

## 1 Introduction

The programmes contained in 'dstpy3' package can be used to calculate the direct scattering transform for the nonlinear Schrödinger equation. For extensive description, see the papers

- G. Boffetta and A. R. Osborne: Computation of the Direct Scattering Transform for the Nonlinear Schroedinger Equation, Journal of Comp. Phys. **102**, p 252-264, (1992)  
<http://personalpages.to.infn.it/~boffetta/Papers/bo92.pdf>
- Mansoor I. Yousefi, Frank R. Kschischang:
  - Information Transmission using the Nonlinear Fourier Transform, Part I <https://arxiv.org/abs/1202.3653>
  - Information Transmission using the Nonlinear Fourier Transform, Part II <https://arxiv.org/abs/1204.0830>
  - Information Transmission using the Nonlinear Fourier Transform, Part III <https://arxiv.org/abs/1302.2875>

## 2 What the algorithms *should* do

For a given field, the direct scattering transform shall be calculated. The problem can be formulated as eigenvalue problem

$$v_t = \begin{pmatrix} -j\lambda & q(x) \\ -q^*(x) & j\lambda \end{pmatrix} v$$

with the eigenvalue  $\lambda$ . Assuming the normalized time and field vectors  $q(x)$  and  $x$  are present in discretized form. For an eigenvalue candidate  $\zeta$ ,  $v$  can be calculated by integration:

$$v[k+1] = v[k] + dx \cdot P[k]v[k] \quad (1)$$

with

$$P[k] = \begin{pmatrix} -j\zeta & q[k] \\ -q^*[k] & j\zeta \end{pmatrix} \quad (2)$$

and the starting value

$$v[0] = \begin{pmatrix} \exp(j\zeta x_{\max}) \\ 0 \end{pmatrix} . \quad (3)$$

The scattering coefficients  $a(\zeta)$  and  $b(\zeta)$  then can be calculated from  $v$ :

$$a(\zeta) = v_1(x_{\max}) \exp(j\zeta \cdot x_{\max}) \quad (4)$$

$$b(\zeta) = v_2(x_{\max}) \exp(-j\zeta \cdot x_{\max}) \quad (5)$$

The candidate  $\zeta$  can be an eigenvalue, when  $a(\zeta) = 0$  (or very small, when performing the integration numerically).

For root finding, it is desirable to have the derivative of  $a$ . To do this, one needs to calculate

$$\frac{da}{d\zeta} = -jx_{\max} \exp(-j\zeta \cdot x_{\max}) \cdot v'_1(\zeta) \quad . \quad (6)$$

The derivative of  $v$  with respect to  $\zeta$  is  $v'$ , which can be integrated with

$$v'[k+1] = v'[k] + dx (P'[k]v[k] + P[k]v'[k]) \quad (7)$$

and the initial value

$$v'[0] = \begin{pmatrix} jx_{\max} \exp(j\zeta \cdot x_{\max}) \\ 0 \end{pmatrix} \quad . \quad (8)$$

From this, one gets

$$a'(\zeta) = (v'_1(x_{\max}) + jx_{\max} \cdot v_1(x_{\max})) \exp(j\zeta \cdot x_{\max}) \quad . \quad (9)$$

To simultaneously integrate  $v$  and  $v'$ , one can solve

$$\begin{pmatrix} v[k+1] \\ v'[k+1] \end{pmatrix} = \begin{pmatrix} v[k] \\ v'[k] \end{pmatrix} + dx \cdot \begin{pmatrix} P[k] & 0 \\ P[k] & P'[k] \end{pmatrix} \begin{pmatrix} v[k] \\ v'[k] \end{pmatrix} \quad . \quad (10)$$

To find some eigenvalue with  $a(\lambda) = 0$  from a starting value  $\zeta$ , one can follow the root-finding procedure:

$$\zeta_{k+1} = \zeta_k - \frac{a(\zeta_k)}{a'(\zeta_k)} \quad (11)$$