

# **MATH 322 – Graph Theory**

## **Fall Term 2021**

### **Notes for Lecture 23**

Thursday, December 2

## A real-life problem that can be answered via Graph Theory

**Scheduling exams:** Assume that the Registrar's Office of our university wants to come up with the final exam schedule for the Fall term.

The main restriction that they have to pay attention to is that classes which have common students enrolled should not have their exams scheduled at the same time.

Otherwise, if the student rosters of two courses have an empty intersection, then the final exams of these two courses can take place concurrently (and of course it would be desirable to have multiple exams running at the same time in order to have only a few days of final exams).

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If we consider a graph with vertex set all the different courses offered in the Fall term, and we assume that there is an edge joining two courses **if and only if their student rosters have a non-empty intersection**, what kind of vertex subsets would we be interested in, that would correspond to groups of courses whose exams can run at the same time?

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**Answer.** We are trying to partition / 'break up' the vertex set (that is, the entire course roster of the Fall term) into *(as few as possible)* independent sets of vertices (that is, subsets of courses which do not have students in common).

## Such partitions will be called 'vertex colourings'

For the following definition, we will be using the set

$$\mathbb{N}_+ = \{1, 2, 3, \dots\}$$

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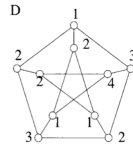
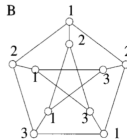
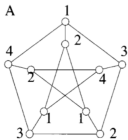
— A vertex colouring of  $G$  is called a proper (vertex) colouring if  
**no two adjacent vertices belong to the same colour class.**

In other words, if each of the colour classes is an independent set of vertices.

## 'Drawing' vertex colourings

Despite what the term suggests, not every vertex colouring has to be represented by actually colouring the vertices, even though this is always an option if it's practical (that is, if not many colours appear in the range of the colouring map).

Alternatively, we can write next to each vertex the integer it is mapped to (see following image containing different vertex colourings of the Petersen graph).

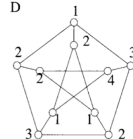
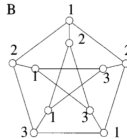
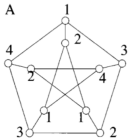


*from Wallis' book*

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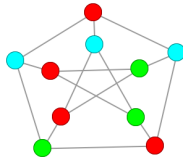
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Of course, vertex colouring B (for instance) can also be clearly conveyed in this way:



## Chromatic number of a graph

*From now on we focus almost exclusively on proper colourings of graphs.*

### Definition 1

Let  $G = (V, E)$  be a graph. A proper vertex colouring  $\xi$  of  $G$  is called an  $n$ -colouring if there are exactly  $n$  non-empty colour classes of  $\xi$ . In other words, if the range of the function  $\xi$  contains exactly  $n$  positive integers.

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$G$  will be called  $n$ -colourable if we can find a (proper)  $n$ -colouring of  $G$  (*pictorially we can think of this as follows:  $G$  is  $n$ -colourable if  $n$  colours are enough for us to find a way to colour the vertices of  $G$  so that no two adjacent vertices will end up having the same colour*).

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## Definition 2

The *chromatic number* of a graph  $G$  is equal to the **smallest** integer  $n$  for which we can find a (proper)  $n$ -colouring of  $G$ .

If  $n_0$  is this smallest integer, then we say that  $G$  is  *$n_0$ -chromatic*.

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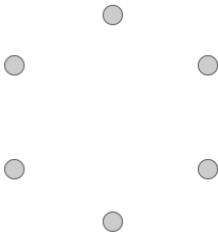
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Finally, a  $\chi(G)$ -colouring of  $G$ , that is, a proper colouring of  $G$  in  $\chi(G)$  colours, is called *minimal*.

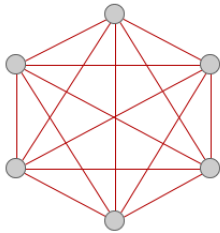


## Finding the chromatic number of different graphs

*We start with basic examples:*

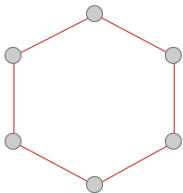


A null graph on 6 vertices

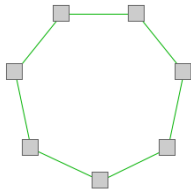


The graph  $K_6$

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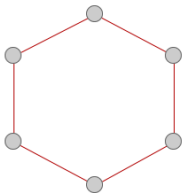


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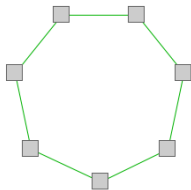


A cycle graph on 7 vertices

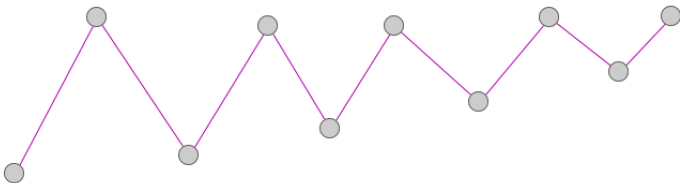
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A path on 10 vertices

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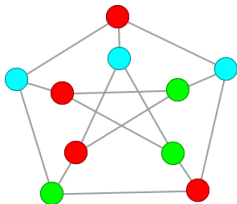
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- Every **even** cycle has chromatic number equal to 2 (why?).
- Every **odd** cycle has chromatic number equal to 3 (why?).
- If  $G$  is a graph, and  $H$  is a subgraph of  $G$ , that is,  $H \subseteq G$ , then we have that

$$\chi(H) \leq \chi(G).$$



## Chromatic number of other graphs

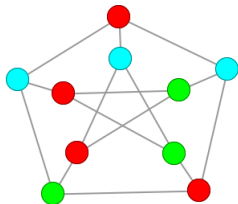
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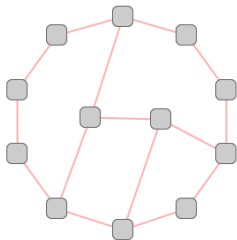
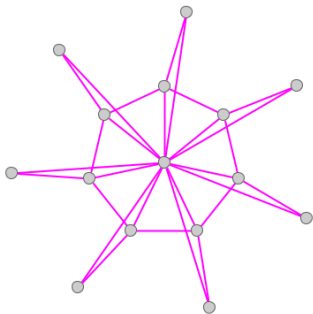


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**Question.** Can you determine precisely  $\chi(\mathcal{P})$ , and also give a justification for your answer?

## Chromatic number of other graphs

**Question.** Can you determine the chromatic number of the following graphs?



## Important Results about the Chromatic Number

### Theorem 1

For every graph  $G$ , we have that  $\chi(G) \leq \Delta(G) + 1$ , where  $\Delta(G)$  is the maximum degree of  $G$ .

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*We'll give the proof of Theorem 1 shortly.*

## Subgraphs that require many colours

### Definition

Let  $G = (V, E)$  be a graph. A clique of  $G$  is a subgraph  $H$  of  $G$  which is a complete graph on the vertices it contains.

In other words, a subgraph  $H$  of  $G$  with vertex set  $V(H) \subseteq V = V(G)$  is a clique of  $G$  if

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- A clique  $H$  of  $G$  will be called *maximal* if we cannot view  $H$  as the subgraph of a larger clique in  $G$ . That is, if we cannot find any vertex  $u$  of  $G$  outside the vertex set  $V(H)$  of  $H$  such that the induced subgraph on  $V(H) \cup \{u\}$  would again be a clique.

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  - A *maximum* clique of  $G$  is a clique of  $G$  with maximum possible order. The order of a maximum clique in  $G$  is called the clique number of  $G$ , and is denoted by  $\omega(G)$ .

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**Remark.** Given a graph  $G$ , we can think of the cliques in  $G$  and their vertex sets as being the “complementary” concept to the different independent sets of vertices in  $G$ .

## Subgraphs that require many colours (cont.)

### Theorem 3

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*Proof.* By the definition of the clique number  $\omega(G)$  of  $G$ , we can find a subgraph  $H_0$  of  $G$  which is a complete graph and has order  $\omega(G)$ .

## Subgraphs that require many colours (cont.)

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Then we know that  $\chi(H_0) = \omega(G)$ , and we also have  $\chi(H_0) \leq \chi(G)$ .  
This gives the desired conclusion.

## One more result bounding the chromatic number of a graph

### Theorem 4

For every graph  $G$  of order  $n$ , we have that

$$\frac{n}{\alpha(G)} \leq \chi(G) \leq n + 1 - \alpha(G)$$

where  $\alpha(G)$  is the independence number of  $G$ , that is, the maximum cardinality of an independent set of vertices in  $G$ .

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It follows that the cardinality of each of these classes is  $\leq$  the maximum cardinality of an independent set in  $G$ , or in other words  $|V_i| \leq \alpha(G)$  for all  $i \in \{1, 2, \dots, \chi(G)\}$ .

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At the same time, the collection  $\{V_1, V_2, \dots, V_{\chi(G)}\}$  is a partition of the vertex set of  $G$  (that is, each vertex of  $G$  is contained in some colour class, and any two different colour classes have no common vertices).

Thus,

$$\begin{aligned} n = |V(G)| &= |V_1| + |V_2| + \dots + |V_{\chi(G)}| \\ &\leq \alpha(G) + \alpha(G) + \dots + \alpha(G) = \alpha(G) \cdot \chi(G), \end{aligned}$$

or more simply  $n \leq \alpha(G) \cdot \chi(G)$ , which is equivalent to the bound we wanted.

## Proof of Theorem 4 (cont.)

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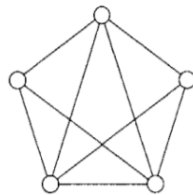
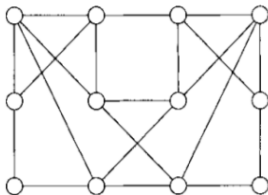
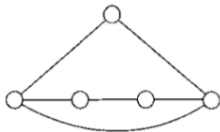
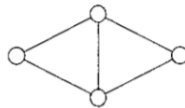
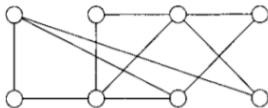
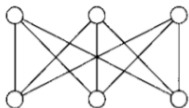
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In the end, we have found a proper colouring of  $G$  which uses  $(n - \alpha(G)) + 1 = n + 1 - \alpha(G)$  colours. Thus  $\chi(G) \leq n + 1 - \alpha(G)$ .

## Vertex colouring in some examples

**Practice Exercise.** For each of the following graphs, find its chromatic number, and also give a minimal colouring.



from Wallis' book

## Back to Theorem 1

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In fact, since we always return to the smallest integer / colour we can use, and given that, no matter what the vertex  $v_{i+1}$  is, its already coloured neighbours cannot be more than all the neighbours of  $v_{i+1}$ , which shows that the  $s$  colours used for the already coloured neighbours cannot be more than  $\deg(v_{i+1}) \leq \Delta(G)$ , we can conclude that we will always be able to choose a colour from the set

$$\{1, 2, \dots, \Delta(G), \Delta(G) + 1\} \setminus \{c_1, c_2, \dots, c_s\}.$$

(and to systematise the choice here, we might as well choose the **first available colour** from this set).

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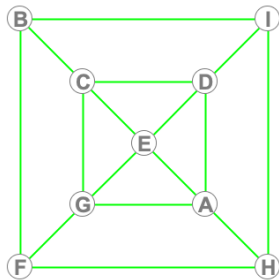
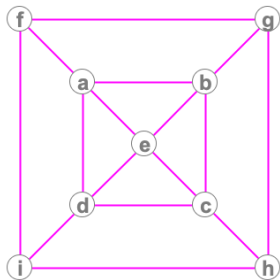
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In particular, some of the orderings are much preferable than others because they will lead to a minimal colouring. However, figuring out which orderings are optimal is at least as hard as coming up with a minimal colouring.



## Applying the algorithm to an example

Apply the greedy colouring algorithm to the following two labellings of the given graph to find proper colourings of the graph (consider an alphabetical ordering of the vertices).



## One more result about chromatic numbers

### Proposition 1

Let  $G$  be a graph that **does not contain any odd cycles**.

Then  $\chi(G) \leq 2$ . More specifically,  $\chi(G) = 1$  if  $G$  is a null graph (that is, if it contains no edges), otherwise  $\chi(G) = 2$ .

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**Question.** Could we now find precisely the chromatic number of any given tree?