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## Abstract

**Keywords** intersection types, inheritance

## 1. Introduction

- Compare Scala: – merge[A,B] = new A with B
- type IEval = eval : Int – type IPrint = print : String
- F[\_]

We present a polymorphic calculus containing intersection types and records, and show how this language can be used to solve various common tasks in functional programming in a nicer way.

Intersection types provides a power mechanism for functional programming, in particular for extensibility and allowing new forms of composition.

Prototype-based programming is one of the two major styles of object-oriented programming, the other being class-based programming which is featured in languages such as Java and C#. It has gained increasing popularity recently with the prominence of JavaScript in web applications. Prototype-based programming supports highly dynamic behaviors at run time that are not possible with traditional class-based programming. However, despite its flexibility, prototype-based programming is often criticized over concerns of correctness and safety. Furthermore, almost all prototype-based systems rely on the fact that the language is dynamically typed and interpreted.

In summary, the contributions of this paper are:

- elaboration typing rules which given a source expression with intersection types, typecheck and translate it into an ordinary F term. Prove a type preservation result: if a term  $e$  has type  $\tau$  in the source language, then the translated term  $|e|$  is well-typed and has type  $|\tau|$  in the target language.
- present an algorithm for detecting incoherence which can be very important in practice.
- explores the connection between intersection types and object algebra by showing various examples of encoding object algebra with intersection types.

## 2. A Taste of fi

<sup>1</sup>change the examples later to something very simple.

This section provides the reader with the intuition of **fi**, while we postpone the presentation of the details in later sections.

In short, **fi** generalizes System F by adding intersection polymorphism. **fi** terms are elaborated into **f**, a variant of System F. System F, or polymorphic lambda calculus lays the foundation of functional programming languages such as Haskell.

The type system of **fi** permits a subtyping relation naturally and enables prototype-based inheritance. We will explore the usefulness of such a type system in practice by showing various examples.

### 2.1 Intersection Types

The central addition to the type system of **f** in **fi** is intersection types. What is an intersection type? One classic view is from set-theoretic interpretation of types:  $A \ \& \ B$  stands for the intersection of the set of values of  $A$  and  $B$ . The other view, adopted in this paper, regards types as a kind of interface: a value of type  $A \ \& \ B$  satisfies both of the interfaces of  $A$  and  $B$ . For example,  $\text{eval} : \text{Int}$  is the interface that supports evaluation to integers, while  $\text{eval} : \text{Int} \ \& \ \text{print} : \text{String}$  supports both evaluation and pretty printing. Those interfaces are akin to interfaces in Java or traits in Scala. But one key difference is that they are unnamed in **fi**.

Intersection types provide a simple mechanism for ad-hoc polymorphism, similar to what type classes in Haskell achieve. The key constructs are the “merge” operator, denoted by “ $,,$ ”, at the value level and the corresponding type intersection operator, denoted by, “ $\&$ ” at the type level.

For example, we can define an (ad-hoc)-polymorphic `show` function that is able to convert integers and booleans to strings. In **fi** such function can be given the type

```
(Int -> String) & (Bool -> String)
```

and be defined using the merge operator `,,` as

```
let show = showInt ,, showBool
```

where `showString` and `showBool` are ordinary monomorphic functions. Later suppose the integer `1` is applied to the `show` function, the first component `showInt` will be picked because the type of `showInt` is compatible with `1` while `showBool` is not.

### 2.2 Encoding Records

In addition to introduction of record literals using the usual notation, **fi** support two more operations on records: record elimination and record update.

A record type of the form  $l : \tau$  can be thought as a normal type  $\tau$  tagged by the label  $l$ .

$e_1$  and  $e_2$  are two expressions that support both evaluation and pretty printing and each has type  $\text{eval} : \text{Int}, \text{print} : \text{String}$ .

`add` takes two expressions and computes their sum. Note that in order to compute a sum, `add` only requires that the two expressions support evaluation and hence the type of the parameter `eval` : `Int`. As a result, the type of `e1` and `e2` are not exactly the same with that of the parameters of `add`. However, under a structural type system, this program should typecheck anyway because the arguments being passed has more information than required. In other words, `eval` : `Int`, `print` : `String` is a subtype of `eval` : `Int`.

How is this subtyping relation derived? In **fi**, multi-field record types are excluded from the type system because `eval` : `Int`, `print` : `String` can be encoded as `eval` : `Int` & `print` : `String`. And by one of subtyping rules derives that `eval` : `Int` & `print` : `String` is a subtype of `eval` : `Int`.

### 2.3 Parametric Polymorphism

The presence of both parametric polymorphism and intersection is critical, as we shall see in the next section, in solving modularity problems. Here is a code snippet from the next section (The reader is not required to understand the purpose of this code at this stage; just recognizing the two types of polymorphism is enough.)

```
type SubExpAlg E = (ExpAlg E) & { sub : E -> E -> E };
let e2 E (f : SubExpAlg E) = f.sub (exp1 E f) (f.lit 2);
```

`SubExpAlg` is a type synonym (a la Haskell) defined as the intersection of `ExpAlg E` and `sub : E -> E -> E`, parametrized by a type parameter `E`. `e2` exhibits parametric polymorphism as it takes a type argument `E`.

## 3. Application

This section shows that the System F plus intersection types are enough for encoding extensible designs, and even beat the designs in languages with a much more sophisticated type system. In particular, **fi** has two main advantages over existing languages:

1. It supports dynamic composition of intersecting values.
2. It supports contravariant parameter types in the subtyping relation.

Various solutions have been proposed to deal with the extensibility problems and many rely on heavyweight language features such as abstract methods and classes in Java.

These two features can be used to improve existing designs of modular programs.

The expression problem refers to the difficulty of adding a new operations and a new data variant without changing or duplicating existing code.

There has been recently a lightweight solution to the expression problem that takes advantage of covariant return types in Java. We show that **FI** is able to solve the expression problem in the same spirit. The **A**

### 3.1 Object Algebras

Object algebras provide an alternative to *algebraic data types* (ADT). For example, the following Haskell definition of the type of simple expressions

```
data Exp where
  Lit :: Int -> Exp
  Add :: Exp -> Exp -> Exp
```

can be expressed by the *interface* of an object algebra of simple expressions:

```
trait ExpAlg[E] {
  def lit(x: Int): E
  def add(e1: E, e2: E): E
}
```

Similar to ADT, data constructors in object algebras are represented by functions such as `lit` and `add` inside an interface `ExpAlg`. Different with ADT, the type of the expression itself is abstracted by a type parameter `E`.

which can be expressed similarly in **fi** as:

```
type ExpAlg E = {
  lit : Int -> E,
  add : E -> E -> E
}
```

Scala supports intersection types via the `with` keyword. The type `A with B` expresses the combined interface of `A` and `B`. The idea is similar to

```
interface AwithB extends A, B {}
```

in Java.<sup>2</sup>

The value level counterpart are functions of the type `A => B => A with B`.<sup>3</sup>

Our type system is a simple extension of System F; yet surprisingly, it is able to solve the limitations of using object algebras in languages such as Java and Scala. We will illustrate this point with an step-by-step of solving the expression problem using a source language built on top of **fi**.

Oliveira noted that composition of object algebras can be cumbersome and intersection types provides a solution to that problem.

We first define an interface that supports the evaluation operation:

```
type IEval = { eval : Int };
type ExpAlg E = { lit : Int -> E, add : E -> E -> E };
let evalAlg = {
  lit = \ (x : Int). { eval = x },
  add = \ (x : IEval). \ (y : IEval). { eval = x.eval + y.eval }
};
```

The interface is just a type synonym `IEval`. In **fi**, record types are structural and hence any value that satisfies this interface is of type `IEval` or of a subtype of `IEval`.<sup>4</sup>

In the following, `ExpAlg` is an object algebra interface of expressions with literal and addition case. And `evalAlg` is an object algebra for evaluation of those expressions, which has type `ExpAlg IEval`

```
type SubExpAlg E = (ExpAlg E) & { sub : E -> E -> E };
let subEvalAlg = evalAlg, { sub = \ (x : IEval). \ (y : IEval). { eval = x.eval - y.eval } };
```

Next, we define an interface that supports pretty printing.

```
type IPrint = { print : String };
let printAlg = {
  lit = \ (x : Int). { print = x.toString() },
  add = \ (x : IPrint). \ (y : IPrint). { print = x.print.concat(" + ").concat(y.print) },
  sub = \ (x : IPrint). \ (y : IPrint). { print = x.print.concat(" - ").concat(y.print) }
};
```

Provided with the definitions above, we can then create values using the appropriate algebras. For example: defines two expressions.

The expressions are unusual in the sense that they are functions that take an extra argument `f`, the object algebras, and use the data constructors provided by the object algebra (factory) `f` such as `lit`, `add` and `sub` to create values. Moreover, The algebras themselves are abstracted over the allowed operations such as evaluation and

<sup>2</sup> However, Java would require the `A` and `B` to be concrete types, whereas in Scala, there is no such restriction.

<sup>3</sup> FIXME

<sup>4</sup> Should be mentioned in S2.

pretty printing by requiring the expression functions to take an extra argument `E`.

```
let merge A B (f : ExpAlg A) (g : ExpAlg B) = {
  lit = \ (x : Int). f.lit x ,, g.lit x,
  add = \ (x : A & B). \ (y : A & B).
    f.add x y ,, g.add x y
};
```

If we would like to have an expression that supports both evaluation and pretty printing, we will need a mechanism to combine the evaluation and printing algebras. Intersection types allows such composition: the `merge` function, which takes two expression algebras to create a combined algebra. It does so by constructing a new expression algebra, a record whose each field is a function that delegates the input to the two algebras taken.

```
let newAlg = merge IEval IPrint subEvalAlg printAlg in
let o1 = e1 (IEval & IPrint) newAlg in
o1.print
```

`o1` is a single object created that supports both evaluation and printing, thus achieving full feature-oriented programming.

### 3.2 Visitors

Constructing instances seems clumsy!

The visitor pattern allows adding new operations to existing structures without modifying those structures. The type of expressions are defined as follows:

```
trait Exp[A] {
  def accept(f: ExpAlg[A]): A
}

trait SubExp[A] extends Exp[A] {
  override def accept(f: SubExpAlg[A]): A
}
```

The body of `Exp` and `SubExp` are almost the same: they both contain an `accept` method that takes an algebra `f` and returns a value of the carrier type `A`. The only difference is at `f` — `SubExpAlg[A]` is a subtype of `ExpAlg[A]`. Since `f` appear in parameter position of `accept` and function parameters are contravariant, naturally we would hope that `SubExp[A]` is a supertype of `Exp[A]`. However, such subtyping relation does not fit well in Scala because inheritance implies subtyping in such languages<sup>5</sup>. As `SubExp[A]` extends `Exp[A]`, the former becomes a subtype of the latter.

Such limitation does not exist in `fi`. For example, we can define the similar interfaces `Exp` and `SubExp`:

```
type Exp A = { accept: forall A. ExpAlg A -> A };
type SubExp A = { accept: forall A. SubExpAlg A -> A };
```

Then by the typing judgment it holds that `SubExp` is a supertype of `Exp`. This relation gives desired results. To give a concrete example:

`A` is called is the *interpretation*. It works for any interpretation you want.

First we define two data constructors for simple expressions:

```
let lit (n : Int): Exp A = {
  accept = /\A. \ (f : ExpAlg A). f.lit n
};

let add (e1 : Exp) (e2 : Exp): Exp A = {
  accept = /\A. \ (f : ExpAlg A).
    f.add (e1.accept A f) (e2.accept A f)
};
```

Suppose later we decide to augment the expressions with subtraction:

```
let sub (e1 : SubExp) (e2 : SubExp): SubExp A =
  { accept = /\A. \ (f : SubExpAlg A).
    f.sub (e1.accept A f) (e2.accept A f) };
```

One big benefit of using the visitor pattern is that programmers is able to write in the same way that would do in Haskell. For example, `e2 = sub (lit 2) (lit 3)` defines an expression.

Another important property that does not exist in Scala is that programmer is able to pass `lit 2`, which is of type `Exp A`, to `sub`, which expects a `SubExp A` because of the subtyping relation we have. After all, it is known statically that `lit 2` can be passed into `sub` and nothing will go wrong.

### 3.3 Yanlin Stuff

This subsection presents yet another lightweight solution to the Expression Problem, inspired by the recent work by Wang. It has been shown that contravariant return types allows refinement of the types of extended expressions.

First, we define the type of expressions that support evaluation and implement two constructors:

```
type Exp = { eval: Int }
let lit (n: Int) = { eval = n }
let add (e1: Exp) (e2: Exp)
  = { eval = e1.eval + e2.eval }
```

If we would like to add a new operation, say pretty printing, it is nothing more than refining the original `Exp` interface by *intersecting* the original type with the new `print` interface using the `&` primitive and *merging* the original data constructors using the `,,` primitive.

```
type ExpExt = Exp & { print: String }
let litExt (n: Int) = lit n ,, { print = n.toString() }
let addExt (e1: ExpExt) (e2: ExpExt)
  = add e1 e2 ,,
    { print = e1.print.concat(" + ").concat(e2.print) }
```

Now we can construct expressions using the constructors defined above:

```
let e1: ExpExt = addExt (litExt 2) (litExt 3)
let e2: Exp = add (lit 2) (lit 4)
```

`e1` is an expression capable of both evaluation and printing, while `e2` supports evaluation only.

We can also add a new variant to our expression:

```
let sub (e1: Exp) (e2: Exp) = { eval = e1.eval - e2.eval
  }
let subExt (e1: ExpExt) (e2: ExpExt)
  = sub e1 e2 ,, { print = e1.print.concat(" - ").concat
    (e2.print) }
```

Finally we are able to manipulate our expressions with the power of both subtraction and pretty printing.

```
(subExt e1 e1).print
```

### 3.4 Mixins

Mixins are useful programming technique wildly adopted in dynamic programming languages such as JavaScript and Ruby. But obviously it is the programmers' responsibility to make sure that the mixin does not try to access methods or fields that are not present in the base class.

In Haskell, one is also able to write programs in mixin style using records. However, this approach has a serious drawback: since there is no subtyping in Haskell, it is not possible to refine the mixin by adding more fields to the records. This means that the type of the family of the mixins has to be determined upfront, which undermines extensibility.

`fi` is able to overcome both of the problems: it allows composing mixins that (1) extends the base behavior, (2) while ensuring type safety.

<sup>5</sup>It is still possible to encode contravariant parameter types in Scala but doing so would require some technique.

The figure defines a mini mixin library. The apostrophe in front of types denotes call-by-name arguments similar to the  $\Rightarrow$  notation in the Scala language.

```
type Mixin S = 'S -> 'S -> S;
let zero S (super : 'S) (this : 'S) : S = super;
let rec mixin S (f : Mixin S) : S
  = let m = mixin S in f (\ ( _ : Unit). m f) (\ ( _ :
    Unit). m f);
let extends S (f : Mixin S) (g : Mixin S) : Mixin S
  = \ (super : 'S). \ (this : 'S). f (\ (d : Unit). g
    super this) this;
```

We define a factorial function in mixin style and make a noisy mixin that prints “Hello” and delegates to its superclass. Then the two functions are composed using the `mixin` and `extends` combinators. The result is the `noisyFact` function that prints “Hello” every time it is called and computes factorial.

```
let fact (super : 'Int -> Int) (this : 'Int -> Int) :
  Int -> Int
  = \ (n : Int). if n == 0 then 1 else n * this (n - 1)
let noisy (super : 'Int -> Int) (this : 'Int -> Int) :
  Int -> Int
  = \ (n : Int). { println("Hello"); super n }
let noisyFact = mixin (Int -> Int) (extends (Int -> Int)
  foolish fact)
noisy 5
```

## 4. The **fi** calculus

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This section formalizes the syntax, subtyping, and typing of **fi**. In the next section, we will go through the type-directed translation from **fi** to System F.

### 4.1 Syntax

The syntax of the **fi** calculus extends System F by adding the two features: intersection types and records. The formalization includes only single records because single record types as the multi-records can be desugared into the merge of multiple single records.

Types	$t ::= \alpha \mid t \rightarrow t \mid \forall \alpha. t \mid t \& t \mid \{1:t\}$
Expressions	$e ::= x \mid \lambda(x:t). e \mid \Lambda \alpha. e \mid e e \mid e t$ $\mid e, e \mid \{1 = e\} \mid e.l \mid e \text{ with } \{1 = e\}$
Contexts	$\Gamma ::= \epsilon \mid \Gamma, \alpha \mid \Gamma, x:t$
Labels	1

Types  $t$  have five constructs. The first three are standard (present in System F): type variable  $\alpha$ , function types  $t \rightarrow t$ , and type abstraction  $\forall \alpha. t$ ; while the last two, intersection types  $t \& t$  and record types  $\{1:t\}$ , are novel in **fi**. In record types, 1 is the label and  $t$  the type.

First five constructs of expressions are also standard: variables  $x$  and two abstraction-elimination pairs.  $\lambda(x:t). e$  abstracts expression  $e$  over values of type  $t$  and is eliminated by application  $e e$ ;  $\Lambda \alpha. e$  abstracts expression  $e$  over types and is eliminated by type application  $e t$ .

The last four constructs are novel.  $e, e$  is the *merge* of two terms.  $\{1 = e\}$  introduces a record literal having 1 as the label for field containing expression  $e$ .  $e.l$  access the field with label 1 in  $e$ . Finally,  $e \text{ with } \{1 = e\}$  updates the field labelled 1 in expression  $e$ . For simplicity, we omit other constructs in order to focus on the essence of the calculus. For example, fixpoints can be added in standard ways.

The field F is non-standard and introduced to deal with records. It is an associative list. Each item is a pair whose first item is either empty or a label and the second the types.

### 4.2 Subtyping

$t <: t$

$$\alpha <: \alpha \quad (\text{SVAR})$$

$$\frac{t_3 <: t_1 \quad t_2 <: t_4}{t_1 \rightarrow t_2 <: t_3 \rightarrow t_4} \quad (\text{SFUN})$$

$$\frac{t_1 <: [\alpha_2 \mapsto \alpha_1] t_2}{\forall \alpha_1. t_1 <: \forall \alpha_2. t_2} \quad (\text{SFORALL})$$

$$\frac{t_1 <: t_3}{t_1 \& t_2 <: t_3} \quad (\text{SAND1})$$

$$\frac{t_2 <: t_3}{t_1 \& t_2 <: t_3} \quad (\text{SAND2})$$

$$\frac{t_1 <: t_2 \quad t_1 <: t_3}{t_1 <: t_2 \& t_3} \quad (\text{SAND3})$$

$$\frac{t_1 <: t_2}{\{1:t_1\} <: \{1:t_2\}} \quad (\text{SRCD})$$

Thanks to intersection types, we have natural subtyping relations among types. For example,  $\text{Int} \& \text{Bool}$  should be a subtype of  $\text{Int}$ , since the former can be viewed as either  $\text{Int}$  or  $\text{Bool}$ . The subtyping rules are standard except for three points listed below:

1.  $t_1 \& t_2$  is a subtype of  $t_3$ , if *either*  $t_1$  or  $t_2$  are subtypes of  $t_3$ ,
2.  $t_1$  is a subtype of  $t_2 \& t_3$ , if  $t_1$  is a subtype of both  $t_2$  and  $t_3$ .
3.  $\{1_1:t_1\}$  is a subtype of  $\{1_2:t_2\}$ , if  $1_1$  and  $1_2$  are identical and  $t_1$  is a subtype of  $t_2$ .

The first point is captured by two rules **S-And-1** and **S-And-2**, whereas the second point by **S-And-3**. Note that the last point means that record types are covariant in the type of the fields.

### 4.3 Typing

$\Gamma \vdash t$

$$\frac{\alpha \in \Gamma}{\Gamma \vdash \alpha} \quad (\text{WF-VAR})$$

$$\frac{\Gamma \vdash t_1 \quad \Gamma \vdash t_2}{\Gamma \vdash t_1 \rightarrow t_2} \quad (\text{WF-FUN})$$

$$\frac{\Gamma, \alpha \vdash t}{\Gamma \vdash \forall \alpha. t} \quad (\text{WF-FORALL})$$

$$\frac{\Gamma \vdash t_1 \quad \Gamma \vdash t_2}{\Gamma \vdash t_1 \& t_2} \quad (\text{WF-AND})$$

$$\frac{\Gamma \vdash t}{\Gamma \vdash \{1:t\}} \quad (\text{WF-RCD})$$

$\Gamma \vdash e : t$

$$\frac{(x, t) \in \Gamma}{\Gamma \vdash x : t} \quad (\text{TRVAR})$$

<sup>6</sup> Joshua Dunfield

$$\frac{\Gamma, x:t \vdash e : t_1 \quad \Gamma \vdash_F t}{\Gamma \vdash \lambda(x:t).e : t \rightarrow t_1} \quad (\text{TRABS})$$

$$\frac{\Gamma, \alpha \vdash e : t}{\Gamma \vdash \Lambda \alpha. e : \forall \alpha. t} \quad (\text{TRTABS})$$

$$\frac{\Gamma \vdash e_1 : t_1 \rightarrow t_2 \quad \Gamma \vdash e_2 : t_3 \quad t_3 <: t_1}{\Gamma \vdash e_1 e_2 : t_2} \quad (\text{TRAPP})$$

$$\frac{\Gamma \vdash e : \forall \alpha. t_1 \quad \Gamma \vdash_F t}{\Gamma \vdash e t : [\alpha \mapsto t] t_1} \quad (\text{TRTAPP})$$

$$\frac{\Gamma \vdash e_1 : t_1 \quad \Gamma \vdash e_2 : t_2}{\Gamma \vdash e_1, e_2 : t_1 \& t_2} \quad (\text{TRMERGE})$$

$$\frac{\Gamma \vdash e : t}{\Gamma \vdash \{1 = e\} : \{1:t\}} \quad (\text{TRRCDINTRO})$$

$$\frac{\Gamma \vdash e : t \quad \Gamma \vdash_{\text{get}} (t; 1) : t_1}{\Gamma \vdash e.l : t_1} \quad (\text{TRRCDELIM})$$

$$\frac{\Gamma \vdash e : t \quad \Gamma \vdash e_1 : t_1 \quad \vdash_{\text{put}} (t; 1; e_1 : t_1) : (t'_1, t'_1) \quad t_1 <: t'_1}{\Gamma \vdash e \text{ with } \{1 = e_1\} : t'_1} \quad (\text{TRRCDUPD})$$

$$\boxed{\vdash_{\text{get}} (t; 1) : t}$$

$$\vdash_{\text{get}} (\{1:t\}; 1) : t \quad (\text{GETBASE})$$

$$\frac{\vdash_{\text{get}} (t_1; 1) : t}{\vdash_{\text{get}} (t_1 \& t_2; 1) : t} \quad (\text{GETLEFT})$$

$$\frac{\vdash_{\text{get}} (t_2; 1) : t}{\vdash_{\text{get}} (t_1 \& t_2; 1) : t} \quad (\text{GETRIGHT})$$

$$\boxed{\vdash_{\text{put}} (t; 1; e : t) : (t, t)}$$

$$\vdash_{\text{put}} (\{1:t\}; 1; e : t') : (t, \{1:t'\}) \quad (\text{PUTBASE})$$

$$\frac{\vdash_{\text{put}} (t_1; 1; e : t) : (t', t'_1)}{\vdash_{\text{put}} (t_1 \& t_2; 1; e : t) : (t', t'_1 \& t'_2)} \quad (\text{PUTLEFT})$$

$$\frac{\vdash_{\text{put}} (t_2; 1; e : t) : (t', t'_2)}{\vdash_{\text{put}} (t_1 \& t_2; 1; e : t) : (t', t'_1 \& t'_2)} \quad (\text{PUTRIGHT})$$

The typing judgment for **fi** is of the form:  $\Gamma \vdash e : t$ . This judgment uses the context  $\Gamma$ . The typing rules for our core languages are mostly standard ones for System F. In particular we introduce a **T-Merge** rule that applies to *merge* constructs.

The last two rules make use of the *fields* function just to make sure that the field being accessed (**T-RcdElim**) or updated

(**T-RcdUpd**) actually exists. The function is defined recursively, in Haskell pseudocode, as:

$$\begin{aligned} \text{fields } (\alpha) &= \cdot : \alpha \\ \text{fields } (t_1 \rightarrow t_2) &= \cdot : t_1 \rightarrow t_2 \\ \text{fields } (\forall \alpha. t) &= \cdot : \forall \alpha. t \\ \text{fields } (t_1 \& t_2) &= \text{fields } (t_1) + \text{fields } (t_2) \\ \text{fields } (\{1:t\}) &= 1:t \end{aligned}$$

where  $\cdot$  means empty list,  $+$  list concatenation, and  $:$  is an infix operator that prepend the first argument to the second. The function returns an associative list whose domain is field labels and range types.

*dom* reads: “the domain of”.  $F(1)$  means the result of lookup for 1 inside the associative list  $F$ . The order of lookup can be either from left to right or from right to left but has to be consistent inside one implementation. We prefer the order from the right to the left because it make possible record overriding. For example,  $(\{\text{count} = 1\}, \{\text{count} = 2\}).\text{count}$  will evaluate to 2 in this case.

## 5. Type-directed Translation to System F

In this section we define the semantics of **fi** by means of a type-directed translation to System F. This translation removes the labels of records and turns intersections into products, much like Dunfield’s elaboration. But our translation also deals with parametric polymorphism and records.

### 5.1 Informal Discussion

To help the reader have a high-level understanding of how the translation works, in this subsection we present the translation informally. Take the **fi** expression for example:

```
{ eval = 4, print = ‘‘4’’ }.eval
```

First, multi-field record literals are desugared into merges of single-field record literals. Therefore  $\{\text{eval} = 4, \text{print} = “4”\}$  becomes  $\{\text{eval} = 4\}, \{\text{print} = “4”\}$ . Merges of two values are translated into just a pair of them by **TrMerge** and single-field record literals lose their field labels by **TrRcdIntro**. Hence  $\{\text{eval} = 4\}, \{\text{print} = “4”\}$  becomes  $(4, “4”)$ .

Finally,  $e_1$  and  $e_2$  are both coerced by a projection function  $(x : (\text{Int}, \text{String})).\text{fstx}$ .

### 5.2 Target Language

The target language is System F extended with pairs. The syntax and typing is completely standard. The syntax of Systm F is as follows:

$$\begin{aligned} \text{Types} \quad T &::= \alpha \mid T \rightarrow T \mid \forall \alpha. T \mid \langle T, T \rangle \\ \text{Expressions} \quad E, C &::= x \mid \lambda(x:T).E \mid \Lambda \alpha. E \mid EE \mid ET \\ &\quad \mid \langle E, E \rangle \mid \text{fst } (E) \mid \text{snd } (E) \end{aligned}$$

The dynamic semantics of System F can be found in ...

**Lemma 1.** *If*

$$\Gamma \vdash \tau_1 <: \tau_2 \hookrightarrow C$$

*then*

$$|\Gamma| \vdash C : |\tau_1| \rightarrow |\tau_2|$$

The main translation judgment is  $\Gamma \vdash e : \tau \hookrightarrow E$  which states that with respect to the environment  $\Gamma$ , the **fi** expression  $e$  is of a **fi** type  $\tau$  and its translation is a System F expression  $E$ .

We also define the type translation function  $|\cdot|$  from **fi** types  $\tau$  to System F types  $T$ .  $|\tau| = T$

$$\begin{aligned}
|\alpha| &= \alpha \\
|\tau_1 \rightarrow \tau_2| &= |\tau_1| \rightarrow |\tau_2| \\
|\forall \alpha. \tau| &= \forall \alpha. |\tau| \\
|t_1 \& t_2| &= \langle |\tau_1|, |\tau_2| \rangle \\
|1 : \tau| &= |\tau|
\end{aligned}$$

The first three rules of the translation is standard. For the last two, the intersection of two types are translated into a product of them, and the label of record types are erased.

The translation consists of four sets of rules, which are explained below:

### 5.3 Translation

In this subsection we now present formally the translation rules that convert **fi** expressions into System F ones. This set of rules essentially extends those in the previous section with the light-blue part for the translation.

$$\boxed{\Gamma \vdash e : t \hookrightarrow E}$$

$$\frac{(x, t) \in \Gamma}{\Gamma \vdash x : t \hookrightarrow x} \quad (\text{TRVAR})$$

$$\frac{\Gamma, x:t \vdash e : t_1 \hookrightarrow E \quad \Gamma \vdash_F t}{\Gamma \vdash \lambda(x:t).e : t \rightarrow t_1 \hookrightarrow \lambda(x:\llbracket t \rrbracket).E} \quad (\text{TRABS})$$

$$\frac{\Gamma, \alpha \vdash e : t \hookrightarrow E}{\Gamma \vdash \Lambda \alpha. e : \forall \alpha. t \hookrightarrow \Lambda \alpha. E} \quad (\text{TRTABS})$$

$$\frac{\begin{array}{c} \Gamma \vdash e_1 : t_1 \rightarrow t_2 \hookrightarrow E_1 \\ \Gamma \vdash e_2 : t_3 \hookrightarrow E_2 \\ t_3 <: t_1 \hookrightarrow C \end{array}}{\Gamma \vdash e_1 e_2 : t_2 \hookrightarrow E_1 (C E_2)} \quad (\text{TRAPP})$$

$$\frac{\Gamma \vdash e : \forall \alpha. t_1 \hookrightarrow E \quad \Gamma \vdash_F t}{\Gamma \vdash e t : [\alpha \mapsto t] t_1 \hookrightarrow E \llbracket t \rrbracket} \quad (\text{TRTAPP})$$

$$\frac{\begin{array}{c} \Gamma \vdash e_1 : t_1 \hookrightarrow E_1 \\ \Gamma \vdash e_2 : t_2 \hookrightarrow E_2 \end{array}}{\Gamma \vdash e_1, e_2 : t_1 \& t_2 \hookrightarrow \langle E_1, E_2 \rangle} \quad (\text{TRMERGE})$$

$$\frac{\Gamma \vdash e : t \hookrightarrow E}{\Gamma \vdash \{1 = e\} : \{1:t\} \hookrightarrow E} \quad (\text{TRRCDINTRO})$$

$$\frac{\Gamma \vdash e : t \hookrightarrow E \quad \Gamma \vdash_{\text{get}} (t; l) : t_1 \hookrightarrow C}{\Gamma \vdash e.l : t_1 \hookrightarrow C E} \quad (\text{TRRCDELIM})$$

$$\frac{\begin{array}{c} \Gamma \vdash e : t \hookrightarrow E \quad \Gamma \vdash e_1 : t_1 \hookrightarrow E_1 \\ \vdash_{\text{put}} (t; l; e_1 : t_1 \hookrightarrow E_1) : (t'_1, t') \hookrightarrow C \quad t_1 <: t'_1 \end{array}}{\Gamma \vdash e \text{ with } \{1 = e_1\} : t' \hookrightarrow C E} \quad (\text{TRRCDUPD})$$

$$\boxed{t <: t \hookrightarrow C}$$

$$\alpha <: \alpha \hookrightarrow \lambda(x:\llbracket \alpha \rrbracket).x \quad (\text{SVAR})$$

$$\frac{t_3 <: t_1 \hookrightarrow C_1 \quad t_2 <: t_4 \hookrightarrow C_2}{t_1 \rightarrow t_2 <: t_3 \rightarrow t_4 \hookrightarrow \lambda(f:\llbracket t_1 \rightarrow t_2 \rrbracket). \lambda(x:\llbracket t_3 \rrbracket). C_2 (f (C_1 x))} \quad (\text{SFUN})$$

$$\frac{t_1 <: [\alpha_2 \mapsto \alpha_1] t_2 \hookrightarrow C}{\forall \alpha_1. t_1 <: \forall \alpha_2. t_2 \hookrightarrow \lambda(f:\llbracket \forall \alpha. t_1 \rrbracket). \Lambda \alpha. C (f \alpha)} \quad (\text{SFORALL})$$

$$\frac{t_1 <: t_3 \hookrightarrow C}{t_1 \& t_2 <: t_3 \hookrightarrow \lambda(x:\llbracket t_1 \& t_2 \rrbracket). C (\text{fst } x)} \quad (\text{SAND1})$$

$$\frac{t_2 <: t_3 \hookrightarrow C}{t_1 \& t_2 <: t_3 \hookrightarrow \lambda(x:\llbracket t_1 \& t_2 \rrbracket). C (\text{snd } x)} \quad (\text{SAND2})$$

$$\frac{t_1 <: t_2 \hookrightarrow C_1 \quad t_1 <: t_3 \hookrightarrow C_2}{t_1 <: t_2 \& t_3 \hookrightarrow \lambda(x:\llbracket t_1 \rrbracket). \langle C_1 x, C_2 x \rangle} \quad (\text{SAND3})$$

$$\frac{t_1 <: t_2 \hookrightarrow C}{\{1:t_1\} <: \{1:t_2\} \hookrightarrow \lambda(x:\llbracket \{1:t_1\} \rrbracket). C x} \quad (\text{SRC D})$$

$$\boxed{\vdash_{\text{get}} (t; l) : t \hookrightarrow C}$$

$$\vdash_{\text{get}} (\{1:t\}; l) : t \hookrightarrow \lambda(x:\llbracket \{1:t\} \rrbracket). x \quad (\text{GETBASE})$$

$$\frac{\vdash_{\text{get}} (t_1; l) : t \hookrightarrow C}{\vdash_{\text{get}} (t_1 \& t_2; l) : t \hookrightarrow \lambda(x:\llbracket t_1 \& t_2 \rrbracket). C (\text{fst } x)} \quad (\text{GETLEFT})$$

$$\frac{\vdash_{\text{get}} (t_2; l) : t \hookrightarrow C}{\vdash_{\text{get}} (t_1 \& t_2; l) : t \hookrightarrow \lambda(x:\llbracket t_1 \& t_2 \rrbracket). C (\text{snd } x)} \quad (\text{GETRIGHT})$$

$$\boxed{\vdash_{\text{put}} (t; l; e : t \hookrightarrow E) : (t, t) \hookrightarrow C}$$

$$\vdash_{\text{put}} (\{1:t\}; l; e : t' \hookrightarrow E) : (t, \{1:t'\}) \hookrightarrow \lambda(x:\llbracket \{1:t\} \rrbracket). E \quad (\text{PUTBASE})$$

$$\frac{\vdash_{\text{put}} (t_1; l; e : t \hookrightarrow E) : (t', t'_1) \hookrightarrow C}{\vdash_{\text{put}} (t_1 \& t_2; l; e : t \hookrightarrow E) : (t', t'_1 \& t_2) \hookrightarrow \lambda(x:\llbracket t_1 \& t_2 \rrbracket). C (\text{fst } x)} \quad (\text{PUTLEFT})$$

$$\frac{\vdash_{\text{put}} (t_2; l; e : t \hookrightarrow E) : (t', t'_2) \hookrightarrow C}{\vdash_{\text{put}} (t_1 \& t_2; l; e : t \hookrightarrow E) : (t', t'_1 \& t_2) \hookrightarrow \lambda(x:\llbracket t_1 \& t_2 \rrbracket). C (\text{fst } x)} \quad (\text{PUTRIGHT})$$

#### • Coercion

The coercion judgment  $\Gamma \vdash \tau_1 <: \tau_2 \hookrightarrow C$  extends the subtyping judgment with a coercion on the right hand side of  $\hookrightarrow$ . A coercion  $C$  is an expression in the target language and has type  $\tau_1 \rightarrow \tau_2$ , as proved by Lemma 1. It is read “In the environment  $\Gamma$ ,  $\tau_1$  is a subtype of  $\tau_2$ ; and if any expression  $e$  has a type  $t_1$  that is a subtype of the type of  $t_2$ , the elaborated  $e$ , when applied to the corresponding coercion  $C$ , has exactly type  $t_2$ ”. For example,  $\Gamma \vdash \text{Int} \& \text{Bool} <: \text{Bool} \hookrightarrow \text{fst}$ , where  $\text{fst}$  is the projection of a tuple on the first element. The coercion judgment is only used in the TrApp case. As SFun supports contravariant parameter type and covariant return type, the coercion of the parameter types and that of the return types are used to create a coercion for the function type. SAnd1, SAnd2, and SAnd3 deal with intersection types. The first two are complementary to each other. Take SAnd1 for example, if we know  $t_1$  is a subtype of  $t_3$  and  $C$  is a coercion from  $t_1$  to  $t_3$ , then we can conclude that  $t_1 \& t_2$  is also a subtype of  $t_3$  and the new coercion is a function that takes a value  $x$  of type  $t_1 \& t_2$ , project  $x$  on the first item, and apply  $C$  to it. SAnd3 uses both of two coercions and constructs a pair.

- **Elaboration**

The elaboration judgment  $\Gamma \vdash e : \tau \hookrightarrow E$  extends the typing judgment with an elaborated expression on the right hand side of  $\hookrightarrow$ . It is also standard, except for the case of **TrApp**, in which a coercion from the inferred type of the argument,  $e_2$ , to the expected type of the parameter,  $t_1$ , is inserted before the argument; **TrMerge** translates merges into pairs. **TrRcdIntro** uses the same System F expression  $E$  for  $e$  as for  $\{l = e\}$ . And in **TrRcdElim** and **TrRcdUpd** the coercions generated by the “get” and “put” rules will be used to coerce the main **fi** expression. The two set of rules are explained below.

- **“get” rules**

The “get” judgment deals specifically with record elimination and yields a coercion can be thought as a field accessor. For example:

$\Gamma \vdash_{\text{get}} (\{ \text{eval} : \text{Int} \}, \text{eval}) : \{ \text{eval} : \text{Int} \} \hookrightarrow \lambda(x:\text{Int}).x$

The lambda is the field accessor and when applied to a translated expression of type  $\{ \text{eval} : \text{Int} \}$ , it is able to give the desired field. **GetBase** is the base case: the type of the field labelled  $l$  in a  $\{l : t\}$  is just  $t$  and the coercion is an identity function specialized to type  $\llbracket \{l : t\} \rrbracket$ . **GetLeft** and **GetRight** are complementary to each other.

- **“put” rules**

The “put” judgment deals specifically with record update can be thought as producing a field updater. Compared to the “get” rules, the “put” rules take an extra input  $e$ , which is the desired expression to replace the field labelled  $l$  in values of type  $t$ . **PutBase** is the base case. This rule allows refinement of record fields in the sense that the type of  $e$  can be a subtype of the type of the field labelled by  $l$ . The resulting type is  $\{l : t'\}$  and the generated coercion is a constant function that always returns  $E$ . **PutLeft** and **PutRight** are complementary to each other: the idea is exactly the same as **GetLeft** and **GetRight** except that the refined type  $t'_1$  and  $t'_2$  is used.

#### 5.4 Meta-theory

**Lemma 2.** *Subtyping is reflexive* Given a type  $\tau$ ,  $\tau <: \tau$ .

**Lemma 3.** *Subtyping is transitive* If  $\tau_1 <: \tau_2$  and  $\tau_2 <: \tau_3$ , then  $\tau_1 <: \tau_3$ .

**Lemma 4** (Get rules produce the correct coercion). *If*

$$\Gamma \vdash_{\text{get}} \tau; l = C; \tau_1$$

*then*

$$|\Gamma| \vdash C : |\tau| \rightarrow |\tau_1|$$

*Proof.* By induction on the given derivation.  $\square$

**Lemma 5** (Put rules produce the correct coercion). *If*

$$\Gamma \vdash_{\text{put}} \tau; l; E = C; \tau_1$$

*then*

$$|\Gamma| \vdash C : |\tau| \rightarrow |\tau_1|$$

*Proof.* By induction on the given derivation.  $\square$

**Lemma 6** (Translation preserves well-formedness). *If*

$$\Gamma \vdash \tau$$

*then*

$$|\Gamma| \vdash |\tau|$$

*Proof.* By induction on the given derivation.  $\square$

**Theorem 1** (Type preserving translation). *If*

$$\Gamma \vdash e : \tau \hookrightarrow E$$

*then*

$$|\Gamma| \vdash E : |\tau|$$

*Proof.* (Sketch) By structural induction on the expression and the corresponding inference rule. The full proof can be found in the appendix.  $\square$

Type-Directed Translation to System F. Main results: type-preservation + coherence.

## 6. Implementation

### 6.1 Type Synonyms

We extend the implementation of the type system extended with type synonyms and lazy arguments.

type T A1 A2 = ... in

### 6.2 Optimization

## 7. Related Work

- **Elaborating simply-typed lambda calculus**

Dunfield has introduced a type system with intersection polymorphism but no parametric polymorphism.

Nystrom et. al. OOPSLA 06

Applications:

- Object/Fold Algebras. How to support extensibility in an easier way.

See Datatypes a la Carte

- Mixins

- Lenses? Can intersection types help with lenses? Perhaps making the types more natural and easy to understand/use?

- Embedded DSLs? Extensibility in DSLs? Composing multiple DSL interpretations?

<http://www.cs.ox.ac.uk/jeremy.gibbons/publications/embedding.pdf>

## 8. Conclusion

Acknowledgments, if needed.

## A. Proofs

*Proof.* By structural induction on the types and the corresponding inference rule.

(SubVar)  
(SubFun)  
(SubForall)  
(SubAnd1)  
(SubAnd2)  
(SubAnd3)  
(SubRcd)

$\square$

**Lemma 7.** *If*

$$\Gamma \vdash_{\text{get}} \tau; l = C; \tau_1$$

*then*

$$|\Gamma| \vdash C : |\tau| \rightarrow |\tau_1|$$

*Proof.* By structural induction on the type and the corresponding inference rule.

(Get-Base)  $\Gamma \vdash_{\text{get}} \{1 : \tau\}; 1 = \lambda(x : |\{1 : \tau\}|) . x; \tau$

By the induction hypothesis

$$|\Gamma| \vdash \lambda(x : |\{1 : \tau\}|) . x : |\{1 : \tau\}| \rightarrow |\tau|$$

(Get-Left)  
(Get-Right)

□

**Lemma 8.** *If*

$$\Gamma \vdash_{\text{put}} \tau; 1; E = C; \tau_1$$

*then*

$$|\Gamma| \vdash C : |\tau| \rightarrow |\tau|$$

*Proof.* By structural induction on the type and the corresponding inference rule.

(Put-Base)  
(Put-Left)  
(Put-Right)

□

**Lemma 9.** *If*

$$\Gamma \vdash \tau$$

*then*

$$|\Gamma| \vdash |\tau|$$

*Proof.* Since

$$\Gamma \vdash \tau$$

It follows from (FI-WF) that

$$ftv((\tau)) \subseteq ftv((\Gamma))$$

And hence

$$ftv((\tau)) \subseteq ftv((\Gamma))$$

By (F-WF) we have

$$\Gamma \vdash \tau$$

□

**Theorem 2** (Type preserving translation). *If*

$$\Gamma \vdash e : \tau \hookrightarrow E$$

*then*

$$|\Gamma| \vdash E : |\tau|$$

*Proof.* By structural induction on the expression and the corresponding inference rule.

(TrVar)  $\Gamma \vdash x : \tau \hookrightarrow x$

It follows from (TrVar) that

$$(x : \tau) \in \Gamma$$

Based on the definition of  $|\cdot|$ ,

$$(x : |\tau|) \in |\Gamma|$$

Thus we have by (F-Var) that

$$|\Gamma| \vdash x : |\tau|$$

(TrAbs)  $\Gamma \vdash \lambda(x : \tau_1) . e : \tau_1 \rightarrow \tau_2 \hookrightarrow \lambda x : |\tau_1| . E$

It follows from (TrAbs) that

$$\Gamma, x : \tau_1 \vdash e : \tau_2 \hookrightarrow E$$

And by the induction hypothesis that

$$|\Gamma|, x : |\tau_1| \vdash E : |\tau_2|$$

By (TrAbs) we also have

$$\Gamma \vdash \tau_1$$

It follows from Lemma 7 that

$$|\Gamma| \vdash |\tau_1|$$

Hence by (F-Abs) and the definition of  $|\cdot|$  we have

$$|\Gamma| \vdash \lambda x : |\tau_1| . E : |\tau_1 \rightarrow \tau_2|$$

(TrApp)  $\Gamma \vdash e_1 e_2 : \tau_2 \hookrightarrow E_1 (CE_2)$

From (TrApp) we have

$$\Gamma \vdash \tau_3 <: \tau_1 \hookrightarrow C$$

Applying Lemma 1 to the above we have

$$|\Gamma| \vdash C : |\tau_3| \rightarrow |\tau_1|$$

Also from (TrApp) and the induction hypothesis

$$|\Gamma| \vdash E_1 : |\tau_1| \rightarrow |\tau_2|$$

Also from (TrApp) and the induction hypothesis

$$|\Gamma| \vdash E_2 : |\tau_3|$$

Assembling those parts using (F-App) we come to

$$|\Gamma| \vdash E_1 (CE_2) : |\tau_2|$$

□

(TrTAbs)  $\Gamma \vdash \Lambda \alpha . e : \forall \alpha . \tau \hookrightarrow \forall \alpha . E$

From (TrTAbs) we have

$$\Gamma \vdash e : \tau \hookrightarrow E$$

By the induction hypothesis we have

$$|\Gamma| \vdash E : |\tau|$$

Thus by (F-TAbs) and the definition of  $|\cdot|$

$$\Gamma \vdash \Lambda \alpha . E : |\forall \alpha . \tau|$$

(TrTApp)  $\Gamma \vdash e \tau : [\alpha := \tau] \tau_1 \hookrightarrow E |\tau|$

From (TrTApp) we have

$$\Gamma \vdash e : \forall \alpha . \tau_1 \hookrightarrow E$$

And by the induction hypothesis that

$$|\Gamma| \vdash E : \forall \alpha . |\tau_1|$$

Also from (TrTApp) and Lemma 7 we have

$$|\Gamma| \vdash |\tau|$$

Then by (F-TApp) that

$$|\Gamma| \vdash E |\tau| : [\alpha := |\tau|] |\tau_1|$$

Therefore

$$|\Gamma| \vdash E |\tau| : |[\alpha := \tau] \tau_1|$$

From (TrMerge) and the induction hypothesis we have

$$|\Gamma| \vdash E_1 : |\tau_1|$$

and

$$|\Gamma| \vdash E_2 : |\tau_2|$$

Hence by (F-Pair)

$$|\Gamma| \vdash \langle E_1, E_2 \rangle : \langle |\tau_1|, |\tau_2| \rangle$$



Hence by the definition of  $|\cdot|$

$$|\Gamma| \vdash \langle E_1, E_2 \rangle : |\tau_1 \& \tau_2|$$

$$(\text{TrRcdIntro}) \Gamma \vdash \{l = e\} : \{l : \tau\} \hookrightarrow E$$

From (TrRcdIntro) we have

$$\Gamma \vdash e : \tau \hookrightarrow E$$

And by the induction hypothesis that

$$|\Gamma| \vdash E : |\tau|$$

Thus by the definition of  $|\cdot|$

$$|\Gamma| \vdash E : |\{l : \tau\}|$$

$$(\text{TrRcdElim}) \Gamma \vdash e.l : \tau_1 \hookrightarrow CE$$

From (TrRcdElim)

$$\Gamma \vdash e : \tau \hookrightarrow E$$

And by the induction hypothesis that

$$|\Gamma| \vdash E : |\tau|$$

Also from (TrRcdElim)

$$\Gamma \vdash_{\text{get}} e; l = C; \tau_1$$

Applying Lemma 2 to the above we have

$$|\Gamma| \vdash C : |\tau| \rightarrow |\tau_1|$$

Hence by (F-App) we have

$$|\Gamma| \vdash CE : |\tau_1|$$

From (TrRcdUpd)

$$\Gamma \vdash e : \tau \hookrightarrow E$$

And by the induction hypothesis that

$$|\Gamma| \vdash E : |\tau|$$

Also from (TrRcdUpd)

$$\Gamma \vdash_{\text{put}} t; l; E = C; \tau_1$$

Applying Lemma 3 to the above we have

$$|\Gamma| \vdash C : |\tau| \rightarrow |\tau|$$

Hence by (F-App) we have

$$|\Gamma| \vdash CE : |\tau|$$

## References

- [1] P. Q. Smith, and X. Y. Jones. ...reference text...  
 Coppo, M., Dezani-Ciancaglini, M.: A new type-assignment for  $\lambda$ -terms.  
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