

# Adaptive Incremental Nonlinear Dynamic Inversion Control Based on Neural Network for UAV Maneuver

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**Abstract**—In this paper, a flight control strategy based on incremental nonlinear dynamic inversion (INDI) using properties of general flight control systems and nonlinear dynamic inversion by feeding back angular accelerations is presented. The INDI control law is based on a linearized approximation of incremental plant dynamics and reduces dependence on modeling accuracy. However, there is still an un-negligible nonlinear character of unmanned aerial vehicle (UAV) during high angle maneuvers. The main contributions of this article are 1) proposing an adaptive neural network compensation method : INDI with neural network(INDI\_NN) to correctly consider the model uncertainties; 2) a quaternion based reference model which is suitable for vehicles to experience a high angle maneuver. The simulation results support the proposed control scheme in getting better tracking performance during large range of attitudes. Hence, the proposed control method makes INDI controller more practical and more suitable for high angle maneuver like Immelman Turn.

## I. INTRODUCTION

Currently, the maneuverability, fault tolerance and survivability of unmanned aerial vehicles(UAVs) provides a strong motivation for the development of low cost and stability augmented UAV. However, the limitations of the control system using current approaches are often unavoidable, such as the consumption of design and the robustness. Therefore, one of the objective of the UAV design is to overcome the shortage of robustness, which aims to implement a versatile control scheme using a primitive aerodynamic model. This paper presents a novel adaptable flight control scheme based on neural network, which provides a solution to eliminate the limitations mentioned above.

Since the linear control theory in flight control systems is always based on the approximation of nonlinear aircraft dynamics [1], [2], it does not exploit the physical ability for UAV to operate high angle maneuvers in aerobatics, obstacle avoidance or flight combat [3]. Therefore, the implementation of nonlinear control for UAV is considered as an effective way to enable robust control of the nonlinear system and several successful attempts have been made. Nonlinear dynamic inversion(NDI), which is designed to use an aerodynamic model to linearize the dynamics of UAV, has been utilized in many applications [4]–[6]. However, NDI suffers from the sensitivity to parameter uncertainties [7], which involves all of the UAV's forces and dynamics. since it is often impossible to obtain an accurate model, many

attempts are focused on making the controller less dependent on the model.

In [8], a concept of feeding back angular accelerations is introduced. The rotational dynamic equations are transferred into an incremental form, resulting in incremental nonlinear dynamic inversion(INDI). Compared to NDI, INDI replace many part of the model with measured angular acceleration, and many un-modeled dynamics are compensated. However, there still remains some uncertainties which cannot be eliminated [9]. Neural Network(NN) have been proposed as an adaptive controller for high assurance system [10] and also for flight control of small UAV [11]. The learning capabilities of neural networks provide the property of real-time adaptivity during flight and reduce such effects which are often too hard to analytically calculated. In this paper, by combining a neural network adaptive controller with INDI controller, the problems related to model uncertainties and sensor measurement are solved.

The structures of this paper are as follows. First, a fixed-wing UAV model will be introduced in Sec. II, and a novel quaternion method based NDI will be discussed. Sec. III will deal with the analysis of INDI and the neural network based adaptive controller. Finally, in Sec. IV, the simulation setup is described and the results of simulation will be presented.

## II. FIXED-WING UAV DYNAMICS

### A. Model Definition

In this paper, the control strategy is tested in simulations of an F-16 like UAV, which has control surfaces including a elevators, a pair of rudders and ailerons. For this multiple-input-multiple-ouput (MIMO) system, the established attitude dynamics and kinematics model are as follows [12].

$$\begin{cases} \dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}) + \mathbf{g}(\mathbf{x}, \mathbf{u}) \\ \mathbf{y} = \mathbf{h}(\mathbf{x}) \end{cases} \quad (1)$$

In Eq. (1),  $\mathbf{x}$  is the state vector of UAV dynamics, which consists of attack angle  $\alpha$ , side slip angle  $\beta$ , roll angle  $\phi$ , pitch angle  $\theta$ , yaw angle  $\psi$  and  $p, q, r$ , representing steady axis roll, pitch and yaw rate respectively. The control vector  $\mathbf{u}$  contains three deflection angles of control surfaces: aileron  $\delta_a$ , elevator  $\delta_e$  and rudder  $\delta_r$ . Because the main target of this paper is the attitude control, the output vector  $\mathbf{y}$  only contains three Euler angles,

$$\mathbf{y} = [\phi, \theta, \psi]^T. \quad (2)$$

The rotational dynamics of a UAV with conventional control surfaces: ailerons  $\delta_a$ , elevator  $\delta_e$  and rudder  $\delta_r$  is

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described in Eq. (3).

$$\begin{cases} \dot{p} = f_p(p, q, r) + g_p(p, q, r, \alpha, \beta, \delta_a, \delta_r, V) \\ \dot{q} = f_q(p, q, r) + g_q(p, q, r, \alpha, \beta, \delta_e, V) \\ \dot{r} = f_r(p, q, r) + g_r(p, q, r, \alpha, \beta, \delta_a, \delta_r, V) \end{cases} \quad (3)$$

where  $\alpha$  is the attack angle,  $\beta$  is the sideslip angle and  $V$  is the velocity. The attitude motion equation is illustrated in Eq. (4).

$$\begin{cases} \dot{\phi} = f_\phi(p, q, r, \phi, \theta) \\ \dot{\theta} = f_\theta(q, r, \theta, \phi) \\ \dot{\psi} = f_\psi(q, r, \theta, \phi) \\ \dot{\alpha} = f_\alpha(p, q, r, \alpha, \beta, \mu, \delta_a, \delta_r) \\ \dot{\beta} = f_\beta(p, r, \alpha, \beta, \mu, \delta_a, \delta_r) \end{cases} \quad (4)$$

The derivative function  $f_p, f_q, f_r, f_\phi, f_\theta, f_\psi, f_\alpha$  and  $f_\beta$  can be found in reference [13]–[15].

According to the time-scale separation assumption [16], the UAV dynamics can be separated into two parts due to different responding time: the fast(inner) states and slow(outer) states. the fast time-scale states are the axis rotational rates,

$$\mathbf{x}_{fast} = [p, q, r]^T, \quad (5)$$

and the control variables are the deflection angle of control surfaces,

$$\mathbf{u}_{fast} = [\delta_a, \delta_e, \delta_r]^T. \quad (6)$$

Moreover, the slow time scale states are given by Eq.(7), which are treated as constants in the slow time scale.

$$\mathbf{x}_{slow} = [\alpha, \beta, \phi, \theta, \psi]^T \quad (7)$$

### B. Quaternion Method Based NDI

During the high maneuver flight of UAV, the Euler angles change drastically. Especially for the tumbling flight, the aircraft will fly across the singular point ( $\theta = \pm \frac{\pi}{2}$ ), which leads to an unstable solution of the equation of motion. Moreover, the input step signal can also lead to instability. Hence, we introduce a quaternion based method for the slow loop nonlinear dynamic inversion.

Recall the Eq. (2), the output derivative can be described as

$$\begin{bmatrix} \dot{\phi} \\ \dot{\theta} \\ \dot{\psi} \end{bmatrix} = \begin{bmatrix} 1 & \tan \theta \sin \phi & \tan \theta \cos \phi \\ 0 & \cos \phi & -\sin \phi \\ 0 & \sin \phi / \cos \theta & \cos \phi / \cos \theta \end{bmatrix} \begin{bmatrix} p \\ q \\ r \end{bmatrix} \quad (8)$$

The attitude kinematics model represented by Euler angles contains trigonometric functions, which are only suitable for small-angle maneuvering and stabilization control. In order to overcome the singularity of the Euler angle model, a quaternion method is introduced, which eliminates singularity points and avoids the pulse signal originated from step signal differentiation. So quaternion is widely used to deal with large-angle maneuvers.

First, introducing the rotational equation using quaternion,

$$\dot{\mathbf{q}} = \begin{bmatrix} \dot{q}_0 \\ \dot{q}_1 \\ \dot{q}_2 \\ \dot{q}_3 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 0 & -p & -q & -r \\ p & 0 & r & -q \\ q & -r & 0 & p \\ r & q & -p & 0 \end{bmatrix} \begin{bmatrix} q_0 \\ q_1 \\ q_2 \\ q_3 \end{bmatrix} \quad (9)$$

Using the generalized inverse method, the quaternion command signals can be obtained as follows:

$$\begin{bmatrix} p_c \\ q_c \\ r_c \end{bmatrix} = \begin{bmatrix} 2q_0\dot{q}_1 - 2q_1\dot{q}_0 - 2q_2\dot{q}_3 + 2q_3\dot{q}_2 \\ 2q_0\dot{q}_2 - 2q_2\dot{q}_0 + 2q_1\dot{q}_3 - 2q_3\dot{q}_1 \\ 2q_0\dot{q}_3 - 2q_1\dot{q}_2 + 2q_2\dot{q}_1 - 2q_3\dot{q}_0 \end{bmatrix} = 2\mathbf{H}^T \begin{bmatrix} \dot{q}_0 \\ \dot{q}_1 \\ \dot{q}_2 \\ \dot{q}_3 \end{bmatrix}, \quad (10)$$

where matrix  $\mathbf{H}$  is

$$\mathbf{H}^T = \begin{bmatrix} -q_1 & q_0 & q_3 & -q_2 \\ -q_2 & -q_3 & q_0 & q_1 \\ -q_3 & q_2 & -q_1 & q_0 \end{bmatrix}^T \quad (11)$$

In the Eq. (10),  $\dot{q}_0, \dot{q}_1, \dot{q}_2, \dot{q}_3$  are calculated based on the quaternion command and the proportional gains, that is, approximately equal the time difference. Therefore, the desired angular rates are

$$\begin{bmatrix} p_c \\ q_c \\ r_c \end{bmatrix} = 2\mathbf{H}^T \begin{bmatrix} k_0 \cdot (q_{0c} - \hat{q}_0) \\ k_1 \cdot (q_{1c} - \hat{q}_1) \\ k_2 \cdot (q_{2c} - \hat{q}_2) \\ k_3 \cdot (q_{3c} - \hat{q}_3) \end{bmatrix} \quad (12)$$

As shown in Eq. (12), the proportional gains ( $k_0, k_1, k_2, k_3$ ) is usually set to 1 or 2 rad/s [10].  $\hat{q}_0, \hat{q}_1, \hat{q}_2, \hat{q}_3$  are the output of the feedback filter. And  $q_{0c}, q_{1c}, q_{2c}, q_{3c}$  are the quaternion command calculated from the reference model.

### III. ADAPTIVE CONTROLLER DESIGN

The overall INDI\_NN control structure is illustrated in Fig. 1. The system contains several parts includes the control command, NDI controller for slow loop, INDI controller for fast loop, the adaptive controller and the plant.

#### A. Incremental Nonlinear Dynamic Inversion

The equation of motion is nonlinear, input non-affine,

$$\begin{aligned} \dot{\mathbf{w}} &= \mathbf{f}(\mathbf{w}, \boldsymbol{\delta}) \\ \mathbf{y} &= \mathbf{h}(\mathbf{w}) \end{aligned} \quad (13)$$

Where  $\mathbf{w}$  is the state vector and  $\boldsymbol{\delta}$  is the deflection command. By assuming that the deflection of control surface is achieved without delay, the output is differentiated as follows [16]

$$\begin{aligned} \dot{\mathbf{y}} &= \dot{\mathbf{w}}_0 + \frac{\partial \mathbf{y}}{\partial \mathbf{w}} d\mathbf{w} + \frac{\partial \mathbf{y}}{\partial \boldsymbol{\delta}} d\boldsymbol{\delta} \\ &= \dot{\mathbf{w}}_0 + \mathbf{B}(\mathbf{w}_0, \boldsymbol{\delta}_0) d\boldsymbol{\delta} \end{aligned} \quad (14)$$

Eq. (14) is based on the linear approximation around  $\boldsymbol{\delta}_0$  and  $\mathbf{w}_0$ , with the assumption that  $d\mathbf{w}$  is much smaller than  $d\boldsymbol{\delta}$  and can be neglected [13]. Replacing the angular acceleration  $\dot{\mathbf{y}} = \dot{\mathbf{w}}$  by the desired pseudo-control signal  $\dot{\mathbf{v}}_{des}$ , the control increment  $d\boldsymbol{\delta}$  can be derived:

$$d\boldsymbol{\delta} = \mathbf{B}^{-1}(\mathbf{w}_0, \boldsymbol{\delta}_0) (\dot{\mathbf{v}}_{des} - \dot{\mathbf{w}}_0). \quad (15)$$

In Eq. (15), where  $\dot{\mathbf{w}}_0$  is the estimated output derivative and  $\mathbf{B}^{-1}$  is the general inverse of input matrix  $\mathbf{B}$ . consider the discrete time approximation of the derivative:  $\dot{\mathbf{w}}_0 = (\mathbf{w}_0 - \mathbf{w}_0 z^{-1}) T_s^{-1}$ , where  $T_s$  is the sample time.

Note that the output  $\dot{\mathbf{w}}_0$  represent the current estimated angular acceleration, which is measured by differentiating



The derivative of the chosen Lyapunov function satisfy

$$\dot{V}(\mathbf{x}_{nn}) \leq -2\mu V(\mathbf{x}_{nn}) + C. \quad (24)$$

In Eq. (24),  $\mu$  and  $C$  are bounded constants. Hence, when  $V(\mathbf{x}_{nn}) \geq C/2\mu$ , the error states  $\tilde{\mathbf{x}}_1$  and  $\tilde{\mathbf{x}}_2$  and the weight estimation error are therefore bounded.

### C. Adaptive Controller

Introducing the robustifying term  $\mathbf{v}$  and  $\xi$ :

$$\mathbf{v} = -\frac{\mathbf{z}_2 \xi}{\|\mathbf{z}_2\| \xi + \varepsilon} \xi \quad (25)$$

$$\xi = k_v (\mathbf{Z}_M + \|\hat{\mathbf{Z}}\|_F) (\|\mathbf{x}_1\| + \|\mathbf{x}_2\|), \quad (26)$$

where  $\mathbf{Z}$  equals  $\text{diag}[w_1, w_2]$  and  $k_v$  is the proportional gain.

The final control input  $\mathbf{u}$  is defined as follows:

$$\mathbf{u} = \mathbf{u}_{INDI} + \mathbf{w}^{(1)T} f(\mathbf{w}^{(2)T} \mathbf{x}) + \mathbf{v}. \quad (27)$$

According to [21] and Theorem 1, the tracking errors and the parameter estimation error of the neural networks converge to a compact set. Furthermore, the size of the set is adjustable by tuning the design parameters [21].

## IV. RESULTS AND DISCUSSION

### A. Case Studies

In this paper, A high angle maneuver is tested and the robust performance of three control system is compared in simulations using Simulink. The first one is NDI, second is INDI and the third one is adaptive INDI\_NN. In NDI and INDI case, the fast loop applies NDI and INDI controller respectively, and the adaptive controller is removed. Actually NDI and INDI all can be implemented in UAV with the sensors such as IMU and airspeed tube. Since the quaternion method is utilized, the sensor of attack/sideslip angle is no longer needed. The model mismatch of UAV dynamics are considered in the simulation.

Proposed control strategies next section are subjected to a high angle maneuver flight test. In this paper, we choose a classic flight maneuver: the Immelman Turn. The reference command of attitude is based on the data obtained from the manually controlled flight trajectory. This maneuver contains a rapid and simultaneous pitch-up, roll and yaw motion. The flight speed maintains at  $100[m/s]$  and the limitation of  $\delta_a$ ,  $\delta_e$  and  $\delta_r$  are  $[-21.5^\circ, 21.5^\circ]$ ,  $[-25^\circ, 25^\circ]$  and  $[-30^\circ, 30^\circ]$  separately. The structure of neural network is chosen as a single hidden-layer one, and the number of nodes is 10. The adaptive parameters are chosen as:  $\gamma^{(1)} = 1.75$ ,  $\gamma^{(2)} = 0.17$  and  $\lambda = 7.65$

### B. Results

The simulation results of slow-loop performance subject to modeling mismatch are shown in Fig. 2-4. The response to the uncertainties described in Table I are presented.

In order to compare the performance of the three control methods in Fig. 2-4, the tracking error norms  $L_2$  are used.

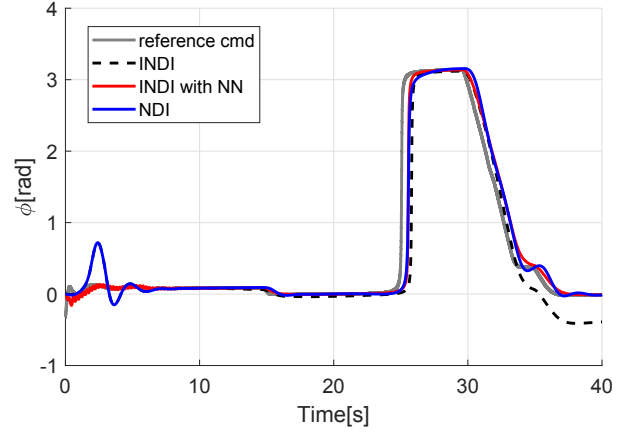


Fig. 2. Roll angle( $\phi$ ) tracking results

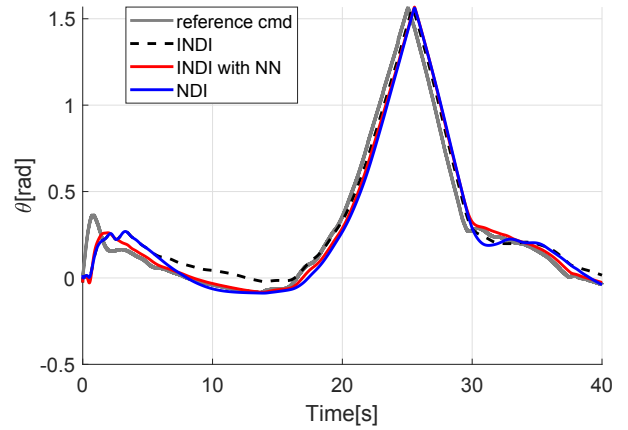


Fig. 3. Pitch angle( $\theta$ ) tracking results

The normalized  $L_2$  norm of the tracking error  $\mathbf{e}$  is

$$\|\mathbf{e}\|_{L_2} = \frac{\|\mathbf{e}\|_{L_2}}{\|\mathbf{v}_{ref}\|_{L_2}}. \quad (28)$$

The Euler angles tracking error comparison between NDI, INDI and INDI\_NN for UAV model case described in Table I is presented in Table II. It is observed from these simulation results that for considered UAV model with uncertainties, INDI\_NN adapter controller provides better tracking performance than those of INDI and NDI. From Fig.4, the response of the INDI\_NN does not show any sensitivity to the modeling mismatch between OBPM and plant, and the results are nearly identical to those of the reference command. However, conventional NDI and INDI suffer from the model mismatch. The responses for each realization are unsatisfactory, with non-negligible oscillation in NDI and deviation in INDI realization. Since the INDI controller uses angular acceleration, it performs a faster and more stable response than those of NDI. Note the beginning of the response of INDI\_NN controller shows a noticeable oscillation which is caused by the mismatch between neural-network and ideal mismatch part. After a short time of

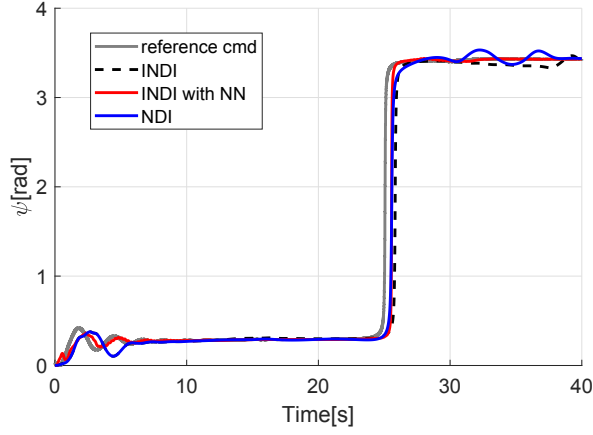


Fig. 4. Yaw angle( $\psi$ ) tracking results

TABLE I  
THE MODEL MISMATCH SET IN TEST CASE

Parameters	Mismatch
Aerodynamic Model	
$Cl_0, Cm_0, Cn_0, Cl_{p,q,r}, Cm_{p,q,r}, Cn_{p,q,r}$	-20%
$\delta Cl_{LEF, \delta a, \delta r}, \delta Cn_{LEF, \delta a, \delta r}$	+20%
Center of Gravity, Mass	-20%
Moment of Inertia	
$I_{xx}, I_{yy}, I_{zz}$	+20%
$I_{xz}, I_{yz}, I_{xz}$	-20%

network learning, the weight matrices  $\mathbf{w}^{(1)}$  and  $\mathbf{w}^{(2)}$  converge and the oscillation vanishes.

TABLE II  
 $L_2$  NORMALIZED TRACKING ERROR

Test Case	NDI	INDI	INDI with NN
$\phi$	0.2749	0.2710	0.2660
$\theta$	0.2118	0.1750	0.1546
$\psi$	0.1971	0.1769	0.1461

In Fig.5-Fig.7, the responses of fast-loop  $p, q, r$  using three controllers mentioned above are presented respectively. These plots are only presented for the response applying reference command in INDI realization, because their characteristics vary analogously in the Immelman Turn. From Fig.3(b) and (c), the INDI and INDI\_NN controller response to the reference command with negligible deviation, but the performance of NDI controller is unsatisfactory. Since NDI controller only uses the angular velocity  $\boldsymbol{\omega}$ , the response time is much longer and large overshoot is unavoidable. Although the model mismatch does not affect the INDI controller in  $p$  and  $q$  axis, it causes a un-negligible deviation in  $r$ . The result shows that the INDI controller cannot eliminate the modeling mismatch only with angular accelerations. By

employing neural network and adaptive item, the response of INDI\_NN controller is identical to reference command after convergence, which ensures the slow-loop performance in UAV maneuver.

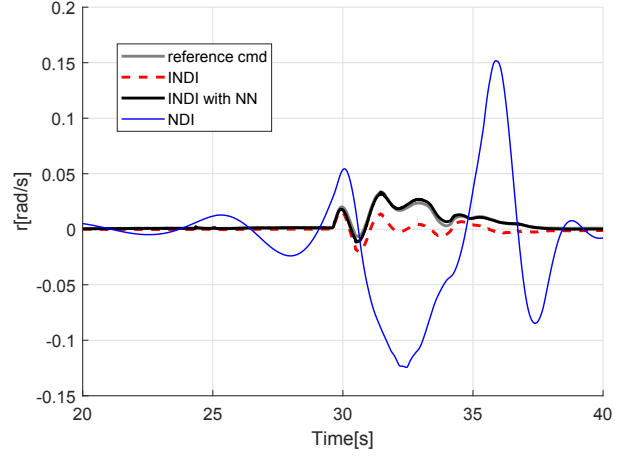


Fig. 5. Yaw rate tracking results

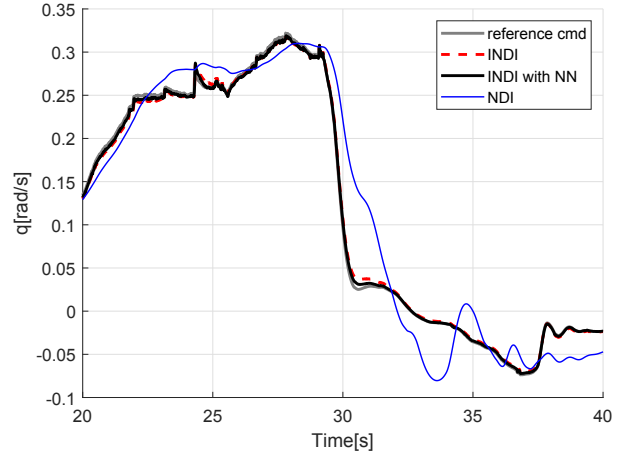


Fig. 6. Pitch rate tracking results

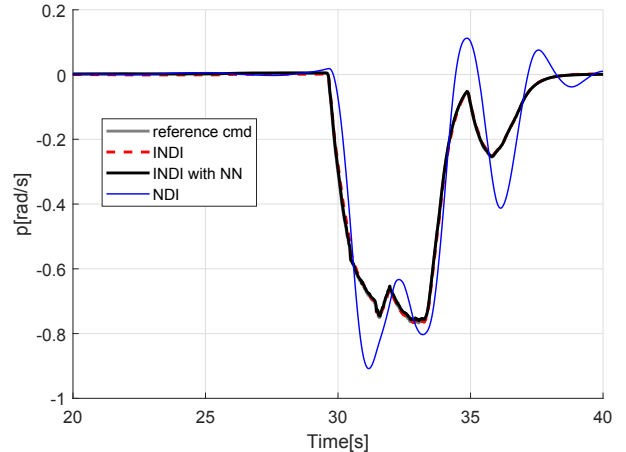


Fig. 7. Roll rate tracking results

## V. CONCLUSION

A neural-network based adaptive incremental nonlinear dynamic inversion(INDI) control system for UAV maneuver has been described in this paper. The reference control has been developed using a quaternion based method, which avoids the singular problem in slow-loop NDI controller design. By adding a neural network based adaptive controller, the INDI\_NN controller introduced performs an improved robust capability compared with regular NDI and INDI controllers for unmodeled UAV dynamics. The simulation shows good tracking performance and result in a versatile controller.

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