

The following example is taken from [Ler83].

Level 1

**1 Theorem on canonical forms for Hermitian operators and matrices [Hal47]**  
*Let  $V$  be a finite-dimensional complex inner-product space, and let  $T$  be a Hermitian operator on  $V$ . Then  $V$  has an orthonormal basis of characteristic vectors of  $T$ .*

**11** *Let  $U$  be the subspace  $V$  spanned by all characteristic vectors of  $T$ . Then  $U = V$ , i.e.m the characteristic vectors of  $T$  span the whole space.*

**12**  *$U$  has an orthonormal basis of characteristic vectors of  $T$ .*

*Proof.* Clearly the two assertions 11 and 12 yield the conclusion of Theorem 1. □

In the elevator

From here on the proof branches to two independent subproofs, rooted at 11 and 12. Note that while the presentation proceeds strictly top-down, it does not force a top-down *reading* of the proof. Some readers may prefer, for example, to read through the first branch (11) all the way to the bottom, then return to Level 2 and start on the second branch (12).

Level 2

**11**  
*Let  $U$  be the subspace  $V$  spanned by all characteristic vectors of  $T$ . Then  $U = V$ , i.e.m the characteristic vectors of  $T$  span the whole space.*

**111**  *$U^\perp$  is  $T$ -invariant.*

**112** *Every nonzero  $T$ -invariant subspace of  $V$  contains a characteristic vector of  $T$ .*

*Proof.* To prove  $U = V$  we prove the equivalent statement  $U^\perp = \{0\}$ . This in turn will follow from 111 and 112. Since  $U^\perp$  cannot contain a characteristic vector of  $T$  (this would contradict  $U \cap U^\perp = \{0\}$ ), we must have  $U^\perp = \{0\}$ , hence  $U = V$ . □

**12**  
 *$U$  has an orthonormal basis of characteristic vectors of  $T$ .*

**121 Lemma** *Let  $T$  be a Hermitian operator. Then*

- All the (complex) characteristic values of  $T$  are real.*
- Characteristic vectors of  $T$  that belong to distinct characteristic values are orthogonal.*

*Proof.* Let  $\lambda_1, \dots, \lambda_k$  be distinct characteristic values of  $T$ , and let  $U_1, \dots, U_k$  be there respective characteristic spaces:

$$U_i = \{v \in V \mid Tv = \lambda_i v\}.$$

By the Gram-Schmidt orthogonalization process we can construct an orthonormal basis  $B_i$  to each subspace  $U_i$ , and it turns out that without any more car on our part, the set  $B = B_1 \cup \dots \cup B_k$  already forms an orthonormal basis for  $V$ . This is due to the important lemma proved in 121:

Characteristic vectors of  $T$  that belong to distinct characteristic values are orthogonal. Thus if  $i \neq j$ ,  $B_i$  and  $B_j$  are orthogonal, hence  $B$  is indeed an orthonormal basis for  $U$ . Since  $B$  consists of characteristic vectors of  $T$ , it satisfies all the requirements of Level 12 □

### In the elevator

We leave out the proofs of 111, 112 and 121, since Level 3 is the bottom level and the proofs appear in it in their standard (linear) form (see [HK]pp. 312-313). Note, however, that it is only in 111 and 121 that the main hypothesis of the theorem (namely that  $T$  is Hermitian) finally enters the proof. In contrast, 112 is true for operators on complex spaces in general.

## Definitions

$V$

finite-dimensional complex inner-product space

$T$

Hermitian operator on  $V$ .

Hermitian

a operator  $T$  on a inner product space  $V$  is called Hermitian if  $\langle Tu, v \rangle = \langle u, Tv \rangle$  for all vectors  $u$  and  $v$  in  $V$ .

$U^\perp$

the orthogonal complement for a subspace  $U$  of  $V$  defined as the subspace  $\{v \in V \mid \langle v, u \rangle = 0 \text{ for all } u \in U\}$

# Bibliography

- [Hal47] Paul R Halmos. *Finite dimensional vector spaces*. Number 7. Princeton University Press, 1947.
- [HK] Kenneth Hoffman and Ray Kunze. *Linear algebra*. 1971.
- [Ler83] U. Leron. Structuring mathematical proofs. *The American Mathematical Monthly*, 90(3):174–185, 1983.