

#### 软件理论基础与实践

#### Imp: Simple Imperative Programs

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#### 课程进展



- Coq √
- 数理逻辑基础 √
- •形式语义
- 类型系统

#### 高可信软件验证



- Coq要求递归为(Coq能分析出来的)结构递归
- 大量程序根本无法用Coq写出
- •如何采用Coq进行高可信软件验证?
- 首先在Coq中定义出一个程序设计语言
  - 该语言的程序是符合某种自定义类型的数据,不受 Coq递归的限制
  - 需要定义语法
- 然后论证该语言对应程序的性质
  - 需要定义语义





• 定义一个命令式程序设计语言IMP

```
Z := X;
Y := 1;
while \sim (Z = 0) do
Y := Y \times Z;
Z := Z - 1
end
```

• 先从定义语言的表达式开始

#### 定义语法



• 语法定义通常采用上下文无关文法并写作BNF形式

```
a := nat
  | a + a
  | a - a
   a X a
b := true
  | false
   a = a
  | a ≠ a
  | a ≤ a
   a > a
   ¬b
    b & & b
```

#### 定义语法



• 上下文无关文法记录为Coq的归纳定义

```
a := nat
  | a + a
 | a - a
  l a X a
b := true
  false
  a = a
  | a ≠ a
  | a ≤ a
   a > a
   ¬b
  b & & b
```

```
Inductive aexp : Type :=
  ANum (n : nat)
  APlus (a1 a2 : aexp)
  AMinus (a1 a2 : aexp)
  AMult (a1 a2 : aexp).
Inductive bexp : Type :=
   BTrue
   BFalse
   BEq (a1 a2 : aexp)
   BNeq (a1 a2 : aexp)
   BLe (a1 a2 : aexp)
   BGt (a1 a2 : aexp)
   BNot (b : bexp)
    BAnd (b1 b2 : bexp).
```

#### 形式语义



- 操作语义
  - 将程序元素解释为计算步骤
- 指称语义
  - 将程序元素解释为数学中严格定义的对象,通常为函数
- 操作语义 vs 指称语义
  - 在现代程序语义学的教材中,二者通常是等价的,只是惯用符号不同
  - 操作语义常采用逻辑推导规则描述,指称语义常采用集合 定义描述
  - 本书采用集合定义的方式描述了自称为操作语义的语义
- 公理语义
  - 将程序元素解释为前条件和后条件,并可用霍尔逻辑推导
  - 将在《Programming Language Foundations》部分介绍

#### 语义建模成函数

```
Fixpoint aeval (a : aexp) : nat :=
 match a with
  ANum n => n
  | APlus a1 a2 => (aeval a1) + (aeval a2)
 \mid AMinus a1 a2 => (aeval a1) - (aeval a2)
  | AMult a1 a2 => (aeval a1) * (aeval a2)
 end.
Fixpoint beval (b : bexp) : bool :=
 match b with
  BTrue => true
  BFalse => false
  BNeq a1 a2 => negb ((aeval a1) =? (aeval a2))
  BLe a1 a2 => (aeval a1) <=? (aeval a2)
  BGt a1 a2 => negb ((aeval a1) <=? (aeval a2))</pre>
  BNot b1 => negb (beval b1)
   BAnd b1 b2 => andb (beval b1) (beval b2)
 end.
```

## 优化是从程序到程序的映射



```
Fixpoint optimize_Oplus (a:aexp) : aexp :=
  match a with
  | ANum n => ANum n
  | APlus (ANum 0) e2 => optimize_Oplus e2
  | APlus e1 e2 => APlus (optimize_Oplus e1) (optimize_Oplus e2)
  | AMinus e1 e2 => AMinus (optimize_Oplus e1) (optimize_Oplus e2)
  | AMult e1 e2 => AMult (optimize_Oplus e1) (optimize_Oplus e2)
  end.
```

这种基于程序结构的翻译过程常被称为"语法制导的翻译"

#### 优化的正确性



```
Theorem optimize Oplus sound: forall a,
  aeval (optimize Oplus a) = aeval a.
Proof.
  intros a. induction a.
  - (* ANum *) reflexivity.
  - (* APlus *) destruct a1 eqn:Ea1.
    + (* a1 = ANum n *) destruct n eqn:En.
      * (* n = 0 *) simpl. apply IHa2.
      * (* n <> 0 *) simpl. rewrite IHa2. reflexivity.
    + (* a1 = APlus a1_1 a1_2 *)
      simpl. simpl in IHa1. rewrite IHa1. rewrite IHa2. reflexivity.
    + (* a1 = AMinus a1 1 a1 2 *)
      simpl. simpl in IHa1. rewrite IHa1. rewrite IHa2. reflexivity.
    + (* a1 = AMult a1_1 a1_2 *)
      simpl. simpl in IHa1. rewrite IHa1. rewrite IHa2. reflexivity.
  - (* AMinus *)
    simpl. rewrite IHa1. rewrite IHa2. reflexivity.
  - (* AMult *)
   16 impl. rewrite IHa1. rewrite IHa2. reflexivity. Qed.
```

#### 优化的正确性



```
Theorem optimize Oplus sound: forall a,
  aeval (optimize_0plus a) = aeval a.
Proof.
  intros a. induction a.
  - (* ANum *) reflexivity.
  - (* APlus *) destruct a1 eqn:Ea1.
   + (* a1 = ANum n *) destruct n eqn:En.
     * (*_{n = 0} *) simpl. apply IHa2.
              需要减少定理证明中的重复
   + (* a
    simp
                                                       reflexivity.
   + (* a
     simpl. simpl in IHa1. rewrite IHa1. rewrite IHa2. reflexivity.
   + (* a1 = AMult a1_1 a1_2 *)
     simpl. simpl in IHa1. rewrite IHa1. rewrite IHa2. reflexivity.
  - (* AMinus *)
   simpl. rewrite IHa1. rewrite IHa2. reflexivity.
  - (* AMult *)
   isimpl. rewrite IHa1. rewrite IHa2. reflexivity. Qed.
```

#### try策略



```
Theorem silly1 : forall ae, aeval ae = aeval ae.
Proof. try reflexivity. (* This just does [reflexivity]. *) Qed.

Theorem silly2 : forall (P : Prop), P -> P.
Proof.
  intros P HP.
  try reflexivity. (* Just [reflexivity] would have failed. *)
  apply HP. (* We can still finish the proof in some other way. *)
Qed.
```

try T会应用T,如果T失败,就当什么都没发生

#### 分号策略



T;T'首先应用T,然后将T'应用到所有T产生的子目标上。

```
Lemma foo : forall n, 0 <=? n = true.
Proof.
  intros.
  destruct n.
    - (* n=0 *) simpl. reflexivity.
    - (* n=S n' *) simpl. reflexivity.
Qed.</pre>
```

```
Lemma foo' : forall n, 0 <=? n = true.
Proof.
  intros.
  destruct n;
  simpl;
  reflexivity.
Qed.</pre>
```





```
Theorem optimize_Oplus_sound'': forall a,
  aeval (optimize Oplus a) = aeval a.
Proof.
  intros a.
  induction a;
    (* Most cases follow directly by the IH *)
    try (simpl; rewrite IHa1; rewrite IHa2; reflexivity);
    (* ... or are immediate by definition *)
   try reflexivity.
  (* The interesting case is when a = APlus a1 a2. *)
  - (* APlus *)
    destruct a1; try (simpl; simpl in IHa1; rewrite IHa1;
                      rewrite IHa2; reflexivity).
    + (* a1 = ANum n *) destruct n;
      simpl; rewrite IHa2; reflexivity. Qed.
```

#### 分号策略的通用形式



- T; [T1 | T2 | ... | Tn]
  - 首先应用T,然后把T1..Tn分别应用到T生成的子目标 上
- T;T'是T; [T' | T' | ... | T']的简写形式

#### repeat策略



#### repeat T反复应用T直到失败

```
Theorem In10 : In 10 [1;2;3;4;5;6;7;8;9;10].
Proof.
  repeat (try (left; reflexivity); right).
Qed.
```

```
Theorem repeat_loop : forall (m n : nat),
    m + n = n + m.

Proof.
    intros m n.
    repeat rewrite Nat.add_comm.
    (* 死机 *)
```

#### 定义简单的策略



• 用Tactic Notation可以定义简单的策略

```
Tactic Notation "invert" hyp(H) :=
  inversion H;
  subst;
  clear H.
```

• 更复杂的策略可以用Ltac定义

```
Ltac invert H := inversion H; subst; clear H.
```

- 后续课程中会涉及部分Ltac定义,但证明策略不是本课程内容
  - 详细学习《Certified Programming with dependent types》

#### lia策略



• 可用于快速证明线性表达式定理

```
Example silly_presburger_example : forall m n o p,
    m + n <= n + o /\ o + 3 = p + 3 ->
    m <= p.
Proof.
intros. lia.
Qed.</pre>
```

```
Example plus_comm__omega : forall m n,
    m + n = n + m.
Proof.
   intros. lia.
Qed.
```

• 如果原命题无法被证明或证明失败(无法区分二者),则策略应用失败

#### 其他一些策略



- clear H: 删除假设H
- subst x: 如果存在x=e或者e=x的假设,则删除该假设并把x替换成e
- subst: 对所有变量应用上述策略
- rename... into...: 对变量/假设改名
- assumption: 寻找和目标一样的假设并应用
- contradiction: 寻找和False等价的假设并推出矛盾
- constructor: 寻找一个可以匹配目标的归纳定义 构造函数c, 并执行apply c。

#### 语义建模成函数的问题



• Coq中的函数必须是全函数

```
Inductive aexp : Type :=
    | ANum (n : nat)
    | APlus (a1 a2 : aexp)
    | AMinus (a1 a2 : aexp)
    | AMult (a1 a2 : aexp)
    | ADiv (a1 a2 : aexp).
```

- Coq中的函数必须在Coq编译器的分析能力内能 终止
  - while(true);
  - while  $(n^5 + n = 34)$  n++;

#### 语义建模成关系



```
Inductive aevalR : aexp -> nat -> Prop :=
  | E ANum (n : nat) :
      aevalR (ANum n) n
  | E APlus (e1 e2 : aexp) (n1 n2 : nat) :
      aevalR e1 n1 ->
      aevalR e2 n2 ->
      aevalR (APlus e1 e2) (n1 + n2)
  | E AMinus (e1 e2 : aexp) (n1 n2 : nat) :
      aevalR e1 n1 ->
      aevalR e2 n2 ->
      aevalR (AMinus e1 e2) (n1 - n2)
  | E AMult (e1 e2 : aexp) (n1 n2 : nat) :
      aevalR e1 n1 ->
      aevalR e2 n2 ->
      aevalR (AMult e1 e2) (n1 * n2).
```

### 

```
TI VIII
```

```
Reserved Notation "e '==>' n" (at level 90, left associativity).
Inductive aevalR : aexp -> nat -> Prop :=
  | E_ANum (n : nat) :
     (ANum n) ==> n
  | E_APlus (e1 e2 : aexp) (n1 n2 : nat) :
      (e1 => n1) -> (e2 ==> n2) -> (APlus e1 e2) ==> (n1 + n2)
  | E AMinus (e1 e2 : aexp) (n1 n2 : nat) :
      (e1 ==> n1) -> (e2 ==> n2) -> (AMinus e1 e2) ==> (n1 - n2)
  | E_AMult (e1 e2 : aexp) (n1 n2 : nat) :
      (e1 => n1) -> (e2 => n2) -> (AMult e1 e2) ==> (n1 * n2)
  | E ADiv (a1 a2 : aexp) (n1 n2 n3 : nat) :
      (a1 ==> n1) -> (a2 ==> n2) -> (n2 > 0) ->
      (mult n2 n3 = n1) -> (ADiv a1 a2) ==> n3
  where "e '==>' n" := (aevalR e n) : type scope.
```

# 语义建模成关系(推导规则)



 $\overline{ANum n \Rightarrow n}$ 

$$e_1 \Rightarrow n_1$$

$$e_2 \Rightarrow n_2$$

$$APlus e_1 e_2 \Rightarrow n_1 + n_2$$

$$\begin{array}{c} e_1 \Longrightarrow n_1 \\ e_2 \Longrightarrow n_2 \end{array}$$

$$\frac{e_2 \Longrightarrow n_2}{\text{AMult } e_1 \ e_2 \Longrightarrow n_1 * n_2}$$

$$e_1 \Longrightarrow n_1$$

$$e_2 \Longrightarrow n_2$$

$$AMinus e_1 e_2 \Longrightarrow n_1 - n_2$$

$$\begin{array}{ccc} e_1 \Longrightarrow n_1 & e_2 \Longrightarrow n_2 \\ \underline{n_2 * n_3 = n_1} & n_2 > 0 \\ \hline \text{ADiv } e_1 \ e_2 \Longrightarrow n_3 \end{array}$$

#### 关系 vs 函数



- 建模成关系更自由,有时也更方便
  - 本身就不是函数
  - 较难在Coq中建模成函数
  - Coq自动生成的归纳定理会让一些证明更方便
- 建模成函数通常更方便
  - 蕴含了确定性和全函数的性质
  - 支持simpl
  - 函数还可以直接用在表达式中
- 大型Coq证明中也会两者都用,再另外证明定理 说明两者等价

#### 带变量的表达式



#### 解析表达式



```
Definition W : string := "W".
Definition X : string := "X".
Definition Y : string := "Y".
                                   声明隐式转换
Definition Z : string := "Z".
Coercion AId : string >-> aexp.
Coercion ANum : nat >-> aexp.
                                    创建专用语法com
Declare Custom Entry com.
                                   <{ }>中的表达式用
Declare Scope com scope.
                                     专用语法解析
Notation "<{ e }>" := e
   (at level 0, e custom com at level 99) : com_scope.
```

#### 解析表达式



```
Notation "(x)" := x (in custom com, x at level 99) : com scope.
Notation "x" := x (in custom com at level 0, x constr at level 0) : com scope.
Notation "f x .. y" := (.. (f x) .. y)
                  (in custom com at level 0, only parsing,
                  f constr at level 0, x constr at level 9,
                  y constr at level 9) : com scope.
Notation "x + y" := (APlus x y) (in custom com at level 50, left associativity).
Notation "x - y" := (AMinus x y) (in custom com at level 50, left associativity).
Notation "x * y" := (AMult x y) (in custom com at level 40, left associativity).
Notation "'true'" := true (at level 1).
Notation "'true'" := BTrue (in custom com at level 0).
Notation "'false'" := false (at level 1).
Notation "'false'" := BFalse (in custom com at level 0).
Notation "x \leftarrow y" := (BLe x y) (in custom com at level 70, no associativity).
Notation "x > y" := (BGt x y) (in custom com at level 70, no associativity).
Notation "x = y" := (BEq x y) (in custom com at level 70, no associativity).
Notation "x \leftrightarrow y" := (BNeq x y) (in custom com at level 70, no associativity).
Notation "x && y" := (BAnd x y) (in custom com at level 80, left associativity).
Notation "'~' b" := (BNot b) (in custom com at level 75, right associativity).
```

Open Scope com\_scope.

#### 解析表达式



```
Definition example_aexp : aexp := <{ 3 + (X * 2) }>.
Definition example_bexp : bexp := <{ true && ~(X <= 4) }>.
```

#### 修改语义函数

```
Fixpoint aeval (st : state) (a : aexp) : nat :=
 match a with
  I ANum n => n
  | AId x => st x (* <--- 新增 *)
  | < {a1 + a2} > = > (aeval st a1) + (aeval st a2)
  | <{a1 - a2}> => (aeval st a1) - (aeval st a2)
  | <{a1 * a2}> => (aeval st a1) * (aeval st a2)
 end.
Fixpoint beval (st : state) (b : bexp) : bool :=
 match b with
  | <{true}> => true
  | <{false}> => false
  | <{a1 = a2}> => (aeval st a1) =? (aeval st a2)
  | <{a1 <> a2}> => negb ((aeval st a1) =? (aeval st a2))
 | <{a1 <= a2}> => (aeval st a1) <=? (aeval st a2)</pre>
  | <{a1 > a2}> => negb ((aeval st a1) <=? (aeval st a2))</pre>
  | <{~ b1}> => negb (beval st b1)
  | <{b1 && b2}> => andb (beval st b1) (beval st b2)
 end.
```

#### 程序示例



```
Definition empty_st := (_ !-> 0).
Notation "x '!->' v" := (t_update empty_st x v) (at level 100).
Example aexp1 :
    aeval (X !-> 5) < {(3 + (X * 2))}>
  = 13.
Proof. reflexivity. Qed.
Example bexp1 :
    beval (X !-> 5) < \{ true \&\& ~(X <= 4) \}>
  = true.
Proof. reflexivity. Qed.
```

#### IMP顶层语法



#### 解析程序



```
Notation "'skip'" :=
         CSkip (in custom com at level 0) : com scope.
Notation "x := y" :=
         (CAss x y)
            (in custom com at level 0, x constr at level 0,
             y at level 85, no associativity) : com scope.
Notation "x ; y" :=
         (CSea \times \vee)
           (in custom com at level 90, right associativity) : com scope.
Notation "'if' x 'then' y 'else' z 'end'" :=
         (CIf x y z)
           (in custom com at level 89, x at level 99,
            y at level 99, z at level 99) : com scope.
Notation "'while' x 'do' y 'end'" :=
         (CWhile x y)
            (in custom com at level 89, x at level 99,
             y at level 99) : com_scope.
```

#### 程序示例



#### 控制打印内容



#### 控制打印内容



```
Set Printing Coercions.
Print fact_in_coq.
(* ===>
  fact_in_coq =
  <{ Z := (AId X);
    Y := (ANum 1);
    while ~ (AId Z) = (ANum 0) do
        Y := (AId Y) * (AId Z);
        Z := (AId Z) - (ANum 1)
    end }>
        : com *)
Unset Printing Coercions.
```

#### Locate命令



- 之前学过Search命令用于查找符合条件的定义或 定理,输出名字和类型
- Locate命令用于给出一个符号的完整名称或者 Notation定义

```
Locate "&&".
(* ===>
    Notation
    "x && y" := BAnd x y (default interpretation)
    "x && y" := andb x y : bool_scope (default interpretation)
*)
```

# Locate命令



#### Locate命令



```
Locate aexp.
(* ===>
     Inductive LF.Imp.aexp
     Inductive LF.Imp.AExp.aexp
       (shorter name to refer to it in current context
        is AExp.aexp)
     Inductive LF.Imp.aevalR_division.aexp
       (shorter name to refer to it in current context
        is aevalR division.aexp)
     Inductive LF.Imp.aevalR_extended.aexp
       (shorter name to refer to it in current context
        is aevalR_extended.aexp)
*)
```

# 命令的语义



$$st = [skip] \Rightarrow st$$

$$aeval st a = n$$

$$st = [x := a] \Rightarrow (x ! \Rightarrow n ; st)$$

$$st = [c_1] \Rightarrow st'$$

$$st' = [c_2] \Rightarrow st''$$

$$st = [c_1; c_2] \Rightarrow st''$$

# 命令的语义



```
beval st b = false
            st = [c_2] \Rightarrow st'
st =[ if b then c_1 else c_2 end ]=> st' (E_IfFalse)
         beval st b = false

    (E_WhileFalse)

   st = while b do c end => st
          beval st b = true
           st =[ c ]=> st'
 st' =[ while b do c end ]=> st''
  st =[ while b do c end ]=> st'' (E_WhileTrue)
```

#### 对应Coq关系



```
Reserved Notation
         "st '=[' c ']=>' st'"
         (at level 40, c custom com at level 99,
          st constr, st' constr at next level).
Inductive ceval : com -> state -> Prop :=
  | E Skip : forall st,
      st =[ skip ]=> st
  | E_Ass : forall st a n x,
      aeval st a = n \rightarrow
      st = [x := a] = (x !- > n ; st)
  | E Seq : forall c1 c2 st st' st'',
      st =[ c1 ]=> st' ->
      st' =[ c2 ]=> st'' ->
      st =[ c1 ; c2 ]=> st''
```

## 对应Coq关系



```
| E IfTrue : forall st st' b c1 c2,
   beval st b = true ->
    st =[ c1 ]=> st' ->
    st =[ if b then c1 else c2 end]=> st'
| E IfFalse : forall st st' b c1 c2,
   beval st b = false ->
    st =[ c2 ]=> st' ->
    st =[ if b then c1 else c2 end]=> st'
| E WhileFalse : forall b st c,
   beval st b = false ->
    st =[ while b do c end ]=> st
| E WhileTrue : forall st st' st'' b c,
    beval st b = true ->
    st =[ c ]=> st' ->
    st' = [ while b do c end ]=> st'' ->
    st =[ while b do c end ]=> st''
where "st = [c] = st'" := (ceval c st st').
```

## 程序运行举例



```
Example ceval_example1:
    empty_st =[
        X := 2;
        if (X <= 1)
            then Y := 3
            else Z := 4
        end
    ]=> (Z !-> 4 ; X !-> 2).
```

```
Proof.
  apply E_Seq with (X !-> 2).
  - (* assignment command *)
    apply E_Ass. reflexivity.
  - (* if command *)
    apply E_IfFalse.
    reflexivity.
    apply E_Ass. reflexivity.
Qed.
```



```
Lemma t_update_eq : forall (A : Type) (m : total_map A) x v,
    (x !-> v ; m) x = v.
```

证明会用到t\_update\_eq,是在Maps一章证明的引理



```
Proof.
 intros st n st' HX Heval.
(** [Coq Proof View]
 * 1 subgoal
   st : string -> nat
   n : nat
   st': state
   HX : st X = n
    Heval : st =[ plus2 ]=> st'
    st' X = n + 2
*)
```





```
inversion Heval.
(** [Coq Proof View]
* 1 subgoal
   st : string -> nat
    n : nat
   st': state
    HX : st X = n
    Heval : st =[ plus2 ]=> st'
    st0 : state
    a: aexp
    n0 : nat
    x : string
    H3 : aeval st <{ X + 2 }> = n0
    H: X = X
    H1 : a = \langle \{ X + 2 \} \rangle
    H0 : st0 = st
    H2 : (X !-> n0; st) = st'
    (X !-> n0; st) X = n + 2
*)
```







# 作业



- 完成Imp中standard非optional并不属于Additional Exercises的6道习题
  - 请使用最新英文版教材
  - 从本章起证明长度有显著增加,请尽早动手