

Principles of Functional Programming

Summer 2023

Contents

1	Datatypes Lab					
	1.1	Totality and Valuability				
		1.1.1 A Totally Awesome Proof				
		Task 1.1				
		Task 1.2				
	1.2	Lists and an Option				
	1.3	Inductively-Defined Datatypes				
		1.3.1 Some Built-Ins				
		1.3.2 Defining Datatypes				
	1.4	Induction on Lists				
	1.5	A List Proof				
		Task 1.3				
	1.6	Structural Induction				
		Task 1.4				
		Task 1.5				
2	Hav	ving fun is valuable!				
		Task 2.1. (Recommended)				
		Task 2.2. (Recommended)				
		Task 2.3. (Recommended)				
		Task 2.4. (Recommended)				
		Task 2.5. (Recommended)				
		Task 2.6. (Recommended)				
		Task 2.7. (Recommended)				
_						
3	To o	cite, or not to cite				
		Task 3.1. (Recommended) 10				
		Task 3.2. (Recommended)				
		Task 3.3. (Recommended) 10				
		Task 3.4. (Recommended) 11				
		Task 3.5. (Recommended) 11				
		Task 3.6. (Recommended) 1.				
4	Liet	en up!				
*	List	Task 4.1. (Recommended)				
		Task 4.2. (Recommended)				
		Task 4.3.				
		Task 4.4.				
		Task 4.5.				
		Task 4.6.				
		Task 4.7.				
		Task 4.8.				
		_ 1 th 10 _ Table				

5	Proving Totality	20
	Task 5.1.	20
6	Practice with Nats	21
	Task 6.1. (Recommended)	21
7	"Trees Are Never Sad Look At Them Every Once In Awhile They're Quite	;
	Beautiful"	
	—Jaden Smith (@jaden), 9/19/2013	22
	Task 7.1. (Recommended)	22
	Task 7.2.	23
8	Trees	2 4
	Task 8.1	24
	Task 8.2.	2.4

1 Datatypes Lab

Welcome to Datatypes Lab! We've covered quite a few concepts over the past few weeks, and some of them may be a little hard to understand. In a previous semester, a 150 TA put together this visual guide to much of what we've covered so far, and we encourage you to give it a read!

1.1 Totality and Valuability

Definition (Valuable). A well-typed expression e is *valuable* if there exists a value v such that $e \implies v$. We also say that e *evaluates to a value*.

Definition (Total). A well-typed expression e1 : t1 -> t2, for types t1 and t2, is *total* if for all values e2 : t1, the expression e1 e2 is valuable.

1.1.1 A Totally Awesome Proof

Task 1.1.

```
fun swap (x : int, y : int) : int * int = (y, x)
```

Now, we claim that for all function values $g : int \rightarrow int$ and all values x, y : int, that

$$swap (g x, g y) = (g y, g x)$$

Proof.

swap
$$(g x, g y) \cong (g y, g x)$$
 (A: definition of swap)

However, this is false! If we consider a function g such that g 0 loops forever, but g 1 raises an exception, then swap(g 0, g 1) loops forever, but (g 1, g 0) raises an exception! Where did we go wrong?

Solution 1.

We need to know that $g \times and g y$ are valuable before stepping through swap. The claim is true when we add the condition that g is total, which means that $g \times and g y$ would be valuable. Remember eager evaluation! Arguments to a function are evaluated before stepping into the function.

Task 1.2.

In a proof, what's the main purpose of citing the totality of a function?

¹Here's a totality help sheet for how to cite totality in proofs.

Solution 2.

The purpose of citing the totality of a function (e.g. f) is to help justify the valuability of an expression containing the application of said function (e.g. f x).

1.2 Lists and an Option

- An int list is either nil or cons (::) of an int and an int list
- An int option is either NONE or SOME of an int

1.3 Inductively-Defined Datatypes

Datatype declarations can inductively define datatypes with one or more constructors.

1.3.1 Some Built-Ins

There are lots of types which are built into SML. For example:

- The int list type:
- The unit type:
- The int option type:

1.3.2 Defining Datatypes

We can define our own datatypes using the datatype keyword:

1.4 Induction on Lists

Structural induction is a generalization of mathematical induction that involves inducting on the structure of a datatype in the following way:

- Base Case(s): Reason about the non-recursive constructors of the datatype (e.g. []).
- Inductive Case: Reason about the recursive constructors of the datatype (e.g. ::).

1.5 A List Proof

Task 1.3.

Let's see some totality in action.

```
Prove for all values L : int list and all values R : int list that listSum (L @ R) \cong listSum L + listSum R.
```

Proof. We will prove by structural induction.

```
Solution 3.
Base Case. listSum ([] 0 R) \cong listSum [] + listSum R
    LHS:
                                listSum ([] @ R)
                                 \cong listSum R
                                                                     (0 clause 1)
    RHS:
                        listSum [] + listSum R
                        \cong 0 + listSum R
                                                              (listSum clause 1)
                        \cong \mathtt{listSum} R
                                                        (listSum totality, math)
Induction Case. listSum ((x::xs) \otimes R) \cong listSum (x::xs) + listSum R
    Induction Hypothesis. listSum (xs @ R) \cong listSum xs + listSum R
    LHS:
              listSum ((x::xs) @ R)
              \cong listSum (x :: (xs @ R))
                                                                     (@ clause 2)
              \cong x + listSum (xs @ R)
                                                (listSum clause 2, totality of 0)
              \cong x + listSum xs + listSum R
                                                                            (IH)
    RHS:
                listSum (x::xs) + listSum R
                \cong x + listSum xs + listSum R
                                                             (listSum clause 2)
```

Note: We don't need to cite totality of :: in the first step of the LHS, since constructors are total by definition. Thus, the totality of constructors can be assumed without citation in your proofs.

Note: We will usually provide the totality of @ (or whatever function is relevant) as an additional lemma. If a lemma is not provided, you must prove the totality of the function.

1.6 Structural Induction

Structural induction inducts on the *structure* of a datatype.

Let's outline our base case, IH, and inductive case for the following theorems on this code:

Task 1.4.

```
datatype tree = Empty | Node of tree * int * tree

fun treeSum (Empty : tree) : int = 0
  | treeSum (Node (L,x,R)) = treeSum L + x + treeSum R

fun inorder (Empty : tree) : int list = []
  | inorder (Node (L,x,R)) = inorder L @ (x :: inorder R)

fun listSum ([] : int list) : int = 0
  | listSum (x :: xs) = x + listSum xs
```

We want to prove that for all values T: tree, treesum $T \cong listSum$ (inorder T).

- Base Case:
- Inductive Hypothesis:
- Inductive Case:

Solution 4.

- Base Case: Prove the theorem holds for T = Empty.
- Inductive Hypothesis: Given values L, R : tree, assume that treeSum L \cong listSum (inorder L) and treeSum R \cong listSum (inorder R).
- Inductive Case: Given an arbitrary value x : int, prove the theorem holds for T = Node (L, x, R).

Task 1.5.

```
datatype nat = Zero | Succ of nat

fun toNat (0 : int) : nat = Zero
  | toNat (x : int) : nat = Succ (toNat (x - 1))

fun toInt (Zero : nat) : int = 0
  | toInt ((Succ n) : nat) = 1 + (toInt n)

fun natAdd (Zero : nat, m : nat) : nat = m
  | natAdd ((Succ n) : nat, m : nat) = Succ (natAdd (n, m))

fun lazyAdd (n : nat, m : nat) : nat = toNat ((toInt n) + (toInt m))
```

We want to prove that for all values n, m: nat, natAdd (n, m) \cong lazyAdd (n, m). Hint: What are you inducting over?

- Base Case:
- Inductive Hypothesis:
- Inductive Case:

Solution 5.

Fix ${\tt m}$: ${\tt nat}$ as an arbitrary value.

- Base Case: Prove the theorem for n = Zero.
- Inductive Hypothesis: Given the value n': nat, assume natAdd (n', m) \cong lazyAdd (n', m).
- Inductive Case: Prove the theorem for n = Succ n'.

2 Having fun is valuable!

In this section, we will explore totality and valuability in more detail.

Let t1, t2, t3 be non-function types (e.g. string, int list, but not int -> int or int list -> int).

State whether each of the following statements are **true or false**. If you say a statement is true, give a justification. If you say a statement is false, give a counterexample.

For some of these problems, you will need to recall the fact function:

```
fun fact (0 : int) : int = 1
    | fact n = n * fact (n-1)
```

Task 2.1. (Recommended)

Let f: t1 -> t2 be total. Then for all values x: t1, f x is valuable.

Solution 1.

True - by definition of totality.

Task 2.2. (Recommended)

The function fact : int -> int is total.

Solution 2.

False - it loops forever on negative inputs.

Task 2.3. (Recommended)

Let $f : t1 \rightarrow (t2 \rightarrow t3)$ be total. Then for all values x : t1, f x is total.

Solution 3.

False - consider the function $fn x \Rightarrow fact$.

Task 2.4. (Recommended)

Let f : t1 -> t2 be a value. Then f is valuable.

Solution 4.

True - by definition of valuability.

Task 2.5. (Recommended)

The function fact : int -> int is valuable.

Solution 5.

True - functions are values!

Task 2.6. (Recommended)

 ${\tt fact}$ x is valuable for all nonnegative x : ${\tt int}$.

Solution 6.

True - since every nonnegative input terminates and reduces to a value, and by definition of valuability.

Task 2.7. (Recommended)

Let $f : t1 \rightarrow t2$ be a value. If for all values x : t1, f x is valuable, then f is total.

Solution 7.

True - by definition of totality.

3 To cite, or not to cite...

In this section, we will see examples of when and when not to use totality citations in proofs.

We've taken the following proof steps from a proof's inductive case of the following theorem:

```
For all values L : int list, mult (add L) \cong add (increase L)
```

The IH assumes the theorem holds for some value xs : int list and the IC is showing the theorem holds for x :: xs, given an arbitrary value x : int.

Here are the functions that these proof steps will be referencing:

```
fun add [] = []
  | add (x::xs) = (x + 1) :: add xs

fun mult [] = []
  | mult (x::xs) = (2 * x) :: mult xs

fun increase [] = []
  | increase (x::xs) = (2 * x + 1) :: increase xs
```

Task 3.1. (Recommended)

Does the following proof step need a totality citation? If so, what function needs to be total?

```
\label{eq:mult} \begin{array}{ll} \text{mult (add (x::xs))} \\ \cong \text{mult ((x + 1) :: add xs)} \end{array} \hspace{0.5cm} \text{(clause 2 of add)} \\ \end{array}
```

Solution 1.

No totality citation needed - We are stepping through add and we can assume its argument, x :: xs, is valuable since constructors are total by definition.

Task 3.2. (Recommended)

Does the following proof step need a totality citation? If so, what function needs to be total?

```
\label{eq:mult} \begin{array}{lll} \text{mult ((x + 1) :: add xs)} \\ \cong \text{(2 * (x + 1)) :: mult (add xs)} \end{array} \hspace{0.5cm} \text{(clause 2 of mult)}
```

Solution 2.

We need the totality of add - before stepping through mult, we need to know that (x + 1):: add xs is a value.

Task 3.3. (Recommended)

Does the following proof step need a totality citation? If so, what function needs to be total?

$$(2 * (x + 1)) :: mult (add xs)$$

 $\cong (2 * (x + 1)) :: add (increase xs)$ (IH)

Solution 3.

No totality citation needed - this step just uses the IH.

Task 3.4. (Recommended)

Does the following proof step need a totality citation? If so, what function needs to be total?

```
(2 * (x + 1)) :: add (increase xs)

\cong ((2 * x + 1) + 1) :: add (increase xs) (math)
```

Solution 4.

No totality citation needed - this step just uses math.

Task 3.5. (Recommended)

Does the following proof step need a totality citation? If so, what function needs to be total?

```
((2 * x + 1) + 1) :: add (increase xs)

\cong add ((2 * x + 1) :: increase xs) (clause 2 of add)
```

Solution 5.

We need the totality of increase - When stepping backwards through code (from definition to function), consider the totality citations that would have been needed going the other way. In this case,

```
add ((2 * x + 1) :: increase xs) \cong ((2 * x + 1) + 1) :: add (increase xs)  (clause 2 of add, totality of increase)
```

needs the totality of increase because we need to know code(2 * x + 1):: increase xs is a value before we can step through the definition of add.

Task 3.6. (Recommended)

Does the following proof step need a totality citation? If so, what function needs to be total?

```
add ((2 * x + 1) :: increase xs) \cong {\tt add \ (increase \ (x::xs))} \qquad \qquad ({\tt clause \ 2 \ of \ increase})
```

Solution 6.

No totality citation needed - To see why, once again think about totality citations for the opposite direction.

```
add (increase (x::xs)) \cong \mathtt{add} \ ((2 * x + 1) :: \mathtt{increase} \ \mathtt{xs}) \qquad (\mathtt{clause} \ 2 \ \mathtt{of} \ \mathtt{increase})
```

4 Listen up!

Define the following functions in code/lists/lists.sml.

Task 4.1. (Recommended)

In code/lists/lists.sml, write a function

```
merge : int list * int list -> int list

REQUIRES: 11 and 12 are sorted

ENSURES: merge (11,12) \implies 1 where 1 is a sorted permutation of 11 @ 12
```

where sorted means nondescending order.

```
Solution 1.

fun merge ([] : int list, L2 : int list) : int list = L2

| merge(L1, []) = L1
| merge(x::xs, y::ys) =
| if x < y
| then x::merge(xs, y::ys)
| else y::merge(x::xs, ys)

val () = Test.int_list_eq("merge 1", [], merge([],[]))
val () = Test.int_list_eq("merge 2", [1,2], merge([1,2],[]))
val () = Test.int_list_eq("merge 3", [0,1,2,3,4,5,7,9], merge
| ([1,3,5,7,9],[0,2,4]))
```

Task 4.2. (Recommended)

Define a function

```
head : int list -> int option  
REQUIRES: true  
ENSURES: head L \Longrightarrow \texttt{SOME} x if L is non-empty, where x is the first element, or NONE if L is empty
```

Task 4.3.

Prof. Brookes has decided to reward the TAs handsomely for their hard work. He wants to add a bonus \$3 to the February paycheck for each of the TAs. Write a function

```
\label{eq:credit} \begin{array}{c} \text{credit} \ : \ \text{int list} \ \ -> \ \text{int list} \\ \\ \text{REQUIRES: true} \\ \\ \text{ENSURES: credit} \ L \Longrightarrow L \, \text{' where each element is $3$ more} \end{array}
```

that takes in a list representing the amounts payable to each of the TAs for the month of February. Credit \$3 to each of the TAs and make their day!

Task 4.4.

Write a function

```
evens : int list \rightarrow int list REQUIRES: true ENSURES: evens L \Longrightarrow L' where all odd elements of a list are filtered out, but the order of the original list L is preserved
```

For example,

```
evens [0,0,4] = [0,0,4]
evens [] = []
evens [0,0,4,9,3,2] = [0,0,4,2]
```

```
Solution 4.

fun evens ([] : int list) : int list = []

| evens (x::xs) =
| case x mod 2 of
| 0 => x::evens xs
| _ => evens xs

| val () = Test.int_list_eq("evens 1", [], evens [])
| val () = Test.int_list_eq("evens 2", [], evens [1, 3, 5])
| val () = Test.int_list_eq("evens 3", [0, 2, 4], evens [0, 1, 2, 3, 4])
```

Task 4.5.

Write a function

```
lastPositive : int list -> int option REQUIRES: true ENSURES: \ lastPositive \ L \Longrightarrow SOME \ x \ where \ x \ is the {\it last} \ positive \ number \ in the \ list, if it exists, or NONE if no such x exists
```

```
Solution 5.
```

```
val () = Test.int_option("lastPositive 4", SOME 3, lastPositive [~1, 3, ~2])
val () = Test.int_option("lastPositive 5", SOME 3, lastPositive [~1, 2, 3])
```

Task 4.6.

Define a function

```
sequence : int option list -> int list option

REQUIRES: true

ENSURES: sequence L \improx NONE if L contains at least one NONE, or SOME [x1, x2, ..., xn] if L is of the form [SOME x1, SOME x2, ..., SOME xn]
```

Solution 6.

Task 4.7.

In code/lists/lists.sml, write a function

list is reached, we stop evaluating

```
bitAnd: int list * int list -> int list

REQUIRES: A and B only contain 1s and 0s

ENSURES: bitAnd (A,B) \iff 1 where 1 is a list with a logical 'and' performed on the corresponding elements of the two lists interpreting 1 as true and 0 as false. If the end of either
```

For example,

```
bitAnd ([1],[1]) = [1]
bitAnd ([1,1,0],[0,1,0]) = [0,1,0]
bitAnd ([],[]) = []
bitAnd ([1,0,1],[1,1]) = [1,0]
```

```
Solution 7.

fun bitAnd ([] : int list, _ : int list) : int list = []
| bitAnd (_ : int list, [] : int list) : int list = []
| bitAnd (x::xs : int list, y::ys : int list) : int list = (x * y)::bitAnd (xs , ys)

val () = Test.int_list_eq("bitAnd 1", [0, 0, 0, 0], bitAnd ([1, 1, 0, 1], [0, 0, 1, 0]))
val () = Test.int_list_eq("bitAnd 2", [1, 1, 0], bitAnd ([1, 1, 0], [1, 1, 0, 1]))
val () = Test.int_list_eq("bitAnd 3", [], bitAnd ([], [1, 1, 1]))
```

Task 4.8.

In code/lists/lists.sml, write a function

```
interleave : int list * int list -> int list
REQUIRES: true
```

ENSURES: interleave (A,B) \Longrightarrow 1 where 1 is a list built by alternating between the elements of A and B, until we reach the end of one of the lists, after which we take the remaining elements from the other list

For example,

```
interleave ([2],[4]) = [2,4]
interleave ([2,3],[4,5]) = [2,4,3,5]
interleave ([2,3],[4,5,6,7,8,9]) = [2,4,3,5,6,7,8,9]
interleave ([2,3],[]) = [2,3]
```

5 Proving Totality

where rev is a given function of type int list -> int list, such that, for all values L : int list, rev(L) evaluates to the reverse of list L.

Task 5.1.

Prove the following theorem by induction on the length of L:

Theorem: For all values L : int list, foo L evaluates to a value.

You may NOT make any assumptions about how rev is implemented. Instead, you may make use of the following lemmas:

Lemma 1: For all values L : int list, rev L evaluates to a value.

Lemma 2: If the value L : int list has length n, then rev L has length n.

Solution 1.

Proof. We will prove the theorem by induction on the length of L

Base Case: L has length 0, i.e. L=[]

To show: L evaluates to a value.

foo
$$[] \Longrightarrow []$$
 (defn. of foo)

Since foo (L) evaluated to a value, this case is proven.

Inductive Case: L has length k+1 for some $k \in \mathbb{N}$.

Inductive Hypothesis: foo (L') evaluates to a value for all L': int list of length k.

To Show: foo (L) evaluates to a value for all L:int list of length k+1.

Let L be a list of length k + 1. Since L has nonzero length, L is of the form x :: xs, for some x :: int and some xs :: int list, where xs has length k.

By Lemma 1, rev(xs) evaluates to a value. Call this value sx. By Lemma 2, sx has length k (since xs has length k).

Since v and x are values, x::v is a value, and we're done.

6 Practice with Nats

We've defined a datatype to represent natural numbers in code/multNats/multNats.sml:

```
datatype nat = Zero | Succ of nat
```

Zero: nat represents the number 0, while Succ Zero: nat represents the number 1, and so on

Write the function natMult, which multiplies n : nat and m : nat. Feel free to use use the natAdd function, but do not use toInt or toNat.

Task 6.1. (Recommended)

In code/multNats/multNats.sml, define the function

```
natMult : nat * nat -> nat
REQUIRES: true
ENSURES: natMult (n, m) = toNat (toInt n * toInt m)
```

For example, natMult (Succ (Succ Zero), Succ (Zero) = Succ (Succ Zero)

7 "Trees Are Never Sad Look At Them Every Once In Awhile They're Quite Beautiful"

—Jaden Smith (@jaden), 9/19/2013

We will take a look at some trees (notice, they are indeed quite beautiful).

Recall the definition of trees from lecture:

Recall from lecture that recursive functions on trees usually have the following form:

```
fun foo (Empty : tree) = ...
| foo (Node(L, x, R)) = ...
```

that is, in order to specify a function by recursion on the structure of a tree, it suffices to specify the value of the function at Empty and the value of the function at Node(L,x,R) in terms of the value of the function on L and R.

For example, recall the function size which gives the number of Nodes in a tree:

```
(* size: tree -> int
  * REQUIRES: true
  * ENSURES: size T ==>* the number of nodes in T
  *)
fun size (Empty : tree) : int = 0
  | size (Node(L, x, R)) = 1 + size L + size R
```

To practice writing tree functions, we'll have you implement the function depth which gives the max depth of a tree (the max depth of the empty tree is 0, and the max depth of a nonempty tree is the maximum depth of all the nodes in a tree):

Task 7.1. (Recommended)

In code/depth/depth.sml, define

```
depth : tree -> int REQUIRES: true ENSURES: depth T \Longrightarrow the maximum depth of T
```

For example,

```
val () = Test.int("Empty tree", 0, depth Empty)
val () = Test.int("Singleton tree", 1, depth (Node (Empty, 1, Empty)
    ))
val () = Test.int("Mini tree", 2, depth (Node (Empty, 4, Node (Empty
    , 5, Empty))))
```

```
Solution 1.
```

Task 7.2.

Now we'll write a more complex function to operate on trees.

We define the *leaves* of a tree to be nodes which have the form Node (Empty, x, Empty) for some x : int.

Define the function:

```
leaves : tree -> int list

REQUIRES: true

ENSURES: leaves T => the values at the leaves of T
```

For example,

```
val E = Empty
val T = Node (E, 3, E)
val T' = Node (Node (E, 1, E), 2, T)
val () = Test.int_list_eq("Empty tree leaves", [], leaves E)
val () = Test.int_list_eq("Singleton leaves", [3], leaves T)
val () = Test.int_list_eq("Mini tree leaves", [1, 3], leaves T')
```

Because we don't care about the order of the returned list, your solution may behave differently than the examples in that regard. You are allowed to use standard library functions, such as @.

8 Trees

Here is a function similar to the flatten function from lecture, which computes an in-order traversal of a tree:

```
fun treeToList (Empty : tree) : int list = []
  | treeToList (Node (1,x,r)) = treeToList 1 @ (x :: (treeToList r))
```

In this problem, you will define a function to mirror, or invert a tree, so that the in-order traversal of the inversion comes out backwards:

```
treeToList (invert T) \cong rev (treeToList T)
```

Task 8.1.

In code/invert/invert.sml, define the function

```
invert : tree -> tree
REQUIRES: true
ENSURES: treeToList (invert T) = rev (treeToList T)
```

For example,

```
val () = Test.general_eq("Mini tree", Node(Node(Empty,1,Empty),5,
    Node(Empty,0,Empty)), invert (Node(Node(Empty,0,Empty),5,Node(
    Empty,1,Empty)))
```

```
Solution 1.
```

Task 8.2.

Prove that invert is total.

Solution 2.

Proof. We want to show that invert is total. This means that we must show that for all values t : tree, there exists some value v : int such that invert $t \Longrightarrow v$.

Note that since our theorem uses reduction (i.e. "steps to" or \Longrightarrow), we may not use extensional equivalence (i.e. \cong), as it is a weaker statement.

```
We proceed by structural induction on t : tree.
Case (t = Empty):
To Show: invert t evaluates to a value
invert t \Longrightarrow [] by clause 1 of invert, which is a value, so we are done.
Case (t = Node(1, x, r)), for some values 1 : tree, x : int, and r : tree.
Inductive Hypothesis: Suppose that invert 1 evaluates to a value and that invert r
evaluates to a value.
To Show: invert T evaluates to a value.
       invert t \Longrightarrow invert Node (1, x, r)
                                                                     (case assumption)
                  \implies Node (invert r, x, invert 1)
                                                                 (clause 2 of invert)
                  \implies Node (r', x, 1')
                                                     (for some values r', 1' by our IH)
which is a value, and so we are done.
                                                                                    Hence by structural induction, invert is total.
```