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ALGORTIHMS

# Detection of similarity between documents with hashing

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# 1 Introduction

## 2 Jaccard Similarity

The Jaccard Similarity, also known as Intersection Over Union (IOU), calculates the percentage of similarity between two sets.

For any pair of sets  $S$  and  $T$ , the Jaccard Similarity is defined as:

$$J(S, T) = \frac{|S \cap T|}{|S \cup T|} \quad (1)$$

We can easily deduce that the more common words, the bigger the Jaccard Similarity, which means that it is more probable that one set is a duplicate of the other.

**Example 2.1.** In Figure 2.1 we see two sets  $S$  and  $T$ . There are 3 elements in their intersection ("I", "love", "chocolate") and 6 in their union ("I", "love", "chocolate", "and", "pizza", "white"). Thus,  $J(S, T) = 3/6$ .

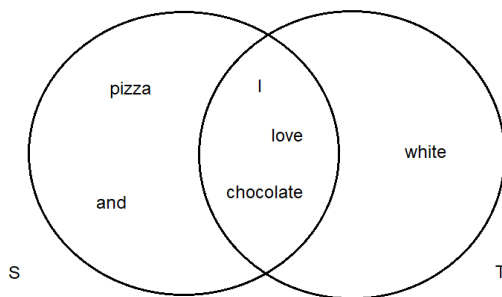


Figure 2.1: Two sets with Jaccard Similarity 3/6.

## 3 Shingling of Documents

Any pair of documents can be compared by watching the number of repeated strings they have. The more common strings, the more probable is that one is a duplicate from the other. One way to represent a document as a set is to insert in the set each string that appears in it. If we do so, then duplicated documents that have reorganized the sentences or even the entire text will have plenty of common strings, and will be detected as duplicated.

### 3.1 k-Shingles

The idea is not to insert in the set all the words, but a set of characters of size  $k$ . Thus, each element of the set will have the same size as the others.

The question now is how big  $k$  should be? If we take a small value of  $k$ , this will result in many shingles that are present in all documents. Suppose we choose the extreme case ( $k = 1$ ). Then, all documents would result to be similar, as the most

used characters are present in all documents. However, if we take a big value of  $k$ , then any pair of documents would not share a shingle.

The value of  $k$  depends on the size of the documents. A poem will not have the same  $k$  value than an article. Otherwise, we could have the problems mentioned before. According to Anand Rajaraman, Jure Leskovec, and Jeffrey D. Ullman (2011), "k should be picked large enough that the probability of any given shingle appearing in any given document is low." (p. 78).

## 4 Comparing Similarity Using The Sets

If we succeed in shingling the documents by using the  $k$ -Shingling technique, we will only have to compare all pairs of documents using the Jaccard Similarity and say if there is similarity between them or not. In order to do this, we have to store all the information in a data structure, for instance (e.g.), a matrix. By doing this, we have two problems: time and space complexity.  $\leq$  **Correcte que vagi aquí la última frase?**

### 4.1 Matrix Representation

To represent the matrix, we will put the documents' sets in the columns and the union of all the documents' sets in the rows. The values of the matrix will be the following:

$$\begin{cases} 1, & \text{if column } c \text{ contains row } r \\ 0, & \text{otherwise} \end{cases}$$

¿For any pair of row  $r$  and column  $c$ , if the set in the column  $c$  has the element in the row  $r$ , the matrix will have a 1 in the cell  $(r, c)$ . Otherwise, the cell will have a 0.?

**Example 4.1.** For this example we will use two sets representing the words "Nadal" and "Nadia". Let  $k = 2$  to form the  $k$ -Shingles.

Element	$S_1$	$S_2$
na	1	1
ad	1	1
da	1	0
al	1	0
di	0	1
ia	0	1

Figure 4.1: Representation of the matrix with two sets  $S$  and  $T$ .

In this type of matrices, for any pair of columns we can have 4 types of results, which are the permutations of 0s and 1s of size 2:

Element	$S_1$	$S_2$
a	0	0
b	0	1
c	1	0
d	1	1

Figure 4.1: Representation of the matrix with all the possible permutations.

Note that as the matrix is sparse, most of the rows will be of type  $a$ . If we try to calculate the similarity between two sets  $S_1$  and  $S_2$  using the matrix and the Jaccard Similarity, we will have the following result:

$$J(S_1, S_2) = \frac{Q(d)}{Q(b) + Q(c) + Q(d)} \quad (2)$$

Where  $Q(x)$  is the number of rows of type  $x$ .  $Q(d)$  is the intersection of the sets and  $Q(b) + Q(c) + Q(d)$  is the union of the sets.

#### 4.1.1 Time Complexity

Imagine we have  $n$  documents. Then, we have to compare each document with all the rest. Thus, the number of comparisons we have to do is  $n * (n - 1)/2$  which is equal to  $O(n^2)$ .  $\omega(n * \log(n))$ ?  $\Theta(n^2)$ .

**Example 4.2.** *Suppose we have 1 million documents. The number of comparisons would be  $5 * 10^{11}$  which is a huge number.*

$$\frac{(1 * 10^6) * 999.999}{2} = 499.999, 5 * 10^6 \approx 5 * 10^{11} \quad (3)$$

#### 4.1.2 Space Complexity

In typical applications the matrix is sparse, which means that there are more 0s than 1s. We can demonstrate this by calculating the probability of an element of the set to belong to a document  $D$ .

If we take  $k$  shingles, then the document have relatively few of the possible shingles. Another way to think about this is with the toys in Christmas Day. Kids would be the columns of the matrix and toys, the rows. Usually, kids would like to have a specific toy, which is very popular at that moment. Then, lots of toys would not be bought for any kid.

### 4.2 Minhashing

The main goal using minhashing is to reduce a lot the space complexity. We can achieve this by substituting the matrix shown before by another matrix called "signature matrix".

Signatures are smaller representations of the sets, but they still preserve the similarity of the sets they represent. We will demonstrate this in the next section.

To minhash a set, first pick a random permutation of the rows. Then, the minhash value is the value of the first row that has a 1, preserving the permuted order.

**Example 4.3.** *In this example we will reuse the two sets of Example 4.1. Suppose that the random permutation has given the following order: "di", "da", "ia", "na", "ad", "al". Let  $h$  be the minhash function.*

<i>Element</i>	<i>S<sub>1</sub></i>	<i>S<sub>2</sub></i>
di	0	1
da	1	0
ia	0	1
na	1	1
ad	1	1
al	1	0

Figure 4.3: Permutation of the matrix of Example 4.1.

In the first column, we can see that  $h(S_1) = "da"$  and in the second one we see that  $h(S_2) = "di"$ .

With this technique, we can see that every time we do a permutation, we only occupy one new row of the "signature matrix", which is reducing a lot the space.

#### 4.2.1 Preserving the Jaccard Similarity

As we mentioned before, the Jaccard Similarity in the matrix is equal to the number of rows of type  $d$  divided by the number of rows of type  $b + c + d$ . And that Similarity is preserved in the "signature matrix".

*Proof.* Look down through the permuted columns  $C_1$  and  $C_2$  until we see a 1 in any of the two columns. Then, we can have a row of type  $b$  or  $c$ , where only one of the columns have a 1, or a row of type  $d$ , where both columns have a 1 in it. If we find a row of type  $d$ , then the minhash function will take the same row. Thus,  $h(C_1) = h(C_2)$ . Otherwise, we must have a row of type  $b$  or  $c$  and  $h(C_1) \neq h(C_2)$ .

We can see that this is exactly the Jaccard Similarity. The probability of two columns have the same minhash value is equal to the number of rows of type  $d$  divided by the number of rows of type  $b + c + d$ .

$$P[h(C_1) = h(C_2)] = J(C_1, C_2) \quad (4)$$

□

#### 4.2.2 Optimizing the Time for Permutations

As doing a set of permutation would be very expensive, but we can use a trick to simulate the  $n$  permutations. We can use  $n$  random hash functions that maps row numbers to as many buckets as the size of the rows of the matrix. We say that this is a trick because we are not doing a literal permutation, as we can have collisions using the hash functions but the point is that the probability of a collision with a large number  $k$  for the shingling is very small. Thus, we can think of this new algorithm as a perfect permutation of the rows. The algorithm is the following:

```

for each row  $r$  do
  for each hash function  $h_i$  do
    compute  $h_i(r)$ ;
  end for
end for

```

```

for each column  $c$  do
  if  $c$  has 1 in row  $r$  then
    for each hash function  $h_i$  do
      if  $h_i(r)$  is smaller than  $M(i, c)$  then
         $M(i, c) := h_i(r)$ ;
      end if
    end for
  end if
end for

```

Every time we go to the next row, we first compute all the hash values using the row value as their input. Then, for each position in that row, if there is a 1 we compare the hashed value of that position with the value in the signature matrix for all the hash functions computed. In case that this new value is smaller we change the value of the signature matrix by this new one. Doing this, in the end we have the smallest possible number in each position of the signature matrix.

#### 4.2.2.1 Hashing Functions

We will present you now all the types of hashing functions we have used in the previous algorithm and in the algorithms in the Section 6.

##### 4.2.2.1.1 Modular Hashing

##### 4.2.2.1.2 Modular Hashing

## 5 Locality Sensitive Hashing (LSH)

We have talked before about how to improve to space complexity, but we still have not introduced a technique to improve the time complexity.

The general idea is that only a few pair of documents will have a high Jaccard Similarity. Thus, we need an algorithm that chooses the candidates that are more likely to be similar. The Locality Sensitive Hashing will help on this a lot.

The approach of LSH is to hash groups of rows into buckets in the sense that if two or more documents are hashed into the same bucket for at least one time, they will be very likely to be similar and will be put as a candidate pair.

### 5.1 Band partition

LSH will divide the signature matrix into  $b$  bands of  $r$  rows per band. Then, the algorithm will hash the set of rows that form a band for all the documents. Finally, it will create a new pair of candidates for every pair of documents hashed into the same bucket (for at least one time).

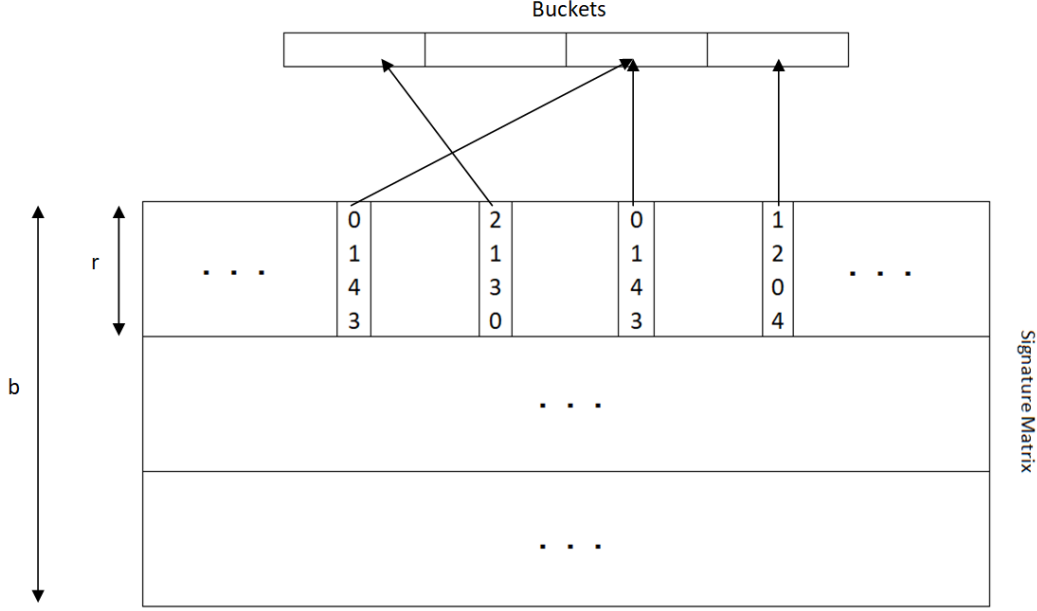


Figure 5.1: Band partition of a signature matrix and hashing the values of the rows of the first band into other buckets.

We can see that the set of rows of value "0143", from top to bottom, are hashed into the same bucket, and will be put as a candidate pair. However, the other two set of rows ("2130" and "1204") will not be hashed into the same bucket, and will not be put as a candidate pair (with this band).

## 5.2 Choice of $b$ and $r$ values

The election of  $b$  and  $r$  values is a very important part of the algorithm. See that the bigger  $r$ , the less probable is to hash two sets of rows into the same bucket. This is because the probability that two sets of documents hashes into the same bucket decreases exponentially each time we add one row into  $r$ .

We want to get the least number of dissimilar documents that are hashed into the same bucket. These are called *false positives*. On the other hand, we also want to minimize the number of similar documents that are hashed into different buckets. These other ones are called *false negatives*.

The idea is to select a threshold, which is the minimum value that the Jaccard Similarity can have to consider two documents as similar, and then compute the  $b$  and  $r$  values.

According to Anand Rajaraman, Jure Leskovec, and Jeffrey D. Ullman (2011), "a good approximation to the threshold is  $(1/b)^{1/r}$ " (p. 90). So if we take a threshold  $t$ , then we can calculate the  $b$  and  $r$  values as following:

Having that  $r = nhashFunctions/b$ ,

$$t \approx \left(\frac{1}{b}\right)^{\frac{1}{r}} = \left(\frac{1}{b}\right)^{\frac{b}{nhashFunctions}} \quad (5)$$

Thus, using an arbitrary threshold, we can calculate the best approximate value of  $b$  and  $r$ .

## 6 Algorithms

The objective in this section is to show the idea behind the algorithms we have programmed and give you the time complexity for each one. If you want to see the code, you will have to go to the algorithms folder.

### 6.1 Jaccard Similarity

The main objective in this section is to calculate the Jaccard Similarity between two documents in different ways.

#### 6.1.1 k-Shingles

The idea is to create two sets, one per document, and insert all substrings of size  $k$  of the documents. Afterwards, we will just have to make a division: the number of shingles in the intersection divided by the number of shingles in the union.

For each document:

**Require:**  $k$

**Ensure:** Returns an `unordered_set` with all the substrings of size  $k$  of the document

*words* := entire document

*pos* := 0

`unordered_set`  $S$  :=  $\emptyset$

**while**  $pos + k \leq words.size()$  **do**

*sub* := substring from *pos* to  $pos + (k - 1)$

    insert *sub* into  $S$

**end while**

return  $S$

Once we have calculated the  $k$ -Shingles, we need to compute the intersection and the union of the sets. Note that we insert the substrings in an unordered set. This will be very useful to improve the time complexity in the next two algorithms:

**Require:** Two sets  $S_1$  and  $S_2$

**Ensure:** Returns the intersection set between  $S_1$  and  $S_2$

`unordered_set` *intersection* :=  $\emptyset$

**for** each element in  $S_1$  **do**

**if**  $S_2$  contains the element in  $S_1$  **then**

        insert the element into *intersection*

**end if**

**end for**

return *intersection*

**Require:** Two sets  $S_1$  and  $S_2$

**Ensure:** Returns the union set between  $S_1$  and  $S_2$



```

unordered_set union := S1
for each element in S2 do
    if the element in S1 is not contained in S1 then
        insert the element into union
    end if
end for
return union

```

As you can see, we visit only one set in each algorithm. The good thing is that finding if an element belongs to an unordered set or not is  $O(1)$  (IN THE AVREAGE TIME????). Thus, the time complexity for these two algorithms is  $O(n)$ , where  $n$  is the size of the smallest unordered set<sup>1</sup>, and the total time complexity is  $O(n)$ ????????????????, as inserting elements in an unordered set is  $O(1)$  (IN THE AVREAGE TIME????).

On the other hand, if we would have used the predefined functions *set\_intersection* and *set\_union*, we would have needed two ordered set, as it is a precondition of these two functions. Thus, the total cost would have been  $O(n * \log(n))$ .

Finally, we just have to divide the size of the intersection set by the size of the union set (and multiply by 100 if we want a percentage).

$$Jsim(D_1, D_2) = \frac{intersection.size()}{union.size()} * 100 \quad (6)$$

#### 6.1.1.1 Cost

The cost of the first algorithm is  $O(k * (t - k))$ , where  $k$  is the k-Shingle value and  $t$  is the size of *words*. As  $k$  is always a constant, the final cost of this algorithm is  $O(k * t - k^2) = O(t)$ . The cost of the next two algorithms are  $O(n)$ , as we have said in the previous section. Finally, the cost of a division and a multiplication is  $O(1)$ .

Thus, the total cost of calculating the Jaccard Similarity using only k-Shingles is  $O(n) + O(t) + O(1) = O(n)$ , as usually the number of shingles is much larger than the size of *words*.

#### 6.1.2 Minhash Signatures

We know that implementing just k-Shingling is very expensive in terms of size and time (if we want to compare n documents between them, the complexity is  $O(n^2)$ ). Implementing minhash signatures will help on this a lot. However, the Jaccard Similarity will not be the exact value. To do this, we will use as our input the k-Shingle sets calculated in the previous section.

**Require:** Two sets  $S_1$  and  $S_2$

**Ensure:** Returns an approximate Jaccard Similarity value between  $S_1$  and  $S_2$

unordered\_set union =  $S_1 \cup S_2$

matrix signatures = infinity???????????????? S'enten que és cada posició?

vector h = all the hash functions we will use

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<sup>1</sup>Note that we can change the set we are visiting by the other one. In the intersection, if  $S_2$  is smaller than  $S_1$ , we can visit  $S_2$ . In the union, if  $S_2$  is bigger than  $S_1$ , we can match the unordered set with  $S_2$  and visit  $S_1$ .



$h$ ), where  $c$  is the number of documents and  $h$  is the number of hash functions.

Thus, the cost of this function is  $O(r*(h+c*(h+1))) = O(r*h+r*c*h+r*c) = O(r*c*h)$ , where  $r$  is the number of rows and  $h$  and  $c$  the values explained before. We should point that  $h$  is a constant value (usually 200 is correct), so the real cost is  $O(r*c)$ .

## 6.2 LSH

### BLA BLA BLA INTRODUCCIÓ

The first thing we need to do in this algorithm is finding the right values for  $b$ , number of bands, and  $r$ , number of rows per band depending on the value of a *threshold*. To do so, we need an algorithm that calculates the  $b$  value <sup>2</sup> that has the minimum error with the threshold. The error is calculated as the function *FALTA FER REFERÈNCIA A AQUELLA FUNCTION* minus the *threshold*.

**Require:** *nhashFunctions* = number of rows of the signature matrix, and a threshold

**Ensure:** Returns the  $b$  value

```

b := 1
minError := 1.0
for each row  $h$  of SM do
  if nhashFunctions mod  $h$  == 0 then
    aux :=  $(1/h)^{(h/nhashFunctions)}$ 
    absolute :=  $|aux - threshold|$ 
    if absolute < minError then
      minError := absolute
      b :=  $h$ 
    end if
  end if
end for
return  $b$ 

```

Once we have calculated the  $b$  value, we just need to divide *nhashFunctions* by  $b$  to get the number of rows per band.

Now that we have the best possible values for  $b$  and  $r$ , it is time to begin with the LSH algorithm. This algorithm will hash each set of rows from each band and if two set of rows from the same band hashes to the same bucket, both documents will be inserted in a data structure of possible candidates.

**Require:** The signature matrix of the  $c$  documents we have SM,  $b$  and  $r$

**Ensure:** Returns the candidate pairs

```

unordered_map buckets :=  $\emptyset$ 
unordered_map candidates :=  $\emptyset$ 
for each band  $b$  do
  for each document  $c$  do

```

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<sup>2</sup>It is also possible to calculate the  $r$  value and later divide *nhashFunctions* by  $r$  to get the  $b$  value, but it is more difficult to calculate it.



## 7 Referencics

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## References

[1] Author, *Title*, Editor, (year)