

# Homework9

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04/01/2022

## 3.7.4

(a)

Since this matrix is uppertriangular matrix  $\rightarrow$  The eignvalue of the matrix is its diagonal. There are two different eignvalues. By **Theorem 3.8**, there are at least two independant eignvectors. By **Theorm 3.28**, they are basis of  $\mathbb{C}$ . By **Corollary 3.16**, these two independant eignvectors can form an invertible matrix  $S$ . So it is diagonalizable.

(b)

Since this matrix is uppertriangular matrix  $\rightarrow$  The eignvalue of the matrix is its diagonal. There are only one eignvalue which is 1. We know that  $Eig_{\lambda}T = Ker(T - \lambda I)$ .

$$A - \lambda I = A - I \rightarrow \begin{bmatrix} 0 & -2 & 0 \\ 0 & 0 & -2 \\ 0 & 0 & 0 \end{bmatrix}$$

If we do **RREF** to this matrix:  $\begin{bmatrix} 0 & -2 & 0 \\ 0 & 0 & -2 \\ 0 & 0 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$ . Apparently, there are only two pivots in this matrix. By **Theorem 3.35**,  $Rank(T - \lambda I) = 2 \Rightarrow Null(T - \lambda I) = 1$  there are only one independnt eignvectors of matrix. By **Theorm 3.28**, It can not form an invertible matrix  $S$ , therefore, it is not diagonalizable.

(c)

Since this matrix is uppertriangular matrix  $\rightarrow$  The eignvalue of the matrix is its diagonal. There are only two eignvalue which are 1, 2. We know that  $Eig_{\lambda}T = Ker(T - \lambda I)$ .

$$A - \lambda_1 I = A - I \rightarrow \begin{bmatrix} 2 & 0 & 1 \\ 0 & 2 & -1 \\ 0 & 0 & 0 \end{bmatrix} \quad A - \lambda_2 I = A - 3I \rightarrow \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & -1 \\ 0 & 0 & -2 \end{bmatrix}$$

Apparently, there are two pivots in the first matrix. By **Theorem 3.35**,  $Rank(T - \lambda I) = 2 \Rightarrow Null(T - \lambda I) = 1$  there is only one independnt eignvector of matrix. There is only one pivot in the second matrix. By **Theorem 3.35**,  $Rank(T - \lambda I) = 1 \Rightarrow Null(T - \lambda I) = 2$  there are two independnt eignvectors of matrix. By **Theorem 3.8**, these three eignvectos are independant. By **Theorm 3.28**, It can form an invertible matrix  $S$ , therefore, it is diagonalizable.

(d)

Since this matrix is uppertriangular matrix  $\rightarrow$  The eignvalue of the matrix is its diagonal. There are only two eignvalue which are 1, 3. We know that  $Eig_{\lambda}T = Ker(T - \lambda I)$ .

$$A - \lambda_1 I = A - I \rightarrow \begin{bmatrix} 1 & 0 & 7 \\ 0 & 0 & 8 \\ 0 & 0 & 1 \end{bmatrix} \quad A - \lambda_2 I = A - 2I \rightarrow \begin{bmatrix} 0 & 0 & 7 \\ 0 & -1 & 8 \\ 0 & 0 & 0 \end{bmatrix}$$

If we do **RREF** to the second matrix:  $\begin{bmatrix} 0 & 0 & 7 \\ 0 & -1 & 8 \\ 0 & 0 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 0 & -1 & 8 \\ 0 & 0 & 7 \\ 0 & 0 & 0 \end{bmatrix}$ . Apparently, there are two pivots in the first matrix. By **Theorem 3.35**,  $Rank(T - \lambda I) = 2 \Rightarrow Null(T - \lambda I) = 1$  there is only one independnt eignvectors of matrix. There are two pivots in the second matrix. By **Theorem 3.35**,  $Rank(T - \lambda I) = 2 \Rightarrow Null(T - \lambda I) = 1$  there is only one independnt eignvector of matrix. By **Theorem 3.8**, these two eignvectos are independant. By **Theorm 3.28**, It can not form an invertible matrix S, therefore, it is not diagonalizable.

### 3.7.10

(a)

**Proof** Prove it by doing arithmetic calculation

$$\begin{aligned} p(A)x &= (a_0I + a_1A + a_2A^2 + \dots + a_nA^n)x = (a_0x + a_1\lambda x + a_2\lambda Ax + \dots + a_n\lambda A^{n-1}x) \\ &= (a_0x + a_1\lambda x + a_2\lambda^2x + \dots + a_n\lambda^2A^{n-2}x) = (a_0x + a_1\lambda x + a_2\lambda^2x + \dots + a_n\lambda^3A^{n-3}x) \\ &= \dots \\ &= (a_0 + a_1\lambda + a_2\lambda^2x + \dots + a_n\lambda^n)x = p(\lambda)x \end{aligned}$$

Since x is eignvector of A, x is not {0}  $\rightarrow$  x is an eignvector of p(A) with eignvalue p( $\lambda$ ).

(b)

**Proof** From part (a): we know that  $p(A)x = p(\lambda)x$ . Therefore,  $p(A)x = 0 \Rightarrow p(\lambda)x = 0$ . Since  $x \neq 0$ ,  $p(\lambda) = 0$ .

(c)

**Proof** From part (b): we know that if  $p(A)x = 0$ , then  $p(\lambda) = 0$ . If  $p(A) = 0 \rightarrow p(A)x = 0 \rightarrow p(\lambda) = 0$

### 3.7.12

**Proof Denote** The basis of  $F^n$  as  $(e_1, e_2, \dots, e_n)$ .

We choose a random vector  $e_j$  from  $(e_1, e_2, \dots, e_n)$ . Since A is an uppertriangular matrix, by **Lemma 3.68**,  $Ae_j = \sum_{i=1}^{i=j} a_i e_i$ . Since it is strictly uppertriangular matrix,  $a_j = 0$ , we get that

$Ae_j = \sum_{i=1}^{j-1} a_i e_i$ .  $(Ae_j)$  is  $j$ th column of  $A$ .  $(A^k e_j)$  is  $j$ th column of  $A^k$ .

$$\begin{aligned} A^n e_j &= A^{n-1} \left( \sum_{i=1}^{j-1} a_i e_i \right) \Rightarrow A^{n-2} (A A e_j) = A^{n-2} \left( \sum_{i=1}^{j-1} A a_i e_i \right) \\ &= A^{n-2} \left( \sum_{i=1}^{j-1} a_i A e_i \right) = A^{n-2} \left( \sum_{i=1}^{j-1} a_i \sum_{k=1}^{i-1} b_k e_k \right) \end{aligned}$$

$\sum_{i=1}^{j-1} a_i \sum_{k=1}^{i-1} b_k e_k \in \text{Span}(e_1, e_2, \dots, e_{j-2})$ . So we can conclude that  $A^n e_j = A^{n-2} \sum_{i=1}^{j-2} c_i e_i$ .

$$\begin{aligned} A^n e_j &= A^{n-2} \sum_{i=1}^{j-2} c_i e_i \\ &= A^{n-3} \left( \sum_{i=1}^{j-3} d_i e_i \right) \\ &= \dots \\ &= A^{n-j} \left( \sum_{i=1}^{j-1} x_i e_i \right) \\ &= 0 \end{aligned}$$

It is true  $\forall j \in \{1, \dots, n\}$ . So all columns of  $A^n$  are zero.

### 3.7.14

**Proof Suppose** The  $\dim V = n$ .  $T^0 = I$ .

If we want to find a non-zero polynomial, which is equivalent to find a list of dependent vectors. By **Theorem 2.5**, we now that  $\mathcal{L}(V)$  itself is a vector space. By **Corollary 3.43**, we know  $\dim \mathcal{T} = \dim V * \dim V = n^2$ .

**Consider** Construct a List  $(I, T^1, T^2, \dots, T^{n^2})$ . By **Proposition 3.21**, we know that the number of independent vectors in a list is at most  $(\dim V)^2 = n^2$ . Since there are  $n^2 + 1 > n^2$  elements in  $(I, T^1, T^2, \dots, T^{n^2})$ , so it can not be independant, therefore it is a list of dependent vectors. By **Definition Of Linearly Dependent**, there must exist a list of coefficients  $(a_0, a_1, \dots, a_{n^2})$  which is not all zero. It makes  $\sum_{i=0}^{i=n^2} a_i T^i = 0$ . Therefore, there exists a nonzero polynomial  $p(x) = \sum_{i=0}^{i=n^2} a_i x^i$ .

### 4.1.2

(a)

Since the field is  $\mathbb{R}$ , therefore,  $\langle v, w \rangle = \langle w, v \rangle$ .

$$\begin{aligned} 3 &= \|v - w\|^2 = \langle v - w, v - w \rangle \\ &= \langle v, v \rangle + \langle w, w \rangle - 2\langle v, w \rangle \\ 7 &= \|v + w\|^2 = \langle v + w, v + w \rangle \\ &= \langle v, v \rangle + \langle w, w \rangle + 2\langle v, w \rangle \end{aligned}$$

Subtract those two equations, we will get  $4 = 4\langle v, w \rangle \Rightarrow \langle v, w \rangle = 1$

(b)

Use the answer from (a)

$$\begin{aligned} 7 &= \|v + w\|^2 = \langle v, v \rangle + \langle w, w \rangle + 2 \\ &\Rightarrow \|v\|^2 + \|w\|^2 = 5 \end{aligned}$$

#### 4.1.6

**Denote** The  $i$ th entry of  $a_j$ ,  $b_k$  are  $a_{ij}$ ,  $b_{ik}$ .

**Proof**

$$[A^*B]_{jk} = \sum_{i=1}^{i=m} a_{ji}^* b_{ik} = \sum_{i=1}^{i=m} \overline{a_{ij}} b_{ik} = \sum_{i=1}^{i=m} b_{ik} \overline{a_{ij}} = \langle b_k, a_j \rangle$$

#### 4.1.10

**Proof** In order to prove this will define an inner product on  $\mathbb{W}$ . We need to follow the **Definition** on page 226, 227. Since  $T$  is an isomorphism.

**Denote**  $\forall w_1, w_2, w_3 \in \mathbb{W}, \exists v_1 = T^{-1}(w_1), v_2 = T^{-1}(w_2), v_3 = T^{-1}(w_3)$ , where  $v_1, v_2, v_3 \in \mathbb{V}, \forall a \in \mathbb{F}$ .

**Inner product is scalar:** Since  $\mathbb{V}$  is an inner product vector space,  $\langle v_1, v_2 \rangle = k \in (F)$ .  $\forall w_1, w_2 \in \mathbb{W}, \langle w_1, w_2 \rangle = \langle T^{-1}(w_1), T^{-1}(w_2) \rangle = \langle v_1, v_2 \rangle = k \in (F)$ .

**Distributive Law:** Since  $\mathbb{V}$  is an inner product vector space,  $\langle v_1 + v_2, v_3 \rangle = \langle v_1, v_3 \rangle + \langle v_2, v_3 \rangle$ . Therefore,  $\langle w_1 + w_2, w_3 \rangle = \langle T^{-1}(w_1) + T^{-1}(w_2), T^{-1}(w_3) \rangle = \langle v_1 + v_2, v_3 \rangle = \langle v_1, v_3 \rangle + \langle v_2, v_3 \rangle = \langle T^{-1}(w_1), T^{-1}(w_3) \rangle + \langle T^{-1}(w_2), T^{-1}(w_3) \rangle = \langle w_1, w_3 \rangle + \langle w_2, w_3 \rangle$ .

**Homogeneity:** Since  $\mathbb{V}$  is an inner product vector space,  $\langle av_1, v_2 \rangle = a \langle v_1, v_2 \rangle$ . Therefore,  $\langle aw_1, w_2 \rangle = \langle T^{-1}(aw_1), T^{-1}(w_2) \rangle = \langle aT^{-1}(w_1), T^{-1}(w_2) \rangle = \langle av_1, v_2 \rangle = a \langle v_1, v_2 \rangle = a \langle T^{-1}(w_1), T^{-1}(w_2) \rangle = a \langle w_1, w_2 \rangle$ .

**Symmetry:** Since  $\mathbb{V}$  is an inner product vector space,  $\langle v_1, v_2 \rangle = \overline{\langle v_2, v_1 \rangle}$ . Therefore,  $\langle w_1, w_2 \rangle = \langle T^{-1}(w_1), T^{-1}(w_2) \rangle = \langle v_1, v_2 \rangle = \overline{\langle v_2, v_1 \rangle} = \overline{\langle T^{-1}(w_2), T^{-1}(w_1) \rangle} = \overline{\langle w_2, w_1 \rangle}$ .

**Nonnegative:** Since  $\mathbb{V}$  is an inner product vector space,  $\langle v_1, v_1 \rangle \geq 0$ . Therefore,  $\langle w_1, w_1 \rangle = \langle T^{-1}(w_1), T^{-1}(w_1) \rangle = \langle v_1, v_1 \rangle \geq 0$ .

**Definiteness:** Since  $\mathbb{V}$  is an inner product vector space, if  $\langle v_1, v_1 \rangle = 0 \rightarrow v_1 = 0$ . Therefore, if  $0 = \langle w_1, w_1 \rangle = \langle T^{-1}(w_1), T^{-1}(w_1) \rangle = \langle v_1, v_1 \rangle \rightarrow v_1 = 0 \rightarrow w_1 = T(v_1) = T(0) = 0$ .

#### 4.1.12

(a)

**Proof**  $\Rightarrow$  If  $v = 0$ , by **Theorem 4.2(3)**

$$\langle v, w \rangle = \langle 0, w \rangle = 0, \forall w \in \mathbb{V}$$

$\Leftarrow$  If  $\langle v, w \rangle = 0, \forall w \in \mathbb{V}$ , by **Theorem 4.2(4)**

$$v = 0$$

(b)

**Proof**  $\Rightarrow$  If  $v = w$

$$\langle v, u \rangle = \langle w, u \rangle = \langle w, u \rangle, \forall u \in \mathbb{V}.$$

$\Leftarrow$  If  $\langle v, u \rangle = \langle w, u \rangle, \forall u \in \mathbb{V}$ . Using result from (a)

$$\langle v, u \rangle = \langle w, u \rangle \rightarrow \langle v - w, u \rangle = 0 \rightarrow v - w = 0 \rightarrow v = w$$

(c)

**Proof**  $\Rightarrow$  If  $S = T$ .

$$\langle Sv_1, v_2 \rangle = \langle Tv_1, v_2 \rangle, \forall v_1, v_2 \in \mathbb{V}$$

$\Leftarrow$  If  $\langle Sv_1, v_2 \rangle = \langle Tv_1, v_2 \rangle, \forall v_1, v_2 \in \mathbb{V}$ . Using conclusion from (a), (b)

$$\begin{aligned} \langle Sv_1, v_2 \rangle &= \langle Tv_1, v_2 \rangle \rightarrow \langle Sv_1 - T(v_1), v_2 \rangle = 0 \\ &\rightarrow \forall v_2, \langle (S - T)(v_1), v_2 \rangle = 0 \\ &\rightarrow (S - T)(v_1) = 0 \\ &\rightarrow \forall v_1, S(v_1) = T(v_1) \\ &S = T \end{aligned}$$