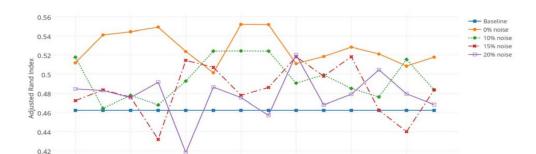
# **Experimental Results**

Comparison for clustering score for raw data and regenerated data: Yeast dataset 2



# Shortcomings

- Will this generalize?
- Clustering
- Weak Evidence



Comparison for clustering score for raw data and regenerated data: Yeast dataset 2



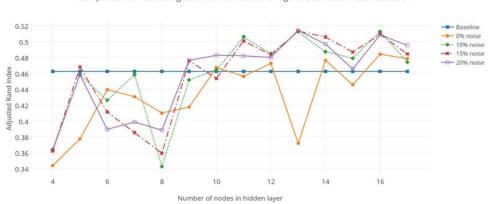
Number of nodes in hidden layer

12

14

16

10



# Questions?