

# Project 1: Distributed Bitcoin Miner

## 1 Overview

This project will consist of the following two parts:

- **Part A:** Implement the **Live Sequence Protocol**, a homegrown protocol for providing reliable communication with simple client and server APIs on top of the Internet **UDP** protocol.
- **Part B:** Implement a Distributed Bitcoin Miner.

Schedule:

**Part A Checkpoint:** Due: **Monday 10/02**, 20% of project grade

**Full Project Part A:** Due: **Friday 10/13**, 60% of project grade

**Full Project Part B:** Due: **Monday 10/23**, 20% of project grade

You will have **15 submissions** for each due date. The same policy of P0 will apply here as well - at most **2 penalty late days** per due date, with each penalty late day reducing your score for **that part** of the assignment **by 10%**.

We will begin by discussing part A of this project, in which you will implement the *Live Sequence Protocol*. In your implementation, you will incorporate features required to create a robust system, handling **lost, duplicated, or corrupted Internet packets**, as well as **failing clients and servers**. You will also learn the value of creating a set of layered abstractions in bridging between low-level network protocols and high-level applications.

**WARNING:** P1 is *much* more complex than P0, so it is imperative that you start early with a *solid* design in place. We give you an outline of what this can look like in this handout.

Also, although you have approximately 50% of the time of Part A for the checkpoint, the checkpoint is much less than 50% of the features, therefore it would benefit you to go beyond what we require you to do.

## 2 Part A: LSP Protocol

The low-level Internet Protocol (IP) provides what is referred to as an “unreliable datagram” service, allowing one machine to send a message as a packet to another, but **with the possibility that the packet will be delayed, dropped, or duplicated**. In addition, as an IP packet hops from one network node to another, its size is limited to a specified maximum number of bytes. Typically, **packets of up to 1,500 bytes** can safely be transmitted along any routing path, but going beyond this can become problematic.

Very few applications use IP directly. Instead, they are typically written to use UDP or TCP:

**UDP:** The “User Datagram Protocol.” Also an **unreliable datagram service**, but it allows packets to be directed to **different logical destinations** on a single machine, known as *ports*. This makes it possible to run **multiple clients** or **servers** on a **single machine**. This function is often called multiplexing.

**TCP:** The “Transmission Control Protocol” offers a reliable, **in-order stream abstraction**. Using TCP, a stream of arbitrary-length messages is transmitted by breaking each message into (possibly multiple) packets at the source and then reassembling them at the destination. TCP handles such issues as dropped packets, duplicated packets, and preventing the sender from overwhelming both Internet bandwidth and the buffering capabilities at the destination.

Your task for Part A of this project is to implement the **Live Sequence Protocol (LSP)**. LSP provides features that lie somewhere between UDP and TCP, but it also has features not found in either protocol:

- Unlike UDP or TCP, it supports a **client-server communication model**.
- The server maintains **connections** between a number of clients, each of which is identified by a **numeric connection identifier**.
- Communication between the server and a client consists of a sequence of **discrete messages in each direction**.
- Message sizes are limited to fit within single UDP packets (around **1,000 bytes**).
- Messages are sent *reliably*: a message sent over the network must be **received exactly once**, and messages must be received in the same order they were sent.
- Message *integrity* is ensured: a message sent over the network will be **rejected** if **modified in transit**.

- The server and clients monitor the status of their connections and detect when the other side has become disconnected.

The following sections will describe LSP in-depth. You will only implement part of LSP for the Part A Checkpoint. We begin by describing the protocol in terms of the messages that flow between the server and its clients.

## 2.1 LSP Overview

In LSP, communication between a server and client consists of a sequence of discrete messages sent in each direction. Each message sent over an LSP connection is made up of the following five values:

**Message Type:** An integer constant identifying one of three possible message types:

**Connect:** Sent by a client to establish a connection with the server.

**Data:** Sent by either a client or the server to transmit information.

**Ack:** Sent by either a client or the server to acknowledge a Connect or Data message.

**Connection ID:** A positive, non-zero number that uniquely identifies the client-server connection.

**Sequence Number:** A positive, non-zero number that is incremented with each data message sent, starting with the number 0 for the initial connection request.

**Payload Size:** The number of bytes of the payload.

**Payload:** A sequence of bytes, with a format determined by the application.

In the sections that follow, we will use the following notation to indicate the different possible messages that can be sent between a client and a server (note that both Connect and Ack messages have payload values of `nil`):

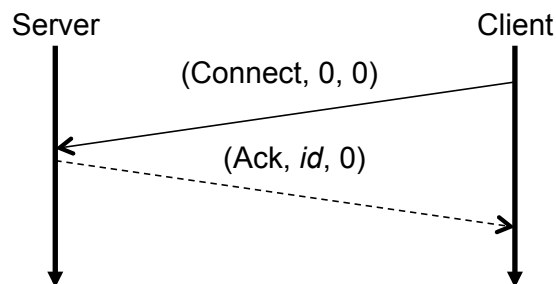
- (Connect, 0, 0): Connection request. It must have ID 0 and sequence number 0.
- (Data,  $id$ ,  $sn$ ,  $len$ ,  $D$ ): Data message with ID  $id$ , sequence number  $sn$ , payload size  $len$  and payload  $D$ .
- (Ack,  $id$ ,  $sn$ ): Ack message with ID  $id$ , and sequence number  $sn$ .

Note, when reading sections 2.1.1 and 2.1.2, assume no messages are dropped.

### 2.1.1 Establishing a connection

Before data can be sent between a client and server, **a connection must first be made**. The client initiates a connection by sending a *connection request* to the server. In response, the server generates and assigns an ID that **uniquely identifies the new client-server connection**, and sends the client an acknowledgment message containing this new ID, the sequence number 0, and a `nil` payload. Figure 1 illustrates how a connection is established.

Your server may choose any scheme for generating new connection IDs. Our implementation simply assigns IDs sequentially starting with 1.



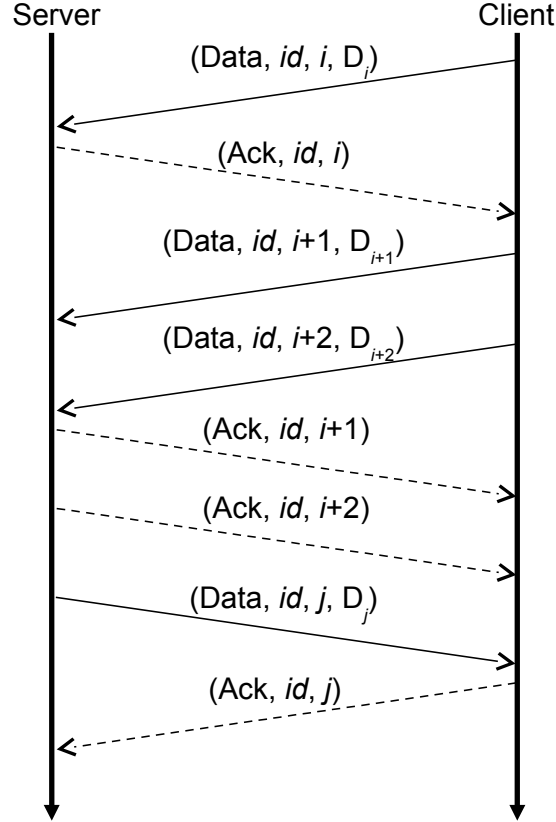
**Figure 1:** Establishing a connection. A client sends a connection request to the server, which responds to the client with an acknowledgment message containing the connection’s unique ID. The vertical lines with downward arrows denote the passage of time on both the client and the server, while the lines crossing horizontally denote messages being sent between the two.

### 2.1.2 Sending & acknowledging data

Once a connection is established, **data may be sent in both directions** (i.e. from client to server or from server to client) as sequences of discrete *data messages*. Figure 2 gives an example of a normal communication sequence between the server and a client. The figure illustrates the transmission of three data messages from the client to the server, and one data message from the server to the client. Note that all messages are acknowledged, and that the client and server maintain their own series of sequence numbers, independent of the other.

Also, note that it is entirely possible for one side to receive data messages while waiting for acknowledgments for data messages it sent—the client and server operate asynchronously, and there is no guarantee that packets arrive in the same order they are sent. However, it is still the responsibility of the client and server to *process* the received messages in order. Messages must be acknowledged when received, but processing cannot occur out of order. For example, if the server has not yet received a message with sequence number 5,

but received a message with sequence number 6, it must store message 6 until it receives message 5.



**Figure 2:** Sending & acknowledging data. Data may be sent from both the client or the server, and all data messages must be acknowledged. Size field is ignored for illustration purpose.

Like TCP, LSP includes a *sliding window protocol*. The sliding window represents an upper bound on the range of messages that can be sent without acknowledgment. This upper bound is referred to as the “window size,” which we denote with the symbol  $\omega$ . For example, if  $\omega = 1$ , every message must be acknowledged before the next message can be sent. If  $\omega = 2$ , up to two messages can be sent without acknowledgment. That is, a third message cannot be sent until the first message is acknowledged, a fourth message cannot be sent until the first and second messages are acknowledged, etc.

Note that the range of messages that are allowed to be sent without acknowledgment is fixed. If the oldest message that has not yet been acknowledged has sequence number  $n$ , then only messages with sequence numbers  $n$  through  $n + \omega - 1$  (inclusive) may be sent. Only when the oldest (leftmost) messages are acknowledged can the window slide over to

the right, possibly allowing more recent data messages to be sent.

### 2.1.3 Epoch events

Unfortunately, the basic protocol described so far is not robust. On one hand, its sequence numbers makes it possible to detect when a message has been dropped or duplicated. However, if any message—connection request, data message, or acknowledgment—gets dropped, the linkage in either one or both directions will stop working, with both sides waiting for messages from the other.

To make LSP robust, we incorporate a simple time trigger into the servers and clients. Timers fire periodically on both the clients and servers, dividing the flow of time for each into a sequence of *epochs*. We refer to the time interval between epochs as the *epoch duration*, which we denote with the symbol  $\delta$ . Our default value for  $\delta$  is 2,000 milliseconds, although this can be varied.

Epoch events ensure that the server and clients retransmit data that may have been dropped. Instead of trying to resubmit this data every single epoch however, you will be implementing an *exponential back-off* approach.

Let's define three other variables in addition to  $\delta$ .

- **EpochLimit** - the maximum amount of epochs that can elapse without a response before we declare the connection dead. We need an epoch limit in order to ensure that we eventually drop dead connections.
- **CurrentBackoff** - the amount of epochs we wait before re-transmitting data. For instance, if CurrentBackoff is 8, and we just tried to send some data but did not receive an ACK, then we will only resend the data after waiting 8 epochs. We provide some more examples below.
- **MaxBackOffInterval** - the maximum amount of epochs we wait without retrying to transmit data. As in the name, CurrentBackoff is always less than or equal to MaxBackoffInterval.

EpochLimit and MaxBackOffInterval are given as runtime parameters, but you are responsible for keeping track of the current back-off.

The key to exponential back-off is that after CurrentBackoff epochs have elapsed and we still have unacknowledged sent data, we *double* the value of CurrentBackoff (unless it is currently 0, in which case we add one).

By default, CurrentBackoff is initially 0. This means that if a server stopped receiving messages from a client at a given epoch, we would resend data to the client on the 0th, 1st,

3rd, 7th, 15th, etc. times that there is an epoch event afterwards. This is because  $(0-0) = 0$ ,  $(1-0) = 1$ ,  $(3-1) = 2$ ,  $(7-3) = 4$ ,  $(15-7) = 8$ , etc.

Put another way, consider the same case as above where the client stops receiving any messages from the server. Let  $X$  be an epoch event where the client retried, and  $O$  to be an epoch event where the client did nothing. Assume that before the very first epoch shown below, the client sent some un-ACK'd message to the server. Then we would have this pattern:

$XXOXOOXOOOOXOOOOOOOOOX...$

Again, the pattern is that we wait 0 epochs, then 1 epoch, then 2 epochs, then 4, etc., until either we **reach EpochLimit** and **terminate the connection**, or we **receive a message back**, in which case we reset the **CurrentBackoff** back to 0.

When the epoch timer fires, a client takes the following actions (if it should take any action, given the exponential back-off rules as stated above):

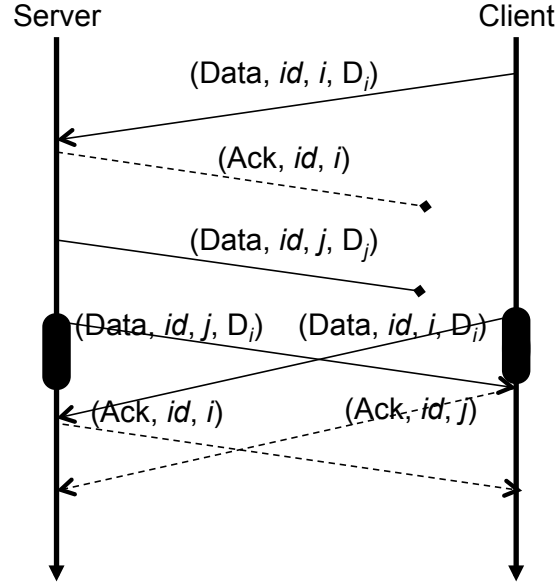
- If the client's connection request has not yet been acknowledged by the server, then **resend the connection request**.
- If the connection request has been sent and acknowledged, but **no data messages have been received**, then send an **acknowledgment with sequence number 0**.
- For each data message that **has been sent** but **not yet acknowledged**, **resend** the data message.

The server performs a similar set of actions for each of its connections (again, if it should take any action at all, given the exponential back-off rules as stated above):

- If **no data** messages have been received **from the client**, then **resend an acknowledgment** message for the client's connection request.
- For each data message that has been sent, but not yet acknowledged, resend the data message.

**IMPORTANT:** Note that we keep track of a **CurrentBackoff for each message**, not for a connection.

Figure 3 illustrates how the epoch events make up for failures by the normal communication. We show the occurrence of an epoch event as a large black oval on each time line. In this example, the client attempts to send data  $D_i$ , but the acknowledgment message gets dropped. In addition, the server attempts to send data  $D_j$ , but the data message gets



**Figure 3:** Epoch events. Both sides resend acknowledgments for the most recently received data, and (possibly) resend any unacknowledged data. Size field is ignored for illustration purpose.

dropped. When the epoch timer triggers on the client, it will send an acknowledgment of data message  $j - 1$ , the last data message received, and it will resend data  $D_i$ .

Assuming the server has an epoch event around the same time (there is no requirement that they occur simultaneously), we can see that the server will send an acknowledgment for data  $D_i$ , and it will resend data  $D_j$ .

We can see in this example that **duplicate messages can occur**: for example, the server might receive two copies of  $D_i$ . For most cases, we can **use sequence numbers** to detect any **duplications**: **Each side maintains a counter** indicating **which sequence number it expects next** and **discards any message that does not match the expected window range of sequence numbers**. One case of duplication requires special attention, however: it is possible for the client to send multiple connection requests, with one or more requests or acknowledgments being dropped. The **server** must **track the host address** and **port number** of each connection request and discard any for which that combination of host and port already has an established connection.

One feature of this epoch design is that there will be at least one message transmitted in each direction between client and server in the last `CurrentBackoff` epochs. As a final feature, we will track at the endpoint of each connection the number of epochs that have passed since a message (of any type) was received from the other end. Once this count reaches a specified `EpochLimit`, which we denote with the symbol  $K$ , we will declare that



the connection has been lost. Our implementation uses a default value of 5 for  $K$ ; thus, if nothing is received from the other end of an established connection over a total period of  $K \cdot \delta$  seconds, then the connection should be assumed lost. Note, if the epoch limit is reached when the client is attempting to establish connection with the server, then the client should close.

#### 2.1.4 Data Size

In networking, the packet length is often unknown. Sometimes the data in the packet is of variable length. Sometimes multiple pieces of variable length data are contiguous, and a size is used to skip from one piece to the next. In this project, we only worry about the first case, where there is one piece of variable length data. If the size of the data is not included, and some bytes are lost in transmission, the receiver would not be able to know of the data loss. Although in your LSP implementation, Go's *marshalling* takes care of this for us, we want to send the size before data in case the protocol is ever extended. As a basic data integrity check, if the size of the received data is shorter than the given size included in the message, the message should be rejected, i.e. it should be as if the message was dropped and the server never received the message. If the size of the data is longer than the given size, there is no "correct" behavior, but one such solution, which LSP should employ, is to simply truncate the data to the correct length.

## 2.2 LSP API

We will now describe LSP from the perspective of a Go programmer. You must implement the *exact* API discussed below to facilitate automated testing, and to ensure compatibility between different implementations of the protocol.

The LSP API can be found in the `lsp` package (included as part of the starter code). This file defines several exported structs, interfaces, and constants, and also provides detailed documentation describing the intent and expected behavior of every aspect of the API. Consult it regularly!

### 2.2.1 LSP Messages

The different LSP message types are defined as integer constants below:

```
type MessageType int

const (
```

```

    MsgConnect MsgType = iota // Connection request from client.
    MsgData                  // Data message from client or server.
    MsgAck                   // Acknowledgment from client or server.
)

```

Each LSP message consists of five fields, and is declared as a Go struct:

```

type Message struct {
    Type    MsgType // One of the message types listed above.
    ConnID  int       // Unique client-server connection ID.
    SeqNum  int       // Message sequence number.
    Size    int       // Size of the payload.
    Payload []byte    // Data message payload.
}

```

To send a Message over the network, you must first convert the structure to a UDP packet by *marshalling* it into a sequence of bytes. This can be done in Go using the Marshal function in the json package.

### 2.2.2 LSP Parameters

For both the client and the server, the API provides a mechanism to specify the epoch limit  $K$ , the epoch duration  $\delta$ , and the sliding window size  $\omega$  when a client or server is first created. These parameters are encapsulated in the following struct:

```

type Params struct {
    EpochLimit  int // Default value is 5.
    EpochMillis int // Default value is 2000.
    WindowSize  int // Default value is 1.
}

```

### 2.2.3 LSP Client API

An application calls the NewClient to set up and initiate the activities of a client. The function blocks until a connection with the server has been made, and returns a non-nil error if the connection could not be established. The function is declared as follows:

```

func NewClient(hostport string, params *Params) (Client, error)

```

The LSP client API is defined by the Client interface, which declares the methods below:

```

ConnID() int
Read() ([]byte, error)
Write(payload []byte) error
Close() error

```

The `Client` interface hides all of the details of establishing a connection, acknowledging messages, and handling epochs from the application programmer. Instead, applications simply read and write data messages to the network by calling the `Read` and `Write` methods respectively. The connection can be signaled for shutdown by calling `Close`.

A few other details are worth noting:

- `Read` should block until data has been received from the server and is ready to be returned. It should return a non-nil error if either (1) the connection has been explicitly closed, or (2) the connection has been lost due to an epoch timeout and no other messages are waiting to be returned by `Read`.
- `Write` should *not* block. It should return a non-nil error only if the connection to the server has been lost.
- `Close` should not forcefully terminate the connection, but instead should block until all pending messages to the server have been sent and acknowledged (of course, if the connection is suddenly lost during this time, the remaining pending messages should simply be discarded).
- No goroutine should be left running after `Close` has returned (this will cause our Autolab tests to hang).
- You may assume that `Read`, `Write`, and `Close` will not be called after `Close` has been called.

For detailed documentation describing the intent and expected behavior of each function and method, consult the `client_api.go` and `client_impl.go` files.

## 2.2.4 LSP Server API

The API for the server is similar to that for an LSP client, with a few minor differences. An application calls the `NewServer` to set up and initiate a LSP server. However, unlike `NewClient`, this function should *not* block. Instead, it should simply begin listening on the specified port and spawn one or more goroutines to handle things like accepting incoming client connections, triggering epoch events at fixed intervals, etc. If there is a problem

setting up the server, the function should return a non-`nil` error. The function is declared as follows:

```
func NewServer(port int, params *Params) (Server, error)
```

The LSP server API is defined by the `Server` interface, which declares the following methods:

```
Read() (int, []byte, error)
Write(connID int, payload []byte) error
CloseConn(connID int) error
Close() error
```

Similar to the `Client`, the `Server` interface allows applications to both read and write data to its clients. Note, however, that because the server can be connected to several LSP clients at once, the `Write` and `CloseConn` methods take a client's unique connection ID as an argument, indicating the connection that should be written to or that should be closed.

A few other details are worth noting:

- `Read` should block until data has been received from some client and is ready to be returned. It should return a non-`nil` error if either (1) the connection to some client has been explicitly closed, or (2) the connection to some client has been lost due to an epoch timeout and no other messages from that client are waiting to be returned by `Read`. This method should not return data from a connection that was explicitly closed by a call to `CloseConn`.
- The `Write` and `CloseConn` methods should *not* block, and should both return a non-`nil` error value only if the specified connection ID does not exist.
- `Close` should block until all pending messages to each client have been sent and acknowledged (of course, if a client that still has pending messages is suddenly lost during this time, the remaining pending messages should simply be discarded).
- No goroutine should be left running after `Close` has returned (this will cause our Autolab tests to hang).
- You may assume that after `CloseConn` has been called, neither `Write` nor `CloseConn` will be called on that same connection ID again. You may also assume that no other `Server` methods calls will be made after `Close` has been called.

For detailed documentation describing the intent and expected behavior of each function and method, consult the `server_api.go` and `server_impl.go` files.

## 2.3 Starter Code

The starter code for this project is hosted as a read-only repository on [GitHub](#). For instructions on how to build, run, test, and submit your server implementation, see the [README.md](#) file in the project's root directory. To clone a copy, execute the following [Git](#) command:

```
git clone https://github.com/CMU-440-F17/p1.git
```

Part A starter code can be found in the `p1/src/github.com/cmu440/` directory, and is organized as follows:

- The `lsp` package contains the API, tests, and the starter code you will need to complete:
  - The `client_api.go`, `server_api.go`, `message.go`, and `params.go` files collectively define the LSP API. To facilitate automated testing, you **must not** modify these files.
  - The `client_impl.go` file contains a skeletal implementation of the `Client` that you will write.
  - The `server_impl.go` file contains a skeletal implementation of the `Server` that you will write.
  - The `*_test.go` files contain the tests that we will run on Autolab to test and evaluate your final submission.
- The `lspnet` package contains all of the `UDP operations` you will need to complete this assignment. To import, use `import "github.com/cmu440/lspnet"`. You will not need and are **not permitted to use the `net` package**. Under-the-hood, the `lspnet` package provides some additional functionalities that allow us to more easily grade the robustness of your implementation.
- The `srunner` (server-runner) and `crunner` (client-runner) packages each provide simple executable programs that you may use for your own testing purposes. Instructions on how these programs can be used are posted in the project's [README.md](#) file on GitHub.

We have also provided pre-compiled executables of the `srunner` and `crunner` programs discussed above that you can use for testing. The binaries were compiled against our reference LSP implementation, so you might find them useful in the early stages of the development process (for example, if you wanted to test your `Client` implementation but

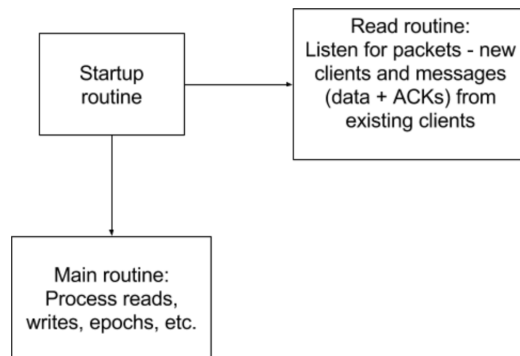
haven't finished implementing the **Server** yet, etc.). Instructions on how these programs can be used are posted in the project's [README.md](#) file on GitHub.

In addition to the starter code we provide, you may create your own utility files if you wish. For example, it might be a good idea to create a `common.go` file and use it to store code that can be shared between both your **Client** and **Server** implementations.

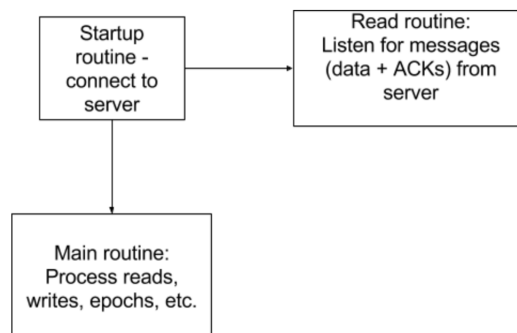
### 3 Diagrams

These diagrams are to try to help you get scared and think about how to structure your goroutines.

Server:



Client:



## 4 Checkpoint: Read/Write Server

**WARNING:** The checkpoint is *much* less work than the rest of Part A. Please plan accordingly.

Epochs are a necessary aspect of the LSP protocol due to imperfect networks: there is no way to prevent messages being dropped, we can only detect a dropped message and retransmit it. For the checkpoint, we will assume the network is perfect and **no packet loss** occurs. Therefore, **epoch events are not necessary**.

However, although packet loss will not occur, it is possible that messages will be sent out of order, and you will need to correct for that via the sliding window protocol discussed earlier.

We will only require you to pass the first 9 basic tests and 3 out of order tests, and we will not test for race conditions.

## 5 Part B: Distributed Bitcoin Miner

Coming soon!

## 6 Project Requirements

As you write your code for this project, also keep in mind the following requirements:

- The project must only be worked on individually or with your partner. You **must not** use any code that you or your partner have not written yourselves. As with all projects in this course, we will be using **Moss** to detect software plagiarism (including comparisons with student submissions from past semesters).
- Your code **must not** use locks and mutexes. All synchronization must be done using goroutines, channels, and Go's channel-based **select** statement. We strongly discourage implementing lock-like behavior using channels. **If you use lock-like behavior using channels, we will not help you debug your code.**
- You **must not** use Go's **net** package for this assignment. Instead, you should use the functions and methods in the **lspnet** package we have provided as part of the starter code for this project instead.

- Avoid using fixed-size buffers and arrays to store things that can grow arbitrarily in size. For example, **do not** use a buffered channel to store pending messages for a particular connection. Instead, use a linked list—such as the one provided by the `container/list` package—or some other data structure that can expand to an arbitrary size.
- You may assume that the UDP packets will not be corrupted, and that you do not need to check your messages for proper formatting (unless, of course, you want to defend against your own programming errors).
- You must format your code using `go fmt` and must follow Go's standard naming conventions. See the [Formatting](#) and [Names](#) sections of Effective Go for details.