

Challenges for sustainable lithium supply: a critical review

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Abstract

The growing diffusion of green technologies, essential for a low carbon emission economy, has caused an increasingly demand of lithium and its recent inclusion in the list of critical raw material for Europe. Currently, the main resources of lithium include brines and hard rock ores (placed in Chile, Australia, Argentina and China). Nevertheless, the possibility of an integrated supply system, able to include primary and secondary productions (from waste batteries), is becoming more and more interesting to decrease the environmental impacts. In this context, the present review combines a complete overview of lithium supply state-of-art, with an environmental assessment of several scenarios where primary production is integrated with urban mining strategies. The assessment aims at including several market aspects, to obtain results consistent with the evolving European context. Starting from real information, the evaluation proved the possible substitution of about 30% of primary lithium, with a consequent reduction of the environmental impact (>10%). The results represent an important supporting tool for the improvement of lithium recycling value chain. Indeed, several variables characterize the waste batteries management, including the available quantities, the selected recycling process and the relative amount of rechargeable/not rechargeable batteries. These aspects affect the real sustainability of European lithium supply strategy: centralized system vs a decentralized approach with many facilities on the territory. The combination of the carbon footprint

27 assessment and the Monte Carlo methodology suggested the lowest impact of decentralized facilities
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28 for the greatest waste quantities, especially with high contribution of rechargeable batteries. The
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29 holistic view of the present review, able to include both the recycling and the strategic choices in the
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30 recycling planning, fit perfectly with the circular economy principles to meet the challenge of a
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31 sustainable and clean lithium supply system.
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33 **Keywords**

16 Lithium supply, circular economy, batteries, carbon footprint, sustainability
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36 **Declarations of interest: none**

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53 **1. Introduction**

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2 The concept of “critical material” was first introduced by a United States (US) federal law in 1939
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5 (Council, 1939) to identify materials, which play an important role for military, industrial and
6 essential civilian needs, and which were not found or produced in the US in the enough quantities to
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8 satisfy such needs. Since then, a wide variety of definitions of “criticality” of a material has been
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10 reported: “the combination of high economic importance with a comparatively high risk of supply
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12 disruptions” (Buijs et al., 2012), “the quality, state or degree of being of highest importance, of
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14 particular interest in the case of metals” (Graedel and Nuss, 2014), “the extent of current and future
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16 risks associated with a certain material” (Gleich et al., 2013). According to Frenzel et al. (Frenzel et
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18 al., 2017), the imprecise definition for raw material criticality is mainly associated to the variability
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20 in definitions and assessment methodologies. However, the authors also concluded that most of
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22 studied are based on 1) the importance of the raw material and the consequent impact of supply
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24 shortfalls and 2) the supply risk, i.e. the probability for the occurrence of such disruptions (Frenzel et
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26 al., 2017).

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35 The European Commission launched the European raw material initiative in 2008 with the aim to
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37 favour the raw material market of the European Union (EU), decreasing the primary raw material
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39 depletion and promoting the recycling strategy (European Commission, 2008). The identification of
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41 critical raw materials (CRM), relevant for the EU, economy, was established as a priority action of
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43 the initiative of 2008. As a result, in 2011, after the assessment of 41 non-energy, non-agricultural
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45 raw materials, the first CRMs list was published identifying 14 CRMs (European Commission, 2011),
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47 based on the comparison of two main parameters: the Economic Importance (in terms of end-use
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49 applications and the value added of corresponding EU manufacturing sectors), and the Supply Risk
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52 (based on the concentration of primary supply from raw materials producing countries, considering
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54 their governance performance and trade data (Blengini et al., 2017; Petranikova et al., 2020; Tkaczyk
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56 et al., 2018)). A regular updating of the CRMs list is essential due to market and technological
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78 developments and new information on the environmental impact of a material, the EC published the
1 second list of 20 CRMs in 2014 (European Commission, 2014a). The third list of 26 CRMs in 2017
2 (European Commission, 2017a), was assessed by an improved methodology able to use data from
3 over the last 5 years and to introduce a data resource priority for the identification of the production
4 stages with the highest supply risk for the EU (i.e. extraction or processing) (European Commission,
5 2017b). Among the selected materials, lithium was considered a borderline to carefully evaluate. As
6 outlined in Figure 1, lithium exceeded the threshold for economic importance, but the supply risk was
7 considered non-critical according to the CRMs list of 2011 and 2014. According to European
8 Commission (European Commission, 2014b), lithium would have been considered critical using the
9 Environmental Performance Index (EPI) to evaluate the supply risk instead of the poor governance
10 indicator. The evolution of the economic importance cannot be evaluated since the criticality
11 threshold value was moved from 5 to 2.8, as a result of the implementation of the aforementioned
12 revised methodology (European Commission, 2017c). The most recent list of 2020 has finally
13 included lithium among the CRM, since the production of vehicle batteries and the necessity of
14 energy storage will increase the lithium demand up to 18 times in 2030 and 60 times in 2050,
15 compared to the current European supply (European Commission, 2020a). The geographical
16 distribution of lithium resources, mainly located in South America, entails a high import European
17 dependence of this materials, which is also an important aspect in the evaluation of risk supply
18 (Oliveira et al., 2015). Hence, selective lithium recovery from all possible resources should be
19 addressed to close the loop for a circular economy. Considering that the current lithium recovered is
20 insignificant, great efforts should be made to avoid criticality (Sun et al., 2019).

51 **2. Aims and scopes of the review**

52 As confirmed by the more recent policies, lithium is essential for the transition towards a low carbon
53 economy (European Commission, 2020a, 2020b, 2019a). Considering the strategic interest for this
54 element, many reviews are present in the scientific literature, focusing on specific aspects, including

103 the best strategies for a cleaner production (intended as reduction of impacts, waste flows, energy
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104 demand and raw material consumption). In this regard, Stamp et al. (2012) proposed a critical review
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105 about lithium carbonate supply that compares three possibilities of metal supply from primary
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106 resources, neglecting the recycling aspects (Stamp et al., 2012). On the other hand, social and political
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107 aspects of primary lithium production were discussed by Hancock et al. (2018). Authors described
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108 the Bolivia case study and how the challenge of the clean lithium production has been translated into
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109 unusual governance arrangements between state enterprises and foreign-owned private corporations
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110 (Hancock et al., 2018). Many specific aspects about lithium were discussed in the recent literature,
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111 including chemistry, primary production and deposits (Choubey et al., 2016; Li et al., 2019; Olsher
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112 et al., 1991; Tadesse et al., 2019), use, recovery (Meshram et al., 2014; Swain, 2017; Talens Peiró et
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113 al., 2013) and demand forecast (Gil-Alana and Monge, 2019; Mohr et al., 2012; Tarascon, 2010;
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114 Vikström et al., 2013). Further reviews focus on the possible toxicity effects on both human and
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115 environmental health (Aral and Vecchio-Sadus, 2008; Livingstone and Rampus, 2006; McKnight et
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116 al., 2012; Oruch et al., 2014; Young, 2009). Nevertheless, a critical assessment of the sustainability
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117 of an integrated supply system, able to combine primary and secondary resources, still lacks.
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118 Considering their adoption in an extensive range of applications, the most discussed topic in the recent
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119 literature is the lithium in batteries, both in production and recovery terms. Indeed, the lithium
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120 batteries are considered one of the main success of the modern electrochemistry and research focuses
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121 on the possible improvements for their manufacturing, considering safety, environmental and
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122 energetic aspects (Kavanagh et al., 2018; Scrosati, 2011, 2000; Scrosati and Garche, 2010; Wang et
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123 al., 2015). In this regard, the life cycle assessment (LCA) tool was used to compare the environmental
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124 burden due to battery life, comparing different technologies and performances (Oliveira et al., 2015).
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125 The battery exploitation for lithium recovery was proposed by several authors, which presented an
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126 overview of the existing treatments (from pre-treatment to metal recovery) (Liu et al., 2019; Lv et al.,
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127 2018; Ordoñez et al., 2016; Xu et al., 2008; Zeng et al., 2014) or deepened specific aspects, like
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128 environmental friendly recycling treatments (Golmohammadzadeh et al., 2018) and commercial

129 processes (mainly hydrometallurgical, pyrometallurgical, mechanical treatments) (Georgi-Maschler
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130 et al., 2012; Pinegar and Smith, 2019). A battery overview was proposed by both Richa et al. 2017
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131 and Meshram et al. (2020), which took into account different steps of circular economy hierarchy,
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132 including production, use, collection, reuse, recycling, incineration, landfilling and transport
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133 (Meshram et al., 2020; Richa et al., 2017). Nevertheless, in the first case a sustainability assessment
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134 was not included to support the main observations (Richa et al., 2017).
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136 The common conclusion of the current literature is that we are living the lithium revolution and there
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137 is an evident metal need for an effective European green economy transaction (Ciez and Whitacre,
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138 2019). Nevertheless, this target can be achieved by the identification of the most sustainable and the
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139 cleanest strategy able to implement a holistic view, which includes all the aspects connected to lithium
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140 supply and recycling, in agreement with the “New circular economy action plan for a cleaner and
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141 more competitive Europe” (European Commission, 2020c).
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30 In this context the present review aims at supplying a complete overview about lithium covering all
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32 the aspects of its life: from the supply to the recovery from waste, following a circular economy
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34 schema. The hypothesis of complex scenarios, able to combine the traditional primary production
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36 with the available recovery options was assessed by the LCA tool. The creation of many scenarios
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38 able to include several variables aims to supply a model for the sustainability assessment, suitable for
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40 the European context, to meet the challenge of the sustainable lithium production.
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43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 3. Lithium use

50 The properties of lithium and its compounds, clearly different from the other alkali metals, explain
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52 its high versatility and low substitutability. Lithium presents the highest sublimation energy,
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54 electronegativity and ionization energy and the smallest ionic radius for the alkali groups which
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56 entails a high charge density for lithium ions (Hart and Beumel, 1970). Some of the most relevant
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58 applications of lithium metal are as a chemical intermediate in many reactions, as a polymerization
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60 catalyst, in high strength glass and glass ceramics, as allowing agent and in batteries. Lithium is
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154 extracted from primary resources as lithium carbonate (Li_2CO_3) which has been widely used to lower
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155 boiling point and increase the resistance to thermal expansion in glass and ceramic applications, as a
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156 pharmaceutical (Talens Peiró et al., 2013) and as cathode material for Lithium Ion batteries (LIBs)
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157 (Scrosati, 2011). Furthermore, lithium carbonate is applied industrially as a starting reagent for the
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158 production of lithium salts, such as lithium chloride (LiCl), used in air-conditioning industry and
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159 lithium hydroxide (LiOH), used in the preparation of lithium-based greases and as an effective carbon
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160 dioxide absorbent (Hart and Beumel, 1970). Furthermore, the recent literature reports the use of LiOH
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161 as innovative catalyst for biodiesel production, thanks to its availability in battery waste (Brito et al.,
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162 2020).
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263 According to the U.S. Geological Survey and several thematic sites (Table 1), batteries and ceramic
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264 and glass are the most relevant end-use market for lithium (Mining.com, 2020; Statista, 2020; USGS,
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265 2019). From the evolution of percentage shares in the most relevant applications (Figure 2), it can be
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266 concluded that the lithium use in batteries is increasing among all the other sectors in recent years.
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3267 Regarding the use of lithium to produce high energy density batteries, studies started in the 1950s as
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3268 a consequence of promising results concerning properties of this metal (Brandt, 1994). The
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3269 production of not rechargeable lithium batteries (also called primary batteries) was launched in the
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3270 late 1960s with applications in military and industrial systems. Non-rechargeable lithium batteries
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3271 use lithium metal as anode and different materials, such as manganese dioxide, iron disulphide,
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3272 sulphur dioxide and carbon monofluoride, for the cathode. Lithium/manganese dioxide cell is
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3273 currently the most commercialized not-rechargeable lithium battery used in memory backup,
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3274 cameras, consumer devices and military applications (Linden and Reddy, 2002). The practical
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3275 application of lithium in rechargeable batteries was introduced by the Japanese Sony manufacturer in
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3276 1991, mainly triggered by power necessity in military field (Scrosati, 2011). The current growth of LIB
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3277 production is due to its increasing demand in transport, connectivity and stationary applications, such
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3278 as energy storage systems (Lebedeva et al., 2016). European Commission (European Commission,
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179 2019b, 2019c) reports that the demand for LIBs is expected to increase, in terms of capacity, to a
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180 maximum of 660 GWh by 2023, 1100 GWh by 2028 and 4000 GWh by 2040 which means an
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181 increase of about 50 times respect to the current consumption.
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183 Based on the specific product, a battery may include from one “battery” cell (e.g., smart phones) to
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184 more than 1000 cells (e.g., computers, power tools, electric vehicles) (Huo et al., 2017). It should be
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185 noted that lithium content in rechargeable batteries is higher than in some primary resources.
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186 Furthermore, Sonoc et al. (Sonoc et al., 2015) predicted that the supply of lithium could be only
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187 ensured through high LIB recycling rates with a minimum lithium recovery of 90%.
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189 The most typical structure of a LIB consists of a couple of electrodes, an electrolyte separator
200 contained in a stainless steel shell or in a pouch case. The bulk composition of LIBs depends mainly
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201 on the type of battery chemistry and the manufacturer. The average composition is about 50% of base
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202 metal, 29% of graphite, 7% of binder and plastics, 4% electrolyte and 10 % other components (Wang
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203 et al., 2014a). The anode is usually made up of graphite supported on a copper foil collector by
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204 polyvinylidene fluoride (PVDF) binder. The carbon structure allows the intercalation of lithium ion
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205 between graphene planes during the charging process offering attractive properties such as good
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206 mechanical stability, electrical conductivity and lithium transport (Nitta et al., 2015). The amount of
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207 lithium that can be stored per mass of anodic material is directly associated with the energy storage
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208 density which is around 372 milliamp hours per gram (mAhg^{-1}) in the case of graphite anodes (Wang
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209 et al., 1998). The relatively low volumetric capacity of commercial graphite electrodes has promoted
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210 research to explore alternative anode materials. Some of the most promising materials to replace
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211 common carbon based materials as negative electrode are lithium metal alloys (Scrosati and Garche,
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212 2010). Titanium oxides, such as titanium oxide (TiO_2) and lithium titanium oxide ($\text{Li}_4\text{Ti}_5\text{O}_{12}$), are
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213 also gaining relevance as attractive anode alternative (Chen et al., 2012). The replacement of graphite
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214 anode with the aforementioned materials with a high value would involve a clear increase of concern
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215 about the recovery of raw materials from spent LIBs.
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204 The cathode consists of active material supported on both sides of an aluminium foil acting as current
1 collector (Goodenough and Park, 2013). Cathode composition is about 88% of valuable metal oxides
2 containing lithium, 8% of conductive agent and 4% of PVDF binder (An, 2019). Regarding active
3 material, lithium cobalt oxide (LCO), disclosed by Goodenough in 1980 (Mizushima et al., 1980), is
4 still used in the majority of commercial LIBs due to its properties: relatively high theoretical specific
5 capacity, high theoretical volumetric capacity, low self-discharge, high discharge voltage and good
6 cycling performance. The average composition for LiCoO₂ cathode LIBs from seven manufacturers
7 is presented in Figure 3 (Wang et al., 2014b). Other cathode materials have been explored to
8 overcome some of the limitations of LCO cathodes, including low thermal stability, fast capacity loss
9 at high current rates or during deep cycling and high cost due to cobalt. Most cathode material
10 research is mainly focused on transition metal oxide and polyanion compounds, such as lithium
11 manganese oxide (LMO), lithium nickel cobalt manganese oxide (NCM), lithium nickel cobalt
12 aluminium oxide (NCA), lithium cobalt phosphate (LCP) and lithium iron phosphate (LFP). The role
13 of lithium as guest ions in these intercalation compounds highlights the relevance of this metal in the
14 technology. The electrolyte usually consists of a lithium salt solution (e.g. LiPF₆, LiClO₄, LiBF₄)
15 dissolved in a mixture of organic solvent (e.g. ethylene carbonate-dimethyl carbonate, EC-DMC)
16 (Nitta et al., 2015).

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18 The study of lithium uses represents the starting point for the identification of the most promising
19 secondary resources (mainly batteries on the quantity basis, Table 1) and the development of effective
20 recovery strategies.

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22 Table 1: The global lithium market, the most relevant applications (Mining.com, 2020; Statista,
23 2020; USGS, 2019)

Lithium use	Lithium demand (tonnes/year)
Rechargeable batteries	42200
Not rechargeable batteries	1500
Ceramics	7800
Glass-ceramics	7000
Greases	7000
Polymer	3100

1	Metallurgical powder	2300
2	Glass	2300
3	Air treatment	1500
4	Other	5500

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4. Lithium primary production

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4.1 Mining

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As highlighted by Gil-Alana & Monge (Gil-Alana and Monge, 2019), when discussing about the lithium supply, the distinction between reserves and production is essential. Many estimations of lithium deposits are reported in the literature, identifying the main reserves in Chile, Australia, Argentina and China (Gil-Alana and Monge, 2019; USGS, 2019) (Figure 4a). In this regard, the literature identified two main economic resources of lithium: brines and hard rock ores (Tadesse et al., 2019). The first kind of deposits includes saline water with high content of dissolved salts. They could be identified in the rock pores, where water from lakes or sea water has undergone extreme evaporation. Lacustrine and playas environments are the most common for lithium deposits formation since the brine fills the pores among grains (Gruber et al., 2011). The presence of metal within brines is due to both the rock erosion and the hot springs that feed water in these areas (Gruber et al., 2011; Munk et al., 2011). The brines is extracted from the aquifers and pumped into evaporation ponds for the concentration at controlled conditions, able to eliminate some contained compounds, mainly magnesium and sulphate (Gruber et al., 2011; Kushnir and Sandén, 2012). The process needs low electricity consumption since the initial step uses solar evaporation. Overall, lithium is present with variable concentrations which reach the 0.14% in the Salar Atacama, a salt flat in Chile, where the metal is produced in carbonate form (Gruber et al., 2011). Further brine resources include oil field and geothermal brines (Kushnir and Sandén, 2012). The ore deposits is the alternative for the lithium supply, with the highest impact due to the extraction process (Kushnir and Sandén, 2012). Literature reports 131 minerals of lithium, nevertheless, only six silicate and phosphate based minerals are identified with an economic impact (Choubey et al., 2016; Li et al., 2019; Tadesse et al., 2019;

249 Webmineral.com, 2020). In this regard, lithium is mainly present in aluminium silicate deposits,
 1 known as pegmatites (Bale and May, 1989; Tadesse et al., 2019). Pegmatite ores contain mineral such
 250 3 as spodumene ($\text{LiAl}[\text{SiO}_3]_2$) (the most important for the market), petalite ($\text{LiAlSi}_4\text{O}_{10}$), lepidolite
 4 6 ($\text{KLiAl}_2\text{Si}_3\text{O}_{10}(\text{OH},\text{F})_3$) and amblygonite ($\text{LiAl}[\text{PO}_4][\text{F},\text{OH}]$). Furthermore, Tadesse et al. (2019)
 251 8 report zinnwaldite ($\text{K}[\text{Li},\text{Al},\text{Fe}]_3[\text{Al},\text{Si}]_4\text{O}_{10}[\text{F},\text{OH}]_2$) and eucryptite (LiAlSiO_4). Table 2 summarizes
 252 10 1253 11 the main deposits worldwide.
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1255 Table 2. Estimated deposits worldwide (Adapted from (Tadesse et al., 2019; Vikström et al., 2013))

17 Country	18 Minerals	19 Estimated quantities (Mtons)
20 Afghanistan	Spodumene	n.a.
21 Australia	Spodumene	0.79
22 Austria	Spodumene	0.10
23 Brazil	Spodumene, Petalite	0.92
24 Canada	Spodumene	2.41
25 China	Spodumene, Petalite, Lepidolite	2.40
26 Congo	Spodumene	3.80
27 Finland	Spodumene	0.68
28 Mali	Amblygonite	0.03
29 Portugal	Petalite	0.01
30 Namibia	Petalite	0.15
31 Russia	Spodumene, lepidolite	3.69
32 Serbia	Jadarite	1.00
33 USA	Spodumene	13.8
34 Zimbabwe	Spodumene, Petalite	0.73

556 56 After mining, a combination of treatments for lithium beneficiation is necessary. Generally, it
 57 58 includes gravity separation, magnetic separation and froth flotation, with the final production of
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259 lithium concentrate (Tadesse et al., 2019). Currently, the lithium minerals, mainly pegmatite and
1 spodumene, represent only the 25% of the whole reserves. On the other hand, brines show the highest
2 availability, around 65%, combined with the cheapest exploitation (Swain, 2017). Nevertheless, this
3 resource shows an important weak point due to the long evaporation time (between 1 and 2 years)
4 necessary to achieve the final product (Tadesse et al., 2019). This limit makes the brines not adaptable
5 to the market changes and highlights the necessity of an integrated supply system able to include not
6 only primary resources.
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17 **4.2 The primary lithium market and the criticality of worldwide transportation**

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19 An interesting date concerning the production decrease is reported in Figure 4b. The reduction of
20 about 20% from 2018 to 2019 is mainly due to China which has scaled back the subsides on the
21 electric vehicles (USGS, 2019). The response of excess of lithium production, also due to the
22 considerable increase of the Australian mining from spodumene pegmatite deposit, has been
23 translated into a carbonate price drop in the last two years (Figure 5) (Fastmarkets, 2020; Metalary,
24
25 2020; Tadesse et al., 2019; USGS, 2019). The relevant effect of the decrease of China demand on
26 lithium carbonate price could be better explained by Figure 6a, which includes the country among the
27 three main importers (22% of the whole economic imported value, pairs to approximately 360 Million
28 \$), between Korea (28%) and Japan (20%), in 2018 (TrendEconomy, 2019). In addition, the role of
29 USA and Belgium is relevant for the worldwide lithium economy, as deducible form the related
30 economic flows (Chen et al., 2020; TrendEconomy, 2019). Around 70% of carbonate lithium flow
31 (Figure 6b) comes from Chile with a considerable transportation impact from both an environmental
32 and economic point view (Resource Trade Earth.com, 2020). A possible trip of raw material to
33 Europe includes a preliminary transportation from Atacama's brine to Antofagasta port by rail (Kogel
34 et al., 2006) followed by the loading on a transoceanic ship freight for the route Antofagasta-
35 Rotterdam of 11062 km (Marine traffic, 2020; Oliveira et al., 2015; Searoutes.com, 2020). The ship
36 transport cost depends on both the distance and the taxes of countries (Korinek and Sourdin, 2009).
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284 Overall, the share of the shipping cost affects the raw material cost of about 15-25% (Korinek and
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285 Sourdin, 2009; Rodrigue, 2020).
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286 **5. Lithium secondary production**
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287 **5.1 Batteries as potential lithium secondary resources**
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288 The growing demand of lithium has encouraged the exploration of secondary resources to reduce the
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289 amount of primary metal required. In addition to the rise of metal availability, the recycling aims at
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290 the increase of both the supply sustainability, and the cleaner metal production compared to the
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291 traditional resources. These targets could be reached thanks to a double advantage: the
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292 implementation of low impact processes (with lower emissions, resulting waste and consumptions)
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293 and the waste accessibility all over the word, with the consequent reduction of the transportation. The
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294 recovery of lithium from dissipative applications, including lubricants greases, air treatment and
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295 pharmaceuticals, is not viable due to its gradual release into the environment. Recycling technologies
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296 for glass and ceramics have not been reported in literature due to the difficulty of separating the target
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297 metal to obtain high-quality product (Ziemann et al., 2012). Currently, the main secondary lithium
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298 resource for recycling on a large scale are rechargeable and not rechargeable batteries, the most
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299 important use of lithium. The identification of sustainable recycling strategies could further solve the
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300 issue of waste battery management, currently regulated by the Directive 2006/66/EC (European
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301 Commission, 2006). LIBs are classified in the category of “other batteries” which also includes
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302 alkaline batteries. The significant growing of lithium batteries consumption since 2006 makes needed
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303 to consider its peculiarities in legislation (Lebedeva et al., 2016). The minimum recycling target of
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304 50% stipulated by the EU Batteries Directive does not guarantee the recovery of valuable materials
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305 contained in spent batteries, like lithium. On the other hand, the lack of clear regulation to promote
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306 the collection of waste industrial lithium batteries together with the growing electric vehicles use
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307 corroborates the urge of developing sustainable recycling schemes and establishing clear targets for
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308 lithium recovery. According to Mathieu et al. (Mathieu et al., 2017), the current contribution of
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309 recovered lithium to materials demand is less than 1%. However, there are high expectations that
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310 lithium recovery from spent batteries significantly increases with the improvement of recycling
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311 technologies. Some positive impacts related to recycling and recovery of lithium has been predicted
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312 by European Commission. For example, collection rate of 65%, together with a recycling efficiency
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313 of lithium of 57%, would involve not only an income of € 408 million in 2030 from recovered
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314 materials, such as cobalt, nickel, aluminium and lithium but also the creation of more than 2600 jobs
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315 (Drabik and Rizos, 2018; European Commission, 2019b). Furthermore, the recovery of lithium from
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316 batteries would decrease the demand for raw materials required to produce electric vehicle batteries
13
317 (European Commission, 2019c). Depending on the metal extraction process, recycling technologies
14
318 could be divided into hydrometallurgy, pyrometallurgy, biometallurgy and combined. These
15
319 processes are focused on the transformation of solid metal contained in residues into solution state or
16
320 alloy. Most of current industrial processes devoted to batteries recycling focuses on the recovery of
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321 cobalt and nickel with the exception of TOXCO and Recupyl, hydrometallurgy-dominant processes,
18
322 and Accurec GmbH, a pyrometallurgical method, which also pursues lithium recovery (Liu et al.,
19
323 2019). These processes are briefly described in the following sections.

324 **5.2 Pre-treatment processes for recycling lithium batteries**

325 Previous to processing of spent lithium batteries, full discharging is required to prevent explosions,
326 gas emission and fires as a consequence of short-circuiting and self-ignition during the dismantling
327 step (Table 3) (Li et al., 2010a; Sun and Qiu, 2011). To discharge batteries, the most common
328 discharging methods used are chemical discharging (Wang et al., 2018) and cryogenic freezing
329 (Contestabile et al., 1999; Dorella and Mansur, 2007). Chemical methods are based on the soaking of
330 spent batteries in conductor solution. Although the most common salts used are NaCl and Na₂SO₄
331 (Chen et al., 2017; Pinna et al., 2017), other reagents have been analysed not only to improve the
332 discharging efficiency but also to avoid corrosion, such as electric iron powder (Nan et al., 2005), K⁺,
333 NH₄⁺ (Shaw-Stewart et al., 2019), MnSO₄, FeSO₄, Cu or graphite (Yao et al., 2020). During chemical

discharging, losses of lithium contained in the electrolyte of LIBs has been reported which could be avoided using physical discharging and cryogenic freezing. Although high recycling cost has restricted the recovery of lithium contained in electrolyte up to now (Liu et al., 2019), different approaches to deal with this aspect has been reported in literature. Grützke et al. (Grützke et al., 2014) proposed supercritical carbon dioxide extraction of electrolytes as a promising method to be implemented in batteries recycling. In the same way, Liu et al. (Liu et al., 2017) developed a method based on the use of supercritical carbon dioxide concluding that reused electrolyte presented electrochemical performance very similar to the commercial electrolyte.

Preliminary treatments are usually applied to improve the recycling efficiency of valuable raw materials. Mechanical pre-treatment, including crushing, shredding, sieving and air and magnetic separation, combined with manual dismantling, are applied to obtain different fractions: plastic, paper, ferrous and non-ferrous metals and electrodic powder (Georgi-Maschler et al., 2012; Hu et al., 2017; Li et al., 2012, 2010a; Zeng et al., 2014). Mechanical separations are often based on the changes of material physical properties (e.g. density, magnetic behavior and conductivity (Golmohammadzadeh et al., 2018; Xu et al., 2008). Thermal processes (150-500°C) are commonly used to remove impurities applying high temperatures to decompose organic binders contained in cathodes; solvent and electrolytes solvent are evaporated and combusted (Diekmann et al., 2017; Georgi-Maschler et al., 2012; Zeng et al., 2014). Alternatively, organic compounds are employed to dissolve the binder in the spent batteries to make more efficient the separation of cathode active material and subsequently, the recovery of copper and aluminium (Li et al., 2011). The combination of mechanical treatments and reagents, i.e. mechanochemical treatments, to improve separation processes is a promising alternative pre-treatment method. The induction of physical and chemical changes of active materials through mechanical energy allows working at room temperature and atmospheric pressure with the subsequent reduction of costs (Wang et al., 2017).

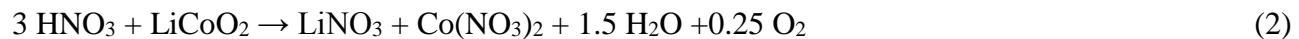
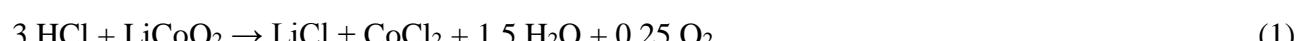
Table 3: State-of-the-art of pre-treatment process for recycling lithium batteries

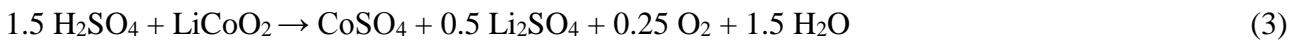
References	Pre-treatment method	Operative conditions
Contestabile et al. 1999	Cryogenic freezing + mechanical treatment	Liquid nitrogen
Nan et al. 2005	Mechanochemical treatment	Washing with water and electric iron powder for 30 min.
Dorella and Mansur 2007	Manual + cryogenic freezing	Liquid nitrogen
Li et al. 2010a	Manual + cryogenic freezing + thermal treatment	Liquid nitrogen for 4 min, followed by washing with N-methylpyrrolidone (NMP) at 100°C for 1 h and a calcination at 700°C for 5 h
Li et al. 2011	Chemical + thermal treatment	Ultrasonic washing with NMP followed by a thermal treatment at 800°C for 2 h
Georgi-Maschler et al. 2012	Manual + thermal treatment	Pyrolysis at 250°C
Li et al. 2012	Manual + thermal treatment	Ultrasonic washing with NMP solution for 20 min followed by roasting at 450°C for 1 h
Grützke et al. 2014	Electrical + thermal treatment	Constant current of 2.25 A followed by thermal treatment with an electrolytic solution in autoclave
Chen et al. 2017	Manual + thermal/mechanical treatment	Washing with an electrolytic solution (Na_2SO_4 10% w/v) for 24 h, afterwards, thermal treatment at 90°C for 2 h with a NMP solution
Diekmann et al. 2017	Manual + mechanical treatment	Crushing within a nitrogen atmosphere with a flow of 4 l/min
Hu et al. 2017	Chemical + thermal treatment	Washing with a 1.5 M NaOH solution for 3 h followed by a thermal treatment at 650°C for 3 h
Liu et al. 2017	Mechanical treatment	Mechanical dismantling into an extraction vessel in an argon-filled glovebox with moisture and oxygen level lower than 1%
Pinna et al. 2017	Chemical + thermal treatment	Washing with a saturated solution of NaCl followed by a thermal treatment at 300°C
Wang et al. 2017	Mechanochemical treatment	Washing with a 5% (w/v) NaCl solution followed by a washing with a NMP solution
Wang et al. 2018	Mechanochemical treatment	Washing with a 5% (w/v) NaCl solution for 24 h
Shaw-Stewart et al. 2019	Chemical treatment	Washing with aqueous solutions using different salts

359 11 5.3 Processes for recycling lithium batteries
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14 Hydrometallurgical processes are primarily based on leaching, extraction and precipitation as
15 summarized in Table 4. Few studies dealing with the hydrometallurgical treatment exclusively
16 focused on not rechargeable lithium batteries have been reported. Taking Li/MnO₂ as the most
17 representative example system, Contestabile et al. (1999) proposed a recycling process using as a
18 promising extracting reagent, the isobutyl alcohol/H₂O system with the aim of promoting a mild
19 oxidation of lithium metal contained in anodes. Afterward, lithium was recovered as lithium
20 carbonate passing CO₂ gas through the solution. Several inorganic acids, including HCl, HNO₃ and
21 H₂SO₄, were proposed to recover cathodic material. (Contestabile et al., 1999).

22
23 Most of the studies are focused on rechargeable lithium batteries as a result of increased importance
24 of this residue as prime secondary resource of lithium. The relative importance of different leaching
25 parameters has been evaluated by Gao et al., concluding that the most influential parameters are, in
26 descending order: acid species and concentration, leaching time, reductant species and content, solid
27 to liquid ratio, reaction temperature and stirring speed (Gao et al., 2018). Overall, the main leaching
28 agents studied could be categorized into inorganic acids, organic acids and alkaline solutions.
29 Inorganic acids including HCl, HNO₃ and H₂SO₄, have been thoroughly studied. Taking as an
30 example LiCoO₂ as the most commonly used LIBs cathode, the leaching reactions by HCl, HNO₃
31 and H₂SO₄ could be represented as:





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381 The recovery of lithium carbonate was performed by Zhang et al. (1998) and Barik et..al (2017), after
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382 a leaching with HCl able to reach efficiencies around 99% for Li, Co and Mn (Barik et al., 2017;
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383 Zhang et al., 1998). As can be concluded, the relevant action of HCl is a consequence of its relative
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384 high reducibility. The lithium leaching performance has been also widely explored using H_2SO_4 as
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385 leaching agent, reaching efficiencies higher than 90% (Georgi-Maschler et al., 2012; Sun and Qiu,
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386 2011). The use of reducing agents, typically H_2O_2 , has been demonstrated to improve leaching
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387 efficiency, with relatively short times (Ferreira et al., 2009; Shin et al., 2005). Furthermore, Jiang et
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388 al. (2018) proposed ultrasound-assisted leaching, as alternatives to conventional magnetic stirring, to
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389 improve efficiency and decrease the reaction time (Jiang et al., 2018). The use of other reductant,
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390 including sodium bisulphite (NaHSO_3) (Meshram et al., 2015) and glucose (Pagnanelli et al., 2017),
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391 has been explored to avoid limitations associated with the use of H_2O_2 , such as stability problems in
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392 storage for long time. (Joulié et al., 2014). On the other hand, Lee et al. evaluated the use of HNO_3
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393 concluding that the recovery of lithium and cobalt was poor even using high concentrations (Lee et
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394 al., 2007). The use of inorganic acids, as leaching agents, entails some limitations, such as: release of
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395 toxic gases, consumption of a large amount of water, corrosion of the equipment and generation of
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396 secondary pollutants. With the aim of reducing the footprint of recycling processes, the use of organic
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397 acids is explored as alternative leaching agents (Golmohammadzadeh et al., 2018). Organic acids
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398 tested for the recovery of lithium and cobalt includes citric acid (Li et al., 2013, 2010a), malic acid
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399 (Li et al., 2010b, Li et al., 2013), aspartic acid (Li et al., 2013), oxalic acid (Zeng et al., 2015), ascorbic
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400 acid (Li et al., 2012), gluconic and lactic acids, with hydrogen peroxide (Golmohammadzadeh et al.,
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401 2018; Roshanfar et al., 2019).

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402 Most of the studies dealing with hydrometallurgical processes focused on the recovery of cobalt,
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403 nickel and manganese, without considering lithium. Hence, recent researches explore new
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404 approaches, able to be integrated for the recovery of lithium, to be applied at industrial scale. The

recovery of lithium from leaching solution has been usually carried out by evaporation and precipitation after the recovery of other metals by solvent extraction. Generally, the lithium rich solution is treated with a saturated carbonate solution, at a temperature of 100 °C to obtain lithium carbonate after filtration and washing. The recovery efficiency of lithium has been reported to be low (70%-80%) as a consequence of metal losses during all the previous separation phases (Nan et al., 2005; Zhang et al., 1998; Zhu et al., 2012; Zou et al., 2013). With the aim of increasing selective lithium recovery, research efforts have been made. Paulino et al. proposed adding a KF solution after removal of carbon to obtain high purity grade LiF. They achieved recovery of lithium salts, fluoride and phosphate, close to 90% (Paulino et al., 2008). Although lithium recovery was higher than recovery as carbonate and both lithium salts present commercial value, treatment of mixture salts to obtain single final products was required. The synthesis of Li-mixed oxides for manufacturing new cathodic materials from spent lithium batteries has been also recently explored (Gratz et al., 2014; Li et al., 2018). Recovering of Na₂SO₄ before Li₂CO₃ precipitation as an optimized hydrometallurgical route has been confirmed a promising method to remove impurities allowing recovery of lithium carbonate with a purity of 99.8 % (Abo et al., 2019).

Table 4: State-of-the-art of lithium recovery processes

Reference	Reagents	Metal recovery efficiency
Roshanfar et al. 2019	Lactic acid, H ₂ O ₂	97% for Co and 100% for Li
Abo et al. 2019	H ₂ SO ₄ , H ₂ O ₂	98% for Co, 99% for Li and 96% for Mn
Li et al. 2018	Citric acid, H ₂ O ₂	99% for Co and Li and 95% for Mn
Gao et al. 2018	HCl, ascorbic acid	99% for Co and Li
Jiang et al. 2018	H ₂ SO ₄ , H ₂ O ₂	95% for Co and 98% for Li
Barik et al. 2017	HCl	99% for Co, Li and Mn
Pagnanelli et al. 2017	H ₂ SO ₄ , glucose	99% for Co and Li
Zeng et al. 2014	Oxalic acid	97% for Co and 98% for Li
Meshram et al. 2015	H ₂ SO ₄ , NaHSO ₃	91% for Co, 97% for Li and 88% for Mn
Gratz et al. 2014	H ₂ SO ₄ , H ₂ O ₂	94% for Co, 90% for Li and 91% for Mn
Joulié et al., 2014	HCl	80% for Co and Li
Li et al., 2013	Citric acid, malic acid, aspartic acid, H ₂ O ₂	90% for Co and Li
Georgi-Maschler et al., 2012	H ₂ SO ₄	90% for Li

1	Li et al. 2012	Ascorbic acid	95% for Co and 98% for Li
2	Zhu et al. 2012	H ₂ SO ₄ , H ₂ O ₂	96% for Co and 87% for Li
3	Sun and Qui 2011	H ₂ SO ₄	99% for Co and Li
4	Li et al. 2010a	Citric acid, H ₂ O ₂	90% for Co and 99% for Li
5	Li et al. 2010b	Malic acid, H ₂ O ₂	90% for Co and 99% for Li
6	Ferreira et al. 2009	H ₂ SO ₄ , H ₂ O ₂	97% for Co and 99% for Li
7	Paulino et al. 2008	H ₂ SO ₄ , H ₂ O ₂	99% for Co, 90% for Li and 99% for Mn
8	Zou, et al. 2007	H ₂ SO ₄ , H ₂ O ₂	100% for Co and Mn and 80% for Li
9	Nan et al. 2005	H ₂ SO ₄	97% for Co and Li
10	Shin et al. 2005	H ₂ SO ₄ , H ₂ O ₂	100% for Co and Li
11	Contestabile et al. 1999	Iso-butyl alcohol/H ₂ O, H ₂ SO ₄ , HCl, HNO ₃	N.A.
12	McLaughlin and Adams, 1999	H ₂ SO ₄	97% for Li
13	Zhang et al. 1998	HCl	100% for Co and 99% for Li and Mn

Regarding industrial processes, many companies worldwide recycle batteries (both rechargeable and not rechargeable) with different metal targets as confirmed by Table 5. The most common approaches include pyrometallurgical treatments, where LIBs are smelted, target metals are separated, refined and lithium lost within the resulting slags, combined with other valuable elements (e.g. aluminium, calcium) (Barik et al., 2016; Yazicioglu and Tygat, 2011). The thermal choice allows the quick removal of organic compounds as carbon powder and plastics, with a negative effect due to the production of emissions to air that need stringent air filtration systems (Li et al., 2010b; Zeng et al., 2014). Inmetco facility (USA), with a capacity of 6000 tons/year, involves a rotary earth furnace treatment followed by the refining in an electric arc furnace to produce Co/Ni/Fe alloys. Similarly, SNAM company (France, annual capacity 300 tons/year) focuses on cobalt and nickel oxides from rechargeable batteries and Batrec AG (Switzerland, 200 tons/year) obtains iron, manganese, zinc and mercury from not rechargeable batteries (Barik et al., 2016; Lv et al., 2018; Talens Peiró et al., 2013). The combination of pyrometallurgical approach with hydrometallurgical techniques, has been necessary for lithium enhancement, as reported for Accurec GmbH (Germany, 6000 tons/year) and Umicore (Belgium, 7000 tons/year) facilities (Lebedeva et al., 2016; Lv et al., 2018; Pellow et al., 2020; Raugei and Winfield, 2019; Reuter et al., 2014). Umicore pyrometallurgical process consists

438 of the direct melting of the spent LIBs, combined with slag formers, using a unique ultra-high
 1 temperature furnace. The system is divided into three temperature zones: the pre-heating zone (<
 2 300°C for electrolyte removal), the plastic pyrolyzing zone (around 700°C) and the smelting and
 4 reaction zone (1200-1450°C), with a substantial energy request (Liu et al., 2019). The resulting slags
 5 need additional chemical reactions for lithium extraction. As aforementioned, TOXCO (Canada, with
 6 a 4500 tons/year capacity), is one of the most relevant hydrometallurgical method focused on lithium
 7 recovery from batteries and cells made of lithium. With the aim of reducing the reactivity of lithium,
 8 scraps are firstly placed in liquid nitrogen before crushing. By using lithium hydroxide to control pH
 9 in the reaction of lithium containing materials with water, a variety of lithium salts are formed. The
 10 salts are subsequently refined using H₂SO₄ and pass through a membrane into a basic solution to form
 11 LiOH. Finally, lithium carbonated is formed by the addition of CO₂. This process, characterized by a
 12 recovery efficiency of lithium close to 97%, allows the reuse of lithium in the production of new
 13 batteries (McLaughlin and Adams, 1999). The Recupyl process (France with an annual capacity of
 14 110 tons/year), also devoted to the recycling of rechargeable and not rechargeable lithium batteries,
 15 consists of a hydrometallurgical method to recover lithium. The batteries scraps are submitted to dry
 16 crushing, at room temperature, in an inert atmosphere to avoid safety issues related to remaining
 17 charge batteries. By magnetic and density separation, a rich fraction in metal is obtained to be
 18 submitted to hydrolysis process. Lithium contained in the finest fractions reacts with water producing
 19 a rich lithium solution which is subsequently treated with sodium carbonate and phosphoric acid
 20 allowing the recovery of lithium as carbonate and phosphate (Tedjar and Foudraz, 2007). Overall, in
 21 addition to the economic advantage for both the lithium recovery and the lowest energy demand, the
 22 hydrometallurgical processes show the strength of the adaptability to the LIB technology changes
 23 (Georgi-Maschler et al., 2012; Pagnanelli et al., 2016; Sun and Qiu, 2011; Wang et al., 2014a).

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 25 Table 5: Industrial processes for battery recycling worldwide (Barik et al. 2016, Lv et al. 2018, Liu et
 26 al. 2019, Lebedeva et al. 2016, Talens Peiró et al. 2013, Pellow et al. 2020, Reuter et al. 2014)

Company	Country	Process	Target metals
Inmetco	USA	Pyrometallurgy	Co, Fe and Ni

1	SNAM	France	Pyrometallurgy	Co and Ni
2	Batrec AG	Switzerland	Pyrometallurgy	Fe, Mn, Zn and Hg
3	Accurec GmbH	Germany	Pyrometallurgy/ Hydrometallurgy	Co and Li
4				
5	Umicore	Belgium	Pyrometallurgy/ Hydrometallurgy	In
6				
7	TOXCO	Canada	Hydrometallurgy	Co and In
8				
9	Recupyl	France	Hydrometallurgy	Co and In
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6. The sustainability of lithium production

6.1 Description of scenarios and main assumptions

Considering the relevance of lithium for the market, combined with the availability of high quantity of waste batteries, the present section aims at the assessment of the possible environmental advantage resulting from the secondary resources. Figure 7 compares data related to lithium flows on the European territory in 2017 (including, import, production, export and consumption) with a more complex scenario, where the primary lithium production (essential to respond to the market request) has been integrated with a secondary production, through the exploitation of waste batteries (both rechargeable and not) (European Commission, 2020d). The carbon footprint has been assessed for both scenarios using the LCA approach (Figure 7). The study has been carried out by the thinkstep GaBi software-System and Database for Life Cycle Engineering (compilation 7.3.3.153; DB version 6.115), used for the production processes of energy and raw materials and the quantification of the carbon footprint of the treatments, following the recommendation of ISO 14040:2006 and 14044:2006 norms.

Figure 8 shows the system boundaries. It can be observed that current scenario refers to a complete primary production, where brine has been selected as resource, since it is considered the most relevant reserve (Figure 4). The transport has been included within system boundaries considering a first transfer using rail, from Salar de Atacama to Antofagasta, where Sociedad Quimica y Minera de Chile (the largest Chilean producer of lithium carbonate with 99.5% purity) is located (Tran and Luong,

483 2015). For the consequent transportation from Antofagasta port to Europe, Rotterdam has been
1 chosen since it is the largest European port, through a route of 13,000 km (Marine traffic, 2020;
2 Searates, 2020; Searoutes.com, 2020). The distance within the European territory has been excluded
3 from the system boundaries because it depends on the final metal use and it is comparable for both
4 scenarios. The Li₂CO₃ production process from concentrated lithium brine has been considered
5 following the details described by Dunn et al. (Dunn et al., 2012). An additional assumption is that
6 the brine fed to the plant is concentrated until 60,000 ppm of lithium, by evaporating salty water using
7 solar energy (Talens Peiró et al., 2013). The ideal scenario includes the production of about 20% of
8 the European lithium demand through recycling. More in details, a quantity of about 90 tons has been
9 considered for the not rechargeable batteries and 780 tons for rechargeable, following the data
10 reported in both Raw Materials Information System (RMSI) and Urban mine platform (European
11 Commission, 2020d; Urban Mine Platform.eu, 2020), for the reference year 2017. The waste
12 collection impact has been excluded from the assessment and the hydrometallurgical route has been
13 considered for Li recovery. As not rechargeable system, the Li/MnO₂ has been selected as the most
14 representative chemistry. The most used LIBs cathode, LiCoO₂, has been taken as reference to assess
15 the lithium recovery from spent rechargeable lithium batteries. The composition for LCO cathode has
16 been obtained as the average composition for cathodes from seven manufacturers (Wang et al.,
17 2014b). As concerns the hydrometallurgical route, we have considered the technologies developed
18 within two EU funded project: the LIFE ENVIRONMENT Libat project (European Commission
19 CORDIS, 2018) for not rechargeable lithium batteries and the FP7 HydroWEEE project (European
20 Commission, 2009) for rechargeable batteries. Both treatments produce lithium in carbonate form,
21 comparable to the primary metal from brines. This information is important to hypothesize the
22 product use in new battery manufacturing. A lithium content of 4.0% and 2.5% has been assumed for
23 not rechargeable and rechargeable batteries, respectively. As reported in Figure 8, the exploitation of
24 batteries produces further valuable metals, mainly cobalt from LIBs (11 kg of cobalt for each kg of
25 lithium) and manganese from not rechargeable (6 kg of manganese for each kg of lithium). In order
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509 to include this relevant added value, the impact of each treatment has been allocated adopting a mass
1 allocation criterion (Iannicelli-Zubiani et al., 2016). Considering the variability of the distances
510 3 included in the ideal scenarios, from the collection site to the treatment facilities, the transport has
4 been excluded from the first analysis and introduced in the further sensitivity analysis, taking into
511 6 account the environmental load of an articulated lorry with a payload of 27 tons.
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15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 The substitution of about 30% of primary lithium by recycled lithium has the potential to reduce the carbon footprint associated to the lithium supply (Figure 9a). This environmental advantage, around 10%, has been evaluated considering the collected batteries on the European territory in 2017 (European Commission, 2020d; Urban Mine Platform.eu, 2020). Nevertheless, the European Commission estimated an average collection efficiency of batteries around 45%, that should reach at least 57% by 2030 (European Commission, 2019b). This percentage should further increase combining more stringent regulations with technological collection systems. Furthermore, considering the average lifetime of many kinds of equipment which use batteries, the available quantity to manage in Europe could increase in next years. In this regard, data reported by RMSI database (European Commission, 2020d), describe an increase of waste batteries higher than 33% from 2017 to 2020. As a whole, all these aspects will further enhance the potential environmental gain of the ideal scenario, where primary lithium is partially replaced by secondary lithium. Considering the variability of many assumptions included in the ideal scenario, a sensitivity analysis was carried out through a Monte Carlo method implemented in RStudio software: 5000 simulations allowed to evaluate the possible variations of the whole impact of the ideal scenario. More in details, two variables have been selected for the analysis: the potential secondary lithium contribution, compared to the primary lithium (not completely replaceable) and the transportation distance from the collection site to the recycling facility. The secondary lithium contribution was evaluated as reported in Eq. 4:

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$$\text{Secondary Li contribution} = \frac{\text{Li from not rechargeable batteries} + \text{Li from rechargeable batteries}}{\text{Li demand}} * 100 \quad (4)$$

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535 This was varied on the basis of the collected waste batteries (both rechargeable and not rechargeable),

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536 from the current value to a possible doubling. This choice has been considered useful to evaluate the

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537 real effect on the whole impact. As concerns the distance, the considered interval has been between

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538 0 (to simulate a decentralized management, with several recycling facilities on the European territory)

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539 and 1,500 km (to reproduce a centralized facility, able to treat batteries from different European

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540 countries). A further variation of the process impact of 20% allowed to consider the possibility of

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541 different hydrometallurgical treatments. Each dot reported in Figure 9b represents the carbon

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542 footprint of ideal scenario, combining different values of the two selected variables. The results

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543 exclude the impact of the current scenario, since it results higher than ideal scenario at all the

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544 considered conditions. The point cloud in Fig. 9b suggests that the centralized waste batteries

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545 treatment (high distances) represents the most sustainable choice for low percentage of secondary

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546 lithium, associated to a relatively low availability of waste. The growth of waste batteries makes the

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547 decentralized management system the lowest impact option, thanks to the reduction of the transport

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548 emissions. To quantitatively assess when the centralized waste batteries treatment is preferable to the

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549 decentralized one, another important aspect to consider is the resource of secondary lithium: either

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550 rechargeable or not rechargeable lithium batteries. Indeed, the impact of lithium recycling is different

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551 for the two resources. Consequently, a further step in the assessment has been the identification of

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552 the critical distance which defines the transition from a centralized to a decentralized management

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553 system, as the most sustainable choice. With this aim, further evaluations have been performed,

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554 including a third variable: the collected rechargeable and not rechargeable batteries ratio. Figure 10

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555 identifies this critical distance considering three levels of secondary lithium contribution: the highest

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556 (light blue), the average (blue), and the lowest (dark blue) assessed. The distance value is variable

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557 between 1200 km (for rechargeable/not rechargeable batteries ratio of 3, Figure 10b) and 500 km (for

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558 rechargeable/not rechargeable batteries ratio of 12, Figure 10d). The extreme scenarios, evaluated as

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559 a whole contribution of not rechargeable batteries (Figure 10a) and rechargeable batteries (Figure
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560 10e), considering the fixed metal demand, allow the evaluation of the critical distance dependency
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561 on the recycling process impact. Indeed, the highest impact of the rechargeable lithium batteries
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562 treatment, due to the further recovery of cobalt, decreases the critical distance value up to 250 km,
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563 compared to a complete not rechargeable batteries exploitation which makes a centralized
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564 management the most sustainable strategy in any case. Nevertheless, both the conditions are
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565 theoretical scenarios, not feasible in a real context in which the highest rechargeable lithium battery
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566 contribution is connected to the increase of sustainable technologies (e.g. electric cars) and a
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567 production of not rechargeable batteries will be ensured for the short lifetime of this technology, at
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568 least for the next few years.
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23 24 569 7. Conclusions 26

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570 The present review dealt with the main steps of lithium life, including its mining, application and
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371 recycling. The preliminary study of lithium applications has been essential to identify the most
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32 promising secondary resources, mainly waste batteries (for both compositions and availability). The
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34 further environmental sustainability assessment has allowed the evaluation of possible scenarios of
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36 lithium supply, implementable on the European territory. The results have aimed at matching the
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38 priority of the CRM list of 2020 to identify a sustainable and cleaner production system of lithium
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40 for the building of a competing European market.
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579 The assessment has proved the relevance of additional aspects of the lithium recycling value chain,
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581 scientific literature has been addressed, mainly:
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- The crucial role of the waste battery collection, to make the integrated production system of primary and secondary lithium a reality. In this regard, the analysis has proved that a complete secondary production of this metal is not possible; nevertheless, a substitution of 30% of primary lithium can be hypothesised, based on the waste batteries production.

584 • The relevance of the planning of the recycling system. Indeed, the proposed assessment has
1 suggested the greatest environmental sustainability of a decentralized system of small and medium
2 enterprises of recyclers for the greatest waste quantities, especially with high contribution of
3 rechargeable batteries.
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1588 The proposed evaluation, which could be farther improved with many market variables, represents
11 an important tool for all the stakeholders involved in the battery recycling value chain, that often
12 neglect the lithium recovery, favoring other elements (e.g. cobalt). Nevertheless, to make this
13 estimation a reality, it is necessary a view evolution, able to make a waste (a problem) a resource. An
14 efficient exploitation of waste battery could be translated in a double advantage: the growth of
15 secondary lithium production and the decrease of waste flows to manage.
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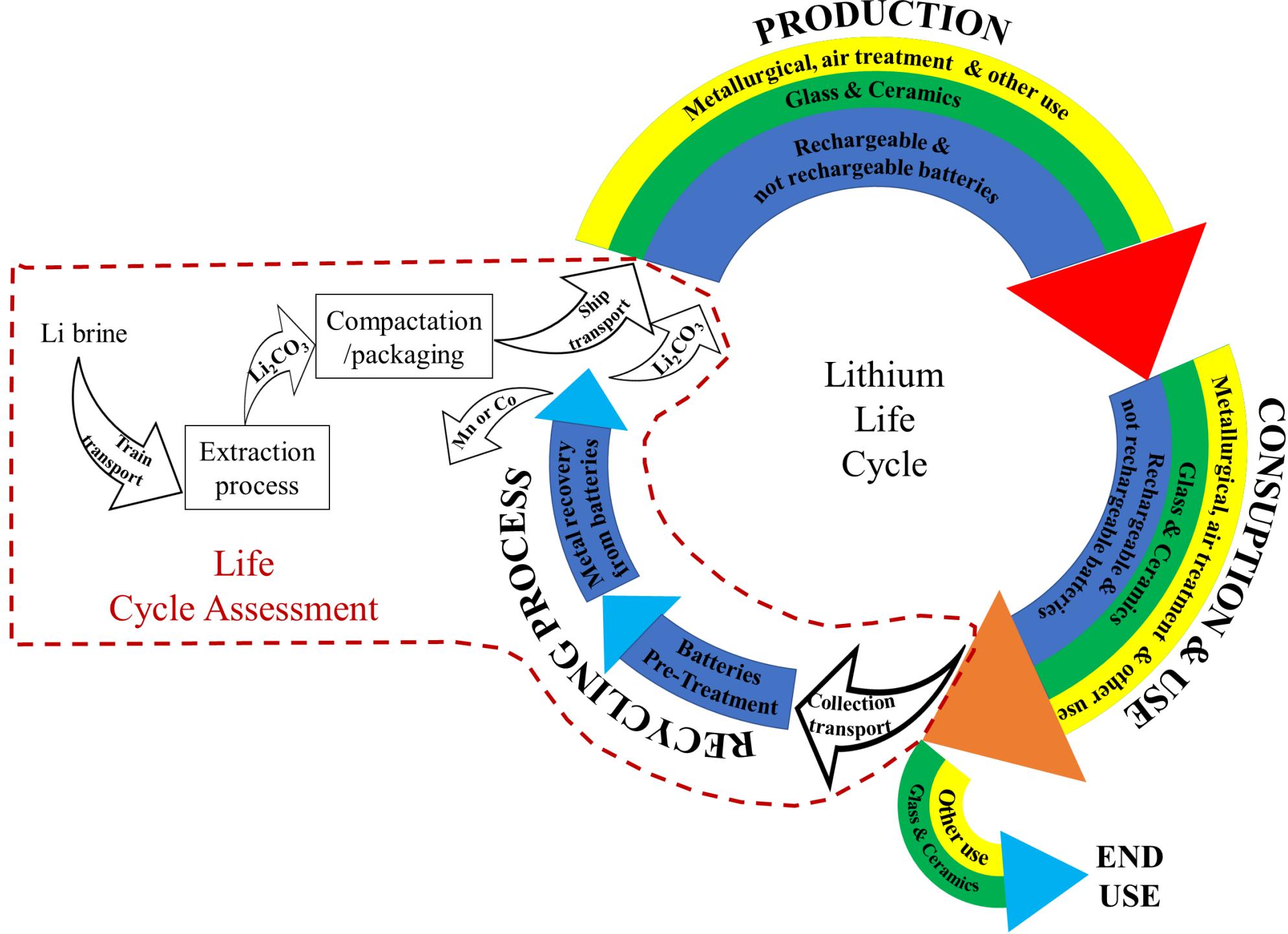
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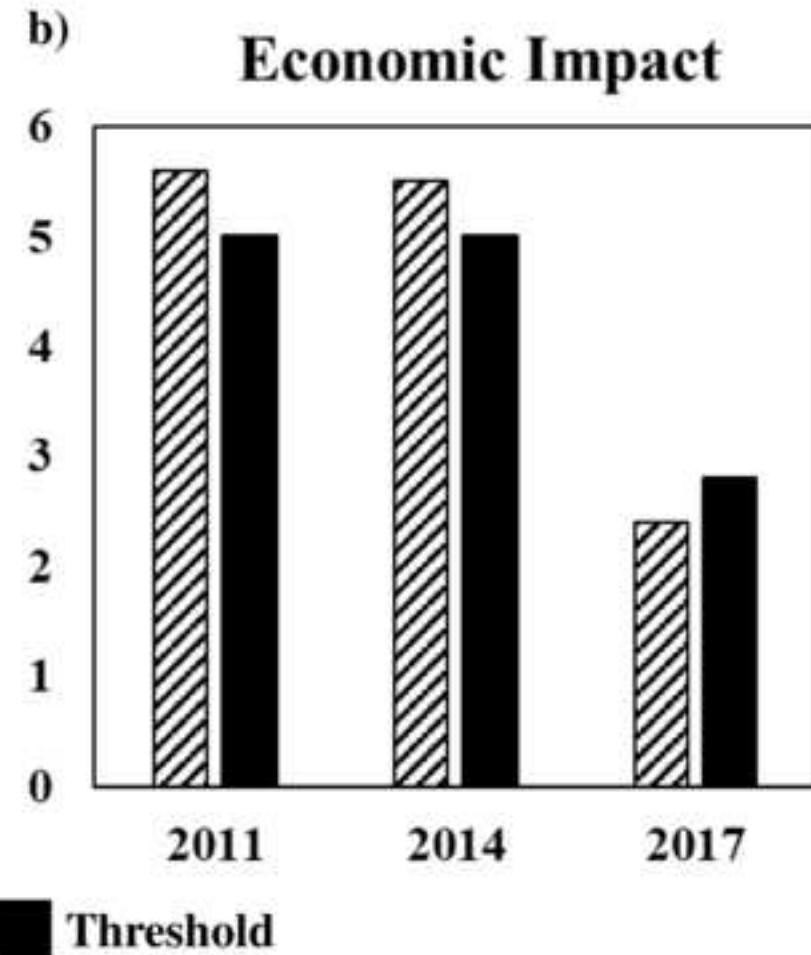
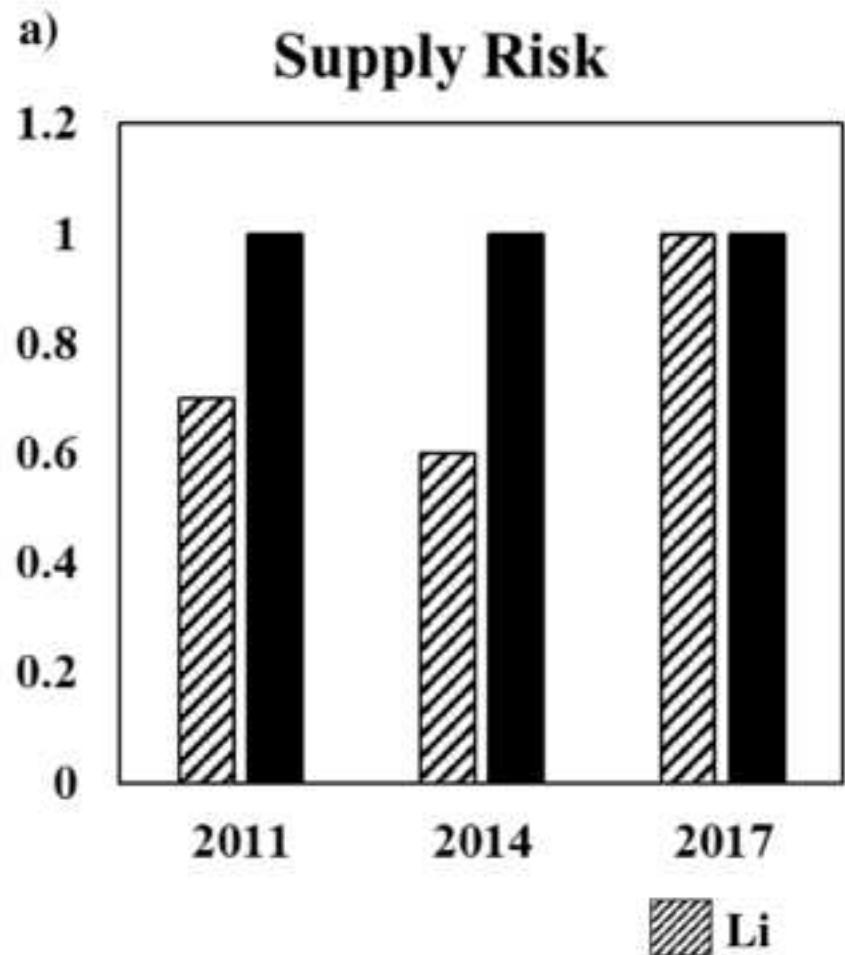
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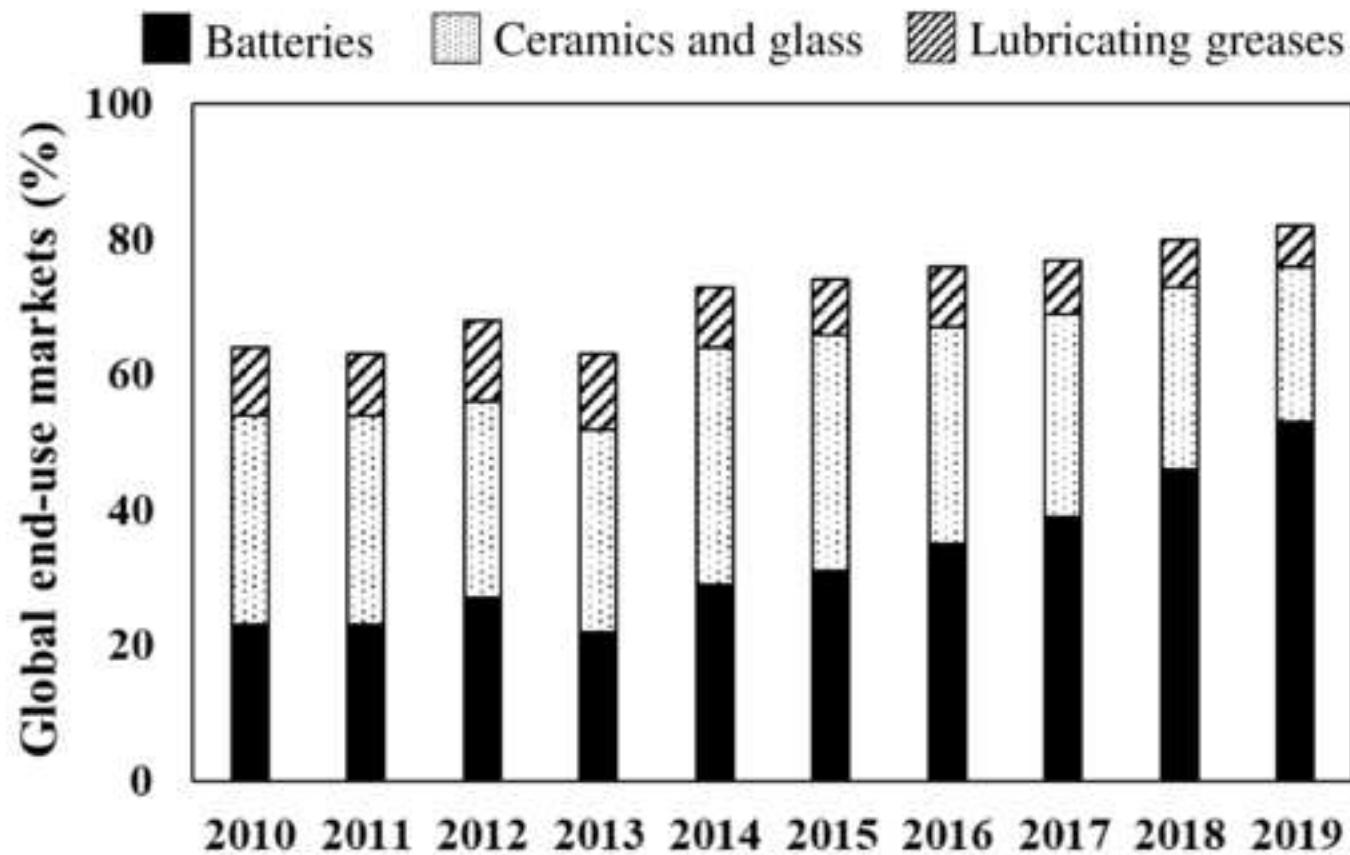
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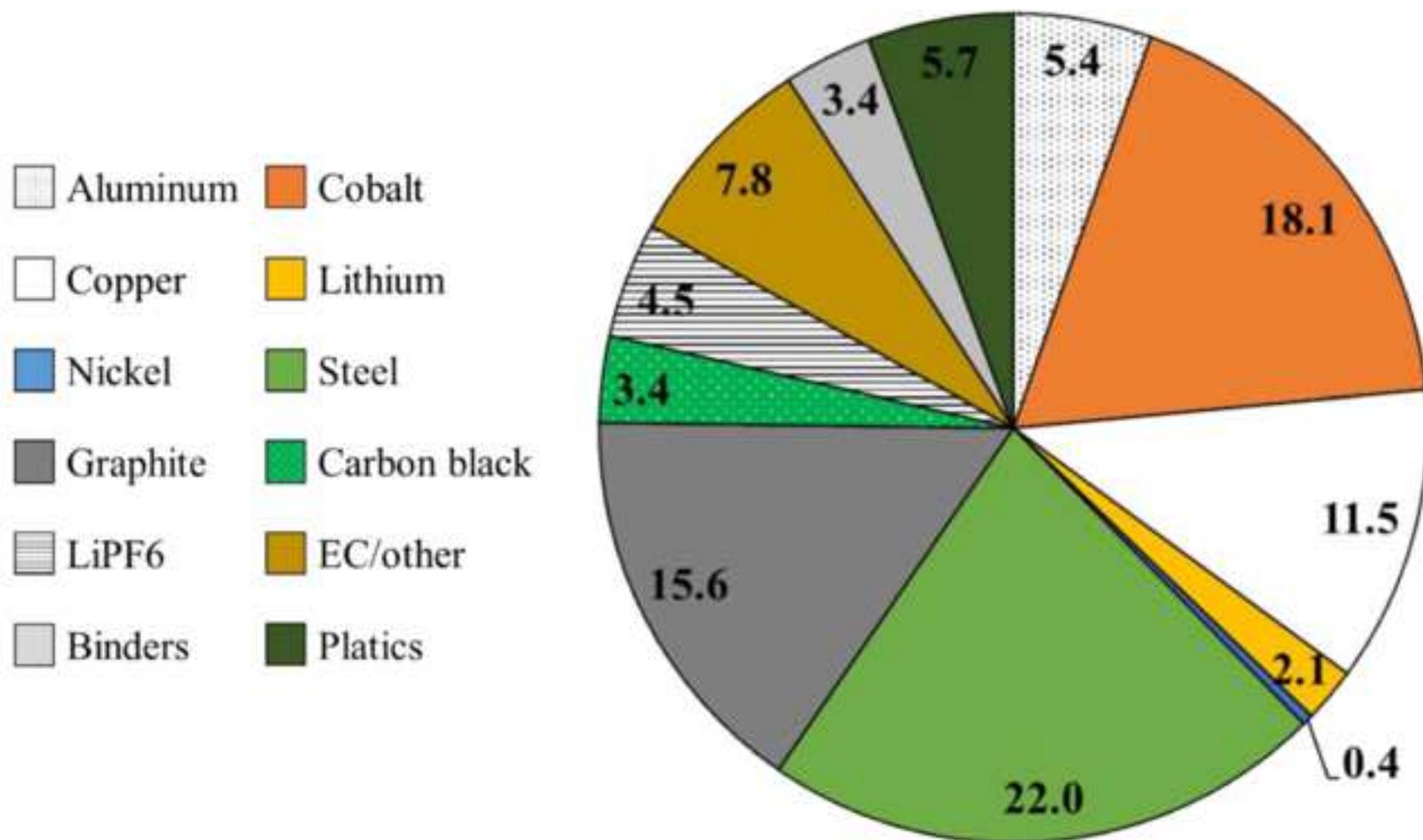
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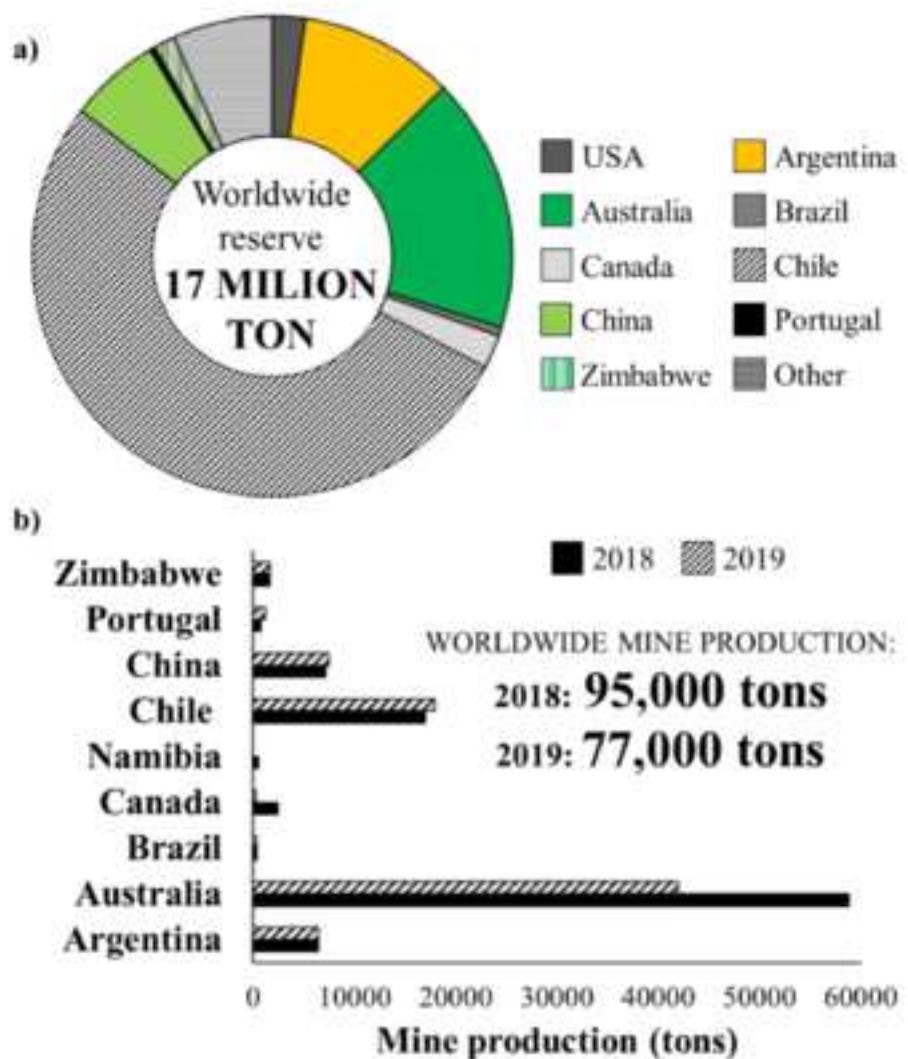
- The versatility and the low substitutability make lithium essential for the market
- The production of batteries represents the most relevant use of lithium
- Waste batteries represent an important secondary source of lithium
- The substitution of 30% of primary lithium increases the metal supply sustainability
- A decentralized waste management is the lowest impact choice for high battery amounts

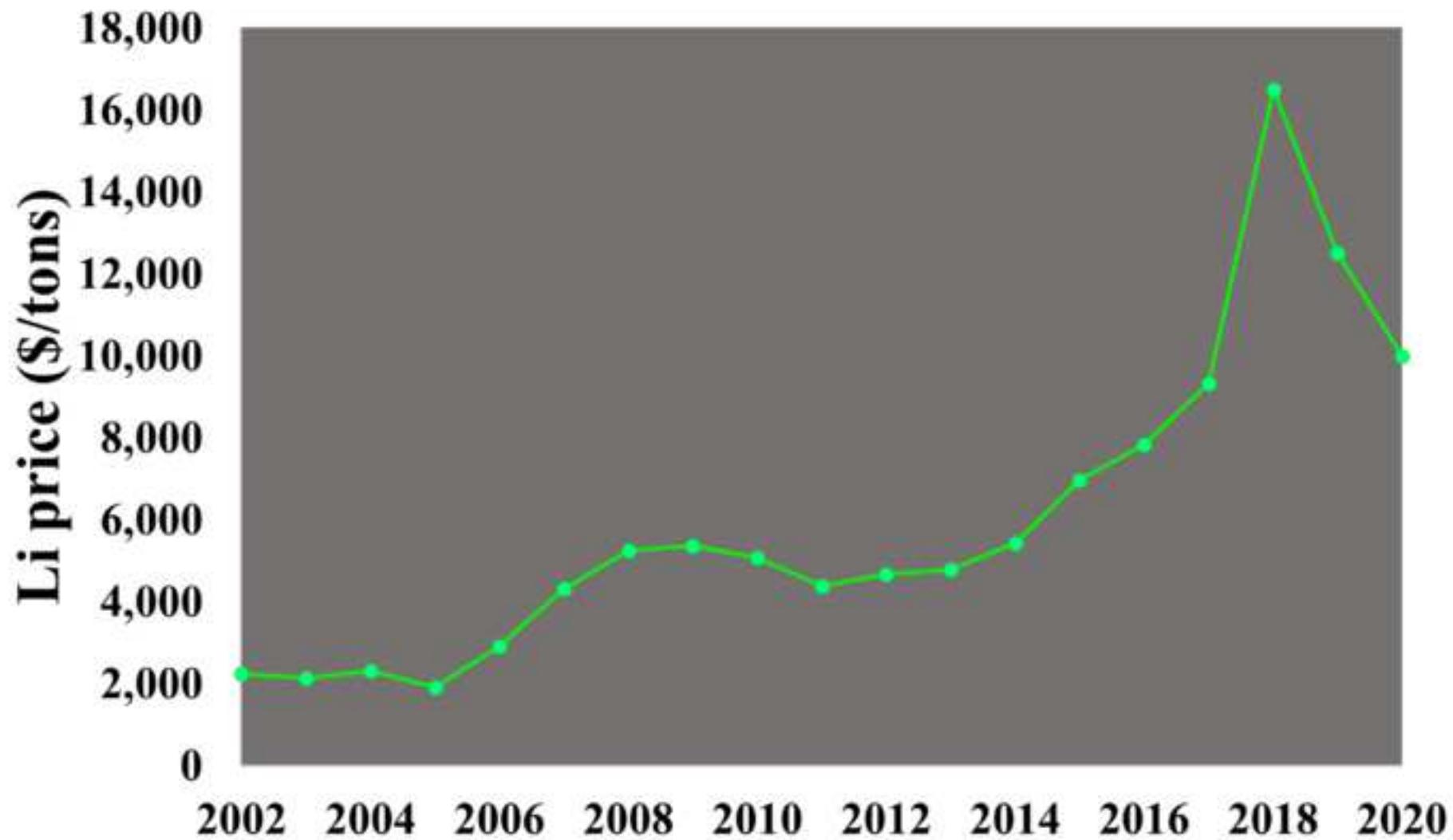


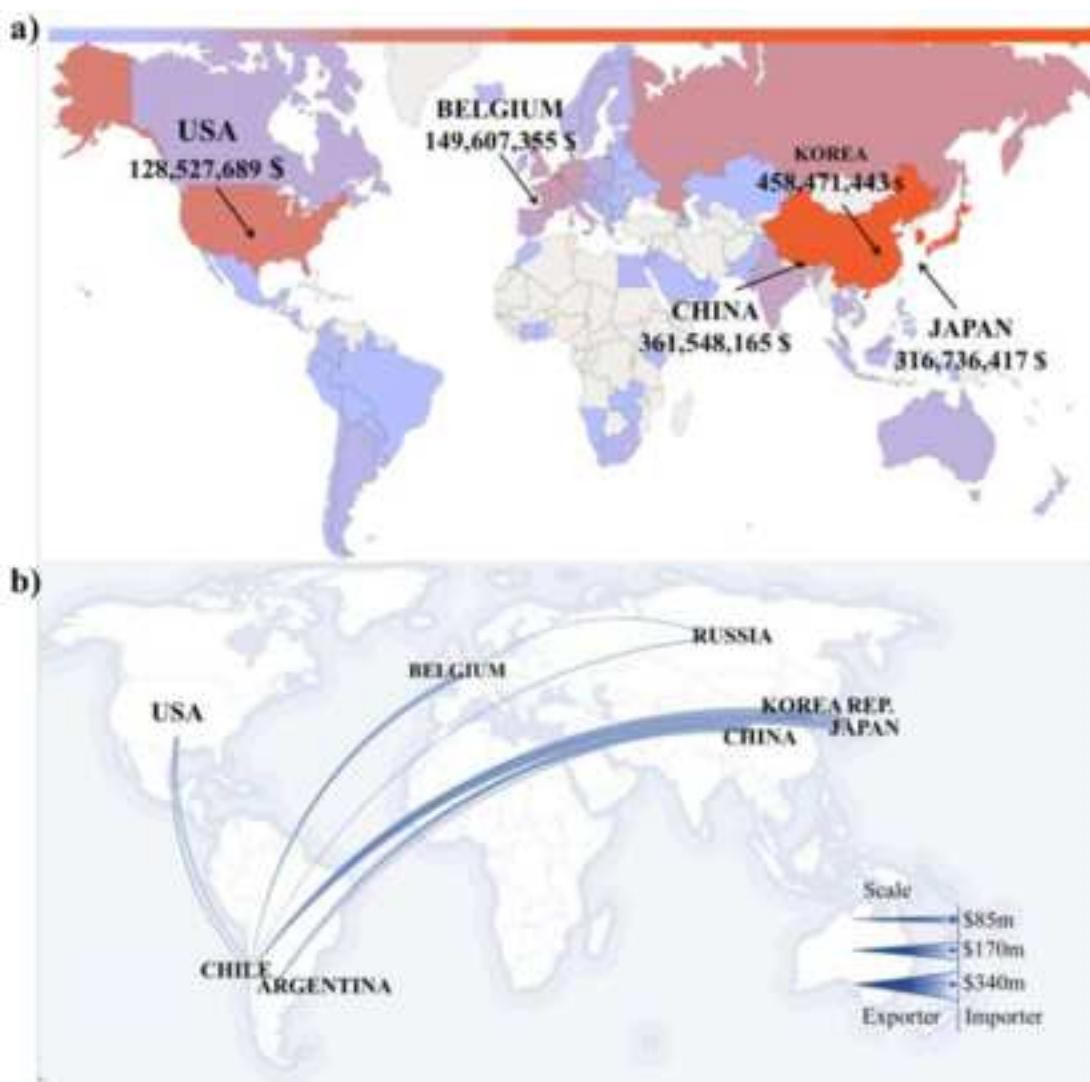


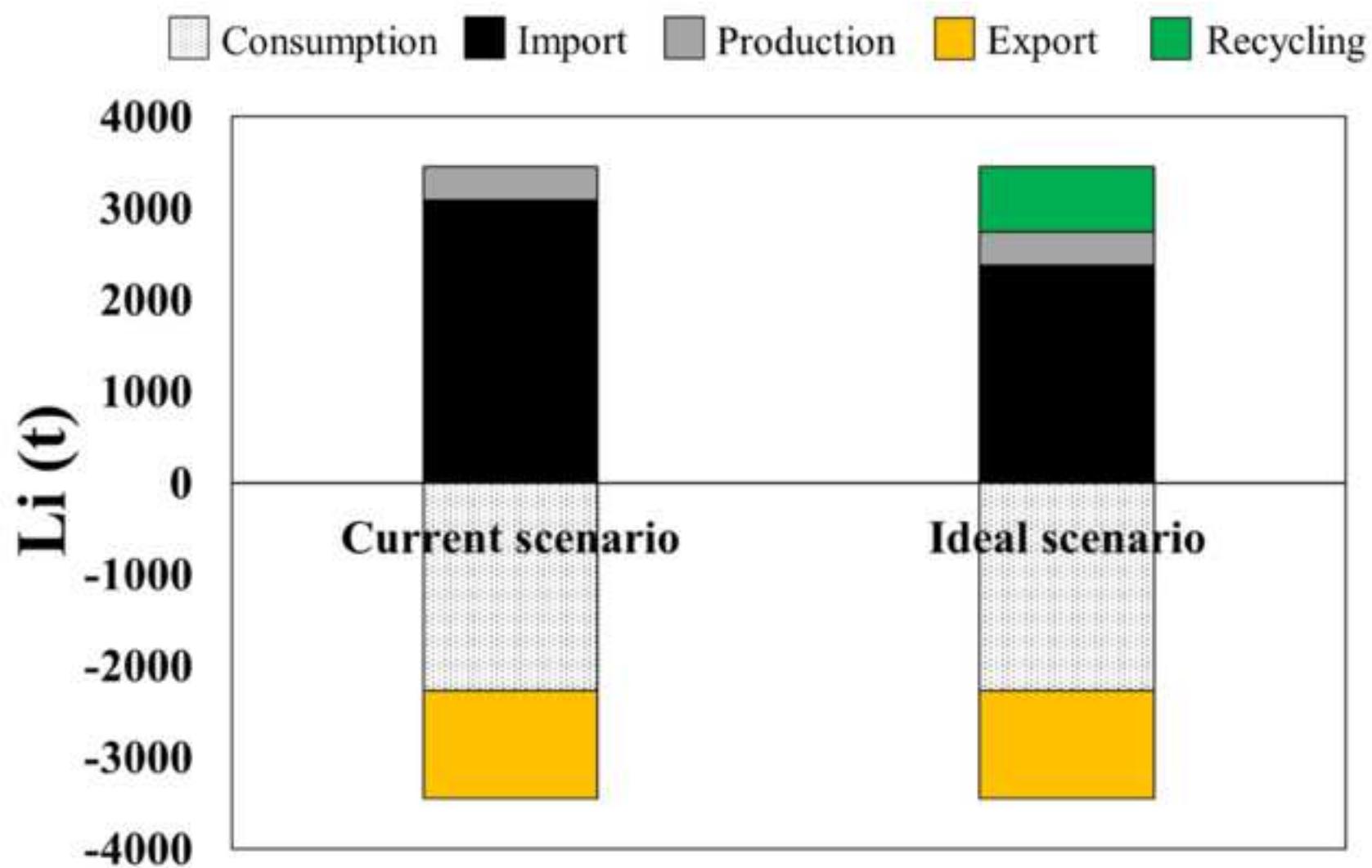


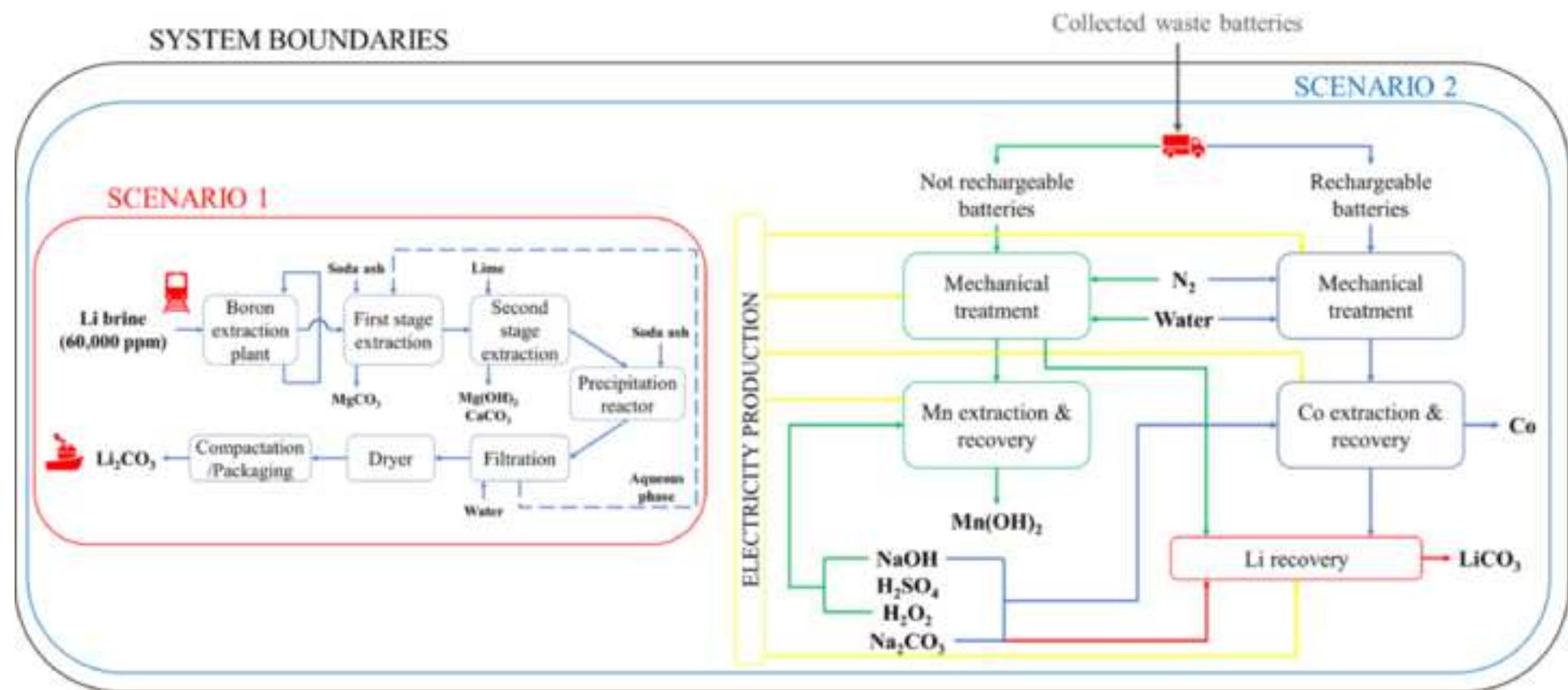


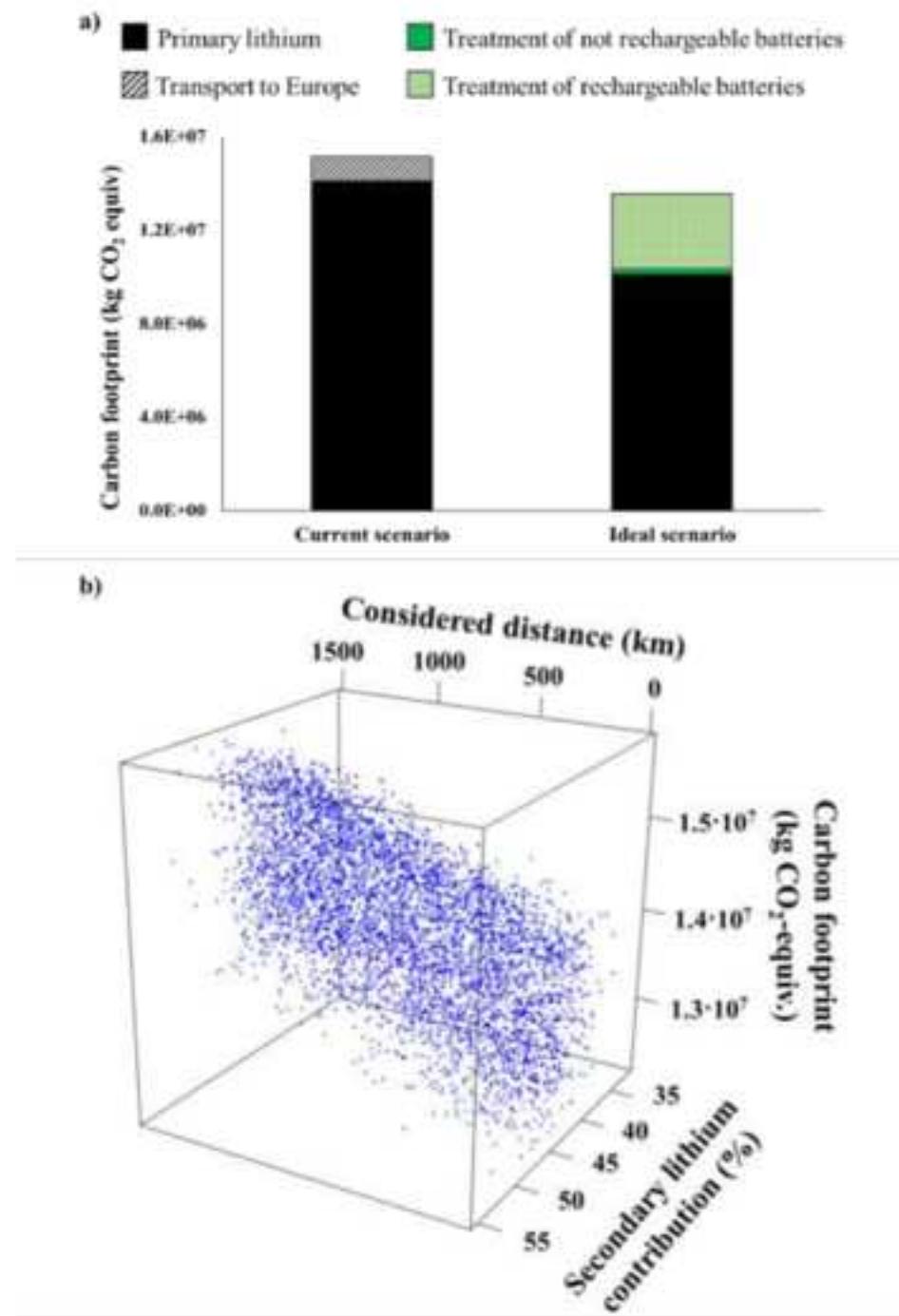












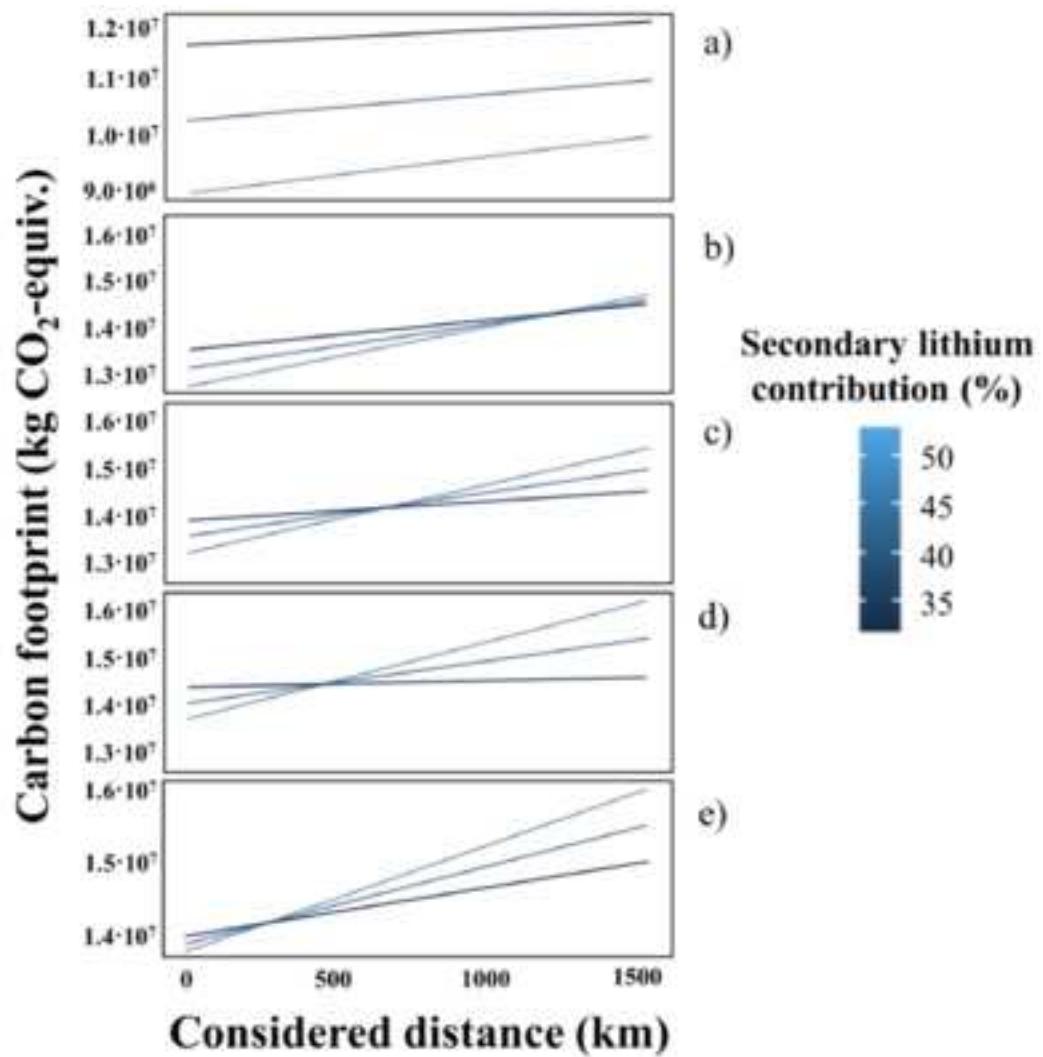


Figure 1. Assessment of lithium criticality, considering a) the supply risk and b) the economic impact (European Commission, 2017).

Figure 2. The global market for lithium: The evolution of end-use percentage for the global market for lithium from 2010 to 2019 (USGS, 2019).

Figure 3. Average material composition of LCO cathode LIBs from different manufacturers (Wang et al., 2014).

Figure 4. Role of the countries in Li reserves (a) and production (b). USA is not included in the graphic b, since the only production was from a brine production in Nevada and no data were shared (USGS, 2019).

Figure 5. Historical lithium carbonate price (adapted from Metalary.com and Fastmarkets.com (Metalary, 2020; Fastmarkets, 2020)).

Figure 6. Worldwide economic flows related to lithium carbonate import (adapted from TrendEconomy.com (TrendEconomy, 2019)) (a) and export (adapted from Resource Trade Earth.com (Resource Trade Earth.com, 2020)) (b).

Figure 7. Lithium balance on the European territory in 2017 (current scenario) vs implementation of an integrated scenario able to combine primary and secondary lithium production (ideal scenario).

Figure 8. Simplified system boundaries considered for the carbon footprint assessment. Functional unit annual lithium production on European territory (reference year 2017).

Figure 9. Carbon footprint assessment: comparison between the primary production and a scenario which includes 30% of lithium secondary production from waste batteries (a), sensitivity analysis on carbon footprint of ideal scenario (impact as a function of the secondary lithium contribution and the transport distance) (b).

Figure 10. Identification of the critical distance which defines the transition from a centralized to a decentralized management system achieved by the treatment of a) not rechargeable batteries, b) LIBs/not rechargeable batteries ratio of 3, c) LIBs/not rechargeable batteries ratio of 7, d) LIBs/not rechargeable batteries ratio of 12, e) rechargeable batteries.