

# Consistent Subtyping for All

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Consistent subtyping is employed in some gradual type systems to validate type conversions. The original definition by [Siek and Taha](#) serves as a guideline for designing gradual type systems with subtyping. Polymorphic types à la System F also induce a subtyping relation that relates polymorphic types to their instantiations. However, [Siek and Taha](#)'s definition is not adequate for polymorphic subtyping. The first goal of this article is to propose a generalization of consistent subtyping that is adequate for polymorphic subtyping and subsumes the original definition by [Siek and Taha](#). The new definition of consistent subtyping provides novel insights with respect to previous polymorphic gradual type systems, which did not employ consistent subtyping. The second goal of this article is to present a gradually typed calculus for implicit (higher-rank) polymorphism that uses our new notion of consistent subtyping. We develop both declarative and (bidirectional) algorithmic versions for the type system. The algorithmic version employs techniques developed by [Dunfield and Krishnaswami](#) for higher-rank polymorphism to deal with instantiation. We prove that the new calculus satisfies all static aspects of the refined criteria for gradual typing. We also study an extension of the type system with static and gradual type parameters, in an attempt to support a variant of the dynamic criterion for gradual typing. Assuming a coherence conjecture for the extended calculus, we show that the dynamic gradual guarantee of our source language can be reduced to that of  $\lambda B$ , which, at the time of writing, is still an open question. Most of the metatheory of this article, except some manual proofs for the algorithmic type system and extensions, has been mechanically formalized using the Coq proof assistant.

CCS Concepts: • **Software and its engineering** → **Functional languages; Polymorphism; Semantics;**

Additional Key Words and Phrases: Gradual typing, implicit polymorphism, consistent subtyping, dynamic gradual guarantee

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## 1 INTRODUCTION

Gradual typing [[Siek and Taha 2006](#)] is an increasingly popular topic in both programming language practice and theory. On the practical side, there is a growing number of programming

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languages adopting gradual typing. Those languages include Clojure [Bonnaire-Sergeant et al. 2016], Python [Lehtosalo et al. 2006; Vitousek et al. 2014], TypeScript [Bierman et al. 2014], Hack [Verlaguet 2013], and the addition of Dynamic to C# [Bierman et al. 2010], to name a few. On the theoretical side, recent years have seen a large body of research that defines the foundations of gradual typing [Cimini and Siek 2016, 2017; Garcia et al. 2016], explores their use for both functional and object-oriented programming [Siek and Taha 2006, 2007], as well as its applications to many other areas [Bañados Schwerter et al. 2014; Castagna and Lanvin 2017; Jafery and Dunfield 2017; Siek and Wadler 2016].

A key concept in gradual type systems is *consistency* [Siek and Taha 2006]. Consistency weakens type equality to allow for the presence of *unknown* types  $\star$ . In some gradual type systems with subtyping, consistency is combined with subtyping to give rise to the notion of *consistent subtyping* [Siek and Taha 2007]. Consistent subtyping is employed by gradual type systems to validate type conversions arising from conventional subtyping. One nice feature of consistent subtyping is that it is derivable from the more primitive notions of *consistency* and *subtyping*. As Siek and Taha [2007] put it, this shows that “gradual typing and subtyping are orthogonal and can be combined in a principled fashion.” Thus, consistent subtyping is often used as a guideline for designing gradual type systems with subtyping.

Unfortunately, as noted by Garcia et al. [2016], notions of consistency and/or consistent subtyping “become more difficult to adapt as type systems get more complex.” In particular, for the case of type systems with subtyping, certain kinds of subtyping do not fit well with the original definition of consistent subtyping by Siek and Taha [2007]. One important case where such a mismatch happens is in type systems supporting implicit (higher-rank) polymorphism [Dunfield and Krishnaswami 2013; Odersky and Läufer 1996; Peyton Jones et al. 2007]. It is well-known that polymorphic types à la System F induce a subtyping relation that relates polymorphic types to their instantiations [Mitchell 1990; Odersky and Läufer 1996]. However, Siek and Taha’s definition is not adequate for this kind of subtyping. Moreover, the current framework for *Abstracting Gradual Typing* (AGT) [Garcia et al. 2016] does not account for polymorphism either, but the authors acknowledge that it is an interesting avenue for future work.

Existing work on gradual type systems with polymorphism does not use consistent subtyping. The Polymorphic Blame Calculus ( $\lambda B$ ) [Ahmed et al. 2011, 2017] is an *explicitly* polymorphic calculus with explicit casts, which is often used as a target language for gradual type systems with polymorphism. In  $\lambda B$ , a notion of *compatibility* is employed to validate conversions allowed by casts. Interestingly,  $\lambda B$  allows conversions from polymorphic types to their instantiations. For example, it is possible to cast a value with type  $\forall a. a \rightarrow a$  into  $\text{Int} \rightarrow \text{Int}$ . Thus, an important remark here is that, while  $\lambda B$  is explicitly polymorphic, casting and conversions are closer to *implicit* polymorphism. That is, in a conventional explicitly polymorphic calculus (such as System F), the primary notion is type equality, where instantiation is not taken into account. Thus the types  $\forall a. a \rightarrow a$  and  $\text{Int} \rightarrow \text{Int}$  are deemed *incompatible*. However, in *implicitly* polymorphic calculi [Dunfield and Krishnaswami 2013; Odersky and Läufer 1996; Peyton Jones et al. 2007]  $\forall a. a \rightarrow a$  and  $\text{Int} \rightarrow \text{Int}$  are deemed *compatible*, since the latter type is an instantiation of the former. Therefore,  $\lambda B$  is in a sense a hybrid between implicit and explicit polymorphism, utilizing type equality (à la System F) for validating applications, and *compatibility* for validating casts.

An alternative approach to polymorphism has recently been proposed by Igarashi et al. [2017]. Like  $\lambda B$  their calculus is explicitly polymorphic. However, they employ type consistency to validate cast conversions, and forbid conversions from  $\forall a. a \rightarrow a$  to  $\text{Int} \rightarrow \text{Int}$ . This makes their casts closer to explicit polymorphism, in contrast to  $\lambda B$ . Nonetheless, there is still some flavor of implicit polymorphism in their calculus when it comes to interactions between dynamically typed and polymor-

phically typed code. For example, in their calculus type consistency allows types such as  $\forall a. a \rightarrow \text{Int}$  to be related to  $\star \rightarrow \text{Int}$ , where some sort of (implicit) polymorphic subtyping is involved.

The first goal of this article is to study the gradually typed subtyping and consistent subtyping relations for *predicative implicit polymorphism*. To accomplish this, we first show how to reconcile consistent subtyping with polymorphism by generalizing the original consistent subtyping definition by Siek and Taha. Our new definition of consistent subtyping can deal with polymorphism, and preserves the orthogonality between consistency and subtyping. To slightly rephrase Siek and Taha, the motto of our article is:

*Gradual typing and **polymorphism** are orthogonal and can be combined in a principled fashion.*<sup>1</sup>

With the insights gained from our work, we argue that, for implicit polymorphism, Ahmed et al.’s notion of compatibility is too permissive (i.e., too many programs are allowed to type-check) and that Igarashi et al.’s notion of type consistency is too conservative. As a step toward an algorithmic version of consistent subtyping, we present a syntax-directed version of consistent subtyping that is sound and complete with respect to our formal definition of consistent subtyping. The syntax-directed version of consistent subtyping is remarkably simple and well-behaved and does not require the *restriction* operator of Siek and Taha [2007]. Moreover, to further illustrate the generality of our consistent subtyping definition, we show that it can also account for *top types*, which cannot be dealt with by Siek and Taha’s definition either.

The second goal of this article is to present the design of GPC, which stands for Gradually Polymorphic Calculus: a (source-level) gradually typed calculus for (predicative) implicit higher-rank polymorphism that uses our new notion of consistent subtyping. As far as we are aware, there is no work on bridging the gap between implicit higher-rank polymorphism and gradual typing, which is interesting for two reasons. On the one hand, modern functional languages (such as Haskell) employ sophisticated type-inference algorithms that, aided by type annotations, can deal with implicit higher-rank polymorphism. So a natural question is how gradual typing can be integrated in such languages. On the other hand, there are several existing works on integrating *explicit* polymorphism into gradual typing [Ahmed et al. 2011; Igarashi et al. 2017]. Yet no work investigates how to move its expressive power into a source language with implicit polymorphism. Therefore, as a step toward gradualizing such type systems, this article develops both declarative and algorithmic versions for a gradual type system with implicit higher-rank polymorphism. The new calculus brings the expressive power of full implicit higher-rank polymorphism into a gradually typed source language. We prove that our calculus satisfies all of the *static* aspects of the refined criteria for gradual typing [Siek et al. 2015].

As a step toward the *dynamic gradual guarantee* property [Siek et al. 2015], we propose an extension of our calculus. This extension employs *static type parameters*, which are placeholders for monotypes, and *gradual type parameters*, which are placeholders for monotypes that are consistent with the unknown type. The concept of static type parameters and gradual type parameters in the context of gradual typing was first introduced by Garcia and Cimini [2015] and later played a central role in the work of Igarashi et al. [2017].<sup>2</sup> With this extension it becomes possible to talk about *representative translations*: those translations that generalize a number of other translations using specific monotypes. Our work recasts the dynamic gradual guarantee in terms of representative translations. Assuming a coherence conjecture regarding representative translations, the dynamic gradual guarantee of our extended source language now can be reduced to that of  $\lambda B$ , which, at the

<sup>1</sup>Note here that we borrow Siek and Taha’s motto mostly to talk about the static semantics. As Ahmed et al. [2011] show there are several non-trivial interactions between polymorphism and casts at the level of the dynamic semantics.

<sup>2</sup>The static and gradual type variables in their work.

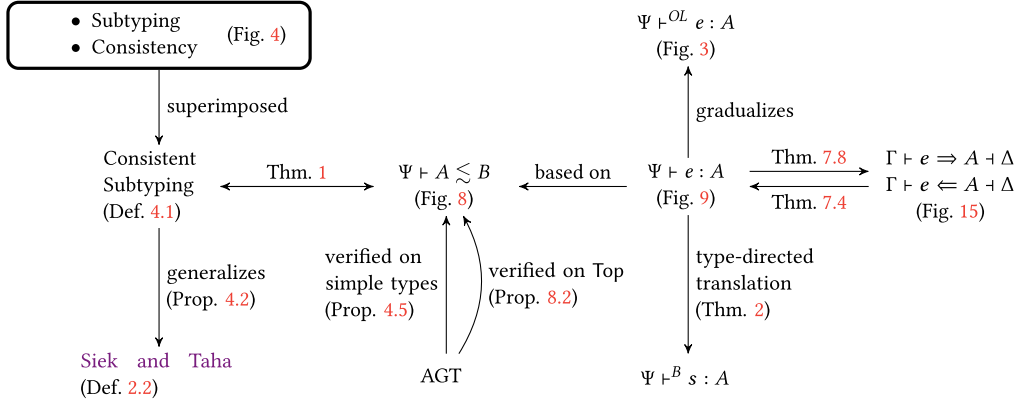


Fig. 1. Some key results in the article.

time of writing, is still an open question. Nonetheless, we believe our discussion of representative translations is helpful in shedding some light on this issue.

In summary, the contributions of this article are:

- We define a framework for consistent subtyping with:
  - a new definition of consistent subtyping that subsumes and generalizes that of [Siek and Taha](#), and can deal with polymorphism and top types, and
  - a syntax-directed version of consistent subtyping that is sound and complete with respect to our definition of consistent subtyping, but still guesses instantiations.
- Based on consistent subtyping, we present GPC: a declarative gradual type system with predicative implicit higher-rank polymorphism. We prove that our calculus satisfies the static aspects of the refined criteria for gradual typing [[Siek et al. 2015](#)] and is type-safe by a type-directed translation to  $\lambda B$  [[Ahmed et al. 2011](#)].
- We present a sound and complete bidirectional algorithm for implementing the declarative system based on the design principle of Garcia and Cimini [[2015](#)] and the approach of Dunfield and Krishnaswami [[2013](#)]. A Haskell implementation of the type checker is also available.
- We propose an extension of the type system with type parameters [[Garcia and Cimini 2015](#)] as a step toward restoring the *dynamic gradual guarantee* [[Siek et al. 2015](#)]. The extension significantly changes the algorithmic system. The new algorithm features a novel use of existential variables with a different solution space, which is a natural extension of the approach by Dunfield and Krishnaswami [[2013](#)].
- All of the metatheory of this article, except some manual proofs for the algorithmic type system and extensions, has been mechanically formalized in Coq.

Figure 1 summarizes some key results in the article. The reader is advised to refer back to this figure while reading the rest of the article, as what it depicts will gradually come to make sense.

This article is a significantly expanded version of a conference paper [[Xie et al. 2018](#)]. Besides many improvements and expansions of the conference paper materials, there are several novel extensions. First, we have added **let** expressions to our gradually typed calculus. Therefore, it can now be seen as a complete gradual version of the implicitly polymorphic lambda calculus by Odersky and Läufer [[1996](#)]. Second, we have significantly expanded the discussion of applications of the calculus. In particular, we now show how we can model algebraic datatypes and how the combination of gradual typing and polymorphism enables simple (gradual) formulations of heterogeneous data structures [[Kiselyov et al. 2004](#); [McBride 2002](#)]. Third, we provide an extensive discussion of a

$$\begin{array}{c}
\boxed{A <: B} \\
\\
\text{Int} <: \text{Int} \qquad \text{Bool} <: \text{Bool} \qquad \text{Float} <: \text{Float} \qquad \text{Int} <: \text{Float} \\
\\
\frac{B_1 <: A_1 \quad A_2 <: B_2}{A_1 \rightarrow A_2 <: B_1 \rightarrow B_2} \qquad [l_i : A_i^{i \in 1 \dots n+m}] <: [l_i : A_i^{i \in 1 \dots n}] \qquad \star <: \star \\
\\
\boxed{A \sim B} \\
\\
A \sim A \qquad A \sim \star \qquad \star \sim A \qquad \frac{A_1 \sim B_1 \quad A_2 \sim B_2}{A_1 \rightarrow A_2 \sim B_1 \rightarrow B_2} \qquad \frac{A_i \sim B_i}{[l_i : A_i] \sim [l_i : B_i]}
\end{array}$$

Fig. 2. Subtyping and type consistency in  $\text{FOb}_{<,\sim}^?$ .

variant of the calculus with a subsumption rule and prove its soundness and completeness. Fourth, we study an extension of the calculus with type parameters and discuss the *dynamic gradual guarantee* [Siek et al. 2015] in detail. Furthermore, we formalize the notion of *coherence up to cast errors* in Section 5. We also include detailed proofs on decidability of the algorithmic system. Finally, we provide an implementation of our type checking algorithm.

All supplementary materials, including Coq mechanization, manual proofs, and the Haskell implementation of the algorithm, are available at <https://github.com/xnning/Consistent-Subtyping-for-All>.

## 2 BACKGROUND

In this section, we review a simple gradually typed language with objects [Siek and Taha 2007], to introduce the concept of consistent subtyping. We also briefly talk about the Odersky and Läufer type system for higher-rank types [Odersky and Läufer 1996], which serves as the original language on which our gradually typed calculus with implicit higher-rank polymorphism is based.

### 2.1 Gradual Subtyping

Siek and Taha [2007] developed a gradual type system for object-oriented languages that they call  $\text{FOb}_{<,\sim}^?$ . Central to gradual typing is the concept of *consistency* (written  $\sim$ ) between gradual types, which are types that may involve the unknown type  $\star$ . The intuition is that consistency relaxes the structure of a type system to tolerate unknown positions in a gradual type. They also defined the subtyping relation in a way that static type safety is preserved. Their key insight is that the unknown type  $\star$  is neutral to subtyping, with only  $\star <: \star$ . Both relations are defined in Figure 2.

A primary contribution of their work is to show that consistency and subtyping are orthogonal. However, the orthogonality of consistency and subtyping does not lead to a deterministic relation. Thus Siek and Taha defined *consistent subtyping* (written  $\lesssim$ ) based on a *restriction operator*, written  $A|_B$  that “masks off” the parts of type  $A$  that are unknown in type  $B$ . For example,  $\text{Int} \rightarrow \text{Int}|_{\text{Bool} \rightarrow \star} = \text{Int} \rightarrow \star$ , and  $\text{Bool} \rightarrow \star|_{\text{Int} \rightarrow \text{Int}} = \text{Bool} \rightarrow \star$ . The definition of the restriction operator is given below:

$$\begin{aligned}
A|_B &= \text{case } (A, B) \text{ of} \\
&| (\_, \star) \Rightarrow \star \\
&| (A_1 \rightarrow A_2, B_1 \rightarrow B_2) \Rightarrow A_1|_{B_1} \rightarrow A_2|_{B_2} \\
&| ([l_1 : A_1, \dots, l_n : A_n], [l_1 : B_1, \dots, l_m : B_m]) \text{ if } n \leq m \Rightarrow [l_1 : A_1|_{B_1}, \dots, l_n : A_n|_{B_n}] \\
&| ([l_1 : A_1, \dots, l_n : A_n], [l_1 : B_1, \dots, l_m : B_m]) \text{ if } n > m \Rightarrow [l_1 : A_1|_{B_1}, \dots, l_m : A_m|_{B_m}, \dots, l_n : A_n] \\
&| (\_, \_) \Rightarrow A.
\end{aligned}$$

Types	$A, B ::= \text{Int} \mid a \mid A \rightarrow B \mid \forall a. A$
Monotypes	$\tau, \sigma ::= \text{Int} \mid a \mid \tau \rightarrow \sigma$
Terms	$e ::= x \mid n \mid \lambda x : A. e \mid \lambda x. e \mid e_1 e_2 \mid \text{let } x = e_1 \text{ in } e_2$
Contexts	$\Psi ::= \bullet \mid \Psi, x : A \mid \Psi, a$

$\Psi \vdash^{OL} e : A$

(Typing)

$$\begin{array}{c}
\frac{(x : A) \in \Psi}{\Psi \vdash^{OL} x : A} \text{U-VAR} \qquad \frac{}{\Psi \vdash^{OL} n : \text{Int}} \text{U-INT} \qquad \frac{\Psi, x : A \vdash^{OL} e : B}{\Psi \vdash^{OL} \lambda x : A. e : A \rightarrow B} \text{U-LAMANN} \\
\\
\frac{\Psi, x : \tau \vdash^{OL} e : B}{\Psi \vdash^{OL} \lambda x. e : \tau \rightarrow B} \text{U-LAM} \qquad \frac{\Psi \vdash^{OL} e_1 : A_1 \rightarrow A_2 \quad \Psi \vdash^{OL} e_2 : A_1}{\Psi \vdash^{OL} e_1 e_2 : A_2} \text{U-APP} \\
\\
\frac{\Psi \vdash^{OL} e : A_1 \quad \Psi \vdash A_1 <: A_2}{\Psi \vdash^{OL} e : A_2} \text{U-SUB} \qquad \frac{\Psi, a \vdash^{OL} e : A}{\Psi \vdash^{OL} e : \forall a. A} \text{U-GEN} \\
\\
\frac{\Psi \vdash^{OL} e_1 : A \quad \Psi, x : A \vdash^{OL} e_2 : B}{\Psi \vdash^{OL} \text{let } x = e_1 \text{ in } e_2 : B} \text{U-LET}
\end{array}$$
  

$\Psi \vdash A <: B$

(Subtyping)

$$\begin{array}{c}
\frac{a \in \Psi}{\Psi \vdash a <: a} \text{S-TVAR} \qquad \frac{}{\Psi \vdash \text{Int} <: \text{Int}} \text{S-INT} \qquad \frac{\Psi \vdash B_1 <: A_1 \quad \Psi \vdash A_2 <: B_2}{\Psi \vdash A_1 \rightarrow A_2 <: B_1 \rightarrow B_2} \text{S-ARROW} \\
\\
\frac{\Psi \vdash \tau \quad \Psi \vdash A[a \mapsto \tau] <: B}{\Psi \vdash \forall a. A <: B} \text{S-FORALLL} \qquad \frac{\Psi, a \vdash A <: B}{\Psi \vdash A <: \forall a. B} \text{S-FORALLR}
\end{array}$$

Fig. 3. Syntax and static semantics of the Odersky-Läufer type system.

With the restriction operator, consistent subtyping is simply defined as:

*Definition 2.1 (Algorithmic Consistent Subtyping of Siek and Taha [2007]).*  $A \lesssim B \equiv A|_B <: B|_A$ .

Later, they show a proposition that consistent subtyping is equivalent to two declarative definitions, which we refer to as the strawman for *declarative* consistent subtyping, because it serves as a good guideline on superimposing consistency and subtyping. Both definitions are non-deterministic because of the intermediate type  $C$ .

*Definition 2.2 (Strawman for Declarative Consistent Subtyping).* The following two are equivalent:

- (1)  $A \lesssim B$  if and only if  $A \sim C$  and  $C <: B$  for some  $C$ .
- (2)  $A \lesssim B$  if and only if  $A <: C$  and  $C \sim B$  for some  $C$ .

In our later discussion, it will always be clear which definition we are referring to. For example, we focus more on Definition 2.2 in Section 4.2, and more on Definition 2.1 in Section 4.5.

## 2.2 The Odersky-Läufer Type System

The calculus we are combining gradual typing with is the well-established predicative type system for higher-rank types proposed by Odersky and Läufer [1996].

The syntax of the type system, along with the typing and subtyping judgments is given in Figure 3. An implicit assumption throughout the article is that variables in contexts are distinct. Most typing rules are standard. The rule **U-SUB** (the subsumption rule) allows us to convert the



type  $A_1$  of an expression  $e$  to some supertype  $A_2$ . The rule **U-GEN** generalizes type variables to polymorphic types. These two rules can be applied anywhere. Most subtyping rules are standard as well. Rule **S-FORALL** guesses a monotype  $\tau$  to instantiate the type variable  $a$ , and the subtyping relation holds if the instantiated type  $A[a \mapsto \tau]$  is a subtype of  $B$ . In rule **S-FORALLR**, the type variable  $a$  is put into the typing context and subtyping continues with  $A$  and  $B$ . We save additional explanation about the static semantics for Section 5, where we present our gradually typed version of the calculus.

### 3 MOTIVATION AND APPLICATIONS

In this section, we motivate why the combination of gradual typing and implicit polymorphism is useful. We then illustrate two concrete applications related to algebraic datatypes. The first application shows how gradual typing helps in defining Scott encodings of algebraic datatypes [Curry et al. 1958; Parigot 1992], which are impossible to encode in plain System F. The second application shows how gradual typing makes it easy to model and use heterogeneous containers.

#### 3.1 Motivation: Gradually Typed Higher-Rank Polymorphism

Our work combines implicit (higher-rank) polymorphism with gradual typing. As is well known, a gradually typed language supports both fully static and fully dynamic checking of program properties, as well as the continuum between these two extremes. It also offers programmers fine-grained control over the static-to-dynamic spectrum, i.e., a program can be evolved by introducing more or less precise types as needed [Garcia et al. 2016].

Haskell is a language renowned for its advanced type system, but it does not feature gradual typing. Of particular interest to us is its support for implicit higher-rank polymorphism, which is supported via explicit type annotations. In Haskell, some programs that are safe at run-time may be rejected due to the conservativity of the type system. For example, consider the following Haskell program adapted from Peyton Jones et al. [2007]:

```
foo :: ([Int], [Char])
foo = let f x = (x [1, 2], x ['a', 'b']) in f reverse
```

This program is rejected by Haskell's type checker, because Haskell implements the Damas-Milner [Damas and Milner 1982; Hindley 1969] rule that a lambda-bound argument (such as  $x$ ) can only have a monotype, i.e., the type checker can only assign  $x$  the type  $[Int] \rightarrow [Int]$ , or  $[Char] \rightarrow [Char]$ , but not  $\forall a. [a] \rightarrow [a]$ . Finding such manual polymorphic annotations can be non-trivial, especially when the program scales up and the annotation is long and complicated.

Instead of rejecting the program outright, due to missing type annotations, gradual typing provides a simple alternative by giving  $x$  the unknown type  $\star$ . With this type the same program type-checks and produces  $([2, 1], ['b', 'a'])$ . By running the program, programmers can gain more insight about its run-time behaviour. Then, with this insight, they can also give  $x$  a more precise type  $(\forall a. [a] \rightarrow [a])$  *a posteriori* so that the program continues to type-check via implicit polymorphism and also grants more static safety. In this article, we envision such a language that combines the benefits of both implicit higher-rank polymorphism and gradual typing.

#### 3.2 Application: Efficient (Partly) Typed Encodings of ADTs

Our calculus does not provide built-in support for algebraic datatypes (ADTs). Nevertheless, the calculus is expressive enough to support efficient function-based encodings of (optionally polymorphic) ADTs.<sup>3</sup> This offers an immediate way to model algebraic datatypes in our calculus

<sup>3</sup>In a type system with impure features, such as non-termination or exceptions, the encoded types can have valid inhabitants with side-effects, which means we only get the *lazy* version of those datatypes.

without requiring extensions to our calculus or, more importantly, to its target—the polymorphic blame calculus. While we believe that such extensions are possible, they would likely require non-trivial extensions to the polymorphic blame calculus. Thus the alternative of being able to model algebraic datatypes without extending  $\lambda B$  is appealing. The encoding also paves the way to provide built-in support for algebraic datatypes in the source language, while elaborating them via the encoding into  $\lambda B$ .

*Church and Scott Encodings.* It is well-known that polymorphic calculi such as System F can encode datatypes via Church encodings. However, these encodings have well-known drawbacks. In particular, some operations are hard to define, and they can have a time complexity that is greater than that of the corresponding functions for built-in algebraic datatypes. A good example is the definition of the predecessor function for Church numerals [Church 1941]. Its definition requires significant ingenuity (while it is trivial with built-in algebraic datatypes), and it has *linear* time complexity (versus the *constant* time complexity for a definition using built-in algebraic datatypes).

An alternative to Church encodings are the so-called Scott encodings [Curry et al. 1958]. They address the two drawbacks of Church encodings: They allow simple definitions that directly correspond to programs implemented with built-in algebraic datatypes, and those definitions have the same time complexity to programs using algebraic datatypes.

Unfortunately, Scott encodings, or more precisely, their typed variant [Parigot 1992], cannot be expressed in System F: in the general case they require recursive types, which System F does not support. However, with gradual typing, we can remove the need for recursive types, thus enabling Scott encodings in our calculus.

*A Scott Encoding of Parametric Lists.* Consider, for instance, the typed Scott encoding of parametric lists in a system with implicit polymorphism:

$$\begin{aligned} \text{List } a &\triangleq \mu L. \forall b. b \rightarrow (a \rightarrow L \rightarrow b) \rightarrow b, \\ \text{nil} &\triangleq \text{fold}_{\text{List } a} (\lambda n. \lambda c. n) : \forall a. \text{List } a, \\ \text{cons} &\triangleq \lambda x. \lambda xs. \text{fold}_{\text{List } a} (\lambda n. \lambda c. c \ x \ xs) : \forall a. a \rightarrow \text{List } a \rightarrow \text{List } a. \end{aligned}$$

This encoding requires both polymorphic and recursive types.<sup>4</sup> Like System F, our calculus only supports the former, but not the latter. Nevertheless, gradual types still allow us to use the Scott encoding in a partially typed fashion. The trick is to omit the recursive type binder  $\mu L$  and replace the recursive occurrence of  $L$  by the unknown type  $\star$ :

$$\text{List}_\star a \triangleq \forall b. b \rightarrow (a \rightarrow \star \rightarrow b) \rightarrow b.$$

As a consequence, we need to replace the term-level witnesses of the iso-recursion by explicit type annotations to, respectively, forget or recover the type structure of the recursive occurrences:

$$\begin{aligned} \text{fold}_{\text{List}_\star a} &\triangleq \lambda x. x : (\forall b. b \rightarrow (a \rightarrow \text{List}_\star a \rightarrow b) \rightarrow b) \rightarrow \text{List}_\star a, \\ \text{unfold}_{\text{List}_\star a} &\triangleq \lambda x. x : \text{List}_\star a \rightarrow (\forall b. b \rightarrow (a \rightarrow \text{List}_\star a \rightarrow b) \rightarrow b). \end{aligned}$$

With the reinterpretation of **fold** and **unfold** as functions instead of built-in primitives, we have exactly the same definitions of  $\text{nil}_\star$  and  $\text{cons}_\star$ .

Note that when we elaborate our calculus into the polymorphic blame calculus, the above type annotations give rise to explicit casts. For instance, after elaboration  $\text{fold}_{\text{List}_\star a} e$  results in the cast  $\langle (\forall b. b \rightarrow (a \rightarrow \text{List}_\star a \rightarrow b) \rightarrow b) \hookrightarrow \text{List}_\star a \rangle s$  where  $s$  is the elaboration of  $e$ .

<sup>4</sup>Here, we use iso-recursive types, but equi-recursive types can be used too.



To perform recursive traversals on lists, e.g., to compute their length, we need a fixpoint combinator like the Y combinator. Unfortunately, this combinator cannot be assigned a type in the simply typed lambda calculus or System F. Yet, we can still provide a gradual typing for it in our system:

$$\text{fix} \triangleq \lambda f. (\lambda x : \star. f (x x)) (\lambda x : \star. f (x x)) : \forall a. (a \rightarrow a) \rightarrow a.$$

This allows us, for instance, to compute the length of a list:

$$\text{length} \triangleq \text{fix} (\lambda \text{len}. \lambda l. \text{zero}_\star (\lambda xs. \text{succ}_\star (\text{len } xs))).$$

Here,  $\text{zero}_\star : \text{Nat}_\star$  and  $\text{succ}_\star : \text{Nat}_\star \rightarrow \text{Nat}_\star$  are the encodings of the constructors for natural numbers  $\text{Nat}_\star$ . In practice, for performance reasons, we could extend our language with a **letrec** construct in a standard way to support general recursion, instead of defining a fixpoint combinator.

Observe that the gradual typing of lists still enforces that all elements in the list are of the same type. For instance, a heterogeneous list like  $\text{cons}_\star \text{zero}_\star (\text{cons}_\star \text{true}_\star \text{nil}_\star)$ , is rejected, because  $\text{zero}_\star : \text{Nat}_\star$  and  $\text{true}_\star : \text{Bool}_\star$  have different types.

*Heterogeneous Containers.* Heterogeneous containers are datatypes that can store data of different types, which is very useful in various scenarios. One typical application is that an XML element is heterogeneously typed. Moreover, the result of a SQL query contains heterogeneous rows.

In statically typed languages, there are several ways to obtain heterogeneous lists. For example, in Haskell, one option is to use *dynamic types*. Haskell's library **Data.Dynamic** provides the special type **Dynamic** along with its injection **toDyn** and projection **fromDyn**. The drawback is that the code is littered with **toDyn** and **fromDyn**, which obscures the program logic. One can also use the **HList** library [Kiselyov et al. 2004], which provides strongly typed data structures for heterogeneous collections. The library requires several Haskell extensions, such as multi-parameter classes [Jones et al. 1997] and functional dependencies [Jones 2000]. With fake dependent types [McBride 2002], heterogeneous vectors are also possible with type-level constructors.

In our type system, with explicit type annotations that set the element types to the unknown type, we can disable the homogeneous typing discipline for the elements and get gradually typed heterogeneous lists.<sup>5</sup> Such gradually typed heterogeneous lists are akin to Haskell's approach with Dynamic types, but much more convenient to use, since no injections and projections are needed, and the  $\star$  type is built-in and natural to use.

An example of such gradually typed heterogeneous collections is

$$l \triangleq \text{cons}_\star (\text{zero}_\star : \star) (\text{cons}_\star (\text{true}_\star : \star) \text{nil}_\star).$$

Here, we annotate each element with type annotation  $\star$  and the type system is happy to type-check that  $l : \text{List}_\star \star$ . Note that we are being meticulous about the syntax, but with proper implementation of the source language, we could write more succinct programs akin to Haskell's syntax, such as  $[\emptyset, \text{True}]$ .

## 4 REVISITING CONSISTENT SUBTYPING

In this section, we explore the design space of consistent subtyping. We start with the definitions of consistency and subtyping for polymorphic types, and compare with some relevant work. We then discuss the design decisions involved in our new definition of consistent subtyping, and justify the new definition by demonstrating its equivalence with that of Siek and Taha [2007] and the AGT approach [Garcia et al. 2016] on simple types.

<sup>5</sup>This argument is based on the extended type system in Section 9.

Types	$A, B ::= \text{Int} \mid a \mid A \rightarrow B \mid \forall a. A \mid \star$
Monotypes	$\tau, \sigma ::= \text{Int} \mid a \mid \tau \rightarrow \sigma$
Contexts	$\Psi ::= \bullet \mid \Psi, x : A \mid \Psi, a$

$A \sim B$

$\overline{A \sim A}$

$\overline{A \sim \star}$

$\overline{\star \sim A}$

$\frac{A_1 \sim B_1 \quad A_2 \sim B_2}{A_1 \rightarrow A_2 \sim B_1 \rightarrow B_2}$

$\frac{A \sim B}{\forall a. A \sim \forall a. B}$

(Type Consistency)

$\Psi \vdash A <: B$

$\frac{a \in \Psi}{\Psi \vdash a <: a} \text{S-TVAR}$

$\frac{}{\Psi \vdash \text{Int} <: \text{Int}} \text{S-INT}$

$\frac{\Psi \vdash B_1 <: A_1 \quad \Psi \vdash A_2 <: B_2}{\Psi \vdash A_1 \rightarrow A_2 <: B_1 \rightarrow B_2} \text{S-ARROW}$

$\frac{\Psi \vdash \tau \quad \Psi \vdash A[a \mapsto \tau] <: B}{\Psi \vdash \forall a. A <: B} \text{S-FORALL}$

$\frac{\Psi, a \vdash A <: B}{\Psi \vdash A <: \forall a. B} \text{S-FORALLR}$

$\frac{}{\Psi \vdash \star <: \star} \text{S-UNKNOWN}$

(Subtyping)

$\Psi \vdash A$

$\overline{\Psi \vdash \text{Int}}$

$\overline{\Psi \vdash \star}$

$\frac{a \in \Psi}{\Psi \vdash a}$

$\frac{\Psi \vdash A \quad \Psi \vdash B}{\Psi \vdash A \rightarrow B}$

$\frac{\Psi, a \vdash A}{\Psi \vdash \forall a. A}$

(Well-formedness of types)

Fig. 4. Syntax of types, consistency, subtyping, well-formedness of types in the declarative system.

The syntax of types is given at the top of Figure 4. We write  $A, B$  for types. Types are either the integer type  $\text{Int}$ , type variables  $a$ , functions types  $A \rightarrow B$ , universal quantification  $\forall a. A$ , or the unknown type  $\star$ . Though we only have one base type  $\text{Int}$ , we also use  $\text{Bool}$  in examples. Note that monotypes  $\tau$  contain all types other than the universal quantifier and the unknown type  $\star$ . We will discuss this restriction when we present the subtyping rules. Contexts  $\Psi$  are *ordered* lists of type variable declarations and term variables.

#### 4.1 Consistency and Subtyping

We start by giving the definitions of consistency and subtyping for polymorphic types and comparing our definitions with the compatibility relation by Ahmed et al. [2011] and type consistency by Igarashi et al. [2017].

*Consistency.* The key observation here is that consistency is mostly a structural relation, except that the unknown type  $\star$  can be regarded as any type. In other words, consistency is an equivalence relation lifted from static types to gradual types [Garcia et al. 2016]. Following this observation, we naturally extend the definition from Figure 2 with polymorphic types, as shown in the middle of Figure 4. In particular, a polymorphic type  $\forall a. A$  is consistent with another polymorphic type  $\forall a. B$  if  $A$  is consistent with  $B$ .

*Subtyping.* We express the fact that one type is a polymorphic generalization of another by means of the subtyping judgment  $\Psi \vdash A <: B$ . Compared with the subtyping rules of Odersky and Läufer [1996] in Figure 3, the only addition is the neutral subtyping of  $\star$ . Notice that, in rule **S-FORALL**, the universal quantifier is only allowed to be instantiated with a *monotype*. The judgment  $\Psi \vdash A$  checks whether all the type variables in  $A$  are bound in the context  $\Psi$ . According to the syntax in Figure 4, monotypes do not include the unknown type  $\star$ . This is because if we were to allow the unknown type to be used for instantiation, then we could have  $\forall a. a \rightarrow a <: \star \rightarrow \star$  by instantiating  $a$  with  $\star$ . Since  $\star \rightarrow \star$  is consistent with any functions  $A \rightarrow B$ , for instance,  $\text{Int} \rightarrow \text{Bool}$ , this means that we could provide an expression of type  $\forall a. a \rightarrow a$  to a function where

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the input type is supposed to be  $\text{Int} \rightarrow \text{Bool}$ . However, as we know,  $\forall a. a \rightarrow a$  is definitely not compatible with  $\text{Int} \rightarrow \text{Bool}$ . Indeed, this does not hold in any polymorphic type systems without gradual typing. So the gradual type system should not accept it either. (This is the *conservative extension* property that will be made precise in Section 5.3.)

Importantly, there is a subtle distinction between a type variable and the unknown type, although they both represent a kind of “arbitrary” type. The unknown type stands for the absence of type information: it could be *any type at any instance*. Therefore, the unknown type is consistent with any type, and additional type-checks have to be performed at runtime. However, a type variable indicates *parametricity*. In other words, a type variable can only be instantiated to a single type. For example, in the type  $\forall a. a \rightarrow a$ , the two occurrences of  $a$  represent an arbitrary but single type (e.g.,  $\text{Int} \rightarrow \text{Int}$ ,  $\text{Bool} \rightarrow \text{Bool}$ ), while  $\star \rightarrow \star$  could be an arbitrary function (e.g.,  $\text{Int} \rightarrow \text{Bool}$ ) at runtime.

*Comparison with Other Relations.* In other polymorphic gradual calculi, consistency and subtyping are often mixed up to some extent. In  $\lambda B$  [Ahmed et al. 2011], the compatibility relation for polymorphic types is defined as follows:

$$\frac{A < B}{A < \forall X. B} \text{COMP-ALLR}, \quad \frac{A[X \mapsto \star] < B}{\forall X. A < B} \text{COMP-ALLL}.$$

Notice that, in rule **COMP-ALLL**, the universal quantifier is *always* instantiated to  $\star$ . However, this way,  $\lambda B$  allows  $\forall a. a \rightarrow a < \text{Int} \rightarrow \text{Bool}$ , which as we discussed before might not be what we expect. Indeed  $\lambda B$  relies on sophisticated runtime checks to rule out such instances of the compatibility relation *a posteriori*.

Igarashi et al. [2017] introduced the so-called *quasi-polymorphic* types for types that may be used where a  $\forall$ -type is expected, which is important for their purpose of conservativity over System F. Their type consistency relation, involving polymorphism, is defined as follows<sup>6</sup>:

$$\frac{A \sim B}{\forall a. A \sim \forall a. B}, \quad \frac{A \sim B \quad B \neq \forall a. B' \quad \star \in \text{Types}(B)}{\forall a. A \sim B}.$$

Compared with our consistency definition in Figure 4, their first rule is the same as ours. The second rule says that a non  $\forall$ -type can be consistent with a  $\forall$ -type only if it contains  $\star$ . In this way, their type system is able to reject  $\forall a. a \rightarrow a \sim \text{Int} \rightarrow \text{Bool}$ . However, to keep conservativity, they also reject  $\forall a. a \rightarrow a \sim \text{Int} \rightarrow \text{Int}$ , which is perfectly sensible in their setting of explicit polymorphism. However, with implicit polymorphism, we would expect  $\forall a. a \rightarrow a$  to be related with  $\text{Int} \rightarrow \text{Int}$ , since  $a$  can be instantiated to  $\text{Int}$ .

Nonetheless, when it comes to interactions between dynamically typed and polymorphically typed terms, both relations allow  $\forall a. a \rightarrow \text{Int}$  to be related with  $\star \rightarrow \text{Int}$ , for example, which in our view is a kind of (implicit) polymorphic subtyping combined with type consistency, and that should be derivable by the more primitive notions in the type system (instead of inventing new relations). One of our design principles is that subtyping and consistency are *orthogonal* and can be naturally superimposed, echoing the opinion of Siek and Taha [2007].

## 4.2 Towards Consistent Subtyping

With the definitions of consistency and subtyping, the question now is how to compose the two relations so that two types can be compared in a way that takes both relations into account.

<sup>6</sup>This is a simplified version. These two rules are presented in Section 3.1 in their paper as one of the key ideas of the design of type consistency, which are later amended with *labels*.

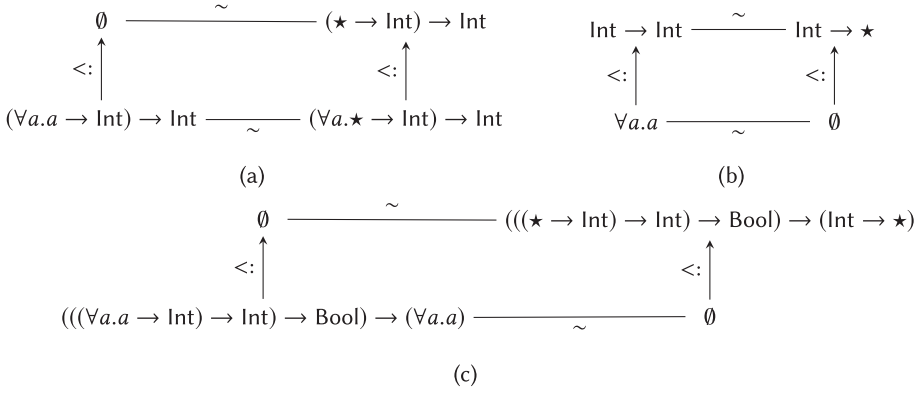


Fig. 5. Examples that break the original definition of consistent subtyping.

Unfortunately, the strawman version of consistent subtyping (Definition 2.2) does not work well with our definitions of consistency and subtyping for polymorphic types. Consider two types:  $(\forall a. a \rightarrow \text{Int}) \rightarrow \text{Int}$ , and  $(\star \rightarrow \text{Int}) \rightarrow \text{Int}$ . The first type can only reach the second type in one way (first by applying consistency, then subtyping), but not the other way, as shown in Figure 5(a). We use  $\emptyset$  to mean that we cannot find such a type. Similarly, there are situations where the first type can only reach the second type by the other way (first applying subtyping, and then consistency), as shown in Figure 5(b).

What is worse, if those two examples are composed in a way that those types all appear covariantly, then the resulting types cannot reach each other in either way. For example, Figure 5(c) shows two such types by putting a `Bool` type in the middle, and neither definition of consistent subtyping works.

*Observations on Consistent Subtyping Based on Information Propagation.* To develop a correct definition of consistent subtyping for polymorphic types, we need to understand how consistent subtyping works. We first review two important properties of subtyping: (1) subtyping induces the subsumption rule: If  $A <: B$ , then an expression of type  $A$  can be used where  $B$  is expected; (2) subtyping is transitive: If  $A <: B$ , and  $B <: C$ , then  $A <: C$ . Though consistent subtyping takes the unknown type into consideration, the subsumption rule should also apply: If  $A \lesssim B$ , then an expression of type  $A$  can also be used where  $B$  is expected, given that there might be some information lost by consistency. A crucial difference from subtyping is that consistent subtyping is *not* transitive, because information can only be lost once (otherwise, any two types are a consistent subtype of each other). Now consider a situation where we have both  $A <: B$ , and  $B \lesssim C$ , this means that  $A$  can be used where  $B$  is expected, and  $B$  can be used where  $C$  is expected, with possibly some loss of information. In other words, we should expect that  $A$  can be used where  $C$  is expected, since there is at most one-time loss of information.

**OBSERVATION 1.** *If  $A <: B$ , and  $B \lesssim C$ , then  $A \lesssim C$ .*

This is reflected in Figure 6(a). A symmetrical observation is given in Figure 6(b):

**OBSERVATION 2.** *If  $C \lesssim B$ , and  $B <: A$ , then  $C \lesssim A$ .*

From the above observations, we see what the problem is with the original definition. In Figure 6(a), if  $B$  can reach  $C$  by  $T_1$ , then by subtyping transitivity,  $A$  can reach  $C$  by  $T_1$ . However, if  $B$  can only reach  $C$  by  $T_2$ , then  $A$  cannot reach  $C$  through the original definition. A similar problem is shown in Figure 6(b).

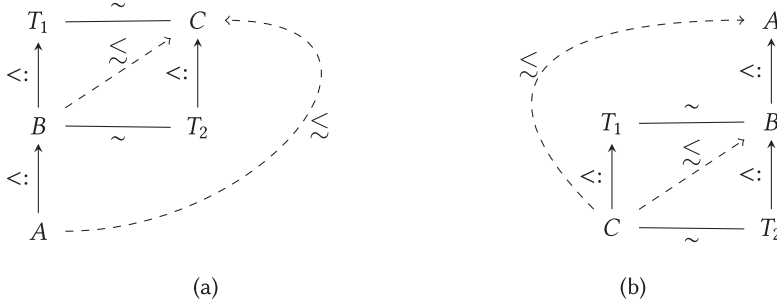


Fig. 6. Observations of consistent subtyping.

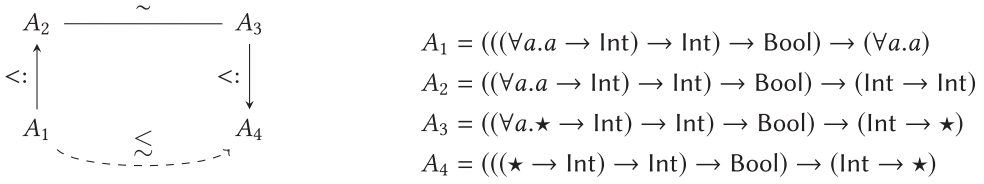


Fig. 7. Example that is fixed by the new definition of consistent subtyping.

It turns out that these two problems can be fixed using the same strategy: instead of taking one-step subtyping and one-step consistency, our definition of consistent subtyping allows types to take *one-step subtyping*, *one-step consistency*, and *one more step subtyping*. Specifically,  $A <: B \sim T_2 <: C$  (in Figure 6(a)) and  $C <: T_1 \sim B <: A$  (in Figure 6(b)) have the same relation chain: subtyping, consistency, and subtyping.

*Definition of Consistent subtyping.* From the above discussion, we are ready to modify Definition 2.2, and adapt it to our notation<sup>7</sup>:

**Definition 4.1 (Consistent Subtyping).**  $\Psi \vdash A \lesssim B$  if and only if  $\Psi \vdash A <: A'$ ,  $A' \sim B'$  and  $\Psi \vdash B' <: B$  for some  $A'$  and  $B'$ .

With Definition 4.1, Figure 7 illustrates the correct relation chain for the broken example shown in Figure 5(c).

At first sight, Definition 4.1 seems worse than the original: We need to guess *two* types! It turns out that Definition 4.1 is a generalization of Definition 2.2, and they are equivalent in the system of Siek and Taha [2007]. However, more generally, Definition 4.1 is compatible with polymorphic types.

**PROPOSITION 4.2 (GENERALIZATION OF DECLARATIVE CONSISTENT SUBTYPING).**

- *Definition 4.1 subsumes Definition 2.2.*  
In Definition 4.1, by choosing  $D = B$ , we have  $A <: C$  and  $C \sim B$ ; by choosing  $C = A$ , we have  $A \sim D$ , and  $D <: B$ .
- *Definition 2.2 is equivalent to Definition 4.1 in the system of Siek and Taha.*  
If  $A <: C$ ,  $C \sim D$ , and  $D <: B$ , then by Definition 2.2,  $A \sim C'$ ,  $C' <: D$  for some  $C'$ . By subtyping transitivity,  $C' <: B$ . So  $A \lesssim B$  by  $A \sim C'$  and  $C' <: B$ .

<sup>7</sup>For readers who are familiar with category theory, this defines consistent subtyping as the least subtyping bimodule extending consistency.

### 4.3 Abstracting Gradual Typing

Garcia et al. [2016] presented a new foundation for gradual typing that they call the *Abstracting Gradual Typing* (AGT) approach. In the AGT approach, gradual types are interpreted as sets of static types, where static types refer to types containing no unknown types. In this interpretation, predicates and functions on static types can then be lifted to apply to gradual types. Central to their approach is the so-called *concretization* function. For simple types, a concretization  $\gamma$  from gradual types to a set of static types is defined as follows:

*Definition 4.3 (Concretization).*

$$\gamma(\text{Int}) = \{\text{Int}\}, \quad \gamma(A \rightarrow B) = \{A' \rightarrow B' \mid A' \in \gamma(A), B' \in \gamma(B)\}, \quad \gamma(\star) = \{\text{All static types}\}.$$

Based on the concretization function, subtyping between static types can be lifted to gradual types, resulting in the consistent subtyping relation:

*Definition 4.4 (Consistent Subtyping in AGT).*  $A \lesssim B$  if and only if  $A_1 <: B_1$  for some static types  $A_1$  and  $B_1$  such that  $A_1 \in \gamma(A)$  and  $B_1 \in \gamma(B)$ .

Later, they proved that this definition of consistent subtyping coincides with that of Definition 2.2. By Proposition 4.2, we can directly conclude that our definition coincides with AGT:

**COROLLARY 4.5 (EQUIVALENCE TO AGT ON SIMPLE TYPES).**  $A \lesssim B$  if and only if  $A \lesssim B$ .

However, AGT does not show how to deal with polymorphism (e.g., the interpretation of type variables) yet. Still, as noted by Garcia et al. [2016], it is a promising line of future work for AGT, and the question remains whether our definition would coincide with it.

Another note related to AGT is that the definition is later adopted by Castagna and Lanvin [2017] in a gradual type system with union and intersection types, where the static types  $A_1, B_1$  in Definition 4.4 can be algorithmically computed by also accounting for top and bottom types.

### 4.4 Directed Consistency

*Directed consistency* [Jafery and Dunfield 2017] is defined in terms of precision and subtyping:

$$\frac{A' \sqsubseteq A \quad A <: B \quad B' \sqsubseteq B}{A' \lesssim B'}$$

The judgment  $A \sqsubseteq B$  is read “ $A$  is less precise than  $B$ ”.<sup>8</sup> In their setting, precision is first defined for type constructors and then lifted to gradual types, and subtyping is defined for gradual types. If we interpret this definition from the AGT point of view, then finding a more precise static type has the same effect as concretization. Namely,  $A' \sqsubseteq A$  implies  $A \in \gamma(A')$  and  $B' \sqsubseteq B$  implies  $B \in \gamma(B')$  if  $A$  and  $B$  are static types. Therefore, we consider this definition as AGT-style. From this perspective, this definition naturally coincides with Definition 4.4, and by Corollary 4.5, it coincides with Definition 4.1.

The value of their definition is that consistent subtyping is derived compositionally from *gradual subtyping* and *precision*. Arguably, gradual types play a role in both definitions, which is different from Definition 4.1 where subtyping is neutral to unknown types. Still, the definition is interesting as it takes precision into consideration, rather than consistency. Then a question arises as to *how are consistency and precision related*.

*Consistency and Precision.* Precision is a partial order (anti-symmetric and transitive), while consistency is symmetric but not transitive. Recall that consistency is in fact an equivalence relation

<sup>8</sup>Jafery and Dunfield actually read  $A \sqsubseteq B$  as “ $A$  is more precise than  $B$ .” We, however, use the “less precise” notation (which is also adopted by Cimini and Siek [2016]) throughout the article. The full rules can be found in Figure 10.



lifted from static types to gradual types [Garcia et al. 2016], which embodies the key role of gradual types in typing. Therefore, defining consistency independently is straightforward, and it is theoretically viable to validate the definition of consistency directly. However, precision is usually connected with the gradual criteria [Siek et al. 2015], and finding a correct partial order that adheres to the criteria is not always an easy task. For example, Igarashi et al. [2017] argued that term precision for gradual System F is actually nontrivial, leaving the gradual guarantee of the semantics as a conjecture. Thus precision can be difficult to extend to more sophisticated type systems, e.g., dependent types.

Nonetheless, in our system, precision and consistency can be related by the following lemma<sup>9</sup>:

**LEMMA 1 (CONSISTENCY AND PRECISION).**

- If  $A \sim B$ , then there exists (static)  $C$ , such that  $A \sqsubseteq C$ , and  $B \sqsubseteq C$ .
- If for some (static)  $C$ , we have  $A \sqsubseteq C$ , and  $B \sqsubseteq C$ , then we have  $A \sim B$ .

#### 4.5 Consistent Subtyping Without Existentials

Definition 4.1 serves as a fine specification of how consistent subtyping should behave, in general. But it is inherently non-deterministic because of the two intermediate types  $C$  and  $D$ . As Definition 2.1, we need a combined relation to directly compare two types. A natural attempt is to try to extend the restriction operator for polymorphic types. Unfortunately, as we show below, this does not work. However, it is possible to devise an equivalent inductive definition instead.

*Attempt to Extend the Restriction Operator.* Suppose that we try to extend Definition 2.1 to account for polymorphic types. The original restriction operator is structural, meaning that it works for types of similar structures. But for polymorphic types, two input types could have different structures due to universal quantifiers, e.g.,  $\forall a. a \rightarrow \text{Int}$  and  $(\text{Int} \rightarrow \star) \rightarrow \text{Int}$ . If we try to mask the first type using the second, then it seems hard to maintain the information that  $a$  should be instantiated to a function while ensuring that the return type is masked. There seems to be no satisfactory way to extend the restriction operator to support this kind of non-structural masking.

*Interpretation of the Restriction Operator and Consistent Subtyping.* If the restriction operator cannot be extended naturally, then it is useful to take a step back and revisit what the restriction operator actually does. For consistent subtyping, two input types could have unknown types in different positions, but we only care about the known parts. What the restriction operator does is (1) erase the type information in one type if the corresponding position in the other type is the unknown type; and (2) compare the resulting types using the normal subtyping relation. The example below shows the masking-off procedure for the types  $\text{Int} \rightarrow \star \rightarrow \text{Bool}$  and  $\text{Int} \rightarrow \text{Int} \rightarrow \star$ . Since the known parts have the relation that  $\text{Int} \rightarrow \star \rightarrow \star <: \text{Int} \rightarrow \star \rightarrow \star$ , we conclude that  $\text{Int} \rightarrow \star \rightarrow \text{Bool} \lesssim \text{Int} \rightarrow \text{Int} \rightarrow \star$ .

$$\begin{array}{lcl} \text{Int} \rightarrow \boxed{\star} & \rightarrow & \boxed{\text{Bool}} \\ \text{Int} \rightarrow \boxed{\text{Int}} & \rightarrow & \boxed{\star} \end{array} \quad \left. \begin{array}{l} | \text{Int} \rightarrow \text{Int} \rightarrow \star = \text{Int} \rightarrow \star \rightarrow \star \\ | \text{Int} \rightarrow \star \rightarrow \text{Bool} = \text{Int} \rightarrow \star \rightarrow \star \end{array} \right\} <:$$

Here differences of the types in boxes are erased because of the restriction operator. Now, if we compare the types in boxes directly instead of through the lens of the restriction operator, then we can observe that the *consistent subtyping relation always holds between the unknown type and an arbitrary type*. We can interpret this observation directly from Definition 4.1: the unknown type is neutral to subtyping ( $\star <: \star$ ), the unknown type is consistent with any type ( $\star \sim A$ ), and

<sup>9</sup>Lemmas with  $\mathcal{L}$  are those proved in Coq. The same applies to  $\mathcal{T}$  theorems.

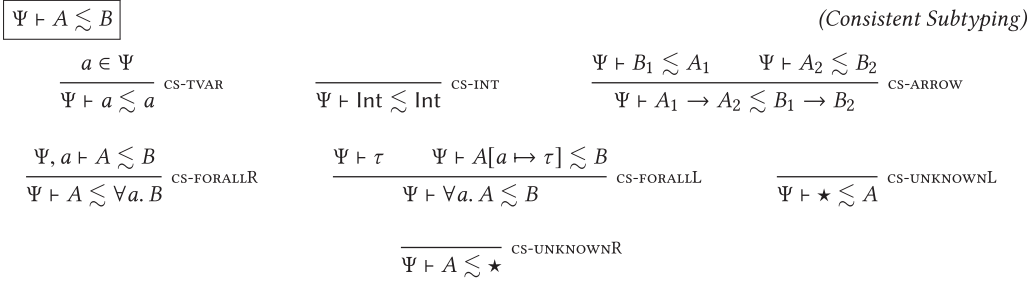


Fig. 8. Consistent Subtyping for implicit polymorphism.

subtyping is reflexive ( $A <: A$ ). Therefore, *the unknown type is a consistent subtype of any type* ( $\star \lesssim A$ ), and *vice versa* ( $A \lesssim \star$ ). Note that this interpretation provides a general recipe for lifting a (static) subtyping relation to a (gradual) consistent subtyping relation, as discussed below.

*Defining Consistent Subtyping Directly.* From the above discussion, we can define the consistent subtyping relation directly, *without* resorting to subtyping or consistency at all. The key idea is that we replace  $<:$  with  $\lesssim$  in Figure 4, get rid of rule **S-UNKNOWN** and add two extra rules concerning  $\star$ , resulting in the rules of consistent subtyping in Figure 8. Of particular interest are the rules **CS-UNKNOWNL** and **CS-UNKNOWNR**, both of which correspond to what we just said: the unknown type is a consistent subtype of any type, and vice versa. From now on, we use the symbol  $\lesssim$  to refer to the consistent subtyping relation in Figure 8. What is more, we can prove that the two definitions are equivalent.

**THEOREM 1.**  $\Psi \vdash A \lesssim B \Leftrightarrow \Psi \vdash A <: A', A' \sim B', \Psi \vdash B' <: B$  for some  $A', B'$ .

## 5 GRADUALLY TYPED IMPLICIT POLYMORPHISM

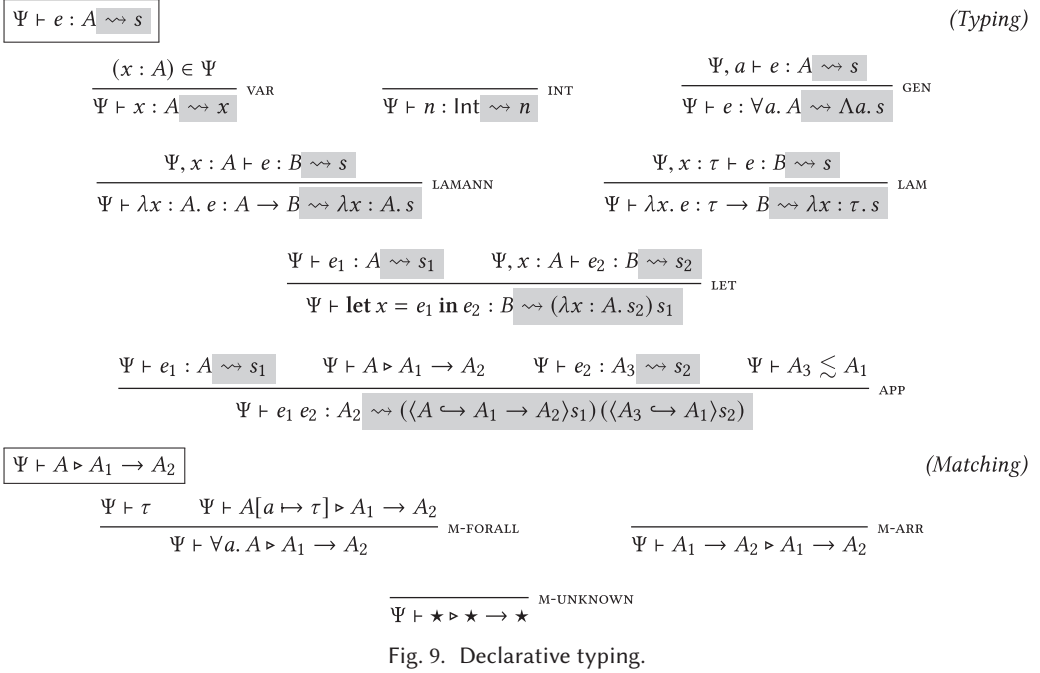
In Section 4, we introduced our consistent subtyping relation that accommodates polymorphic types. In this section, we continue with the development by giving a declarative type system for predicative implicit polymorphism that employs the consistent subtyping relation. The declarative system itself is already quite interesting as it is equipped with both higher-rank polymorphism and the unknown type. The syntax of expressions in the declarative system is given below:

$$\text{Expressions } e ::= x \mid n \mid \lambda x : A. e \mid \lambda x. e \mid e_1 e_2 \mid \text{let } x = e_1 \text{ in } e_2$$

Meta-variable  $e$  ranges over expressions. Expressions include variables  $x$ , integers  $n$ , annotated lambda abstractions  $\lambda x : A. e$ , un-annotated lambda abstractions  $\lambda x. e$ , applications  $e_1 e_2$ , and let expressions  $\text{let } x = e_1 \text{ in } e_2$ .

### 5.1 Typing in Detail

Figure 9 gives the typing rules for our declarative system (the reader is advised to ignore the gray-shaded parts for now). Rule **VAR** extracts the type of the variable from the typing context. Rule **INT** always infers integer types. Rule **LAMANN** puts  $x$  with type annotation  $A$  into the context and continues type checking the body  $e$ . Rule **LAM** assigns a monotype  $\tau$  to  $x$ , and continues type checking the body  $e$ . Gradual types and polymorphic types are introduced via explicit annotations. Rule **GEN** puts a fresh type variable  $a$  into the type context and generalizes the typing result  $A$  to  $\forall a. A$ . Rule **LET** infers the type  $A$  of  $e_1$ , then puts  $x : A$  in the context to infer the type of  $e_2$ . Rule **APP** first infers the type of  $e_1$ , then the matching judgment  $\Psi \vdash A \triangleright A_1 \rightarrow A_2$  extracts the domain



type  $A_1$  and the codomain type  $A_2$  from type  $A$ . The type  $A_3$  of the argument  $e_2$  is then compared with  $A_1$  using the consistent subtyping judgment.

*Matching.* The matching judgment of Siek et al. [2015] is extended to polymorphic types naturally, resulting in  $\Psi \vdash A \triangleright A_1 \rightarrow A_2$ . In rule **M-FORALL**, a monotype  $\tau$  is guessed to instantiate the universal quantifier  $a$ . This rule is inspired by the *application judgment*  $\Phi \vdash A \bullet e \Rightarrow C$  [Dunfield and Krishnaswami 2013], which says that if we apply a term of type  $A$  to an argument  $e$ , then we get a term of type  $C$ . If  $A$  is a polymorphic type, then the judgment works by guessing instantiations until it reaches an arrow type. Matching further simplifies the application judgment, since it is independent of typing. Rules **M-ARR** and **M-UNKNOWN** are the same as Siek et al. [2015]. Rule **M-ARR** returns the domain type  $A_1$  and range type  $A_2$  as expected. If the input is  $\star$ , then rule **M-UNKNOWN** returns  $\star$  as both the type for the domain and the range.

Note that matching saves us from having a subsumption rule (rule **U-SUB** in Figure 3). The subsumption rule is incompatible with consistent subtyping, since the latter is not transitive. A discussion of a subsumption rule based on normal subtyping can be found in Section 8.2.

## 5.2 Type-directed Translation

We give the dynamic semantics of our language by translating it to  $\lambda B$  [Ahmed et al. 2011]. Below, we show a subset of the terms in  $\lambda B$  that are used in the translation:

$$\overline{\lambda B \text{ Terms } \quad s ::= x \mid n \mid \lambda x : A. s \mid \Lambda a. s \mid s_1 s_2 \mid \langle A \hookrightarrow B \rangle s}$$

A cast  $\langle A \hookrightarrow B \rangle s$  converts the value of term  $s$  from type  $A$  to type  $B$ . A cast from  $A$  to  $B$  is permitted only if the types are *compatible*, written  $A < B$ , as briefly mentioned in Section 4.1. The syntax of types in  $\lambda B$  is the same as ours.

The translation is given in the gray-shaded parts in Figure 9. The only interesting case here is to insert explicit casts in the application rule. Note that there is no need to translate matching

or consistent subtyping. Instead, we insert the source and target types of a cast directly in the translated expressions, thanks to the following two lemmas:

**LEMMA 2** ( $\triangleright$  TO  $<$ ). *If  $\Psi \vdash A \triangleright A_1 \rightarrow A_2$ , then  $A < A_1 \rightarrow A_2$ .*

**LEMMA 3** ( $\lesssim$  TO  $<$ ). *If  $\Psi \vdash A \lesssim B$ , then  $A < B$ .*

To show the correctness of the translation, we prove that our translation always produces well-typed expressions in  $\lambda B$ . By Lemmas 2 and 3, we have the following theorem:

**THEOREM 2 (TYPE SAFETY)**. *If  $\Psi \vdash e : A \rightsquigarrow s$ , then  $\Psi \vdash^B s : A$ .*

*Parametricity.* An important semantic property of polymorphic types is *relational parametricity* [Reynolds 1983]. The parametricity property says that all instances of a polymorphic function should behave *uniformly*. A classic example is a function with the type  $\forall a. a \rightarrow a$ . The parametricity property guarantees that a value of this type must be either the identity function (i.e.,  $\lambda x. x$ ) or the undefined function (one which never returns a value). However, with the addition of the unknown type  $\star$ , careful measures are to be taken to ensure parametricity. Our translation target  $\lambda B$  is taken from Ahmed et al. [2011], where relational parametricity is enforced by dynamic sealing [Matthews and Ahmed 2008; Neis et al. 2009], but there is no rigorous proof. Later, Ahmed et al. [2017] imposed a syntactic restriction on terms of  $\lambda B$ , where all type abstractions must have *values* as their body. With this invariant, they proved that the restricted  $\lambda B$  satisfies relational parametricity. It remains to see if our translation process can be adjusted to target restricted  $\lambda B$ . One possibility is to impose similar restriction to the rule **GEN**:

$$\frac{\Psi, a \vdash e : A \rightsquigarrow v}{\Psi \vdash e : \forall a. A \rightsquigarrow \Lambda a. v} \text{GEN2},$$

where we only generate type abstractions if the inner body is a value. However, the type system with this rule is a weaker calculus, which is not a conservative extension of the Odersky-Läufer type system.

*Ambiguity from Casts.* The translation does not always produce a unique target expression. This is because when guessing some monotype  $\tau$  in rules **M-FORALL** and **CS-FORALL**, we could have many choices, which inevitably leads to different types. This is usually not a problem for (non-gradual) System F-like systems [Dunfield and Krishnaswami 2013; Peyton Jones et al. 2007], because they adopt a type-erasure semantics [Pierce 2002]. However, in our case, the choice of monotypes may affect the runtime behaviour of translated programs, since they could appear inside the explicit casts. For instance, the following example shows two possible translations for the same source expression  $(\lambda x : \star. f x) : \star \rightarrow \text{Int}$ , where the type of  $f$  is instantiated to  $\text{Int} \rightarrow \text{Int}$  and  $\text{Bool} \rightarrow \text{Int}$ , respectively:

$$\begin{aligned} f : \forall a. a \rightarrow \text{Int} \vdash (\lambda x : \star. f x) : \star \rightarrow \text{Int} \\ \rightsquigarrow (\lambda x : \star. (\langle \forall a. a \rightarrow \text{Int} \hookrightarrow \text{Int} \rightarrow \text{Int} \rangle f) (\langle \star \hookrightarrow \text{Int} \rangle x)), \\ f : \forall a. a \rightarrow \text{Int} \vdash (\lambda x : \star. f x) : \star \rightarrow \text{Int} \\ \rightsquigarrow (\lambda x : \star. (\langle \forall a. a \rightarrow \text{Int} \hookrightarrow \text{Bool} \rightarrow \text{Int} \rangle f) (\langle \star \hookrightarrow \text{Bool} \rangle x)). \end{aligned}$$

If we apply  $\lambda x : \star. f x$  to 3, which is fine, since the function can take any input, then the first translation runs smoothly in  $\lambda B$ , while the second one will raise a cast error ( $\text{Int}$  cannot be cast to  $\text{Bool}$ ). Similarly, if we apply it to  $\text{true}$ , then the second succeeds while the first fails. The culprit lies in the highlighted parts where the instantiation of  $a$  appears in the explicit cast. More generally, any choice introduces an explicit cast to that type in the translation, which causes a runtime cast

error if the function is applied to a value whose type does not match the guessed type. Note that this does not compromise the type safety of the translated expressions, since cast errors are part of the type safety guarantees.

The semantic discrepancy is due to the guessing nature of the *declarative* system. As far as the static semantics is concerned, both  $\text{Int} \rightarrow \text{Int}$  and  $\text{Bool} \rightarrow \text{Int}$  are equally acceptable. But this is not the case at runtime. The astute reader may have found that the *only* appropriate choice is to instantiate the type of  $f$  to  $\star \rightarrow \text{Int}$  in the matching judgment. However, as specified by rule **M-FORALL** in Figure 9, we can only instantiate type variables to monotypes, but  $\star$  is *not* a monotype! We will get back to this issue in Section 9.

*Coherence.* The ambiguity of translation seems to imply that the declarative system is *incoherent*. A semantics is coherent if distinct typing derivations of the same typing judgment possess the same meaning [Reynolds 1991]. We argue that the declarative system is *coherent up to cast errors* in the sense that a well-typed program produces a unique value, or results in a cast error. In the above example, suppose  $f$  is defined as  $(\lambda x. 1)$ , then whatever the translation might be, applying  $(\lambda x : \star. fx)$  to 3 either results in a cast error, or produces 1, nothing else.

We defined contextual equivalence [Morris Jr 1969] to formally characterize that two open expressions have the same behavior. The definition of contextual equivalence requires a notion of well-typed expression contexts  $C$ , written  $C : (\Psi \vdash^B A) \rightsquigarrow (\Psi' \vdash^B A')$ . The definitions of contexts and context typing are standard and thus omitted. As is common, we first define contextual approximation. In our setting, we need to relax the notion of contextual approximation of  $\lambda B$  [Ahmed et al. 2017] to also take into consideration of cast errors. We write  $\Psi \vdash s_1 \leq_{ctx} s_2 : A$  to say that  $s_2$  mimics the behaviour of  $s_1$  at type  $A$  in the sense that whenever a program containing  $s_1$  reduces to an integer, replacing it with  $s_2$  either reduces to the same integer, or emits a cast error. We restrict the program results to integers to eliminate the role of types in values. If it is not an integer, then it is always possible to embed it into another context that reduces to an integer. Then, we write  $\Psi \vdash s_1 \simeq_{ctx} s_2 : A$  to say  $s_1$  and  $s_2$  are contextually equivalent, that is, they approximate each other.

*Definition 5.1 (Contextual Approximation and Equivalence up to Cast Errors).*

$$\begin{aligned} \Psi \vdash s_1 \leq_{ctx} s_2 : A &\triangleq \Psi \vdash^B s_1 : A \wedge \Psi \vdash^B s_2 : A \wedge \\ &\text{for all } C : (\Psi \vdash^B A) \rightsquigarrow (\bullet \vdash^B \text{Int}) \implies \\ &C\{s_1\} \Downarrow n \implies (C\{s_2\} \Downarrow n \vee C\{s_2\} \Downarrow \text{blame}), \\ \Psi \vdash s_1 \simeq_{ctx} s_2 : A &\triangleq \Psi \vdash s_1 \leq_{ctx} s_2 : A \wedge \Psi \vdash s_2 \leq_{ctx} s_1 : A. \end{aligned}$$

Before presenting the formal definition of coherence, first we observe that after erasing types and casts, all translations of the same expression are exactly the same. This is easy to see by examining each elaboration rule. We use  $[s]$  to denote an expression in  $\lambda B$  after erasure.

**LEMMA 5.2.** *If  $\Psi \vdash e : A \rightsquigarrow s_1$ , and  $\Psi \vdash e : A \rightsquigarrow s_2$ , then  $[s_1] \equiv_\alpha [s_2]$ .*

Second, at runtime, the only role of types and casts is to emit cast errors caused by type mismatch. Therefore, By Lemma 5.2 coherence follows as a corollary:

**LEMMA 5.3 (COHERENCE UP TO CAST ERRORS).** *For any expression  $e$  such that  $\Psi \vdash e : A \rightsquigarrow s_1$  and  $\Psi \vdash e : A \rightsquigarrow s_2$ , we have  $\Psi \vdash s_1 \simeq_{ctx} s_2 : A$ .*

### 5.3 Correctness Criteria

Siek et al. [2015] present a set of properties, the *refined criteria*, that a well-designed gradual typing calculus must have. Among all the criteria, those related to the static aspects of gradual typing are well summarized by Cimini and Siek [2016]. Here, we review those criteria and adapt them to our notation. We have proved in Coq that our type system satisfies all these criteria.

$$\begin{array}{c}
\boxed{A \sqsubseteq B} \quad \text{Type precision} \\
\\
\frac{}{\star \sqsubseteq A} \text{L-UNKNOWN} \quad \frac{}{\text{Int} \sqsubseteq \text{Int}} \text{L-NAT} \quad \frac{A_1 \sqsubseteq B_1 \quad A_2 \sqsubseteq B_2}{A_1 \rightarrow A_2 \sqsubseteq B_1 \rightarrow B_2} \text{L-ARROW} \quad \frac{}{a \sqsubseteq a} \text{L-TVAR} \\
\\
\frac{A \sqsubseteq B}{\forall a. A \sqsubseteq \forall a. B} \text{L-FORALL} \\
\\
\boxed{e_1 \sqsubseteq e_2} \quad \text{Term precision} \\
\\
\frac{}{e \sqsubseteq e} \text{L-REFL} \quad \frac{A_1 \sqsubseteq A_2 \quad e_1 \sqsubseteq e_2}{\lambda x : A_1. e_1 \sqsubseteq \lambda x : A_2. e_2} \text{L-LAMANN} \quad \frac{e_1 \sqsubseteq e_3 \quad e_2 \sqsubseteq e_4}{e_1 e_2 \sqsubseteq e_3 e_4} \text{L-APP} \\
\\
\boxed{\Psi_1 \mid \Psi_2 \vdash e_1 \sqsubseteq^B e_2} \quad \text{Term less precision in } \lambda B \\
\\
\frac{}{x \sqsubseteq^B x} \text{L-VAR} \quad \frac{}{n \sqsubseteq^B n} \text{L-NAT} \quad \frac{A_1 \sqsubseteq A_2 \quad e_1 \sqsubseteq^B e_2}{\lambda x : A_1. e_1 \sqsubseteq^B \lambda x : A_2. e_2} \text{L-LAMANN} \quad \frac{e_1 \sqsubseteq^B e_2}{\Lambda a. e_1 \sqsubseteq^B \Lambda a. e_2} \text{L-LAMANN} \\
\\
\frac{e_1 \sqsubseteq^B e_3 \quad e_2 \sqsubseteq^B e_4}{e_1 e_2 \sqsubseteq^B e_3 e_4} \text{L-APP} \quad \frac{A_1 \sqsubseteq B_1 \quad A_2 \sqsubseteq B_2 \quad e_1 \sqsubseteq^B e_2}{\langle A_1 \hookrightarrow A_2 \rangle e_1 \sqsubseteq^B \langle B_1 \hookrightarrow B_2 \rangle e_2} \text{L-CAST}
\end{array}$$

Fig. 10. Less precision.

**LEMMA 4 (CORRECTNESS CRITERIA).**

- **Conservative extension:** for all static  $\Psi, e$ , and  $A$ ,  
 –if  $\Psi \vdash^{OL} e : A$ , then there exists  $B$ , such that  $\Psi \vdash e : B$ , and  $\Psi \vdash B <: A$ ,  
 –if  $\Psi \vdash e : A$ , then  $\Psi \vdash^{OL} e : A$ .
- **Monotonicity w.r.t. precision:** for all  $\Psi, e, e', A$ , if  $\Psi \vdash e : A$ , and  $e' \sqsubseteq e$ , then  $\Psi \vdash e' : B$ , and  $B \sqsubseteq A$  for some  $B$ .
- **Type Preservation of cast insertion:** for all  $\Psi, e, A$ , if  $\Psi \vdash e : A$ , then  $\Psi \vdash e : A \rightsquigarrow s$ , and  $\Psi \vdash^B s : A$  for some  $s$ .
- **Monotonicity of cast insertion:** for all  $\Psi, e_1, e_2, s_1, s_2, A$ , if  $\Psi \vdash e_1 : A \rightsquigarrow s_1$ , and  $\Psi \vdash e_2 : A \rightsquigarrow s_2$ , and  $e_1 \sqsubseteq e_2$ , then  $\Psi \mid \Psi \vdash s_1 \sqsubseteq^B s_2$ .

The first criterion states that the gradual type system should be a conservative extension of the original system. In other words, a *static* program is typeable in the Odersky-Läufer type system if and only if it is typeable in the gradual type system. A static program is one that does not contain any type  $\star$ .<sup>10</sup> However, since our gradual type system does not have the subsumption rule, it produces more general types.

The second criterion states that if a typeable expression loses some type information, it remains typeable. This criterion depends on the definition of the precision relation, written  $A \sqsubseteq B$ , which is given in Figure 10. The relation intuitively captures a notion of types containing more or less unknown types ( $\star$ ). The precision relation over types lifts to programs, i.e.,  $e_1 \sqsubseteq e_2$  means that  $e_1$  and  $e_2$  are the same program except that  $e_1$  has more unknown types.

<sup>10</sup>Note that the term *static* has appeared several times with different meanings.



Expressions	$e$	$::=$	$x \mid n \mid \lambda x : A. e \mid \lambda x. e \mid e_1 e_2 \mid e : A \mid \text{let } x = e_1 \text{ in } e_2$
Types	$A, B$	$::=$	$\text{Int} \mid a \mid \widehat{a} \mid A \rightarrow B \mid \forall a. A \mid \star$
Monotypes	$\tau, \sigma$	$::=$	$\text{Int} \mid a \mid \widehat{a} \mid \tau \rightarrow \sigma$
Algorithmic Contexts	$\Gamma, \Delta, \Theta$	$::=$	$\bullet \mid \Gamma, x : A \mid \Gamma, a \mid \Gamma, \widehat{a} \mid \Gamma, \widehat{a} = \tau \mid \Gamma, \blacktriangleright \widehat{a}$
Complete Contexts	$\Omega$	$::=$	$\bullet \mid \Omega, x : A \mid \Omega, a \mid \Omega, \widehat{a} = \tau \mid \Omega, \blacktriangleright \widehat{a}$

Fig. 11. Syntax of the algorithmic system.

The first two criteria are fundamental to gradual typing. They explain, for example, why these two programs  $(\lambda x : \text{Int}. x + 1)$  and  $(\lambda x : \star. x + 1)$  are typeable, as the former is typeable in the Odersky-Läufer type system and the latter is a less-precise version of it.

The last two criteria relate the compilation to the cast calculus. The third criterion is essentially the same as  $\mathcal{T}$  theorem 2, given that a target expression should always exist, which can be easily seen from Figure 9. The last criterion ensures that the translation must be monotonic over the precision relation  $\sqsubseteq$ . Ahmed et al. [2011] does not include a formal definition of precision, but an *approximation* definition and a *simulation relation*. Here, we adapt the simulation relation as the precision, and a subset of it that is used in our system is given at the bottom of Figure 10.

*The Dynamic Gradual Guarantee.* Besides the static criteria, there is also a criterion concerning the dynamic semantics, known as *the dynamic gradual guarantee* [Siek et al. 2015].

**Definition 5.4 (Dynamic Gradual Guarantee).** Suppose  $e' \sqsubseteq e$ , and  $\bullet \vdash e : A \rightsquigarrow s$  and  $\bullet \vdash e' : A' \rightsquigarrow s'$ ,

- if  $s \Downarrow v$ , then  $s' \Downarrow v'$  and  $v' \sqsubseteq v$ . If  $s \Uparrow$ , then  $s' \Uparrow$ .
- if  $s' \Downarrow v'$ , then  $s \Downarrow v$  where  $v' \sqsubseteq v$ , or  $s \Downarrow \text{blame}$ . If  $s' \Uparrow$ , then  $s \Uparrow$  or  $s \Downarrow \text{blame}$ .

The first part of the dynamic gradual guarantee says that if a gradually typed program evaluates to a value, then making type annotations less precise always produces a program that evaluates to an less precise value. Unfortunately, coherence up to cast errors in the declarative system breaks the dynamic gradual guarantee. For instance:

$$(\lambda f : \forall a. a \rightarrow \text{Int}. \lambda x : \text{Int}. f x) (\lambda x. 1) \ 3, \quad (\lambda f : \forall a. a \rightarrow \text{Int}. \lambda x : \star. f x) (\lambda x. 1) \ 3.$$

The left one evaluates to 1, whereas its less precise version (right) will give a cast error if  $a$  is instantiated to  $\text{Bool}$ , for example. In Section 9, we will present an extension of the declarative system that will alleviate the issue.

## 6 ALGORITHMIC TYPE SYSTEM

In this section, we give a bidirectional account of the algorithmic type system that implements the declarative specification. The algorithm is largely inspired by the algorithmic bidirectional system of Dunfield and Krishnaswami [2013] (henceforth, DK system). However, our algorithmic system differs from theirs in three aspects: (1) the addition of the unknown type  $\star$ ; (2) the use of the matching judgment; and (3) the approach of *gradual inference only producing static types* [Garcia and Cimini 2015]. We then prove that our algorithm is both sound and complete with respect to the declarative type system. Full proofs can be found in the Appendix. We also provide an implementation, which can be found in the supplementary materials.<sup>11</sup>

*Algorithmic Contexts.* Figure 11 shows the syntax of the algorithmic system. A noticeable difference are the algorithmic contexts  $\Gamma$ , which are represented as an *ordered* list containing

<sup>11</sup>Note that the proofs in the Appendix and the implementation are for the extended system in Section 9, which subsumes the algorithmic system presented in this section.

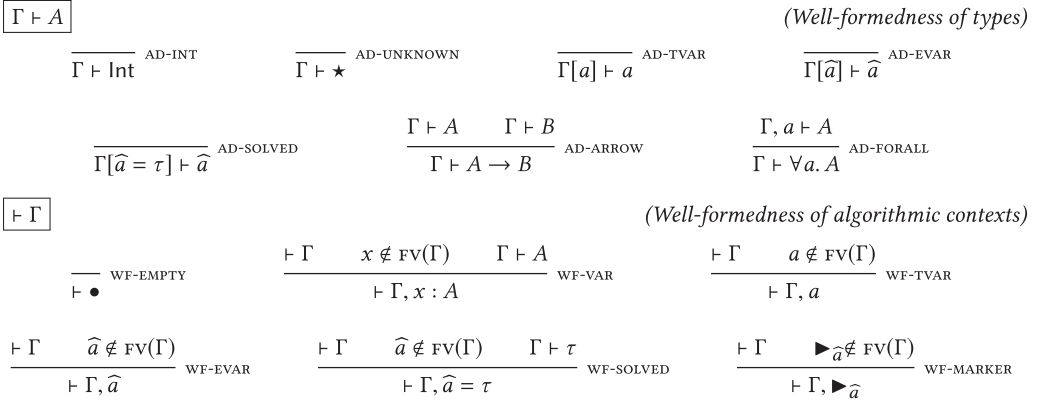


Fig. 12. Well-formedness of types and contexts in the algorithmic system.

declarations of type variables  $a$  and term variables  $x : A$ . Unlike declarative contexts, algorithmic contexts also contain declarations of existential type variables  $\widehat{a}$ , which can be either unsolved (written  $\widehat{a}$ ) or solved to some monotype (written  $\widehat{a} = \tau$ ). Finally, algorithmic contexts include a *marker*  $\blacktriangleright \widehat{a}$  (read “marker  $\widehat{a}$ ”), which is used to delineate existential variables created by the algorithm. We will have more to say about markers when we examine the rules. Complete contexts  $\Omega$  are the same as contexts, except that they contain no unsolved variables.

Apart from expressions in the declarative system, we add annotated expressions  $e : A$ . The well-formedness judgments for types and contexts are shown in Figure 12.

*Notational convenience.* Following DK system, we use contexts as substitutions on types. We write  $[\Gamma]A$  to mean  $\Gamma$  applied as a substitution to type  $A$ . We also use a hole notation, which is useful when manipulating contexts by inserting and replacing declarations in the middle. The hole notation is used extensively in proving soundness and completeness. For example,  $\Gamma[\Theta]$  means  $\Gamma$  has the form  $\Gamma_L, \Theta, \Gamma_R$ ; if we have  $\Gamma[\widehat{a}] = (\Gamma_L, \widehat{a}, \Gamma_R)$ , then  $\Gamma[\widehat{a} = \tau] = (\Gamma_L, \widehat{a} = \tau, \Gamma_R)$ . Occasionally, we will see a context with two *ordered* holes, e.g.,  $\Gamma = \Gamma_0[\Theta_1][\Theta_2]$  means  $\Gamma$  has the form  $\Gamma_L, \Theta_1, \Gamma_M, \Theta_2, \Gamma_R$ .

*Input and output contexts.* The algorithmic system, compared with the declarative system, includes similar judgment forms, except that we replace the declarative context  $\Psi$  with an algorithmic context  $\Gamma$  (the *input context*), and add an *output context*  $\Delta$  after a backward turnstile, e.g.,  $\Gamma \vdash A \lesssim B \vdash \Delta$  is the judgment form for the algorithmic consistent subtyping. All algorithmic rules manipulate input and output contexts in a way that is consistent with the notion of *context extension*, which will be described in Section 7.1.

We start with the explanation of the algorithmic consistent subtyping as it involves manipulating existential type variables explicitly (and solving them if possible).

## 6.1 Algorithmic Consistent Subtyping

Figure 13 presents the rules of algorithmic consistent subtyping  $\Gamma \vdash A \lesssim B \vdash \Delta$ , which says that under input context  $\Gamma$ ,  $A$  is a consistent subtype of  $B$ , with output context  $\Delta$ . The first five rules do not manipulate contexts, but illustrate how contexts are propagated.

Rules **AS-TVAR** and **AS-INT** do not involve existential variables, so the output contexts remain unchanged. Rule **AS-EVAR** says that any unsolved existential variable is a consistent subtype of itself. The output is still the same as the input context as the rule gives no clue as to what is the solution of that existential variable. Rules **AS-UNKNOWNL** and **AS-UNKNOWNR** are the counterparts of rules **CS-UNKNOWNL** and **CS-UNKNOWNR**.

$$\boxed{\Gamma \vdash A \lesssim B \vdash \Delta} \quad (\text{Under input context } \Gamma, A \text{ is a consistent subtype of } B, \text{ with output context } \Delta)$$

$$\begin{array}{c}
\frac{}{\Gamma[a] \vdash a \lesssim a \vdash \Gamma[a]} \text{AS-TVAR} \quad \frac{}{\Gamma \vdash \text{Int} \lesssim \text{Int} \vdash \Gamma} \text{AS-INT} \quad \frac{}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim \widehat{a} \vdash \Gamma[\widehat{a}]} \text{AS-EVAR} \\
\\
\frac{}{\Gamma \vdash \star \lesssim A \vdash \Gamma} \text{AS-UNKNOWNL} \quad \frac{}{\Gamma \vdash A \lesssim \star \vdash \Gamma} \text{AS-UNKNOWNR} \\
\\
\frac{\Gamma \vdash B_1 \lesssim A_1 \vdash \Theta \quad \Theta \vdash [\Theta]A_2 \lesssim [\Theta]B_2 \vdash \Delta}{\Gamma \vdash A_1 \rightarrow A_2 \lesssim B_1 \rightarrow B_2 \vdash \Delta} \text{AS-ARROW} \quad \frac{\Gamma, a \vdash A \lesssim B \vdash \Delta, a, \Theta}{\Gamma \vdash A \lesssim \forall a. B \vdash \Delta} \text{AS-FORALLR} \\
\\
\frac{\Gamma, \blacktriangleright_{\widehat{a}}, \widehat{a} \vdash A[a \mapsto \widehat{a}] \lesssim B \vdash \Delta, \blacktriangleright_{\widehat{a}}, \Theta}{\Gamma \vdash \forall a. A \lesssim B \vdash \Delta} \text{AS-FORALLL} \quad \frac{\widehat{a} \notin \text{FV}(A) \quad \Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \vdash \Delta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \vdash \Delta} \text{AS-INSTL} \\
\\
\frac{\widehat{a} \notin \text{FV}(A) \quad \Gamma[\widehat{a}] \vdash A \lesssim \widehat{a} \vdash \Delta}{\Gamma[\widehat{a}] \vdash A \lesssim \widehat{a} \vdash \Delta} \text{AS-INSTR}
\end{array}$$

Fig. 13. Algorithmic consistent subtyping.

Rule **AS-ARROW** is a natural extension of its declarative counterpart. The output context of the first premise is used by the second premise, and the output context of the second premise is the output context of the conclusion. Note that we do not simply check  $A_2 \lesssim B_2$ , but apply  $\Theta$  (the input context of the second premise) to both types (e.g.,  $[\Theta]A_2$ ). This is to maintain an important invariant: whenever  $\Gamma \vdash A \lesssim B \vdash \Delta$  holds, the types  $A$  and  $B$  are fully applied under input context  $\Gamma$  (they contain no existential variables already solved in  $\Gamma$ ). The same invariant applies to every algorithmic judgment.

Rule **AS-FORALLR**, similar to the declarative rule **CS-FORALLR**, adds  $a$  to the input context. Note that the output context of the premise allows additional existential variables to appear after the type variable  $a$ , in a trailing context  $\Theta$ . These existential variables could depend on  $a$ ; since  $a$  goes out of scope in the conclusion, we need to drop them from the concluding output, resulting in  $\Delta$ . The next rule is essential to eliminating the guessing work. Instead of guessing a monotype  $\tau$  out of thin air, rule **AS-FORALLL** generates a fresh existential variable  $\widehat{a}$ , and replaces  $a$  with  $\widehat{a}$  in the body  $A$ . The new existential variable  $\widehat{a}$  is then added to the input context, just before the marker  $\blacktriangleright_{\widehat{a}}$ . The output context  $(\Delta, \blacktriangleright_{\widehat{a}}, \Theta)$  allows additional existential variables to appear after  $\blacktriangleright_{\widehat{a}}$  in  $\Theta$ . For the same reasons as in rule **AS-FORALLR**, we drop them from the output context. A central idea behind these two rules is that we defer the decision of picking a monotype for a type variable, and hope that it could be solved later when we have more information at hand. As a side note, when both types are universal quantifiers, then either rule **AS-FORALLR** or **AS-FORALLL** could be tried. In practice, one can apply rule **AS-FORALLR** eagerly as it is invertible.

The last two rules (**as-instL** and **AS-INSTR**) are specific to the algorithm, thus having no counterparts in the declarative version. They both check consistent subtyping with an unsolved existential variable on one side and an arbitrary type on the other side. Apart from checking that the existential variable does not occur in the type  $A$ , both rules do not directly solve the existential variables, but leave the real work to the instantiation judgment.

## 6.2 Instantiation

Two symmetric judgments  $\Gamma \vdash \widehat{a} \lesssim A \vdash \Delta$  and  $\Gamma \vdash A \lesssim \widehat{a} \vdash \Delta$  defined in Figure 14 instantiate unsolved existential variables. They read “under input context  $\Gamma$ , instantiate  $\widehat{a}$  to a consistent subtype (or supertype) of  $A$ , with output context  $\Delta$ .” The judgments are extended naturally from DK system,

$$\boxed{\Gamma \vdash \widehat{a} \lesssim A \div \Delta} \quad (\text{Under input context } \Gamma, \text{ instantiate } \widehat{a} \text{ such that } \widehat{a} \lesssim A, \text{ with output context } \Delta)$$

$$\begin{array}{c}
\frac{\Gamma \vdash \tau}{\Gamma, \widehat{a}, \Gamma' \vdash \widehat{a} \lesssim \tau \div \Gamma, \widehat{a} = \tau, \Gamma'} \text{INSTL-SOLVE} \quad \frac{}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim \star \div \Gamma[\widehat{a}]} \text{INSTL-SOLVEU} \\
\\
\frac{}{\Gamma[\widehat{a}][\widehat{b}] \vdash \widehat{a} \lesssim \widehat{b} \div \Gamma[\widehat{a}][\widehat{b} = \widehat{a}]} \text{INSTL-REACH} \quad \frac{\Gamma[\widehat{a}], b \vdash \widehat{a} \lesssim B \div \Delta, b, \Theta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim \forall b. B \div \Delta} \text{INSTL-FORALLR} \\
\\
\frac{\Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2] \vdash A_1 \lesssim \widehat{a}_1 \div \Theta \quad \Theta \vdash \widehat{a}_2 \lesssim [\Theta]A_2 \div \Delta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A_1 \rightarrow A_2 \div \Delta} \text{INSTL-ARR}
\end{array}$$

$$\boxed{\Gamma \vdash A \lesssim \widehat{a} \div \Delta} \quad (\text{Under input context } \Gamma, \text{ instantiate } \widehat{a} \text{ such that } A \lesssim \widehat{a}, \text{ with output context } \Delta)$$

$$\begin{array}{c}
\frac{\Gamma \vdash \tau}{\Gamma, \widehat{a}, \Gamma' \vdash \tau \lesssim \widehat{a} \div \Gamma, \widehat{a} = \tau, \Gamma'} \text{INSTR-SOLVE} \quad \frac{}{\Gamma[\widehat{a}] \vdash \star \lesssim \widehat{a} \div \Gamma[\widehat{a}]} \text{INSTR-SOLVEU} \\
\\
\frac{}{\Gamma[\widehat{a}][\widehat{b}] \vdash \widehat{b} \lesssim \widehat{a} \div \Gamma[\widehat{a}][\widehat{b} = \widehat{a}]} \text{INSTR-REACH} \quad \frac{\Gamma[\widehat{a}], \triangleright_{\widehat{b}}, \widehat{b} \vdash B[b \mapsto \widehat{b}] \lesssim \widehat{a} \div \Delta, \triangleright_{\widehat{b}}, \Theta}{\Gamma[\widehat{a}] \vdash \forall b. B \lesssim \widehat{a} \div \Delta} \text{INSTR-FORALLL} \\
\\
\frac{\Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2] \vdash \widehat{a}_1 \lesssim A_1 \div \Theta \quad \Theta \vdash [\Theta]A_2 \lesssim \widehat{a}_2 \div \Delta}{\Gamma[\widehat{a}] \vdash A_1 \rightarrow A_2 \lesssim \widehat{a} \div \Delta} \text{INSTR-ARR}
\end{array}$$

Fig. 14. Algorithmic instantiation.

whose original inspiration comes from Cardelli [1993]. Since these two judgments are mutually defined, we discuss them together.

Rule **INSTL-SOLVE** is the simplest one—when an existential variable meets a monotype—where we simply set the solution of  $\widehat{a}$  to the monotype  $\tau$  in the output context. We also need to check that the monotype  $\tau$  is well-formed under the prefix context  $\Gamma$ .

Rule **INSTL-SOLVEU** is similar to rule **AS-UNKNOWNR** in that we put no constraint<sup>12</sup> on  $\widehat{a}$  when it meets the unknown type  $\star$ . This design decision reflects the point that type inference only produces static types [Garcia and Cimini 2015].

Rule **INSTL-REACH** deals with the situation where two existential variables meet. Recall that  $\Gamma[\widehat{a}][\widehat{b}]$  denotes a context where some unsolved existential variable  $\widehat{a}$  is declared before  $\widehat{b}$ . In this situation, the only logical thing we can do is to set the solution of one existential variable to the other one, depending on which one is declared before. For example, in the output context of rule **INSTL-REACH**, we have  $\widehat{b} = \widehat{a}$ , because in the input context,  $\widehat{a}$  is declared before  $\widehat{b}$ .

Rule **INSTL-FORALLR** is the instantiation version of rule **AS-FORALLR**. Since our system is predicative,  $\widehat{a}$  cannot be instantiated to  $\forall b. B$ , but we can decompose  $\forall b. B$  in the same way as in rule **AS-FORALLR**. Rule **INSTR-FORALLL** is the instantiation version of rule **AS-FORALLL**.

Rule **INSTL-ARR** applies when  $\widehat{a}$  meets an arrow type. It follows that the solution must also be an arrow type. This is why, in the first premise, we generate two fresh existential variables  $\widehat{a}_1$  and  $\widehat{a}_2$ , and insert them just before  $\widehat{a}$  in the input context, so that we can solve  $\widehat{a}$  to  $\widehat{a}_1 \rightarrow \widehat{a}_2$ . Note that the first premise  $A_1 \lesssim \widehat{a}_1$  switches to the other instantiation judgment.

### 6.3 Algorithmic Typing

We now turn to the algorithmic typing rules in Figure 15. Because general type inference for System F is undecidable [Wells 1999], our algorithmic system uses bidirectional type checking to

<sup>12</sup>As we will see in Section 9, where we present a more refined system, the “no constraint” statement is not entirely true.

$$\boxed{\Gamma \vdash e \Rightarrow A \vdash \Delta} \quad (\text{Under input context } \Gamma, e \text{ infers output type } A, \text{ with output context } \Delta)$$

$$\begin{array}{c}
\frac{(x : A) \in \Gamma}{\Gamma \vdash x \Rightarrow A \vdash \Gamma} \text{INF-VAR} \quad \frac{}{\Gamma \vdash n \Rightarrow \text{Int} \vdash \Gamma} \text{INF-INT} \quad \frac{\Gamma \vdash A \quad \Gamma \vdash e \Leftarrow A \vdash \Delta}{\Gamma \vdash e : A \Rightarrow A \vdash \Delta} \text{INF-ANNO} \\
\\
\frac{\Gamma \vdash A \quad \Gamma, \widehat{b}, x : A \vdash e \Leftarrow \widehat{b} \vdash \Delta, x : A, \Theta}{\Gamma \vdash \lambda x : A. e \Rightarrow A \rightarrow \widehat{b} \vdash \Delta} \text{INF-LAMANN} \quad \frac{\Gamma, \widehat{a}, \widehat{b}, x : \widehat{a} \vdash e \Leftarrow \widehat{b} \vdash \Delta, x : \widehat{a}, \Theta}{\Gamma \vdash \lambda x. e \Rightarrow \widehat{a} \rightarrow \widehat{b} \vdash \Delta} \text{INF-LAM} \\
\\
\frac{\Gamma \vdash e_1 \Rightarrow A \vdash \Theta_1 \quad \Theta_1, \widehat{a}, x : A \vdash e_2 \Leftarrow \widehat{a} \vdash \Delta, x : A, \Theta_2}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 \Rightarrow \widehat{a} \vdash \Delta} \text{INF-LET} \\
\\
\frac{\Gamma \vdash e_1 \Rightarrow A \vdash \Theta_1 \quad \Theta_1 \vdash [\Theta_1]A \triangleright A_1 \rightarrow A_2 \vdash \Theta_2 \quad \Theta_2 \vdash e_2 \Leftarrow [\Theta_2]A_1 \vdash \Delta}{\Gamma \vdash e_1 e_2 \Rightarrow A_2 \vdash \Delta} \text{INF-APP}
\end{array}$$

$$\boxed{\Gamma \vdash e \Leftarrow A \vdash \Delta} \quad (\text{Under input context } \Gamma, e \text{ checks against input type } A, \text{ with output context } \Delta)$$

$$\begin{array}{c}
\frac{\Gamma, x : A \vdash e \Leftarrow B \vdash \Delta, x : A, \Theta}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \vdash \Delta} \text{CHK-LAM} \quad \frac{\Gamma, a \vdash e \Leftarrow A \vdash \Delta, a, \Theta}{\Gamma \vdash e \Leftarrow \forall a. A \vdash \Delta} \text{CHK-GEN} \\
\\
\frac{\Gamma \vdash e \Rightarrow A \vdash \Theta \quad \Theta \vdash [\Theta]A \lesssim [\Theta]B \vdash \Delta}{\Gamma \vdash e \Leftarrow B \vdash \Delta} \text{CHK-SUB}
\end{array}$$

$$\boxed{\Gamma \vdash A \triangleright A_1 \rightarrow A_2 \vdash \Delta} \quad (\text{Under input context } \Gamma, A \text{ matches output type } A_1 \rightarrow A_2, \text{ with output context } \Delta)$$

$$\begin{array}{c}
\frac{\Gamma, \widehat{a} \vdash A[a \mapsto \widehat{a}] \triangleright A_1 \rightarrow A_2 \vdash \Delta}{\Gamma \vdash \forall a. A \triangleright A_1 \rightarrow A_2 \vdash \Delta} \text{AM-FORALL} \quad \frac{}{\Gamma \vdash A_1 \rightarrow A_2 \triangleright A_1 \rightarrow A_2 \vdash \Gamma} \text{AM-ARR} \\
\\
\frac{}{\Gamma \vdash \star \triangleright \star \rightarrow \star \vdash \Gamma} \text{AM-UNKNOWN} \quad \frac{}{\Gamma[\widehat{a}] \vdash \widehat{a} \triangleright \widehat{a}_1 \rightarrow \widehat{a}_2 \vdash \Gamma[\widehat{a}_1, \widehat{a}_2, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]} \text{AM-VAR}
\end{array}$$

Fig. 15. Algorithmic typing.

accommodate (first-class) polymorphism. Traditionally, two modes are employed in bidirectional systems: the checking mode  $\Gamma \vdash e \Leftarrow A \vdash \Theta$ , which takes a term  $e$  and a type  $A$  as input, and ensures that the term  $e$  checks against  $A$ ; the inference mode  $\Gamma \vdash e \Rightarrow A \vdash \Theta$ , which takes a term  $e$  and produces a type  $A$ . We first discuss rules in the inference mode.

Rules **INF-VAR** and **INF-INT** do not generate any new information and simply propagate the input context. Rule **INF-ANNO** is standard, switching to the checking mode in the premise.

In rule **INF-LAMANN**, we generate a fresh existential variable  $\widehat{b}$  for the function codomain, and check the function body against  $\widehat{b}$ . Note that it is tempting to write  $\Gamma, x : A \vdash e \Rightarrow B \vdash \Delta, x : A, \Theta$  as the premise (in the hope of better matching its declarative counterpart rule **LAMANN**), which has a subtle consequence. Consider the expression  $\lambda x : \text{Int}. \lambda y. y$ . Under the new premise, this is untypable because of  $\bullet \vdash \lambda x : \text{Int}. \lambda y. y \Rightarrow \text{Int} \rightarrow \widehat{a} \rightarrow \widehat{a} \vdash \bullet$  where  $\widehat{a}$  is not found in the output context. This explains why we put  $\widehat{b}$  before  $x : A$  so that it remains in the output context  $\Delta$ . Rule **INF-LAM**, which corresponds to rule **LAM**, one of the guessing rules, is similar to rule **INF-LAMANN**. As with the other algorithmic rules that eliminate guessing, we create new existential variables  $\widehat{a}$  (for function domain) and  $\widehat{b}$  (for function codomain) and check the function body against  $\widehat{b}$ . Rule **INF-LET** is similar to rule **INF-LAMANN**.

*Algorithmic Matching.* Rule **INF-APP** (which differs significantly from that of [Dunfield and Krishnaswami 2013]) deserves attention. It relies on the algorithmic matching judgment

$\Gamma \vdash A \triangleright A_1 \rightarrow A_2 \div \Delta$ . The matching judgment algorithmically synthesizes an arrow type from an arbitrary type. Rule **AM-FORALL** replaces  $a$  with a fresh existential variable  $\widehat{a}$ , thus eliminating guessing. Rules **AM-ARR** and **AM-UNKNOWN** correspond directly to the declarative rules. Rule **AM-VAR**, which has no corresponding declarative version, is similar to rule **INSTL-ARR/INSTR-ARR**: We create  $\widehat{a}_1$  and  $\widehat{a}_2$  and solve  $\widehat{a}$  to  $\widehat{a}_1 \rightarrow \widehat{a}_2$  in the output context.

Back to the rule **INF-APP**. This rule first infers the type of  $e_1$ , producing an output context  $\Theta_1$ . Then it applies  $\Theta_1$  to  $A$  and goes into the matching judgment, which delivers an arrow type  $A_1 \rightarrow A_2$  and another output context  $\Theta_2$ .  $\Theta_2$  is used as the input context when checking  $e_2$  against  $[\Theta_2]A_1$ , where we go into the checking mode.

Rules in the checking mode are quite standard. Rule **CHK-LAM** checks against  $A \rightarrow B$ . Rule **CHK-GEN**, like the declarative rule **GEN**, adds a type variable  $a$  to the input context. Rule **CHK-SUB** uses the algorithmic consistent subtyping judgment.

## 6.4 Decidability

Our algorithmic system is decidable. It is not at all obvious to see why this is the case, as many rules are not strictly structural (e.g., many rules have  $[\Gamma]A$  in the premises). This implies that we need a more sophisticated measure to support the argument. Since the typing rules (Figure 15) depend on the consistent subtyping rules (Figure 13), which in turn depends on the instantiation rules (Figure 14), to show the decidability of the typing judgment, we need to show that the instantiation and consistent subtyping judgments are decidable. The proof strategy mostly follows that of the DK system. Here only highlights of the proofs are given; the full proofs can be found in Appendix C.

*Decidability of Instantiation.* The basic idea is that we need to show  $A$  in the instantiation judgments  $\Gamma \vdash \widehat{a} \lesssim A \div \Delta$  and  $\Gamma \vdash A \lesssim \widehat{a} \div \Delta$  always gets smaller. Most of the rules are structural and thus easy to verify (e.g., rule **INSTL-FORALLR**); the non-trivial cases are rules **INSTL-ARR** and **INSTR-ARR** where context applications appear in the premises. The key observation there is that the instantiation rules preserve the size of (substituted) types. The formal statement of decidability of instantiation needs a few pre-conditions: assuming  $\widehat{a}$  is unsolved in the input context  $\Gamma$ , that  $A$  is well-formed under the context  $\Gamma$ , that  $A$  is fully applied under the input context  $\Gamma$  ( $[\Gamma]A = A$ ), and that  $\widehat{a}$  does not occur in  $A$ . Those conditions are actually met when instantiation is invoked: rule **CHK-SUB** applies the input context, and the subtyping rules apply input context when needed.

**THEOREM 6.1 (DECIDABILITY OF INSTANTIATION).** *If  $\Gamma = \Gamma_0[\widehat{a}]$  and  $\Gamma \vdash A$  such that  $[\Gamma]A = A$  and  $\widehat{a} \notin \text{fv}(A)$ , then:*

- (1) *Either there exists  $\Delta$  such that  $\Gamma \vdash \widehat{a} \lesssim A \div \Delta$ , or not.*
- (2) *Either there exists  $\Delta$  such that  $\Gamma \vdash A \lesssim \widehat{a} \div \Delta$ , or not.*

*Decidability of Algorithmic Consistent Subtyping.* Proving decidability of the algorithmic consistent subtyping is a bit more involved, as the induction measure consists of several parts. We measure the judgment  $\Gamma \vdash A \lesssim B \div \Delta$  lexicographically by

- (M1) the number of  $\forall$ -quantifiers in  $A$  and  $B$ ;
- (M2) the number of unknown types in  $A$  and  $B$ ;
- (M3)  $|\text{UNSOLVED}(\Gamma)|$ : the number of unsolved existential variables in  $\Gamma$ ;
- (M4)  $|\Gamma \vdash A| + |\Gamma \vdash B|$ .

Notice that because of our gradual setting, we also need to measure the number of unknown types (M2). This is a key difference from the DK system. We refer the reader to Appendix C for more details. For (M4), we use *contextual size*—the size of well-formed types under certain contexts, which penalizes solved variables (\*).



*Definition 6.2 (Contextual Size).*

$$\begin{aligned}
|\Gamma \vdash \text{Int}| &= 1, \\
|\Gamma \vdash \star| &= 1, \\
|\Gamma \vdash a| &= 1, \\
|\Gamma \vdash \widehat{a}| &= 1, \\
|\Gamma[\widehat{a} = \tau] \vdash \widehat{a}| &= 1 + |\Gamma[\widehat{a} = \tau] \vdash \tau| \quad (*), \\
|\Gamma \vdash \forall a. A| &= 1 + |\Gamma, a \vdash A|, \\
|\Gamma \vdash A \rightarrow B| &= 1 + |\Gamma \vdash A| + |\Gamma \vdash B|.
\end{aligned}$$

**THEOREM 6.3 (DECIDABILITY OF ALGORITHMIC CONSISTENT SUBTYPING).** *Given a context  $\Gamma$  and types  $A, B$  such that  $\Gamma \vdash A$  and  $\Gamma \vdash B$  and  $[\Gamma]A = A$  and  $[\Gamma]B = B$ , it is decidable whether there exists  $\Delta$  such that  $\Gamma \vdash A \lesssim B \vdash \Delta$ .*

*Decidability of Algorithmic Typing.* Similar to proving decidability of algorithmic consistent subtyping, the key is to come up with a correct measure. Since the typing rules depend on the matching judgment, we first show decidability of the algorithmic matching.

**LEMMA 6.4 (DECIDABILITY OF ALGORITHMIC MATCHING).** *Given a context  $\Gamma$  and a type  $A$  it is decidable whether there exist types  $A_1, A_2$  and a context  $\Delta$  such that  $\Gamma \vdash A \triangleright A_1 \rightarrow A_2 \dashv \Delta$ .*

Now, we are ready to show decidability of typing. The proof is obtained by induction on the lexicographically ordered triple: size of  $e$ , typing judgment (where the inference mode  $\Rightarrow$  is considered smaller than the checking mode  $\Leftarrow$ ) and contextual size:

$$\left\langle \begin{array}{c} e, \\ \Rightarrow, \\ \Leftarrow, \end{array} \quad |\Gamma \vdash A| \right\rangle.$$

The above measure is much simpler than the corresponding one in the DK system, where they also need to consider the application judgment together with the inference and checking judgments. This shows another benefit (besides the independence from typing) of adopting the matching judgment.

**THEOREM 6.5 (DECIDABILITY OF ALGORITHMIC TYPING).**

- (1) *Inference: Given a context  $\Gamma$  and a term  $e$ , it is decidable whether there exist a type  $A$  and a context  $\Delta$  such that  $\Gamma \vdash e \Rightarrow A \dashv \Delta$ .*
- (2) *Checking: Given a context  $\Gamma$ , a term  $e$  and a type  $B$  such that  $\Gamma \vdash B$ , it is decidable whether there exists a context  $\Delta$  such that  $\Gamma \vdash e \Leftarrow B \dashv \Delta$ .*

## 7 SOUNDNESS AND COMPLETENESS

To be confident that our algorithmic type system and the declarative type system agree with each other, we need to prove that the algorithmic rules are sound and complete with respect to the declarative specification. Before we give the formal statements of the soundness and completeness theorems, we need a meta-theoretical device, called *context extension* [Dunfield and Krishnaswami 2013], to capture a notion of information increase from input contexts to output contexts.

### 7.1 Context Extension

A context extension judgment  $\Gamma \longrightarrow \Delta$  reads “ $\Gamma$  is extended by  $\Delta$ .” Intuitively, this judgment says that  $\Delta$  has at least as much information as  $\Gamma$ : some unsolved existential variables in  $\Gamma$  may be solved in  $\Delta$ . The full inductive definition can be found Figure 16. We refer the reader to Dunfield and Krishnaswami [2013, Section 4] for further explanation of context extension.

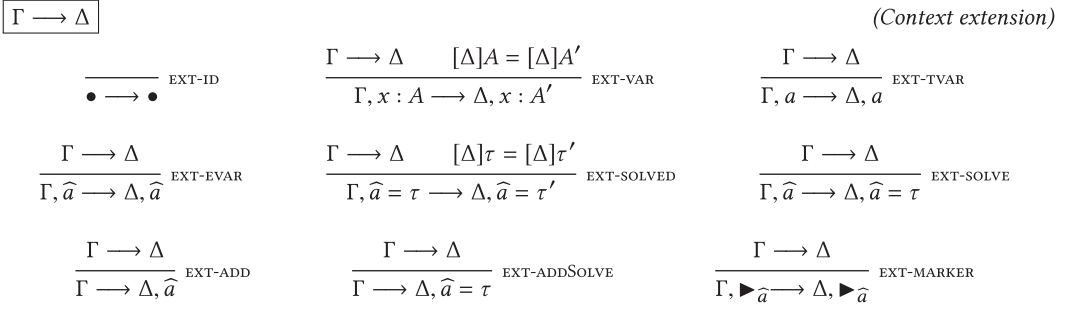


Fig. 16. Context extension.

## 7.2 Soundness

Roughly speaking, soundness of the algorithmic system says that given a derivation of an algorithmic judgment with input context  $\Gamma$ , output context  $\Delta$ , and a complete context  $\Omega$  that extends  $\Delta$ , applying  $\Omega$  throughout the given algorithmic judgment should yield a derivable declarative judgment. For example, let us consider an algorithmic typing judgment  $\bullet \vdash \lambda x. x \Rightarrow \hat{a} \rightarrow \hat{a} \vdash \hat{a}$ , and any complete context, say,  $\Omega = (\hat{a} = \text{Int})$ , then applying  $\Omega$  to the above judgment yields  $\bullet \vdash \lambda x. x : \text{Int} \rightarrow \text{Int}$ , which is derivable in the declarative system.

However, there is one complication: applying  $\Omega$  to the algorithmic expression does not necessarily yield a typable declarative expression. For example, by rule [CHK-LAM](#), we have  $\lambda x. x \Leftarrow (\forall a. a \rightarrow a) \rightarrow (\forall a. a \rightarrow a)$ , but  $\lambda x. x$  itself cannot have type  $(\forall a. a \rightarrow a) \rightarrow (\forall a. a \rightarrow a)$  in the declarative system. To circumvent that, we add an annotation to the lambda abstraction, resulting in  $\lambda x : (\forall a. a \rightarrow a). x$ , which is typeable in the declarative system with the same type. To relate  $\lambda x. x$  and  $\lambda x : (\forall a. a \rightarrow a). x$ , we erase all annotations on both expressions.

*Definition 7.1 (Type annotation erasure).* The erasure function is denoted as  $[\cdot]$  and defined as follows:

$$\begin{aligned} [x] &= x, & [n] &= n, \\ [\lambda x : A. e] &= \lambda x. [e], & [\lambda x. e] &= \lambda x. [e], \\ [e_1 e_2] &= [e_1] [e_2], & [e : A] &= [e]. \end{aligned}$$

**THEOREM 7.2 (INSTANTIATION SOUNDNESS).** *Given  $\Delta \longrightarrow \Omega$  and  $[\Gamma]A = A$  and  $\hat{a} \notin \text{fv}(A)$ :*

- (1) *If  $\Gamma \vdash \hat{a} \lesssim A \vdash \Delta$ , then  $[\Omega]\Delta \vdash [\Omega]\hat{a} \lesssim [\Omega]A$ .*
- (2) *If  $\Gamma \vdash A \lesssim \hat{a} \vdash \Delta$ , then  $[\Omega]\Delta \vdash [\Omega]A \lesssim [\Omega]\hat{a}$ .*

Notice that the declarative judgment uses  $[\Omega]\Delta$ , an operation that applies a complete context  $\Omega$  to the algorithmic context  $\Delta$ , essentially plugging in all known solutions and removing all declarations of existential variables (both solved and unsolved), resulting in a declarative context.

With instantiation soundness, next we show that the algorithmic consistent subtyping is sound:

**THEOREM 7.3 (SOUNDNESS OF ALGORITHMIC CONSISTENT SUBTYPING).** *If  $\Gamma \vdash A \lesssim B \vdash \Delta$  where  $[\Gamma]A = A$  and  $[\Gamma]B = B$  and  $\Delta \longrightarrow \Omega$ , then  $[\Omega]\Delta \vdash [\Omega]A \lesssim [\Omega]B$ .*

Finally, the soundness theorem of algorithmic typing:

**THEOREM 7.4 (SOUNDNESS OF ALGORITHMIC TYPING).** *Given  $\Delta \longrightarrow \Omega$ :*

- (1) *If  $\Gamma \vdash e \Rightarrow A \vdash \Delta$ , then  $\exists e'$  such that  $[\Omega]\Delta \vdash e' : [\Omega]A$  and  $[e] = [e']$ .*
- (2) *If  $\Gamma \vdash e \Leftarrow A \vdash \Delta$ , then  $\exists e'$  such that  $[\Omega]\Delta \vdash e' : [\Omega]A$  and  $[e] = [e']$ .*

### 7.3 Completeness

Completeness of the algorithmic system is the reverse of soundness: given a declarative judgment of the form  $[\Omega]\Gamma \vdash [\Omega] \dots$ , we want to get an algorithmic derivation of  $\Gamma \vdash \dots \dashv \Delta$ . It turns out that completeness is a bit trickier to state in that the algorithmic rules generate existential variables on the fly, so  $\Delta$  could contain unsolved existential variables that are not found in  $\Gamma$ , nor in  $\Omega$ . Therefore, the completeness proof must produce another complete context  $\Omega'$  that extends both the output context  $\Delta$ , and the given complete context  $\Omega$ . As with soundness, we need erasure to relate both expressions.

**THEOREM 7.5 (INSTANTIATION COMPLETENESS).** *Given  $\Gamma \longrightarrow \Omega$  and  $A = [\Gamma]A$  and  $\hat{a} \notin \text{UNSOLVED}(\Gamma)$  and  $\hat{a} \notin \text{FV}(A)$ :*

- (1) *If  $[\Omega]\Gamma \vdash [\Omega]\hat{a} \lesssim [\Omega]A$ , then there are  $\Delta, \Omega'$  such that  $\Omega \longrightarrow \Omega'$  and  $\Delta \longrightarrow \Omega'$  and  $\Gamma \vdash \hat{a} \lesssim A \dashv \Delta$ .*
- (2) *If  $[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]\hat{a}$ , then there are  $\Delta, \Omega'$  such that  $\Omega \longrightarrow \Omega'$  and  $\Delta \longrightarrow \Omega'$  and  $\Gamma \vdash A \lesssim \hat{a} \dashv \Delta$ .*

Next is the completeness of consistent subtyping:

**THEOREM 7.6 (GENERALIZED COMPLETENESS OF CONSISTENT SUBTYPING).** *If  $\Gamma \longrightarrow \Omega$  and  $\Gamma \vdash A$  and  $\Gamma \vdash B$  and  $[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]B$ , then there exist  $\Delta$  and  $\Omega'$  such that  $\Delta \longrightarrow \Omega'$  and  $\Omega \longrightarrow \Omega'$  and  $\Gamma \vdash [\Gamma]A \lesssim [\Gamma]B \dashv \Delta$ .*

We prove that the algorithmic matching is complete with respect to the declarative matching:

**THEOREM 7.7 (MATCHING COMPLETENESS).** *Given  $\Gamma \longrightarrow \Omega$  and  $\Gamma \vdash A$ , if  $[\Omega]\Gamma \vdash [\Omega]A \triangleright A_1 \rightarrow A_2$  then there exist  $\Delta, \Omega', A'_1$  and  $A'_2$  such that  $\Gamma \vdash [\Gamma]A \triangleright A'_1 \rightarrow A'_2 \dashv \Delta$  and  $\Delta \longrightarrow \Omega'$  and  $\Omega \longrightarrow \Omega'$  and  $A_1 = [\Omega']A'_1$  and  $A_2 = [\Omega']A'_2$ .*

Finally, here is the completeness theorem of the algorithmic typing:

**THEOREM 7.8 (COMPLETENESS OF ALGORITHMIC TYPING).** *Given  $\Gamma \longrightarrow \Omega$  and  $\Gamma \vdash A$ , if  $[\Omega]\Gamma \vdash e : A$  then there exist  $\Delta, \Omega', A'$  and  $e'$  such that  $\Delta \longrightarrow \Omega'$  and  $\Omega \longrightarrow \Omega'$  and  $\Gamma \vdash e' \Rightarrow A' \dashv \Delta$  and  $A = [\Omega']A'$  and  $\llbracket e \rrbracket = \llbracket e' \rrbracket$ .*

## 8 SIMPLE EXTENSIONS AND VARIANTS

This section considers two simple variations on the presented system. The first variation extends the system with a top type, while the second variation considers a more declarative formulation using a subsumption rule.

### 8.1 Top Types

We argued that our definition of consistent subtyping (Definition 4.1) generalizes the original definition by Siek and Taha [2007]. We have shown its applicability to polymorphic types, for which Siek and Taha [2007] approach cannot be extended naturally. To strengthen our argument, we show how to extend our approach to Top types, which is also not supported by Siek and Taha [2007] approach.

*Consistent Subtyping with  $\top$ .* To preserve the orthogonality between subtyping and consistency, we require  $\top$  to be a common supertype of all static types, as shown in rule S-Top. This rule might seem strange at first glance, since even if we remove the requirement  $A$  static, the rule still seems reasonable. However, an important point is that, because of the orthogonality between subtyping and consistency, subtyping itself should not contain a potential information loss! Therefore,

subtyping instances such as  $\star <: \top$  are not allowed. For consistency, we add the rule that  $\top$  is consistent with  $\top$ , which is actually included in the original reflexive rule  $A \sim A$ . For consistent subtyping, every type is a consistent subtype of  $\top$ , for example,  $\text{Int} \rightarrow \star \lesssim \top$ :

$$\frac{A \text{ static}}{\Psi \vdash A <: \top} \text{S-Top} \qquad \top \sim \top \qquad \frac{}{\Psi \vdash A \lesssim \top} \text{CS-Top.}$$

It is easy to verify that Definition 4.1 is still equivalent to that in Figure 8 extended with rule CS-Top. That is, Theorem 1 holds:

PROPOSITION 8.1 (EXTENSION WITH  $\top$ ).  $\Psi \vdash A \lesssim B \Leftrightarrow \Psi \vdash A <: C, C \sim D, \Psi \vdash D <: B$ , for some  $C, D$ .

We extend the definition of concretization (Definition 4.3) with  $\top$  by adding another equation  $\gamma(\top) = \{\top\}$ . Note that Castagna and Lanvin [2017] also have this equation in their calculus. It is easy to verify that Corollary 4.5 still holds:

PROPOSITION 8.2 (EQUIVALENT TO AGT ON  $\top$ ).  $A \lesssim B$  if only if  $A \tilde{<} B$ .

*Siek and Taha's Definition of Consistent Subtyping Does Not Work for  $\top$ .* As with the analysis in Section 4.2,  $\text{Int} \rightarrow \star \lesssim \top$  only holds when we first apply consistency, then subtyping. However, we cannot find a type  $A$  such that  $\text{Int} \rightarrow \star <: A$  and  $A \sim \top$ . The following diagram depicts the situation:

$$\begin{array}{ccc} \emptyset & \xrightarrow{\sim} & \top \\ \uparrow & & \uparrow \\ <: & & <: \\ \text{Int} \rightarrow \star & \xrightarrow{\sim} & \text{Int} \rightarrow \text{Int} \end{array}$$

Additionally, we have a similar problem in extending the restriction operator: *non-structural* masking between  $\text{Int} \rightarrow \star$  and  $\top$  cannot be easily achieved.

Note that both the top and universally quantified types can be seen as special cases of intersection types. Indeed, top is the intersection of the empty set, while a universally quantified type is the intersection of the infinite set of its instantiations [Davies and Pfenning 2000]. Recall from Section 4.3 that Castagna and Lanvin [2017] shows that consistent subtyping from AGT works well for intersection types, and our definition coincides with AGT (Corollary 4.5 and Proposition 8.2). We thus believe that our definition is compatible with conventional binary intersection types as well. Yet, a rigorous formalization would be needed to substantiate this belief.

## 8.2 A More Declarative Type System

In Section 5, we present our declarative system in terms of the matching and consistent subtyping judgments. The rationale behind this design choice is that the resulting declarative system combines subtyping and type consistency in the application rule, thus making it easier to design an algorithmic system accordingly. Still, one may wonder if it is possible to design a more declarative specification. For example, even though we mentioned that the subsumption rule is incompatible with consistent subtyping, it might be possible to accommodate a subsumption rule for normal subtyping (instead of consistent subtyping). In this section, we discuss an alternative for the design of the declarative system.

*Wrong Design.* A naive design that does not work is to replace rule **APP** in Figure 9 with the following two rules:

$$\frac{\Psi \vdash e : A \quad A <: B}{\Psi \vdash e : B} \text{V-SUB}, \quad \frac{\Psi \vdash e_1 : A \quad \Psi \vdash e_2 : A_1 \quad A \sim A_1 \rightarrow A_2}{\Psi \vdash e_1 e_2 : A_2} \text{V-APP1}.$$

Rule **V-SUB** is the standard subsumption rule: if an expression  $e$  has type  $A$ , then it can be assigned some type  $B$  that is a supertype of  $A$ . Rule **V-APP1** first infers that  $e_1$  has type  $A$ , and  $e_2$  has type  $A_1$ , then it finds some  $A_2$  so that  $A$  is consistent with  $A_1 \rightarrow A_2$ .

There would be two obvious benefits of this variant if it did work: firstly this approach closely resembles the traditional declarative type systems for calculi with subtyping; second, it saves us from discussing various forms of  $A$  in rule **V-APP1**, leaving the job to the consistency judgment.

The design is wrong because of the information loss caused by the choice of  $A_2$  in rule **V-APP1**. Suppose we have  $\Psi \vdash \text{plus} : \text{Int} \rightarrow \text{Int} \rightarrow \text{Int}$ , then we can apply it to 1 to get

$$\frac{\Psi \vdash \text{plus} : \text{Int} \rightarrow \text{Int} \rightarrow \text{Int} \quad \Psi \vdash 1 : \text{Int} \quad \text{Int} \rightarrow \text{Int} \rightarrow \text{Int} \sim \text{Int} \rightarrow \star \rightarrow \text{Int}}{\Psi \vdash \text{plus } 1 : \star \rightarrow \text{Int}} \text{V-APP1}.$$

Further, applying it to true, we get

$$\frac{\Psi \vdash \text{plus } 1 \Rightarrow \star \rightarrow \text{Int} \quad \Psi \vdash \text{true} : \text{Bool} \quad \star \rightarrow \text{Int} \sim \text{Bool} \rightarrow \text{Int}}{\Psi \vdash \text{plus } 1 \text{ true} : \text{Int}} \text{V-APP1},$$

which is obviously wrong! The type consistency in rule **V-APP1** causes information loss for both the argument type  $A_1$  and the return type  $A_2$ . The problem is that information of  $A_2$  can get lost again if it appears in further applications. The moral of the story is that we should be very careful when using type consistency. We hypothesize that it is inevitable to do case analysis for the type of the function in an application (i.e.,  $A$  in rule **V-APP1**).

*Proper Declarative Design.* The proper design refines the first variant by using a matching judgment to carefully distinguish two cases for the typing result of  $e_1$  in rule **V-APP1**: (1) when it is an arrow type, and (2) when it is an unknown type. This variant replaces rule **APP** in Figure 9 with the following rules:

$$\frac{\Gamma \vdash e : A \quad A <: B}{\Gamma \vdash e : B} \text{V-SUB}, \quad \frac{\Psi \vdash e : A \quad \Psi \vdash A \triangleright A_1 \rightarrow A_2 \quad \Psi \vdash e_2 : A_3 \quad A_1 \sim A_3}{\Psi \vdash e_1 e_2 : A_2} \text{V-APP2},$$

$$\frac{}{\Psi \vdash A_1 \rightarrow A_2 \triangleright A_1 \rightarrow A_2}, \quad \frac{}{\Psi \vdash \star \triangleright \star \rightarrow \star}.$$

Rule **V-SUB** is the same as in the first variant. In rule **V-APP2**, we infer that  $e_1$  has type  $A$ , and use the matching judgment to get an arrow type  $A_1 \rightarrow A_2$ . Then, we need to ensure that the argument type  $A_3$  is *consistent with* (rather than a consistent subtype of)  $A_1$ , and use  $A_2$  as the result type of the application. The matching judgment only deals with two cases, as polymorphic types are handled by rule **V-SUB**. These rules are closely related to the ones in Siek and Taha [2006] and Siek and Taha [2007].

The more declarative nature of this system also implies that it is highly non-syntax-directed, and it does not offer any insight into combining subtyping and consistency. We have proved in Coq the following lemmas to establish soundness and completeness of this system with respect to our original system (to avoid ambiguity, we use the notation  $\vdash_m$  to indicate the more declarative version):

**LEMMA 5 (COMPLETENESS OF  $\vdash_m$ ).** *If  $\Gamma \vdash e : A$ , then  $\Gamma \vdash_m e : A$ .*

Types	$A, B ::= \text{Int} \mid a \mid A \rightarrow B \mid \forall a. A \mid \star \mid \boxed{S \mid \mathcal{G}}$
Monotypes	$\tau, \sigma ::= \text{Int} \mid a \mid \tau \rightarrow \sigma \mid \boxed{S \mid \mathcal{G}}$
Castable Types	$\mathbb{C} ::= \text{Int} \mid a \mid \mathbb{C}_1 \rightarrow \mathbb{C}_2 \mid \forall a. \mathbb{C} \mid \star \mid \mathcal{G}$
Castable Monotypes	$t ::= \text{Int} \mid a \mid t_1 \rightarrow t_2 \mid \mathcal{G}$

$\Psi \vdash A \lesssim B$

(Consistent Subtyping)

$\frac{a \in \Psi}{\Psi \vdash a \lesssim a} \text{CS-TVAR}$	$\frac{}{\Psi \vdash \text{Int} \lesssim \text{Int}} \text{CS-INT}$	$\frac{\Psi \vdash B_1 \lesssim A_1 \quad \Psi \vdash A_2 \lesssim B_2}{\Psi \vdash A_1 \rightarrow A_2 \lesssim B_1 \rightarrow B_2} \text{CS-ARROW}$
$\frac{\Psi, a \vdash A \lesssim B}{\Psi \vdash A \lesssim \forall a. B} \text{CS-FORALLR}$	$\frac{\Psi \vdash \tau \quad \Psi \vdash A[a \mapsto \tau] \lesssim B}{\Psi \vdash \forall a. A \lesssim B} \text{CS-FORALLL}$	$\frac{}{\Psi \vdash \star \lesssim \mathbb{C}} \text{CS-UNKNOWNLL}$
$\frac{}{\Psi \vdash \mathbb{C} \lesssim \star} \text{CS-UNKNOWNRR}$	$\frac{}{\Psi \vdash S \lesssim S} \text{CS-SPAR}$	$\frac{}{\Psi \vdash \mathcal{G} \lesssim \mathcal{G}} \text{CS-GPAR}$

Fig. 17. Syntax of types and consistent subtyping in the extended declarative system.

**LEMMA 6 (SOUNDNESS OF  $\vdash_m$ ).** *If  $\Gamma \vdash_m e : A$ , then there exists some  $B$ , such that  $\Gamma \vdash e : B$  and  $\Gamma \vdash B <: A$ .*

## 9 RESTORING THE DYNAMIC GRADUAL GUARANTEE WITH TYPE PARAMETERS

In Section 5.2, we have seen an example where a single source expression could produce two different target expressions with different runtime behaviors. As we explained, this is due to the guessing nature of the declarative system, and, from the (source) typing point of view, no guessed type is particularly better than any other. As a consequence, this breaks the dynamic gradual guarantee as discussed in Section 5.3.

To alleviate this situation, we introduce *static type parameters*, which are placeholders for monotypes, and *gradual type parameters*, which are placeholders for monotypes that are consistent with the unknown type. The concept of static type parameters and gradual type parameters in the context of gradual typing was first introduced by Garcia and Cimini [2015], and later played a central role in the work of Igarashi et al. [2017]. In our type system, type parameters mainly help capture the notion of *representative translations*, and should not appear in a source program. With them, we are able to recast the dynamic gradual guarantee in terms of representative translations, and to prove that every well-typed source expression possesses at least one representative translation. With a coherence conjecture regarding representative translations, the dynamic gradual guarantee of our extended source language now can be reduced to that of  $\lambda B$ , which, at the time of writing, is still an open question.

### 9.1 Declarative Type System

The new syntax of types is given at the top of Figure 17, with the differences highlighted. In addition to the types of Figure 4, we add *static type parameters*  $S$ , and *gradual type parameters*  $\mathcal{G}$ . Both kinds of type parameters are monotypes. The addition of type parameters, however, leads to two new syntactic categories of types. *Castable types*  $\mathbb{C}$  represent types that can be cast from or to  $\star$ . It includes all types, except those that contain static type parameters. *Castable monotypes*  $t$  are those castable types that are also monotypes.



*Consistent Subtyping.* The new definition of consistent subtyping is given at the bottom of Figure 17, again with the differences highlighted. Now the unknown type is only a consistent subtype of all castable types, rather than of all types (rule **CS-UNKNOWNLL**), and vice versa (rule **CS-UNKNOWNRR**). Moreover, the static type parameter  $\mathcal{S}$  is a consistent subtype of itself (rule **CS-SPAR**), and similarly for the gradual type parameter (rule **CS-GPAR**). From this definition it follows immediately that  $\star$  is incomparable with types that contain static type parameters  $\mathcal{S}$ , such as  $\mathcal{S} \rightarrow \text{Int}$ .

*Typing and Translation.* Given these extensions to types and consistent subtyping, the typing process remains the same as in Figure 9. To account for the changes in the translation, if we extend  $\lambda\mathbf{B}$  with type parameters as in Garcia and Cimini [2015], then the translation remains the same as well.

## 9.2 Substitutions and Representative Translations

As we mentioned, type parameters serve as placeholders for monotypes. As a consequence, whenever a type parameter is used, any *suitable* monotype could appear just as well. To formalize this observation, we define substitutions for type parameters as follows:

*Definition 9.1 (Substitution).* Substitutions for type parameters are defined as:

- (1) Let  $S^{\mathcal{S}} : \mathcal{S} \rightarrow \tau$  be a total function mapping static type parameters to monotypes.
- (2) Let  $S^{\mathcal{G}} : \mathcal{G} \rightarrow t$  be a total function mapping gradual type parameters to castable monotypes.
- (3) Let  $S^{\mathcal{P}} = S^{\mathcal{G}} \cup S^{\mathcal{S}}$  be a union of  $S^{\mathcal{S}}$  and  $S^{\mathcal{G}}$  mapping static and gradual type parameters accordingly.

Note that since  $\mathcal{G}$  might be compared with  $\star$ , only castable monotypes are suitable substitutes, whereas  $\mathcal{S}$  can be replaced by any monotypes. Therefore, we can substitute  $\mathcal{G}$  for  $\mathcal{S}$ , but not the other way around.

Let us go back to our example and its two translations in Section 5.2. The problem with those translations is that neither  $\text{Int} \rightarrow \text{Int}$  nor  $\text{Bool} \rightarrow \text{Int}$  is general enough. With type parameters, however, we can state a more *general* translation that covers both through substitution:

$$f : \forall a. a \rightarrow \text{Int} \vdash (\lambda x : \star. f \ x) : \star \rightarrow \text{Int} \\ \rightsquigarrow (\lambda x : \star. (\langle \forall a. a \rightarrow \text{Int} \hookrightarrow \mathcal{G} \rightarrow \text{Int} \rangle f) (\langle \star \hookrightarrow \mathcal{G} \rangle x)).$$

The advantage of type parameters is that they help reasoning about the dynamic semantics. Now, we are not limited to a particular choice, such as  $\text{Int} \rightarrow \text{Int}$  or  $\text{Bool} \rightarrow \text{Int}$ , which might or might not emit a cast error at runtime. Instead, we have a general choice  $\mathcal{G} \rightarrow \text{Int}$ .

What does the more general choice with type parameters tell us? First, we know that in this case, there is no concrete constraint on  $a$ , so we can instantiate it with a type parameter. Second, the fact that the general choice uses  $\mathcal{G}$  rather than  $\mathcal{S}$  indicates that any chosen instantiation needs to be a castable type. It follows that any concrete instantiation will have an impact on the runtime behavior; therefore it is best to instantiate  $a$  with  $\star$ . However, type inference cannot instantiate  $a$  with  $\star$ , and substitution cannot replace  $\mathcal{G}$  with  $\star$  either. This means that we need a syntactic refinement process of the translated programs to replace type parameters with allowed gradual types.

*Syntactic Refinement.* We define syntactic refinement of the translated expressions as follows. As  $\mathcal{S}$  denotes no constraints at all, substituting it with any monotype would work. Here, we arbitrarily use  $\text{Int}$ . We interpret  $\mathcal{G}$  as  $\star$ , since any monotype could possibly lead to a cast error.

**Definition 9.2 (Syntactic Refinement).** The syntactic refinement of a translated expression  $s$  is denoted by  $[s]$ , and defined as follows:

$[\text{Int}]$	$=$	$\text{Int}$	$[a]$	$=$	$a$
$[A \rightarrow B]$	$=$	$[A] \rightarrow [B]$	$[\forall a.A]$	$=$	$\forall a.[A]$
$[\star]$	$=$	$\star$	$[S]$	$=$	$\text{Int}$
$[\mathcal{G}]$	$=$	$\star$			

Applying the syntactic refinement to the translated expression, we get

$$(\lambda x : \star. ((\forall a.a \rightarrow \text{Int} \hookrightarrow \star \rightarrow \text{Int}) f) ((\star \hookrightarrow \star) x)),$$

where two  $\mathcal{G}$  are refined by  $\star$  as highlighted. It is easy to verify that both applying this expression to 3 and to *true* now results in a translation that evaluates to a value.

**Representative Translations.** To decide whether one translation is more general than the other, we define a preorder between translations.

**Definition 9.3 (Translation Pre-order).** Suppose  $\Psi \vdash e : A \rightsquigarrow s_1$  and  $\Psi \vdash e : A \rightsquigarrow s_2$ , we define  $s_1 \leq s_2$  to mean  $s_2 \equiv_{\alpha} S^{\mathcal{P}}(s_1)$  for some  $S^{\mathcal{P}}$ .

**PROPOSITION 9.4.** *If  $s_1 \leq s_2$  and  $s_2 \leq s_1$ , then  $s_1$  and  $s_2$  are  $\alpha$ -equivalent (i.e., equivalent up to renaming of type parameters).*

The preorder between translations gives rise to a notion of what we call *representative translations*:

**Definition 9.5 (Representative Translation).** A translation  $s$  is said to be a representative translation of a typing derivation  $\Psi \vdash e : A \rightsquigarrow s$  if and only if for any other translation  $\Psi \vdash e : A \rightsquigarrow s'$  such that  $s' \leq s$ , we have  $s \leq s'$ . From now on, we use  $r$  to denote a representative translation.

An important property of representative translations, which we conjecture for the lack of rigorous proof, is that if there exists any translation of an expression that (after syntactic refinement) can reduce to a value, so can a representative translation of that expression. Conversely, if a representative translation runs into a blame, then no translation of that expression can reduce to a value.

**CONJECTURE 9.6 (PROPERTY OF REPRESENTATIVE TRANSLATIONS).** *For any expression  $e$  such that  $\Psi \vdash e : A \rightsquigarrow s$  and  $\Psi \vdash e : A \rightsquigarrow r$  and  $\forall C.C : (\Psi \vdash^B A) \rightsquigarrow (\bullet \vdash^B \text{Int})$ , we have*

- *If  $C\{[s]\} \Downarrow n$ , then  $C\{[r]\} \Downarrow n$ .*
- *If  $C\{[r]\} \Downarrow \text{blame}$ , then  $C\{[s]\} \Downarrow \text{blame}$ .*

Given this conjecture, we can state a stricter coherence property (without the “up to casts” part) between any two representative translations. We first strengthen Definition 5.1 following Ahmed et al. [2017]:

**Definition 9.7 (Contextual Approximation à la Ahmed et al. [2017]).**

$$\begin{aligned} \Psi \vdash s_1 \leq_{ctx} s_2 : A &\triangleq \Psi \vdash^B s_1 : A \wedge \Psi \vdash^B s_2 : A \wedge \\ &\text{for all } C.C : (\Psi \vdash^B A) \rightsquigarrow (\bullet \vdash^B \text{Int}) \implies \\ &(C\{[s_1]\} \Downarrow n \implies C\{[s_2]\} \Downarrow n) \wedge \\ &(C\{[s_1]\} \Downarrow \text{blame} \implies C\{[s_2]\} \Downarrow \text{blame}). \end{aligned}$$

The only difference is that now when a program containing  $s_1$  reduces to a value, so does one containing  $s_2$ .

From Conjecture 9.6, it follows that coherence holds between two representative translations of the same expression.

**COROLLARY 9.8 (COHERENCE FOR REPRESENTATIVE TRANSLATIONS).** *For any expression  $e$  such that  $\Psi \vdash e : A \rightsquigarrow r_1$  and  $\Psi \vdash e : A \rightsquigarrow r_2$ , we have  $\Psi \vdash r_1 \simeq_{ctx} r_2 : A$ .*

We have proved that for every typing derivation, at least one representative translation exists.

**LEMMA 9.9 (REPRESENTATIVE TRANSLATION FOR TYPING).** *For any typing derivation  $\Psi \vdash e : A$  there exists at least one representative translation  $r$  such that  $\Psi \vdash e : A \rightsquigarrow r$ .*

For our example,  $(\lambda x : \star. (\langle \forall a. a \rightarrow \text{Int} \hookrightarrow \mathcal{G} \rightarrow \text{Int} \rangle f) (\langle \star \hookrightarrow \mathcal{G} \rangle x))$  is a representative translation, while the other two are not.

### 9.3 Dynamic Gradual Guarantee, Reloaded

Given the above propositions, we are ready to revisit the dynamic gradual guarantee. The nice thing about representative translations is that the dynamic gradual guarantee of our source language is essentially that of  $\lambda B$ , our target language. However, the dynamic gradual guarantee for  $\lambda B$  is still an open question. According to Igarashi et al. [2017], the difficulty lies in the definition of term precision that preserves the semantics. We leave it here as a conjecture as well. From a declarative point of view, we cannot prevent the system from picking undesirable instantiations, but we know that some choices are better than the others, so we can restrict the discussion of dynamic gradual guarantee to representative translations.

**CONJECTURE 9.10 (DYNAMIC GRADUAL GUARANTEE IN TERMS OF REPRESENTATIVE TRANSLATIONS).** *Suppose  $e' \sqsubseteq e$ ,*

- (1) *If  $\bullet \vdash e : A \rightsquigarrow r$ ,  $[r] \Downarrow v$ , then for some  $B$  and  $r'$ , we have  $\bullet \vdash e' : B \rightsquigarrow r'$ , and  $B \sqsubseteq A$ , and  $[r'] \Downarrow v'$ , and  $v' \sqsubseteq v$ .*
- (2) *If  $\bullet \vdash e' : B \rightsquigarrow r'$ ,  $[r'] \Downarrow v'$ , then for some  $A$  and  $r$ , we have  $\bullet \vdash e : A \rightsquigarrow r$ , and  $B \sqsubseteq A$ . Moreover,  $[r] \Downarrow v$  and  $v' \sqsubseteq v$ , or  $[r] \Downarrow \text{blame}$ .*

For the example in Section 5.3, now we know that the representative translation of the right one will evaluate to 1 as well:

$$(\lambda f : \forall a. a \rightarrow \text{Int}. \lambda x : \text{Int}. f x) (\lambda x. 1) 3, \quad (\lambda f : \forall a. a \rightarrow \text{Int}. \lambda x : \star. f x) (\lambda x. 1) 3.$$

More importantly, in what follows, we show that our extended algorithm is able to find those representative translations.

### 9.4 Extended Algorithmic Type System

To understand the design choices involved in the new algorithmic system, we consider the following algorithmic typing example:

$$f : \forall a. a \rightarrow \text{Int}, x : \star \vdash f x \Rightarrow \text{Int} \dashv f : \forall a. a \rightarrow \text{Int}, x : \star, \widehat{a}.$$

Compared with the declarative typing, where we have many choices (e.g.,  $\text{Int} \rightarrow \text{Int}$ ,  $\text{Bool} \rightarrow \text{Int}$ , and so on) to instantiate  $\forall a. a \rightarrow \text{Int}$ , the algorithm computes the instantiation  $\widehat{a} \rightarrow \text{Int}$  with  $\widehat{a}$  unsolved in the output context. What can we know from the algorithmic typing? First, we know that, here,  $\widehat{a}$  is *not constrained* by the typing problem. Second, and more importantly,  $\widehat{a}$  has been compared with an unknown type (when typing  $(f x)$ ). Therefore, it is possible to make a more refined distinction between different kinds of existential variables. The first kind of existential variables are those that indeed have no constraints at all, as they do not affect the dynamic semantics; while

Types	$A, B ::= \text{Int} \mid a \mid \widehat{a} \mid A \rightarrow B \mid \forall a. A \mid \star \mid \mathcal{S} \mid \mathcal{G}$
Monotypes	$\tau, \sigma ::= \text{Int} \mid a \mid \widehat{a} \mid \tau \rightarrow \sigma \mid \mathcal{S} \mid \mathcal{G}$
Existential variables	$\widehat{a} ::= \widehat{a}_S \mid \widehat{a}_G$
Castable Types	$\mathbb{C} ::= \text{Int} \mid a \mid \widehat{a} \mid \mathbb{C}_1 \rightarrow \mathbb{C}_2 \mid \forall a. \mathbb{C} \mid \star \mid \mathcal{G}$
Castable Monotypes	$t ::= \text{Int} \mid a \mid \widehat{a} \mid t_1 \rightarrow t_2 \mid \mathcal{G}$
Algorithmic Contexts	$\Gamma, \Delta, \Theta ::= \bullet \mid \Gamma, x : A \mid \Gamma, a \mid \Gamma, \widehat{a} \mid \Gamma, \widehat{a}_S = \tau \mid \Gamma, \widehat{a}_G = t \mid \Gamma, \blacktriangleright_{\widehat{a}}$
Complete Contexts	$\Omega ::= \bullet \mid \Omega, x : A \mid \Omega, a \mid \Omega, \widehat{a}_S = \tau \mid \Omega, \widehat{a}_G = t \mid \Omega, \blacktriangleright_{\widehat{a}}$

Fig. 18. Syntax of types, contexts, and consistent subtyping in the extended algorithmic system.

the second kind (as in this example) are those where the only constraint is that *the variable was once compared with an unknown type* [Garcia and Cimini 2015].

The syntax of types is shown in Figure 18. A notable difference, apart from the addition of static and gradual parameters, is that we further split existential variables  $\widehat{a}$  into static existential variables  $\widehat{a}_S$  and gradual existential variables  $\widehat{a}_G$ . Depending on whether an existential variable has been compared with  $\star$  or not, its solution space changes. More specifically, static existential variables can be solved to a monotype  $\tau$ , whereas gradual existential variables can only be solved to a castable monotype  $t$ , as can be seen in the changes of algorithmic contexts and complete contexts. As a result, the typing result for the above example now becomes

$$f : \forall a. a \rightarrow \text{Int}, x : \star \vdash f x \Rightarrow \text{Int} \dashv f : \forall a. a \rightarrow \text{Int}, x : \star, \widehat{a}_G,$$

since we can solve any unconstrained  $\widehat{a}_G$  to  $\mathcal{G}$ , it is easy to verify that the resulting translation is indeed a representative translation.

Our extended algorithm is novel in the following aspects. We naturally extend the concept of existential variables [Dunfield and Krishnaswami 2013] to deal with comparisons between existential variables and unknown types. Unlike Garcia and Cimini [2015], where they use an extra set to store types that have been compared with unknown types, our two kinds of existential variables emphasize the type distinction better, and correspond more closely to the two kinds of type parameters, as we can solve  $\widehat{a}_S$  to  $\mathcal{S}$  and  $\widehat{a}_G$  to  $\mathcal{G}$ .

The implementation of the algorithm can be found in the supplementary materials.

*Extended Algorithmic Consistent Subtyping.* While the changes in the syntax seem negligible, the addition of static and gradual type parameters changes the algorithmic judgments in a significant way. We first discuss the algorithmic consistent subtyping, which is shown in Figure 19. For notational convenience, when static and gradual existential variables have the same rule form, we compress them into one rule. For example, rule [AS-EVAR](#) is really two rules  $\Gamma[\widehat{a}_S] \vdash \widehat{a}_S \lesssim \widehat{a}_S \dashv \Gamma[\widehat{a}_S]$  and  $\Gamma[\widehat{a}_G] \vdash \widehat{a}_G \lesssim \widehat{a}_G \dashv \Gamma[\widehat{a}_G]$ ; same for rules [AS-INSTL](#) and [AS-INSTR](#).

Rules [AS-SPAR](#) and [AS-GPAR](#) are direct analogies of rules [CS-SPAR](#) and [CS-GPAR](#). Though looking simple, rules [AS-UNKNOWNLL](#) and [AS-UNKNOWNRR](#) deserve much explanation. To understand what the output context  $\text{contaminate}(\Gamma, \mathbb{C})$  is for, let us first see why this seemingly intuitive rule  $\Gamma \vdash \star \lesssim \mathbb{C} \dashv \Gamma$  (like rule [AS-UNKNOWNL](#) in the original algorithmic system) is wrong. Consider the judgment  $\widehat{a}_S \vdash \star \lesssim \widehat{a}_S \rightarrow \widehat{a}_S \dashv \widehat{a}_S$ , which seems fine. If this holds, then—since  $\widehat{a}_S$  is unsolved in the output context—we can solve it to  $\mathcal{S}$ , for example (recall that  $\widehat{a}_S$  can be solved to some monotype), resulting in  $\star \lesssim \mathcal{S} \rightarrow \mathcal{S}$ . However, this is in direct conflict with rule [CS-UNKNOWNLL](#) in the declarative system precisely, because  $\mathcal{S} \rightarrow \mathcal{S}$  is not a castable type! A possible solution would be to transform all static existential variables to gradual existential variables within  $\mathbb{C}$  whenever it is being compared to  $\star$ : while  $\widehat{a}_S \vdash \star \lesssim \widehat{a}_S \rightarrow \widehat{a}_S \dashv \widehat{a}_S$  does not hold,  $\widehat{a}_G \vdash \star \lesssim \widehat{a}_G \rightarrow \widehat{a}_G \dashv \widehat{a}_G$  does.

$$\boxed{\Gamma \vdash A \lesssim B \vdash \Delta} \quad (\text{Algorithmic Consistent Subtyping})$$

$$\begin{array}{c}
\frac{}{\Gamma[a] \vdash a \lesssim a \vdash \Gamma[a]} \text{AS-TVAR} \quad \frac{}{\Gamma \vdash \text{Int} \lesssim \text{Int} \vdash \Gamma} \text{AS-INT} \quad \frac{}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim \widehat{a} \vdash \Gamma[\widehat{a}]} \text{AS-EVAR} \\
\\
\frac{}{\Gamma \vdash \mathcal{S} \lesssim \mathcal{S} \vdash \Gamma} \text{AS-SPAR} \quad \frac{}{\Gamma \vdash \mathcal{G} \lesssim \mathcal{G} \vdash \Gamma} \text{AS-GPAR} \\
\\
\frac{}{\Gamma \vdash \star \lesssim \mathbb{C} \vdash \text{contaminate}(\Gamma, \mathbb{C})} \text{AS-UNKNOWNLL} \quad \frac{}{\Gamma \vdash \mathbb{C} \lesssim \star \vdash \text{contaminate}(\Gamma, \mathbb{C})} \text{AS-UNKNOWNRR} \\
\\
\frac{\Gamma \vdash B_1 \lesssim A_1 \vdash \Theta \quad \Theta \vdash [\Theta]A_2 \lesssim [\Theta]B_2 \vdash \Delta}{\Gamma \vdash A_1 \rightarrow A_2 \lesssim B_1 \rightarrow B_2 \vdash \Delta} \text{AS-ARROW} \quad \frac{\Gamma, a \vdash A \lesssim B \vdash \Delta, a, \Theta}{\Gamma \vdash A \lesssim \forall a. B \vdash \Delta} \text{AS-FORALLR} \\
\\
\frac{\Gamma, \blacktriangleright_{\widehat{a}_S}, \widehat{a}_S \vdash A[a \mapsto \widehat{a}_S] \lesssim B \vdash \Delta, \blacktriangleright_{\widehat{a}_S}, \Theta}{\Gamma \vdash \forall a. A \lesssim B \vdash \Delta} \text{AS-FORALLL} \\
\\
\frac{\widehat{a} \notin \text{FV}(A) \quad \Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \vdash \Delta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \vdash \Delta} \text{AS-INSTL} \quad \frac{\widehat{a} \notin \text{FV}(A) \quad \Gamma[\widehat{a}] \vdash A \lesssim \widehat{a} \vdash \Delta}{\Gamma[\widehat{a}] \vdash A \lesssim \widehat{a} \vdash \Delta} \text{AS-INSTR}
\end{array}$$

Fig. 19. Extended algorithmic consistent subtyping.

While substituting static existential variables with gradual existential variables seems to be intuitively correct, it is rather hard to formulate—not only do we need to perform substitution in  $\mathbb{C}$ , we also need to substitute accordingly in both the input and output contexts to ensure that no existential variables become unbound. However, making such changes is at odds with the interpretation of input contexts: they are “input,” which evolve into output contexts with more variables solved. Therefore, in line with the use of input contexts, a simple solution is to generate a new gradual existential variable and solve the static existential variable to it in the output context, without touching  $\mathbb{C}$  at all. So, we have  $\widehat{a}_S \vdash \star \lesssim \widehat{a}_S \rightarrow \widehat{a}_S \vdash \widehat{a}_G, \widehat{a}_S = \widehat{a}_G$ .

Based on the above discussion, the following defines  $\text{contaminate}(\Gamma, A)$ :

*Definition 9.11.*  $\text{contaminate}(\Gamma, A)$  is defined inductively as follows:

$\text{contaminate}(\bullet, A)$	$=$	$\bullet$
$\text{contaminate}((\Gamma, x : A), A)$	$=$	$\text{contaminate}(\Gamma, A), x : A$
$\text{contaminate}((\Gamma, a), A)$	$=$	$\text{contaminate}(\Gamma, A), a$
$\text{contaminate}((\Gamma, \widehat{a}_S), A)$	$=$	$\text{contaminate}(\Gamma, A), \widehat{a}_G, \widehat{a}_S = \widehat{a}_G$ if $\widehat{a}_S$ occurs in $A$
$\text{contaminate}((\Gamma, \widehat{a}_S), A)$	$=$	$\text{contaminate}(\Gamma, A), \widehat{a}_S$ if $\widehat{a}_S$ does not occur in $A$
$\text{contaminate}((\Gamma, \widehat{a}_G), A)$	$=$	$\text{contaminate}(\Gamma, A), \widehat{a}_G$
$\text{contaminate}((\Gamma, \widehat{a} = \tau), A)$	$=$	$\text{contaminate}(\Gamma, A), \widehat{a} = \tau$
$\text{contaminate}((\Gamma, \blacktriangleright_{\widehat{a}}), A)$	$=$	$\text{contaminate}(\Gamma, A), \blacktriangleright_{\widehat{a}}$

$\text{contaminate}(\Gamma, A)$  solves all static existential variables found within  $A$  to fresh gradual existential variables in  $\Gamma$ . Notice the case for  $\text{contaminate}((\Gamma, \widehat{a}_S), A)$  is exactly what we have just described.

Rule **AS-FORALLL** is slightly different from rule **AS-FORALL** in the original algorithmic system in that we replace  $a$  with a new static existential variable  $\widehat{a}_S$ . Note that  $\widehat{a}_S$  might be solved to a gradual existential variable later. The rest of the rules are the same as those in the original system.

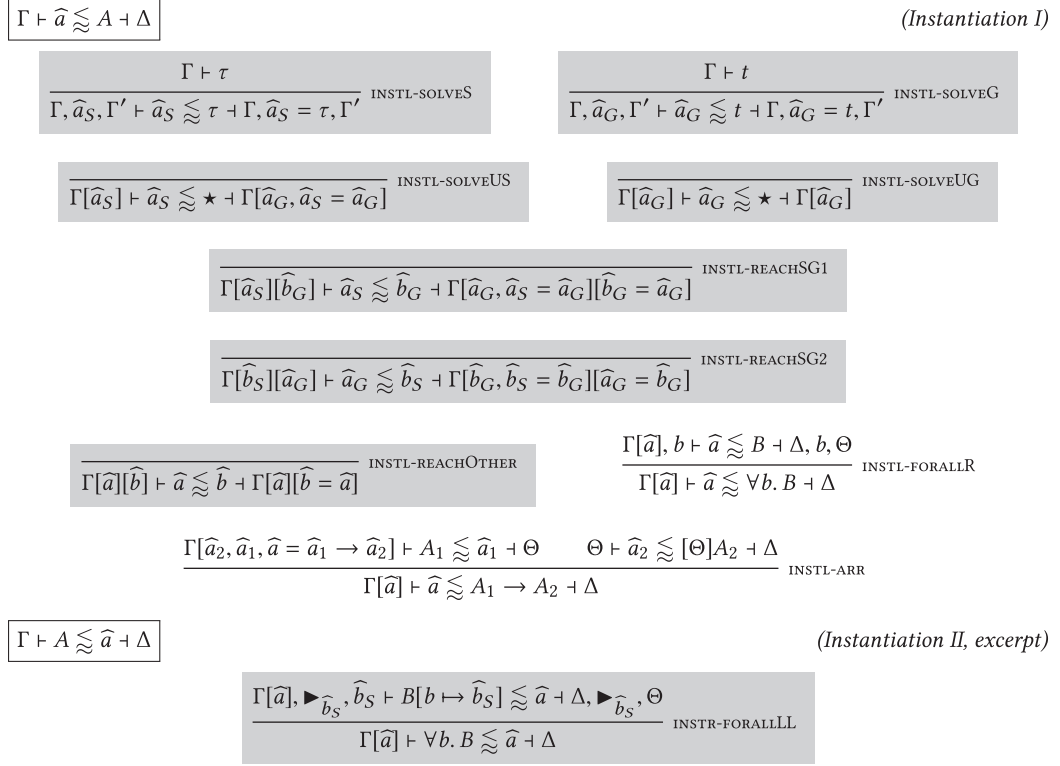


Fig. 20. Instantiation in the extended algorithmic system.

*Extended Instantiation.* The instantiation judgments shown in Figure 20 also change significantly. The complication comes from the fact that now we have two different kinds of existential variables, and the relative order they appear in the context affects their solutions.

Rules **INSTL-SOLVES** and **INSTL-SOLVEG** are the refinement to rule **INSTL-SOLVE** in the original system. The next two rules deal with situations where one side is an existential variable and the other side is an unknown type. Rule **INSTL-SOLVEUS** is a special case of rule **AS-UNKNOWNRR**, where we create a new gradual existential variable  $\widehat{a}_G$  and set the solution of  $\widehat{a}_S$  to be  $\widehat{a}_G$  in the output context. Rule **INSTL-SOLVEUG** is the same as rule **INSTL-SOLVEU** in the original system and simply propagates the input context. The next two rules **INSTL-REACHSG1** and **INSTL-REACHSG2** are a bit involved, but they both answer to the same question: how to solve a gradual existential variable when it is declared after some static existential variable. More concretely, in rule **INSTL-REACHSG1**, we feel that we need to solve  $\widehat{b}_G$  to another existential variable. However, simply setting  $\widehat{b}_G = \widehat{a}_S$  and leaving  $\widehat{a}_S$  untouched in the output context is wrong. The reason is that  $\widehat{b}_G$  could be a gradual existential variable created by rule **AS-UNKNOWNLL/AS-UNKNOWNRR** and solving  $\widehat{b}_G$  to a static existential variable would result in the same problem as we have discussed. Instead, we create another new gradual existential variable  $\widehat{a}_G$  and set the solutions of both  $\widehat{a}_S$  and  $\widehat{b}_G$  to it; similarly in rule **INSTL-REACHSG2**. Rule **INSTL-REACHOTHER** deals with the other cases (e.g.,  $\widehat{a}_S \lesssim \widehat{b}_S$ ,  $\widehat{a}_G \lesssim \widehat{b}_G$  and so on). In those cases, we employ the same strategy as in the original system.

As for the other instantiation judgment, most of the rules are symmetric and thus omitted. The only interesting rule is **INSTR-FORALLLL**, which is similar to what we did for rule **AS-FORALLLL**.



*Algorithmic Typing and Metatheory.* Fortunately, the changes in the algorithmic bidirectional system are minimal: We replace every existential variable with a static existential variable. Furthermore, we proved that the extended algorithmic system is sound and complete with respect to the extended declarative system. The proofs can be found in the Appendix.

*Do We Really Need Type Parameters in the Algorithmic System?* As we mentioned earlier, type parameters in the declarative system are merely an analysis tool, and in practice, type parameters are inaccessible to programmers. For the sake of proving soundness and completeness, we have to endow the algorithmic system with type parameters. However, the algorithmic system already has static and gradual existential variables, which can serve the same purpose. In that regard, we could directly solve every *unsolved* static and gradual existential variable in the output context to  $\text{Int}$  and  $\star$ , respectively.

### 9.5 Restricted Generalization

In Section 5.2, we discussed the issue that the translation produces multiple target expressions due to the different choices for instantiations, and those translations have different dynamic semantics. Besides that, there is another cause for multiple translations: redundant generalization during translation by rule [GEN](#). Consider the simple expression  $(\lambda x : \text{Int}. x) 1$ , the following shows two possible translations:

- $\vdash (\lambda x : \text{Int}. x) 1 : \text{Int} \rightsquigarrow (\lambda x : \text{Int}. x) (\langle \text{Int} \hookrightarrow \text{Int} \rangle 1)$ ,
- $\vdash (\lambda x : \text{Int}. x) 1 : \text{Int} \rightsquigarrow (\lambda x : \text{Int}. x) (\langle \forall a. \text{Int} \hookrightarrow \text{Int} \rangle (\Lambda a. 1))$ .

The difference comes from the fact that in the second translation, we apply rule [GEN](#) while typing 1 to get  $\bullet \vdash 1 : \forall a. \text{Int}$ . As a consequence, the translation of 1 is accompanied by a cast from  $\forall a. \text{Int}$  to  $\text{Int}$ , since the former is a consistent subtype of the latter. This difference is harmless, because obviously these two expressions will reduce to the same value in  $\lambda B$ , thus preserving coherence (up to cast error). While it is not going to break coherence, it does result in multiple representative translations for one expression (e.g., the above two translations are both the representative translations).

There are several ways to make the translation process more deterministic. For example, we can restrict generalization to happen only in let expressions and require let expressions to include annotations, as  $\text{let } x : A = e_1 \text{ in } e_2$ . Another feasible option would be to give a declarative, bidirectional system as the specification (instead of the type assignment one), in the same spirit of Dunfield and Krishnaswami [2013]. Then, we can restrict generalization to be performed through annotations in checking mode.

With restricted generalization, we hypothesize that now each expression has exactly one representative translation (up to renaming of fresh type parameters). Instead of calling it a *representative* translation, we can say it is a *principal* translation. Of course, the above is only a sketch; we have not defined the corresponding rules, nor studied metatheory.

## 10 RELATED WORK

Along the way, we discussed some of the most relevant work to motivate, compare and promote our gradual typing design. In what follows, we briefly discuss related work on gradual typing and polymorphism.

*Gradual Typing.* The seminal paper by Siek and Taha [2006] is the first to propose gradual typing, which enables programmers to mix static and dynamic typing in a program by providing a mechanism to control which parts of a program are statically checked. The original proposal extends

the simply typed lambda calculus by introducing the unknown type  $\star$  and replacing type equality with type consistency. Casts are introduced to mediate between statically and dynamically typed code. Later, Siek and Taha [2007] incorporated gradual typing into a simple object oriented language, and showed that subtyping and consistency are orthogonal—an insight that partly inspired our work. We show that subtyping and consistency are orthogonal in a much richer type system with higher-rank polymorphism. Siek et al. [2009] explores the design space of different dynamic semantics for simply typed lambda calculus with casts and unknown types. In light of the ever-growing popularity of gradual typing, and its somewhat murky theoretical foundations, Siek et al. [2015] felt the urge to have a complete formal characterization of what it means to be gradually typed. They proposed a set of criteria that provides important guidelines for designers of gradually typed languages. Cimini and Siek [2016] introduced the *Gradualizer*, a general methodology for generating gradual type systems from static type systems. Later, they also develop an algorithm to generate dynamic semantics [Cimini and Siek 2017]. Garcia et al. [2016] introduced the AGT approach based on abstract interpretation. As we discussed, none of these approaches instructed us how to define consistent subtyping for polymorphic types.

There is some work on integrating gradual typing with rich type disciplines. Bañados Schwertter et al. [2014] establish a framework to combine gradual typing and effects, with which a static effect system can be transformed to a dynamic effect system or any intermediate blend. Jafery and Dunfield [2017] present a type system with *gradual sums*, which combines refinement and imprecision. We have discussed the interesting definition of *directed consistency* in Section 4. Castagna and Lanvin [2017] develop a gradual type system with intersection and union types, with consistent subtyping defined by following the idea of Garcia et al. [2016]. TypeScript [Bierman et al. 2014] has a distinguished dynamic type, written `any`, whose fundamental feature is that any type can be implicitly converted to and from `any`. Our treatment of the unknown type in Figure 8 is similar to their treatment of `any`. However, their type system does not have polymorphic types. Also, unlike our consistent subtyping, which inserts runtime casts, in TypeScript, type information is erased after compilation so there are no runtime casts, which makes runtime type errors possible.

*Gradual Type Systems with Explicit Polymorphism.* Morris [1973] dynamically enforces parametric polymorphism and uses *sealing* functions as the dynamic type mechanism. More recent works on integrating gradual typing with parametric polymorphism include the dynamic type of Abadi et al. [1995] and the *Sage* language of Gronska et al. [2006]. None of these has carefully studied the interaction between statically and dynamically typed code. Ahmed et al. [2011] proposed  $\lambda B$  that extends the blame calculus [Wadler and Findler 2009] to incorporate polymorphism. The key novelty of their work is to use dynamic sealing to enforce parametricity. As such, they end up with a sophisticated dynamic semantics. Later, Ahmed et al. [2017] prove that with more restrictions,  $\lambda B$  satisfies parametricity. Compared to their work, our type system can catch more errors earlier, since, as we argued, their notion of *compatibility* is too permissive. For example, the following is rejected (more precisely, the corresponding source program never gets elaborated) by our type system:

$$(\lambda x : \star. x + 1) : \forall a. a \rightarrow a \rightsquigarrow \langle \star \rightarrow \text{Int} \hookrightarrow \forall a. a \rightarrow a \rangle (\lambda x : \star. x + 1),$$

while the type system of  $\lambda B$  would accept the translation, though at runtime, the program would result in a cast error as it violates parametricity. We emphasize that it is the combination of our powerful type system together with the powerful dynamic semantics of  $\lambda B$  that makes it possible to have implicit higher-rank polymorphism in a gradually typed setting. Devriese et al. [2017] proved that embedding of System F terms into  $\lambda B$  is not fully abstract. Igarashi et al. [2017] also studied integrating gradual typing with parametric polymorphism. They proposed System  $F_G$ , a gradually typed extension of System F, and System  $F_C$ , a new polymorphic blame calculus. As

has been discussed extensively, their definition of type consistency does not apply to our setting (implicit polymorphism). All of these approaches mix consistency with subtyping to some extent, which we argue should be orthogonal. On a side note, it seems that our calculus can also be safely translated to System  $F_C$ . However, we do not understand all the tradeoffs involved in the choice between  $\lambda B$  and System  $F_C$  as a target.

*Gradual Type Inference.* Siek and Vachharajani [2008] studied unification-based type inference for gradual typing, where they show why three straightforward approaches fail to meet their design goals. One of their main observations is that simply ignoring dynamic types during unification does not work. Therefore, their type system assigns unknown types to type variables and infers gradual types, which results in a complicated type system and inference algorithm. In our algorithm presented in Section 9, comparisons between existential variables and unknown types are emphasized by the distinction between static existential variables and gradual existential variables. By syntactically refining unsolved gradual existential variables with unknown types, we gain a similar effect as assigning unknown types, while keeping the algorithm relatively simple. Garcia and Cimini [2015] presented a new approach where gradual type inference only produces static types, which is adopted in our type system. They also deal with let-polymorphism (rank 1 types). They proposed the distinction between static and gradual type parameters, which inspired our extension to restore the dynamic gradual guarantee. Although those existing works all involve gradual types and inference, none of these works deal with higher-rank implicit polymorphism.

*Higher-rank Implicit Polymorphism.* Odersky and Läufer [1996] introduced a type system for higher-rank implicit polymorphic types. Based on that, Peyton Jones et al. [2007] developed an approach for type checking higher-rank predicative polymorphism. Dunfield and Krishnaswami [2013] proposed a bidirectional account of higher-rank polymorphism, and an algorithm for implementing the declarative system, which serves as the main inspiration for our algorithmic system. The key difference, however, is the integration of gradual typing. As our work, those works are in a *predicative* setting, since complete type inference for higher-rank types in an *impredicative* setting is undecidable. Still, there are many type systems trying to infer some impredicative types, such as  $ML^F$  [Le Botlan and Rémy 2003, 2009; Rémy and Yakobowski 2008], the HML system [Leijen 2009], the FPH system [Vytiniotis et al. 2008], and so on. Those type systems usually end up with non-standard System F types and sophisticated forms of type inference.

## 11 CONCLUSION

In this article, we have presented a generalized definition of consistent subtyping that works for polymorphic types. Based on this new definition, we have developed GPC: a gradually typed calculus with predicative implicit higher-rank polymorphism, and corresponding algorithms that can be used to implement the calculus.

As far as we know, our work is the first to integrate gradual typing with implicit (higher-rank) polymorphism, which we believe is a major step towards gradualizing modern functional languages, such as Haskell. Moreover, our extension with type parameters and the extensive discussion of related properties (e.g., representative translations) provides insight into the dynamic semantics for gradual languages with implicit polymorphism. With respect to the dynamic gradual guarantee, we discuss an extension of the calculus with static and gradual type parameters. We propose a variant of the dynamic gradual guarantee with representative translations. Then, we show that our calculus supports this property if: (1)  $\lambda B$  does indeed have the dynamic gradual guarantee (which is unknown at the time of writing); and (2) our coherence conjecture can be proved.

As future work, we want to investigate whether our notion of consistent subtyping has a more fundamental conceptual explanation, for example, whether it coincides with AGT on polymorphic types. It is also interesting to see whether our results can scale to real-world languages (e.g., Haskell) and other programming language features, such as recursive types, union types and intersection types. Recent work by Castagna and Lanvin [2017] on gradual typing with union and intersection types in a simply typed setting may shed some light on this direction.

## APPENDICES

### A SOME PROOFS ABOUT THE DECLARATIVE SYSTEM

LEMMA 5.2. *If  $\Psi \vdash e : A \rightsquigarrow s_1$ , and  $\Psi \vdash e : A \rightsquigarrow s_2$ , then  $\lfloor s_1 \rfloor \equiv_\alpha \lfloor s_2 \rfloor$ .*

PROOF. By straightforward induction on the typing derivation.  $\square$

LEMMA 5.3 (COHERENCE UP TO CAST ERRORS). *For any expression  $e$  such that  $\Psi \vdash e : A \rightsquigarrow s_1$  and  $\Psi \vdash e : A \rightsquigarrow s_2$ , we have  $\Psi \vdash s_1 \preceq_{ctx} s_2 : A$ .*

PROOF. According to Lemma 5.2, after erasure of types and casts,  $C\{s_1\}$  and  $C\{s_2\}$  are equivalent. So if  $C\{s_1\} \Downarrow n$ , it is impossible for  $C\{s_2\}$  to reduce to a different integer according to the dynamic semantics.  $\square$

PROPOSITION 8.1 (EXTENSION WITH  $\top$ ).  $\Psi \vdash A \lesssim B \Leftrightarrow \Psi \vdash A <: C, C \sim D, \Psi \vdash D <: B$ , for some  $C, D$ .

PROOF.

- From first to second: By induction on the derivation of consistent subtyping. We have extra case rule **CS-Top** now, where  $B = \top$ . We can choose  $C = A$ , and  $D$  by replacing the unknown types in  $C$  by  $\text{Int}$ . Namely,  $D$  is a static type, so by rule **S-Top**, we are done.
- From second to first: By induction on the derivation of second subtyping. We have extra case rule **S-Top** now, where  $B = \top$ , so  $A \lesssim B$  holds by rule **CS-Top**.  $\square$

PROPOSITION 8.2 (EQUIVALENT TO AGT ON  $\top$ ).  $A \lesssim B$  if only if  $A \tilde{<} B$ .

PROOF.

- From left to right: By induction on the derivation of consistent subtyping. We have case rule **CS-Top** now. It follows that for every static type  $A_1 \in \gamma(A)$ , we can derive  $A_1 <: \top$  by rule **S-Top**. We have  $B_1 = B = \top$ , and we are done.
- From right to left: By induction on the derivation of subtyping and inversion on the concretization. We have extra case rule **S-Top** now, where  $B$  is  $\top$ . So consistent subtyping directly holds.  $\square$

PROPOSITION 9.4. *If  $s_1 \leq s_2$  and  $s_2 \leq s_1$ , then  $s_1$  and  $s_2$  are  $\alpha$ -equivalent (i.e., equivalent up to renaming of type parameters).*

PROOF. Follows directly from the definition of Translation Pre-order.  $\square$

*Definition A.1 (Measurements of Translation).* There are three measurements of a translation  $s$ ,

- (1)  $\llbracket s \rrbracket_{\mathcal{E}}$ , the size of the expression;
- (2)  $\llbracket s \rrbracket_{\mathcal{S}}$ , the number of distinct static type parameters in  $s$ ;
- (3)  $\llbracket s \rrbracket_{\mathcal{G}}$ , the number of distinct gradual type parameters in  $s$ .

We use  $\llbracket s \rrbracket$  to denote the lexicographical order of the triple  $(\llbracket s \rrbracket_{\mathcal{E}}, -\llbracket s \rrbracket_{\mathcal{S}}, -\llbracket s \rrbracket_{\mathcal{G}})$ .

*Definition A.2 (Size of types).*

$$\begin{aligned}
 \llbracket \text{Int} \rrbracket &= 1, \\
 \llbracket a \rrbracket &= 1, \\
 \llbracket A \rightarrow B \rrbracket &= \llbracket A \rrbracket + \llbracket B \rrbracket + 1, \\
 \llbracket \forall a. A \rrbracket &= \llbracket A \rrbracket + 1, \\
 \llbracket \star \rrbracket &= 1, \\
 \llbracket \mathcal{S} \rrbracket &= 1, \\
 \llbracket \mathcal{G} \rrbracket &= 1.
 \end{aligned}$$

*Definition A.3 (Size of expressions).*

$$\begin{aligned}
 \llbracket x \rrbracket_{\mathcal{E}} &= 1, \\
 \llbracket n \rrbracket_{\mathcal{E}} &= 1, \\
 \llbracket \lambda x : A. s \rrbracket_{\mathcal{E}} &= \llbracket A \rrbracket + \llbracket s \rrbracket_{\mathcal{E}} + 1, \\
 \llbracket \Lambda a. s \rrbracket_{\mathcal{E}} &= \llbracket s \rrbracket_{\mathcal{E}} + 1, \\
 \llbracket s_1 s_2 \rrbracket_{\mathcal{E}} &= \llbracket s_1 \rrbracket_{\mathcal{E}} + \llbracket s_2 \rrbracket_{\mathcal{E}} + 1, \\
 \llbracket \langle A \hookrightarrow B \rangle s \rrbracket_{\mathcal{E}} &= \llbracket s \rrbracket_{\mathcal{E}} + \llbracket A \rrbracket + \llbracket B \rrbracket + 1.
 \end{aligned}$$

LEMMA A.4. *If  $\Psi \vdash e : A \rightsquigarrow s$ , then  $\llbracket s \rrbracket_{\mathcal{E}} \geq \llbracket e \rrbracket_{\mathcal{E}}$ .*

PROOF. Immediate by inspecting each typing rule.  $\square$

COROLLARY A.5. *If  $\Psi \vdash e : A \rightsquigarrow s$ , then  $\llbracket s \rrbracket > (\llbracket e \rrbracket_{\mathcal{E}}, -\llbracket e \rrbracket_{\mathcal{E}}, -\llbracket e \rrbracket_{\mathcal{E}})$ .*

PROOF. By Lemma A.4 and note that  $\llbracket s \rrbracket_{\mathcal{E}} > \llbracket s \rrbracket_{\mathcal{S}}$  and  $\llbracket s \rrbracket_{\mathcal{E}} > \llbracket s \rrbracket_{\mathcal{G}}$ .  $\square$

LEMMA A.6.  $\llbracket A \rrbracket \leq \llbracket S^{\mathcal{P}}(A) \rrbracket$ .

PROOF. By induction on the structure of  $A$ . The interesting cases are  $A = \mathcal{S}$  and  $A = \mathcal{G}$ . When  $A = \mathcal{S}$ ,  $S^{\mathcal{P}}(A) = \tau$  for some monotype  $\tau$  and it is immediate that  $\llbracket \mathcal{S} \rrbracket \leq \llbracket \tau \rrbracket$  (note that  $\llbracket \mathcal{S} \rrbracket < \llbracket \mathcal{G} \rrbracket$  by definition).  $\square$

LEMMA A.7 (SUBSTITUTION DECREASES MEASUREMENT). *If  $s_1 \leq s_2$ , then  $\llbracket s_1 \rrbracket \leq \llbracket s_2 \rrbracket$ ; unless  $s_2 \leq s_1$  also holds, otherwise, we have  $\llbracket s_1 \rrbracket < \llbracket s_2 \rrbracket$ .*

PROOF. Since  $s_1 \leq s_2$ , we know  $s_2 = S^{\mathcal{P}}(s_1)$  for some  $S^{\mathcal{P}}$ . By induction on the structure of  $s_1$ .

- Case  $s_1 = \lambda x : A. s$ . We have  $s_2 = \lambda x : S^{\mathcal{P}}(A). S^{\mathcal{P}}(s)$ . By Lemma A.6, we have  $\llbracket A \rrbracket \leq \llbracket S^{\mathcal{P}}(A) \rrbracket$ . By i.h., we have  $\llbracket s \rrbracket \leq \llbracket S^{\mathcal{P}}(s) \rrbracket$ . Therefore,  $\llbracket \lambda x : A. s \rrbracket \leq \llbracket \lambda x : S^{\mathcal{P}}(A). S^{\mathcal{P}}(s) \rrbracket$ .
- Case  $s_1 = \langle A \hookrightarrow B \rangle s$ . We have  $s_2 = \langle S^{\mathcal{P}}(A) \hookrightarrow S^{\mathcal{P}}(B) \rangle S^{\mathcal{P}}(s)$ . By Lemma A.6, we have  $\llbracket A \rrbracket \leq \llbracket S^{\mathcal{P}}(A) \rrbracket$  and  $\llbracket B \rrbracket \leq \llbracket S^{\mathcal{P}}(B) \rrbracket$ . By i.h., we have  $\llbracket s \rrbracket \leq \llbracket S^{\mathcal{P}}(s) \rrbracket$ . Therefore,  $\llbracket \langle A \hookrightarrow B \rangle s \rrbracket \leq \llbracket \langle S^{\mathcal{P}}(A) \hookrightarrow S^{\mathcal{P}}(B) \rangle S^{\mathcal{P}}(s) \rrbracket$ .
- The rest of cases are immediate.  $\square$

LEMMA 9.9 (REPRESENTATIVE TRANSLATION FOR TYPING). *For any typing derivation  $\Psi \vdash e : A$  there exists at least one representative translation  $r$  such that  $\Psi \vdash e : A \rightsquigarrow r$ .*

PROOF. We already know that at least one translation  $s = s_1$  exists for every typing derivation. If  $s_1$  is a representative translation, then we are done. Otherwise, there exists another translation  $s_2$  such that  $s_2 \leq s_1$  and  $s_1 \not\leq s_2$ . By Lemma A.7, we have  $\llbracket s_2 \rrbracket < \llbracket s_1 \rrbracket$ . We continue with  $s = s_2$ , and

get a strictly decreasing sequence  $\llbracket s_1 \rrbracket, \llbracket s_2 \rrbracket, \dots$ . By Corollary A.5, we know this sequence cannot be infinite long. Suppose it ends at  $\llbracket s_j \rrbracket$ , by the construction of the sequence, we know that  $s_j$  is a representative translation of  $e$ .  $\square$

## B THE EXTENDED ALGORITHMIC SYSTEM

### B.1 Syntax

Expressions	$e$	$::=$	$x \mid n \mid \lambda x : A. e \mid \lambda x. e \mid e_1 e_2 \mid e : A \mid \text{let } x = e_1 \text{ in } e_2$
Types	$A, B$	$::=$	$\text{Int} \mid a \mid \widehat{a} \mid A \rightarrow B \mid \forall a. A \mid \star \mid \mathcal{S} \mid \mathcal{G}$
Monotypes	$\tau, \sigma$	$::=$	$\text{Int} \mid a \mid \widehat{a} \mid \tau \rightarrow \sigma \mid \mathcal{S} \mid \mathcal{G}$
Existential variables	$\widehat{a}$	$::=$	$\widehat{a}_S \mid \widehat{a}_G$
Castable Types	$\mathbb{C}$	$::=$	$\text{Int} \mid a \mid \widehat{a} \mid \mathbb{C}_1 \rightarrow \mathbb{C}_2 \mid \forall a. \mathbb{C} \mid \star \mid \mathcal{G}$
Castable Monotypes	$t$	$::=$	$\text{Int} \mid a \mid \widehat{a} \mid t_1 \rightarrow t_2 \mid \mathcal{G}$
Algorithmic Contexts	$\Gamma, \Delta, \Theta$	$::=$	$\bullet \mid \Gamma, x : A \mid \Gamma, a \mid \Gamma, \widehat{a} \mid \Gamma, \widehat{a}_S = \tau \mid \Gamma, \widehat{a}_G = t \mid \Gamma, \blacktriangleright_{\widehat{a}}$
Complete Contexts	$\Omega$	$::=$	$\bullet \mid \Omega, x : A \mid \Omega, a \mid \Omega, \widehat{a}_S = \tau \mid \Omega, \widehat{a}_G = t \mid \Omega, \blacktriangleright_{\widehat{a}}$

### B.2 Type System

$\Gamma \vdash A \lesssim B \vdash \Delta$  (Algorithmic Consistent Subtyping)

$$\begin{array}{c}
\frac{}{\Gamma[a] \vdash a \lesssim a \vdash \Gamma[a]} \text{AS-TVAR} \quad \frac{}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim \widehat{a} \vdash \Gamma[\widehat{a}]} \text{AS-EVAR} \quad \frac{}{\Gamma \vdash \text{Int} \lesssim \text{Int} \vdash \Gamma} \text{AS-INT} \\
\\
\frac{\Gamma \vdash B_1 \lesssim A_1 \vdash \Theta \quad \Theta \vdash [\Theta]A_2 \lesssim [\Theta]B_2 \vdash \Delta}{\Gamma \vdash A_1 \rightarrow A_2 \lesssim B_1 \rightarrow B_2 \vdash \Delta} \text{AS-ARROW} \quad \frac{\Gamma, a \vdash A \lesssim B \vdash \Delta, a, \Theta}{\Gamma \vdash A \lesssim \forall a. B \vdash \Delta} \text{AS-FORALLR} \\
\\
\frac{\Gamma, \blacktriangleright_{\widehat{a}_S}, \widehat{a}_S \vdash A[a \mapsto \widehat{a}_S] \lesssim B \vdash \Delta, \blacktriangleright_{\widehat{a}_S}, \Theta}{\Gamma \vdash \forall a. A \lesssim B \vdash \Delta} \text{AS-FORALLL} \quad \frac{}{\Gamma \vdash \mathcal{S} \lesssim \mathcal{S} \vdash \Gamma} \text{AS-SPAR} \\
\\
\frac{}{\Gamma \vdash \mathcal{G} \lesssim \mathcal{G} \vdash \Gamma} \text{AS-GPAR} \quad \frac{}{\Gamma \vdash \star \lesssim \mathbb{C} \vdash \text{contaminate}(\Gamma, \mathbb{C})} \text{AS-UNKNOWNLL} \\
\\
\frac{}{\Gamma \vdash \mathbb{C} \lesssim \star \vdash \text{contaminate}(\Gamma, \mathbb{C})} \text{AS-UNKNOWNRR} \quad \frac{\widehat{a} \notin \text{fv}(A) \quad \Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \vdash \Delta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \vdash \Delta} \text{AS-INSTL} \\
\\
\frac{\widehat{a} \notin \text{fv}(A) \quad \Gamma[\widehat{a}] \vdash A \lesssim \widehat{a} \vdash \Delta}{\Gamma[\widehat{a}] \vdash A \lesssim \widehat{a} \vdash \Delta} \text{AS-INSTR}
\end{array}$$

$\Gamma \vdash \widehat{a} \lesssim A \vdash \Delta$  (Instantiation I)

$$\begin{array}{c}
\frac{\Gamma \vdash \tau}{\Gamma, \widehat{a}_S, \Gamma' \vdash \widehat{a}_S \lesssim \tau \vdash \Gamma, \widehat{a}_S = \tau, \Gamma'} \text{INSTL-SOLVES} \quad \frac{\Gamma \vdash t}{\Gamma, \widehat{a}_G, \Gamma' \vdash \widehat{a}_G \lesssim t \vdash \Gamma, \widehat{a}_G = t, \Gamma'} \text{INSTL-SOLVEG} \\
\\
\frac{}{\Gamma[\widehat{a}_S] \vdash \widehat{a}_S \lesssim \star \vdash \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G]} \text{INSTL-SOLVEUS} \quad \frac{}{\Gamma[\widehat{a}_G] \vdash \widehat{a}_G \lesssim \star \vdash \Gamma[\widehat{a}_G]} \text{INSTL-SOLVEUG} \\
\\
\frac{}{\Gamma[\widehat{a}_S][\widehat{b}_G] \vdash \widehat{a}_S \lesssim \widehat{b}_G \vdash \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G][\widehat{b}_G = \widehat{a}_G]} \text{INSTL-REACHSG1}
\end{array}$$

$$\begin{array}{c}
\frac{}{\Gamma[\widehat{b}_S][\widehat{a}_G] \vdash \widehat{a}_G \lesssim \widehat{b}_S \dashv \Gamma[\widehat{b}_G, \widehat{b}_S = \widehat{b}_G][\widehat{a}_G = \widehat{b}_G]} \text{INSTL-REACHSG2} \\
\\
\frac{}{\Gamma[\widehat{a}][\widehat{b}] \vdash \widehat{a} \lesssim \widehat{b} \dashv \Gamma[\widehat{a}][\widehat{b} = \widehat{a}]} \text{INSTL-REACHOTHER} \\
\\
\frac{\Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2] \vdash A_1 \lesssim \widehat{a}_1 \dashv \Theta \quad \Theta \vdash \widehat{a}_2 \lesssim [\Theta]A_2 \dashv \Delta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A_1 \rightarrow A_2 \dashv \Delta} \text{INSTL-ARR} \\
\\
\frac{\Gamma[\widehat{a}], b \vdash \widehat{a} \lesssim B \dashv \Delta, b, \Theta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim \forall b. B \dashv \Delta} \text{INSTL-FORALLR}
\end{array}$$

$$\boxed{\Gamma \vdash A \lesssim \widehat{a} \dashv \Delta}$$

(Instantiation II)

$$\begin{array}{c}
\frac{\Gamma \vdash \tau}{\Gamma, \widehat{a}_S, \Gamma' \vdash \tau \lesssim \widehat{a}_S \dashv \Gamma, \widehat{a}_S = \tau, \Gamma'} \text{INSTR-SOLVES} \quad \frac{\Gamma \vdash t}{\Gamma, \widehat{a}_G, \Gamma' \vdash t \lesssim \widehat{a}_G \dashv \Gamma, \widehat{a}_G = t, \Gamma'} \text{INSTR-SOLVEG} \\
\\
\frac{}{\Gamma[\widehat{a}_S] \vdash \star \lesssim \widehat{a}_S \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G]} \text{INSTR-SOLVEUS} \quad \frac{}{\Gamma[\widehat{a}_G] \vdash \star \lesssim \widehat{a}_G \dashv \Gamma[\widehat{a}_G]} \text{INSTR-SOLVEUG} \\
\\
\frac{}{\Gamma[\widehat{a}_S][\widehat{b}_G] \vdash \widehat{b}_G \lesssim \widehat{a}_S \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G][\widehat{b}_G = \widehat{a}_G]} \text{INSTR-REACHSG1} \\
\\
\frac{}{\Gamma[\widehat{b}_S][\widehat{a}_G] \vdash \widehat{b}_S \lesssim \widehat{a}_G \dashv \Gamma[\widehat{b}_G, \widehat{b}_S = \widehat{b}_G][\widehat{a}_G = \widehat{b}_G]} \text{INSTR-REACHSG2} \\
\\
\frac{}{\Gamma[\widehat{a}][\widehat{b}] \vdash \widehat{b} \lesssim \widehat{a} \dashv \Gamma[\widehat{a}][\widehat{b} = \widehat{a}]} \text{INSTR-REACHOTHER} \\
\\
\frac{\Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2] \vdash \widehat{a}_1 \lesssim A_1 \dashv \Theta \quad \Theta \vdash [\Theta]A_2 \lesssim \widehat{a}_2 \dashv \Delta}{\Gamma[\widehat{a}] \vdash A_1 \rightarrow A_2 \lesssim \widehat{a} \dashv \Delta} \text{INSTR-ARR} \\
\\
\frac{\Gamma[\widehat{a}], \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S \vdash B[b \mapsto \widehat{b}_S] \lesssim \widehat{a} \dashv \Delta, \blacktriangleright_{\widehat{b}_S}, \Theta}{\Gamma[\widehat{a}] \vdash \forall b. B \lesssim \widehat{a} \dashv \Delta} \text{INSTR-FORALLLL}
\end{array}$$

$$\boxed{\Gamma \vdash e \Rightarrow A \dashv \Delta}$$

(Inference)

$$\begin{array}{c}
\frac{(x : A) \in \Gamma}{\Gamma \vdash x \Rightarrow A \dashv \Gamma} \text{INF-VAR} \quad \frac{}{\Gamma \vdash n \Rightarrow \text{Int} \dashv \Gamma} \text{INF-INT} \\
\\
\frac{\Gamma \vdash A \quad \Gamma, \widehat{b}_S, x : A \vdash e \Leftarrow \widehat{b}_S \dashv \Delta, x : A, \Theta}{\Gamma \vdash \lambda x : A. e \Rightarrow A \rightarrow \widehat{b}_S \dashv \Delta} \text{INF-LAMANN2} \\
\\
\frac{\Gamma, \widehat{a}_S, \widehat{b}_S, x : \widehat{a}_S \vdash e \Leftarrow \widehat{b}_S \dashv \Delta, x : \widehat{a}_S, \Theta}{\Gamma \vdash \lambda x. e \Rightarrow \widehat{a}_S \rightarrow \widehat{b}_S \dashv \Delta} \text{INF-LAM2} \quad \frac{\Gamma \vdash A \quad \Gamma \vdash e \Leftarrow A \dashv \Delta}{\Gamma \vdash e : A \Rightarrow A \dashv \Delta} \text{INF-ANNO}
\end{array}$$



$$\frac{\Gamma \vdash e_1 \Rightarrow A \vdash \Theta_1 \quad \Theta_1 \vdash [\Theta_1]A \triangleright A_1 \rightarrow A_2 \vdash \Theta_2 \quad \Theta_2 \vdash e_2 \Leftarrow [\Theta_2]A_1 \vdash \Delta}{\Gamma \vdash e_1 e_2 \Rightarrow A_2 \vdash \Delta} \text{INF-APP}$$

$$\frac{\Gamma \vdash e_1 \Rightarrow A \vdash \Theta_1 \quad \Theta_1, \widehat{a}_S, x : A \vdash e_2 \Leftarrow \widehat{a}_S \vdash \Delta, x : A, \Theta_2}{\Gamma \vdash \text{let } x = e_1 \text{ in } e_2 \Rightarrow \widehat{a}_S \vdash \Delta} \text{INF-LET2}$$

$$\boxed{\Gamma \vdash e \Leftarrow A \vdash \Delta} \quad (\text{Checking})$$

$$\frac{\Gamma, x : A \vdash e \Leftarrow B \vdash \Delta, x : A, \Theta}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \vdash \Delta} \text{CHK-LAM}$$

$$\frac{\Gamma, a \vdash e \Leftarrow A \vdash \Delta, a, \Theta}{\Gamma \vdash e \Leftarrow \forall a. A \vdash \Delta} \text{CHK-GEN}$$

$$\frac{\Gamma \vdash e \Rightarrow A \vdash \Theta \quad \Theta \vdash [\Theta]A \lesssim [\Theta]B \vdash \Delta}{\Gamma \vdash e \Leftarrow B \vdash \Delta} \text{CHK-SUB}$$

$$\boxed{\Gamma \vdash A \triangleright A_1 \rightarrow A_2 \vdash \Delta} \quad (\text{Algorithmic Matching})$$

$$\frac{\Gamma, \widehat{a}_S \vdash A[a \mapsto \widehat{a}_S] \triangleright A_1 \rightarrow A_2 \vdash \Delta}{\Gamma \vdash \forall a. A \triangleright A_1 \rightarrow A_2 \vdash \Delta} \text{AM-FORALL}$$

$$\frac{}{\Gamma \vdash A_1 \rightarrow A_2 \triangleright A_1 \rightarrow A_2 \vdash \Gamma} \text{AM-ARR}$$

$$\frac{}{\Gamma \vdash \star \triangleright \star \rightarrow \star \vdash \Gamma} \text{AM-UNKNOWN}$$

$$\frac{}{\Gamma[\widehat{a}] \vdash \widehat{a} \triangleright \widehat{a}_1 \rightarrow \widehat{a}_2 \vdash \Gamma[\widehat{a}_1, \widehat{a}_2, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]} \text{AM-VAR}$$

## C DECIDABILITY

The decidability proofs mostly follow that of DK system. Whenever possible, we only show the new cases; otherwise, we provide full detailed proofs.

### C.1 Decidability of Instantiation

LEMMA C.1 (LEFT UNSOLVEDNESS PRESERVATION). *Let  $\Gamma = \Gamma_0, \widehat{a}, \Gamma_1$ . If  $\Gamma \vdash \widehat{a} \lesssim A \vdash \Delta$  or  $\Gamma \vdash A \lesssim \widehat{a} \vdash \Delta$ , and  $\widehat{b} \in \text{UNSOLVED}(\Gamma_0)$ , then  $\Delta = (\Delta_0, \widehat{b}, \Delta_1)$  or  $\Delta = (\Delta_0, \widehat{b}', \widehat{b} = \widehat{b}', \Delta_1)$  where  $\widehat{b}'$  is a fresh unsolved existential.*

PROOF. By induction on the given derivation. We show the new cases.

- Case

$$\frac{}{\Gamma_0, \widehat{a}_S, \Gamma_1 \vdash \widehat{a}_S \lesssim \star \vdash \Gamma_0, \widehat{a}_G, \widehat{a}_S = \widehat{a}_G, \Gamma_1} \text{INST-SOLVEUS}$$

First notice that  $\widehat{b}$  cannot be  $\widehat{a}_G$ . Then to the left of  $\widehat{a}_S$ , the contexts  $\Delta$  and  $\Gamma$  are the same  $\Gamma_0$ .

- Case

$$\frac{}{\Gamma[\widehat{a}_G] \vdash \widehat{a}_G \lesssim \star \vdash \Gamma[\widehat{a}_G]} \text{INST-SOLVEUG}$$

Immediate, since to the left of  $\widehat{a}_G$ , the contexts  $\Delta$  and  $\Gamma$  are the same.

- Case

$$\frac{}{\Gamma[\widehat{a}_S][\widehat{b}_G] \vdash \widehat{a}_S \lesssim \widehat{b}_G \vdash \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G][\widehat{b}_G = \widehat{a}_G]} \text{INST-REACHSG1}$$

First notice that  $\widehat{b}$  cannot be  $\widehat{a}_G$ . Then to the left of  $\widehat{a}_S$ , the contexts  $\Delta$  and  $\Gamma$  are the same.

- Case

$$\frac{}{\Gamma[\widehat{b}_S][\widehat{a}_G] \vdash \widehat{a}_G \lesssim \widehat{b}_S \dashv \Gamma[\widehat{b}_G, \widehat{b}_S = \widehat{b}_G][\widehat{a}_G = \widehat{b}_G]} \text{INSTL-REACHSG2}$$

If  $\widehat{b} \neq \widehat{b}_S$ , immediate, since to the left of  $\widehat{a}_G$  ( $\widehat{b}$  cannot be  $\widehat{b}_G$ ), the contexts  $\Delta$  and  $\Gamma$  are the same. Otherwise,  $\widehat{b}_S$ 's solution (i.e.,  $\widehat{b}_G$ ) is a fresh unsolved existential that lies just before  $\widehat{b}_S$ .

- Case **INSTR-SOLVEUS** is similar to case **INSTL-SOLVEUS**.
- Case **INSTR-SOLVEUG** is similar to case **INSTL-SOLVEUG**.
- Case **INSTR-REACHSG1** is similar to case **INSTL-REACHSG1**.
- Case **INSTR-REACHSG2** is similar to case **INSTL-REACHSG2**.  $\square$

**LEMMA C.2 (LEFT FREE VARIABLE PRESERVATION).** *Let  $\Gamma = \Gamma_0, \widehat{a}, \Gamma_1$ . If  $\Gamma \vdash \widehat{a} \lesssim A \dashv \Delta$  or  $\Gamma \vdash A \lesssim \widehat{a} \dashv \Delta$ , and  $\Gamma \vdash B$  and  $\widehat{a} \notin \text{FV}([\Gamma]B)$  and  $\widehat{b} \in \text{UNSOLVED}(\Gamma_0)$  and  $\widehat{b} \notin \text{FV}([\Gamma]B)$ , then  $\widehat{b} \notin \text{FV}([\Delta]B)$ .*

**PROOF.** By induction on the given derivation. We show the new cases.

- Case

$$\frac{}{\Gamma[\widehat{a}_S] \vdash \widehat{a}_S \lesssim \star \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G]} \text{INSTL-SOLVEUS}$$

Since  $\Delta$  differs from  $\Gamma$  only in solving  $\widehat{a}_S$  to  $\widehat{a}_G$ , and  $\widehat{a}_G$  is fresh, applying  $\Delta$  to a type will not introduce  $\widehat{b}$ . We have  $\widehat{b} \notin \text{FV}([\Gamma]B)$ , so  $\widehat{b} \notin \text{FV}([\Delta]B)$ .

- Case

$$\frac{}{\Gamma[\widehat{a}_G] \vdash \widehat{a}_G \lesssim \star \dashv \Gamma[\widehat{a}_G]} \text{INSTL-SOLVEUG}$$

Immediate, since  $\Delta$  and  $\Gamma$  are the same.

- Case

$$\frac{}{\Gamma[\widehat{a}_S][\widehat{b}_G] \vdash \widehat{a}_S \lesssim \widehat{b}_G \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G][\widehat{b}_G = \widehat{a}_G]} \text{INSTL-REACHSG1}$$

Since  $\Delta$  differs from  $\Gamma$  only in solving  $\widehat{a}_S$  and  $\widehat{b}_G$  to  $\widehat{a}_G$ , and  $\widehat{a}_G$  is fresh, applying  $\Delta$  to a type will not introduce  $\widehat{b}$ . We have  $\widehat{b} \notin \text{FV}([\Gamma]B)$ , so  $\widehat{b} \notin \text{FV}([\Delta]B)$ .

- Case

$$\frac{}{\Gamma[\widehat{b}_S][\widehat{a}_G] \vdash \widehat{a}_G \lesssim \widehat{b}_S \dashv \Gamma[\widehat{b}_G, \widehat{b}_S = \widehat{b}_G][\widehat{a}_G = \widehat{b}_G]} \text{INSTL-REACHSG2}$$

Since  $\Delta$  differs from  $\Gamma$  only in solving  $\widehat{b}_S$  and  $\widehat{a}_G$  to  $\widehat{b}_G$ , and  $\widehat{b}_G$  is fresh, applying  $\Delta$  to a type will not introduce  $\widehat{b}$ . We have  $\widehat{b} \notin \text{FV}([\Gamma]B)$ , so  $\widehat{b} \notin \text{FV}([\Delta]B)$ .

- Case **INSTR-SOLVEUS** is similar to case **INSTL-SOLVEUS**.
- Case **INSTR-SOLVEUG** is similar to case **INSTL-SOLVEUG**.
- Case **INSTR-REACHSG1** is similar to case **INSTL-REACHSG1**.
- Case **INSTR-REACHSG2** is similar to case **INSTL-REACHSG2**.  $\square$

**LEMMA C.3 (INSTANTIATION SIZE PRESERVATION).** *If  $\Gamma = \Gamma_0, \widehat{a}, \Gamma_1$  and  $\Gamma \vdash \widehat{a} \lesssim A \dashv \Delta$  or  $\Gamma \vdash A \lesssim \widehat{a} \dashv \Delta$ , and  $\Gamma \vdash B$  and  $\widehat{a} \notin \text{FV}([\Gamma]B)$ , then  $|\Gamma B| = |\Delta B|$ , where  $|C|$  is the plain size of  $C$ .*

**PROOF.** By induction on the given derivation. We show the new cases.

- Case

$$\frac{}{\Gamma[\widehat{a}_S] \vdash \widehat{a}_S \lesssim \star \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G]} \text{INSTL-SOLVEUS}$$

Since  $\Delta$  differs  $\Gamma$  only in solving  $\widehat{a}_S$ , and we know  $\widehat{a}_S \notin \text{FV}([\Gamma]B)$ , we have  $[\Delta]B = [\Gamma]B$ , so  $|\Gamma B| = |\Delta B|$ .

- Case

$$\frac{}{\Gamma[\widehat{a}_G] \vdash \widehat{a}_G \lesssim \star \dashv \Gamma[\widehat{a}_G]} \text{INSTL-SOLVEUG}$$

Immediate, since  $\Delta$  and  $\Gamma$  are the same.

- Case

$$\frac{}{\Gamma[\widehat{a}_S][\widehat{b}_G] \vdash \widehat{a}_S \lesssim \widehat{b}_G \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G][\widehat{b}_G = \widehat{a}_G]} \text{INSTL-REACHSG1}$$

Since  $\Delta$  differs  $\Gamma$  only in solving  $\widehat{a}_S$  and  $\widehat{b}_G$ , and we know  $\widehat{a}_S \notin \text{FV}([\Gamma]B)$ , even if  $\widehat{b}_G$  occurs in  $[\Gamma]B$ , its solution is again an existential variable, so the size does not change, so  $|[\Gamma]B| = |[\Delta]B|$ .

- Case

$$\frac{}{\Gamma[\widehat{b}_S][\widehat{a}_G] \vdash \widehat{a}_G \lesssim \widehat{b}_S \dashv \Gamma[\widehat{b}_G, \widehat{b}_S = \widehat{b}_G][\widehat{a}_G = \widehat{b}_G]} \text{INSTL-REACHSG2}$$

Since  $\Delta$  differs  $\Gamma$  only in solving  $\widehat{a}_G$  and  $\widehat{b}_S$ , and we know  $\widehat{a}_G \notin \text{FV}([\Gamma]B)$ , even if  $\widehat{b}_S$  occurs in  $[\Gamma]B$ , its solution is again an existential variable, so the size does not change, so  $|[\Gamma]B| = |[\Delta]B|$ .

- Case INSTR-SOLVEUS is similar to case INSTL-SOLVEUS.
- Case INSTR-SOLVEUG is similar to case INSTL-SOLVEUG.
- Case INSTR-REACHSG1 is similar to case INSTL-REACHSG1.
- Case INSTR-REACHSG2 is similar to case INSTL-REACHSG2.  $\square$

**THEOREM 6.1 (DECIDABILITY OF INSTANTIATION).** *If  $\Gamma = \Gamma_0[\widehat{a}]$  and  $\Gamma \vdash A$  such that  $[\Gamma]A = A$  and  $\widehat{a} \notin \text{FV}(A)$ , then:*

- (1) *Either there exists  $\Delta$  such that  $\Gamma \vdash \widehat{a} \lesssim A \dashv \Delta$ , or not.*
- (2) *Either there exists  $\Delta$  such that  $\Gamma \vdash A \lesssim \widehat{a} \dashv \Delta$ , or not.*

**PROOF.** By induction on the derivation of  $\Gamma \vdash A$ . We show the new cases.

- Case

$$\frac{}{\Gamma \vdash \star} \text{AD-UNKNOWN}$$

By rule [INSTL-SOLVEUS](#) or rule [INSTL-SOLVEUG](#).

- Case

$$\frac{}{\Gamma \vdash S} \text{AD-STATIC}$$

By rule [INSTL-SOLVES](#).

- Case

$$\frac{}{\Gamma \vdash \mathcal{G}} \text{AD-GRADUAL}$$

By rule [INSTL-SOLVES](#) or rule [INSTL-SOLVEG](#).

- Case

$$\frac{}{\Gamma_0, \widehat{a}_S, \Gamma_1 \vdash \widehat{a}_G} \text{AD-EVAR}$$

If  $\widehat{a}_G \in \Gamma_0$ , then we have a derivation by rule [INSTL-REACHOTHER](#). If  $\widehat{a}_G \in \Gamma_1$ , then we have a derivation by rule [INSTL-REACHSG1](#).

- Case

$$\frac{}{\Gamma_0, \widehat{a}_G, \Gamma_1 \vdash \widehat{a}_S} \text{AD-EVAR}$$

If  $\widehat{a}_S \in \Gamma_0$ , then we have a derivation by rule [INSTL-REACHSG2](#). If  $\widehat{a}_S \in \Gamma_1$ , then we have a derivation by rule [INSTL-REACHOTHER](#).  $\square$

## C.2 Decidability of Algorithmic Consistent Subtyping

LEMMA C.4 (MONOTYPES SOLVE VARIABLES). *If  $\Gamma \vdash \widehat{a} \lesssim \tau \div \Delta$  or  $\Gamma \vdash \tau \lesssim \widehat{a} \div \Delta$ , then if  $[\Gamma]\tau = \tau$  and  $\widehat{a} \notin \text{FV}([\Gamma]\tau)$ , then  $|\text{UNSOLVED}(\Gamma)| = |\text{UNSOLVED}(\Delta)| + 1$ .*

PROOF. By induction on the given derivation. Since our syntax of monotypes differ from DK only in having static and gradual parameters, we show only two affected cases.

- Case

$$\frac{\Gamma \vdash \tau}{\Gamma, \widehat{a}_S, \Gamma' \vdash \widehat{a}_S \lesssim \tau \div \Gamma, \widehat{a}_S = \tau, \Gamma'} \text{INSTL-SOLVE}_S$$

It is immediate that  $|\text{UNSOLVED}(\Gamma, \widehat{a}_S, \Gamma')| = |\text{UNSOLVED}(\Gamma, \widehat{a}_S = \tau, \Gamma')| + 1$ .

- Case

$$\frac{\Gamma \vdash t}{\Gamma, \widehat{a}_G, \Gamma' \vdash \widehat{a}_G \lesssim t \div \Gamma, \widehat{a}_G = t, \Gamma'} \text{INSTL-SOLVE}_G$$

It is immediate that  $|\text{UNSOLVED}(\Gamma, \widehat{a}_G, \Gamma')| = |\text{UNSOLVED}(\Gamma, \widehat{a}_G = t, \Gamma')| + 1$ .  $\square$

LEMMA C.5 (MONOTYPE MONOTONICITY). *If  $\Gamma \vdash \tau_1 \lesssim \tau_2 \div \Delta$ , then  $|\text{UNSOLVED}(\Delta)| \leq |\text{UNSOLVED}(\Gamma)|$ .*

PROOF. By induction on the derivation. We show the new cases.

- Case **AS-SPAR** and **AS-GPAR**: In these rules,  $\Delta = \Gamma$ , so  $|\text{UNSOLVED}(\Delta)| = |\text{UNSOLVED}(\Gamma)|$ .  $\square$

LEMMA C.6 (SUBSTITUTION DECREASES SIZE). *If  $\Gamma \vdash A$ , then  $|\Gamma \vdash [\Gamma]A| \leq |\Gamma \vdash A|$ .*

PROOF. By induction on  $|\Gamma \vdash A|$ . We show the new cases.

- $A = \star$ , or  $A = S$ , or  $A = \mathcal{G}$  then  $[\Gamma]A = A$ . Therefore,  $|\Gamma \vdash [\Gamma]A| = |\Gamma \vdash A|$ .  $\square$

LEMMA C.7 (MONOTYPE CONTEXT INVARIANCE). *If  $\Gamma \vdash \tau \lesssim \tau' \div \Delta$  where  $[\Gamma]\tau = \tau$  and  $[\Gamma]\tau' = \tau'$  and  $|\text{UNSOLVED}(\Gamma)| = |\text{UNSOLVED}(\Delta)|$ , then  $\Delta = \Gamma$ .*

PROOF. By induction on the derivation. We show the new cases.

- Cases **AS-SPAR** and **AS-GPAR**: In these rules, the output context is the same as the input context, so the result is immediate.
- Case

$$\frac{\widehat{a} \notin \text{FV}(A) \quad \Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \div \Delta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \div \Delta} \text{AS-INSTL}$$

By Lemma C.4,  $|\text{UNSOLVED}(\Delta)| < |\text{UNSOLVED}(\Gamma[\widehat{a}])|$ , which is contrary to what is given, so this case is impossible.

- Case **AS-INSTR** is similar to **AS-INSTL**.  $\square$

THEOREM 6.3 (DECIDABILITY OF ALGORITHMIC CONSISTENT SUBTYPING). *Given a context  $\Gamma$  and types  $A, B$  such that  $\Gamma \vdash A$  and  $\Gamma \vdash B$  and  $[\Gamma]A = A$  and  $[\Gamma]B = B$ , it is decidable whether there exists  $\Delta$  such that  $\Gamma \vdash A \lesssim B \div \Delta$ .*

PROOF. Let the judgment  $\Gamma \vdash A \lesssim B \div \Delta$  be measured lexicographically by

- (M1) the number of  $\forall$ -quantifiers in  $A$  and  $B$ ;
- (M2) the number of unknown types in  $A$  and  $B$ ;
- (M3)  $|\text{UNSOLVED}(\Gamma)|$ : the number of unsolved existential variables in  $\Gamma$ ;
- (M4)  $|\Gamma \vdash A| + |\Gamma \vdash B|$ .

We focus on the interesting (and new) cases.

- Cases **AS-SPAR**, **AS-GPAR**, **AS-UNKNOWNLL**, and **AS-UNKNOWNRR** have no premises.
- Case

$$\frac{\Gamma \vdash B_1 \lesssim A_1 \dashv \Theta \quad \Theta \vdash [\Theta]A_2 \lesssim [\Theta]B_2 \dashv \Delta}{\Gamma \vdash A_1 \rightarrow A_2 \lesssim B_1 \rightarrow B_2 \dashv \Delta} \text{AS-ARROW}$$

We discuss each premise separately:

**First premise:** If  $A_2$  or  $B_2$  has a quantifier, then the first premise is smaller by (M1). Otherwise, if  $A_2$  or  $B_2$  has a unknown type, then first premise is smaller by (M2). Otherwise, the first premise shares the same input context as the conclusion, so it has the same (M3), but the types  $B_1$  and  $A_1$  are subterms of the conclusion's types, so the first premise is smaller by (M4).

**Second premise:** If  $B_1$  or  $A_1$  has a quantifier, then the second premise is smaller by (M1), because applying contexts will not introduce quantifiers. Otherwise, if  $B_1$  or  $A_1$  has a unknown type, then the second premise is smaller by (M2), because applying contexts will not introduce unknown types. Otherwise, at this point, we know  $B_1$  and  $A_1$  are mono-types, so by Lemma C.5 on the first premise, we have  $|\text{UNSOLVED}(\Theta)| \leq |\text{UNSOLVED}(\Gamma)|$ .  
 –If  $|\text{UNSOLVED}(\Theta)| < |\text{UNSOLVED}(\Gamma)|$ , then the second premise is smaller by (M3).  
 –If  $|\text{UNSOLVED}(\Theta)| = |\text{UNSOLVED}(\Gamma)|$ , then we have the same (M3). By Lemma C.7 on the first premise, we know  $\Theta = \Gamma$ , so  $|\Theta \vdash [\Theta]A_2| = |\Gamma \vdash [\Gamma]A_2|$ . By Lemma C.6, we know  $|\Gamma \vdash [\Gamma]A_2| \leq |\Gamma \vdash A_2|$ . Therefore, we have

$$|\Theta \vdash [\Theta]A_2| \leq |\Gamma \vdash A_2|.$$

Same for  $B_2$ :

$$|\Theta \vdash [\Theta]B_2| \leq |\Gamma \vdash B_2|.$$

Therefore,

$$|\Theta \vdash [\Theta]A_2| + |\Theta \vdash [\Theta]B_2| \leq |\Gamma \vdash A_2| + |\Gamma \vdash B_2| < |\Gamma \vdash A_1 \rightarrow A_2| + |\Gamma \vdash B_1 \rightarrow B_2|$$

and the second premise is smaller by (M4).  $\square$

### C.3 Decidability of Algorithmic Typing

LEMMA 6.4 (DECIDABILITY OF ALGORITHMIC MATCHING). *Given a context  $\Gamma$  and a type  $A$  it is decidable whether there exist types  $A_1, A_2$  and a context  $\Delta$  such that  $\Gamma \vdash A \triangleright A_1 \rightarrow A_2 \dashv \Delta$ .*

PROOF. Rules **AM-ARR**, **AM-UNKNOWN** and **AM-VAR** do not have premises. For rule **AM-FORALL**, the size of  $A$  is decreasing in the premise.  $\square$

THEOREM 6.5 (DECIDABILITY OF ALGORITHMIC TYPING).

- (1) *Inference: Given a context  $\Gamma$  and a term  $e$ , it is decidable whether there exist a type  $A$  and a context  $\Delta$  such that  $\Gamma \vdash e \Rightarrow A \dashv \Delta$ .*
- (2) *Checking: Given a context  $\Gamma$ , a term  $e$  and a type  $B$  such that  $\Gamma \vdash B$ , it is decidable whether there exists a context  $\Delta$  such that  $\Gamma \vdash e \Leftarrow B \dashv \Delta$ .*

PROOF. We consider the following measure:

$$\left\langle e, \begin{array}{c} \Rightarrow \\ \Leftarrow \end{array}, |\Gamma \vdash A| \right\rangle.$$

and we show every inference/checking premise is smaller than the conclusion.

- Rules **INF-VAR** and **INF-INT** do not have premises.
- Rules **INF-ANNO**, **INF-LAMANN**, **INF-LAM**, **INF-LET**, and **CHK-LAM** all have strictly smaller  $e$  in the premises.
- Rule **INF-APP**: The first and third premises have strictly smaller  $e$ . The second (matching) judgment is decidable by Lemma 6.4.
- Rule **CHK-GEN**: Both the premise and conclusion type the same term, and both are the checking judgments. However,  $|\Gamma, a \vdash A| < |\Gamma \vdash \forall a. A|$ , so the premise is smaller.
- Rule **CHK-SUB**: The first premise uses inference mode, so it is smaller. The second premise is decidable by Theorem 6.3.  $\square$

## D PROPERTIES OF CONSISTENT SUBTYPING

LEMMA 7 (CONSISTENT SUBTYPING IS REFLEXIVE). *If  $\Psi \vdash A$ , then  $\Psi \vdash A \lesssim A$ .*

LEMMA 8 (MONOTYPE EQUALITY). *If  $\Psi \vdash \tau \lesssim \sigma$ , then  $\tau = \sigma$ .*

LEMMA D.1 (INVERTIBILITY). *If  $\Psi \vdash A \lesssim \forall b. B$ , then  $\Psi, b \vdash A \lesssim B$ .*

PROOF. By induction on the given derivation.

- Rules **CS-ARROW**, **CS-TVAR**, **CS-INT**, **CS-UNKNOWNRR**, **CS-SPAR**, and **CS-GPAR** are impossible, since the supertype is not a forall type.
- Case

$$\frac{\Psi, a \vdash A \lesssim B}{\Psi \vdash A \lesssim \forall a. B} \text{CS-FORALLR}$$

The premise is exactly what we need.

- Case

$$\frac{\Psi \vdash \tau \quad \Psi \vdash A[a \mapsto \tau] \lesssim B}{\Psi \vdash \forall a. A \lesssim B} \text{CS-FORALLL}$$

where  $B = \forall b. B_0$ . By i.h., we have  $\Psi, b \vdash A[a \mapsto \tau] \lesssim B_0$ . By rule **CS-FORALLL**, we have  $\Psi, b \vdash \forall a. A \lesssim B_0$ .

- Case

$$\frac{}{\Psi \vdash \star \lesssim \mathbb{C}} \text{CS-UNKNOWNLL}$$

where  $\mathbb{C} = \forall b. \mathbb{C}_0$ . By rule **CS-UNKNOWNLL** we have  $\Psi, b \vdash \star \lesssim \mathbb{C}_0$ .  $\square$

## E PROPERTIES OF CONTEXT EXTENSION

### E.1 Syntactic Properties

Since the definition of the context extension judgment ( $\Gamma \longrightarrow \Delta$ , Figure 16) is exactly the same as that of the DK system, we refer the reader to their technical report [Dunfield and Krishnaswami 2013] for the proofs of the following syntactic properties of context extension.

LEMMA E.1 (REVERSE DECLARATION ORDER PRESERVATION). *If  $\Gamma \longrightarrow \Delta$  and  $a$  and  $b$  are both declared in  $\Gamma$  and  $a$  is declared to the left of  $b$  in  $\Delta$ , then  $a$  is declared to the left of  $b$  in  $\Gamma$ .*

LEMMA E.2 (REFLEXIVITY). *If  $\Gamma$  is well-formed, then  $\Gamma \longrightarrow \Gamma$ .*

LEMMA E.3 (TRANSITIVITY). *If  $\Gamma \longrightarrow \Delta$  and  $\Delta \longrightarrow \Theta$ , then  $\Gamma \longrightarrow \Theta$ .*

Definition E.4 (Softness). A context  $\Theta$  is soft iff it consists only of  $\hat{a}$  and  $\hat{a} = \tau$  declarations.

LEMMA E.5 (SUBSTITUTION EXTENSION INVARIANCE). *If  $\Theta \vdash A$  and  $\Theta \longrightarrow \Gamma$ , then  $[\Gamma]A = [\Gamma](\Theta A)$  and  $[\Gamma]A = [\Theta](\Gamma A)$ .*

LEMMA E.6 (EXTENSION ORDER). *We have the following:*

- (1) If  $\Gamma_L, a, \Gamma_R \longrightarrow \Delta$ , then  $\Delta = (\Delta_L, a, \Delta_R)$  where  $\Gamma_L \longrightarrow \Delta_L$ . Moreover, if  $\Gamma_R$  is soft then  $\Delta_R$  is soft.
- (2) If  $\Gamma_L, \blacktriangleright_{\widehat{a}}, \Gamma_R \longrightarrow \Delta$ , then  $\Delta = (\Delta_L, \blacktriangleright_{\widehat{a}}, \Delta_R)$  where  $\Gamma_L \longrightarrow \Delta_L$ . Moreover, if  $\Gamma_R$  is soft then  $\Delta_R$  is soft.
- (3) If  $\Gamma_L, \widehat{a}, \Gamma_R \longrightarrow \Delta$ , then  $\Delta = (\Delta_L, \Theta, \Delta_R)$  where  $\Gamma_L \longrightarrow \Delta_L$  and  $\Theta$  is either  $\widehat{a}$  or  $\widehat{a} = \tau$  for some  $\tau$ .
- (4) If  $\Gamma_L, \widehat{a} = \tau, \Gamma_R \longrightarrow \Delta$ , then  $\Delta = (\Delta_L, \widehat{a} = \tau', \Delta_R)$  where  $\Gamma_L \longrightarrow \Delta_L$  and  $[\Delta_L]\tau = [\Delta_L]\tau'$ .
- (5) If  $\Gamma_L, x : A, \Gamma_R \longrightarrow \Delta$ , then  $\Delta = (\Delta_L, x : A', \Delta_R)$  where  $\Gamma_L \longrightarrow \Delta_L$  and  $[\Delta_L]A = [\Delta_L]A'$ . Moreover,  $\Gamma_R$  is soft if and only if  $\Delta_R$  is soft.

LEMMA E.7 (SOLUTION ADMISSIBILITY FOR EXTENSION). If  $\Gamma_L \vdash \tau$ , then  $\Gamma_L, \widehat{a}, \Gamma_R \longrightarrow \Gamma_L, \widehat{a} = \tau, \Gamma_R$ .

LEMMA E.8 (UNSOLVED VARIABLE ADDITION FOR EXTENSION). We have that  $\Gamma_L, \Gamma_R \longrightarrow \Gamma_L, \widehat{a}, \Gamma_R$ .

LEMMA E.9 (PARALLEL ADMISSIBILITY). If  $\Gamma_L \longrightarrow \Delta_L$  and  $\Gamma_L, \Gamma_R \longrightarrow \Delta_L, \Delta_R$ , then:

- (1)  $\Gamma_L, \widehat{a}, \Gamma_R \longrightarrow \Delta_L, \widehat{a}, \Delta_R$ .
- (2) If  $\Delta_L \vdash \tau'$ , then  $\Gamma_L, \widehat{a}, \Gamma_R \longrightarrow \Delta_L, \widehat{a} = \tau', \Delta_R$ .
- (3) If  $\Gamma_L \vdash \tau$  and  $\Delta_L \vdash \tau'$  and  $[\Delta_L]\tau = [\Delta_L]\tau'$ , then  $\Gamma_L, \widehat{a} = \tau, \Gamma_R \longrightarrow \Delta_L, \widehat{a} = \tau', \Delta_R$ .

LEMMA E.10 (PARALLEL EXTENSION SOLUTION). If  $\Gamma_L, \widehat{a}, \Gamma_R \longrightarrow \Delta_L, \widehat{a} = \tau', \Delta_R$  and  $\Gamma_L \vdash \tau$  and  $[\Delta_L]\tau = [\Delta_L]\tau'$ , then  $\Gamma_L, \widehat{a} = \tau, \Gamma_R \longrightarrow \Delta_L, \widehat{a} = \tau', \Delta_R$ .

LEMMA E.11 (DROP VARIABLE FOR EXTENSION). If  $\Gamma, \widehat{a} \longrightarrow \Delta$ , then  $\Gamma \longrightarrow \Delta$ .

LEMMA E.12 (FINISHING TYPES). If  $\Omega \vdash A$  and  $\Omega \longrightarrow \Omega'$  then  $[\Omega]A = [\Omega']A$ .

LEMMA E.13 (FINISHING COMPLETIONS). If  $\Omega \longrightarrow \Omega'$ , then  $[\Omega]\Omega = [\Omega']\Omega'$ .

LEMMA E.14 (CONFLUENCE OF COMPLETENESS). If  $\Delta_1 \longrightarrow \Omega$  and  $\Delta_2 \longrightarrow \Omega$ , then  $[\Omega]\Delta_1 = [\Omega]\Delta_2$ .

LEMMA E.15 (VARIABLE PRESERVATION). If  $(x : A) \in \Delta$  or  $(x : A) \in \Omega$  and  $\Delta \longrightarrow \Omega$ , then  $(x : [\Omega]A) \in [\Omega]\Delta$ .

LEMMA E.16 (SOFTNESS GOES AWAY). If  $\Delta, \Theta \longrightarrow \Omega, \Omega_Z$  where  $\Delta \longrightarrow \Omega$  and  $\Theta$  is soft, then  $[\Omega, \Omega_Z](\Delta, \Theta) = [\Omega]\Delta$ .

LEMMA E.17 (STABILITY OF COMPLETE CONTEXTS). If  $\Gamma \longrightarrow \Omega$ , then  $[\Omega]\Gamma = [\Omega]\Omega$ .

## E.2 Instantiation Extends

LEMMA E.18 (INSTANTIATION EXTENSION). If  $\Gamma \vdash \widehat{a} \lesssim A \dashv \Delta$  or  $\Gamma \vdash A \lesssim \widehat{a} \dashv \Delta$ , then  $\Gamma \longrightarrow \Delta$ .

PROOF. By induction on the given instantiation derivation.

- Rules [INSTL-SOLVE<sub>S</sub>](#), [INSTL-SOLVE<sub>G</sub>](#), [INSTL-REACH<sub>OTHER</sub>](#), [INSTR-SOLVE<sub>S</sub>](#), [INSTR-SOLVE<sub>G</sub>](#), and [INSTR-REACH<sub>OTHER</sub>](#) are immediate from Lemma E.7.
- Case

$$\frac{\Gamma[\widehat{a}_S] \vdash \widehat{a}_S \lesssim \star \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G]}{\text{INSTL-SOLVEUS}}$$

By Lemma E.8, we have  $\Gamma[\widehat{a}_S] \longrightarrow \Gamma[\widehat{a}_G, \widehat{a}_S]$ . By Lemma E.7, we have  $\Gamma[\widehat{a}_G, \widehat{a}_S] \longrightarrow \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G]$ . By Lemma E.3, we have  $\Gamma[\widehat{a}_S] \longrightarrow \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G]$ .

- Case

$$\frac{\Gamma[\widehat{a}_G] \vdash \widehat{a}_G \lesssim \star \dashv \Gamma[\widehat{a}_G]}{\text{INSTL-SOLVEUG}}$$

Immediate by Lemma E.2.



- Case

$$\frac{\Gamma[\widehat{a}_S][\widehat{b}_G] \vdash \widehat{a}_S \lesssim \widehat{b}_G \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G][\widehat{b}_G = \widehat{a}_G]}{\text{INSTL-REACHSG1}}$$

By Lemma E.8, we have  $\Gamma[\widehat{a}_S][\widehat{b}_G] \longrightarrow \Gamma[\widehat{a}_G, \widehat{a}_S][\widehat{b}_G]$ . By applying Lemma E.7 twice, we have  $\Gamma[\widehat{a}_G, \widehat{a}_S][\widehat{b}_G] \longrightarrow \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G][\widehat{b}_G = \widehat{a}_G]$ . By Lemma E.3, we have  $\Gamma[\widehat{a}_S][\widehat{b}_G] \longrightarrow \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G][\widehat{b}_G = \widehat{a}_G]$ .

- Case

$$\frac{\Gamma[\widehat{b}_S][\widehat{a}_G] \vdash \widehat{a}_G \lesssim \widehat{b}_S \dashv \Gamma[\widehat{b}_G, \widehat{b}_S = \widehat{b}_G][\widehat{a}_G = \widehat{b}_G]}{\text{INSTL-REACHSG2}}$$

Same as the case for rule [INSTL-REACHSG1](#).

- Case

$$\frac{\Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2] \vdash A_1 \lesssim \widehat{a}_1 \dashv \Theta \quad \Theta \vdash \widehat{a}_2 \lesssim [\Theta]A_2 \dashv \Delta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A_1 \rightarrow A_2 \dashv \Delta} \text{INSTL-ARR}$$

By applying Lemma E.8 twice, we have  $\Gamma[\widehat{a}] \longrightarrow \Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a}]$ . By Lemma E.7, we have  $\Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a}] \longrightarrow \Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]$ . By i.h., we have  $\Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2] \longrightarrow \Theta$  and  $\Theta \longrightarrow \Delta$ . By Lemma E.3, we have  $\Gamma[\widehat{a}] \longrightarrow \Delta$ .

- Case

$$\frac{\Gamma[\widehat{a}], b \vdash \widehat{a} \lesssim B \dashv \Delta, b, \Theta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim \forall b. B \dashv \Delta} \text{INSTL-FORALLR}$$

By i.h., we have  $\Gamma[\widehat{a}], b \longrightarrow \Delta, b, \Theta$ . By Lemma E.6 (1), we have  $\Gamma[\widehat{a}] \longrightarrow \Delta$ .

- Case

$$\frac{\Gamma[\widehat{a}_S] \vdash \star \lesssim \widehat{a}_S \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G]}{\text{INSTR-SOLVEUS}}$$

Same as the case for rule [INSTL-SOLVEUS](#).

- Case

$$\frac{\Gamma[\widehat{a}_G] \vdash \star \lesssim \widehat{a}_G \dashv \Gamma[\widehat{a}_G]}{\text{INSTR-SOLVEUG}}$$

Same as the case for rule [INSTL-SOLVEUG](#).

- Case

$$\frac{\Gamma[\widehat{a}_S][\widehat{b}_G] \vdash \widehat{b}_G \lesssim \widehat{a}_S \dashv \Gamma[\widehat{a}_G, \widehat{a}_S = \widehat{a}_G][\widehat{b}_G = \widehat{a}_G]}{\text{INSTR-REACHSG1}}$$

Same as the case for rule [INSTL-REACHSG1](#).

- Case

$$\frac{\Gamma[\widehat{b}_S][\widehat{a}_G] \vdash \widehat{b}_S \lesssim \widehat{a}_G \dashv \Gamma[\widehat{b}_G, \widehat{b}_S = \widehat{b}_G][\widehat{a}_G = \widehat{b}_G]}{\text{INSTR-REACHSG2}}$$

Same as the case for rule [INSTL-REACHSG1](#).

- Case

$$\frac{\Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2] \vdash \widehat{a}_1 \lesssim A_1 \dashv \Theta \quad \Theta \vdash [\Theta]A_2 \lesssim \widehat{a}_2 \dashv \Delta}{\Gamma[\widehat{a}] \vdash A_1 \rightarrow A_2 \lesssim \widehat{a} \dashv \Delta} \text{INSTR-ARR}$$

Same as the case for rule [INSTL-ARR](#).

- Case

$$\frac{\Gamma[\widehat{a}], \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S \vdash B[b \mapsto \widehat{b}_S] \lesssim \widehat{a} \dashv \Delta, \blacktriangleright_{\widehat{b}_S}, \Theta}{\Gamma[\widehat{a}] \vdash \forall b. B \lesssim \widehat{a} \dashv \Delta} \text{INSTR-FORALLL}$$

By i.h., we have  $\Gamma[\widehat{a}], \blacktriangleright_{\widehat{b}}, \widehat{b}_S \longrightarrow \Delta, \blacktriangleright_{\widehat{b}}, \Theta$ . By Lemma E.6 (2), we have  $\Gamma[\widehat{a}] \longrightarrow \Delta$ .  $\square$

### E.3 Consistent Subtyping Extends

LEMMA E.19. *If  $\Gamma \vdash A$ , then  $\Gamma \longrightarrow \text{contaminate}(\Gamma, A)$ .*

PROOF. By induction on the structure of  $\Gamma$ . The only interesting case is when  $\Gamma = \Gamma', \widehat{a}_S$ . By Definition 9.11, we have  $\text{contaminate}((\Gamma', \widehat{a}_S), A) = \text{contaminate}(\Gamma', A), \widehat{a}_G, \widehat{a}_S = \widehat{a}_G$ . By i.h., we have  $\Gamma' \longrightarrow \text{contaminate}(\Gamma', A)$ . By definition of context extension, we have  $\Gamma', \widehat{a}_S \longrightarrow \text{contaminate}(\Gamma', A), \widehat{a}_S$ . By Lemma E.8, we have  $\text{contaminate}(\Gamma', A), \widehat{a}_S \longrightarrow \text{contaminate}(\Gamma', A), \widehat{a}_G, \widehat{a}_S$ . By Lemma E.7, we have  $\text{contaminate}(\Gamma', A), \widehat{a}_G, \widehat{a}_S \longrightarrow \text{contaminate}(\Gamma', A), \widehat{a}_G, \widehat{a}_S = \widehat{a}_G$ . By Lemma E.3, we have  $\Gamma', \widehat{a}_S \longrightarrow \text{contaminate}(\Gamma', A), \widehat{a}_G, \widehat{a}_S = \widehat{a}_G$ .  $\square$

LEMMA E.20 (CONSISTENT SUBTYPING EXTENSION). *If  $\Gamma \vdash A \lesssim B \dashv \Delta$ , then  $\Gamma \longrightarrow \Delta$ .*

PROOF. By induction on the derivation of consistent subtyping.

- Rules AS-TVAR, AS-EVAR, AS-INT, AS-SPAR, and AS-GPAR are immediate from Lemma E.2.
- Case

$$\frac{\Gamma \vdash B_1 \lesssim A_1 \dashv \Theta \quad \Theta \vdash [\Theta]A_2 \lesssim [\Theta]B_2 \dashv \Delta}{\Gamma \vdash A_1 \rightarrow A_2 \lesssim B_1 \rightarrow B_2 \dashv \Delta} \text{AS-ARROW}$$

By i.h., we have  $\Gamma \longrightarrow \Theta$  and  $\Theta \longrightarrow \Delta$ . By Lemma E.3, we have  $\Gamma \longrightarrow \Delta$ .

- Case

$$\frac{\Gamma, a \vdash A \lesssim B \dashv \Delta, a, \Theta}{\Gamma \vdash A \lesssim \forall a. B \dashv \Delta} \text{AS-FORALLR}$$

By i.h., we have  $\Gamma, a \longrightarrow \Delta, a, \Theta$ . By Lemma E.6 (1), we have  $\Gamma \longrightarrow \Delta$ .

- Case

$$\frac{\Gamma, \blacktriangleright_{\widehat{a}_S}, \widehat{a}_S \vdash A[a \mapsto \widehat{a}_S] \lesssim B \dashv \Delta, \blacktriangleright_{\widehat{a}_S}, \Theta}{\Gamma \vdash \forall a. A \lesssim B \dashv \Delta} \text{AS-FORALLL}$$

By i.h., we have  $\Gamma, \blacktriangleright_{\widehat{a}}, \widehat{a}_S \longrightarrow \Delta, \blacktriangleright_{\widehat{a}}, \Theta$ . By Lemma E.6 (2), we have  $\Gamma \longrightarrow \Delta$ .

- Case

$$\frac{}{\Gamma \vdash \star \lesssim \mathbb{C} \dashv \text{contaminate}(\Gamma, \mathbb{C})} \text{AS-UNKNOWNLL}$$

Immediate by Lemma E.19.

- Case

$$\frac{}{\Gamma \vdash \mathbb{C} \lesssim \star \dashv \text{contaminate}(\Gamma, \mathbb{C})} \text{AS-UNKNOWNRR}$$

Immediate by Lemma E.19.

- Rules AS-INSTL and AS-INSTR are immediate.  $\square$

## F SOUNDNESS OF CONSISTENT SUBTYPING

Definition F.1 (Filling). The filling of a context  $|\Gamma|$  solves all unsolved variables:

$$\begin{aligned} |\bullet| &= \bullet, \\ |\Gamma, x : A| &= |\Gamma|, x : A, \\ |\Gamma, a| &= |\Gamma|, a, \\ |\Gamma, \widehat{a} = \tau| &= |\Gamma|, \widehat{a} = \tau, \\ |\Gamma, \widehat{a}| &= |\Gamma|, \widehat{a} = \text{Int}, \\ |\Gamma, \blacktriangleright_{\widehat{a}}| &= |\Gamma|, \blacktriangleright_{\widehat{a}}. \end{aligned}$$

LEMMA F.2 (SUBSTITUTION STABILITY). *For any well-formed complete context  $(\Omega, \Omega_Z)$ , if  $\Omega \vdash A$  then  $[\Omega]A = [\Omega, \Omega_Z]A$ .*

PROOF. By induction on  $\Omega_Z$ . If  $\Omega_Z = \bullet$ , then the result is immediate. Otherwise, use the i.h. and the fact that  $\Omega \vdash A$  implies  $\text{fv}(A) \cap \text{dom}(\Omega_Z) = \emptyset$ .  $\square$

LEMMA F.3 (FILLING COMPLETES). *If  $\Gamma \longrightarrow \Omega$  and  $(\Gamma, \Theta)$  is well-formed, then  $\Gamma, \Theta \longrightarrow \Omega, |\Theta|$ .*

PROOF. By induction on  $\Theta$ , following Definition F.1 and applying the rules for context extension.  $\square$

THEOREM 7.2 (INSTANTIATION SOUNDNESS). *Given  $\Delta \longrightarrow \Omega$  and  $[\Gamma]A = A$  and  $\hat{a} \notin \text{fv}(A)$ :*

- (1) *If  $\Gamma \vdash \hat{a} \lesssim A \dashv \Delta$ , then  $[\Omega]\Delta \vdash [\Omega]\hat{a} \lesssim [\Omega]A$ .*
- (2) *If  $\Gamma \vdash A \lesssim \hat{a} \dashv \Delta$ , then  $[\Omega]\Delta \vdash [\Omega]A \lesssim [\Omega]\hat{a}$ .*

PROOF. By induction on the given instantiation derivation.

- Case

$$\frac{\Gamma \vdash \tau}{\Gamma, \hat{a}_S, \Gamma' \vdash \hat{a}_S \lesssim \tau \dashv \Gamma, \hat{a}_S = \tau, \Gamma'} \text{INSTL-SOLVE}_S$$

Immediate from Lemma 7.

- Case

$$\frac{\Gamma \vdash t}{\Gamma, \hat{a}_G, \Gamma' \vdash \hat{a}_G \lesssim t \dashv \Gamma, \hat{a}_G = t, \Gamma'} \text{INSTL-SOLVE}_G$$

Immediate from Lemma 7.

- Case

$$\frac{}{\Gamma[\hat{a}_S] \vdash \hat{a}_S \lesssim \star \dashv \Gamma[\hat{a}_G, \hat{a}_S = \hat{a}_G]} \text{INSTL-SOLVE}_{US}$$

We know  $[\Omega]\hat{a}_S = t$  for some castable monotype  $t$ , and  $t \in \mathbb{C}$ . By rule CS-UNKNOWNRR, we have  $[\Omega](\Gamma[\hat{a}_S]) \vdash t \lesssim \star$

- Case

$$\frac{}{\Gamma[\hat{a}_G] \vdash \hat{a}_G \lesssim \star \dashv \Gamma[\hat{a}_G]} \text{INSTL-SOLVE}_{UG}$$

Similar to the case for rule INSTL-SOLVEUS.

- Case

$$\frac{}{\Gamma[\hat{a}_S][\hat{b}_G] \vdash \hat{a}_S \lesssim \hat{b}_G \dashv \Gamma[\hat{a}_G, \hat{a}_S = \hat{a}_G][\hat{b}_G = \hat{a}_G]} \text{INSTL-REACHSG1}$$

We know  $[\Omega]\hat{a}_S = [\Omega]\hat{a}_G = t$  and  $[\Omega]\hat{b}_G = [\Omega]\hat{a}_G = t$  for some castable monotype  $t$ . By Lemma 7, we have  $[\Omega](\Gamma[\hat{a}_S][\hat{b}_G]) \vdash t \lesssim t$ .

- Case

$$\frac{}{\Gamma[\hat{b}_S][\hat{a}_G] \vdash \hat{a}_G \lesssim \hat{b}_S \dashv \Gamma[\hat{b}_G, \hat{b}_S = \hat{b}_G][\hat{a}_G = \hat{b}_G]} \text{INSTL-REACHSG2}$$

Similar to the case for rule INSTL-REACHSG1.

- Case

$$\frac{}{\Gamma[\hat{a}][\hat{b}] \vdash \hat{a} \lesssim \hat{b} \dashv \Gamma[\hat{a}][\hat{b} = \hat{a}]} \text{INSTL-REACH}_{OTHER}$$

Let  $\Delta = \Gamma[\hat{a}][\hat{b}]$ , we have  $[\Omega]\hat{a} = \tau$  and  $[\Omega]\hat{b} = [\Omega]\hat{a} = \tau$  for some monotype  $\tau$ . By Lemma 7, we have  $[\Omega]\Delta \vdash \tau \lesssim \tau$ .

- Case

$$\frac{\Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2] \vdash A_1 \lesssim \widehat{a}_1 \dashv \Theta \quad \Theta \vdash \widehat{a}_2 \lesssim [\Theta]A_2 \dashv \Delta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A_1 \rightarrow A_2 \dashv \Delta} \text{INSTL-ARR}$$

Let  $\Gamma_1 = \Gamma[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]$ :

$\Theta \vdash \widehat{a}_2 \lesssim [\Theta]A_2 \dashv \Delta$	Premise
$\Theta \rightarrow \Delta$	By Lemma E.18
$\Delta \rightarrow \Omega$	Given
$\Theta \rightarrow \Omega$	By Lemma E.3
$\Gamma_1 \vdash A_1 \lesssim \widehat{a}_1 \dashv \Theta$	Given
$[\Omega]\Delta \vdash [\Omega]A_1 \lesssim [\Omega]\widehat{a}_1$	By i.h. and Lemma E.14
$\Theta \vdash \widehat{a}_2 \lesssim [\Theta]A_2 \dashv \Delta$	Premise
$[\Omega]\Delta \vdash [\Omega]\widehat{a}_2 \lesssim [\Omega]([\Theta]A_2)$	By i.h.
$\Theta \rightarrow \Delta$	Above
$[\Omega]\Delta \vdash [\Omega]\widehat{a}_2 \lesssim [\Omega]A_2$	By Lemma E.5
$[\Omega]\Delta \vdash [\Omega]\widehat{a}_1 \rightarrow [\Omega]\widehat{a}_2 \lesssim [\Omega]A_1 \rightarrow [\Omega]A_2$	By rule CS-ARROW
$[\Omega]\Delta \vdash [\Omega]\widehat{a} \lesssim [\Omega](A_1 \rightarrow A_2)$	By def. of substitution

- Case

$$\frac{\Gamma[\widehat{a}], b \vdash \widehat{a} \lesssim B \dashv \Delta, b, \Theta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim \forall b. B \dashv \Delta} \text{INSTL-FORALLR}$$

$\Delta, b, \Theta \rightarrow \Omega, b,  \Theta $	By Lemma F.3
$\Gamma[\widehat{a}], b \vdash \widehat{a} \lesssim B \dashv \Delta, b, \Theta$	Given
$[\Omega, b,  \Theta ](\Delta, b, \Theta) \vdash [\Omega, b,  \Theta ]\widehat{a} \lesssim [\Omega, b,  \Theta ]B$	By i.h.
$[\Omega, b,  \Theta ](\Delta, b, \Theta) \vdash [\Omega, b]\widehat{a} \lesssim [\Omega, b]B$	Free variables in $\widehat{a}$ and $B$ are declared in $(\Omega, b)$
$[\Omega, b](\Delta, b) \vdash [\Omega, b]\widehat{a} \lesssim [\Omega, b]B$	By context partitioning and thinning
$[\Omega]\Delta, b \vdash [\Omega]\widehat{a} \lesssim [\Omega]B$	By context substitution
$[\Omega]\Delta \vdash [\Omega]\widehat{a} \lesssim \forall b. [\Omega]B$	By rule CS-FORALLR
$[\Omega]\Delta \vdash [\Omega]\widehat{a} \lesssim [\Omega](\forall b. B)$	By def. of substitution

- Case

$$\frac{\Gamma[\widehat{a}], \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S \vdash B[b \mapsto \widehat{b}_S] \lesssim \widehat{a} \dashv \Delta, \blacktriangleright_{\widehat{b}_S}, \Theta}{\Gamma[\widehat{a}] \vdash \forall b. B \lesssim \widehat{a} \dashv \Delta} \text{INSTR-FORALLL}$$

$\Delta, \blacktriangleright_{\widehat{b}}, \Theta \rightarrow \Omega, \blacktriangleright_{\widehat{b}},  \Theta $	By Lemma F.3
$\Gamma[\widehat{a}], \blacktriangleright_{\widehat{b}}, \widehat{b}_S \vdash B[b \mapsto \widehat{b}_S] \lesssim \widehat{a} \dashv \Delta, \blacktriangleright_{\widehat{b}}, \Theta$	Premise
$[\Omega, \blacktriangleright_{\widehat{b}},  \Theta ](\Delta, \blacktriangleright_{\widehat{b}}, \Theta) \vdash [\Omega, \blacktriangleright_{\widehat{b}},  \Theta ](B[b \mapsto \widehat{b}_S]) \lesssim [\Omega, \blacktriangleright_{\widehat{b}},  \Theta ]\widehat{a}$	By i.h.
$[\Omega]\Delta \vdash ([\Omega]B)[b \mapsto [\Omega, \blacktriangleright_{\widehat{b}},  \Theta ]\widehat{b}_S] \lesssim [\Omega]\widehat{a}$	By distributivity of substitution
$[\Omega]\Delta \vdash [\Omega, \blacktriangleright_{\widehat{b}},  \Theta ]\widehat{b}_S$	Follows from def. of context application
$[\Omega]\Delta \vdash \forall b. [\Omega]B \lesssim [\Omega]\widehat{a}$	By rule CS-FORALLL and $[\Omega, \blacktriangleright_{\widehat{b}},  \Theta ]\widehat{b}_S$ is a monotype
$[\Omega]\Delta \vdash [\Omega](\forall b. B) \lesssim [\Omega]\widehat{a}$	By def. of substitution

- The rest of the cases are similar to the above cases. □

**THEOREM 7.3 (SOUNDNESS OF ALGORITHMIC CONSISTENT SUBTYPING).** *If  $\Gamma \vdash A \lesssim B \dashv \Delta$  where  $[\Gamma]A = A$  and  $[\Gamma]B = B$  and  $\Delta \rightarrow \Omega$ , then  $[\Omega]\Delta \vdash [\Omega]A \lesssim [\Omega]B$ .*

PROOF. By induction on the derivation of consistent subtyping.

- Case

$$\frac{}{\Gamma[a] \vdash a \lesssim a \dashv \Gamma[a]} \text{AS-TVAR}$$

$a \in \Gamma[a]$	Given
$a \in [\Omega](\Gamma[a])$	Follows from def. of context application
$[\Omega](\Gamma[a]) \vdash a \lesssim a$	By rule <a href="#">CS-TVAR</a>
$[\Omega](\Gamma[a]) \vdash [\Omega]a \lesssim [\Omega]a$	By def. of substitution

- Case

$$\frac{}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim \widehat{a} \dashv \Gamma[\widehat{a}]} \text{AS-EVAR}$$

$[\Omega]\widehat{a}$ defined	Follows from def. of context application
$[\Omega]\Delta \vdash [\Omega]\widehat{a}$	Follows from $\Delta = [\Gamma]\widehat{a}$
$[\Omega]\Delta \vdash [\Omega]\widehat{a} \lesssim [\Omega]\widehat{a}$	By <a href="#">Lemma 7</a>

- Case

$$\frac{}{\Gamma \vdash \text{Int} \lesssim \text{Int} \dashv \Gamma} \text{AS-INT}$$

Immediate.

- Case

$$\frac{\Gamma \vdash B_1 \lesssim A_1 \dashv \Theta \quad \Theta \vdash [\Theta]A_2 \lesssim [\Theta]B_2 \dashv \Delta}{\Gamma \vdash A_1 \rightarrow A_2 \lesssim B_1 \rightarrow B_2 \dashv \Delta} \text{AS-ARROW}$$

$\Gamma \vdash B_1 \lesssim A_1 \dashv \Theta$	Premise
$\Delta \longrightarrow \Omega$	Given
$\Theta \longrightarrow \Omega$	By <a href="#">Lemma E.3</a>
$[\Omega]\Theta \vdash [\Omega]B_1 \lesssim [\Omega]A_1$	By i.h.
$[\Omega]\Delta \vdash [\Omega]B_1 \lesssim [\Omega]A_1$	By <a href="#">Lemma E.14</a>
$\Theta \vdash [\Theta]A_2 \lesssim [\Theta]B_2 \dashv \Delta$	Premise
$[\Omega]\Delta \vdash [\Omega]([\Theta]A_2) \lesssim [\Omega]([\Theta]B_2)$	By i.h.
$[\Omega]([\Theta]A_2) = [\Omega]A_2$	By <a href="#">Lemma E.5</a>
$[\Omega]([\Theta]B_2) = [\Omega]B_2$	By <a href="#">Lemma E.5</a>
$[\Omega]\Delta \vdash [\Omega]A_2 \lesssim [\Omega]B_2$	By above equalities
$[\Omega]\Delta \vdash [\Omega]A_1 \rightarrow [\Omega]A_2 \lesssim [\Omega]B_1 \rightarrow [\Omega]B_2$	By rule <a href="#">CS-ARROW</a>
$[\Omega]\Delta \vdash [\Omega](A_1 \rightarrow A_2) \lesssim [\Omega](B_1 \rightarrow B_2)$	By def. of substitution

- Case

$$\frac{\Gamma, a \vdash A \lesssim B \dashv \Delta, a, \Theta}{\Gamma \vdash A \lesssim \forall a. B \dashv \Delta} \text{AS-FORALLR}$$

$\Gamma, a \longrightarrow \Delta, a, \Theta$	By <a href="#">Lemma E.20</a>
$\Theta$ is soft	By <a href="#">Lemma E.6</a> (1) where $\Gamma_R = \bullet$
$\Delta \longrightarrow \Omega$	Given
$\underbrace{\Delta, a, \Theta}_{\Delta'} \longrightarrow \underbrace{\Omega, a,  \Theta }_{\Omega'}$	By <a href="#">Lemma F.3</a>
$\Gamma, a \vdash A \lesssim B \dashv \Delta, a, \Theta$	Given

$[\Omega']\Delta' \vdash [\Omega']A \lesssim [\Omega']B$	By i.h.
$[\Omega']A = [\Omega, a]A$	By Lemma F.2
$[\Omega']B = [\Omega, a]B$	By Lemma F.2
$[\Omega']\Delta' = [\Omega, a](\Delta, a)$	By Lemma E.16
$[\Omega, a](\Delta, a) \vdash [\Omega, a]A \lesssim [\Omega, a]B$	By above equalities
$[\Omega]\Delta, a \vdash [\Omega]A \lesssim [\Omega]B$	By def. of substitution
$[\Omega]\Delta \vdash [\Omega]A \lesssim \forall a. [\Omega]B$	By rule CS-FORALLR
$[\Omega]\Delta \vdash [\Omega]A \lesssim [\Omega](\forall a. B)$	By def. of substitution

- Case

$$\frac{\Gamma, \blacktriangleright_{\widehat{a}_S}, \widehat{a}_S \vdash A[a \mapsto \widehat{a}_S] \lesssim B \dashv \Delta, \blacktriangleright_{\widehat{a}_S}, \Theta}{\Gamma \vdash \forall a. A \lesssim B \dashv \Delta} \text{AS-FORALLL}$$

Let $\Omega' = \Omega, \blacktriangleright_{\widehat{a}_S},  \Theta $	
$\Delta \longrightarrow \Omega$	Given
$\Delta, \blacktriangleright_{\widehat{a}_S}, \Theta \longrightarrow \Omega'$	By Lemma F.3
$\Gamma, \blacktriangleright_{\widehat{a}_S}, \widehat{a}_S \vdash A[a \mapsto \widehat{a}_S] \lesssim B \dashv \Delta, \blacktriangleright_{\widehat{a}_S}, \Theta$	Premise
$[\Omega'](\Delta, \blacktriangleright_{\widehat{a}_S}, \Theta) \vdash [\Omega'](A[a \mapsto \widehat{a}_S]) \lesssim [\Omega']B$	By i.h.
$[\Omega']B = [\Omega, a]B$	By Lemma F.2
$[\Omega](\Delta, \blacktriangleright_{\widehat{a}_S}, \Theta) \vdash [\Omega']A[a \mapsto [\Omega']\widehat{a}_S] \lesssim [\Omega]B$	By distributivity of substitution
$[\Omega](\Delta, \blacktriangleright_{\widehat{a}_S}, \Theta) \vdash [\Omega']\widehat{a}_S$	Follows from def. of context application
$[\Omega](\Delta, \blacktriangleright_{\widehat{a}_S}, \Theta) \vdash \forall a. [\Omega']A \lesssim [\Omega]B$	By rule CS-FORALLL
$[\Omega]\Delta \vdash \forall a. [\Omega]A \lesssim [\Omega]B$	By context partitioning
$[\Omega]\Delta \vdash [\Omega](\forall a. A) \lesssim [\Omega]B$	By def. of substitution

- Case

$$\frac{}{\Gamma \vdash \mathcal{S} \lesssim \mathcal{S} \dashv \Gamma} \text{AS-SPAR}$$

Immediate from rule CS-SPAR.

- Case

$$\frac{}{\Gamma \vdash \mathcal{G} \lesssim \mathcal{G} \dashv \Gamma} \text{AS-GPAR}$$

Immediate from rule CS-GPAR.

- Case

$$\frac{}{\Gamma \vdash \star \lesssim \mathbb{C} \dashv \text{contaminate}(\Gamma, \mathbb{C})} \text{AS-UNKNOWNLL}$$

Immediate from rule CS-UNKNOWNLL.

- Case

$$\frac{}{\Gamma \vdash \mathbb{C} \lesssim \star \dashv \text{contaminate}(\Gamma, \mathbb{C})} \text{AS-UNKNOWNRR}$$

Immediate from rule CS-UNKNOWNRR.

- Case

$$\frac{\widehat{a} \notin \text{FV}(A) \quad \Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \dashv \Delta}{\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \dashv \Delta} \text{AS-INSTL}$$

$\Gamma[\widehat{a}] \vdash \widehat{a} \lesssim A \dashv \Delta$	Premise
$[\Omega]\Delta \vdash [\Omega]\widehat{a} \lesssim [\Omega]A$	By Theorem 7.2

- Case

$$\frac{\widehat{a} \notin \text{FV}(A) \quad \Gamma[\widehat{a}] \vdash A \lesssim \widehat{a} \vdash \Delta}{\Gamma[\widehat{a}] \vdash A \lesssim \widehat{a} \vdash \Delta} \text{AS-INSTL}$$

Similar to the case for rule [AS-INSTL](#).

□

## G SOUNDNESS OF TYPING

**Note:** We use  $\blacklozenge$  to improve readability when the conclusion has several parts.

LEMMA G.1 (MATCHING EXTENSION). *If  $\Gamma \vdash A \triangleright A_1 \rightarrow A_2 \vdash \Delta$ , then  $\Gamma \longrightarrow \Delta$ .*

PROOF. By induction on the given derivation.

- Case

$$\frac{\Gamma, \widehat{a}_S \vdash A[a \mapsto \widehat{a}_S] \triangleright A_1 \rightarrow A_2 \vdash \Delta}{\Gamma \vdash \forall a. A \triangleright A_1 \rightarrow A_2 \vdash \Delta} \text{AM-FORALL}$$

By i.h., we have  $\Gamma, \widehat{a}_S \longrightarrow \Delta$ . By Lemma [E.11](#), we have  $\Gamma \longrightarrow \Delta$ .

- Case

$$\frac{}{\Gamma \vdash A_1 \rightarrow A_2 \triangleright A_1 \rightarrow A_2 \vdash \Gamma} \text{AM-ARR}$$

Immediate by Lemma [E.2](#).

- Case

$$\frac{}{\Gamma \vdash \star \triangleright \star \rightarrow \star \vdash \Gamma} \text{AM-UNKNOWN}$$

Immediate by Lemma [E.2](#).

- Case

$$\frac{}{\Gamma[\widehat{a}] \vdash \widehat{a} \triangleright \widehat{a}_1 \rightarrow \widehat{a}_2 \vdash \Gamma[\widehat{a}_1, \widehat{a}_2, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]} \text{AM-VAR}$$

By applying Lemma [E.8](#) twice, we have  $\Gamma[\widehat{a}] \longrightarrow \Gamma[\widehat{a}_1, \widehat{a}_2, \widehat{a}]$ . By Lemma [E.7](#), we have  $\Gamma[\widehat{a}_1, \widehat{a}_2, \widehat{a}] \longrightarrow \Gamma[\widehat{a}_1, \widehat{a}_2, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]$ . By Lemma [E.3](#), we have  $\Gamma[\widehat{a}] \longrightarrow \Gamma[\widehat{a}_1, \widehat{a}_2, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]$ . □

LEMMA G.2 (TYPING EXTENSION). *If  $\Gamma \vdash e \Rightarrow A \vdash \Delta$  or  $\Gamma \vdash e \Leftarrow A \vdash \Delta$ , then  $\Gamma \longrightarrow \Delta$ .*

PROOF. By induction on the given derivation.

- Case

$$\frac{(x : A) \in \Gamma}{\Gamma \vdash x \Rightarrow A \vdash \Gamma} \text{INF-VAR}$$

Immediate by Lemma [E.2](#).

- Case

$$\frac{}{\Gamma \vdash n \Rightarrow \text{Int} \vdash \Gamma} \text{INF-INT}$$

Immediate by Lemma [E.2](#).

- Case

$$\frac{\Gamma, \widehat{a}_S, \widehat{b}_S, x : \widehat{a}_S \vdash e \Leftarrow \widehat{b}_S \vdash \Delta, x : \widehat{a}_S, \Theta}{\Gamma \vdash \lambda x. e \Rightarrow \widehat{a}_S \rightarrow \widehat{b}_S \vdash \Delta} \text{INF-LAM2}$$

By i.h., we have  $\Gamma, \widehat{a}_S, \widehat{b}_S, x : \widehat{a}_S \longrightarrow \Delta, x : \widehat{a}_S, \Theta$ . By Lemma [E.6](#), we have  $\Gamma, \widehat{a}_S, \widehat{b}_S \longrightarrow \Delta$ . By definition, we have  $\Gamma \longrightarrow \Gamma, \widehat{a}_S, \widehat{b}_S$ . By Lemma [E.3](#) we have  $\Gamma \longrightarrow \Delta$ .



- Case

$$\frac{\Gamma \vdash A \quad \Gamma, \widehat{b}_S, x : A \vdash e \Leftarrow \widehat{b}_S \vdash \Delta, x : A, \Theta}{\Gamma \vdash \lambda x : A. e \Rightarrow A \rightarrow \widehat{b}_S \vdash \Delta} \text{INF-LAMANN2}$$

By i.h., we have  $\Gamma, \widehat{b}_S, x : A \rightarrow \Delta, x : A, \Theta$ . By Lemma E.6, we have  $\Gamma \rightarrow \Delta$ .

- Case

$$\frac{\Gamma \vdash e_1 \Rightarrow A \vdash \Theta_1 \quad \Theta_1 \vdash [\Theta_1]A \triangleright A_1 \rightarrow A_2 \vdash \Theta_2 \quad \Theta_2 \vdash e_2 \Leftarrow [\Theta_2]A_1 \vdash \Delta}{\Gamma \vdash e_1 e_2 \Rightarrow A_2 \vdash \Delta} \text{INF-APP}$$

By i.h., we have  $\Gamma \rightarrow \Theta_1, \Theta_2 \rightarrow \Delta$ . By Lemma G.1, we have  $\Theta_1 \rightarrow \Theta_2$ . By Lemma E.3, we have  $\Gamma \rightarrow \Delta$ .

- Case

$$\frac{\Gamma \vdash A \quad \Gamma \vdash e \Leftarrow A \vdash \Delta}{\Gamma \vdash e : A \Rightarrow A \vdash \Delta} \text{INF-ANNO}$$

By i.h., we have  $\Gamma \rightarrow \Delta$ .

- Case

$$\frac{\Gamma, a \vdash e \Leftarrow A \vdash \Delta, a, \Theta}{\Gamma \vdash e \Leftarrow \forall a. A \vdash \Delta} \text{CHK-GEN}$$

By i.h., we have  $\Gamma, a \rightarrow \Delta, a, \Theta$ . By Lemma E.6, we have  $\Gamma \rightarrow \Delta$ .

- Case

$$\frac{\Gamma, x : A \vdash e \Leftarrow B \vdash \Delta, x : A, \Theta}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \vdash \Delta} \text{CHK-LAM}$$

By i.h., we have  $\Gamma, x : A \rightarrow \Delta, x : A, \Theta$ . By Lemma E.6, we have  $\Gamma \rightarrow \Delta$ .

- Case

$$\frac{\Gamma \vdash e \Rightarrow A \vdash \Theta \quad \Theta \vdash [\Theta]A \lesssim [\Theta]B \vdash \Delta}{\Gamma \vdash e \Leftarrow B \vdash \Delta} \text{CHK-SUB}$$

By i.h., we have  $\Gamma \rightarrow \Theta$ . By Lemma E.20, we have  $\Theta \rightarrow \Delta$ . By Lemma E.3, we have  $\Gamma \rightarrow \Delta$ .  $\square$

**THEOREM G.3 (MATCHING SOUNDNESS).** *If  $\Gamma \vdash A \triangleright A_1 \rightarrow A_2 \vdash \Delta$ , where  $[\Gamma]A = A$  and  $\Delta \rightarrow \Omega$ , then  $[\Omega]\Delta \vdash [\Omega]A \triangleright [\Omega]A_1 \rightarrow [\Omega]A_2$ .*

**PROOF.** By induction on the given derivation.

- Case

$$\frac{\Gamma, \widehat{a}_S \vdash A[a \mapsto \widehat{a}_S] \triangleright A_1 \rightarrow A_2 \vdash \Delta}{\Gamma \vdash \forall a. A \triangleright A_1 \rightarrow A_2 \vdash \Delta} \text{AM-FORALL}$$

$\Gamma, \widehat{a}_S \vdash A[a \mapsto \widehat{a}_S] \triangleright A_1 \rightarrow A_2 \vdash \Delta$	Premise
$\Delta \rightarrow \Omega$	Given
$[\Omega]\Delta \vdash [\Omega](A[a \mapsto \widehat{a}_S]) \triangleright [\Omega]A_1 \rightarrow [\Omega]A_2$	By i.h.
$[\Omega]\Delta \vdash [\Omega]A[a \mapsto [\Omega]\widehat{a}_S] \triangleright [\Omega]A_1 \rightarrow [\Omega]A_2$	By distributivity of substitution
$[\Omega]\Delta \vdash [\Omega]\widehat{a}_S$	Follows from def. of context application
$[\Omega]\Delta \vdash \forall a. [\Omega]A \triangleright [\Omega]A_1 \rightarrow [\Omega]A_2$	By rule <b>M-FORALL</b>
$[\Omega]\Delta \vdash [\Omega](\forall a. A) \triangleright [\Omega]A_1 \rightarrow [\Omega]A_2$	By def. of substitution

- Case

$$\frac{}{\Gamma \vdash A_1 \rightarrow A_2 \triangleright A_1 \rightarrow A_2 \dashv \Gamma} \text{AM-ARR}$$

Immediate from rule [M-ARR](#).

- Case

$$\frac{}{\Gamma \vdash \star \triangleright \star \rightarrow \star \dashv \Gamma} \text{AM-UNKNOWN}$$

Immediate from rule [M-UNKNOWN](#).

- Case

$$\frac{}{\Gamma[\widehat{a}] \vdash \widehat{a} \triangleright \widehat{a}_1 \rightarrow \widehat{a}_2 \dashv \Gamma[\widehat{a}_1, \widehat{a}_2, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]} \text{AM-VAR}$$

$\Delta \longrightarrow \Omega$ $[\Omega]\widehat{a} = [\Omega]\widehat{a}_1 \rightarrow [\Omega]\widehat{a}_2$ $[\Omega]\Delta \vdash [\Omega]\widehat{a}_1 \rightarrow [\Omega]\widehat{a}_2 \triangleright [\Omega]\widehat{a}_1 \rightarrow [\Omega]\widehat{a}_2$	Given By def. of context application By rule <a href="#">M-ARR</a>
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□

**THEOREM 7.4 (SOUNDNESS OF ALGORITHMIC TYPING).** *Given  $\Delta \longrightarrow \Omega$ :*

- (1) *If  $\Gamma \vdash e \Rightarrow A \dashv \Delta$ , then  $\exists e'$  such that  $[\Omega]\Delta \vdash e' : [\Omega]A$  and  $\lfloor e \rfloor = \lfloor e' \rfloor$ .*
- (2) *If  $\Gamma \vdash e \Leftarrow A \dashv \Delta$ , then  $\exists e'$  such that  $[\Omega]\Delta \vdash e' : [\Omega]A$  and  $\lfloor e \rfloor = \lfloor e' \rfloor$ .*

**PROOF.** By induction on the given derivation.

- Case

$$\frac{(x : A) \in \Gamma}{\Gamma \vdash x \Rightarrow A \dashv \Gamma} \text{INF-VAR}$$

$(x : A) \in \Gamma$ $(x : A) \in \Delta$ $\Delta \longrightarrow \Omega$ $(x : [\Omega]A) \in [\Omega]\Gamma$	Premise $\Delta = \Omega$ Given By Lemma <a href="#">E.15</a>
$\blacklozenge \quad [\Omega]\Gamma \vdash x : [\Omega]A$	By rule <a href="#">VAR</a>
$\blacklozenge \quad \lfloor x \rfloor = \lfloor x \rfloor$	By def. of erasure

- Case

$$\frac{}{\Gamma \vdash n \Rightarrow \text{Int} \dashv \Gamma} \text{INF-INT}$$

$\blacklozenge \quad [\Omega]\Gamma \vdash n : \text{Int}$	By rule <a href="#">INT</a>
$\blacklozenge \quad \lfloor n \rfloor = \lfloor n \rfloor$	By def. of erasure

- Case

$$\frac{\Gamma \vdash A \quad \Gamma, \widehat{b}_S, x : A \vdash e \Leftarrow \widehat{b}_S \dashv \Delta, x : A, \Theta}{\Gamma \vdash \lambda x : A. e \Rightarrow A \rightarrow \widehat{b}_S \dashv \Delta} \text{INF-LAMANN2}$$

$\Gamma, \widehat{a}_S, x : A \longrightarrow \Delta, x : A, \Theta$	By Lemma G.2
$\Theta$ is soft	By Lemma E.6 where $\Gamma_R = \bullet$
$\Delta \longrightarrow \Omega$	Given
$\underbrace{\Delta, x : A, \Theta}_{\Delta'} \longrightarrow \underbrace{\Omega, x : A,  \Theta }_{\Omega'}$	By Lemma F.3
$\Gamma, x : A \vdash e \Rightarrow B \dashv \Delta, x : A, \Theta$	Premise
$[\Omega']\Delta' \vdash e' : [\Omega']\widehat{b}_S$	By i.h.
$\lfloor e \rfloor = \lfloor e' \rfloor$	above
$[\Omega']\widehat{b}_S = [\Omega, x : A]\widehat{b}_S = [\Omega]\widehat{b}_S$	By Lemma F.2 and def. of substitution
$[\Omega']\Delta' = [\Omega]\Delta, x : [\Omega]A$	By Lemma E.16 and def. of context substitution
$[\Omega]\Delta, x : [\Omega]A \vdash e' : [\Omega]\widehat{b}_S$	By above equalities
$[\Omega]\Delta \vdash \lambda x : [\Omega]A. e' : [\Omega]A \rightarrow [\Omega]\widehat{b}_S$	By rule LAMANN
$[\Omega]A = A$	Type annotations cannot contain evars
$[\Omega]\Delta \vdash \lambda x : A. e' : [\Omega]A \rightarrow [\Omega]\widehat{b}_S$	By above equality
◆ $[\Omega]\Delta \vdash \lambda x : A. e' : [\Omega](A \rightarrow \widehat{b}_S)$	By def. of substitution
◆ $\lfloor \lambda x : A. e' \rfloor = \lambda x. \lfloor e' \rfloor = \lambda x. \lfloor e \rfloor = \lfloor \lambda x : A. e \rfloor$	By def. of erasure

• Case

$$\frac{\Gamma, \widehat{a}, \widehat{b}, x : \widehat{a} \vdash e \Leftarrow \widehat{b} \dashv \Delta, x : \widehat{a}, \Theta}{\Gamma \vdash \lambda x. e \Rightarrow \widehat{a} \rightarrow \widehat{b} \dashv \Delta} \text{INF-LAM}$$

$\Gamma, \widehat{a}_S, \widehat{b}_S, x : \widehat{a}_S \longrightarrow \Delta, x : \widehat{a}_S, \Theta$	By Lemma G.2
$\Gamma, \widehat{a}_S, \widehat{b}_S \longrightarrow \Delta$	By Lemma E.6
$\Theta$ is soft	Above
$\Delta \longrightarrow \Omega$	Given
$\Delta, x : \widehat{a}_S \longrightarrow \Omega, x : [\Omega]\widehat{a}_S$	By def
$\underbrace{\Delta, x : \widehat{a}_S, \Theta}_{\Delta'} \longrightarrow \underbrace{\Omega, x : [\Omega]\widehat{a}_S,  \Theta }_{\Omega'}$	By Lemma F.3
$\Gamma, \widehat{a}_S, \widehat{b}_S, x : \widehat{a}_S \vdash e \Leftarrow \widehat{b}_S \dashv \Delta, x : \widehat{a}_S, \Theta$	Premise
$[\Omega']\Delta' \vdash e' : [\Omega']\widehat{b}_S$	By i.h.
$\lfloor e \rfloor = \lfloor e' \rfloor$	Above
$[\Omega']\widehat{b}_S = [\Omega]\widehat{b}_S$	By def. of context substitution
$[\Omega']\Delta' = [\Omega]\Delta, x : [\Omega]\widehat{a}_S$	By def. of context substitution
$[\Omega]\Delta, x : [\Omega]\widehat{a}_S \vdash e' : [\Omega]\widehat{b}_S$	By above equalities
$[\Omega]\widehat{a}_S$ is a monotype	$\Omega$ is predicative
$[\Omega]\Delta \vdash \lambda x. e' : [\Omega]\widehat{a}_S \rightarrow [\Omega]\widehat{b}_S$	By rule LAM
◆ $[\Omega]\Delta \vdash \lambda x. e' : [\Omega](\widehat{a}_S \rightarrow \widehat{b}_S)$	By def. of substitution
◆ $\lfloor \lambda x. e \rfloor = \lambda x. \lfloor e \rfloor = \lambda x. \lfloor e' \rfloor = \lfloor \lambda x. e' \rfloor$	By def. of erasure

• Case

$$\frac{\Gamma \vdash e_1 \Rightarrow A \dashv \Theta_1 \quad \Theta_1 \vdash [\Theta_1]A \triangleright A_1 \rightarrow A_2 \dashv \Theta_2 \quad \Theta_2 \vdash e_2 \Leftarrow [\Theta_2]A_1 \dashv \Delta}{\Gamma \vdash e_1 e_2 \Rightarrow A_2 \dashv \Delta} \text{INF-APP}$$

$\Delta \longrightarrow \Omega$	Given
$\Theta_1 \longrightarrow \Omega$	By Lemmas G.1 to E.3
$\Gamma \vdash e_1 \Rightarrow A \dashv \Theta_1$	Premise
$[\Omega]\Theta_1 \vdash e'_1 : [\Omega]A$	By i.h.
$\lfloor e'_1 \rfloor = \lfloor e_1 \rfloor$	above
$[\Omega]\Theta_1 = [\Omega]\Delta$	By Lemma E.14
$[\Omega]\Delta \vdash e'_1 : [\Omega]A$	By above equality
$\Theta_2 \vdash e_2 \Leftarrow [\Theta_2]A_1 \dashv \Delta$	Premise
$[\Omega]\Delta \vdash e'_2 : [\Omega]A_1$	By i.h.
$\lfloor e'_2 \rfloor = \lfloor e_2 \rfloor$	Above
$\Theta_1 \vdash [\Theta_1]A \triangleright A_1 \rightarrow A_2 \dashv \Theta_2$	Premise
$[\Omega]\Theta_2 \vdash [\Omega]([\Theta_1]A) \triangleright [\Omega]A_1 \rightarrow [\Omega]A_2$	By Theorem G.3
$[\Omega]\Theta_2 = [\Omega]\Delta$	By Lemma E.14
$[\Omega]([\Theta_1]A) = [\Omega]A$	By Lemma E.5
$[\Omega]\Delta \vdash [\Omega]A \triangleright [\Omega]A_1 \rightarrow [\Omega]A_2$	By above equalities
$[\Omega]\Delta \vdash [\Omega]A_1 \lesssim [\Omega]A_1$	By Lemma 7
◆ $[\Omega]\Delta \vdash e'_1 e'_2 : [\Omega]A_2$	By rule APP
◆ $\lfloor e'_1 e'_2 \rfloor = \lfloor e'_1 \rfloor \lfloor e'_2 \rfloor = \lfloor e_1 \rfloor \lfloor e_2 \rfloor = \lfloor e_1 e_2 \rfloor$	By def. of erasure

• Case

$$\frac{\Gamma \vdash A \quad \Gamma \vdash e \Leftarrow A \dashv \Delta}{\Gamma \vdash e : A \Rightarrow A \dashv \Delta} \text{INF-ANNO}$$

◆ $\Gamma \vdash e \Leftarrow A \dashv \Delta$	Premise
◆ $[\Omega]\Delta \vdash e' : [\Omega]A$	By i.h.,
$\lfloor e \rfloor = \lfloor e' \rfloor$	Above
◆ $\lfloor e : A \rfloor = \lfloor e \rfloor = \lfloor e' \rfloor$	By above equality and the def. of erasure

• Case

$$\frac{\Gamma, a \vdash e \Leftarrow A \dashv \Delta, a, \Theta}{\Gamma \vdash e \Leftarrow \forall a. A \dashv \Delta} \text{CHK-GEN}$$

$\Delta \longrightarrow \Omega$	Given
$\Delta, a \longrightarrow \Omega, a$	By def
$\Gamma, a \longrightarrow \Delta, a, \Theta$	By Lemma G.2
$\Theta$ is soft	By Lemma E.6
$\underbrace{\Delta, a, \Theta}_{\Delta'} \longrightarrow \underbrace{\Omega, a,  \Theta }_{\Omega'}$	By Lemma F.3
$\Gamma, a \vdash e \Leftarrow A \dashv \Delta, a, \Theta$	Premise
$[\Omega']\Delta' \vdash e' : [\Omega']A$	By i.h.,
◆ $\lfloor e \rfloor = \lfloor e' \rfloor$	Above
$[\Omega']A = [\Omega]A$	By Lemma F.2
$[\Omega']\Delta' = [\Omega]\Delta, a$	By Lemma E.16 and def. of context substitution
$[\Omega]\Delta, a \vdash e' : [\Omega]A$	By above equalities
$[\Omega]\Delta \vdash e' : \forall a. [\Omega]A$	By rule GEN
◆ $[\Omega]\Delta \vdash e' : [\Omega](\forall a. A)$	By def. of substitution

• Case

$$\frac{\Gamma, x : A \vdash e \Leftarrow B \dashv \Delta, x : A, \Theta}{\Gamma \vdash \lambda x. e \Leftarrow A \rightarrow B \dashv \Delta} \text{CHK-LAM}$$

$\Delta \longrightarrow \Omega$	Given
$\Delta, x : A \longrightarrow \Omega, x : [\Omega]A$	By def
$\Gamma, x : A \longrightarrow \Delta, x : A, \Theta$	By Lemma G.2
$\Theta$ is soft	By Lemma E.6
$\underbrace{\Delta, x : A, \Theta}_{\Delta'} \longrightarrow \underbrace{\Omega, x : [\Omega]A,  \Theta }_{\Omega'}$	By Lemma F.3
$\Gamma, x : A \vdash e \Leftarrow B \dashv \Delta, x : A, \Theta$	Premise
$[\Omega']\Delta' \vdash e' : [\Omega']B$	By i.h.,
$\llbracket e \rrbracket = \llbracket e' \rrbracket$	Above
$[\Omega']B = [\Omega]B$	By Lemma F.2
$[\Omega']\Delta' = [\Omega]\Delta, x : [\Omega]A$	By Lemma E.16 and def. of context substitution
$[\Omega]\Delta, x : [\Omega]A \vdash e' : [\Omega]B$	By above equalities
$[\Omega]\Delta \vdash \lambda x : [\Omega]A. e' : [\Omega]A \rightarrow [\Omega]B$	By rule LAMANN
◆ $[\Omega]\Delta \vdash \lambda x : [\Omega]A. e' : [\Omega](A \rightarrow B)$	By def. of substitution
◆ $\llbracket \lambda x. e \rrbracket = \lambda x. \llbracket e \rrbracket = \lambda x. \llbracket e' \rrbracket = \llbracket \lambda x : [\Omega]A. e' \rrbracket$	By the def. of erasure
• Case	
$\frac{\Gamma \vdash e \Rightarrow A \dashv \Theta \quad \Theta \vdash [\Theta]A \lesssim [\Theta]B \dashv \Delta}{\Gamma \vdash e \Leftarrow B \dashv \Delta} \text{CHK-SUB}$	

$\Theta \vdash [\Theta]A \lesssim [\Theta]B \dashv \Delta$	Premise
$\Theta \longrightarrow \Delta$	By Lemma E.20
$\Delta \longrightarrow \Omega$	Given
$\Theta \longrightarrow \Omega$	By Lemma E.3
$\Gamma \vdash e \Rightarrow A \dashv \Theta$	Premise
$[\Omega]\Theta \vdash e' : [\Omega]A$	By i.h.,
$\llbracket e \rrbracket = \llbracket e' \rrbracket$	Above
$[\Omega]\Theta = [\Omega]\Delta$	By Lemma E.14
$[\Omega]\Delta \vdash e' : [\Omega]A$	By above equality
$[\Omega]\Delta \vdash [\Omega]([\Theta]A) \lesssim [\Omega]([\Theta]B)$	By Theorem 7.3
$[\Omega]([\Theta]A) = [\Omega]A$	By Lemma E.5
$[\Omega]([\Theta]B) = [\Omega]B$	By Lemma E.5
$[\Omega]\Delta \vdash [\Omega]A \lesssim [\Omega]B$	By above equalities
◆ $[\Omega]\Delta \vdash (e' : [\Omega]B) : [\Omega]B$	By def. annotation
◆ $\llbracket (e' : [\Omega]B) \rrbracket = \llbracket e' \rrbracket = \llbracket e \rrbracket$	By def. erasure

□

## H COMPLETENESS OF CONSISTENT SUBTYPING

**THEOREM 7.5 (INSTANTIATION COMPLETENESS).** *Given  $\Gamma \longrightarrow \Omega$  and  $A = [\Gamma]A$  and  $\hat{a} \notin \text{UNSOLVED}(\Gamma)$  and  $\hat{a} \notin \text{FV}(A)$ :*

- (1) *If  $[\Omega]\Gamma \vdash [\Omega]\hat{a} \lesssim [\Omega]A$ , then there are  $\Delta, \Omega'$  such that  $\Omega \longrightarrow \Omega'$  and  $\Delta \longrightarrow \Omega'$  and  $\Gamma \vdash \hat{a} \lesssim A \dashv \Delta$ .*
- (2) *If  $[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]\hat{a}$ , then there are  $\Delta, \Omega'$  such that  $\Omega \longrightarrow \Omega'$  and  $\Delta \longrightarrow \Omega'$  and  $\Gamma \vdash A \lesssim \hat{a} \dashv \Delta$ .*

**PROOF.** By mutual induction on the given derivation.

- (1) We have  $[\Omega]\Gamma \vdash [\Omega]\hat{a} \lesssim [\Omega]A$ . We case analyze the shape of  $A$ .

- Case  $A = \star, \widehat{a} = \widehat{a}_S$ :

$[\Omega]\Gamma \vdash [\Omega]\widehat{a}_S \lesssim [\Omega]\star$	Given
$[\Omega]\star = \star$	
$[\Omega]\Gamma \vdash [\Omega]\widehat{a}_S \lesssim \star$	By above equality
$\widehat{a}_S \notin \text{UNSOLVED}(\Gamma)$	Given
$\Gamma = \Gamma_L, \widehat{a}_S, \Gamma_R$	Above
$\Gamma_L, \widehat{a}_S, \Gamma_R \longrightarrow \Omega$	Given
$\Omega = \Omega_L, \widehat{a}_S = t, \Omega_R$	By Lemma E.6 and $\Omega$ is complete and $[\Omega]\widehat{a}_S \in \mathbb{C}$
$\Gamma_L \longrightarrow \Omega_L$	Above
Let $\Delta = \Gamma_L, \widehat{a}_G, \widehat{a}_S = \widehat{a}_G, \Gamma_R$	
and $\Omega' = \Omega_L, \widehat{a}_G = t, \widehat{a}_S = t, \Omega_R$	
◆ $\Gamma \vdash \widehat{a}_S \lesssim \star \dashv \Delta$	By rule <a href="#">INSTL-SOLVEUS</a>
◆ $\Delta \longrightarrow \Omega'$	By Lemmas E.9 and E.10
◆ $\Omega \longrightarrow \Omega'$	By Lemmas E.7 and E.8

- Case  $A = \star, \widehat{a} = \widehat{a}_G$ :

$[\Omega]\Gamma \vdash [\Omega]\widehat{a}_G \lesssim [\Omega]\star$	Given
$[\Omega]\star = \star$	
$[\Omega]\Gamma \vdash [\Omega]\widehat{a}_G \lesssim \star$	By above equality
$\widehat{a}_G \notin \text{UNSOLVED}(\Gamma)$	Given
$\Gamma = \Gamma_0[\widehat{a}_G]$	Above
Let $\Delta = \Gamma_0[\widehat{a}_G]$ and $\Omega' = \Omega$	
◆ $\Gamma \vdash \widehat{a}_G \lesssim \star \dashv \Delta$	By rule <a href="#">INSTL-SOLVEUG</a>
◆ $\Delta \longrightarrow \Omega'$	Given
◆ $\Omega \longrightarrow \Omega'$	By Lemma E.2

- Case  $A = \widehat{b}_G, \widehat{a} = \widehat{a}_S$ :

$[\Omega]\Gamma \vdash [\Omega]\widehat{a}_S \lesssim [\Omega]\widehat{b}_G$	Given
$[\Omega]\Gamma \vdash \tau \lesssim t$	Let $[\Omega]\widehat{a}_S = \tau$ and $[\Omega]\widehat{b}_G = t$ and $\Omega$ is predicative
$\tau = t$	By Lemma 8
$[\Gamma]\widehat{b}_G = \widehat{b}_G$	Given
$\widehat{b}_G \in \text{UNSOLVED}(\Gamma)$	Above

Now, consider whether  $\widehat{a}_S$  is declared to the left of  $\widehat{b}_G$ .

–Case  $\Gamma = \Gamma_0, \widehat{a}_S, \Gamma_1, \widehat{b}_G, \Gamma_2$

Let $\Delta = \Gamma_0, \widehat{a}_G, \widehat{a}_S = \widehat{a}_G, \Gamma_1, \widehat{b}_G = \widehat{a}_G, \Gamma_2$	
◆ $\Gamma \vdash \widehat{a}_S \lesssim \widehat{b}_G \dashv \Delta$	By rule <a href="#">INSTL-REACHSG1</a>
$\Gamma \longrightarrow \Omega$	Given
$\Omega = \Omega_0, \widehat{a}_S = t, \Omega_1, \widehat{b}_G = t, \Omega_2$	By Lemma E.6
Let $\Omega' = \Omega_0, \widehat{a}_G = t, \widehat{a}_S = t, \Omega_1, \widehat{b}_G = t, \Omega_2$	
◆ $\Omega \longrightarrow \Omega'$	By Lemmas E.7 and E.8
◆ $\Delta \longrightarrow \Omega'$	By Lemmas E.9 and E.10

–Case  $\Gamma = \Gamma_0, \widehat{b}_G, \Gamma_1, \widehat{a}_S, \Gamma_2$

Let $\Delta = \Gamma_0, \widehat{b}_G, \Gamma_1, \widehat{a}_S = \widehat{b}_G, \Gamma_2$	
◆ $\Gamma \vdash \widehat{a}_S \lesssim \widehat{b}_G \dashv \Delta$	By rule <a href="#">INSTL-REACHOTHER</a>
◆ $\Delta \longrightarrow \Omega$	By Lemma <a href="#">E.10</a>
◆ $\Omega \longrightarrow \Omega$	By Lemma <a href="#">E.2</a>
<ul style="list-style-type: none"> <li>• Case <math>A = \widehat{b}_S</math> is similar to the above case.</li> <li>• Case <math>A = a</math>:</li> </ul>	
$[\Omega]\Gamma \vdash [\Omega]\widehat{a} \lesssim [\Omega]a$	Given
$[\Omega]\Gamma \vdash [\Omega]\widehat{a} \lesssim a$	From $[\Omega]a = a$
$[\Omega]\widehat{a} = a$	By inversion of rule <a href="#">CS-TVAR</a>
$a$ is declared to the left of $\widehat{a}$ in $\Omega$	$\Omega$ is well-formed
$\Gamma \longrightarrow \Omega$	Given
$a$ is declared to the left of $\widehat{a}$ in $\Gamma$	By Lemma <a href="#">E.1</a>
Let $\Gamma = \Gamma_0[a][\widehat{a}]$	
Let $\Delta = \Gamma_0[a][\widehat{a} = a]$	
◆ $\Gamma \vdash \widehat{a} \lesssim a \dashv \Delta$	By rule <a href="#">INSTL-SOLVES</a> or rule <a href="#">INSTL-SOLVEG</a>
◆ $\Delta \longrightarrow \Omega$	By Lemma <a href="#">E.10</a>
◆ $\Omega \longrightarrow \Omega$	By Lemma <a href="#">E.2</a>

• Case  $A = A_1 \rightarrow A_2$ :

$[\Omega]\Gamma \vdash [\Omega]\widehat{a} \lesssim [\Omega]A_1 \rightarrow [\Omega]A_2$	Given
$[\Omega]\widehat{a} = \tau_1 \rightarrow \tau_2$	$\Omega$ is predicative
$[\Omega]\Gamma \vdash [\Omega]A_1 \lesssim \tau_1$	By inversion of rule <a href="#">CS-ARROW</a>
$[\Omega]\Gamma \vdash \tau_2 \lesssim [\Omega]A_2$	Above
$\Gamma = \Gamma_0[\widehat{a}]$	From $\widehat{a} \in \text{UNSOLVED}(\Gamma)$
$\Gamma_0[\widehat{a}] \longrightarrow \underbrace{\Gamma_0[\widehat{a}_2, \widehat{a}_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]}_{\Gamma_1}$	
$\Gamma \longrightarrow \Omega$	Given
$\Omega = \Omega_0[\widehat{a} = \tau_0]$	From $\widehat{a} \in \text{UNSOLVED}(\Gamma)$
$\Omega_0[\widehat{a} = \tau_0] \longrightarrow \underbrace{\Omega_0[\widehat{a}_2 = \tau_2, \widehat{a}_1 = \tau_1, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]}_{\Omega_1}$	
$[\Omega]\Gamma = [\Omega_1]\Gamma_1$	By Lemma <a href="#">E.13</a>
$[\Omega]A_1 = [\Omega_1]A_1$	By Lemma <a href="#">E.12</a>
$\tau_1 = [\Omega_1]\widehat{a}_1$	From def. of $\Omega_1$
$[\Omega_1]\Gamma_1 \vdash [\Omega_1]A_1 \lesssim [\Omega_1]\widehat{a}_1$	By above equalities
$\Gamma_1 \vdash A_1 \lesssim \widehat{a}_1 \dashv \Delta_2$	By i.h.
$\Delta_2 \longrightarrow \Omega_2$ and $\Omega_1 \longrightarrow \Omega_2$	Above
$[\Omega]\Gamma = [\Omega_2]\Gamma_2$	By Lemma <a href="#">E.13</a>
$[\Omega]A_2 = [\Omega_2]A_2 = [\Omega_2]([\Delta_2]A_2)$	By Lemma <a href="#">E.12</a>
$\tau_2 = [\Omega_2]\widehat{a}_2$	By $\Omega_1 \longrightarrow \Omega_2$
$[\Omega_2]\Delta_2 \vdash [\Omega_2]\widehat{a}_2 \lesssim [\Omega_2]([\Delta_2]A_2)$	By above equalities
$\Delta_2 \vdash \widehat{a}_2 \lesssim [\Delta_2]A_2 \dashv \Delta$	By i.h.



$\Omega_2 \longrightarrow \Omega'$ $\blacklozenge \Delta \longrightarrow \Omega'$ $\blacklozenge \Gamma_0[\widehat{a}] \vdash \widehat{a} \lesssim A_1 \rightarrow A_2 \dashv \Delta$ $\blacklozenge \Omega \longrightarrow \Omega'$	Above Above By rule <a href="#">INSTL-ARR</a> By Lemma <a href="#">E.3</a>
<ul style="list-style-type: none"> <li>Case <math>A = \text{Int}</math>:</li> </ul>	
$[\Omega]\Gamma \vdash [\Omega]\widehat{a} \lesssim [\Omega]\text{Int}$ $[\Omega]\text{Int} = \text{Int}$ $[\Omega]\Gamma \vdash [\Omega]\widehat{a} \lesssim \text{Int}$ $[\Omega]\widehat{a} = \text{Int}$ $\widehat{a} \in \text{UNSOLVED}(\Gamma)$ $\Gamma = \Gamma_0[\widehat{a}]$ Let $\Delta = \Gamma_0[\widehat{a} = \text{Int}]$ and $\Omega' = \Omega$ $\Gamma_0[\widehat{a}] \vdash \widehat{a} \lesssim \text{Int} \dashv \Delta$ $\Gamma \longrightarrow \Omega$ $\Gamma_0[\widehat{a} = \text{Int}] \longrightarrow \Omega$	Given By above equality $\Omega$ is predicative Given Above By rule <a href="#">INSTL-SOLVES</a> or rule <a href="#">INSTL-SOLVEG</a> Given By Lemma <a href="#">E.10</a>
<ul style="list-style-type: none"> <li>Case <math>A = \forall b. B</math>:</li> </ul>	
$[\Omega]\Gamma \vdash [\Omega]\widehat{a} \lesssim \forall b. [\Omega]B$ $[\Omega]\widehat{a}$ cannot be a quantifier $[\Omega]\Gamma, b \vdash [\Omega]\widehat{a} \lesssim [\Omega]B$	Given $\Omega$ is predicative By inversion of rule <a href="#">CS-FORALLR</a>
$[\Omega]\Gamma, b = [\Omega, b](\Gamma, b)$ $[\Omega]\widehat{a} = [\Omega, b]\widehat{a}$ $[\Omega]B = [\Omega, b]B$ $[\Omega, b](\Gamma, b) \vdash [\Omega, b]\widehat{a} \lesssim [\Omega, b]B$ $\Gamma, b \vdash \widehat{a} \lesssim B \dashv \Delta_0$ $\Delta_0 \longrightarrow \Omega'$ $\Omega, b \longrightarrow \Omega'$ $\blacklozenge \Omega \longrightarrow \Omega'$	By def. of context substitution By def. of substitution By def. of substitution By above equalities By i.h. Above Above By Lemma <a href="#">E.11</a>
$\Gamma, b \longrightarrow \Delta_0$ $\Delta_0 = \Delta, b, \Delta'$ $\Gamma \longrightarrow \Delta$ $\blacklozenge \Delta \longrightarrow \Omega'$ $\blacklozenge \Gamma \vdash \widehat{a} \lesssim \forall b. B \dashv \Delta$	By Lemma <a href="#">E.18</a> By Lemma <a href="#">E.6</a> Above By rule <a href="#">INSTL-FORALLR</a>

(2) Now, we have  $[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]\widehat{a}$ . These cases are mostly symmetric. The one exception is when  $A = \forall b. B$ .

• Case  $A = \forall b. B$ :

$[\Omega]\Gamma \vdash \forall b. [\Omega]B \lesssim [\Omega]\widehat{a}$	Given
$[\Omega]\widehat{a}$ cannot be a quantifier	$\Omega$ is predicative
$[\Omega]\Gamma \vdash \tau$	By inversion of rule <a href="#">CS-FORALLL</a>
$[\Omega]\Gamma \vdash ([\Omega]B)[b \mapsto \tau] \lesssim [\Omega]\widehat{a}$	Above

$[\Omega]\Gamma = [\Omega, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S = \tau](\Gamma, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S)$  By def. of context application

$([\Omega]B)[b \mapsto \tau] = [\Omega, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S = \tau](B[b \mapsto \widehat{b}_S])$  by def. of substitution  
 $[\Omega]\widehat{a} = [\Omega, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S = \tau]\widehat{a}$  By def. of substitution  
 $[\Omega, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S = \tau](\Gamma, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S) \vdash [\Omega, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S = \tau](B[b \mapsto \widehat{b}_S]) \lesssim [\Omega, \widehat{b}_S = \tau]\widehat{a}$  By above equalities  
 $\Gamma, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S \vdash B[b \mapsto \widehat{b}_S] \lesssim \widehat{a} \div \Delta$  By i.h.  
 $\Gamma, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S \longrightarrow \Delta$  By Lemma E.18  
 $\Delta = \Delta_L, \blacktriangleright_{\widehat{b}_S}, \Delta_R$  By Lemma E.6  
 $\Gamma \longrightarrow \Delta_L$  Above  
 $\Omega, \blacktriangleright_{\widehat{b}_S}, \widehat{b}_S = \tau \longrightarrow \Omega'$  Above  
 $\Omega' = \Omega_L, \blacktriangleright_{\widehat{b}_S}, \Omega_R$  By Lemma E.6  
 $\diamond \Omega \longrightarrow \Omega_L$  Above  
 $\diamond \Delta_L \longrightarrow \Omega_L$  Lemma E.3  
 $\diamond \Gamma \vdash \forall b. B \lesssim \widehat{a} \div \Delta_L$  By rule INSTR-FORALLL □

Table 1. List of Cases

		[Γ]B							
		$\forall b. B'$	$\int$	$a$	$\widehat{b}$	$\star$	$B_1 \rightarrow B_2$	$S$	$\mathcal{G}$
[Γ]A	$\forall a. A'$	1 (B poly)	2.Poly	2.Poly	2.Poly	1 (B unknown)	2.Poly	2.Poly	2.Poly
	$\int$	1 (B poly)	2.Ints	Impossible	2.BEx.Int	1 (B unknown)	Impossible	Impossible	Impossible
	$a$	1 (B poly)	Impossible	2.UVars	2.BEx.UVar	1 (B unknown)	Impossible	Impossible	Impossible
	$\widehat{a}$	1 (B poly)	2.AEx.Int	2.AEx.UVar	2.AEx.SameEx 2.AEx.OtherEx	1 (B unknown)	2.AEx.Arrow	2.AEx.S	2.AEx.G
	$\star$	1 (B poly)	2.Unknown	2.Unknown	2.Unknown	1 (B unknown)	2.Unknown	Impossible	2.Unknown
	$A_1 \rightarrow A_2$	1 (B poly)	Impossible	Impossible	2.BEx.Arrow	1 (B unknown)	2.Arrows	Impossible	Impossible
		$S$	1 (B poly)	Impossible	2.BEx.S	Impossible	Impossible	2.S	Impossible
		$\mathcal{G}$	1 (B poly)	Impossible	2.BEx.G	1 (B unknown)	Impossible	Impossible	2.G

**THEOREM 7.6 (GENERALIZED COMPLETENESS OF CONSISTENT SUBTYPING).** *If  $\Gamma \longrightarrow \Omega$  and  $\Gamma \vdash A$  and  $\Gamma \vdash B$  and  $[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]B$ , then there exist  $\Delta$  and  $\Omega'$  such that  $\Delta \longrightarrow \Omega'$  and  $\Omega \longrightarrow \Omega'$  and  $\Gamma \vdash [\Gamma]A \lesssim [\Gamma]B \div \Delta$ .*

**PROOF.** By induction on the given declarative derivation. We list all the possible cases in Table 1. We first split on  $[\Gamma]B$ .

- Case 1 (B poly) :  $[\Gamma]B$  is polymorphic:  $[\Gamma]B = \forall b. B'$ :

$B = \forall b. B_0$	$\Gamma$ is predicative
$B' = [\Gamma]B_0$	$\Gamma$ is predicative
$[\Omega]B = \forall b. [\Omega]B_0$	By def. of substitution
$[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]B$	Premise
$[\Omega]\Gamma \vdash [\Omega]A \lesssim \forall b. [\Omega]B_0$	By above equality
$[\Omega]\Gamma, b \vdash [\Omega]A \lesssim [\Omega]B_0$	By Lemma D.1
$[\Omega]\Gamma, b = [\Omega, b](\Gamma, b)$	By def. of substitution
$[\Omega]A = [\Omega, b]A$	By def. of substitution
$[\Omega]B = [\Omega, b]B$	By def. of substitution
$[\Omega, b](\Gamma, b) \vdash [\Omega, b]A \lesssim [\Omega, b]B_0$	By above equalities

$\Gamma, b \vdash [\Gamma, b]A \lesssim [\Gamma, b]B_0 \dashv \Delta'$	By i.h.
$\Delta' \longrightarrow \Omega'_0$	Above
$\Omega, b \longrightarrow \Omega'_0$	Above
$\Gamma, b \vdash [\Gamma]A \lesssim [\Gamma]B_0 \dashv \Delta'$	By def. of substitution
$\Gamma, b \longrightarrow \Delta'$	By Lemma E.18
$\Delta' = \Delta, b, \Theta$	By Lemma E.6
$\Gamma \longrightarrow \Delta$	Above
$\Delta, b, \Theta \longrightarrow \Omega'_0$	By $\Delta' \longrightarrow \Omega'_0$ and above equality
$\Omega'_0 = \Omega', b, \Omega_R$	By Lemma E.6
◆ $\Delta \longrightarrow \Omega'$	Above
$\Omega, b \longrightarrow \Omega', b, \Omega_R$	By above equality
◆ $\Omega \longrightarrow \Omega'$	By Lemma E.6
$\Gamma, b \vdash [\Gamma]A \lesssim [\Gamma]B_0 \dashv \Delta, b, \Theta$	By above equality
$\Gamma \vdash [\Gamma]A \lesssim \forall b. [\Gamma]B_0 \dashv \Delta$	By rule AS-FORALLR
◆ $\Gamma \vdash [\Gamma]A \lesssim \forall b. B' \dashv \Delta$	By above equality
• Case 1 (B unknown) : $[\Gamma]B = \star$ :	
$[\Omega]B = \star$	Given
$[\Omega]\Gamma \vdash [\Omega]A \lesssim \star$	Above
$[\Omega]A \in \mathbb{C}$	Given
$\Gamma \longrightarrow \Omega$	Above
$[\Gamma]A \in \mathbb{C}$	Given
$\Gamma \vdash [\Gamma]A \lesssim \star \dashv \text{contaminate}(\Gamma, [\Gamma]A)$	By rule AS-UNKNOWNRRR
There exists $\Omega'$ such that $\text{contaminate}(\Gamma, [\Gamma]A) \longrightarrow \Omega'$ and $\Omega \longrightarrow \Omega'$	
• Case 2.*: $[\Gamma]B$ is not polymorphic. We split on the form of $[\Omega]A$ .	
–Case 2.Poly : $[\Omega]A$ is polymorphic: $[\Gamma]A = \forall a. A'$ :	
$A = \forall a. A_0$	$\Gamma$ is predicative
$A' = [\Gamma]A_0$	$\Gamma$ is predicative
$[\Omega]A = \forall a. [\Omega]A_0$	By def. of substitution
$[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]B$	Premise
$[\Omega]\Gamma \vdash \forall a. [\Omega]A_0 \lesssim [\Omega]B$	By above equality
$[\Omega]\Gamma \vdash ([\Omega]A_0)[a \mapsto \tau] \lesssim [\Omega]B$	By inversion on rule CS-FORALLL
$[\Omega]\Gamma \vdash \tau$	Above
$[\Omega]\Gamma = [\Omega, \widehat{a} = \tau](\Gamma, \widehat{a})$	By def. of substitution
$([\Omega]A_0)[a \mapsto \tau] = [\Omega, \widehat{a} = \tau](A_0[a \mapsto \widehat{a}])$	By def. of substitution
$[\Omega]B = [\Omega, \widehat{a} = \tau]B$	By def. of substitution
$[\Omega, \widehat{a} = \tau](\Gamma, \widehat{a}) \vdash [\Omega, \widehat{a} = \tau](A_0[a \mapsto \widehat{a}]) \lesssim [\Omega, \widehat{a} = \tau]B$	By above equalities
$\Gamma, \widehat{a} \vdash [\Gamma, \widehat{a}](A_0[a \mapsto \widehat{a}]) \lesssim [\Gamma, \widehat{a}]B \dashv \Delta$	By i.h.
◆ $\Delta \longrightarrow \Omega'$	Above
$\Omega, \widehat{a} = \tau \longrightarrow \Omega'$	Above
◆ $\Omega \longrightarrow \Omega'$	By Lemma E.11
$[\Gamma, \widehat{a}](A_0[a \mapsto \widehat{a}]) = ([\Gamma]A_0)[a \mapsto \widehat{a}]$	By def. of substitution

$[\Gamma, \widehat{a}]B = [\Gamma]B$	By def. of substitution
$\Gamma, \widehat{a} \vdash ([\Gamma]A_0)[a \mapsto \widehat{a}] \lesssim [\Gamma]B \dashv \Delta$	By above equality
$\Gamma \vdash \forall a. ([\Gamma]A_0) \lesssim [\Gamma]B \dashv \Delta$	By rule <a href="#">AS-FORALLLL</a>
◆ $\Gamma \vdash \forall a. A' \lesssim [\Gamma]B \dashv \Delta$	By above equality

—Case [2.Unknown](#) :  $[\Gamma]A = \star$ :

$[\Omega]A = \star$	Obviously, what else?
$[\Omega]\Gamma \vdash \star \lesssim [\Omega]B$	Given
$[\Omega]B \in \mathbb{C}$	Above
$\Gamma \longrightarrow \Omega$	Given
$[\Gamma]B \in \mathbb{C}$	Above
$\Gamma \vdash \star \lesssim [\Gamma]B \dashv \text{contaminate}(\Gamma, [\Gamma]B)$	By rule <a href="#">AS-UNKNOWNLL</a>
There exists $\Omega'$ such that $\text{contaminate}(\Gamma, [\Gamma]B) \longrightarrow \Omega'$ and $\Omega \longrightarrow \Omega'$	

—Case [2.AEx.\\*](#) :  $[\Gamma]A$  is an existential variable:  $[\Gamma]A = \widehat{a}$ . We split on the form of  $[\Gamma]B$ .

\* Case [2.AEx.SameEx](#) .  $[\Gamma]B$  is the same existential variable  $[\Gamma]B = \widehat{a}$ :

$\Gamma \vdash \widehat{a} \lesssim \widehat{a} \dashv \Gamma$	By rule <a href="#">AS-EVAR</a>
◆ $\Gamma \vdash [\Gamma]A \lesssim [\Gamma]B \dashv \Gamma$	By above equality
◆ $\Delta \longrightarrow \Omega$	$\Delta = \Gamma$
◆ $\Omega \longrightarrow \Omega'$	By Lemma <a href="#">E.2</a> and $\Omega' = \Omega$

\* Case [2.AEx.OtherEx](#) .  $[\Gamma]B$  is a different existential variable  $[\Gamma]B = \widehat{b}$  where  $\widehat{b} \neq \widehat{a}$ :

$[\Omega]A = [\Omega]([\Gamma]A) = [\Omega]\widehat{a}$	By Lemma <a href="#">E.5</a>
$[\Omega]B = [\Omega]([\Gamma]B) = [\Omega]\widehat{b}$	By Lemma <a href="#">E.5</a>
$[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]B$	Given
$[\Omega]\Gamma \vdash [\Omega]\widehat{a} \lesssim [\Omega]\widehat{b}$	By above equalities
$\Gamma \vdash \widehat{a} \lesssim \widehat{b} \dashv \Delta$	By Theorem <a href="#">7.5</a>
◆ $\Delta \longrightarrow \Omega'$	Above
◆ $\Omega \longrightarrow \Omega'$	Above
$\Gamma \vdash \widehat{a} \lesssim \widehat{b} \dashv \Delta$	By rule <a href="#">AS-INSTL</a>
◆ $\Gamma \vdash [\Gamma]A \lesssim [\Gamma]B \dashv \Delta$	By above equalities

\* Case [2.AEx.Int](#) . We have  $[\Gamma]B = \text{Int}$ :

$\Gamma \longrightarrow \Omega$	Given
$[\Omega]B = \text{Int} = [\Omega]\text{Int}$	By def. of substitution
$[\Omega]A = [\Omega]([\Gamma]A) = [\Omega]\widehat{a}$	By Lemma <a href="#">E.5</a>
$[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]B$	Given
$[\Omega]\Gamma \vdash [\Omega]\widehat{a} \lesssim [\Omega]\text{Int}$	By above equalities
$\Gamma \vdash \widehat{a} \lesssim \text{Int} \dashv \Delta$	By Theorem <a href="#">7.5</a>
◆ $\Delta \longrightarrow \Omega'$	Above
◆ $\Omega \longrightarrow \Omega'$	Above
$\Gamma \vdash \widehat{a} \lesssim \text{Int} \dashv \Delta$	By rule <a href="#">AS-INSTL</a>
◆ $\Gamma \vdash [\Gamma]A \lesssim [\Gamma]B \dashv \Delta$	By above equalities

- \* Case **2.AEx.UVar**. We have  $[\Gamma]B = b$ . Similar to Case **2.AEx.Int**.
- \* Case **2.AEx.Arrow**.  $[\Gamma]B = B_1 \rightarrow B_2$ . We prove  $\hat{a} \notin \text{fv}([\Gamma]B)$ . Suppose for a contradiction, that  $\hat{a} \in \text{fv}([\Gamma]B)$ , then  $\hat{a}$  must be a subterm of  $[\Gamma]B$ , so is  $[\Omega]\hat{a}$  a subterm of  $[\Omega]([\Gamma]B)$ . The latter is equal to  $[\Omega]B$ , so  $[\Omega]\hat{a}$  is a subterm of  $[\Omega]B$ . Since  $[\Gamma]B = B_1 \rightarrow B_2$ , then  $[\Omega]B$  must have the form  $B'_1 \rightarrow B'_2$ . Therefore,  $[\Omega]\hat{a}$  must occur in either  $B'_1$  or  $B'_2$ . But, we have  $[\Omega]\Gamma \vdash [\Omega]\hat{a} \lesssim [\Omega]B$ . That is,  $[\Omega]\hat{a}$  cannot be a subterm of  $[\Omega]B$ . This is a contradiction.

$\hat{a} \notin \text{fv}([\Gamma]B)$	Proved above
$\Gamma \longrightarrow \Omega$	Given
$[\Omega]B = [\Omega]([\Gamma]B)$	By Lemma E.5
$[\Omega]\Gamma \vdash [\Omega]\hat{a} \lesssim [\Omega]B$	Given
$[\Omega]\Gamma \vdash [\Omega]\hat{a} \lesssim [\Omega]([\Gamma]B)$	By above equality
$\Gamma \vdash \hat{a} \lesssim [\Gamma]B \vdash \Delta$	By Theorem 7.5
◆ $\Delta \longrightarrow \Omega'$	Above
◆ $\Omega \longrightarrow \Omega'$	Above
$\Gamma \vdash \hat{a} \lesssim [\Gamma]B \vdash \Delta$	By rule <b>CS-INSTL</b>
◆ $\Gamma \vdash [\Gamma]A \lesssim [\Gamma]B \vdash \Delta$	By above equalities

- \* Case **2.AEx.S** and **2.AEx.S**. Similar to Case **2.AEx.Int**.
- Case **2.BEx.\***.  $[\Gamma]A$  is not polymorphic and  $[\Gamma]B$  is an existential variable:  $[\Gamma]B = \hat{b}$ . We split on the form of  $[\Gamma]A$ .
  - \* Case **2.BEx.Int**. Similar to Case **2.AEx.Unit**.
  - \* Case **2.BEx.UVar**. Similar to Case **2.AEx.UVar**.
  - \* Case **2.BEx.Arrow**. Similar to Case **2.AEx.Arrow**.
  - \* Case **2.BEx.S**. Similar to Case **2.AEx.S**.
  - \* Case **2.BEx.G**. Similar to Case **2.AEx.G**.
- We use the second part of Theorem 7.5 and apply rule **AS-INSTR**.
- Case **2.Ints**.  $[\Gamma]A = [\Gamma]B = \text{Int}$ :

◆ $\Gamma \vdash \text{Int} \lesssim \text{Int} \vdash \Gamma$	By rule <b>AS-INT</b>
$\Gamma \longrightarrow \Omega$	Given
◆ $\Delta \longrightarrow \Omega'$	$\Delta = \Gamma$
◆ $\Omega \longrightarrow \Omega'$	By Lemma E.2 and $\Omega' = \Omega$

- Case **2.UVars**.  $[\Gamma]A = [\Gamma]B = a$ :

◆ $\Gamma \vdash a \lesssim a \vdash \Gamma$	By rule <b>AS-TVAR</b>
$\Gamma \longrightarrow \Omega$	Given
◆ $\Delta \longrightarrow \Omega'$	$\Delta = \Gamma$
◆ $\Omega \longrightarrow \Omega'$	By Lemma E.2 and $\Omega' = \Omega$

- Case **2.Arrows**. Let  $[\Gamma]A = A_1 \rightarrow A_2$  and  $[\Gamma]B = B_1 \rightarrow B_2$ :

$\Gamma \longrightarrow \Omega$	Given
$[\Omega]A = [\Omega]([\Gamma]A) = [\Omega]A_1 \rightarrow [\Omega]A_2$	By Lemma E.5
$[\Omega]B = [\Omega]([\Gamma]B) = [\Omega]B_1 \rightarrow [\Omega]B_2$	By Lemma E.5
$[\Omega]\Gamma \vdash [\Omega]A \lesssim [\Omega]B$	Given

$[\Omega]\Gamma \vdash [\Omega]B_1 \lesssim [\Omega]A_1$	Premise
$\Gamma \vdash [\Gamma]B_1 \lesssim [\Gamma]A_1 \dashv \Theta$	By i.h.
$\Theta \longrightarrow \Omega_0$	Above
$\Omega \longrightarrow \Omega_0$	Above
$\Gamma \longrightarrow \Omega_0$	By Lemma E.3

$[\Omega]\Gamma = [\Omega_0]\Theta$	By Lemma E.14
$[\Omega]A_2 = [\Omega_0]([\Gamma]A_2)$	By Lemma E.5
$[\Omega]B_2 = [\Omega_0]([\Gamma]B_2)$	By Lemma E.5
$[\Omega]\Gamma \vdash [\Omega]A_2 \lesssim [\Omega]B_2$	Premise
$[\Omega_0]\Theta \vdash [\Omega_0]([\Gamma]A_2) \lesssim [\Omega_0]([\Gamma]B_2)$	By above equalities
$\Theta \vdash [\Theta]([\Gamma]A_2) \lesssim [\Theta]([\Gamma]B_2) \dashv \Delta$	By i.h.
◆ $\Delta \longrightarrow \Omega'$	Above
$\Omega_0 \longrightarrow \Omega'$	Above

◆ $\Gamma \vdash [\Gamma](A_1 \rightarrow A_2) \lesssim [\Gamma](B_1 \rightarrow B_2) \dashv \Delta$	By rule AS-ARROW
◆ $\Omega \longrightarrow \Omega'$	By Lemma E.3

—Case 2.S :  $[\Gamma]A = [\Gamma]B = \mathcal{S}$ .

◆ $\Gamma \vdash \mathcal{S} \lesssim \mathcal{S} \dashv \Gamma$	By rule AS-SPAR
$\Gamma \longrightarrow \Omega$	Given
◆ $\Delta \longrightarrow \Omega'$	$\Delta = \Gamma$
◆ $\Omega \longrightarrow \Omega'$	By Lemma E.2 and $\Omega' = \Omega$

—Case 2.G . Similar to Case 2.S .

## I COMPLETENESS OF TYPING

**THEOREM 7.7 (MATCHING COMPLETENESS).** *Given  $\Gamma \longrightarrow \Omega$  and  $\Gamma \vdash A$ , if  $[\Omega]\Gamma \vdash [\Omega]A \triangleright A_1 \rightarrow A_2$  then there exist  $\Delta, \Omega', A'_1$  and  $A'_2$  such that  $\Gamma \vdash [\Gamma]A \triangleright A'_1 \rightarrow A'_2 \dashv \Delta$  and  $\Delta \longrightarrow \Omega'$  and  $\Omega \longrightarrow \Omega'$  and  $A_1 = [\Omega']A'_1$  and  $A_2 = [\Omega']A'_2$ .*

**PROOF.** By induction on the given derivation. We split on  $[\Gamma]A$ .

- $[\Gamma]A = \forall a. A'$ :

$A = \forall a. A_0$	$\Gamma$ is predicative
$A' = [\Gamma]A_0$	$\Gamma$ is predicative
$[\Omega]A = \forall a. [\Omega]A_0$	By def. of substitution
$[\Omega]\Gamma \vdash [\Omega]A \triangleright A_1 \rightarrow A_2$	Given
$[\Omega]\Gamma \vdash \forall a. [\Omega]A_0 \triangleright A_1 \rightarrow A_2$	By above equality
$[\Omega]\Gamma \vdash ([\Omega]A_0)[a \mapsto \tau] \triangleright A_1 \rightarrow A_2$	By inversion
$[\Omega]\Gamma \vdash \tau$	Above
$\Gamma \longrightarrow \Omega$	Given
$\Gamma, \widehat{a}_S \longrightarrow \Omega, \widehat{a}_S$	By def. of context extension

$[\Omega]\Gamma = [\Omega, \widehat{a}_S = \tau](\Gamma, \widehat{a}_S)$	By def. of context application
$([\Omega]A_0)[a \mapsto \tau] = [\Omega, \widehat{a}_S = \tau](A_0[a \mapsto \widehat{a}_S])$	By def. of substitution
$[\Omega, \widehat{a}_S = \tau](\Gamma, \widehat{a}_S) \vdash [\Omega, \widehat{a}_S = \tau](A_0[a \mapsto \widehat{a}_S]) \triangleright A_1 \rightarrow A_2$	By above equalities
$\Gamma, \widehat{a}_S \vdash [\Gamma, \widehat{a}_S](A_0[a \mapsto \widehat{a}_S]) \triangleright A'_1 \rightarrow A'_2 \dashv \Delta$	By i.h.

◆ $\Delta \longrightarrow \Omega'$ and $\Omega, \widehat{a}_S = \tau \longrightarrow \Omega'$	Above
◆ $A_1 = [\Omega']A'_1$ and $A_2 = [\Omega']A'_2$	Above
$[\Gamma, \widehat{a}_S](A_0[a \mapsto \widehat{a}_S]) = ([\Gamma]A_0)[a \mapsto \widehat{a}_S]$	By def. of substitution
$\Gamma, \widehat{a}_S \vdash ([\Gamma]A_0)[a \mapsto \widehat{a}_S] \triangleright A'_1 \rightarrow A'_2 \dashv \Delta$	By above equality
$\Gamma \vdash \forall a. [\Gamma]A_0 \triangleright A'_1 \rightarrow A'_2 \dashv \Delta$	By rule <a href="#">AM-FORALL</a>
$[\Gamma]A = \forall a. A' = \forall a. [\Gamma]A_0$	By above equalities
◆ $\Gamma \vdash [\Gamma]A \triangleright A'_1 \rightarrow A'_2 \dashv \Delta$	Above

- $[\Gamma]A = A'_1 \rightarrow A'_2$ :

$[\Omega]A = [\Omega]([\Gamma]A) = [\Omega]A'_1 \rightarrow [\Omega]A'_2$	By Lemma <a href="#">E.5</a>
$[\Omega]\Gamma \vdash [\Omega]A'_1 \rightarrow [\Omega]A'_2 \triangleright A_1 \rightarrow A_2$	Given
Let $\Delta = \Gamma$ and $\Omega' = \Omega$	
◆ $[\Omega]A'_1 = A_1$ and $[\Omega]A'_2 = A_2$	
◆ $\Gamma \vdash A'_1 \rightarrow A'_2 \triangleright A'_1 \rightarrow A'_2 \dashv \Gamma$	By rule <a href="#">AM-ARR</a>
◆ $\Delta \longrightarrow \Omega'$	Given $\Gamma \longrightarrow \Omega$
◆ $\Omega \longrightarrow \Omega'$	By Lemma <a href="#">E.2</a>

- $[\Gamma]A = \star$ :

$[\Omega]A = [\Omega]([\Gamma]A) = \star$	By Lemma <a href="#">E.5</a>
$[\Omega]\Gamma \vdash \star \triangleright A_1 \rightarrow A_2$	Given
Let $\Delta = \Gamma$ and $\Omega' = \Omega$	
◆ $A_1 = \star$ and $A_2 = \star$	
◆ $\Gamma \vdash \star \triangleright \star \rightarrow \star \dashv \Gamma$	By rule <a href="#">AM-UNKNOWN</a>
◆ $\Delta \longrightarrow \Omega'$	Given $\Gamma \longrightarrow \Omega$
◆ $\Omega \longrightarrow \Omega'$	By Lemma <a href="#">E.2</a>

- $[\Gamma]A = \widehat{a}$ :

$\Gamma = \Gamma_0[\widehat{a}]$	Since $\widehat{a} \in \text{UNSOLVED}(\Gamma)$
$[\Omega]A = [\Omega]([\Gamma]A) = [\Omega]\widehat{a}$	By Lemma <a href="#">E.5</a>
$[\Omega]\Gamma \vdash [\Omega]\widehat{a} \triangleright A_1 \rightarrow A_2$	Given
$[\Omega]\widehat{a} = \tau_1 \rightarrow \tau_2$ and $A_1 = \tau_1$ and $A_2 = \tau_2$	$\Omega$ is predicate
$\Omega = \Omega_0[\widehat{a} = \tau']$ and $[\Omega]\tau' = \tau_1 \rightarrow \tau_2$	Above
Let $\Delta = \Gamma_0[\widehat{a}_1, \widehat{a}_2, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]$	
Let $\Omega' = \Omega_0[\widehat{a}_1 = \tau_1, \widehat{a}_2 = \tau_2, \widehat{a} = \widehat{a}_1 \rightarrow \widehat{a}_2]$	
◆ $\Delta \longrightarrow \Omega'$	By Lemma <a href="#">E.9</a> twice
◆ $\Omega \longrightarrow \Omega'$	By Lemma <a href="#">E.10</a> and Lemma <a href="#">E.9</a>
◆ $\Gamma_0[\widehat{a}] \vdash \widehat{a} \triangleright \widehat{a}_1 \rightarrow \widehat{a}_2 \dashv \Delta$	By rule <a href="#">AM-VAR</a>
◆ $A_1 = \tau_1 = [\Omega']\widehat{a}_1$ and $A_2 = \tau_2 = [\Omega']\widehat{a}_2$	Above

**THEOREM 7.8 (COMPLETENESS OF ALGORITHMIC TYPING).** *Given  $\Gamma \longrightarrow \Omega$  and  $\Gamma \vdash A$ , if  $[\Omega]\Gamma \vdash e : A$  then there exist  $\Delta, \Omega', A'$  and  $e'$  such that  $\Delta \longrightarrow \Omega'$  and  $\Omega \longrightarrow \Omega'$  and  $\Gamma \vdash e' \Rightarrow A' \dashv \Delta$  and  $A = [\Omega']A'$  and  $\lfloor e \rfloor = \lfloor e' \rfloor$ .*

**PROOF.** By induction on the given derivation.

- Case

$$\frac{(x : A) \in [\Omega]\Gamma}{[\Omega]\Gamma \vdash x : A} \text{VAR}$$



$(x : A) \in [\Omega]\Gamma$	Premise
$\Gamma \longrightarrow \Omega$	Given
$(x : A') \in \Gamma$ where $[\Omega]A' = [\Omega]A$	From def. of context application
Let $\Delta = \Gamma$ and $\Omega' = \Omega$ .	
◆ $\Gamma \longrightarrow \Omega$	Given
◆ $\Omega \longrightarrow \Omega$	By Lemma E.2
◆ $\Gamma \vdash x \Rightarrow A' \dashv \Gamma$	By rule INF-VAR
◆ $[\Omega]A' = [\Omega]A = A$	A is well-formed in $[\Omega]\Gamma$
◆ $\lfloor x \rfloor = \lfloor x \rfloor$	By def. of erasure

• Case

$$\overline{[\Omega]\Gamma \vdash n : \text{Int}}^{\text{INT}}$$

Let $A' = \text{Int}$ and $\Delta = \Gamma$ and $\Omega' = \Omega$ .	
◆ $\Gamma \longrightarrow \Omega$	Given
◆ $\Omega \longrightarrow \Omega$	By Lemma E.2
◆ $\Gamma \vdash n \Rightarrow \text{Int} \dashv \Gamma$	By rule INF-INT
◆ $[\Omega]\text{Int} = \text{Int}$	
◆ $\lfloor n \rfloor = \lfloor n \rfloor$	By def. of erasure

• Case

$$\frac{[\Omega]\Gamma, x : A \vdash e : B}{[\Omega]\Gamma \vdash \lambda x : A. e : A \rightarrow B}^{\text{LAMANN}}$$

Let $\Omega_0 = \Omega, x : A$ .	
$[\Omega_0](\Gamma, x : A) = [\Omega]\Gamma, x : A$	From def. of context application
$[\Omega_0](\Gamma, x : A) \vdash e : B$	By above equality and premise
$\Gamma, x : A \vdash e' \Rightarrow B_0 \dashv \Delta_0$	By i.h.
$\Delta_0 \longrightarrow \Omega'$	Above
$\Omega_0 \longrightarrow \Omega'$	Above
$B = [\Omega']B_0$	Above
$\lfloor e \rfloor = \lfloor e' \rfloor$	Above
$\Gamma, x : A \longrightarrow \Delta_0$	By Lemma G.2
$\Delta_0 = \Delta_1, x : A', \Delta_2$	By Lemma E.6
$[\Delta_1]A = [\Delta_1]A'$	Above
$\Gamma \longrightarrow \Delta_1$	Above
$A = [\Delta_1]A'$	A has no evar
$\Gamma, x : A \vdash e' \Rightarrow B_0 \dashv \Delta_1, x : A, \Delta_2$	By above equalities
$\Gamma, x : A \vdash e' \Leftarrow B_0 \dashv \Delta_1, x : A, \Delta_2$	By rule CHK-SUB

$\Delta_1, x : A', \Delta_2 \longrightarrow \Omega'$	By above equalities
$\Omega' = \Omega_1, x : A'', \Omega_2$	By Lemma E.6
$[\Omega_1]A' = [\Omega_1]A''$	Above
◆ $\Delta_1 \longrightarrow \Omega_1$	Above
$\Omega, x : A \longrightarrow \Omega_1, x : A'', \Omega_2$	By above equalities
◆ $\Omega \longrightarrow \Omega_1$	By Lemma E.6

$$\Gamma \vdash \lambda x. e' \Leftarrow A \rightarrow B_0 \dashv \Delta_1 \quad \text{rule CHK-LAM}$$

- ◆  $\Gamma \vdash (\lambda x. e') : A \rightarrow B_0 \Rightarrow A \rightarrow B_0 \dashv \Delta_1$  | rule **INF-ANNO**
- ◆  $[\Omega_1](A \rightarrow B_0) = A \rightarrow [\Omega']B_0 = A \rightarrow B$  | From above equality
- ◆  $[\lambda x : A. e] = \lambda x. \tilde{[e]} = \lambda x. \tilde{[e']} = [(\lambda x. e') : A \rightarrow B_0]$  | By def. of erasure

• Case

$$\frac{[\Omega]\Gamma \vdash e_1 : A \quad [\Omega]\Gamma \vdash A \triangleright A_1 \rightarrow A_2 \quad [\Omega]\Gamma \vdash e_2 : A_3 \quad [\Omega]\Gamma \vdash A_3 \lesssim A_1}{[\Omega]\Gamma \vdash e_1 e_2 : A_2} \text{APP}$$

$[\Omega]\Gamma \vdash e_1 : A$	Premise
$\Gamma \longrightarrow \Omega$	Given
$\Gamma \vdash e'_1 \Rightarrow A' \dashv \Theta_1$	By i.h.
$\Theta_1 \longrightarrow \Omega'_0$	Above
$\Omega \longrightarrow \Omega'_0$	Above
$A = [\Omega'_0]A'$	Above
$[e_1] = [e'_1]$	Above

$[\Omega]\Gamma \vdash A \triangleright A_1 \rightarrow A_2$	Premise
$[\Omega]\Gamma = [\Omega]\Omega$	By Lemma E.17
$= [\Omega'_0]\Omega'_0$	By Lemma E.13
$= [\Omega'_0]\Gamma$	By Lemma E.17
$= [\Omega'_0]\Theta_1$	By Lemma E.14
$[\Omega'_0]\Theta_1 \vdash [\Omega'_0]A' \triangleright A_1 \rightarrow A_2$	By above equalities
$\Theta_1 \vdash [\Theta_1]A' \triangleright A'_1 \rightarrow A'_2 \dashv \Theta_2$	By Theorem 7.7
$\Theta_2 \longrightarrow \Omega'$	Above
$\Omega'_0 \longrightarrow \Omega'$	Above
$A_1 = [\Omega']A'_1$	Above
$A_2 = [\Omega']A'_2$	Above

$[\Omega]\Gamma \vdash e_2 : A_3$	Premise
$[\Omega]\Gamma = [\Omega]\Omega$	By Lemma E.17
$= [\Omega']\Omega'$	By Lemma E.13
$= [\Omega']\Gamma$	By Lemma E.17
$= [\Omega']\Theta_2$	By Lemma E.14
$[\Omega']\Theta_2 \vdash e_2 : A_3$	By above equality
$\Theta_2 \vdash e'_2 \Rightarrow A'_3 \dashv \Theta_3$	By i.h.
$\Theta_3 \longrightarrow \Omega'_1$	Above
$\Omega' \longrightarrow \Omega'_1$	Above
$A_3 = [\Omega'_1]A'_3$	Above
$[e_2] = [e'_2]$	Above

$[\Omega]\Gamma \vdash A_3 \lesssim A_1$	Premise
$[\Omega]\Gamma = [\Omega]\Omega$	By Lemma E.17
$= [\Omega'_1]\Omega'_1$	By Lemma E.13
$= [\Omega'_1]\Gamma$	By Lemma E.17
$= [\Omega'_1]\Theta_3$	By Lemma E.14
$A_3 = [\Omega'_1]A'_3$	Above
$A_1 = [\Omega']A'_1 = [\Omega'_1]A'_1$	By Lemma E.12

$[\Omega'_1]\Theta_3 \vdash [\Omega'_1]A'_3 \lesssim [\Omega'_1]A'_1$	By above equalities
$\Theta_3 \vdash [\Theta_3]A'_3 \lesssim [\Theta_3]A'_1 \div \Delta$	By Theorem 7.6
$[\Theta_3]A'_1 = [\Theta_3](\llbracket \Theta_2 \rrbracket A_1)$	By Lemma E.5
$\Theta_2 \vdash e'_2 \Leftarrow \llbracket \Theta_2 \rrbracket A'_1 \div \Delta$	By rule <a href="#">CHK-SUB</a>
◆ $\Delta \longrightarrow \Omega'_2$	Above
$\Omega'_1 \longrightarrow \Omega'_2$	Above
◆ $\Gamma \vdash e'_1 e'_2 \Rightarrow A'_2 \div \Delta$	By rule <a href="#">INF-APP</a>
◆ $A_2 = [\Omega']A'_2 = [\Omega'_2]A'_2$	Lemma E.12
◆ $\Gamma \longrightarrow \Omega'_2$	By Lemma E.13
◆ $\llbracket e_1 e_2 \rrbracket = \llbracket e_1 \rrbracket \llbracket e_2 \rrbracket = \llbracket e'_1 \rrbracket \llbracket e'_2 \rrbracket = \llbracket e'_1 e'_2 \rrbracket$	By def. of erasure

• Case

$$\frac{[\Omega]\Gamma, x : \tau \vdash e : B}{[\Omega]\Gamma \vdash \lambda x. e : \tau \rightarrow B} \text{LAM}$$

$[\Omega]\Gamma, x : \tau \vdash e : B$	Given
$[\Omega]\Gamma, x : \tau = [\Omega, x : \tau](\Gamma, x : \tau)$	By def. of context substitution
$[\Omega, x : \tau](\Gamma, x : \tau) \vdash e : B$	By above equality
$\Gamma, x : \tau \vdash e' \Rightarrow B' \div \Delta'$	By i.h.,
$\Delta' \longrightarrow \Omega'$	Above
$\Omega, x : \tau \longrightarrow \Omega'$	Above
$B = [\Omega']B'$	Above
$\llbracket e \rrbracket = \llbracket e' \rrbracket$	Above
$\Gamma, x : \tau \longrightarrow \Delta'$	By Lemma G.2
$\Delta' = \Delta, x : \tau, \Theta$	By Lemma E.6
$\Gamma, x : \tau \vdash e' \Rightarrow B' \div \Delta, x : \tau, \Theta$	By above equality
◆ $\Gamma \vdash \lambda x : \tau. e' \Rightarrow \tau \rightarrow B' \div \Delta$	By rule <a href="#">INF-LAMANN</a>
◆ $\Delta \longrightarrow \Omega'$	By context extension
◆ $\Omega \longrightarrow \Omega'$	By context extension
◆ $\tau \rightarrow B = \tau \rightarrow [\Omega']B' = [\Omega'](\tau \rightarrow B')$	By def. of substitution
◆ $\llbracket \lambda x. e \rrbracket = \lambda x. \tilde{\llbracket e \rrbracket} = \lambda x. \tilde{\llbracket e' \rrbracket} = \llbracket \lambda x : \tau. e' \rrbracket$	By def. of erasure

• Case

$$\frac{[\Omega]\Gamma, a \vdash e : A}{[\Omega]\Gamma \vdash e : \forall a. A} \text{GEN}$$

$[\Omega]\Gamma, a \vdash e : A$	Given
$[\Omega]\Gamma, a = [\Omega, a](\Gamma, a)$	By def. of context substitution
$[\Omega, a](\Gamma, a) \vdash e : A$	By above equality
$\Gamma, a \vdash e' \Rightarrow A' \div \Delta'$	By i.h.,
$\Delta' \longrightarrow \Omega'$	Above
$\Omega, a \longrightarrow \Omega'$	Above
$A = [\Omega']A'$	Above
◆ $\llbracket e \rrbracket = \llbracket e' \rrbracket$	Above
$\Gamma, a \longrightarrow \Delta'$	By Lemma G.2
$\Delta' = \Delta, a, \Theta$	By Lemma E.6
◆ $\Delta \longrightarrow \Omega'$	By context extension
◆ $\Omega \longrightarrow \Omega'$	By context extension

$\Gamma, a \vdash e' \Rightarrow A' \vdash \Delta, a, \Theta$	By above equality
$\Delta, a, \Theta \vdash [\Delta, a, \Theta]A' \lesssim [\Delta, a, \Theta]A' \vdash \Delta, a, \Theta$	By reflexivity of consistent subtyping
$\Gamma, a \vdash e' \Leftarrow A' \vdash \Delta, a, \Theta$	By rule <b>CHK-SUB</b>
$\Gamma \vdash e' \Leftarrow \forall a. A' \vdash \Delta$	By rule <b>CHK-GEN</b>
◆ $\Gamma \vdash e' : \forall a. A' \Rightarrow \forall a. A' \vdash \Delta$	By rule <b>INF-ANNO</b>
◆ $\forall a. A = \forall a. [\Omega']A' = [\Omega'](\forall a. A')$	By def. of substitution

□

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