CONICS (TITLE TBD)

ABSTRACT. We count the number of solutions of any affine plane conic modulo a prime. We use this result to determine whether such a conic has rational solutions

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1. Introduction

2. Projectivization of the problem

Let us begin by fixing a conic

(2.1)
$$C: ax^2 + bxy + cy^2 + dx + ey + f = 0$$

and a choice of ground field \mathbb{F}_p . We further require that not all of a, b, and c be zero, and that the coefficients have gcd 1. Might be worth explaining why we've chosen to impose these conditions. Unless otherwise specified, all primes are odd. (We defer treatment of the case p=2 to §5.)

Definition 2.1. Let $\mathbb{A}^n_{\mathbb{F}_p}$ denote *n*-dimensional affine space over \mathbb{F}_p . Define *n*-dimensional projective space over \mathbb{F}_p as the quotient

$$\mathbb{P}^n_{\mathbb{F}_p} = (\mathbb{A}^{n+1}_{\mathbb{F}_p} \setminus \{0\}) / \sim,$$

where we declare that $(x_1, \ldots, x_{n+1}) \sim (\lambda x_1, \ldots, \lambda x_{n+1})$ for λ a nonzero scalar. We will primarially work with n=2, and suppress the ground field from the notation. We will write $[X:Y:Z] \in \mathbb{P}^2$ to denote the equivalence class of $(X,Y,Z) \in \mathbb{A}^3 \setminus \{0\}$.

With this construction in mind, we can *projectivize* our conic (2.1) from \mathbb{A}^2 to \mathbb{P}^2 , by writing it as a homogenous equation:

(2.2)
$$\widetilde{C}: aX^2 + bXY + cY^2 + dXZ + eYZ + fZ^2 = 0.$$

Note that homogeneity ensures it is well-defined to ask when this equation has a solution in \mathbb{P}^2 .

Let $C(\mathbb{F}_p)$ denote the solution set of (2.1) in \mathbb{A}^2 . Our aim is to characterize $|C(\mathbb{F}_p)|$ as a function of the coefficients a,b,c,d,e,f and the prime p. The solutions of (2.2) define a set $\widetilde{C}(\mathbb{F}_p) \subset \mathbb{P}^2$.

There is an evident injection $C(\mathbb{F}_p) \to \widetilde{C}(\mathbb{F}_p)$ sending (x,y) to [x:y:1]. However, elements of $\widetilde{C}(\mathbb{F}_p)$ of the form [X:Y:0] do not correspond to elements of $C(\mathbb{F}_p)$. These are solutions "at infinity" in the projective plane. Thankfully, these extraneous solutions are easily characterized: they are the solutions to the equation

(2.3)
$$\widetilde{C}_0: aX^2 + bXY + cY^2 = 0$$

on the projective line \mathbb{P}^1 . Call this solution set $\widetilde{C}_0(\mathbb{F}_p) \subset \mathbb{P}^1$.

Lemma 2.1.
$$|\widetilde{C}(\mathbb{F}_p)| = |C(\mathbb{F}_p)| + |\widetilde{C}_0(\mathbb{F}_p)|$$
.

Proof. A solution [X:Y:Z] of \widetilde{C} corresponds to a solution (X/Z,Y/Z) of C when $Z \neq 0$, and to a solution [X:Y] of \widetilde{C}_0 when Z=0.

3. Diagonalization of quadratic forms

In this section, we aim to simplify the equation defining the homogenized conic \widetilde{C} via coordinate-changes of \mathbb{P}^2 . Regard this equation as a quadratic form in three variables over \mathbb{F}_p (where $p \neq 2$), which may be represented by the symmetric matrix

$$A = \begin{bmatrix} a & b/2 & d/2 \\ b/2 & c & e/2 \\ d/2 & e/2 & f \end{bmatrix}.$$

Indeed, letting $v = [X \ Y \ Z]^T$, the equation defining \widetilde{C} is given by $v^T A v$. Now, any $P \in \mathrm{GL}_3(\mathbb{F}_p)$ gives rise to a change of coordinates $v \mapsto P v$ in \mathbb{P}^2 . After performing this change of coordinates, the quadratic form defining \widetilde{C} is given by

$$(Pv)^T A (Pv) = v^T (P^T A P) v,$$

hence is represented by the matrix P^TAP . Since P is invertible, the projective conic corresponding to the quadratic form given by P^TAP has the same number of solutions over \mathbb{F}_p as \widetilde{C} . The following result is well-known (see for instance [2, Prop. 42:1]):

Theorem 3.1. There exists some $P \in GL_3(\mathbb{F}_n)$ such that P^TAP is diagonal.

This significantly simplifies the set of conics which we must consider: a diagonal matrix

$$\begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix}$$

corresponds to the conic defined by $\lambda_1 x^2 + \lambda_2 y^2 + \lambda_3 z^2 = 0$ (note that we cannot have $\lambda_1 = \lambda_2 = \lambda_3 = 0$ by our initial assumptions). By further scaling and permuting coordinates as appropriate, we immediately obtain the following:

Corollary 3.1. Fix a quadratic nonresidue $r \in \mathbb{F}_p$. There exists some $P \in GL_3(\mathbb{F}_p)$ such that P^TAP is equal to one of the following:

$$\begin{bmatrix}
1 & 0 & 0 \\
0 & 0 & 0 \\
0 & 0 & 0
\end{bmatrix} \quad
\begin{bmatrix}
r & 0 & 0 \\
0 & 0 & 0 \\
0 & 0 & 0
\end{bmatrix} \quad
\begin{bmatrix}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 0
\end{bmatrix} \quad
\begin{bmatrix}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 0
\end{bmatrix} \quad
\begin{bmatrix}
1 & 0 & 0 \\
0 & r & 0 \\
0 & 0 & 0
\end{bmatrix} \quad
\begin{bmatrix}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 1
\end{bmatrix} \quad
\begin{bmatrix}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & r
\end{bmatrix}$$

In the next section, we determine how many solutions each of the corresponding conics has in \mathbb{P}^2 . For now, we determine to which of these six matrices A corresponds; however, we do not distinguish between the matrices (1) and (2) as the corresponding conics $X^2 = 0$ and $rX^2 = 0$ have equivalent vanishing sets.

Theorem 3.2. Let

$$\alpha = 4acf - ae^{2} - b^{2}f + bde - cd^{2},$$

$$\beta = 4ac + 4af + 4cf - b^{2} - d^{2} - e^{2}.$$

and let γ be defined by permuting the coordinates of A so that $4ac - b^2 \neq 0$, and setting $\gamma = 4ac - b^2$. Then A corresponds via Corollary 3.1 to

- (1) or (2) if $\alpha = \beta = 0$;
 - (3) if $\alpha = 0$, $\beta \neq 0$, and γ is a nonzero quadratic residue;
 - (4) if $\alpha = 0$, $\beta \neq 0$, and γ is a nonzero quadratic nonresidue;
 - (5) if α is a nonzero quadratic residue;
 - (6) if α is a nonzero quadratic nonresidue.

Proof. Let $P \in GL_3(\mathbb{F}_p)$ be such that P^TAP is one of the six matrices in Corollary 3.1; these six matrices are distinguished by their ranks and the products of their nonzero eigenvalues. Since P is invertible, the rank of P^TAP is equal to that of A. By the rank-nullity theory, the rank of A is given by subtracting the multiplicity of the eigenvalue 0 from 3; this multiplicity is given by the number of times the characteristic polynomial of A is divisible by t. A Magma [1] computation shows that the characteristic polynomial of A is given by

$$t^3 + (-a - c - f)t^2 + \tfrac{1}{4}(4ac + 4af - b^2 + 4cf - d^2 - e^2)t + \tfrac{1}{4}(-4acf + ae^2 + b^2f - bde + cd^2).$$

Thus, A has rank 3 when $\alpha = 4 \det A$ is nonzero; since

$$\det P^T A P = \det P^T \cdot \det A \cdot \det P = \det A \cdot (\det P)^2.$$

the determinant of A is a quadratic residue if and only if that of P^TAP is. Cases (5) and (6) of the theorem are now immediate.

Likewise, A has rank 2 when $\alpha = 0$ and $\beta \neq 0$. Since β is the sum of $4ac - b^2$, $4af - d^2$, and $4cf - e^2$, these cannot all be zero; the latter two are obtained from $4ac - b^2$ by permuting the coordinates of A, which justifies step (1) in computing γ . Thus, assume that step (1) has been performed. If $a \neq 0$, then the Magma method DiagonalForm() shows that A diagonalizes to

$$\begin{bmatrix} a & 0 & 0 \\ 0 & \frac{4ac - b^2}{4a} & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

via some P. Thus, the product of the nonzero eigenvalues of this matrix is $\gamma=4ac-b^2$, up to a square; the case $c\neq 0$ is similar. Next, if a=c=0 and $f\neq 0$, then a similar Magma computation shows that A diagonalizes to

$$\begin{bmatrix} f & 0 & 0 \\ 0 & -\frac{e^2}{4f} & 0 \\ 0 & 0 & 0 \end{bmatrix}.$$

The product of the nonzero eigenvalues of this matrix is $\gamma = -1$, up to a square. Note that in this case the diagonalizing matrix P is only well-defined if e is nonzero as well, but this is clear as the condition $\alpha = 0$ is equivalent to bf = de, and $b \neq 0$ as $4ac - b^2 \neq 0$ (thus, $4ac - b^2 = -b^2$ is also -1 up to a square). Finally, if

a=c=f=0, then either d=0 or e=0, and the same Magma computation shows that in either case A diagonalizes to

$$\begin{bmatrix} b & 0 & 0 \\ 0 & -b/4 & 0 \\ 0 & 0 & 0 \end{bmatrix}.$$

The product of the nonzero eigenvalues of this matrix is again $\gamma = -1$, up to a square. Since the matrices (3) and (4) are obtained from these diagonalized forms of A by scaling coordinates, it is clear that A corresponds to (3) if γ is a quadratic residue and to (4) if it is not.

Finally, A has rank 1 when $\alpha = \beta = 0$, which establishes the first case.

We will also need an analogous result for quadratic forms $ax^2 + bxy + cy^2$ in two variables, which follows immediately from the previous theorem by setting d = e = f = 0:

Corollary 3.2. The matrix $\begin{bmatrix} a & b/2 \\ b/2 & c \end{bmatrix}$ diagonalizes (as in Corollary 3.1, though with $P \in \mathrm{GL}_2(\mathbb{F}_p)$ to

- $\begin{array}{l} \bullet \ \left[\begin{smallmatrix} 0 & 0 \\ 0 & 0 \end{smallmatrix} \right] \ if \ a=b=c=d=0; \\ \bullet \ \left[\begin{smallmatrix} 1 & 0 \\ 0 & 0 \end{smallmatrix} \right] \ or \left[\begin{smallmatrix} r & 0 \\ 0 & 0 \end{smallmatrix} \right] \ if \ 4ac-b^2=0; \\ \bullet \ \left[\begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} \right] \ if \ 4ac-b^2 \ is \ a \ nonzero \ quadratic \ residue; \\ \bullet \ \left[\begin{smallmatrix} 1 & 0 \\ 0 & r \end{smallmatrix} \right] \ if \ 4ac-b^2 \ is \ a \ nonzero \ quadratic \ nonresidue. \end{array}$

4. Counting solutions of a conic in \mathbb{P}^2

We precede this undertaking with a brief review of relevant number theory. The nonzero elements $\mathbb{F}_p^{\times} \subset \mathbb{F}_p$ form an abelian group under multiplication, and the squaring map

$$\mathbb{F}_p^{\times} \xrightarrow{x \mapsto x^2} \mathbb{F}_p^{\times}$$

is a homomorphism. If p divides $x^2 - 1 = (x+1)(x-1)$, we must have $x = \pm 1$ mod p, so the kernel is $\{\pm 1\}$. The cokernel $\mathbb{F}_p^{\times}/(\mathbb{F}_p^{\times})^2$ is also group of order two:

$$\mathbb{F}_p^\times/(\mathbb{F}_p^\times)^2\cong\{\pm 1\}.$$

Definition 4.1. The *Legendre symbol* is the quotient map

$$\left(\frac{\cdot}{p}\right):\mathbb{F}_p^\times\to\mathbb{F}_p^\times/(\mathbb{F}_p^\times)^2\cong\{\pm 1\},$$

where $\binom{a}{p}$ is -1 if a is a nonresidue, and 1 if a is a nonzero residue. This map extends to a multiplication-respecting map

$$\mathbb{F}_p \to \{-1, 0, 1\}$$

with $\left(\frac{0}{n}\right)$ defined as 0.

Note that each nonzero residue has exactly two square-roots (as we are assuming p > 2 throughout).

Our goal is to count the number of solutions of a conic C in \mathbb{A}^2 . In light of Lemma 2.1, it suffices to count the number of points on \widetilde{C} and \widetilde{C}_0 . In the preceding section, we showed that conics in projective space are equivalent to certain representative

Now we count the number of solutions that each representative has.

- 4.1. Extraneous solutions. Fix a quadratic nonresidue $r \in \mathbb{F}_p$. By Corollary 3.2, \widetilde{C}_0 is equivalent to one of the following:
 - (1) 0 = 0.
 - (2) $X^2 = 0$,
 - (3) $X^2 + Y^2 = 0$, and (4) $X^2 + rY^2 = 0$.

The first of these has $|\mathbb{P}^1| = p + 1$ solutions.

The second has one solution, namely [0:1].

Evidently Y must be nonzero for the last two cases, so we can rewrite them as $(X/Y)^2 = -1$ and $(X/Y)^2 = -r$ respectively. If -1 is a quadratic residue, then -ris not. It follows that $X^2 + Y^2 = 0$ has two solutions and $X^2 + rY^2 = 0$ has none. If -1 is a nonresidue, the situation is reversed: $X^2 + Y^2 = 0$ has no solutions and $X^2 + rY^2 = 0$ has two solutions.

- 4.2. **Total solutions.** Let r be a quadratic nonresidue in \mathbb{F}_p as before. By Theorem 3.2, \hat{C} is equivalent to one of the following:
 - (1) $X^2 = 0$,
 - (2) $rX^2 = 0$,
 - $(3) X^2 + Y^2 = 0,$

 - (4) $X^2 + rY^2 = 0$, (5) $X^2 + Y^2 + Z^2 = 0$, and
 - (6) $X^2 + Y^2 + rZ^2 = 0$.

Now we count the number of solutions in each of these cases.

Rank 1: (1) and (2). The equations $X^2 = 0$ and $rX^2 = 0$ each have p+1 solutions.

Rank 2: (3) and (4). The only solution with Y = 0 is [0:0:1]. So assume $Y \neq 0$, and rewrite the equations as $(X/Y)^2 = -1$ and $(X/Y)^2 = -r$ respectively.

Suppose -1 is a quadratic residue mod p. The equation $(X/Y)^2 = -1$ gives two possibilities for X/Y, and thus 2p solutions in \mathbb{P}^2 . Hence $X^2 + Y^2 = 0$ has 2p + 1solutions in total. On the other hand, $(X/Y)^2 = -r$ has no solutions, meaning [0:0:1] is the only solution to $X^2 + rY^2 = 0$.

If -1 is a nonresidue, the situation is reversed, and $X^2 + Y^2 = 0$ has one solution while $X^2 + rY^2 = 0$ has 2p + 1 solutions.

Rank 3: (5) and (6). Suppose -1 is a quadratic residue mod p. If Z=0, then we are in the setting of $\S4.1$ case (3), which has two solutions. Otherwise, we can divide by Z and obtain

$$(X/Z)^{2} + (Y/Z)^{2} = -1$$
$$(X/Z)^{2} + (Y/Z)^{2} = -r$$

respectively. By Proposition 4.1 below, these each have p-1 solutions. Therefore $X^{2} + Y^{2} + Z^{2} = 0$ and $X^{2} + Y^{2} + rZ^{2} = 0$ each have p + 1 solutions.

Suppose -1 is a nonresidue. There are no solutions with Z=0, so rewrite the equations as above. By Proposition 4.1 again, they each have p+1 solutions. ¹

Proposition 4.1. For $a \neq 0$, the equation $x^2 + y^2 = a$ has $p - \left(\frac{-1}{p}\right)$ solutions over

Proof. Let $S_a \subset \mathbb{A}^2$ denote the solution set of $x^2 + y^2 = a$. First we will show the statement for a=1.

¹add comment about isomorphism to \mathbb{P}^1

Let T denote the square-roots of -1 in \mathbb{F}_p . In the case that -1 is a nonresidue, T is empty. Consider the maps $f \colon S_1 \setminus (1,0) \to \mathbb{F}_p \setminus T$ and $g \colon \mathbb{F}_p \setminus T \to S_1 \setminus (1,0)$ defined by

$$f(x,y) = \frac{y}{x-1}$$
$$g(m) = \left(\frac{m^2 - 1}{m^2 + 1}, \frac{-2m}{m^2 + 1}\right).$$

It is easy to check that they are inverse to one another, from which it follows that $|S_1|=|\mathbb{F}_p|-|T|+1=p-\left(\frac{-1}{p}\right)$ as desired. Note that if a,a' are both nonzero residues (or both nonresidues) then $|S_a|=1$

Note that if a, a' are both nonzero residues (or both nonresidues) then $|S_a| = |S_{a'}|$. From the preceding, we have the claim for all residues a. Observe that $S_0 \cup \cdots \cup S_{p-1}$ gives a partition of \mathbb{A}^2 . Since

$$|S_0| = \begin{cases} 1 & \text{if } \left(\frac{-1}{p}\right) = -1\\ -1 & \text{if } \left(\frac{-1}{p}\right) = 1 \end{cases}$$

for a nonresidue a we have

$$|S_a| = \frac{2}{p-1} \left(p^2 - |S_0| - \frac{p-1}{2} |S_1| \right) = p - \left(\frac{-1}{p} \right).$$

Example 4.1 (A zoo of possibilities). In the table below, the first two columns reflect the discussion immediately preceding. The remaining columns consider the number of extraneous solutions. The entries marked "N/A" are impossible and explanation is given afterwards. For all other entries, examples are given for the specific case p=3.

		# soln. in $\{Z = 0\}$			
rank of proj.	# soln. in \mathbb{P}^2	0	1	2	p+1
1	p+1	N/A	x^2	N/A	1
2	1	$x^2 + y^2$	$x^2 + 1$	N/A	N/A
2	2p + 1	N/A	$x^2 + x$	xy	x
3	p+1	$x^2 + y^2 + 1$	$x+y^2$	$x^2 + 2y^2 + 1$	N/A

- When the projectivization has rank 1, it is a double line. If this line is distinct from $\{Z=0\}$, they meet at exactly one point. Otherwise they are the same line, giving p+1 solutions in $\{Z=0\}$.
- When the projectivization has rank 2 and has 2p+1 solutions, it is the union of two intersecting lines. These lines cannot be disjoint from $\{Z=0\}$.
- There are p+1 solutions in $\{Z=0\}$ only when the coefficients a,b,c are all zero. In particular, such a conic cannot have a projectivization of rank 3.

5. Counting solutions of a conic in \mathbb{A}^2

Given a conic, we now have almost all of the necessary pieces to determine how many solutions it has in $\mathbb{A}^2_{\mathbb{F}_p}$. The remaining case is that of a conic over \mathbb{F}_2 .

Fortunately there are very few such conics, and the solutions to

$$ax^{2} + bxy + cy^{2} + dx + ey + f = 0$$

are the same as the solutions to

$$bxy + (a + d)x + (c + e)y + f = 0.$$

At this point, one can simply list out all the possibilities. It can be checked that the number of solutions in each case can be concisely written as $2+b(-1)^{(a+d)(c+e)+f}$,

where b is either 0 or 1. I have temporarily put this here, but this might flow better if moved into the proof of the theorem below.

(remainder to be written)

Theorem 5.1. main theorem determining F(a, b, c, d, e, f, p)

Proposition 5.1. For an odd prime p, the Legendre symbol $\left(\frac{-1}{p}\right)$ is given by

$$\left(\frac{-1}{p}\right) = \begin{cases} 1 & \text{if } p \cong 1 \pmod{4} \\ -1 & \text{if } p \cong 3 \pmod{4}. \end{cases}$$

6. To the p-adics via Hensel's Lemma

In the preceding, we have been concerned with the number of solutions our conic C has over \mathbb{F}_p . Now we will instead consider the question of existence of solutions over the p-adic integers, which we answer with a sufficient condition in Theorem 6.2. Despite only being concerned with existence, the classification and analysis of the preceding sections will still play a major role.

We begin by recalling the definition of the p-adic integers and then stating Hensel's lemma, which will be our primary tool for this section.

6.1. The p-adics.

Definition 6.1. The ring of *p-adic integers* \mathbb{Z}_p is the inverse limit of

$$\cdots \to \mathbb{Z}/p^3 \to \mathbb{Z}/p^2 \to \mathbb{Z}/p.$$

In other words, elements of \mathbb{Z}_p can be thought of as sequences (a_1, a_2, a_3, \ldots) where $a_i \in \mathbb{Z}/p^i$ and a_{i-1} is the image of a_i under $\mathbb{Z}/p^i \to \mathbb{Z}/p^{i-1}$.

Such an element can alternatively be interpreted as the series

$$b_0 + b_1 p + b_2 p^2 + b_3 p^3 + \cdots$$

where $b_0 = a_1$ and $b_i = (a_{i+1} - a_i)/p^i$ for $i \ge 1$. Addition and multiplication are done as expected with "rightwards carrying."

6.2. **Hensel's lemma.** Hensel's lemma gives us a sufficient condition for the existence of roots over \mathbb{Z}_p . We refer the reader to [3] for a proof of the following.

Theorem 6.1 (Multivariate Hensel's lemma). Let $f \in \mathbb{Z}_p[x_1, \ldots, x_n]$ be a polynomial in n variables and let $\gamma = (\gamma_1, \ldots, \gamma_n) \in \mathbb{Z}_p^n$ be such that $f(\gamma) = 0 \pmod{p}$ and $\frac{\partial f}{\partial x_i}(\gamma) \not\cong 0 \pmod{p}$ for some $i \in \{1, \ldots, n\}$. Then there exists $\alpha \in \mathbb{Z}_p^n$ such that, for all i, $\alpha_i = \gamma_i \pmod{p}$ and $f(\alpha) = 0$.

6.3. Existence of solutions over \mathbb{Z}_p . Using the results of $\S 4$ and Hensel's lemma, we deduce the following result.

Theorem 6.2. Let p be an odd prime. Let C denote the conic (2.1) and \widetilde{C} its projectivization (2.2). Then if \widetilde{C} is either full rank or the union of two distinct lines over \mathbb{F}_p , the original conic C has a solution over \mathbb{Z}_p . Explicitly,

- If -1 is a residue mod p and \widetilde{C} is equivalent to (3), (5), or (6) of §4.2, then C has a solution over \mathbb{Z}_p .
- If -1 is a nonresidue mod p and \widetilde{C} is equivalent to (4), (5), or (6) of §4.2, then C has a solution over \mathbb{Z}_p .

Proof. We need a point of C over \mathbb{F}_p where not all partial derivatives of the conic vanish, i.e. a *non-singular* point. A non-singular point of C corresponds to a non-singular point of \widetilde{C} not lying in $\{Z=0\}$.

If \widetilde{C} has full rank, it is equivalent to

$$X^2 + Y^2 + Z^2 = 0$$
 or $X^2 + Y^2 + rZ^2 = 0$

where r is a nonresidue. In each case, the condition of having a non-vanishing partial derivative is precisely that one of the coordinates must be nonzero—but this is automatically satisfied in \mathbb{P}^2 .

If \widetilde{C} consists of two distinct lines, its only singular point is where the two lines intersect. We recall from §4 that \widetilde{C} has 2p+1 points in \mathbb{P}^2 , at most p+1 of which are extraneous. It follows that C has a non-singular point.

If \widetilde{C} is a double line or has only a single solution, then Hensel's lemma is inconclusive as we do not have a solution mod p satisfying the requisite condition.

7. To Q via Hasse-Minkowski

(to be written)

References

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