# 数据库开发学习资料

# 必读课程

- CMU Database Systems (15-445/645), Andy Pavlo 的数据库入门课程
- CMU Advanced Database Systems (15-721), Andy Pavlo 的数据库进阶课程
  - 课程视频均在 YouTube 上: https://www.youtube.com/@CMUDatabaseGroup
  - 课程的配套实验代码: https://github.com/cmu-db/bustub
- 伯克利大学 Introduction to Database Systems
- 斯坦福大学 Database System Implementation
- 康纳尔大学 Introduction to Database Systems
- 斯坦福大学 MIT.6824 分布式系统

# 实战教程

- Let's Build A Simple Database,构建一个极简的数据库, C 语言实现
- PingCAP TinyKV,构建一个分布式 KV 存储系统,Go 语言实现
- PingCAP TinySQL,构建一个分布式数据库,Go 语言实现
- 从零开始写时序数据库, Go 语言实现
- OceanBase 的 miniob 教程, C++ 实现
- 200 行代码实现 Paxos KV 存储, Go 语言实现
- 关系型数据库从 0 到 1, 基于 Java 的简易数据库
- 从零实现极简的 bitcask KV 存储引擎, Go 语言实现
- mini-lsm, 迷你 LSM Tree 存储引擎, Rust 语言实现
- go-sqldb, Go 语言实现的简单的关系型数据库
- NYADB2, Go 语言实现的简单数据库, 用于学习
- nessDB, 事务型 KV 存储, 基于 Fractal-Tree, C 语言实现

# 书籍

- 斯坦福大学数据库教程: Database Systems: The Complete Book
- 数据密集型应用系统设计(DDIA)
- 数据库系统内幕
- Foundations of Databases
- Readings in Database Systems, 5th Edition
- Database Design and Implementation: Second Edition (Data–Centric Systems and Applications)

- · Principles of Distributed Database Systems, 4th ed
- Inside SQLite, SQLite 内幕
- Architecture of a Database System, 数据库系统架构
- Relational Database Index Design and the Optimizers
- Transactional Information Systems

# 博客/专栏

- 分布式和存储的那些事
- CatKang 的博客
- CodingHusky 的博客
- Codedump 的网络日志
- 数据库内核月报
- db-readings, 关于数据库的一些论文
- PostgreSQL 内核系列文章
- PingCAP 官方博客
- 数据库内核杂谈
- 木鸟杂记
- 虎哥的博客
- 数据系统论文阅读小组
- Presto 专栏
- ClickHouse 分享 PPT
- PostgreSQL 数据库学习

# SQL 简介

- CMU 数据库课程 Database Systems (15-445/645)
  - Course Introduction and the Relational Model
  - Advanced SQL
- UC Berkeley 数据库课程 Introduction to Database Systems
  - Introduction + SQL I
  - ∘ SQL II
  - Relational Algebra
- SQL Overview, learn SQL 网站
- SQL 语法教程, w3schools 教程

### 关系模型

### 博客

- What is a relational database, by Oracle
- https://www.ibm.com/topics/relational-databases, by IBM
- https://careerkarma.com/blog/relational-database
- · Relation Model in DBMS, by Geeks for Geeks
- ER Model to Relation Model

# 维基百科

• 什么是关系型数据库

# 优化器

### 课程

- CMU Database Systems (15-445/645)
  - Query Planning & Optimization I
  - Query Planning & Optimization II

### 博客

- 数据库内核杂谈
  - 数据库内核杂谈(七):数据库优化器(上)
  - 数据库内核杂谈(八):数据库优化器(下)
  - 数据库内核杂谈(九): 开源优化器 ORCA
- SQL优化器原理 查询优化器综述
- 深入浅出查询优化器
- 学习数据库优化器如何入手, 知乎 henry liang
- 优化器技术论文学习

# **Planner Models**

# 博客

- 数据库内核杂谈
- SQL 查询优化原理与 Volcano Optimizer 介绍, by 张茄子
- Cascades Optimizer

- 1979, Access Path Selection in a Relational Database Management System, SIGMOD
- 1979, Query Processing in Main Memory Database Management Systems, VLDB

- 1987, Query Optimization by Simulated Annealing, SIGMOD
- 1988, Grammar-like Functional Rules for Representing Query Optimization Alternatives, SIGMOD
- 1993, The Volcano Optimizer Generator
  – Extensibility and Efficient Search, ICDE
- 1995, The Cascades Framework for Query Optimization, IEEE Data engineering Bulltin
- 1998, An Overview of Query Optimization in Relational Systems, PODS
- 2001, LEO DB2's LEarning Optimizer, VLDB
- 2004, Robust Query Processing through Progressive Optimization, SIGMOD
- 2014, Orca: A Modular Query Optimizer Architecture for Big Data, SIGMOD
- 2016, Parallelizing Query Optimization on Shared-Nothing Architectures, VLDB
- 2016, The MemSQL Query Optimizer: A modern optimizer for real-time analytics in a distributed database, VLDB

## **Subquery Optimization**

### 博客

- SQL 子查询的优化, by Eric Fu
- Calcite 子查询处理 I (RemoveSubQuery), by 一只无情的小猫咪
- Calcite 子查询处理 II (Decorrelate), by 一只无情的小猫咪

#### 论文

- 2001, Orthogonal Optimization of Subqueries and Aggregation, SIGMOD
- 2009, Enhanced subquery optimizations in Oracle, VLDB
- 2015, Unnesting Arbitrary Queries, BTW

# Join Order Optimization

# 论文

- 2006, Analysis of Two Existing and One New Dynamic Programming Algorithm for the Generation of Optimal Bushy Join Trees without Cross Products, VLDB
- 2015, How Good Are Query Optimizers, Really?, VLDB
- 2018, Adaptive Optimization of Very Large Join Queries, SIGMOD

# Functional Dependency & Physical Properties

- 2000, Exploiting Functional Dependence in Query Optimization
- 1996, Fundamental Techniques for Order Optimization, SIGMOD

- 2004, An Efficient Framework for Order Optimization, ICDE
- 2010, Incorporating Partitioning and Parallel Plans into the SCOPE Optimizer, ICDE

# Cost Model

# 论文

- 1996, Modelling Costs for a MM-DBMS, in Real-Time Databases
- 2014, Approximation Schemes for Many-Objective Query Optimization, SIGMOD
- 2015, Multi-Objective Parametric Query Optimization, VLDB

# **Statistics**

- 1984, Accurate Estimation of the Number of Tuples Satisfying a Condition, SIGMOD
- 1993, Optimal Histograms for Limiting Worst–Case Error Propagation in the Size of Join Results, ACM Trans. on Database Systems
- 1993, Universality of Serial Histograms, VLDB
- 1995, Balancing Histogram Optimality and Practicality for Query Result Size Estimation, SIGMOD
- 1996, Improved Histograms for Selectivity Estimation of Range Predicates, SIGMOD
- 1997, SEEKing the truth about ad hoc join costs, VLDB
- 2000, Towards Estimation Error Guarantees for Distinct Values, SIGMOD/PODS
- 2001, Distinct Sampling for Highly–Accurate Answers to Distinct Values Queries and Event Reports, VLDB
- 2003, The History of Histograms, VLDB
- 2005, An Improved Data Stream Summary: The Count–Min Sketch and its Applications, Journal of Algorithms
- 2007, New Estimation Algorithms for Streaming Data: Count–min Can Do More
- 2009, Preventing Bad Plans by Bounding the Impact of Cardinality Estimation Errors, VLDB
- 2010, Histograms Reloaded: The Merits of Bucket Diversity, SIGMOD
- 2014, Exploiting Ordered Dictionaries to Efficiently Construct Histograms with Q–Error Guarantees in SAP HANA, SIGMOD
- 2017, Adaptive Statistics in Oracle 12c, VLDB
- 2019, Pessimistic Cardinality Estimation: Tighter Upper Bounds for Intermediate Join Cardinalities, SIGMOD
- 2019, Deep Unsupervised Cardinality Estimation, VLDB
- 2020, NeuroCard: One Cardinality Estimator for All Tables, VLDB

#### 书籍

• Synopses for Massive Data: Samples, Histograms, Wavelets, Sketches

# 执行引擎

# 课程

- CMU 数据库 Introduction to Database Systems (15-445/645), by Andy Pavlo
  - Query Execution I
  - Query Execution II

# **Execution Framework**

### 博客

- 数据库查询引擎的进化之路, OceanBase
- 物理执行引擎之火山引擎

#### 论文

- 1994, Volcano-An Extensible and Parallel Query Evaluation System, IEEE Transactions on Knowledge and Data EngineeringFebruary
- 2014, Morsel-Driven Parallelism: A NUMA-Aware Query Evaluation Framework for the Many-Core Age, SIGMOD

# **Vectorization vs Compilation**

#### 博客

- Overhead of a Generalized Query Execution Engine, from The Pivotal Engineering Journal 论文
- 2005, MonetDB/X100: Hyper-Pipelining Query Execution, CIDR
- 2011, Efficiently Compiling Efficient Query Plans for Modern Hardware, VLDB
- 2017, Relaxed Operator Fusion for In–Memory Databases: Making Compilation, Vectorization, and Prefetching Work Together At Last, VLDB
- 2018, Everything You Always Wanted to Know About Compiled and Vectorized Queries But Were Afraid to Ask, VLDB
- 2018, Adaptive Execution of Compiled Queries, ICDE

# Join

- 2013, Multi-Core, Main-Memory Joins: Sort vs. Hash Revisited, VLDB
- 2017, Looking Ahead Makes Query Plans Robust, VLDB

#### Hash Table

#### 课程

- CMU Database Systems (15–445/645), by Andy Pavlo
  - Hash Tables

# 博客

- Fibonacci Hashing: The Optimization that the World Forgot (or: a Better Alternative to Integer Modulo), by Malte Skarupke
- All hash table sizes you will ever need, by Database Architects Thomas Neumann

# **Bloom Filter**

# 论文

2018, SuRF: Practical Range Query Filtering with Fast Succinct Tries, SIGMOD

# 事务

#### 隔离级别

#### 博客

- 一致性模型, by siddontang
- Understanding Isolation Levels in a Database Transaction
- 浅析数据库事务的隔离性
- MySQL 的事务隔离级别和实现原理
- 数据库内核杂谈, by 顾仲贤
  - 事务、隔离、并发(1)
  - 事务、隔离、并发(2)
  - 事务、隔离、并发(3)

- 1995, A Critique of ANSI SQL Isolation Levels, SIGMOD
- 2000, Generalized Isolation Level Definitions, Proceedings of 16th International Conference on Data Engineering

#### 并发控制

# 课程

- CMU 数据库 Database Systems (15-445/645), by Andy Pavlo
  - Concurrency Control Theory
  - Two-Phase Locking Concurrency Control
  - Timestamp Ordering Concurrency Control
  - Multi-Version Concurrency Control
- CMU 数据库进阶 Advanced Database Systems (15-721), by Andy Pavlo
  - Multi-Version Concurrency Control (Design Decisions)
  - Multi-Version Concurrency Control (Protocols)
  - Multi-Version Concurrency Control (Garbage Collection)

# 论文

- 1976, The Notions of Consistency and Predicate Locks in a Database System, Communications of the ACM
- 1981, Concurrency Control in Distributed Database Systems, ACM Computing Surveys
- 1981, On Optimistic Methods for Concurrency Control, ACM Transactions on Database Systems
- 1983, Multiversion Concurrency Control Theory and Algorithms, ACM Transactions on Database Systems
- 2012, Serializable Snapshot Isolation in PostgreSQL, VLDB
- 2012, Calvin: Fast Distributed Transactions for Partitioned Database Systems, SIGMOD
- 2014, MaaT: effective and scalable coordination of distributed transactions in the cloud, VLDB
- 2014, Staring into the Abyss: An Evaluation of Concurrency Control with One Thousand Cores, VLDB
- 2014, An Evaluation of the Advantages and Disadvantages of Deterministic Database Systems, VLDB
- 2015, Fast Serializable Multi-Version Concurrency Control for Main-Memory Database Systems, SIGMOD
- 2017, An Empirical Evaluation of In–Memory Multi–Version Concurrency Control, VLDB
- 2017, An Evaluation of Distributed Concurrency Control, VLDB
- 2019, Scalable Garbage Collection for In-Memory MVCC Systems, VLDB

#### 网络

#### 课程

- CMU 数据库进阶 Advanced Database Systems (15-721), by Andy Pavlo
  - Networking Protocols

# 论文

- 2016, The End of Slow Networks: It's Time for a Redesign, VLDB
- 2016, Accelerating Relational Databases by Leveraging Remote Memory and RDMA, SIGMOD
- 2017, Don't Hold My Data Hostage: A Case for Client Protocol Redesign, VLDB

## 存储

#### NoSQL 系统

#### 书籍

• NoSQL 精粹

#### 博客

What is NoSQL database?

# 论文

- 2006, Bigtable: A Distributed Storage System for Structured Data, OSDI
- 2007, Dynamo: Amazon's Highly Available Key-value Store, SOSP
- 2008, PNUTS: Yahoo!'s Hosted Data Serving Platform, VLDB
- 2010, Cassandra A Decentralized Structured Storage System, SOSP
- 2019, PNUTS to Sherpa: Lessons from Yahoo!'s Cloud Database, VLDB

# Buffer 管理

#### 课程

- CMU 数据库 Database Systems (15-445/645), by Andy Pavlo
  - Buffer Pools

- 1987, The 5 Minute Rule for Trading Memory for Disc Accesses and the 5 Byte Rule for Trading Memory for CPU Time, SIGMOD
- 2008, The Five Minute Rule 20 Years Later and How Flash Memory Changes the Rules, ACM Queue
- 2018, Managing Non-Volatile Memory in Database Systems, SIGMOD
- 2018, LeanStore: In-Memory Data Management Beyond Main Memory, ICDE
- 2020, Umbra: A Disk-Based System with In-Memory Performance, CIDR

#### 磁盘IO

### 博客

- On Disk IO, Part 1: Flavors of IO, thanks to Alex
- On Disk IO, Part 2: More Flavours of IO, thanks to Alex
- On Disk IO, Part 3: LSM Trees, thanks to Alex
- On Disk IO, Part 4: B-Trees and RUM Conjecture, thanks to Alex
- On Disk IO, Part 5: Access Patterns in LSM Trees, thanks to Alex
- Ensuring data reaches disk(LWN)
- Read, write & space amplification pick 2, thanks to Mark Callaghan

#### 论文

- 2016, Design Tradeoffs of Data Access Methods, SIGMOD
- 2016, Designing Access Methods: The RUM Conjecture, EDBT

## B+ 树

## 博客

- B树、B+树索引算法原理(上) by codedump
- B树、B+树索引算法原理(下)
- B-link Tree: 一种 B+Tree 的并发优化
- B+ Tree 的并发优化

#### 课程

- CMU Database Systems (15–445/645), by Andy Pavlo
  - Trees Indexes I
  - Trees Indexes II
- CMU Advanced Database Systems (15–721), by Andy Pavlo
  - OLTP Indexes (B+Tree Data Structures)

#### 论文

• 1979, The Ubiquitous B-Tree

#### 项目

- boltdb
- sqlite

#### LSM Tree

#### 博客

- LSM-Tree 设计
- 浅析 LSM Tree (WiscKey, Bourbon、Rum)
- LeveIDB 源码剖析

## 论文

- 1996, The Log-Structured Merge-Tree (LSM-Tree),
- 2014, A Comparison of Fractal Trees to Log-Structured Merge (LSM) Trees
- 2017, WiscKey: Separating Keys from Values in SSD-conscious Storage, TOS
- 2019, LSM-based Storage Techniques: A Survey

# 项目

- leveldb
- rocksdb
- goleveldb
- pebble
- badger

# 数据分区

#### 博客

- TiDB Internal (I) Data Storage
- Partitioning Behavior of DynamoDB, by Parth Modi

#### 论文

• 2007, Dynamo: Amazon's Highly Available Key-value Store, SOSP

# 复制/一致性

### 博客

- Tick or Tock? Keeping Time and Order in Distributed Databases, by Liu Tang
- Raft 算法

#### 论文

- 2012, Consistency Tradeoffs in Modern Distributed Database System Design
- 2020, Strong and Efficient Consistency with Consistency-Aware Durability, FAST 2020

# 基准测试

博客

- Use go-ycsb to benchmark different databases (1), by siddontang
- Chaos Tools and Techniques for Testing the TiDB Distributed NewSQL Database, by Liu Tang
- Creating Custom Sysbench Scripts, by Matthew Boehm

#### Papers:

• 2010, Benchmarking Cloud Serving Systems with YCSB, SOCC

#### **HTAP**

#### 博客

- What is HTAP?, single store
- HTAP: HYBRID TRANSACTIONAL AND ANALYTICAL PROCESSING
- Making An HTAP Database Reality

# 论文

- TiDB: A Raft-based HTAP Database
- Hybrid Transactional/Analytical Processing: A Survey

# 其他

- 数据库排名 DB-ranking: https://db-engines.com/en/ranking
- CNCF-Database 全景图: https://landscape.cncf.io/card-mode?category=database
- dbdb.io: 各类数据库大汇总: https://dbdb.io/
- 数据库社区: 墨天轮