

Lean 4 Code: ValuativeCriterion

Mathlib4

September 6, 2025

1 Source Code

The following is the Lean 4 source code from `ValuativeCriterion.lean`:

```
1 /-
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5 -/
6 import Mathlib.AlgebraicGeometry.Morphisms.Immersion
7 import Mathlib.AlgebraicGeometry.Morphisms.Proper
8 import Mathlib.RingTheory.RingHom.Injective
9 import Mathlib.RingTheory.Valuation.LocalSubring
10
11 /-!
12 # Valuative criterion
13
14 ## Main results
15
16 - 'AlgebraicGeometry.UniversallyClosed.eq_valuativeCriterion':
17   A morphism is universally closed if and only if
18   it is quasi-compact and satisfies the existence part of the valuative criterion.
19 - 'AlgebraicGeometry.IsSeparated.eq_valuativeCriterion':
20   A morphism is separated if and only if
21   it is quasi-separated and satisfies the uniqueness part of the valuative
22   criterion.
23 - 'AlgebraicGeometry.IsProper.eq_valuativeCriterion':
24   A morphism is proper if and only if
25   it is qcqs and of finite type and satisfies the valuative criterion.
26
27 ## Future projects
28 Show that it suffices to check discrete valuation rings when the base is
29 Noetherian.
30
31 -/
32
33 open CategoryTheory CategoryTheory.Limits
34
35 namespace AlgebraicGeometry
36
37 universe u
38
39 /--
40 A valuative commutative square over a morphism  $f : X \rightarrow Y$  is a square
41 '''
42 
$$\begin{array}{ccc} \text{Spec } K & \longrightarrow & Y \\ | & & | \end{array}$$

43 '''
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42
43 Spec R → X
44 '''
45 where 'R' is a valuation ring, and 'K' is its ring of fractions.
46
47 We are interested in finding lifts 'Spec R → Y' of this diagram.
48 -/
49 structure ValuativeCommSq {X Y : Scheme.{u}} (f : X → Y) where
50   /-- The valuation ring of a valuative commutative square. -/
51   R : Type u
52   [commRing : CommRing R]
53   [domain : IsDomain R]
54   [valuationRing : ValuationRing R]
55   /-- The field of fractions of a valuative commutative square. -/
56   K : Type u
57   [field : Field K]
58   [algebra : Algebra R K]
59   [isFractionRing : IsFractionRing R K]
60   /-- The top map in a valuative commutative map. -/
61   (i₁ : Spec(K) → X)
62   /-- The bottom map in a valuative commutative map. -/
63   (i₂ : Spec(R) → Y)
64   (commSq : CommSq i₁ (Spec.map (CommRingCat.ofHom (algebraMap R K))) f i₂)
65
66 namespace ValuativeCommSq
67
68 attribute [instance] commRing domain valuationRing field algebra isFractionRing
69
70 end ValuativeCommSq
71
72 /-- A morphism 'f : X → Y' satisfies the existence part of the valuative
73   criterion if
74   every valuative commutative square over 'f' has (at least) a lift. -/
75 def ValuativeCriterion.Existence : MorphismProperty Scheme :=
76   fun _ _ f      ∀ S : ValuativeCommSq f, S.commSq.HasLift
77
78 /-- A morphism 'f : X → Y' satisfies the uniqueness part of the valuative
79   criterion if
80   every valuative commutative square over 'f' has at most one lift. -/
81 def ValuativeCriterion.Uniqueness : MorphismProperty Scheme :=
82   fun _ _ f      ∀ S : ValuativeCommSq f, Subsingleton S.commSq.LiftStruct
83
84 /-- A morphism 'f : X → Y' satisfies the valuative criterion if
85   every valuative commutative square over 'f' has a unique lift. -/
86 def ValuativeCriterion : MorphismProperty Scheme :=
87   fun _ _ f      ∀ S : ValuativeCommSq f, Nonempty (Unique (S.commSq.LiftStruct))
88
89 variable {X Y : Scheme.{u}} (f : X → Y)
90
91 lemma ValuativeCriterion.iff {f : X → Y} :
92   ValuativeCriterion f ↔ Existence f ∧ Uniqueness f := by
93   change (∀ _, _) ↔ (∀ _, _) ∧ (∀ _, _)
94   simp_rw [← forall_and, unique_iff_subsingleton_and_nonempty, and_comm,
95     CommSq.HasLift.iff]
96
97 lemma ValuativeCriterion.eq :
98   ValuativeCriterion = Existence      Uniqueness := by
99   ext X Y f
100   exact iff

```

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98
99 lemma ValuativeCriterion.existence {f : X → Y} (h : ValuativeCriterion f) :
100   ValuativeCriterion.Existence f := (iff.mp h).1
101
102 lemma ValuativeCriterion.uniqueness {f : X → Y} (h : ValuativeCriterion f) :
103   ValuativeCriterion.Uniqueness f := (iff.mp h).2
104
105 namespace ValuativeCriterion.Existence
106
107 open IsLocalRing
108
109 @[stacks 01KE]
110 lemma specializingMap (H : ValuativeCriterion.Existence f) :
111   SpecializingMap f.base := by
112     intro x' y h
113     let stalk_y_to_residue_x' : Y.presheaf.stalk y → X.residueField x' :=
114       Y.presheaf.stalkSpecializes h f.stalkMap x' X.residue x'
115     obtain ⟨A, hA, hA_local⟩ := exists_factor_valuationRing
116       stalk_y_to_residue_x'.hom
117     let stalk_y_to_A : Y.presheaf.stalk y → .of A :=
118       CommRingCat.ofHom (stalk_y_to_residue_x'.hom.codRestrict _ hA)
119     have w : X.fromSpecResidueField x' f =
120       Spec.map (CommRingCat.ofHom (algebraMap A (X.residueField x'))))
121       Spec.map stalk_y_to_A Y.fromSpecStalk y := by
122       rw [Scheme.fromSpecResidueField, Category.assoc, ←
123         Scheme.Spec_map_stalkMap_fromSpecStalk,
124         ← Scheme.Spec_map_stalkSpecializes_fromSpecStalk h]
125       simp_rw [← Spec.map_comp_assoc]
126       rfl
127     obtain ⟨l, hl1, hl2⟩ := (H { R := A, K := X.residueField x', commSq := ⟨w⟩, ..
128       }).exists_lift
129     dsimp only at hl1 hl2
130     refine ⟨l.base (closedPoint A), ?_, ?_⟩
131     · simp_rw [← Scheme.fromSpecResidueField_apply x' (closedPoint (X.residueField
132       x'))], ← hl1]
133     exact (specializes_closedPoint _).map l.base.hom.2
134     · rw [← Scheme.comp_base_apply, hl2]
135     simp only [Scheme.comp_coeBase, TopCat.coe_comp, Function.comp_apply]
136     have : (Spec.map stalk_y_to_A).base (closedPoint A) = closedPoint
137       (Y.presheaf.stalk y) :=
138       comap_closedPoint (S := A) (stalk_y_to_residue_x'.hom.codRestrict
139         A.toSubring hA)
140     rw [this, Y.fromSpecStalk_closedPoint]
141
142 instance {R S : CommRingCat} (e : R ≅ S) : IsLocalHom e.hom.hom :=
143   isLocalHom_of_isIso _
144
145 lemma of_specializingMap (H : (topologically @SpecializingMap).universally f) :
146   ValuativeCriterion.Existence f := by
147     rintro ⟨R, K, i1, i2, ⟨w⟩⟩
148     haveI : IsDomain (CommRingCat.of R) := _
149     haveI : ValuationRing (CommRingCat.of R) := _
150     letI : Field (CommRingCat.of K) := _
151     replace H := H (pullback.snd i2 f) i2 (pullback.fst i2 f) (.of_hasPullback i2 f)
152     let lft := pullback.lift (Spec.map (CommRingCat.ofHom (algebraMap R K))) i1
153       w.symm
154     obtain ⟨x, h1, h2⟩ := @H (lft.base (closedPoint _)) _ (specializes_closedPoint
155       (R := R) _)
156     let e : CommRingCat.of R ≅ Spec(R).presheaf.stalk ((pullback.fst i2 f).base x)

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:=
149   (stalkClosedPointIso (.of R)).symm
150   Spec(R).presheaf.stalkCongr (.of_eq h2.symm)
151 let  $\alpha$  := e.hom (pullback.fst i2 f).stalkMap x
152 have : IsLocalHom e.hom.hom := isLocalHom_of_isIso e.hom
153 have : IsLocalHom  $\alpha$ .hom := inferInstanceAs
154   (IsLocalHom (((pullback.fst i2 f).stalkMap x).hom.comp e.hom.hom))
155 let  $\beta$  := (pullback i2 f).presheaf.stalkSpecializes h1
156   Scheme.stalkClosedPointTo lft
157 have h $\alpha\beta$  :  $\alpha$   $\beta$  = CommRingCat.ofHom (algebraMap R K) := by
158   simp only [CommRingCat.coe_of, Iso.trans_hom, Iso.symm_hom,
159     TopCat.Presheaf.stalkCongr_hom,
160     Category.assoc,  $\alpha$ , e,  $\beta$ , stalkClosedPointIso_inv, StructureSheaf.toStalk]
161   change (Scheme.ΓSpecIso (.of R)).inv Spec(R).presheaf.germ _ _ _ = _
162   simp only [TopCat.Presheaf.germ_stalkSpecializes_assoc,
163     Scheme.stalkMap_germ_assoc]
164   -- 'map_top' introduces defeq problems, according to 'check_compositions'.
165   -- This is probably the cause of the 'erw' needed below.
166   simp only [TopologicalSpace.Opens.map_top]
167   rw [Scheme.germ_stalkClosedPointTo lft ⊤ trivial]
168   erw [← Scheme.comp_app_assoc lft (pullback.fst i2 f)]
169   rw [pullback.lift_fst]
170   simp
171 have hbij := (bijective_rangeRestrict_comp_of_valuationRing (R := R) (K := K)  $\alpha$ 
172   .hom  $\beta$ .hom
173   (CommRingCat.hom_ext_iff.mp h $\alpha\beta$ ))
174 let  $\varphi$  : (pullback i2 f).presheaf.stalk x → CommRingCat.of R :=
175   CommRingCat.ofHom <|
176   (RingEquiv.ofBijective _ hbij).symm.toRingHom.comp  $\beta$ .hom.rangeRestrict
177 have h $\alpha\varphi$  :  $\alpha$   $\varphi$  = _ := by ext x; exact (RingEquiv.ofBijective _
178   hbij).symm_apply_apply x
179 have h $\alpha\varphi$  : (pullback.fst i2 f).stalkMap x  $\varphi$  = e.inv := by
180   rw [← cancel_epi e.hom, ← Category.assoc, h $\alpha\varphi$ , e.hom_inv_id]
181 have h $\varphi\beta$  :  $\varphi$  CommRingCat.ofHom (algebraMap R K) =  $\beta$  :=
182   h $\alpha\beta$  > CommRingCat.hom_ext (RingHom.ext fun x congr_arg Subtype.val
183     ((RingEquiv.ofBijective _ hbij).apply_symm_apply ( $\beta$ .hom.rangeRestrict x)))
184 refine <<<Spec.map ((pullback.snd i2 f).stalkMap x  $\varphi$ ) X.fromSpecStalk
185   _, ?, ?_>>>
186 · simp only [← Spec.map_comp_assoc, Category.assoc, h $\varphi\beta$ ]
187 simp only [Spec.map_comp, Category.assoc,
188   Scheme.Spec_map_stalkMap_fromSpecStalk,
189   Scheme.Spec_map_stalkSpecializes_fromSpecStalk_assoc,  $\beta$ ]
190 -- This next line only fires as 'rw', not 'simp':
191 rw [Scheme.Spec_stalkClosedPointTo_fromSpecStalk_assoc]
192 simp [lft]
193 · simp only [Spec.map_comp, Category.assoc,
194   Scheme.Spec_map_stalkMap_fromSpecStalk,
195   ← pullback.condition]
196 rw [← Scheme.Spec_map_stalkMap_fromSpecStalk_assoc, ← Spec.map_comp_assoc,
197   h $\alpha\varphi$ ]
198 simp only [Iso.trans_inv, TopCat.Presheaf.stalkCongr_inv, Iso.symm_inv,
199   Spec.map_comp,
200   Category.assoc, Scheme.Spec_map_stalkSpecializes_fromSpecStalk_assoc, e]
201 rw [← Spec_stalkClosedPointIso, ← Spec.map_comp_assoc,
202   Iso.inv_hom_id, Spec.map_id, Category.id_comp]
203
204 instance stableUnderBaseChange :
205   ValuativeCriterion.Existence.IsStableUnderBaseChange := by
206   constructor

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```

195   intro Y' X X' Y Y'_to_Y f X'_to_X f' hP hf commSq
196   let commSq' : ValuativeCommSq f :=
197   { R := commSq.R
198     K := commSq.K
199     i1 := commSq.i1 X'_to_X
200     i2 := commSq.i2 Y'_to_Y
201     commSq := ⟨by simp only [Category.assoc, hP.w, reassoc_of% commSq.commSq.w]⟩ }
202   obtain ⟨l0, hl1, hl2⟩ := (hf commSq').exists_lift
203   refine ⟨⟨⟨hP.lift l0 commSq.i2 (by simp_all only [commSq']), ?_, hP.lift_snd _
204     - _⟩⟩⟩
205   apply hP.hom_ext
206   · simpa
207   · simp only [Category.assoc]
208   · rw [hP.lift_snd]
209   · rw [commSq.commSq.w]
210 @[stacks 01KE]
211 protected lemma eq :
212   ValuativeCriterion.Existence = (topologically @SpecializingMap).universally
213   := by
214   ext
215   constructor
216   · intro _
217     apply MorphismProperty.universally_mono
218   · apply specializingMap
219   · rwa [MorphismProperty.IsStableUnderBaseChange.universally_eq]
220   · apply of_specializingMap
221 end ValuativeCriterion.Existence
222
223 /-- The **valuative criterion** for universally closed morphisms. -/
224 @[stacks 01KF]
225 lemma UniversallyClosed.eq_valuativeCriterion :
226   @UniversallyClosed = ValuativeCriterion.Existence @QuasiCompact := by
227   rw [universallyClosed_eq_universallySpecializing,
228     ValuativeCriterion.Existence.eq]
229
230 /-- The **valuative criterion** for universally closed morphisms. -/
231 @[stacks 01KF]
232 lemma UniversallyClosed.of_valuativeCriterion [QuasiCompact f]
233   (hf : ValuativeCriterion.Existence f) : UniversallyClosed f := by
234   rw [eq_valuativeCriterion]
235   exact ⟨hf, _⟩
236
237 section Uniqueness
238 /-- The **valuative criterion** for separated morphisms. -/
239 @[stacks 01L0]
240 lemma IsSeparated.of_valuativeCriterion [QuasiSeparated f]
241   (hf : ValuativeCriterion.Uniqueness f) : IsSeparated f where
242   diagonal_isClosedImmersion := by
243     suffices h : ValuativeCriterion.Existence (pullback.diagonal f) by
244       have : QuasiCompact (pullback.diagonal f) :=
245         AlgebraicGeometry.QuasiSeparated.diagonalQuasiCompact
246       apply IsClosedImmersion.of_isPreimmersion
247       apply IsClosedMap.isClosed_range
248       apply (topologically @IsClosedMap).universally_le
249       exact (UniversallyClosed.of_valuativeCriterion (pullback.diagonal f) h).out
250   intro S

```

```

251 have hc : CommSq S.i1 (Spec.map (CommRingCat.ofHom (algebraMap S.R S.K)))
252     f (S.i2 pullback.fst f f f) := ⟨by simp [← S.commSq.w_assoc]⟩
253 let S' : ValuableCommSq f := ⟨S.R, S.K, S.i1, S.i2 pullback.fst f f
    f, hc⟩
254 have : Subsingleton S'.commSq.LiftStruct := hf S'
255 let S'l1 : S'.commSq.LiftStruct := ⟨S.i2 pullback.fst f f,
256     by simp [S', ← S.commSq.w_assoc], by simp [S']⟩
257 let S'l2 : S'.commSq.LiftStruct := ⟨S.i2 pullback.snd f f,
258     by simp [S', ← S.commSq.w_assoc], by simp [S', pullback.condition]⟩
259 have h12 : S'l1 = S'l2 := Subsingleton.elim _ _
260 constructor
261 constructor
262 refine ⟨S.i2 pullback.fst _ _, ?_, ?_⟩
263 · simp [← S.commSq.w_assoc]
264 · simp
265     apply IsPullback.hom_ext (IsPullback.of_hasPullback _ _)
266     · simp
267     · simp only [Category.assoc, pullback.diagonal_snd, Category.comp_id]
268     exact congrArg CommSq.LiftStruct.l h12
269
270 @[stacks 01KZ]
271 lemma IsSeparated.valuativeCriterion [IsSeparated f] :
    ValuableCriterion.Uniqueness f := by
272   intro S
273   constructor
274   rintro ⟨l1, hl1, hl1'⟩ ⟨l2, hl2, hl2'⟩
275   ext : 1
276   dsimp at *
277   have h := hl1'.trans hl2'.symm
278   let Z := pullback (pullback.diagonal f) (pullback.lift l1 l2 h)
279   let g : Z → Spec(S.R) := pullback.snd _ _
280   have : IsClosedImmersion g := MorphismProperty.pullback_snd _ _ inferInstance
281   have hZ : IsAffine Z := by
282     rw [HasAffineProperty.iff_of_isAffine @IsClosedImmersion] at this
283     exact this.left
284   suffices IsIso g by
285     rw [← cancel_epi g]
286     conv_lhs => rw [← pullback.lift_fst l1 l2 h, ← pullback.condition_assoc]
287     conv_rhs => rw [← pullback.lift_snd l1 l2 h, ← pullback.condition_assoc]
288     simp
289   suffices h : Function.Bijective (g.appTop) by
290     refine (HasAffineProperty.iff_of_isAffine (P := MorphismProperty.isomorphisms
        Scheme)).mpr ?_
291     exact ⟨hZ, (ConcreteCategory.isIso_iff_bijective _).mpr h⟩
292   constructor
293   · let l : Spec(S.K) → Z :=
294       pullback.lift S.i1 (Spec.map (CommRingCat.ofHom (algebraMap S.R S.K))) (by
295         apply IsPullback.hom_ext (IsPullback.of_hasPullback _ _)
296         · simpa using hl1.symm
297         · simpa using hl2.symm)
298   have hg : l g = Spec.map (CommRingCat.ofHom (algebraMap S.R S.K)) :=
299       pullback.lift_snd _ _ _
300   have : Function.Injective ((l g).appTop) := by
301     rw [hg]
302     let e := arrowIsoΓSpecOfIsAffine (CommRingCat.ofHom <| algebraMap S.R S.K)
303     let P : MorphismProperty CommRingCat :=
304         RingHom.toMorphismProperty <| fun f => Function.Injective f
305     have : (RingHom.toMorphismProperty <| fun f => Function.Injective
        f).RespectsIso :=

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```

306      RingHom.toMorphismProperty_respectsIso_iff.mp
307      RingHom.injective_respectsIso
308      change P _
309      rw [← MorphismProperty.arrow_mk_iso_iff (P := P) e]
310      exact FaithfulSMul.algebraMap_injective S.R S.K
311      rw [Scheme.comp_appTop] at this
312      exact Function.Injective.of_comp this
313      · rw [@HasAffineProperty.iff_of_isAffine @IsClosedImmersion] at this
314      exact this.right
315
316 /-- The valuative criterion for separated morphisms. -/
317 lemma IsSeparated.eq_valuativeCriterion :
318   @IsSeparated = ValuativeCriterion.Uniqueness      @QuasiSeparated := by
319   ext X Y f
320   exact ⟨fun _      ⟨IsSeparated.valuativeCriterion f, inferInstance⟩,
321     fun ⟨H, _⟩      .of_valuativeCriterion f H⟩
322
323 end Uniqueness
324
325 /-- The valuative criterion for proper morphisms. -/
326 @[stacks OBX5]
327 lemma IsProper.eq_valuativeCriterion :
328   @IsProper = ValuativeCriterion      @QuasiCompact      @QuasiSeparated
329   @LocallyOfFiniteType := by
330   rw [isProper_eq, IsSeparated.eq_valuativeCriterion, ValuativeCriterion.eq,
331     UniversallyClosed.eq_valuativeCriterion]
332   simp_rw [inf_assoc]
333   ext X Y f
334   change _ ∧ _ ∧ _ ∧ _ ∧ _ ↔ _ ∧ _ ∧ _ ∧ _
335   tauto
336
337 /-- The valuative criterion for proper morphisms. -/
338 @[stacks OBX5]
339 lemma IsProper.of_valuativeCriterion [QuasiCompact f] [QuasiSeparated f]
340   [LocallyOfFiniteType f]
341   (H : ValuativeCriterion f) : IsProper f := by
342   rw [eq_valuativeCriterion]
343   exact ⟨⟨⟨ _ , _ ⟩, _ ⟩, _ ⟩
344
345 end AlgebraicGeometry

```

Listing 1: ValuativeCriterion.lean