

#### 软件理论基础与实践

Hoare: Haore Logic, Part I

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# 动机



- 我们定义了IMP语言的语法语义
- 我们证明了IMP语言的性质,比如求值的确定性
- 我们定义了程序等价性,并论证了常见优化的正确性
- 这些都是关于语言设计和编译器的
- •能不能论证程序的(关于其语义的)性质?
  - 如何声明程序的性质?
  - 如何证明程序的性质?

# 霍尔逻辑



- Tony Hoare于1969年提出
- 受到Robert Floyd在流程图上类似工作的启发
- 也称Floyd-Hoare Logic
- 具体包括
  - 一种描述程序性质的方案: 霍尔三元组
  - 一套推导霍尔三元组的规则



Tony Hoare (80年图灵奖)



Robert Floyd (78年图灵奖)

# 复习:形式系统



- 形式系统包括以下四个部分
  - 字母表Alphabet: 一个符号的集合 $\Sigma$
  - 文法Grammar: 一组文法规则,定义 $\Sigma$ \*的一个子集,为该形式系统中可以写的命题集合
  - 公理模式Axiom Schemata: 一组公理模板,定义命题 集合的一个子集,代表为真的命题
  - 推导规则Inference Rules: 一组推导规则,用于推导出公理以外为真的命题

## 霍尔三元组



- {前条件}语句{后条件}
- 如
  - $\{x > 0\}$  x := x + 5  $\{x > 5\}$
  - $\{x > 0\}$  x := x + 5  $\{x > 0\}$
  - $\{x = n \land y \neq 0\} \ x := x / y \ \{x * y = n\}$
  - $\{True\}$  while(true)  $x := x + 1 \{False\}$
- 如果霍尔三元组的前条件足够弱,后条件足够强, 则精确描述了程序语义
- 所以霍尔逻辑又被称为公理语义

# 霍尔逻辑规则



$$\frac{\mathsf{SKIP}}{\{P\} \mathsf{skip} \, \{P\}}$$

Assign 
$$\frac{}{\{P[a/x]\} \ x := a \ \{P\}}$$

$$SEQ = \frac{\{P\} \ c_1 \ \{R\} \ \ \{R\} \ c_2 \ \{Q\}}{\{P\} \ c_1; c_2 \ \{Q\}}$$

$$\text{IF} \frac{\{P \land b\} \ c_1 \ \{Q\} \qquad \{P \land \neg b\} \ c_2 \ \{Q\}}{\{P\} \text{ if } b \text{ then } c_1 \text{ else } c_2 \ \{Q\}}$$

WHILE 
$$\frac{\{P \wedge b\} \ c \ \{P\}}{\{P\} \text{ while } b \text{ do } c \ \{P \wedge \neg b\}}$$

# 用霍尔逻辑证明举例



- if (x > 0) x := x+10 else x := 20
  - 该程序执行结束后, x是否一定大于0?
- 根据Assign,可得
  - $\{x+10>0\}$   $x := x+10 \{x>0\}$
  - $\{True\} x := 20 \{x > 0\}$
- 因为x>0 => x+10 > 0且¬x>0 => True,根据 Consequence,可得
  - $\{x>0\}$  x := x+10  $\{x>0\}$
  - $\{\neg x > 0\} x := 20 \{x > 0\}$
- •根据If,可得
  - {True} if (x > 0) x := x+10 else  $x := 20 \{x>0\}$

## 用霍尔逻辑证明练习



- while (x < 10) x := x+1
  - 该程序执行结束后, x是否一定大于0?
- 根据Assign,可得
  - {True} x := x+1 {True}
- 根据Consequence,可得
  - $\{x<10/True\}\ x := x+1 \{True\}$
- 根据While,可得
  - {True} while (x < 10) x += 1; {True / x >= 10}
- 根据Consequence,可得
  - {True} while  $(x < 10) x += 1; \{x>0\}$

# 霍尔逻辑的性质



- 正确性Soundness: 所有用霍尔逻辑规则推导出来的霍尔三元组在IMP的语义下都是正确的,即给定霍尔三元组{P}c{Q}
  - 给定任意满足P的状态,执行c后,Q一定满足
- 完整性Completeness: 所有在IMP语义下正确的 霍尔三元组都可以用霍尔逻辑推导出来
- 本课程后续我们将证明这两个性质

# Coq中的霍尔逻辑



- 基于IMP的语法和语义,将霍尔逻辑规则证明成 定理
  - 即模型论的方法
- 基于IMP的语法,将霍尔逻辑规则定义成归纳定 义命题的constructor
  - 即逻辑的方法
- •接下来我们首先用模型论的方法定义霍尔逻辑。

## 复习



- •什么是state?
  - Definition state := total\_map nat.
- 什么是total\_map?
  - Definition total\_map (A : Type) :=
     string -> A.
- st为状态, X!->5; st代表什么?
  - t\_update st "X" 5
  - Definition t\_update {A : Type}
     (m : total\_map A)(x : string) (v : A)
     := fun x' =>
     if eqb string x x' then v else m x'.

# 断言: 从状态到命题的函数



Definition Assertion := state -> Prop.

#### 例子

- fun st  $\Rightarrow$  st X = 3 holds if the value of X according to st is 3,
- fun st ⇒ True always holds, and
- fun st ⇒ False never holds.

```
后续将
fun st ⇒ st X = m
简写为
X = m
大写为IMP变量,小写为Coq变量
```

# 断言的蕴含关系



## 断言的简写语法



```
(* 注意区分Aexp和aexp *)
Definition Aexp : Type := state -> nat.
(* 自动转换普通Coq命题 *)
Definition assert_of_Prop (P : Prop) : Assertion := fun => P.
(* 自动转换整数 *)
Definition Aexp_of_nat (n : nat) : Aexp := fun _ => n.
(* 自动转换算术表达式aexp *)
Definition Aexp_of_aexp (a : aexp) : Aexp := fun st => aeval
st a.
Coercion assert_of_Prop : Sortclass >-> Assertion.
Coercion Aexp of nat : nat >-> Aexp.
Coercion Aexp of aexp : aexp >-> Aexp.
```

大致了解作用即可,无需知道细节

## 断言的简写语法



```
(* 自动展开函数定义 *)
Arguments assert_of_Prop /.
Arguments Aexp_of_nat /.
Arguments Aexp_of_aexp /.

(* 将三个scope的语法结合在一起 *)
Declare Scope assertion_scope.
Bind Scope assertion_scope with Assertion.
Bind Scope assertion_scope with assertion.
Delimit Scope assertion_scope with assertion.
```

# 断言的简写语法



```
(* 用assert和mkAexp指定参数的类型 *)
Notation assert P := (P%assertion : Assertion).
Notation mkAexp a := (a%assertion : Aexp).
Notation "~ P" := (fun st => ~ assert P st) : assertion_scope.
Notation "P /\ O" :=
  (fun st => assert P st /\ assert Q st) : assertion scope.
Notation "P -> Q" :=
  (fun st => assert P st -> assert Q st) : assertion_scope.
Notation "a = b" :=
  (fun st => mkAexp a st = mkAexp b st) : assertion scope.
Notation "a + b" :=
  (fun st => mkAexp a st + mkAexp b st) : assertion scope.
(* 其他函数调用无法自动转换,所以用ap来显式调用 *)
Definition ap {X} (f : nat -> X) (x : Aexp) :=
  fun st \Rightarrow f (x st).
```

# 断言书写举例



```
Definition ex1 : Assertion := X = 3.
Definition ex2 : Assertion := True.
Definition ex3 : Assertion := False.

Definition assn1 : Assertion := X <= Y.
Definition assn2 : Assertion := X = 3 \/ X <= Y.
Definition assn3 : Assertion :=
    Z * Z <= X /\ ~ (((ap S Z) * (ap S Z)) <= X).
Definition assn4 : Assertion :=
    Z = ap2 max X Y.</pre>
```

# 以下断言的写法均等价



- X = 1 ->> Y = 1
- forall st, <{X=1}> st -> <{Y=1}> st
- forall st, X st = 1 st -> Y st = 1 st
- forall st, st X = 1 -> st Y = 1

## 霍尔三元组



# 将霍尔逻辑规则证明为定理 Skip



```
st = [skip] \Rightarrow st (E_Skip)
       SKIP \overline{\{P\} \text{ skip } \{P\}}
Theorem hoare skip: forall P,
      {{P}} skip {{P}}.
Proof.
  intros P st st' H HP.
  (* H: st =[ skip ]=> st'
      HP: P st
      Goal: P st' *)
  inversion H; subst. assumption.
Qed.
```

### Assignment



```
Definition assn_sub X a (P:Assertion) : Assertion :=
  fun (st : state) =>
    P (X !-> aeval st a ; st).

Notation "P [ X |-> a ]" := (assn_sub X a P)
  (at level 10, X at next level, a custom com).
```

注意Assertion定义为状态到命题的函数,没有语法

### Assignment



```
aeval st a = n
                                        (E_Ass)
     st = [x := a] \Rightarrow (x ! \rightarrow n : st)
        Assign \overline{\{P[a/x]\}\ x := a\ \{P\}}
Theorem hoare asgn : forall Q X a,
  \{\{0 \mid X \mid -> a\}\}\} X := a \{\{0\}\}.
Proof.
  intros Q X a st st' HE HQ.
  (* HE: st =[ X := a ]=> st'
     HQ: (Q[X]->a] st
     Goal: Q st' *)
  inversion HE. subst.
  (* HQ: (Q [X | -> a]) st
     Goal: Q (X !-> aeval st a; st) *)
  assumption. Qed.
```

# 练习



• 如下这条霍尔逻辑规则正确吗?

{ True } X := a { X = a }

### Consequence



```
Theorem hoare_consequence_pre : forall (P P' Q : Assertion) c,
  {{P'}} c {{Q}} ->
 P ->> P' ->
  {{P}} c {{Q}}.
Proof.
  unfold hoare triple, "->>".
  intros P P' Q c Hhoare Himp st st' Heval Hpre.
  (* Hhoare: {{P'}} c {{Q}}}
     Hpre: P st
     Heval: st = [c] \Rightarrow st'
     Himp: P ->> P'
     Goal: Q st' *)
  apply Hhoare with (st := st).
  - assumption.
  - apply Himp. assumption.
Qed.
```

#### Consequence



```
Theorem hoare_consequence_post : forall (P Q Q' : Assertion) c,
  {{P}} c {{Q'}} ->
  0' ->> 0 ->
  \{\{P\}\}\} c \{\{Q\}\}\}.
Proof.
  intros P Q Q' c Hhoare Himp st st' Heval Hpre.
  (* Hhoare: {{P}} c {{Q'}}
     Himp: 0' ->> 0
     Heval: st = [c] \Rightarrow st'
     Hpre: P st
     Goal: Q st' *)
  apply Himp.
  apply Hhoare with (st := st).
  - assumption.
  - assumption.
Qed.
```

#### Consequence



```
Theorem hoare_consequence : forall (P P' Q Q' : Assertion) c,
  {{P'}} c {{Q'}} ->
 P ->> P' ->
 0' ->> 0 ->
  \{\{P\}\}\ c\ \{\{Q\}\}.
Proof.
  intros P P' Q Q' c Htriple Hpre Hpost.
  (* Htriple: {{P'}} c {{Q'}}
     Hpre: P ->> P'
     Hpost: Q' ->> Q
     Goal: {{P}} c {{Q}} *)
  apply hoare_consequence_pre with (P' := P').
  - apply hoare_consequence_post with (Q' := Q').
    + assumption.
    + assumption.
  - assumption.
Qed.
```

# 简化Consequence证明



```
Hint Unfold assert_implies hoare_triple assn_sub t_update : core.
Hint Unfold assert of Prop Aexp of nat Aexp of aexp: core.
Theorem hoare_consequence_pre': forall (P P' Q : Assertion) c,
    \{\{P'\}\}\ c\ \{\{Q\}\}\ ->\ P\ ->>\ P'\ ->\ \{\{P\}\}\ c\ \{\{Q\}\}\}.
Proof.
  eauto.
Oed.
Theorem hoare_consequence_post' : forall (P Q Q' : Assertion) c,
    \{\{P\}\}\ c\ \{\{Q'\}\}\ ->\ Q'\ ->>\ Q\ ->\ \{\{P\}\}\ c\ \{\{Q\}\}\}.
Proof.
  eauto.
Qed.
```

## 证明示例



```
Example hoare_asgn_example1 :
    {{True}} X := 1 {{X = 1}}.

Proof.
    apply hoare_consequence_pre with (P' := (X = 1) [X |-> 1]).
    - (* {{(X = 1) [X |-> 1]}} X := 1 {{X = 1}} *)
        apply hoare_asgn.
    - (* True ->> (X = 1) [X |-> 1] *)
        unfold "->>", assn_sub, t_update.
        intros st _. simpl. reflexivity.

Qed.
```

#### 或者

```
Example hoare_asgn_example1''' :
    {{True}} X := 1 {{X = 1}}.
Proof.
    eauto using hoare_consequence_pre, hoare_asgn.
Qed.
```

## 证明示例



```
Example assn_sub_example2 :
    {{X < 4}}
    X := X + 1
    {{X < 5}}.

Proof.
    apply hoare_consequence_pre with (P' := (X < 5) [X |-> X + 1]).
    - (* {{(X < 5) [X |-> X + 1]}} X := X + 1 {{X < 5}} *)
        apply hoare_asgn.
    - (* X < 4 ->> (X < 5) [X |-> X + 1] *)
        unfold "->>", assn_sub, t_update.
        intros st H. simpl in *. lia.

Qed.
```

该证明用了lia,不能直接采用eauto证明。

# 自动化证明



• 证明所用序列其实对赋值证明非常通用

```
Ltac assn_auto :=
  try auto;
  try (unfold "->>", assn_sub, t_update;
       intros; simpl in *; lia).
Example assn_sub_example2'' :
  \{\{X < 4\}\}
  X := X + 1
  \{\{X < 5\}\}.
Proof.
  eapply hoare_consequence_pre.
  - eauto.

    assn auto.

Qed.
```

## Sequencing



```
st = [c_1] \Rightarrow st'
                                               SEQ \frac{\{P\} c_1 \{R\} \{R\} c_2 \{Q\}}{\{P\} c_1; c_2 \{Q\}}
 st' =[ c<sub>2</sub> ]=> st''
st = [c_1; c_2] \Rightarrow st'' (E_Seq)
           Theorem hoare_seq : forall P Q R c1 c2,
                  \{\{Q\}\}\ c2\ \{\{R\}\}\ ->
                  {{P}} c1 {{Q}} ->
                  {{P}} c1; c2 {{R}}.
           Proof.
              unfold hoare triple.
              intros P Q R c1 c2 H1 H2 st st' H12 Pre.
              (* H1: {{Q}} c2 {{R}}}
                  H2: {{P}} c1 {{Q}}
                  H12: st = [c1; c2] \Rightarrow st'
                  Pre: P st
                  Goal: Q st' *)
              inversion H12; subst.
              eauto.
            Qed.
```

## Sequencing证明示例



```
Example hoare_asgn_example3 : forall (a:aexp) (n:nat),
  \{\{a = n\}\}
 X := a; skip
  \{\{X = n\}\}.
Proof.
  intros a n. eapply hoare_seq.
  - (* \{\{?Q\}\} \text{ skip } \{\{X = n\}\} *)
    apply hoare skip.
  - (* {{a = n}} X := a {{X = n}} *)
    eapply hoare consequence pre.
    + apply hoare_asgn.
    + assn auto.
Qed.
```

#### If



• If规则中用合取连接了Assertion和bexp

IF 
$$\frac{\{P \wedge b\} c_1 \{Q\} \qquad \{P \wedge \neg b\} c_2 \{Q\}}{\{P\} \text{ if } b \text{ then } c_1 \text{ else } c_2 \{Q\}}$$

• 定义函数将bexp提升为Assertion

```
Definition bassn b : Assertion :=
  fun st => (beval st b = true).
```

Coercion bassn : bexp >-> Assertion.

## If证明示例

```
Example if_example :
     {{True}}
     if (X = 0)
         then Y := 2
        else Y := X + 1
     end
     {{X <= Y}}.</pre>
```

```
Proof.
  apply hoare if.
  - (* Then *)
    eapply hoare_consequence_pre.
    + apply hoare_asgn.
    + (* True/\X=0 ->>
         (X <= Y)[Y! -> 2] *)
      assn_auto. (* no progress *)
      unfold "->>", assn sub,
             t update, bassn.
      simpl. intros st [ H].
      (* H: (st X =? 0) = true)
         Goal: st X <= 2 *)
      apply eqb eq in H.
      rewrite H. lia.
  - (* Else *)
    eapply hoare consequence pre.
    + apply hoare asgn.
    + assn auto.
Qed.
```

## If证明例子-改造策略



```
Ltac assn auto' :=
  unfold "->>", assn sub, t update, bassn;
  intros; simpl in *;
  try rewrite -> eqb_eq in *; (* for equalities *)
  auto; try lia.
Example if_example''' :
 {{True}}
 if X = 0
   then Y := 2
    else Y := X + 1
 end
 \{\{X <= Y\}\}.
Proof.
  apply hoare_if; eapply hoare_consequence_pre;
   try apply hoare_asgn; try assn_auto'.
Qed.
```

#### While

```
beval st b = false
                                                                                                                                         — (E_WhileFalse)
                                                                            st = [ while b do c end ]=> st
                                                                                          beval st b = true
                                                                                            st = [c] \Rightarrow st'
WHILE \frac{\{P \land b\} c \{P\}}{\{P\} \text{ while } b \text{ do } c \{P \land \neg b\}} \frac{\text{st'} = [\text{ while } b \text{ do } c \text{ end }] \Rightarrow \text{st''}}{\text{st} = [\text{ while } b \text{ do } c \text{ end }] \Rightarrow \text{st''}}  (E_WhileTrue)
```

```
Theorem hoare while : forall P (b:bexp) c,
  {{P /\ b}} c {{P}} ->
  \{\{P\}\}\ while b do c end \{\{P / \ \sim b\}\}\.
Proof.
  intros P b c Hhoare st st' Heval HP.
  (* Hhoare: {{P /\ b}} c {{P}}}
     Heval: st =[ while b do c end ]=> st'
     HP: P st
     Goal: P st' /\ ~ b st'*)
  remember <{while b do c end}> as original_command eqn:Horig.
  induction Heval;
    try (inversion Horig; subst; clear Horig); (* 剩下以上两种情况 *)
    eauto.
Qed.
```

## While证明示例

```
Example while_example :
    {{X <= 3}}
    while (X <= 2) do
    X := X + 1</pre>
```

 $\{\{X = 3\}\}.$ 

end



#### Proof.

Qed.

# 不终止程序满足任何后条件



```
Theorem always loop hoare: forall Q,
 {{True}} while true do skip end {{Q}}.
Proof.
 intros Q.
  eapply hoare_consequence_post.
  (* {{True}} while true do skip end {{?Q'}}
     .0, ->> 0 *)
  - apply hoare while.
    (* {{True /\ <{true}>}} skip {{True}}*)
    apply hoare post true.
    (* forall st : state, True st *)
    auto.
  - (* (True /\ <{~true}>) ->> Q*)
  simpl. intros st [Hinv Hguard]. congruence.
Qed.
```

congruence策略搜索两条矛盾的前提并推出任意结论 congruence可以替代之前定义的find rwd策略

# 部分正确性 vs 完全正确性



- 标准霍尔逻辑是部分正确性的
  - 不保证程序终止
  - 程序不终止的时候允许任何后条件
- 可以扩展While规则实现完全正确性
  - 满足前条件的时候程序一定终止,
  - 且一定满足后条件

$$\frac{P \wedge b \rightarrow E \geq 0 \quad [P \wedge b \wedge E = n] \text{ S } [P \wedge E < n]}{[P] \text{ while b do s } [P \wedge \neg b]}$$

# 练习



- 考虑部分正确性,如果扩充语言加入如下成分,其霍尔规则是什么?
  - if b then c
    - 类似于C语言中没有else的if
  - repeat c until b
    - 同IMP部分的定义,重复执行至少一遍c直到b满足
  - assume b
    - $\frac{\text{beval st b} = \text{true}}{\text{st} = [\text{assume b}] \Rightarrow \text{st}}$
  - assert b
    - $\frac{\text{beval st b = true}}{\text{st=[assert b]} \Rightarrow \text{st}} \qquad \frac{\text{beval st b = false}}{\text{st=[assert b]} \Rightarrow \text{error}}$
    - 输入状态是error时,任何命令的结束状态都是error
    - 在error上任何assertion都不成立

# 答案



- if b then c
  - $\frac{\{P \land b\}c\{Q\} \quad P \land \neg b \rightarrow Q}{\{P\} \text{ if b then c } \{Q\}}$
- repeat c until b
  - $\frac{\{P\}c\{Q\} \qquad \{\neg b \land Q\}c\{Q\}}{\{P\} \text{ repeat c until b } \{Q \land b\}}$
- assume b
  - $\{P\}$  assume b  $\{b \land P\}$
- assert b
  - $\{b \land P\}$  assert  $b \{b \land P\}$

# 作业



- 完成Hoare中standard非optional并不属于 Additional Exercises的11道习题
  - 请使用最新英文版教材
  - 推荐也完成Havoc部分的习题