

## **Values, Types and Expressions *overview***

- ❑ **Typology of values and types:**
  - ❖ primitive, composite and recursive
  - ❖ set theoretical representation
  - ❖ polynomial representation of types  
*(to be covered in the tutorials)*
- ❑ **Using values: first class values and second class values**
- ❑ **Typology of expressions**

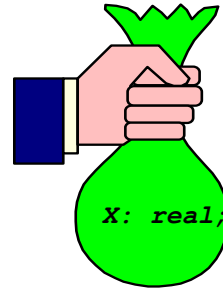
1

## **TYPOLOGY OF VALUES AND TYPES**

2

## Values

- ❑ A *value* is any entity that exists during a computation
- ❑ Alternatively, a value is anything that may be manipulated by a program
- ❑ Operational definition (in Pascal): anything that may be passed as an argument to a subroutine
- ❑ Two ways to classify values in a language:
  - ❖ By their *structure* (the way they are defined)
  - ❖ By their *functionality* (the ways they are manipulated)



## Value Structure

- ❑ **Primitive value:** *is not* composed of other values
  - ❖ truth values, characters, integers, reals, pointers
- ❑ **Composite value:** *is* composed of other values
  - ❖ records, arrays, sets, files
- ❑ The ways to create composite values in a language are usually independent of its implementation
- ❑ The set of legal values in a language's implementation:
  - ❖ a closure of the primitive values in this *implementation* under the mechanisms the language *specification* allows for creating composite values
  - ❖ a potentially infinite set, but in fact finite but large

## Value Manipulation

- ❑ Operations on values
  - ❖ Passing them to procedures as arguments
  - ❖ Returning them through an argument of a procedure
  - ❖ Returning them as the result of a function
  - ❖ Assigning them into a variable
  - ❖ Using them to create a composite value
  - ❖ Creating/computing them by evaluating an expression
- ❑ A value for which *all* these operations are allowed is called a *first-class value*
- ❑ We are used to integer or character values, but function values are also possible!

## Values in Pascal

- ❑ **First-class values**
  - ❖ **Only the primitive values:** truth values, characters, enumerands, integers, reals, pointers.
- ❑ **Lower-class values** – can be passed as arguments, but cannot be stored, or returned, or used as components in other values
  - ❖ **References to variables in Pascal**
  - ❖ **Procedure and function abstractions in Pascal**
- ❑ **Composite values** – records, arrays, sets and files
  - ❖ *Not first class:* cannot be returned!

## Values in ML

- ❑ All the values in ML are first-class values:
  - ❖ **Primitive values:** truth values, integers, reals, strings.
  - ❖ **Composite values:** records, tuples (records w/o field names), constructions (tagged values), lists, arrays.
  - ❖ **Function abstractions**
  - ❖ **References to variables**
- ❑ What we can do in ML but *not* in Pascal:
  - ❖ write a *function* that gets a function  $f : \text{int} \rightarrow \text{int}$  and returns the composition of  $f$  with itself
  - ❖ create a *record* composed of two functions

7

## Expressions

- ❑ **Expression:** A part of a program that is translated into a value (*evaluated*) during computation
- ❑ Some examples:
  - ❖ `3.1416`            `'%'`        `'Hello, world'`        (Pascal)
  - ❖ `2*a[i]+7`        `sqr(4)`        `q^.head`        (Pascal)
  - ❖ `if leap (thisyear) then 29 else 28`        (ML)
- ❑ We'll see more later....

8

## The need for types

- ❑ In machine language – all values are just bit patterns => they are *untyped*
- ❑ In assembler languages – there is already a difference between *addresses* and *data*
  - ❖ The sum of two addresses is ill-defined => tagged architectures that add type information to values in runtime
  - ❖ Problem: usually deals only with predefined types, but not with user-defined types
- ❑ Observation: a type is also a property of
  - ❖ memory cells – where typed values are stored
  - ❖ expressions – from which typed values are evaluated
- ❑ High-level languages: attach types also to expressions (as will be seen later)
- ❑ Type checking (of expressions, arguments, etc.) is crucial to discovering more errors automatically

9

## Historical Background

- ❑ Initially, an assumption of set theory:
  - ❖ For every imaginable property, there exists a set of objects that satisfy this property
- ❑ **Russell's Paradox:** The following set  $R$  leads to a contradiction:
$$R = \{x \mid x \notin x\}$$
  - ❖ If we assume that  $R$  is a member of  $R$  we must conclude that  $R$  is *not* a member of  $R$ , and vice versa!
  - ❖ *In a town, a barber shaves precisely those men who do not shave themselves; who shaves the barber?*
- ❑ **Resolution:** Use tagging. The following is allowed only if  $x$  is restricted to range over a set  $P$ , where the set of possible  $P$ s is predefined

$$S_P = \{x \in P \mid \dots\}$$

Each set carries a *tag*. These tags evolved into *types* in programming languages

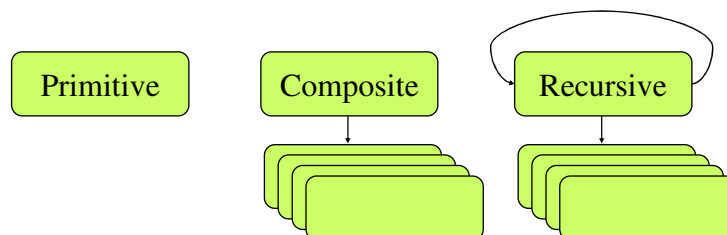
10

## Types as Sets of Values

- ❑ Every type corresponds to a **set of values**
  - ❖ A *value*  $v$  is of type  $T \Rightarrow v$  is in a set that  $T$  defines
  - ❖ An *expression*  $E$  is of type  $T \Rightarrow$  the result of evaluating  $E$  is a value of type  $T$
- ❑ However, not every set of values corresponds to a type!
- ❑ A **type** is a set of values with operations that can be applied uniformly for every value in the set
- ❑ For example:
  - ❖ {Sunday, 13, "November"} does not correspond to any type in any language
  - ❖ {false, true} corresponds to a type in many languages
- ❑ **Conclusion:** the definition of the set of types is a **pragmatic** decision, based on the objectives of the language, and not on the formal properties of its values

## Types

- ❑ **Primitive type:** a type that has only primitive values
- ❑ **Composite type:** a type that has (many) composite values
- ❑ **Recursive type:** a type that has (many) values that are composed both of values of the *same type* as well as values of other (base) types



## Primitive Types



- ❑ A **type system** is a collection of sets of values and the relations among these sets
- ❑ The primitive types are the building blocks of this collection: their values *cannot be broken* further into smaller values
- ❑ Primitive types are subdivided into:
  - ❖ **Rudimentary**: These types are built-in into the programming language (e.g. *truth value, integer, real, character*)
  - ❖ **Non-Rudimentary**: User defined primitive types
- ❑ Examples of non-rudimentary primitive types:
  - ❖ **Enumerated types** (Ada, Pascal, C, ...)  
`TYPE Month = (January, February, March, April, May, Jun, July, August, September, October, November, December)`
  - ❖ **Subranges** (Pascal, ...): a range of consecutive values.  
`TYPE DayOfMonth = 1..31`

13

## Primitive Types (cont'd)



- ❑ Choice of rudimentary primitive types tells much about the intended purpose of the programming language:
  - ❖ **Fortran – numerical computation**. Choice of precision of real and complex numbers.
  - ❖ **Cobol – data processing**. Fixed length strings, fixed point numbers.
  - ❖ **Snobol – string processing**. Variable length strings.
- ❑ **Point of confusion**: Different programming languages use different names for the same primitive type.
  - ❖ Pascal: **Boolean, Integer, Real**
  - ❖ ML: **bool, int, real**
- ❑ **Point of difficulty**: Different implementations of the language may use different sets of values for the same type.
- ❑ **Distinction between name and value**: The enumerand values and their identifiers are distinct.
  - ❖ The identifier `December` can be redefined, but the value can still be accessed as `succ(November)`
  - ❖ Even worse in FORTRAN...

14

## Primitive Types (cont'd)

- ❑ In C and C++, the values of `bool` (**false** and **true**) just denote 0 and other integers (respectively). In Pascal and other languages they are completely separate values.
- ❑ The same is true for values of `char` that are just different names for the small non-negative integers ('a' for 97, 'b' for 98, etc.) in C and C++
- ❑ The same is true for enumerated types in C and C++. Versus Pascal and Ada
- ❑ Some languages have several types for integers and reals
  - ❖ C and C++ have `float` and `double` for reals
  - ❖ Java has `byte`, `short`, `int`, `long` for differing ranges of integers

15

## Cardinality of a Type

- ❑ The number of values in the set corresponding to that type.
- ❑ For type T, denoted #T
- ❑ #Boolean = 2
- ❑ #char = 256 (ISO Latin set) or 65,536 (Unicode)
- ❑ #Months = 12
- ❑ #integer = ???
  - ❖ Usually implementation dependent, or defined for special types of integers (#byte = 256)
  - ❖ In Pascal:  $2 * (\text{maxint} + 1)$
- ❑ #real – even more complicated (not unique)

16



## Ordering Primitive Types

- ❑ **Ordered type:** a type for which a total order relation is defined.
  - ❖ Useful in iterators and conditional expressions
- ❑ **Unordered types:** complex (FORTRAN, Mathematica, Matlab)
- ❑ **Discrete type:** an ordered type whose set of values has a one-to-one order preserving mapping with a (range of) integers. Examples: *Boolean*, *char*, *integer*.
- ❑ **Ordered indiscrete types:** *string*, *real*.
- ❑ **Pascal:** Only discrete types can be used in:
  - ❖ indices for loops and arrays (reasonable policy)
  - ❖ **Case** statements (arbitrary policy, as in machine language)
- ❑ **C++:** Only discrete types can be used as template arguments.

17

## Semi-Primitive Types

- ❑ **Semi-primitive types 1:**
  - ❖ **Atomic:** values cannot be broken down into values of smaller types
  - ❖ **Non-rudimentary:** defined by the programmer**Example:** enumerated types
- ❑ **Semi-primitive types 2 (pseudo-primitive types):**
  - ❖ **Non-atomic:** values can be broken down into values of smaller types
  - ❖ **Rudimentary:** are built-in into the programming language**Example:** strings in several programming languages
  - ❖ Values can be broken into smaller strings
  - ❖ Type string is built into the language

18

## Strings

- ❑ **String**: a sequence of characters. Useful data type. Supported in one way or another by all modern programming languages.
- ❑ No consensus. Issues:
  - ❖ Primitive (as in Icon) or composite (as in Pascal) type?
  - ❖ Fixed length (as in C) or variable length (as in Cobol)?
  - ❖ What string operations are supported?
  - ❖ String literals? Delimiters or quotes?
- ❑ **ML**: a primitive type of any length. Operations: equality test, concatenation, decomposition are built-in.
- ❑ **Pascal and Ada**: an array of characters. All array operations are available. Disadvantage: all string operations must be defined in term of these fixed length strings.
- ❑ **Algol-68**: same as Pascal and Ada, but with flexible arrays (size changed during runtime).
- ❑ **C**: semi-flexible arrays. String literals.
- ❑ **Prolog and Miranda**: a list of characters. Only the first character can be selected. All other string operations, e.g., ordering, sub-strings, etc. must be explicitly defined.

*A literal of type T is (roughly) a constant of type T which can be used in the program text*

## Composite Types



- ❑ **Type operators**: create a new composite type out of primitive and composite types:
  - ❖ tuples, records, variants, unions, arrays, sets, strings, lists, trees, serial files, direct files, relations, etc.
- ❑ All can be understood in terms of set theory operators:
  - ❖ Cartesian products: **tuples and records**.
  - ❖ Disjoint unions: **variants and unions**.
  - ❖ Mappings: **arrays and functions**.
  - ❖ Power sets: **sets**.
  - ❖ Enclosure under operator: **recursive types, dynamic data structures**.
- ❑ We will introduce *two* mathematical notations that provide a common foundation for composite types in many programming languages:
  - ❖ **Set theory**: primitive types are (arbitrary) sets of values, composite types are other sets defined in terms of these sets
  - ❖ **Symbolic functions**: primitive types are formal variables, composite types are polynomials over these variables

## Cartesian Products: tuples and records

- ❑ Given two types  $S$  and  $T$ , we denote their Cartesian product by  $S \times T$ .
  - ❖  $S \times T = \{ (x, y) \mid x \in S; y \in T \}$
  - ❖  $\#(S \times T) = \#S \times \#T$This can be generalized to more than two sets:  $S_1 \times S_2 \times \dots \times S_n$
- ❑ The tuple of ML, the records of Cobol, Pascal, Ada, Icon and ML, and the so-called structures Algol-68 and C can *all* be understood in terms of Cartesian products.
- ❑ ML tuples:

```
type person = string * string * int * real
```

A decomposition of a value `someone` of type `person`:

```
val (surname, forename, age, height) = someone
```

```
if age >= 18 then ... else ...
```
- ❑ ML records (*labeled* Cartesian product):

```
type person = { surname: string,
                 forename: string,
                 age: int,
                 height: real }
```

## Cartesian Products (cont'd)

- ❑ **Homogenous tuples:** a special case of the Cartesian product is one where all the tuple components are chosen from the same set:
  - ❖  $S^n = S \times S \times \dots \times S$
  - ❖  $\#(S^n) = (\#S)^n$
- ❑ We observe that  $S^0$  has exactly one value: the *0-tuple*.
  - ❖  $\text{Unit} = \{ () \}$
  - ❖ Unit is not the empty set: it contains a single value which happens to be a tuple with no components.
- ❑ Unit corresponds to the `unit` type of ML, and `void` type of C.

## The “Unit” Type

- ❑ Primitive types have cardinalities, e.g.,
  - ❖ #Boolean = 2
  - ❖ #integer =  $2^n$
  - ❖ #real = ...
- ❑ A type with cardinality 1?
  - ❖ Values of such a type require no storage!
  - ❖ C's `void`, Pascal's `nil`, etc.
- ❑ Technically, the Unit type does not have to be a primitive type
  - ❖ It can be thought of as a record with no fields in it: the *neutral element* of the record “type operator”

23

## Type `void` in C and Other Subtle Points

- ❑ `void f1(int)`
  - ❖ Is not a function that does not return anything
  - ❖ It is rather a function that can return in only one way, i.e. returns type unit
- ❑ `int f2(void)`
  - ❖ Takes no arguments
  - ❖ Can be thought of as taking an argument of type unit
- ❑ `int f3(...);`
  - ❖ function is declared to have a variable number of arguments
- ❑ `extern f4();`
  - ❖ return type is implicitly int
  - ❖ In C, no declaration on arguments
  - ❖ In C++, function takes no argument (an argument of type unit)

Note: In C, unlike Java, the return type of `main()` is not void. It is rather int, by which the program can report to the operating system its terminated successfully (value 0), or that it failed (any other value).

24

## Unit Type in C

`void` is not an ordinary type in C. Although there is a `void` return type and a `void` argument, there is no way to make variables of type `void`. However, we can emulate unit type in C using one of the following tricks:

```
typedef enum Unit {  
    unit  
} Unit;
```

The value of this type is written {} in C, however, this value can only be used in initialization statements

Or...

```
typedef struct {  
} Unit;
```

Ditto!

Or...

```
typedef int Unit[0];
```

25

## Disjoint Unions: Choice Type Operators

- ❑ Each value is chosen from either set  $S$  or set  $T$ 
  - ❖  $S + T = \{ \text{left } x \mid x \in S \} \cup \{ \text{right } y \mid y \in T \}$
  - ❖  $\#(S + T) = \#S + \#T$
- ❑ Examples:
  - ❖ C's union
  - ❖ Pascal's variant record
  - ❖ ML's constructions
  - ❖ Java and Eiffel: missing! Typical to OO languages - the need for choice type operators is diminished with OO features.
- ❑ Useful for representing *pointers*:
  - ❖ Pointer to type  $X$ :
    - either points to a value of type  $X$ , or is `nil/void/0` (depending on your terminology)
    - can be thought of as a choice between Unit type and the type ( $X$ )

26

## Variant Records in Pascal

- ❑ Syntax of definition:

```
record case I: T of  
  L1: (I1: T1);  
  ...  
  Ln: (In: Tn)  
end
```

- ❑ Example:

```
type Accuracy = (exact, approx);  
Number = record case tag: Accuracy of  
  exact: (ival: Integer);  
  approx: (rval: Real)  
end
```

The values of type `Number` are:

```
{ ..., exact -2, exact -1, exact 0, exact 1, exact 2, ... } ,  
{ ... approx -1.0, ..., approx 0.0, ..., approx 1.0, ... }
```

## Using Pascal's Variant Record

- ❑ In Pascal, Turbo Pascal and in C, a disjoint union type may be accessed in the same way as ordinary Cartesian product elements. *This is unsafe!*

- ❑ Consider the following code:

```
VAR n: Number;  
...  
n.tag := exact; (* n.ival is still undefined *)  
n.ival := 7;  
...  
n.tag := approx; (* n's value is changed in one step  
                  from exact 7 to approx undefined *)
```

- ❑ Safe decomposition of a variant record

```
function round_num(n: Number): Integer;  
  case n.tag of  
    exact: round_num := n.ival;  
    approx: round_num := round(n.rval)  
  end;  
end;
```

## Variant Records in ML

- ❑ ML construction definitions:  
`datatype number = exact of int | approx of real;`
- ❑ Construction literals:  
`exact(i + 1)`  
`approx(r/3.0)`
- ❑ Decomposing a construction:  
`case n of exact i => i | approx r => round(r);`
- ❑ Observe that such a mechanism may enhance a dynamic typing system, in the same way that C's ternary `?:` operator does.

## Tagging in Choice Types

- ❑ **Tag:** A mechanism for storing the selection made in a choice type.
- ❑ Different programming languages provide different levels of support for tagging:
  - **ML:** Tagging is built into the language.
    - **The tag is implicit:** You cannot access a "Tag Field" directly.
    - **Correct tagging is enforced:** There is no way to store a value into one choice selection and read it from another.
  - **Pascal:** The compiler forces a definition of a tag field. When a tag field is updated, the record's corresponding fields are created accordingly.
    - But: the compiler does not enforce correct access to record according to tag.
  - **Turbo-Pascal:** A Pascal extension which allows the programmer to get away without defining a tag field.
  - **C:** Responsibility lies entirely with programmer, both for defining (or not) a tag field and for using it correctly.
  - **Pointers in all languages:** Tagging is implicit in tests for null pointer. However, not all languages complain if you try to read from or write to a null pointer.
- ❑ We presume that tagging exists in all choice type operators, be it by language design or by programmer responsibility

30

## The Need for Tagging

- ❑ Recall that tagging is needed for safe decomposition.
- ❑ But the need is also observed in the set-theoretical representation.
- ❑ Suppose that the representation of `height` were simply the union set  $I \cup I$
- ❑ Then we would get:  $I \cup I = I$
- ❑ With tagging we have e.g.:  
 $I_{cm} = \{cm\} \times I, I_{in} = \{in\} \times I, cm \neq in$
- ❑ And we can simply represent `height` by a disjoint union of  $I_{cm}$  and  $I_{in}$ .

```
typedef union
height {
    int cm;
    int in;
};
```

31

## More on tags

- ❑ The basic operations on disjoint union  $S+T$ :
  - ❖ Construction : build a disjoint union value by taking a value from  $S$  or  $T$  and adding the appropriate tag
  - ❖ Tag test: determine if the variant came from  $S$  or  $T$  (*right*  $v$  is from  $T$ )
  - ❖ Projection: get the value by removing the tag (*right*  $v$  will return  $v$ )
- ❑ A less desirable (albeit equivalent) alternative would be a structure of a Boolean and an integer.

32



## Choice and Enumerated Types

- ❑ An enumerated type can be simulated as a choice between units:

```
typedef enum Suit {  
    diamond, heart, spade, clover,  
} Suit;
```

A choice between four  
empty structures with  
different names

```
typedef union Suit {  
    struct {} diamond;  
    struct {} heart;  
    struct {} spade;  
    struct {} clover;  
} Suit;
```

33

## The “none” Type

- ❑ **none**: a type with cardinality 0
  - ❖ This type has no legal values
  - ❖ It would be meaningless to define variables of this type, since no value could ever be stored into these variables.
- ❑ **Example**: The function `exit()` in C, which never returns.
  - ❖ The return type of this function should not be `void` but rather `none`.

34

## Emulating `none` in C

```
typedef enum {  
    none;  
}
```

Enumerated type, with no possible values

Or...

```
typedef union {  
    none;  
}
```

A union which has no fields. There is no legal way to assign a value to such a union

Check how your C compiler deals with these types...

35

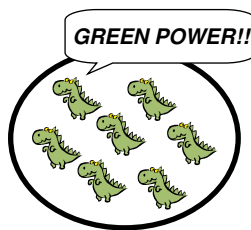
## Mappings: arrays and functions

- ❑ Arrays as mappings:
  - ❖ the type “**array** [S] of T” (in Pascal S must be discrete) corresponds to a mapping  $S \rightarrow T$ .
  - ❖ the type “**array** [S<sub>1</sub>, S<sub>2</sub>, ..., S<sub>n</sub>] of T” corresponds to a mapping  $(S_1 \times S_2 \times \dots \times S_n) \rightarrow T$ ,
  - ❖ alternatively, using **Currying**, to  $(S_1 \rightarrow (S_2 \rightarrow (\dots \rightarrow S_n))) \rightarrow T$
- ❑ Functions as mappings:
  - ❖ “**function** even (n: Integer) : Boolean” corresponds to a function  $\text{Integer} \rightarrow \text{Boolean}$ .
  - ❖ **Note:** functions in most programming languages are algorithmic *implementations* of mathematical functions/mappings. They have however other properties that functions do not have:
    - **efficiency**
    - **side effects**
- ❑  $\#(S \rightarrow T) = \#T^{\#S}$

36

## Power Sets: sets

- ❑  $\#(\wp(T)) = 2^{\#T}$
- ❑ corresponds to “**set of T**” in Pascal
- ❑ Ideally: isomorphic to  $T \rightarrow \text{Boolean}$
- ❑ In Pascal: for efficiency T must be discrete  
=> in effect similar to “**array [T] of Boolean**”



37

## Recursive Types



- ❑ A recursive type is defined in terms of itself.
- ❑ Modern Languages like ML allow direct definition.
- ❑ **Example 1-** `IntList = nil Unit + cons(Integer x IntList )`
- ❑ lists in ML:  

```
datatype intlist = nil | cons of int * intlist
```

This is an abbreviation for `nil of unit | cons of ...`
- ❑ Values of intlist:  

```
nil  
cons(11, nil)  
cons(2, cons(3, cons(5, cons(7, cons(11, nil))))))
```
- ❑ These can be obtained by substituting values so far in the right-hand side of the definition, to get new values.
- ❑ Doing this “forever” gives all finite lists, which are a “solution” of the defining equation (actually the “**least solution**”)
- ❑ We could also consider infinite lists, but usually don’t...

## More on recursive lists

- ❑ We will see that such recursive definitions are useful and can be efficient.
- ❑ Each list is finite, but there is no global limit on the number of elements (so there are infinitely many lists defined here)
- ❑ Further, ML has a pre-defined type constructor called **list**:  
`int list`  
`bool list`  
`int list list`
- ❑ Operations
  - ❖ **Basic Predefined:** test for emptiness, select head, select tail.
  - ❖ Concatenation and length (could be defined in terms of basic ops)
- ❑ In Ada/C/Pascal, recursive types must be defined with pointers.

39

## Another Recursive Type



- ❑ **Example 2: trees**  
**datatype** `inttree` = leaf **of** `int` | branch **of** `inttree` \* `inttree`
- ❑ Possible values:
  - ❖ leaf 11
  - ❖ branch (leaf 11, leaf 5)
  - ❖ branch (branch (leaf 11, leaf 5), leaf 11)
- ❑ Note: most values of this recursive type are composed of values of the same type.
- ❑ Set theoretical representation:  
 $\text{Integer\_Tree} = \text{Integer} \cup (\text{Integer\_Tree} \times \text{Integer\_Tree})$
- ❑ This equation has many solutions but it defines a **least solution**, which can be approximated by substituting the empty set on the left side for **Integer\_Tree** and iterating the process.

40