Nonlinear Model Predictive Control of Rotary Pendulum

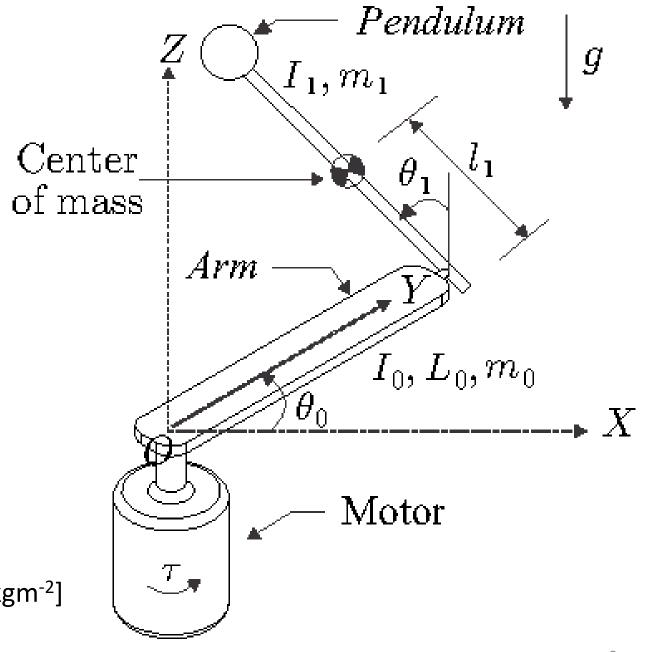
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Furuta Pendulum

- θ_0 arm angle [rad]
- θ_1 pendulum angle [rad]
- τ motor torque [V]
- *g* gravitational acceleration [ms⁻²]
- m_0 mass of arm [kg]
- m_1 mass of pendulum [kg]
- L_0 length of arm [m]
- L_1 length of pendulum [m]
- l_1 location of the pendulums center of mass [m]
- I_0 moment of inertia of arm [kgm⁻²]
- I_1 moment of inertia of pendulum [kgm⁻²]



State variables:

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} \theta_0 \\ \dot{\theta_0} \\ \theta_1 \\ \dot{\theta_1} \end{bmatrix}$$

Control variables:

$$u = \tau$$

Output variable:

$$y = x_3$$

$$\begin{bmatrix} \dot{x_1} \\ \dot{x_2} \\ \dot{x_3} \\ \dot{x_4} \end{bmatrix} = \begin{bmatrix} \frac{\dot{\theta_0}}{\gamma \left(\epsilon \dot{\theta_0}^2 + \rho \right) - \delta (\tau + \beta \dot{\theta_1}^2 - \sigma \dot{\theta_0} \dot{\theta_1})}{\gamma^2 - \alpha \delta} \\ \frac{\dot{\theta_1}}{\gamma \left(\tau + \beta \dot{\theta_1}^2 - \sigma \dot{\theta_0} \dot{\theta_1} \right) - \alpha (\epsilon \dot{\theta_0}^2 + \rho)}{\gamma^2 - \alpha \delta} \end{bmatrix} \quad \begin{aligned} \alpha &= I_0 + L_0^2 m_1 + l_1^2 m_1 \sin^2 \theta_1 \\ \beta &= L_0 m_1 l_1 \sin \theta_1 \\ \gamma &= L_0 m_1 l_1 \cos \theta_1 \\ \delta &= I_1 l_1^2 m_1 \\ \epsilon &= l_1^2 m_1 \sin \theta_1 \cos \theta_1 \\ \rho &= m_1 g l_1 \sin \theta_1 \end{aligned}$$

$$\alpha = I_0 + L_0^2 m_1 + l_1^2 m_1 \sin^2 \theta_1$$

$$\beta = L_0 m_1 l_1 \sin \theta_1$$

$$\gamma = L_0 m_1 l_1 \cos \theta_1$$

$$\delta = I_1 l_1^2 m_1$$

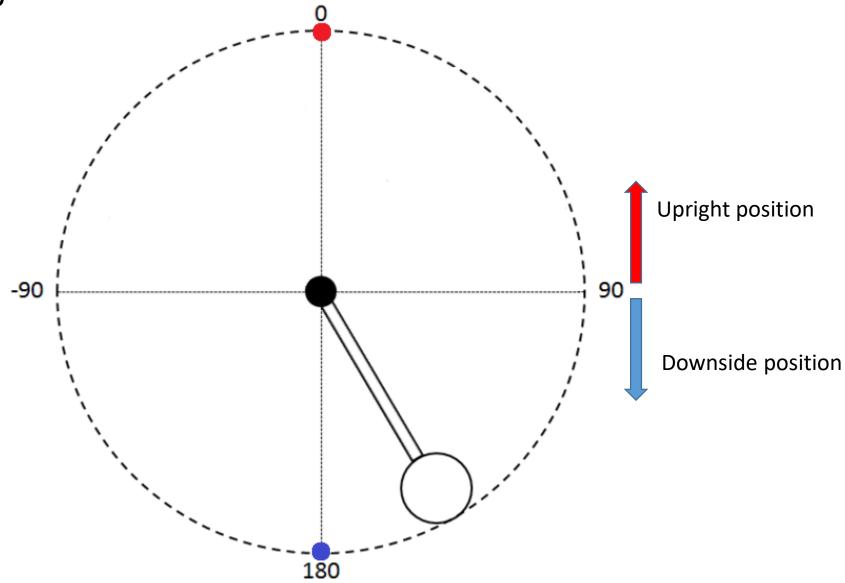
$$\epsilon = l_1^2 m_1 \sin \theta_1 \cos \theta_1$$

$$\rho = m_1 g l_1 \sin \theta_1$$

$$\dot{x} = f(x, u) = \begin{bmatrix} f_1(x, u) \\ f_2(x, u) \\ f_3(x, u) \\ f_4(x, u) \end{bmatrix} \to \dot{x} = Ax + Bu$$

$$A = \begin{bmatrix} \frac{\partial f_1(x,u)}{\partial x_1} & \frac{\partial f_1(x,u)}{\partial x_2} & \frac{\partial f_1(x,u)}{\partial x_3} & \frac{\partial f_1(x,u)}{\partial x_4} \\ \frac{\partial f_2(x,u)}{\partial x_1} & \frac{\partial f_2(x,u)}{\partial x_2} & \frac{\partial f_2(x,u)}{\partial x_3} & \frac{\partial f_2(x,u)}{\partial x_4} \\ \frac{\partial f_3(x,u)}{\partial x_1} & \frac{\partial f_3(x,u)}{\partial x_2} & \frac{\partial f_3(x,u)}{\partial x_3} & \frac{\partial f_3(x,u)}{\partial x_4} \\ \frac{\partial f_4(x,u)}{\partial x_1} & \frac{\partial f_4(x,u)}{\partial x_2} & \frac{\partial f_4(x,u)}{\partial x_3} & \frac{\partial f_4(x,u)}{\partial x_4} \end{bmatrix} \quad B = \begin{bmatrix} \frac{\partial f_1(x,u)}{\partial u} \\ \frac{\partial f_2(x,u)}{\partial u} \\ \frac{\partial f_3(x,u)}{\partial u} \\ \frac{\partial f_3(x,u)}{\partial u} \end{bmatrix}$$

Operating Points



$$\dot{x} = f(x, u) = \begin{bmatrix} f_1(x, u) \\ f_2(x, u) \\ f_3(x, u) \\ f_4(x, u) \end{bmatrix} \to \dot{x} = Ax + Bu$$

Upright operating point

$$x_0 = [0 \ 0 \ 0 \ 0]^T$$

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & -15.88 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 79.19 & 0 \end{bmatrix} \quad B = \begin{bmatrix} 0 \\ 17.64 \\ 0 \\ -38.94 \end{bmatrix} \qquad A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & -15.88 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -75.88 & 0 \end{bmatrix} \quad B = \begin{bmatrix} 0 \\ 17.64 \\ 0 \\ 38.94 \end{bmatrix}$$

Downside operating point

$$x_0 = [0 \quad 0 \quad \pi \quad 0]^T$$

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & -15.88 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -75.88 & 0 \end{bmatrix} \quad B = \begin{bmatrix} 0 \\ 17.64 \\ 0 \\ 38.94 \end{bmatrix}$$

Controllers

Upright position:

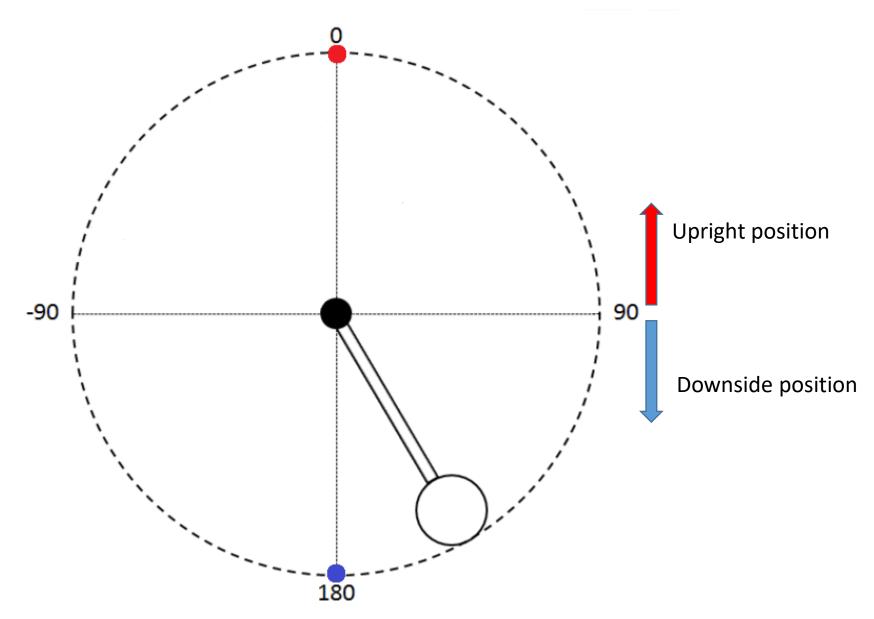
- LQR
- MPC

Downside position:

Swing-up

Full range:

NMPC



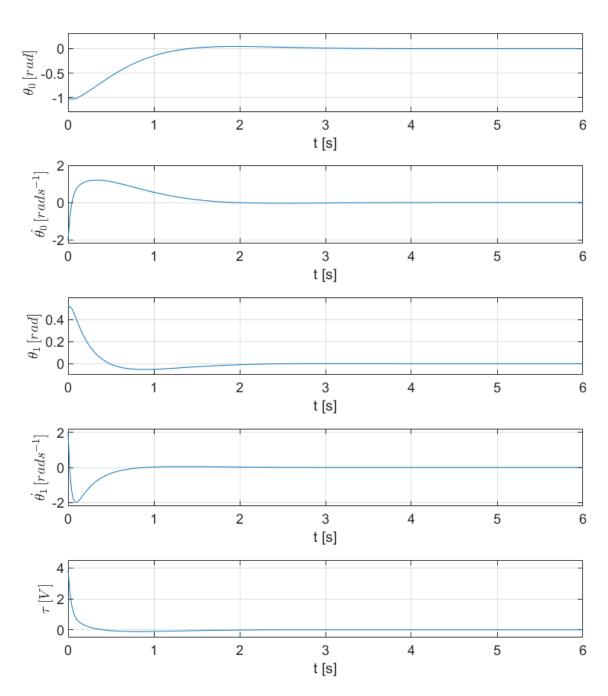
LQR Design

LQR formulation:

$$J = \min_{u} \frac{1}{2} \int_{t_0}^{t_f} x^T Q x + u^T R u dt$$
$$u^* = -R^{-1} B^T P x$$

LQR setup:

$$Q = \begin{bmatrix} 0.6 & 0 & 0 & 0 \\ 0 & 0.05 & 0 & 0 \\ 0 & 0 & 10 & 0 \\ 0 & 0 & 0 & 0.5 \end{bmatrix} \qquad R = 1$$



MPC: Design

MPC formulation:

$$J = \min_{u} \sum_{k}^{k+N} x^{T} Q_{x} x + u^{T} Q_{u} u dt$$

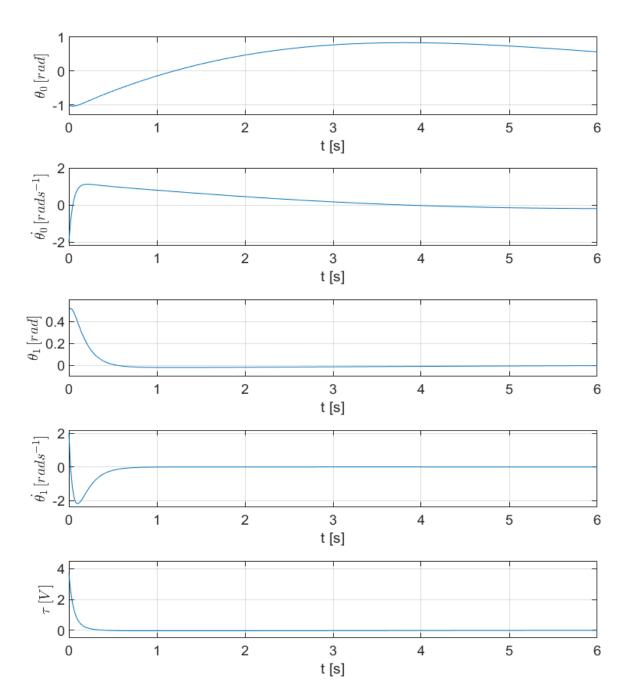
$$s.t. \ x_{k+1} = A x_{k} + B u_{k}$$

$$y_{k} = C x_{k}$$

$$u_{min} \le u_{k} \le u_{max}$$

MPC setup:

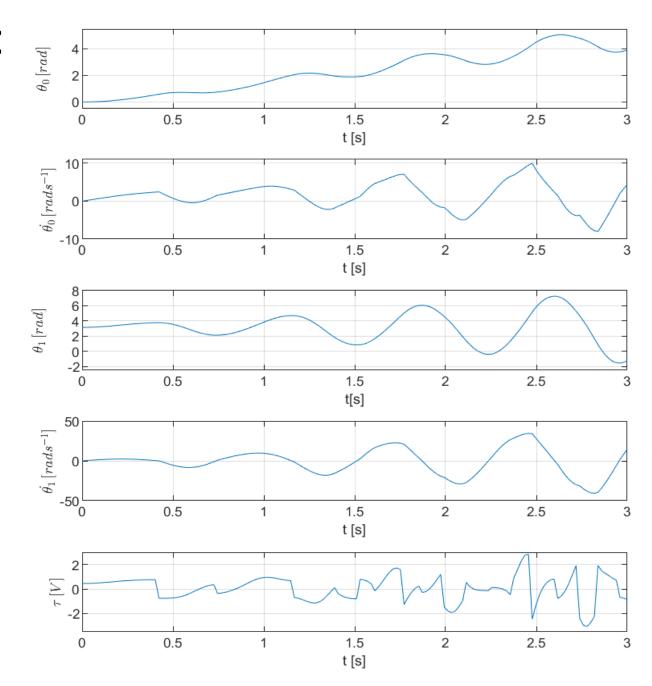
$$Q_X = \begin{bmatrix} 1.5 & 0 & 0 & 0 \\ 0 & 0.08 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 0.2 \end{bmatrix} \quad Q_u = 1 \qquad \begin{aligned} N &= 20 \\ u_{min} &= -5 \\ u_{max} &= 5 \end{aligned}$$



Swing-up Controller: Design

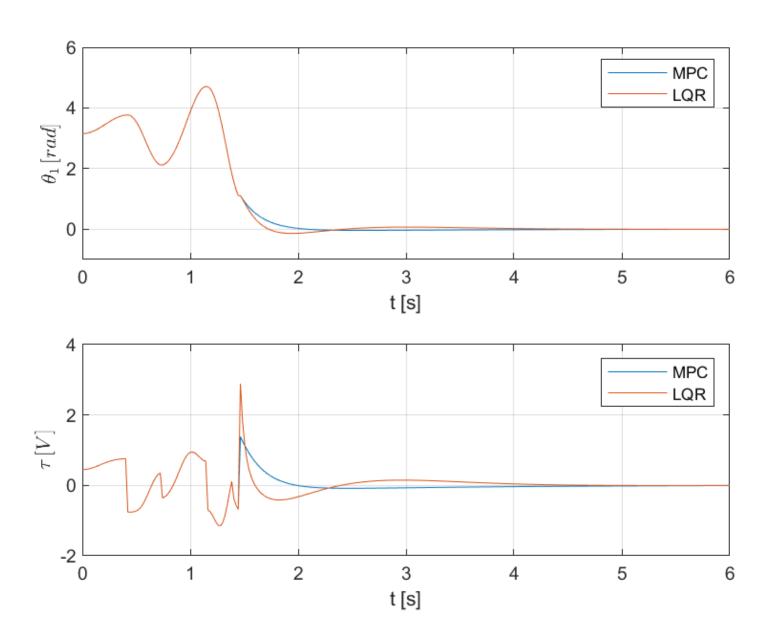
$$u = k_v E sign(\dot{\theta}_1 \cos \theta_1)$$

$$E = \frac{m_1 g l_1}{2} \left(\left(\frac{\dot{\theta}_1}{\sqrt{\frac{m_1 g l_1}{I_1}}} \right)^2 + \cos \theta_1 - 1 \right)$$



Combined Control Strategies

- Swing-up + LQR
- Swing-up + MPC

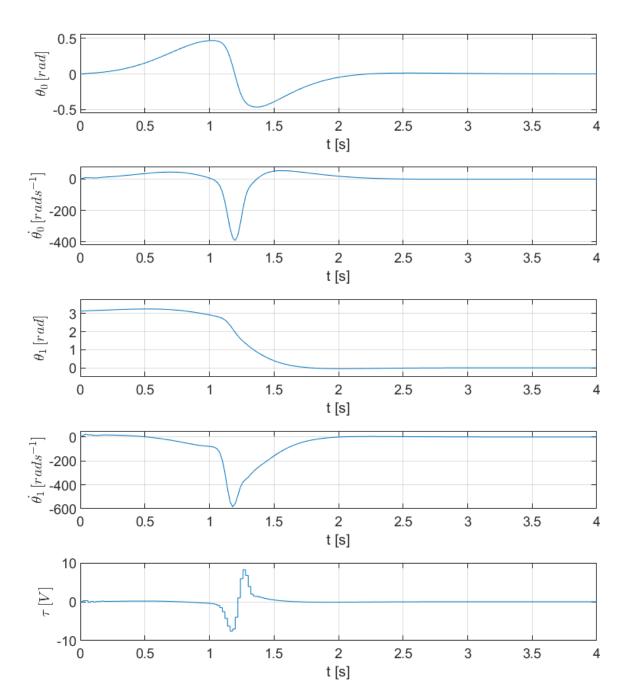


NMPC: Design

Software used:

- **MATMPC** toolbox
- CasAdi toolbox
- MinGW-w64 C/C++ Compiler

$$Q_X = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0.1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0.1 \end{bmatrix} \quad Q_u = 0.1 \qquad \begin{aligned} N &= 20 \\ u_{min} &= -10 \\ u_{max} &= 10 \end{aligned}$$



Conclusions

Controllers design:

- LQR
- MPC controller
- Swing-up controller
- NMPC controller

Full-range control:

- Swing-up + LQR
- Swing-up + MPC
- NMPC